Verify This
 2019 – Polished Isabelle Solutions

Peter Lammich Simon Wimmer

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Abstract

VerifyThis 2019 (http://www.pm.inf.ethz.ch/research/verifythis.html) was a program verification competition associated with ETAPS 2019. It was the 8th event in the VerifyThis competition series. In this entry, we present polished and completed versions of our solutions that we created during the competition.

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1 Challenge 1.A

theory Challenge1A imports Main begin

Problem definition: https://ethz.ch/content/dam/ethz/special-interest/infk/chair-program-method/pm/documents/Verify%20This/Challenges%202019/ghc_sort.pdf

1.1 Implementation

We phrase the algorithm as a functional program. Instead of a list of indexes for segment boundaries, we return a list of lists, containing the segments.

We start with auxiliary functions to take the longest increasing/decreasing sequence from the start of the list

```
fun take-incr :: int list \Rightarrow - where take-incr [] = [] | take-incr [x] = [x] | take-incr (x\#y\#xs) = (if \ x < y \ then \ x\#take-incr \ (y\#xs) \ else \ [x]) fun take-decr :: int list \Rightarrow - where take-decr [] = [] | take-decr [x] = [x]
```

```
| take\text{-}decr\ (x\#y\#xs) = (if\ x \geq y\ then\ x\#take\text{-}decr\ (y\#xs)\ else\ [x])

fun take\ \text{where}
take\ [] = []
| take\ [x] = [x]
| take\ (x\#y\#xs) = (if\ x < y\ then\ take\text{-}incr\ (x\#y\#xs)\ else\ take\text{-}decr\ (x\#y\#xs))

definition take\ 2\ xs \equiv let\ l=take\ xs\ in\ (l,drop\ (length\ l)\ xs)
— Splits of a longest increasing/decreasing sequence from the list

The main algorithm then iterates until the whole input list is split

function cuts\ \text{where}
cuts\ xs = (if\ xs = []\ then\ []\ else\ let\ (c,xs) = take\ 2\ xs\ in\ c\#cuts\ xs)
by pat\text{-}completeness\ auto
```

1.2 Termination

First, we show termination. This will give us induction and proper unfolding lemmas.

```
lemma take-non-empty:
 take \ xs \neq [] \ \mathbf{if} \ xs \neq []
 using that
 apply (cases xs)
  apply clarsimp
 subgoal for x ys
   apply (cases ys)
   apply auto
   done
 done
termination
 apply (relation measure length)
  apply (auto simp: take2-def Let-def)
 using take-non-empty
 apply auto
 done
declare \ cuts.simps[simp \ del]
```

1.3 Correctness

1.3.1 Property 1: The Exact Sequence is Covered

```
lemma tdconc: \exists ys. \ xs = take\text{-}decr \ xs @ ys apply (induction xs rule: take\text{-}decr.induct) apply auto done
```

```
lemma ticonc: ∃ys. xs = take-incr xs @ ys
apply (induction xs rule: take-incr.induct)
apply auto
done

lemma take-conc: ∃ys. xs = take xs@ys
using tdconc ticonc
apply (cases xs rule: take.cases)
by auto

theorem concat-cuts: concat (cuts xs) = xs
apply (induction xs rule: cuts.induct)
apply (subst cuts.simps)
apply (auto simp: take2-def Let-def)
by (metis append-eq-conv-conj take-conc)
```

1.3.2 Property 2: Monotonicity

We define constants to specify increasing/decreasing sequences.

```
fun incr where
  incr [] \longleftrightarrow True
 incr [-] \longleftrightarrow True
| incr (x\#y\#xs) \longleftrightarrow x < y \land incr (y\#xs)
fun decr where
  decr [] \longleftrightarrow True
 decr [-] \longleftrightarrow True
| decr (x \# y \# xs) \longleftrightarrow x \ge y \land decr (y \# xs)|
lemma tki: incr (take-incr xs)
 apply (induction xs rule: take-incr.induct)
 apply auto
 apply (case-tac xs)
 apply auto
 done
lemma tkd: decr (take-decr xs)
 apply (induction xs rule: take-decr.induct)
 apply auto
 apply (case-tac xs)
 apply auto
 done
lemma icod: incr (take xs) \lor decr (take xs)
 apply (cases xs rule: take.cases)
 apply (auto simp: tki tkd simp del: take-incr.simps take-decr.simps)
 done
theorem cuts-incr-decr: \forall c \in set (cuts \ xs). incr c \lor decr \ c
```

```
apply (induction xs rule: cuts.induct)
apply (subst cuts.simps)
apply (auto simp: take2-def Let-def)
using icod by blast
```

1.3.3 Property 3: Maximality

Specification of a cut that consists of maximal segments: The segements are non-empty, and for every two neighbouring segments, the first value of the last segment cannot be used to continue the first segment:

```
fun maxi where
     maxi [] \longleftrightarrow True
    maxi [c] \longleftrightarrow c \neq []
   |\max(c1\#c2\#cs)\longleftrightarrow(c1\neq[]\land c2\neq[]\land \max(c2\#cs)\land(
       incr c1 \land \neg (last c1 < hd c2)
      \vee decr c1 \wedge \neg (last c1 > hd c2)
        ))
Obviously, our specification implies that there are no empty segments
  lemma maxi-imp-non-empty: maxi xs \Longrightarrow [] \notin set xs
    by (induction xs rule: maxi.induct) auto
  lemma tdconc': xs \neq [] \Longrightarrow
    \exists ys. \ xs = take\text{-}decr \ xs @ ys \land (ys \neq []
      \longrightarrow \neg (last\ (take\text{-}decr\ xs) \ge hd\ ys))
    apply (induction xs rule: take-decr.induct)
    apply auto
    apply (case-tac xs) apply (auto split: if-splits)
    done
  lemma ticonc': xs \neq [] \implies \exists ys. \ xs = take-incr \ xs @ ys \land (ys \neq [] \longrightarrow \neg (last
(take\text{-}incr\ xs) < hd\ ys))
    apply (induction xs rule: take-incr.induct)
    apply auto
    apply (case-tac xs) apply (auto split: if-splits)
    done
  lemma take\text{-}conc': xs \neq [] \Longrightarrow \exists ys. \ xs = take \ xs@ys \land (ys \neq [] \longrightarrow (
    take \ xs = take - incr \ xs \land \neg (last \ (take - incr \ xs) < hd \ ys)
  \lor take \ xs = take \ decr \ xs \land \neg (last \ (take \ decr \ xs) \ge hd \ ys)
    using tdconc' ticonc'
    apply (cases xs rule: take.cases)
    by auto
```

 $\mathbf{lemma} \ take\text{-}decr\text{-}non\text{-}empty:$

```
take-decr \ xs \neq [] \ \mathbf{if} \ xs \neq []
 using that
 apply (cases xs)
  apply auto
 subgoal for x ys
   apply (cases ys)
    apply (auto split: if-split-asm)
   done
 done
lemma take-incr-non-empty:
  take-incr \ xs \neq [] \ \mathbf{if} \ xs \neq []
 using that
 apply (cases xs)
  apply auto
 subgoal for x ys
   apply (cases ys)
    apply (auto split: if-split-asm)
   done
 done
lemma take\text{-}conc'': xs \neq [] \Longrightarrow \exists ys. \ xs = take \ xs@ys \land (ys \neq [] \longrightarrow (
 incr (take \ xs) \land \neg (last \ (take \ xs) < hd \ ys)
\lor decr (take \ xs) \land \neg (last \ (take \ xs) \ge hd \ ys)
))
 using tdconc' ticonc' tki tkd
 apply (cases xs rule: take.cases)
 apply auto
 apply (auto simp add: take-incr-non-empty)
 apply (simp add: take-decr-non-empty)
 apply (metis\ list.distinct(1)\ take-incr.simps(3))
 by (smt\ (verit)\ list.simps(3)\ take-decr.simps(3))
lemma [simp]: cuts [] = []
 apply (subst cuts.simps) by auto
lemma [simp]: cuts xs \neq [] \longleftrightarrow xs \neq []
 apply (subst cuts.simps)
 apply (auto simp: take2-def Let-def)
 done
lemma inv-cuts: cuts xs = c \# cs \Longrightarrow \exists ys. c = take xs \land xs = c@ys \land cs = cuts ys
 apply (subst (asm) cuts.simps)
 apply (cases xs rule: cuts.cases)
 apply (auto split: if-splits simp: take2-def Let-def)
 by (metis append-eq-conv-conj take-conc)
```

```
theorem maximal-cuts: maxi (cuts xs)
 apply (induction cuts xs arbitrary: xs rule: maxi.induct)
 subgoal by auto
 subgoal for c xs
  apply (drule sym; simp)
  apply (subst (asm) cuts.simps)
  apply (auto split: if-splits prod.splits simp: take2-def Let-def take-non-empty)
  done
 subgoal for c1 c2 cs xs
  apply (drule sym)
  apply simp
  apply (drule inv-cuts; clarsimp)
  apply auto
   subgoal by (metis cuts.simps list.distinct(1) take-non-empty)
  subgoal by (metis append.left-neutral inv-cuts not-Cons-self)
   subgoal using icod by blast
   subgoal by (metis
       Nil-is-append-conv cuts.simps hd-append2 inv-cuts list.distinct(1)
       same-append-eq take-conc'' take-non-empty)
   subgoal by (metis
       append-is-Nil-conv cuts.simps hd-append2 inv-cuts list.distinct(1)
       same-append-eq take-conc'' take-non-empty)
   done
 done
```

1.3.4 Equivalent Formulation Over Indexes

After the competition, we got the comment that a specification of monotonic sequences via indexes might be more readable.

We show that our functional specification is equivalent to a specification over indexes.

```
end
```

```
locale cnvSpec' =
   fixes fP P P'
   assumes [simp]: fP \mid \longrightarrow True
   assumes [simp]: fP [x] \longleftrightarrow P' x
   assumes [simp]: fP(a\#b\#xs) \longleftrightarrow P'a \land P'b \land Pab \land fP(b\#xs)
  begin
   lemma idx-spec: fP \ xs \longleftrightarrow (\forall i < length \ xs. \ P' \ (xs!i)) \land (\forall i < length \ xs - 1. \ P
(xs!i) (xs!Suc i)
     apply (induction xs rule: ii-induction.induct)
     apply auto []
     apply auto []
     apply clarsimp
     by (smt less-Suc-eq-0-disj nth-Cons-0 nth-Cons-Suc)
  end
  interpretation INCR: cnvSpec incr (<)
   apply unfold-locales by auto
  interpretation DECR: cnvSpec\ decr\ (\geq)
   apply unfold-locales by auto
  interpretation MAXI: cnvSpec' maxi \lambda c1 c2. ( (
       incr c1 \land \neg (last c1 < hd c2)
     \lor decr c1 \land \neg (last c1 \ge hd c2)
       ))
     \lambda x. \ x \neq []
   apply unfold-locales by auto
  lemma incr-by-idx: incr xs = (\forall i < length xs - 1. xs ! i < xs ! Suc i)
   by (rule INCR.idx-spec)
  lemma decr-by-idx: decr xs = (\forall i < length xs - 1. xs ! i > xs ! Suc i)
   by (rule DECR.idx-spec)
  lemma maxi-by-idx: maxi \ xs \longleftrightarrow
    (\forall i < length \ xs. \ xs \ ! \ i \neq []) \land 
   (\forall i < length \ xs - 1.
        incr (xs ! i) \land \neg last (xs ! i) < hd (xs ! Suc i)
      \lor decr (xs ! i) \land \neg hd (xs ! Suc i) \leq last (xs ! i)
   by (rule MAXI.idx-spec)
  theorem all-correct:
   concat (cuts xs) = xs
   \forall c \in set (cuts \ xs). \ incr \ c \lor decr \ c
```

```
maxi (cuts xs)
   [] \notin set (cuts xs)
   using cuts-incr-decr concat-cuts maximal-cuts
        maxi-imp-non-empty[OF maximal-cuts]
   by auto
end
\mathbf{2}
     Challenge 1.B
theory Challenge1B
 {\bf imports}\ {\it Challenge1A}\ {\it HOL-Library.Multiset}
begin
lemma mset-concat:
 mset\ (concat\ xs) = fold\ (+)\ (map\ mset\ xs)\ \{\#\}
proof
 have mset (concat xs) + a = fold (+) (map mset xs) a for a
 proof (induction xs arbitrary: a)
   case Nil
   then show ?case
    by auto
 next
   case (Cons \ x \ xs)
   show ?case
     using Cons.IH[of\ mset\ x+a,\ symmetric] by simp
 qed
 from this[of \{\#\}] show ?thesis
   by auto
qed
       Merging Two Segments
fun merge :: 'a::\{linorder\}\ list \Rightarrow 'a\ list \Rightarrow 'a\ list where
  merge [ l2 = l2 ]
  merge l1 [] = l1
| merge (x1 \# l1) (x2 \# l2) =
   (if (x1 < x2) then x1 \# (merge l1 (x2 \# l2)) else x2 \# (merge (x1 \# l1) l2))
lemma merge-correct:
 assumes sorted 11
 assumes sorted 12
 shows
   sorted (merge l1 l2)
 \land mset (merge l1 l2) = mset l1 + mset l2
 \land set (merge l1 l2) = set l1 \cup set l2
```

using assms

proof (induction l1 arbitrary: l2)

```
by simp
\mathbf{next}
 case (Cons x1 l1 l2)
 note IH = Cons.IH
 show ?case
   using Cons.prems
 proof (induction l2)
   case Nil then show ?case
     by simp
 next
   case (Cons x2 l2)
   then show ?case
     using IH by (force split: if-split-asm)
 qed
qed
2.2
       Merging a List of Segments
function merge-list :: 'a::\{linorder\}\ list\ list \Rightarrow 'a\ list\ list \Rightarrow 'a\ list where
  merge-list [] [] = []
  merge-list [][l] = l
  merge-list (la \# acc2) [] = merge-list [] (la \# acc2)
  merge-list (la \# acc2) [l] = merge-list [] (l \# la \# acc2)
 | merge-list \ acc2 \ (l1 \# l2 \# ls) =
   merge-list ((merge\ l1\ l2) \#\ acc2) ls
by pat-completeness simp-all
termination by (relation measure (\lambda(acc, ls)). 3 * length acc + 2 * length ls);
simp)
lemma merge-list-correct:
assumes \bigwedge l. l \in set \ ls \Longrightarrow sorted \ l
assumes \bigwedge l. l \in set \ as \Longrightarrow sorted \ l
shows
 sorted (merge-list as ls)
\land mset (merge-list as ls) = mset (concat (as @ ls))
\land set (merge-list as ls) = set (concat (as @ ls))
using assms
proof (induction as ls rule: merge-list.induct)
next
 case (4 la acc2 l)
 then show ?case
   by (auto simp: algebra-simps)
 case (5 acc2 l1 l2 ls)
 have sorted (merge-list (merge l1 l2 \# acc2) ls)
   \land mset (merge-list (merge l1 l2 # acc2) ls) = mset (concat ((merge l1 l2 #
acc2) @ ls))
```

case Nil thus ?case

```
\land set (merge-list (merge l1 l2 # acc2) ls) = set (concat ((merge l1 l2 # acc2)
@ ls))
   using 5(2-) merge-correct[of l1 l2] by (intro 5(1)) auto
 then show ?case
   using merge-correct[of l1 \ l2] 5(2-) by auto
qed simp+
2.3
       GHC-Sort
definition
 ghc-sort xs = merge-list [] (map (\lambda ys. if decr ys then rev ys else ys) (cuts xs))
lemma decr-sorted:
 assumes decr xs
 shows sorted (rev xs)
 using assms by (induction xs rule: decr.induct) (auto simp: sorted-append)
lemma incr-sorted:
 assumes incr xs
 shows sorted xs
 using assms by (induction xs rule: incr.induct) auto
lemma reverse-phase-sorted:
 \forall ys \in set \ (map \ (\lambda ys. \ if \ decr \ ys \ then \ rev \ ys \ else \ ys) \ (cuts \ xs)). \ sorted \ ys
 using cuts-incr-decr by (auto intro: decr-sorted incr-sorted)
lemma reverse-phase-elements:
 set (concat (map (\lambda ys. if decr ys then rev ys else ys) (cuts xs))) = set xs
proof -
 have set (concat (map (\lambda ys. if decr ys then rev ys else ys) (cuts xs)))
   = set (concat (cuts xs))
   by auto
 also have \dots = set xs
   by (simp add: concat-cuts)
 finally show ?thesis.
qed
lemma reverse-phase-permutation:
 mset\ (concat\ (map\ (\lambda ys.\ if\ decr\ ys\ then\ rev\ ys\ else\ ys)\ (cuts\ xs))) = mset\ xs
proof -
 have mset (concat (map (\lambda ys. if decr ys then rev ys else ys) (cuts xs)))
   = mset (concat (cuts xs))
   unfolding mset-concat by (auto simp: comp-def introl: arg-cong2[where f =
fold (+)]
 also have \dots = mset \ xs
   by (simp add: concat-cuts)
 finally show ?thesis.
qed
```

2.4 Correctness Lemmas

```
The result is sorted and a permutation of the original elements.
```

```
theorem sorted-ghc-sort:
 sorted (ghc-sort xs)
 unfolding ghc-sort-def using reverse-phase-sorted
 by (intro merge-list-correct[THEN conjunct1]) auto
{\bf theorem}\ \textit{permutation-ghc-sort}\colon
 mset (ghc\text{-}sort xs) = mset xs
 unfolding qhc-sort-def
 apply (subst merge-list-correct[THEN conjunct2])
 subgoal
   using reverse-phase-sorted by auto
 subgoal
   using reverse-phase-sorted by auto
 apply (subst (2) reverse-phase-permutation[symmetric])
 apply simp
 done
corollary elements-ghc-sort: set (ghc\text{-}sort \ xs) = set \ xs
 using permutation-ghc-sort by (metis set-mset-mset)
```

2.5 Executable Code

```
export-code ghc-sort checking SML Scala OCaml? Haskell?
```

```
\mathbf{value} \ [\mathit{code}] \ \mathit{ghc\text{-}sort} \ [1, 2, 7, 3, 5, 6, 9, 8, 4]
```

 \mathbf{end}

3 Challenge 2.A

```
theory Challenge2A imports lib/VTcomp begin
```

 $Problem \ definition: \ https://ethz.ch/content/dam/ethz/special-interest/infk/chair-program-method/pm/documents/Verify%20This/Challenges%202019/cartesian_trees.pdf$

Polished and worked-over version.

3.1 Specification

```
We first fix the input, a list of integers context fixes xs :: int \ list \ \mathbf{begin}
```

We then specify the desired output: For each index j, return the greatest index i < j such that xs!i < xs!j, or *None* if no such index exists.

Note that our indexes start at zero, and we use an option datatype to model that no left-smaller value may exists.

definition

```
left-spec j = (if (\exists i < j. xs ! i < xs ! j) then Some (GREATEST i. i < j \land xs ! i < xs ! j) else None)
```

The output of the algorithm should be an array *lf*, containing the indexes of the left-smaller values:

definition all-left-spec $lf \equiv length \ lf = length \ xs \land (\forall i < length \ xs. \ lf!i = left-spec \ i)$

3.2 Auxiliary Theory

using assms

We derive some theory specific to this algorithm

3.2.1 Has-Left and The-Left

We split the specification of nearest left value into a predicate and a total function

```
definition has-left j = (\exists i < j. xs ! i < xs ! j)
definition the-left j = (GREATEST i. i < j \land xs ! i < xs ! j)
lemma left-alt: left-spec j = (if has-left j then Some (the-left j) else None)
 by (auto simp: left-spec-def has-left-def the-left-def)
lemma the-left j \implies the-left j < j \land xs! the-left j < xs!
 apply (clarsimp simp: has-left-def the-left-def)
 by (metis\ (no\text{-}types,\ lifting)\ GreatestI-nat\ less-le-not-le\ nat-le-linear\ pinf(5))
lemma the-left-decr[simp]: has-left i \Longrightarrow the-left i < i
 by (simp add: the-leftI)
lemma le-the-leftI:
 assumes i \le j \ xs!i < xs!j
 shows i \leq the\text{-}left j
  using assms unfolding the-left-def
 by (metis (no-types, lifting)
     Greatest-le-nat le-less-linear less-imp-not-less less-irrefl
     order.not-eq-order-implies-strict)
lemma the-left-leI:
  assumes \forall k. j < k \land k < i \longrightarrow \neg xs!k < xs!i
 assumes has-left i
 shows the-left i \leq j
```

```
unfolding the-left-def has-left-def
apply auto
by (metis (full-types) the-leftI assms(2) not-le the-left-def)
```

3.2.2 Derived Stack

We note that the stack in the algorithm doesn't contain any extra information. It can be derived from the left neighbours that have been computed so far: The first element of the stack is the current index - 1, and each next element is the nearest left smaller value of the previous element:

```
fun der-stack where der-stack i = (if \text{ has-left } i \text{ then the-left } i \# \text{ der-stack } (\text{the-left } i) \text{ else } []) declare der-stack.simps[simp del]
```

Although the refinement framework would allow us to phrase the algorithm without a stack first, and then introduce the stack in a subsequent refinement step (or omit it altogether), for simplicity of presentation, we decided to model the algorithm with a stack in first place. However, the invariant will account for the stack being derived.

```
lemma set-der-stack-lt: k \in set\ (der-stack\ i_0) \Longrightarrow k < i_0 apply (induction\ i_0\ rule:\ der-stack.induct) apply (subst\ (asm)\ der-stack.simps) apply auto using less-trans the-left by blast
```

3.3 Abstract Implementation

We first implement the algorithm on lists. The assertions that we annotated into the algorithm ensure that all list index accesses are in bounds.

```
definition pop stk v \equiv drop While \ (\lambda j. \ xs! j \ge v) stk

lemma pop-Nil[simp]: pop [] v = [] by (auto simp: pop-def)

lemma pop-cons: pop (j\# js) v = (if \ xs! j \ge v \ then \ pop \ js \ v \ else \ j\# js)
by (simp add: pop-def)

definition all-left \equiv doN \ \{
(-,lf) \leftarrow nfoldli \ [0..< length \ xs] \ (\lambda -. \ True) \ (\lambda i \ (stk,lf). \ doN \ \{
ASSERT \ (set \ stk \subseteq \{0..< length \ xs\} \ );
let \ stk = pop \ stk \ (xs! i);
ASSERT \ (stk = der \ stack \ i);
ASSERT \ (i< length \ lf);
if \ (stk = []) then \ doN \ \{
let \ lf = lf[i:= None];
RETURN \ (i\# stk, lf)
\} \ else \ doN \ \{
let \ lf = lf[i:= Some \ (hd \ stk)];
```

```
RETURN \ (i\#stk,lf) \\ \} \\ \}) \ ([],replicate \ (length \ xs) \ None); \\ RETURN \ lf \\ \}
```

3.4 Correctness Proof

3.4.1 Popping From the Stack

We show that the abstract algorithm implements its specification. The main idea here is the popping of the stack. Top obtain a left smaller value, it is enough to follow the left-values of the left-neighbour, until we have found the value or there are no more left-values.

The following theorem formalizes this idea:

```
theorem find-left-rl:
 assumes i_0 < length xs
 assumes i < i_0
 assumes left-spec i_0 \leq Some i
 shows if xs!i < xs!i_0 then left-spec i_0 = Some i
       else left-spec i_0 \leq left-spec i
 using assms
 apply (simp; intro impI conjI; clarsimp)
 subgoal
   apply (auto simp: left-alt split: if-splits)
   apply (simp add: le-antisym le-the-leftI)
   apply (auto simp: has-left-def)
   done
 subgoal
   apply (auto simp: left-alt split: if-splits)
   {\bf subgoal}
     apply (drule the-leftI)
     using nat-less-le by (auto simp: has-left-def)
   subgoal
     using le-the-leftI the-leftI by fastforce
   done
 done
```

Using this lemma, we can show that the stack popping procedure preserves the form of the stack.

```
lemma pop-aux: [k < i_0; i_0 < length xs; left-spec i_0 \le Some k] \implies pop (k \# der-stack k) (xs!i_0) = der-stack i_0

apply (induction k rule: nat-less-induct)

apply (clarsimp)

by (smt der-stack.simps left-alt pop-def the-leftI dropWhile.simps(1) find-left-rl leD less-option-None-Some option.inject pop-cons)
```

3.4.2 Main Algorithm

by (subst der-stack.simps) auto

Ad-Hoc lemmas

```
lemma swap\text{-}adhoc[simp]:

None = left \ i \longleftrightarrow left \ i = None

Some \ j = left \ i \longleftrightarrow left \ i = Some \ j \ \mathbf{by} \ auto
```

```
left-alt)
lemma [simp]: left-spec 0 = None by (auto\ simp: left-spec-def)
lemma [simp]: has-left 0 = False
by (simp\ add: has-left-def)
lemma [simp]: der-stack 0 = []
```

lemma left-spec-None-iff[simp]: left-spec $i = None \longleftrightarrow \neg has$ -left i by (auto simp:

```
lemma algo-correct: all-left \leq SPEC all-left-spec unfolding all-left-def all-left-spec-def apply (refine-vcg nfoldli-upt-rule[where I= \lambda k \ (stk,lf). (length lf = length \ xs) \land \ (\forall \ i < k \ lf! i = left-spec \ i) \land \ (case \ k \ of \ Suc \ kk \Rightarrow stk = kk\#der-stack \ kk \ | \ - \Rightarrow \ stk=[]) ]) apply (vc-solve split: nat.splits) subgoal using set-der-stack-lt by fastforce subgoal for lf \ k
```

 $\textbf{by} \ (\textit{metis left-alt less-Suc-eq-le less-eq-option-None less-eq-option-Some nat-in-between-eq(2)} \\ \textit{pop-aux the-left} I)$

subgoal

 $\mathbf{by} \ (\textit{metis der-stack}. \textit{simps left-alt less-Suc-eq list.} \textit{distinct}(1) \ \textit{nth-list-update}) \\ \mathbf{subgoal}$

by $(metis\ der\mbox{-stack}.simps\ left\mbox{-alt}\ less\mbox{-}Suc\mbox{-}eq\ list\mbox{.}sel(1)\ nth\mbox{-}list\mbox{-}update)$ done

3.5 Implementation With Arrays

We refine the algorithm to use actual arrays for the input and output. The stack remains a list, as pushing and popping from a (functional) list is efficient.

3.5.1 Implementation of Pop

In a first step, we refine the pop function to an explicit loop.

```
definition pop2 stk v \equiv monadic-WHILEIT (\lambda -. set stk \subseteq \{0..< length xs\})
```

```
(\lambda [] \Rightarrow RETURN \ False \mid k\#stk \Rightarrow doN \ \{ \ ASSERT \ (k < length \ xs); \ RETURN
(v \leq xs!k)
   (\lambda stk. mop-list-tl stk)
   stk
lemma pop2-refine-aux: set \ stk \subseteq \{0... < length \ xs\} \implies pop2 \ stk \ v \le RETURN
(pop \ stk \ v)
 apply (induction stk)
 unfolding pop-def pop2-def
 subgoal
   apply (subst monadic-WHILEIT-unfold)
   by auto
 subgoal
   apply (subst monadic-WHILEIT-unfold)
   unfolding mop-list-tl-def op-list-tl-def by auto
  done
end — Context fixing the input xs.
The refinement lemma written in higher-order form.
lemma pop2-refine: (uncurry2\ pop2,\ uncurry2\ (RETURN\ ooo\ pop)) \in [\lambda((xs,stk),v).
set \ stk \subseteq \{0.. < length \ xs\}\}_f \ (Id \times_r Id) \times_r Id \rightarrow \langle Id \rangle nres-rel
 using pop2-refine-aux
 by (auto intro!: frefI nres-relI)
Next, we use the Sepref tool to synthesize an implementation on arrays.
sepref-definition pop2-impl is uncurry2\ pop2::(array-assn\ id-assn)^k*_a(list-assn
(id\text{-}assn)^k *_a id\text{-}assn^k \rightarrow_a list\text{-}assn id\text{-}assn
 unfolding pop2-def
 by sepref
lemmas [sepref-fr-rules] = pop2-impl.refine[FCOMP pop2-refine]
         Implementation of Main Algorithm
sepref-definition all-left-impl is all-left :: (array-assn\ id-assn)^k \rightarrow_a array-assn
```

```
(option-assn id-assn)
 unfolding all-left-def
 apply (rewrite at nfoldli - - - (□,-) HOL-list.fold-custom-empty)
 apply (rewrite in nfoldli - - - (-,□) array-fold-custom-replicate)
 by sepref
```

3.5.3 Correctness Theorem for Concrete Algorithm

We compose the correctness theorem and the refinement theorem, to get a correctness theorem for the final implementation.

Abstract correctness theorem in higher-order form.

lemma algo-correct': (all-left, SPEC o all-left-spec)

```
\in \langle Id \rangle list\text{-rel} \rightarrow \langle \langle \langle Id \rangle option\text{-rel} \rangle list\text{-rel} \rangle nres\text{-rel}
  using algo-correct by (auto simp: nres-relI)
Main correctness theorem in higher-order form.
theorem algo-impl-correct:
    (all-left-impl, SPEC o all-left-spec)
   \in (array\text{-}assn\ int\text{-}assn,\ array\text{-}assn\ int\text{-}assn) \rightarrow_a array\text{-}assn\ (option\text{-}assn\ nat\text{-}assn)
  using all-left-impl.refine[FCOMP algo-correct', simplified].
Main correctness theorem as Hoare-Triple
{\bf theorem}\ \mathit{algo-impl-correct'}:
  <array-assn int-assn xs xsi>
    all-left-impl xsi
  <\lambda lfi. \exists_A lf. array-assn int-assn xs xsi
        * array-assn (option-assn id-assn) lf lfi
        * \uparrow (all\text{-}left\text{-}spec \ xs \ lf)>_t
 apply (rule cons-rule [OF - - algo-impl-correct [to-hnr, THEN hn-refineD, unfolded
autoref-tag-defs]])
  apply (simp add: hn-ctxt-def, rule ent-refl)
```

3.6 Code Generation

by (auto simp: hn-ctxt-def)

export-code all-left-impl checking SML Scala Haskell? OCaml?

The example from the problem description, in ML using the verified algorithm

4 Challenge 2.B

theory Challenge2B

end

```
\begin{array}{c} \textbf{imports} \ \textit{Challenge2A} \\ \textbf{begin} \end{array}
```

We did not get very far on this part of the competition. Only Task 2 was finished.

4.1 Basic Definitions

```
datatype tree = Leaf \mid Node \ int \ (lc: \ tree) \ (rc: \ tree)

Analogous to left-spec from 2.A.

definition

right-spec xs \ j =

(if \ (\exists \ i > j. \ xs \ ! \ i < xs \ ! \ j) \ then \ Some \ (LEAST \ i. \ i > j \land xs \ ! \ i < xs \ ! \ j) \ else

None)

context

fixes xs :: int \ list

assumes distinct \ xs
begin
```

4.2 Specification of the Parent

```
definition
```

```
\begin{array}{l} parent \ i = (\\ case \ (left\text{-}spec \ xs \ i, \ right\text{-}spec \ xs \ i) \ of \\ (None, \ None) \Rightarrow None \\ | \ (Some \ x, \ None) \Rightarrow Some \ x \\ | \ (None, \ Some \ y) \Rightarrow Some \ y \\ | \ (Some \ x, \ Some \ y) \Rightarrow Some \ (max \ x \ y) \\ ) \end{array}
```

4.3 The Heap Property (Task 2)

```
lemma parent-heap:
   assumes parent j = Some \ p
   shows xs \,!\, j > xs \,!\, p

proof -

note [simp \ del] = left\text{-}spec\text{-}None\text{-}iff\ swap\text{-}adhoc}
   show ?thesis

proof (cases\ (\exists i < j.\ xs \,!\, i < xs \,!\, j))

   case True

   then have *: xs \,!\, the\ (left\text{-}spec\ xs\ j) < xs \,!\, j\ left\text{-}spec\ xs\ j \neq None}

   unfolding left\text{-}spec\text{-}def by auto\ (metis\ (no\text{-}types,\ lifting)\ GreatestI\text{-}nat\ True\ less-le)

   show ?thesis

   proof (cases\ (\exists i > j.\ xs \,!\, i < xs \,!\, j))

   case True

   then have xs \,!\, the\ (right\text{-}spec\ xs\ j) < xs \,!\, j\ right\text{-}spec\ xs\ j \neq None
```

```
unfolding right-spec-def by auto (metis (no-types, lifting) LeastI)
    then show ?thesis
      using * assms unfolding parent-def by auto
    case False
    then have right-spec xs j = None
      unfolding right-spec-def by auto
    then show ?thesis
      using * assms unfolding parent-def by auto
   qed
 next
   case False
   then have [simp]: left-spec xs j = None
    unfolding left-spec-def by auto
   show ?thesis
   proof (cases (\exists i > j. xs ! i < xs ! j))
    {f case} True
    then have xs ! the (right-spec xs j) < xs ! j right-spec xs j \neq None
      unfolding right-spec-def by auto (metis (no-types, lifting) LeastI)
    then show ?thesis
      using assms unfolding parent-def by auto
   \mathbf{next}
    case False
    then have right-spec xs j = None
      unfolding right-spec-def by auto
    then show ?thesis
      using assms unfolding parent-def by auto
   qed
 qed
qed
end
end
```

5 Iterating a Commutative Computation Concurrently

```
theory Parallel-Multiset-Fold
imports HOL-Library.Multiset
begin
```

This theory formalizes a deep embedding of a simple parallel computation model. In this model, we formalize a computation scheme to execute a foldfunction over a commutative operation concurrently, and prove it correct.

5.1 Misc

then show ?thesis

by blast

qed

```
lemma (in comp-fun-commute) fold-mset-rewr: fold-mset f a (mset l) = fold f l a
 by (induction l arbitrary: a; clarsimp; metis fold-mset-fun-left-comm)
lemma finite-set-of-finite-maps:
 fixes A :: 'a \ set
   and B :: 'b \ set
 assumes finite A
   and finite B
 shows finite \{m.\ dom\ m\subseteq A\land ran\ m\subseteq B\}
 have \{m.\ dom\ m\subseteq A\land ran\ m\subseteq B\}\subseteq (\bigcup\ S\in \{S.\ S\subseteq A\}.\ \{m.\ dom\ m=S\}
\land ran \ m \subseteq B\}
   by auto
 moreover have finite ...
   using assms by (auto intro!: finite-set-of-finite-maps intro: finite-subset)
 ultimately show ?thesis
   by (rule finite-subset)
qed
lemma wf-rtranclp-ev-induct[consumes 1, case-names step]:
 assumes wf \{(x, y). R y x\} and step: \bigwedge x. R^{**} a x \Longrightarrow P x \lor (\exists y. R x y)
 shows \exists x. P x \land R^{**} \ a \ x
proof -
 have \exists y. P y \land R^{**} x y \text{ if } R^{**} a x \text{ for } x
   using assms(1) that
 proof induction
   case (less x)
   from step[OF \langle R^{**} | a | x \rangle] have P | x \vee (\exists y. R | x | y).
   then show ?case
   proof
     assume P x
     then show ?case
       by auto
   \mathbf{next}
     assume \exists y. R x y
     then obtain y where R \times y ..
     with less(1)[of y] less(2) show ?thesis
      by simp (meson converse-rtranclp-into-rtranclp rtranclp.rtrancl-into-rtrancl)
   qed
 qed
```

5.2 The Concurrent System

A state of our concurrent systems consists of a list of tasks, a partial map from threads to the task they are currently working on, and the current computation result.

```
type-synonym ('a, 's) state = 'a \ list \times (nat \rightharpoonup 'a) \times 's context comp-fun-commute begin context fixes n :: nat — The number of threads. assumes n-gt-\theta[simp, intro]: n > \theta begin
```

A state is *final* if there are no remaining tasks and if all workers have finished their work.

definition

```
final \equiv \lambda(ts, ws, r). \ ts = [] \land dom \ ws \cap \{0... < n\} = \{\}
```

At any point a thread can:

- pick a new task from the queue if it is currently not busy
- or execute its current task.

```
inductive step :: ('a, 'b) \ state \Rightarrow ('a, 'b) \ state \Rightarrow bool \ where
  pick: step (t \# ts, ws, s) (ts, ws(i := Some t), s) if ws i = None and i < n
                               (ts, ws(i := None), f \ a \ s) if ws \ i = Some \ a and i < n
\mid exec: step (ts, ws, s)
lemma no-deadlock:
  assumes \neg final cfg
  shows \exists cfg'. step cfg cfg'
  using assms
  apply (cases \ cfg)
  apply safe
  subgoal for ts ws s
    by (cases ts; cases ws 0) (auto 4 5 simp: final-def intro: step.intros)
  done
lemma wf-step:
  wf \{((ts', ws', r'), (ts, ws, r)).
    step\ (ts,\ ws,\ r)\ (ts',\ ws',\ r')\ \land\ set\ ts'\subseteq S\ \land\ dom\ ws\subseteq \{0...< n\}\ \land\ ran\ ws\subseteq S\}
  if finite S
proof -
 let ?R1 = \{(x, y). \ dom \ x \subset dom \ y \land ran \ x \subseteq S \land dom \ y \subseteq \{0... < n\} \land ran \ y \subseteq \{0... < n\} \}
 have ?R1 \subseteq \{y.\ dom\ y \subseteq \{0...< n\} \land ran\ y \subseteq S\} \times \{y.\ dom\ y \subseteq \{0...< n\} \land ran
y \subseteq S
```

```
by auto
  then have finite ?R1
   using \langle finite S \rangle by - (erule finite-subset, auto intro: finite-set-of-finite-maps)
  then have [intro]: wf ?R1
   apply (rule finite-acyclic-wf)
   apply (rule preorder-class.acyclicI-order[where f = \lambda x. n - card (dom x)])
   apply clarsimp
   by (metis (full-types)
       cancel-ab-semigroup-add-class.diff-right-commute diff-diff-cancel domD domI
       psubsetI\ psubset\text{-}card\text{-}mono\ subset\text{-}eq\text{-}atLeast0\text{-}lessThan\text{-}card
       subset-eq-atLeast0-lessThan-finite zero-less-diff)
  let ?R = measure\ length <*lex*> ?R1 <*lex*> {}
  have wf ?R
   by auto
  then show ?thesis
   apply (rule wf-subset)
   apply clarsimp
   apply (erule step.cases; clarsimp)
   by (smt)
      Diff-iff domIff fun-upd-apply mem-Collect-eq option.simps(3) psubsetI ran-def
        singletonI subset-iff)
qed
context
  fixes ts:: 'a list and start:: 'b
begin
definition
  s_0 = (ts, \lambda -. None, start)
definition reachable \equiv (step^{**}) s_0
lemma reachable 0 [simp]: reachable s_0
 unfolding reachable-def by auto
definition is-invar I \equiv I s_0 \land (\forall s s'. reachable s \land I s \land step s s' \longrightarrow I s')
lemma is-invarI[intro?]:
  \llbracket \ I \ s_0; \ \bigwedge s \ s'. \ \llbracket \ reachable \ s; \ I \ s; \ step \ s \ s' \rrbracket \Longrightarrow I \ s' \ \rrbracket \Longrightarrow is\text{-invar} \ I
 by (auto simp: is-invar-def)
lemma invar-reachable: is-invar I \Longrightarrow reachable s \Longrightarrow I s
  unfolding reachable-def
  by rotate-tac (induction rule: rtranclp-induct, auto simp: is-invar-def reach-
able-def)
definition
  invar \equiv \lambda(ts2, ws, r).
   (\exists ts1.
```

```
mset \ ts = ts1 + \{ \# \ the \ (ws \ i). \ i \in \# \ mset\text{-set} \ (dom \ ws \cap \{0... < n\}) \ \# \} + 
mset\ ts2
   \land r = fold\text{-}mset\ f\ start\ ts1
   \land set ts2 \subseteq set \ ts \land ran \ ws \subseteq set \ ts \land dom \ ws \subseteq \{0...< n\})
lemma invariant:
 is-invar invar
 apply rule
 subgoal
   unfolding s_0-def unfolding invar-def by simp
 subgoal
   unfolding invar-def
   apply (elim step.cases)
   apply (clarsimp split: option.split-asm)
   subgoal for ws i t ts ts1
    apply (rule exI[where x = ts1])
     apply (subst mset-set.insert)
       apply (auto intro!: multiset.map-cong0)
     done
   apply (clarsimp split!: prod.splits)
   subgoal for ws i a ts ts1
    apply (rule exI[where x = add-mset a ts1])
       apply (subst Diff-Int-distrib2)
       apply (subst mset-set.remove)
         apply (auto intro!: multiset.map-cong0 split: if-split-asm simp: ran-def)
     done
   done
 done
lemma final-state-correct1:
 assumes invar (ts', ms, r) final (ts', ms, r)
 shows r = fold-mset f start (mset ts)
 using assms unfolding invar-def final-def by auto
lemma final-state-correct2:
 assumes reachable (ts', ms, r) final (ts', ms, r)
 shows r = fold-mset f start (mset ts)
 using assms by - (rule final-state-correct1, rule invar-reachable[OF invariant])
Soundness: whenever we reach a final state, the computation result is correct.
theorem final-state-correct:
 assumes reachable (ts', ms, r) final (ts', ms, r)
 shows r = fold f ts start
 using final-state-correct2[OF assms] by (simp add: fold-mset-rewr)
Termination: at any point during the program execution, we can continue
to a final state. That is, the computation always terminates.
theorem termination:
 assumes reachable s
```

```
shows \exists s'. final s' \land step^{**} s s'
proof -
 unfolding reachable-def by auto
 also have \ldots \subseteq \{((ts', ws', r'), (ts1, ws, r)).
   step\ (ts1,\ ws,\ r)\ (ts',\ ws',\ r')\ \land\ set\ ts'\subseteq set\ ts\ \land\ dom\ ws\subseteq\{0...< n\}\ \land\ ran\ ws
   by (force dest!: invar-reachable[OF invariant] simp: invar-def)
  finally have wf \{(s', s). step \ s \ s' \land reachable \ s\}
   by (elim wf-subset[OF wf-step, rotated]) simp
  then have \exists s'. final s' \land (\lambda s \ s'. \ step \ s \ s' \land \ reachable \ s)^{**} \ s \ s'
 proof (induction rule: wf-rtranclp-ev-induct)
   case (step x)
   then have (\lambda s \ s'. \ step \ s \ s')^{**} \ s \ x
     by (elim mono-rtranclp[rule-format, rotated] conjE)
   with \langle reachable s \rangle have reachable x
     unfolding reachable-def by auto
   then show ?case
     using no\text{-}deadlock[of x] by auto
  qed
  then show ?thesis
   apply clarsimp
   apply (intro exI conjI, assumption)
   apply (rule mono-rtranclp[rule-format])
    apply auto
   done
qed
end
end
end
The main theorems outside the locale:
{f thm} comp-fun-commute.final-state-correct comp-fun-commute.termination
end
```

6 Challenge 3

```
theory Challenge3
imports Parallel-Multiset-Fold Refine-Imperative-HOL.IICF
begin
```

Problem definition: https://ethz.ch/content/dam/ethz/special-interest/infk/chair-program-method/pm/documents/Verify%20This/Challenges%202019/sparse_matrix_multiplication.pdf

6.1 Single-Threaded Implementation

We define type synonyms for values (which we fix to integers here) and triplets, which are a pair of coordinates and a value.

```
\label{eq:type-synonym} \begin{array}{l} \textit{type-synonym} \ \textit{val} = \textit{int} \\ \textit{type-synonym} \ \textit{triplet} = (\textit{nat} \times \textit{nat}) \times \textit{val} \end{array}
```

We fix a size n for the vector.

context

```
fixes n :: nat begin
```

An algorithm finishing triples in any order.

definition

```
alg (ts:: triplet list) x = fold\text{-mset} \ (\lambda((r,c),v) \ y. \ y(c:=y \ c + x \ r * v)) \ (\lambda\text{-.} \ 0 :: int) \ (mset \ ts)
```

We show that the folding function is commutative, i.e., the order of the folding does not matter. We will use this below to show that the computation can be parallelized.

```
interpretation comp-fun-commute (\lambda((r, c), v) \ y. \ y(c := (y \ c :: val) + x \ r * v)) apply unfold-locales apply (auto intro!: ext) done
```

6.2 Specification

Abstraction function, mapping a sparse matrix to a function from coordinates to values.

```
definition \alpha :: triplet\ list \Rightarrow (nat \times nat) \Rightarrow val\ where \alpha = the\text{-}default\ 0\ oo\ map\text{-}of
```

Abstract product.

```
definition pr \ m \ x \ i \equiv \sum k=0... < n. \ x \ k * m \ (k, i)
```

6.3 Correctness

lemma aux:

```
distinct (map fst (ts1@ts2)) \Longrightarrow the-default (0::val) (case map-of ts1 (k, i) of None \Rightarrow map-of ts2 (k, i) | Some x \Rightarrow Some x)
= the-default \ 0 \ (map-of \ ts1 \ (k, i)) + the-default \ 0 \ (map-of \ ts2 \ (k, i))
apply (auto split: option.splits)
```

```
by (metis disjoint-iff-not-equal img-fst map-of-eq-None-iff the-default.simps(2))
lemma 1[simp]: distinct (map fst (ts1@ts2)) \Longrightarrow
  pr(\alpha(ts1@ts2)) x i = pr(\alpha ts1) x i + pr(\alpha ts2) x i
  apply (auto simp: pr-def \alpha-def map-add-def aux split: option.splits)
  apply (auto simp: algebra-simps)
 \mathbf{by}\ (simp\ add:\ sum.distrib)
lemmas 2 = 1[of [((r,c),v)] ts, simplified] for <math>r c v ts
lemma [simp]: \alpha [] = (\lambda-. \theta) by (auto simp: \alpha-def)
lemma [simp]: pr(\lambda - \theta :: val) x = (\lambda - \theta)
  by (auto simp: pr-def[abs-def])
lemma aux3: the-default 0 (if b then Some x else None) = (if b then x else 0)
  by auto
lemma correct-aux: [distinct (map fst ts); \forall ((r,c),-) \in set ts. r < n]
  \implies \forall i. \ fold \ (\lambda((r,c),v) \ y. \ y(c:=y \ c + x \ r * v)) \ ts \ m \ i = m \ i + pr \ (\alpha \ ts) \ x \ i
  apply (induction ts arbitrary: m)
  apply auto
  subgoal
   apply (subst 2)
   apply auto
   unfolding pr-def \alpha-def
   apply (auto split: if-splits cong: sum.cong simp: aux3)
    apply (auto simp: if-distrib[where f=\lambda x. -*x] cong: sum.cong if-cong)
    done
  subgoal
   apply (subst 2)
   \mathbf{apply} \ \mathit{auto}
   unfolding pr-def \alpha-def
   apply (auto split: if-splits cong: sum.cong simp: aux3)
   done
  done
lemma correct-fold:
  assumes distinct (map fst ts)
  assumes \forall ((r,c),-) \in set \ ts. \ r < n
 shows fold (\lambda((r,c),v) \ y. \ y(c:=y \ c + x \ r * v)) \ ts \ (\lambda-. \ \theta) = pr \ (\alpha \ ts) \ x
  apply (rule ext)
  using correct-aux[OF assms, rule-format, where m = \lambda-. 0, simplified]
  by simp
lemma alg-by-fold: alg ts x = fold (\lambda((r,c),v) \ y. \ y(c:=y \ c + x \ r * v)) \ ts \ (\lambda -. \ \theta)
```

```
unfolding alg-def by (simp add: fold-mset-rewr)

theorem correct:
assumes distinct (map fst ts)
assumes \forall ((r,c),-)\in set ts. r<n
shows alg ts x = pr (\alpha ts) x
using alg-by-fold correct-fold[OF assms] by simp
```

6.4 Multi-Threaded Implementation

Correctness of the parallel implementation:

```
theorem parallel-correct: assumes distinct (map fst ts) \forall ((r,c),-)\inset ts. r<n and \theta < n — At least on thread — We have reached a final state. and reachable x n ts (\lambda-. \theta) (ts', ms, r) final n (ts', ms, r) shows r = pr (\alpha ts) x unfolding final-state-correct[OF assms(3-)] correct[OF assms(1,2)] alg-by-fold[symmetric]
```

We also know that the computation will always terminate.

```
theorem parallel-termination:

assumes 0 < n

and reachable x n ts (\lambda-. 0) s

shows \exists s'. final n s' \wedge (step \ x \ n)^{**} s s'

using assms by (rule termination)

end — Context for fixed n.
```