

Z Mathematical Toolkit in Isabelle/HOL

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Abstract

The objective of this theory development is an implementation of the Z mathematical toolkit in Isabelle/HOL that is both efficient for proof and faithful to the standard. We construct the Z metalanguage and type universe on top of HOL, and link this to corresponding concrete types (finite functions, lists etc.) in Isabelle, to enable efficient proof automation. We then utilise coercive subtyping and overloading to support processing of Z-like expressions in Isabelle/HOL. We then use this to develop the mathematical toolkit for sets, relations, functions, and sequences.

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1 Overview

The objective of this theory development is an implementation of the Z mathematical toolkit [1] (ISO 13568:2002¹) that is both efficient for proof and faithful to the standard.

The main challenge to overcome is a mismatch between the type system of Z , and the way that Isabelle/HOL theories are typically developed. This is because the objectives of Z and HOL are a little different: Z targets a mathematically pure foundational development for formal specification based on ZF set theory, whereas HOL targets an efficient proof system capable of scalable verification. The aim then is to reconcile these two objectives in one development.

In Z , the type system is very simple, consisting of given types closed under powerset and product constructions. For example, in Z a total function is encoded as its graph in a relation, and a relation is simply a set of pairs. There is no distinct type constructor for functions. Similarly, a sequence (list in HOL) is a finite function whose domain is $\{1..n\}$, for some natural number n . This means in Z , we can write expressions that compare a relation and sequence, since they have the same type.

In contrast, in HOL it is impossible to directly compare a relation and a list since they have distinct type constructors, and only values of the same types can typically be related. It is necessary to insert explicit coercions between values of different types in this case. Indeed, the dominant paradigm for theory development in HOL is to constantly extend the type system to capture new mathematical concepts, such as vectors, bounded continuous functions, and physical quantities, to name a few examples. This approach has proven to be very successful and scalable, as evidenced by large verification projects like seL4, and the ever growing Archive of Formal Proofs² (AFP).

Now, it is entirely possible to reconstruct the Z mathematical toolkit in the way described above, following the ISO standard, such that everything boils down to sets. However, there is a major downside to this, which is that we cannot easily use the results in the HOL standard library (*Main*) and the AFP, since these are all built using the HOL type universe extension paradigm. There are also several benefits to the HOL approach, notably that the type system can be used to deduce when a function is closed under a set. This in turn greatly improves proof automation, since there is no obligation to check well-formedness of expressions as part of the proof. Consequently, we chose to stick with the HOL approach.

However, in order to be faithful with Z , we also implement the Z universe as a set of definitions, based on the ISO standard. Much of this already in implemented in the theory *HOL.Relation*, but we extend it with functions

¹ Z formal specification notation. <https://www.iso.org/standard/21573.html>

²Archive of Formal Proofs. <http://www.isa-afp.org>

like application, domain restriction, and overriding, which are all part of the Z meta-language. Crucially, this development is all based on sets and relations, not HOL functions, and therefore is a faithful encoding with Z . Upon this foundation, we construct a hierarchy of types corresponding to partial functions, finite functions, and total functions, and we reuse the HOL *'a list* type. We then prove that every HOL typed construction can be safely converted into a Z -like set-based construction, which provides the link between the efficiently implement HOL functions, and their Z counterparts. In order to achieve compatibility between this HOL type hierarchy, and the Z mathematical toolkit, the principle problem to solve is the necessity of type coercions. As mentioned, in Z , sequences are subtypes of sets, and so set-based functions can be directly applied to functions, which is often beneficial. For example, the domain of a sequence is the set of indices of that sequence. So the technical goal is to allow HOL to accept expressions of this kind. Our solution is to use a mixture of coercive subtyping and type overloading to achieve this. This allows the user to write Z expressions into Isabelle, which are then internally mapped into HOL expressions.

There are basically two types of situation we need to capture. The first is the use of a more abstract type (e.g. *set*) to act as a view on a more concrete type (e.g. a *sequence*). Thus we can find the length of a list by asking for its cardinality. The second is the composition of two abstract types. For example, concatenation of two sequences always results in a sequence, which is readily the case in HOL. There are more subtle examples, for example we can take union of two partial functions with disjoint domains, and produce a new partial function. In Z , we would need to prove this, whereas with HOL's type system this can be deduced by construction.

The first of these situations can be captured by coercive subtyping. In HOL, we can create a function between a concrete type *'c* and an abstract type *'a*, and request that whenever a value of type *'a* is required, but *'c* is present, then the coercion f is inserted automatically during type inference. The second situation can be solve by overloading the operators in Z that are essentially polymorphic. Union is a good example: in HOL we can create a polymorphic function symbol with different implementations for different types. Thus, we can take the union of two partial functions, under the aforementioned disjointness conditions. This development therefore implements the Z toolkit in this way.

In conclusion, we wish to retain the generality of Z , whilst also taking full advantage of the automation afforded by Isabelle/HOL. We hope our theory development achieves this.

2 Lists: extra functions and properties

theory *List-Extra*

```

imports
  HOL-Library.Sublist
  HOL-Library.Monad-Syntax
  HOL-Library.Prefix-Order
  Optics.Lens-Instances
begin

```

2.1 Useful Abbreviations

```

abbreviation list-sum xs ≡ foldr (+) xs 0

```

2.2 Sets

```

lemma set-Fpow [simp]: set xs ∈ Fpow A ⟷ set xs ⊆ A
  by (auto simp add: Fpow-def)

```

```

lemma Fpow-as-Pow: finite A ⟹ Fpow A = Pow A
  by (auto simp add: Pow-def Fpow-def finite-subset)

```

```

lemma Fpow-set [code]:
  Fpow (set []) = {[]}
  Fpow (set (x # xs)) = (let A = Fpow (set xs) in A ∪ insert x ' A)
  by (simp-all add: Fpow-as-Pow Pow-set del: set-simps)

```

2.3 Folds

```

context abel-semigroup
begin

```

```

  lemma foldr-snoc: foldr (*) (xs • [x]) k = (foldr (*) xs k) * x
    by (induct xs, simp-all add: commute left-commute)

```

```

end

```

2.4 List Lookup

The following variant of the standard *nth* function returns \perp if the index is out of range.

```

primrec
  nth-el :: 'a list ⇒ nat ⇒ 'a option (-⟨-⟩l [90, 0] 91)
where
  []⟨i⟩l = None
  | (x # xs)⟨i⟩l = (case i of 0 ⇒ Some x | Suc j ⇒ xs ⟨j⟩l)

```

```

lemma nth-el-appendl[simp]: i < length xs ⟹ (xs • ys)⟨i⟩l = xs⟨i⟩l
proof (induct xs arbitrary: i)
  case Nil
  then show ?case by simp
next

```

```

case (Cons a xs)
then show ?case
proof (cases i)
  case 0
  then show ?thesis by simp
next
  case (Suc nat)
  with Cons show ?thesis by simp
qed
qed

```

```

lemma nth-el-appendr[simp]:  $\text{length } xs \leq i \implies (xs \bullet ys)\langle i \rangle_l = ys\langle i - \text{length } xs \rangle_l$ 
proof (induct xs arbitrary: i)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  proof (cases i)
    case 0
    with Cons show ?thesis
    by fastforce
  next
  case (Suc nat)
  with Cons show ?thesis by simp
  qed
qed

```

2.5 Extra List Theorems

2.5.1 Map

```

lemma map-nth-Cons-atLeastLessThan:
   $\text{map } (\text{nth } (x \# xs)) [\text{Suc } m..<n] = \text{map } (\text{nth } xs) [m..<n - 1]$ 
proof -
  have  $\text{nth } xs = \text{nth } (x \# xs) \circ \text{Suc}$ 
  by auto
  hence  $\text{map } (\text{nth } xs) [m..<n - 1] = \text{map } (\text{nth } (x \# xs) \circ \text{Suc}) [m..<n - 1]$ 
  by simp
  also have  $\dots = \text{map } (\text{nth } (x \# xs)) (\text{map } \text{Suc } [m..<n - 1])$ 
  by simp
  also have  $\dots = \text{map } (\text{nth } (x \# xs)) [\text{Suc } m..<n]$ 
  by (metis Suc-diff-1 le-0-eq length-upt list.simps(8) list.size(3) map-Suc-upt not-less upt-0)
  finally show ?thesis ..
qed

```

2.5.2 Sorted Lists

```

lemma sorted-last [simp]:  $\llbracket x \in \text{set } xs; \text{sorted } xs \rrbracket \implies x \leq \text{last } xs$ 

```

by (induct xs, auto)

lemma sorted-prefix:

assumes $xs \leq ys$ sorted ys

shows sorted xs

proof –

obtain zs where $ys = xs \bullet zs$

using Prefix-Order.prefixE assms(1) by auto

thus ?thesis

using assms(2) sorted-append by blast

qed

lemma sorted-map: $\llbracket \text{sorted } xs; \text{ mono } f \rrbracket \implies \text{sorted } (\text{map } f \text{ } xs)$

by (simp add: monoD sorted-iff-nth-mono)

lemma sorted-distinct [intro]: $\llbracket \text{sorted } (xs); \text{ distinct}(xs) \rrbracket \implies (\forall i < \text{length } xs - 1. xs!i < xs!(i + 1))$

apply (induct xs)

apply (simp-all)

apply (metis (no-types, opaque-lifting) Suc-leI Suc-less-eq Suc-pred gr0-conv-Suc not-le not-less-iff-gr-or-eq nth-Cons-Suc nth-mem nth-non-equal-first-eq)

done

The concatenation of two lists is sorted provided (1) both the lists are sorted, and (2) the final and first elements are ordered.

lemma sorted-append-middle:

$\text{sorted}(xs \bullet ys) = (\text{sorted } xs \wedge \text{sorted } ys \wedge (xs \neq [] \wedge ys \neq [] \longrightarrow xs!(\text{length } xs - 1) \leq ys!0))$

proof –

have $\bigwedge x y. \llbracket \text{sorted } xs; \text{sorted } ys; xs ! (\text{length } xs - \text{Suc } 0) \leq ys ! 0 \rrbracket \implies x \in \text{set } xs \implies y \in \text{set } ys \implies x \leq y$

proof –

fix x y

assume sorted xs sorted ys $xs ! (\text{length } xs - \text{Suc } 0) \leq ys ! 0$ $x \in \text{set } xs$ $y \in \text{set } ys$

ys

moreover then obtain i j where $i: x = xs!i$ $i < \text{length } xs$ and $j: y = ys!j$ $j < \text{length } ys$

by (auto simp add: in-set-conv-nth)

moreover have $xs ! i \leq xs!(\text{length } xs - 1)$

by (metis One-nat-def Suc-diff-Suc Suc-leI Suc-le-mono $\langle i < \text{length } xs \rangle$ sorted-xs diff-less diff-zero gr-implies-not-zero nat.simps(3) sorted-iff-nth-mono zero-less-iff-neq-zero)

moreover have $ys!0 \leq ys ! j$

by (simp add: calculation(2) calculation(9) sorted-nth-mono)

ultimately have $xs ! i \leq ys ! j$

by (metis One-nat-def dual-order.trans)

thus $x \leq y$

by (simp add: i j)

qed

```

thus ?thesis
  by (auto simp add: sorted-append)
qed

```

Is the given list a permutation of the given set?

definition *is-sorted-list-of-set* :: ('a::ord) set \Rightarrow 'a list \Rightarrow bool **where**
is-sorted-list-of-set A xs = $((\forall i < \text{length}(xs) - 1. xs!i < xs!(i + 1)) \wedge \text{set}(xs) = A)$

```

lemma sorted-is-sorted-list-of-set:
  assumes is-sorted-list-of-set A xs
  shows sorted(xs) and distinct(xs)
using assms proof (induct xs arbitrary: A)
  show sorted []
    by auto
next
  show distinct []
    by auto
next
  fix A :: 'a set
  case (Cons x xs) note hyps = this
  assume isl: is-sorted-list-of-set A (x # xs)
  hence srt:  $(\forall i < \text{length } xs - \text{Suc } 0. xs ! i < xs ! \text{Suc } i)$ 
    using less-diff-conv by (auto simp add: is-sorted-list-of-set-def)
  with hyps(1) have srtD: sorted xs
    by (simp add: is-sorted-list-of-set-def)
  with isl show sorted (x # xs)
    apply (simp-all add: is-sorted-list-of-set-def)
    apply (metis (mono-tags, lifting) all-nth-imp-all-set less-le-trans linorder-not-less
not-less-iff-gr-or-eq nth-Cons-0 sorted-iff-nth-mono zero-order(3))
  done
  from srt hyps(2) have distinct xs
    by (simp add: is-sorted-list-of-set-def)
  with isl show distinct (x # xs)
proof -
  have  $(\forall n. \neg n < \text{length } (x \# xs) - 1 \vee (x \# xs) ! n < (x \# xs) ! (n + 1)) \wedge$ 
set (x # xs) = A
    by (meson <is-sorted-list-of-set A (x # xs)> is-sorted-list-of-set-def)
  then show ?thesis
    by (metis <distinct xs> add commute add-diff-cancel-left' distinct.simps(2) leD
length-Cons length-greater-0-conv length-pos-if-in-set less-le nth-Cons-0 nth-Cons-Suc
plus-1-eq-Suc set-ConsD sorted-wrt.elims(2) srtD)
qed
qed

```

```

lemma is-sorted-list-of-set-alt-def:
  is-sorted-list-of-set A xs  $\longleftrightarrow$  sorted (xs)  $\wedge$  distinct (xs)  $\wedge$  set(xs) = A
  by (metis is-sorted-list-of-set-def sorted-distinct sorted-is-sorted-list-of-set(1,2))

```

definition *sorted-list-of-set-alt* :: ('a::ord) set \Rightarrow 'a list **where**
sorted-list-of-set-alt A =
 (if (A = {}) then [] else (THE xs. is-sorted-list-of-set A xs))

lemma *is-sorted-list-of-set*:
 finite A \implies is-sorted-list-of-set A (sorted-list-of-set A)
using sorted-distinct sorted-list-of-set(2) **by** (fastforce simp add: is-sorted-list-of-set-def)

lemma *sorted-list-of-set-other-def*:
 finite A \implies sorted-list-of-set(A) = (THE xs. sorted(xs) \wedge distinct(xs) \wedge set xs = A)
by (metis (mono-tags, lifting) sorted-list-of-set.distinct-sorted-key-list-of-set
 sorted-list-of-set.idem-if-sorted-distinct sorted-list-of-set.set-sorted-key-list-of-set
 sorted-list-of-set.sorted-sorted-key-list-of-set the-equality)

lemma *sorted-list-of-set-alt [simp]*:
 finite A \implies sorted-list-of-set-alt(A) = sorted-list-of-set(A)
by (metis is-sorted-list-of-set is-sorted-list-of-set-def sorted-is-sorted-list-of-set(1,2)
 sorted-list-of-set.idem-if-sorted-distinct sorted-list-of-set-alt-def sorted-list-of-set-eq-Nil-iff
 the-equality)

Sorting lists according to a relation

definition *is-sorted-list-of-set-by* :: 'a rel \Rightarrow 'a set \Rightarrow 'a list \Rightarrow bool **where**
is-sorted-list-of-set-by R A xs = ((\forall i < length(xs) - 1. (xs!i, xs!(i + 1)) \in R) \wedge
 set(xs) = A)

definition *sorted-list-of-set-by* :: 'a rel \Rightarrow 'a set \Rightarrow 'a list **where**
sorted-list-of-set-by R A = (THE xs. is-sorted-list-of-set-by R A xs)

definition *fin-set-lexord* :: 'a rel \Rightarrow 'a set rel **where**
fin-set-lexord R = {(A, B). finite A \wedge finite B \wedge
 (\exists xs ys. is-sorted-list-of-set-by R A xs \wedge is-sorted-list-of-set-by
 R B ys
 \wedge (xs, ys) \in lexord R)}

lemma *is-sorted-list-of-set-by-mono*:
 [R \subseteq S; is-sorted-list-of-set-by R A xs] \implies is-sorted-list-of-set-by S A xs
by (auto simp add: is-sorted-list-of-set-by-def)

lemma *lexord-mono'*:
 [(\wedge x y. f x y \longrightarrow g x y); (xs, ys) \in lexord {(x, y). f x y}] \implies (xs, ys) \in lexord
 {(x, y). g x y}
by (metis case-prodD case-prodI lexord-take-index-conv mem-Collect-eq)

lemma *fin-set-lexord-mono [mono]*:
 (\wedge x y. f x y \longrightarrow g x y) \implies (xs, ys) \in fin-set-lexord {(x, y). f x y} \longrightarrow (xs, ys)
 \in fin-set-lexord {(x, y). g x y}

proof
 assume

fin: $(xs, ys) \in \text{fin-set-lexord } \{(x, y). f x y\}$ **and**
hyp: $(\bigwedge x y. f x y \longrightarrow g x y)$

from *fin* **have** *finite xs finite ys*
using *fin-set-lexord-def* **by** *fastforce+*

with *fin hyp* **show** $(xs, ys) \in \text{fin-set-lexord } \{(x, y). g x y\}$
by (*simp add: fin-set-lexord-def, metis case-prod-conv is-sorted-list-of-set-by-def*
lexord-mono' mem-Collect-eq)

qed

definition *distincts* :: 'a set \Rightarrow 'a list set **where**
distincts A = $\{xs \in \text{lists } A. \text{distinct}(xs)\}$

lemma *tl-element*:

$\llbracket x \in \text{set } xs; x \neq \text{hd}(xs) \rrbracket \Longrightarrow x \in \text{set}(\text{tl}(xs))$
by (*metis in-set-insert insert-Nil list.collapse list.distinct(2) set-ConsD*)

2.5.3 List Update

lemma *listsum-update*:

fixes *xs* :: 'a::ring list
assumes *i < length xs*
shows $\text{list-sum } (xs[i := v]) = \text{list-sum } xs - xs ! i + v$
using *assms* **proof** (*induct xs arbitrary: i*)
case *Nil*
then show *?case* **by** (*simp*)
next
case (*Cons a xs*)
then show *?case*
proof (*cases i*)
case *0*
thus *?thesis*
by (*simp add: add.commute*)
next
case (*Suc i'*)
with *Cons* **show** *?thesis*
by (*auto*)
qed
qed

2.5.4 Drop While and Take While

lemma *dropWhile-sorted-le-above*:

$\llbracket \text{sorted } xs; x \in \text{set } (\text{dropWhile } (\lambda x. x \leq n) xs) \rrbracket \Longrightarrow x > n$
proof (*induct xs*)
case *Nil*
then show *?case*
by *simp*

```

next
  case (Cons a xs)
  then show ?case
  proof (cases a ≤ n)
    case True
    with Cons show ?thesis by simp
  next
    case False
    with Cons show ?thesis
    by force
  qed
qed

```

```

lemma set-dropWhile-le:
  sorted xs  $\implies$  set (dropWhile ( $\lambda x. x \leq n$ ) xs) = {x ∈ set xs. x > n}
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  hence sorted xs
  using sorted-simps(2) by blast
  with Cons show ?case
  by force
qed

```

```

lemma set-takeWhile-less-sorted:
  [ sorted I; x ∈ set I; x < n ]  $\implies$  x ∈ set (takeWhile ( $\lambda x. x < n$ ) I)
proof (induct I arbitrary: x)
  case Nil thus ?case
  by (simp)
next
  case (Cons a I) thus ?case
  by auto
qed

```

```

lemma nth-le-takeWhile-ord: [ sorted xs; i ≥ length (takeWhile ( $\lambda x. x \leq n$ ) xs);
i < length xs ]  $\implies$  n ≤ xs ! i
proof (induct xs arbitrary: i)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  by (meson dual-order.trans nle-le nth-length-takeWhile order-le-less-trans sorted-iff-nth-mono)
qed

```

```

lemma length-takeWhile-less:

```

$\llbracket a \in \text{set } xs; \neg P a \rrbracket \implies \text{length } (\text{takeWhile } P \text{ } xs) < \text{length } xs$
by (*metis in-set-conv-nth length-takeWhile-le nat-neq-iff not-less set-takeWhileD takeWhile-nth*)

lemma *nth-length-takeWhile-less*:

$\llbracket \text{sorted } xs; \text{distinct } xs; (\exists a \in \text{set } xs. a \geq n) \rrbracket \implies xs ! \text{length } (\text{takeWhile } (\lambda x. x < n) \text{ } xs) \geq n$
by (*induct xs, auto*)

2.5.5 Last and But Last

lemma *length-gt-zero-butlast-concat*:

assumes $\text{length } ys > 0$
shows $\text{butlast } (xs \bullet ys) = xs \bullet (\text{butlast } ys)$
using *assms* **by** (*metis butlast-append length-greater-0-conv*)

lemma *length-eq-zero-butlast-concat*:

assumes $\text{length } ys = 0$
shows $\text{butlast } (xs \bullet ys) = \text{butlast } xs$
using *assms* **by** (*metis append-Nil2 length-0-conv*)

lemma *butlast-single-element*:

shows $\text{butlast } [e] = []$
by (*metis butlast.simps(2)*)

lemma *last-single-element*:

shows $\text{last } [e] = e$
by (*metis last.simps*)

lemma *length-zero-last-concat*:

assumes $\text{length } t = 0$
shows $\text{last } (s \bullet t) = \text{last } s$
by (*metis append-Nil2 assms length-0-conv*)

lemma *length-gt-zero-last-concat*:

assumes $\text{length } t > 0$
shows $\text{last } (s \bullet t) = \text{last } t$
by (*metis assms last-append length-greater-0-conv*)

2.5.6 Prefixes and Strict Prefixes

lemma *prefix-length-eq*:

$\llbracket \text{length } xs = \text{length } ys; \text{prefix } xs \text{ } ys \rrbracket \implies xs = ys$
by (*metis not-equal-is-parallel parallel-def*)

lemma *prefix-Cons-elim* [*elim*]:

assumes $\text{prefix } (x \# xs) \text{ } ys$
obtains ys' **where** $ys = x \# ys'$ $\text{prefix } xs \text{ } ys'$
using *assms*
by (*metis append-Cons prefix-def*)

lemma *prefix-map-inj*:
 $\llbracket \text{inj-on } f \text{ (set } xs \cup \text{ set } ys); \text{ prefix (map } f \text{ } xs) \text{ (map } f \text{ } ys) \rrbracket \implies$
 $\text{prefix } xs \text{ } ys$
proof (*induct xs arbitrary:ys*)
case *Nil*
then show *?case*
by *simp*
next
case (*Cons x xs*)
obtain *ys'* **where** $\text{map } f \text{ } ys = f \text{ } x \# \text{ } ys'$ *prefix (map } f \text{ } xs) \text{ } ys'*
using *Cons.prem1(2)* **by** *auto*
with *Cons* **show** *?case*
by (*simp, safe, metis Diff-iff Sublist.Cons-prefix-Cons Un-insert-right empty-iff*
image-eqI inj-on-insert insert-iff list.simps(15))
qed

lemma *prefix-map-inj-eq [simp]*:
 $\text{inj-on } f \text{ (set } xs \cup \text{ set } ys) \implies$
 $\text{prefix (map } f \text{ } xs) \text{ (map } f \text{ } ys) \longleftrightarrow \text{prefix } xs \text{ } ys$
using *map-mono-prefix prefix-map-inj* **by** *blast*

lemma *strict-prefix-Cons-elim [elim]*:
assumes *strict-prefix (x # xs) ys*
obtains *ys'* **where** $ys = x \# \text{ } ys'$ *strict-prefix xs \text{ } ys'*
using *assms*
by (*metis Sublist.strict-prefixE' Sublist.strict-prefixI' append-Cons*)

lemma *strict-prefix-map-inj*:
 $\llbracket \text{inj-on } f \text{ (set } xs \cup \text{ set } ys); \text{ strict-prefix (map } f \text{ } xs) \text{ (map } f \text{ } ys) \rrbracket \implies$
 $\text{strict-prefix } xs \text{ } ys$
apply (*induct xs arbitrary:ys*)
apply (*simp-all*)
using *prefix-bot.not-eq-extremum* **apply** *fastforce*
apply (*erule strict-prefix-Cons-elim*)
apply (*safe*)
apply (*metis Diff-iff Sublist.strict-prefix-simps(3) Un-insert-right empty-iff im-*
ageI inj-on-insert insert-iff
list.simps(15))
done

lemma *strict-prefix-map-inj-eq [simp]*:
 $\text{inj-on } f \text{ (set } xs \cup \text{ set } ys) \implies$
 $\text{strict-prefix (map } f \text{ } xs) \text{ (map } f \text{ } ys) \longleftrightarrow \text{strict-prefix } xs \text{ } ys$
by (*simp add: inj-on-map-eq-map strict-prefix-def*)

lemma *prefix-drop*:
 $\llbracket \text{drop (length } xs) \text{ } ys = \text{ } zs; \text{ prefix } xs \text{ } ys \rrbracket$
 $\implies \text{ } ys = xs \bullet \text{ } zs$

by (*metis append-eq-conv-conj prefix-def*)

lemma *list-append-prefixD* [*dest*]: $x \bullet y \leq z \implies x \leq z$
 using *append-prefixD less-eq-list-def* by *blast*

lemma *prefix-not-empty*:
 assumes *strict-prefix xs ys* and $xs \neq []$
 shows $ys \neq []$
 using *Sublist.strict-prefix-simps(1) assms(1)* by *blast*

lemma *prefix-not-empty-length-gt-zero*:
 assumes *strict-prefix xs ys* and $xs \neq []$
 shows $\text{length } ys > 0$
 using *assms prefix-not-empty* by *auto*

lemma *butlast-prefix-suffix-not-empty*:
 assumes *strict-prefix (butlast xs) ys*
 shows $ys \neq []$
 using *assms prefix-not-empty-length-gt-zero* by *fastforce*

lemma *prefix-and-concat-prefix-is-concat-prefix*:
 assumes *prefix s t prefix (e • t) u*
 shows *prefix (e • s) u*
 using *Sublist.same-prefix-prefix assms(1) assms(2) prefix-order.dual-order.trans*
 by *blast*

lemma *prefix-eq-exists*:
 $\text{prefix } s \ t \longleftrightarrow (\exists xs . s \bullet xs = t)$
 using *prefix-def* by *auto*

lemma *strict-prefix-eq-exists*:
 $\text{strict-prefix } s \ t \longleftrightarrow (\exists xs . s \bullet xs = t \wedge (\text{length } xs) > 0)$
 using *prefix-def strict-prefix-def* by *auto*

lemma *butlast-strict-prefix-eq-butlast*:
 assumes $\text{length } s = \text{length } t$ and *strict-prefix (butlast s) t*
 shows *strict-prefix (butlast s) t* \longleftrightarrow $(\text{butlast } s) = (\text{butlast } t)$
 by (*metis append-butlast-last-id append-eq-append-conv assms(1) assms(2) length-0-conv length-butlast strict-prefix-eq-exists*)

lemma *butlast-eq-if-eq-length-and-prefix*:
 assumes $\text{length } s > 0$ $\text{length } z > 0$
 $\text{length } s = \text{length } z$ *strict-prefix (butlast s) t* *strict-prefix (butlast z) t*
 shows $(\text{butlast } s) = (\text{butlast } z)$
 using *assms* by (*auto simp add:strict-prefix-eq-exists*)

lemma *prefix-imp-length-lteq*:
 assumes *prefix s t*
 shows $\text{length } s \leq \text{length } t$

```

using assms by (simp add: Sublist.prefix-length-le)

lemma prefix-imp-length-not-gt:
  assumes prefix s t
  shows  $\neg$  length t < length s
  using assms by (simp add: Sublist.prefix-length-le leD)

lemma prefix-and-eq-length-imp-eq-list:
  assumes prefix s t and length t = length s
  shows s=t
  using assms by (simp add: prefix-length-eq)

lemma butlast-prefix-imp-length-not-gt:
  assumes length s > 0 strict-prefix (butlast s) t
  shows  $\neg$  (length t < length s)
  using assms prefix-length-less by fastforce

lemma length-not-gt-iff-eq-length:
  assumes length s > 0 and strict-prefix (butlast s) t
  shows ( $\neg$  (length s < length t)) = (length s = length t)
proof -
  have ( $\neg$  (length s < length t)) = ((length t < length s)  $\vee$  (length s = length t))
    by (metis not-less-iff-gr-or-eq)
  also have ... = (length s = length t)
    using assms
    by (simp add: butlast-prefix-imp-length-not-gt)

  finally show ?thesis .
qed

lemma list-prefix-iff:
  (prefix xs ys  $\longleftrightarrow$  (length xs  $\leq$  length ys  $\wedge$  ( $\forall$  i < length xs. xs!i = ys!i)))
  apply (safe)
  apply (simp add: prefix-imp-length-lteq)
  apply (metis nth-append prefix-def)
  apply (metis nth-take-lemma order-refl take-all take-is-prefix)
  done

lemma list-le-prefix-iff:
  (xs  $\leq$  ys  $\longleftrightarrow$  (length xs  $\leq$  length ys  $\wedge$  ( $\forall$  i < length xs. xs!i = ys!i)))
  by (simp add: less-eq-list-def list-prefix-iff)

Greatest common prefix

fun gcp :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  gcp [] ys = [] |
  gcp (x # xs) (y # ys) = (if (x = y) then x # gcp xs ys else []) |
  gcp - - = []

lemma gcp-right [simp]: gcp xs [] = []

```

```

by (induct xs, auto)

lemma gcp-append [simp]: gcp (xs • ys) (xs • zs) = xs • gcp ys zs
  by (induct xs, auto)

lemma gcp-lb1: prefix (gcp xs ys) xs
proof (induct xs arbitrary: ys)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  proof (cases ys)
    case Nil
    then show ?thesis by simp
  next
    case (Cons a list)
    then show ?thesis
    by (simp add: Cons.hyps)
  qed
qed

lemma gcp-lb2: prefix (gcp xs ys) ys
proof (induct xs arbitrary: ys)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  proof (cases ys)
    case Nil
    then show ?thesis by simp
  next
    case (Cons a list)
    then show ?thesis
    by (simp add: Cons.hyps)
  qed
qed

interpretation prefix-semilattice: semilattice-inf gcp prefix strict-prefix
proof
  fix xs ys :: 'a list
  show prefix (gcp xs ys) xs
  proof (induct xs arbitrary: ys)
    case Nil
    then show ?case by simp
  next
    case (Cons a xs)
    then show ?case

```

```

    by (simp add: gcp-lb1)
  qed
  show prefix (gcp xs ys) ys
  proof (induct xs arbitrary: ys)
    case Nil
    then show ?case by simp
  next
    case (Cons a xs)
    then show ?case
      by (simp add: gcp-lb2)
  qed
next
  fix xs ys zs :: 'a list
  assume prefix xs ys prefix xs zs
  thus prefix xs (gcp ys zs)
    by (simp add: prefix-def, auto)
qed

```

2.5.7 Lexicographic Order

lemma *lexord-append*:

```

  assumes  $(xs_1 \bullet ys_1, xs_2 \bullet ys_2) \in \text{lexord } R \text{ length}(xs_1) = \text{length}(xs_2)$ 
  shows  $(xs_1, xs_2) \in \text{lexord } R \vee (xs_1 = xs_2 \wedge (ys_1, ys_2) \in \text{lexord } R)$ 
  using assms
  proof (induct  $xs_2$  arbitrary:  $xs_1$ )
    case (Cons  $x_2 xs_2'$ ) note hyps = this
    from hyps(3) obtain  $x_1 xs_1'$  where  $xs_1: xs_1 = x_1 \# xs_1' \text{ length}(xs_1') = \text{length}(xs_2')$ 
      by (simp, metis Suc-length-conv)
    with hyps(2) have xcases:  $(x_1, x_2) \in R \vee (x_1' \bullet ys_1, x_2' \bullet ys_2) \in \text{lexord } R$ 
      by (auto)
    show ?case
    proof (cases  $(x_1, x_2) \in R$ )
      case True with  $xs_1$  show ?thesis
        by (auto)
    next
      case False
      with xcases have  $(x_1' \bullet ys_1, x_2' \bullet ys_2) \in \text{lexord } R$ 
        by (auto)
      with hyps(1)  $xs_1$  have dichot:  $(x_1', x_2') \in \text{lexord } R \vee (x_1' = x_2' \wedge (ys_1, ys_2) \in \text{lexord } R)$ 
        by (auto)
      have  $x_1 = x_2$ 
        using False hyps(2)  $xs_1$ (1) by auto
      with dichot  $xs_1$  show ?thesis
        by (simp)
    qed
  next
    case Nil thus ?case
      by auto
  qed

```

qed

lemma *strict-prefix-lexord-rel*:

strict-prefix xs ys \implies (xs, ys) \in lexord R

by (*metis Sublist.strict-prefixE' lexord-append-rightI*)

lemma *strict-prefix-lexord-left*:

assumes *trans R (xs, ys) \in lexord R strict-prefix xs' xs*

shows *(xs', ys) \in lexord R*

by (*metis assms lexord-trans strict-prefix-lexord-rel*)

lemma *prefix-lexord-right*:

assumes *trans R (xs, ys) \in lexord R strict-prefix ys ys'*

shows *(xs, ys[^]) \in lexord R*

by (*metis assms lexord-trans strict-prefix-lexord-rel*)

lemma *lexord-eq-length*:

assumes *(xs, ys) \in lexord R length xs = length ys*

shows $\exists i. (xs!i, ys!i) \in R \wedge i < \text{length } xs \wedge (\forall j < i. xs!j = ys!j)$

using *assms* **proof** (*induct xs arbitrary: ys*)

case (*Cons x xs*) **note** *hyps = this*

then obtain *y ys' where ys: ys = y # ys' length ys' = length xs*

by (*metis Suc-length-conv*)

show *?case*

proof (*cases (x, y) \in R*)

case *True with ys show ?thesis*

by *force*

next

case *False*

with *ys hyps(2) have xy: x = y (xs, ys') \in lexord R*

by *auto*

with *hyps(1,3) ys obtain i where (xs!i, ys!i) \in R i < length xs ($\forall j < i. xs!j = ys!j$)*

by *force*

with *xy ys have ((x # xs) ! Suc i, ys ! Suc i) \in R \wedge Suc i < length (x # xs) \wedge ($\forall j < \text{Suc } i. (x \# xs) ! j = ys ! j$)*

by (*auto simp add: less-Suc-eq-0-disj*)

thus *?thesis*

by *blast*

qed

next

case *Nil thus ?case by (auto)*

qed

lemma *lexord-intro-elems*:

assumes *length xs > i length ys > i (xs!i, ys!i) \in R $\forall j < i. xs!j = ys!j$*

shows *(xs, ys) \in lexord R*

using *assms* **proof** (*induct i arbitrary: xs ys*)

case *0 thus ?case*

by (*simp*, *metis lexord-cons-cons list.exhaust nth-Cons-0*)
next
 case (*Suc i*) **note** *hyps = this*
then obtain $x' y' xs' ys'$ **where** $xs = x' \# xs'$ $ys = y' \# ys'$
 by (*metis Suc-length-conv Suc-lessE*)
moreover with *hyps(5)* **have** $\forall j < i. xs' ! j = ys' ! j$
 by (*auto*)
ultimately show *?case* **using** *hyps*
 by (*auto*)
qed

2.6 Distributed Concatenation

definition *uncurry* :: $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a \times 'b \Rightarrow 'c)$ **where**
[simp]: uncurry f = ($\lambda(x, y). f x y$)

definition *dist-concat* ::
 $'a \text{ list set} \Rightarrow 'a \text{ list set} \Rightarrow 'a \text{ list set}$ (**infixr** $\hat{\ } 100$) **where**
dist-concat ls1 ls2 = (uncurry (\bullet) ' ($ls1 \times ls2$))

lemma *dist-concat-left-empty* [*simp*]:
 $\{\} \hat{\ } ys = \{\}$
 by (*simp add: dist-concat-def*)

lemma *dist-concat-right-empty* [*simp*]:
 $xs \hat{\ } \{\} = \{\}$
 by (*simp add: dist-concat-def*)

lemma *dist-concat-insert* [*simp*]:
 $insert\ l\ ls1 \hat{\ } ls2 = ((\bullet)\ l\ ' (ls2)) \cup (ls1 \hat{\ } ls2)$
 by (*auto simp add: dist-concat-def*)

2.7 List Domain and Range

abbreviation *seq-dom* :: $'a \text{ list} \Rightarrow \text{nat set}$ (*dom_l*) **where**
seq-dom xs \equiv $\{0..<\text{length } xs\}$

abbreviation (*input*) *seq-ran* :: $'a \text{ list} \Rightarrow 'a \text{ set}$ (*ran_l*) **where**
seq-ran xs \equiv set xs

2.8 Extracting List Elements

definition *seq-extract* :: $\text{nat set} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ (**infix** \upharpoonright_l 80) **where**
seq-extract A xs = nth_s xs A

lemma *seq-extract-Nil* [*simp*]: $A \upharpoonright_l [] = []$
 by (*simp add: seq-extract-def*)

lemma *seq-extract-Cons*:
 $A \upharpoonright_l (x \# xs) = (\text{if } 0 \in A \text{ then } [x] \text{ else } []) \bullet \{j. \text{Suc } j \in A\} \upharpoonright_l xs$

by (*simp add: seq-extract-def nth-Cons*)

lemma *seq-extract-empty* [*simp*]: $\{\} \upharpoonright_l xs = []$
by (*simp add: seq-extract-def*)

lemma *seq-extract-ident* [*simp*]: $\{0..<length\ xs\} \upharpoonright_l xs = xs$
unfolding *list-eq-iff-nth-eq*
by (*auto simp add: seq-extract-def length-nths atLeast0LessThan*)

lemma *seq-extract-split*:
assumes $i \leq length\ xs$
shows $\{0..<i\} \upharpoonright_l xs \bullet \{i..<length\ xs\} \upharpoonright_l xs = xs$
using *assms*
proof (*induct xs arbitrary: i*)
case Nil **thus** *?case* **by** (*simp add: seq-extract-def*)
next
case (Cons x xs) **note** *hyp = this*
have $\{j. Suc\ j < i\} = \{0..<i - 1\}$
by (*auto*)
moreover **have** $\{j. i \leq Suc\ j \wedge j < length\ xs\} = \{i - 1..<length\ xs\}$
by (*auto*)
ultimately **show** *?case*
using *hyp* **by** (*force simp add: seq-extract-def nth-Cons*)
qed

lemma *seq-extract-append*:
 $A \upharpoonright_l (xs \bullet ys) = (A \upharpoonright_l xs) \bullet (\{j. j + length\ xs \in A\} \upharpoonright_l ys)$
by (*simp add: seq-extract-def nth-append*)

lemma *seq-extract-range*: $A \upharpoonright_l xs = (A \cap dom_l(xs)) \upharpoonright_l xs$
apply (*simp add: seq-extract-def nth-def*)
apply (*metis (no-types, lifting) atLeastLessThan-iff filter-cong in-set-zip nth-mem set-upt*)
done

lemma *seq-extract-out-of-range*:
 $A \cap dom_l(xs) = \{\} \implies A \upharpoonright_l xs = []$
by (*metis seq-extract-def seq-extract-range nth-empty*)

lemma *seq-extract-length* [*simp*]:
 $length\ (A \upharpoonright_l xs) = card\ (A \cap dom_l(xs))$
proof –
have $\{i. i < length(xs) \wedge i \in A\} = (A \cap \{0..<length(xs)\})$
by (*auto*)
thus *?thesis*
by (*simp add: seq-extract-def length-nths*)
qed

lemma *seq-extract-Cons-atLeastLessThan*:

```

assumes  $m < n$ 
shows  $\{m..<n\} \upharpoonright_l (x \# xs) = (\text{if } (m = 0) \text{ then } x \# (\{0..<n-1\} \upharpoonright_l xs) \text{ else } \{m-1..<n-1\} \upharpoonright_l xs)$ 
proof -
  have  $\{j. \text{Suc } j < n\} = \{0..<n - \text{Suc } 0\}$ 
    by (auto)
  moreover have  $\{j. m \leq \text{Suc } j \wedge \text{Suc } j < n\} = \{m - \text{Suc } 0..<n - \text{Suc } 0\}$ 
    by (auto)

  ultimately show ?thesis using assms
    by (auto simp add: seq-extract-Cons)
qed

```

```

lemma seq-extract-singleton:
assumes  $i < \text{length } xs$ 
shows  $\{i\} \upharpoonright_l xs = [xs ! i]$ 
using assms
apply (induct xs arbitrary: i)
  apply (simp-all add: seq-extract-Cons)
apply safe
apply (rename-tac xs i)
apply (subgoal-tac  $\{j. \text{Suc } j = i\} = \{i - 1\}$ )
apply (auto)
done

```

```

lemma seq-extract-as-map:
assumes  $m < n \wedge n \leq \text{length } xs$ 
shows  $\{m..<n\} \upharpoonright_l xs = \text{map } (\text{nth } xs) [m..<n]$ 
using assms proof (induct xs arbitrary: m n)
  case Nil thus ?case by simp
next
  case (Cons x xs)
    have  $[m..<n] = m \# [m+1..<n]$ 
      using Cons.prem1 upt-eq-Cons-conv by blast
    moreover have  $\text{map } (\text{nth } (x \# xs)) [m..<n] = \text{map } (\text{nth } xs) [m..<n-1]$ 
      by (simp add: map-nth-Cons-atLeastLessThan)
    ultimately show ?case
      using Cons upt-rec
      by (auto simp add: seq-extract-Cons-atLeastLessThan)
qed

```

```

lemma seq-append-as-extract:
   $xs = ys \bullet zs \iff (\exists i \leq \text{length}(xs). ys = \{0..<i\} \upharpoonright_l xs \wedge zs = \{i..<\text{length}(xs)\} \upharpoonright_l xs)$ 
proof
  assume  $xs = ys \bullet zs$ 
  moreover have  $ys = \{0..<\text{length } ys\} \upharpoonright_l (ys \bullet zs)$ 
    by (simp add: seq-extract-append)
  moreover have  $zs = \{\text{length } ys..<\text{length } ys + \text{length } zs\} \upharpoonright_l (ys \bullet zs)$ 

```

proof –
have $\{length\ ys..<length\ ys + length\ zs\} \cap \{0..<length\ ys\} = \{\}$
by *auto*
moreover have $s1: \{j. j < length\ zs\} = \{0..<length\ zs\}$
by *auto*
ultimately show *?thesis*
by (*simp add: seq-extract-append seq-extract-out-of-range*)
qed
ultimately show $(\exists i \leq length(xs). ys = \{0..<i\} \upharpoonright_l xs \wedge zs = \{i..<length(xs)\} \upharpoonright_l xs)$
using *le-iff-add* **by** *auto*
next
assume $\exists i \leq length\ xs. ys = \{0..<i\} \upharpoonright_l xs \wedge zs = \{i..<length\ xs\} \upharpoonright_l xs$
thus $xs = ys \bullet zs$
by (*auto simp add: seq-extract-split*)
qed

2.9 Filtering a list according to a set

definition *seq-filter* :: 'a list \Rightarrow 'a set \Rightarrow 'a list (**infix** \upharpoonright_l 80) **where**
seq-filter $xs\ A = filter\ (\lambda\ x. x \in A)\ xs$

lemma *seq-filter-Cons-in* [*simp*]:
 $x \in cs \Longrightarrow (x \# xs) \upharpoonright_l cs = x \# (xs \upharpoonright_l cs)$
by (*simp add: seq-filter-def*)

lemma *seq-filter-Cons-out* [*simp*]:
 $x \notin cs \Longrightarrow (x \# xs) \upharpoonright_l cs = (xs \upharpoonright_l cs)$
by (*simp add: seq-filter-def*)

lemma *seq-filter-Nil* [*simp*]: $\[] \upharpoonright_l A = \[]$
by (*simp add: seq-filter-def*)

lemma *seq-filter-empty* [*simp*]: $xs \upharpoonright_l \{\} = \[]$
by (*simp add: seq-filter-def*)

lemma *seq-filter-append*: $(xs \bullet ys) \upharpoonright_l A = (xs \upharpoonright_l A) \bullet (ys \upharpoonright_l A)$
by (*simp add: seq-filter-def*)

lemma *seq-filter-UNIV* [*simp*]: $xs \upharpoonright_l UNIV = xs$
by (*simp add: seq-filter-def*)

lemma *seq-filter-twice* [*simp*]: $(xs \upharpoonright_l A) \upharpoonright_l B = xs \upharpoonright_l (A \cap B)$
by (*simp add: seq-filter-def*)

2.10 Minus on lists

instantiation *list* :: (*type*) *minus*
begin

We define list minus so that if the second list is not a prefix of the first, then an arbitrary list longer than the combined length is produced. Thus we can always determined from the output whether the minus is defined or not.

definition $xs - ys = (if (prefix ys xs) then drop (length ys) xs else [])$

instance ..
end

lemma *minus-cancel* [simp]: $xs - xs = []$
by (simp add: minus-list-def)

lemma *append-minus* [simp]: $(xs \bullet ys) - xs = ys$
by (simp add: minus-list-def)

lemma *minus-right-nil* [simp]: $xs - [] = xs$
by (simp add: minus-list-def)

lemma *list-concat-minus-list-concat*: $(s \bullet t) - (s \bullet z) = t - z$
by (simp add: minus-list-def)

lemma *length-minus-list*: $y \leq x \implies length(x - y) = length(x) - length(y)$
by (simp add: less-eq-list-def minus-list-def)

lemma *map-list-minus*:
 $xs \leq ys \implies map f (ys - xs) = map f ys - map f xs$
by (simp add: drop-map less-eq-list-def map-mono-prefix minus-list-def)

lemma *list-minus-first-tl* [simp]:
 $[x] \leq xs \implies (xs - [x]) = tl xs$
by (metis Prefix-Order.prefixE append.left-neutral append-minus list.sel(3) not-Cons-self2 tl-append2)

Extra lemmas about *prefix* and *strict-prefix*

lemma *prefix-concat-minus*:
assumes *prefix xs ys*
shows $xs \bullet (ys - xs) = ys$
using *assms* **by** (metis minus-list-def prefix-drop)

lemma *prefix-minus-concat*:
assumes *prefix s t*
shows $(t - s) \bullet z = (t \bullet z) - s$
using *assms* **by** (simp add: Sublist.prefix-length-le minus-list-def)

lemma *strict-prefix-minus-not-empty*:
assumes *strict-prefix xs ys*
shows $ys - xs \neq []$
using *assms* **by** (metis append-Nil2 prefix-concat-minus strict-prefix-def)

lemma *strict-prefix-diff-minus*:

assumes *prefix xs ys* **and** $xs \neq ys$
shows $(ys - xs) \neq []$
using *assms* **by** (*simp add: strict-prefix-minus-not-empty*)

lemma *length-tl-list-minus-butlast-gt-zero*:

assumes $length\ s < length\ t$ **and** *strict-prefix (butlast s) t* **and** $length\ s > 0$
shows $length\ (tl\ (t - (butlast\ s))) > 0$
using *assms*
by (*metis Nitpick.size-list-simp(2) butlast-snoc hd-Cons-tl length-butlast length-greater-0-conv length-tl less-trans nat-neq-iff strict-prefix-minus-not-empty prefix-order.dual-order.strict-implies-order prefix-concat-minus*)

lemma *list-minus-butlast-eq-butlast-list*:

assumes $length\ t = length\ s$ **and** *strict-prefix (butlast s) t*
shows $t - (butlast\ s) = [last\ t]$
using *assms*
by (*metis append-butlast-last-id append-eq-append-conv butlast.simps(1) length-butlast less-numeral-extra(3) list.size(3) prefix-order.dual-order.strict-implies-order prefix-concat-minus prefix-length-less*)

lemma *butlast-strict-prefix-length-lt-imp-last-tl-minus-butlast-eq-last*:

assumes $length\ s > 0$ *strict-prefix (butlast s) t* $length\ s < length\ t$
shows $last\ (tl\ (t - (butlast\ s))) = (last\ t)$
using *assms* **by** (*metis last-append last-tl length-tl-list-minus-butlast-gt-zero less-numeral-extra(3) list.size(3) append-minus strict-prefix-eq-exists*)

lemma *tl-list-minus-butlast-not-empty*:

assumes *strict-prefix (butlast s) t* **and** $length\ s > 0$ **and** $length\ t > length\ s$
shows $tl\ (t - (butlast\ s)) \neq []$
using *assms* *length-tl-list-minus-butlast-gt-zero* **by** *fastforce*

lemma *tl-list-minus-butlast-empty*:

assumes *strict-prefix (butlast s) t* **and** $length\ s > 0$ **and** $length\ t = length\ s$
shows $tl\ (t - (butlast\ s)) = []$
using *assms* **by** (*simp add: list-minus-butlast-eq-butlast-list*)

lemma *concat-minus-list-concat-butlast-eq-list-minus-butlast*:

assumes *prefix (butlast u) s*
shows $(t \bullet s) - (t \bullet (butlast\ u)) = s - (butlast\ u)$
using *assms* **by** (*metis append-assoc prefix-concat-minus append-minus*)

lemma *tl-list-minus-butlast-eq-empty*:

assumes *strict-prefix (butlast s) t* **and** $length\ s = length\ t$
shows $tl\ (t - (butlast\ s)) = []$
using *assms* **by** (*metis list.sel(3) list-minus-butlast-eq-butlast-list*)

lemma *prefix-length-tl-minus*:

assumes *strict-prefix s t*

shows $\text{length } (\text{tl } (t-s)) = (\text{length } (t-s)) - 1$
by (*auto*)

lemma *length-list-minus*:
assumes *strict-prefix s t*
shows $\text{length}(t - s) = \text{length}(t) - \text{length}(s)$
using *assms* **by** (*simp add: minus-list-def prefix-order.dual-order.strict-implies-order*)

lemma *length-minus-le*: $\text{length } (ys - xs) \leq \text{length } ys$
by (*simp add: minus-list-def*)

lemma *length-minus-less*: $\llbracket xs \leq ys; xs \neq [] \rrbracket \implies \text{length } (ys - xs) < \text{length } ys$
by (*simp add: minus-list-def less-eq-list-def*)
(metis diff-less length-greater-0-conv prefix-bot.extremum-uniqueI)

lemma *filter-minus [simp]*: $ys \leq xs \implies \text{filter } P (xs - ys) = \text{filter } P xs - \text{filter } P ys$
by (*simp add: minus-list-def less-eq-list-def filter-mono-prefix*)
(metis filter-append filter-mono-prefix prefix-drop same-append-eq)

2.11 Laws on *list-update*

lemma *list-update-0*: $\text{length}(xs) > 0 \implies xs[0 := x] = x \# \text{tl } xs$
by (*metis length-0-conv list.collapse list-update-code(2) nat-less-le*)

lemma *tl-list-update*: $\llbracket \text{length } xs > 0; k > 0 \rrbracket \implies \text{tl}(xs[k := v]) = (\text{tl } xs)[k-1 := v]$
by (*metis One-nat-def Suc-pred length-greater-0-conv list.collapse list.sel(3) list-update-code(3)*)

2.12 Laws on *take*, *drop*, and *nths*

lemma *take-prefix*: $m \leq n \implies \text{take } m xs \leq \text{take } n xs$
by (*metis Prefix-Order.prefixI append-take-drop-id min-absorb2 take-append take-take*)

lemma *nths-atLeastAtMost-0-take*: $\text{nths } xs \{0..m\} = \text{take } (\text{Suc } m) xs$
by (*metis atLeast0AtMost lessThan-Suc-atMost nths-upt-eq-take*)

lemma *nths-atLeastLessThan-0-take*: $\text{nths } xs \{0..<m\} = \text{take } m xs$
by (*simp add: atLeast0LessThan*)

lemma *nths-atLeastAtMost-prefix*: $m \leq n \implies \text{nths } xs \{0..m\} \leq \text{nths } xs \{0..n\}$
by (*simp add: nths-atLeastAtMost-0-take take-prefix*)

lemma *sorted-nths-atLeastAtMost-0*: $\llbracket m \leq n; \text{sorted } (\text{nths } xs \{0..n\}) \rrbracket \implies \text{sorted } (\text{nths } xs \{0..m\})$
using *nths-atLeastAtMost-prefix sorted-prefix* **by** *blast*

lemma *sorted-nths-atLeastLessThan-0*: $\llbracket m \leq n; \text{sorted } (\text{nths } xs \{0..<n\}) \rrbracket \implies \text{sorted } (\text{nths } xs \{0..<m\})$
by (*metis atLeast0LessThan nths-upt-eq-take sorted-prefix take-prefix*)

lemma *list-augment-as-update*:

$k < \text{length } xs \implies \text{list-augment } xs \ k \ x = \text{list-update } xs \ k \ x$

by (*metis list-augment-def list-augment-idem list-update-overwrite*)

lemma *nths-list-update-out*: $k \notin A \implies \text{nths } (\text{list-update } xs \ k \ x) \ A = \text{nths } xs \ A$

proof (*induct xs arbitrary: k x A*)

case *Nil*

then show *?case* **by** *simp*

next

case (*Cons a xs*)

then show *?case* **by** (*cases k, auto simp add: nths-Cons*)

qed

lemma *nths-list-augment-out*: $\llbracket k < \text{length } xs; \ k \notin A \rrbracket \implies \text{nths } (\text{list-augment } xs \ k \ x) \ A = \text{nths } xs \ A$

by (*simp add: list-augment-as-update nths-list-update-out*)

lemma *nths-none*:

assumes $\forall i \in I. \ i \geq \text{length } xs$

shows $\text{nths } xs \ I = \llbracket \rrbracket$

proof –

from *assms* **have** $\forall x \in \text{set } (\text{zip } xs \ [0..<\text{length } xs]). \ \text{snd } x \notin I$

by (*metis atLeastLessThan-iff in-set-zip leD nth-mem set-upt*)

thus *?thesis*

by (*simp add: nths-def*)

qed

lemma *nths-uptoLessThan*:

$\llbracket m \leq n; \ n < \text{length } xs \rrbracket \implies \text{nths } xs \ \{m..n\} = xs \ ! \ m \ \# \ \text{nths } xs \ \{\text{Suc } m..n\}$

proof (*induct xs arbitrary: m n*)

case *Nil*

then show *?case* **by** (*simp*)

next

case (*Cons a xs*)

have *l1*: $\bigwedge m \ n. \ \llbracket 0 < m; \ m \leq n \rrbracket \implies \{j. \ m \leq \text{Suc } j \wedge \text{Suc } j \leq n\} = \{m-1..n-1\}$

by (*auto*)

have *l2*: $\bigwedge m \ n. \ \llbracket 0 < m; \ m \leq n \rrbracket \implies \{j. \ m \leq j \wedge \text{Suc } j \leq n\} = \{m..n-1\}$

by (*auto*)

from *Cons* **show** *?case* **by** (*auto simp add: nths-Cons l1 l2*)

qed

lemma *nths-upt-nth*: $\llbracket j < i; \ i < \text{length } xs \rrbracket \implies (\text{nths } xs \ \{0..<i\}) \ ! \ j = xs \ ! \ j$

by (*metis lessThan-atLeast0 nth-take nths-upt-eq-take*)

lemma *nths-upt-length*: $\llbracket m \leq n; \ n \leq \text{length } xs \rrbracket \implies \text{length } (\text{nths } xs \ \{m..<n\}) = n - m$

by (metis atLeastLessThan-empty diff-is-0-eq length-map length-upt list.size(3)
not-less nth-empty seq-extract-as-map seq-extract-def)

lemma *nths-upt-le-length*:

$\llbracket m \leq n; \text{Suc } n \leq \text{length } xs \rrbracket \implies \text{length } (\text{nths } xs \{m..n\}) = \text{Suc } n - m$
by (metis atLeastLessThanSuc-atLeastAtMost le-SucI nths-upt-length)

lemma *sl1*: $n > 0 \implies \{j. \text{Suc } j \leq n\} = \{0..n-1\}$
by (auto)

lemma *sl2*: $\llbracket 0 < m; m \leq n \rrbracket \implies \{j. m \leq \text{Suc } j \wedge \text{Suc } j \leq n\} = \{m-1..n-1\}$
by auto

lemma *nths-upt-le-nth*: $\llbracket m \leq n; \text{Suc } n \leq \text{length } xs; i < \text{Suc } n - m \rrbracket$
 $\implies (\text{nths } xs \{m..n\}) ! i = xs ! (i + m)$

proof (induct xs arbitrary: m n i)

case Nil

then show ?case by (simp)

next

case (Cons a xs)

then show ?case

proof (cases i = 0)

case True

with Cons show ?thesis by (auto simp add: nths-Cons sl2)

next

case False

with Cons show ?thesis by (auto simp add: nths-Cons sl1 sl2)

qed

qed

lemma *nths-split-union*:

assumes $\bigwedge x y. x \in A \implies y \in B \implies x < y$

shows $\text{nths } l A \bullet \text{nths } l B = \text{nths } l (A \cup B)$

proof (induct l rule: rev-induct)

case Nil

then show ?case by simp

next

case (snoc x xs)

{ assume *: $\text{length } xs \notin (A \cup B)$

then have L: $\text{nths } (xs \bullet [x]) (A \cup B) = \text{nths } xs (A \cup B)$

by (simp add: nths-append)

from * have R: $\text{nths } (xs \bullet [x]) A = \text{nths } xs A \text{ nths } (xs \bullet [x]) B = \text{nths } xs B$

by (simp add: nths-append)+

have ?case

using L R snoc by presburger

} note length-notin = this

{ assume *: $\text{length } xs \in (A \cup B)$

then have new-nths: $\text{nths } (xs \bullet [x]) (A \cup B) = \text{nths } xs (A \cup B) \bullet [x]$

```

    by (simp add: nth-append)
  from * consider (A) length xs ∈ A | (B) length xs ∈ B
    using assms by auto
  then have ?case
  proof cases
    case A
    then have  $i \in B \implies i > \text{length } xs$  for  $i$ 
      using assms by force
    then have nth-B:  $\text{nth } (xs \bullet [x]) B = []$ 
      by (metis Suc-less-eq le-simps(2) length-Cons length-rotate1 nth-none
rotate1.simps(2))
    from A have  $\text{nth } (xs \bullet [x]) A = \text{nth } xs A \bullet [x]$ 
      by (simp add: nth-append)
    then have  $\text{nth } (xs \bullet [x]) A \bullet \text{nth } (xs \bullet [x]) B = (\text{nth } xs A \bullet \text{nth } xs B) \bullet$ 
      [x]
      by (metis append-is-Nil-conv nth-B nth-append self-append-conv)
    then show ?thesis
      using snoc new-nth by presburger
  next
  case B
  then have  $\text{length } xs \notin A$ 
    using assms by blast
  then have  $\text{nth } (xs \bullet [x]) A = \text{nth } xs A$ 
    by (simp add: nth-append)
  moreover have  $\text{nth } (xs \bullet [x]) B = \text{nth } xs B \bullet [x]$ 
    by (simp add: B nth-append)
  ultimately show ?thesis
    by (simp add: new-nth snoc)
  qed
} note length-in=this

show ?case
  using length-in length-notin by blast
qed

corollary nth-upt-le-append-split:
   $j \leq i \implies \text{nth } xs \{0..<j\} \bullet \text{nth } xs \{j..i\} = \text{nth } xs \{0..i\}$ 
proof -
  assume  $j \leq i$ 
  have  $\bigwedge x y. x \in \{0..<j\} \implies y \in \{j..i\} \implies x < y$ 
    by auto
  then have  $\text{nth } xs \{0..<j\} \bullet \text{nth } xs \{j..i\} = \text{nth } xs (\{0..<j\} \cup \{j..i\})$ 
    by (rule nth-split-union)
  moreover have  $\{0..<j\} \cup \{j..i\} = \{0..i\}$ 
    by (simp add: ivl-disj-un-two(7) ⟨j ≤ i⟩)
  ultimately show ?thesis
    using ⟨j ≤ i⟩ by presburger
qed

```

```

lemma nths-Cons-atLeastAtMost:  $n > m \implies \text{nths } (x \# xs) \{m..n\} = (\text{if } m = 0 \text{ then } x \# \text{nths } xs \{0..n-1\} \text{ else } \text{nths } xs \{m-1..n-1\})$ 
  apply (simp add: nths-Cons)
  apply safe
  using One-nat-def sl1 apply presburger
  using One-nat-def le-eq-less-or-eq sl2 apply presburger
  done

lemma nths-atLeastAtMost-eq-drop-take:  $\text{nths } xs \{m..n\} = \text{drop } m (\text{take } (n+1) xs)$ 
  by (induct xs rule: rev-induct, simp-all split: nat-diff-split add: nths-append, linarith)

lemma drop-as-map:  $\text{drop } m xs = \text{map } (\text{nth } xs) [m..<\text{length } xs]$ 
  by (metis add.commute add.right-neutral drop-map drop-upt map-nth)

lemma take-as-map:  $\text{take } m xs = \text{map } (\text{nth } xs) [0..<\min m (\text{length } xs)]$ 
  by (metis (no-types, lifting) add-0 map-nth min.cobounded2 min.commute min-def take-all-iff take-map take-upt)

lemma nths-atLeastAtMost-as-map:  $\text{nths } xs \{m..n\} = \text{map } (\lambda i. xs ! i) [m..<\min (n+1) (\text{length } xs)]$ 
  by (simp add: nths-atLeastAtMost-eq-drop-take drop-as-map take-as-map)

lemma nths-single:  $\text{nths } xs \{k\} = (\text{if } k < \text{length } xs \text{ then } [xs ! k] \text{ else } [])$ 
  using nths-atLeastAtMost-as-map[of xs k k] by simp

lemma nths-list-update-in-range:  $k \in \{m..n\} \implies \text{nths } (\text{list-update } xs \ k \ x) \{m..n\} = \text{list-update } (\text{nths } xs \{m..n\}) (k - m) \ x$ 
proof (induct xs arbitrary: k x m n)
  case Nil
  then show ?case by auto
next
  case (Cons a xs)
  then show ?case
  proof (cases k)
    case 0
    then show ?thesis apply (simp)
    by (smt (verit, best) Cons.premis append-Cons list-update-code(2) nths-Cons)
  next
  case (Suc nat)
  with Cons show ?thesis
  proof (cases n m rule: linorder-cases)
    case less
    then show ?thesis
    by force
  next
  case equal
  with Suc show ?thesis

```

```

    by (cases k, force)
      (metis (no-types, opaque-lifting) Cons.premis nth-single atLeastAtMost-singleton diff-is-0-eq' length-list-update list-update-code(2) list-update-code(3) list-update-nonempty nle-le nth-list-update-eq singleton.D)
  next
    case greater
    with Suc Cons(1)[of nat m - Suc 0 n - Suc 0 x] show ?thesis
    by (cases k, simp-all add: nth-Cons-atLeastAtMost nth-atLeastAtMost-0-take take-update-swap, safe)
      (metis Cons.premis Suc-le-mono Suc-pred atLeastAtMost-iff diff-Suc-Suc le-zero-eq not-gr-zero)
  qed
qed
qed

```

lemma *length-nth-atLeastAtMost* [simp]: $\text{length } (\text{nth } xs \{m..n\}) = \min (\text{Suc } n) (\text{length } xs) - m$
 by (simp add: nth-atLeastAtMost-as-map)

lemma *hd-nth-atLeastAtMost*: $\llbracket m < \text{length } xs; m \leq n \rrbracket \implies \text{hd } (\text{nth } xs \{m..n\}) = xs ! m$
 by (simp add: nth-atLeastAtMost-as-map upt-conv-Cons)

lemma *tl-nth-atLeastAtMost*: $\text{tl } (\text{nth } xs \{m..n\}) = \text{nth } xs \{\text{Suc } m..n\}$
 by (simp add: nth-atLeastAtMost-as-map, metis map-tl tl-upt)

lemma *set-nth-atLeastAtMost*: $\text{set } (\text{nth } xs \{m..n\}) = \{xs ! i \mid i. m \leq i \wedge i \leq n \wedge i < \text{length } xs\}$
 by (auto simp add: nth-atLeastAtMost-as-map)

lemma *nth-atLeastAtMost-neq-Nil* [simp]: $\llbracket m \leq n; \text{length } xs > m \rrbracket \implies \text{nth } xs \{m..n\} \neq []$
 by (force simp add: nth-atLeastAtMost-as-map)

lemma *nth-atLeastAtMost-head*: $\llbracket m \leq n; m < \text{length } xs \rrbracket \implies \text{nth } xs \{m..n\} = xs ! m \# (\text{nth } xs \{\text{Suc } m..n\})$
 by (simp add: nth-atLeastAtMost-as-map upt-conv-Cons)

lemma *sorted-hd-le-all*: $\llbracket xs \neq []; \text{sorted } xs; x \in \text{set } xs \rrbracket \implies \text{hd } xs \leq x$
 by (metis Orderings.order-eq-iff list.sel(1) list.set-cases sorted-simps(2))

2.13 List power

overloading

listpow \equiv *compow* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$

begin

fun *listpow* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
listpow 0 *xs* = []

| $listpow (Suc n) xs = xs \bullet listpow n xs$

end

lemma *listpow-Nil* [simp]: $[] \overset{\sim}{\sim} n = []$
by (induct n) simp-all

lemma *listpow-Suc-right*: $xs \overset{\sim}{\sim} Suc n = xs \overset{\sim}{\sim} n \bullet xs$
by (induct n) simp-all

lemma *listpow-add*: $xs \overset{\sim}{\sim} (m + n) = xs \overset{\sim}{\sim} m \bullet xs \overset{\sim}{\sim} n$
by (induct m) simp-all

2.14 Alternative List Lexicographic Order

Since we can't instantiate the order class twice for lists, and we often want prefix as the default order, we here add syntax for the lexicographic order relation.

definition *list-lex-less* :: $'a::linorder list \Rightarrow 'a list \Rightarrow bool$ (**infix** $<_l$ 50)
where $xs <_l ys \iff (xs, ys) \in lexord \{(u, v). u < v\}$

lemma *list-lex-less-neq* [simp]: $x <_l y \implies x \neq y$
apply (simp add: list-lex-less-def)
apply (meson case-prodD less-irrefl lexord-irreflexive mem-Collect-eq)
done

lemma *not-less-Nil* [simp]: $\neg x <_l []$
by (simp add: list-lex-less-def)

lemma *Nil-less-Cons* [simp]: $[] <_l a \# x$
by (simp add: list-lex-less-def)

lemma *Cons-less-Cons* [simp]: $a \# x <_l b \# y \iff a < b \vee a = b \wedge x <_l y$
by (simp add: list-lex-less-def)

2.15 Bounded List Universe

Analogous to *List.n-lists*, but includes all lists with a length up to the given number.

definition *b-lists* :: $nat \Rightarrow 'a list \Rightarrow 'a list list$ **where**
 $b-lists n xs = concat (map (\lambda n. List.n-lists n xs) [0..<Suc n])$

lemma *b-lists-Nil* [simp]: $b-lists n [] = [[]]$
unfolding *b-lists-def* **by** (induct n) simp-all

lemma *length-b-lists-elem*: $ys \in set (b-lists n xs) \implies length ys \leq n$
unfolding *b-lists-def*
by (auto simp add: length-n-lists-elem)

lemma *b-lists-in-lists*: $ys \in \text{set } (b\text{-lists } n \text{ } xs) \implies ys \in \text{lists } (\text{set } xs)$
by (*auto simp add: b-lists-def in-mono set-n-lists*)

lemma *in-blistsI*:

assumes $\text{length } xs \leq n \text{ } xs \in \text{lists } (\text{set } A)$
shows $xs \in \text{set } (b\text{-lists } n \text{ } A)$

proof –

have $xs \notin \text{set } (List.n\text{-lists } n \text{ } A) \implies xs \in \text{set } (List.n\text{-lists } (\text{length } xs) \text{ } A)$
using *assms(2) in-lists-conv-set set-n-lists* **by** *fastforce*
with *assms* **show** *?thesis*
by (*force simp add: set-n-lists subsetI b-lists-def*)

qed

lemma *ex-list-nonempty-carrier*:

assumes $A \neq \{\}$
obtains xs **where** $\text{length } xs = n \text{ } \text{set } xs \subseteq A$

proof –

obtain a **where** $a \in A$
using *assms* **by** *blast*
hence $\text{set } (\text{replicate } n \text{ } a) \subseteq A$
by (*simp add: set-replicate-conv-if*)
with *that* **show** *?thesis*
by (*meson length-replicate*)

qed

lemma *n-lists-inj*:

assumes $xs \neq [] \text{ } List.n\text{-lists } m \text{ } xs = List.n\text{-lists } n \text{ } xs$
shows $m = n$

proof (*rule ccontr*)

assume $mn: m \neq n$

hence $m < n \vee m > n$

by *auto*

moreover **have** $m < n \longrightarrow \text{False}$

proof

assume $m < n$

then obtain ys **where** $\text{length } ys = n \text{ } \text{set } ys \subseteq \text{set } xs$

by (*metis all-not-in-conv assms(1) ex-list-nonempty-carrier length-0-conv neq0-conv nth-mem*)

hence $ys \in \text{set } (List.n\text{-lists } n \text{ } xs)$

by (*simp add: set-n-lists*)

moreover **have** $ys \notin \text{set } (List.n\text{-lists } m \text{ } xs)$

using *length-n-lists-elem mn ys(1)* **by** *blast*

ultimately **show** *False*

by (*simp add: assms(2)*)

qed

moreover **have** $m > n \longrightarrow \text{False}$

proof

assume $n < m$

then obtain ys **where** $ys: \text{length } ys = m \text{ set } ys \subseteq \text{set } xs$
by (*metis all-not-in-conv assms(1) ex-list-nonempty-carrier length-0-conv neq0-conv nth-mem*)
hence $ys \in \text{set } (\text{List.n-lists } m \text{ } xs)$
by (*simp add: set-n-lists*)
moreover have $ys \notin \text{set } (\text{List.n-lists } n \text{ } xs)$
using *length-n-lists-elem mn ys(1)* **by** *blast*
ultimately show *False*
by (*simp add: assms(2)*)
qed
ultimately show *False*
by *blast*
qed

lemma *distinct-b-lists: distinct xs \implies distinct (b-lists n xs)*
apply (*cases xs = []*)
apply (*simp*)
apply (*simp add: b-lists-def, safe*)
apply (*rule distinct-concat*)
apply (*simp add: distinct-map*)
apply (*simp add: inj-onI n-lists-inj*)
using *distinct-n-lists* **apply** *auto[1]*
apply (*safe*)
apply (*metis ex-map-conv length-n-lists-elem*)
apply (*simp add: distinct-n-lists*)
apply (*metis atLeastLessThan-iff length-n-lists-elem order-less-irrefl*)
done

definition *bounded-lists :: nat \Rightarrow 'a set \Rightarrow 'a list set* **where**
bounded-lists n A = {xs \in lists A. length xs \leq n}

lemma *bounded-lists-b-lists [code]: bounded-lists n (set xs) = set (b-lists n xs)*
apply (*simp add: bounded-lists-def in-blistsI in-listsI, safe*)
using *in-blistsI* **apply** *blast*
apply (*meson b-lists-in-lists in-lists-conv-set*)
apply (*meson length-b-lists-elem*)
done

2.16 Disjointness and Partitions

definition *list-disjoint :: 'a set list \Rightarrow bool* **where**
list-disjoint xs = ($\forall i < \text{length } xs. \forall j < \text{length } xs. i \neq j \longrightarrow xs!i \cap xs!j = \{\}$)

definition *list-partitions :: 'a set list \Rightarrow 'a set \Rightarrow bool* **where**
list-partitions xs T = (list-disjoint xs \wedge $\bigcup (\text{set } xs) = T$)

lemma *list-disjoint-Nil [simp]: list-disjoint []*
by (*simp add: list-disjoint-def*)

```

lemma list-disjoint-Cons [simp]: list-disjoint (A # Bs) = (( $\forall B \in \text{set } Bs. A \cap B = \{\}$ )  $\wedge$  list-disjoint Bs)
  apply (simp add: list-disjoint-def disjoint-iff)
  apply (safe)
  apply (metis Suc-less-eq in-set-conv-nth nat.distinct(1) neq0-conv nth-Cons-0 nth-Cons-Suc)
  apply (metis lessI lift-Suc-mono-less-iff nat.inject nth-Cons-Suc)
  apply (metis less-Suc-eq-0-disj[of - length Bs] nth-Cons-0[of A Bs] nth-Cons-Suc[of A Bs] nth-mem[of - Bs])
  done

```

2.17 Code Generation

```

lemma set-singleton-iff: set xs = {x}  $\longleftrightarrow$  remdups xs = [x]
  apply (safe)
  apply (metis card-set empty-set insert-not-empty length-0-conv length-Suc-conv list.simps(15) remdups.simps(1) remdups.simps(2) set-remdups the-elem-set)
  apply (metis empty-iff empty-set set-ConsD set-remdups)
  apply (metis list.set-intros(1) set-remdups)
  done

```

```

lemma list-singleton-iff: ( $\exists x. xs = [x]$ )  $\longleftrightarrow$  (length xs = 1)
  by (auto simp add: length-Suc-conv)

```

end

3 Infinite Sequences

theory *Infinite-Sequence*

imports

HOL.Real

List-Extra

HOL-Library.Sublist

HOL-Library.Nat-Bijection

begin

```

typedef 'a infseq = UNIV :: (nat  $\Rightarrow$  'a) set
  by (auto)

```

setup-lifting *type-definition-infseq*

```

definition ssubstr :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a infseq  $\Rightarrow$  'a list where
  ssubstr i j xs = map (Rep-infseq xs) [i ..< j]

```

```

lift-definition nth-infseq :: 'a infseq  $\Rightarrow$  nat  $\Rightarrow$  'a (infixl !s 100)
is  $\lambda f i. f i$  .

```

```

abbreviation sinit :: nat  $\Rightarrow$  'a infseq  $\Rightarrow$  'a list where
  sinit i xs  $\equiv$  ssubstr 0 i xs

```

lemma *sinit-len* [*simp*]:
 $length (sinit\ i\ xs) = i$
by (*simp add: ssubstr-def*)

lemma *sinit-0* [*simp*]: $sinit\ 0\ xs = []$
by (*simp add: ssubstr-def*)

lemma *prefix-upt-0* [*intro*]:
 $i \leq j \implies prefix\ [0..<i]\ [0..<j]$
by (*induct i, simp, metis append-prefixD le0 prefix-order.lift-Suc-mono-le prefix-order.order-refl upt-Suc*)

lemma *sinit-prefix*:
 $i \leq j \implies prefix\ (sinit\ i\ xs)\ (sinit\ j\ xs)$
by (*simp add: map-mono-prefix prefix-upt-0 ssubstr-def*)

lemma *sinit-strict-prefix*:
 $i < j \implies strict-prefix\ (sinit\ i\ xs)\ (sinit\ j\ xs)$
by (*metis sinit-len sinit-prefix le-less nat-neq-iff prefix-order.dual-order.strict-iff-order*)

lemma *nth-sinit*:
 $i < n \implies sinit\ n\ xs\ !\ i = xs\ !_s\ i$
apply (*unfold ssubstr-def*)
apply (*transfer, auto*)
done

lemma *sinit-append-split*:
assumes $i < j$
shows $sinit\ j\ xs = sinit\ i\ xs \bullet ssubstr\ i\ j\ xs$
proof –
have $[0..<i] \bullet [i..<j] = [0..<j]$
by (*metis assms le0 le-add-diff-inverse le-less upt-add-eq-append*)
thus *?thesis*
by (*simp add: ssubstr-def, transfer, simp add: map-append[THEN sym]*)
qed

lemma *sinit-linear-asym-lemma1*:
assumes $asym\ R\ i < j\ (sinit\ i\ xs,\ sinit\ i\ ys) \in lexord\ R\ (sinit\ j\ ys,\ sinit\ j\ xs) \in lexord\ R$
shows *False*
proof –
have *sinit-xs*: $sinit\ j\ xs = sinit\ i\ xs \bullet ssubstr\ i\ j\ xs$
by (*metis assms(2) sinit-append-split*)
have *sinit-ys*: $sinit\ j\ ys = sinit\ i\ ys \bullet ssubstr\ i\ j\ ys$
by (*metis assms(2) sinit-append-split*)
from *sinit-xs sinit-ys assms(4)*
have $(sinit\ i\ ys,\ sinit\ i\ xs) \in lexord\ R \vee (sinit\ i\ ys = sinit\ i\ xs \wedge (ssubstr\ i\ j\ ys,\ ssubstr\ i\ j\ xs) \in lexord\ R)$

by (auto dest: lexord-append)
 with assms lexord-asymmetric show False
 by (force)
 qed

lemma *sinit-linear-asym-lemma2*:
 assumes $asym\ R\ (sinit\ i\ xs,\ sinit\ i\ ys) \in lexord\ R\ (sinit\ j\ ys,\ sinit\ j\ xs) \in lexord\ R$
 shows False
proof (cases $i\ j$ rule: linorder-cases)
 case less with assms show ?thesis
 by (auto dest: sinit-linear-asym-lemma1)
 next
 case equal with assms show ?thesis
 by (simp add: lexord-asymmetric)
 next
 case greater with assms show ?thesis
 by (auto dest: sinit-linear-asym-lemma1)
 qed

lemma *range-ext*:
 assumes $\forall i :: nat. \forall x \in \{0..<i\}. f(x) = g(x)$
 shows $f = g$
proof (rule ext)
 fix $x :: nat$
 obtain $i :: nat$ where $i > x$
 by (metis lessI)
 with assms show $f(x) = g(x)$
 by (auto)
 qed

lemma *sinit-ext*:
 $(\forall i. sinit\ i\ xs = sinit\ i\ ys) \implies xs = ys$
 by (simp add: ssubstr-def, transfer, auto intro: range-ext)

definition *infseq-lexord* :: $'a\ rel \Rightarrow ('a\ infseq)\ rel$ **where**
 $infseq-lexord\ R = \{(xs,\ ys). (\exists i. (sinit\ i\ xs,\ sinit\ i\ ys) \in lexord\ R)\}$

lemma *infseq-lexord-irreflexive*:
 $\forall x. (x,\ x) \notin R \implies (xs,\ xs) \notin infseq-lexord\ R$
 by (auto dest: lexord-irreflexive simp add: irrefl-def infseq-lexord-def)

lemma *infseq-lexord-irrefl*:
 $irrefl\ R \implies irrefl\ (infseq-lexord\ R)$
 by (simp add: irrefl-def infseq-lexord-irreflexive)

lemma *infseq-lexord-transitive*:
 assumes $trans\ R$
 shows $trans\ (infseq-lexord\ R)$

unfolding *infseq-lexord-def*
proof (*rule transI, clarify*)
fix *xs ys zs :: 'a infseq and m n :: nat*
assume *las: (sinit m xs, sinit m ys) ∈ lexord R (sinit n ys, sinit n zs) ∈ lexord R*
hence *inz: m > 0*
using *gr0I* **by force**
from *las(1)* **obtain** *i* **where** *sinitm: (sinit m xs!i, sinit m ys!i) ∈ R i < m* \forall
j < i. sinit m xs!j = sinit m ys!j
using *lexord-eq-length* **by force**
from *las(2)* **obtain** *j* **where** *sinitn: (sinit n ys!j, sinit n zs!j) ∈ R j < n* \forall *k < j.*
sinit n ys!k = sinit n zs!k
using *lexord-eq-length* **by force**
show $\exists i. (sinit i xs, sinit i zs) \in \text{lexord } R$
proof (*cases i ≤ j*)
case *True* **note** *lt = this*
with *sinitm sinitn* **have** $(sinit n xs!i, sinit n zs!i) \in R$
by (*metis assms le-eq-less-or-eq le-less-trans nth-sinit transD*)
moreover from *lt sinitm sinitn* **have** $\forall j < i. sinit m xs!j = sinit m zs!j$
by (*metis less-le-trans less-trans nth-sinit*)
ultimately have $(sinit n xs, sinit n zs) \in \text{lexord } R$
by (*metis assms las(1,2) lexord-append lexord-sufI lexord-trans linorder-le-cases*
linorder-less-linear sinit-append-split sinit-len)
thus *?thesis* **by auto**
next
case *False*
then have *ge: i > j* **by auto**
with *assms sinitm sinitn* **have** $(sinit n xs!j, sinit n zs!j) \in R$
by (*metis less-trans nth-sinit*)
moreover from *ge sinitm sinitn* **have** $\forall k < j. sinit m xs!k = sinit m zs!k$
by (*metis dual-order.strict-trans nth-sinit*)
moreover hence $\forall k < j. sinit n xs ! k = sinit n zs ! k$
by (*metis dual-order.strict-trans ge nth-sinit sinitm(2) sinitn(2)*)
ultimately have $(sinit n xs, sinit n zs) \in \text{lexord } R$
by (*metis lexord-intro-elems sinit-len sinitn(2)*)
thus *?thesis* **by auto**
qed
qed

lemma *infseq-lexord-trans*:
 $\llbracket (xs, ys) \in \text{infseq-lexord } R; (ys, zs) \in \text{infseq-lexord } R; \text{trans } R \rrbracket \implies (xs, zs) \in \text{infseq-lexord } R$
by (*meson infseq-lexord-transitive transE*)

lemma *infseq-lexord-antisym*:
 $\llbracket \text{asym } R; (a, b) \in \text{infseq-lexord } R \rrbracket \implies (b, a) \notin \text{infseq-lexord } R$
by (*auto dest: sinit-linear-asym-lemma2 simp add: infseq-lexord-def*)

lemma *infseq-lexord-asym*:
assumes *asym R*

```

shows asym (infseq-lexord R)
by (simp add: assms asym-onI infseq-lexord-antisym)

lemma infseq-lexord-total:
assumes total R
shows total (infseq-lexord R)
using assms by (simp add: total-on-def infseq-lexord-def, meson lexord-linear
sinit-ext)

lemma infseq-lexord-strict-linear-order:
assumes strict-linear-order R
shows strict-linear-order (infseq-lexord R)
using assms
by (auto simp add: strict-linear-order-on-def partial-order-on-def preorder-on-def
intro: infseq-lexord-transitive infseq-lexord-irrefl infseq-lexord-total)

lemma infseq-lexord-linear:
assumes  $(\forall a b. (a,b) \in R \vee a = b \vee (b,a) \in R)$ 
shows  $(x,y) \in \text{infseq-lexord } R \vee x = y \vee (y,x) \in \text{infseq-lexord } R$ 
proof –
have total R
using assms total-on-def by blast
hence total (infseq-lexord R)
using infseq-lexord-total by blast
thus ?thesis
by (auto simp add: total-on-def)
qed

instantiation infseq :: (ord) ord
begin

definition less-infseq :: 'a infseq  $\Rightarrow$  'a infseq  $\Rightarrow$  bool where
less-infseq xs ys  $\longleftrightarrow (xs, ys) \in \text{infseq-lexord } \{(xs, ys). xs < ys\}$ 

definition less-eq-infseq :: 'a infseq  $\Rightarrow$  'a infseq  $\Rightarrow$  bool where
less-eq-infseq xs ys  $= (xs = ys \vee xs < ys)$ 

instance ..

end

instance infseq :: (order) order
proof
fix xs :: 'a infseq
show  $xs \leq xs$  by (simp add: less-eq-infseq-def)
next
fix xs ys zs :: 'a infseq
assume  $xs \leq ys$  and  $ys \leq zs$ 
then show  $xs \leq zs$ 

```

```

    by (force dest: infseq-lexord-trans simp add: less-eq-infseq-def less-infseq-def
trans-def)
next
  fix xs ys :: 'a infseq
  assume xs ≤ ys and ys ≤ xs
  then show xs = ys
    apply (simp-all add: less-eq-infseq-def less-infseq-def)
    apply safe
    apply (metis asym-onI case-prodD infseq-lexord-antisym mem-Collect-eq or-
der-less-asym)
  done
next
  fix xs ys :: 'a infseq
  show xs < ys ⟷ xs ≤ ys ∧ ¬ ys ≤ xs
    apply (simp-all add: less-infseq-def less-eq-infseq-def)
    apply safe
    apply (simp-all add: infseq-lexord-irreflexive)
    apply (metis asym-onI case-prodD infseq-lexord-antisym mem-Collect-eq or-
der-less-imp-not-less)
  done
qed

instance infseq :: (linorder) linorder
proof
  fix xs ys :: 'a infseq
  have (xs, ys) ∈ infseq-lexord {(u, v). u < v} ∨ xs = ys ∨ (ys, xs) ∈ infseq-lexord
  {(u, v). u < v}
    by (rule infseq-lexord-linear) auto
  then show xs ≤ ys ∨ ys ≤ xs
    by (auto simp add: less-eq-infseq-def less-infseq-def)
qed

lemma infseq-lexord-mono [mono]:
  (∧ x y. f x y ⟶ g x y) ⟹ (xs, ys) ∈ infseq-lexord {(x, y). f x y} ⟶ (xs, ys)
  ∈ infseq-lexord {(x, y). g x y}
  apply (simp add: infseq-lexord-def)
  apply (metis case-prodD case-prodI lexord-take-index-conv mem-Collect-eq)
  done

fun insort-rel :: 'a rel ⟹ 'a ⟹ 'a list ⟹ 'a list where
insort-rel R x [] = [x] |
insort-rel R x (y # ys) = (if (x = y ∨ (x, y) ∈ R) then x # y # ys else y #
insort-rel R x ys)

inductive sorted-rel :: 'a rel ⟹ 'a list ⟹ bool where
Nil-rel [iff]: sorted-rel R [] |
Cons-rel: ∀ y ∈ set xs. (x = y ∨ (x, y) ∈ R) ⟹ sorted-rel R xs ⟹ sorted-rel R
(x # xs)

```

definition *list-of-set* :: 'a rel \Rightarrow 'a set \Rightarrow 'a list **where**
list-of-set R = *folding-on.F* (*insort-rel* R) []

lift-definition *infseq-inj* :: 'a infseq infseq \Rightarrow 'a infseq **is**
 $\lambda f i. f (fst (prod-decode i)) (snd (prod-decode i))$.

lift-definition *infseq-proj* :: 'a infseq \Rightarrow 'a infseq infseq **is**
 $\lambda f i j. f (prod-encode (i, j))$.

lemma *infseq-inj-inverse*: *infseq-proj* (*infseq-inj* x) = x
by (*transfer*, *simp*)

lemma *infseq-proj-inverse*: *infseq-inj* (*infseq-proj* x) = x
by (*transfer*, *simp*)

lemma *infseq-inj*: *inj infseq-inj*
by (*metis injI infseq-inj-inverse*)

lemma *infseq-inj-surj*: *bij infseq-inj*
apply (*rule bijI*)
apply (*simp add: infseq-inj, safe, simp-all*)
apply (*metis rangeI infseq-proj-inverse*)
done

end

4 Countable Sets: Extra functions and properties

theory *Countable-Set-Extra*
imports
HOL-Library.Countable-Set-Type
Infinite-Sequence

begin

4.1 Extra syntax

notation *cempty* ($\{\}_c$)
notation *cin* (**infix** \in_c 50)
notation *cUn* (**infixl** \cup_c 65)
notation *cInt* (**infixl** \cap_c 70)
notation *cDiff* (**infixl** $-_c$ 65)
notation *cUnion* (\bigcup_c - [900] 900)
notation *cimage* (**infixr** $'_c$ 90)

abbreviation *csubteq* :: 'a cset \Rightarrow 'a cset \Rightarrow bool ((-/ \subseteq_c -) [51, 51] 50)
where $A \subseteq_c B \equiv A \leq B$

abbreviation *csubset* :: 'a cset \Rightarrow 'a cset \Rightarrow bool ((-/ \subset_c -) [51, 51] 50)

where $A \subset_c B \equiv A < B$

4.2 Countable set functions

setup-lifting *type-definition-cset*

lift-definition *cnin* :: 'a \Rightarrow 'a cset \Rightarrow bool (infix \notin_c 50) is (\notin) .

definition *cBall* :: 'a cset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool **where**
cBall A P = ($\forall x. x \in_c A \longrightarrow P x$)

definition *cBex* :: 'a cset \Rightarrow ('a \Rightarrow bool) \Rightarrow bool **where**
cBex A P = ($\exists x. x \in_c A \longrightarrow P x$)

declare *cBall-def* [*mono,simp*]

declare *cBex-def* [*mono,simp*]

syntax

-*cBall* :: *pttrn* \Rightarrow 'a cset \Rightarrow bool \Rightarrow bool (($\exists \forall$ \notin_c ./ -) [0, 0, 10] 10)
 -*cBex* :: *pttrn* \Rightarrow 'a cset \Rightarrow bool \Rightarrow bool (($\exists \exists$ \notin_c ./ -) [0, 0, 10] 10)

translations

$\forall x \in_c A. P == \text{CONST } cBall A (\%x. P)$
 $\exists x \in_c A. P == \text{CONST } cBex A (\%x. P)$

definition *cset-Collect* :: ('a \Rightarrow bool) \Rightarrow 'a cset **where**
cset-Collect = (*acset o Collect*)

lift-definition *cset-Coll* :: 'a cset \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a cset **is** $\lambda A P. \{x \in A. P x\}$
by (*auto*)

lemma *cset-Coll-equiv*: *cset-Coll* A P = *cset-Collect* ($\lambda x. x \in_c A \wedge P x$)
by (*simp add:cset-Collect-def cset-Coll-def cin-def*)

declare *cset-Collect-def* [*simp*]

syntax

-*cColl* :: *pttrn* \Rightarrow bool \Rightarrow 'a cset ((1{./ -}_c))

translations

$\{x . P\}_c \equiv (\text{CONST } cset-Collect) (\lambda x . P)$

syntax (*xsymbols*)

-*cCollect* :: *pttrn* \Rightarrow 'a cset \Rightarrow bool \Rightarrow 'a cset ((1{- \in_c / ./ -}_c))

translations

$\{x \in_c A. P\}_c \Rightarrow \text{CONST } cset-Coll A (\lambda x. P)$

lemma *cset-CollectI*: $P (a :: 'a::countable) \Longrightarrow a \in_c \{x. P x\}_c$

by (*simp add: cin-def*)

lemma *cset-Coll*: $\llbracket a \in_c A; P a \rrbracket \implies a \in_c \{x \in_c A. P x\}_c$
 by (*simp add: cin.rep-eq cset-Coll.rep-eq*)

lemma *cset-CollectD*: $(a :: 'a::countable) \in_c \{x. P x\}_c \implies P a$
 by (*simp add: cin-def*)

lemma *cset-Collect-cong*: $(\bigwedge x. P x = Q x) \implies \{x. P x\}_c = \{x. Q x\}_c$
 by *simp*

lift-definition *cset-set* :: $'a \text{ list} \Rightarrow 'a \text{ cset}$ **is set**
 using *countable-finite* by *blast*

lemma *countable-finite-power*:
 $countable(A) \implies countable \{B. B \subseteq A \wedge finite(B)\}$
 by (*metis Collect-conj-eq Int-commute countable-Collect-finite-subset*)

lift-definition *cInter* :: $'a \text{ cset} \text{ cset} \Rightarrow 'a \text{ cset}$ (\bigcap_c -[900] 900)
 is $\lambda A. \text{if } A = \{\} \text{ then } \{\} \text{ else } \bigcap A$
 using *countable-INT [of - - id]* by *auto*

abbreviation (*input*) *cINTER* :: $'a \text{ cset} \Rightarrow ('a \Rightarrow 'b \text{ cset}) \Rightarrow 'b \text{ cset}$
 where *cINTER* $A f \equiv cInter (cimage f A)$

lift-definition *cfinite* :: $'a \text{ cset} \Rightarrow bool$ **is finite** .
lift-definition *cInfinite* :: $'a \text{ cset} \Rightarrow bool$ **is infinite** .
lift-definition *clist* :: $'a::linorder \text{ cset} \Rightarrow 'a \text{ list}$ **is sorted-list-of-set** .
lift-definition *ccard* :: $'a \text{ cset} \Rightarrow nat$ **is card** .
lift-definition *cPow* :: $'a \text{ cset} \Rightarrow 'a \text{ cset} \text{ cset}$ **is** $\lambda A. \{B. B \subseteq_c A \wedge cfinite(B)\}$

proof –
 fix A
 have $\{B :: 'a \text{ cset}. B \subseteq_c A \wedge cfinite B\} = acset \text{ ' } \{B :: 'a \text{ set}. B \subseteq rcset A \wedge finite B\}$
proof –
 have $\bigwedge x. rcset x \subseteq rcset A \implies finite (rcset x) \implies x \in acset \text{ ' } \{B. B \subseteq rcset A \wedge finite B\}$
 using *image-iff* by *fastforce*
 thus *?thesis* by (*auto simp add: cfinite.rep-eq cin-def less-eq-cset-def countable-finite*)
qed
 moreover have $countable \{B :: 'a \text{ set}. B \subseteq rcset A \wedge finite B\}$
 by (*auto intro: countable-finite-power*)

ultimately show $countable \{B. B \subseteq_c A \wedge cfinite B\}$
 by *simp*

qed

definition *CCollect* :: $('a \Rightarrow bool \text{ option}) \Rightarrow 'a \text{ cset} \text{ option}$ **where**

$CCollect\ p = (if\ (None\ \notin\ range\ p)\ then\ Some\ (cset\ Collect\ (the\ \circ\ p))\ else\ None)$

definition $cset\ mapM :: 'a\ option\ cset \Rightarrow 'a\ cset\ option$ **where**
 $cset\ mapM\ A = (if\ (None\ \in_c\ A)\ then\ None\ else\ Some\ (the\ 'c\ A))$

lemma $cset\ mapM\ Some\ image$ [simp]:
 $cset\ mapM\ (cimage\ Some\ A) = Some\ A$
unfolding $cset\ mapM\ def$
by (metis $cimageE\ comp\ the\ Some\ cset.\ map\ comp\ cset.\ map\ id\ option._simps(3)$)

definition $CCollect\ ext :: ('a \Rightarrow 'b\ option) \Rightarrow ('a \Rightarrow bool\ option) \Rightarrow 'b\ cset\ option$
where
 $CCollect\ ext\ f\ p = do\ \{ xs \leftarrow CCollect\ p; cset\ mapM\ (f\ 'c\ xs)\ \}$

lemma $the\ Some\ image$ [simp]:
 $the\ 'c\ Some\ 'c\ xs = xs$
by (auto simp add: $image\ iff$)

lemma $CCollect\ ext\ Some$ [simp]:
 $CCollect\ ext\ Some\ xs = CCollect\ xs$
by (cases $CCollect\ xs$, auto simp add: $CCollect\ ext\ def$)

lift-definition $list\ of\ cset :: 'a :: linorder\ cset \Rightarrow 'a\ list\ is\ sorted\ list\ of\ set$.

definition $cset\ count :: 'a\ cset \Rightarrow 'a \Rightarrow nat$ **where**
 $cset\ count\ A =$
 $(if\ (finite\ (rcset\ A))$
 $\ then\ (SOME\ f :: 'a \Rightarrow nat.\ inj\ on\ f\ (rcset\ A))$
 $\ else\ (SOME\ f :: 'a \Rightarrow nat.\ bij\ betw\ f\ (rcset\ A)\ UNIV))$

lemma $cset\ count\ inj\ seq$:
 $inj\ on\ (cset\ count\ A)\ (rcset\ A)$
proof (cases $finite\ (rcset\ A)$)
case $True$ **note** $fin = this$
obtain $count :: 'a \Rightarrow nat$ **where** $count\ inj: inj\ on\ count\ (rcset\ A)$
by (metis $countable\ def\ mem\ Collect\ eq\ rcset$)
with fin **show** $?thesis$
by (metis (poly-guards-query) $cset\ count\ def\ someI\ ex$)
next
case $False$ **note** $inf = this$
obtain $count :: 'a \Rightarrow nat$ **where** $count\ bij: bij\ betw\ count\ (rcset\ A)\ UNIV$
by (metis $countableE\ infinite\ inf\ mem\ Collect\ eq\ rcset$)
with inf **have** $bij\ betw\ (cset\ count\ A)\ (rcset\ A)\ UNIV$
by (metis (poly-guards-query) $cset\ count\ def\ someI\ ex$)
thus $?thesis$
by (metis $bij\ betw\ imp\ inj\ on$)
qed

lemma $cset\ count\ infinite\ bij$:

assumes *infinite* (*rcset A*)
shows *bij-betw* (*cset-count A*) (*rcset A*) *UNIV*
proof –
from *assms* **obtain** *count* :: '*a* ⇒ *nat* **where** *count-bij*: *bij-betw count* (*rcset A*)
UNIV
by (*metis countableE-infinite mem-Collect-eq rcset*)
with *assms* **show** *?thesis*
by (*metis (poly-guards-query) cset-count-def someI-ex*)
qed

definition *cset-seq* :: '*a cset* ⇒ (*nat* → '*a*) **where**
cset-seq A i = (*if* (*i* ∈ *range* (*cset-count A*) ∧ *inv-into* (*rcset A*) (*cset-count A*) *i*
∈_{*c*} *A*)
then Some (*inv-into* (*rcset A*) (*cset-count A*) *i*)
else None)

lemma *cset-seq-ran*: *ran* (*cset-seq A*) = *rcset*(*A*)
apply (*simp add: ran-def cset-seq-def cin.rep-eq*)
apply *safe*
apply (*metis cset-count-inj-seq inv-into-f-f rangeI*)
done

lemma *cset-seq-inj*: *inj cset-seq*
proof (*rule injI*)
fix *A B* :: '*a cset*
assume *cset-seq A = cset-seq B*
thus *A = B*
by (*metis cset-seq-ran rcset-inverse*)
qed

lift-definition *cset2infseq* :: '*a cset* ⇒ '*a infseq*
is ($\lambda A i.$ *if* (*i* ∈ *cset-count A* ' *rcset A*) *then inv-into* (*rcset A*) (*cset-count A*) *i*
else (*SOME x. x* ∈_{*c*} *A*)) .

lemma *range-cset2infseq*:
 $A \neq \{\}_c \implies \text{range } (\text{Rep-infseq } (\text{cset2infseq } A)) = \text{rcset } A$
by (*force intro: someI2 simp add: cset2infseq.rep-eq cset-count-inj-seq bot-cset.rep-eq cin.rep-eq*)

lemma *infinite-cset-count-surj*: *infinite* (*rcset A*) ⇒ *surj* (*cset-count A*)
using *bij-betw-imp-surj cset-count-infinite-bij* **by** *auto*

lemma *cset2infseq-inj*:
inj-on cset2infseq {*A. A* ≠ $\{\}_c$ }
apply (*rule inj-onI*)
apply (*simp*)
apply (*metis range-cset2infseq rcset-inject*)
done

lift-definition *nat-infseq2set* :: *nat infseq* \Rightarrow *nat set* **is**
 $\lambda f. \text{prod-encode } \{(x, f x) \mid x. \text{True}\} .$

lemma *inj-nat-infseq2set*: *inj nat-infseq2set*

proof (*rule injI, transfer*)

fix *f g*

assume *prod-encode* $\{(x, f x) \mid x. \text{True}\} = \text{prod-encode } \{(x, g x) \mid x. \text{True}\}$

hence $\{(x, f x) \mid x. \text{True}\} = \{(x, g x) \mid x. \text{True}\}$

by (*simp add: inj-image-eq-iff[OF inj-prod-encode]*)

thus $f = g$

by (*auto simp add: set-eq-iff*)

qed

lift-definition *bit-infseq-of-nat-set* :: *nat set* \Rightarrow *bool infseq*
is $\lambda A i. i \in A .$

lemma *bit-infseq-of-nat-set-inj*: *inj bit-infseq-of-nat-set*

apply (*rule injI*)

apply *transfer*

apply (*auto simp add: fun-eq-iff*)

done

lemma *bit-infseq-of-nat-cset-bij*: *bij bit-infseq-of-nat-set*

apply (*rule bijI*)

apply (*fact bit-infseq-of-nat-set-inj*)

apply *transfer*

apply (*rule surjI*)

apply *auto*

done

This function is a partial injection from countable sets of natural sets to natural sets. When used with the Schroeder-Bernstein theorem, it can be used to conjure a total bijection between these two types.

definition *nat-set-cset-collapse* :: *nat set cset* \Rightarrow *nat set* **where**

nat-set-cset-collapse = *inv bit-infseq-of-nat-set* \circ *infseq-inj* \circ *cset2infseq* \circ ($\lambda A. (\text{bit-infseq-of-nat-set } \text{'}_c A)$)

lemma *nat-set-cset-collapse-inj*: *inj-on nat-set-cset-collapse* $\{A. A \neq \{\}_c\}$

proof –

have ('_c) *bit-infseq-of-nat-set* $\{A. A \neq \{\}_c\} \subseteq \{A. A \neq \{\}_c\}$

by (*auto simp add: cimage.rep-eq*)

thus *?thesis*

apply (*simp add: nat-set-cset-collapse-def*)

apply (*rule comp-inj-on*)

apply (*meson bit-infseq-of-nat-set-inj cset.inj-map injD inj-onI*)

apply (*rule comp-inj-on*)

apply (*metis cset2infseq-inj subset-inj-on*)

apply (*rule comp-inj-on*)

apply (*rule subset-inj-on*)

```

    apply (rule infseq-inj)
    apply (simp)
    apply (meson UNIV-I bij-imp-bij-inv bij-is-inj bit-infseq-of-nat-cset-bij subsetI
subset-inj-on)
  done
qed

```

```

lemma inj-csingle:
  inj csingle
  by (auto intro: injI simp add: cinsert-def bot-cset.rep-eq)

```

```

lemma range-csingle:
  range csingle  $\subseteq$   $\{A. A \neq \{\}_c\}$ 
  by (auto)

```

```

lift-definition csets :: 'a set  $\Rightarrow$  'a cset set is
 $\lambda A. \{B. B \subseteq A \wedge \text{countable } B\}$  by auto

```

```

lemma csets-finite: finite A  $\Longrightarrow$  finite (csets A)
  by (auto simp add: csets-def)

```

```

lemma csets-infinite: infinite A  $\Longrightarrow$  infinite (csets A)
  by (simp add: csets-def, metis csets.abs-eq csets.rep-eq finite-countable-subset
finite-imageI)

```

```

lemma csets-UNIV:
  csets (UNIV :: 'a set) = (UNIV :: 'a cset set)
  by (simp add: csets-def, metis UNIV-eq-I acset-cases image-iff)

```

```

lemma infinite-nempty-cset:
  assumes infinite (UNIV :: 'a set)
  shows infinite ( $\{A. A \neq \{\}_c\}$  :: 'a cset set)
proof -
  have infinite (UNIV :: 'a cset set)
    by (metis assms csets-UNIV csets-infinite)
  hence infinite ((UNIV :: 'a cset set) -  $\{\{\}_c\}$ )
    by (rule infinite-remove)
  thus ?thesis
    by (auto)
qed

```

```

lemma nat-set-cset-partial-bij:
  obtains  $f :: \text{nat set cset} \Rightarrow \text{nat set}$  where  $\text{bij-betw } f \{A. A \neq \{\}_c\}$  UNIV
  using Schroeder-Bernstein[OF nat-set-cset-collapse-inj, of UNIV csingle, simpli-
fied, OF inj-csingle range-csingle]
  by (auto)

```

```

lemma nat-set-cset-bij:
  obtains  $f :: \text{nat set cset} \Rightarrow \text{nat set}$  where  $\text{bij } f$ 

```

```

proof –
  obtain  $g :: \text{nat set cset} \Rightarrow \text{nat set}$  where  $\text{bij-betw } g \{A. A \neq \{\}_c\} \text{ UNIV}$ 
  using nat-set-cset-partial-bij by blast
  moreover obtain  $h :: \text{nat set cset} \Rightarrow \text{nat set cset}$  where  $\text{bij-betw } h \text{ UNIV } \{A. A \neq \{\}_c\}$ 
  proof –
    have infinite ( $\text{UNIV} :: \text{nat set cset set}$ )
    by (metis Finite-Set.finite-set csets-UNIV csets-infinite infinite-UNIV-char-0)
    then obtain  $h' :: \text{nat set cset} \Rightarrow \text{nat set cset}$  where  $\text{bij-betw } h' \text{ UNIV } (\text{UNIV} - \{\{\}_c\})$ 
    using infinite-imp-bij-betw[of  $\text{UNIV} :: \text{nat set cset set } \{\}_c$ ] by auto
    moreover have ( $\text{UNIV} :: \text{nat set cset set}$ )  $-\ \{\{\}_c\} = \{A. A \neq \{\}_c\}$ 
    by (auto)
    ultimately show ?thesis
    using that by (auto)
  qed
  ultimately have  $\text{bij } (g \circ h)$ 
  using bij-betw-trans by blast
  with that show ?thesis
  by (auto)
qed

```

definition $\text{nat-set-cset-bij} = (\text{SOME } f :: \text{nat set cset} \Rightarrow \text{nat set. bij } f)$

lemma *bij-nat-set-cset-bij*:
 $\text{bij nat-set-cset-bij}$
by (*metis nat-set-cset-bij nat-set-cset-bij-def someI-ex*)

lemma *inj-on-image-csets*:
 $\text{inj-on } f \ A \Longrightarrow \text{inj-on } ((\cdot_c) \ f) \ (\text{csets } A)$
by (*fastforce simp add: inj-on-def cimage-def cin-def csets-def*)

lemma *image-csets-surj*:
 $\llbracket \text{inj-on } f \ A; \ f \ ' \ A = B \rrbracket \Longrightarrow (\cdot_c) \ f \ ' \ \text{csets } A = \text{csets } B$
apply (*simp add: cimage-def csets-def image-mono map-fun-def image-comp image-Collect*)
apply *safe*
apply *auto[1]*
apply (*metis acset-inverse countable-subset-image mem-Collect-eq*)
done

lemma *bij-betw-image-csets*:
 $\text{bij-betw } f \ A \ B \Longrightarrow \text{bij-betw } ((\cdot_c) \ f) \ (\text{csets } A) \ (\text{csets } B)$
by (*simp add: bij-betw-def inj-on-image-csets image-csets-surj*)
end

5 Infinity Supplement

theory *Infinity*

```

imports HOL.Real
         HOL-Library.Infinite-Set
         Optics.Two
begin

```

This theory introduces a type class *infinite* that guarantees that the underlying universe of the type is infinite. It also provides useful theorems to prove infinity of the universes for various HOL types.

5.1 Type class *infinite*

The type class postulates that the universe (carrier) of a type is infinite.

```

class infinite =
  assumes infinite-UNIV [simp]: infinite (UNIV :: 'a set)

```

5.2 Infinity Theorems

Useful theorems to prove that a type's *UNIV* is infinite.

Note that *infinite-UNIV-nat* is already a simplification rule by default.

```

lemmas infinite-UNIV-int [simp]

```

```

theorem infinite-UNIV-real [simp]:
infinite (UNIV :: real set)
  by (rule infinite-UNIV-char-0)

```

```

theorem infinite-UNIV-fun1 [simp]:
infinite (UNIV :: 'a set)  $\implies$ 
  card (UNIV :: 'b set)  $\neq$  Suc 0  $\implies$ 
infinite (UNIV :: ('a  $\Rightarrow$  'b) set)
  apply (erule contrapos-nn)
  apply (erule finite-fun-UNIVD1)
  apply (assumption)
  done

```

```

theorem infinite-UNIV-fun2 [simp]:
infinite (UNIV :: 'b set)  $\implies$ 
infinite (UNIV :: ('a  $\Rightarrow$  'b) set)
  apply (erule contrapos-nn)
  apply (erule finite-fun-UNIVD2)
  done

```

```

theorem infinite-UNIV-set [simp]:
infinite (UNIV :: 'a set)  $\implies$ 
infinite (UNIV :: 'a set set)
  apply (erule contrapos-nn)
  apply (simp add: Finite-Set.finite-set)
  done

```

theorem *infinite-UNIV-prod1* [*simp*]:
infinite (UNIV :: 'a set) \implies
infinite (UNIV :: ('a \times 'b) set)
apply (erule contrapos-*nn*)
apply (simp add: *finite-prod*)
done

theorem *infinite-UNIV-prod2* [*simp*]:
infinite (UNIV :: 'b set) \implies
infinite (UNIV :: ('a \times 'b) set)
apply (erule contrapos-*nn*)
apply (simp add: *finite-prod*)
done

theorem *infinite-UNIV-sum1* [*simp*]:
infinite (UNIV :: 'a set) \implies
infinite (UNIV :: ('a + 'b) set)
apply (erule contrapos-*nn*)
apply (simp)
done

theorem *infinite-UNIV-sum2* [*simp*]:
infinite (UNIV :: 'b set) \implies
infinite (UNIV :: ('a + 'b) set)
apply (erule contrapos-*nn*)
apply (simp)
done

theorem *infinite-UNIV-list* [*simp*]:
infinite (UNIV :: 'a list set)
apply (rule *infinite-UNIV-listI*)
done

theorem *infinite-UNIV-option* [*simp*]:
infinite (UNIV :: 'a set) \implies
infinite (UNIV :: 'a option set)
apply (erule contrapos-*nn*)
apply (simp)
done

theorem *infinite-image* [*intro*]:
infinite A \implies *inj-on* f A \implies *infinite* (f ' A)
apply (metis *finite-imageD*)
done

theorem *infinite-transfer* :
infinite B \implies B \subseteq f ' A \implies *infinite* A
using *infinite-super*

```

apply (blast)
done

```

5.3 Instantiations

The instantiations for product and sum types have stronger caveats than in principle needed. Namely, it would be sufficient for one type of a product or sum to be infinite. A corresponding rule, however, cannot be formulated using type classes. Generally, classes are not entirely adequate for the purpose of deriving the infinity of HOL types, which is perhaps why a class such as *infinite* was omitted from the Isabelle/HOL library.

```

instance nat :: infinite by (intro-classes, simp)
instance int :: infinite by (intro-classes, simp)
instance real :: infinite by (intro-classes, simp)
instance fun :: (type, infinite) infinite by (intro-classes, simp)
instance set :: (infinite, infinite) infinite by (intro-classes, simp)
instance prod :: (infinite, infinite) infinite by (intro-classes, simp)
instance sum :: (infinite, infinite) infinite by (intro-classes, simp)
instance list :: (type) infinite by (intro-classes, simp)
instance option :: (infinite) infinite by (intro-classes, simp)

```

```

subclass (in infinite) two by (intro-classes, auto)

```

```

end

```

6 Positive Subtypes

```

theory Positive
imports
  Infinity
  HOL-Library.Countable
begin

```

6.1 Type Definition

```

typedef (overloaded) 'a::{zero, linorder} pos = {x::'a. x ≥ 0}
by blast

```

```

syntax
  -type-pos :: type ⇒ type (-+ [999] 999)

```

```

translations
  (type) 'a+ == (type) 'a pos

```

```

setup-lifting type-definition-pos

```

```

type-synonym preal = real pos

```

6.2 Operators

lift-definition *mk-pos* :: 'a::{zero, linorder} \Rightarrow 'a pos **is**
 $\lambda n.$ if ($n \geq 0$) then n else 0 **by** auto

lift-definition *real-of-pos* :: real pos \Rightarrow real **is** id .

declare [[*coercion real-of-pos*]]

6.3 Instantiations

instantiation *pos* :: ({zero, linorder}) zero

begin

lift-definition *zero-pos* :: 'a pos

is 0 :: 'a ..

instance ..

end

instantiation *pos* :: ({zero, linorder}) linorder

begin

lift-definition *less-eq-pos* :: 'a pos \Rightarrow 'a pos \Rightarrow bool

is (\leq) :: 'a \Rightarrow 'a \Rightarrow bool .

lift-definition *less-pos* :: 'a pos \Rightarrow 'a pos \Rightarrow bool

is ($<$) :: 'a \Rightarrow 'a \Rightarrow bool .

instance

apply (*intro-classes*; *transfer*)

apply (*auto*)

done

end

instance *pos* :: ({zero, linorder, no-top}) no-top

apply (*intro-classes*)

apply (*transfer*)

apply (*clarsimp*)

apply (*meson gt-ex less-imp-le order.strict-trans1*)

done

instance *pos* :: ({zero, linorder, no-top}) infinite

apply (*intro-classes*)

apply (*rule notI*)

apply (*subgoal-tac* $\forall x::'a$ pos. $x \leq \text{Max UNIV}$)

using *gt-ex leD* **apply** (*blast*)

apply (*simp*)

done

instantiation *pos* :: (linordered-semidom) linordered-semidom

begin

lift-definition *one-pos* :: 'a pos

is 1 :: 'a **by** (*simp*)

lift-definition *plus-pos* :: 'a pos \Rightarrow 'a pos \Rightarrow 'a pos

```

is (+) by (simp)
lift-definition minus-pos :: 'a pos  $\Rightarrow$  'a pos  $\Rightarrow$  'a pos
is  $\lambda x y. \text{if } y \leq x \text{ then } x - y \text{ else } 0$ 
by (simp add: add-le-imp-le-diff)
lift-definition times-pos :: 'a pos  $\Rightarrow$  'a pos  $\Rightarrow$  'a pos
is times by (simp)
instance
  apply (intro-classes; transfer; simp?)
    apply (simp add: add.assoc)
    apply (simp add: add.commute)
    apply (safe; clarsimp?) [1]
    apply (simp add: diff-diff-add)
    apply (metis add-le-cancel-left le-add-diff-inverse)
    apply (simp add: add.commute add-le-imp-le-diff)
    apply (metis add-increasing2 antisym linear)
    apply (simp add: mult.assoc)
    apply (simp add: mult.commute)
    apply (simp add: comm-semiring-class.distrib)
    apply (simp add: mult-strict-left-mono)
    apply (safe; clarsimp?) [1]
    apply (simp add: right-diff-distrib)
    apply (simp add: mult-left-mono)
  using mult-left-le-imp-le apply (fastforce)
  apply (simp add: distrib-left)
done
end

instantiation pos :: (linordered-field) semidom-divide
begin
  lift-definition divide-pos :: 'a pos  $\Rightarrow$  'a pos  $\Rightarrow$  'a pos
  is divide by (simp)
  instance
    apply (intro-classes; transfer)
    apply (simp-all)
  done
end

instantiation pos :: (linordered-field) inverse
begin
  lift-definition inverse-pos :: 'a pos  $\Rightarrow$  'a pos
  is inverse by (simp)
  instance ..
end

lemma pos-positive [simp]:  $0 \leq (x::'a::\{\text{zero}, \text{linorder}\} \text{ pos})$ 
  by (transfer, simp)

```

6.4 Theorems

lemma *mk-pos-zero* [*simp*]: $mk\text{-pos } 0 = 0$
by (*transfer, simp*)

lemma *mk-pos-one* [*simp*]: $mk\text{-pos } 1 = 1$
by (*transfer, simp*)

lemma *mk-pos-leq*:
 $\llbracket 0 \leq x; x \leq y \rrbracket \implies mk\text{-pos } x \leq mk\text{-pos } y$
by (*transfer, auto*)

lemma *mk-pos-less*:
 $\llbracket 0 \leq x; x < y \rrbracket \implies mk\text{-pos } x < mk\text{-pos } y$
by (*transfer, auto*)

lemma *real-of-pos* [*simp*]: $x \geq 0 \implies real\text{-of-pos } (mk\text{-pos } x) = x$
by (*transfer, simp*)

lemma *mk-pos-real-of-pos* [*simp*]: $mk\text{-pos } (real\text{-of-pos } x) = x$
by (*transfer, simp*)

6.5 Transfer to Reals

named-theorems *pos-transfer*

lemma *real-of-pos-0* [*pos-transfer*]:
 $real\text{-of-pos } 0 = 0$
by (*transfer, auto*)

lemma *real-of-pos-1* [*pos-transfer*]:
 $real\text{-of-pos } 1 = 1$
by (*transfer, auto*)

lemma *real-op-pos-plus* [*pos-transfer*]:
 $real\text{-of-pos } (x + y) = real\text{-of-pos } x + real\text{-of-pos } y$
by (*transfer, simp*)

lemma *real-op-pos-minus* [*pos-transfer*]:
 $x \geq y \implies real\text{-of-pos } (x - y) = real\text{-of-pos } x - real\text{-of-pos } y$
by (*transfer, simp*)

lemma *real-op-pos-mult* [*pos-transfer*]:
 $real\text{-of-pos } (x * y) = real\text{-of-pos } x * real\text{-of-pos } y$
by (*transfer, simp*)

lemma *real-op-pos-div* [*pos-transfer*]:
 $real\text{-of-pos } (x / y) = real\text{-of-pos } x / real\text{-of-pos } y$
by (*transfer, simp*)

```

lemma real-of-pos-numeral [pos-transfer]:
  real-of-pos (numeral n) = numeral n
  by (induct n, simp-all only: numeral.simps pos-transfer)

```

```

lemma real-of-pos-eq-transfer [pos-transfer]:
   $x = y \iff \text{real-of-pos } x = \text{real-of-pos } y$ 
  by (transfer, auto)

```

```

lemma real-of-pos-less-eq-transfer [pos-transfer]:
   $x \leq y \iff \text{real-of-pos } x \leq \text{real-of-pos } y$ 
  by (transfer, auto)

```

```

lemma real-of-pos-less-transfer [pos-transfer]:
   $x < y \iff \text{real-of-pos } x < \text{real-of-pos } y$ 
  by (transfer, auto)

```

end

7 Show class for code generation

```

theory Haskell-Show
  imports HOL-Library.Code-Target-Int HOL-Library.Code-Target-Nat
begin

```

The aim of this theory is support code generation of serialisers for datatypes using the Haskell show class. We take inspiration from <https://www.isa-afp.org/entries/Show.html>, but we are more interested in code generation than being able to derive the show function for any algebraic datatype. Sometimes we give actual instance that can reasoned about in Isabelle, but mostly opaque types and code printing to Haskell instance is sufficient.

7.1 Show class

The following class should correspond to the Haskell type class Show, but currently it has only part of the signature.

```

class show =
  fixes show :: 'a  $\Rightarrow$  String.literal — We use String.literal for code generation

```

We set up code printing so that this class, and the constants therein, are mapped to the Haskell Show class.

```

code-printing
  type-class show  $\rightarrow$  (Haskell) Prelude.Show
  | constant show  $\rightarrow$  (Haskell) Prelude.show

```

7.2 Instances

We create an instance for bool, that generates an Isabelle function.

```

instantiation bool :: show
begin

fun show-bool :: bool ⇒ String.literal where
show-bool True = STR "True" |
show-bool False = STR "False"

instance ..

end

```

We map the instance for *bool* to the built-in Haskell *show*, and have the code generator use the built-in class instance.

```

code-printing
  constant show-bool-inst.show-bool → (Haskell) Prelude.show
| class-instance bool :: show → (Haskell) –

```

```

instantiation unit :: show
begin

fun show-unit :: unit ⇒ String.literal where
show-unit () = STR "()"

instance ..

end

```

```

code-printing
  constant show-unit-inst.show-unit → (Haskell) Prelude.show
| class-instance unit :: show → (Haskell) –

```

Actually, we don't really need to create the *show* function if all we're interested in is code generation. Here, for the *integer* instance, we omit the definition. This is because *integer* is set up to correspond to the built-in Haskell type *Integer*, which already has a *Show* instance.

```

instantiation integer :: show
begin

instance ..

end

```

For the code generator, the crucial line follows. This maps the (unspecified) Isabelle *show* function to the Haskell *show* function, which is built-in. We also specify that no instance of *Show* should be generated for *integer*, as it exists already.

```

code-printing
  constant show-integer-inst.show-integer → (Haskell) Prelude.show

```

| **class-instance** *integer* :: *show* \rightarrow (*Haskell*) –

For *int*, we are effectively dealing with a packaged version of *integer* in the code generation set up. So, we simply define *show* in terms of the "underlying" *integer* using *integer-of-int*.

instantiation *int* :: *show*
begin

definition *show-int* :: *int* \Rightarrow *String.literal* **where**
show-int *x* = *show* (*integer-of-int* *x*)

instance ..

end

As a result, we can prove a code equation that will mean that our *show* instance for *int* simply calls the built-in *show* function for *integer*.

lemma *show-int-of-integer* [*code*]: *show* (*int-of-integer* *x*) = *show* *x*
by (*simp add: show-int-def*)

instantiation *nat* :: *show*
begin

definition *show-nat* :: *nat* \Rightarrow *String.literal* **where**
show-nat *x* = *show* (*integer-of-nat* *x*)

instance ..

end

lemma *show-Nat*: *show* (*Nat* *x*) = *show* (*max 0* *x*)
using *Code-Target-Nat.Nat-def integer-of-nat-eq-of-nat nat-of-integer-def of-nat-of-integer show-nat-def* **by** *presburger*

instantiation *String.literal* :: *show*
begin

definition *show-literal* :: *String.literal* \Rightarrow *String.literal* **where**
show-literal *x* = *STR* *''''* + *x* + *STR* *''''*

instance ..

end

code-printing

constant *show-literal-inst.show-literal* \rightarrow (*Haskell*) *Prelude.show*
| **class-instance** *String.literal* :: *show* \rightarrow (*Haskell*) –

```

instantiation prod :: (show, show) show
begin

instance ..

end

code-printing
  constant show-prod-inst.show-prod  $\rightarrow$  (Haskell) Prelude.show
| class-instance prod :: show  $\rightarrow$  (Haskell) –

instantiation list :: (show) show
begin

instance ..

end

code-printing
  constant show-list-inst.show-list  $\rightarrow$  (Haskell) Prelude.show
| class-instance list :: show  $\rightarrow$  (Haskell) –

end

```

8 Enumeration Types

```

theory Enum-Type
  imports Haskell-Show
  keywords enumtype :: thy-defn
begin

ML-file  $\langle$ Enum-Type.ML $\rangle$ 

ML  $\langle$ 
  val - =
    Outer-Syntax.command  $\bullet$ {command-keyword enumtype} define enumeration types
    ((Parse.name -- ( $\bullet$ {keyword = } | -- Scan.repeat (Parse.name -- |  $\bullet$ {keyword
|}) -- Parse.name)) >> (fn (tname, (cs, c)) => Toplevel.theory (Enum-Type.enum-type
tname (cs  $\bullet$  [c])))
   $\rangle$ 

declare UNIV-enum [code-unfold]

end

```

9 Default Class Instances for Record Types

```

theory Record-Default-Instance

```

```

imports Main
keywords record-default :: thy-defn
begin

```

```

ML-file <Record-Default-Instance.ML>

```

```

ML <
  val - =
    Outer-Syntax.command •{command-keyword record-default} define default in-
    stances for records
    (Parse.name >> (fn tname => Toplevel.theory (Record-Default-Instance.mk-rec-default-instance
    tname)));
  >

```

```

end

```

10 Defining Declared Constants

```

theory Def-Const
  imports Main
  keywords def-consts :: thy-defn
begin

```

Add a simple command to define previously declared polymorphic constants. This is particularly useful for handling given sets in Z.

```

ML <

```

```

  structure Def-Const =
  struct

```

```

    fun def-const (n, v) thy =
      let val Const (pn, typ) = Proof-Context.read-const {proper = false, strict = false}
        (Named-Target.theory-init thy) n
          val ctx = Overloading.overloading [(n, (pn, dummyT), false)] thy
          val pt = Syntax.check-term ctx (Type.constraint typ (Syntax.parse-term ctx
          v))
          in (Local-Theory.exit-global o snd o Local-Theory.define (((Binding.name n),
          NoSyn), ((Binding.name (n ^ -def), [Attrib.check-src •{context} (Token.make-src
          (code, Position.none) [])), pt))) ctx
          end
      val def-consts = fold def-const

```

```

  end;

```

```

  Outer-Syntax.command •{command-keyword def-consts} define a declared constant
  (Scan.repeat1 ((Parse.name --| •{keyword=} -- Parse.term) >> (Toplevel.theory
  o Def-Const.def-consts));
  >

```

end

11 Polymorphic Overriding Operator

```
theory Overriding
  imports Main
begin
```

We here use type classes to create the overriding operator and instantiate it for relations, partial function, and finite functions.

```
class oplus =
  fixes oplus :: 'a ⇒ 'a ⇒ 'a (infixl ⊕ 65)
```

```
class compatible =
  fixes compatible :: 'a ⇒ 'a ⇒ bool (infix ## 60)
  assumes compatible-sym: x ## y ⇒ y ## x
```

```
unbundle lattice-syntax
```

```
class override = oplus + bot + compatible +
  assumes compatible-zero [simp]: x ## ⊥
  and override-idem [simp]: P ⊕ P = P
  and override-assoc: P ⊕ (Q ⊕ R) = (P ⊕ Q) ⊕ R
  and override-lzero [simp]: ⊥ ⊕ P = P
  and override-comm: P ## Q ⇒ P ⊕ Q = Q ⊕ P
  and override-compat: [ P ## Q; (P ⊕ Q) ## R ] ⇒ P ## R
  and override-compatI: [ P ## Q; P ## R; Q ## R ] ⇒ (P ⊕ Q) ## R
begin
```

```
lemma override-rzero [simp]: P ⊕ ⊥ = P
  by (metis compatible-zero override-comm override-lzero)
```

```
lemma override-compat': [ P ## Q; (P ⊕ Q) ## R ] ⇒ Q ## R
  by (metis compatible-sym override-comm override-compat)
```

```
lemma override-compat-iff: P ## Q ⇒ (P ⊕ Q) ## R ↔ (P ## R) ∧ (Q ## R)
  by (meson override-compat override-compatI override-compat')
```

end

end

12 Relational Universe

```
theory Relation-Extra
  imports HOL-Library.FuncSet HOL-Library.AList List-Extra Overriding
begin
```

no-notation *SCons* (**infixr** <##> 65)

This theory develops a universe for a Z-like relational language, including the core operators of the ISO Z metalanguage. Much of this already exists in *HOL.Relation*, but we need to add some additional functions and sets. It characterises relations, partial functions, total functions, and finite functions.

12.1 Type Syntax

We set up some nice syntax for heterogeneous relations at the type level

syntax

-rel-type :: *type* \Rightarrow *type* \Rightarrow *type* (**infixr** \leftrightarrow 0)

translations

(*type*) '*a* \leftrightarrow '*b* == (*type*) ('*a* \times '*b*) *set*

Setup pretty printing for homogeneous relations.

print-translation <

```
let fun tr' ctx [ Const (•{type-syntax prod},-) $ a $ b ] =
    if a = b then Syntax.const •{type-syntax rel} $ a else raise Match;
in [(•{type-syntax set},tr')]
end
>
```

12.2 Notation for types as sets

definition *TUNIV* (*a*::'*a* *itself*) = (*UNIV* :: '*a* *set*)

syntax *-tvar* :: *type* \Rightarrow *logic* ($[-]_T$)

translations [*a*]_T == *CONST TUNIV TYPE*('*a*)

lemma *TUNIV-mem* [*simp*]: *x* \in [*a*]_T

by (*simp add: TUNIV-def*)

12.3 Relational Function Operations

These functions are all adapted from their ISO Z counterparts.

definition *rel-apply* :: ('*a* \leftrightarrow '*b*) \Rightarrow '*a* \Rightarrow '*b* (*-*(') _r [999,0] 999) **where**

rel-apply *R* *x* = (if ($\exists!$ *y*. (*x*, *y*) \in *R*) then *THE* *y*. (*x*, *y*) \in *R* else *undefined*)

If there exists a unique *e*₃ such that (*e*₂, *e*₃) is in *e*₁, then the value of *e*₁(*e*₂)_r is *e*₃, otherwise each *e*₁(*e*₂)_r has a fixed but unknown value (i.e. *undefined*).

definition *rel-domres* :: '*a* *set* \Rightarrow ('*a* \leftrightarrow '*b*) \Rightarrow '*a* \leftrightarrow '*b* (**infixr** \triangleleft_r 85) **where**

rel-domres *A* *R* = {*p* \in *R*. *fst* *p* \in *A*}

lemma *rel-domres-math-def*: $A \triangleleft_r R = \{(k, v) \in R. k \in A\}$
by (*auto simp add: rel-domres-def*)

Domain restriction ($A \triangleleft_r R$) contains the set of pairs in R , such that the first element of every such pair is in A .

definition *rel-ranres* :: $('a \leftrightarrow 'b) \Rightarrow 'b \text{ set} \Rightarrow 'a \leftrightarrow 'b$ (**infixl** \triangleright_r 85) **where**
rel-ranres $R A = \{p \in R. \text{snd } p \in A\}$

We employ some type class trickery to enable a polymorphic operator for override that can instantiate $'a \text{ set}$, which is needed for relational overriding. The following class's sole purpose is to allow pairs to be the only valid instantiation element for the set type.

class *pre-restrict* =
fixes *cmpt* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$
and *res* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$

instantiation *prod* :: $(\text{type}, \text{type}) \text{ pre-restrict}$
begin

Relations are compatible if they agree on the values for maplets they both possess.

definition *cmpt-prod* :: $('a \leftrightarrow 'b) \Rightarrow ('a \leftrightarrow 'b) \Rightarrow \text{bool}$
where [*simp*]: *cmpt-prod* $R S = ((\text{Domain } R) \triangleleft_r S = (\text{Domain } S) \triangleleft_r R)$

definition *res-prod* :: $('a \leftrightarrow 'b) \Rightarrow ('a \leftrightarrow 'b) \Rightarrow 'a \leftrightarrow 'b$
where [*simp*]: *res-prod* $R S = ((-\ \text{Domain } S) \triangleleft_r R) \cup S$

instance ..
end

instantiation *set* :: $(\text{pre-restrict}) \text{ oplus}$
begin

definition *oplus-set* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$ **where**
oplus-set = *res*

instance ..

end

definition *rel-update* :: $('a \leftrightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \leftrightarrow 'b$ **where**
rel-update $R k v = R \oplus \{(k, v)\}$

Relational update adds a new pair to a relation.

definition *rel-disjoint* :: $('a \leftrightarrow 'b \text{ set}) \Rightarrow \text{bool}$ **where**
rel-disjoint $f = (\forall p \in f. \forall q \in f. p \neq q \longrightarrow \text{snd } p \cap \text{snd } q = \{\})$

definition *rel-partitions* :: $('a \leftrightarrow 'b \text{ set}) \Rightarrow 'b \text{ set} \Rightarrow \text{bool}$ **where**
rel-partitions $f a = (\text{rel-disjoint } f \wedge \bigcup (\text{Range } f) = a)$

12.4 Domain laws

declare *Domain-Un-eq* [simp]

lemma *Domain-Preimage*: $\text{Domain } P = P^{-1} \text{ `` UNIV}$
by (*simp add: Image-def Domain-unfold*)

lemma *Domain-relcomp* [simp]: $\text{Domain } (P \circ Q) = \text{Domain } (P \triangleright_r \text{Domain } Q)$
by (*force simp add: Domain-iff rel-ranres-def*)

lemma *Domain-relcomp-conv*: $\text{Domain } (P \circ Q) = (P^{-1} \text{ `` Domain}(Q))$
by (*simp add: Domain-Preimage converse-relcomp relcomp-Image*)

lemma *Domain-set*: $\text{Domain } (\text{set } xs) = \text{set } (\text{map } \text{fst } xs)$
by (*simp add: Domain-fst*)

12.5 Range laws

lemma *Range-Image*: $\text{Range } P = P \text{ `` UNIV}$
by (*simp add: Image-def Range-iff set-eq-iff*)

lemma *Range-relcomp*: $\text{Range } (P \circ Q) = (Q \text{ `` Range}(P))$
by (*simp add: Range-Image relcomp-Image*)

12.6 Domain Restriction

lemma *Domain-rel-domres* [simp]: $\text{Domain } (A \triangleleft_r R) = A \cap \text{Domain}(R)$
by (*auto simp add: rel-domres-def*)

lemma *rel-domres-empty* [simp]: $\{\} \triangleleft_r R = \{\}$
by (*simp add: rel-domres-def*)

lemma *rel-domres-UNIV* [simp]: $\text{UNIV} \triangleleft_r R = R$
by (*simp add: rel-domres-def*)

lemma *rel-domres-nil* [simp]: $A \triangleleft_r \{\} = \{\}$
by (*simp add: rel-domres-def*)

lemma *rel-domres-inter* [simp]: $A \triangleleft_r B \triangleleft_r R = (A \cap B) \triangleleft_r R$
by (*auto simp add: rel-domres-def*)

lemma *rel-domres-compl-disj*: $A \cap \text{Domain } P = \{\} \implies (- A) \triangleleft_r P = P$
by (*auto simp add: rel-domres-def*)

lemma *rel-domres-notin-Dom*: $k \notin \text{Domain}(f) \implies (- \{k\}) \triangleleft_r f = f$
by (*simp add: rel-domres-compl-disj*)

lemma *rel-domres-Id-on*: $A \triangleleft_r R = \text{Id-on } A \circ R$
by (*auto simp add: rel-domres-def Id-on-def relcomp-unfold*)

lemma *rel-domres-insert* [simp]:

$A \triangleleft_r \text{insert } (k, v) R = (\text{if } (k \in A) \text{ then } \text{insert } (k, v) (A \triangleleft_r R) \text{ else } A \triangleleft_r R)$
by (*auto simp add: rel-domres-def*)

lemma *rel-domres-insert-set* [simp]: $x \notin \text{Domain } P \implies (\text{insert } x A) \triangleleft_r P = A \triangleleft_r P$

by (*auto simp add: rel-domres-def*)

lemma *Image-as-rel-domres*: $R \text{ `` } A = \text{Range } (A \triangleleft_r R)$

by (*auto simp add: rel-domres-def*)

lemma *rel-domres-Un* [simp]: $A \triangleleft_r (S \cup R) = (A \triangleleft_r S) \cup (A \triangleleft_r R)$

by (*auto simp add: rel-domres-def*)

12.7 Range Restriction

lemma *rel-ranres-UNIV* [simp]: $P \triangleright_r \text{UNIV} = P$

by (*auto simp add: rel-ranres-def*)

lemma *rel-ranres-Un* [simp]: $(P \cup Q) \triangleright_r A = (P \triangleright_r A) \cup (Q \triangleright_r A)$

by (*auto simp add: rel-ranres-def*)

lemma *rel-ranres-relcomp* [simp]: $(P \circ Q) \triangleright_r A = P \circ (Q \triangleright_r A)$

by (*auto simp add: rel-ranres-def relcomp-unfold prod.case-eq-if*)

lemma *conv-rel-domres* [simp]: $(P \triangleright_r A)^{-1} = A \triangleleft_r P^{-1}$

by (*auto simp add: rel-domres-def rel-ranres-def*)

lemma *rel-ranres-le*: $A \subseteq B \implies f \triangleright_r A \leq f \triangleright_r B$

by (*simp add: Collect-mono-iff in-mono rel-ranres-def*)

12.8 Relational Override

class *restrict* = *pre-restrict* +

assumes *cmpt-sym*: $\text{cmpt } P Q \implies \text{cmpt } Q P$

and *cmpt-empty*: $\text{cmpt } \{\} P$

assumes *res-idem*: $\text{res } P P = P$

and *res-assoc*: $\text{res } P (\text{res } Q R) = \text{res } (\text{res } P Q) R$

and *res-lzero*: $\text{res } \{\} P = P$

and *res-comm*: $\text{cmpt } P Q \implies \text{res } P Q = \text{res } Q P$

and *res-cmpt*: $\llbracket \text{cmpt } P Q; \text{cmpt } (\text{res } P Q) R \rrbracket \implies \text{cmpt } P R$

and *res-cmptI*: $\llbracket \text{cmpt } P Q; \text{cmpt } P R; \text{cmpt } Q R \rrbracket \implies \text{cmpt } (\text{res } P Q) R$

lemma *res-cmpt-rel*: $\text{cmpt } (P :: 'a \leftrightarrow 'b) Q \implies \text{cmpt } (\text{res } P Q) R \implies \text{cmpt } P R$

by (*fastforce simp add: rel-domres-def Domain-iff*)

instance *prod* :: (*type*, *type*) *restrict*

by (*intro-classes, simp-all only: res-cmpt-rel, auto simp add: rel-domres-def Domain-unfold*)

instantiation *set* :: (*restrict*) *override*
begin
definition *compatible-set* :: 'a *set* \Rightarrow 'a *set* \Rightarrow *bool* **where**
compatible-set = *cmpt*

instance
apply (*intro-classes*, *simp-all* *add: oplus-set-def compatible-set-def res-idem res-assoc res-lzero cmpt-sym cmpt-empty res-comm res-cmptI*)
using *res-cmpt* **apply** *blast*
done
end

lemma *override-eq*: $R \oplus S = ((- \text{Domain } S) \triangleleft_r R) \cup S$
by (*simp add: oplus-set-def*)

lemma *Domain-rel-override* [*simp*]: $\text{Domain } (R \oplus S) = \text{Domain}(R) \cup \text{Domain}(S)$
by (*auto simp add: oplus-set-def*)

lemma *Range-rel-override*: $\text{Range}(R \oplus S) \subseteq \text{Range}(R) \cup \text{Range}(S)$
by (*auto simp add: oplus-set-def rel-domres-def*)

lemma *compatible-rel*: $R \#\# S = (\text{Domain } R \triangleleft_r S = \text{Domain } S \triangleleft_r R)$
by (*simp add: compatible-set-def*)

lemma *compatible-relI*: $\text{Domain } R \triangleleft_r S = \text{Domain } S \triangleleft_r R \Longrightarrow R \#\# S$
by (*simp add: compatible-rel*)

12.9 Functional Relations

abbreviation *functional* :: ('a \leftrightarrow 'b) \Rightarrow *bool* **where**
functional $R \equiv$ *single-valued* R

lemma *functional-def*: *functional* $R \longleftrightarrow \text{inj-on } \text{fst } R$
by (*force simp add: single-valued-def inj-on-def*)

lemma *functional-algebraic*: *functional* $R \longleftrightarrow R^{-1} \circ R \subseteq \text{Id}$
apply (*simp add: functional-def subset-iff relcomp-unfold, safe*)
using *inj-on-eq-iff* **apply** *fastforce*
apply (*metis inj-onI surjective-pairing*)
done

lemma *functional-apply*:
assumes *functional* R $(x, y) \in R$
shows $R(x)_r = y$
by (*metis (no-types, lifting) Domain.intros DomainE assms(1) assms(2) single-valuedD rel-apply-def theI-unique*)

lemma *functional-apply-iff*: *functional* $R \Longrightarrow (x, y) \in R \longleftrightarrow (x \in \text{Domain } R \wedge R(x)_r = y)$

by (auto simp add: functional-apply)

lemma *functional-elem*:
 assumes *functional* R $x \in \text{Domain}(R)$
 shows $(x, R(x)_r) \in R$
 using *assms*(1) *assms*(2) *functional-apply* by *fastforce*

lemma *functional-override* [intro!]: $\llbracket \text{functional } R; \text{ functional } S \rrbracket \implies \text{functional } (R \oplus S)$
 by (auto simp add: *functional-algebraic oplus-set-def rel-domres-def*)

lemma *functional-union* [intro!]: $\llbracket \text{functional } R; \text{ functional } S; R \#\# S \rrbracket \implies \text{functional } (R \cup S)$
 by (*metis functional-override le-sup-iff override-comm override-eq single-valued-subset subsetI*)

definition *functional-list* :: $('a \times 'b) \text{ list} \Rightarrow \text{bool}$ **where**
functional-list $xs = (\forall x y z. \text{ListMem } (x,y) xs \wedge \text{ListMem } (x,z) xs \longrightarrow y = z)$

lemma *functional-insert* [simp]: *functional* (insert (x,y) g) $\longleftrightarrow (g\{x\} \subseteq \{y\} \wedge \text{functional } g)$
 by (auto simp add: *functional-def inj-on-def image-def*)

lemma *functional-list-nil*[simp]: *functional-list* []
 by (simp add: *functional-list-def ListMem-iff*)

lemma *functional-list*: *functional-list* $xs \longleftrightarrow \text{functional } (\text{set } xs)$
 apply (induct xs)
 apply (simp add: *functional-def*)
 apply (simp add: *functional-def functional-list-def ListMem-iff*)
 apply (safe)
 apply (force)
 done

definition *fun-rel* :: $('a \Rightarrow 'b) \Rightarrow ('a \leftrightarrow 'b)$ **where**
fun-rel $f = \{(x, y). y = f x\}$

lemma *functional-fun-rel*: *functional* (*fun-rel* f)
 by (simp add: *fun-rel-def functional-def*)
 (*metis (mono-tags, lifting) Product-Type.Collect-case-prodD inj-onI prod.expand*)

lemma *rel-apply-fun* [simp]: (*fun-rel* f)(x) _{r} = $f x$

by (simp add: fun-rel-def rel-apply-def)

Make a relation functional by removing any pairs that have duplicate distinct values.

definition *mk-functional* :: ('a \leftrightarrow 'b) \Rightarrow ('a \leftrightarrow 'b) **where**
mk-functional R = {(x, y) \in R. \forall y'. (x, y') \in R \longrightarrow y = y'}

lemma *mk-functional* [simp]: *functional* (*mk-functional* R)
 by (auto simp add: *mk-functional-def* *single-valued-def*)

lemma *mk-functional-fp*: *functional* R \Longrightarrow *mk-functional* R = R
 by (auto simp add: *mk-functional-def* *single-valued-def*)

lemma *mk-functional-idem*: *mk-functional* (*mk-functional* R) = *mk-functional* R
 using *mk-functional* *mk-functional-fp* by blast

lemma *mk-functional-subset* [simp]: *mk-functional* R \subseteq R
 by (auto simp add: *mk-functional-def*)

lemma *Domain-mk-functional*: *Domain* (*mk-functional* R) \subseteq *Domain* R
 by (auto simp add: *mk-functional-def*)

definition *single-valued-dom* :: ('a \times 'b) set \Rightarrow 'a set **where**
single-valued-dom R = {x \in *Domain*(R). \exists y. R “ {x} = {y} }

lemma *mk-functional-single-valued-dom*: *mk-functional* R = *single-valued-dom* R
 \triangleleft_r R
 by (auto simp add: *mk-functional-def* *single-valued-dom-def* *rel-domres-math-def* *Domain-unfold*)
 (metis *Image-singleton-iff singletonD*)

12.10 Left-Total Relations

definition *left-totalr-on* :: 'a set \Rightarrow ('a \leftrightarrow 'b) \Rightarrow bool **where**
left-totalr-on A R \longleftrightarrow ($\forall x \in A. \exists y. (x, y) \in R$)

abbreviation *left-totalr* R \equiv *left-totalr-on* UNIV R

lemma *left-totalr-algebraic*: *left-totalr* R \longleftrightarrow Id \subseteq R \circ R⁻¹
 by (auto simp add: *left-totalr-on-def*)

lemma *left-totalr-fun-rel*: *left-totalr* (*fun-rel* f)
 by (simp add: *left-totalr-on-def* *fun-rel-def*)

12.11 Injective Relations

definition *injective* :: ('a \leftrightarrow 'b) \Rightarrow bool **where**
injective R = (*functional* R \wedge R \circ R⁻¹ \subseteq Id)

lemma *injectiveI*:

assumes *functional* $R \wedge x y. \llbracket x \in \text{Domain } R; y \in \text{Domain } R; R(x)_r = R(y)_r \rrbracket \implies x = y$
shows *injective* R
using *assms* **by** (*auto simp add: injective-def functional-apply-iff*)

12.12 Relation Sets

definition *rel-typed* $:: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \leftrightarrow 'b) \text{ set}$ (**infixr** \leftrightarrow 55) **where**
 $\text{rel-typed } A B = \{R. \text{Domain}(R) \subseteq A \wedge \text{Range}(R) \subseteq B\}$ — Relations

lemma *rel-typed-intro*: $\llbracket \text{Domain}(R) \subseteq A; \text{Range}(R) \subseteq B \rrbracket \implies R \in A \leftrightarrow B$
by (*simp add: rel-typed-def*)

definition *rel-pfun* $:: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \leftrightarrow 'b) \text{ set}$ (**infixr** \rightarrow_p 55) **where**
 $\text{rel-pfun } A B = \{R. R \in A \leftrightarrow B \wedge \text{functional } R\}$ — Partial Functions

lemma *rel-pfun-intro*: $\llbracket R \in A \leftrightarrow B; \text{functional } R \rrbracket \implies R \in A \rightarrow_p B$
by (*simp add: rel-pfun-def*)

definition *rel-tfun* $:: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \leftrightarrow 'b) \text{ set}$ (**infixr** \rightarrow_t 55) **where**
 $\text{rel-tfun } A B = \{R. R \in A \rightarrow_p B \wedge \text{left-totalr } R\}$ — Total Functions

definition *rel-ffun* $:: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \leftrightarrow 'b) \text{ set}$ (**infixr** \rightarrow_f 55) **where**
 $\text{rel-ffun } A B = \{R. R \in A \rightarrow_p B \wedge \text{finite}(\text{Domain } R)\}$ — Finite Functions

12.13 Closure Properties

These can be seen as typing rules for relational functions

named-theorems *rclos*

lemma *rel-ffun-is-pfun* [*rclos*]: $R \in \text{rel-ffun } A B \implies R \in A \rightarrow_p B$
by (*simp add: rel-ffun-def*)

lemma *rel-tfun-is-pfun* [*rclos*]: $R \in A \rightarrow_t B \implies R \in A \rightarrow_p B$
by (*simp add: rel-tfun-def*)

lemma *rel-pfun-is-typed* [*rclos*]: $R \in A \rightarrow_p B \implies R \in A \leftrightarrow B$
by (*simp add: rel-pfun-def*)

lemma *rel-ffun-empty* [*rclos*]: $\{\} \in \text{rel-ffun } A B$
by (*simp add: rel-ffun-def rel-pfun-def rel-typed-def*)

lemma *rel-pfun-apply* [*rclos*]: $\llbracket x \in \text{Domain}(R); R \in A \rightarrow_p B \rrbracket \implies R(x)_r \in B$
using *functional-apply* **by** (*fastforce simp add: rel-pfun-def rel-typed-def*)

lemma *rel-tfun-apply* [*rclos*]: $\llbracket x \in A; R \in A \rightarrow_t B \rrbracket \implies R(x)_r \in B$
by (*metis (no-types, lifting) Domain-iff iso-tuple-UNIV-I left-totalr-on-def mem-Collect-eq rel-pfun-apply rel-tfun-def*)

lemma *rel-typed-insert* [rclos]: $\llbracket R \in A \leftrightarrow B; x \in A; y \in B \rrbracket \implies \text{insert } (x, y)$
 $R \in A \leftrightarrow B$
by (*simp add: rel-typed-def*)

lemma *rel-pfun-insert* [rclos]: $\llbracket R \in A \rightarrow_p B; x \in A; y \in B; x \notin \text{Domain}(R) \rrbracket$
 $\implies \text{insert } (x, y) R \in A \rightarrow_p B$
by (*auto intro: rclos simp add: rel-pfun-def*)

lemma *rel-pfun-override* [rclos]: $\llbracket R \in A \rightarrow_p B; S \in A \rightarrow_p B \rrbracket \implies (R \oplus S) \in$
 $A \rightarrow_p B$
apply (*rule rel-pfun-intro*)
apply (*rule rel-typed-intro*)
apply (*simp-all add: rel-pfun-def rel-typed-def, safe*)
apply (*metis (no-types, opaque-lifting) Range.simps Range-Un-eq Range-rel-override*
Un-iff rev-subsetD)
done

12.14 Code Generation

lemma *rel-conv-alist* [code]: $(\text{set } xs)^{-1} = \text{set } (\text{map } (\lambda(x, y). (y, x)) xs)$
by (*induct xs, auto*)

lemma *rel-domres-alist* [code]: $A \triangleleft_r \text{set } xs = \text{set } (AList.restrict A xs)$
by (*induct xs, simp-all, safe, simp-all*)

lemma *Image-alist* [code]: $\text{set } xs \text{ `` } A = \text{set } (\text{map } \text{snd } (AList.restrict A xs))$
by (*simp add: Image-as-rel-domres rel-domres-alist Range-snd*)

lemma *Collect-set*: $\{x \in \text{set } xs. P x\} = \text{set } (\text{filter } P xs)$
by *auto*

lemma *single-valued-dom-alist* [code]:
 $\text{single-valued-dom } (\text{set } xs) = \text{set } (\text{filter } (\lambda x. \text{length } (\text{remdups } (\text{map } \text{snd } (AList.restrict$
 $\{x\} xs))) = 1) (\text{map } \text{fst } xs))$
by (*simp only: single-valued-dom-def set-map[THEN sym] Image-alist Domain-set*
set-singleton-iff list-singleton-iff Collect-set)

lemma *AList-restrict-in-dom*: $AList.restrict (\text{set } (\text{filter } P (\text{map } \text{fst } xs))) xs = \text{filter}$
 $(\lambda (x, y). P x) xs$
by (*auto intro: filter-cong simp add: Domain.intros fst-eq-Domain AList.restrict-eq*)

lemma *mk-functional-alist* [code]:
 $\text{mk-functional } (\text{set } xs) = \text{set } (\text{filter } (\lambda (x, y). \text{length } (\text{remdups } (\text{map } \text{snd } (AList.restrict$
 $\{x\} xs))) = 1) xs)$
by (*simp only: mk-functional-single-valued-dom rel-domres-alist single-valued-dom-alist*
AList-restrict-in-dom)

lemma *rel-apply-set* [code]:

rel-apply (set xs) k =
(let ys = filter (λ (k', v). k = k') xs in
if (length ys > 0 ∧ ys = replicate (length ys) (hd ys)) then snd (hd ys) else
undefined)

proof –

let *?ys = filter (λ(k', v). k = k') xs*
have *1: [?ys ≠ []; ?ys = replicate (length ?ys) (hd ?ys)] ⇒*
set xs(k)_r = snd (hd ?ys)

proof –

assume *ys: ?ys ≠ [] ?ys = replicate (length ?ys) (hd ?ys)*
have *kmem: ∧ y. (k, y) ∈ set xs ↔ (k, y) ∈ set ?ys*
by *simp*
from *ys obtain v where v: (k, v) ∈ set xs*
using *hd-in-set by fastforce*
hence *ys': ?ys = replicate (length ?ys) (k, v)*
by *(metis (mono-tags) case-prodI filter-set in-set-replicate member-filter ys(2))*
hence *snd (hd ?ys) = v*
by *(metis hd-replicate replicate-0 snd-conv ys(1))*
moreover **have** *(THE y. (k, y) ∈ set xs) = v*
by *(metis (no-types, lifting) v in-set-replicate kmem snd-conv the-equality ys')*
moreover **have** *(∃!y. (k, y) ∈ set xs)*
by *(metis Pair-inject v in-set-replicate kmem ys')*
ultimately **show** *set xs(k)_r = snd (hd ?ys)*
by *(simp add: rel-apply-def)*

qed

have *2: ?ys = [] ⇒ set xs(k)_r = undefined*

proof –

assume *filter (λ(k', v). k = k') xs = []*
hence *∄ v. (k, v) ∈ set xs*
by *(metis (mono-tags, lifting) case-prodI filter-empty-conv)*
thus *set xs(k)_r = undefined*
by *(auto simp add: rel-apply-def)*

qed

have *3: ?ys ≠ replicate (length ?ys) (hd ?ys) ⇒ set xs(k)_r = undefined*

proof –

assume *ys: ?ys ≠ replicate (length ?ys) (hd ?ys)*
have *keys: ∀ (k', v') ∈ set ?ys. k' = k*
by *auto*
show *set xs(k)_r = undefined*
proof *(cases length ?ys = 0)*
case *True*
then **show** *?thesis*
using *ys by fastforce*
next
case *False*
hence *length ?ys > 1*
by *(metis hd-in-set in-set-conv-nth length-0-conv less-one linorder-neqE-nat)*

```

replicate-length-same ys)
  have fst (hd ?ys) = k
    using False hd-in-set by force
  have  $\neg(\forall (k, v) \in \text{set } ?ys. v = \text{snd } (\text{hd } ?ys))$ 
  proof
    assume  $(\forall (k, v) \in \text{set } ?ys. v = \text{snd } (\text{hd } ?ys))$ 
    hence  $(\forall p \in \text{set } ?ys. p = (k, \text{snd } (\text{hd } ?ys)))$ 
      by fastforce
    hence ?ys = replicate (length ?ys) (hd ?ys)
      by (metis False length-0-conv list.set-sel(1) replicate-length-same)
    thus False
      using ys by blast
  qed
  then obtain v where  $(k, v) \in \text{set } ?ys$   $v \neq \text{snd } (\text{hd } ?ys)$ 
    by fastforce
  hence  $(\neg (\exists! y. (k, y) \in \text{set } xs))$ 
    using False list.set-sel(1) by fastforce
  then show ?thesis
    by (simp add: rel-apply-def)
  qed
qed
from 1 2 3 show ?thesis
  by (simp add: Let-unfold)
qed
end

```

13 Map Type: extra functions and properties

```

theory Map-Extra
  imports
    Relation-Extra
    HOL-Library.Countable-Set
    HOL-Library.Monad-Syntax
    HOL-Library.AList
begin

```

13.1 Extensionality and Update

```

lemma map-eq-iff:  $f = g \iff (\forall x \in \text{dom}(f) \cup \text{dom}(g). f x = g x)$ 
  by (auto simp add: fun-eq-iff)

```

13.2 Graphing Maps

```

definition map-graph :: ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\leftrightarrow$  'b) where
  map-graph f =  $\{(x,y) \mid x y. f x = \text{Some } y\}$ 

```

```

definition graph-map :: ('a  $\leftrightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'b) where
  graph-map g =  $(\lambda x. \text{if } (x \in \text{fst } 'g) \text{ then } \text{Some } (\text{SOME } y. (x,y) \in g) \text{ else } \text{None})$ 

```

definition $graph-map' :: ('a \leftrightarrow 'b) \rightarrow ('a \rightarrow 'b)$ **where**
 $graph-map' R = (if (functional R) then Some (graph-map R) else None)$

lemma $map-graph-mem-equiv: (x, y) \in map-graph f \longleftrightarrow f(x) = Some y$
by ($simp\ add: map-graph-def$)

lemma $map-graph-functional[simp]: functional (map-graph f)$
by ($simp\ add: functional-def map-graph-def inj-on-def$)

lemma $map-graph-countable [simp]: countable (dom f) \implies countable (map-graph f)$
by ($metis\ countable-image graph-def graph-eq-to-snd-dom map-graph-def$)

lemma $map-graph-inv [simp]: graph-map (map-graph f) = f$
by ($force\ simp\ add: map-graph-def graph-map-def image-def$)

lemma $graph-map-empty[simp]: graph-map \{\} = Map.empty$
by ($simp\ add: graph-map-def$)

lemma $graph-map-insert [simp]: \llbracket functional\ g; g\{x\} \subseteq \{y\} \rrbracket \implies graph-map (insert (x,y) g) = (graph-map g)(x \mapsto y)$
by ($rule\ ext, auto\ simp\ add: graph-map-def$)

lemma $dom-map-graph: dom f = Domain(map-graph f)$
by ($simp\ add: map-graph-def dom-def image-def$)

lemma $ran-map-graph: ran f = Range(map-graph f)$
by ($simp\ add: map-graph-def ran-def image-def$)

lemma $graph-map-set: functional (set xs) \implies graph-map (set xs) = map-of xs$
by ($induct\ xs; force$)

lemma $rel-apply-map-graph:$
 $x \in dom(f) \implies (map-graph f)(x)_r = the (f x)$
by ($auto\ simp\ add: rel-apply-def map-graph-def$)

lemma $ran-map-add-subset:$
 $ran (x ++ y) \subseteq (ran x) \cup (ran y)$
by ($auto\ simp\ add: ran-def$)

lemma $finite-dom-graph: finite (dom f) \implies finite (map-graph f)$
by ($metis\ dom-map-graph finite-imageD fst-eq-Domain functional-def map-graph-functional$)

lemma $finite-dom-ran [simp]: finite (dom f) \implies finite (ran f)$
by ($metis\ finite-Range finite-dom-graph ran-map-graph$)

lemma $functional-insert: functional (insert a R) \implies functional R$
by ($simp\ add: single-valued-def$)

lemma *Domain-insert*: $\text{Domain} (\text{insert } a \ R) = \text{insert } (\text{fst } a) (\text{Domain } R)$
by (*simp add: Domain-fst*)

lemma *card-map-graph*: $\llbracket \text{finite } R; \text{functional } R \rrbracket \implies \text{card } R = \text{card} (\text{Domain } R)$
by (*induct R rule: finite.induct, simp-all add: functional-insert card-insert-if Domain-insert finite-Domain*)
(*metis DiffD1 Diff-insert-absorb DomainE Map-Extra.Domain-insert insertII insert-Diff prod.collapse single-valued-def*)

lemma *graph-map-inv* [*simp*]: $\text{functional } g \implies \text{map-graph} (\text{graph-map } g) = g$
apply (*simp add: map-graph-def graph-map-def functional-def, safe*)
apply (*metis (lifting, no-types) image-iff option.distinct(1) option.inject someI surjective-pairing*)
apply (*simp add: inj-on-def*)
apply *safe*
apply (*metis fst-conv snd-conv some-equality*)
apply (*metis (lifting) fst-conv image-iff*)
done

lemma *graph-map-dom*: $\text{dom} (\text{graph-map } R) = \text{fst } ' R$
by (*simp add: graph-map-def dom-def*)

lemma *graph-map-countable-dom*: $\text{countable } R \implies \text{countable} (\text{dom} (\text{graph-map } R))$
by (*simp add: graph-map-dom*)

lemma *countable-ran*:
assumes *countable (dom f)*
shows *countable (ran f)*
proof –
have *countable (map-graph f)*
by (*simp add: assms*)
then have *countable (Range(map-graph f))*
by (*simp add: Range-snd*)
thus *?thesis*
by (*simp add: ran-map-graph*)
qed

lemma *map-graph-inv'* [*simp*]:
 $\text{graph-map}' (\text{map-graph } f) = \text{Some } f$
by (*simp add: graph-map'-def*)

lemma *map-graph-inj*:
inj map-graph
by (*metis injI map-graph-inv*)

lemma *map-eq-graph*: $f = g \iff \text{map-graph } f = \text{map-graph } g$
by (*auto simp add: inj-eq map-graph-inj*)

lemma *map-le-graph*: $f \subseteq_m g \iff \text{map-graph } f \subseteq \text{map-graph } g$
by (*force simp add: map-le-def map-graph-def*)

lemma *map-graph-comp*: $\text{map-graph } (g \circ_m f) = (\text{map-graph } f) \circ (\text{map-graph } g)$
by (*metis graph-def graph-map-comp map-graph-def*)

lemma *rel-comp-map*: $R \circ \text{map-graph } f = (\lambda p. (\text{fst } p, \text{the } (f (\text{snd } p)))) \triangleleft_r \text{dom}(f)$
by (*force simp add: map-graph-def relcomp-unfold rel-ranres-def image-def dom-def*)

lemma *map-graph-update*: $\text{map-graph } (f(k \mapsto v)) = \text{insert } (k, v) ((-\{k\}) \triangleleft_r \text{map-graph } f)$
by (*simp add: map-graph-def rel-domres-def, safe, simp-all, metis option.sel*)

13.3 Map Application

definition *map-apply* :: $'a \rightarrow 'b \Rightarrow 'a \Rightarrow 'b \text{ } (-'(-)')_m [999,0] 999$ **where**
map-apply = $(\lambda f x. \text{the } (f x))$

13.4 Map Membership

fun *map-member* :: $'a \times 'b \Rightarrow ('a \rightarrow 'b) \Rightarrow \text{bool}$ (**infix** \in_m 50) **where**
 $(k, v) \in_m m \iff m(k) = \text{Some}(v)$

lemma *map-ext*:
 $\llbracket \bigwedge x y. (x, y) \in_m A \iff (x, y) \in_m B \rrbracket \implies A = B$
by (*rule ext, simp-all, metis not-None-eq*)

lemma *map-member-alt-def*:
 $(x, y) \in_m A \iff (x \in \text{dom } A \wedge A(x)_m = y)$
by (*auto simp add: map-apply-def*)

lemma *map-le-member*:
 $f \subseteq_m g \iff (\forall x y. (x, y) \in_m f \longrightarrow (x, y) \in_m g)$
by (*force simp add: map-le-def*)

13.5 Preimage

definition *preimage* :: $'a \rightarrow 'b \Rightarrow 'b \text{ set} \Rightarrow 'a \text{ set}$ **where**
preimage $f B = \{x \in \text{dom}(f). \text{the}(f(x)) \in B\}$

lemma *preimage-range*: $\text{preimage } f (\text{ran } f) = \text{dom } f$
by (*auto simp add: preimage-def ran-def*)

lemma *dom-preimage*: $\text{dom } (m \circ_m f) = \text{preimage } f (\text{dom } m)$
apply (*simp add: dom-def preimage-def, safe, simp-all*)
apply (*meson map-comp-Some-iff*)
apply (*metis map-comp-def option.case-eq-if option.distinct(1)*)
done

lemma *countable-preimage*:
assumes *countable A inj-on f (preimage f A)*
shows *countable (preimage f A)*
proof –
obtain $g :: 'a \Rightarrow \text{nat}$ **where** $g: \text{inj-on } g \ A$
using *assms(1)* **by** *blast*
have *inj-on (g o the o f) (preimage f A)*
proof (*rule inj-onI*)
fix $x \ y$
assume $x \in \text{preimage } f \ A \ y \in \text{preimage } f \ A \ (g \circ \text{the} \circ f) \ x = (g \circ \text{the} \circ f) \ y$
with *assms g* **show** $x = y$
unfolding *preimage-def* **by** (*metis (lifting) comp-apply domIff inj-onD mem-Collect-eq option.expand*)
qed
thus *?thesis*
by (*simp add: countableI*)
qed

13.6 Minus operation for maps

definition *map-minus* :: $('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$ (**infixl** `-- 100`)
where *map-minus f g = ($\lambda x. \text{if } (f \ x = g \ x) \text{ then } \text{None} \text{ else } f \ x)$*

lemma *map-minus-apply* [*simp*]: $y \in \text{dom}(f \ -- \ g) \Longrightarrow (f \ -- \ g)(y)_m = f(y)_m$
by (*auto simp add: map-minus-def dom-def map-apply-def*)

lemma *map-member-plus*:
 $(x, y) \in_m f \ ++ \ g \longleftrightarrow ((x \notin \text{dom}(g) \wedge (x, y) \in_m f) \vee (x, y) \in_m g)$
by (*auto simp add: map-add-Some-iff*)

lemma *map-member-minus*:
 $(x, y) \in_m f \ -- \ g \longleftrightarrow (x, y) \in_m f \wedge (\neg (x, y) \in_m g)$
by (*auto simp add: map-minus-def*)

lemma *map-minus-plus-commute*:
 $\text{dom}(g) \cap \text{dom}(h) = \{\} \Longrightarrow (f \ -- \ g) \ ++ \ h = (f \ ++ \ h) \ -- \ g$
apply (*rule map-ext*)
apply (*simp add: map-member-plus map-member-minus del: map-member.simps*)
apply (*auto simp add: map-member-alt-def*)
done

lemma *map-graph-minus*: $\text{map-graph } (f \ -- \ g) = \text{map-graph } f \ - \ \text{map-graph } g$
by (*simp add: map-minus-def map-graph-def, safe, simp-all, (meson option.distinct(1))+*)

lemma *map-minus-common-subset*:
 $[[h \subseteq_m f; h \subseteq_m g]] \Longrightarrow (f \ -- \ h = g \ -- \ h) = (f = g)$

by (auto simp add: map-eq-graph map-graph-minus map-le-graph)

13.7 Map Bind

Create some extra intro/elim rules to help dealing with proof about option bind.

lemma *option-bindSomeE* [elim!]:

$\llbracket X \gg = F = \text{Some}(v); \bigwedge x. \llbracket X = \text{Some}(x); F(x) = \text{Some}(v) \rrbracket \implies P \rrbracket \implies P$

by (cases X, auto)

lemma *option-bindSomeI* [intro]:

$\llbracket X = \text{Some}(x); F(x) = \text{Some}(y) \rrbracket \implies X \gg = F = \text{Some}(y)$

by (simp)

lemma *ifSomeE* [elim]: $\llbracket (\text{if } c \text{ then } \text{Some}(x) \text{ else } \text{None}) = \text{Some}(y); \llbracket c; x = y \rrbracket \implies P \rrbracket \implies P$

by (cases c, auto)

13.8 Range Restriction

A range restriction operator; only domain restriction is provided in HOL.

definition *ran-restrict-map* :: $('a \rightarrow 'b) \Rightarrow 'b \text{ set} \Rightarrow 'a \rightarrow 'b$ (-|- [111,110] 110)

where

$\text{ran-restrict-map } f B = (\lambda x. \text{do } \{ v \leftarrow f(x); \text{if } (v \in B) \text{ then } \text{Some}(v) \text{ else } \text{None} \})$

lemma *ran-restrict-alt-def*: $f|_B = (\lambda x. \text{if } x \in \text{dom}(f) \wedge \text{the}(f(x)) \in B \text{ then } f x \text{ else } \text{None})$

by (auto simp add: ran-restrict-map-def fun-eq-iff bind-eq-None-conv)

lemma *ran-restrict-empty* [simp]: $f|_{\{\}} = \text{Map.empty}$

by (simp add: ran-restrict-map-def)

lemma *ran-restrict-ran* [simp]: $f|_{\text{ran}(f)} = f$

proof

fix x

show $(f|_{\text{ran}(f)}) x = f x$

proof (cases f(x))

case None

then show ?thesis by (simp add: ran-restrict-map-def ran-def)

next

case (Some a)

then show ?thesis by (auto simp add: ran-restrict-map-def ran-def)

qed

qed

lemma *ran-ran-restrict* [simp]: $\text{ran}(f|_B) = \text{ran}(f) \cap B$

by (force simp add:ran-restrict-map-def ran-def)

lemma dom-ran-restrict: $\text{dom}(f|_B) \subseteq \text{dom}(f)$
by (auto simp add:ran-restrict-map-def dom-def)

lemma ran-restrict-finite-dom [intro]:
 $\text{finite}(\text{dom}(f)) \implies \text{finite}(\text{dom}(f|_B))$
by (metis finite-subset dom-ran-restrict)

lemma dom-Some [simp]: $\text{dom}(Some \circ f) = UNIV$
by (auto)

lemma map-dres-rres-commute: $f|_B |' A = (f |' A)|_B$
by (auto simp add: restrict-map-def ran-restrict-map-def)

lemma ran-restrict-map-twice [simp]: $(f|_A)|_B = f|(A \cap B)$

proof

fix x

show $((f|_A)|_B) x = (f|(A \cap B)) x$

proof (cases $f x$)

case None

then show ?thesis by (simp add: ran-restrict-map-def)

next

case (Some a)

then show ?thesis by (simp add: ran-restrict-map-def fun-eq-iff option.case-eq-if)

qed

qed

lemma dom-left-map-add [simp]: $x \in \text{dom } g \implies (f ++ g) x = g x$
by (auto simp add:map-add-def dom-def)

lemma dom-right-map-add [simp]: $\llbracket x \notin \text{dom } g; x \in \text{dom } f \rrbracket \implies (f ++ g) x = f x$
by (auto simp add:map-add-def dom-def)

lemma map-add-restrict:

$f ++ g = (f |' (- \text{dom } g)) ++ g$

by (rule ext, auto simp add: map-add-def restrict-map-def)

13.9 Map Inverse and Identity

definition map-inv :: $('a \rightarrow 'b) \Rightarrow ('b \rightarrow 'a)$ **where**
 $\text{map-inv } f \equiv \lambda y. \text{if } (y \in \text{ran } f) \text{ then } \text{Some } (\text{SOME } x. f x = \text{Some } y) \text{ else } \text{None}$

definition map-id-on :: $'a \text{ set} \Rightarrow ('a \rightarrow 'a)$ **where**
 $\text{map-id-on } xs \equiv \lambda x. \text{if } (x \in xs) \text{ then } \text{Some } x \text{ else } \text{None}$

lemma map-id-on-in [simp]:
 $x \in xs \implies \text{map-id-on } xs x = \text{Some } x$

by (*simp add:map-id-on-def*)

lemma *map-id-on-out* [*simp*]:
 $x \notin xs \implies \text{map-id-on } xs \ x = \text{None}$
by (*simp add:map-id-on-def*)

lemma *map-id-dom* [*simp*]: $\text{dom } (\text{map-id-on } xs) = xs$
by (*simp add:dom-def map-id-on-def*)

lemma *map-id-ran* [*simp*]: $\text{ran } (\text{map-id-on } xs) = xs$
by (*force simp add:ran-def map-id-on-def*)

lemma *map-id-on-UNIV* [*simp*]: $\text{map-id-on } UNIV = \text{Some}$
by (*simp add:map-id-on-def*)

lemma *map-id-on-inj* [*simp*]:
 $\text{inj-on } (\text{map-id-on } xs) \ xs$
by (*simp add:inj-on-def*)

lemma *restrict-map-inj-on*:
 $\text{inj-on } f \ (\text{dom } f) \implies \text{inj-on } (f \upharpoonright A) \ (\text{dom } f \cap A)$
by (*auto simp add:inj-on-def*)

lemma *map-inv-empty* [*simp*]: $\text{map-inv } \text{Map.empty} = \text{Map.empty}$
by (*simp add:map-inv-def*)

lemma *map-inv-id* [*simp*]:
 $\text{map-inv } (\text{map-id-on } xs) = \text{map-id-on } xs$
by (*force simp add:map-inv-def map-id-on-def ran-def*)

lemma *map-inv-Some* [*simp*]: $\text{map-inv } \text{Some} = \text{Some}$
by (*simp add:map-inv-def ran-def*)

lemma *map-inv-f-f* [*simp*]:
 $\llbracket \text{inj-on } f \ (\text{dom } f); f \ x = \text{Some } y \rrbracket \implies \text{map-inv } f \ y = \text{Some } x$
apply (*simp add:map-inv-def, safe*)
apply (*rule some-equality*)
apply (*auto simp add:inj-on-def dom-def ran-def*)
done

lemma *dom-map-inv* [*simp*]:
 $\text{dom } (\text{map-inv } f) = \text{ran } f$
by (*auto simp add:map-inv-def*)

lemma *ran-map-inv* [*simp*]:
 $\text{inj-on } f \ (\text{dom } f) \implies \text{ran } (\text{map-inv } f) = \text{dom } f$
apply (*simp add:map-inv-def ran-def, safe*)
apply (*metis (mono-tags, lifting) verit-sko-ex'*)
apply (*metis (mono-tags, lifting) domI domIff map-inv-def map-inv-f-f option.inject*)

```

done

lemma dom-image-ran: f ' dom f = Some ' ran f
  by (auto simp add:dom-def ran-def image-def)

lemma inj-map-inv [intro]:
  inj-on f (dom f)  $\implies$  inj-on (map-inv f) (ran f)
  apply (simp add:map-inv-def inj-on-def dom-def ran-def, safe)
  apply (metis (mono-tags, lifting) option.sel someI-ex)
done

lemma inj-map-bij: inj-on f (dom f)  $\implies$  bij-betw f (dom f) (Some ' ran f)
  by (auto simp add:inj-on-def dom-def ran-def image-def bij-betw-def)

lemma map-inv-map-inv [simp]:
  assumes inj-on f (dom f)
  shows map-inv (map-inv f) = f
proof -

  from assms have inj-on (map-inv f) (ran f)
    by auto

  thus ?thesis
    by (metis (no-types, lifting) ext assms domIff dom-map-inv map-inv-f-f option.collapse
      ran-map-inv)
qed

lemma map-self-adjoin-complete [intro]:
  assumes dom f  $\cap$  ran f = {} inj-on f (dom f)
  shows inj-on (map-inv f ++ f) (dom f  $\cup$  ran f)
proof (rule inj-onI)
  fix x y
  assume x:x  $\in$  dom f  $\cup$  ran f and y:y  $\in$  dom f  $\cup$  ran f
    and f:(map-inv f ++ f) x = (map-inv f ++ f) y

  show x = y
proof (cases x  $\in$  dom f)
  case True
  then show ?thesis
    by (metis assms(1,2) disjoint-iff-not-equal domD dom-left-map-add f inj-on-def
      map-add-dom-app-simps(3) ranI ran-map-inv)
  next
  case False
  then show ?thesis
    by (metis (full-types) UnE assms(1,2) disjoint-iff domIff dom-left-map-add
      dom-map-inv
      f inj-map-inv inj-on-def map-add-dom-app-simps(3) ran-map-inv ran-restrict-alt-def
      ran-restrict-ran x)

```

qed
qed

lemma *inj-completed-map* [intro]:
assumes $dom\ f = ran\ f\ inj\ on\ f\ (dom\ f)$
shows $inj\ (Some\ ++\ f)$
proof (rule *injI*)
fix $x\ y$
assume $f:(Some\ ++\ f)\ x = (Some\ ++\ f)\ y$
have $bb: bij\ betw\ f\ (dom\ f)\ (Some\ 'ran\ f)$
using $assms(2)\ inj\ map\ bij\ by\ blast$
thus $x = y$
proof (cases $x \in dom\ f$)
case *True*
then show *?thesis*
by (metis $assms(1,2)\ f\ inj\ on\ contraD\ map\ add\ dom\ app_simps(1,3)\ ranI$)
next
case *False*
then show *?thesis*
by (metis $assms(1)\ dom\ left\ map\ add\ f\ map\ add\ dom\ app_simps(3)\ option.\ inject\ ranI$)

qed
qed

lemma *bij-completed-map* [intro]:
fixes $f :: 'a \rightarrow 'a$
assumes $dom\ f = ran\ f\ inj\ on\ f\ (dom\ f)$
shows $bij\ betw\ (Some\ ++\ f)\ UNIV\ (range\ Some)$
proof –
have $range\ (Some\ ++\ f) = range\ Some$
proof (rule *set-eqI*, rule *iffI*)
fix x
assume $x \in range\ (Some\ ++\ f)$
thus $x \in range\ Some$
using *image-iff* **by** *fastforce*
next
fix $x :: 'a\ option$
assume $x \in range\ Some$
thus $x \in range\ (Some\ ++\ f)$
by (metis $assms(1)\ dom\ image\ ran[of\ f]\ image\ iff[of\ x\ f\ dom\ f]\ image\ iff[of\ Some\ -\ Some\ dom\ f]\ image\ iff[of\ x\ Some\ UNIV]\ map\ add\ dom\ app_simps(1)[of\ -\ f\ Some]\ map\ add\ dom\ app_simps(3)[of\ -\ f\ Some]\ rangeI[of\ Some\ ++\ f]$)

qed
thus *?thesis*
by (metis $assms(1,2)\ inj\ completed\ map\ inj\ on\ imp\ bij\ betw$)
qed

lemma *bij-map-Some*:
bij-betw *f* *a* (*Some* ' *b*) \implies *bij-betw* (*the* \circ *f*) *a* *b*
apply (*simp* *add:bij-betw-def*)
apply (*safe*)
apply (*metis* (*opaque-lifting, no-types*) *comp-inj-on-iff* *f-the-inv-into-f inj-on-inverseI*
option.sel)
apply (*metis* (*opaque-lifting, no-types*) *image-iff* *option.sel*)
apply (*metis* *Option.these-def* *Some-image-these-eq* *image-image* *these-image-Some-eq*)
done

lemma *ran-map-add* [*simp*]:
 $m^{\text{c}}(\text{dom } m \cap \text{dom } n) = n^{\text{c}}(\text{dom } m \cap \text{dom } n) \implies$
 $\text{ran}(m++n) = \text{ran } n \cup \text{ran } m$
apply (*simp* *add:ran-def, safe, simp-all*)
apply (*metis*)
apply (*metis* *domI* *map-add-dom-app-simps(1)*)
apply (*metis* (*no-types, opaque-lifting*) *IntI* *domI* *dom-left-map-add* *image-iff*
map-add-dom-app-simps(3))
done

lemma *ran-maplets* [*simp*]:
 $\llbracket \text{length } xs = \text{length } ys; \text{distinct } xs \rrbracket \implies \text{ran } [xs \mapsto ys] = \text{set } ys$
by (*induct* *rule:list-induct2, simp-all*)

lemma *inj-map-add*:
 $\llbracket \text{inj-on } f (\text{dom } f); \text{inj-on } g (\text{dom } g); \text{ran } f \cap \text{ran } g = \{\} \rrbracket \implies$
 $\text{inj-on } (f ++ g) (\text{dom } f \cup \text{dom } g)$
apply (*simp* *add:inj-on-def, safe, simp-all*)
apply (*metis* (*full-types*) *disjoint-iff-not-equal* *domI* *dom-left-map-add* *map-add-dom-app-simps(3)*
ranI)
apply (*metis* *disjoint-iff-not-equal* *domI* *map-add-SomeD* *ranI*)
apply (*metis* *disjoint-iff-not-equal* *domI* *map-add-Some-iff* *ranI*)
apply (*metis* *domI*)
done

lemma *map-inv-add'*:
assumes *inj-on* *f* (*dom* *f*) *inj-on* *g* (*dom* *g*)
 $\text{dom } f \cap \text{dom } g = \{\} \text{ran } f \cap \text{ran } g = \{\}$
shows $\text{map-inv } (f ++ g) = \text{map-inv } f ++ \text{map-inv } g$
proof (*rule* *ext*)

from *assms* **have** *minj*: $\text{inj-on } (f ++ g) (\text{dom } (f ++ g))$
by (*simp, metis* *inj-map-add* *sup-commute*)

fix *x*
have $x \in \text{ran } g \implies \text{map-inv } (f ++ g) x = (\text{map-inv } f ++ \text{map-inv } g) x$
proof –
assume $\text{ran}: x \in \text{ran } g$
then obtain *y* **where** $\text{dom}: g y = \text{Some } x y \in \text{dom } g$

by (auto simp add:ran-def)

hence $(f ++ g) y = \text{Some } x$
 by simp

with *assms minj ran dom* show $\text{map-inv } (f ++ g) x = (\text{map-inv } f ++ \text{map-inv } g) x$
 by simp
 qed

moreover have $\llbracket x \notin \text{ran } g; x \in \text{ran } f \rrbracket \implies \text{map-inv } (f ++ g) x = (\text{map-inv } f ++ \text{map-inv } g) x$
 proof -
 assume *ran:x* $x \notin \text{ran } g$ $x \in \text{ran } f$
 with *assms* obtain *y* where *dom:f* $y = \text{Some } x$ $y \in \text{dom } f$ $y \notin \text{dom } g$
 by (auto simp add:ran-def)

with *ran* have $(f ++ g) y = \text{Some } x$
 by (simp)

with *assms minj ran dom* show $\text{map-inv } (f ++ g) x = (\text{map-inv } f ++ \text{map-inv } g) x$
 by simp
 qed

moreover from *assms minj* have $\llbracket x \notin \text{ran } g; x \notin \text{ran } f \rrbracket \implies \text{map-inv } (f ++ g) x = (\text{map-inv } f ++ \text{map-inv } g) x$
 apply (simp add:map-inv-def ran-def map-add-def)
 apply (metis dom-left-map-add map-add-def map-add-dom-app-simps(3))
 done

ultimately show $\text{map-inv } (f ++ g) x = (\text{map-inv } f ++ \text{map-inv } g) x$
 by blast
 qed

lemma *map-inv-dom-res*:
 assumes *inj-on* f (*dom* f)
 shows $\text{map-inv } (f \upharpoonright A) = (\text{map-inv } f) \upharpoonright A$
 using *assms*
 apply (simp add:map-inv-def restrict-map-def ran-restrict-map-def dom-def ran-def fun-eq-iff inj-on-def)
 apply (safe intro!: some-equality)
 apply (metis (mono-tags, lifting) someI-ex)+
 done

lemma *map-inv-ran-res*:
 assumes *inj-on* f (*dom* f)
 shows $\text{map-inv } (f \upharpoonright A) = (\text{map-inv } f) \upharpoonright A$
 using *assms someI-ex* by (force intro!: some-equality simp add:map-inv-def)

restrict-map-def ran-restrict-map-def dom-def ran-def fun-eq-iff inj-on-def)

lemma *map-update-as-add*: $f(x \mapsto y) = f ++ [x \mapsto y]$
by (*auto simp add: map-add-def*)

lemma *map-add-lookup* [*simp*]:
 $x \notin \text{dom } f \implies ([x \mapsto y] ++ f) x = \text{Some } y$
by (*simp add:map-add-def dom-def*)

lemma *map-add-Some*: $\text{Some } ++ f = \text{map-id-on } (- \text{dom } f) ++ f$
proof
fix x
show $(\text{Some } ++ f) x = (\text{map-id-on } (- \text{dom } f) ++ f) x$
proof (*cases* $x \in \text{dom } f$)
case *True*
then show *?thesis* **by** *simp*
next
case *False*
then show *?thesis* **by** *simp*
qed
qed

lemma *distinct-map-dom*:
 $x \notin \text{set } xs \implies x \notin \text{dom } [xs \mapsto] ys$
by (*simp add:dom-def*)

lemma *distinct-map-ran*:
[[*distinct* xs ; $y \notin \text{set } ys$; $\text{length } xs = \text{length } ys$]] \implies
 $y \notin \text{ran } ([xs \mapsto] ys)$
apply (*simp add:map-upds-def*)
apply (*subgoal-tac distinct (map fst (rev (zip xs ys)))*)
apply (*simp add:ran-distinct*)
apply (*metis (opaque-lifting, no-types) image-iff set-zip-rightD surjective-pairing*)
apply (*simp add:zip-rev[THEN sym]*)
done

lemma *maplets-lookup* [*dest*]:
[[$\text{length } xs = \text{length } ys$; *distinct* xs ; $\forall y. [xs \mapsto] ys x = \text{Some } y$]] $\implies y \in \text{set } ys$
using *ranI* **by** *fastforce*

lemma *maplets-distinct-inj* [*intro*]:
[[$\text{length } xs = \text{length } ys$; *distinct* xs ; *distinct* ys ; $\text{set } xs \cap \text{set } ys = \{\}$]] \implies
inj-on $[xs \mapsto] ys$ (*set* xs)
apply (*induct rule:list-induct2*)
apply (*simp-all*)
apply (*rule conjI*)
apply (*rule inj-onI*)
apply (*metis fun-upd-def inj-on-contrad*)

apply (*metis image-iff ranI ran-maplets*)
done

lemma *map-inv-maplet[simp]*: $\text{map-inv } [x \mapsto y] = [y \mapsto x]$
by (*auto simp add:map-inv-def*)

lemma *map-inv-add*:

assumes *inj-on f (dom f) inj-on g (dom g)*
 $\text{ran } f \cap \text{ran } g = \{\}$

shows $\text{map-inv } (f ++ g) = \text{map-inv } f \upharpoonright_{(- \text{dom } g)} ++ \text{map-inv } g$

proof –

have $\text{map-inv } (f ++ g) = \text{map-inv } (f \upharpoonright_{(- \text{dom}(g))} ++ g)$

by (*metis map-add-restrict*)

also have $\dots = \text{map-inv } (f \upharpoonright_{(- \text{dom } g)} ++ \text{map-inv } g)$

by (*rule map-inv-add', simp-all add: assms restrict-map-inj-on*)
(*metis assms(3) disjoint-iff ranI ran-restrictD*)

also have $\dots = \text{map-inv } f \upharpoonright_{(- \text{dom } g)} ++ \text{map-inv } g$

by (*simp add: map-inv-dom-res assms*)

finally show *?thesis* .

qed

lemma *map-inv-upd*:

assumes *inj-on f (dom f) inj-on g (dom g) v \notin ran f*

shows $\text{map-inv } (f(k \mapsto v)) = (\text{map-inv } (f \upharpoonright_{(- \{k\})})) (v \mapsto k)$

proof –

have $\text{map-inv } (f(k \mapsto v)) = \text{map-inv } (f ++ [k \mapsto v])$

by (*auto*)

also have $\dots = \text{map-inv } f \upharpoonright_{(- \text{dom } [k \mapsto v])} ++ \text{map-inv } [k \mapsto v]$

by (*rule map-inv-add, simp-all add: assms*)

also have $\dots = (\text{map-inv } f \upharpoonright_{(- \{k\})}) (v \mapsto k)$

by (*simp*)

also have $\dots = (\text{map-inv } (f \upharpoonright_{(- \{k\})})) (v \mapsto k)$

by (*simp add: assms(1) map-inv-dom-res*)

finally show *?thesis* .

qed

lemma *map-inv-maplets [simp]*:

$\llbracket \text{length } xs = \text{length } ys; \text{distinct } xs; \text{distinct } ys; \text{set } xs \cap \text{set } ys = \{\} \rrbracket \implies$

$\text{map-inv } [xs \mapsto ys] = [ys \mapsto xs]$

proof (*induct rule:list-induct2*)

case *Nil*

then show *?case* **by** *simp*

next

case (*Cons x xs y ys*)

have $\text{map-inv } ([xs \mapsto ys] ++ [x \mapsto y]) = \text{map-inv } [xs \mapsto ys] ++ \text{map-inv } [x \mapsto y]$

proof (*rule map-inv-add'*)

from *Cons* **show** *inj-on* $[xs \mapsto ys]$ (*dom* $[xs \mapsto ys]$) **by** *auto*

from *Cons* **show** *inj-on* $[x \mapsto y]$ (*dom* $[x \mapsto y]$) **by** *auto*

```

    from Cons show dom [xs [↦] ys] ∩ dom [x ↦ y] = {} by auto
    from Cons show ran [xs [↦] ys] ∩ ran [x ↦ y] = {} by auto
  qed
  with Cons show ?case
  by (metis disjoint-iff distinct.simps(2) list.set-intros(2) map-inv-maplet map-update-as-add
map-upds-Cons map-upds-twist)
  qed

```

```

lemma maplets-lookup-nth [simp]:
  [[ length xs = length ys; distinct xs; i < length ys ] =>
  [xs [↦] ys] (xs ! i) = Some (ys ! i)
  apply (induct arbitrary: i rule:list-induct2)
  apply simp
  using less-Suc-eq-0-disj apply auto
  done

```

```

theorem inv-map-inv:
  assumes inj-on f (dom f) ran f = dom f
  shows inv (the ∘ (Some ++ f)) = the ∘ map-inv (Some ++ f)
proof
  fix x
  show (inv (the ∘ (Some ++ f))) x = (the ∘ map-inv (Some ++ f)) x
  proof (cases x ∈ ran f)
    case True
    then obtain y where y:f y = Some x
    by (metis dom-image-ran image-iff)
    with assms show ?thesis
    apply (simp add:map-add-Some map-inv-add' inv-def)
    apply (rule some-equality)
    apply simp
    apply (metis (full-types) Compl-iff domIff inj-on-def map-add-Some map-add-dom-app-simps(2,3)
map-id-dom option.exhaust-sel ranI)
    done
  next
  case False
  then show ?thesis
  apply (simp add:map-add-Some map-inv-add' inv-def)
  apply (rule some-equality)
  apply (simp add: assms(1,2) map-inv-add')
  apply (metis (no-types, opaque-lifting) Un-UNIV-right assms(1,2) dom-map-add
inj-completed-map map-add-None map-add-Some map-id-dom map-id-on-UNIV map-inv-f-f
option.exhaust-sel
option.sel)
  done
  qed
  qed

```

```

lemma map-comp-dom: dom (g ∘m f) ⊆ dom f
  by (metis (lifting, full-types) Collect-mono dom-def map-comp-simps(1))

```

lemma *map-comp-assoc*: $f \circ_m (g \circ_m h) = f \circ_m g \circ_m h$

proof

fix x

show $(f \circ_m (g \circ_m h)) x = (f \circ_m g \circ_m h) x$

proof (*cases* $h x$)

case *None* **thus** *?thesis*

by (*auto simp add: map-comp-def*)

next

case (*Some* y) **thus** *?thesis*

by (*auto simp add: map-comp-def*)

qed

qed

lemma *map-comp-runit* [*simp*]: $f \circ_m \text{Some} = f$

by (*simp add: map-comp-def*)

lemma *map-comp-lunit* [*simp*]: $\text{Some} \circ_m f = f$

proof

fix x

show $(\text{Some} \circ_m f) x = f x$

proof (*cases* $f x$)

case *None* **thus** *?thesis*

by (*simp add: map-comp-def*)

next

case (*Some* y) **thus** *?thesis*

by (*simp add: map-comp-def*)

qed

qed

lemma *map-comp-apply* [*simp*]: $(f \circ_m g) x = g(x) >>= f$

by (*auto simp add: map-comp-def option.case-eq-if*)

lemma *map-graph-map-inv*: $\text{inj-on } f (\text{dom } f) \implies \text{map-graph } (\text{map-inv } f) = (\text{map-graph } f)^{-1}$

by (*simp add: map-graph-def, safe, simp-all,metis dom-map-inv inj-map-inv map-inv-f-f map-inv-map-inv*)

13.10 Merging of compatible maps

definition *comp-map* :: $('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow \text{bool}$ (**infixl** $\|_m$ 60) **where**
 $\text{comp-map } f g = (\forall x \in \text{dom}(f) \cap \text{dom}(g). \text{the}(f(x)) = \text{the}(g(x)))$

lemma *comp-map-unit*: $\text{Map.empty} \|_m f$

by (*simp add: comp-map-def*)

lemma *comp-map-refl*: $f \|_m f$

by (*simp add: comp-map-def*)

lemma *comp-map-sym*: $f \parallel_m g \implies g \parallel_m f$
by (*simp add: comp-map-def*)

definition *merge* :: $('a \rightarrow 'b) \text{ set} \Rightarrow 'a \rightarrow 'b$ **where**
merge *fs* =
 $(\lambda x. \text{if } (\exists f \in \text{fs}. x \in \text{dom}(f)) \text{ then } (\text{THE } y. \forall f \in \text{fs}. x \in \text{dom}(f) \longrightarrow f(x) = y) \text{ else None})$

lemma *merge-empty*: $\text{merge } \{\} = \text{Map.empty}$
by (*simp add: merge-def*)

lemma *merge-singleton*: $\text{merge } \{f\} = f$
unfolding *merge-def*
by (*auto simp add: fun-eq-iff domIff*)

13.11 Conversion between lists and maps

definition *map-of-list* :: $'a \text{ list} \Rightarrow (\text{nat} \rightarrow 'a)$ **where**
map-of-list *xs* = $(\lambda i. \text{if } (i < \text{length } xs) \text{ then } \text{Some } (xs!i) \text{ else None})$

lemma *map-of-list-nil* [*simp*]: $\text{map-of-list } [] = \text{Map.empty}$
by (*simp add: map-of-list-def*)

lemma *dom-map-of-list* [*simp*]: $\text{dom } (\text{map-of-list } xs) = \{0..<\text{length } xs\}$
by (*auto simp add: map-of-list-def dom-def*)

lemma *ran-map-of-list* [*simp*]: $\text{ran } (\text{map-of-list } xs) = \text{set } xs$
apply (*simp add: ran-def map-of-list-def*)
apply (*safe*)
apply (*force*)
apply (*meson in-set-conv-nth*)
done

definition *list-of-map* :: $(\text{nat} \rightarrow 'a) \Rightarrow 'a \text{ list}$ **where**
list-of-map *f* = $(\text{if } (f = \text{Map.empty}) \text{ then } [] \text{ else } \text{map } (\text{the} \circ f) [0 ..< \text{Suc}(\text{GREATEST } x. x \in \text{dom } f)])$

lemma *list-of-map-empty* [*simp*]: $\text{list-of-map } \text{Map.empty} = []$
by (*simp add: list-of-map-def*)

definition *list-of-map'* :: $(\text{nat} \rightarrow 'a) \rightarrow 'a \text{ list}$ **where**
list-of-map' *f* = $(\text{if } (\exists n. \text{dom } f = \{0..<n\}) \text{ then } \text{Some } (\text{list-of-map } f) \text{ else None})$

lemma *map-of-list-inv* [*simp*]: $\text{list-of-map } (\text{map-of-list } xs) = xs$

proof (*cases* $xs = []$)

case *True* **thus** ?*thesis* **by** (*simp*)

next

case *False*

moreover **hence** $(\text{GREATEST } x. x \in \text{dom } (\text{map-of-list } xs)) = \text{length } xs - 1$

```

    by (auto intro: Greatest-equality)
  moreover from False have map-of-list xs ≠ Map.empty
    by (metis ran-empty ran-map-of-list set-empty)
  ultimately show ?thesis
    by (auto intro!:nth-equalityI simp add: list-of-map-def map-of-list-def fun-eq-iff)
qed

```

13.12 Map Comprehension

Map comprehension simply converts a relation built through set comprehension into a map.

syntax

```
-Mapcompr :: 'a ⇒ 'b ⇒ idts ⇒ bool ⇒ 'a → 'b  ((1[- ↦ - |/-/ -]))
```

translations

```
-Mapcompr F G xs P == CONST graph-map {(F, G) | xs. P}
```

lemma map-compr-eta:

```

[x ↦ y | x y. (x, y) ∈m f] = f
apply (rule ext)
apply (simp add: graph-map-def, safe, simp-all)
apply (metis (mono-tags, lifting) Domain.DomainI fst-eq-Domain mem-Collect-eq
old.prod.case option.distinct(1) option.expand option.sel)
done

```

lemma map-compr-simple:

```

[x ↦ F x y | x y. (x, y) ∈m f] = (λ x. do { y ← f(x); Some(F x y) })
apply (rule ext)
apply (auto simp add: graph-map-def image-Collect)
done

```

lemma map-compr-dom-simple [simp]:

```

dom [x ↦ f x | x. P x] = {x. P x}
by (force simp add: graph-map-dom image-Collect)

```

lemma map-compr-ran-simple [simp]:

```

ran [x ↦ f x | x. P x] = {f x | x. P x}
apply (simp add: graph-map-def ran-def, safe, simp-all)
apply blast
apply (metis (mono-tags, lifting) fst-eqD image-eqI mem-Collect-eq someI)
done

```

lemma map-compr-eval-simple [simp]:

```

[x ↦ f x | x. P x] x = (if (P x) then Some (f x) else None)
by (auto simp add: graph-map-def image-Collect)

```

13.13 Sorted lists from maps

definition sorted-list-of-map :: ('a::linorder → 'b) ⇒ ('a × 'b) list **where**

$sorted_list_of_map\ f = map\ (\lambda\ k.\ (k,\ the\ (f\ k)))\ (sorted_list_of_set\ (dom\ (f)))$

lemma *sorted-list-of-map-empty* [simp]:
 $sorted_list_of_map\ Map.empty = []$
 by (simp add: sorted-list-of-map-def)

lemma *sorted-list-of-map-inv*:
 assumes $finite\ (dom\ f)$
 shows $map_of\ (sorted_list_of_map\ f) = f$
proof –
 obtain A where $finite\ A\ A = dom\ (f)$
 by (simp add: assms)
 thus ?thesis
proof (induct A rule: finite-induct)
 case empty thus ?thesis
 by (simp add: sorted-list-of-map-def, metis dom-empty empty-iff map-le-antisym map-le-def)
 next
 case (insert $x\ A$) thus ?thesis
 by (simp add: assms sorted-list-of-map-def map-of-map-keys)
qed
qed

declare *map-member.simps* [simp del]

13.14 Extra map lemmas

lemma *map-eqI*:
 $\llbracket\ dom\ f = dom\ g;\ \forall\ x \in dom\ (f).\ the\ (f\ x) = the\ (g\ x)\ \rrbracket \implies f = g$
 by (metis domIff map-le-antisym map-le-def option.expand)

lemma *map-restrict-dom* [simp]: $f \upharpoonright A = f$
 by (simp add: map-eqI)

lemma *map-restrict-dom-compl*: $f \upharpoonright (-\ dom\ f) = Map.empty$
 by (metis dom-eq-empty-conv dom-restrict inf-compl-bot)

lemma *restrict-map-neg-disj*:
 $dom\ (f) \cap A = \{\} \implies f \upharpoonright (-\ A) = f$
 by (simp add: restrict-map-def, rule ext, metis IntI domIff empty-iff)

lemma *map-plus-restrict-dist*: $(f \ ++\ g) \upharpoonright A = (f \upharpoonright A) \ ++\ (g \upharpoonright A)$
 by (auto simp add: restrict-map-def map-add-def)

lemma *map-plus-eq-left*:
 assumes $f \ ++\ h = g \ ++\ h$
 shows $(f \upharpoonright (-\ dom\ h)) = (g \upharpoonright (-\ dom\ h))$
proof –
 have $h \upharpoonright (-\ dom\ h) = Map.empty$

by (metis Compl-disjoint dom-eq-empty-conv dom-restrict)
 then have f2: $f \upharpoonright' (- \text{dom } h) = (f ++ h) \upharpoonright' (- \text{dom } h)$
 by (simp add: map-plus-restrict-dist)
 have $h \upharpoonright' (- \text{dom } h) = \text{Map.empty}$
 by (metis (no-types) Compl-disjoint dom-eq-empty-conv dom-restrict)
 then show ?thesis
 using f2 assms by (simp add: map-plus-restrict-dist)

qed

lemma map-add-split:
 $\text{dom}(f) = A \cup B \implies (f \upharpoonright' A) ++ (f \upharpoonright' B) = f$
 by (rule ext, auto simp add: map-add-def restrict-map-def option.case-eq-if)

lemma map-le-via-restrict:
 $f \subseteq_m g \iff g \upharpoonright' \text{dom}(f) = f$
 by (auto simp add: map-le-def restrict-map-def dom-def fun-eq-iff)

lemma map-add-cancel:
 $f \subseteq_m g \implies f ++ (g -- f) = g$
 by (simp add: map-le-def map-add-def map-minus-def fun-eq-iff option.case-eq-if)
 (metis domIff)

lemma map-le-iff-add: $f \subseteq_m g \iff (\exists h. \text{dom}(f) \cap \text{dom}(h) = \{\} \wedge f ++ h = g)$
proof
 assume $f \subseteq_m g$
 hence $\text{dom } f \cap \text{dom } (g -- f) = \{\} \wedge f ++ (g -- f) = g$
 by (metis (no-types, lifting) Int-emptyI domIff map-add-cancel map-le-def map-minus-def)
 thus $\exists h. \text{dom } f \cap \text{dom } h = \{\} \wedge f ++ h = g$ by blast
next
 assume $\exists h. \text{dom } f \cap \text{dom } h = \{\} \wedge f ++ h = g$
 thus $f \subseteq_m g$
 by (auto simp add: map-add-comm)

qed

lemma map-add-comm-weak: $(\forall k \in \text{dom } m1 \cap \text{dom } m2. m1(k) = m2(k)) \implies m1 ++ m2 = m2 ++ m1$
 by (simp add: map-add-def option.case-eq-if fun-eq-iff)
 (metis IntI domI)

lemma map-add-comm-weak': $Q \upharpoonright' \text{dom } P = P \upharpoonright' \text{dom } Q \implies P ++ Q = Q ++ P$
 by (metis IntD1 IntD2 map-add-comm-weak restrict-in)

lemma map-compat-add: $Q \upharpoonright' \text{dom } P = P \upharpoonright' \text{dom } Q \implies R \upharpoonright' (\text{dom } Q \cup \text{dom } P) = (P ++ Q) \upharpoonright' \text{dom } R \implies R \upharpoonright' \text{dom } P = P \upharpoonright' \text{dom } R$
 by (metis Int-commute Map.restrict-restrict Un-Int-eq(2) map-add-comm-weak' map-le-iff-map-add-commute map-le-via-restrict)

abbreviation *rel-map* $R \equiv \text{rel-fun } (=) (\text{rel-option } R)$

lemma *rel-map-iff*:

```
rel-map  $R f g \longleftrightarrow (\text{dom}(f) = \text{dom}(g) \wedge (\forall x \in \text{dom}(f). R (\text{the } (f x)) (\text{the } (g x))))$   
apply (simp add: rel-fun-def, safe)  
apply (metis not-None-eq option.rel-distinct(2))  
apply (metis not-None-eq option.rel-distinct(1))  
apply (metis option.rel-sel option.sel option.simps(3))  
apply (metis domIff option.rel-sel)  
done
```

end

14 Partial Functions

theory *Partial-Fun*

imports *Optics.Optics Map-Extra HOL-Library.Mapping*

begin

no-notation *Stream.stream.SCons* (**infixr** $\langle \#\#\rangle$ 65)

I'm not completely satisfied with partial functions as provided by `Map.thy`, since they don't have a unique type and so we can't instantiate classes, make use of adhoc-overloading etc. Consequently I've created a new type and derived the laws.

14.1 Partial function type and operations

```
typedef ( $'a, 'b$ ) pfun = UNIV :: ( $'a \rightarrow 'b$ ) set  
morphisms pfun-lookup pfun-of-map ..
```

type-notation *pfun* (**infixr** \rightarrow 1)

setup-lifting *type-definition-pfun*

```
lemma pfun-lookup-map [simp]: pfun-lookup (pfun-of-map f) = f  
by (simp add: pfun-of-map-inverse)
```

```
lift-bnf ( $'k, \text{pran: } 'v$ ) pfun [wits: Map.empty ::  $'k \Rightarrow 'v \text{ option}$ ] for map: map-pfun  
rel: relt-pfun  
by auto
```

declare *pfun.map-transfer* [*transfer-rule*]

```
instantiation pfun :: (type, type) equal  
begin
```

```
definition HOL.equal m1 m2  $\longleftrightarrow (\forall k. \text{pfun-lookup } m1 k = \text{pfun-lookup } m2 k)$ 
```

instance

by (*intro-classes, simp add: equal-pfun-def, transfer, auto*)

end

lift-definition *pfun-app* :: ('a, 'b) pfun \Rightarrow 'a \Rightarrow 'b $(-)'_p$ [999,0] 999 **is**
 $\lambda f x.$ if ($x \in \text{dom } f$) then the ($f x$) else undefined .

lift-definition *pfun-upd* :: ('a, 'b) pfun \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) pfun
is $\lambda f k v.$ $f(k := \text{Some } v)$.

lift-definition *pdom* :: ('a, 'b) pfun \Rightarrow 'a set **is** *dom* .

lemma *pran-rep-eq* [*transfer-rule*]: *pran* $f = \text{ran } (\text{pfun-lookup } f)$
by (*transfer, auto simp add: ran-def*)

lift-definition *pfun-comp* :: ('b, 'c) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'c) pfun (**infixl**
 \circ_p 55) **is**
 $\lambda f g.$ $f \circ_m g$.

lift-definition *map-pfun'* :: ('c \Rightarrow 'a) \Rightarrow ('b \Rightarrow 'd) \Rightarrow ('a, 'b) pfun \Rightarrow ('c, 'd) pfun
is $\lambda f g m.$ (*map-option* $g \circ m \circ f$) **parametric** *map-parametric* .

functor *map-pfun'*

by (*transfer, auto simp add: fun-eq-iff option.map-comp option.map-id*)**+**

lift-definition *pfun-member* :: 'a \times 'b \Rightarrow ('a, 'b) pfun \Rightarrow bool (**infix** \in_p 50) **is**
(\in_m) .

lift-definition *pfun-inj* :: ('a, 'b) pfun \Rightarrow bool **is** $\lambda f.$ *inj-on* f (*dom* f) .

lift-definition *pfun-inv* :: ('a, 'b) pfun \Rightarrow ('b, 'a) pfun **is** *map-inv* .

lift-definition *pId-on* :: 'a set \Rightarrow ('a, 'a) pfun **is** $\lambda A x.$ if ($x \in A$) then *Some* x
else *None* .

abbreviation *pId* :: ('a, 'a) pfun **where**

pId \equiv *pId-on UNIV*

lift-definition *pdom-res* :: 'a set \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun (**infixr** \triangleleft_p 85)
is $\lambda A f.$ *restrict-map* $f A$.

abbreviation *pdom-nres* (**infixr** $-\triangleleft_p$ 85) **where** *pdom-nres* $A P \equiv (- A) \triangleleft_p P$

lift-definition *pran-res* :: ('a, 'b) pfun \Rightarrow 'b set \Rightarrow ('a, 'b) pfun (**infixl** \triangleright_p 86)
is *ran-restrict-map* .

abbreviation *pran-nres* (**infixr** \triangleright_p- 66) **where** *pran-nres* $P A \equiv P \triangleright_p (- A)$

definition $pfun\text{-}image :: 'a \leftrightarrow 'b \Rightarrow 'a\ set \Rightarrow 'b\ set$ **where**

[simp]: $pfun\text{-}image\ f\ A = pran\ (A \triangleleft_p\ f)$

lift-definition $pfun\text{-}graph :: ('a, 'b)\ pfun \Rightarrow ('a \times 'b)\ set$ **is** $map\text{-}graph$.

lift-definition $graph\text{-}pfun :: ('a \times 'b)\ set \Rightarrow ('a, 'b)\ pfun$ **is** $graph\text{-}map \circ mk\text{-}functional$

.

definition $pfun\text{-}pfun :: 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \leftrightarrow 'b)\ set$ **where**

$pfun\text{-}pfun\ A\ B = \{f :: 'a \leftrightarrow 'b. pdom(f) \subseteq A \wedge pran(f) \subseteq B\}$

definition $pfun\text{-}tfun :: 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \leftrightarrow 'b)\ set$ **where**

$pfun\text{-}tfun\ A\ B = \{f \in pfun\text{-}pfun\ A\ B. pdom(f) = UNIV\}$

definition $pfun\text{-}ffun :: 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \leftrightarrow 'b)\ set$ **where**

$pfun\text{-}ffun\ A\ B = \{f \in pfun\text{-}pfun\ A\ B. finite(pdom(f))\}$

definition $pfun\text{-}pinj :: 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \leftrightarrow 'b)\ set$ **where**

$pfun\text{-}pinj\ A\ B = \{f \in pfun\text{-}pfun\ A\ B. pfun\text{-}inj\ f\}$

definition $pfun\text{-}psurj :: 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \leftrightarrow 'b)\ set$ **where**

$pfun\text{-}psurj\ A\ B = \{f \in pfun\text{-}pfun\ A\ B. pran(f) = UNIV\}$

definition $pfun\text{-}finj\ A\ B = pfun\text{-}ffun\ A\ B \cap pfun\text{-}pinj\ A\ B$

definition $pfun\text{-}tinj\ A\ B = pfun\text{-}tfun\ A\ B \cap pfun\text{-}pinj\ A\ B$

definition $pfun\text{-}tsurj\ A\ B = pfun\text{-}tfun\ A\ B \cap pfun\text{-}psurj\ A\ B$

definition $pfun\text{-}bij\ A\ B = pfun\text{-}tfun\ A\ B \cap pfun\text{-}pinj\ A\ B \cap pfun\text{-}psurj\ A\ B$

lift-definition $pfun\text{-}entries :: 'k\ set \Rightarrow ('k \Rightarrow 'v) \Rightarrow ('k, 'v)\ pfun$ **is**

$\lambda\ d\ f\ x. \text{if } x \in d \text{ then } Some\ (f\ x) \text{ else } None$.

definition $pfuse :: ('a \leftrightarrow 'b) \Rightarrow ('a \leftrightarrow 'c) \Rightarrow ('a \leftrightarrow 'b \times 'c)$

where $pfuse\ f\ g = pfun\text{-}entries\ (pdom(f) \cap pdom(g))\ (\lambda\ x. (pfun\text{-}app\ f\ x, pfun\text{-}app\ g\ x))$

lift-definition $ptabulate :: 'a\ list \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a, 'b)\ pfun$

is $\lambda\ ks\ f. (map\text{-}of\ (List.map\ (\lambda\ k. (k, f\ k))\ ks))$.

lift-definition $pcombine ::$

$('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b)\ pfun \Rightarrow ('a, 'b)\ pfun \Rightarrow ('a, 'b)\ pfun$

is $\lambda\ f\ m1\ m2\ x. combine\text{-}options\ f\ (m1\ x)\ (m2\ x)$.

abbreviation $fun\text{-}pfun \equiv pfun\text{-}entries\ UNIV$

definition $pfun\text{-}disjoint :: 'a \leftrightarrow 'b\ set \Rightarrow bool$ **where**

$pfun\text{-}disjoint\ S = (\forall\ i \in pdom\ S. \forall\ j \in pdom\ S. i \neq j \longrightarrow pfun\text{-}app\ S\ i \cap pfun\text{-}app\ S\ j = \{\})$

definition *pfun-partitions* :: 'a \leftrightarrow 'b set \Rightarrow 'b set \Rightarrow bool **where**
pfun-partitions S T = (pfun-disjoint S \wedge \bigcup (pran S) = T)

no-notation *disj* (**infixr** | 30)

definition *pabs* :: 'a set \Rightarrow ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \leftrightarrow 'b **where**
pabs A P f = (A \cap Collect P) \triangleleft_p fun-pfun f

definition *pcard* :: ('a, 'b) pfun \Rightarrow nat
where *pcard* f = card (pdom f)

unbundle *lattice-syntax*

instantiation *pfun* :: (type, type) bot
begin
lift-definition *bot-pfun* :: ('a, 'b) pfun **is** Map.empty .
instance ..
end

abbreviation *pempty* :: ('a, 'b) pfun ({}_p)
where *pempty* \equiv bot

instantiation *pfun* :: (type, type) oplus
begin
lift-definition *oplus-pfun* :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun **is** (++)
. .
instance ..
end

instantiation *pfun* :: (type, type) minus
begin
lift-definition *minus-pfun* :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun **is** (--)
. .
instance ..
end

instantiation *pfun* :: (type, type) inf
begin
lift-definition *inf-pfun* :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun **is**
 $\lambda f g x.$ if (x \in dom(f) \cap dom(g) \wedge f(x) = g(x)) then f(x) else None .
instance ..
end

abbreviation *pfun-inter* :: ('a, 'b) pfun \Rightarrow ('a, 'b) pfun \Rightarrow ('a, 'b) pfun (**infixl** \cap_p
80)
where *pfun-inter* \equiv inf

instantiation *pfun* :: (type, type) order
begin

lift-definition *less-eq-pfun* :: ('a, 'b) pfun ⇒ ('a, 'b) pfun ⇒ bool **is**
 $\lambda f g. f \subseteq_m g \cdot$
lift-definition *less-pfun* :: ('a, 'b) pfun ⇒ ('a, 'b) pfun ⇒ bool **is**
 $\lambda f g. f \subseteq_m g \wedge f \neq g \cdot$

instance
by (*intro-classes*, (*transfer*, *auto intro: map-le-trans simp add: map-le-antisym*)+)
end

abbreviation *pfun-subset* :: ('a, 'b) pfun ⇒ ('a, 'b) pfun ⇒ bool (**infix** \subseteq_p 50)
where *pfun-subset* \equiv *less*

abbreviation *pfun-subset-eq* :: ('a, 'b) pfun ⇒ ('a, 'b) pfun ⇒ bool (**infix** \subseteq_p 50)
where *pfun-subset-eq* \equiv *less-eq*

instance *pfun* :: (*type*, *type*) *semilattice-inf*
by (*intro-classes*, (*transfer*, *auto simp add: map-le-def dom-def*)+)

lemma *pfun-subset-eq-least* [*simp*]:
 $\{\}_p \subseteq_p f$
by (*transfer*, *auto*)

syntax
-PfunUpd :: [('a, 'b) pfun, maplets] => ('a, 'b) pfun (-'(-)'_p [900,0]900)
-Pfun :: maplets => ('a, 'b) pfun ((1{-}'_p))
-pabs :: pttrn ⇒ logic ⇒ logic ⇒ logic ⇒ logic ($\lambda - \in - | - \cdot - [0, 0, 0, 10]$
10)
-pabs-mem :: pttrn ⇒ logic ⇒ logic ⇒ logic ⇒ logic ($\lambda - \in - \cdot - [0, 0, 10] 10$)
-pabs-pred :: pttrn ⇒ logic ⇒ logic ⇒ logic ⇒ logic ($\lambda - | - \cdot - [0, 0, 10] 10$)
-pabs-tot :: pttrn ⇒ logic ⇒ logic ($\lambda - \cdot - [0, 10] 10$)

translations
-PfunUpd *m* (*-Maplets* *xy* *ms*) == *-PfunUpd* (*-PfunUpd* *m* *xy*) *ms*
-PfunUpd *m* (*-maplet* *x* *y*) == *CONST* *pfun-upd* *m* *x* *y*
-Pfun *ms* ==> *-PfunUpd* (*CONST* *pempty*) *ms*
-Pfun (*-Maplets* *ms1* *ms2*) <= *-PfunUpd* (*-Pfun* *ms1*) *ms2*
-Pfun *ms* <= *-PfunUpd* (*CONST* *pempty*) *ms*
-pabs *x* *A* *P* *f* ==> *CONST* *pabs* *A* ($\lambda x. P$) ($\lambda x. f$)
-pabs *x* *A* *P* *f* <= *CONST* *pabs* *A* ($\lambda y. P$) ($\lambda x. f$)
-pabs *x* *A* *P* (*f* *x*) <= *CONST* *pabs* *A* ($\lambda x. P$) *f*
-pabs-mem *x* *A* *f* == *-pabs* *x* *A* (*CONST* *True*) *f*
-pabs-pred *x* *P* *f* == *-pabs* *x* (*CONST* *UNIV*) *P* *f*
-pabs-tot *x* *f* == *-pabs-pred* *x* (*CONST* *True*) *f*
-pabs-tot *x* *f* <= *-pabs-mem* *x* (*CONST* *UNIV*) *f*

14.2 Algebraic laws

lemma *pfun-comp-assoc*: $f \circ_p (g \circ_p h) = (f \circ_p g) \circ_p h$
by (*transfer*, *simp add: map-comp-assoc*)

```

lemma pfun-comp-left-id [simp]: pId ∘p f = f
  by (transfer, auto)

lemma pfun-comp-right-id [simp]: f ∘p pId = f
  by (transfer, auto)

lemma pfun-comp-left-zero [simp]: { }p ∘p f = { }p
  by (transfer, auto)

lemma pfun-comp-right-zero [simp]: f ∘p { }p = { }p
  by (transfer, auto)

lemma pfun-override-dist-comp:
  (f ⊕ g) ∘p h = (f ∘p h) ⊕ (g ∘p h)
  apply (transfer)
  apply (rule ext)
  apply (simp add: map-add-def)
  apply (metis (no-types, lifting) bind.bind-lunit bind-eq-None-conv map-comp-def
    option.case-eq-if option.collapse)
  done

lemma pfun-minus-unit [simp]:
  fixes f :: ('a, 'b) pfun
  shows f - ⊥ = f
  by (transfer, simp add: map-minus-def)

lemma pfun-minus-zero [simp]:
  fixes f :: ('a, 'b) pfun
  shows ⊥ - f = ⊥
  by (transfer, simp add: map-minus-def)

lemma pfun-minus-self [simp]:
  fixes f :: ('a, 'b) pfun
  shows f - f = ⊥
  by (transfer, simp add: map-minus-def)

instantiation pfun :: (type, type) override
begin
  definition compatible-pfun :: 'a ↔ 'b ⇒ 'a ↔ 'b ⇒ bool where
    compatible-pfun R S = ((pdom R) <Δp S = (pdom S) <Δp R)

lemma pfun-compat-add: (P :: 'a ↔ 'b) ## Q ⇒ P ⊕ Q ## R ⇒ P ## R
  apply (simp add: compatible-pfun-def oplus-pfun-def)
  apply (transfer)
  using map-compat-add apply auto
  done

lemma pfun-compat-addI: [ (P :: 'a ↔ 'b) ## Q; P ## R; Q ## R ] ⇒ P

```

```

⊕ Q ## R
  apply (simp add: compatible-pfun-def oplus-pfun-def)
  apply (transfer)
  apply (simp add: restrict-map-def fun-eq-iff dom-def map-add-def option.case-eq-if)
  apply metis
  done

```

```

instance proof
  fix P Q R :: 'a → 'b
  show P ## Q ⇒ P ⊕ Q ## R ⇒ P ## R
    using pfun-compat-add by blast
  show P ## Q ⇒ P ## R ⇒ Q ## R ⇒ P ⊕ Q ## R
    by (simp add: pfun-compat-addI)
qed (simp-all add: compatible-pfun-def oplus-pfun-def,
     (transfer, auto simp add: map-add-subsumed2 map-add-comm-weak')+ )

```

end

```

lemma pfun-indep-compat: pdom(f) ∩ pdom(g) = {} ⇒ f ## g
  unfolding compatible-pfun-def
  by (transfer, auto simp add: restrict-map-def fun-eq-iff)

```

```

lemma pfun-override-commute:
  pdom(f) ∩ pdom(g) = {} ⇒ f ⊕ g = g ⊕ f
  by (transfer, metis map-add-comm)

```

```

lemma pfun-override-commute-weak:
  (∀ k ∈ pdom(f) ∩ pdom(g). f(k)p = g(k)p) ⇒ f ⊕ g = g ⊕ f
  by (transfer, simp, metis IntD1 IntD2 domD map-add-comm-weak option.sel)

```

```

lemma pfun-override-fully: pdom f ⊆ pdom g ⇒ f ⊕ g = g
  by (transfer, auto simp add: map-add-def option.case-eq-if fun-eq-iff)

```

```

lemma pfun-override-res: pdom g -◁p f ⊕ g = f ⊕ g
  by (transfer, auto simp add: map-add-restrict[THEN sym])

```

```

lemma pfun-minus-override-commute:
  pdom(g) ∩ pdom(h) = {} ⇒ (f - g) ⊕ h = (f ⊕ h) - g
  by (transfer, simp add: map-minus-plus-commute)

```

```

lemma pfun-override-minus:
  f ⊆p g ⇒ (g - f) ⊕ f = g
  by (transfer, rule ext, auto simp add: map-le-def map-minus-def map-add-def
     option.case-eq-if)

```

```

lemma pfun-minus-common-subset:
  [ h ⊆p f; h ⊆p g ] ⇒ (f - h = g - h) = (f = g)
  by (transfer, simp add: map-minus-common-subset)

```

lemma *pfun-minus-override*:
 $pdom(f) \cap pdom(g) = \{\} \implies (f \oplus g) - g = f$
apply (*transfer*)
apply (*simp add: map-add-def map-minus-def option.case-eq-if fun-eq-iff*)
apply (*metis disjoint-iff domI domIff*)
done

lemma *pfun-override-pos*: $x \oplus y = \{\}_p \implies x = \{\}_p$
by (*transfer, simp*)

lemma *pfun-le-override*: $pdom\ x \cap pdom\ y = \{\} \implies x \leq x \oplus y$
by (*transfer, auto simp add: map-le-iff-add*)

14.3 Membership, application, and update

lemma *pfun-ext*: $\llbracket \bigwedge x\ y. (x, y) \in_p f \longleftrightarrow (x, y) \in_p g \rrbracket \implies f = g$
by (*transfer, simp add: map-ext*)

lemma *pfun-member-alt-def*:
 $(x, y) \in_p f \longleftrightarrow (x \in pdom\ f \wedge f(x)_p = y)$
by (*transfer, auto simp add: map-member-alt-def map-apply-def*)

lemma *pfun-member-override*:
 $(x, y) \in_p f \oplus g \longleftrightarrow ((x \notin pdom(g) \wedge (x, y) \in_p f) \vee (x, y) \in_p g)$
by (*transfer, simp add: map-member-plus*)

lemma *pfun-member-minus*:
 $(x, y) \in_p f - g \longleftrightarrow (x, y) \in_p f \wedge (\neg (x, y) \in_p g)$
by (*transfer, simp add: map-member-minus*)

lemma *pfun-app-in-ran* [*simp*]: $x \in pdom\ f \implies f(x)_p \in pran\ f$
by (*transfer, auto*)

lemma *pfun-app-map* [*simp*]: $(pfun-of-map\ f)(x)_p = (if\ (x \in dom(f))\ then\ the\ (f\ x)\ else\ undefined)$
by (*transfer, simp*)

lemma *pfun-app-upd-1*: $x = y \implies (f(x \mapsto v))_p(y)_p = v$
by (*transfer, simp*)

lemma *pfun-app-upd-2*: $x \neq y \implies (f(x \mapsto v))_p(y)_p = f(y)_p$
by (*transfer, simp*)

lemma *pfun-app-upd* [*simp*]: $(f(x \mapsto e))_p(y)_p = (if\ (x = y)\ then\ e\ else\ f(y)_p)$
by (*metis pfun-app-upd-1 pfun-app-upd-2*)

lemma *pfun-graph-apply* [*simp*]: $rel_apply\ (pfun_graph\ f)\ x = f(x)_p$
by (*transfer, auto simp add: rel-apply-def map-graph-def*)

lemma *pfun-upd-ext* [*simp*]: $x \in \text{pdom}(f) \implies f(x \mapsto f(x)_p)_p = f$
by (*transfer*, *simp add: domIff*)

lemma *pfun-app-add* [*simp*]: $x \in \text{pdom}(g) \implies (f \oplus g)(x)_p = g(x)_p$
by (*transfer*, *auto*)

lemma *pfun-upd-add* [*simp*]: $f \oplus g(x \mapsto v)_p = (f \oplus g)(x \mapsto v)_p$
by (*transfer*, *simp*)

lemma *pfun-upd-add-left* [*simp*]: $x \notin \text{pdom}(g) \implies f(x \mapsto v)_p \oplus g = (f \oplus g)(x \mapsto v)_p$
by (*transfer*, *safe*, *metis domD map-add-upd-left*)

lemma *pfun-app-add'* [*simp*]: $e \notin \text{pdom } g \implies (f \oplus g)(e)_p = f(e)_p$
by (*transfer*, *auto*)

lemma *pfun-upd-twice* [*simp*]: $f(x \mapsto u, x \mapsto v)_p = f(x \mapsto v)_p$
by (*transfer*, *simp*)

lemma *pfun-upd-comm*:
assumes $x \neq y$
shows $f(y \mapsto u, x \mapsto v)_p = f(x \mapsto v, y \mapsto u)_p$
using *assms* **by** (*transfer*, *auto*)

lemma *pfun-upd-comm-linorder* [*simp*]:
fixes $x y :: 'a :: \text{linorder}$
assumes $x < y$
shows $f(y \mapsto u, x \mapsto v)_p = f(x \mapsto v, y \mapsto u)_p$
using *assms* **by** (*transfer*, *auto*)

lemma *pfun-upd-as-ovrd*: $f(k \mapsto v)_p = f \oplus \{k \mapsto v\}_p$
by (*transfer*, *simp*)

lemma *pfun-ovrd-single-upd*: $x \in \text{pdom}(g) \implies f \oplus (\{x\} \triangleleft_p g) = f(x \mapsto g(x)_p)_p$
by (*transfer*, *auto simp add: map-add-def restrict-map-def fun-eq-iff*)

lemma *pfun-app-minus* [*simp*]: $x \notin \text{pdom } g \implies (f - g)(x)_p = f(x)_p$
by (*transfer*, *auto simp add: map-minus-def*)

lemma *pfun-app-empty* [*simp*]: $\{\}_p(x)_p = \text{undefined}$
by (*transfer*, *simp*)

lemma *pfun-app-not-in-dom*:
 $x \notin \text{pdom}(f) \implies f(x)_p = \text{undefined}$
by (*transfer*, *simp*)

lemma *pfun-upd-minus* [*simp*]:
 $x \notin \text{pdom } g \implies (f - g)(x \mapsto v)_p = (f(x \mapsto v)_p - g)$
by (*transfer*, *auto simp add: map-minus-def*)

lemma *pdom-member-minus-iff* [simp]:

$x \notin \text{pdom } g \implies x \in \text{pdom}(f - g) \iff x \in \text{pdom}(f)$
by (*transfer*, *simp add: domIff map-minus-def*)

lemma *psubseteq-pfun-upd1* [intro]:

$\llbracket f \subseteq_p g; x \notin \text{pdom}(g) \rrbracket \implies f \subseteq_p g(x \mapsto v)_p$
by (*transfer*, *auto simp add: map-le-def dom-def*)

lemma *psubseteq-pfun-upd2* [intro]:

$\llbracket f \subseteq_p g; x \notin \text{pdom}(f) \rrbracket \implies f \subseteq_p g(x \mapsto v)_p$
by (*transfer*, *auto simp add: map-le-def dom-def*)

lemma *psubseteq-pfun-upd3* [intro]:

$\llbracket f \subseteq_p g; g(x)_p = v \rrbracket \implies f \subseteq_p g(x \mapsto v)_p$
by (*transfer*, *auto simp add: map-le-def dom-def*)

lemma *psubseteq-dom-subset*:

$f \subseteq_p g \implies \text{pdom}(f) \subseteq \text{pdom}(g)$
by (*transfer*, *auto simp add: map-le-def dom-def*)

lemma *psubseteq-ran-subset*:

$f \subseteq_p g \implies \text{pran}(f) \subseteq \text{pran}(g)$
by (*transfer*, *auto simp add: map-le-def dom-def ran-def*)

lemma *pfun-eq-iff*: $f = g \iff (\text{pdom}(f) = \text{pdom}(g) \wedge (\forall x \in \text{pdom}(f). f(x)_p = g(x)_p))$

by (*safe*, *transfer*, *simp add: map-eq-iff, metis domD option.sel*)

lemma *pfun-leI*: $\llbracket \text{pdom } f \subseteq \text{pdom } g; \forall x \in \text{pdom } f. f(x)_p = g(x)_p \rrbracket \implies f \subseteq_p g$

by (*transfer*, *simp add: map-le-def, safe*)
(metis domD domI option.sel subsetD)

lemma *pfun-le-iff*: $(f \subseteq_p g) = (\text{pdom } f \subseteq \text{pdom } g \wedge (\forall x \in \text{pdom } f. f(x)_p = g(x)_p))$

by (*metis pfun-app-add pfun-leI pfun-override-minus psubseteq-dom-subset*)

14.4 Map laws

lemma *map-pfun-empty* [simp]: $\text{map-pfun } f \ \{\}_p = \{\}_p$

by (*transfer*, *simp*)

lemma *map-pfun'-empty* [simp]: $\text{map-pfun}' f g \ \{\}_p = \{\}_p$

unfolding *map-pfun'-def* **by** (*transfer*, *simp add: comp-def*)

lemma *map-pfun-upd* [simp]: $\text{map-pfun } f (g(x \mapsto v)_p) = (\text{map-pfun } f g)(x \mapsto f v)_p$

by (*simp add: map-pfun-def pfun-upd.rep-eq pfun-upd.abs-eq*)

lemma *map-pfun-apply* [simp]: $x \in \text{pdom } G \implies (\text{map-pfun } F G)(x)_p = F(G(x)_p)$

unfolding *map-pfun-def* **by** (*auto simp add: pfun-app.rep-eq domD pdom.rep-eq*)

lemma *map-pfun-as-pabs*: $\text{map-pfun } f \ g = (\lambda x \in \text{pdom}(g) \cdot f(g(x)_p))$
by (*simp add: pabs-def, transfer, auto simp add: fun-eq-iff restrict-map-def*)

lemma *map-pfun-ovrd* [*simp*]: $\text{map-pfun } f \ (g \oplus h) = (\text{map-pfun } f \ g) \oplus (\text{map-pfun } f \ h)$
by (*simp add: map-pfun-def, transfer, simp add: map-add-def fun-eq-iff*)
(*metis bind.bind-lunit comp-apply map-conv-bind-option option.case-eq-if*)

lemma *map-pfun-dres* [*simp*]: $\text{map-pfun } f \ (A \triangleleft_p g) = A \triangleleft_p \text{map-pfun } f \ g$
by (*simp add: map-pfun-def, transfer, auto simp add: restrict-map-def*)

14.5 Domain laws

lemma *pdom-zero* [*simp*]: $\text{pdom } \perp = \{\}$
by (*transfer, simp*)

lemma *pdom-pId-on* [*simp*]: $\text{pdom } (\text{pId-on } A) = A$
by (*transfer, auto*)

lemma *pdom-plus* [*simp*]: $\text{pdom } (f \oplus g) = \text{pdom } f \cup \text{pdom } g$
by (*transfer, auto*)

lemma *pdom-minus* [*simp*]: $g \leq f \implies \text{pdom } (f - g) = \text{pdom } f - \text{pdom } g$
apply (*transfer, simp add: map-minus-def, safe*)
apply (*meson option.distinct(1)*)
apply (*metis domIff map-le-def option.simps(3)*)
apply *metis*
done

lemma *pdom-inter*: $\text{pdom } (f \cap_p g) \subseteq \text{pdom } f \cap \text{pdom } g$
by (*transfer, auto simp add: dom-def*)

lemma *pdom-comp* [*simp*]: $\text{pdom } (g \circ_p f) = \text{pdom } (f \triangleright_p \text{pdom } g)$
by (*transfer, auto simp add: ran-restrict-map-def*)

lemma *pdom-upd* [*simp*]: $\text{pdom } (f(k \mapsto v)_p) = \text{insert } k \ (\text{pdom } f)$
by (*transfer, simp*)

lemma *pdom-pdom-res* [*simp*]: $\text{pdom } (A \triangleleft_p f) = A \cap \text{pdom}(f)$
by (*transfer, auto*)

lemma *pdom-graph-pfun*: $\text{pdom } (\text{graph-pfun } R) \subseteq \text{Domain } R$
by (*transfer, simp add: graph-map-dom fst-eq-Domain Domain-mk-functional*)

lemma *pdom-functional-graph-pfun* [*simp*]:
 $\text{functional } R \implies \text{pdom } (\text{graph-pfun } R) = \text{Domain } R$
by (*transfer, simp add: dom-map-graph mk-functional-fp*)

lemma *pdom-pran-res-finite* [simp]:
 $finite (pdom f) \implies finite (pdom (f \triangleright_p A))$
by (transfer, auto)

lemma *pdom-pfun-graph-finite* [simp]:
 $finite (pdom f) \implies finite (pfun-graph f)$
by (transfer, simp add: finite-dom-graph)

lemma *pdom-map-pfun* [simp]: $pdom (map-pfun F G) = pdom G$
unfolding map-pfun-def **by** (safe, simp-all; metis dom-map-option-comp pdom.abs-eq pdom.rep-eq)

lemma *rel-comp-pfun*: $R \ O \ pfun-graph f = (\lambda p. (fst p, pfun-app f (snd p))) \text{ ` } (R \triangleright_r pdom(f))$
by (transfer, auto simp add: rel-comp-map rel-ranres-def)

lemma *pdom-empty-iff-dom-empty*: $f = \{\}_p \iff pdom f = \{\}$
by (transfer, simp)

lemma *empty-map-pfunD* [dest!]: $\{\}_p = map-pfun f F \implies F = \{\}_p$
by (metis pdom-empty-iff-dom-empty pdom-map-pfun)

14.6 Range laws

lemma *pran-zero* [simp]: $pran \perp = \{\}$
by (transfer, simp)

lemma *pran-pId-on* [simp]: $pran (pId-on A) = A$
by (transfer, auto simp add: ran-def)

lemma *pran-upd* [simp]: $pran (f(k \mapsto v)_p) = insert v (pran ((- \{k\}) \triangleleft_p f))$
by (transfer, auto simp add: ran-def restrict-map-def)

lemma *pran-pran-res* [simp]: $pran (f \triangleright_p A) = pran(f) \cap A$
by (transfer, auto simp add: ran-restrict-map-def)

lemma *pran-comp* [simp]: $pran (g \circ_p f) = pran (pran f \triangleleft_p g)$
by (transfer, auto simp add: ran-def restrict-map-def)

lemma *pran-finite* [simp]: $finite (pdom f) \implies finite (pran f)$
by (simp add: pdom.rep-eq pran-rep-eq)

lemma *pran-pdom*: $pran F = pfun-app F \text{ ` } pdom F$
by (transfer, force simp add: dom-def)

lemma *pran-override* [simp]: $pran (f \oplus g) = pran(g) \cup pran(pdom(g) -\triangleleft_p f)$
by (transfer, auto simp add: restrict-map-def dom-def map-add-def option.case-eq-if)

14.7 Graph laws

lemma *pfun-graph-inv* [*code-unfold*]: $\text{graph-pfun } (\text{pfun-graph } f) = f$
by (*transfer*, *simp add: mk-functional-fp*)

lemma *pfun-eq-graph*: $f = g \iff \text{pfun-graph } f = \text{pfun-graph } g$
by (*metis pfun-graph-inv*)

lemma *Dom-pfun-graph*: $\text{Domain } (\text{pfun-graph } f) = \text{pdom } f$
by (*transfer*, *simp add: dom-map-graph*)

lemma *Range-pfun-graph*: $\text{Range } (\text{pfun-graph } f) = \text{pran } f$
by (*transfer*, *auto simp add: ran-map-graph[THEN sym] ran-def*)

lemma *card-pfun-graph*: $\text{finite } (\text{pdom } f) \implies \text{card } (\text{pfun-graph } f) = \text{card } (\text{pdom } f)$
by (*transfer*, *simp add: card-map-graph dom-map-graph finite-dom-graph*)

lemma *functional-pfun-graph* [*simp*]: $\text{functional } (\text{pfun-graph } f)$
by (*transfer*, *simp*)

lemma *pfun-graph-zero*: $\text{pfun-graph } \perp = \{\}$
by (*transfer*, *simp add: map-graph-def*)

lemma *pfun-graph-pId-on*: $\text{pfun-graph } (\text{pId-on } A) = \text{Id-on } A$
by (*transfer*, *auto simp add: map-graph-def*)

lemma *pfun-graph-minus*: $\text{pfun-graph } (f - g) = \text{pfun-graph } f - \text{pfun-graph } g$
by (*transfer*, *simp add: map-graph-minus*)

lemma *pfun-graph-inter*: $\text{pfun-graph } (f \cap_p g) = \text{pfun-graph } f \cap \text{pfun-graph } g$
apply (*transfer*, *simp add: map-graph-def*, *safe*, *simp-all add: domIff*)
apply (*metis option.discI*)
apply (*metis ifSomeE*)
done

lemma *pfun-graph-domres*: $\text{pfun-graph } (A \triangleleft_p f) = (A \triangleleft_r \text{pfun-graph } f)$
by (*transfer*, *simp add: rel-domres-math-def map-graph-def restrict-map-def*, *metis option.simps(3)*)

lemma *pfun-graph-override*: $\text{pfun-graph } (f \oplus g) = \text{pfun-graph } f \oplus \text{pfun-graph } g$
by (*transfer*, *simp add: map-add-def oplus-set-def rel-domres-def map-graph-def*
option.case-eq-if, *safe*, *simp-all*)
(metis option.collapse)+

lemma *pfun-graph-update*: $\text{pfun-graph } (f(k \mapsto v)_p) = \text{insert } (k, v) ((-\{k\}) \triangleleft_r \text{pfun-graph } f)$
by (*transfer*, *simp add: map-graph-update*)

lemma *pfun-graph-comp*: $\text{pfun-graph } (f \circ_p g) = \text{pfun-graph } g \circ \text{pfun-graph } f$
by (*transfer*, *simp add: map-graph-comp*)

lemma *comp-pfun-graph*: $\text{pfun-graph } f \circ \text{pfun-graph } g = \text{pfun-graph } (g \circ_p f)$
by (*simp add: pfun-graph-comp*)

lemma *pfun-graph-pfun-inv*: $\text{pfun-inj } f \implies \text{pfun-graph } (\text{pfun-inv } f) = (\text{pfun-graph } f)^{-1}$
by (*transfer, simp add: map-graph-map-inv*)

lemma *pfun-graph-pabs*: $\text{pfun-graph } (\lambda x \in A \mid P x \cdot f x) = \{(k, v). k \in A \wedge P k \wedge v = f k\}$
unfolding *pabs-def* **by** (*transfer, auto simp add: map-graph-def restrict-map-def*)

lemma *pfun-graph-le-iff*:
 $\text{pfun-graph } f \subseteq \text{pfun-graph } g \iff f \subseteq_p g$
by (*simp add: inf.order-iff pfun-eq-graph pfun-graph-inter*)

lemma *pfun-member-iff* [*simp*]: $(k, v) \in \text{pfun-graph } f \iff (k \in \text{pdom}(f) \wedge \text{pfun-app } f k = v)$
by (*transfer, auto simp add: map-graph-def*)

lemma *pfun-graph-rres*: $\text{pfun-graph } (f \triangleright_p A) = \text{pfun-graph } f \triangleright_r A$
by (*transfer, auto simp add: map-graph-def rel-ranres-def ran-restrict-map-def*)

14.8 Graph Transfer Setup

definition *cr-pfung* :: $('a \leftrightarrow 'b) \Rightarrow 'a \leftrightarrow 'b \Rightarrow \text{bool}$ **where**
 $\text{cr-pfung } f g = (f = \text{pfun-graph } g)$

lemma *Domainp-cr-pfung* [*transfer-domain-rule*]: *Domainp cr-pfung = functional*
unfolding *cr-pfung-def Domainp-iff*[*abs-def*]
by (*auto simp add: fun-eq-iff, metis graph-map-inv pfun-graph.abs-eq*)

bundle *pfun-graph-lifting*
begin

unbundle *lifting-syntax*

lemma *bi-unique-cr-pfung* [*transfer-rule*]: *bi-unique cr-pfung*
unfolding *cr-pfung-def bi-unique-def* **by** (*auto simp add: pfun-eq-graph*)

lemma *right-total-cr-pfung* [*transfer-rule*]: *right-total cr-pfung*
unfolding *cr-pfung-def right-total-def* **by** *simp*

lemma *cr-pfung-empty* [*transfer-rule*]: *cr-pfung {} {}_p*
unfolding *cr-pfung-def* **by** (*simp add: pfun-graph-zero*)

lemma *cr-pfung-dom* [*transfer-rule*]: $(\text{cr-pfung } ==> (=)) \text{Domain } \text{pdom}$
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: Dom-pfun-graph*)

lemma *cr-pfung-ran* [*transfer-rule*]: (*cr-pfung* \implies (=)) *Range pran*
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: Range-pfun-graph*)

lemma *cr-pfung-id* [*transfer-rule*]: ((=) \implies *cr-pfung*) *Id-on pId-on*
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-pId-on*)

lemma *cr-pfung-ovrd* [*transfer-rule*]: (*cr-pfung* \implies *cr-pfung* \implies *cr-pfung*)
 (\oplus) (\oplus)
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-override*)

lemma *cr-pfung-ovrd* [*transfer-rule*]: (*cr-pfung* \implies *cr-pfung* \implies *cr-pfung*)
 (O) $(\lambda x y. y \circ_p x)$
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-comp*)

lemma *cr-pfung-dres* [*transfer-rule*]: ((=) \implies *cr-pfung* \implies *cr-pfung*) (\triangleleft_r)
 (\triangleleft_p)
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-domres*)

lemma *cr-pfung-rres* [*transfer-rule*]: (*cr-pfung* \implies (=) \implies *cr-pfung*) (\triangleright_r)
 (\triangleright_p)
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-rres*)

lemma *cr-pfung-le* [*transfer-rule*]: (*cr-pfung* \implies *cr-pfung* \implies (=)) (\leq) (\leq)
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-le-iff*)

lemma *cr-pfung-update* [*transfer-rule*]: (*cr-pfung* \implies (=) \implies (=) \implies *cr-pfung*)
 $(\lambda f k v. \text{insert } (k, v) ((- \{k\}) \triangleleft_r f))$ *pfun-upd*
unfolding *rel-fun-def cr-pfung-def* **by** (*simp add: pfun-graph-update*)

end

14.9 Partial Injections

lemma *pfun-inj-empty* [*simp*]: *pfun-inj* $\{\}_p$
by (*transfer, simp*)

lemma *pinj-pId-on* [*simp*]: *pfun-inj* (*pId-on A*)
by (*transfer, auto simp add: inj-on-def*)

lemma *pfun-inj-inv-inv*: *pfun-inj f* \implies *pfun-inv* (*pfun-inv f*) = *f*
by (*transfer, simp*)

lemma *pfun-inj-inv*: *pfun-inj f* \implies *pfun-inj* (*pfun-inv f*)
by (*transfer, simp add: inj-map-inv*)

lemma *f-pfun-inv-f-apply*: $\llbracket \text{pfun-inj } f; x \in \text{pran } f \rrbracket \implies f(\text{pfun-inv } f(x)_p)_p = x$
by (*transfer, auto simp add: ranI*)

lemma *pfun-inv-f-f-apply*: $\llbracket \text{pfun-inj } f; x \in \text{pdom } f \rrbracket \implies \text{pfun-inv } f(f(x)_p)_p = x$

by (transfer, auto simp add: ranI)

lemma pfun-inj-upd: $\llbracket \text{pfun-inj } f; v \notin \text{pran } f \rrbracket \Longrightarrow \text{pfun-inj } (f(k \mapsto v))_p$
 apply (transfer, simp-all, safe)
 apply (meson f-the-inv-into-f inj-on-fun-updI)
 apply fastforce
 done

lemma pfun-inj-dres: $\text{pfun-inj } f \Longrightarrow \text{pfun-inj } (A \triangleleft_p f)$
 by (transfer, auto simp add: inj-on-def)

lemma pfun-inj-rres: $\text{pfun-inj } f \Longrightarrow \text{pfun-inj } (f \triangleright_p A)$
 by (transfer, metis dom-map-inv inj-map-inv map-inv-dom-res map-inv-map-inv
 map-inv-ran-res ran-ran-restrict restrict-map-inj-on)

lemma pfun-inj-comp: $\llbracket \text{pfun-inj } f; \text{pfun-inj } g \rrbracket \Longrightarrow \text{pfun-inj } (f \circ_p g)$
 by (transfer, auto simp add: inj-on-def map-comp-def option.case-eq-if dom-def)

lemma pfun-inj-ovrd: $\llbracket \text{pfun-inj } f; \text{pfun-inj } g; \text{pran } f \cap \text{pran } g = \{\} \rrbracket \Longrightarrow \text{pfun-inj } (f \oplus g)$
 by (transfer, force simp add: inj-on-def map-add-def option.case-eq-if dom-def)

lemma pfun-inv-dres: $\text{pfun-inj } f \Longrightarrow \text{pfun-inv } (A \triangleleft_p f) = (\text{pfun-inv } f) \triangleright_p A$
 by (transfer, simp add: map-inv-dom-res)

lemma pfun-inv-rres: $\text{pfun-inj } f \Longrightarrow \text{pfun-inv } (f \triangleright_p A) = A \triangleleft_p (\text{pfun-inv } f)$
 by (transfer, simp add: map-inv-ran-res)

lemma pfun-inv-empty [simp]: $\text{pfun-inv } \{\}_p = \{\}_p$
 by (transfer, simp)

lemma pdom-pfun-inv [simp]: $\text{pdom } (\text{pfun-inv } f) = \text{pran } f$
 by (simp add: pran-rep-eq, transfer, simp)

lemma pfun-inv-add:
 assumes $\text{pfun-inj } f \text{ pfun-inj } g \text{ pran } f \cap \text{pran } g = \{\}$
 shows $\text{pfun-inv } (f \oplus g) = (\text{pfun-inv } f \triangleright_p (- \text{pdom } g)) \oplus \text{pfun-inv } g$
 using assms by (simp add: pran-rep-eq, transfer, safe, meson map-inv-add)

lemma pfun-inv-upd:
 assumes $\text{pfun-inj } f \text{ } v \notin \text{pran } f$
 shows $\text{pfun-inv } (f(k \mapsto v))_p = (\text{pfun-inv } ((- \{k\}) \triangleleft_p f))(v \mapsto k)_p$
 using assms by (simp add: pran-rep-eq, transfer, meson map-inv-upd)

14.10 Domain restriction laws

lemma pdom-res-zero [simp]: $A \triangleleft_p \{\}_p = \{\}_p$
 by (transfer, auto)

lemma *pdom-res-empty* [*simp*]:

$$\{\} \triangleleft_p f = \{\}_p$$

by (*transfer*, *auto*)

lemma *pdom-res-pdom* [*simp*]:

$$pdom(f) \triangleleft_p f = f$$

by (*transfer*, *auto*)

lemma *pdom-res-UNIV* [*simp*]: $UNIV \triangleleft_p f = f$

by (*transfer*, *auto*)

lemma *pdom-res-alt-def*: $A \triangleleft_p f = f \circ_p pId\text{-on } A$

by (*transfer*, *rule ext*, *auto simp add: restrict-map-def*)

lemma *pdom-res-upd-in* [*simp*]:

$$k \in A \implies A \triangleleft_p f(k \mapsto v)_p = (A \triangleleft_p f)(k \mapsto v)_p$$

by (*transfer*, *auto*)

lemma *pdom-res-upd-out* [*simp*]:

$$k \notin A \implies A \triangleleft_p f(k \mapsto v)_p = A \triangleleft_p f$$

by (*transfer*, *auto*)

lemma *pfun-pdom-antires-upd* [*simp*]:

$$k \in A \implies ((- A) \triangleleft_p m)(k \mapsto v)_p = ((- (A - \{k\})) \triangleleft_p m)(k \mapsto v)_p$$

by (*transfer*, *simp*)

lemma *pdom-antires-insert-notin* [*simp*]:

$$k \notin pdom(f) \implies (- \text{insert } k A) \triangleleft_p f = (- A) \triangleleft_p f$$

by (*transfer*, *auto simp add: restrict-map-def*)

lemma *pdom-res-override* [*simp*]: $A \triangleleft_p (f \oplus g) = (A \triangleleft_p f) \oplus (A \triangleleft_p g)$

by (*simp add: pdom-res-alt-def pfun-override-dist-comp*)

lemma *pdom-res-minus* [*simp*]: $A \triangleleft_p (f - g) = (A \triangleleft_p f) - g$

by (*transfer*, *auto simp add: map-minus-def restrict-map-def*)

lemma *pdom-res-swap*: $(A \triangleleft_p f) \triangleright_p B = A \triangleleft_p (f \triangleright_p B)$

by (*transfer*, *auto simp add: restrict-map-def ran-restrict-map-def*)

lemma *pdom-res-twice* [*simp*]: $A \triangleleft_p (B \triangleleft_p f) = (A \cap B) \triangleleft_p f$

by (*transfer*, *auto simp add: Int-commute*)

lemma *pdom-res-comp* [*simp*]: $A \triangleleft_p (g \circ_p f) = g \circ_p (A \triangleleft_p f)$

by (*simp add: pdom-res-alt-def pfun-comp-assoc*)

lemma *pdom-res-apply* [*simp*]:

$$x \in A \implies (A \triangleleft_p f)(x)_p = f(x)_p$$

by (*transfer*, *auto*)

lemma *pdom-res-frame-update* [simp]:

$$\llbracket x \in \text{pdom}(f); (-\{x\}) \triangleleft_p f = (-\{x\}) \triangleleft_p g \rrbracket \implies g(x \mapsto f(x)_p)_p = f$$

by (*transfer*, *metis* (*mono-tags*, *opaque-lifting*) *domIff* *fun-upd-triv* *fun-upd-upd* *option.exhaust-sel* *restrict-complement-singleton-eq*)

lemma *pdres-rres-commute*: $A \triangleleft_p (P \triangleright_p B) = (A \triangleleft_p P) \triangleright_p B$

by (*transfer*, *simp* *add*: *map-dres-rres-commute*)

lemma *pdom-nres-disjoint*: $\text{pdom}(f) \cap A = \{\} \implies (- A) \triangleleft_p f = f$

by (*metis* *disjoint-eq-subset-Compl* *inf.absorb2* *pdom-res-pdom* *pdom-res-twice*)

lemma *pranres-pdom* [simp]: $\text{pdom}(f \triangleright_p A) \triangleleft_p f = f \triangleright_p A$

by (*transfer*, *simp* *add*: *restrict-map-def* *fun-eq-iff* *ran-restrict-map-def* *option.case-eq-if*)
(metis (*full-types*, *lifting*) *bind.bind-lunit* *bind.bind-lzero* *domIff* *not-None-eq*)

lemma *pdom-pranres* [simp]: $\text{pdom}(f \triangleright_p A) \subseteq \text{pdom} f$

by (*metis* *inf.absorb-iff1* *inf commute* *pdom-pdom-res* *pdom-res-pdom* *pdom-res-swap*)

lemma *pfun-split-domain*: $A \triangleleft_p f \oplus (- A) \triangleleft_p f = f$

by (*transfer*, *auto* *simp* *add*: *restrict-map-def* *map-add-def* *fun-eq-iff* *option.case-eq-if*)

14.11 Range restriction laws

lemma *pran-res-UNIV* [simp]: $f \triangleright_p \text{UNIV} = f$

by (*transfer*, *simp* *add*: *ran-restrict-map-def*)

lemma *pran-res-empty* [simp]: $f \triangleright_p \{\} = \{\}_p$

by (*transfer*, *auto* *simp* *add*: *ran-restrict-map-def*)

lemma *pran-res-zero* [simp]: $\{\}_p \triangleright_p A = \{\}_p$

by (*transfer*, *auto* *simp* *add*: *ran-restrict-map-def*)

lemma *pran-res-upd-1* [simp]: $v \in A \implies f(x \mapsto v)_p \triangleright_p A = (f \triangleright_p A)(x \mapsto v)_p$

by (*transfer*, *auto* *simp* *add*: *ran-restrict-map-def*)

lemma *pran-res-upd-2* [simp]: $v \notin A \implies f(x \mapsto v)_p \triangleright_p A = ((-\{x\}) \triangleleft_p f) \triangleright_p A$

by (*transfer*, *auto* *simp* *add*: *ran-restrict-map-def*)

lemma *pran-res-twice* [simp]: $f \triangleright_p A \triangleright_p B = f \triangleright_p (A \cap B)$

by (*transfer*, *simp*)

lemma *pran-res-alt-def*: $f \triangleright_p A = \text{pId-on } A \circ_p f$

by (*transfer*, *rule* *ext*, *auto* *simp* *add*: *ran-restrict-map-def*)

lemma *pran-res-override*: $(f \oplus g) \triangleright_p A \subseteq_p (f \triangleright_p A) \oplus (g \triangleright_p A)$

by (*transfer*, *auto* *simp* *add*: *map-add-def* *ran-restrict-map-def* *map-le-def* *option.case-eq-if*)

lemma *pcomp-ranres* [*simp*]: $(f \circ_p g) \triangleright_p A = (f \triangleright_p A) \circ_p g$
by (*simp add: pfun-comp-assoc pran-res-alt-def*)

lemma *pranres-le*: $A \subseteq B \implies f \triangleright_p A \leq f \triangleright_p B$
by (*simp add: pfun-graph-le-iff[THEN sym] pfun-graph-comp pfun-graph-rres rel-comp-mono rel-ranres-le*)

lemma *pranres-neg-ran* [*simp*]: $P \triangleright_p - \text{pran } P = \{\}_p$
by (*transfer, simp add: ran-restrict-map-def fun-eq-iff option.case-eq-if bind-eq-None-conv, meson option.exhaust-sel*)

14.12 Preimage Laws

lemma *ppreimageI* [*intro!*]: $\llbracket x \in \text{pdom}(f); f(x)_p \in A \rrbracket \implies x \in \text{pdom}(f \triangleright_p A)$
by (*metis (full-types) insertI1 pdom-upd pfun-upd-ext pran-res-upd-1*)

lemma *ppreimageD*: $x \in \text{pdom}(f \triangleright_p A) \implies \exists y \in A. f(x)_p = y$
by (*transfer, auto simp add: ran-restrict-map-def*)

lemma *ppreimageE* [*elim!*]: $\llbracket x \in \text{pdom}(f \triangleright_p A); \bigwedge y. \llbracket x \in \text{pdom}(f); y \in A; f(x)_p = y \rrbracket \implies P \rrbracket \implies P$
by (*metis (no-types) pdom-pranres ppreimageD subsetD*)

lemma *mem-pimage-iff*: $x \in \text{pran}(A \triangleleft_p f) \longleftrightarrow (\exists y \in A \cap \text{pdom}(f). f(y)_p = x)$
by (*auto simp add: pran-pdom*)

lemma *ppreimage-inter* [*simp*]: $\text{pdom}(f \triangleright_p (A \cap B)) = \text{pdom}(f \triangleright_p A) \cap \text{pdom}(f \triangleright_p B)$
by *fastforce*

14.13 Composition

lemma *pcomp-apply* [*simp*]: $\llbracket x \in \text{pdom}(g) \rrbracket \implies (f \circ_p g)(x)_p = f(g(x)_p)_p$
by (*transfer, auto*)

lemma *pcomp-mono*: $\llbracket f \leq f'; g \leq g' \rrbracket \implies f \circ_p g \leq f' \circ_p g'$
by (*simp add: pfun-graph-le-iff[THEN sym] pfun-graph-comp relcomp-mono*)

lemma *pdom-UNIV-comp*: $\text{pdom } f = \text{UNIV} \implies \text{pdom}(f \circ_p g) = \text{pdom } g$
by *simp*

14.14 Entries

lemma *pfun-entries-empty* [*simp*]: $\text{pfun-entries } \{\} f = \{\}_p$
by (*transfer, simp*)

lemma *pdom-pfun-entries* [*simp*]: $\text{pdom}(\text{pfun-entries } A f) = A$
by (*transfer, auto*)

lemma *pran-pfun-entries* [*simp*]: $\text{pran } (\text{pfun-entries } A f) = f \cdot A$
by (*transfer*, *simp add: ran-def*, *auto*)

lemma *pfun-entries-apply-1* [*simp*]:
 $x \in d \implies (\text{pfun-entries } d f)(x)_p = f x$
by (*transfer*, *auto*)

lemma *pfun-entries-apply-2* [*simp*]:
 $x \notin d \implies (\text{pfun-entries } d f)(x)_p = \text{undefined}$
by (*transfer*, *auto*)

lemma *pdom-res-entries*: $A \triangleleft_p \text{pfun-entries } B f = \text{pfun-entries } (A \cap B) f$
by (*transfer*, *auto simp add: fun-eq-iff restrict-map-def*)

lemma *pfuse-empty* [*simp*]: $\text{pfuse } \{\}_p g = \{\}_p$
by (*simp add: pfuse-def*)

lemma *pfuse-app* [*simp*]:
 $\llbracket e \in \text{pdom } F; e \in \text{pdom } G \rrbracket \implies (\text{pfuse } F G)(e)_p = (F(e)_p, G(e)_p)$
by (*metis (no-types, lifting) IntI pfun-entries-apply-1 pfuse-def*)

lemma *pdom-pfuse* [*simp*]: $\text{pdom } (\text{pfuse } f g) = \text{pdom}(f) \cap \text{pdom}(g)$
by (*auto simp add: pfuse-def*)

lemma *pfuse-upd*:
 $\text{pfuse } (f(k \mapsto v))_p g =$
(if $k \in \text{pdom } g$ *then* $(\text{pfuse } ((-\{k\}) \triangleleft_p f) g)(k \mapsto (v, \text{pfun-app } g k))_p$ *else* $\text{pfuse } f g$
by (*simp add: pfuse-def, transfer, auto simp add: fun-eq-iff*)

14.15 Lambda abstraction

lemma *pabs-cong*:
assumes $A = B \wedge x. x \in A \implies P(x) = Q(x) \wedge x. \llbracket x \in A; P x \rrbracket \implies F(x) = G(x)$
shows $(\lambda x \in A | P x \cdot F(x)) = (\lambda x \in B | Q x \cdot G(x))$
using *assms unfolding pabs-def*
by (*transfer, auto simp add: restrict-map-def fun-eq-iff*)

lemma *pabs-apply* [*simp*]: $\llbracket y \in A; P y \rrbracket \implies (\lambda x \in A | P x \cdot f x) (y)_p = f y$
by (*simp add: pabs-def*)

lemma *pdom-pabs* [*simp*]: $\text{pdom } (\lambda x \in A | P x \cdot f x) = A \cap \text{Collect } P$
by (*simp add: pabs-def*)

lemma *pran-pabs* [*simp*]: $\text{pran } (\lambda x \in A | P x \cdot f x) = \{f x | x. x \in A \wedge P x\}$
unfolding *pabs-def*
by (*transfer, auto simp add: ran-def restrict-map-def*)

lemma *pabs-eta* [*simp*]: $(\lambda x \in \text{pdom}(f) \cdot f(x)_p) = f$
by (*simp add: pabs-def, transfer, auto simp add: fun-eq-iff domIff restrict-map-def*)

lemma *pabs-id* [*simp*]: $(\lambda x \in A \mid P x \cdot x) = \text{pId-on } \{x \in A. P x\}$
unfolding *pabs-def* **by** (*transfer, simp add: restrict-map-def*)

lemma *pfun-entries-pabs*: $\text{pfun-entries } A f = (\lambda x \in A \cdot f x)$
by (*simp add: pabs-def, transfer, auto*)

lemma *pabs-empty* [*simp*]: $(\lambda x \in \{\} \cdot f(x)) = \{\}_p$
by (*simp add: pabs-def*)

lemma *pabs-insert-maplet*: $(\lambda x \in \text{insert } y A \cdot f(x)) = (\lambda x \in A \cdot f(x)) \oplus \{y \mapsto f(y)\}_p$
by (*simp add: pabs-def, transfer, auto simp add: restrict-map-insert*)

This rule can perhaps be simplified

lemma *pcomp-pabs*:

$(\lambda x \in A \mid P x \cdot f x) \circ_p (\lambda x \in B \mid Q x \cdot g x)$
 $= (\lambda x \in \text{pdom } (\text{pabs } B Q g \triangleright_p (A \cap \text{Collect } P)) \cdot (f (g x)))$

proof –

have $\text{pabs } A P f \circ_p \text{pabs } B Q g = (\lambda x \in \text{pdom } (\text{pabs } A P f \circ_p \text{pabs } B Q g) \cdot$
 $(\text{pfun-app } (\text{pabs } A P f \circ_p \text{pabs } B Q g)) x)$

by (*rule pabs-eta[THEN sym, of $(\lambda x \in A \mid P x \cdot f x) \circ_p (\lambda x \in B \mid Q x \cdot g x)$]*)

also have $\dots = (\lambda x \in \text{pdom } (\text{pabs } B Q g \triangleright_p (A \cap \text{Collect } P)) \cdot (f (g x)))$

unfolding *pabs-def*

by (*transfer, auto simp add: restrict-map-def map-comp-def ran-restrict-map-def fun-eq-iff*)

finally show *?thesis* .

qed

lemma *pabs-rres* [*simp*]: $\text{pabs } A P f \triangleright_p B = \text{pabs } A (\lambda x. P x \wedge f x \in B) f$
by (*simp add: pabs-def, transfer, auto simp add: ran-restrict-map-def restrict-map-def*)

lemma *pabs-simple-comp* [*simp*]: $(\lambda x \cdot f x) \circ_p g(k \mapsto v)_p = ((\lambda x \cdot f x) \circ_p g)(k \mapsto f v)_p$
by (*simp add: pabs-def, transfer, auto*)

lemma *pabs-comp*: $(\lambda x \in A \cdot f x) \circ_p g = (\lambda x \in \text{pdom } (g \triangleright_p A) \cdot f (\text{pfun-app } g x))$
by (*metis pabs-eta pcomp-pabs pdom-pId-on pdom-pabs*)

lemma *map-pfun-pabs* [*simp*]: $\text{map-pfun } f (\lambda x \in A \mid B(x) \cdot g(x)) = (\lambda x \in A \mid B(x) \cdot f(g(x)))$
by (*simp add: pfun-eq-iff*)

14.16 Singleton Partial Functions

definition $pfun-singleton :: ('a \leftrightarrow 'b) \Rightarrow bool$ **where**
 $pfun-singleton f = (\exists k v. f = \{k \mapsto v\}_p)$

lemma $pfun-singleton-dom: pfun-singleton f \longleftrightarrow (\exists k. pdom(f) = \{k\})$
by ($simp$ $add: pfun-singleton-def, safe, simp-all$)
($metis insertI1 override-lzero pdom-res-pdom pfun-ovrd-single-upd$)

lemma $pfun-singleton-maplet [simp]:$
 $pfun-singleton \{k \mapsto v\}_p$
by ($auto simp add: pfun-singleton-def$)

definition $dest-pfsingle :: ('a \leftrightarrow 'b) \Rightarrow 'a \times 'b$ **where**
 $dest-pfsingle f = (THE (k, v). f = \{k \mapsto v\}_p)$

lemma $dest-pfsingle-maplet [simp]: dest-pfsingle \{k \mapsto v\}_p = (k, v)$
unfolding $dest-pfsingle-def$
by ($rule the-equality, simp-all add: prod.case-eq-if$)
($metis fst-eqD pdom-res-zero pdom-upd pdom-zero pran-upd pran-zero prod.expand singleton-insert-inj-eq sndI$)

14.17 Summation

definition $pfun-sum :: ('k, 'v::comm-monoid-add) pfun \Rightarrow 'v$ **where**
 $pfun-sum f = sum (pfun-app f) (pdom f)$

lemma $pfun-sum-empty [simp]: pfun-sum \{\}_p = 0$
by ($simp add: pfun-sum-def$)

lemma $pfun-sum-upd-1:$
assumes $finite(pdom(m)) k \notin pdom(m)$
shows $pfun-sum (m(k \mapsto v)_p) = pfun-sum m + v$
proof –
from $assms(2)$ **have** $(\sum x \in pdom m. if k = x then v else m(x)_p) = sum (pfun-app m) (pdom m)$
by ($auto intro!: sum.cong$)
thus $?thesis$
by ($simp-all add: pfun-sum-def assms add.commute cong: sum.cong$)
qed

lemma $pfun-sums-upd-2:$
assumes $finite(pdom(m))$
shows $pfun-sum (m(k \mapsto v)_p) = pfun-sum ((- \{k\}) \triangleleft_p m) + v$
proof ($cases k \notin pdom(m)$)
case $True$
then show $?thesis$
by ($simp add: pfun-sum-upd-1 assms$)
next
case $False$

then show *?thesis*
using *assms pfun-sum-upd-1* [of $((- \{k\}) \triangleleft_p m) k v$]
by (*simp add: pfun-sum-upd-1*)
qed

lemma *pfun-sum-dom-res-insert* [*simp*]:
assumes $x \in \text{pdom } f \ x \notin A$ *finite A*
shows $\text{pfun-sum } ((\text{insert } x A) \triangleleft_p f) = f(x)_p + \text{pfun-sum } (A \triangleleft_p f)$
using *assms* **by** (*simp add: pfun-sum-def*)

lemma *pfun-sum-pdom-res*:
fixes $f :: ('a, 'b :: \text{ab-group-add}) \text{ pfun}$
assumes *finite(pdom f)*
shows $\text{pfun-sum } (A \triangleleft_p f) = \text{pfun-sum } f - (\text{pfun-sum } ((- A) \triangleleft_p f))$
proof –
have $1: A \cap \text{pdom}(f) = \text{pdom}(f) - (\text{pdom}(f) - A)$
by (*auto*)
have $2: \text{sum } (\text{pfun-app } f) (\text{pdom } f) - \text{sum } (\text{pfun-app } f) (\text{pdom } f - A) =$
 $\text{sum } (\text{pfun-app } f) (\text{pdom } f) - \text{sum } (\text{pfun-app } f) (- A \cap \text{pdom } f)$
by (*auto simp add: sum-diff Int-commute boolean-algebra-class.diff-eq assms*)
show *?thesis*
by (*simp add: pfun-sum-def 1 2 sum-diff assms*)
qed

lemma *pfun-sum-pdom-antires* [*simp*]:
fixes $f :: ('a, 'b :: \text{ab-group-add}) \text{ pfun}$
assumes *finite(pdom f)*
shows $\text{pfun-sum } ((- A) \triangleleft_p f) = \text{pfun-sum } f - \text{pfun-sum } (A \triangleleft_p f)$
using *assms*
by (*subst pfun-sum-pdom-res, simp-all add: assms*)

14.18 Conversions

definition *list-pfun* :: $'a \text{ list} \Rightarrow \text{nat} \leftrightarrow 'a$ **where**
 $\text{list-pfun } xs = (\lambda i \mid 0 < i \wedge i \leq \text{length } xs \cdot xs ! (i-1))$

lemma *pdom-list-pfun* [*simp*]: $\text{pdom } (\text{list-pfun } xs) = \{1..\text{length } xs\}$
by (*auto simp add: list-pfun-def*)

lemma *pran-list-pfun* [*simp*]: $\text{pran } (\text{list-pfun } xs) = \text{set } xs$
by (*simp add: list-pfun-def, safe, simp-all*)
(metis One-nat-def Suc-leI diff-Suc-1 in-set-conv-nth zero-less-Suc)

lemma *pfun-app-list-pfun*: $\llbracket 0 < i; i \leq \text{length } xs \rrbracket \Longrightarrow (\text{list-pfun } xs)(i)_p = xs ! (i - 1)$
by (*simp add: list-pfun-def*)

lemma *pfun-graph-list-pfun*: $\text{pfun-graph } (\text{list-pfun } xs) = (\lambda i. (i, xs ! (i - 1))) \text{ ` } \{1..\text{length } xs\}$

by (simp add: list-pfun-def pfun-graph-pabs, auto)

lemma range-list-pfun:

range list-pfun = {f :: nat → 'a. ∃ i. pdom(f) = {1..i}}

proof (rule set-eqI, rule iffI)

fix f :: nat → 'a

assume f ∈ range list-pfun

thus f ∈ {f. ∃ i. pdom f = {1..i}}

by auto

next

fix f :: nat → 'a

assume f ∈ {f. ∃ i. pdom f = {1..i}}

thus f ∈ range list-pfun

proof (unfold list-pfun-def pabs-def image-def, transfer)

fix f :: nat ⇒ 'a option

assume f ∈ {f. ∃ i. dom f = {1..i}}

then obtain i where i: dom f = {1..i}

by blast

hence 1: $\bigwedge x. \text{dom } f = \{\text{Suc } 0..i\} \implies 0 < x \implies x \leq i \implies f x = \text{Some } (the (f x))$

by (metis Suc-leI atLeastAtMost-iff domIff option.exhaust-sel)

with i have 2: f 0 = None

using atLeastAtMost-iff not-one-le-zero by blast

from i 1 2 have f: f = (λxa. Some (map (the ∘ f ∘ nat) [1..int i] ! (xa - Suc 0))) |' {ia. 0 < ia ∧ ia ≤ length (map (the ∘ f ∘ nat) [1..int i])}

by (auto simp add: fun-eq-iff restrict-map-def)

have 3: (λxa. Some (map (the ∘ f ∘ nat) [1..int i] ! (xa - Suc 0))) |' {ia. 0 < ia ∧ ia ≤ length (map (the ∘ f ∘ nat) [1..int i])} ∈ {y. ∃ x ∈ UNIV. y = (λxa. if xa ∈ UNIV then Some (x ! (xa - 1)) else None) |' (UNIV ∩ {i. 0 < i ∧ i ≤ length x})}

by (auto simp add: fun-eq-iff restrict-map-def)

show f ∈ {y. ∃ x ∈ UNIV. y = (λxa. if xa ∈ UNIV then Some (x ! (xa - 1)) else None) |' (UNIV ∩ {i. 0 < i ∧ i ≤ length x})}

using 3 f by auto

qed

qed

lemma list-pfun-le-iff-prefix [simp]: list-pfun xs ≤ list-pfun ys ⟷ xs ≤ ys

apply (simp add: pfun-le-iff, safe, simp-all add: pfun-app-list-pfun list-le-prefix-iff)

apply (metis Suc-leI Suc-le-mono atLeastAtMost-iff diff-Suc-Suc le0 minus-nat.diff-0)

apply (metis Suc-le-D Suc-le-eq diff-Suc-Suc diff-zero)

done

lemma pfun-upd-le-iff: (f(k ↦ v)_p ⊆_p g) = (k ∈ pdom g ∧ g(k)_p = v ∧ (− {k}) <sub>p f ⊆_p g)

by (auto simp add: pfun-le-iff)

lemma pfun-upd-le-pfun-upd: (f(k ↦ v)_p ⊆_p g(k ↦ v)_p) = ((− {k}) <sub>p f ⊆_p (− {k}) <sub>p g)

by (auto simp add: pfun-le-iff)

14.19 Partial Function Lens

definition *pfun-lens* :: 'a ⇒ ('b ⇒ ('a, 'b) pfun) **where**
[lens-defs]: *pfun-lens* i = (| *lens-get* = λ s. s(i)_p, *lens-put* = λ s v. s(i ↦ v)_p |)

lemma *pfun-lens-mwb* [*simp*]: *mwb-lens* (*pfun-lens* i)
 by (unfold-locales, simp-all add: *pfun-lens-def*)

lemma *pfun-lens-src*: $\mathcal{S}_{\text{pfun-lens } i} = \{f. i \in \text{pdom}(f)\}$
 by (simp add: *lens-defs lens-source-def, transfer, force*)

lemma *lens-override-pfun-lens*:
 $x \in \text{pdom}(g) \Rightarrow f \oplus_L g \text{ on } \text{pfun-lens } x = f \oplus (\{x\} \triangleleft_p g)$
 by (simp add: *lens-defs pfun-ovrd-single-upd*)

14.20 Prism Functions

We can use prisms to index a type and construct partial functions.

definition *prism-fun* :: ('a ⇒_Δ 'e) ⇒ 'a set ⇒ ('a ⇒ bool × 'b) ⇒ ('e ↦ 'b)
where [*code-unfold*]: *prism-fun* c A PB = (λ x ∈ build_c 'A | fst (PB (the (match_c x))) · snd (PB (the (match_c x))))

definition *prism-fun-upd* :: ('e ↦ 'b) ⇒ ('a ⇒_Δ 'e) ⇒ 'a set ⇒ ('a ⇒ bool × 'b) ⇒ ('e ↦ 'b)
where [*code-unfold*]: *prism-fun-upd* F c A PB = F ⊕ *prism-fun* c A PB

nonterminal *prism-maplet* and *prism-maplets*

syntax

-prism-maplet :: id ⇒ ptrn ⇒ logic ⇒ logic ⇒ logic ⇒ *prism-maplet* (-{-
 ∈ -/ -} ⇒ -)
-prism-maplet-mem :: id ⇒ ptrn ⇒ logic ⇒ logic ⇒ *prism-maplet* (-{- ∈ -}
 ⇒ -)
-prism-maplet-simple :: id ⇒ ptrn ⇒ logic ⇒ *prism-maplet* (- - ⇒ -)
 :: *prism-maplet* ⇒ *prism-maplets* (-)
-prism-Maplets :: [*prism-maplet, prism-maplets*] ⇒ *prism-maplets* (- | -)
-prism-fun-upd :: logic ⇒ *prism-maplets* ⇒ logic (-'(-) [900, 0] 900)
-prism-fun :: *prism-maplets* ⇒ logic ({-}_p)

translations

$f(c\{v \in A. P\} \Rightarrow B) == \text{CONST } \text{prism-fun-upd } f \text{ c A } (\lambda v. (P, B))$
 $f(c\{v \in A\} \Rightarrow B) == f(c\{v \in A. \text{CONST True}\} \Rightarrow B)$
 $f(c \ v \Rightarrow B) == f(c\{v \in \text{CONST UNIV}\} \Rightarrow B)$
 $\text{-prism-fun-upd } m \ (\text{-prism-Maplets } xy \ ms) \equiv \text{-prism-fun-upd } (\text{-prism-fun-upd } m \ xy) \ ms$
 $\text{-prism-fun } ms \equiv \text{-prism-fun-upd } \{-\}_p \ ms$

$-prism-fun (-prism-Maplets ms1 ms2) \leftarrow -prism-fun-upd (-prism-fun ms1) ms2$
 $-prism-Maplets ms1 (-prism-Maplets ms2 ms3) \leftarrow -prism-Maplets (-prism-Maplets ms1 ms2) ms3$

lemma *dom-prism-fun*: $wb-prism c \implies pdom(prism-fun c A PB) = \{build_c v \mid v. v \in A \wedge fst (PB v)\}$
by (*simp add: prism-fun-def, auto*)

lemma *prism-fun-compat*: $c \nabla d \implies prism-fun c A PB \#\# prism-fun d B QB$
by (*auto intro!: pfun-indep-compat simp add: prism-fun-def prism-diff-build*)

lemma *prism-fun-commute*: $c \nabla d \implies prism-fun c A PB \oplus prism-fun d B QB = prism-fun d B QB \oplus prism-fun c A PB$
by (*meson override-comm prism-fun-compat*)

lemma *prism-fun-apply*: $\llbracket wb-prism c; v \in A; fst (PB v) \rrbracket \implies (prism-fun c A PB)(build_c v)_p = snd (PB v)$
by (*simp add: prism-fun-def*)

lemma *prism-fun-update-app-1* [*simp*]: $\llbracket wb-prism c; v \in A; P v \rrbracket \implies (f(c\{x \in A. P(x)\} \Rightarrow B(x)))(build_c v)_p = B v$
by (*simp add: prism-fun-def prism-fun-upd-def*)

lemma *prism-fun-update-app-2* [*simp*]: $\llbracket wb-prism c; wb-prism d; d \nabla c \rrbracket \implies (f(c\{x \in A. P(x)\} \Rightarrow B(x)))(build_d v)_p = f(build_d v)_p$
by (*simp add: prism-fun-def prism-fun-upd-def image-iff prism-diff-build*)

lemma *prism-fun-update-cancel* [*simp*]: $f(c\{x \in A. P(x)\} \Rightarrow g(x) \mid c\{x \in A. P(x)\} \Rightarrow h(x)) = f(c\{x \in A. P(x)\} \Rightarrow h(x))$
by (*simp add: prism-fun-def prism-fun-upd-def override-assoc[THEN sym] pfun-override-fully*)

lemma *prism-fun-update-commute*:
 $c \nabla d \implies f(c\{x \in A. P(x)\} \Rightarrow g(x) \mid d\{y \in B. Q(y)\} \Rightarrow h(y)) = f(d\{y \in B. Q(y)\} \Rightarrow h(y) \mid c\{x \in A. P(x)\} \Rightarrow g(x))$
by (*simp add: prism-fun-upd-def override-assoc[THEN sym] prism-fun-commute*)

lemma *case-sum-Plus*: $case-sum f g ' (A <+> B) = (f'A) \cup (g'B)$
by (*simp add: image-iff Plus-def, metis (no-types, lifting) image-Un image-cong image-image sum.case(1) sum.case(2)*)

lemma *build-in-dom-prism-fun*: $\llbracket wb-prism c; x \in A; fst (PB x) \rrbracket \implies build_c x \in pdom (prism-fun c A PB)$
by (*auto simp add: dom-prism-fun*)

lemma *prism-fun-combine*:
assumes $wb-prism c wb-prism d c \nabla d$
shows $prism-fun c A PB \oplus prism-fun d B QB = prism-fun (c +_{\Delta} d) (A <+> B)$ (*case-sum PB QB*)

```

using assms
apply (simp add: pfun-eq-iff dom-prism-fun sum.case-eq-if prism-diff-build build-in-dom-prism-fun)
apply safe
      apply (simp-all add: add: build-in-dom-prism-fun prism-diff-build
prism-fun-apply)
      apply (metis InlI build-plus-Inl sum.disc(1) sum.sel(1))
      apply (metis InrI build-plus-Inr sum.disc(2) sum.sel(2))
      apply metis
      apply (metis InlI build-in-dom-prism-fun build-plus-Inl old.sum.simps(5) pfun-app-add
prism-fun-apply prism-fun-commute
prism-plus-wb)
      apply (metis InrI build-plus-Inr old.sum.simps(6) prism-fun-apply prism-plus-wb)
done

```

lemma *prism-diff-implies-indep-funs*:
 $\llbracket \text{wb-prism } c; \text{wb-prism } d; c \nabla d \rrbracket \implies \text{pdom}(\text{prism-fun } c \ A \ P\sigma) \cap \text{pdom}(\text{prism-fun } d \ B \ Q\sigma) = \{\}$
by (*auto simp add: dom-prism-fun prism-diff-build*)

lemma *prism-fun-cong*: $\llbracket c = d; A = B; PB = QB \rrbracket \implies \text{prism-fun } c \ A \ PB = \text{prism-fun } d \ B \ QB$
by *blast*

lemma *prism-fun-cong2*:
assumes
wb-prism c₁ wb-prism c₂
c₁ = c₂ A₁ = A₂
 $\bigwedge i. i \in A_1 \implies P_1 i \longleftrightarrow P_2 i$
 $\bigwedge i. \llbracket i \in A_1; P_1 i \rrbracket \implies B_1 i = B_2 i$
shows $\text{prism-fun } c_1 \ A_1 \ (\lambda x. (P_1 x, B_1 x)) = \text{prism-fun } c_2 \ A_2 \ (\lambda y. (P_2 y, B_2 y))$
using *assms*
by (*auto intro!: pabs-cong simp add: prism-fun-def*)

lemma *map-pfun-prism-fun* [*simp*]: $\text{map-pfun } f \ (\text{prism-fun } a \ A \ (\lambda x. (B \ x, C \ x))) = \text{prism-fun } a \ A \ (\lambda x. (B \ x, f \ (C \ x)))$
by (*simp add: prism-fun-def*)

lemma *prism-fun-as-map*:
 $\text{wb-prism } b \implies$
 $\text{prism-fun } b \ A \ PB = \text{pfun-of-map } (\lambda x. \text{case match}_b \ x \ \text{of } \text{None} \Rightarrow \text{None} \mid \text{Some } x \Rightarrow \text{if } x \in A \wedge \text{fst } (PB \ x) \ \text{then } \text{Some } (\text{snd } (PB \ x)) \ \text{else } \text{None})$
by (*simp add: prism-fun-def pfun-eq-iff domIff pdom.abs-eq option.case-eq-if, safe, simp-all*)
(metis (no-types, lifting) image-iff option.collapse option.distinct(1) wb-prism.build-match, metis option.discI)

14.21 Code Generator

14.21.1 Associative Lists

lemma *relt-pfun-iff*:

$relt\text{-}pfun\ R\ f\ g \longleftrightarrow (pdom(f) = pdom(g) \wedge (\forall x \in pdom(f). R\ (f(x)_p)\ (g(x)_p)))$
by (*transfer*, *auto simp add: rel-map-iff*)

lift-definition *pfun-of-alist* :: ('a × 'b) list ⇒ 'a ⇨ 'b **is** *map-of* .

lemma *pfun-of-alist-clearjunk*: $pfun\text{-of}\text{-}alist\ xs = pfun\text{-of}\text{-}alist\ (AList.clearjunk\ xs)$
by (*transfer*, *simp add: map-of-clearjunk*)

lemma *pfun-of-alist-Nil* [*simp*]: $pfun\text{-of}\text{-}alist\ [] = \{\}_p$
by (*transfer*, *simp*)

lemma *pfun-of-alist-Cons* [*simp*]: $pfun\text{-of}\text{-}alist\ (p\ \#\ ps) = pfun\text{-of}\text{-}alist\ ps\ (fst\ p\ \mapsto\ snd\ p)_p$
by (*transfer*, *metis (full-types) map-of.simps(2)*)

lemma *dom-pfun-alist* [*simp*, *code*]: $pdom\ (pfun\text{-of}\text{-}alist\ xs) = set\ (map\ fst\ xs)$
by (*transfer*, *simp add: dom-map-of-conv-image-fst*)

lemma *ran-pfun-alist* [*simp*, *code*]: $pran\ (pfun\text{-of}\text{-}alist\ xs) = set\ (remdups\ (map\ snd\ (AList.clearjunk\ xs)))$
apply (*transfer*, *safe*, *simp-all*)
apply (*safe*, *simp-all*)
apply (*metis ranI ran-map-of*)
apply (*metis distinct-clearjunk map-of-clearjunk map-of-eq-Some-iff*)
done

lemma *map-graph-map-of*: $map\text{-}graph\ (map\text{-of}\ xs) = set\ (AList.clearjunk\ xs)$
by (*metis graph-def graph-map-of map-graph-def*)

lemma *pfun-graph-alist* [*code*]: $pfun\text{-}graph\ (pfun\text{-of}\text{-}alist\ xs) = set\ (AList.clearjunk\ xs)$
by (*transfer*, *meson map-graph-map-of*)

lemma *empty-pfun-alist* [*code*]: $\{\}_p = pfun\text{-of}\text{-}alist\ []$
by (*transfer*, *simp*)

lemma *update-pfun-alist* [*code*]: $pfun\text{-upd}\ (pfun\text{-of}\text{-}alist\ xs)\ k\ v = pfun\text{-of}\text{-}alist\ (AList.update\ k\ v\ xs)$
by *transfer (simp add: update-conv)*

lemma *apply-pfun-alist* [*code*]:
 $pfun\text{-app}\ (pfun\text{-of}\text{-}alist\ xs)\ k = (if\ k \in set\ (map\ fst\ xs)\ then\ the\ (map\text{-of}\ xs\ k)\ else\ undefined)$
apply (*transfer*, *simp*, *safe*)
apply (*metis map-of-eq-None-iff option.distinct(1)*)

apply (*metis eq-fst-iff weak-map-of-SomeI*)
done

lemma *map-of-Cons-code* [code]:
 $pfun_lookup (pfun_of_alist []) k = None$
 $pfun_lookup (pfun_of_alist ((l, v) \# ps)) k = (if\ l = k\ then\ Some\ v\ else\ map_of\ ps\ k)$
by (*transfer, simp*)⁺

lemma *map-pfun-alist* [code]:
 $map_pfun\ f (pfun_of_alist\ m) = pfun_of_alist (map\ (\lambda\ (k, v). (k, f\ v))\ m)$
by (*transfer, simp add: map-of-map*)

lemma *map-pfun-of-map* [code]: $map_pfun\ f (pfun_of_map\ g) = pfun_of_map (\lambda\ x. map_option\ f (g\ x))$
by (*auto simp add: map-pfun-def pfun-of-map-inject fun-eq-iff*)

lemma *pdom-res-alist* [code]:
 $A \triangleleft_p (pfun_of_alist\ m) = pfun_of_alist (AList.restrict\ A\ m)$
by (*transfer, simp add: restr-conv'*)

lemma *pran-res-alist-distinct*:
 $distinct (map\ fst\ xs) \implies pfun_of_alist\ xs \triangleright_p A = pfun_of_alist (filter\ (\lambda(k, v). v \in A)\ xs)$
by (*induct xs, auto*)

lemma *pran-res-alist* [code]: $pfun_of_alist\ xs \triangleright_p A = pfun_of_alist (filter\ (\lambda(k, v). v \in A)\ (AList.clearjunk\ xs))$
by (*metis distinct-clearjunk pfun-of-alist-clearjunk pran-res-alist-distinct*)

lemma *pdom-res-set-map* [code]:
 $set\ xs \triangleleft_p (pfun_of_map\ m) = pfun_of_alist (map\ (\lambda\ x. (x, the\ (m\ x))) (filter\ (\lambda\ x. m\ x \neq None)\ xs))$
proof (*induct xs*)
case *Nil*
then show *?case* **by** *auto*
next
case (*Cons a xs*)
then show *?case*
by (*simp, safe; transfer*)
(simp add: restrict-map-insert, metis Int-insert-right-iff0 Map.restrict-restrict domIff map-restrict-dom)
qed

lemma *plus-pfun-alist* [code]: $pfun_of_alist\ f \oplus pfun_of_alist\ g = pfun_of_alist (g \bullet f)$
by (*transfer, simp*)

lemma *pfun-entries-alist* [code]: $pfun_entries (set\ ks)\ f = pfun_of_alist (map\ (\lambda\ k.$

$(k, f k)) ks)$

by (*auto simp add: pfun-eq-iff apply-pfun-alist map-of-map prod.case-eq-if image-iff map-of-map-restrict*)

lemma *pdom-res-entries-alist* [code]:

$A \triangleleft_p \text{pfun-entries } (set\ bs) f =$
 $\text{pfun-of-alist } (map\ (\lambda k. (k, f k))\ (filter\ (\lambda x. x \in A)\ bs))$
by (*metis inter-set-filter pdom-res-entries pfun-entries-alist*)

lemma *pfun-alist-oplus-map* [code]:

$\text{pfun-of-alist } xs \oplus \text{pfun-of-map } f = \text{pfun-of-map } (\lambda k. \text{case } f\ k\ \text{of } None \Rightarrow \text{map-of } xs\ k \mid Some\ v \Rightarrow Some\ v)$
by (*simp add: map-add-def oplus-pfun.abs-eq pfun-of-alist.abs-eq*)

lemma *pfun-map-oplus-alist* [code]:

$\text{pfun-of-map } f \oplus \text{pfun-of-alist } xs = \text{pfun-of-map } (\lambda k. \text{if } k \in set\ (map\ fst\ xs)\ \text{then } map\ of\ xs\ k\ \text{else } f\ k)$
by (*simp add: map-add-def oplus-pfun.abs-eq pfun-of-alist.abs-eq*)
(*metis map-of-eq-None-iff option.case-eq-if option.exhaust option.sel*)

lemma *pfun-singleton-alist* [code]: $\text{pfun-singleton } (\text{pfun-of-alist } [(k, v)]) = True$

by *simp*

lemma *dest-pfsingle-alist* [code]: $\text{dest-pfsingle } (\text{pfun-of-alist } [(k, v)]) = (k, v)$

by *simp*

Adapted from Mapping theory

lemma *ptabulate-alist* [code]: $\text{ptabulate } ks\ f = \text{pfun-of-alist } (map\ (\lambda k. (k, f k))\ ks)$

by *transfer (simp add: map-of-map-restrict)*

lemma *pcombine-alist* [code]:

$\text{pcombine } f\ (\text{pfun-of-alist } xs)\ (\text{pfun-of-alist } ys) =$
 $\text{ptabulate } (\text{remdups } (map\ fst\ xs \bullet map\ fst\ ys))$
 $(\lambda x. \text{the } (combine\ options\ f\ (map\ of\ xs\ x)\ (map\ of\ ys\ x)))$

apply *transfer*

apply (*rule ext*)

apply (*rule sym*)

subgoal for $f\ xs\ ys\ x$

apply (*cases map-of xs x; cases map-of ys x; simp*)

apply (*force simp: map-of-eq-None-iff combine-options-def option.the-def o-def image-iff*

dest: map-of-SomeD split: option.splits)+

done

done

lemma *pfun-comp-alist* [code]: $\text{pfun-of-alist } ys \circ_p \text{pfun-of-alist } xs = \text{pfun-of-alist } (AList.compose\ xs\ ys)$

by (*transfer, simp add: compose-conv'*)

lemma *equal-pfun* [code]:
HOL.equal (pfun-of-alist xs) (pfun-of-alist ys) \longleftrightarrow
(let ks = map fst xs; ls = map fst ys
in ($\forall l \in \text{set } ls. l \in \text{set } ks$) \wedge ($\forall k \in \text{set } ks. k \in \text{set } ls \wedge \text{map-of } xs \ k = \text{map-of } ys$
k))
apply (*simp add: equal-pfun-def, transfer, safe, simp-all*)
apply (*metis map-of-eq-None-iff option.distinct(1) weak-map-of-SomeI*)
apply (*metis domI domIff map-of-eq-None-iff weak-map-of-SomeI*)
apply (*metis (no-types, lifting) image-iff map-of-eq-None-iff*)
done

lemma *set-inter-Collect*: *set xs \cap Collect P = set (filter P xs)*
by (*auto*)

Partial abstractions can either be modelled finitely, as lists, or infinitely as total functions. We therefore allow both of these as possibilities. If an abstraction is over a finite set, then it is compiled to an associative list. Otherwise, it becomes an enriched total function via *pfun-entries*.

lemma *pabs-set* [code]: *pabs (set xs) P f = pfun-of-alist (map ($\lambda k. (k, f k)$) (filter P xs))*
by (*auto simp add: pfun-eq-iff apply-pfun-alist map-of-map prod.case-eq-if image-iff map-of-map-restrict*)

lemma *pabs-coset* [code]:
pabs (List.coset A) P f = pfun-of-map ($\lambda x. \text{if } x \in \text{List.coset } A \wedge P \ x \text{ then Some } (f \ x) \text{ else None}$)
by (*simp add: pabs-def, transfer, auto*)

lemma *pfun-app-of-map* [code]: *pfun-app (pfun-of-map f) x = the (f x)*
by (*simp add: domIff option.the-def*)

lemma *graph-pfun-set* [code]:
graph-pfun (set xs) = pfun-of-alist (filter ($\lambda(x, y). \text{length } (\text{remdups } (\text{map } \text{snd } (AList.restrict \ \{x\} \ xs))) = 1$) xs)
by (*transfer, simp only: comp-def mk-functional-alist*)
(metis graph-map-set mk-functional mk-functional-alist)

lemma *pabs-basic-pfun-entries* [code-unfold]: *($\lambda x \cdot f \ x$) = pfun-entries (List.coset []) f*
by (*metis UNIV-coset pfun-entries-pabs*)

declare *pdom-pfun-entries* [code]

lemma *pfun-app-entries* [code]: *pfun-app (pfun-entries A f) x = (if $x \in A$ then $f \ x$ else undefined)*
by *auto*

Useful for optimising relational compositions containing partial functions

declare *rel-comp-pfun* [code-unfold]

Fusing associative lists

```
fun pfuse-alist :: ('k × 'a) list ⇒ ('k → 'b) ⇒ ('k × ('a × 'b)) list where  
pfuse-alist [] f = [] |  
pfuse-alist ((k, v) # ps) f =  
  (if k ∈ pdom f then (k, (v, pfun-app f k)) # pfuse-alist ps f else pfuse-alist ps f)
```

lemma pfuse-pfun-of-alist-aux:

```
  pfuse (pfun-of-alist xs) g = pfun-of-alist (pfuse-alist xs g)  
  apply (induct xs)  
  apply (simp-all add: pfuse-upd, safe, simp-all)  
  apply (metis (no-types, lifting) disjoint-iff-not-equal pdom-nres-disjoint pfun-upd-ext  
pfun-upd-twice pfuse-upd singletonD)  
  done
```

lemma pfuse-pfun-of-alist [code]:

```
  pfuse (pfun-of-alist xs) g = pfun-of-alist (pfuse-alist (AList.clearjunk xs) g)  
  by (metis pfun-of-alist-clearjunk pfuse-pfun-of-alist-aux)
```

14.22 Notation

bundle Z-Pfun-Notation

begin

no-notation Stream.stream.SCons (**infixr** ⟨##⟩ 65)

no-notation funcset (**infixr** → 60)

notation pfun-tfun (**infixr** → 60)

notation pfun-pfun (**infixr** ↔ 60)

notation pfun-ffun (**infixr** ⇆ 60)

notation pfun-pinj (**infixr** ↦ 60)

notation pfun-finj (**infixr** ↠ 60)

notation pfun-psurj (**infixr** ⇉ 60)

notation pfun-tinj (**infixr** ↠ 60)

notation pfun-bij (**infixr** ↔ 60)

notation pdom-res (**infixr** ≲ 86)

notation pdom-nres (**infixr** ≲ 86)

notation pran-res (**infixl** ≳ 86)

notation pran-nres (**infixl** ≳ 86)

notation pempty ({↦})

end

Hide implementation details for partial functions

lifting-update pfun.lifting

lifting-forget *pfun.lifting*

end

15 Partial Injections

theory *Partial-Inj*
 imports *Partial-Fun*
begin

typedef (*'a*, *'b*) *pinj* = {*f* :: (*'a*, *'b*) *pfun*. *pfun-inj f*}
 morphisms *pfun-of-pinj pinj-of-pfun*
 by (*auto intro: pfun-inj-empty*)

lemma *pinj-eq-pfun*: $f = g \iff \text{pfun-of-pinj } f = \text{pfun-of-pinj } g$
 by (*simp add: pfun-of-pinj-inject*)

lemma *pfun-inj-pinj [simp]*: *pfun-inj (pfun-of-pinj f)*
 using *pfun-of-pinj* **by** *auto*

type-notation *pinj* (**infixr** \rightsquigarrow 1)

setup-lifting *type-definition-pinj*

lift-definition *pinv* :: *'a* \rightsquigarrow *'b* \Rightarrow *'b* \rightsquigarrow *'a* **is** *pfun-inv*
 by (*simp add: pfun-inj-inv*)

unbundle *lattice-syntax*

instantiation *pinj* :: (*type*, *type*) **bot**
begin
 lift-definition *bot-pinj* :: (*'a*, *'b*) *pinj* **is** \perp
 by *simp*
instance ..
end

abbreviation *pinj-empty* :: (*'a*, *'b*) *pinj* ($\{\}_e$) **where** $\{\}_e \equiv \perp$

lift-definition *pinj-app* :: (*'a*, *'b*) *pinj* \Rightarrow *'a* \Rightarrow *'b* (-'(-)_e [999,0] 999)
is *pfun-app* .

Adding a maplet to a partial injection requires that we remove any other maplet that points to the value *v*, to preserve injectivity.

lift-definition *pinj-upd* :: (*'a*, *'b*) *pinj* \Rightarrow *'a* \Rightarrow *'b* \Rightarrow (*'a*, *'b*) *pinj*
is $\lambda f k v. \text{pfun-upd } (f \triangleright_p (- \{v\})) k v$
 by (*simp add: pfun-inj-rres pfun-inj-upd*)

lift-definition *pidom* :: *'a* \rightsquigarrow *'b* \Rightarrow *'a* **set** **is** *pdom* .

lift-definition *piran* :: 'a \rightsquigarrow 'b \Rightarrow 'b set is pran .

lift-definition *pinj-dres* :: 'a set \Rightarrow ('a, 'b) pinj \Rightarrow ('a, 'b) pinj (**infixr** \triangleleft_e 85) is pdom-res
by (simp add: pfun-inj-dres)

lift-definition *pinj-rres* :: ('a, 'b) pinj \Rightarrow 'b set \Rightarrow ('a, 'b) pinj (**infixl** \triangleright_e 86) is pran-res
by (simp add: pfun-inj-rres)

lift-definition *pinj-comp* :: 'b \rightsquigarrow 'c \Rightarrow 'a \rightsquigarrow 'b \Rightarrow 'a \rightsquigarrow 'c (**infixl** \circ_e 55) is (\circ_p)
by (simp add: pfun-inj-comp)

syntax

-PinjUpd :: [('a, 'b) pinj, maplets] \Rightarrow ('a, 'b) pinj (-'(-') $_e$ [900,0]900)
-Pinj :: maplets \Rightarrow ('a, 'b) pinj ((1{-}) $_e$)

translations

-PinjUpd m (-Maplets xy ms) == -PinjUpd (-PinjUpd m xy) ms
-PinjUpd m (-maplet x y) == CONST pinj-upd m x y
-Pinj ms \Rightarrow -PinjUpd (CONST pempty) ms
-Pinj (-Maplets ms1 ms2) \leq -PinjUpd (-Pinj ms1) ms2
-Pinj ms \leq -PinjUpd (CONST pempty) ms

lemma *pinj-app-upd* [simp]: $(f(k \mapsto v))_e(x)_e = (\text{if } (k = x) \text{ then } v \text{ else } (f \triangleright_e (-\{v\}))(x)_e)$
by (transfer, simp)

lemma *pinj-eq-iff*: $f = g \iff (\text{pidom}(f) = \text{pidom}(g) \wedge (\forall x \in \text{pidom}(f). f(x)_e = g(x)_e))$
by (transfer, simp add: pfun-eq-iff)

lemma *pinv-pempty* [simp]: $\text{pinv } \{\}_e = \{\}_e$
by (transfer, simp)

lemma *pinv-pinj-upd* [simp]: $\text{pinv } (f(x \mapsto y))_e = (\text{pinv } ((-\{x\}) \triangleleft_e f))(y \mapsto x)_e$
by (transfer, subst pfun-inv-upd, simp-all add: pfun-inj-dres pfun-inj-rres pfun-inv-rres pdres-rres-commute, simp add: pfun-inv-dres)

lemma *pinv-pinv*: $\text{pinv } (\text{pinv } f) = f$
by (transfer, simp add: pfun-inj-inv-inv)

lemma *pinv-pcomp*: $\text{pinv } (f \circ_e g) = \text{pinv } g \circ_e \text{pinv } f$
by (transfer, simp add: pfun-eq-graph pfun-graph-pfun-inv pfun-graph-comp pfun-inj-comp converse-relcomp)

lemmas *pidom-empty* [simp] = pdom-zero[Transfer.transferred]

lemma *piran-zero* [simp]: $\text{piran } \{\}_e = \{\}$ **by** (transfer, simp)

lemmas *pinj-dres-empty* [simp] = *pdom-res-zero*[*Transfer.transferred*]
lemmas *pinj-rres-empty* [simp] = *pran-res-zero*[*Transfer.transferred*]

lemmas *pidom-res-empty* [simp] = *pdom-res-empty*[*Transfer.transferred*]
lemmas *piran-res-empty* [simp] = *pran-res-empty*[*Transfer.transferred*]

lemma *pidom-res-upd*: $A \triangleleft_{\rho} f(k \mapsto v)_{\rho} = (\text{if } k \in A \text{ then } (A \triangleleft_{\rho} f)(k \mapsto v)_{\rho} \text{ else } A \triangleleft_{\rho} (f \triangleright_{\rho} (- \{v\})))$
by (*transfer, simp, metis pdom-res-swap*)

lemma *piran-res-upd*: $f(x \mapsto v)_{\rho} \triangleright_{\rho} A = (\text{if } v \in A \text{ then } (f \triangleright_{\rho} A)(x \mapsto v)_{\rho} \text{ else } ((- \{x\}) \triangleleft_{\rho} f) \triangleright_{\rho} A)$
by (*transfer, simp add: inf commute*)
(*metis (no-types, opaque-lifting) ComplI Compl-Un double-compl insert-absorb insert-is-Un pdom-res-swap pran-res-twice*)

lemma *pinj-upd-with-dres-rres*: $((- \{x\}) \triangleleft_{\rho} f \triangleright_{\rho} (- \{y\}))(x \mapsto y)_{\rho} = f(x \mapsto y)_{\rho}$
by (*transfer, simp add: pdom-res-swap*)

lemma *pidres-twice*: $A \triangleleft_{\rho} B \triangleleft_{\rho} f = (A \cap B) \triangleleft_{\rho} f$
by (*transfer, metis pdom-res-twice*)

lemma *pidres-commute*: $A \triangleleft_{\rho} B \triangleleft_{\rho} f = B \triangleleft_{\rho} A \triangleleft_{\rho} f$
by (*metis (no-types, opaque-lifting) inf-commute pidres-twice*)

lemma *pidres-rres-commute*: $A \triangleleft_{\rho} (P \triangleright_{\rho} B) = (A \triangleleft_{\rho} P) \triangleright_{\rho} B$
by (*transfer, simp, metis (mono-tags, opaque-lifting) pidres-rres-commute*)

lemma *pirres-twice*: $f \triangleright_{\rho} A \triangleright_{\rho} B = f \triangleright_{\rho} (A \cap B)$
by (*transfer, metis (no-types, opaque-lifting) pran-res-twice*)

lemma *pirres-commute*: $f \triangleright_{\rho} A \triangleright_{\rho} B = f \triangleright_{\rho} B \triangleright_{\rho} A$
by (*metis inf-commute pirres-twice*)

lemma *pidom-upd*: $\text{pidom } (f(k \mapsto v)_{\rho}) = \text{insert } k \text{ (pidom } (f \triangleright_{\rho} (- \{v\})))$
by (*transfer, simp*)

lemma *f-pinj-f-apply*: $x \in \text{pran } (\text{pfun-of-pinj } f) \implies (\text{pfun-of-pinj } f)(\text{pfun-of-pinj } (\text{pinv } f) (x)_p)_p = x$
by (*transfer, simp add: f-pfun-inv-f-apply*)

fun *pinj-of-alist* :: $('a \times 'b) \text{ list} \Rightarrow 'a \mapsto 'b$ **where**
pinj-of-alist [] = {} _{ρ} |
pinj-of-alist (p # ps) = (*pinj-of-alist* ps)(fst p \mapsto snd p) _{ρ}

lemma *pinj-empty-alist* [code]: {} _{ρ} = *pinj-of-alist* []
by *simp*

lemma *pinj-upd-alist* [*code*]: $(pinj\text{-of-alist } xs)(k \mapsto v)_o = pinj\text{-of-alist } ((k, v) \# xs)$
by *simp*

context begin

Injective associative lists

definition *ialist* :: $('a \times 'b) list \Rightarrow bool$ **where**
ialist $xs = (distinct (map fst xs) \wedge distinct (map snd xs))$

Remove pairs where either the key or value appeared in a previous pair

qualified fun *clearjunk* :: $('a \times 'b) list \Rightarrow ('a \times 'b) list$ **where**
clearjunk $[] = []$ |
clearjunk $(p \# ps) = p \# filter (\lambda (k', v'). k' \neq fst p \wedge v' \neq snd p) (clearjunk ps)$

lemma *ialist-clearjunk*: *ialist* (*clearjunk* xs)
by (*induct* xs *rule*:*clearjunk.induct*, *auto simp add*: *ialist-def*, (*meson distinct-map-filter*) $+$)

lemma *ialist-clearjunk-fp*: *ialist* $xs \Longrightarrow clearjunk xs = xs$
by (*induct* xs , *auto simp add*: *ialist-def filter-id-conv rev-image-eqI*)

lemma *clearjunk-idem* [*simp*]: *clearjunk* (*clearjunk* xs) = *clearjunk* xs
using *ialist-clearjunk ialist-clearjunk-fp* **by** *blast*

lemma *pinj-of-alist-ndres*: $k \notin fst \text{ ` set } xs \Longrightarrow (-\{k\}) \triangleleft_o (pinj\text{-of-alist } xs) = pinj\text{-of-alist } xs$
by (*induct* xs , *auto simp add*: *pidom-res-upd*)

lemma *pinj-of-alist-nrres*: $v \notin snd \text{ ` set } xs \Longrightarrow (pinj\text{-of-alist } xs) \triangleright_o (-\{v\}) = pinj\text{-of-alist } xs$
by (*induct* xs , *auto simp add*: *piran-res-upd*)

lemma *pidom-ialist*: *ialist* $xs \Longrightarrow pidom (pinj\text{-of-alist } xs) = set (map fst xs)$
by (*induct* xs , *auto simp add*: *ialist-def pidom-upd*)
(*metis* (*no-types*, *lifting*) *fst-conv image-eqI pinj-of-alist-nrres*) $+$

lemma *pinj-of-alist-filter-as-dres-rres*:
ialist $xs \Longrightarrow pinj\text{-of-alist } (filter (\lambda(k', v'). k' \neq fst p \wedge v' \neq snd p) xs) = (-\{fst p\}) \triangleleft_o pinj\text{-of-alist } xs \triangleright_o (-\{snd p\})$
by (*induct* xs *rule*: *pinj-of-alist.induct*)
(*auto simp add*: *ialist-def piran-res-upd pinj-of-alist-ndres pidom-res-upd*,
metis (*no-types*, *lifting*) *pinj-of-alist-nrres pirres-commute*)

lemma *pinj-of-alist-clearjunk*: *pinj-of-alist* (*clearjunk* xs) = *pinj-of-alist* xs
by (*induct* xs *rule*:*clearjunk.induct*, *simp add*: *pinj-eq-iff*)
(*simp add*: *ialist-clearjunk pinj-of-alist-filter-as-dres-rres pinj-upd-with-dres-rres*)

lemma *pinv-pinj-of-alist*:
ialist $xs \Longrightarrow pinv (pinj\text{-of-alist } xs) = pinj\text{-of-alist } (map (\lambda (x, y). (y, x)) xs)$

by (*induct xs rule: pinj-of-alist.induct, auto simp add: ialist-def simp add: pinj-of-alist-ndres*)

lemma *pfun-of-ialist: ialist xs \implies pfun-of-pinj (pinj-of-alist xs) = pfun-of-alist xs*
by (*induct xs rule: pinj-of-alist.induct, auto simp add: bot-pinj.rep-eq ialist-def pinj-upd.rep-eq*)
(metis pinj-of-alist-nrres pinj-rres.rep-eq)

declare *clearjunk.simps [simp del]*

end

lemma *pinv-pinj-of-alist [code]: pinv (pinj-of-alist xs) = pinj-of-alist (map (λ (x, y). (y, x)) (Partial-Inj.clearjunk xs))*
by (*metis ialist-clearjunk pinj-of-alist-clearjunk pinv-pinj-of-ialist*)

lemma *pfun-of-pinj-of-alist [code]:*
pfun-of-pinj (pinj-of-alist xs) = pfun-of-alist (Partial-Inj.clearjunk xs)
by (*metis ialist-clearjunk pfun-of-ialist pinj-of-alist-clearjunk*)

declare *pinj-of-alist.simps [simp del]*

end

16 Finite Functions

theory *Finite-Fun*
imports *Partial-Fun*
begin

16.1 Finite function type and operations

typedef (*'a, 'b*) *ffun = {f :: ('a, 'b) pfun. finite(pdom(f))}*
morphisms *pfun-of Abs-pfun*
using *infinite-imp-nonempty* **by** *auto*

type-notation *ffun (infixr \mapsto 1)*

setup-lifting *type-definition-ffun*

lift-definition *ffun-app :: 'a \mapsto 'b \Rightarrow 'a \Rightarrow 'b (-'(-)'_f [999,0] 999)* **is** *pfun-app* .

lift-definition *ffun-upd :: 'a \mapsto 'b \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \mapsto 'b* **is** *pfun-upd* **by** *simp*

lift-definition *fdom :: 'a \mapsto 'b \Rightarrow 'a set* **is** *pdom* .

lift-definition *fran :: 'a \mapsto 'b \Rightarrow 'b set* **is** *pran* .

lift-definition *ffun-comp* :: ('b, 'c) ffun \Rightarrow 'a \leftrightarrow 'b \Rightarrow ('a, 'c) ffun (**infixl** \circ_f 55)
is *pfun-comp* **by** *auto*

lift-definition *ffun-member* :: 'a \times 'b \Rightarrow 'a \leftrightarrow 'b \Rightarrow bool (**infix** \in_f 50) **is** (\in_p) .

lift-definition *fdom-res* :: 'a set \Rightarrow 'a \leftrightarrow 'b \Rightarrow 'a \leftrightarrow 'b (**infixr** \triangleleft_f 85)
is *pdom-res* **by** *simp*

abbreviation *fdom-nres* (**infixr** $-\triangleleft_f$ 85) **where** *fdom-nres* A P \equiv ($-$ A) \triangleleft_f P

lift-definition *fran-res* :: 'a \leftrightarrow 'b \Rightarrow 'b set \Rightarrow 'a \leftrightarrow 'b (**infixl** \triangleright_f 85)
is *pran-res* **by** *simp*

abbreviation *fran-nres* (**infixr** \triangleright_f- 66) **where** *fran-nres* P A \equiv P \triangleright_f ($-$ A)

lift-definition *ffun-graph* :: 'a \leftrightarrow 'b \Rightarrow ('a \times 'b) set **is** *pfun-graph* .

lift-definition *graph-ffun* :: ('a \times 'b) set \Rightarrow 'a \leftrightarrow 'b **is**
 λ R. *if* (*finite* (Domain R)) *then* *graph-pfun* R *else* *pempty*
by (*simp add: finite-Domain*) (*meson pdom-graph-pfun rev-finite-subset*)

unbundle *lattice-syntax*

lift-definition *ffun-entries* :: 'a set \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \leftrightarrow 'b
is λ A f. *if* (*finite* A) *then* *pfun-entries* A f *else* \perp **by** *simp*

instantiation *ffun* :: (type, type) bot
begin
lift-definition *bot-ffun* :: 'a \leftrightarrow 'b **is** \perp **by** *simp*
instance ..
end

abbreviation *fempty* :: 'a \leftrightarrow 'b ($\{\}_f$)
where *fempty* \equiv \perp

instantiation *ffun* :: (type, type) oplus
begin
lift-definition *oplus-ffun* :: 'a \leftrightarrow 'b \Rightarrow 'a \leftrightarrow 'b \Rightarrow 'a \leftrightarrow 'b **is** (\oplus) **by** *simp*
instance ..
end

instantiation *ffun* :: (type, type) minus
begin
lift-definition *minus-ffun* :: 'a \leftrightarrow 'b \Rightarrow 'a \leftrightarrow 'b \Rightarrow 'a \leftrightarrow 'b **is** ($-$)
by (*metis Dom-pfun-graph finite-Diff finite-Domain pdom-pfun-graph-finite pfun-graph-minus*)
instance ..
end

instantiation *ffun* :: (type, type) inf

```

begin
lift-definition inf-ffun :: 'a ⇔ 'b ⇒ 'a ⇔ 'b ⇒ 'a ⇔ 'b is inf
  by (meson finite-Int infinite-super pdom-inter)
instance ..
end

abbreviation ffun-inter :: 'a ⇔ 'b ⇒ 'a ⇔ 'b ⇒ 'a ⇔ 'b (infixl  $\cap_f$  80)
where ffun-inter ≡ inf

instantiation ffun :: (type, type) order
begin
  lift-definition less-eq-ffun :: 'a ⇔ 'b ⇒ 'a ⇔ 'b ⇒ bool is
    λ f g. f  $\subseteq_p$  g .
  lift-definition less-ffun :: 'a ⇔ 'b ⇒ 'a ⇔ 'b ⇒ bool is
    λ f g. f < g .
instance
  by (intro-classes, (transfer, auto)+)
end

abbreviation ffun-subset :: 'a ⇔ 'b ⇒ 'a ⇔ 'b ⇒ bool (infix  $\subseteq_f$  50)
where ffun-subset ≡ less

abbreviation ffun-subset-eq :: 'a ⇔ 'b ⇒ 'a ⇔ 'b ⇒ bool (infix  $\subseteq_f$  50)
where ffun-subset-eq ≡ less-eq

instance ffun :: (type, type) semilattice-inf
  by (intro-classes, (transfer, auto)+)

lemma ffun-subset-eq-least [simp]:
  { }f  $\subseteq_f$  f
  by (transfer, auto)

instantiation ffun :: (type, type) size
begin

definition size-ffun :: 'a ⇔ 'b ⇒ nat where
[simp]: size-ffun f = card (fdom f)

instance ..

end

syntax
-FfunUpd :: ['a ⇔ 'b, maplets] => 'a ⇔ 'b (-'(-)'f [900,0]900)
-Ffun    :: maplets => 'a ⇔ 'b          ((1{-}'f))

translations
-FfunUpd m (-Maplets xy ms) == -FfunUpd (-FfunUpd m xy) ms
-FfunUpd m (-maplet x y)   == CONST ffun-upd m x y

```

$-Ffun\ ms \quad \Rightarrow -FfunUpd\ (CONST\ fempty)\ ms$
 $-Ffun\ (-Maplets\ ms1\ ms2) \quad \Leftarrow -FfunUpd\ (-Ffun\ ms1)\ ms2$
 $-Ffun\ ms \quad \Leftarrow -FfunUpd\ (CONST\ fempty)\ ms$

16.2 Algebraic laws

lemma *ffun-comp-assoc*: $f \circ_f (g \circ_f h) = (f \circ_f g) \circ_f h$
by (*transfer*, *simp add: pfun-comp-assoc*)

lemma *ffun-override-dist-comp*:
 $(f \oplus g) \circ_f h = (f \circ_f h) \oplus (g \circ_f h)$
by (*transfer*, *simp add: pfun-override-dist-comp*)

lemma *ffun-minus-unit* [*simp*]:
fixes $f :: 'a \rightsquigarrow 'b$
shows $f - \perp = f$
by (*transfer*, *simp*)

lemma *ffun-minus-zero* [*simp*]:
fixes $f :: 'a \rightsquigarrow 'b$
shows $\perp - f = \perp$
by (*transfer*, *simp*)

lemma *ffun-minus-self* [*simp*]:
fixes $f :: 'a \rightsquigarrow 'b$
shows $f - f = \perp$
by (*transfer*, *simp*)

instantiation *ffun* :: (*type*, *type*) *override*
begin
lift-definition *compatible-ffun* :: ' $a \rightsquigarrow 'b \Rightarrow 'a \rightsquigarrow 'b \Rightarrow bool$ **is compatible** .

instance
by (*intro-classes*; *transfer*, *simp-all add: compatible-sym override-assoc override-comm*)
(*transfer*, *simp add: override-compat-iff*)+
end

lemma *compatible-ffun-alt-def*: $R \#\# S = ((fdom\ R) \triangleleft_f S = (fdom\ S) \triangleleft_f R)$
by (*transfer*, *simp add: compatible-pfun-def*)

lemma *ffun-indep-compat*: $fdom(f) \cap fdom(g) = \{\}$ $\Longrightarrow f \#\# g$
by (*transfer*, *simp add: pfun-indep-compat*)

lemma *ffun-override-commute*:
 $fdom(f) \cap fdom(g) = \{\} \Longrightarrow f \oplus g = g \oplus f$
by (*meson ffun-indep-compat override-comm*)

lemma *ffun-minus-override-commute*:

$$\text{fdom}(g) \cap \text{fdom}(h) = \{\} \implies (f - g) \oplus h = (f \oplus h) - g$$

by (*transfer*, *simp add: pfun-minus-override-commute*)

lemma *ffun-override-minus*:

$$f \subseteq_f g \implies (g - f) \oplus f = g$$

by (*transfer*, *simp add: pfun-override-minus*)

lemma *ffun-minus-common-subset*:

$$\llbracket h \subseteq_f f; h \subseteq_f g \rrbracket \implies (f - h = g - h) = (f = g)$$

by (*transfer*, *simp add: pfun-minus-common-subset*)

lemma *ffun-minus-override*:

$$\text{fdom}(f) \cap \text{fdom}(g) = \{\} \implies (f \oplus g) - g = f$$

by (*transfer*, *simp add: pfun-minus-override*)

lemma *ffun-override-pos*: $x \oplus y = \{\}_f \implies x = \{\}_f$

by (*transfer*, *simp add: pfun-override-pos*)

lemma *ffun-le-override*: $\text{fdom } x \cap \text{fdom } y = \{\} \implies x \leq x \oplus y$

by (*transfer*, *simp add: pfun-le-override*)

16.3 Membership, application, and update

lemma *ffun-ext*: $\llbracket \bigwedge x y. (x, y) \in_f f \longleftrightarrow (x, y) \in_f g \rrbracket \implies f = g$

by (*transfer*, *simp add: pfun-ext*)

lemma *ffun-member-alt-def*:

$$(x, y) \in_f f \longleftrightarrow (x \in \text{fdom } f \wedge f(x)_f = y)$$

by (*transfer*, *simp add: pfun-member-alt-def*)

lemma *ffun-member-override*:

$$(x, y) \in_f f \oplus g \longleftrightarrow ((x \notin \text{fdom}(g) \wedge (x, y) \in_f f) \vee (x, y) \in_f g)$$

by (*transfer*, *simp add: pfun-member-override*)

lemma *ffun-member-minus*:

$$(x, y) \in_f f - g \longleftrightarrow (x, y) \in_f f \wedge (\neg (x, y) \in_f g)$$

by (*transfer*, *simp add: pfun-member-minus*)

lemma *ffun-app-upd-1* [*simp*]: $x = y \implies (f(x \mapsto v)_f)(y)_f = v$

by (*transfer*, *simp*)

lemma *ffun-app-upd-2* [*simp*]: $x \neq y \implies (f(x \mapsto v)_f)(y)_f = f(y)_f$

by (*transfer*, *simp*)

lemma *ffun-upd-ext* [*simp*]: $x \in \text{fdom}(f) \implies f(x \mapsto f(x)_f) = f$

by (*transfer*, *simp*)

lemma *ffun-app-add* [*simp*]: $x \in \text{fdom}(g) \implies (f \oplus g)(x)_f = g(x)_f$

by (transfer, simp)

lemma *ffun-upd-add* [simp]: $f \oplus g(x \mapsto v)_f = (f \oplus g)(x \mapsto v)_f$
by (transfer, simp)

lemma *ffun-upd-twice* [simp]: $f(x \mapsto u, x \mapsto v)_f = f(x \mapsto v)_f$
by (transfer, simp)

lemma *ffun-upd-comm*:
assumes $x \neq y$
shows $f(y \mapsto u, x \mapsto v)_f = f(x \mapsto v, y \mapsto u)_f$
using *assms* by (transfer, simp add: *pfun-upd-comm*)

lemma *ffun-upd-add-left* [simp]: $x \notin \text{fdom}(g) \implies f(x \mapsto v)_f \oplus g = (f \oplus g)(x \mapsto v)_f$
by (transfer, simp)

lemma *ffun-app-add'* [simp]: $\llbracket e \in \text{fdom } f; e \notin \text{fdom } g \rrbracket \implies (f \oplus g)(e)_f = f(e)_f$
by (transfer, simp)

lemma *ffun-upd-comm-linorder* [simp]:
fixes $x y :: 'a :: \text{linorder}$
assumes $x < y$
shows $f(y \mapsto u, x \mapsto v)_f = f(x \mapsto v, y \mapsto u)_f$
using *assms* by (transfer, auto)

lemma *ffun-app-minus* [simp]: $x \notin \text{fdom } g \implies (f - g)(x)_f = f(x)_f$
by (transfer, auto)

lemma *ffun-upd-minus* [simp]:
 $x \notin \text{fdom } g \implies (f - g)(x \mapsto v)_f = (f(x \mapsto v)_f - g)$
by (transfer, auto)

lemma *fdom-member-minus-iff* [simp]:
 $x \notin \text{fdom } g \implies x \in \text{fdom}(f - g) \iff x \in \text{fdom}(f)$
by (transfer, simp)

lemma *fsubsetq-ffun-upd1* [intro]:
 $\llbracket f \subseteq_f g; x \notin \text{fdom}(g) \rrbracket \implies f \subseteq_f g(x \mapsto v)_f$
by (transfer, auto)

lemma *fsubsetq-ffun-upd2* [intro]:
 $\llbracket f \subseteq_f g; x \notin \text{fdom}(f) \rrbracket \implies f \subseteq_f g(x \mapsto v)_f$
by (transfer, auto)

lemma *psubsetq-pfun-upd3* [intro]:
 $\llbracket f \subseteq_f g; g(x)_f = v \rrbracket \implies f \subseteq_f g(x \mapsto v)_f$
by (transfer, auto)

lemma *fsubseteq-dom-subset*:
 $f \subseteq_f g \implies \text{fdom}(f) \subseteq \text{fdom}(g)$
by (*transfer*, *auto simp add: psubseteq-dom-subset*)

lemma *fsubseteq-ran-subset*:
 $f \subseteq_f g \implies \text{fran}(f) \subseteq \text{fran}(g)$
by (*transfer*, *simp add: psubseteq-ran-subset*)

lemma *fdom-res-apply* [*simp*]:
 $x \in A \implies (A \triangleleft_f f)(x)_f = f(x)_f$
by (*transfer*, *simp*)

16.4 Domain laws

lemma *fdom-finite* [*simp*]: $\text{finite}(\text{fdom}(f))$
by (*transfer*, *simp*)

lemma *fdom-zero* [*simp*]: $\text{fdom } \perp = \{\}$
by (*transfer*, *simp*)

lemma *fdom-plus* [*simp*]: $\text{fdom } (f \oplus g) = \text{fdom } f \cup \text{fdom } g$
by (*transfer*, *auto*)

lemma *fdom-inter*: $\text{fdom } (f \cap_f g) \subseteq \text{fdom } f \cap \text{fdom } g$
by (*transfer*, *meson pdom-inter*)

lemma *fdom-comp* [*simp*]: $\text{fdom } (g \circ_f f) = \text{fdom } (f \triangleright_f \text{fdom } g)$
by (*transfer*, *auto*)

lemma *fdom-upd* [*simp*]: $\text{fdom } (f(k \mapsto v))_f = \text{insert } k (\text{fdom } f)$
by (*transfer*, *simp*)

lemma *fdom-fdom-res* [*simp*]: $\text{fdom } (A \triangleleft_f f) = A \cap \text{fdom}(f)$
by (*transfer*, *auto*)

lemma *ffun-fdom-antires-upd* [*simp*]:
 $k \in A \implies ((- A) \triangleleft_f m)(k \mapsto v)_f = ((- (A - \{k\})) \triangleleft_f m)(k \mapsto v)_f$
by (*transfer*, *simp*)

lemma *fdom-res-UNIV* [*simp*]: $\text{UNIV} \triangleleft_f f = f$
by (*transfer*, *simp*)

lemma *fdom-graph-ffun* [*simp*]:
 $\llbracket \text{functional } R; \text{finite } (\text{Domain } R) \rrbracket \implies \text{fdom } (\text{graph-ffun } R) = \text{Domain } R$
by (*transfer*, *simp add: Domain-fst graph-map-dom*)

lemma *pdom-pfun-of* [*simp*]: $\text{pdom } (\text{pfun-of } f) = \text{fdom } f$
by (*transfer*, *simp*)

lemma *finite-pdom-ffun* [simp]: *finite (pdom (pfun-of f))*
by (*transfer, simp*)

16.5 Range laws

lemma *fran-zero* [simp]: *fran $\perp = \{\}$*
by (*transfer, simp*)

lemma *fran-upd* [simp]: *fran (f(k \mapsto v)_f) = insert v (fran ((- {k}) \triangleleft_f f))*
by (*transfer, auto*)

lemma *fran-fran-res* [simp]: *fran (f \triangleright_f A) = fran(f) \cap A*
by (*transfer, auto*)

lemma *fran-comp* [simp]: *fran (g \circ_f f) = fran (fran f \triangleleft_f g)*
by (*transfer, auto*)

16.6 Domain restriction laws

lemma *fdom-res-zero* [simp]: *A $\triangleleft_f \{\}_f = \{\}_f$*
by (*transfer, auto*)

lemma *fdom-res-empty* [simp]:
(\{\} \triangleleft_f f) = \{\}_f
by (*transfer, auto*)

lemma *fdom-res-fdom* [simp]:
fdom(f) \triangleleft_f f = f
by (*transfer, auto*)

lemma *fdom-res-upd-in* [simp]:
k \in A \implies A \triangleleft_f f(k \mapsto v)_f = (A \triangleleft_f f)(k \mapsto v)_f
by (*transfer, auto*)

lemma *fdom-res-upd-out* [simp]:
k \notin A \implies A \triangleleft_f f(k \mapsto v)_f = A \triangleleft_f f
by (*transfer, auto*)

lemma *fdom-res-override* [simp]: *A \triangleleft_f (f \oplus g) = (A \triangleleft_f f) \oplus (A \triangleleft_f g)*
by (*metis fdom-res.rep-eq pdom-res-override pfun-of-inject oplus-ffun.rep-eq*)

lemma *fdom-res-minus* [simp]: *A \triangleleft_f (f - g) = (A \triangleleft_f f) - g*
by (*transfer, auto*)

lemma *fdom-res-swap*: *(A \triangleleft_f f) \triangleright_f B = A \triangleleft_f (f \triangleright_f B)*
by (*transfer, simp add: pdom-res-swap*)

lemma *fdom-res-twice* [simp]: *A \triangleleft_f (B \triangleleft_f f) = (A \cap B) \triangleleft_f f*
by (*transfer, auto*)

lemma *fdom-res-comp* [*simp*]: $A \triangleleft_f (g \circ_f f) = g \circ_f (A \triangleleft_f f)$
by (*transfer, simp*)

lemma *ffun-split-domain*: $A \triangleleft_f f \oplus (- A) \triangleleft_f f = f$
by (*transfer, simp add: pfun-split-domain*)

16.7 Range restriction laws

lemma *fran-res-zero* [*simp*]: $\{\}_f \triangleright_f A = \{\}_f$
by (*transfer, auto*)

lemma *fran-res-upd-1* [*simp*]: $v \in A \implies f(x \mapsto v)_f \triangleright_f A = (f \triangleright_f A)(x \mapsto v)_f$
by (*transfer, auto*)

lemma *fran-res-upd-2* [*simp*]: $v \notin A \implies f(x \mapsto v)_f \triangleright_f A = ((- \{x\}) \triangleleft_f f) \triangleright_f A$
by (*transfer, auto*)

lemma *fran-res-override*: $(f \oplus g) \triangleright_f A \subseteq_f (f \triangleright_f A) \oplus (g \triangleright_f A)$
by (*transfer, simp add: pran-res-override*)

16.8 Graph laws

lemma *ffun-graph-inv*: $\text{graph-ffun} (\text{ffun-graph } f) = f$
by (*transfer, auto simp add: pfun-graph-inv finite-Domain*)

lemma *ffun-graph-zero*: $\text{ffun-graph } \perp = \{\}$
by (*transfer, simp add: pfun-graph-zero*)

lemma *ffun-graph-minus*: $\text{ffun-graph} (f - g) = \text{ffun-graph } f - \text{ffun-graph } g$
by (*transfer, simp add: pfun-graph-minus*)

lemma *ffun-graph-inter*: $\text{ffun-graph} (f \cap_f g) = \text{ffun-graph } f \cap \text{ffun-graph } g$
by (*transfer, simp add: pfun-graph-inter*)

16.9 Conversions

lift-definition *list-ffun* :: 'a list \Rightarrow nat \leftrightarrow 'a is
list-pfun **by** *simp*

lemma *fdom-list-ffun* [*simp*]: $\text{fdom} (\text{list-ffun } xs) = \{1..length\ xs\}$
by (*transfer, auto*)

lemma *fran-list-ffun* [*simp*]: $\text{fran} (\text{list-ffun } xs) = \text{set } xs$
by (*transfer, simp*)

lemma *ffun-app-list-ffun*: $\llbracket 0 < i; i < length\ xs \rrbracket \implies (\text{list-ffun } xs)(i)_f = xs ! (i - 1)$
by (*transfer, simp add: pfun-app-list-pfun*)

lemma *range-list-ffun*: $\text{range } \text{list-ffun} = \{f. \exists i. \text{fdom}(f) = \{1..i\}\}$

by (transfer, auto simp add: range-list-pfun)

16.10 Finite Function Lens

definition *ffun-lens* :: 'a \Rightarrow ('b \Longrightarrow 'a \leftrightarrow 'b) **where**
[*lens-defs*]: *ffun-lens* i = (λ lens-get = λ s. s(i)_f, lens-put = λ s v. s(i \mapsto v)_f)

lemma *ffun-lens-mwb* [*simp*]: *mwb-lens* (*ffun-lens* i)
by (unfold-locales, simp-all add: *ffun-lens-def*)

lemma *ffun-lens-src*: $\mathcal{S}_{\text{ffun-lens } i} = \{f. i \in \text{fdom}(f)\}$
by (auto simp add: *lens-defs lens-source-def*, metis *ffun-upd-ext*)

16.11 Notation

bundle *Z-Fun-Notation*
begin

no-notation *Stream.stream.SCons* (**infixr** <##> 65)

no-notation *funcset* (**infixr** \rightarrow 60)

notation *fdom-res* (**infixr** \triangleleft 86)

notation *fdom-nres* (**infixr** \triangleleft 86)

notation *fran-res* (**infixl** \triangleright 86)

notation *fran-nres* (**infixl** \triangleright 86)

notation *fempty* ($\{\mapsto\}$)

syntax *-Ffun* :: *maplets* \Rightarrow *logic* ($(1\{-\})$)

end

Hide implementation details for finite functions

lifting-update *ffun.lifting*

lifting-forget *ffun.lifting*

end

16.12 Finite Injections

theory *Finite-Inj*

imports *Partial-Inj Finite-Fun*

begin

typedef ('a, 'b) *finj* = $\{f :: 'a \mapsto 'b. \text{finite}(\text{pidom}(f))\}$

morphisms *pinj-of-finj finj-of-pinj*

by (*metis CollectI infinite-imp-nonempty pidom-empty*)

```

setup-lifting type-definition-ffun
setup-lifting type-definition-finj

type-notation finj (infixr  $\rightsquigarrow 1$ )

lift-definition ffun-of-finj :: 'a  $\rightsquigarrow$  'b  $\Rightarrow$  'a  $\leftrightarrow$  'b is  $\lambda x.$  pfun-of-pinj (pinj-of-finj
x)
by (transfer, simp, metis mem-Collect-eq pdom.rep-eq pinj-of-finj)

Hide implementation details for finite functions and injections
lifting-update ffun.lifting
lifting-forget ffun.lifting

lifting-update finj.lifting
lifting-forget finj.lifting

end

```

17 Total functions

```

theory Total-Fun
imports Partial-Fun
begin

```

17.1 Total function type and operations

It may seem a little strange to create this, given we already have *fun*, but it's necessary to implement Z's type hierarchy.

```

typedef ('a, 'b) tfun = {f :: 'a  $\leftrightarrow$  'b. pdom(f) = UNIV}
morphisms pfun-of-tfun Abs-tfun
by (meson mem-Collect-eq pdom-pfun-entries)

```

```

type-notation tfun (infixr  $\Rightarrow_t 0$ )

```

```

setup-lifting type-definition-tfun

```

```

lift-definition mk-tfun :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow_t$  'b) is
 $\lambda f.$  fun-pfun f by simp

```

```

lemma pfun-of-tfun-mk-tfun [simp]: pfun-of-tfun (mk-tfun f) = fun-pfun f
by (transfer, simp)

```

```

end

```

18 Bounded Lists

```

theory Bounded-List

```

```

imports List-Extra HOL-Library.Numeral-Type
begin

```

The term $CARD('n)$ retrieves the cardinality of a finite type $'n$. Examples include the types 1, 2 and 3.

```

typedef ('a, 'n::finite) blist = {xs :: 'a list. length xs ≤ CARD('n)}
morphisms list-of-blist blist-of-list
proof
  show [] ∈ {xs. length xs ≤ CARD('n)}
    by simp
qed

```

```

declare list-of-blist-inverse [simp]

```

```

syntax -blist :: type ⇒ type ⇒ type (- blist[-] [100, 0] 100)
translations (type) 'a blist['n] == (type) ('a, 'n) blist

```

We construct various functions using the lifting package to lift corresponding list functions.

```

setup-lifting type-definition-blist

```

```

lift-definition blength :: 'a blist['n::finite] ⇒ nat is length .

```

```

lift-definition bnth :: 'a blist['n::finite] ⇒ nat ⇒ 'a is nth .

```

```

lift-definition bappend :: 'a blist['m::finite] ⇒ 'a blist['n::finite] ⇒ 'a blist['m +
'n] (infixr •s 65) is append
  by auto

```

```

lift-definition bmake :: 'n itself ⇒ 'a list ⇒ 'a blist['n::finite] is λ -. take CARD('n)
  by auto

```

```

code-datatype bmake

```

```

lemma bmake-length-card:
  blength (bmake TYPE('n::finite) xs) = (if length xs ≤ CARD('n) then length xs
else CARD('n))
  by (simp add: blength-def bmake-def, auto simp add: blist-of-list-inverse)

```

```

lemma blist-always-bounded:
  length (list-of-blist (bl::'a blist['n::finite])) ≤ CARD('n)
  using list-of-blist by blast

```

```

lemma blist-remove-head:
  fixes bl :: 'a blist['n::finite]
  assumes blength bl > 0
  shows blength (bmake TYPE('n::finite) (tl (list-of-blist (bl::'a blist['n::finite])))
< blength bl

```

by (metis One-nat-def Suc-pred assms blength.rep-eq bmake-length-card length-tl less-Suc-eq-le linear)

This proof is performed by transfer

lemma *bappend-bmake* [code]:
 bmake TYPE('a::finite) xs •_s bmake TYPE('b::finite) ys
 = bmake TYPE('a + 'b) (take CARD('a) xs • take CARD('b) ys)
 by (transfer, simp)

instantiation *blist* :: (type, finite) equal
begin

definition *equal-blist* :: 'a blist['b] ⇒ 'a blist['b] ⇒ bool **where**
equal-blist m1 m2 ⟷ (list-of-blist m1 = list-of-blist m2)

instance proof
 fix x y :: 'a blist['b]
 show equal-class.equal x y = (x = y)
 by (simp add: equal-blist-def, transfer, simp)
qed

end

lemma *list-of-blist-code* [code]:
 list-of-blist (bmake TYPE('n::finite) xs) = take CARD('n) xs
 by (transfer, simp)+

definition *blists* :: 'n::finite itself ⇒ 'a list ⇒ ('a blist['n]) list **where**
blists n xs = map blist-of-list (b-lists CARD('n) xs)

lemma *n-blists-as-b-lists*:
 fixes n::'n::finite itself
 shows map list-of-blist (blists n xs) = b-lists CARD('n) xs (is ?lhs = ?rhs)
proof –
 have ?lhs = map (list-of-blist ◦ (blist-of-list :: - ⇒ - blist['n])) (b-lists CARD('n) xs)
 by (simp add: blists-def)
 also have ... = map id (b-lists CARD('n) xs)
 by (rule map-cong, auto simp add: blist-of-list-inverse length-b-lists-lem)
 also have ... = b-lists CARD('n) xs
 by simp
 finally show ?thesis .
qed

lemma *set-blists-enum-UNIV*: set (blists TYPE('n::finite) (enum-class.enum :: 'a::enum list)) = UNIV
proof –
 have ∧x:: 'a blist['n]. list-of-blist x ∈ set (b-lists CARD('n) enum-class.enum)
proof (rule in-blistsI)

```

fix x::'a blist['n]
show length (list-of-blist x) ≤ CARD('n)
  by (simp add: blist-always-bounded)
show list-of-blist x ∈ lists (set enum-class.enum)
  by (auto simp add: lists-eq-set enum-UNIV)
qed
thus ?thesis
  by (force simp add: blists-def image-iff)
qed

```

```

lemma distinct-blists: distinct xs ⇒ distinct (blists n xs)
  by (metis distinct-b-lists distinct-map n-blists-as-b-lists)

```

```

definition all-blists :: (('a::enum) blist['n::finite] ⇒ bool) ⇒ bool
where
  all-blists P ⇔ (∀ xs ∈ set (blists TYPE('n) Enum.enum). P xs)

```

```

definition ex-blists :: (('a :: enum) blist['n::finite] ⇒ bool) ⇒ bool
where
  ex-blists P ⇔ (∃ xs ∈ set (blists TYPE('n) Enum.enum). P xs)

```

```

instantiation blist :: (enum, finite) enum
begin

```

```

definition enum-blist :: ('a blist['b]) list where
  enum-blist = blists TYPE('b) Enum.enum

```

```

definition enum-all-blist :: ('a blist['b] ⇒ bool) ⇒ bool where
  enum-all-blist P = all-blists P

```

```

definition enum-ex-blist :: ('a blist['b] ⇒ bool) ⇒ bool where
  enum-ex-blist P = ex-blists P

```

```

instance
  by (intro-classes)
  (simp-all add: enum-blist-def set-blists-enum-UNIV distinct-blists enum-distinct
  enum-all-blist-def enum-ex-blist-def all-blists-def ex-blists-def)

```

```

end

```

```

end

```

19 Tabulating terms

```

theory Tabulate-Command
  imports Main
  keywords tabulate :: diag
begin

```

The following little tool allows creation truth tables for predicates with a finite domain

definition *tabulate* :: ('a::enum => 'b) => ('a × 'b) list **where**
tabulate f = map (λ x. (x, f x)) (rev Enum.enum)

ML ‹

```
structure Tabulate-Command =
struct
```

```
fun space-out cwidth str =
  str ^ Library.replicate-string ((cwidth - length (Symbol.explode str))) Symbol.space;
```

```
fun print-row cwidths row =
  String.concat (Library.separate | (map (fn (w, r) => space-out w r) (ListPair.zip
(cwidths, row))));
```

(* Print an ASCII art table, given a heading and list of rows *)

```
fun print-table heads rows =
  let
    val cols = map (fn i => map (fn xs => nth xs i) (heads :: rows)) (0 upto length
heads - 1)
    val cwidths = map (fn c => foldr1 Int.max (map length (map Symbol.explode
c))) cols
    val llength = foldr1 (fn (c, x) => (c + 4) + x) cwidths + 2
    in Print-Mode.with-modes [] (fn () =>
      Pretty.paragraph ([Pretty.str (print-row cwidths heads)
, Pretty.fbrk
, Pretty.para ((Library.replicate-string llength =)
, Pretty.fbrk]
• Library.separate Pretty.fbrk (map (Pretty.str o print-row
cwidths) rows))) () end;
```

```
fun tabulate-cmd raw-t state =
```

```
  let
    open Syntax
    open Pretty
    open HOLogic
    val ctx = Toplevel.context-of state;
    (* Parse the term we'd like to tabulate *)
    val t = Syntax.read-term ctx raw-t;
    val ctx' = Proof-Context.augment t ctx;
    (* Extract the set of free variables from the term *)
    val xs = Term.add-frees t []
    val xp = foldr1 mk-prod (map Free (rev xs))
    val t' = HOLogic.tupled-lambda xp t
    (* Close the term, apply the tabulate function, and evaluate *)
    val ts' = dest-list (Value-Command.value ctx (check-term ctx (const •{const-name
tabulate} $ t')));
    fun term-string t = XML.content-of (YXML.parse-body (symbolic-string-of
```

```

(pretty-term ctx' t));
  val heads = (map term-string (map Free (rev xs)) • [term-string t])
  val rows = (map ((fn (x, y) => (map term-string (strip-tuple x) • [term-string
y])) o dest-prod) ts');
  in Pretty.writeln ((print-table heads rows)) end;

end

val - =
  Outer-Syntax.command command-keyword <tabulate> tabulate the values of a
term
  (Parse.term
  >> (fn t => Toplevel.keep (Tabulate-Command.tabulate-cmd t)))
>

end

```

20 Meta-theory for Relation Library

theory *Relation-Lib*

imports

*Countable-Set-Extra Positive Infinity Enum-Type Record-Default-Instance Def-Const
Relation-Extra Partial-Fun Partial-Inj Finite-Fun Finite-Inj Total-Fun List-Extra
Bounded-List Tabulate-Command*

begin

This theory marks the boundary between reusable library utilities and the creation of the Z notation. We avoid overriding any HOL syntax up until this point, but we do supply some optional bundles.

lemma *if-eqE* [*elim!*]: $\llbracket (if\ b\ then\ e\ else\ f) = v; \llbracket b; e = v \rrbracket \implies P; \llbracket \neg b; f = v \rrbracket \implies P \rrbracket \implies P$
by (*cases b, auto*)

bundle *Z-Type-Syntax*

begin

type-notation *bool* (\mathbb{B})

type-notation *nat* (\mathbb{N})

type-notation *int* (\mathbb{Z})

type-notation *rat* (\mathbb{Q})

type-notation *real* (\mathbb{R})

type-notation *set* (\mathbb{P} - [999] 999)

type-notation *tfun* (**infixr** $\rightarrow 0$)

notation *Pow* (\mathbb{P})

```

notation  $Fpow$  (F)

end

class refine =
  fixes ref-by :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\sqsubseteq$  50)
  and sref-by :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\sqsubset$  50)
  assumes ref-by-order: class.preorder ( $\sqsubseteq$ ) ( $\sqsubset$ )

interpretation ref-preorder: preorder ( $\sqsubseteq$ ) ( $\sqsubset$ )
  by (fact ref-by-order)

lemma ref-by-trans [trans]:  $\llbracket P \sqsubseteq Q; Q \sqsubseteq R \rrbracket \Longrightarrow P \sqsubseteq R$ 
  using ref-preorder.dual-order.trans by auto

abbreviation (input) refines (infix  $\sqsupseteq$  50) where  $Q \sqsupseteq P \equiv P \sqsubseteq Q$ 
abbreviation (input) srefines (infix  $\sqsupset$  50) where  $Q \sqsupset P \equiv P \sqsubset Q$ 

instantiation bool :: refine
begin

definition ref-by-bool  $P Q = (Q \longrightarrow P)$ 
definition sref-by-bool  $P Q = (\neg Q \wedge P)$ 

instance by (intro-classes, unfold-locales, auto simp add: ref-by-bool-def sref-by-bool-def)

end

instantiation fun :: (type, refine) refine
begin

definition ref-by-fun :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool where ref-by-fun  $f g = (\forall x. f(x) \sqsubseteq g(x))$ 
definition sref-by-fun :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool where sref-by-fun  $f g = (f \sqsubseteq g \wedge \neg (g \sqsubseteq f))$ 

instance
  by (intro-classes, unfold-locales)
  (auto simp add: ref-by-fun-def sref-by-fun-def ref-preorder.less-le-not-le intro: ref-preorder.order.trans)
end

end

```

21 Set Toolkit

```

theory Set-Toolkit
  imports HOL-Library.Multiset Relation-Lib
begin

```

The majority of the Z set toolkit is implemented in the core libraries of HOL. We could prove all the axioms of ISO 13568 as theorems, but we omit this for now. The main thing we need is to map between finite sets and the normal set type.

```
declare [[coercion-enabled]]
```

```
unbundle Z-Type-Syntax
```

```
end
```

22 Relation Toolkit

```
theory Relation-Toolkit
```

```
  imports Set-Toolkit Overriding
```

```
begin
```

22.1 Conversions

The majority of the relation toolkit is also part of HOL. We just need to generalise some of the syntax.

```
declare [[coercion rel-apply]]
```

```
declare [[coercion pfun-app]]
```

```
declare [[coercion pfun-of]]
```

The following definition is semantically identical to *pfun-graph*, but is used to represent coercions with associated reasoning.

```
definition rel-of-pfun :: 'a  $\leftrightarrow$  'b  $\Rightarrow$  'a  $\leftrightarrow$  'b ([ $\leftrightarrow$ ]) where  
[code-unfold]: rel-of-pfun = pfun-graph
```

```
declare [[coercion rel-of-pfun]]
```

```
declare [[coercion pfun-of-pinj]]
```

```
notation pfun-of-pinj ([ $\leftrightarrow$ ])
```

22.2 First component projection

Z supports n-ary Cartesian products. We cannot support such structures directly in Isabelle/HOL, but instead add the projection notations for the first and second components. A homogeneous finite Cartesian product type also exists in the Multivariate Analysis package.

```
abbreviation (input) first  $\equiv$  fst
```

```
notation first (-.1 [999] 999)
```

22.3 Second component projection

```
abbreviation (input) second  $\equiv$  snd
```

```
notation second (-.2 [999] 999)
```

22.4 Maplet

22.5 Domain

hide-const (open) *dom*

consts *dom* :: 'f ⇒ 'a set

adhoc-overloading

dom ⇒ *Map.dom* **and**
dom ⇒ *Relation.Domain* **and**
dom ⇒ *Partial-Fun.pdom* **and**
dom ⇒ *Finite-Fun.fdom* **and**
dom ⇒ *Partial-Inj.pidom*

22.6 Range

hide-const (open) *ran*

consts *ran* :: 'f ⇒ 'a set

adhoc-overloading

ran ⇒ *Map.ran* **and**
ran ⇒ *Relation.Range* **and**
ran ⇒ *Partial-Fun.pran* **and**
ran ⇒ *Finite-Fun.fran* **and**
ran ⇒ *Partial-Inj.piran*

22.7 Identity relation

notation *Id-on* (*id*[-])

22.8 Relational composition

notation *relcomp* (infixr ; 75)

22.9 Functional composition

Composition is probably the most difficult of the Z functions to implement correctly. Firstly, the notation (\circ) is already defined for HOL functions, and we need to respect that in order to use the HOL library functions. Secondly, Z composition can be used to compose heterogeneous relations and functions, which is not easy to type infer. Consequently, we opt to use adhoc overloading here.

consts *zcomp* :: 'f ⇒ 'g ⇒ 'h

adhoc-overloading

zcomp ⇒ *Fun.comp* **and**
zcomp ⇒ *pfun-comp* **and**

$zcomp \equiv ffun-comp$

Once we overload $zcomp$, we need to at least have output syntax set up.

notation (**output**) $zcomp$ (**infixl** \circ 55)

bundle $Z-Relation-Syntax$
begin

no-notation $Fun.comp$ (**infixl** \circ 55)

notation $zcomp$ (**infixl** \circ 55)

end

22.10 Domain restriction and subtraction

consts $dom-res :: 'a set \Rightarrow 'r \Rightarrow 'r$ (**infixr** \triangleleft 85)

abbreviation $ndres$ (**infixr** \triangleleft 85) **where** $ndres A P \equiv CONST dom-res (- A) P$

adhoc-overloading

$dom-res \equiv rel-domres$

and $dom-res \equiv pdom-res$

and $dom-res \equiv fdom-res$

and $dom-res \equiv pinj-dres$

syntax $-ndres :: logic \Rightarrow logic \Rightarrow logic$

translations $-ndres A P == CONST dom-res (- A) P$

22.11 Range restriction and subtraction

consts $ran-res :: 'r \Rightarrow 'a set \Rightarrow 'r$ (**infixl** \triangleright 86)

abbreviation $nrres$ (**infixl** \triangleright 86) **where** $nrres P A \equiv CONST ran-res P (- A)$

adhoc-overloading

$ran-res \equiv rel-ranres$

and $ran-res \equiv pran-res$

and $ran-res \equiv fran-res$

and $ran-res \equiv pinj-rres$

22.12 Relational inversion

notation $converse ((-\sim) [1000] 999)$

lemma $relational-inverse: r\sim = \{(p.2, p.1) \mid p. p \in r\}$
by *auto*

22.13 Relational image

notation *Image* $(-\langle-\rangle)$ [990] 990)

lemma *Image-eq*: $\text{Image } r \ a = \{p.2 \mid p. p \in r \wedge p.1 \in a\}$
by (*auto simp add: Image-def*)

22.14 Overriding

lemma *dom-override*: $\text{dom } ((Q :: 'a \leftrightarrow 'b) \oplus R) = (\text{dom } Q) \cup (\text{dom } R)$
by (*simp add: Un-Int-distrib2*)

lemma *override-Un*: $\text{dom } Q \cap \text{dom } R = \{\} \implies Q \oplus R = Q \cup R$
by (*simp add: override-eq Int-commute rel-domres-compl-disj*)

22.15 Proof Support

The objective of these laws is to, as much as possible, convert relational constructions into functional ones. The benefit is better proof automation in the more type constrained setting.

lemma *rel-of-pfun-eq-iff* [*simp*]: $[f]_{\leftrightarrow} = [g]_{\leftrightarrow} \longleftrightarrow f = g$
by (*simp add: pfun-eq-graph rel-of-pfun-def*)

lemma *rel-of-pfun-le-iff* [*simp*]: $[f]_{\leftrightarrow} \subseteq [g]_{\leftrightarrow} \longleftrightarrow f \leq g$
by (*simp add: pfun-graph-le-iff rel-of-pfun-def*)

lemma *rel-of-pfun-pabs*: $[pabs \ A \ P \ f]_{\leftrightarrow} = \{(k, v). k \in A \wedge P \ k \wedge v = f \ k\}$
by (*simp add: rel-of-pfun-def pfun-graph-pabs*)

lemma *rel-of-pfun-apply* [*simp*]: $[f]_{\leftrightarrow} \ x = f \ x$
by (*simp add: rel-of-pfun-def*)

lemma *rel-of-pfun-functional* [*simp*]: *functional* $[f]_{\leftrightarrow}$
by (*simp add: rel-of-pfun-def*)

lemma *rel-of-pfun-override* [*simp*]: $[f]_{\leftrightarrow} \oplus [g]_{\leftrightarrow} = [f \oplus g]_{\leftrightarrow}$
by (*simp add: pfun-graph-override rel-of-pfun-def*)

lemma *rel-of-pfun-comp* [*simp*]: $[f]_{\leftrightarrow} \ O \ [g]_{\leftrightarrow} = [g \circ_p f]_{\leftrightarrow}$
by (*simp add: pfun-graph-comp rel-of-pfun-def*)

lemma *pfun-comp-inv*: $[f \circ_p g]_{\leftrightarrow} \sim = [f]_{\leftrightarrow} \sim \ O \ [g]_{\leftrightarrow} \sim$
by (*metis converse-relcomp rel-of-pfun-comp*)

lemma *rel-of-pfun-dom* [*simp*]: *Domain* $[f]_{\leftrightarrow} = \text{pdom } f$
by (*simp add: Dom-pfun-graph rel-of-pfun-def*)

lemma *rel-of-pfun-ran* [*simp*]: *Range* $[f]_{\leftrightarrow} = \text{pran } f$
by (*simp add: Range-pfun-graph rel-of-pfun-def*)

lemma *rel-of-pfun-domres* [simp]: $A \triangleleft [f]_{\leftrightarrow} = [A \triangleleft f]_{\leftrightarrow}$
by (*simp add: pfun-graph-domres rel-of-pfun-def*)

lemma *rel-of-pfun-ranres* [simp]: $[f]_{\leftrightarrow} \triangleright A = [f \triangleright A]_{\leftrightarrow}$
by (*simp add: rel-of-pfun-def pfun-graph-rres*)

lemma *rel-of-pfun-image* [simp]: $[f]_{\leftrightarrow} (\downarrow A) = \text{pfun-image } f \ A$
by (*simp add: Image-as-rel-domres*)

lemma *rel-of-pfun-member-iff* [simp]:
 $(k, v) \in [f]_{\leftrightarrow} \iff (k \in \text{dom } f \wedge f \ k = v)$
by (*simp add: rel-of-pfun-def*)

lemma *rel-of-pinj-conv* [simp]: $[[f]_{\leftrightarrow}]_{\leftrightarrow}^{-1} = [[\text{pinv } f]_{\leftrightarrow}]_{\leftrightarrow}$
by (*simp add: pfun-graph-pfun-inv pinv.rep-eq rel-of-pfun-def*)

lemma *dom-pinv* [simp]: $\text{dom } [\text{pinv } f]_{\leftrightarrow} = \text{ran } [f]_{\leftrightarrow}$
by (*simp add: pinv.rep-eq*)

lemma *ran-pinv* [simp]: $\text{ran } [\text{pinv } f]_{\leftrightarrow} = \text{dom } [f]_{\leftrightarrow}$
by (*metis dom-pinv pinv-pinv*)

lemma *pfun-inj-rel-conv* [simp]: $\text{pfun-inj } f \implies [f]_{\leftrightarrow}^{\sim} = [\text{pfun-inv } f]_{\leftrightarrow}$
by (*simp add: pfun-graph-pfun-inv rel-of-pfun-def*)

end

23 Function Toolkit

theory *Function-Toolkit*
imports *Relation-Toolkit*
begin

23.1 Partial Functions

lemma *partial-functions*: $X \rightarrow_p Y = \{f \in X \leftrightarrow Y. \forall p \in f. \forall q \in f. p.1 = q.1 \longrightarrow p.2 = q.2\}$
by (*auto simp add: rel-pfun-def single-valued-def*)

notation *size* (#- [999] 999)

instantiation *set* :: (type) size
begin
definition [simp]: *size* $A = \text{card } A$
instance ..
end

instantiation *pfun* :: (type, type) size

begin

definition *size-pfun* :: ('a → 'b) ⇒ nat **where**
size-pfun f = card (pfun-graph f)

instance ..

end

lemma *size-finite-pfun*: finite (pdom f) ⇒ #f = #(dom f)
by (simp add: card-pfun-graph size-pfun-def)

lemma *card-pfun-empty* [simp]: #{}_p = 0
by (simp add: size-pfun-def pfun-graph-zero)

lemma *card-pfun-update* [simp]: finite (dom f) ⇒ #(f(k ↦ v)_p) = (if (k ∈ dom f) then #f else #f + 1)
by (auto simp add: size-pfun-def pfun-graph-update Dom-pfun-graph rel-domres-compl-disj)
(metis card-pfun-graph insert-absorb pdom-upd pfun-graph-update)

23.2 Total Functions

One issue that emerges in this encoding is the treatment of total functions. In Z, a total function is a particular kind of partial function whose domain covers the type universe. In HOL, a total function is one of the basic types. Typically, one wishes to apply total functions, partial functions, and finite functions to values using the notation $f x$. In order to implement this, we need to coerce the given function f to a total function, since this is fundamental to HOL's application construct. However, that means that we can't also coerce a total function to a partial function, as expected by Z, since this would lead to a cycle. Consequently, we actually need to create a new "total function" type, different to the HOL one, to break the cycle. We therefore consider the HOL total function type to be meta-logical with respect to Z.

declare [[*coercion pfun-of-tfun*]]

23.3 Disjointness

consts

disjoint :: 'f ⇒ bool

adhoc-overloading

disjoint ⇒ *rel-disjoint* **and**

disjoint ⇒ *pfun-disjoint* **and**

disjoint ⇒ *list-disjoint*

23.4 Partitions

consts *partitions* :: 'f ⇒ 'a set ⇒ bool (infix *partitions* 65)

adhoc-overloading

partitions ⇒ *rel-partitions* **and**
partitions ⇒ *pfun-partitions* **and**
partitions ⇒ *list-partitions*

end

24 Number Toolkit

theory *Number-Toolkit*

imports *Function-Toolkit*

begin

The numeric operators are all implemented in HOL ((+), (−), (*), etc.), and there seems little to be gained by repackaging them. However, we do make some syntactic additions.

24.1 Successor

abbreviation (*input*) *succ* $n \equiv n + 1$

24.2 Integers

type-notation *int* (\mathbb{Z})

24.3 Natural numbers

type-notation *nat* (\mathbb{N})

24.4 Rational numbers

type-notation *rat* (\mathbb{Q})

24.5 Real numbers

type-notation *real* (\mathbb{R})

24.6 Strictly positive natural numbers

definition *Nats1* (\mathbb{N}_1) where $\mathbb{N}_1 = \{x \in \mathbb{N}. \neg x = 0\}$

24.7 Non-zero integers

definition *Ints1* (\mathbb{Z}_1) where $\mathbb{Z}_1 = \{x \in \mathbb{Z}. \neg x = 0\}$

end

25 Sequence Toolkit

```
theory Sequence-Toolkit
  imports Number-Toolkit
begin
```

25.1 Conversion

We define a number of coercions for mapping a list to finite function.

```
abbreviation rel-of-list :: 'a list  $\Rightarrow$  nat  $\leftrightarrow$  'a ([_]s) where
rel-of-list xs  $\equiv$  [list-pfun xs] $_{\leftrightarrow}$ 
```

```
abbreviation seq-nth (-'(-)')_s [999,0] 999) where
seq-nth xs i  $\equiv$  xs ! (i - 1)
```

```
declare [[coercion list-ffun]]
declare [[coercion list-pfun]]
declare [[coercion rel-of-list]]
declare [[coercion seq-nth]]
```

25.2 Number range

```
lemma number-range: {i..j} = {k ::  $\mathbb{Z}$ . i  $\leq$  k  $\wedge$  k  $\leq$  j}
  by (auto)
```

The number range from i to j is the set of all integers greater than or equal to i , which are also less than or equal to j .

25.3 Iteration

```
definition iter ::  $\mathbb{Z} \Rightarrow ('X \leftrightarrow 'X) \Rightarrow ('X \leftrightarrow 'X)$  where
iter n R = (if (n  $\geq$  0) then R  $\overset{\sim}{\sim}$ (nat n) else (R $\overset{\sim}{\sim}$ (nat n)))
```

```
lemma iter-eqs:
```

```
  iter 0 r = Id
```

```
  n  $\geq$  0  $\implies$  iter (n + 1) r = r ; (iter n r)
```

```
  n < 0  $\implies$  iter (n + 1) r = iter n (r $\overset{\sim}{\sim}$ )
```

```
  by (simp-all add: iter-def, metis Suc-nat-eq-nat-zadd1 add commute relpow.simps(2)
relpow-commute)
```

25.4 Number of members of a set

```
lemma size-rel-of-list:
```

```
  #xs = length xs
```

```
  by simp
```

25.5 Minimum

Implemented by the function *Min*.

25.6 Maximum

Implemented by the function *Max*.

25.7 Finite sequences

definition $seq\ A = lists\ A$

lemma $seq\text{-}iff\ [simp]: xs \in seq\ A \longleftrightarrow set\ xs \subseteq A$
by (*simp add: in-lists-conv-set seq-def subset-code(1)*)

lemma $seq\text{-}ffun\text{-}set: range\ list\text{-}ffun = \{f :: \mathbb{N} \mapsto 'X. dom(f) = \{1..\#f\}\}$
by (*simp add: range-list-ffun, force*)

25.8 Non-empty finite sequences

definition $seq_1\ A = seq\ A - \{\ [] \}$

lemma $seq_1\text{-}iff\ [simp]: xs \in seq_1(A) \longleftrightarrow (xs \in seq\ A \wedge \#xs > 0)$
by (*simp add: seq1-def*)

25.9 Injective sequences

definition $iseq\ A = seq\ A \cap Collect\ distinct$

lemma $iseq\text{-}iff\ [simp]: xs \in iseq(A) \longleftrightarrow (xs \in seq\ A \wedge distinct\ xs)$
by (*simp add: iseq-def*)

25.10 Bounded sequences

definition $bseq :: \mathbb{N} \Rightarrow 'a\ set \Rightarrow 'a\ list\ set\ (bseq[-])$ **where**
 $bseq\ n\ A = bounded\ lists\ n\ A$

25.11 Sequence brackets

Provided by the HOL list notation $[x, y, z]$.

25.12 Concatenation

Provided by the HOL concatenation operator (\bullet) .

25.13 Reverse

Provided by the HOL function *rev*.

25.14 Head of a sequence

definition $head :: 'a\ list \leftrightarrow 'a\ \mathbf{where}$
 $head = (\lambda\ xs :: 'a\ list \mid \#xs > 0 \cdot hd\ xs)$

lemma $dom\ head: dom\ head = \{xs. \#xs > 0\}$
by ($simp\ add: head\ def$)

lemma $head\ app: \#xs > 0 \implies head\ xs = hd\ xs$
by ($simp\ add: head\ def$)

lemma $head\ z\ def: xs \in seq_1(A) \implies head\ xs = xs\ 1$
by ($simp\ add: hd\ conv\ nth\ head\ app\ seq_1\ def$)

25.15 Last of a sequence

definition $slast :: 'a\ list \leftrightarrow 'a\ \mathbf{where}$
 $slast = (\lambda\ xs :: 'a\ list \mid \#xs > 0 \cdot List.last\ xs)$

lemma $dom\ slast: dom\ slast = \{xs. \#xs > 0\}$
by ($simp\ add: slast\ def$)

lemma $slast\ app: \#xs > 0 \implies slast\ xs = last\ xs$
by ($simp\ add: slast\ def$)

lemma $slast\ eq: \#s > 0 \implies last\ s = s\ (\#s)$
by ($simp\ add: slast\ app\ last\ conv\ nth$)

25.16 Tail of a sequence

definition $tail :: 'a\ list \leftrightarrow 'a\ list\ \mathbf{where}$
 $tail = (\lambda\ xs :: 'a\ list \mid \#xs > 0 \cdot tl\ xs)$

lemma $dom\ tail: dom\ tail = \{xs. \#xs > 0\}$
by ($simp\ add: tail\ def$)

lemma $tail\ app: \#xs > 0 \implies tail\ xs = tl\ xs$
by ($simp\ add: tail\ def$)

25.17 Domain

definition $dom\ seq :: 'a\ list \Rightarrow \mathbb{N}\ set\ \mathbf{where}$
 $[simp]: dom\ seq\ xs = \{0..<\#xs\}$

adhoc-overloading $dom \equiv dom\ seq$

25.18 Range

definition $ran\ seq :: 'a\ list \Rightarrow 'a\ set\ \mathbf{where}$
 $[simp]: ran\ seq\ xs = set\ xs$

adhoc-overloading $\text{ran} \equiv \text{ran-seq}$

25.19 Filter

notation seq-filter (**infix** \uparrow 80)

lemma seq-filter-Nil : $\square \uparrow V = \square$ **by** *simp*

lemma seq-filter-append : $(s \bullet t) \uparrow V = (s \uparrow V) \bullet (t \uparrow V)$
by (*simp add: seq-filter-append*)

lemma $\text{seq-filter-subset-iff}$: $\text{ran } s \subseteq V \iff (s \uparrow V = s)$
by (*auto simp add: seq-filter-def subsetD, meson filter-id-conv*)

lemma seq-filter-empty : $s \uparrow \{\} = \square$ **by** *simp*

lemma seq-filter-size : $\#(s \uparrow V) \leq \#s$
by (*simp add: seq-filter-def*)

lemma seq-filter-twice : $(s \uparrow V) \uparrow W = s \uparrow (V \cap W)$ **by** *simp*

25.20 Examples

lemma $([1,2,3] ; (\lambda x \cdot x + 1)) 1 = 2$
by (*simp add: pfun-graph-comp[THEN sym] list-pfun-def pcomp-pabs*)

end

26 Pretty Notation for Z

theory *Z-Toolkit-Pretty*

imports *Relation-Toolkit Number-Toolkit*

abbrevs $+> = \mapsto$ **and** $+> = \mapsto$ **and** $++> = \mapsto$

and $>-> = \mapsto$ **and** $>-> = \mapsto$ **and** $>+> = \mapsto$ **and** $>++> = \mapsto$

and $<| = \triangleleft$ **and** $<| = \triangleleft$ **and** $<| = \triangleleft$ **and** $<| = \triangleleft$

and $|> = \triangleright$ **and** $|> = \triangleright$ **and** $|> = \triangleright$ **and** $|> = \triangleright$

and $|' = \uparrow$ **and** $|' = \uparrow$ **and** $|' = \uparrow$

and $O+ = \oplus$

and $;; = \S$ **and** $;; = \S$

and $PP = \mathbb{P}$ **and** $FF = \mathbb{F}$

begin

declare $[[\text{coercion-enabled}]]$

Code generation set up

code-datatype *pfun-of-alist*

Allow partial functions to be written with braces

```

syntax
  -Pfun    :: maplets => ('a, 'b) pfun      ((1{-}))

```

```

bundle Z-Syntax
begin

```

```

unbundle Z-Type-Syntax

```

```

notation relcomp (infixr § 75)

```

```

end

```

Relation Function Syntax

```

bundle Z-RFun-Syntax
begin

```

```

unbundle Z-Syntax

```

```

no-notation funcset (infixr → 60)

```

```

notation rel-tfun (infixr → 60)

```

```

notation rel-pfun (infixr ↔ 60)

```

```

notation rel-ffun (infixr ↔ 60)

```

```

end

```

```

context

```

```

  includes Z-RFun-Syntax

```

```

begin

```

26.1 Examples

```

typ P N → N

```

```

typ P N ↔ B

```

```

term {}

```

```

term P § Q

```

```

term A < B < (P :: P(N) ↔ B)

```

```

term P N → N

```

```

term N ↔ N

```

```

end

```

```

end

```

27 Partial Function Command

```

theory Partial-Function-Command

```

```

  imports Function-Toolkit

```

keywords *zfun* :: *thy-decl-block* **and** *precondition* *postcondition*
begin

definition *pfun-spec* :: ('a ⇒ bool) ⇒ ('a ⇒ 'b ⇒ bool) ⇒ 'a ↔ 'b **where**
pfun-spec P Q = (λ x | P x ∧ (∃ y. Q x y) · SOME y. Q x y)

lemma *pfun-spec-app-eqI* [*intro*]: [P x; ∧ y. Q x y ↔ y = f x] ⇒ (*pfun-spec*
P Q)(x)_p = f x
by (*simp add: pfun-spec-def, subst pabs-apply, auto*)

ML-file <*Partial-Function-Command.ML*>

ML <
val - =
 Outer-Syntax.command • { *command-keyword* *zfun* } *define Z-like partial func-*
tions
 (*Zfun-Command.zfun-parser* >> (*Toplevel.theory o Zfun-Command.compile-zfun*));
>

end

28 Z Mathematical Toolkit Meta-Theory

theory *Z-Toolkit*
imports
 Relation-Lib
 Set-Toolkit
 Relation-Toolkit
 Function-Toolkit
 Number-Toolkit
 Sequence-Toolkit
 Z-Toolkit-Pretty
 Haskell-Show
 Enum-Type
 Partial-Function-Command
begin end

References

- [1] J. M. Spivey. *The Z Notation: A Reference Manual*. Prentice Hall, 1998.