

# A Formalization of Weighted Path Orders and Recursive Path Orders\*

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## Abstract

We define the weighted path order (WPO) and formalize several properties such as strong normalization, the subterm property, and closure properties under substitutions and contexts. Our definition of WPO extends the original definition by also permitting multiset comparisons of arguments instead of just lexicographic extensions. Therefore, our WPO not only subsumes lexicographic path orders (LPO), but also recursive path orders (RPO). We formally prove these subsumptions and therefore all of the mentioned properties of WPO are automatically transferable to LPO and RPO as well. Such a transformation is not required for Knuth–Bendix orders (KBO), since they have already been formalized. Nevertheless, we still provide a proof that WPO subsumes KBO and thereby underline the generality of WPO.

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## 1 Introduction

Path orders are well-founded orders on terms that are useful for automated deduction, e.g., for termination proving of term rewrite systems or for completion-based theorem provers. Well-known path orders are the lexicographic path order (LPO) [3], the recursive path order (RPO) [2], and the Knuth–Bendix order (KBO) [4], and all of these orders are presented in a standard textbook on term rewriting [1, Chapter 5].

Whereas the mentioned path orders date back to the last century, the weighted path order (WPO) has only recently been presented [9, 10]. It has two nice properties. First, the search for suitable parameters is feasible and tools like NaTT and TTT2 implement it. Second, WPO is quite powerful and versatile: in fact, KBO and LPO are just instances of WPO. Moreover, with a slight extension of WPO (adding multiset-comparisons) also RPO is covered.

This AFP-entry provides a full formalization of WPO and also the connection to KBO, LPO, and RPO. Here, for the existing formal version of KBO [5, 6] it is just proven that WPO can simulate it by choosing suitable

parameters, whereas LPO and RPO are defined from scratch and many properties of LPO and RPO—such as strong normalization, closure under contexts and substitutions, transitivity, etc.—are derived from the corresponding WPO properties.

Note that most of the WPO formalization is described in [8]. The formal version deviates from the paper version only by the additional possibility to perform multiset-comparisons instead of lexicographic comparisons within WPO. The formal version of LPO and RPO extend their original definitions as well: the RPO definition is taken from [7], and LPO is defined as this extended RPO where always lexicographic comparisons are performed when comparing lists of terms. The formalization of multiset-comparisons (w.r.t. two orders) is described in more detail in [7].

## 2 Preliminaries

### 2.1 Status functions

A status function assigns to each n-ary symbol a list of indices between 0 and n-1. These functions are encapsulated into a separate type, so that recursion on the i-th subterm does not have to perform out-of-bounds checks (e.g., to ensure termination).

**theory** *Status*

**imports**

*First-Order-Terms.Term*

**begin**

**typedef** *'f status* = { ( $\sigma :: 'f \times \text{nat} \Rightarrow \text{nat list}$ ). ( $\forall f k. \text{set } (\sigma (f, k)) \subseteq \{0 ..< k\}$ )}

**morphisms** *status Abs-status*

*<proof>*

**setup-lifting** *type-definition-status*

**lemma** *status: set (status  $\sigma (f, n)) \subseteq \{0 ..< n\}$*

*<proof>*

**lemma** *status-aux[termination-simp]:  $i \in \text{set } (\text{status } \sigma (f, \text{length } ss)) \implies ss ! i \in \text{set } ss$*

*<proof>*

**lemma** *status-termination-simps[termination-simp]:*

**assumes** *i1:  $i < \text{length } (\text{status } \sigma (f, \text{length } xs))$*

**shows** *size (xs ! (status  $\sigma (f, \text{length } xs) ! i)) < \text{Suc } (\text{size-list size } xs)$  (is ?a < ?c)*

*<proof>*

**lemma** *status-ne:*

$status \sigma (f, n) \neq [] \implies \exists i < n. i \in set (status \sigma (f, n))$   
 ⟨proof⟩

**lemma** *set-status-nth*:

$length\ xs = n \implies i \in set (status \sigma (f, n)) \implies i < length\ xs \wedge xs ! i \in set\ xs$   
 ⟨proof⟩

**lift-definition** *full-status* :: '*f status is*  $\lambda (f, n). [0 ..< n]$  ⟨proof⟩

**lemma** *full-status[simp]*:  $status\ full\_status (f, n) = [0 ..< n]$   
 ⟨proof⟩

An argument position *i* is simple wrt. some term relation, if the *i*-th subterm is in relation to the full term.

**definition** *simple-arg-pos* :: ('*f*, '*v*) *term rel*  $\Rightarrow 'f \times nat \Rightarrow nat \Rightarrow bool$  **where**  
 $simple\_arg\_pos\ rel\ f\ i \equiv \forall\ ts. i < snd\ f \longrightarrow length\ ts = snd\ f \longrightarrow (Fun\ (fst\ f)\ ts, ts ! i) \in rel$

**lemma** *simple-arg-posI*:  $\llbracket \bigwedge\ ts. length\ ts = n \implies i < n \implies (Fun\ f\ ts, ts ! i) \in rel \rrbracket \implies simple\_arg\_pos\ rel (f, n) i$   
 ⟨proof⟩

**end**

## 2.2 Precedence

A precedence consists of two compatible relations (strict and non-strict) on symbols such that the strict relation is strongly normalizing. In the formalization we model this via a function "prc" (precedence-compare) which returns two Booleans, indicating whether the one symbol is strictly or weakly bigger than the other symbol. Moreover, there also is a function "prl" (precedence-least) which gives quick access to whether a symbol is least in precedence, i.e., without comparing it to all other symbols explicitly.

**theory** *Precedence*

**imports**

*Abstract-Rewriting.Abstract-Rewriting*

**begin**

**locale** *irrefl-precedence* =

**fixes** *prc* :: '*f*  $\Rightarrow 'f \Rightarrow bool \times bool$

**and** *prl* :: '*f*  $\Rightarrow bool$

**assumes** *prc-refl*:  $prc\ f\ f = (False, True)$

**and** *prc-stri-imp-nstri*:  $fst (prc\ f\ g) \implies snd (prc\ f\ g)$

**and** *prl*:  $prl\ g \implies snd (prc\ f\ g) = True$

**and** *prl3*:  $prl\ f \implies snd (prc\ f\ g) \implies prl\ g$

**and** *prc-compat*:  $prc\ f\ g = (s1, ns1) \implies prc\ g\ h = (s2, ns2) \implies prc\ f\ h = (s,$   
 $ns) \implies$

$(ns1 \wedge ns2 \longrightarrow ns) \wedge (ns1 \wedge s2 \longrightarrow s) \wedge (s1 \wedge ns2 \longrightarrow s)$

```

begin
lemma prl2:
  assumes g: prl g shows fst (prc g f) = False
  <proof>

abbreviation pr ≡ (prc, prl)

end

locale precedence = irrefl-precedence +
  constrains prc :: 'f ⇒ 'f ⇒ bool × bool
  and prl :: 'f ⇒ bool
  assumes prc-SN: SN {(f, g). fst (prc f g)}

end

```

## 2.3 Local versions of relations

theory *Relations*

imports

*HOL-Library.Multiset*

*Abstract-Rewriting.Abstract-Rewriting*

begin

Common predicates on relations

**definition** *compatible-l* :: 'a rel ⇒ 'a rel ⇒ bool **where**  
*compatible-l* R1 R2 ≡ R1 O R2 ⊆ R2

**definition** *compatible-r* :: 'a rel ⇒ 'a rel ⇒ bool **where**  
*compatible-r* R1 R2 ≡ R2 O R1 ⊆ R2

Local reflexivity

**definition** *locally-refl* :: 'a rel ⇒ 'a multiset ⇒ bool **where**  
*locally-refl* R A ≡ (∀ a. a ∈# A → (a,a) ∈ R)

**definition** *locally-irrefl* :: 'a rel ⇒ 'a multiset ⇒ bool **where**  
*locally-irrefl* R A ≡ (∀ t. t ∈# A → (t,t) ∉ R)

Local symmetry

**definition** *locally-sym* :: 'a rel ⇒ 'a multiset ⇒ bool **where**  
*locally-sym* R A ≡ (∀ t u. t ∈# A → u ∈# A →  
 (t,u) ∈ R → (u,t) ∈ R)

**definition** *locally-antisym* :: 'a rel ⇒ 'a multiset ⇒ bool **where**  
*locally-antisym* R A ≡ (∀ t u. t ∈# A → u ∈# A →  
 (t,u) ∈ R → (u,t) ∈ R → t = u)

Local transitivity

**definition** *locally-trans* :: 'a rel ⇒ 'a multiset ⇒ 'a multiset ⇒ 'a multiset ⇒ bool  
**where**

*locally-trans*  $R A B C \equiv (\forall t u v. t \in\# A \longrightarrow u \in\# B \longrightarrow v \in\# C \longrightarrow (t,u) \in R \longrightarrow (u,v) \in R \longrightarrow (t,v) \in R)$

Local inclusion

**definition** *locally-included*  $:: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool}$   
**where**

*locally-included*  $R1 R2 A B \equiv (\forall t u. t \in\# A \longrightarrow u \in\# B \longrightarrow (t,u) \in R1 \longrightarrow (t,u) \in R2)$

Local transitivity compatibility

**definition** *locally-compatible-l*  $:: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool}$  **where**

*locally-compatible-l*  $R1 R2 A B C \equiv (\forall t u v. t \in\# A \longrightarrow u \in\# B \longrightarrow v \in\# C \longrightarrow (t,u) \in R1 \longrightarrow (u,v) \in R2 \longrightarrow (t,v) \in R2)$

**definition** *locally-compatible-r*  $:: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool}$  **where**

*locally-compatible-r*  $R1 R2 A B C \equiv (\forall t u v. t \in\# A \longrightarrow u \in\# B \longrightarrow v \in\# C \longrightarrow (t,u) \in R2 \longrightarrow (u,v) \in R1 \longrightarrow (t,v) \in R2)$

included + compatible  $\longrightarrow$  transitive

**lemma** *in-cl-tr*:

**assumes**  $R1 \subseteq R2$

**and** *compatible-l*  $R2 R1$

**shows** *trans*  $R1$

*<proof>*

**lemma** *in-cr-tr*:

**assumes**  $R1 \subseteq R2$

**and** *compatible-r*  $R2 R1$

**shows** *trans*  $R1$

*<proof>*

If a property holds globally, it also holds locally. Obviously.

**lemma** *r-lr*:

**assumes** *refl*  $R$

**shows** *locally-refl*  $R A$

*<proof>*

**lemma** *tr-ltr*:

**assumes** *trans*  $R$

**shows** *locally-trans*  $R A B C$

*<proof>*

**lemma** *in-lin*:

**assumes**  $R1 \subseteq R2$

**shows** *locally-included*  $R1\ R2\ A\ B$   
*<proof>*

**lemma** *cl-lcl*:  
**assumes** *compatible-l*  $R1\ R2$   
**shows** *locally-compatible-l*  $R1\ R2\ A\ B\ C$   
*<proof>*

**lemma** *cr-lcr*:  
**assumes** *compatible-r*  $R1\ R2$   
**shows** *locally-compatible-r*  $R1\ R2\ A\ B\ C$   
*<proof>*

If a predicate holds on a set then it holds on all the subsets:

**lemma** *lr-trans-l*:  
**assumes** *locally-refl*  $R\ (A + B)$   
**shows** *locally-refl*  $R\ A$   
*<proof>*

**lemma** *li-trans-l*:  
**assumes** *locally-irrefl*  $R\ (A + B)$   
**shows** *locally-irrefl*  $R\ A$   
*<proof>*

**lemma** *ls-trans-l*:  
**assumes** *locally-sym*  $R\ (A + B)$   
**shows** *locally-sym*  $R\ A$   
*<proof>*

**lemma** *las-trans-l*:  
**assumes** *locally-antisym*  $R\ (A + B)$   
**shows** *locally-antisym*  $R\ A$   
*<proof>*

**lemma** *lt-trans-l*:  
**assumes** *locally-trans*  $R\ (A + B)\ (C + D)\ (E + F)$   
**shows** *locally-trans*  $R\ A\ C\ E$   
*<proof>*

**lemma** *lin-trans-l*:  
**assumes** *locally-included*  $R1\ R2\ (A + B)\ (C + D)$   
**shows** *locally-included*  $R1\ R2\ A\ C$   
*<proof>*

**lemma** *lcl-trans-l*:  
**assumes** *locally-compatible-l*  $R1\ R2\ (A + B)\ (C + D)\ (E + F)$   
**shows** *locally-compatible-l*  $R1\ R2\ A\ C\ E$   
*<proof>*

**lemma** *lcr-trans-l*:

**assumes** *locally-compatible-r*  $R1\ R2\ (A + B)\ (C + D)\ (E + F)$

**shows** *locally-compatible-r*  $R1\ R2\ A\ C\ E$

*<proof>*

**lemma** *lr-trans-r*:

**assumes** *locally-refl*  $R\ (A + B)$

**shows** *locally-refl*  $R\ B$

*<proof>*

**lemma** *li-trans-r*:

**assumes** *locally-irrefl*  $R\ (A + B)$

**shows** *locally-irrefl*  $R\ B$

*<proof>*

**lemma** *ls-trans-r*:

**assumes** *locally-sym*  $R\ (A + B)$

**shows** *locally-sym*  $R\ B$

*<proof>*

**lemma** *las-trans-r*:

**assumes** *locally-antisym*  $R\ (A + B)$

**shows** *locally-antisym*  $R\ B$

*<proof>*

**lemma** *lt-trans-r*:

**assumes** *locally-trans*  $R\ (A + B)\ (C + D)\ (E + F)$

**shows** *locally-trans*  $R\ B\ D\ F$

*<proof>*

**lemma** *lin-trans-r*:

**assumes** *locally-included*  $R1\ R2\ (A + B)\ (C + D)$

**shows** *locally-included*  $R1\ R2\ B\ D$

*<proof>*

**lemma** *lcl-trans-r*:

**assumes** *locally-compatible-l*  $R1\ R2\ (A + B)\ (C + D)\ (E + F)$

**shows** *locally-compatible-l*  $R1\ R2\ B\ D\ F$

*<proof>*

**lemma** *lcr-trans-r*:

**assumes** *locally-compatible-r*  $R1\ R2\ (A + B)\ (C + D)\ (E + F)$

**shows** *locally-compatible-r*  $R1\ R2\ B\ D\ F$

*<proof>*

**lemma** *lr-minus*:

**assumes** *locally-refl*  $R\ A$

**shows** *locally-refl*  $R\ (A - B)$

*<proof>*



**lemma** *li-minus*:  
 **assumes** *locally-irrefl*  $R$   $A$   
 **shows** *locally-irrefl*  $R$   $(A - B)$   
  $\langle$ *proof* $\rangle$

**lemma** *ls-minus*:  
 **assumes** *locally-sym*  $R$   $A$   
 **shows** *locally-sym*  $R$   $(A - B)$   
  $\langle$ *proof* $\rangle$

**lemma** *las-minus*:  
 **assumes** *locally-antisym*  $R$   $A$   
 **shows** *locally-antisym*  $R$   $(A - B)$   
  $\langle$ *proof* $\rangle$

**lemma** *lt-minus*:  
 **assumes** *locally-trans*  $R$   $A$   $C$   $E$   
 **shows** *locally-trans*  $R$   $(A - B)$   $(C - D)$   $(E - F)$   
  $\langle$ *proof* $\rangle$

**lemma** *lin-minus*:  
 **assumes** *locally-included*  $R1$   $R2$   $A$   $C$   
 **shows** *locally-included*  $R1$   $R2$   $(A - B)$   $(C - D)$   
  $\langle$ *proof* $\rangle$

**lemma** *lcl-minus*:  
 **assumes** *locally-compatible-l*  $R1$   $R2$   $A$   $C$   $E$   
 **shows** *locally-compatible-l*  $R1$   $R2$   $(A - B)$   $(C - D)$   $(E - F)$   
  $\langle$ *proof* $\rangle$

**lemma** *lcr-minus*:  
 **assumes** *locally-compatible-r*  $R1$   $R2$   $A$   $C$   $E$   
 **shows** *locally-compatible-r*  $R1$   $R2$   $(A - B)$   $(C - D)$   $(E - F)$   
  $\langle$ *proof* $\rangle$

Notations

**notation** *restrict* (**infixl**  $\langle \rangle$  80)

**lemma** *mem-restrictI*[*intro!*]: **assumes**  $x \in X$   $y \in X$   $(x,y) \in R$  **shows**  $(x,y) \in R$   
  $\uparrow$   $X$   
  $\langle$ *proof* $\rangle$

**lemma** *mem-restrictD*[*dest*]: **assumes**  $(x,y) \in R$   $\uparrow$   $X$  **shows**  $x \in X$   $y \in X$   $(x,y) \in R$   
  $\langle$ *proof* $\rangle$

end

## 2.4 Interface for extending an order pair on lists

**theory** *List-Order*

**imports**

*Knuth-Bendix-Order.Order-Pair*

**begin**

**type-synonym** *'a list-ext* = *'a rel*  $\Rightarrow$  *'a rel*  $\Rightarrow$  *'a list rel*

**locale** *list-order-extension* =

**fixes** *s-list* :: *'a list-ext*

**and** *ns-list* :: *'a list-ext*

**assumes** *extension*: *SN-order-pair S NS*  $\Longrightarrow$  *SN-order-pair (s-list S NS) (ns-list S NS)*

**and** *s-map*:  $\llbracket \bigwedge a b. (a,b) \in S \Longrightarrow (f a, f b) \in S; \bigwedge a b. (a,b) \in NS \Longrightarrow (f a, f b) \in NS \rrbracket \Longrightarrow (as, bs) \in s\text{-list } S NS \Longrightarrow (map f as, map f bs) \in s\text{-list } S NS$

**and** *ns-map*:  $\llbracket \bigwedge a b. (a,b) \in S \Longrightarrow (f a, f b) \in S; \bigwedge a b. (a,b) \in NS \Longrightarrow (f a, f b) \in NS \rrbracket \Longrightarrow (as, bs) \in ns\text{-list } S NS \Longrightarrow (map f as, map f bs) \in ns\text{-list } S NS$

**and** *all-ns-imp-ns*: *length as = length bs*  $\Longrightarrow \llbracket \bigwedge i. i < length bs \Longrightarrow (as ! i, bs ! i) \in NS \rrbracket \Longrightarrow (as, bs) \in ns\text{-list } S NS$

**type-synonym** *'a list-ext-impl* = (*'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*  $\times$  *bool*)  $\Rightarrow$  *'a list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *bool*  $\times$  *bool*

**locale** *list-order-extension-impl* = *list-order-extension s-list ns-list for*

*s-list ns-list* :: *'a list-ext* +

**fixes** *list-ext* :: *'a list-ext-impl*

**assumes** *list-ext-s*:  $\bigwedge s ns. s\text{-list } \{(a,b). s a b\} \{(a,b). ns a b\} = \{(as, bs). fst (list-ext (\lambda a b. (s a b, ns a b))) as bs\}$

**and** *list-ext-ns*:  $\bigwedge s ns. ns\text{-list } \{(a,b). s a b\} \{(a,b). ns a b\} = \{(as, bs). snd (list-ext (\lambda a b. (s a b, ns a b))) as bs\}$

**and** *s-ext-local-mono*:  $\bigwedge s ns s' ns' as bs. (set as \times set bs) \cap ns \subseteq ns' \Longrightarrow (set as \times set bs) \cap s \subseteq s' \Longrightarrow (as, bs) \in s\text{-list } ns s \Longrightarrow (as, bs) \in s\text{-list } ns' s'$

**and** *ns-ext-local-mono*:  $\bigwedge s ns s' ns' as bs. (set as \times set bs) \cap ns \subseteq ns' \Longrightarrow (set as \times set bs) \cap s \subseteq s' \Longrightarrow (as, bs) \in ns\text{-list } ns s \Longrightarrow (as, bs) \in ns\text{-list } ns' s'$

end

## 3 Multiset extension of an order pair

Given a well-founded order  $\prec$  and a compatible non-strict order  $\succsim$ , we define the corresponding multiset-extension of these orders.

**theory** *Multiset-Extension-Pair*

**imports**

*HOL-Library.Multiset*

*Regular–Sets.Regexp-Method*  
*Abstract–Rewriting.Abstract-Rewriting*  
*Relations*

**begin**

**lemma** *mult-locally-cancel*:

**assumes** *trans s and locally-irrefl s (X + Z) and locally-irrefl s (Y + Z)*  
**shows**  $(X + Z, Y + Z) \in \text{mult } s \longleftrightarrow (X, Y) \in \text{mult } s$  (**is**  $?L \longleftrightarrow ?R$ )  
 $\langle \text{proof} \rangle$

**lemma** *mult-locally-cancelL*:

**assumes** *trans s locally-irrefl s (X + Z) locally-irrefl s (Y + Z)*  
**shows**  $(Z + X, Z + Y) \in \text{mult } s \longleftrightarrow (X, Y) \in \text{mult } s$   
 $\langle \text{proof} \rangle$

**lemma** *mult-cancelL*:

**assumes** *trans s irrefl s* **shows**  $(Z + X, Z + Y) \in \text{mult } s \longleftrightarrow (X, Y) \in \text{mult } s$   
 $\langle \text{proof} \rangle$

**lemma** *wf-trancl-conv*:

**shows**  $\text{wf } (r^+) \longleftrightarrow \text{wf } r$   
 $\langle \text{proof} \rangle$

### 3.1 Pointwise multiset order

**inductive-set** *multpw* :: 'a rel  $\Rightarrow$  'a multiset rel **for** *ns* :: 'a rel **where**

*empty*:  $(\{\#\}, \{\#\}) \in \text{multpw } ns$   
| *add*:  $(x, y) \in ns \Longrightarrow (X, Y) \in \text{multpw } ns \Longrightarrow (\text{add-mset } x \ X, \text{add-mset } y \ Y) \in \text{multpw } ns$

**lemma** *multpw-emptyL* [*simp*]:

$(\{\#\}, X) \in \text{multpw } ns \longleftrightarrow X = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *multpw-emptyR* [*simp*]:

$(X, \{\#\}) \in \text{multpw } ns \longleftrightarrow X = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *refl-multpw*:

**assumes** *refl ns* **shows** *refl (multpw ns)*  
 $\langle \text{proof} \rangle$

**lemma** *multpw-Id-Id* [*simp*]:

*multpw Id = Id*  
 $\langle \text{proof} \rangle$

**lemma** *mono-multpw*:

**assumes**  $ns \subseteq ns'$  **shows**  $\text{multpw } ns \subseteq \text{multpw } ns'$   
(proof)

**lemma** *multpw-converse*:  
 $\text{multpw } (ns^{-1}) = (\text{multpw } ns)^{-1}$   
(proof)

**lemma** *multpw-local*:  
 $(X, Y) \in \text{multpw } ns \implies (X, Y) \in \text{multpw } (ns \cap \text{set-mset } X \times \text{set-mset } Y)$   
(proof)

**lemma** *multpw-split1R*:  
**assumes**  $(\text{add-mset } x \ X, Y) \in \text{multpw } ns$   
**obtains**  $z \ Z$  **where**  $Y = \text{add-mset } z \ Z$  **and**  $(x, z) \in ns$  **and**  $(X, Z) \in \text{multpw } ns$   
(proof)

**lemma** *multpw-splitR*:  
**assumes**  $(X1 + X2, Y) \in \text{multpw } ns$   
**obtains**  $Y1 \ Y2$  **where**  $Y = Y1 + Y2$  **and**  $(X1, Y1) \in \text{multpw } ns$  **and**  $(X2, Y2) \in \text{multpw } ns$   
(proof)

**lemma** *multpw-split1L*:  
**assumes**  $(X, \text{add-mset } y \ Y) \in \text{multpw } ns$   
**obtains**  $z \ Z$  **where**  $X = \text{add-mset } z \ Z$  **and**  $(z, y) \in ns$  **and**  $(Z, Y) \in \text{multpw } ns$   
(proof)

**lemma** *multpw-splitL*:  
**assumes**  $(X, Y1 + Y2) \in \text{multpw } ns$   
**obtains**  $X1 \ X2$  **where**  $X = X1 + X2$  **and**  $(X1, Y1) \in \text{multpw } ns$  **and**  $(X2, Y2) \in \text{multpw } ns$   
(proof)

**lemma** *locally-trans-multpw*:  
**assumes** *locally-trans*  $ns \ S \ T \ U$   
**and**  $(S, T) \in \text{multpw } ns$   
**and**  $(T, U) \in \text{multpw } ns$   
**shows**  $(S, U) \in \text{multpw } ns$   
(proof)

**lemma** *trans-multpw*:  
**assumes** *trans*  $ns$  **shows** *trans*  $(\text{multpw } ns)$   
(proof)

**lemma** *multpw-add*:  
**assumes**  $(X1, Y1) \in \text{multpw } ns$   $(X2, Y2) \in \text{multpw } ns$  **shows**  $(X1 + X2, Y1 + Y2) \in \text{multpw } ns$

*<proof>*

**lemma** *multpw-single*:

$(x, y) \in ns \implies (\{x\}, \{y\}) \in \text{multpw } ns$   
*<proof>*

**lemma** *multpw-mult1-commute*:

**assumes** *compat*:  $s \circ ns \subseteq s$  **and** *reflns*:  $\text{refl } ns$   
**shows**  $\text{mult1 } s \circ \text{multpw } ns \subseteq \text{multpw } ns \circ \text{mult1 } s$   
*<proof>*

**lemma** *multpw-mult-commute*:

**assumes**  $s \circ ns \subseteq s$  **and**  $\text{refl } ns$  **shows**  $\text{mult } s \circ \text{multpw } ns \subseteq \text{multpw } ns \circ \text{mult } s$   
*<proof>*

**lemma** *wf-mult-rel-multpw*:

**assumes**  $\text{wf } s$  **and**  $s \circ ns \subseteq s$  **and**  $\text{refl } ns$  **shows**  $\text{wf } ((\text{multpw } ns)^* \circ \text{mult } s \circ (\text{multpw } ns)^*)$   
*<proof>*

**lemma** *multpw-cancel1*:

**assumes**  $\text{trans } ns$  **and**  $(y, x) \in ns$   
**shows**  $(\text{add-mset } x \ X, \text{add-mset } y \ Y) \in \text{multpw } ns \implies (X, Y) \in \text{multpw } ns$  (**is**  $?L \implies ?R$ )  
*<proof>*

**lemma** *multpw-cancel*:

**assumes**  $\text{refl } ns$  **and**  $\text{trans } ns$   
**shows**  $(X + Z, Y + Z) \in \text{multpw } ns \iff (X, Y) \in \text{multpw } ns$  (**is**  $?L \iff ?R$ )  
*<proof>*

**lemma** *multpw-cancelL*:

**assumes**  $\text{refl } ns$  **and**  $\text{trans } ns$  **shows**  $(Z + X, Z + Y) \in \text{multpw } ns \iff (X, Y) \in \text{multpw } ns$   
*<proof>*

### 3.2 Multiset extension for order pairs via the pointwise order and *mult*

**definition** *mult2-s ns s*  $\equiv \text{multpw } ns \circ \text{mult } s$

**definition** *mult2-ns ns s*  $\equiv \text{multpw } ns \circ (\text{mult } s)^\cup$

**lemma** *mult2-ns-conv*:

**shows**  $\text{mult2-ns } ns \ s = \text{mult2-s } ns \ s \cup \text{multpw } ns$   
*<proof>*

**lemma** *mono-mult2-s*:

**assumes**  $ns \subseteq ns'$  **and**  $s \subseteq s'$  **shows**  $\text{mult2-s } ns \ s \subseteq \text{mult2-s } ns' \ s'$   
*<proof>*

**lemma** *mono-mult2-ns*:

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $mult2-ns \ ns \ s \subseteq mult2-ns \ ns' \ s'$   
*<proof>*

**lemma** *wf-mult2-s*:

**assumes**  $wf \ s \ s \ O \ ns \subseteq s \ refl \ ns$   
**shows**  $wf \ (mult2-s \ ns \ s)$   
*<proof>*

**lemma** *refl-mult2-ns*:

**assumes**  $refl \ ns$  **shows**  $refl \ (mult2-ns \ ns \ s)$   
*<proof>*

**lemma** *trans-mult2-s*:

**assumes**  $s \ O \ ns \subseteq s \ refl \ ns \ trans \ ns$   
**shows**  $trans \ (mult2-s \ ns \ s)$   
*<proof>*

**lemma** *trans-mult2-ns*:

**assumes**  $s \ O \ ns \subseteq s \ refl \ ns \ trans \ ns$   
**shows**  $trans \ (mult2-ns \ ns \ s)$   
*<proof>*

**lemma** *compat-mult2*:

**assumes**  $s \ O \ ns \subseteq s \ refl \ ns \ trans \ ns$   
**shows**  $mult2-ns \ ns \ s \ O \ mult2-s \ ns \ s \subseteq mult2-s \ ns \ s \ mult2-s \ ns \ s \ O \ mult2-ns \ ns$   
 $s \subseteq mult2-s \ ns \ s$   
*<proof>*

Trivial inclusions

**lemma** *mult-implies-mult2-s*:

**assumes**  $refl \ ns \ (X, Y) \in mult \ s$   
**shows**  $(X, Y) \in mult2-s \ ns \ s$   
*<proof>*

**lemma** *mult-implies-mult2-ns*:

**assumes**  $refl \ ns \ (X, Y) \in (mult \ s)^=$   
**shows**  $(X, Y) \in mult2-ns \ ns \ s$   
*<proof>*

**lemma** *multpw-implies-mult2-ns*:

**assumes**  $(X, Y) \in multpw \ ns$   
**shows**  $(X, Y) \in mult2-ns \ ns \ s$   
*<proof>*

### 3.3 One-step versions of the multiset extensions

**lemma** *mult2-s-one-step*:

**assumes**  $ns \ O \ s \subseteq s \ refl \ ns \ trans \ s$

**shows**  $(X, Y) \in \text{mult2-}s \text{ ns } s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw ns} \wedge Y2 \neq \{\#\} \wedge (\forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)))$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemma** *mult2-ns-one-step*:

**assumes**  $ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{trans} \ s$   
**shows**  $(X, Y) \in \text{mult2-}ns \ ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw ns} \wedge (\forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)))$  (**is**  
 ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemma** *mult2-s-locally-one-step'*:

**assumes**  $ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{locally-irrefl} \ s \ X \ \text{locally-irrefl} \ s \ Y \ \text{trans} \ s$   
**shows**  $(X, Y) \in \text{mult2-}s \ ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw ns} \wedge (X2, Y2) \in \text{mult} \ s)$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemma** *mult2-s-one-step'*:

**assumes**  $ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{irrefl} \ s \ \text{trans} \ s$   
**shows**  $(X, Y) \in \text{mult2-}s \ ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw ns} \wedge (X2, Y2) \in \text{mult} \ s)$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemma** *mult2-ns-one-step'*:

**assumes**  $ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{irrefl} \ s \ \text{trans} \ s$   
**shows**  $(X, Y) \in \text{mult2-}ns \ ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw ns} \wedge (X2, Y2) \in (\text{mult} \ s)^=)$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

### 3.4 Cancellation

**lemma** *mult2-s-locally-cancel1*:

**assumes**  $s \ O \ ns \subseteq ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{trans} \ ns \ \text{locally-irrefl} \ s \ (\text{add-mset} \ z \ X)$   
 $\text{locally-irrefl} \ s \ (\text{add-mset} \ z \ Y) \ \text{trans} \ s$   
 $(\text{add-mset} \ z \ X, \text{add-mset} \ z \ Y) \in \text{mult2-}s \ ns \ s$   
**shows**  $(X, Y) \in \text{mult2-}s \ ns \ s$   
 ⟨proof⟩

**lemma** *mult2-s-cancel1*:

**assumes**  $s \ O \ ns \subseteq ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{trans} \ ns \ \text{irrefl} \ s \ \text{trans} \ s \ (\text{add-mset} \ z \ X,$   
 $\text{add-mset} \ z \ Y) \in \text{mult2-}s \ ns \ s$   
**shows**  $(X, Y) \in \text{mult2-}s \ ns \ s$   
 ⟨proof⟩

**lemma** *mult2-s-locally-cancel*:

**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ refl \ ns \ trans \ ns \ locally\text{-irrefl} \ s \ (X + Z) \ locally\text{-irrefl} \ s \ (Y + Z) \ trans \ s$   
**shows**  $(X + Z, Y + Z) \in mult2\text{-}s \ ns \ s \implies (X, Y) \in mult2\text{-}s \ ns \ s$   
 $\langle proof \rangle$

**lemma** *mult2-s-cancel*:

**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ refl \ ns \ trans \ ns \ irrefl \ s \ trans \ s$   
**shows**  $(X + Z, Y + Z) \in mult2\text{-}s \ ns \ s \implies (X, Y) \in mult2\text{-}s \ ns \ s$   
 $\langle proof \rangle$

**lemma** *mult2-ns-cancel*:

**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ refl \ ns \ trans \ s \ irrefl \ s \ trans \ ns$   
**shows**  $(X + Z, Y + Z) \in mult2\text{-}s \ ns \ s \implies (X, Y) \in mult2\text{-}ns \ ns \ s$   
 $\langle proof \rangle$

### 3.5 Implementation friendly versions of *mult2-s* and *mult2-ns*

**definition** *mult2-alt* ::  $bool \Rightarrow 'a \ rel \Rightarrow 'a \ multiset \ rel$  **where**

$mult2\text{-}alt \ b \ ns \ s = \{(X, Y). (\exists X1 \ X2 \ Y1 \ Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge (X1, Y1) \in multpw \ ns \wedge (b \vee Y2 \neq \{\#\}) \wedge (\forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)))\}$

**lemma** *mult2-altI*:

**assumes**  $X = X1 + X2 \ Y = Y1 + Y2 \ (X1, Y1) \in multpw \ ns$   
 $b \vee Y2 \neq \{\#\} \ \forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)$   
**shows**  $(X, Y) \in mult2\text{-}alt \ b \ ns \ s$   
 $\langle proof \rangle$

**lemma** *mult2-altE*:

**assumes**  $(X, Y) \in mult2\text{-}alt \ b \ ns \ s$   
**obtains**  $X1 \ X2 \ Y1 \ Y2$  **where**  $X = X1 + X2 \ Y = Y1 + Y2 \ (X1, Y1) \in multpw \ ns$   
 $b \vee Y2 \neq \{\#\} \ \forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)$   
 $\langle proof \rangle$

**lemma** *mono-mult2-alt*:

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $mult2\text{-}alt \ b \ ns \ s \subseteq mult2\text{-}alt \ b \ ns' \ s'$   
 $\langle proof \rangle$

**abbreviation**  $mult2\text{-}alt\text{-}s \equiv mult2\text{-}alt \ False$

**abbreviation**  $mult2\text{-}alt\text{-}ns \equiv mult2\text{-}alt \ True$

**lemmas**  $mult2\text{-}alt\text{-}s\text{-}def = mult2\text{-}alt\text{-}def[\mathbf{where} \ b = False, \text{unfolded simp-thms}]$

**lemmas**  $mult2\text{-}alt\text{-}ns\text{-}def = mult2\text{-}alt\text{-}def[\mathbf{where} \ b = True, \text{unfolded simp-thms}]$

**lemmas**  $mult2\text{-}alt\text{-}sI = mult2\text{-}altI[\mathbf{where} \ b = False, \text{unfolded simp-thms}]$

**lemmas**  $mult2\text{-}alt\text{-}nsI = mult2\text{-}altI[\mathbf{where} \ b = True, \text{unfolded simp-thms True-implies-equals}]$



**lemmas**  $mult2\text{-alt}\text{-}sE = mult2\text{-alt}E$  [**where**  $b = False$ , *unfolded simp-thms*]  
**lemmas**  $mult2\text{-alt}\text{-}nsE = mult2\text{-alt}E$  [**where**  $b = True$ , *unfolded simp-thms True-implies-equals*]

**Equivalence to  $mult2\text{-}s$  and  $mult2\text{-}ns$**  **lemma**  $mult2\text{-}s\text{-}eq\text{-}mult2\text{-}s\text{-}alt$ :

**assumes**  $ns \ O \ s \subseteq \ s \ refl \ ns \ trans \ s$   
**shows**  $mult2\text{-alt}\text{-}s \ ns \ s = mult2\text{-}s \ ns \ s$   
 $\langle proof \rangle$

**lemma**  $mult2\text{-}ns\text{-}eq\text{-}mult2\text{-}ns\text{-}alt$ :

**assumes**  $ns \ O \ s \subseteq \ s \ refl \ ns \ trans \ s$   
**shows**  $mult2\text{-alt}\text{-}ns \ ns \ s = mult2\text{-}ns \ ns \ s$   
 $\langle proof \rangle$

**lemma**  $mult2\text{-alt}\text{-}local$ :

**assumes**  $(X, Y) \in mult2\text{-alt} \ b \ ns \ s$   
**shows**  $(X, Y) \in mult2\text{-alt} \ b \ (ns \cap \ set\text{-}mset \ X \times \ set\text{-}mset \ Y) \ (s \cap \ set\text{-}mset \ X \times \ set\text{-}mset \ Y)$   
 $\langle proof \rangle$

### 3.6 Local well-foundedness: restriction to downward closure of a set

**definition**  $wf\text{-below} :: 'a \ rel \Rightarrow 'a \ set \Rightarrow bool$  **where**

$wf\text{-below} \ r \ A = wf \ (Restr \ r \ ((r^*)^{-1} \ `` \ A))$

**lemma**  $wf\text{-below}\text{-}UNIV$  [*simp*]:

**shows**  $wf\text{-below} \ r \ UNIV \longleftrightarrow wf \ r$   
 $\langle proof \rangle$

**lemma**  $wf\text{-below}\text{-}mono1$ :

**assumes**  $r \subseteq r'$  **shows**  $wf\text{-below} \ r' \ A \implies wf\text{-below} \ r \ A$   
 $\langle proof \rangle$

**lemma**  $wf\text{-below}\text{-}mono2$ :

**assumes**  $A \subseteq A'$  **shows**  $wf\text{-below} \ r \ A' \implies wf\text{-below} \ r \ A$   
 $\langle proof \rangle$

**lemma**  $wf\text{-below}\text{-}pointwise$ :

$wf\text{-below} \ r \ A \longleftrightarrow (\forall a. a \in A \longrightarrow wf\text{-below} \ r \ \{a\})$  (**is**  $?L \longleftrightarrow ?R$ )  
 $\langle proof \rangle$

**lemma**  $SN\text{-on}\text{-Image}\text{-}rtrancl\text{-}conv$ :

$SN\text{-on} \ r \ A \longleftrightarrow SN\text{-on} \ r \ (r^* \ `` \ A)$  (**is**  $?L \longleftrightarrow ?R$ )  
 $\langle proof \rangle$

**lemma**  $SN\text{-on}\text{-iff}\text{-}wf\text{-below}$ :

$SN\text{-on} \ r \ A \longleftrightarrow wf\text{-below} \ (r^{-1}) \ A$   
 $\langle proof \rangle$

**lemma** *restr-trancl-under*:  
**shows**  $\text{Restr } (r^+) ((r^*)^{-1} \text{ `` } A) = (\text{Restr } r ((r^*)^{-1} \text{ `` } A))^+$   
 $\langle \text{proof} \rangle$

**lemma** *wf-below-trancl*:  
**shows**  $\text{wf-below } (r^+) A \longleftrightarrow \text{wf-below } r A$   
 $\langle \text{proof} \rangle$

**lemma** *wf-below-mult-local*:  
**assumes**  $\text{wf-below } r (\text{set-mset } X)$  **shows**  $\text{wf-below } (\text{mult } r) \{X\}$   
 $\langle \text{proof} \rangle$

**lemma** *qc-wf-below*:  
**assumes**  $s \ O \ ns \subseteq (s \cup ns)^* \ O \ s \ \text{wf-below } s \ A$   
**shows**  $\text{wf-below } (ns^* \ O \ s \ O \ ns^*) A$   
 $\langle \text{proof} \rangle$

**lemma** *wf-below-mult2-s-local*:  
**assumes**  $\text{wf-below } s (\text{set-mset } X) \ s \ O \ ns \subseteq s \ \text{refl } ns \ \text{trans } ns$   
**shows**  $\text{wf-below } (\text{mult2-s } ns \ s) \{X\}$   
 $\langle \text{proof} \rangle$

### 3.7 Trivial cases

**lemma** *mult2-alt-emptyL*:  
 $(\{\#\}, Y) \in \text{mult2-alt } b \ ns \ s \longleftrightarrow b \vee Y \neq \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *mult2-alt-emptyR*:  
 $(X, \{\#\}) \in \text{mult2-alt } b \ ns \ s \longleftrightarrow b \wedge X = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *mult2-alt-s-single*:  
 $(a, b) \in s \implies (\{\#a\# \}, \{\#b\# \}) \in \text{mult2-alt-s } ns \ s$   
 $\langle \text{proof} \rangle$

**lemma** *multpw-implies-mult2-alt-ns*:  
**assumes**  $(X, Y) \in \text{multpw } ns$   
**shows**  $(X, Y) \in \text{mult2-alt-ns } ns \ s$   
 $\langle \text{proof} \rangle$

**lemma** *mult2-alt-ns-conv*:  
 $\text{mult2-alt-ns } ns \ s = \text{mult2-alt-s } ns \ s \cup \text{multpw } ns \ (\text{is } ?l = ?r)$   
 $\langle \text{proof} \rangle$

**lemma** *mult2-alt-s-implies-mult2-alt-ns*:  
**assumes**  $(X, Y) \in \text{mult2-alt-s } ns \ s$

**shows**  $(X, Y) \in \text{mult2-alt-ns } ns \ s$   
 ⟨proof⟩

**lemma** *mult2-alt-add*:

**assumes**  $(X1, Y1) \in \text{mult2-alt } b1 \ ns \ s$  **and**  $(X2, Y2) \in \text{mult2-alt } b2 \ ns \ s$   
**shows**  $(X1 + X2, Y1 + Y2) \in \text{mult2-alt } (b1 \wedge b2) \ ns \ s$   
 ⟨proof⟩

**lemmas** *mult2-alt-s-s-add* = *mult2-alt-add*[of - - False - - - False, unfolded *simp-thms*]

**lemmas** *mult2-alt-ns-s-add* = *mult2-alt-add*[of - - True - - - False, unfolded *simp-thms*]

**lemmas** *mult2-alt-s-ns-add* = *mult2-alt-add*[of - - False - - - True, unfolded *simp-thms*]

**lemmas** *mult2-alt-ns-ns-add* = *mult2-alt-add*[of - - True - - - True, unfolded *simp-thms*]

**lemma** *multpw-map*:

**assumes**  $\bigwedge x \ y. x \in\# X \implies y \in\# Y \implies (x, y) \in ns \implies (f \ x, g \ y) \in ns'$   
**and**  $(X, Y) \in \text{multpw } ns$   
**shows**  $(\text{image-mset } f \ X, \text{image-mset } g \ Y) \in \text{multpw } ns'$   
 ⟨proof⟩

**lemma** *mult2-alt-map*:

**assumes**  $\bigwedge x \ y. x \in\# X \implies y \in\# Y \implies (x, y) \in ns \implies (f \ x, g \ y) \in ns'$   
**and**  $\bigwedge x \ y. x \in\# X \implies y \in\# Y \implies (x, y) \in s \implies (f \ x, g \ y) \in s'$   
**and**  $(X, Y) \in \text{mult2-alt } b \ ns \ s$   
**shows**  $(\text{image-mset } f \ X, \text{image-mset } g \ Y) \in \text{mult2-alt } b \ ns' \ s'$   
 ⟨proof⟩

Local transitivity of *mult2-alt*

**lemma** *trans-mult2-alt-local*:

**assumes** *ss*:  $\bigwedge x \ y \ z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in s \implies (y, z) \in s \implies (x, z) \in s$   
**and** *ns*:  $\bigwedge x \ y \ z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in ns \implies (y, z) \in ns \implies (x, z) \in ns$   
**and** *sn*:  $\bigwedge x \ y \ z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in s \implies (y, z) \in ns \implies (x, z) \in ns$   
**and** *nn*:  $\bigwedge x \ y \ z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in ns \implies (y, z) \in ns \implies (x, z) \in ns$   
**and** *xyz*:  $(X, Y) \in \text{mult2-alt } b1 \ ns \ s$   $(Y, Z) \in \text{mult2-alt } b2 \ ns \ s$   
**shows**  $(X, Z) \in \text{mult2-alt } (b1 \wedge b2) \ ns \ s$   
 ⟨proof⟩

**lemmas** *trans-mult2-alt-s-s-local* = *trans-mult2-alt-local*[of - - - - False False, unfolded *simp-thms*]

**lemmas** *trans-mult2-alt-ns-s-local* = *trans-mult2-alt-local*[of - - - - True False, unfolded *simp-thms*]

**lemmas** *trans-mult2-alt-s-ns-local* = *trans-mult2-alt-local*[of - - - - *False True*,  
*unfolded simp-thms*]

**lemmas** *trans-mult2-alt-ns-ns-local* = *trans-mult2-alt-local*[of - - - - *True True*,  
*unfolded simp-thms*]

**end**

### 3.8 Executable version

**theory** *Multiset-Extension-Pair-Impl*

**imports**

*Multiset-Extension-Pair*

**begin**

**lemma** *subset-mult2-alt*:

**assumes**  $X \subseteq\# Y$  ( $Y, Z$ )  $\in$  *mult2-alt*  $b$   $ns$   $s$   $b \implies b'$

**shows**  $(X, Z) \in$  *mult2-alt*  $b'$   $ns$   $s$

*<proof>*

Case distinction for recursion on left argument

**lemma** *mem-multiset-diff*:  $x \in\# A \implies x \neq y \implies x \in\# (A - \{\#y\#})$

*<proof>*

**lemma** *mult2-alt-addL*:  $(add\text{-}mset\ x\ X,\ Y) \in$  *mult2-alt*  $b$   $ns$   $s \longleftrightarrow$

$(\exists y. y \in\# Y \wedge (x, y) \in s \wedge (\{\#x \in\# X. (x, y) \notin s \#\}, Y - \{\#y\#}) \in$   
*mult2-alt-ns*  $ns$   $s) \vee$

$(\exists y. y \in\# Y \wedge (x, y) \in ns \wedge (x, y) \notin s \wedge (X, Y - \{\#y\#}) \in$  *mult2-alt*  $b$   $ns$   $s)$

(**is** ?*L*  $\longleftrightarrow$  ?*R1*  $\vee$  ?*R2*)

*<proof>*

Auxiliary version with an extra *bool* argument for distinguishing between the non-strict and the strict orders

**context fixes** *nss* :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool*

**begin**

**fun** *mult2-impl0* :: '*a* *list*  $\Rightarrow$  '*a* *list*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool*

**and** *mult2-ex-dom0* :: '*a*  $\Rightarrow$  '*a* *list*  $\Rightarrow$  '*a* *list*  $\Rightarrow$  '*a* *list*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool*

**where**

*mult2-impl0* [] []  $b \longleftrightarrow b$

| *mult2-impl0* *xs* []  $b \longleftrightarrow False$

| *mult2-impl0* [] *ys*  $b \longleftrightarrow True$

| *mult2-impl0* ( $x \# xs$ ) *ys*  $b \longleftrightarrow mult2\text{-}ex\text{-}dom0\ x\ xs\ ys$  []  $b$

| *mult2-ex-dom0*  $x\ xs$  [] *ys'*  $b \longleftrightarrow False$

| *mult2-ex-dom0*  $x\ xs$  ( $y \# ys$ ) *ys'*  $b \longleftrightarrow$

$nss\ x\ y\ False \wedge mult2\text{-}impl0\ (filter\ (\lambda x. \neg nss\ x\ y\ False)\ xs)\ (ys\ @\ ys')\ True \vee$

$nss\ x\ y\ True \wedge \neg nss\ x\ y\ False \wedge mult2\text{-}impl0\ xs\ (ys\ @\ ys')\ b \vee$

$mult2\text{-}ex\text{-}dom0\ x\ xs\ ys\ (y \# ys')\ b$

**end**

**lemma** *mult2-impl0-sound*:

**fixes** *nss*  
**defines**  $ns \equiv \{(x, y). nss\ x\ y\ True\}$  **and**  $s \equiv \{(x, y). nss\ x\ y\ False\}$   
**shows**  $mult2-impl0\ nss\ xs\ ys\ b \longleftrightarrow (mset\ xs, mset\ ys) \in mult2-alt\ b\ ns\ s$   
 $mult2-ex-dom0\ nss\ x\ xs\ ys\ ys'\ b \longleftrightarrow$   
 $(\exists y. y \in \# mset\ ys \wedge (x, y) \in s \wedge (mset\ (filter\ (\lambda x. (x, y) \notin s)\ xs), mset\ (ys$   
 $@\ ys^\wedge) - \{\#y\#}) \in mult2-alt\ True\ ns\ s) \vee$   
 $(\exists y. y \in \# mset\ ys \wedge (x, y) \in ns \wedge (x, y) \notin s \wedge (mset\ xs, mset\ (ys\ @\ ys') -$   
 $\{\#y\#}) \in mult2-alt\ b\ ns\ s)$   
 $\langle proof \rangle$

Now, instead of functions of type  $bool \Rightarrow bool$ , use pairs of type  $bool \times bool$

**definition** [*simp*]:  $or2\ a\ b = (fst\ a \vee fst\ b, snd\ a \vee snd\ b)$

**context** **fixes**  $sns :: 'a \Rightarrow 'a \Rightarrow bool \times bool$

**begin**

**fun** *mult2-impl* ::  $'a\ list \Rightarrow 'a\ list \Rightarrow bool \times bool$

**and** *mult2-ex-dom* ::  $'a \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool \times bool$

**where**

$mult2-impl\ [] \quad [] = (False, True)$   
 $| mult2-impl\ xs \quad [] = (False, False)$   
 $| mult2-impl\ [] \quad ys = (True, True)$   
 $| mult2-impl\ (x \# xs)\ ys = mult2-ex-dom\ x\ xs\ ys\ []$

$| mult2-ex-dom\ x\ xs\ [] \quad ys' = (False, False)$

$| mult2-ex-dom\ x\ xs\ (y \# ys)\ ys' =$

$(case\ sns\ x\ y\ of$

$(True, -) \Rightarrow if\ snd\ (mult2-impl\ (filter\ (\lambda x. \neg\ fst\ (sns\ x\ y))\ xs)\ (ys\ @\ ys^\wedge))$

$then\ (True, True)$

$else\ mult2-ex-dom\ x\ xs\ ys\ (y \# ys^\wedge)$

$| (False, True) \Rightarrow or2\ (mult2-impl\ xs\ (ys\ @\ ys^\wedge))\ (mult2-ex-dom\ x\ xs\ ys\ (y \#$   
 $ys^\wedge))$

$| - \Rightarrow mult2-ex-dom\ x\ xs\ ys\ (y \# ys^\wedge)$

**end**

**lemma** *mult2-impl-sound0*:

**defines**  $pair \equiv \lambda f. (f\ False, f\ True)$  **and**  $fun \equiv \lambda p\ b. if\ b\ then\ snd\ p\ else\ fst\ p$

**shows**  $mult2-impl\ sns\ xs\ ys = pair\ (mult2-impl0\ (\lambda x\ y. fun\ (sns\ x\ y))\ xs\ ys)$  **(is**  
 $?P)$

$mult2-ex-dom\ sns\ x\ xs\ ys\ ys' = pair\ (mult2-ex-dom0\ (\lambda x\ y. fun\ (sns\ x\ y))\ x\ xs$   
 $ys\ ys^\wedge)$  **(is**  $?Q)$

$\langle proof \rangle$

**lemmas**  $mult2-impl-sound = mult2-impl-sound0(1)[unfolded\ mult2-impl0-sound$   
 $if-True\ if-False]$

end

## 4 Multiset extension of order pairs in the other direction

Many term orders are formulated in the other direction, i.e., they use strong normalization of  $>$  instead of well-foundedness of  $<$ . Here, we flip the direction of the multiset extension of two orders, connect it to existing interfaces, and prove some further properties of the multiset extension.

```
theory Multiset-Extension2
  imports
    List-Order
    Multiset-Extension-Pair
begin
```

### 4.1 List based characterization of *multpw*

```
lemma multpw-listI:
  assumes  $length\ xs = length\ ys\ X = mset\ xs\ Y = mset\ ys$ 
     $\forall i. i < length\ ys \longrightarrow (xs\ !\ i, ys\ !\ i) \in ns$ 
  shows  $(X, Y) \in multpw\ ns$ 
  <proof>
```

```
lemma multpw-listE:
  assumes  $(X, Y) \in multpw\ ns$ 
  obtains  $xs\ ys$  where  $length\ xs = length\ ys\ X = mset\ xs\ Y = mset\ ys$ 
     $\forall i. i < length\ ys \longrightarrow (xs\ !\ i, ys\ !\ i) \in ns$ 
  <proof>
```

### 4.2 Definition of the multiset extension of $>$ -orders

We define here the non-strict extension of the order pair  $(\geq, >)$  – usually written as  $(ns, s)$  in the sources – by just flipping the directions twice.

```
definition ns-mul-ext :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a multiset rel
  where  $ns\text{-mul-ext}\ ns\ s \equiv (mult2\text{-alt-ns}\ (ns^{-1})\ (s^{-1}))^{-1}$ 
```

```
lemma ns-mul-extI:
  assumes  $A = A1 + A2$  and  $B = B1 + B2$ 
    and  $(A1, B1) \in multpw\ ns$ 
    and  $\bigwedge b. b \in \# B2 \implies \exists a. a \in \# A2 \wedge (a, b) \in s$ 
  shows  $(A, B) \in ns\text{-mul-ext}\ ns\ s$ 
  <proof>
```

```
lemma ns-mul-extE:
  assumes  $(A, B) \in ns\text{-mul-ext}\ ns\ s$ 
  obtains  $A1\ A2\ B1\ B2$  where  $A = A1 + A2$  and  $B = B1 + B2$ 
    and  $(A1, B1) \in multpw\ ns$ 
```

**and**  $\bigwedge b. b \in\# B2 \implies \exists a. a \in\# A2 \wedge (a, b) \in s$   
 $\langle proof \rangle$

**lemmas**  $ns\text{-mul-extI-old} = ns\text{-mul-extI}[OF - - \text{multpw-listI}[OF - refl refl], \text{rule-format}]$

Same for the "greater than" order on multisets.

**definition**  $s\text{-mul-ext} :: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ multiset rel}$   
**where**  $s\text{-mul-ext } ns \ s \equiv (\text{mult2-alt-s } (ns^{-1}) \ (s^{-1}))^{-1}$

**lemma**  $s\text{-mul-extI}$ :

**assumes**  $A = A1 + A2$  **and**  $B = B1 + B2$   
**and**  $(A1, B1) \in \text{multpw } ns$   
**and**  $A2 \neq \{\#\}$  **and**  $\bigwedge b. b \in\# B2 \implies \exists a. a \in\# A2 \wedge (a, b) \in s$   
**shows**  $(A, B) \in s\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

**lemma**  $s\text{-mul-extE}$ :

**assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**obtains**  $A1 \ A2 \ B1 \ B2$  **where**  $A = A1 + A2$  **and**  $B = B1 + B2$   
**and**  $(A1, B1) \in \text{multpw } ns$   
**and**  $A2 \neq \{\#\}$  **and**  $\bigwedge b. b \in\# B2 \implies \exists a. a \in\# A2 \wedge (a, b) \in s$   
 $\langle proof \rangle$

**lemmas**  $s\text{-mul-extI-old} = s\text{-mul-extI}[OF - - \text{multpw-listI}[OF - refl refl], \text{rule-format}]$

### 4.3 Basic properties

**lemma**  $s\text{-mul-ext-mono}$ :

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $s\text{-mul-ext } ns \ s \subseteq s\text{-mul-ext } ns' \ s'$   
 $\langle proof \rangle$

**lemma**  $ns\text{-mul-ext-mono}$ :

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $ns\text{-mul-ext } ns \ s \subseteq ns\text{-mul-ext } ns' \ s'$   
 $\langle proof \rangle$

**lemma**  $s\text{-mul-ext-local-mono}$ :

**assumes**  $sub: (\text{set-mset } xs \times \text{set-mset } ys) \cap ns \subseteq ns' (\text{set-mset } xs \times \text{set-mset } ys)$   
 $\cap s \subseteq s'$   
**and**  $rel: (xs, ys) \in s\text{-mul-ext } ns \ s$   
**shows**  $(xs, ys) \in s\text{-mul-ext } ns' \ s'$   
 $\langle proof \rangle$

**lemma**  $ns\text{-mul-ext-local-mono}$ :

**assumes**  $sub: (\text{set-mset } xs \times \text{set-mset } ys) \cap ns \subseteq ns' (\text{set-mset } xs \times \text{set-mset } ys)$   
 $\cap s \subseteq s'$   
**and**  $rel: (xs, ys) \in ns\text{-mul-ext } ns \ s$   
**shows**  $(xs, ys) \in ns\text{-mul-ext } ns' \ s'$   
 $\langle proof \rangle$

**lemma**  $s\text{-mul-ext-ord-s}$  [*mono*]:

**assumes**  $\bigwedge s t. \text{ord } s t \longrightarrow \text{ord}' s t$   
**shows**  $(s, t) \in s\text{-mul-ext } ns \{(s,t). \text{ord } s t\} \longrightarrow (s, t) \in s\text{-mul-ext } ns \{(s,t). \text{ord}' s t\}$   
 $\langle \text{proof} \rangle$

**lemma** *ns-mul-ext-ord-s* [mono]:

**assumes**  $\bigwedge s t. \text{ord } s t \longrightarrow \text{ord}' s t$   
**shows**  $(s, t) \in ns\text{-mul-ext } ns \{(s,t). \text{ord } s t\} \longrightarrow (s, t) \in ns\text{-mul-ext } ns \{(s,t). \text{ord}' s t\}$   
 $\langle \text{proof} \rangle$

The empty multiset is the minimal element for these orders

**lemma** *ns-mul-ext-bottom*:  $(A, \{\#\}) \in ns\text{-mul-ext } ns s$   
 $\langle \text{proof} \rangle$

**lemma** *ns-mul-ext-bottom-uniqueness*:

**assumes**  $(\{\#\}, A) \in ns\text{-mul-ext } ns s$   
**shows**  $A = \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *ns-mul-ext-bottom2*:

**assumes**  $(A, B) \in ns\text{-mul-ext } ns s$   
**and**  $B \neq \{\#\}$   
**shows**  $A \neq \{\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *s-mul-ext-bottom*:

**assumes**  $A \neq \{\#\}$   
**shows**  $(A, \{\#\}) \in s\text{-mul-ext } ns s$   
 $\langle \text{proof} \rangle$

**lemma** *s-mul-ext-bottom-strict*:

$(\{\#\}, A) \notin s\text{-mul-ext } ns s$   
 $\langle \text{proof} \rangle$

Obvious introduction rules.

**lemma** *all-ns-ns-mul-ext*:

**assumes**  $\text{length } as = \text{length } bs$   
**and**  $\forall i. i < \text{length } bs \longrightarrow (as ! i, bs ! i) \in ns$   
**shows**  $(mset as, mset bs) \in ns\text{-mul-ext } ns s$   
 $\langle \text{proof} \rangle$

**lemma** *all-s-s-mul-ext*:

**assumes**  $A \neq \{\#\}$   
**and**  $\forall b. b \in \# B \longrightarrow (\exists a. a \in \# A \wedge (a, b) \in s)$   
**shows**  $(A, B) \in s\text{-mul-ext } ns s$   
 $\langle \text{proof} \rangle$

Being strictly lesser than implies being lesser than

**lemma** *s-ns-mul-ext*:



**assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**shows**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

The non-strict order is reflexive.

**lemma** *multpw-refl'*:  
**assumes** *locally-refl ns A*  
**shows**  $(A, A) \in multpw \ ns$   
 $\langle proof \rangle$

**lemma** *ns-mul-ext-refl-local*:  
**assumes** *locally-refl ns A*  
**shows**  $(A, A) \in ns\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

**lemma** *ns-mul-ext-refl*:  
**assumes** *refl ns*  
**shows**  $(A, A) \in ns\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

The orders are union-compatible

**lemma** *ns-s-mul-ext-union-multiset-l*:  
**assumes**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and**  $C \neq \{\#\}$   
**and**  $\forall d. d \in\# D \longrightarrow (\exists c. c \in\# C \wedge (c,d) \in s)$   
**shows**  $(A + C, B + D) \in s\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

**lemma** *s-mul-ext-union-compat*:  
**assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**and** *locally-refl ns C*  
**shows**  $(A + C, B + C) \in s\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

**lemma** *ns-mul-ext-union-compat*:  
**assumes**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and** *locally-refl ns C*  
**shows**  $(A + C, B + C) \in ns\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

**context**  
**fixes**  $NS :: 'a \ rel$   
**assumes**  $NS: refl \ NS$   
**begin**

**lemma** *refl-imp-locally-refl*: *locally-refl NS A*  $\langle proof \rangle$

**lemma** *supseteq-imp-ns-mul-ext*:  
**assumes**  $A \supseteq\# B$

**shows**  $(A, B) \in ns\text{-mul-ext } NS S$   
 $\langle proof \rangle$

**lemma** *supset-imp-s-mul-ext*:

**assumes**  $A \supset\# B$   
**shows**  $(A, B) \in s\text{-mul-ext } NS S$   
 $\langle proof \rangle$

**end**

**definition** *mul-ext* ::  $('a \Rightarrow 'a \Rightarrow bool \times bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool \times bool$   
**where**  $mul\text{-ext } f\ xs\ ys \equiv let\ s = \{(x,y). fst\ (f\ x\ y)\};\ ns = \{(x,y). snd\ (f\ x\ y)\}$   
 $in\ ((mset\ xs, mset\ ys) \in s\text{-mul-ext } ns\ s, (mset\ xs, mset\ ys) \in ns\text{-mul-ext } ns\ s)$

**definition** *smulextp*  $f\ m\ n \longleftrightarrow (m, n) \in s\text{-mul-ext } \{(x, y). snd\ (f\ x\ y)\} \{(x, y). fst\ (f\ x\ y)\}$

**definition** *nsmulextp*  $f\ m\ n \longleftrightarrow (m, n) \in ns\text{-mul-ext } \{(x, y). snd\ (f\ x\ y)\} \{(x, y). fst\ (f\ x\ y)\}$

**lemma** *smulextp-cong*[*fundef-cong*]:

**assumes**  $xs1 = ys1$   
**and**  $xs2 = ys2$   
**and**  $\bigwedge x\ x'. x \in\# ys1 \implies x' \in\# ys2 \implies f\ x\ x' = g\ x\ x'$   
**shows**  $smulextp\ f\ xs1\ xs2 = smulextp\ g\ ys1\ ys2$   
 $\langle proof \rangle$

**lemma** *nsmulextp-cong*[*fundef-cong*]:

**assumes**  $xs1 = ys1$   
**and**  $xs2 = ys2$   
**and**  $\bigwedge x\ x'. x \in\# ys1 \implies x' \in\# ys2 \implies f\ x\ x' = g\ x\ x'$   
**shows**  $nsmulextp\ f\ xs1\ xs2 = nsmulextp\ g\ ys1\ ys2$   
 $\langle proof \rangle$

**definition** *mulextp*  $f\ m\ n = (smulextp\ f\ m\ n, nsmulextp\ f\ m\ n)$

**lemma** *mulextp-cong*[*fundef-cong*]:

**assumes**  $xs1 = ys1$   
**and**  $xs2 = ys2$   
**and**  $\bigwedge x\ x'. x \in\# ys1 \implies x' \in\# ys2 \implies f\ x\ x' = g\ x\ x'$   
**shows**  $mulextp\ f\ xs1\ xs2 = mulextp\ g\ ys1\ ys2$   
 $\langle proof \rangle$

**lemma** *mset-s-mul-ext*:

$(mset\ xs, mset\ ys) \in s\text{-mul-ext } \{(x, y). snd\ (f\ x\ y)\} \{(x, y).fst\ (f\ x\ y)\} \longleftrightarrow$   
 $fst\ (mul\text{-ext } f\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *mset-ns-mul-ext*:

$(mset\ xs, mset\ ys) \in ns\text{-}mul\text{-}ext\ \{(x, y).\ snd\ (f\ x\ y)\}\ \{(x, y).\ fst\ (f\ x\ y)\} \longleftrightarrow$   
 $\quad\quad\quad\ snd\ (mul\text{-}ext\ f\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *smulextp-mset-code*:  
 $smulextp\ f\ (mset\ xs)\ (mset\ ys) \longleftrightarrow fst\ (mul\text{-}ext\ f\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *nsmulextp-mset-code*:  
 $nsmulextp\ f\ (mset\ xs)\ (mset\ ys) \longleftrightarrow snd\ (mul\text{-}ext\ f\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *nstri-mul-ext-map*:  
**assumes**  $\bigwedge s\ t. s \in set\ ss \implies t \in set\ ts \implies fst\ (order\ s\ t) \implies fst\ (order'\ (f\ s)$   
 $(f\ t))$   
**and**  $\bigwedge s\ t. s \in set\ ss \implies t \in set\ ts \implies snd\ (order\ s\ t) \implies snd\ (order'\ (f\ s)\ (f$   
 $t))$   
**and**  $snd\ (mul\text{-}ext\ order\ ss\ ts)$   
**shows**  $snd\ (mul\text{-}ext\ order'\ (map\ f\ ss)\ (map\ f\ ts))$   
 $\langle proof \rangle$

**lemma** *stri-mul-ext-map*:  
**assumes**  $\bigwedge s\ t. s \in set\ ss \implies t \in set\ ts \implies fst\ (order\ s\ t) \implies fst\ (order'\ (f\ s)$   
 $(f\ t))$   
**and**  $\bigwedge s\ t. s \in set\ ss \implies t \in set\ ts \implies snd\ (order\ s\ t) \implies snd\ (order'\ (f\ s)\ (f$   
 $t))$   
**and**  $fst\ (mul\text{-}ext\ order\ ss\ ts)$   
**shows**  $fst\ (mul\text{-}ext\ order'\ (map\ f\ ss)\ (map\ f\ ts))$   
 $\langle proof \rangle$

**lemma** *mul-ext-arg-empty*:  $snd\ (mul\text{-}ext\ f\ []\ xs) \implies xs = []$   
 $\langle proof \rangle$

The non-strict order is irreflexive

**lemma** *s-mul-ext-irrefl*: **assumes** *irr*: *irrefl-on* (*set-mset* *A*) *S*  
**and** *S-NS*:  $S \subseteq NS$   
**and** *compat*:  $S\ O\ NS \subseteq S$   
**shows**  $(A, A) \notin s\text{-}mul\text{-}ext\ NS\ S\ \langle proof \rangle$

**lemma** *mul-ext-irrefl*: **assumes**  $\bigwedge x. x \in set\ xs \implies \neg\ fst\ (rel\ x\ x)$   
**and**  $\bigwedge x\ y\ z. fst\ (rel\ x\ y) \implies snd\ (rel\ y\ z) \implies fst\ (rel\ x\ z)$   
**and**  $\bigwedge x\ y. fst\ (rel\ x\ y) \implies snd\ (rel\ x\ y)$   
**shows**  $\neg\ fst\ (mul\text{-}ext\ rel\ xs\ xs)$   
 $\langle proof \rangle$

The non-strict order is transitive.

**lemma** *ns-mul-ext-trans*:  
**assumes** *trans* *s* *trans* *ns* *compatible-l* *ns* *s* *compatible-r* *ns* *s* *refl* *ns*

**and**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and**  $(B, C) \in ns\text{-mul-ext } ns \ s$   
**shows**  $(A, C) \in ns\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

The strict order is trans.

**lemma** *s-mul-ext-trans*:

**assumes**  $trans \ s \ trans \ ns \ compatible\text{-}l \ ns \ s \ compatible\text{-}r \ ns \ s \ refl \ ns$   
**and**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**and**  $(B, C) \in s\text{-mul-ext } ns \ s$   
**shows**  $(A, C) \in s\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

The strict order is compatible on the left with the non strict one

**lemma** *s-ns-mul-ext-trans*:

**assumes**  $trans \ s \ trans \ ns \ compatible\text{-}l \ ns \ s \ compatible\text{-}r \ ns \ s \ refl \ ns$   
**and**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**and**  $(B, C) \in ns\text{-mul-ext } ns \ s$   
**shows**  $(A, C) \in s\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

The strict order is compatible on the right with the non-strict one.

**lemma** *ns-s-mul-ext-trans*:

**assumes**  $trans \ s \ trans \ ns \ compatible\text{-}l \ ns \ s \ compatible\text{-}r \ ns \ s \ refl \ ns$   
**and**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and**  $(B, C) \in s\text{-mul-ext } ns \ s$   
**shows**  $(A, C) \in s\text{-mul-ext } ns \ s$   
 $\langle proof \rangle$

*s-mul-ext* is strongly normalizing

**lemma** *SN-s-mul-ext-strong*:

**assumes**  $order\text{-}pair \ s \ ns$   
**and**  $\forall y. y \in \# \ M \longrightarrow SN\text{-on } s \ \{y\}$   
**shows**  $SN\text{-on } (s\text{-mul-ext } ns \ s) \ \{M\}$   
 $\langle proof \rangle$

**lemma** *SN-s-mul-ext*:

**assumes**  $order\text{-}pair \ s \ ns \ SN \ s$   
**shows**  $SN \ (s\text{-mul-ext } ns \ s)$   
 $\langle proof \rangle$

**lemma** *(in order-pair) mul-ext-order-pair*:

$order\text{-}pair \ (s\text{-mul-ext } NS \ S) \ (ns\text{-mul-ext } NS \ S) \ (\mathbf{is} \ order\text{-}pair \ ?S \ ?NS)$   
 $\langle proof \rangle$

**lemma** *(in SN-order-pair) mul-ext-SN-order-pair*:  $SN\text{-order-pair} \ (s\text{-mul-ext } NS \ S)$   
 $(ns\text{-mul-ext } NS \ S)$

$(\mathbf{is} \ SN\text{-order-pair} \ ?S \ ?NS)$   
 $\langle proof \rangle$

**lemma** *mul-ext-compat*:

**assumes** *compat*:  $\bigwedge s t u. \llbracket s \in \text{set } ss; t \in \text{set } ts; u \in \text{set } us \rrbracket \implies$   
 $(\text{snd } (f s t) \wedge \text{fst } (f t u) \longrightarrow \text{fst } (f s u)) \wedge$   
 $(\text{fst } (f s t) \wedge \text{snd } (f t u) \longrightarrow \text{fst } (f s u)) \wedge$   
 $(\text{snd } (f s t) \wedge \text{snd } (f t u) \longrightarrow \text{snd } (f s u)) \wedge$   
 $(\text{fst } (f s t) \wedge \text{fst } (f t u) \longrightarrow \text{fst } (f s u))$

**shows**

$(\text{snd } (\text{mul-ext } f ss ts) \wedge \text{fst } (\text{mul-ext } f ts us) \longrightarrow \text{fst } (\text{mul-ext } f ss us)) \wedge$   
 $(\text{fst } (\text{mul-ext } f ss ts) \wedge \text{snd } (\text{mul-ext } f ts us) \longrightarrow \text{fst } (\text{mul-ext } f ss us)) \wedge$   
 $(\text{snd } (\text{mul-ext } f ss ts) \wedge \text{snd } (\text{mul-ext } f ts us) \longrightarrow \text{snd } (\text{mul-ext } f ss us)) \wedge$   
 $(\text{fst } (\text{mul-ext } f ss ts) \wedge \text{fst } (\text{mul-ext } f ts us) \longrightarrow \text{fst } (\text{mul-ext } f ss us))$

*<proof>*

**lemma** *mul-ext-cong*[*fundef-cong*]:

**assumes**  $\text{mset } xs1 = \text{mset } ys1$   
**and**  $\text{mset } xs2 = \text{mset } ys2$   
**and**  $\bigwedge x x'. x \in \text{set } ys1 \implies x' \in \text{set } ys2 \implies f x x' = g x x'$   
**shows**  $\text{mul-ext } f xs1 xs2 = \text{mul-ext } g ys1 ys2$

*<proof>*

**lemma** *all-nstri-imp-mul-nstri*:

**assumes**  $\forall i < \text{length } ys. \text{snd } (f (xs ! i) (ys ! i))$   
**and**  $\text{length } xs = \text{length } ys$   
**shows**  $\text{snd } (\text{mul-ext } f xs ys)$

*<proof>*

**lemma** *relation-inter*:

**shows**  $\{(x,y). P x y\} \cap \{(x,y). Q x y\} = \{(x,y). P x y \wedge Q x y\}$

*<proof>*

**lemma** *mul-ext-unfold*:

$(x,y) \in \{(a,b). \text{fst } (\text{mul-ext } g a b)\} \iff (\text{mset } x, \text{mset } y) \in (s\text{-mul-ext } \{(a,b). \text{snd } (g a b)\} \{(a,b). \text{fst } (g a b)\})$

*<proof>*

The next lemma is a local version of strong-normalization of the multi-set extension, where the base-order only has to be strongly normalizing on elements of the multisets. This will be crucial for orders that are defined recursively on terms, such as RPO or WPO.

**lemma** *mul-ext-SN*:

**assumes**  $\forall x. \text{snd } (g x x)$   
**and**  $\forall x y z. \text{fst } (g x y) \longrightarrow \text{snd } (g y z) \longrightarrow \text{fst } (g x z)$   
**and**  $\forall x y z. \text{snd } (g x y) \longrightarrow \text{fst } (g y z) \longrightarrow \text{fst } (g x z)$   
**and**  $\forall x y z. \text{snd } (g x y) \longrightarrow \text{snd } (g y z) \longrightarrow \text{snd } (g x z)$   
**and**  $\forall x y z. \text{fst } (g x y) \longrightarrow \text{fst } (g y z) \longrightarrow \text{fst } (g x z)$

**shows**  $\text{SN } \{(ys, xs).$

$(\forall y \in \text{set } ys. \text{SN-on } \{(s,t). \text{fst } (g s t)\} \{y\}) \wedge$   
 $\text{fst } (\text{mul-ext } g ys xs)\}$

*<proof>*

**lemma** *mul-ext-stri-imp-nstri*:  
 **assumes** *fst* (*mul-ext f as bs*)  
 **shows** *snd* (*mul-ext f as bs*)  
 *<proof>*

**lemma** *ns-ns-mul-ext-union-compat*:  
 **assumes**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
 **and**  $(C, D) \in ns\text{-mul-ext } ns \ s$   
 **shows**  $(A + C, B + D) \in ns\text{-mul-ext } ns \ s$   
 *<proof>*

**lemma** *s-ns-mul-ext-union-compat*:  
 **assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
 **and**  $(C, D) \in ns\text{-mul-ext } ns \ s$   
 **shows**  $(A + C, B + D) \in s\text{-mul-ext } ns \ s$   
 *<proof>*

**lemma** *ns-ns-mul-ext-union-compat-rtrancel*: **assumes** *refl*: *refl ns*  
 **and** *AB*:  $(A, B) \in (ns\text{-mul-ext } ns \ s)^*$   
 **and** *CD*:  $(C, D) \in (ns\text{-mul-ext } ns \ s)^*$   
 **shows**  $(A + C, B + D) \in (ns\text{-mul-ext } ns \ s)^*$   
 *<proof>*

#### 4.4 Multisets as order on lists

**interpretation** *mul-ext-list*: *list-order-extension*  
  $\lambda s \ ns. \{(as, bs). (mset \ as, mset \ bs) \in s\text{-mul-ext } ns \ s\}$   
  $\lambda s \ ns. \{(as, bs). (mset \ as, mset \ bs) \in ns\text{-mul-ext } ns \ s\}$   
 *<proof>*

**lemma** *s-mul-ext-singleton* [*simp*, *intro*]:  
 **assumes**  $(a, b) \in s$   
 **shows**  $(\{\#a\# \}, \{\#b\# \}) \in s\text{-mul-ext } ns \ s$   
 *<proof>*

**lemma** *ns-mul-ext-singleton* [*simp*, *intro*]:  
  $(a, b) \in ns \implies (\{\#a\# \}, \{\#b\# \}) \in ns\text{-mul-ext } ns \ s$   
 *<proof>*

**lemma** *ns-mul-ext-singleton2*:  
  $(a, b) \in s \implies (\{\#a\# \}, \{\#b\# \}) \in ns\text{-mul-ext } ns \ s$   
 *<proof>*

**lemma** *s-mul-ext-self-extend-left*:  
 **assumes**  $A \neq \{\#\}$  **and** *locally-refl*  $W \ B$   
 **shows**  $(A + B, B) \in s\text{-mul-ext } W \ S$   
 *<proof>*

**lemma** *s-mul-ext-ne-extend-left*:

**assumes**  $A \neq \{\#\}$  **and**  $(B, C) \in ns\text{-mul-ext } W S$   
**shows**  $(A + B, C) \in s\text{-mul-ext } W S$   
*<proof>*

**lemma** *s-mul-ext-extend-left*:

**assumes**  $(B, C) \in s\text{-mul-ext } W S$   
**shows**  $(A + B, C) \in s\text{-mul-ext } W S$   
*<proof>*

**lemma** *mul-ext-mono*:

**assumes**  $\bigwedge x y. \llbracket x \in \text{set } xs; y \in \text{set } ys; fst (P x y) \rrbracket \implies fst (P' x y)$   
**and**  $\bigwedge x y. \llbracket x \in \text{set } xs; y \in \text{set } ys; snd (P x y) \rrbracket \implies snd (P' x y)$   
**shows**  
 $fst (mul\text{-ext } P xs ys) \implies fst (mul\text{-ext } P' xs ys)$   
 $snd (mul\text{-ext } P xs ys) \implies snd (mul\text{-ext } P' xs ys)$   
*<proof>*

## 4.5 Special case: non-strict order is equality

**lemma** *ns-mul-ext-IdE*:

**assumes**  $(M, N) \in ns\text{-mul-ext } Id R$   
**obtains**  $X$  **and**  $Y$  **and**  $Z$  **where**  $M = X + Z$  **and**  $N = Y + Z$   
**and**  $\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. (x, y) \in R$   
*<proof>*

**lemma** *ns-mul-ext-IdI*:

**assumes**  $M = X + Z$  **and**  $N = Y + Z$  **and**  $\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. (x, y) \in R$   
**shows**  $(M, N) \in ns\text{-mul-ext } Id R$   
*<proof>*

**lemma** *s-mul-ext-IdE*:

**assumes**  $(M, N) \in s\text{-mul-ext } Id R$   
**obtains**  $X$  **and**  $Y$  **and**  $Z$  **where**  $X \neq \{\#\}$  **and**  $M = X + Z$  **and**  $N = Y + Z$   
**and**  $\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. (x, y) \in R$   
*<proof>*

**lemma** *s-mul-ext-IdI*:

**assumes**  $X \neq \{\#\}$  **and**  $M = X + Z$  **and**  $N = Y + Z$   
**and**  $\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. (x, y) \in R$   
**shows**  $(M, N) \in s\text{-mul-ext } Id R$   
*<proof>*

**lemma** *mult-s-mul-ext-conv*:

**assumes** *trans*  $R$   
**shows**  $(mult (R^{-1}))^{-1} = s\text{-mul-ext } Id R$   
*<proof>*

**lemma** *ns-mul-ext-Id-eq*:  
*ns-mul-ext Id R = (s-mul-ext Id R)=*  
 ⟨*proof*⟩

**lemma** *subsetq-mset-imp-ns-mul-ext-Id*:  
**assumes**  $A \subseteq\# B$   
**shows**  $(B, A) \in ns\text{-mul-ext Id R}$   
 ⟨*proof*⟩

**lemma** *subset-mset-imp-s-mul-ext-Id*:  
**assumes**  $A \subset\# B$   
**shows**  $(B, A) \in s\text{-mul-ext Id R}$   
 ⟨*proof*⟩

**end**

## 4.6 Executable version

**theory** *Multiset-Extension2-Impl*  
**imports**  
*HOL-Library.DAList-Multiset*  
*List-Order*  
*Multiset-Extension2*  
*Multiset-Extension-Pair-Impl*  
**begin**

**lemma** *mul-ext-list-ext*:  $\exists s ns. list\text{-order-extension-impl } s ns\ mul\text{-ext}$   
 ⟨*proof*⟩

**context** *fixes*  $sns :: 'a \Rightarrow 'a \Rightarrow bool \times bool$   
**begin**

**fun** *mul-ext-impl* ::  $'a\ list \Rightarrow 'a\ list \Rightarrow bool \times bool$   
**and** *mul-ex-dom* ::  $'a\ list \Rightarrow 'a\ list \Rightarrow 'a \Rightarrow 'a\ list \Rightarrow bool \times bool$

**where**

$mul\text{-ext-impl } [] [] = (False, True)$   
 $| mul\text{-ext-impl } [] ys = (False, False)$   
 $| mul\text{-ext-impl } xs [] = (True, True)$   
 $| mul\text{-ext-impl } xs (y \# ys) = mul\text{-ex-dom } xs [] y ys$

$| mul\text{-ex-dom } [] xs' y ys = (False, False)$   
 $| mul\text{-ex-dom } (x \# xs) xs' y ys =$   
 (case  $sns\ x\ y$  of  
 ( $True, -$ )  $\Rightarrow$  if  $snd (mul\text{-ext-impl } (xs @ xs') (filter (\lambda y. \neg fst (sns\ x\ y)) ys))$   
 then ( $True, True$ )



$$\begin{aligned} & \text{else } \text{mul-ext-dom } xs \ (x \# xs') \ y \ ys \\ & | \ (False, True) \Rightarrow \text{or2 } (\text{mul-ext-impl } (xs \ @ \ xs') \ ys) \ (\text{mul-ext-dom } xs \ (x \# xs') \ y \\ & \text{ys}) \\ & | \ - \Rightarrow \text{mul-ext-dom } xs \ (x \# xs') \ y \ ys \end{aligned}$$

**end**

**context**

**begin**

**lemma** *mul-ext-impl-sound0*:

$\text{mul-ext-impl } sns \ xs \ ys = \text{mult2-impl } (\lambda x \ y. \ sns \ y \ x) \ ys \ xs$

$\text{mul-ext-dom } sns \ xs \ xs' \ y \ ys = \text{mult2-ex-dom } (\lambda x \ y. \ sns \ y \ x) \ y \ ys \ xs \ xs'$

*<proof>* **definition** *cond1* **where**

$\text{cond1 } f \ bs \ y \ xs \ ys \equiv$

$((\exists b. \ b \in \text{set } bs \wedge \text{fst } (f \ b \ y) \wedge \text{snd } (\text{mul-ext } f \ (\text{remove1 } b \ xs) \ [y \leftarrow ys \ . \ \neg \text{fst } (f \ b \ y)]))$

$\vee (\exists b. \ b \in \text{set } bs \wedge \text{snd } (f \ b \ y) \wedge \text{fst } (\text{mul-ext } f \ (\text{remove1 } b \ xs) \ ys))$ )

**private lemma** *cond1-propagate*:

**assumes** *cond1*  $f \ bs \ y \ xs \ ys$

**shows** *cond1*  $f \ (b \ \# \ bs) \ y \ xs \ ys$

*<proof>* **definition** *cond2* **where**

$\text{cond2 } f \ bs \ y \ xs \ ys \equiv (\text{cond1 } f \ bs \ y \ xs \ ys$

$\vee (\exists b. \ b \in \text{set } bs \wedge \text{snd } (f \ b \ y) \wedge \text{snd } (\text{mul-ext } f \ (\text{remove1 } b \ xs) \ ys))$ )

**private lemma** *cond2-propagate*:

**assumes** *cond2*  $f \ bs \ y \ xs \ ys$

**shows** *cond2*  $f \ (b \ \# \ bs) \ y \ xs \ ys$

*<proof>* **lemma** *cond1-cond2*:

**assumes** *cond1*  $f \ bs \ y \ xs \ ys$

**shows** *cond2*  $f \ bs \ y \ xs \ ys$

*<proof>*

**lemma** *mul-ext-impl-sound*:

**shows**  $\text{mul-ext-impl } f \ xs \ ys = \text{mul-ext } f \ xs \ ys$

*<proof>*

**lemma** *mul-ext-code* [*code*]:  $\text{mul-ext} = \text{mul-ext-impl}$

*<proof>*

**lemma** *mul-ext-impl-cong*[*fundef-cong*]:

**assumes**  $\bigwedge x \ x'. \ x \in \text{set } xs \Longrightarrow x' \in \text{set } ys \Longrightarrow f \ x \ x' = g \ x \ x'$

**shows**  $\text{mul-ext-impl } f \ xs \ ys = \text{mul-ext-impl } g \ xs \ ys$

*<proof>*

**end**

**fun** *ass-list-to-single-list* ::  $('a \times \text{nat}) \text{ list} \Rightarrow 'a \text{ list}$

**where**

$\text{ass-list-to-single-list } [] = []$

| *ass-list-to-single-list*  $((x, n) \# xs) = \text{replicate } n \ x \ @ \ \text{ass-list-to-single-list } xs$

**lemma** *set-ass-list-to-single-list* [*simp*]:

$\text{set } (\text{ass-list-to-single-list } xs) = \{x. \exists n. (x, n) \in \text{set } xs \wedge n > 0\}$   
 ⟨*proof*⟩

**lemma** *count-mset-replicate* [*simp*]:

$\text{count } (\text{mset } (\text{replicate } n \ x)) \ x = n$   
 ⟨*proof*⟩

**lemma** *count-mset-lal-ge*:

$(x, n) \in \text{set } xs \implies \text{count } (\text{mset } (\text{ass-list-to-single-list } xs)) \ x \geq n$   
 ⟨*proof*⟩

**lemma** *count-of-count-mset-lal* [*simp*]:

$\text{distinct } (\text{map } \text{fst } y) \implies \text{count-of } y \ x = \text{count } (\text{mset } (\text{ass-list-to-single-list } y)) \ x$   
 ⟨*proof*⟩

**lemma** *Bag-mset*:  $\text{Bag } xs = \text{mset } (\text{ass-list-to-single-list } (\text{DAList.impl-of } xs))$

⟨*proof*⟩

**lemma** *Bag-Alist-Cons*:

$x \notin \text{fst } \text{'set } xs \implies \text{distinct } (\text{map } \text{fst } xs) \implies$   
 $\text{Bag } (\text{Alist } ((x, n) \# xs)) = \text{mset } (\text{replicate } n \ x) + \text{Bag } (\text{Alist } xs)$   
 ⟨*proof*⟩

**lemma** *mset-lal* [*simp*]:

$\text{distinct } (\text{map } \text{fst } xs) \implies \text{mset } (\text{ass-list-to-single-list } xs) = \text{Bag } (\text{Alist } xs)$   
 ⟨*proof*⟩

**lemma** *Bag-s-mul-ext*:

$(\text{Bag } xs, \text{Bag } ys) \in \text{s-mul-ext } \{(x, y). \text{snd } (f \ x \ y)\} \{(x, y). \text{fst } (f \ x \ y)\} \longleftrightarrow$   
 $\text{fst } (\text{mul-ext } f \ (\text{ass-list-to-single-list } (\text{DAList.impl-of } xs)) \ (\text{ass-list-to-single-list}$   
 $(\text{DAList.impl-of } ys)))$   
 ⟨*proof*⟩

**lemma** *Bag-ns-mul-ext*:

$(\text{Bag } xs, \text{Bag } ys) \in \text{ns-mul-ext } \{(x, y). \text{snd } (f \ x \ y)\} \{(x, y). \text{fst } (f \ x \ y)\} \longleftrightarrow$   
 $\text{snd } (\text{mul-ext } f \ (\text{ass-list-to-single-list } (\text{DAList.impl-of } xs)) \ (\text{ass-list-to-single-list}$   
 $(\text{DAList.impl-of } ys)))$   
 ⟨*proof*⟩

**lemma** *smulextp-code*[*code*]:

$\text{smulextp } f \ (\text{Bag } xs) \ (\text{Bag } ys) \longleftrightarrow \text{fst } (\text{mul-ext } f \ (\text{ass-list-to-single-list } (\text{DAList.impl-of}$   
 $xs)) \ (\text{ass-list-to-single-list } (\text{DAList.impl-of } ys)))$   
 ⟨*proof*⟩

**lemma** *nsmulextp-code*[*code*]:

$\text{nsmulextp } f \ (\text{Bag } xs) \ (\text{Bag } ys) \longleftrightarrow \text{snd } (\text{mul-ext } f \ (\text{ass-list-to-single-list } (\text{DAList.impl-of}$

```
xs)) (ass-list-to-single-list (DAList.impl-of ys)))
⟨proof⟩
```

```
lemma mulextp-code[code]:
  mulextp f (Bag xs) (Bag ys) = mul-ext f (ass-list-to-single-list (DAList.impl-of
xs)) (ass-list-to-single-list (DAList.impl-of ys))
⟨proof⟩
```

```
end
```

## 5 The Weighted Path Order

This is a version of WPO that also permits multiset comparisons of lists of terms. It therefore generalizes RPO.

```
theory WPO
```

```
imports
```

```
  Knuth-Bendix-Order.Lexicographic-Extension
  First-Order-Terms.Subterm-and-Context
  Knuth-Bendix-Order.Order-Pair
  Polynomial-Factorization.Missing-List
  Status
  Precedence
  Multiset-Extension2
  HOL.Zorn
```

```
begin
```

```
datatype order-tag = Lex | Mul
```

```
locale wpo =
```

```
  fixes n :: nat
    and S NS :: ('f, 'v) term rel
    and pre :: ('f × nat ⇒ 'f × nat ⇒ bool × bool)
    and prl :: 'f × nat ⇒ bool
    and σσ :: 'f status
    and c :: 'f × nat ⇒ order-tag
    and ssimple :: bool
    and large :: 'f × nat ⇒ bool
```

```
begin
```

```
fun wpo :: ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool × bool
```

```
where
```

```
  wpo s t = (if (s,t) ∈ S then (True, True) else
    if (s,t) ∈ NS then (case s of
      Var x ⇒ (False,
        (case t of
          Var y ⇒ x = y
          | Fun g ts ⇒ status σσ (g, length ts) = [] ∧ prl (g, length ts)))
      | Fun f ss ⇒
```

```

if  $\exists i \in \text{set } (\text{status } \sigma \sigma (f, \text{length } ss)). \text{snd } (\text{wpo } (ss ! i) t)$  then (True, True)
else
  (case t of
    Var -  $\Rightarrow$  (False,  $\text{ssimple} \wedge \text{large } (f, \text{length } ss)$ )
  | Fun g ts  $\Rightarrow$ 
    (case prc (f, length ss) (g, length ts) of (prs, prns)  $\Rightarrow$ 
      if prns  $\wedge$  ( $\forall j \in \text{set } (\text{status } \sigma \sigma (g, \text{length } ts)). \text{fst } (\text{wpo } s (ts ! j))$ ) then
        if prs then (True, True)
        else let  $ss' = \text{map } (\lambda i. ss ! i) (\text{status } \sigma \sigma (f, \text{length } ss));$ 
               $ts' = \text{map } (\lambda i. ts ! i) (\text{status } \sigma \sigma (g, \text{length } ts));$ 
               $cf = c (f, \text{length } ss);$ 
               $cg = c (g, \text{length } ts)$ 
            in if  $cf = \text{Lex} \wedge cg = \text{Lex}$ 
               then  $\text{lex-ext wpo } n ss' ts'$ 
               else if  $cf = \text{Mul} \wedge cg = \text{Mul}$ 
                  then  $\text{mul-ext wpo } ss' ts'$ 
                  else ( $\text{length } ss' \neq 0 \wedge \text{length } ts' = 0, \text{length } ts' = 0$ )
                else (False, False))))
  else (False, False)

```

**declare** *wpo.simps* [*simp del*]

**abbreviation** *wpo-s* (**infix**  $\langle \succ \rangle$  50) **where**  $s \succ t \equiv \text{fst } (\text{wpo } s t)$

**abbreviation** *wpo-ns* (**infix**  $\langle \succeq \rangle$  50) **where**  $s \succeq t \equiv \text{snd } (\text{wpo } s t)$

**abbreviation** *WPO-S*  $\equiv \{(s,t). s \succ t\}$

**abbreviation** *WPO-NS*  $\equiv \{(s,t). s \succeq t\}$

**lemma** *wpo-s-imp-ns*:  $s \succ t \implies s \succeq t$   
*<proof>*

**lemma** *S-imp-wpo-s*:  $(s,t) \in S \implies s \succ t$  *<proof>*

**end**

**declare** *wpo.wpo.simps*[*code*]

**definition** *strictly-simple-status* ::  $'f \text{ status} \Rightarrow ('f, 'v) \text{ term rel} \Rightarrow \text{bool}$  **where**  
*strictly-simple-status*  $\sigma \text{ rel} =$   
 $(\forall f \text{ ts } i. i \in \text{set } (\text{status } \sigma (f, \text{length } ts)) \longrightarrow (\text{Fun } f \text{ ts}, \text{ts} ! i) \in \text{rel})$

**definition** *trans-precedence* **where** *trans-precedence*  $\text{prc} = (\forall f g h.$   
 $(\text{fst } (\text{prc } f g) \longrightarrow \text{snd } (\text{prc } g h) \longrightarrow \text{fst } (\text{prc } f h)) \wedge$   
 $(\text{snd } (\text{prc } f g) \longrightarrow \text{fst } (\text{prc } g h) \longrightarrow \text{fst } (\text{prc } f h)) \wedge$   
 $(\text{snd } (\text{prc } f g) \longrightarrow \text{snd } (\text{prc } g h) \longrightarrow \text{snd } (\text{prc } f h)))$

**locale** *wpo-with-basic-assms* = *wpo* +  
*order-pair* + *irrefl-precedence* +  
**constrains** *S* :: ('f, 'v) term rel **and** *NS* :: -  
**and** *prc* :: 'f × nat ⇒ 'f × nat ⇒ bool × bool  
**and** *prl* :: 'f × nat ⇒ bool  
**and** *ssimple* :: bool  
**and** *large* :: 'f × nat ⇒ bool  
**and** *c* :: 'f × nat ⇒ order-tag  
**and** *n* :: nat  
**and** *σσ* :: 'f status  
**assumes** *subst-S*: (s,t) ∈ S ⇒ (s · σ, t · σ) ∈ S  
**and** *subst-NS*: (s,t) ∈ NS ⇒ (s · σ, t · σ) ∈ NS  
**and** *irrefl-S*: irrefl S  
**and** *S-imp-NS*: S ⊆ NS  
**and** *ss-status*: *ssimple* ⇒ i ∈ set (status σσ fn) ⇒ simple-arg-pos S fn i  
**and** *large*: *ssimple* ⇒ large fn ⇒ fst (prc fn gm) ∨ snd (prc fn gm) ∧ status  
σσ gm = []  
**and** *large-trans*: *ssimple* ⇒ large fn ⇒ snd (prc gm fn) ⇒ large gm  
**and** *ss-S-non-empty*: *ssimple* ⇒ S ≠ {}  
**begin**  
**abbreviation** σ ≡ status σσ  
  
**lemma** *ss-NS-not-UNIV*: *ssimple* ⇒ NS ≠ UNIV  
⟨proof⟩  
  
**lemmas** σ = status[of σσ]  
**lemma** σE: i ∈ set (σ (f, length ss)) ⇒ ss ! i ∈ set ss ⟨proof⟩  
  
**lemma** *wpo-ns-imp-NS*: s ≥ t ⇒ (s,t) ∈ NS  
⟨proof⟩  
  
**lemma** *wpo-s-imp-NS*: s > t ⇒ (s,t) ∈ NS  
⟨proof⟩  
  
**lemma** *wpo-least-1*: **assumes** prl (f,length ss)  
**and** (t, Fun f ss) ∈ NS  
**and** σ (f,length ss) = []  
**shows** t ≥ Fun f ss  
⟨proof⟩  
  
**lemma** *wpo-least-2*: **assumes** prl (f,length ss) (**is** prl ?f)  
**and** (Fun f ss, t) ∉ S  
**and** σ (f,length ss) = []  
**shows** ¬ Fun f ss > t  
⟨proof⟩  
  
**lemma** *wpo-least-3*: **assumes** prl (f,length ss) (**is** prl ?f)  
**and** ns: Fun f ss ≥ t  
**and** NS: (u, Fun f ss) ∈ NS

**and**  $ss: \sigma (f, \text{length } ss) = []$   
**and**  $S: \bigwedge x. (\text{Fun } f \text{ } ss, x) \notin S$   
**and**  $u: u = \text{Var } x$   
**shows**  $u \succeq t$   
 $\langle \text{proof} \rangle$

**lemma** *wpo-compat*:  $(s \succeq t \wedge t \succ u \longrightarrow s \succ u) \wedge$   
 $(s \succ t \wedge t \succeq u \longrightarrow s \succ u) \wedge$   
 $(s \succeq t \wedge t \succeq u \longrightarrow s \succeq u)$  (**is** *?tran s t u*)  
 $\langle \text{proof} \rangle$

**context**

**assumes** *ssimple*: *strictly-simple-status*  $\sigma \sigma \text{ } NS$

**begin**

**lemma** *NS-arg'*:

**assumes**  $i: i \in \text{set } (\sigma (f, \text{length } ts))$

**shows**  $(\text{Fun } f \text{ } ts, ts ! i) \in NS$

$\langle \text{proof} \rangle$

**lemma** *wpo-ns-refl'*:

**shows**  $s \succeq s$

$\langle \text{proof} \rangle$

**lemma** *wpo-stable'*: **fixes**  $\delta :: ('f, 'v) \text{subst}$

**shows**  $(s \succ t \longrightarrow s \cdot \delta \succ t \cdot \delta) \wedge (s \succeq t \longrightarrow s \cdot \delta \succeq t \cdot \delta)$

(**is** *?p s t*)

$\langle \text{proof} \rangle$

**lemma** *subterm-wpo-s-arg'*: **assumes**  $i: i \in \text{set } (\sigma (f, \text{length } ss))$

**shows**  $\text{Fun } f \text{ } ss \succ ss ! i$

$\langle \text{proof} \rangle$

**context**

**fixes**  $f \text{ } s \text{ } t \text{ } bef \text{ } aft$

**assumes** *ctxt-NS*:  $(s, t) \in NS \implies (\text{Fun } f (bef @ s \# aft), \text{Fun } f (bef @ t \# aft)) \in NS$

**begin**

**lemma** *wpo-ns-pre-mono'*:

**defines**  $\sigma f \equiv \sigma (f, \text{Suc } (\text{length } bef + \text{length } aft))$

**assumes** *rel*:  $(wpo\text{-}ns \text{ } s \text{ } t)$

**shows**  $(\forall j \in \text{set } \sigma f. \text{Fun } f (bef @ s \# aft) \succ (bef @ t \# aft) ! j)$

$\wedge (\text{Fun } f (bef @ s \# aft), (\text{Fun } f (bef @ t \# aft))) \in NS$

$\wedge (\forall i < \text{length } \sigma f. ((\text{map } (!) (bef @ s \# aft)) \sigma f) ! i) \succeq ((\text{map } (!) (bef @ t \# aft)) \sigma f) ! i)$

(**is**  $- \wedge - \wedge$  *?three*)

$\langle \text{proof} \rangle$

```

lemma wpo-ns-mono':
  assumes rel:  $s \succeq t$ 
  shows  $\text{Fun } f \text{ (bef @ } s \# \text{aft)} \succeq \text{Fun } f \text{ (bef @ } t \# \text{aft)}$ 
  <proof>

end
end
end

locale wpo-with-assms = wpo-with-basic-assms + order-pair +
  constrains  $S :: ('f, 'v) \text{ term rel}$  and  $NS :: -$ 
  and  $\text{prc} :: 'f \times \text{nat} \Rightarrow 'f \times \text{nat} \Rightarrow \text{bool} \times \text{bool}$ 
  and  $\text{prl} :: 'f \times \text{nat} \Rightarrow \text{bool}$ 
  and  $\text{ssimple} :: \text{bool}$ 
  and  $\text{large} :: 'f \times \text{nat} \Rightarrow \text{bool}$ 
  and  $c :: 'f \times \text{nat} \Rightarrow \text{order-tag}$ 
  and  $n :: \text{nat}$ 
  and  $\sigma\sigma :: 'f \text{ status}$ 
  assumes  $\text{ctxt-NS}: (s,t) \in NS \Longrightarrow (\text{Fun } f \text{ (bef @ } s \# \text{aft)}, \text{Fun } f \text{ (bef @ } t \# \text{aft)})$ 
   $\in NS$ 
  and  $\text{ws-status}: i \in \text{set (status } \sigma\sigma \text{ fn)} \Longrightarrow \text{simple-arg-pos } NS \text{ fn } i$ 
begin

lemma ssimple: strictly-simple-status  $\sigma\sigma \ NS$ 
  <proof>

lemma trans-prc: trans-precedence  $\text{prc}$ 
  <proof>

lemma NS-arg: assumes  $i: i \in \text{set } (\sigma (f, \text{length } ts))$ 
  shows  $(\text{Fun } f \text{ } ts, ts ! i) \in NS$ 
  <proof>

lemma NS-subterm: assumes  $\text{all}: \bigwedge f k. \text{set } (\sigma (f, k)) = \{0 ..< k\}$ 
  shows  $s \supseteq t \Longrightarrow (s, t) \in NS$ 
  <proof>

lemma wpo-ns-refl:  $s \succeq s$ 
  <proof>

lemma subterm-wpo-s-arg: assumes  $i: i \in \text{set } (\sigma (f, \text{length } ss))$ 
  shows  $\text{Fun } f \text{ } ss \succ ss ! i$ 
  <proof>

lemma subterm-wpo-ns-arg: assumes  $i: i \in \text{set } (\sigma (f, \text{length } ss))$ 
  shows  $\text{Fun } f \text{ } ss \succeq ss ! i$ 
  <proof>

```

**lemma** *wpo-irrefl*:  $\neg (s \succ s)$   
 ⟨proof⟩

**lemma** *wpo-ns-mono*:  
 assumes *rel*:  $s \succeq t$   
 shows  $\text{Fun } f \text{ (bef @ } s \# \text{aft)} \succeq \text{Fun } f \text{ (bef @ } t \# \text{aft)}$   
 ⟨proof⟩

**lemma** *wpo-ns-pre-mono*: **fixes** *f* **and** *bef aft* ::  $(f, v) \text{ term list}$   
**defines**  $\sigma f \equiv \sigma (f, \text{Suc (length bef + length aft)})$   
 assumes *rel*:  $(\text{wpo-ns } s \ t)$   
 shows  $(\forall j \in \text{set } \sigma f. \text{Fun } f \text{ (bef @ } s \# \text{aft)} \succ (\text{bef @ } t \# \text{aft)} ! j)$   
 $\wedge (\text{Fun } f \text{ (bef @ } s \# \text{aft)}, (\text{Fun } f \text{ (bef @ } t \# \text{aft)})) \in \text{NS}$   
 $\wedge (\forall i < \text{length } \sigma f. ((\text{map } (!) \text{ (bef @ } s \# \text{aft)}) \sigma f) ! i) \succeq ((\text{map } (!) \text{ (bef @ } t \# \text{aft)}) \sigma f) ! i)$   
 ⟨proof⟩

**lemma** *wpo-stable*: **fixes**  $\delta :: (f, v) \text{ subst}$   
 shows  $(s \succ t \longrightarrow s \cdot \delta \succ t \cdot \delta) \wedge (s \succeq t \longrightarrow s \cdot \delta \succeq t \cdot \delta)$   
 ⟨proof⟩

**theorem** *wpo-order-pair*: *order-pair WPO-S WPO-NS*  
 ⟨proof⟩

**theorem** *WPO-S-subst*:  $(s, t) \in \text{WPO-S} \implies (s \cdot \sigma, t \cdot \sigma) \in \text{WPO-S}$  **for**  $\sigma$   
 ⟨proof⟩

**theorem** *WPO-NS-subst*:  $(s, t) \in \text{WPO-NS} \implies (s \cdot \sigma, t \cdot \sigma) \in \text{WPO-NS}$  **for**  $\sigma$   
 ⟨proof⟩

**theorem** *WPO-NS-ctxt*:  $(s, t) \in \text{WPO-NS} \implies (\text{Fun } f \text{ (bef @ } s \# \text{aft)}, \text{Fun } f \text{ (bef @ } t \# \text{aft)}) \in \text{WPO-NS}$   
 ⟨proof⟩

**theorem** *WPO-S-subset-WPO-NS*:  $\text{WPO-S} \subseteq \text{WPO-NS}$   
 ⟨proof⟩

**context**

assumes  *$\sigma$ -full*:  $\bigwedge f \ k. \text{set } (\sigma (f, k)) = \{0 \ .. < k\}$   
**begin**

**lemma** *subterm-wpo-s*:  $s \triangleright t \implies s \succ t$   
 ⟨proof⟩

**lemma** *subterm-wpo-ns*: **assumes** *supteq*:  $s \triangleright t$  **shows**  $s \succeq t$   
 ⟨proof⟩



**lemma** *wpo-s-mono*: **assumes** *rels*:  $s \succ t$   
**shows**  $\text{Fun } f \text{ (bef @ } s \# \text{aft)} \succ \text{Fun } f \text{ (bef @ } t \# \text{aft)}$   
 $\langle \text{proof} \rangle$

**theorem** *WPO-S-ctxt*:  $(s, t) \in \text{WPO-S} \implies (\text{Fun } f \text{ (bef @ } s \# \text{aft)}, \text{Fun } f \text{ (bef @ } t \# \text{aft)}) \in \text{WPO-S}$   
 $\langle \text{proof} \rangle$

**theorem** *supt-subset-WPO-S*:  $\{\triangleright\} \subseteq \text{WPO-S}$   
 $\langle \text{proof} \rangle$

**theorem** *supteq-subset-WPO-NS*:  $\{\triangleright\} \subseteq \text{WPO-NS}$   
 $\langle \text{proof} \rangle$

**end**  
**end**

If we demand strong normalization of the underlying order and the precedence, then also WPO is strongly normalizing.

**locale** *wpo-with-SN-assms* = *wpo-with-assms* + *SN-order-pair* + *precedence* +  
**constrains**  $S :: ('f, 'v) \text{ term rel}$  **and**  $NS :: -$   
**and**  $\text{pre} :: 'f \times \text{nat} \Rightarrow 'f \times \text{nat} \Rightarrow \text{bool} \times \text{bool}$   
**and**  $\text{prl} :: 'f \times \text{nat} \Rightarrow \text{bool}$   
**and**  $\text{ssimple} :: \text{bool}$   
**and**  $\text{large} :: 'f \times \text{nat} \Rightarrow \text{bool}$   
**and**  $c :: 'f \times \text{nat} \Rightarrow \text{order-tag}$   
**and**  $n :: \text{nat}$   
**and**  $\sigma\sigma :: 'f \text{ status}$   
**begin**

**lemma** *Var-not-S[simp]*:  $(\text{Var } x, t) \notin S$   
 $\langle \text{proof} \rangle$

**lemma** *WPO-S-SN*:  $SN \text{ WPO-S}$   
 $\langle \text{proof} \rangle$

**theorem** *wpo-SN-order-pair*:  $SN\text{-order-pair } \text{WPO-S } \text{WPO-NS}$   
 $\langle \text{proof} \rangle$

**end**  
**end**

## 6 The Recursive Path Order as an instance of WPO

This theory defines the recursive path order (RPO) that given two terms provides two Booleans, whether the terms can be strictly or non-strictly oriented. It is proven that RPO is an instance of WPO, and hence, carries

over all the nice properties of WPO immediately.

```

theory RPO
  imports
    WPO
  begin

  context
    fixes pr :: 'f × nat ⇒ 'f × nat ⇒ bool × bool
      and prl :: 'f × nat ⇒ bool
      and c :: 'f × nat ⇒ order-tag
      and n :: nat
  begin

  fun rpo :: ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool × bool
    where
      rpo (Var x) (Var y) = (False, x = y) |
      rpo (Var x) (Fun g ts) = (False, ts = [] ∧ prl (g,0)) |
      rpo (Fun f ss) (Var y) = (let con = (∃ s ∈ set ss. snd (rpo s (Var y))) in
      (con,con)) |
      rpo (Fun f ss) (Fun g ts) = (
      if (∃ s ∈ set ss. snd (rpo s (Fun g ts)))
      then (True,True)
      else (let (prs,prns) = pr (f,length ss) (g,length ts) in
      if prns ∧ (∀ t ∈ set ts. fst (rpo (Fun f ss) t))
      then if prs
        then (True,True)
        else if c (f,length ss) = Lex ∧ c (g,length ts) = Lex
          then lex-ext rpo n ss ts
          else if c (f,length ss) = Mul ∧ c (g,length ts) = Mul
            then mul-ext rpo ss ts
            else (length ss ≠ 0 ∧ length ts = 0, length ts = 0)
          else (False,False)))
    end

  locale rpo-with-assms = precedence prc prl
    for prc :: 'f × nat ⇒ 'f × nat ⇒ bool × bool
      and prl :: 'f × nat ⇒ bool
      and c :: 'f × nat ⇒ order-tag
      and n :: nat
  begin

  sublocale wpo-with-SN-assms n {} UNIV prc prl full-status c False λ -. False
    ⟨proof⟩

  abbreviation rpo-pr ≡ rpo prc prl c n
  abbreviation rpo-s ≡ λ s t. fst (rpo-pr s t)
  abbreviation rpo-ns ≡ λ s t. snd (rpo-pr s t)

  lemma rpo-eq-wpo: rpo-pr s t = wpo s t

```

*<proof>*

**abbreviation**  $RPO-S \equiv \{(s,t). \text{ rpo-s } s \ t\}$

**abbreviation**  $RPO-NS \equiv \{(s,t). \text{ rpo-ns } s \ t\}$

**theorem**  $RPO-SN\text{-order-pair}$ :  $SN\text{-order-pair } RPO-S \ RPO-NS$

*<proof>*

**theorem**  $RPO-S\text{-subst}$ :  $(s,t) \in RPO-S \implies (s \cdot \sigma, t \cdot \sigma) \in RPO-S$  for  $\sigma ::$

$(f, 'a)\text{ subst}$

*<proof>*

**theorem**  $RPO-NS\text{-subst}$ :  $(s,t) \in RPO-NS \implies (s \cdot \sigma, t \cdot \sigma) \in RPO-NS$  for  $\sigma ::$

$(f, 'a)\text{ subst}$

*<proof>*

**theorem**  $RPO-NS\text{-ctxt}$ :  $(s,t) \in RPO-NS \implies (\text{Fun } f \ (\text{bef } @ \ s \ \# \ \text{aft}), \text{Fun } f \ (\text{bef } @ \ t \ \# \ \text{aft})) \in RPO-NS$

*<proof>*

**theorem**  $RPO-S\text{-ctxt}$ :  $(s,t) \in RPO-S \implies (\text{Fun } f \ (\text{bef } @ \ s \ \# \ \text{aft}), \text{Fun } f \ (\text{bef } @ \ t \ \# \ \text{aft})) \in RPO-S$

*<proof>*

**theorem**  $RPO-S\text{-subset-}RPO-NS$ :  $RPO-S \subseteq RPO-NS$

*<proof>*

**theorem**  $\text{supt-subset-}RPO-S$ :  $\{\triangleright\} \subseteq RPO-S$

*<proof>*

**theorem**  $\text{supteq-subset-}RPO-NS$ :  $\{\triangleright\} \subseteq RPO-NS$

*<proof>*

**end**

**end**

## 7 The Lexicographic Path Order as an instance of WPO

We first directly define the strict- and non-strict lexicographic path orders (LPO) w.r.t. some precedence, and then show that it is an instance of WPO. For this instance we use the trivial reduction pair in WPO ( $\emptyset$ , UNIV) and the status is the full one, i.e., taking parameters  $[0, \dots, n-1]$  for each  $n$ -ary symbol.

**theory**  $LPO$

**imports**

```

      WPO
begin

context
  fixes pr :: ('f × nat ⇒ 'f × nat ⇒ bool × bool)
    and prl :: 'f × nat ⇒ bool
    and n :: nat
begin
  fun lpo :: ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool × bool
    where
      lpo (Var x) (Var y) = (False, x = y) |
      lpo (Var x) (Fun g ts) = (False, ts = [] ∧ prl (g,0)) |
      lpo (Fun f ss) (Var y) = (let con = (∃ s ∈ set ss. snd (lpo s (Var y))) in
      (con,con)) |
      lpo (Fun f ss) (Fun g ts) = (
      if (∃ s ∈ set ss. snd (lpo s (Fun g ts)))
      then (True, True)
      else (let (prs,prns) = pr (f,length ss) (g,length ts) in
      if prns ∧ (∀ t ∈ set ts. fst (lpo (Fun f ss) t))
      then if prs
        then (True, True)
        else lex-ext lpo n ss ts
      else (False, False)))

end

locale lpo-with-assms = precedence prc prl
  for prc :: 'f × nat ⇒ 'f × nat ⇒ bool × bool
    and prl :: 'f × nat ⇒ bool
    and n :: nat
begin

sublocale wpo-with-SN-assms n {} UNIV prc prl full-status λ -. Lex False λ -.
False
  ⟨proof⟩

abbreviation lpo-pr ≡ lpo prc prl n
abbreviation lpo-s ≡ λ s t. fst (lpo-pr s t)
abbreviation lpo-ns ≡ λ s t. snd (lpo-pr s t)

lemma lpo-eq-wpo: lpo-pr s t = wpo s t
  ⟨proof⟩

abbreviation LPO-S ≡ {(s,t). lpo-s s t}
abbreviation LPO-NS ≡ {(s,t). lpo-ns s t}

theorem LPO-SN-order-pair: SN-order-pair LPO-S LPO-NS
  ⟨proof⟩

```

**theorem** *LPO-S-subst*:  $(s,t) \in LPO-S \implies (s \cdot \sigma, t \cdot \sigma) \in LPO-S$  for  $\sigma :: ('f, 'a)subst$   
 ⟨proof⟩

**theorem** *LPO-NS-subst*:  $(s,t) \in LPO-NS \implies (s \cdot \sigma, t \cdot \sigma) \in LPO-NS$  for  $\sigma :: ('f, 'a)subst$   
 ⟨proof⟩

**theorem** *LPO-NS-ctxt*:  $(s,t) \in LPO-NS \implies (Fun f (bef @ s \# aft), Fun f (bef @ t \# aft)) \in LPO-NS$   
 ⟨proof⟩

**theorem** *LPO-S-ctxt*:  $(s,t) \in LPO-S \implies (Fun f (bef @ s \# aft), Fun f (bef @ t \# aft)) \in LPO-S$   
 ⟨proof⟩

**theorem** *LPO-S-subset-LPO-NS*:  $LPO-S \subseteq LPO-NS$   
 ⟨proof⟩

**theorem** *supt-subset-LPO-S*:  $\{\triangleright\} \subseteq LPO-S$   
 ⟨proof⟩

**theorem** *supteq-subset-LPO-NS*:  $\{\triangleright\} \subseteq LPO-NS$   
 ⟨proof⟩

end

end

## 8 The Knuth–Bendix Order as an instance of WPO

Making the Knuth–Bendix an instance of WPO is more complicated than in the case of RPO and LPO, because of syntactic and semantic differences. We face the two main challenges in two different theories and sub-sections.

### 8.1 Aligning least elements

In all of RPO, LPO and WPO there is the concept of a minimal term, e.g., a constant term  $c$  where  $c$  is least in precedence among *all function symbols*. By contrast, in KBO a constant  $c$  is minimal if it has minimal weight and has least precedence *among all constants of minimal weight*.

In this theory we prove that for any KBO one can modify the precedence in a way that least constants  $c$  also have least precedence among *all function symbols*, without changing the defined order. Hence, afterwards it will be simpler to relate such a KBO to WPO.

```

theory KBO-Transformation
  imports WPO Knuth-Bendix-Order.KBO
begin

context admissible-kbo
begin

lemma weight-w0-unary:
  assumes *: weight t = w0 t = Fun f ts ts = t1 # ts'
  shows ts' = [] w (f,1) = 0
  <proof>

definition lConsts :: (f × nat)set where lConsts = { (f,0) | f. least f }
definition pr-strict' where pr-strict' f g = (f ∉ lConsts ∧ (pr-strict f g ∨ g ∈ lConsts))
definition pr-weak' where pr-weak' f g = ((f ∉ lConsts ∧ pr-weak f g) ∨ g ∈ lConsts)

lemma admissible-kbo': admissible-kbo w w0 pr-strict' pr-weak' least scf
  <proof>

lemma least-pr-weak': least f ⇒ pr-weak' g (f,0) <proof>

lemma least-pr-weak'-trans: least f ⇒ pr-weak' (f,0) g ⇒ least (fst g) ∧ snd g = 0
  <proof>

context
begin
interpretation kbo': admissible-kbo w w0 pr-strict' pr-weak' least scf
  <proof>

lemma kbo'-eq-kbo: kbo'.kbo s t = kbo s t
  <proof>
end
end
end

```

## 8.2 A restricted equality between KBO and WPO

The remaining difficulty to make KBO an instance of WPO is the different treatment of lexicographic comparisons, which is unrestricted in KBO, but there is a length-restriction in WPO. Therefore we will only show that KBO is an instance of WPO if we compare terms with bounded arity.

This restriction does however not prohibit us from lifting properties of WPO to KBO. For instance, for several properties one can choose a large-enough bound restriction of WPO, since there are only finitely many arities occurring in a property.

```

theory KBO-as-WPO
  imports
    WPO
    KBO-Transformation
begin

definition bounded-arity :: nat  $\Rightarrow$  (f  $\times$  nat)set  $\Rightarrow$  bool where
  bounded-arity b F = ( $\forall$  (f,n)  $\in$  F. n  $\leq$  b)

lemma finite-funas-term[simp,intro]: finite (funas-term t)
   $\langle$ proof $\rangle$ 

context weight-fun begin

definition weight-le s t  $\equiv$ 
  (vars-term-ms (SCF s)  $\subseteq\#$  vars-term-ms (SCF t)  $\wedge$  weight s  $\leq$  weight t)

definition weight-less s t  $\equiv$ 
  (vars-term-ms (SCF s)  $\subseteq\#$  vars-term-ms (SCF t)  $\wedge$  weight s  $<$  weight t)

lemma weight-le-less-iff: weight-le s t  $\implies$  weight-less s t  $\longleftrightarrow$  weight s  $<$  weight t
   $\langle$ proof $\rangle$ 

lemma weight-less-iff: weight-less s t  $\implies$  weight-le s t  $\wedge$  weight s  $<$  weight t
   $\langle$ proof $\rangle$ 

abbreviation weight-NS  $\equiv$   $\{(t,s). \textit{weight-le } s t\}$ 

abbreviation weight-S  $\equiv$   $\{(t,s). \textit{weight-less } s t\}$ 

lemma weight-le-mono-one:
  assumes S: weight-le s t
  shows weight-le (Fun f (ss1 @ s # ss2)) (Fun f (ss1 @ t # ss2)) (is weight-le
  ?s ?t)
   $\langle$ proof $\rangle$ 

lemma weight-le-ctxt: weight-le s t  $\implies$  weight-le (Cs) (Ct)
   $\langle$ proof $\rangle$ 

lemma SCF-stable:
  assumes vars-term-ms (SCF s)  $\subseteq\#$  vars-term-ms (SCF t)
  shows vars-term-ms (SCF (s  $\cdot$   $\sigma$ ))  $\subseteq\#$  vars-term-ms (SCF (t  $\cdot$   $\sigma$ ))
   $\langle$ proof $\rangle$ 

lemma SN-weight-S: SN weight-S
   $\langle$ proof $\rangle$ 

lemma weight-less-imp-le: weight-less s t  $\implies$  weight-le s t  $\langle$ proof $\rangle$ 

```

**lemma** *weight-le-Var-Var*:  $\text{weight-le } (\text{Var } x) (\text{Var } y) \longleftrightarrow x = y$

*<proof>*

**end**

**context** *kbo* **begin**

**lemma** *kbo-altdef*:

*kbo s t = (if weight-le t s*

*then if weight-less t s*

*then (True, True)*

*else (case s of*

*Var y  $\Rightarrow$  (False, (case t of Var x  $\Rightarrow$   $x = y$  | Fun g ts  $\Rightarrow$   $ts = [] \wedge \text{least } g$ ))*

*| Fun f ss  $\Rightarrow$  (case t of*

*Var x  $\Rightarrow$  (True, True)*

*| Fun g ts  $\Rightarrow$  if pr-strict (f, length ss) (g, length ts)*

*then (True, True)*

*else if pr-weak (f, length ss) (g, length ts)*

*then lex-ext-unbounded kbo ss ts*

*else (False, False)))*

*else (False, False))*

*<proof>*

**end**

**context** *admissible-kbo* **begin**

**lemma** *weight-le-stable*:

**assumes** *weight-le s t*

**shows** *weight-le (s ·  $\sigma$ ) (t ·  $\sigma$ )*

*<proof>*

**lemma** *weight-less-stable*:

**assumes** *weight-less s t*

**shows** *weight-less (s ·  $\sigma$ ) (t ·  $\sigma$ )*

*<proof>*

**lemma** *simple-arg-pos-weight*: *simple-arg-pos weight-NS (f,n) i*

*<proof>*

**lemma** *weight-lemmas*:

**shows** *refl weight-NS and trans weight-NS and trans weight-S*

**and** *weight-NS O weight-S  $\subseteq$  weight-S and weight-S O weight-NS  $\subseteq$  weight-S*

*<proof>*

**interpretation** *kbo'*: *admissible-kbo w w0 pr-strict' pr-weak' least scf*

*<proof>*

**context**



**assumes** *least-global*:  $\bigwedge f g. \text{least } f \implies \text{pr-weak } g (f, 0)$   
**and** *least-trans*:  $\bigwedge f g. \text{least } f \implies \text{pr-weak } (f, 0) g \implies \text{least } (\text{fst } g) \wedge \text{snd } g = 0$   
**fixes**  $n :: \text{nat}$   
**begin**

**lemma** *kbo-instance-of-wpo-with-SN-assms*: *wpo-with-SN-assms*  
*weight-S weight-NS* ( $\lambda f g. (\text{pr-strict } f g, \text{pr-weak } f g)$ )  
 $(\lambda(f, n). n = 0 \wedge \text{least } f) \text{ full-status False } (\lambda f. \text{False})$   
 $\langle \text{proof} \rangle$

**interpretation** *wpo*: *wpo-with-SN-assms*  
**where**  $S = \text{weight-S}$  **and**  $NS = \text{weight-NS}$   
**and**  $\text{prc} = \lambda f g. (\text{pr-strict } f g, \text{pr-weak } f g)$  **and**  $\text{prl} = \lambda(f, n). n = 0 \wedge \text{least } f$   
**and**  $c = \lambda-. \text{Lex}$   
**and**  $\text{ssimple} = \text{False}$  **and**  $\text{large} = \lambda f. \text{False}$  **and**  $\sigma\sigma = \text{full-status}$   
**and**  $n = n$   
 $\langle \text{proof} \rangle$

**lemma** *kbo-as-wpo-with-assms*: **assumes** *bounded-arity*  $n$  (*funas-term*  $t$ )  
**shows**  $\text{kbo } s t = \text{wpo.wpo } s t$   
 $\langle \text{proof} \rangle$   
**end**

This is the main theorem. It tells us that KBO can be seen as an instance of WPO, under mild preconditions: the parameter  $n$  for the lexicographic extension has to be chosen high enough to cover the arities of all terms that should be compared.

**lemma defines**  $\text{prec} \equiv ((\lambda f g. (\text{pr-strict}' f g, \text{pr-weak}' f g)))$   
**and**  $\text{prl} \equiv (\lambda(f, n). n = 0 \wedge \text{least } f)$   
**shows**  
*kbo-encoding-is-valid-wpo*: *wpo-with-SN-assms weight-S weight-NS prec prl full-status*  
 $\text{False } (\lambda f. \text{False})$   
**and**  
*kbo-as-wpo*: *bounded-arity*  $n$  (*funas-term*  $t$ )  $\implies \text{kbo } s t = \text{wpo.wpo } n \text{ weight-S}$   
*weight-NS prec prl full-status* ( $\lambda-. \text{Lex}$ )  $\text{False } (\lambda f. \text{False}) s t$   
 $\langle \text{proof} \rangle$

As a proof-of-concept we show that now properties of WPO can be used to prove these properties for KBO. Here, as example we consider closure under substitutions and strong normalization, but the following idea can be applied for several more properties: if the property involves only terms where the arities are bounded, then just choose the parameter  $n$  large enough. This even works for strong normalization, since in an infinite chain of KBO-decreases  $t_1 > t_2 > t_3 > \dots$  all terms have a weight of at most the weight of  $t_1$ , and this weight is also a bound on the arities.

**lemma** *KBO-stable-via-WPO*:  $S s t \implies S (s \cdot (\sigma :: ('f, 'a) \text{subst})) (t \cdot \sigma)$   
 $\langle \text{proof} \rangle$

**lemma** *weight-is-arity-bound: weight  $t \leq b \implies$  bounded-arity  $b$  (funas-term  $t$ )*  
*<proof>*

**lemma** *KBO-SN-via-WPO: SN  $\{(s,t). S s t\}$*   
*<proof>*

**end**

**end**

## 9 Executability of the orders

**theory** *Executable-Orders*

**imports**

*WPO*

*RPO*

*LPO*

*Multiset-Extension2-Impl*

**begin**

If one loads the implementation of multiset orders (in particular for *mul-ext*), then all orders defined in this AFP-entry (WPO, RPO, LPO, multiset extension of order pairs) are executable.

**export-code**

*lpo*

*rpo*

*wpo.wpo*

*mul-ext*

*mult2-impl*

**in** *Haskell*

**end**

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