Free Groups

Joachim Breitner

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Abstract

Free Groups are, in a sense, the most generic kind of group. They are defined over a set of generators with no additional relations in between them. They play an important role in the definition of group presentations and in other fields.

This theory provides the definition of Free Group as the set of fully canceled words in the generators. The universal property is proven, as well as some isomorphisms results about Free Groups.

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1 Cancelation of words of generators and their inverses

```
\begin{array}{l} \textbf{theory} \ \ Cancelation \\ \textbf{imports} \\ \ \ \sim \sim /src/HOL/Proofs/Lambda/Commutation \\ \textbf{begin} \end{array}
```

This theory defines cancelation via relations. The one-step relation cancels-to-1 a b describes that b is obtained from a by removing exactly one pair of generators, while cancels-to is the reflexive transitive hull of that relation. Due to confluence, this relation has a normal form, allowing for the definition of normalize.

1.1 Auxillary results

Some lemmas that would be useful in a more general setting are collected beforehand.

1.1.1 Auxillary results about relations

These were helpfully provided by Andreas Lochbihler.

```
theorem lconfluent-confluent:
```

```
 \llbracket \ \textit{wfP} \ (R \, \hat{} - - 1); \bigwedge a \ b \ c. \ R \ a \ b \Longrightarrow R \ a \ c \Longrightarrow \exists \ d. \ R \, \hat{} ** \ b \ d \ \wedge \ R \, \hat{} ** \ c \ d \ \rrbracket \Longrightarrow confluent \ R
```

by(auto simp add: diamond-def commute-def square-def intro: newman)

lemma confluentD:

```
\llbracket confluent \ R; \ R^*** \ a \ b; \ R^*** \ a \ c \ \rrbracket \Longrightarrow \exists \ d. \ R^*** \ b \ d \land R^*** \ c \ d by (auto simp add: commute-def diamond-def square-def)
```

lemma tranclp-DomainP: R $^+++$ a $b \Longrightarrow DomainP$ R a by $(auto\ elim:\ converse$ -tranclpE)

```
lemma confluent-unique-normal-form:

\llbracket confluent \ R; \ R^*** \ a \ b; \ R^*** \ a \ c; \neg DomainP \ R \ b; \neg DomainP \ R \ c \ \rrbracket \Longrightarrow b = c

by(fastforce dest!: confluentD[of R a b c] dest: tranclp-DomainP rtranclpD[where a=b] rtranclpD[where a=c])
```

1.2 Definition of the canceling relation

```
type-synonym 'a g-i = (bool \times 'a)
type-synonym 'a word-g-i = 'a g-i list
```

These type aliases encode the notion of a "generator or its inverse" ($^{\prime}a$ g- $^{\prime}i$) and the notion of a "word in generators and their inverses" ($^{\prime}a$ word- $^{\prime}g$ - $^{\prime}i$), which form the building blocks of Free Groups.

```
definition canceling :: 'a g-i \Rightarrow 'a g-i \Rightarrow bool where canceling a b = ((snd \ a = snd \ b) \land (fst \ a \neq fst \ b))
```

1.2.1 Simple results about canceling

A generators cancels with its inverse, either way. The relation is symmetric.

```
 \begin{array}{l} \textbf{lemma} \ cancel\text{-}cancel\text{:} \ \llbracket \ canceling \ a \ b; \ canceling \ b \ c \ \rrbracket \Longrightarrow a = c \\ \textbf{by} \ (auto \ intro: \ prod-eqI \ simp \ add: canceling-def) \\ \end{array}
```

```
lemma cancel-sym: canceling a \ b \Longrightarrow canceling \ b \ a by (simp \ add:canceling-def)
```

```
lemma cancel-sym-neg: \neg canceling a b \Longrightarrow \neg canceling b a by (rule classical, simp add: canceling-def)
```

1.3 Definition of the cancels-to relation

First, we define the function that removes the *i*th and (i+1)st element from a word of generators, together with basic properties.

```
definition cancel-at :: nat \Rightarrow 'a \ word-g-i \Rightarrow 'a \ word-g-i where cancel-at i \ l = take \ i \ l @ \ drop \ (2+i) \ l

lemma cancel-at-length[simp]:
1+i < length \ l \implies length \ (cancel-at i \ l) = length \ l - 2
by (auto \ simp \ add: \ cancel-at-def)

lemma cancel-at-nth1[simp]:
[n < i; 1+i < length \ l \ ] \implies (cancel-at i \ l) \ ! \ n = l \ ! \ n
by (auto \ simp \ add: \ cancel-at-def nth-append)

lemma cancel-at-nth2[simp]:
assumes n \ge i and n < length \ l - 2
shows (cancel-at i \ l) \ ! \ n = l \ ! \ (n + 2)
proof—
```

```
from \langle n \geq i \rangle and \langle n < length \ l - 2 \rangle
have i = min \ (length \ l) \ i
by auto
with \langle n \geq i \rangle and \langle n < length \ l - 2 \rangle
show (cancel-at \ i \ l) \ ! \ n = l \ ! \ (n + 2)
by (auto \ simp \ add: \ cancel-at-def \ nth-append \ nth-via-drop)
qed
```

Then we can define the relation *cancels-to-1-at* i a b which specifies that b can be obtained by a by canceling the ith and (i+1)st position.

Based on that, we existentially quantify over the position i to obtain the relation cancels-to-1, of which cancels-to is the reflexive and transitive closure.

A word is *canceled* if it can not be canceled any futher.

```
definition cancels-to-1-at :: nat \Rightarrow 'a \ word-g-i \Rightarrow 'a \ word-g-i \Rightarrow bool
where cancels-to-1-at i l1 l2 = (0 \le i \land (1+i) < length l1
                            \land canceling (l1 ! i) (l1 ! (1+i))
                            \wedge (l2 = cancel-at \ i \ l1))
definition cancels-to-1 :: 'a word-g-i \Rightarrow 'a word-g-i \Rightarrow bool
where cancels-to-1 l1 l2 = (\exists i. cancels-to-1-at i l1 l2)
definition cancels-to :: 'a word-g-i \Rightarrow 'a word-g-i \Rightarrow bool
where cancels-to = cancels-to-1^**
lemma cancels-to-trans [trans]:
  \llbracket cancels-to \ a \ b; \ cancels-to \ b \ c \ \rrbracket \Longrightarrow cancels-to \ a \ c
by (auto simp add:cancels-to-def)
definition canceled :: 'a word-g-i \Rightarrow bool
 where canceled l = (\neg DomainP cancels-to-1 \ l)
lemma cancels-to-1-unfold:
  assumes cancels-to-1 x y
  obtains xs1 x1 x2 xs2
  where x = xs1 @ x1 # x2 # xs2
   and y = xs1 @ xs2
   and canceling x1 x2
proof-
  assume a: (\bigwedge xs1 \ x1 \ x2 \ xs2). [x = xs1 \ @ x1 \ \# x2 \ \# xs2; \ y = xs1 \ @ xs2;
canceling x1 \ x2 \implies thesis)
  from \langle cancels-to-1 \ x \ y \rangle
  obtain i where cancels-to-1-at i x y
   unfolding cancels-to-1-def by auto
  hence canceling (x ! i) (x ! Suc i)
   and y = (take \ i \ x) \ @ (drop \ (Suc \ (Suc \ i)) \ x)
   and x = (take \ i \ x) @ x ! i \# x ! Suc \ i \# (drop (Suc \ (Suc \ i)) \ x)
  unfolding cancel-at-def and cancels-to-1-at-def by (auto simp add: drop-Suc-conv-tl)
```

```
with a show thesis by blast qed

lemma cancels-to-1-fold:
  canceling x1 \ x2 \implies cancels-to-1 (xs1 \ @ \ x1 \ \# \ x2 \ \# \ xs2) (xs1 \ @ \ xs2)
unfolding cancels-to-1-def and cancels-to-1-at-def and cancel-at-def
by (rule-tac x=length xs1 in exI, auto simp\ add:nth-append)
```

1.3.1 Existence of the normal form

One of two steps to show that we have a normal form is the following lemma, guaranteeing that by canceling, we always end up at a fully canceled word.

```
lemma canceling-terminates: wfP (cancels-to-1^--1)

proof—
have wf (measure length) by auto
moreover
have \{(x, y). \ cancels-to-1 \ y \ x\} \subseteq measure \ length
by (auto simp add: cancels-to-1-def cancel-at-def cancels-to-1-at-def)
ultimately
have wf \{(x, y). \ cancels-to-1 \ y \ x\}
by(rule wf-subset)
thus ?thesis by (simp add:wfP-def)
qed
```

The next two lemmas prepare for the proof of confluence. It does not matter in which order we cancel, we can obtain the same result.

```
lemma canceling-neighbor:
  assumes cancels-to-1-at i\ l\ a and cancels-to-1-at (Suc\ i)\ l\ b
  shows a = b
proof-
  from (cancels-to-1-at i l a)
   have canceling (l ! i) (l ! Suc i) and i < length l
   by (auto simp add: cancels-to-1-at-def)
  from \langle cancels-to-1-at (Suc i) | l | b \rangle
   have canceling (l ! Suc i) (l ! Suc (Suc i)) and Suc (Suc i) < length l
   by (auto simp add: cancels-to-1-at-def)
  from \langle canceling\ (l\ !\ i)\ (l\ !\ Suc\ i)\rangle and \langle canceling\ (l\ !\ Suc\ i)\ (l\ !\ Suc\ (Suc\ i))\rangle
   have l ! i = l ! Suc (Suc i) by (rule cancel-cancel)
  from \langle cancels-to-1-at (Suc i) | l | b \rangle
   have b = take (Suc \ i) \ l @ drop (Suc (Suc \ (Suc \ i))) \ l
   by (simp add: cancels-to-1-at-def cancel-at-def)
  also from \langle i < length \ l \rangle
  have ... = take \ i \ l \ @ [l ! i] \ @ \ drop \ (Suc \ (Suc \ i))) \ l
   by(auto simp add: take-Suc-conv-app-nth)
  also from \langle l \mid i = l \mid Suc (Suc i) \rangle
```

```
have ... = take \ i \ l \ @ [l ! Suc (Suc \ i)] \ @ \ drop (Suc (Suc \ (Suc \ i))) \ l
   by simp
 also from \langle Suc\ (Suc\ i) < length\ l \rangle
 have ... = take \ i \ l \ @ \ drop \ (Suc \ (Suc \ i)) \ l
   by (simp add: drop-Suc-conv-tl)
 also from \langle cancels-to-1-at \ i \ l \ a \rangle have ... = a
   by (simp add: cancels-to-1-at-def cancel-at-def)
  finally show a = b by (rule\ sym)
qed
lemma canceling-indep:
 assumes cancels-to-1-at i l a and cancels-to-1-at j l b and j > Suc i
 obtains c where cancels-to-1-at (j-2) a c and cancels-to-1-at i b c
proof(atomize-elim)
 from (cancels-to-1-at i l a)
   have Suc \ i < length \ l
    and canceling (l!i) (l!Suci)
    and a = cancel-at i l
    and length a = length \ l - 2
    and min (length l) i = i
   by (auto simp add:cancels-to-1-at-def)
  from \langle cancels-to-1-at \ j \ l \ b \rangle
   have Suc \ j < length \ l
    and canceling (l ! j) (l ! Suc j)
    and b = cancel-at j l
    and length b = length l - 2
   by (auto simp add:cancels-to-1-at-def)
 let ?c = cancel-at (j - 2) a
 from \langle j > Suc \ i \rangle
 have Suc\ (Suc\ (j-2)) = j
   and Suc\ (Suc\ (Suc\ j-2))=Suc\ j
   by auto
  with \langle min \ (length \ l) \ i = i \rangle and \langle j > Suc \ i \rangle and \langle Suc \ j < length \ l \rangle
 have (l ! j) = (cancel-at \ i \ l ! (j - 2))
   and (l!(Suc j)) = (cancel-at i l! Suc (j-2))
   by(auto simp add:cancel-at-def simp add:nth-append)
  with (cancels-to-1-at i l a)
   and (cancels-to-1-at j l b)
 have canceling (a!(j-2))(a!Suc(j-2))
   \mathbf{by}(auto\ simp\ add:cancels-to-1-at-def)
  with \langle j \rangle Suc \ i \rangle and \langle Suc \ j \rangle = length \ l \rangle and \langle length \ a = length \ l - 2 \rangle
 have cancels-to-1-at (j-2) a ?c by (auto simp add: cancels-to-1-at-def)
  from (length b = length \ l - 2) and \langle j > Suc \ i \rangle and \langle Suc \ j < length \ l \rangle
 have Suc \ i < length \ b by auto
```

```
moreover from \langle b = cancel-at j \mid l \rangle and \langle j > Suc \mid i \rangle and \langle Suc \mid i < length \mid l \rangle
 have (b ! i) = (l ! i) and (b ! Suc i) = (l ! Suc i)
   by (auto simp add:cancel-at-def nth-append)
  with \langle canceling (l ! i) (l ! Suc i) \rangle
 have canceling (b!i) (b! Suc i) by simp
  moreover from \langle j > Suc \ i \rangle and \langle Suc \ j < length \ l \rangle
  have min \ i \ j = i
   and min(j-2)i=i
   and min (length l) j = j
   and min (length l) i = i
   and Suc\ (Suc\ (j-2))=j
   by auto
  with \langle a = cancel - at \ i \ l \rangle and \langle b = cancel - at \ j \ l \rangle and \langle Suc \ (Suc \ (j - 2)) = j \rangle
 have cancel-at (j-2) a = cancel-at i b
   by (auto simp add:cancel-at-def take-drop)
 ultimately have cancels-to-1-at i b (cancel-at (j-2) a)
   by (auto simp add:cancels-to-1-at-def)
  with \langle cancels-to-1-at \ (j-2) \ a \ ?c \rangle
 show \exists c. cancels-to-1-at (j-2) a c \land cancels-to-1-at i \ b \ c by blast
qed
    This is the confluence lemma
lemma confluent-cancels-to-1: confluent cancels-to-1
proof(rule lconfluent-confluent)
 show wfP cancels-to-1^{-1-1} by (rule canceling-terminates)
\mathbf{next}
 \mathbf{fix} \ a \ b \ c
 assume cancels-to-1 a b
 then obtain i where cancels-to-1-at i a b
   \mathbf{by}(simp\ add:\ cancels-to-1-def)(erule\ exE)
 assume cancels-to-1 a c
 then obtain j where cancels-to-1-at j a c
   by(simp add: cancels-to-1-def)(erule exE)
 show \exists d. cancels-to-1^{**} b d \land cancels-to-1^{**} c d
 proof (cases i=j)
   assume i=j
   from (cancels-to-1-at i a b)
     have b = cancel-at i a by (simp\ add:cancels-to-1-at-def)
   moreover from \langle i=j \rangle
     have \dots = cancel-at j a by (clarify)
   moreover from \langle cancels-to-1-at \ j \ a \ c \rangle
     have \dots = c by (simp\ add:cancels-to-1-at-def)
   ultimately have b = c by (simp)
   hence cancels-to-1** b b
     and cancels-to-1** c b by auto
```

```
thus \exists d. cancels-to-1^{**} b d \land cancels-to-1^{**} c d by blast
  \mathbf{next}
   assume i \neq j
   show ?thesis
   proof (cases j = Suc i)
      assume j = Suc i
        with \langle cancels-to-1-at \ i \ a \ b \rangle and \langle cancels-to-1-at \ j \ a \ c \rangle
       have b = c by (auto elim: canceling-neighbor)
      hence cancels-to-1** b b
        and cancels-to-1** c b by auto
      thus \exists d. cancels-to-1^{**} b d \land cancels-to-1^{**} c d by blast
   next
     assume j \neq Suc i
     \mathbf{show} \ ?thesis
      proof (cases \ i = Suc \ j)
       assume i = Suc j
         with (cancels-to-1-at i a b) and (cancels-to-1-at j a c)
         have c = b by (auto elim: canceling-neighbor)
       hence cancels-to-1** b b
         and cancels-to-1** c b by auto
       thus \exists d. cancels-to-1^{**} b d \land cancels-to-1^{**} c d by blast
      \mathbf{next}
        assume i \neq Suc j
       show ?thesis
       proof (cases \ i < j)
         assume i < j
            with \langle j \neq Suc i \rangle have Suc i < j by auto
         with \langle cancels-to-1-at \ i \ a \ b \rangle and \langle cancels-to-1-at \ j \ a \ c \rangle
         obtain d where cancels-to-1-at (j-2) b d and cancels-to-1-at i c d
           \mathbf{by}(erule\ canceling\text{-}indep)
         hence cancels-to-1 b d and cancels-to-1 c d
           by (auto simp add:cancels-to-1-def)
         thus \exists d. \ cancels-to-1^{**} \ b \ d \land cancels-to-1^{**} \ c \ d \ by \ (auto)
         assume \neg i < j
         with \langle j \neq Suc \ i \rangle and \langle i \neq j \rangle and \langle i \neq Suc \ j \rangle have Suc \ j < i by auto
         with \langle cancels-to-1-at \ i \ a \ b \rangle and \langle cancels-to-1-at \ j \ a \ c \rangle
         obtain d where cancels-to-1-at (i - 2) c d and cancels-to-1-at j b d
            by -(erule\ canceling-indep)
         hence cancels-to-1 b d and cancels-to-1 c d
            by (auto simp add:cancels-to-1-def)
         thus \exists d. cancels-to-1^{**} b d \land cancels-to-1^{**} c d by (auto)
       qed
     qed
   qed
  qed
qed
```

And finally, we show that there exists a unique normal form for each word.

```
lemma norm-form-uniq:
 assumes cancels-to a b
    and cancels-to a c
    and canceled b
    and canceled c
 shows b = c
proof-
 have confluent cancels-to-1 by (rule confluent-cancels-to-1)
 from (cancels-to a b) have cancels-to-1^** a b by (simp add: cancels-to-def)
 moreover
 from (cancels-to a c) have cancels-to-1 ** a c by (simp add: cancels-to-def)
 moreover
 from (canceled b) have ¬ DomainP cancels-to-1 b by (simp add: canceled-def)
 moreover
 from (canceled c) have ¬ DomainP cancels-to-1 c by (simp add: canceled-def)
 ultimately
 show b = c
   by (rule confluent-unique-normal-form)
qed
```

1.3.2 Some properties of cancelation

Distributivity rules of cancelation and append.

```
lemma cancel-to-1-append:
 assumes cancels-to-1 a b
 shows cancels-to-1 (l@a@l') (l@b@l')
proof-
 from \langle cancels-to-1 \ a \ b \rangle obtain i where cancels-to-1-at \ i \ a \ b
   by(simp add: cancels-to-1-def)(erule exE)
 hence cancels-to-1-at (length l + i) (l@a@l') (l@b@l')
   by (auto simp add:cancels-to-1-at-def nth-append cancel-at-def)
 thus cancels-to-1 (l@a@l') (l@b@l')
   by (auto simp add: cancels-to-1-def)
qed
\mathbf{lemma}\ \mathit{cancel-to-append}\colon
 assumes cancels-to a b
 shows cancels-to (l@a@l') (l@b@l')
using assms
unfolding cancels-to-def
proof(induct)
 case base show ?case by (simp add:cancels-to-def)
next
 case (step \ b \ c)
 from (cancels-to-1 b c)
 have cancels-to-1 (l @ b @ l') (l @ c @ l') by (rule cancel-to-1-append)
 with \langle cancels-to-1 \hat{\ } ** \ (l @ a @ l') \ (l @ b @ l') \rangle show ?case
   by (auto simp add:cancels-to-def)
```

```
qed
\mathbf{lemma}\ \mathit{cancels-to-append2}\colon
 assumes cancels-to a a'
     and cancels-to b b'
 shows cancels-to (a@b) (a'@b')
using \( cancels-to \( a \) \( a' \)
unfolding cancels-to-def
proof(induct)
 {f case}\ base
 from \langle cancels-to \ b \ b' \rangle have cancels-to \ (a@b@[]) \ (a@b'@[])
   by (rule cancel-to-append)
 thus ?case unfolding cancels-to-def by simp
next
 case (step \ ba \ c)
 from \langle cancels-to-1 \ ba \ c \rangle have cancels-to-1 \ ([]@ba@b') \ ([]@c@b')
   by(rule cancel-to-1-append)
 with \langle cancels-to-1 \hat{\ } ** (a @ b) (ba @ b') \rangle
 show ?case unfolding cancels-to-def by simp
qed
    The empty list is canceled, a one letter word is canceled and a word is
trivially cancled from itself.
lemma empty-canceled[simp]: canceled []
by(auto simp add: canceled-def cancels-to-1-def cancels-to-1-at-def)
lemma singleton-canceled[simp]: canceled [a]
by(auto simp add: canceled-def cancels-to-1-def cancels-to-1-at-def)
lemma cons-canceled:
 assumes canceled (a\#x)
 shows canceled x
proof(rule ccontr)
 assume \neg canceled x
 hence DomainP cancels-to-1 x by (simp add:canceled-def)
 then obtain x' where cancels-to-1 x x' by auto
 then obtain xs1 x1 x2 xs2
   where x: x = xs1 @ x1 \# x2 \# xs2
   and canceling x1 x2 by (rule cancels-to-1-unfold)
 hence cancels-to-1 ((a\#xs1) @ x1 \# x2 \# xs2) ((a\#xs1) @ xs2)
   by (auto intro:cancels-to-1-fold simp del:append-Cons)
 with x
 have cancels-to-1 (a\#x) (a\#xs1 @ xs2)
   by simp
 hence \neg canceled (a\#x) by (auto simp add:canceled-def)
 thus False using \langle canceled (a\#x) \rangle by contradiction
qed
lemma cancels-to-self[simp]: cancels-to l l
```

1.4 Definition of normalization

Using the THE construct, we can define the normalization function *normalize* as the unique fully cancled word that the argument cancels to.

```
definition normalize :: 'a word-g-i \Rightarrow 'a word-g-i where normalize l = (THE\ l'.\ cancels-to\ l\ l' \land canceled\ l')
```

Some obvious properties of the normalize function, and other useful lemmas.

```
lemma
  shows normalized-canceled[simp]: canceled (normalize l)
  and normalized-cancels-to[simp]: cancels-to l (normalize l)
proof-
  let ?Q = \{l'. cancels-to-1^* ** l l'\}
  have l \in ?Q by (auto) hence \exists x. x \in ?Q by (rule exI)
  have wfP cancels-to-1^--1
   by (rule canceling-terminates)
  hence \forall Q. (\exists x. \ x \in Q) \longrightarrow (\exists z \in Q. \ \forall y. \ cancels-to-1 \ z \ y \longrightarrow y \notin Q)
   by (simp add:wfP-eq-minimal)
  hence (\exists x. \ x \in ?Q) \longrightarrow (\exists z \in ?Q. \ \forall y. \ cancels-to-1 \ z \ y \longrightarrow y \notin ?Q)
   by (erule-tac \ x=?Q \ in \ all E)
  then obtain l' where l' \in ?Q and minimal: \bigwedge y. cancels-to-1 l' y \Longrightarrow y \notin ?Q
   by auto
  from (l' \in ?Q) have cancels-to l \ l' by (auto simp add: cancels-to-def)
  have canceled l'
  proof(rule\ ccontr)
  assume ¬ canceled l' hence DomainP cancels-to-1 l' by (simp add: canceled-def)
   then obtain y where cancels-to-1 l' y by auto
   with \langle cancels-to\ l\ l'\rangle have cancels-to l\ y by (auto simp add: cancels-to-def)
   from \langle cancels-to-1 \mid l' \mid y \rangle have y \notin ?Q by (rule \ minimal)
   hence \neg cancels-to-1^*** l y by auto
   hence \neg cancels-to l y by (simp add: cancels-to-def)
    with (cancels-to l y) show False by contradiction
  ged
  from \langle cancels\text{-}to \ l \ l' \rangle and \langle canceled \ l' \rangle
  have cancels-to l \ l' \land canceled \ l' by simp
  hence cancels-to l (normalize l) \land canceled (normalize l)
   unfolding normalize-def
  proof (rule theI)
   fix l'a
   assume cancels-to l l'a \wedge canceled l'a
   thus l'a = l' using \langle cancels-to\ l\ l' \wedge canceled\ l' \rangle by (auto elim:norm-form-uniq)
  qed
```

```
thus canceled (normalize l) and cancels-to l (normalize l) by auto
qed
lemma normalize-discover:
 assumes canceled l'
     and cancels-to l l'
 shows normalize l = l'
proof-
 from \langle canceled \ l' \rangle and \langle cancels-to \ l \ l' \rangle
 have cancels-to l \ l' \land canceled \ l' by auto
 thus ?thesis unfolding normalize-def by (auto elim:norm-form-uniq)
qed
    Words, related by cancelation, have the same normal form.
lemma normalize-canceled[simp]:
 assumes cancels-to l l'
 shows normalize l = normalize l'
proof(rule normalize-discover)
 show canceled (normalize l') by (rule normalized-canceled)
next
 have cancels-to l' (normalize l') by (rule normalized-cancels-to)
 with ⟨cancels-to l l'⟩
 show cancels-to l (normalize l') by (rule cancels-to-trans)
qed
    Normalization is idempotent.
lemma normalize-idemp[simp]:
 assumes canceled l
 shows normalize l = l
using assms
\mathbf{by}(rule\ normalize\text{-}discover)(rule\ cancels\text{-}to\text{-}self)
    This lemma lifts the distributivity results from above to the normalize
function.
lemma normalize-append-cancel-to:
 assumes cancels-to l1 l1'
         cancels-to l2 l2'
 and
 shows normalize (l1 @ l2) = normalize (l1' @ l2')
proof(rule normalize-discover)
 show canceled (normalize (l1' @ l2')) by (rule normalized-canceled)
 from \langle cancels-to\ l1\ l1\ \rangle and \langle cancels-to\ l2\ l2\ \rangle
 have cancels-to (l1 @ l2) (l1' @ l2') by (rule cancels-to-append2)
 have cancels-to (l1' @ l2') (normalize (l1' @ l2')) by (rule normalized-cancels-to)
 show cancels-to (l1 @ l2) (normalize (l1' @ l2')).
qed
```

1.5 Normalization preserves generators

Somewhat obvious, but still required to formalize Free Groups, is the fact that canceling a word of generators of a specific set (and their inverses) results in a word in generators from that set.

```
{\bf lemma}\ cancels-to-1-preserves-generators:
 assumes cancels-to-1 l l'
     and l \in lists (UNIV \times gens)
 shows l' \in lists (UNIV \times gens)
proof-
 from assms obtain i where l' = cancel-at i l
   unfolding cancels-to-1-def and cancels-to-1-at-def by auto
 hence l' = take \ i \ l \ @ \ drop \ (2 + i) \ l \ unfolding \ cancel-at-def.
 hence set l' = set (take i \ l \ @ drop \ (2 + i) \ l) by simp
 moreover
 have ... = set (take i l @ drop (2 + i) l) by auto
 moreover
 have ... \subseteq set (take i \ l) \cup set (drop (2 + i) \ l) by auto
 moreover
 have ... \subseteq set l by (auto dest: in-set-takeD in-set-dropD)
 ultimately
 have set l' \subseteq set \ l by simp
 thus ?thesis using assms(2) by auto
{\bf lemma}\ cancels-to-preserves-generators:
 assumes cancels-to l l'
     and l \in lists (UNIV \times gens)
 shows l' \in lists (UNIV \times gens)
using assms unfolding cancels-to-def by (induct, auto dest:cancels-to-1-preserves-generators)
lemma normalize-preserves-generators:
 assumes l \in lists (UNIV \times qens)
   shows normalize l \in lists (UNIV \times gens)
proof-
 have cancels-to l (normalize l) by simp
 thus ?thesis using assms by(rule cancels-to-preserves-generators)
qed
    Two simplification lemmas about lists.
lemma empty-in-lists[simp]:
 [] \in lists \ A \ by \ auto
lemma lists-empty[simp]: lists {} = {[]}
 by auto
```

1.6 Normalization and renaming generators

Renaming the generators, i.e. mapping them through an injective function, commutes with normalization. Similarly, replacing generators by their inverses and vica-versa commutes with normalization. Both operations are similar enough to be handled at once here.

```
lemma rename-gens-cancel-at: cancel-at i (map f l) = map f (cancel-at i l)
unfolding cancel-at-def by (auto simp add:take-map drop-map)
lemma rename-gens-cancels-to-1:
  assumes inj f
     and cancels-to-1 l l'
   shows cancels-to-1 (map \ (map-pair \ f \ g) \ l) \ (map \ (map-pair \ f \ g) \ l')
proof-
  from \langle cancels-to-1 \ l \ l' \rangle
  obtain ls1 l1 l2 ls2
   where l = ls1 @ l1 # l2 # ls2
     and l' = ls1 @ ls2
     and canceling l1 l2
  by (rule cancels-to-1-unfold)
  from (canceling l1 l2)
  have fst l1 \neq fst l2 and snd l1 = snd l2
   unfolding canceling-def by auto
  from \langle fst \ l1 \neq fst \ l2 \rangle and \langle inj \ f \rangle
  have f(fst l1) \neq f(fst l2) by (auto dest!:inj-on-contraD)
  hence fst\ (map\text{-}pair\ f\ g\ l1) \neq fst\ (map\text{-}pair\ f\ g\ l2) by auto
  moreover
  from \langle snd \ l1 = snd \ l2 \rangle
  have snd\ (map-pair\ f\ g\ l1) = snd\ (map-pair\ f\ g\ l2) by auto
  ultimately
  have canceling (map\text{-pair } f g (l1)) (map\text{-pair } f g (l2))
   unfolding canceling-def by auto
 hence cancels-to-1 (map (map-pair f g) ls1 @ map-pair f g l1 # map-pair f g l2
\# map (map\text{-pair }f\ g)\ ls2)\ (map\ (map\text{-pair }f\ g)\ ls1\ @\ map\ (map\text{-pair }f\ g)\ ls2)
  \mathbf{by}(rule\ cancels-to-1-fold)
  with \langle l = ls1 @ l1 \# l2 \# ls2 \rangle and \langle l' = ls1 @ ls2 \rangle
  show cancels-to-1 (map \ (map-pair \ f \ g) \ l) \ (map \ (map-pair \ f \ g) \ l')
  by simp
qed
lemma rename-gens-cancels-to:
  assumes inj f
     and cancels-to l l'
   shows cancels-to (map \ (map-pair \ f \ g) \ l) \ (map \ (map-pair \ f \ g) \ l')
using \langle cancels-to \ l \ l' \rangle
unfolding cancels-to-def
proof(induct rule:rtranclp-induct)
```

case $(step \ x \ z)$

```
from \langle cancels-to-1 \ x \ z \rangle and \langle inj \ f \rangle
   have cancels-to-1 (map \ (map-pair \ f \ g) \ x) \ (map \ (map-pair \ f \ g) \ z)
     by -(rule\ rename-gens-cancels-to-1)
   with \langle cancels-to-1 \rangle ** (map (map-pair f g) l) (map (map-pair f g) x) \rangle
   show cancels-to-1^*** (map \ (map-pair \ f \ g) \ l) \ (map \ (map-pair \ f \ g) \ z) by auto
qed(auto)
lemma rename-gens-canceled:
 assumes inj-on g (snd'set l)
     and canceled l
 shows canceled (map \ (map \ pair \ f \ g) \ l)
unfolding canceled-def
proof
 have different-images: \bigwedge f \ a \ b. f \ a \neq f \ b \Longrightarrow a \neq b by auto
 assume DomainP cancels-to-1 (map (map-pair f g) l)
 then obtain l' where cancels-to-1 (map (map-pair f g) l) l' by auto
  then obtain i where Suc i < length l
   and canceling (map (map-pair f g) l!i) (map (map-pair f g) l!Suc i)
   by(auto simp add:cancels-to-1-def cancels-to-1-at-def)
  hence f (fst (l ! i)) \neq f (fst (l ! Suc i))
   and g (snd (l!i)) = g (snd (l!Suci))
   by(auto simp add:canceling-def)
  from \langle f \ (fst \ (l \ ! \ i)) \neq f \ (fst \ (l \ ! \ Suc \ i)) \rangle
 have fst (l!i) \neq fst (l!Suci) by -(erule\ different-images)
 moreover
 from \langle Suc \ i < length \ l \rangle
 have snd\ (l!i) \in snd 'set l and snd\ (l!Suc\ i) \in snd 'set l by auto
  with \langle g \ (snd \ (l ! i)) = g \ (snd \ (l ! Suc \ i)) \rangle
 have snd (l!i) = snd (l!Suci)
   using \langle inj\text{-}on \ g \ (image \ snd \ (set \ l)) \rangle
   by (auto dest: inj-onD)
  ultimately
 have canceling (l!i) (l! Suc i) unfolding canceling-def by simp
 with \langle Suc \ i < length \ l \rangle
 have cancels-to-1-at i l (cancel-at i l)
   unfolding cancels-to-1-at-def by auto
 hence cancels-to-1 l (cancel-at i l)
   unfolding cancels-to-1-def by auto
 hence \neg canceled\ l
   unfolding canceled-def by auto
  with \langle canceled \ l \rangle show False by contradiction
qed
lemma rename-gens-normalize:
 assumes ini f
 and inj-on g (snd 'set l)
```

```
shows normalize (map \ (map \ pair \ f \ g) \ l) = map \ (map \ pair \ f \ g) \ (normalize \ l)
proof(rule normalize-discover)
  from \langle inj\text{-}on \ g \ (image \ snd \ (set \ l)) \rangle
 have inj-on g (image snd (set (normalize l)))
 proof (rule subset-inj-on)
   have UNIV-snd: \bigwedge A. A \subseteq UNIV \times snd ' A
     proof fix A and x::'c\times'd assume x\in A
       hence (fst \ x, snd \ x) \in (UNIV \times snd \ `A)
         by -(rule, auto)
       thus x \in (UNIV \times snd 'A) by simp
     qed
   have l \in lists (set l) by auto
   hence l \in lists (UNIV \times snd 'set l)
     by (rule subsetD[OF lists-mono[OF UNIV-snd], of l set l])
   hence normalize l \in lists (UNIV \times snd 'set l)
     by (rule normalize-preserves-generators[of - snd 'set l])
   thus snd ' set (normalize l) \subseteq snd ' set l
     by (auto simp add: lists-eq-set)
  qed
 thus canceled (map \ (map-pair f g) \ (normalize \ l)) by (rule \ rename-gens-canceled, simp)
next
 from \langle inj f \rangle
 show cancels-to (map \ (map-pair \ f \ g) \ l) \ (map \ (map-pair \ f \ g) \ (normalize \ l))
   by (rule rename-gens-cancels-to, simp)
qed
end
```

2 Generators

```
theory Generators

imports

\sim \sim /src/HOL/Algebra/Group

\sim \sim /src/HOL/Algebra/Lattice

begin
```

This theory is not specific to Free Groups and could be moved to a more general place. It defines the subgroup generated by a set of generators and that homomorphisms agree on the generated subgroup if they agree on the generators.

```
notation subgroup (infix \leq 80)
```

2.1 The subgroup generated by a set

The span of a set of subgroup generators, i.e. the generated subgroup, can be defined inductively or as the intersection of all subgroups containing the

```
generators. Here, we define it inductively and proof the equivalence
inductive-set gen-span :: ('a,'b) monoid-scheme \Rightarrow 'a set \Rightarrow 'a set (\langle - \rangle 1)
  for G and gens
where gen-one [intro!, simp]: \mathbf{1}_G \in \langle gens \rangle_G
       gen-gens: x \in gens \Longrightarrow x \in \langle gens \rangle_G
      | gen\text{-}inv: x \in \langle gens \rangle_G \Longrightarrow inv_G \ x \in \langle gens \rangle_G \\ | gen\text{-}mult: [ [x \in \langle gens \rangle_G; y \in \langle gens \rangle_G] ] \Longrightarrow x \otimes_G y \in \langle gens \rangle_G 
lemma (in group) gen-span-closed:
  assumes gens \subseteq carrier G
  shows \langle gens \rangle_G \subseteq carrier G
proof
  \mathbf{fix} \ x
  from assms show x \in \langle gens \rangle_G \Longrightarrow x \in carrier G
     by -(induct\ rule:gen-span.induct,\ auto)
qed
lemma (in group) gen-subgroup-is-subgroup:
       gens \subseteq carrier \ G \Longrightarrow \langle gens \rangle_G \subseteq G
\mathbf{by}(\mathit{rule}\ \mathit{subgroupI})(\mathit{auto}\ \mathit{intro} : \mathit{gen-span}.\mathit{intros}\ \mathit{simp}\ \mathit{add} : \mathit{gen-span}-\mathit{closed})
lemma (in group) gen-subgroup-is-smallest-containing:
  assumes gens \subseteq carrier G
     shows \bigcap \{H.\ H \leq G \land gens \subseteq H\} = \langle gens \rangle_G
  show \langle gens \rangle_G \subseteq \bigcap \{H. \ H \leq G \land gens \subseteq H\}
  proof(rule Inf-greatest)
     assume H \in \{H. H \leq G \land gens \subseteq H\}
     hence H \leq G and gens \subseteq H by auto
     show \langle gens \rangle_G \subseteq H
    proof
       \mathbf{fix} \ x
       from \langle H \leq G \rangle and \langle gens \subseteq H \rangle
       show x \in \langle gens \rangle_G \Longrightarrow x \in H
        unfolding subgroup-def
        by -(induct\ rule:gen-span.induct,\ auto)
     qed
  qed
\mathbf{next}
  from \langle gens \subseteq carrier \ G \rangle
  have \langle gens \rangle_G \leq G by (rule\ gen-subgroup-is-subgroup)
  moreover
  have gens \subseteq \langle gens \rangle_G by (auto intro:gen-span.intros)
  ultimately
  show \bigcap \{H.\ H \leq G \land gens \subseteq H\} \subseteq \langle gens \rangle_G
     \mathbf{by}(auto\ intro:Inter-lower)
qed
```

2.2 Generators and homomorphisms

Two homorphisms agreeing on some elements agree on the span of those elements.

```
lemma hom-unique-on-span:
  assumes group G
     and group H
     and gens \subseteq carrier G
     and h \in hom \ G \ H
     and h' \in hom \ G \ H
     and \forall g \in gens. \ h \ g = h' \ g
  shows \forall x \in \langle gens \rangle_G. h x = h' x
proof
  interpret G: group G by fact
  interpret H: group H by fact
  interpret h: group-hom G H h by unfold-locales fact
 interpret h': group-hom G H h' by unfold-locales fact
 from \langle gens \subseteq carrier \ G \rangle have \langle gens \rangle_G \subseteq carrier \ G by (rule \ G.gen-span-closed)
  with assms show x \in \langle gens \rangle_G \Longrightarrow h \ x = h' \ x \ apply -
  proof(induct rule:gen-span.induct)
   case (gen\text{-}mult\ x\ y)
     hence x: x \in carrier G and y: y \in carrier G and
           hx: h x = h' x \text{ and } hy: h y = h' y \text{ by } auto
     thus h(x \otimes_G y) = h'(x \otimes_G y) by simp
  qed auto
qed
```

2.3 Sets of generators

There is no definition for "gens is a generating set of G". This is easily expressed by $\langle gens \rangle = carrier G$.

The following is an application of *hom-unique-on-span* on a generating set of the whole group.

```
lemma (in group) hom-unique-by-gens:
assumes group H
and gens: \langle gens \rangle_G = carrier \ G
and h \in hom \ G \ H
and \forall g \in gens \ h \ g = h' \ g
shows \forall x \in carrier \ G. \ h \ x = h' \ x
proof
fix x

from gens have gens \subseteq carrier \ G by (auto intro:gen-span.gen-gens)
with assms and group-axioms have r: \forall x \in \langle gens \rangle_G. h \ x = h' \ x
by -(erule \ hom-unique-on-span, \ auto)
```

```
with gens show x \in carrier G \Longrightarrow h \ x = h' \ x by auto
lemma (in group-hom) hom-span:
  assumes gens \subseteq carrier G
  shows h '(\langle gens \rangle_G) = \langle h 'gens \rangle_H
proof(rule Set.set-eqI, rule iffI)
  \mathbf{from} \ \langle gens \subseteq carrier \ G \rangle
  have \langle gens \rangle_G \subseteq carrier\ G by (rule\ G.gen\text{-}span\text{-}closed)
  \mathbf{fix} \ y
  assume y \in h ' \langle gens \rangle_G
  then obtain x where x \in \langle gens \rangle_G and y = h x by auto
  from \langle x \in \langle gens \rangle_G \rangle
  have h x \in \langle h \text{ '} gens \rangle_H
  proof(induct \ x)
    case (gen-inv \ x)
    hence x \in carrier\ G and h\ x \in \langle h\ 'gens \rangle_H
      using \langle \langle gens \rangle_G \subseteq carrier G \rangle
      by auto
    thus ?case by (auto intro:gen-span.intros)
  next
    case (gen\text{-}mult\ x\ y)
    hence x \in carrier \ G \ \text{and} \ h \ x \in \langle h \ `gens \rangle_H
    and y \in carrier \ G and h \ y \in \langle h \ 'gens \rangle_H
      using \langle \langle gens \rangle_G \subseteq carrier G \rangle
    thus ?case by (auto intro:gen-span.intros)
  qed(auto intro: gen-span.intros)
  with \langle y = h | x \rangle
  show y \in \langle h \text{ '} gens \rangle_H by simp
next
  \mathbf{fix} \ x
  show x \in \langle h \text{ '} gens \rangle_H \Longrightarrow x \in h \text{ '} \langle gens \rangle
  proof(induct x rule:gen-span.induct)
    case (qen-inv y)
      then obtain x where y = h x and x \in \langle gens \rangle by auto
      hence x \in carrier \ G using \langle gens \subseteq carrier \ G \rangle
        by (auto dest: G.gen-span-closed)
      ultimately show ?case
          by (auto intro:hom-inv[THEN sym] rev-image-eqI gen-span.gen-inv simp
del:group-hom.hom-inv hom-inv)
  \mathbf{next}
   case (gen-mult y y')
      then obtain x and x'
        where y = h x and x \in \langle gens \rangle
        and y' = h x' and x' \in \langle gens \rangle by auto
      moreover
```

```
\begin{array}{l} \textbf{hence} \ x \in carrier \ G \ \textbf{and} \ x' \in carrier \ G \ \textbf{using} \ \langle gens \subseteq carrier \ G \rangle \\ \textbf{by} \ (auto \ dest: G.gen-span-closed) \\ \textbf{ultimately show} \ ?case \\ \textbf{by} \ (auto \ intro: hom-mult[THEN \ sym] \ rev-image-eqI \ gen-span.gen-mult \ simp \\ del: group-hom.hom-mult \ hom-mult) \\ \textbf{qed} (auto \ intro: rev-image-eqI \ intro: gen-span.intros) \\ \textbf{qed} \end{array}
```

2.4 Product of a list of group elements

Not strictly related to generators of groups, this is still a general group concept and not related to Free Groups.

```
abbreviation (in monoid) m-concat
  where m-concat l \equiv foldr (op \otimes) l \mathbf{1}
lemma (in monoid) m-concat-closed[simp]:
 set \ l \subseteq carrier \ G \Longrightarrow m\text{-}concat \ l \in carrier \ G
 by (induct l, auto)
lemma (in monoid) m-concat-append[simp]:
  assumes set \ a \subseteq carrier \ G
     and set b \subseteq carrier G
 shows m-concat (a@b) = m-concat a \otimes m-concat b
using assms
\mathbf{by}(induct\ a)(auto\ simp\ add:\ m\text{-}assoc)
lemma (in monoid) m-concat-cons[simp]:
  \llbracket x \in carrier \ G ; set \ xs \subseteq carrier \ G \rrbracket \Longrightarrow m\text{-}concat \ (x\#xs) = x \otimes m\text{-}concat \ xs
by(induct xs)(auto simp add: m-assoc)
lemma (in monoid) nat-pow-mult11:
  assumes x: x \in carrier G
 shows x \otimes x ( \hat{} ) n = x ( \hat{} ) Suc n
proof-
  have x \otimes x (^) n = x (^) (1::nat) \otimes x (^) n using x by auto
  also have \dots = x (\hat{\ }) (1 + n) using x
      by (auto dest:nat-pow-mult simp del:One-nat-def)
 also have \dots = x ( ) Suc n by simp
 finally show x \otimes x (^) n = x (^) Suc n.
qed
lemma (in monoid) m-concat-power[simp]: x \in carrier G \Longrightarrow m\text{-}concat (replicate
n(x) = x(\hat{\ }) n
by(induct n, auto simp add:nat-pow-mult1l)
```

2.5 Isomorphisms

A nicer way of proving that something is a group homomorphism or isomorphism.

```
lemma group-homI[intro]:
  assumes range: h ' (carrier g1) \subseteq carrier g2
     and hom: \forall x \in carrier \ g1. \forall y \in carrier \ g1. h \ (x \otimes_{q1} y) = h \ x \otimes_{q2} h \ y
 shows h \in hom \ g1 \ g2
proof-
  have h \in carrier g1 \rightarrow carrier g2 using range by auto
 thus h \in hom \ g1 \ g2 \ using \ hom \ unfolding \ hom-def \ by \ auto
qed
lemma (in group-hom) hom-injI:
 assumes \forall x \in carrier G. \ h \ x = \mathbf{1}_H \longrightarrow x = \mathbf{1}_G
 shows inj-on h (carrier G)
unfolding inj-on-def
proof(rule ballI, rule ballI, rule impI)
  \mathbf{fix} \ x
  \mathbf{fix} \ y
 assume x: x \in carrier G
    and y: y \in carrier G
    and h x = h y
  hence h(x \otimes inv y) = \mathbf{1}_H and x \otimes inv y \in carrier G
   by auto
  with assms
 have x \otimes inv \ y = 1 by auto
  thus x = y using x and y
   \mathbf{by}(auto\ dest:\ G.inv-equality)
qed
lemma (in group-hom) group-hom-isoI:
 assumes inj1: \forall x \in carrier G. \ h \ x = \mathbf{1}_H \longrightarrow x = \mathbf{1}_G
     and surj: h ' (carrier G) = carrier H
 shows h \in G \cong H
proof-
  from inj1
  have inj-on h (carrier G)
   \mathbf{by}(auto\ intro:\ hom-injI)
  hence bij: bij-betw h (carrier G) (carrier H)
   using surj unfolding bij-betw-def by auto
  thus h \in G \cong H
   unfolding iso-def by auto
qed
lemma group-isoI[intro]:
  assumes G: group G
     and H: group H
     and inj1: \forall x \in carrier \ G. \ h \ x = \mathbf{1}_H \longrightarrow x = \mathbf{1}_G
```

```
and surj: h ' (carrier\ G) = carrier\ H and hom: \forall\ x \in carrier\ G. \forall\ y \in carrier\ G. h (x \otimes_G y) = h\ x \otimes_H h\ y shows h \in G \cong H proof—
from surj have h \in carrier\ G \to carrier\ H by auto then interpret group\text{-}hom\ G\ H\ h\ using\ G\ and\ H\ and\ hom by (auto\ intro!:\ group\text{-}hom.intro\ group\text{-}hom\text{-}axioms.intro}) show ?thesis using assms unfolding hom\text{-}def by (auto\ intro:\ group\text{-}hom\text{-}isoI) qed end
```

3 The Free Group

```
theory Free Groups

imports

\sim \sim / src/HOL/Algebra/Group

Cancelation

Generators

begin
```

Based on the work in *Cancelation*, the free group is now easily defined over the set of fully canceled words with the corresponding operations.

3.1 Inversion

To define the inverse of a word, we first create a helper function that inverts a single generator, and show that it is self-inverse.

```
definition inv1 :: 'a \ g - i \Rightarrow 'a \ g - i
where inv1 = apfst \ Not

lemma inv1 - inv1 :: inv1 \circ inv1 = id
by (simp \ add :: fun-eq-iff \ comp-def \ inv1-def)

lemmas inv1 - inv1 - simp \ [simp] = inv1 - inv1 \ [unfolded \ id-def]

lemma snd - inv1 :: snd \circ inv1 = snd
by (simp \ add :: fun-eq-iff \ comp-def \ inv1-def)

The inverse of a word is obtained by reversing the order of the generators and inverting each generator using inv1. Some properties of inv - fg are noted. definition inv - fg :: 'a \ word - g - i \Rightarrow 'a \ word - g - i
where inv - fg \ l = rev \ (map \ inv1 \ l)
```

lemma cancelling-inf[simp]: canceling (inv1 a) (inv1 b) = canceling a b

by(simp add: canceling-def inv1-def)

```
lemma inv-idemp: inv-fg (inv-fg l) = l
 by (auto simp add:inv-fg-def rev-map)
lemma inv\text{-}fg\text{-}cancel: normalize (l @ inv\text{-}fg \ l) = []
proof(induct l rule:rev-induct)
 case Nil thus ?case
   by (auto simp add: inv-fg-def)
next
 case (snoc \ x \ xs)
 have canceling x (inv1 x) by (simp add:inv1-def canceling-def)
 moreover
 let ?i = length xs
 have Suc ?i < length xs + 1 + 1 + length xs
   by auto
 moreover
 have inv-fg (xs @ [x]) = [inv1 x] @ inv-fg xs
   by (auto simp add:inv-fg-def)
 ultimately
 have cancels-to-1-at ?i (xs @ [x] @ (inv-fg (xs @ [x]))) (xs @ inv-fg xs)
   by (auto simp add:cancels-to-1-at-def cancel-at-def nth-append)
 hence cancels-to-1 (xs @ [x] @ (inv-fg (xs @ [x]))) (xs @ inv-fg xs)
   by (auto simp add: cancels-to-1-def)
 hence cancels-to (xs @ [x] @ (inv-fg (xs @ [x]))) (xs @ inv-fg xs)
   by (auto simp add:cancels-to-def)
 with \langle normalize \ (xs \ @ \ (inv-fg \ xs)) = [] \rangle
 show normalize ((xs @ [x]) @ (inv-fg (xs @ [x]))) = []
   by auto
\mathbf{qed}
lemma inv-fg-cancel2: normalize (inv-fg l @ l) = []
 have normalize (inv-fg l \otimes inv-fg (inv-fg l)) = [] by (rule inv-fg-cancel)
 thus normalize (inv-fg l @ l) = [] by (simp add: inv-idemp)
qed
lemma canceled-rev:
 assumes canceled l
 shows canceled (rev l)
proof(rule\ ccontr)
 assume \neg canceled (rev \ l)
 hence DomainP cancels-to-1 (rev l) by (simp add: canceled-def)
 then obtain l' where cancels-to-1 (rev l) l' by auto
 then obtain i where cancels-to-1-at i (rev l) l' by (auto simp add:cancels-to-1-def)
 hence Suc i < length (rev l)
   and canceling (rev l!i) (rev l! Suc i)
   by (auto simp add:cancels-to-1-at-def)
 let ?x = length \ l - i - 2
 from \langle Suc \ i < length \ (rev \ l) \rangle
```

```
have Suc ?x < length l by auto
  moreover
 from \langle Suc \ i < length \ (rev \ l) \rangle
 have i < length \ l and length \ l - Suc \ i = Suc(length \ l - Suc \ (Suc \ i)) by auto
 hence rev \ l \ ! \ i = l \ ! \ Suc \ ?x and rev \ l \ ! \ Suc \ i = l \ ! \ ?x
   by (auto simp add: rev-nth map-nth)
  with \langle canceling (rev \ l \ ! \ i) \ (rev \ l \ ! \ Suc \ i) \rangle
 have canceling (l ! Suc ?x) (l ! ?x) by auto
 hence canceling (l ! ?x) (l ! Suc ?x) by (rule \ cancel-sym)
 hence canceling (l ! ?x) (l ! Suc ?x) by simp
 ultimately
 have cancels-to-1-at ?x \ l \ (cancel-at \ ?x \ l)
   by (auto simp add:cancels-to-1-at-def)
 hence cancels-to-1 l (cancel-at ?x l)
   by (auto simp add:cancels-to-1-def)
 hence \neg canceled l
   by (auto simp add:canceled-def)
  with (canceled l) show False by contradiction
qed
lemma inv-fg-closure1:
 assumes canceled l
 shows canceled (inv-fg l)
unfolding inv-fg-def and inv1-def and apfst-def
proof-
 have inj Not by (auto intro:injI)
 moreover
 have inj-on id (snd 'set l) by auto
 ultimately
 have canceled (map (map-pair Not id) l)
   using \langle canceled \ l \rangle
   by -(rule rename-gens-canceled)
 thus canceled (rev (map (map-pair Not id) l)) by (rule canceled-rev)
qed
lemma inv-fq-closure2:
 l \in lists (UNIV \times gens) \Longrightarrow inv-fg \ l \in lists (UNIV \times gens)
 by (auto iff:lists-eq-set simp add:inv1-def inv-fg-def)
```

3.2 The definition

Finally, we can define the Free Group over a set of generators, and show that it is indeed a group.

```
definition free-group :: 'a set => ((bool * 'a) list) monoid (\mathcal{F}_1) where \mathcal{F}_{gens} \equiv \{ \{ l \in lists \ (UNIV \times gens). \ canceled \ l \ \}, \\ mult = \lambda \ x \ y. \ normalize \ (x @ y), \\ one = [ ]
```

```
lemma occuring-gens-in-element:
  x \in carrier \mathcal{F}_{gens} \Longrightarrow x \in lists (UNIV \times gens)
by(auto simp add:free-group-def)
theorem free-group-is-group: group \mathcal{F}_{gens}
proof
  \mathbf{fix} \ x \ y
  assume x \in carrier \mathcal{F}_{qens} hence x: x \in lists (UNIV \times gens) by
    (rule occurring-gens-in-element)
  assume y \in carrier \mathcal{F}_{gens} hence y: y \in lists (UNIV \times gens) by
    (rule occuring-gens-in-element)
  from x and y
  have x \otimes_{\mathcal{F}_{qens}} y \in \mathit{lists} (\mathit{UNIV} \times \mathit{gens})
  by (auto intro!: normalize-preserves-generators simp add:free-group-def append-in-lists-conv)
  thus x \otimes_{\mathcal{F}_{qens}} y \in carrier \mathcal{F}_{gens}
    by (auto simp add:free-group-def)
\mathbf{next}
  \mathbf{fix} \ x \ y \ z
  have cancels-to (x @ y) (normalize (x @ (y::'a word-q-i)))
  and cancels-to z (z::'a word-g-i)
   by auto
  hence normalize (normalize (x @ y) @ z) = normalize ((x @ y) @ z)
    by (rule normalize-append-cancel-to[THEN sym])
  also
  have \dots = normalize (x @ (y @ z)) by auto
  have cancels-to (y @ z) (normalize (y @ (z::'a word-g-i)))
  and cancels-to x (x::'a word-g-i)
  hence normalize (x @ (y @ z)) = normalize (x @ normalize (y @ z))
    by -(rule\ normalize-append-cancel-to)
  finally
  show x \otimes_{\mathcal{F}gens} y \otimes_{\mathcal{F}gens} z =
        x \otimes_{\mathcal{F}_{gens}} (y \otimes_{\mathcal{F}_{gens}} z)
   by (auto simp add:free-group-def)
  show 1_{\mathcal{F}_{gens}} \in carrier \mathcal{F}_{gens}
    by (auto simp add:free-group-def)
next
  \mathbf{fix} \ x
  assume x \in carrier \mathcal{F}_{gens}
  thus \mathbf{1}_{\mathcal{F}_{qens}} \otimes_{\mathcal{F}_{qens}} x = x
    by (auto simp add:free-group-def)
next
 \mathbf{fix} \ x
```

)

```
assume x \in carrier \mathcal{F}_{gens}
  thus x \otimes_{\mathcal{F}_{qens}} \mathbf{1}_{\mathcal{F}_{qens}} = x
    by (auto simp add:free-group-def)
  show carrier \mathcal{F}_{qens} \subseteq Units \mathcal{F}_{qens}
  proof (simp add:free-group-def Units-def, rule subsetI)
    \mathbf{fix} \ x :: 'a \ word-g-i
    let ?x' = inv - fg x
    assume x \in \{y \in lists(UNIV \times gens). canceled y\}
    hence ?x' \in lists(UNIV \times gens) \land canceled ?x'
      by (auto elim:inv-fg-closure1 simp add:inv-fg-closure2)
    moreover
    have normalize (?x' @ x) = []
     and normalize (x @ ?x') = []
      by (auto simp add:inv-fg-cancel inv-fg-cancel2)
    ultimately
    have \exists y. y \in lists (UNIV \times gens) \land
                   canceled y \land
                   normalize (y @ x) = [] \land normalize (x @ y) = []
      by auto
    with \langle x \in \{y \in lists(UNIV \times gens). canceled y\} \rangle
    show x \in \{y \in lists (UNIV \times gens). canceled y \land
          (\exists x. \ x \in lists \ (UNIV \times gens) \land
                   canceled x \land
                   normalize (x @ y) = [] \land normalize (y @ x) = []) 
      by auto
  qed
qed
lemma inv-is-inv-fg[simp]:
 x \in carrier \mathcal{F}_{gens} \Longrightarrow inv_{\mathcal{F}_{gens}} x = inv - fg x
\mathbf{by}\ (\textit{rule group.inv-equality}, \textit{auto simp add:} \textit{free-group-is-group}, \textit{auto simp add:} \textit{free-group-def}
inv-fg-cancel inv-fg-cancel2 inv-fg-closure1 inv-fg-closure2)
```

3.3 The universal property

Free Groups are important due to their universal property: Every map of the set of generators to another group can be extended uniquely to an homomorphism from the Free Group.

```
definition insert (\iota)
where \iota g = [(False, g)]

lemma insert-closed:
g \in gens \Longrightarrow \iota g \in carrier \mathcal{F}_{gens}
by (auto simp add:insert-def free-group-def)

definition (in group) lift-gi
where lift-gi f gi = (if fst gi then inv (f (snd gi)) else f (snd gi))
```

```
lemma (in group) lift-gi-closed:
  assumes cl: f \in gens \rightarrow carrier G
     and snd \ qi \in gens
 shows lift-gi f gi \in carrier G
using assms by (auto simp add:lift-gi-def)
definition (in group) lift
  where lift f w = m\text{-}concat (map (lift-gi f) w)
lemma (in group) lift-nil[simp]: lift f [] = 1
by (auto simp add:lift-def)
lemma (in group) lift-closed[simp]:
  assumes cl: f \in gens \rightarrow carrier G
      and x \in lists (UNIV \times qens)
 shows lift f x \in carrier G
proof-
  have set (map\ (lift\text{-}gi\ f)\ x) \subseteq carrier\ G
    using \langle x \in lists (UNIV \times gens) \rangle
    by (auto simp add:lift-gi-closed[OF cl])
  thus lift f x \in carrier G
    by (auto simp add:lift-def)
qed
lemma (in group) lift-append[simp]:
  assumes cl: f \in gens \rightarrow carrier G
     and x \in lists (UNIV \times gens)
     and y \in lists (UNIV \times gens)
 \mathbf{shows} \,\, \mathit{lift} \,\, f \,\, (x \,\, @ \,\, y) \,=\, \mathit{lift} \,\, f \,\, x \,\otimes\, \mathit{lift} \,\, f \,\, y
proof-
  from \langle x \in lists (UNIV \times gens) \rangle
 have set (map \ snd \ x) \subseteq gens \ \mathbf{by} \ auto
 hence set (map\ (lift\text{-}gi\ f)\ x) \subseteq carrier\ G
    by (induct \ x)(auto \ simp \ add: lift-gi-closed[OF \ cl])
 moreover
 from \langle y \in lists (UNIV \times gens) \rangle
  have set (map \ snd \ y) \subseteq gens \ by \ auto
  hence set (map\ (lift\text{-}gi\ f)\ y) \subseteq carrier\ G
    by (induct y)(auto simp add:lift-gi-closed[OF cl])
  ultimately
  show lift f(x@y) = lift f x \otimes lift f y
    by (auto simp add:lift-def m-assoc simp del:set-map foldr-append)
qed
lemma (in group) lift-cancels-to:
  assumes cancels-to x y
     and x \in lists (UNIV \times gens)
     and cl: f \in gens \rightarrow carrier G
```

```
shows lift f x = lift f y
using assms
{\bf unfolding} \ {\it cancels-to-def}
proof(induct rule:rtranclp-induct)
  case (step \ y \ z)
   from \langle cancels\text{-}to\text{-}1^{**} \ x \ y \rangle
   and \langle x \in lists (UNIV \times gens) \rangle
   have y \in lists (UNIV \times gens)
      by -(rule cancels-to-preserves-generators, simp add:cancels-to-def)
   hence lift\ f\ x = lift\ f\ y
      using step by auto
   also
   from \langle cancels-to-1 \ y \ z \rangle
   obtain ys1 y1 y2 ys2
      where y: y = ys1 @ y1 # y2 # ys2
     and z = ys1 @ ys2
     and canceling y1 y2
   by (rule cancels-to-1-unfold)
   have lift f y = lift f (ys1 @ [y1] @ [y2] @ ys2)
      using y by simp
   also
   from y and cl and \langle y \in lists (UNIV \times gens) \rangle
   have lift f (ys1 @ [y1] @ [y2] @ ys2)
       = lift f ys1 \otimes (lift f [y1] \otimes lift f [y2]) \otimes lift f ys2
       by (auto intro:lift-append[OF cl] simp del: append-Cons simp add:m-assoc
iff: lists-eq-set)
   also
   from cl[THEN funcset-image]
    and y and \langle y \in lists (UNIV \times gens) \rangle
    and (canceling y1 y2)
   have (lift f[y1] \otimes lift f[y2]) = 1
     by (auto simp add:lift-def lift-gi-def canceling-def iff:lists-eq-set)
   hence lift f ys1 \otimes (lift f [y1] \otimes lift f [y2]) \otimes lift f <math>ys2
          = lift f ys1 \otimes \mathbf{1} \otimes lift f ys2
     \mathbf{by} \ simp
   also
   from y and \langle y \in lists (UNIV \times gens) \rangle
    have lift f ys1 \otimes 1 \otimes lift f ys2 = lift f (ys1 @ ys2)
     by (auto intro:lift-append iff:lists-eq-set)
   also
   from \langle z = ys1 @ ys2 \rangle
   have lift f(ys1 \otimes ys2) = lift fz by simp
   finally show lift f x = lift f z.
\mathbf{qed} auto
lemma (in group) lift-is-hom:
 assumes cl: f \in gens \rightarrow carrier G
 shows lift f \in hom \mathcal{F}_{qens} G
```

```
proof-
  {
    \mathbf{fix} \ x
    assume x \in carrier \mathcal{F}_{qens}
    hence x \in lists (UNIV \times gens)
       unfolding free-group-def by simp
    \mathbf{hence}\ \mathit{lift}\ f\ x \in \mathit{carrier}\ G
     by (induct x, auto simp add:lift-def lift-gi-closed[OF cl])
  moreover
  { fix x
    assume x \in carrier \mathcal{F}_{qens}
    assume y \in carrier \mathcal{F}_{qens}
    from \langle x \in carrier \ \mathcal{F}_{gens} \rangle and \langle y \in carrier \ \mathcal{F}_{gens} \rangle
    have x \in lists (UNIV \times gens) and y \in lists (UNIV \times gens)
      by (auto simp add:free-group-def)
    have cancels-to (x @ y) (normalize (x @ y)) by simp
    from \langle x \in lists (UNIV \times gens) \rangle and \langle y \in lists (UNIV \times gens) \rangle
     and lift-cancels-to [THEN sym, OF \langle cancels-to (x @ y) (normalize (x @ y)) \rangle]
    have lift f(x \otimes_{\mathcal{F}_{qens}} y) = lift f(x @ y)
      by (auto simp add:free-group-def iff:lists-eq-set)
    also
    from \langle x \in lists (UNIV \times gens) \rangle and \langle y \in lists (UNIV \times gens) \rangle and cl
    have lift f(x @ y) = lift f(x \otimes lift) f(y)
      by simp
    finally
    have lift f(x \otimes_{\mathcal{F}_{qens}} y) = lift f(x \otimes lift f(y)).
  ultimately
  show lift f \in hom \mathcal{F}_{qens} G
    \mathbf{by} auto
qed
lemma gens-span-free-group:
shows \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{gens}} = carrier \mathcal{F}_{gens}
proof
  interpret group \mathcal{F}_{gens} by (rule free-group-is-group)
  show \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{qens}} \subseteq carrier \mathcal{F}_{gens}
  by(rule gen-span-closed, auto simp add:insert-def free-group-def)
  show carrier \mathcal{F}_{gens} \subseteq \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{qens}}
  proof
    \mathbf{fix} \ x
    show x \in carrier \mathcal{F}_{gens} \Longrightarrow x \in \langle \iota : gens \rangle_{\mathcal{F}_{gens}}
```

```
proof(induct x)
{\bf case}\ Nil
  have one \mathcal{F}_{gens} \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{gens}}
     \mathbf{by} \ simp
  thus [] \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{qens}}
     by (simp add:free-group-def)
next
case (Cons\ a\ x)
  from \langle a \# x \in carrier \mathcal{F}_{gens} \rangle
  have x \in carrier \mathcal{F}_{gens}
     by (auto intro:cons-canceled simp add:free-group-def)
  hence x \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{gens}}
     using Cons by simp
  moreover
  from \langle a \# x \in carrier \mathcal{F}_{gens} \rangle
  have snd \ a \in gens
     by (auto simp add:free-group-def)
  hence isa: \iota (snd a) \in \langle \iota \text{ 'gens} \rangle_{\mathcal{F}_{qens}}
     \mathbf{by}\ (\mathit{auto}\ \mathit{simp}\ \mathit{add}{:}\mathit{insert-def}\ \mathit{intro}{:}\mathit{gen-gens})
  have [a] \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{qens}}
  proof(cases fst a)
     {f case}\ {\it False}
        hence [a] = \iota \ (snd \ a) by (cases \ a, \ auto \ simp \ add:insert-def)
         with isa show [a] \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{qens}} by simp
    \mathbf{next}
     {f case}\ {\it True}
        from \langle snd \ a \in gens \rangle
        have \iota (snd a) \in carrier \mathcal{F}_{qens}
          by (auto simp add:free-group-def insert-def)
        with True
        have [a] = inv_{\mathcal{F}_{qens}} (\iota (snd \ a))
          by (cases a, auto simp add:insert-def inv-fg-def inv1-def)
        moreover
        from isa
       have \mathit{inv}_{\mathcal{F}\mathit{gens}}\ (\iota\ (\mathit{snd}\ a)) \in \langle\iota\ '\mathit{gens}\rangle_{\mathcal{F}\mathit{gens}}
          by (auto intro:gen-inv)
        ultimately
        show [a] \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{gens}}
          by simp
  qed
  ultimately
  have mult \mathcal{F}_{gens}[a] \ x \in \langle \iota \text{ '} gens \rangle_{\mathcal{F}_{gens}}
     by (auto intro:gen-mult)
  with
  \langle a \# x \in carrier \mathcal{F}_{gens} \rangle
  show a \# x \in \langle \iota \text{ 'gens} \rangle_{\mathcal{F}_{qens}} by (simp \ add: free-group-def)
qed
```

```
qed
lemma (in group) lift-is-unique:
  assumes group G
  and cl: f \in gens \rightarrow carrier G
  and h \in hom \mathcal{F}_{gens} G
  and \forall g \in gens. \ h (\iota g) = f g
  shows \forall x \in carrier \mathcal{F}_{gens}. h x = lift f x
unfolding gens-span-free-group[THEN sym]
\mathbf{proof}(\mathit{rule\ hom\text{-}unique\text{-}on\text{-}span}[\mathit{of\ }\mathcal{F}_{\mathit{gens}\ \mathit{G}}])
  show group \mathcal{F}_{gens} by (rule free-group-is-group)
\mathbf{next}
  show group G by fact
next
  show \iota 'gens \subseteq carrier \mathcal{F}_{qens}
    by(auto intro:insert-closed)
\mathbf{next}
  show h \in hom \mathcal{F}_{qens} G by fact
  show lift f \in hom \mathcal{F}_{gens} G by (rule \ lift-is-hom[OF \ cl])
\mathbf{next}
  from \forall g \in gens. \ h \ (\iota \ g) = f \ g \land \ \mathbf{and} \ \ cl[\mathit{THEN funcset-image}]
  \mathbf{show} \,\, \forall \, g \in \iota \,\, \text{`gens. } h \,\, g = \mathit{lift} \, f \, g
    by(auto simp add:insert-def lift-def lift-gi-def)
qed
end
4
       The Unit Group
theory UnitGroup
imports
   \sim \sim /src/HOL/Algebra/Group
   Generators
begin
     There is, up to isomorphisms, only one group with one element.
definition unit-group :: unit monoid
where
  unit-group \equiv (
     carrier = \mathit{UNIV},
     mult = \lambda x y. (),
```

qed

one = ()

theorem unit-group-is-group: group unit-group **by** (rule groupI, auto simp add:unit-group-def)

```
theorem (in group) unit-group-unique:
assumes card (carrier G) = 1
shows \exists h. h \in G \cong unit-group

proof—
from assms obtain x where carrier G = \{x\} by (auto dest: card-eq-SucD)
hence (\lambda x. ()) \in G \cong unit-group
by -(rule\ group-isoI, auto\ simp\ add:unit-group-is-group is-group, simp\ add:unit-group-def)
thus ?thesis by auto
qed

end
theory C2
imports ^{\sim}/src/HOL/Algebra/Group
begin
```

5 The group C2

The two-element group is defined over the set of boolean values. This allows to use the equality of boolean values as the group operation.

```
definition C2
where C2 = (|| carrier = UNIV|, mult = op =, one = True ||)
lemma [simp]: op \otimes_{C2} = op =
unfolding C2-def by simp
lemma [simp]: \mathbf{1}_{C2} = True
unfolding C2-def by simp
lemma [simp]: carrier C2 = UNIV
unfolding C2-def by simp
lemma C2-is-group: group C2
unfolding C2-def
by (rule \ groupI), (auto \ simp \ add: Units-def)
end
```

6 Isomorphisms of Free Groups

```
theory Isomorphisms imports
UnitGroup \\ \sim \sim /src/HOL/Algebra/IntRing \\ FreeGroups \\ C2 \\ \sim \sim /src/HOL/Cardinals/Cardinal-Order-Relation
```

6.1 The Free Group over the empty set

The Free Group over an empty set of generators is isomorphic to the trivial group.

```
\begin{array}{l} \mathbf{lemma} \ \mathit{free-group-over-empty-set:} \ \exists \ h. \ h \in \mathcal{F}_{\{\}} \cong \mathit{unit-group} \\ \mathbf{proof}(\mathit{rule} \ \mathit{group.unit-group-unique}) \\ \mathbf{show} \ \mathit{group} \ \mathcal{F}_{\{\}} \ \mathbf{by} \ (\mathit{rule} \ \mathit{free-group-is-group}) \\ \mathbf{next} \\ \mathbf{have} \ \mathit{carrier} \ \mathcal{F}_{\{\}::'a \ \mathit{set}} = \{[]\} \\ \mathbf{by} \ (\mathit{auto} \ \mathit{simp} \ \mathit{add:free-group-def}) \\ \mathbf{thus} \ \mathit{card} \ (\mathit{carrier} \ \mathcal{F}_{\{\}::'a \ \mathit{set}}) = 1 \\ \mathbf{by} \ \mathit{simp} \\ \mathbf{qed} \end{array}
```

6.2 The Free Group over one generator

The Free Group over one generator is isomorphic to the free abelian group over one element, also known as the integers.

```
abbreviation int-group
  where int-group \equiv (|carrier = carrier \mathcal{Z}, mult = op +, one = 0::int |)
lemma replicate-set-eq[simp]: \forall x \in set \ xs. \ x = y \Longrightarrow xs = replicate \ (length \ xs) \ y
  \mathbf{by}(induct\ xs)auto
lemma int-group-gen-by-one: \langle \{1\} \rangle_{int-group} = carrier int-group
proof
  show \langle \{1\} \rangle_{int\text{-}group} \subseteq carrier\ int\text{-}group
  show carrier int-group \subseteq \langle \{1\} \rangle_{int-group}
  proof
    interpret int: group int-group by (simp add: int.a-group)
    have plus1: 1 \in \langle \{1\} \rangle_{int\text{-}qroup}
      by (auto intro:gen-span.gen-gens)
    hence inv_{int\text{-}group} 1 \in \langle \{1\} \rangle_{int\text{-}group}
      by (auto intro:gen-span.gen-inv)
    moreover
    have -1 = inv_{int-group} 1
      using int.inv-equality by auto
    ultimately
    have minus1: -1 \in \langle \{1\} \rangle_{int\text{-}group}
      by (simp)
    show x \in \langle \{1::int\} \rangle_{int\text{-}group}
    proof(induct x rule:int-induct[of - 0::int])
    case base
```

```
have \mathbf{1}_{int\text{-}group} \in \langle \{1::int\} \rangle_{int\text{-}group}
         by (rule gen-span.gen-one)
       thus \theta \in \langle \{1\} \rangle_{int\text{-}group}
         by simp
    next
    case (step1 i)
      \begin{array}{l} \mathbf{from} \ \langle i \in \langle \{1\} \rangle_{int\text{-}group} \rangle \ \mathbf{and} \ plus1 \\ \mathbf{have} \ i \ \otimes_{int\text{-}group} \ 1 \ \in \ \langle \{1\} \rangle_{int\text{-}group} \end{array}
         by (rule gen-span.gen-mult)
       thus i + 1 \in \langle \{1\} \rangle_{int\text{-}qroup} by simp
    next
    case (step2\ i)
       from \langle i \in \langle \{1\} \rangle_{int\text{-}group} \rangle and minus1
      have i \otimes_{int\text{-}group} - 1 \in \langle \{1\} \rangle_{int\text{-}group}
         by (rule gen-span.gen-mult)
       thus i - 1 \in \langle \{1\} \rangle_{int\text{-}aroup}
         by (simp add: int-arith-rules)
    qed
  qed
qed
lemma free-group-over-one-gen: \exists h. h \in \mathcal{F}_{\{()\}} \cong int-group
proof-
  interpret int: group int-group by (simp add: int.a-group)
  \operatorname{def} f \equiv \lambda(x::unit).(1::int)
  have f \in \{()\} \rightarrow carrier\ int-group
    by auto
  hence int.lift\ f \in hom\ \mathcal{F}_{\{()\}}\ int-group
    by (rule int.lift-is-hom)
  then
  interpret hom: group-hom \mathcal{F}_{\{()\}} int-group int.lift f
    unfolding group-hom-def group-hom-axioms-def
    by(auto intro: int.a-group free-group-is-group)
  {
    \mathbf{fix} \ x
    assume x \in carrier \mathcal{F}_{\{()\}}
    hence canceled x by (auto simp add:free-group-def)
    assume int.lift\ f\ x = (0::int)
    have x = []
    proof(rule ccontr)
       assume x \neq []
       then obtain a and xs where x = a \# xs by (cases x, auto)
       hence length (takeWhile (\lambda y. y = a) x) > 0 by auto
       then obtain i where i: length (takeWhile (\lambda y. y = a) x) = Suc i
         by (cases length (takeWhile (\lambda y. y = a) x), auto)
       have Suc \ i \ge length \ x
       proof(rule ccontr)
```

```
assume \neg length x \leq Suc i
 hence length (takeWhile (\lambda y.\ y = a) x) < length x using i by simp
 hence \neg (\lambda y. \ y = a) \ (x ! length (takeWhile (\lambda y. \ y = a) \ x))
   by (rule nth-length-takeWhile)
 hence \neg (\lambda y. \ y = a) \ (x ! Suc i)  using i by simp
 hence fst\ (x \mid Suc\ i) \neq fst\ a by (cases\ x \mid Suc\ i,\ cases\ a,\ auto)
 moreover
 {
   have takeWhile\ (\lambda y.\ y=a)\ x!\ i=x!\ i
     using i by (auto intro: takeWhile-nth)
   moreover
   have (take While (\lambda y. y = a) x) ! i \in set (take While (\lambda y. y = a) x)
     using i by auto
   ultimately
   have (\lambda y. \ y = a) \ (x ! i)
     by (auto dest:set-takeWhileD)
 hence fst(x ! i) = fst a by auto
 moreover
 have snd(x!i) = snd(x!Suci) by simp
 ultimately
 have canceling (x ! i) (x ! Suc i) unfolding canceling-def by auto
 hence cancels-to-1-at i \ x \ (cancel-at i \ x)
   using \langle \neg length \ x \leq Suc \ i \rangle unfolding cancels-to-1-at-def
   by (auto simp add:length-takeWhile-le)
 hence cancels-to-1 x (cancel-at i x) unfolding cancels-to-1-def by auto
 hence \neg canceled x unfolding canceled-def by auto
 thus False using \langle canceled x \rangle by contradiction
ged
hence length (takeWhile (\lambda y. y = a) x) = length x
 using i[THEN sym] by (auto dest:le-antisym simp add:length-takeWhile-le)
hence takeWhile (\lambda y. y = a) x = x
 by (subst takeWhile-eq-take, simp)
moreover
have \forall y \in set (take While (\lambda y. y = a) x). y = a
 by (auto dest: set-takeWhileD)
ultimately
have \forall y \in set \ x. \ y = a \ by \ auto
hence x = replicate (length x) a by simp
hence int.lift\ f\ x = int.lift\ f\ (replicate\ (length\ x)\ a) by simp
also have ... = pow int-group (int.lift-gif a) (length x)
 by (induct x, auto simp add:int.lift-def [simplified])
also have ... = (int.lift-gi\ f\ a) * int\ (length\ x)
 by (induct (length x), auto simp add:int-distrib)
finally have ... = \theta using \langle int.lift f x = \theta \rangle by simp
hence nat (abs (group.lift-gi int-group f \ a * int \ (length \ x))) = 0 by simp
hence nat (abs (group.lift-gi int-group f(a)) * length x = 0 by simp
hence nat (abs (group.lift-gi int-group f a)) = 0
 using \langle x \neq [] \rangle by auto
```

```
moreover
      have inv_{int-qroup} 1 = -1
        using int.inv-equality by auto
      hence abs (group.lift-gi\ int-group\ f\ a) = 1
      using \(\langle group \) int-group\\
        by(auto simp add: group.lift-gi-def f-def)
      ultimately
      show False by simp
    qed
  hence \forall x \in carrier \ \mathcal{F}_{\{()\}}. int.lift\ f\ x = \mathbf{1}_{int-group} \longrightarrow x = \mathbf{1}_{\mathcal{F}_{\{()\}}}
    by (auto simp add:free-group-def)
  moreover
    have carrier \mathcal{F}_{\{()\}} = \langle insert'\{()\} \rangle_{\mathcal{F}_{\{()\}}}
      by (rule gens-span-free-group[THEN sym])
    moreover
    have carrier int-group = \langle \{1\} \rangle_{int-group}
      by (rule int-group-gen-by-one[THEN sym])
    have int.lift\ f ' insert ' \{()\} = \{1\}
         by (auto simp add: int.lift-def [simplified] insert-def f-def int.lift-gi-def
[simplified]
    moreover
    have int.lift\ f\ `(insert`\{()\})_{\mathcal{F}_{\{()\}}} = (int.lift\ f\ `(insert\ `\{()\}))_{int-group}
      by (rule hom.hom-span, auto intro:insert-closed)
    ultimately
    have int.lift f 'carrier \mathcal{F}_{\{()\}} = carrier int-group
      \mathbf{by} \ simp
  }
  ultimately
  \begin{array}{l} \mathbf{have} \ \mathit{int.lift} \ f \in \mathcal{F}_{\{()\}} \cong \mathit{int-group} \\ \mathbf{using} \ \langle \mathit{int.lift} \ f \in \mathit{hom} \ \mathcal{F}_{\{()\}} \ \mathit{int-group} \rangle \end{array}
    using hom.hom-mult int.is-group
    by (auto intro:group-isoI simp add: free-group-is-group)
  thus ?thesis by auto
qed
6.3
         Free Groups over isomorphic sets of generators
Free Groups are isomorphic if their set of generators are isomorphic.
definition lift-generator-function :: ('a \Rightarrow 'b) \Rightarrow (bool \times 'a) list \Rightarrow (bool \times 'b)
where lift-generator-function f = map \ (map-pair \ id \ f)
theorem isomorphic-free-groups:
  assumes bij-betw f gens1 gens2
```

```
shows lift-generator-function f \in \mathcal{F}_{gens1} \cong \mathcal{F}_{gens2}
{\bf unfolding} \ \textit{lift-generator-function-def}
proof(rule group-isoI)
  show \forall x \in carrier \mathcal{F}_{gens1}.
        map \ (map-pair \ id \ f) \ x = \mathbf{1}_{\mathcal{F}_{gens2}} \longrightarrow x = \mathbf{1}_{\mathcal{F}_{gens1}}
    by(auto simp add:free-group-def)
next
  from \(\delta bij\)-betw f gens1 gens2\(\rangle\) have inj-on f gens1 by (auto simp:bij\)-betw-def)
  show map (map-pair id f) 'carrier \mathcal{F}_{qens1} = carrier \mathcal{F}_{qens2}
  proof(rule Set.set-eqI,rule iffI)
   from \langle bij\text{-}betw\ f\ gens1\ gens2 \rangle have f \text{`} gens1 = gens2 by (auto\ simp:bij\text{-}betw\text{-}def)
    \mathbf{fix} \ x :: (bool \times 'b) \ list
    assume x \in image (map (map-pair id f)) (carrier <math>\mathcal{F}_{gens1})
    then obtain y :: (bool \times 'a) \ list \ where \ x = map \ (map-pair \ id \ f) \ y
                        and y \in carrier \mathcal{F}_{qens1} by auto
    \begin{array}{l} \textbf{from} \ \langle y \in \textit{carrier} \ \mathcal{F}_{\textit{gens1}} \rangle \\ \textbf{have} \ \textit{canceled} \ y \ \textbf{and} \ y \in \textit{lists}(\textit{UNIV} \times \textit{gens1}) \ \textbf{by} \ (\textit{auto simp add:free-group-def}) \end{array} 
    from \langle y \in lists (UNIV \times gens1) \rangle
       and \langle x = map \ (map-pair \ id \ f) \ y \rangle
       and \langle image\ f\ gens1 = gens2 \rangle
    have x \in lists (UNIV \times gens2)
       by (auto iff:lists-eq-set)
    moreover
     from \langle x = map \ (map-pair \ id \ f) \ y \rangle
     and \langle y \in lists (UNIV \times gens1) \rangle
      and \langle canceled y \rangle
     and \langle inj\text{-}on \ f \ gens1 \rangle
    have canceled x
     by (auto intro!:rename-gens-canceled subset-inj-on[OF (inj-on f gens1)] iff:lists-eq-set)
    ultimately
    show x \in carrier \mathcal{F}_{qens2} by (simp \ add: free-group-def)
  next
    \mathbf{fix} \ x
    assume x \in carrier \mathcal{F}_{gens2}
    hence canceled x and x \in lists (UNIV×gens2)
       unfolding free-group-def by auto
    \operatorname{def} y \equiv map \ (map\text{-}pair \ id \ (the\text{-}inv\text{-}into \ gens1 \ f)) \ x
    have map (map\text{-pair } id f) y =
            map \ (map-pair \ id \ f) \ (map \ (map-pair \ id \ (the-inv-into \ gens1 \ f)) \ x)
       by (simp\ add:y-def)
    also have \dots = map \ (map\text{-pair id } f \circ map\text{-pair id } (the\text{-}inv\text{-}into \ gens1 \ f)) \ x
       by simp
    also have ... = map \ (map-pair \ id \ (f \circ the-inv-into \ gens1 \ f)) \ x
       by auto
    also have \dots = map \ id \ x
    proof(rule map-ext, rule impI)
       \mathbf{fix} \ xa :: bool \times 'b
```

```
assume xa \in set x
      from \langle x \in lists (UNIV \times gens2) \rangle
      have set (map \ snd \ x) \subseteq gens2 by auto
      hence snd ' set x \subseteq gens2 by (simp \ add: set-map)
      with \langle xa \in set \ x \rangle have snd \ xa \in gens2 by auto
      with \langle bij\text{-}betw\ f\ gens1\ gens2 \rangle have snd\ xa \in f\text{'}gens1
       by (auto simp add: bij-betw-def)
      have map-pair id (f \circ the\text{-}inv\text{-}into\ gens1\ f) xa
            = map-pair id (f \circ the-inv-into gens1 f) (fst \ xa, \ snd \ xa) by simp
      also have ... = (fst \ xa, f \ (the -inv -into \ gens1 \ f \ (snd \ xa)))
       by (auto simp del:pair-collapse)
      also with \langle snd \ xa \in image \ f \ gens1 \rangle and \langle inj\text{-}on \ f \ gens1 \rangle
           have \dots = (fst \ xa, \ snd \ xa)
           by (auto elim:f-the-inv-into-f simp del:pair-collapse)
      also have \dots = id \ xa \ by \ simp
      finally show map-pair id (f \circ the\text{-}inv\text{-}into\ gens1\ f) xa = id\ xa.
    qed
    also have \dots = x unfolding id-def by auto
    finally have map (map-pair id f) y = x.
    moreover
    {
      from \langle bij\text{-}betw\ f\ gens1\ gens2 \rangle
    have bij-betw (the-inv-into gens1 f) gens2 gens1 by (rule bij-betw-the-inv-into)
     hence inj-on (the-inv-into gens1 f) gens2 by (rule bij-betw-imp-inj-on)
      with \langle canceled x \rangle
      and \langle x \in lists (UNIV \times gens2) \rangle
      have canceled y
       by (auto intro!:rename-gens-canceled[OF subset-inj-on] simp add:y-def)
      moreover
        from \(\displies bij-betw\) (the-inv-into gens1 f) gens2 gens1\)
        and \langle x \in lists(UNIV \times gens2) \rangle
        have y \in lists(UNIV \times gens1)
          unfolding y-def and bij-betw-def
          by (auto iff:lists-eq-set dest!:subsetD)
      ultimately
     have y \in carrier \mathcal{F}_{qens1} by (simp \ add: free-group-def)
    }
    ultimately
    show x \in map \ (map\text{-}pair \ id \ f) ' carrier \mathcal{F}_{qens1} by auto
  qed
\mathbf{next}
  from \(\delta bij\)-betw f gens1 gens2\(\rangle\) have inj-on f gens1 by (auto simp:bij\)-betw-def)
  \mathbf{fix} \ x
 assume x \in carrier \mathcal{F}_{gens1}
```

```
\mathbf{fix} \ y
  assume y \in carrier \mathcal{F}_{qens1}
   \begin{array}{l} \mathbf{from} \ \langle x \in \mathit{carrier} \ \mathcal{F}_{\mathit{gens1}} \rangle \ \mathbf{and} \ \langle y \in \mathit{carrier} \ \mathcal{F}_{\mathit{gens1}} \rangle \\ \mathbf{have} \ x \in \mathit{lists}(\mathit{UNIV} \times \mathit{gens1}) \ \mathbf{and} \ y \in \mathit{lists}(\mathit{UNIV} \times \mathit{gens1}) \end{array} 
     by (auto simp add:occuring-gens-in-element)
  have map (map-pair id\ f) (x\otimes_{\mathcal{F}_{gens1}}y)
         = map \ (map-pair \ id \ f) \ (normalize \ (x@y)) \ \mathbf{by} \ (simp \ add:free-group-def)
  also
         from \langle x \in lists(UNIV \times qens1) \rangle and \langle y \in lists(UNIV \times qens1) \rangle
          and ⟨inj-on f gens1⟩
         have ... = normalize (map (map-pair id f) (x@y))
           by -(rule rename-gens-normalize[THEN sym],
                   auto\ intro!:\ subset-inj-on[OF\ \langle inj-on\ f\ gens1\rangle]\ iff:lists-eq-set)
   also have ... = normalize (map (map-pair id f) x @ map (map-pair id f) y)
         by (auto)
  also have ... = map \ (map\text{-}pair \ id \ f) \ x \otimes_{\mathcal{F}_{qens2}} map \ (map\text{-}pair \ id \ f) \ y
         \mathbf{by}\ (simp\ add: free-group-def)
  finally have map (map-pair id f) (x \otimes_{\mathcal{F}_{qens1}} y) =
                     map (map\text{-pair } id f) \times \mathcal{F}_{gens^2} \mod (map\text{-pair } id f) y.
  thus \forall x \in carrier \mathcal{F}_{qens1}.
        \forall y \in carrier \ \mathcal{F}_{gens1}.
map \ (map-pair \ id \ f) \ (x \otimes_{\mathcal{F}_{gens1}} y) =
             map (map-pair id f) x \otimes_{\mathcal{F}_{aens?}} map (map-pair id f) y
   by auto
qed (auto intro: free-group-is-group)
```

6.4 Bases of isomorphic free groups

Isomorphic free groups have bases of same cardinality. The proof is very different for infinite bases and for finite bases.

The proof for the finite case uses the set of of homomorphisms from the free group to the group with two elements, as suggested by Christian Sievers. The definition of *hom* is not suitable for proofs about the cardinality of that set, as its definition does not require extensionality. This is amended by the following definition:

```
definition homr
where homr GH = \{h. h \in hom \ GH \land h \in extensional \ (carrier \ G)\}
lemma (in group-hom) restrict-hom[intro!]:
shows restrict h (carrier G) \in homr \ GH
unfolding homr-def and hom-def
by (auto)
```

```
lemma hom-F-C2-Powerset:
  \exists f. \ bij-betw \ f \ (Pow \ X) \ (homr \ (\mathcal{F}_X) \ C2)
proof
  interpret F: group \mathcal{F}_X by (rule free-group-is-group)
  interpret C2: group C2 by (rule C2-is-group)
  let ?f = \lambda S . restrict (C2.lift (\lambda x. \ x \in S)) (carrier \mathcal{F}_X)
  let ?f' = \lambda h \cdot X \cap Collect(h \circ insert)
  show bij-betw ?f (Pow\ X)\ (homr\ (\mathcal{F}_X)\ C2)
  proof(induct rule: bij-betwI[of ?f - - ?f'])
  case 1 show ?case
   proof
     fix S assume S \in Pow X
     interpret h: group-hom \mathcal{F}_X C2 C2.lift (\lambda x. x \in S)
       \mathbf{by}\ unfold\text{-}locales\ (auto\ intro:\ C2.lift\text{-}is\text{-}hom)
     show ?f S \in homr \mathcal{F}_X C2
       by (rule h.restrict-hom)
    qed
  next
  case 2 show ?case by auto next
  case (3 S) show ?case
   proof (induct rule: Set.set-eqI)
     case (1 x) show ?case
     \mathbf{proof}(cases\ x\in X)
     case True thus ?thesis using insert\text{-}closed[of\ x\ X]
        by (auto simp add:insert-def C2.lift-def C2.lift-gi-def)
     next case False thus ?thesis using 3 by auto
   ged
  qed
  next
  case (4 h)
   hence hom: h \in hom \mathcal{F}_X C2
     and extn: h \in extensional (carrier \mathcal{F}_X)
     unfolding homr-def by auto
     have \forall \, x \in \mathit{carrier} \,\, \mathcal{F}_X . h \,\, x = \mathit{group.lift} \,\, \mathit{C2} \,\, (\lambda z. \,\, z \in X \,\, \& \,\, (h \,\, \circ \,\, \mathit{Free-}
Groups.insert) z) x
       by (rule C2.lift-is-unique[OF C2-is-group - hom, of (\lambda z. z \in X \& (h \circ x))
Free Groups.insert) z)],
            auto)
   thus ?case
   \mathbf{by} -(rule extensionalityI[OF restrict-extensional extn], auto)
 qed
qed
lemma group-iso-betw-hom:
  assumes group G1 and group G2
     and iso: i \in G1 \cong G2
  shows
           \exists f . bij-betw f (homr G2 H) (homr G1 H)
proof-
```

```
interpret G2: group G2 by (rule \langle group \ G2 \rangle)
 let ?i' = restrict (inv-into (carrier G1) i) (carrier G2)
 have inv-into (carrier G1) i \in G2 \cong G1 by (rule group.iso-sym[OF (group G1))
 hence iso': ?i' \in G2 \cong G1
   by (auto simp add: Group.iso-def hom-def G2.m-closed)
 show ?thesis
  \mathbf{proof}(rule, induct \ rule: \ bij-betwI[of \ (\lambda h. \ compose \ (carrier \ G1) \ h \ i) \ - \ - \ (\lambda h.
compose (carrier G2) h ?i')])
 case 1
   show ?case
   proof
    fix h assume h \in homr G2 H
    hence compose (carrier G1) h i \in hom G1 H
      using iso
    by (auto intro: group.hom-compose[OF \(\rangle group G1 \)\), of - G2] simp add: Group.iso-def
homr-def)
    thus compose (carrier G1) h i \in homr G1 H
      unfolding homr-def by simp
    qed
 next
 case 2
   show ?case
   proof
     fix h assume h \in homr \ G1 \ H
    hence compose (carrier G2) h ?i' \in hom G2 H
      using iso'
    by (auto intro: group.hom-compose [OF \land group G2), of - G1] simp add: Group.iso-def
homr-def)
    thus compose (carrier G2) h ?i' \in homr G2 H
      unfolding homr-def by simp
    qed
 \mathbf{next}
 case (3 x)
   hence compose (carrier G2) (compose (carrier G1) x i) ?i'
        = compose (carrier G2) x (compose (carrier G2) i ?i')
    using iso iso'
    by (auto intro: compose-assoc[THEN sym] simp add:Group.iso-def hom-def
homr-def)
   also have ... = compose (carrier G2) x (\lambda y \in carrier G2. y)
     using iso
   by (subst compose-id-inv-into, auto simp add: Group.iso-def hom-def bij-betw-def)
   also have \dots = x
     using 3
    by (auto intro:compose-Id simp add:homr-def)
   finally
   show ?case.
 next
 case (4 \ y)
```

```
hence compose (carrier G1) (compose (carrier G2) y ?i') i
         = compose (carrier G1) y (compose (carrier G1) ?i'i)
     using iso iso'
     by (auto intro: compose-assoc[THEN sym] simp add: Group.iso-def hom-def
homr-def)
   also have ... = compose (carrier G1) y (\lambda x \in carrier G1. x)
     using iso
   by (subst compose-inv-into-id, auto simp add: Group.iso-def hom-def bij-betw-def)
   also have \dots = y
     using 4
     by (auto intro:compose-Id simp add:homr-def)
   finally
   show ?case.
 qed
qed
{\bf lemma}\ isomorphic \hbox{-} free\hbox{-} groups\hbox{-} bases\hbox{-} finite :
 assumes iso: i \in \mathcal{F}_X \cong \mathcal{F}_Y
     and finite: finite X
 shows \exists f.\ bij-betw\ f\ X\ Y
proof-
 obtain f
   where bij-betw f (homr \mathcal{F}_Y C2) (homr \mathcal{F}_X C2)
   using group-iso-betw-hom[OF free-group-is-group free-group-is-group iso]
   by auto
 moreover
  obtain g'
   where bij-betw g' (Pow X) (homr (\mathcal{F}_X) C2)
   using hom-F-C2-Powerset by auto
  then obtain g
   where bij-betw g (homr (\mathcal{F}_X) C2) (Pow X)
   by (auto intro: bij-betw-inv-into)
 moreover
 obtain h
   where bij-betw h (Pow Y) (homr (\mathcal{F}_Y) C2)
   using hom-F-C2-Powerset by auto
 ultimately
 have bij-betw (g \circ f \circ h) (Pow\ Y) (Pow\ X)
   by (auto intro: bij-betw-trans)
 hence eq-card: card (Pow\ Y) = card (Pow\ X)
   by (rule bij-betw-same-card)
  with finite
 have finite (Pow\ Y)
  \mathbf{by}\ -(\mathit{rule}\ \mathit{card-ge-0-finite},\ \mathit{auto}\ \mathit{simp}\ \mathit{add:card-Pow})
 hence finite': finite Y by simp
  with eq-card finite
  have card X = card Y
  by (auto simp add:card-Pow)
```

```
show ?thesis
  by (rule finite-same-card-bij)
    The proof for the infinite case is trivial once the fact that the free group
over an infinite set has the same cardinality is established.
lemma free-group-card-infinite:
  assumes infinite X
 shows |X| = o |carrier \mathcal{F}_X|
proof-
  have inj-on insert X
  and insert 'X \subseteq carrier \mathcal{F}_X
   by (auto intro:insert-closed inj-onI simp add:insert-def)
  hence |X| \leq o |carrier \mathcal{F}_X|
   by (subst card-of-ordLeq[THEN sym], auto)
  moreover
  have |carrier \mathcal{F}_X| \leq o |lists ((UNIV::bool set) \times X)|
   by (auto intro!:card-of-mono1 simp add:free-group-def)
  moreover
  have |lists\ ((UNIV::bool\ set) \times X)| = o\ |(UNIV::bool\ set) \times X|
   using \langle infinite \ X \rangle
   by (auto intro:card-of-lists-infinite dest!:finite-cartesian-productD2)
  moreover
  have |(UNIV::bool\ set)\times X| = o\ |X|
   using \langle infinite \ X \rangle
  by (auto intro: card-of-Times-infinite[OF - ordLess-imp-ordLeq[OF finite-ordLess-infinite2],
THEN\ conjunct2])
  ultimately
  show |X| = o |carrier \mathcal{F}_X|
   by (subst ordIso-iff-ordLeq, auto intro: ord-trans)
qed
theorem isomorphic-free-groups-bases:
 assumes iso: i \in \mathcal{F}_X \cong \mathcal{F}_Y
shows \exists f. \ \textit{bij-betw} \ f \ X \ Y
\mathbf{proof}(cases\ finite\ X)
case True
  thus ?thesis using iso by -(rule\ isomorphic-free-groups-bases-finite)
next
case False show ?thesis
  \mathbf{proof}(cases\ finite\ Y)
 {f case} True
  from iso obtain i' where i' \in \mathcal{F}_Y \cong \mathcal{F}_X
      by (auto intro: group.iso-sym[OF free-group-is-group])
  with \langle finite | Y \rangle
  have \exists f. \ bij-betw \ f \ Y \ X \ by \ -(rule \ isomorphic-free-groups-bases-finite)
  thus \exists f. \ bij-betw \ f \ X \ Y \ by \ (auto \ intro: \ bij-betw-the-inv-into) next
case False
```

with finite finite'

```
from (infinite X) have |X| = o | carrier \mathcal{F}_X| by (rule free-group-card-infinite) moreover from (infinite Y) have |Y| = o | carrier \mathcal{F}_Y| by (rule free-group-card-infinite) moreover from iso have | carrier \mathcal{F}_X| = o | carrier \mathcal{F}_Y| by (auto simp add: Group.iso-def iff: card-of-ordIso[THEN sym]) ultimately have |X| = o | Y| by (auto intro: ordIso-equivalence) thus ?thesis by (subst card-of-ordIso) qed qed
```

7 The Ping Pong lemma

```
\begin{array}{l} \textbf{theory} \ PingPongLemma\\ \textbf{imports}\\ \ ^{\sim \sim}/src/HOL/Algebra/Bij\\ FreeGroups\\ \textbf{begin} \end{array}
```

The Ping Pong Lemma is a way to recognice a Free Group by its action on a set (often a topological space or a graph). The name stems from the way that elements of the set are passed forth and back between the subsets given there.

We start with two auxiliary lemmas, one about the identity of the group of bijections, and one about sets of cardinality larger than one.

```
lemma Bij-one[simp]:
 assumes x \in X
 shows \mathbf{1}_{BijGroup\ X}\ x = x
using assms by (auto simp add: BijGroup-def)
lemma other-member:
  assumes I \neq \{\} and i \in I and card I \neq I
  obtains j where j \in I and j \neq i
proof(cases finite I)
  case True
  hence I - \{i\} \neq \{\} using \langle card \ I \neq 1 \rangle and \langle i \in I \rangle by (metis Suc-eq-plus1-left
card-Diff-subset-Int card-Suc-Diff1 diff-add-inverse2 diff-self-eq-0 empty-Diff finite.emptyI
inf-bot-left minus-nat.diff-0)
  thus ?thesis using that by auto
next
 {f case} False
 hence I - \{i\} \neq \{\} by (metis Diff-empty finite.emptyI finite-Diff-insert)
```

```
thus ?thesis using that by auto qed
```

And now we can attempt the lemma. The gencount condition is a weaker variant of "x has to lie outside all subsets" that is only required if the set of generators is one. Otherwise, we will be able to find a suitable x to start with in the proof.

```
lemma ping-pong-lemma:
  assumes group G
  and act \in hom \ G \ (BijGroup \ X)
  and g \in (I \rightarrow carrier \ G)
  and \langle g ' I \rangle_G = carrier G
  and sub1: \forall i \in I. Xout \ i \subseteq X
  and sub2: \forall i \in I. Xin \ i \subseteq X
  and disj1: \forall i \in I. \ \forall j \in I. \ i \neq j \longrightarrow Xout \ i \cap Xout \ j = \{\}
  and disj2: \forall i \in I. \ \forall j \in I. \ i \neq j \longrightarrow Xin \ i \cap Xin \ j = \{\}
  and disj3: \forall i \in I. \ \forall j \in I. \ Xin \ i \cap Xout \ j = \{\}
  and x \in X
  and gencount: \forall i . I = \{i\} \longrightarrow (x \notin Xout \ i \land x \notin Xin \ i)
  and ping: \forall i \in I. act (g\ i) (X - Xout\ i) \subseteq Xin\ i
  and pong: \forall i \in I. act (inv_G(g i)) '(X - Xin i) \subseteq Xout i
  shows group.lift G g \in iso(\mathcal{F}_I) G
proof-
  interpret F: group \mathcal{F}_I
    using assms by (auto simp add: free-group-is-group)
  interpret G: group G by fact
  interpret B: group BijGroup X using group-BijGroup by auto
  interpret act: group-hom G BijGroup X act by (unfold-locales) fact
  interpret h: group-hom \mathcal{F}_I G G.lift g
    using F.is-group G.is-group G.lift-is-hom assms
    by (auto intro!: group-hom.intro group-hom-axioms.intro)
  show ?thesis
  proof(rule\ h.group-hom-isoI)
     Injectivity is the hard part of the proof.
    show \forall x \in carrier \ \mathcal{F}_I. G.lift g \ x = \mathbf{1}_G \longrightarrow x = \mathbf{1}_{\mathcal{F}_I}
       proof(rule+)
     We lift the Xout and Xin sets to generators and their inveres, and create variants
of the disj-conditions:
         def Xout' \equiv \lambda(b,i::'d). if b then Xin\ i\ else\ Xout\ i
         def Xin' \equiv \lambda(b,i::'d). if b then Xout i else Xin i
        have disj1': \forall i \in (UNIV \times I). \forall j \in (UNIV \times I). i \neq j \longrightarrow Xout' i \cap Xout'
j = \{\}
            using disj1[rule-format] disj2[rule-format] disj3[rule-format]
           by (auto simp add:Xout'-def Xin'-def split:if-splits, blast+)
          have disj2': \forall i \in (UNIV \times I). \forall j \in (UNIV \times I). i \neq j \longrightarrow Xin' i \cap Xin'
j = \{\}
```

```
using disj1[rule-format] disj2[rule-format] disj3[rule-format]
by (auto\ simp\ add:Xout'-def\ Xin'-def\ split:if-splits,\ blast+)
have disj3': \forall\ i{\in}(UNIV\times I).\ \forall\ j{\in}(UNIV\times I).\ \neg\ canceling\ i\ j\longrightarrow Xin'
i\cap Xout'\ j=\{\}
using disj1[rule-format]\ disj2[rule-format]\ disj3[rule-format]
by (auto\ simp\ add:canceling-def\ Xout'-def\ Xin'-def\ split:if-splits,\ blast)
```

We need to pick a suitable element of the set to play ping pong with. In particular, it needs to be outside of the Xout-set of the last generator in the list, and outside the in-set of the first element. This part of the proof is surprisingly tedious, because there are several cases, some similar but not the same.

```
\mathbf{fix} \ w
        assume w: w \in carrier \mathcal{F}_I
        obtain x where x \in X
          and x1: w = [] \lor x \notin Xout'(last w)
          and x2: w = [] \lor x \notin Xin' (hd w)
        proof-
          \{ assume I = \{ \} \}
            hence w = [] using w by (auto simp add:free-group-def)
            hence ?thesis using that \langle x \in X \rangle by auto
          }
          moreover
          { assume card I = 1
            then obtain i where I = \{i\} by (auto dest: card-eq-SucD)
            assume w \neq [
            hence snd (hd w) = i and snd (last w) = i
              using w \langle I = \{i\} \rangle
              apply (cases w, auto simp add:free-group-def)
              apply (cases w rule:rev-exhaust, auto simp add:free-group-def)
          hence ?thesis using gencount[rule-format, OF \langle I=\{i\}\rangle] that[OF \langle x\in X\rangle]
\langle w \neq [] \rangle
                 by (cases last w, cases hd w, auto simp add:Xout'-def Xin'-def
split:if-splits)
          moreover
          { assume I \neq \{\} and card I \neq 1 and w \neq []
            from \langle w \neq [] \rangle and w
            obtain b i where hd: hd w = (b,i) and i \in I
              by (cases w, auto simp add:free-group-def)
            \mathbf{from} \ \langle w \neq [] \rangle \ \mathbf{and} \ w
            obtain b' i' where last: last w = (b',i') and i' \in I
              by (cases w rule: rev-exhaust, auto simp add:free-group-def)
```

What follows are two very similar cases, but the correct choice of variables depends on where we find \mathbf{x} .

```
{ obtain b''i'' where
```

```
(b'',i'') \neq (b,i) and
                (b'',i'') \neq (b',i') and
                \neg canceling (b'', i'') (b',i') and
                i^{\,\prime\prime}\!\!\in\!\!I
              proof(cases i=i')
                {\bf case}\ {\it True}
                obtain j where j \in I and j \neq i using \langle card \ I \neq 1 \rangle and \langle i \in I \rangle
                   by -(rule\ other-member,\ auto)
               with True show ?thesis using that by (auto simp add:canceling-def)
                case False thus ?thesis using that \langle i \in I \rangle \ \langle i' \in I \rangle
                by (simp add:canceling-def, metis)
              qed
              let ?g = (b'', i'')
              assume x \in Xout' (last w)
              hence x \notin Xout' ?g
                using disj1'[rule-format, OF - - \langle ?g \neq (b',i') \rangle]
                     \langle i \in I \rangle \langle i' \in I \rangle \langle i'' \in I \rangle \ hd \ last
                by auto
              hence act\ (G.lift\text{-}gi\ g\ ?g)\ x\in Xin'\ ?g\ (is\ ?x\in -)\ using\ (i''\in I)\ (x\in
X
                ping[rule-format, OF \langle i'' \in I \rangle, THEN \ subsetD]
                pong[rule-format, OF \langle i'' \in I \rangle, THEN \ subsetD]
                by (auto simp add: G.lift-def G.lift-gi-def Xout'-def Xin'-def)
              hence ?x \notin Xout'(last w) \land ?x \notin Xin'(hd w)
                   disj3'[rule-format, OF - - \langle \neg canceling(b'', i'')(b',i')\rangle]
                   disj2'[rule-format, OF - - \langle ?g \neq (b,i) \rangle]
                   \langle i \in I \rangle \langle i' \in I \rangle \langle i'' \in I \rangle \ hd \ last
                by (auto simp add: canceling-def)
              moreover
              \mathbf{note} \ \langle i^{\,\prime\prime} \in I \rangle
              hence g \ i'' \in carrier \ G \ \mathbf{using} \ \langle g \in (I \to carrier \ G) \rangle \ \mathbf{by} \ auto
              hence G.lift-gi g ?g \in carrier G
                by (auto simp add: G.lift-qi-def inv1-def)
              hence act (G.lift-gi \ g \ ?g) \in carrier (BijGroup \ X)
                using \langle act \in hom \ G \ (BijGroup \ X) \rangle by auto
              hence ?x \in X using \langle x \in X \rangle
                by (auto simp add:BijGroup-def Bij-def bij-betw-def)
              ultimately have ?thesis using that[of ?x] by auto
              }
              moreover
              obtain b'' i'' where
                \neg canceling (b'',i'') (b,i) and \neg canceling (b'',i'') (b',i') and
                (b,i) \neq (b'',i'') and
                i'' \in I
```

```
\mathbf{proof}(\mathit{cases}\ i=i')
                {f case} True
                obtain j where j \in I and j \neq i using \langle card \ I \neq 1 \rangle and \langle i \in I \rangle
                  by -(rule\ other-member,\ auto)
               with True show ?thesis using that by (auto simp add:canceling-def)
                case False thus ?thesis using that \langle i \in I \rangle \ \langle i' \in I \rangle
                by (simp add:canceling-def, metis)
             qed
             let ?g = (b'', i'')
             note cancel-sym-neg[OF \leftarrow canceling(b'',i'')(b,i))
             note cancel-sym-neg[OF \leftarrow canceling(b'',i'')(b',i'))
             assume x \in Xin' (hd \ w)
             hence x \notin Xout'?q
                using disj3'[rule-format, OF - - \langle \neg canceling(b,i)?q \rangle]
                    \langle i \in I \rangle \langle i' \in I \rangle \langle i'' \in I \rangle \ hd \ last
                by auto
             hence act\ (G.lift\text{-}gi\ g\ ?g)\ x\in Xin'\ ?g\ (is\ ?x\in \ -)\ using\ (i''\in I)\ (x\in
X
                ping[rule-format, OF \langle i'' \in I \rangle, THEN \ subsetD]
                pong[rule-format, OF \langle i'' \in I \rangle, THEN \ subsetD]
                by (auto simp add: G.lift-def G.lift-gi-def Xout'-def Xin'-def)
             hence ?x \notin Xout'(last w) \land ?x \notin Xin'(hd w)
                using
                  disj3'[rule-format, OF - - \langle \neg canceling ?g (b',i') \rangle]
                  disj2'[rule-format, OF - - \langle (b,i) \neq ?q \rangle]
                  \langle i \in I \rangle \langle i' \in I \rangle \langle i'' \in I \rangle \ hd \ last
                by (auto simp add: canceling-def)
             moreover
             \mathbf{note} \ \langle i^{\prime\prime} \in I \rangle
             hence g i'' \in carrier \ G \ using \langle g \in (I \rightarrow carrier \ G) \rangle by auto
             hence G.lift-gi g ? g \in carrier G
                by (auto simp add: G.lift-gi-def)
             hence act (G.lift-gi \ g \ ?g) \in carrier (BijGroup \ X)
                using \langle act \in hom \ G \ (BijGroup \ X) \rangle by auto
             hence ?x \in X using \langle x \in X \rangle
                by (auto simp add:BijGroup-def Bij-def bij-betw-def)
             ultimately have ?thesis using that [of ?x] by auto
              }
             moreover note calculation
           }
           ultimately show ?thesis using \langle x \in X \rangle that by auto
         qed
```

The proof works by induction over the length of the word. Each inductive step is one ping as in ping pong. At the end, we land in one of the subsets of X, so the word cannot be the identity.

```
from x1 and w
have w = [] \lor act (G.lift g w) x \in Xin' (hd w)
```

```
proof(induct \ w)
         case Nil show ?case by simp
       \mathbf{next} case (Cons \ w \ ws)
         note C = Cons
    The following lemmas establish all "obvious" element relations that will be
required during the proof.
         note calculation = Cons(3)
         moreover have x \in X by fact
      moreover have snd \ w \in I using calculation by (auto simp \ add: free-group-def)
         moreover have g \in (I \rightarrow carrier \ G) by fact
         moreover have g(snd w) \in carrier G using calculation by auto
         moreover have ws \in carrier \mathcal{F}_I
          \mathbf{using}\ \mathit{calculation}\ \mathbf{by}\ (\mathit{auto\ intro:} \mathit{cons-} \mathit{canceled\ simp\ add:} \mathit{free-} \mathit{group-} \mathit{def})
         moreover have G.lift g ws \in carrier G and G.lift g [w] \in carrier G
            using calculation by (auto simp add: free-group-def)
         moreover have act (G.lift g ws) \in carrier (BijGroup X)
                  and act (G.lift g [w]) \in carrier (BijGroup X)
                  and act (G.lift \ g \ (w \# ws)) \in carrier (BijGroup \ X)
                  and act (g (snd w)) \in carrier (BijGroup X)
            using calculation by auto
         moreover have act (g (snd w)) \in Bij X
            using calculation by (auto simp add:BijGroup-def)
         moreover have act (G.lift g ws) x \in X (is 2x2 \in X)
           using calculation by (auto simp add:BijGroup-def Bij-def bij-betw-def)
         moreover have act (G.lift g [w]) ?x2 \in X
           using calculation by (auto simp add:BijGroup-def Bij-def bij-betw-def)
         moreover have act (G.lift g(w\#ws)) x \in X
           using calculation by (auto simp add:BijGroup-def Bij-def bij-betw-def)
         moreover note mems = calculation
         have act (G.lift \ g \ ws) \ x \notin Xout' \ w
         proof(cases ws)
           case Nil
            moreover have x \notin Xout' \ w \ using \ Cons(2) \ Nil
              unfolding Xout'-def using mems
              by (auto split:if-splits)
            ultimately show act (G.lift g ws) x \notin Xout' w
              using mems by auto
         next case (Cons www wws)
           hence act (G.lift g ws) x \in Xin' (hd ws)
            using C mems by simp
           moreover have Xin' (hd \ ws) \cap Xout' \ w = \{\}
           proof-
            have \neg canceling (hd ws) w
            proof
              assume canceling (hd ws) w
              hence cancels-to-1 (w\#ws) www using Cons
                  by(auto simp add:cancel-sym cancels-to-1-def cancels-to-1-at-def
```

```
cancel-at-def
                thus False using \langle w \# ws \in carrier \mathcal{F}_I \rangle
                   by(auto simp add:free-group-def canceled-def)
              have w \in \mathit{UNIV} \times \mathit{I} \; \mathit{hd} \; \mathit{ws} \in \mathit{UNIV} \times \mathit{I}
                using \langle snd \ w \in I \rangle mems Cons
                by (cases w, auto, cases hd ws, auto simp add:free-group-def)
              thus ?thesis
               by- (rule disj3'[rule-format, OF - (\neg canceling (hd ws) w)], auto)
            ultimately show act (G.lift g ws) x \notin Xout' w using Cons by auto
          qed
          show ?case
          proof-
            have act (G.lift\ q\ (w\ \#\ ws))\ x = act\ (G.lift\ q\ ([w]\ @\ ws))\ x by simp
            also have \ldots = act \ (G.lift \ g \ [w] \otimes_G G.lift \ g \ ws) \ x
              using mems by (subst G.lift-append, auto simp add:free-group-def)
            also have ... = (act (G.lift g [w]) \otimes_{BijGroup X} act (G.lift g ws)) x
                  using mems by (auto simp add:act.hom-mult free-group-def in-
tro!:G.lift-closed)
            also have ... = act (G.lift g [w]) (act (G.lift g ws) x)
              using mems by (auto simp add:BijGroup-def compose-def)
            also have \dots \notin act (G.lift \ g \ [w]) 'Xout' w
              apply(rule ccontr)
              apply simp
              apply (erule imageE)
              apply (subst (asm) inj-on-eq-iff [of act (G.lift g[w]) X])
                using mems \langle act \ (G.lift \ g \ ws) \ x \notin Xout' \ w \rangle \ \forall \ i \in I. \ Xout \ i \subseteq X \rangle
\langle \forall i \in I. \ Xin \ i \subseteq X \rangle
              apply (auto simp add:BijGroup-def Bij-def bij-betw-def free-group-def
Xout'-def split:if-splits)
              apply blast+
              done
            finally
            have act (G.lift g (w \# ws)) x \in Xin' w
            proof-
              assume act (G.lift \ g \ (w \# ws)) \ x \notin act (G.lift \ g \ [w])  ' Xout' \ w
             hence act (G.lift \ g \ (w \ \# \ ws)) \ x \in (X - act \ (G.lift \ g \ [w]) \ `Xout' \ w)
                using mems by auto
              also have ... \subseteq act (G.lift\ g\ [w]) ' X - act (G.lift\ g\ [w]) ' Xout'\ w
                    using \langle act (G.lift g [w]) \in carrier (BijGroup X) \rangle
                    by (auto simp add:BijGroup-def Bij-def bij-betw-def)
              also have ... \subseteq act (G.lift g [w]) ' (X - Xout' w)
                    by (rule image-diff-subset)
              also have ... \subseteq Xin' w
              proof(cases fst w)
                assume \neg fst w
                thus ?thesis
```

```
using mems
                      by (auto intro!: ping[rule-format, THEN subsetD] simp add:
Xout'-def Xin'-def G.lift-def G.lift-gi-def free-group-def)
              \mathbf{next} assume fst w
                thus ?thesis
                  using mems
                      by (auto intro!: pong[rule-format, THEN subsetD] simp add:
restrict-def inv-BijGroup Xout'-def Xin'-def G.lift-def G.lift-gi-def free-group-def)
              qed
              finally show ?thesis.
            thus ?thesis by simp
          qed
        qed
          moreover assume G.lift g w = 1_G
        ultimately show w = \mathbf{1}_{\mathcal{F}_I}
          using \langle x \in X \rangle Cons(1) x2 \langle w \in carrier \mathcal{F}_I \rangle
        by (cases w, auto simp add:free-group-def Xin'-def split:if-splits)
      \mathbf{qed}
   \mathbf{next}
    Surjectivity is relatively simple, and often not even mentioned in human proofs.
   have G.lift g 'carrier \mathcal{F}_I =
         G.lift g ' \langle \iota ' I \rangle_{\mathcal{F}_I}
     by (metis gens-span-free-group)
   also have ... = \langle G.lift\ g\ '\ (\iota\ 'I)\ \rangle_G
      by (auto intro!:h.hom-span simp add: insert-closed)
   also have \ldots = \langle g : I \rangle_G
      proof-
        have \forall i \in I. G.lift g(\iota i) = gi
          using \langle g \in (I \rightarrow carrier \ G) \rangle
          by (auto simp add:insert-def G.lift-def G.lift-qi-def intro:G.r-one)
        hence G.lift\ g '(\iota 'I) = g 'I
          by (auto intro!: image-cong simp add: image-compose[THEN sym])
        thus ?thesis by simp
      qed
    also have \dots = carrier \ G \ using \ assms \ by \ simp
    finally show G.lift g 'carrier \mathcal{F}_I = carrier\ G.
  qed
qed
end
```