

Isabelle/HOLCF — Higher-Order Logic of Computable Functions

April 17, 2016

Contents

1	Partial orders	9
1.1	Type class for partial orders	9
1.2	Upper bounds	10
1.3	Least upper bounds	11
1.4	Countable chains	12
1.5	Finite chains	13
2	Classes cpo and pcpo	14
2.1	Complete partial orders	14
2.2	Pointed cpos	16
2.3	Chain-finite and flat cpos	17
2.4	Discrete cpos	17
3	Continuity and monotonicity	18
3.1	Definitions	18
3.2	Equivalence of alternate definition	18
3.3	Collection of continuity rules	19
3.4	Continuity of basic functions	19
3.5	Finite chains and flat pcpos	20
4	Admissibility and compactness	21
4.1	Definitions	21
4.2	Admissibility on chain-finite types	21
4.3	Admissibility of special formulae and propagation	21
4.4	Compactness	23
5	Subtypes of pcpos	24
5.1	Proving a subtype is a partial order	24
5.2	Proving a subtype is finite	24
5.3	Proving a subtype is chain-finite	24

5.4	Proving a subtype is complete	25
5.4.1	Continuity of <i>Rep</i> and <i>Abs</i>	25
5.5	Proving subtype elements are compact	26
5.6	Proving a subtype is pointed	26
5.6.1	Strictness of <i>Rep</i> and <i>Abs</i>	27
5.7	Proving a subtype is flat	27
5.8	HOLCF type definition package	27
6	Class instances for the full function space	28
6.1	Full function space is a partial order	28
6.2	Full function space is chain complete	28
6.3	Full function space is pointed	29
6.4	Propagation of monotonicity and continuity	29
7	The cpo of cartesian products	30
7.1	Unit type is a pcpo	30
7.2	Product type is a partial order	30
7.3	Monotonicity of <i>Pair</i> , <i>fst</i> , <isnd< i=""></isnd<>	31
7.4	Product type is a cpo	32
7.5	Product type is pointed	32
7.6	Continuity of <i>Pair</i> , <i>fst</i> , <i> snd</i>	33
7.7	Compactness and chain-finiteness	34
8	The type of continuous functions	35
8.1	Definition of continuous function type	35
8.2	Syntax for continuous lambda abstraction	35
8.3	Continuous function space is pointed	35
8.4	Basic properties of continuous functions	36
8.5	Continuity of application	37
8.6	Continuity simplification procedure	38
8.7	Miscellaneous	39
8.8	Continuous injection-retraction pairs	40
8.9	Identity and composition	40
8.10	Strictified functions	41
8.11	Continuity of let-bindings	42
9	The Strict Function Type	42
10	The cpo of cartesian products	43
10.1	Continuous case function for unit type	44
10.2	Continuous version of split function	44
10.3	Convert all lemmas to the continuous versions	44

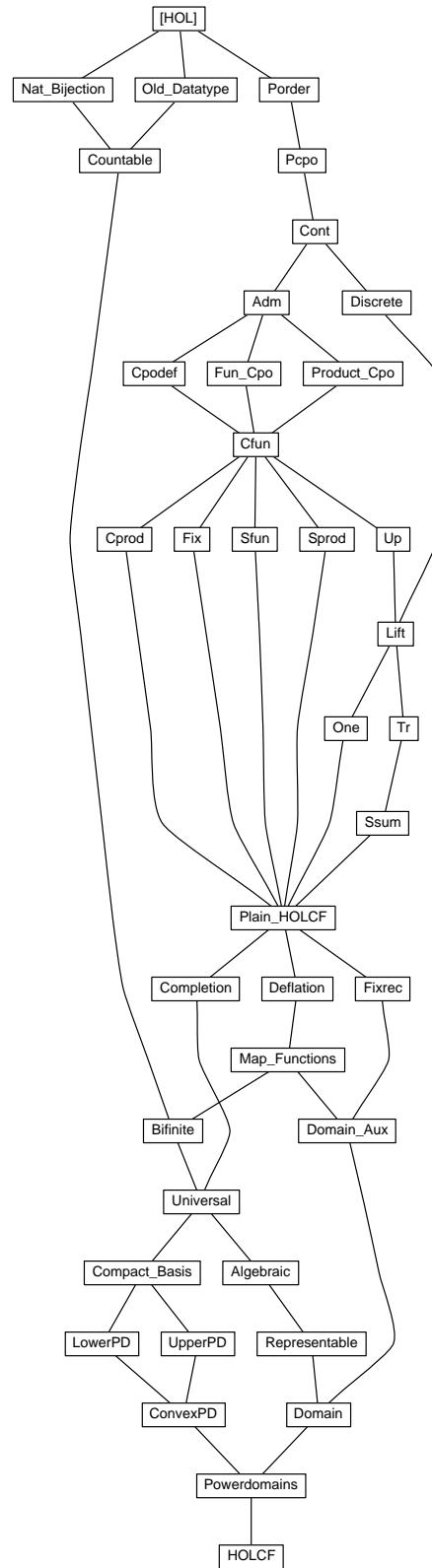
11 The type of strict products	44
11.1 Definition of strict product type	44
11.2 Definitions of constants	45
11.3 Case analysis	45
11.4 Properties of <i>spair</i>	46
11.5 Properties of <i>sfst</i> and <i>ssnd</i>	47
11.6 Compactness	48
11.7 Properties of <i>ssplit</i>	48
11.8 Strict product preserves flatness	48
12 Discrete cpo types	48
12.1 Discrete cpo class instance	48
12.2 <i>undiscr</i>	49
13 The type of lifted values	49
13.1 Definition of new type for lifting	49
13.2 Ordering on lifted cpo	49
13.3 Lifted cpo is a partial order	50
13.4 Lifted cpo is a cpo	50
13.5 Lifted cpo is pointed	50
13.6 Continuity of <i>Iup</i> and <i>Ifup</i>	50
13.7 Continuous versions of constants	51
14 Lifting types of class type to flat pcpo's	52
14.1 Lift as a datatype	53
14.2 Lift is flat	53
14.3 Continuity of <i>case-lift</i>	53
14.4 Further operations	53
15 The type of lifted booleans	54
15.1 Type definition and constructors	54
15.2 Case analysis	55
15.3 Boolean connectives	56
15.4 Rewriting of HOLCF operations to HOL functions	57
15.5 Compactness	58
16 The type of strict sums	58
16.1 Definition of strict sum type	58
16.2 Definitions of constructors	58
16.3 Properties of <i>sinl</i> and <i>sinr</i>	59
16.4 Case analysis	60
16.5 Case analysis combinator	61
16.6 Strict sum preserves flatness	61
17 The unit domain	62

18 Fixed point operator and admissibility	63
18.1 Iteration	63
18.2 Least fixed point operator	64
18.3 Fixed point induction	65
18.4 Fixed-points on product types	66
19 Plain HOLCF	66
20 Package for defining recursive functions in HOLCF	66
20.1 Pattern-match monad	66
20.1.1 Run operator	67
20.1.2 Monad plus operator	67
20.2 Match functions for built-in types	68
20.3 Mutual recursion	70
20.4 Initializing the fixrec package	70
21 Continuous deflations and ep-pairs	71
21.1 Continuous deflations	71
21.2 Deflations with finite range	72
21.3 Continuous embedding-projection pairs	73
21.4 Uniqueness of ep-pairs	74
21.5 Composing ep-pairs	74
22 Map functions for various types	75
22.1 Map operator for continuous function space	75
22.2 Map operator for product type	76
22.3 Map function for lifted cpo	77
22.4 Map function for strict products	77
22.5 Map function for strict sums	78
22.6 Map operator for strict function space	79
23 Profinite and bifinite cpos	80
23.1 Chains of finite deflations	80
23.2 Omega-profinite and bifinite domains	81
23.3 Building approx chains	81
23.4 Class instance proofs	82
24 Defining algebraic domains by ideal completion	83
24.1 Ideals over a preorder	83
24.2 Lemmas about least upper bounds	85
24.3 Locale for ideal completion	85
24.3.1 Principal ideals approximate all elements	86
24.4 Defining functions in terms of basis elements	86

25 A universal bifinite domain	87
25.1 Basis for universal domain	87
25.1.1 Basis datatype	87
25.1.2 Basis ordering	88
25.1.3 Generic take function	89
25.2 Defining the universal domain by ideal completion	90
25.3 Compact bases of domains	90
25.4 Universality of <i>udom</i>	91
25.4.1 Choosing a maximal element from a finite set	91
25.4.2 Compact basis take function	92
25.4.3 Rank of basis elements	93
25.4.4 Sequencing basis elements	94
25.4.5 Embedding and projection on basis elements	95
25.4.6 EP-pair from any bifinite domain into <i>udom</i>	97
25.5 Chain of approx functions for type <i>udom</i>	97
26 Algebraic deflations	98
26.1 Type constructor for finite deflations	99
26.2 Defining algebraic deflations by ideal completion	100
26.3 Applying algebraic deflations	100
26.4 Deflation combinators	101
27 Representable domains	102
27.1 Class of representable domains	102
27.2 Domains are bifinite	103
27.3 Universal domain ep-pairs	104
27.4 Type combinators	104
27.5 Class instance proofs	105
27.5.1 Universal domain	105
27.5.2 Lifted cpo	106
27.5.3 Strict function space	107
27.5.4 Continuous function space	107
27.5.5 Strict product	108
27.5.6 Cartesian product	108
27.5.7 Unit type	110
27.5.8 Discrete cpo	110
27.5.9 Strict sum	110
27.5.10 Lifted HOL type	111
28 Domain package support	112
28.1 Continuous isomorphisms	112
28.2 Proofs about take functions	113
28.3 Finiteness	114
28.4 Proofs about constructor functions	115

28.5 ML setup	117
29 Domain package	117
29.1 Representations of types	117
29.2 Deflations as sets	118
29.3 Proving a subtype is representable	118
29.4 Isomorphic deflations	119
29.5 Setting up the domain package	121
30 A compact basis for powerdomains	122
30.1 A compact basis for powerdomains	122
30.2 Unit and plus constructors	122
30.3 Fold operator	123
31 Upper powerdomain	123
31.1 Basis preorder	123
31.2 Type definition	124
31.3 Monadic unit and plus	125
31.4 Induction rules	127
31.5 Monadic bind	128
31.6 Map	129
31.7 Upper powerdomain is bifinite	130
31.8 Join	130
32 Lower powerdomain	131
32.1 Basis preorder	131
32.2 Type definition	132
32.3 Monadic unit and plus	132
32.4 Induction rules	134
32.5 Monadic bind	135
32.6 Map	136
32.7 Lower powerdomain is bifinite	137
32.8 Join	137
33 Convex powerdomain	138
33.1 Basis preorder	138
33.2 Type definition	139
33.3 Monadic unit and plus	140
33.4 Induction rules	141
33.5 Monadic bind	142
33.6 Map	143
33.7 Convex powerdomain is bifinite	144
33.8 Join	144
33.9 Conversions to other powerdomains	145

34 Powerdomains	146
34.1 Universal domain embeddings	147
34.2 Deflation combinators	147
34.3 Domain class instances	147
34.4 Isomorphic deflations	149
34.5 Domain package setup for powerdomains	149



1 Partial orders

```

theory Porder
imports Main
begin

declare [[typedef-overloaded]]

1.1 Type class for partial orders

class below =
  fixes below :: 'a ⇒ 'a ⇒ bool
begin

  notation (ASCII)
  below (infix << 50)

  notation
  below (infix ⊑ 50)

  abbreviation
  not-below :: 'a ⇒ 'a ⇒ bool (infix ⊏̄ 50)
  where not-below x y ≡ ⊓ x y

  notation (ASCII)
  not-below (infix ∼<< 50)

lemma below-eq-trans: [|a ⊑ b; b = c|] ⇒ a ⊑ c
  ⟨proof⟩

lemma eq-below-trans: [|a = b; b ⊑ c|] ⇒ a ⊑ c
  ⟨proof⟩

end

class po = below +
  assumes below-refl [iff]: x ⊑ x
  assumes below-trans: x ⊑ y ⇒ y ⊑ z ⇒ x ⊑ z
  assumes below-antisym: x ⊑ y ⇒ y ⊑ x ⇒ x = y
begin

lemma eq-imp-below: x = y ⇒ x ⊑ y
  ⟨proof⟩

lemma box-below: a ⊑ b ⇒ c ⊑ a ⇒ b ⊑ d ⇒ c ⊑ d
  ⟨proof⟩

lemma po-eq-conv: x = y ↔ x ⊑ y ∧ y ⊑ x
  ⟨proof⟩

```

lemma *rev-below-trans*: $y \sqsubseteq z \implies x \sqsubseteq y \implies x \sqsubseteq z$
 $\langle proof \rangle$

lemma *not-below2not-eq*: $x \not\sqsubseteq y \implies x \neq y$
 $\langle proof \rangle$

end

lemmas *HOLCF-trans-rules* [*trans*] =
below-trans
below-antisym
below-eq-trans
eq-below-trans

context *po*
begin

1.2 Upper bounds

definition *is-ub* :: $'a \text{ set} \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** $<|$ 55) **where**
 $S <| x \longleftrightarrow (\forall y \in S. y \sqsubseteq x)$

lemma *is-ubI*: $(\bigwedge x. x \in S \implies x \sqsubseteq u) \implies S <| u$
 $\langle proof \rangle$

lemma *is-ubD*: $\llbracket S <| u; x \in S \rrbracket \implies x \sqsubseteq u$
 $\langle proof \rangle$

lemma *ub-imageI*: $(\bigwedge x. x \in S \implies f x \sqsubseteq u) \implies (\lambda x. f x) ` S <| u$
 $\langle proof \rangle$

lemma *ub-imageD*: $\llbracket f ` S <| u; x \in S \rrbracket \implies f x \sqsubseteq u$
 $\langle proof \rangle$

lemma *ub-rangeI*: $(\bigwedge i. S i \sqsubseteq x) \implies \text{range } S <| x$
 $\langle proof \rangle$

lemma *ub-rangeD*: $\text{range } S <| x \implies S i \sqsubseteq x$
 $\langle proof \rangle$

lemma *is-ub-empty* [*simp*]: $\{\} <| u$
 $\langle proof \rangle$

lemma *is-ub-insert* [*simp*]: $(\text{insert } x A) <| y = (x \sqsubseteq y \wedge A <| y)$
 $\langle proof \rangle$

lemma *is-ub-upward*: $\llbracket S <| x; x \sqsubseteq y \rrbracket \implies S <| y$
 $\langle proof \rangle$

1.3 Least upper bounds

definition *is-lub* :: '*a set* \Rightarrow '*a* \Rightarrow *bool* (**infix** $<<|$ 55) **where**
 $S <<| x \longleftrightarrow S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u)$

definition *lub* :: '*a set* \Rightarrow '*a* **where**
 $\text{lub } S = (\text{THE } x. S <<| x)$

end

syntax (*ASCII*)
 $-BLub :: [\text{pttrn}, 'a \text{ set}, 'b] \Rightarrow 'b ((3LUB -:-./ -) [0,0, 10] 10)$

syntax
 $-BLub :: [\text{pttrn}, 'a \text{ set}, 'b] \Rightarrow 'b ((3\sqcup -\in-. / -) [0,0, 10] 10)$

translations

$LUB x:A. t == CONST \text{lub } ((\%x. t) ` A)$

context *po*
begin

abbreviation

Lub (**binder** \sqcup 10) **where**
 $\sqcup n. t n == \text{lub } (\text{range } t)$

notation (*ASCII*)
 Lub (**binder** *LUB* 10)

access to some definition as inference rule

lemma *is-lubD1*: $S <<| x \Longrightarrow S <| x$
 $\langle proof \rangle$

lemma *is-lubD2*: $[S <<| x; S <| u] \Longrightarrow x \sqsubseteq u$
 $\langle proof \rangle$

lemma *is-lubI*: $[S <| x; \bigwedge u. S <| u \Longrightarrow x \sqsubseteq u] \Longrightarrow S <<| x$
 $\langle proof \rangle$

lemma *is-lub-below-iff*: $S <<| x \Longrightarrow x \sqsubseteq u \longleftrightarrow S <| u$
 $\langle proof \rangle$

lubs are unique

lemma *is-lub-unique*: $[S <<| x; S <<| y] \Longrightarrow x = y$
 $\langle proof \rangle$

technical lemmas about *lub* and *op* $<<|$

lemma *is-lub-lub*: $M <<| x \Longrightarrow M <<| \text{lub } M$
 $\langle proof \rangle$

lemma *lub-eqI*: $M <<| l \implies \text{lub } M = l$
 $\langle \text{proof} \rangle$

lemma *is-lub-singleton*: $\{x\} <<| x$
 $\langle \text{proof} \rangle$

lemma *lub-singleton [simp]*: $\text{lub } \{x\} = x$
 $\langle \text{proof} \rangle$

lemma *is-lub-bin*: $x \sqsubseteq y \implies \{x, y\} <<| y$
 $\langle \text{proof} \rangle$

lemma *lub-bin*: $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$
 $\langle \text{proof} \rangle$

lemma *is-lub-maximal*: $\llbracket S <| x; x \in S \rrbracket \implies S <<| x$
 $\langle \text{proof} \rangle$

lemma *lub-maximal*: $\llbracket S <| x; x \in S \rrbracket \implies \text{lub } S = x$
 $\langle \text{proof} \rangle$

1.4 Countable chains

definition *chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **where**

— Here we use countable chains and I prefer to code them as functions!
 $\text{chain } Y = (\forall i. Y i \sqsubseteq Y (\text{Suc } i))$

lemma *chainI*: $(\bigwedge i. Y i \sqsubseteq Y (\text{Suc } i)) \implies \text{chain } Y$
 $\langle \text{proof} \rangle$

lemma *chainE*: $\text{chain } Y \implies Y i \sqsubseteq Y (\text{Suc } i)$
 $\langle \text{proof} \rangle$

chains are monotone functions

lemma *chain-mono-less*: $\llbracket \text{chain } Y; i < j \rrbracket \implies Y i \sqsubseteq Y j$
 $\langle \text{proof} \rangle$

lemma *chain-mono*: $\llbracket \text{chain } Y; i \leq j \rrbracket \implies Y i \sqsubseteq Y j$
 $\langle \text{proof} \rangle$

lemma *chain-shift*: $\text{chain } Y \implies \text{chain } (\lambda i. Y (i + j))$
 $\langle \text{proof} \rangle$

technical lemmas about (least) upper bounds of chains

lemma *is-lub-rangeD1*: $\text{range } S <<| x \implies S i \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *is-ub-range-shift*:

chain S \implies range ($\lambda i. S(i + j)$) $<| x = \text{range } S <| x$
 $\langle proof \rangle$

lemma *is-lub-range-shift*:

chain S \implies range ($\lambda i. S(i + j)$) $<<| x = \text{range } S <<| x$
 $\langle proof \rangle$

the lub of a constant chain is the constant

lemma *chain-const [simp]*: *chain ($\lambda i. c$)*
 $\langle proof \rangle$

lemma *is-lub-const*: *range ($\lambda x. c$) <<| c*
 $\langle proof \rangle$

lemma *lub-const [simp]*: *($\bigsqcup i. c$) = c*
 $\langle proof \rangle$

1.5 Finite chains

definition *max-in-chain :: nat \Rightarrow (nat \Rightarrow 'a) \Rightarrow bool* **where**
 — finite chains, needed for monotony of continuous functions
max-in-chain i C \longleftrightarrow ($\forall j. i \leq j \rightarrow C i = C j$)

definition *finite-chain :: (nat \Rightarrow 'a) \Rightarrow bool* **where**
finite-chain C = (chain C \wedge ($\exists i. \text{max-in-chain } i C$))

results about finite chains

lemma *max-in-chainI*: *($\wedge j. i \leq j \implies Y i = Y j$) \implies max-in-chain i Y*
 $\langle proof \rangle$

lemma *max-in-chainD*: *[max-in-chain i Y; i $\leq j]$ \implies Y i = Y j*
 $\langle proof \rangle$

lemma *finite-chainI*:
[chain C; max-in-chain i C] \implies finite-chain C
 $\langle proof \rangle$

lemma *finite-chainE*:
[finite-chain C; $\wedge i. [chain C; max-in-chain i C] \implies R]$ \implies R
 $\langle proof \rangle$

lemma *lub-finch1*: *[chain C; max-in-chain i C] \implies range C $<<| C i$*
 $\langle proof \rangle$

lemma *lub-finch2*:
finite-chain C \implies range C $<<| C (\text{LEAST } i. max-in-chain i C)$
 $\langle proof \rangle$

lemma *finch-imp-finite-range*: *finite-chain Y \implies finite (range Y)*

```

⟨proof⟩

lemma finite-range-has-max:
  fixes f :: nat ⇒ 'a and r :: 'a ⇒ 'a ⇒ bool
  assumes mono:  $\bigwedge i j. i \leq j \implies r(f i) (f j)$ 
  assumes finite-range: finite (range f)
  shows  $\exists k. \forall i. r(f i) (f k)$ 
⟨proof⟩

lemma finite-range-imp-finch:
   $\llbracket \text{chain } Y; \text{finite } (\text{range } Y) \rrbracket \implies \text{finite-chain } Y$ 
⟨proof⟩

lemma bin-chain:  $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
⟨proof⟩

lemma bin-chainmax:
   $x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
⟨proof⟩

lemma is-lub-bin-chain:
   $x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) <<| y$ 
⟨proof⟩

the maximal element in a chain is its lub

lemma lub-chain-maxelem:  $\llbracket Y i = c; \forall i. Y i \sqsubseteq c \rrbracket \implies \text{lub } (\text{range } Y) = c$ 
⟨proof⟩

end

end

```

2 Classes cpo and pcpo

```

theory Pcpo
imports Porder
begin

```

2.1 Complete partial orders

The class cpo of chain complete partial orders

```

class cpo = po +
  assumes cpo: chain S ⇒ ∃ x. range S <<| x
begin

```

in cpo's everthing equal to THE lub has lub properties for every chain

```

lemma cpo-lubI: chain S ⇒ range S <<| ( $\bigsqcup i. S i$ )
⟨proof⟩

```

lemma *thelubE*: $\llbracket \text{chain } S; (\bigsqcup i. S i) = l \rrbracket \implies \text{range } S <<| l$
 $\langle \text{proof} \rangle$

Properties of the lub

lemma *is-ub-thelub*: $\text{chain } S \implies S x \sqsubseteq (\bigsqcup i. S i)$
 $\langle \text{proof} \rangle$

lemma *is-lub-thelub*:
 $\llbracket \text{chain } S; \text{range } S <| x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *lub-below-iff*: $\text{chain } S \implies (\bigsqcup i. S i) \sqsubseteq x \longleftrightarrow (\forall i. S i \sqsubseteq x)$
 $\langle \text{proof} \rangle$

lemma *lub-below*: $\llbracket \text{chain } S; \bigwedge i. S i \sqsubseteq x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *below-lub*: $\llbracket \text{chain } S; x \sqsubseteq S i \rrbracket \implies x \sqsubseteq (\bigsqcup i. S i)$
 $\langle \text{proof} \rangle$

lemma *lub-range-mono*:
 $\llbracket \text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } X \rrbracket$
 $\implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma *lub-range-shift*:
 $\text{chain } Y \implies (\bigsqcup i. Y (i + j)) = (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma *maxinch-is-thelub*:
 $\text{chain } Y \implies \text{max-in-chain } i Y = ((\bigsqcup i. Y i) = Y i)$
 $\langle \text{proof} \rangle$

the \sqsubseteq relation between two chains is preserved by their lubs

lemma *lub-mono*:
 $\llbracket \text{chain } X; \text{chain } Y; \bigwedge i. X i \sqsubseteq Y i \rrbracket$
 $\implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

the $=$ relation between two chains is preserved by their lubs

lemma *lub-eq*:
 $(\bigwedge i. X i = Y i) \implies (\bigsqcup i. X i) = (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma *ch2ch-lub*:
assumes 1: $\bigwedge j. \text{chain } (\lambda i. Y i j)$
assumes 2: $\bigwedge i. \text{chain } (\lambda j. Y i j)$
shows $\text{chain } (\lambda i. \bigsqcup j. Y i j)$

```

⟨proof⟩

lemma diag-lub:
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y i j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y i j)$ 
  shows  $(\bigsqcup i. \bigsqcup j. Y i j) = (\bigsqcup i. Y i i)$ 
⟨proof⟩

lemma ex-lub:
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y i j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y i j)$ 
  shows  $(\bigsqcup i. \bigsqcup j. Y i j) = (\bigsqcup j. \bigsqcup i. Y i j)$ 
⟨proof⟩

end

```

2.2 Pointed cpos

The class pcpo of pointed cpos

```

class pcpo = cpo +
  assumes least:  $\exists x. \forall y. x \sqsubseteq y$ 
begin

  definition bottom :: 'a ( $\perp$ )
    where bottom = (THE x.  $\forall y. x \sqsubseteq y$ )

```

```

lemma minimal [iff]:  $\perp \sqsubseteq x$ 
⟨proof⟩

```

end

Old "UU" syntax:

syntax UU :: logic

translations UU => CONST bottom

Simproc to rewrite $\perp = x$ to $x = \perp$.

⟨ML⟩

useful lemmas about \perp

```

lemma below-bottom-iff [simp]:  $(x \sqsubseteq \perp) = (x = \perp)$ 
⟨proof⟩

```

```

lemma eq-bottom-iff:  $(x = \perp) = (x \sqsubseteq \perp)$ 
⟨proof⟩

```

```

lemma bottomI:  $x \sqsubseteq \perp \implies x = \perp$ 
⟨proof⟩

```

```
lemma lub-eq-bottom-iff: chain Y  $\implies$  ( $\bigsqcup i. Y i$ ) =  $\perp \longleftrightarrow (\forall i. Y i = \perp)$ 
⟨proof⟩
```

2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

```
class chfin = po +
  assumes chfin: chain Y  $\implies \exists n. max-in-chain n Y$ 
begin
```

```
subclass cpo
⟨proof⟩
```

```
lemma chfin2finch: chain Y  $\implies$  finite-chain Y
⟨proof⟩
```

end

```
class flat = pcpo +
  assumes ax-flat:  $x \sqsubseteq y \implies x = \perp \vee x = y$ 
begin
```

```
subclass chfin
⟨proof⟩
```

```
lemma flat-below-iff:
  shows  $(x \sqsubseteq y) = (x = \perp \vee x = y)$ 
⟨proof⟩
```

```
lemma flat-eq:  $a \neq \perp \implies a \sqsubseteq b = (a = b)$ 
⟨proof⟩
```

end

2.4 Discrete cpos

```
class discrete-cpo = below +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 
begin
```

```
subclass po
⟨proof⟩
```

In a discrete cpo, every chain is constant

```
lemma discrete-chain-const:
  assumes S: chain S
  shows  $\exists x. S = (\lambda i. x)$ 
⟨proof⟩
```

```

subclass chfin
⟨proof⟩

end

end

```

3 Continuity and monotonicity

```

theory Cont
imports Pcpo
begin

```

Now we change the default class! Form now on all untyped type variables are of default class po

```
default-sort po
```

3.1 Definitions

definition

```

monofun :: ('a ⇒ 'b) ⇒ bool — monotonicity where
monofun f = ( ∀ x y. x ⊑ y → f x ⊑ f y)

```

definition

```

cont :: ('a::cpo ⇒ 'b::cpo) ⇒ bool
where
cont f = ( ∀ Y. chain Y → range ( λ i. f (Y i)) <<| f ( ⋃ i. Y i))

```

lemma contI:

```

[ ∀ Y. chain Y ⇒ range ( λ i. f (Y i)) <<| f ( ⋃ i. Y i)] ⇒ cont f
⟨proof⟩

```

lemma contE:

```

[ cont f; chain Y] ⇒ range ( λ i. f (Y i)) <<| f ( ⋃ i. Y i)
⟨proof⟩

```

lemma monofunI:

```

[ ∀ x y. x ⊑ y ⇒ f x ⊑ f y] ⇒ monofun f
⟨proof⟩

```

lemma monofunE:

```

[ monofun f; x ⊑ y] ⇒ f x ⊑ f y
⟨proof⟩

```

3.2 Equivalence of alternate definition

monotone functions map chains to chains

lemma *ch2ch-monofun*: $\llbracket \text{monofun } f; \text{chain } Y \rrbracket \implies \text{chain} (\lambda i. f (Y i))$
 $\langle \text{proof} \rangle$

monotone functions map upper bound to upper bounds

lemma *ub2ub-monofun*:
 $\llbracket \text{monofun } f; \text{range } Y <| u \rrbracket \implies \text{range} (\lambda i. f (Y i)) <| f u$
 $\langle \text{proof} \rangle$

a lemma about binary chains

lemma *binchain-cont*:
 $\llbracket \text{cont } f; x \sqsubseteq y \rrbracket \implies \text{range} (\lambda i::\text{nat}. f (\text{if } i = 0 \text{ then } x \text{ else } y)) <<| f y$
 $\langle \text{proof} \rangle$

continuity implies monotonicity

lemma *cont2mono*: $\text{cont } f \implies \text{monofun } f$
 $\langle \text{proof} \rangle$

lemmas *cont2monofunE* = *cont2mono* [*THEN monofunE*]

lemmas *ch2ch-cont* = *cont2mono* [*THEN ch2ch-monofun*]

continuity implies preservation of lubs

lemma *cont2contlubE*:
 $\llbracket \text{cont } f; \text{chain } Y \rrbracket \implies f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i))$
 $\langle \text{proof} \rangle$

lemma *contI2*:
fixes $f :: 'a::\text{cpo} \Rightarrow 'b::\text{cpo}$
assumes *mono*: $\text{monofun } f$
assumes *below*: $\bigwedge Y. \llbracket \text{chain } Y; \text{chain} (\lambda i. f (Y i)) \rrbracket$
 $\implies f (\bigsqcup i. Y i) \sqsubseteq (\bigsqcup i. f (Y i))$
shows *cont f*
 $\langle \text{proof} \rangle$

3.3 Collection of continuity rules

named-theorems *cont2cont continuity intro rule*

3.4 Continuity of basic functions

The identity function is continuous

lemma *cont-id* [*simp, cont2cont*]: $\text{cont} (\lambda x. x)$
 $\langle \text{proof} \rangle$

constant functions are continuous

lemma *cont-const* [*simp, cont2cont*]: $\text{cont} (\lambda x. c)$
 $\langle \text{proof} \rangle$

application of functions is continuous

lemma *cont-apply*:

```
fixes f :: 'a::cpo ⇒ 'b::cpo ⇒ 'c::cpo and t :: 'a ⇒ 'b
assumes 1: cont (λx. t x)
assumes 2: ∀x. cont (λy. f x y)
assumes 3: ∀y. cont (λx. f x y)
shows cont (λx. (f x) (t x))
⟨proof⟩
```

lemma *cont-compose*:

```
⟦cont c; cont (λx. f x)⟧ ⇒ cont (λx. c (f x))
⟨proof⟩
```

Least upper bounds preserve continuity

lemma *cont2cont-lub [simp]*:

```
assumes chain: ∀x. chain (λi. F i x) and cont: ∀i. cont (λx. F i x)
shows cont (λx. ⋁ i. F i x)
⟨proof⟩
```

if-then-else is continuous

lemma *cont-if [simp, cont2cont]*:

```
⟦cont f; cont g⟧ ⇒ cont (λx. if b then f x else g x)
⟨proof⟩
```

3.5 Finite chains and flat pcpos

Monotone functions map finite chains to finite chains.

lemma *monofun-finch2finch*:

```
⟦monofun f; finite-chain Y⟧ ⇒ finite-chain (λn. f (Y n))
⟨proof⟩
```

The same holds for continuous functions.

lemma *cont-finch2finch*:

```
⟦cont f; finite-chain Y⟧ ⇒ finite-chain (λn. f (Y n))
⟨proof⟩
```

All monotone functions with chain-finite domain are continuous.

lemma *chfindom-monofun2cont*: *monofun f ⇒ cont (f:'a::chfin ⇒ 'b::cpo)*
 ⟨proof⟩

All strict functions with flat domain are continuous.

lemma *flatdom-strict2mono*: *f ⊥ = ⊥ ⇒ monofun (f:'a::flat ⇒ 'b::pcpo)*
 ⟨proof⟩

lemma *flatdom-strict2cont*: *f ⊥ = ⊥ ⇒ cont (f:'a::flat ⇒ 'b::pcpo)*
 ⟨proof⟩

All functions with discrete domain are continuous.

```
lemma cont-discrete-cpo [simp, cont2cont]: cont (f::'a::discrete-cpo  $\Rightarrow$  'b::cpo)
  ⟨proof⟩
end
```

4 Admissibility and compactness

```
theory Adm
imports Cont
begin
```

```
default-sort cpo
```

4.1 Definitions

```
definition
```

```
adm :: ('a::cpo  $\Rightarrow$  bool)  $\Rightarrow$  bool where
adm P = ( $\forall$  Y. chain Y  $\longrightarrow$  ( $\forall$  i. P (Y i))  $\longrightarrow$  P ( $\bigsqcup$  i. Y i))
```

```
lemma admI:
```

```
( $\wedge$  Y. [chain Y;  $\forall$  i. P (Y i)]  $\Longrightarrow$  P ( $\bigsqcup$  i. Y i))  $\Longrightarrow$  adm P
⟨proof⟩
```

```
lemma admD: [adm P; chain Y;  $\wedge$  i. P (Y i)]  $\Longrightarrow$  P ( $\bigsqcup$  i. Y i)
⟨proof⟩
```

```
lemma admD2: [adm ( $\lambda$ x.  $\neg$  P x); chain Y; P ( $\bigsqcup$  i. Y i)]  $\Longrightarrow$   $\exists$  i. P (Y i)
⟨proof⟩
```

```
lemma triv-admI:  $\forall$  x. P x  $\Longrightarrow$  adm P
⟨proof⟩
```

4.2 Admissibility on chain-finite types

For chain-finite (easy) types every formula is admissible.

```
lemma adm-chfin [simp]: adm (P::'a::chfin  $\Rightarrow$  bool)
⟨proof⟩
```

4.3 Admissibility of special formulae and propagation

```
lemma adm-const [simp]: adm ( $\lambda$ x. t)
⟨proof⟩
```

```
lemma adm-conj [simp]:
  [adm ( $\lambda$ x. P x); adm ( $\lambda$ x. Q x)]  $\Longrightarrow$  adm ( $\lambda$ x. P x  $\wedge$  Q x)
⟨proof⟩
```

lemma *adm-all* [*simp*]:

$(\bigwedge y. \text{adm} (\lambda x. P x y)) \implies \text{adm} (\lambda x. \forall y. P x y)$

{proof}

lemma *adm-ball* [*simp*]:

$(\bigwedge y. y \in A \implies \text{adm} (\lambda x. P x y)) \implies \text{adm} (\lambda x. \forall y \in A. P x y)$

{proof}

Admissibility for disjunction is hard to prove. It requires 2 lemmas.

lemma *adm-disj-lemma1*:

assumes *adm*: *adm P*

assumes *chain*: *chain Y*

assumes *P*: $\forall i. \exists j \geq i. P (Y j)$

shows *P* ($\bigsqcup i. Y i$)

{proof}

lemma *adm-disj-lemma2*:

$\forall n :: \text{nat}. P n \vee Q n \implies (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$

{proof}

lemma *adm-disj* [*simp*]:

$\llbracket \text{adm} (\lambda x. P x); \text{adm} (\lambda x. Q x) \rrbracket \implies \text{adm} (\lambda x. P x \vee Q x)$

{proof}

lemma *adm-imp* [*simp*]:

$\llbracket \text{adm} (\lambda x. \neg P x); \text{adm} (\lambda x. Q x) \rrbracket \implies \text{adm} (\lambda x. P x \rightarrow Q x)$

{proof}

lemma *adm-iff* [*simp*]:

$\llbracket \text{adm} (\lambda x. P x \rightarrow Q x); \text{adm} (\lambda x. Q x \rightarrow P x) \rrbracket$

$\implies \text{adm} (\lambda x. P x = Q x)$

{proof}

admissibility and continuity

lemma *adm-below* [*simp*]:

$\llbracket \text{cont} (\lambda x. u x); \text{cont} (\lambda x. v x) \rrbracket \implies \text{adm} (\lambda x. u x \sqsubseteq v x)$

{proof}

lemma *adm-eq* [*simp*]:

$\llbracket \text{cont} (\lambda x. u x); \text{cont} (\lambda x. v x) \rrbracket \implies \text{adm} (\lambda x. u x = v x)$

{proof}

lemma *adm-subst*: $\llbracket \text{cont} (\lambda x. t x); \text{adm } P \rrbracket \implies \text{adm} (\lambda x. P (t x))$

{proof}

lemma *adm-not-below* [*simp*]: $\text{cont} (\lambda x. t x) \implies \text{adm} (\lambda x. t x \not\sqsubseteq u)$

{proof}

4.4 Compactness

definition

```
compact :: 'a::cpo ⇒ bool where
  compact k = adm (λx. k ⊑ x)
```

lemma *compactI*: $\text{adm} (\lambda x. k \sqsubseteq x) \implies \text{compact } k$
(proof)

lemma *compactD*: $\text{compact } k \implies \text{adm} (\lambda x. k \sqsubseteq x)$
(proof)

lemma *compactI2*:
 $(\bigwedge Y. [\text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i)] \implies \exists i. x \sqsubseteq Y i) \implies \text{compact } x$
(proof)

lemma *compactD2*:
 $[\text{compact } x; \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i)] \implies \exists i. x \sqsubseteq Y i$
(proof)

lemma *compact-below-lub-iff*:
 $[\text{compact } x; \text{chain } Y] \implies x \sqsubseteq (\bigsqcup i. Y i) \longleftrightarrow (\exists i. x \sqsubseteq Y i)$
(proof)

lemma *compact-chfin [simp]*: $\text{compact } (x::'a::chfin)$
(proof)

lemma *compact-imp-max-in-chain*:
 $[\text{chain } Y; \text{compact } (\bigsqcup i. Y i)] \implies \exists i. \text{max-in-chain } i Y$
(proof)

admissibility and compactness

lemma *adm-compact-not-below [simp]*:
 $[\text{compact } k; \text{cont } (\lambda x. t x)] \implies \text{adm} (\lambda x. k \not\sqsubseteq t x)$
(proof)

lemma *adm-neq-compact [simp]*:
 $[\text{compact } k; \text{cont } (\lambda x. t x)] \implies \text{adm} (\lambda x. t x \neq k)$
(proof)

lemma *adm-compact-neq [simp]*:
 $[\text{compact } k; \text{cont } (\lambda x. t x)] \implies \text{adm} (\lambda x. k \neq t x)$
(proof)

lemma *compact-bottom [simp, intro]*: $\text{compact } \perp$
(proof)

Any upward-closed predicate is admissible.

lemma *adm-upward*:
assumes $P: \bigwedge x y. [P x; x \sqsubseteq y] \implies P y$

```

shows adm P
⟨proof⟩

lemmas adm-lemmas =
  adm-const adm-conj adm-all adm-ball adm-disj adm-imp adm-iff
  adm-below adm-eq adm-not-below
  adm-compact-not-below adm-compact-neq adm-neq-compact

end

```

5 Subtypes of pcpo

```

theory Cpodef
imports Adm
keywords pcpodef cpodef :: thy-goal
begin

```

5.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

⟨ML⟩

```

theorem typedef-po:
  fixes Abs :: 'a::po ⇒ 'b::type
  assumes type: type-definition Rep Abs A
    and below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
  shows OFCLASS('b, po-class)
⟨proof⟩

```

⟨ML⟩

5.2 Proving a subtype is finite

```

lemma typedef_finite_UNIV:
  fixes Abs :: 'a::type ⇒ 'b::type
  assumes type: type-definition Rep Abs A
  shows finite A ⇒ finite (UNIV :: 'b set)
⟨proof⟩

```

5.3 Proving a subtype is chain-finite

```

lemma ch2ch_Rep:
  assumes below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
  shows chain S ⇒ chain (λi. Rep (S i))
⟨proof⟩

```

theorem typedef_chfin:

```

fixes Abs :: 'a::chfn  $\Rightarrow$  'b::po
assumes type: type-definition Rep Abs A
  and below: op  $\sqsubseteq$   $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
shows OFCLASS('b, chfn-class)
⟨proof⟩

```

5.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

```

lemma typedef-is-lubI:
  assumes below: op  $\sqsubseteq$   $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
  shows range ( $\lambda i. Rep (S i)$ )  $<<| Rep x \implies range S <<| x$ 
⟨proof⟩

```

```

lemma Abs-inverse-lub-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
  and below: op  $\sqsubseteq$   $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  shows chain S  $\implies Rep (Abs (\bigsqcup i. Rep (S i))) = (\bigsqcup i. Rep (S i))$ 
⟨proof⟩

```

```

theorem typedef-is-lub:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
  and below: op  $\sqsubseteq$   $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  shows chain S  $\implies range S <<| Abs (\bigsqcup i. Rep (S i))$ 
⟨proof⟩

```

lemmas typedef-lub = typedef-is-lub [THEN lub-eqI]

```

theorem typedef-cpo:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
  and below: op  $\sqsubseteq$   $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  shows OFCLASS('b, cpo-class)
⟨proof⟩

```

5.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

```

theorem typedef-cont-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A

```

```

and below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
and adm: adm (λx. x ∈ A)
shows cont (λx. f x) ⇒ cont (λx. Rep (f x))
⟨proof⟩

```

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

```

theorem typedef-cont-Abs:
fixes Abs :: 'a::cpo ⇒ 'b::cpo
fixes f :: 'c::cpo ⇒ 'a::cpo
assumes type: type-definition Rep Abs A
and below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
and adm: adm (λx. x ∈ A)
and f-in-A: ⋀x. f x ∈ A
shows cont f ⇒ cont (λx. Abs (f x))
⟨proof⟩

```

5.5 Proving subtype elements are compact

```

theorem typedef-compact:
fixes Abs :: 'a::cpo ⇒ 'b::cpo
assumes type: type-definition Rep Abs A
and below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
and adm: adm (λx. x ∈ A)
shows compact (Rep k) ⇒ compact k
⟨proof⟩

```

5.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

```

theorem typedef-pcpo-generic:
fixes Abs :: 'a::cpo ⇒ 'b::cpo
assumes type: type-definition Rep Abs A
and below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
and z-in-A: z ∈ A
and z-least: ⋀x. x ∈ A ⇒ z ⊑ x
shows OFCLASS('b, pcpo-class)
⟨proof⟩

```

As a special case, a subtype of a pcpo has a least element if the defining subset contains \perp .

```

theorem typedef-pcpo:
fixes Abs :: 'a::pcpo ⇒ 'b::cpo
assumes type: type-definition Rep Abs A
and below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
and bottom-in-A: ⊥ ∈ A

```

shows *OFCLASS*(‘*b*, pcpo-class)
{proof}

5.6.1 Strictness of *Rep* and *Abs*

For a sub-pcpo where \perp is a member of the defining subset, *Rep* and *Abs* are both strict.

theorem *typedef-Abs-strict*:

assumes *type*: type-definition *Rep Abs A*
and *below*: *op* $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *bottom-in-A*: $\perp \in A$
shows *Abs* $\perp = \perp$
{proof}

theorem *typedef-Rep-strict*:

assumes *type*: type-definition *Rep Abs A*
and *below*: *op* $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *bottom-in-A*: $\perp \in A$
shows *Rep* $\perp = \perp$
{proof}

theorem *typedef-Abs-bottom-iff*:

assumes *type*: type-definition *Rep Abs A*
and *below*: *op* $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *bottom-in-A*: $\perp \in A$
shows $x \in A \implies (\text{Abs } x = \perp) = (x = \perp)$
{proof}

theorem *typedef-Rep-bottom-iff*:

assumes *type*: type-definition *Rep Abs A*
and *below*: *op* $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *bottom-in-A*: $\perp \in A$
shows $(\text{Rep } x = \perp) = (x = \perp)$
{proof}

5.7 Proving a subtype is flat

theorem *typedef-flat*:

fixes *Abs* :: ‘*a*::flat \Rightarrow ‘*b*::pcpo
assumes *type*: type-definition *Rep Abs A*
and *below*: *op* $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *bottom-in-A*: $\perp \in A$
shows *OFCLASS*(‘*b*, flat-class)
{proof}

5.8 HOLCF type definition package

{ML}

```
end
```

6 Class instances for the full function space

```
theory Fun-Cpo
imports Adm
begin
```

6.1 Full function space is a partial order

```
instantiation fun :: (type, below) below
begin

definition
below-fun-def: (op ⊑) ≡ (λf g. ∀x. f x ⊑ g x)
```

```
instance ⟨proof⟩
end
```

```
instance fun :: (type, po) po
⟨proof⟩
```

```
lemma fun-below-iff: f ⊑ g ↔ (∀x. f x ⊑ g x)
⟨proof⟩
```

```
lemma fun-belowI: (∀x. f x ⊑ g x) ⇒ f ⊑ g
⟨proof⟩
```

```
lemma fun-belowD: f ⊑ g ⇒ f x ⊑ g x
⟨proof⟩
```

6.2 Full function space is chain complete

Properties of chains of functions.

```
lemma fun-chain-iff: chain S ↔ (∀x. chain (λi. S i x))
⟨proof⟩
```

```
lemma ch2ch-fun: chain S ⇒ chain (λi. S i x)
⟨proof⟩
```

```
lemma ch2ch-lambda: (∀x. chain (λi. S i x)) ⇒ chain S
⟨proof⟩
```

Type '*a* ⇒ *b* is chain complete

```
lemma is-lub-lambda:
(∀x. range (λi. Y i x) <<| f x) ⇒ range Y <<| f
⟨proof⟩
```

lemma *is-lub-fun*:
chain ($S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo$)
 $\implies range S <<| (\lambda x. \bigsqcup i. S i x)$
{proof}

lemma *lub-fun*:
chain ($S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo$)
 $\implies (\bigsqcup i. S i) = (\lambda x. \bigsqcup i. S i x)$
{proof}

instance *fun* :: (*type, cpo*) *cpo*
{proof}

instance *fun* :: (*type, discrete-cpo*) *discrete-cpo*
{proof}

6.3 Full function space is pointed

lemma *minimal-fun*: $(\lambda x. \perp) \sqsubseteq f$
{proof}

instance *fun* :: (*type, pcpo*) *pcpo*
{proof}

lemma *inst-fun-pcpo*: $\perp = (\lambda x. \perp)$
{proof}

lemma *app-strict [simp]*: $\perp x = \perp$
{proof}

lemma *lambda-strict*: $(\lambda x. \perp) = \perp$
{proof}

6.4 Propagation of monotonicity and continuity

The lub of a chain of monotone functions is monotone.

lemma *adm-monofun*: *adm monofun*
{proof}

The lub of a chain of continuous functions is continuous.

lemma *adm-cont*: *adm cont*
{proof}

Function application preserves monotonicity and continuity.

lemma *mono2mono-fun*: *monofun f* \implies *monofun* ($\lambda x. f x y$)
{proof}

lemma *cont2cont-fun*: *cont f* \implies *cont* ($\lambda x. f x y$)

$\langle proof \rangle$

lemma *cont-fun*: *cont* ($\lambda f. f x$)
 $\langle proof \rangle$

Lambda abstraction preserves monotonicity and continuity. (Note $(\lambda x. \lambda y. f x y) = f$.)

lemma *mono2mono-lambda*:
assumes $f: \bigwedge y. \text{monofun } (\lambda x. f x y)$ **shows** *monofun* f
 $\langle proof \rangle$

lemma *cont2cont-lambda* [*simp*]:
assumes $f: \bigwedge y. \text{cont } (\lambda x. f x y)$ **shows** *cont* f
 $\langle proof \rangle$

What D.A.Schmidt calls continuity of abstraction; never used here

lemma *contlub-lambda*:
 $(\bigwedge x: 'a::type. \text{chain } (\lambda i. S i x: 'b::cpo))$
 $\implies (\lambda x. \bigsqcup i. S i x) = (\bigsqcup i. (\lambda x. S i x))$
 $\langle proof \rangle$

end

7 The cpo of cartesian products

theory *Product-Cpo*
imports *Adm*
begin

default-sort *cpo*

7.1 Unit type is a pcpo

instantiation *unit* :: *discrete-cpo*
begin

definition
 below-unit-def [*simp*]: $x \sqsubseteq (y::\text{unit}) \longleftrightarrow \text{True}$

instance $\langle proof \rangle$

end

instance *unit* :: *pcpo*
 $\langle proof \rangle$

7.2 Product type is a partial order

instantiation *prod* :: $(\text{below}, \text{below})$ *below*

begin

definition

below-prod-def: $(op \sqsubseteq) \equiv \lambda p1\ p2. (fst\ p1 \sqsubseteq fst\ p2 \wedge snd\ p1 \sqsubseteq snd\ p2)$

instance $\langle proof \rangle$
end

instance $prod :: (po, po) po$
 $\langle proof \rangle$

7.3 Monotonicity of *Pair*, *fst*, *snd*

lemma $prod\text{-}belowI$: $\llbracket fst\ p \sqsubseteq fst\ q; snd\ p \sqsubseteq snd\ q \rrbracket \implies p \sqsubseteq q$
 $\langle proof \rangle$

lemma *Pair*-below-iff [simp]: $(a, b) \sqsubseteq (c, d) \longleftrightarrow a \sqsubseteq c \wedge b \sqsubseteq d$
 $\langle proof \rangle$

Pair (-,-) is monotone in both arguments

lemma *monofun*-pair1: *monofun* ($\lambda x. (x, y)$)
 $\langle proof \rangle$

lemma *monofun*-pair2: *monofun* ($\lambda y. (x, y)$)
 $\langle proof \rangle$

lemma *monofun*-pair:
 $\llbracket x1 \sqsubseteq x2; y1 \sqsubseteq y2 \rrbracket \implies (x1, y1) \sqsubseteq (x2, y2)$
 $\langle proof \rangle$

lemma *ch2ch*-Pair [simp]:
 $chain\ X \implies chain\ Y \implies chain\ (\lambda i. (X\ i, Y\ i))$
 $\langle proof \rangle$

fst and *snd* are monotone

lemma *fst*-monofun: $x \sqsubseteq y \implies fst\ x \sqsubseteq fst\ y$
 $\langle proof \rangle$

lemma *snd*-monofun: $x \sqsubseteq y \implies snd\ x \sqsubseteq snd\ y$
 $\langle proof \rangle$

lemma *monofun*-*fst*: *monofun* *fst*
 $\langle proof \rangle$

lemma *monofun*-*snd*: *monofun* *snd*
 $\langle proof \rangle$

lemmas *ch2ch*-*fst* [simp] = *ch2ch*-*monofun* [OF *monofun*-*fst*]

lemmas *ch2ch-snd* [*simp*] = *ch2ch-monofun* [*OF monofun-snd*]

lemma *prod-chain-cases*:

assumes *chain Y*

obtains *A B*

where *chain A and chain B and Y = (λi. (A i, B i))*

{proof}

7.4 Product type is a cpo

lemma *is-lub-Pair*:

$\llbracket \text{range } A <<| x; \text{range } B <<| y \rrbracket \implies \text{range } (\lambda i. (A i, B i)) <<| (x, y)$

lemma *lub-Pair*:

$\llbracket \text{chain } (A::\text{nat} \Rightarrow 'a::\text{cpo}); \text{chain } (B::\text{nat} \Rightarrow 'b::\text{cpo}) \rrbracket$

$\implies (\bigsqcup i. (A i, B i)) = (\bigsqcup i. A i, \bigsqcup i. B i)$

{proof}

lemma *is-lub-prod*:

fixes *S :: nat ⇒ ('a::cpo × 'b::cpo)*

assumes *S: chain S*

shows *range S <<| (bigsqcup i. fst (S i), bigsqcup i. snd (S i))*

{proof}

lemma *lub-prod*:

chain (S::nat ⇒ 'a::cpo × 'b::cpo)

$\implies (\bigsqcup i. S i) = (\bigsqcup i. \text{fst } (S i), \bigsqcup i. \text{snd } (S i))$

{proof}

instance *prod :: (cpo, cpo) cpo*

{proof}

instance *prod :: (discrete-cpo, discrete-cpo) discrete-cpo*

{proof}

7.5 Product type is pointed

lemma *minimal-prod*: $(\perp, \perp) \sqsubseteq p$

{proof}

instance *prod :: (pcpo, pcpo) pcpo*

{proof}

lemma *inst-prod-pcpo*: $\perp = (\perp, \perp)$

{proof}

lemma *Pair-bottom-iff* [*simp*]: $(x, y) = \perp \longleftrightarrow x = \perp \wedge y = \perp$

{proof}

```

lemma fst-strict [simp]: fst ⊥ = ⊥
⟨proof⟩

lemma snd-strict [simp]: snd ⊥ = ⊥
⟨proof⟩

lemma Pair-strict [simp]: (⊥, ⊥) = ⊥
⟨proof⟩

lemma split-strict [simp]: case-prod f ⊥ = f ⊥ ⊥
⟨proof⟩

```

7.6 Continuity of *Pair*, *fst*, *snd*

```

lemma cont-pair1: cont (λx. (x, y))
⟨proof⟩

lemma cont-pair2: cont (λy. (x, y))
⟨proof⟩

lemma cont-fst: cont fst
⟨proof⟩

lemma cont-snd: cont snd
⟨proof⟩

lemma cont2cont-Pair [simp, cont2cont]:
  assumes f: cont (λx. f x)
  assumes g: cont (λx. g x)
  shows cont (λx. (f x, g x))
⟨proof⟩

lemmas cont2cont-fst [simp, cont2cont] = cont-compose [OF cont-fst]
lemmas cont2cont-snd [simp, cont2cont] = cont-compose [OF cont-snd]

lemma cont2cont-case-prod:
  assumes f1: ⋀a b. cont (λx. f x a b)
  assumes f2: ⋀x b. cont (λa. f x a b)
  assumes f3: ⋀x a. cont (λb. f x a b)
  assumes g: cont (λx. g x)
  shows cont (λx. case g x of (a, b) ⇒ f x a b)
⟨proof⟩

lemma prod-contI:
  assumes f1: ⋀y. cont (λx. f (x, y))
  assumes f2: ⋀x. cont (λy. f (x, y))
  shows cont f
⟨proof⟩

```

```

lemma prod-cont-iff:
  cont f  $\longleftrightarrow$  ( $\forall y.$  cont ( $\lambda x.$  f (x, y)))  $\wedge$  ( $\forall x.$  cont ( $\lambda y.$  f (x, y)))
⟨proof⟩

lemma cont2cont-case-prod' [simp, cont2cont]:
  assumes f: cont ( $\lambda p.$  f (fst p) (fst (snd p)) (snd (snd p)))
  assumes g: cont ( $\lambda x.$  g x)
  shows cont ( $\lambda x.$  case-prod (f x) (g x))
⟨proof⟩

```

The simple version (due to Joachim Breitner) is needed if either element type of the pair is not a cpo.

```

lemma cont2cont-split-simple [simp, cont2cont]:
  assumes  $\wedge a b.$  cont ( $\lambda x.$  f x a b)
  shows cont ( $\lambda x.$  case p of (a, b)  $\Rightarrow$  f x a b)
⟨proof⟩

```

Admissibility of predicates on product types.

```

lemma adm-case-prod [simp]:
  assumes adm ( $\lambda x.$  P x (fst (f x)) (snd (f x)))
  shows adm ( $\lambda x.$  case f x of (a, b)  $\Rightarrow$  P x a b)
⟨proof⟩

```

7.7 Compactness and chain-finiteness

```

lemma fst-below-iff: fst (x::'a × 'b) ⊑ y  $\longleftrightarrow$  x ⊑ (y, snd x)
⟨proof⟩

```

```

lemma snd-below-iff: snd (x::'a × 'b) ⊑ y  $\longleftrightarrow$  x ⊑ (fst x, y)
⟨proof⟩

```

```

lemma compact-fst: compact x  $\Longrightarrow$  compact (fst x)
⟨proof⟩

```

```

lemma compact-snd: compact x  $\Longrightarrow$  compact (snd x)
⟨proof⟩

```

```

lemma compact-Pair: [compact x; compact y]  $\Longrightarrow$  compact (x, y)
⟨proof⟩

```

```

lemma compact-Pair-iff [simp]: compact (x, y)  $\longleftrightarrow$  compact x  $\wedge$  compact y
⟨proof⟩

```

```

instance prod :: (chfin, chfin) chfin
⟨proof⟩

```

end

8 The type of continuous functions

```
theory Cfun
imports Cpodef Fun-Cpo Product-Cpo
begin

default-sort cpo
```

8.1 Definition of continuous function type

```
definition cfun = {f::'a => 'b. cont f}

cpodef ('a, 'b) cfun ((- →/-) [1, 0] 0) = cfun :: ('a => 'b) set
⟨proof⟩

type-notation (ASCII)
cfun (infixr -> 0)

notation (ASCII)
Rep-cfun ((-$/-) [999,1000] 999)

notation
Rep-cfun ((.-/-) [999,1000] 999)
```

8.2 Syntax for continuous lambda abstraction

syntax -cabs :: [logic, logic] ⇒ logic

$\langle ML \rangle$

Syntax for nested abstractions

syntax (ASCII)
 $\text{-Lambda} :: [\text{cargs}, \text{logic}] \Rightarrow \text{logic} \ ((\exists \text{LAM} \text{ -./ -}) [1000, 10] 10)$

syntax
 $\text{-Lambda} :: [\text{cargs}, \text{logic}] \Rightarrow \text{logic} \ ((\exists \Lambda \text{ -./ -}) [1000, 10] 10)$

$\langle ML \rangle$

Dummy patterns for continuous abstraction

translations
 $\Lambda _ . t \Rightarrow \text{CONST Abs-cfun} (\lambda _ . t)$

8.3 Continuous function space is pointed

lemma bottom-cfun: $\perp \in \text{cfun}$
 $\langle proof \rangle$

instance cfun :: (cpo, discrete-cpo) discrete-cpo

$\langle proof \rangle$

instance *cfun* :: (*cpo*, *pcpo*) *pcpo*
 $\langle proof \rangle$

lemmas *Rep-cfun-strict* =
typedef-Rep-strict [*OF type-definition-cfun below-cfun-def bottom-cfun*]

lemmas *Abs-cfun-strict* =
typedef-Abs-strict [*OF type-definition-cfun below-cfun-def bottom-cfun*]

function application is strict in its first argument

lemma *Rep-cfun-strict1* [*simp*]: $\perp \cdot x = \perp$
 $\langle proof \rangle$

lemma *LAM-strict* [*simp*]: $(\Lambda x. \perp) = \perp$
 $\langle proof \rangle$

for compatibility with old HOLCF-Version

lemma *inst-cfun-pcpo*: $\perp = (\Lambda x. \perp)$
 $\langle proof \rangle$

8.4 Basic properties of continuous functions

Beta-equality for continuous functions

lemma *Abs-cfun-inverse2*: *cont f* \implies *Rep-cfun (Abs-cfun f) = f*
 $\langle proof \rangle$

lemma *beta-cfun*: *cont f* \implies $(\Lambda x. f x) \cdot u = f u$
 $\langle proof \rangle$

Beta-reduction simproc

Given the term $(\Lambda x. f x) \cdot y$, the procedure tries to construct the theorem $(\Lambda x. f x) \cdot y \equiv f y$. If this theorem cannot be completely solved by the *cont2cont* rules, then the procedure returns the ordinary conditional *beta-cfun* rule.

The simproc does not solve any more goals that would be solved by using *beta-cfun* as a simp rule. The advantage of the simproc is that it can avoid deeply-nested calls to the simplifier that would otherwise be caused by large continuity side conditions.

Update: The simproc now uses rule *Abs-cfun-inverse2* instead of *beta-cfun*, to avoid problems with eta-contraction.

$\langle ML \rangle$

Eta-equality for continuous functions

lemma *eta-cfun*: $(\Lambda x. f \cdot x) = f$
 $\langle proof \rangle$

Extensionality for continuous functions

lemma *cfun-eq-iff*: $f = g \longleftrightarrow (\forall x. f \cdot x = g \cdot x)$
 $\langle proof \rangle$

lemma *cfun-eqI*: $(\bigwedge x. f \cdot x = g \cdot x) \implies f = g$
 $\langle proof \rangle$

Extensionality wrt. ordering for continuous functions

lemma *cfun-below-iff*: $f \sqsubseteq g \longleftrightarrow (\forall x. f \cdot x \sqsubseteq g \cdot x)$
 $\langle proof \rangle$

lemma *cfun-belowI*: $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$
 $\langle proof \rangle$

Congruence for continuous function application

lemma *cfun-cong*: $\llbracket f = g; x = y \rrbracket \implies f \cdot x = g \cdot y$
 $\langle proof \rangle$

lemma *cfun-fun-cong*: $f = g \implies f \cdot x = g \cdot x$
 $\langle proof \rangle$

lemma *cfun-arg-cong*: $x = y \implies f \cdot x = f \cdot y$
 $\langle proof \rangle$

8.5 Continuity of application

lemma *cont-Rep-cfun1*: *cont* ($\lambda f. f \cdot x$)
 $\langle proof \rangle$

lemma *cont-Rep-cfun2*: *cont* ($\lambda x. f \cdot x$)
 $\langle proof \rangle$

lemmas *monofun-Rep-cfun* = *cont-Rep-cfun* [THEN *cont2mono*]

lemmas *monofun-Rep-cfun1* = *cont-Rep-cfun1* [THEN *cont2mono*]
lemmas *monofun-Rep-cfun2* = *cont-Rep-cfun2* [THEN *cont2mono*]

contlub, cont properties of *Rep-cfun* in each argument

lemma *contlub-cfun-arg*: *chain* $Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$
 $\langle proof \rangle$

lemma *contlub-cfun-fun*: *chain* $F \implies (\bigsqcup i. F i) \cdot x = (\bigsqcup i. F i \cdot x)$
 $\langle proof \rangle$

monotonicity of application

lemma *monofun-cfun-fun*: $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$
 $\langle proof \rangle$

lemma *monofun-cfun-arg*: $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$
(proof)

lemma *monofun-cfun*: $\llbracket f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f \cdot x \sqsubseteq g \cdot y$
(proof)

ch2ch - rules for the type ' $a \rightarrow b$ '

lemma *chain-monofun*: $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y i))$
(proof)

lemma *ch2ch-Rep-cfunR*: $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y i))$
(proof)

lemma *ch2ch-Rep-cfunL*: $\text{chain } F \implies \text{chain } (\lambda i. (F i) \cdot x)$
(proof)

lemma *ch2ch-Rep-cfun [simp]*:
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{chain } (\lambda i. (F i) \cdot (Y i))$
(proof)

lemma *ch2ch-LAM [simp]*:
 $\llbracket \bigwedge x. \text{chain } (\lambda i. S i x); \bigwedge i. \text{cont } (\lambda x. S i x) \rrbracket \implies \text{chain } (\lambda i. \Lambda x. S i x)$
(proof)

contlub, cont properties of *Rep-cfun* in both arguments

lemma *lub-APP*:
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup i. F i \cdot (Y i)) = (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i)$
(proof)

lemma *lub-LAM*:
 $\llbracket \bigwedge x. \text{chain } (\lambda i. F i x); \bigwedge i. \text{cont } (\lambda x. F i x) \rrbracket \implies (\bigsqcup i. \Lambda x. F i x) = (\Lambda x. \bigsqcup i. F i x)$
(proof)

lemmas *lub-distrib*s = *lub-APP* *lub-LAM*

strictness

lemma *strictI*: $f \cdot x = \perp \implies f \cdot \perp = \perp$
(proof)

type ' $a \rightarrow b$ ' is chain complete

lemma *lub-cfun*: $\text{chain } F \implies (\bigsqcup i. F i) = (\Lambda x. \bigsqcup i. F i \cdot x)$
(proof)

8.6 Continuity simplification procedure

cont2cont lemma for *Rep-cfun*

lemma *cont2cont-APP* [*simp*, *cont2cont*]:

```

assumes f: cont ( $\lambda x. f x$ )
assumes t: cont ( $\lambda x. t x$ )
shows cont ( $\lambda x. (f x) \cdot (t x)$ )
⟨proof⟩

```

Two specific lemmas for the combination of LCF and HOL terms. These lemmas are needed in theories that use types like ' $a \rightarrow b \Rightarrow c$ '.

```

lemma cont-APP-app [simp]:  $\llbracket \text{cont } f; \text{cont } g \rrbracket \implies \text{cont} (\lambda x. ((f x) \cdot (g x)) s)$ 
⟨proof⟩

```

```

lemma cont-APP-app-app [simp]:  $\llbracket \text{cont } f; \text{cont } g \rrbracket \implies \text{cont} (\lambda x. ((f x) \cdot (g x)) s t)$ 
⟨proof⟩

```

cont2mono Lemma for $\lambda x. \Lambda y. c1 x y$

```

lemma cont2mono-LAM:
 $\llbracket \bigwedge x. \text{cont} (\lambda y. f x y); \bigwedge y. \text{monofun} (\lambda x. f x y) \rrbracket$ 
 $\implies \text{monofun} (\lambda x. \Lambda y. f x y)$ 
⟨proof⟩

```

cont2cont Lemma for $\lambda x. \Lambda y. f x y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

```

lemma cont2cont-LAM:
assumes f1:  $\bigwedge x. \text{cont} (\lambda y. f x y)$ 
assumes f2:  $\bigwedge y. \text{cont} (\lambda x. f x y)$ 
shows cont ( $\lambda x. \Lambda y. f x y$ )
⟨proof⟩

```

This version does work as a cont2cont rule, since it has only a single subgoal.

```

lemma cont2cont-LAM' [simp, cont2cont]:
fixes f :: ' $a::\text{cpo} \Rightarrow b::\text{cpo} \Rightarrow c::\text{cpo}$ '
assumes f: cont ( $\lambda p. f (\text{fst } p) (\text{snd } p)$ )
shows cont ( $\lambda x. \Lambda y. f x y$ )
⟨proof⟩

```

```

lemma cont2cont-LAM-discrete [simp, cont2cont]:
 $(\bigwedge y. \text{discrete-}cpo. \text{cont} (\lambda x. f x y)) \implies \text{cont} (\lambda x. \Lambda y. f x y)$ 
⟨proof⟩

```

8.7 Miscellaneous

Monotonicity of *Abs-cfun*

```

lemma monofun-LAM:
 $\llbracket \text{cont } f; \text{cont } g; \bigwedge x. f x \sqsubseteq g x \rrbracket \implies (\Lambda x. f x) \sqsubseteq (\Lambda x. g x)$ 
⟨proof⟩

```

some lemmata for functions with flat/chfn domain/range types

lemma *chfin-Rep-cfunR*: *chain* ($Y :: nat \Rightarrow 'a :: cpo \rightarrow 'b :: chfin$)
 $\implies !s. ?n. (LUB i. Y i) \$ s = Y n \$ s$
(proof)

lemma *adm-chfindom*: *adm* ($\lambda(u :: 'a :: cpo \rightarrow 'b :: chfin). P(u \cdot s)$)
(proof)

8.8 Continuous injection-retraction pairs

Continuous retractions are strict.

lemma *retraction-strict*:
 $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$
(proof)

lemma *injection-eq*:
 $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$
(proof)

lemma *injection-below*:
 $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$
(proof)

lemma *injection-defined-rev*:
 $\llbracket \forall x. f \cdot (g \cdot x) = x; g \cdot z = \perp \rrbracket \implies z = \perp$
(proof)

lemma *injection-defined*:
 $\llbracket \forall x. f \cdot (g \cdot x) = x; z \neq \perp \rrbracket \implies g \cdot z \neq \perp$
(proof)

a result about functions with flat codomain

lemma *flat-eqI*: $\llbracket (x :: 'a :: flat) \sqsubseteq y; x \neq \perp \rrbracket \implies x = y$
(proof)

lemma *flat-codom*:
 $f \cdot x = (c :: 'b :: flat) \implies f \cdot \perp = \perp \vee (\forall z. f \cdot z = c)$
(proof)

8.9 Identity and composition

definition
 $ID :: 'a \rightarrow 'a$ **where**
 $ID = (\Lambda x. x)$

definition
 $cfc comp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$ **where**
 $oo\text{-def}: cfc comp = (\Lambda f g x. f \cdot (g \cdot x))$

abbreviation

cfcfcomp-syn :: $[b \rightarrow c, a \rightarrow b] \Rightarrow a \rightarrow c$ (**infixr oo 100**) **where**
 $f \text{ oo } g == cfcfcomp \cdot f \cdot g$

lemma *ID1* [*simp*]: $ID \cdot x = x$
{proof}

lemma *cfcfcomp1*: $(f \text{ oo } g) = (\Lambda x. f \cdot (g \cdot x))$
{proof}

lemma *cfcfcomp2* [*simp*]: $(f \text{ oo } g) \cdot x = f \cdot (g \cdot x)$
{proof}

lemma *cfcfcomp-LAM*: $\text{cont } g \implies f \text{ oo } (\Lambda x. g x) = (\Lambda x. f \cdot (g x))$
{proof}

lemma *cfcfcomp-strict* [*simp*]: $\perp \text{ oo } f = \perp$
{proof}

Show that interpretation of (pcpo,-->-) is a category. The class of objects is interpretation of syntactical class pcpo. The class of arrows between objects '*a*' and '*b*' is interpretation of '*a* → *b*'. The identity arrow is interpretation of *ID*. The composition of *f* and *g* is interpretation of *oo*.

lemma *ID2* [*simp*]: $f \text{ oo } ID = f$
{proof}

lemma *ID3* [*simp*]: $ID \text{ oo } f = f$
{proof}

lemma *assoc-oo*: $f \text{ oo } (g \text{ oo } h) = (f \text{ oo } g) \text{ oo } h$
{proof}

8.10 Strictified functions

default-sort pcpo

definition

seq :: $a \rightarrow b \rightarrow b$ **where**
 $seq = (\Lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } ID)$

lemma *cont2cont-if-bottom* [*cont2cont, simp*]:
assumes *f*: *cont* ($\lambda x. f x$) **and** *g*: *cont* ($\lambda x. g x$)
shows *cont* ($\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } g x$)
{proof}

lemma *seq-conv-if*: $seq \cdot x = (\text{if } x = \perp \text{ then } \perp \text{ else } ID)$
{proof}

lemma *seq-simps* [*simp*]:
 $seq \cdot \perp = \perp$

```

 $seq \cdot x \cdot \perp = \perp$ 
 $x \neq \perp \implies seq \cdot x = ID$ 
⟨proof⟩

```

definition

```

strictify :: ('a → 'b) → 'a → 'b where
strictify = (Λ f x. seq · x · (f · x))

```

lemma strictify-conv-if: strictify · f · x = (if x = \perp then \perp else f · x)
⟨proof⟩

lemma strictify1 [simp]: strictify · f · \perp = \perp
⟨proof⟩

lemma strictify2 [simp]: x ≠ $\perp \implies$ strictify · f · x = f · x
⟨proof⟩

8.11 Continuity of let-bindings

lemma cont2cont-Let:
assumes f: cont ($\lambda x. f x$)
assumes g1: $\bigwedge y. cont (\lambda x. g x y)$
assumes g2: $\bigwedge x. cont (\lambda y. g x y)$
shows cont ($\lambda x. let y = f x in g x y$)
⟨proof⟩

lemma cont2cont-Let' [simp, cont2cont]:
assumes f: cont ($\lambda x. f x$)
assumes g: cont ($\lambda p. g (fst p) (snd p)$)
shows cont ($\lambda x. let y = f x in g x y$)
⟨proof⟩

The simple version (suggested by Joachim Breitner) is needed if the type of the defined term is not a cpo.

lemma cont2cont-Let-simple [simp, cont2cont]:
assumes $\bigwedge y. cont (\lambda x. g x y)$
shows cont ($\lambda x. let y = t in g x y$)
⟨proof⟩

end

9 The Strict Function Type

```

theory Sfun
imports Cfun
begin

pcpodef ('a, 'b) sfun (infixr →! 0)
= {f :: 'a → 'b. f ·  $\perp$  =  $\perp$ }

```

$\langle proof \rangle$

type-notation (ASCII)
`sfun (infixr ->! 0)`

TODO: Define nice syntax for abstraction, application.

definition

`sfun-abs :: ('a → 'b) → ('a →! 'b)`

where

`sfun-abs = (Λ f. Abs-sfun (strictify·f))`

definition

`sfun-rep :: ('a →! 'b) → 'a → 'b`

where

`sfun-rep = (Λ f. Rep-sfun f)`

lemma sfun-rep-beta: `sfun-rep·f = Rep-sfun f`
 $\langle proof \rangle$

lemma sfun-rep-strict1 [simp]: `sfun-rep·⊥ = ⊥`
 $\langle proof \rangle$

lemma sfun-rep-strict2 [simp]: `sfun-rep·f·⊥ = ⊥`
 $\langle proof \rangle$

lemma strictify-cancel: `f·⊥ = ⊥ ⇒ strictify·f = f`
 $\langle proof \rangle$

lemma sfun-abs-sfun-rep [simp]: `sfun-abs·(sfun-rep·f) = f`
 $\langle proof \rangle$

lemma sfun-rep-sfun-abs [simp]: `sfun-rep·(sfun-abs·f) = strictify·f`
 $\langle proof \rangle$

lemma sfun-eq-iff: `f = g ↔ sfun-rep·f = sfun-rep·g`
 $\langle proof \rangle$

lemma sfun-below-iff: `f ⊑ g ↔ sfun-rep·f ⊑ sfun-rep·g`
 $\langle proof \rangle$

end

10 The cpo of cartesian products

theory Cprod
imports Cfun
begin

default-sort cpo

10.1 Continuous case function for unit type

definition

```
unit-when :: 'a → unit → 'a where
unit-when = (Λ a -. a)
```

translations

```
Λ(). t == CONST unit-when·t
```

lemma unit-when [simp]: unit-when·a·u = a
 $\langle proof \rangle$

10.2 Continuous version of split function

definition

```
csplit :: ('a → 'b → 'c) → ('a * 'b) → 'c where
csplit = (Λ f p. f·(fst p)·(snd p))
```

translations

```
Λ(CONST Pair x y). t == CONST csplit·(Λ x y. t)
```

abbreviation

```
cfst :: 'a × 'b → 'a where
cfst ≡ Abs-cfun fst
```

abbreviation

```
csnd :: 'a × 'b → 'b where
csnd ≡ Abs-cfun snd
```

10.3 Convert all lemmas to the continuous versions

lemma csplit1 [simp]: csplit·f·⊥ = f·⊥·⊥
 $\langle proof \rangle$

lemma csplit-Pair [simp]: csplit·f·(x, y) = f·x·y
 $\langle proof \rangle$

end

11 The type of strict products

```
theory Sprod
imports Cfun
begin
```

```
default-sort pcpo
```

11.1 Definition of strict product type

definition sprod = {p::'a × 'b. p = ⊥ ∨ (fst p ≠ ⊥ ∧ snd p ≠ ⊥)}

pcpodef ('a, 'b) sprod ((- $\otimes/$ -) [21,20] 20) = sprod :: ('a \times 'b) set
 $\langle proof \rangle$

instance sprod :: ({chfin,pcpo}, {chfin,pcpo}) chfin
 $\langle proof \rangle$

type-notation (ASCII)
sprod (infixr ** 20)

11.2 Definitions of constants

definition

sfst :: ('a ** 'b) \rightarrow 'a **where**
sfst = (Λ p. fst (Rep-sprod p))

definition

ssnd :: ('a ** 'b) \rightarrow 'b **where**
ssnd = (Λ p. snd (Rep-sprod p))

definition

spair :: 'a \rightarrow 'b \rightarrow ('a ** 'b) **where**
spair = (Λ a b. Abs-sprod (seq·b·a, seq·a·b))

definition

ssplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a ** 'b) \rightarrow 'c **where**
ssplit = (Λ f p. seq·p·(f·(sfst·p)·(ssnd·p)))

syntax

-stuple :: [logic, args] \Rightarrow logic ((1 '(:,-/ -:')))

translations

(:x, y, z:) == (:x, (:y, z:):)
(:x, y:) == CONST spair·x·y

translations

Λ (CONST spair·x·y). t == CONST ssplit·(Λ x y. t)

11.3 Case analysis

lemma spair-sprod: (seq·b·a, seq·a·b) \in sprod
 $\langle proof \rangle$

lemma Rep-sprod-spair: Rep-sprod (:a, b:) = (seq·b·a, seq·a·b)
 $\langle proof \rangle$

lemmas Rep-sprod-simps =
Rep-sprod-inject [symmetric] below-sprod-def
prod-eq-iff below-prod-def
Rep-sprod-strict Rep-sprod-spair

lemma *sprodE* [case-names bottom spair, cases type: sprod]:
obtains $p = \perp \mid x\ y$ **where** $p = (:x, y:)$ **and** $x \neq \perp$ **and** $y \neq \perp$
{proof}

lemma *sprod-induct* [case-names bottom spair, induct type: sprod]:
 $\llbracket P \perp; \bigwedge x\ y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$
{proof}

11.4 Properties of spair

lemma *spair-strict1* [simp]: $(:\perp, y:) = \perp$
{proof}

lemma *spair-strict2* [simp]: $(:x, \perp:) = \perp$
{proof}

lemma *spair-bottom-iff* [simp]: $((:x, y:) = \perp) = (x = \perp \vee y = \perp)$
{proof}

lemma *spair-below-iff*:
 $((:a, b:) \sqsubseteq (:c, d:)) = (a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d))$
{proof}

lemma *spair-eq-iff*:
 $((:a, b:) = (:c, d:)) =$
 $(a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp))$
{proof}

lemma *spair-strict*: $x = \perp \vee y = \perp \implies (:x, y:) = \perp$
{proof}

lemma *spair-strict-rev*: $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$
{proof}

lemma *spair-defined*: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$
{proof}

lemma *spair-defined-rev*: $(:x, y:) = \perp \implies x = \perp \vee y = \perp$
{proof}

lemma *spair-below*:
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \sqsubseteq (:a, b:) = (x \sqsubseteq a \wedge y \sqsubseteq b)$
{proof}

lemma *spair-eq*:
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ((:x, y:) = (:a, b:)) = (x = a \wedge y = b)$
{proof}

lemma *spair-inject*:

$\llbracket x \neq \perp; y \neq \perp; (:x, y:) = (:a, b:) \rrbracket \implies x = a \wedge y = b$
 $\langle proof \rangle$

lemma *inst-sprod-pcpo2*: $\perp = (\perp, \perp:)$
 $\langle proof \rangle$

lemma *sprodE2*: $(\bigwedge x y. p = (:x, y:) \implies Q) \implies Q$
 $\langle proof \rangle$

11.5 Properties of *sfst* and *ssnd*

lemma *sfst-strict* [*simp*]: $sfst \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *ssnd-strict* [*simp*]: $ssnd \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *sfst-spair* [*simp*]: $y \neq \perp \implies sfst \cdot (:x, y:) = x$
 $\langle proof \rangle$

lemma *ssnd-spair* [*simp*]: $x \neq \perp \implies ssnd \cdot (:x, y:) = y$
 $\langle proof \rangle$

lemma *sfst-bottom-iff* [*simp*]: $(sfst \cdot p = \perp) = (p = \perp)$
 $\langle proof \rangle$

lemma *ssnd-bottom-iff* [*simp*]: $(ssnd \cdot p = \perp) = (p = \perp)$
 $\langle proof \rangle$

lemma *sfst-defined*: $p \neq \perp \implies sfst \cdot p \neq \perp$
 $\langle proof \rangle$

lemma *ssnd-defined*: $p \neq \perp \implies ssnd \cdot p \neq \perp$
 $\langle proof \rangle$

lemma *spair-sfst-ssnd*: $(:sfst \cdot p, ssnd \cdot p:) = p$
 $\langle proof \rangle$

lemma *below-sprod*: $(x \sqsubseteq y) = (sfst \cdot x \sqsubseteq sfst \cdot y \wedge ssnd \cdot x \sqsubseteq ssnd \cdot y)$
 $\langle proof \rangle$

lemma *eq-sprod*: $(x = y) = (sfst \cdot x = sfst \cdot y \wedge ssnd \cdot x = ssnd \cdot y)$
 $\langle proof \rangle$

lemma *sfst-below-iff*: $sfst \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq (:y, ssnd \cdot x:)$
 $\langle proof \rangle$

lemma *ssnd-below-iff*: $ssnd \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq (:sfst \cdot x, y:)$

$\langle proof \rangle$

11.6 Compactness

lemma *compact-sfst*: *compact* $x \implies \text{compact}(\text{sfst}\cdot x)$
 $\langle proof \rangle$

lemma *compact-ssnd*: *compact* $x \implies \text{compact}(\text{ssnd}\cdot x)$
 $\langle proof \rangle$

lemma *compact-spair*: $\llbracket \text{compact } x; \text{compact } y \rrbracket \implies \text{compact}(:x, y:)$
 $\langle proof \rangle$

lemma *compact-spair-iff*:
 $\text{compact}(:x, y:) = (x = \perp \vee y = \perp \vee (\text{compact } x \wedge \text{compact } y))$
 $\langle proof \rangle$

11.7 Properties of *ssplit*

lemma *ssplit1* [simp]: *ssplit*.*f*. $\perp = \perp$
 $\langle proof \rangle$

lemma *ssplit2* [simp]: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{ssplit}.f.(:x, y:) = f \cdot x \cdot y$
 $\langle proof \rangle$

lemma *ssplit3* [simp]: *ssplit*.*spair*. $z = z$
 $\langle proof \rangle$

11.8 Strict product preserves flatness

instance *sprod* :: (*flat*, *flat*) *flat*
 $\langle proof \rangle$

end

12 Discrete cpo types

theory *Discrete*
imports *Cont*
begin

datatype *'a discr* = *Discr* *'a* :: *type*

12.1 Discrete cpo class instance

instantiation *discr* :: (*type*) *discrete-cpo*
begin

definition

```
(op ⊑ :: 'a discr ⇒ 'a discr ⇒ bool) = (op =)
```

instance

$\langle proof \rangle$

end

12.2 *undiscr*

definition

```
undiscr :: ('a::type)discr => 'a where
undiscr x = (case x of Discr y => y)
```

lemma *undiscr-Discr* [simp]: $\text{undiscr}(\text{Discr } x) = x$
 $\langle proof \rangle$

lemma *Discr-undiscr* [simp]: $\text{Discr}(\text{undiscr } y) = y$
 $\langle proof \rangle$

end

13 The type of lifted values

```
theory Up
imports Cfun
begin
```

default-sort cpo

13.1 Definition of new type for lifting

datatype 'a u ((- \perp) [1000] 999) = Ibottom | Iup 'a

```
primrec Ifup :: ('a → 'b::pcpo) ⇒ 'a u ⇒ 'b where
  Ifup f Ibottom = ⊥
  | Ifup f (Iup x) = f·x
```

13.2 Ordering on lifted cpo

instantiation u :: (cpo) below
begin

definition

below-up-def:

$$(op \sqsubseteq) \equiv (\lambda x y. \text{case } x \text{ of } \text{Ibottom} \Rightarrow \text{True} \mid \text{Iup } a \Rightarrow (\text{case } y \text{ of } \text{Ibottom} \Rightarrow \text{False} \mid \text{Iup } b \Rightarrow a \sqsubseteq b))$$

instance $\langle proof \rangle$
end

lemma *minimal-up* [iff]: $Ibottom \sqsubseteq z$
 $\langle proof \rangle$

lemma *not-Iup-below* [iff]: $Iup x \not\sqsubseteq Ibottom$
 $\langle proof \rangle$

lemma *Iup-below* [iff]: $(Iup x \sqsubseteq Iup y) = (x \sqsubseteq y)$
 $\langle proof \rangle$

13.3 Lifted cpo is a partial order

instance $u :: (cpo) po$
 $\langle proof \rangle$

13.4 Lifted cpo is a cpo

lemma *is-lub-Iup*:
 $\text{range } S <<| x \implies \text{range } (\lambda i. Iup (S i)) <<| Iup x$
 $\langle proof \rangle$

lemma *up-chain-lemma*:
assumes $Y: \text{chain } Y$ **obtains** $\forall i. Y i = Ibottom$
 $| A k \text{ where } \forall i. Iup (A i) = Y (i + k) \text{ and } \text{chain } A \text{ and } \text{range } Y <<| Iup (\bigsqcup i. A i)$
 $\langle proof \rangle$

instance $u :: (cpo) cpo$
 $\langle proof \rangle$

13.5 Lifted cpo is pointed

instance $u :: (cpo) pcpo$
 $\langle proof \rangle$

for compatibility with old HOLCF-Version

lemma *inst-up-pcpo*: $\perp = Ibottom$
 $\langle proof \rangle$

13.6 Continuity of *Iup* and *Ifup*

continuity for *Iup*

lemma *cont-Iup*: $\text{cont } Iup$
 $\langle proof \rangle$

continuity for *Ifup*

lemma *cont-Ifup1*: $\text{cont } (\lambda f. Ifup f x)$
 $\langle proof \rangle$

lemma *monofun-Ifup2*: *monofun* ($\lambda x. \text{Ifup } f x$)
(proof)

lemma *cont-Ifup2*: *cont* ($\lambda x. \text{Ifup } f x$)
(proof)

13.7 Continuous versions of constants

definition

up :: $'a \rightarrow 'a u$ **where**
 $up = (\Lambda x. Iup x)$

definition

fup :: $('a \rightarrow 'b : pcpo) \rightarrow 'a u \rightarrow 'b$ **where**
 $fup = (\Lambda f p. Ifup f p)$

translations

case l of XCONST up·x \Rightarrow t == CONST fup·($\Lambda x. t$)·l
case l of (XCONST up :: 'a)·x \Rightarrow t $=>$ CONST fup·($\Lambda x. t$)·l
 $\Lambda(XCONST up·x). t == CONST fup·(\Lambda x. t)$

continuous versions of lemmas for $'a_{\perp}$

lemma *Exh-Up*: $z = \perp \vee (\exists x. z = up·x)$
(proof)

lemma *up-eq [simp]*: $(up·x = up·y) = (x = y)$
(proof)

lemma *up-inject*: $up·x = up·y \implies x = y$
(proof)

lemma *up-defined [simp]*: $up·x \neq \perp$
(proof)

lemma *not-up-less-UU*: $up·x \not\sqsubseteq \perp$
(proof)

lemma *up-below [simp]*: $up·x \sqsubseteq up·y \longleftrightarrow x \sqsubseteq y$
(proof)

lemma *upE [case-names bottom up, cases type: u]*:
 $\llbracket p = \perp \implies Q; \bigwedge x. p = up·x \implies Q \rrbracket \implies Q$
(proof)

lemma *up-induct [case-names bottom up, induct type: u]*:
 $\llbracket P \perp; \bigwedge x. P (up·x) \rrbracket \implies P x$
(proof)

lifting preserves chain-finiteness

```

lemma up-chain-cases:
  assumes Y: chain Y obtains  $\forall i. Y i = \perp$ 
  | A k where  $\forall i. up \cdot (A i) = Y (i + k)$  and chain A and  $(\bigsqcup i. Y i) = up \cdot (\bigsqcup i. A i)$ 
  ⟨proof⟩

lemma compact-up: compact x  $\implies$  compact (up·x)
⟨proof⟩

lemma compact-upD: compact (up·x)  $\implies$  compact x
⟨proof⟩

lemma compact-up-iff [simp]: compact (up·x) = compact x
⟨proof⟩

instance u :: (chfin) chfin
⟨proof⟩

properties of fup

lemma fup1 [simp]: fup·f· $\perp = \perp$ 
⟨proof⟩

lemma fup2 [simp]: fup·f·(up·x) = f·x
⟨proof⟩

lemma fup3 [simp]: fup·up·x = x
⟨proof⟩

end

```

14 Lifting types of class type to flat pcpo's

```

theory Lift
imports Discrete Up
begin

default-sort type

pcpodef 'a lift = UNIV :: 'a discr u set
⟨proof⟩

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

definition
  Def :: 'a  $\Rightarrow$  'a lift where
  Def x = Abs-lift (up·(Discr x))

```

14.1 Lift as a datatype

lemma *lift-induct*: $\llbracket P \perp; \bigwedge x. P (\text{Def } x) \rrbracket \implies P y$
 $\langle \text{proof} \rangle$

old-rep-datatype $\perp :: 'a \text{ lift Def}$
 $\langle \text{proof} \rangle$

\perp and *Def*

lemma *not-Undef-is-Def*: $(x \neq \perp) = (\exists y. x = \text{Def } y)$
 $\langle \text{proof} \rangle$

lemma *lift-definedE*: $\llbracket x \neq \perp; \bigwedge a. x = \text{Def } a \implies R \rrbracket \implies R$
 $\langle \text{proof} \rangle$

For $x \neq \perp$ in assumptions *defined* replaces x by $\text{Def } a$ in conclusion.

$\langle \text{ML} \rangle$

lemma *DefE*: $\text{Def } x = \perp \implies R$
 $\langle \text{proof} \rangle$

lemma *DefE2*: $\llbracket x = \text{Def } s; x = \perp \rrbracket \implies R$
 $\langle \text{proof} \rangle$

lemma *Def-below-Def*: $\text{Def } x \sqsubseteq \text{Def } y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *Def-below-iff [simp]*: $\text{Def } x \sqsubseteq y \longleftrightarrow \text{Def } x = y$
 $\langle \text{proof} \rangle$

14.2 Lift is flat

instance *lift* :: (*type*) *flat*
 $\langle \text{proof} \rangle$

14.3 Continuity of *case-lift*

lemma *case-lift-eq*: $\text{case-lift } \perp f x = \text{fup} \cdot (\Lambda y. f (\text{undiscr } y)) \cdot (\text{Rep-lift } x)$
 $\langle \text{proof} \rangle$

lemma *cont2cont-case-lift [simp]*:
 $\llbracket \bigwedge y. \text{cont } (\lambda x. f x y); \text{cont } g \rrbracket \implies \text{cont } (\lambda x. \text{case-lift } \perp (f x) (g x))$
 $\langle \text{proof} \rangle$

14.4 Further operations

definition

$\text{flift1} :: ('a \Rightarrow 'b :: \text{pcpo}) \Rightarrow ('a \text{ lift} \rightarrow 'b)$ (**binder** FLIFT 10) **where**
 $\text{flift1} = (\lambda f. (\Lambda x. \text{case-lift } \perp f x))$

translations

$$\begin{aligned}\Lambda(XCONST\ Def\ x).\ t &=> CONST\ flift1\ (\lambda x.\ t) \\ \Lambda(CONST\ Def\ x).\ FLIFT\ y.\ t &<= FLIFT\ x\ y.\ t \\ \Lambda(CONST\ Def\ x).\ t &<= FLIFT\ x.\ t\end{aligned}$$
definition

$$\begin{aligned}flift2 :: ('a \Rightarrow 'b) &\Rightarrow ('a lift \rightarrow 'b lift) \text{ where} \\ flift2\ f &= (FLIFT\ x.\ Def\ (f\ x))\end{aligned}$$

lemma *flift1-Def* [simp]: $flift1\ f \cdot (Def\ x) = (f\ x)$
{proof}

lemma *flift2-Def* [simp]: $flift2\ f \cdot (Def\ x) = Def\ (f\ x)$
{proof}

lemma *flift1-strict* [simp]: $flift1\ f \cdot \perp = \perp$
{proof}

lemma *flift2-strict* [simp]: $flift2\ f \cdot \perp = \perp$
{proof}

lemma *flift2-defined* [simp]: $x \neq \perp \implies (flift2\ f) \cdot x \neq \perp$
{proof}

lemma *flift2-bottom-iff* [simp]: $(flift2\ f \cdot x = \perp) = (x = \perp)$
{proof}

lemma *FLIFT-mono*:

$(\bigwedge x. f\ x \sqsubseteq g\ x) \implies (FLIFT\ x.\ f\ x) \sqsubseteq (FLIFT\ x.\ g\ x)$
{proof}

lemma *cont2cont-flift1* [simp, cont2cont]:
 $\llbracket \bigwedge y. cont\ (\lambda x. f\ x\ y) \rrbracket \implies cont\ (\lambda x. FLIFT\ y.\ f\ x\ y)$
{proof}

end

15 The type of lifted booleans

theory *Tr*
imports *Lift*
begin

15.1 Type definition and constructors

type-synonym
 $tr = \text{bool lift}$

translations

(type) $tr \leq (type) \text{ bool lift}$

definition

$TT :: tr \text{ where}$
 $TT = \text{Def } True$

definition

$FF :: tr \text{ where}$
 $FF = \text{Def } False$

Exhaustion and Elimination for type tr

lemma $\text{Exh-tr}: t = \perp \vee t = TT \vee t = FF$
 $\langle proof \rangle$

lemma $\text{trE} [\text{case-names bottom } TT \text{ } FF, \text{ cases type: } tr]:$
 $\llbracket p = \perp \implies Q; p = TT \implies Q; p = FF \implies Q \rrbracket \implies Q$
 $\langle proof \rangle$

lemma $\text{tr-induct} [\text{case-names bottom } TT \text{ } FF, \text{ induct type: } tr]:$
 $\llbracket P \perp; P \text{ } TT; P \text{ } FF \rrbracket \implies P x$
 $\langle proof \rangle$

distinctness for type tr

lemma $\text{dist-below-tr} [\text{simp}]:$
 $TT \not\leq \perp \text{ } FF \not\leq \perp \text{ } TT \not\leq FF \text{ } FF \not\leq TT$
 $\langle proof \rangle$

lemma $\text{dist-eq-tr} [\text{simp}]:$
 $TT \neq \perp \text{ } FF \neq \perp \text{ } TT \neq FF \perp \neq TT \perp \neq FF \text{ } FF \neq TT$
 $\langle proof \rangle$

lemma $\text{TT-below-iff} [\text{simp}]: TT \sqsubseteq x \longleftrightarrow x = TT$
 $\langle proof \rangle$

lemma $\text{FF-below-iff} [\text{simp}]: FF \sqsubseteq x \longleftrightarrow x = FF$
 $\langle proof \rangle$

lemma $\text{not-below-TT-iff} [\text{simp}]: x \not\leq TT \longleftrightarrow x = FF$
 $\langle proof \rangle$

lemma $\text{not-below-FF-iff} [\text{simp}]: x \not\leq FF \longleftrightarrow x = TT$
 $\langle proof \rangle$

15.2 Case analysis

default-sort $pcpo$

definition $\text{tr-case} :: 'a \rightarrow 'a \rightarrow tr \rightarrow 'a \text{ where}$
 $\text{tr-case} = (\Lambda t e (\text{Def } b). \text{ if } b \text{ then } t \text{ else } e)$

abbreviation

cifte-syn :: [tr, 'c, 'c] \Rightarrow 'c ((If (-)/ then (-)/ else (-)) [0, 0, 60] 60)

where

If b then e1 else e2 == tr-case·e1·e2·b

translations

$\Lambda (XCONST TT). t == CONST tr\text{-}case \cdot t \cdot \perp$

$\Lambda (XCONST FF). t == CONST tr\text{-}case \cdot \perp \cdot t$

lemma ifte-thms [simp]:

If \perp then e1 else e2 = \perp

If FF then e1 else e2 = e2

If TT then e1 else e2 = e1

$\langle proof \rangle$

15.3 Boolean connectives

definition

trand :: $tr \rightarrow tr \rightarrow tr$ **where**

andalso-def: $trand = (\Lambda x y. If x then y else FF)$

abbreviation

andalso-syn :: $tr \Rightarrow tr \Rightarrow tr$ (- *andalso* - [36,35] 35) **where**

x andalso $y == trand \cdot x \cdot y$

definition

tror :: $tr \rightarrow tr \rightarrow tr$ **where**

orelse-def: $tror = (\Lambda x y. If x then TT else y)$

abbreviation

orelse-syn :: $tr \Rightarrow tr \Rightarrow tr$ (- *orelse* - [31,30] 30) **where**

x orelse $y == tror \cdot x \cdot y$

definition

neg :: $tr \rightarrow tr$ **where**

$neg = flift2 Not$

definition

If2 :: [tr, 'c, 'c] \Rightarrow 'c **where**

$If2 Q x y = (If Q then x else y)$

tactic for tr-thms with case split

lemmas *tr-defs* = *andalso-def* *orelse-def* *neg-def* *tr-case-def* *TT-def* *FF-def*

lemmas about andalso, orelse, neg and if

lemma andalso-thms [simp]:

$(TT \text{ andalso } y) = y$

$(FF \text{ andalso } y) = FF$

$(\perp \text{ andalso } y) = \perp$

$(y \text{ andalso } TT) = y$

$(y \text{ andalso } y) = y$
 $\langle proof \rangle$

lemma *orelse-thms* [*simp*]:
 $(TT \text{ orelse } y) = TT$
 $(FF \text{ orelse } y) = y$
 $(\perp \text{ orelse } y) = \perp$
 $(y \text{ orelse } FF) = y$
 $(y \text{ orelse } y) = y$
 $\langle proof \rangle$

lemma *neg-thms* [*simp*]:
 $\text{neg}\cdot TT = FF$
 $\text{neg}\cdot FF = TT$
 $\text{neg}\cdot \perp = \perp$
 $\langle proof \rangle$

split-tac for If via If2 because the constant has to be a constant

lemma *split-If2*:
 $P (\text{If2 } Q \ x \ y) = ((Q = \perp \longrightarrow P \ \perp) \wedge (Q = TT \longrightarrow P \ x) \wedge (Q = FF \longrightarrow P \ y))$
 $\langle proof \rangle$

$\langle ML \rangle$

15.4 Rewriting of HOLCF operations to HOL functions

lemma *andalso-or*:
 $t \neq \perp \implies ((t \text{ andalso } s) = FF) = (t = FF \vee s = FF)$
 $\langle proof \rangle$

lemma *andalso-and*:
 $t \neq \perp \implies ((t \text{ andalso } s) \neq FF) = (t \neq FF \wedge s \neq FF)$
 $\langle proof \rangle$

lemma *Def-bool1* [*simp*]: $(\text{Def } x \neq FF) = x$
 $\langle proof \rangle$

lemma *Def-bool2* [*simp*]: $(\text{Def } x = FF) = (\neg x)$
 $\langle proof \rangle$

lemma *Def-bool3* [*simp*]: $(\text{Def } x = TT) = x$
 $\langle proof \rangle$

lemma *Def-bool4* [*simp*]: $(\text{Def } x \neq TT) = (\neg x)$
 $\langle proof \rangle$

lemma *If-and-if*:

(If Def P then A else B) = (if P then A else B)
⟨proof⟩

15.5 Compactness

lemma *compact-TT: compact TT*
⟨proof⟩

lemma *compact-FF: compact FF*
⟨proof⟩

end

16 The type of strict sums

theory *Ssum*
imports *Tr*
begin

default-sort *pcpo*

16.1 Definition of strict sum type

definition

ssum =
 $\{p :: tr \times ('a \times 'b). p = \perp \vee$
 $(fst\ p = TT \wedge fst\ (snd\ p) \neq \perp \wedge snd\ (snd\ p) = \perp) \vee$
 $(fst\ p = FF \wedge fst\ (snd\ p) = \perp \wedge snd\ (snd\ p) \neq \perp)\}$

pcpodef ('a, 'b) *ssum* ((- ⊕/ -) [21, 20] 20) = *ssum* :: (tr × 'a × 'b) set
⟨proof⟩

instance *ssum* :: ({chfin,pcpo}, {chfin,pcpo}) chfin
⟨proof⟩

type-notation (ASCII)
ssum (infixr ++ 10)

16.2 Definitions of constructors

definition

sinl :: 'a → ('a ++ 'b) **where**
sinl = (Λ a. Abs-*ssum* (seq·a·TT, a, \perp))

definition

sinr :: 'b → ('a ++ 'b) **where**
sinr = (Λ b. Abs-*ssum* (seq·b·FF, \perp , b))

lemma *sinl-ssum*: (seq·a·TT, a, \perp) ∈ *ssum*

$\langle proof \rangle$

lemma *sinr-ssum*: $(seq \cdot b \cdot FF, \perp, b) \in ssum$
 $\langle proof \rangle$

lemma *Rep-ssum-sinl*: $Rep\text{-}ssum\ (sinl \cdot a) = (seq \cdot a \cdot TT, a, \perp)$
 $\langle proof \rangle$

lemma *Rep-ssum-sinr*: $Rep\text{-}ssum\ (sinr \cdot b) = (seq \cdot b \cdot FF, \perp, b)$
 $\langle proof \rangle$

lemmas *Rep-ssum-simps* =
Rep-ssum-inject [*symmetric*] *below-ssum-def*
prod-eq-iff *below-prod-def*
Rep-ssum-strict *Rep-ssum-sinl* *Rep-ssum-sinr*

16.3 Properties of *sinl* and *sinr*

Ordering

lemma *sinl-below* [*simp*]: $(sinl \cdot x \sqsubseteq sinl \cdot y) = (x \sqsubseteq y)$
 $\langle proof \rangle$

lemma *sinr-below* [*simp*]: $(sinr \cdot x \sqsubseteq sinr \cdot y) = (x \sqsubseteq y)$
 $\langle proof \rangle$

lemma *sinl-below-sinr* [*simp*]: $(sinl \cdot x \sqsubseteq sinr \cdot y) = (x = \perp)$
 $\langle proof \rangle$

lemma *sinr-below-sinl* [*simp*]: $(sinr \cdot x \sqsubseteq sinl \cdot y) = (x = \perp)$
 $\langle proof \rangle$

Equality

lemma *sinl-eq* [*simp*]: $(sinl \cdot x = sinl \cdot y) = (x = y)$
 $\langle proof \rangle$

lemma *sinr-eq* [*simp*]: $(sinr \cdot x = sinr \cdot y) = (x = y)$
 $\langle proof \rangle$

lemma *sinl-eq-sinr* [*simp*]: $(sinl \cdot x = sinr \cdot y) = (x = \perp \wedge y = \perp)$
 $\langle proof \rangle$

lemma *sinr-eq-sinl* [*simp*]: $(sinr \cdot x = sinl \cdot y) = (x = \perp \wedge y = \perp)$
 $\langle proof \rangle$

lemma *sinl-inject*: $sinl \cdot x = sinl \cdot y \implies x = y$
 $\langle proof \rangle$

lemma *sinr-inject*: $sinr \cdot x = sinr \cdot y \implies x = y$
 $\langle proof \rangle$

Strictness

lemma *sinl-strict* [simp]: $\text{sinl} \cdot \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *sinr-strict* [simp]: $\text{sinr} \cdot \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *sinl-bottom-iff* [simp]: $(\text{sinl} \cdot x = \perp) = (x = \perp)$
 $\langle \text{proof} \rangle$

lemma *sinr-bottom-iff* [simp]: $(\text{sinr} \cdot x = \perp) = (x = \perp)$
 $\langle \text{proof} \rangle$

lemma *sinl-defined*: $x \neq \perp \implies \text{sinl} \cdot x \neq \perp$
 $\langle \text{proof} \rangle$

lemma *sinr-defined*: $x \neq \perp \implies \text{sinr} \cdot x \neq \perp$
 $\langle \text{proof} \rangle$

Compactness

lemma *compact-sinl*: $\text{compact } x \implies \text{compact } (\text{sinl} \cdot x)$
 $\langle \text{proof} \rangle$

lemma *compact-sinr*: $\text{compact } x \implies \text{compact } (\text{sinr} \cdot x)$
 $\langle \text{proof} \rangle$

lemma *compact-sinlD*: $\text{compact } (\text{sinl} \cdot x) \implies \text{compact } x$
 $\langle \text{proof} \rangle$

lemma *compact-sinrD*: $\text{compact } (\text{sinr} \cdot x) \implies \text{compact } x$
 $\langle \text{proof} \rangle$

lemma *compact-sinl-iff* [simp]: $\text{compact } (\text{sinl} \cdot x) = \text{compact } x$
 $\langle \text{proof} \rangle$

lemma *compact-sinr-iff* [simp]: $\text{compact } (\text{sinr} \cdot x) = \text{compact } x$
 $\langle \text{proof} \rangle$

16.4 Case analysis

lemma *ssumE* [case-names bottom sinl sinr, cases type: ssum]:
obtains $p = \perp$
 $| x \text{ where } p = \text{sinl} \cdot x \text{ and } x \neq \perp$
 $| y \text{ where } p = \text{sinr} \cdot y \text{ and } y \neq \perp$
 $\langle \text{proof} \rangle$

lemma *ssum-induct* [case-names bottom sinl sinr, induct type: ssum]:
 $\llbracket P \perp;$
 $\wedge x. x \neq \perp \implies P (\text{sinl} \cdot x);$

$\bigwedge y. y \neq \perp \implies P (sinr \cdot y) \] \implies P x$
 $\langle proof \rangle$

lemma *ssumE2* [case-names *sinl sinr*]:
 $\llbracket \bigwedge x. p = sinl \cdot x \implies Q; \bigwedge y. p = sinr \cdot y \implies Q \rrbracket \implies Q$
 $\langle proof \rangle$

lemma *below-sinlD*: $p \sqsubseteq sinl \cdot x \implies \exists y. p = sinl \cdot y \wedge y \sqsubseteq x$
 $\langle proof \rangle$

lemma *below-sinrD*: $p \sqsubseteq sinr \cdot x \implies \exists y. p = sinr \cdot y \wedge y \sqsubseteq x$
 $\langle proof \rangle$

16.5 Case analysis combinator

definition

sscse :: $('a \rightarrow 'c) \rightarrow ('b \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$ **where**
 $sscse = (\Lambda f g s. (\lambda(t, x, y). If t then f \cdot x else g \cdot y) (Rep\text{-}ssum s))$

translations

case s of XCONST sinl · x ⇒ t1 | XCONST sinr · y ⇒ t2 == CONST sscse · (Λ x. t1) · (Λ y. t2) · s
case s of (XCONST sinl :: 'a) · x ⇒ t1 | XCONST sinr · y ⇒ t2 => CONST sscse · (Λ x. t1) · (Λ y. t2) · s

translations

$\Lambda(XCONST sinl \cdot x). t == CONST sscse \cdot (\Lambda x. t) \cdot \perp$
 $\Lambda(XCONST sinr \cdot y). t == CONST sscse \cdot \perp \cdot (\Lambda y. t)$

lemma *beta-sscse*:

$sscse \cdot f \cdot g \cdot s = (\lambda(t, x, y). If t then f \cdot x else g \cdot y) (Rep\text{-}ssum s)$
 $\langle proof \rangle$

lemma *sscse1* [*simp*]: $sscse \cdot f \cdot g \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *sscse2* [*simp*]: $x \neq \perp \implies sscse \cdot f \cdot g \cdot (sinl \cdot x) = f \cdot x$
 $\langle proof \rangle$

lemma *sscse3* [*simp*]: $y \neq \perp \implies sscse \cdot f \cdot g \cdot (sinr \cdot y) = g \cdot y$
 $\langle proof \rangle$

lemma *sscse4* [*simp*]: $sscse \cdot sinl \cdot sinr \cdot z = z$
 $\langle proof \rangle$

16.6 Strict sum preserves flatness

instance *ssum* :: (*flat, flat*) *flat*
 $\langle proof \rangle$

```
end
```

17 The unit domain

```
theory One
imports Lift
begin
```

```
type-synonym
one = unit lift
```

```
translations
```

```
(type) one <= (type) unit lift
```

```
definition ONE :: one
where ONE == Def ()
```

Exhaustion and Elimination for type *one*

```
lemma Exh-one: t = ⊥ ∨ t = ONE
⟨proof⟩
```

```
lemma oneE [case-names bottom ONE]: [|p = ⊥ ⇒ Q; p = ONE ⇒ Q|] ⇒ Q
⟨proof⟩
```

```
lemma one-induct [case-names bottom ONE]: [|P ⊥; P ONE|] ⇒ P x
⟨proof⟩
```

```
lemma dist-below-one [simp]: ONE ⊏ ⊥
⟨proof⟩
```

```
lemma below-ONE [simp]: x ⊑ ONE
⟨proof⟩
```

```
lemma ONE-below-iff [simp]: ONE ⊑ x ↔ x = ONE
⟨proof⟩
```

```
lemma ONE-defined [simp]: ONE ≠ ⊥
⟨proof⟩
```

```
lemma one-neq-iffs [simp]:
x ≠ ONE ↔ x = ⊥
ONE ≠ x ↔ x = ⊥
x ≠ ⊥ ↔ x = ONE
⊥ ≠ x ↔ x = ONE
⟨proof⟩
```

```
lemma compact-ONE: compact ONE
⟨proof⟩
```

Case analysis function for type *one*

definition

```
one-case :: 'a::pcpo → one → 'a where
one-case = (Λ a x. seq·x·a)
```

translations

```
case x of XCONST ONE ⇒ t == CONST one-case·t·x
case x of XCONST ONE :: 'a ⇒ t => CONST one-case·t·x
Λ (XCONST ONE). t == CONST one-case·t
```

lemma one-case1 [simp]: (case ⊥ of ONE ⇒ t) = ⊥
{proof}

lemma one-case2 [simp]: (case ONE of ONE ⇒ t) = t
{proof}

lemma one-case3 [simp]: (case x of ONE ⇒ ONE) = x
{proof}

end

18 Fixed point operator and admissibility

```
theory Fix
imports Cfun
begin
```

default-sort pcpo

18.1 Iteration

```
primrec iterate :: nat ⇒ ('a::cpo → 'a) → ('a → 'a) where
  iterate 0 = (Λ F x. x)
  | iterate (Suc n) = (Λ F x. F·(iterate n·F·x))
```

Derive inductive properties of iterate from primitive recursion

lemma iterate-0 [simp]: iterate 0·F·x = x
{proof}

lemma iterate-Suc [simp]: iterate (Suc n)·F·x = F·(iterate n·F·x)
{proof}

declare iterate.simps [simp del]

lemma iterate-Suc2: iterate (Suc n)·F·x = iterate n·F·(F·x)
{proof}

lemma iterate-iterate:

iterate m·F·(iterate n·F·x) = iterate (m + n)·F·x
(proof)

The sequence of function iterations is a chain.

lemma *chain-iterate [simp]: chain (λi. iterate i·F·⊥)*
(proof)

18.2 Least fixed point operator

definition

fix :: ('a → 'a) → 'a where
fix = (Λ F. ⋄ i. iterate i·F·⊥)

Binder syntax for *fix*

abbreviation

fix-syn :: ('a ⇒ 'a) ⇒ 'a (binder μ 10) where
fix-syn (λx. f x) ≡ fix·(Λ x. f x)

notation (ASCII)

fix-syn (binder FIX 10)

Properties of *fix*

direct connection between *fix* and iteration

lemma *fix-def2: fix·F = (⋄ i. iterate i·F·⊥)*
(proof)

lemma *iterate-below-fix: iterate n·f·⊥ ⊑ fix·f*
(proof)

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

lemma *fix-eq: fix·F = F·(fix·F)*
(proof)

lemma *fix-least-below: F·x ⊑ x ⇒ fix·F ⊑ x*
(proof)

lemma *fix-least: F·x = x ⇒ fix·F ⊑ x*
(proof)

lemma *fix-eqI:*
assumes fixed: F·x = x and least: ⋀z. F·z = z ⇒ x ⊑ z
shows fix·F = x
(proof)

lemma *fix-eq2: f ≡ fix·F ⇒ f = F·f*
(proof)

lemma *fix-eq3*: $f \equiv \text{fix}\cdot F \implies f\cdot x = F\cdot f\cdot x$
 $\langle \text{proof} \rangle$

lemma *fix-eq4*: $f = \text{fix}\cdot F \implies f = F\cdot f$
 $\langle \text{proof} \rangle$

lemma *fix-eq5*: $f = \text{fix}\cdot F \implies f\cdot x = F\cdot f\cdot x$
 $\langle \text{proof} \rangle$

strictness of *fix*

lemma *fix-bottom-iff*: $(\text{fix}\cdot F = \perp) = (F\cdot \perp = \perp)$
 $\langle \text{proof} \rangle$

lemma *fix-strict*: $F\cdot \perp = \perp \implies \text{fix}\cdot F = \perp$
 $\langle \text{proof} \rangle$

lemma *fix-defined*: $F\cdot \perp \neq \perp \implies \text{fix}\cdot F \neq \perp$
 $\langle \text{proof} \rangle$

fix applied to identity and constant functions

lemma *fix-id*: $(\mu x. x) = \perp$
 $\langle \text{proof} \rangle$

lemma *fix-const*: $(\mu x. c) = c$
 $\langle \text{proof} \rangle$

18.3 Fixed point induction

lemma *fix-ind*: $\llbracket \text{adm } P; P \perp; \bigwedge x. P x \implies P(F\cdot x) \rrbracket \implies P(\text{fix}\cdot F)$
 $\langle \text{proof} \rangle$

lemma *cont-fix-ind*:
 $\llbracket \text{cont } F; \text{adm } P; P \perp; \bigwedge x. P x \implies P(F x) \rrbracket \implies P(\text{fix}\cdot(\text{Abs-cfun } F))$
 $\langle \text{proof} \rangle$

lemma *def-fix-ind*:
 $\llbracket f \equiv \text{fix}\cdot F; \text{adm } P; P \perp; \bigwedge x. P x \implies P(F\cdot x) \rrbracket \implies P f$
 $\langle \text{proof} \rangle$

lemma *fix-ind2*:
assumes *adm*: $\text{adm } P$
assumes *0*: $P \perp$ **and** *1*: $P(F\cdot \perp)$
assumes *step*: $\bigwedge x. \llbracket P x; P(F\cdot x) \rrbracket \implies P(F\cdot(F\cdot x))$
shows $P(\text{fix}\cdot F)$
 $\langle \text{proof} \rangle$

lemma *parallel-fix-ind*:
assumes *adm*: $\text{adm } (\lambda x. P(\text{fst } x)(\text{snd } x))$
assumes *base*: $P \perp \perp$

```

assumes step:  $\bigwedge x y. P x y \implies P (F \cdot x) (G \cdot y)$ 
shows  $P (\text{fix} \cdot F) (\text{fix} \cdot G)$ 
⟨proof⟩

```

```

lemma cont-parallel-fix-ind:
assumes cont F and cont G
assumes adm ( $\lambda x. P (\text{fst } x) (\text{snd } x)$ )
assumes  $P \perp \perp$ 
assumes  $\bigwedge x y. P x y \implies P (F x) (G y)$ 
shows  $P (\text{fix} \cdot (\text{Abs-cfun } F)) (\text{fix} \cdot (\text{Abs-cfun } G))$ 
⟨proof⟩

```

18.4 Fixed-points on product types

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

```

lemma fix-cprod:
fix·(F::'a × 'b → 'a × 'b) =
  (μ x. fst (F·(x, μ y. snd (F·(x, y)))),  

   μ y. snd (F·(μ x. fst (F·(x, μ y. snd (F·(x, y)))), y)))
(is fix·F = (?x, ?y))
⟨proof⟩

```

end

19 Plain HOLCF

```

theory Plain-HOLCF
imports Cfun Sfun Cprod Sprod Ssum Up Discrete Lift One Tr Fix
begin

```

Basic HOLCF concepts and types; does not include definition packages.

hide-const (open) Filter.principal

end

20 Package for defining recursive functions in HOLCF

```

theory Fixrec
imports Plain-HOLCF
keywords fixrec :: thy-decl
begin

```

20.1 Pattern-match monad

default-sort cpo

```

pcpodef 'a match = UNIV::(one ++ 'a u) set
⟨proof⟩

definition
fail :: 'a match where
fail = Abs-match (sinl·ONE)

definition
succeed :: 'a → 'a match where
succeed = (Λ x. Abs-match (sinr·(up·x)))

lemma matchE [case-names bottom fail succeed, cases type: match]:
[⟨p = ⊥ ⇒ Q; p = fail ⇒ Q; ∏x. p = succeed·x ⇒ Q⟩] ⇒ Q
⟨proof⟩

lemma succeed-defined [simp]: succeed·x ≠ ⊥
⟨proof⟩

lemma fail-defined [simp]: fail ≠ ⊥
⟨proof⟩

lemma succeed-eq [simp]: (succeed·x = succeed·y) = (x = y)
⟨proof⟩

lemma succeed-neq-fail [simp]:
succeed·x ≠ fail fail ≠ succeed·x
⟨proof⟩

```

20.1.1 Run operator

```

definition
run :: 'a match → 'a::pcpo where
run = (Λ m. sscase·⊥·(fup·ID)·(Rep-match m))

```

rewrite rules for run

```

lemma run-strict [simp]: run·⊥ = ⊥
⟨proof⟩

lemma run-fail [simp]: run·fail = ⊥
⟨proof⟩

lemma run-succeed [simp]: run·(succeed·x) = x
⟨proof⟩

```

20.1.2 Monad plus operator

```

definition
mplus :: 'a match → 'a match → 'a match where
mplus = (Λ m1 m2. sscase·(Λ -. m2)·(Λ -. m1)·(Rep-match m1))

```

abbreviation

```
mplus-syn :: ['a match, 'a match] ⇒ 'a match (infixr +++ 65) where
m1 +++ m2 == mplus·m1·m2
```

rewrite rules for mplus

lemma mplus-strict [simp]: $\perp +++ m = \perp$
 $\langle proof \rangle$

lemma mplus-fail [simp]: fail +++ m = m
 $\langle proof \rangle$

lemma mplus-succeed [simp]: succeed·x +++ m = succeed·x
 $\langle proof \rangle$

lemma mplus-fail2 [simp]: m +++ fail = m
 $\langle proof \rangle$

lemma mplus-assoc: $(x +++ y) +++ z = x +++ (y +++ z)$
 $\langle proof \rangle$

20.2 Match functions for built-in types

default-sort pcpo

definition

```
match-bottom :: 'a → 'c match → 'c match
where
match-bottom = (Λ x k. seq·x·fail)
```

definition

```
match-Pair :: 'a::cpo × 'b::cpo → ('a → 'b → 'c match) → 'c match
where
match-Pair = (Λ x k. csplit·k·x)
```

definition

```
match-spair :: 'a ⊗ 'b → ('a → 'b → 'c match) → 'c match
where
match-spair = (Λ x k. ssplit·k·x)
```

definition

```
match-sinl :: 'a ⊕ 'b → ('a → 'c match) → 'c match
where
match-sinl = (Λ x k. sscase·k·(Λ b. fail)·x)
```

definition

```
match-sinr :: 'a ⊕ 'b → ('b → 'c match) → 'c match
where
match-sinr = (Λ x k. sscase·(Λ a. fail)·k·x)
```

definition

$$\text{match-up} :: 'a::\text{cpo } u \rightarrow ('a \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$$
where

$$\text{match-up} = (\Lambda x k. \text{fup}\cdot k\cdot x)$$
definition

$$\text{match-ONE} :: \text{one} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
where

$$\text{match-ONE} = (\Lambda \text{ ONE } k. k)$$
definition

$$\text{match-TT} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
where

$$\text{match-TT} = (\Lambda x k. \text{If } x \text{ then } k \text{ else fail})$$
definition

$$\text{match-FF} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
where

$$\text{match-FF} = (\Lambda x k. \text{If } x \text{ then fail else } k)$$
lemma $\text{match-bottom-simps} [\text{simp}]$:
$$\text{match-bottom}\cdot x\cdot k = (\text{if } x = \perp \text{ then } \perp \text{ else fail})$$

$$\langle \text{proof} \rangle$$
lemma $\text{match-Pair-simps} [\text{simp}]$:
$$\text{match-Pair}\cdot(x, y)\cdot k = k\cdot x\cdot y$$

$$\langle \text{proof} \rangle$$
lemma $\text{match-spair-simps} [\text{simp}]$:
$$[x \neq \perp; y \neq \perp] \implies \text{match-spair}\cdot(:x, y)\cdot k = k\cdot x\cdot y$$

$$\text{match-spair}\cdot \perp\cdot k = \perp$$

$$\langle \text{proof} \rangle$$
lemma $\text{match-sinl-simps} [\text{simp}]$:
$$x \neq \perp \implies \text{match-sinl}\cdot(\text{sinl}\cdot x)\cdot k = k\cdot x$$

$$y \neq \perp \implies \text{match-sinl}\cdot(\text{sinr}\cdot y)\cdot k = \text{fail}$$

$$\text{match-sinl}\cdot \perp\cdot k = \perp$$

$$\langle \text{proof} \rangle$$
lemma $\text{match-sinr-simps} [\text{simp}]$:
$$x \neq \perp \implies \text{match-sinr}\cdot(\text{sinl}\cdot x)\cdot k = \text{fail}$$

$$y \neq \perp \implies \text{match-sinr}\cdot(\text{sinr}\cdot y)\cdot k = k\cdot y$$

$$\text{match-sinr}\cdot \perp\cdot k = \perp$$

$$\langle \text{proof} \rangle$$
lemma $\text{match-up-simps} [\text{simp}]$:
$$\text{match-up}\cdot(\text{up}\cdot x)\cdot k = k\cdot x$$

$$\text{match-up}\cdot \perp\cdot k = \perp$$

$$\langle \text{proof} \rangle$$

lemma *match-ONE-simps* [*simp*]:

match-ONE·*ONE*·*k* = *k*

match-ONE· \perp ·*k* = \perp

{proof}

lemma *match-TT-simps* [*simp*]:

match-TT·*TT*·*k* = *k*

match-TT·*FF*·*k* = *fail*

match-TT· \perp ·*k* = \perp

{proof}

lemma *match-FF-simps* [*simp*]:

match-FF·*FF*·*k* = *k*

match-FF·*TT*·*k* = *fail*

match-FF· \perp ·*k* = \perp

{proof}

20.3 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

lemma *Pair-equalI*: $\llbracket x \equiv \text{fst } p; y \equiv \text{snd } p \rrbracket \implies (x, y) \equiv p$

{proof}

lemma *Pair-eqD1*: $(x, y) = (x', y') \implies x = x'$

{proof}

lemma *Pair-eqD2*: $(x, y) = (x', y') \implies y = y'$

{proof}

lemma *def-cont-fix-eq*:

$\llbracket f \equiv \text{fix} \cdot (\text{Abs-cfun } F); \text{cont } F \rrbracket \implies f = F f$

{proof}

lemma *def-cont-fix-ind*:

$\llbracket f \equiv \text{fix} \cdot (\text{Abs-cfun } F); \text{cont } F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F x) \rrbracket \implies P f$

{proof}

lemma for proving rewrite rules

lemma *ssubst-lhs*: $\llbracket t = s; P s = Q \rrbracket \implies P t = Q$

{proof}

20.4 Initializing the fixrec package

{ML}

hide-const (open) *succeed fail run*

```
end
```

21 Continuous deflations and ep-pairs

```
theory Deflation
imports Plain-HOLCF
begin
```

```
default-sort cpo
```

21.1 Continuous deflations

```
locale deflation =
fixes d :: 'a → 'a
assumes idem: ⋀x. d·(d·x) = d·x
assumes below: ⋀x. d·x ⊑ x
begin
```

```
lemma below-ID: d ⊑ ID
⟨proof⟩
```

The set of fixed points is the same as the range.

```
lemma fixes-eq-range: {x. d·x = x} = range (λx. d·x)
⟨proof⟩
```

```
lemma range-eq-fixes: range (λx. d·x) = {x. d·x = x}
⟨proof⟩
```

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

```
lemma belowI:
assumes f: ⋀x. d·x = x ⟹ f·x = x shows d ⊑ f
⟨proof⟩
```

```
lemma belowD: [f ⊑ d; f·x = x] ⟹ d·x = x
⟨proof⟩
```

```
end
```

```
lemma deflation-strict: deflation d ⟹ d·⊥ = ⊥
⟨proof⟩
```

```
lemma adm-deflation: adm (λd. deflation d)
⟨proof⟩
```

```
lemma deflation-ID: deflation ID
⟨proof⟩
```

lemma *deflation-bottom*: *deflation* \perp
(proof)

lemma *deflation-below-iff*:
 $\llbracket \text{deflation } p; \text{deflation } q \rrbracket \implies p \sqsubseteq q \longleftrightarrow (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$
(proof)

The composition of two deflations is equal to the lesser of the two (if they are comparable).

lemma *deflation-below-comp1*:
assumes *deflation f*
assumes *deflation g*
shows $f \sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$
(proof)

lemma *deflation-below-comp2*:
 $\llbracket \text{deflation } f; \text{deflation } g; f \sqsubseteq g \rrbracket \implies g \cdot (f \cdot x) = f \cdot x$
(proof)

21.2 Deflations with finite range

lemma *finite-range-imp-finite-fixes*:
assumes *finite (range f)* \implies *finite {x. f x = x}*
(proof)

locale *finite-deflation* = *deflation* +
assumes *finite-fixes*: *finite {x. d x = x}*
begin

lemma *finite-range*: *finite (range (λx. d x))*
(proof)

lemma *finite-image*: *finite ((λx. d x) ` A)*
(proof)

lemma *compact*: *compact (d x)*
(proof)

end

lemma *finite-deflation-intro*:
deflation d \implies *finite {x. d x = x} \implies finite-deflation d*
(proof)

lemma *finite-deflation-imp-deflation*:
finite-deflation d \implies *deflation d*
(proof)

lemma *finite-deflation-bottom*: *finite-deflation* \perp

$\langle proof \rangle$

21.3 Continuous embedding-projection pairs

locale *ep-pair* =

fixes *e* :: $'a \rightarrow 'b$ **and** *p* :: $'b \rightarrow 'a$

assumes *e-inverse* [*simp*]: $\bigwedge x. p \cdot (e \cdot x) = x$

and *e-p-below*: $\bigwedge y. e \cdot (p \cdot y) \sqsubseteq y$

begin

lemma *e-below-iff* [*simp*]: $e \cdot x \sqsubseteq e \cdot y \longleftrightarrow x \sqsubseteq y$

$\langle proof \rangle$

lemma *e-eq-iff* [*simp*]: $e \cdot x = e \cdot y \longleftrightarrow x = y$

$\langle proof \rangle$

lemma *p-eq-iff*:

$\llbracket e \cdot (p \cdot x) = x; e \cdot (p \cdot y) = y \rrbracket \implies p \cdot x = p \cdot y \longleftrightarrow x = y$

$\langle proof \rangle$

lemma *p-inverse*: $(\exists x. y = e \cdot x) = (e \cdot (p \cdot y) = y)$

$\langle proof \rangle$

lemma *e-below-iff-below-p*: $e \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq p \cdot y$

$\langle proof \rangle$

lemma *compact-e-rev*: *compact* (*e* · *x*) \implies *compact* *x*

$\langle proof \rangle$

lemma *compact-e*: *compact* *x* \implies *compact* (*e* · *x*)

$\langle proof \rangle$

lemma *compact-e-iff*: *compact* (*e* · *x*) \longleftrightarrow *compact* *x*

$\langle proof \rangle$

Deflations from ep-pairs

lemma *deflation-e-p*: *deflation* (*e oo p*)

$\langle proof \rangle$

lemma *deflation-e-d-p*:

assumes *deflation* *d*

shows *deflation* (*e oo d oo p*)

$\langle proof \rangle$

lemma *finite-deflation-e-d-p*:

assumes *finite-deflation* *d*

shows *finite-deflation* (*e oo d oo p*)

$\langle proof \rangle$

```

lemma deflation-p-d-e:
  assumes deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows deflation (p oo d oo e)
  ⟨proof⟩

lemma finite-deflation-p-d-e:
  assumes finite-deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows finite-deflation (p oo d oo e)
  ⟨proof⟩

end

```

21.4 Uniqueness of ep-pairs

```

lemma ep-pair-unique-e-lemma:
  assumes 1: ep-pair e1 p and 2: ep-pair e2 p
  shows e1  $\sqsubseteq$  e2
  ⟨proof⟩

lemma ep-pair-unique-e:
   $\llbracket \text{ep-pair } e1 \text{ p; ep-pair } e2 \text{ p} \rrbracket \implies e1 = e2$ 
  ⟨proof⟩

lemma ep-pair-unique-p-lemma:
  assumes 1: ep-pair e p1 and 2: ep-pair e p2
  shows p1  $\sqsubseteq$  p2
  ⟨proof⟩

lemma ep-pair-unique-p:
   $\llbracket \text{ep-pair } e \text{ p1; ep-pair } e \text{ p2} \rrbracket \implies p1 = p2$ 
  ⟨proof⟩

```

21.5 Composing ep-pairs

```

lemma ep-pair-ID-ID: ep-pair ID ID
  ⟨proof⟩

```

```

lemma ep-pair-comp:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (e2 oo e1) (p1 oo p2)
  ⟨proof⟩

```

```

locale pcpo-ep-pair = ep-pair e p
  for e :: 'a::pcpo  $\rightarrow$  'b::pcpo
  and p :: 'b::pcpo  $\rightarrow$  'a::pcpo
begin

```

```

lemma e-strict [simp]: e.⊥ = ⊥

```

```

⟨proof⟩

lemma e-bottom-iff [simp]:  $e \cdot x = \perp \longleftrightarrow x = \perp$ 
⟨proof⟩

lemma e-defined:  $x \neq \perp \implies e \cdot x \neq \perp$ 
⟨proof⟩

lemma p-strict [simp]:  $p \cdot \perp = \perp$ 
⟨proof⟩

lemmas stricts = e-strict p-strict

end

end

```

22 Map functions for various types

```

theory Map-Functions
imports Deflation
begin

```

22.1 Map operator for continuous function space

default-sort cpo

```

definition
  cfun-map :: ('b → 'a) → ('c → 'd) → ('a → 'c) → ('b → 'd)
where
  cfun-map = (Λ a b f x. b · (f · (a · x)))

```

```

lemma cfun-map-beta [simp]: cfun-map · a · b · f · x = b · (f · (a · x))
⟨proof⟩

```

```

lemma cfun-map-ID: cfun-map · ID · ID = ID
⟨proof⟩

```

```

lemma cfun-map-map:
  cfun-map · f1 · g1 · (cfun-map · f2 · g2 · p) =
    cfun-map · (Λ x. f2 · (f1 · x)) · (Λ x. g1 · (g2 · x)) · p
⟨proof⟩

```

```

lemma ep-pair-cfun-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (cfun-map · p1 · e2) (cfun-map · e1 · p2)
⟨proof⟩

```

```

lemma deflation-cfun-map:

```

```

assumes deflation d1 and deflation d2
shows deflation (cfun-map·d1·d2)
⟨proof⟩

lemma finite-range-cfun-map:
assumes a: finite (range ( $\lambda x. a \cdot x$ ))
assumes b: finite (range ( $\lambda y. b \cdot y$ ))
shows finite (range ( $\lambda f. cfun-map \cdot a \cdot b \cdot f$ )) (is finite (range ?h))
⟨proof⟩

lemma finite-deflation-cfun-map:
assumes finite-deflation d1 and finite-deflation d2
shows finite-deflation (cfun-map·d1·d2)
⟨proof⟩

```

Finite deflations are compact elements of the function space

```

lemma finite-deflation-imp-compact: finite-deflation d  $\implies$  compact d
⟨proof⟩

```

22.2 Map operator for product type

definition

```

prod-map :: ('a  $\rightarrow$  'b)  $\rightarrow$  ('c  $\rightarrow$  'd)  $\rightarrow$  'a  $\times$  'c  $\rightarrow$  'b  $\times$  'd
where
prod-map = ( $\Lambda f g p. (f \cdot (fst\ p), g \cdot (snd\ p))$ )

```

```

lemma prod-map-Pair [simp]: prod-map·f·g·(x, y) = (f·x, g·y)
⟨proof⟩

```

```

lemma prod-map-ID: prod-map·ID·ID = ID
⟨proof⟩

```

```

lemma prod-map-map:
prod-map·f1·g1·(prod-map·f2·g2·p) =
prod-map·( $\Lambda x. f1 \cdot (f2 \cdot x)$ )·( $\Lambda x. g1 \cdot (g2 \cdot x)$ )·p
⟨proof⟩

```

```

lemma ep-pair-prod-map:
assumes ep-pair e1 p1 and ep-pair e2 p2
shows ep-pair (prod-map·e1·e2) (prod-map·p1·p2)
⟨proof⟩

```

```

lemma deflation-prod-map:
assumes deflation d1 and deflation d2
shows deflation (prod-map·d1·d2)
⟨proof⟩

```

```

lemma finite-deflation-prod-map:
assumes finite-deflation d1 and finite-deflation d2

```

shows finite-deflation (prod-map·d1·d2)
 $\langle proof \rangle$

22.3 Map function for lifted cpo

definition

$u\text{-map} :: ('a \rightarrow 'b) \rightarrow 'a u \rightarrow 'b u$

where

$u\text{-map} = (\Lambda f. fup \cdot (up \circ f))$

lemma $u\text{-map-strict}$ [simp]: $u\text{-map}\cdot f \cdot \perp = \perp$
 $\langle proof \rangle$

lemma $u\text{-map-up}$ [simp]: $u\text{-map}\cdot f \cdot (up \cdot x) = up \cdot (f \cdot x)$
 $\langle proof \rangle$

lemma $u\text{-map-ID}$: $u\text{-map}\cdot ID = ID$
 $\langle proof \rangle$

lemma $u\text{-map-map}$: $u\text{-map}\cdot f \cdot (u\text{-map}\cdot g \cdot p) = u\text{-map}\cdot (\Lambda x. f \cdot (g \cdot x)) \cdot p$
 $\langle proof \rangle$

lemma $u\text{-map-oo}$: $u\text{-map}\cdot (f \circ g) = u\text{-map}\cdot f \circ u\text{-map}\cdot g$
 $\langle proof \rangle$

lemma $ep\text{-pair-}u\text{-map}$: $ep\text{-pair } e \ p \implies ep\text{-pair } (u\text{-map}\cdot e) \ (u\text{-map}\cdot p)$
 $\langle proof \rangle$

lemma $deflation\text{-}u\text{-map}$: $deflation \ d \implies deflation \ (u\text{-map}\cdot d)$
 $\langle proof \rangle$

lemma $finite\text{-}deflation\text{-}u\text{-map}$:
assumes $finite\text{-}deflation \ d$ **shows** $finite\text{-}deflation \ (u\text{-map}\cdot d)$
 $\langle proof \rangle$

22.4 Map function for strict products

default-sort pcpo

definition

$sprod\text{-map} :: ('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \otimes 'c \rightarrow 'b \otimes 'd$

where

$sprod\text{-map} = (\Lambda f g. ssplit \cdot (\Lambda x y. (:f \cdot x, g \cdot y:)))$

lemma $sprod\text{-map-strict}$ [simp]: $sprod\text{-map}\cdot a \cdot b \cdot \perp = \perp$
 $\langle proof \rangle$

lemma $sprod\text{-map-spair}$ [simp]:
 $x \neq \perp \implies y \neq \perp \implies sprod\text{-map}\cdot f \cdot g \cdot (:x, y:) = (:f \cdot x, g \cdot y:)$
 $\langle proof \rangle$

lemma *sprod-map-spair'*:

$f \cdot \perp = \perp \Rightarrow g \cdot \perp = \perp \Rightarrow \text{sprod-map} \cdot f \cdot g \cdot (:x, y:) = (:f \cdot x, g \cdot y:)$
 $\langle proof \rangle$

lemma *sprod-map-ID*: $\text{sprod-map} \cdot ID \cdot ID = ID$
 $\langle proof \rangle$

lemma *sprod-map-map*:

$\llbracket f1 \cdot \perp = \perp; g1 \cdot \perp = \perp \rrbracket \Rightarrow$
 $\text{sprod-map} \cdot f1 \cdot g1 \cdot (\text{sprod-map} \cdot f2 \cdot g2 \cdot p) =$
 $\text{sprod-map} \cdot (\Lambda x. f1 \cdot (f2 \cdot x)) \cdot (\Lambda x. g1 \cdot (g2 \cdot x)) \cdot p$
 $\langle proof \rangle$

lemma *ep-pair-sprod-map*:

assumes *ep-pair e1 p1 and ep-pair e2 p2*
shows *ep-pair (sprod-map · e1 · e2) (sprod-map · p1 · p2)*
 $\langle proof \rangle$

lemma *deflation-sprod-map*:

assumes *deflation d1 and deflation d2*
shows *deflation (sprod-map · d1 · d2)*
 $\langle proof \rangle$

lemma *finite-deflation-sprod-map*:

assumes *finite-deflation d1 and finite-deflation d2*
shows *finite-deflation (sprod-map · d1 · d2)*
 $\langle proof \rangle$

22.5 Map function for strict sums

definition

$\text{ssum-map} :: ('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \oplus 'c \rightarrow 'b \oplus 'd$

where

$\text{ssum-map} = (\Lambda f g. \text{ssccase} \cdot (\text{sinl} \ oo f) \cdot (\text{sinr} \ oo g))$

lemma *ssum-map-strict [simp]*: $\text{ssum-map} \cdot f \cdot g \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *ssum-map-sinl [simp]*: $x \neq \perp \Rightarrow \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$
 $\langle proof \rangle$

lemma *ssum-map-sinr [simp]*: $x \neq \perp \Rightarrow \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$
 $\langle proof \rangle$

lemma *ssum-map-sinl': f · ⊥ = ⊥* $\Rightarrow \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$
 $\langle proof \rangle$

lemma *ssum-map-sinr': g · ⊥ = ⊥* $\Rightarrow \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$

$\langle proof \rangle$

lemma *ssum-map-ID*: $s\text{sum-map} \cdot ID \cdot ID = ID$
 $\langle proof \rangle$

lemma *ssum-map-map*:
 $\llbracket f_1 \cdot \perp = \perp; g_1 \cdot \perp = \perp \rrbracket \implies$
 $s\text{sum-map} \cdot f_1 \cdot g_1 \cdot (s\text{sum-map} \cdot f_2 \cdot g_2 \cdot p) =$
 $s\text{sum-map} \cdot (\Lambda x. f_1 \cdot (f_2 \cdot x)) \cdot (\Lambda x. g_1 \cdot (g_2 \cdot x)) \cdot p$
 $\langle proof \rangle$

lemma *ep-pair-ssum-map*:
assumes *ep-pair e1 p1 and ep-pair e2 p2*
shows *ep-pair (ssum-map · e1 · e2) (ssum-map · p1 · p2)*
 $\langle proof \rangle$

lemma *deflation-ssum-map*:
assumes *deflation d1 and deflation d2*
shows *deflation (ssum-map · d1 · d2)*
 $\langle proof \rangle$

lemma *finite-deflation-ssum-map*:
assumes *finite-deflation d1 and finite-deflation d2*
shows *finite-deflation (ssum-map · d1 · d2)*
 $\langle proof \rangle$

22.6 Map operator for strict function space

definition

sfun-map :: $('b \rightarrow 'a) \rightarrow ('c \rightarrow 'd) \rightarrow ('a \rightarrow! 'c) \rightarrow ('b \rightarrow! 'd)$
where

$s\text{fun-map} = (\Lambda a b. s\text{fun-abs} oo c\text{fun-map} \cdot a \cdot b oo s\text{fun-rep})$

lemma *sfun-map-ID*: $s\text{fun-map} \cdot ID \cdot ID = ID$
 $\langle proof \rangle$

lemma *sfun-map-map*:
assumes $f_2 \cdot \perp = \perp$ and $g_2 \cdot \perp = \perp$ **shows**
 $s\text{fun-map} \cdot f_1 \cdot g_1 \cdot (s\text{fun-map} \cdot f_2 \cdot g_2 \cdot p) =$
 $s\text{fun-map} \cdot (\Lambda x. f_2 \cdot (f_1 \cdot x)) \cdot (\Lambda x. g_1 \cdot (g_2 \cdot x)) \cdot p$
 $\langle proof \rangle$

lemma *ep-pair-sfun-map*:
assumes 1: *ep-pair e1 p1*
assumes 2: *ep-pair e2 p2*
shows *ep-pair (sfun-map · p1 · e2) (sfun-map · e1 · p2)*
 $\langle proof \rangle$

lemma *deflation-sfun-map*:

```

assumes 1: deflation d1
assumes 2: deflation d2
shows deflation (sfun-map·d1·d2)
⟨proof⟩

lemma finite-deflation-sfun-map:
assumes 1: finite-deflation d1
assumes 2: finite-deflation d2
shows finite-deflation (sfun-map·d1·d2)
⟨proof⟩

end

```

23 Profinite and bifinite cpos

```

theory Bifinite
imports Map-Functions ∽src/HOL/Library/Countable
begin

default-sort cpo

```

23.1 Chains of finite deflations

```

locale approx-chain =
fixes approx :: nat ⇒ 'a → 'a
assumes chain-approx [simp]: chain (λi. approx i)
assumes lub-approx [simp]: (⊔ i. approx i) = ID
assumes finite-deflation-approx [simp]: ∀i. finite-deflation (approx i)
begin

lemma deflation-approx: deflation (approx i)
⟨proof⟩

lemma approx-idem: approx i · (approx i · x) = approx i · x
⟨proof⟩

lemma approx-below: approx i · x ⊑ x
⟨proof⟩

lemma finite-range-approx: finite (range (λx. approx i · x))
⟨proof⟩

lemma compact-approx [simp]: compact (approx n · x)
⟨proof⟩

lemma compact-eq-approx: compact x ⇒ ∃i. approx i · x = x
⟨proof⟩

end

```

23.2 Omega-profinite and bifinite domains

```
class bifinite = pcpo +
  assumes bifinite:  $\exists (a:\text{nat} \Rightarrow 'a \rightarrow 'a)$ . approx-chain a

class profinite = cpo +
  assumes profinite:  $\exists (a:\text{nat} \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp})$ . approx-chain a
```

23.3 Building approx chains

```
lemma approx-chain-iso:
  assumes a: approx-chain a
  assumes [simp]:  $\bigwedge x. f \cdot (g \cdot x) = x$ 
  assumes [simp]:  $\bigwedge y. g \cdot (f \cdot y) = y$ 
  shows approx-chain ( $\lambda i. f \circ a \circ g$ )
  ⟨proof⟩

lemma approx-chain-u-map:
  assumes approx-chain a
  shows approx-chain ( $\lambda i. u\text{-map}\cdot(a i)$ )
  ⟨proof⟩

lemma approx-chain-sfun-map:
  assumes approx-chain a and approx-chain b
  shows approx-chain ( $\lambda i. sfun\text{-map}\cdot(a i)\cdot(b i)$ )
  ⟨proof⟩

lemma approx-chain-sprod-map:
  assumes approx-chain a and approx-chain b
  shows approx-chain ( $\lambda i. sprod\text{-map}\cdot(a i)\cdot(b i)$ )
  ⟨proof⟩

lemma approx-chain-ssum-map:
  assumes approx-chain a and approx-chain b
  shows approx-chain ( $\lambda i. ssum\text{-map}\cdot(a i)\cdot(b i)$ )
  ⟨proof⟩

lemma approx-chain-cfun-map:
  assumes approx-chain a and approx-chain b
  shows approx-chain ( $\lambda i. cfun\text{-map}\cdot(a i)\cdot(b i)$ )
  ⟨proof⟩

lemma approx-chain-prod-map:
  assumes approx-chain a and approx-chain b
  shows approx-chain ( $\lambda i. prod\text{-map}\cdot(a i)\cdot(b i)$ )
  ⟨proof⟩
```

Approx chains for countable discrete types.

```
definition discr-approx :: nat  $\Rightarrow 'a::countable$  discr u  $\rightarrow 'a$  discr u
  where discr-approx = ( $\lambda i. \Lambda(up \cdot x). \text{if } to\text{-nat } (undiscr x) < i \text{ then } up \cdot x \text{ else } \perp$ )
```

lemma *chain-discr-approx* [*simp*]: *chain discr-approx*
 $\langle \text{proof} \rangle$

lemma *lub-discr-approx* [*simp*]: $(\bigsqcup i. \text{discr-approx } i) = ID$
 $\langle \text{proof} \rangle$

lemma *inj-on-undiscr* [*simp*]: *inj-on undiscr A*
 $\langle \text{proof} \rangle$

lemma *finite-deflation-discr-approx*: *finite-deflation (discr-approx i)*
 $\langle \text{proof} \rangle$

lemma *discr-approx*: *approx-chain discr-approx*
 $\langle \text{proof} \rangle$

23.4 Class instance proofs

instance *bifinite* \subseteq *profinite*
 $\langle \text{proof} \rangle$

instance *u :: (profinite) bifinite*
 $\langle \text{proof} \rangle$

Types '*a* → '*b* and '*a*_⊥ →! '*b* are isomorphic.

definition *encode-cfun* = $(\Lambda f. \text{sfun-abs} \cdot (\text{fup} \cdot f))$

definition *decode-cfun* = $(\Lambda g x. \text{sfun-rep} \cdot g \cdot (\text{up} \cdot x))$

lemma *decode-encode-cfun* [*simp*]: *decode-cfun* · (*encode-cfun* · *x*) = *x*
 $\langle \text{proof} \rangle$

lemma *encode-decode-cfun* [*simp*]: *encode-cfun* · (*decode-cfun* · *y*) = *y*
 $\langle \text{proof} \rangle$

instance *cfun :: (profinite, bifinite) bifinite*
 $\langle \text{proof} \rangle$

Types ('*a* × '*b*)_⊥ and '*a*_⊥ ⊗ '*b*_⊥ are isomorphic.

definition *encode-prod-u* = $(\Lambda(\text{up} \cdot (x, y)). (\text{:up} \cdot x, \text{up} \cdot y \text{:}))$

definition *decode-prod-u* = $(\Lambda(\text{:up} \cdot x, \text{up} \cdot y \text{:}). \text{up} \cdot (x, y))$

lemma *decode-encode-prod-u* [*simp*]: *decode-prod-u* · (*encode-prod-u* · *x*) = *x*
 $\langle \text{proof} \rangle$

lemma *encode-decode-prod-u* [*simp*]: *encode-prod-u* · (*decode-prod-u* · *y*) = *y*
 $\langle \text{proof} \rangle$

```

instance prod :: (profinite, profinite) profinite
⟨proof⟩

instance prod :: (bifinite, bifinite) bifinite
⟨proof⟩

instance sfun :: (bifinite, bifinite) bifinite
⟨proof⟩

instance sprod :: (bifinite, bifinite) bifinite
⟨proof⟩

instance ssum :: (bifinite, bifinite) bifinite
⟨proof⟩

lemma approx-chain-unit: approx-chain ( $\perp :: nat \Rightarrow unit \rightarrow unit$ )
⟨proof⟩

instance unit :: bifinite
⟨proof⟩

instance discr :: (countable) profinite
⟨proof⟩

instance lift :: (countable) bifinite
⟨proof⟩

end

```

24 Defining algebraic domains by ideal completion

```

theory Completion
imports Plain-HOLCF
begin

```

24.1 Ideals over a preorder

```

locale preorder =
  fixes r :: 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\preceq$  50)
  assumes r-refl:  $x \preceq x$ 
  assumes r-trans:  $[x \preceq y; y \preceq z] \implies x \preceq z$ 
begin

definition
  ideal :: 'a set  $\Rightarrow$  bool where
    ideal A =  $(\exists x. x \in A) \wedge (\forall x \in A. \forall y \in A. \exists z \in A. x \preceq z \wedge y \preceq z) \wedge$ 
     $(\forall x y. x \preceq y \longrightarrow y \in A \longrightarrow x \in A)$ 

lemma idealI:

```

```

assumes  $\exists x. x \in A$ 
assumes  $\bigwedge x y. [x \in A; y \in A] \implies \exists z \in A. x \preceq z \wedge y \preceq z$ 
assumes  $\bigwedge x y. [x \preceq y; y \in A] \implies x \in A$ 
shows ideal A
⟨proof⟩

```

```

lemma idealD1:
ideal A  $\implies \exists x. x \in A$ 
⟨proof⟩

```

```

lemma idealD2:
[ideal A;  $x \in A; y \in A] \implies \exists z \in A. x \preceq z \wedge y \preceq z$ 
⟨proof⟩

```

```

lemma idealD3:
[ideal A;  $x \preceq y; y \in A] \implies x \in A$ 
⟨proof⟩

```

```

lemma ideal-principal: ideal {x.  $x \preceq z$ }
⟨proof⟩

```

```

lemma ex-ideal:  $\exists A. A \in \{A. \text{ideal } A\}$ 
⟨proof⟩

```

The set of ideals is a cpo

```

lemma ideal-UN:
fixes A :: nat  $\Rightarrow$  'a set
assumes ideal-A:  $\bigwedge i. \text{ideal} (A i)$ 
assumes chain-A:  $\bigwedge i j. i \leq j \implies A i \subseteq A j$ 
shows ideal ( $\bigcup i. A i$ )
⟨proof⟩

```

```

lemma typedef-ideal-po:
fixes Abs :: 'a set  $\Rightarrow$  'b::below
assumes type: type-definition Rep Abs {S. ideal S}
assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
shows OFCLASS('b, po-class)
⟨proof⟩

```

```

lemma
fixes Abs :: 'a set  $\Rightarrow$  'b::po
assumes type: type-definition Rep Abs {S. ideal S}
assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
assumes S: chain S
shows typedef-ideal-lub: range S <<| Abs ( $\bigcup i. \text{Rep} (S i)$ )
and typedef-ideal-rep-lub: Rep ( $\bigsqcup i. S i$ ) = ( $\bigcup i. \text{Rep} (S i)$ )
⟨proof⟩

```

```

lemma typedef-ideal-cpo:

```

```

fixes Abs :: 'a set  $\Rightarrow$  'b::po
assumes type: type-definition Rep Abs {S. ideal S}
assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
shows OFCLASS('b, cpo-class)
⟨proof⟩

end

interpretation below: preorder below :: 'a::po  $\Rightarrow$  'a  $\Rightarrow$  bool
⟨proof⟩

```

24.2 Lemmas about least upper bounds

lemma is-ub-the lub-ex: $[\exists u. S <<| u; x \in S] \implies x \sqsubseteq \text{lub } S$
 ⟨proof⟩

lemma is-lub-the lub-ex: $[\exists u. S <<| u; S <| x] \implies \text{lub } S \sqsubseteq x$
 ⟨proof⟩

24.3 Locale for ideal completion

```

locale ideal-completion = preorder +
fixes principal :: 'a::type  $\Rightarrow$  'b::cpo
fixes rep :: 'b::cpo  $\Rightarrow$  'a::type set
assumes ideal-rep:  $\bigwedge x. \text{ideal } (\text{rep } x)$ 
assumes rep-lub:  $\bigwedge Y. \text{chain } Y \implies \text{rep } (\bigcup i. Y i) = (\bigcup i. \text{rep } (Y i))$ 
assumes rep-principal:  $\bigwedge a. \text{rep } (\text{principal } a) = \{b. b \preceq a\}$ 
assumes belowI:  $\bigwedge x y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$ 
assumes countable:  $\exists f::'a \Rightarrow \text{nat}. \text{inj } f$ 
begin

```

lemma rep-mono: $x \sqsubseteq y \implies \text{rep } x \subseteq \text{rep } y$
 ⟨proof⟩

lemma below-def: $x \sqsubseteq y \longleftrightarrow \text{rep } x \subseteq \text{rep } y$
 ⟨proof⟩

lemma principal-below-iff-mem-rep: $\text{principal } a \sqsubseteq x \longleftrightarrow a \in \text{rep } x$
 ⟨proof⟩

lemma principal-below-iff [simp]: $\text{principal } a \sqsubseteq \text{principal } b \longleftrightarrow a \preceq b$
 ⟨proof⟩

lemma principal-eq-iff: $\text{principal } a = \text{principal } b \longleftrightarrow a \preceq b \wedge b \preceq a$
 ⟨proof⟩

lemma eq-iff: $x = y \longleftrightarrow \text{rep } x = \text{rep } y$
 ⟨proof⟩

lemma principal-mono: $a \preceq b \implies \text{principal } a \sqsubseteq \text{principal } b$

$\langle proof \rangle$

lemma *ch2ch-principal* [*simp*]:
 $\forall i. Y i \preceq Y (\text{Suc } i) \implies \text{chain } (\lambda i. \text{principal } (Y i))$
 $\langle proof \rangle$

24.3.1 Principal ideals approximate all elements

lemma *compact-principal* [*simp*]: *compact* (*principal* *a*)
 $\langle proof \rangle$

Construct a chain whose lub is the same as a given ideal

lemma *obtain-principal-chain*:
obtains *Y* **where** $\forall i. Y i \preceq Y (\text{Suc } i)$ **and** *x* = $(\bigcup i. \text{principal } (Y i))$
 $\langle proof \rangle$

lemma *principal-induct*:
assumes *adm*: *adm P*
assumes *P*: $\bigwedge a. P (\text{principal } a)$
shows *P x*
 $\langle proof \rangle$

lemma *compact-imp-principal*: *compact x* $\implies \exists a. x = \text{principal } a$
 $\langle proof \rangle$

24.4 Defining functions in terms of basis elements

definition

extension :: $('a::type \Rightarrow 'c::cpo) \Rightarrow 'b \rightarrow 'c$ **where**
 $\text{extension} = (\lambda f. (\Lambda x. \text{lub } (f \cdot \text{rep } x)))$

lemma *extension-lemma*:
fixes *f* :: $'a::type \Rightarrow 'c::cpo$
assumes *f-mono*: $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows $\exists u. f \cdot \text{rep } x \ll| u$
 $\langle proof \rangle$

lemma *extension-beta*:
fixes *f* :: $'a::type \Rightarrow 'c::cpo$
assumes *f-mono*: $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows *extension f·x* = $\text{lub } (f \cdot \text{rep } x)$
 $\langle proof \rangle$

lemma *extension-principal*:
fixes *f* :: $'a::type \Rightarrow 'c::cpo$
assumes *f-mono*: $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows *extension f·(principal a)* = *f a*
 $\langle proof \rangle$

```

lemma extension-mono:
  assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
  assumes g-mono:  $\bigwedge a b. a \preceq b \implies g a \sqsubseteq g b$ 
  assumes below:  $\bigwedge a. f a \sqsubseteq g a$ 
  shows extension f  $\sqsubseteq$  extension g
   $\langle proof \rangle$ 

lemma cont-extension:
  assumes f-mono:  $\bigwedge a b x. a \preceq b \implies f x a \sqsubseteq f x b$ 
  assumes f-cont:  $\bigwedge a. cont(\lambda x. f x a)$ 
  shows cont  $(\lambda x. extension(\lambda a. f x a))$ 
   $\langle proof \rangle$ 

end

lemma (in preorder) typedef-ideal-completion:
  fixes Abs :: 'a set  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow Rep x \subseteq Rep y$ 
  assumes principal:  $\bigwedge a. principal a = Abs \{b. b \preceq a\}$ 
  assumes countable:  $\exists f: 'a \Rightarrow nat. inj f$ 
  shows ideal-completion r principal Rep
   $\langle proof \rangle$ 

end

```

25 A universal bifinite domain

```

theory Universal
imports Bifinite Completion  $\sim\sim$  /src/HOL/Library/Nat-Bijection
begin

```

25.1 Basis for universal domain

25.1.1 Basis datatype

```
type-synonym ubasis = nat
```

definition

```
node :: nat  $\Rightarrow$  ubasis  $\Rightarrow$  ubasis set  $\Rightarrow$  ubasis
```

where

```
node i a S = Suc (prod-encode (i, prod-encode (a, set-encode S)))
```

```
lemma node-not-0 [simp]: node i a S  $\neq$  0
 $\langle proof \rangle$ 
```

```
lemma node-gt-0 [simp]: 0 < node i a S
 $\langle proof \rangle$ 
```

```

lemma node-inject [simp]:
   $\llbracket \text{finite } S; \text{finite } T \rrbracket$ 
   $\implies \text{node } i \ a \ S = \text{node } j \ b \ T \longleftrightarrow i = j \wedge a = b \wedge S = T$ 
   $\langle \text{proof} \rangle$ 

lemma node-gt0:  $i < \text{node } i \ a \ S$ 
   $\langle \text{proof} \rangle$ 

lemma node-gt1:  $a < \text{node } i \ a \ S$ 
   $\langle \text{proof} \rangle$ 

lemma nat-less-power2:  $n < 2^n$ 
   $\langle \text{proof} \rangle$ 

lemma node-gt2:  $\llbracket \text{finite } S; b \in S \rrbracket \implies b < \text{node } i \ a \ S$ 
   $\langle \text{proof} \rangle$ 

lemma eq-prod-encode-pairI:
   $\llbracket \text{fst } (\text{prod-decode } x) = a; \text{snd } (\text{prod-decode } x) = b \rrbracket \implies x = \text{prod-encode } (a, b)$ 
   $\langle \text{proof} \rangle$ 

lemma node-cases:
  assumes 1:  $x = 0 \implies P$ 
  assumes 2:  $\bigwedge i \ a \ S. \llbracket \text{finite } S; x = \text{node } i \ a \ S \rrbracket \implies P$ 
  shows  $P$ 
   $\langle \text{proof} \rangle$ 

lemma node-induct:
  assumes 1:  $P \ 0$ 
  assumes 2:  $\bigwedge i \ a \ S. \llbracket P \ a; \text{finite } S; \forall b \in S. \ P \ b \rrbracket \implies P \ (\text{node } i \ a \ S)$ 
  shows  $P \ x$ 
   $\langle \text{proof} \rangle$ 

```

25.1.2 Basis ordering

```

inductive
  ubasis-le :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool
where
  ubasis-le-refl: ubasis-le a a
  | ubasis-le-trans:
     $\llbracket \text{ubasis-le } a \ b; \text{ubasis-le } b \ c \rrbracket \implies \text{ubasis-le } a \ c$ 
  | ubasis-le-lower:
     $\text{finite } S \implies \text{ubasis-le } a \ (\text{node } i \ a \ S)$ 
  | ubasis-le-upper:
     $\llbracket \text{finite } S; b \in S; \text{ubasis-le } a \ b \rrbracket \implies \text{ubasis-le } (\text{node } i \ a \ S) \ b$ 

lemma ubasis-le-minimal: ubasis-le 0 x
   $\langle \text{proof} \rangle$ 

```

interpretation *udom*: *preorder ubasis-le*
 $\langle proof \rangle$

25.1.3 Generic take function

function

ubasis-until :: (*ubasis* \Rightarrow *bool*) \Rightarrow *ubasis* \Rightarrow *ubasis*

where

ubasis-until P 0 = 0

| *finite S* \implies *ubasis-until P (node i a S) =*
 $(if P (node i a S) then node i a S else ubasis-until P a)$
 $\langle proof \rangle$

termination *ubasis-until*
 $\langle proof \rangle$

lemma *ubasis-until*: *P 0 \implies P (ubasis-until P x)*
 $\langle proof \rangle$

lemma *ubasis-until'*: *0 < ubasis-until P x \implies P (ubasis-until P x)*
 $\langle proof \rangle$

lemma *ubasis-until-same*: *P x \implies ubasis-until P x = x*
 $\langle proof \rangle$

lemma *ubasis-until-idem*:

P 0 \implies ubasis-until P (ubasis-until P x) = ubasis-until P x
 $\langle proof \rangle$

lemma *ubasis-until-0*:

$\forall x. x \neq 0 \longrightarrow \neg P x \implies \text{ubasis-until } P x = 0$
 $\langle proof \rangle$

lemma *ubasis-until-less*: *ubasis-le (ubasis-until P x) x*
 $\langle proof \rangle$

lemma *ubasis-until-chain*:

assumes *PQ: $\bigwedge x. P x \implies Q x$*
shows *ubasis-le (ubasis-until P x) (ubasis-until Q x)*
 $\langle proof \rangle$

lemma *ubasis-until-mono*:

assumes *$\bigwedge i a S b. [\![\text{finite } S; P (\text{node } i a S); b \in S; \text{ubasis-le } a b]\!] \implies P b$*
shows *ubasis-le a b \implies ubasis-le (ubasis-until P a) (ubasis-until P b)*
 $\langle proof \rangle$

lemma *finite-range-ubasis-until*:
finite {x. P x} \implies finite (range (ubasis-until P))
 $\langle proof \rangle$

25.2 Defining the universal domain by ideal completion

```

typedef udom = {S. udom.ideal S}
⟨proof⟩

instantiation udom :: below
begin

definition
 $x \sqsubseteq y \longleftrightarrow \text{Rep-udom } x \subseteq \text{Rep-udom } y$ 

instance ⟨proof⟩
end

instance udom :: po
⟨proof⟩

instance udom :: cpo
⟨proof⟩

```

definition
 $\text{udom-principal} :: \text{nat} \Rightarrow \text{udom}$ **where**
 $\text{udom-principal } t = \text{Abs-udom } \{u. \text{ubasis-le } u t\}$

lemma ubasis-countable: $\exists f::\text{ubasis} \Rightarrow \text{nat}$. inj f
⟨proof⟩

interpretation udom:
ideal-completion ubasis-le udom-principal Rep-udom
⟨proof⟩

Universal domain is pointed

lemma udom-minimal: $\text{udom-principal } 0 \sqsubseteq x$
⟨proof⟩

instance udom :: pcpo
⟨proof⟩

lemma inst-udom-pcpo: $\perp = \text{udom-principal } 0$
⟨proof⟩

25.3 Compact bases of domains

typedef 'a compact-basis = {x:'a::pcpo. compact x}
⟨proof⟩

lemma Rep-compact-basis' [simp]: compact (Rep-compact-basis a)
⟨proof⟩

lemma Abs-compact-basis-inverse' [simp]:

compact $x \implies \text{Rep-compact-basis} (\text{Abs-compact-basis } x) = x$
 $\langle \text{proof} \rangle$

instantiation *compact-basis* :: (pcpo) below
begin

definition

compact-le-def:
 $(op \sqsubseteq) \equiv (\lambda x y. \text{Rep-compact-basis } x \sqsubseteq \text{Rep-compact-basis } y)$

instance $\langle \text{proof} \rangle$
end

instance *compact-basis* :: (pcpo) po
 $\langle \text{proof} \rangle$

definition

approximants :: ' $a \Rightarrow 'a$ compact-basis set **where**
 $\text{approximants} = (\lambda x. \{a. \text{Rep-compact-basis } a \sqsubseteq x\})$

definition

compact-bot :: ' a :pcpo compact-basis **where**
 $\text{compact-bot} = \text{Abs-compact-basis } \perp$

lemma *Rep-compact-bot* [simp]: $\text{Rep-compact-basis } \text{compact-bot} = \perp$
 $\langle \text{proof} \rangle$

lemma *compact-bot-minimal* [simp]: $\text{compact-bot} \sqsubseteq a$
 $\langle \text{proof} \rangle$

25.4 Universality of *udom*

We use a locale to parameterize the construction over a chain of approx functions on the type to be embedded.

locale *bifinite-approx-chain* =
approx-chain *approx* **for** *approx* :: nat $\Rightarrow 'a::\text{bifinite} \rightarrow 'a$
begin

25.4.1 Choosing a maximal element from a finite set

lemma *finite-has-maximal*:
fixes *A* :: ' a compact-basis set
shows $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y$
 $\langle \text{proof} \rangle$

definition

choose :: ' a compact-basis set $\Rightarrow 'a$ compact-basis
where
 $\text{choose } A = (\text{SOME } x. x \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\})$

```

lemma choose-lemma:
   $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\}$ 
   $\langle \text{proof} \rangle$ 

lemma maximal-choose:
   $\llbracket \text{finite } A; y \in A; \text{choose } A \sqsubseteq y \rrbracket \implies \text{choose } A = y$ 
   $\langle \text{proof} \rangle$ 

lemma choose-in:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in A$ 
   $\langle \text{proof} \rangle$ 

function
  choose-pos :: 'a compact-basis set  $\Rightarrow$  'a compact-basis  $\Rightarrow$  nat
where
  choose-pos A x =
    (if finite A  $\wedge$  x  $\in$  A  $\wedge$  x  $\neq$  choose A
     then Suc (choose-pos (A - {choose A}) x) else 0)
   $\langle \text{proof} \rangle$ 

termination choose-pos
   $\langle \text{proof} \rangle$ 

declare choose-pos.simps [simp del]

lemma choose-pos-choose: finite A  $\implies$  choose-pos A (choose A) = 0
   $\langle \text{proof} \rangle$ 

lemma inj-on-choose-pos [OF refl]:
   $\llbracket \text{card } A = n; \text{finite } A \rrbracket \implies \text{inj-on } (\text{choose-pos } A) A$ 
   $\langle \text{proof} \rangle$ 

lemma choose-pos-bounded [OF refl]:
   $\llbracket \text{card } A = n; \text{finite } A; x \in A \rrbracket \implies \text{choose-pos } A x < n$ 
   $\langle \text{proof} \rangle$ 

lemma choose-pos-lessD:
   $\llbracket \text{choose-pos } A x < \text{choose-pos } A y; \text{finite } A; x \in A; y \in A \rrbracket \implies x \not\sqsubseteq y$ 
   $\langle \text{proof} \rangle$ 

```

25.4.2 Compact basis take function

```

primrec
  cb-take :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis where
  cb-take 0 = ( $\lambda x.$  compact-bot)
  | cb-take (Suc n) = ( $\lambda a.$  Abs-compact-basis (approx n.(Rep-compact-basis a)))
declare cb-take.simps [simp del]

```

lemma *cb-take-zero* [*simp*]: *cb-take* 0 *a* = *compact-bot*
⟨proof⟩

lemma *Rep-cb-take*:
Rep-compact-basis (*cb-take* (*Suc n*) *a*) = *approx n*·(*Rep-compact-basis* *a*)
⟨proof⟩

lemmas *approx-Rep-compact-basis* = *Rep-cb-take* [*symmetric*]

lemma *cb-take-covers*: $\exists n.$ *cb-take* *n* *x* = *x*
⟨proof⟩

lemma *cb-take-less*: *cb-take* *n* *x* \sqsubseteq *x*
⟨proof⟩

lemma *cb-take-idem*: *cb-take* *n* (*cb-take* *n* *x*) = *cb-take* *n* *x*
⟨proof⟩

lemma *cb-take-mono*: *x* \sqsubseteq *y* \implies *cb-take* *n* *x* \sqsubseteq *cb-take* *n* *y*
⟨proof⟩

lemma *cb-take-chain-le*: *m* \leq *n* \implies *cb-take* *m* *x* \sqsubseteq *cb-take* *n* *x*
⟨proof⟩

lemma *finite-range-cb-take*: *finite* (*range* (*cb-take* *n*))
⟨proof⟩

25.4.3 Rank of basis elements

definition

rank :: *'a compact-basis* \Rightarrow *nat*

where

rank x = (*LEAST n.* *cb-take* *n* *x* = *x*)

lemma *compact-approx-rank*: *cb-take* (*rank x*) *x* = *x*
⟨proof⟩

lemma *rank-leD*: *rank x* \leq *n* \implies *cb-take* *n* *x* = *x*
⟨proof⟩

lemma *rank-leI*: *cb-take* *n* *x* = *x* \implies *rank x* \leq *n*
⟨proof⟩

lemma *rank-le-iff*: *rank x* \leq *n* \longleftrightarrow *cb-take* *n* *x* = *x*
⟨proof⟩

lemma *rank-compact-bot* [*simp*]: *rank compact-bot* = 0
⟨proof⟩

lemma *rank-eq-0-iff* [*simp*]: $\text{rank } x = 0 \longleftrightarrow x = \text{compact-bot}$
(proof)

definition

$\text{rank-le} :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis set}$

where

$\text{rank-le } x = \{y. \text{rank } y \leq \text{rank } x\}$

definition

$\text{rank-lt} :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis set}$

where

$\text{rank-lt } x = \{y. \text{rank } y < \text{rank } x\}$

definition

$\text{rank-eq} :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis set}$

where

$\text{rank-eq } x = \{y. \text{rank } y = \text{rank } x\}$

lemma *rank-eq-cong*: $\text{rank } x = \text{rank } y \implies \text{rank-eq } x = \text{rank-eq } y$
(proof)

lemma *rank-lt-cong*: $\text{rank } x = \text{rank } y \implies \text{rank-lt } x = \text{rank-lt } y$
(proof)

lemma *rank-eq-subset*: $\text{rank-eq } x \subseteq \text{rank-le } x$
(proof)

lemma *rank-lt-subset*: $\text{rank-lt } x \subseteq \text{rank-le } x$
(proof)

lemma *finite-rank-le*: $\text{finite } (\text{rank-le } x)$
(proof)

lemma *finite-rank-eq*: $\text{finite } (\text{rank-eq } x)$
(proof)

lemma *finite-rank-lt*: $\text{finite } (\text{rank-lt } x)$
(proof)

lemma *rank-lt-Int-rank-eq*: $\text{rank-lt } x \cap \text{rank-eq } x = \{\}$
(proof)

lemma *rank-lt-Un-rank-eq*: $\text{rank-lt } x \cup \text{rank-eq } x = \text{rank-le } x$
(proof)

25.4.4 Sequencing basis elements

definition

$\text{place} :: 'a \text{ compact-basis} \Rightarrow \text{nat}$

```

where
  place x = card (rank-lt x) + choose-pos (rank-eq x) x

lemma place-bounded: place x < card (rank-le x)
⟨proof⟩

lemma place-ge: card (rank-lt x) ≤ place x
⟨proof⟩

lemma place-rank-mono:
  fixes x y :: 'a compact-basis
  shows rank x < rank y ⇒ place x < place y
⟨proof⟩

lemma place-eqD: place x = place y ⇒ x = y
⟨proof⟩

lemma inj-place: inj place
⟨proof⟩

```

25.4.5 Embedding and projection on basis elements

```

definition
  sub :: 'a compact-basis ⇒ 'a compact-basis
where
  sub x = (case rank x of 0 ⇒ compact-bot | Suc k ⇒ cb-take k x)

lemma rank-sub-less: x ≠ compact-bot ⇒ rank (sub x) < rank x
⟨proof⟩

lemma place-sub-less: x ≠ compact-bot ⇒ place (sub x) < place x
⟨proof⟩

lemma sub-below: sub x ⊑ x
⟨proof⟩

lemma rank-less-imp-below-sub: [x ⊑ y; rank x < rank y] ⇒ x ⊑ sub y
⟨proof⟩

function
  basis-emb :: 'a compact-basis ⇒ ubasis
where
  basis-emb x = (if x = compact-bot then 0 else
    node (place x) (basis-emb (sub x)))
    (basis-emb ` {y. place y < place x ∧ x ⊑ y}))
⟨proof⟩

termination basis-emb
⟨proof⟩

```

```

declare basis-emb.simps [simp del]

lemma basis-emb-compact-bot [simp]: basis-emb compact-bot = 0
⟨proof⟩

lemma fin1: finite {y. place y < place x ∧ x ⊑ y}
⟨proof⟩

lemma fin2: finite (basis-emb ` {y. place y < place x ∧ x ⊑ y})
⟨proof⟩

lemma rank-place-mono:
  [place x < place y; x ⊑ y] ⇒ rank x < rank y
⟨proof⟩

lemma basis-emb-mono:
  x ⊑ y ⇒ ubasis-le (basis-emb x) (basis-emb y)
⟨proof⟩

lemma inj-basis-emb: inj basis-emb
⟨proof⟩

definition
  basis-prj :: ubasis ⇒ 'a compact-basis
where
  basis-prj x = inv basis-emb
  (ubasis-until (λx. x ∈ range (basis-emb :: 'a compact-basis ⇒ ubasis)) x)

lemma basis-prj-basis-emb: ∀x. basis-prj (basis-emb x) = x
⟨proof⟩

lemma basis-prj-node:
  [|finite S; node i a S ∉ range (basis-emb :: 'a compact-basis ⇒ nat)|]
  ⇒ basis-prj (node i a S) = (basis-prj a :: 'a compact-basis)
⟨proof⟩

lemma basis-prj-0: basis-prj 0 = compact-bot
⟨proof⟩

lemma node-eq-basis-emb-iff:
  finite S ⇒ node i a S = basis-emb x ↔
  x ≠ compact-bot ∧ i = place x ∧ a = basis-emb (sub x) ∧
  S = basis-emb ` {y. place y < place x ∧ x ⊑ y}
⟨proof⟩

lemma basis-prj-mono: ubasis-le a b ⇒ basis-prj a ⊑ basis-prj b
⟨proof⟩

```

lemma *basis-emb-prj-less*: *ubasis-le* (*basis-emb* (*basis-prj* *x*)) *x*
⟨proof⟩

lemma *ideal-completion*:

ideal-completion below *Rep-compact-basis* (*approximants* :: *'a* ⇒ -)
⟨proof⟩

end

interpretation *compact-basis*:

ideal-completion below *Rep-compact-basis*
approximants :: *'a::bifinite* ⇒ *'a compact-basis set*
⟨proof⟩

25.4.6 EP-pair from any bifinite domain into *udom*

context *bifinite-approx-chain* **begin**

definition

udom-emb :: *'a* → *udom*

where

udom-emb = *compact-basis.extension* ($\lambda x. \text{udom-principal} (\text{basis-emb } x)$)

definition

udom-prj :: *udom* → *'a*

where

udom-prj = *udom.extension* ($\lambda x. \text{Rep-compact-basis} (\text{basis-prj } x)$)

lemma *udom-emb-principal*:

udom-emb.(*Rep-compact-basis* *x*) = *udom-principal* (*basis-emb* *x*)
⟨proof⟩

lemma *udom-prj-principal*:

udom-prj.(*udom-principal* *x*) = *Rep-compact-basis* (*basis-prj* *x*)
⟨proof⟩

lemma *ep-pair-udom*: *ep-pair* *udom-emb* *udom-prj*
⟨proof⟩

end

abbreviation *udom-emb* ≡ *bifinite-approx-chain.udom-emb*

abbreviation *udom-prj* ≡ *bifinite-approx-chain.udom-prj*

lemmas *ep-pair-udom* =

bifinite-approx-chain.ep-pair-udom [unfolded *bifinite-approx-chain-def*]

25.5 Chain of approx functions for type *udom*

definition

```

udom-approx :: nat ⇒ udom → udom
where
  udom-approx i =
    udom.extension (λx. udom-principal (ubasis-until (λy. y ≤ i) x))

lemma udom-approx-mono:
  ubasis-le a b ⇒
    udom-principal (ubasis-until (λy. y ≤ i) a) ⊑
    udom-principal (ubasis-until (λy. y ≤ i) b)
  ⟨proof⟩

lemma adm-mem-finite: [cont f; finite S] ⇒ adm (λx. f x ∈ S)
  ⟨proof⟩

lemma udom-approx-principal:
  udom-approx i · (udom-principal x) =
    udom-principal (ubasis-until (λy. y ≤ i) x)
  ⟨proof⟩

lemma finite-deflation-udom-approx: finite-deflation (udom-approx i)
  ⟨proof⟩

interpretation udom-approx: finite-deflation udom-approx i
  ⟨proof⟩

lemma chain-udom-approx [simp]: chain (λi. udom-approx i)
  ⟨proof⟩

lemma lub-udom-approx [simp]: (⊔ i. udom-approx i) = ID
  ⟨proof⟩

lemma udom-approx [simp]: approx-chain udom-approx
  ⟨proof⟩

instance udom :: bifinite
  ⟨proof⟩

hide-const (open) node
end

```

26 Algebraic deflations

```

theory Algebraic
imports Universal Map-Functions
begin

default-sort bifinite

```

26.1 Type constructor for finite deflations

```

typedef 'a fin-defl = {d:'a → 'a. finite-deflation d}
⟨proof⟩

instantiation fin-defl :: (bifinite) below
begin

definition below-fin-defl-def:
  below ≡ λx y. Rep-fin-defl x ⊑ Rep-fin-defl y

instance ⟨proof⟩
end

instance fin-defl :: (bifinite) po
⟨proof⟩

lemma finite-deflation-Rep-fin-defl: finite-deflation (Rep-fin-defl d)
⟨proof⟩

lemma deflation-Rep-fin-defl: deflation (Rep-fin-defl d)
⟨proof⟩

interpretation Rep-fin-defl: finite-deflation Rep-fin-defl d
⟨proof⟩

lemma fin-defl-belowI:
  (λx. Rep-fin-defl a·x = x ⇒ Rep-fin-defl b·x = x) ⇒ a ⊑ b
⟨proof⟩

lemma fin-defl-belowD:
  [a ⊑ b; Rep-fin-defl a·x = x] ⇒ Rep-fin-defl b·x = x
⟨proof⟩

lemma fin-defl-eqI:
  (λx. Rep-fin-defl a·x = x ↔ Rep-fin-defl b·x = x) ⇒ a = b
⟨proof⟩

lemma Rep-fin-defl-mono: a ⊑ b ⇒ Rep-fin-defl a ⊑ Rep-fin-defl b
⟨proof⟩

lemma Abs-fin-defl-mono:
  [finite-deflation a; finite-deflation b; a ⊑ b]
    ⇒ Abs-fin-defl a ⊑ Abs-fin-defl b
⟨proof⟩

lemma (in finite-deflation) compact-belowI:
  assumes λx. compact x ⇒ d·x = x ⇒ f·x = x shows d ⊑ f
⟨proof⟩

```

lemma *compact-Rep-fin-defl* [simp]: *compact* (*Rep-fin-defl* *a*)
⟨proof⟩

26.2 Defining algebraic deflations by ideal completion

typedef '*a* *defl* = {*S*::'*a* *fin-defl* set. *below.ideal S*}
⟨proof⟩

instantiation *defl* :: (*bifinite*) *below*
begin

definition

x ⊑ *y* ↔ Rep-*defl* *x* ⊆ Rep-*defl* *y*

instance *⟨proof⟩*
end

instance *defl* :: (*bifinite*) *po*
⟨proof⟩

instance *defl* :: (*bifinite*) *cpo*
⟨proof⟩

definition

defl-principal :: '*a* *fin-defl* ⇒ '*a* *defl* **where**
defl-principal t = *Abs-defl* {*u*. *u* ⊑ *t*}

lemma *fin-defl-countable*: ∃*f*::'*a* *fin-defl* ⇒ nat. inj *f*
⟨proof⟩

interpretation *defl*: *ideal-completion* *below* *defl-principal* Rep-*defl*
⟨proof⟩

Algebraic deflations are pointed

lemma *defl-minimal*: *defl-principal* (*Abs-fin-defl* ⊥) ⊑ *x*
⟨proof⟩

instance *defl* :: (*bifinite*) *pcpo*
⟨proof⟩

lemma *inst-defl-pcpo*: ⊥ = *defl-principal* (*Abs-fin-defl* ⊥)
⟨proof⟩

26.3 Applying algebraic deflations

definition

cast :: '*a* *defl* → '*a* → '*a*

where

cast = *defl.extension* Rep-*fin-defl*

```

lemma cast-defl-principal:
  cast·(defl-principal a) = Rep-fin-defl a
  ⟨proof⟩

lemma deflation-cast: deflation (cast·d)
  ⟨proof⟩

lemma finite-deflation-cast:
  compact d ==> finite-deflation (cast·d)
  ⟨proof⟩

interpretation cast: deflation cast·d
  ⟨proof⟩

declare cast.idem [simp]

lemma compact-cast [simp]: compact d ==> compact (cast·d)
  ⟨proof⟩

lemma cast-below-cast: cast·A ⊑ cast·B <=> A ⊑ B
  ⟨proof⟩

lemma compact-cast-iff: compact (cast·d) <=> compact d
  ⟨proof⟩

lemma cast-below-imp-below: cast·A ⊑ cast·B ==> A ⊑ B
  ⟨proof⟩

lemma cast-eq-imp-eq: cast·A = cast·B ==> A = B
  ⟨proof⟩

lemma cast-strict1 [simp]: cast·⊥ = ⊥
  ⟨proof⟩

lemma cast-strict2 [simp]: cast·A·⊥ = ⊥
  ⟨proof⟩

```

26.4 Deflation combinators

definition

```

  defl-fun1 e p f =
    defl.extension (λa.
      defl-principal (Abs-fin-defl
        (e oo f · (Rep-fin-defl a) oo p)))

```

definition

```

  defl-fun2 e p f =
    defl.extension (λa.

```

```

defl.extension ( $\lambda b.$ 
  defl-principal (Abs-fin-defl
    (e oo f · (Rep-fin-defl a) · (Rep-fin-defl b) oo p))))
)

lemma cast-defl-fun1:
  assumes ep: ep-pair e p
  assumes f:  $\bigwedge a.$  finite-deflation a  $\implies$  finite-deflation (f · a)
  shows cast · (defl-fun1 e p f · A) = e oo f · (cast · A) oo p
  ⟨proof⟩

lemma cast-defl-fun2:
  assumes ep: ep-pair e p
  assumes f:  $\bigwedge a b.$  finite-deflation a  $\implies$  finite-deflation b  $\implies$ 
    finite-deflation (f · a · b)
  shows cast · (defl-fun2 e p f · A · B) = e oo f · (cast · A) · (cast · B) oo p
  ⟨proof⟩

end

```

27 Representable domains

```

theory Representable
imports Algebraic Map-Functions  $\sim\sim$  /src/HOL/Library/Countable
begin

default-sort cpo

```

27.1 Class of representable domains

We define a “domain” as a cpo that is isomorphic to some algebraic deflation over the universal domain; this is equivalent to being omega-bifinite. A predomain is a cpo that, when lifted, becomes a domain. Predomains are represented by deflations over a lifted universal domain type.

```

class predomain-syn = cpo +
  fixes liftemb :: ' $a_{\perp}$   $\rightarrow$  udom $_{\perp}$ 
  fixes liftprj :: udom $_{\perp}$   $\rightarrow$  ' $a_{\perp}$ 
  fixes liftdefl :: ' $a$  itself  $\Rightarrow$  udom u defl

class predomain = predomain-syn +
  assumes predomain-ep: ep-pair liftemb liftprj
  assumes cast-liftdefl: cast · (liftdefl TYPE('a)) = liftemb oo liftprj

syntax -LIFTDEFL :: type  $\Rightarrow$  logic ((1LIFTDEFL/(1'(-'))))
translations LIFTDEFL('t)  $\Leftarrow\Rightarrow$  CONST liftdefl TYPE('t)

definition liftdefl-of :: udom defl  $\rightarrow$  udom u defl
  where liftdefl-of = defl-fun1 ID ID u-map

```

```
lemma cast-liftdefl-of:  $\text{cast} \cdot (\text{liftdefl-of} \cdot t) = \text{u-map} \cdot (\text{cast} \cdot t)$ 
   $\langle \text{proof} \rangle$ 

class domain = predomain-syn + pcpo +
  fixes emb :: 'a → udom
  fixes prj :: udom → 'a
  fixes defl :: 'a itself ⇒ udom defl
  assumes ep-pair-emb-prj: ep-pair emb prj
  assumes cast-DEFL:  $\text{cast} \cdot (\text{defl TYPE('a)}) = \text{emb oo prj}$ 
  assumes liftemb-eq: liftemb = u-map·emb
  assumes liftprj-eq: liftprj = u-map·prj
  assumes liftdefl-eq: liftdefl TYPE('a) = liftdefl-of·(defl TYPE('a))
```

```
syntax -DEFL :: type ⇒ logic ((1DEFL/(1'(-'))))
translations DEFL('t) ⇔ CONST defl TYPE('t)
```

```
instance domain ⊆ predomain
   $\langle \text{proof} \rangle$ 
```

Constants *liftemb* and *liftprj* imply class predomain.
 $\langle \text{ML} \rangle$

```
interpretation predomain: pcpo-ep-pair liftemb liftprj
   $\langle \text{proof} \rangle$ 
```

```
interpretation domain: pcpo-ep-pair emb prj
   $\langle \text{proof} \rangle$ 
```

```
lemmas emb-inverse = domain.e-inverse
lemmas emb-prj-below = domain.e-p-below
lemmas emb-eq-iff = domain.e-eq-iff
lemmas emb-strict = domain.e-strict
lemmas prj-strict = domain.p-strict
```

27.2 Domains are bifinite

```
lemma approx-chain-ep-cast:
  assumes ep: ep-pair (e::'a::pcpo → 'b::bifinite) (p::'b → 'a)
  assumes cast-t: cast·t = e oo p
  shows  $\exists (a::\text{nat} \Rightarrow 'a::\text{pcpo} \rightarrow 'a). \text{approx-chain } a$ 
   $\langle \text{proof} \rangle$ 
```

```
instance domain ⊆ bifinite
   $\langle \text{proof} \rangle$ 
```

```
instance predomain ⊆ profinite
   $\langle \text{proof} \rangle$ 
```

27.3 Universal domain ep-pairs

```

definition u-emb = udom-emb ( $\lambda i. u\text{-map}\cdot(udom\text{-approx } i)$ )
definition u-prj = udom-prj ( $\lambda i. u\text{-map}\cdot(udom\text{-approx } i)$ )

definition prod-emb = udom-emb ( $\lambda i. prod\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )
definition prod-prj = udom-prj ( $\lambda i. prod\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )

definition sprod-emb = udom-emb ( $\lambda i. sprod\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )
definition sprod-prj = udom-prj ( $\lambda i. sprod\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )

definition ssum-emb = udom-emb ( $\lambda i. ssum\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )
definition ssum-prj = udom-prj ( $\lambda i. ssum\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )

definition sfun-emb = udom-emb ( $\lambda i. sfun\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )
definition sfun-prj = udom-prj ( $\lambda i. sfun\text{-map}\cdot(udom\text{-approx } i)\cdot(udom\text{-approx } i)$ )

lemma ep-pair-u: ep-pair u-emb u-prj
  ⟨proof⟩

lemma ep-pair-prod: ep-pair prod-emb prod-prj
  ⟨proof⟩

lemma ep-pair-sprod: ep-pair sprod-emb sprod-prj
  ⟨proof⟩

lemma ep-pair-ssum: ep-pair ssum-emb ssum-prj
  ⟨proof⟩

lemma ep-pair-sfun: ep-pair sfun-emb sfun-prj
  ⟨proof⟩

```

27.4 Type combinators

```

definition u-defl :: udom defl → udom defl
  where u-defl = defl-fun1 u-emb u-prj u-map

definition prod-defl :: udom defl → udom defl → udom defl
  where prod-defl = defl-fun2 prod-emb prod-prj prod-map

definition sprod-defl :: udom defl → udom defl → udom defl
  where sprod-defl = defl-fun2 sprod-emb sprod-prj sprod-map

definition ssum-defl :: udom defl → udom defl → udom defl

```

where $\text{ssum-defl} = \text{defl-fun2 ssum-emb ssum-prj ssum-map}$

definition $\text{sfun-defl} :: \text{udom defl} \rightarrow \text{udom defl} \rightarrow \text{udom defl}$
where $\text{sfun-defl} = \text{defl-fun2 sfun-emb sfun-prj sfun-map}$

lemma $\text{cast-u-defl}:$

$\text{cast} \cdot (\text{u-defl} \cdot A) = \text{u-emb} \text{ oo } \text{u-map} \cdot (\text{cast} \cdot A) \text{ oo } \text{u-prj}$
 $\langle \text{proof} \rangle$

lemma $\text{cast-prod-defl}:$

$\text{cast} \cdot (\text{prod-defl} \cdot A \cdot B) =$
 $\text{prod-emb} \text{ oo } \text{prod-map} \cdot (\text{cast} \cdot A) \cdot (\text{cast} \cdot B) \text{ oo } \text{prod-prj}$
 $\langle \text{proof} \rangle$

lemma $\text{cast-sprod-defl}:$

$\text{cast} \cdot (\text{sprod-defl} \cdot A \cdot B) =$
 $\text{sprod-emb} \text{ oo } \text{sprod-map} \cdot (\text{cast} \cdot A) \cdot (\text{cast} \cdot B) \text{ oo } \text{sprod-prj}$
 $\langle \text{proof} \rangle$

lemma $\text{cast-ssum-defl}:$

$\text{cast} \cdot (\text{ssum-defl} \cdot A \cdot B) =$
 $\text{ssum-emb} \text{ oo } \text{ssum-map} \cdot (\text{cast} \cdot A) \cdot (\text{cast} \cdot B) \text{ oo } \text{ssum-prj}$
 $\langle \text{proof} \rangle$

lemma $\text{cast-sfun-defl}:$

$\text{cast} \cdot (\text{sfun-defl} \cdot A \cdot B) =$
 $\text{sfun-emb} \text{ oo } \text{sfun-map} \cdot (\text{cast} \cdot A) \cdot (\text{cast} \cdot B) \text{ oo } \text{sfun-prj}$
 $\langle \text{proof} \rangle$

Special deflation combinator for unpointed types.

definition $\text{u-liftdefl} :: \text{udom u defl} \rightarrow \text{udom defl}$
where $\text{u-liftdefl} = \text{defl-fun1 u-emb u-prj ID}$

lemma $\text{cast-u-liftdefl}:$

$\text{cast} \cdot (\text{u-liftdefl} \cdot A) = \text{u-emb} \text{ oo } \text{cast} \cdot A \text{ oo } \text{u-prj}$
 $\langle \text{proof} \rangle$

lemma $\text{u-liftdefl-liftdefl-of}:$

$\text{u-liftdefl} \cdot (\text{liftdefl-of} \cdot A) = \text{u-defl} \cdot A$
 $\langle \text{proof} \rangle$

27.5 Class instance proofs

27.5.1 Universal domain

instantiation $\text{udom} :: \text{domain}$
begin

definition [*simp*]:

$\text{emb} = (\text{ID} :: \text{udom} \rightarrow \text{udom})$

```

definition [simp]:

$$prj = (ID :: udom \rightarrow udom)$$


definition

$$defl(t::udom\ itself) = (\bigsqcup i.\ defl-principal\ (Abs-fin-defl\ (udom-approx\ i)))$$


definition

$$(liftemb :: udom\ u \rightarrow udom\ u) = u\text{-map}\cdot emb$$


definition

$$(liftprj :: udom\ u \rightarrow udom\ u) = u\text{-map}\cdot prj$$


definition

$$liftdefl(t::udom\ itself) = liftdefl\text{-of}\cdot DEFL(udom)$$


instance ⟨proof⟩

end

27.5.2 Lifted cpo

instantiation  $u :: (\text{predomain})\ domain$ 
begin

definition

$$emb = u\text{-emb} \ oo\ liftemb$$


definition

$$prj = liftprj \ oo\ u\text{-prj}$$


definition

$$defl(t::'a\ u\ itself) = u\text{-liftdefl}\cdot LIFTDEFL('a)$$


definition

$$(liftemb :: 'a\ u\ u \rightarrow udom\ u) = u\text{-map}\cdot emb$$


definition

$$(liftprj :: udom\ u \rightarrow 'a\ u\ u) = u\text{-map}\cdot prj$$


definition

$$liftdefl(t::'a\ u\ itself) = liftdefl\text{-of}\cdot DEFL('a\ u)$$


instance ⟨proof⟩

end

lemma  $DEFL-u: DEFL('a::predomain\ u) = u\text{-liftdefl}\cdot LIFTDEFL('a)$ 
⟨proof⟩

```

27.5.3 Strict function space

```

instantiation sfun :: (domain, domain) domain
begin

definition
emb = sfun-emb oo sfun-map·prj·emb

definition
prj = sfun-map·emb·prj oo sfun-prj

definition
defl (t::('a →! 'b) itself) = sfun-defl·DEFL('a)·DEFL('b)

definition
(liftemb :: ('a →! 'b) u → udom u) = u-map·emb

definition
(liftprj :: udom u → ('a →! 'b) u) = u-map·prj

definition
liftdefl (t::('a →! 'b) itself) = liftdefl-of·DEFL('a →! 'b)

instance ⟨proof⟩

end

lemma DEFL-sfun:
DEFL('a::domain →! 'b::domain) = sfun-defl·DEFL('a)·DEFL('b)
⟨proof⟩

```

27.5.4 Continuous function space

```

instantiation cfun :: (predomain, domain) domain
begin

definition
emb = emb oo encode-cfun

definition
prj = decode-cfun oo prj

definition
defl (t::('a → 'b) itself) = DEFL('a u →! 'b)

definition
(liftemb :: ('a → 'b) u → udom u) = u-map·emb

definition
(liftprj :: udom u → ('a → 'b) u) = u-map·prj

```

```

definition
liftdefl (t::('a → 'b) itself) = liftdefl-of·DEFL('a → 'b)

instance ⟨proof⟩

end

lemma DEFL-cfun:
DEFL('a::predomain → 'b::domain) = DEFL('a u →! 'b)
⟨proof⟩

```

27.5.5 Strict product

```

instantiation sprod :: (domain, domain) domain
begin

```

```

definition
emb = sprod-emb oo sprod-map·emb·emb

```

```

definition
prj = sprod-map·prj·prj oo sprod-prj

```

```

definition
defl (t::('a ⊗ 'b) itself) = sprod-defl·DEFL('a)·DEFL('b)

```

```

definition
(liftemb :: ('a ⊗ 'b) u → udom u) = u-map·emb

```

```

definition
(liftprj :: udom u → ('a ⊗ 'b) u) = u-map·prj

```

```

definition
liftdefl (t::('a ⊗ 'b) itself) = liftdefl-of·DEFL('a ⊗ 'b)

```

```

instance ⟨proof⟩

```

```

end

```

```

lemma DEFL-sprod:
DEFL('a::domain ⊗ 'b::domain) = sprod-defl·DEFL('a)·DEFL('b)
⟨proof⟩

```

27.5.6 Cartesian product

```

definition prod-liftdefl :: udom u defl → udom u defl → udom u defl
where prod-liftdefl = defl-fun2 (u-map·prod-emb oo decode-prod-u)
          (encode-prod-u oo u-map·prod-prj) sprod-map

```

```

lemma cast-prod-liftdefl:

```

cast·(*prod-liftdefl*·*a*·*b*) =

$$(u\text{-map}\cdot prod\text{-emb} \text{ oo } decode\text{-prod-}u) \text{ oo } sprod\text{-map}\cdot (cast\cdot a)\cdot (cast\cdot b) \text{ oo }$$

$$(encode\text{-prod-}u \text{ oo } u\text{-map}\cdot prod\text{-prj})$$

{proof}

instantiation *prod* :: (*predomain*, *predomain*) *predomain*
begin

definition

liftemb = (*u-map*·*prod-emb* oo *decode-prod-u*) oo

$$(sprod\text{-map}\cdot liftemb\cdot liftemb \text{ oo } encode\text{-prod-}u)$$

definition

liftprj = (*decode-prod-u* oo *sprod-map*·*liftprj*·*liftprj*) oo

$$(encode\text{-prod-}u \text{ oo } u\text{-map}\cdot prod\text{-prj})$$

definition

liftdefl (*t*::(*'a* × *'b*) *itself*) = *prod-liftdefl*·*LIFTDEFL*(*'a*)·*LIFTDEFL*(*'b*)

instance *{proof}*

end

instantiation *prod* :: (*domain*, *domain*) *domain*
begin

definition

emb = *prod-emb* oo *prod-map*·*emb*·*emb*

definition

prj = *prod-map*·*prj*·*prj* oo *prod-prj*

definition

defl (*t*::(*'a* × *'b*) *itself*) = *prod-defl*·*DEFL*(*'a*)·*DEFL*(*'b*)

instance *{proof}*

end

lemma *DEFL-prod*:

DEFL(*'a*::*domain* × *'b*::*domain*) = *prod-defl*·*DEFL*(*'a*)·*DEFL*(*'b*)
{proof}

lemma *LIFTDEFL-prod*:

LIFTDEFL(*'a*::*predomain* × *'b*::*predomain*) =

$$prod\text{-liftdefl}\cdot LIFTDEFL('a)\cdot LIFTDEFL('b)$$

{proof}

27.5.7 Unit type

```

instantiation unit :: domain
begin

definition
emb = ( $\perp$  :: unit  $\rightarrow$  udom)

definition
prj = ( $\perp$  :: udom  $\rightarrow$  unit)

definition
defl (t::unit itself) =  $\perp$ 

definition
(liftemb :: unit u  $\rightarrow$  udom u) = u-map·emb

definition
(liftprj :: udom u  $\rightarrow$  unit u) = u-map·prj

definition
liftdefl (t::unit itself) = liftdefl-of·DEFL(unit)

instance ⟨proof⟩

end

```

27.5.8 Discrete cpo

```

instantiation discr :: (countable) predomain
begin

definition
(liftemb :: 'a discr u  $\rightarrow$  udom u) = strictify·up oo udom-emb discr-approx

definition
(liftprj :: udom u  $\rightarrow$  'a discr u) = udom-prj discr-approx oo fup·ID

definition
liftdefl (t::'a discr itself) =
  ( $\bigsqcup$  i. defl-principal (Abs-fin-defl (liftemb oo discr-approx i oo (liftprj::udom u
 $\rightarrow$  'a discr u)))))

instance ⟨proof⟩

end

```

27.5.9 Strict sum

```

instantiation ssum :: (domain, domain) domain

```

```

begin

definition

$$emb = ssum\text{-}emb \ oo \ ssum\text{-}map\cdot emb\cdot emb$$


definition

$$prj = ssum\text{-}map\cdot prj\cdot prj \ oo \ ssum\text{-}prj$$


definition

$$deft(t::('a \oplus 'b) \ itself) = ssum\text{-}defl\cdot DEFL('a)\cdot DEFL('b)$$


definition

$$(liftemb :: ('a \oplus 'b) \ u \rightarrow udom\ u) = u\text{-}map\cdot emb$$


definition

$$(liftprj :: udom\ u \rightarrow ('a \oplus 'b) \ u) = u\text{-}map\cdot prj$$


definition

$$liftdefl(t::('a \oplus 'b) \ itself) = liftdefl\text{-}of\cdot DEFL('a \oplus 'b)$$


instance ⟨proof⟩

end

```

lemma *DEFL-ssum*:

$$DEFL('a::domain \oplus 'b::domain) = ssum\text{-}defl\cdot DEFL('a)\cdot DEFL('b)$$
⟨proof⟩

27.5.10 Lifted HOL type

instantiation *lift* :: (*countable*) *domain*
begin

```

definition

$$emb = emb \ oo \ (\Lambda\ x.\ Rep\text{-}lift\ x)$$


definition

$$prj = (\Lambda\ y.\ Abs\text{-}lift\ y) \ oo \ prj$$


definition

$$deft(t::'a\ lift\ itself) = DEFL('a\ discr\ u)$$


definition

$$(liftemb :: 'a\ lift\ u \rightarrow udom\ u) = u\text{-}map\cdot emb$$


definition

$$(liftprj :: udom\ u \rightarrow 'a\ lift\ u) = u\text{-}map\cdot prj$$


definition

```

```
liftdefl (t::'a lift itself) = liftdefl-of·DEFL('a lift)
```

```
instance ⟨proof⟩
```

```
end
```

```
end
```

28 Domain package support

```
theory Domain-Aux
imports Map-Functions Fixrec
begin
```

28.1 Continuous isomorphisms

A locale for continuous isomorphisms

```
locale iso =
  fixes abs :: 'a → 'b
  fixes rep :: 'b → 'a
  assumes abs-iso [simp]: rep·(abs·x) = x
  assumes rep-iso [simp]: abs·(rep·y) = y
begin
```

```
lemma swap: iso rep abs
⟨proof⟩
```

```
lemma abs-below: (abs·x ⊑ abs·y) = (x ⊑ y)
⟨proof⟩
```

```
lemma rep-below: (rep·x ⊑ rep·y) = (x ⊑ y)
⟨proof⟩
```

```
lemma abs-eq: (abs·x = abs·y) = (x = y)
⟨proof⟩
```

```
lemma rep-eq: (rep·x = rep·y) = (x = y)
⟨proof⟩
```

```
lemma abs-strict: abs·⊥ = ⊥
⟨proof⟩
```

```
lemma rep-strict: rep·⊥ = ⊥
⟨proof⟩
```

```
lemma abs-defin': abs·x = ⊥ ⟹ x = ⊥
⟨proof⟩
```

```

lemma rep-defin':  $\text{rep} \cdot z = \perp \implies z = \perp$ 
  ⟨proof⟩

lemma abs-defined:  $z \neq \perp \implies \text{abs} \cdot z \neq \perp$ 
  ⟨proof⟩

lemma rep-defined:  $z \neq \perp \implies \text{rep} \cdot z \neq \perp$ 
  ⟨proof⟩

lemma abs-bottom-iff:  $(\text{abs} \cdot x = \perp) = (x = \perp)$ 
  ⟨proof⟩

lemma rep-bottom-iff:  $(\text{rep} \cdot x = \perp) = (x = \perp)$ 
  ⟨proof⟩

lemma casedist-rule:  $\text{rep} \cdot x = \perp \vee P \implies x = \perp \vee P$ 
  ⟨proof⟩

lemma compact-abs-rev:  $\text{compact} (\text{abs} \cdot x) \implies \text{compact} x$ 
  ⟨proof⟩

lemma compact-rep-rev:  $\text{compact} (\text{rep} \cdot x) \implies \text{compact} x$ 
  ⟨proof⟩

lemma compact-abs:  $\text{compact} x \implies \text{compact} (\text{abs} \cdot x)$ 
  ⟨proof⟩

lemma compact-rep:  $\text{compact} x \implies \text{compact} (\text{rep} \cdot x)$ 
  ⟨proof⟩

lemma iso-swap:  $(x = \text{abs} \cdot y) = (\text{rep} \cdot x = y)$ 
  ⟨proof⟩

end

```

28.2 Proofs about take functions

This section contains lemmas that are used in a module that supports the domain isomorphism package; the module contains proofs related to take functions and the finiteness predicate.

```

lemma deflation-abs-rep:
  fixes abs and rep and d
  assumes abs-iso:  $\bigwedge x. \text{rep} \cdot (\text{abs} \cdot x) = x$ 
  assumes rep-iso:  $\bigwedge y. \text{abs} \cdot (\text{rep} \cdot y) = y$ 
  shows deflation d  $\implies$  deflation (abs oo d oo rep)
  ⟨proof⟩

lemma deflation-chain-min:
  assumes chain: chain d

```

```
assumes defl:  $\bigwedge n. \text{deflation}(d n)$ 
shows  $d m \cdot (d n \cdot x) = d (\min m n) \cdot x$ 
⟨proof⟩
```

```
lemma lub-ID-take-lemma:
assumes chain t and  $(\bigcup n. t n) = ID$ 
assumes  $\bigwedge n. t n \cdot x = t n \cdot y$  shows  $x = y$ 
⟨proof⟩
```

```
lemma lub-ID-reach:
assumes chain t and  $(\bigcup n. t n) = ID$ 
shows  $(\bigcup n. t n \cdot x) = x$ 
⟨proof⟩
```

```
lemma lub-ID-take-induct:
assumes chain t and  $(\bigcup n. t n) = ID$ 
assumes adm P and  $\bigwedge n. P(t n \cdot x)$  shows  $P x$ 
⟨proof⟩
```

28.3 Finiteness

Let a “decisive” function be a deflation that maps every input to either itself or bottom. Then if a domain’s take functions are all decisive, then all values in the domain are finite.

definition

```
decisive :: ('a::pcpo → 'a) ⇒ bool
```

where

```
decisive d ↔ (∀ x. d · x = x ∨ d · x = ⊥)
```

```
lemma decisiveI:  $(\bigwedge x. d \cdot x = x \vee d \cdot x = \perp) \implies \text{decisive } d$ 
⟨proof⟩
```

```
lemma decisive-cases:
assumes decisive d obtains  $d \cdot x = x \mid d \cdot x = \perp$ 
⟨proof⟩
```

```
lemma decisive-bottom: decisive ⊥
⟨proof⟩
```

```
lemma decisive-ID: decisive ID
⟨proof⟩
```

```
lemma decisive-ssum-map:
assumes f: decisive f
assumes g: decisive g
shows decisive (ssum-map · f · g)
⟨proof⟩
```

```
lemma decisive-sprod-map:
```

```

assumes  $f$ : decisive  $f$ 
assumes  $g$ : decisive  $g$ 
shows decisive (sprod-map $\cdot f \cdot g$ )
⟨proof⟩

lemma decisive-abs-rep:
  fixes abs rep
  assumes iso: iso abs rep
  assumes d: decisive d
  shows decisive (abs oo d oo rep)
⟨proof⟩

lemma lub-ID-finite:
  assumes chain: chain d
  assumes lub: ( $\bigsqcup n. d n$ ) = ID
  assumes decisive:  $\bigwedge n.$  decisive (d n)
  shows  $\exists n. d n \cdot x = x$ 
⟨proof⟩

lemma lub-ID-finite-take-induct:
  assumes chain d and ( $\bigsqcup n. d n$ ) = ID and  $\bigwedge n.$  decisive (d n)
  shows ( $\bigwedge n. P (d n \cdot x)$ )  $\implies P x$ 
⟨proof⟩

```

28.4 Proofs about constructor functions

Lemmas for proving nchotomy rule:

```

lemma ex-one-bottom-iff:
   $(\exists x. P x \wedge x \neq \perp) = P \text{ ONE}$ 
⟨proof⟩

lemma ex-up-bottom-iff:
   $(\exists x. P x \wedge x \neq \perp) = (\exists x. P (\text{up} \cdot x))$ 
⟨proof⟩

lemma ex-sprod-bottom-iff:
   $(\exists y. P y \wedge y \neq \perp) =$ 
   $(\exists x y. (P (:x, y:) \wedge x \neq \perp) \wedge y \neq \perp)$ 
⟨proof⟩

lemma ex-sprod-up-bottom-iff:
   $(\exists y. P y \wedge y \neq \perp) =$ 
   $(\exists x y. P (:up \cdot x, y:) \wedge y \neq \perp)$ 
⟨proof⟩

lemma ex-ssum-bottom-iff:
   $(\exists x. P x \wedge x \neq \perp) =$ 
   $((\exists x. P (\text{sinl} \cdot x) \wedge x \neq \perp) \vee$ 
   $(\exists x. P (\text{sinr} \cdot x) \wedge x \neq \perp))$ 

```

$\langle proof \rangle$

lemma *exh-start*: $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$
 $\langle proof \rangle$

lemmas *ex-bottom-iffs* =
ex-ssum-bottom-iff
ex-sprod-up-bottom-iff
ex-sprod-bottom-iff
ex-up-bottom-iff
ex-one-bottom-iff

Rules for turning nchotomy into exhaust:

lemma *exh-casedist0*: $\llbracket R; R \implies P \rrbracket \implies P$
 $\langle proof \rangle$

lemma *exh-casedist1*: $((P \vee Q \implies R) \implies S) \equiv (\llbracket P \implies R; Q \implies R \rrbracket \implies S)$
 $\langle proof \rangle$

lemma *exh-casedist2*: $(\exists x. P x \implies Q) \equiv (\bigwedge x. P x \implies Q)$
 $\langle proof \rangle$

lemma *exh-casedist3*: $(P \wedge Q \implies R) \equiv (P \implies Q \implies R)$
 $\langle proof \rangle$

lemmas *exh-casedists* = *exh-casedist1* *exh-casedist2* *exh-casedist3*

Rules for proving constructor properties

lemmas *con-strict-rules* =
sinl-strict *sinr-strict* *spair-strict1* *spair-strict2*

lemmas *con-bottom-iff-rules* =
sinl-bottom-iff *sinr-bottom-iff* *spair-bottom-iff* *up-defined* *ONE-defined*

lemmas *con-below-iff-rules* =
sinl-below *sinr-below* *sinl-below-sinr* *sinr-below-sinl* *con-bottom-iff-rules*

lemmas *con-eq-iff-rules* =
sinl-eq *sinr-eq* *sinl-eq-sinr* *sinr-eq-sinl* *con-bottom-iff-rules*

lemmas *sel-strict-rules* =
fccomp2 *sscse1* *sfst-strict* *ssnd-strict* *fup1*

lemma *sel-app-extra-rules*:
sscse.ID $\cdot \perp \cdot (\sinr.x) = \perp$
sscse.ID $\cdot \perp \cdot (\sinl.x) = x$
sscse.\perp.ID $\cdot (\sinl.x) = \perp$
sscse.\perp.ID $\cdot (\sinr.x) = x$
fup.ID $\cdot (\text{up}.x) = x$

```
proof  

lemmas sel-app-rules =  

  sel-strict-rules sel-app-extra-rules  

  ssnd-spair sfst-spair up-defined spair-defined
```

```
lemmas sel-bottom-iff-rules =  

  cfcomp2 sfst-bottom-iff ssnd-bottom-iff
```

```
lemmas take-con-rules =  

  ssum-map-sinl' ssum-map-sinr' sprod-map-spair' u-map-up  

  deflation-strict deflation-ID ID1 cfcomp2
```

28.5 ML setup

```
named-theorems domain-deflation theorems like deflation a ==> deflation (foo-map$a)  

and domain-map-ID theorems like foo-map$ID = ID
```

```
ML  

end
```

29 Domain package

```
theory Domain  

imports Representable Domain-Aux  

keywords  

  domaindef :: thy-decl and lazy unsafe and  

  domain-isomorphism domain :: thy-decl  

begin
```

```
default-sort domain
```

29.1 Representations of types

```
lemma emb-prj: emb·((prj·x)::'a) = cast·DEFL('a)·x  

proof  

  proof
```

```
lemma emb-prj-emb:  

  fixes x :: 'a  

  assumes DEFL('a) ⊑ DEFL('b)  

  shows emb·(prj·(emb·x) :: 'b) = emb·x  

proof  

  proof
```

```
lemma prj-emb-prj:  

  assumes DEFL('a) ⊑ DEFL('b)  

  shows prj·(emb·(prj·x :: 'b)) = (prj·x :: 'a)  

proof  

  proof
```

Isomorphism lemmas used internally by the domain package:

```
lemma domain-abs-iso:
  fixes abs and rep
  assumes DEFL: DEFL('b) = DEFL('a)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows rep.(abs·x) = x
  ⟨proof⟩

lemma domain-rep-iso:
  fixes abs and rep
  assumes DEFL: DEFL('b) = DEFL('a)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows abs.(rep·x) = x
  ⟨proof⟩
```

29.2 Deflations as sets

```
definition defl-set :: 'a::bifinite defl ⇒ 'a set
where defl-set A = {x. cast·A·x = x}
```

```
lemma adm-defl-set: adm (λx. x ∈ defl-set A)
  ⟨proof⟩
```

```
lemma defl-set-bottom: ⊥ ∈ defl-set A
  ⟨proof⟩
```

```
lemma defl-set-cast [simp]: cast·A·x ∈ defl-set A
  ⟨proof⟩
```

```
lemma defl-set-subset-iff: defl-set A ⊆ defl-set B ↔ A ⊑ B
  ⟨proof⟩
```

29.3 Proving a subtype is representable

Temporarily relax type constraints.

⟨ML⟩

```
lemma typedef-domain-class:
  fixes Rep :: 'a::pcpo ⇒ udom
  fixes Abs :: udom ⇒ 'a::pcpo
  fixes t :: udom defl
  assumes type: type-definition Rep Abs (defl-set t)
  assumes below: op ⊑ ≡ λx y. Rep x ⊑ Rep y
  assumes emb: emb ≡ (Λ x. Rep x)
  assumes prj: prj ≡ (Λ x. Abs (cast·t·x))
  assumes defl: defl ≡ (λ a:'a itself. t)
```

```

assumes liftemb: (liftemb :: 'a u → udom u) ≡ u-map·emb
assumes liftprj: (liftprj :: udom u → 'a u) ≡ u-map·prj
assumes liftdeft: (liftdeft :: 'a itself ⇒ -) ≡ (λt. liftdeft-of·DEFL('a))
shows OFCLASS('a, domain-class)
⟨proof⟩

```

```

lemma typedef-DEFL:
assumes defl ≡ (λa:'a::pcpo itself. t)
shows DEFL('a::pcpo) = t
⟨proof⟩

```

Restore original typing constraints.

$\langle ML \rangle$

29.4 Isomorphic deflations

```

definition isodeft :: ('a → 'a) ⇒ udom defl ⇒ bool
where isodeft d t ←→ cast·t = emb oo d oo prj

```

```

definition isodeft' :: ('a::predomain → 'a) ⇒ udom u defl ⇒ bool
where isodeft' d t ←→ cast·t = liftemb oo u-map·d oo liftprj

```

```

lemma isodeftI: (Λx. cast·t·x = emb·(d·(prj·x))) ⇒ isodeft d t
⟨proof⟩

```

```

lemma cast-isodeft: isodeft d t ⇒ cast·t = (Λ x. emb·(d·(prj·x)))
⟨proof⟩

```

```

lemma isodeft-strict: isodeft d t ⇒ d·⊥ = ⊥
⟨proof⟩

```

```

lemma isodeft-imp-deflation:
fixes d :: 'a → 'a
assumes isodeft d t shows deflation d
⟨proof⟩

```

```

lemma isodeft-ID-DEFL: isodeft (ID :: 'a → 'a) DEFL('a)
⟨proof⟩

```

```

lemma isodeft-LIFTDEFL:
isodeft' (ID :: 'a → 'a) LIFTDEFL('a::predomain)
⟨proof⟩

```

```

lemma isodeft-DEFL-imp-ID: isodeft (d :: 'a → 'a) DEFL('a) ⇒ d = ID
⟨proof⟩

```

```

lemma isodeft-bottom: isodeft ⊥ ⊥
⟨proof⟩

```

```

lemma adm-isodefl:
  cont f ==> cont g ==> adm (λx. isodefl (f x) (g x))
  ⟨proof⟩

lemma isodefl-lub:
  assumes chain d and chain t
  assumes ⋀i. isodefl (d i) (t i)
  shows isodefl (⊔ i. d i) (⊔ i. t i)
  ⟨proof⟩

lemma isodefl-fix:
  assumes ⋀d t. isodefl d t ==> isodefl (f·d) (g·t)
  shows isodefl (fix·f) (fix·g)
  ⟨proof⟩

lemma isodefl-abs-rep:
  fixes abs and rep and d
  assumes DEFL: DEFL('b) = DEFL('a)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows isodefl d t ==> isodefl (abs oo d oo rep) t
  ⟨proof⟩

lemma isodefl'-liftdefl-of: isodefl d t ==> isodefl' d (liftdefl-of·t)
  ⟨proof⟩

lemma isodefl-sfun:
  isodefl d1 t1 ==> isodefl d2 t2 ==>
    isodefl (sfun-map·d1·d2) (sfun-defl·t1·t2)
  ⟨proof⟩

lemma isodefl-ssum:
  isodefl d1 t1 ==> isodefl d2 t2 ==>
    isodefl (ssum-map·d1·d2) (ssum-defl·t1·t2)
  ⟨proof⟩

lemma isodefl-sprod:
  isodefl d1 t1 ==> isodefl d2 t2 ==>
    isodefl (sprod-map·d1·d2) (sprod-defl·t1·t2)
  ⟨proof⟩

lemma isodefl-prod:
  isodefl d1 t1 ==> isodefl d2 t2 ==>
    isodefl (prod-map·d1·d2) (prod-defl·t1·t2)
  ⟨proof⟩

lemma isodefl-u:
  isodefl d t ==> isodefl (u-map·d) (u-defl·t)
  ⟨proof⟩

```

```

lemma isodefl-u-liftdefl:
  isodefl' d t ==> isodefl (u-map·d) (u-liftdefl·t)
  ⟨proof⟩

lemma encode-prod-u-map:
  encode-prod-u·(u-map·(prod-map·f·g)·(decode-prod-u·x))
  = sprod-map·(u-map·f)·(u-map·g)·x
  ⟨proof⟩

lemma isodefl-prod-u:
  assumes isodefl' d1 t1 and isodefl' d2 t2
  shows isodefl' (prod-map·d1·d2) (prod-liftdefl·t1·t2)
  ⟨proof⟩

lemma encode-cfun-map:
  encode-cfun·(cfun-map·f·g)·(decode-cfun·x)
  = sfun-map·(u-map·f)·g·x
  ⟨proof⟩

lemma isodefl-cfun:
  assumes isodefl (u-map·d1) t1 and isodefl d2 t2
  shows isodefl (cfun-map·d1·d2) (sfun-defl·t1·t2)
  ⟨proof⟩

```

29.5 Setting up the domain package

named-theorems domain-defl-simps theorems like $\text{DEFL}('a\ t) = t\text{-defl\$DEFL}('a)$
and domain-isodefl theorems like $\text{isodefl}\ d\ t ==> \text{isodefl}\ (\text{foo-map\$d})\ (\text{foo-defl\$t})$

⟨ML⟩

```

lemmas [domain-defl-simps] =
  DEFL-cfun DEFL-sfun DEFL-ssum DEFL-sprod DEFL-prod DEFL-u
  liftdefl-eq LIFTDEFL-prod u-liftdefl-liftdefl-of

lemmas [domain-map-ID] =
  cfun-map-ID sfun-map-ID ssum-map-ID sprod-map-ID prod-map-ID u-map-ID

lemmas [domain-isodefl] =
  isodefl-u isodefl-sfun isodefl-ssum isodefl-sprod
  isodefl-cfun isodefl-prod isodefl-prod-u isodefl'-liftdefl-of
  isodefl-u-liftdefl

lemmas [domain-deflation] =
  deflation-cfun-map deflation-sfun-map deflation-ssum-map
  deflation-sprod-map deflation-prod-map deflation-u-map

⟨ML⟩

```

```
end
```

30 A compact basis for powerdomains

```
theory Compact-Basis
imports Universal
begin
```

```
default-sort bifinite
```

30.1 A compact basis for powerdomains

```
definition pd-basis = {S::'a compact-basis set. finite S ∧ S ≠ {}}
```

```
typedef 'a pd-basis = pd-basis :: 'a compact-basis set set
⟨proof⟩
```

```
lemma finite-Rep-pd-basis [simp]: finite (Rep-pd-basis u)
⟨proof⟩
```

```
lemma Rep-pd-basis-nonempty [simp]: Rep-pd-basis u ≠ {}
⟨proof⟩
```

The powerdomain basis type is countable.

```
lemma pd-basis-countable: ∃f::'a pd-basis ⇒ nat. inj f
⟨proof⟩
```

30.2 Unit and plus constructors

```
definition
```

```
PDUnit :: 'a compact-basis ⇒ 'a pd-basis where
PDUnit = (λx. Abs-pd-basis {x})
```

```
definition
```

```
PDPlus :: 'a pd-basis ⇒ 'a pd-basis ⇒ 'a pd-basis where
PDPlus t u = Abs-pd-basis (Rep-pd-basis t ∪ Rep-pd-basis u)
```

```
lemma Rep-PDUnit:
```

```
Rep-pd-basis (PDUnit x) = {x}
⟨proof⟩
```

```
lemma Rep-PDPlus:
```

```
Rep-pd-basis (PDPlus u v) = Rep-pd-basis u ∪ Rep-pd-basis v
⟨proof⟩
```

```
lemma PDUnit-inject [simp]: (PDUnit a = PDUnit b) = (a = b)
⟨proof⟩
```

lemma *PDPlus-assoc*: $\text{PDPlus}(\text{PDPlus } t \ u) \ v = \text{PDPlus } t (\text{PDPlus } u \ v)$
 $\langle \text{proof} \rangle$

lemma *PDPlus-commute*: $\text{PDPlus } t \ u = \text{PDPlus } u \ t$
 $\langle \text{proof} \rangle$

lemma *PDPlus-absorb*: $\text{PDPlus } t \ t = t$
 $\langle \text{proof} \rangle$

lemma *pd-basis-induct1*:
assumes *PDUnit*: $\bigwedge a. P(\text{PDUnit } a)$
assumes *PDPlus*: $\bigwedge a \ t. P \ t \implies P(\text{PDPlus}(\text{PDUnit } a) \ t)$
shows *P* *x*
 $\langle \text{proof} \rangle$

lemma *pd-basis-induct*:
assumes *PDUnit*: $\bigwedge a. P(\text{PDUnit } a)$
assumes *PDPlus*: $\bigwedge t \ u. [P \ t; P \ u] \implies P(\text{PDPlus } t \ u)$
shows *P* *x*
 $\langle \text{proof} \rangle$

30.3 Fold operator

definition

fold-pd ::
 $('a \text{ compact-basis} \Rightarrow 'b::\text{type}) \Rightarrow ('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \text{ pd-basis} \Rightarrow 'b$
where *fold-pd g f t* = *semilattice-set.F f (g ` Rep-pd-basis t)*

lemma *fold-pd-PDUnit*:
assumes *semilattice f*
shows *fold-pd g f (PDUnit x) = g x*
 $\langle \text{proof} \rangle$

lemma *fold-pd-PDPlus*:
assumes *semilattice f*
shows *fold-pd g f (PDPlus t u) = f (fold-pd g f t) (fold-pd g f u)*
 $\langle \text{proof} \rangle$

end

31 Upper powerdomain

theory *UpperPD*
imports *Compact-Basis*
begin

31.1 Basis preorder

definition

upper-le :: 'a pd-basis \Rightarrow 'a pd-basis \Rightarrow bool (**infix** $\leq\#$ 50) **where**
 $\text{upper-le} = (\lambda u v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y)$

lemma *upper-le-refl* [*simp*]: $t \leq\# t$
{proof}

lemma *upper-le-trans*: $\llbracket t \leq\# u; u \leq\# v \rrbracket \implies t \leq\# v$
{proof}

interpretation *upper-le*: preorder *upper-le*
{proof}

lemma *upper-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq\# t$
{proof}

lemma *PDUnit-upper-mono*: $x \sqsubseteq y \implies \text{PDUnit } x \leq\# \text{PDUnit } y$
{proof}

lemma *PDPlus-upper-mono*: $\llbracket s \leq\# t; u \leq\# v \rrbracket \implies \text{PDPlus } s u \leq\# \text{PDPlus } t v$
{proof}

lemma *PDPlus-upper-le*: *PDPlus t u* $\leq\# t$
{proof}

lemma *upper-le-PDUnit-PDUnit-iff* [*simp*]:
 $(\text{PDUnit } a \leq\# \text{PDUnit } b) = (a \sqsubseteq b)$
{proof}

lemma *upper-le-PDPlus-PDUnit-iff*:
 $(\text{PDPlus } t u \leq\# \text{PDUnit } a) = (t \leq\# \text{PDUnit } a \vee u \leq\# \text{PDUnit } a)$
{proof}

lemma *upper-le-PDPlus-iff*: $(t \leq\# \text{PDPlus } u v) = (t \leq\# u \wedge t \leq\# v)$
{proof}

lemma *upper-le-induct* [*induct set: upper-le*]:
assumes *le*: $t \leq\# u$
assumes 1: $\bigwedge a b. a \sqsubseteq b \implies P(\text{PDUnit } a)(\text{PDUnit } b)$
assumes 2: $\bigwedge t u a. P t (\text{PDUnit } a) \implies P(\text{PDPlus } t u)(\text{PDUnit } a)$
assumes 3: $\bigwedge t u v. \llbracket P t u; P t v \rrbracket \implies P t (\text{PDPlus } u v)$
shows *P t u*
{proof}

31.2 Type definition

typedef 'a upper-pd (('-')#)) =
 $\{S \in \text{'a pd-basis set}. \text{upper-le.ideal } S\}$
{proof}

```

instantiation upper-pd :: (bifinite) below
begin

definition
 $x \sqsubseteq y \longleftrightarrow \text{Rep-upper-pd } x \subseteq \text{Rep-upper-pd } y$ 

instance ⟨proof⟩
end

instance upper-pd :: (bifinite) po
⟨proof⟩

instance upper-pd :: (bifinite) cpo
⟨proof⟩

definition
upper-principal :: 'a pd-basis  $\Rightarrow$  'a upper-pd where
upper-principal t = Abs-upper-pd {u. u  $\leq_{\#}$  t}

interpretation upper-pd:
ideal-completion upper-le upper-principal Rep-upper-pd
⟨proof⟩

```

Upper powerdomain is pointed

```

lemma upper-pd-minimal: upper-principal (PDUnit compact-bot)  $\sqsubseteq$  ys
⟨proof⟩

```

```

instance upper-pd :: (bifinite) pcpo
⟨proof⟩

```

```

lemma inst-upper-pd-pcpo: ⊥ = upper-principal (PDUnit compact-bot)
⟨proof⟩

```

31.3 Monadic unit and plus

```

definition
upper-unit :: 'a  $\rightarrow$  'a upper-pd where
upper-unit = compact-basis.extension ( $\lambda a.$  upper-principal (PDUnit a))

```

```

definition
upper-plus :: 'a upper-pd  $\rightarrow$  'a upper-pd  $\rightarrow$  'a upper-pd where
upper-plus = upper-pd.extension ( $\lambda t.$  upper-pd.extension ( $\lambda u.$ 
upper-principal (PDPlus t u)))

```

```

abbreviation
upper-add :: 'a upper-pd  $\Rightarrow$  'a upper-pd  $\Rightarrow$  'a upper-pd
(infixl  $\cup_{\#} 65$ ) where
xs  $\cup_{\#}$  ys == upper-plus.xs.ys

```

syntax

$\text{-upper-pd} :: \text{args} \Rightarrow \text{logic } (\{\cdot\}\#)$

translations

$\{x, xs\}\# == \{x\}\# \cup\# \{xs\}\#$
 $\{x\}\# == \text{CONST upper-unit}\cdot x$

lemma *upper-unit-Rep-compact-basis* [simp]:

$\{\text{Rep-compact-basis } a\}\# = \text{upper-principal} (\text{PDUnit } a)$
 $\langle \text{proof} \rangle$

lemma *upper-plus-principal* [simp]:

$\text{upper-principal } t \cup\# \text{upper-principal } u = \text{upper-principal} (\text{PDPlus } t u)$
 $\langle \text{proof} \rangle$

interpretation *upper-add*: semilattice *upper-add* $\langle \text{proof} \rangle$

lemmas *upper-plus-assoc* = *upper-add.assoc*

lemmas *upper-plus-commute* = *upper-add.commute*

lemmas *upper-plus-absorb* = *upper-add.idem*

lemmas *upper-plus-left-commute* = *upper-add.left-commute*

lemmas *upper-plus-left-absorb* = *upper-add.left-idem*

Useful for *simp add*: *upper-plus-ac*

lemmas *upper-plus-ac* =

upper-plus-assoc *upper-plus-commute* *upper-plus-left-commute*

Useful for *simp only*: *upper-plus-aci*

lemmas *upper-plus-aci* =

upper-plus-ac *upper-plus-absorb* *upper-plus-left-absorb*

lemma *upper-plus-below1*: $xs \cup\# ys \sqsubseteq xs$

$\langle \text{proof} \rangle$

lemma *upper-plus-below2*: $xs \cup\# ys \sqsubseteq ys$

$\langle \text{proof} \rangle$

lemma *upper-plus-greatest*: $[xs \sqsubseteq ys; xs \sqsubseteq zs] \implies xs \sqsubseteq ys \cup\# zs$

lemma *upper-below-plus-iff* [simp]:

$xs \sqsubseteq ys \cup\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$

$\langle \text{proof} \rangle$

lemma *upper-plus-below-unit-iff* [simp]:

$xs \cup\# ys \sqsubseteq \{z\}\# \longleftrightarrow xs \sqsubseteq \{z\}\# \vee ys \sqsubseteq \{z\}\#$

$\langle \text{proof} \rangle$

lemma *upper-unit-below-iff* [simp]: $\{x\}\# \sqsubseteq \{y\}\# \longleftrightarrow x \sqsubseteq y$

$\langle proof \rangle$

```
lemmas upper-pd-below-simps =
  upper-unit-below-iff
  upper-below-plus-iff
  upper-plus-below-unit-iff
```

```
lemma upper-unit-eq-iff [simp]:  $\{x\} \# = \{y\} \# \longleftrightarrow x = y$ 
   $\langle proof \rangle$ 
```

```
lemma upper-unit-strict [simp]:  $\{\perp\} \# = \perp$ 
   $\langle proof \rangle$ 
```

```
lemma upper-plus-strict1 [simp]:  $\perp \cup \# ys = \perp$ 
   $\langle proof \rangle$ 
```

```
lemma upper-plus-strict2 [simp]:  $xs \cup \# \perp = \perp$ 
   $\langle proof \rangle$ 
```

```
lemma upper-unit-bottom-iff [simp]:  $\{x\} \# = \perp \longleftrightarrow x = \perp$ 
   $\langle proof \rangle$ 
```

```
lemma upper-plus-bottom-iff [simp]:
   $xs \cup \# ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$ 
   $\langle proof \rangle$ 
```

```
lemma compact-upper-unit: compact x  $\implies$  compact  $\{x\} \#$ 
   $\langle proof \rangle$ 
```

```
lemma compact-upper-unit-iff [simp]: compact  $\{x\} \# \longleftrightarrow$  compact x
   $\langle proof \rangle$ 
```

```
lemma compact-upper-plus [simp]:
   $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup \# ys)$ 
   $\langle proof \rangle$ 
```

31.4 Induction rules

```
lemma upper-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\} \#$ 
  assumes insert:  $\bigwedge x ys. \llbracket P \{x\} \#; P ys \rrbracket \implies P (\{x\} \# \cup \# ys)$ 
  shows P (xs::'a upper-pd)
   $\langle proof \rangle$ 
```

```
lemma upper-pd-induct
  [case-names adm upper-unit upper-plus, induct type: upper-pd]:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\} \#$ 
```

assumes $\text{plus}: \bigwedge_{xs\ ys} \llbracket P\ xs; P\ ys \rrbracket \implies P\ (xs \cup^\# ys)$
shows $P\ (xs :: 'a\ upper\text{-}pd)$
 $\langle proof \rangle$

31.5 Monadic bind

definition

upper-bind-basis ::
 $'a\ pd\text{-basis} \Rightarrow ('a \rightarrow 'b\ upper\text{-pd}) \rightarrow 'b\ upper\text{-pd}$ **where**
upper-bind-basis = *fold-pd*
 $(\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a))$
 $(\lambda x\ y. \Lambda f. x \cdot f \cup^\# y \cdot f)$

lemma *ACI-upper-bind*:
semilattice $(\lambda x\ y. \Lambda f. x \cdot f \cup^\# y \cdot f)$
 $\langle proof \rangle$

lemma *upper-bind-basis-simps [simp]*:
upper-bind-basis (*PDUnit* a) =
 $(\Lambda f. f \cdot (\text{Rep-compact-basis } a))$
upper-bind-basis (*PDPlus* $t\ u$) =
 $(\Lambda f. \text{upper-bind-basis } t \cdot f \cup^\# \text{upper-bind-basis } u \cdot f)$
 $\langle proof \rangle$

lemma *upper-bind-basis-mono*:
 $t \leq^\# u \implies \text{upper-bind-basis } t \sqsubseteq \text{upper-bind-basis } u$
 $\langle proof \rangle$

definition

upper-bind :: $'a\ upper\text{-pd} \rightarrow ('a \rightarrow 'b\ upper\text{-pd}) \rightarrow 'b\ upper\text{-pd}$ **where**
upper-bind = *upper-pd.extension upper-bind-basis*

syntax

-upper-bind :: [*logic*, *logic*, *logic*] \Rightarrow *logic*
 $((\beta \bigcup \# - \cdot / -) [0, 0, 10] 10)$

translations

$\bigcup^\#_{x \in xs.} e == CONST\ \text{upper-bind}\cdot xs \cdot (\Lambda\ x. e)$

lemma *upper-bind-principal [simp]*:
 $\text{upper-bind} \cdot (\text{upper-principal } t) = \text{upper-bind-basis } t$
 $\langle proof \rangle$

lemma *upper-bind-unit [simp]*:
 $\text{upper-bind} \cdot \{x\} \# \cdot f = f \cdot x$
 $\langle proof \rangle$

lemma *upper-bind-plus [simp]*:
 $\text{upper-bind} \cdot (xs \cup^\# ys) \cdot f = \text{upper-bind} \cdot xs \cdot f \cup^\# \text{upper-bind} \cdot ys \cdot f$

$\langle proof \rangle$

lemma *upper-bind-strict* [*simp*]: $upper\text{-}bind \cdot \perp \cdot f = f \cdot \perp$
 $\langle proof \rangle$

lemma *upper-bind-bind*:
 $upper\text{-}bind \cdot (upper\text{-}bind \cdot xs \cdot f) \cdot g = upper\text{-}bind \cdot xs \cdot (\Lambda x. upper\text{-}bind \cdot (f \cdot x) \cdot g)$
 $\langle proof \rangle$

31.6 Map

definition

$upper\text{-}map :: ('a \rightarrow 'b) \rightarrow 'a upper\text{-}pd \rightarrow 'b upper\text{-}pd$ **where**
 $upper\text{-}map = (\Lambda f xs. upper\text{-}bind \cdot xs \cdot (\Lambda x. \{f \cdot x\} \sharp))$

lemma *upper-map-unit* [*simp*]:
 $upper\text{-}map \cdot f \cdot \{x\} \sharp = \{f \cdot x\} \sharp$
 $\langle proof \rangle$

lemma *upper-map-plus* [*simp*]:
 $upper\text{-}map \cdot f \cdot (xs \cup \sharp ys) = upper\text{-}map \cdot f \cdot xs \cup \sharp upper\text{-}map \cdot f \cdot ys$
 $\langle proof \rangle$

lemma *upper-map-bottom* [*simp*]: $upper\text{-}map \cdot f \cdot \perp = \{f \cdot \perp\} \sharp$
 $\langle proof \rangle$

lemma *upper-map-ident*: $upper\text{-}map \cdot (\Lambda x. x) \cdot xs = xs$
 $\langle proof \rangle$

lemma *upper-map-ID*: $upper\text{-}map \cdot ID = ID$
 $\langle proof \rangle$

lemma *upper-map-map*:
 $upper\text{-}map \cdot f \cdot (upper\text{-}map \cdot g \cdot xs) = upper\text{-}map \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$
 $\langle proof \rangle$

lemma *upper-bind-map*:
 $upper\text{-}bind \cdot (upper\text{-}map \cdot f \cdot xs) \cdot g = upper\text{-}bind \cdot xs \cdot (\Lambda x. g \cdot (f \cdot x))$
 $\langle proof \rangle$

lemma *upper-map-bind*:
 $upper\text{-}map \cdot f \cdot (upper\text{-}bind \cdot xs \cdot g) = upper\text{-}bind \cdot xs \cdot (\Lambda x. upper\text{-}map \cdot f \cdot (g \cdot x))$
 $\langle proof \rangle$

lemma *ep-pair-upper-map*: $ep\text{-}pair e p \implies ep\text{-}pair (upper\text{-}map \cdot e) (upper\text{-}map \cdot p)$
 $\langle proof \rangle$

lemma *deflation-upper-map*: $deflation d \implies deflation (upper\text{-}map \cdot d)$
 $\langle proof \rangle$

```
lemma finite-deflation-upper-map:
  assumes finite-deflation d shows finite-deflation (upper-map·d)
  {proof}
```

31.7 Upper powerdomain is bifinite

```
lemma approx-chain-upper-map:
  assumes approx-chain a
  shows approx-chain ( $\lambda i.$  upper-map·(a i))
  {proof}
```

```
instance upper-pd :: (bifinite) bifinite
{proof}
```

31.8 Join

definition

```
upper-join :: 'a upper-pd upper-pd  $\rightarrow$  'a upper-pd where
  upper-join = ( $\Lambda$  xss. upper-bind·xss·( $\Lambda$  xs. xs))
```

```
lemma upper-join-unit [simp]:
  upper-join·{xs}# = xs
{proof}
```

```
lemma upper-join-plus [simp]:
  upper-join·(xss  $\cup\#$  yss) = upper-join·xss  $\cup\#$  upper-join·yss
{proof}
```

```
lemma upper-join-bottom [simp]: upper-join· $\perp$  =  $\perp$ 
{proof}
```

```
lemma upper-join-map-unit:
  upper-join·(upper-map·upper-unit·xs) = xs
{proof}
```

```
lemma upper-join-map-join:
  upper-join·(upper-map·upper-join·xsss) = upper-join·(upper-join·xsss)
{proof}
```

```
lemma upper-join-map-map:
  upper-join·(upper-map·(upper-map·f)·xss) =
    upper-map·f·(upper-join·xss)
{proof}
```

end

32 Lower powerdomain

```
theory LowerPD
imports Compact-Basis
begin
```

32.1 Basis preorder

definition

```
lower-le :: 'a pd-basis ⇒ 'a pd-basis ⇒ bool (infix ≤b 50) where
lower-le = (λu v. ∀x∈Rep-pd-basis u. ∃y∈Rep-pd-basis v. x ⊑ y)
```

lemma lower-le-refl [simp]: $t \leq b t$
 $\langle proof \rangle$

lemma lower-le-trans: $[t \leq b u; u \leq b v] \implies t \leq b v$
 $\langle proof \rangle$

interpretation lower-le: preorder lower-le
 $\langle proof \rangle$

lemma lower-le-minimal [simp]: PDUnit compact-bot ≤b t
 $\langle proof \rangle$

lemma PDUnit-lower-mono: $x \sqsubseteq y \implies \text{PDUnit } x \leq b \text{ PDUnit } y$
 $\langle proof \rangle$

lemma PDPlus-lower-mono: $[s \leq b t; u \leq b v] \implies \text{PDPlus } s u \leq b \text{ PDPlus } t v$
 $\langle proof \rangle$

lemma PDPlus-lower-le: $t \leq b \text{ PDPlus } t u$
 $\langle proof \rangle$

lemma lower-le-PDUnit-PDUnit-iff [simp]:
 $(\text{PDUnit } a \leq b \text{ PDUnit } b) = (a \sqsubseteq b)$
 $\langle proof \rangle$

lemma lower-le-PDUnit-PDPlus-iff:
 $(\text{PDUnit } a \leq b \text{ PDPlus } t u) = (\text{PDUnit } a \leq b t \vee \text{PDUnit } a \leq b u)$
 $\langle proof \rangle$

lemma lower-le-PDPlus-iff: $(\text{PDPlus } t u \leq b v) = (t \leq b v \wedge u \leq b v)$
 $\langle proof \rangle$

lemma lower-le-induct [induct set: lower-le]:
assumes le: $t \leq b u$
assumes 1: $\bigwedge a b. a \sqsubseteq b \implies P(\text{PDUnit } a)(\text{PDUnit } b)$
assumes 2: $\bigwedge t u a. P(\text{PDUnit } a) t \implies P(\text{PDUnit } a)(\text{PDPlus } t u)$
assumes 3: $\bigwedge t u v. [P t v; P u v] \implies P(\text{PDPlus } t u) v$
shows $P t u$

$\langle proof \rangle$

32.2 Type definition

```
typedef 'a lower-pd (('-)b)) =
{S:'a pd-basis set. lower-le.ideal S}
⟨proof⟩
```

```
instantiation lower-pd :: (bifinite) below
begin
```

definition

```
x ⊑ y ↔ Rep-lower-pd x ⊆ Rep-lower-pd y
```

```
instance ⟨proof⟩
```

```
end
```

```
instance lower-pd :: (bifinite) po
⟨proof⟩
```

```
instance lower-pd :: (bifinite) cpo
⟨proof⟩
```

definition

```
lower-principal :: 'a pd-basis ⇒ 'a lower-pd where
lower-principal t = Abs-lower-pd {u. u ≤b t}
```

```
interpretation lower-pd:
```

```
ideal-completion lower-le lower-principal Rep-lower-pd
⟨proof⟩
```

Lower powerdomain is pointed

```
lemma lower-pd-minimal: lower-principal (PDUnit compact-bot) ⊑ ys
⟨proof⟩
```

```
instance lower-pd :: (bifinite) pcpo
⟨proof⟩
```

```
lemma inst-lower-pd-pcpo: ⊥ = lower-principal (PDUnit compact-bot)
⟨proof⟩
```

32.3 Monadic unit and plus

definition

```
lower-unit :: 'a → 'a lower-pd where
lower-unit = compact-basis.extension (λa. lower-principal (PDUnit a))
```

definition

```
lower-plus :: 'a lower-pd → 'a lower-pd → 'a lower-pd where
```

lower-plus = *lower-pd.extension* ($\lambda t.$ *lower-pd.extension* ($\lambda u.$ *lower-principal* (*PDPlus* $t u$)))

abbreviation

lower-add :: 'a lower-pd \Rightarrow 'a lower-pd \Rightarrow 'a lower-pd
(infixl $\cup\!\!\! \cup$ 65) **where**
 $xs \cup\!\!\! \cup ys == lower-plus \cdot xs \cdot ys$

syntax

-lower-pd :: *args* \Rightarrow *logic* ($\{\cdot\}\!\!\! \cup\!\!\! \cup$)

translations

$\{x, xs\}\!\!\! \cup\!\!\! \cup == \{x\}\!\!\! \cup\!\!\! \cup \{xs\}\!\!\! \cup\!\!\! \cup$
 $\{x\}\!\!\! \cup\!\!\! \cup == CONST lower-unit \cdot x$

lemma *lower-unit-Rep-compact-basis* [simp]:

$\{Rep-compact-basis a\}\!\!\! \cup\!\!\! \cup == lower-principal (PDUnit a)$
 $\langle proof \rangle$

lemma *lower-plus-principal* [simp]:

$lower-principal t \cup\!\!\! \cup lower-principal u == lower-principal (PDPlus t u)$
 $\langle proof \rangle$

interpretation *lower-add*: semilattice *lower-add* $\langle proof \rangle$

lemmas *lower-plus-assoc* = *lower-add.assoc*
lemmas *lower-plus-commute* = *lower-add.commute*
lemmas *lower-plus-absorb* = *lower-add.idem*
lemmas *lower-plus-left-commute* = *lower-add.left-commute*
lemmas *lower-plus-left-absorb* = *lower-add.left-idem*

Useful for *simp add*: *lower-plus-ac*

lemmas *lower-plus-ac* =
lower-plus-assoc *lower-plus-commute* *lower-plus-left-commute*

Useful for *simp only*: *lower-plus-aci*

lemmas *lower-plus-aci* =
lower-plus-ac *lower-plus-absorb* *lower-plus-left-absorb*

lemma *lower-plus-below1*: $xs \sqsubseteq xs \cup\!\!\! \cup ys$
 $\langle proof \rangle$

lemma *lower-plus-below2*: $ys \sqsubseteq xs \cup\!\!\! \cup ys$
 $\langle proof \rangle$

lemma *lower-plus-least*: $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs \cup\!\!\! \cup ys \sqsubseteq zs$
 $\langle proof \rangle$

lemma *lower-plus-below-iff* [simp]:

$xs \cup b ys \sqsubseteq zs \longleftrightarrow xs \sqsubseteq zs \wedge ys \sqsubseteq zs$
 $\langle proof \rangle$

lemma *lower-unit-below-plus-iff* [simp]:
 $\{x\}b \sqsubseteq ys \cup b zs \longleftrightarrow \{x\}b \sqsubseteq ys \vee \{x\}b \sqsubseteq zs$
 $\langle proof \rangle$

lemma *lower-unit-below-iff* [simp]: $\{x\}b \sqsubseteq \{y\}b \longleftrightarrow x \sqsubseteq y$
 $\langle proof \rangle$

lemmas *lower-pd-below-simps* =
lower-unit-below-iff
lower-plus-below-iff
lower-unit-below-plus-iff

lemma *lower-unit-eq-iff* [simp]: $\{x\}b = \{y\}b \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *lower-unit-strict* [simp]: $\{\perp\}b = \perp$
 $\langle proof \rangle$

lemma *lower-unit-bottom-iff* [simp]: $\{x\}b = \perp \longleftrightarrow x = \perp$
 $\langle proof \rangle$

lemma *lower-plus-bottom-iff* [simp]:
 $xs \cup b ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$
 $\langle proof \rangle$

lemma *lower-plus-strict1* [simp]: $\perp \cup b ys = ys$
 $\langle proof \rangle$

lemma *lower-plus-strict2* [simp]: $xs \cup b \perp = xs$
 $\langle proof \rangle$

lemma *compact-lower-unit*: $\text{compact } x \implies \text{compact } \{x\}b$
 $\langle proof \rangle$

lemma *compact-lower-unit-iff* [simp]: $\text{compact } \{x\}b \longleftrightarrow \text{compact } x$
 $\langle proof \rangle$

lemma *compact-lower-plus* [simp]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup b ys)$
 $\langle proof \rangle$

32.4 Induction rules

lemma *lower-pd-induct1*:
assumes $P: \text{adm } P$
assumes $\text{unit}: \bigwedge x. P \{x\}b$

assumes *insert*:
 $\bigwedge x ys. \llbracket P \{x\} \triangleright; P ys \rrbracket \implies P (\{x\} \triangleright \cup \triangleright ys)$
shows $P (xs :: 'a lower\text{-}pd)$
 $\langle proof \rangle$

lemma *lower-pd-induct*
[case-names adm lower-unit lower-plus, induct type: lower-pd]:
assumes $P: adm P$
assumes *unit*: $\bigwedge x. P \{x\} \triangleright$
assumes *plus*: $\bigwedge xs ys. \llbracket P xs; P ys \rrbracket \implies P (xs \cup \triangleright ys)$
shows $P (xs :: 'a lower\text{-}pd)$
 $\langle proof \rangle$

32.5 Monadic bind

definition

lower-bind-basis ::
 $'a pd\text{-basis} \Rightarrow ('a \rightarrow 'b lower\text{-pd}) \rightarrow 'b lower\text{-pd}$ **where**
lower-bind-basis = *fold-pd*
 $(\lambda a. \Lambda f. f \cdot (Rep\text{-}compact\text{-}basis a))$
 $(\lambda x y. \Lambda f. x \cdot f \cup \triangleright y \cdot f)$

lemma *ACI-lower-bind*:
semilattice $(\lambda x y. \Lambda f. x \cdot f \cup \triangleright y \cdot f)$
 $\langle proof \rangle$

lemma *lower-bind-basis-simps* [simp]:
lower-bind-basis (*PDUnit* a) =
 $(\Lambda f. f \cdot (Rep\text{-}compact\text{-}basis a))$
lower-bind-basis (*PDPlus* t u) =
 $(\Lambda f. lower\text{-bind\text{-}basis} t \cdot f \cup \triangleright lower\text{-bind\text{-}basis} u \cdot f)$
 $\langle proof \rangle$

lemma *lower-bind-basis-mono*:
 $t \leq \triangleright u \implies lower\text{-bind\text{-}basis} t \sqsubseteq lower\text{-bind\text{-}basis} u$
 $\langle proof \rangle$

definition

lower-bind :: $'a lower\text{-pd} \rightarrow ('a \rightarrow 'b lower\text{-pd}) \rightarrow 'b lower\text{-pd}$ **where**
lower-bind = *lower-pd.extension* *lower-bind-basis*

syntax

-lower-bind :: [*logic*, *logic*, *logic*] $\Rightarrow logic$
 $((\exists \bigcup \triangleright \cdot - \cdot / \cdot -) [0, 0, 10] 10)$

translations

$\bigcup_{x \in xs} e == CONST lower\text{-bind}\cdot xs \cdot (\Lambda x. e)$

lemma *lower-bind-principal* [simp]:

lower-bind·(lower-principal t) = lower-bind-basis t
(proof)

lemma *lower-bind-unit* [*simp*]:
lower-bind·{x}b·f = f·x
(proof)

lemma *lower-bind-plus* [*simp*]:
lower-bind·(xs ∪b ys)·f = lower-bind·xs·f ∪b lower-bind·ys·f
(proof)

lemma *lower-bind-strict* [*simp*]: *lower-bind·⊥·f = f·⊥*
(proof)

lemma *lower-bind-bind*:
lower-bind·(lower-bind·xs·f)·g = lower-bind·xs·(Λ x. lower-bind·(f·x)·g)
(proof)

32.6 Map

definition

lower-map :: ('a → 'b) → 'a lower-pd → 'b lower-pd where
lower-map = (Λ f xs. lower-bind·xs·(Λ x. {f·x}b))

lemma *lower-map-unit* [*simp*]:
lower-map·f·{x}b = {f·x}b
(proof)

lemma *lower-map-plus* [*simp*]:
lower-map·f·(xs ∪b ys) = lower-map·f·xs ∪b lower-map·f·ys
(proof)

lemma *lower-map-bottom* [*simp*]: *lower-map·f·⊥ = {f·⊥}b*
(proof)

lemma *lower-map-ident*: *lower-map·(Λ x. x)·xs = xs*
(proof)

lemma *lower-map-ID*: *lower-map·ID = ID*
(proof)

lemma *lower-map-map*:
lower-map·f·(lower-map·g·xs) = lower-map·(Λ x. f·(g·x))·xs
(proof)

lemma *lower-bind-map*:
lower-bind·(lower-map·f·xs)·g = lower-bind·xs·(Λ x. g·(f·x))
(proof)

lemma *lower-map-bind*:

lower-map.f.(lower-bind.xs.g) = lower-bind.xs.(Λ x. lower-map.f.(g.x))
{proof}

lemma *ep-pair-lower-map*: *ep-pair e p ⇒ ep-pair (lower-map.e) (lower-map.p)*
{proof}

lemma *deflation-lower-map*: *deflation d ⇒ deflation (lower-map.d)*
{proof}

lemma *finite-deflation-lower-map*:

assumes *finite-deflation d* **shows** *finite-deflation (lower-map.d)*
{proof}

32.7 Lower powerdomain is bifinite

lemma *approx-chain-lower-map*:

assumes *approx-chain a*
shows *approx-chain (λi. lower-map.(a i))*
{proof}

instance *lower-pd :: (bifinite) bifinite*
{proof}

32.8 Join

definition

lower-join :: 'a lower-pd lower-pd → 'a lower-pd where
lower-join = (Λ xss. lower-bind.xss.(Λ xs. xs))

lemma *lower-join-unit [simp]*:

lower-join.{xs}b = xs
{proof}

lemma *lower-join-plus [simp]*:

lower-join.(xss ∪b yss) = lower-join.xss ∪b lower-join.yss
{proof}

lemma *lower-join-bottom [simp]*: *lower-join.⊥ = ⊥*
{proof}

lemma *lower-join-map-unit*:

lower-join.(lower-map.lower-unit.xs) = xs
{proof}

lemma *lower-join-map-join*:

lower-join.(lower-map.lower-join.xsss) = lower-join.(lower-join.xsss)
{proof}

```

lemma lower-join-map-map:
  lower-join·(lower-map·(lower-map·f)·xss) =
    lower-map·f·(lower-join·xss)
  ⟨proof⟩

end

```

33 Convex powerdomain

```

theory ConvexPD
imports UpperPD LowerPD
begin

```

33.1 Basis preorder

definition

```

convex-le :: 'a pd-basis ⇒ 'a pd-basis ⇒ bool (infix ≤ḥ 50) where
convex-le = (λu v. u ≤ḥ v ∧ u ≤b v)

```

```

lemma convex-le-refl [simp]: t ≤ḥ t
  ⟨proof⟩

```

```

lemma convex-le-trans: [|t ≤ḥ u; u ≤ḥ v|] ⇒ t ≤ḥ v
  ⟨proof⟩

```

```

interpretation convex-le: preorder convex-le
  ⟨proof⟩

```

```

lemma upper-le-minimal [simp]: PDUnit compact-bot ≤ḥ t
  ⟨proof⟩

```

```

lemma PDUnit-convex-mono: x ⊑ y ⇒ PDUnit x ≤ḥ PDUnit y
  ⟨proof⟩

```

```

lemma PDPlus-convex-mono: [|s ≤ḥ t; u ≤ḥ v|] ⇒ PDPlus s u ≤ḥ PDPlus t v
  ⟨proof⟩

```

```

lemma convex-le-PDUnit-PDUnit-iff [simp]:
  (PDUnit a ≤ḥ PDUnit b) = (a ⊑ b)
  ⟨proof⟩

```

```

lemma convex-le-PDUnit-lemma1:
  (PDUnit a ≤ḥ t) = (∀ b ∈ Rep-pd-basis t. a ⊑ b)
  ⟨proof⟩

```

```

lemma convex-le-PDUnit-PDPlus-iff [simp]:
  (PDUnit a ≤ḥ PDPlus t u) = (PDUnit a ≤ḥ t ∧ PDUnit a ≤ḥ u)
  ⟨proof⟩

```

```

lemma convex-le-PDUnit-lemma2:
  ( $t \leq_{\text{h}} \text{PDUnit } b$ ) = ( $\forall a \in \text{Rep-pd-basis } t. a \sqsubseteq b$ )
   $\langle \text{proof} \rangle$ 

lemma convex-le-PDPlus-PDUnit-iff [simp]:
  ( $\text{PDPlus } t u \leq_{\text{h}} \text{PDUnit } a$ ) = ( $t \leq_{\text{h}} \text{PDUnit } a \wedge u \leq_{\text{h}} \text{PDUnit } a$ )
   $\langle \text{proof} \rangle$ 

lemma convex-le-PDPlus-lemma:
  assumes  $z : \text{PDPlus } t u \leq_{\text{h}} z$ 
  shows  $\exists v w. z = \text{PDPlus } v w \wedge t \leq_{\text{h}} v \wedge u \leq_{\text{h}} w$ 
   $\langle \text{proof} \rangle$ 

lemma convex-le-induct [induct set: convex-le]:
  assumes  $le : t \leq_{\text{h}} u$ 
  assumes  $2 : \bigwedge t u v. [\![P t u; P u v]\!] \implies P t v$ 
  assumes  $3 : \bigwedge a b. a \sqsubseteq b \implies P(\text{PDUnit } a)(\text{PDUnit } b)$ 
  assumes  $4 : \bigwedge t u v w. [\![P t v; P u w]\!] \implies P(\text{PDPlus } t u)(\text{PDPlus } v w)$ 
  shows  $P t u$ 
   $\langle \text{proof} \rangle$ 

```

33.2 Type definition

```

typedef 'a convex-pd ((('-') $\text{h}$ )) =
  { $S : 'a \text{ pd-basis set. convex-le.ideal } S$ }
   $\langle \text{proof} \rangle$ 

instantiation convex-pd :: (bifinite) below
begin

definition
   $x \sqsubseteq y \longleftrightarrow \text{Rep-convex-pd } x \subseteq \text{Rep-convex-pd } y$ 

instance  $\langle \text{proof} \rangle$ 
end

instance convex-pd :: (bifinite) po
   $\langle \text{proof} \rangle$ 

instance convex-pd :: (bifinite) cpo
   $\langle \text{proof} \rangle$ 

definition
  convex-principal :: 'a pd-basis  $\Rightarrow$  'a convex-pd where
  convex-principal  $t = \text{Abs-convex-pd } \{u. u \leq_{\text{h}} t\}$ 

interpretation convex-pd:
  ideal-completion convex-le convex-principal Rep-convex-pd
   $\langle \text{proof} \rangle$ 

```

Convex powerdomain is pointed

lemma *convex-pd-minimal*: *convex-principal* (*PDUnit compact-bot*) $\sqsubseteq ys$
 $\langle proof \rangle$

instance *convex-pd* :: (*bifinite*) *pcpo*
 $\langle proof \rangle$

lemma *inst-convex-pd-pcpo*: $\perp = convex\text{-principal} (PDUnit compact\text{-bot})$
 $\langle proof \rangle$

33.3 Monadic unit and plus

definition

convex-unit :: '*a* \rightarrow '*a* *convex-pd* **where**
convex-unit = *compact-basis.extension* ($\lambda a.$ *convex-principal* (*PDUnit a*))

definition

convex-plus :: '*a* *convex-pd* \rightarrow '*a* *convex-pd* \rightarrow '*a* *convex-pd* **where**
convex-plus = *convex-pd.extension* ($\lambda t.$ *convex-pd.extension* ($\lambda u.$
 $convex\text{-principal} (PDPlus t u))$)

abbreviation

convex-add :: '*a* *convex-pd* \Rightarrow '*a* *convex-pd* \Rightarrow '*a* *convex-pd*
(infixl $\cup\!\! \sqsubseteq$ **65)** **where**
 $xs \cup\!\! \sqsubseteq ys == convex\text{-plus}\cdot xs \cdot ys$

syntax

-convex-pd :: *args* \Rightarrow *logic* ($\{\{-\}\}$)

translations

$\{x, xs\} \sqsubseteq == \{x\} \sqsubseteq \cup\!\! \sqsubseteq \{xs\} \sqsubseteq$
 $\{x\} \sqsubseteq == CONST convex\text{-unit} \cdot x$

lemma *convex-unit-Rep-compact-basis* [*simp*]:
 $\{Rep\text{-compact-basis } a\} \sqsubseteq == convex\text{-principal} (PDUnit a)$
 $\langle proof \rangle$

lemma *convex-plus-principal* [*simp*]:
 $convex\text{-principal} t \cup\!\! \sqsubseteq convex\text{-principal} u == convex\text{-principal} (PDPlus t u)$
 $\langle proof \rangle$

interpretation *convex-add*: semilattice *convex-add* $\langle proof \rangle$

lemmas *convex-plus-assoc* = *convex-add.assoc*
lemmas *convex-plus-commute* = *convex-add.commute*
lemmas *convex-plus-absorb* = *convex-add.idem*
lemmas *convex-plus-left-commute* = *convex-add.left-commute*
lemmas *convex-plus-left-absorb* = *convex-add.left-idem*

Useful for *simp add: convex-plus-ac*

```
lemmas convex-plus-ac =
  convex-plus-assoc convex-plus-commute convex-plus-left-commute
```

Useful for *simp only: convex-plus-aci*

```
lemmas convex-plus-aci =
  convex-plus-ac convex-plus-absorb convex-plus-left-absorb
```

```
lemma convex-unit-below-plus-iff [simp]:
   $\{x\} \sqsubseteq ys \cup zs \longleftrightarrow \{x\} \sqsubseteq ys \wedge \{x\} \sqsubseteq zs$ 
  ⟨proof⟩
```

```
lemma convex-plus-below-unit-iff [simp]:
   $xs \cup ys \sqsubseteq \{z\} \longleftrightarrow xs \sqsubseteq \{z\} \wedge ys \sqsubseteq \{z\}$ 
  ⟨proof⟩
```

```
lemma convex-unit-below-iff [simp]:  $\{x\} \sqsubseteq \{y\} \longleftrightarrow x \sqsubseteq y$ 
  ⟨proof⟩
```

```
lemma convex-unit-eq-iff [simp]:  $\{x\} = \{y\} \longleftrightarrow x = y$ 
  ⟨proof⟩
```

```
lemma convex-unit-strict [simp]:  $\{\perp\} = \perp$ 
  ⟨proof⟩
```

```
lemma convex-unit-bottom-iff [simp]:  $\{x\} = \perp \longleftrightarrow x = \perp$ 
  ⟨proof⟩
```

```
lemma compact-convex-unit: compact x  $\implies$  compact  $\{x\}$ 
  ⟨proof⟩
```

```
lemma compact-convex-unit-iff [simp]: compact  $\{x\} \longleftrightarrow$  compact x
  ⟨proof⟩
```

```
lemma compact-convex-plus [simp]:
   $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup ys)$ 
  ⟨proof⟩
```

33.4 Induction rules

```
lemma convex-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}$ 
  assumes insert:  $\bigwedge x ys. \llbracket P \{x\}; P ys \rrbracket \implies P (\{x\} \cup ys)$ 
  shows P (xs::'a convex-pd)
  ⟨proof⟩
```

```
lemma convex-pd-induct
  [case-names adm convex-unit convex-plus, induct type: convex-pd]:
```

```

assumes P: adm P
assumes unit:  $\bigwedge x. P \{x\}$ 
assumes plus:  $\bigwedge xs ys. [P xs; P ys] \implies P (xs \cup ys)$ 
shows P (xs::'a convex-pd)
⟨proof⟩

```

33.5 Monadic bind

definition

```

convex-bind-basis :: 
'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b convex-pd)  $\rightarrow$  'b convex-pd where
convex-bind-basis = fold-pd
  ( $\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  ( $\lambda x y. \Lambda f. x \cdot f \cup y \cdot f$ )

```

lemma ACI-convex-bind:
semilattice ($\lambda x y. \Lambda f. x \cdot f \cup y \cdot f$)
⟨proof⟩

lemma convex-bind-basis-simps [simp]:
convex-bind-basis (PDUnit a) =
 ($\Lambda f. f \cdot (\text{Rep-compact-basis } a)$)
convex-bind-basis (PDPlus t u) =
 ($\Lambda f. \text{convex-bind-basis } t \cdot f \cup \text{convex-bind-basis } u \cdot f$)
⟨proof⟩

lemma convex-bind-basis-mono:
 $t \leq u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$
⟨proof⟩

definition

```

convex-bind :: 'a convex-pd  $\rightarrow$  ('a  $\rightarrow$  'b convex-pd)  $\rightarrow$  'b convex-pd where
convex-bind = convex-pd.extension convex-bind-basis

```

syntax

```

-convex-bind :: [logic, logic, logic]  $\Rightarrow$  logic
  ((3  $\bigcup$  -./ -) [0, 0, 10] 10)

```

translations

$\bigcup_{x \in xs} e == \text{CONST convex-bind}\cdot xs \cdot (\Lambda x. e)$

lemma convex-bind-principal [simp]:
convex-bind·(convex-principal t) = *convex-bind-basis* t
⟨proof⟩

lemma convex-bind-unit [simp]:
convex-bind·{x}·f = f·x
⟨proof⟩

lemma *convex-bind-plus* [*simp*]:
 $\text{convex-bind} \cdot (\text{xs} \cup \text{ys}) \cdot f = \text{convex-bind} \cdot \text{xs} \cdot f \cup \text{convex-bind} \cdot \text{ys} \cdot f$
(proof)

lemma *convex-bind-strict* [*simp*]: $\text{convex-bind} \cdot \perp \cdot f = f \cdot \perp$
(proof)

lemma *convex-bind-bind*:
 $\text{convex-bind} \cdot (\text{convex-bind} \cdot \text{xs} \cdot f) \cdot g =$
 $\text{convex-bind} \cdot \text{xs} \cdot (\Lambda x. \text{convex-bind} \cdot (f \cdot x) \cdot g)$
(proof)

33.6 Map

definition

convex-map :: $('a \rightarrow 'b) \rightarrow 'a \text{ convex-pd} \rightarrow 'b \text{ convex-pd}$ **where**
 $\text{convex-map} = (\Lambda f \text{ xs}. \text{convex-bind} \cdot \text{xs} \cdot (\Lambda x. \{f \cdot x\}))$

lemma *convex-map-unit* [*simp*]:
 $\text{convex-map} \cdot f \cdot \{x\} = \{f \cdot x\}$
(proof)

lemma *convex-map-plus* [*simp*]:
 $\text{convex-map} \cdot f \cdot (\text{xs} \cup \text{ys}) = \text{convex-map} \cdot f \cdot \text{xs} \cup \text{convex-map} \cdot f \cdot \text{ys}$
(proof)

lemma *convex-map-bottom* [*simp*]: $\text{convex-map} \cdot f \cdot \perp = \{f \cdot \perp\}$
(proof)

lemma *convex-map-ident*: $\text{convex-map} \cdot (\Lambda x. x) \cdot \text{xs} = \text{xs}$
(proof)

lemma *convex-map-ID*: $\text{convex-map} \cdot ID = ID$
(proof)

lemma *convex-map-map*:
 $\text{convex-map} \cdot f \cdot (\text{convex-map} \cdot g \cdot \text{xs}) = \text{convex-map} \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot \text{xs}$
(proof)

lemma *convex-bind-map*:
 $\text{convex-bind} \cdot (\text{convex-map} \cdot f \cdot \text{xs}) \cdot g = \text{convex-bind} \cdot \text{xs} \cdot (\Lambda x. g \cdot (f \cdot x))$
(proof)

lemma *convex-map-bind*:
 $\text{convex-map} \cdot f \cdot (\text{convex-bind} \cdot \text{xs} \cdot g) = \text{convex-bind} \cdot \text{xs} \cdot (\Lambda x. \text{convex-map} \cdot f \cdot (g \cdot x))$
(proof)

lemma *ep-pair-convex-map*: $\text{ep-pair } e \ p \implies \text{ep-pair} \ (\text{convex-map} \cdot e) \ (\text{convex-map} \cdot p)$
(proof)

lemma *deflation-convex-map*: *deflation d* \implies *deflation (convex-map·d)*
(proof)

lemma *finite-deflation-convex-map*:
assumes *finite-deflation d* **shows** *finite-deflation (convex-map·d)*
(proof)

33.7 Convex powerdomain is bifinite

lemma *approx-chain-convex-map*:
assumes *approx-chain a*
shows *approx-chain ($\lambda i. \text{convex-map}·(a i)$)*
(proof)

instance *convex-pd :: (bifinite) bifinite*
(proof)

33.8 Join

definition
convex-join :: 'a convex-pd convex-pd \rightarrow 'a convex-pd where
convex-join = ($\Lambda xss. \text{convex-bind}\cdot xss\cdot(\Lambda xs. xs)$)

lemma *convex-join-unit [simp]*:
convex-join·{xs}¶ = xs
(proof)

lemma *convex-join-plus [simp]*:
convex-join·(xss $\cup\!\!\!|\hspace{-0.1cm}\parallel$ yss) = convex-join·xss $\cup\!\!\!|\hspace{-0.1cm}\parallel$ convex-join·yss
(proof)

lemma *convex-join-bottom [simp]*: *convex-join·⊥ = ⊥*
(proof)

lemma *convex-join-map-unit*:
convex-join·(convex-map·convex-unit·xs) = xs
(proof)

lemma *convex-join-map-join*:
convex-join·(convex-map·convex-join·xsss) = convex-join·(convex-join·xsss)
(proof)

lemma *convex-join-map-map*:
convex-join·(convex-map·(convex-map·f)·xss) =
convex-map·f·(convex-join·xss)
(proof)

33.9 Conversions to other powerdomains

Convex to upper

lemma *convex-le-imp-upper-le*: $t \leq_{\sharp} u \implies t \leq_{\sharp} u$
(proof)

definition

convex-to-upper :: ‘a convex-pd \rightarrow ‘a upper-pd **where**
convex-to-upper = *convex-pd.extension upper-principal*

lemma *convex-to-upper-principal* [simp]:
 $\text{convex-to-upper} \cdot (\text{convex-principal } t) = \text{upper-principal } t$
(proof)

lemma *convex-to-upper-unit* [simp]:
 $\text{convex-to-upper} \cdot \{x\}_{\sharp} = \{x\}_{\sharp}$
(proof)

lemma *convex-to-upper-plus* [simp]:
 $\text{convex-to-upper} \cdot (xs \cup_{\sharp} ys) = \text{convex-to-upper} \cdot xs \cup_{\sharp} \text{convex-to-upper} \cdot ys$
(proof)

lemma *convex-to-upper-bind* [simp]:
 $\text{convex-to-upper} \cdot (\text{convex-bind} \cdot xs \cdot f) =$
 $\text{upper-bind} \cdot (\text{convex-to-upper} \cdot xs) \cdot (\text{convex-to-upper} \circ f)$
(proof)

lemma *convex-to-upper-map* [simp]:
 $\text{convex-to-upper} \cdot (\text{convex-map} \cdot f \cdot xs) = \text{upper-map} \cdot f \cdot (\text{convex-to-upper} \cdot xs)$
(proof)

lemma *convex-to-upper-join* [simp]:
 $\text{convex-to-upper} \cdot (\text{convex-join} \cdot xss) =$
 $\text{upper-bind} \cdot (\text{convex-to-upper} \cdot xss) \cdot \text{convex-to-upper}$
(proof)

Convex to lower

lemma *convex-le-imp-lower-le*: $t \leq_{\sharp} u \implies t \leq_{\flat} u$
(proof)

definition

convex-to-lower :: ‘a convex-pd \rightarrow ‘a lower-pd **where**
convex-to-lower = *convex-pd.extension lower-principal*

lemma *convex-to-lower-principal* [simp]:
 $\text{convex-to-lower} \cdot (\text{convex-principal } t) = \text{lower-principal } t$
(proof)

lemma *convex-to-lower-unit* [simp]:

*convex-to-lower}·{x}‡ = {x}§
 ⟨proof⟩*

lemma *convex-to-lower-plus [simp]:*
convex-to-lower}·(xs ∪‡ ys) = convex-to-lower}·xs ∪§ convex-to-lower}·ys
 ⟨proof⟩

lemma *convex-to-lower-bind [simp]:*
convex-to-lower}·(convex-bind}·xs·f) =
lower-bind}·(convex-to-lower}·xs)·(convex-to-lower} oo f)
 ⟨proof⟩

lemma *convex-to-lower-map [simp]:*
convex-to-lower}·(convex-map}·f·xs) = lower-map}·f·(convex-to-lower}·xs)
 ⟨proof⟩

lemma *convex-to-lower-join [simp]:*
convex-to-lower}·(convex-join}·xss) =
lower-bind}·(convex-to-lower}·xss)·convex-to-lower}
 ⟨proof⟩

Ordering property

lemma *convex-pd-below-iff:*
 $(xs \sqsubseteq ys) =$
 $(\text{convex-to-upper}·xs \sqsubseteq \text{convex-to-upper}·ys \wedge$
 $\text{convex-to-lower}·xs \sqsubseteq \text{convex-to-lower}·ys)$
 ⟨proof⟩

lemmas *convex-plus-below-plus-iff =*
convex-pd-below-iff [where xs=xs ∪‡ ys and ys=zs ∪‡ ws]
for *xs ys zs ws*

lemmas *convex-pd-below-simps =*
convex-unit-below-plus-iff
convex-plus-below-unit-iff
convex-plus-below-plus-iff
convex-unit-below-iff
convex-to-upper-unit
convex-to-upper-plus
convex-to-lower-unit
convex-to-lower-plus
upper-pd-below-simps
lower-pd-below-simps

end

34 Powerdomains

theory *Powerdomains*

```
imports ConvexPD Domain
begin
```

34.1 Universal domain embeddings

```
definition upper-emb = udom-emb ( $\lambda i. \text{upper-map} \cdot (\text{udom-approx } i)$ )
definition upper-prj = udom-prj ( $\lambda i. \text{upper-map} \cdot (\text{udom-approx } i)$ )
```

```
definition lower-emb = udom-emb ( $\lambda i. \text{lower-map} \cdot (\text{udom-approx } i)$ )
definition lower-prj = udom-prj ( $\lambda i. \text{lower-map} \cdot (\text{udom-approx } i)$ )
```

```
definition convex-emb = udom-emb ( $\lambda i. \text{convex-map} \cdot (\text{udom-approx } i)$ )
definition convex-prj = udom-prj ( $\lambda i. \text{convex-map} \cdot (\text{udom-approx } i)$ )
```

```
lemma ep-pair-upper: ep-pair upper-emb upper-prj
  ⟨proof⟩
```

```
lemma ep-pair-lower: ep-pair lower-emb lower-prj
  ⟨proof⟩
```

```
lemma ep-pair-convex: ep-pair convex-emb convex-prj
  ⟨proof⟩
```

34.2 Deflation combinators

```
definition upper-defl :: udom defl → udom defl
  where upper-defl = defl-fun1 upper-emb upper-prj upper-map
```

```
definition lower-defl :: udom defl → udom defl
  where lower-defl = defl-fun1 lower-emb lower-prj lower-map
```

```
definition convex-defl :: udom defl → udom defl
  where convex-defl = defl-fun1 convex-emb convex-prj convex-map
```

```
lemma cast-upper-defl:
  cast · (upper-defl · A) = upper-emb oo upper-map · (cast · A) oo upper-prj
  ⟨proof⟩
```

```
lemma cast-lower-defl:
  cast · (lower-defl · A) = lower-emb oo lower-map · (cast · A) oo lower-prj
  ⟨proof⟩
```

```
lemma cast-convex-defl:
  cast · (convex-defl · A) = convex-emb oo convex-map · (cast · A) oo convex-prj
  ⟨proof⟩
```

34.3 Domain class instances

```
instantiation upper-pd :: (domain) domain
begin
```

definition

$emb = upper\text{-}emb \circ upper\text{-}map\cdot emb$

definition

$prj = upper\text{-}map\cdot prj \circ upper\text{-}prj$

definition

$defl (t::'a upper\text{-}pd itself) = upper\text{-}defl\cdot DEFL('a)$

definition

$(liftemb :: 'a upper\text{-}pd u \rightarrow udom u) = u\text{-}map\cdot emb$

definition

$(liftprj :: udom u \rightarrow 'a upper\text{-}pd u) = u\text{-}map\cdot prj$

definition

$liftdefl (t::'a upper\text{-}pd itself) = liftdefl\text{-}of\cdot DEFL('a upper\text{-}pd)$

instance $\langle proof \rangle$

end

instantiation $lower\text{-}pd :: (domain) domain$

begin

definition

$emb = lower\text{-}emb \circ lower\text{-}map\cdot emb$

definition

$prj = lower\text{-}map\cdot prj \circ lower\text{-}prj$

definition

$defl (t::'a lower\text{-}pd itself) = lower\text{-}defl\cdot DEFL('a)$

definition

$(liftemb :: 'a lower\text{-}pd u \rightarrow udom u) = u\text{-}map\cdot emb$

definition

$(liftprj :: udom u \rightarrow 'a lower\text{-}pd u) = u\text{-}map\cdot prj$

definition

$liftdefl (t::'a lower\text{-}pd itself) = liftdefl\text{-}of\cdot DEFL('a lower\text{-}pd)$

instance $\langle proof \rangle$

end

instantiation $convex\text{-}pd :: (domain) domain$

```

begin

definition
  emb = convex-emb oo convex-map·emb

definition
  prj = convex-map·prj oo convex-prj

definition
  defl (t::'a convex-pd itself) = convex-defl·DEFL('a)

definition
  (liftemb :: 'a convex-pd u → udom u) = u-map·emb

definition
  (liftprj :: udom u → 'a convex-pd u) = u-map·prj

definition
  liftdefl (t::'a convex-pd itself) = liftdefl-of·DEFL('a convex-pd)

instance ⟨proof⟩

end

lemma DEFL-upper: DEFL('a::domain upper-pd) = upper-defl·DEFL('a)
⟨proof⟩

lemma DEFL-lower: DEFL('a::domain lower-pd) = lower-defl·DEFL('a)
⟨proof⟩

lemma DEFL-convex: DEFL('a::domain convex-pd) = convex-defl·DEFL('a)
⟨proof⟩

```

34.4 Isomorphic deflations

```

lemma isodefl-upper:
  isodefl d t ==> isodefl (upper-map·d) (upper-defl·t)
⟨proof⟩

lemma isodefl-lower:
  isodefl d t ==> isodefl (lower-map·d) (lower-defl·t)
⟨proof⟩

lemma isodefl-convex:
  isodefl d t ==> isodefl (convex-map·d) (convex-defl·t)
⟨proof⟩

```

34.5 Domain package setup for powerdomains

```
lemmas [domain-defl-simps] = DEFL-upper DEFL-lower DEFL-convex
```

```
lemmas [domain-map-ID] = upper-map-ID lower-map-ID convex-map-ID
lemmas [domain-isodeft] = isodeft-upper isodeft-lower isodeft-convex
```

```
lemmas [domain-deflation] =
deflation-upper-map deflation-lower-map deflation-convex-map
```

```
 $\langle ML \rangle$ 
```

```
end
```

```
theory HOLCF
```

```
imports
```

```
  Main
```

```
  Domain
```

```
  Powerdomains
```

```
begin
```

```
default-sort domain
```

```
end
```