

Dijkstra's Algorithm

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Abstract

We implement and prove correct Dijkstra's algorithm for the single source shortest path problem, conceived in 1956 by E. Dijkstra. The algorithm is implemented using the data refinement framework for monadic, nondeterministic programs. An efficient implementation is derived using data structures from the Isabelle Collection Framework.

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1 Introduction and Overview

Dijkstra’s algorithm [1] is an algorithm used to find shortest paths from one given vertex to all other vertices in a non-negatively weighted graph.

The implementation of the algorithm is meant to be an application of our extensions to the Isabelle Collections Framework (ICF) [4, 6, 7]. Moreover, it serves as a test case for our data refinement framework [5]. We use ICF-Maps to efficiently represent the graph and result and the newly introduced unique priority queues for the work list.

For a documentation of the refinement framework see [5], that also contains a userguide and some simpler examples.

The development utilizes a stepwise refinement approach. Starting from an abstract algorithm that has a nice correctness proof, we stepwise refine the algorithm until we end up with an efficient implementation, for that we generate code using Isabelle/HOL’s code generator[2, 3].

Structure of the Submission. The abstract version of the algorithm with the correctness proof, as well as the main refinement steps are contained in the theory `Dijkstra`. The refinement steps involving the ICF and code generation are contained in `Dijkstra-Impl`. The theory `Infty` contains an extension of numbers with an infinity element. The theory `Graph` contains a formalization of graphs, paths, and related concepts. The theories `GraphSpec`, `GraphGA`, `GraphByMap`, `HashGraphImpl` contain an ICF-style specification of graphs. The theory `Test` contains a small performance test on random graphs. It uses the ML-code generated by the code generator.

2 Miscellaneous Lemmas

```
theory Dijkstra-Misc
imports Main
begin
  inductive-set least-map for f S where
     $\llbracket x \in S; \forall x' \in S. f\ x \leq f\ x' \rrbracket \implies x \in \text{least-map } f\ S$ 

  lemma least-map-subset:  $\text{least-map } f\ S \subseteq S$ 
    by (auto elim: least-map.cases)

  lemmas least-map-elemD = set-mp[OF least-map-subset]

  lemma least-map-leD:
    assumes  $x \in \text{least-map } f\ S$ 
    assumes  $y \in S$ 
    shows  $f\ x \leq f\ y$ 
    using assms
```

```

    by (auto elim: least-map.cases)

lemma least-map-empty[simp]: least-map f {} = {}
  by (auto elim: least-map.cases)

lemma least-map-singleton[simp]: least-map (f::'a⇒'b::order) {x} = {x}
  by (auto elim: least-map.cases intro!: least-map.intros simp: refl)

lemma least-map-insert-min:
  fixes f::'a⇒'b::order
  assumes  $\forall y \in S. f\ x \leq f\ y$ 
  shows  $x \in \text{least-map } f\ (\text{insert } x\ S)$ 
  using assms by (auto intro: least-map.intros)

lemma least-map-insert-nmin:
   $\llbracket x \in \text{least-map } f\ S; f\ x \leq f\ a \rrbracket \implies x \in \text{least-map } f\ (\text{insert } a\ S)$ 
  by (auto elim: least-map.cases intro: least-map.intros)

context semilattice-inf
begin
  lemmas [simp] = inf-absorb1 inf-absorb2

  lemma inf-absorb-less[simp]:
     $a < b \implies \text{inf } a\ b = a$ 
     $a < b \implies \text{inf } b\ a = a$ 
    apply (metis le-iff-inf less-imp-le)
    by (metis inf-commute le-iff-inf less-imp-le)
end

end

```

3 Graphs

```

theory Graph
imports Main
begin

```

This theory defines a notion of graphs. A graph is a record that contains a set of nodes V and a set of labeled edges $E \subseteq V \times W \times V$, where W are the edge labels.

3.1 Definitions

A graph is represented by a record.

```
record ('v,'w) graph =
  nodes :: 'v set
  edges :: ('v × 'w × 'v) set
```

In a valid graph, edges only go from nodes to nodes.

```
locale valid-graph =
  fixes G :: ('v,'w) graph
  assumes E-valid: fst'edges G ⊆ nodes G
               snd'snd'edges G ⊆ nodes G
begin
  abbreviation V ≡ nodes G
  abbreviation E ≡ edges G
```

```
lemma E-validD: assumes (v,e,v')∈E
shows v∈V v'∈V
apply –
apply (rule set-mp[OF E-valid(1)])
using assms apply force
apply (rule set-mp[OF E-valid(2)])
using assms apply force
done
```

end

3.2 Basic operations on Graphs

The empty graph.

```
definition empty where
  empty ≡ ⟨ nodes = {}, edges = {} ⟩
```

Adds a node to a graph.

```
definition add-node where
  add-node v g ≡ ⟨ nodes = insert v (nodes g), edges=edges g ⟩
```

Deletes a node from a graph. Also deletes all adjacent edges.

```
definition delete-node where delete-node v g ≡ ⟨
  nodes = nodes g - {v},
  edges = edges g ∩ (-{v}) × UNIV × (-{v})
  ⟩
```

Adds an edge to a graph.

```
definition add-edge where add-edge v e v' g ≡ ⟨
  nodes = {v,v'} ∪ nodes g,
  edges = insert (v,e,v') (edges g)
  ⟩
```

)

Deletes an edge from a graph.

definition *delete-edge* **where** *delete-edge* $v\ e\ v'\ g \equiv ()$
nodes = *nodes* g , *edges* = *edges* $g - \{(v, e, v')\}$ **end**

Successors of a node.

definition *succ* :: $('v, 'w)\ graph \Rightarrow 'v \Rightarrow ('w \times 'v)\ set$
where *succ* $G\ v \equiv \{(w, v').\ (v, w, v') \in edges\ G\}$

Now follow some simplification lemmas.

lemma *empty-valid[simp]*: *valid-graph* *empty*

unfolding *empty-def* **by** *unfold-locals* *auto*

lemma *add-node-valid[simp]*: **assumes** *valid-graph* g

shows *valid-graph* (*add-node* $v\ g$)

proof —

interpret *valid-graph* g **by** *fact*

show *?thesis*

unfolding *add-node-def*

by *unfold-locals* (*auto* *dest*: *E-validD*)

qed

lemma *delete-node-valid[simp]*: **assumes** *valid-graph* g

shows *valid-graph* (*delete-node* $v\ g$)

proof —

interpret *valid-graph* g **by** *fact*

show *?thesis*

unfolding *delete-node-def*

by *unfold-locals* (*auto* *dest*: *E-validD*)

qed

lemma *add-edge-valid[simp]*: **assumes** *valid-graph* g

shows *valid-graph* (*add-edge* $v\ e\ v'\ g$)

proof —

interpret *valid-graph* g **by** *fact*

show *?thesis*

unfolding *add-edge-def*

by *unfold-locals* (*auto* *dest*: *E-validD*)

qed

lemma *delete-edge-valid[simp]*: **assumes** *valid-graph* g

shows *valid-graph* (*delete-edge* $v\ e\ v'\ g$)

proof —

interpret *valid-graph* g **by** *fact*

show *?thesis*

unfolding *delete-edge-def*

by *unfold-locals* (*auto* *dest*: *E-validD*)

qed

lemma *succ-finite[simp, intro]*: *finite* (*edges* G) $\implies$ *finite* (*succ* $G\ v$)

unfolding *succ-def*

by (*rule* *finite-subset* [**where** $B = snd\ 'edges\ G$]) *force* +

```

lemma nodes-empty[simp]: nodes empty = {} unfolding empty-def by simp
lemma edges-empty[simp]: edges empty = {} unfolding empty-def by simp
lemma succ-empty[simp]: succ empty v = {} unfolding empty-def succ-def by
auto

```

```

lemma nodes-add-node[simp]: nodes (add-node v g) = insert v (nodes g)
by (simp add: add-node-def)
lemma nodes-add-edge[simp]:
  nodes (add-edge v e v' g) = insert v (insert v' (nodes g))
by (simp add: add-edge-def)
lemma edges-add-edge[simp]:
  edges (add-edge v e v' g) = insert (v,e,v') (edges g)
by (simp add: add-edge-def)
lemma edges-add-node[simp]:
  edges (add-node v g) = edges g
by (simp add: add-node-def)

```

```

lemma (in valid-graph) succ-subset: succ G v  $\subseteq$  UNIV  $\times$  V
unfolding succ-def using E-valid
by (force)

```

3.3 Paths

A path is represented by a list of adjacent edges.

```

type-synonym ('v,'w) path = ('v  $\times$  'w  $\times$  'v) list

```

```

context valid-graph
begin

```

The following predicate describes a valid path:

```

fun is-path :: 'v  $\Rightarrow$  ('v,'w) path  $\Rightarrow$  'v  $\Rightarrow$  bool where
  is-path v [] v'  $\longleftrightarrow$  v=v'  $\wedge$  v'  $\in$  V |
  is-path v ((v1,w,v2)#p) v'  $\longleftrightarrow$  v=v1  $\wedge$  (v1,w,v2)  $\in$  E  $\wedge$  is-path v2 p v'

```

```

lemma is-path-simps[simp, intro!]:
  is-path v [] v  $\longleftrightarrow$  v  $\in$  V
  is-path v [(v,w,v')] v'  $\longleftrightarrow$  (v,w,v')  $\in$  E
by (auto dest: E-validD)

```

```

lemma is-path-memb[simp]:
  is-path v p v'  $\Longrightarrow$  v  $\in$  V  $\wedge$  v'  $\in$  V
apply (induct p arbitrary: v)
apply (auto dest: E-validD)
done

```

```

lemma is-path-split:
  is-path v (p1 @ p2) v'  $\longleftrightarrow$  ( $\exists$  u. is-path v p1 u  $\wedge$  is-path u p2 v')
by (induct p1 arbitrary: v) auto

```

```

lemma is-path-split'[simp]:
  is-path v (p1@(u,w,u')#p2) v'
     $\longleftrightarrow$  is-path v p1 u  $\wedge$  (u,w,u') $\in E$   $\wedge$  is-path u' p2 v'
  by (auto simp add: is-path-split)
end

```

Set of intermediate vertices of a path. These are all vertices but the last one. Note that, if the last vertex also occurs earlier on the path, it is contained in *int-vertices*.

```

definition int-vertices :: ('v, 'w') path  $\Rightarrow$  'v set where
  int-vertices p  $\equiv$  set (map fst p)

```

```

lemma int-vertices-simps[simp]:
  int-vertices [] = {}
  int-vertices (vv#p) = insert (fst vv) (int-vertices p)
  int-vertices (p1@p2) = int-vertices p1  $\cup$  int-vertices p2
  by (auto simp add: int-vertices-def)

```

```

lemma (in valid-graph) int-vertices-subset:
  is-path v p v'  $\implies$  int-vertices p  $\subseteq V$ 
  apply (induct p arbitrary: v)
  apply (simp)
  apply (force dest: E-validD)
  done

```

```

lemma int-vertices-empty[simp]: int-vertices p = {}  $\longleftrightarrow$  p=[]
  by (cases p) auto

```

3.3.1 Splitting Paths

Split a path at the point where it first leaves the set *W*:

```

lemma (in valid-graph) path-split-set:
  assumes is-path v p v' and v $\in W$  and v' $\notin W$ 
  obtains p1 p2 u w u' where
    p=p1@(u,w,u')#p2 and
    int-vertices p1  $\subseteq W$  and u $\in W$  and u' $\notin W$ 
  using assms
proof (induct p arbitrary: v thesis)
  case Nil thus ?case by auto
next
  case (Cons vv p)
  note [simp, intro!] =  $\langle v \in W \rangle \langle v' \notin W \rangle$ 
  from Cons.prems obtain w u' where
    [simp]: vv=(v,w,u') and
    REST: is-path u' p v'
  by (cases vv) auto

```

Distinguish whether the second node *u'* of the path is in *W*. If yes, the proposition

follows by the induction hypothesis, otherwise it is straightforward, as the split takes place at the first edge of the path.

```

{
  assume A [simp, intro!]: u' ∈ W
  from Cons.hyps[OF - REST] obtain p1 uu ww uu' p2 where
    p = p1 @ (uu, ww, uu') # p2 int-vertices p1 ⊆ W uu ∈ W uu' ∉ W
  by blast
  with Cons.prem1(1)[of vv # p1 uu ww uu' p2] have thesis by auto
} moreover {
  assume u' ∉ W
  with Cons.prem1(1)[of [] v w u' p] have thesis by auto
} ultimately show thesis by blast
qed

```

Split a path at the point where it first enters the set W :

```

lemma (in valid-graph) path-split-set':
  assumes is-path v p v' and v' ∈ W
  obtains p1 p2 u where
    p = p1 @ p2 and
    is-path v p1 u and
    is-path u p2 v' and
    int-vertices p1 ⊆ - W and u ∈ W
  using assms
proof (cases v ∈ W)
  case True with that[of [] p] assms show ?thesis
    by auto
next
  case False with assms that show ?thesis
proof (induct p arbitrary: v thesis)
  case Nil thus ?case by auto
next
  case (Cons vv p)
  note [simp, intro!] = ⟨v' ∈ W⟩ ⟨v ∉ W⟩
  from Cons.prem1 obtain w u' where
    [simp]: vv = (v, w, u') and [simp]: (v, w, u') ∈ E and
    REST: is-path u' p v'
  by (cases vv) auto

```

Distinguish whether the second node u' of the path is in W . If yes, the proposition is straightforward, otherwise, it follows by the induction hypothesis.

```

{
  assume A [simp, intro!]: u' ∈ W
  from Cons.prem1(3)[of [vv] p u'] REST have ?case by auto
} moreover {
  assume [simp, intro!]: u' ∉ W
  from Cons.hyps[OF REST] obtain p1 p2 u'' where
    [simp]: p = p1 @ p2 and
    is-path u' p1 u'' and
    is-path u'' p2 v' and

```

```

      int-vertices p1  $\subseteq$  -W and
      u'' $\in$ W by blast
    with Cons.prem(3)[of vv#p1] have ?case by auto
  } ultimately show ?case by blast
qed
qed

```

Split a path at the point where a given vertex is first visited:

```

lemma (in valid-graph) path-split-vertex:
  assumes is-path v p v' and u $\in$ int-vertices p
  obtains p1 p2 where
    p=p1@p2 and
    is-path v p1 u and
    u  $\notin$  int-vertices p1
  using assms
proof (induct p arbitrary: v thesis)
  case Nil thus ?case by auto
next
  case (Cons vv p)
  from Cons.prem obtain w u' where
    [simp]: vv=(v,w,u') v $\in$ V (v,w,u') $\in$ E and
    REST: is-path u' p v'
  by (cases vv) auto

  {
    assume u=v
    with Cons.prem(1)[of [] vv#p] have thesis by auto
  } moreover {
    assume [simp]: u $\neq$ v
    with Cons.hyps(1)[OF - REST] Cons.prem(3) obtain p1 p2 where
      p=p1@p2 is-path u' p1 u u $\notin$ int-vertices p1
      by auto
    with Cons.prem(1)[of vv#p1 p2] have thesis
      by auto
  } ultimately show ?case by blast
qed

```

3.4 Weighted Graphs

locale valid-mgraph = valid-graph G for G::('v,'w::monoid-add) graph

definition path-weight :: ('v,'w::monoid-add) path $\Rightarrow$ 'w
 where path-weight p $\equiv$ listsum (map (fst $\circ$ snd) p)

```

lemma path-weight-split[simp]:
  (path-weight (p1@p2)::'w::monoid-add) = path-weight p1 + path-weight p2
  unfolding path-weight-def

```

```

    by (auto)

lemma path-weight-empty[simp]: path-weight [] = 0
  unfolding path-weight-def
  by auto

lemma path-weight-cons[simp]:
  (path-weight (e#p)::'w::monoid-add) = fst (snd e) + path-weight p
  unfolding path-weight-def
  by (auto)

end

```

4 Weights for Dijkstra's Algorithm

```

theory Weight
imports Complex-Main
begin

```

In this theory, we set up a type class for weights, and a typeclass for weights with an infinity element. The latter one is used internally in Dijkstra's algorithm.

Moreover, we provide a datatype that adds an infinity element to a given base type.

4.1 Type Classes Setup

```

class weight = ordered-ab-semigroup-add + comm-monoid-add + linorder
begin

```

```

lemma add-nonneg-nonneg [simp]:
  assumes  $0 \leq a$  and  $0 \leq b$  shows  $0 \leq a + b$ 
proof -
  have  $0 + 0 \leq a + b$ 
    using assms by (rule add-mono)
  then show ?thesis by simp
qed

```

```

lemma add-nonpos-nonpos[simp]:
  assumes  $a \leq 0$  and  $b \leq 0$  shows  $a + b \leq 0$ 
proof -
  have  $a + b \leq 0 + 0$ 
    using assms by (rule add-mono)
  then show ?thesis by simp
qed

```

```

lemma add-nonneg-eq-0-iff:

```

```

assumes  $x: 0 \leq x$  and  $y: 0 \leq y$ 
shows  $x + y = 0 \longleftrightarrow x = 0 \wedge y = 0$ 
by (metis add.comm-neutral add.left-neutral add-left-mono antisym  $x\ y$ )

lemma add-incr:  $0 \leq b \implies a \leq a + b$ 
by (metis add.comm-neutral add-left-mono)

lemma add-incr-left[simp, intro!]:  $0 \leq b \implies a \leq b + a$ 
by (metis add-incr add commute)

lemma sum-not-less[simp, intro!]:
   $0 \leq b \implies \neg (a + b < a)$ 
   $0 \leq a \implies \neg (a + b < b)$ 
apply (metis add-incr less-le-not-le)
apply (metis add-incr-left less-le-not-le)
done

end

instance nat :: weight ..
instance int :: weight ..
instance rat :: weight ..
instance real :: weight ..

class top-weight = top + weight +
  assumes inf-add-right[simp]:  $a + top = top$ 
begin

lemma inf-add-left[simp]:  $top + a = top$ 
by (metis add-commute inf-add-right)

lemmas [simp] = top-unique less-top[symmetric]

lemma not-less-inf[simp]:
   $\neg (a < top) \longleftrightarrow a = top$ 
by simp

end

```

4.2 Adding Infinity

We provide a standard way to add an infinity element to any type.

```

datatype 'a infty = Infty | Num 'a
primrec val where val (Num  $d$ ) =  $d$ 

```

```

lemma num-val-iff[simp]:  $e \neq \text{Infty} \implies \text{Num } (\text{val } e) = e$  by (cases  $e$ ) auto

```

```

type-synonym NatB = nat infty

```

```

instantiation infty :: (weight) top-weight
begin
  definition (0::'a infty) == Num 0
  definition top  $\equiv$  Infty

  fun less-eq-infty where
    less-eq Infty (Num -)  $\longleftrightarrow$  False |
    less-eq - Infty  $\longleftrightarrow$  True |
    less-eq (Num a) (Num b)  $\longleftrightarrow$   $a \leq b$ 

  lemma [simp]: Infty  $\leq$  a  $\longleftrightarrow$   $a = \textit{Infty}$ 
    by (cases a) auto

  fun less-infty where
    less Infty -  $\longleftrightarrow$  False |
    less (Num -) Infty  $\longleftrightarrow$  True |
    less (Num a) (Num b)  $\longleftrightarrow$   $a < b$ 

  lemma [simp]: less a Infty  $\longleftrightarrow$   $a \neq \textit{Infty}$ 
    by (cases a) auto

  fun plus-infty where
    plus - Infty = Infty |
    plus Infty - = Infty |
    plus (Num a) (Num b) = Num (a+b)

  lemma [simp]: plus Infty a = Infty by (cases a) simp-all

instance
  apply (intro-classes)
  apply (case-tac [!] x) [4]
  apply simp-all
  apply (case-tac [!] y) [3]
  apply (simp-all add: less-le-not-le)
  apply (case-tac z)
  apply (simp-all add: top-infty-def zero-infty-def)
  apply (case-tac [!] a) [4]
  apply simp-all
  apply (case-tac [!] b) [3]
  apply (simp-all add: add-ac)
  apply (case-tac [!] c) [2]
  apply (simp-all add: add-ac add-right-mono)
  apply (case-tac (x,y) rule: less-eq-infty.cases)
  apply (simp-all add: linear)
  done
end

```

4.2.1 Unboxing

Conversion between the constants defined by the typeclass, and the concrete functions on the '*a infty*' type.

lemma *inf-ty-inf-unbox*:

Num a $\neq$ *top*

top $\neq$ *Num a*

InfTy = *top*

by (*auto simp add: top-inf-ty-def*)

lemma *inf-ty-ord-unbox*:

Num a $\leq$ *Num b* $\longleftrightarrow$ *a* $\leq$ *b*

Num a $<$ *Num b* $\longleftrightarrow$ *a* $<$ *b*

by *auto*

lemma *inf-ty-plus-unbox*:

Num a + *Num b* = *Num (a+b)*

by (*auto*)

lemma *inf-ty-zero-unbox*:

Num a = 0 $\longleftrightarrow$ *a* = 0

Num 0 = 0

by (*auto simp: zero-inf-ty-def*)

lemmas *inf-ty-unbox* =

inf-ty-inf-unbox inf-ty-zero-unbox inf-ty-ord-unbox inf-ty-plus-unbox

lemma *inf-not-zero[simp]*:

top $\neq$ (0::- *infTy*) (0::- *infTy*) $\neq$ *top*

apply (*unfold zero-inf-ty-def top-inf-ty-def*)

apply *auto*

done

lemma *num-val-iff'[simp]*: *e* $\neq$ *top* $\implies$ *Num (val e)* = *e*

by (*cases e*) (*auto simp add: inf-ty-unbox*)

lemma *inf-ty-neE*:

$\llbracket a \neq \text{InfTy}; \bigwedge d. a = \text{Num } d \implies P \rrbracket \implies P$

$\llbracket a \neq \text{top}; \bigwedge d. a = \text{Num } d \implies P \rrbracket \implies P$

by (*case-tac [!]* *a*) (*auto simp add: inf-ty-unbox*)

end

5 Dijkstra's Algorithm

theory *Dijkstra*

imports *Graph Dijkstra-Misc*

```

../Refine-Monadic/Refine ../Refine-Monadic/Collection-Bindings
Weight
begin

```

This theory defines Dijkstra's algorithm. First, a correct result of Dijkstra's algorithm w.r.t. a graph and a start vertex is specified. Then, the refinement framework is used to specify Dijkstra's Algorithm, prove it correct, and finally refine it to datatypes that are closer to an implementation than the original specification.

5.1 Graph's for Dijkstra's Algorithm

A graph annotated with weights.

```

locale weighted-graph = valid-graph G
for G :: ('V,'W::weight) graph

```

5.2 Specification of Correct Result

```

context weighted-graph
begin

```

A result of Dijkstra's algorithm is correct, if it is a map from nodes v to the shortest path from the start node $v0$ to v . Iff there is no such path, the node is not in the map.

```

definition is-shortest-path-map :: 'V  $\Rightarrow$  ('V  $\rightarrow$  ('V,'W) path)  $\Rightarrow$  bool
where
is-shortest-path-map v0 res  $\equiv$   $\forall v \in V. (case\ res\ v\ of$ 
  None  $\Rightarrow \neg(\exists p. is-path\ v0\ p\ v) \mid$ 
  Some p  $\Rightarrow is-path\ v0\ p\ v$ 
   $\wedge (\forall p'. is-path\ v0\ p'\ v \longrightarrow path-weight\ p \leq path-weight\ p')$ 
 $)$ 
end

```

The following function returns the weight of an optional path, where *None* is interpreted as infinity.

```

fun path-weight' where
  path-weight' None = top  $\mid$ 
  path-weight' (Some p) = Num (path-weight p)

```

5.3 Dijkstra's Algorithm

The state in the main loop of the algorithm consists of a workset *wl* of vertexes that still need to be explored, and a map *res* that contains the current shortest path for each vertex.

```

type-synonym ('V,'W) state = ('V set)  $\times$  ('V  $\rightarrow$  ('V,'W) path)

```

The preconditions of Dijkstra's algorithm, i.e., that it operates on a valid and finite graph, and that the start node is a node of the graph, are summarized in a locale.

```

locale Dijkstra = weighted-graph G
  for G :: ('V,'W::weight) graph+
  fixes v0 :: 'V
  assumes finite[simp,intro!]: finite V finite E
  assumes v0-in-V[simp, intro!]: v0 ∈ V
  assumes nonneg-weights[simp, intro]: (v,w,v') ∈ edges G ⇒ 0 ≤ w
begin

```

Paths have non-negative weights.

```

lemma path-nonneg-weight: is-path v p v' ⇒ 0 ≤ path-weight p
by (induct rule: is-path.induct) auto

```

Invariant of the main loop:

- The workset only contains nodes of the graph.
- If the result set contains a path for a node, it is actually a path, and uses only intermediate vertices outside the workset.
- For all vertices outside the workset, the result map contains the shortest path.
- For all vertices in the workset, the result map contains the shortest path among all paths that only use intermediate vertices outside the workset.

```

definition dinvar σ ≡ let (wl,res)=σ in
  wl ⊆ V ∧
  (∀ v ∈ V. ∀ p. res v = Some p ⇒ is-path v0 p v ∧ int-vertices p ⊆ V - wl) ∧
  (∀ v ∈ V - wl. ∀ p. is-path v0 p v
    ⇒ path-weight' (res v) ≤ path-weight' (Some p)) ∧
  (∀ v ∈ wl. ∀ p. is-path v0 p v ∧ int-vertices p ⊆ V - wl
    ⇒ path-weight' (res v) ≤ path-weight' (Some p)
  )

```

Sanity check: The invariant is strong enough to imply correctness of result.

```

lemma invar-imp-correct: dinvar ({},res) ⇒ is-shortest-path-map v0 res
unfolding dinvar-def is-shortest-path-map-def
by (auto simp: infty-unbox split: option.split)

```

The initial workset contains all vertices. The initial result maps $v0$ to the empty path, and all other vertices to *None*.

```

definition dinit :: ('V,'W) state nres where

```

$$dinit \equiv SPEC \ (\lambda(wl, res) . \\ wl = V \wedge res \ v0 = Some \ [] \wedge (\forall v \in V - \{v0\}. res \ v = None))$$

The initial state satisfies the invariant.

lemma *dinit-invar*: $dinit \leq SPEC \ dinvar$
unfolding *dinit-def*
apply (*intro refine-vcg*)
apply (*force simp: dinvar-def split: option.split*)
done

In each iteration, the main loop of the algorithm pops a minimal node from the workset, and then updates the result map accordingly.

Pop a minimal node from the workset. The node is minimal in the sense that the length of the current path for that node is minimal.

definition *pop-min* :: $('V, 'W) \text{ state} \Rightarrow ('V \times ('V, 'W) \text{ state}) \text{ nres}$ **where**
pop-min $\sigma \equiv do \{$
 let $(wl, res) = \sigma;$
 ASSERT $(wl \neq \{\});$
 $v \leftarrow RES \ (least_map \ (path_weight' \circ res) \ wl);$
 RETURN $(v, (wl - \{v\}, res))$
 $\}$

Updating the result according to a node v is done by checking, for each successor node, whether the path over v is shorter than the path currently stored into the result map.

inductive *update-spec* :: $'V \Rightarrow ('V, 'W) \text{ state} \Rightarrow ('V, 'W) \text{ state} \Rightarrow bool$
where
 $\llbracket \forall v' \in V. \\ res' \ v' \in least_map \ path_weight' \ (\\ \{ res \ v' \} \cup \{ Some \ (p @ [(v, w, v')]) \mid p \ w. res \ v = Some \ p \wedge (v, w, v') \in E \} \\) \\ \rrbracket \implies update_spec \ v \ (wl, res) \ (wl, res')$

In order to ease the refinement proof, we will assert the following precondition for updating.

definition *update-pre* :: $'V \Rightarrow ('V, 'W) \text{ state} \Rightarrow bool$ **where**
update-pre $v \ \sigma \equiv let \ (wl, res) = \sigma \ in \ v \in V \\ \wedge (\forall v' \in V - wl. \ v' \neq v \longrightarrow (\forall p. \ is_path \ v0 \ p \ v' \\ \longrightarrow path_weight' \ (res \ v') \leq path_weight' \ (Some \ p))) \\ \wedge (\forall v' \in V. \ \forall p. \ res \ v' = Some \ p \longrightarrow is_path \ v0 \ p \ v')$

definition *update* :: $'V \Rightarrow ('V, 'W) \text{ state} \Rightarrow ('V, 'W) \text{ state} \text{ nres}$ **where**
update $v \ \sigma \equiv do \{ ASSERT \ (update_pre \ v \ \sigma); SPEC \ (update_spec \ v \ \sigma) \}$

Finally, we define Dijkstra's algorithm:

definition *dijkstra* **where**
dijkstra $\equiv do \{$

```

 $\sigma 0 \leftarrow \text{dinit};$ 
 $(-, \text{res}) \leftarrow \text{WHILE}_T^{\text{dinvar}} (\lambda(wl, -). wl \neq \{\})$ 
   $(\lambda \sigma.$ 
     $\text{do } \{ (v, \sigma') \leftarrow \text{pop-min } \sigma; \text{update } v \ \sigma' \}$ 
   $)$ 
   $\sigma 0;$ 
 $\text{RETURN } \text{res} \}$ 

```

The following theorem states (total) correctness of Dijkstra's algorithm.

theorem *dijkstra-correct*: $\text{dijkstra} \leq \text{SPEC } (\text{is-shortest-path-map } v0)$

unfolding *dijkstra-def*

unfolding *dinit-def*

unfolding *pop-min-def* *update-def* [*abs-def*]

apply (*intro*

WHILEIT-rule [**where** $R = \text{inv-image } \{(x, y). x < y\} (\text{card} \circ \text{fst})$]

refine-vcg conjI)

apply (*simp-all split: prod.split-asm*)

proof –

fix $wl \ \text{res} \ v$

assume $\text{INV}: \text{dinvar } (wl, \text{res})$

and $\text{LM}: v \in \text{least-map } (\text{path-weight}' \circ \text{res}) \ wl$

hence $v \in V$ **unfolding** *dinvar-def* **by** (*auto dest: least-map-elemD*)

moreover

from INV **have** $\forall v' \in V - (wl - \{v\}). v' \neq v \longrightarrow$

$(\forall p. \text{is-path } v0 \ p \ v' \longrightarrow \text{path-weight}' (\text{res } v') \leq \text{Num } (\text{path-weight } p))$

by (*auto simp: dinvar-def*)

moreover from INV **have** $\forall v' \in V. \forall p. \text{res } v' = \text{Some } p \longrightarrow \text{is-path } v0 \ p \ v'$

by (*auto simp: dinvar-def*)

ultimately show *update-pre* $v \ (wl - \{v\}, \text{res})$ **by** (*auto simp: update-pre-def*)

next

fix res

assume $\text{dinvar } (\{\}, \text{res})$

thus *is-shortest-path-map* $v0 \ \text{res}$

by (*rule invar-imp-correct*)

next

show *wf* (*inv-image* $\{(x, y). x < y\} (\text{card} \circ \text{fst})$)

by (*blast intro: wf-less*)

next

fix $wl \ \text{res} \ v \ \sigma''$

assume

$\text{LM}: v \in \text{least-map } (\text{path-weight}' \circ \text{res}) \ wl$ **and**

$\text{UD}: \text{update-spec } v \ (wl - \{v\}, \text{res}) \ \sigma''$ **and**

$\text{INV}: \text{dinvar } (wl, \text{res})$

from LM **have** $v \in wl$ **by** (*auto dest: least-map-elemD*)

moreover from UD **have** $\text{fst } \sigma'' = wl - \{v\}$ **by** (*auto elim: update-spec.cases*)

moreover from INV **have** *finite* wl

unfolding *dinvar-def* **by** (*auto dest: finite-subset*)

```

ultimately show card (fst  $\sigma''$ ) < card wl
  apply simp
  by (metis card-gt-0-iff diff-Suc-less empty-iff)
next
fix a and res :: 'V  $\rightarrow$  ('V, 'W) path
assume a = V  $\wedge$  res v0 = Some []  $\wedge$  ( $\forall v \in V - \{v0\}. \text{res } v = \text{None}$ )
thus dinvar (V, res)
  by (force simp: dinvar-def split: option.split)
next
fix wl res
assume INV: dinvar (wl, res)
hence
  WL-SUBSET: wl  $\subseteq$  V and
  PATH-VALID:  $\forall v \in V. \forall p. \text{res } v = \text{Some } p \rightarrow \text{is-path } v0 \ p \wedge \text{int-vertices } p \subseteq V - \text{wl}$  and
  NWL-MIN:  $\forall v \in V - \text{wl}. \forall p. \text{is-path } v0 \ p \ v \rightarrow \text{path-weight}' (\text{res } v) \leq \text{Num } (\text{path-weight } p)$  and
  WL-MIN:  $\forall v \in \text{wl}. \forall p. \text{is-path } v0 \ p \ v \wedge \text{int-vertices } p \subseteq V - \text{wl} \rightarrow \text{path-weight}' (\text{res } v) \leq \text{Num } (\text{path-weight } p)$ 
  unfolding dinvar-def by auto

fix v  $\sigma''$ 
assume V-LEAST:  $v \in \text{least-map } (\text{path-weight}' \circ \text{res}) \ \text{wl}$ 
  and update-spec v (wl - {v}, res)  $\sigma''$ 
then obtain res' where
  [simp]:  $\sigma'' = (\text{wl} - \{v\}, \text{res}')$ 
  and CONSIDERED-NEW-PATHS:  $\forall v' \in V. \text{res}' v' \in \text{least-map path-weight}'$ 
    (insert (res v')
      ( $\{ \text{Some } (p @ [(v, w, v')]) \mid p \ w. \text{res } v = \text{Some } p \wedge (v, w, v') \in E \}$ ))
  by (auto elim!: update-spec.cases)

from V-LEAST have V-MEM:  $v \in \text{wl}$  by (blast intro: least-map-elemD)

show dinvar  $\sigma''$ 
  apply (unfold dinvar-def, simp)
  apply (intro conjI)
proof -
  from WL-SUBSET show  $\text{wl} - \{v\} \subseteq V$  by auto

  show  $\forall va \in V. \forall p. \text{res}' va = \text{Some } p \rightarrow \text{is-path } v0 \ p \ va \wedge \text{int-vertices } p \subseteq V - (\text{wl} - \{v\})$ 
  proof (intro ballI conjI impI allI)
    fix v' p
    assume V'-MEM:  $v' \in V$  and [simp]:  $\text{res}' v' = \text{Some } p$ 

```

The new paths that we have added are valid and only use intermediate vertices outside the workset.

This proof works as follows: A path $\text{res}' v'$ is either the old path, or has been assembled as a path over node v . In the former case the proposition follows straightforwardly from the invariant for the old state. In the latter case we get, by the

invariant for the old state, that the path over node v is valid. Then, we observe that appending an edge to a valid path yields a valid path again. Also, adding v as intermediate node is legal, as we just removed v from the workset.

```

with CONSIDERED-NEW-PATHS have  $res' v' \in (insert (res v')$ 
   $(\{ Some (p@[v,w,v']) \mid p w. res v = Some p \wedge (v,w,v') \in E \}))$ 
  by (rule-tac least-map-elemD) blast
moreover {
  assume [symmetric,simp]:  $res' v' = res v'$ 
  from V'-MEM PATH-VALID have
    is-path  $v0 p v'$ 
    int-vertices  $p \subseteq V - (wl - \{v\})$ 
    by force+
} moreover {
  fix  $pv w$ 
  assume  $res' v' = Some (pv@[v,w,v'])$ 
    and [simp]:  $res v = Some pv$ 
    and EDGE:  $(v,w,v') \in E$ 
  hence [simp]:  $p = pv@[v,w,v']$  by simp

from bspec[OF PATH-VALID set-rev-mp[OF V-MEM WL-SUBSET]] have

  PATHV: is-path  $v0 pv v$  and IVV: int-vertices  $pv \subseteq V - wl$  by auto
  hence
    is-path  $v0 p v'$ 
    int-vertices  $p \subseteq V - (wl - \{v\})$ 
    by (auto simp: EDGE V'-MEM)
}
ultimately show
  is-path  $v0 p v'$ 
  int-vertices  $p \subseteq V - (wl - \{v\})$ 
  by blast+
qed

```

We show that already the *original* result stores the minimal path for all vertices not in the *new* workset. For vertices also not in the original workset, this follows straightforwardly from the invariant.

For the vertex v , that has been removed from the workset, we split a path p' to v at the point u where it first enters the original workset.

As we chose v to be the vertex in the workset with the minimal weight, its weight is less than the current weight of u . As the vertices of the prefix of p' up to u are not in the workset, the current weight of u is less than the weight of the prefix of p' , and thus less than the weight of p' . Together, the current weight of v is less than the weight of p' .

```

have RES-MIN:  $\forall v \in V - (wl - \{v\}). \forall p. is-path v0 p v$ 
   $\rightarrow path-weight' (res v) \leq Num (path-weight p)$ 
proof (intro ballI allI impI)
  fix  $v' p'$ 
  assume NOT-IN-WL:  $v' \in V - (wl - \{v\})$ 
  and PATH: is-path  $v0 p' v'$ 

```

```

hence [simp, intro!]:  $v' \in V$  by auto

show  $\text{path-weight}'(\text{res } v') \leq \text{Num}(\text{path-weight } p')$ 
proof (cases  $v' = v$ )
  assume  $NE[simp]: v' \neq v$ 
  from  $bspec[OF\ NWL-MIN, of\ v']\ NOT-IN-WL\ PATH$  show
     $\text{path-weight}'(\text{res } v') \leq \text{Num}(\text{path-weight } p')$  by auto
next
  assume  $EQ[simp]: v' = v$ 

  from  $\text{path-split-set}'[OF\ PATH, of\ wl]\ V-MEM$  obtain  $p1\ p2\ u$  where
    [simp]:  $p' = p1 @ p2$ 
    and  $P1: \text{is-path } v0\ p1\ u$ 
    and  $P2: \text{is-path } u\ p2\ v'$ 
    and  $P1V: \text{int-vertices } p1 \subseteq -wl$ 
    and [simp]:  $u \in wl$ 
  by auto

  from  $\text{least-map-leD}[OF\ V-LEAST]$ 
  have  $\text{path-weight}'(\text{res } v') \leq \text{path-weight}'(\text{res } u)$  by auto
  also from  $bspec[OF\ WL-MIN, of\ u]\ P1\ P1V\ \text{int-vertices-subset}[OF\ P1]$ 
  have  $\text{path-weight}'(\text{res } u) \leq \text{Num}(\text{path-weight } p1)$  by auto
  also have  $\dots \leq \text{Num}(\text{path-weight } p')$ 
    using  $\text{path-nonneg-weight}[OF\ P2]$ 
    apply (auto simp: infy-unbox )
    by (metis add-0-right add-left-mono)
  finally show ?thesis .
qed
qed

```

With the previous statement, we easily show the third part of the invariant, as the new paths are not longer than the old ones.

```

show  $\forall v \in V - (wl - \{v\}). \forall p. \text{is-path } v0\ p\ v$ 
   $\longrightarrow \text{path-weight}'(\text{res}' v) \leq \text{Num}(\text{path-weight } p)$ 
proof (intro allI ballI impI)
  fix  $v' p$ 
  assume  $NOT-IN-WL: v' \in V - (wl - \{v\})$ 
  and  $PATH: \text{is-path } v0\ p\ v'$ 
  hence [simp, intro!]:  $v' \in V$  by auto
  from  $bspec[OF\ CONSIDERED-NEW-PATHS, of\ v']$ 
  have  $\text{path-weight}'(\text{res}' v') \leq \text{path-weight}'(\text{res } v')$ 
    by (auto dest: least-map-leD)
  also from  $bspec[OF\ RES-MIN\ NOT-IN-WL]\ PATH$ 
  have  $\text{path-weight}'(\text{res } v') \leq \text{Num}(\text{path-weight } p)$  by blast
  finally show  $\text{path-weight}'(\text{res}' v') \leq \text{Num}(\text{path-weight } p)$  .
qed

```

Finally, we have to show that for nodes on the worklist, the stored paths are not longer than any path using only nodes not on the worklist. Compared to the situation before the step, those path may also use the node v .

```

show  $\forall va \in wl - \{v\}. \forall p.$ 
   $is-path\ v0\ p\ va \wedge int-vertices\ p \subseteq V - (wl - \{v\})$ 
   $\longrightarrow path-weight'(res'\ va) \leq Num\ (path-weight\ p)$ 
proof (intro allI impI ballI, elim conjE)
  fix  $v'\ p$ 
  assume  $IWS: v' \in wl - \{v\}$ 
  and  $PATH: is-path\ v0\ p\ v'$ 
  and  $VERTICES: int-vertices\ p \subseteq V - (wl - \{v\})$ 
from  $IWS\ WL-SUBSET$  have [simp, intro!]:  $v' \in V$  by auto

{

```

If the path is empty, the proposition follows easily from the invariant for the original states, as no intermediate nodes are used at all.

```

  assume [simp]:  $p = []$ 
  from  $bspec[OF\ CONSIDERED-NEW-PATHS, of\ v']$  have
     $path-weight'(res'\ v') \leq path-weight'(res\ v')$ 
  using  $IWS\ WL-SUBSET$  by (auto dest: least-map-leD)
  also have  $int-vertices\ p \subseteq V - wl$  by auto
  with  $WL-MIN\ IWS\ PATH$ 
  have  $path-weight'(res\ v') \leq Num\ (path-weight\ p)$ 
  by (auto simp del: path-weight-empty)
  finally have  $path-weight'(res'\ v') \leq Num\ (path-weight\ p)$  .
} moreover {
  fix  $p1\ u\ w$ 
  assume [simp]:  $p = p1 @ [(u, w, v')]$ 

```

If the path is not empty, we pick the last but one vertex, and call it u .

```

  from  $PATH$  have  $PATH1: is-path\ v0\ p1\ u$  and  $EDGE: (u, w, v') \in E$  by
auto
  from  $VERTICES$  have  $NIV: u \in V - (wl - \{v\})$  by simp
  hence  $U-MEM[ simp ]: u \in V$  by auto

```

From $RES-MIN$, we know that $res\ u$ holds the shortest path to u . Thus p is longer than the path that is constructed by replacing the prefix of p by term "res u"

```

  from  $NIV\ RES-MIN\ PATH1$ 
  have  $G: Num\ (path-weight\ p1) \geq path-weight'(res\ u)$  by simp
  then obtain  $pu$  where [simp]:  $res\ u = Some\ pu$ 
  by (cases res u (auto simp: infty-unbox))
  from  $G$  have  $Num\ (path-weight\ p) \geq path-weight'(res\ u) + Num\ w$ 
  by (auto simp: infty-unbox add-right-mono)
  also
  have  $path-weight'(res\ u) + Num\ w \geq path-weight'(res'\ v')$ 

```

The remaining argument depends on whether u equals v . In the case $u \neq v$, all vertices of $res\ u$ are outside the original workset. Thus, appending the edge (u, w, v') to $res\ u$ yields a path to v over intermediate nodes only outside the workset. By the invariant for the original state, $res\ v'$ is shorter than this path. As a step does not replace paths by longer ones, also $res'\ v'$ is shorter.

In the case $u = v$, the step has considered the path to v' over v , and thus the result path is not longer.

```

proof (cases  $u=v$ )
  assume  $u \neq v$ 
  with  $NIV$  have  $NIV'$ :  $u \in V - wl$  by auto
  from  $bspec[OF\ PATH-VALID\ U-MEM]\ NIV'$ 
  have  $is-path\ v0\ pu\ u$  and  $VU$ :  $int-vertices\ (pu@[u,w,v']) \subseteq V - wl$ 
    by auto
  with  $EDGE$  have  $PV'$ :  $is-path\ v0\ (pu@[u,w,v'])\ v'$  by auto
  with  $bspec[OF\ WL-MIN,\ of\ v']\ IWS\ VU$  have
     $path-weight'\ (res\ v') \leq Num\ (path-weight\ (pu@[u,w,v']))$ 
    by blast
  hence  $path-weight'\ (res\ u) + Num\ w \geq path-weight'\ (res\ v')$ 
    by (auto simp: infy-unbox)
  also from  $CONSIDERED-NEW-PATHS$  have
     $path-weight'\ (res\ v') \geq path-weight'\ (res'\ v')$ 
    by (auto dest: least-map-leD)
  finally (order-trans[rotated]) show ?thesis .
next
  assume [symmetric,simp]:  $u=v$ 
  from  $CONSIDERED-NEW-PATHS\ EDGE$  have
     $path-weight'\ (res'\ v') \leq path-weight'\ (Some\ (pu@[v,w,v']))$ 
    by (rule-tac least-map-leD) auto
  thus ?thesis by (auto simp: infy-unbox)
qed
finally (order-trans[rotated]) have
   $path-weight'\ (res'\ v') \leq Num\ (path-weight\ p)$  .
} ultimately show  $path-weight'\ (res'\ v') \leq Num\ (path-weight\ p)$ 
  using  $PATH$  apply (cases p rule: rev-cases) by auto
qed
qed
qed

```

5.4 Structural Refinement of Update

Now that we have proved correct the initial version of the algorithm, we start refinement towards an efficient implementation.

First, the update function is refined to iterate over each successor of the selected node, and update the result on demand.

```

definition uinvar
  :: ' $V \Rightarrow 'V\ set \Rightarrow - \Rightarrow ('W \times 'V)\ set \Rightarrow ('V, 'W)\ state \Rightarrow bool$ ' where
  uinvar  $v\ wl\ res\ it\ \sigma \equiv let\ (wl', res') = \sigma\ in\ wl' = wl$ 
   $\wedge (\forall v' \in V.$ 
     $res'\ v' \in least-map\ path-weight'\ ($ 
       $\{ res\ v' \} \cup \{ Some\ (p@[v,w,v']) \mid p\ w.\ res\ v = Some\ p$ 
       $\wedge (w, v') \in succ\ G\ v - it\ }$ 
     $))$ 

```

$\wedge (\forall v' \in V. \forall p. \text{res}' v' = \text{Some } p \longrightarrow \text{is-path } v0 \ p \ v')$
 $\wedge \text{res}' v = \text{res } v$

definition $\text{update}' :: 'V \Rightarrow ('V, 'W) \text{ state} \Rightarrow ('V, 'W) \text{ state nres}$ **where**

$\text{update}' v \sigma \equiv \text{do } \{$
 $\text{ASSERT } (\text{update-pre } v \ \sigma);$
 $\text{let } (wl, \text{res}) = \sigma;$
 $\text{let } wv = \text{path-weight}' (\text{res } v);$
 $\text{let } pv = \text{res } v;$
 $\text{FOREACH}^{\text{uinvar } v \ wl \ \text{res}} (\text{succ } G \ v) (\lambda(w', v') (wl, \text{res}).$
 $\text{if } (wv + \text{Num } w' < \text{path-weight}' (\text{res } v')) \text{ then do } \{$
 $\text{ASSERT } (v' \in wl \wedge pv \neq \text{None});$
 $\text{RETURN } (wl, \text{res}(v' \mapsto \text{the } pv@[v, w', v'])))$
 $\} \text{ else RETURN } (wl, \text{res})$
 $\} (wl, \text{res}) \}$

lemma $\text{update}'\text{-refines}$:

assumes $v' = v$ **and** $\sigma' = \sigma$
shows $\text{update}' v' \sigma' \leq \Downarrow \text{Id } (\text{update } v \ \sigma)$
apply (*simp only: assms*)
unfolding $\text{update}'\text{-def}$ update-def
apply (*refine-rcg*)
apply *assumption*

apply (*intro refine-vcg conjI*)
apply (*simp-all only: singleton-iff*)

proof –

fix $wl \ \text{res}$
assume $\text{update-pre } v \ (wl, \text{res})$
thus $\text{uinvar } v \ wl \ \text{res} \ (\text{succ } G \ v) \ (wl, \text{res})$
by (*simp add: uinvar-def update-pre-def*)

next

fix $wl \ \text{res} \ it \ wl' \ \text{res}' \ v' \ w'$
assume $\text{PRE}: \text{update-pre } v \ (wl, \text{res})$
assume $\text{INV}: \text{uinvar } v \ wl \ \text{res} \ it \ (wl', \text{res}')$
assume $\text{MEM}: (w', v') \in it$
assume $\text{IT-SS}: it \subseteq \text{succ } G \ v$
assume $\text{LESS}: \text{path-weight}' (\text{res } v) + \text{Num } w' < \text{path-weight}' (\text{res}' v')$

from PRE **have** [*simp, intro!*]: $v \in V$ **by** (*simp add: update-pre-def*)

from MEM IT-SS **have** [*simp, intro!*]: $v' \in V$ **using** *succ-subset*
by *auto*

from LESS **obtain** pv **where** [*simp*]: $\text{res } v = \text{Some } pv$
by (*cases res v*) *auto*

thus $\text{res } v \neq \text{None}$ **by** *simp*

have $[\text{simp}]: \text{wl}' = \text{wl}$ **and** $[\text{simp}]: \text{res}' v = \text{res } v$
using *INV* **unfolding** *uinvar-def* **by** *auto*

from *MEM IT-SS* **have** $\text{EDGE}[\text{simp}]: (v, w', v') \in E$
unfolding *succ-def* **by** *auto*
with *INV* **have** $[\text{simp}]: \text{is-path } v0 \text{ } pv \text{ } v$
unfolding *uinvar-def* **by** *auto*

have $0 \leq w'$ **by** (*rule nonneg-weights* [*OF EDGE*])
hence $[\text{simp}]: v' \neq v$ **using** *LESS*
by *auto*
hence $[\text{simp}]: v \neq v'$ **by** *blast*

show $[\text{simp}]: v' \in \text{wl}'$ **proof** (*rule ccontr*)
assume $[\text{simp}]: v' \notin \text{wl}'$
hence $[\text{simp}]: v' \in V - \text{wl}$ **and** $[\text{simp}]: v' \notin \text{wl}$ **by** *auto*
note *LESS*
also
from *INV* **have** $\text{path-weight}' (\text{res}' v') \leq \text{path-weight}' (\text{res } v')$
unfolding *uinvar-def* **by** (*auto dest: least-map-leD*)
also
from *PRE* **have** $PW: \bigwedge p. \text{is-path } v0 \text{ } p \text{ } v' \implies$
 $\text{path-weight}' (\text{res } v') \leq \text{path-weight}' (\text{Some } p)$
unfolding *update-pre-def*
by *auto*
have $P: \text{is-path } v0 \text{ } (pv @ [(v, w', v')]) \text{ } v'$ **by** *simp*
from $PW[\text{OF } P]$ **have**
 $\text{path-weight}' (\text{res } v') \leq \text{Num } (\text{path-weight } (pv @ [(v, w', v')]))$
by *auto*
finally show *False* **by** (*simp add: infty-unbox*)
qed

show $\text{uinvar } v \text{ } \text{wl} \text{ } \text{res } (it - \{(w', v')\}) \text{ } (\text{wl}', \text{res}'(v' \mapsto \text{the } (\text{res } v) @ [(v, w', v')]))$
proof –

have $(\text{res}'(v' \mapsto \text{the } (\text{res } v) @ [(v, w', v')])) v = \text{res}' v$ **by** *simp*
moreover {

fix v'' **assume** *VMEM*: $v'' \in V$
have $(\text{res}'(v' \mapsto \text{the } (\text{res } v) @ [(v, w', v')])) v'' \in \text{least-map path-weight}' ($
 $\{ \text{res } v'' \} \cup \{ \text{Some } (p @ [(v, w, v'')]) \mid p \text{ } w. \text{res } v = \text{Some } p$
 $\wedge (w, v'') \in \text{succ } G \text{ } v - (it - \{(w', v')\}) \}$
 $) \wedge (\forall p. (\text{res}'(v' \mapsto \text{the } (\text{res } v) @ [(v, w', v')])) v'' = \text{Some } p$
 $\implies \text{is-path } v0 \text{ } p \text{ } v'')$

proof (*cases* $v'' = v'$)
case *False* [*simp*]
have $\{ \text{Some } (p @ [(v, w, v'')]) \mid p \text{ } w. \text{res } v = \text{Some } p$
 $\wedge (w, v'') \in \text{succ } G \text{ } v - (it - \{(w', v')\}) \} =$
 $\{ \text{Some } (p @ [(v, w, v'')]) \mid p \text{ } w. \text{res } v = \text{Some } p$

```

 $\wedge (w, v') \in \text{succ } G \ v - it \}$ 
  by auto
with INV VMEM show ?thesis unfolding uinvar-def
  by simp
next
case True[simp]
have EQ:  $\{ \text{res } v'' \} \cup \{ \text{Some } (p@[v, w, v']) \mid p \text{ w. } \text{res } v = \text{Some } p$ 
 $\wedge (w, v') \in \text{succ } G \ v - (it - \{(w', v')\}) \} =$ 
insert (Some (pv@[v, w', v'])) (
 $\{ \text{res } v'' \} \cup \{ \text{Some } (p@[v, w, v']) \mid p \text{ w. } \text{res } v = \text{Some } p$ 
 $\wedge (w, v') \in \text{succ } G \ v - it \})$ 
  using MEM IT-SS
  by auto
show ?thesis
  apply (subst EQ)
  apply simp
  apply (rule least-map-insert-min)
  apply (rule ballI)
proof -
  fix r'
  assume A:
 $r' \in \text{insert } (\text{res } v')$ 
 $\{ \text{Some } (pv @ [(v, w, v')]) \mid w. (w, v') \in \text{succ } G \ v \wedge (w, v') \notin it \}$ 

  from LESS have
 $\text{path-weight}' (\text{Some } (pv @ [(v, w', v')])) < \text{path-weight}' (\text{res}' v')$ 
  by (auto simp: infty-unbox)
  also from INV[unfolded uinvar-def] have
 $\text{res}' v' \in \text{least-map path-weight}' ($ 
 $\text{insert } (\text{res } v')$ 
 $\{ \text{Some } (pv @ [(v, w, v')]) \mid w. (w, v') \in \text{succ } G \ v \wedge (w, v') \notin it \}$ 
 $)$ 
  by auto
  with A have  $\text{path-weight}' (\text{res}' v') \leq \text{path-weight}' r'$ 
  by (auto dest: least-map-leD)
  finally show
 $\text{path-weight}' (\text{Some } (pv @ [(v, w', v')])) \leq \text{path-weight}' r'$ 
  by simp
qed
qed
}
ultimately show ?thesis
  unfolding uinvar-def Let-def
  by auto
qed
next
fix wl res it w' v' wl' res'
assume INV: uinvar v wl res it (wl', res')
and NLESS:  $\neg \text{path-weight}' (\text{res } v) + \text{Num } w' < \text{path-weight}' (\text{res}' v')$ 

```

```

and IN-IT:  $(w', v') \in it$ 
and IT-SS:  $it \subseteq succ\ G\ v$ 

from IN-IT IT-SS have  $[simp, intro!]: (w', v') \in succ\ G\ v$  by auto
hence  $[simp, intro!]: v' \in V$  using succ-subset
by auto

show  $uinvar\ v\ wl\ res\ (it - \{(w', v')\})\ (wl', res')$ 
proof (cases res v)
  case None  $[simp]$ 
    from INV show ?thesis
    unfolding uinvar-def by auto
next
  case (Some p)  $[simp]$ 
    {
      fix  $v''$ 
      assume  $[simp, intro!]: v'' \in V$ 
      have  $res'\ v'' \in least\text{-}map\ path\text{-}weight'\ ($ 
         $\{ res\ v'' \} \cup \{ Some\ (p@[v, w, v'']) \mid p\ w.\ res\ v = Some\ p$ 
         $\wedge (w, v'') \in succ\ G\ v - (it - \{(w', v')\}) \}$ 
         $)\ (is\ - \in least\text{-}map\ path\text{-}weight'\ ?S)$ 
      proof (cases v''=v')
        case False with INV show ?thesis
        unfolding uinvar-def by auto
      next
        case True  $[simp]$ 

        have  $EQ: ?S = insert\ (Some\ (p@[v, w', v']))\ ($ 
           $\{ res\ v' \} \cup \{ Some\ (p@[v, w, v'']) \mid p\ w.\ res\ v = Some\ p$ 
           $\wedge (w, v'') \in succ\ G\ v - it\ \}$ 
           $)$ 
          by auto
        from NLESS have
           $path\text{-}weight'\ (res'\ v') \leq path\text{-}weight'\ (Some\ (p@[v, w', v']))$ 
          by (auto simp: infty-unbox)
        thus ?thesis
          apply (subst EQ)
          apply (rule least-map-insert-nmin)
          using INV unfolding uinvar-def apply auto []
          apply simp
          done
        qed
      } with INV
    show ?thesis
    unfolding uinvar-def by auto
  qed
next
  fix  $wl\ res\ \sigma'$ 

```

```

assume uinvar v wl res {}  $\sigma'$ 
thus update-spec v (wl,res)  $\sigma'$ 
  unfolding uinvar-def
  apply (cases  $\sigma'$ )
  apply (auto intro: update-spec.intros simp: succ-def)
  done
next
  show finite (succ G v) by simp
qed

```

We integrate the new update function into the main algorithm:

```

definition dijkstra' where
  dijkstra'  $\equiv$  do {
     $\sigma 0 \leftarrow$  dinit;
     $(-,res) \leftarrow$  WHILET dinvar ( $\lambda(wl,-). wl \neq \{\}$ )
      ( $\lambda\sigma. do \{(v,\sigma') \leftarrow pop-min \sigma; update' v \sigma'\}$ )
       $\sigma 0$ ;
    RETURN res
  }

lemma dijkstra'-refines: dijkstra'  $\leq \Downarrow Id$  dijkstra
proof –
  note [refine] = update'-refines
  have [refine]:  $\bigwedge \sigma \sigma'. \sigma = \sigma' \implies pop-min \sigma \leq \Downarrow Id (pop-min \sigma')$  by simp
  show ?thesis
    unfolding dijkstra-def dijkstra'-def
    apply (refine-rcg)
    apply simp-all
    done
qed
end

```

5.5 Refinement to Cached Weights

Next, we refine the data types of the workset and the result map. The workset becomes a map from nodes to their current weights. The result map stores, in addition to the shortest path, also the weight of the shortest path. Moreover, we store the shortest paths in reversed order, which makes appending new edges more efficient.

These refinements allow to implement the workset as a priority queue, and save recomputation of the path weights in the inner loop of the algorithm.

```

type-synonym ('V,'W) mwl = ('V  $\rightarrow$  'W infty)
type-synonym ('V,'W) mres = ('V  $\rightarrow$  (('V,'W) path  $\times$  'W))
type-synonym ('V,'W) mstate = ('V,'W) mwl  $\times$  ('V,'W) mres

```

Map a path with cached weight to one without cached weight.

```

fun mpath' :: (('V,'W) path  $\times$  'W) option  $\rightarrow$  ('V,'W) path where

```

```

mpath' None = None |
mpath' (Some (p,w)) = Some p

fun mpath-weight' :: (('V,'W) path × 'W) option ⇒ ('W::weight) infy where
  mpath-weight' None = top |
  mpath-weight' (Some (p,w)) = Num w

```

context *Dijkstra*
begin

```

definition αw::('V,'W) mwl ⇒ 'V set where αw ≡ dom
definition αr::('V,'W) mres ⇒ 'V → ('V,'W) path where
  αr ≡ λres v. case res v of None ⇒ None | Some (p,w) ⇒ Some (rev p)
definition αs::('V,'W) mstate ⇒ ('V,'W) state where
  αs ≡ map-pair αw αr

```

Additional invariants for the new state. They guarantee that the cached weights are consistent.

```

definition res-invarm :: ('V → (('V,'W) path × 'W)) ⇒ bool where
  res-invarm res ≡ (∀ v. case res v of
    None ⇒ True |
    Some (p,w) ⇒ w = path-weight (rev p))
definition dinvarm :: ('V,'W) mstate ⇒ bool where
  dinvarm σ ≡ let (wl,res) = σ in
    (∀ v ∈ dom wl. the (wl v) = mpath-weight' (res v)) ∧ res-invarm res

```

lemma mpath-weight'-correct: $\llbracket \text{dinvarm } (wl,res) \rrbracket \implies$
 $\text{mpath-weight}' (res\ v) = \text{path-weight}' (\alpha r\ res\ v)$

unfolding dinvarm-def res-invarm-def αr-def
by (auto split: option.split option.split-asm)

lemma mpath'-correct: $\llbracket \text{dinvarm } (wl,res) \rrbracket \implies$
 $\text{mpath}' (res\ v) = \text{Option.map rev } (\alpha r\ res\ v)$
unfolding dinvarm-def αr-def
by (auto split: option.split option.split-asm)

lemma wl-weight-correct:
assumes INV: $\text{dinvarm } (wl,res)$
assumes WLV: $wl\ v = \text{Some } w$
shows $\text{path-weight}' (\alpha r\ res\ v) = w$

proof –
from INV WLV **have** $w = \text{mpath-weight}' (res\ v)$
unfolding dinvarm-def **by** force
also from mpath-weight'-correct[OF INV] **have**
 $\dots = \text{path-weight}' (\alpha r\ res\ v)$.
finally show ?thesis **by** simp
qed

The initial state is constructed using an iterator:

definition $mdinit :: ('V, 'W) \text{ mstate } nres$ **where**

```
mdinit  $\equiv$  do {
  wl  $\leftarrow$  FOREACH V ( $\lambda v$  wl. RETURN (wl( $v \mapsto$  Infy))) Map.empty;
  RETURN (wl( $v0 \mapsto$  Num 0), [ $v0 \mapsto$  ( $[], 0$ )])
}
```

lemma $mdinit\text{-refines}$: $mdinit \leq \Downarrow(\text{build-rel } \alpha s \text{ dinvarm}) \text{ dinit}$

unfolding $mdinit\text{-def}$ $dinit\text{-def}$

apply (rule build-rel-SPEC)

apply (intro FOREACH-rule [where $I = \lambda it \text{ wl. } (\forall v \in V - it. \text{ wl } v = \text{Some Infy})$])

$\wedge$

$\text{dom } wl = V - it]$

refine-vcg)

apply (auto

$\text{simp: } \alpha s\text{-def } \alpha w\text{-def } \alpha r\text{-def } \text{dinvarm-def } \text{res-invarm-def } \text{infy-unbox}$

$\text{split: split-if-asm}$

)

done

The new pop function:

definition

$mpop\text{-min} :: ('V, 'W) \text{ mstate } \Rightarrow ('V \times 'W \text{ infy} \times ('V, 'W) \text{ mstate}) \text{ nres}$

where

$mpop\text{-min } \sigma \equiv$ do {

let $(wl, res) = \sigma$;

$(v, w, wl') \leftarrow \text{prio-pop-min } wl$;

RETURN $(v, w, (wl', res))$

}

lemma $mpop\text{-min-refines}$:

$\llbracket (\sigma, \sigma') \in \text{build-rel } \alpha s \text{ dinvarm} \rrbracket \implies$

$mpop\text{-min } \sigma \leq$

$\Downarrow(\text{build-rel}$

$(\lambda(v, w, \sigma). (v, \alpha s \sigma))$

$(\lambda(v, w, \sigma). \text{dinvarm } \sigma \wedge w = \text{mpath-weight}'(\text{snd } \sigma \ v)))$

$(\text{pop-min } \sigma')$

— The two algorithms are structurally different, so we use the `nofail/inres` method to prove refinement.

unfolding $mpop\text{-min-def}$ pop-min-def prio-pop-min-def

apply (rule pw-ref-svI)

apply rule

apply (auto $\text{simp add: refine-pw-simps } \alpha s\text{-def } \alpha w\text{-def}$

$\text{split: prod.split prod.split-asm}$)

apply (auto simp: dinvarm-def) []

apply (auto $\text{simp: mpath-weight}'\text{-correct } \text{wl-weight-correct}$) []

```

apply (auto
  simp: wl-weight-correct
  intro!: least-map.intros
) []
done

```

The new update function:

definition *uinvar* v wl res it $\sigma \equiv$
uinvar v wl res it $(\alpha s \sigma) \wedge \text{dinvarm } \sigma$

definition *mupdate* $:: 'V \Rightarrow 'W \text{ infty} \Rightarrow ('V, 'W) \text{ mstate} \Rightarrow ('V, 'W) \text{ mstate}$
 $nres$

where

```

mupdate  $v$   $wv$   $\sigma \equiv \text{do } \{$ 
  ASSERT (update-pre  $v$   $(\alpha s \sigma) \wedge wv = \text{mpath-weight}' (\text{snd } \sigma \ v)$ );
  let  $(wl, res) = \sigma$ ;
  let  $pv = \text{mpath}' (res \ v)$ ;
  FOREACH uinvar  $v$   $(\alpha w \ wl) (\alpha r \ res) (\text{succ } G \ v) (\lambda(w', v') (wl, res).$ 
    if  $(wv + \text{Num } w' < \text{mpath-weight}' (res \ v'))$  then do {
      ASSERT  $(v' \in \text{dom } wl \wedge pv \neq \text{None})$ ;
      RETURN  $(wl(v' \mapsto wv + \text{Num } w'),$ 
         $res(v' \mapsto ((v, w', v') \# \text{the } pv, \text{val } wv + w')))$ 
    } else RETURN  $(wl, res)$ 
  )  $(wl, res)$ 
}

```

lemma *mupdate-refines*:

assumes *SREF*: $(\sigma, \sigma') \in \text{build-rel } \alpha s \text{ dinvarm}$
assumes *WV*: $wv = \text{mpath-weight}' (\text{snd } \sigma \ v)$
assumes *VV'*: $v' = v$

shows *mupdate* v wv $\sigma \leq \Downarrow(\text{build-rel } \alpha s \text{ dinvarm}) (\text{update}' \ v' \ \sigma')$

proof (*simp only: VV'*)

{

Show that IF-condition is a refinement:

```

fix  $wl \ res \ wl' \ res' \ it \ w' \ v'$ 
assume uinvar  $v$   $(\alpha w \ wl) (\alpha r \ res) \ it \ (wl', res')$ 
and dinvarm  $(wl, res)$ 
hence  $\text{mpath-weight}' (res \ v) + \text{Num } w' < \text{mpath-weight}' (res' \ v') \longleftrightarrow$ 
 $\text{path-weight}' (\alpha r \ res \ v) + \text{Num } w' < \text{path-weight}' (\alpha r \ res' \ v')$ 
unfolding uinvarm-def
by (auto simp add: mpath-weight'-correct)
} note COND-refine=this

{

```

THEN-case:

```

fix  $wl \ res \ wl' \ res' \ it \ w' \ v'$ 
assume UINV: uinvar  $v$   $(\alpha w \ wl) (\alpha r \ res) \ it \ (wl', res')$ 

```

```

and DINV: dinvarm (wl, res)
and mpath-weight' (res v) + Num w' < mpath-weight' (res' v')
and path-weight' ( $\alpha r$  res v) + Num w' < path-weight' ( $\alpha r$  res' v')
and V'MEM:  $v' \in \alpha w$  wl'
and NN:  $\alpha r$  res v  $\neq$  None

from NN obtain pv wv where
  ARV:  $\alpha r$  res v = Some (rev pv) and
  RV: res v = Some (pv, wv)
  unfolding  $\alpha r$ -def by (auto split: option.split-asm)

with DINV have [simp]: wv = path-weight (rev pv)
  unfolding dinvarm-def res-invarm-def by (auto split: option.split-asm)

note [simp] = ARV RV

from V'MEM NN have  $v' \in \text{dom } wl'$  (is ?G1)
  and mpath' (res v)  $\neq$  None (is ?G2)
  unfolding  $\alpha w$ -def  $\alpha r$ -def by (auto split: option.split-asm)

hence  $\bigwedge x. \alpha w$  wl' =  $\alpha w$  (wl' ( $v' \mapsto x$ )) by (auto simp:  $\alpha w$ -def)
moreover have mpath' (res v) = Option.map rev ( $\alpha r$  res v) using DINV
  by (simp add: mpath'-correct)
ultimately have
   $\alpha w$  wl' =  $\alpha w$  (wl' ( $v' \mapsto \text{mpath-weight}' (\text{res } v) + \text{Num } w'$ ))
   $\wedge (\alpha r \text{ res}') (v' \mapsto \text{the } (\alpha r \text{ res } v) @ [(v, w', v')])$ 
  =  $\alpha r (\text{res}' (v' \mapsto ((v, w', v') \# \text{the } (\text{mpath}' (\text{res } v)),$ 
     $\text{val } (\text{mpath-weight}' (\text{res } v)) + w')))$  (is ?G3)
  by (auto simp add:  $\alpha r$ -def intro!: ext)
have
  (dinvarm (wl' ( $v' \mapsto \text{mpath-weight}' (\text{res } v) + \text{Num } w'$ ),
     $\text{res}' (v' \mapsto ((v, w', v') \# \text{the } (\text{mpath}' (\text{res } v)),$ 
       $\text{val } (\text{mpath-weight}' (\text{res } v)) + w'$ 
    )))) (is ?G4)
  using UINV unfolding uinvarm-def dinvarm-def res-invarm-def
  by (auto simp: infty-unbox split: option.split option.split-asm)
note  $\langle ?G1 \rangle \langle ?G2 \rangle \langle ?G3 \rangle \langle ?G4 \rangle$ 
} note THEN-refine=this

note [refine2] = inj-on-id

show mupdate v wv  $\sigma \leq \Downarrow (\text{build-rel } \alpha s \text{ dinvarm}) (\text{update}' v \sigma')$ 
using SREF WV
unfolding mupdate-def update'-def
apply –

apply (refine-rcg)
apply simp-all [4]

```

```

apply (simp add:  $\alpha s$ -def uinvarm-def)
apply (simp-all add:  $\alpha s$ -def COND-refine THEN-refine(1-2)) [3]
using THEN-refine(3,4)
apply (auto simp:  $\alpha s$ -def) []

```

The ELSE-case is trivial:

```

apply simp
done
qed

```

Finally, we assemble the refined algorithm:

```

definition mdijkstra where
  mdijkstra  $\equiv$  do {
     $\sigma 0 \leftarrow$  mdinit;
     $(-, res) \leftarrow$  WHILETdinvarm ( $\lambda(wl, -). \text{dom } wl \neq \{\}$ )
      ( $\lambda\sigma. \text{do } \{ (v, wv, \sigma') \leftarrow \text{mpop-min } \sigma; \text{mupdate } v \ wv \ \sigma' \}$ )
       $\sigma 0$ ;
    RETURN res
  }

lemma mdijkstra-refines: mdijkstra  $\leq \Downarrow(\text{build-rel } \alpha r \text{ res-invarm})$  dijkstra'
proof -
  note [refine] = mdinit-refines mpop-min-refines mupdate-refines
  show ?thesis
  unfolding mdijkstra-def dijkstra'-def
  apply (refine-rcg)
  apply (simp-all split: prod.split
    add:  $\alpha s$ -def  $\alpha w$ -def dinvarm-def)
  done
qed

end

end

```

6 Graph Interface

```

theory GraphSpec
imports Main Graph ../Refine-Monadic/Refine

```

```

begin

```

This theory defines an ICF-style interface for graphs.

```

type-synonym ('V, 'W, 'G) graph- $\alpha$  = 'G  $\Rightarrow$  ('V, 'W) graph

locale graph =
  fixes  $\alpha :: 'G \Rightarrow ('V, 'W) \text{ graph}$ 

```

```

fixes invar :: 'G ⇒ bool
assumes finite[simp, intro!]:
  invar g ⇒ finite (nodes ( $\alpha$  g))
  invar g ⇒ finite (edges ( $\alpha$  g))
assumes valid: invar g ⇒ valid-graph ( $\alpha$  g)

type-synonym ('V,'W,'G) graph-empty = unit ⇒ 'G
locale graph-empty = graph +
  constrains  $\alpha$  :: 'G ⇒ ('V,'W) graph
fixes empty :: unit ⇒ 'G
assumes empty-correct:
   $\alpha$  (empty ()) = Graph.empty
  invar (empty ())

type-synonym ('V,'W,'G) graph-add-node = 'V ⇒ 'G ⇒ 'G
locale graph-add-node = graph +
  constrains  $\alpha$  :: 'G ⇒ ('V,'W) graph
fixes add-node :: 'V ⇒ 'G ⇒ 'G
assumes add-node-correct:
  invar g ⇒ invar (add-node v g)
  invar g ⇒  $\alpha$  (add-node v g) = Graph.add-node v ( $\alpha$  g)

type-synonym ('V,'W,'G) graph-delete-node = 'V ⇒ 'G ⇒ 'G
locale graph-delete-node = graph +
  constrains  $\alpha$  :: 'G ⇒ ('V,'W) graph
fixes delete-node :: 'V ⇒ 'G ⇒ 'G
assumes delete-node-correct:
  invar g ⇒ invar (delete-node v g)
  invar g ⇒  $\alpha$  (delete-node v g) = Graph.delete-node v ( $\alpha$  g)

type-synonym ('V,'W,'G) graph-add-edge = 'V ⇒ 'W ⇒ 'V ⇒ 'G ⇒ 'G
locale graph-add-edge = graph +
  constrains  $\alpha$  :: 'G ⇒ ('V,'W) graph
fixes add-edge :: 'V ⇒ 'W ⇒ 'V ⇒ 'G ⇒ 'G
assumes add-edge-correct:
  invar g ⇒ invar (add-edge v e v' g)
  invar g ⇒  $\alpha$  (add-edge v e v' g) = Graph.add-edge v e v' ( $\alpha$  g)

type-synonym ('V,'W,'G) graph-delete-edge = 'V ⇒ 'W ⇒ 'V ⇒ 'G ⇒ 'G
locale graph-delete-edge = graph +
  constrains  $\alpha$  :: 'G ⇒ ('V,'W) graph
fixes delete-edge :: 'V ⇒ 'W ⇒ 'V ⇒ 'G ⇒ 'G
assumes delete-edge-correct:
  invar g ⇒ invar (delete-edge v e v' g)
  invar g ⇒  $\alpha$  (delete-edge v e v' g) = Graph.delete-edge v e v' ( $\alpha$  g)

type-synonym ('V,'W,' $\sigma$ ,'G) graph-nodes-it = 'G ⇒ ('V,' $\sigma$ ) set-iterator
locale graph-nodes-it = graph +
  constrains  $\alpha$  :: 'G ⇒ ('V,'W) graph

```

fixes *nodes-it* :: 'G $\Rightarrow$ ('V,' σ) set-iterator
assumes *nodes-it-correct*:
invar *g* $\Rightarrow$ set-iterator (*nodes-it* *g*) (Graph.nodes (α *g*))

type-synonym ('V,'W,' σ ,'G) *graph-edges-it* = 'G $\Rightarrow$ (('V $\times$ 'W $\times$ 'V),' σ) set-iterator
locale *graph-edges-it* = *graph* +
constrains α :: 'G $\Rightarrow$ ('V,'W) *graph*
fixes *edges-it* :: ('V,'W,' σ ,'G) *graph-edges-it*
assumes *edges-it-correct*:
invar *g* $\Rightarrow$ set-iterator (*edges-it* *g*) (Graph.edges (α *g*))

type-synonym ('V,'W,' σ ,'G) *graph-succ-it* = 'G $\Rightarrow$ 'V $\Rightarrow$ ('W $\times$ 'V,' σ) set-iterator
locale *graph-succ-it* = *graph* +
constrains α :: 'G $\Rightarrow$ ('V,'W) *graph*
fixes *succ-it* :: 'G $\Rightarrow$ 'V $\Rightarrow$ ('W $\times$ 'V,' σ) set-iterator
assumes *succ-it-correct*:
invar *g* $\Rightarrow$ set-iterator (*succ-it* *g* *v*) (Graph.succ (α *g*) *v*)

6.1 Adjacency Lists

type-synonym ('V,'W) *adj-list* = 'V list $\times$ ('V $\times$ 'W $\times$ 'V) list

definition *adjl- α* :: ('V,'W) *adj-list* $\Rightarrow$ ('V,'W) *graph* **where**
adjl- α *l* $\equiv$ let (*nl*,*el*) = *l* in $\{$
nodes = set *nl* $\cup$ fst'set *el* $\cup$ snd'snd'set *el*,
edges = set *el*
 $\}$

lemma *adjl-is-graph*: *graph* *adjl- α* (λ -. True)
apply (*unfold-locales*)
unfolding *adjl- α -def*
by *force*+

type-synonym ('V,'W,'G) *graph-from-list* = ('V,'W) *adj-list* $\Rightarrow$ 'G
locale *graph-from-list* = *graph* +
constrains α :: 'G $\Rightarrow$ ('V,'W) *graph*
fixes *from-list* :: ('V,'W) *adj-list* $\Rightarrow$ 'G
assumes *from-list-correct*:
invar (*from-list* *l*)
 α (*from-list* *l*) = *adjl- α* *l*

type-synonym ('V,'W,'G) *graph-to-list* = 'G $\Rightarrow$ ('V,'W) *adj-list*
locale *graph-to-list* = *graph* +
constrains α :: 'G $\Rightarrow$ ('V,'W) *graph*
fixes *to-list* :: 'G $\Rightarrow$ ('V,'W) *adj-list*
assumes *to-list-correct*:

$$\text{invar } g \implies \text{adjl-}\alpha \text{ (to-list } g) = \alpha \text{ } g$$

6.2 Record Based Interface

```

record ('V,'W,'G) graph-ops =
  gop- $\alpha$  :: ('V,'W,'G) graph- $\alpha$ 
  gop-invar :: 'G  $\Rightarrow$  bool
  gop-empty :: ('V,'W,'G) graph-empty
  gop-add-node :: ('V,'W,'G) graph-add-node
  gop-delete-node :: ('V,'W,'G) graph-delete-node
  gop-add-edge :: ('V,'W,'G) graph-add-edge
  gop-delete-edge :: ('V,'W,'G) graph-delete-edge
  gop-from-list :: ('V,'W,'G) graph-from-list
  gop-to-list :: ('V,'W,'G) graph-to-list

locale StdGraphDefs =
  fixes ops :: ('V,'W,'G,'m) graph-ops-scheme
begin
  abbreviation  $\alpha$  where  $\alpha \equiv$  gop- $\alpha$  ops
  abbreviation invar where invar  $\equiv$  gop-invar ops
  abbreviation empty where empty  $\equiv$  gop-empty ops
  abbreviation add-node where add-node  $\equiv$  gop-add-node ops
  abbreviation delete-node where delete-node  $\equiv$  gop-delete-node ops
  abbreviation add-edge where add-edge  $\equiv$  gop-add-edge ops
  abbreviation delete-edge where delete-edge  $\equiv$  gop-delete-edge ops
  abbreviation from-list where from-list  $\equiv$  gop-from-list ops
  abbreviation to-list where to-list  $\equiv$  gop-to-list ops
end

locale StdGraph = StdGraphDefs +
  graph  $\alpha$  invar +
  graph-empty  $\alpha$  invar empty +
  graph-add-node  $\alpha$  invar add-node +
  graph-delete-node  $\alpha$  invar delete-node +
  graph-add-edge  $\alpha$  invar add-edge +
  graph-delete-edge  $\alpha$  invar delete-edge +
  graph-from-list  $\alpha$  invar from-list +
  graph-to-list  $\alpha$  invar to-list
begin
  lemmas correct = empty-correct add-node-correct delete-node-correct
    add-edge-correct delete-edge-correct
    from-list-correct to-list-correct
end

```

6.3 Refinement Framework Bindings

```

lemma (in graph-nodes-it) nodes-it-is-iterator[refine-transfer]:
  invar  $g \implies$  set-iterator (nodes-it  $g$ ) (nodes ( $\alpha$   $g$ ))
by (rule nodes-it-correct)

```

```

lemma (in graph-edges-it) edges-it-is-iterator[refine-transfer]:
  invar  $g \implies \text{set-iterator } (\text{edges-it } g) (\text{edges } (\alpha \ g))$ 
  by (rule edges-it-correct)

lemma (in graph-succ-it) succ-it-is-iterator[refine-transfer]:
  invar  $g \implies \text{set-iterator } (\text{succ-it } g \ v) (\text{Graph.succ } (\alpha \ g) \ v)$ 
  by (rule succ-it-correct)

lemma (in graph) drh[refine-dref-RELATES]: RELATES (build-rel  $\alpha$  invar)
  by (simp add: RELATES-def)

```

end

7 Generic Algorithms for Graphs

```

theory GraphGA
imports GraphSpec ../Collections/iterator/SetIteratorOperations
begin

```

```

definition gga-from-list ::
  ('V,'W,'G) graph-empty  $\Rightarrow$  ('V,'W,'G) graph-add-node
   $\Rightarrow$  ('V,'W,'G) graph-add-edge
   $\Rightarrow$  ('V,'W,'G) graph-from-list
  where
    gga-from-list e a u l  $\equiv$ 
      let (nl,el) = l;
      g1 = foldl ( $\lambda g \ v. \ a \ v \ g$ ) (e ()) nl
      in foldl ( $\lambda g \ (v,e,v'). \ u \ v \ e \ v' \ g$ ) g1 el

```

```

lemma gga-from-list-correct:
  fixes  $\alpha :: 'G \Rightarrow ('V,'W) \text{ graph}$ 
  assumes graph-empty  $\alpha$  invar e
  assumes graph-add-node  $\alpha$  invar a
  assumes graph-add-edge  $\alpha$  invar u
  shows graph-from-list  $\alpha$  invar (gga-from-list e a u)

```

proof –

interpret

```

  graph-empty  $\alpha$  invar e +
  graph-add-node  $\alpha$  invar a +
  graph-add-edge  $\alpha$  invar u
  by fact+

```

```

{
  fix nl el
  def g1  $\equiv$  (foldl ( $\lambda g \ v. \ a \ v \ g$ ) (e ()) nl)

```

```

def g2≡(foldl (λg (v,e,v'). u v e v' g) g1 el)
have invar g1 ∧ α g1 = (| nodes = set nl, edges = {} |)
  unfolding g1-def
  by (induct nl rule: rev-induct)
    (auto simp: empty-correct add-node-correct empty-def add-node-def)
hence invar g2
  ∧ α g2 = (| nodes = set nl ∪ fst'set el ∪ snd'snd'set el,
    edges = set el |)
  unfolding g2-def
  by (induct el rule: rev-induct) (auto simp: add-edge-correct add-edge-def)
hence invar g2 ∧ adjl-α (nl,el) = α g2
  unfolding adjl-α-def by auto
}
thus ?thesis
  unfolding gga-from-list-def [abs-def]
  apply unfold-locales
  apply auto
  done
qed

```

term map-iterator-product

definition gga-edges-it ::
 ('V,'W,'σ,'G) graph-nodes-it ⇒ ('V,'W,'σ,'G) graph-succ-it
 ⇒ ('V,'W,'σ,'G) graph-edges-it
where gga-edges-it ni si G ≡ set-iterator-product (ni G) (λv. si G v)

lemma gga-edges-it-correct:
 fixes ni::('V,'W,'σ,'G) graph-nodes-it
 fixes α :: 'G ⇒ ('V,'W) graph
 assumes graph-nodes-it α *invar* ni
 assumes graph-succ-it α *invar* si
 shows graph-edges-it α *invar* (gga-edges-it ni si)

proof –
interpret graph-nodes-it α *invar* ni +
 graph-succ-it α *invar* si **by** fact+

show ?thesis

proof

fix g

assume INV: *invar* g

from set-iterator-product-correct[OF
 nodes-it-correct[OF INV] succ-it-correct[OF INV]]
have set-iterator (set-iterator-product (ni g) (λv. si g v))
 (SIGMA v:nodes (α g). succ (α g) v)
 .

```

    also have (SIGMA  $v:\text{nodes } (\alpha \ g). \text{succ } (\alpha \ g) \ v = \text{edges } (\alpha \ g)$ )
      unfolding succ-def
      by (auto dest: valid-graph.E-validD[OF valid[OF INV]])
    finally show set-iterator (gga-edges-it ni si g) (edges  $(\alpha \ g)$ )
      unfolding gga-edges-it-def .
  qed
qed

```

```

definition gga-to-list ::
  ( $'V, 'W, -, 'G$ ) graph-nodes-it  $\Rightarrow$  ( $'V, 'W, -, 'G$ ) graph-edges-it  $\Rightarrow$ 
  ( $'V, 'W, 'G$ ) graph-to-list
where
  gga-to-list ni ei g  $\equiv$ 
    (ni g  $(\lambda-. \text{True})$  (op  $\#$ ) [], ei g  $(\lambda-. \text{True})$  (op  $\#$ ) [])

```

```

lemma gga-to-list-correct:
  fixes  $\alpha :: 'G \Rightarrow ('V, 'W)$  graph
  assumes graph-nodes-it  $\alpha$  invar ni
  assumes graph-edges-it  $\alpha$  invar ei
  shows graph-to-list  $\alpha$  invar (gga-to-list ni ei)
proof -
  interpret graph-nodes-it  $\alpha$  invar ni +
    graph-edges-it  $\alpha$  invar ei
  by fact +

```

show *?thesis*

```

proof
  fix g
  assume [simp, intro!]: invar g
  then interpret valid-graph  $\alpha \ g$  by (rule valid)

```

```

  have set (ni g  $(\lambda-. \text{True})$  (op  $\#$ ) []) = V
    apply (rule-tac  $I=\lambda it \ \sigma. \text{set } \sigma = V - it$ )
    in set-iterator-rule-P[OF nodes-it-correct]
    by auto
  moreover have set (ei g  $(\lambda-. \text{True})$  (op  $\#$ ) []) = E
    apply (rule-tac  $I=\lambda it \ \sigma. \text{set } \sigma = E - it$ )
    in set-iterator-rule-P[OF edges-it-correct]
    by auto
  ultimately show adjl- $\alpha$  (gga-to-list ni ei g) =  $\alpha \ g$ 
    unfolding adjl- $\alpha$ -def gga-to-list-def
    apply simp
    apply (rule graph.equality)
    apply (auto intro: E-validD)
    done

```

qed

qed

end

8 Implementing Graphs by Maps

```
theory GraphByMap
imports ../Collections/Collections
    GraphSpec GraphGA
begin
```

```
locale gbm-defs =
  m1!: StdMap m1-ops +
  m2!: StdMap m2-ops +
  s3!: StdSet s3-ops +
  m1!: map-value-image-filter m1.α m1.invar m1.α m1.invar m1-mvif
  for m1-ops::('V,'m2,'m1,-) map-ops-scheme
  and m2-ops::('V,'s3,'m2,-) map-ops-scheme
  and s3-ops::('W,'s3,-) set-ops-scheme
  and m1-mvif :: ('V ⇒ 'm2 ⇝ 'm2) ⇒ 'm1 ⇒ 'm1
```

begin

definition $gbm-α :: ('V, 'W, 'm1) graph-α$ **where**

```
gbm-α m1 ≡
  ⟨ nodes = dom (m1.α m1),
    edges = {(v,w,v').
      ∃ m2 s3. m1.α m1 v = Some m2
      ∧ m2.α m2 v' = Some s3
      ∧ w ∈ s3.α s3
    }
  ⟩
```

definition $gbm-invar m1 ≡$

```
m1.invar m1 ∧
(∀ m2 ∈ ran (m1.α m1). m2.invar m2 ∧
 (∀ s3 ∈ ran (m2.α m2). s3.invar s3)
) ∧ valid-graph (gbm-α m1)
```

lemma $gbm-invar-split$:

assumes $gbm-invar g$

shows

$m1.invar g$

$∧ v m2. m1.α g v = Some m2 ⇒ m2.invar m2$

$∧ v m2 v' s3. m1.α g v = Some m2 ⇒ m2.α m2 v' = Some s3 ⇒ s3.invar$

$s3$

$valid-graph (gbm-α g)$

using *assms* **unfolding** $gbm-invar-def$

by (*auto intro: ranI*)

end

definition *map-Sigma* $M1\ F2 \equiv \{$
 $(x,y). \exists v. M1\ x = \text{Some } v \wedge y \in F2\ v$
 $\}$

lemma *map-Sigma-alt*: $\text{map-Sigma } M1\ F2 = \text{Sigma } (\text{dom } M1) (\lambda x.$
 $F2\ (\text{the } (M1\ x)))$
unfolding *map-Sigma-def*
by *auto*

sublocale *gbm-defs* < *graph gbm- α gbm-invar*

proof

fix g

assume *INV*: *gbm-invar* g

then interpret *vg!*: *valid-graph* (*gbm- α* g) **by** (*simp add*: *gbm-invar-def*)

from *vg.E-valid*

show *fst* ‘ *edges* (*gbm- α* g) $\subseteq$ *nodes* (*gbm- α* g) **and**
snd ‘ *snd* ‘ *edges* (*gbm- α* g) $\subseteq$ *nodes* (*gbm- α* g) .

from *INV* **show** *finite* (*nodes* (*gbm- α* g))

unfolding *gbm-invar-def gbm- α -def* **by** *auto*

note [*simp*] = *gbm-invar-split*[*OF INV*]

show *finite* (*edges* (*gbm- α* g))

apply (*rule finite-imageD*[**where** $f = \lambda(v,e,v'). (v,v',e)$])

apply (*rule finite-subset*[**where** $B =$

$\text{map-Sigma } (m1.\alpha\ g) (\lambda m2. \text{map-Sigma } (m2.\alpha\ m2) (s3.\alpha))$])

apply (*auto simp add*: *map-Sigma-def gbm- α -def*) []

apply (*unfold map-Sigma-alt*)

apply (*auto intro!*: *finite-SigmaI inj-onI*)

done

qed

lemma *ranE*:

assumes $v \in \text{ran } m$

obtains k **where** $m\ k = \text{Some } v$

using *assms*

by (*metis ran-restrictD restrict-map-self*)

lemma *option-bind-alt*:

$\text{Option.bind } x\ f = (\text{case } x\ \text{of } \text{None} \Rightarrow \text{None} \mid \text{Some } v \Rightarrow f\ v)$

by (*auto split*: *option.split*)

context *gbm-defs*
begin

definition *gbm-empty* :: ('V,'W,'m1) *graph-empty* **where**
gbm-empty $\equiv$ *m1.empty*

lemma *gbm-empty-correct*:
graph-empty gbm- α gbm-invar gbm-empty
apply (*unfold-locales*)
unfolding *gbm- α -def gbm-invar-def gbm-empty-def*
apply (*auto simp: m1.correct Graph.empty-def*)
apply (*unfold-locales*)
apply *auto*
done

definition *gbm-add-node* :: ('V,'W,'m1) *graph-add-node* **where**
gbm-add-node v g $\equiv$ *case m1.lookup v g of*
None $\Rightarrow$ m1.update v (m2.empty ()) g |
Some - $\Rightarrow$ g

lemma *gbm-add-node-correct*:
graph-add-node gbm- α gbm-invar gbm-add-node
proof
fix *g v*
assume *INV: gbm-invar g*
note [*simp*]= *gbm-invar-split[OF INV]*
show *gbm- α (gbm-add-node v g) = add-node v (gbm- α g)*
unfolding *gbm- α -def gbm-add-node-def*
by (*auto simp: m1.correct m2.correct s3.correct add-node-def*
split: option.split split-if-asm)
thus *gbm-invar (gbm-add-node v g)*
unfolding *gbm-invar-def*
apply (*simp*)
unfolding *gbm- α -def gbm-add-node-def add-node-def*
apply (*auto simp: m1.correct m2.correct s3.correct add-node-def*
split: option.split split-if-asm elim!: ranE)
done
qed

definition *gbm-delete-node* :: ('V,'W,'m1) *graph-delete-node* **where**
gbm-delete-node v g $\equiv$ *let g=m1.delete v g in*
m1-mvif (λ - m2. Some (m2.delete v m2)) g

lemma *gbm-delete-node-correct*:
graph-delete-node gbm- α gbm-invar gbm-delete-node
proof
fix *g v*
assume *INV: gbm-invar g*

```

note [simp]= gbm-invar-split[OF INV]
show gbm- $\alpha$  (gbm-delete-node v g) = delete-node v (gbm- $\alpha$  g)
  unfolding gbm- $\alpha$ -def gbm-delete-node-def
  by (auto simp: restrict-map-def option-bind-alt
    m1.correct m2.correct s3.correct m1.map-value-image-filter-correct
    delete-node-def
    split: option.split split-if-asm option.split-asm)

thus gbm-invar (gbm-delete-node v g)
  unfolding gbm-invar-def
  apply (simp)
  unfolding gbm- $\alpha$ -def gbm-delete-node-def delete-node-def
  apply (auto simp: restrict-map-def option-bind-alt
    m1.correct m2.correct s3.correct m1.map-value-image-filter-correct
    split: option.split split-if-asm option.split-asm elim!: ranE)
  done
qed

```

```

definition gbm-add-edge :: ('V,'W,'m1) graph-add-edge where
  gbm-add-edge v e v' g  $\equiv$ 
  let g = (case m1.lookup v' g of
    None  $\Rightarrow$  m1.update v' (m2.empty ()) g | Some -  $\Rightarrow$  g
  ) in
  case m1.lookup v g of
    None  $\Rightarrow$  (m1.update v (m2.sng v' (s3.sng e)) g) |
    Some m2  $\Rightarrow$  (case m2.lookup v' m2 of
      None  $\Rightarrow$  m1.update v (m2.update v' (s3.sng e) m2) g |
      Some s3  $\Rightarrow$  m1.update v (m2.update v' (s3.ins e s3) m2) g)

```

lemma gbm-add-edge-correct:

graph-add-edge gbm- α gbm-invar gbm-add-edge

proof

```

fix g v e v'
assume INV: gbm-invar g
note [simp]= gbm-invar-split[OF INV]
show gbm- $\alpha$  (gbm-add-edge v e v' g) = add-edge v e v' (gbm- $\alpha$  g)
  unfolding gbm- $\alpha$ -def gbm-add-edge-def
  apply (auto simp: m1.correct m2.correct s3.correct
    Let-def
    split: option.split split-if-asm)
  unfolding add-edge-def

  apply (fastforce split: split-if-asm
    simp: m1.correct m2.correct s3.correct
  )+
  done

```

thus gbm-invar (gbm-add-edge v e v' g)

```

unfolding gbm-invar-def
apply (simp)
unfolding gbm- $\alpha$ -def gbm-add-edge-def
apply (force simp: m1.correct m2.correct s3.correct
  Let-def
  split: option.split split-if-asm elim!: ranE)
done
qed

```

```

definition gbm-delete-edge :: ('V,'W,'m1) graph-delete-edge where
  gbm-delete-edge v e v' g  $\equiv$ 
  case m1.lookup v g of
    None  $\Rightarrow$  g |
    Some m2  $\Rightarrow$  (
      case m2.lookup v' m2 of
        None  $\Rightarrow$  g |
        Some s3  $\Rightarrow$  m1.update v (m2.update v' (s3.delete e s3) m2) g
    )

```

lemma gbm-delete-edge-correct:

graph-delete-edge gbm- α gbm-invar gbm-delete-edge

proof

```

fix g v e v'
assume INV: gbm-invar g
note [simp]= gbm-invar-split[OF INV]
show gbm- $\alpha$  (gbm-delete-edge v e v' g) = delete-edge v e v' (gbm- $\alpha$  g)
  unfolding gbm- $\alpha$ -def gbm-delete-edge-def delete-edge-def
  apply (auto simp: m1.correct m2.correct s3.correct
    Let-def
    split: option.split split-if-asm)
  done

```

```

thus gbm-invar (gbm-delete-edge v e v' g)
  unfolding gbm-invar-def
  apply (simp)
  unfolding gbm- $\alpha$ -def gbm-delete-edge-def
  apply (auto simp: m1.correct m2.correct s3.correct
    Let-def
    split: option.split split-if-asm elim!: ranE)
  done
qed

```

definition gbm-nodes-it

```

:: ('m1  $\Rightarrow$  ('V  $\times$  'm2,' $\sigma$ ) set-iterator)  $\Rightarrow$  ('V,'W,' $\sigma$ , 'm1) graph-nodes-it
where
  gbm-nodes-it mit g  $\equiv$  map-iterator-dom (mit g)

```

lemma *gbm-nodes-it-correct*:
assumes *mit*: *map-iteratei m1.α m1.invar mit*
shows *graph-nodes-it gbm-α gbm-invar (gbm-nodes-it mit)*
proof
interpret *m*: *map-iteratei m1.α m1.invar mit* **by** *fact*

fix *g*
assume *gbm-invar g*
hence *MINV*: *map-op-invar m1-ops g* **unfolding** *gbm-invar-def* **by** *auto*
from *map-iterator-dom-correct*[*OF m.iteratei-rule*[*OF MINV*]]
show *set-iterator (gbm-nodes-it mit g) (nodes (gbm-α g))*
unfolding *gbm-nodes-it-def gbm-α-def* **by** *simp*
qed

term *set-iterator-product*

definition *gbm-edges-it*

$$\begin{aligned} &:: \\ &('m1 \Rightarrow ('V \times 'm2, 'σ) \text{ set-iterator}) \Rightarrow \\ &('m2 \Rightarrow ('V \times 's3, 'σ) \text{ set-iterator}) \Rightarrow \\ &('s3 \Rightarrow ('W, 'σ) \text{ set-iterator}) \Rightarrow \\ &('V, 'W, 'σ, 'm1) \text{ graph-edges-it} \end{aligned}$$
where
gbm-edges-it mit1 mit2 sit g $\equiv$ *set-iterator-image*
 $(\lambda((v1, m1), (v2, m2), w). (v1, w, v2))$
 $(\text{set-iterator-product } (mit1 \ g)$
 $(\lambda(v, m2). \text{ set-iterator-product } (mit2 \ m2) (\lambda(w, s3). \text{ sit } s3)))$

lemma *gbm-edges-it-correct*:
assumes *mit1*: *map-iteratei m1.α m1.invar mit1*
assumes *mit2*: *map-iteratei m2.α m2.invar mit2*
assumes *sit*: *set-iteratei s3.α s3.invar sit*
shows *graph-edges-it gbm-α gbm-invar (gbm-edges-it mit1 mit2 sit)*
proof
fix *g*
assume *INV*: *gbm-invar g*

from *INV* **have** *I1*: *m1.invar g* **unfolding** *gbm-invar-def* **by** *auto*
from *INV* **have** *I2*: $\bigwedge v \ m2. (v, m2) \in \text{map-to-set } (m1.α \ g) \implies m2.invar \ m2$
unfolding *gbm-invar-def map-to-set-def*
by (*auto simp: ran-def*)
from *INV* **have** *I3*: $\bigwedge v \ m2 \ v' \ s. \llbracket$
 $(v, m2) \in \text{map-to-set } (m1.α \ g);$
 $(v', s) \in \text{map-to-set } (m2.α \ m2) \rrbracket$
 $\implies s3.invar \ s$
unfolding *gbm-invar-def map-to-set-def*
by (*auto simp: ran-def*)

```

show set-iterator (gbm-edges-it mit1 mit2 sit g) (edges (gbm- $\alpha$  g))
  unfolding gbm-edges-it-def
  apply (rule set-iterator-image-correct)
  apply (rule set-iterator-product-correct)
  apply (rule map-iteratei.iteratei-rule[OF mit1])
  apply (rule I1)
  apply (case-tac a)
  apply clarsimp
  apply (rule set-iterator-product-correct)
  apply (drule I2)
  apply (subgoal-tac map-iterator (mit2 ba)
    (map-op- $\alpha$  m2-ops (snd (aa,ba))))
  apply assumption
  apply (simp add: map-iteratei.iteratei-rule[OF mit2])

  apply (case-tac a)
  apply clarsimp
  apply (subgoal-tac set-iterator (sit bb)
    (s3. $\alpha$  (snd (ab,bb))))
  apply assumption
  apply (simp add: set-iteratei.iteratei-rule[OF sit] I3)

  apply (auto simp: inj-on-def map-to-set-def) []

  apply (force simp: gbm- $\alpha$ -def map-to-set-def) []
done
qed

```

definition *gbm-succ-it* ::
 $(m2 \Rightarrow ('V \times 's3, 's)) \Rightarrow$
 $(s3 \Rightarrow ('W, 's)) \Rightarrow$
 $(('V, 'W, 's, 'm1) \Rightarrow \text{graph-succ-it})$
where
gbm-succ-it mit2 sit g v $\equiv$ case m1.lookup v g of
 None $\Rightarrow$ set-iterator-emp |
 Some m2 $\Rightarrow$
 set-iterator-image $(\lambda((v', m2), w). (w, v'))$
 (set-iterator-product (mit2 m2) $(\lambda(v', s). \text{sit } s)$)

lemma *gbm-succ-it-correct*:
assumes mit2: map-iteratei m2. α m2.invar mit2
assumes sit: set-iteratei s3. α s3.invar sit
shows graph-succ-it gbm- α gbm-invar (gbm-succ-it mit2 sit)
proof
 fix g v
 assume INV: gbm-invar g
 hence I1[simp]: m1.invar g **unfolding** gbm-invar-def **by** auto

```

show set-iterator (gbm-succ-it mit2 sit g v) (succ (gbm-α g) v)
proof (cases m1.lookup v g)
  case None hence (succ (gbm-α g) v) = {}
    unfolding succ-def gbm-α-def by (auto simp: m1.lookup-correct)
  with None show ?thesis unfolding gbm-succ-it-def
    by (auto simp: set-iterator-emp-correct)
next
case (Some m2)
hence [simp]: m2.invar m2 using gbm-invar-split[OF INV]
  by (simp add: m1.lookup-correct)

from INV Some have
  I2:  $\bigwedge v' s. (v', s) \in \text{map-to-set } (\text{map-op-}\alpha \text{ m2-ops m2}) \implies s3.invar s$ 
  unfolding gbm-invar-def
  by (auto simp: map-to-set-def ran-def m1.lookup-correct)

from Some show ?thesis
  unfolding gbm-succ-it-def apply simp
  apply (rule set-iterator-image-correct)
  apply (rule set-iterator-product-correct)
  apply (rule map-iteratei.iteratei-rule)
  apply (rule mit2)
  apply simp
  apply (case-tac a, simp)
  apply (subgoal-tac set-iterator (sit b) (s3.α (snd (aa, b))))
  apply assumption
  apply simp
  apply (rule set-iteratei.iteratei-rule[OF sit])
  apply (simp add: I2)

  apply (auto simp: inj-on-def map-to-set-def) []

  apply (force simp: succ-def gbm-α-def map-to-set-def m1.lookup-correct)
done
qed
qed

definition
  gbm-from-list  $\equiv$  gga-from-list gbm-empty gbm-add-node gbm-add-edge

lemmas gbm-from-list-correct = gga-from-list-correct[
  OF gbm-empty-correct gbm-add-node-correct gbm-add-edge-correct,
  folded gbm-from-list-def]

end

end

```

9 Graphs by Hashmaps

```
theory HashGraphImpl
imports GraphByMap ../Collections/Collections
begin
```

Abbreviation: hlg

```
type-synonym ('V,'E) hlg =
  ('V,('V,'E) ls) HashMap.hashmap HashMap.hashmap
```

```
interpretation hlg-defs!: gbm-defs hm-ops hm-ops ls-ops hh-map-value-image-filter
using hh-map-value-image-filter-impl
apply intro-locales
apply (simp add: hm-ops-def)
done
```

```
definition hlg- $\alpha$  :: ('V::hashable,'E) hlg  $\Rightarrow$  ('V,'E) graph
```

```
  where hlg- $\alpha$   $\equiv$  hlg-defs.gbm- $\alpha$ 
```

```
definition hlg-invar  $\equiv$  hlg-defs.gbm-invar
```

```
definition hlg-empty  $\equiv$  hlg-defs.gbm-empty
```

```
definition hlg-add-node  $\equiv$  hlg-defs.gbm-add-node
```

```
definition hlg-delete-node  $\equiv$  hlg-defs.gbm-delete-node
```

```
definition hlg-add-edge  $\equiv$  hlg-defs.gbm-add-edge
```

```
definition hlg-delete-edge  $\equiv$  hlg-defs.gbm-delete-edge
```

```
definition hlg-from-list  $\equiv$  hlg-defs.gbm-from-list
```

```
definition hlg-nodes-it  $\equiv$  hlg-defs.gbm-nodes-it hm-iteratei
```

```
definition hlg-edges-it  $\equiv$  hlg-defs.gbm-edges-it hm-iteratei hm-iteratei ls-iteratei
```

```
definition hlg-succ-it  $\equiv$  hlg-defs.gbm-succ-it hm-iteratei ls-iteratei
```

```
definition hlg-to-list  $\equiv$  gga-to-list hlg-nodes-it hlg-edges-it
```

```
lemmas hlg-gbm-defs =
```

```
  hlg-defs.gbm-empty-def [abs-def]
  hlg-defs.gbm-add-node-def [abs-def]
  hlg-defs.gbm-delete-node-def [abs-def]
  hlg-defs.gbm-add-edge-def [abs-def]
  hlg-defs.gbm-delete-edge-def [abs-def]
  hlg-defs.gbm-from-list-def [abs-def]
  hlg-defs.gbm-nodes-it-def [abs-def]
  hlg-defs.gbm-succ-it-def [abs-def]
  hlg-defs.gbm-edges-it-def [abs-def]
```

```
lemmas hlg-defs =
```

```
  hlg- $\alpha$ -def
  hlg-invar-def
  hlg-empty-def
  hlg-add-node-def
```

hlg-delete-node-def
hlg-add-edge-def
hlg-delete-edge-def
hlg-from-list-def
hlg-to-list-def
hlg-nodes-it-def
hlg-edges-it-def
hlg-succ-it-def

lemmas *[code]* = *hlg-defs[unfolded hlg-gbm-defs]*

lemmas *hlg-empty-impl* = *hlg-defs.gbm-empty-correct[folded hlg-defs]*
interpretation *hlg!*: *graph-empty hlg- α hlg-invar hlg-empty*
using *hlg-empty-impl* .
lemmas *hlg-add-node-impl* = *hlg-defs.gbm-add-node-correct[folded hlg-defs]*
interpretation *hlg!*: *graph-add-node hlg- α hlg-invar hlg-add-node*
using *hlg-add-node-impl* .
lemmas *hlg-delete-node-impl* = *hlg-defs.gbm-delete-node-correct[folded hlg-defs]*
interpretation *hlg!*: *graph-delete-node hlg- α hlg-invar hlg-delete-node*
using *hlg-delete-node-impl* .
lemmas *hlg-add-edge-impl* = *hlg-defs.gbm-add-edge-correct[folded hlg-defs]*
interpretation *hlg!*: *graph-add-edge hlg- α hlg-invar hlg-add-edge*
using *hlg-add-edge-impl* .
lemmas *hlg-delete-edge-impl* = *hlg-defs.gbm-delete-edge-correct[folded hlg-defs]*
interpretation *hlg!*: *graph-delete-edge hlg- α hlg-invar hlg-delete-edge*
using *hlg-delete-edge-impl* .
lemmas *hlg-from-list-impl* = *hlg-defs.gbm-from-list-correct[folded hlg-defs]*
interpretation *hlg!*: *graph-from-list hlg- α hlg-invar hlg-from-list*
using *hlg-from-list-impl* .

lemmas *hlg-nodes-it-impl* = *hlg-defs.gbm-nodes-it-correct[*
folded hlg-defs,
unfolded hm-ops-def, simplified, OF hm-iteratei-impl, folded hlg-defs
]
interpretation *hlg!*: *graph-nodes-it hlg- α hlg-invar hlg-nodes-it*
using *hlg-nodes-it-impl* .

lemmas *hlg-edges-it-impl* = *hlg-defs.gbm-edges-it-correct[folded hlg-defs,*
unfolded hm-ops-def ls-ops-def, simplified,
OF hm-iteratei-impl hm-iteratei-impl ls-iteratei-impl, folded hlg-defs]
interpretation *hlg!*: *graph-edges-it hlg- α hlg-invar hlg-edges-it*
using *hlg-edges-it-impl* .

lemmas *hlg-succ-it-impl* = *hlg-defs.gbm-succ-it-correct[of hm-iteratei ls-iteratei,*
folded hlg-defs, unfolded hm-ops-def ls-ops-def, simplified,
OF hm-iteratei-impl ls-iteratei-impl]
interpretation *hlg!*: *graph-succ-it hlg- α hlg-invar hlg-succ-it*

```

using hlg-succ-it-impl .

lemmas hlg-to-list-impl = gga-to-list-correct[OF
  hlg-nodes-it-impl hlg-edges-it-impl, simplified hlg-defs[symmetric]]
interpretation hlg!: graph-to-list hlg- $\alpha$  hlg-invar hlg-to-list
using hlg-to-list-impl .

definition hlg-ops  $\equiv$  ( $\mid$ 
  gop- $\alpha$  = hlg- $\alpha$ ,
  gop-invar = hlg-invar,
  gop-empty = hlg-empty,
  gop-add-node = hlg-add-node,
  gop-delete-node = hlg-delete-node,
  gop-add-edge = hlg-add-edge,
  gop-delete-edge = hlg-delete-edge,
  gop-from-list = hlg-from-list,
  gop-to-list = hlg-to-list
 $\mid$ )

lemma hlg-ops-unfold[code-unfold]:
  gop- $\alpha$  hlg-ops = hlg- $\alpha$ 
  gop-invar hlg-ops = hlg-invar
  gop-empty hlg-ops = hlg-empty
  gop-add-node hlg-ops = hlg-add-node
  gop-delete-node hlg-ops = hlg-delete-node
  gop-add-edge hlg-ops = hlg-add-edge
  gop-delete-edge hlg-ops = hlg-delete-edge
  gop-from-list hlg-ops = hlg-from-list
  gop-to-list hlg-ops = hlg-to-list
by (simp-all add: hlg-ops-def)

lemma hlgr-impl: StdGraph hlg-ops
apply (rule StdGraph.intro)
apply (simp-all add: hlg-ops-def)
apply unfold-locales
done

interpretation hlgr!: StdGraph hlg-ops using hlgr-impl .

end

```

10 Implementation of Dijkstra's-Algorithm using the ICF

```

theory Dijkstra-Impl
imports Dijkstra GraphSpec HashGraphImpl  $\sim\sim$  /src/HOL/Library/Code-Target-Numeral
begin

```

In this second refinement step, we use interfaces from the Isabelle Collection Framework (ICF) to implement the priority queue and the result map. Moreover, we use a graph interface (that is not contained in the ICF, but in this development) to represent the graph.

The data types of the first refinement step were designed to fit the abstract data types of the used ICF-interfaces, which makes this refinement quite straightforward.

Finally, we instantiate the ICF-interfaces by concrete implementations, obtaining an executable algorithm, for that we generate code using Isabelle/HOL's code generator.

Due to idiosyncrasies of the code generator, we have to split the locale for the definitions, and the locale that assumes the preconditions.

```

locale dijkstraC-def =
  gl!: StdGraphDefs g-ops +
  mr!: StdMapDefs mr-ops +
  qw!: StdUprioDefs qw-ops
for g-ops :: ('V,'W::weight,'G,'moreg) graph-ops-scheme
and mr-ops :: ('V, (('V,'W) path × 'W), 'mr, 'more-mr) map-ops-scheme
and qw-ops :: ('V, 'W infty, 'qw, 'more-qw) uprio-ops-scheme
and nodes-it :: ('V, 'W, 'qw, 'G) graph-nodes-it
and succ-it :: ('V, 'W, ('qw×'mr), 'G) graph-succ-it
+
fixes v0 :: 'V
fixes ga :: ('V,'W) graph
fixes g :: 'G
begin
definition asc == map-pair qw.α mr.α
definition dinvarC-add == λ(wl,res). qw.invar wl ∧ mr.invar res

definition cdinit :: ('qw×'mr) nres where
  cdinit ≡ do {
    wl ← FOREACH (nodes ga)
    (λv wl. RETURN (qw.insert wl v Weight.Infty)) (qw.empty ());
    RETURN (qw.insert wl v0 (Num 0),mr.sng v0 ([],0))
  }

definition cpop-min :: ('qw×'mr) ⇒ ('V×'W infty×('qw×'mr)) nres where
  cpop-min σ ≡ do {
    let (wl,res) = σ;
    let (v,w,wl')=qw.pop wl;
    RETURN (v,w,(wl',res))
  }

definition cupdate :: 'V ⇒ 'W infty ⇒ ('qw×'mr) ⇒ ('qw×'mr) nres where
  cupdate v wv σ = do {
    ASSERT (dinvarC-add σ);
    let (wl,res)=σ;

```

```

    let pv=mpath' (mr.lookup v res);
    FOREACH (succ ga v) ( $\lambda(w',v')$  (wl,res).
      if (wv + Num w' < mpath-weight' (mr.lookup v' res)) then do {
        RETURN (qw.insert wl v' (wv+Num w'),
          mr.update v' ((v,w',v')#the pv,val wv + w') res)
      } else RETURN (wl,res)
    ) (wl,res)
  }

```

definition *cdijkstra* **where**

```

cdijkstra  $\equiv$  do {
   $\sigma 0 \leftarrow$  cdinit;
  ( $\_,res$ )  $\leftarrow$  WHILET ( $\lambda(wl,-).$   $\neg$  qw.isEmpty wl)
    ( $\lambda\sigma.$  do { ( $v,wv,\sigma'$ )  $\leftarrow$  cpop-min  $\sigma$ ; cupdate  $v$   $wv$   $\sigma'$  } )
     $\sigma 0$ ;
  RETURN res
}

```

end

locale *dijkstraC* =

```

  dijkstraC-def g-ops mr-ops qw-ops nodes-it succ-it v0 ga g +
  Dijkstra ga v0 +
  g!: StdGraph g-ops +
  mr!: StdMap mr-ops +
  qw!: StdUprio qw-ops +
  g!: graph-nodes-it g. $\alpha$  g.invar nodes-it +
  g!: graph-succ-it g. $\alpha$  g.invar succ-it
  for g-ops :: ( $'V, 'W::weight, 'G, 'moreg$ ) graph-ops-scheme
  and mr-ops :: ( $'V, (('V, 'W) path \times 'W), 'mr, 'more-mr$ ) map-ops-scheme
  and qw-ops :: ( $'V, 'W$  infty,  $'qw, 'more-qw$ ) uprio-ops-scheme
  and nodes-it :: ( $'V, 'W, 'qw, 'G$ ) graph-nodes-it
  and succ-it :: ( $'V, 'W, ('qw \times 'mr), 'G$ ) graph-succ-it
  and ga::( $'V, 'W$ ) graph and V ::  $'V$  set and v0:: $'V$  and g ::  $'G+$ 
  assumes in-abs: g. $\alpha$  g = ga
  assumes g-invar[simp, intro!]: g.invar g

```

begin

schematic-lemma *cdinit-refines*:

```

  notes [refine] = inj-on-id
  shows cdinit  $\leq \Downarrow ?R$  mdinit
  unfolding cdinit-def mdinit-def
  apply (refine-rcg)
  apply (refine-dref-type)
  apply (simp-all add:  $\alpha$ sc-def dinvarC-add-def
    qw.correct mr.correct)
  done

```

schematic-lemma *cpop-min-refines*:

```

( $\sigma, \sigma'$ )  $\in$  build-rel  $\alpha$ sc dinvarC-add
 $\implies$  cpop-min  $\sigma \leq \Downarrow ?R$  (mpop-min  $\sigma'$ )
unfolding cpop-min-def mpop-min-def
apply (refine-rcg)
apply (refine-dref-type)
apply (simp add:  $\alpha$ sc-def dinvarC-add-def)
apply (simp add:  $\alpha$ sc-def dinvarC-add-def)
done

```

schematic-lemma cupdate-refines:

```

notes [refine] = inj-on-id
shows ( $\sigma, \sigma'$ )  $\in$  build-rel  $\alpha$ sc dinvarC-add  $\implies v = v' \implies wv = wv' \implies$ 
cupdate  $v$   $wv$   $\sigma \leq \Downarrow ?R$  (mupdate  $v'$   $wv'$   $\sigma'$ )
unfolding cupdate-def mupdate-def
apply (refine-rcg)
apply (refine-dref-type)
apply (simp-all add:  $\alpha$ sc-def dinvarC-add-def
qw.correct mr.correct)
done

```

lemma cdijkstra-refines: cdijkstra $\leq \Downarrow$ (build-rel mr. α mr.invar) mdijkstra

proof –

```

note [refine] = cdinit-refines cpop-min-refines cupdate-refines
show ?thesis
unfolding cdijkstra-def mdijkstra-def
apply (refine-rcg)

```

```

apply (simp-all
split: prod.split prod.split-asm
add: qw.correct mr.correct dinvarC-add-def  $\alpha$ sc-def)
done

```

qed

schematic-lemma idijkstra-refines-aux:

```

notes [refine-transfer] = g-invar
shows RETURN ?f  $\leq$  cdijkstra
unfolding cdijkstra-def cdinit-def cpop-min-def cupdate-def
unfolding in-abs[symmetric]
apply (refine-transfer)
done

```

thm idijkstra-refines-aux[no-vars]

end

Copy-Pasted from the above lemma:

```

definition (in dijkstraC-def) idijkstra  $\equiv$ 
(let  $x =$  let  $x =$  nodes-it  $g$  ( $\lambda$ -. True)
( $\lambda x$  s. let  $s = s$  in upr-insert qw-ops  $s$   $x$  infty.Infty)

```

```

      (upr-empty qw-ops ())
    in (upr-insert qw-ops x v0 (Num (0::'W)),
        map-op-sng mr-ops v0 ([], 0::'W));
  (a, b) =
  while (λ(wl, -). ¬ upr-isEmpty qw-ops wl)
    (λxa. let (a, b) =
      let (a, b) = xa; (aa, ba) = upr-pop qw-ops a
      in case ba of (ab, bb) ⇒ (aa, ab, bb, b)
    in case b of
      (aa, ba) ⇒
      let (ab, bb) = ba;
      xd = mpath' (map-op-lookup mr-ops a bb)
      in succ-it g a (λ-. True)
      (λx s. let s = s
        in case x of
          (ac, bc) ⇒
          case s of
            (ad, bd) ⇒
            if aa + Num ac < mpath-weight' (map-op-lookup mr-ops bc bd)
            then (upr-insert qw-ops ad bc (aa + Num ac),
                map-op-update mr-ops bc ((a, ac, bc) # the xd, val aa + ac) bd)
            else (ad, bd))
          (ab, bb))
      x
    in b)

```

Example instantiation with HashSet-based graph, red-black-tree based result map, and finger-tree based priority queue.

definition *dijkstra-impl* ::
 ('V::{\hashable,linorder}, 'W::weight) hlg ⇒ 'V
 ⇒ ('V, ('V, 'W) path × 'W) rm **where**
dijkstra-impl g v0 ≡
dijkstraC-def.idijkstra rm-ops aluprio-ops hlg-nodes-it hlg-succ-it v0 g

lemmas [code] = *dijkstraC-def.idijkstra-def*

Code can be exported to all available target languages:

export-code *dijkstra-impl* **in** *SML* **file** –
export-code *dijkstra-impl* **in** *OCaml* **file** –
export-code *dijkstra-impl* **in** *Haskell* **file** –
export-code *dijkstra-impl* **in** *Scala* **file** –

context *dijkstraC*

begin

lemma *idijkstra-refines*: *RETURN idijkstra* ≤ *cdijkstra*
by (rule *idijkstra-refines-aux*[folded *idijkstra-def*])

The following theorem states correctness of the algorithm independent from the refinement framework.

Intuitively, the first goal states that the abstraction of the returned result is correct, the second goal states that the result datastructure satisfies its invariant, and the third goal states that the cached weights in the returned result are correct.

Note that this is the main theorem for a user of Dijkstra's algorithm in some bigger context. It may also be specialized for concrete instances of the implementation, as exemplarily done below.

```

theorem (in dijkstraC) idijkstra-correct:
  shows
    weighted-graph.is-shortest-path-map ga v0 ( $\alpha r$  (mr. $\alpha$  idijkstra)) (is ?G1)
    and mr.invar idijkstra (is ?G2)
    and res-invarm (mr. $\alpha$  idijkstra) (is ?G3)
proof –
  note idijkstra-refines
  also note cdijkstra-refines
  also note mdijkstra-refines
  finally have Z: RETURN idijkstra  $\leq$ 
     $\Downarrow(\text{build-rel } (\alpha r \circ \text{mr}.\alpha) (\lambda m. \text{mr.invar } m \wedge \text{res-invarm } (\text{mr}.\alpha m)))$ 
    dijkstra'
  apply (subst (asm) conc-fun-chain)
  apply rule
  apply (simp only: br-chain)
  done
  also note dijkstra'-refines[simplified]
  also note dijkstra-correct
  finally show ?G1 ?G2 ?G3
    by (auto elim: RETURN-ref-SPECD)
qed

```

end

We also specialize the correctness theorem. Note that the data structure invariant for red-black trees is encoded into the type, and hence λ -. *True* is constantly *True*. Hence, it is not stated in this theorem.

```

theorem dijkstra-impl-correct:
  assumes INV: hlg-invar g
  assumes V0: v0  $\in$  nodes (hlg- $\alpha$  g)
  assumes nonneg-weights:  $\bigwedge v w v'. (v,w,v') \in \text{edges } (\text{hlg-}\alpha \text{ } g) \implies 0 \leq w$ 
  shows
    weighted-graph.is-shortest-path-map (hlg- $\alpha$  g) v0
      (Dijkstra. $\alpha r$  (rm- $\alpha$  (dijkstra-impl g v0))) (is ?G1)
    and Dijkstra.res-invarm (rm- $\alpha$  (dijkstra-impl g v0)) (is ?G2)
proof –
  interpret hlgv!: valid-graph hlg- $\alpha$  g using hlg.valid INV .
  interpret hlgv!:

```

```

    graph-nodes-it gop-α hlg-ops gop-invar hlg-ops hlg-nodes-it
  by (auto simp: hlg-nodes-it-impl hlg-ops-def)
interpret hlgv!: graph-succ-it gop-α hlg-ops gop-invar hlg-ops hlg-succ-it
  by (auto simp: hlg-succ-it-impl hlg-ops-def)

interpret dc: dijkstraC hlg-ops rm-ops aluprio-ops hlg-nodes-it hlg-succ-it
  hlg-α g
  apply unfold-locales
  apply (simp-all add: hlg.finite INV V0 hlg-ops-def nonneg-weights)
  done

from dc.idijkstra-correct show ?G1 ?G2
  by (auto simp: rm-ops-def dijkstra-impl-def)
qed

end

```

11 Implementation of Dijkstra's-Algorithm using Automatic Determinization

```

theory Dijkstra-Impl-Adet
imports Dijkstra GraphSpec HashGraphImpl ~~/src/HOL/Library/Code-Target-Numeral
  ../Refine-Monadic/Autoref-Collection-Bindings
begin

locale dijkstraC-def =
  g!: StdGraphDefs g-ops +
  mr!: StdMapDefs mr-ops +
  qw!: StdUprioDefs qw-ops
  for g-ops :: ('V, 'W::weight, 'G, 'moreg) graph-ops-scheme
  and mr-ops :: ('V, (('V, 'W) path × 'W), 'mr, 'more-mr) map-ops-scheme
  and qw-ops :: ('V, 'W infy, 'qw, 'more-qw) uprio-ops-scheme +
  fixes nodes-it :: ('V, 'W, 'qw, 'G) graph-nodes-it
  fixes succ-it :: ('V, 'W, 'qw × 'mr, 'G) graph-succ-it
  fixes v0 :: 'V
  fixes ga :: ('V, 'W) graph
  fixes g :: 'G
begin
end

locale dijkstraC =
  dijkstraC-def g-ops mr-ops qw-ops nodes-it succ-it v0 ga g +
  Dijkstra ga v0 +
  g!: StdGraph g-ops +
  mr!: StdMap mr-ops +
  qw!: StdUprio qw-ops +

```

```

g!: graph-nodes-it g.α g.invar nodes-it +
g!: graph-succ-it g.α g.invar succ-it
for g-ops :: ('V, 'W::weight, 'G, 'moreg) graph-ops-scheme
and mr-ops :: ('V, (('V, 'W) path × 'W), 'mr, 'more-mr) map-ops-scheme
and qw-ops :: ('V, 'W infty, 'qw, 'more-qw) uprio-ops-scheme
and nodes-it :: ('V, 'W, 'qw, 'G) graph-nodes-it
and succ-it :: ('V, 'W, 'qw × 'mr, 'G) graph-succ-it
and ga::('V, 'W) graph and V :: 'V set and v0::'V and g :: 'G+
assumes in-abs: g.α g = ga
assumes g-invar[simp, intro!]: g.invar g
begin

```

lemma *ga-trans*: $(g, ga) \in br\ g.\alpha\ g.invar$ **using** *in-abs* **by** *auto*

lemma *ga-nodes-trans*: $(nodes\ (g.\alpha\ g), nodes\ ga) \in Id$ **using** *in-abs* **by** *auto*

lemma *ga-succs-trans*: $(v, v') \in Id \implies (succ\ (g.\alpha\ g)\ v, succ\ ga\ v') \in Id$
using *in-abs* **by** *auto*

schematic-lemma *cdijkstra-refines-aux*:

```

notes [autoref-spec] =
  spec-R[where 'c=bool and 'a=bool]
  spec-R[where 'c='V and 'a='V]
  spec-R[where 'c='W and 'a='W]
  spec-R[where 'c='W infty and 'a='W infty]

  spec-R[where 'c='mr and 'a='V  $\rightarrow$  (('V, 'W) path × 'W)]
  spec-R[where 'c='qw and 'a='V  $\rightarrow$  'W infty]
  spec-R[where 'c=('V, 'W) path and 'a=('V, 'W) path]
  spec-R[where 'c=((('V, 'W) path × 'W) and 'a=((('V, 'W) path × 'W)]
  spec-R[where 'c=('V, 'W) path option and 'a=('V, 'W) path option]
  spec-R[where 'c=((('V, 'W) path × 'W) option and
    'a=((('V, 'W) path × 'W) option]

```

notes [autoref-ex] = *mr.map-lookup-t ga-succs-trans*

```

shows RETURN (?cdijkstra::'mr) ≤? ?R mdijkstra
apply (rule autoref-det-optI)
unfolding mdijkstra-def mdinit-def mpop-min-def prio-pop-min-def
  mupdate-def
using ga-trans ga-nodes-trans Id[of v0]
apply -
apply (refine-autoref)
using g-invar
apply (refine-transfer) []
unfolding prod-case-rename
apply (rule refl)
done

```

```

notepad begin
  note  $[[show-types]]$ 
  thm cdijkstra-refines-aux
end
end

definition (in dijkstraC-def) cdijkstra  $\equiv$ 
  (let (a::'qw, b::'mr) =
    let x::'qw =
      (nodes-it::'G  $\Rightarrow$  ('qw  $\Rightarrow$  bool)  $\Rightarrow$  ('V  $\Rightarrow$  'qw  $\Rightarrow$  'qw)  $\Rightarrow$  'qw  $\Rightarrow$  'qw)
      (g::'G) ( $\lambda$ ::'qw. True)
      ( $\lambda$ (x::'V) s::'qw.
        let s::'qw = s
        in upr-insert
          (qw-ops::('V, 'W infty, 'qw,
            'more-qw) uprio-ops-scheme)
          s x infty.Infty)
      (upr-empty qw-ops ())
    in (upr-insert qw-ops x (v0::'V) (Num (0::'W))),
    map-op-update
      (mr-ops::('V, ('V  $\times$  'W  $\times$  'V) list  $\times$  'W, 'mr,
        'more-mr) map-ops-scheme)
      v0 ([], 0::'W) (map-op-empty mr-ops ()))
  in Let (while (( $\lambda$ a::'qw.  $\neg$  upr-isEmpty qw-ops a)  $\circ$  fst)
    ( $\lambda$ (aa::'qw, ba::'mr).
      let (ab::'V, bb::'W infty  $\times$  'qw  $\times$  'mr) =
        let (ab::'qw, bb::'mr) = (aa, ba);
        (ac::'V, bc::'W infty  $\times$  'qw) = upr-pop qw-ops ab
        in case bc of (ad::'W infty, bd::'qw)  $\Rightarrow$  (ac, ad, bd, bb)
      in case bb of
        (ac::'W infty, ad::'qw, bd::'mr)  $\Rightarrow$ 
          let (ae::'qw, be::'mr) = (ad, bd);
          xd::('V  $\times$  'W  $\times$  'V) list option =
            mpath' (map-op-lookup mr-ops ab be)
          in (succ-it::'G  $\Rightarrow$  'V  $\Rightarrow$  ('qw  $\times$  'mr  $\Rightarrow$  bool)
             $\Rightarrow$  ('W  $\times$  'V  $\Rightarrow$  'qw  $\times$  'mr  $\Rightarrow$  'qw  $\times$  'mr)  $\Rightarrow$  'qw  $\times$  'mr  $\Rightarrow$  'qw  $\times$  'mr)
            g ab ( $\lambda$ ::'qw  $\times$  'mr. True)
            ( $\lambda$ (x::'W  $\times$  'V) s::'qw  $\times$  'mr.
              let (af::'qw, bf::'mr) = s
              in case x of
                (ag::'W, bg::'V)  $\Rightarrow$ 
                  if ac + Num ag
                    < mpath-weight'
                    (map-op-lookup mr-ops bg bf)
                    then (upr-insert qw-ops af bg
                      (ac + Num ag),
                      map-op-update mr-ops bg ((ab, ag, bg) # the xd, val ac + ag) bf)
                    else (af, bf))
                (ae, be))
    ))

```

$$\begin{aligned} & (a, b)) \\ & ((\lambda ba::'mr. ba) \circ snd)) \end{aligned}$$

context *dijkstraC*

begin

lemma *cdijkstra-refines*:

RETURN cdijkstra $\leq \Downarrow(\text{build-rel } mr.\alpha \text{ } mr.invar) \text{ } mdijkstra$

by (*rule cdijkstra-refines-aux*[*folded cdijkstra-def*])

The following theorem states correctness of the algorithm independent from the refinement framework.

Intuitively, the first goal states that the abstraction of the returned result is correct, the second goal states that the result datastructure satisfies its invariant, and the third goal states that the cached weights in the returned result are correct.

Note that this is the main theorem for a user of Dijkstra's algorithm in some bigger context. It may also be specialized for concrete instances of the implementation, as exemplarily done below.

theorem *cdijkstra-correct*:

shows

weighted-graph.is-shortest-path-map *ga v0* (αr (*mr.* α *cdijkstra*)) (**is** ?*G1*)

and *mr.invar cdijkstra* (**is** ?*G2*)

and *res-invarm* (*mr.* α *cdijkstra*) (**is** ?*G3*)

proof –

note *cdijkstra-refines*

also note *mdijkstra-refines*

finally have *Z*: *RETURN cdijkstra* $\leq$

$\Downarrow(\text{build-rel } (\alpha r \circ mr.\alpha) (\lambda m. mr.invar m \wedge res-invarm (mr.\alpha m)))$
dijkstra'

apply (*subst* (*asm*) *conc-fun-chain*)

apply *rule*

apply (*simp only*: *br-chain*)

done

also note *dijkstra'-refines*[*simplified*]

also note *dijkstra-correct*

finally show ?*G1* ?*G2* ?*G3* **by** (*auto elim*: *RETURN-ref-SPECD*)

qed

end

Example instantiation with HashSet.based graph, red-black-tree based result map, and finger-tree based priority queue.

definition *dijkstra-impl* ::

$(V::\{\text{hashable}, \text{linorder}\}, W::\text{weight}) \text{ hlg} \Rightarrow V$

$\Rightarrow (V, (V, W) \text{ path} \times W) \text{ rm where}$

```

dijkstra-impl g v0 ≡
  dijkstraC-def.cdijkstra rm-ops aluprioi-ops hlg-nodes-it hlg-succ-it v0 g

```

We also specialize the correctness theorem. Note that the data structure invariant for red-black trees is encoded into the type, and hence λ -. *True* is constantly *True*. Hence, it is not stated in this theorem.

theorem *dijkstra-impl-correct*:

assumes *INV*: *hlg-invar g*

assumes *V0*: $v0 \in \text{nodes } (hlg-\alpha \ g)$

assumes *nonneg-weights*: $\bigwedge v \ w \ v'. (v, w, v') \in \text{edges } (hlg-\alpha \ g) \implies 0 \leq w$

shows

weighted-graph.is-shortest-path-map (*hlg-α g*) *v0*

(*Dijkstra.αr* (*rm-α* (*dijkstra-impl g v0*))) (**is** ?*G1*)

and *Dijkstra.res-invarm* (*rm-α* (*dijkstra-impl g v0*)) (**is** ?*G2*)

proof –

interpret *hlgv!*: *valid-graph hlg-α g* **using** *hlg.valid INV* .

interpret *hlgv!*:

graph-nodes-it gop-α hlg-ops gop-invar hlg-ops hlg-nodes-it

by (*auto simp: hlg-nodes-it-impl hlg-ops-def*)

interpret *hlgv!*: *graph-succ-it gop-α hlg-ops gop-invar hlg-ops hlg-succ-it*

by (*auto simp: hlg-succ-it-impl hlg-ops-def*)

interpret *dc*: *dijkstraC hlg-ops rm-ops aluprioi-ops hlg-nodes-it hlg-succ-it*
hlg-α g

apply *unfold-locales*

apply (*simp-all add: hlg.finite INV V0 hlg-ops-def nonneg-weights*)

done

from *dc.cdijkstra-correct* **show** ?*G1* ?*G2*

by (*auto simp: rm-ops-def dijkstra-impl-def*)

qed

lemmas [*code*] = *dijkstraC-def.cdijkstra-def*

Code can be exported to all available target languages:

export-code *dijkstra-impl* **in** *SML file* –

export-code *dijkstra-impl* **in** *OCaml file* –

export-code *dijkstra-impl* **in** *Haskell file* –

export-code *dijkstra-impl* **in** *Scala file* –

end

12 Performance Test

theory *Test*

imports *Dijkstra-Impl*

begin

In this theory, we test our implementation of Dijkstra's algorithm for larger, randomly generated graphs.

Simple linear congruence generator for (low-quality) random numbers:

definition *lcg-next* $s = ((81::nat)*s + 173) \bmod 268435456$

Generate a complete graph over the given number of vertices, with random weights:

definition *ran-graph* $:: nat \Rightarrow nat \Rightarrow (nat\ list \times (nat \times nat \times nat)\ list) \textbf{ where}$
ran-graph *vertices* *seed* ==
 ([0::nat..*vertices*],*fst*
 (while ($\lambda (g,v,s).$ $v < vertices$)
 ($\lambda (g,v,s).$
 let (g'',v'',s'') = (while ($\lambda (g',v',s').$ $v' < vertices$)
 ($\lambda (g',v',s').$ ($(v,s',v')\#g',v'+1,lcg-next\ s')$)
 ($g,0,s$))
 in ($g'',v+1,s''$))
 ($[],0,lcg-next\ seed$)))

To experiment with the exported code, we fix the node type to natural numbers, and add a from-list conversion:

type-synonym *nat-res* = ($nat,((nat,nat)\ path \times nat)$) *rm*
type-synonym *nat-list-res* = ($nat \times (nat,nat)\ path \times nat$) *list*

definition *nat-dijkstra* $:: (nat,nat)\ hlg \Rightarrow nat \Rightarrow nat-res \textbf{ where}$
nat-dijkstra $\equiv dijkstra-impl$

definition *hlg-from-list-nat* $:: (nat,nat)\ adj-list \Rightarrow (nat,nat)\ hlg \textbf{ where}$
hlg-from-list-nat $\equiv hlg-from-list$

definition
nat-res-to-list $:: nat-res \Rightarrow nat-list-res$
where *nat-res-to-list* $\equiv rm-to-list$

value *nat-res-to-list* (*nat-dijkstra* (*hlg-from-list* (*ran-graph* 4 8912)) 0)

ML-val $\ll$
let
 (* Configuration of test: *)
 val *vertices* = @{code nat-of-integer} 1000; (* Number of vertices *)
 val *seed* = @{code nat-of-integer} 123454; (* Seed for random number generator *)
 val *cfg-print-paths* = true; (* Whether to output complete paths *)
 val *cfg-print-res* = true; (* Whether to output result at all *)
 (* Internals *)
 fun *string-of-edge* ($u,(w,v)$) =
 (^ *string-of-int* (@{code integer-of-nat} u)

```

    ^ , ^ string-of-int (@{code integer-of-nat} w)
    ^ , ^ string-of-int (@{code integer-of-nat} v) ^ );

fun print-entry (dest,(path,weight)) =
  writeln (string-of-int (@{code integer-of-nat} dest)
    ^ : ^ string-of-int (@{code integer-of-nat} weight) ^
    ( if cfg-print-paths then
      via [ ^ commas (map string-of-edge (rev path)) ^ ]
    else
    )
  );

fun print-res [] = ()
  | print-res (a::l) = let val - = print-entry a in print-res l end;

val start = Time.now();
val graph = @{code hlg-from-list-nat} (@{code ran-graph} vertices seed);
val rt1 = Time.toMilliseconds (Time.now() - start);

val start = Time.now();
val res = @{code nat-dijkstra} graph (@{code nat-of-integer} 0);
val rt2 = Time.toMilliseconds (Time.now() - start);
in
  writeln (string-of-int (@{code integer-of-nat} vertices) ^ vertices:
    ^ string-of-int rt2 ^ ms +
    ^ string-of-int rt1 ^ ms to create graph =
    ^ string-of-int (rt1+rt2) ^ ms);

  if cfg-print-res then
    print-res (@{code nat-res-to-list} res)
  else ()
end;
>>

end

```

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