

A Generic Framework for Verified Compilers

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Abstract

This is a generic framework for formalizing compiler transformations. It leverages Isabelle/HOLs locales to abstract over concrete languages and transformations. It states common definitions for language semantics, program behaviours, forward and backward simulations, and compilers. We provide generic operations, such as simulation and compiler composition, and prove general (partial) correctness theorems, resulting in reusable proof components. For more details, please see our paper [1].

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theory *Behaviour*

imports *Main*

begin

datatype *'state behaviour* =

Terminates 'state | *Diverges* | *is-wrong: Goes-wrong 'state*

Terminating behaviours are annotated with the last state of the execution in order to compare the result of two executions with the *rel-behaviour* relation.

The exact meaning of the three behaviours is defined in the semantics locale

end

1 Infinitely Transitive Closure

theory *Inf*

imports *Main*

begin

coinductive *inf* :: (*'a* \Rightarrow *'a* \Rightarrow *bool*) \Rightarrow *'a* \Rightarrow *bool* **for** *r* **where**

inf-step: *r* *x* *y* \Longrightarrow *inf* *r* *y* \Longrightarrow *inf* *r* *x*

coinductive *inf-wf* :: (*'a* \Rightarrow *'a* \Rightarrow *bool*) \Rightarrow (*'b* \Rightarrow *'b* \Rightarrow *bool*) \Rightarrow *'b* \Rightarrow *'a* \Rightarrow *bool* **for** *r* **order** **where**

inf-wf: *order* *n* *m* \Longrightarrow *inf-wf* *r* *order* *n* *x* \Longrightarrow *inf-wf* *r* *order* *m* *x* |

inf-wf-step: *r*⁺⁺ *x* *y* \Longrightarrow *inf-wf* *r* *order* *n* *y* \Longrightarrow *inf-wf* *r* *order* *m* *x*

lemma *inf-wf-to-step-inf-wf*:

assumes *wfp* *order*

shows *inf-wf* *r* *order* *n* *x* \Longrightarrow \exists *y* *m*. *r* *x* *y* \wedge *inf-wf* *r* *order* *m* *y*

<proof>

lemma *inf-wf-to-inf*:

assumes *wfp* *order*

shows *inf-wf* *r* *order* *n* *x* \Longrightarrow *inf* *r* *x*

<proof>

lemma *step-inf*:

```

assumes right-unique r
shows  $r\ x\ y \implies \text{inf}\ r\ x \implies \text{inf}\ r\ y$ 
<proof>

lemma star-inf:
assumes right-unique r
shows  $r^{**}\ x\ y \implies \text{inf}\ r\ x \implies \text{inf}\ r\ y$ 
<proof>

end
theory Transfer-Extras
imports Main
begin

lemma rtranclp-complete-run-right-unique:
fixes  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  and  $x\ y\ z :: 'a$ 
assumes right-unique R
shows  $R^{**}\ x\ y \implies (\nexists w. R\ y\ w) \implies R^{**}\ x\ z \implies (\nexists w. R\ z\ w) \implies y = z$ 
<proof>

lemma tranclp-complete-run-right-unique:
fixes  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  and  $x\ y\ z :: 'a$ 
assumes right-unique R
shows  $R^{++}\ x\ y \implies (\nexists w. R\ y\ w) \implies R^{++}\ x\ z \implies (\nexists w. R\ z\ w) \implies y = z$ 
<proof>

end

```

2 The Dynamic Representation of a Language

```

theory Semantics
imports Main Behaviour Inf Transfer-Extras begin

```

The definition of programming languages is separated into two parts: an abstract semantics and a concrete program representation.

```

definition finished ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$  where
finished  $r\ x = (\nexists y. r\ x\ y)$ 

```

```

lemma finished-star:
assumes finished r x
shows  $r^{**}\ x\ y \implies x = y$ 
<proof>

```

```

locale semantics =
fixes
step ::  $'state \Rightarrow 'state \Rightarrow \text{bool}$  (infix  $\langle \rightarrow \rangle$  50) and
final ::  $'state \Rightarrow \text{bool}$ 
assumes
final-finished:  $\text{final}\ s \implies \text{finished}\ \text{step}\ s$ 

```

begin

The semantics locale represents the semantics as an abstract machine. It is expressed by a transition system with a transition relation (\rightarrow)—usually written as an infix \rightarrow arrow—and final states *final*.

lemma *finished-step*:

$step\ s\ s' \implies \neg finished\ step\ s$
(*proof*)

abbreviation $eval :: 'state \Rightarrow 'state \Rightarrow bool$ (**infix** $\langle \rightarrow^* \rangle$ 50) **where**
 $eval \equiv step^{**}$

abbreviation $inf-step :: 'state \Rightarrow bool$ **where**
 $inf-step \equiv inf\ step$

notation

$inf-step\ (\langle '(\rightarrow^\infty)' \rangle \ []\ 50)$ **and**
 $inf-step\ (\langle '- \rightarrow^\infty \rangle \ [55]\ 50)$

lemma *inf-not-finished*: $s \rightarrow^\infty \implies \neg finished\ step\ s$
(*proof*)

lemma *eval-deterministic*:

assumes

deterministic: $\bigwedge x\ y\ z. step\ x\ y \implies step\ x\ z \implies y = z$ **and**

$s1 \rightarrow^* s2$ **and** $s1 \rightarrow^* s3$ **and** *finished step s2* **and** *finished step s3*

shows $s2 = s3$

(*proof*)

lemma *step-converges-or-diverges*: $(\exists s'. s \rightarrow^* s' \wedge finished\ step\ s') \vee s \rightarrow^\infty$
(*proof*)

2.1 Behaviour of a dynamic execution

inductive *state-behaves* :: $'state \Rightarrow 'state\ behaviour \Rightarrow bool$ (**infix** $\langle \downarrow \rangle$ 50) **where**
state-terminates:

$s1 \rightarrow^* s2 \implies finished\ step\ s2 \implies final\ s2 \implies s1 \downarrow (Terminates\ s2)$ |

state-diverges:

$s1 \rightarrow^\infty \implies s1 \downarrow Diverges$ |

state-goes-wrong:

$s1 \rightarrow^* s2 \implies finished\ step\ s2 \implies \neg final\ s2 \implies s1 \downarrow (Goes-wrong\ s2)$

Even though the (\rightarrow) transition relation in the *semantics* locale need not be deterministic, if it happens to be, then the behaviour of a program becomes deterministic too.

lemma *right-unique-state-behaves*:

assumes

right-unique (\rightarrow)

shows *right-unique* (\downarrow)

<proof>

lemma *left-total-state-behaves*: *left-total* (\downarrow)

<proof>

2.2 Safe states

definition *safe where*

safe $s \longleftrightarrow (\forall s'. \text{step}^{**} s s' \longrightarrow \text{final } s' \vee (\exists s''. \text{step } s' s''))$

lemma *final-safeI*: *final* $s \implies \text{safe } s$

<proof>

lemma *step-safe*: *step* $s s' \implies \text{safe } s \implies \text{safe } s'$

<proof>

lemma *steps-safe*: *step*^{**} $s s' \implies \text{safe } s \implies \text{safe } s'$

<proof>

lemma *safe-state-behaves-not-wrong*:

assumes *safe* s **and** $s \downarrow b$

shows $\neg \text{is-wrong } b$

<proof>

end

end

3 The Static Representation of a Language

theory *Language*

imports *Semantics*

begin

locale *language* =

semantics *step* *final*

for

step :: $'state \Rightarrow 'state \Rightarrow \text{bool}$ **and**

final :: $'state \Rightarrow \text{bool}$ +

fixes

load :: $'prog \Rightarrow 'state \Rightarrow \text{bool}$

context *language* **begin**

The language locale represents the concrete program representation (type variable $'prog$), which can be transformed into a program state (type variable $'state$) by the *load* function. The set of initial states of the transition system is implicitly defined by the codomain of *load*.

3.1 Program behaviour

definition *prog-behaves* :: 'prog \Rightarrow 'state behaviour \Rightarrow bool (infix $\langle \Downarrow \rangle$ 50) **where**
 prog-behaves = load OO state-behaves

If both the *load* and *step* relations are deterministic, then so is the behaviour of a program.

lemma *right-unique-prog-behaves*:
 assumes
 right-unique-load: *right-unique load* **and**
 right-unique-step: *right-unique step*
 shows *right-unique prog-behaves*
 \langle *proof* \rangle

end

end

4 Well-foundedness of Relations Defined as Predicate Functions

theory *Well-founded*
 imports *Main*
begin

4.1 Lexicographic product

context
 fixes
 r1 :: 'a \Rightarrow 'a \Rightarrow bool **and**
 r2 :: 'b \Rightarrow 'b \Rightarrow bool
begin

definition *lex-prodp* :: 'a \times 'b \Rightarrow 'a \times 'b \Rightarrow bool **where**
 lex-prodp x y \equiv *r1* (fst x) (fst y) \vee fst x = fst y \wedge *r2* (snd x) (snd y)

lemma *lex-prodp-lex-prod*:
 shows *lex-prodp* x y \longleftrightarrow (x, y) \in *lex-prod* { (x, y). *r1* x y } { (x, y). *r2* x y }
 \langle *proof* \rangle

lemma *lex-prodp-wfP*:
 assumes
 wfp r1 **and**
 wfp r2
 shows *wfp lex-prodp*
 \langle *proof* \rangle

end

4.2 Lexicographic list

context

fixes $order :: 'a \Rightarrow 'a \Rightarrow bool$

begin

inductive $lexp :: 'a list \Rightarrow 'a list \Rightarrow bool$ **where**

$lexp\text{-head}: order\ x\ y \Longrightarrow length\ xs = length\ ys \Longrightarrow lexp\ (x\ \#\ xs)\ (y\ \#\ ys) \mid$

$lexp\text{-tail}: lexp\ xs\ ys \Longrightarrow lexp\ (x\ \#\ xs)\ (x\ \#\ ys)$

end

lemma $lexp\text{-prepend}: lexp\ order\ ys\ zs \Longrightarrow lexp\ order\ (xs\ @\ ys)\ (xs\ @\ zs)$

$\langle proof \rangle$

lemma $lexp\text{-lex}: lexp\ order\ xs\ ys \longleftrightarrow (xs, ys) \in lex\ \{(x, y). order\ x\ y\}$

$\langle proof \rangle$

lemma $lex\text{-list}\text{-wfp}: wfp\ order \Longrightarrow wfp\ (lexp\ order)$

$\langle proof \rangle$

end

theory *Lifting-Simulation-To-Bisimulation*

imports *Well-founded*

begin

definition $stuck\text{-state} :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a \Rightarrow bool$ **where**

$stuck\text{-state}\ \mathcal{R}\ s \longleftrightarrow (\nexists s'. \mathcal{R}\ s\ s')$

definition $simulation ::$

$('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('c \Rightarrow 'a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('c \Rightarrow 'c \Rightarrow bool) \Rightarrow bool$

where

$simulation\ \mathcal{R}_1\ \mathcal{R}_2\ match\ order \longleftrightarrow$

$(\forall i\ s1\ s2\ s1'. match\ i\ s1\ s2 \longrightarrow \mathcal{R}_1\ s1\ s1' \longrightarrow$

$(\exists s2'\ i'. \mathcal{R}_2^{++}\ s2\ s2' \wedge match\ i'\ s1'\ s2') \vee (\exists i'. match\ i'\ s1'\ s2 \wedge order\ i'\ i))$

lemma $finite\text{-progress}:$

fixes

$step1 :: 's1 \Rightarrow 's1 \Rightarrow bool$ **and**

$step2 :: 's2 \Rightarrow 's2 \Rightarrow bool$ **and**

$match :: 'i \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$ **and**

$order :: 'i \Rightarrow 'i \Rightarrow bool$

assumes

$matching\text{-states}\text{-agree}\text{-on}\text{-stuck}:$

$\forall i\ s1\ s2. match\ i\ s1\ s2 \longrightarrow stuck\text{-state}\ step1\ s1 \longleftrightarrow stuck\text{-state}\ step2\ s2$ **and**

$well\text{-founded}\text{-order}: wfp\ order$ **and**

$sim: simulation\ step1\ step2\ match\ order$

shows $match\ i\ s1\ s2 \Longrightarrow step1\ s1\ s1' \Longrightarrow$

$\exists m s1'' n s2'' i'. (step1 \sim m) s1' s1'' \wedge (step2 \sim Suc\ n) s2 s2'' \wedge match\ i' s1'' s2''$
 <proof>

context begin

private inductive *match-bisim*

for $\mathcal{R}_1 :: 'a \Rightarrow 'a \Rightarrow bool$ **and** $\mathcal{R}_2 :: 'b \Rightarrow 'b \Rightarrow bool$ **and**
 $match :: 'c \Rightarrow 'a \Rightarrow 'b \Rightarrow bool$ **and** $order :: 'c \Rightarrow 'c \Rightarrow bool$

where

bisim-stuck: $stuck\text{-state}\ \mathcal{R}_1\ s1 \Longrightarrow stuck\text{-state}\ \mathcal{R}_2\ s2 \Longrightarrow match\ i\ s1\ s2 \Longrightarrow$
 $match\text{-bisim}\ \mathcal{R}_1\ \mathcal{R}_2\ match\ order\ (0, 0)\ s1\ s2 \mid$

bisim-steps: $match\ i\ s1_0\ s2_0 \Longrightarrow \mathcal{R}_1^{**}\ s1_0\ s1 \Longrightarrow (\mathcal{R}_1 \sim Suc\ m)\ s1\ s1' \Longrightarrow$
 $\mathcal{R}_2^{**}\ s2_0\ s2 \Longrightarrow (\mathcal{R}_2 \sim Suc\ n)\ s2\ s2' \Longrightarrow match\ i'\ s1'\ s2' \Longrightarrow$
 $match\text{-bisim}\ \mathcal{R}_1\ \mathcal{R}_2\ match\ order\ (m, n)\ s1\ s2$

theorem *lift-strong-simulation-to-bisimulation*:

fixes

$step1 :: 's1 \Rightarrow 's1 \Rightarrow bool$ **and**
 $step2 :: 's2 \Rightarrow 's2 \Rightarrow bool$ **and**
 $match :: 'i \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$ **and**
 $order :: 'i \Rightarrow 'i \Rightarrow bool$

assumes

matching-states-agree-on-stuck:
 $\forall i\ s1\ s2. match\ i\ s1\ s2 \longrightarrow stuck\text{-state}\ step1\ s1 \longleftrightarrow stuck\text{-state}\ step2\ s2$ **and**
well-founded-order: $wfp\ order$ **and**
sim: $simulation\ step1\ step2\ match\ order$

obtains

$MATCH :: nat \times nat \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$ **and**
 $ORDER :: nat \times nat \Rightarrow nat \times nat \Rightarrow bool$

where

$\bigwedge i\ s1\ s2. match\ i\ s1\ s2 \Longrightarrow (\exists j. MATCH\ j\ s1\ s2)$
 $\bigwedge j\ s1\ s2. MATCH\ j\ s1\ s2 \Longrightarrow$
 $(\exists i. stuck\text{-state}\ step1\ s1 \wedge stuck\text{-state}\ step2\ s2 \wedge match\ i\ s1\ s2) \vee$
 $(\exists i\ s1'\ s2'. step1^{++}\ s1\ s1' \wedge step2^{++}\ s2\ s2' \wedge match\ i\ s1'\ s2')$ **and**
 $wfp\ ORDER$ **and**

$right\text{-unique}\ step1 \Longrightarrow simulation\ step1\ step2\ (\lambda i\ s1\ s2. MATCH\ i\ s1\ s2)$

$ORDER$ **and**

$right\text{-unique}\ step2 \Longrightarrow simulation\ step2\ step1\ (\lambda i\ s2\ s1. MATCH\ i\ s1\ s2)$

$ORDER$

<proof>

end

definition *safe-state* **where**

$safe\text{-state}\ \mathcal{R}\ \mathcal{F}\ s \longleftrightarrow (\forall s'. \mathcal{R}^{**}\ s\ s' \longrightarrow stuck\text{-state}\ \mathcal{R}\ s' \longrightarrow \mathcal{F}\ s')$

lemma *step-preserves-safe-state*:

$\mathcal{R} s s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s'$
 ⟨proof⟩

lemma *rtranclp-step-preserves-safe-state:*

$\mathcal{R}^{**} s s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s'$
 ⟨proof⟩

lemma *tranclp-step-preserves-safe-state:*

$\mathcal{R}^{++} s s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s'$
 ⟨proof⟩

lemma *safe-state-before-step-if-safe-state-after:*

assumes *right-unique* \mathcal{R}

shows $\mathcal{R} s s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s$
 ⟨proof⟩

lemma *safe-state-before-rtranclp-step-if-safe-state-after:*

assumes *right-unique* \mathcal{R}

shows $\mathcal{R}^{**} s s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s$
 ⟨proof⟩

lemma *safe-state-before-tranclp-step-if-safe-state-after:*

assumes *right-unique* \mathcal{R}

shows $\mathcal{R}^{++} s s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s' \Longrightarrow \text{safe-state } \mathcal{R} \mathcal{F} s$
 ⟨proof⟩

lemma *safe-state-if-all-states-safe:*

fixes $\mathcal{R} \mathcal{F} s$

assumes $\bigwedge s. \mathcal{F} s \vee (\exists s'. \mathcal{R} s s')$

shows *safe-state* $\mathcal{R} \mathcal{F} s$
 ⟨proof⟩

lemma

fixes $\mathcal{R} \mathcal{F} s$

shows *safe-state* $\mathcal{R} \mathcal{F} s \Longrightarrow \mathcal{F} s \vee (\exists s'. \mathcal{R} s s')$
 ⟨proof⟩

lemma *matching-states-agree-on-stuck-if-they-agree-on-final:*

assumes

final1-stuck: $\forall s1. \text{final1 } s1 \longrightarrow (\nexists s1'. \text{step1 } s1 s1')$ **and**

final2-stuck: $\forall s2. \text{final2 } s2 \longrightarrow (\nexists s2'. \text{step2 } s2 s2')$ **and**

matching-states-agree-on-final: $\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{final1 } s1 \longleftrightarrow \text{final2 } s2$ **and**

matching-states-are-safe:

$\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{safe-state } \text{step1 } \text{final1 } s1 \wedge \text{safe-state } \text{step2 } \text{final2 } s2$

s2

shows $\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{stuck-state } \text{step1 } s1 \longleftrightarrow \text{stuck-state } \text{step2 } s2$
 ⟨proof⟩

locale *wellbehaved-transition-system* =
fixes $\mathcal{R} :: 's \Rightarrow 's \Rightarrow \text{bool}$ **and** $\mathcal{F} :: 's \Rightarrow \text{bool}$ **and** $\mathcal{S} :: 's \Rightarrow \text{bool}$
assumes
determ: *right-unique* \mathcal{R} **and**
stuck-if-final: $\bigwedge x. \mathcal{F} x \implies \text{stuck-state } \mathcal{R} x$ **and**
safe-if-invar: $\bigwedge x. \mathcal{S} x \implies \text{safe-state } \mathcal{R} \mathcal{F} x$

lemma (in *wellbehaved-transition-system*) *final-iff-stuck-if-invar*:
fixes x
assumes $\mathcal{S} x$
shows $\mathcal{F} x \longleftrightarrow \text{stuck-state } \mathcal{R} x$
<proof>

lemma *wellbehaved-transition-systems-agree-on-final-iff-agree-on-stuck*:
fixes
 $\mathcal{R}_a :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **and** $\mathcal{F}_a :: 'a \Rightarrow \text{bool}$ **and**
 $\mathcal{R}_b :: 'b \Rightarrow 'b \Rightarrow \text{bool}$ **and** $\mathcal{F}_b :: 'b \Rightarrow \text{bool}$ **and**
 $\mathcal{M} :: 'i \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$
assumes
wellbehaved-transition-system $\mathcal{R}_a \mathcal{F}_a (\lambda a. \exists i b. \mathcal{M} i a b)$ **and**
wellbehaved-transition-system $\mathcal{R}_b \mathcal{F}_b (\lambda b. \exists i a. \mathcal{M} i a b)$ **and**
 $\mathcal{M} i a b$
shows $(\mathcal{F}_a a \longleftrightarrow \mathcal{F}_b b) \longleftrightarrow (\text{stuck-state } \mathcal{R}_a a \longleftrightarrow \text{stuck-state } \mathcal{R}_b b)$
<proof>

corollary *lift-strong-simulation-to-bisimulation'*:
fixes
step1 :: $'s1 \Rightarrow 's1 \Rightarrow \text{bool}$ **and**
step2 :: $'s2 \Rightarrow 's2 \Rightarrow \text{bool}$ **and**
match :: $'i \Rightarrow 's1 \Rightarrow 's2 \Rightarrow \text{bool}$ **and**
order :: $'i \Rightarrow 'i \Rightarrow \text{bool}$
assumes
right-unique *step1* **and**
right-unique *step2* **and**
final1-stuck: $\forall s1. \text{final1 } s1 \longrightarrow (\nexists s1'. \text{step1 } s1 s1')$ **and**
final2-stuck: $\forall s2. \text{final2 } s2 \longrightarrow (\nexists s2'. \text{step2 } s2 s2')$ **and**
matching-states-agree-on-final:
 $\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{final1 } s1 \longleftrightarrow \text{final2 } s2$ **and**
matching-states-are-safe:
 $\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{safe-state } \text{step1 } \text{final1 } s1 \wedge \text{safe-state } \text{step2 } \text{final2 } s2$ **and**
order-well-founded: *wfp* *order* **and**
sim: *simulation* *step1* *step2* *match* *order*
obtains
 $\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 's1 \Rightarrow 's2 \Rightarrow \text{bool}$ **and**
 $\text{ORDER} :: \text{nat} \times \text{nat} \Rightarrow \text{nat} \times \text{nat} \Rightarrow \text{bool}$
where
 $\bigwedge i s1 s2. \text{match } i s1 s2 \implies (\exists j. \text{MATCH } j s1 s2)$
 $\bigwedge j s1 s2. \text{MATCH } j s1 s2 \implies \text{final1 } s1 \longleftrightarrow \text{final2 } s2$ **and**

```

   $\bigwedge j s1 s2. \text{MATCH } j s1 s2 \implies \text{stuck-state step1 } s1 \longleftrightarrow \text{stuck-state step2 } s2$ 
and
   $\bigwedge j s1 s2. \text{MATCH } j s1 s2 \implies \text{safe-state step1 final1 } s1 \wedge \text{safe-state step2 final2 } s2$ 
and
  wfp ORDER and
  simulation step1 step2 ( $\lambda i s1 s2. \text{MATCH } i s1 s2$ ) ORDER and
  simulation step2 step1 ( $\lambda i s2 s1. \text{MATCH } i s1 s2$ ) ORDER
<proof>

end

```

5 Simulations Between Dynamic Executions

```

theory Simulation
imports
  Semantics
  Inf
  Well-founded
  Lifting-Simulation-To-Bisimulation
begin

```

5.1 Backward simulation

```

locale backward-simulation =
  L1: semantics step1 final1 +
  L2: semantics step2 final2
for
  step1 :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool and final1 :: 'state1  $\Rightarrow$  bool and
  step2 :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool and final2 :: 'state2  $\Rightarrow$  bool +
fixes
  match :: 'index  $\Rightarrow$  'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool and
  order :: 'index  $\Rightarrow$  'index  $\Rightarrow$  bool (infix  $\langle \square \rangle$  70)
assumes
  wfp-order:
    wfp ( $\square$ ) and
  match-final:
    match i s1 s2  $\implies$  final2 s2  $\implies$  final1 s1 and
  simulation:
    match i s1 s2  $\implies$  step2 s2 s2'  $\implies$ 
      ( $\exists i' s1'. \text{step1}^{++} s1 s1' \wedge \text{match } i' s1' s2'$ )  $\vee$  ( $\exists i'. \text{match } i' s1 s2' \wedge i' \square$ 
i)
begin

```

A simulation is defined between two *semantics* L1 and L2. A *match* predicate expresses that two states from L1 and L2 are equivalent. The *match* predicate is also parameterized with an ordering used to avoid stuttering. The only two assumptions of a backward simulation are that a final state in L2 will also be a final in L1, and that a step in L2 will either represent a

non-empty sequence of steps in L1 or will result in an equivalent state. Stuttering is ruled out by the requirement that the index on the *match* predicate decreases with respect to the well-founded (\sqsubset) ordering.

lemma *lift-simulation-plus*:

$$\begin{aligned} & \text{step2}^{++} \ s2 \ s2' \implies \text{match } i1 \ s1 \ s2 \implies \\ & (\exists i2 \ s1'. \text{step1}^{++} \ s1 \ s1' \wedge \text{match } i2 \ s1' \ s2') \vee \\ & (\exists i2. \text{match } i2 \ s1 \ s2' \wedge \text{order}^{++} \ i2 \ i1) \end{aligned}$$

thm *tranclp-induct*

<proof>

lemma *lift-simulation-eval*:

$$L2.\text{eval } s2 \ s2' \implies \text{match } i1 \ s1 \ s2 \implies \exists i2 \ s1'. L1.\text{eval } s1 \ s1' \wedge \text{match } i2 \ s1' \ s2'$$

<proof>

lemma *match-inf*:

assumes

match i s1 s2 **and**

inf step2 s2

shows *inf step1 s1*

<proof>

5.1.1 Preservation of behaviour

The main correctness theorem states that, for any two matching programs, any not wrong behaviour of the later is also a behaviour of the former. In other words, if the compiled program does not crash, then its behaviour, whether it terminates or not, is also a valid behaviour of the source program.

lemma *simulation-behaviour* :

$$\begin{aligned} & L2.\text{state-behaves } s2 \ b2 \implies \neg \text{is-wrong } b2 \implies \text{match } i \ s1 \ s2 \implies \\ & \exists b1 \ i'. L1.\text{state-behaves } s1 \ b1 \wedge \text{rel-behaviour } (\text{match } i') \ b1 \ b2 \end{aligned}$$

<proof>

end

5.2 Forward simulation

locale *forward-simulation* =

L1: semantics step1 final1 +

L2: semantics step2 final2

for

step1 :: 'state1 \Rightarrow 'state1 \Rightarrow bool **and** *final1* :: 'state1 \Rightarrow bool **and**

step2 :: 'state2 \Rightarrow 'state2 \Rightarrow bool **and** *final2* :: 'state2 \Rightarrow bool +

fixes

match :: 'index \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow bool **and**

order :: 'index \Rightarrow 'index \Rightarrow bool (**infix** $\langle \sqsubset \rangle$ 70)

assumes

wfp-order:

wfp (\square) **and**
match-final:
 $match\ i\ s1\ s2 \implies final1\ s1 \implies final2\ s2$ **and**
simulation:
 $match\ i\ s1\ s2 \implies step1\ s1\ s1' \implies$
 $(\exists i'\ s2'.\ step2^{++}\ s2\ s2' \wedge match\ i'\ s1'\ s2') \vee (\exists i'.\ match\ i'\ s1'\ s2 \wedge i' \square$
i)
begin

lemma *lift-simulation-plus*:
 $step1^{++}\ s1\ s1' \implies match\ i\ s1\ s2 \implies$
 $(\exists i'\ s2'.\ step2^{++}\ s2\ s2' \wedge match\ i'\ s1'\ s2') \vee$
 $(\exists i'.\ match\ i'\ s1'\ s2 \wedge order^{++}\ i'\ i)$
<proof>

lemma *lift-simulation-eval*:
 $L1.eval\ s1\ s1' \implies match\ i\ s1\ s2 \implies \exists i'\ s2'.\ L2.eval\ s2\ s2' \wedge match\ i'\ s1'\ s2'$
<proof>

lemma *match-inf*:
assumes $match\ i\ s1\ s2$ **and** $inf\ step1\ s1$
shows $inf\ step2\ s2$
<proof>

5.2.1 Preservation of behaviour

lemma *simulation-behaviour* :
 $L1.state-behaves\ s1\ b1 \implies \neg\ is-wrong\ b1 \implies match\ i\ s1\ s2 \implies$
 $\exists b2\ i'.\ L2.state-behaves\ s2\ b2 \wedge rel-behaviour\ (match\ i')\ b1\ b2$
<proof>

5.2.2 Forward to backward

lemma *state-behaves-forward-to-backward*:
assumes
 $match-s1-s2: match\ i\ s1\ s2$ **and**
 $safe-s1: L1.safe\ s1$ **and**
 $behaves-s2: L2.state-behaves\ s2\ b2$ **and**
 $right-unique2: right-unique\ step2$
shows $\exists b1\ i.\ L1.state-behaves\ s1\ b1 \wedge rel-behaviour\ (match\ i)\ b1\ b2$
<proof>

end

5.3 Bisimulation

locale *bisimulation* =
 $forward-simulation\ step1\ final1\ step2\ final2\ match\ order_f +$
 $backward-simulation\ step1\ final1\ step2\ final2\ match\ order_b$
for

$step1 :: 'state1 \Rightarrow 'state1 \Rightarrow bool$ **and** $final1 :: 'state1 \Rightarrow bool$ **and**
 $step2 :: 'state2 \Rightarrow 'state2 \Rightarrow bool$ **and** $final2 :: 'state2 \Rightarrow bool$ **and**
 $match :: 'index \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow bool$ **and**
 $order_f :: 'index \Rightarrow 'index \Rightarrow bool$ **and**
 $order_b :: 'index \Rightarrow 'index \Rightarrow bool$

lemma (in *bisimulation*) *agree-on-final*:

assumes $match\ i\ s1\ s2$
shows $final1\ s1 \longleftrightarrow final2\ s2$
<proof>

lemma *obtains-bisimulation-from-forward-simulation*:

fixes
 $step1 :: 'state1 \Rightarrow 'state1 \Rightarrow bool$ **and** $final1 :: 'state1 \Rightarrow bool$ **and**
 $step2 :: 'state2 \Rightarrow 'state2 \Rightarrow bool$ **and** $final2 :: 'state2 \Rightarrow bool$ **and**
 $match :: 'index \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow bool$ **and**
 $lt :: 'index \Rightarrow 'index \Rightarrow bool$
assumes *right-unique step1* **and** *right-unique step2* **and**
 $final1\ stuck: \forall s1. final1\ s1 \longrightarrow (\nexists s1'. step1\ s1\ s1')$ **and**
 $final2\ stuck: \forall s2. final2\ s2 \longrightarrow (\nexists s2'. step2\ s2\ s2')$ **and**
 $matching\ states\ agree\ on\ final: \forall i\ s1\ s2. match\ i\ s1\ s2 \longrightarrow final1\ s1 \longleftrightarrow final2\ s2$ **and**
matching-states-are-safe:
 $\forall i\ s1\ s2. match\ i\ s1\ s2 \longrightarrow safe\ state\ step1\ final1\ s1 \wedge safe\ state\ step2\ final2\ s2$ **and**
wfP lt **and**
 $fsim: \forall i\ s1\ s2\ s1'. match\ i\ s1\ s2 \longrightarrow step1\ s1\ s1' \longrightarrow$
 $(\exists i'\ s2'. step2^{++}\ s2\ s2' \wedge match\ i'\ s1'\ s2') \vee (\exists i'. match\ i'\ s1'\ s2 \wedge lt\ i'\ i)$
obtains
 $MATCH :: nat \times nat \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow bool$ **and**
 $ORDER :: nat \times nat \Rightarrow nat \times nat \Rightarrow bool$
where
 $bisimulation\ step1\ final1\ step2\ final2\ MATCH\ ORDER\ ORDER$
<proof>

corollary *ex-bisimulation-from-forward-simulation*:

fixes
 $step1 :: 'state1 \Rightarrow 'state1 \Rightarrow bool$ **and** $final1 :: 'state1 \Rightarrow bool$ **and**
 $step2 :: 'state2 \Rightarrow 'state2 \Rightarrow bool$ **and** $final2 :: 'state2 \Rightarrow bool$ **and**
 $match :: 'index \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow bool$ **and**
 $lt :: 'index \Rightarrow 'index \Rightarrow bool$
assumes *right-unique step1* **and** *right-unique step2* **and**
 $final1\ stuck: \forall s1. final1\ s1 \longrightarrow (\nexists s1'. step1\ s1\ s1')$ **and**
 $final2\ stuck: \forall s2. final2\ s2 \longrightarrow (\nexists s2'. step2\ s2\ s2')$ **and**
 $matching\ states\ agree\ on\ final: \forall i\ s1\ s2. match\ i\ s1\ s2 \longrightarrow final1\ s1 \longleftrightarrow final2\ s2$ **and**
matching-states-are-safe:
 $\forall i\ s1\ s2. match\ i\ s1\ s2 \longrightarrow safe\ state\ step1\ final1\ s1 \wedge safe\ state\ step2\ final2\ s2$ **and**

wfP lt and
fsim: $\forall i s1 s2 s1'. \text{match } i s1 s2 \longrightarrow \text{step1 } s1 s1' \longrightarrow$
 $(\exists i' s2'. \text{step2}^{++} s2 s2' \wedge \text{match } i' s1' s2') \vee (\exists i'. \text{match } i' s1' s2 \wedge \text{lt } i' i)$
shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow \text{bool}) \text{ORDER}_f \text{ORDER}_b.$
bisimulation step1 final1 step2 final2 MATCH ORDER_f ORDER_b
<proof>

lemma obtains-bisimulation-from-backward-simulation:

fixes

step1 :: $'state1 \Rightarrow 'state1 \Rightarrow \text{bool}$ **and** *final1* :: $'state1 \Rightarrow \text{bool}$ **and**
step2 :: $'state2 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and** *final2* :: $'state2 \Rightarrow \text{bool}$ **and**
match :: $'index \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and**
lt :: $'index \Rightarrow 'index \Rightarrow \text{bool}$

assumes *right-unique step1 and right-unique step2 and*

final1-stuck: $\forall s1. \text{final1 } s1 \longrightarrow (\nexists s1'. \text{step1 } s1 s1')$ **and**

final2-stuck: $\forall s2. \text{final2 } s2 \longrightarrow (\nexists s2'. \text{step2 } s2 s2')$ **and**

matching-states-agree-on-final: $\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{final1 } s1 \longleftrightarrow \text{final2}$

s2 and

matching-states-are-safe:

$\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{safe-state step1 final1 } s1 \wedge \text{safe-state step2 final2}$

s2 and

wfP lt and

bsim: $\forall i s1 s2 s2'. \text{match } i s1 s2 \longrightarrow \text{step2 } s2 s2' \longrightarrow$

$(\exists i' s1'. \text{step1}^{++} s1 s1' \wedge \text{match } i' s1' s2') \vee (\exists i'. \text{match } i' s1 s2' \wedge \text{lt } i' i)$

obtains

MATCH :: $\text{nat} \times \text{nat} \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and**

ORDER :: $\text{nat} \times \text{nat} \Rightarrow \text{nat} \times \text{nat} \Rightarrow \text{bool}$

where

bisimulation step1 final1 step2 final2 MATCH ORDER ORDER

<proof>

corollary ex-bisimulation-from-backward-simulation:

fixes

step1 :: $'state1 \Rightarrow 'state1 \Rightarrow \text{bool}$ **and** *final1* :: $'state1 \Rightarrow \text{bool}$ **and**

step2 :: $'state2 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and** *final2* :: $'state2 \Rightarrow \text{bool}$ **and**

match :: $'index \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and**

lt :: $'index \Rightarrow 'index \Rightarrow \text{bool}$

assumes *right-unique step1 and right-unique step2 and*

final1-stuck: $\forall s1. \text{final1 } s1 \longrightarrow (\nexists s1'. \text{step1 } s1 s1')$ **and**

final2-stuck: $\forall s2. \text{final2 } s2 \longrightarrow (\nexists s2'. \text{step2 } s2 s2')$ **and**

matching-states-agree-on-final: $\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{final1 } s1 \longleftrightarrow \text{final2}$

s2 and

matching-states-are-safe:

$\forall i s1 s2. \text{match } i s1 s2 \longrightarrow \text{safe-state step1 final1 } s1 \wedge \text{safe-state step2 final2}$

s2 and

wfP lt and

bsim: $\forall i s1 s2 s2'. \text{match } i s1 s2 \longrightarrow \text{step2 } s2 s2' \longrightarrow$

$(\exists i' s1'. \text{step1}^{++} s1 s1' \wedge \text{match } i' s1' s2') \vee (\exists i'. \text{match } i' s1 s2' \wedge \text{lt } i' i)$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'state1 \Rightarrow 'state2 \Rightarrow \text{bool}) \text{ORDER}_f \text{ORDER}_b.$

bisimulation step1 final1 step2 final2 MATCH ORDER_f ORDER_b
 ⟨proof⟩

5.4 Composition of simulations

definition *rel-comp* ::

$('a \Rightarrow 'b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('d \Rightarrow 'c \Rightarrow 'e \Rightarrow \text{bool}) \Rightarrow ('a \times 'd) \Rightarrow 'b \Rightarrow 'e \Rightarrow \text{bool}$

where

$\text{rel-comp } r1 \ r2 \ i \equiv (r1 \ (\text{fst } i) \ \text{OO } r2 \ (\text{snd } i))$

5.4.1 Composition of backward simulations

lemma *backward-simulation-composition*:

assumes

backward-simulation step1 final1 step2 final2 match1 order1

backward-simulation step2 final2 step3 final3 match2 order2

shows

backward-simulation step1 final1 step3 final3

$(\text{rel-comp } \text{match1 } \text{match2}) \ (\text{lex-prodp } \text{order1}^{++} \ \text{order2})$

⟨proof⟩

context

fixes $r :: 'i \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$

begin

fun *rel-comp-pow* **where**

$\text{rel-comp-pow } [] \ x \ y = \text{False} \mid$

$\text{rel-comp-pow } [i] \ x \ y = r \ i \ x \ y \mid$

$\text{rel-comp-pow } (i \ \# \ is) \ x \ z = (\exists y. r \ i \ x \ y \wedge \text{rel-comp-pow } is \ y \ z)$

end

lemma *backward-simulation-pow*:

assumes

backward-simulation step final step final match order

shows

$\text{backward-simulation } \text{step } \text{final } \text{step } \text{final} \ (\text{rel-comp-pow } \text{match}) \ (\text{lexp } \text{order}^{++})$

⟨proof⟩

5.4.2 Composition of forward simulations

lemma *forward-simulation-composition*:

assumes

forward-simulation step1 final1 step2 final2 match1 order1

forward-simulation step2 final2 step3 final3 match2 order2

defines $\text{ORDER} \equiv \lambda i \ i'. \ \text{lex-prodp } \text{order2}^{++} \ \text{order1} \ (\text{prod.swap } i) \ (\text{prod.swap } i')$

shows *forward-simulation step1 final1 step3 final3 (rel-comp match1 match2)*

ORDER

⟨proof⟩

5.4.3 Composition of bisimulations

lemma *bisimulation-composition*:

fixes

$step1 :: 's1 \Rightarrow 's1 \Rightarrow bool$ **and** $final1 :: 's1 \Rightarrow bool$ **and**
 $step2 :: 's2 \Rightarrow 's2 \Rightarrow bool$ **and** $final2 :: 's2 \Rightarrow bool$ **and**
 $step3 :: 's3 \Rightarrow 's3 \Rightarrow bool$ **and** $final3 :: 's3 \Rightarrow bool$ **and**
 $match1 :: 'i \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$ **and** $order1_f$ $order1_b :: 'i \Rightarrow 'i \Rightarrow bool$ **and**
 $match2 :: 'j \Rightarrow 's2 \Rightarrow 's3 \Rightarrow bool$ **and** $order2_f$ $order2_b :: 'j \Rightarrow 'j \Rightarrow bool$

assumes

bisimulation $step1$ $final1$ $step2$ $final2$ $match1$ $order1_f$ $order1_b$
bisimulation $step2$ $final2$ $step3$ $final3$ $match2$ $order2_f$ $order2_b$

obtains

$ORDER_f :: 'i \times 'j \Rightarrow 'i \times 'j \Rightarrow bool$ **and**
 $ORDER_b :: 'i \times 'j \Rightarrow 'i \times 'j \Rightarrow bool$ **and**
 $MATCH :: 'i \times 'j \Rightarrow 's1 \Rightarrow 's3 \Rightarrow bool$

where *bisimulation* $step1$ $final1$ $step3$ $final3$ $MATCH$ $ORDER_f$ $ORDER_b$

<proof>

5.5 Miscellaneous

definition *lockstep-backward-simulation* **where**

lockstep-backward-simulation $step1$ $step2$ $match \equiv$
 $\forall s1\ s2\ s2'.\ match\ s1\ s2 \longrightarrow step2\ s2\ s2' \longrightarrow (\exists s1'.\ step1\ s1\ s1' \wedge match\ s1'\ s2')$

definition *plus-backward-simulation* **where**

plus-backward-simulation $step1$ $step2$ $match \equiv$
 $\forall s1\ s2\ s2'.\ match\ s1\ s2 \longrightarrow step2\ s2\ s2' \longrightarrow (\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2')$

lemma

assumes *lockstep-backward-simulation* $step1$ $step2$ $match$

shows *plus-backward-simulation* $step1$ $step2$ $match$

<proof>

lemma *lockstep-to-plus-backward-simulation*:

fixes

$match :: 'state1 \Rightarrow 'state2 \Rightarrow bool$ **and**
 $step1 :: 'state1 \Rightarrow 'state1 \Rightarrow bool$ **and**
 $step2 :: 'state2 \Rightarrow 'state2 \Rightarrow bool$

assumes

lockstep-simulation: $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step2\ s2\ s2' \implies (\exists s1'.\ step1\ s1\ s1' \wedge match\ s1'\ s2')$ **and**

match: $match\ s1\ s2$ **and**

step: $step2\ s2\ s2'$

shows $\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2'$

<proof>

lemma *lockstep-to-option-backward-simulation*:

```

fixes
  match :: 'state1 ⇒ 'state2 ⇒ bool and
  step1 :: 'state1 ⇒ 'state1 ⇒ bool and
  step2 :: 'state2 ⇒ 'state2 ⇒ bool and
  measure :: 'state2 ⇒ nat
assumes
  lockstep-simulation:  $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step2\ s2\ s2' \implies (\exists s1'.\ step1\ s1\ s1' \wedge match\ s1'\ s2')$  and
  match: match s1 s2 and
  step: step2 s2 s2'
shows  $(\exists s1'.\ step1\ s1\ s1' \wedge match\ s1'\ s2') \vee match\ s1\ s2' \wedge measure\ s2' < measure\ s2$ 
  ⟨proof⟩

```

lemma *plus-to-star-backward-simulation*:

```

fixes
  match :: 'state1 ⇒ 'state2 ⇒ bool and
  step1 :: 'state1 ⇒ 'state1 ⇒ bool and
  step2 :: 'state2 ⇒ 'state2 ⇒ bool and
  measure :: 'state2 ⇒ nat
assumes
  star-simulation:  $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step2\ s2\ s2' \implies (\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2')$  and
  match: match s1 s2 and
  step: step2 s2 s2'
shows  $(\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2') \vee match\ s1\ s2' \wedge measure\ s2' < measure\ s2$ 
  ⟨proof⟩

```

lemma *lockstep-to-plus-forward-simulation*:

```

fixes
  match :: 'state1 ⇒ 'state2 ⇒ bool and
  step1 :: 'state1 ⇒ 'state1 ⇒ bool and
  step2 :: 'state2 ⇒ 'state2 ⇒ bool
assumes
  lockstep-simulation:  $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step1\ s1\ s1' \implies (\exists s2'.\ step2\ s2\ s2' \wedge match\ s1'\ s2')$  and
  match: match s1 s2 and
  step: step1 s1 s1'
shows  $\exists s2'.\ step2^{++}\ s2\ s2' \wedge match\ s1'\ s2'$ 
  ⟨proof⟩

```

end

6 Compiler Between Static Representations

```

theory Compiler
  imports Language Simulation
begin

```

definition *option-comp* :: ('a ⇒ 'b option) ⇒ ('c ⇒ 'a option) ⇒ 'c ⇒ 'b option
 (**infix** <⇐> 50) **where**
 (f ⇐ g) x ≡ Option.bind (g x) f

context

fixes f :: ('a ⇒ 'a option)
begin

fun *option-comp-pow* :: nat ⇒ 'a ⇒ 'a option **where**
option-comp-pow 0 = (λ-. None) |
option-comp-pow (Suc 0) = f |
option-comp-pow (Suc n) = (*option-comp-pow* n ⇐ f)

end

locale *compiler* =

L1: language step1 final1 load1 +
L2: language step2 final2 load2 +
backward-simulation step1 final1 step2 final2 match order
for
step1 :: 'state1 ⇒ 'state1 ⇒ bool **and** *final1* **and** *load1* :: 'prog1 ⇒ 'state1 ⇒ bool **and**
step2 :: 'state2 ⇒ 'state2 ⇒ bool **and** *final2* **and** *load2* :: 'prog2 ⇒ 'state2 ⇒ bool **and**
match **and**
order :: 'index ⇒ 'index ⇒ bool +
fixes
compile :: 'prog1 ⇒ 'prog2 option
assumes
compile-load:
compile p1 = Some p2 ⇒ *load2* p2 s2 ⇒ ∃ s1 i. *load1* p1 s1 ∧ *match* i s1 s2
begin

The *compiler* locale relates two languages, L1 and L2, by a backward simulation and provides a *compile* partial function from a concrete program in L1 to a concrete program in L2. The only assumption is that a successful compilation results in a program which, when loaded, is equivalent to the loaded initial program.

6.1 Preservation of behaviour

corollary *behaviour-preservation*:

assumes

compiles: *compile* p1 = Some p2 **and**

behaves: *L2.prog-behaves* p2 b2 **and**

not-wrong: ¬ *is-wrong* b2

shows ∃ b1 i. *L1.prog-behaves* p1 b1 ∧ *rel-behaviour* (*match* i) b1 b2

<proof>

end

6.2 Composition of compilers

lemma *compiler-composition*:

assumes

*compiler step1 final1 load1 step2 final2 load2 match1 order1 compile1 and
compiler step2 final2 load2 step3 final3 load3 match2 order2 compile2*

shows *compiler step1 final1 load1 step3 final3 load3*

(rel-comp match1 match2) (lex-prodp order1⁺⁺ order2) (compile2 \Leftarrow compile1)

<proof>

lemma *compiler-composition-pow*:

assumes

compiler step final load step final load match order compile

shows *compiler step final load step final load*

(rel-comp-pow match) (lex order⁺⁺) (option-comp-pow compile n)

<proof>

end

7 Fixpoint of Converging Program Transformations

theory *Fixpoint*

imports *Compiler*

begin

context

fixes

m :: 'a \Rightarrow nat and

f :: 'a \Rightarrow 'a option

begin

function *fixpoint* :: *'a \Rightarrow 'a option where*

fixpoint x = (

case f x of

None \Rightarrow None |

Some x' \Rightarrow if m x' < m x then fixpoint x' else Some x'

)

<proof>

termination

<proof>

end

lemma *fixpoint-to-comp-pow*:
 $\text{fixpoint } m \ f \ x = y \implies \exists n. \text{option-comp-pow } f \ n \ x = y$
 ⟨proof⟩

lemma *fixpoint-eq-comp-pow*:
 $\exists n. \text{fixpoint } m \ f \ x = \text{option-comp-pow } f \ n \ x$
 ⟨proof⟩

lemma *compiler-composition-fixpoint*:
 assumes
 compiler step final load step final load match order compile
 shows *compiler step final load step final load*
 (*rel-comp-pow match*) (*lexp order⁺⁺*) (*fixpoint m compile*)
 ⟨proof⟩

end

References

- [1] M. Desharnais and S. Brunthaler. A generic framework for verified compilers using isabelle/hols locales. *31 ème Journées Francophones des Langages Applicatifs*, page 198, 2020.