

Verified SAT-Based AI Planning

Mohammad Abdulaziz and Friedrich Kurz*

We present an executable formally verified SAT encoding of classical AI planning that is based on the encodings by Kautz and Selman [2] and the one by Rintanen et al. [3]. The encoding was experimentally tested and shown to be usable for reasonably sized standard AI planning benchmarks. We also use it as a reference to test a state-of-the-art SAT-based planner, showing that it sometimes falsely claims that problems have no solutions of certain lengths. The formalisation in this submission was described in an independent publication [1].

Contents

1 State-Variable Representation	3
2 STRIPS Representation	3
3 STRIPS Semantics	5
3.1 Serial Plan Execution Semantics	5
3.2 Parallel Plan Semantics	15
3.3 Serializable Parallel Plans	46
3.4 Auxiliary lemmas about STRIPS	58
4 SAS+ Representation	58
5 SAS+ Semantics	64
5.1 Serial Execution Semantics	64
5.2 Parallel Execution Semantics	66
5.3 Serializable Parallel Plans	77
5.4 Auxiliary lemmata on SAS+	83
6 SAS+/STRIPS Equivalence	85
6.1 Translation of SAS+ Problems to STRIPS Problems	85
6.2 Equivalence of SAS+ and STRIPS	166

* Author names are alphabetically ordered.

7	The Basic SATPlan Encoding	177
7.1	Encoding Function Definitions	177
7.2	Decoding Function Definitions	180
7.3	Soundness of the Basic SATPlan Algorithm	212
7.4	Completeness	250
8	Serializable SATPlan Encodings	291
8.1	Soundness	298
8.2	Completeness	301
9	SAT-Solving of SAS+ Problems	308
10	Adding Noop actions to the SAS+ problem	310
11	Proving Equivalence of SAS+ representation and Fast-Downward's Multi-Valued Problem Representation	312
11.1	Translating Fast-Downward's represnetation to SAS+	312
11.2	Translating SAS+ represnetation to Fast-Downward's	328
11.3	SAT encoding works for Fast-Downward's representation	334
12	DIMACS-like semantics for CNF formulae	334
12.1	Going from Formualae to DIMACS-like CNF	340
13	Code Generation	346

```

theory State-Variable-Representation
imports Main Propositional-Proof-Systems.Formulas Propositional-Proof-Systems.Sema
    Propositional-Proof-Systems.CNF
begin

```

1 State-Variable Representation

Moving on to the Isabelle implementation of state-variable representation, we first add a more concrete representation of states using Isabelle maps. To this end, we add a type synonym for maps of variables to values. Since maps can be conveniently constructed from lists of assignments—i.e. pairs $(v, a) :: 'variable \times 'domain$ —we also add a corresponding type synonym .

```
type-synonym ('variable, 'domain) state = 'variable  $\rightarrow$  'domain
```

```
type-synonym ('variable, 'domain) assignment = 'variable  $\times$  'domain
```

Effects and effect condition (see ??) are implemented in a straight forward manner using a datatype with constructors for each effect type.

```
type-synonym ('variable, 'domain) Effect = ('variable  $\times$  'domain) list
```

```
end
```

```

theory STRIPS-Representation
imports State-Variable-Representation
begin

```

2 STRIPS Representation

We start by declaring a **record** for STRIPS operators. This which allows us to define a data type and automatically generated selector operations.¹

The record specification given below closely resembles the canonical representation of STRIPS operators with fields corresponding to precondition, add effects as well as delete effects.

```

record ('variable) strips-operator =
  precondition-of :: 'variable list
  add-effects-of :: 'variable list
  delete-effects-of :: 'variable list

```

— This constructor function is sometimes a more descriptive and replacement for the record syntax and can moreover be helpful if the record syntax leads to type ambiguity.

¹For the full reference on records see [4, 11.6, pp.260-265]

```

abbreviation operator-for
  :: 'variable list  $\Rightarrow$  'variable list  $\Rightarrow$  'variable list  $\Rightarrow$  'variable strips-operator
where operator-for pre add delete  $\equiv$  (
  precondition-of = pre
  , add-effects-of = add
  , delete-effects-of = delete )

definition to-precondition
  :: 'variable strips-operator  $\Rightarrow$  ('variable, bool) assignment list
where to-precondition op  $\equiv$  map ( $\lambda v.$  ( $v$ , True)) (precondition-of op)

definition to-effect
  :: 'variable strips-operator  $\Rightarrow$  ('variable, bool) Effect
where to-effect op = [( $v_a$ , True).  $v_a \leftarrow$  add-effects-of op] @ [( $v_d$ , False).  $v_d \leftarrow$  delete-effects-of op]

Similar to the operator definition, we use a record to represent STRIPS problems and specify fields for the variables, operators, as well as the initial and goal state.

record ('variable) strips-problem =
  variables-of :: 'variable list ((-v) [1000] 999)
  operators-of :: 'variable strips-operator list ((-o) [1000] 999)
  initial-of :: 'variable strips-state ((-I) [1000] 999)
  goal-of :: 'variable strips-state ((-G) [1000] 999)

value stop

As discussed in ??, the effect of a STRIPS operator can be normalized to a conjunction of atomic effects. We can therefore construct the successor state by simply converting the list of add effects to assignments to True resp. converting the list of delete effect to a list of assignments to False and then adding the map corresponding to the assignments to the given state  $s$  as shown below in definition ??.2

definition execute-operator
  :: 'variable strips-state
   $\Rightarrow$  'variable strips-operator
   $\Rightarrow$  'variable strips-state (infixl < $\gg$ > 52)
where execute-operator  $s$  op
   $\equiv$   $s$  ++ map-of (effect-to-assignments op)

end

theory STRIPS-Semantics
imports STRIPS-Representation
  List-Supplement
  Map-Supplement
begin

```

²Function `effect_to_assignments` converts the operator effect to a list of assignments.

3 STRIPS Semantics

Having provided a concrete implementation of STRIPS and a corresponding locale *strips*, we can now continue to define the semantics of serial and parallel STRIPS plan execution (see ?? and ??).

3.1 Serial Plan Execution Semantics

Serial plan execution is defined by primitive recursion on the plan. Definition ?? returns the given state if the state argument does not satisfy the precondition of the next operator in the plan. Otherwise it executes the rest of the plan on the successor state $s \gg op$ of the given state and operator.

```
primrec execute-serial-plan
  where execute-serial-plan  $s [] = s$ 
    | execute-serial-plan  $s (op \# ops)$ 
      = (if is-operator-applicable-in  $s op$ 
        then execute-serial-plan (execute-operator  $s op$ )  $ops$ 
        else  $s$ )
  )
```

Analogously, a STRIPS trace either returns the singleton list containing only the given state in case the precondition of the next operator in the plan is not satisfied. Otherwise, the given state is prepended to trace of the rest of the plan for the successor state of executing the next operator on the given state.

```
fun trace-serial-plan-strips
  :: 'variable strips-state  $\Rightarrow$  'variable strips-plan  $\Rightarrow$  'variable strips-state list
  where trace-serial-plan-strips  $s [] = [s]$ 
    | trace-serial-plan-strips  $s (op \# ops)$ 
      =  $s \# (\text{if is-operator-applicable-in } s op$ 
        then trace-serial-plan-strips (execute-operator  $s op$ )  $ops$ 
        else [])
```

Finally, a serial solution is a plan which transforms a given problems initial state into its goal state and for which all operators are elements of the problem's operator list.

```
definition is-serial-solution-for-problem
  where is-serial-solution-for-problem  $\Pi \pi$ 
     $\equiv (goal\_of \Pi) \subseteq_m execute-serial-plan (initial\_of \Pi) \pi$ 
     $\wedge list-all (\lambda op. ListMem op (operators-of \Pi)) \pi$ 
```

```
lemma is-valid-problem-strips-initial-of-dom:
  fixes  $\Pi::'a strips\text{-problem}$ 
  assumes is-valid-problem-strips  $\Pi$ 
  shows  $dom ((\Pi)_I) = set ((\Pi)_V)$ 
  proof –
```

```

{
  let ?I = strips-problem.initial-of Π
  let ?vs = strips-problem.variables-of Π
  fix v
  have ?I v ≠ None ↔ ListMem v ?vs
    using assms(1)
    unfolding is-valid-problem-strips-def
    by meson
  hence v ∈ dom ?I ↔ v ∈ set ?vs
    using ListMem-iff
    by fast
}
thus ?thesis
  by auto
qed

lemma is-valid-problem-dom-of-goal-state-is:
fixes Π:: 'a strips-problem
assumes is-valid-problem-strips Π
shows dom ((Π)G) ⊆ set ((Π)V)
proof -
  let ?vs = strips-problem.variables-of Π
  let ?G = strips-problem.goal-of Π
  have nb: ∀ v. ?G v ≠ None → ListMem v ?vs
    using assms(1)
    unfolding is-valid-problem-strips-def
    by meson
  {
    fix v
    assume v ∈ dom ?G
    then have ?G v ≠ None
      by blast
    hence v ∈ set ?vs
      using nb
      unfolding ListMem-iff
      by blast
  }
thus ?thesis
  by auto
qed

lemma is-valid-problem-strips-operator-variable-sets:
fixes Π:: 'a strips-problem
assumes is-valid-problem-strips Π
  and op ∈ set ((Π)O)
shows set (precondition-of op) ⊆ set ((Π)V)
  and set (add-effects-of op) ⊆ set ((Π)V)
  and set (delete-effects-of op) ⊆ set ((Π)V)
  and disjoint (set (add-effects-of op)) (set (delete-effects-of op))

```

```

proof -
let ?ops = strips-problem.operators-of  $\Pi$ 
and ?vs = strips-problem.variables-of  $\Pi$ 
have list-all (is-valid-operator-strips  $\Pi$ ) ?ops
  using assms(1)
  unfolding is-valid-problem-strips-def
  by meson
moreover have  $\forall v \in \text{set}(\text{precondition-of } op). v \in \text{set}((\Pi)_v)$ 
  and  $\forall v \in \text{set}(\text{add-effects-of } op). v \in \text{set}((\Pi)_v)$ 
  and  $\forall v \in \text{set}(\text{delete-effects-of } op). v \in \text{set}((\Pi)_v)$ 
  and  $\forall v \in \text{set}(\text{add-effects-of } op). v \notin \text{set}(\text{delete-effects-of } op)$ 
  and  $\forall v \in \text{set}(\text{delete-effects-of } op). v \notin \text{set}(\text{add-effects-of } op)$ 
  using assms(2) calculation
  unfolding is-valid-operator-strips-def list-all-iff Let-def ListMem-iff
  using variables-of-def
  by auto+
ultimately show set (precondition-of op)  $\subseteq$  set (( $\Pi$ ) $_v$ )
  and set (add-effects-of op)  $\subseteq$  set (( $\Pi$ ) $_v$ )
  and set (delete-effects-of op)  $\subseteq$  set (( $\Pi$ ) $_v$ )
  and disjoint (set (add-effects-of op)) (set (delete-effects-of op))
  unfolding disjoint-def
  by fast+
qed

```

```

lemma effect-to-assignments-i:
assumes as = effect-to-assignments op
shows as = (map ( $\lambda v. (v, \text{True})$ ) (add-effects-of op)
  @ map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op))
using assms
unfolding effect-to-assignments-def effect--strips-def
by auto

```

```

lemma effect-to-assignments-ii:
— NOTE effect-to-assignments can be simplified drastically given that only atomic effects and the add-effects as well as delete-effects lists only consist of variables.
assumes as = effect-to-assignments op
obtains as1 as2
where as = as1 @ as2
and as1 = map ( $\lambda v. (v, \text{True})$ ) (add-effects-of op)
and as2 = map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op)
by (simp add: assms effect--strips-def effect-to-assignments-def)

```

— NOTE Show that for every variable v in either the add effect list or the delete effect list, there exists an assignment in representing setting v to true respectively setting v to false. Note that the first assumption amounts to saying that the add effect list is not empty. This also requires us to split lemma into two separate lemmas since add and delete effect lists are not required to both contain at least one variable simultaneously.

lemma effect-to-assignments-iii-a:

```

fixes v
assumes v ∈ set (add-effects-of op)
    and as = effect-to-assignments op
obtains a where a ∈ set as a = (v, True)
proof -
  let ?add-assignments = (λv. (v, True)) ` set (add-effects-of op)
  let ?delete-assignments = (λv. (v, False)) ` set (delete-effects-of op)
  obtain as1 as2
    where a1: as = as1 @ as2
      and a2: as1 = map (λv. (v, True)) (add-effects-of op)
      and a3: as2 = map (λv. (v, False)) (delete-effects-of op)
    using assms(2) effect-to-assignments-ii
    by blast
  then have b: set as
    = ?add-assignments ∪ ?delete-assignments
    by auto
  — NOTE The existence of an assignment as proposed can be shown by the
  following sequence of set inclusions.

```

```

  {
    from b have ?add-assignments ⊆ set as
    by blast
    moreover have {(v, True)} ⊆ ?add-assignments
      using assms(1) a2
      by blast
    ultimately have ∃ a. a ∈ set as ∧ a = (v, True)
      by blast
  }
  then show ?thesis
    using that
    by blast
qed

```

```

lemma effect-to-assignments-iii-b:
  — NOTE This proof is symmetrical to the one above.
fixes v
assumes v ∈ set (delete-effects-of op)
    and as = effect-to-assignments op
obtains a where a ∈ set as a = (v, False)
proof -
  let ?add-assignments = (λv. (v, True)) ` set (add-effects-of op)
  let ?delete-assignments = (λv. (v, False)) ` set (delete-effects-of op)
  obtain as1 as2
    where a1: as = as1 @ as2
      and a2: as1 = map (λv. (v, True)) (add-effects-of op)
      and a3: as2 = map (λv. (v, False)) (delete-effects-of op)
    using assms(2) effect-to-assignments-ii
    by blast
  then have b: set as
    = ?add-assignments ∪ ?delete-assignments

```

by auto

— NOTE The existence of an assignment as proposed can be shown by the following sequence of set inclusions.

```
{  
  from b have ?delete-assignments ⊆ set as  
    by blast  
  moreover have {(v, False)} ⊆ ?delete-assignments  
    using assms(1) a2  
    by blast  
  ultimately have ∃ a. a ∈ set as ∧ a = (v, False)  
    by blast  
}  
then show ?thesis  
  using that  
  by blast  
qed
```

lemma effect--strips-i:

```
fixes op  
assumes e = effect--strips op  
obtains es1 es2  
where e = (es1 @ es2)  
and es1 = map (λv. (v, True)) (add-effects-of op)  
and es2 = map (λv. (v, False)) (delete-effects-of op)  
proof –  
  obtain es1 es2 where a: e = (es1 @ es2)  
    and b: es1 = map (λv. (v, True)) (add-effects-of op)  
    and c: es2 = map (λv. (v, False)) (delete-effects-of op)  
    using assms(1)  
    unfolding effect--strips-def  
    by blast  
  then show ?thesis  
    using that  
    by force  
qed
```

lemma effect--strips-ii:

```
fixes op  
assumes e = ConjunctiveEffect (es1 @ es2)  
and es1 = map (λv. (v, True)) (add-effects-of op)  
and es2 = map (λv. (v, False)) (delete-effects-of op)  
shows ∀ v ∈ set (add-effects-of op). (∃ e' ∈ set es1. e' = (v, True))  
  and ∀ v ∈ set (delete-effects-of op). (∃ e' ∈ set es2. e' = (v, False))  
proof
```

— NOTE Show that for each variable v in the add effect list, we can obtain an atomic effect with true value.

```
fix v  
{  
  assume a: v ∈ set (add-effects-of op)
```

```

have set es1 = ( $\lambda v. (v, \text{True})$ ) ` set (add-effects-of op)
  using assms(2) List.set-map
  by auto
then obtain e'
  where e' ∈ set es1
  and e' = ( $\lambda v. (v, \text{True})$ ) v
  using a
  by blast
then have  $\exists e' \in \text{set } es1. e' = (v, \text{True})$ 
  by blast
}
thus  $v \in \text{set } (\text{add-effects-of } op) \implies \exists e' \in \text{set } es1. e' = (v, \text{True})$ 
  by fast
— NOTE the proof is symmetrical to the one above: for each variable v in the
delete effect list, we can obtain an atomic effect with v being false.
next
{
fix v
assume a:  $v \in \text{set } (\text{delete-effects-of } op)$ 
have set es2 = ( $\lambda v. (v, \text{False})$ ) ` set (delete-effects-of op)
  using assms(3) List.set-map
  by force
then obtain e''
  where e'' ∈ set es2
  and e'' = ( $\lambda v. (v, \text{False})$ ) v
  using a
  by blast
then have  $\exists e'' \in \text{set } es2. e'' = (v, \text{False})$ 
  by blast
}
thus  $\forall v \in \text{set } (\text{delete-effects-of } op). \exists e' \in \text{set } es2. e' = (v, \text{False})$ 
  by fast
qed

```

lemma map-of-constant-assignments-dom:

— NOTE ancillary lemma used in the proof below.

assumes m = map-of (map ($\lambda v. (v, d)$) vs)

shows dom m = set vs

proof —

```

let ?vs' = map ( $\lambda v. (v, d)$ ) vs
have dom m = fst ` set ?vs'
  using assms(1) dom-map-of-conv-image-fst
  by metis
moreover have fst ` set ?vs' = set vs
  by force
ultimately show ?thesis
  by argo
qed

```

```

lemma effect--strips-iii-a:
  assumes s' = (s ≈ op)
  shows ⋀v. v ∈ set (add-effects-of op) ⟹ s' v = Some True
  proof -
    fix v
    assume a: v ∈ set (add-effects-of op)
    let ?as = effect-to-assignments op
    obtain as1 as2 where b: ?as = as1 @ as2
      and c: as1 = map (λv. (v, True)) (add-effects-of op)
      and as2 = map (λv. (v, False)) (delete-effects-of op)
      using effect-to-assignments-ii
      by blast
    have d: map-of ?as = map-of as2 ++ map-of as1
      using b Map.map-of-append
      by auto
    {
      — TODO refactor?
      let ?vs = add-effects-of op
      have ?vs ≠ []
        using a
        by force
      then have dom (map-of as1) = set (add-effects-of op)
        using c map-of-constant-assignments-dom
        by metis
      then have v ∈ dom (map-of as1)
        using a
        by blast
      then have map-of ?as v = map-of as1 v
        using d
        by force
    } moreover {
      let ?f = λ_. True
      from c have map-of as1 = (Some o ?f) |` (set (add-effects-of op))
        using map-of-map-restrict
        by fast
      then have map-of as1 v = Some True
        using a
        by auto
    }
    moreover have s' = s ++ map-of as2 ++ map-of as1
      using assms(1)
      unfolding execute-operator-def
      using b
      by simp
    ultimately show s' v = Some True
      by simp
qed

```

```

lemma effect--strips-iii-b:
  assumes s' = (s ≈ op)
  shows ⋀v. v ∈ set (delete-effects-of op) ∧ v ∉ set (add-effects-of op) ==> s' v =
Some False
proof (auto)
fix v
assume a1: v ∉ set (add-effects-of op) and a2: v ∈ set (delete-effects-of op)
let ?as = effect-to-assignments op
obtain as1 as2 where b: ?as = as1 @ as2
  and c: as1 = map (λv. (v, True)) (add-effects-of op)
  and d: as2 = map (λv. (v, False)) (delete-effects-of op)
using effect-to-assignments-ii
by blast
have e: map-of ?as = map-of as2 ++ map-of as1
  using b Map.map-of-append
  by auto
{
  have dom (map-of as1) = set (add-effects-of op)
    using c map-of-constant-assignments-dom
    by metis
  then have v ∉ dom (map-of as1)
    using a1
    by blast
} note f = this
{
  let ?vs = delete-effects-of op
  have ?vs ≠ []
    using a2
    by force
  then have dom (map-of as2) = set ?vs
    using d map-of-constant-assignments-dom
    by metis
} note g = this
{
  have s' = s ++ map-of as2 ++ map-of as1
    using assms(1)
    unfolding execute-operator-def
    using b
    by simp
thm f map-add-dom-app-simps(3)[OF f, of s ++ map-of as2]
moreover have s' v = (s ++ map-of as2) v
  using calculation map-add-dom-app-simps(3)[OF f, of s ++ map-of as2]
  by blast
moreover have v ∈ dom (map-of as2)
  using a2 g
  by argo
ultimately have s' v = map-of as2 v
  by fastforce

```

```

}
moreover
{
let ?f = λ-. False
from d have map-of as2 = (Some o ?f) |` (set (delete-effects-of op))
  using map-of-map-restrict
  by fast
then have map-of as2 v = Some False
  using a2
  by force
}
ultimately show s' v = Some False
  by argo
qed

```

```

lemma effect--strips-iii-c:
assumes s' = (s ≫ op)
shows ∀v. v ∉ set (add-effects-of op) ∧ v ∉ set (delete-effects-of op) ⇒ s' v =
s v
proof (auto)
fix v
assume a1: v ∉ set (add-effects-of op) and a2: v ∉ set (delete-effects-of op)
let ?as = effect-to-assignments op
obtain as1 as2 where b: ?as = as1 @ as2
  and c: as1 = map (λv. (v, True)) (add-effects-of op)
  and d: as2 = map (λv. (v, False)) (delete-effects-of op)
  using effect-to-assignments-ii
  by blast
have e: map-of ?as = map-of as2 ++ map-of as1
  using b Map.map-of-append
  by auto
{
  have dom (map-of as1) = set (add-effects-of op)
    using c map-of-constant-assignments-dom
    by metis
  then have v ∉ dom (map-of as1)
    using a1
    by blast
} moreover {
  have dom (map-of as2) = set (delete-effects-of op)
    using d map-of-constant-assignments-dom
    by metis
  then have v ∉ dom (map-of as2)
    using a2
    by blast
}
ultimately show s' v = s v
  using assms(1)

```

```

unfolding execute-operator-def
by (simp add: b map-add-dom-app-simps(3))
qed

```

The following theorem combines three preceding sublemmas which show that the following properties hold for the successor state $s' \equiv \text{execute-operator } op \ s$ obtained by executing an operator op in a state s :³

- every add effect is satisfied in s' (sublemma); and,
- every delete effect that is not also an add effect is not satisfied in s' (sublemma); and finally
- the state remains unchanged—i.e. $s' v = s v$ —for all variables which are neither an add effect nor a delete effect.

```

theorem operator-effect--strips:
assumes  $s' = (s \gg op)$ 
shows
 $\bigwedge v.$ 
 $v \in \text{set (add-effects-of } op\text{)}$ 
 $\implies s' v = \text{Some True}$ 
and  $\bigwedge v.$ 
 $v \notin \text{set (add-effects-of } op\text{)} \wedge v \in \text{set (delete-effects-of } op\text{)}$ 
 $\implies s' v = \text{Some False}$ 
and  $\bigwedge v.$ 
 $v \notin \text{set (add-effects-of } op\text{)} \wedge v \notin \text{set (delete-effects-of } op\text{)}$ 
 $\implies s' v = s v$ 
proof (auto)
show  $\bigwedge v.$ 
 $v \in \text{set (add-effects-of } op\text{)}$ 
 $\implies s' v = \text{Some True}$ 
using assms effect--strips-iii-a
by fast
next
show  $\bigwedge v.$ 
 $v \notin \text{set (add-effects-of } op\text{)}$ 
 $\implies v \in \text{set (delete-effects-of } op\text{)}$ 
 $\implies s' v = \text{Some False}$ 
using assms effect--strips-iii-b
by fast
next
show  $\bigwedge v.$ 
 $v \notin \text{set (add-effects-of } op\text{)}$ 
 $\implies v \notin \text{set (delete-effects-of } op\text{)}$ 
 $\implies s' v = s v$ 

```

³Lemmas `effect__strips_iii_a`, `effect__strips_iii_b`, and `effect__strips_iii_c` (not shown).

```

using assms effect--strips-iii-c
by metis
qed

```

3.2 Parallel Plan Semantics

```

definition are-all-operators-applicable s ops
     $\equiv$  list-all ( $\lambda op.$  is-operator-applicable-in s op) ops

```

```

definition are-operator-effects-consistent op1 op2  $\equiv$  let
    add1 = add-effects-of op1
    ; add2 = add-effects-of op2
    ; del1 = delete-effects-of op1
    ; del2 = delete-effects-of op2
    in  $\neg$ list-ex ( $\lambda v.$  list-ex ((=) v) del2) add1  $\wedge$   $\neg$ list-ex ( $\lambda v.$  list-ex ((=) v) add2)
    del1

```

```

definition are-all-operator-effects-consistent ops  $\equiv$ 
    list-all ( $\lambda op.$  list-all (are-operator-effects-consistent op) ops) ops

```

```

definition execute-parallel-operator
:: 'variable strips-state
 $\Rightarrow$  'variable strips-operator list
 $\Rightarrow$  'variable strips-state
where execute-parallel-operator s ops
 $\equiv$  foldl (++) s (map (map-of  $\circ$  effect-to-assignments) ops)

```

The parallel STRIPS execution semantics is defined in similar way as the serial STRIPS execution semantics. However, the applicability test is lifted to parallel operators and we additionally test for operator consistency (which was unnecessary in the serial case).

```

fun execute-parallel-plan
:: 'variable strips-state
 $\Rightarrow$  'variable strips-parallel-plan
 $\Rightarrow$  'variable strips-state
where execute-parallel-plan s [] = s
| execute-parallel-plan s (ops # opss) = (if
    are-all-operators-applicable s ops
     $\wedge$  are-all-operator-effects-consistent ops
    then execute-parallel-plan (execute-parallel-operator s ops) opss
    else s)

```

```

definition are-operators-interfering op1 op2
 $\equiv$  list-ex ( $\lambda v.$  list-ex ((=) v) (delete-effects-of op1)) (precondition-of op2)
 $\vee$  list-ex ( $\lambda v.$  list-ex ((=) v) (precondition-of op1)) (delete-effects-of op2)

```

```

primrec are-all-operators-non-interfering
:: 'variable strips-operator list  $\Rightarrow$  bool

```

```

where are-all-operators-non-interfering [] = True
| are-all-operators-non-interfering (op # ops)
= (list-all (λop'. ¬are-operators-interfering op op') ops
  ∧ are-all-operators-non-interfering ops)

```

Since traces mirror the execution semantics, the same is true for the definition of parallel STRIPS plan traces.

```

fun trace-parallel-plan-strips
:: 'variable strips-state ⇒ 'variable strips-parallel-plan ⇒ 'variable strips-state list
where trace-parallel-plan-strips s [] = [s]
| trace-parallel-plan-strips s (ops # opss) = s # (if
  are-all-operators-applicable s ops
  ∧ are-all-operator-effects-consistent ops
  then trace-parallel-plan-strips (execute-parallel-operator s ops) opss
  else [])

```

Similarly, the definition of parallel solutions requires that the parallel execution semantics transforms the initial problem into the goal state of the problem and that every operator of every parallel operator in the parallel plan is an operator that is defined in the problem description.

```

definition is-parallel-solution-for-problem
where is-parallel-solution-for-problem Π π
≡ (strips-problem.goal-of Π) ⊆m execute-parallel-plan
  (strips-problem.initial-of Π) π
  ∧ list-all (λops. list-all (λop.
    ListMem op (strips-problem.operators-of Π)) ops) π

```

```

lemma are-all-operators-applicable-set:
are-all-operators-applicable s ops
 $\longleftrightarrow (\forall op \in set. ops. \forall v \in set. (precondition-of op). s v = Some True)$ 
unfolding are-all-operators-applicable-def
  STRIPS-Representation.is-operator-applicable-in-def list-all-iff
by presburger

```

```

lemma are-all-operators-applicable-cons:
assumes are-all-operators-applicable s (op # ops)
shows is-operator-applicable-in s op
  and are-all-operators-applicable s ops
proof –
  from assms have a: list-all (λop. is-operator-applicable-in s op) (op # ops)
  unfolding are-all-operators-applicable-def is-operator-applicable-in-def
    STRIPS-Representation.is-operator-applicable-in-def
  by blast
  then have is-operator-applicable-in s op
  by fastforce
moreover {

```

```

from a have list-all ( $\lambda op. \text{is-operator-applicable-in } s op$ ) ops
  by simp
then have are-all-operators-applicable s ops
using are-all-operators-applicable-def is-operator-applicable-in-def
  STRIPS-Representation.is-operator-applicable-in-def
  by blast
}
ultimately show is-operator-applicable-in s op
  and are-all-operators-applicable s ops
  by fast+
qed

lemma are-operator-effects-consistent-set:
assumes op1 ∈ set ops
and op2 ∈ set ops
shows are-operator-effects-consistent op1 op2
= (set (add-effects-of op1) ∩ set (delete-effects-of op2) = {})
  ∧ set (delete-effects-of op1) ∩ set (add-effects-of op2) = {}
proof –
  have (¬list-ex ( $\lambda v. \text{list-ex } ((=) v) (\text{delete-effects-of } op_2)$ ) (add-effects-of op1))
  = (set (add-effects-of op1) ∩ set (delete-effects-of op2) = {})
  using list-ex-intersection[of delete-effects-of op2 add-effects-of op1]
  by meson
  moreover have (¬list-ex ( $\lambda v. \text{list-ex } ((=) v) (\text{add-effects-of } op_2)$ ) (delete-effects-of
op1))
  = (set (delete-effects-of op1) ∩ set (add-effects-of op2) = {})
  using list-ex-intersection[of add-effects-of op2 delete-effects-of op1]
  by meson
  ultimately show are-operator-effects-consistent op1 op2
  = (set (add-effects-of op1) ∩ set (delete-effects-of op2) = {}
    ∧ set (delete-effects-of op1) ∩ set (add-effects-of op2) = {})
  unfolding are-operator-effects-consistent-def
  by presburger
qed

lemma are-all-operator-effects-consistent-set:
are-all-operator-effects-consistent ops
 $\longleftrightarrow (\forall op_1 \in \text{set ops. } \forall op_2 \in \text{set ops.}$ 
  (set (add-effects-of op1) ∩ set (delete-effects-of op2) = {}
    ∧ (set (delete-effects-of op1) ∩ set (add-effects-of op2) = {}))
proof –
{
  fix op1 op2
  assume op1 ∈ set ops and op2 ∈ set ops
  hence are-operator-effects-consistent op1 op2
  = (set (add-effects-of op1) ∩ set (delete-effects-of op2) = {}
    ∧ set (delete-effects-of op1) ∩ set (add-effects-of op2) = {})
  using are-operator-effects-consistent-set[of op1 ops op2]
  by fast
}

```

```

}

thus ?thesis
  unfolding are-all-operator-effects-consistent-def list-all-iff
  by force
qed

lemma are-all-effects-consistent-tail:
assumes are-all-operator-effects-consistent (op # ops)
shows are-all-operator-effects-consistent ops
proof -
from assms
have a: list-all (λop'. list-all (are-operator-effects-consistent op'))
  (Cons op ops) (Cons op ops)
  unfolding are-all-operator-effects-consistent-def
  by blast
then have b-1: list-all (are-operator-effects-consistent op) (op # ops)
  and b-2: list-all (λop'. list-all (are-operator-effects-consistent op')) (op # ops))
ops
  by force+
then have list-all (are-operator-effects-consistent op) ops
  by simp
moreover
{
{
fix z
assume z ∈ set (Cons op ops)
  and list-all (are-operator-effects-consistent z) (op # ops)
then have list-all (are-operator-effects-consistent z) ops
  by auto
}
then have list-all (λop'. list-all (are-operator-effects-consistent op') ops) ops
  using list.pred-mono-strong[of
    (λop'. list-all (are-operator-effects-consistent op')) (op # ops))
    Cons op ops (λop'. list-all (are-operator-effects-consistent op')) ops
  ] a
  by fastforce
}
ultimately have list-all (are-operator-effects-consistent op) ops
  ∧ list-all (λop'. list-all (are-operator-effects-consistent op')) ops
  by blast
then show ?thesis
  using are-all-operator-effects-consistent-def
  by fast
qed

lemma are-all-operators-non-interfering-tail:
assumes are-all-operators-non-interfering (op # ops)
shows are-all-operators-non-interfering ops
using assms

```

```

unfolding are-all-operators-non-interfering-def
by simp

```

```

lemma are-operators-interfering-symmetric:
  assumes are-operators-interfering op1 op2
  shows are-operators-interfering op2 op1
  using assms
  unfolding are-operators-interfering-def list-ex-iff
  by fast

```

— A small technical characterizing operator lists with property . We show that pairs of distinct operators which interfere with one another cannot both be contained in the corresponding operator set.

```

lemma are-all-operators-non-interfering-set-contains-no-distinct-interfering-operator-pairs:
  assumes are-all-operators-non-interfering ops
    and are-operators-interfering op1 op2
    and op1 ≠ op2
  shows op1 ∉ set ops ∨ op2 ∉ set ops
  using assms
  proof (induction ops)
    case (Cons op ops)
      thm Cons.IH[OF - Cons.prems(2, 3)]
      have nb1: ∀ op' ∈ set ops. ¬are-operators-interfering op op'
        and nb2: are-all-operators-non-interfering ops
        using Cons.prems(1)
        unfolding are-all-operators-non-interfering.simps(2) list-all-iff
        by blast+
      then consider (A) op = op1
        | (B) op = op2
        | (C) op ≠ op1 ∧ op ≠ op2
        by blast
      thus ?case
        proof (cases)
          case A
          {
            assume op2 ∈ set (op # ops)
            then have op2 ∈ set ops
              using Cons.prems(3) A
              by force
            then have ¬are-operators-interfering op1 op2
              using nb1 A
              by fastforce
            hence False
              using Cons.prems(2)..
          }
          thus ?thesis
            by blast
        next
          case B

```

```

{
  assume op1 ∈ set (op # ops)
  then have op1 ∈ set ops
    using Cons.prems(3) B
    by force
  then have ¬are-operators-interfering op1 op2
    using nb1 B are-operators-interfering-symmetric
    by blast
  hence False
    using Cons.prems(2)..}
thus ?thesis
  by blast
next
  case C
  thus ?thesis
    using Cons.IH[OF nb2 Cons.prems(2, 3)]
    by force
qed
qed simp

```

lemma execute-parallel-plan-precondition-cons-i:

```

fixes s :: ('variable, bool) state
assumes ¬are-operators-interfering op op'
  and is-operator-applicable-in s op
  and is-operator-applicable-in s op'
shows is-operator-applicable-in (s ++ map-of (effect-to-assignments op)) op'
proof –
  let ?s' = s ++ map-of (effect-to-assignments op)
  — TODO slightly hackish to exploit the definition of execute-operator, but
  we otherwise have to rewrite theorem operator-effect--strips (which is a todo as of
  now).
  {
    have a: ?s' = s ≈ op
      by (simp add: execute-operator-def)
    then have ⋀v. v ∈ set (add-effects-of op) ⟹ ?s' v = Some True
      and ⋀v. v ∉ set (add-effects-of op) ∧ v ∈ set (delete-effects-of op) ⟹ ?s'
        v = Some False
        and ⋀v. v ∉ set (add-effects-of op) ∧ v ∉ set (delete-effects-of op) ⟹ ?s'
          v = s v
      using operator-effect--strips
      by metis+
  }
  note a = this
  — TODO refactor lemma not-have-interference-set.
  {
    fix v
    assume α: v ∈ set (precondition-of op')

```

```

{
  fix v
  have  $\neg \text{list-ex} ((=) v) (\text{delete-effects-of } op)$ 
    =  $\text{list-all} (\lambda v'. \neg v = v') (\text{delete-effects-of } op)$ 
    using not-list-ex-equals-list-all-not[
      where  $P=(=) v$  and  $xs=\text{delete-effects-of } op]$ 
    by blast
} moreover {
  from assms(1)
  have  $\neg \text{list-ex} (\lambda v. \text{list-ex} ((=) v) (\text{delete-effects-of } op)) (\text{precondition-of } op')$ 
    unfolding are-operators-interfering-def
    by blast
  then have  $\text{list-all} (\lambda v. \neg \text{list-ex} ((=) v) (\text{delete-effects-of } op)) (\text{precondition-of } op')$ 
    using not-list-ex-equals-list-all-not[
      where  $P=\lambda v. \text{list-ex} ((=) v) (\text{delete-effects-of } op)$  and  $xs=\text{precondition-of } op]$ 
    by blast
}
ultimately have  $\beta$ :
 $\text{list-all} (\lambda v. \text{list-all} (\lambda v'. \neg v = v') (\text{delete-effects-of } op)) (\text{precondition-of } op')$ 
  by presburger
moreover {
  fix v
  have  $\text{list-all} (\lambda v'. \neg v = v') (\text{delete-effects-of } op)$ 
    =  $(\forall v' \in \text{set} (\text{delete-effects-of } op). \neg v = v')$ 
    using list-all-iff [where  $P=\lambda v'. \neg v = v'$  and  $x=\text{delete-effects-of } op]$ 
  .
}
ultimately have  $\forall v \in \text{set} (\text{precondition-of } op'). \forall v' \in \text{set} (\text{delete-effects-of } op). \neg v = v'$ 
  using  $\beta$  list-all-iff [
    where  $P=\lambda v. \text{list-all} (\lambda v'. \neg v = v') (\text{delete-effects-of } op)$ 
      and  $x=\text{precondition-of } op]$ 
  by presburger
then have  $v \notin \text{set} (\text{delete-effects-of } op)$ 
  using  $\alpha$ 
  by fast
}
note  $b = \text{this}$ 
{
  fix v
  assume  $a: v \in \text{set} (\text{precondition-of } op')$ 
  have  $\text{list-all} (\lambda v. s v = \text{Some True}) (\text{precondition-of } op')$ 
    using assms(3)
    unfolding is-operator-applicable-in-def
      STRIPS-Representation.is-operator-applicable-in-def
    by presburger
  then have  $\forall v \in \text{set} (\text{precondition-of } op'). s v = \text{Some True}$ 
}

```

```

    using list-all-iff[where P=λv. s v = Some True and x=precondition-of
op]
    by blast
  then have s v = Some True
    using a
    by blast
}
note c = this
{
  fix v
  assume d: v ∈ set (precondition-of op')
  then have ?s' v = Some True
  proof (cases v ∈ set (add-effects-of op))
    case True
    then show ?thesis
      using a
      by blast
  next
    case e: False
    then show ?thesis
    proof (cases v ∈ set (delete-effects-of op))
      case True
      then show ?thesis
        using assms(1) b d
        by fast
    next
      case False
      then have ?s' v = s v
        using a e
        by blast
      then show ?thesis
        using c d
        by presburger
    qed
  qed
}
then have list-all (λv. ?s' v = Some True) (precondition-of op')
  using list-all-iff[where P=λv. ?s' v = Some True and x=precondition-of
op]
  by blast
  then show ?thesis
  unfolding is-operator-applicable-in-def
    STRIPS-Representation.is-operator-applicable-in-def
  by auto
qed

```

— The third assumption *are-all-operators-non-interfering* ($a \# ops$)" is not part of the precondition of but is required for the proof of the subgoal hat applicable is maintained.

```

lemma execute-parallel-plan-precondition-cons:
  fixes a :: 'variable strips-operator
  assumes are-all-operators-applicable s (a # ops)
    and are-all-operator-effects-consistent (a # ops)
    and are-all-operators-non-interfering (a # ops)
  shows are-all-operators-applicable (s ++ map-of (effect-to-assignments a)) ops
    and are-all-operator-effects-consistent ops
    and are-all-operators-non-interfering ops
  using are-all-effects-consistent-tail[OF assms(2)]
    are-all-operators-non-interfering-tail[OF assms(3)]
  proof -
    let ?s' = s ++ map-of (effect-to-assignments a)
    have nb1:  $\forall op \in set (a \# ops). is\text{-operator}\text{-applicable}\text{-in } s op$ 
      using assms(1) are-all-operators-applicable-set
      unfolding are-all-operators-applicable-def is-operator-applicable-in-def
        STRIPS-Representation.is-operator-applicable-in-def list-all-iff
      by blast
    have nb2:  $\forall op \in set ops. \neg are\text{-operators}\text{-interfering } a op$ 
      using assms(3)
      unfolding are-all-operators-non-interfering-def list-all-iff
      by simp
    have nb3: is-operator-applicable-in s a
      using assms(1) are-all-operators-applicable-set
      unfolding are-all-operators-applicable-def is-operator-applicable-in-def
        STRIPS-Representation.is-operator-applicable-in-def list-all-iff
      by force
    {
      fix op
      assume op-in-ops: op ∈ set ops
      hence is-operator-applicable-in ?s' op
        using execute-parallel-plan-precondition-cons-i[of a op] nb1 nb2 nb3
        by force
    }
    then show are-all-operators-applicable ?s' ops
      unfolding are-all-operators-applicable-def list-all-iff
        is-operator-applicable-in-def
      by blast
  qed

lemma execute-parallel-operator-cons[simp]:
  execute-parallel-operator s (op # ops)
  = execute-parallel-operator (s ++ map-of (effect-to-assignments op)) ops
  unfolding execute-parallel-operator-def
  by simp

lemma execute-parallel-operator-cons-equals:
  assumes are-all-operators-applicable s (a # ops)
    and are-all-operator-effects-consistent (a # ops)
    and are-all-operators-non-interfering (a # ops)

```

```

shows execute-parallel-operator s (a # ops)
= execute-parallel-operator (s ++ map-of (effect-to-assignments a)) ops
proof -

$$\begin{aligned} \text{let } ?s' &= s ++ \text{map-of} (\text{effect-to-assignments } a) \\ \{ &\quad \text{from assms}(1, 2) \\ &\quad \text{have execute-parallel-operator } s (\text{Cons } a \text{ ops}) \\ &\quad = \text{foldl } (++) s (\text{map} (\text{map-of} \circ \text{effect-to-assignments}) (\text{Cons } a \text{ ops})) \\ &\quad \text{unfolding execute-parallel-operator-def} \\ &\quad \text{by presburger} \\ &\quad \text{also have } \dots = \text{foldl } (++) (?s') \\ &\quad (\text{map} (\text{map-of} \circ \text{effect-to-assignments}) \text{ ops}) \\ &\quad \text{by auto} \\ &\quad \text{finally have execute-parallel-operator } s (\text{Cons } a \text{ ops}) \\ &\quad = \text{foldl } (++) (?s') \\ &\quad (\text{map} (\text{map-of} \circ \text{effect-to-assignments}) \text{ ops}) \\ &\quad \text{using execute-parallel-operator-def} \\ &\quad \text{by blast} \end{aligned}$$

}
— NOTE the precondition of for  $a \# \text{ops}$  is also true for the tail list and state  $?s'$  as shown in lemma . Hence the precondition for the r.h.s. of the goal also holds.
moreover have execute-parallel-operator  $?s' \text{ ops}$ 
=  $\text{foldl } (++) (s ++ (\text{map-of} \circ \text{effect-to-assignments}) a)$ 
 $(\text{map} (\text{map-of} \circ \text{effect-to-assignments}) \text{ ops})$ 
 $\text{by (simp add: execute-parallel-operator-def)}$ 
ultimately show  $?thesis$ 
 $\text{by force}$ 
qed

```

— We show here that following the lemma above, executing one operator of a parallel operator can be replaced by a (single) STRIPS operator execution.

corollary execute-parallel-operator-cons-equals-corollary:

```

assumes are-all-operators-applicable s (a # ops)
shows execute-parallel-operator s (a # ops)
= execute-parallel-operator (s  $\gg$  a) ops
proof -

$$\begin{aligned} \text{let } ?s' &= s ++ \text{map-of} (\text{effect-to-assignments } a) \\ \text{from assms} & \\ \text{have execute-parallel-operator } s (a \# \text{ops}) & \\ = \text{execute-parallel-operator } (s ++ \text{map-of} (\text{effect-to-assignments } a)) \text{ ops} & \\ \text{using execute-parallel-operator-cons-equals} & \\ \text{by simp} & \\ \text{moreover have } ?s' &= s \gg a \\ \text{unfolding execute-operator-def} & \\ \text{by simp} & \\ \text{ultimately show } ?thesis & \\ \text{by argo} & \\ \text{qed} & \end{aligned}$$


```

```

lemma effect-to-assignments-simp[simp]: effect-to-assignments op
= map (λv. (v, True)) (add-effects-of op) @ map (λv. (v, False)) (delete-effects-of
op)
by (simp add: effect-to-assignments-i)

lemma effect-to-assignments-set-is[simp]:
set (effect-to-assignments op) = { ((v, a), True) | v a. (v, a) ∈ set (add-effects-of
op) }
    ∪ { ((v, a), False) | v a. (v, a) ∈ set (delete-effects-of op) }

proof -
  obtain as where effect--strips op = as
  and as = map (λv. (v, True)) (add-effects-of op)
    @ map (λv. (v, False)) (delete-effects-of op)
  unfolding effect--strips-def
  by blast
  moreover have as
  = map (λv. (v, True)) (add-effects-of op) @ map (λv. (v, False)) (delete-effects-of
op)
  using calculation(2)
  unfolding map-append map-map comp-apply
  by auto
  moreover have effect-to-assignments op = as
  unfolding effect-to-assignments-def calculation(1, 2)
  by auto
  ultimately show ?thesis
  unfolding set-map
  by auto
qed

corollary effect-to-assignments-construction-from-function-graph:
assumes set (add-effects-of op) ∩ set (delete-effects-of op) = {}
shows effect-to-assignments op = map
(λv. (v, if ListMem v (add-effects-of op) then True else False))
(add-effects-of op @ delete-effects-of op)
and effect-to-assignments op = map
(λv. (v, if ListMem v (delete-effects-of op) then False else True))
(add-effects-of op @ delete-effects-of op)

proof -
  let ?f = λv. (v, if ListMem v (add-effects-of op) then True else False)
  and ?g = λv. (v, if ListMem v (delete-effects-of op) then False else True)
  {
    have map ?f (add-effects-of op @ delete-effects-of op)
    = map ?f (add-effects-of op) @ map ?f (delete-effects-of op)
    using map-append
    by fast
    — TODO slow.
  hence effect-to-assignments op = map ?f (add-effects-of op @ delete-effects-of
op)

```

```

using ListMem-iff assms
by fastforce
} moreover {
have map ?g (add-effects-of op @ delete-effects-of op)
= map ?g (add-effects-of op) @ map ?g (delete-effects-of op)
using map-append
by fast
— TODO slow.
hence effect-to-assignments op = map ?g (add-effects-of op @ delete-effects-of
op)
using ListMem-iff assms
by fastforce
}
ultimately show effect-to-assignments op = map
(λv. (v, if ListMem v (add-effects-of op) then True else False))
(add-effects-of op @ delete-effects-of op)
and effect-to-assignments op = map
(λv. (v, if ListMem v (delete-effects-of op) then False else True))
(delete-effects-of op @ add-effects-of op)
by blast+
qed

corollary map-of-effect-to-assignments-is-none-if:
assumes ¬v ∈ set (add-effects-of op)
and ¬v ∈ set (delete-effects-of op)
shows map-of (effect-to-assignments op) v = None
proof -
let ?l = effect-to-assignments op
{
have set ?l = { (v, True) | v. v ∈ set (add-effects-of op) }
  ∪ { (v, False) | v. v ∈ set (delete-effects-of op) }
  by auto
then have fst ` set ?l
= (fst ` { (v, True) | v. v ∈ set (add-effects-of op) })
  ∪ (fst ` { (v, False) | v. v ∈ set (delete-effects-of op) })
using image-Un[of fst {(v, True) | v. v ∈ set (add-effects-of op)}]
  {(v, False) | v. v ∈ set (delete-effects-of op)}]
  by presburger
— TODO slow.
also have ... = (fst ` (λv. (v, True)) ` set (add-effects-of op))
  ∪ (fst ` (λv. (v, False)) ` set (delete-effects-of op))
using setcompr-eq-image[of λv. (v, True) λv. v ∈ set (add-effects-of op)]
  setcompr-eq-image[of λv. (v, False) λv. v ∈ set (delete-effects-of op)]
  by simp
— TODO slow.
also have ... = id ` set (add-effects-of op) ∪ id ` set (delete-effects-of op)
  by force
— TODO slow.
finally have fst ` set ?l = set (add-effects-of op) ∪ set (delete-effects-of op)

```

```

    by auto
  hence  $v \notin \text{fst} \setminus \text{set } ?l$ 
    using assms(1, 2)
    by blast
  }
  thus ?thesis
    using map-of-eq-None-iff[of ?l v]
    by blast
qed

lemma execute-parallel-operator-positive-effect-if-i:
assumes are-all-operators-applicable s ops
and are-all-operator-effects-consistent ops
and  $op \in \text{set } ops$ 
and  $v \in \text{set}(\text{add-effects-of } op)$ 
shows map-of (effect-to-assignments op)  $v = \text{Some } True$ 
proof -
let ?f =  $\lambda x. \text{if } \text{ListMem } x (\text{add-effects-of } op) \text{ then } True \text{ else } False$ 
and ?l' =  $\text{map}(\lambda v. (\text{if } \text{ListMem } v (\text{add-effects-of } op) \text{ then } True \text{ else } False))$ 
      ( $\text{add-effects-of } op @ \text{delete-effects-of } op$ )
have set (add-effects-of op)  $\neq \{\}$ 
using assms(4)
by fastforce
moreover {
  have set (add-effects-of op)  $\cap$  set (delete-effects-of op)  $= \{\}$ 
    using are-all-operator-effects-consistent-set assms(2, 3)
    by fast
  moreover have effect-to-assignments op = ?l'
    using effect-to-assignments-construction-from-function-graph(1) calculation
    by fast
  ultimately have map-of (effect-to-assignments op) = map-of ?l'
    by argo
}
ultimately have map-of (effect-to-assignments op)  $v = \text{Some } (?f v)$ 
using Map-Supplement.map-of-from-function-graph-is-some-if[
  of - - ?f, OF - assms(4)]
by simp
thus ?thesis
  using ListMem-iff assms(4)
  by metis
qed

lemma execute-parallel-operator-positive-effect-if:
fixes ops
assumes are-all-operators-applicable s ops
and are-all-operator-effects-consistent ops
and  $op \in \text{set } ops$ 
and  $v \in \text{set}(\text{add-effects-of } op)$ 
shows execute-parallel-operator s ops v = Some True

```

```

proof -
  let ?l = map (map-of o effect-to-assignments) ops
  have set-l-is: set ?l = (map-of o effect-to-assignments) ` set ops
    using set-map
    by fastforce
  {
    let ?m = (map-of o effect-to-assignments) op
    have ?m ∈ set ?l
      using assms(3) set-l-is
      by blast
    moreover have ?m v = Some True
      using execute-parallel-operator-positive-effect-if-i[OF assms]
      by fastforce
    ultimately have ∃ m ∈ set ?l. m v = Some True
      by blast
  }
  moreover {
    fix m'
    assume m' ∈ set ?l
    then obtain op'
      where op'-in-set-ops: op' ∈ set ops
        and m'-is: m' = (map-of o effect-to-assignments) op'
        by auto
      then have set (add-effects-of op) ∩ set (delete-effects-of op') = {}
        using assms(2, 3) are-all-operator-effects-consistent-set[of ops]
        by blast
      then have v ∉ set (delete-effects-of op')
        using assms(4)
        by blast
      then consider (v-in-set-add-effects) v ∈ set (add-effects-of op')
        | (otherwise) ¬v ∈ set (add-effects-of op') ∧ ¬v ∈ set (delete-effects-of op')
        by blast
      hence m' v = Some True ∨ m' v = None
      proof (cases)
        case v-in-set-add-effects
        — TODO slow.
        thus ?thesis
          using execute-parallel-operator-positive-effect-if-i[
            OF assms(1, 2) op'-in-set-ops, of v] m'-is
          by simp
      next
        case otherwise
        then have ¬v ∈ set (add-effects-of op')
          and ¬v ∈ set (delete-effects-of op')
          by blast+
        thus ?thesis
          using map-of-effect-to-assignments-is-none-if[of v op'] m'-is
          by fastforce
  qed

```

```

}

— TODO slow.

ultimately show ?thesis
  unfolding execute-parallel-operator-def
  using foldl-map-append-is-some-if[of s v True ?l]
  by meson
qed

lemma execute-parallel-operator-negative-effect-if-i:
  assumes are-all-operators-applicable s ops
  and are-all-operator-effects-consistent ops
  and op ∈ set ops
  and v ∈ set (delete-effects-of op)
  shows map-of (effect-to-assignments op) v = Some False
  proof –
    let ?f =  $\lambda x.$  if ListMem x (delete-effects-of op) then False else True
    and ?l' = map ( $\lambda v.$  (v, if ListMem v (delete-effects-of op) then False else True))
      (add-effects-of op @ delete-effects-of op)
    have set (delete-effects-of op @ add-effects-of op) ≠ {}
      using assms(4)
      by fastforce
    moreover have v ∈ set (delete-effects-of op @ add-effects-of op)
      using assms(4)
      by simp
    moreover {
      have set (add-effects-of op) ∩ set (delete-effects-of op) = {}
        using are-all-operator-effects-consistent-set assms(2, 3)
        by fast
      moreover have effect-to-assignments op = ?l'
        using effect-to-assignments-construction-from-function-graph(2) calculation
        by blast
      ultimately have map-of (effect-to-assignments op) = map-of ?l'
        by argo
    }
    ultimately have map-of (effect-to-assignments op) v = Some (?f v)
    using Map-Supplement.map-of-from-function-graph-is-some-if[
      of add-effects-of op @ delete-effects-of op v ?f]
    by force
  thus ?thesis
    using assms(4)
    unfolding ListMem-iff
    by presburger
qed

lemma execute-parallel-operator-negative-effect-if:
  assumes are-all-operators-applicable s ops
  and are-all-operator-effects-consistent ops
  and op ∈ set ops

```

```

and  $v \in \text{set}(\text{delete-effects-of } op)$ 
shows  $\text{execute-parallel-operator } s \text{ ops } v = \text{Some False}$ 
proof —
  let  $?l = \text{map}(\text{map-of} \circ \text{effect-to-assignments}) \text{ ops}$ 
  have  $\text{set-l-is: set } ?l = (\text{map-of} \circ \text{effect-to-assignments})` \text{ set ops}$ 
    using  $\text{set-map}$ 
    by  $\text{fastforce}$ 
  {
    let  $?m = (\text{map-of} \circ \text{effect-to-assignments}) \text{ op}$ 
    have  $?m \in \text{set } ?l$ 
      using  $\text{assms}(3) \text{ set-l-is}$ 
      by  $\text{blast}$ 
    moreover have  $?m \ v = \text{Some False}$ 
      using  $\text{execute-parallel-operator-negative-effect-if-i[OF assms]}$ 
      by  $\text{fastforce}$ 
    ultimately have  $\exists m \in \text{set } ?l. \ m \ v = \text{Some False}$ 
      by  $\text{blast}$ 
  }
  moreover {
    fix  $m'$ 
    assume  $m' \in \text{set } ?l$ 
    then obtain  $op'$ 
      where  $op'-\text{in-set-ops: } op' \in \text{set ops}$ 
        and  $m'-\text{is: } m' = (\text{map-of} \circ \text{effect-to-assignments}) \text{ op}'$ 
        by  $\text{auto}$ 
    then have  $\text{set}(\text{delete-effects-of } op) \cap \text{set}(\text{add-effects-of } op') = \{\}$ 
      using  $\text{assms}(2, 3) \text{ are-all-operator-effects-consistent-set[of ops]}$ 
      by  $\text{blast}$ 
    then have  $v \notin \text{set}(\text{add-effects-of } op')$ 
      using  $\text{assms}(4)$ 
      by  $\text{blast}$ 
    then consider  $(v\text{-in-set-delete-effects}) \ v \in \text{set}(\text{delete-effects-of } op')$ 
       $| \ (\text{otherwise}) \ \neg v \in \text{set}(\text{add-effects-of } op') \wedge \neg v \in \text{set}(\text{delete-effects-of } op')$ 
      by  $\text{blast}$ 
    hence  $m' \ v = \text{Some False} \vee m' \ v = \text{None}$ 
    proof (cases)
      case  $v\text{-in-set-delete-effects}$ 
        — TODO slow.
      thus  $?thesis$ 
        using  $\text{execute-parallel-operator-negative-effect-if-i[}$ 
           $\text{OF assms}(1, 2) \text{ op'-in-set-ops, of } v] \ m'\text{-is}$ 
        by  $\text{simp}$ 
    next
      case  $otherwise$ 
      then have  $\neg v \in \text{set}(\text{add-effects-of } op')$ 
        and  $\neg v \in \text{set}(\text{delete-effects-of } op')$ 
        by  $\text{blast+}$ 
      thus  $?thesis$ 
        using  $\text{map-of-effect-to-assignments-is-none-if[of } v \text{ op']} \ m'\text{-is}$ 

```

```

    by fastforce
qed
}
— TODO slow.
ultimately show ?thesis
  unfolding execute-parallel-operator-def
  using foldl-map-append-is-some-if[of s v False ?l]
    by meson
qed

lemma execute-parallel-operator-no-effect-if:
  assumes ∀ op ∈ set ops. ¬v ∈ set (add-effects-of op) ∧ ¬v ∈ set (delete-effects-of op)
  shows execute-parallel-operator s ops v = s v
  using assms
  unfolding execute-parallel-operator-def
  proof (induction ops arbitrary: s)
    case (Cons a ops)
    let ?f = map-of ∘ effect-to-assignments
    {
      have v ∉ set (add-effects-of a) ∧ v ∉ set (delete-effects-of a)
        using Cons.prem(1)
        by force
      then have ?f a v = None
        using map-of-effect-to-assignments-is-none-if[of v a]
        by fastforce
      then have v ∉ dom (?f a)
        by blast
      hence (s ++ ?f a) v = s v
        using map-add-dom-app-simps(3)[of v ?f a s]
        by blast
    }
    moreover {
      have ∀ op ∈ set ops. v ∉ set (add-effects-of op) ∧ v ∉ set (delete-effects-of op)
        using Cons.prem(1)
        by simp
      hence foldl (++) (s ++ ?f a) (map ?f ops) v = (s ++ ?f a) v
        using Cons.IH[of s ++ ?f a]
        by blast
    }
    moreover {
      have map ?f (a # ops) = ?f a # map ?f ops
        by force
      then have foldl (++) s (map ?f (a # ops))
        = foldl (++) (s ++ ?f a) (map ?f ops)
        using foldl-Cons
        by force
    }
  ultimately show ?case

```

```

by argo
qed fastforce

corollary execute-parallel-operators-strips-none-if:
  assumes  $\forall op \in set ops. \neg v \in set (\text{add-effects-of } op) \wedge \neg v \in set (\text{delete-effects-of } op)$ 
  and  $s v = None$ 
  shows execute-parallel-operator  $s ops v = None$ 
  using execute-parallel-operator-no-effect-if[ $\text{OF assms}(1)$ ]  $\text{assms}(2)$ 
  by simp

corollary execute-parallel-operators-strips-none-if-contraposition:
  assumes  $\neg \text{execute-parallel-operator } s ops v = None$ 
  shows  $(\exists op \in set ops. v \in set (\text{add-effects-of } op) \vee v \in set (\text{delete-effects-of } op)) \vee s v \neq None$ 
  proof -
    let  $?P = (\forall op \in set ops. \neg v \in set (\text{add-effects-of } op) \wedge \neg v \in set (\text{delete-effects-of } op))$ 
     $\wedge s v = None$ 
    and  $?Q = \text{execute-parallel-operator } s ops v = None$ 
    have  $?P \implies ?Q$ 
    using execute-parallel-operators-strips-none-if[of ops v s]
    by blast
    then have  $\neg ?P$ 
    using contrapos-nn[of ?Q ?P]
    using assms
    by argo
    thus ?thesis
    by meson
  qed

```

We will now move on to showing the equivalent to theorem in . Under the condition that for a list of operators ops all operators in the corresponding set are applicable in a given state s and all operator effects are consistent, if an operator op exists with $op \in set ops$ and with v being an add effect of op , then the successor state

$$s' \equiv \text{execute-parallel-operator } s ops$$

will evaluate v to true, that is

$$\text{execute-parallel-operator } s ops v = \text{Some True}$$

Symmetrically, if v is a delete effect, we have

$$\text{execute-parallel-operator } s ops v = \text{Some False}$$

under the same condition as for the positive effect. Lastly, if v is neither an add effect nor a delete effect for any operator in the operator set corresponding to ops , then the state after parallel operator execution remains unchanged, i.e.

execute-parallel-operator s ops v = s v

```
theorem execute-parallel-operator-effect:
  assumes are-all-operators-applicable s ops
  and are-all-operator-effects-consistent ops
  shows op ∈ set ops ∧ v ∈ set (add-effects-of op)
    → execute-parallel-operator s ops v = Some True
  and op ∈ set ops ∧ v ∈ set (delete-effects-of op)
    → execute-parallel-operator s ops v = Some False
  and (∀ op ∈ set ops.
    v ∉ set (add-effects-of op) ∧ v ∉ set (delete-effects-of op))
    → execute-parallel-operator s ops v = s v
  using execute-parallel-operator-positive-effect-if[OF assms]
  execute-parallel-operator-negative-effect-if[OF assms]
  execute-parallel-operator-no-effect-if[of ops v s]
  by blast+
```

```
lemma is-parallel-solution-for-problem-operator-set:
  fixes Π:: 'a strips-problem
  assumes is-parallel-solution-for-problem Π π
  and ops ∈ set π
  and op ∈ set ops
  shows op ∈ set ((Π)○)
  proof -
    have ∀ ops ∈ set π. ∀ op ∈ set ops. op ∈ set (strips-problem.operators-of Π)
    using assms(1)
    unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff..
    thus ?thesis
      using assms(2, 3)
      by fastforce
  qed
```

```
lemma trace-parallel-plan-strips-not-nil: trace-parallel-plan-strips I π ≠ []
  proof (cases π)
    case (Cons a list)
    then show ?thesis
      by (cases are-all-operators-applicable I (hd π) ∧ are-all-operator-effects-consistent
        (hd π)
        , simp+)
  qed simp
```

```
corollary length-trace-parallel-plan-gt-0[simp]: 0 < length (trace-parallel-plan-strips
I π)
```

```

using trace-parallel-plan-strips-not-nil..

corollary length-trace-minus-one-lt-length-trace[simp]:
  length (trace-parallel-plan-strips I π) - 1 < length (trace-parallel-plan-strips I
  π)
using diff-less[OF - length-trace-parallel-plan-gt-0]
by auto

lemma trace-parallel-plan-strips-head-is-initial-state:
  trace-parallel-plan-strips I π ! 0 = I
proof (cases π)
  case (Cons a list)
  then show ?thesis
    by (cases are-all-operators-applicable I a ∧ are-all-operator-effects-consistent
    a, simp+)
  qed simp

lemma trace-parallel-plan-strips-length-gt-one-if:
  assumes k < length (trace-parallel-plan-strips I π) - 1
  shows 1 < length (trace-parallel-plan-strips I π)
  using assms
  by linarith

```

— This lemma simply shows that the last element of a *trace-parallel-plan-strips* execution step $s \# \text{trace-parallel-plan-strips } s' \pi$ always is the last element of $\text{trace-parallel-plan-strips } s' \pi$ since *trace-parallel-plan-strips* always returns at least a singleton list (even if $\pi = []$).

```

lemma trace-parallel-plan-strips-last-cons-then:
  last (s # trace-parallel-plan-strips s' π) = last (trace-parallel-plan-strips s' π)
  by (cases π, simp, force)

```

Parallel plan traces have some important properties that we want to confirm before proceeding. Let $\tau \equiv \text{trace-parallel-plan-strips } I \pi$ be a trace for a parallel plan π with initial state I .

First, all parallel operators $ops = \pi ! k$ for any index k with $k < \text{length } \tau - 1$ (meaning that k is not the index of the last element). must be applicable and their effects must be consistent. Otherwise, the trace would have terminated and ops would have been the last element. This would violate the assumption that $k < \text{length } \tau - 1$ is not the last index since the index of the last element is $\text{length } \tau - 1$.⁴

```

lemma trace-parallel-plan-strips-operator-preconditions:
  assumes k < length (trace-parallel-plan-strips I π) - 1
  shows are-all-operators-applicable (trace-parallel-plan-strips I π ! k) (π ! k)
    ∧ are-all-operator-effects-consistent (π ! k)
  using assms

```

⁴More precisely, the index of the last element is $\text{length } \tau - 1$ if τ is not empty which is however always true since the trace contains at least the initial state.

```

proof (induction  $\pi$  arbitrary:  $I k$ )
  — NOTE Base case yields contradiction with assumption and can be left to
  automation.
  case (Cons  $a \pi$ )
    then show ?case
    proof (cases are-all-operators-applicable  $I a \wedge$  are-all-operator-effects-consistent
 $a$ )
      case True
      have trace-parallel-plan-strips-cons: trace-parallel-plan-strips  $I (a \# \pi)$ 
         $= I \# trace-parallel-plan-strips (execute-parallel-operator I a) \pi$ 
        using True
        by simp
      then show ?thesis
      proof (cases  $k$ )
        case  $0$ 
        have trace-parallel-plan-strips  $I (a \# \pi) ! 0 = I$ 
        using trace-parallel-plan-strips-cons
        by simp
        moreover have  $(a \# \pi) ! 0 = a$ 
        by simp
        ultimately show ?thesis
        using True  $0$ 
        by presburger
      next
        case (Suc  $k'$ )
        let  $?I' = execute-parallel-operator I a$ 
        have trace-parallel-plan-strips  $I (a \# \pi) ! Suc k' = trace-parallel-plan-strips$ 
 $?I' \pi ! k'$ 
        using trace-parallel-plan-strips-cons
        by simp
        moreover have  $(a \# \pi) ! Suc k' = \pi ! k'$ 
        by simp
        moreover {
          have length (trace-parallel-plan-strips  $I (a \# \pi)$ )
             $= 1 + length (trace-parallel-plan-strips ?I' \pi)$ 
          unfolding trace-parallel-plan-strips-cons
          by simp
          then have  $k' < length (trace-parallel-plan-strips ?I' \pi) - 1$ 
          using Suc.Cons.prem
          by fastforce
          hence are-all-operators-applicable (trace-parallel-plan-strips  $?I' \pi ! k')$ 
 $(\pi ! k')$ 
           $\wedge$  are-all-operator-effects-consistent ( $\pi ! k'$ )
          using Cons.IH[of k']
          by blast
        }
        ultimately show ?thesis
        using Suc
        by argo

```

```

qed
next
  case False
  then have trace-parallel-plan-strips I (a # π) = [I]
    by force
  then have length (trace-parallel-plan-strips I (a # π)) - 1 = 0
    by simp
  — NOTE Thesis follows from contradiction with assumption.
  then show ?thesis
    using Cons.prem
    by force
qed
qed auto

```

Another interesting property that we verify below is that elements of the trace store the result of plan prefix execution. This means that for an index k with

$k < \text{length}(\text{trace-parallel-plan-strips } I \pi)$, the k -th element of the trace is state reached by executing the plan prefix $\text{take } k \pi$ consisting of the first k parallel operators of π .

```

lemma trace-parallel-plan-prefix:
assumes k < length (trace-parallel-plan-strips I π)
shows trace-parallel-plan-strips I π ! k = execute-parallel-plan I (take k π)
using assms
proof (induction π arbitrary: I k)
  case (Cons a)
  then show ?case
    proof (cases are-all-operators-applicable I a ∧ are-all-operator-effects-consistent
a)
      case True
      let ?σ = trace-parallel-plan-strips I (a # π)
      and ?I' = execute-parallel-operator I a
      have σ-equals: ?σ = I # trace-parallel-plan-strips ?I' π
        using True
        by auto
      then show ?thesis
        proof (cases k = 0)
          case False
          obtain k' where k-is-suc-of-k': k = Suc k'
            using not0-implies-Suc[OF False]
            by blast
          then have execute-parallel-plan I (take k (a # π))
            = execute-parallel-plan ?I' (take k' π)
            using True
            by simp
          moreover have trace-parallel-plan-strips I (a # π) ! k
            = trace-parallel-plan-strips ?I' π ! k'
            using σ-equals k-is-suc-of-k'
            by simp
        qed
    qed
  qed
qed

```

```

moreover {
  have  $k' < \text{length}(\text{trace-parallel-plan-strips}(\text{execute-parallel-operator } I$ 
 $a) \pi)$ 
    using Cons.prem  $\sigma\text{-equals } k\text{-is-suc-of-}k'$ 
    by force
    hence  $\text{trace-parallel-plan-strips } ?I' \pi ! k'$ 
     $= \text{execute-parallel-plan } ?I' (\text{take } k' \pi)$ 
    using Cons.IH[ $of k' ?I'$ ]
    by blast
  }
  ultimately show ?thesis
  by presburger
qed simp
next
  case operator-precondition-violated: False
  then show ?thesis
  proof (cases  $k = 0$ )
    case False
    then have  $\text{trace-parallel-plan-strips } I (a \# \pi) = [I]$ 
    using operator-precondition-violated
    by force
    moreover have  $\text{execute-parallel-plan } I (\text{take } k (a \# \pi)) = I$ 
    using Cons.prem operator-precondition-violated
    by force
    ultimately show ?thesis
    using Cons.prem nth-Cons-0
    by auto
  qed simp
  qed
qed simp

```

```

lemma length-trace-parallel-plan-strips-lte-length-plan-plus-one:
  shows  $\text{length}(\text{trace-parallel-plan-strips } I \pi) \leq \text{length } \pi + 1$ 
  proof (induction  $\pi$  arbitrary:  $I$ )
    case (Cons  $a \pi$ )
    then show ?case
    proof (cases are-all-operators-applicable  $I a \wedge$  are-all-operator-effects-consistent
 $a)$ 
      case True
      let  $?I' = \text{execute-parallel-operator } I a$ 
      {
        have  $\text{trace-parallel-plan-strips } I (a \# \pi) = I \# \text{trace-parallel-plan-strips}$ 
 $?I' \pi$ 
        using True
        by auto
        then have  $\text{length}(\text{trace-parallel-plan-strips } I (a \# \pi))$ 
         $= \text{length}(\text{trace-parallel-plan-strips } ?I' \pi) + 1$ 
        by simp
      }
    
```

```

moreover have length (trace-parallel-plan-strips ?I' π) ≤ length π + 1
  using Cons.IH[of ?I]
  by blast
ultimately have length (trace-parallel-plan-strips I (a # π)) ≤ length (a
# π) + 1
  by simp
}
thus ?thesis
  by blast
qed auto
qed simp

```

— Show that π is at least a singleton list.

lemma plan-is-at-least-singleton-plan-if-trace-has-at-least-two-elements:

```

assumes k < length (trace-parallel-plan-strips I π) − 1
obtains ops π' where π = ops # π'
proof −
  let ?τ = trace-parallel-plan-strips I π
  have length ?τ ≤ length π + 1
    using length-trace-parallel-plan-strips-lte-length-plan-plus-one
    by fast
  then have 0 < length π
    using trace-parallel-plan-strips-length-gt-one-if assms
    by force
  then obtain k' where length π = Suc k'
    using gr0-implies-Suc
    by meson
  thus ?thesis using that
    using length-Suc-conv[of π k']
    by blast
qed

```

— Show that if a parallel plan trace does not have maximum length, in the last state reached through operator execution the parallel operator execution condition was violated.

corollary length-trace-parallel-plan-strips-lt-length-plan-plus-one-then:

```

assumes length (trace-parallel-plan-strips I π) < length π + 1
shows ¬are-all-operators-applicable
  (execute-parallel-plan I (take (length (trace-parallel-plan-strips I π) − 1) π))
  (π ! (length (trace-parallel-plan-strips I π) − 1))
  ∨ ¬are-all-operator-effects-consistent (π ! (length (trace-parallel-plan-strips I π)
− 1))
using assms
proof (induction π arbitrary: I)
case (Cons ops π)
let ?τ = trace-parallel-plan-strips I (ops # π)
  and ?I' = execute-parallel-operator I ops
show ?case
proof (cases are-all-operators-applicable I ops ∧ are-all-operator-effects-consistent

```

```

ops)
case True
then have  $\tau$ -is:  $\tau = I \# \text{trace-parallel-plan-strips } ?I' \pi$ 
  by fastforce
show ?thesis
  proof (cases length (trace-parallel-plan-strips ?I'  $\pi$ ) < length  $\pi + 1$ )
    case True
    then have  $\neg$  are-all-operators-applicable
      (execute-parallel-plan ?I'
        (take (length (trace-parallel-plan-strips ?I'  $\pi$ ) - 1)  $\pi$ ))
      ( $\pi !$  (length (trace-parallel-plan-strips ?I'  $\pi$ ) - 1))
     $\vee$   $\neg$  are-all-operator-effects-consistent
      ( $\pi !$  (length (trace-parallel-plan-strips ?I'  $\pi$ ) - 1))
    using Cons.IH[of ?I']
    by blast
  moreover have trace-parallel-plan-strips ?I'  $\pi \neq []$ 
    using trace-parallel-plan-strips-not-nil
    by blast
  ultimately show ?thesis
    unfolding take-Cons'
    by simp
next
  case False
  then have length (trace-parallel-plan-strips ?I'  $\pi$ )  $\geq$  length  $\pi + 1$ 
    by fastforce
  thm Cons.prems
  moreover have length (trace-parallel-plan-strips I (ops #  $\pi$ ))
    = 1 + length (trace-parallel-plan-strips ?I'  $\pi$ )
    using True
    by force
  moreover have length (trace-parallel-plan-strips ?I'  $\pi$ )
    < length (ops #  $\pi$ )
    using Cons.prems calculation(2)
    by force
  ultimately have False
    by fastforce
    thus ?thesis..
qed
next
  case False
  then have  $\tau$ -is-singleton:  $\tau = [I]$ 
    using False
    by auto
  then have ops = (ops #  $\pi$ ) ! (length  $\tau - 1$ )
    by fastforce
  moreover have execute-parallel-plan I (take (length  $\tau - 1$ )  $\pi$ ) = I
    using  $\tau$ -is-singleton
    by auto
  — TODO slow.

```

```

ultimately show ?thesis
  using False
  by auto
qed
qed simp

lemma trace-parallel-plan-step-effect-is:
assumes k < length (trace-parallel-plan-strips I π) - 1
shows trace-parallel-plan-strips I π ! Suc k
  = execute-parallel-operator (trace-parallel-plan-strips I π ! k) (π ! k)
proof -
  — NOTE Rewrite the proposition using lemma trace-parallel-plan-strips-subplan.
  {
    let ?τ = trace-parallel-plan-strips I π
    have Suc k < length ?τ
      using assms
      by linarith
    hence trace-parallel-plan-strips I π ! Suc k
      = execute-parallel-plan I (take (Suc k) π)
      using trace-parallel-plan-plan-prefix[of Suc k I π]
      by blast
  }
  moreover have execute-parallel-plan I (take (Suc k) π)
    = execute-parallel-operator (trace-parallel-plan-strips I π ! k) (π ! k)
    using assms
  proof (induction k arbitrary: I π)
    case 0
    then have execute-parallel-operator (trace-parallel-plan-strips I π ! 0) (π !
      0)
      = execute-parallel-operator I (π ! 0)
      using trace-parallel-plan-strips-head-is-initial-state[of I π]
      by argo
    moreover {
      obtain ops π' where π = ops # π'
        using plan-is-at-least-singleton-plan-if-trace-has-at-least-two-elements[OF
          0.prem]
        by blast
      then have take (Suc 0) π = [π ! 0]
        by simp
      hence execute-parallel-plan I (take (Suc 0) π)
        = execute-parallel-plan I [π ! 0]
        by argo
    }
    moreover {
      have 0 < length (trace-parallel-plan-strips I π) - 1
        using trace-parallel-plan-strips-length-gt-one-if 0.prem
        by fastforce
      hence are-all-operators-applicable I (π ! 0)
        ∧ are-all-operator-effects-consistent (π ! 0)
    }
  }

```

```

using trace-parallel-plan-strips-operator-preconditions[of 0 I π]
  trace-parallel-plan-strips-head-is-initial-state[of I π]
  by argo
}
ultimately show ?case
  by auto
next
  case (Suc k)
  obtain ops π' where π-split: π = ops # π'
    using plan-is-at-least-singleton-plan-if-trace-has-at-least-two-elements[OF
Suc.premises]
    by blast
  let ?I' = execute-parallel-operator I ops
  {
    have length (trace-parallel-plan-strips I π) =
      1 + length (trace-parallel-plan-strips ?I' π')
    using Suc.premises π-split
    by fastforce
    then have k < length (trace-parallel-plan-strips ?I' π')
    using Suc.premises
    by fastforce
    moreover have trace-parallel-plan-strips I π ! Suc k
      = trace-parallel-plan-strips ?I' π' ! k
    using Suc.premises π-split
    by force
    ultimately have trace-parallel-plan-strips I π ! Suc k
      = execute-parallel-plan ?I' (take k π')
    using trace-parallel-plan-plan-prefix[of k ?I' π']
    by argo
  }
  moreover have execute-parallel-plan I (take (Suc (Suc k)) π)
    = execute-parallel-plan ?I' (take (Suc k) π')
  using Suc.premises π-split
  by fastforce
  moreover {
    have 0 < length (trace-parallel-plan-strips I π) - 1
    using Suc.premises
    by linarith
    hence are-all-operators-applicable I (π ! 0)
      ∧ are-all-operator-effects-consistent (π ! 0)
    using trace-parallel-plan-strips-operator-preconditions[of 0 I π]
      trace-parallel-plan-strips-head-is-initial-state[of I π]
    by argo
  }
  ultimately show ?case
    using Suc.IH Suc.premises π-split
    by auto
qed
ultimately show ?thesis

```

```

using assms
by argo
qed

```

— Show that every state in a plan execution trace of a valid problem description is defined for all problem variables. This is true because the initial state is defined for all problem variables—by definition of *is-valid-problem-strips* Π —and no operator can remove a previously defined variable (only positive and negative effects are possible).

```

lemma trace-parallel-plan-strips-none-if:
  fixes  $\Pi$ :: 'a strips-problem
  assumes is-valid-problem-strips  $\Pi$ 
    and is-parallel-solution-for-problem  $\Pi \pi$ 
    and  $k < \text{length}(\text{trace-parallel-plan-strips}((\Pi)_I) \pi)$ 
  shows (trace-parallel-plan-strips  $((\Pi)_I) \pi ! k$ )  $v = \text{None} \longleftrightarrow v \notin \text{set}((\Pi)_V)$ 
  proof —
    let ?vs = strips-problem.variables-of  $\Pi$ 
    and ?ops = strips-problem.operators-of  $\Pi$ 
    and ? $\tau$  = trace-parallel-plan-strips  $((\Pi)_I) \pi$ 
    and ?I = strips-problem.initial-of  $\Pi$ 
    show ?thesis
      using assms
      proof (induction k)
        case 0
        have ? $\tau$  ! 0 = ?I
          using trace-parallel-plan-strips-head-is-initial-state
          by auto
        then show ?case
          using is-valid-problem-strips-initial-of-dom[OF assms(1)]
          by auto
        next
        case (Suc k)
        have k-lt-length- $\tau$ -minus-one:  $k < \text{length} ?\tau - 1$ 
          using Suc.prem(3)
          by linarith
        then have IH: (trace-parallel-plan-strips ?I  $\pi ! k$ )  $v = \text{None} \longleftrightarrow v \notin \text{set}$ 
           $((\Pi)_V)$ 
          using Suc.IH[OF Suc.prem(1, 2)]
          by force
        have  $\tau$ -Suc-k-is:  $(?\tau ! \text{Suc } k) = \text{execute-parallel-operator} (?\tau ! k) (\pi ! k)$ 
          using trace-parallel-plan-step-effect-is[OF k-lt-length- $\tau$ -minus-one].
        have all-operators-applicable: are-all-operators-applicable  $(?\tau ! k) (\pi ! k)$ 
          and all-effects-consistent: are-all-operator-effects-consistent  $(\pi ! k)$ 
        using trace-parallel-plan-strips-operator-preconditions[OF k-lt-length- $\tau$ -minus-one]
          by simp+
        show ?case
          proof (rule iffI)
            assume  $\tau$ -Suc-k-of-v-is-None:  $(?\tau ! \text{Suc } k) v = \text{None}$ 

```

```

show  $v \notin \text{set } ((\Pi)_V)$ 
  proof (rule ccontr)
    assume  $\neg v \notin \text{set } ((\Pi)_V)$ 
    then have  $v\text{-in-set-vs: } v \in \text{set}((\Pi)_V)$ 
      by blast
    show False
      proof (cases  $\exists op \in \text{set } (\pi ! k).$ 
         $v \in \text{set } (\text{add-effects-of } op) \vee v \in \text{set } (\text{delete-effects-of } op))$ 
        case True
          then obtain  $op$ 
            where  $op\text{-in-}\pi_k: op \in \text{set } (\pi ! k)$ 
            and  $v \in \text{set } (\text{add-effects-of } op) \vee v \in \text{set } (\text{delete-effects-of } op)..$ 
          then consider (A)  $v \in \text{set } (\text{add-effects-of } op)$ 
            | (B)  $v \in \text{set } (\text{delete-effects-of } op)$ 
            by blast
          thus False
            using execute-parallel-operator-positive-effect-if[ $OF$ 
              all-operators-applicable all-effects-consistent  $op\text{-in-}\pi_k$ ]
            execute-parallel-operator-negative-effect-if[ $OF$ 
              all-operators-applicable all-effects-consistent  $op\text{-in-}\pi_k$ ]
             $\tau\text{-Suc-}k\text{-of-}v\text{-is-None}$   $\tau\text{-Suc-}k\text{-is}$ 
            by (cases, fastforce+)
        next
          case False
          then have  $\forall op \in \text{set } (\pi ! k).$ 
             $v \notin \text{set } (\text{add-effects-of } op) \wedge v \notin \text{set } (\text{delete-effects-of } op)$ 
            by blast
          then have  $(?\tau ! Suc k) v = (?\tau ! k) v$ 
            using execute-parallel-operator-no-effect-if  $\tau\text{-Suc-}k\text{-is}$ 
            by fastforce
          then have  $v \notin \text{set } ((\Pi)_V)$ 
            using IH  $\tau\text{-Suc-}k\text{-of-}v\text{-is-None}$ 
            by simp
          thus False
            using v-in-set-vs
            by blast
        qed
      qed
    next
      assume  $v\text{-notin-vs: } v \notin \text{set } ((\Pi)_V)$ 
      {
        fix  $op$ 
        assume  $op\text{-in-}\pi_k: op \in \text{set } (\pi ! k)$ 
        {
          have  $1 < \text{length } ?\tau$ 
        using trace-parallel-plan-strips-length-gt-one-if[ $OF k\text{-lt-length-}\tau\text{-minus-one}$ ].
        then have  $0 < \text{length } ?\tau - 1$ 
        using k-lt-length- $\tau$ -minus-one
        by linarith
      }
    }
  }
}

```

```

moreover have length ?τ - 1 ≤ length π
    using length-trace-parallel-plan-strips-lte-length-plan-plus-one
le-diff-conv
    by blast
then have k < length π
    using k-lt-length-τ-minus-one
    by force
hence π ! k ∈ set π
    by simp
}
then have op-in-ops: op ∈ set ?ops
    using is-parallel-solution-for-problem-operator-set[OF assms(2) -
op-in-πk]
    by force
hence v ∉ set (add-effects-of op) and v ∉ set (delete-effects-of op)
subgoal
    using is-valid-problem-strips-operator-variable-sets(2) assms(1)
op-in-ops
    v-notin-vs
    by auto
subgoal
    using is-valid-problem-strips-operator-variable-sets(3) assms(1)
op-in-ops
    v-notin-vs
    by auto
done
}
then have (?τ ! Suc k) v = (?τ ! k) v
    using execute-parallel-operator-no-effect-if τ-Suc-k-is
    by metis
thus (?τ ! Suc k) v = None
    using IH v-notin-vs
    by fastforce
qed
qed
qed

```

Finally, given initial and goal states I and G , we can show that it's equivalent to say that π is a solution for I and G —i.e. $G \subseteq_m \text{execute-parallel-plan } I \pi$ —and that the goal state is subsumed by the last element of the trace of π with initial state I .

lemma execute-parallel-plan-reaches-goal-iff-goal-is-last-element-of-trace:

$$G \subseteq_m \text{execute-parallel-plan } I \pi \longleftrightarrow G \subseteq_m \text{last}(\text{trace-parallel-plan-strips } I \pi)$$

proof —

let ?LHS = $G \subseteq_m \text{execute-parallel-plan } I \pi$
and ?RHS = $G \subseteq_m \text{last}(\text{trace-parallel-plan-strips } I \pi)$
show ?thesis
proof (rule iffI)

```

assume ?LHS
thus ?RHS
  proof (induction  $\pi$  arbitrary: I)
    — NOTE Nil case follows from simplification.
    case (Cons a  $\pi$ )
    thus ?case
      using Cons.prem
    proof (cases are-all-operators-applicable I a  $\wedge$  are-all-operator-effects-consistent
a)
  case True
  let ?I' = execute-parallel-operator I a
  {
    have execute-parallel-plan I (a #  $\pi$ ) = execute-parallel-plan ?I'  $\pi$ 
    using True
    by auto
    then have G  $\subseteq_m$  execute-parallel-plan ?I'  $\pi$ 
    using Cons.prem
    by presburger
    hence G  $\subseteq_m$  last (trace-parallel-plan-strips ?I'  $\pi$ )
    using Cons.IH[of ?I']
    by blast
  }
  moreover {
    have trace-parallel-plan-strips I (a #  $\pi$ )
    = I # trace-parallel-plan-strips ?I'  $\pi$ 
    using True
    by simp
    then have last (trace-parallel-plan-strips I (a #  $\pi$ ))
    = last (I # trace-parallel-plan-strips ?I'  $\pi$ )
    by argo
    hence last (trace-parallel-plan-strips I (a #  $\pi$ ))
    = last (trace-parallel-plan-strips ?I'  $\pi$ )
    using trace-parallel-plan-strips-last-cons-then[of I ?I'  $\pi$ ]
    by argo
  }
  ultimately show ?thesis
  by argo
qed force
qed simp
next
  assume ?RHS
  thus ?LHS
    proof (induction  $\pi$  arbitrary: I)
      — NOTE Nil case follows from simplification.
      case (Cons a  $\pi$ )
      thus ?case
    proof (cases are-all-operators-applicable I a  $\wedge$  are-all-operator-effects-consistent
a)
      case True

```

```

let ?I' = execute-parallel-operator I a
{
have trace-parallel-plan-strips I (a # π) = I # (trace-parallel-plan-strips
?I' π)
  using True
  by simp
  then have last (trace-parallel-plan-strips I (a # π))
    = last (trace-parallel-plan-strips ?I' π)
    using trace-parallel-plan-strips-last-cons-then[of I ?I' π]
    by argo
  hence G ⊆m last (trace-parallel-plan-strips ?I' π)
    using Cons.prem
    by argo
}
thus ?thesis
  using True Cons
  by simp
next
  case False
  then have last (trace-parallel-plan-strips I (a # π)) = I
    and execute-parallel-plan I (a # π) = I
    by (fastforce, force)
  thus ?thesis
    using Cons.prem
    by argo
qed
qed fastforce
qed
qed

```

3.3 Serializable Parallel Plans

With the groundwork on parallel and serial execution of STRIPS in place we can now address the question under which conditions a parallel solution to a problem corresponds to a serial solution and vice versa. As we will see (in theorem ??), while a serial plan can be trivially rewritten as a parallel plan consisting of singleton operator list for each operator in the plan, the condition for parallel plan solutions also involves non interference.

```

lemma execute-parallel-operator-equals-execute-sequential-strips-if:
  fixes s :: ('variable, bool) state
  assumes are-all-operators-applicable s ops
    and are-all-operator-effects-consistent ops
    and are-all-operators-non-interfering ops
  shows execute-parallel-operator s ops = execute-serial-plan s ops
  using assms
  proof (induction ops arbitrary: s)
    case Nil
    have execute-parallel-operator s Nil

```

```

= foldl (++) s (map (map-of o effect-to-assignments) Nil)
using Nil.prem(1,2)
unfolding execute-parallel-operator-def
by presburger
also have ... = s
by simp
finally have execute-parallel-operator s Nil = s
by blast
moreover have execute-serial-plan s Nil = s
by auto
ultimately show ?case
by simp
next
case (Cons a ops)
— NOTE Use the preceding lemmas to show that the premises hold for the
sublist and use the IH to obtain the theorem for the sublist ops.
have a: is-operator-applicable-in s a
using are-all-operators-applicable-cons Cons.prem(1)
by blast+
let ?s' = s ++ map-of (effect-to-assignments a)
{
from Cons.prem
have are-all-operators-applicable ?s' ops
and are-all-operator-effects-consistent ops
and are-all-operators-non-interfering ops
using execute-parallel-plan-precondition-cons
by blast+
then have execute-serial-plan ?s' ops
= execute-parallel-operator ?s' ops
using Cons.IH
by presburger
}
moreover from Cons.prem
have execute-parallel-operator s (Cons a ops)
= execute-parallel-operator ?s' ops
using execute-parallel-operator-cons-equals-corollary
unfolding execute-operator-def
by simp
moreover
from a have execute-serial-plan s (Cons a ops)
= execute-serial-plan ?s' ops
unfolding execute-serial-plan-def execute-operator-def
is-operator-applicable-in-def
by fastforce
ultimately show ?case
by argo
qed

```

lemma execute-serial-plan-split-i:

```

assumes are-all-operators-applicable s (op # π)
  and are-all-operators-non-interfering (op # π)
shows are-all-operators-applicable (s ≫ op) π
using assms
proof (induction π arbitrary: s)
case Nil
then show ?case
  unfolding are-all-operators-applicable-def
  by simp
next
  case (Cons op' π)
  let ?t = s ≫ op
  {
    fix x
    assume x ∈ set (op' # π)
    moreover have op ∈ set (op # op' # π)
      by simp
    moreover have ¬are-operators-interfering op x
      using Cons.prems(2) calculation(1)
      unfolding are-all-operators-non-interfering-def list-all-iff
      by fastforce
    moreover have is-operator-applicable-in s op
      using Cons.prems(1)
      unfolding are-all-operators-applicable-def list-all-iff
        is-operator-applicable-in-def
      by force
    moreover have is-operator-applicable-in s x
      using are-all-operators-applicable-cons(2)[OF Cons.prems(1)] calculation(1)
      unfolding are-all-operators-applicable-def list-all-iff
        is-operator-applicable-in-def
      by fast
    ultimately have is-operator-applicable-in ?t x
      using execute-parallel-plan-precondition-cons-i[of op x s]
      by (auto simp: execute-operator-def)
  }
thus ?case
  using are-all-operators-applicable-cons(2)
  unfolding is-operator-applicable-in-def
  STRIPS-Representation.is-operator-applicable-in-def
  are-all-operators-applicable-def list-all-iff
  by simp
qed

```

— Show that plans π can be split into separate executions of partial plans π_1 and π_2 with $\pi = \pi_1 @ \pi_2$, if all operators in π_1 are applicable in the given state s and there is no interference between subsequent operators in π_1 . This is the case because non interference ensures that no precondition for any operator in π_1 is negated by the execution of a preceding operator. Note that the non interference constraint excludes partial plans where a precondition is first violated during execution but later

restored which would also allow splitting but does not meet the non interference constraint (which must hold for all possible executing orders).

```

lemma execute-serial-plan-split:
  fixes s :: ('variable, bool) state
  assumes are-all-operators-applicable s  $\pi_1$ 
    and are-all-operators-non-interfering  $\pi_1$ 
  shows execute-serial-plan s ( $\pi_1 @ \pi_2$ )
    = execute-serial-plan (execute-serial-plan s  $\pi_1$ )  $\pi_2$ 
  using assms
  proof (induction  $\pi_1$  arbitrary: s)
    case (Cons op  $\pi_1$ )
      let ?t = s  $\gg$  op
      {
        have are-all-operators-applicable (s  $\gg$  op)  $\pi_1$ 
        using execute-serial-plan-split-i[OF Cons.prems(1, 2)].
        moreover have are-all-operators-non-interfering  $\pi_1$ 
          using are-all-operators-non-interfering-tail[OF Cons.prems(2)].
        ultimately have execute-serial-plan ?t ( $\pi_1 @ \pi_2$ ) =
          execute-serial-plan (execute-serial-plan ?t  $\pi_1$ )  $\pi_2$ 
        using Cons.IH[of ?t]
        by blast
      }
      moreover have STRIPS-Representation.is-operator-applicable-in s op
        using Cons.prems(1)
        unfolding are-all-operators-applicable-def list-all-iff
        by fastforce
      ultimately show ?case
        unfolding execute-serial-plan-def
        by simp
    qed simp
  
```

```

lemma embedding-lemma-i:
  fixes I :: ('variable, bool) state
  assumes is-operator-applicable-in I op
    and are-operator-effects-consistent op op
  shows I  $\gg$  op = execute-parallel-operator I [op]
  proof -
    have are-all-operators-applicable I [op]
    using assms(1)
    unfolding are-all-operators-applicable-def list-all-iff is-operator-applicable-in-def
    by fastforce
    moreover have are-all-operator-effects-consistent [op]
    unfolding are-all-operator-effects-consistent-def list-all-iff
    using assms(2)
    by fastforce
    moreover have are-all-operators-non-interfering [op]
    by simp
    moreover have I  $\gg$  op = execute-serial-plan I [op]
  
```

```

using assms(1)
unfolding is-operator-applicable-in-def
by (simp add: assms(1) execute-operator-def)
ultimately show ?thesis
  using execute-parallel-operator-equals-execute-sequential-strips-if
  by force
qed

lemma execute-serial-plan-is-execute-parallel-plan-ii:
  fixes I :: 'variable strips-state'
  assumes "op ∈ set π. are-operator-effects-consistent op op"
  and "G ⊆m execute-serial-plan I π"
  shows "G ⊆m execute-parallel-plan I (embed π)"
proof -
  show ?thesis
  using assms
  proof (induction π arbitrary: I)
    case (Cons op π)
    then show ?case
      proof (cases is-operator-applicable-in I op)
        case True
        let "?J = I ≪ op"
        and "?J' = execute-parallel-operator I [op]"
        {
          have "G ⊆m execute-serial-plan ?J π"
            using Cons.prems(2) True
            unfolding is-operator-applicable-in-def
            by (simp add: True)
          hence "G ⊆m execute-parallel-plan ?J (embed π)"
            using Cons.IH[of ?J] Cons.prems(1)
            by fastforce
        }
        moreover {
          have "are-all-operators-applicable I [op]"
            using True
            unfolding are-all-operators-applicable-def list-all-iff
            is-operator-applicable-in-def
            by fastforce
          moreover have "are-all-operator-effects-consistent [op]"
            unfolding are-all-operator-effects-consistent-def list-all-iff
            using Cons.prems(1)
            by fastforce
          moreover have "?J = ?J'"
            using execute-parallel-operator-equals-execute-sequential-strips-if[OF
              calculation(1, 2)] Cons.prems(1) True
            unfolding is-operator-applicable-in-def
            by (simp add: True)
          ultimately have "execute-parallel-plan I (embed (op # π)) =
            execute-parallel-plan ?J (embed π)"
        }
      qed
    qed
  qed
qed

```

```

        by fastforce
    }
ultimately show ?thesis
    by presburger
next
case False
then have G ⊆_m I
using Cons.premis is-operator-applicable-in-def
by simp
moreover {
have ¬are-all-operators-applicable I [op]
using False
unfolding are-all-operators-applicable-def list-all-iff
    is-operator-applicable-in-def
by force
hence execute-parallel-plan I (embed (op # π)) = I
    by simp
}
ultimately show ?thesis
    by presburger
qed
qed simp
qed

lemma embedding-lemma-iii:
fixes Π:: 'a strips-problem
assumes ∀ op ∈ set π. op ∈ set ((Π)ο)
shows ∀ ops ∈ set (embed π). ∀ op ∈ set ops. op ∈ set ((Π)ο)
proof -
have nb: set (embed π) = { [op] | op. op ∈ set π }
    by (induction π; force)
{
fix ops
assume ops ∈ set (embed π)
moreover obtain op where op ∈ set π and ops = [op]
    using nb calculation
    by blast
ultimately have ∀ op ∈ set ops. op ∈ set ((Π)ο)
    using assms(1)
    by simp
}
thus ?thesis..
qed

```

We show in the following theorem that—as mentioned—a serial solution π to a STRIPS problem Π corresponds directly to a parallel solution obtained by embedding each operator in π in a list (by use of function *List-Supplement.embed*). The proof shows this by first confirming that

$$\begin{aligned} G \subseteq_m & \text{execute-serial-plan } ((\Pi)_I) \pi \\ \implies G \subseteq_m & \text{execute-serial-plan } ((\Pi)_I) (\text{embed } \pi) \end{aligned}$$

using lemma ; and moreover by showing that

$$\forall ops \in \text{set } (\text{embed } \pi). \forall op \in \text{set } ops. op \in (\Pi)_O$$

meaning that under the given assumptions, all parallel operators of the embedded serial plan are again operators in the operator set of the problem.

theorem *embedding-lemma*:

```

assumes is-valid-problem-strips  $\Pi$ 
and is-serial-solution-for-problem  $\Pi \pi$ 
shows is-parallel-solution-for-problem  $\Pi$  (embed  $\pi$ )
proof -
  have  $nb_1: \forall op \in \text{set } \pi. op \in \text{set } ((\Pi)_O)$ 
  using assms(2)
  unfolding is-serial-solution-for-problem-def list-all-iff ListMem-iff operators-of-def
  by blast
  {
    fix  $op$ 
    assume  $op \in \text{set } \pi$ 
    moreover have  $op \in \text{set } ((\Pi)_O)$ 
    using  $nb_1$  calculation
    by fast
    moreover have is-valid-operator-strips  $\Pi op$ 
    using assms(1) calculation(2)
    unfolding is-valid-problem-strips-def is-valid-problem-strips-def list-all-iff operators-of-def
    by meson
    moreover have list-all  $(\lambda v. \neg \text{ListMem } v (\text{delete-effects-of } op))$  (add-effects-of  $op$ )
    and list-all  $(\lambda v. \neg \text{ListMem } v (\text{add-effects-of } op))$  (delete-effects-of  $op$ )
    using calculation(3)
    unfolding is-valid-operator-strips-def
    by meson+
    moreover have  $\neg \text{list-ex } (\lambda v. \text{ListMem } v (\text{delete-effects-of } op))$  (add-effects-of  $op$ )
    and  $\neg \text{list-ex } (\lambda v. \text{ListMem } v (\text{add-effects-of } op))$  (delete-effects-of  $op$ )
    using calculation(4, 5) not-list-ex-equals-list-all-not
    by blast+
    moreover have  $\neg \text{list-ex } (\lambda v. \text{list-ex } ((=) v) (\text{delete-effects-of } op))$  (add-effects-of  $op$ )
    and  $\neg \text{list-ex } (\lambda v. \text{list-ex } ((=) v) (\text{add-effects-of } op))$  (delete-effects-of  $op$ )
    using calculation(6, 7)
    unfolding list-ex-iff ListMem-iff
    by blast+
    ultimately have are-operator-effects-consistent  $op$   $op$ 

```

```

unfolding are-operator-effects-consistent-def Let-def
  by blast
} note nb2 = this
moreover {
  have  $(\Pi)_G \subseteq_m \text{execute-serial-plan } ((\Pi)_I) \pi$ 
    using assms(2)
  unfolding is-serial-solution-for-problem-def
    by simp
  hence  $(\Pi)_G \subseteq_m \text{execute-parallel-plan } ((\Pi)_I) (\text{embed } \pi)$ 
    using execute-serial-plan-is-execute-parallel-plan-ii nb2
    by blast
}
moreover have  $\forall ops \in set (\text{embed } \pi). \forall op \in set ops. op \in set ((\Pi)_O)$ 
  using embedding-lemma-iii[OF nb1].
ultimately show ?thesis
  unfolding is-parallel-solution-for-problem-def goal-of-def
    initial-of-def operators-of-def list-all-iff ListMem-iff
    by blast
qed

lemma flattening-lemma-i:
fixes  $\Pi :: 'a \text{strips-problem}$ 
assumes  $\forall ops \in set \pi. \forall op \in set ops. op \in set ((\Pi)_O)$ 
shows  $\forall op \in set (\text{concat } \pi). op \in set ((\Pi)_O)$ 
proof -
{
  fix op
  assume  $op \in set (\text{concat } \pi)$ 
  moreover have  $op \in (\bigcup_{ops \in set \pi. set ops})$ 
    using calculation
    unfolding set-concat.
  then obtain ops where  $ops \in set \pi$  and  $op \in set ops$ 
    using UN-iff
    by blast
  ultimately have  $op \in set ((\Pi)_O)$ 
    using assms
    by blast
}
thus ?thesis..
qed

lemma flattening-lemma-ii:
fixes  $I :: 'variable \text{strips-state}$ 
assumes  $\forall ops \in set \pi. \exists op. ops = [op] \wedge \text{is-valid-operator-strips } \Pi op$ 
  and  $G \subseteq_m \text{execute-parallel-plan } I \pi$ 
shows  $G \subseteq_m \text{execute-serial-plan } I (\text{concat } \pi)$ 
proof -
  let ? $\pi' = \text{concat } \pi$ 

```

```

{
  fix op
  assume is-valid-operator-strips Π op
  moreover have list-all (λv. ¬ListMem v (delete-effects-of op)) (add-effects-of
op)
    and list-all (λv. ¬ListMem v (add-effects-of op)) (delete-effects-of op)
    using calculation(1)
    unfolding is-valid-operator-strips-def
    by meson+
  moreover have ¬list-ex (λv. ListMem v (delete-effects-of op)) (add-effects-of
op)
    and ¬list-ex (λv. ListMem v (add-effects-of op)) (delete-effects-of op)
    using calculation(2, 3) not-list-ex-equals-list-all-not
    by blast+
  moreover have ¬list-ex (λv. list-ex ((=) v) (delete-effects-of op)) (add-effects-of
op)
    and ¬list-ex (λv. list-ex ((=) v) (add-effects-of op)) (delete-effects-of op)
    using calculation(4, 5)
    unfolding list-ex-iff ListMem-iff
    by blast+
  ultimately have are-operator-effects-consistent op op
    unfolding are-operator-effects-consistent-def Let-def
    by blast
} note nb1 = this
show ?thesis
  using assms
  proof (induction π arbitrary: I)
    case (Cons ops π)
    obtain op where ops-is: ops = [op] and is-valid-op: is-valid-operator-strips
      Π op
        using Cons.preds(1)
        by fastforce
      show ?case
        proof (cases are-all-operators-applicable I ops)
          case True
          let ?J = execute-parallel-operator I [op]
          and ?J' = I ≫ op
          have nb2: is-operator-applicable-in I op
            using True ops-is
            unfolding are-all-operators-applicable-def list-all-iff
              is-operator-applicable-in-def
            by simp
          have nb3: are-operator-effects-consistent op op
            using nb1[OF is-valid-op].
        {
          then have are-all-operator-effects-consistent ops
            unfolding are-all-operator-effects-consistent-def list-all-iff
            using ops-is
            by fastforce
        }
      }
    
```

```

hence  $G \subseteq_m \text{execute-parallel-plan } ?J \pi$ 
  using Cons.prems(2) ops-is True
  by fastforce
}
moreover have execute-serial-plan  $I (\text{concat} (\text{ops} \# \pi))$ 
= execute-serial-plan  $?J' (\text{concat } \pi)$ 
using ops-is nb2
unfolding is-operator-applicable-in-def
by (simp add: execute-operator-def nb2)
moreover have  $?J = ?J'$ 
unfolding execute-parallel-operator-def execute-operator-def comp-apply
by fastforce
ultimately show ?thesis
using Cons.IH Cons.prems
by force
next
case False
moreover have  $G \subseteq_m I$ 
using Cons.prems(2) calculation
by force
moreover {
have  $\neg \text{is-operator-applicable-in } I \text{ op}$ 
using ops-is False
unfolding are-all-operators-applicable-def list-all-iff
  is-operator-applicable-in-def
by fastforce
hence execute-serial-plan  $I (\text{concat} (\text{ops} \# \pi)) = I$ 
using ops-is is-operator-applicable-in-def
by simp
}
ultimately show ?thesis
by argo
qed
qed force
qed

```

The opposite direction is also easy to show if we can normalize the parallel plan to the form of an embedded serial plan as shown below.

```

lemma flattening-lemma:
assumes is-valid-problem-strips  $\Pi$ 
  and  $\forall \text{ops} \in \text{set } \pi. \exists \text{op}. \text{ops} = [\text{op}]$ 
  and is-parallel-solution-for-problem  $\Pi \pi$ 
shows is-serial-solution-for-problem  $\Pi (\text{concat } \pi)$ 
proof -
  let  $?{\pi}' = \text{concat } \pi$ 
{
  have  $\forall \text{ops} \in \text{set } \pi. \forall \text{op} \in \text{set } \text{ops}. \text{op} \in \text{set } ((\Pi)_O)$ 
  using assms(3)
  unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff

```

```

    by force
  hence  $\forall op \in set ?\pi'. op \in set ((\Pi)_O)$ 
    using flattening-lemma-i
    by blast
}
moreover {
{
fix ops
assume ops  $\in$  set  $\pi$ 
moreover obtain op where ops = [op]
  using assms(2) calculation
  by blast
moreover have op  $\in$  set  $((\Pi)_O)$ 
  using assms(3) calculation
  unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff
  by force
moreover have is-valid-operator-strips  $\Pi$  op
  using assms(1) calculation( $\beta$ )
  unfolding is-valid-problem-strips-def Let-def list-all-iff ListMem-iff
  by simp
ultimately have  $\exists op. ops = [op] \wedge$  is-valid-operator-strips  $\Pi$  op
  by blast
}
moreover have  $(\Pi)_G \subseteq_m execute-parallel-plan ((\Pi)_I) \pi$ 
  using assms(3)
  unfolding is-parallel-solution-for-problem-def
  by simp
ultimately have  $(\Pi)_G \subseteq_m execute-serial-plan ((\Pi)_I) ?\pi'$ 
  using flattening-lemma-ii
  by blast
}
ultimately show is-serial-solution-for-problem  $\Pi$   $??\pi'$ 
  unfolding is-serial-solution-for-problem-def list-all-iff ListMem-iff
  by simp
qed

```

Finally, we can obtain the important result that a parallel plan with a trace that reaches the goal state of a given problem Π , and for which both the parallel operator execution condition as well as non interference is assured at every point $k < length \pi$, the flattening of the parallel plan *concat* π is a serial solution for the initial and goal state of the problem. To wit, by lemma ?? we have

$$(G \subseteq_m execute-parallel-plan I \pi) \\ = (G \subseteq_m last (trace-parallel-plan-strips I \pi))$$

so the second assumption entails that π is a solution for the initial state and the goal state of the problem. (which implicitly means that π is a solution for the initial state and goal state of the problem). The trace formulation

is used in this case because it allows us to write the—state dependent—applicability condition more succinctly. The proof (shown below) is by structural induction on π with arbitrary initial state.

```

theorem execute-parallel-plan-is-execute-sequential-plan-if:
  fixes I :: ('variable, bool) state
  assumes is-valid-problem II
  and G ⊆m last (trace-parallel-plan-strips I π)
  and ∀k < length π.
    are-all-operators-applicable (trace-parallel-plan-strips I π ! k) (π ! k)
    ∧ are-all-operator-effects-consistent (π ! k)
    ∧ are-all-operators-non-interfering (π ! k)
  shows G ⊆m execute-serial-plan I (concat π)
  using assms
  proof (induction π arbitrary: I)
    case (Cons ops π)
      let ?ops' = take (length ops) (concat (ops # π))
      let ?J = execute-parallel-operator I ops
      and ?J' = execute-serial-plan I ?ops'
      {
        have trace-parallel-plan-strips I π ! 0 = I and (ops # π) ! 0 = ops
          unfolding trace-parallel-plan-strips-head-is-initial-state
          by simp+
        then have are-all-operators-applicable I ops
          and are-all-operator-effects-consistent ops
          and are-all-operators-non-interfering ops
          using Cons.prem(3)
          by auto+
        then have trace-parallel-plan-strips I (ops # π)
          = I # trace-parallel-plan-strips ?J π
          by fastforce
      } note nb = this
      {
        have last (trace-parallel-plan-strips I (ops # π))
          = last (trace-parallel-plan-strips ?J π)
          using trace-parallel-plan-strips-last-cons-then nb
          by metis
        hence G ⊆m last (trace-parallel-plan-strips ?J π)
          using Cons.prem(2)
          by force
      }
      moreover {
        fix k
        assume k < length π
        moreover have k + 1 < length (ops # π)
          using calculation
          by force
        moreover have π ! k = (ops # π) ! (k + 1)
          by simp
        ultimately have are-all-operators-applicable
      }
    
```

```

(trace-parallel-plan-strips ?J π ! k) (π ! k)
and are-all-operator-effects-consistent (π ! k)
and are-all-operators-non-interfering (π ! k)
using Cons.prems(3) nb
by force+
}
ultimately have G ⊆_m execute-serial-plan ?J (concat π)
using Cons.IH[OF Cons.prems(1), of ?J]
by blast
moreover {
have execute-serial-plan I (concat (ops # π))
= execute-serial-plan ?J' (concat π)
using execute-serial-plan-split[of I ops] Cons.prems(3)
by auto
thm execute-parallel-operator>equals-execute-sequential-strips-if[of I]
moreover have ?J = ?J'
using execute-parallel-operator>equals-execute-sequential-strips-if Cons.prems(3)
by fastforce
ultimately have execute-serial-plan I (concat (ops # π))
= execute-serial-plan ?J (concat π)
using execute-serial-plan-split[of I ops] Cons.prems(3)
by argo
}
ultimately show ?case
by argo
qed force

```

3.4 Auxiliary lemmas about STRIPS

```

lemma set-to-precondition-of-op-is[simp]: set (to-precondition op)
= { (v, True) | v. v ∈ set (precondition-of op) }
unfolding to-precondition-def STRIPS-Representation.to-precondition-def set-map
by blast

```

end

```

theory SAS-Plus-Representation
imports State-Variable-Representation
begin

```

4 SAS+ Representation

We now continue by defining a concrete implementation of SAS+.

SAS+ operators and SAS+ problems again use records. In contrast to STRIPS, the operator effect is contracted into a single list however since we now potentially deal with more than two possible values for each problem variable.

```

record ('variable, 'domain) sas-plus-operator =
  precondition-of :: ('variable, 'domain) assignment list
  effect-of :: ('variable, 'domain) assignment list

record ('variable, 'domain) sas-plus-problem =
  variables-of :: 'variable list ( $\langle \neg v_+ \rangle [1000] 999$ )
  operators-of :: ('variable, 'domain) sas-plus-operator list ( $\langle \neg o_+ \rangle [1000] 999$ )
  initial-of :: ('variable, 'domain) state ( $\langle \neg I_+ \rangle [1000] 999$ )
  goal-of :: ('variable, 'domain) state ( $\langle \neg G_+ \rangle [1000] 999$ )
  range-of :: 'variable  $\rightarrow$  'domain list

definition range-of':: ('variable, 'domain) sas-plus-problem  $\Rightarrow$  'variable  $\Rightarrow$  'domain
set ( $\langle R_+ - \rangle 52$ )
  where
    range-of'  $\Psi$  v  $\equiv$ 
      (case sas-plus-problem.range-of  $\Psi$  v of None  $\Rightarrow$  {}
       | Some as  $\Rightarrow$  set as)

definition to-precondition
:: ('variable, 'domain) sas-plus-operator  $\Rightarrow$  ('variable, 'domain) assignment list
  where to-precondition  $\equiv$  precondition-of

definition to-effect
:: ('variable, 'domain) sas-plus-operator  $\Rightarrow$  ('variable, 'domain) Effect
  where to-effect op  $\equiv$  [(v, a) . (v, a)  $\leftarrow$  effect-of op]

type-synonym ('variable, 'domain) sas-plus-plan
= ('variable, 'domain) sas-plus-operator list

type-synonym ('variable, 'domain) sas-plus-parallel-plan
= ('variable, 'domain) sas-plus-operator list list

abbreviation empty-operator
:: ('variable, 'domain) sas-plus-operator ( $\langle \varrho \rangle$ )
  where empty-operator  $\equiv$  () precondition-of = [], effect-of = []()

definition is-valid-operator-sas-plus
:: ('variable, 'domain) sas-plus-problem  $\Rightarrow$  ('variable, 'domain) sas-plus-operator
 $\Rightarrow$  bool
  where is-valid-operator-sas-plus  $\Psi$  op  $\equiv$  let
    pre = precondition-of op
    ; eff = effect-of op
    ; vs = variables-of  $\Psi$ 
    ; D = range-of  $\Psi$ 
    in list-all ( $\lambda(v, a)$ . ListMem v vs) pre
       $\wedge$  list-all ( $\lambda(v, a)$ . (D v  $\neq$  None)  $\wedge$  ListMem a (the (D v))) pre
       $\wedge$  list-all ( $\lambda(v, a)$ . ListMem v vs) eff
       $\wedge$  list-all ( $\lambda(v, a)$ . (D v  $\neq$  None)  $\wedge$  ListMem a (the (D v))) eff
       $\wedge$  list-all ( $\lambda(v, a)$ . list-all ( $\lambda(v', a')$ . v  $\neq$  v'  $\vee$  a = a') pre) pre

```

```

 $\wedge \text{list-all } (\lambda(v, a). \text{list-all } (\lambda(v', a'). v \neq v' \vee a = a') \text{ eff}) \text{ eff}$ 

```

```

definition is-valid-problem-sas-plus  $\Psi$ 
 $\equiv \text{let } ops = \text{operators-of } \Psi$ 
 $\quad ; vs = \text{variables-of } \Psi$ 
 $\quad ; I = \text{initial-of } \Psi$ 
 $\quad ; G = \text{goal-of } \Psi$ 
 $\quad ; D = \text{range-of } \Psi$ 
 $\quad \text{in } \text{list-all } (\lambda v. D v \neq \text{None}) vs$ 
 $\wedge \text{list-all } (\text{is-valid-operator-sas-plus } \Psi) ops$ 
 $\wedge (\forall v. I v \neq \text{None} \longleftrightarrow \text{ListMem } v vs)$ 
 $\wedge (\forall v. I v \neq \text{None} \longrightarrow \text{ListMem } (\text{the } (I v)) (\text{the } (D v)))$ 
 $\wedge (\forall v. G v \neq \text{None} \longrightarrow \text{ListMem } v (\text{variables-of } \Psi))$ 
 $\wedge (\forall v. G v \neq \text{None} \longrightarrow \text{ListMem } (\text{the } (G v)) (\text{the } (D v)))$ 

```

```

definition is-operator-applicable-in
 $:: ('variable, 'domain) state$ 
 $\Rightarrow ('variable, 'domain) sas-plus-operator$ 
 $\Rightarrow \text{bool}$ 
where is-operator-applicable-in  $s op$ 
 $\equiv \text{map-of } (\text{precondition-of } op) \subseteq_m s$ 

```

```

definition execute-operator-sas-plus
 $:: ('variable, 'domain) state$ 
 $\Rightarrow ('variable, 'domain) sas-plus-operator$ 
 $\Rightarrow ('variable, 'domain) state (\text{infixl } \gg_+ 52)$ 
where execute-operator-sas-plus  $s op \equiv s ++ \text{map-of } (\text{effect-of } op)$ 

```

— Set up simp rules to keep use of local parameters transparent within proofs (i.e. automatically substitute definitions).

lemma[simp]:

```

is-operator-applicable-in  $s op = (\text{map-of } (\text{precondition-of } op) \subseteq_m s)$ 
 $s \gg_+ op = s ++ \text{map-of } (\text{effect-of } op)$ 
unfolding initial-of-def goal-of-def variables-of-def range-of-def operators-of-def

```

SAS-Plus-Representation.is-operator-applicable-in-def

SAS-Plus-Representation.execute-operator-sas-plus-def

by simp+

lemma range-of-not-empty:

```

(sas-plus-problem.range-of  $\Psi v \neq \text{None} \wedge \text{sas-plus-problem.range-of } \Psi v \neq \text{Some } []$ )
 $\longleftrightarrow (\mathcal{R}_+ \Psi v) \neq \{\}$ 
apply (cases sas-plus-problem.range-of  $\Psi v$ )
by (auto simp add: SAS-Plus-Representation.range-of'-def)

```

lemma is-valid-operator-sas-plus-then:

fixes $\Psi::('v,'d) \text{ sas-plus-problem}$

```

assumes is-valid-operator-sas-plus  $\Psi$  op
shows  $\forall (v, a) \in \text{set}(\text{precondition-of } op). v \in \text{set}((\Psi)_{V+})$ 
    and  $\forall (v, a) \in \text{set}(\text{precondition-of } op). (\mathcal{R}_+ \Psi v) \neq \{\} \wedge a \in \mathcal{R}_+ \Psi v$ 
    and  $\forall (v, a) \in \text{set}(\text{effect-of } op). v \in \text{set}((\Psi)_{V+})$ 
    and  $\forall (v, a) \in \text{set}(\text{effect-of } op). (\mathcal{R}_+ \Psi v) \neq \{\} \wedge a \in \mathcal{R}_+ \Psi v$ 
    and  $\forall (v, a) \in \text{set}(\text{precondition-of } op). \forall (v', a') \in \text{set}(\text{precondition-of } op). v \neq v' \vee a = a'$ 
    and  $\forall (v, a) \in \text{set}(\text{effect-of } op).$ 
         $\forall (v', a') \in \text{set}(\text{effect-of } op). v \neq v' \vee a = a'$ 
proof -
let ?vs = sas-plus-problem.variables-of  $\Psi$ 
    and ?pre = precondition-of op
    and ?eff = effect-of op
    and ?D = sas-plus-problem.range-of  $\Psi$ 
have  $\forall (v, a) \in \text{set} \ ?\text{pre}. v \in \text{set} \ ?\text{vs}$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{pre}.$ 
         $(?D v \neq \text{None}) \wedge$ 
         $a \in \text{set}(\text{the} (?D v))$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{eff}. v \in \text{set} \ ?\text{vs}$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{eff}.$ 
         $(?D v \neq \text{None}) \wedge$ 
         $a \in \text{set}(\text{the} (?D v))$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{pre}.$ 
         $\forall (v', a') \in \text{set} \ ?\text{pre}. v \neq v' \vee a = a'$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{eff}.$ 
         $\forall (v', a') \in \text{set} \ ?\text{eff}. v \neq v' \vee a = a'$ 
using assms
unfolding is-valid-operator-sas-plus-def Let-def list-all-iff ListMem-iff
by meson+
moreover have  $\forall (v, a) \in \text{set} \ ?\text{pre}. v \in \text{set}((\Psi)_{V+})$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{eff}. v \in \text{set}((\Psi)_{V+})$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{pre}. \forall (v', a') \in \text{set} \ ?\text{pre}. v \neq v' \vee a = a'$ 
    and  $\forall (v, a) \in \text{set} \ ?\text{eff}. \forall (v', a') \in \text{set} \ ?\text{eff}. v \neq v' \vee a = a'$ 
using calculation
unfolding variables-of-def
by blast+
moreover {
    have  $\forall (v, a) \in \text{set} \ ?\text{pre}. (?D v \neq \text{None}) \wedge a \in \text{set}(\text{the} (?D v))$ 
        using assms
        unfolding is-valid-operator-sas-plus-def Let-def list-all-iff ListMem-iff
        by argo
    hence  $\forall (v, a) \in \text{set} \ ?\text{pre}. ((\mathcal{R}_+ \Psi v) \neq \{\}) \wedge a \in \mathcal{R}_+ \Psi v$ 
        using range-of'-def
        by fastforce
}
moreover {
    have  $\forall (v, a) \in \text{set} \ ?\text{eff}. (?D v \neq \text{None}) \wedge a \in \text{set}(\text{the} (?D v))$ 
        using assms
        unfolding is-valid-operator-sas-plus-def Let-def list-all-iff ListMem-iff

```

```

    by argo
  hence  $\forall (v, a) \in \text{set } ?\text{eff}. ((\mathcal{R}_+ \Psi v) \neq \{\}) \wedge a \in \mathcal{R}_+ \Psi v$ 
    using range-of'-def
    by fastforce
}
ultimately show  $\forall (v, a) \in \text{set } (\text{precondition-of op}). v \in \text{set } ((\Psi)_{v+})$ 
  and  $\forall (v, a) \in \text{set } (\text{precondition-of op}). (\mathcal{R}_+ \Psi v) \neq \{\} \wedge a \in \mathcal{R}_+ \Psi v$ 
  and  $\forall (v, a) \in \text{set } (\text{effect-of op}). v \in \text{set } ((\Psi)_{v+})$ 
  and  $\forall (v, a) \in \text{set } (\text{effect-of op}). (\mathcal{R}_+ \Psi v) \neq \{\} \wedge a \in \mathcal{R}_+ \Psi v$ 
  and  $\forall (v, a) \in \text{set } (\text{precondition-of op}). \forall (v', a') \in \text{set } (\text{precondition-of op}). v \neq v' \vee a = a'$ 
    and  $\forall (v, a) \in \text{set } (\text{effect-of op}).$ 
       $\forall (v', a') \in \text{set } (\text{effect-of op}). v \neq v' \vee a = a'$ 
    by blast+
qed

```

```

lemma is-valid-problem-sas-plus-then:
fixes  $\Psi::('v,'d)$  sas-plus-problem
assumes is-valid-problem-sas-plus  $\Psi$ 
shows  $\forall v \in \text{set } ((\Psi)_{v+}). (\mathcal{R}_+ \Psi v) \neq \{\}$ 
  and  $\forall op \in \text{set } ((\Psi)_{O+}). \text{is-valid-operator-sas-plus } \Psi op$ 
  and  $\text{dom } ((\Psi)_{I+}) = \text{set } ((\Psi)_{v+})$ 
  and  $\forall v \in \text{dom } ((\Psi)_{I+}). \text{the } (((\Psi)_{I+}) v) \in \mathcal{R}_+ \Psi v$ 
  and  $\text{dom } ((\Psi)_{G+}) \subseteq \text{set } ((\Psi)_{v+})$ 
  and  $\forall v \in \text{dom } ((\Psi)_{G+}). \text{the } (((\Psi)_{G+}) v) \in \mathcal{R}_+ \Psi v$ 
proof -
let ?vs = sas-plus-problem.variables-of  $\Psi$ 
and ?ops = sas-plus-problemoperators-of  $\Psi$ 
and ?I = sas-plus-problem.initial-of  $\Psi$ 
and ?G = sas-plus-problem.goal-of  $\Psi$ 
and ?D = sas-plus-problem.range-of  $\Psi$ 
{
  fix v
  have (?D v  $\neq$  None  $\wedge$  ?D v  $\neq$  Some [])  $\longleftrightarrow$   $((\mathcal{R}_+ \Psi v) \neq \{\})$ 
    by (cases ?D v; (auto simp: range-of'-def))
} note nb = this
have nb1:  $\forall v \in \text{set } ?\text{vs}. ?\text{D } v \neq \text{None}$ 
  and  $\forall op \in \text{set } ?\text{ops}. \text{is-valid-operator-sas-plus } \Psi op$ 
  and  $\forall v. (?I v \neq \text{None}) = (v \in \text{set } ?\text{vs})$ 
  and nb2:  $\forall v. ?I v \neq \text{None} \longrightarrow \text{the } (?I v) \in \text{set } (\text{the } (?D v))$ 
  and  $\forall v. ?G v \neq \text{None} \longrightarrow v \in \text{set } ?\text{vs}$ 
  and nb3:  $\forall v. ?G v \neq \text{None} \longrightarrow \text{the } (?G v) \in \text{set } (\text{the } (?D v))$ 
using assms
unfolding SAS-Plus-Representation.is-valid-problem-sas-plus-def Let-def
  list-all-iff ListMem-iff
  by argo+
then have G3:  $\forall op \in \text{set } ((\Psi)_{O+}). \text{is-valid-operator-sas-plus } \Psi op$ 
  and G4:  $\text{dom } ((\Psi)_{I+}) = \text{set } ((\Psi)_{v+})$ 

```

and $G5: \text{dom } ((\Psi)_{G+}) \subseteq \text{set } ((\Psi)_{V+})$
unfolding *variables-of-def operators-of-def*
by *auto+*
moreover {
 fix v
 assume $v \in \text{set } ((\Psi)_{V+})$
 then have $?D v \neq \text{None}$
 using nb_1
 by *force+*
} **note** $G6 = \text{this}$
moreover {
 fix v
 assume $v \in \text{dom } ((\Psi)_{I+})$
 moreover have $((\Psi)_{I+}) v \neq \text{None}$
 using *calculation*
 by *blast+*
 moreover {
 have $v \in \text{set } ((\Psi)_{V+})$
 using $G4 \text{ calculation}(1)$
 by *argo*
 then have *sas-plus-problem.range-of* $\Psi v \neq \text{None}$
 using *range-of-not-empty*
 unfolding *range-of'-def*
 using $G6$
 by *fast+*
 hence set (*the* $(?D v)$) $= \mathcal{R}_+ \Psi v$
 by (*simp add: sas-plus-problem.range-of* $\Psi v \neq \text{None}$) *option.case-eq-if range-of'-def*)
 }
 ultimately have *the* $((\Psi)_{I+}) v \in \mathcal{R}_+ \Psi v$
 using nb_2
 by *force*
}
moreover {
 fix v
 assume $v \in \text{dom } ((\Psi)_{G+})$
 then have $((\Psi)_{G+}) v \neq \text{None}$
 by *blast*
 moreover {
 have $v \in \text{set } ((\Psi)_{V+})$
 using $G5 \text{ calculation}(1)$
 by *fast*
 then have *sas-plus-problem.range-of* $\Psi v \neq \text{None}$
 using *range-of-not-empty*
 using $G6$
 by *fast+*
 hence set (*the* $(?D v)$) $= \mathcal{R}_+ \Psi v$
 by (*simp add: sas-plus-problem.range-of* $\Psi v \neq \text{None}$) *option.case-eq-if range-of'-def*)
}

```

}
ultimately have the ((( $\Psi$ )G+) v) ∈  $\mathcal{R}_+$   $\Psi$  v
  using nb3
  by auto
}
ultimately show ∀ v ∈ set (( $\Psi$ )V+). ( $\mathcal{R}_+$   $\Psi$  v) ≠ {}
  and ∀ op ∈ set(( $\Psi$ )O+). is-valid-operator-sas-plus  $\Psi$  op
  and dom (( $\Psi$ )I+) = set (( $\Psi$ )V+)
  and ∀ v ∈ dom (( $\Psi$ )I+). the ((( $\Psi$ )I+) v) ∈  $\mathcal{R}_+$   $\Psi$  v
  and dom (( $\Psi$ )G+) ⊆ set (( $\Psi$ )V+)
  and ∀ v ∈ dom (( $\Psi$ )G+). the ((( $\Psi$ )G+) v) ∈  $\mathcal{R}_+$   $\Psi$  v
  by blast+
qed

end

```

```

theory SAS-Plus-Semantics
imports SAS-Plus-Representation List-Supplement
Map-Supplement
begin

```

5 SAS+ Semantics

5.1 Serial Execution Semantics

Serial plan execution is implemented recursively just like in the STRIPS case. By and large, compared to definition ??, we only substitute the operator applicability function with its SAS+ counterpart.

```

primrec execute-serial-plan-sas-plus
  where execute-serial-plan-sas-plus s [] = s
    | execute-serial-plan-sas-plus s (op # ops)
      = (if is-operator-applicable-in s op
        then execute-serial-plan-sas-plus (execute-operator-sas-plus s op) ops
        else s)

```

Similarly, serial SAS+ solutions are defined just like in STRIPS but based on the corresponding SAS+ definitions.

```

definition is-serial-solution-for-problem
:: ('variable, 'domain) sas-plus-problem ⇒ ('variable, 'domain) sas-plus-plan ⇒
bool
where is-serial-solution-for-problem  $\Psi$  ψ
≡ let
  I = sas-plus-problem.initial-of  $\Psi$ 
  ; G = sas-plus-problem.goal-of  $\Psi$ 
  ; ops = sas-plus-problem.operators-of  $\Psi$ 
in G ⊆m execute-serial-plan-sas-plus I ψ
  ∧ list-all (λop. ListMem op ops) ψ

```

```

context
begin

private lemma execute-operator-sas-plus-effect-i:
  assumes is-operator-applicable-in s op
  and  $\forall (v, a) \in \text{set}(\text{effect-of } op). \forall (v', a') \in \text{set}(\text{effect-of } op).$ 
     $v \neq v' \vee a = a'$ 
  and( $v, a \in \text{set}(\text{effect-of } op)$ )
  shows ( $s \gg_+ op$ )  $v = \text{Some } a$ 
proof -
  let ?effect = effect-of op
  have map-of ?effect  $v = \text{Some } a$ 
  using map-of-constant-assignments-defined-if[ $\text{OF assms}(2, 3)$ ] try0
  by blast
  thus ?thesis
  unfolding execute-operator-sas-plus-def map-add-def
  by fastforce
qed

private lemma execute-operator-sas-plus-effect-ii:
  assumes is-operator-applicable-in s op
  and  $\forall (v', a') \in \text{set}(\text{effect-of } op). v' \neq v$ 
  shows ( $s \gg_+ op$ )  $v = s v$ 
proof -
  let ?effect = effect-of op
  {
    have  $v \notin \text{fst}(\text{set } ?\text{effect})$ 
    using assms(2)
    by fastforce
    then have  $v \notin \text{dom}(\text{map-of } ?\text{effect})$ 
    using dom-map-of-conv-image-fst[of ?effect]
    by argo
    hence ( $s ++ \text{map-of } ?\text{effect}$ )  $v = s v$ 
    using map-add-dom-app-simps(3)[of  $v$  map-of ?effect s]
    by blast
  }
  thus ?thesis
  by fastforce
qed

```

Given an operator op that is applicable in a state s and has a consistent set of effects (second assumption) we can now show that the successor state $s' \equiv s \gg_+ op$ has the following properties:

- $s' v = \text{Some } a$ if (v, a) exist in $\text{set}(\text{effect-of } op)$; and,
- $s' v = s v$ if no (v, a') exist in $\text{set}(\text{effect-of } op)$.

The second property is the case if the operator doesn't have an effect for a

variable v .

```

theorem execute-operator-sas-plus-effect:
  assumes is-operator-applicable-in  $s$   $op$ 
  and  $\forall (v, a) \in set (effect-of op).$ 
         $\forall (v', a') \in set (effect-of op). v \neq v' \vee a = a'$ 
  shows  $(v, a) \in set (effect-of op)$ 
     $\longrightarrow (s \gg_+ op) v = Some a$ 
  and  $(\forall a. (v, a) \notin set (effect-of op))$ 
     $\longrightarrow (s \gg_+ op) v = s v$ 
  proof -
    show  $(v, a) \in set (effect-of op)$ 
       $\longrightarrow (s \gg_+ op) v = Some a$ 
    using execute-operator-sas-plus-effect-i[OF assms(1, 2)]
    by blast
  next
    show  $(\forall a. (v, a) \notin set (effect-of op))$ 
       $\longrightarrow (s \gg_+ op) v = s v$ 
    using execute-operator-sas-plus-effect-ii[OF assms(1)]
    by blast
  qed

end
```

5.2 Parallel Execution Semantics

```

type-synonym ('variable, 'domain) sas-plus-parallel-plan
  = ('variable, 'domain) sas-plus-operator list list

definition are-all-operators-applicable-in
  :: ('variable, 'domain) state
   $\Rightarrow$  ('variable, 'domain) sas-plus-operator list
   $\Rightarrow$  bool
  where are-all-operators-applicable-in  $s$   $ops$ 
     $\equiv$  list-all (is-operator-applicable-in  $s$ )  $ops$ 

definition are-operator-effects-consistent
  :: ('variable, 'domain) sas-plus-operator
   $\Rightarrow$  ('variable, 'domain) sas-plus-operator
   $\Rightarrow$  bool
  where are-operator-effects-consistent  $op$   $op'$ 
     $\equiv$  let
      effect = effect-of  $op$ 
      ; effect' = effect-of  $op'$ 
    in list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a'). v \neq v' \vee a = a'$ ) effect') effect

definition are-all-operator-effects-consistent
  :: ('variable, 'domain) sas-plus-operator list
   $\Rightarrow$  bool
  where are-all-operator-effects-consistent  $ops$ 
```

```
 $\equiv \text{list-all } (\lambda \text{op. } \text{list-all } (\text{are-operator-effects-consistent op}) \text{ ops}) \text{ ops}$ 
```

```
definition execute-parallel-operator-sas-plus
:: ('variable, 'domain) state
⇒ ('variable, 'domain) sas-plus-operator list
⇒ ('variable, 'domain) state
where execute-parallel-operator-sas-plus s ops
≡ foldl (++) s (map (map-of ∘ effect-of) ops)
```

We now define parallel execution and parallel traces for SAS+ by lifting the tests for applicability and effect consistency to parallel SAS+ operators. The definitions are again very similar to their STRIPS analogs (definitions ?? and ??).

```
fun execute-parallel-plan-sas-plus
:: ('variable, 'domain) state
⇒ ('variable, 'domain) sas-plus-parallel-plan
⇒ ('variable, 'domain) state
where execute-parallel-plan-sas-plus s [] = s
| execute-parallel-plan-sas-plus s (ops # opss) = (if
  are-all-operators-applicable-in s ops
  ∧ are-all-operator-effects-consistent ops
  then execute-parallel-plan-sas-plus
    (execute-parallel-operator-sas-plus s ops) opss
  else s)

fun trace-parallel-plan-sas-plus
:: ('variable, 'domain) state
⇒ ('variable, 'domain) sas-plus-parallel-plan
⇒ ('variable, 'domain) state list
where trace-parallel-plan-sas-plus s [] = [s]
| trace-parallel-plan-sas-plus s (ops # opss) = s # (if
  are-all-operators-applicable-in s ops
  ∧ are-all-operator-effects-consistent ops
  then trace-parallel-plan-sas-plus
    (execute-parallel-operator-sas-plus s ops) opss
  else [])
```

A plan ψ is a solution for a SAS+ problem Ψ if

1. starting from the initial state Ψ , SAS+ parallel plan execution reaches a state which satisfies the described goal state Ψ_{G+} ; and,
2. all parallel operators ops in the plan ψ only consist of operators that are specified in the problem description.

```
definition is-parallel-solution-for-problem
:: ('variable, 'domain) sas-plus-problem
⇒ ('variable, 'domain) sas-plus-parallel-plan
⇒ bool
```

```

where is-parallel-solution-for-problem  $\Psi \psi$ 
 $\equiv$  let
     $G = \text{sas-plus-problem.goal-of } \Psi$ 
    ;  $I = \text{sas-plus-problem.initial-of } \Psi$ 
    ;  $\text{Ops} = \text{sas-plus-problemoperators-of } \Psi$ 
    in  $G \subseteq_m \text{execute-parallel-plan-sas-plus } I \psi$ 
     $\wedge \text{list-all } (\lambda \text{ops}. \text{list-all } (\lambda \text{op}. \text{ListMem op Ops}) \text{ ops}) \psi$ 

```

```

context
begin

```

```

lemma execute-parallel-operator-sas-plus-cons[simp]:
execute-parallel-operator-sas-plus  $s (\text{op} \# \text{ops})$ 
 $= \text{execute-parallel-operator-sas-plus} (s ++ \text{map-of} (\text{effect-of op})) \text{ops}$ 
unfolding execute-parallel-operator-sas-plus-def
by simp

```

The following lemmas show the properties of SAS+ parallel plan execution traces. The results are analogous to those for STRIPS. So, let $\tau \equiv \text{trace-parallel-plan-sas-plus } I \psi$ be a trace of a parallel SAS+ plan ψ with initial state I , then

- the head of the trace $\tau ! 0$ is the initial state of the problem (lemma ??); moreover,
- for all but the last element of the trace—i.e. elements with index $k < \text{length } \tau - 1$ —the parallel operator $\pi ! k$ is executable (lemma ??); and finally,
- for all $k < \text{length } \tau$, the parallel execution of the plan prefix *take k* ψ with initial state I equals the k -th element of the trace $\tau ! k$ (lemma ??).

```

lemma trace-parallel-plan-sas-plus-head-is-initial-state:
trace-parallel-plan-sas-plus  $I \psi ! 0 = I$ 
proof (cases  $\psi$ )
  case (Cons  $a$  list)
  then show ?thesis
    by (cases are-all-operators-applicable-in  $I a \wedge \text{are-all-operator-effects-consistent}$ 
 $a;$ 
 $\quad \text{simp+}$ )
qed simp

```

```

lemma trace-parallel-plan-sas-plus-length-gt-one-if:
assumes  $k < \text{length } (\text{trace-parallel-plan-sas-plus } I \psi) - 1$ 
shows  $1 < \text{length } (\text{trace-parallel-plan-sas-plus } I \psi)$ 
using assms
by linarith

```

```

lemma length-trace-parallel-plan-sas-plus-lte-length-plan-plus-one:
  shows length (trace-parallel-plan-sas-plus I  $\psi$ )  $\leq$  length  $\psi$  + 1
proof (induction  $\psi$  arbitrary: I)
  case (Cons a  $\psi$ )
  then show ?case
    proof (cases are-all-operators-applicable-in I a  $\wedge$  are-all-operator-effects-consistent
    a)
      case True
      let ?I' = execute-parallel-operator-sas-plus I a
      {
        have trace-parallel-plan-sas-plus I (a #  $\psi$ ) = I # trace-parallel-plan-sas-plus
        ?I'  $\psi$ 
        using True
        by auto
        then have length (trace-parallel-plan-sas-plus I (a #  $\psi$ ))
        = length (trace-parallel-plan-sas-plus ?I'  $\psi$ ) + 1
        by simp
        moreover have length (trace-parallel-plan-sas-plus ?I'  $\psi$ )  $\leq$  length  $\psi$  + 1
        using Cons.IH[of ?I']
        by blast
        ultimately have length (trace-parallel-plan-sas-plus I (a #  $\psi$ ))  $\leq$  length (a
        #  $\psi$ ) + 1
        by simp
      }
      thus ?thesis
      by blast
    qed auto
  qed simp

lemma plan-is-at-least-singleton-plan-if-trace-has-at-least-two-elements:
  assumes k < length (trace-parallel-plan-sas-plus I  $\psi$ ) - 1
  obtains ops  $\psi'$  where  $\psi = \text{ops} \# \psi'$ 
proof -
  let ? $\tau$  = trace-parallel-plan-sas-plus I  $\psi$ 
  have length ? $\tau$   $\leq$  length  $\psi$  + 1
  using length-trace-parallel-plan-sas-plus-lte-length-plan-plus-one
  by fast
  then have 0 < length  $\psi$ 
  using trace-parallel-plan-sas-plus-length-gt-one-if[OF assms]
  by fastforce
  then obtain k' where length  $\psi$  = Suc k'
  using gr0-implies-Suc
  by meson
  thus ?thesis using that
  using length-Suc-conv[of  $\psi$  k']
  by blast
qed

lemma trace-parallel-plan-sas-plus-step-implies-operator-execution-condition-holds:

```

```

assumes k < length (trace-parallel-plan-sas-plus I π) − 1
shows are-all-operators-applicable-in (trace-parallel-plan-sas-plus I π ! k) (π ! k)
    ∧ are-all-operator-effects-consistent (π ! k)
using assms
proof (induction π arbitrary: I k)
— NOTE Base case yields contradiction with assumption and can be left to
automation.
case (Cons a π)
then show ?case
proof (cases are-all-operators-applicable-in I a ∧ are-all-operator-effects-consistent
a)
case True
have trace-parallel-plan-sas-plus-cons: trace-parallel-plan-sas-plus I (a # π)
= I # trace-parallel-plan-sas-plus (execute-parallel-operator-sas-plus I a) π
using True
by simp
then show ?thesis
proof (cases k)
case 0
have trace-parallel-plan-sas-plus I (a # π) ! 0 = I
using trace-parallel-plan-sas-plus-cons
by simp
moreover have (a # π) ! 0 = a
by simp
ultimately show ?thesis
using True 0
by presburger
next
case (Suc k')
have trace-parallel-plan-sas-plus I (a # π) ! Suc k'
= trace-parallel-plan-sas-plus (execute-parallel-operator-sas-plus I a) π ! k'
using trace-parallel-plan-sas-plus-cons
by simp
moreover have (a # π) ! Suc k' = π ! k'
by simp
moreover {
let ?I' = execute-parallel-operator-sas-plus I a
have length (trace-parallel-plan-sas-plus I (a # π))
= 1 + length (trace-parallel-plan-sas-plus ?I' π)
using trace-parallel-plan-sas-plus-cons
by auto
then have k' < length (trace-parallel-plan-sas-plus ?I' π) − 1
using Cons.preds Suc
unfolding Suc-eq-plus1
by fastforce
hence are-all-operators-applicable-in
(trace-parallel-plan-sas-plus (execute-parallel-operator-sas-plus I a) π ! k')
(π ! k')
∧ are-all-operator-effects-consistent (π ! k')

```

```

    using Cons.IH[of k' execute-parallel-operator-sas-plus I a] Cons.prem
Suc trace-parallel-plan-sas-plus-cons
  by simp
}
ultimately show ?thesis
  using Suc
  by argo
qed
next
  case False
  then have trace-parallel-plan-sas-plus I (a # π) = [I]
  by force
  then have length (trace-parallel-plan-sas-plus I (a # π)) - 1 = 0
  by simp
— NOTE Thesis follows from contradiction with assumption.
  then show ?thesis
    using Cons.prem
    by force
qed
qed auto

lemma trace-parallel-plan-sas-plus-prefix:
assumes k < length (trace-parallel-plan-sas-plus I ψ)
shows trace-parallel-plan-sas-plus I ψ ! k = execute-parallel-plan-sas-plus I (take
k ψ)
using assms
proof (induction ψ arbitrary: I k)
case (Cons a ψ)
then show ?case
  proof (cases are-all-operators-applicable-in I a ∧ are-all-operator-effects-consistent
a)
    case True
    let ?σ = trace-parallel-plan-sas-plus I (a # ψ)
    and ?I' = execute-parallel-operator-sas-plus I a
    have σ-equals: ?σ = I # trace-parallel-plan-sas-plus ?I' ψ
    using True
    by auto
    then show ?thesis
    proof (cases k = 0)
      case False
      obtain k' where k-is-suc-of-k': k = Suc k'
      using not0-implies-Suc[OF False]
      by blast
      then have execute-parallel-plan-sas-plus I (take k (a # ψ))
      = execute-parallel-plan-sas-plus ?I' (take k' ψ)
      using True
      by simp
      moreover have trace-parallel-plan-sas-plus I (a # ψ) ! k
      = trace-parallel-plan-sas-plus ?I' ψ ! k'
    qed
  qed
qed

```

```

using σ-equals k-is-suc-of-k'
by simp
moreover {
  have k' < length (trace-parallel-plan-sas-plus ?I' ψ)
    using Cons.prems σ-equals k-is-suc-of-k'
    by force
  hence trace-parallel-plan-sas-plus ?I' ψ ! k'
    = execute-parallel-plan-sas-plus ?I' (take k' ψ)
    using Cons.IH[of k' ?I']
    by blast
}
ultimately show ?thesis
by presburger
qed simp
next
  case operator-precondition-violated: False
  then show ?thesis
  proof (cases k = 0)
    case False
    then have trace-parallel-plan-sas-plus I (a # ψ) = [I]
      using operator-precondition-violated
      by force
    moreover have execute-parallel-plan-sas-plus I (take k (a # ψ)) = I
      using Cons.prems operator-precondition-violated
      by force
    ultimately show ?thesis
      using Cons.prems nth-Cons-0
      by auto
    qed simp
  qed
qed simp

lemma trace-parallel-plan-sas-plus-step-effect-is:
assumes k < length (trace-parallel-plan-sas-plus I ψ) - 1
shows trace-parallel-plan-sas-plus I ψ ! Suc k
= execute-parallel-operator-sas-plus (trace-parallel-plan-sas-plus I ψ ! k) (ψ ! k)

proof -
  let ?τ = trace-parallel-plan-sas-plus I ψ
  let ?τ_k = ?τ ! k
  and ?τ'_k = ?τ ! Suc k
  — NOTE rewrite the goal using the subplan formulation to be able. This allows
  us to make the initial state arbitrary.
  {
    have suc-k-lt-length-τ: Suc k < length ?τ
      using assms
      by linarith
    hence ?τ'_k = execute-parallel-plan-sas-plus I (take (Suc k) ψ)
      using trace-parallel-plan-sas-plus-prefix[of Suc k]
  }

```

```

    by blast
} note rewrite-goal = this
have execute-parallel-plan-sas-plus I (take (Suc k) ψ)
= execute-parallel-operator-sas-plus (trace-parallel-plan-sas-plus I ψ ! k) (ψ ! k)

using assms
proof (induction k arbitrary: I ψ)
  case 0
  obtain ops ψ' where ψ-is: ψ = ops # ψ'
    using plan-is-at-least-singleton-plan-if-trace-has-at-least-two-elements[OF
      0.prem]
    by force
  {
    have take (Suc 0) ψ = [ψ ! 0]
    using ψ-is
    by simp
    hence execute-parallel-plan-sas-plus I (take (Suc 0) ψ)
    = execute-parallel-plan-sas-plus I [ψ ! 0]
    by argo
  }
  moreover {
    have trace-parallel-plan-sas-plus I ψ ! 0 = I
    using trace-parallel-plan-sas-plus-head-is-initial-state.
  moreover {
    have are-all-operators-applicable-in I (ψ ! 0)
    and are-all-operator-effects-consistent (ψ ! 0)
    using trace-parallel-plan-sas-plus-step-implies-operator-execution-condition-holds[OF
      0.prem] calculation
    by argo+
    then have execute-parallel-plan-sas-plus I [ψ ! 0]
    = execute-parallel-operator-sas-plus I (ψ ! 0)
    by simp
  }
  ultimately have execute-parallel-operator-sas-plus (trace-parallel-plan-sas-plus
    I ψ ! 0)
    (ψ ! 0)
    = execute-parallel-plan-sas-plus I [ψ ! 0]
    by argo
  }
  ultimately show ?case
    by argo
next
  case (Suc k)
  obtain ops ψ' where ψ-is: ψ = ops # ψ'
    using plan-is-at-least-singleton-plan-if-trace-has-at-least-two-elements[OF
      Suc.prem]
    by blast
  let ?I' = execute-parallel-operator-sas-plus I ops
  have execute-parallel-plan-sas-plus I (take (Suc (Suc k)) ψ)

```

```

= execute-parallel-plan-sas-plus ?I' (take (Suc k) ψ')
  using Suc.prems ψ-is
  by fastforce
moreover {
  thm Suc.IH[of ]
  have length (trace-parallel-plan-sas-plus I ψ)
    = 1 + length (trace-parallel-plan-sas-plus ?I' ψ')
    using ψ-is Suc.prems
    by fastforce
  moreover have k < length (trace-parallel-plan-sas-plus ?I' ψ') - 1
    using Suc.prems calculation
    by fastforce
  ultimately have execute-parallel-plan-sas-plus ?I' (take (Suc k) ψ') =
    execute-parallel-operator-sas-plus (trace-parallel-plan-sas-plus ?I' ψ' ! k)
    (ψ' ! k)
    using Suc.IH[of ?I' ψ']
    by blast
}
moreover have execute-parallel-operator-sas-plus (trace-parallel-plan-sas-plus
?I' ψ' ! k)
  (ψ' ! k)
  = execute-parallel-operator-sas-plus (trace-parallel-plan-sas-plus I ψ ! Suc k)
  (ψ ! Suc k)
  using Suc.prems ψ-is
  by auto
ultimately show ?case
  by argo
qed
thus ?thesis
  using rewrite-goal
  by argo
qed

```

Finally, we obtain the result corresponding to lemma ?? in the SAS+ case: it is equivalent to say that parallel SAS+ execution reaches the problem's goal state and that the last element of the corresponding trace satisfies the goal state.

```

lemma execute-parallel-plan-sas-plus-reaches-goal-iff-goal-is-last-element-of-trace:
  G ⊆m execute-parallel-plan-sas-plus I ψ
  ↔ G ⊆m last (trace-parallel-plan-sas-plus I ψ)
proof -
  let ?τ = trace-parallel-plan-sas-plus I ψ
  show ?thesis
  proof (rule iffI)
    assume G ⊆m execute-parallel-plan-sas-plus I ψ
    thus G ⊆m last ?τ
      proof (induction ψ arbitrary: I)
        — NOTE Base case follows from simplification.
        case (Cons ops ψ)

```

```

show ?case
  proof (cases are-all-operators-applicable-in I ops
    ∧ are-all-operator-effects-consistent ops)
    case True
    let ?s = execute-parallel-operator-sas-plus I ops
    {
      have G ⊆m execute-parallel-plan-sas-plus ?s ψ
        using True Cons.prem
        by simp
      hence G ⊆m last (trace-parallel-plan-sas-plus ?s ψ)
        using Cons.IH
        by auto
    }
    moreover {
      have trace-parallel-plan-sas-plus I (ops # ψ)
        = I # trace-parallel-plan-sas-plus ?s ψ
        using True
        by simp
      moreover have trace-parallel-plan-sas-plus ?s ψ ≠ []
        using trace-parallel-plan-sas-plus.elims
        by blast
      ultimately have last (trace-parallel-plan-sas-plus I (ops # ψ))
        = last (trace-parallel-plan-sas-plus ?s ψ)
        using last-ConsR
        by simp
    }
    ultimately show ?thesis
      by argo
  next
    case False
    then have G ⊆m I
      using Cons.prem
      by force
    thus ?thesis
      using False
      by force
    qed
  qed force
  next
    assume G ⊆m last ?τ
    thus G ⊆m execute-parallel-plan-sas-plus I ψ
      proof (induction ψ arbitrary: I)
        case (Cons ops ψ)
        thus ?case
          proof (cases are-all-operators-applicable-in I ops
            ∧ are-all-operator-effects-consistent ops)
            case True
            let ?s = execute-parallel-operator-sas-plus I ops
            {

```

```

have trace-parallel-plan-sas-plus I (ops # ψ)
  = I # trace-parallel-plan-sas-plus ?s ψ
  using True
  by simp
moreover have trace-parallel-plan-sas-plus ?s ψ ≠ []
  using trace-parallel-plan-sas-plus.elims
  by blast
ultimately have last (trace-parallel-plan-sas-plus I (ops # ψ))
  = last (trace-parallel-plan-sas-plus ?s ψ)
  using last-ConsR
  by simp
hence G ⊆m execute-parallel-plan-sas-plus ?s ψ
  using Cons.IH[of ?s] Cons.prems
  by argo
}
moreover have execute-parallel-plan-sas-plus I (ops # ψ)
  = execute-parallel-plan-sas-plus ?s ψ
  using True
  by force
ultimately show ?thesis
  by argo
next
  case False
  have G ⊆m I
    using Cons.prems False
    by simp
  thus ?thesis
    using False
    by force
  qed
  qed simp
qed
qed

```

lemma *is-parallel-solution-for-problem-plan-operator-set*:

```

fixes Ψ :: ('v, 'd) sas-plus-problem
assumes is-parallel-solution-for-problem Ψ ψ
shows ∀ ops ∈ set ψ. ∀ op ∈ set ops. op ∈ set ((Ψ)○+)
using assms
unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff operators-of-def
by presburger

```

end

5.3 Serializable Parallel Plans

Again we want to establish conditions for the serializability of plans. Let Ψ be a SAS+ problem instance and let ψ be a serial solution. We obtain the following two important results, namely that

1. the embedding *List-Supplement.embed* ψ of ψ is a parallel solution for Ψ (lemma ??); and conversely that,
2. a parallel solution to Ψ that has the form of an embedded serial plan can be concatenated to obtain a serial solution (lemma ??).

```

context
begin

lemma execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-i:
  assumes is-operator-applicable-in s op
    are-operator-effects-consistent op op
  shows s ≫+ op = execute-parallel-operator-sas-plus s [op]
  proof -
    have are-all-operators-applicable-in s [op]
    unfolding are-all-operators-applicable-in-def
      SAS-Plus-Representation.execute-operator-sas-plus-def
      is-operator-applicable-in-def SAS-Plus-Representation.is-operator-applicable-in-def
      list-all-iff
    using assms(1)
    by fastforce
    moreover have are-all-operator-effects-consistent [op]
    unfolding are-all-operator-effects-consistent-def list-all-iff
    using assms(2)
    by fastforce
    ultimately show ?thesis
    unfolding execute-parallel-operator-sas-plus-def execute-operator-sas-plus-def
    by simp
  qed

lemma execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-ii:
  fixes I :: ('variable, 'domain) state
  assumes ∀ op ∈ set ψ. are-operator-effects-consistent op op
    and G ⊆m execute-serial-plan-sas-plus I ψ
  shows G ⊆m execute-parallel-plan-sas-plus I (embed ψ)
  using assms
  proof (induction ψ arbitrary: I)
    case (Cons op ψ)
    show ?case
    proof (cases are-all-operators-applicable-in I [op])
      case True
      let ?J = execute-operator-sas-plus I op

```

```

let ?J' = execute-parallel-operator-sas-plus I [op]
have SAS-Plus-Representation.is-operator-applicable-in I op
  using True
  unfolding are-all-operators-applicable-in-def list-all-iff
  by force
moreover have G ⊆m execute-serial-plan-sas-plus ?J ψ
  using Cons.prem(2) calculation(1)
  by simp
moreover have are-all-operator-effects-consistent [op]
  unfolding are-all-operator-effects-consistent-def list-all-iff Let-def
  using Cons.prem(1)
  by simp
moreover have execute-parallel-plan-sas-plus I ([op] # embed ψ)
  = execute-parallel-plan-sas-plus ?J' (embed ψ)
  using True calculation(3)
  by simp
moreover {
  have is-operator-applicable-in I op
    are-operator-effects-consistent op op
    using True Cons.prem(1)
    unfolding are-all-operators-applicable-in-def
      SAS-Plus-Representation.is-operator-applicable-in-def list-all-iff
      by fastforce+
  hence ?J = ?J'
    using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-i
      calculation(1)
    by blast
}
ultimately show ?thesis
  using Cons.IH[of ?J] Cons.prem(1)
  by simp
next
  case False
  moreover have ¬is-operator-applicable-in I op
    using calculation
    unfolding are-all-operators-applicable-in-def
      SAS-Plus-Representation.is-operator-applicable-in-def list-all-iff
      by fastforce
  moreover have G ⊆m I
    using Cons.prem(2) calculation(2)
    unfolding is-operator-applicable-in-def
    by simp
  moreover have execute-parallel-plan-sas-plus I ([op] # embed ψ) = I
    using calculation(1)
    by fastforce
  ultimately show ?thesis
    by force
qed
qed simp

```

```

lemma execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-iii:
  assumes is-valid-problem-sas-plus  $\Psi$ 
    and is-serial-solution-for-problem  $\Psi \psi$ 
    and  $op \in set \psi$ 
  shows are-operator-effects-consistent  $op op$ 
proof -
  have  $op \in set ((\Psi)_{\mathcal{O}+})$ 
    using assms(2) assms(3)
  unfolding is-serial-solution-for-problem-def Let-def list-all-iff ListMem-iff
    by fastforce
  then have is-valid-operator-sas-plus  $\Psi op$ 
    using is-valid-problem-sas-plus-then(2) assms(1, 3)
    by auto
  thus ?thesis
    unfolding are-operator-effects-consistent-def Let-def list-all-iff ListMem-iff
    using is-valid-operator-sas-plus-then(6)
    by fast
qed

lemma execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-iv:
  fixes  $\Psi :: ('v, 'd) sas-plus-problem$ 
  assumes  $\forall op \in set \psi. op \in set ((\Psi)_{\mathcal{O}+})$ 
  shows  $\forall ops \in set (embed \psi). \forall op \in set ops. op \in set ((\Psi)_{\mathcal{O}+})$ 
proof -
  let  $?{\psi}' = embed \psi$ 
  have  $nb: set ?{\psi}' = \{ [op] \mid op. op \in set \psi \}$ 
    by (induction  $\psi$ ; force)
  {
    fix  $ops$ 
    assume  $ops \in set ?{\psi}'$ 
    moreover obtain  $op$  where  $ops = [op]$  and  $op \in set ((\Psi)_{\mathcal{O}+})$ 
      using assms(1) nb calculation
      by blast
    ultimately have  $\forall op \in set ops. op \in set ((\Psi)_{\mathcal{O}+})$ 
      by fastforce
  }
  thus ?thesis..
qed

theorem execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus:
  assumes is-valid-problem-sas-plus  $\Psi$ 
    and is-serial-solution-for-problem  $\Psi \psi$ 
  shows is-parallel-solution-for-problem  $\Psi (embed \psi)$ 
proof -
  let  $?ops = sas-plus-problem.operators-of \Psi$ 
    and  $?{\psi}' = embed \psi$ 
  {
    thm execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-ii[ $OF$ ]
  }

```

```

have  $(\Psi)_{G+} \subseteq_m \text{execute-serial-plan-sas-plus } ((\Psi)_{I+}) \psi$ 
  using assms(2)
  unfolding is-serial-solution-for-problem-def Let-def
  by simp
moreover have  $\forall op \in \text{set } \psi. \text{are-operator-effects-consistent } op op$ 
  using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-iii[OF assms]..
ultimately have  $(\Psi)_{G+} \subseteq_m \text{execute-parallel-plan-sas-plus } ((\Psi)_{I+}) ?\psi'$ 
  using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-ii
  by blast
}
moreover {
  have  $\forall op \in \text{set } \psi. op \in \text{set } ((\Psi)_{O+})$ 
    using assms(2)
    unfolding is-serial-solution-for-problem-def Let-def list-all-iff ListMem-iff
    by fastforce
  hence  $\forall ops \in \text{set } ?\psi'. \forall op \in \text{set } ops. op \in \text{set } ((\Psi)_{O+})$ 
    using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-iv
    by blast
}
ultimately show ?thesis
  unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff Let-def
goal-of-def
  initial-of-def
  by fastforce
qed

lemma flattening-lemma-i:
  fixes  $\Psi :: ('v, 'd) \text{sas-plus-problem}$ 
  assumes  $\forall ops \in \text{set } \pi. \forall op \in \text{set } ops. op \in \text{set } ((\Psi)_{O+})$ 
  shows  $\forall op \in \text{set } (\text{concat } \pi). op \in \text{set } ((\Psi)_{O+})$ 
proof -
{
  fix op
  assume  $op \in \text{set } (\text{concat } \pi)$ 
  moreover have  $op \in (\bigcup ops \in \text{set } \pi. \text{set } ops)$ 
    using calculation
    unfolding set-concat.
  then obtain ops where  $ops \in \text{set } \pi \text{ and } op \in \text{set } ops$ 
    using UN-iff
    by blast
  ultimately have  $op \in \text{set } ((\Psi)_{O+})$ 
    using assms
    by blast
}
thus ?thesis..
qed

lemma flattening-lemma-ii:
  fixes  $I :: ('variable, 'domain) \text{state}$ 

```

```

assumes  $\forall ops \in set \psi. \exists op. ops = [op] \wedge is-valid-operator-sas-plus \Psi op$ 
        $\text{and } G \subseteq_m execute-parallel-plan-sas-plus I \psi$ 
        $shows G \subseteq_m execute-serial-plan-sas-plus I (concat \psi)$ 
proof -
show ?thesis
using assms
proof (induction  $\psi$  arbitrary:  $I$ )
case (Cons  $ops \psi$ )
obtain  $op$  where  $ops-is: ops = [op]$  and  $is-valid-op: is-valid-operator-sas-plus$ 
 $\Psi op$ 
using Cons.preds(1)
by auto
then show ?case
proof (cases are-all-operators-applicable-in  $I ops$ )
case True
let ?J = execute-parallel-operator-sas-plus  $I [op]$ 
and ?J' = execute-operator-sas-plus  $I op$ 
have nb1: is-operator-applicable-in  $I op$ 
using True ops-is
unfolding are-all-operators-applicable-in-def is-operator-applicable-in-def

list-all-iff
by force
have nb2: are-operator-effects-consistent  $op op$ 
unfolding are-operator-effects-consistent-def list-all-iff Let-def
using is-valid-operator-sas-plus-then(6)[OF is-valid-op]
by blast
have are-all-operator-effects-consistent  $ops$ 
using ops-is
unfolding are-all-operator-effects-consistent-def list-all-iff
using nb2
by force
moreover have  $G \subseteq_m execute-parallel-plan-sas-plus ?J \psi$ 
using Cons.preds(2) True calculation ops-is
by fastforce
moreover have  $execute-serial-plan-sas-plus I (concat (ops \# \psi))$ 
=  $execute-serial-plan-sas-plus ?J' (concat \psi)$ 
using ops-is nb1 is-operator-applicable-in-def
by simp
moreover have ?J = ?J'
using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus-i[OF
nb1 nb2]
by simp
ultimately show ?thesis
using Cons.IH[of ?J] Cons.preds(1)
by force
next
case False
moreover have  $G \subseteq_m I$ 

```

```

using Cons.prem(2) calculation
by fastforce
moreover {
  have  $\neg$ is-operator-applicable-in I op
    using False ops-is
    unfolding are-all-operators-applicable-in-def
      is-operator-applicable-in-def list-all-iff
      by force
  moreover have execute-serial-plan-sas-plus I (concat (ops #  $\psi$ ))
    = execute-serial-plan-sas-plus I (op # concat  $\psi$ )
    using ops-is
    by force
  ultimately have execute-serial-plan-sas-plus I (concat (ops #  $\psi$ )) = I
    using False
    unfolding is-operator-applicable-in-def
    by fastforce
}
ultimately show ?thesis
  by argo
qed
qed force
qed

lemma flattening-lemma:
assumes is-valid-problem-sas-plus  $\Psi$ 
and  $\forall ops \in set \psi. \exists op. ops = [op]$ 
and is-parallel-solution-for-problem  $\Psi \psi$ 
shows is-serial-solution-for-problem  $\Psi$  (concat  $\psi$ )
proof -
let ? $\psi'$  = concat  $\psi$ 
{
  have  $\forall ops \in set \psi. \forall op \in set ops. op \in set ((\Psi)_{\mathcal{O}+})$ 
    using assms(3)
    unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff
    by force
  hence  $\forall op \in set ?\psi'. op \in set ((\Psi)_{\mathcal{O}+})$ 
    using flattening-lemma-i
    by blast
}
moreover {
{
  fix ops
  assume ops  $\in$  set  $\psi$ 
  moreover obtain op where ops = [op]
    using assms(2) calculation
    by blast
  moreover have op  $\in$  set  $((\Psi)_{\mathcal{O}+})$ 
    using assms(3) calculation
    unfolding is-parallel-solution-for-problem-def list-all-iff ListMem-iff
}
}

```

```

    by force
  moreover have is-valid-operator-sas-plus  $\Psi$  op
    using assms(1) calculation(3)
    unfolding is-valid-problem-sas-plus-def Let-def list-all-iff
      ListMem-iff
    by simp
  ultimately have  $\exists op. ops = [op] \wedge$  is-valid-operator-sas-plus  $\Psi$  op
    by blast
  }
  moreover have  $(\Psi)_{G+} \subseteq_m$  execute-parallel-plan-sas-plus  $((\Psi)_{I+}) \psi$ 
    using assms(3)
    unfolding is-parallel-solution-for-problem-def
    by fastforce
  ultimately have  $(\Psi)_{G+} \subseteq_m$  execute-serial-plan-sas-plus  $((\Psi)_{I+}) ?\psi'$ 
    using flattening-lemma-ii
    by blast
  }
  ultimately show is-serial-solution-for-problem  $\Psi ?\psi'$ 
    unfolding is-serial-solution-for-problem-def list-all-iff ListMem-iff
    by fastforce
qed
end

```

5.4 Auxiliary lemmata on SAS+

```

context
begin

```

— Relate the locale definition *range-of* with its corresponding implementation for valid operators and given an effect (v, a) .

```

lemma is-valid-operator-sas-plus-then-range-of-sas-plus-op-is-set-range-of-op:
  assumes is-valid-operator-sas-plus  $\Psi$  op
    and  $(v, a) \in set(\text{precondition-of } op) \vee (v, a) \in set(\text{effect-of } op)$ 
    shows  $(\mathcal{R}_+ \Psi v) = set(\text{the (sas-plus-problem.range-of } \Psi v))$ 
proof -
  consider (A)  $(v, a) \in set(\text{precondition-of } op)$ 
  | (B)  $(v, a) \in set(\text{effect-of } op)$ 
  using assms(2)..  

thus ?thesis
  proof (cases)
    case A
    then have  $(\mathcal{R}_+ \Psi v) \neq \{\}$  and  $a \in \mathcal{R}_+ \Psi v$ 
    using assms
    unfolding range-of-def
    using is-valid-operator-sas-plus-then(2)
    by fast+
  thus ?thesis
    unfolding range-of'-def option.case-eq-if
    by auto

```

```

next
  case  $B$ 
  then have  $(\mathcal{R}_+ \Psi v) \neq \{\}$  and  $a \in \mathcal{R}_+ \Psi v$ 
    using assms
    unfolding range-of-def
    using is-valid-operator-sas-plus-then(4)
    by fast+
  thus ?thesis
    unfolding range-of'-def option.case-eq-if
    by auto
  qed
qed

lemma set-the-range-of-is-range-of-sas-plus-if:
  fixes  $\Psi :: ('v, 'd)$  sas-plus-problem
  assumes is-valid-problem-sas-plus  $\Psi$ 
     $v \in set((\Psi)_{V+})$ 
  shows  $set(the(sas-plus-problem.range-of \Psi v)) = \mathcal{R}_+ \Psi v$ 
proof-
  have  $v \in set((\Psi)_{V+})$ 
  using assms(2)
  unfolding variables-of-def.
  moreover have  $(\mathcal{R}_+ \Psi v) \neq \{\}$ 
    using assms(1) calculation is-valid-problem-sas-plus-then(1)
    by blast
  moreover have sas-plus-problem.range-of  $\Psi v \neq None$ 
    and sas-plus-problem.range-of  $\Psi v \neq Some []$ 
    using calculation(2) range-of-not-empty
    unfolding range-of-def
    by fast+
  ultimately show ?thesis
    unfolding option.case-eq-if range-of'-def
    by force
  qed

lemma sublocale-sas-plus-finite-domain-representation-ii:
  fixes  $\Psi :: ('v, 'd)$  sas-plus-problem
  assumes is-valid-problem-sas-plus  $\Psi$ 
  shows  $\forall v \in set((\Psi)_{V+}). (\mathcal{R}_+ \Psi v) \neq \{\}$ 
    and  $\forall op \in set((\Psi)_{O+}). is-valid-operator-sas-plus \Psi op$ 
    and  $dom((\Psi)_{I+}) = set((\Psi)_{V+})$ 
    and  $\forall v \in dom((\Psi)_{I+}). the(((\Psi)_{I+}) v) \in \mathcal{R}_+ \Psi v$ 
    and  $dom((\Psi)_{G+}) \subseteq set((\Psi)_{V+})$ 
    and  $\forall v \in dom((\Psi)_{G+}). the(((\Psi)_{G+}) v) \in \mathcal{R}_+ \Psi v$ 
  using is-valid-problem-sas-plus-then[OF assms]
  by auto

end

```

```

end

theory SAS-Plus-STRIPS
  imports STRIPS-Semantics SAS-Plus-Semantics
    Map-Supplement
  begin

```

6 SAS+/STRIPS Equivalence

The following part is concerned with showing the equivalent expressiveness of SAS+ and STRIPS as discussed in ??.

6.1 Translation of SAS+ Problems to STRIPS Problems

```

definition possible-assignments-for
  :: ('variable, 'domain) sas-plus-problem  $\Rightarrow$  'variable  $\Rightarrow$  ('variable  $\times$  'domain) list
  where possible-assignments-for  $\Psi$  v  $\equiv$  [(v, a). a  $\leftarrow$  the (range-of  $\Psi$  v)]
```



```

definition all-possible-assignments-for
  :: ('variable, 'domain) sas-plus-problem  $\Rightarrow$  ('variable  $\times$  'domain) list
  where all-possible-assignments-for  $\Psi$ 
     $\equiv$  concat [possible-assignments-for  $\Psi$  v. v  $\leftarrow$  variables-of  $\Psi$ ]
```



```

definition state-to-strips-state
  :: ('variable, 'domain) sas-plus-problem
     $\Rightarrow$  ('variable, 'domain) state
     $\Rightarrow$  ('variable, 'domain) assignment strips-state
  ( $\varphi_S - \rightarrow 99$ )
  where state-to-strips-state  $\Psi$  s
     $\equiv$  let defined = filter ( $\lambda v. s v \neq \text{None}$ ) (variables-of  $\Psi$ ) in
      map-of (map ( $\lambda(v, a). ((v, a), \text{the}(s v) = a)$ )
        (concat [possible-assignments-for  $\Psi$  v. v  $\leftarrow$  defined]))
```



```

definition sasp-op-to-strips
  :: ('variable, 'domain) sas-plus-problem
     $\Rightarrow$  ('variable, 'domain) sas-plus-operator
     $\Rightarrow$  ('variable, 'domain) assignment strips-operator
  ( $\varphi_O - \rightarrow 99$ )
  where sasp-op-to-strips  $\Psi$  op  $\equiv$  let
    pre = precondition-of op
    ; add = effect-of op
    ; delete = [(v, a'). (v, a)  $\leftarrow$  effect-of op, a'  $\leftarrow$  filter ( $(\neq)$  a) (the (range-of  $\Psi$  v))]
    in STRIPS-Representation.operator-for pre add delete
```



```

definition sas-plus-problem-to-strips-problem
```

```

:: ('variable, 'domain) sas-plus-problem  $\Rightarrow$  ('variable, 'domain) assignment strips-problem

( $\varphi$  -  $\rightarrow$  99)
where sas-plus-problem-to-strips-problem  $\Psi \equiv$  let
  vs = [as.  $v \leftarrow$  variables-of  $\Psi$ , as  $\leftarrow$  (possible-assignments-for  $\Psi$ ) v]
  ; ops = map (sasp-op-to-strips  $\Psi$ ) (operators-of  $\Psi$ )
  ; I = state-to-strips-state  $\Psi$  (initial-of  $\Psi$ )
  ; G = state-to-strips-state  $\Psi$  (goal-of  $\Psi$ )
  in STRIPS-Representation.problem-for vs ops I G

definition sas-plus-parallel-plan-to-strips-parallel-plan
:: ('variable, 'domain) sas-plus-problem
 $\Rightarrow$  ('variable, 'domain) sas-plus-parallel-plan
 $\Rightarrow$  ('variable  $\times$  'domain) strips-parallel-plan
( $\varphi_P$  -  $\rightarrow$  99)
where sas-plus-parallel-plan-to-strips-parallel-plan  $\Psi \psi$ 
 $\equiv$  [[sasp-op-to-strips  $\Psi$  op. op  $\leftarrow$  ops]. ops  $\leftarrow$   $\psi$ ]

definition strips-state-to-state
:: ('variable, 'domain) sas-plus-problem
 $\Rightarrow$  ('variable, 'domain) assignment strips-state
 $\Rightarrow$  ('variable, 'domain) state
( $\varphi_S^{-1}$  -  $\rightarrow$  99)
where strips-state-to-state  $\Psi s$ 
 $\equiv$  map-of (filter ( $\lambda(v, a). s(v, a) = \text{Some True}$ ) (all-possible-assignments-for  $\Psi$ ))

definition strips-op-to-sasp
:: ('variable, 'domain) sas-plus-problem
 $\Rightarrow$  ('variable  $\times$  'domain) strips-operator
 $\Rightarrow$  ('variable, 'domain) sas-plus-operator
( $\varphi_O^{-1}$  -  $\rightarrow$  99)
where strips-op-to-sasp  $\Psi op$ 
 $\equiv$  let
  precondition = strips-operator.precondition-of op
  ; effect = strips-operator.add-effects-of op
  in () precondition-of = precondition, effect-of = effect ()

definition strips-parallel-plan-to-sas-plus-parallel-plan
:: ('variable, 'domain) sas-plus-problem
 $\Rightarrow$  ('variable  $\times$  'domain) strips-parallel-plan
 $\Rightarrow$  ('variable, 'domain) sas-plus-parallel-plan
( $\varphi_P^{-1}$  -  $\rightarrow$  99)
where strips-parallel-plan-to-sas-plus-parallel-plan  $\Pi \pi$ 
 $\equiv$  [[strips-op-to-sasp  $\Pi$  op. op  $\leftarrow$  ops]. ops  $\leftarrow$   $\pi$ ]

```

To set up the equivalence proof context, we declare a common locale for

both the STRIPS and SAS+ formalisms and make it a sublocale of both locale as well as . The declaration itself is omitted for brevity since it basically just joins locales and while renaming the locale parameter to avoid name clashes. The sublocale proofs are shown below.⁵

```
definition range-of-strips  $\Pi x \equiv \{ \text{True}, \text{False} \}$ 
```

```
context
begin
```

— Set-up simp rules.

```
lemma[simp]:
```

```
( $\varphi$   $\Psi$ ) = (let
```

```
vs = [as.  $v \leftarrow \text{variables-of } \Psi$ , as  $\leftarrow (\text{possible-assignments-for } \Psi)$  v]
```

```
; ops = map (sasp-op-to-strips  $\Psi$ ) (operators-of  $\Psi$ )
```

```
; I = state-to-strips-state  $\Psi$  (initial-of  $\Psi$ )
```

```
; G = state-to-strips-state  $\Psi$  (goal-of  $\Psi$ )
```

```
in STRIPS-Representation.problem-for vs ops I G)
```

```
and ( $\varphi_S$   $\Psi$  s)
```

```
= (let defined = filter ( $\lambda v. s v \neq \text{None}$ ) (variables-of  $\Psi$ ) in
```

```
map-of (map ( $\lambda(v, a). ((v, a), \text{the}(s v) = a)$ )
```

```
(concat [possible-assignments-for  $\Psi$  v. v  $\leftarrow$  defined])))
```

```
and ( $\varphi_O$   $\Psi$  op)
```

```
= (let
```

```
pre = precondition-of op
```

```
; add = effect-of op
```

```
; delete = [(v, a'). (v, a)  $\leftarrow$  effect-of op, a'  $\leftarrow$  filter ( $\neq$  a) (the (range-of  $\Psi$  v))]
```

```
in STRIPS-Representation.operator-for pre add delete)
```

```
and ( $\varphi_P$   $\Psi$   $\psi$ ) = [[ $\varphi_O$   $\Psi$  op. op  $\leftarrow$  ops]. ops  $\leftarrow$   $\psi$ ]
```

```
and ( $\varphi_S^{-1}$   $\Psi$  s') = map-of (filter ( $\lambda(v, a). s'(v, a) = \text{Some True}$ )
```

```
(all-possible-assignments-for  $\Psi$ ))
```

```
and ( $\varphi_O^{-1}$   $\Psi$  op') = (let
```

```
precondition = strips-operator.precondition-of op'
```

```
; effect = strips-operator.add-effects-of op'
```

```
in () precondition-of = precondition, effect-of = effect ()
```

```
and ( $\varphi_P^{-1}$   $\Psi$   $\pi$ ) = [[ $\varphi_O^{-1}$   $\Psi$  op. op  $\leftarrow$  ops]. ops  $\leftarrow$   $\pi$ ]
```

```
unfolding
```

```
SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
```

```
sas-plus-problem-to-strips-problem-def
```

```
SAS-Plus-STRIPS.state-to-strips-state-def
```

```
state-to-strips-state-def
```

⁵We append a suffix identifying the respective formalism to the the parameter names passed to the parameter names in the locale. This is necessary to avoid ambiguous names in the sublocale declarations. For example, without addition of suffixes the type for *initial-of* is ambiguous and will therefore not be bound to either *strips-problem.initial-of* or *sas-plus-problem.initial-of*. Isabelle in fact considers it to be a a free variable in this case. We also qualify the parent locales in the sublocale declarations by adding **strips**: and **sas_plus**: before the respective parent locale identifiers.

```

SAS-Plus-STRIPS.sasp-op-to-strips-def
sasp-op-to-strips-def
SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def
sas-plus-parallel-plan-to-strips-parallel-plan-def
SAS-Plus-STRIPS.strips-state-to-state-def
strips-state-to-state-def
SAS-Plus-STRIPS.strips-op-to-sasp-def
strips-op-to-sasp-def
SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
strips-parallel-plan-to-sas-plus-parallel-plan-def
by blast+

```

lemmas [simp] = range-of'-def

```

lemma is-valid-problem-sas-plus-dom-sas-plus-problem-range-of:
  assumes is-valid-problem-sas-plus  $\Psi$ 
  shows  $\forall v \in \text{set } ((\Psi)_{v+}). v \in \text{dom } (\text{sas-plus-problem.range-of } \Psi)$ 
  using assms(1) is-valid-problem-sas-plus-then(1)
  unfolding is-valid-problem-sas-plus-def
  by (meson domIff list.pred-set)

```

```

lemma possible-assignments-for-set-is:
  assumes  $v \in \text{dom } (\text{sas-plus-problem.range-of } \Psi)$ 
  shows  $\text{set } (\text{possible-assignments-for } \Psi v) = \{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \}$ 
proof -
  have sas-plus-problem.range-of  $\Psi v \neq \text{None}$ 
  using assms(1)
  by auto
  thus ?thesis
  unfolding possible-assignments-for-def
  by fastforce
qed

```

```

lemma all-possible-assignments-for-set-is:
  assumes  $\forall v \in \text{set } ((\Psi)_{v+}). \text{range-of } \Psi v \neq \text{None}$ 
  shows  $\text{set } (\text{all-possible-assignments-for } \Psi) = (\bigcup v \in \text{set } ((\Psi)_{v+}). \{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \})$ 
proof -
  let ?vs = variables-of  $\Psi$ 
  have set (all-possible-assignments-for  $\Psi$ ) =
     $(\bigcup (\text{set } '(\lambda v. \text{map } (\lambda(v, a). (v, a)) (\text{possible-assignments-for } \Psi v)) ' \text{set } ?vs))$ 
  unfolding all-possible-assignments-for-def set-concat
  using set-map
  by auto
  also have ... =  $(\bigcup ((\lambda v. \text{set } (\text{possible-assignments-for } \Psi v)) ' \text{set } ?vs))$ 
  using image-comp set-map
  by simp

```

```

also have ... = ( $\bigcup ((\lambda v. \{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \}) \text{ `set' } ?vs)$ )
  using possible-assignments-for-set-is assms
  by fastforce
  finally show ?thesis
    by force
qed

lemma state-to-strips-state-dom-is-i[simp]:
assumes  $\forall v \in \text{set } ((\Psi)_{V+}). v \in \text{dom } (\text{sas-plus-problem.range-of } \Psi)$ 
shows set (concat
  [possible-assignments-for  $\Psi$  v.  $v \leftarrow \text{filter } (\lambda v. s v \neq \text{None}) (\text{variables-of } \Psi)$ ])
= ( $\bigcup v \in \{ v \mid v. v \in \text{set } ((\Psi)_{V+}) \wedge s v \neq \text{None} \}$ .
   $\{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \})$ 
proof -
let ?vs = variables-of  $\Psi$ 
let ?defined = filter  $(\lambda v. s v \neq \text{None})$  ?vs
let ?l = concat [possible-assignments-for  $\Psi$  v.  $v \leftarrow ?defined$ ]
have nb: set ?defined =  $\{ v \mid v. v \in \text{set } ((\Psi)_{V+}) \wedge s v \neq \text{None} \}$ 
  unfolding set-filter
  by force
have set ?l =  $\bigcup (\text{set } \text{'set } (\text{map } (\text{possible-assignments-for } \Psi) ?defined))$ 
  unfolding set-concat image-Union
  by blast
also have ... =  $\bigcup (\text{set } \text{'(possible-assignments-for } \Psi) \text{ `set' } ?defined)$ 
  unfolding set-map
  by blast
also have ... = ( $\bigcup v \in \text{set } ?defined. \text{set } (\text{possible-assignments-for } \Psi v))$ 
  by blast
also have ... = ( $\bigcup v \in \{ v \mid v. v \in \text{set } ((\Psi)_{V+}) \wedge s v \neq \text{None} \}$ .
  set (possible-assignments-for  $\Psi v))$ 
  using nb
  by argo
finally show ?thesis
  using possible-assignments-for-set-is
    is-valid-problem-sas-plus-dom-sas-plus-problem-range-of assms(1)
  by fastforce
qed

```

```

lemma state-to-strips-state-dom-is:
— NOTE A transformed state is defined on all possible assignments for all variables defined in the original state.
assumes is-valid-problem-sas-plus  $\Psi$ 
shows dom ( $\varphi_S \Psi s$ )
= ( $\bigcup v \in \{ v \mid v. v \in \text{set } ((\Psi)_{V+}) \wedge s v \neq \text{None} \}$ .
   $\{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \})$ 
proof -
let ?vs = variables-of  $\Psi$ 
let ?l = concat [possible-assignments-for  $\Psi$  v.  $v \leftarrow \text{filter } (\lambda v. s v \neq \text{None})$  ?vs]
have nb:  $\forall v \in \text{set } ((\Psi)_{V+}). v \in \text{dom } (\text{sas-plus-problem.range-of } \Psi)$ 

```

```

using is-valid-problem-sas-plus-dom-sas-plus-problem-range-of assms(1)
by fastforce
have dom ( $\varphi_S \Psi s$ ) = fst ‘ set (map ( $\lambda(v, a)$ . (( $v, a$ ), the ( $s v$ ) =  $a$ )) ?l)
unfolding state-to-strips-state-def
  SAS-Plus-STRIPS.state-to-strips-state-def
using dom-map-of-conv-image-fst[of map ( $\lambda(v, a)$ . (( $v, a$ ), the ( $s v$ ) =  $a$ )) ?l]
by presburger
also have ... = fst ‘ ( $\lambda(v, a)$ . (( $v, a$ ), the ( $s v$ ) =  $a$ )) ‘ set ?l
unfolding set-map
by blast
also have ... = ( $\lambda(v, a)$ . fst (( $v, a$ ), the ( $s v$ ) =  $a$ )) ‘ set ?l
unfolding image-comp[of fst  $\lambda(v, a)$ . (( $v, a$ ), the ( $s v$ ) =  $a$ )] comp-apply[of
  fst  $\lambda(v, a)$ . (( $v, a$ ), the ( $s v$ ) =  $a$ )] prod.case-distrib
by blast
finally show ?thesis
unfolding state-to-strips-state-dom-is-i[OF nb]
by force
qed

corollary state-to-strips-state-dom-element-iff:
assumes is-valid-problem-sas-plus  $\Psi$ 
shows ( $v, a$ )  $\in$  dom ( $\varphi_S \Psi s$ )  $\longleftrightarrow$   $v \in$  set (( $\Psi$ ) $v_+$ )
   $\wedge s v \neq \text{None}$ 
   $\wedge a \in \mathcal{R}_+ \Psi v$ 
proof –
  let ?vs = variables-of  $\Psi$ 
  and ?s' =  $\varphi_S \Psi s$ 
show ?thesis
  proof (rule iffI)
    assume ( $v, a$ )  $\in$  dom ( $\varphi_S \Psi s$ )
    then have  $v \in \{ v \mid v. v \in$  set (( $\Psi$ ) $v_+$ )  $\wedge s v \neq \text{None} \}$ 
      and  $a \in \mathcal{R}_+ \Psi v$ 
    unfolding state-to-strips-state-dom-is[OF assms(1)]
    by force+
    moreover have  $v \in$  set ?vs and  $s v \neq \text{None}$ 
    using calculation(1)
    by fastforce+
    ultimately show
       $v \in$  set (( $\Psi$ ) $v_+$ )  $\wedge s v \neq \text{None} \wedge a \in \mathcal{R}_+ \Psi v$ 
      by force
  next
    assume  $v \in$  set (( $\Psi$ ) $v_+$ )  $\wedge s v \neq \text{None} \wedge a \in \mathcal{R}_+ \Psi v$ 
    then have  $v \in$  set (( $\Psi$ ) $v_+$ )
      and  $s v \neq \text{None}$ 
      and a-in-range-of-v:  $a \in \mathcal{R}_+ \Psi v$ 
      by simp+
    then have  $v \in \{ v \mid v. v \in$  set (( $\Psi$ ) $v_+$ )  $\wedge s v \neq \text{None} \}$ 
      by force
    thus ( $v, a$ )  $\in$  dom ( $\varphi_S \Psi s$ )

```

```

unfolding state-to-strips-state-dom-is[OF assms(1)]
using a-in-range-of-v
by blast
qed
qed

lemma state-to-strips-state-range-is:
assumes is-valid-problem-sas-plus  $\Psi$ 
and  $(v, a) \in \text{dom}(\varphi_S \Psi s)$ 
shows  $(\varphi_S \Psi s)(v, a) = \text{Some}(\text{the}(s v) = a)$ 
proof -
  let ?vs = variables-of  $\Psi$ 
  let ?s' =  $\varphi_S \Psi s$ 
  and ?defined = filter  $(\lambda v. s v \neq \text{None})$  ?vs
  let ?l = concat [possible-assignments-for  $\Psi$  v.  $v \leftarrow$  ?defined]
  have v-in-set-vs:  $v \in \text{set} \text{?vs}$ 
  and s-of-v-is-not-None:  $s v \neq \text{None}$ 
  and a-in-range-of-v:  $a \in \mathcal{R}_+ \Psi v$ 
  using assms(2)
  unfolding state-to-strips-state-dom-is[OF assms(1)]
  by fastforce+
  moreover {
    have  $\forall v \in \text{set}((\Psi)_{\mathcal{V}_+}). v \in \text{dom}(\text{sas-plus-problem.range-of } \Psi)$ 
    using assms(1) is-valid-problem-sas-plus-then(1)
    unfolding is-valid-problem-sas-plus-def
    by fastforce
    moreover have  $(v, a) \in \text{set} \text{?l}$ 
    unfolding state-to-strips-state-dom-is-i[OF calculation(1)]
    using s-of-v-is-not-None a-in-range-of-v v-in-set-vs
    by fastforce
    moreover have set ?l  $\neq \{\}$ 
    using calculation
    by fastforce
    — TODO slow.
    ultimately have  $(\varphi_S \Psi s)(v, a) = \text{Some}(\text{the}(s v) = a)$ 
    using map-of-from-function-graph-is-some-if[of
      ?l(v, a)  $\lambda(v, a). \text{the}(s v) = a$ ]
    unfolding SAS-Plus-STRIPS.state-to-strips-state-def
      state-to-strips-state-def Let-def case-prod-beta'
    by fastforce
  }
  thus ?thesis.
qed

```

— Show that a STRIPS state corresponding to a SAS+ state via transformation is consistent w.r.t. to the variable subset with same left component (i.e. the original SAS+ variable). This is the consistency notion corresponding to SAS+ consistency: i.e. if no two assignments with different values for the same variable

exist in the SAS+ state, then assigning the corresponding assignment both to *True* is impossible. Vice versa, if both are assigned to *True* then the assignment variables must be the same SAS+ variable/SAS+ value pair.

lemma *state-to-strips-state-effect-consistent*:

```

assumes is-valid-problem-sas-plus  $\Psi$ 
and  $(v, a) \in \text{dom}(\varphi_S \Psi s)$ 
and  $(v, a') \in \text{dom}(\varphi_S \Psi s)$ 
and  $(\varphi_S \Psi s)(v, a) = \text{Some True}$ 
and  $(\varphi_S \Psi s)(v, a') = \text{Some True}$ 
shows  $(v, a) = (v, a')$ 
proof –
  have  $\text{the}(s v) = a$  and  $\text{the}(s v) = a'$ 
  using state-to-strips-state-range-is[OF assms(1)] assms(2, 3, 4, 5)
  by fastforce+
  thus ?thesis
    by argo
qed
```

lemma *sasp-op-to-strips-set-delete-effects-is*:

```

assumes is-valid-operator-sas-plus  $\Psi$  op
shows set(strips-operator.delete-effects-of)  $(\varphi_O \Psi op)$ 
   $= (\bigcup(v, a) \in \text{set(effect-of op)}. \{(v, a') \mid a'. a' \in (\mathcal{R}_+ \Psi v) \wedge a' \neq a\})$ 
proof –
  let  $?D = \text{range-of } \Psi$ 
  and  $?effect = \text{effect-of } op$ 
  let  $?delete = [(v, a'). (v, a) \leftarrow ?effect, a' \leftarrow \text{filter}((\neq) a) (\text{the} (?D v))]$ 
  {
    fix  $v a$ 
    assume  $(v, a) \in \text{set } ?effect$ 
    then have  $(\mathcal{R}_+ \Psi v) = \text{set} (\text{the} (?D v))$ 
      using assms
      using is-valid-operator-sas-plus-then-range-of-sas-plus-op-is-set-range-of-op
      by fastforce
    hence  $\text{set} (\text{filter}((\neq) a) (\text{the} (?D v))) = \{a' \in \mathcal{R}_+ \Psi v. a' \neq a\}$ 
      unfolding set-filter
      by blast
  }
  } note nb = this
  {
    — TODO slow.
    have  $\text{set } ?delete = \bigcup (\text{set} ` (\lambda(v, a). \text{map} (\text{Pair } v) (\text{filter}((\neq) a) (\text{the} (?D v)))))$ 
      ' (set ?effect)
      using set-concat
      by simp
    also have  $\dots = \bigcup ((\lambda(v, a). \text{Pair } v ` \text{set} (\text{filter}((\neq) a) (\text{the} (?D v))))$ 
      ' (set ?effect)
      unfolding image-comp[of set] set-map
      by auto
  }
```

— TODO slow.

also have $\dots = (\bigcup (v, a) \in set \ ?effect. Pair v ' \{ a' \in \mathcal{R}_+ \Psi v. a' \neq a \})$
using nb
by fast

finally have $set \ ?delete = (\bigcup (v, a) \in set \ ?effect. \{ (v, a') \mid a'. a' \in (\mathcal{R}_+ \Psi v) \wedge a' \neq a \})$
by blast

}

thus $?thesis$

unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
 sasp-op-to-strips-def Let-def
by force

qed

lemma sas-plus-problem-to-strips-problem-variable-set-is:

— The variable set of Π is the set of all possible assignments that are possible using the variables of \mathcal{V} and the corresponding domains.

assumes is-valid-problem-sas-plus Ψ
shows $set ((\varphi \Psi)_\mathcal{V}) = (\bigcup v \in set ((\Psi)_\mathcal{V}+). \{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \})$

proof —

let $?Pi = \varphi \Psi$
and $?vs = variables-of \Psi$

{

have $set (strips-problem.variables-of ?Pi)$
 $= set [as. v \leftarrow ?vs, as \leftarrow possible-assignments-for \Psi v]$

unfolding sas-plus-problem-to-strips-problem-def
 SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
by force

also have $\dots = (\bigcup (set ' (\lambda v. possible-assignments-for \Psi v) ' set ?vs))$
using set-concat
by auto

also have $\dots = (\bigcup ((set \circ possible-assignments-for \Psi) ' set ?vs))$
using image-comp[of set $\lambda v. possible-assignments-for \Psi v$ set ?vs]
by argo

finally have $set (strips-problem.variables-of ?Pi)$
 $= (\bigcup v \in set ?vs. set (possible-assignments-for \Psi v))$

unfolding o-apply
by blast

}

moreover have $\forall v \in set ?vs. v \in dom (sas-plus-problem.range-of \Psi)$
using is-valid-problem-sas-plus-dom-sas-plus-problem-range-of assms
by force

ultimately show $?thesis$
using possible-assignments-for-set-is
by force

qed

corollary sas-plus-problem-to-strips-problem-variable-set-element-iff:
assumes is-valid-problem-sas-plus Ψ

shows $(v, a) \in \text{set}((\varphi \Psi)_V) \longleftrightarrow v \in \text{set}((\Psi)_{V+}) \wedge a \in \mathcal{R}_+ \Psi v$
unfolding sas-plus-problem-to-strips-problem-variable-set-is[*OF assms*]
by fast

lemma sasp-op-to-strips-effect-consistent:

assumes $op = \varphi_O \Psi op'$
and $op' \in \text{set}((\Psi)_{O+})$
and is-valid-operator-sas-plus $\Psi op'$

shows $(v, a) \in \text{set}(\text{add-effects-of } op) \longrightarrow (v, a) \notin \text{set}(\text{delete-effects-of } op)$
and $(v, a) \in \text{set}(\text{delete-effects-of } op) \longrightarrow (v, a) \notin \text{set}(\text{add-effects-of } op)$

proof –

have nb: $(\forall (v, a) \in \text{set}(\text{effect-of } op'). \forall (v', a') \in \text{set}(\text{effect-of } op'). v \neq v' \vee a = a')$
using assms(3)
unfolding is-valid-operator-sas-plus-def
SAS-Plus-Representation.is-valid-operator-sas-plus-def list-all-iff ListMem-iff

Let-def
by argo

{

fix $v a$
assume $v-a$ -in-add-effects-of- op : $(v, a) \in \text{set}(\text{add-effects-of } op)$
have $(v, a) \notin \text{set}(\text{delete-effects-of } op)$
proof (rule ccontr)
assume $\neg(v, a) \notin \text{set}(\text{delete-effects-of } op)$
moreover have $(v, a) \in (\bigcup (v, a') \in \text{set}(\text{effect-of } op'). \{(v, a'') \mid a''. a'' \in (\mathcal{R}_+ \Psi v) \wedge a'' \neq a'\})$
using calculation sasp-op-to-strips-set-delete-effects-is
assms
by blast

moreover obtain a' **where** $(v, a') \in \text{set}(\text{effect-of } op')$ **and** $a \neq a'$
using calculation
by blast

moreover have $(v, a') \in \text{set}(\text{add-effects-of } op)$
using assms(1) calculation(3)
unfolding sasp-op-to-strips-def
SAS-Plus-STRIPS.sasp-op-to-strips-def
Let-def
by fastforce

moreover have $(v, a) \in \text{set}(\text{effect-of } op')$ **and** $(v, a') \in \text{set}(\text{effect-of } op')$
using assms(1) $v-a$ -in-add-effects-of- op calculation(5)
unfolding sasp-op-to-strips-def
SAS-Plus-STRIPS.sasp-op-to-strips-def
Let-def
by force+

ultimately show False
using nb
by fast

qed

```

}

moreover {
  fix v a
  assume v-a-in-delete-effects-of-op: (v, a) ∈ set (delete-effects-of op)
  have (v, a) ∉ set (add-effects-of op)
  proof (rule ccontr)
    assume ¬(v, a) ∉ set (add-effects-of op)
    moreover have (v, a) ∈ set (add-effects-of op)
      using calculation
      by blast
    moreover have (v, a) ∈
      (⋃(v, a') ∈ set (effect-of op'). { (v, a'')
        | a''. a'' ∈ (R+ Ψ v) ∧ a'' ≠ a' })
      using sasp-op-to-strips-set-delete-effects-is
      nb assms(1, 3) v-a-in-delete-effects-of-op
      by force
    moreover obtain a' where (v, a') ∈ set (effect-of op') and a ≠ a'
      using calculation
      by blast
    moreover have (v, a') ∈ set (add-effects-of op)
      using assms(1) calculation(4)
      unfolding sasp-op-to-strips-def
      SAS-Plus-STRIPS.sasp-op-to-strips-def
      Let-def
      by fastforce
    moreover have (v, a) ∈ set (effect-of op') and (v, a') ∈ set (effect-of op')
      using assms(1) calculation(2, 6)
      unfolding sasp-op-to-strips-def
      SAS-Plus-STRIPS.sasp-op-to-strips-def Let-def
      by force+
    ultimately show False
      using nb
      by fast
qed
}

ultimately show (v, a) ∈ set (add-effects-of op)
  → (v, a) ∉ set (delete-effects-of op)
  and (v, a) ∈ set (delete-effects-of op)
  → (v, a) ∉ set (add-effects-of op)
  by blast+
qed

lemma is-valid-problem-sas-plus-then-strips-transformation-too-iii:
  assumes is-valid-problem-sas-plus Ψ
  shows list-all (is-valid-operator-strips (φ Ψ))
    (strips-problem.operators-of (φ Ψ))
proof -
  let ?Π = φ Ψ
  let ?vs = strips-problem.variables-of ?Π

```

```

{
  fix op
  assume op ∈ set (strips-problem.operators-of ?Π)
  — TODO slow.
  then obtain op'
    where op-is: op = φO Ψ op'
      and op'-in-operators: op' ∈ set ((Ψ)O+)
    unfolding SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
      sas-plus-problem-to-strips-problem-def
      sasp-op-to-strips-def
    by auto
  then have is-valid-op': is-valid-operator-sas-plus Ψ op'
    using sublocale-sas-plus-finite-domain-representation-ii(2)[OF assms]
    by blast
  moreover {
    fix v a
    assume (v, a) ∈ set (strips-operator.precondition-of op)
    — TODO slow.
    then have (v, a) ∈ set (sas-plus-operator.precondition-of op')
      using op-is
    unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
      sasp-op-to-strips-def
    by force
  moreover have v ∈ set ((Ψ)V+)
    using is-valid-op' calculation
    using is-valid-operator-sas-plus-then(1)
    by fastforce
  moreover have a ∈ R+ Ψ v
    using is-valid-op' calculation(1)
    using is-valid-operator-sas-plus-then(2)
    by fast
  ultimately have (v, a) ∈ set ?vs
  using sas-plus-problem-to-strips-problem-variable-set-element-iff[OF assms(1)]
    by force
}
moreover {
  fix v a
  assume (v, a) ∈ set (strips-operator.add-effects-of op)
  then have (v, a) ∈ set (effect-of op')
    using op-is
  unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
    sasp-op-to-strips-def
  by force
  then have v ∈ set ((Ψ)V+) and a ∈ R+ Ψ v
    using is-valid-operator-sas-plus-then is-valid-op'
    by fastforce+
  hence (v, a) ∈ set ?vs
  using sas-plus-problem-to-strips-problem-variable-set-element-iff[OF assms(1)]
    by force
}

```

```

}
moreover {
  fix v a'
  assume v-a'-in-delete-effects:  $(v, a') \in \text{set}(\text{strips-operator.delete-effects-of } op)$ 
  moreover have set(strips-operator.delete-effects-of op)
    =  $(\bigcup(v, a) \in \text{set}(\text{effect-of } op'))$ .
       $\{ (v, a') \mid a' \in (\mathcal{R}_+ \Psi v) \wedge a' \neq a \}$ 
  using sasp-op-to-strips-set-delete-effects-is[OF is-valid-op']
    op-is
  by simp
  — TODO slow.
  ultimately obtain a
    where  $(v, a) \in \text{set}(\text{effect-of } op')$ 
      and a'-in:  $a' \in \{ a' \in \mathcal{R}_+ \Psi v. a' \neq a \}$ 
    by blast
  moreover have is-valid-operator-sas-plus  $\Psi op'$ 
    using op'-in-operators assms(1)
      is-valid-problem-sas-plus-then(2)
    by blast
  moreover have  $v \in \text{set}((\Psi)_{\mathcal{V}_+})$ 
    using is-valid-operator-sas-plus-then calculation(1, 3)
    by fast
  moreover have  $a' \in \mathcal{R}_+ \Psi v$ 
    using a'-in
    by blast
  ultimately have  $(v, a') \in \text{set} ?vs$ 
  using sas-plus-problem-to-strips-problem-variable-set-element-iff[OF assms(1)]
    by force
}
ultimately have set(strips-operator.precondition-of op)  $\subseteq \text{set} ?vs$ 
   $\wedge \text{set}(\text{strips-operator.add-effects-of } op) \subseteq \text{set} ?vs$ 
   $\wedge \text{set}(\text{strips-operator.delete-effects-of } op) \subseteq \text{set} ?vs$ 
   $\wedge (\forall v \in \text{set}(\text{add-effects-of } op). v \notin \text{set}(\text{delete-effects-of } op))$ 
   $\wedge (\forall v \in \text{set}(\text{delete-effects-of } op). v \notin \text{set}(\text{add-effects-of } op))$ 
  using sasp-op-to-strips-effect-consistent[OF
    op-is op'-in-operators is-valid-op']
  by fast+
}
thus ?thesis
unfolding is-valid-operator-strips-def STRIPS-Representation.is-valid-operator-strips-def

list-all-iff ListMem-iff Let-def
by blast
qed

lemma is-valid-problem-sas-plus-then-strips-transformation-too-iv:
  assumes is-valid-problem-sas-plus  $\Psi$ 
  shows  $\forall x. ((\varphi \Psi)_I) x \neq \text{None}$ 

```

```

 $\longleftrightarrow \text{ListMem } x (\text{strips-problem.variables-of } (\varphi \Psi))$ 
proof -
  let  $?vs = \text{variables-of } \Psi$ 
  and  $?I = \text{initial-of } \Psi$ 
  and  $?Pi = \varphi \Psi$ 
  let  $?vs' = \text{strips-problem.variables-of } ?Pi$ 
  and  $?I' = \text{strips-problem.initial-of } ?Pi$ 
  {
    fix  $x$ 
    have  $?I' x \neq \text{None} \longleftrightarrow \text{ListMem } x ?vs'$ 
    proof (rule iffI)
      assume  $I'\text{-of-}x\text{-is-not-None}: ?I' x \neq \text{None}$ 
      then have  $x \in \text{dom } ?I'$ 
        by blast
      moreover obtain  $v a$  where  $x\text{-is: } x = (v, a)$ 
        by fastforce
      ultimately have  $(v, a) \in \text{dom } ?I'$ 
        by blast
      then have  $v \in \text{set } ?vs$ 
        and  $?I v \neq \text{None}$ 
        and  $a \in \mathcal{R}_+ \Psi v$ 
      using state-to-strips-state-dom-element-iff[ $\text{OF assms}(1)$ , of  $v a ?I$ ]
      unfolding sas-plus-problem-to-strips-problem-def
        SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
        state-to-strips-state-def
        SAS-Plus-STRIPS.state-to-strips-state-def
      by simp+
      thus  $\text{ListMem } x ?vs'$ 
      unfolding ListMem-iff
      using sas-plus-problem-to-strips-problem-variable-set-element-iff[ $\text{OF assms}(1)$ ]

       $x\text{-is}$ 
      by auto
  next
    assume list-mem-x-vs':  $\text{ListMem } x ?vs'$ 
    then obtain  $v a$  where  $x\text{-is: } x = (v, a)$ 
      by fastforce
    then have  $(v, a) \in \text{set } ?vs'$ 
      using list-mem-x-vs'
      unfolding ListMem-iff
      by blast
    then have  $v \in \text{set } ?vs$  and  $a \in \mathcal{R}_+ \Psi v$ 
    using sas-plus-problem-to-strips-problem-variable-set-element-iff[ $\text{OF assms}(1)$ ]
      by force+
    moreover have  $?I v \neq \text{None}$ 
      using is-valid-problem-sas-plus-then(3) assms(1) calculation(1)
      by auto
    ultimately have  $(v, a) \in \text{dom } ?I'$ 
      using state-to-strips-state-dom-element-iff[ $\text{OF assms}(1)$ , of  $v a ?I$ ]

```

```

unfolding SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
  sas-plus-problem-to-strips-problem-def
  SAS-Plus-STRIPS.state-to-strips-state-def
  state-to-strips-state-def
  by force
  thus ?I' x ≠ None
    using x-is
    by fastforce
  qed
}
thus ?thesis
  by simp
qed

private lemma is-valid-problem-sas-plus-then-strips-transformation-too-v:
assumes is-valid-problem-sas-plus  $\Psi$ 
shows  $\forall x. ((\varphi \Psi)_G) x \neq \text{None}$ 
   $\longrightarrow \text{ListMem } x (\text{strips-problem.variables-of } (\varphi \Psi))$ 

proof -
let ?vs = variables-of  $\Psi$ 
and ?D = range-of  $\Psi$ 
and ?G = goal-of  $\Psi$ 
let ? $\Pi$  =  $\varphi \Psi$ 
let ?vs' = strips-problem.variables-of ? $\Pi$ 
  and ?G' = strips-problem.goal-of ? $\Pi$ 
have nb: ?G' =  $\varphi_S \Psi$  ?G
  by simp
{
fix x
assume ?G' x ≠ None
moreover obtain v a where x = (v, a)
  by fastforce
moreover have (v, a) ∈ dom ?G'
  using domIff calculation(1, 2)
  by blast
moreover have v ∈ set ?vs and a ∈  $\mathcal{R}_+$   $\Psi$  v
  using state-to-strips-state-dom-is[OF assms(1), of ?G] nb calculation(3)
  by auto+
ultimately have x ∈ set ?vs'
using sas-plus-problem-to-strips-problem-variable-set-element-iff[OF assms(1)]
  by auto
}
thus ?thesis
  unfolding ListMem-iff
  by simp
qed

```

We now show that given Ψ is a valid SASPlus problem, then $\Pi \equiv \varphi \Psi$ is a valid STRIPS problem as well. The proof unfolds the definition of

is-valid-problem-strips and then shows each of the conjuncts for Π . These are:

- Π has at least one variable;
- Π has at least one operator;
- all operators are valid STRIPS operators;
- Π_I is defined for all variables in Π_V ; and finally,
- if $(\Pi_G) x$ is defined, then x is in Π_V .

theorem

is-valid-problem-sas-plus-then-strips-transformation-too:

assumes *is-valid-problem-sas-plus* Ψ
shows *is-valid-problem-strips* ($\varphi \Psi$)

proof –

```

let ? $\Pi$  =  $\varphi \Psi$ 
have list-all (is-valid-operator-strips ( $\varphi \Psi$ ))
  (strips-problem.operators-of ( $\varphi \Psi$ ))
  using is-valid-problem-sas-plus-then-strips-transformation-too-iii[OF assms].
moreover have  $\forall x. ((\varphi \Psi)_I x \neq \text{None}) =$ 
  ListMem x (strips-problem.variables-of ( $\varphi \Psi$ ))
  using is-valid-problem-sas-plus-then-strips-transformation-too-iv[OF assms].
moreover have  $\forall x. ((\varphi \Psi)_G x \neq \text{None} \longrightarrow$ 
  ListMem x (strips-problem.variables-of ( $\varphi \Psi$ ))
  using is-valid-problem-sas-plus-then-strips-transformation-too-v[OF assms].
ultimately show ?thesis
  using is-valid-problem-strips-def
  unfolding STRIPS-Representation.is-valid-problem-strips-def
  by fastforce
qed
```

lemma *set-filter-all-possible-assignments-true-is*:

assumes *is-valid-problem-sas-plus* Ψ
shows set (filter ($\lambda(v, a). s(v, a) = \text{Some True}$)
 (all-possible-assignments-for Ψ))
 $= (\bigcup v \in \text{set } ((\Psi)_V). \text{Pair } v \setminus \{ a \in \mathcal{R}_+ \Psi v. s(v, a) = \text{Some True} \})$

proof –

```

let ?vs = sas-plus-problem.variables-of  $\Psi$ 
and ?P = ( $\lambda(v, a). s(v, a) = \text{Some True}$ )
let ?l = filter ?P (all-possible-assignments-for  $\Psi$ )
have set ?l = set (concat (map (filter ?P) (map (possible-assignments-for  $\Psi$ ) ?vs)))
  unfolding all-possible-assignments-for-def
  filter-concat[of ?P map (possible-assignments-for  $\Psi$ ) (sas-plus-problem.variables-of  $\Psi$ )]
  by simp
```

```

also have ... = set (concat (map (λv. filter ?P (possible-assignments-for Ψ v)) ?vs))
  unfolding map-map comp-apply
  by blast
also have ... = set (concat (map (λv. map (Pair v) (filter (?P o Pair v) (the (range-of Ψ v)))) ?vs))
  unfolding possible-assignments-for-def filter-map
  by blast
also have ... = set (concat (map (λv. map (Pair v) (filter (λa. s (v, a) = Some True)
  (the (range-of Ψ v)))) ?vs))
  unfolding comp-apply
  by fast
also have ... = ∪(set ‘((λv. map (Pair v) (filter (λa. s (v, a) = Some True)
  (the (range-of Ψ v)))) ‘ set ?vs))
  unfolding set-concat set-map..
also have ... = (∪v ∈ set ?vs. Pair v ‘ set (filter (λa. s (v, a) = Some True)
  (the (range-of Ψ v))))
  unfolding image-comp[of set] comp-apply set-map..
also have ... = (∪v ∈ set ?vs. Pair v
  ‘ { a ∈ set (the (range-of Ψ v)). s (v, a) = Some True })
  unfolding set-filter..
finally show ?thesis
  using set-the-range-of-is-range-of-sas-plus-if[OF assms(1)]
  by auto
qed

```

```

lemma strips-state-to-state-dom-is:
assumes is-valid-problem-sas-plus Ψ
shows dom (φS-1 Ψ s)
  = (∪v ∈ set ((Ψ)V+).
    { v | a. a ∈ (R+ Ψ v) ∧ s (v, a) = Some True })
proof –
  let ?vs = variables-of Ψ
  and ?s' = φS-1 Ψ s
  and ?P = (λ(v, a). s (v, a) = Some True)
  let ?l = filter ?P (all-possible-assignments-for Ψ)
  {
    have fst ‘ set ?l = fst ‘ (∪v ∈ set ?vs. Pair v
      ‘ { a ∈ R+ Ψ v. s (v, a) = Some True })
      unfolding set-filter-all-possible-assignments-true-is[OF assms]
      by auto
    also have ... = (∪v ∈ set ?vs. fst ‘ Pair v
      ‘ { a ∈ R+ Ψ v. s (v, a) = Some True })
      by blast
    also have ... = (∪v ∈ set ?vs. (λa. fst (Pair v a)) ‘
      { a ∈ R+ Ψ v. s (v, a) = Some True })
      unfolding image-comp[of fst] comp-apply
      by blast
  }

```

```

finally have fst ` set ?l = (Union v in set ((Psi)_V_+).
  { v | a. a in (R_+ Psi v) ∧ s(v, a) = Some True })
  unfolding setcompr-eq-image fst-conv
  by simp
}
thus ?thesis
  unfolding SAS-Plus-STRIPS.stripss-state-to-state-def
  stripss-state-to-state-def dom-map-of-conv-image-fst
  by blast
qed

lemma stripss-state-to-state-range-is:
assumes is-valid-problem-sas-plus Psi
  and v in set ((Psi)_V_+)
  and a in R_+ Psi v
  and (v, a) in dom s'
  and ∀(v, a) in dom s'. ∀(v, a') in dom s'. s'(v, a) = Some True ∧ s'(v, a') =
  Some True
    → (v, a) = (v, a')
  shows (φ_S⁻¹ Psi s') v = Some a ↔ the (s'(v, a))
proof -
let ?vs = variables-of Psi
  and ?D = range-of Psi
  and ?s = φ_S⁻¹ Psi s'
let ?as = all-possible-assignments-for Psi
let ?l = filter (λ(v, a). s'(v, a) = Some True) ?as
show ?thesis
  proof (rule iffI)
    assume s-of-v-is-Some-a: ?s v = Some a
    {
      have (v, a) in set ?l
        using s-of-v-is-Some-a
        unfolding SAS-Plus-STRIPS.stripss-state-to-state-def
        stripss-state-to-state-def
        using map-of-SomeD
        by fast
      hence s'(v, a) = Some True
        unfolding all-possible-assignments-for-set-is set-filter
        by blast
    }
    thus the (s'(v, a))
      by simp
  next
    assume the-of-s'-of-v-a-is: the (s'(v, a))
    then have s'-of-v-a-is-Some-true: s'(v, a) = Some True
      using assms(4) domIff
      by force
    — TODO slow.
    moreover {

```

```

fix v v' a a'
assume (v, a) ∈ set ?l and (v', a') ∈ set ?l
then have v ≠ v' ∨ a = a'
using assms(5)
by fastforce
}
moreover {
have ∀ v ∈ set ((Ψ)ν+). sas-plus-problem.range-of Ψ v ≠ None
using is-valid-problem-sas-plus-then(1) assms(1)
range-of-not-empty
by force

moreover have set ?l = Set.filter (λ(v, a). s'(v, a) = Some True)
(∪ v ∈ set ((Ψ)ν+). { (v, a) | a. a ∈ R+ Ψ v })
using all-possible-assignments-for-set-is calculation
by force

ultimately have (v, a) ∈ set ?l
using assms(2, 3) s'-of-v-a-is-Some-true
by simp
}
ultimately show ?s v = Some a
using map-of-constant-assignments-defined-if[of ?l v a]
unfolding SAS-Plus-STRIPS.stripss-state-to-state-def
strips-state-to-state-def
by blast
qed
qed

```

— NOTE A technical lemma which characterizes the return values for possible assignments (v, a) when used as variables on a state s which was transformed from.

```

lemma strips-state-to-state-inverse-is-i:
assumes is-valid-problem-sas-plus Ψ
and v ∈ set ((Ψ)ν+)
and s v ≠ None
and a ∈ R+ Ψ v
shows (φs Ψ s) (v, a) = Some (the (s v) = a)
proof -
let ?vs = sas-plus-problem.variables-of Ψ
let ?s' = φs Ψ s
and ?f = λ(v, a). the (s v) = a
and ?l = concat (map (possible-assignments-for Ψ) (filter (λv. s v ≠ None) ?vs))
have (v, a) ∈ dom ?s'
using state-to-strips-state-dom-element-iff[
OF assms(1)] assms(2, 3, 4)
by presburger
{
have v ∈ { v | v. v ∈ set ((Ψ)ν+) ∧ s v ≠ None }

```

```

using assms(2, 3)
by blast
moreover have  $\forall v \in \text{set } ((\Psi)_{V+}). v \in \text{dom } (\text{sas-plus-problem.range-of } \Psi)$ 
using is-valid-problem-sas-plus-dom-sas-plus-problem-range-of[OF assms(1)].

moreover have set  $?l = (\bigcup v \in \{ v \mid v. v \in \text{set } ((\Psi)_{V+}) \wedge s v \neq \text{None} \}.$ 
 $\{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \})$ 
unfolding state-to-strips-state-dom-is-i[OF calculation(2)]
by blast
ultimately have  $(v, a) \in \text{set } ?l$ 
using assms(4)
by blast
}
moreover have set  $?l \neq \{ \}$ 
using calculation
by force
— TODO slow.
ultimately show ?thesis
unfolding SAS-Plus-STRIPS.state-to-strips-state-def
state-to-strips-state-def
using map-of-from-function-graph-is-some-if[of  $?l (v, a) ?f$ ]
unfolding split-def
by fastforce
qed

```

— NOTE Show that the transformed strips state is consistent for pairs of assignments (v, a) and (v, a') in the same variable domain.

```

corollary strips-state-to-state-inverse-is-ii:
assumes is-valid-problem-sas-plus  $\Psi$ 
and  $v \in \text{set } ((\Psi)_{V+})$ 
and  $s v = \text{Some } a$ 
and  $a \in \mathcal{R}_+ \Psi v$ 
and  $a' \in \mathcal{R}_+ \Psi v$ 
and  $a' \neq a$ 
shows  $(\varphi_S \Psi s) (v, a') = \text{Some False}$ 
proof —
have  $s v \neq \text{None}$ 
using assms(3)
by simp
moreover have  $(s v) \neq a'$ 
using assms(3, 6)
by simp
ultimately show ?thesis
using strips-state-to-state-inverse-is-i[OF assms(1, 2) - assms(5)]
by force
qed

```

— NOTE Follows from the corollary above by contraposition.

corollary strips-state-to-state-inverse-is-iii:
assumes is-valid-problem-sas-plus Ψ
and $v \in \text{set } ((\Psi)_{V+})$
and $s v = \text{Some } a$
and $a \in \mathcal{R}_+ \Psi v$
and $a' \in \mathcal{R}_+ \Psi v$
and $(\varphi_S \Psi s)(v, a) = \text{Some True}$
and $(\varphi_S \Psi s)(v, a') = \text{Some True}$
shows $a = a'$
proof –
have $s v \neq \text{None}$
using assms(3)
by blast
thus ?thesis
using strips-state-to-state-inverse-is-i[OF assms(1, 2)] assms(4, 5, 6, 7)
by auto
qed

lemma strips-state-to-state-inverse-is-iv:
assumes is-valid-problem-sas-plus Ψ
and $\text{dom } s \subseteq \text{set } ((\Psi)_{V+})$
and $v \in \text{set } ((\Psi)_{V+})$
and $s v = \text{Some } a$
and $a \in \mathcal{R}_+ \Psi v$
shows $(\varphi_S^{-1} \Psi (\varphi_S \Psi s)) v = \text{Some } a$
proof –
let ?vs = variables-of Ψ
and ?s' = $\varphi_S \Psi s$
let ?s'' = $\varphi_S^{-1} \Psi ?s'$
let ?P = $\lambda(v, a). ?s'(v, a) = \text{Some True}$
let ?as = filter ?P (all-possible-assignments-for Ψ)
and ?As = Set.filter ?P ($\bigcup v \in \text{set } ((\Psi)_{V+}). \{(v, a) \mid a. a \in \mathcal{R}_+ \Psi v\}$)
{
have $\forall v \in \text{set } ((\Psi)_{V+}). \text{range-of } \Psi v \neq \text{None}$
using sublocale-sas-plus-finite-domain-representation-ii(1)[OF assms(1)]
range-of-not-empty
by force

hence set ?as = ?As
unfolding set-filter
using all-possible-assignments-for-set-is
by force
}
} note nb = this
moreover {
{
fix $v v' a a'$

```

assume ( $v, a \in \text{set } ?as$ 
       $\text{and } (v', a') \in \text{set } ?as$ 
then have ( $v, a \in ?As \text{ and } (v', a') \in ?As$ 
      using nb
      by blast+
then have  $v\text{-in-set-vs: } v \in \text{set } ?vs \text{ and } v'\text{-in-set-vs: } v' \in \text{set } ?vs$ 
      and  $a\text{-in-range-of-}v: a \in \mathcal{R}_+ \Psi v$ 
      and  $a'\text{-in-range-of-}v: a' \in \mathcal{R}_+ \Psi v'$ 
      and  $s'\text{-of-}v\text{-a-is: } ?s'(v, a) = \text{Some True and } s'\text{-of-}v'\text{-a'-is: } ?s'(v', a') = \text{Some True}$ 
      by fastforce+
then have ( $v, a \in \text{dom } ?s'$ 
      by blast
then have  $s\text{-of-}v\text{-is-Some-a: } s v = \text{Some } a$ 
      using state-to-strips-state-dom-element-iff[ $\text{OF assms}(1)$ ]
      state-to-strips-state-range-is[ $\text{OF assms}(1)$ ]  $s'\text{-of-}v\text{-a-is}$ 
      by auto
have  $v \neq v' \vee a = a'$ 
proof (rule ccontr)
assume  $\neg(v \neq v' \vee a = a')$ 
then have  $v = v' \text{ and } a \neq a'$ 
by simp+
thus False
using  $a'\text{-in-range-of-}v \text{ a-in-range-of-}v \text{ assms}(1) \text{ v'-in-set-vs } s'\text{-of-}v'\text{-a'-is}$ 
 $s'\text{-of-}v\text{-a-is } s\text{-of-}v\text{-is-Some-a strips-state-to-state-inverse-is-iii}$ 
by force
qed
}
moreover {
have  $s v \neq \text{None}$ 
using assms(4)
by simp
then have  $?s'(v, a) = \text{Some True}$ 
using strips-state-to-state-inverse-is-i[ $\text{OF assms}(1, 3) - \text{assms}(5)$ ]
assms(4)
by simp

hence ( $v, a \in \text{set } ?as$ 
using all-possible-assignments-for-set-is assms(3, 5) nb
by simp
}
ultimately have map-of  $?as v = \text{Some } a$ 
using map-of-constant-assignments-defined-if[of  $?as v a$ ]
by blast
}
— TODO slow.
thus  $?thesis$ 
unfolding SAS-Plus-STRIPS.strips-state-to-state-def
strips-state-to-state-def all-possible-assignments-for-def

```

by simp

qed

— Show that that $\varphi_S^{-1} \Psi$ is the inverse of $\varphi_S \Psi$. The additional constraints $\text{dom } s = \text{set } (\Psi_{\mathcal{V}_+})$ and $\forall v \in \text{dom } s. \text{the } (s v) \in \mathcal{R}_+ \Psi v$ are needed because the transformation functions only take into account variables and domains declared in the problem description. They also sufficiently characterize a state that was transformed from SAS+ to STRIPS.

lemma strips-state-to-state-inverse-is:

assumes is-valid-problem-sas-plus Ψ

and $\text{dom } s \subseteq \text{set } ((\Psi)_{\mathcal{V}_+})$

and $\forall v \in \text{dom } s. \text{the } (s v) \in \mathcal{R}_+ \Psi v$

shows $s = (\varphi_S^{-1} \Psi (\varphi_S \Psi s))$

proof —

let $?vs = \text{variables-of } \Psi$

and $?D = \text{range-of } \Psi$

let $?s' = \varphi_S \Psi s$

let $?s'' = \varphi_S^{-1} \Psi ?s'$

— NOTE Show the thesis by proving that s and $?s'$ are mutual submaps.

{

fix v

assume $v \text{-in-} \text{dom-} s: v \in \text{dom } s$

then have $v \text{-in-} \text{set-} vs: v \in \text{set } ?vs$

using assms(2)

by auto

then obtain a

where the- s - v -is-a: $s v = \text{Some } a$

and $a \text{-in-} \text{dom-} v: a \in \mathcal{R}_+ \Psi v$

using assms(2, 3) $v \text{-in-} \text{dom-} s$

by force

moreover have $?s'' v = \text{Some } a$

using strips-state-to-state-inverse-is-iv[$\text{OF assms}(1, 2)$] $v \text{-in-} \text{set-} vs$

the- s - v -is-a $a \text{-in-} \text{dom-} v$

by force

ultimately have $s v = ?s'' v$

by argo

} note nb = this

moreover {

fix v

assume $v \in \text{dom } ?s''$

then obtain a

where $a \in \mathcal{R}_+ \Psi v$

and $?s'(v, a) = \text{Some True}$

using strips-state-to-state-dom-is[$\text{OF assms}(1)$]

by blast

then have $(v, a) \in \text{dom } ?s'$

by blast

```

then have s v ≠ None
  using state-to-strips-state-dom-is[OF assms(1)]
  by simp
then obtain a where s v = Some a
  by blast
hence ?s'' v = s v
  using nb
  by fastforce
}
— TODO slow.
ultimately show ?thesis
  using map-le-antisym[of s ?s''] map-le-def
  unfolding strips-state-to-state-def
    state-to-strips-state-def
  by blast
qed

```

— An important lemma which shows that the submap relation does not change if we transform the states on either side from SAS+ to STRIPS.

```

lemma state-to-strips-state-map-le-iff:
  assumes is-valid-problem-sas-plus Ψ
  and dom s ⊆ set ((Ψ)_{V+})
  and ∀ v ∈ dom s. the (s v) ∈ R_+ Ψ v
  shows s ⊆_m t ↔ (φ_S Ψ s) ⊆_m (φ_S Ψ t)
proof —
  let ?vs = variables-of Ψ
  and ?D = range-of Ψ
  and ?s' = φ_S Ψ s
  and ?t' = φ_S Ψ t
  show ?thesis
    proof (rule iffI)
      assume s-map-le-t: s ⊆_m t
      {
        fix v a
        assume (v, a) ∈ dom ?s'
        moreover have v ∈ set ((Ψ)_{V+}) and s v ≠ None and a ∈ R_+ Ψ v
          using state-to-strips-state-dom-is[OF assms(1)] calculation
          by blast+
        moreover have ?s' (v, a) = Some (the (s v) = a)
          using state-to-strips-state-range-is[OF assms(1)] calculation(1)
          by meson
        moreover have v ∈ dom s
          using calculation(3)
          by auto
        moreover have s v = t v
          using s-map-le-t calculation(6)
          unfolding map-le-def
          by blast
        moreover have t v ≠ None
      }
    
```

```

using calculation(3, 7)
by argo
moreover have  $(v, a) \in \text{dom } ?t'$ 
  using state-to-strips-state-dom-is[ $\text{OF assms}(1)$ ] calculation(2, 4, 8)
  by blast
moreover have  $?t'(v, a) = \text{Some } (\text{the } (t v) = a)$ 
  using state-to-strips-state-range-is[ $\text{OF assms}(1)$ ] calculation(9)
  by simp
ultimately have  $?s'(v, a) = ?t'(v, a)$ 
  by presburger
}
thus  $?s' \subseteq_m ?t'$ 
  unfolding map-le-def
  by fast
next
assume  $s'\text{-map-le-}t': ?s' \subseteq_m ?t'$ 
{
fix  $v$ 
assume  $v\text{-in-}\text{dom-}s: v \in \text{dom } s$ 
moreover obtain  $a$  where  $\text{the-of-}s\text{-of-}v\text{-is-}a: \text{the } (s v) = a$ 
  by blast
moreover have  $v\text{-in-}\text{vs}: v \in \text{set } ((\Psi)\nu_+)$ 
  and  $s\text{-of-}v\text{-is-}None: s v \neq \text{None}$ 
  and  $a\text{-in-}\text{range-of-}v: a \in \mathcal{R}_+ \Psi v$ 
  using assms(2, 3) v-in-dom-s calculation
  by blast+
moreover have  $(v, a) \in \text{dom } ?s'$ 
  using state-to-strips-state-dom-is[ $\text{OF assms}(1)$ ]
    calculation(3, 4, 5)
  by simp
moreover have  $?s'(v, a) = ?t'(v, a)$ 
  using  $s'\text{-map-le-}t'$  calculation
  unfolding map-le-def
  by blast
moreover have  $(v, a) \in \text{dom } ?t'$ 
  using calculation
  unfolding domIff
  by argo
moreover have  $?s'(v, a) = \text{Some } (\text{the } (s v) = a)$ 
  and  $?t'(v, a) = \text{Some } (\text{the } (t v) = a)$ 
  using state-to-strips-state-range-is[ $\text{OF assms}(1)$ ] calculation
  by fast+
moreover have  $s v = \text{Some } a$ 
  using calculation(2, 4)
  by force
moreover have  $?s'(v, a) = \text{Some True}$ 
  using calculation(9, 11)
  by fastforce
moreover have  $?t'(v, a) = \text{Some True}$ 

```

```

using calculation(7, 12)
by argo
moreover have the(t v) = a
  using calculation(10, 13) try0
  by force
moreover {
  have v ∈ dom t
  using state-to-strips-state-dom-element-iff[OF assms(1)]
    calculation(8)
  by auto
  hence t v = Some a
  using calculation(14)
  by force
}
ultimately have s v = t v
by argo
}
thus s ⊆m t
  unfolding map-le-def
  by simp
qed
qed

```

— We also show that $\varphi_O^{-1} \Pi$ is the inverse of $\varphi_O \Psi$. Note that this proof is completely mechanical since both the precondition and effect lists are simply being copied when transforming from SAS+ to STRIPS and when transforming back from STRIPS to SAS+.

```

lemma sas-plus-operator-inverse-is:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and op ∈ set  $((\Psi)_{\mathcal{O}_+})$ 
shows  $(\varphi_O^{-1} \Psi (\varphi_O \Psi op)) = op$ 
proof –
  let ?op =  $\varphi_O^{-1} \Psi (\varphi_O \Psi op)$ 
  have precondition-of ?op = precondition-of op
  unfolding SAS-Plus-STRIPS.strips-op-to-sasp-def
    strips-op-to-sasp-def
    SAS-Plus-STRIPS.sasp-op-to-strips-def
    sasp-op-to-strips-def
  by fastforce
  moreover have effect-of ?op = effect-of op
  unfolding SAS-Plus-STRIPS.strips-op-to-sasp-def
    strips-op-to-sasp-def
    SAS-Plus-STRIPS.sasp-op-to-strips-def
    sasp-op-to-strips-def
  by force
  ultimately show ?thesis
  by simp

```

qed

— Note that we have to make the assumption that op' is a member of the operator set of the induced STRIPS problem $\varphi \Psi$. This implies that op' was transformed from an $op \in \text{operators-of } \Psi$. If we don't make this assumption, then multiple STRIPS operators of the form ($\text{precondition-of} = []$, $\text{add-effects-of} = []$, $\text{delete-effects-of} = [(v, a), \dots]$) correspond to one SAS+ operator (since the delete effects are being discarded in the transformation function).

lemma *strips-operator-inverse-is*:

```

assumes is-valid-problem-sas-plus  $\Psi$ 
and  $op' \in \text{set } ((\varphi \Psi)_{\mathcal{O}})$ 
shows  $(\varphi_{\mathcal{O}} \Psi (\varphi_{\mathcal{O}}^{-1} \Psi op')) = op'$ 
proof —
  let  $\mathbf{\Pi} = \varphi \Psi$ 
  obtain  $op$  where  $op \in \text{set } ((\Psi)_{\mathcal{O}+})$  and  $op' = \varphi_{\mathcal{O}} \Psi op$ 
    using assms
    by auto
  moreover have  $\varphi_{\mathcal{O}}^{-1} \Psi op' = op$ 
    using sas-plus-operator-inverse-is[OF assms(1) calculation(1)] calculation(2)
    by blast
  ultimately show ?thesis
    by argo
qed

```

lemma *sas-plus-equivalent-to-strips-i-a-I*:

```

assumes is-valid-problem-sas-plus  $\Psi$ 
and  $\text{set } ops' \subseteq \text{set } ((\varphi \Psi)_{\mathcal{O}})$ 
and STRIPS-Semantics.are-all-operators-applicable  $(\varphi_S \Psi s)$   $ops'$ 
and  $op \in \text{set } [\varphi_{\mathcal{O}}^{-1} \Psi op'. op' \leftarrow ops']$ 
shows map-of  $(\text{precondition-of } op) \subseteq_m (\varphi_S^{-1} \Psi (\varphi_S \Psi s))$ 
proof —
  let  $\mathbf{\Pi} = \varphi \Psi$ 
  and  $\mathbf{s}' = \varphi_S \Psi s$ 
  let  $\mathbf{s} = \varphi_S^{-1} \Psi \mathbf{s}'$ 
  and  $\mathbf{D} = \text{range-of } \Psi$ 
  and  $\mathbf{ops} = [\varphi_{\mathcal{O}}^{-1} \Psi op'. op' \leftarrow ops']$ 
  and  $\mathbf{pre} = \text{precondition-of } op$ 
  have  $nb_1: \forall (v, a) \in \text{dom } \mathbf{s}'.$ 
     $\forall (v, a') \in \text{dom } \mathbf{s}'.$ 
       $\mathbf{s}'(v, a) = \text{Some True} \wedge \mathbf{s}'(v, a') = \text{Some True}$ 
       $\longrightarrow (v, a) = (v, a')$ 
    using state-to-strips-state-effect-consistent[OF assms(1)]
    by blast
  {
    fix  $op'$ 
    assume  $op' \in \text{set } ops'$ 
    moreover have  $op' \in \text{set } ((\mathbf{\Pi})_{\mathcal{O}})$ 
      using assms(2) calculation
  }

```

```

    by blast
ultimately have  $\exists op \in set ((\Psi)_{\mathcal{O}+}). op' = (\varphi_O \Psi op)$ 
    by auto
} note  $nb_2 = this$ 
{
fix  $op$ 
assume  $op \in set ?ops$ 
then obtain  $op'$  where  $op' \in set ops'$  and  $op = \varphi_O^{-1} \Psi op'$ 
    using assms(4)
    by auto
moreover obtain  $op''$  where  $op'' \in set ((\Psi)_{\mathcal{O}+})$  and  $op' = \varphi_O \Psi op''$ 
    using  $nb_2$  calculation(1)
    by blast
moreover have  $op = op''$ 
    using sas-plus-operator-inverse-is[OF assms(1) calculation(3)] calculation(2,
4)
    by blast
ultimately have  $op \in set ((\Psi)_{\mathcal{O}+})$ 
    by blast
} note  $nb_3 = this$ 
{
fix  $op v a$ 
assume  $op \in set ?ops$ 
and  $v\text{-}a\text{-}in\text{-}precondition\text{-}of\text{-}op': (v, a) \in set (precondition\text{-}of op)$ 
moreover obtain  $op'$  where  $op' \in set ops'$  and  $op = \varphi_O^{-1} \Psi op'$ 
    using calculation(1)
    by auto
moreover have strips-operator.precondition-of  $op' = precondition\text{-}of op$ 
    using calculation(4)
unfolding SAS-Plus-STRIPS.strips-op-to-sasp-def
    strips-op-to-sasp-def
    by simp
ultimately have  $\exists op' \in set ops'. op = (\varphi_O^{-1} \Psi op')$ 
     $\wedge (v, a) \in set (strips-operator.precondition\text{-}of op')$ 
    by metis
} note  $nb_4 = this$ 
{
fix  $op' v a$ 
assume  $op' \in set ops'$ 
and  $v\text{-}a\text{-}in\text{-}precondition\text{-}of\text{-}op': (v, a) \in set (strips-operator.precondition\text{-}of op')$ 
moreover have  $s'\text{-}of\text{-}v\text{-}a\text{-}is\text{-}Some\text{-}True: ?s' (v, a) = Some True$ 
    using assms(3) calculation(1, 2)
unfolding are-all-operators-applicable-set
    by blast
moreover {
obtain  $op$  where  $op \in set ((\Psi)_{\mathcal{O}+})$  and  $op' = \varphi_O \Psi op$ 
    using  $nb_2$  calculation(1)
    by blast
}

```

```

moreover have strips-operator.precondition-of op' = precondition-of op
  using calculation(2)
  unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
    sasp-op-to-strips-def
  by simp
moreover have (v, a) ∈ set (precondition-of op)
  using v-a-in-precondition-of-op' calculation(3)
  by argo
moreover have is-valid-operator-sas-plus Ψ op
  using is-valid-problem-sas-plus-then(2) assms(1) calculation(1)
  unfolding is-valid-operator-sas-plus-def
  by auto
moreover have v ∈ set ((Ψ)_{V+}) and a ∈ R_+ Ψ v
  using is-valid-operator-sas-plus-then(1,2) calculation(4, 5)
  unfolding is-valid-operator-sas-plus-def
  by fastforce+
moreover have v ∈ dom ?s
  using strips-state-to-state-dom-is[OF assms(1), of ?s']
    s'-of-v-a-is-Some-True calculation(6, 7)
  by blast
moreover have (v, a) ∈ dom ?s'
  using s'-of-v-a-is-Some-True domIff
  by blast
ultimately have ?s v = Some a
  using strips-state-to-state-range-is[OF assms(1) - - - nb_1]
    s'-of-v-a-is-Some-True
  by simp
}
hence ?s v = Some a.
} note nb_5 = this
{
fix v
assume v ∈ dom (map-of ?pre)
then obtain a where map-of ?pre v = Some a
  by fast
moreover have (v, a) ∈ set ?pre
  using map-of-SomeD calculation
  by fast
moreover {
have op ∈ set ((Ψ)_{O+})
  using assms(4) nb_3
  by blast
then have is-valid-operator-sas-plus Ψ op
  using is-valid-problem-sas-plus-then(2) assms(1)
  unfolding is-valid-operator-sas-plus-def
  by auto
hence ∀(v, a) ∈ set ?pre. ∀(v', a') ∈ set ?pre. v ≠ v' ∨ a = a'
  using is-valid-operator-sas-plus-then(5)
  unfolding is-valid-operator-sas-plus-def

```

```

    by fast
}
moreover have map-of ?pre v = Some a
  using map-of-constant-assignments-defined-if[of ?pre] calculation(2, 3)
  by blast
moreover obtain op' where op' ∈ set ops'
  and (v, a) ∈ set (strips-operator.precondition-of op')
  using nb4[OF assms(4) calculation(2)]
  by blast
moreover have ?s v = Some a
  using nb5 calculation(5, 6)
  by fast
ultimately have map-of ?pre v = ?s v
  by argo
}
thus ?thesis
  unfolding map-le-def
  by blast
qed

```

```

lemma to-sas-plus-list-of-transformed-sas-plus-problem-operators-structure:
assumes is-valid-problem-sas-plus Ψ
  and set ops' ⊆ set ((φ Ψ)Ο)
  and op ∈ set [φΟ-1 Ψ op'. op' ← ops']
shows op ∈ set ((Ψ)Ο+) ∧ (∃ op' ∈ set ops'. op' = φΟ Ψ op)
proof -
  let ?Π = φ Ψ
  obtain op' where op' ∈ set ops' and op = φΟ-1 Ψ op'
    using assms(3)
    by auto
  moreover have op' ∈ set ((?Π)Ο)
    using assms(2) calculation(1)
    by blast
  moreover obtain op'' where op'' ∈ set ((Ψ)Ο+) and op' = φΟ Ψ op''
    using calculation(3)
    by auto
  moreover have op = op''
    using sas-plus-operator-inverse-is[OF assms(1) calculation(4)] calculation(2,
5)
    by presburger
  ultimately show ?thesis
    by blast
qed

```

```

lemma sas-plus-equivalent-to-strips-i-a-II:
fixes Ψ :: ('variable, 'domain) sas-plus-problem
fixes s :: ('variable, 'domain) state
assumes is-valid-problem-sas-plus Ψ

```

```

and set  $ops' \subseteq set ((\varphi \Psi)_{\mathcal{O}})$ 
and STRIPS-Semantics.are-all-operators-applicable ( $\varphi_s \Psi s$ )  $ops'$ 
   $\wedge$  STRIPS-Semantics.are-all-operator-effects-consistent  $ops'$ 
shows are-all-operator-effects-consistent [ $\varphi_O^{-1} \Psi op'. op' \leftarrow ops'$ ]
proof —
  let  $?s' = \varphi_S \Psi s$ 
  let  $?s = \varphi_S^{-1} \Psi ?s'$ 
  and  $?ops = [\varphi_O^{-1} \Psi op'. op' \leftarrow ops']$ 
  and  $?Pi = \varphi \Psi$ 
have nb:  $\forall (v, a) \in dom ?s'.$ 
   $\forall (v, a') \in dom ?s'.$ 
     $?s'(v, a) = Some True \wedge ?s'(v, a') = Some True$ 
     $\longrightarrow (v, a) = (v, a')$ 
using state-to-strips-state-effect-consistent[OF assms(1)]
by blast
{
  fix  $op_1' op_2'$ 
  assume  $op_1' \in set ops'$  and  $op_2' \in set ops'$ 
  hence STRIPS-Semantics.are-operator-effects-consistent  $op_1' op_2'$ 
    using assms(3)
  unfolding STRIPS-Semantics.are-all-operator-effects-consistent-def list-all-iff
    by blast
} note  $nb_1 = this$ 
{
  fix  $op_1 op_1' op_2 op_2'$ 
  assume  $op_1 \text{-in-} ops: op_1 \in set ?ops$ 
    and  $op_1' \text{-in-} ops': op_1' \in set ops'$ 
    and  $op_1' \text{-is: } op_1' = \varphi_O \Psi op_1$ 
    and  $is-valid-op_1: is-valid-operator-sas-plus \Psi op_1$ 
    and  $op_2 \text{-in-} ops: op_2 \in set ?ops$ 
    and  $op_2' \text{-in-} ops': op_2' \in set ops'$ 
    and  $op_2' \text{-is: } op_2' = \varphi_O \Psi op_2$ 
    and  $is-valid-op_2: is-valid-operator-sas-plus \Psi op_2$ 
  have  $\forall (v, a) \in set (add-effects-of op_1'). \forall (v', a') \in set (add-effects-of op_2').$ 
     $v \neq v' \vee a = a'$ 
  proof (rule ccontr)
    assume  $\neg(\forall (v, a) \in set (add-effects-of op_1'). \forall (v', a') \in set (add-effects-of$ 
 $op_2')).$ 
     $v \neq v' \vee a = a'$ 
    then obtain  $v v' a a'$  where  $(v, a) \in set (add-effects-of op_1')$ 
      and  $(v', a') \in set (add-effects-of op_2')$ 
      and  $v = v'$ 
      and  $a \neq a'$ 
      by blast
    — TODO slow.
    moreover have  $(v, a) \in set (effect-of op_1)$ 
    using  $op_1' \text{-is } op_2' \text{-is calculation}(1, 2)$ 
    unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
      sasp-op-to-strips-def

```

```

    by force
  moreover {
    have  $(v', a') \in \text{set}(\text{effect-of } op_2)$ 
      using  $op_2'$ -is calculation(2)
      unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
        sasp-op-to-strips-def
      by force
    hence  $a' \in \mathcal{R}_+ \Psi v$ 
      using is-valid-operator-sas-plus-then is-valid-op2 calculation(3)
      by fastforce
  }
  moreover have  $(v, a') \in \text{set}(\text{delete-effects-of } op_1')$ 
    using sasp-op-to-strips-set-delete-effects-is
       $op_1'$ -is is-valid-op1 calculation(3, 4, 5, 6)
    by blast
  moreover have  $\neg \text{STRIPS-Semantics.are-operator-effects-consistent } op_1'$ 
 $op_2'$ 
    unfolding STRIPS-Semantics.are-operator-effects-consistent-def list-ex-iff

    using calculation(2, 3, 7)
    by meson
  ultimately show False
    using assms(3)  $op_1'$ -in-ops'  $op_2'$ -in-ops'
  unfolding STRIPS-Semantics.are-all-operator-effects-consistent-def list-all-iff
    by blast
  qed
} note nb3 = this
{
  fix  $op_1$   $op_2$ 
  assume  $op_1$ -in-ops:  $op_1 \in \text{set} \ ?ops$  and  $op_2$ -in-ops:  $op_2 \in \text{set} \ ?ops$ 
  moreover have  $op_1$ -in-operators-of- $\Psi$ :  $op_1 \in \text{set}((\Psi)_{\mathcal{O}+})$ 
    and  $op_2$ -in-operators-of- $\Psi$ :  $op_2 \in \text{set}((\Psi)_{\mathcal{O}+})$ 
    using to-sas-plus-list-of-transformed-sas-plus-problem-operators-structure[OF
      assms(1, 2)] calculation
    by blast+
  moreover have is-valid-operator- $op_1$ : is-valid-operator-sas-plus  $\Psi op_1$ 
    and is-valid-operator- $op_2$ : is-valid-operator-sas-plus  $\Psi op_2$ 
  using is-valid-problem-sas-plus-then(2)  $op_1$ -in-operators-of- $\Psi$   $op_2$ -in-operators-of- $\Psi$ 
    assms(1)
  unfolding is-valid-operator-sas-plus-def
  by auto+
  moreover obtain  $op_1' op_2'$ 
    where  $op_1$ -in-ops':  $op_1' \in \text{set} \ ops'$ 
      and  $op_1$ -is:  $op_1' = \varphi_O \Psi op_1$ 
      and  $op_2$ -in-ops':  $op_2' \in \text{set} \ ops'$ 
      and  $op_2$ -is:  $op_2' = \varphi_O \Psi op_2$ 
    using to-sas-plus-list-of-transformed-sas-plus-problem-operators-structure[OF
      assms(1, 2)]  $op_1$ -in-ops  $op_2$ -in-ops
    by blast
}

```

— TODO slow.

ultimately have $\forall (v, a) \in \text{set}(\text{add-effects-of } op_1'). \forall (v', a') \in \text{set}(\text{add-effects-of } op_2')$.

```

    v ≠ v' ∨ a = a'
    using nb3
    by auto
  hence are-operator-effects-consistent op1 op2
    using op1-is op2-is
    unfolding are-operator-effects-consistent-def
      sasp-op-to-strips-def
      SAS-Plus-STRIPS.sasp-op-to-strips-def
      list-all-iff Let-def
    by simp
  }
  thus ?thesis
    unfolding are-all-operator-effects-consistent-def list-all-iff
    by fast
qed

```

— A technical lemmas used in *sas-plus-equivalent-to-strips-i-a* showing that the execution precondition is linear w.r.t. to STRIPS transformation to SAS+.
The second premise states that the given STRIPS state corresponds to a consistent SAS+ state (i.e. no two assignments of the same variable to different values exist).

lemma *sas-plus-equivalent-to-strips-i-a-IV*:

```

assumes is-valid-problem-sas-plus Ψ
and set ops' ⊆ set ((φ Ψ)Ω)
and STRIPS-Semantics.are-all-operators-applicable (φS Ψ s) ops'
  ∧ STRIPS-Semantics.are-all-operator-effects-consistent ops'
shows are-all-operators-applicable-in (φS-1 Ψ (φS Ψ s)) [φΩ-1 Ψ op'. op' ←
ops'] ∧
  are-all-operator-effects-consistent [φΩ-1 Ψ op'. op' ← ops']
proof –
let ?Π = φ Ψ
and ?s' = φS Ψ s
let ?vs' = strips-problem.variables-of ?Π
and ?ops' = strips-problem.operators-of ?Π
and ?vs = variables-of Ψ
and ?D = range-of Ψ
and ?s = φS-1 Ψ ?s'
and ?ops = [φΩ-1 Ψ op'. op' ← ops']
have nb: ∀(v, a) ∈ dom ?s'.
  ∀(v, a') ∈ dom (φS Ψ s).
    ?s'(v, a) = Some True ∧ ?s'(v, a') = Some True
    → (v, a) = (v, a')
  using state-to-strips-state-effect-consistent[OF assms(1)]
  by blast
{
  have STRIPS-Semantics.are-all-operators-applicable ?s' ops'
}

```

```

using assms(3)
by simp
moreover have list-all (λop. map-of (precondition-of op) ⊆m ?s) ?ops
  using sas-plus-equivalent-to-strips-i-a-I[OF assms(1) assms(2)] calculation
  unfolding list-all-iff
  by blast
moreover have list-all (λop. list-all (are-operator-effects-consistent op)) ?ops
?ops
  using sas-plus-equivalent-to-strips-i-a-II assms nb
  unfolding are-all-operator-effects-consistent-def is-valid-operator-sas-plus-def
list-all-iff
  by blast
ultimately have are-all-operators-applicable-in ?s ?ops
unfolding are-all-operators-applicable-in-def is-operator-applicable-in-def list-all-iff
  by argo
}
moreover have are-all-operator-effects-consistent ?ops
  using sas-plus-equivalent-to-strips-i-a-II assms nb
  by simp
ultimately show ?thesis
  by simp
qed

```

```

lemma sas-plus-equivalent-to-strips-i-a-VI:
assumes is-valid-problem-sas-plus Ψ
and dom s ⊆ set ((Ψ)V+)
and ∀v ∈ dom s. the (s v) ∈ R+ Ψ v
and set ops' ⊆ set ((φ Ψ)Ω)
and are-all-operators-applicable-in s [φΩ-1 Ψ op'. op' ← ops'] ∧
  are-all-operator-effects-consistent [φΩ-1 Ψ op'. op' ← ops']
shows STRIPS-Semantics.are-all-operators-applicable (φS Ψ s) ops'
proof -
let ?vs = variables-of Ψ
and ?D = range-of Ψ
and ?Π = φ Ψ
and ?ops = [φΩ-1 Ψ op'. op' ← ops']
and ?s' = φS Ψ s
— TODO refactor.
{
fix op'
assume op' ∈ set ops'
moreover obtain op where op ∈ set ?ops and op = φΩ-1 Ψ op'
  using calculation
  by force
moreover obtain op'' where op'' ∈ set ((Ψ)Ω+) and op' = φΩ Ψ op''
  using assms(4) calculation(1)
  by auto
moreover have is-valid-operator-sas-plus Ψ op''
```

```

using is-valid-problem-sas-plus-then(2) assms(1) calculation(4)
unfolding is-valid-operator-sas-plus-def
by auto
moreover have op = op"
  using sas-plus-operator-inverse-is[OF assms(1)] calculation(3, 4, 5)
  by blast
ultimately have  $\exists op \in \text{set } ?ops. op \in \text{set } ?ops \wedge op = (\varphi_O^{-1} \Psi op')$ 
   $\wedge$  is-valid-operator-sas-plus  $\Psi op$ 
  by blast
} note nb1 = this
have nb2:  $\forall (v, a) \in \text{dom } ?s'.$ 
   $\forall (v, a') \in \text{dom } ?s'.$ 
     $?s'(v, a) = \text{Some True} \wedge ?s'(v, a') = \text{Some True}$ 
     $\longrightarrow (v, a) = (v, a')$ 
using state-to-strips-state-effect-consistent[OF assms(1), of - - s]
by blast
{
fix op
assume op  $\in$  set ?ops
hence map-of (precondition-of op)  $\subseteq_m s$ 
  using assms(5)
unfolding are-all-operators-applicable-in-def
  is-operator-applicable-in-def list-all-iff
  by blast
} note nb3 = this
{
fix op'
assume op'  $\in$  set ops'
then obtain op where op-in-ops: op  $\in$  set ?ops
  and op-is: op = ( $\varphi_O^{-1} \Psi op'$ )
  and is-valid-operator-op: is-valid-operator-sas-plus  $\Psi op$ 
  using nb1
  by force
moreover have preconditions-are-consistent:
   $\forall (v, a) \in \text{set } (\text{precondition-of } op). \forall (v', a') \in \text{set } (\text{precondition-of } op). v \neq v' \vee a = a'$ 
  using is-valid-operator-sas-plus-then(5) calculation(3)
  unfolding is-valid-operator-sas-plus-def
  by fast
moreover {
fix v a
assume (v, a)  $\in$  set (strips-operator.precondition-of op')
moreover have v-a-in-precondition-of-op: (v, a)  $\in$  set (precondition-of op)
  using op-is calculation
  unfolding SAS-Plus-STRIPS.strips-op-to-sasp-def
    strips-op-to-sasp-def
  by auto
moreover have map-of (precondition-of op) v = Some a
  using map-of-constant-assignments-defined-if[OF

```

```

    preconditions-are-consistent calculation(2)]
  by blast
moreover have s-of-v-is:  $s v = \text{Some } a$ 
  using  $nb_3[\text{OF op-in-ops}] \text{ calculation}(3)$ 
  unfolding map-le-def
  by force
moreover have  $v \in \text{set } ((\Psi)_{V+})$  and  $a \in \mathcal{R}_+ \Psi v$ 
  using is-valid-operator-sas-plus-then(1, 2) is-valid-operator-op
    v-a-in-precondition-of-op
  unfolding is-valid-operator-sas-plus-def
    SAS-Plus-Representation.is-valid-operator-sas-plus-def Let-def list-all-iff
ListMem-iff
  by auto+
moreover have  $(v, a) \in \text{dom } ?s'$ 
  using state-to-strips-state-dom-is[OF assms(1)] s-of-v-is
    calculation
  by simp
moreover have  $(\varphi_S^{-1} \Psi ?s') v = \text{Some } a$ 
  using strips-state-to-state-inverse-is[OF assms(1, 2, 3)] s-of-v-is
  by argo
— TODO slow.
ultimately have  $?s'(v, a) = \text{Some True}$ 
  using strips-state-to-state-range-is[OF assms(1)] nb_2
  by auto
}
ultimately have  $\forall (v, a) \in \text{set } (\text{strips-operator.precondition-of } op'). ?s'(v, a)$ 
= Some True
  by fast
}
thus ?thesis
  unfolding are-all-operators-applicable-def is-operator-applicable-in-def
    STRIPS-Representation.is-operator-applicable-in-def list-all-iff
  by simp
qed

```

```

lemma sas-plus-equivalent-to-strips-i-a-VII:
  assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $\text{dom } s \subseteq \text{set } ((\Psi)_{V+})$ 
  and  $\forall v \in \text{dom } s. \text{the } (s v) \in \mathcal{R}_+ \Psi v$ 
  and  $\text{set } ops' \subseteq \text{set } ((\varphi \Psi)_O)$ 
  and are-all-operators-applicable-in  $s [\varphi_O^{-1} \Psi op'. op' \leftarrow ops'] \wedge$ 
    are-all-operator-effects-consistent  $[\varphi_O^{-1} \Psi op'. op' \leftarrow ops']$ 
  shows STRIPS-Semantics.are-all-operator-effects-consistent  $ops'$ 
proof -
let  $?s' = \varphi_S \Psi s$ 
and  $?ops = [\varphi_O^{-1} \Psi op'. op' \leftarrow ops']$ 
and  $?D = \text{range-of } \Psi$ 
and  $?Pi = \varphi \Psi$ 

```

— TODO refactor.

```

{
  fix op'
  assume op' ∈ set ops'
  moreover obtain op where op ∈ set ?ops and op = φO-1 Ψ op'
    using calculation
    by force
  moreover obtain op'' where op'' ∈ set ((Ψ)O+) and op' = φO Ψ op''
    using assms(4) calculation(1)
    by auto
  moreover have is-valid-operator-sas-plus Ψ op''
    using is-valid-problem-sas-plus-then(2) assms(1) calculation(4)
    unfolding is-valid-operator-sas-plus-def
    by auto
  moreover have op = op''
    using sas-plus-operator-inverse-is[OF assms(1)] calculation(3, 4, 5)
    by blast
  ultimately have ∃ op ∈ set ?ops. op ∈ set ?ops ∧ op' = (φO Ψ op)
    ∧ is-valid-operator-sas-plus Ψ op
    by blast
} note nb1 = this
{
  fix op1' op2'
  assume op1' ∈ set ops'
  and op2' ∈ set ops'
  and ∃(v, a) ∈ set (add-effects-of op1'). ∃(v', a') ∈ set (delete-effects-of op2').
    (v, a) = (v', a')
  moreover obtain op1 op2
    where op1 ∈ set ?ops
      and op1' = φO Ψ op1
      and is-valid-operator-sas-plus Ψ op1
      and op2 ∈ set ?ops
        and op2' = φO Ψ op2
        and is-valid-op2: is-valid-operator-sas-plus Ψ op2
    using nb1 calculation(1, 2)
    by meson
  moreover obtain v v' a a'
    where (v, a) ∈ set (add-effects-of op1)
      and (v', a') ∈ set (delete-effects-of op2)
      and (v, a) = (v', a')
    using calculation
    by blast
  moreover have (v, a) ∈ set (effect-of op1)
    using calculation(5, 10)
    unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
      sasp-op-to-strips-def
    by fastforce
  moreover have v = v' and a = a'
    using calculation(12)
}

```

by *simp+*
 — The next proof block shows that (v', a') is constructed from an effect (v'', a'') s.t. $a' \neq a''$.
moreover {

have $(v', a') \in (\bigcup(v'', a'') \in \text{set(effect-of } op_2))$.
 $\{ (v'', a'') \mid a''' \in (\mathcal{R}_+ \Psi v'') \wedge a''' \neq a'' \}$
using *sasp-op-to-strips-set-delete-effects-is*
calculation(8, 11) is-valid-op₂
by *blast*
then obtain $v'' a''$ **where** $(v'', a'') \in \text{set(effect-of } op_2)$
and $(v', a') \in \{ (v'', a'') \mid a''' \in (\mathcal{R}_+ \Psi v'') \wedge a''' \neq a'' \}$
by *blast*
moreover have $(v', a'') \in \text{set(effect-of } op_2)$
using *calculation*
by *blast*
moreover have $a' \in \mathcal{R}_+ \Psi v''$ **and** $a' \neq a''$
using *calculation(1, 2)*
by *fast+*
ultimately have $\exists a''. (v', a'') \in \text{set(effect-of } op_2) \wedge a' \in (\mathcal{R}_+ \Psi v') \wedge a' \neq a''$
by *blast*
}
moreover obtain a'' **where** $(v', a'') \in \text{set(effect-of } op_2)$
and $a' \in \mathcal{R}_+ \Psi v'$
and $a' \neq a''$
using *calculation(16)*
by *blast*
moreover have $\exists (v, a) \in \text{set(effect-of } op_1). (\exists (v', a') \in \text{set(effect-of } op_2).$
 $v = v' \wedge a \neq a')$
using *calculation(13, 14, 15, 17, 19)*
by *blast*
moreover have $\neg \text{are-operator-effects-consistent } op_1 \text{ } op_2$
unfolding *are-operator-effects-consistent-def list-all-iff*
using *calculation(20)*
by *fastforce*
ultimately have $\neg \text{are-all-operator-effects-consistent } ?ops$
unfolding *are-all-operator-effects-consistent-def list-all-iff*
by *meson*
}
note $nb_2 = this$
{
fix $op_1' \text{ } op_2'$
assume $op_1'\text{-in-ops: } op_1' \in \text{set } ops'$ **and** $op_2'\text{-in-ops: } op_2' \in \text{set } ops'$
have *STRIPS-Semantics.are-operator-effects-consistent op₁' op₂'*
proof (*rule ccontr*)
assume $\neg \text{STRIPS-Semantics.are-operator-effects-consistent } op_1' \text{ } op_2'$
then consider (A) $\exists (v, a) \in \text{set(add-effects-of } op_1').$
 $\exists (v', a') \in \text{set(delete-effects-of } op_2'). (v, a) = (v', a')$
 $| (B) \exists (v, a) \in \text{set(add-effects-of } op_2').$

```

 $\exists (v', a') \in \text{set}(\text{delete-effects-of } op_1'). (v, a) = (v', a')$ 
unfolding STRIPS-Semantics.are-operator-effects-consistent-def list-ex-iff
by fastforce
thus False
using nb2[OF op1'-in-ops op2'-in-ops] nb2[OF op2'-in-ops op1'-in-ops]
assms(5)
by (cases, argo, force)
qed
}
thus ?thesis
unfolding STRIPS-Semantics.are-all-operator-effects-consistent-def
STRIPS-Semantics.are-operator-effects-consistent-def list-all-iff
by blast
qed

```

```

lemma sas-plus-equivalent-to-strips-i-a-VIII:
assumes is-valid-problem-sas-plus  $\Psi$ 
and dom  $s \subseteq \text{set}((\Psi)_{V+})$ 
and  $\forall v \in \text{dom } s. \text{the}(s v) \in \mathcal{R}_+ \Psi v$ 
and set ops'  $\subseteq \text{set}((\varphi \Psi)_{\mathcal{O}})$ 
and are-all-operators-applicable-in  $s [\varphi_{\mathcal{O}}^{-1} \Psi op'. op' \leftarrow \text{ops}'] \wedge$ 
are-all-operator-effects-consistent  $[\varphi_{\mathcal{O}}^{-1} \Psi op'. op' \leftarrow \text{ops}']$ 
shows STRIPS-Semantics.are-all-operators-applicable  $(\varphi_S \Psi s) \text{ ops}'$ 
 $\wedge$  STRIPS-Semantics.are-all-operator-effects-consistent ops'
using sas-plus-equivalent-to-strips-i-a-VI sas-plus-equivalent-to-strips-i-a-VII assms
by fastforce

```

```

lemma sas-plus-equivalent-to-strips-i-a-IX:
assumes dom  $s \subseteq V$ 
and  $\forall op \in \text{set } \text{ops}. \forall (v, a) \in \text{set}(\text{effect-of } op). v \in V$ 
shows dom (execute-parallel-operator-sas-plus  $s \text{ ops}$ )  $\subseteq V$ 
proof –
show ?thesis
using assms
proof (induction  $\text{ops}$  arbitrary:  $s$ )
case Nil
then show ?case
unfolding execute-parallel-operator-sas-plus-def
by simp
next
case (Cons  $op \text{ ops}$ )
let ? $s' = s ++ \text{map-of } (\text{effect-of } op)$ 
— TODO Wrap IH instantiation in block.
{
have  $\forall (v, a) \in \text{set}(\text{effect-of } op). v \in V$ 
using Cons.prem(2)
by fastforce
moreover have fst ` set (effect-of op)  $\subseteq V$ 

```

```

using calculation
by fastforce
ultimately have dom ?s' ⊆ V
  unfolding dom-map-add dom-map-of-conv-image-fst
  using Cons.prems(1)
  by blast
}
moreover have ∀ op ∈ set ops. ∀ (v, a) ∈ set (effect-of op). v ∈ V
  using Cons.prems(2)
  by fastforce
ultimately have dom (execute-parallel-operator-sas-plus ?s' ops) ⊆ V
  using Cons.IH[of ?s']
  by fast
thus ?case
  unfolding execute-parallel-operator-sas-plus-cons.
qed
qed

```

— NOTE Show that the domain value constraint on states is monotonous w.r.t. to valid operator execution. I.e. if a parallel operator is executed on a state for which the domain value constraint holds, the domain value constraint will also hold on the resultant state.

```

lemma sas-plus-equivalent-to-strips-i-a-X:
assumes dom s ⊆ V
and V ⊆ dom D
and ∀ v ∈ dom s. the (s v) ∈ set (the (D v))
and ∀ op ∈ set ops. ∀ (v, a) ∈ set (effect-of op). v ∈ V ∧ a ∈ set (the (D v))
shows ∀ v ∈ dom (execute-parallel-operator-sas-plus s ops).
  the (execute-parallel-operator-sas-plus s ops v) ∈ set (the (D v))
proof -
show ?thesis
using assms
proof (induction ops arbitrary: s)
  case Nil
  then show ?case
    unfolding execute-parallel-operator-sas-plus-def
    by simp
next
  case (Cons op ops)
  let ?s' = s ++ map-of (effect-of op)
  {
  {
  have ∀ (v, a) ∈ set (effect-of op). v ∈ V
    using Cons.prems(4)
    by fastforce
  moreover have fst ` set (effect-of op) ⊆ V
    using calculation
    by fastforce
  }
  }

```

```

ultimately have dom ?s' ⊆ V
  unfolding dom-map-add dom-map-of-conv-image-fst
  using Cons.prems(1)
  by blast
}
moreover {
  fix v
  assume v-in-dom-s': v ∈ dom ?s'
  hence the (?s' v) ∈ set (the (D v))
    proof (cases v ∈ dom (map-of (effect-of op)))
      case True
        moreover have ?s' v = (map-of (effect-of op)) v
          unfolding map-add-dom-app-simps(1)[OF True]
          by blast
        moreover obtain a where (map-of (effect-of op)) v = Some a
          using calculation(1)
          by fast
        moreover have (v, a) ∈ set (effect-of op)
          using map-of-SomeD calculation(3)
          by fast
        moreover have a ∈ set (the (D v))
          using Cons.prems(4) calculation(4)
          by fastforce
        ultimately show ?thesis
          by force
      next
      case False
      then show ?thesis
        unfolding map-add-dom-app-simps(3)[OF False]
        using Cons.prems(3) v-in-dom-s'
        by fast
      qed
    }
  moreover have ∀ op ∈ set ops. ∀ (v, a) ∈ set (effect-of op). v ∈ V ∧ a ∈
set (the (D v))
    using Cons.prems(4)
    by auto
  ultimately have ∀ v ∈ dom (execute-parallel-operator-sas-plus ?s' ops).
    the (execute-parallel-operator-sas-plus ?s' ops v) ∈ set (the (D v))
    using Cons.IH[of s ++ map-of (effect-of op), OF - Cons.prems(2)]
    by meson
}
thus ?case
  unfolding execute-parallel-operator-sas-plus-cons
  by blast
qed
qed

```

lemma transform-sas-plus-problem-to-strips-problem-operators-valid:

```

assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $op' \in \text{set}((\varphi \Psi)_{\mathcal{O}})$ 
obtains  $op$ 
  where  $op \in \text{set}((\Psi)_{\mathcal{O}+})$ 
    and  $op' = (\varphi_{\mathcal{O}} \Psi op)$  is-valid-operator-sas-plus  $\Psi op$ 
proof -
{
  obtain  $op$  where  $op \in \text{set}((\Psi)_{\mathcal{O}+})$  and  $op' = \varphi_{\mathcal{O}} \Psi op$ 
    using assms
    by auto
  moreover have is-valid-operator-sas-plus  $\Psi op$ 
    using is-valid-problem-sas-plus-then(2) assms(1) calculation(1)
    by auto
  ultimately have  $\exists op \in \text{set}((\Psi)_{\mathcal{O}+}). op' = (\varphi_{\mathcal{O}} \Psi op)$ 
    and is-valid-operator-sas-plus  $\Psi op$ 
    by blast
}
thus ?thesis
  using that
  by blast
qed

```

```

lemma sas-plus-equivalent-to-strips-i-a-XI:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $op' \in \text{set}((\varphi \Psi)_{\mathcal{O}})$ 
shows  $(\varphi_S \Psi s) ++ \text{map-of}(\text{effect-to-assignments } op')$ 
   $= \varphi_S \Psi (s ++ \text{map-of}(\text{effect-of}(\varphi_O^{-1} \Psi op')))$ 
proof -
let  $?Pi = \varphi \Psi$ 
let  $?vs = \text{variables-of } \Psi$ 
  and  $?ops = \text{operators-of } \Psi$ 
  and  $?ops' = \text{strips-problem.operators-of } ?Pi$ 
let  $?s' = \varphi_S \Psi s$ 
let  $?t = ?s' ++ \text{map-of}(\text{effect-to-assignments } op')$ 
  and  $?t' = \varphi_S \Psi (s ++ \text{map-of}(\text{effect-of}(\varphi_O^{-1} \Psi op')))$ 
obtain  $op$  where  $op\text{-is: } op' = (\varphi_O \Psi op)$ 
  and  $op\text{-in-ops: } op \in \text{set}((\Psi)_{\mathcal{O}+})$ 
  and is-valid-operator-op: is-valid-operator-sas-plus  $\Psi op$ 
  using transform-sas-plus-problem-to-strips-problem-operators-valid[OF assms]
  by auto
have nb1:  $(\varphi_O^{-1} \Psi op') = op$ 
  using sas-plus-operator-inverse-is[OF assms(1)] op'-is op-in-ops
  by blast
— TODO refactor.
{
  have dom (map-of (effect-to-assignments op'))
     $= \text{set}(\text{strips-operator.add-effects-of } op') \cup \text{set}(\text{strips-operator.delete-effects-of } op')$ 
}

```

```

unfolding dom-map-of-conv-image-fst
by force
— TODO slow.
also have ... = set (effect-of op)  $\cup$  set (strips-operator.delete-effects-of op')
using op'-is
unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
  sasp-op-to-strips-def
by auto
— TODO slow.
finally have dom (map-of (effect-to-assignments op')) = set (effect-of op)
 $\cup$  ( $\bigcup$ (v, a)  $\in$  set (effect-of op). { (v, a') | a'. a'  $\in$  ( $\mathcal{R}_+$   $\Psi$  v)  $\wedge$  a'  $\neq$  a })
using sasp-op-to-strips-set-delete-effects-is[OF
  is-valid-operator-op] op'-is
by argo
} note nb2 = this
have nb3: dom ?t = dom ?s'  $\cup$  set (effect-of op)
 $\cup$  ( $\bigcup$ (v, a)  $\in$  set (effect-of op). { (v, a') | a'. a'  $\in$  ( $\mathcal{R}_+$   $\Psi$  v)  $\wedge$  a'  $\neq$  a })
unfolding nb2 dom-map-add
by blast
— TODO refactor.
have nb4: dom (s ++ map-of (effect-of ( $\varphi_O^{-1}$   $\Psi$  op'))) = dom s  $\cup$  fst `set (effect-of op)
unfolding dom-map-add dom-map-of-conv-image-fst nb1
by fast
{
  let ?u = s ++ map-of (effect-of ( $\varphi_O^{-1}$   $\Psi$  op'))
  have dom ?t' = ( $\bigcup$ v  $\in$  { v | v. v  $\in$  set (( $\Psi$ )V+)  $\wedge$  ?u v  $\neq$  None }).
    { (v, a) | a. a  $\in$   $\mathcal{R}_+$   $\Psi$  v }
  using state-to-strips-state-dom-is[OF assms(1)]
  by presburger
} note nb5 = this
— TODO refactor.
have nb6: set (add-effects-of op') = set (effect-of op)
using op'-is
unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
  sasp-op-to-strips-def
by auto
— TODO refactor.
have nb7: set (delete-effects-of op') = ( $\bigcup$ (v, a)  $\in$  set (effect-of op).
  { (v, a') | a'. a'  $\in$  ( $\mathcal{R}_+$   $\Psi$  v)  $\wedge$  a'  $\neq$  a })
using sasp-op-to-strips-set-delete-effects-is[OF
  is-valid-operator-op] op'-is
by argo
— TODO refactor.
{
  let ?Add = set (effect-of op)
  let ?Delete = ( $\bigcup$ (v, a)  $\in$  set (effect-of op).
    { (v, a') | a'. a'  $\in$  ( $\mathcal{R}_+$   $\Psi$  v)  $\wedge$  a'  $\neq$  a })
  have dom-add: dom (map-of (map ( $\lambda$ v. (v, True)) (add-effects-of op'))) = ?Add

```

```

unfolding dom-map-of-conv-image-fst set-map image-comp comp-apply
using nb6
by simp
have dom-delete: dom (map-of (map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op'))) =
?Delete
unfolding dom-map-of-conv-image-fst set-map image-comp comp-apply
using nb7
by auto
{
{
fix v a
assume v-a-in-dom-add: (v, a) ∈ dom (map-of (map ( $\lambda v. (v, \text{True})$ ) (add-effects-of op')))
have (v, a) ∉ dom (map-of (map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op)))
proof (rule ccontr)
assume  $\neg((v, a) \notin \text{dom}(\text{map-of}(\text{map}(\lambda v. (v, \text{False})) (\text{delete-effects-of } op'))))$ 
then have (v, a) ∈ ?Delete and (v, a) ∈ ?Add
using dom-add dom-delete v-a-in-dom-add
by argo+
moreover have  $\forall (v', a') \in ?Add. v \neq v' \vee a = a'$ 
using is-valid-operator-sas-plus-then(6) is-valid-operator-op
calculation(2)
unfolding is-valid-operator-sas-plus-def
by fast
ultimately show False
by fast
qed
}
hence disjoint (dom (map-of (map ( $\lambda v. (v, \text{True})$ ) (add-effects-of op'))))
(dom (map-of (map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op'))))
unfolding disjoint-def Int-def
using nb7
by simp
}
hence dom (map-of (map ( $\lambda v. (v, \text{True})$ ) (add-effects-of op'))) = ?Add
and dom (map-of (map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op'))) = ?Delete
and disjoint (dom (map-of (map ( $\lambda v. (v, \text{True})$ ) (add-effects-of op'))))
(dom (map-of (map ( $\lambda v. (v, \text{False})$ ) (delete-effects-of op'))))
using dom-add dom-delete
by blast+
} note nb8 = this
— TODO refactor.
{
let ?Add = set (effect-of op)
let ?Delete = ( $\bigcup (v, a) \in \text{set}(\text{effect-of } op).$ 
{ (v, a') | a'. a' ∈ ( $\mathcal{R}_+ \Psi v$ )  $\wedge a' \neq a$  })
— TODO slow.

```

```

have  $\forall (v, a) \in ?Add. \text{map-of}(\text{effect-to-assignments } op')(v, a) = \text{Some True}$ 
and  $\forall (v, a) \in ?Delete. \text{map-of}(\text{effect-to-assignments } op')(v, a) = \text{Some False}$ 
proof -
{
  fix  $v a$ 
  assume  $(v, a) \in ?Add$ 
  hence  $\text{map-of}(\text{effect-to-assignments } op')(v, a) = \text{Some True}$ 
  unfolding  $\text{effect-to-assignments-simp}$ 
  using  $nb_6 \text{ map-of-defined-if-constructed-from-list-of-constant-assignments}[of$ 
          $\text{map } (\lambda v. (v, \text{True})) (\text{add-effects-of } op') \text{ True add-effects-of } op']$ 
  by force
}
moreover {
  fix  $v a$ 
  assume  $(v, a) \in ?Delete$ 
  moreover have  $(v, a) \in \text{dom}(\text{map-of}(\text{map } (\lambda v. (v, \text{False})) (\text{delete-effects-of } op')))$ 
    using  $nb_8(2) \text{ calculation}(1)$ 
    by argo
  moreover have  $(v, a) \notin \text{dom}(\text{map-of}(\text{map } (\lambda v. (v, \text{True})) (\text{add-effects-of } op')))$ 
    using  $nb_8$ 
    unfolding  $\text{disjnt-def}$ 
    using  $\text{calculation}(1)$ 
    by blast
  moreover have  $\text{map-of}(\text{effect-to-assignments } op')(v, a)$ 
     $= \text{map-of}(\text{map } (\lambda v. (v, \text{False})) (\text{delete-effects-of } op'))(v, a)$ 
    unfolding  $\text{effect-to-assignments-simp map-of-append}$ 
    using  $\text{map-add-dom-app-simps}(3)[\text{OF calculation}(3)]$ 
    by presburger
— TODO slow.
  ultimately have  $\text{map-of}(\text{effect-to-assignments } op')(v, a) = \text{Some False}$ 
    using  $\text{map-of-defined-if-constructed-from-list-of-constant-assignments}[$ 
            $\text{of map } (\lambda v. (v, \text{False})) (\text{delete-effects-of } op') \text{ False delete-effects-of } op']$ 
     $nb_7$ 
    by auto
}
ultimately show  $\forall (v, a) \in ?Add. \text{map-of}(\text{effect-to-assignments } op')(v, a) = \text{Some True}$ 
and  $\forall (v, a) \in ?Delete. \text{map-of}(\text{effect-to-assignments } op')(v, a) = \text{Some False}$ 
by blast+
qed
}
note  $nb_9 = \text{this}$ 
{
  fix  $v a$ 
  assume  $(v, a) \in \text{set } (\text{effect-of } op)$ 

```

```

moreover have  $\forall (v, a) \in \text{set}(\text{effect-of } op). \forall (v', a') \in \text{set}(\text{effect-of } op). v \neq v' \vee a = a'$ 
  using is-valid-operator-sas-plus-then is-valid-operator-op
  unfolding is-valid-operator-sas-plus-def
  by fast
ultimately have map-of (effect-of op)  $v = \text{Some } a$ 
  using map-of-constant-assignments-defined-if[of effect-of op]
  by presburger
} note  $nb_{10} = \text{this}$ 
{
  fix  $v a$ 
  assume v-a-in-effect-of-op:  $(v, a) \in \text{set}(\text{effect-of } op)$ 
    and  $(s \text{ ++ map-of (effect-of } (\varphi_O^{-1} \Psi op')))) v \neq \text{None}$ 
moreover have  $v \in \text{set}(\text{?vs})$ 
  using is-valid-operator-op is-valid-operator-sas-plus-then(3) calculation(1)
  by fastforce
moreover {
  have is-valid-problem-strips ?Pi
    using is-valid-problem-sas-plus-then-strips-transformation-too
      assms(1)
    by blast
  thm calculation(1) nb_6 assms(2)
  moreover have set(add-effects-of op') ⊆ set((?Pi)_V)
    using assms(2) is-valid-problem-strips-operator-variable-sets(2)
      calculation
    by blast
  moreover have  $(v, a) \in \text{set}((\text{?Pi})_V)$ 
    using v-a-in-effect-of-op nb_6 calculation(2)
    by blast
  ultimately have  $a \in \mathcal{R}_+ \Psi v$ 
    using sas-plus-problem-to-strips-problem-variable-set-element-iff[OF assms(1)]
    by fast
}
— TODO slow.
ultimately have  $(v, a) \in \text{dom}(\varphi_S \Psi (s \text{ ++ map-of (effect-of } (\varphi_O^{-1} \Psi op'))))$ 

  using state-to-strips-state-dom-is[OF assms(1), of
     $s \text{ ++ map-of (effect-of } (\varphi_O^{-1} \Psi op'))]$ 
  by simp
} note  $nb_{11} = \text{this}$ 
{
  fix  $v a$ 
  assume  $(v, a) \in \text{set}(\text{effect-of } op)$ 
  moreover have  $v \in \text{dom}(\text{map-of (effect-of } op))$ 
    unfolding dom-map-of-conv-image-fst
    using calculation
    by force
moreover have  $(s \text{ ++ map-of (effect-of } (\varphi_O^{-1} \Psi op')))) v = \text{Some } a$ 

```

```

unfolding map-add-dom-app-simps(1)[OF calculation(2)] nb1
using nb10 calculation(1)
by blast
moreover have (s ++ map-of (effect-of ( $\varphi_O^{-1} \Psi op'$ ))) v ≠ None
  using calculation(3)
  by auto
moreover have (v, a) ∈ dom ( $\varphi_S \Psi (s ++ map-of (effect-of (\varphi_O^{-1} \Psi op'))))$ 
  using nb11 calculation(1, 4)
  by presburger
ultimately have ( $\varphi_S \Psi (s ++ map-of (effect-of (\varphi_O^{-1} \Psi op')))) (v, a) =$ 
  Some True
    using state-to-strips-state-range-is[OF assms(1)]
    by simp
  } note nb12 = this
  {
    fix v a'
    assume (v, a') ∈ dom (map-of (effect-to-assignments op'))
    and (v, a') ∈ ( $\bigcup (v, a) \in set (effect-of op)$ .
      { (v, a') | a'. a' ∈ ( $\mathcal{R}_+ \Psi v$ ) ∧ a' ≠ a })
    moreover have v ∈ dom (map-of (effect-of op))
      unfolding dom-map-of-conv-image-fst
      using calculation(2)
      by force
    moreover have v ∈ set ?vs
      using calculation(3) is-valid-operator-sas-plus-then(3) is-valid-operator-op
      unfolding dom-map-of-conv-image-fst is-valid-operator-sas-plus-def
      by fastforce
    moreover obtain a where (v, a) ∈ set (effect-of op)
      and a' ∈  $\mathcal{R}_+ \Psi v$ 
      and a' ≠ a
      using calculation(2)
      by blast
    moreover have (s ++ map-of (effect-of ( $\varphi_O^{-1} \Psi op'$ ))) v = Some a
      unfolding map-add-dom-app-simps(1)[OF calculation(3)] nb1
      using nb10 calculation(5)
      by blast
    moreover have (s ++ map-of (effect-of ( $\varphi_O^{-1} \Psi op'$ ))) v ≠ None
      using calculation(8)
      by auto
    — TODO slow.
    moreover have (v, a') ∈ dom ( $\varphi_S \Psi (s ++ map-of (effect-of (\varphi_O^{-1} \Psi op'))))$ 
      using state-to-strips-state-dom-is[OF assms(1), of
        s ++ map-of (effect-of ( $\varphi_O^{-1} \Psi op'$ ))] calculation(4, 6, 9)
      by simp
    — TODO slow.
    ultimately have ( $\varphi_S \Psi (s ++ map-of (effect-of (\varphi_O^{-1} \Psi op')))) (v, a') =$ 
    Some False
      using state-to-strips-state-range-is[OF assms(1),
        of v a' s ++ map-of (effect-of ( $\varphi_O^{-1} \Psi op'$ ))]

```

```

    by simp
} note nb13 = this
{
fix v a
assume (v, a) ∈ dom ?t
  and (v, a) ∉ dom (map-of (effect-to-assignments op'))
moreover have (v, a) ∈ dom ?s'
  using calculation(1, 2)
  unfolding dom-map-add
  by blast
moreover have ?t (v, a) = ?s' (v, a)
  unfolding map-add-dom-app-simps(3)[OF calculation(2)]..
ultimately have ?t (v, a) = Some (the (s v) = a)
  using state-to-strips-state-range-is[OF assms(1)]
  by presburger
} note nb14 = this
{
fix v a
assume (v, a) ∈ dom ?t
  and v-a-not-in: (v, a) ∉ dom (map-of (effect-to-assignments op'))
moreover have (v, a) ∈ dom ?s'
  using calculation(1, 2)
  unfolding dom-map-add
  by blast
moreover have (v, a) ∈ (⋃ v ∈ { v | v. v ∈ set ((Ψ)v+) ∧ s v ≠ None }.
  { (v, a) | a. a ∈ R+ Ψ v })
  using state-to-strips-state-dom-is[OF assms(1)] calculation(3)
  by presburger
moreover have v ∈ set ((Ψ)v+) and s v ≠ None and a ∈ R+ Ψ v
  using calculation(4)
  by blast+
— NOTE Hasn't this been proved before?
moreover {
  have dom (map-of (effect-to-assignments op')) = (⋃ (v, a) ∈ set (effect-of
op). { (v, a) })
    ∪ (⋃ (v, a) ∈ set (effect-of op).
      { (v, a') | a'. a' ∈ (R+ Ψ v) ∧ a' ≠ a })
  unfolding nb2
  by blast
also have ... = (⋃ (v, a) ∈ set (effect-of op). { (v, a) })
  ∪ { (v, a') | a'. a' ∈ (R+ Ψ v) ∧ a' ≠ a })
  by blast
finally have dom (map-of (effect-to-assignments op'))
= (⋃ (v, a) ∈ set (effect-of op). { (v, a) })
  ∪ { (v, a) | a. a ∈ R+ Ψ v })
  by auto
then have (v, a) ∉ (⋃ (v, a) ∈ set (effect-of op).
  { (v, a) | a. a ∈ R+ Ψ v })
  using v-a-not-in

```

```

    by blast
}
— TODO slow.
moreover have  $v \notin \text{dom}(\text{map-of}(\text{effect-of } op))$ 
  using dom-map-of-conv-image-fst calculation
  by fastforce
moreover have  $(s ++ \text{map-of}(\text{effect-of}(\varphi_O^{-1} \Psi op')) v = s v)$ 
  unfolding  $nb_1 \text{ map-add-dom-app-simps}(3)[\text{OF calculation}(9)]$ 
  by simp
— TODO slow.
moreover have  $(v, a) \in \text{dom } ?t'$ 
  using state-to-strips-state-dom-is[OF assms(1), of
     $s ++ \text{map-of}(\text{effect-of}(\varphi_O^{-1} \Psi op'))$ ] calculation(5, 6, 7, 8, 10)
  by simp
ultimately have  $?t' (v, a) = \text{Some}(\text{the}(s v) = a)$ 
  using state-to-strips-state-range-is[OF assms(1)]
  by presburger
} note  $nb_{15} = \text{this}$ 
— TODO refactor.
have  $nb_{16}: \text{dom } ?t = (\bigcup v \in \{ v \mid v. v \in \text{set}((\Psi)\nu_+) \wedge s v \neq \text{None} \}.$ 
   $\{ (v, a) \mid a. a \in (\mathcal{R}_+ \Psi v) \})$ 
   $\cup \text{set}(\text{effect-of } op)$ 
   $\cup (\bigcup (v, a) \in \text{set}(\text{effect-of } op).$ 
   $\{ (v, a') \mid a'. a' \in (\mathcal{R}_+ \Psi v) \wedge a' \neq a \})$ 
unfolding dom-map-add nb2
using state-to-strips-state-dom-is[OF assms(1), of s]
by auto
{
{
fix  $v a$ 
assume  $(v, a) \in \text{dom } ?t$ 
then consider (A)  $(v, a) \in \text{dom}(\varphi_S \Psi s)$ 
| (B)  $(v, a) \in \text{dom}(\text{map-of}(\text{effect-to-assignments } op'))$ 
  by fast
hence  $(v, a) \in \text{dom } ?t'$ 
proof (cases)
  case A
  then have  $v \in \text{set}((\Psi)\nu_+)$  and  $s v \neq \text{None}$  and  $a \in \mathcal{R}_+ \Psi v$ 
    unfolding state-to-strips-state-dom-element-iff[OF assms(1)]
    by blast+
thm map-add-None state-to-strips-state-dom-element-iff[OF assms(1)]
moreover have  $(s ++ \text{map-of}(\text{effect-of}(\varphi_O^{-1} \Psi op'))) v \neq \text{None}$ 
  using calculation(2)
  by simp
ultimately show ?thesis
  unfolding state-to-strips-state-dom-element-iff[OF assms(1)]
  by blast
next
  case B

```

```

then have (v, a) ∈
  set (effect-of op)
  ∪ (⋃(v, a)∈set (effect-of op). { (v, a') | a'. a' ∈ R+ Ψ v ∧ a' ≠ a })
unfolding nb2
by blast
then consider (B1) (v, a) ∈ set (effect-of op)
| (B2) (v, a) ∈ (⋃(v, a)∈set (effect-of op).
{ (v, a') | a'. a' ∈ R+ Ψ v ∧ a' ≠ a })
by blast
thm nb12 nb13 nb2
thus ?thesis
proof (cases)
case B1
then show ?thesis
using nb12
by fast
next
case B2
then show ?thesis
using nb13 B
by blast
qed
qed
}
moreover {
let ?u = s ++ map-of (effect-of (φO-1 Ψ op'))
fix v a
assume v-a-in-dom-t': (v, a) ∈ dom ?t'
thm nb5
then have v-in-vs: v ∈ set ((Ψ)V+)
and u-of-v-is-not-None: ?u v ≠ None
and a-in-range-of-v: a ∈ R+ Ψ v
using state-to-strips-state-dom-element-iff[OF assms(1)]
  v-a-in-dom-t'
by meson+
{
assume (v, a) ∉ dom ?t
then have contradiction: (v, a) ∉
  (⋃ v ∈ { v | v. v ∈ set ((Ψ)V+) ∧ s v ≠ None}. { (v, a) | a. a ∈ R+ Ψ v
})
  ∪ set (effect-of op)
  ∪ (⋃(v, a)∈set (effect-of op). { (v, a') | a'. a' ∈ R+ Ψ v ∧ a' ≠ a })
unfolding nb16
by fast
hence False
proof (cases map-of (effect-of (φO-1 Ψ op')) v = None)
case True
then have s v ≠ None
using u-of-v-is-not-None

```

```

by simp
then have  $(v, a) \in (\bigcup v \in \{ v \mid v. v \in \text{set } ((\Psi)_{V+}) \wedge s v \neq \text{None}\}.$ 
 $\{ (v, a) \mid a. a \in \mathcal{R}_+ \Psi v \})$ 
using v-in-vs a-in-range-of-v
by blast
thus ?thesis
using contradiction
by blast
next
case False
then have  $v \in \text{dom } (\text{map-of } (\text{effect-of op}))$ 
using u-of-v-is-not-None nb1
by blast
then obtain  $a'$  where map-of-effect-of-op-v-is: map-of (effect-of op) v = Some a'
by blast
then have  $v-a'-in: (v, a') \in \text{set } (\text{effect-of op})$ 
using map-of-SomeD
by fast
then show ?thesis
proof (cases  $a = a'$ )
case True
then have  $(v, a) \in \text{set } (\text{effect-of op})$ 
using v-a'-in
by blast
then show ?thesis
using contradiction
by blast
next
case False
then have  $(v, a) \in (\bigcup (v, a) \in \text{set } (\text{effect-of op}).$ 
 $\{(v, a') \mid a'. a' \in \mathcal{R}_+ \Psi v \wedge a' \neq a\})$ 
using v-a'-in calculation a-in-range-of-v
by blast
thus ?thesis
using contradiction
by fast
qed
qed
}
hence  $(v, a) \in \text{dom } ?t$ 
by argo
}
moreover have  $\text{dom } ?t \subseteq \text{dom } ?t'$  and  $\text{dom } ?t' \subseteq \text{dom } ?t$ 
subgoal
using calculation(1) subrelI[of dom ?t dom ?t']
by fast
subgoal
using calculation(2) subrelI[of dom ?t' dom ?t]

```

```

    by argo
done
ultimately have dom ?t = dom ?t'
    by force
} note nb17 = this
{
fix v a
assume v-a-in-dom-t: (v, a) ∈ dom ?t
hence ?t (v, a) = ?t' (v, a)
proof (cases (v, a) ∈ dom (map-of (effect-to-assignments op')))
    case True
        — TODO slow.
        — NOTE Split on the (disjunct) domain variable sets of map-of (effect-to-assignments op').
then consider (A1) (v, a) ∈ set (effect-of op)
    | (A2) (v, a) ∈ (⋃(v, a) ∈ set (effect-of op).
        { (v, a') | a'. a' ∈ (R+ Ψ v) ∧ a' ≠ a })
using nb2
    by fastforce
then show ?thesis
    proof (cases)
        case A1
            then have ?t (v, a) = Some True
                unfolding map-add-dom-app-simps(1)[OF True]
                using nb9(1)
                by fast
            moreover have ?t' (v, a) = Some True
                using nb12[OF A1].
            ultimately show ?thesis..
next
    case A2
        then have ?t (v, a) = Some False
            unfolding map-add-dom-app-simps(1)[OF True]
            using nb9(2)
            by blast
        moreover have ?t' (v, a) = Some False
            using nb13[OF True A2].
        ultimately show ?thesis..
qed
next
    case False
        moreover have ?t (v, a) = Some (the (s v) = a)
            using nb14[OF v-a-in-dom-t False].
        moreover have ?t' (v, a) = Some (the (s v) = a)
            using nb15[OF v-a-in-dom-t False].
        ultimately show ?thesis
            by argo
qed
} note nb18 = this

```

```

moreover {
  fix v a
  assume (v, a) ∈ dom ?t'
  hence ?t (v, a) = ?t' (v, a)
    using nb17 nb18
    by presburger
}
— TODO slow.
ultimately have ?t ⊆m ?t' and ?t' ⊆m ?t
  unfolding map-le-def
  by fastforce+
thus ?thesis
  using map-le-antisym[of ?t ?t']
  by fast
qed

```

— NOTE This is the essential step in the SAS+/STRIPS equivalence theorem. We show that executing a given parallel STRIPS operator ops' on the corresponding STRIPS state $s' = \varphi_S \Psi s$ yields the same state as executing the transformed SAS+ parallel operator $ops = [\varphi_O^{-1} (\varphi \Psi) op'. op' \leftarrow ops']$ on the original SAS+ state s and transforming the resultant SAS+ state to its corresponding STRIPS state.

```

lemma sas-plus-equivalent-to-strips-i-a-XII:
assumes is-valid-problem-sas-plus Ψ
  and ∀ op' ∈ set ops'. op' ∈ set ((φ Ψ)O)
shows execute-parallel-operator (φS Ψ s) ops'
  = φS Ψ (execute-parallel-operator-sas-plus s [φO-1 Ψ op'. op' ← ops'])
using assms
proof (induction ops' arbitrary: s)
case Nil
then show ?case
  unfolding execute-parallel-operator-def execute-parallel-operator-sas-plus-def
  by simp
next
case (Cons op' ops')
let ?Π = φ Ψ
let ?t' = (φS Ψ s) ++ map-of (effect-to-assignments op')
  and ?t = s ++ map-of (effect-of (φO-1 Ψ op'))
have nb1: ?t' = φS Ψ ?t
  using sas-plus-equivalent-to-strips-i-a-XI[OF assms(1)] Cons.prem(2)
  by force
{
  have ∀ op' ∈ set ops'. op' ∈ set (strips-problem.operators-of ?Π)
    using Cons.prem(2)
    by simp
  then have execute-parallel-operator (φS Ψ ?t) ops'
    = φS Ψ (execute-parallel-operator-sas-plus ?t [φO-1 Ψ x. x ← ops'])
    using Cons.IH[OF Cons.prem(1), of ?t]
    by fastforce
}

```

```

hence execute-parallel-operator ?t' ops'
  =  $\varphi_S \Psi (\text{execute-parallel-operator-sas-plus } ?t [\varphi_O^{-1} \Psi x. x \leftarrow \text{ops}'])$ 
  using nb1
  by argo
}
thus ?case
  by simp
qed

lemma sas-plus-equivalent-to-strips-i-a-XIII:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $\forall op' \in \text{set } \text{ops}'. op' \in \text{set } ((\varphi \Psi)_O)$ 
  and  $(\varphi_S \Psi G) \subseteq_m \text{execute-parallel-plan}$ 
    (execute-parallel-operator  $(\varphi_S \Psi I)$  ops')  $\pi$ 
shows  $(\varphi_S \Psi G) \subseteq_m \text{execute-parallel-plan}$ 
   $(\varphi_S \Psi (\text{execute-parallel-operator-sas-plus } I [\varphi_O^{-1} \Psi op'. op' \leftarrow \text{ops}'])) \pi$ 
proof -
let ?I' =  $(\varphi_S \Psi I)$ 
  and ?G' =  $\varphi_S \Psi G$ 
  and ?ops =  $[\varphi_O^{-1} \Psi op'. op' \leftarrow \text{ops}]$ 
  and ?Pi =  $\varphi \Psi$ 
let ?J = execute-parallel-operator-sas-plus I ?ops
{
  fix v a
  assume  $(v, a) \in \text{dom } ?G'$ 
  then have ?G' (v, a) = execute-parallel-plan
    (execute-parallel-operator ?I' ops')  $\pi (v, a)$ 
    using assms(3)
    unfolding map-le-def
    by auto
  hence ?G' (v, a) = execute-parallel-plan  $(\varphi_S \Psi ?J) \pi (v, a)$ 
    using sas-plus-equivalent-to-strips-i-a-XII[OF assms(1, 2)]
    by simp
}
thus ?thesis
  unfolding map-le-def
  by fast
qed

```

— NOTE This is a more abstract formulation of the proposition in *sas-plus-equivalent-to-strips-i* which is better suited for induction proofs. We essentially claim that given a plan the execution in STRIPS semantics of which solves the problem of reaching a transformed goal state $\varphi_S \Psi G$ from a transformed initial state $\varphi_S \Psi I$ —such as the goal and initial state of an induced STRIPS problem for a SAS+ problem—is equivalent to an execution in SAS+ semantics of the transformed plan $\varphi_P^{-1} (\varphi \Psi) \pi$ w.r.t to the original initial state I and original goal state G .

```

lemma sas-plus-equivalent-to-strips-i-a:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $\text{dom } I \subseteq \text{set } ((\Psi)_{V+})$ 

```

and $\forall v \in \text{dom } I. \text{ the } (I v) \in \mathcal{R}_+ \Psi v$
and $\text{dom } G \subseteq \text{set } ((\Psi)_{\mathcal{V}_+})$
and $\forall v \in \text{dom } G. \text{ the } (G v) \in \mathcal{R}_+ \Psi v$
and $\forall \text{ops}' \in \text{set } \pi. \forall \text{op}' \in \text{set } \text{ops}'. \text{ op}' \in \text{set } ((\varphi \Psi)_{\mathcal{O}})$
and $(\varphi_S \Psi G) \subseteq_m \text{execute-parallel-plan } (\varphi_S \Psi I) \pi$
shows $G \subseteq_m \text{execute-parallel-plan-sas-plus } I (\varphi_P^{-1} \Psi \pi)$
proof –
let $?vs = \text{variables-of } \Psi$
and $?psi = \varphi_P^{-1} \Psi \pi$
show $?thesis$
using assms
proof (*induction* π *arbitrary*: I)
case Nil
then have $(\varphi_S \Psi G) \subseteq_m (\varphi_S \Psi I)$
by *fastforce*
then have $G \subseteq_m I$
using *state-to-strips-state-map-le-iff*[*OF assms(1, 4, 5)*]
by *blast*
thus $?case$
unfolding *SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def*
strips-parallel-plan-to-sas-plus-parallel-plan-def
by *fastforce*
next
case $(\text{Cons } \text{ops}' \pi)$
let $?D = \text{range-of } \Psi$
and $?Pi = \varphi \Psi$
and $?I' = \varphi_S \Psi I$
and $?G' = \varphi_S \Psi G$
let $?ops = [\varphi_O^{-1} \Psi \text{op}. \text{op}' \leftarrow \text{ops}]$
let $?J = \text{execute-parallel-operator-sas-plus } I ?ops$
and $?J' = \text{execute-parallel-operator } ?I' \text{ ops}'$
have $nb_1: \text{set } \text{ops}' \subseteq \text{set } ((?Pi)_{\mathcal{O}})$
using *Cons.prem(6)*
unfolding *STRIPS-Semantics.is-parallel-solution-for-problem-def list-all-iff*
ListMem-iff
by *fastforce*
{
fix op
assume $\text{op} \in \text{set } ?ops$
moreover obtain $\text{op}' \text{ where } \text{op}' \in \text{set } \text{ops}' \text{ and } \text{op} = \varphi_O^{-1} \Psi \text{op}'$
using *calculation*
by *auto*
moreover have $\text{op}' \in \text{set } ((?Pi)_{\mathcal{O}})$
using $nb_1 \text{ calculation}(2)$
by *blast*
moreover obtain $\text{op}'' \text{ where } \text{op}'' \in \text{set } ((\Psi)_{\mathcal{O}_+}) \text{ and } \text{op}' = \varphi_O \Psi \text{op}''$
using *calculation(4)*
by *auto*
moreover have $\text{op} = \text{op}''$

```

    using sas-plus-operator-inverse-is[OF assms(1) calculation(5)] calculation(3, 6)
    by presburger
    ultimately have op ∈ set ((Ψ)_{O+}) ∧ (∃ op' ∈ set ops'. op' = φ_{O } Ψ op)
        by blast
    } note nb2 = this
    {
        fix op v a
        assume op ∈ set ((Ψ)_{O+}) and (v, a) ∈ set (effect-of op)
        moreover have op ∈ set ((Ψ)_{O+})
            using nb2 calculation(1)
            by blast
        moreover have is-valid-operator-sas-plus Ψ op
            using is-valid-problem-sas-plus-then(2) Cons.prems(1) calculation(3)
            by blast
        ultimately have v ∈ set ((Ψ)_{V+})
            using is-valid-operator-sas-plus-then(3)
            by fastforce
    } note nb3 = this
    {
        fix op
        assume op ∈ set ?ops
        then have op ∈ set ((Ψ)_{O+})
            using nb2
            by blast
        then have is-valid-operator-sas-plus Ψ op
            using is-valid-problem-sas-plus-then(2) Cons.prems(1)
            by blast
        hence ∀(v, a) ∈ set (effect-of op). v ∈ set ((Ψ)_{V+})
            ∧ a ∈ R+ Ψ v
            using is-valid-operator-sas-plus-then(3,4)
            by fast
    } note nb4 = this
show ?case
proof (cases STRIPS-Semantics.are-all-operators-applicable ?I' ops'
    ∧ STRIPS-Semantics.are-all-operator-effects-consistent ops')
case True
{
{
    have dom I ⊆ set ((Ψ)_{V+})
        using Cons.prems(2)
        by blast
    hence (φS-1 Ψ ?I') = I
        using strips-state-to-state-inverse-is[OF
            Cons.prems(1) - Cons.prems(3)]
        by argo
}
then have are-all-operators-applicable-in I ?ops
    ∧ are-all-operator-effects-consistent ?ops

```

```

using sas-plus-equivalent-to-strips-i-a-IV[OF assms(1) nb1, of I] True
by simp
moreover have  $(\varphi_P^{-1} \Psi (ops' \# \pi)) = ?ops \# (\varphi_P^{-1} \Psi \pi)$ 
unfolding SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
strips-parallel-plan-to-sas-plus-parallel-plan-def
SAS-Plus-STRIPS.strips-op-to-sasp-def
strips-op-to-sasp-def
by simp
ultimately have execute-parallel-plan-sas-plus I  $(\varphi_P^{-1} \Psi (ops' \# \pi))$ 
= execute-parallel-plan-sas-plus ?J  $(\varphi_P^{-1} \Psi \pi)$ 
by force
} note nb5 = this
— Show the goal using the IH.
{
have dom-J-subset-eq-vs: dom ?J  $\subseteq$  set (( $\Psi$ )V+)
using sas-plus-equivalent-to-strips-i-a-IX[OF Cons.prems(2)] nb2 nb4
by blast
moreover {
have set (( $\Psi$ )V+)  $\subseteq$  dom (range-of  $\Psi$ )
using is-valid-problem-sas-plus-then(1)[OF assms(1)]
by fastforce
moreover have  $\forall v \in \text{dom } I. \text{the } (I v) \in \text{set } (\text{the } (\text{range-of } \Psi v))$ 
using Cons.prems(2, 3) assms(1) set-the-range-of-is-range-of-sas-plus-if
by force
moreover have v-in-dom-J-range:  $\forall v \in \text{dom } ?J. \text{the } (?J v) \in \text{set } (\text{the } (?D v))$ 
using sas-plus-equivalent-to-strips-i-a-X[of
I set (( $\Psi$ )V+) ?D ?ops, OF Cons.prems(2)] calculation(1, 2, 3)
by fastforce
{
fix v
assume v  $\in$  dom ?J
moreover have v  $\in$  set (( $\Psi$ )V+)
using nb2 calculation dom-J-subset-eq-vs
by blast
moreover have set ( $\text{the } (\text{range-of } \Psi v)$ ) =  $\mathcal{R}_+ \Psi v$ 
using set-the-range-of-is-range-of-sas-plus-if[OF assms(1)]
calculation(2)
by presburger
ultimately have  $\text{the } (?J v) \in \mathcal{R}_+ \Psi v$ 
using nb3 v-in-dom-J-range
by blast
}
ultimately have  $\forall v \in \text{dom } ?J. \text{the } (?J v) \in \mathcal{R}_+ \Psi v$ 

```

```

    by fast
}
moreover have  $\forall ops' \in set \pi. \forall op' \in set ops'. op' \in set ((\varphi \Psi)_O)$ 
  using Cons.prems(6)
  by simp
moreover {
  have  $?G' \subseteq_m execute-parallel-plan ?J' \pi$ 
    using Cons.prems(7) True
    by auto
  hence  $(\varphi_S \Psi G) \subseteq_m execute-parallel-plan (\varphi_S \Psi ?J) \pi$ 
    using sas-plus-equivalent-to-strips-i-a-XIII[OF Cons.prems(1)] nb1
    by blast
}
ultimately have  $G \subseteq_m execute-parallel-plan-sas-plus I (\varphi_P^{-1} \Psi (ops' \# \pi))$ 
  using Cons.IH[of ?J, OF Cons.prems(1) - - Cons.prems(4, 5)]
Cons.prems(6) nb5
  by presburger
}
thus ?thesis.

next
case False
then have  $?G' \subseteq_m ?I'$ 
  using Cons.prems(7)
  by force
moreover {
  have  $dom I \subseteq set ?vs$ 
    using Cons.prems(2)
    by simp
  hence  $\neg(are-all-operators-applicable-in I ?ops$ 
     $\wedge are-all-operator-effects-consistent ?ops)$ 
    using sas-plus-equivalent-to-strips-i-a-VIII[OF Cons.prems(1) -
Cons.prems(3) nb1]
    False
    by force
}
moreover {
  have  $(\varphi_P^{-1} \Psi (ops' \# \pi)) = ?ops \# (\varphi_P^{-1} \Psi \pi)$ 
  unfolding SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
    strips-parallel-plan-to-sas-plus-parallel-plan-def
    SAS-Plus-STRIPS.strips-op-to-sasp-def
    strips-op-to-sasp-def
  by simp
  hence  $G \subseteq_m execute-parallel-plan-sas-plus I (?ops \# (\varphi_P^{-1} \Psi \pi))$ 
     $\longleftrightarrow G \subseteq_m I$ 
    using calculation(2)
    by force
}
ultimately show ?thesis

```

```

using state-to-strips-state-map-le-iff[OF Cons.prems(1, 4, 5)]
unfolding SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
strips-parallel-plan-to-sas-plus-parallel-plan-def
SAS-Plus-STRIPS.strips-op-to-sasp-def
strips-op-to-sasp-def
by force
qed
qed
qed

```

— NOTE Show that a solution for the induced STRIPS problem for the given valid SAS+ problem, corresponds to a solution for the given SAS+ problem.
Note that in the context of the SAS+ problem solving pipeline, we

1. convert the given valid SAS+ Ψ problem to the corresponding STRIPS problem Π (this is implicitly also valid by lemma *is-valid-problem-sas-plus-then-strips-transformation-too*); then,
2. get a solution π —if it exists—for the induced STRIPS problem by executing SATPlan; and finally,
3. convert π back to a solution ψ for the SAS+ problem.

```

lemma sas-plus-equivalent-to-strips-i:
assumes is-valid-problem-sas-plus  $\Psi$ 
and STRIPS-Semantics.is-parallel-solution-for-problem
 $(\varphi \Psi) \pi$ 
shows goal-of  $\Psi \subseteq_m \text{execute-parallel-plan-sas-plus}$ 
(sas-plus-problem.initial-of  $\Psi$ )  $(\varphi_P^{-1} \Psi \pi)$ 
proof —
let ?vs = variables-of  $\Psi$ 
and ?I = initial-of  $\Psi$ 
and ?G = goal-of  $\Psi$ 
let ?Pi =  $\varphi \Psi$ 
let ?G' = strips-problem.goal-of ?Pi
and ?I' = strips-problem.initial-of ?Pi
let ?psi =  $\varphi_P^{-1} \Psi \pi$ 
have dom ?I  $\subseteq$  set ?vs
using is-valid-problem-sas-plus-then(3) assms(1)
by auto
moreover have  $\forall v \in \text{dom } ?I. \text{ the } (?I v) \in \mathcal{R}_+ \Psi v$ 
using is-valid-problem-sas-plus-then(4) assms(1) calculation
by auto
moreover have dom ?G  $\subseteq$  set ?vs and  $\forall v \in \text{dom } ?G. \text{ the } (?G v) \in \mathcal{R}_+ \Psi v$ 
using is-valid-problem-sas-plus-then(5, 6) assms(1)
by blast
moreover have  $\forall ops' \in \text{set } \pi. \forall op' \in \text{set } ops'. op' \in \text{set } ((?Pi)_O)$ 
using is-parallel-solution-for-problem-operator-set[OF assms(2)]
by simp
moreover {
have ?G'  $\subseteq_m \text{execute-parallel-plan } ?I' \pi$ 

```

```

using assms(2)
unfolding STRIPS-Semantics.is-parallel-solution-for-problem-def..
moreover have ?G' = φS Ψ ?G and ?I' = φS Ψ ?I
  by simp+
ultimately have (φS Ψ ?G) ⊆m execute-parallel-plan (φS Ψ ?I) π
  by simp
}
ultimately show ?thesis
  using sas-plus-equivalent-to-strips-i-a[OF assms(1)]
  by simp
qed

```

— NOTE Show that the operators for a given solution π to the induced STRIPS problem for a given SAS+ problem correspond to operators of the SAS+ problem.

lemma sas-plus-equivalent-to-strips-ii:

```

assumes is-valid-problem-sas-plus Ψ
and STRIPS-Semantics.is-parallel-solution-for-problem (φ Ψ) π
shows list-all (list-all (λop. ListMem op (operators-of Ψ))) (φP-1 Ψ π)
proof -
let ?Π = φ Ψ
let ?ops = operators-of Ψ
and ?ψ = φP-1 Ψ π
have is-valid-problem-strips ?Π
  using is-valid-problem-sas-plus-then-strips-transformation-too[OF assms(1)]
  by simp
have nb1: ∀ op' ∈ set ((?Π)O). (∃ op ∈ set ?ops. op' = (φO Ψ op))
  by auto
{
fix ops' op' op
assume ops' ∈ set π and op' ∈ set ops'
then have op' ∈ set (strips-problem.operators-of ?Π)
  using is-parallel-solution-for-problem-operator-set[OF assms(2)]
  by simp
then obtain op where op ∈ set ((Ψ)O+) and op' = (φO Ψ op)
  by auto
then have (φO-1 Ψ op') ∈ set ((Ψ)O+)
  using sas-plus-operator-inverse-is[OF assms(1)]
  by presburger
}
thus ?thesis
unfolding list-all-iff ListMem-iff
strips-parallel-plan-to-sas-plus-parallel-plan-def
SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
SAS-Plus-STRIPS.strips-op-to-sasp-def
strips-op-to-sasp-def
by auto
qed

```

We now show that for a parallel solution π of Π the SAS+ plan $\psi \equiv \varphi_P^{-1}$

$\Psi \pi$ yielded by the STRIPS to SAS+ plan transformation is a solution for Ψ . The proof uses the definition of parallel STRIPS solutions and shows that the execution of ψ on the initial state of the SAS+ problem yields a state satisfying the problem's goal state, i.e.

$$G \subseteq_m \text{execute-parallel-plan-sas-plus } I \psi$$

and by showing that all operators in all parallel operators of ψ are operators of the problem.

theorem

sas-plus-equivalent-to-strips:

assumes *is-valid-problem-sas-plus* Ψ

and *STRIPS-Semantics.is-parallel-solution-for-problem* $(\varphi \Psi) \pi$

shows *is-parallel-solution-for-problem* $\Psi (\varphi_P^{-1} \Psi \pi)$

proof –

let $?I = \text{initial-of } \Psi$

and $?G = \text{goal-of } \Psi$

and $?ops = \text{operators-of } \Psi$

and $?ψ = \varphi_P^{-1} \Psi \pi$

show $?thesis$

unfolding *is-parallel-solution-for-problem-def Let-def*

proof (*rule conjI*)

show $?G \subseteq_m \text{execute-parallel-plan-sas-plus } ?I ?ψ$

using *sas-plus-equivalent-to-strips-i[OF assms]*.

next

show *list-all (list-all (λop. ListMem op ?ops)) ?ψ*

using *sas-plus-equivalent-to-strips-ii[OF assms]*.

qed

qed

private lemma *strips-equivalent-to-sas-plus-i-a-I:*

assumes *is-valid-problem-sas-plus* Ψ

and $\forall op \in \text{set ops}. op \in \text{set } ((\Psi)_{\mathcal{O}_+})$

and $op' \in \text{set } [\varphi_O \Psi op. op \leftarrow ops]$

obtains op **where** $op \in \text{set ops}$

and $op' = \varphi_O \Psi op$

proof –

let $?II = \varphi \Psi$

let $?ops = \text{operators-of } \Psi$

obtain op **where** $op \in \text{set ops}$ **and** $op' = \varphi_O \Psi op$

using *assms(3)*

by *auto*

thus $?thesis$

using *that*

by *blast*

qed

private corollary *strips-equivalent-to-sas-plus-i-a-II:*

assumes *is-valid-problem-sas-plus* Ψ

and $\forall op \in set ops. op \in set ((\Psi)_{\mathcal{O}+})$
and $op' \in set [\varphi_O \Psi op. op \leftarrow ops]$
shows $op' \in set ((\varphi \Psi)_{\mathcal{O}})$
and *is-valid-operator-strips* $(\varphi \Psi) op'$
proof –
let $\varPi = \varphi \Psi$
let $?ops = operators-of \varPi$
and $?ops' = strips-problemoperators-of \varPi$
obtain op **where** $op-in: op \in set ops$ **and** $op'-is: op' = \varphi_O \Psi op$
using *strips-equivalent-to-sas-plus-i-a-I*[OF assms].
then have $nb: op' \in set ((\varphi \Psi)_{\mathcal{O}})$
using assms(2) $op-in op'-is$
by fastforce
thus $op' \in set ((\varphi \Psi)_{\mathcal{O}})$
and *is-valid-operator-strips* $\varPi op'$
proof –
have $\forall op' \in set ?ops'. is-valid-operator-strips \varPi op'$
using *is-valid-problem-sas-plus-then-strips-transformation-too-iii*[OF assms(1)]
unfolding list-all-iff.
thus *is-valid-operator-strips* $\varPi op'$
using nb
by fastforce
qed fastforce
qed

lemma *strips-equivalent-to-sas-plus-i-a-III*:
assumes *is-valid-problem-sas-plus* Ψ
and $\forall op \in set ops. op \in set ((\Psi)_{\mathcal{O}+})$
shows *execute-parallel-operator* $(\varphi_S \Psi s) [\varphi_O \Psi op. op \leftarrow ops]$
 $= (\varphi_S \Psi (execute-parallel-operator-sas-plus s ops))$
proof –
{
fix $op s$
assume $op \in set ((\Psi)_{\mathcal{O}+})$
moreover have $(\varphi_O \Psi op) \in set ((\varphi \Psi)_{\mathcal{O}})$
using calculation
by simp
moreover have $(\varphi_S \Psi s) ++ map-of (effect-to-assignments (\varphi_O \Psi op))$
 $= (\varphi_S \Psi (s ++ map-of (effect-of (\varphi_O^{-1} \Psi (\varphi_O \Psi op)))))$
using *sas-plus-equivalent-to-strips-i-a-XI*[OF assms(1) calculation(2)]
by blast
moreover have $(\varphi_O^{-1} \Psi (\varphi_O \Psi op)) = op$
using *sas-plus-operator-inverse-is*[OF assms(1) calculation(1)].
ultimately have $(\varphi_S \Psi s) \gg (\varphi_O \Psi op)$
 $= (\varphi_S \Psi (s \gg_+ op))$
unfolding *execute-operator-def execute-operator-sas-plus-def*
by simp
} note $nb_1 = this$

```

show ?thesis
  using assms
  proof (induction ops arbitrary: s)
    case Nil
      then show ?case
        unfolding execute-parallel-operator-def execute-parallel-operator-sas-plus-def
        by simp
    next
      case (Cons op ops)
      let ?t = s  $\gg_+$  op
      let ?s' =  $\varphi_S \Psi s$ 
      and ?ops' =  $[\varphi_O \Psi op. op \leftarrow op \# ops]$ 
      let ?t' = ?s'  $\gg (\varphi_O \Psi op)$ 
      have execute-parallel-operator ?s' ?ops'
        = execute-parallel-operator ?t'  $[\varphi_O \Psi x. x \leftarrow ops]$ 
        unfoldng execute-operator-def
        by simp
      moreover have ( $\varphi_S \Psi$  (execute-parallel-operator-sas-plus s (op # ops)))
        = ( $\varphi_S \Psi$  (execute-parallel-operator-sas-plus ?t ops))
        unfoldng execute-operator-sas-plus-def
        by simp
      moreover {
        have ?t' = ( $\varphi_S \Psi$  ?t)
        using nb1 Cons.prem(2)
        by simp
        hence execute-parallel-operator ?t'  $[\varphi_O \Psi x. x \leftarrow ops]$ 
          = ( $\varphi_S \Psi$  (execute-parallel-operator-sas-plus ?t ops))
          using Cons.IH[of ?t] Cons.prem
          by simp
      }
      ultimately show ?case
        by argo
    qed
qed

private lemma strips-equivalent-to-sas-plus-i-a-IV:
  assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $\forall op \in set ops. op \in set ((\Psi)_{\mathcal{O}_+})$ 
  and are-all-operators-applicable-in I ops
   $\wedge$  are-all-operator-effects-consistent ops
  shows STRIPS-Semantics.are-all-operators-applicable ( $\varphi_S \Psi I$ ) [ $\varphi_O \Psi op. op \leftarrow ops$ ]
   $\wedge$  STRIPS-Semantics.are-all-operator-effects-consistent [ $\varphi_O \Psi op. op \leftarrow ops$ ]
  proof -
    let ?vs = variables-of  $\Psi$ 
    and ?ops = operators-of  $\Psi$ 
    let ?I' =  $\varphi_S \Psi I$ 
    and ?ops' =  $[\varphi_O \Psi op. op \leftarrow ops]$ 

```

```

have nb1:  $\forall op \in set ops. is-operator-applicable-in I op$ 
  using assms(3)
  unfolding are-all-operators-applicable-in-def list-all-iff
  by blast
have nb2:  $\forall op \in set ops. is-valid-operator-sas-plus \Psi op$ 
  using is-valid-problem-sas-plus-then(2) assms(1, 2)
  unfolding is-valid-operator-sas-plus-def
  by auto
have nb3:  $\forall op \in set ops. map-of (precondition-of op) \subseteq_m I$ 
  using nb1
  unfolding is-operator-applicable-in-def list-all-iff
  by blast
{
  fix op1 op2
  assume op1 ∈ set ops and op2 ∈ set ops
  hence are-operator-effects-consistent op1 op2
    using assms(3)
    unfolding are-all-operator-effects-consistent-def list-all-iff
    by blast
} note nb4 = this
{
  fix op1 op2
  assume op1 ∈ set ops and op2 ∈ set ops
  hence  $\forall (v, a) \in set (effect-of op_1). \forall (v', a') \in set (effect-of op_2).$ 
     $v \neq v' \vee a = a'$ 
    using nb4
    unfolding are-operator-effects-consistent-def Let-def list-all-iff
    by presburger
} note nb5 = this
{
  fix op1' op2' I
  assume op1' ∈ set ?ops'
  and op2' ∈ set ?ops'
  and  $\exists (v, a) \in set (add-effects-of op_1'). \exists (v', a') \in set (delete-effects-of op_2').$ 
     $(v, a) = (v', a')$ 
  moreover obtain op1 op2
    where op1 ∈ set ops
      and op1' =  $\varphi_O \Psi op_1$ 
      and op2 ∈ set ops
        and op2' =  $\varphi_O \Psi op_2$ 
    using strips-equivalent-to-sas-plus-i-a-I[OF assms(1, 2)] calculation(1, 2)
    by auto
  moreover have is-valid-operator-sas-plus  $\Psi op_1$ 
    and is-valid-operator-op2: is-valid-operator-sas-plus  $\Psi op_2$ 
    using calculation(4, 6) nb2
    by blast+
  moreover obtain v v' a a'
    where  $(v, a) \in set (add-effects-of op_1')$ 
      and  $(v', a') \in set (delete-effects-of op_2')$ 

```

```

and  $(v, a) = (v', a')$ 
using calculation
by blast
moreover have  $(v, a) \in \text{set}(\text{effect-of } op_1)$ 
using calculation(5, 10)
unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
sasp-op-to-strips-def Let-def
by fastforce
moreover have  $v = v'$  and  $a = a'$ 
using calculation(12)
by simp+
moreover {
have  $(v', a') \in (\bigcup(v, a) \in \text{set}(\text{effect-of } op_2))$ .
{  $(v, a') \mid a' \in (\mathcal{R}_+ \Psi v) \wedge a' \neq a$  }
using sasp-op-to-strips-set-delete-effects-is
calculation(7, 9, 11)
by blast
then obtain  $v'' a''$  where  $(v'', a'') \in \text{set}(\text{effect-of } op_2)$ 
and  $(v', a') \in \{ (v'', a'') \mid a''' \in (\mathcal{R}_+ \Psi v'') \wedge a''' \neq a'' \}$ 
by blast
moreover have  $(v', a'') \in \text{set}(\text{effect-of } op_2)$ 
using calculation
by blast
moreover have  $a' \in \mathcal{R}_+ \Psi v''$  and  $a' \neq a''$ 
using calculation(1, 2)
by fast+
ultimately have  $\exists a''. (v', a'') \in \text{set}(\text{effect-of } op_2) \wedge a' \in (\mathcal{R}_+ \Psi v')$ 
 $\wedge a' \neq a''$ 
by blast
}
moreover obtain  $a''$  where  $a' \in \mathcal{R}_+ \Psi v'$ 
and  $(v', a'') \in \text{set}(\text{effect-of } op_2)$ 
and  $a' \neq a''$ 
using calculation(16)
by blast
moreover have  $\exists (v, a) \in \text{set}(\text{effect-of } op_1). (\exists (v', a') \in \text{set}(\text{effect-of } op_2).$ 
 $v = v' \wedge a \neq a')$ 
using calculation(13, 14, 15, 17, 18, 19)
by blast
— TODO slow.
ultimately have  $\exists op_1 \in \text{set ops}. \exists op_2 \in \text{set ops}. \neg \text{are-operator-effects-consistent}$ 
 $op_1 op_2$ 
unfolding are-operator-effects-consistent-def list-all-iff
by fastforce
} note  $nb_6 = \text{this}$ 
show  $?thesis$ 
proof (rule conjI)
{
fix  $op'$ 

```

```

assume  $op' \in \text{set } ?ops'$ 
moreover obtain  $op$  where  $op\text{-in: } op \in \text{set } ops$ 
  and  $op'\text{-is: } op' = \varphi_O \Psi op$ 
  and  $op'\text{-in: } op' \in \text{set } ((\varphi \Psi)_O)$ 
  and  $is\text{-valid-op}': is\text{-valid-operator-strips } (\varphi \Psi) op'$ 
  using strips-equivalent-to-sas-plus-i-a-I[ $OF assms(1, 2)$ ]
    strips-equivalent-to-sas-plus-i-a-II[ $OF assms(1, 2)$ ] calculation
    by metis
moreover have  $is\text{-valid-op}: is\text{-valid-operator-sas-plus } \Psi op$ 
  using nb2 calculation(2)..}
{
  fix  $v a$ 
  assume  $v\text{-a-in-preconditions}': (v, a) \in \text{set } (strips-operator.precondition-of}$ 
 $op')$ 
  have  $v\text{-a-in-preconditions}: (v, a) \in \text{set } (precondition-of op)$ 
  using  $op'\text{-is}$ 
  unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
    sasp-op-to-strips-def Let-def
  using  $v\text{-a-in-preconditions}'$ 
  by force
  moreover have  $v \in \text{set } ?vs \text{ and } a \in \mathcal{R}_+ \Psi v$ 
  using  $is\text{-valid-operator-sas-plus-then}(1, 2)$   $is\text{-valid-op}$  calculation(1)
  by fastforce+
  moreover {
    have  $\forall (v, a) \in \text{set } (precondition-of op). \forall (v', a') \in \text{set } (precondition-of}$ 
 $op).$ 
     $v \neq v' \vee a = a'$ 
    using  $is\text{-valid-operator-sas-plus-then}(5)$   $is\text{-valid-op}$ 
    by fast
    hence map-of (precondition-of op)  $v = \text{Some } a$ 
    using map-of-constant-assignments-defined-if[ $OF - v\text{-a-in-preconditions}$ ]
    by blast
  }
  moreover have  $v \in \text{dom } (\text{map-of } (precondition-of op))$ 
  using calculation(4)
  by blast
  moreover have  $I v = \text{Some } a$ 
  using nb3
  unfolding map-le-def
  using op-in calculation(4, 5)
  by metis
  moreover have  $(v, a) \in \text{dom } ?I'$ 
  using state-to-strips-state-dom-element-iff[ $OF assms(1)$ ]
    calculation(2, 3, 6)
  by simp
  ultimately have  $?I' (v, a) = \text{Some } True$ 
  using state-to-strips-state-range-is[ $OF assms(1)$ ]
  by simp
}

```

```

hence STRIPS-Representation.is-operator-applicable-in ?I' op'
  unfolding
    STRIPS-Representation.is-operator-applicable-in-def
      Let-def list-all-iff
        by fast
    }
thus are-all-operators-applicable ?I' ?ops'
  unfolding are-all-operators-applicable-def list-all-iff
  by blast
next
{
  fix op1' op2'
  assume op1'-in-ops': op1' ∈ set ?ops' and op2'-in-ops': op2' ∈ set ?ops'
  have STRIPS-Semantics.are-operator-effects-consistent op1' op2'
    unfolding STRIPS-Semantics.are-operator-effects-consistent-def Let-def
    — TODO proof is symmetrical... refactor into nb.
    proof (rule conjI)
      show ¬list-ex (λx. list-ex ((=) x) (delete-effects-of op2'))
        (add-effects-of op1')
      proof (rule ccontr)
        assume ¬¬list-ex (λv. list-ex ((=) v) (delete-effects-of op2'))
          (add-effects-of op1')
        then have ∃(v, a) ∈ set (delete-effects-of op2').
          ∃(v', a') ∈ set (add-effects-of op1'). (v, a) = (v', a')
        unfolding list-ex-iff
        by fastforce
        then obtain op1 op2 where op1 ∈ set ops
          and op2 ∈ set ops
          and ¬are-operator-effects-consistent op1 op2
          using nb6[OF op1'-in-ops' op2'-in-ops']
          by blast
        thus False
          using nb4
          by blast
      qed
    next
      show ¬list-ex (λv. list-ex ((=) v) (add-effects-of op2')) (delete-effects-of
        op1')
      proof (rule ccontr)
        assume ¬¬list-ex (λv. list-ex ((=) v) (add-effects-of op2'))
          (delete-effects-of op1')
        then have ∃(v, a) ∈ set (delete-effects-of op1').
          ∃(v', a') ∈ set (add-effects-of op2'). (v, a) = (v', a')
        unfolding list-ex-iff
        by fastforce
        then obtain op1 op2 where op1 ∈ set ops
          and op2 ∈ set ops
          and ¬are-operator-effects-consistent op1 op2
          using nb6[OF op2'-in-ops' op1'-in-ops']

```

```

        by blast
      thus False
        using nb4
        by blast
      qed
    qed
  }
thus STRIPS-Semantics.are-all-operator-effects-consistent ?ops'
  unfolding STRIPS-Semantics.are-all-operator-effects-consistent-def list-all-iff
    by blast
  qed
qed
}

private lemma strips-equivalent-to-sas-plus-i-a-V:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $\forall op \in \text{set } ops. op \in \text{set } ((\Psi)_{O+})$ 
  and  $\neg(\text{are-all-operators-applicable-in } s \text{ ops}$ 
     $\wedge \text{are-all-operator-effects-consistent } ops)$ 
shows  $\neg(\text{STRIPS-Semantics.are-all-operators-applicable } (\varphi_S \Psi s) [\varphi_O \Psi op. op \leftarrow ops]$ 
 $\wedge \text{STRIPS-Semantics.are-all-operator-effects-consistent } [\varphi_O \Psi op. op \leftarrow ops])$ 
proof -
let ?vs = variables-of  $\Psi$ 
  and ?ops = operators-of  $\Psi$ 
let ?s' =  $\varphi_S \Psi s$ 
  and ?ops' =  $[\varphi_O \Psi op. op \leftarrow ops]$ 
{
  fix op
  assume op ∈ set ops
  hence  $\exists op' \in \text{set } ?ops'. op' = \varphi_O \Psi op$ 
    by simp
} note nb1 = this
{
  fix op
  assume op ∈ set ops
  then have op ∈ set  $((\Psi)_{O+})$ 
    using assms(2)
    by blast
  then have is-valid-operator-sas-plus  $\Psi op$ 
    using is-valid-problem-sas-plus-then(2) assms(1)
    unfolding is-valid-operator-sas-plus-def
    by auto
  hence  $\forall (v, a) \in \text{set } (\text{precondition-of } op). \forall (v', a') \in \text{set } (\text{precondition-of } op).$ 
     $v \neq v' \vee a = a'$ 
    using is-valid-operator-sas-plus-then(5)
    unfolding is-valid-operator-sas-plus-def
    by fast
} note nb2 = this
{

```

```

consider (A)  $\neg \text{are-all-operators-applicable-in } s \text{ ops}$ 
| (B)  $\neg \text{are-all-operator-effects-consistent ops}$ 
using assms(3)
by blast
hence  $\neg \text{STRIPS-Semantics.are-all-operators-applicable } ?s' ?ops'$ 
 $\vee \neg \text{STRIPS-Semantics.are-all-operator-effects-consistent } ?ops'$ 
proof (cases)
  case A
    then obtain op where op-in:  $op \in \text{set ops}$ 
      and not-precondition-map-le-s:  $\neg (\text{map-of}(\text{precondition-of } op) \subseteq_m s)$ 
      using A
      unfolding are-all-operators-applicable-in-def list-all-iff
        is-operator-applicable-in-def
      by blast
    then obtain op' where op'-in:  $op' \in \text{set } ?ops'$  and op'-is:  $op' = \varphi_O \Psi op$ 
      using nb1
      by blast
    have  $\neg \text{are-all-operators-applicable } ?s' ?ops'$ 
    proof (rule ccontr)
      assume  $\neg \neg \text{are-all-operators-applicable } ?s' ?ops'$ 
      then have all-operators-applicable: are-all-operators-applicable ?s' ?ops'
        by simp
      moreover {
        fix v
        assume  $v \in \text{dom}(\text{map-of}(\text{precondition-of } op))$ 
        moreover obtain a where map-of (precondition-of op) v = Some a
          using calculation
          by blast
        moreover have (v, a)  $\in \text{set}(\text{precondition-of } op)$ 
          using map-of-SomeD[OF calculation(2)].
        moreover have (v, a)  $\in \text{set}(\text{strips-operator.precondition-of } op')$ 
          using op'-is
          unfolding sasp-op-to-strips-def
            SAS-Plus-STRIPS.sasp-op-to-strips-def
          using calculation(3)
          by auto
        moreover have ?s' (v, a) = Some True
          using all-operators-applicable calculation
          unfolding are-all-operators-applicable-def
            STRIPS-Representation.is-operator-applicable-in-def
              is-operator-applicable-in-def Let-def list-all-iff
            using op'-in
            by fast
        moreover have (v, a)  $\in \text{dom } ?s'$ 
          using calculation(5)
          by blast
        moreover have (v, a)  $\in \text{set}(\text{precondition-of } op)$ 
          using op'-is calculation(3)
          unfolding sasp-op-to-strips-def Let-def

```

```

    by fastforce
  moreover have  $v \in \text{set } ?vs$ 
    and  $a \in \mathcal{R}_+ \Psi v$ 
    and  $s v \neq \text{None}$ 
    using state-to-strips-state-dom-element-iff[ $\text{OF assms}(1)$ ]
      calculation(6)
    by simp+
  moreover have  $?s' (v, a) = \text{Some} (\text{the} (s v) = a)$ 
    using state-to-strips-state-range-is[ $\text{OF assms}(1)$  calculation(6)].
  moreover have  $\text{the} (s v) = a$ 
    using calculation(5, 11)
    by fastforce
  moreover have  $s v = \text{Some} a$ 
    using calculation(12) option.collapse[ $\text{OF calculation}(10)$ ]
    by argo
  moreover have map-of (precondition-of op)  $v = \text{Some} a$ 
    using map-of-constant-assignments-defined-if[ $\text{OF nb}_2[\text{OF op-in}]$ 
calculation(7)].
  ultimately have map-of (precondition-of op)  $v = s v$ 
    by argo
}
then have map-of (precondition-of op)  $\subseteq_m s$ 
  unfolding map-le-def
  by blast
thus False
  using not-precondition-map-le-s
  by simp
qed
thus ?thesis
  by simp
next
case B
{
  obtain  $op_1 \ op_2 \ v \ v' \ a \ a'$ 
    where  $op_1 \in \text{set } ops$ 
    and  $op_2\text{-in: } op_2 \in \text{set } ops$ 
    and  $v\text{-a-in: } (v, a) \in \text{set } (\text{effect-of } op_1)$ 
    and  $v'\text{-a'-in: } (v', a') \in \text{set } (\text{effect-of } op_2)$ 
    and  $v\text{-is: } v = v' \text{ and } a\text{-is: } a \neq a'$ 
  using B
  unfolding are-all-operator-effects-consistent-def
    are-operator-effects-consistent-def list-all-iff Let-def
  by blast
  moreover obtain  $op_1' \ op_2'$  where  $op_1' \in \text{set } ?ops'$  and  $op_1' = \varphi_O \Psi op_1$ 
    and  $op_1' \in \text{set } ?ops'$  and  $op_2'\text{-is: } op_2' = \varphi_O \Psi op_2$ 
    using nb1[ $\text{OF calculation}(1)$ ] nb1[ $\text{OF calculation}(2)$ ]
  by blast
  moreover have  $(v, a) \in \text{set } (\text{add-effects-of } op_1')$ 

```

```

using calculation(3, 8)
unfolding SAS-Plus-STRIPS.sasp-op-to-strips-def
  sasp-op-to-strips-def Let-def
  by force
moreover {
  have is-valid-operator-sas-plus  $\Psi$  op1
using assms(2) calculation(1) is-valid-problem-sas-plus-then(2) assms(1)
  unfolding is-valid-operator-sas-plus-def
  by auto
moreover have is-valid-operator-sas-plus  $\Psi$  op2
  using sublocale-sas-plus-finite-domain-representation-ii(2)[
    OF assms(1)] assms(2) op2-in
  by blast
moreover have  $a \in \mathcal{R}_+$   $\Psi$  v
  using is-valid-operator-sas-plus-then(4) calculation v-a-in
  unfolding is-valid-operator-sas-plus-def
  by fastforce
ultimately have ( $v, a$ )  $\in$  set (delete-effects-of op2)
  using sasp-op-to-strips-set-delete-effects-is[of  $\Psi$  op2]
    v'-a'-in v-is a-is
  using op2'-is
  by blast
}
— TODO slow.
ultimately have  $\exists op_1' \in$  set ?ops'.  $\exists op_2' \in$  set ?ops'.
 $\exists (v, a) \in$  set (delete-effects-of op2').
 $\exists (v', a') \in$  set (add-effects-of op1').
 $(v, a) = (v', a')$ 
  by fastforce
}
then have  $\neg$ STRIPS-Semantics.are-all-operator-effects-consistent ?ops'
  unfolding STRIPS-Semantics.are-all-operator-effects-consistent-def
    STRIPS-Semantics.are-operator-effects-consistent-def list-all-iff list-ex-iff
Let-def
  by blast
  thus ?thesis
    by simp
  qed
}
thus ?thesis
  by blast
qed

```

```

lemma strips-equivalent-to-sas-plus-i-a:
assumes is-valid-problem-sas-plus  $\Psi$ 
and dom I  $\subseteq$  set (( $\Psi$ ) $v_+$ )
and  $\forall v \in$  dom I. the (I v)  $\in$   $\mathcal{R}_+$   $\Psi$  v
and dom G  $\subseteq$  set (( $\Psi$ ) $v_+$ )
and  $\forall v \in$  dom G. the (G v)  $\in$   $\mathcal{R}_+$   $\Psi$  v

```

and $\forall ops \in set \psi. \forall op \in set ops. op \in set ((\Psi)_{O+})$
and $G \subseteq_m execute-parallel-plan-sas-plus I \psi$
shows $(\varphi_S \Psi G) \subseteq_m execute-parallel-plan (\varphi_S \Psi I) (\varphi_P \Psi \psi)$
proof –
let $?I = \varphi \Psi$
and $?G' = \varphi_S \Psi G$
show $?thesis$
using *assms*
proof (*induction* ψ *arbitrary*: I)
case *Nil*
let $?I' = \varphi_S \Psi I$
have $G \subseteq_m I$
using *Nil*
by *simp*
moreover have $?G' \subseteq_m ?I'$
using *state-to-strips-state-map-le-iff*[*OF Nil.prems(1, 4, 5)*]
calculation..
ultimately show $?case$
unfolding *SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def*
sas-plus-parallel-plan-to-strips-parallel-plan-def
by *simp*
next
case (*Cons* $ops \psi$)
let $?vs = variables-of \Psi$
and $?ops = operators-of \Psi$
and $?J = execute-parallel-operator-sas-plus I ops$
and $?pi = \varphi_P \Psi (ops \# \psi)$
let $?I' = \varphi_S \Psi I$
and $?J' = \varphi_S \Psi ?J$
and $?ops' = [\varphi_O \Psi op. op \leftarrow ops]$
{
fix $op v a$
assume $op \in set ops$ **and** $(v, a) \in set (effect-of op)$
moreover have $op \in set ?ops$
using *Cons.prems(6)* *calculation(1)*
by *simp*
moreover have *is-valid-operator-sas-plus* Ψop
using *is-valid-problem-sas-plus-then(2)* *Cons.prems(1)* *calculation(3)*
unfolding *is-valid-operator-sas-plus-def*
by *auto*
ultimately have $v \in set ((\Psi)_{V+})$
and $a \in \mathcal{R}_+ \Psi v$
using *is-valid-operator-sas-plus-then(3,4)*
by *fastforce+*
} note $nb_1 = this$
show $?case$
proof (*cases are-all-operators-applicable-in* $I ops$
 \wedge *are-all-operator-effects-consistent* ops)
case *True*

```

{
  have  $(\varphi_P \Psi (ops \# \psi)) = ?ops' \# (\varphi_P \Psi \psi)$ 
    unfolding sas-plus-parallel-plan-to-strips-parallel-plan-def
      SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def
        sasp-op-to-strips-def
          SAS-Plus-STRIPS.sasp-op-to-strips-def
    by simp
  moreover have  $\forall op \in set ops. op \in set ((\Psi)_{O+})$ 
    using Cons.prems(6)
    by simp
  moreover have STRIPS-Semantics.are-all-operators-applicable ?I' ?ops'
    and STRIPS-Semantics.are-all-operator-effects-consistent ?ops'
    using strips-equivalent-to-sas-plus-i-a-IV[OF Cons.prems(1) - True]
  calculation
    by blast+
  ultimately have execute-parallel-plan ?I' ? $\pi$ 
    = execute-parallel-plan (execute-parallel-operator ?I' ?ops') ( $\varphi_P \Psi \psi$ )
    by fastforce
}
— NOTE Instantiate the IH on the next state of the SAS+ execution
execute-parallel-operator-sas-plus I ops.
moreover
{
{
  have  $dom I \subseteq set (sas-plus-problem.variables-of \Psi)$ 
    using Cons.prems(2)
    by blast
  moreover have  $\forall op \in set ops. \forall (v, a) \in set (effect-of op).$ 
    v  $\in set ((\Psi)_{V+})$ 
    using nb1(1)
    by blast
  ultimately have  $dom ?J \subseteq set ((\Psi)_{V+})$ 
    using sas-plus-equivalent-to-strips-i-a-IX[of I set ?vs]
    by simp
} note nb2 = this
moreover {
  have  $dom I \subseteq set (sas-plus-problem.variables-of \Psi)$ 
    using Cons.prems(2)
    by blast
  moreover have  $set (sas-plus-problem.variables-of \Psi)$ 
     $\subseteq dom (range-of \Psi)$ 
    using is-valid-problem-sas-plus-dom-sas-plus-problem-range-of assms(1)
    by auto
  moreover {
    fix v
    assume  $v \in dom I$ 
    moreover have  $v \in set ((\Psi)_{V+})$ 
      using Cons.prems(2) calculation
      by blast
  }
}

```

```

ultimately have the ( $I v$ )  $\in$  set (the (range-of  $\Psi v$ ))
  using Cons.prems(3)
  using set-the-range-of-is-range-of-sas-plus-if[OF assms(1)]
  by blast
}
moreover have  $\forall op \in \text{set } ops. \forall (v, a) \in \text{set } (\text{effect-of } op).$ 
 $v \in \text{set } (\text{sas-plus-problem.variables-of } \Psi) \wedge a \in \text{set } (\text{the (range-of } \Psi$ 
 $v))$ 
  using set-the-range-of-is-range-of-sas-plus-if[OF assms(1)] nb1(1)
nb1(2)
  by force
moreover have nb3:  $\forall v \in \text{dom } ?J. \text{the } (?J v) \in \text{set } (\text{the (range-of } \Psi$ 
 $v))$ 
  using sas-plus-equivalent-to-strips-i-a-X[of I set ?vs range-of  $\Psi$  ops]
    calculation
  by fast
moreover {
  fix  $v$ 
  assume  $v \in \text{dom } ?J$ 
  moreover have  $v \in \text{set } ((\Psi)v_+)$ 
    using nb2 calculation
    by blast
  moreover have set (the (range-of  $\Psi v$ )) =  $\mathcal{R}_+ \Psi v$ 
    using set-the-range-of-is-range-of-sas-plus-if[OF assms(1)]
      calculation(2)
    by presburger
  ultimately have the (?J v)  $\in \mathcal{R}_+ \Psi v$ 
    using nb3
    by blast
}
ultimately have  $\forall v \in \text{dom } ?J. \text{the } (?J v) \in \mathcal{R}_+ \Psi v$ 
  by fast
}
moreover have  $\forall ops \in \text{set } \psi. \forall op \in \text{set } ops. op \in \text{set } ?ops$ 
  using Cons.prems(6)
  by auto
moreover have  $G \subseteq_m \text{execute-parallel-plan-sas-plus } ?J \psi$ 
  using Cons.prems(7) True
  by simp
ultimately have  $(\varphi_S \Psi G) \subseteq_m \text{execute-parallel-plan } ?J' (\varphi_P \Psi \psi)$ 
  using Cons.IH[of ?J, OF Cons.prems(1) - - Cons.prems(4, 5)]
  by fastforce
}
moreover have execute-parallel-operator ?I' ?ops' = ?J'
using assms(1) strips-equivalent-to-sas-plus-i-a-III[OF assms(1)] Cons.prems(6)
  by auto
ultimately show ?thesis
  by argo
next

```

```

case False
then have nb:  $G \subseteq_m I$ 
  using Cons.prems(7)
  by force
moreover {
  have  $\pi = \text{ops}' \# (\varphi_P \Psi \psi)$ 
  unfolding sas-plus-parallel-plan-to-strips-parallel-plan-def
    SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def
    sasp-op-to-strips-def
    SAS-Plus-STRIPS.sasp-op-to-strips-def Let-def
  by auto
moreover have set  $\text{ops}' \subseteq \text{set}(\text{strips-problem.operators-of } \Pi)$ 
  using strips-equivalent-to-sas-plus-i-a-II(1)[OF assms(1)] Cons.prems(6)
  by auto
moreover have  $\neg(\text{STRIPS-Semantics.are-all-operators-applicable } I' \text{ ops}'$ 
   $\wedge \text{STRIPS-Semantics.are-all-operator-effects-consistent } \text{ops}')$ 
  using strips-equivalent-to-sas-plus-i-a-V[OF assms(1) - False] Cons.prems(6)
  by force
ultimately have execute-parallel-plan  $I' \pi = I'$ 
  by auto
}
moreover have  $G' \subseteq_m I'$ 
  using state-to-strips-state-map-le-iff[OF Cons.prems(1, 4, 5)] nb
  by blast
ultimately show ?thesis
  by presburger
qed
qed

```

```

lemma strips-equivalent-to-sas-plus-i:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and is-parallel-solution-for-problem  $\Psi \psi$ 
shows (strips-problem.goal-of  $(\varphi \Psi)$ )  $\subseteq_m$  execute-parallel-plan
  (strips-problem.initial-of  $(\varphi \Psi)$ )  $(\varphi_P \Psi \psi)$ 
proof -
  let ?vs = variables-of  $\Psi$ 
  and ?ops = operators-of  $\Psi$ 
  and ?I = initial-of  $\Psi$ 
  and ?G = goal-of  $\Psi$ 
  let ?Pi =  $\varphi \Psi$ 
  let ?I' = strips-problem.initial-of ?Pi
  and ?G' = strips-problem.goal-of ?Pi
  have dom ?I  $\subseteq$  set ?vs
  using is-valid-problem-sas-plus-then(3) assms(1)
  by auto
moreover have  $\forall v \in \text{dom } I. \text{ the } (?I v) \in \mathcal{R}_+ \Psi v$ 

```

```

using is-valid-problem-sas-plus-then(4) assms(1) calculation
by auto
moreover have dom ?G ⊆ set (( $\Psi$ ) $_{\mathcal{V}+}$ )
using is-valid-problem-sas-plus-then(5) assms(1)
by auto
moreover have  $\forall v \in \text{dom } ?G. \text{the } (?G v) \in \mathcal{R}_+ \Psi v$ 
using is-valid-problem-sas-plus-then(6) assms(1)
by auto
moreover have  $\forall ops \in \text{set } \psi. \forall op \in \text{set } ops. op \in \text{set } ?ops$ 
using is-parallel-solution-for-problem-plan-operator-set[OF assms(2)]
by fastforce
moreover have ?G ⊆m execute-parallel-plan-sas-plus ?I  $\psi$ 
using assms(2)
unfolding is-parallel-solution-for-problem-def
by simp

ultimately show ?thesis
using strips-equivalent-to-sas-plus-i-a[OF assms(1), of ?I ?G  $\psi$ ]
unfoldng sas-plus-problem-to-strips-problem-def
  SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
  state-to-strips-state-def
  SAS-Plus-STRIPS.state-to-strips-state-def
by force
qed

```

```

lemma strips-equivalent-to-sas-plus-ii:
assumes is-valid-problem-sas-plus  $\Psi$ 
  and is-parallel-solution-for-problem  $\Psi \psi$ 
shows list-all (list-all ( $\lambda op. \text{ListMem } op (\text{strips-problem.operators-of } (\varphi \Psi)))$ )
( $\varphi_P \Psi \psi$ )
proof -
  let ?ops = operators-of  $\Psi$ 
  let ? $\Pi$  =  $\varphi \Psi$ 
  let ?ops' = strips-problem.operators-of ? $\Pi$ 
  and ? $\pi$  =  $\varphi_P \Psi \psi$ 
  have is-valid-problem-strips ? $\Pi$ 
    using is-valid-problem-sas-plus-then-strips-transformation-too[OF assms(1)]
    by simp
  have nb1:  $\forall op \in \text{set } ?ops. (\exists op' \in \text{set } ?ops'. op' = (\varphi_O \Psi op))$ 
    unfoldng sas-plus-problem-to-strips-problem-def
      SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def Let-def
      sasp-op-to-strips-def
    by force
  {
    fix ops op op'
    assume ops ∈ set  $\psi$  and op ∈ set ops
    moreover have op ∈ set (( $\Psi$ ) $_{\mathcal{O}+}$ )
      using is-parallel-solution-for-problem-plan-operator-set[OF assms(2)]
  }

```

```

calculation
by blast
moreover obtain op' where op' ∈ set ?ops' and op' = (φO Ψ op)
  using nb1 calculation(3)
  by auto
ultimately have (φO Ψ op) ∈ set ?ops'
  by blast
}
thus ?thesis
unfolding list-all-iff ListMem-iff Let-def
  sas-plus-problem-to-strips-problem-def
  SAS-Plus-STRIPS.sas-plus-problem-to-strips-problem-def
  sas-plus-parallel-plan-to-strips-parallel-plan-def
  SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def
  sasp-op-to-strips-def
  SAS-Plus-STRIPS.sasp-op-to-strips-def
  Let-def
  by auto
qed

```

The following lemma proves the complementary proposition to theorem ??.
 Namely, given a parallel solution ψ for a SAS+ problem, the transformation to a STRIPS plan $\varphi_P \Psi \psi$ also is a solution to the corresponding STRIPS problem $\Pi \equiv \varphi \Psi$. In this direction, we have to show that the execution of the transformed plan reaches the goal state $G' \equiv \Pi_G$ of the corresponding STRIPS problem, i.e.

$$G' \subseteq_m \text{execute-parallel-plan } I' \pi$$

and that all operators in the transformed plan π are operators of Π .

theorem

```

strips-equivalent-to-sas-plus:
assumes is-valid-problem-sas-plus Ψ
  and is-parallel-solution-for-problem Ψ ψ
shows STRIPS-Semantics.is-parallel-solution-for-problem (φ Ψ) (φP Ψ ψ)
proof -
let ?Π = φ Ψ
let ?I' = strips-problem.initial-of ?Π
and ?G' = strips-problem.goal-of ?Π
and ?ops' = strips-problem.operators-of ?Π
and ?π = φP Ψ ψ
show ?thesis
unfolding STRIPS-Semantics.is-parallel-solution-for-problem-def
proof (rule conjI)
  show ?G' ⊆m execute-parallel-plan ?I' ?π
    using strips-equivalent-to-sas-plus-i[OF assms]
    by simp
next
show list-all (list-all (λop. ListMem op ?ops')) ?π

```

```

    using strips-equivalent-to-sas-plus-ii[OF assms].
qed
qed

lemma embedded-serial-sas-plus-plan-operator-structure:
assumes ops ∈ set (embed  $\psi$ )
obtains op
where op ∈ set  $\psi$ 
and  $[\varphi_O \Psi op. op \leftarrow ops] = [\varphi_O \Psi op]$ 
proof -
let  $?ψ' = \text{embed } \psi$ 
{
have  $?ψ' = [[op]. op \leftarrow ψ]$ 
by (induction  $\psi$ ; force)
moreover obtain op where ops =  $[op]$  and op ∈ set  $\psi$ 
using assms calculation
by fastforce
ultimately have  $\exists op \in \text{set } \psi. [\varphi_O \Psi op. op \leftarrow ops] = [\varphi_O \Psi op]$ 
by auto
}
thus ?thesis
using that
by meson
qed

private lemma serial-sas-plus-equivalent-to-serial-strips-i:
assumes ops ∈ set ( $\varphi_P \Psi (\text{embed } \psi)$ )
obtains op where op ∈ set  $\psi$  and ops =  $[\varphi_O \Psi op]$ 
proof -
let  $?ψ' = \text{embed } \psi$ 
{
have set ( $\varphi_P \Psi (\text{embed } \psi)$ ) = {  $[\varphi_O \Psi op. op \leftarrow ops]$  | ops, ops ∈ set  $?ψ'$  }

unfolding sas-plus-parallel-plan-to-strips-parallel-plan-def
SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def
sasp-op-to-strips-def set-map
using setcompr-eq-image
by blast
moreover obtain ops' where ops' ∈ set  $?ψ'$  and ops =  $[\varphi_O \Psi op. op \leftarrow ops']$ 

using assms(1) calculation
by blast
moreover obtain op where op ∈ set  $\psi$  and ops =  $[\varphi_O \Psi op]$ 
using embedded-serial-sas-plus-plan-operator-structure calculation(2, 3)
by blast
ultimately have  $\exists op \in \text{set } \psi. ops = [\varphi_O \Psi op]$ 
by meson
}
thus ?thesis

```

using *that..*

qed

```
private lemma serial-sas-plus-equivalent-to-serial-strips-ii[simp]:  
  concat ( $\varphi_P \Psi$  (embed  $\psi$ )) = [ $\varphi_O \Psi$  op. op  $\leftarrow \psi$ ]  
proof –  
  let  $?{\psi}' = \text{List-Supplement.embed } \psi$   
  have concat ( $\varphi_P \Psi ?{\psi}'$ ) = map ( $\lambda \text{op. } \varphi_O \Psi \text{ op}$ ) (concat  $?{\psi}'$ )  
  unfolding sas-plus-parallel-plan-to-strips-parallel-plan-def  
    SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def  
    susp-op-to-strips-def  
    SAS-Plus-STRIPS.sasp-op-to-strips-def Let-def  
    map-concat  
  by blast  
  also have ... = map ( $\lambda \text{op. } \varphi_O \Psi \text{ op}$ )  $\psi$   
  unfolding concat-is-inverse-of-embed[of  $\psi$ ]..  
  finally show concat ( $\varphi_P \Psi$  (embed  $\psi$ )) = [ $\varphi_O \Psi$  op. op  $\leftarrow \psi$ ].  
qed
```

Having established the equivalence of parallel STRIPS and SAS+, we can now show the equivalence in the serial case. The proof combines the embedding theorem for serial SAS+ solutions (??), the parallel plan equivalence theorem ??, and the flattening theorem for parallel STRIPS plans (??). More precisely, given a serial SAS+ solution ψ for a SAS+ problem Ψ , the embedding theorem confirms that the embedded plan *List-Supplement.embed* ψ is an equivalent parallel solution to Ψ . By parallel plan equivalence, $\pi \equiv \varphi_P \Psi$ *List-Supplement.embed* ψ is a parallel solution for the corresponding STRIPS problem $\varphi \Psi$. Moreover, since *List-Supplement.embed* ψ is a plan consisting of singleton parallel operators, the same is true for π . Hence, the flattening lemma applies and *concat* π is a serial solution for $\varphi \Psi$. Since *concat* moreover can be shown to be the inverse of *List-Supplement.embed*, the term

$$\text{concat } \pi = \text{concat } (\varphi_P \Psi \text{ (embed } \psi\text{)})$$

can be reduced to the intuitive form

$$\pi = [\varphi_O \Psi \text{ op. op } \leftarrow \psi]$$

which concludes the proof.

theorem

serial-sas-plus-equivalent-to-serial-strips:

assumes is-valid-problem-sas-plus Ψ

and SAS-Plus-Semantics.is-serial-solution-for-problem $\Psi \psi$

shows STRIPS-Semantics.is-serial-solution-for-problem ($\varphi \Psi$) [$\varphi_O \Psi$ op. op $\leftarrow \psi$]

proof –

let $?{\psi}' = \text{embed } \psi$

```

and  $\text{?}\Pi = \varphi \Psi$ 
let  $\text{?}\pi' = \varphi_P \Psi \text{?}\psi'$ 
let  $\text{?}\pi = \text{concat } \text{?}\pi'$ 
{
  have SAS-Plus-Semantics.is-parallel-solution-for-problem  $\Psi \text{?}\psi'$ 
    using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus[OF assms]
    by simp
  hence STRIPS-Semantics.is-parallel-solution-for-problem  $\text{?}\Pi \text{?}\pi'$ 
    using strips-equivalent-to-sas-plus[OF assms(1)]
    by simp
}
moreover have  $\text{?}\pi = [\varphi_O \Psi \text{op. op} \leftarrow \psi]$ 
  by simp
moreover have is-valid-problem-strips  $\text{?}\Pi$ 
  using is-valid-problem-sas-plus-then-strips-transformation-too[OF assms(1)].
moreover have  $\forall \text{ops} \in \text{set } \text{?}\pi'. \exists \text{op} \in \text{set } \psi. \text{ops} = [\varphi_O \Psi \text{op}]$ 
  using serial-sas-plus-equivalent-to-serial-strips-i[of -  $\Psi \psi$ ]
  by metis
ultimately show  $\text{?thesis}$ 
  using STRIPS-Semantics.flattening-lemma[of  $\text{?}\Pi$ ]
  by metis
qed

```

```

lemma embedded-serial-strips-plan-operator-structure:
  assumes  $\text{ops}' \in \text{set } (\text{embed } \pi)$ 
  obtains  $\text{op}$ 
    where  $\text{op} \in \text{set } \pi$  and  $[\varphi_O^{-1} \Pi \text{op. op} \leftarrow \text{ops}'] = [\varphi_O^{-1} \Pi \text{op}]$ 
proof -
  let  $\text{?}\pi' = \text{embed } \pi$ 
{
  have  $\text{?}\pi' = [[\text{op}]. \text{op} \leftarrow \pi]$ 
    by (induction  $\pi$ ; force)
  moreover obtain  $\text{op}$  where  $\text{ops}' = [\text{op}]$  and  $\text{op} \in \text{set } \pi$ 
    using calculation assms
    by fastforce
  ultimately have  $\exists \text{op} \in \text{set } \pi. [\varphi_O^{-1} \Pi \text{op. op} \leftarrow \text{ops}'] = [\varphi_O^{-1} \Pi \text{op}]$ 
    by auto
}
thus  $\text{?thesis}$ 
  using that
  by meson
qed

```

```

private lemma serial-strips-equivalent-to-serial-sas-plus-i:
  assumes  $\text{ops} \in \text{set } (\varphi_P^{-1} \Pi (\text{embed } \pi))$ 
  obtains  $\text{op}$  where  $\text{op} \in \text{set } \pi$  and  $\text{ops} = [\varphi_O^{-1} \Pi \text{op}]$ 
proof -
  let  $\text{?}\pi' = \text{embed } \pi$ 

```

```

{
  have set ( $\varphi_P^{-1} \Pi (\text{embed } \pi)$ ) = { [ $\varphi_O^{-1} \Pi op. op \leftarrow ops$ ] | ops. ops  $\in$  set ? $\pi'$  }
}

unfolding strips-parallel-plan-to-sas-plus-parallel-plan-def
SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
strips-op-to-sasp-def set-map
using setcompr-eq-image
by blast
moreover obtain ops' where ops'  $\in$  set ? $\pi'$  and ops = [ $\varphi_O^{-1} \Pi op. op \leftarrow ops'$ ]
  using assms(1) calculation
  by blast
moreover obtain op where op  $\in$  set  $\pi$  and ops = [ $\varphi_O^{-1} \Pi op$ ]
  using embedded-serial-strips-plan-operator-structure calculation(2, 3)
  by blast
ultimately have  $\exists op \in$  set  $\pi$ . ops = [ $\varphi_O^{-1} \Pi op$ ]
  by meson
}
thus ?thesis
  using that..
qed

private lemma serial-strips-equivalent-to-serial-sas-plus-ii[simp]:
  concat ( $\varphi_P^{-1} \Pi (\text{embed } \pi)$ ) = [ $\varphi_O^{-1} \Pi op. op \leftarrow \pi$ ]
proof -
  let ? $\pi'$  = List-Supplement.embed  $\pi$ 
  have concat ( $\varphi_P^{-1} \Pi ?\pi'$ ) = map ( $\lambda op. \varphi_O^{-1} \Pi op$ ) (concat ? $\pi'$ )
    unfolding strips-parallel-plan-to-sas-plus-parallel-plan-def
    SAS-Plus-STRIPS.strips-parallel-plan-to-sas-plus-parallel-plan-def
    strips-op-to-sasp-def
    SAS-Plus-STRIPS.strips-op-to-sasp-def Let-def
    map-concat
    by simp
  also have ... = map ( $\lambda op. \varphi_O^{-1} \Pi op$ )  $\pi$ 
    unfolding concat-is-inverse-of-embed[of  $\pi$ ]..
  finally show concat ( $\varphi_P^{-1} \Pi (\text{embed } \pi)$ ) = [ $\varphi_O^{-1} \Pi op. op \leftarrow \pi$ ].
qed

```

Using the analogous lemmas for the opposite direction, we can show the counterpart to theorem ?? which shows that serial solutions to STRIPS solutions can be transformed to serial SAS+ solutions via composition of embedding, transformation and flattening.

theorem

serial-strips-equivalent-to-serial-sas-plus:

assumes is-valid-problem-sas-plus Ψ

and STRIPS-Semantics.is-serial-solution-for-problem ($\varphi \Psi$) π

shows SAS-Plus-Semantics.is-serial-solution-for-problem Ψ [$\varphi_O^{-1} \Psi op. op \leftarrow \pi$]

proof -

```

let ?π' = embed π
  and ?Π = φ Ψ
let ?ψ' = φP-1 Ψ ?π'
let ?ψ = concat ?ψ'
{
  have STRIPS-Semantics.is-parallel-solution-for-problem ?Π ?π'
    using embedding-lemma[OF
      is-valid-problem-sas-plus-then-strips-transformation-too[OF assms(1)]
      assms(2)].
  hence SAS-Plus-Semantics.is-parallel-solution-for-problem Ψ ?ψ'
    using sas-plus-equivalent-to-strips[OF assms(1)]
    by simp
}
moreover have ?ψ = [φO-1 Ψ op. op ← π]
  by simp
moreover have is-valid-problem-strips ?Π
  using is-valid-problem-sas-plus-then-strips-transformation-too[OF assms(1)].
moreover have ∀ ops ∈ set ?ψ'. ∃ op ∈ set π. ops = [φO-1 Ψ op]
  using serial-strips-equivalent-to-serial-sas-plus-i
  by metis
ultimately show ?thesis
  using flattening-lemma[OF assms(1)]
  by metis
qed

```

6.2 Equivalence of SAS+ and STRIPS

abbreviation bounded-plan-set
where bounded-plan-set ops k ≡ { π. set π ⊆ set ops ∧ length π = k }

definition bounded-solution-set-sas-plus'
:: ('variable, 'domain) sas-plus-problem
 ⇒ nat
 ⇒ ('variable, 'domain) sas-plus-plan set
where bounded-solution-set-sas-plus' Ψ k
 ≡ { ψ. is-serial-solution-for-problem Ψ ψ ∧ length ψ = k }

abbreviation bounded-solution-set-sas-plus
:: ('variable, 'domain) sas-plus-problem
 ⇒ nat
 ⇒ ('variable, 'domain) sas-plus-plan set
where bounded-solution-set-sas-plus Ψ N
 ≡ (⋃ k ∈ {0..N}. bounded-solution-set-sas-plus' Ψ k)

definition bounded-solution-set-strips'
:: ('variable × 'domain) strips-problem
 ⇒ nat
 ⇒ ('variable × 'domain) strips-plan set
where bounded-solution-set-strips' Π k

$\equiv \{ \pi. \text{STRIPS-Semantics.is-serial-solution-for-problem } \Pi \pi \wedge \text{length } \pi = k \}$

```

abbreviation bounded-solution-set-strips
  :: ('variable × 'domain) strips-problem
  ⇒ nat
  ⇒ ('variable × 'domain) strips-plan set
where bounded-solution-set-strips Π N ≡ (⋃ k ∈ {0..N}. bounded-solution-set-strips'
  Π k)

```

— Show that plan transformation for all SAS Plus solutions yields a STRIPS solution for the induced STRIPS problem with same length.

We first show injectiveness of plan transformation $\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi]$ on the set of plans $P_k \equiv \text{bounded-plan-set}(\text{operators-of } \Psi) k$ with length bound k . The injectiveness of $Sol_k \equiv \text{bounded-solution-set-sas-plus } \Psi k$ —the set of solutions with length bound k —then follows from the subset relation $Sol_k \subseteq P_k$.

lemma sasp-op-to-strips-injective:

```

assumes (φ_O Ψ op_1) = (φ_O Ψ op_2)
shows op_1 = op_2
proof -
  let ?op_1' = φ_O Ψ op_1
  and ?op_2' = φ_O Ψ op_2
  {
    have strips-operator.precondition-of ?op_1' = strips-operator.precondition-of
    ?op_2'
    using assms
    by argo
    hence sas-plus-operator.precondition-of op_1 = sas-plus-operator.precondition-of
    op_2
    unfolding sasp-op-to-strips-def
      SAS-Plus-STRIPS.sasp-op-to-strips-def
      Let-def
      by simp
  }
  moreover {
    have strips-operator.add-effects-of ?op_1' = strips-operator.add-effects-of ?op_2'
    using assms
    unfolding sasp-op-to-strips-def Let-def
    by argo
    hence sas-plus-operator.effect-of op_1 = sas-plus-operator.effect-of op_2
    unfolding sasp-op-to-strips-def Let-def
      SAS-Plus-STRIPS.sasp-op-to-strips-def
      by simp
  }
  ultimately show ?thesis
  by simp
qed

```

lemma sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-a:

```

assumes is-valid-problem-sas-plus Ψ

```

shows inj-on ($\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi]$) (bounded-plan-set (sas-plus-problem.operators-of Ψ) k)

proof –

```

let ?ops = sas-plus-problem.operators-of  $\Psi$ 

and ? $\varphi_P$  =  $\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi]$ 
let ?P = bounded-plan-set ?ops
{
  fix  $\psi_1 \psi_2$ 
  assume  $\psi_1$ -in:  $\psi_1 \in ?P k$ 
  and  $\psi_2$ -in:  $\psi_2 \in ?P k$ 
  and  $\varphi_P$ -of- $\psi_1$ -is- $\varphi_P$ -of- $\psi_2$ :  $(?\varphi_P \psi_1) = (?\varphi_P \psi_2)$ 
  hence  $\psi_1 = \psi_2$ 
  proof (induction  $k$  arbitrary:  $\psi_1 \psi_2$ )
    case 0
    then have length  $\psi_1 = 0$ 
    and length  $\psi_2 = 0$ 
    using  $\psi_1$ -in  $\psi_2$ -in
    unfolding bounded-solution-set-sas-plus'-def
    by blast+
    then show ?case
    by blast
  next
    case ( $Suc k$ )
    moreover have length  $\psi_1 = Suc k$  and length  $\psi_2 = Suc k$ 
    using length-Suc-conv  $Suc(2, 3)$ 
    unfolding bounded-solution-set-sas-plus'-def
    by blast+
    moreover obtain  $op_1 \psi_1'$  where  $\psi_1 = op_1 \# \psi_1'$ 
    and set ( $op_1 \# \psi_1'$ )  $\subseteq$  set ?ops
    and length  $\psi_1' = k$ 
    using calculation(5)  $Suc(2)$ 
    unfolding length-Suc-conv
    by blast
    moreover obtain  $op_2 \psi_2'$  where  $\psi_2 = op_2 \# \psi_2'$ 
    and set ( $op_2 \# \psi_2'$ )  $\subseteq$  set ?ops
    and length  $\psi_2' = k$ 
    using calculation(6)  $Suc(3)$ 
    unfolding length-Suc-conv
    by blast
    moreover have set  $\psi_1' \subseteq$  set ?ops and set  $\psi_2' \subseteq$  set ?ops
    using calculation(8, 11)
    by auto+
    moreover have  $\psi_1' \in ?P k$  and  $\psi_2' \in ?P k$ 
    using calculation(9, 12, 13, 14)
    by fast+
    moreover have  $?\varphi_P \psi_1' = ?\varphi_P \psi_2'$ 
    using Suc.prems(3) calculation(7, 10)
    by fastforce
  
```

```

moreover have  $\psi_1' = \psi_2'$ 
  using Suc.IH[of  $\psi_1' \psi_2'$ , OF calculation(15, 16, 17)]
  by simp
moreover have  $?{\varphi}_P \psi_1 = (\varphi_O \Psi op_1) \# ?{\varphi}_P \psi_1'$ 
  and  $?{\varphi}_P \psi_2 = (\varphi_O \Psi op_2) \# ?{\varphi}_P \psi_2'$ 
  using Suc.prems(3) calculation(7, 10)
  by fastforce+
moreover have  $(\varphi_O \Psi op_1) = (\varphi_O \Psi op_2)$ 
  using Suc.prems(3) calculation(17, 19, 20)
  by simp
moreover have  $op_1 = op_2$ 
  using sasp-op-to-strips-injective[OF calculation(21)].
ultimately show ?case
  by argo
qed
}
thus ?thesis
  unfolding inj-on-def
  by blast
qed

```

private corollary *sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-b:*

assumes *is-valid-problem-sas-plus* Ψ

shows *inj-on* $(\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi])$ (*bounded-solution-set-sas-plus'* Ψk)

proof –

```

let ?ops = sas-plus-problem.operators-of  $\Psi$ 
  and ? $\varphi_P$  =  $\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi]$ 
{
  fix  $\psi$ 
  assume  $\psi \in$  bounded-solution-set-sas-plus'  $\Psi k$ 
  then have set  $\psi \subseteq$  set ?ops
    and length  $\psi = k$ 
  unfolding bounded-solution-set-sas-plus'-def is-serial-solution-for-problem-def
  Let-def
    list-all-iff ListMem-iff
    by fast+
    hence  $\psi \in$  bounded-plan-set ?ops  $k$ 
      by blast
  }
  hence bounded-solution-set-sas-plus'  $\Psi k \subseteq$  bounded-plan-set ?ops  $k$ 
    by blast
  moreover have inj-on ? $\varphi_P$  (bounded-plan-set ?ops  $k$ )
    using sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-a[OF assms(1)].
  ultimately show ?thesis
    using inj-on-subset[of ? $\varphi_P$  bounded-plan-set ?ops  $k$  bounded-solution-set-sas-plus'  $\Psi k$ ]
    by fast
qed

```

— Show that mapping plan transformation $\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi]$ over the solution set for a given SAS+ problem yields the solution set for the induced STRIPS problem.

private lemma *sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-c:*

```

assumes is-valid-problem-sas-plus  $\Psi$ 
shows  $(\lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi])' (bounded-solution-set-sas-plus' \Psi k)$ 
 $= bounded-solution-set-strips' (\varphi \Psi) k$ 
proof —
  let  $\Pi = \varphi \Psi$ 
  and  $\varphi_P = \lambda\psi. [\varphi_O \Psi op. op \leftarrow \psi]$ 
  let  $?Sol_k = bounded-solution-set-sas-plus' \Psi k$ 
  and  $?Sol'_k = bounded-solution-set-strips' \Pi k$ 
  {
    assume  $\varphi_P ?Sol_k \neq ?Sol'_k$ 
    then consider (A)  $\exists \pi \in \varphi_P ?Sol_k. \pi \notin ?Sol'_k$ 
    | (B)  $\exists \pi \in ?Sol'_k. \pi \notin \varphi_P ?Sol_k$ 
      by blast
    hence False
    proof (cases)
      case A
        moreover obtain  $\pi$  where  $\pi \in \varphi_P ?Sol_k$  and  $\pi \notin ?Sol'_k$ 
          using calculation
          by blast
        moreover obtain  $\psi$  where  $length \psi = k$ 
          and SAS-Plus-Semantics.is-serial-solution-for-problem  $\Psi \psi$ 
          and  $\pi = \varphi_P \psi$ 
          using calculation(2)
          unfolding bounded-solution-set-sas-plus'-def
          by blast
        moreover have  $length \pi = k$  and STRIPS-Semantics.is-serial-solution-for-problem
 $\Pi \pi$ 
        subgoal
          using calculation(4, 6) by auto
        subgoal
          using serial-sas-plus-equivalent-to-serial-strips
            assms(1) calculation(5) calculation(6)
          by blast
        done
        moreover have  $\pi \in ?Sol'_k$ 
          unfolding bounded-solution-set-strips'-def
          using calculation(7, 8)
          by simp
        ultimately show ?thesis
          by fast
      next
        case B
  
```

```

moreover obtain  $\pi$  where  $\pi \in ?Sol_k'$  and  $\pi \notin ?\varphi_P`?Sol_k$ 
  using calculation
  by blast
moreover have STRIPS-Semantics.is-serial-solution-for-problem  $\mathcal{P}$   $\pi$ 
  and length  $\pi = k$ 
  using calculation(2)
  unfolding bounded-solution-set-strips'-def
  by simp+
  — Construct the counter example  $\psi \equiv [\varphi_O^{-1} \mathcal{P} op. op \leftarrow \pi]$  and show
  that  $\psi \in ?Sol_k$  as well as  $??\varphi_P \psi = \pi$  hence  $\pi \in ?\varphi_P`?Sol_k$ .
  moreover have length  $[\varphi_O^{-1} \Psi op. op \leftarrow \pi] = k$ 
  and SAS-Plus-Semantics.is-serial-solution-for-problem  $\Psi [\varphi_O^{-1} \Psi op. op$ 
 $\leftarrow \pi]$ 
    subgoal
      using calculation(5)
      by simp
    subgoal
      using serial-strips-equivalent-to-serial-sas-plus[OF assms(1)]
      calculation(4)
      by simp
    done
  moreover have  $[\varphi_O^{-1} \Psi op. op \leftarrow \pi] \in ?Sol_k$ 
    unfolding bounded-solution-set-sas-plus'-def
    using calculation(6, 7)
    by blast

moreover {
  have  $\forall op \in set \pi. op \in set ((\mathcal{P})_O)$ 
    using calculation(4)
  unfolding STRIPS-Semantics.is-serial-solution-for-problem-def list-all-iff
ListMem-iff
  by simp
  hence  $??\varphi_P [\varphi_O^{-1} \Psi op. op \leftarrow \pi] = \pi$ 
    proof (induction  $\pi$ )
      case (Cons  $op \pi$ )
        moreover have  $??\varphi_P [\varphi_O^{-1} \Psi op. op \leftarrow op \# \pi]$ 
         $= (\varphi_O \Psi (\varphi_O^{-1} \Psi op)) \# ??\varphi_P [\varphi_O^{-1} \Psi op. op \leftarrow \pi]$ 
        by simp
      moreover have  $op \in set ((\mathcal{P})_O)$ 
        using Cons.preds
        by simp
      moreover have  $(\varphi_O \Psi (\varphi_O^{-1} \Psi op)) = op$ 
        using strips-operator-inverse-is[OF assms(1) calculation(4)].
      moreover have  $??\varphi_P [\varphi_O^{-1} \Psi op. op \leftarrow \pi] = \pi$ 
        using Cons.IH Cons.preds
        by auto
      ultimately show ?case
        by argo
    qed simp
}

```

```

}
moreover have  $\pi \in ?\varphi_P \wedge ?Sol_k$ 
  using calculation(8, 9)
  by force
ultimately show ?thesis
  by blast
qed
}
thus ?thesis
  by blast
qed

private lemma sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-d:
assumes is-valid-problem-sas-plus  $\Psi$ 
shows card (bounded-solution-set-sas-plus'  $\Psi$  k)  $\leq$  card (bounded-solution-set-strips'
 $(\varphi \Psi)$  k)
proof -
let  $?Pi = \varphi \Psi$ 
  and  $?varphi_P = \lambda \psi. [\varphi_O \Psi op. op \leftarrow \psi]$ 
let  $?Sol_k = \text{bounded-solution-set-sas-plus}' \Psi k$ 
  and  $?Sol_k' = \text{bounded-solution-set-strips}' ?Pi k$ 
have card ( $?varphi_P \wedge ?Sol_k$ )  $=$  card ( $?Sol_k$ )
using sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-b[OF
assms(1)]
  card-image
  by blast
moreover have  $?varphi_P \wedge ?Sol_k = ?Sol_k'$ 
using sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-c[OF
assms(1)].
ultimately show ?thesis
  by simp
qed

```

— The set of fixed length plans with operators in a given operator set is finite.

lemma bounded-plan-set-finite:

shows finite { π . set $\pi \subseteq \text{set ops} \wedge \text{length } \pi = k$ }

proof (induction k)

case ($Suc k$)

let $?P = \{ \pi. \text{set } \pi \subseteq \text{set ops} \wedge \text{length } \pi = k \}$

and $?P' = \{ \pi. \text{set } \pi \subseteq \text{set ops} \wedge \text{length } \pi = Suc k \}$

let $?P'' = (\bigcup_{op \in \text{set ops}} (\bigcup_{\pi \in ?P. \{ op \# \pi \}}))$

{

have $\forall op \pi. \text{finite } \{ op \# \pi \}$

by simp

then have $\forall op. \text{finite } (\bigcup_{\pi \in ?P. \{ op \# \pi \}})$

using finite-UN[of ?P] Suc

by blast

hence finite $?P''$

using finite-UN[of set ops]

```

        by blast
}
moreover {
{
fix  $\pi$ 
assume  $\pi \in ?P'$ 
moreover have set  $\pi \subseteq$  set ops
  and length  $\pi = Suc k$ 
  using calculation
  by simp+
moreover obtain op  $\pi'$  where  $\pi = op \# \pi'$ 
  using calculation(3)
  unfolding length-Suc-conv
  by fast
moreover have set  $\pi' \subseteq$  set ops and op  $\in$  set ops
  using calculation(2, 4)
  by simp+
moreover have length  $\pi' = k$ 
  using calculation(3, 4)
  by auto
moreover have  $\pi' \in ?P$ 
  using calculation(5, 7)
  by blast
ultimately have  $\pi \in ?P''$ 
  by blast
}
hence  $?P' \subseteq ?P''$ 
  by blast
}
ultimately show ?case
  using rev-finite-subset[of ?P'' ?P]
  by blast
qed force

```

— The set of fixed length SAS+ solutions are subsets of the set of plans with fixed length and therefore also finite.

```

private lemma sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-ii-a:
assumes is-valid-problem-sas-plus  $\Psi$ 
shows finite (bounded-solution-set-sas-plus'  $\Psi k$ )
proof -
let ?Ops = set (( $\Psi$ ) $_{\mathcal{O}+}$ )
let ?Sol $_k$  = bounded-solution-set-sas-plus'  $\Psi k$ 
and ?P $_k$  = {  $\pi$ . set  $\pi \subseteq$  ?Ops  $\wedge$  length  $\pi = k$  }
{
fix  $\psi$ 
assume  $\psi \in ?Sol_k$ 
then have length  $\psi = k$  and set  $\psi \subseteq$  ?Ops
unfolding bounded-solution-set-sas-plus'-def
SAS-Plus-Semantics.is-serial-solution-for-problem-def Let-def list-all-iff List-

```

```

Mem-iff
  by fastforce+
  hence  $\psi \in ?P_k$ 
    by blast
}
then have  $?Sol_k \subseteq ?P_k$ 
  by force
thus  $?thesis$ 
  using bounded-plan-set-finite rev-finite-subset[of  $?P_k$   $?Sol_k$ ]
  by auto
qed

```

— The set of fixed length STRIPS solutions are subsets of the set of plans with fixed length and therefore also finite.

```

private lemma sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-ii-b:
assumes is-valid-problem-sas-plus  $\Psi$ 
shows finite (bounded-solution-set-strips' ( $\varphi$   $\Psi$ )  $k$ )
proof -
let  $?Pi = \varphi \Psi$ 
let  $?Ops = set ((?Pi)_O)$ 
let  $?Sol_k = bounded-solution-set-strips' ?Pi k$ 
and  $?P_k = \{ \pi. set \pi \subseteq ?Ops \wedge length \pi = k \}$ 
{
fix  $\pi$ 
assume  $\pi \in ?Sol_k$ 
then have  $length \pi = k$  and  $set \pi \subseteq ?Ops$ 
  unfolding bounded-solution-set-strips'-def
  STRIPS-Semantics.is-serial-solution-for-problem-def Let-def list-all-iff List-
Mem-iff
  by fastforce+
  hence  $\pi \in ?P_k$ 
    by blast
}
then have  $?Sol_k \subseteq ?P_k$ 
  by force
thus  $?thesis$ 
  using bounded-plan-set-finite rev-finite-subset[of  $?P_k$   $?Sol_k$ ]
  unfolding state-to-strips-state-def
  SAS-Plus-STRIPS.state-to-strips-state-def operators-of-def
  by blast
qed

```

With the results on the equivalence of SAS+ and STRIPS solutions, we can now show that given problems in both formalisms, the solution sets have the same size. This is the property required by the definition of planning formalism equivalence presented earlier in theorem ?? (??) and thus end up with the desired equivalence result.

The proof uses the finiteness and disjunctiveness of the solution sets for either problem to be able to equivalently transform the set cardinality over

the union of sets of solutions with bounded lengths into a sum over the cardinality of the sets of solutions with bounded length. Moreover, since we know that for each SAS+ solution with a given length an equivalent STRIPS solution exists in the solution set of the transformed problem with the same length, both sets must have the same cardinality.

Hence the cardinality of the SAS+ solution set over all lengths up to a given upper bound N has the same size as the solution set of the corresponding STRIPS problem over all length up to a given upper bound N .

theorem

assumes *is-valid-problem-sas-plus* Ψ
shows $\text{card}(\text{bounded-solution-set-sas-plus } \Psi N)$
 $= \text{card}(\text{bounded-solution-set-strips } (\varphi \Psi) N)$

proof –

let $\varphi \Psi$

and $?R = \{0..N\}$

— Due to the disjoint nature of the bounded solution sets for fixed plan length for different lengths, we can sum the individual set cardinality to obtain the cardinality of the overall SAS+ resp. STRIPS solution sets.

have $\text{finite-}R : \text{finite } ?R$

by *simp*

moreover {

have $\forall k \in ?R. \text{finite}(\text{bounded-solution-set-sas-plus}' \Psi k)$

using *sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-ii-a*[OF

assms(1)..

moreover have $\forall j \in ?R. \forall k \in ?R. j \neq k$

$\longrightarrow \text{bounded-solution-set-sas-plus}' \Psi j$

$\cap \text{bounded-solution-set-sas-plus}' \Psi k = \{\}$

unfolding *bounded-solution-set-sas-plus'-def*

by *blast*

}

moreover {

have $\forall k \in ?R. \text{finite}(\text{bounded-solution-set-strips}' \varphi \Psi k)$

using *sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-ii-b*[OF

assms(1)..

moreover have $\forall j \in ?R. \forall k \in ?R. j \neq k$

$\longrightarrow \text{bounded-solution-set-strips}' \varphi \Psi j$

$\cap \text{bounded-solution-set-strips}' \varphi \Psi k = \{\}$

unfolding *bounded-solution-set-strips'-def*

by *blast*

ultimately have $\text{card}(\text{bounded-solution-set-strips } \varphi \Psi N)$

```

= ( $\sum k \in ?R. \text{card} (\text{bounded-solution-set-strips}' ?\Pi k))$ 
using card-UN-disjoint
by blast
}
moreover {
  fix  $k$ 
  have  $\text{card} (\text{bounded-solution-set-sas-plus}' \Psi k)$ 
  =  $\text{card} ((\lambda \psi. [\varphi_O \Psi op. op \leftarrow \psi])$ 
    ‘ $\text{bounded-solution-set-sas-plus}' \Psi k)$ 
using sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-b[ $OF$ 
assms]
  card-image[symmetric]
  by blast
  hence  $\text{card} (\text{bounded-solution-set-sas-plus}' \Psi k)$ 
  =  $\text{card} (\text{bounded-solution-set-strips}' ?\Pi k)$ 
using sas-plus-formalism-and-induced-strips-formalism-are-equally-expressive-i-c[ $OF$ 
assms]
  by presburger
}
ultimately show ?thesis
by presburger
qed

end

end

theory SAT-Plan-Base
imports List-Index.List-Index
  Propositional-Proof-Systems.Formulas
  STRIPS-Semantics
  Map-Supplement List-Supplement
  CNF-Semantics-Supplement CNF-Supplement
begin

  — Hide constant and notation for  $(\perp)$  to prevent warnings.
  hide-const (open) Orderings.bot-class.bot
  no-notation Orderings.bot-class.bot  $(\perp)$ 

  — Hide constant and notation for  $((-^+))$  to prevent warnings.
  hide-const (open) Transitive-Closure.trancl
  unbundle no trancl-syntax

  — Hide constant and notation for  $((-^+))$  to prevent warnings.
  hide-const (open) Relation.converse
  no-notation Relation.converse  $((\langle \langle \text{notation}=\langle \text{postfix} -1 \rangle \rangle -^1) \rangle [1000] 999)$ 

```

7 The Basic SATPlan Encoding

We now move on to the formalization of the basic SATPlan encoding (see ??).

The two major results that we will obtain here are the soundness and completeness result outlined in ?? in ??.

Let in the following $\Phi \equiv \text{encode-to-sat } \Pi t$ denote the SATPlan encoding for a STRIPS problem Π and makespan t . Let $k < t$ and $I \equiv (\Pi)_I$ be the initial state of Π , $G \equiv (\Pi)_G$ be its goal state, $\mathcal{V} \equiv (\Pi)_{\mathcal{V}}$ its variable set, and $\mathcal{O} \equiv (\Pi)_{\mathcal{O}}$ its operator set.

7.1 Encoding Function Definitions

Since the SATPlan encoding uses propositional variables for both operators and state variables of the problem as well as time points, we define a datatype using separate constructors —*State k n* for state variables resp. *Operator k n* for operator activation—to facilitate case distinction. The natural number values store the time index resp. the indexes of the variable or operator within their lists in the problem representation.

```
datatype sat-plan-variable =
  State nat nat
  | Operator nat nat
```

A SATPlan formula is a regular propositional formula over SATPlan variables. We add a type synonym to improve readability.

```
type-synonym sat-plan-formula = sat-plan-variable formula
```

We now continue with the concrete definitions used in the implementation of the SATPlan encoding. State variables are encoded as literals over SATPlan variables using the *State* constructor of .

```
definition encode-state-variable
  :: nat ⇒ nat ⇒ bool option ⇒ sat-plan-variable formula
  where encode-state-variable t k v ≡ case v of
    Some True ⇒ Atom (State t k)
    | Some False ⇒ ¬ (Atom (State t k))
```

The initial state encoding (definition ??) is a conjunction of state variable encodings $A \equiv \text{encode-state-variable } 0 n b$ with $n \equiv \text{index vs } v$ and $b \equiv I v = \text{Some True}$ for all $v \in \mathcal{V}$. As we can see below, the same function but substituting the initial state with the goal state and zero with the makespan t produces the goal state encoding (??). Note that both functions construct a conjunction of clauses $A \vee \perp$ for which it is easy to show that we can normalize to conjunctive normal form (CNF).

```
definition encode-initial-state
```

```

:: 'variable strips-problem  $\Rightarrow$  sat-plan-variable formula ( $\langle \Phi_I \rightarrow 99 \rangle$ )
where encode-initial-state  $\Pi$ 
 $\equiv$  let  $I = \text{initial-of } \Pi$ 
      ;  $vs = \text{variables-of } \Pi$ 
      in  $\bigwedge (\text{map } (\lambda v. \text{encode-state-variable } 0 (\text{index } vs v) (I v) \vee \perp)$ 
         ( $\text{filter } (\lambda v. I v \neq \text{None}) vs$ ))

definition encode-goal-state
:: 'variable strips-problem  $\Rightarrow$  nat  $\Rightarrow$  sat-plan-variable formula ( $\langle \Phi_G \rightarrow 99 \rangle$ )
where encode-goal-state  $\Pi t$ 
 $\equiv$  let
       $vs = \text{variables-of } \Pi$ 
      ;  $G = \text{goal-of } \Pi$ 
      in  $\bigwedge (\text{map } (\lambda v. \text{encode-state-variable } t (\text{index } vs v) (G v) \vee \perp)$ 
         ( $\text{filter } (\lambda v. G v \neq \text{None}) vs$ ))

```

Operator preconditions are encoded using activation-implies-precondition formulation as mentioned in ??: i.e. for each operator $op \in \mathcal{O}$ and $p \in \text{set}(\text{precondition-of } op)$ we have to encode

$$\text{Atom} (\text{Operator } k (\text{index } ops op)) \rightarrow \text{Atom} (\text{State } k (\text{index } vs v))$$

We use the equivalent disjunction in the formalization to simplify conversion to CNF.

```

definition encode-operator-precondition
:: 'variable strips-problem
 $\Rightarrow$  nat
 $\Rightarrow$  'variable strips-operator
 $\Rightarrow$  sat-plan-variable formula
where encode-operator-precondition  $\Pi t op \equiv$  let
       $vs = \text{variables-of } \Pi$ 
      ;  $ops = \text{operators-of } \Pi$ 
      in  $\bigwedge (\text{map } (\lambda v.$ 
            $\neg (\text{Atom} (\text{Operator } t (\text{index } ops op))) \vee \text{Atom} (\text{State } t (\text{index } vs v))$ 
           ( $\text{precondition-of } op$ )))

```



```

definition encode-all-operator-preconditions
:: 'variable strips-problem
 $\Rightarrow$  'variable strips-operator list
 $\Rightarrow$  nat
 $\Rightarrow$  sat-plan-variable formula
where encode-all-operator-preconditions  $\Pi ops t \equiv$  let
       $l = \text{List.product } [0..<t] ops$ 
      in  $\text{foldr } (\wedge) (\text{map } (\lambda(t, op). \text{encode-operator-precondition } \Pi t op) l) (\neg\perp)$ 

```

Analogously to the operator precondition, add and delete effects of operators have to be implied by operator activation. That being said, we have to encode both positive and negative effects and the effect must be active at the following time point: i.e.

$\text{Atom}(\text{Operator } k \ m) \rightarrow \text{Atom}(\text{State } (\text{Suc } k) \ n)$

for add effects respectively

$\text{Atom}(\text{Operator } k \ m) \rightarrow \neg \text{Atom}(\text{State } (\text{Suc } k) \ n)$

for delete effects. We again encode the implications as their equivalent disjunctions in definition ??.

```

definition encode-operator-effect
  :: 'variable strips-problem
  => nat
  => 'variable strips-operator
  => sat-plan-variable formula
where encode-operator-effect  $\Pi$  t op
  ≡ let
    vs = variables-of  $\Pi$ 
    ; ops = operators-of  $\Pi$ 
    in  $\bigwedge$ (map ( $\lambda v.$ 
       $\neg(\text{Atom}(\text{Operator } t \ (\text{index } ops \ op)))$ 
       $\vee \text{Atom}(\text{State } (\text{Suc } t) \ (\text{index } vs \ v))$ 
      (add-effects-of op)
      @ map ( $\lambda v.$ 
         $\neg(\text{Atom}(\text{Operator } t \ (\text{index } ops \ op)))$ 
         $\vee \neg(\text{Atom}(\text{State } (\text{Suc } t) \ (\text{index } vs \ v)))$ 
        (delete-effects-of op)))
      )
    )
  
```



```

definition encode-all-operator-effects
  :: 'variable strips-problem
  => 'variable strips-operator list
  => nat
  => sat-plan-variable formula
where encode-all-operator-effects  $\Pi$  ops t
  ≡ let l = List.product [0..<t] ops
    in foldr ( $\wedge$ ) (map ( $\lambda(t, \ op).$  encode-operator-effect  $\Pi$  t op) l) ( $\neg\perp$ )
  
```



```

definition encode-operators
  :: 'variable strips-problem  $\Rightarrow$  nat  $\Rightarrow$  sat-plan-variable formula
where encode-operators  $\Pi$  t
  ≡ let ops = operators-of  $\Pi$ 
    in encode-all-operator-preconditions  $\Pi$  ops t  $\wedge$  encode-all-operator-effects  $\Pi$  ops t
  
```

Definitions ?? and ?? similarly encode the negative resp. positive transition frame axioms as disjunctions.

```

definition encode-negative-transition-frame-axiom
  :: 'variable strips-problem
  => nat
  => 'variable
  => sat-plan-variable formula
  
```

```

where encode-negative-transition-frame-axiom  $\Pi t v$ 
 $\equiv$  let  $vs = \text{variables-of } \Pi$ 
    ;  $ops = \text{operators-of } \Pi$ 
    ;  $\text{deleting-operators} = \text{filter } (\lambda op. \text{ListMem } v (\text{delete-effects-of } op)) ops$ 
    in  $\neg(\text{Atom} (\text{State } t (\text{index } vs v)))$ 
        $\vee (\text{Atom} (\text{State} (\text{Suc } t) (\text{index } vs v)))$ 
        $\vee \bigvee (\text{map } (\lambda op. \text{Atom} (\text{Operator } t (\text{index } ops op))) \text{ deleting-operators}))$ 

definition encode-positive-transition-frame-axiom
:: 'variable strips-problem
 $\Rightarrow$  nat
 $\Rightarrow$  'variable
 $\Rightarrow$  sat-plan-variable formula
where encode-positive-transition-frame-axiom  $\Pi t v$ 
 $\equiv$  let  $vs = \text{variables-of } \Pi$ 
    ;  $ops = \text{operators-of } \Pi$ 
    ;  $\text{adding-operators} = \text{filter } (\lambda op. \text{ListMem } v (\text{add-effects-of } op)) ops$ 
    in  $(\text{Atom} (\text{State } t (\text{index } vs v)))$ 
        $\vee (\neg(\text{Atom} (\text{State} (\text{Suc } t) (\text{index } vs v))))$ 
        $\vee \bigvee (\text{map } (\lambda op. \text{Atom} (\text{Operator } t (\text{index } ops op))) \text{ adding-operators}))$ 

definition encode-all-frame-axioms
:: 'variable strips-problem  $\Rightarrow$  nat  $\Rightarrow$  sat-plan-variable formula
where encode-all-frame-axioms  $\Pi t$ 
 $\equiv$  let  $l = \text{List.product } [0..<t] (\text{variables-of } \Pi)$ 
    in  $\bigwedge (\text{map } (\lambda(k, v). \text{encode-negative-transition-frame-axiom } \Pi k v) l$ 
        $\quad @ \text{map } (\lambda(k, v). \text{encode-positive-transition-frame-axiom } \Pi k v) l)$ 

```

Finally, the basic SATPlan encoding is the conjunction of the initial state, goal state, operator and frame axiom encoding for all time steps. The functions and ⁶ take care of mapping the operator precondition, effect and frame axiom encoding over all possible combinations of time point and operators resp. time points, variables, and operators.

```

definition encode-problem ( $\langle \Phi \dashv \dashv 99 \rangle$ )
where encode-problem  $\Pi t$ 
 $\equiv$  encode-initial-state  $\Pi$ 
 $\wedge (\text{encode-operators } \Pi t$ 
 $\wedge (\text{encode-all-frame-axioms } \Pi t$ 
 $\wedge (\text{encode-goal-state } \Pi t)))$ 

```

7.2 Decoding Function Definitions

Decoding plans from a valuation \mathcal{A} of a SATPlan encoding entails extracting all activated operators for all time points except the last one. We implement this by mapping over all $k < t$ and extracting activated operators—i.e. operators for which the model evaluates the respective operator encoding at

⁶Not shown.

time k to true—into a parallel operator (see definition ??). ⁷

```
definition decode-plan'
  :: 'variable strips-problem
  ⇒ sat-plan-variable valuation
  ⇒ nat
  ⇒ 'variable strips-operator list
where decode-plan' Π A i
  ≡ let ops = operators-of Π
    ; vs = map (λop. Operator i (index ops op)) (remdups ops)
    in map (λv. case v of Operator - k ⇒ ops ! k) (filter A vs)
```

— We decode maps over range $0, \dots, t - 1$ because the last operator takes effect in t and must therefore have been applied in step $t - 1$.

```
definition decode-plan
  :: 'variable strips-problem
  ⇒ sat-plan-variable valuation
  ⇒ nat
  ⇒ 'variable strips-parallel-plan (⟨Φ-1 - - -> 99)
where decode-plan Π A t ≡ map (decode-plan' Π A) [0..<t]
```

Similarly to the operator decoding, we can decode a state at time k from a valuation of the SATPlan encoding A by constructing a map from list of assignments $(v, A (State k (index vs v)))$ for all $v \in V$.

```
definition decode-state-at
  :: 'variable strips-problem
  ⇒ sat-plan-variable valuation
  ⇒ nat
  ⇒ 'variable strips-state (⟨ΦS-1 - - -> 99)
where decode-state-at Π A k
  ≡ let
    vs = variables-of Π
    ; state-encoding-to-assignment = λv. (v, A (State k (index vs v)))
    in map-of (map state-encoding-to-assignment vs)
```

We continue by setting up the context for the proofs of soundness and completeness.

```
definition encode-transitions :: 'variable strips-problem ⇒ nat ⇒ sat-plan-variable
formula (⟨ΦT - -> 99) where
  encode-transitions Π t
  ≡ SAT-Plan-Base.encode-operators Π t ∧
    SAT-Plan-Base.encode-all-frame-axioms Π t
```

— Immediately proof the sublocale proposition for strips in order to gain access to definitions and lemmas.

⁷This is handled by function `decode_plan'` (not shown).

```

— Setup simp rules.
lemma [simp]:
  encode-transitions  $\Pi t$ 
  = SAT-Plan-Base.encode-operators  $\Pi t \wedge$ 
    SAT-Plan-Base.encode-all-frame-axioms  $\Pi t$ 
  unfolding encode-problem-def encode-initial-state-def encode-transitions-def
    encode-goal-state-def decode-plan-def decode-state-at-def
  by simp+
context
begin

lemma encode-state-variable-is-lit-plus-if:
  assumes is-valid-problem-strips  $\Pi$ 
  and  $v \in \text{dom } s$ 
  shows is-lit-plus (encode-state-variable  $k$  (index (strips-problem.variables-of  $\Pi$ )
 $v$ ) ( $s v$ ))
proof -
  have  $s v \neq \text{None}$ 
  using is-valid-problem-strips-initial-of-dom assms(2)
  by blast
  then consider (s-of-v-is-some-true)  $s v = \text{Some True}$ 
  | (s-of-v-is-some-false)  $s v = \text{Some False}$ 
  by fastforce
  thus ?thesis
  unfolding encode-state-variable-def
  by (cases, simp+)
qed

lemma is-cnf-encode-initial-state:
  assumes is-valid-problem-strips  $\Pi$ 
  shows is-cnf  $(\Phi_I \Pi)$ 
proof -
  let  $?I = (\Pi)_I$ 
  and  $?vs = \text{strips-problem.variables-of } \Pi$ 
  let  $?l = \text{map} (\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp)$ 
     $(\text{filter} (\lambda v. ?I v \neq \text{None}) ?vs)$ 
  {
    fix  $C$ 
    assume c-in-set-l: $C \in \text{set } ?l$ 
    have set  $?l = (\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp) `$ 
       $(\text{filter} (\lambda v. ?I v \neq \text{None}) ?vs)$ 
    using set-map[of  $\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp$ 
      filter  $(\lambda v. ?I v \neq \text{None}) ?vs]$ 
    by blast
    then have set  $?l = (\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp) `$ 
       $\{v \in \text{set } ?vs. ?I v \neq \text{None}\}$ 
    using set-filter[of  $\lambda v. ?I v \neq \text{None} ?vs]$ 

```

```

by argo
then obtain v
  where c-is:  $C = \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp$ 
    and v-in-set-vs:  $v \in \text{set } ?vs$ 
    and I-of-v-is-not-None:  $?I v \neq \text{None}$ 
    using c-in-set-l
    by auto

  {
    have  $v \in \text{dom } ?I$ 
      using I-of-v-is-not-None
      by blast
    moreover have is-lit-plus ( $\text{encode-state-variable } 0 (\text{index } ?vs v) (?I v)$ )
      using encode-state-variable-is-lit-plus-if[OF - calculation] assms(1)
      by blast
    moreover have is-lit-plus  $\perp$ 
      by simp
    ultimately have is-disj C
      using c-is
      by force
  }
  hence is-cnf C
  unfolding encode-state-variable-def
  using c-is
  by fastforce
}
thus ?thesis
unfolding encode-initial-state-def SAT-Plan-Base.encode-initial-state-def Let-def
initial-of-def
  using is-cnf-BigAnd[of ?l]
  by (smt is-cnf-BigAnd)
qed

lemma encode-goal-state-is-cnf:
  assumes is-valid-problem-strips  $\Pi$ 
  shows is-cnf ( $\text{encode-goal-state } \Pi t$ )
proof -
  let  $?I = (\Pi)_I$ 
  and  $?G = (\Pi)_G$ 
  and  $?vs = \text{strips-problem.variables-of } \Pi$ 
  let  $?l = \text{map } (\lambda v. \text{encode-state-variable } t (\text{index } ?vs v) (?G v)) \vee \perp$ 
    (filter  $(\lambda v. ?G v \neq \text{None}) ?vs$ )
  {
    fix C
    assume C ∈ set ?l

    moreover {
      have set ?l =  $(\lambda v. \text{encode-state-variable } t (\text{index } ?vs v) (?G v)) \vee \perp$ 
        ` set (filter  $(\lambda v. ?G v \neq \text{None}) ?vs$ )
    }
  }

```

```

unfolding set-map
by blast
then have set ?l = { encode-state-variable t (index ?vs v) (?G v)  $\vee \perp$ 
| v. v  $\in$  set ?vs  $\wedge$  ?G v  $\neq$  None }
by auto
}
moreover obtain v where C-is: C = encode-state-variable t (index ?vs v)
(?G v)  $\vee \perp$ 
and v  $\in$  set ?vs
and G-of-v-is-not-None: ?G v  $\neq$  None
using calculation(1)
by auto

moreover {
have v  $\in$  dom ?G
using G-of-v-is-not-None
by blast
moreover have is-lit-plus (encode-state-variable t (index ?vs v) (?G v))
using assms(1) calculation
by (simp add: encode-state-variable-is-lit-plus-if)
moreover have is-lit-plus  $\perp$ 
by simp
ultimately have is-disj C
unfolding C-is
by force
}
ultimately have is-cnf C
by simp
}
thus ?thesis
unfolding encode-goal-state-def SAT-Plan-Base.encode-goal-state-def Let-def
using is-cnf-BigAnd[of ?l]
by simp
qed

```

```

private lemma encode-operator-precondition-is-cnf:
is-cnf (encode-operator-precondition  $\Pi$  k op)
proof -
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problem.operators-of  $\Pi$ 
let ?l = map ( $\lambda$ v.  $\neg$ (Atom (Operator k (index ?ops op)))  $\vee$  Atom (State k (index ?vs v)))


```

 (precondition-of op)
{
```

have set ?l = ( $\lambda$ v.  $\neg$ (Atom (Operator k (index ?ops op)))  $\vee$  Atom (State k (index ?vs v)))
  ‘ set (precondition-of op)
using set-map
by force

```

```

then have set ?l = {  $\neg(\text{Atom} (\text{Operator } k (\text{index } ?ops op))) \vee \text{Atom} (\text{State } k (\text{index } ?vs v))$ 
  |  $v. v \in \text{set} (\text{precondition-of } op)$  }
  using setcompr-eq-image[of
     $\lambda v. \neg(\text{Atom} (\text{Operator } k (\text{index } ?ops op))) \vee \text{Atom} (\text{State } k (\text{index } ?vs v))$ 
     $\lambda v. v \in \text{set} (\text{precondition-of } op)$ ]
  by simp
  } note set-l-is = this
  {
    fix C
    assume C ∈ set ?l
    then obtain v
      where v ∈ set (precondition-of op)
      and C =  $\neg(\text{Atom} (\text{Operator } k (\text{index } ?ops op))) \vee \text{Atom} (\text{State } k (\text{index } ?vs v))$ 
      using set-l-is
      by blast
      hence is-cnf C
      by simp
    }
    thus ?thesis
    unfolding encode-operator-precondition-def
    using is-cnf-BigAnd[of ?l]
    by meson
  qed

private lemma set-map-operator-precondition[simp]:
  set (map ( $\lambda(k, op). \text{encode-operator-precondition } \Pi k op$ ) (List.product [0..<t] ops))
  = { encode-operator-precondition  $\Pi k op$  | k op.  $(k, op) \in (\{0..<t\} \times \text{set } ops)$  }
proof -
  let ?l' = List.product [0..<t] ops
  let ?fs = map ( $\lambda(k, op). \text{encode-operator-precondition } \Pi k op$ ) ?l'
  have set-l'-is: set ?l' =  $\{0..<t\} \times \text{set } ops$ 
    by simp
  moreover {
    have set ?fs = ( $\lambda(k, op). \text{encode-operator-precondition } \Pi k op$ )
      ‘  $(\{0..<t\} \times \text{set } ops)$ 
    using set-map set-l'-is
    by simp
    also have ... = { encode-operator-precondition  $\Pi k op$  | k op.  $(k, op) \in \{0..<t\} \times \text{set } ops$  }
    using setcompr-eq-image
    by fast
    finally have set ?fs = { encode-operator-precondition  $\Pi k op$ 
      | k op.  $(k, op) \in (\{0..<t\} \times \text{set } ops)$  }
    by blast
  }
  thus ?thesis

```

by blast

qed

private lemma *is-cnf-encode-all-operator-preconditions*:

is-cnf (*encode-all-operator-preconditions* Π (*strips-problem.operators-of* Π) t)

proof –

let $?l' = \text{List.product } [0..<t] (\text{strips-problem.operators-of } \Pi)$

let $?fs = \text{map } (\lambda(k, op). \text{encode-operator-precondition } \Pi k op) ?l'$

have $\forall f \in \text{set } ?fs. \text{is-cnf } f$

using *encode-operator-precondition-is-cnf*

by *fastforce*

thus $?thesis$

unfolding *encode-all-operator-preconditions-def*

using *is-cnf-foldr-and-if[of ?fs]*

by *presburger*

qed

private lemma *set-map-or[simp]*:

$\text{set } (\text{map } (\lambda v. A v \vee B v) vs) = \{ A v \vee B v \mid v. v \in \text{set } vs \}$

proof –

let $?l = \text{map } (\lambda v. A v \vee B v) vs$

have $\text{set } ?l = (\lambda v. A v \vee B v) ` \text{set } vs$

using *set-map*

by *force*

thus $?thesis$

using *setcompr-eq-image*

by *auto*

qed

private lemma *encode-operator-effects-is-cnf-i*:

is-cnf ($\bigwedge (\text{map } (\lambda v. \neg (\text{Atom } (\text{Operator } t (\text{index } (\text{strips-problem.operators-of } \Pi) op))))$

$\vee \text{Atom } (\text{State } (\text{Suc } t) (\text{index } (\text{strips-problem.variables-of } \Pi) v))) (\text{add-effects-of } op))$

proof –

let $?fs = \text{map } (\lambda v. \neg (\text{Atom } (\text{Operator } t (\text{index } (\text{strips-problem.operators-of } \Pi) op))))$

$\vee \text{Atom } (\text{State } (\text{Suc } t) (\text{index } (\text{strips-problem.variables-of } \Pi) v))) (\text{add-effects-of } op)$

{

fix C

assume $C \in \text{set } ?fs$

then obtain v

where $v \in \text{set } (\text{add-effects-of } op)$

and $C = \neg (\text{Atom } (\text{Operator } t (\text{index } (\text{strips-problem.operators-of } \Pi) op)))$

$\vee \text{Atom } (\text{State } (\text{Suc } t) (\text{index } (\text{strips-problem.variables-of } \Pi) v))$

by *auto*

hence *is-cnf* C

```

    by fastforce
}
thus ?thesis
  using is-cnf-BigAnd
  by blast
qed

private lemma encode-operator-effects-is-cnf-ii:
  is-cnf (Λ(map (λv. ¬(Atom (Operator t (index (strips-problem.operators-of Π)
op)))  

  ∨ ¬(Atom (State (Suc t) (index (strips-problem.variables-of Π) v)))) (delete-effects-of
op)))
proof -
  let ?fs = map (λv. ¬(Atom (Operator t (index (strips-problem.operators-of Π)
op)))  

  ∨ ¬(Atom (State (Suc t) (index (strips-problem.variables-of Π) v)))) (delete-effects-of
op)
  {
    fix C
    assume C ∈ set ?fs
    then obtain v
      where v ∈ set (delete-effects-of op)
      and C = ¬(Atom (Operator t (index (strips-problem.operators-of Π) op)))  

      ∨ ¬(Atom (State (Suc t) (index (strips-problem.variables-of Π) v)))
      by auto
    hence is-cnf C
      by fastforce
  }
  thus ?thesis
    using is-cnf-BigAnd
    by blast
qed

private lemma encode-operator-effect-is-cnf:
  shows is-cnf (encode-operator-effect Π t op)
proof -
  let ?ops = strips-problem.operators-of Π
  and ?vs = strips-problem.variables-of Π
  let ?fs = map (λv. ¬(Atom (Operator t (index ?ops op)))  

  ∨ Atom (State (Suc t) (index ?vs v)))  

  (add-effects-of op)
  and ?fs' = map (λv. ¬(Atom (Operator t (index ?ops op)))  

  ∨ ¬(Atom (State (Suc t) (index ?vs v))))  

  (delete-effects-of op)
  have encode-operator-effect Π t op = Λ(?fs @ ?fs')
    unfolding encode-operator-effect-def[of Π t op]
    by metis
  moreover {
    have ∀f ∈ set ?fs. is-cnf f ∀f ∈ set ?fs'. is-cnf f

```

```

using encode-operator-effects-is-cnf-i[of t Π op]
  encode-operator-effects-is-cnf-ii[of t Π op]
by (simp+)

hence ∀f ∈ set (?fs @ ?fs'). is-cnf f
  by auto
}
ultimately show ?thesis
  using is-cnf-BigAnd[of ?fs @ ?fs']
    by presburger
qed

private lemma set-map-encode-operator-effect[simp]:
set (map (λ(t, op). encode-operator-effect Π t op) (List.product [0..<t]
  (strips-problem.operators-of Π)))
= { encode-operator-effect Π k op
  | k op. (k, op) ∈ ({0..<t} × set (strips-problem.operators-of Π)) }

proof –
let ?ops = strips-problem.operators-of Π
and ?vs = strips-problem.variables-of Π
let ?fs = map (λ(t, op). encode-operator-effect Π t op) (List.product [0..<t] ?ops)
have set ?fs = (λ(t, op). encode-operator-effect Π t op) ` ({0..<t} × set ?ops)
  unfolding encode-operator-effect-def[of Π t]
  by force
thus ?thesis
  using setcompr-eq-image[of λ(t, op). encode-operator-effect Π t op
    λ(k, op). (k, op) ∈ ({0..<t} × set ?ops)]
    by force
qed

private lemma encode-all-operator-effects-is-cnf:
assumes is-valid-problem-strips Π
shows is-cnf (encode-all-operator-effects Π (strips-problem.operators-of Π) t)

proof –
let ?ops = strips-problem.operators-of Π
let ?l = List.product [0..<t] ?ops
let ?fs = map (λ(t, op). encode-operator-effect Π t op) ?l
have ∀f ∈ set ?fs. is-cnf f
  using encode-operator-effect-is-cnf
  by force
thus ?thesis
  unfolding encode-all-operator-effects-def
  using is-cnf-foldr-and-if[of ?fs]
    by presburger
qed

lemma encode-operators-is-cnf:
assumes is-valid-problem-strips Π
shows is-cnf (encode-operators Π t)

```

```

unfolding encode-operators-def
using is-cnf-encode-all-operator-preconditions[of  $\Pi$   $t$ ]
  encode-all-operator-effects-is-cnf[ $OF$  assms, of  $t$ ]
  is-cnf.simps(1)[of encode-all-operator-preconditions  $\Pi$  (strips-problem.operators-of
 $\Pi$ )  $t$ ]
    encode-all-operator-effects  $\Pi$  (strips-problem.operators-of  $\Pi$ )  $t$ ]
by meson

```

— Simp flag alone did not do it, so we have to assign a name to this lemma as well.

```

private lemma set-map-to-operator-atom[simp]:
set (map ( $\lambda op$ . Atom (Operator  $t$  (index (strips-problem.operators-of  $\Pi$ )  $op$ )))
  (filter ( $\lambda op$ . ListMem  $v$   $vs$ ) (strips-problem.operators-of  $\Pi$ )))
= { Atom (Operator  $t$  (index (strips-problem.operators-of  $\Pi$ )  $op$ ))
  |  $op$ .  $op \in set$  (strips-problem.operators-of  $\Pi$ )  $\wedge v \in set$   $vs$  }

proof -
let ?ops = strips-problem.operators-of  $\Pi$ 
{
  have set (filter ( $\lambda op$ . ListMem  $v$   $vs$ ) ?ops)
  = {  $op \in set$  ?ops. ListMem  $v$   $vs$  }
  using set-filter
  by force
  then have set (filter ( $\lambda op$ . ListMem  $v$   $vs$ ) ?ops)
  = {  $op$ .  $op \in set$  ?ops  $\wedge v \in set$   $vs$  }
  using ListMem-iff[of  $v$ ]
  by blast
}
then have set (map ( $\lambda op$ . Atom (Operator  $t$  (index ?ops  $op$ )))
  (filter ( $\lambda op$ . ListMem  $v$   $vs$ ) ?ops))
= ( $\lambda op$ . Atom (Operator  $t$  (index ?ops  $op$ ))) ‘{  $op \in set$  ?ops.  $v \in set$   $vs$  }
using set-map[of  $\lambda op$ . Atom (Operator  $t$  (index ?ops  $op$ ))]
by presburger
thus ?thesis
by blast
qed

```

```

lemma is-disj-big-or-if:
assumes  $\forall f \in set fs$ . is-lit-plus  $f$ 
shows is-disj  $\bigvee fs$ 
using assms
proof (induction fs)
  case (Cons  $f$   $fs$ )
  have is-lit-plus  $f$ 
    using Cons.prem
    by simp
  moreover have is-disj  $\bigvee fs$ 
    using Cons
    by fastforce
  ultimately show ?case

```

```

by simp
qed simp

lemma is-cnf-encode-negative-transition-frame-axiom:
  shows is-cnf (encode-negative-transition-frame-axiom  $\Pi$  t v)
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?ops = strips-problemoperators-of  $\Pi$ 
  let ?deleting = filter ( $\lambda op. \text{ListMem } v (\text{delete-effects-of } op)$ ) ?ops
  let ?fs = map ( $\lambda op. \text{Atom} (\text{Operator } t (\text{index } ?ops op))$ ) ?deleting
  and ?A = ( $\neg(\text{Atom} (\text{State } t (\text{index } ?vs v)))$ )
  and ?B = Atom (State (Suc t) (index ?vs v))
  {
    fix f
    assume f ∈ set ?fs

    then obtain op
      where op ∈ set ?ops
        and v ∈ set (delete-effects-of op)
        and f = Atom (Operator t (index ?ops op))
        using set-map-to-operator-atom[of t  $\Pi$  v]
        by fastforce
      hence is-lit-plus f
        by simp
    } note nb = this
    {
      have is-disj  $\bigvee$  ?fs
        using is-disj-big-or-if nb
        by blast
      then have is-disj (?B  $\vee$   $\bigvee$  ?fs)
        by force
      then have is-disj (?A  $\vee$  (?B  $\vee$   $\bigvee$  ?fs))
        by fastforce
      hence is-cnf (?A  $\vee$  (?B  $\vee$   $\bigvee$  ?fs))
        by fastforce
    }
    thus ?thesis
      unfolding encode-negative-transition-frame-axiom-def
      by meson
  qed

lemma is-cnf-encode-positive-transition-frame-axiom:
  shows is-cnf (encode-positive-transition-frame-axiom  $\Pi$  t v)
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?ops = strips-problemoperators-of  $\Pi$ 
  let ?adding = filter ( $\lambda op. \text{ListMem } v (\text{add-effects-of } op)$ ) ?ops
  let ?fs = map ( $\lambda op. \text{Atom} (\text{Operator } t (\text{index } ?ops op))$ ) ?adding
  and ?A = Atom (State t (index ?vs v))

```

```

and ?B =  $\neg(Atom (State (Suc t) (index ?vs v)))$ 
{
  fix f
  assume f ∈ set ?fs

  then obtain op
  where op ∈ set ?ops
  and v ∈ set (add-effects-of op)
  and f = Atom (Operator t (index ?ops op))
  using set-map-to-operator-atom[of t Π v]
  by fastforce
  hence is-lit-plus f
  by simp
} note nb = this
{
  have is-disj ∨ ?fs
  using is-disj-big-or-if nb
  by blast
  then have is-disj (?B ∨ ∨ ?fs)
  by force
  then have is-disj (?A ∨ (?B ∨ ∨ ?fs))
  by fastforce
  hence is-cnf (?A ∨ (?B ∨ ∨ ?fs))
  by fastforce
}
thus ?thesis
unfolding encode-positive-transition-frame-axiom-def
by meson
qed

```

```

private lemma encode-all-frame-axioms-set[simp]:
set (map (λ(k, v). encode-negative-transition-frame-axiom Π k v)
         (List.product [0..] (strips-problem.variables-of Π)))
     @ (map (λ(k, v). encode-positive-transition-frame-axiom Π k v)
            (List.product [0..] (strips-problem.variables-of Π))))
= { encode-negative-transition-frame-axiom Π k v
   | k v. (k, v) ∈ ({0..} × set (strips-problem.variables-of Π)) }
   ∪ { encode-positive-transition-frame-axiom Π k v
        | k v. (k, v) ∈ ({0..} × set (strips-problem.variables-of Π)) }

proof -
  let ?l = List.product [0..] (strips-problem.variables-of Π)
  let ?A = (λ(k, v). encode-negative-transition-frame-axiom Π k v) ‘ set ?l
  and ?B = (λ(k, v). encode-positive-transition-frame-axiom Π k v) ‘ set ?l
  and ?fs = map (λ(k, v). encode-negative-transition-frame-axiom Π k v) ?l
  @ (map (λ(k, v). encode-positive-transition-frame-axiom Π k v) ?l)
  and ?vs = strips-problem.variables-of Π
have set-l-is: set ?l = {0..} × set ?vs
  by simp
have set ?fs = ?A ∪ ?B

```

```

using set-append
by force
moreover have ?A = { encode-negative-transition-frame-axiom  $\Pi k v$ 
|  $k v. (k, v) \in (\{0..<t\} \times \text{set } ?vs)$  }
using set-l-is setcompr-eq-image[of  $\lambda(k, v). \text{encode-negative-transition-frame-axiom}$ 
 $\Pi k v$ 
 $\lambda(k, v). (k, v) \in (\{0..<t\} \times \text{set } ?vs)$ ]
by fast
moreover have ?B = { encode-positive-transition-frame-axiom  $\Pi k v$ 
|  $k v. (k, v) \in (\{0..<t\} \times \text{set } ?vs)$  }
using set-l-is setcompr-eq-image[of  $\lambda(k, v). \text{encode-positive-transition-frame-axiom}$ 
 $\Pi k v$ 
 $\lambda(k, v). (k, v) \in (\{0..<t\} \times \text{set } ?vs)$ ]
by fast
ultimately show ?thesis
by argo
qed

```

```

lemma encode-frame-axioms-is-cnf:
shows is-cnf (encode-all-frame-axioms  $\Pi t$ )
proof -
let ?l = List.product [0..<t] (strips-problem.variables-of  $\Pi$ )
and ?vs = strips-problem.variables-of  $\Pi$ 
let ?A = { encode-negative-transition-frame-axiom  $\Pi k v$ 
|  $k v. (k, v) \in (\{0..<t\} \times \text{set } ?vs)$  }
and ?B = { encode-positive-transition-frame-axiom  $\Pi k v$ 
|  $k v. (k, v) \in (\{0..<t\} \times \text{set } ?vs)$  }
and ?fs = map ( $\lambda(k, v). \text{encode-negative-transition-frame-axiom } \Pi k v$ ) ?l
@ (map ( $\lambda(k, v). \text{encode-positive-transition-frame-axiom } \Pi k v$ ) ?l)
{
fix f
assume f  $\in$  set ?fs

then consider (f-encodes-negative-frame-axiom) f  $\in$  ?A
| (f-encodes-positive-frame-axiom) f  $\in$  ?B
by fastforce
hence is-cnf f
using is-cnf-encode-negative-transition-frame-axiom
is-cnf-encode-positive-transition-frame-axiom
by (smt mem-Collect-eq)
}
thus ?thesis
unfolding encode-all-frame-axioms-def
using is-cnf-BigAnd[of ?fs]
by meson
qed

```

lemma is-cnf-encode-problem:

```

assumes is-valid-problem-strips  $\Pi$ 
shows is-cnf ( $\Phi \Pi t$ )
proof -
have is-cnf ( $\Phi_I \Pi$ )
  using is-cnf-encode-initial-state assms
  by auto
moreover have is-cnf (encode-goal-state  $\Pi t$ )
  using encode-goal-state-is-cnf[ $OF$  assms]
  by simp
moreover have is-cnf (encode-operators  $\Pi t \wedge$  encode-all-frame-axioms  $\Pi t$ )
  using encode-operators-is-cnf[ $OF$  assms] encode-frame-axioms-is-cnf
  unfolding encode-transitions-def
  by simp
ultimately show ?thesis
  unfolding encode-problem-def SAT-Plan-Base.encode-problem-def
  encode-transitions-def encode-initial-state-def[symmetric] encode-goal-state-def[symmetric]
  by simp
qed

lemma encode-problem-has-model-then-also-partial-encodings:
assumes  $\mathcal{A} \models SAT\text{-}Plan\text{-}Base.encode\text{-}problem \Pi t$ 
shows  $\mathcal{A} \models SAT\text{-}Plan\text{-}Base.encode\text{-}initial\text{-}state \Pi$ 
  and  $\mathcal{A} \models SAT\text{-}Plan\text{-}Base.encode\text{-}goal\text{-}state \Pi t$ 
  and  $\mathcal{A} \models SAT\text{-}Plan\text{-}Base.encode\text{-}operators \Pi t$ 
  and  $\mathcal{A} \models SAT\text{-}Plan\text{-}Base.encode\text{-}all\text{-}frame\text{-}axioms \Pi t$ 
using assms
unfolding SAT-Plan-Base.encode-problem-def
by simp+

lemma cnf-of-encode-problem-structure:
shows cnf (SAT-Plan-Base.encode-initial-state  $\Pi$ )
   $\subseteq$  cnf (SAT-Plan-Base.encode-problem  $\Pi t$ )
  and cnf (SAT-Plan-Base.encode-goal-state  $\Pi t$ )
   $\subseteq$  cnf (SAT-Plan-Base.encode-problem  $\Pi t$ )
  and cnf (SAT-Plan-Base.encode-operators  $\Pi t$ )
   $\subseteq$  cnf (SAT-Plan-Base.encode-problem  $\Pi t$ )
  and cnf (SAT-Plan-Base.encode-all-frame-axioms  $\Pi t$ )
   $\subseteq$  cnf (SAT-Plan-Base.encode-problem  $\Pi t$ )
unfolding SAT-Plan-Base.encode-problem-def
  SAT-Plan-Base.encode-problem-def[of  $\Pi t$ ] SAT-Plan-Base.encode-initial-state-def[of
 $\Pi$ ]
  SAT-Plan-Base.encode-goal-state-def[of  $\Pi t$ ] SAT-Plan-Base.encode-operators-def
  SAT-Plan-Base.encode-all-frame-axioms-def[of  $\Pi t$ ]
subgoal by auto
subgoal by force
subgoal by auto
subgoal by force
done

```

— A technical lemma which shows a simpler form of the CNF of the initial state encoding.

```

private lemma cnf-of-encode-initial-state-set-i:
  shows cnf ( $\Phi_I \Pi$ ) =  $\bigcup \{ \text{cnf} (\text{encode-state-variable } 0$ 
     $(\text{index} (\text{strips-problem.variables-of } \Pi) v) ((\Pi)_I v))$ 
     $| v. v \in \text{set} (\text{strips-problem.variables-of } \Pi) \wedge ((\Pi)_I) v \neq \text{None} \}$ 
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?I = strips-problem.initial-of  $\Pi$ 
  let ?ls = map ( $\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp$ )
    ( $\text{filter} (\lambda v. ?I v \neq \text{None}) ?vs$ )
  {
    have cnf ‘ set ?ls = cnf ‘ ( $\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee$ 
     $\perp$ )
    ‘ set ( $\text{filter} (\lambda v. ?I v \neq \text{None}) ?vs$ )
    using set-map[of  $\lambda v. \text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp$ ]
    by presburger
    also have ... = ( $\lambda v. \text{cnf} (\text{encode-state-variable } 0 (\text{index } ?vs v) (?I v) \vee \perp)$ )
    ‘ set ( $\text{filter} (\lambda v. ?I v \neq \text{None}) ?vs$ )
    using image-comp
    by blast
    also have ... = ( $\lambda v. \text{cnf} (\text{encode-state-variable } 0 (\text{index } ?vs v) (?I v)))$ 
    ‘ { $v \in \text{set } ?vs. ?I v \neq \text{None}$ }
    using set-filter[of  $\lambda v. ?I v \neq \text{None} ?vs$ ]
    by auto
    finally have cnf ‘ set ?ls = { $\text{cnf} (\text{encode-state-variable } 0 (\text{index } ?vs v) (?I v))$ 
    |  $v. v \in \text{set } ?vs \wedge ?I v \neq \text{None}$ }
    using setcompr-eq-image[of  $\lambda v. \text{cnf} (\text{encode-state-variable } 0 (\text{index } ?vs v) (?I v))$ ]
    by presburger
  }
  moreover have cnf ( $\Phi_I \Pi$ ) =  $\bigcup (\text{cnf} ‘ \text{set } ?ls)$ 
  unfolding encode-initial-state-def SAT-Plan-Base.encode-initial-state-def
  using cnf-BigAnd[of ?ls]
  by meson
  ultimately show ?thesis
  by auto
qed

```

— A simplification lemma for the above one.

```

corollary cnf-of-encode-initial-state-set-ii:
  assumes is-valid-problem-strips  $\Pi$ 
  shows cnf ( $\Phi_I \Pi$ ) = ( $\bigcup v \in \text{set} (\text{strips-problem.variables-of } \Pi). \{ \{$ 
     $\text{literal-formula-to-literal} (\text{encode-state-variable } 0 (\text{index} (\text{strips-problem.variables-of }$ 
     $\Pi) v) (\text{strips-problem.initial-of } \Pi v) \} \}$ )
  proof -

```

```

let ?vs = strips-problem.variables-of Π
  and ?I = strips-problem.initial-of Π
have nb1: { v. v ∈ set ?vs ∧ ?I v ≠ None } = set ?vs
  using is-valid-problem-strips-initial-of-dom assms(1)
  by auto

{
  fix v
  assume v ∈ set ?vs
  then have ?I v ≠ None
    using is-valid-problem-strips-initial-of-dom assms(1)
    by auto
  then consider (I-v-is-Some-True) ?I v = Some True
    | (I-v-is-Some-False) ?I v = Some False
    by fastforce
  hence cnf (encode-state-variable 0 (index ?vs v) (?I v))
    = {{ literal-formula-to-literal (encode-state-variable 0 (index ?vs v) (?I v)) } }
    unfolding encode-state-variable-def
    by (cases, simp+)
} note nb2 = this
{
  have { cnf (encode-state-variable 0 (index ?vs v) (?I v)) | v. v ∈ set ?vs ∧ ?I v ≠ None }
    = (λv. cnf (encode-state-variable 0 (index ?vs v) (?I v))) ` set ?vs
    using setcompr-eq-image[of λv. cnf (encode-state-variable 0 (index ?vs v) (?I v))
      λv. v ∈ set ?vs ∧ ?I v ≠ None] using nb1
    by presburger
  hence { cnf (encode-state-variable 0 (index ?vs v) (?I v)) | v. v ∈ set ?vs ∧ ?I v ≠ None }
    = (λv. {{ literal-formula-to-literal (encode-state-variable 0 (index ?vs v) (?I v)) } })
    ` set ?vs
    using nb2
    by force
}
thus ?thesis
  using cnf-of-encode-initial-state-set-i
  by (smt Collect-cong)
qed

```

```

lemma cnf-of-encode-initial-state-set:
assumes is-valid-problem-strips Π
  and v ∈ dom (strips-problem.initial-of Π)
shows strips-problem.initial-of Π v = Some True → (∃!C. C ∈ cnf (ΦI Π))
  ∧ C = { (State 0 (index (strips-problem.variables-of Π) v))+ }
and strips-problem.initial-of Π v = Some False → (∃!C. C ∈ cnf (ΦI Π))
  ∧ C = { (State 0 (index (strips-problem.variables-of Π) v))-1 }

```

```

proof -
  let ?I = ( $\Pi$ )I
  let ?vs = strips-problem.variables-of  $\Pi$ 
  let ? $\Phi_I$  =  $\Phi_I$   $\Pi$ 
  have nb1: cnf ( $\Phi_I$   $\Pi$ ) =  $\bigcup \{ \text{cnf} (\text{encode-state-variable } 0 (\text{index } ?vs v) (strips-problem.initial-of } \Pi v)) \mid v. v \in \text{set } ?vs \wedge ?I v \neq \text{None} \}$ 
    using cnf-of-encode-initial-state-set-i
    by blast
  {
    have v  $\in$  set ?vs
      using is-valid-problem-strips-initial-of-dom assms(1, 2)
      by blast
    hence v  $\in$  { v. v  $\in$  set ?vs  $\wedge$  ?I v  $\neq$  None }
      using assms(2)
      by auto
  } note nb2 = this
  show strips-problem.initial-of  $\Pi$  v = Some True  $\longrightarrow$  ( $\exists !C. C \in \text{cnf} (\Phi_I \Pi)$ 
     $\wedge C = \{ (\text{State } 0 (\text{index } (\text{strips-problem.variables-of } \Pi) v))^+ \}$ )
  and strips-problem.initial-of  $\Pi$  v = Some False  $\longrightarrow$  ( $\exists !C. C \in \text{cnf} (\Phi_I \Pi)$ 
     $\wedge C = \{ (\text{State } 0 (\text{index } (\text{strips-problem.variables-of } \Pi) v))^{-1} \}$ )
  proof (auto)
    assume i-v-is-some-true: strips-problem.initial-of  $\Pi$  v = Some True
    then have { (State 0 (index (strips-problem.variables-of  $\Pi$ ) v))+ }
       $\in \text{cnf} (\text{encode-state-variable } 0 (\text{index } (\text{strips-problem.variables-of } \Pi) v) (?I v))$ 
      unfolding encode-state-variable-def
      using i-v-is-some-true
      by auto
      thus { (State 0 (index (strips-problem.variables-of  $\Pi$ ) v))+ }
         $\in \text{cnf} (\Phi_I \Pi)$ 
        using nb1 nb2
        by auto
    next
      assume i-v-is-some-false: strips-problem.initial-of  $\Pi$  v = Some False
      then have { (State 0 (index (strips-problem.variables-of  $\Pi$ ) v))-1 }
         $\in \text{cnf} (\text{encode-state-variable } 0 (\text{index } (\text{strips-problem.variables-of } \Pi) v) (?I v))$ 
        unfolding encode-state-variable-def
        using i-v-is-some-false
        by auto
        thus { (State 0 (index (strips-problem.variables-of  $\Pi$ ) v))-1 }
           $\in \text{cnf} (\Phi_I \Pi)$ 
          using nb1 nb2
          by auto
    qed
  qed

```

lemma cnf-of-operator-encoding-structure:
 $\text{cnf} (\text{encode-operators } \Pi t) = \text{cnf} (\text{encode-all-operator-preconditions } \Pi$

```

(strips-problem.operators-of  $\Pi$ )  $t$ )
 $\cup$  cnf (encode-all-operator-effects  $\Pi$  (strips-problem.operators-of  $\Pi$ )  $t$ )
unfolding encode-operators-def
using cnf.simps(5)
by metis

corollary cnf-of-operator-precondition-encoding-subset-encoding:
cnf (encode-all-operator-preconditions  $\Pi$  (strips-problem.operators-of  $\Pi$ )  $t$ )
 $\subseteq$  cnf ( $\Phi \Pi t$ )
using cnf-of-operator-encoding-structure cnf-of-encode-problem-structure subset-trans
unfolding encode-problem-def
by blast

```

```

lemma cnf-foldr-and[simp]:
cnf (foldr ( $\wedge$ ) fs ( $\neg\perp$ )) = ( $\bigcup f \in \text{set } fs. \text{cnf } f$ )
proof (induction fs)
case (Cons  $f fs$ )
have ih: cnf (foldr ( $\wedge$ ) fs ( $\neg\perp$ )) = ( $\bigcup f \in \text{set } fs. \text{cnf } f$ )
using Cons.IH
by blast
{
have cnf (foldr ( $\wedge$ ) (f # fs) ( $\neg\perp$ )) = cnf (f  $\wedge$  foldr ( $\wedge$ ) fs ( $\neg\perp$ ))
by simp
also have ... = cnf  $f \cup$  cnf (foldr ( $\wedge$ ) fs ( $\neg\perp$ ))
by force
finally have cnf (foldr ( $\wedge$ ) (f # fs) ( $\neg\perp$ )) = cnf  $f \cup$  ( $\bigcup f \in \text{set } fs. \text{cnf } f$ )
using ih
by argo
}
thus ?case
by auto
qed simp

```

```

private lemma cnf-of-encode-operator-precondition[simp]:
cnf (encode-operator-precondition  $\Pi t op$ ) = ( $\bigcup v \in \text{set} (\text{precondition-of } op)$ .
 $\{\{(Operator t (\text{index} (\text{strips-problem.operators-of } \Pi) op))^{-1}$ 
 $, (State t (\text{index} (\text{strips-problem.variables-of } \Pi) v))^+\}\})$ )
proof -
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problem.operators-of  $\Pi$ 
and ? $\Phi_P$  = encode-operator-precondition  $\Pi t op$ 
let ?fs = map ( $\lambda v. \neg (\text{Atom} (\text{Operator } t (\text{index } ?ops op))) \vee \text{Atom} (\text{State } t (\text{index } ?vs v))$ )
( $\text{precondition-of } op$ )
and ?A = ( $\lambda v. \neg (\text{Atom} (\text{Operator } t (\text{index } ?ops op))) \vee \text{Atom} (\text{State } t (\text{index } ?vs v))$ )
` set ( $\text{precondition-of } op$ )

```

```

have cnf (encode-operator-precondition  $\Pi$  t op) = cnf ( $\bigwedge$ ?fs)
  unfolding encode-operator-precondition-def
  by presburger
also have ... =  $\bigcup$  (cnf ` set ?fs)
  using cnf-BigAnd
  by blast
also have ... =  $\bigcup$  (cnf ` ?A)
  using set-map[of  $\lambda v. \neg (\text{Atom} (\text{Operator} t (\text{index} ?ops op))) \vee \text{Atom} (\text{State} t (\text{index} ?vs v))$ 
  precondition-of op]
  by argo
also have ... = ( $\bigcup v \in \text{set} (\text{precondition-of } op)$ .
  cnf ( $\neg (\text{Atom} (\text{Operator} t (\text{index} ?ops op))) \vee \text{Atom} (\text{State} t (\text{index} ?vs v))$ )
  by blast

finally show ?thesis
  by auto
qed

```

```

lemma cnf-of-encode-all-operator-preconditions-structure[simp]:
  cnf (encode-all-operator-preconditions  $\Pi$  (strips-problem.operators-of  $\Pi$ ) t)
  = ( $\bigcup (t, op) \in (\{.. < t\} \times \text{set} (\text{operators-of } \Pi))$ .
    ( $\bigcup v \in \text{set} (\text{precondition-of } op)$ .
      {{(Operator t (index (strips-problem.operators-of  $\Pi$ ) op)}^{-1}
        , (State t (index (strips-problem.variables-of  $\Pi$ ) v))^{+}}}))}

proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?ops = strips-problem.operators-of  $\Pi$ 
  let ?l = List.product [0..<t] ?ops
  and ? $\Phi_P$  = encode-all-operator-preconditions  $\Pi$  (strips-problem.operators-of  $\Pi$ )
  t
  let ?A = set (map ( $\lambda(t, op). \text{encode-operator-precondition } \Pi t op$ ) ?l)
  {
    have set ?l =  $\{0.. < t\} \times \text{set} ((\Pi)_O)$ 
    by auto
    then have ?A = ( $\lambda(t, op). \text{encode-operator-precondition } \Pi t op$ ) ` ( $\{0.. < t\} \times \text{set} ((\Pi)_O)$ )
    using set-map
    by force
  } note nb = this
  have cnf ? $\Phi_P$  = cnf (foldr ( $\wedge$ ) (map ( $\lambda(t, op). \text{encode-operator-precondition } \Pi t op$ ) ?l) ( $\neg \perp$ ))
    unfolding encode-all-operator-preconditions-def
    by presburger
  also have ... = ( $\bigcup f \in ?A. \text{cnf } f$ )
    by simp

  also have ... = ( $\bigcup (k, op) \in (\{0.. < t\} \times \text{set} ((\Pi)_O))$ ).

```

```

cnf (encode-operator-precondition  $\Pi$  k op))
using nb
by fastforce

finally show ?thesis
  by fastforce
qed

corollary cnf-of-encode-all-operator-preconditions-contains-clause-if:
fixes  $\Pi$ ::'variable STRIPS-Representation.strips-problem
assumes is-valid-problem-strips ( $\Pi$ ::'variable STRIPS-Representation.strips-problem)
and  $k < t$ 
and  $op \in \text{set } ((\Pi)_O)$ 
and  $v \in \text{set } (\text{precondition-of } op)$ 
shows { (Operator k (index (strips-problem.operators-of  $\Pi$ ) op))-1
, (State k (index (strips-problem.variables-of  $\Pi$ ) v))+ }
 $\in$  cnf (encode-all-operator-preconditions  $\Pi$  (strips-problem.operators-of  $\Pi$ ) t)

proof -
let ?ops = strips-problem.operators-of  $\Pi$ 
and ?vs = strips-problem.variables-of  $\Pi$ 
let ? $\Phi_P$  = encode-all-operator-preconditions  $\Pi$  ?ops t
and ?C = { (Operator k (index (strips-problem.operators-of  $\Pi$ ) op))-1
, (State k (index (strips-problem.variables-of  $\Pi$ ) v))+ }

{
have nb:  $(k, op) \in \{\dots < t\} \times \text{set } ((\Pi)_O)$ 
using assms(2, 3)
by blast
moreover {
have ?C  $\in$  ( $\bigcup_{v \in \text{set } (\text{precondition-of } op)}$ .
{{(Operator k (index (strips-problem.operators-of  $\Pi$ ) op))-1,
(State k (index (strips-problem.variables-of  $\Pi$ ) v))+}})
using UN-iff[where A=set (precondition-of op)
and B= $\lambda v.$  {{(Operator t (index (strips-problem.operators-of  $\Pi$ ) op))-1,
(State t (index (strips-problem.variables-of  $\Pi$ ) v))+}}}] assms(4)
by blast
hence  $\exists x \in \{\dots < t\} \times \text{set } ((\Pi)_O).$ 
?C  $\in$  (case x of (k, op)  $\Rightarrow$   $\bigcup_{v \in \text{set } (\text{precondition-of } op)}$ .
{{(Operator k (index (strips-problem.operators-of  $\Pi$ ) op))-1,
(State k (index (strips-problem.variables-of  $\Pi$ ) v))+}})
using nb
by blast
}
ultimately have ?C  $\in$  ( $\bigcup_{v \in \text{set } (\text{precondition-of } op)}$ .
{{(Operator t (index ?ops op))-1, (State t (index ?vs v))+}}))
by blast
}
thus ?thesis
using cnf-of-encode-all-operator-preconditions-structure[of  $\Pi$  t]

```

by *argo*
qed

corollary *cnf-of-encode-all-operator-effects-subset-cnf-of-encode-problem*:
cnf (*encode-all-operator-effects* Π (*strips-problem.operators-of* Π) t)
 \subseteq *cnf* ($\Phi \Pi t$)
using *cnf-of-encode-problem-structure*(3) *cnf-of-operator-encoding-structure*
unfoldng *encode-problem-def*
by *blast*

private lemma *cnf-of-encode-operator-effect-structure*[simp]:
cnf (*encode-operator-effect* Π t *op*)
 $= (\bigcup v \in \text{set}(\text{add-effects-of } op). \{\{\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)\}^{-1}$
 $\quad , (\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v))^+\}\})$
 $\cup (\bigcup v \in \text{set}(\text{delete-effects-of } op).$
 $\quad \{\{\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)\}^{-1}$
 $\quad , (\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v))^{-1}\}\})$

proof –
let $?fs_1 = \text{map}(\lambda v. \neg(\text{Atom}(\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)))$
 $\quad \vee \text{Atom}(\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v)))$
 $\quad (\text{add-effects-of } op)$
and $?fs_2 = \text{map}(\lambda v. \neg(\text{Atom}(\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)))$
 $\quad \vee \neg(\text{Atom}(\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v))))$
 $\quad (\text{delete-effects-of } op)$

{ have *cnf* ‘ set $?fs_1 = \text{cnf}$
‘ $(\lambda v. \neg(\text{Atom}(\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)))$
 $\quad \vee \text{Atom}(\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v)))$ ‘ set
 $(\text{add-effects-of } op)$
using *set-map*
by *force*
also have ... $= (\lambda v. \text{cnf}(\neg(\text{Atom}(\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op))))$
 $\quad \vee \text{Atom}(\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v)))$
‘ set (add-effects-of *op*)
using *image-comp*
by *blast*

finally have *cnf* ‘ set $?fs_1 = (\lambda v. \{\{\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)\}^{-1}$
 $\quad , (\text{State } (\text{Suc } t) (\text{index}(\text{strips-problem.variables-of } \Pi) v))^+\}\})$ ‘ set (add-effects-of *op*)
by *auto*
} note $nb_1 = \text{this}$
{ have *cnf* ‘ set $?fs_2 = \text{cnf}(\lambda v. \neg(\text{Atom}(\text{Operator } t (\text{index}(\text{strips-problem.operators-of } \Pi) op)))$

```

Π) op)))
  ∨ ¬(Atom (State (Suc t) (index (strips-problem.variables-of Π) v))))
    ‘ set (delete-effects-of op)
  using set-map
  by force
also have ... = (λv. cnf (¬(Atom (Operator t (index (strips-problemoperators-of
Π) op))))
  ∨ ¬(Atom (State (Suc t) (index (strips-problem.variables-of Π) v))))
    ‘ set (delete-effects-of op)
  using image-comp
  by blast

finally have cnf ‘ set ?fs2 = (λv. { { (Operator t (index (strips-problemoperators-of
Π) op))-1
  , (State (Suc t) (index (strips-problem.variables-of Π) v))-1 } })
    ‘ set (delete-effects-of op)
  by auto
} note nb2 = this
{
have cnf (encode-operator-effect Π t op) = ∪(cnf ‘ set (?fs1 @ ?fs2))
  unfolding encode-operator-effect-def
  using cnf-BigAnd[of ?fs1 @ ?fs2]
  by meson
also have ... = ∪(cnf ‘ set ?fs1 ∪ cnf ‘ set ?fs2)
  using set-append[of ?fs1 ?fs2] image-Un[of cnf set ?fs1 set ?fs2]
  by argo
also have ... = ∪(cnf ‘ set ?fs1) ∪ ∪(cnf ‘ set ?fs2)
  using Union-Un-distrib[of cnf ‘ set ?fs1 cnf ‘ set ?fs2]
  by argo

finally have cnf (encode-operator-effect Π t op)
  = (∪v ∈ set (add-effects-of op).
    { { (Operator t (index (strips-problemoperators-of Π) op))-1
      , (State (Suc t) (index (strips-problem.variables-of Π) v))+ } })
    ∪ (∪v ∈ set (delete-effects-of op).
      { { (Operator t (index (strips-problemoperators-of Π) op))-1
        , (State (Suc t) (index (strips-problem.variables-of Π) v))-1 } })
  using nb1 nb2
  by argo
}
thus ?thesis
  by blast
qed

lemma cnf-of-encode-all-operator-effects-structure:
cnf (encode-all-operator-effects Π (strips-problemoperators-of Π) t)
= (∪(k, op) ∈ ({0..<t} × set ((Π)ο)).
  (∪v ∈ set (add-effects-of op).
    { { (Operator k (index (strips-problemoperators-of Π) op))-1
      , (State (Suc t) (index (strips-problem.variables-of Π) v))+ } })
    ∪ (∪v ∈ set (delete-effects-of op).
      { { (Operator k (index (strips-problemoperators-of Π) op))-1
        , (State (Suc t) (index (strips-problem.variables-of Π) v))-1 } })
  )
)

```

```

        , (State (Suc k) (index (strips-problem.variables-of  $\Pi$ ) v))+ }}}}))
 $\cup (\bigcup (k, op) \in (\{0..<t\} \times set ((\Pi)_O)).$ 
 $(\bigcup v \in set (delete-effects-of op).$ 
 $\{\{ (\text{Operator } k (\text{index (strips-problem.operators-of } \Pi) op))^{-1}$ 
 $, (State (Suc k) (index (strips-problem.variables-of } \Pi) v))^{-1} \}\})$ 

proof -
let ?ops = strips-problem.operators-of  $\Pi$ 
and ?vs = strips-problem.variables-of  $\Pi$ 
let ? $\Phi_E$  = encode-all-operator-effects  $\Pi$  ?ops t
and ?l = List.product [0..<t] ?ops
let ?fs = map ( $\lambda(t, op). \text{encode-operator-effect } \Pi t op$ ) ?l
have nb: set (List.product [0..<t] ?ops) = {0..<t}  $\times$  set ?ops
by simp
{
  have cnf ` set ?fs = cnf ` ( $\lambda(k, op). \text{encode-operator-effect } \Pi k op$ ) ` ({0..<t}
 $\times$  set ?ops)
  by force
  also have ... = ( $\lambda(k, op). \text{cnf (encode-operator-effect } \Pi k op)$ ) ` ({0..<t}  $\times$ 
set ?ops)
  using image-comp
  by fast
}

finally have cnf ` set ?fs = ( $\lambda(k, op).$ 
 $(\bigcup v \in set (add-effects-of op).$ 
 $\{\{ (\text{Operator } k (\text{index (strips-problem.operators-of } \Pi) op))^{-1}$ 
 $, (State (Suc k) (index (strips-problem.variables-of } \Pi) v))+ \}\})$ 
 $\cup (\bigcup v \in set (delete-effects-of op).$ 
 $\{\{ (\text{Operator } k (\text{index (strips-problem.operators-of } \Pi) op))^{-1}$ 
 $, (State (Suc k) (index (strips-problem.variables-of } \Pi) v))^{-1} \}\})$ 
` ({0..<t}  $\times$  set ?ops)
using cnf-of-encode-operator-effect-structure
by auto
}

```

```

thus ?thesis
unfolding encode-all-operator-effects-def
using cnf-BigAnd[of ?fs]
by auto
qed

```

```

corollary cnf-of-operator-effect-encoding-contains-add-effect-clause-if:
fixes  $\Pi::$  'a strips-problem
assumes is-valid-problem-strips  $\Pi$ 
and k < t
and op  $\in$  set (( $\Pi$ )_O)
and v  $\in$  set (add-effects-of op)
shows { (Operator k (index (strips-problem.operators-of  $\Pi$ ) op))-1
 $, (State (Suc k) (index (strips-problem.variables-of } \Pi) v))+ }$ 
 $\in \text{cnf (encode-all-operator-effects } \Pi (\text{strips-problem.operators-of } \Pi) t)$ 

```

```

proof -
let ?ΦE = encode-all-operator-effects Π (strips-problem.operators-of Π) t
  and ?ops = strips-problem.operators-of Π
  and ?vs = strips-problem.variables-of Π
let ?Add = ∪(k, op) ∈ {0..<t} × set ((Π)o).
  ∪ v ∈ set (add-effects-of op). {{(Operator k (index ?ops op))-1, (State (Suc k)
  (index ?vs v))+}}
let ?C = {{(Operator k (index ?ops op))-1, (State (Suc k) (index ?vs v))+}}
have ?Add ⊆ cnf ?ΦE
  using cnf-of-encode-all-operator-effects-structure[of Π t] Un-upper1[of ?Add]
  by presburger
moreover {
  have ?C ∈ {{(Operator k (index ?ops op))-1, (State (Suc k) (index ?vs v))+}
}
  using assms(4)
  by blast
then have ?C ∈ (∪ v ∈ set (add-effects-of op).
  {{(Operator k (index ?ops op))-1, (State (Suc k) (index ?vs v))+}})
  using Complete-Lattices.UN-iff[of ?C λv. {{(Operator k (index ?ops op))-1
  , (State (Suc k) (index ?vs v))+}} set (add-effects-of op)]}
  using assms(4)
  by blast
moreover have (k, op) ∈ ({0..<t} × set ((Π)o))
  using assms(2, 3)
  by fastforce
ultimately have ?C ∈ ?Add
  by blast
}
ultimately show ?thesis
  using subset-eq[of ?Add cnf ?ΦE]
  by meson
qed

corollary cnf-of-operator-effect-encoding-contains-delete-effect-clause-if:
fixes Π:: 'a strips-problem
assumes is-valid-problem-strips Π
  and k < t
  and op ∈ set ((Π)o)
  and v ∈ set (delete-effects-of op)
shows {{(Operator k (index (strips-problem.operators-of Π) op))-1
  , (State (Suc k) (index (strips-problem.variables-of Π) v))-1}}
  ∈ cnf (encode-all-operator-effects Π (strips-problem.operators-of Π) t)
proof -
let ?ΦE = encode-all-operator-effects Π (strips-problem.operators-of Π) t
  and ?ops = strips-problem.operators-of Π
  and ?vs = strips-problem.variables-of Π
let ?Delete = (∪(k, op) ∈ {0..<t} × set ((Π)o).
  ∪ v ∈ set (delete-effects-of op)).

```

```

 $\{\{ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \}\}$ 
let ?C = { $\{\ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \}$ }
have ?Delete  $\subseteq$  cnf ? $\Phi_E$ 
using cnf-of-encode-all-operator-effects-structure[of  $\Pi t$ ] Un-upper2[of ?Delete]
by presburger
moreover {
have ?C  $\in$  ( $\bigcup v \in \text{set } (\text{delete-effects-of } op)$ .
 $\{\{ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \}\}$ )
using assms(4)
by blast
moreover have  $(k, op) \in \{0..<t\} \times \text{set } ?ops$ 
using assms(2, 3)
by force

ultimately have ?C  $\in$  ?Delete
by fastforce
}

ultimately show ?thesis
using subset-eq[of ?Delete cnf ? $\Phi_E$ ]
by meson
qed

```

```

private lemma cnf-of-big-or-of-literal-formulas-is[simp]:
assumes  $\forall f \in \text{set } fs. \text{is-literal-formula } f$ 
shows cnf  $(\bigvee fs) = \{\{ \text{literal-formula-to-literal } f \mid f. f \in \text{set } fs \}\}$ 
using assms
proof (induction fs)
case (Cons f fs)
{
have is-literal-formula-f: is-literal-formula f
using Cons.prems(1)
by simp
then have cnf f =  $\{\{ \text{literal-formula-to-literal } f \}\}$ 
using cnf-of-literal-formula
by blast
} note nb1 = this
{
have  $\forall f' \in \text{set } fs. \text{is-literal-formula } f'$ 
using Cons.prems
by fastforce
hence cnf  $(\bigvee fs) = \{\{ \text{literal-formula-to-literal } f \mid f. f \in \text{set } fs \}\}$ 
using Cons.IH
by argo
} note nb2 = this
{
have cnf  $(\bigvee(f \# fs)) = (\lambda(g, h). g \cup h)$ 
`  $\{\{ \text{literal-formula-to-literal } f \}\}$ 

```

```

     $\times \{\{ \text{literal-formula-to-literal } f' \mid f'. f' \in \text{set } fs \}\}$ 
using nb1 nb2
by simp
also have ... =  $\{\{ \text{literal-formula-to-literal } f\}$ 
 $\cup \{\text{literal-formula-to-literal } f' \mid f'. f' \in \text{set } fs \}\}$ 
by fast
finally have cnf  $(\forall(f \# fs)) = \{\{ \text{literal-formula-to-literal } f' \mid f'. f' \in \text{set } (f \# fs)\}\}$ 
by fastforce
}
thus ?case .
qed simp

private lemma set-filter-op-list-mem-vs[simp]:
set  $(\text{filter } (\lambda op. \text{ListMem } v vs) ops) = \{ op. op \in \text{set } ops \wedge v \in \text{set } vs \}$ 
using set-filter[of  $\lambda op. \text{ListMem } v vs ops$ ] ListMem-iff
by force

private lemma cnf-of-positive-transition-frame-axiom:
cnf  $(\text{encode-positive-transition-frame-axiom } \Pi k v)$ 
=  $\{\{ (\text{State } k (\text{index } (\text{strips-problem.variables-of } \Pi) v))^+ \wedge$ 
 $, (\text{State } (\text{Suc } k) (\text{index } (\text{strips-problem.variables-of } \Pi) v))^{-1} \}$ 
 $\cup \{ (\text{Operator } k (\text{index } (\text{strips-problemoperators-of } \Pi) op))^+$ 
 $| op. op \in \text{set } (\text{strips-problemoperators-of } \Pi) \wedge v \in \text{set } (\text{add-effects-of } op)\}$ 
}
proof -
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problemoperators-of  $\Pi$ 
let ?adding-operators = filter  $(\lambda op. \text{ListMem } v (\text{add-effects-of } op))$  ?ops
let ?fs = map  $(\lambda op. \text{Atom } (\text{Operator } k (\text{index } ?ops op)))$  ?adding-operators
{
have set ?fs =  $(\lambda op. \text{Atom } (\text{Operator } k (\text{index } ?ops op)))` \text{set } ?adding-operators$ 
using set-map[of  $\lambda op. \text{Atom } (\text{Operator } k (\text{index } ?ops op))$  ?adding-operators]
by blast

then have literal-formula-to-literal ` set ?fs
=  $(\lambda op. (\text{Operator } k (\text{index } ?ops op))^+)` \text{set } ?adding-operators$ 
using image-comp[of literal-formula-to-literal  $\lambda op. \text{Atom } (\text{Operator } k (\text{index } ?ops op))$ 
 $\text{set } ?adding-operators]$ 
by simp
also have ... =  $(\lambda op. (\text{Operator } k (\text{index } ?ops op))^+ \wedge$ 
 $\{ op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{add-effects-of } op) \})$ 
using set-filter-op-list-mem-vs[of v - ?ops]
by auto

finally have literal-formula-to-literal ` set ?fs
=  $\{ (\text{Operator } k (\text{index } ?ops op))^+ \mid op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{add-effects-of } op) \}$ 

```

```

using setcompr-eq-image[of λop. (Operator k (index ?ops op))+
| op. op ∈ set ?adding-operators]
by blast

hence cnf (∨ ?fs) = {{ (Operator k (index ?ops op))+
| op. op ∈ set ?ops ∧ v ∈ set (add-effects-of op) }}
using cnf-of-big-or-of-literal-formulas-is[of ?fs]
setcompr-eq-image[of literal-formula-to-literal λf. f ∈ set ?fs]
by force
}

then have cnf (¬(Atom (State (Suc k) (index ?vs v))) ∨ ∨ ?fs)
= {{ (State (Suc k) (index ?vs v))⁻¹ } ∪ {{ (Operator k (index ?ops op))+
| op. op ∈ set ?ops ∧ v ∈ set (add-effects-of op) }}
by force

then have cnf ((Atom (State k (index ?vs v)) ∨ (¬(Atom (State (Suc k) (index
?vs v))) ∨ ∨ ?fs)))
= {{ (State k (index ?vs v))⁺ }
∪ {{ (State (Suc k) (index ?vs v))⁻¹ }
∪ {{ (Operator k (index ?ops op))⁺ | op. op ∈ set ?ops ∧ v ∈ set (add-effects-of
op) }}}
by simp

moreover have cnf (encode-positive-transition-frame-axiom Π k v)
= cnf ((Atom (State k (index ?vs v)) ∨ (¬(Atom (State (Suc k) (index ?vs v)))
∨ ∨ ?fs)))
unfolding encode-positive-transition-frame-axiom-def
by metis

ultimately show ?thesis
by blast
qed

private lemma cnf-of-negative-transition-frame-axiom:
cnf (encode-negative-transition-frame-axiom Π k v)
= {{ (State k (index (strips-problem.variables-of Π) v))⁻¹
, (State (Suc k) (index (strips-problem.variables-of Π) v))⁺ }
∪ {{ (Operator k (index (strips-problem.operators-of Π) op))⁺
| op. op ∈ set (strips-problem.operators-of Π) ∧ v ∈ set (delete-effects-of op)
}}
proof -
let ?vs = strips-problem.variables-of Π
and ?ops = strips-problem.operators-of Π
let ?deleting-operators = filter (λop. ListMem v (delete-effects-of op)) ?ops
let ?fs = map (λop. Atom (Operator k (index ?ops op))) ?deleting-operators
{
have set ?fs = (λop. Atom (Operator k (index ?ops op))) ` set ?deleting-operators
using set-map[of λop. Atom (Operator k (index ?ops op)) ?deleting-operators]

```

by *blast*
then have *literal-formula-to-literal* ‘ *set* ?fs
 $= (\lambda op. (Operator k (index ?ops op))^+) \cdot set ?deleting-operators$
using *image-comp*[of *literal-formula-to-literal* $\lambda op. Atom (Operator k (index ?ops op))$]
 $\quad set ?deleting-operators]$
by *simp*
also have ... $= (\lambda op. (Operator k (index ?ops op))^+)$
 $\quad \{ op. op \in set ?ops \wedge v \in set (delete-effects-of op) \}$
using *set-filter-op-list-mem-vs*[of $v - ?ops$]
by *auto*

finally have *literal-formula-to-literal* ‘ *set* ?fs
 $= \{ (Operator k (index ?ops op))^+ \mid op. op \in set ?ops \wedge v \in set (delete-effects-of op) \}$
using *setcompr-eq-image*[of $\lambda op. (Operator k (index ?ops op))^+$
 $\quad \lambda op. op \in set ?deleting-operators]$
by *blast*

hence *cnf* (\bigvee ?fs) $= \{ \{ (Operator k (index ?ops op))^+$
 $\mid op. op \in set ?ops \wedge v \in set (delete-effects-of op) \} \}$
using *cnf-of-big-or-of-literal-formulas-is*[of ?fs]
 $\quad setcompr-eq-image$ [of *literal-formula-to-literal* $\lambda f. f \in set ?fs$]
by *force*
 $\}$

then have *cnf* (*Atom* (*State* (*Suc* k) (*index* ?vs v))) $\vee \bigvee$?fs)
 $= \{ \{ (\text{State } (\text{Suc } k) (\text{index } ?vs v))^+ \} \cup \{ (Operator k (index ?ops op))^+$
 $\mid op. op \in set ?ops \wedge v \in set (delete-effects-of op) \} \}$
by *force*

then have *cnf* ((\neg (*Atom* (*State* k (*index* ?vs v)))) \vee (*Atom* (*State* (*Suc* k) (*index* ?vs v))) $\vee \bigvee$?fs))
 $= \{ \{ (\text{State } k (\text{index } ?vs v))^{-1} \}$
 $\cup \{ (\text{State } (\text{Suc } k) (\text{index } ?vs v))^+ \}$
 $\cup \{ (Operator k (index ?ops op))^+ \mid op. op \in set ?ops \wedge v \in set (delete-effects-of op) \} \}$
by *simp*

moreover have *cnf* (*encode-negative-transition-frame-axiom* $\Pi k v$)
 $= cnf ((\neg(Atom (State k (index ?vs v))) \vee (Atom (State (Suc k) (index ?vs v)) \vee \bigvee ?fs)))$
unfolding *encode-negative-transition-frame-axiom-def*
by *metis*

ultimately show ?thesis
by *blast*
qed

lemma *cnf-of-encode-all-frame-axioms-structure*:

$$\begin{aligned}
 & \text{cnf}(\text{encode-all-frame-axioms } \Pi \ t) \\
 &= \bigcup (\bigcup (k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)))^+ \\
 &\quad \{\{\ (\text{State } k (\text{index}(\text{strips-problem.variables-of } \Pi) \ v))^+ \\
 &\quad \quad , (\text{State } (\text{Suc } k) (\text{index}(\text{strips-problem.variables-of } \Pi) \ v))^{-1} \ \} \\
 &\quad \cup \{\{\ (\text{Operator } k (\text{index}(\text{strips-problem.operators-of } \Pi) \ op))^+ \\
 &\quad \quad | \ op. \ op \in \text{set}((\Pi)_O) \wedge v \in \text{set}(\text{add-effects-of } op) \ \}\}) \\
 &\cup \bigcup (\bigcup (k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)))^+ \\
 &\quad \{\{\ (\text{State } k (\text{index}(\text{strips-problem.variables-of } \Pi) \ v))^{-1} \\
 &\quad \quad , (\text{State } (\text{Suc } k) (\text{index}(\text{strips-problem.variables-of } \Pi) \ v))^+ \ \} \\
 &\quad \cup \{\{\ (\text{Operator } k (\text{index}(\text{strips-problem.operators-of } \Pi) \ op))^+ \\
 &\quad \quad | \ op. \ op \in \text{set}((\Pi)_O) \wedge v \in \text{set}(\text{delete-effects-of } op) \ \}\}) \\
 \end{aligned}$$

proof –

```

let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problem.operators-of  $\Pi$ 
and ? $\Phi_F$  = encode-all-frame-axioms  $\Pi \ t$ 
let ?l = List.product [0..<t] ?vs
let ?fs = map ( $\lambda(k, v).$  encode-negative-transition-frame-axiom  $\Pi \ k \ v) \ ?l$ 
@ map ( $\lambda(k, v).$  encode-positive-transition-frame-axiom  $\Pi \ k \ v) \ ?l$ 
{
let ?A = { encode-negative-transition-frame-axiom  $\Pi \ k \ v$ 
|  $k \ v.$   $(k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)) \ \}$ 
and ?B = { encode-positive-transition-frame-axiom  $\Pi \ k \ v$ 
|  $k \ v.$   $(k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)) \ \}$ 
have set-l: set ?l = {..<t}  $\times \text{set}((\Pi)_V)$ 
using set-product
by force

have set ?fs = ?A  $\cup$  ?B
unfolding set-append set-map
using encode-all-frame-axioms-set
by force
then have cnf ` set ?fs = cnf ` ?A  $\cup$  cnf ` ?B
using image-Un[of cnf ?A ?B]
by argo
moreover {
have ?A =  $(\bigcup (k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)))^+$ .
{ encode-negative-transition-frame-axiom  $\Pi \ k \ v \ \}$ 
by blast
then have cnf ` ?A =  $(\bigcup (k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)))^+$ .
{ cnf(encode-negative-transition-frame-axiom  $\Pi \ k \ v) \ \}$ 
by blast
hence cnf ` ?A =  $(\bigcup (k, v) \in (\{0..<t\} \times \text{set}((\Pi)_V)))^+$ .
{ \{\{\ (\text{State } k (\text{index} ?vs \ v))^{-1} \\
, (\text{State } (\text{Suc } k) (\text{index} ?vs \ v))^+ \ \} \\
\cup \{\{\ (\text{Operator } k (\text{index} ?ops \ op))^+ \\
| \ op. \ op \in \text{set} ?ops \wedge v \in \text{set}(\text{delete-effects-of } op) \ \}\}) \\
\}
using cnf-of-negative-transition-frame-axiom[of  $\Pi$ ]

```

```

    by presburger
}
moreover {
  have ?B = ( $\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
    { encode-positive-transition-frame-axiom  $\Pi k v$ })
    by blast
  then have cnf ` ?B = ( $\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
    { cnf (encode-positive-transition-frame-axiom  $\Pi k v$ ) })
    by blast
  hence cnf ` ?B = ( $\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
    {{{ (State k (index ?vs v))+
      , (State (Suc k) (index ?vs v))-1 }
       $\cup \{(Operator k (index ?ops op))^{+}$ 
      | op. op  $\in$  set ?ops  $\wedge$  v  $\in$  set (add-effects-of op) }}})
  using cnf-of-positive-transition-frame-axiom[of  $\Pi$ ]
  by presburger
}
ultimately have cnf ` set ?fs
= ( $\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
  {{{ (State k (index ?vs v))+
    , (State (Suc k) (index ?vs v))-1 }
     $\cup \{(Operator k (index ?ops op))^{+}$ 
    | op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (add-effects-of op) }}})
 $\cup (\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
  {{{ (State k (index ?vs v))-1
    , (State (Suc k) (index ?vs v))+ }
     $\cup \{(Operator k (index ?ops op))^{+}$ 
    | op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) }}})
unfolding set-append set-map
by force
}
then have cnf (encode-all-frame-axioms  $\Pi t$ )
=  $\bigcup ((\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
  {{{ (State k (index ?vs v))+
    , (State (Suc k) (index ?vs v))-1 }
     $\cup \{(Operator k (index ?ops op))^{+}$ 
    | op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (add-effects-of op) }}})
 $\cup (\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ .
  {{{ (State k (index ?vs v))-1
    , (State (Suc k) (index ?vs v))+ }
     $\cup \{(Operator k (index ?ops op))^{+}$ 
    | op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) }}})
unfolding encode-all-frame-axioms-def Let-def
using cnf-BigAnd[of ?fs]
by argo
thus ?thesis
using Union-Un-distrib[of
  ( $\bigcup (k, v) \in (\{0..<t\} \times set ((\Pi)_V))$ ).

```

```

{\{} {\{} (State k (index ?vs v))+
    , (State (Suc k) (index ?vs v))-1 {\}
    \cup {\{(Operator k (index ?ops op))+
        | op. op \in set ((\Pi)\mathcal{O}) \wedge v \in set (add-effects-of op) {\}}{\}}
    (\bigcup(k, v) \in (\{0..<t\} \times set ((\Pi)\mathcal{V})).
{\{} {\{} (State k (index ?vs v))-1
    , (State (Suc k) (index ?vs v))+ {\}
    \cup {\{(Operator k (index ?ops op))+
        | op. op \in set ((\Pi)\mathcal{O}) \wedge v \in set (delete-effects-of op) {\}}{\}}{\}}
]
```

by argo

qed

— A technical lemma used in .

private lemma cnf-of-encode-goal-state-set-i:

```

cnf ((\Phi_G \Pi) t) = \bigcup (\{ cnf (encode-state-variable t
    (index (strips-problem.variables-of \Pi) v) (((\Pi)_G) v))
    | v. v \in set ((\Pi)\mathcal{V}) \wedge ((\Pi)_G) v \neq None \})
```

proof —

let ?vs = strips-problem.variables-of \Pi

and ?G = (\Pi)_G

and ?\Phi_G = (\Phi_G \Pi) t

let ?fs = map (\lambda v. encode-state-variable t (index ?vs v) (?G v) \vee \perp)
 (filter (\lambda v. ?G v \neq None) ?vs)

{

have cnf ‘ set ?fs = cnf ‘ (\lambda v. encode-state-variable t (index ?vs v) (?G v) \vee
 \perp)

‘ \{ v | v. v \in set ?vs \wedge ?G v \neq None \}

unfolding set-map

by force

also have ... = (\lambda v. cnf (encode-state-variable t (index ?vs v) (?G v) \vee \perp))
 ‘ \{ v | v. v \in set ?vs \wedge ?G v \neq None \}

using image-comp[of cnf (\lambda v. encode-state-variable t (index ?vs v) (?G v) \vee
 \perp)]

\{ v | v. v \in set ?vs \wedge ?G v \neq None \}]

by fast

finally have cnf ‘ set ?fs = \{ cnf (encode-state-variable t (index ?vs v) (?G v))
 | v. v \in set ?vs \wedge ?G v \neq None \}

unfolding setcompr-eq-image[of \lambda v. cnf (encode-state-variable t (index ?vs
 v) (?G v) \vee \perp)]

by auto

}

moreover have cnf ((\Phi_G \Pi) t) = \bigcup (cnf ‘ set ?fs)

unfolding encode-goal-state-def SAT-Plan-Base.encode-goal-state-def Let-def

using cnf-BigAnd[of ?fs]

by force

ultimately show ?thesis

by simp

qed

— A simplification lemma for the above one.

```

corollary cnf-of-encode-goal-state-set-ii:
  assumes is-valid-problem-strips  $\Pi$ 
  shows cnf  $((\Phi_G \Pi) t) = \bigcup (\{\{\{ \text{literal-formula-to-literal}$ 
     $(\text{encode-state-variable } t (\text{index } (\text{strips-problem.variables-of } \Pi) v) ((\Pi)_G v))\}$ 
   $\})$ 
     $| v. v \in \text{set } ((\Pi)_V) \wedge ((\Pi)_G v \neq \text{None} \})$ 
  proof —
    let ?vs = strips-problem.variables-of  $\Pi$ 
    and ?G =  $(\Pi)_G$ 
    and ? $\Phi_G$  =  $(\Phi_G \Pi) t$ 
    {
      fix v
      assume  $v \in \{ v | v. v \in \text{set } ((\Pi)_V) \wedge ?G v \neq \text{None} \}$ 
      then have  $v \in \text{set } ((\Pi)_V)$  and G-of-v-is-not-None:  $?G v \neq \text{None}$ 
        by fast+
      then consider (A)  $?G v = \text{Some True}$ 
         $| (B) ?G v = \text{Some False}$ 
        by fastforce
      hence cnf (encode-state-variable t (index ?vs v) (?G v))
         $= \{\{ \text{literal-formula-to-literal} (\text{encode-state-variable } t (\text{index } ?vs v) (?G v))\}$ 
    }
    unfolding encode-state-variable-def
    by (cases, force+)
  } note nb = this
  have cnf ? $\Phi_G$  =  $\bigcup (\{ \text{cnf} (\text{encode-state-variable } t (\text{index } ?vs v) (?G v))$ 
     $| v. v \in \text{set } ((\Pi)_V) \wedge ?G v \neq \text{None} \})$ 
  unfolding cnf-of-encode-goal-state-set-i
  by blast
  also have ... =  $\bigcup ((\lambda v. \text{cnf} (\text{encode-state-variable } t (\text{index } ?vs v) ((\Pi)_G v)))$ 
     $' \{ v | v. v \in \text{set } ((\Pi)_V) \wedge ((\Pi)_G v \neq \text{None} \})$ 
  using setcompr-eq-image[of
     $\lambda v. \text{cnf} (\text{encode-state-variable } t (\text{index } ?vs v) ((\Pi)_G v))$ 
     $\lambda v. v \in \text{set } ((\Pi)_V) \wedge ((\Pi)_G v \neq \text{None})$ 
  ] by presburger
  also have ... =  $\bigcup ((\lambda v. \{\{ \text{literal-formula-to-literal}$ 
     $(\text{encode-state-variable } t (\text{index } ?vs v) (?G v))\}\})$ 
     $' \{ v. v \in \text{set } ((\Pi)_V) \wedge ((\Pi)_G v \neq \text{None} \})$ 
  using nb
  by simp
  finally show ?thesis
  unfolding nb
  by auto
qed

```

— This lemma essentially states that the cnf for the cnf formula for the encoding has a clause for each variable whose state is defined in the goal state with the corresponding literal.

```

lemma cnf-of-encode-goal-state-set:
  fixes  $\Pi$ :: 'a strips-problem
  assumes is-valid-problem-strips  $\Pi$ 
    and  $v \in \text{dom } ((\Pi)_G)$ 
  shows  $((\Pi)_G) v = \text{Some True} \longrightarrow (\exists! C. C \in \text{cnf } ((\Phi_G \Pi) t)$ 
     $\wedge C = \{ (\text{State } t (\text{index } (\text{strips-problem.variables-of } \Pi) v))^+ \}$ 
    and  $((\Pi)_G) v = \text{Some False} \longrightarrow (\exists! C. C \in \text{cnf } ((\Phi_G \Pi) t)$ 
     $\wedge C = \{ (\text{State } t (\text{index } (\text{strips-problem.variables-of } \Pi) v))^{-1} \}$ 
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?G =  $(\Pi)_G$ 
  and ? $\Phi_G$  =  $(\Phi_G \Pi) t$ 
  have nb1:  $\text{cnf } ?\Phi_G = \bigcup \{ \text{cnf } (\text{encode-state-variable } t (\text{index } ?vs v) (?G v)) \mid v. v \in \text{set } ((\Pi)_V) \wedge ?G v \neq \text{None} \}$ 
    unfolding cnf-of-encode-goal-state-set-i
    by auto
  have nb2:  $v \in \{ v. v \in \text{set } ((\Pi)_V) \wedge ?G v \neq \text{None} \}$ 
    using is-valid-problem-dom-of-goal-state-is assms(1, 2)
    by auto
  have nb3:  $\text{cnf } (\text{encode-state-variable } t (\text{index } (\text{strips-problem.variables-of } \Pi) v) ((\Pi)_G v)) \subseteq (\bigcup \{ \text{cnf } (\text{encode-state-variable } t (\text{index } ?vs v) (?G v)) \mid v. v \in \text{set } ((\Pi)_V) \wedge ?G v \neq \text{None} \})$ 
    using UN-upper[OF nb2, of  $\lambda v. \text{cnf } (\text{encode-state-variable } t (\text{index } ?vs v) (?G v))$ ] nb2
    by blast
  show  $((\Pi)_G) v = \text{Some True} \longrightarrow (\exists! C. C \in \text{cnf } ((\Phi_G \Pi) t)$ 
     $\wedge C = \{ (\text{State } t (\text{index } (\text{strips-problem.variables-of } \Pi) v))^+ \}$ 
    and  $((\Pi)_G) v = \text{Some False} \longrightarrow (\exists! C. C \in \text{cnf } ((\Phi_G \Pi) t)$ 
     $\wedge C = \{ (\text{State } t (\text{index } (\text{strips-problem.variables-of } \Pi) v))^{-1} \}$ 
  using nb3
  unfolding nb1 encode-state-variable-def
  by auto+
qed
end

```

We omit the proofs that the partial encoding functions produce formulas in CNF form due to their more technical nature. The following sublocale proof confirms that definition ?? encodes a valid problem Π into a formula that can be transformed to CNF ($\text{is-cnf } (\Phi \Pi t)$) and that its CNF has the required form.

7.3 Soundness of the Basic SATPlan Algorithm

```

lemma valuation-models-encoding-cnf-formula-equals:
  assumes is-valid-problem-strips  $\Pi$ 
  shows  $\mathcal{A} \models \Phi \Pi t = \text{cnf-semantics } \mathcal{A} (\text{cnf } (\Phi \Pi t))$ 

```

```

proof -
  let ?Φ = Φ Π t
  {
    have is-cnf ?Φ
      using is-cnf-encode-problem[OF assms].
    hence is-nnf ?Φ
      using is-nnf-cnf
      by blast
  }
  thus ?thesis
    using cnf-semantics[of ?Φ A]
    by blast
qed

```

corollary valuation-models-encoding-cnf-formula-equals-corollary:
assumes is-valid-problem-strips Π
shows A ⊨ (Φ Π t)
 $= (\forall C \in \text{cnf} (\Phi \Pi t). \exists L \in C. \text{lit-semantics } A L)$
using valuation-models-encoding-cnf-formula-equals[*OF assms*]
unfolding cnf-semantics-def clause-semantics-def encode-problem-def
by presburger

— A couple of technical lemmas about decode-plan.

lemma decode-plan-length:
assumes π = Φ⁻¹ Π ν t
shows length π = t
using assms
unfolding decode-plan-def SAT-Plan-Base.decode-plan-def
by simp

lemma decode-plan'-set-is[simp]:
set (decode-plan' Π A k)
 $= \{ (\text{strips-problem.operators-of } \Pi) ! (\text{index } (\text{strips-problem.operators-of } \Pi) op)$
 $| op. op \in \text{set } (\text{strips-problem.operators-of } \Pi)$
 $\wedge A (\text{Operator } k (\text{index } (\text{strips-problem.operators-of } \Pi) op)) \}$

proof -
let ?ops = strips-problem.operators-of Π
let ?f = λop. Operator k (index ?ops op)
let ?vs = map ?f ?ops
{
 have set (filter A ?vs) = set (map ?f (filter (A ∘ ?f) ?ops))
 unfolding filter-map[of A λop. Operator k (index ?ops op) ?ops]..
 hence set (filter A ?vs) = (λop. Operator k (index ?ops op)) ` { op ∈ set ?ops. A (Operator k (index ?ops op)) }
 unfolding set-map set-filter
 by simp
}
have set (decode-plan' Π A k) = (λv. case v of Operator k i ⇒ ?ops ! i)

```

‘ (λop. Operator k (index ?ops op)) ‘ { op ∈ set ?ops. A (Operator k (index
?ops op)) }
unfolding decode-plan'-def set-map Let-def
by auto
also have ... = (λop. case Operator k (index ?ops op) of Operator k i ⇒ ?ops
! i)
‘ { op ∈ set ?ops. A (Operator k (index ?ops op)) }
unfolding image-comp comp-apply
by argo
also have ... = (λop. ?ops ! (index ?ops op))
‘ { op ∈ set ?ops. A (Operator k (index ?ops op)) }
by force
finally show ?thesis
by blast
qed

lemma decode-plan-set-is[simp]:
set ( $\Phi^{-1} \Pi A t$ ) = ( $\bigcup k \in \{.. < t\}. \{ \text{decode-plan}' \Pi A k \}$ )
unfolding decode-plan-def SAT-Plan-Base.decode-plan-def set-map
using atLeast-up $t$ 
by blast

lemma decode-plan-step-element-then-i:
assumes  $k < t$ 
shows set (( $\Phi^{-1} \Pi A t$ ) !  $k$ )
= { (strips-problem.operators-of  $\Pi$ ) ! (index (strips-problem.operators-of  $\Pi$ ) op)
| op. op ∈ set (( $\Pi$ ) $O$ ) ∧ A (Operator k (index (strips-problem.operators-of  $\Pi$ )
op)) }
proof –
have ( $\Phi^{-1} \Pi A t$ ) !  $k$  = decode-plan'  $\Pi A k$ 
unfolding decode-plan-def SAT-Plan-Base.decode-plan-def
using assms
by simp
thus ?thesis
by force
qed

```

— Show that each operator op in the k -th parallel operator in a decoded parallel plan is contained within the problem's operator set and the valuation is true for the corresponding SATPlan variable.

```

lemma decode-plan-step-element-then:
fixes  $\Pi::'a \text{strips-problem}$ 
assumes  $k < t$ 
and  $op \in \text{set } ((\Phi^{-1} \Pi A t) ! k)$ 
shows  $op \in \text{set } ((\Pi)_O)$ 
and  $A (\text{Operator } k (\text{index } (\text{strips-problem.operators-of } \Pi) op))$ 
proof –
let ?ops = strips-problem.operators-of  $\Pi$ 
let ?Ops = { ?ops ! (index ?ops op)

```

```

| op.  $op \in \text{set } ((\Pi)_\mathcal{O}) \wedge \mathcal{A} (\text{Operator } k (\text{index } ?ops \ op)) \}$ 
have  $op \in ?Ops$ 
  using  $\text{assms}(2)$ 
  unfolding  $\text{decode-plan-step-element-then-i}[OF \text{assms}(1)]$   $\text{assms}$ 
  by  $\text{blast}$ 
moreover have  $op \in \text{set } ((\Pi)_\mathcal{O})$ 
  and  $\mathcal{A} (\text{Operator } k (\text{index } ?ops \ op))$ 
  using  $\text{calculation}$ 
  by  $\text{fastforce+}$ 
ultimately show  $op \in \text{set } ((\Pi)_\mathcal{O})$ 
  and  $\mathcal{A} (\text{Operator } k (\text{index } ?ops \ op))$ 
  by  $\text{blast+}$ 
qed

```

— Show that the k -th parallel operators of the decoded plan are distinct lists (i.e. do not contain duplicates).

lemma $\text{decode-plan-step-distinct}$:

```

assumes  $k < t$ 
shows  $\text{distinct } ((\Phi^{-1} \ \Pi \ \mathcal{A} \ t) ! \ k)$ 
proof —
  let  $?ops = \text{strips-problem.operators-of } \Pi$ 
  and  $?_{\pi_k} = (\Phi^{-1} \ \Pi \ \mathcal{A} \ t) ! \ k$ 
  let  $?f = \lambda op. \text{Operator } k (\text{index } ?ops \ op)$ 
  and  $?g = \lambda v. \text{case } v \text{ of Operator - } k \Rightarrow ?ops ! \ k$ 
  let  $?vs = \text{map } ?f (\text{remdups } ?ops)$ 
  have  $nb_1: ?_{\pi_k} = \text{decode-plan}' \ \Pi \ \mathcal{A} \ k$ 
    unfolding  $\text{decode-plan-def SAT-Plan-Base.decode-plan-def}$ 
    using  $\text{assms}$ 
    by  $\text{fastforce}$ 
  {
    have  $\text{distinct } (\text{remdups } ?ops)$ 
    by  $\text{blast}$ 
    moreover have  $\text{inj-on } ?f (\text{set } (\text{remdups } ?ops))$ 
      unfolding  $\text{inj-on-def}$ 
      by  $\text{fastforce}$ 
    ultimately have  $\text{distinct } ?vs$ 
      using  $\text{distinct-map}$ 
      by  $\text{blast}$ 
  }
  } note  $nb_2 = \text{this}$ 
  {
    have  $\text{inj-on } ?g (\text{set } ?vs)$ 
      unfolding  $\text{inj-on-def}$ 
      by  $\text{fastforce}$ 
    hence  $\text{distinct } (\text{map } ?g \ ?vs)$ 
      using  $\text{distinct-map } nb_2$ 
      by  $\text{blast}$ 
  }
  thus  $?thesis$ 
  using  $\text{distinct-map-filter}[of ?g \ ?vs \ \mathcal{A}]$ 

```

```

unfolding nb1 decode-plan'-def Let-def
  by argo
qed

lemma decode-state-at-valid-variable:
  fixes  $\Pi$  :: 'a strips-problem
  assumes  $(\Phi_S^{-1} \Pi \mathcal{A} k) v \neq \text{None}$ 
  shows  $v \in \text{set } ((\Pi)_v)$ 
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  let ?f =  $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v)))$ 
  {
    have  $\text{fst} \set (map ?f ?vs) = \text{fst} (\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v)))) \set ?vs$ 
    by force
    also have ... =  $(\lambda v. \text{fst} (v, \mathcal{A} (\text{State } k (\text{index } ?vs v)))) \set ?vs$ 
    by blast
    finally have  $\text{fst} \set (map ?f ?vs) = ?vs$ 
    by auto
  }
  moreover have  $\neg v \notin \text{fst} \set (map ?f ?vs)$ 
  using map-of-eq-None-iff[of map ?f ?vs v] assms
  unfolding decode-state-at-def SAT-Plan-Base.decode-state-at-def
  by meson
  ultimately show ?thesis
  by fastforce
qed

```

— Show that there exists an equivalence between a model \mathcal{A} of the (CNF of the) encoded problem and the state at step k decoded from the encoded problem.

```

lemma decode-state-at-encoding-variables-equals-some-of-valuation-if:
  fixes  $\Pi$ :: 'a strips-problem
  assumes is-valid-problem-strips  $\Pi$ 
  and  $\mathcal{A} \models \Phi \Pi t$ 
  and  $k \leq t$ 
  and  $v \in \text{set } ((\Pi)_v)$ 
  shows  $(\Phi_S^{-1} \Pi \mathcal{A} k) v = \text{Some } (\mathcal{A} (\text{State } k (\text{index } (\text{strips-problem.variables-of } \Pi) v)))$ 
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  let ?l =  $\text{map} (\lambda x. (x, \mathcal{A} (\text{State } k (\text{index } ?vs x)))) ?vs$ 
  have  $\text{set } ?vs \neq \{\}$ 
  using assms(4)
  by fastforce
  then have  $\text{map-of } ?l v = \text{Some } (\mathcal{A} (\text{State } k (\text{index } ?vs v)))$ 
  using map-of-from-function-graph-is-some-if[of ?vs v
     $\lambda v. \mathcal{A} (\text{State } k (\text{index } ?vs v))]$  assms(4)
  by fastforce
  thus ?thesis
  unfolding decode-state-at-def SAT-Plan-Base.decode-state-at-def

```

```

    by meson
qed

lemma decode-state-at-dom:
  assumes is-valid-problem-strips  $\Pi$ 
  shows dom  $(\Phi_S^{-1} \Pi \mathcal{A} k) = \text{set } ((\Pi)_V)$ 
proof-
  let ?s =  $\Phi_S^{-1} \Pi \mathcal{A} k$ 
  and ?vs = strips-problem.variables-of  $\Pi$ 
  have dom ?s = fst ' set (map ( $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v))))$ ) ?vs)
    unfolding decode-state-at-def SAT-Plan-Base.decode-state-at-def
    using dom-map-of-conv-image-fst[of (map ( $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v))))$ ) ?vs]
      by meson
  also have ... = fst ' ( $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v))))$ ) ' set  $((\Pi)_V)$ 
    using set-map[of ( $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v))))$ ) ?vs]
      by simp
  also have ... = (fst o ( $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v))))$ ) ' set  $((\Pi)_V)$ 
    using image-comp[of fst ( $\lambda v. (v, \mathcal{A} (\text{State } k (\text{index } ?vs v))))$ )]
      by presburger
  finally show ?thesis
    by force
qed

lemma decode-state-at-initial-state:
  assumes is-valid-problem-strips  $\Pi$ 
  and  $\mathcal{A} \models \Phi \Pi t$ 
  shows  $(\Phi_S^{-1} \Pi \mathcal{A} 0) = (\Pi)_I$ 
proof -
  let ?I =  $(\Pi)_I$ 
  let ?s =  $\Phi_S^{-1} \Pi \mathcal{A} 0$ 
  let ?vs = strips-problem.variables-of  $\Pi$ 
  let ? $\Phi$  =  $\Phi \Pi t$ 
  let ? $\Phi_I$  =  $\Phi_I \Pi$ 
  {
    have is-cnf ? $\Phi_I$  and cnf ? $\Phi_I \subseteq \text{cnf } ?\Phi$ 
    subgoal
      using is-cnf-encode-initial-state[OF assms(1)]
      by simp
    subgoal
      using cnf-of-encode-problem-structure(1)
      unfolding encode-initial-state-def encode-problem-def
      by blast
    done
    then have cnf-semantics  $\mathcal{A}$  (cnf ? $\Phi_I$ )
      using cnf-semantics-monotonous-in-cnf-subsets-if is-cnf-encode-problem[OF
assms(1)]
      assms(2)
  }

```

```

    by blast
hence  $\forall C \in \text{cnf } ?\Phi_I$ . clause-semantics  $\mathcal{A} C$ 
  unfolding cnf-semantics-def encode-initial-state-def
  by blast
} note nb1 = this
{

{
fix v
assume v-in-dom-i:  $v \in \text{dom } ?I$ 
moreover {
  have v-in-variable-set:  $v \in \text{set } ((\Pi)_V)$ 
    using is-valid-problem-strips-initial-of-dom assms(1) v-in-dom-i
    by auto
  hence  $(\Phi_S^{-1} \Pi \mathcal{A} 0) v = \text{Some } (\mathcal{A} (\text{State } 0 (\text{index } ?vs v)))$ 
    using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
      assms(1, 2) - v-in-variable-set]
    by fast
} note nb2 = this
consider (v-initially-true) ?I v = Some True
| (v-initially-false) ?I v = Some False
  using v-in-dom-i
  by fastforce
hence ?I v = ?s v
proof (cases)
  case v-initially-true
  then obtain C
    where C ∈ cnf ?ΦI
    and c-is: C = { (State 0 (index ?vs v))+ }
    using cnf-of-encode-initial-state-set v-in-dom-i assms(1)
    by fastforce
  hence  $\mathcal{A} (\text{State } 0 (\text{index } ?vs v)) = \text{True}$ 
    using nb1
    unfolding clause-semantics-def
    by fastforce
  thus ?thesis
    using nb2 v-initially-true
    by presburger
next
case v-initially-false
then obtain C
where C ∈ cnf ?ΦI
and c-is: C = { (State 0 (index ?vs v))-1 }
using cnf-of-encode-initial-state-set assms(1) v-in-dom-i
by fastforce
hence  $\mathcal{A} (\text{State } 0 (\text{index } ?vs v)) = \text{False}$ 
using nb1
unfolding clause-semantics-def

```

```

    by fastforce
  thus ?thesis
    using nb2 v-initially-false
    by presburger
qed
}
hence ?I ⊆m ?s
  using map-le-def
  by blast
} moreover {
{
fix v
assume v-in-dom-s: v ∈ dom ?s
then have v-in-set-vs: v ∈ set ?vs
  using decode-state-at-dom[OF assms(1)]
  by simp
have v-in-dom-I: v ∈ dom ?I
  using is-valid-problem-strips-initial-of-dom assms(1) v-in-set-vs
  by auto
have s-v-is: (ΦS-1 Π A 0) v = Some (A (State 0 (index ?vs v)))
  using decode-state-at-encoding-variables-equals-some-of-valuation-if assms(1,
2)
  v-in-set-vs
  by (metis le0)
consider (s-v-is-some-true) ?s v = Some True
| (s-v-is-some-false) ?s v = Some False
  using v-in-dom-s
  by fastforce
hence ?s v = ?I v
proof (cases)
  case s-v-is-some-true
  then have A-of-s-v: lit-semantics A ((State 0 (index ?vs v))+)
    using s-v-is
    by fastforce
  consider (I-v-is-some-true) ?I v = Some True
  | (I-v-is-some-false) ?I v = Some False
    using v-in-dom-I
    by fastforce
  thus ?thesis
  proof (cases)
    case I-v-is-some-true
    then show ?thesis
      using s-v-is-some-true
      by argo
  next
  case I-v-is-some-false
    then obtain C
      where C-in-encode-initial-state: C ∈ cnf ?ΦI

```

```

and C-is:  $C = \{ (\text{State } 0 (\text{index } ?vs v))^{-1} \}$ 
using cnf-of-encode-initial-state-set assms(1) v-in-dom-I
by fastforce
hence lit-semantics  $\mathcal{A} ((\text{State } 0 (\text{index } ?vs v))^{-1})$ 
using nb1
unfolding clause-semantics-def
by fast
thus ?thesis
using  $\mathcal{A}$ -of-s-v
by fastforce
qed
next
case s-v-is-some-false
then have  $\mathcal{A}$ -of-s-v: lit-semantics  $\mathcal{A} ((\text{State } 0 (\text{index } ?vs v))^{-1})$ 
using s-v-is
by fastforce
consider (I-v-is-some-true) ?I v = Some True
| (I-v-is-some-false) ?I v = Some False
using v-in-dom-I
by fastforce
thus ?thesis
proof (cases)
case I-v-is-some-true
then obtain C
where C-in-encode-initial-state:  $C \in \text{cnf } ?\Phi_I$ 
and C-is:  $C = \{ (\text{State } 0 (\text{index } ?vs v))^+ \}$ 
using cnf-of-encode-initial-state-set assms(1) v-in-dom-I
by fastforce
hence lit-semantics  $\mathcal{A} ((\text{State } 0 (\text{index } ?vs v))^+)$ 
using nb1
unfolding clause-semantics-def
by fast
thus ?thesis
using  $\mathcal{A}$ -of-s-v
by fastforce
next
case I-v-is-some-false
thus ?thesis
using s-v-is-some-false
by presburger
qed
qed
}
hence ?s ⊆m ?I
using map-le-def
by blast
} ultimately show ?thesis
using map-le-antisym
by blast

```

qed

lemma *decode-state-at-goal-state*:

assumes *is-valid-problem-strips* Π
and $\mathcal{A} \models \Phi \Pi t$
shows $(\Pi)_G \subseteq_m \Phi_S^{-1} \Pi \mathcal{A} t$

proof –

let $?vs = \text{strips-problem.variables-of } \Pi$
and $?G = (\Pi)_G$
and $?G' = \Phi_S^{-1} \Pi \mathcal{A} t$
and $?F = \Phi \Pi t$
and $?F_G = (\Phi_G \Pi) t$

{ have *is-cnf* $?F_G$ and *cnf* $?F_G \subseteq \text{cnf } ?F$

subgoal
using *encode-goal-state-is-cnf*[*OF assms(1)*]
by *simp*

subgoal
using *cnf-of-encode-problem-structure*(2)
unfolding *encode-goal-state-def encode-problem-def*
by *blast*

done

then have *cnf-semantics* \mathcal{A} (*cnf* $?F_G$)
using *cnf-semantics-monotonous-in-cnf-subsets-if is-cnf-encode-problem*[*OF assms(1)*]
assms(2)
by *blast*

hence $\forall C \in \text{cnf } ?F_G. \text{ clause-semantics } \mathcal{A} C$
unfolding *cnf-semantics-def encode-initial-state-def*
by *blast*

} note $nb_1 = \text{this}$

{
fix v
assume $v \in \text{set } ((\Pi)_V)$
moreover have *set* $?vs \neq \{\}$
using *calculation*(1)
by *fastforce*
moreover have $(\Phi_S^{-1} \Pi \mathcal{A} t)$
 $= \text{map-of } (\text{map } (\lambda v. (v, \mathcal{A} (\text{State } t (\text{index } ?vs v)))) ?vs)$
unfolding *decode-state-at-def SAT-Plan-Base.decode-state-at-def*
by *metis*

ultimately have $(\Phi_S^{-1} \Pi \mathcal{A} t) v = \text{Some } (\mathcal{A} (\text{State } t (\text{index } ?vs v)))$
using *map-of-from-function-graph-is-some-if*
by *fastforce*

} note $nb_2 = \text{this}$

{
fix v

```

assume v-in-dom-G:  $v \in \text{dom } ?G$ 
then have v-in-vs:  $v \in \text{set } ?vs$ 
  using is-valid-problem-dom-of-goal-state-is assms(1)
  by auto
then have decode-state-at-is:  $(\Phi_S^{-1} \amalg \mathcal{A} t) v = \text{Some } (\mathcal{A} (\text{State } t (\text{index } ?vs v)))$ 
  using nb2
  by fastforce
consider (A) ?G v = Some True
| (B) ?G v = Some False
  using v-in-dom-G
  by fastforce
hence ?G v = ?G' v
proof (cases)
  case A
  {
    obtain C where C ⊆ cnf ?ΦG and C = { { (State t (index ?vs v))+ } }
    using cnf-of-encode-goal-state-set(1)[OF assms(1) v-in-dom-G] A
    by auto
    then have { (State t (index ?vs v))+ } ∈ cnf ?ΦG
    by blast
    then have clause-semantics A { (State t (index ?vs v))+ }
    using nb1
    by blast
    then have lit-semantics A ((State t (index ?vs v))+)
    unfolding clause-semantics-def
    by blast
    hence A (State t (index ?vs v)) = True
    by force
  }
  thus ?thesis
  using decode-state-at-is A
  by presburger
next
  case B
  {
    obtain C where C ⊆ cnf ?ΦG and C = { { (State t (index ?vs v))-1 } }
    using cnf-of-encode-goal-state-set(2)[OF assms(1) v-in-dom-G] B
    by auto
    then have { (State t (index ?vs v))-1 } ∈ cnf ?ΦG
    by blast
    then have clause-semantics A { (State t (index ?vs v))-1 }
    using nb1
    by blast
    then have lit-semantics A ((State t (index ?vs v))-1)
    unfolding clause-semantics-def
    by blast
    hence A (State t (index ?vs v)) = False
    by simp
  }

```

```

}
thus ?thesis
  using decode-state-at-is B
  by presburger
qed
}
thus ?thesis
  using map-le-def
  by blast
qed

— Show that the operator activation implies precondition constraints hold at every
time step of the decoded plan.

lemma decode-state-at-preconditions:
assumes is-valid-problem-strips  $\Pi$ 
  and  $\mathcal{A} \models \Phi \Pi t$ 
  and  $k < t$ 
  and  $op \in \text{set}((\Phi^{-1} \Pi \mathcal{A} t) ! k)$ 
  and  $v \in \text{set}(\text{precondition-of } op)$ 
shows  $\mathcal{A} (\text{State } k (\text{index} (\text{strips-problem.variables-of } \Pi) v))$ 
proof –
  let ?ops = strips-problem.operators-of  $\Pi$ 
  and ?vs = strips-problem.variables-of  $\Pi$ 
  let ? $\Phi$  =  $\Phi \Pi t$ 
  and ? $\Phi_O$  = encode-operators  $\Pi t$ 
  and ? $\Phi_P$  = encode-all-operator-preconditions  $\Pi$  ?ops  $t$ 
  {
    have  $\mathcal{A} (\text{Operator } k (\text{index } ?\text{ops } op))$ 
    and  $op \in \text{set}((\Pi)_O)$ 
    using decode-plan-step-element-then[ $\text{OF assms}(3, 4)$ ]
    by blast+
    moreover obtain C
      where clause-is-in-operator-encoding:  $C \in \text{cnf } ?\Phi_P$ 
      and  $C = \{ (\text{Operator } k (\text{index } ?\text{ops } op))^{-1},$ 
         $(\text{State } k (\text{index } ?\text{vs } v))^+ \}$ 
      using cnf-of-encode-all-operator-preconditions-contains-clause-if[ $\text{OF assms}(1,$ 
         $3)$ 
          calculation(2) assms(5)]
        by blast
      moreover have clause-semantics- $\mathcal{A}$ - $\Phi_P$ :  $\forall C \in \text{cnf } ?\Phi_P. \text{clause-semantics } \mathcal{A}$ 
      C
        using cnf-semantics-monotonous-in-cnf-subsets-if[ $\text{OF assms}(2)$ 
          is-cnf-encode-problem[ $\text{OF assms}(1)$ ]
          cnf-of-operator-precondition-encoding-subset-encoding]
        unfolding cnf-semantics-def
        by blast
      ultimately have lit-semantics  $\mathcal{A} (\text{Pos} (\text{State } k (\text{index } ?\text{vs } v)))$ 
      unfolding clause-semantics-def
    
```

```

    by fastforce
}
thus ?thesis
  unfolding lit-semantics-def
  by fastforce
qed

```

— This lemma shows that for a problem encoding with makespan zero for which a model exists, the goal state encoding must be subset of the initial state encoding. In this case, the state variable encodings for the goal state are included in the initial state encoding.

```

lemma encode-problem-parallel-correct-i:
  assumes is-valid-problem-strips Π
    and A ⊨ Φ Π 0
  shows cnf ((ΦG Π) 0) ⊆ cnf (ΦI Π)
proof -
let ?vs = strips-problem.variables-of Π
and ?I = (Π)I
and ?G = (Π)G
and ?ΦI = ΦI Π
and ?ΦG = (ΦG Π) 0
and ?Φ = Φ Π 0

```

— Show that the model of the encoding is also a model of the partial encodings.
have A-models-Φ_I: A ⊨ ?Φ_I **and** A-models-Φ_G: A ⊨ ?Φ_G
using assms(2) encode-problem-has-model-then-also-partial-encodings(1, 2)
unfolding encode-problem-def encode-initial-state-def encode-goal-state-def
by blast+

— Show that every clause in the CNF of the goal state encoding Φ_G is also in the CNF of the initial state encoding Φ_I thus making it a subset. We can conclude this from the fact that both Φ_I and Φ_G contain singleton clauses—which must all be evaluated to true by the given model A—and the similar structure of the clauses in both partial encodings.

By extension, if we decode the goal state G and the initial state I from a model of the encoding, G v = I v must hold for variable v in the domain of the goal state.

```

{
fix C'
assume C'-in-cnf-ΦG: C' ∈ cnf ?ΦG
then obtain v
  where v-in-vs: v ∈ set ?vs
    and G-of-v-is-not-None: ?G v ≠ None
    and C'-is: C' = { literal-formula-to-literal (encode-state-variable 0 (index
?vs v)
  (?G v)) }
  using cnf-of-encode-goal-state-set-ii[OF assms(1)]
  by auto
obtain C
  where C-in-cnf-ΦI: C ∈ cnf ?ΦI

```

```

and C-is:  $C = \{ \text{literal-formula-to-literal} (\text{encode-state-variable } 0 \text{ (index } ?vs v) (?I v)) \}$ 
using cnf-of-encode-initial-state-set-ii[ $\text{OF assms}(1)$ ] v-in-vs
by auto
{
  let ?L = literal-formula-to-literal (encode-state-variable 0 (index ?vs v) (?I v))
  have { ?L } ∈ cnf ?Φ_I
  using C-in-cnf-Φ_I C-is
  by blast
  hence lit-semantics A ?L
  using model-then-all-singleton-clauses-modelled[ $\text{OF is-cnf-encode-initial-state}[\text{OF assms}(1)]$ - A-models-Φ_I]
  by blast
} note lit-semantics-A-L = this
{
  let ?L' = literal-formula-to-literal (encode-state-variable 0 (index ?vs v) (?G v))
  have { ?L' } ∈ cnf ?Φ_G
  using C'-in-cnf-Φ_G C'-is
  by blast
  hence lit-semantics A ?L'
  using model-then-all-singleton-clauses-modelled[ $\text{OF encode-goal-state-is-cnf}[\text{OF assms}(1)]$ - A-models-Φ_G]
  by blast
} note lit-semantics-A-L' = this
{
  have ?I v = ?G v
  proof (rule ccontr)
    assume contradiction: ?I v ≠ ?G v
    moreover have ?I v ≠ None
    using v-in-vs is-valid-problem-strips-initial-of-dom assms(1)
    by auto
    ultimately consider (A) ?I v = Some True ∧ ?G v = Some False
    | (B) ?I v = Some False ∧ ?G v = Some True
    using G-of-v-is-not-None
    by force
    thus False
    using lit-semantics-A-L lit-semantics-A-L'
    unfolding encode-state-variable-def
    by (cases, fastforce+)
  qed
}
hence C' ∈ cnf ?Φ_I
using C-is C-in-cnf-Φ_I C'-is C'-in-cnf-Φ_G
by argo
}
thus ?thesis

```

by blast

qed

— Show that the encoding secures that for every parallel operator ops decoded from the plan at every time step $t < length pi$ the following hold:

1. ops is applicable, and
2. the effects of ops are consistent.

lemma encode-problem-parallel-correct-ii:

assumes is-valid-problem-strips Π

and $\mathcal{A} \models \Phi \Pi t$

and $k < length (\Phi^{-1} \Pi \mathcal{A} t)$

shows are-all-operators-applicable $(\Phi_S^{-1} \Pi \mathcal{A} k)$

$((\Phi^{-1} \Pi \mathcal{A} t) ! k)$

and are-all-operator-effects-consistent $((\Phi^{-1} \Pi \mathcal{A} t) ! k)$

proof –

let $?vs = strips\text{-}problem.variables\text{-}of \Pi$

and $?ops = strips\text{-}problemoperators\text{-}of \Pi$

and $?_\pi = \Phi^{-1} \Pi \mathcal{A} t$

and $?s = \Phi_S^{-1} \Pi \mathcal{A} k$

let $?_\Phi = \Phi \Pi t$

and $?_\Phi_E = encode\text{-}all\text{-}operator\text{-}effects \Pi ?ops t$

have $k \text{-} lt\text{-}t : k < t$

using decode-plan-length assms(3)

by metis

{

{

fix $op v$

assume op-in-kth-of-decoded-plan-set: $op \in set (?_\pi ! k)$

and v-in-precondition-set: $v \in set (precondition\text{-}of op)$

{

have $\mathcal{A} (Operator k (index ?ops op))$

using decode-plan-step-element-then[$OF k \text{-} lt\text{-}t op \text{-} in\text{-} kth\text{-} of\text{-} decoded\text{-} plan\text{-} set$]

by blast

hence $\mathcal{A} (State k (index ?vs v))$

using decode-state-at-preconditions[

$OF assms(1, 2) - op \text{-} in\text{-} kth\text{-} of\text{-} decoded\text{-} plan\text{-} set v \text{-} in\text{-} precondition\text{-} set$]

$k \text{-} lt\text{-} t$

by blast

}

moreover have $k \leq t$

using $k \text{-} lt\text{-} t$

by auto

moreover {

have $op \in set ((\Pi)_O)$

using decode-plan-step-element-then[$OF k \text{-} lt\text{-} t op \text{-} in\text{-} kth\text{-} of\text{-} decoded\text{-} plan\text{-} set$]

by simp

then have $v \in set ((\Pi)_V)$

```

using is-valid-problem-strips-operator-variable-sets(1) assms(1)
  v-in-precondition-set
  by auto
}
ultimately have ( $\Phi_S^{-1} \amalg \mathcal{A}$  k) v = Some True
  using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
assms(1, 2)]
  by presburger
}
hence are-all-operators-applicable ?s (? $\pi$  ! k)
  using are-all-operators-applicable-set[of ?s ? $\pi$  ! k]
  by blast
} moreover {
{
fix op1 op2
assume op1-in-k-th-of-decoded-plan: op1 ∈ set (( $\Phi^{-1} \amalg \mathcal{A}$  t) ! k)
  and op2-in-k-th-of-decoded-plan: op2 ∈ set (( $\Phi^{-1} \amalg \mathcal{A}$  t) ! k)
have op1-in-set-ops: op1 ∈ set ((Π)O)
  and op2-in-set-ops: op2 ∈ set ((Π)O)
  and op1-active-at-k: ¬lit-semantics  $\mathcal{A}$  ((Operator k (index ?ops op1))-1)
  and op2-active-at-k: ¬lit-semantics  $\mathcal{A}$  ((Operator k (index ?ops op2))-1)
subgoal
  using decode-plan-step-element-then[OF k-lt-t op1-in-k-th-of-decoded-plan]
  by simp
subgoal
  using decode-plan-step-element-then[OF k-lt-t op2-in-k-th-of-decoded-plan]
  by force
subgoal
  using decode-plan-step-element-then[OF k-lt-t op1-in-k-th-of-decoded-plan]
  by simp
subgoal
  using decode-plan-step-element-then[OF k-lt-t op2-in-k-th-of-decoded-plan]
  by simp
done

{
fix v
assume v-in-add-effects-set-of-op1: v ∈ set (add-effects-of op1)
  and v-in-delete-effects-set-of-op2: v ∈ set (delete-effects-of op2)
let ?C1 = {(Operator k (index ?ops op1))-1,
  (State (Suc k) (index ?vs v))+}
  and ?C2 = {(Operator k (index ?ops op2))-1,
  (State (Suc k) (index ?vs v))-1}
have ?C1 ∈ cnf ?ΦE and ?C2 ∈ cnf ?ΦE
subgoal
  using cnf-of-operator-effect-encoding-contains-add-effect-clause-if[OF
    assms(1) k-lt-t op1-in-set-ops v-in-add-effects-set-of-op1]
  by blast
subgoal

```

```

using cnf-of-operator-effect-encoding-contains-delete-effect-clause-if[OF
assms(1) k-lt-t op2-in-set-ops v-in-delete-effects-set-of-op2]
by blast
done
then have ?C1 ∈ cnf ?Φ and ?C2 ∈ cnf ?Φ
using cnf-of-encode-all-operator-effects-subset-cnf-of-encode-problem
by blast+
then have C1-true: clause-semantics A ?C1 and C2-true: clause-semantics
A ?C2
using valuation-models-encoding-cnf-formula-equals[OF assms(1)] assms(2)
unfolding cnf-semantics-def
by blast+
have lit-semantics A ((State (Suc k) (index ?vs v))+)
and lit-semantics A ((State (k + 1) (index ?vs v))-1)
subgoal
using op1-active-at-k C1-true
unfolding clause-semantics-def
by blast
subgoal
using op2-active-at-k C2-true
unfolding clause-semantics-def
by fastforce
done
hence False
by auto
} moreover {
fix v
assume v-in-delete-effects-set-of-op1: v ∈ set (delete-effects-of op1)
and v-in-add-effects-set-of-op2: v ∈ set (add-effects-of op2)
let ?C1 = {(Operator k (index ?ops op1))-1, (State (Suc k) (index ?vs v))-1}
and ?C2 = {(Operator k (index ?ops op2))-1, (State (Suc k) (index ?vs
v))+} have ?C1 ∈ cnf ?ΦE and ?C2 ∈ cnf ?ΦE
subgoal
using cnf-of-operator-effect-encoding-contains-delete-effect-clause-if[OF
assms(1) k-lt-t op1-in-set-ops v-in-delete-effects-set-of-op1]
by fastforce
subgoal
using cnf-of-operator-effect-encoding-contains-add-effect-clause-if[OF
assms(1) k-lt-t op2-in-set-ops v-in-add-effects-set-of-op2]
by simp
done
then have ?C1 ∈ cnf ?Φ and ?C2 ∈ cnf ?Φ
using cnf-of-encode-all-operator-effects-subset-cnf-of-encode-problem
by blast+
then have C1-true: clause-semantics A ?C1 and C2-true: clause-semantics
A ?C2
using valuation-models-encoding-cnf-formula-equals[OF assms(1)] assms(2)
unfolding cnf-semantics-def

```

```

    by blast+
  have lit-semantics  $\mathcal{A}$  ((State (Suc k) (index ?vs v)) $^{-1}$ )
    and lit-semantics  $\mathcal{A}$  ((State (k + 1) (index ?vs v)) $^{+}$ )
    subgoal
      using op1-active-at-k C1-true
      unfolding clause-semantics-def
      by blast
    subgoal
      using op2-active-at-k C2-true
      unfolding clause-semantics-def
      by fastforce
    done
  hence False
  by simp
}
ultimately have set (add-effects-of op1) ∩ set (delete-effects-of op2) = {}
  and set (delete-effects-of op1) ∩ set (add-effects-of op2) = {}
  by blast+
}
hence are-all-operator-effects-consistent (?π ! k)
  using are-all-operator-effects-consistent-set[of ?π ! k]
  by blast
}
ultimately show are-all-operators-applicable ?s (?π ! k)
  and are-all-operator-effects-consistent (?π ! k)
  by blast+
qed

```

— Show that for all operators op at timestep k of the plan $\Phi^{-1} \Pi \mathcal{A} t$ decoded from the model \mathcal{A} , both add effects as well as delete effects will hold in the next timestep $Suc k$.

lemma encode-problem-parallel-correct-iii:

```

assumes is-valid-problem-strips Π
  and  $\mathcal{A} \models \Phi \Pi t$ 
  and  $k < length (\Phi^{-1} \Pi \mathcal{A} t)$ 
  and  $op \in set ((\Phi^{-1} \Pi \mathcal{A} t) ! k)$ 
shows  $v \in set (add-effects-of op)$ 
  →  $(\Phi_S^{-1} \Pi \mathcal{A} (Suc k)) v = Some True$ 
and  $v \in set (delete-effects-of op)$ 
  →  $(\Phi_S^{-1} \Pi \mathcal{A} (Suc k)) v = Some False$ 

```

proof —

```

let ?ops = strips-problem.operators-of Π
  and ?vs = strips-problem.variables-of Π
let ?ΦF = encode-all-operator-effects Π ?ops t
  and ?A =  $(\bigcup_{(t, op) \in \{0..<t\}} \times set ((\Pi)_{\mathcal{O}}))$ .
    {{ { (Operator t (index ?ops op)) $^{-1}$ , (State (Suc t) (index ?vs v)) $^{+}$  } } }
    | v.  $v \in set (add-effects-of op)$  }
and ?B =  $(\bigcup_{(t, op) \in \{0..<t\}} \times set ((\Pi)_{\mathcal{O}}))$ .
  {{ { (Operator t (index ?ops op)) $^{-1}$ ,
```

```


$$\begin{aligned}
& \{(State (Suc t) (index ?vs v))^{-1}\} \\
& \quad | \quad v. \ v \in set (delete-effects-of op)\} \\
\text{have } & k\text{-lt-}t: k < t \\
& \text{using decode-plan-length assms(3)} \\
& \text{by metis} \\
\text{have } & op\text{-is-valid}: op \in set ((\Pi)_O) \\
& \text{using decode-plan-step-element-then}[OF k\text{-lt-}t assms(4)] \\
& \text{by blast} \\
\text{have } & k\text{-op-included}: (k, op) \in (\{0..<t\} \times set ((\Pi)_O)) \\
& \text{using } k\text{-lt-}t \text{ op-is-valid} \\
& \text{by fastforce} \\
\text{thus } & v \in set (add-effects-of op) \\
& \longrightarrow (\Phi_S^{-1} \Pi A (Suc k)) v = Some True \\
\text{and } & v \in set (delete-effects-of op) \\
& \longrightarrow (\Phi_S^{-1} \Pi A (Suc k)) v = Some False \\
\text{proof (auto)} \\
\text{assume } & v\text{-is-add-effect}: v \in set (add-effects-of op) \\
\text{have } & A (Operator k (index ?ops op)) \\
& \text{using decode-plan-step-element-then}[OF k\text{-lt-}t assms(4)] \\
& \text{by blast} \\
\text{moreover } & \{ \\
\text{have } & \{\{(Operator k (index ?ops op))^{-1}, (State (Suc k) (index ?vs v))^+\}\} \\
& \in \{\{\{(Operator k (index ?ops op))^{-1}, (State (Suc k) (index ?vs v))^+\}\} \\
& \quad | \quad v. \ v \in set (add-effects-of op)\} \\
& \text{using } v\text{-is-add-effect} \\
& \text{by blast} \\
& \text{then have } \{\{(Operator k (index ?ops op))^{-1}, (State (Suc k) (index ?vs v))^+\}\} \in ?A \\
& \text{using } k\text{-op-included cnf-of-operator-encoding-structure} \\
& \text{UN-iff}[of \{\{(Operator t (index ?ops op))^{-1}, (State (Suc t) (index ?vs v))^+\}\} \\
& \quad - \{0..<t\} \times set ((\Pi)_O)] \\
& \text{by blast} \\
& \text{then have } \{(Operator k (index ?ops op))^{-1}, (State (Suc k) (index ?vs v))^+\} \\
& \in \bigcup ?A \\
& \text{using Union-iff}[of \{(Operator k (index ?ops op))^{-1}, (State (Suc k) (index ?vs v))^+\}] \\
& \text{by blast} \\
\text{moreover have } & \bigcup ?A \subseteq cnf ?\Phi_F \\
& \text{using cnf-of-encode-all-operator-effects-structure} \\
& \text{by blast} \\
\text{ultimately have } & \{(Operator k (index ?ops op))^{-1}, (State (Suc k) (index ?vs v))^+\} \in cnf ?\Phi_F \\
& \text{using in-mono}[of \bigcup ?A cnf ?\Phi_F] \\
& \text{by presburger} \\
\}
\end{aligned}$$


```

```

ultimately have  $\mathcal{A} (\text{State} (\text{Suc } k) (\text{index } ?vs v))$ 
  using cnf-of-encode-all-operator-effects-subset-cnf-of-encode-problem
    assms(2)[unfolded valuation-models-encoding-cnf-formula-equals-corollary[OF
assms(1)]]
  unfolding Bex-def
  by fastforce
thus  $(\Phi_S^{-1} \Pi \mathcal{A} (\text{Suc } k)) v = \text{Some True}$ 
  using assms(1) assms(2)
    decode-state-at-encoding-variables-equals-some-of-valuation-if
    is-valid-problem-strips-operator-variable-sets(2) k-lt-t op-is-valid subsetD
    v-is-add-effect
  by fastforce
next
assume v-is-delete-effect:  $v \in \text{set} (\text{delete-effects-of } op)$ 
have  $\mathcal{A} (\text{Operator } k (\text{index } ?ops op))$ 
  using decode-plan-step-element-then[OF k-lt-t assms(4)]
  by blast
moreover {
  have  $\{\{( \text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } k) (\text{index } ?vs v))^{-1}\} \in \{\{\{( \text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } k) (\text{index } ?vs v))^{-1}\} \mid v. v \in \text{set} (\text{delete-effects-of } op)\}\}$ 
  using v-is-delete-effect
  by blast
  then have  $\{\{( \text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } k) (\text{index } ?vs v))^{-1}\} \in ?B$ 
    using k-op-included cnf-of-encode-all-operator-effects-structure
      UN-iff[of  $\{\{( \text{Operator } t (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } t) (\text{index } ?vs v))^{+}\}\}$ 
        -  $\{0.. < t\} \times \text{set} ((\Pi)_O)$ 
      by blast
  then have  $\{( \text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } k) (\text{index } ?vs v))^{-1}\} \in \bigcup ?B$ 
    using Union-iff[of  $\{( \text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } k) (\text{index } ?vs v))^{-1}\}]$ 
    by blast
moreover have  $\bigcup ?B \subseteq \text{cnf } ?\Phi_F$ 
  using cnf-of-encode-all-operator-effects-structure Un-upper2[of  $\bigcup ?B \bigcup ?A$ ]
    by fast
ultimately have  $\{( \text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State} (\text{Suc } k) (\text{index } ?vs v))^{-1}\} \in \text{cnf } ?\Phi_F$ 
  using in-mono[of  $\bigcup ?B \text{ cnf } ?\Phi_F$ ]
    by presburger
}
ultimately have  $\neg \mathcal{A} (\text{State} (\text{Suc } k) (\text{index } ?vs v))$ 

```

```

using cnf-of-encode-all-operator-effects-subset-cnf-of-encode-problem
valuation-models-encoding-cnf-formula-equals-corollary[OF assms(1)] assms(2)
by fastforce
moreover have Suc k  $\leq t$ 
using k-lt-t
by fastforce
moreover have v  $\in \text{set}((\Pi)_V)$ 
using v-is-delete-effect is-valid-problem-strips-operator-variable-sets(3) assms(1)
    op-is-valid
by auto
ultimately show ( $\Phi_S^{-1} \Pi \mathcal{A}$  (Suc k)) v = Some False
using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
assms(1, 2)]
by auto
qed
qed

```

— In broad strokes, this lemma shows that the operator frame axioms ensure that state is propagated—i.e. the valuation of a variable does not change inbetween time steps—, if there is no operator active which has an effect on a given variable *a*: i.e.

$$\begin{aligned}\mathcal{A} \vDash (\neg a_i \wedge a_{i+1}) &\longrightarrow \bigvee \{op_i, k : op_i \text{ has add effect } a\} \\ \mathcal{A} \vDash (a_i \wedge \neg a_{i+1}) &\longrightarrow \bigvee \{op_i, k : op_i \text{ has delete effect } a\}\end{aligned}$$

Now, if the disjunctions are empty—i.e. if no operator which is activated at time step *k* has either a positive or negative effect—, we have by simplification

$$\begin{aligned}\mathcal{A} \vDash \neg(\neg a_i \wedge a_{i+1}) &\equiv \mathcal{A} \vDash a_i \vee \neg a_{i+1} \\ \mathcal{A} \vDash \neg(a_i \wedge \neg a_{i+1}) &\equiv \mathcal{A} \vDash \neg a_i \vee a_{i+1}\end{aligned}$$

hence

$$\begin{aligned}\mathcal{A} \vDash (\neg a_i \vee a_{i+1}) \wedge (a_i \vee \neg a_{i+1}) \\ \rightsquigarrow \mathcal{A} \vDash \{\{\neg a_i, a_{i+1}\}, \{a_i, \neg a_{i+1}\}\}\end{aligned}$$

The lemma characterizes this simplification.⁸

lemma encode-problem-parallel-correct-iv:

```

fixes  $\Pi$ :: 'a strips-problem
assumes is-valid-problem-strips  $\Pi$ 
and  $\mathcal{A} \models \Phi \Pi t$ 
and  $k < t$ 
and  $v \in \text{set}((\Pi)_V)$ 
and  $\neg(\exists op \in \text{set}((\Phi^{-1} \Pi \mathcal{A} t) ! k).$ 
 $v \in \text{set}(\text{add-effects-of } op) \vee v \in \text{set}(\text{delete-effects-of } op))$ 
shows cnf-semantics  $\mathcal{A} \{\{ (\text{State } k (\text{index (strips-problem.variables-of } \Pi) v))^{-1}$ 

```

⁸This part of the soundness proof is only treated very briefly in [3, theorem 3.1, p.1044]

```

, (State (Suc k) (index (strips-problem.variables-of Π) v))+ }}
and cnf-semantics  $\mathcal{A}$  { { (State k (index (strips-problem.variables-of Π) v))+
, (State (Suc k) (index (strips-problem.variables-of Π) v))-1 }}

proof —
let ?vs = strips-problem.variables-of Π
and ?ops = strips-problem.operators-of Π
let ?Φ = Φ Π t
and ?ΦF = encode-all-frame-axioms Π t
and ?πk = (Φ-1 Π  $\mathcal{A}$  t) ! k
and ?A =  $\bigcup_{(k, v) \in (\{0..<t\} \times \text{set } ?vs)}$ .
    { {{ (State k (index ?vs v))+, (State (Suc k) (index ?vs v))-1 }
    ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (add-effects-of
    op) }}}
and ?B =  $\bigcup_{(k, v) \in (\{0..<t\} \times \text{set } ?vs)}$ .
    { {{ (State k (index ?vs v))-1, (State (Suc k) (index ?vs v))+ }
    ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (delete-effects-of
    op) }}}
and ?C = { (State k (index ?vs v))+, (State (Suc k) (index ?vs v))-1 }
    ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (add-effects-of
    op) }
and ?C' = { (State k (index ?vs v))-1, (State (Suc k) (index ?vs v))+ }
    ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (delete-effects-of
    op) }

have k-v-included: (k, v) ∈ ( $\{..<t\} \times \text{set } ((\Pi)_V)$ )
using assms(3, 4)
by blast
have operator-encoding-subset-encoding: cnf ?ΦF ⊆ cnf ?Φ
using cnf-of-encode-problem-structure(4)
unfolding encode-problem-def
by fast

```

— Given the premise that no operator in π_k exists with add-effect respectively delete effect v , we have the following situation for the EPC (effect precondition) sets:

- assuming op is in $\text{set } ?ops$, either op is in π_k (then it doesn't have effect on v and therefore is not in either of the sets), or if is not, then $\mathcal{A}(\text{Operator } k(\text{index } ?ops op)) = \perp$ by definition of *decode-plan*; moreover,
 - assuming op is not in $\text{set } ?ops$ —this is implicitly encoded as $\text{Operator } k(\text{length } ?ops)$ and $\mathcal{A}(\text{Operator } k(\text{length } ?ops))$ may or may not be true—, then it's not in either of the sets.
- . Altogether, we have the situation that the sets only have members $\text{Operator } k(\text{index } ?ops op)$ with $\mathcal{A}(\text{Operator } k(\text{index } ?ops op)) = \perp$, hence the clause can be reduced to the state variable literals.

More concretely, the following proof block shows that the following two conditions hold for the operators:

$$\begin{aligned} \forall op. op \in & \{ ((\text{Operator } k(\text{index } ?ops op))^{+}) \\ & | op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{add-effects-of } op) \} \\ \longrightarrow & \neg \text{lit-semantics } \mathcal{A} op \end{aligned}$$

and

$$\begin{aligned} \forall op. op \in \{ & ((\text{Operator } k (\text{index } ?ops op))^+) \\ | & op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{delete-effects-of } op) \} \\ \longrightarrow & \neg \text{lit-semantics } \mathcal{A} op \end{aligned}$$

Hence, the operators are irrelevant for *cnf-semantics* $\mathcal{A} \{ C \}$ where C is a clause encoding a positive or negative transition frame axiom for a given variable v of the problem.

```
{
let ?add = { ((Operator k (index ?ops op))^+
| op. op ∈ set ?ops ∧ v ∈ set (add-effects-of op) }
and ?delete = { ((Operator k (index ?ops op))^+
| op. op ∈ set ?ops ∧ v ∈ set (delete-effects-of op) }
{
fix op
assume operator-encoding-in-add: (Operator k (index ?ops op))^+ ∈ ?add
hence ¬lit-semantics A ((Operator k (index ?ops op))^+
proof (cases op ∈ set ?π_k)
  case True
  then have v ∉ set (add-effects-of op)
    using assms(5)
    by simp
  then have (Operator k (index ?ops op))^+ ∉ ?add
    by fastforce
  thus ?thesis
    using operator-encoding-in-add
    by blast
next
  case False
  then show ?thesis
  proof (cases op ∈ set ?ops)
    case True
    {
      let ?A = { ?ops ! index ?ops op | op.
        op ∈ set ((Π)_O) ∧ A (Operator k (index ?ops op)) }
      assume lit-semantics A ((Operator k (index ?ops op))^+)
      moreover have operator-active-at-k: A (Operator k (index ?ops op))
        using calculation
        by auto
      moreover have op ∈ set ((Π)_O)
        using True
        by force
      moreover have (?ops ! index ?ops op) ∈ ?A
        using calculation(2, 3)
        by blast
      ultimately have op ∈ set ?π_k
        using decode-plan-step-element-then-i[OF assms(3)]
        by auto
    }
  
```

```

        hence False
        using False
        by blast
    }
    thus ?thesis
    by blast
next
case False
then have op ∈ set ?ops. v ∈ set (add-effects-of op) { by blast
moreover have ?add =
  (λop. (Operator k (index ?ops op))+) { op ∈ set ?ops. v ∈ set (add-effects-of op) }
  using setcompr-eq-image[of λop. (Operator k (index ?ops op))+
    λop. op ∈ set ?ops ∧ v ∈ set (add-effects-of op)]
  by blast

ultimately have (Operator k (index ?ops op))+ ∉ ?add
  by force
thus ?thesis using operator-encoding-in-add
  by blast
qed
qed
} moreover {
fix op
assume operator-encoding-in-delete: ((Operator k (index ?ops op))+) ∈ ?delete
hence ¬lit-semantics A ((Operator k (index ?ops op))+)
proof (cases op ∈ set ?πk)
  case True
  then have v ∉ set (delete-effects-of op)
  using assms(5)
  by simp
  then have (Operator k (index ?ops op))+ ∉ ?delete
  by fastforce
  thus ?thesis
  using operator-encoding-in-delete
  by blast
next
case False
then show ?thesis
proof (cases op ∈ set ?ops)
  case True
  {
let ?A = { ?ops ! index ?ops op | op.
  op ∈ set ((Π)○) ∧ A (Operator k (index ?ops op)) }
  assume lit-semantics A ((Operator k (index ?ops op))+)
  moreover have operator-active-at-k: A (Operator k (index ?ops op))
  using calculation
  by auto
}

```

```

moreover have  $op \in \text{set } ((\Pi)_{\mathcal{O}})$ 
  using True
  by force
moreover have  $(?ops ! \text{index } ?ops op) \in ?A$ 
  using calculation(2, 3)
  by blast
ultimately have  $op \in \text{set } ?\pi_k$ 
  using decode-plan-step-element-then-i[OF assms(3)]
  by auto
hence False
  using False
  by blast
}
thus  $?thesis$ 
  by blast
next
  case False
  then have  $op \notin \{ op \in \text{set } ?ops. v \in \text{set } (\text{delete-effects-of } op) \}$ 
    by blast
moreover have  $?delete =$ 
 $(\lambda op. (\text{Operator } k (\text{index } ?ops op))^+)$ 
 $\quad \{ op \in \text{set } ?ops. v \in \text{set } (\text{delete-effects-of } op) \}$ 
  using setcompr-eq-image[of  $\lambda op. (\text{Operator } k (\text{index } ?ops op))^+$ 
 $\quad \lambda op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{delete-effects-of } op)$ ]
  by blast

ultimately have  $(\text{Operator } k (\text{index } ?ops op))^+ \notin ?delete$ 
  by force
thus  $?thesis$  using operator-encoding-in-delete
  by blast
qed
qed
}
ultimately have  $\forall op. op \in ?add \longrightarrow \neg \text{lit-semantics } \mathcal{A} op$ 
and  $\forall op. op \in ?delete \longrightarrow \neg \text{lit-semantics } \mathcal{A} op$ 
  by blast+
} note  $nb = this$ 
{
let  $?Ops = \{ (\text{Operator } k (\text{index } ?ops op))^+$ 
 $\quad | op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{add-effects-of } op) \}$ 
have  $?Ops \subseteq ?C$ 
  by blast
moreover have  $?C - ?Ops = \{ (\text{State } k (\text{index } ?vs v))^+, (\text{State } (\text{Suc } k)$ 
 $(\text{index } ?vs v))^{-1} \}$ 
  by fast
moreover have  $\forall L \in ?Ops. \neg \text{lit-semantics } \mathcal{A} L$ 
  using  $nb(1)$ 
  by blast

```

```

ultimately have clause-semantics  $\mathcal{A}$   $?C$ 
  = clause-semantics  $\mathcal{A}$  { (State  $k$  (index  $?vs v$ )) $^+$ , (State (Suc  $k$ ) (index  $?vs v$ )) $^{-1}$  }
    using lit-semantics-reducible-to-subset-if[of  $?Ops ?C$ ]
    by presburger
} moreover {
let  $?Ops' = \{ (\text{Operator } k (\text{index } ?ops op))^+ | op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{delete-effects-of } op) \}$ 
have  $?Ops' \subseteq ?C'$ 
  by blast
moreover have  $?C' - ?Ops' = \{ (\text{State } k (\text{index } ?vs v))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{+} \}$ 
  by fast
moreover have  $\forall L \in ?Ops'. \neg \text{lit-semantics } \mathcal{A} L$ 
  using nb(2)
  by blast

ultimately have clause-semantics  $\mathcal{A}$   $?C'$ 
  = clause-semantics  $\mathcal{A}$  { (State  $k$  (index  $?vs v$ )) $^{-1}$ , (State (Suc  $k$ ) (index  $?vs v$ )) $^+$  }
    using lit-semantics-reducible-to-subset-if[of  $?Ops' ?C'$ ]
    by presburger
} moreover {
have cnf-semantics- $\mathcal{A}$ :cnf-semantics  $\mathcal{A}$  (cnf  $?F$ )
  using valuation-models-encoding-cnf-formula-equals[ $OF \text{ assms}(1)$ ]  $\text{assms}(2)$ 
  by blast
have k-v-included:  $(k, v) \in (\{.. < t\} \times \text{set } ((\Pi)_V))$ 
  using assms(3, 4)
  by blast

have c-in-un-a:  $?C \in \bigcup ?A$  and c'-in-un-b:  $?C' \in \bigcup ?B$ 
  using k-v-included
  by force+

then have  $?C \in \text{cnf } ?F$  and  $?C' \in \text{cnf } ?F$ 
  subgoal
    using cnf-of-encode-all-frame-axioms-structure UnI1[of  $?C \bigcup ?A \bigcup ?B$ ]
  c-in-un-a
    by metis
  subgoal
    using cnf-of-encode-all-frame-axioms-structure UnI2[of  $?C' \bigcup ?B \bigcup ?A$ ]
  c'-in-un-b
    by metis
  done
then have {  $?C$  }  $\subseteq \text{cnf } ?F$  and c'-subset-frame-axiom-encoding: {  $?C'$  }  $\subseteq \text{cnf } ?F$ 
  by blast+
then have {  $?C$  }  $\subseteq \text{cnf } ?\Phi$  and {  $?C'$  }  $\subseteq \text{cnf } ?\Phi$ 
  subgoal

```

```

using operator-encoding-subset-encoding
by fast
subgoal
  using c'-subset-frame-axiom-encoding operator-encoding-subset-encoding
  by fast
done

hence cnf-semantics  $\mathcal{A} \{ ?C \}$  and cnf-semantics  $\mathcal{A} \{ ?C' \}$ 
  using cnf-semantics- $\mathcal{A}$ - $\Phi$  model-for-cnf-is-model-of-all-subsets
  by fastforce+
}
ultimately show cnf-semantics  $\mathcal{A} \{ \{ (\text{State } k (\text{index } ?vs v))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^+ \} \}$ 
  and cnf-semantics  $\mathcal{A} \{ \{ (\text{State } k (\text{index } ?vs v))^+, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \} \}$ 
  unfolding cnf-semantics-def
  by blast+
qed

lemma encode-problem-parallel-correct-v:
assumes is-valid-problem-strips  $\Pi$ 
and  $\mathcal{A} \models \Phi \Pi t$ 
and  $k < \text{length } (\Phi^{-1} \Pi \mathcal{A} t)$ 
shows  $(\Phi_S^{-1} \Pi \mathcal{A} (\text{Suc } k)) = \text{execute-parallel-operator } (\Phi_S^{-1} \Pi \mathcal{A} k) ((\Phi^{-1} \Pi \mathcal{A} t) ! k)$ 
proof -
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problemoperators-of  $\Pi$ 
and ? $\pi$  =  $\Phi^{-1} \Pi \mathcal{A} t$ 
and ? $s_k$  =  $\Phi_S^{-1} \Pi \mathcal{A} k$ 
and ? $s'_k$  =  $\Phi_S^{-1} \Pi \mathcal{A} (\text{Suc } k)$ 
let ? $t'_k$  = execute-parallel-operator ? $s_k$  (? $\pi$  ! k)
and ? $\pi'_k$  = ? $\pi$  ! k
have k-lt-t:  $k < t$  and k-lte-t:  $k \leq t$  and suc-k-lte-t:  $\text{Suc } k \leq t$ 
  using decode-plan-length[of ? $\pi$  !  $\mathcal{A} t$ ] assms(3)
  by (argo, fastforce+)
then have operator-preconditions-hold:
are-all-operators-applicable ? $s_k$  ? $\pi_k$   $\wedge$  are-all-operator-effects-consistent ? $\pi_k$ 
using encode-problem-parallel-correct-ii[OF assms(1, 2, 3)]
by blast
— We show the goal in classical fashion by proving that

```

$$\begin{aligned} \Phi_S^{-1} \Pi \mathcal{A} (\text{Suc } k) v \\ = \text{execute-parallel-operator } (\Phi_S^{-1} \Pi \mathcal{A} k) \\ ((\Phi^{-1} \Pi \mathcal{A} t) ! k) v \end{aligned}$$

—i.e. the state decoded at time $k + 1$ is equivalent to the state obtained by executing the parallel operator $(\Phi^{-1} \Pi \mathcal{A} t) ! k$ on the previous state $\Phi_S^{-1} \Pi \mathcal{A} k$ —for all variables v given $k < t$, a model \mathcal{A} , and makespan t .

moreover {

```

{
fix v
assume v-in-dom- $s_k'$ : $v \in \text{dom } ?s_k'$ 
then have  $?s_k'$ -not-none:  $?s_k' v \neq \text{None}$ 
by blast
hence  $?s_k' v = ?t_k' v$ 
proof (cases  $\exists op \in \text{set } ?\pi_k. v \in \text{set } (\text{add-effects-of } op) \vee v \in \text{set } (\text{delete-effects-of } op)$ )
case True
then obtain op
where op-in- $\pi_k$ :  $op \in \text{set } ?\pi_k$ 
and  $v \in \text{set } (\text{add-effects-of } op) \vee v \in \text{set } (\text{delete-effects-of } op)$ 
by blast
then consider (v-is-add-effect)  $v \in \text{set } (\text{add-effects-of } op)$ 
| (v-is-delete-effect)  $v \in \text{set } (\text{delete-effects-of } op)$ 
by blast
then show ?thesis
proof (cases)
case v-is-add-effect
then have  $?s_k' v = \text{Some True}$ 
using encode-problem-parallel-correct-iii(1)[OF assms(1, 2, 3)
op-in- $\pi_k$ ]
v-is-add-effect
by blast
moreover have are-all-operators-applicable  $(\Phi_S^{-1} \amalg \mathcal{A} k) ((\Phi^{-1} \amalg \mathcal{A} t) ! k)$ 
and are-all-operator-effects-consistent  $((\Phi^{-1} \amalg \mathcal{A} t) ! k)$ 
using operator-preconditions-hold v-is-add-effect
by blast+
moreover have  $?t_k' v = \text{Some True}$ 
using execute-parallel-operator-positive-effect-if[of
 $\Phi_S^{-1} \amalg \mathcal{A} k (\Phi^{-1} \amalg \mathcal{A} t) ! k]$  op-in- $\pi_k$ 
v-is-add-effect calculation(2, 3)
by blast
ultimately show ?thesis
by argo
next
case v-is-delete-effect
then have  $?s_k' v = \text{Some False}$ 
using encode-problem-parallel-correct-iii(2)[OF assms(1, 2, 3)
op-in- $\pi_k$ ]
v-is-delete-effect
by blast
moreover have are-all-operators-applicable  $(\Phi_S^{-1} \amalg \mathcal{A} k) ((\Phi^{-1} \amalg \mathcal{A} t) ! k)$ 
and are-all-operator-effects-consistent  $((\Phi^{-1} \amalg \mathcal{A} t) ! k)$ 
using operator-preconditions-hold
by blast+
moreover have  $?t_k' v = \text{Some False}$ 

```

```

using execute-parallel-operator-effect(2) op-in- $\pi_k$ 
    v-is-delete-effect calculation(2, 3)
by fast
moreover have ? $t_k'$  v = Some False
    by (meson execute-parallel-operator-negative-effect-if op-in- $\pi_k$  operator-preconditions-hold v-is-delete-effect)
ultimately show ?thesis
    by argo
qed
next
case False

then have ? $t_k'$  v = ? $s_k$  v
using execute-parallel-operator-no-effect-if
    by fastforce
moreover {
    have v-in-set-vs: v  $\in$  set (( $\Pi$ ) $v$ )
    using decode-state-at-valid-variable[OF  $s_k'$ -not-none].
then have state-propagation-positive:
    cnf-semantics  $\mathcal{A}$  {((State k (index ?vs v)) $^{-1}$ 
        , (State (Suc k) (index ?vs v)) $^{+}$ )}
and state-propagation-negative:
    cnf-semantics  $\mathcal{A}$  {((State k (index ?vs v)) $^{+}$ 
        , (State (Suc k) (index ?vs v)) $^{-1}$ )}
    using encode-problem-parallel-correct-iv[OF assms(1, 2) k-lt-t - False]
    by fastforce+
consider ( $s_k'$ -v-positive) ? $s_k'$  v = Some True
    | ( $s_k'$ -v-negative) ? $s_k'$  v = Some False
    using  $s_k'$ -not-none
    by fastforce
hence ? $s_k'$  v = ? $s_k$  v
proof (cases)
    case  $s_k'$ -v-positive
    then have lit-semantics  $\mathcal{A}$  ((State (Suc k) (index ?vs v)) $^{+}$ )
    using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
        assms(1, 2) suc-k-lte-t v-in-set-vs]
    by fastforce

    then have lit-semantics  $\mathcal{A}$  ((State k (index ?vs v)) $^{+}$ )
    using state-propagation-negative
    unfolding cnf-semantics-def clause-semantics-def
    by fastforce
    then show ?thesis
    using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
        assms(1, 2) k-lte-t v-in-set-vs]  $s_k'$ -v-positive
    by fastforce
next
case  $s_k'$ -v-negative
then have  $\neg$ lit-semantics  $\mathcal{A}$  ((State (Suc k) (index ?vs v)) $^{+}$ )

```

```

using decode-state-at-encoding-variables-equals-some-of-valuation-if[
    OF assms(1, 2) suc-k-lte-t v-in-set-vs]
by fastforce

then have  $\neg$ lit-semantics  $\mathcal{A}$  ((State k (index ?vs v))+)
using state-propagation-positive
unfolding cnf-semantics-def clause-semantics-def
by fastforce
then show ?thesis
using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
    assms(1, 2) k-lte-t v-in-set-vs]  $s_k' \neg v$ -negative
by fastforce
qed
}

ultimately show ?thesis
by argo
qed
}
hence  $?s_k' \subseteq_m ?t_k'$ 
using map-le-def
by blast
}
moreover {
{
fix v
assume  $v \in \text{dom } ?t_k'$ 
then have  $?t_k'$ -not-none:  $?t_k' v \neq \text{None}$ 
by blast
{
{
assume contradiction:  $v \notin \text{set } ((\Pi)_v)$ 
then have  $(\Phi_S^{-1} \Pi \mathcal{A} k) v = \text{None}$ 
using decode-state-at-valid-variable
by fastforce
then obtain op
where op-in:  $op \in \text{set } ((\Phi^{-1} \Pi \mathcal{A} t) ! k)$ 
and v-is-or:  $v \in \text{set } (\text{add-effects-of } op)$ 
 $\vee v \in \text{set } (\text{delete-effects-of } op)$ 
using execute-parallel-operators-strips-none-if-contraposition[OF
     $t_k'$ -not-none]
by blast
have op-in:  $op \in \text{set } ((\Pi)_\mathcal{O})$ 
using op-in decode-plan-step-element-then(1) k-lt-t
by blast
consider (A)  $v \in \text{set } (\text{add-effects-of } op)$ 
| (B)  $v \in \text{set } (\text{delete-effects-of } op)$ 
using v-is-or
by blast
hence False

```

```

proof (cases)
  case A
    then have  $v \in \text{set } ((\Pi)_V)$ 
    using is-valid-problem-strips-operator-variable-sets(2)[OF assms(1)] op-in A
    by blast
    thus False
    using contradiction
    by blast
  next
    case B
      then have  $v \in \text{set } ((\Pi)_V)$ 
      using is-valid-problem-strips-operator-variable-sets(3)[OF assms(1)] op-in B
      by blast
      thus False
      using contradiction
      by blast
    qed
  }
}
hence v-in-set-vs: v ∈ set ((Π)ᵥ)
by blast
hence  $?t_k' v = ?s_k' v$ 
proof (cases ( $\exists op \in \text{set } ?\pi_k. v \in \text{set } (\text{add-effects-of } op) \vee v \in \text{set } (\text{delete-effects-of } op))$ )
  case True
  then obtain op
  where op-in-set-πₖ: op ∈ set ?πₖ
  and v-options: v ∈ set (add-effects-of op) ∨ v ∈ set (delete-effects-of op)
  by blast
  then have op ∈ set ((Π)ₒ)
  using decode-plan-step-element-then[OF k-lt-t]
  by blast
  then consider (v-is-add-effect)  $v \in \text{set } (\text{add-effects-of } op)$ 
  | (v-is-delete-effect)  $v \in \text{set } (\text{delete-effects-of } op)$ 
  using v-options
  by blast
  thus ?thesis
  proof (cases)
    case v-is-add-effect
    then have  $?t_k' v = \text{Some True}$ 
    using execute-parallel-operator-positive-effect-if[OF -- op-in-set-πₖ operator-preconditions-hold]
    by blast
    moreover have  $?s_k' v = \text{Some True}$ 
    using encode-problem-parallel-correct-iii(1)[OF assms(1, 2, 3)]
 $op \in \text{set } ?\pi_k]$ 
v-is-add-effect

```

```

    by blast
ultimately show ?thesis
    by argo
next
    case v-is-delete-effect
    then have ?tk' v = Some False
        using execute-parallel-operator-negative-effect-if[OF -- op-in-set- $\pi_k$ ]
        operator-preconditions-hold
        by blast
    moreover have ?sk' v = Some False
        using encode-problem-parallel-correct-iii(2)[OF assms(1, 2, 3)
op-in-set- $\pi_k$ ]
            v-is-delete-effect
            by blast
    ultimately show ?thesis
        by argo
qed
next
    case False
    have state-propagation-positive:
        cnf-semantics  $\mathcal{A}$  {{(State k (index ?vs v))-1, (State (Suc k) (index ?vs v))+}}
    and state-propagation-negative:
        cnf-semantics  $\mathcal{A}$  {{(State k (index ?vs v))+, (State (Suc k) (index ?vs v))-1}}
    using encode-problem-parallel-correct-iv[OF assms(1, 2) k-lt-t v-in-set-vs
False]
    by blast+
{
    have all-op-in-set- $\pi_k$ -have-no-effect:
         $\forall op \in set \ ?\pi_k. v \notin set (add-effects-of op) \wedge v \notin set (delete-effects-of op)$ 
        using False
        by blast
    then have ?tk' v = ?sk v
    using execute-parallel-operator-no-effect-if[OF all-op-in-set- $\pi_k$ -have-no-effect]
        by blast
} note tk'-equals-sk = this
{
    have ?sk v ≠ None
        using tk'-not-none tk'-equals-sk
        by argo
    then consider (sk-v-is-some-true) ?sk v = Some True
        | (sk-v-is-some-false) ?sk v = Some False
        by fastforce
}
then show ?thesis
proof (cases)
case sk-v-is-some-true

```

```

moreover {
  have lit-semantics A ((State k (index ?vs v))+)
  using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
    assms(1, 2) k-lte-t v-in-set-vs] sk-v-is-some-true
  by simp
  then have lit-semantics A ((State (Suc k) (index ?vs v))+)
  using state-propagation-positive
  unfolding cnf-semantics-def clause-semantics-def
  by fastforce
  then have ?sk' v = Some True
  using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
    assms(1, 2) suc-k-lte-t v-in-set-vs]
  by fastforce
}
ultimately show ?thesis
using tk'-equals-sk
by simp
next
case sk-v-is-some-false
moreover {
  have lit-semantics A ((State k (index ?vs v))-1)
  using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
    assms(1, 2) k-lte-t v-in-set-vs] sk-v-is-some-false
  by simp
  then have lit-semantics A ((State (Suc k) (index ?vs v))-1)
  using state-propagation-negative
  unfolding cnf-semantics-def clause-semantics-def
  by fastforce
  then have ?sk' v = Some False
  using decode-state-at-encoding-variables-equals-some-of-valuation-if[OF
    assms(1, 2) suc-k-lte-t v-in-set-vs]
  by fastforce
}
ultimately show ?thesis
using tk'-equals-sk
by simp
qed
qed
}
hence ?tk' ⊆m ?sk'
using map-le-def
by blast
}
ultimately show ?thesis
using map-le-antisym
by blast
qed

```

lemma encode-problem-parallel-correct-vi:

```

assumes is-valid-problem-strips  $\Pi$ 
  and  $\mathcal{A} \models \Phi \Pi t$ 
  and  $k < \text{length}(\text{trace-parallel-plan-strips}((\Pi)_I)(\Phi^{-1} \Pi \mathcal{A} t))$ 
shows trace-parallel-plan-strips  $((\Pi)_I)(\Phi^{-1} \Pi \mathcal{A} t) ! k$ 
  =  $\Phi_S^{-1} \Pi \mathcal{A} k$ 
using assms
proof -
let ?I =  $(\Pi)_I$ 
  and ? $\pi$  =  $\Phi^{-1} \Pi \mathcal{A} t$ 
let ? $\tau$  = trace-parallel-plan-strips ?I ? $\pi$ 
show ?thesis
  using assms
proof (induction k)
  case 0
  hence ? $\tau$  ! 0 = ?I
    using trace-parallel-plan-strips-head-is-initial-state
    by blast
  moreover have  $\Phi_S^{-1} \Pi \mathcal{A} 0 = ?I$ 
    using decode-state-at-initial-state[OF assms(1, 2)]
    by simp
  ultimately show ?case
    by simp
next
  case (Suc k)
  let ? $\tau_k$  = trace-parallel-plan-strips ?I ? $\pi$  ! k
    and ? $s_k$  =  $\Phi_S^{-1} \Pi \mathcal{A} k$ 
  have k-lt-length- $\tau$ -minus-one:  $k < \text{length} ?\tau - 1$  and k-lt-length- $\tau$ :  $k < \text{length} ?\tau$ 
  using Suc.prem(3)
  by linarith+
— Use the induction hypothesis to obtain the proposition for the previous step
k. Then, show that applying the k-th parallel operator in the plan  $\pi$  on either the
state obtained from the trace or decoded from the model yields the same successor
state.
{
  have ? $\tau$  ! k = execute-parallel-plan ?I (take k ? $\pi$ )
    using trace-parallel-plan-plan-prefix k-lt-length- $\tau$ 
    by blast
  hence ? $\tau_k$  = ? $s_k$ 
    using Suc.IH[OF assms(1, 2) k-lt-length- $\tau$ ]
    by blast
}
moreover have trace-parallel-plan-strips ?I ? $\pi$  ! Suc k
  = execute-parallel-operator ? $\tau_k$  (? $\pi$  ! k)
  using trace-parallel-plan-step-effect-is[OF k-lt-length- $\tau$ -minus-one]
  by blast
moreover {
  thm Suc.prem(3)
  have length(trace-parallel-plan-strips ?I ? $\pi$ ) ≤ length ? $\pi$  + 1
}

```

```

using length-trace-parallel-plan-strips-lte-length-plan-plus-one
by blast
then have  $k < \text{length } ?\pi$ 
using Suc.prems(3)
unfolding Suc.eq-plus1
by linarith
hence  $\Phi_S^{-1} \Pi \mathcal{A} (\text{Suc } k)$ 
 $= \text{execute-parallel-operator } ?s_k (?\pi ! k)$ 
using encode-problem-parallel-correct-v[OF assms(1, 2)]
by simp
}
ultimately show ?case
by argo
qed
qed

lemma encode-problem-parallel-correct-vii:
assumes is-valid-problem-strips  $\Pi$ 
and  $\mathcal{A} \models \Phi \Pi t$ 
shows length (map (decode-state-at  $\Pi \mathcal{A}$ )
 $[0..<\text{Suc}(\text{length } (\Phi^{-1} \Pi \mathcal{A} t))])$ 
 $= \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) (\Phi^{-1} \Pi \mathcal{A} t))$ 
proof –
let  $?I = (\Pi)_I$ 
and  $?_\pi = \Phi^{-1} \Pi \mathcal{A} t$ 
let  $?_\sigma = \text{map } (\text{decode-state-at } \Pi \mathcal{A}) [0..<\text{Suc}(\text{length } ?_\pi)]$ 
and  $?_\tau = \text{trace-parallel-plan-strips } ?I ?_\pi$ 
let  $?l = \text{length } ?_\tau$ 
let  $?k = ?l - 1$ 
show ?thesis
proof (rule ccontr)
assume length- $\sigma$ -neq-length- $\tau$ :  $\text{length } ?_\sigma \neq \text{length } ?_\tau$ 
{
have  $\text{length } ?_\sigma = \text{length } ?_\pi + 1$ 
by fastforce
moreover have  $\text{length } ?_\tau \leq \text{length } ?_\pi + 1$ 
using length-trace-parallel-plan-strips-lte-length-plan-plus-one
by blast
moreover have  $\text{length } ?_\tau < \text{length } ?_\pi + 1$ 
using length- $\sigma$ -neq-length- $\tau$  calculation
by linarith
} note  $nb_1 = \text{this}$ 
{
have  $0 < \text{length } ?_\tau$ 
using trace-parallel-plan-strips-not-nil..
then have  $\text{length } ?_\tau - 1 < \text{length } ?_\pi$ 
using  $nb_1$ 
by linarith
} note  $nb_2 = \text{this}$ 

```

```

{
  obtain k' where length ?τ = Suc k'
    using less-imp-Suc-add[OF length-trace-parallel-plan-gt-0]
    by blast
  hence ?k < length ?π
    using nb2
    by blast
} note nb3 = this
{
  have ?τ ! ?k = execute-parallel-plan ?I (take ?k ?π)
    using trace-parallel-plan-plan-prefix[of ?k]
      length-trace-minus-one-lt-length-trace
    by blast
  thm encode-problem-parallel-correct-vi[OF assms(1, 2)] nb3
  moreover have (ΦS-1 Π A ?k) = ?τ ! ?k
    using encode-problem-parallel-correct-vi[OF assms(1, 2)
      length-trace-minus-one-lt-length-trace]..
  ultimately have (ΦS-1 Π A ?k) = execute-parallel-plan ?I (take ?k ?π)
    by argo
} note nb4 = this
{
  have are-all-operators-applicable (ΦS-1 Π A ?k) (?π ! ?k)
    and are-all-operator-effects-consistent (?π ! ?k)
    using encode-problem-parallel-correct-ii(1, 2)[OF assms(1, 2)] nb3
    by blast+
  — Unsure why calculation(1, 2) is needed for this proof step. Should just
  require the default proof.
  moreover have ¬are-all-operators-applicable (ΦS-1 Π A ?k) (?π ! ?k)
    and ¬are-all-operator-effects-consistent (?π ! ?k)
    using length-trace-parallel-plan-strips-lt-length-plan-plus-one-then[OF nb1]
      calculation(1, 2)
    unfolding nb3 nb4
    by blast+
  ultimately have False
    by blast
}
thus False.
qed
qed

lemma encode-problem-parallel-correct-x:
  assumes is-valid-problem-strips Π
  and A ⊨ Φ Π t
  shows map (decode-state-at Π A)
    [0..<Suc (length (Φ-1 Π A t))]
    = trace-parallel-plan-strips ((Π)I) (Φ-1 Π A t)
proof -
  let ?I = (Π)I
  and ?π = Φ-1 Π A t

```

```

let ?σ = map (decode-state-at Π A) [0.. $<$ Suc (length ?π)]
  and ?τ = trace-parallel-plan-strips ?I ?π
{
  have length ?τ = length ?σ
    using encode-problem-parallel-correct-vii[OF assms]..
  moreover {
    fix k
    assume k-lt-length-τ: k < length ?τ
    then have trace-parallel-plan-strips ((Π)I) (Φ-1 Π A t) ! k
      = ΦS-1 Π A k
      using encode-problem-parallel-correct-vi[OF assms]
      by blast
    moreover {
      have length ?τ ≤ length ?π + 1
        using length-trace-parallel-plan-strips-lte-length-plan-plus-one
        by blast
      then have k < length ?π + 1
        using k-lt-length-τ
        by linarith
      then have k < Suc (length ?π) - 0
        by simp
      hence ?σ ! k = ΦS-1 Π A k
        using nth-map-up[of k Suc (length ?π) 0]
        by auto
    }
    ultimately have ?τ ! k = ?σ ! k
      by argo
  }
  ultimately have ?τ = ?σ
    using list-eq-iff-nth-eq[of ?τ ?σ]
    by blast
}
thus ?thesis
  by argo
qed

lemma encode-problem-parallel-correct-xi:
  fixes Π:: 'a strips-problem
  assumes is-valid-problem-strips Π
  and A ⊨ Φ Π t
  and ops ∈ set (Φ-1 Π A t)
  and op ∈ set ops
  shows op ∈ set ((Π)O)
proof -
  let ?π = Φ-1 Π A t
  have length ?π = t
    using decode-plan-length
    by force
  moreover obtain k where k < length ?π and ops = ?π ! k

```

```

using in-set-conv-nth[of ops ?π] assms(3)
unfolding calculation
by blast
ultimately show ?thesis
  using assms(4) decode-plan-step-element-then(1)
  by force
qed

```

To show soundness, we have to prove the following: given the existence of a model \mathcal{A} of the basic SATPlan encoding $\Phi \Pi t$ for a given valid problem Π and hypothesized plan length t , the decoded plan $\pi \equiv \Phi^{-1} \Pi \mathcal{A} t$ is a parallel solution for Π .

We show this theorem by showing equivalence between the execution trace of the decoded plan and the sequence of states

$$\sigma = \text{map } (\lambda k. \Phi_S^{-1} \Pi \mathcal{A} k) [0..<\text{Suc } (\text{length } ?\pi)]$$

decoded from the model \mathcal{A} . Let

$$\tau \equiv \text{trace-parallel-plan-strips } I \pi$$

be the trace of π . Theorem ?? first establishes the equality $\sigma = \tau$ of the decoded state sequence and the trace of π . We can then derive that $G \subseteq_m \text{last } \sigma$ by lemma ??, i.e. the last state reached by plan execution (and moreover the last state decoded from the model), satisfies the goal state G defined by the problem. By lemma ??, we can conclude that π is a solution for I and G .

Moreover, we show that all operators op in all parallel operators $ops \in \text{set } \pi$ are also contained in \mathcal{O} . This is the case because the plan decoding function reverses the encoding function (which only encodes operators in \mathcal{O}).

By definition ?? this means that π is a parallel solution for Π . Moreover π has length t as confirmed by lemma .⁹

```

theorem encode-problem-parallel-sound:
assumes is-valid-problem-strips  $\Pi$ 
and  $\mathcal{A} \models \Phi \Pi t$ 
shows is-parallel-solution-for-problem  $\Pi (\Phi^{-1} \Pi \mathcal{A} t)$ 
proof -
  let ?ops = strips-problem.operators-of  $\Pi$ 
  and ?I =  $(\Pi)_I$ 
  and ?G =  $(\Pi)_G$ 
  and ?π =  $\Phi^{-1} \Pi \mathcal{A} t$ 
  let ?σ = map  $(\lambda k. \Phi_S^{-1} \Pi \mathcal{A} k) [0..<\text{Suc } (\text{length } ?\pi)]$ 
    and ?τ = trace-parallel-plan-strips ?I ?π
  {

```

⁹This lemma is used in the proof but not shown.

```

have ?σ = ?τ
  using encode-problem-parallel-correct-x[OF assms].
moreover {
  have length ?π = t
    using decode-plan-length
    by auto
  then have ?G ⊆m last ?σ
    using decode-state-at-goal-state[OF assms]
    by simp
}
ultimately have ((Π)G) ⊆m execute-parallel-plan ((Π)I) (Φ-1 Π A t)
  using execute-parallel-plan-reaches-goal-iff-goal-is-last-element-of-trace
  by auto
}
moreover have ∀ ops ∈ set ?π. ∀ op ∈ set ops. op ∈ set ((Π)O)
  using encode-problem-parallel-correct-xi[OF assms(1, 2)]
  by auto
ultimately show ?thesis
  unfolding is-parallel-solution-for-problem-def
  unfolding list-all-iff ListMem-iff operators-of-def STRIPS-Representation.operators-of-def
  by fastforce
qed

```

value stop

7.4 Completeness

definition empty-valuation :: sat-plan-variable valuation ($\langle \mathcal{A}_0 \rangle$)
where empty-valuation ≡ (λ-. False)

abbreviation valuation-for-state
:: 'variable list
⇒ 'variable strips-state
⇒ nat
⇒ 'variable
⇒ sat-plan-variable valuation
⇒ sat-plan-variable valuation
where valuation-for-state vs s k v A
≡ A(State k (index vs v) := (s v = Some True))

— Since the trace may be shorter than the plan length even though the last trace element subsumes the goal state—namely in case plan execution is impossible due to violation of the execution condition but the reached state serendipitously subsumes the goal state—, we also have to repeat the valuation for all time steps $k' \in \{length \tau..length \pi + 1\}$ for all $v \in \mathcal{V}$ (see \mathcal{A}_2).

definition valuation-for-state-variables
:: 'variable strips-problem
⇒ 'variable strips-operator list list
⇒ 'variable strips-state list

```

⇒ sat-plan-variable valuation
where valuation-for-state-variables  $\Pi \pi \tau \equiv$  let
   $t' = \text{length } \tau$ 
  ;  $\tau_\Omega = \tau ! (t' - 1)$ 
  ;  $vs = \text{variables-of } \Pi$ 
  ;  $V_1 = \{ \text{State } k (\text{index } vs v) \mid k v. k \in \{0..<t'\} \wedge v \in \text{set } vs \}$ 
  ;  $V_2 = \{ \text{State } k (\text{index } vs v) \mid k v. k \in \{t'..(\text{length } \pi + 1)\} \wedge v \in \text{set } vs \}$ 
  ;  $\mathcal{A}_1 = \text{foldr}$ 
     $(\lambda(k, v) \mathcal{A}. \text{valuation-for-state} (\text{variables-of } \Pi) (\tau ! k) k v \mathcal{A})$ 
     $(\text{List.product} [0..<t'] vs)$ 
   $\mathcal{A}_0$ 
  ;  $\mathcal{A}_2 = \text{foldr}$ 
     $(\lambda(k, v) \mathcal{A}. \text{valuation-for-state} (\text{variables-of } \Pi) \tau_\Omega k v \mathcal{A})$ 
     $(\text{List.product} [t'..<\text{length } \pi + 2] vs)$ 
   $\mathcal{A}_0$ 
  in override-on (override-on  $\mathcal{A}_0 \mathcal{A}_1 V_1 \mathcal{A}_2 V_2$ )

```

— The valuation is left to yield false for the potentially remaining $k' \in \{\text{length } \tau.. \text{length } \pi + 1\}$ since no more operators are executed after the trace ends anyway. The definition of \mathcal{A}_0 as the valuation that is false for every argument ensures this implicitly.

```

definition valuation-for-operator-variables
  :: 'variable strips-problem
  ⇒ 'variable strips-operator list list
  ⇒ 'variable strips-state list
  ⇒ sat-plan-variable valuation
where valuation-for-operator-variables  $\Pi \pi \tau \equiv$  let
   $ops = \text{operators-of } \Pi$ 
  ;  $Op = \{ \text{Operator } k (\text{index } ops op) \mid k op. k \in \{0..<\text{length } \tau - 1\} \wedge op \in \text{set } ops \}$ 
  in override-on
   $\mathcal{A}_0$ 
  ( $\text{foldr}$ 
     $(\lambda(k, op) \mathcal{A}. \mathcal{A}(\text{Operator } k (\text{index } ops op) := \text{True}))$ 
     $(\text{concat} (\text{map} (\lambda k. \text{map} (\text{Pair } k) (\pi ! k)) [0..<\text{length } \tau - 1]))$ 
   $\mathcal{A}_0$ )
  Op

```

The completeness proof requires that we show that the SATPlan encoding $\Phi \Pi t$ of a problem Π has a model \mathcal{A} in case a solution π with length t exists. Since a plan corresponds to a state trace $\tau \equiv \text{trace-parallel-plan-strips } I \pi$ with

$$\tau ! k = \text{execute-parallel-plan } I (\text{take } k \pi)$$

for all $k < \text{length } \tau$ we can construct a valuation \mathcal{A}_V modeling the state sequence in τ by letting

$$\mathcal{A}(\text{State } k (\text{index } vs v) := (s v = \text{Some True}))$$

or all $v \in \mathcal{V}$ where $s \equiv \tau ! k$.¹⁰

Similarly to \mathcal{A}_V , we obtain an operator valuation \mathcal{A}_O by defining

$$\mathcal{A}(\text{Operator } k \text{ (index } ops \text{ op)} := \text{True})$$

for all operators $op \in \mathcal{O}$ s.t. $op \in \text{set } (\pi ! k)$ for all $k < \text{length } \tau - 1$.

The overall valuation for the plan execution \mathcal{A} can now be constructed by combining the state variable valuation \mathcal{A}_V and operator valuation \mathcal{A}_O .

```
definition valuation-for-plan
  :: 'variable_strips-problem
  ⇒ 'variable_strips-operator list list
  ⇒ sat-plan-variable valuation
where valuation-for-plan Π π ≡ let
  vs = variables-of Π
  ; ops = operators-of Π
  ; τ = trace-parallel-plan-strips (initial-of Π) π
  ; t = length π
  ; t' = length τ
  ; A_V = valuation-for-state-variables Π π τ
  ; A_O = valuation-for-operator-variables Π π τ
  ; V = { State k (index vs v)
    | k v. k ∈ {0..<t + 1} ∧ v ∈ set vs }
  ; Op = { Operator k (index ops op)
    | k op. k ∈ {0..<t} ∧ op ∈ set ops }
  in override-on (override-on A_0 A_V V) A_O Op
```

— Show that in case of an encoding with makespan zero, it suffices to show that a given model satisfies the initial state and goal state encodings.

```
lemma model-of-encode-problem-makespan-zero-iff:
   $\mathcal{A} \models \Phi \Pi 0 \longleftrightarrow \mathcal{A} \models \Phi_I \Pi \wedge (\Phi_G \Pi) 0$ 
proof –
  have encode-operators Π 0 =  $\neg\perp \wedge \neg\perp$ 
  unfolding encode-operators-def encode-all-operator-effects-def
  encode-all-operator-preconditions-def
  by simp
  moreover have encode-all-frame-axioms Π 0 =  $\neg\perp$ 
  unfolding encode-all-frame-axioms-def
  by simp
  ultimately show ?thesis
  unfolding encode-problem-def SAT-Plan-Base.encode-problem-def encode-initial-state-def
  encode-goal-state-def
  by simp
qed
```

¹⁰It is helpful to remember at this point, that the trace elements of a solution contain the states reached by plan prefix execution (lemma ??).

```

lemma empty-valution-is-False[simp]:  $\mathcal{A}_0 v = \text{False}$ 
  unfolding empty-validation-def..

lemma model-initial-state-set-valuations:
  assumes is-valid-problem-strips  $\Pi$ 
  shows set (map ( $\lambda v.$  case  $((\Pi)_I)$   $v$  of Some  $b$ 
     $\Rightarrow \mathcal{A}_0(\text{State } 0 (\text{index} (\text{strips-problem.variables-of } \Pi) v) := b)$ 
     $| - \Rightarrow \mathcal{A}_0)$ 
    (strips-problem.variables-of  $\Pi))$ 
   $= \{ \mathcal{A}_0(\text{State } 0 (\text{index} (\text{strips-problem.variables-of } \Pi) v) := \text{the} (((\Pi)_I) v))$ 
     $| v. v \in \text{set} ((\Pi)_V) \}$ 
proof -
  let  $?I = (\Pi)_I$ 
  and  $?vs = \text{strips-problem.variables-of } \Pi$ 
  let  $?f = \lambda v.$  case  $((\Pi)_I)$   $v$  of Some  $b$ 
   $\Rightarrow \mathcal{A}_0(\text{State } 0 (\text{index} ?vs v) := b) | - \Rightarrow \mathcal{A}_0$ 
  and  $?g = \lambda v.$   $\mathcal{A}_0(\text{State } 0 (\text{index} ?vs v) := \text{the} (?I v))$ 
  let  $?As = \text{map } ?f ?vs$ 
  have  $nb_1 : \text{dom } ?I = \text{set} ((\Pi)_V)$ 
  using is-valid-problem-strips-initial-of-dom assms
  by fastforce
  {
    {
      fix  $v$ 
      assume  $v \in \text{dom } ?I$ 
      hence  $?f v = ?g v$ 
      using  $nb_1$ 
      by fastforce
    }
    hence  $?f ` \text{set} ((\Pi)_V) = ?g ` \text{set} ((\Pi)_V)$ 
    using  $nb_1$ 
    by force
  }
  then have  $\text{set } ?As = ?g ` \text{set} ((\Pi)_V)$ 
  unfolding set-map
  by simp
  thus ?thesis
  by blast
qed

```

```

lemma valuation-of-state-variable-implies-lit-semantics-if:
  assumes  $v \in \text{dom } S$ 
  and  $\mathcal{A}(\text{State } k (\text{index } vs v)) = \text{the} (S v)$ 
  shows lit-semantics  $\mathcal{A}(\text{literal-formula-to-literal} (\text{encode-state-variable } k (\text{index } vs v) (S v)))$ 
proof -
  let  $?L = \text{literal-formula-to-literal} (\text{encode-state-variable } k (\text{index } vs v) (S v))$ 

```

```

consider (True)  $S v = \text{Some } \text{True}$ 
| (False)  $S v = \text{Some } \text{False}$ 
using assms(1)
by fastforce
thus ?thesis
unfolding encode-state-variable-def
using assms(2)
by (cases, force+)
qed

lemma foldr-fun-upd:
assumes inj-on f (set xs)
and  $x \in \text{set } xs$ 
shows  $\text{foldr} (\lambda x. A. A(f x := g x)) xs A (f x) = g x$ 
using assms
proof (induction xs)
case (Cons a xs)
then show ?case
proof (cases xs = [])
case True
then have  $x = a$ 
using Cons.prem(2)
by simp
thus ?thesis
by simp
next
case False
thus ?thesis
proof (cases a = x)
next
case False
{
from False
have  $x \in \text{set } xs$ 
using Cons.prem(2)
by simp
moreover have inj-on f (set xs)
using Cons.prem(1)
by fastforce
ultimately have  $(\text{foldr} (\lambda x. A. A(f x := g x)) xs A) (f x) = g x$ 
using Cons.IH
by blast
} moreover {
— Follows from modus tollens on the definition of inj-on.
have  $f a \neq f x$ 
using Cons.prem False
by force
moreover have  $\text{foldr} (\lambda x. A. A(f x := g x)) (a \# xs) A$ 

```

```

= (foldr (λx A. A(f x := g x)) xs A)(f a := g a)
by simp
ultimately have foldr (λx A. A(f x := g x)) (a # xs) A (f x)
= (foldr (λx A. A(f x := g x)) xs A) (f x)
unfolding fun-upd-def
by presburger
} ultimately show ?thesis
by argo
qed simp
qed
qed fastforce

lemma foldr-fun-no-upd:
assumes inj-on f (set xs)
and y ∉ f ` set xs
shows foldr (λx A. A(f x := g x)) xs A y = A y
using assms
proof (induction xs)
case (Cons a xs)
{
have inj-on f (set xs) and y ∉ f ` set xs
using Cons.prems
by (fastforce, simp)
hence foldr (λx A. A(f x := g x)) xs A y = A y
using Cons.IH
by blast
}
moreover {
have f a ≠ y
using Cons.prems(2)
by auto
moreover have foldr (λx A. A(f x := g x)) (a # xs) A
= (foldr (λx A. A(f x := g x)) xs A)(f a := g a)
by simp
ultimately have foldr (λx A. A(f x := g x)) (a # xs) A y
= (foldr (λx A. A(f x := g x)) xs A) y
unfolding fun-upd-def
by presburger
}
ultimately show ?case
by argo
qed simp

```

— We only use the part of the characterization of \mathcal{A} which pertains to the state variables here.

```

lemma encode-problem-parallel-complete-i:
fixes Π::'a strips-problem
assumes is-valid-problem-strips Π
and (Π)G ⊆m execute-parallel-plan ((Π)I) π

```

$\forall v k. k < \text{length} (\text{trace-parallel-plan-strips} ((\Pi)_I) \pi)$
 $\longrightarrow (\mathcal{A} (\text{State } k (\text{index} (\text{strips-problem.variables-of } \Pi) v))$
 $\quad \longleftrightarrow (\text{trace-parallel-plan-strips} ((\Pi)_I) \pi ! k) v = \text{Some True})$
 $\quad \wedge (\neg \mathcal{A} (\text{State } k (\text{index} (\text{strips-problem.variables-of } \Pi) v))$
 $\quad \quad \longleftrightarrow ((\text{trace-parallel-plan-strips} ((\Pi)_I) \pi ! k) v \neq \text{Some True}))$
shows $\mathcal{A} \models \Phi_I \Pi$
proof –
let $?vs = \text{strips-problem.variables-of } \Pi$
and $?I = (\Pi)_I$
and $?G = (\Pi)_G$
and $?Phi_I = \Phi_I \Pi$
let $?tau = \text{trace-parallel-plan-strips} ?I \pi$
 $\{$
fix C
assume $C \in \text{cnf} ?Phi_I$
then obtain v
where $v\text{-in-set-}vs: v \in \text{set } ?vs$
and $C\text{-is: } C = \{ \text{literal-formula-to-literal} (\text{encode-state-variable } 0 (\text{index } ?vs$
 $v) (?I v)) \}$
using $\text{cnf-of-encode-initial-state-set-ii}[OF \text{assms}(1)]$
by auto
 $\{$
have $0 < \text{length } ?tau$
using $\text{trace-parallel-plan-strips-not-nil}$
by blast
then have $\mathcal{A} (\text{State } 0 (\text{index} (\text{strips-problem.variables-of } \Pi) v))$
 $\quad \longleftrightarrow (\text{trace-parallel-plan-strips} ((\Pi)_I) \pi ! 0) v = \text{Some True}$
and $\neg \mathcal{A} (\text{State } 0 (\text{index} (\text{strips-problem.variables-of } \Pi) v))$
 $\quad \longleftrightarrow ((\text{trace-parallel-plan-strips} ((\Pi)_I) \pi ! 0) v \neq \text{Some True})$
using $\text{assms}(3)$
by $(\text{presburger}+)$
 $\} \text{ note nb} = \text{this}$
 $\{$
let $?L = \text{literal-formula-to-literal} (\text{encode-state-variable } 0 (\text{index } ?vs v) (?I$
 $v))$
have $\tau\text{-}0\text{-is: } ?tau ! 0 = ?I$
using $\text{trace-parallel-plan-strips-head-is-initial-state}$
by blast
have $v\text{-in-dom-}I: v \in \text{dom } ?I$
using $\text{is-valid-problem-strips-initial-of-dom assms}(1) v\text{-in-set-}vs$
by fastforce
then consider $(I\text{-}v\text{-is-Some-True}) ?I v = \text{Some True}$
 $| (I\text{-}v\text{-is-Some-False}) ?I v = \text{Some False}$
by fastforce
hence $\text{lit-semantics } \mathcal{A} ?L$
unfolding $\text{encode-state-variable-def}$
using $\text{assms}(3) \tau\text{-}0\text{-is nb}$
by $(\text{cases}, \text{force}+)$
 $\}$

```

hence clause-semantics  $\mathcal{A}$   $C$ 
  unfolding clause-semantics-def  $C$ -is
    by blast
}
thus ?thesis
  using is-cnf-encode-initial-state[OF assms(1)] is-nnf-cnf cnf-semantics
  unfolding cnf-semantics-def
    by blast
qed

```

— Plans may terminate early (i.e. by reaching a state satisfying the goal state before reaching the time point corresponding to the plan length). We therefore have to show the goal by splitting cases on whether the plan successfully terminated early. If not, we can just derive the goal from the assumptions pertaining to \mathcal{A} . Otherwise, we have to first show that the goal was reached (albeit early) and that our valuation \mathcal{A} reflects the termination of plan execution after the time point at which the goal was reached.

lemma encode-problem-parallel-complete-ii:

```

fixes  $\Pi$ ::'a strips-problem
assumes is-valid-problem-strips  $\Pi$ 
and  $(\Pi)_G \subseteq_m \text{execute-parallel-plan } ((\Pi)_I) \pi$ 
and  $\forall v k. k < \text{length} (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi)$ 
   $\rightarrow (\mathcal{A} (\text{State } k (\text{index} (\text{strips-problem.variables-of } \Pi) v))$ 
   $\leftrightarrow (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi ! k) v = \text{Some True})$ 
and  $\forall v l. l \geq \text{length} (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) \wedge l < \text{length } \pi + 1$ 
   $\rightarrow \mathcal{A} (\text{State } l (\text{index} (\text{strips-problem.variables-of } \Pi) v))$ 
   $= \mathcal{A} (\text{State } (\text{length} (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1)$ 
   $(\text{index} (\text{strips-problem.variables-of } \Pi) v))$ 
shows  $\mathcal{A} \models (\Phi_G \Pi) (\text{length } \pi)$ 
proof —
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?I =  $(\Pi)_I$ 
and ?G =  $(\Pi)_G$ 
and ? $\Phi_I$  =  $\Phi_I \Pi$ 
and ?t = length  $\pi$ 
and ? $\Phi_G$  =  $(\Phi_G \Pi) (\text{length } \pi)$ 
let ? $\tau$  = trace-parallel-plan-strips ?I  $\pi$ 
let ?t' = length ? $\tau$ 
{
  fix v
  assume G-of-v-is-not-None: ?G v ≠ None
  have ?G ⊆m last ? $\tau$ 
  using execute-parallel-plan-reaches-goal-iff-goal-is-last-element-of-trace assms(2)
    by blast
  also have ... = ? $\tau$  ! (?t' - 1)
    using last-conv-nth[OF trace-parallel-plan-strips-not-nil].
  finally have ?G ⊆m ? $\tau$  ! (?t' - 1)
    by argo
  hence (? $\tau$  ! (?t' - 1)) v = ?G v

```

```

using G-of-v-is-not-None
unfolding map-le-def
by force
} note nb1 = this

```

— Discriminate on whether the trace has full length or not and show that the model valuation of the state variables always correspond to the (defined) goal state values.

```

{
fix v
assume G-of-v-is-not-None: ?G v ≠ None
hence A (State ?t (index ?vs v)) ←→ ?G v = Some True
proof (cases ?t' = ?t + 1)
case True
moreover have ?t < ?t'
using calculation
by fastforce
moreover have A (State ?t (index ?vs v)) ←→ (?τ ! ?t) v = Some True
using assms(3) calculation(2)
by blast
ultimately show ?thesis
using nb1[OF G-of-v-is-not-None]
by force
next
case False
{
have ?t' < ?t + 1
using length-trace-parallel-plan-strips-lte-length-plan-plus-one False
le-neq-implies-less
by blast
moreover have A (State ?t (index ?vs v)) = A (State (?t' - 1) (index
?vs v))
using assms(4) calculation
by simp
moreover have ?t' - 1 < ?t'
using trace-parallel-plan-strips-not-nil length-greater-0-conv[of ?τ]
less-diff-conv2[of 1 ?t' ?t']
by force
moreover have A (State (?t' - 1) (index ?vs v)) ←→ (?τ ! (?t' - 1)) v
= Some True
using assms(3) calculation(3)
by blast
ultimately have A (State ?t (index ?vs v)) ←→ (?τ ! (?t' - 1)) v =
Some True
by blast
}
thus ?thesis
using nb1[OF G-of-v-is-not-None]
by presburger

```

```

qed
} note nb2 = this
{
fix C
assume C-in-cnf-of-ΦG: C ∈ cnf ?ΦG

moreover obtain v
where v ∈ set ?vs
and G-of-v-is-not-None: ?G v ≠ None
and C-is: C = { literal-formula-to-literal (encode-state-variable ?t (index ?vs
v)
(?G v)) }
using cnf-of-encode-goal-state-set-ii[OF assms(1)] calculation
by auto
consider (G-of-v-is-Some-True) ?G v = Some True
| (G-of-v-is-Some-False) ?G v = Some False
using G-of-v-is-not-None
by fastforce
then have clause-semantics A C
using nb2 C-is
unfolding clause-semantics-def encode-state-variable-def
by (cases, force+)
}
thus ?thesis
using cnf-semantics[OF is-nnf-cnf[OF encode-goal-state-is-cnf[OF assms(1)]]]
unfolding cnf-semantics-def
by blast
qed

```

— We are not using the full characterization of \mathcal{A} here since it's not needed.

```

lemma encode-problem-parallel-complete-iii-a:
fixes Π::'a strips-problem
assumes is-valid-problem-strips Π
and (Π)G ⊆m execute-parallel-plan ((Π)I) π
and C ∈ cnf (encode-all-operator-preconditions Π (strips-problem.operators-of
Π) (length π))
and ∀ k op. k < length (trace-parallel-plan-strips ((Π)I) π) − 1
    → A (Operator k (index (strips-problem.operators-of Π) op)) = (op ∈ set
(π ! k))
    and ∀ l op. l ≥ length (trace-parallel-plan-strips ((Π)I) π) − 1 ∧ l < length π
        → ¬A (Operator l (index (strips-problem.operators-of Π) op))
and ∀ v k. k < length (trace-parallel-plan-strips ((Π)I) π)
    → (A (State k (index (strips-problem.variables-of Π) v)))
    ←→ (trace-parallel-plan-strips ((Π)I) π ! k) v = Some True
shows clause-semantics A C
proof -
let ?ops = strips-problem.operators-of Π
and ?vs = strips-problem.variables-of Π

```

```

and ?t = length π
let ?τ = trace-parallel-plan-strips ((Π)I) π

obtain k op
  where k-and-op-are: (k, op) ∈ ({0..<?t} × set ((Π)O))
    and C ∈ (⋃ v ∈ set (precondition-of op). {{(Operator k (index ?ops op))⁻¹
      , (State k (index ?vs v))⁺ }})
  using cnf-of-encode-all-operator-preconditions-structure assms(3)
    UN-E[of C]
  by auto
then obtain v
  where v-in-preconditions-of-op: v ∈ set (precondition-of op)
    and C-is: C = { (Operator k (index ?ops op))⁻¹, (State k (index ?vs v))⁺ }
    by blast
thus ?thesis
  proof (cases k < length ?τ - 1)
    case k-lt-length-τ-minus-one: True
    thus ?thesis
      proof (cases op ∈ set (π ! k))
        case True
        {
          have are-all-operators-applicable (?τ ! k) (π ! k)
          using trace-parallel-plan-strips-operator-preconditions k-lt-length-τ-minus-one
          by blast
          then have (?τ ! k) v = Some True
          using are-all-operators-applicable-set v-in-preconditions-of-op True
          by fast
          hence A (State k (index ?vs v))
          using assms(6) k-lt-length-τ-minus-one
          by force
        }
        thus ?thesis
        using C-is
        unfolding clause-semantics-def
        by fastforce
      next
        case False
        then have ¬A (Operator k (index ?ops op))
        using assms(4) k-lt-length-τ-minus-one
        by blast
        thus ?thesis
        using C-is
        unfolding clause-semantics-def
        by fastforce
      qed
    next
      case False
      then have k ≥ length ?τ - 1 k < ?t
      using k-and-op-are

```

```

by(force, simp)
then have  $\neg\mathcal{A}(\text{Operator } k \ (\text{index } ?ops \ op))$ 
  using assms(5)
  by blast
thus ?thesis
  unfolding clause-semantics-def
  using C-is
  by fastforce
qed
qed

```

— We are not using the full characterization of \mathcal{A} here since it's not needed.

```

lemma encode-problem-parallel-complete-iii-b:
fixes  $\Pi : 'a \text{ strips-problem}$ 
assumes is-valid-problem-strips  $\Pi$ 
and  $(\Pi)_G \subseteq_m \text{execute-parallel-plan } ((\Pi)_I) \pi$ 
and  $C \in \text{cnf}(\text{encode-all-operator-effects } \Pi \ (\text{strips-problem.operators-of } \Pi) \ (\text{length } \pi))$ 
and  $\forall k \text{ op. } k < \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1 \rightarrow \mathcal{A}(\text{Operator } k \ (\text{index } (\text{strips-problem.operators-of } \Pi) \ op)) = (op \in \text{set } (\pi ! k))$ 
and  $\forall l \text{ op. } l \geq \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1 \wedge l < \text{length } \pi \rightarrow \neg\mathcal{A}(\text{Operator } l \ (\text{index } (\text{strips-problem.operators-of } \Pi) \ op))$ 
and  $\forall v \text{ k. } k < \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) \rightarrow (\mathcal{A}(\text{State } k \ (\text{index } (\text{strips-problem.variables-of } \Pi) \ v)) \longleftrightarrow (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi ! k) \ v = \text{Some True})$ 
shows clause-semantics  $\mathcal{A} C$ 
proof —
  let  $?ops = \text{strips-problem.operators-of } \Pi$ 
  and  $?vs = \text{strips-problem.variables-of } \Pi$ 
  and  $?t = \text{length } \pi$ 
  let  $?r = \text{trace-parallel-plan-strips } ((\Pi)_I) \pi$ 
  let  $?A = (\bigcup(k, op) \in \{0..<?t\} \times \text{set } ((\Pi)_O).$ 
     $\bigcup v \in \text{set } (\text{add-effects-of } op).$ 
     $\{\{( \text{Operator } k \ (\text{index } ?ops \ op))^{-1}, (\text{State } (\text{Suc } k) \ (\text{index } ?vs \ v))^+ \}\}$ 
  and  $?B = (\bigcup(k, op) \in \{0..<?t\} \times \text{set } ((\Pi)_O).$ 
     $\bigcup v \in \text{set } (\text{delete-effects-of } op).$ 
     $\{\{( \text{Operator } k \ (\text{index } ?ops \ op))^{-1}, (\text{State } (\text{Suc } k) \ (\text{index } ?vs \ v))^{-1} \}\}$ 
consider (C-in-A)  $C \in ?A$ 
  | (C-in-B)  $C \in ?B$ 
  using Un-iff[of C ?A ?B] cnf-of-encode-all-operator-effects-structure assms(3)
  by (metis C-in-A C-in-B)
thus ?thesis
proof (cases)
  case C-in-A
  then obtain  $k \text{ op}$ 
  where k-and-op-are:  $(k, op) \in \{0..<?t\} \times \text{set } ((\Pi)_O)$ 
  and  $C \in (\bigcup v \in \text{set } (\text{add-effects-of } op).$ 

```

```

 $\{\{ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^+ \}\}$ 
by blast
then obtain  $v$  where  $v\text{-in-add-effects-of-}op$ :  $v \in \text{set } (\text{add-effects-of } op)$ 
and  $C\text{-is: } C = \{\ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^+ \}$ 
by blast
thus ?thesis
proof (cases  $k < \text{length } ?\tau - 1$ )
case  $k\text{-lt-length-}\tau\text{-minus-one}$ : True
thus ?thesis
proof (cases  $op \in \text{set } (\pi ! k)$ )
case True
{
then have are-all-operators-applicable ( $??\tau ! k$ ) ( $\pi ! k$ )
and are-all-operator-effects-consistent ( $\pi ! k$ )
using trace-parallel-plan-strips-operator-preconditions  $k\text{-lt-length-}\tau\text{-minus-one}$ 
by blast+
hence execute-parallel-operator ( $??\tau ! k$ ) ( $\pi ! k$ )  $v = \text{Some True}$ 
using execute-parallel-operator-positive-effect-if[
OF -- True  $v\text{-in-add-effects-of-}op$ , of  $??\tau ! k$ ]
by blast
}
then have  $\tau\text{-Suc-}k\text{-is-Some-True: } (??\tau ! Suc k) v = \text{Some True}$ 
using trace-parallel-plan-step-effect-is[OF  $k\text{-lt-length-}\tau\text{-minus-one}$ ]
by argo
have  $\mathcal{A} (\text{State } (\text{Suc } k) (\text{index } ?vs v))$ 
using assms(6)  $k\text{-lt-length-}\tau\text{-minus-one } \tau\text{-Suc-}k\text{-is-Some-True}$ 
by fastforce
thus ?thesis
using C-is
unfolding clause-semantics-def
by fastforce
next
case False
then have  $\neg \mathcal{A} (\text{Operator } k (\text{index } ?ops op))$ 
using assms(4)  $k\text{-lt-length-}\tau\text{-minus-one}$ 
by blast
thus ?thesis
using C-is
unfolding clause-semantics-def
by force
qed
next
case False
then have  $k \geq \text{length } ?\tau - 1$  and  $k < ?t$ 
using k-and-op-are
by auto
then have  $\neg \mathcal{A} (\text{Operator } k (\text{index } ?ops op))$ 
using assms(5)

```

```

    by blast
  thus ?thesis
    using C-is
    unfolding clause-semantics-def
    by fastforce
qed
next
— This case is completely symmetrical to the one above.
case C-in-B
then obtain k op
  where k-and-op-are:  $(k, op) \in \{0..<?t\} \times \text{set } ((\Pi)_O)$ 
    and  $C \in (\bigcup v \in \text{set } (\text{delete-effects-of } op). \{\{ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \}\})$ 
    by blast
  then obtain v where v-in-delete-effects-of-op:  $v \in \text{set } (\text{delete-effects-of } op)$ 
    and C-is:  $C = \{ (\text{Operator } k (\text{index } ?ops op))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \}$ 
    by blast
  thus ?thesis
    proof (cases k < length ?τ - 1)
      case k-lt-length-τ-minus-one: True
      thus ?thesis
        proof (cases op ∈ set ( $\pi ! k$ ))
          case True
          {
            then have are-all-operators-applicable (?τ ! k) ( $\pi ! k$ )
              and are-all-operator-effects-consistent ( $\pi ! k$ )
            using trace-parallel-plan-strips-operator-preconditions k-lt-length-τ-minus-one
            by blast+
            hence execute-parallel-operator (?τ ! k) ( $\pi ! k$ ) v = Some False
            using execute-parallel-operator-negative-effect-if[
              OF -- True v-in-delete-effects-of-op, of ?τ ! k]
            by blast
          }
          then have τ-Suc-k-is-Some-True: (?τ ! Suc k) v = Some False
          using trace-parallel-plan-step-effect-is[OF k-lt-length-τ-minus-one]
          by argo
          have  $\neg A (\text{State } (\text{Suc } k) (\text{index } ?vs v))$ 
            using assms(6) k-lt-length-τ-minus-one τ-Suc-k-is-Some-True
            by fastforce
          thus ?thesis
            using C-is
            unfolding clause-semantics-def
            by fastforce
        next
        case False
        then have  $\neg A (\text{Operator } k (\text{index } ?ops op))$ 
          using assms(4) k-lt-length-τ-minus-one
          by blast
      
```

```

thus ?thesis
  using C-is
  unfolding clause-semantics-def
  by force
qed
next
  case False
  then have k ≥ length ?τ - 1 and k < ?t
    using k-and-op-are
    by auto
  then have ¬A (Operator k (index ?ops op))
    using assms(5)
    by blast
  thus ?thesis
    using C-is
    unfolding clause-semantics-def
    by fastforce
qed
qed
qed

```

```

lemma encode-problem-parallel-complete-iii:
  fixes Π::'a strips-problem
  assumes is-valid-problem-strips Π
  and (Π)G ⊆m execute-parallel-plan ((Π)I) π
  and ∀ k op. k < length (trace-parallel-plan-strips ((Π)I) π) - 1
    → A (Operator k (index (strips-problem.operators-of Π) op)) = (op ∈ set
  (π ! k))
  and ∀ l op. l ≥ length (trace-parallel-plan-strips ((Π)I) π) - 1 ∧ l < length π
    → ¬A (Operator l (index (strips-problem.operators-of Π) op))
  and ∀ v k. k < length (trace-parallel-plan-strips ((Π)I) π)
    → (A (State k (index (strips-problem.variables-of Π) v)))
      ←→ (trace-parallel-plan-strips ((Π)I) π ! k) v = Some True)
  shows A ⊨ encode-operators Π (length π)

proof -
  let ?t = length π
  and ?ops = strips-problem.operators-of Π
  let ?ΦO = encode-operators Π ?t
  and ?ΦP = encode-all-operator-preconditions Π ?ops ?t
  and ?ΦE = encode-all-operator-effects Π ?ops ?t
  {
    fix C
    assume C ∈ cnf ?ΦO
    then consider (C-in-precondition-encoding) C ∈ cnf ?ΦP
      | (C-in-effect-encoding) C ∈ cnf ?ΦE
        using cnf-of-operator-encoding-structure
        by blast
    hence clause-semantics A C
  }

```

```

proof (cases)
  case C-in-precondition-encoding
    thus ?thesis
      using encode-problem-parallel-complete-iii-a[OF assms(1, 2) - assms(3,
4, 5)]
        by blast
  next
    case C-in-effect-encoding
      thus ?thesis
        using encode-problem-parallel-complete-iii-b[OF assms(1, 2) - assms(3, 4,
5)]
          by blast
  qed
}
thus ?thesis
  using encode-operators-is-cnf[OF assms(1)] is-nnf-cnf cnf-semantics
  unfolding cnf-semantics-def
    by blast
qed

```

```

lemma encode-problem-parallel-complete-iv-a:
  fixes  $\Pi :: 'a \text{ strips-problem}$ 
  assumes STRIPS-Semantics.is-parallel-solution-for-problem  $\Pi \pi$ 
  and  $\forall k \text{ op}. k < \text{length} (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) = 1$ 
     $\rightarrow \mathcal{A} (\text{Operator } k (\text{index } (\text{strips-problem.operators-of } \Pi) \text{ op})) = (\text{op} \in \text{set } (\pi ! k))$ 
  and  $\forall v k. k < \text{length} (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi)$ 
     $\rightarrow (\mathcal{A} (\text{State } k (\text{index } (\text{strips-problem.variables-of } \Pi) v)))$ 
       $\longleftrightarrow (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi ! k) v = \text{Some True}$ 
  and  $\forall v l. l \geq \text{length} (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) \wedge l < \text{length } \pi + 1$ 
     $\rightarrow \mathcal{A} (\text{State } l (\text{index } (\text{strips-problem.variables-of } \Pi) v))$ 
     $= \mathcal{A} (\text{State}$ 
       $(\text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1)$ 
       $(\text{index } (\text{strips-problem.variables-of } \Pi) v))$ 
  and  $C \in \bigcup (\bigcup (k, v) \in \{0..<\text{length } \pi\} \times \text{set } ((\Pi)_V).$ 
     $\{\{\{ (\text{State } k (\text{index } (\text{strips-problem.variables-of } \Pi) v))^+$ 
       $, (\text{State } (\text{Suc } k) (\text{index } (\text{strips-problem.variables-of } \Pi) v))^{-1} \}$ 
     $\cup \{ (\text{Operator } k (\text{index } (\text{strips-problem.operators-of } \Pi) \text{ op}))^+$ 
       $| \text{op}. \text{op} \in \text{set } ((\Pi)_O) \wedge v \in \text{set } (\text{add-effects-of } \text{op}) \}\})\}$ 
  shows clause-semantics  $\mathcal{A} C$ 
proof -
  let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?ops = strips-problem.operators-of  $\Pi$ 
  and ?t = length  $\pi$ 
  let ? $\tau$  = trace-parallel-plan-strips  $((\Pi)_I) \pi$ 
  let ?A =  $(\bigcup (k, v) \in \{0..<?t\} \times \text{set } ?vs.$ 
     $\{\{\{ (\text{State } k (\text{index } ?vs v))^+, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1} \}$ 
     $\cup \{ (\text{Operator } k (\text{index } ?ops \text{ op}))^+ | \text{op}. \text{op} \in \text{set } ?ops \wedge v \in \text{set } (\text{add-effects-of } \text{op}) \}\})$ 

```

```

op) }})
{
obtain C' where C' ∈ ?A and C-in-C': C ∈ C'
  using Union-iff assms(5)
  by auto
then obtain k v
  where (k, v) ∈ {0..<?t} × set ?vs
    and C' ∈ {{ (State k (index ?vs v))+, (State (Suc k) (index ?vs v))⁻¹ }
      ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (add-effects-of
op) }})
    using UN-E
    by blast
  hence ∃ k v.
    k ∈ {0..<?t}
    ∧ v ∈ set ?vs
    ∧ C = { (State k (index ?vs v))+, (State (Suc k) (index ?vs v))⁻¹ }
      ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (add-effects-of
op) }}
    using C-in-C'
    by blast
}
then obtain k v
  where k-in: k ∈ {0..<?t}
    and v-in-vs: v ∈ set ?vs
    and C-is: C = { (State k (index ?vs v))+, (State (Suc k) (index ?vs v))⁻¹ }
      ∪ { (Operator k (index ?ops op))+ | op. op ∈ set ?ops ∧ v ∈ set (add-effects-of
op) }
    by blast
  show ?thesis
proof (cases k < length ?τ - 1)
  case k-lt-length-τ-minus-one: True
  then have k-lt-t: k < ?t
    using k-in
    by force
  have all-operators-applicable: are-all-operators-applicable (?τ ! k) (π ! k)
    and all-operator-effects-consistent: are-all-operator-effects-consistent (π ! k)
  using trace-parallel-plan-strips-operator-preconditions[OF k-lt-length-τ-minus-one]
    by simp+
  then consider (A) ∃ op ∈ set (π ! k). v ∈ set (add-effects-of op)
    | (B) ∃ op ∈ set (π ! k). v ∈ set (delete-effects-of op)
    | (C) ∀ op ∈ set (π ! k). v ∉ set (add-effects-of op) ∧ v ∉ set (delete-effects-of
op)
    by blast
  thus ?thesis
  proof (cases)
    case A
    moreover obtain op

```

```

where op-in- $\pi_k$ :  $op \in set(\pi ! k)$ 
and v-is-add-effect:  $v \in set(add-effects-of op)$ 
using A
by blast
moreover {
  have  $(\pi ! k) \in set \pi$ 
  using k-lt-t
  by simp
  hence  $op \in set ?ops$ 
  using is-parallel-solution-for-problem-operator-set[OF assms(1) -
    op-in- $\pi_k$ ]
    by blast
}
ultimately have  $(Operator k (index ?ops op))^+$ 
   $\in \{ (Operator k (index ?ops op))^+ \mid op. op \in set ?ops \wedge v \in set$ 
   $(add-effects-of op) \}$ 
  using v-is-add-effect
  by blast
then have  $(Operator k (index ?ops op))^+ \in C$ 
  using C-is
  by auto
moreover have  $\mathcal{A} (Operator k (index ?ops op))$ 
  using assms(2) k-lt-length- $\tau$ -minus-one op-in- $\pi_k$ 
  by blast
ultimately show ?thesis
  unfolding clause-semantics-def
  by force
next
case B
then obtain op
  where op-in- $\pi_k$ :  $op \in set(\pi ! k)$ 
  and v-is-delete-effect:  $v \in set(delete-effects-of op)..$ 
then have  $\neg(\exists op \in set(\pi ! k). v \in set(add-effects-of op))$ 
  using all-operator-effects-consistent are-all-operator-effects-consistent-set
  by fast
then have execute-parallel-operator (? $\tau$  ! k) ( $\pi ! k$ ) v
  = Some False
using execute-parallel-operator-negative-effect-if[OF all-operators-applicable
  all-operator-effects-consistent op-in- $\pi_k$  v-is-delete-effect]
  by blast
moreover have (? $\tau$  ! Suc k) v = execute-parallel-operator (? $\tau$  ! k) ( $\pi ! k$ )
v
  using trace-parallel-plan-step-effect-is[OF k-lt-length- $\tau$ -minus-one]
  by simp
ultimately have  $\neg \mathcal{A} (State (Suc k) (index ?vs v))$ 
  using assms(3) k-lt-length- $\tau$ -minus-one
  by simp
thus ?thesis
  using C-is

```

```

unfolding clause-semantics-def
  by simp
next
  case C
    show ?thesis
      proof (cases (?τ ! k) v = Some True)
        case True
          then have A (State k (index ?vs v))
            using assms(3) k-lt-length-τ-minus-one
            by force
          thus ?thesis
            using C-is
            unfolding clause-semantics-def
            by fastforce
        next
          case False
          {
            have (?τ ! Suc k) = execute-parallel-operator (?τ ! k) (π ! k)
              using trace-parallel-plan-step-effect-is[OF k-lt-length-τ-minus-one].
            then have (?τ ! Suc k) v = (?τ ! k) v
              using execute-parallel-operator-no-effect-if C
              by fastforce
            hence (?τ ! Suc k) v ≠ Some True
              using False
              by argo
          }
          then have ¬A (State (Suc k) (index ?vs v))
            using assms(3) k-lt-length-τ-minus-one
            by auto
          thus ?thesis
            using C-is
            unfolding clause-semantics-def
            by fastforce
          qed
        qed
      next
        case k-gte-length-τ-minus-one: False
        show ?thesis
          proof (cases A (State (length ?τ - 1) (index ?vs v)))
            case True
            {
              have A (State k (index ?vs v)) = A (State (length ?τ - 1) (index ?vs v))
              proof (cases k = length ?τ - 1)
                case False
                then have length ?τ ≤ k and k < ?τ + 1
                  using k-gte-length-τ-minus-one k-in
                  by fastforce+
                thus ?thesis
                  using assms(4)
            }
          
```

```

        by blast
qed blast
hence  $\mathcal{A}(\text{State } k (\text{index } ?vs v))$ 
      using True
      by blast
}
thus ?thesis
  using C-is
  unfolding clause-semantics-def
  by simp
next
  case False
  {
    have length  $?t \leq \text{Suc } k$  and  $\text{Suc } k < ?t + 1$ 
    using k-gte-length-t-minus-one k-in
    by fastforce+
    then have  $\mathcal{A}(\text{State } (\text{Suc } k) (\text{index } ?vs v)) = \mathcal{A}(\text{State } (\text{length } ?t - 1)$ 
      ( $\text{index } ?vs v)$ )
      using assms(4)
      by blast
    hence  $\neg \mathcal{A}(\text{State } (\text{Suc } k) (\text{index } ?vs v))$ 
      using False
      by blast
  }
thus ?thesis
  using C-is
  unfolding clause-semantics-def
  by fastforce
qed
qed
qed

```

lemma encode-problem-parallel-complete-iv-b:
fixes $\Pi :: \text{'a strips-problem}$
assumes is-parallel-solution-for-problem $\Pi \pi$
and $\forall k \text{ op. } k < \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1$
 $\longrightarrow \mathcal{A}(\text{Operator } k (\text{index } (\text{strips-problem.operators-of } \Pi) \text{ op})) = (\text{op} \in \text{set } (\pi ! k))$
and $\forall v k. k < \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi)$
 $\longrightarrow (\mathcal{A}(\text{State } k (\text{index } (\text{strips-problem.variables-of } \Pi) v)))$
 $\longleftrightarrow (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi ! k) v = \text{Some True})$
and $\forall v l. l \geq \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) \wedge l < \text{length } \pi + 1$
 $\longrightarrow \mathcal{A}(\text{State } l (\text{index } (\text{strips-problem.variables-of } \Pi) v))$
 $= \mathcal{A}(\text{State } (\text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1)$
 $\quad (\text{index } (\text{strips-problem.variables-of } \Pi) v))$
and $C \in \bigcup (\bigcup (k, v) \in \{0..<\text{length } \pi\} \times \text{set } ((\Pi)_V).$
 $\quad \{\{\ (\text{State } k (\text{index } (\text{strips-problem.variables-of } \Pi) v))^{-1}$

```

, (State (Suc k) (index (strips-problem.variables-of  $\Pi$ ) v))+ }
 $\cup \{ (\text{Operator } k (\text{index} (\text{strips-problem.operators-of } \Pi) op))^{+}$ 
| op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) \{\}\})
shows clause-semantics  $\mathcal{A}$  C
proof –
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problem.operators-of  $\Pi$ 
and ?t = length  $\pi$ 
let ? $\tau$  = trace-parallel-plan-strips (initial-of  $\Pi$ )  $\pi$ 
let ?A =  $(\bigcup (k, v) \in \{0..<?t\} \times \text{set } ?vs.$ 
 $\{ \{ \{ (\text{State } k (\text{index } ?vs v))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{+} \}$ 
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^{+}$ 
| op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) \{\}\})
shows clause-semantics  $\mathcal{A}$  C
proof –
let ?vs = strips-problem.variables-of  $\Pi$ 
and ?ops = strips-problem.operators-of  $\Pi$ 
and ?t = length  $\pi$ 
let ? $\tau$  = trace-parallel-plan-strips (initial-of  $\Pi$ )  $\pi$ 
let ?A =  $(\bigcup (k, v) \in \{0..<?t\} \times \text{set } ?vs.$ 
 $\{ \{ \{ (\text{State } k (\text{index } ?vs v))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{+} \}$ 
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^{+}$ 
| op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) \{\}\})
obtain C' where C'  $\in$  ?A and C-in-C': C  $\in$  C'
using Union-iff assms(5)
by auto
then obtain k v
where (k, v)  $\in$  {0..<?t}  $\times$  set ?vs
and C'  $\in$  { { { (State k (index ?vs v))-1, (State (Suc k) (index ?vs v))+ }
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^{+} | op. op \in \text{set } ?ops \wedge v \in \text{set } (\text{delete-effects-of }$ 
op) \{\}\}}
using UN-E
by fastforce
hence  $\exists k. v.$ 
k  $\in$  {0..<?t}
 $\wedge$  v  $\in$  set ?vs
 $\wedge$  C = { (State k (index ?vs v))-1, (State (Suc k) (index ?vs v))+ }
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^{+}$ 
| op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) \}
using C-in-C'
by auto
}
then obtain k v
where k-in: k  $\in$  {0..<?t}
and v-in-vs: v  $\in$  set  $((\Pi)_V)$ 
and C-is: C = { (State k (index ?vs v))-1, (State (Suc k) (index ?vs v))+ }
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^{+}$ 
| op. op  $\in$  set  $((\Pi)_O)$   $\wedge$  v  $\in$  set (delete-effects-of op) \}
by auto
show ?thesis
proof (cases k < length ? $\tau$  - 1)
case k-lt-length- $\tau$ -minus-one: True
then have k-lt-t: k < ?t
using k-in
by force

```

```

have all-operators-applicable: are-all-operators-applicable (?τ ! k) (π ! k)
  and all-operator-effects-consistent: are-all-operator-effects-consistent (π ! k)
using trace-parallel-plan-strips-operator-preconditions[OF k-lt-length-τ-minus-one]
  by simp+
then consider (A) ∃ op ∈ set (π ! k). v ∈ set (delete-effects-of op)
  | (B) ∃ op ∈ set (π ! k). v ∈ set (add-effects-of op)
  | (C) ∀ op ∈ set (π ! k). v ∉ set (add-effects-of op) ∧ v ∉ set (delete-effects-of
op)
  by blast
thus ?thesis
proof (cases)
  case A
  moreover obtain op
    where op-in-πk: op ∈ set (π ! k)
    and v-is-delete-effect: v ∈ set (delete-effects-of op)
    using A
    by blast
  moreover {
    have (π ! k) ∈ set π
      using k-lt-t
      by simp
    hence op ∈ set ?ops
      using is-parallel-solution-for-problem-operator-set[OF assms(1) -
op-in-πk]
      by auto
  }
  ultimately have (Operator k (index ?ops op))+
    ∈ { (Operator k (index ?ops op))+
      | op. op ∈ set ?ops ∧ v ∈ set (delete-effects-of op) }
  using v-is-delete-effect
  by blast
  then have (Operator k (index ?ops op))+ ∈ C
    using C-is
    by auto
  moreover have A (Operator k (index ?ops op))
    using assms(2) k-lt-length-τ-minus-one op-in-πk
    by blast
  ultimately show ?thesis
    unfolding clause-semantics-def
    by force
next
  case B
  then obtain op
    where op-in-πk: op ∈ set (π ! k)
    and v-is-add-effect: v ∈ set (add-effects-of op)...
  then have ¬(∃ op ∈ set (π ! k). v ∈ set (delete-effects-of op))
    using all-operator-effects-consistent are-all-operator-effects-consistent-set
    by fast
  then have execute-parallel-operator (?τ ! k) (π ! k) v = Some True

```

```

using execute-parallel-operator-positive-effect-if[OF all-operators-applicable
all-operator-effects-consistent op-in- $\pi_k$  v-is-add-effect]
by blast
moreover have ( $\tau ! \text{Suc } k$ )  $v = \text{execute-parallel-operator} (\tau ! k) (\pi ! k)$ 
v
using trace-parallel-plan-step-effect-is[OF k-lt-length- $\tau$ -minus-one]
by simp
ultimately have  $\mathcal{A} (\text{State} (\text{Suc } k) (\text{index } ?vs v))$ 
using assms( $\beta$ ) k-lt-length- $\tau$ -minus-one
by simp
thus  $?thesis$ 
using C-is
unfolding clause-semantics-def
by simp
next
case C
show  $?thesis$ 
— We split on cases for  $(\tau ! k) v = \text{Some True}$  here to avoid having to
proof  $(\tau ! k) v \neq \text{None}$ .
proof (cases  $(\tau ! k) v = \text{Some True}$ )
case True
{
  have ( $\tau ! \text{Suc } k$ )  $= \text{execute-parallel-operator} (\tau ! k) (\pi ! k)$ 
  using trace-parallel-plan-step-effect-is[OF k-lt-length- $\tau$ -minus-one].
  then have ( $\tau ! \text{Suc } k$ )  $v = (\tau ! k) v$ 
  using execute-parallel-operator-no-effect-if C
  by fastforce
  then have ( $\tau ! \text{Suc } k$ )  $v = \text{Some True}$ 
  using True
  by argo
  hence  $\mathcal{A} (\text{State} (\text{Suc } k) (\text{index } ?vs v))$ 
  using assms( $\beta$ ) k-lt-length- $\tau$ -minus-one
  by fastforce
}
thus  $?thesis$ 
using C-is
unfolding clause-semantics-def
by fastforce
next
case False
then have  $\neg \mathcal{A} (\text{State } k (\text{index } ?vs v))$ 
using assms( $\beta$ ) k-lt-length- $\tau$ -minus-one
by simp
thus  $?thesis$ 
using C-is
unfolding clause-semantics-def
by fastforce
qed
qed

```

```

next
  case k-gte-length-τ-minus-one: False
  show ?thesis
    proof (cases A (State (length ?τ - 1) (index ?vs v)))
      case True
      {
        have length ?τ ≤ Suc k and Suc k < ?t + 1
        using k-gte-length-τ-minus-one k-in
        by fastforce+
        then have A (State (Suc k) (index ?vs v)) = A (State (length ?τ - 1)
          (index ?vs v))
        using assms(4)
        by blast
        hence A (State (Suc k) (index ?vs v))
        using True
        by blast
      }
      thus ?thesis
        using C-is
        unfolding clause-semantics-def
        by fastforce
    next
      case False
      {
        have A (State k (index ?vs v)) = A (State (length ?τ - 1) (index ?vs v))
        proof (cases k = length ?τ - 1)
          case False
          then have length ?τ ≤ k and k < ?t + 1
          using k-gte-length-τ-minus-one k-in
          by fastforce+
          thus ?thesis
            using assms(4)
            by blast
          qed blast
          hence ¬A (State k (index ?vs v))
          using False
          by blast
        }
        thus ?thesis
          using C-is
          unfolding clause-semantics-def
          by simp
        qed
      qed
    qed

```

lemma encode-problem-parallel-complete-iv:
fixes Π::'a strips-problem

assumes *is-valid-problem-strips* Π
and *is-parallel-solution-for-problem* $\Pi \pi$
and $\forall k \text{ op. } k < \text{length}(\text{trace-parallel-plan-strips}((\Pi)_I) \pi) - 1$
 $\rightarrow \mathcal{A}(\text{Operator } k (\text{index}(\text{strips-problem.operators-of } \Pi) \text{ op})) = (\text{op} \in \text{set}(\pi ! k))$
and $\forall v k. k < \text{length}(\text{trace-parallel-plan-strips}((\Pi)_I) \pi)$
 $\rightarrow (\mathcal{A}(\text{State } k (\text{index}(\text{strips-problem.variables-of } \Pi) v))$
 $\leftrightarrow (\text{trace-parallel-plan-strips}((\Pi)_I) \pi ! k) v = \text{Some True})$
and $\forall v l. l \geq \text{length}(\text{trace-parallel-plan-strips}((\Pi)_I) \pi) \wedge l < \text{length} \pi + 1$
 $\rightarrow \mathcal{A}(\text{State } l (\text{index}(\text{strips-problem.variables-of } \Pi) v))$
 $= \mathcal{A}(\text{State}$
 $(\text{length}(\text{trace-parallel-plan-strips}((\Pi)_I) \pi) - 1)$
 $(\text{index}(\text{strips-problem.variables-of } \Pi) v))$
shows $\mathcal{A} \models \text{encode-all-frame-axioms } \Pi (\text{length } \pi)$
proof –
let $?F = \text{encode-all-frame-axioms } \Pi (\text{length } \pi)$
let $?vs = \text{strips-problem.variables-of } \Pi$
and $?ops = \text{strips-problem.operators-of } \Pi$
and $?t = \text{length } \pi$
let $?A = \bigcup (\bigcup (k, v) \in \{0..<?t\} \times \text{set}((\Pi)_V).$
 $\{\{\ (\text{State } k (\text{index } ?vs v))^+, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^{-1}\}$
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^+$
 $| \text{ op. op} \in \text{set}((\Pi)_O) \wedge v \in \text{set}(\text{add-effects-of op}) \}\})$
and $?B = \bigcup (\bigcup (k, v) \in \{0..<?t\} \times \text{set}((\Pi)_V).$
 $\{\{\ (\text{State } k (\text{index } ?vs v))^{-1}, (\text{State } (\text{Suc } k) (\text{index } ?vs v))^+\}$
 $\cup \{ (\text{Operator } k (\text{index } ?ops op))^+$
 $| \text{ op. op} \in \text{set}((\Pi)_O) \wedge v \in \text{set}(\text{delete-effects-of op}) \}\})$
have $\text{cnf-}\Phi_F\text{-is-}A\text{-union-}B$: $\text{cnf } ?F = ?A \cup ?B$
using *cnf-of-encode-all-frame-axioms-structure*
by (*simp add: cnf-of-encode-all-frame-axioms-structure*)
{
fix C
assume $C \in \text{cnf } ?F$
then consider (*C-in-A*) $C \in ?A$
| (*C-in-B*) $C \in ?B$
using *Un-iff[of C ?A ?B]* $\text{cnf-}\Phi_F\text{-is-}A\text{-union-}B$
by *argo*
hence *clause-semantics* $\mathcal{A} C$
proof (*cases*)
 case *C-in-A*
 then show *?thesis*
 using *encode-problem-parallel-complete-iv-a[OF assms(2, 3, 4, 5) C-in-A]*
 by *blast*
next
 case *C-in-B*
 then show *?thesis*
 using *encode-problem-parallel-complete-iv-b[OF assms(2, 3, 4, 5) C-in-B]*
 by *blast*

```

qed
}
thus ?thesis
  using encode-frame-axioms-is-cnf is-nnf-cnf cnf-semantics
  unfolding cnf-semantics-def
  by blast
qed

lemma valuation-for-operator-variables-is:
fixes  $\Pi$  :: 'a strips-problem
assumes is-parallel-solution-for-problem  $\Pi \pi$ 
  and  $k < \text{length}(\text{trace-parallel-plan-strips}((\Pi)_I) \pi) - 1$ 
  and  $op \in \text{set}((\Pi)_O)$ 
shows valuation-for-operator-variables  $\Pi \pi (\text{trace-parallel-plan-strips}((\Pi)_I) \pi)$ 
  ( $\text{Operator } k (\text{index}(\text{strips-problem.operators-of } \Pi) op)$ )
  = ( $op \in \text{set}(\pi ! k)$ )
proof -
let ?ops = strips-problem.operators-of  $\Pi$ 
  and ? $\tau$  = trace-parallel-plan-strips  $((\Pi)_I) \pi$ 
let ?v = Operator  $k (\text{index } ?ops op)$ 
  and ?Op = { Operator  $k (\text{index } ?ops op)$ 
    |  $k op. k \in \{0..<\text{length } ?\tau - 1\} \wedge op \in \text{set}((\Pi)_O)$  }
let ?l = concat (map (λk. map (Pair  $k (\pi ! k)$ ) [0..<\text{length } ?\tau - 1]))]
  and ?f =  $\lambda x. \text{Operator}(\text{fst } x) (\text{index } ?ops (\text{snd } x))$ 
— show that our operator construction function is injective on set (concat (map
( $\lambda k. \text{map}(\text{Pair } k (\pi ! k)) [0..<\text{length } ?\tau - 1]$ ))).
have k-in:  $k \in \{0..<\text{length } ?\tau - 1\}$ 
using assms(2)
by fastforce
{
  fix  $k k' op op'$ 
  assume k-op-in:  $(k, op) \in \text{set } ?l$  and k'-op'-in:  $(k', op') \in \text{set } ?l$ 
  have Operator  $k (\text{index } ?ops op) = \text{Operator } k' (\text{index } ?ops op') \longleftrightarrow (k, op) = (k', op')$ 
  proof (rule iffI)
    assume index-op-is-index-op': Operator  $k (\text{index } ?ops op) = \text{Operator } k' (\text{index } ?ops op')$ 
    then have k-is-k':  $k = k'$ 
      by fast
    moreover {
      have k'-lt:  $k' < \text{length } ?\tau - 1$ 
        using k'-op'-in
        by fastforce
      have op-in:  $op \in \text{set}(\pi ! k)$ 
        using k-op-in
    }
  }
}

```

by force

```
then have op'-in: op' ∈ set (π ! k)
  using k'-op'-in k-is-k'
  by auto
{
  have length-τ-gt-1: length ?τ > 1
  using assms(2)
  by linarith
  have length ?τ = Suc 0 ≤ length π + 1 = Suc 0
  using length-trace-parallel-plan-strips-lte-length-plan-plus-one
  using diff-le-mono
  by blast
  then have length ?τ - 1 ≤ length π
  by fastforce
  then have k' < length π
  using length-τ-gt-1 k'-lt
  by linarith
  hence π ! k' ∈ set π
  by simp
}
moreover have op ∈ set ?ops and op' ∈ set ?ops
  using is-parallel-solution-for-problem-operator-set[OF assms(1)] op-in
op'-in k-is-k'
  calculation
  by auto
  ultimately have op = op'
  using index-op-is-index-op'
  by force
}
ultimately show (k, op) = (k', op')
  by blast
qed fast
}

hence inj-on ?f (set ?l)
  unfolding inj-on-def fst-def snd-def
  by fast
} note inj-on-f-set-l = this

{
  have set ?l = ∪ (set ` set (map (λk. map (Pair k) (π ! k)) [0..
```

```

also have ... =  $\bigcup((\lambda k. \{ (k, op) \mid op. op \in set (\pi ! k) \}) ' \{ 0..<length ?\tau - 1 \})$ 
  by blast
also have ... =  $\bigcup(\{ \{ (k, op) \} \mid k op. k \in \{ 0..<length ?\tau - 1 \} \wedge op \in set (\pi ! k) \})$ 
  by blast

finally have set ?l =  $\bigcup((\lambda(k, op). \{ (k, op) \}) ' \{ (k, op). k \in \{ 0..<length ?\tau - 1 \} \wedge op \in set (\pi ! k) \})$ 
  using setcompr-eq-image[of  $\lambda(k, op). \{ (k, op) \}$  -]
  by auto
} note set-l-is = this
{
have Operator k (index ?ops op) ∈ ?Op
  using assms(3) k-in
  by blast

hence valuation-for-operator-variables II π ?τ ?v
  = foldr ( $\lambda(k, op) A. A(Operator k (index ?ops op) := True))$  ?l A₀ ?v
  unfolding valuation-for-operator-variables-def override-on-def Let-def
  by auto
} note nb = this
show ?thesis
proof (cases op ∈ set ( $\pi ! k$ ))
case True
moreover have k-op-in:  $(k, op) \in set ?l$ 
  using set-l-is k-in calculation
  by blast
— There is some problem with the pattern match in the lambda in fact , sow
we have to do some extra work to convince Isabelle of the truth of the statement.
moreover {
let ?g =  $\lambda -. True$ 
thm foldr-fun-upd[OF inj-on-f-set-l k-op-in]
have ?v = Operator (fst (k, op)) (index ?ops (snd (k, op)))
  by simp
moreover have  $(\lambda(k, op) A. A(Operator k (index ?ops op) := True))$ 
  =  $(\lambda x A. A(Operator (fst x) (index ?ops (snd x)) := True))$ 
  by fastforce
moreover have foldr ( $\lambda x A. A(Operator (fst x) (index ?ops (snd x)) := ?g x))$ 
  ?l A₀ (Operator (fst (k, op)) (index ?ops (snd (k, op)))) = True
  unfolding foldr-fun-upd[OF inj-on-f-set-l k-op-in]..
ultimately have valuation-for-operator-variables II π ?τ ?v = True
  using nb
  by argo
}
thus ?thesis
  using True
  by blast

```

```

next
  case False
  {
    have  $(k, op) \notin set ?l$ 
      using False set-l-is
      by fast
    moreover {
      fix  $k' op'$ 
      assume  $(k', op') \in set ?l$ 
      and  $?f(k', op') = ?f(k, op)$ 

      hence  $(k', op') = (k, op)$ 
        using inj-on-f-set-l assms(3)
        by simp
    }
    ultimately have Operator k (index ?ops op)  $\notin ?f`set ?l$ 
      using image-iff
      by force
  } note operator-not-in-f-image-set-l = this
  {
    have  $\mathcal{A}_0(\text{Operator } k (\text{index } ?ops op)) = \text{False}$ 
      by simp
    moreover have  $(\lambda(k, op). \mathcal{A}(\text{Operator } k (\text{index } ?ops op) := \text{True}))$ 
       $= (\lambda x. \mathcal{A}(\text{Operator } (\text{fst } x) (\text{index } ?ops (\text{snd } x)) := \text{True}))$ 
      by fastforce
    ultimately have  $\text{foldr } (\lambda(k, op). \mathcal{A}(\text{Operator } k (\text{index } ?ops op) := \text{True}))$ 
     $?l \mathcal{A}_0 ?v = \text{False}$ 
      using foldr-fun-no-upd[OF inj-on-f-set-l operator-not-in-f-image-set-l, of
       $\lambda-. \text{True } \mathcal{A}_0]$ 
      by presburger
  }
  thus ?thesis
  using nb False
  by blast
  qed
qed

```

```

lemma encode-problem-parallel-complete-vi-a:
  fixes  $\Pi :: 'a \text{strips-problem}$ 
  assumes is-parallel-solution-for-problem  $\Pi \pi$ 
  and  $k < \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1$ 
  shows valuation-for-plan  $\Pi \pi$  (Operator k (index (strips-problem.operators-of  $\Pi$ )
 $op))$ 
 $= (op \in set (\pi ! k))$ 
proof -
  let  $?vs = \text{strips-problem.variables-of } \Pi$ 
  and  $?ops = \text{strips-problem.operators-of } \Pi$ 

```

```

and ?t = length π
and ?τ = trace-parallel-plan-strips ((Π)I) π
let ?Aπ = valuation-for-plan Π π
    and ?AO = valuation-for-operator-variables Π π ?τ
    and ?Op = { Operator k (index ?ops op) | k op. k ∈ {0..<?t} ∧ op ∈ set ?ops
}
    and ?V = { State k (index ?vs v) | k v. k ∈ {0..<?t + 1} ∧ v ∈ set ?vs }
    and ?v = Operator k (index ?ops op)
{
have length ?τ ≤ length π + 1
    using length-trace-parallel-plan-strips-lte-length-plan-plus-one.
then have length ?τ - 1 ≤ length π
    by simp
then have k < ?t
    using assms
    by fastforce
} note k-lt-length-π = this
show ?thesis
proof (cases op ∈ set ((Π)O))
case True
{
have ?v ∈ ?Op
    using k-lt-length-π True
    by auto

hence ?Aπ ?v = ?AO ?v
    unfolding valuation-for-plan-def override-on-def Let-def
    by force
}
then show ?thesis
    using valuation-for-operator-variables-is[OF assms(1, 2) True]
    by blast
next

case False
{
{
— We have  $\neg \text{index } ?ops op < \text{length } ?ops$  due to the assumption that  $\neg op \in \text{set } ?ops$ . Hence  $\neg k \in \{0..<?t\}$  and therefore  $?v \notin ?Op$ .
have ?Op = ( $\lambda(k, op)$ . Operator k (index ?ops op)) ‘( $\{0..<?t\} \times \text{set } ?ops$ )
    by fast
moreover have  $\neg \text{index } ?ops op < \text{length } ?ops$ 
    using False
    by simp
ultimately have ?v ∉ ?Op
    by fastforce
}
moreover have ?v ∉ ?V
    by force
}

```

```

ultimately have ?Aπ ?v = A0 ?v
  unfolding valuation-for-plan-def override-on-def
  by metis
hence ¬?Aπ ?v
  unfolding empty-valuation-def
  by blast
}
moreover have (π ! k) ∈ set π
  using k-lt-length-π
  by simp
moreover have op ∉ set (π ! k)
  using is-parallel-solution-for-problem-operator-set[OF assms(1) calculation(2)] False
  by blast
ultimately show ?thesis
  by blast
qed
qed

```

```

lemma encode-problem-parallel-complete-vi-b:
  fixes Π :: 'a strips-problem
  assumes is-parallel-solution-for-problem Π π
    and l ≥ length (trace-parallel-plan-strips ((Π)I) π) − 1
    and l < length π
  shows ¬valuation-for-plan Π π (Operator l (index (strips-problem.operators-of Π) op))
proof −
  let ?vs = strips-problem.variables-of Π
  and ?ops = strips-problem.operators-of Π
  and ?t = length π
  and ?τ = trace-parallel-plan-strips ((Π)I) π
  let ?Aπ = valuation-for-plan Π π
  and ?AO = valuation-for-operator-variables Π π ?τ
  and ?Op = { Operator k (index ?ops op) | k op. k ∈ {0..<?t} ∧ op ∈ set ?ops }
}
  and ?Op' = { Operator k (index ?ops op) | k op. k ∈ {0..<length ?τ − 1} ∧ op ∈ set ?ops }
  and ?V = { State k (index ?vs v) | k v. k ∈ {0..<?t + 1} ∧ v ∈ set ?vs }
  and ?v = Operator l (index ?ops op)
show ?thesis
proof (cases op ∈ set ((Π)O))
  case True
  {
  {
    have ?v ∈ ?Op
    using assms(3) True
  }
}

```

```

by auto

hence ?Aπ ?v = ?AO ?v
  unfolding valuation-for-plan-def override-on-def Let-def
  by simp
}
moreover {
  have l ∉ {0.. ?τ - 1}
    using assms(2)
    by simp
  then have ?v ∉ ?Op'
    by blast
  hence ?AO ?v = A0 ?v
    unfolding valuation-for-operator-variables-def override-on-def
    by meson
}
ultimately have ¬?Aπ ?v
  unfolding empty-valuation-def
  by blast
}
then show ?thesis
  by blast
next

case False
{
{
  — We have ¬index ?ops op < length ?ops due to the assumption that ¬op
  ∈ set ?ops. Hence ¬k ∈ {0.. and therefore ?v ∉ ?Op.
  have ?Op = (λ(k, op). Operator k (index ?ops op)) ‘({0..} × set ?ops)
    by fast
  moreover have ¬index ?ops op < length ?ops
    using False
    by simp
  ultimately have ?v ∉ ?Op
    by fastforce
}
moreover have ?v ∉ ?V
  by force

ultimately have ?Aπ ?v = A0 ?v
  unfolding valuation-for-plan-def override-on-def
  by metis
hence ¬?Aπ ?v
  unfolding empty-valuation-def
  by blast
}
thus ?thesis
  by blast

```

```

qed
qed

```

— As a corollary from lemmas and we obtain the result that the constructed valuation $\mathcal{A} \equiv \text{valuation-for-plan } \Pi \pi$ evaluates SATPlan operator variables as false if they are not contained in any operator set $\pi ! k$ for any time point $k < \text{length } \pi$.
corollary encode-problem-parallel-complete-vi-d:

```

fixes  $\Pi :: \text{'variable strips-problem'}$ 
assumes  $\text{is-parallel-solution-for-problem } \Pi \pi$ 
and  $k < \text{length } \pi$ 
and  $op \notin \text{set } (\pi ! k)$ 
shows  $\neg \text{valuation-for-plan } \Pi \pi (\text{Operator } k (\text{index } (\text{strips-problem.operators-of } \Pi) op))$ 
using  $\text{encode-problem-parallel-complete-vi-a}[\text{OF assms}(1)] \text{ assms}(3)$ 
 $\text{encode-problem-parallel-complete-vi-b}[\text{OF assms}(1) - \text{assms}(2)] \text{ assms}(3)$ 
by (cases  $k < \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1$ ; fastforce)

lemma  $\text{list-product-is-nil-iff}: \text{List.product } xs ys = [] \longleftrightarrow xs = [] \vee ys = []$ 
proof (rule iffI)
assume  $\text{product-xs-ys-is-Nil}: \text{List.product } xs ys = []$ 
show  $xs = [] \vee ys = []$ 
proof (rule ccontr)
assume  $\neg (xs = [] \vee ys = [])$ 
then have  $xs \neq []$  and  $ys \neq []$ 
by simp+
then obtain  $x xs' y ys'$  where  $xs = x \# xs'$  and  $ys = y \# ys'$ 
using list.exhaust
by metis
then have  $\text{List.product } xs ys = (x, y) \# \text{map } (\text{Pair } x) ys' @ \text{List.product } xs'$ 
 $(y \# ys')$ 
by simp
thus False
using  $\text{product-xs-ys-is-Nil}$ 
by simp
qed
next
assume  $xs = [] \vee ys = []$ 
thus  $\text{List.product } xs ys = []$ 
— First cases in the next two proof blocks follow from definition of List.product.
proof (rule disjE)
assume  $\text{ys-is-Nil}: ys = []$ 
show  $\text{List.product } xs ys = []$ 
proof (induction xs)
case (Cons x xs)
have  $\text{List.product } (x \# xs) ys = \text{map } (\text{Pair } x) ys @ \text{List.product } xs ys$ 
by simp
also have ... = [] @  $\text{List.product } xs ys$ 

```

```

using Nil-is-map-conv ys-is-Nil
by blast
finally show ?case
  using Cons.IH
  by force
qed auto
qed simp
qed

```

— We keep the state abstract by requiring a function s which takes the index k and returns state. This makes the lemma cover both cases, i.e. dynamic (e.g. the k -th trace state) as well as static state (e.g. final trace state).

lemma valuation-for-state-variables-is:

```

assumes k ∈ set ks
and v ∈ set vs
shows foldr (λ(k, v) A. valuation-for-state vs (s k) k v A) (List.product ks vs)
A₀
  (State k (index vs v))
  ↔ (s k) v = Some True
proof -
let ?v = State k (index vs v)
and ?ps = List.product ks vs
let ?A = foldr (λ(k, v) A. valuation-for-state vs (s k) k v A) ?ps A₀
and ?f = λx. State (fst x) (index vs (snd x))
and ?g = λx. (s (fst x)) (snd x) = Some True
have nb₁: (k, v) ∈ set ?ps
  using assms(1, 2) set-product
  by simp

moreover {
{
fix x y
assume x-in-ps: x ∈ set ?ps and y-in-ps: y ∈ set ?ps
  and ¬(?f x = ?f y → x = y)
then have f-x-is-f-y: ?f x = ?f y and x-is-not-y: x ≠ y
  by blast+
then obtain k' k'' v' v''
  where x-is: x = (k', v')
  and y-is: y = (k'', v'')
  by fastforce
then consider (A) k' ≠ k''
  | (B) v' ≠ v''
  using x-is-not-y
  by blast
hence False
proof (cases)
  case A
  then have ?f x ≠ ?f y
  using x-is y-is
}
}
```

```

    by simp
  thus ?thesis
    using f-x-is-f-y
    by argo
  next
    case B
    have v' ∈ set vs and v'' ∈ set vs
      using x-in-ps x-is y-in-ps y-is set-product
      by blast+
    then have index vs v' ≠ index vs v''
      using B
      by force
    then have ?f x ≠ ?f y
      using x-is y-is
      by simp
    thus False
      using f-x-is-f-y
      by blast
  qed
}
hence inj-on ?f (set ?ps)
  using inj-on-def
  by blast
} note nb2 = this
{
  have foldr (λx. valuation-for-state vs (s (fst x)) (fst x) (snd x))
    (List.product ks vs) A0 (State (fst (k, v))) (index vs (snd (k, v))) =
    (s (fst (k, v))) (snd (k, v)) = Some True
    using foldr-fun-upd[OF nb2 nb1, of ?g A0]
    by blast
  moreover have (λx. valuation-for-state vs (s (fst x)) (fst x) (snd x))
    = (λ(k, v). valuation-for-state vs (s k) k v)
    by fastforce
  ultimately have ?A (?f (k, v)) = ?g (k, v)
    by simp
}
thus ?thesis
  by simp
qed

```

lemma encode-problem-parallel-complete-vi-c:

```

fixes Π :: 'a strips-problem
assumes is-valid-problem-strips Π
  and is-parallel-solution-for-problem Π π
  and k < length (trace-parallel-plan-strips ((Π)I) π)
shows valuation-for-plan Π π (State k (index (strips-problem.variables-of Π) v))
  ⟷ (trace-parallel-plan-strips ((Π)I) π ! k) v = Some True
proof –

```

```

let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?ops = strips-problemoperators-of  $\Pi$ 
  and ? $\tau$  = trace-parallel-plan-strips  $((\Pi)_I)$   $\pi$ 
let ?t = length  $\pi$ 
  and ?t' = length ? $\tau$ 
let ? $\mathcal{A}_\pi$  = valuation-for-plan  $\Pi$   $\pi$ 
  and ? $\mathcal{A}_V$  = valuation-for-state-variables  $\Pi$   $\pi$  ? $\tau$ 
  and ? $\mathcal{A}_O$  = valuation-for-state-variables  $\Pi$   $\pi$  ? $\tau$ 
  and ? $\mathcal{A}_1$  = foldr
    ( $\lambda(k, v) \mathcal{A}.$  valuation-for-state ?vs (? $\tau$  ! k) k v  $\mathcal{A}$ )
    (List.product [0..<?t'] ?vs)  $\mathcal{A}_0$ 
  and ?Op = { Operator k (index ?ops op) | k op. k  $\in$  {0..<?t}  $\wedge$  op  $\in$  set  $((\Pi)_O)$ 
}
  and ?Op' = { Operator k (index ?ops op) | k op. k  $\in$  {0..<?t' - 1}  $\wedge$  op  $\in$  set
 $((\Pi)_O)$  }
  and ?V = { State k (index ?vs v) | k v. k  $\in$  {0..<?t + 1}  $\wedge$  v  $\in$  set  $((\Pi)_V)$  }
  and ?V1 = { State k (index ?vs v) | k v. k  $\in$  {0..<?t'}  $\wedge$  v  $\in$  set  $((\Pi)_V)$  }
  and ?V2 = { State k (index ?vs v) | k v. k  $\in$  {?t'..(?t + 1)}  $\wedge$  v  $\in$  set  $((\Pi)_V)$ 
}
  and ?v = State k (index ?vs v)
have v-notin-Op: ?v  $\notin$  ?Op
  by blast
have k-lte-length- $\pi$ -plus-one: k < length  $\pi$  + 1
using less-le-trans length-trace-parallel-plan-strips-lte-length-plan-plus-one assms(3)
  by blast
show ?thesis
proof (cases v  $\in$  set  $((\Pi)_V)$ )
  case True
  {
    {
      have ?v  $\in$  ?V ?v  $\notin$  ?Op
        using k-lte-length- $\pi$ -plus-one True
        by force+
      hence ? $\mathcal{A}_\pi$  ?v = ? $\mathcal{A}_V$  ?v
        unfolding valuation-for-plan-def override-on-def Let-def
        by simp
    }
    moreover {
      have ?v  $\in$  ?V1 ?v  $\notin$  ?V2
        using assms(3) True
        by fastforce+
      hence ? $\mathcal{A}_V$  ?v = ? $\mathcal{A}_1$  ?v
        unfolding valuation-for-state-variables-def override-on-def Let-def
        by force
    }
    ultimately have ? $\mathcal{A}_\pi$  ?v = ? $\mathcal{A}_1$  ?v
      by blast
  }

```

```

}
moreover have  $k \in \text{set } [0..<?t']$ 
  using assms(3)
  by simp
moreover have  $v \in \text{set } (\text{strips-problem.variables-of } \Pi)$ 
  using True
  by simp

ultimately show ?thesis
  using valuation-for-state-variables-is[of k [0..<?t']]
  by fastforce
next
  case False
  {
    {
      have  $\neg \text{index } ?vs v < \text{length } ?vs$ 
        using False index-less-size-conv
        by simp
      hence  $?v \notin ?V$ 
        by fastforce
    }
    then have  $\neg ?A_\pi ?v$ 
      using v-notin-Op
      unfolding valuation-for-plan-def override-on-def empty-valuation-def Let-def
        variables-of-def operators-of-def
      by presburger
  }
  moreover have  $\neg (?\tau ! k) v = \text{Some } \text{True}$ 
    using trace-parallel-plan-strips-none-if[of \Pi \pi k v] assms(1, 2, 3) False
    unfolding initial-of-def
    by force
  ultimately show ?thesis
    by blast
qed
qed

```

```

lemma encode-problem-parallel-complete-vi-f:
  fixes  $\Pi :: \text{'a strips-problem}$ 
  assumes is-valid-problem-strips  $\Pi$ 
    and is-parallel-solution-for-problem  $\Pi \pi$ 
    and  $l \geq \text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi)$ 
    and  $l < \text{length } \pi + 1$ 
  shows valuation-for-plan  $\Pi \pi (\text{State } l (\text{index } (\text{strips-problem.variables-of } \Pi) v))$ 
    = valuation-for-plan  $\Pi \pi$ 
     $(\text{State } (\text{length } (\text{trace-parallel-plan-strips } ((\Pi)_I) \pi) - 1)$ 
     $(\text{index } (\text{strips-problem.variables-of } \Pi) v))$ 
proof -

```

```

let ?vs = strips-problem.variables-of  $\Pi$ 
  and ?ops = strips-problem.operators-of  $\Pi$ 
  and ? $\tau$  = trace-parallel-plan-strips  $((\Pi)_I)$   $\pi$ 
let ?t = length  $\pi$ 
  and ?t' = length ? $\tau$ 
let ? $\tau_\Omega$  = ? $\tau$  ! (?t' - 1)
  and ? $\mathcal{A}_\pi$  = valuation-for-plan  $\Pi$   $\pi$ 
  and ? $\mathcal{A}_V$  = valuation-for-state-variables  $\Pi$   $\pi$  ? $\tau$ 
  and ? $\mathcal{A}_O$  = valuation-for-state-variables  $\Pi$   $\pi$  ? $\tau$ 
let ? $\mathcal{A}_2$  = foldr
  ( $\lambda(k, v) \mathcal{A}$ . valuation-for-state (strips-problem.variables-of  $\Pi$ ) ? $\tau_\Omega$  k v  $\mathcal{A}$ )
  ( $\text{List.product} [?t'..<\text{length } \pi + 2] ?vs$ )
 $\mathcal{A}_0$ 
  and ?Op = { Operator k (index ?ops op) | k op. k  $\in \{0..<?t\}$   $\wedge$  op  $\in \text{set} ((\Pi)_O)$ 
}
  and ?Op' = { Operator k (index ?ops op) | k op. k  $\in \{0..<?t' - 1\}$   $\wedge$  op  $\in \text{set} ((\Pi)_O)$ 
}
  and ?V = { State k (index ?vs v) | k v. k  $\in \{0..<?t + 1\}$   $\wedge$  v  $\in \text{set} ((\Pi)_V)$  }
  and ?V1 = { State k (index ?vs v) | k v. k  $\in \{0..<?t'\}$   $\wedge$  v  $\in \text{set} ((\Pi)_V)$  }
  and ?V2 = { State k (index ?vs v) | k v. k  $\in \{?t'..(?t + 1)\}$   $\wedge$  v  $\in \text{set} ((\Pi)_V)$ 
}
  and ?v = State l (index ?vs v)
have v-notin-Op: ?v  $\notin$  ?Op
  by blast
show ?thesis
  proof (cases v  $\in \text{set} ((\Pi)_V)$ )
    case True
    {
      {
        have ?v  $\in$  ?V ?v  $\notin$  ?Op
          using assms(4) True
          by force+
        hence ? $\mathcal{A}_\pi$  ?v = ? $\mathcal{A}_V$  ?v
          unfolding valuation-for-plan-def override-on-def Let-def
          by simp
      }
      moreover {
        have ?v  $\notin$  ?V1 ?v  $\in$  ?V2
          using assms(3, 4) True
          by force+
        hence ? $\mathcal{A}_V$  ?v = ? $\mathcal{A}_2$  ?v
          unfolding valuation-for-state-variables-def override-on-def Let-def
          by auto
      }
      ultimately have ? $\mathcal{A}_\pi$  ?v = ? $\mathcal{A}_2$  ?v
        by blast
    }
  
```

```

} note nb = this
moreover
{
  have l ∈ set [?t'..<?t + 2]
    using assms(3, 4)
    by auto

  hence ?A₂ ?v ←→ ?τ_Ω v = Some True
    using valuation-for-state-variables-is[of l [?t'..<?t + 2]] True nb
    by fastforce
}
ultimately have ?A_π ?v ←→ ?τ_Ω v = Some True
  by fast
moreover {
  have 0 < ?t'
    using trace-parallel-plan-strips-not-nil
    by blast
  then have ?t' - 1 < ?t'
    using diff-less
    by presburger
}
ultimately show ?thesis
  using encode-problem-parallel-complete-vi-c[of - - ?t' - 1, OF assms(1, 2)]
  by blast
next
case False
{
{
  have ¬ index ?vs v < length ?vs
    using False index-less-size-conv
    by auto
  hence ?v ∉ ?V
    by fastforce
}
then have ¬?A_π ?v
  using v-notin-Op
unfolding valuation-for-plan-def override-on-def empty-valuation-def Let-def
  variables-of-def operators-of-def
  by presburger
}
moreover {
  have 0 < ?t'
    using trace-parallel-plan-strips-not-nil
    by blast
  then have ?t' - 1 < ?t'
    by simp
}
moreover have ¬((?τ ! (?t' - 1)) v = Some True)
  using trace-parallel-plan-strips-none-if[of - - ?t' - 1 v, OF - assms(2)]

```

```

calculation(2)]
  assms(1) False
  by simp
ultimately show ?thesis
  using encode-problem-parallel-complete-vi-c[of - - ?t' - 1, OF assms(1, 2)]
  by blast
qed
qed

```

Let now $\tau \equiv \text{trace-parallel-plan-strips } I \pi$ be the trace of the plan π , $t \equiv \text{length } \pi$, and $t' \equiv \text{length } \tau$.

Any model of the SATPlan encoding \mathcal{A} must satisfy the following properties:
¹¹

1. for all k and for all op with $k < t' - 1$

$$\mathcal{A}(\text{Operator } k (\text{index}(\text{operators-of } \Pi) op)) = op \in \text{set}(\pi ! k)$$

2. for all l and for all op with $t' - 1 \leq l$ and $l < \text{length } \pi$ we require

$$\mathcal{A}(\text{Operator } l (\text{index}(\text{operators-of } \Pi) op))$$

3. for all v and for all k with $k < t'$ we require

$$\mathcal{A}(\text{State } k (\text{index}(\text{variables-of } \Pi) v)) \longrightarrow ((\tau ! k) v = \text{Some True})$$

4. and finally for all v and for all l with $t' \leq l$ and $l < t + 1$ we require

$$\begin{aligned} \mathcal{A}(\text{State } l (\text{index}(\text{variables-of } \Pi) v)) \\ = \mathcal{A}(\text{State } (t' - 1) (\text{index}(\text{variables-of } \Pi) v)) \end{aligned}$$

Condition “1.” states that the model must reflect operator activation for all operators in the parallel operator lists $\pi ! k$ of the plan π for each time step $k < t' - 1$ s.t. there is a successor state in the trace. Moreover “3.” requires that the model is consistent with the states reached during plan execution (i.e. the elements $\tau ! k$ for $k < t'$ of the trace τ). Meaning that $\mathcal{A}(\text{State } k (\text{index}(\Pi_V) v))$ for the SAT plan variable of every state variable v at time point k if and only if $(\tau ! k) v = \text{Some True}$ for the corresponding state $\tau ! k$ at time k (and $\neg \mathcal{A}(\text{State } k (\text{index}(\Pi_V) v))$ otherwise).

The second respectively fourth condition cover early plan termination by negating operator activation and propagating the last reached state. Note that in the state propagation constraint, the index is incremented by one

¹¹Cf. [3, Theorem 3.1, p. 1044] for the construction of \mathcal{A} .

compared to the similar constraint for operators, since operator activations are always followed by at least one successor state. Hence the last state in the trace has index *length* (*trace-parallel-plan-strips* (Π_I) π) – 1 and the remaining states take up the indexes to *length* π + 1.

value stop

— To show completeness—i.e. every valid parallel plan π corresponds to a model for the SATPlan encoding $\Phi \Pi$ (*length* π)—, we simply split the conjunction defined by the encoding into partial encodings and show that the model satisfies each of them.

theorem

encode-problem-parallel-complete:

assumes *is-valid-problem-strips* Π

and *is-parallel-solution-for-problem* $\Pi \pi$

shows *valuation-for-plan* $\Pi \pi \models \Phi \Pi$ (*length* π)

proof —

let $?t = \text{length } \pi$

and $?I = (\Pi)_I$

and $?G = (\Pi)_G$

and $?A = \text{valuation-for-plan } \Pi \pi$

have $nb: ?G \subseteq_m \text{execute-parallel-plan } ?I \pi$

using *assms*(2)

unfolding *is-parallel-solution-for-problem-def*

by force

have $?A \models \Phi_I \Pi$

using *encode-problem-parallel-complete-i*[*OF assms*(1) nb]

encode-problem-parallel-complete-vi-c[*OF assms*(1, 2)]

by presburger

moreover have $?A \models (\Phi_G \Pi) ?t$

using *encode-problem-parallel-complete-ii*[*OF assms*(1) nb]

encode-problem-parallel-complete-vi-c[*OF assms*(1, 2)]

encode-problem-parallel-complete-vi-f[*OF assms*(1, 2)]

by presburger

moreover have $?A \models \text{encode-operators } \Pi ?t$

using *encode-problem-parallel-complete-iii*[*OF assms*(1) nb]

encode-problem-parallel-complete-vi-a[*OF assms*(2)]

encode-problem-parallel-complete-vi-b[*OF assms*(2)]

encode-problem-parallel-complete-vi-c[*OF assms*(1, 2)]

by presburger

moreover have $?A \models \text{encode-all-frame-axioms } \Pi ?t$

using *encode-problem-parallel-complete-iv*[*OF assms*(1, 2)]

encode-problem-parallel-complete-vi-a[*OF assms*(2)]

encode-problem-parallel-complete-vi-c[*OF assms*(1, 2)]

encode-problem-parallel-complete-vi-f[*OF assms*(1, 2)]

by presburger

ultimately show *?thesis*

unfolding *encode-problem-def SAT-Plan-Base.encode-problem-def*

encode-initial-state-def encode-goal-state-def

by auto

```

qed

end

theory SAT-Plan-Extensions
  imports SAT-Plan-Base
begin

```

8 Serializable SATPlan Encodings

A SATPlan encoding with exclusion of operator interference (see definition ??) can be defined by extending the basic SATPlan encoding with clauses

$$\neg(\text{Atom} (\text{Operator } k (\text{index } \text{ops } op_1))) \\ \vee \neg(\text{Atom} (\text{Operator } k (\text{index } \text{ops } op_2)))$$

for all pairs of distinct interfering operators op_1, op_2 for all time points $k < t$ for a given estimated plan length t . Definitions ?? and ?? implement the encoding for operator pairs resp. for all interfering operator pairs and all time points.

```

definition encode-interfering-operator-pair-exclusion
  :: 'variable strips-problem
  ⇒ nat
  ⇒ 'variable strips-operator
  ⇒ 'variable strips-operator
  ⇒ sat-plan-variable formula
  where encode-interfering-operator-pair-exclusion Π k op₁ op₂
    ≡ let ops = operators-of Π in
      ¬(Atom (Operator k (index ops op₁)))
      ∨ ¬(Atom (Operator k (index ops op₂)))

definition encode-interfering-operator-exclusion
  :: 'variable strips-problem ⇒ nat ⇒ sat-plan-variable formula
  where encode-interfering-operator-exclusion Π t ≡ let
    ops = operators-of Π
    ; interfering = filter (λ(op₁, op₂). index ops op₁ ≠ index ops op₂)
      ∧ are-operators-interfering op₁ op₂) (List.product ops ops)
    in foldr (Λ) [encode-interfering-operator-pair-exclusion Π k op₁ op₂.
      (op₁, op₂) ← interfering, k ← [0..<t]] (¬⊥)

```

A SATPlan encoding with interfering operator pair exclusion can now be defined by simplying adding the conjunct *encode-interfering-operator-exclusion* Πt to the basic SATPlan encoding.

```

definition encode-problem-with-operator-interference-exclusion
  :: 'variable strips-problem ⇒ nat ⇒ sat-plan-variable formula
  (⟨Φ ∀ - -> 52)

```

```

where encode-problem-with-operator-interference-exclusion  $\Pi t$ 
 $\equiv$  encode-initial-state  $\Pi$ 
 $\wedge$  (encode-operators  $\Pi t$ )
 $\wedge$  (encode-all-frame-axioms  $\Pi t$ )
 $\wedge$  (encode-interfering-operator-exclusion  $\Pi t$ )
 $\wedge$  (encode-goal-state  $\Pi t$ )))

```

— Immediately proof the sublocale proposition for strips in order to gain access to definitions and lemmas.

```

lemma cnf-of-encode-interfering-operator-pair-exclusion-is-i[simp]:
cnf (encode-interfering-operator-pair-exclusion  $\Pi k op_1 op_2$ ) = {{}
  (Operator k (index (strips-problem.operators-of  $\Pi$ ) op1))-1
  , (Operator k (index (strips-problem.operators-of  $\Pi$ ) op2))-1 {}}

proof –
let ?ops = strips-problem.operators-of  $\Pi$ 
have cnf (encode-interfering-operator-pair-exclusion  $\Pi k op_1 op_2$ )
= cnf ( $\neg$ (Atom (Operator k (index ?ops op1)))  $\vee$   $\neg$ (Atom (Operator k (index ?ops op2)))))

unfolding encode-interfering-operator-pair-exclusion-def
by metis
also have ... = { C  $\cup$  D | C D.
  C  $\in$  cnf ( $\neg$ (Atom (Operator k (index ?ops op1))))
 $\wedge$  D  $\in$  cnf ( $\neg$ (Atom (Operator k (index ?ops op2)))) }
by simp
finally show ?thesis
by auto
qed

lemma cnf-of-encode-interfering-operator-exclusion-is-ii[simp]:
set [encode-interfering-operator-pair-exclusion  $\Pi k op_1 op_2$ .
  ( $op_1, op_2$ )  $\leftarrow$  filter ( $\lambda(op_1, op_2)$ .
    index (strips-problem.operators-of  $\Pi$ ) op1  $\neq$  index (strips-problem.operators-of
 $\Pi$ ) op2
 $\wedge$  are-operators-interfering op1 op2)
  (List.product (strips-problem.operators-of  $\Pi$ ) (strips-problem.operators-of
 $\Pi$ ))
  , k  $\leftarrow$  [0..<t]]
= ( $\bigcup$  ( $op_1, op_2$ )
   $\in$  { ( $op_1, op_2$ )  $\in$  set (operators-of  $\Pi$ )  $\times$  set (operators-of  $\Pi$ ).
    index (strips-problem.operators-of  $\Pi$ ) op1  $\neq$  index (strips-problem.operators-of
 $\Pi$ ) op2
 $\wedge$  are-operators-interfering op1 op2 }.
  ( $\lambda k.$  encode-interfering-operator-pair-exclusion  $\Pi k op_1 op_2$ ) ‘ {0..<t}))

proof –
let ?ops = strips-problem.operators-of  $\Pi$ 
let ?interfering = filter ( $\lambda(op_1, op_2)$ . index ?ops op1  $\neq$  index ?ops op2

```

```

 $\wedge \text{are-operators-interfering } op_1 \text{ } op_2) \text{ } (\text{List.product } ?ops \text{ } ?ops)$ 
let ?fs = [encode-interfering-operator-pair-exclusion  $\Pi k$  op1 op2.
  (op1, op2)  $\leftarrow$  ?interfering, k  $\leftarrow$  [0..<t]]
have set ?fs =  $\bigcup$ (set
  ‘(λ(op1, op2). map (λk. encode-interfering-operator-pair-exclusion  $\Pi k$  op1 op2)
  [0..<t])
  ‘(set (filter (λ(op1, op2). index ?ops op1 ≠ index ?ops op2  $\wedge$  are-operators-interfering
  op1 op2)
  (List.product ?ops ?ops))))
  unfolding set-concat set-map
  by blast
  — TODO slow.
also have ... =  $\bigcup$ ((λ(op1, op2).
  set (map (λk. encode-interfering-operator-pair-exclusion  $\Pi k$  op1 op2) [0..<t]))
  ‘(set (filter (λ(op1, op2). index ?ops op1 ≠ index ?ops op2  $\wedge$  are-operators-interfering
  op1 op2)
  (List.product ?ops ?ops))))
  unfolding image-comp[of
    set λ(op1, op2). map (λk. encode-interfering-operator-pair-exclusion  $\Pi k$  op1
  op2) [0..<t]]
  comp-apply
  by fast
also have ... =  $\bigcup$ ((λ(op1, op2).
  (λk. encode-interfering-operator-pair-exclusion  $\Pi k$  op1 op2) ‘{0..<t})
  ‘(set (filter (λ(op1, op2). index ?ops op1 ≠ index ?ops op2  $\wedge$  are-operators-interfering
  op1 op2)
  (List.product ?ops ?ops))))
  unfolding set-map[of - [0..<t]] atLeastLessThan-up[of 0 t]
  by blast
also have ... =  $\bigcup$ ((λ(op1, op2).
  (λk. encode-interfering-operator-pair-exclusion  $\Pi k$  op1 op2) ‘{0..<t})
  ‘(Set.filter (λ(op1, op2). index ?ops op1 ≠ index ?ops op2  $\wedge$  are-operators-interfering
  op1 op2)
  (set (List.product ?ops ?ops))))
  unfolding set-filter[of λ(op1, op2). are-operators-interfering op1 op2 List.product
  ?ops ?ops]
  by force
  — TODO slow.
finally show ?thesis
  unfolding operators-of-def set-product[of ?ops ?ops]
  by fastforce
qed

```

lemma cnf-of-encode-interfering-operator-exclusion-is-iii[simp]:

```

fixes Π :: 'variable strips-problem
shows cnf ‘set [encode-interfering-operator-pair-exclusion  $\Pi k$  op1 op2.
  (op1, op2)  $\leftarrow$  filter (λ(op1, op2).

```

```

index (strips-problem.operators-of Π) op₁ ≠ index (strips-problem.operators-of
Π) op₂
  ∧ are-operators-interfering op₁ op₂)
  (List.product (strips-problem.operators-of Π) (strips-problem.operators-of
Π))
  , k ← [0..<t]]
= (⋃(op₁, op₂)
  ∈ { (op₁, op₂) ∈ set (strips-problem.operators-of Π) × set (strips-problem.operators-of
Π).
    index (strips-problem.operators-of Π) op₁ ≠ index (strips-problem.operators-of
Π) op₂
    ∧ are-operators-interfering op₁ op₂ }.
  {{ (Operator k (index (strips-problem.operators-of Π) op₁))⁻¹
    , (Operator k (index (strips-problem.operators-of Π) op₂))⁻¹ } } | k. k ∈
{0..<t}})

proof –
let ?ops = strips-problem.operators-of Π
let ?interfering = filter (λ(op₁, op₂). index ?ops op₁ ≠ index ?ops op₂
  ∧ are-operators-interfering op₁ op₂) (List.product ?ops ?ops)
let ?fs = [encode-interfering-operator-pair-exclusion Π k op₁ op₂.
  (op₁, op₂) ← ?interfering, k ← [0..<t]]
have cnf ‘set ?fs = cnf ‘(⋃(op₁, op₂) ∈ { (op₁, op₂).
  (op₁, op₂) ∈ set (operators-of Π) × set (operators-of Π) ∧ index ?ops op₁ ≠
index ?ops op₂
  ∧ are-operators-interfering op₁ op₂ }.
  (λk. encode-interfering-operator-pair-exclusion Π k op₁ op₂) ‘{0..<t})
unfolding cnf-of-encode-interfering-operator-exclusion-is-ii
by blast
also have ... = (⋃(op₁, op₂) ∈ { (op₁, op₂).
  (op₁, op₂) ∈ set (operators-of Π) × set (operators-of Π) ∧ index ?ops op₁ ≠
index ?ops op₂
  ∧ are-operators-interfering op₁ op₂ }.
  (λk. cnf (encode-interfering-operator-pair-exclusion Π k op₁ op₂)) ‘{0..<t})
unfolding image-Un image-comp comp-apply
by blast
also have ... = (⋃(op₁, op₂) ∈ { (op₁, op₂).
  (op₁, op₂) ∈ set (operators-of Π) × set (operators-of Π) ∧ index ?ops op₁ ≠
index ?ops op₂
  ∧ are-operators-interfering op₁ op₂ }.
  (λk. { ( (Operator k (index ?ops op₁))⁻¹, (Operator k (index ?ops op₂))⁻¹ ) } )
‘{0..<t})
by simp
also have ... = (⋃(op₁, op₂) ∈ { (op₁, op₂).
  (op₁, op₂) ∈ set (operators-of Π) × set (operators-of Π) ∧ index ?ops op₁ ≠
index ?ops op₂
  ∧ are-operators-interfering op₁ op₂ }.
  (λk. { ( (Operator k (index ?ops op₁))⁻¹, (Operator k (index ?ops op₂))⁻¹ ) } )
‘{ k | k. k ∈ {0..<t}})
by blast

```

```

— TODO slow.

finally show ?thesis
  unfolding operators-of-def setcompr-eq-image[of -  $\lambda k. k \in \{0..<t\}$ ]
  by force
qed

lemma cnf-of-encode-interfering-operator-exclusion-is:
  cnf (encode-interfering-operator-exclusion  $\Pi t$ ) =  $\bigcup (\bigcup (op_1, op_2)$ 
   $\in \{ (op_1, op_2) \in set (operators-of \Pi) \times set (operators-of \Pi).$ 
  index (strips-problem.operators-of  $\Pi$ )  $op_1 \neq index (strips-problem.operators-of$ 
 $\Pi) op_2$ 
   $\wedge are-operators-interfering op_1 op_2 \}.$ 
   $\{\{( Operator k (index (strips-problem.operators-of \Pi) op_1))^{-1}$ 
   $, (Operator k (index (strips-problem.operators-of \Pi) op_2))^{-1} \} \} \mid k. k \in$ 
   $\{0..<t\}\}$ 
proof –
  let ?ops = strips-problem.operators-of  $\Pi$ 
  let ?interfering = filter ( $\lambda (op_1, op_2). index ?ops op_1 \neq index ?ops op_2$ 
   $\wedge are-operators-interfering op_1 op_2$ ) (List.product ?ops ?ops)
  let ?fs = [encode-interfering-operator-pair-exclusion  $\Pi k op_1 op_2.$ 
   $(op_1, op_2) \leftarrow ?interfering, k \leftarrow [0..<t]$ ]
  have cnf (encode-interfering-operator-exclusion  $\Pi t$ ) = cnf (foldr ( $\wedge$ ) ?fs ( $\neg\perp$ ))
  unfolding encode-interfering-operator-exclusion-def
  by metis
  also have ... =  $\bigcup (cnf ` set ?fs)$ 
  unfolding cnf-foldr-and[of ?fs]..
  finally show ?thesis
  unfolding cnf-of-encode-interfering-operator-exclusion-is-iii[of  $\Pi t$ ]
  by blast
qed

```

lemma cnf-of-encode-interfering-operator-exclusion-contains-clause-if:

```

fixes  $\Pi :: 'variable strips-problem$ 
assumes  $k < t$ 
and  $op_1 \in set (strips-problem.operators-of \Pi)$  and  $op_2 \in set (strips-problem.operators-of$ 
 $\Pi)$ 
and  $index (strips-problem.operators-of \Pi) op_1 \neq index (strips-problem.operators-of$ 
 $\Pi) op_2$ 
and  $are-operators-interfering op_1 op_2$ 
shows  $\{ (Operator k (index (strips-problem.operators-of \Pi) op_1))^{-1}$ 
   $, (Operator k (index (strips-problem.operators-of \Pi) op_2))^{-1} \}$ 
   $\in cnf (encode-interfering-operator-exclusion \Pi t)$ 
proof –
  let ?ops = strips-problem.operators-of  $\Pi$ 
  and  $\Phi_X = encode-interfering-operator-exclusion \Pi t$ 
  let ?Ops =  $\{ (op_1, op_2) \in set (operators-of \Pi) \times set (operators-of \Pi).$ 
   $index ?ops op_1 \neq index ?ops op_2 \wedge are-operators-interfering op_1 op_2 \}$ 
  and ?f =  $\lambda (op_1, op_2). \{\{( Operator k (index ?ops op_1))^{-1}, (Operator k (index$ 

```

```

?ops op2)-1 } }
| k. k ∈ {0..<t}
let ?A = ( ∪(op1, op2) ∈ ?Ops. ?f (op1, op2))
let ?B = ∪ ?A
and ?C = { (Operator k (index ?ops op1))-1, (Operator k (index ?ops op2))-1
}
{
have (op1, op2) ∈ ?Ops
using assms(2, 3, 4, 5)
unfolding operators-of-def
by force
moreover have { ?C } ∈ ?f (op1, op2)
using assms(1)
by auto
moreover have { ?C } ∈ ?A
using UN-iff[of ?C - ?Ops] calculation(1, 2)
by blast
ultimately have ∃X ∈ ?A. ?C ∈ X
by auto
}
thus ?thesis
unfolding cnf-of-encode-interfering-operator-exclusion-is
using Union-iff[of ?C ?A]
by auto
qed

```

lemma *is-cnf-encode-interfering-operator-exclusion*:

```

fixes Π :: 'variable strips-problem
shows is-cnf (encode-interfering-operator-exclusion Π t)
proof -
let ?ops = strips-problem.operators-of Π
let ?interfering = filter (λ(op1, op2). index ?ops op1 ≠ index ?ops op2
∧ are-operators-interfering op1 op2) (List.product ?ops ?ops)
let ?fs = [encode-interfering-operator-pair-exclusion Π k op1 op2.
(op1, op2) ← ?interfering, k ← [0..<t]]
let ?Fs = ( ∪(op1, op2)
∈ { (op1, op2) ∈ set (operators-of Π) × set (operators-of Π). are-operators-interfering
op1 op2 } .
(λk. encode-interfering-operator-pair-exclusion Π k op1 op2) ` {0..<t})
{
fix f
assume f ∈ set ?fs
then have f ∈ ?Fs
unfolding cnf-of-encode-interfering-operator-exclusion-is-ii
by blast
then obtain op1 op2

```

```

where ( $op_1, op_2 \in set(operators-of \Pi) \times set(operators-of \Pi)$ )
and  $are-operators-interfering op_1 op_2$ 
and  $f \in (\lambda k. encode-interfering-operator-pair-exclusion \Pi k op_1 op_2) ` \{0..<t\}$ 
by fast
then obtain  $k$  where  $f = encode-interfering-operator-pair-exclusion \Pi k op_1$ 
 $op_2$ 
by blast
then have  $f = \neg(Atom(Operator k (index ?ops op_1))) \vee \neg(Atom(Operator k (index ?ops op_2)))$ 
unfolding encode-interfering-operator-pair-exclusion-def
by metis
hence is-cnf f
by force
}
thus ?thesis
unfolding encode-interfering-operator-exclusion-def
using is-cnf-foldr-and-if[of ?fs]
by meson
qed

lemma is-cnf-encode-problem-with-operator-interference-exclusion:
assumes is-valid-problem-strips  $\Pi$ 
shows is-cnf ( $\Phi_{\forall} \Pi t$ )
using is-cnf-encode-problem is-cnf-encode-interfering-operator-exclusion assms
unfolding encode-problem-with-operator-interference-exclusion-def SAT-Plan-Base.encode-problem-def
is-cnf.simps(1)
by blast

lemma cnf-of-encode-problem-with-operator-interference-exclusion-structure:
shows cnf ( $\Phi_I \Pi$ )  $\subseteq$  cnf ( $\Phi_{\forall} \Pi t$ )
and cnf (( $\Phi_G \Pi$ )  $t$ )  $\subseteq$  cnf ( $\Phi_{\forall} \Pi t$ )
and cnf (encode-operators  $\Pi t$ )  $\subseteq$  cnf ( $\Phi_{\forall} \Pi t$ )
and cnf (encode-all-frame-axioms  $\Pi t$ )  $\subseteq$  cnf ( $\Phi_{\forall} \Pi t$ )
and cnf (encode-interfering-operator-exclusion  $\Pi t$ )  $\subseteq$  cnf ( $\Phi_{\forall} \Pi t$ )
unfolding encode-problem-with-operator-interference-exclusion-def encode-problem-def
SAT-Plan-Base.encode-problem-def
encode-initial-state-def
encode-goal-state-def
by auto+

lemma encode-problem-with-operator-interference-exclusion-has-model-then-also-partial-encodings:
assumes  $\mathcal{A} \models \Phi_{\forall} \Pi t$ 
shows  $\mathcal{A} \models SAT-Plan-Base.encode-initial-state \Pi$ 
and  $\mathcal{A} \models SAT-Plan-Base.encode-operators \Pi t$ 
and  $\mathcal{A} \models SAT-Plan-Base.encode-all-frame-axioms \Pi t$ 
and  $\mathcal{A} \models encode-interfering-operator-exclusion \Pi t$ 
and  $\mathcal{A} \models SAT-Plan-Base.encode-goal-state \Pi t$ 
using assms

```

```

unfolding encode-problem-with-operator-interference-exclusion-def encode-problem-def
SAT-Plan-Base.encode-problem-def
by simp+

```

Just as for the basic SATPlan encoding we defined local context for the SATPlan encoding with interfering operator exclusion. We omit this here since it is basically identical to the one shown in the basic SATPlan theory replacing only the definitions of `and` and `.. The sublocale proof is shown below. It confirms that the new encoding again a CNF as required by locale .`

8.1 Soundness

The Proof of soundness for the SATPlan encoding with interfering operator exclusion follows directly from the proof of soundness of the basic SATPlan encoding. By looking at the structure of the new encoding which simply extends the basic SATPlan encoding with a conjunct, any model for encoding with exclusion of operator interference also models the basic SATPlan encoding and the soundness of the new encoding therefore follows from theorem [??](#).

Moreover, since we additionally added interfering operator exclusion clauses at every timestep, the decoded parallel plan cannot contain any interfering operators in any parallel operator (making it serializable).

```

lemma encode-problem-serializable-sound-i:
assumes is-valid-problem-strips  $\Pi$ 
and  $\mathcal{A} \models \Phi_{\forall} \Pi t$ 
and  $k < t$ 
and  $ops \in set(subseqs((\Phi^{-1} \Pi \mathcal{A} t) ! k))$ 
shows are-all-operators-non-interfering ops
proof -
let ?ops = strips-problem.operators-of  $\Pi$ 
and ? $\pi = \Phi^{-1} \Pi \mathcal{A} t$ 
and ? $\Phi_X = encode-interfering-operator-exclusion \Pi t$ 
let ? $\pi_k = (\Phi^{-1} \Pi \mathcal{A} t) ! k$ 

{
fix C
assume C-in:  $C \in cnf \Phi_X$ 
have cnf-semantics  $\mathcal{A}(cnf \Phi_X)$ 
using cnf-semantics-monotonous-in-cnf-subsets-if[OF assms(2)
is-cnf-encode-problem-with-operator-interference-exclusion[OF assms(1)]
cnf-of-encode-problem-with-operator-interference-exclusion-structure(5)].
hence clause-semantics  $\mathcal{A} C$ 
unfolding cnf-semantics-def
using C-in
by fast
} note nb1 = this
{

```

```

fix op1 op2
assume op1 ∈ set ?πk and op2 ∈ set ?πk
    and index-op1-is-not-index-op2: index ?ops op1 ≠ index ?ops op2
moreover have op1-in: op1 ∈ set ?ops and A-models-op1:A (Operator k (index
?ops op1))
    and op2-in: op2 ∈ set ?ops and A-models-op2: A (Operator k (index ?ops
op2))
        using decode-plan-step-element-then[OF assms(3)] calculation
        unfolding decode-plan-def
        by blast+
moreover {
let ?C = { (Operator k (index ?ops op1))-1, (Operator k (index ?ops op2))-1
}
assume are-operators-interfering op1 op2
moreover have ?C ∈ cnf ?ΦX
    using cnf-of-encode-interfering-operator-exclusion-contains-clause-if[OF
        assms(3) op1-in op2-in index-op1-is-not-index-op2] calculation
    by blast
moreover have ¬clause-semantics A ?C
    using A-models-op1 A-models-op2
    unfolding clause-semantics-def
    by auto
ultimately have False
    using nb1
    by blast
}
ultimately have ¬are-operators-interfering op1 op2
    by blast
} note nb3 = this
show ?thesis
using assms
proof (induction ops)
case (Cons op1 ops)
have are-all-operators-non-interfering ops
using Cons.IH[OF Cons.prems(1, 2, 3) Cons-in-subseqsD[OF Cons.prems(4)]]
    by blast
moreover {
fix op2
assume op2-in-ops: op2 ∈ set ops
moreover have op1-in-πk: op1 ∈ set ?πk and op2-in-πk: op2 ∈ set ?πk
    using element-of-subseqs-then-subset[OF Cons.prems(4)] calculation(1)
    by auto+
moreover
{
have distinct (op1 # ops)
    using subseqs-distinctD[OF Cons.prems(4)]
        decode-plan-step-distinct[OF Cons.prems(3)]
    unfolding decode-plan-def
    by blast
}

```

```

moreover have op1 ∈ set ?ops and op2 ∈ set ?ops
    using decode-plan-step-element-then(1)[OF Cons.prem(3)] op1-in-πk
op2-in-πk
    unfolding decode-plan-def
    by force+
moreover have op1 ≠ op2
    using op2-in-ops calculation(1)
    by fastforce
ultimately have index ?ops op1 ≠ index ?ops op2
    using index-eq-index-conv
    by auto
}
ultimately have ¬are-operators-interfering op1 op2
    using nb3
    by blast
}
ultimately show ?case
    using list-all-iff
    by auto
qed simp
qed

theorem encode-problem-serializable-sound:
assumes is-valid-problem-strips Π
    and A ⊨ Φ∨ Π t
shows is-parallel-solution-for-problem Π (Φ-1 Π A t)
    and ∀ k < length (Φ-1 Π A t). are-all-operators-non-interfering ((Φ-1 Π A t)
! k)
proof -
{
    have A ⊨ SAT-Plan-Base.encode-initial-state Π
    and A ⊨ SAT-Plan-Base.encode-operators Π t
    and A ⊨ SAT-Plan-Base.encode-all-frame-axioms Π t
    and A ⊨ SAT-Plan-Base.encode-goal-state Π t
    using assms(2)
    unfolding encode-problem-with-operator-interference-exclusion-def
    by simp+
then have A ⊨ SAT-Plan-Base.encode-problem Π t
    unfolding SAT-Plan-Base.encode-problem-def
    by simp
}
thus is-parallel-solution-for-problem Π (Φ-1 Π A t)
using encode-problem-parallel-sound assms(1, 2)
unfolding decode-plan-def
by blast
next
let ?π = Φ-1 Π A t
{
    fix k

```

```

assume  $k < t$ 
moreover have  $\exists \pi ! k \in \text{set}(\text{subseqs}(\exists \pi ! k))$ 
  using subseqs-refl
  by blast
ultimately have are-all-operators-non-interfering ( $\exists \pi ! k$ )
  using encode-problem-serializable-sound-i[OF assms]
unfolding SAT-Plan-Base.decode-plan-def decode-plan-def
  by blast
}
moreover have length  $\exists \pi = t$ 
unfolding SAT-Plan-Base.decode-plan-def decode-plan-def
  by simp
ultimately show  $\forall k < \text{length } \exists \pi. \text{are-all-operators-non-interfering} (\exists \pi ! k)$ 
  by simp
qed

```

8.2 Completeness

```

lemma encode-problem-with-operator-interference-exclusion-complete-i:
assumes is-valid-problem-strips  $\Pi$ 
  and is-parallel-solution-for-problem  $\Pi \pi$ 
  and  $\forall k < \text{length } \pi. \text{are-all-operators-non-interfering} (\pi ! k)$ 
shows valuation-for-plan  $\Pi \pi \models \text{encode-interfering-operator-exclusion} \Pi (\text{length } \pi)$ 
proof -
  let  $\mathcal{A} = \text{valuation-for-plan} \Pi \pi$ 
  and  $\Phi_X = \text{encode-interfering-operator-exclusion} \Pi (\text{length } \pi)$ 
  and  $\text{ops} = \text{strips-problem.operators-of} \Pi$ 
  and  $t = \text{length } \pi$ 
  let  $\tau = \text{trace-parallel-plan-strips} ((\Pi)_I) \pi$ 
  let  $\text{Ops} = \{ (op_1, op_2). (op_1, op_2) \in \text{set}(\text{operators-of } \Pi) \times \text{set}(\text{operators-of } \Pi) \}$ 
     $\wedge \text{index } \text{ops } op_1 \neq \text{index } \text{ops } op_2$ 
     $\wedge \text{are-operators-interfering } op_1 \text{ } op_2 \}$ 
  and  $f = \lambda(op_1, op_2). \{ \{ \{ (\text{Operator } k (\text{index } \text{ops } op_1))^{-1}, (\text{Operator } k (\text{index } \text{ops } op_2))^{-1} \} \}$ 
     $| k. k \in \{0..<\text{length } \pi\} \}$ 
  let  $A = \bigcup (\mathcal{f} \cdot \text{Ops})$ 
  let  $B = \bigcup A$ 
have nb1:  $\forall ops \in \text{set } \pi. \forall op \in \text{set } ops. op \in \text{set}(\text{operators-of } \Pi)$ 
  using is-parallel-solution-for-problem-operator-set[OF assms(2)]
  unfolding operators-of-def
  by blast

{
  fix  $k op$ 
  assume  $k < \text{length } \pi$  and  $op \in \text{set}(\pi ! k)$ 
  hence lit-semantics  $\mathcal{A} ((\text{Operator } k (\text{index } \text{ops } op))^+) = (k < \text{length } \tau - 1)$ 
  using encode-problem-parallel-complete-vi-a[OF assms(2)]

```

```

    encode-problem-parallel-complete-vi-b[OF assms(2)] initial-of-def
    by(cases k < length  $\pi$  - 1; simp)
} note nb2 = this
{
fix k op1 op2
assume k < length  $\pi$ 
and op1 ∈ set ( $\pi ! k$ )
and index ?ops op1 ≠ index ?ops op2
and are-operators-interfering op1 op2
moreover have are-all-operators-non-interfering ( $\pi ! k$ )
using assms(3) calculation(1)
by blast
moreover have op1 ≠ op2
using calculation(3)
by blast
ultimately have op2 ∉ set ( $\pi ! k$ )
using are-all-operators-non-interfering-set-contains-no-distinct-interfering-operator-pairs
assms(3)
by blast
} note nb3 = this
{
fix C
assume C ∈ cnf ?ΦX
then have C ∈ ?B
using cnf-of-encode-interfering-operator-exclusion-is[of Π length  $\pi$ ]
by argo
then obtain C' where C' ∈ ?A and C-in: C ∈ C'
using Union-iff[of C ?A]
by meson
then obtain op1 op2 where (op1, op2) ∈ set (operators-of Π) × set (operators-of
Π)
and index-op1-is-not-index-op2: index ?ops op1 ≠ index ?ops op2
and are-operators-interfering-op1-op2: are-operators-interfering op1 op2
and C'-in: C' ∈ {{(Operator k (index ?ops op1))-1, (Operator k (index ?ops
op2))-1} |
k. k ∈ {0..<length  $\pi$ }}}
using UN-iff[of C' ?f ?Ops]
by blast
then obtain k where k ∈ {0..<length  $\pi$ }
and C-is: C = {(Operator k (index ?ops op1))-1, (Operator k (index ?ops
op2))-1}
using C-in C'-in
by blast
then have k-lt-length-π: k < length  $\pi$ 
by simp
consider (A) op1 ∈ set ( $\pi ! k$ )
| (B) op2 ∈ set ( $\pi ! k$ )
| (C)  $\neg op_1 \in set (\pi ! k) \vee \neg op_2 \in set (\pi ! k)$ 
by linarith

```

```

hence clause-semantics ?A C
  proof (cases)
    case A
      moreover have op2 ∉ set (π ! k)
      using nb3 k-lt-length-π calculation index-op1-is-not-index-op2 are-operators-interfering-op1-op2
        by blast
      moreover have ¬?A (Operator k (index ?ops op2))
        using encode-problem-parallel-complete-vi-d[OF assms(2) k-lt-length-π]
          calculation(2)
        by blast
      ultimately show ?thesis
        using C-is
        unfolding clause-semantics-def
        by force
    next
    case B
      moreover have op1 ∉ set (π ! k)
      using nb3 k-lt-length-π calculation index-op1-is-not-index-op2 are-operators-interfering-op1-op2
        by blast
      moreover have ¬?A (Operator k (index ?ops op1))
        using encode-problem-parallel-complete-vi-d[OF assms(2) k-lt-length-π]
          calculation(2)
        by blast
      ultimately show ?thesis
        using C-is
        unfolding clause-semantics-def
        by force
    next
    case C
    then show ?thesis
      proof (rule disjE)
        assume op1 ∉ set (π ! k)
        then have ¬?A (Operator k (index ?ops op1))
          using encode-problem-parallel-complete-vi-d[OF assms(2) k-lt-length-π]
            by blast
        thus clause-semantics (valuation-for-plan Π π) C
          using C-is
          unfolding clause-semantics-def
          by force
      next
      assume op2 ∉ set (π ! k)
      then have ¬?A (Operator k (index ?ops op2))
        using encode-problem-parallel-complete-vi-d[OF assms(2) k-lt-length-π]
          by blast
      thus clause-semantics (valuation-for-plan Π π) C
        using C-is
        unfolding clause-semantics-def
        by force
    qed

```

```

qed
}
then have cnf-semantics ?A (cnf ?ΦX)
  unfolding cnf-semantics-def..
thus ?thesis
using cnf-semantics[OF is-nnf-cnf[OF is-cnf-encode-interfering-operator-exclusion]]
  by fast
qed

```

Similar to the soundness proof, we may reuse the previously established facts about the valuation for the completeness proof of the basic SATPlan encoding (??). To make it clearer why this is true we have a look at the form of the clauses for interfering operator pairs op_1 and op_2 at the same time index k which have the form shown below:

$$\{ (Operator\ k\ (index\ ops\ op_1))^{-1}, (Operator\ k\ (index\ ops\ op_2))^{-1} \}$$

where $ops \equiv \Pi_{\mathcal{O}}$. Now, consider an operator op_1 that is contained in the k -th plan step $\pi ! k$ (symmetrically for op_2). Since π is a serializable solution, there can be no interference between op_1 and op_2 at time k . Hence op_2 cannot be in $\pi ! k$. This entails that for $\mathcal{A} \equiv \text{valuation-for-plan } \Pi \pi$ it holds that

$$\mathcal{A} \models \neg Atom\ (Operator\ k\ (index\ ops\ op_2))$$

and \mathcal{A} therefore models the clause.

Furthermore, if neither is present, than \mathcal{A} will evaluate both atoms to false and the clause therefore evaluates to true as well.

It follows from this that each clause in the extension of the SATPlan encoding evaluates to true for \mathcal{A} . The other parts of the encoding evaluate to true as per the completeness of the basic SATPlan encoding (theorem ??).

```

theorem encode-problem-serializable-complete:
assumes is-valid-problem-strips Π
  and is-parallel-solution-for-problem Π π
  and ∀ k < length π. are-all-operators-non-interfering (π ! k)
shows valuation-for-plan Π π ⊨ Φforall Π (length π)
proof -
let ?A = valuation-for-plan Π π
  and ?ΦX = encode-interfering-operator-exclusion Π (length π)
have ?A ⊨ SAT-Plan-Base.encode-problem Π (length π)
  using assms(1, 2) encode-problem-parallel-complete
  by auto
moreover have ?A ⊨ ?ΦX
  using encode-problem-with-operator-interference-exclusion-complete-i[OF assms].
ultimately show ?thesis
unfolding encode-problem-with-operator-interference-exclusion-def encode-problem-def
  SAT-Plan-Base.encode-problem-def

```

by force

qed

value stop

```
lemma encode-problem-forall-step-decoded-plan-is-serializable-i:
assumes is-valid-problem-strips Π
  and A ⊨ Φ∨ Π t
  shows (Π)G ⊆m execute-serial-plan ((Π)I) (concat (Φ-1 Π A t))
proof -
let ?G = (Π)G
  and ?I = (Π)I
  and ?π = Φ-1 Π A t
let ?π' = concat (Φ-1 Π A t)
  and ?τ = trace-parallel-plan-strips ?I ?π
  and ?σ = map (decode-state-at Π A) [0..<Suc (length ?π)]
{
fix k
assume k-lt-length-π: k < length ?π
moreover have A ⊨ SAT-Plan-Base.encode-problem Π t
  using assms(2)
  unfolding encode-problem-with-operator-interference-exclusion-def
    encode-problem-def SAT-Plan-Base.encode-problem-def
  by simp
moreover have length ?σ = length ?τ
  using encode-problem-parallel-correct-vii assms(1) calculation
  unfolding decode-state-at-def decode-plan-def initial-of-def
  by fast
ultimately have k < length ?τ - 1 and k < t
  unfolding decode-plan-def SAT-Plan-Base.decode-plan-def
  by force+
} note nb = this
{
have ?G ⊆m execute-parallel-plan ?I ?π
  using encode-problem-serializable-sound assms
  unfolding is-parallel-solution-for-problem-def decode-plan-def
    goal-of-def initial-of-def
  by blast
hence ?G ⊆m last (trace-parallel-plan-strips ?I ?π)
  using execute-parallel-plan-reaches-goal-iff-goal-is-last-element-of-trace
  by fast
}
moreover {
fix k
assume k-lt-length-π: k < length ?π
moreover have k < length ?τ - 1 and k < t
  using nb calculation
  by blast+
```

```

moreover have are-all-operators-applicable (?τ ! k) (?π ! k)
  and are-all-operator-effects-consistent (?π ! k)
  using trace-parallel-plan-strips-operator-preconditions calculation(2)
  by blast+
moreover have are-all-operators-non-interfering (?π ! k)
  using encode-problem-serializable-sound(2)[OF assms(1, 2)] k-lt-length-π
  by blast
ultimately have are-all-operators-applicable (?τ ! k) (?π ! k)
  and are-all-operator-effects-consistent (?π ! k)
  and are-all-operators-non-interfering (?π ! k)
  by blast+
}
ultimately show ?thesis
  using execute-parallel-plan-is-execute-sequential-plan-if assms(1)
  by metis
qed

```

lemma encode-problem-forall-step-decoded-plan-is-serializable-ii:

```

fixes Π :: 'variable strips-problem
shows list-all (λop. ListMem op (strips-problem.operators-of Π))
  (concat (Φ⁻¹ Π A t))
proof -
let ?π = Φ⁻¹ Π A t
let ?π' = concat ?π

{
have set ?π' = ⋃(set ` (⋃k < t. { decode-plan' Π A k }))
  unfolding decode-plan-def decode-plan-set-is set-concat
  by auto
also have ... = ⋃(⋃k < t. { set (decode-plan' Π A k) })
  by blast
finally have set ?π' = (⋃k < t. set (decode-plan' Π A k))
  by blast
} note nb = this
{
fix op
assume op ∈ set ?π'
then obtain k where k < t and op ∈ set (decode-plan' Π A k)
  using nb
  by blast
moreover have op ∈ set (decode-plan Π A t ! k)
  using calculation
  unfolding decode-plan-def SAT-Plan-Base.decode-plan-def
  by simp
ultimately have op ∈ set (operators-of Π)
  using decode-plan-step-element-then(1)
  unfolding operators-of-def decode-plan-def

```

```

    by blast
}
thus ?thesis
  unfolding list-all-iff ListMem-iff operators-of-def
  by blast
qed

```

Given the soundness and completeness of the SATPlan encoding with interfering operator exclusion $\Phi_{\vee} \Pi t$, we can now conclude this part with showing that for a parallel plan $\pi \equiv \Phi^{-1} \Pi \mathcal{A} t$ that was decoded from a model \mathcal{A} of $\Phi_{\vee} \Pi t$ the serialized plan $\pi' \equiv concat \pi$ is a serial solution for Π . To this end, we have to show that

- the state reached by serial execution of π' subsumes G , and
- all operators in π' are operators contained in \mathcal{O} .

While the proof of the latter step is rather straight forward, the proof for the former requires a bit more work. We use the previously established theorem on serial and parallel STRIPS equivalence (theorem ??) to show the serializability of π and therefore have to show that G is subsumed by the last state of the trace of π'

$$G \subseteq_m last (trace-sequential-plan-strips I \pi')$$

and moreover that at every step of the parallel plan execution, the parallel operator execution condition as well as non interference are met

$$\forall k < length \pi. are-all-operators-non-interfering (\pi ! k)$$

- ¹² Note that the parallel operator execution condition is implicit in the existence of the parallel trace for π with

$$G \subseteq_m last (trace-parallel-plan-strips I \pi)$$

warranted by the soundness of $\Phi_{\vee} \Pi t$.

theorem *serializable-encoding-decoded-plan-is-serializable*:

```

assumes is-valid-problem-strips \Pi
  and \mathcal{A} \models \Phi_{\vee} \Pi t
shows is-serial-solution-for-problem \Pi (concat (\Phi^{-1} \Pi \mathcal{A} t))
using encode-problem-forall-step-decoded-plan-is-serializable-i[OF assms]
  encode-problem-forall-step-decoded-plan-is-serializable-ii
unfoldng is-serial-solution-for-problem-def goal-of-def
  initial-of-def decode-plan-def
by blast

```

¹²These propositions are shown in lemmas `encode_problem_forall_step_decoded_plan_is_serializable_ii` and `encode_problem_forall_step_decoded_plan_is_serializable_i` which have been omitted for brevity.

```

end

theory SAT-Solve-SAS-Plus
imports SAS-Plus-STRIPS
SAT-Plan-Extensions
begin

lemma sas-plus-problem-has-serial-solution-iff-i:
assumes is-valid-problem-sas-plus  $\Psi$ 
and  $\mathcal{A} \models \Phi_{\forall} (\varphi \Psi) t$ 
shows is-serial-solution-for-problem  $\Psi [\varphi_O^{-1} \Psi op. op \leftarrow concat (\Phi^{-1} (\varphi \Psi) \mathcal{A} t)]$ 
proof -
let ? $\Pi = \varphi \Psi$ 
and ? $\pi' = concat (\Phi^{-1} (\varphi \Psi) \mathcal{A} t)$ 
let ? $\psi = [\varphi_O^{-1} \Psi op. op \leftarrow ?\pi']$ 
{
have is-valid-problem-strips ? $\Pi$ 
using is-valid-problem-sas-plus-then-strips-transformation-too[OF assms(1)].
moreover have STRIPS-Semantics.is-serial-solution-for-problem ? $\Pi$  ? $\pi'$ 
using calculation serializable-encoding-decoded-plan-is-serializable[OF
-assms(2)]
unfolding decode-plan-def
by simp
ultimately have SAS-Plus-Semantics.is-serial-solution-for-problem  $\Psi$  ? $\psi$ 
using assms(1) serial-strips-equivalent-to-serial-sas-plus
by blast
}
thus ?thesis
using serial-strips-equivalent-to-serial-sas-plus[OF assms(1)]
by blast
qed

```

```

lemma sas-plus-problem-has-serial-solution-iff-ii:
assumes is-valid-problem-sas-plus  $\Psi$ 
and is-serial-solution-for-problem  $\Psi \psi$ 
and  $h = length \psi$ 
shows  $\exists \mathcal{A}. (\mathcal{A} \models \Phi_{\forall} (\varphi \Psi) h)$ 
proof -
let ? $\Pi = \varphi \Psi$ 
and ? $\pi = \varphi_P \Psi$  (embed  $\psi$ )
let ? $\mathcal{A} = valuation-for-plan$  ? $\Pi$  ? $\pi$ 
let ? $t = length \psi$ 

have nb:  $length \psi = length ?\pi$ 
unfolding SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def

```

```

sasp-op-to-strips-def
sas-plus-parallel-plan-to-strips-parallel-plan-def
by (induction  $\psi$ ; auto)
have is-valid-problem-strips ? $\Pi$ 
  using assms(1) is-valid-problem-sas-plus-then-strips-transformation-too
  by blast
moreover have STRIPS-Semantics.is-parallel-solution-for-problem ? $\Pi$  ? $\pi$ 
  using execute-serial-plan-sas-plus-is-execute-parallel-plan-sas-plus[OF assms(1,2)]

  strips-equivalent-to-sas-plus[OF assms(1)]
  by blast
moreover {
  fix k
  assume k < length ? $\pi$ 
  moreover obtain ops' where ops' = ? $\pi$  ! k
    by simp
  moreover have ops' ∈ set ? $\pi$ 
    using calculation nth-mem
    by blast
  moreover have ? $\pi$  = [[ $\varphi_O \Psi$  op. op  $\leftarrow$  ops]. ops  $\leftarrow$  embed  $\psi$ ]
    unfolding SAS-Plus-STRIPS.sas-plus-parallel-plan-to-strips-parallel-plan-def

  sasp-op-to-strips-def
  sas-plus-parallel-plan-to-strips-parallel-plan-def
  ..
  moreover obtain ops
    where ops' = [ $\varphi_O \Psi$  op. op  $\leftarrow$  ops]
      and ops ∈ set (embed  $\psi$ )
    using calculation(3, 4)
    by auto
  moreover have ops ∈ { [op] | op. op ∈ set  $\psi$  }
    using calculation(6) set-of-embed-is
    by blast
  moreover obtain op
    where ops = [op] and op ∈ set  $\psi$ 
    using calculation(7)
    by blast
  ultimately have are-all-operators-non-interfering (? $\pi$  ! k)
    by fastforce
}
ultimately show ?thesis
  using encode-problem-serializable-complete nb
  by (auto simp: assms(3))
qed

```

To wrap-up our documentation of the Isabelle formalization, we take a look at the central theorem which combines all the previous theorem to show that SAS+ problems Ψ can be solved using the planning as satisfiability framework.

A solution ψ for the SAS+ problem Ψ exists if and only if a model \mathcal{A} and a hypothesized plan length t exist s.t.

$$\mathcal{A} \models \Phi_{\forall} (\varphi \Psi) t$$

for the serializable SATPlan encoding of the corresponding STRIPS problem $\Phi_{\forall} \varphi \Psi t$ exist.

theorem *sas-plus-problem-has-serial-solution-iff*:
assumes *is-valid-problem-sas-plus* Ψ
shows $(\exists \psi. \text{is-serial-solution-for-problem } \Psi \psi) \longleftrightarrow (\exists \mathcal{A} t. \mathcal{A} \models \Phi_{\forall} (\varphi \Psi) t)$
using *sas-plus-problem-has-serial-solution-iff-i*[OF assms]
sas-plus-problem-has-serial-solution-iff-ii[OF assms]
by *blast*

10 Adding Noop actions to the SAS+ problem

Here we add noop actions to the SAS+ problem to enable the SAT formula to be satisfiable if there are plans that are shorter than the given horizons.

definition *empty-sasp-action* $\equiv (\text{SAS-Plus-Representation.sas-plus-operator.precondition-of} = []$,
 $SAS\text{-Plus-Representation.sas-plus-operator.effect-of} = [])$

lemma *sasp-exec-noops*: *execute-serial-plan-sas-plus* s (*replicate n empty-sasp-action*)
 $= s$
by (*induction n arbitrary*:)
 $(\text{auto simp: empty-sasp-action-def STRIPS-Representation.is-operator-applicable-in-def execute-operator-def})$

definition

prob-with-noop $\Pi \equiv$
 $(\text{SAS-Plus-Representation.sas-plus-problem.variables-of} = SAS\text{-Plus-Representation.sas-plus-problem.variables-of},$
 $\Pi,$
 $SAS\text{-Plus-Representation.sas-plus-problemoperators-of} = empty\text{-sasp-action}$
 $\# SAS\text{-Plus-Representation.sas-plus-problemoperators-of} \Pi,$
 $SAS\text{-Plus-Representation.sas-plus-problem.initial-of} = SAS\text{-Plus-Representation.sas-plus-problem.initial-of},$
 $\Pi,$
 $SAS\text{-Plus-Representation.sas-plus-problem.goal-of} = SAS\text{-Plus-Representation.sas-plus-problem.goal-of},$
 $\Pi,$
 $SAS\text{-Plus-Representation.sas-plus-problem.range-of} = SAS\text{-Plus-Representation.sas-plus-problem.range-of},$
 $\Pi)$

lemma *sasp-noops-in-noop-problem*: *set* (*replicate n empty-sasp-action*) \subseteq *set* (*SAS-Plus-Representation.sas-plus-problem (prob-with-noop* $\Pi)$)
by (*induction n*) (*auto simp: prob-with-noop-def*)

lemma *noops-complete*:

SAS-Plus-Semantics.is-serial-solution-for-problem $\Psi \pi \implies$

```

SAS-Plus-Semantics.is-serial-solution-for-problem (prob-with-noop  $\Psi$ ) ((replicate
n empty-sasp-action) @  $\pi$ )
by(induction n)
  (auto simp: SAS-Plus-Semantics.is-serial-solution-for-problem-def insert list.pred-set
          sasp-exec-noops prob-with-noop-def Let-def empty-sasp-action-def
          elem)

definition rem-noops  $\equiv$  filter ( $\lambda op. op \neq empty-sasp-action$ )

lemma sasp-filter-empty-action:
  execute-serial-plan-sas-plus s (rem-noops  $\pi s$ ) = execute-serial-plan-sas-plus s  $\pi s$ 
by (induction  $\pi s$  arbitrary: s)
  (auto simp: empty-sasp-action-def rem-noops-def)

lemma nooops-sound:
  SAS-Plus-Semantics.is-serial-solution-for-problem (prob-with-noop  $\Psi$ )  $\pi s \implies$ 
    SAS-Plus-Semantics.is-serial-solution-for-problem  $\Psi$  (rem-noops  $\pi s$ )
by (induction  $\pi s$ )
  (fastforce simp: SAS-Plus-Semantics.is-serial-solution-for-problem-def insert
list.pred-set
          prob-with-noop-def ListMem-iff rem-noops-def
          sasp-filter-empty-action[unfolded empty-sasp-action-def rem-noops-def]
          empty-sasp-action-def)+

lemma nooops-valid: is-valid-problem-sas-plus  $\Psi \implies$  is-valid-problem-sas-plus (prob-with-noop
 $\Psi$ )
by (auto simp: is-valid-problem-sas-plus-def prob-with-noop-def Let-def
empty-sasp-action-def is-valid-operator-sas-plus-def list.pred-set)

lemma sas-plus-problem-has-serial-solution-iff-i':
assumes is-valid-problem-sas-plus  $\Psi$ 
  and  $\mathcal{A} \models \Phi_{\forall} (\varphi (prob-with-noop \Psi)) t$ 
shows SAS-Plus-Semantics.is-serial-solution-for-problem  $\Psi$ 
  (rem-noops
    (map ( $\lambda op. \varphi_O^{-1} (prob-with-noop \Psi) op$ )
          (concat ( $\Phi^{-1} (\varphi (prob-with-noop \Psi)) \mathcal{A} t$ ))))
using assms nooops-valid
by(force intro!: nooops-sound sas-plus-problem-has-serial-solution-iff-i)

lemma sas-plus-problem-has-serial-solution-iff-ii':
assumes is-valid-problem-sas-plus  $\Psi$ 
  and SAS-Plus-Semantics.is-serial-solution-for-problem  $\Psi \psi$ 
  and length  $\psi \leq h$ 
shows  $\exists \mathcal{A}. (\mathcal{A} \models \Phi_{\forall} (\varphi (prob-with-noop \Psi)) h)$ 
using assms
by(fastforce
  intro!: assms nooops-valid nooops-complete
  sas-plus-problem-has-serial-solution-iff-ii
  [where  $\psi = (\text{replicate} (h - \text{length } \psi) empty-sasp-action) @ \psi$ ])

```

```

end

theory AST-SAS-Plus-Equivalence
imports AI-Planning-Languages-Semantics.SASP-Semantics SAS-Plus-Semantics
List-Index.List-Index
begin

```

11 Proving Equivalence of SAS+ representation and Fast-Downward's Multi-Valued Problem Representation

11.1 Translating Fast-Downward's representation to SAS+

```

type-synonym nat-sas-plus-problem = (nat, nat) sas-plus-problem
type-synonym nat-sas-plus-operator = (nat, nat) sas-plus-operator
type-synonym nat-sas-plus-plan = (nat, nat) sas-plus-plan
type-synonym nat-sas-plus-state = (nat, nat) state

definition is-standard-effect :: ast-effect ⇒ bool
where is-standard-effect ≡ λ(pre, -, -, -). pre = []

definition is-standard-operator :: ast-operator ⇒ bool
where is-standard-operator ≡ λ(-, -, effects, -). list-all is-standard-effect effects

fun rem-effect-implicit-pres:: ast-effect ⇒ ast-effect where
rem-effect-implicit-pres (preconds, v, implicit-pre, eff) = (preconds, v, None, eff)

fun rem-implicit-pres :: ast-operator ⇒ ast-operator where
rem-implicit-pres (name, preconds, effects, cost) =
  (name, (implicit-pres effects) @ preconds, map rem-effect-implicit-pres effects, cost)

fun rem-implicit-pres-ops :: ast-problem ⇒ ast-problem where
rem-implicit-pres-ops (vars, init, goal, ops) = (vars, init, goal, map rem-implicit-pres ops)

definition consistent-map-lists xs1 xs2 ≡ (forall (x1,x2) ∈ set xs1. forall (y1,y2) ∈ set xs2.
x1 = y1 → x1 = y2)

lemma map-add-comm: (forall x. x ∈ dom m1 ∧ x ∈ dom m2 ⇒ m1 x = m2 x) ⇒
m1 ++ m2 = m2 ++ m1
by (fastforce simp add: map-add-def split: option.splits)

lemma first-map-add-submap: (forall x. x ∈ dom m1 ∧ x ∈ dom m2 ⇒ m1 x = m2 x) ⇒
m1 ++ m2 ⊆_m x ⇒ m1 ⊆_m x

```

using map-add-le-mapE map-add-comm
by force

lemma subsuming-states-map-add:

$(\bigwedge x. x \in \text{dom } m1 \cap \text{dom } m2 \implies m1 x = m2 x) \implies$
 $m1 ++ m2 \subseteq_m s \longleftrightarrow (m1 \subseteq_m s \wedge m2 \subseteq_m s)$
by (auto simp: map-add-le-mapI intro: first-map-add-submap map-add-le-mapE)

lemma consistent-map-lists:

$\llbracket \text{distinct} (\text{map fst} (xs1 @ xs2)); x \in \text{dom} (\text{map-of } xs1) \cap \text{dom} (\text{map-of } xs2) \rrbracket \implies$
 $(\text{map-of } xs1) x = (\text{map-of } xs2) x$
apply (induction xs1)
apply (simp-all add: consistent-map-lists-def image-def)
using map-of-SomeD
by fastforce

lemma subsuming-states-append:

$\text{distinct} (\text{map fst} (xs @ ys)) \implies$
 $(\text{map-of } (xs @ ys)) \subseteq_m s \longleftrightarrow ((\text{map-of } ys) \subseteq_m s \wedge (\text{map-of } xs) \subseteq_m s)$
unfolding map-of-append
apply (intro subsuming-states-map-add)
apply (auto simp add: image-def)
by (metis (mono-tags, lifting) IntI empty_iff fst_conv mem_Collect_eq)

definition consistent-pres-op **where**

$\text{consistent-pres-op } op \equiv (\text{case } op \text{ of } (\text{name}, \text{pres}, \text{effs}, \text{cost}) \Rightarrow$
 $\text{distinct} (\text{map fst} (\text{pres} @ (\text{implicit-pres effs}))) \wedge \text{consistent-map-lists pres} (\text{implicit-pres effs}))$

definition consistent-pres-op' **where**

$\text{consistent-pres-op}' op \equiv (\text{case } op \text{ of } (\text{name}, \text{pres}, \text{effs}, \text{cost}) \Rightarrow$
 $\text{consistent-map-lists pres} (\text{implicit-pres effs}))$

lemma consistent-pres-op-then': consistent-pres-op op \implies consistent-pres-op' op
by (auto simp add: consistent-pres-op'-def consistent-pres-op-def)

lemma rem-implicit-pres-ops-valid-states:

$\text{ast-problem.valid-states} (\text{rem-implicit-pres-ops prob}) = \text{ast-problem.valid-states}$
 prob
apply (cases prob)
by (auto simp add: ast-problem.valid-states-def ast-problem.Dom-def
ast-problem.numVars-def ast-problem.astDom-def
ast-problem.range-of-var-def ast-problem.numVals-def)

lemma rem-implicit-pres-ops-lookup-op-None:

$\text{ast-problem.lookup-operator} (\text{vars}, \text{init}, \text{goal}, \text{ops}) \text{ name} = \text{None} \longleftrightarrow$
 $\text{ast-problem.lookup-operator} (\text{rem-implicit-pres-ops} (\text{vars}, \text{init}, \text{goal}, \text{ops})) \text{ name} = \text{None}$

```

by (induction ops) (auto simp: ast-problem.lookup-operator-def ast-problem.astδ-def)

lemma rem-implicit-pres-ops-lookup-op-Some-1:
  ast-problem.lookup-operator (vars, init, goal, ops) name = Some (n,p,vp,e) ==>
  ast-problem.lookup-operator (rem-implicit-pres-ops (vars, init, goal, ops)) name
  =
  Some (rem-implicit-pres (n,p,vp,e))
by (induction ops) (fastforce simp: ast-problem.lookup-operator-def ast-problem.astδ-def)+

lemma rem-implicit-pres-ops-lookup-op-Some-1':
  ast-problem.lookup-operator prob name = Some (n,p,vp,e) ==>
  ast-problem.lookup-operator (rem-implicit-pres-ops prob) name =
  Some (rem-implicit-pres (n,p,vp,e))
apply(cases prob)
using rem-implicit-pres-ops-lookup-op-Some-1
by simp

lemma implicit-pres-empty: implicit-pres (map rem-effect-implicit-pres effs) = []
by (induction effs) (auto simp: implicit-pres-def)

lemma rem-implicit-pres-ops-lookup-op-Some-2:
  ast-problem.lookup-operator (rem-implicit-pres-ops (vars, init, goal, ops)) name
  = Some op
  ==> ∃ op'. ast-problem.lookup-operator (vars, init, goal, ops) name = Some op'
  ∧
  (op = rem-implicit-pres op')
by (induction ops) (auto simp: ast-problem.lookup-operator-def ast-problem.astδ-def
implicit-pres-empty image-def)

lemma rem-implicit-pres-ops-lookup-op-Some-2':
  ast-problem.lookup-operator (rem-implicit-pres-ops prob) name = Some (n,p,e,c)
  ==> ∃ op'. ast-problem.lookup-operator prob name = Some op' ∧
  ((n,p,e,c) = rem-implicit-pres op')
apply(cases prob)
using rem-implicit-pres-ops-lookup-op-Some-2
by auto

lemma subsuming-states-def':
  s ∈ ast-problem.subsuming-states prob ps = (s ∈ (ast-problem.valid-states prob)
  ∧ ps ⊆m s)
by (auto simp add: ast-problem.subsuming-states-def)

lemma rem-implicit-pres-ops-enabled-1:
  [(∀ op. op ∈ set (ast-problem.astδ prob) ==> consistent-pres-op op);
   ast-problem.enabled prob name s] ==>
  ast-problem.enabled (rem-implicit-pres-ops prob) name s
by (fastforce simp: ast-problem.enabled-def rem-implicit-pres-ops-valid-states sub-
suming-states-def'
  implicit-pres-empty

```

```

intro!: map-add-le-mapI
dest: rem-implicit-pres-ops-lookup-op-Some-1'
split: option.splits)+

context ast-problem
begin

lemma lookup-Some-inδ: lookup-operator π = Some op  $\implies$  op ∈ set ast $\delta$ 
    by(auto simp: find-Some-if $f$  in-set-conv-nth lookup-operator-def)

end

lemma rem-implicit-pres-ops-enabled-2:
    assumes ( $\bigwedge$  op. op ∈ set (ast-problem.ast $\delta$  prob)  $\implies$  consistent-pres-op op)
    shows ast-problem.enabled (rem-implicit-pres-ops prob) name s  $\implies$ 
        ast-problem.enabled prob name s
    using assms[OF ast-problem.lookup-Some-in $\delta$ , unfolded consistent-pres-op-def]
    apply(auto simp: subsuming-states-append rem-implicit-pres-ops-valid-states sub-
summing-states-def'
        ast-problem.enabled-def
        dest!: rem-implicit-pres-ops-lookup-op-Some-2'
        split: option.splits)
    using subsuming-states-map-add consistent-map-lists
    apply (metis Map.map-add-comm dom-map-of-conv-image-fst map-add-le-mapE)
    using map-add-le-mapE by blast

lemma rem-implicit-pres-ops-enabled:
    ( $\bigwedge$  op. op ∈ set (ast-problem.ast $\delta$  prob)  $\implies$  consistent-pres-op op)  $\implies$ 
        ast-problem.enabled (rem-implicit-pres-ops prob) name s = ast-problem.enabled
        prob name s
    using rem-implicit-pres-ops-enabled-1 rem-implicit-pres-ops-enabled-2
    by blast

context ast-problem
begin

lemma std-eff-enabled[simp]:
    is-standard-operator (name, pres, effs, layer)  $\implies$  s ∈ valid-states  $\implies$  (filter
    (eff-enabled s) effs) = effs
    by (induction effs) (auto simp: is-standard-operator-def is-standard-effect-def
    eff-enabled-def subsuming-states-def)

end

lemma is-standard-operator-rem-implicit: is-standard-operator (n,p, vp, v)  $\implies$ 
    is-standard-operator (rem-implicit-pres (n,p, vp, v))
    by (induction vp) (auto simp: is-standard-operator-def is-standard-effect-def)

lemma is-standard-operator-rem-implicit-pres-ops:

```

```

 $\llbracket (\bigwedge op. op \in set (ast\text{-}problem.ast\delta (a,b,c,d)) \implies is\text{-}standard\text{-}operator op);$ 
 $op \in set (ast\text{-}problem.ast\delta (rem\text{-}implicit\text{-}pres\text{-}ops (a,b,c,d))) \rrbracket$ 
 $\implies is\text{-}standard\text{-}operator op$ 
by (induction d) (fastforce simp add: ast\text{-}problem.ast\delta\text{-}def image\text{-}def dest!: is\text{-}standard\text{-}operator\text{-}rem\text{-}implicit)+

lemma is\text{-}standard\text{-}operator\text{-}rem\text{-}implicit\text{-}pres\text{-}ops':
 $\llbracket op \in set (ast\text{-}problem.ast\delta (rem\text{-}implicit\text{-}pres\text{-}ops prob));$ 
 $(\bigwedge op. op \in set (ast\text{-}problem.ast\delta prob) \implies is\text{-}standard\text{-}operator op) \rrbracket$ 
 $\implies is\text{-}standard\text{-}operator op$ 
apply(cases prob)
using is\text{-}standard\text{-}operator\text{-}rem\text{-}implicit\text{-}pres\text{-}ops
by blast

lemma in\text{-}rem\text{-}implicit\text{-}pres\text{-}\delta:
 $op \in set (ast\text{-}problem.ast\delta prob) \implies$ 
 $rem\text{-}implicit\text{-}pres op \in set (ast\text{-}problem.ast\delta (rem\text{-}implicit\text{-}pres\text{-}ops prob))$ 
by(auto simp add: ast\text{-}problem.ast\delta\text{-}def)

lemma rem\text{-}implicit\text{-}pres\text{-}ops\text{-}execute:
assumes
 $(\bigwedge op. op \in set (ast\text{-}problem.ast\delta prob) \implies is\text{-}standard\text{-}operator op) \text{ and}$ 
 $s \in ast\text{-}problem.valid\text{-}states prob$ 
shows ast\text{-}problem.execute (rem\text{-}implicit\text{-}pres\text{-}ops prob) name s = ast\text{-}problem.execute
prob name s
proof-
have (n,ps,es,c) ∈ set (ast\text{-}problem.ast\delta prob)  $\implies$ 
(filter (ast\text{-}problem.eff\text{-}enabled prob s) es) = es for n ps es c
using assms(2)
by (auto simp add: ast\text{-}problem.std\text{-}eff\text{-}enabled dest!: assms(1))
moreover have (n,ps,es,c) ∈ set (ast\text{-}problem.ast\delta prob)  $\implies$ 
(filter (ast\text{-}problem.eff\text{-}enabled (rem\text{-}implicit\text{-}pres\text{-}ops prob) s) (map rem\text{-}effect\text{-}implicit\text{-}pres
es))
= map rem\text{-}effect\text{-}implicit\text{-}pres es for n ps es c
using assms
by (fastforce simp add: ast\text{-}problem.std\text{-}eff\text{-}enabled rem\text{-}implicit\text{-}pres\text{-}ops\text{-}valid\text{-}states
dest!: is\text{-}standard\text{-}operator\text{-}rem\text{-}implicit\text{-}pres\text{-}ops'
dest: in\text{-}rem\text{-}implicit\text{-}pres\text{-}\delta)
moreover have map\text{-}of (map ((λ(-,x,-,v). (x,v)) o rem\text{-}effect\text{-}implicit\text{-}pres) effs)
=
map\text{-}of (map (λ(-,x,-,v). (x,v)) effs) for effs
by (induction effs) auto
ultimately show ?thesis
by(auto simp add: ast\text{-}problem.execute\text{-}def rem\text{-}implicit\text{-}pres\text{-}ops\text{-}lookup\text{-}op\text{-}Some\text{-}1'
split: option.splits
dest: rem\text{-}implicit\text{-}pres\text{-}ops\text{-}lookup\text{-}op\text{-}Some\text{-}2' ast\text{-}problem.lookup\text{-}Some\text{-}in\delta)
qed

lemma rem\text{-}implicit\text{-}pres\text{-}ops\text{-}path\text{-}to:
wf\text{-}ast\text{-}problem prob  $\implies$ 

```

```


$$(\bigwedge op. op \in set (ast\text{-}problem.ast\delta prob) \implies \text{consistent-pres-op } op) \implies$$


$$(\bigwedge op. op \in set (ast\text{-}problem.ast\delta prob) \implies \text{is-standard-operator } op) \implies$$


$$s \in ast\text{-}problem.valid\text{-}states prob \implies$$


$$ast\text{-}problem.path\text{-}to (rem\text{-}implicit\text{-}pres\text{-}ops prob) s \pi s s' = ast\text{-}problem.path\text{-}to$$


$$prob s \pi s s'$$

by (induction  $\pi s$  arbitrary:  $s$ )
  (auto simp: rem-implicit-pres-ops-execute rem-implicit-pres-ops-enabled
    ast-problem.path-to.simps wf-ast-problem.execute-preserves-valid)

lemma rem-implicit-pres-ops-astG[simp]: ast-problem.astG (rem-implicit-pres-ops
prob) =
  ast-problem.astG prob
apply(cases prob)
by (auto simp add: ast-problem.astG-def)

lemma rem-implicit-pres-ops-goal[simp]: ast-problem.G (rem-implicit-pres-ops prob)
= ast-problem.G prob
apply(cases prob)
using rem-implicit-pres-ops-valid-states
by (auto simp add: ast-problem.G-def ast-problem.astG-def subsuming-states-def')

lemma rem-implicit-pres-ops-astI[simp]:
  ast-problem.astI (rem-implicit-pres-ops prob) = ast-problem.astI prob
apply(cases prob)
by (auto simp add: ast-problem.I-def ast-problem.astI-def subsuming-states-def')

lemma rem-implicit-pres-ops-init[simp]: ast-problem.I (rem-implicit-pres-ops prob)
= ast-problem.I prob
apply(cases prob)
by (auto simp add: ast-problem.I-def ast-problem.astI-def)

lemma rem-implicit-pres-ops-valid-plan:
assumes wf-ast-problem prob
  ( $\bigwedge op. op \in set (ast\text{-}problem.ast\delta prob) \implies \text{consistent-pres-op } op$ )
  ( $\bigwedge op. op \in set (ast\text{-}problem.ast\delta prob) \implies \text{is-standard-operator } op$ )
shows ast-problem.valid-plan (rem-implicit-pres-ops prob)  $\pi s$  = ast-problem.valid-plan
prob  $\pi s$ 
using wf-ast-problem.I-valid[OF assms(1)] rem-implicit-pres-ops-path-to[OF assms]
by (simp add: ast-problem.valid-plan-def rem-implicit-pres-ops-goal rem-implicit-pres-ops-init)

lemma rem-implicit-pres-ops-numVars[simp]:
  ast-problem.numVars (rem-implicit-pres-ops prob) = ast-problem.numVars prob
by (cases prob) (simp add: ast-problem.numVars-def ast-problem.astDom-def)

lemma rem-implicit-pres-ops-numVals[simp]:
  ast-problem.numVals (rem-implicit-pres-ops prob)  $x$  = ast-problem.numVals prob
 $x$ 
by (cases prob) (simp add: ast-problem.numVals-def ast-problem.astDom-def)

```

```

lemma in-implicit-pres:
   $(x, a) \in \text{set } (\text{implicit-pres } \text{effs}) \implies (\exists \text{epres } v \text{ vp}. (\text{epres}, x, \text{vp}, v) \in \text{set } \text{effs} \wedge \text{vp} = \text{Some } a)$ 
  by (induction effs) (fastforce simp: implicit-pres-def image-def split: if-splits)+

lemma pair4-eqD:  $(a1, a2, a3, a4) = (b1, b2, b3, b4) \implies a3 = b3$ 
  by simp

lemma rem-implicit-pres-ops-wf-partial-state:
  ast-problem.wf-partial-state (rem-implicit-pres-ops prob) s =
    ast-problem.wf-partial-state prob s
  by (auto simp: ast-problem.wf-partial-state-def)

lemma rem-implicit-pres-wf-operator:
  assumes consistent-pres-op op
    ast-problem.wf-operator prob op
  shows
    ast-problem.wf-operator (rem-implicit-pres-ops prob) (rem-implicit-pres op)
proof-
  obtain name pres effs cost where op:  $op = (name, pres, effs, cost)$ 
    by (cases op)
  hence asses: consistent-pres-op (name, pres, effs, cost)
    ast-problem.wf-operator prob (name, pres, effs, cost)
    using assms
    by auto
  hence distinct (map fst ((implicit-pres effs) @ pres))
    by (simp only: consistent-pres-op-def) auto
  moreover have  $x < \text{ast-problem.numVars } (\text{rem-implicit-pres-ops prob})$ 
     $v < \text{ast-problem.numVals } (\text{rem-implicit-pres-ops prob}) x$ 
    if  $(x, v) \in \text{set } ((\text{implicit-pres effs}) @ \text{pres})$  for  $x v$ 
    using that asses
    by (auto dest!: in-implicit-pres simp: ast-problem.wf-partial-state-def ast-problem.wf-operator-def)
  ultimately have ast-problem.wf-partial-state (rem-implicit-pres-ops prob) ((implicit-pres effs) @ pres)
    by (auto simp only: ast-problem.wf-partial-state-def)
  moreover have  $(\text{map } (\lambda(-, v, -, -). v) \text{ effs}) = (\text{map } (\lambda(-, v, -, -). v) (\text{map rem-effect-implicit-pres effs}))$ 
    by auto
  hence distinct (map ( $\lambda(-, v, -, -). v$ ) (map rem-effect-implicit-pres effs))
    using assms(2)
    by (auto simp only: op ast-problem.wf-operator-def rem-implicit-pres.simps dest!:
      pair4-eqD)
  moreover have  $(\exists \text{vp}. (\text{epres}, x, \text{vp}, v) \in \text{set } \text{effs}) \longleftrightarrow (\text{epres}, x, \text{None}, v) \in \text{set } (\text{map rem-effect-implicit-pres effs})$ 
    for epres x v
    by force
  ultimately show ?thesis
    using assms(2)
    by (auto simp: op ast-problem.wf-operator-def rem-implicit-pres-ops-wf-partial-state)

```

```

split: prod.splits)
qed

lemma rem-implicit-pres-ops-indD: op ∈ set (ast-problem.astδ (rem-implicit-pres-ops
prob))
  ==> (∃ op'. op' ∈ set (ast-problem.astδ prob) ∧ op = rem-implicit-pres op')
  by (cases prob) (force simp: ast-problem.astδ-def)

lemma rem-implicit-pres-ops-well-formed:
  assumes (∀ op. op ∈ set (ast-problem.astδ prob) ==> consistent-pres-op op)
           ast-problem.well-formed prob
  shows ast-problem.well-formed (rem-implicit-pres-ops prob)
proof-
  have map fst (ast-problem.astδ (rem-implicit-pres-ops prob)) = map fst (ast-problem.astδ
prob)
    by (cases prob) (auto simp: ast-problem.astδ-def)
  thus ?thesis
    using assms
  by (auto simp add: ast-problem.well-formed-def rem-implicit-pres-ops-wf-partial-state
        simp del: rem-implicit-pres.simps
        dest!: rem-implicit-pres-ops-indD
        intro!: rem-implicit-pres-wf-operator)
qed

definition is-standard-effect'
  :: ast-effect ⇒ bool
  where is-standard-effect' ≡ λ(pre, -, vpre, -). pre = [] ∧ vpre = None

definition is-standard-operator'
  :: ast-operator ⇒ bool
  where is-standard-operator' ≡ λ(-, -, effects, -). list-all is-standard-effect' effects

lemma rem-implicit-pres-is-standard-operator':
  is-standard-operator (n,p,es,c) ==> is-standard-operator' (rem-implicit-pres (n,p,es,c))
  by (induction es) (auto simp: is-standard-operator'-def is-standard-operator-def
is-standard-effect-def
                    is-standard-effect'-def)

lemma rem-implicit-pres-ops-is-standard-operator':
  (¬ op. op ∈ set (ast-problem.astδ (vs, I, G, ops))) ==> is-standard-operator op
  ==>
  π∈set (ast-problem.astδ (rem-implicit-pres-ops (vs, I, G, ops))) ==> is-standard-operator' π
  by (cases ops) (auto simp: ast-problem.astδ-def dest!: rem-implicit-pres-is-standard-operator')

locale abs-ast-prob = wf-ast-problem +
  assumes no-cond-effs: ∀ π∈set astδ. is-standard-operator' π

```

```

context ast-problem
begin

definition abs-ast-variable-section = [0..<(length astDom)]

definition abs-range-map
  :: (nat → nat list)
  where abs-range-map ≡
    map-of (zip abs-ast-variable-section
      (map ((λvals. [0..<length vals]) o snd o snd)
        astDom))

end

context abs-ast-prob
begin

lemma is-valid-vars-1: astDom ≠ [] ⇒ abs-ast-variable-section ≠ []
  by(simp add: abs-ast-variable-section-def)

end

lemma upt-eq-Nil-conv'[simp]: ([] = [i..<j]) = (j = 0 ∨ j ≤ i)
  by(induct j)simp-all

lemma map-of-zip-map-Some:
  v < length xs
  ⇒ (map-of (zip [0..<length xs] (map f xs)) v) = Some (f (xs ! v))
  by (induction xs rule: rev-induct) (auto simp add: nth-append map-add-Some-iff)

lemma map-of-zip-Some:
  v < length xs
  ⇒ (map-of (zip [0..<length xs] xs) v) = Some (xs ! v)
  by (induction xs rule: rev-induct) (auto simp add: nth-append map-add-Some-iff)

lemma in-set-zip-lengthE:
  (x,y) ∈ set(zip [0..<length xs] xs) ⇒ ([ x < length xs; xs ! x = y ] ⇒ R) ⇒
  R
  by (induction xs rule: rev-induct) (auto simp add: nth-append map-add-Some-iff)

context abs-ast-prob
begin

lemma is-valid-vars-2:
  shows list-all (λv. abs-range-map v ≠ None) abs-ast-variable-section
  by (auto simp add: abs-range-map-def abs-ast-variable-section-def list.pred-set)
end

context ast-problem

```

```

begin

definition abs-ast-initial-state
  :: nat-sas-plus-state
  where abs-ast-initial-state ≡ map-of (zip [0..<length astI] astI)

end

context abs-ast-prob
begin

lemma valid-abs-init-1: abs-ast-initial-state v ≠ None  $\longleftrightarrow$  v ∈ set abs-ast-variable-section
  by (simp add: abs-ast-variable-section-def numVars-def wf-initial(1) abs-ast-initial-state-def)

lemma abs-range-map-Some:
  shows v ∈ set abs-ast-variable-section  $\implies$ 
    (abs-range-map v) = Some [0..<length (snd (snd (astDom ! v)))]
  by (simp add: numVars-def abs-range-map-def o-def abs-ast-variable-section-def
map-of-zip-map-Some)

lemma in-abs-v-sec-length: v ∈ set abs-ast-variable-section  $\longleftrightarrow$  v < length astDom
  by (simp add: abs-ast-variable-section-def)

lemma [simp]: v < length astDom  $\implies$  (abs-ast-initial-state v) = Some (astI ! v)
  using wf-initial(1)[simplified numVars-def, symmetric]
  by (auto simp add: map-of-zip-Some abs-ast-initial-state-def split: prod.splits)

lemma [simp]: v < length astDom  $\implies$  astI ! v < length (snd (snd (astDom ! v)))
  using wf-initial(1)[simplified numVars-def, symmetric] wf-initial
  by (auto simp add: numVals-def abs-ast-initial-state-def
split: prod.splits)

lemma [intro!]: v ∈ set abs-ast-variable-section  $\implies$  x < length (snd (snd (astDom ! v)))  $\implies$ 
  x ∈ set (the (abs-range-map v))
  using abs-range-map-Some
  by (auto simp add: )

lemma [intro!]: x < length astDom  $\implies$  astI ! x < length (snd (snd (astDom ! x)))
  using wf-initial[unfolded numVars-def numVals-def]
  by auto

lemma [simp]: abs-ast-initial-state v = Some a  $\implies$  a < length (snd (snd (astDom ! v)))
  by(auto simp add: abs-ast-initial-state-def
    wf-initial(1)[unfolded numVars-def numVals-def, symmetric]
    elim!: in-set-zip-lengthE)

lemma valid-abs-init-2:

```

```

abs-ast-initial-state v ≠ None  $\implies$  (the (abs-ast-initial-state v)) ∈ set (the (abs-range-map v))
using valid-abs-init-1
by auto

end

context ast-problem
begin

definition abs-ast-goal
:: nat-sas-plus-state
where abs-ast-goal ≡ map-of astG

end

context abs-ast-prob
begin

lemma [simp]: wf-partial-state s  $\implies$  (v, a) ∈ set s  $\implies$  v ∈ set abs-ast-variable-section
by (auto simp add: wf-partial-state-def abs-ast-variable-section-def numVars-def
split: prod.splits)

lemma valid-abs-goal-1: abs-ast-goal v ≠ None  $\implies$  v ∈ set abs-ast-variable-section
using wf-goal
by (auto simp add: abs-ast-goal-def dest!: map-of-SomeD)

lemma in-abs-rangeI: wf-partial-state s  $\implies$  (v, a) ∈ set s  $\implies$  (a ∈ set (the (abs-range-map v)))
by (auto simp add: abs-range-map-Some wf-partial-state-def numVals-def split:
prod.splits)

lemma valid-abs-goal-2:
abs-ast-goal v ≠ None  $\implies$  (the (abs-ast-goal v)) ∈ set (the (abs-range-map v))
using wf-goal
by (auto simp add: map-of-SomeD weak-map-of-SomeI abs-ast-goal-def intro!:
in-abs-rangeI)

end

context ast-problem
begin

definition abs-ast-operator
:: ast-operator  $\Rightarrow$  nat-sas-plus-operator
where abs-ast-operator ≡ λ(name, preconditions, effects, cost).
() precondition-of = preconditions,
effect-of = [(v, x). (-, v, -, x) ← effects] ()

```

```

end

context abs-ast-prob
begin

lemma abs-rangeI: wf-partial-state s  $\Rightarrow$  (v, a)  $\in$  set s  $\Rightarrow$  (abs-range-map v  $\neq$  None)
by (auto simp add: wf-partial-state-def abs-range-map-def abs-ast-variable-section-def
list.pred-set
      numVars-def
      split: prod.splits)

lemma abs-valid-operator-1[intro!]:
wf-operator op  $\Rightarrow$  list-all ( $\lambda(v, a)$ . ListMem v abs-ast-variable-section)
(precondition-of (abs-ast-operator op))
by (cases op; auto simp add: abs-ast-operator-def wf-operator-def list.pred-set
ListMem-iff)

lemma wf-operator-preD: wf-operator (name, pres, effs, cost)  $\Rightarrow$  wf-partial-state
pres
by (simp add: wf-operator-def)

lemma abs-valid-operator-2[intro!]:
wf-operator op  $\Rightarrow$ 
list-all ( $\lambda(v, a)$ . ( $\exists y$ . abs-range-map v = Some y)  $\wedge$  ListMem a (the (abs-range-map
v)))
(precondition-of (abs-ast-operator op))
by (cases op,
      auto dest!: wf-operator-preD simp: list.pred-set ListMem-iff abs-ast-operator-def
      intro!: abs-rangeI[simplified not-None-eq] in-abs-rangeI)

lemma wf-operator-effE: wf-operator (name, pres, effs, cost)  $\Rightarrow$ 
(¶distinct (map ( $\lambda(-, v, -, -)$ . v) effs);
 $\bigwedge$ epres x vp v. (epres,x,vp,v)  $\in$  set effs  $\Rightarrow$  wf-partial-state epres;
 $\bigwedge$ epres x vp v. (epres,x,vp,v)  $\in$  set effs  $\Rightarrow$  x < numVars;
 $\bigwedge$ epres x vp v. (epres,x,vp,v)  $\in$  set effs  $\Rightarrow$  v < numVals x;
 $\bigwedge$ epres x vp v. (epres,x,vp,v)  $\in$  set effs  $\Rightarrow$ 
      case vp of None  $\Rightarrow$  True | Some v  $\Rightarrow$  v < numVals x]
 $\Rightarrow P$ 
 $\Rightarrow P$ 
unfolding wf-operator-def
by (auto split: prod.splits)

lemma abs-valid-operator-3':
wf-operator (name, pre, eff, cost)  $\Rightarrow$ 
list-all ( $\lambda(v, a)$ . ListMem v abs-ast-variable-section) (map ( $\lambda(-, v, -, a)$ . (v, a))
eff)
by (fastforce simp add: list.pred-set ListMem-iff abs-ast-variable-section-def im-
age-def numVars-def

```

```

elim!: wf-operator-effE split: prod.splits)

lemma abs-valid-operator-3[intro!]:
  wf-operator op ==>
    list-all ( $\lambda(v, a).$  ListMem v abs-ast-variable-section) (effect-of (abs-ast-operator op))
  by (cases op, simp add: abs-ast-operator-def abs-valid-operator-3')

lemma wf-abs-eff: wf-operator (name, pre, eff, cost) ==> wf-partial-state (map
  ( $\lambda(-, v, -, a).$  (v, a)) eff)
  by (elim wf-operator-effE, induction eff)
    (fastforce simp: wf-partial-state-def image-def o-def split: prod.split-asm) +

lemma abs-valid-operator-4':
  wf-operator (name, pre, eff, cost) ==>
    list-all ( $\lambda(v, a).$  (abs-range-map v ≠ None) ∧ ListMem a (the (abs-range-map v))) (map ( $\lambda(-, v, -, a).$  (v, a)) eff)
    apply(subst list.pred-set ListMem-iff) +
    apply(drule wf-abs-eff)
  by (metis (mono-tags, lifting) abs-rangeI case-prodI2 in-abs-rangeI)

lemma abs-valid-operator-4[intro!]:
  wf-operator op ==>
    list-all ( $\lambda(v, a).$  ( $\exists y.$  abs-range-map v = Some y) ∧ ListMem a (the (abs-range-map v)))
      (effect-of (abs-ast-operator op))
  using abs-valid-operator-4'
  by (cases op, simp add: abs-ast-operator-def)

lemma consistent-list-set: wf-partial-state s ==>
  list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a').$  v ≠ v' ∨ a = a') s) s
  by (auto simp add: list.pred-set wf-partial-state-def eq-key-imp-eq-value split:
prod.splits)

lemma abs-valid-operator-5':
  wf-operator (name, pre, eff, cost) ==>
    list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a').$  v ≠ v' ∨ a = a') pre) pre
    apply(drule wf-operator-preD)
  by (intro consistent-list-set)

lemma abs-valid-operator-5[intro!]:
  wf-operator op ==>
    list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a').$  v ≠ v' ∨ a = a') (precondition-of (abs-ast-operator op)))
      (precondition-of (abs-ast-operator op))
  using abs-valid-operator-5'
  by (cases op, simp add: abs-ast-operator-def)

lemma consistent-list-set-2: distinct (map fst s) ==>

```

```

list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a').$   $v \neq v' \vee a = a'$ )  $s)$   $s$ 
by (auto simp add: list.pred-set wf-partial-state-def eq-key-imp-eq-value split:
prod.splits)

lemma abs-valid-operator-6':
assumes wf-operator (name, pre, eff, cost)
shows list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a').$   $v \neq v' \vee a = a'$ ) (map ( $\lambda(-, v, -, a).$ 
 $(v, a))$  eff))
          (map ( $\lambda(-, v, -, a).$  (v, a)) eff)
proof-
have *: map fst (map ( $\lambda(-, v, -, a).$  (v, a)) eff) = (map ( $\lambda(-, v, -, -).$  v) eff)
  by (induction eff) auto
show ?thesis
  using assms
  apply(elim wf-operator-effE)
  apply(intro consistent-list-set-2)
  by (subst *)
qed

lemma abs-valid-operator-6[intro!]:
wf-operator op ==>
  list-all ( $\lambda(v, a).$  list-all ( $\lambda(v', a').$   $v \neq v' \vee a = a'$ ) (effect-of (abs-ast-operator
op)))
          (effect-of (abs-ast-operator op))
using abs-valid-operator-6'
by (cases op, simp add: abs-ast-operator-def)

end

context ast-problem
begin

definition abs-ast-operator-section
:: nat-sas-plus-operator list
where abs-ast-operator-section ≡ [abs-ast-operator op. op ← astδ]

definition abs-prob :: nat-sas-plus-problem
where abs-prob = []
variables-of = abs-ast-variable-section,
operators-of = abs-ast-operator-section,
initial-of = abs-ast-initial-state,
goal-of = abs-ast-goal,
range-of = abs-range-map
[]

end

context abs-ast-prob
begin

```

```

lemma [simp]:  $op \in set ast\delta \implies (is\text{-}valid\text{-}operator\text{-}sas\text{-}plus abs\text{-}prob) (abs\text{-}ast\text{-}operator op)$ 
apply(cases op)
apply(subst is-valid-operator-sas-plus-def Let-def)+  

using wf-operators(2)  

by(fastforce simp add: abs-prob-def)+

lemma abs-ast-operator-section-valid:  

  list-all (is-valid-operator-sas-plus abs-prob) abs-ast-operator-section  

by (auto simp: abs-ast-operator-section-def list.pred-set)

lemma abs-prob-valid: is-valid-problem-sas-plus abs-prob
using valid-abs-goal-1 valid-abs-goal-2 valid-abs-init-1 is-valid-vars-2  

  abs-ast-operator-section-valid[unfolded abs-prob-def]
by (auto simp add: is-valid-problem-sas-plus-def Let-def ListMem-iff abs-prob-def)

definition abs-ast-plan
  :: SASP-Semantics.plan  $\Rightarrow$  nat-sas-plus-plan
where abs-ast-plan  $\pi s$   

   $\equiv$  map (abs-ast-operator o the o lookup-operator)  $\pi s$ 

lemma std-then-impli-effs[simp]: is-standard-operator' (name, pres, effs, layer)
 $\implies$  implicit-pres effs = []
apply(induction effs)
by (auto simp add: is-standard-operator'-def implicit-pres-def is-standard-effect'-def)

lemma [simp]: enabled  $\pi s \implies$  lookup-operator  $\pi = Some (name, pres, effs, layer)$ 
 $\implies$  is-standard-operator' (name, pres, effs, layer)  $\implies$ 
  (filter (eff-enabled s) effs) = effs
by(auto simp add: enabled-def is-standard-operator'-def eff-enabled-def is-standard-effect'-def
  filter-id-conv list.pred-set)

lemma effs-eq-abs-effs: (effect-of (abs-ast-operator (name, pres, effs, layer))) =
  (map ( $\lambda(-x,-v).$  (x,v)) effs)
by (auto simp add: abs-ast-operator-def
  split: option.splits prod.splits)

lemma exect-eq-abs-execute:
   $\llbracket$ enabled  $\pi s;$  lookup-operator  $\pi = Some (name, preconds, effs, layer);$   

  is-standard-operator'(name, preconds, effs, layer) $\rrbracket \implies$ 
  execute  $\pi s = (execute\text{-}operator\text{-}sas\text{-}plus s ((abs\text{-}ast\text{-}operator o the o lookup\text{-}operator)$   

 $\pi))$ 
using effs-eq-abs-effs
by (auto simp add: execute-def execute-operator-sas-plus-def)

lemma enabled-then-sas-applicable:  

  enabled  $\pi s \implies SAS\text{-}Plus\text{-}Representation.is\text{-}operator\text{-}applicable\text{-}in s ((abs\text{-}ast\text{-}operator$ 
```

```

o the o lookup-operator)  $\pi$ )
by (auto simp add: subsuming-states-def enabled-def lookup-operator-def
      SAS-Plus-Representation.is-operator-applicable-in-def abs-ast-operator-def

      split: option.splits prod.splits)

lemma path-to-then-exec-serial:  $\forall \pi \in \text{set } \pi s.$  lookup-operator  $\pi \neq \text{None} \implies$ 
  path-to  $s \pi s s' \implies$ 
   $s' \subseteq_m \text{execute-serial-plan-sas-plus } s (\text{abs-ast-plan } \pi s)$ 
proof(induction  $\pi s$  arbitrary:  $s s'$ )
  case (Cons  $a \pi s)$ 
  then show ?case
  by (force simp: exec-eq-abs-execute abs-ast-plan-def lookup-Some-ind no-cond-effs
        dest: enabled-then-sas-applicable)
qed (auto simp: execute-serial-plan-sas-plus-def abs-ast-plan-def)

lemma map-of-eq-None-iff:
  ( $\text{None} = \text{map-of } xys x$ ) = ( $x \notin \text{fst } (\text{set } xys)$ )
by (induct xys) simp-all

lemma [simp]:  $I = \text{abs-ast-initial-state}$ 
apply(intro HOL.ext)
by (auto simp: map-of-eq-None-iff set-map[symmetric] I-def abs-ast-initial-state-def
      map-of-zip-Some
      dest: map-of-SomeD)

lemma [simp]:  $\forall \pi \in \text{set } \pi s.$  lookup-operator  $\pi \neq \text{None} \implies$ 
   $op \in \text{set } (\text{abs-ast-plan } \pi s) \implies op \in \text{set } \text{abs-ast-operator-section}$ 
by (induction  $\pi s$ ) (auto simp: abs-ast-plan-def abs-ast-operator-section-def lookup-Some-ind)

end

context ast-problem
begin

lemma path-to-then-lookup-Some: ( $\exists s' \in G.$  path-to  $s \pi s s' \implies (\forall \pi \in \text{set } \pi s.$ 
  lookup-operator  $\pi \neq \text{None})$ 
by (induction  $\pi s$  arbitrary:  $s$ ) (force simp add: enabled-def split: option.splits)+

lemma valid-plan-then-lookup-Some: valid-plan  $\pi s \implies (\forall \pi \in \text{set } \pi s.$  lookup-operator
 $\pi \neq \text{None})$ 
using path-to-then-lookup-Some
by(simp add: valid-plan-def)

end

context abs-ast-prob
begin

```

```

theorem valid-plan-then-is-serial-sol:
  assumes valid-plan  $\pi_s$ 
  shows is-serial-solution-for-problem abs-prob (abs-ast-plan  $\pi_s$ )
  using valid-plan-then-lookup-Some[OF assms] assms
  by (auto simp add: is-serial-solution-for-problem-def valid-plan-def initial-of-def
    abs-prob-def abs-ast-goal-def G-def subsuming-states-def list-all-iff
    ListMem-iff map-le-trans path-to-then-exec-serial
    simp del: sas-plus-problem.select-defs)

end

```

11.2 Translating SAS+ representation to Fast-Downward's context ast-problem

begin

```

definition lookup-action:: nat-sas-plus-operator  $\Rightarrow$  ast-operator option where
  lookup-action  $op \equiv$ 
    find ( $\lambda(-, pres, effs, -)$ . precondition-of  $op = pres \wedge$ 
      map ( $\lambda(v,a)$ . ( $\emptyset, v, None, a$ ) (effect-of  $op$ ) =  $effs$ ))
    ast $\delta$ 

```

end

context abs-ast-prob
begin

lemma find-Some: find $P xs = Some x \Rightarrow x \in set xs \wedge P x$
by (auto simp add: find-Some-iff)

lemma distinct-find: distinct (map $f xs$) $\Rightarrow x \in set xs \Rightarrow$ find ($\lambda x'. f x' = f x$)
 $xs = Some x$
by (induction xs) (auto simp: image-def)

lemma lookup-operator-find: lookup-operator $nme =$ find ($\lambda op. fst op = nme$) ast δ
by (auto simp: lookup-operator-def intro!: arg-cong[**where** $f = (\lambda x. find x ast\delta)$])

lemma lookup-operator-works-1: lookup-action $op = Some \pi' \Rightarrow$ lookup-operator
 $(fst \pi') = Some \pi'$
by (auto simp: wf-operators(1) lookup-operator-find lookup-action-def dest: find-Some
intro: distinct-find)

lemma lookup-operator-works-2:
 lookup-action (abs-ast-operator (name, pres, effs, layer)) = Some (name', pres',
effs', layer')
 $\Rightarrow pres = pres'$
by (auto simp: lookup-action-def abs-ast-operator-def dest!: find-Some)

lemma [simp]: is-standard-operator' (name, pres, effs, layer) \Rightarrow

```

map (λ(v,a). ([] , v , None , a)) (effect-of (abs-ast-operator (name , pres , effs ,
layer))) = effs
by (induction effs) (auto simp: is-standard-operator'-def abs-ast-operator-def
is-standard-effect'-def)

lemma lookup-operator-works-3:
  is-standard-operator' (name , pres , effs , layer) ==> (name , pres , effs , layer) ∈ set
astδ ==>
  lookup-action (abs-ast-operator (name , pres , effs , layer)) = Some (name' , pres',
effs' , layer')
  ==> effs = effs'
by(auto simp: is-standard-operator'-def lookup-action-def dest!: find-Some)

lemma mem-find-Some: x ∈ set xs ==> P x ==> ∃ x'. find P xs = Some x'
by (induction xs) auto

lemma [simp]: precondition-of (abs-ast-operator (x1 , a , aa , b)) = a
by(simp add: abs-ast-operator-def)

lemma std-lookup-action: is-standard-operator' ast-op ==> ast-op ∈ set astδ ==>
  ∃ ast-op'. lookup-action (abs-ast-operator ast-op) = Some
ast-op'
unfolding lookup-action-def
apply(intro mem-find-Some)
by (auto split: prod.splits simp: o-def)

lemma is-applicable-then-enabled-1:
  ast-op ∈ set astδ ==>
  ∃ ast-op'. lookup-operator ((fst o the o lookup-action o abs-ast-operator) ast-op)
= Some ast-op'
using lookup-operator-works-1 std-lookup-action no-cond-effs
by auto

lemma lookup-action-Some-in-δ: lookup-action op = Some ast-op ==> ast-op ∈ set
astδ
using lookup-operator-works-1 lookup-Some-ind by fastforce

lemma lookup-operator-eq-name: lookup-operator name = Some (name' , pres , effs ,
layer) ==> name = name'
using lookup-operator-wf(2)
by fastforce

lemma eq-name-eq-pres: (name , pres , effs , layer) ∈ set astδ ==> (name , pres',
effs' , layer') ∈ set astδ
  ==> pres = pres'
using eq-key-imp-eq-value[OF wf-operators(1)]
by auto

lemma eq-name-eq-effs:

```

```

name = name' ==> (name, pres, effs, layer) ∈ set astδ ==> (name', pres', effs',
layer') ∈ set astδ
==> effs = effs'
using eq-key-imp-eq-value[OF wf-operators(1)]
by auto

lemma is-applicable-then-subsumes:
s ∈ valid-states ==>
SAS-Plus-Representation.is-operator-applicable-in s (abs-ast-operator (name,
pres, effs, layer)) ==>
s ∈ subsuming-states (map-of pres)
by (simp add: subsuming-states-def SAS-Plus-Representation.is-operator-applicable-in-def
abs-ast-operator-def)

lemma eq-name-eq-pres':
[s ∈ valid-states ; is-standard-operator' (name, pres, effs, layer); (name, pres,
effs, layer) ∈ set astδ ;
lookup-operator ((fst o the o lookup-action o abs-ast-operator) (name, pres, effs,
layer)) = Some (name', pres', effs', layer')]
==> pres = pres'
using lookup-operator-eq-name lookup-operator-works-2
by (fastforce dest!: std-lookup-action
simp: eq-name-eq-pres[OF lookup-action-Some-in-δ lookup-Some-inδ])

lemma is-applicable-then-enabled-2:
[s ∈ valid-states ; ast-op ∈ set astδ ;
SAS-Plus-Representation.is-operator-applicable-in s (abs-ast-operator ast-op);
lookup-operator ((fst o the o lookup-action o abs-ast-operator) ast-op) = Some
(name, pres, effs, layer)]
==> s ∈ subsuming-states (map-of pres)
apply(cases ast-op)
using eq-name-eq-pres' is-applicable-then-subsumes no-cond-effs
by fastforce

lemma is-applicable-then-enabled-3:
[s ∈ valid-states;
lookup-operator ((fst o the o lookup-action o abs-ast-operator) ast-op) = Some
(name, pres, effs, layer)]
==> s ∈ subsuming-states (map-of (implicit-pres effs))
apply(cases ast-op)
using no-cond-effs
by (auto dest!: std-then-implicit-effs std-lookup-action lookup-Some-inδ
simp: subsuming-states-def)

lemma is-applicable-then-enabled:
[s ∈ valid-states; ast-op ∈ set astδ;
SAS-Plus-Representation.is-operator-applicable-in s (abs-ast-operator ast-op)]
==> enabled ((fst o the o lookup-action o abs-ast-operator) ast-op) s
using is-applicable-then-enabled-1 is-applicable-then-enabled-2 is-applicable-then-enabled-3

```

```

by(simp add: enabled-def split: option.splits)

lemma eq-name-eq-effs':
  assumes lookup-operator ((fst o the o lookup-action o abs-ast-operator) (name, pres, effs, layer)) =
    Some (name', pres', effs', layer')
    is-standard-operator' (name, pres, effs, layer) (name, pres, effs, layer) ∈
  set astδ
    s ∈ valid-states
  shows effs = effs'
  using std-lookup-action[OF assms(2,3)] assms
  by (auto simp: lookup-operator-works-3[OF assms(2,3)]
    eq-name-eq-effs[OF lookup-operator-eq-name lookup-action-Some-in-δ
  lookup-Some-inδ])

lemma std-eff-enabled'[simp]:
  is-standard-operator' (name, pres, effs, layer) ==> s ∈ valid-states ==> (filter
  (eff-enabled s) effs) = effs
  by (induction effs) (auto simp: is-standard-operator'-def is-standard-effect'-def
  eff-enabled-def subsuming-states-def)

lemma execute-abs:
  [|s ∈ valid-states; ast-op ∈ set astδ;
  SAS-Plus-Representation.is-operator-applicable-in s (abs-ast-operator ast-op)|]
  ==>
  execute ((fst o the o lookup-action o abs-ast-operator) ast-op) s =
  execute-operator-sas-plus s (abs-ast-operator ast-op)
  using no-cond-effs
  by(cases ast-op)
  (fastforce simp add: execute-def execute-operator-sas-plus-def effs-eq-abs-effs
  dest: is-applicable-then-enabled-1 eq-name-eq-effs'[unfolded o-def]
  split: option.splits)+

fun sat-preconds-as where
  sat-preconds-as s [] = True
  | sat-preconds-as s (op#ops) =
  (SAS-Plus-Representation.is-operator-applicable-in s op ∧
  sat-preconds-as (execute-operator-sas-plus s op) ops)

lemma exec-serial-then-path-to':
  [|s ∈ valid-states;
  ∀ op∈set ops. ∃ ast-op∈ set astδ. op = abs-ast-operator ast-op;
  (sat-preconds-as s ops)|] ==>
  path-to s (map (fst o the o lookup-action) ops) (execute-serial-plan-sas-plus s
  ops)
  proof(induction ops arbitrary: s)
  case (Cons a ops)
  then show ?case
  using execute-abs is-applicable-then-enabled execute-preserves-valid

```

```

apply simp
by metis
qed auto

end

fun rem-condless-ops where
rem-condless-ops s [] = []
| rem-condless-ops s (op#ops) =
  (if SAS-Plus-Representation.is-operator-applicable-in s op then
   op # (rem-condless-ops (execute-operator-sas-plus s op) ops)
  else [])

context abs-ast-prob
begin

lemma exec-rem-condless: execute-serial-plan-sas-plus s (rem-condless-ops s ops)
= execute-serial-plan-sas-plus s ops
  by (induction ops arbitrary: s) auto

lemma rem-conless-sat: sat-preconds-as s (rem-condless-ops s ops)
  by (induction ops arbitrary: s) auto

lemma set-rem-condlessD: x ∈ set (rem-condless-ops s ops) ⟹ x ∈ set ops
  by (induction ops arbitrary: s) auto

lemma exec-serial-then-path-to:
  [s ∈ valid-states;
   ∀ op∈set ops. ∃ ast-op∈ set astδ. op = abs-ast-operator ast-op] ⟹
   path-to s (((map (fst o the o lookup-action)) o rem-condless-ops s) ops)
   (execute-serial-plan-sas-plus s ops)
  using rem-conless-sat
  by (fastforce dest!: set-rem-condlessD
    intro!: exec-serial-then-path-to'
    [where s = s and ops = rem-condless-ops s ops,
     unfolded exec-rem-condless])

lemma is-serial-solution-then-abstracted:
  is-serial-solution-for-problem abs-prob ops
  ⟹ ∀ op∈set ops. ∃ ast-op∈ set astδ. op = abs-ast-operator ast-op
  by(auto simp: is-serial-solution-for-problem-def abs-prob-def Let-def list.pred-set
      ListMem-iff abs-ast-operator-section-def
      split: if-splits)

lemma lookup-operator-works-1': lookup-action op = Some π' ⟹ ∃ op. lookup-operator
(fst π') = op
  using lookup-operator-works-1 by auto

lemma is-serial-sol-then-valid-plan-1:

```

```

 $\llbracket \text{is-serial-solution-for-problem abs-prob } ops; \\$ 
 $\pi \in \text{set } ((\text{map } (\text{fst } o \text{ the } o \text{ lookup-action}) o \text{ rem-condless-ops } I) \text{ } ops) \rrbracket \implies \\$ 
 $\text{lookup-operator } \pi \neq \text{None}$ 
using std-lookup-action lookup-operator-works-1 no-cond-effs
by (fastforce dest!: set-rem-condlessD is-serial-solution-then-abstracted
      simp: valid-plan-def list.pred-set ListMem-iff)

lemma is-serial-sol-then-valid-plan-2:
 $\llbracket \text{is-serial-solution-for-problem abs-prob } ops \rrbracket \implies \\$ 
 $(\exists s' \in G. \text{path-to } I ((\text{map } (\text{fst } o \text{ the } o \text{ lookup-action}) o \text{ rem-condless-ops } I) \text{ } ops) \\$ 
 $s')$ 
using I-valid
by (fastforce intro: path-to-pres-valid exec-serial-then-path-to
      intro!: bexI[where  $x = \text{execute-serial-plan-sas-plus } I \text{ } ops$ ]
      dest: is-serial-solution-then-abstracted
      simp: list.pred-set ListMem-iff abs-ast-operator-section-def
            G-def subsuming-states-def is-serial-solution-for-problem-def
            abs-prob-def abs-ast-goal-def)+

end

context ast-problem
begin

definition decode-abs-plan  $\equiv (\text{map } (\text{fst } o \text{ the } o \text{ lookup-action}) o \text{ rem-condless-ops } I)$ 

end

context abs-ast-prob
begin

theorem is-serial-sol-then-valid-plan:
 $\llbracket \text{is-serial-solution-for-problem abs-prob } ops \rrbracket \implies \\$ 
 $\text{valid-plan } (\text{decode-abs-plan } ops)$ 
using is-serial-sol-then-valid-plan-1 is-serial-sol-then-valid-plan-2
by(simp add: valid-plan-def decode-abs-plan-def)

end

end

theory Solve-SASP
imports AST-SAS-Plus-Equivalence SAT-Solve-SAS-Plus
          HOL-Data-Structures.RBT-Map HOL-Library.Code-Target-Nat HOL.String

```

AI-Planning-Languages-Semantics.SASP-Checker Set2-Join-RBT
begin

11.3 SAT encoding works for Fast-Downward's representation

```

context abs-ast-prob
begin

theorem is-serial-sol-then-valid-plan-encoded:
   $\mathcal{A} \models \Phi_{\forall} (\varphi (\text{prob-with-noop abs-prob})) t \implies$ 
  valid-plan
    (decode-abs-plan
      (rem-noops
        (map (\lambda op.  $\varphi_O^{-1} (\text{prob-with-noop abs-prob}) op$ )
          (concat ( $\Phi^{-1} (\varphi (\text{prob-with-noop abs-prob})) \mathcal{A} t$ ))))))
  by (fastforce intro!: is-serial-sol-then-valid-plan abs-prob-valid
    sas-plus-problem-has-serial-solution-iff-i')

lemma length-abs-ast-plan: length  $\pi s = \text{length} (\text{abs-ast-plan } \pi s)$ 
  by (auto simp: abs-ast-plan-def)

theorem valid-plan-then-is-serial-sol-encoded:
  valid-plan  $\pi s \implies \text{length } \pi s \leq h \implies \exists \mathcal{A}. \mathcal{A} \models \Phi_{\forall} (\varphi (\text{prob-with-noop abs-prob}))$ 
  h
  apply(subst (asm) length-abs-ast-plan)
  by (fastforce intro!: sas-plus-problem-has-serial-solution-iff-ii' abs-prob-valid
    valid-plan-then-is-serial-sol)
end

```

12 DIMACS-like semantics for CNF formulae

We now push the SAT encoding towards a lower-level representation by replacing the atoms which have variable IDs and time steps into natural numbers.

lemma gtD: $((l::nat) < n) \implies (\exists m. n = Suc m \wedge l \leq m)$

by (induction n) auto

```

locale cnf-to-dimacs =
  fixes h :: nat and n-ops :: nat
begin

fun var-to-dimacs where
  var-to-dimacs (Operator t k) = 1 + t + k * h
  | var-to-dimacs (State t k) = 1 + n-ops * h + t + k * (h)

definition dimacs-to-var where
  dimacs-to-var v ≡

```

```

if  $v < 1 + n\text{-ops} * h$  then
  Operator  $((v - 1) \bmod (h)) ((v - 1) \bmod (h))$ 
else
  (let  $k = ((v - 1) - n\text{-ops} * h)$  in
    State  $(k \bmod (h)) (k \bmod (h))$ )

fun valid-state-var where
  valid-state-var (Operator t k)  $\longleftrightarrow$   $t < h \wedge k < n\text{-ops}$ 
  | valid-state-var (State t k)  $\longleftrightarrow$   $t < h$ 

lemma State-works:
  valid-state-var (State t k)  $\implies$ 
    dimacs-to-var (var-to-dimacs (State t k)) =
    (State t k)
  by (induction k) (auto simp add: dimacs-to-var-def add.left-commute Let-def)

lemma Operator-works:
  valid-state-var (Operator t k)  $\implies$ 
    dimacs-to-var (var-to-dimacs (Operator t k)) =
    (Operator t k)
  by (induction k) (auto simp add: algebra-simps dimacs-to-var-def gr0-conv-Suc
nat-le-iff-add dest!: gtD)

lemma sat-plan-to-dimacs-works:
  valid-state-var sv  $\implies$ 
    dimacs-to-var (var-to-dimacs sv) = sv
  apply(cases sv)
  using State-works Operator-works
  by auto

end

lemma changing-atoms-works:
   $(\bigwedge x. P x \implies (f o g) x = x) \implies (\forall x \in \text{atoms } \phi. P x) \implies M \models \phi \longleftrightarrow M o f$ 
   $\models \text{map-formula } g \phi$ 
  by (induction phi) auto

lemma changing-atoms-works':
   $M o g \models \phi \longleftrightarrow M \models \text{map-formula } g \phi$ 
  by (induction phi) auto

context cnf-to-dimacs
begin

lemma sat-plan-to-dimacs:
   $(\bigwedge sv. sv \in \text{atoms} \text{ sat-plan-formula} \implies \text{valid-state-var } sv) \implies$ 
   $M \models \text{sat-plan-formula}$ 
   $\longleftrightarrow M o \text{dimacs-to-var} \models \text{map-formula var-to-dimacs sat-plan-formula}$ 
  by(auto intro!: changing-atoms-works[where P = valid-state-var] simp: sat-plan-to-dimacs-works)

```

```

lemma dimacs-to-sat-plan:
   $M \circ \text{var-to-dimacs} \models \text{sat-plan-formula}$ 
   $\longleftrightarrow M \models \text{map-formula var-to-dimacs sat-plan-formula}$ 
  using changing-atoms-works'.

```

end

```

locale sat-solve-sasp = abs-ast-prob  $\Pi + \text{cnf-to-dimacs Suc } h \text{ Suc } (\text{length ast}\delta)$ 
  for  $\Pi h$ 
begin

lemma encode-initial-state-valid:
   $sv \in \text{atoms } (\text{encode-initial-state Prob}) \implies \text{valid-state-var } sv$ 
  by (auto simp add: encode-state-variable-def Let-def encode-initial-state-def split:
    sat-plan-variable.splits bool.splits)

lemma length-operators:  $\text{length } (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) = \text{Suc } (\text{length ast}\delta)$ 
  by(simp add: abs-prob-def abs-ast-operator-section-def sas-plus-problem-to-strips-problem-def
  prob-with-noop-def)

lemma encode-operator-effect-valid-1:  $t < h \implies op \in \text{set } (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) \implies$ 
   $sv \in \text{atoms}$ 
   $(\bigwedge (\text{map } (\lambda v. \neg (\text{Atom } (\text{Operator } t (\text{index } (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) op))))$ 
   $\vee \text{Atom } (\text{State } (\text{Suc } t) (\text{index } vs v)))$ 
   $\text{asses})) \implies$ 
   $\text{valid-state-var } sv$ 
  using length-operators
  by (induction asses) (auto simp: simp add: cnf-to-dimacs.valid-state-var.simps)

lemma encode-operator-effect-valid-2:  $t < h \implies op \in \text{set } (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) \implies$ 
   $sv \in \text{atoms}$ 
   $(\bigwedge (\text{map } (\lambda v. \neg (\text{Atom } (\text{Operator } t (\text{index } (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) op))))$ 
   $\vee \neg (\text{Atom } (\text{State } (\text{Suc } t) (\text{index } vs v))))$ 
   $\text{asses})) \implies$ 
   $\text{valid-state-var } sv$ 
  using length-operators
  by (induction asses) (auto simp: simp add: cnf-to-dimacs.valid-state-var.simps)

end

```

```

lemma atoms-And-append: atoms ( $\bigwedge$  (as1 @ as2)) = atoms ( $\bigwedge$  as1)  $\cup$  atoms ( $\bigwedge$  as2)
by (induction as1) auto

context sat-solve-sasp
begin

lemma encode-operator-effect-valid:
 $sv \in \text{atoms} (\text{encode-operator-effect } (\varphi (\text{prob-with-noop abs-prob})) t op) \implies$ 
 $t < h \implies op \in \text{set} (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) \implies$ 
 $\text{valid-state-var } sv$ 
by (force simp: encode-operator-effect-def Let-def atoms-And-append
      intro!: encode-operator-effect-valid-1 encode-operator-effect-valid-2)

end

lemma foldr-And: foldr ( $\wedge$ ) as ( $\neg \perp$ ) = ( $\wedge$  as)
by (induction as) auto

context sat-solve-sasp
begin

lemma encode-all-operator-effects-valid:
 $t < Suc h \implies$ 
 $sv \in \text{atoms} (\text{encode-all-operator-effects } (\varphi (\text{prob-with-noop abs-prob})) (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) t) \implies$ 
 $\text{valid-state-var } sv$ 
unfolding encode-all-operator-effects-def foldr-And
by (force simp add: encode-operator-effect-valid)

lemma encode-operator-precondition-valid-1:
 $t < h \implies op \in \text{set} (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) \implies$ 
 $sv \in \text{atoms}$ 
 $(\bigwedge (\text{map } (\lambda v.$ 
 $\neg (\text{Atom } (\text{Operator } t (\text{index } (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob})))$ 
 $op))) \vee \text{Atom } (\text{State } t (f v)))$ 
 $\text{asses})) \implies$ 
 $\text{valid-state-var } sv$ 
using length-operators
by (induction asses) (auto simp: simp add: cnf-to-dimacs.valid-state-var.simps)

lemma encode-operator-precondition-valid:
 $sv \in \text{atoms} (\text{encode-operator-precondition } (\varphi (\text{prob-with-noop abs-prob})) t op) \implies$ 
 $t < h \implies op \in \text{set} (\text{operators-of } (\varphi (\text{prob-with-noop abs-prob}))) \implies$ 
 $\text{valid-state-var } sv$ 
by (force simp: encode-operator-precondition-def Let-def
      intro!: encode-operator-precondition-valid-1)

```

```

lemma encode-all-operator-preconditions-valid:
 $t < \text{Suc } h \implies$ 
 $\text{sv} \in \text{atoms} (\text{encode-all-operator-preconditions} (\varphi (\text{prob-with-noop abs-prob}))$ 
 $(\text{operators-of} (\varphi (\text{prob-with-noop abs-prob}))) t) \implies$ 
 $\text{valid-state-var sv}$ 
unfolding encode-all-operator-preconditions-def foldr-And
by (force simp add: encode-operator-precondition-valid)

lemma encode-operators-valid:
 $\text{sv} \in \text{atoms} (\text{encode-operators} (\varphi (\text{prob-with-noop abs-prob})) t) \implies t < \text{Suc } h$ 
 $\implies$ 
 $\text{valid-state-var sv}$ 
unfolding encode-operators-def Let-def
by (force simp add: encode-all-operator-preconditions-valid encode-all-operator-effects-valid)

lemma encode-negative-transition-frame-axiom':
 $t < h \implies$ 
 $\text{set deleting-operators} \subseteq \text{set} (\text{operators-of} (\varphi (\text{prob-with-noop abs-prob}))) \implies$ 
 $\text{sv} \in \text{atoms}$ 
 $(\neg (\text{Atom} (\text{State } t v\text{-idx}))$ 
 $\vee (\text{Atom} (\text{State} (\text{Suc } t) v\text{-idx})$ 
 $\vee \vee (\text{map} (\lambda \text{op}. \text{Atom} (\text{Operator } t (\text{index} (\text{operators-of} (\varphi (\text{prob-with-noop abs-prob}))) \text{op})))$ 
 $\text{deleting-operators})) \implies$ 
 $\text{valid-state-var sv}$ 
by (induction deleting-operators) (auto simp: length-operators[symmetric] cnf-to-dimacs.valid-state-var.simps)

lemma encode-negative-transition-frame-axiom-valid:
 $\text{sv} \in \text{atoms} (\text{encode-negative-transition-frame-axiom} (\varphi (\text{prob-with-noop abs-prob}))$ 
 $t v) \implies t < h \implies$ 
 $\text{valid-state-var sv}$ 
unfolding encode-negative-transition-frame-axiom-def Let-def
apply(intro encode-negative-transition-frame-axiom'[of t])
by auto

lemma encode-positive-transition-frame-axiom-valid:
 $\text{sv} \in \text{atoms} (\text{encode-positive-transition-frame-axiom} (\varphi (\text{prob-with-noop abs-prob}))$ 
 $t v) \implies t < h \implies$ 
 $\text{valid-state-var sv}$ 
unfolding encode-positive-transition-frame-axiom-def Let-def
apply(intro encode-negative-transition-frame-axiom'[of t])
by auto

lemma encode-all-frame-axioms-valid:
 $\text{sv} \in \text{atoms} (\text{encode-all-frame-axioms} (\varphi (\text{prob-with-noop abs-prob})) t) \implies t <$ 
 $\text{Suc } h \implies$ 
 $\text{valid-state-var sv}$ 
unfolding encode-all-frame-axioms-def Let-def atoms-And-append
by (force simp add: encode-negative-transition-frame-axiom-valid encode-positive-transition-frame-axiom-valid)

```

```

lemma encode-goal-state-valid:
  sv ∈ atoms (encode-goal-state Prob t) ⇒ t < Suc h ⇒ valid-state-var sv
  by (auto simp add: encode-state-variable-def Let-def encode-goal-state-def split:
    sat-plan-variable.splits bool.splits)

lemma encode-problem-valid:
  sv ∈ atoms (encode-problem (φ (prob-with-noop abs-prob)) h) ⇒ valid-state-var sv
  unfolding encode-problem-def
  using encode-initial-state-valid encode-operators-valid encode-all-frame-axioms-valid
  encode-goal-state-valid
  by fastforce

lemma encode-interfering-operator-pair-exclusion-valid:
  sv ∈ atoms (encode-interfering-operator-pair-exclusion (φ (prob-with-noop abs-prob)))
  t op1 op2) ⇒ t < Suc h ⇒
    op1 ∈ set (operators-of (φ (prob-with-noop abs-prob))) ⇒ op2 ∈ set
    (operators-of (φ (prob-with-noop abs-prob))) ⇒
      valid-state-var sv
  by (auto simp: encode-interfering-operator-pair-exclusion-def Let-def length-operators[symmetric]
    cnf-to-dimacs.valid-state-var.simps)

lemma encode-interfering-operator-exclusion-valid:
  sv ∈ atoms (encode-interfering-operator-exclusion (φ (prob-with-noop abs-prob)))
  t) ⇒ t < Suc h ⇒
  valid-state-var sv
  unfolding encode-interfering-operator-exclusion-def Let-def foldr-And
  by (force simp add: encode-interfering-operator-pair-exclusion-valid)

lemma encode-problem-with-operator-interference-exclusion-valid:
  sv ∈ atoms (encode-problem-with-operator-interference-exclusion (φ (prob-with-noop abs-prob))
  h) ⇒ valid-state-var sv
  unfolding encode-problem-with-operator-interference-exclusion-def
  using encode-initial-state-valid encode-operators-valid encode-all-frame-axioms-valid
  encode-goal-state-valid
  encode-interfering-operator-exclusion-valid
  by fastforce

lemma planning-by-cnf-dimacs-complete:
  valid-plan πs ⇒ length πs ≤ h ⇒
  ∃ M. M ⊨ map-formula var-to-dimacs (Φ∀ (φ (prob-with-noop abs-prob)) h)
  using valid-plan-then-is-serial-sol-encoded
  sat-plan-to-dimacs[OF encode-problem-with-operator-interference-exclusion-valid]
  by meson

lemma planning-by-cnf-dimacs-sound:
  A ⊨ map-formula var-to-dimacs (Φ∀ (φ (prob-with-noop abs-prob)) t) ⇒
  valid-plan

```

```

(decode-abs-plan
  (rem-noops
    (map (λop. φO-1 (prob-with-noop abs-prob) op)
         (concat (Φ-1 (φ (prob-with-noop abs-prob)) (A o var-to-dimacs t)))))
  using changing-atoms-works'
  by (fastforce intro!: is-serial-sol-then-valid-plan-encoded)

end

```

12.1 Going from Formulae to DIMACS-like CNF

We now represent the CNF formulae into a very low-level representation that is reminiscent to the DIMACS representation, where a CNF formula is a list of list of integers.

```

fun disj-to-dimacs::nat formula ⇒ int list where
  disj-to-dimacs (φ1 ∨ φ2) = disj-to-dimacs φ1 @ disj-to-dimacs φ2
| disj-to-dimacs ⊥ = []
| disj-to-dimacs (Not ⊥) = [-1:int,1:int]
| disj-to-dimacs (Atom v) = [int v]
| disj-to-dimacs (Not (Atom v)) = [-(int v)]

fun cnf-to-dimacs::nat formula ⇒ int list list where
  cnf-to-dimacs (φ1 ∧ φ2) = cnf-to-dimacs φ1 @ cnf-to-dimacs φ2
| cnf-to-dimacs d = [disj-to-dimacs d]

definition dimacs-lit-to-var l ≡ nat (abs l)

definition find-max (xs::nat list) ≡ (fold max xs 1)

lemma find-max-works:
  x ∈ set xs ⇒ x ≤ find-max xs (is ?P ⇒ ?Q)
proof-
  have x ∈ set xs ⇒ (x::nat) ≤ (fold max xs m) for m
  unfolding max-def
  apply (induction xs arbitrary: m rule: rev-induct)
  using nat-le-linear
  by (auto dest: le-trans simp add:)
thus ?P ⇒ ?Q
  by (auto simp add: find-max-def max-def)
qed

fun formula-vars where
  formula-vars (⊥) = [] |
  formula-vars (Atom k) = [k] |
  formula-vars (Not F) = formula-vars F |
  formula-vars (And F G) = formula-vars F @ formula-vars G |
  formula-vars (Imp F G) = formula-vars F @ formula-vars G |
  formula-vars (Or F G) = formula-vars F @ formula-vars G

```

```

lemma atoms-formula-vars: atoms f = set (formula-vars f)
  by (induction f) auto

lemma max-var: v ∈ atoms (f::nat formula)  $\implies$  v ≤ find-max (formula-vars f)
  using find-max-works
  by(simp add: atoms-formula-vars)

definition dimacs-max-var cs ≡ find-max (map (find-max o (map (nat o abs)))
  cs)

lemma fold-max-ge: b ≤ a  $\implies$  (b::nat) ≤ fold (λx m. if m ≤ x then x else m) ys
  a
  by (induction ys arbitrary: a b) auto

lemma find-max-append: find-max (xs @ ys) = max (find-max xs) (find-max ys)
  apply(simp only: Max.set-eq-fold[symmetric] append-Cons[symmetric] set-append
  find-max-def)
  by (metis List.finite-set Max.union Un-absorb Un-insert-left Un-insert-right list.distinct(1)
  list.simps(15) set-empty)

definition dimacs-model::int list ⇒ int list list ⇒ bool where
  dimacs-model ls cs ≡ (forall c ∈ set cs. (exists l ∈ set ls. l ∈ set c)) ∧
  distinct (map dimacs-lit-to-var ls)

fun model-to-dimacs-model where
  model-to-dimacs-model M (v#vs) = (if M v then int v else - (int v)) # (model-to-dimacs-model
  M vs)
  | model-to-dimacs-model - [] = []

lemma model-to-dimacs-model-append:
  set (model-to-dimacs-model M (vs @ vs')) = set (model-to-dimacs-model M vs) ∪
  set (model-to-dimacs-model M vs')
  by (induction vs) auto

lemma upt-append-sing: xs @ [x] = [a..<n-vars]  $\implies$  a < n-vars  $\implies$  (xs = [a..<n-vars
  - 1] ∧ x = n-vars - 1 ∧ n-vars > 0)
  by (induction n-vars) auto

lemma upt-eqD: upt a b = upt a b'  $\implies$  (b = b' ∨ b' ≤ a ∨ b ≤ a)
  by (induction b) (auto dest!: upt-append-sing split: if-splits)

lemma pos-in-model: M n  $\implies$  0 < n  $\implies$  n < n-vars  $\implies$  int n ∈ set (model-to-dimacs-model
  M [1..<n-vars])
  by (induction n-vars) (auto simp add: less-Suc-eq model-to-dimacs-model-append
  )

lemma neg-in-model: ¬ M n  $\implies$  0 < n  $\implies$  n < n-vars  $\implies$  - (int n) ∈ set
  (model-to-dimacs-model M [1..<n-vars])
  by (induction n-vars) (auto simp add: less-Suc-eq model-to-dimacs-model-append)

```

```

lemma in-model:  $0 < n \implies n < n\text{-vars} \implies \text{int } n \in \text{set } (\text{model-to-dimacs-model } M [1..<n\text{-vars}]) \vee -(\text{int } n) \in \text{set } (\text{model-to-dimacs-model } M [1..<n\text{-vars}])$ 
  using pos-in-model neg-in-model
  by metis

lemma model-to-dimacs-model-all-vars:
 $(\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-vars}) \implies \text{is-cnf } f \implies M \models f \implies$ 
 $(\forall n < n\text{-vars}. 0 < n \longrightarrow (\text{int } n \in \text{set } (\text{model-to-dimacs-model } M [(1::nat)..<n\text{-vars}])$ 
 $\vee$ 
 $-(\text{int } n) \in \text{set } (\text{model-to-dimacs-model } M [(1::nat)..<n\text{-vars}])))$ 
  using in-model neg-in-model pos-in-model
  by (auto simp add: le-less model-to-dimacs-model-append split: if-splits)

lemma cnf-And:  $\text{set } (\text{cnf-to-dimacs } (f1 \wedge f2)) = \text{set } (\text{cnf-to-dimacs } f1) \cup \text{set } (\text{cnf-to-dimacs } f2)$ 
  by auto

lemma one-always-in:
 $1 < n\text{-vars} \implies 1 \in \text{set } (\text{model-to-dimacs-model } M ([1..<n\text{-vars}])) \vee -1 \in \text{set } (\text{model-to-dimacs-model } M ([1..<n\text{-vars}]))$ 
  by (induction n-vars) (auto simp add: less-Suc-eq model-to-dimacs-model-append)

lemma [simp]:  $(\text{disj-to-dimacs } (f1 \vee f2)) = (\text{disj-to-dimacs } f1) @ (\text{disj-to-dimacs } f2)$ 
  by auto

lemma [simp]:  $(\text{atoms } (f1 \vee f2)) = \text{atoms } f1 \cup \text{atoms } f2$ 
  by auto

lemma isdisj-disjD:  $(\text{is-disj } (f1 \vee f2)) \implies \text{is-disj } f1 \wedge \text{is-disj } f2$ 
  by (cases f1; auto)

lemma disj-to-dimacs-sound:
 $1 < n\text{-vars} \implies (\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-vars}) \implies \text{is-disj } f \implies M \models f$ 
 $\implies \exists l \in \text{set } (\text{model-to-dimacs-model } M [(1::nat)..<n\text{-vars}]). l \in \text{set } (\text{disj-to-dimacs } f)$ 
  apply(induction f)
  using neg-in-model pos-in-model one-always-in
  by (fastforce elim!: is-lit-plus.elims dest!: isdisj-disjD)+

lemma is-cnf-disj:  $\text{is-cnf } (f1 \vee f2) \implies (\bigwedge f. f1 \vee f2 = f \implies \text{is-disj } f \implies P) \implies P$ 
  by auto

lemma cnf-to-dimacs-disj:  $\text{is-disj } f \implies \text{cnf-to-dimacs } f = [\text{disj-to-dimacs } f]$ 
  by (induction f) auto

lemma model-to-dimacs-model-all-clauses:

```

```

 $1 < n\text{-}vars \implies (\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-}vars) \implies \text{is-cnf } f \implies M \models f \implies$ 
 $c \in \text{set } (\text{cnf-to-dimacs } f) \implies \exists l \in \text{set } (\text{model-to-dimacs-model } M [(1::\text{nat})..< n\text{-}vars]).$ 
 $l \in \text{set } c$ 
proof(induction f arbitrary: )
  case (Not f)
  then show ?case
    using in-model neg-in-model
    by (fastforce elim!: is-lit-plus.elims)+
next
  case (Or f1 f2)
  then show ?case
    using cnf-to-dimacs-disj disj-to-dimacs-sound
    by(elim is-cnf-disj, simp)
qed (insert in-model neg-in-model pos-in-model, auto)

lemma upto-eq-Cons-conv:
 $(x \# xs = [i..<j]) = (i < j \wedge i = x \wedge [i+1..<j] = xs)$ 
using upto-eq-Cons-conv
by metis

lemma model-to-dimacs-model-append':
 $(\text{model-to-dimacs-model } M (vs @ vs')) = (\text{model-to-dimacs-model } M vs) @ (\text{model-to-dimacs-model } M vs')$ 
by (induction vs) auto

lemma model-to-dimacs-neg-nin:
 $n\text{-}vars \leq x \implies \text{int } x \notin \text{set } (\text{model-to-dimacs-model } M [a..<n\text{-}vars])$ 
by (induction n-vars arbitrary: a) (auto simp: model-to-dimacs-model-append')

lemma model-to-dimacs-pos-nin:
 $n\text{-}vars \leq x \implies -\text{int } x \notin \text{set } (\text{model-to-dimacs-model } M [a..<n\text{-}vars])$ 
by (induction n-vars arbitrary: a) (auto simp: model-to-dimacs-model-append')

lemma int-cases2':
 $z \neq 0 \implies (\bigwedge n. 0 \neq (\text{int } n) \implies z = \text{int } n \implies P) \implies (\bigwedge n. 0 \neq -(\text{int } n) \implies$ 
 $z = -(\text{int } n) \implies P) \implies P$ 
by (metis (full-types) int-cases2)

lemma model-to-dimacs-model-distinct:
 $1 < n\text{-}vars \implies \text{distinct } (\text{map dimacs-lit-to-var } (\text{model-to-dimacs-model } M [1..<n\text{-}vars]))$ 
by (induction n-vars)
  (fastforce elim!: int-cases2'
    simp add: dimacs-lit-to-var-def model-to-dimacs-model-append'
    model-to-dimacs-neg-nin model-to-dimacs-pos-nin)+
)

lemma model-to-dimacs-model-sound:
 $1 < n\text{-}vars \implies (\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-}vars) \implies \text{is-cnf } f \implies M \models f \implies$ 
 $\text{dimacs-model } (\text{model-to-dimacs-model } M [(1::\text{nat})..< n\text{-}vars]) (\text{cnf-to-dimacs }$ 
 $f)$ 

```

```

unfolding dimacs-model-def
using model-to-dimacs-model-all-vars model-to-dimacs-model-all-clauses model-to-dimacs-model-distinct
by auto

lemma model-to-dimacs-model-sound-exists:

$$1 < n\text{-vars} \implies (\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-vars}) \implies \text{is-cnf } f \implies M \models f \implies$$


$$\exists M\text{-dimacs. dimacs-model } M\text{-dimacs (cnf-to-dimacs } f)$$

using model-to-dimacs-model-sound
by metis

definition dimacs-to-atom :: int  $\Rightarrow$  nat formula where

$$\text{dimacs-to-atom } l \equiv \text{if } (l < 0) \text{ then Not (Atom (nat (abs } l)) \text{ else Atom (nat (abs } l))}$$


definition dimacs-to-disj :: int list  $\Rightarrow$  nat formula where

$$\text{dimacs-to-disj } f \equiv \bigvee (\text{map dimacs-to-atom } f)$$


definition dimacs-to-cnf :: int list list  $\Rightarrow$  nat formula where

$$\text{dimacs-to-cnf } f \equiv \bigwedge \text{map dimacs-to-disj } f$$


definition dimacs-model-to-abs dimacs-M M  $\equiv$ 

$$\text{fold } (\lambda l M. \text{if } (l > 0) \text{ then } M((\text{nat (abs } l)) := \text{True}) \text{ else } M((\text{nat (abs } l)) := \text{False}))$$

dimacs-M M

lemma dimacs-model-to-abs-atom:

$$0 < x \implies \text{int } x \in \text{set dimacs-M} \implies \text{distinct } (\text{map dimacs-lit-to-var dimacs-M})$$


$$\implies \text{dimacs-model-to-abs dimacs-M M } x$$

proof (induction dimacs-M arbitrary: M rule: rev-induct)
case (snoc a dimacs-M)
thus ?case
by (auto simp add: dimacs-model-to-abs-def dimacs-lit-to-var-def image-def)
qed auto

lemma dimacs-model-to-abs-atom':

$$0 < x \implies -(\text{int } x) \in \text{set dimacs-M} \implies \text{distinct } (\text{map dimacs-lit-to-var dimacs-M})$$


$$\implies \neg \text{dimacs-model-to-abs dimacs-M M } x$$

proof (induction dimacs-M arbitrary: M rule: rev-induct)
case (snoc a dimacs-M)
thus ?case
by (auto simp add: dimacs-model-to-abs-def dimacs-lit-to-var-def image-def)
qed auto

lemma model-to-dimacs-model-complete-disj:

$$(\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-vars}) \implies \text{is-disj } f \implies \text{distinct } (\text{map dimacs-lit-to-var dimacs-M})$$


$$\implies \text{dimacs-model dimacs-M (cnf-to-dimacs } f) \implies \text{dimacs-model-to-abs dimacs-M } (\lambda \_. \text{False}) \models f$$

by (induction f)
(blast elim!: is-lit-plus.elims dest!: isdisj-disjD)

```

```

simp: cnf-to-dimacs-disj dimacs-model-def dimacs-model-to-abs-atom'
      dimacs-model-to-abs-atom)+

lemma model-to-dimacs-model-complete:
  ( $\forall v \in \text{atoms } f. 0 < v \wedge v < n\text{-vars} \implies \text{is-cnf } f \implies \text{distinct } (\text{map dimacs-lit-to-var dimacs-}M)$ )
     $\implies \text{dimacs-model dimacs-}M (\text{cnf-to-dimacs } f) \implies \text{dimacs-model-to-abs dimacs-}M (\lambda \_. \text{False}) \models f$ 
proof(induction f)
  case (Not f)
  then show ?case
    by (auto elim!: is-lit-plus.elims simp add: dimacs-model-to-abs-atom' dimacs-model-def)
next
  case (Or f1 f2)
  then show ?case
    using cnf-to-dimacs-disj model-to-dimacs-model-complete-disj
    by(elim is-cnf-disj, simp add: dimacs-model-def)
qed (insert dimacs-model-to-abs-atom, auto simp: dimacs-model-def)

lemma model-to-dimacs-model-complete-max-var:
  ( $\forall v \in \text{atoms } f. 0 < v \implies \text{is-cnf } f \implies$ 
   dimacs-model dimacs- $M (\text{cnf-to-dimacs } f) \implies$ 
   dimacs-model-to-abs dimacs- $M (\lambda \_. \text{False}) \models f$ )
  using le-imp-less-Suc[OF max-var]
  by (auto intro!: model-to-dimacs-model-complete simp: dimacs-model-def)

lemma model-to-dimacs-model-sound-max-var:
  ( $\forall v \in \text{atoms } f. 0 < v \implies \text{is-cnf } f \implies M \models f \implies$ 
   dimacs-model (model-to-dimacs-model M [(1::nat)..<(find-max (formula-vars f) + 2)])]
   $(\text{cnf-to-dimacs } f)$ 
  using le-imp-less-Suc[unfolded Suc-eq-plus1, OF max-var]
  by (fastforce intro!: model-to-dimacs-model-sound)

context sat-solve-sasp
begin

lemma [simp]: var-to-dimacs sv > 0
  by(cases sv) auto

lemma var-to-dimacs-pos:
   $v \in \text{atoms } (\text{map-formula var-to-dimacs } f) \implies 0 < v$ 
  by (induction f) auto

lemma map-is-disj: is-disj f  $\implies$  is-disj (map-formula F f)
  by (induction f) (auto elim: is-lit-plus.elims)

lemma map-is-cnf: is-cnf f  $\implies$  is-cnf (map-formula F f)
  by (induction f) (auto elim: is-lit-plus.elims simp: map-is-disj)

```

```

lemma planning-dimacs-complete:
  valid-plan  $\pi s \implies \text{length } \pi s \leq h \implies$ 
  let cnf-formula = (map-formula var-to-dimacs
    ( $\Phi_{\forall} (\varphi (\text{prob-with-noop abs-prob})) h$ ))
  in
     $\exists \text{dimacs-}M. \text{dimacs-model dimacs-}M (\text{cnf-to-dimacs cnf-formula})$ 
unfolding Let-def
  by (fastforce simp: var-to-dimacs-pos
    dest!: planning-by-cnf-dimacs-complete
    intro: model-to-dimacs-model-sound-max-var map-is-cnf
      is-cnf-encode-problem-with-operator-interference-exclusion
      is-valid-problem-sas-plus-then-strips-transformation-too
      noops-valid abs-prob-valid)

```

```

lemma planning-dimacs-sound:
  let cnf.formula =
    (map-formula var-to-dimacs
      ( $\Phi_{\forall} (\varphi (\text{prob-with-noop abs-prob})) h$ ))
  in
    dimacs-model dimacs- $M (\text{cnf-to-dimacs cnf.formula}) \implies$ 
    valid-plan
    (decode-abs-plan
      (rem-noops
        (map ( $\lambda op. \varphi_O^{-1} (\text{prob-with-noop abs-prob}) op$ )
          (concat
            ( $\Phi^{-1} (\varphi (\text{prob-with-noop abs-prob})) ((\text{dimacs-model-to-abs dimacs-}M$ 
              ( $\lambda -. \text{False})) o \text{var-to-dimacs} h)))))))
  by (fastforce simp: var-to-dimacs-pos Let-def
    intro: planning-by-cnf-dimacs-sound model-to-dimacs-model-complete-max-var
      map-is-cnf is-cnf-encode-problem-with-operator-interference-exclusion
      is-valid-problem-sas-plus-then-strips-transformation-too abs-prob-valid
      noops-valid)$ 
```

end

13 Code Generation

We now generate SML code equivalent to the functions that encode a problem as a CNF formula and that decode the model of the given encodings into a plan.

```

lemma [code]:
  dimacs-model ls cs  $\equiv$  (list-all ( $\lambda c. \text{list-ex} (\lambda l. \text{ListMem } l c) ls$ ) cs)  $\wedge$ 
    distinct (map dimacs-lit-to-var ls)
unfolding dimacs-model-def
  by (auto simp: list.pred-set ListMem iff list-ex iff )

```

```

definition
SASP-to-DIMACS h prob ≡
  cnf-to-dimacs
    (map-formula
      (cnf-to-dimacs.var-to-dimacs (Suc h) (Suc (length (ast-problem.astδ prob))))
      (Φⱼ (φ (prob-with-noop (ast-problem.abs-prob prob))) h))

lemma planning-dimacs-complete-code:
[ast-problem.well-formed prob;
  ∀ π ∈ set (ast-problem.astδ prob). is-standard-operator' π;
  ast-problem.valid-plan prob πs;
  length πs ≤ h] ⇒
let cnf-formula = (SASP-to-DIMACS h prob) in
  ∃ dimacs-M. dimacs-model dimacs-M cnf-formula
unfolding SASP-to-DIMACS-def Let-def
apply(rule sat-solve-sasp.planning-dimacs-complete[unfolded Let-def])
apply unfold-locales
by auto

definition SASP-to-DIMACS' h prob ≡ SASP-to-DIMACS h (rem-implicit-pres-ops
prob)

lemma planning-dimacs-complete-code':
[ast-problem.well-formed prob;
  (∀ op. op ∈ set (ast-problem.astδ prob) ⇒ consistent-pres-op op);
  (∀ op. op ∈ set (ast-problem.astδ prob) ⇒ is-standard-operator op);
  ast-problem.valid-plan prob πs;
  length πs ≤ h] ⇒
let cnf-formula = (SASP-to-DIMACS' h prob) in
  ∃ dimacs-M. dimacs-model dimacs-M cnf-formula
unfolding Let-def SASP-to-DIMACS'-def
by (auto simp add: rem-implicit-pres-ops-valid-plan[symmetric] wf-ast-problem-def
  simp del: rem-implicit-pres.simps
  intro!: rem-implicit-pres-is-standard-operator'
    planning-dimacs-complete-code[unfolded Let-def]
    rem-implicit-pres-ops-well-formed
  dest!: rem-implicit-pres-ops-indD)

```

A function that does the checks required by the completeness theorem above, and returns appropriate error messages if any of the checks fail.

```

definition
encode h prob ≡
  if ast-problem.well-formed prob then
    if (∀ op ∈ set (ast-problem.astδ prob). consistent-pres-op op) then
      if (∀ op ∈ set (ast-problem.astδ prob). is-standard-operator op) then
        Inl (SASP-to-DIMACS' h prob)
      else
        Inr (STR "Error: Conditional effects!")
    else
      ...

```

```

    Inr (STR "Error: Preconditions inconsistent")
else
    Inr (STR "Error: Problem malformed!")

lemma encode-sound:
   $\llbracket \text{ast-problem.valid-plan prob } \pi s; \text{length } \pi s \leq h;$ 
   $\text{encode } h \text{ prob} = \text{Inl cnf-formula} \rrbracket \implies$ 
   $(\exists \text{dimacs-}M. \text{dimacs-model dimacs-}M \text{ cnf-formula})$ 
unfolding encode-def
by (auto split: if-splits simp: list.pred-set
      intro: planning-dimacs-complete-code'[unfolded Let-def])

lemma encode-complete:
   $\text{encode } h \text{ prob} = \text{Inr err} \implies$ 
   $\neg(\text{ast-problem.well-formed prob} \wedge (\forall op \in \text{set}(\text{ast-problem.ast}\delta \text{ prob}). \text{consistent-pres-op } op) \wedge$ 
   $(\forall op \in \text{set}(\text{ast-problem.ast}\delta \text{ prob}). \text{is-standard-operator } op))$ 
unfolding encode-def
by (auto split: if-splits simp: list.pred-set
      intro: planning-dimacs-complete-code'[unfolded Let-def])

definition match-pre where
  match-pre  $\equiv \lambda(x,v). s. s x = \text{Some } v$ 

definition match-pres where
  match-pres pres s  $\equiv \forall pre \in \text{set pres}. \text{match-pre } pre s$ 

lemma match-pres-distinct:
  distinct (map fst pres)  $\implies$  match-pres pres s  $\longleftrightarrow$  Map.map-of pres  $\subseteq_m s$ 
unfolding match-pres-def match-pre-def
using map-le-def map-of-SomeD
apply (auto split: prod.splits)
apply fastforce
using domI map-of-is-SomeI
by smt

fun tree-map-of where
  tree-map-of updatea T [] = T
  | tree-map-of updatea T ((v,a)#m) = updatea v a (tree-map-of updatea T m)

context Map
begin

abbreviation tree-map-of'  $\equiv$  tree-map-of update

lemma tree-map-of-invar: invar T  $\implies$  invar (tree-map-of' T pres)
by (induction pres) (auto simp add: invar-update)

lemma tree-map-of-works: lookup (tree-map-of' empty pres) x = map-of pres x

```

```

by (induction pres) (auto simp: map-empty map-update[OF tree-map-of-invar[OF
invar-empty]]))

lemma tree-map-of-dom: dom (lookup (tree-map-of' empty pres)) = dom (map-of
pres)
  by (induction pres) (auto simp: map-empty map-update[OF tree-map-of-invar[OF
invar-empty]] tree-map-of-works)
end

lemma distinct-if-sorted: sorted xs ==> distinct xs
  by (induction xs rule: induct-list012) auto

context Map-by-Ordered
begin

lemma tree-map-of-distinct: distinct (map fst (inorder (tree-map-of' empty pres)))
  apply(induction pres)
  apply(clarify simp: map-empty inorder-empty)
  using distinct-if-sorted invar-def invar-empty invar-update tree-map-of-invar
  by blast

end

lemma set-tree-intorder: set-tree t = set (inorder t)
  by (induction t) auto

lemma map-of-eq:
  map-of xs = Map.map-of xs
  by (induction xs) (auto simp: map-of-simps split: option.split)

lemma lookup-someD: lookup T x = Some y ==> ∃ p. p ∈ set (inorder T) ∧ p =
(x, y)
  by (induction T) (auto split: if-splits)

lemma map-of-lookup: sorted1 (inorder T) ==> Map.map-of (inorder T) = lookup
T
  apply(induction T)
  apply (auto split: prod.splits intro!: map-le-antisym
        simp: lookup-map-of map-add-Some-iff map-of-None2 sorted-wrt-append)
  using lookup-someD
  by (force simp: map-of-eq map-add-def map-le-def
        split: option.splits)+

lemma map-le-cong: (∀x. m1 x = m2 x) ==> m1 ⊆m s <→ m2 ⊆m s
  by presburger

lemma match-pres-submap:
  match-pres (inorder (M.tree-map-of' empty pres)) s <→ Map.map-of pres ⊆m s
  using match-pres-distinct[OF M.tree-map-of-distinct]

```

by (*smt M.invar-def M.invar-empty M.tree-map-of-invar M.tree-map-of-works map-le-cong map-of-eq map-of-lookup*)

lemma [*code*]:

SAS-Plus-Representation.is-operator-applicable-in s op \longleftrightarrow
match-pres (inorder (M.tree-map-of' empty (SAS-Plus-Representation.precondition-of op))) s
by (*simp add: match-pres-submap SAS-Plus-Representation.is-operator-applicable-in-def*)

definition *decode-DIMACS-model dimacs-M h prob* \equiv

(ast-problem.decode-abs-plan prob
(rem-noops
(map (λop. φ_O⁻¹ (prob-with-noop (ast-problem.abs-prob prob)) op)
(concat
(Φ⁻¹ (φ (prob-with-noop (ast-problem.abs-prob prob))))
((dimacs-model-to-abs dimacs-M (λ-. False)) o
(cnf-to-dimacs.var-to-dimacs (Suc h)
(Suc (length (ast-problem.astδ prob))))
h))))

lemma *planning-dimacs-sound-code*:

[ast-problem.well-formed prob;
*$\forall \pi \in set (ast-problem.ast\delta prob). is-standard-operator' \pi]$ \implies
let
cnf-formula = (SASP-to-DIMACS h prob);
decoded-plan = decode-DIMACS-model dimacs-M h prob
in
(dimacs-model dimacs-M cnf-formula \longrightarrow ast-problem.valid-plan prob de-coded-plan)
unfolding SASP-to-DIMACS-def decode-DIMACS-model-def Let-def
apply(rule impI sat-solve-sasp.planning-dimacs-sound[unfolded Let-def]) +
apply unfold-locales
*by auto**

definition

decode-DIMACS-model' dimacs-M h prob \equiv
decode-DIMACS-model dimacs-M h (rem-implicit-pres-ops prob)

lemma *planning-dimacs-sound-code'*:

[ast-problem.well-formed prob;
$(\lambda op. op \in set (ast-problem.ast\delta prob) \implies consistent-pres-op op);$
*$\forall \pi \in set (ast-problem.ast\delta prob). is-standard-operator \pi]$ \implies
let
cnf-formula = (SASP-to-DIMACS' h prob);
decoded-plan = decode-DIMACS-model' dimacs-M h prob
in
(dimacs-model dimacs-M cnf-formula \longrightarrow ast-problem.valid-plan prob de-coded-plan)
*unfolding SASP-to-DIMACS'-def decode-DIMACS-model'-def**

```

apply(subst rem-implicit-pres-ops-valid-plan[symmetric])
by(fastforce simp only: rem-implicit-pres-ops-valid-plan wf-ast-problem-def
    intro!: rem-implicit-pres-is-standard-operator'
        rem-implicit-pres-ops-well-formed
        rev-iffD2[OF - rem-implicit-pres-ops-valid-plan]
        planning-dimacs-sound-code wf-ast-problem.intro
    dest!: rem-implicit-pres-ops-indD)+
```

Checking if the model satisfies the formula takes the longest time in the decoding function. We reimplement that part using red black trees, which makes it 10 times faster, on average!

```

fun list-to-rbt :: int list  $\Rightarrow$  int rbt where
    list-to-rbt [] = Leaf
    | list-to-rbt (x#xs) = insert-rbt x (list-to-rbt xs)

lemma inv-list-to-rbt: invc (list-to-rbt xs)  $\wedge$  invh (list-to-rbt xs)
by (induction xs) (auto simp: rbt-def RBT.inv-insert)

lemma Tree2-list-to-rbt: Tree2.bst (list-to-rbt xs)
by (induction xs) (auto simp: RBT.bst-insert)

lemma set-list-to-rbt: Tree2.set-tree (list-to-rbt xs) = set xs
by (induction xs) (simp add: RBT.set-tree-insert Tree2-list-to-rbt)+
```

The following

```

lemma dimacs-model-code[code]:
    dimacs-model ls cs  $\longleftrightarrow$ 
        (let tls = list-to-rbt ls in
            ( $\forall c \in$  set cs. size (inter-rbt (tls) (list-to-rbt c))  $\neq$  0)  $\wedge$ 
            distinct (map dimacs-lit-to-var ls))
    using RBT.set-tree-inter[OF Tree2-list-to-rbt Tree2-list-to-rbt]
    apply (auto simp: dimacs-model-def Let-def set-list-to-rbt inter-rbt-def)
    apply (metis IntI RBT.set-empty empty-iff)
    by (metis Tree2.eq-set-tree-empty disjoint-iff-not-equal)
```

definition

```

decode M h prob  $\equiv$ 
    if ast-problem.well-formed prob then
        if ( $\forall op \in$  set (ast-problem.ast $\delta$  prob). consistent-pres-op op) then
            if ( $\forall op \in$  set (ast-problem.ast $\delta$  prob). is-standard-operator op) then
                if (dimacs-model M (SASP-to-DIMACS' h prob)) then
                    Inl (decode-DIMACS-model' M h prob)
                else Inr (STR "Error: Model does not solve the problem!")
            else
                Inr (STR "Error: Conditional effects!")
        else
            Inr (STR "Error: Preconditions inconsistent")
    else
        Inr (STR "Error: Problem malformed!")
```

```

lemma decode-sound:
  decode M h prob = Inl plan ==>
    ast-problem.valid-plan prob plan
unfolding decode-def
apply (auto split: if-splits simp: list.pred-set)
using planning-dimacs-sound-code'
by auto

lemma decode-complete:
  decode M h prob = Inr err ==>
    ¬(ast-problem.well-formed prob ∧
      (∀ op ∈ set (ast-problem.astδ prob). consistent-pres-op op) ∧
      (∀ π ∈ set (ast-problem.astδ prob). is-standard-operator π) ∧
      dimacs-model M (SASP-to-DIMACS' h prob))
unfolding decode-def
by (auto split: if-splits simp: list.pred-set)

lemma [code]:
  ListMem x' [] = False
  ListMem x' (x#xs) = (x' = x ∨ ListMem x' xs)
by (simp add: ListMem-iff)+

lemmas [code] = SASP-to-DIMACS-def ast-problem.abs-prob-def
  ast-problem.abs-ast-variable-section-def ast-problem.abs-ast-operator-section-def
  ast-problem.abs-ast-initial-state-def ast-problem.abs-range-map-def
  ast-problem.abs-ast-goal-def cnf-to-dimacs.var-to-dimacs.simps
  ast-problem.astδ-def ast-problem.astDom-def ast-problem.abs-ast-operator-def
  ast-problem.astI-def ast-problem.astG-def ast-problem.lookup-action-def
  ast-problem.I-def execute-operator-sas-plus-def ast-problem.decode-abs-plan-def

definition nat-opt-of-integer :: integer ⇒ nat option where
  nat-opt-of-integer i = (if (i ≥ 0) then Some (nat-of-integer i) else None)

definition max-var :: int list ⇒ int where
  max-var xs ≡ fold (λ(x:int) (y:int). if abs x ≥ abs y then (abs x) else y) xs
  (0::int)

export-code encode nat-of-integer integer-of-nat nat-opt-of-integer Inl Inr String.explode
  String.implode max-var concat char-of-nat Int.nat integer-of-int length int-of-integer
  in SML module-name exported file-prefix SASP-to-DIMACS

export-code decode nat-of-integer integer-of-nat nat-opt-of-integer Inl Inr String.explode
  String.implode max-var concat char-of-nat Int.nat integer-of-int length int-of-integer
  in SML module-name exported file-prefix decode-DIMACS-model

end

```

References

- [1] M. Abdulaziz and F. Kurz. Formally verified sat-based ai planning, 2020.
- [2] H. A. Kautz and B. Selman. Planning as satisfiability. In *ECAI*, pages 359–363, 1992.
- [3] J. Rintanen, K. Heljanko, and I. Niemelä. Planning as satisfiability: parallel plans and algorithms for plan search. *Artif. Intell.*, 170(12–13):1031–1080, 2006.
- [4] M. Wenzel. *The Isabelle/Isar Reference Manual*, 2018. <https://isabelle.in.tum.de/doc/isar-ref.pdf>.