VectorSpace

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March 17, 2025

Abstract

I present a formalisation of basic linear algebra based completely on locales, building off HOL-Algebra. It includes the following:

- 1. basic definitions: linear combinations, span, linear independence
- 2. linear transformations
- 3. interpretation of function spaces as vector spaces
- 4. direct sum of vector spaces, sum of subspaces
- 5. the replacement theorem
- 6. existence of bases in finite-dimensional vector spaces, definition of dimension
- 7. rank-nullity theorem.

Note that some concepts are actually defined and proved for modules as they also apply there.

In the process, I also prove some basic facts about rings, modules, and fields, as well as finite sums in monoids/modules.

Note that infinite-dimensional vector spaces are supported, but dimension is only supported for finite-dimensional vector spaces.

The proofs are standard; the proofs of the replacement theorem and rank-nullity theorem roughly follow the presentation in [?]. The ranknullity theorem generalises the existing development in [?] (originally using type classes, now using a mix of type classes and locales).

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*This work was funded by the Post-Masters Consultancy and the Computer Laboratory at the University of Cambridge.

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1 Basic facts about rings and modules

theory RingModuleFacts imports Main HOL-Algebra.Module HOL-Algebra.Coset

begin

1.1 Basic facts

In a field, every nonzero element has an inverse.

```
lemma (in field) inverse-exists [simp, intro]:

assumes h1: a \in carrier R and h2: a \neq \mathbf{0}_R

shows inv_R a \in carrier R

proof –

have 1: Units R = carrier R - \{\mathbf{0}_R\} by (rule field-Units)

from h1 h2 1 show ?thesis by auto

qed
```

Multiplication by 0 in R gives 0. (Note that this fact encompasses smult-l-null as this is for module while that is for algebra, so smult-l-null is redundant.)

 $\begin{array}{l} \textbf{lemma (in module) lmult-0 [simp]:}\\ \textbf{assumes 1: } m \in carrier \ M\\ \textbf{shows 0}_R \odot_M m = \textbf{0}_M\\ \textbf{proof } -\\ \textbf{from 1 have 0: 0}_R \odot_M m \in carrier \ M \ \textbf{by simp}\\ \textbf{from 1 have 2: 0}_R \odot_M m = (\textbf{0}_R \oplus_R \textbf{0}_R) \odot_M m \ \textbf{by simp}\\ \textbf{from 1 have 3: (0}_R \oplus_R \textbf{0}_R) \odot_M m = (\textbf{0}_R \odot_M m) \oplus_M (\textbf{0}_R \odot_M m)\\ \textbf{using [[simp-trace, simp-trace-depth-limit=3]]}\\ \textbf{by (simp add: smult-l-distr del: R.add.r-one R.add.l-one)} \end{array}$

from 2 3 have 4: $\mathbf{0}_R \odot_M m = (\mathbf{0}_R \odot_M m) \oplus_M (\mathbf{0}_R \odot_M m)$ by auto from 0 4 show ?thesis using M.l-neg M.r-neg1 by fastforce qed

Multiplication by 0 in M gives 0.

```
lemma (in module) rmult-0 [simp]:

assumes 0: r \in carrier R

shows r \odot_M \mathbf{0}_M = \mathbf{0}_M

by (metis M.zero-closed R.zero-closed assms lmult-0 r-null smult-assoc1)
```

Multiplication by -1 is the same as negation. May be useful as a simp rule.

lemma (in module) smult-minus-1: fixes vassumes $0:v \in carrier M$ shows $(\ominus_R \mathbf{1}_R) \odot_M v = (\ominus_M v)$

proof -

from 0 have $a0: \mathbf{1}_R \odot_M v = v$ by simpfrom 0 have $1: ((\ominus_R \mathbf{1}_R) \oplus_R \mathbf{1}_R) \odot_M v = \mathbf{0}_M$ by $(simp \ add:R.l-neg)$ from 0 have $2: ((\ominus_R \mathbf{1}_R) \oplus_R \mathbf{1}_R) \odot_M v = (\ominus_R \mathbf{1}_R) \odot_M v \oplus_M$ $\mathbf{1}_R \odot_M v$ by $(simp \ add: \ smult-l-distr)$ from $1 \ 2$ show ?thesis by $(metis \ M.minus-equality \ R.add.inv-closed$

 $a0 \ assms \ one-closed \ smult-closed)$ qed

The version with equality reversed.

lemmas (in module) smult-minus-1-back = smult-minus-1[THEN sym]

-1 is not 0

lemma (in field) neg-1-not-0 [simp]: $\ominus_R \mathbf{1}_R \neq \mathbf{0}_R$ by (metis minus-minus minus-zero one-closed zero-not-one)

Note smult-assoc1 is the wrong way around for simplification. This is the reverse of smult-assoc1.

lemma (in module) smult-assoc-simp: [| $a \in carrier R$; $b \in carrier R$; $x \in carrier M$ |] ==> $a \odot_M (b \odot_M x) = (a \otimes b) \odot_M x$ by (auto simp add: smult-assoc1)

lemmas (**in** *abelian-group*) *show-r-zero= add.l-cancel-one* **lemmas** (**in** *abelian-group*) *show-l-zero= add.r-cancel-one* A nontrivial ring has $0 \neq 1$.

 $\begin{array}{l} \textbf{lemma (in ring) nontrivial-ring [simp]:}\\ \textbf{assumes carrier } R \neq \{\mathbf{0}_R\}\\ \textbf{shows } \mathbf{0}_R \neq \mathbf{1}_R\\ \textbf{proof (rule ccontr)}\\ \textbf{assume 1: } \neg(\mathbf{0}_R \neq \mathbf{1}_R)\\ \{ & \\ \textbf{fix } r\\ \textbf{assume 2: } r \in carrier \ R\\ \textbf{from 1 2 have 3: } \mathbf{1}_R \otimes_R r = \mathbf{0}_R \otimes_R r \ \textbf{by auto}\\ \textbf{from 2 3 have } r = \mathbf{0}_R \ \textbf{by auto}\\ \}\\ \textbf{from this assms show False by auto}\\ \textbf{ged} \end{array}$

Use as simp rule. To show a - b = 0, it suffices to show a = b.

lemma (in abelian-group) minus-other-side [simp]: $[a \in carrier \ G; \ b \in carrier \ G] \implies (a \ominus_G b = \mathbf{0}_G) = (a=b)$ **by** (metis a-minus-def add.inv-closed add.m-comm r-neg r-neg2)

1.2 Units group

Define the units group R^{\times} and show it is actually a group.

definition units-group::('a,'b) ring-scheme \Rightarrow 'a monoid **where** units-group $R = (carrier = Units R, mult = (\lambda x y. x \otimes_R y), one = \mathbf{1}_R)$

The units form a group.

```
lemma (in ring) units-form-group: group (units-group R)
apply (intro groupI)
apply (unfold units-group-def, auto)
apply (intro m-assoc)
apply auto
apply (unfold Units-def)
apply auto
done
```

The units of a *cring* form a commutative group.

```
lemma (in cring) units-form-cgroup: comm-group (units-group R)
apply (intro comm-groupI)
apply (unfold units-group-def) apply auto
apply (intro m-assoc) apply auto
apply (unfold Units-def) apply auto
apply (rule m-comm) apply auto
done
```

end

2 Basic lemmas about functions

theory FunctionLemmas

imports Main HOL-Library.FuncSet begin

These are used in simplification. Note that the difference from Pi-mem is that the statement about the function comes first, so Isabelle can more easily figure out what S is.

lemma $PiE\text{-mem2}: f \in S \rightarrow_E T \implies x \in S \implies f x \in T$ **unfolding** PiE-def **by** auto **lemma** $Pi\text{-mem2}: f \in S \rightarrow T \implies x \in S \implies f x \in T$ **unfolding** Pi-def **by** auto

end

3 Sums in monoids

theory MonoidSums

imports Main HOL-Algebra.Module RingModuleFacts FunctionLemmas begin

We build on the finite product simplifications in FiniteProduct.thy and the analogous ones for finite sums (see "lemmas" in Ring.thy).

Use as an intro rule

lemma (in comm-monoid) factors-equal: $[a=b; c=d] \implies a \otimes_G c = b \otimes_G d$ by simp

lemma (in comm-monoid) extend-prod: fixes a A S assumes fin: finite S and subset: $A \subseteq S$ and a: $a \in A \rightarrow carrier G$ shows ($\bigotimes_G x \in S$. (if $x \in A$ then a x else $\mathbf{1}_G$)) = ($\bigotimes_G x \in A$. a x) (is ($\bigotimes_G x \in S$. ?b x) = ($\bigotimes_G x \in A$. a x)) proof – from subset have uni:S = $A \cup (S-A)$ by auto from assms subset show ?thesis apply (subst uni) apply (subst finprod-Un-disjoint, auto) **by** (*auto cong: finprod-cong if-cong elim: finite-subset simp add:Pi-def finite-subset*)

qed

Scalar multiplication distributes over scalar multiplication (on left).

lemma (in module) finsum-smult: [| $c \in carrier R; g \in A \rightarrow carrier M$ |] ==> ($c \odot_M$ finsum M g A) = finsum M (% $x. c \odot_M g x$) A **proof** (induct A rule: infinite-finite-induct) **case** (insert a A) **from** insert.hyps insert.prems **have** 1: finsum M g (insert a A) = g $a \oplus_M$ finsum M g A **by** (intro finsum-insert, auto) **from** insert.hyps insert.prems **have** 2: ($\bigoplus_M x \in insert a A. c \odot_M g$ x) = $c \odot_M g a \oplus_M (\bigoplus_M x \in A. c \odot_M g x)$ **by** (intro finsum-insert, auto) **from** insert.hyps insert.prems **show** ?case **by** (auto simp add: 1 2 smult-r-distr) **qed** auto

Scalar multiplication distributes over scalar multiplication (on right).

lemma (in module) finsum-smult-r: [| $v \in carrier M$; $f \in A \rightarrow carrier R$ |] ==> (finsum $R f A \odot_M v$) = finsum M (% $x. f x \odot_M v$) A **proof** (induct A rule: infinite-finite-induct) **case** (insert a A) **from** insert.hyps insert.prems **have** 1: finsum R f (insert a A) = f $a \oplus_R$ finsum R f A **by** (intro R.finsum-insert, auto) **from** insert.hyps insert.prems **have** 2: ($\bigoplus_M x \in insert a A. f x \odot_M v$) v) = $f a \odot_M v \oplus_M (\bigoplus_M x \in A. f x \odot_M v)$ **by** (intro finsum-insert, auto) **from** insert.hyps insert.prems **show** ?case **by** (auto simp add: 1 2 smult-l-distr) **qed** auto

A sequence of lemmas that shows that the product does not depend on the ambient group. Note I had to dig back into the definitions of foldSet to show this.

lemma foldSet-not-depend: **fixes** $A \in E$ **assumes** $h1: D \subseteq E$ **shows** foldSetD D f $e \subseteq$ foldSetD E f e **proof from** h1 **have** $1: \bigwedge x1 \ x2. \ (x1,x2) \in foldSetD D f e \Longrightarrow (x1, x2) \in foldSetD E f e$

```
proof -
   fix x1 x2
   assume 2: (x1, x2) \in foldSetD \ D \ f \ e
   from h1 \ 2 show ?thesis x1 x2
   apply (intro foldSetD.induct[where ?D=D and ?f=f and ?e=e
and ?x1.0 = x1 and ?x2.0 = x2
      and ?P = \lambda x1 \ x2. \ ((x1, x2) \in foldSetD \ E \ f \ e)])
     apply auto
    apply (intro emptyI, auto)
   by (intro insertI, auto)
 qed
 from 1 show ?thesis by auto
qed
lemma foldD-not-depend:
 fixes D E B f e A
 assumes h1: LCD \ B \ D \ f and h2: LCD \ B \ E \ f and h3: D \subseteq E and
h4: e \in D and h5: A \subseteq B and h6: finite B
 shows fold D D f e A = fold D E f e A
proof –
 from assms have 1: \exists y. (A,y) \in foldSetD \ D \ f \ e
   apply (intro finite-imp-foldSetD, auto)
    apply (metis finite-subset)
   by (unfold LCD-def, auto)
 from 1 obtain y where 2: (A,y) \in foldSetD \ D \ f \ e \ by \ auto
 from assms 2 have 3: foldD D f e A = y by (intro LCD.foldD-equality[of
B, auto)
  from h3 have 4: foldSetD D f e \subseteq foldSetD E f e by (rule fold-
Set-not-depend)
 from 2 4 have 5: (A,y) \in foldSetD \ E \ f \ e \ by \ auto
 from assms 5 have 6: foldD E f e A = y by (intro LCD.foldD-equality[of
B, auto)
 from 3 6 show ?thesis by auto
qed
lemma (in comm-monoid) finprod-all1[simp]:
 assumes all1: \bigwedge a. \ a \in A \Longrightarrow f \ a = \mathbf{1}_G
 shows (\bigotimes_{G} a \in A. f a) = \mathbf{1}_{G}
proof –
 from assms show ?thesis
   by (simp cong: finprod-cong)
qed
context abelian-monoid
begin
lemmas summands-equal = add.factors-equal
lemmas extend-sum = add.extend-prod
```

lemmas finsum-all0 = add.finprod-all1end

end

4 Linear Combinations

theory LinearCombinations imports Main HOL-Algebra.Module HOL-Algebra.Coset RingModuleFacts MonoidSums FunctionLemmas begin

4.1 Lemmas for simplification

The following are helpful in certain simplifications (esp. congruence rules). Warning: arbitrary use leads to looping.

lemma (in ring) coeff-in-ring: $[\![a \in A \rightarrow carrier \ R; \ x \in A]\!] \implies a \ x \in carrier \ R$ **by** (rule Pi-mem) **lemma** (in ring) coeff-in-ring2: $[\![x \in A; a \in A \rightarrow carrier \ R]\!] \implies a \ x \in carrier \ R$ **by** (metis Pi-mem) **lemma** ring-subset-carrier:

 $\llbracket x \in A; A \subseteq carrier R \rrbracket \Longrightarrow x \in carrier R$ by *auto*

lemma disj-if: $[A \cap B = \{\}; x \in B] \implies (if x \in A \text{ then } f x \text{ else } g x) = g x$ by auto

lemmas (in *module*) sum-simp = ring-subset-carrier

4.2 Linear combinations

A linear combination is $\sum_{v \in A} a_v v$. $(a_v)_{v \in S}$ is a function $A \to K$, where $A \subseteq K$.

definition (in module) lincomb:: $['c \Rightarrow 'a, 'c \ set] \Rightarrow 'c$ where lincomb $a \ A = (\bigoplus_M v \in A. (a \ v \odot_M v))$

lemma (in module) summands-valid:

fixes A a assumes $h2: A \subseteq carrier M$ and $h3: a \in (A \rightarrow carrier R)$ shows $\forall v \in A$. $(((a v) \odot_M v) \in carrier M)$ proof – from assms show ?thesis by auto qed

lemma (in module) lincomb-closed [simp, intro]: fixes S aassumes $h2: S \subseteq carrier M$ and $h3: a \in (S \rightarrow carrier R)$ shows lincomb $a S \in carrier M$ proof from h2 h3 show ?thesis by (unfold lincomb-def, auto intro:finsum-closed)

\mathbf{qed}

lemma (in comm-monoid) finprod-cong2: [| A = B;!! $i. i \in B ==> f i = g i; f \in B \rightarrow carrier G|$] ==> finprod G f A = finprod G g B by (intro finprod-cong, auto)

lemmas (in *abelian-monoid*) finsum-cong2 = add.finprod-cong2

lemma (in *module*) *lincomb-cong*:

assumes h2: A=B and $h3: A \subseteq carrier M$ and $h4: \bigwedge v. v \in A \implies a v = b v$ and $h5: b \in B \rightarrow carrier R$ shows lincomb a A = lincomb b Busing assms

by (*simp* cong: *finsum-cong2* add: *lincomb-def* summands-valid ring-subset-carrier)

lemma (in module) lincomb-union: fixes $a \ A \ B$ assumes h1: finite $(A \cup B)$ and h3: $A \cup B \subseteq carrier \ M$ and h4: $A \cap B = \{\}$ and h5: $a \in (A \cup B \rightarrow carrier \ R)$ shows lincomb $a \ (A \cup B) = lincomb \ a \ A \oplus_M lincomb \ a \ B$ using assms by (auto cong: finsum-cong2 simp add: lincomb-def finsum-Un-disjoint summands-valid ring-subset-carrier)

This is useful as a simp rule sometimes, for combining linear combinations.

lemma (in module) lincomb-union2: fixes a b A B assumes h1: finite $(A \cup B)$ and h3: $A \cup B \subseteq carrier M$ and h4: $A \cap B = \{\}$ and h5: $a \in A \rightarrow carrier R$ and h6: $b \in B \rightarrow carrier R$ shows lincomb a $A \oplus_M$ lincomb b $B = lincomb (\lambda v. if (v \in A) then$ $\begin{array}{l} a \ v \ else \ b \ v) \ (A \cup B) \\ (\textbf{is} \ lincomb \ a \ A \oplus_M \ lincomb \ b \ B = \ lincomb \ ?c \ (A \cup B)) \\ \textbf{using} \ assms \\ \textbf{by} \ (auto \ cong: \ finsum-cong2 \\ simp \ add: \ lincomb \ def \ finsum-Un-disjoint \ summands-valid \\ ring-subset-carrier \ disj-if) \end{array}$

lemma (in module) lincomb-del2: fixes $S \ a \ v$ assumes h1: finite S and h2: $S \subseteq carrier \ M$ and h3: $a \in (S \rightarrow carrier \ R)$ and $h4: v \in S$ shows lincomb $a \ S = ((a \ v) \odot_M v) \oplus_M$ lincomb $a \ (S - \{v\})$ proof from h4 have $1: S = \{v\} \cup (S - \{v\})$ by (metis insert-Diff insert-is-Un)

```
from assms show ?thesis
    apply (subst 1)
    apply (subst lincomb-union, auto)
    by (unfold lincomb-def, auto simp add: coeff-in-ring)
    qed
```

```
lemma (in module) lincomb-insert:

fixes S \ a \ v

assumes h1: finite S and h2: S \subseteq carrier \ M and h3: a \in (S \cup \{v\} \rightarrow carrier \ R) and h4: v \notin S and

h5: v \in carrier \ M

shows lincomb a \ (S \cup \{v\}) = ((a \ v) \odot_M v) \oplus_M lincomb \ a \ S

using assms

by (auto cong: finsum-cong2

simp add: lincomb-def finsum-Un-disjoint summands-valid

ring-subset-carrier disj-if)

lemma (in module) lincomb-elim-if [simp]:
```

fixes $b \ c \ S$ assumes $h1: S \subseteq carrier \ M$ and $h2: \land v. \ v \in S \Longrightarrow \neg P \ v$ and $h3: c \in S \rightarrow carrier \ R$ shows lincomb ($\lambda w.$ if $P \ w$ then $b \ w \ else \ c \ w$) $S = lincomb \ c \ S$ using assms by (auto cong: finsum-cong2 simp add: lincomb-def finsum-Un-disjoint summands-valid ring-subset-carrier disj-if) lemma (in module) lincomb-smult: fixes $A \ c$ assumes $h2: A \subseteq carrier \ M$ and $h3: a \in A \rightarrow carrier \ R$ and $h4: c \in carrier \ R$

shows lincomb ($\lambda w. \ c \otimes_R a w$) $A = c \odot_M$ (lincomb a A)

using assms

by (auto cong: finsum-cong2

simp add: lincomb-def finsum-Un-disjoint finsum-smult ring-subset-carrier disj-if smult-assoc1 coeff-in-ring)

4.3 Linear dependence and independence.

A set S in a module/vectorspace is linearly dependent if there is a finite set $A \subseteq S$ and coefficients $(a_v)_{v \in A}$ such that $sum_{v \in A}a_v v = 0$ and for some $v, a_v \neq 0$.

definition (in module) lin-dep where lin-dep $S = (\exists A a v)$ (finite $A \land A \subseteq S \land (a \in (A - A))$)

 $\begin{array}{l} \textit{lin-dep } S = (\exists A \ a \ v. \ (\textit{finite } A \land A \subseteq S \land (a \in (A \rightarrow \textit{carrier } R)) \land (\textit{lincomb } a \ A = \mathbf{0}_M) \land (v \in A) \land (a \ v \neq \mathbf{0}_R))) \end{array}$

abbreviation (in module) lin-indpt::'c set \Rightarrow bool where lin-indpt $S \equiv \neg$ lin-dep S

In the finite case, we can take A = S. This may be more convenient (e.g., when adding two linear combinations.

lemma (in module) finite-lin-dep: fixes Sassumes finS:finite S and ld: lin-dep S and inC: $S \subseteq carrier M$ shows $\exists a \ v. \ (a \in (S \rightarrow carrier \ R)) \land (lincomb \ a \ S = \mathbf{0}_M) \land (v \in S) \land$ $(a \ v \neq \mathbf{0}_R)$ proof from *ld* obtain A a v where A: $(A \subseteq S \land (a \in (A \rightarrow carrier R)) \land$ $(lincomb \ a \ A = \mathbf{0}_M) \land (v \in A) \land (a \ v \neq \mathbf{0}_R))$ by (unfold lin-dep-def, auto) let $?b = \lambda w$. if $w \in A$ then a w else $\mathbf{0}_R$ from finS inC A have if-in: $(\bigoplus_{M} v \in S. (if v \in A then a v else 0)$ $\odot_M v$ = ($\bigoplus_M v \in S$. (if $v \in A$ then a $v \odot_M v$ else $\mathbf{0}_M$)) apply auto apply (intro finsum-conq') **by** (*auto simp add: coeff-in-ring*) from finS inC A have b: lincomb ?b $S = \mathbf{0}_M$ **apply** (*unfold lincomb-def*) apply (subst if-in) by (subst extend-sum, auto) from A b show ?thesis apply (rule-tac x = ?b in exI) apply (rule-tac x=v in exI) by auto qed

Criteria of linear dependency in a easy format to apply: apply (rule lin-dep-crit)

lemma (in module) lin-dep-crit: fixes A S a v

assumes fin: finite A and subset: $A \subseteq S$ and h_1 : ($a \in (A \rightarrow carrier$ R)) and $h2: v \in A$ and h3:a $v \neq \mathbf{0}_R$ and h4: (lincomb a $A = \mathbf{0}_M$) shows lin-dep Sproof – from assms show ?thesis by (unfold lin-dep-def, auto) qed If $\sum_{v \in A} a_v v = 0$ implies $a_v = 0$ for all $v \in S$, then A is linearly independent. **lemma** (in module) finite-lin-indpt2: fixes A assumes A-fin: finite A and AinC: $A \subseteq carrier M$ and $lc0: \bigwedge a. \ a \in (A \rightarrow carrier \ R) \Longrightarrow (lincomb \ a \ A = \mathbf{0}_M) \Longrightarrow (\forall \ v \in A.$ $a v = \mathbf{0}_R$ shows lin-indpt A proof (rule ccontr) assume $\neg lin-indpt A$ from A-fin AinC this obtain a v where av: $(a \in (A \rightarrow carrier R)) \land (lincomb \ a \ A = \mathbf{0}_M) \land (v \in A) \land (a \ v \neq \mathbf{0}_R)$ **by** (*metis finite-lin-dep*) from $av \ lc\theta$ show False by autoqed Any set containing 0 is linearly dependent. **lemma** (in module) zero-lin-dep: assumes θ : $\mathbf{0}_M \in S$ and nonzero: carrier $R \neq \{\mathbf{0}_R\}$ shows lin-dep S proof from nonzero have zero-not-one: $\mathbf{0}_R \neq \mathbf{1}_R$ by (rule nontrivial-ring) from 0 zero-not-one show ?thesis **apply** (unfold lin-dep-def) apply (rule-tac $x = \{\mathbf{0}_M\}$ in exI) apply (rule-tac $x = (\lambda v. \mathbf{1}_R)$ in exI) $\textbf{apply} (\textit{rule-tac } x = \mathbf{0}_M \textbf{ in } exI)$ by (unfold lincomb-def, auto) qed **lemma** (in module) zero-nin-lin-indpt: assumes h2: $S \subseteq carrier M$ and li: $\neg(lin dep S)$ and nonzero: carrier $R \neq \{\mathbf{0}_R\}$ shows $\mathbf{0}_M \notin S$ **proof** (rule ccontr) assume $a1: \neg(\mathbf{0}_M \notin S)$ from al have al: $\mathbf{0}_M \in S$ by auto from a2 nonzero have ld: lin-dep S by (rule zero-lin-dep) from li ld show False by auto

qed

The span of S is the set of linear combinations with $A \subseteq S$.

definition (in module) span::'c set \Rightarrow 'c set where span $S = \{ lincomb \ a \ A \mid a \ A. finite \ A \land A \subseteq S \land a \in (A \rightarrow carrier R) \}$

The *span* interpreted as a module or vectorspace.

abbreviation (in module) span-vs::'c set \Rightarrow ('a, 'c, 'd) module-scheme where span-vs $S \equiv M$ (carrier := span S) In the finite case, we can take A = S without loss of generality. lemma (in module) finite-span: assumes fin: finite S and inC: $S \subseteq carrier M$ shows span $S = \{ lincomb \ a \ S \mid a. \ a \in (S \rightarrow carrier \ R) \}$ **proof** (*rule equalityI*) ł fix A a**assume** subset: $A \subseteq S$ and $a: a \in A \rightarrow carrier R$ let $?b = (\lambda v. if v \in A then a v else \mathbf{0})$ from fin in C subset a have if-in: $(\bigoplus_M v \in S. ?b v \odot_M v) =$ $(\bigoplus_{M} v \in S. \ (if \ v \in A \ then \ a \ v \odot_{M} \ v \ else \ \mathbf{0}_{M}))$ **apply** (*intro finsum-cong'*) **by** (*auto simp add: coeff-in-ring*) **from** fin in C subset a have $\exists b$. lincomb a $A = lincomb \ b \ S \land b \in$ $S \rightarrow carrier R$ **apply** (*rule-tac* x = ?b **in** exI) apply (unfold lincomb-def, auto) apply (subst if-in) by (subst extend-sum, auto) } from this show span $S \subseteq \{lincomb \ a \ S \ | a. \ a \in S \rightarrow carrier \ R\}$ by (unfold span-def, auto) \mathbf{next} **from** fin **show** {lincomb $a \ S \mid a. \ a \in S \rightarrow carrier R$ } $\subseteq span \ S$ by (unfold span-def, auto) qed

If $v \in \text{span S}$, then we can find a linear combination. This is in an easy to apply format (e.g. obtain a A where...)

```
lemma (in module) in-span:

fixes S v

assumes h2: S \subseteq carrier V and h3: v \in span S

shows \exists a \ A. (A \subseteq S \land (a \in A \rightarrow carrier \ R) \land (lincomb \ a \ A=v))

proof –

from h2 \ h3 show ?thesis

apply (unfold span-def)

by auto

ged
```

In the finite case, we can take A = S. lemma (in module) finite-in-span: fixes S vassumes fin: finite S and $h2: S \subseteq carrier M$ and $h3: v \in span S$ shows $\exists a. (a \in S \rightarrow carrier R) \land (lincomb \ a \ S=v)$ proof – from fin h2 have fin-span: span $S = \{lincomb \ a \ S \ | a. \ a \in S \rightarrow carrier R\}$ by (rule finite-span) from h3 fin-span show ?thesis by auto ged

If a subset is linearly independent, then any linear combination that is 0 must have a nonzero coefficient outside that set.

```
lemma (in module) lincomb-must-include:
 fixes A S T b v
  assumes in C: T \subseteq carrier \ M and li: lin-indpt S and Ssub: S \subseteq T
and Ssub: A \subseteq T
   and fin: finite A
   and b: b \in A \rightarrow carrier R and lc: lincomb \ b \ A = \mathbf{0}_M and v \text{-in: } v \in A
   and nz-coeff: b \ v \neq \mathbf{0}_R
 shows \exists w \in A - S. b w \neq \mathbf{0}_R
proof (rule ccontr)
 assume 0: \neg(\exists w \in A - S. b w \neq \mathbf{0}_R)
 from 0 have 1: \bigwedge w. \ w \in A - S \Longrightarrow b \ w = \mathbf{0}_R by auto
 have Auni: A = (S \cap A) \cup (A - S) by auto
 from fin b Ssub inC 1 have 2: lincomb b A = lincomb b (S \cap A)
   apply (subst Auni)
   apply (subst lincomb-union, auto)
   apply (unfold lincomb-def)
   apply (subst (2) finsum-all0, auto)
   by (subst show-r-zero, auto intro!: finsum-closed)
 from 1 2 assms have ld: lin-dep S
```

apply (intro lin-dep-crit[where $A=S\cap A$ and a=b and v=v]) by auto from ld li show False by auto ged

A generating set is a set such that the span of S is all of M.

abbreviation (in module) gen-set::'c set \Rightarrow bool where gen-set $S \equiv (span \ S = carrier \ M)$

4.4 Submodules

lemma module-criteria: **fixes** R and M **assumes** cring: cring Rand zero: $\mathbf{0}_M \in carrier M$

and $add: \forall v w. v \in carrier M \land w \in carrier M \longrightarrow v \oplus_M w \in carrier$ Mand neg: $\forall v \in carrier M$. $(\exists neg v \in carrier M. v \oplus_M neg v = \mathbf{0}_M)$ and smult: $\forall c \ v. \ c \in carrier \ R \land v \in carrier \ M \longrightarrow \ c \odot_M \ v \in$ carrier Mand comm: $\forall v w. v \in carrier M \land w \in carrier M \longrightarrow v \oplus_M w = w \oplus_M$ vand assoc: $\forall v \ w \ x$. $v \in carrier \ M \ \land \ w \in carrier \ M \ \land \ x \in carrier$ $M \longrightarrow (v \oplus_M w) \oplus_M x = v \oplus_M (w \oplus_M x)$ and add-id: $\forall v \in carrier \ M. \ (v \oplus_M \mathbf{0}_M = v)$ and compat: $\forall a \ b \ v. \ a \in carrier \ R \land b \in carrier \ R \land v \in carrier$ $M \longrightarrow (a \otimes_R b) \odot_M v = a \odot_M (b \odot_M v)$ and smult-id: $\forall v \in carrier \ M. \ (\mathbf{1}_R \odot_M v = v)$ and dist-f: $\forall a \ b \ v. \ a \in carrier \ R \land b \in carrier \ R \land v \in carrier$ $M \longrightarrow (a \oplus_R b) \odot_M v = (a \odot_M v) \oplus_M (b \odot_M v)$ and dist-add: $\forall a \ v \ w. \ a \in carrier \ R \land v \in carrier \ M \land w \in carrier$ $M \longrightarrow a \odot_M (v \oplus_M w) = (a \odot_M v) \oplus_M (a \odot_M w)$ shows module R Mproof from assms have 2: abelian-group M by (*intro abelian-groupI*, *auto*) from assms have 3: module-axioms R M**by** (unfold module-axioms-def, auto) from 2 3 cring show ?thesis **by** (unfold module-def module-def, auto) qed

A submodule is $N \subseteq M$ that is closed under addition and scalar multiplication, and contains 0 (so is not empty).

locale submodule = **fixes** R **and** N **and** M (**structure**) **assumes** module: module R M **and** subset: $N \subseteq carrier M$ **and** m-closed [intro, simp]: $[v \in N; w \in N] \implies v \oplus w \in N$ **and** zero-closed [simp]: $\mathbf{0} \in N$ **and** smult-closed [intro, simp]: $[c \in carrier R; v \in N] \implies c \odot v \in N$

abbreviation (in module) $md::'c \ set \Rightarrow ('a, \ 'c, \ 'd)$ module-scheme where $md \ N \equiv M(carrier :=N)$

```
lemma (in module) carrier-vs-is-self [simp]:
carrier (md N) = N
by auto
```

lemma (in module) submodule-is-module: fixes N::'c set assumes 0: submodule R N M shows module R (md N) The inverse of v under addition is -v

```
\begin{array}{l} \textbf{apply} \ (rule-tac \ x=\ominus_M v \ \textbf{in} \ bexI) \\ \textbf{apply} \ (metis \ M.l-neg \ contra-subsetD) \\ \textbf{by} \ (metis \ R.add.inv-closed \ one-closed \ smult-minus-1 \ subset-iff) \\ \textbf{next} \\ \textbf{from} \ assms \ \textbf{show} \ 3: \ module-axioms \ R \ (md \ N) \\ \textbf{apply} \ (unfold \ module-axioms-def \ submodule-def, \ auto) \\ \textbf{apply} \ (metis \ (no-types, \ opaque-lifting) \ smult-l-distr \ contra-subsetD) \\ \textbf{apply} \ (metis \ (no-types, \ opaque-lifting) \ smult-r-distr \ contra-subsetD) \\ \textbf{by} \ (metis \ (no-types, \ opaque-lifting) \ smult-r-distr \ contra-subsetD) \\ \textbf{by} \ (metis \ (no-types, \ opaque-lifting) \ smult-assoc1 \ contra-subsetD) \\ \end{array}
```

qed

 $N_1 + N_2 = \{x + y | x \in N_1, y \in N_2\}$

definition (in module) submodule-sum:: ['c set, 'c set] \Rightarrow 'c set where submodule-sum N1 N2 = (λ (x,y). $x \oplus_M y$) '{(x,y). $x \in N1$ $\land y \in N2$ }

A module homomorphism $M \to N$ preserves addition and scalar multiplication.

 $\begin{array}{l} \textbf{definition } module-hom:: \left[('a, 'c0) \ ring-scheme, \\ ('a, 'b1, 'c1) \ module-scheme, ('a, 'b2, 'c2) \ module-scheme\right] \Rightarrow ('b1 \Rightarrow 'b2) \\ set \\ \textbf{where } module-hom \ R \ M \ N = \{f. \\ ((f \in \ carrier \ M \rightarrow \ carrier \ N) \\ \land \ (\forall \ m1 \ m2. \ m1 \in carrier \ M \land \ m2 \in carrier \ M \longrightarrow f \ (m1 \ \oplus_M \ m2) \\ = (f \ m1) \ \oplus_N \ (f \ m2)) \\ \land \ (\forall \ r \ m. \ r \in carrier \ R \land \ m \in carrier \ M \longrightarrow f \ (r \ \odot_M \ m) = r \ \odot_N \ (f \ m))) \} \end{array}$

lemma module-hom-closed: $f \in$ module-hom $R \ M \ N \Longrightarrow f \in$ carrier $M \to$ carrier N

by (unfold module-hom-def, auto)

lemma module-hom-add: $[\![f \in module-hom \ R \ M \ N; m1 \in carrier \ M; m2 \in carrier \ M \]\!] \implies f \ (m1 \oplus_M m2) = (f \ m1) \oplus_N (f \ m2)$ **by** (unfold module-hom-def, auto) **lemma** module-hom-smult: $\llbracket f \in module-hom \ R \ M \ N; \ r \in carrier \ R;$ $m \in carrier \ M \ \rrbracket \implies f \ (r \odot_M m) = r \odot_N (f m)$ **by** (unfold module-hom-def, auto)

```
locale mod-hom =
M?: module R M + N?: module R N
for R and M and N +
fixes f
assumes f-hom: f \in module-hom R M N
notes f-add [simp] = module-hom-add [OF f-hom]
and f-smult [simp] = module-hom-smult [OF f-hom]
```

Some basic simplification rules for module homomorphisms.

```
context mod-hom
begin
lemma f-im [simp, intro]:
assumes v \in carrier M
shows f v \in carrier N
proof -
 have 0: mod-hom \ R \ M \ N \ f..
 from 0 assms show ?thesis
    apply (unfold mod-hom-def module-hom-def mod-hom-axioms-def
Pi-def)
   by auto
qed
definition im:: 'e set
 where im = f'(carrier M)
definition ker:: 'c set
 where ker = \{v. v \in carrier M \& f v = \mathbf{0}_N\}
lemma f0-is-0[simp]: f \mathbf{0}_M = \mathbf{0}_N
proof –
 have 1: f \mathbf{0}_M = f (\mathbf{0}_R \odot_M \mathbf{0}_M) by simp
 have 2: f (\mathbf{0}_R \odot_M \mathbf{0}_M) = \mathbf{0}_N
    using M.M.zero-closed N.lmult-0 R.zero-closed f-im f-smult by
presburger
 from 1 2 show ?thesis by auto
qed
lemma f-neg [simp]: v \in carrier M \Longrightarrow f (\ominus_M v) = \ominus_N f v
 by (simp flip: M.smult-minus-1 N.smult-minus-1)
```

lemma f-minus [simp]: $[v \in carrier M; w \in carrier M] \Longrightarrow f(v \ominus_M w) = f v \ominus_N f w$ **by** (simp add: a-minus-def) lemma ker-is-submodule: submodule R ker M proof have $0: mod-hom \ R \ M \ N \ f$.. from 0 have 1: module R M by (unfold mod-hom-def, auto) show ?thesis by (rule submodule.intro, auto simp add: ker-def, rule 1) qed lemma im-is-submodule: submodule R im Nproof have 1: $im \subseteq carrier N$ by (auto simp add: im-def image-def mod-hom-def module-hom-def f-im) have 2: $\bigwedge w1 \ w2.[w1 \in im; w2 \in im] \Longrightarrow w1 \oplus_N w2 \in im$ proof **fix** w1 w2 assume $w1: w1 \in im$ and $w2: w2 \in im$ from w1 obtain v1 where 3: v1 \in carrier $M \land f v1 = w1$ by (unfold im-def, auto) from w2 obtain v2 where $4: v2 \in carrier M \land f v2 = w2$ by (unfold im-def, auto) from 3 4 have 5: $f(v1 \oplus_M v2) = w1 \oplus_N w2$ by simp from 3.4 have $6: v1 \oplus_M v2 \in carrier M$ by simp from 5 6 have 7: $\exists x \in carrier M. w1 \oplus_N w2 = f x$ by metis from 7 show ?thesis w1 w2 by (unfold im-def image-def, auto) qed have $3: \mathbf{0}_N \in im$ proof – have $8: f \mathbf{0}_M = \mathbf{0}_N \land \mathbf{0}_M \in carrier \ M$ by auto from 8 have 9: $\exists x \in carrier M$. $\mathbf{0}_N = f x$ by metis from 9 show ?thesis by (unfold im-def image-def, auto) aed have $4: \bigwedge c w$. $[c \in carrier R; w \in im] \implies c \odot_N w \in im$ proof fix c w**assume** $c: c \in carrier R$ and $w: w \in im$ from w obtain v where 10: $v \in carrier M \land f v = w$ by (unfold im-def, auto) from c 10 have 11: $f(c \odot_M v) = c \odot_N w \land (c \odot_M v \in carrier M)$ by auto from 11 have 12: $\exists v_1 \in carrier M$. $c \odot_N w = f v_1$ by metis from 12 show ?thesis c w by (unfold im-def image-def, auto) qed from 1 2 3 4 show ?thesis by (unfold-locales, auto) qed **lemma** (in *mod-hom*) *f-ker*: $v \in ker \implies f v = \mathbf{0}_N$ by (unfold ker-def, auto) end

We will show that for any set S, the space of functions $S \to K$ forms a vector space.

definition (in ring) func-space:: 'z set \Rightarrow ('a,('z \Rightarrow 'a)) module where func-space $S = (|carrier = S \rightarrow_E carrier R)$, $mult = (\lambda f g. restrict (\lambda v. \mathbf{0}_R) S),$ one = restrict $(\lambda v. \mathbf{0}_R) S$, zero = restrict ($\lambda v. \mathbf{0}_R$) S, $add = (\lambda f g. restrict (\lambda v. f v \oplus_R g v) S),$ $smult = (\lambda \ c \ f. \ restrict \ (\lambda v. \ c \otimes_R f \ v) \ S)$ **lemma** (in cring) func-space-is-module: fixes Sshows module R (func-space S) proof – have θ : cring R.. from 0 show ?thesis **apply** (auto intro!: module-criteria simp add: func-space-def) **apply** (*auto simp add: module-def*) **apply** (rename-tac f) apply (rule-tac x=restrict $(\lambda v'_{\cdot} \ominus_R (f v'))$ S in bexI) **apply** (*auto simp add:restrict-def cong: if-cong split: if-split-asm*, auto) **apply** (*auto simp add: a-ac PiE-mem2 r-neg*) apply (unfold PiE-def extensional-def Pi-def) **by** (*auto simp add: m-assoc l-distr r-distr*)

qed

Note: one can define M^n from this.

A linear combination is a module homomorphism from the space of coefficients to the module, $(a_v) \mapsto \sum_{v \in S} a_v v$.

lemma (in module) lincomb-is-mod-hom: fixes S**assumes** h: finite S and h2: $S \subseteq carrier M$ **shows** mod-hom R (func-space S) M (λa . lincomb a S) proof have 0: module R M.. { **fix** *m1 m2* assume $m1: m1 \in S \rightarrow_E carrier R$ and $m2: m2 \in S \rightarrow_E carrier$ Rfrom h h2 m1 m2 have $a1: (\bigoplus_M v \in S. (\lambda v \in S. m1 v \oplus_R m2 v) v$ $\odot_M v) =$ $(\bigoplus_{M} v \in S. \ m1 \ v \odot_{M} v \oplus_{M} m2 \ v \odot_{M} v)$ by (intro finsum-cong', auto simp add: smult-l-distr PiE-mem2) from h h 2 m 1 m 2 have a 2: $(\bigoplus_M v \in S. m 1 v \odot_M v \oplus_M m 2 v \odot_M$ v) = $(\bigoplus_{M} v \in S. m1 \ v \odot_{M} v) \oplus_{M} (\bigoplus_{M} v \in S. m2 \ v \odot_{M} v)$

 $\mathbf{by}~(\mathit{intro~finsum-addf},~\mathit{auto})$

from al al have $(\bigoplus_{M} v \in S. (\lambda v \in S. m1 \ v \oplus m2 \ v) \ v \odot_M v) =$ $(\bigoplus_M v \in S. m1 \ v \odot_M v) \oplus_M (\bigoplus_M v \in S. m2 \ v \odot_M v)$ by auto } hence $1: \bigwedge m1 \ m2$. $m1 \in S \rightarrow_E carrier R \Longrightarrow$ $m2 \in S \rightarrow_E carrier R \Longrightarrow (\bigoplus_M v \in S. (\lambda v \in S. m1 v \oplus m2 v) v$ $\odot_M v) =$ $(\bigoplus_M v \in S. m1 \ v \odot_M v) \oplus_M (\bigoplus_M v \in S. m2 \ v \odot_M v)$ by auto { fix r massume $r: r \in carrier R$ and $m: m \in S \rightarrow_E carrier R$ from h h 2 r m have $b1: r \odot_M (\bigoplus_M v \in S. m v \odot_M v) = (\bigoplus_M v \in S.$ $r \odot_M (m \ v \odot_M v))$ **by** (*intro finsum-smult, auto*) from h h 2 r m have b 2: $(\bigoplus_{M} v \in S. (\lambda v \in S. r \otimes m v) v \odot_{M} v) =$ $r \odot_M (\bigoplus_M v \in S. m v \odot_M v)$ apply (subst b1) apply (intro finsum-cong', auto) by (subst smult-assoc1, auto) } hence 2: $\bigwedge r m. r \in carrier R \Longrightarrow$ $m \in S \rightarrow_E carrier R \Longrightarrow (\bigoplus_M v \in S. (\lambda v \in S. r \otimes m v) v \odot_M$ $v) = r \odot_M (\bigoplus_M v \in S. m \ v \odot_M v)$ by auto from h h 2 0 1 2 show ?thesis apply (unfold mod-hom-def, auto) **apply** (*rule func-space-is-module*) **apply** (unfold mod-hom-axioms-def module-hom-def, auto) apply (rule lincomb-closed, unfold func-space-def, auto) apply (unfold lincomb-def) by *auto* qed **lemma** (in *module*) *lincomb-sum*:

```
assumes A-fin: finite A and AinC: A \subseteq carrier M and a-fun: a \in A \rightarrow carrier

R and

b-fun: b \in A \rightarrow carrier R

shows lincomb (\lambda v. a \ v \oplus_R b \ v) A = lincomb \ a \ A \oplus_M lincomb \ b \ A

proof –

from A-fin AinC interpret mh: mod-hom R func-space A \ M (\lambda a.

lincomb a \ A) by (rule

lincomb-is-mod-hom)

let ?a=restrict a \ A

let ?b=restrict b \ A

from a-fun b-fun A-fin AinC

have 1: LinearCombinations.module.lincomb M (?a\oplus(LinearCombinations.ring.func-space R A)

?b) A

= LinearCombinations.module.lincomb M (\lambda x. a \ x \oplus_R b \ x) A
```

by (auto simp add: func-space-def Pi-iff restrict-apply' cong: lincomb-cong) from a-fun b-fun A-fin AinC have 2: LinearCombinations.module.lincomb M ?a $A \oplus_M$ LinearCombinations.module.lincomb M ?b A = LinearCombinations.module.lincomb M a $A \oplus_M$ LinearCombinations.module.lincomb M b A **by** (*simp-all add: sum-simp cong: lincomb-cong*) from a-fun b-fun have ainC: ? $a \in carrier$ (LinearCombinations.ring.func-space R Aand binC: ?b \in carrier (LinearCombinations.ring.func-space R A) by (unfold func-space-def, auto) from ainC binC have LinearCombinations.module.lincomb M (? $a \oplus_{(LinearCombinations.ring.func-space)$ (b) A= LinearCombinations.module.lincomb M ?a $A \oplus_M$ LinearCombinations.module.lincomb M ?b Aby (simp cong: lincomb-cong) with 1 2 show ?thesis by auto qed

The negative of a function is just pointwise negation.

qed

Ditto for subtraction. Note the above is really a special case, when a is the 0 function.

```
lemma (in module) lincomb-diff:

assumes A-fin: finite A and AinC: A \subseteq carrier M and a-fun: a \in A \rightarrow carrier R

R and

b-fun: b \in A \rightarrow carrier R

shows lincomb (\lambda v. a \ v \ominus_R b \ v) A = lincomb \ a \ A \ominus_M lincomb \ b \ A

proof -

from A-fin AinC interpret mh: mod-hom R func-space A M (\lambda a.

lincomb a \ A) by (rule

lincomb-is-mod-hom)

let ?a=restrict a \ A

let ?b=restrict b \ A
```

from *a-fun b-fun* **have** *ainC*: $?a \in carrier$ (*LinearCombinations.ring.func-space* R A) and binC: ?b \in carrier (LinearCombinations.ring.func-space R A) by (unfold func-space-def, auto) **from** *a-fun b-fun ainC binC A-fin AinC* have 1: LinearCombinations.module.lincomb M (?a $\ominus_{(func-space A)}$?b) A $= Linear Combinations.module.lincomb \ M \ (\lambda x. \ a \ x \ominus_R \ b \ x) \ A$ **apply** (subst mh.M.M.minus-eq) apply (intro lincomb-cong, auto) apply (subst func-space-neg, auto) **apply** (simp add: restrict-def func-space-def) by (subst R.minus-eq, auto) from a-fun b-fun A-fin AinC have 2: LinearCombinations.module.lincomb M ?a $A \ominus_M$ LinearCombinations.module.lincomb M ?b A = LinearCombinations.module.lincomb M a $A \ominus_M$ LinearCombinations.module.lincomb M b A **by** (*simp cong: lincomb-cong*) $\mathbf{from}\ ain C\ bin C\ \mathbf{have}\ Linear Combinations.module.lincomb\ M\ (?a\ominus_{(Linear Combinations.ring.func-space)})$?b) A = LinearCombinations.module.lincomb M ?a $A \ominus_M$ LinearCombinations.module.lincomb M ?b A **by** (*simp cong: lincomb-cong*) with 1 2 show ?thesis by auto qed

The union of nested submodules is a submodule. We will use this to show that span of any set is a submodule.

lemma (in module) nested-union-vs: fixes I N N'assumes subm: $\bigwedge i. i \in I \Longrightarrow$ submodule R (N i) M and max-exists: $\bigwedge i j$. $i \in I \Longrightarrow j \in I \Longrightarrow (\exists k. k \in I \land N i \subseteq N k \land N j$ $\subseteq N k$ and uni: $N' = (\bigcup i \in I. N i)$ and ne: $I \neq \{\}$ shows submodule R N' Mproof have 1: module R M.. from subm have all-in: $\bigwedge i$. $i \in I \implies N$ $i \subseteq carrier M$ **by** (*unfold submodule-def*, *auto*) from uni all-in have $2: \bigwedge x. x \in N' \Longrightarrow x \in carrier M$ by *auto* from uni have $3: \bigwedge v \ w. \ v \in N' \Longrightarrow w \in N' \Longrightarrow v \oplus_M w \in N'$ proof fix v wassume $v: v \in N'$ and $w: w \in N'$ from uni v w obtain i j where i: $i \in I \land v \in N$ i and j: $j \in I \land w \in$ N j by auto

from max-exists i j obtain k where k: $k \in I \land N$ i $\subseteq N$ k $\land N$ j $\subseteq N k$ by presburger from $v \ w \ i \ j \ k$ have $v2: v \in N \ k$ and $w2: w \in N \ k$ by auto from $v2 \ w2 \ k \ subm[of \ k]$ have $vw: \ v \oplus_M \ w \in N \ k$ apply (unfold submodule-def) by *auto* from k vw uni show ?thesis v w by auto qed have $4: \mathbf{0}_M \in N'$ proof from *ne* obtain *i* where *i*: $i \in I$ by *auto* $\mathbf{from} \ i \ subm \ \mathbf{have} \ zi: \ \mathbf{0}_M {\in} N \ i \ \mathbf{by} \ (unfold \ submodule{-}def, \ auto)$ from *i zi uni* show ?thesis by auto qed from uni subm have 5: $\bigwedge c \ v. \ c \in carrier \ R \Longrightarrow v \in N' \Longrightarrow c \odot_M$ $v \in N'$ by (unfold submodule-def, auto) from 1 2 3 4 5 show ?thesis by (unfold submodule-def, auto) qed **lemma** (in *module*) span-is-monotone: fixes S Tassumes subs: $S \subseteq T$ shows span $S \subseteq span T$ proof from subs show ?thesis by (unfold span-def, auto) qed **lemma** (in module) span-is-submodule: fixes Sassumes $h2: S \subseteq carrier M$ shows submodule R (span S) M**proof** (cases $S = \{\}$) case True moreover have module R M.. ultimately show ?thesis apply (unfold submodule-def span-def lincomb-def, auto) done next case False $\mathbf{show}~? thesis$ **proof** (rule nested-union-vs[where $?I = \{F, F \subseteq S \land finite F\}$ and $?N = \lambda F. span F \text{ and } ?N' = span S])$ **show** $\bigwedge F. F \in \{F. F \subseteq S \land finite F\} \Longrightarrow submodule R (span F)$ Mproof fix Fassume $F: F \in \{F, F \subseteq S \land finite F\}$ from F have h1: finite F by auto

from F h2 have inC: $F \subseteq carrier M$ by auto from h1 inC interpret mh: mod-hom R (func-space F) M (λa . $lincomb \ a \ F$) **by** (*rule lincomb-is-mod-hom*) from $h1 \ inC$ have 1: mh.im = span F**apply** (*unfold mh.im-def*) **apply** (unfold func-space-def, simp) apply (subst finite-span, auto) apply (unfold image-def, auto) **apply** (rule-tac x=restrict a F in bexI) **by** (*auto intro*!: *lincomb-cong*) from 1 show submodule R (span F) M by (metis mh.im-is-submodule) qed \mathbf{next} show $\bigwedge i j. i \in \{F. F \subseteq S \land finite F\} \Longrightarrow$ $j \in \{F. F \subseteq S \land finite F\} \Longrightarrow$ $\exists k. k \in \{F. F \subseteq S \land finite F\} \land span i \subseteq span k \land span j$ \subseteq span k proof fix i jassume $i: i \in \{F, F \subseteq S \land finite F\}$ and $j: j \in \{F, F \subseteq S \land$ finite Ffrom i j show ?thesis i japply (rule-tac $x=i\cup j$ in exI) apply (auto del: subsetI) **by** (*intro span-is-monotone*, *auto del: subsetI*)+ qed next **show** span $S = (\bigcup i \in \{F. F \subseteq S \land finite F\}$. span i) **by** (*unfold span-def*, *auto*) \mathbf{next} have ne: $S \neq \{\}$ by fact from *ne* show {F. $F \subseteq S \land finite F$ } \neq {} by *auto* qed qed

A finite sum does not depend on the ambient module. This can be done for monoid, but "submonoid" isn't currently defined. (It can be copied, however, for groups...) This lemma requires a somewhat annoying lemma foldD-not-depend. Then we show that linear combinations, linear independence, span do not depend on the ambient module.

lemma (in module) finsum-not-depend: fixes a A N assumes h1: finite A and h2: $A \subseteq N$ and h3: submodule R N M and h4: $f:A \rightarrow N$ shows $(\bigoplus_{md N} v \in A. f v) = (\bigoplus_{M} v \in A. f v)$ proof -

```
from h1 h2 h3 h4 show ?thesis
    apply (unfold finsum-def finprod-def)
    apply simp
    apply (intro foldD-not-depend[where ?B=A])
        apply (unfold submodule-def LCD-def, auto)
    apply (meson M.add.m-lcomm PiE subsetCE)+
    done
ed
```

```
\mathbf{qed}
```

```
lemma (in module) lincomb-not-depend:

fixes a A N

assumes h1: finite A and h2: A \subseteq N and h3: submodule R N M

and h4: a:A \rightarrow carrier R

shows lincomb a A = module.lincomb (md N) a A

proof –

from h3 interpret N: module R (md N) by (rule submodule-is-module)

have 3: N=carrier (md N) by auto

have 4: (smult M) = (smult (md N)) by auto

from h1 h2 h3 h4 have (\bigoplus (md N)v \in A. a v \odot_M v) = (\bigoplus _M v \in A. a

v \odot_M v)

apply (intro finsum-not-depend)

using N.summands-valid by auto

from this show ?thesis by (unfold lincomb-def N.lincomb-def, simp)

qed
```

```
lemma (in module) span-li-not-depend:
 fixes S N
 assumes h2: S \subseteq N and h3: submodule R N M
 shows module.span R \pmod{N} S = module.span R M S
   and module.lin-dep R \pmod{N} S = module.lin-dep R M S
proof -
 from h3 interpret w: module R (md N) by (rule submodule-is-module)
 from h2 have 1:submodule R (module.span R (md N) S) (md N)
   by (intro w.span-is-submodule, simp)
 have 3: \land a A. (finite A \land A \subseteq S \land a \in A \rightarrow carrier R \Longrightarrow
   module.lincomb M \ a \ A = module.lincomb \ (md \ N) \ a \ A)
 proof -
   fix a A
   assume 31: finite A \land A \subseteq S \land a \in A \rightarrow carrier R
   from assms 31 show ?thesis a A
     by (intro lincomb-not-depend, auto)
 qed
 from 3 show 4: module.span R \pmod{N} S = module.span R M S
   apply (unfold span-def w.span-def)
   apply auto
   by (metis)
 have zeros: \mathbf{0}_{md N} = \mathbf{0}_{M} by auto
 from assms 3 show 5: module.lin-dep R \pmod{N} S = module.lin-dep
R M S
```

```
apply (unfold lin-dep-def w.lin-dep-def)
  apply (subst zeros)
   by metis
qed
lemma (in module) span-is-subset:
 fixes S N
 assumes h2: S \subseteq N and h3: submodule R N M
 shows span S \subseteq N
proof –
from h3 interpret w: module R (md N) by (rule submodule-is-module)
 from h2 have 1:submodule R (module.span R (md N) S) (md N)
   by (intro w.span-is-submodule, simp)
 from assms have 4: module.span R \pmod{N} S = module.span R M
S
   by (rule span-li-not-depend)
 from 1 4 have 5: submodule R (module.span R M S) (md N) by
auto
 from 5 show ?thesis by (unfold submodule-def, simp)
qed
```

```
lemma (in module) span-is-subset2:
 fixes S
 assumes h2: S \subseteq carrier M
 shows span S \subseteq carrier M
proof -
 have 0: module R M..
 from 0 have h3: submodule R (carrier M) M by (unfold submod-
ule-def, auto)
 from h2 h3 show ?thesis by (rule span-is-subset)
qed
lemma (in module) in-own-span:
 fixes S
 assumes inC:S \subseteq carrier M
 shows S \subseteq span \ S
proof –
 from inC show ?thesis
   apply (unfold span-def, auto)
   apply (rename-tac v)
   apply (rule-tac x=(\lambda \ w. \ if \ (w=v) \ then \ \mathbf{1}_R \ else \ \mathbf{0}_R) in exI)
   apply (rule-tac x = \{v\} in exI)
   apply (unfold lincomb-def)
   by auto
qed
```

```
lemma (in module) supset-ld-is-ld:
fixes A B
```

```
assumes ld: lin-dep A and sub: A \subseteq B
 shows lin-dep B
proof –
 from ld obtain A' a v where 1: (finite A' \land A' \subseteq A \land (a \in (A' \rightarrow carrier
R)) \land (lincomb \ a \ A' = \mathbf{0}_M) \land (v \in A') \land (a \ v \neq \mathbf{0}_R))
   by (unfold lin-dep-def, auto)
 from 1 sub show ?thesis
   apply (unfold lin-dep-def)
   apply (rule-tac x=A' in exI)
   apply (rule-tac x=a in exI)
   apply (rule-tac x=v in exI)
   by auto
qed
lemma (in module) subset-li-is-li:
 fixes A B
 assumes li: lin-indpt A and sub: B \subseteq A
 shows lin-indpt B
proof (rule ccontr)
 assume ld: \neg lin-indpt B
 from ld sub have ldA: lin-dep A by (metis supset-ld-is-ld)
 from li ldA show False by auto
qed
lemma (in mod-hom) hom-sum:
 fixes A B g
 assumes h2: A \subseteq carrier M and h3: g: A \rightarrow carrier M
 shows f (\bigoplus_M a \in A. g a) = (\bigoplus_N a \in A. f (g a))
proof -
 from h2 h3 show ?thesis
 proof (induct A rule: infinite-finite-induct)
   case (insert a A)
   then have (\bigoplus_N a \in insert \ a \ A. \ f \ (g \ a)) = f \ (g \ a) \oplus_N (\bigoplus_N a \in A. \ f
(g a))
     by (intro finsum-insert, auto)
   with insert.prems insert.hyps show ?case
     by simp
 qed auto
qed
```

end

5 The direct sum of modules.

theory SumSpaces imports Main HOL-Algebra.Module HOL-Algebra.Coset RingModuleFacts MonoidSums FunctionLemmas LinearCombinations begin

We define the direct sum $M_1 \oplus M_2$ of 2 vector spaces as the set $M_1 \times M_2$ under componentwise addition and scalar multiplication.

 $\begin{array}{l} \textbf{definition} \ direct-sum:: ('a, 'b, 'd) \ module-scheme \Rightarrow ('a, 'c, 'e) \ module-scheme \Rightarrow ('a, ('b \times 'c)) \ module \\ \textbf{where} \ direct-sum \ M1 \ M2 = (|carrier = carrier \ M1 \times carrier \ M2, \\ mult = (\lambda \ v \ w. \ (\mathbf{0}_{M1}, \ \mathbf{0}_{M2})), \\ one = \ (\mathbf{0}_{M1}, \ \mathbf{0}_{M2}), \\ zero = \ (\mathbf{0}_{M1}, \ \mathbf{0}_{M2}), \end{array}$

 $\begin{aligned} zero &= (\mathbf{0}_{M1}, \, \mathbf{0}_{M2}), \\ add &= (\lambda \ v \ w. \ (fst \ v \oplus_{M1} \ fst \ w, \ snd \ v \oplus_{M2} \ snd \ w)), \\ smult &= (\lambda \ c \ v. \ (c \ \odot_{M1} \ fst \ v, \ c \ \odot_{M2} \ snd \ v))) \end{aligned}$

lemma direct-sum-is-module:

fixes R M1 M2 assumes h1: module R M1 and h2: module R M2 shows module R (direct-sum M1 M2) proof from h1 have 1: cring R by (unfold module-def, auto) from h1 interpret v1: module R M1 by auto from h2 interpret v2: module R M2 by auto from h1 h2 have 2: abelian-group (direct-sum M1 M2) **apply** (*intro abelian-groupI*, *auto*) **apply** (unfold direct-sum-def, auto) **by** (*auto simp add: v1.a-ac v2.a-ac*) from $h1 \ h2 \ assms$ have 3: module-axioms R (direct-sum M1 M2) **apply** (unfold module-axioms-def, auto) **apply** (*unfold direct-sum-def*, *auto*) by (auto simp add: v1.smult-l-distr v2.smult-l-distr v1.smult-r-distr v2.smult-r-distr v1.smult-assoc1 v2.smult-assoc1) from 1 2 3 show ?thesis by (unfold module-def, auto) qed **definition** *inj1*:: ('a, 'b) module \Rightarrow ('a, 'c) module \Rightarrow ('b \Rightarrow ('b \times 'c)) where *inj1* M1 M2 = $(\lambda v. (v, \mathbf{0}_{M2}))$ definition *inj2*:: ('a, 'b) module \Rightarrow ('a, 'c) module \Rightarrow ('c \Rightarrow ('b×'c)) where inj2 M1 M2 = $(\lambda v. (\mathbf{0}_{M1}, v))$ lemma inj1-hom: fixes R M1 M2 assumes h1: module R M1 and h2: module R M2 shows mod-hom R M1 (direct-sum M1 M2) (inj1 M1 M2)

proof from h1 interpret v1:module R M1 by auto
 from h2 interpret v2:module R M2 by auto
 from h1 h2 show ?thesis
 apply (unfold mod-hom-def module-hom-def mod-hom-axioms-def
 inj1-def, auto)
 apply (rule direct-sum-is-module, auto)
 by (unfold direct-sum-def, auto)
 ged

lemma inj2-hom: fixes R M1 M2 assumes h1: module R M1 and h2: module R M2 shows mod-hom R M2 (direct-sum M1 M2) (inj2 M1 M2) proof from h1 interpret v1:module R M1 by auto from h2 interpret v2:module R M2 by auto from h1 h2 show ?thesis apply (unfold mod-hom-def module-hom-def mod-hom-axioms-def inj2-def, auto) apply (rule direct-sum-is-module, auto) by (unfold direct-sum-def, auto)

qed

For submodules $M_1, M_2 \subseteq M$, the map $M_1 \oplus M_2 \to M$ given by $(m_1, m_2) \mapsto m_1 + m_2$ is linear.

```
lemma (in module) sum-map-hom:
 fixes M1 M2
 assumes h1: submodule R M1 M and h2: submodule R M2 M
 shows mod-hom R (direct-sum (md M1) (md M2)) M (\lambda v. (fst v)
\oplus_M (snd v))
proof -
 have 0: module R M..
 from h1 have 1: module R (md M1) by (rule submodule-is-module)
 from h2 have 2: module R \pmod{M2} by (rule submodule-is-module)
from h1 interpret w1: module R (md M1) by (rule submodule-is-module)
from h2 interpret w2: module R (md M2) by (rule submodule-is-module)
 from 0 h1 h2 1 2 show ?thesis
  apply (unfold mod-hom-def mod-hom-axioms-def module-hom-def,
auto)
     apply (rule direct-sum-is-module, auto)
    apply (unfold direct-sum-def, auto)
    apply (unfold submodule-def, auto)
   by (auto simp add: a-ac smult-r-distr ring-subset-carrier)
```

qed

lemma (in module) sum-is-submodule: fixes N1 N2

```
assumes h1: submodule R N1 M and h2: submodule R N2 M
 shows submodule R (submodule-sum N1 N2) M
proof -
 from h1 h2 interpret l: mod-hom R (direct-sum (md N1) (md N2))
M \ (\lambda \ v. \ (\textit{fst} \ v) \ \oplus_M \ (\textit{snd} \ v))
   by (rule sum-map-hom)
 have 1: l.im = submodule-sum N1 N2
   apply (unfold l.im-def submodule-sum-def)
   apply (unfold direct-sum-def, auto)
   by (unfold image-def, auto)
 have 2: submodule R (l.im) M by (rule l.im-is-submodule)
 from 1 2 show ?thesis by auto
qed
lemma (in module) in-sum:
 fixes N1 N2
 assumes h1: submodule R N1 M and h2: submodule R N2 M
 shows N1 \subseteq submodule-sum N1 N2
proof –
 from h1 h2 show ?thesis
   apply auto
   apply (unfold submodule-sum-def image-def, auto)
   apply (rename-tac v)
   apply (rule-tac x=v in bexI)
   apply (rule-tac x=\mathbf{0}_M in bexI)
    by (unfold submodule-def, auto)
qed
lemma (in module) msum-comm:
 fixes N1 N2
```

```
assumes h1: submodule R N1 M and h2: submodule R N2 M
shows (submodule-sum N1 N2) = (submodule-sum N2 N1)
proof -
```

```
from h1 h2 show ?thesis
apply (unfold submodule-sum-def image-def, auto)
apply (unfold submodule-def)
apply (rename-tac v w)
by (metis (full-types) M.add.m-comm subsetD)+
```

qed

If $M_1, M_2 \subseteq M$ are submodules, then $M_1 + M_2$ is the minimal subspace such that both $M_1 \subseteq M$ and $M_2 \subseteq M$.

lemma (in module) sum-is-minimal: fixes $N \ N1 \ N2$ assumes h1: submodule $R \ N1 \ M$ and h2: submodule $R \ N2 \ M$ and h3: submodule $R \ N \ M$ shows (submodule-sum $N1 \ N2$) $\subseteq N \longleftrightarrow N1 \subseteq N \land N2 \subseteq N$ proof have 1: (submodule-sum N1 N2) $\subseteq N \implies N1 \subseteq N \land N2 \subseteq N$ proof **assume** 10: (submodule-sum N1 N2) \subseteq N from h1 h2 have 11: $N1 \subseteq submodule - sum N1 N2$ by (rule in-sum) from h2 h1 have $12: N2 \subseteq submodule - sum N2 N1$ by (rule in-sum) from 12 h1 h2 have 13: $N2 \subseteq submodule-sum N1 N2$ by (metis msum-comm) from 10 11 13 show ?thesis by auto qed have 2: $N1 \subseteq N \land N2 \subseteq N \Longrightarrow (submodule-sum N1 N2) \subseteq N$ proof assume 19: $N1 \subseteq N \land N2 \subseteq N$ { fix vassume 20: $v \in submodule$ -sum N1 N2 from 20 obtain w1 w2 where $21: w1 \in N1$ and $22: w2 \in N2$ and 23: $v = w1 \oplus_M w2$ **by** (*unfold submodule-sum-def image-def, auto*) from 19 21 22 23 h3 have $v \in N$ **apply** (unfold submodule-def, auto) **by** (*metis* (*poly-guards-query*) *contra-subsetD*) } thus ?thesis by (metis subset-iff) aed from 1 2 show ?thesis by metis qed $\operatorname{span} A \cup B = \operatorname{span} A + \operatorname{span} B$ lemma (in module) span-union-is-sum: fixes A B**assumes** $h2: A \subseteq carrier M$ and $h3: B \subseteq carrier M$ **shows** span $(A \cup B) = submodule-sum (span A) (span B)$ prooflet ?AplusB=submodule-sum (span A) (span B)from h2 have s0: submodule R (span A) M by (rule span-is-submodule) from h3 have s1: submodule R (span B) M by (rule span-is-submodule) from s0 have s0-1: $(span A) \subseteq carrier M$ by (unfold submodule-def,auto) from s1 have s1-1: $(span B) \subseteq carrier M$ by (unfold submodule-def,auto) from h2 h3 have 1: $A \cup B \subseteq carrier M$ by auto

from 1 **have** 2: submodule R (span $(A \cup B)$) M **by** (rule span-is-submodule) **from** s0 s1 **have** 3: submodule R ?AplusB M **by** (rule sum-is-submodule) **have** c1: span $(A \cup B) \subseteq$?AplusB

proof –

```
from h2 have a1: A \subseteq span A by (rule in-own-span)
   from s0 s1 have a2: span A \subseteq ?AplusB by (rule in-sum)
   from a1 a2 have a3: A \subseteq ?AplusB by auto
   from h3 have b1: B \subseteq span B by (rule in-own-span)
  from s1 s0 have b2: span B \subseteq ?AplusB by (metis in-sum msum-comm)
   from b1 b2 have b3: B \subseteq ?AplusB by auto
   from a3 b3 have 5: A \cup B \subseteq ?AplusB by auto
   from 5 3 show ?thesis by (rule span-is-subset)
 qed
 have c2: AplusB \subseteq span (A \cup B)
 proof -
   have 11: A \subseteq A \cup B by auto
   have 12: B \subseteq A \cup B by auto
  from 11 have 21:span A \subseteq span (A \cup B) by (rule span-is-monotone)
  from 12 have 22:span B \subseteq span (A \cup B) by (rule span-is-monotone)
  from s0 s1 2 21 22 show ?thesis by (auto simp add: sum-is-minimal)
 qed
 from c1 c2 show ?thesis by auto
qed
```

end

6 Basic theory of vector spaces, using locales

```
theory VectorSpace
imports Main
HOL-Algebra.Module
HOL-Algebra.Coset
RingModuleFacts
MonoidSums
LinearCombinations
SumSpaces
begin
```

6.1 Basic definitions and facts carried over from modules

A vectorspace is a module where the ring is a field. Note that we switch notation from (R, M) to (K, V).

```
locale vectorspace =
  module?: module K V + field?: field K
  for K and V
```

A subspace of a vectorspace is a nonempty subset that is closed

under addition and scalar multiplication. These properties have already been defined in submodule. Caution: W is a set, while V is a module record. To get W as a vectorspace, write vs W.

```
locale subspace =
fixes K and W and V (structure)
assumes vs: vectorspace K V
and submod: submodule K W V
```

```
lemma (in vectorspace) is-module[simp]:
subspace K \ W \ V \Longrightarrow submodule K \ W \ V
by (unfold subspace-def, auto)
```

We introduce some basic facts and definitions copied from module. We introduce some abbreviations, to match convention.

abbreviation (in vectorspace) vs::'c set \Rightarrow ('a, 'c, 'd) module-scheme where vs $W \equiv V(|carrier := W|)$

```
lemma (in vectorspace) carrier-vs-is-self [simp]:
 carrier (vs \ W) = W
 by auto
lemma (in vectorspace) subspace-is-vs:
 fixes W::'c \ set
 assumes 0: subspace K W V
 shows vectorspace K (vs W)
proof –
 from 0 show ?thesis
   apply (unfold vectorspace-def subspace-def, auto)
   by (intro submodule-is-module, auto)
qed
abbreviation (in module) subspace-sum:: ['c set, 'c set] \Rightarrow 'c set
 where subspace-sum W1 W2 \equiv submodule-sum W1 W2
lemma (in vectorspace) vs-zero-lin-dep:
 assumes h2: S \subseteq carrier V and h3: lin-indpt S
 shows \mathbf{0}_V \notin S
proof -
 have vs: vectorspace K V..
 from vs have nonzero: carrier K \neq \{\mathbf{0}_K\}
   by (metis one-zeroI zero-not-one)
```

from $h2 \ h3$ nonzero show ?thesis by (rule zero-nin-lin-indpt) qed

A *linear-map* is a module homomorphism between 2 vectorspaces over the same field.

locale linear-map =

```
V?: vectorspace K V + W?: vectorspace K W
  + mod-hom?: mod-hom K V W T
   for K and V and W and T
context linear-map
begin
lemmas T-hom = f-hom
lemmas T-add = f-add
lemmas T-smult = f-smult
lemmas T-im = f-im
lemmas T-neg = f-neg
lemmas T-minus = f-minus
lemmas T-ker = f-ker
abbreviation imT:: 'e set
 where imT \equiv mod-hom.im
abbreviation kerT:: 'c set
 where kerT \equiv mod-hom.ker
lemmas T0-is-0[simp] = f0-is-0
lemma kerT-is-subspace: subspace K ker V
proof -
 have vs: vectorspace K V..
 from vs show ?thesis
   apply (unfold subspace-def, auto)
   by (rule ker-is-submodule)
qed
lemma imT-is-subspace: subspace K imT W
proof -
 have vs: vectorspace K W..
 from vs show ?thesis
   apply (unfold subspace-def, auto)
   by (rule im-is-submodule)
qed
\mathbf{end}
lemma vs-criteria:
 fixes K and V
 assumes field: field K
     and zero: \mathbf{0}_V \in carrier V
     and add: \forall v w. v \in carrier V \land w \in carrier V \longrightarrow v \oplus_V w \in carrier
V
     and neg: \forall v \in carrier V. (\exists neg-v \in carrier V. v \oplus_V neg-v = \mathbf{0}_V)
    and smult: \forall c v. c \in carrier K \land v \in carrier V \longrightarrow c \odot_V v \in carrier
V
    and comm: \forall v w. v \in carrier V \land w \in carrier V \longrightarrow v \oplus_V w = w \oplus_V
```

and assoc: $\forall v \ w \ x. \ v \in carrier \ V \land w \in carrier \ V \land x \in carrier \ V \longrightarrow (v \oplus_V w) \oplus_V x = v \oplus_V (w \oplus_V x)$ and $add \cdot id: \forall v \in carrier \ V. \ (v \oplus_V \mathbf{0}_V = v)$ and $compat: \forall a \ b \ v. \ a \in carrier \ K \land b \in carrier \ K \land v \in carrier \ V \longrightarrow (a \otimes_K b) \odot_V v = a \odot_V (b \odot_V v)$ and $smult \cdot id: \forall v \in carrier \ V. \ (\mathbf{1}_K \odot_V v = v)$ and $dist \cdot f: \forall a \ b \ v. \ a \in carrier \ K \land b \in carrier \ K \land v \in carrier \ V \longrightarrow (a \oplus_K b) \odot_V v = (a \odot_V v) \oplus_V (b \odot_V v)$ and $dist \cdot da \ v \ u. \ a \in carrier \ K \land v \in carrier \ V \land w \in carrier \ V \longrightarrow (a \oplus_K b) \odot_V v = (a \odot_V v) \oplus_V (b \odot_V v)$ and $dist \cdot a \ dv \ u. \ a \in carrier \ K \land v \in carrier \ V \land w \in carrier \ V \longrightarrow a \odot_V (v \oplus_V w) = (a \odot_V v) \oplus_V (a \odot_V w)$ shows vectorspace $K \ V$ proof from field have 1: cring K by (unfold field-def domain-def, auto) from assms 1 have 2: module K V by (intro module-criteria, auto) from field 2 show ?thesis by (unfold vectorspace-def module-def, module-def, module-def.)

qed For any set S, the space of functions $S \to K$ forms a vector space.

lemma (in vectorspace) func-space-is-vs: fixes S shows vectorspace K (func-space S) proof have 0: field K.. have 1: module K (func-space S) by (rule func-space-is-module) from 0 1 show ?thesis by (unfold vectorspace-def module-def, auto) qed

lemma direct-sum-is-vs: fixes K V1 V2assumes h1: vectorspace K V1 and h2: vectorspace K V2 shows vectorspace K (direct-sum V1 V2) proof from h1 h2 have mod: module K (direct-sum V1 V2) by (unfold vectorspace-def, intro direct-sum-is-module, auto) from mod h1 show ?thesis by (unfold vectorspace-def, auto) qed lemma inj1-linear: fixes K V1 V2assumes h1: vectorspace K V1 and h2: vectorspace K V2 shows linear-map K V1 (direct-sum V1 V2) (inj1 V1 V2) proof – from h1 h2 have mod: mod-hom K V1 (direct-sum V1 V2) (inj1 V1 V2) by (unfold vectorspace-def, intro inj1-hom, auto) from mod h1 h2 show ?thesis

v

auto)

by (unfold linear-map-def vectorspace-def, auto, intro direct-sum-is-module, auto) qed

lemma inj2-linear: fixes K V1 V2 assumes h1: vectorspace K V1 and h2: vectorspace K V2 shows linear-map K V2 (direct-sum V1 V2) (inj2 V1 V2) proof from h1 h2 have mod: mod-hom K V2 (direct-sum V1 V2) (inj2 V1 V2) by (unfold vectorspace-def, intro inj2-hom, auto) from mod h1 h2 show ?thesis by (unfold linear-map-def vectorspace-def, auto, intro direct-sum-is-module, auto) qed For subspaces $V_1, V_2 \subseteq V$, the map $V_1 \oplus V_2 \to V$ given by $(v_1, v_2) \mapsto v_1 + v_2$ is linear. **lemma** (in vectorspace) sum-map-linear: fixes V1 V2 assumes h1: subspace K V1 V and h2: subspace K V2 V shows linear-map K (direct-sum (vs V1) (vs V2)) V (λ v. (fst v) $\oplus_V (snd v))$ proof from h1 h2 have mod: mod-hom K (direct-sum (vs V1) (vs V2)) V $(\lambda \ v. \ (fst \ v) \oplus_V (snd \ v))$ by (*intro sum-map-hom*, *unfold subspace-def*, *auto*) from mod h1 h2 show ?thesis apply (unfold linear-map-def, auto) apply (intro direct-sum-is-vs subspace-is-vs, auto).. qed **lemma** (in vectorspace) sum-is-subspace: fixes W1 W2 assumes h1: subspace K W1 V and h2: subspace K W2 V shows subspace K (subspace-sum W1 W2) Vproof from $h1 \ h2$ have mod: submodule K (submodule-sum W1 W2) V by (*intro sum-is-submodule*, *unfold subspace-def*, *auto*) from mod h1 h2 show ?thesis

qed If $W_1, W_2 \subseteq V$ are subspaces, $W_1 \subseteq W_1 + W_2$ **lemma** (in vectorspace) in-sum-vs: fixes W1 W2 assumes h1: subspace K W1 V and h2: subspace K W2 V shows $W1 \subseteq subspace-sum W1 W2$ proof -

by (unfold subspace-def, auto)

from h1 h2 show ?thesis by (intro in-sum, unfold subspace-def, auto) qed lemma (in vectorspace) vsum-comm: fixes W1 W2 assumes h1: subspace K W1 V and h2: subspace K W2 V shows (subspace-sum W1 W2) = (subspace-sum W2 W1) proof from h1 h2 show ?thesis by (intro msum-comm, unfold subspace-def, auto) qed

If $W_1, W_2 \subseteq V$ are subspaces, then $W_1 + W_2$ is the minimal subspace such that both $W_1 \subseteq W$ and $W_2 \subseteq W$.

lemma (in vectorspace) vsum-is-minimal: fixes W W1 W2assumes h1: subspace K W1 V and h2: subspace K W2 V and h3: subspace K W Vshows (subspace-sum W1 W2) $\subseteq W \longleftrightarrow W1 \subseteq W \land W2 \subseteq W$ proof – from h1 h2 h3 show ?thesis by (intro sum-is-minimal, unfold subspace-def, auto) ged

lemma (in vectorspace) span-is-subspace: fixes S assumes $h2: S \subseteq carrier V$ shows subspace K (span S) V proof – have 0: vectorspace K V.. from h2 have 1: submodule K (span S) V by (rule span-is-submodule) from 0 1 show ?thesis by (unfold subspace-def mod-hom-def linear-map-def, auto) ged

6.1.1 Facts specific to vector spaces

If av = w and $a \neq 0$, $v = a^{-1}w$. lemma (in vectorspace) mult-inverse: assumes $h1: a \in carrier K$ and $h2: v \in carrier V$ and $h3: a \odot_V v = w$ and $h4: a \neq \mathbf{0}_K$ shows $v = (inv_K \ a \) \odot_V w$ proof – from $h1 \ h2 \ h3$ have $1: w \in carrier V$ by auto from $h3 \ 1$ have $2: (inv_K \ a \) \odot_V (a \odot_V v) = (inv_K \ a \) \odot_V w$ by auto from $h1 \ h4$ have $3: inv_K \ a \in carrier K$ by auto interpret g: group (units-group K) by (rule units-form-group)

have f: field K.. from f h1 h4 have $4: a \in Units K$ **by** (*unfold field-def field-axioms-def*, *simp*) from 4 h1 h4 have 5: $((inv_K a) \otimes_K a) = \mathbf{1}_K$ by (*intro Units-l-inv*, *auto*) from 5 have $6: (inv_K a) \odot_V (a \odot_V v) = v$ proof – from h1 h2 h4 have 7: $(inv_K \ a \) \odot_V (a \ \odot_V v) = (inv_K \ a \ \otimes_K a)$ $\odot_V v$ by (auto simp add: smult-assoc1) from 5 h2 have 8: $(inv_K \ a \otimes_K a) \odot_V v = v$ by auto from 7 8 show ?thesis by auto qed from 2 6 show ?thesis by auto qed If $w \in S$ and $\sum_{w \in S} a_w w = 0$, we have $v = \sum_{w \notin S} a_v^{-1} a_w w$ **lemma** (in vectorspace) lincomb-isolate: fixes A v**assumes** h1: finite A and h2: $A \subseteq carrier V$ and h3: $a \in A \rightarrow carrier$ K and h4: $v \in A$ and h5: $a \ v \neq \mathbf{0}_K$ and h6: lincomb $a \ A=\mathbf{0}_V$ shows $v = lincomb \ (\lambda w. \ominus_K (inv_K \ (a \ v)) \otimes_K a \ w) \ (A - \{v\})$ and $v \in$ span $(A - \{v\})$ proof from h1 h2 h3 h4 have 1: lincomb a $A = ((a \ v) \odot_V v) \oplus_V lincomb$ $a (A - \{v\})$ by (rule lincomb-del2) from 1 have $2: \mathbf{0}_V = ((a \ v) \odot_V v) \oplus_V lincomb \ a \ (A - \{v\})$ by (simp add: h6) from h1 h2 h3 have 5: lincomb a $(A - \{v\}) \in carrier V$ by auto from 2 h1 h2 h3 h4 have 3: \ominus_V lincomb a $(A - \{v\}) = ((a \ v) \odot_V)$ v)**by** (*auto intro*!: *M.minus-equality*) have $\theta: v = (\ominus_K (inv_K (a v))) \odot_V lincomb \ a \ (A - \{v\})$ proof – from h2 h3 h4 h5 3 have 7: $v = inv_K (a \ v) \odot_V (\ominus_V lincomb \ a$ $(A - \{v\}))$ **by** (*intro mult-inverse*, *auto*) from assms have 8: inv_K (a v) $\in carrier K$ by auto from assms 5 8 have 9: $inv_K(a \ v) \odot_V (\ominus_V lincomb \ a \ (A-\{v\}))$ $= (\ominus_K (inv_K (a v))) \odot_V lincomb \ a \ (A - \{v\})$ by (simp add: smult-assoc-simp smult-minus-1-back r-minus) from 7 9 show ?thesis by auto qed from h1 have 10: finite $(A - \{v\})$ by auto from assms have $11 : (\ominus_K (inv_K (a \ v))) \in carrier \ K$ by auto from assms have 12: lincomb $(\lambda w. \ominus_K (inv_K (a \ v)) \otimes_K a \ w)$ $(A - \{v\}) =$ $(\ominus_K (inv_K (a v))) \odot_V lincomb \ a \ (A - \{v\})$

by (intro lincomb-smult, auto) from 6 12 show $v=lincomb (\lambda w. \ominus_K (inv_K (a v)) \otimes_K a w) (A-\{v\})$ by auto with assms show $v \in span (A-\{v\})$ unfolding span-def by (force simp add: 11 ring-subset-carrier) qed The map $(S \to K) \mapsto V$ given by $(a_v)_{v \in S} \mapsto \sum_{v \in S} a_v v$ is linear. lemma (in vectorspace) lincomb-is-linear: fixes S

assumes h: finite S and h2: $S \subseteq carrier V$ shows linear-map K (func-space S) V (λa . lincomb a S)

proof – **have** 0: vectorspace K V..

from h h2 have 1: mod-hom K (func-space S) V (λa . lincomb a S)

by (rule lincomb-is-mod-hom)

from 0 1 show ?thesis by (unfold vectorspace-def mod-hom-def linear-map-def, auto) qed

6.2 Basic facts about span and linear independence

If S is linearly independent, then $v \in \operatorname{span} S$ iff $S \cup \{v\}$ is linearly dependent.

theorem (in vectorspace) lin-dep-iff-in-span: fixes A v S**assumes** $h1: S \subseteq carrier V$ and h2: lin-indpt S and $h3: v \in carrier$ V and h4: $v \notin S$ shows $v \in span \ S \longleftrightarrow lin-dep \ (S \cup \{v\})$ proof – let $?T = S \cup \{v\}$ have $0: v \in ?T$ by *auto* from h1 h3 have h1-1: $?T \subseteq carrier V$ by auto have a1:lin-dep $?T \implies v \in span S$ proof assume all: lin-dep ?Tfrom all obtain a w A where a: (finite $A \land A \subseteq ?T \land (a \in$ $(A \rightarrow carrier K)) \land (lincomb \ a \ A = \mathbf{0}_V) \land (w \in A) \land (a \ w \neq \mathbf{0}_K))$ **by** (*metis lin-dep-def*) from assms a have $nz2: \exists v \in A - S$. a $v \neq \mathbf{0}_K$ by (intro lincomb-must-include [where ?v=w and $?T=S\cup\{v\}$], auto) from a nz2 have singleton: $\{v\} = A - S$ by auto from singleton nz2 have nz3: a $v \neq \mathbf{0}_K$ by auto let $b = (\lambda w. \ominus_K (inv_K (a v)) \otimes_K (a w))$

from singleton have Ains: $(A \cap S) = A - \{v\}$ by auto

```
from assms a singleton nz3 have a31: v = lincomb ?b (A \cap S)
     apply (subst Ains)
     by (intro lincomb-isolate(1), auto)
   from a a31 nz3 singleton show ?thesis
     apply (unfold span-def, auto)
     apply (rule-tac x = ?b in exI)
     apply (rule-tac x = A \cap S in exI)
     by (auto intro!: m-closed)
 qed
 have a2: v \in (span \ S) \implies lin-dep \ ?T
 proof -
   assume inspan: v \in (span S)
   from inspan obtain a A where a: A \subseteq S \land finite A \land (v = lincomb
a A \land a \in A \rightarrow carrier K by (simp add: span-def, auto)
   let b = \lambda w. if (w=v) then (\ominus_K \mathbf{1}_K) else a w
   have lc\theta: lincomb ?b (A \cup \{v\}) = \mathbf{0}_V
   proof -
     from assms a have lc-ins: lincomb ?b (A \cup \{v\}) = ((?b \ v) \odot_V v)
\oplus_V lincomb ?b A
       by (intro lincomb-insert, auto)
     from assms a have lc-elim: lincomb ?b A=lincomb a A by (intro
lincomb-elim-if, auto)
     from assms lc-ins lc-elim a show ?thesis by (simp add: M.l-neg
smult-minus-1)
   qed
   from a lc0 show ?thesis
     apply (unfold lin-dep-def)
     apply (rule-tac x=A\cup\{v\} in exI)
     apply (rule-tac x = ?b in exI)
     apply (rule-tac x=v in exI)
     by auto
 qed
 from a1 a2 show ?thesis by auto
qed
If v \in \operatorname{span} A then \operatorname{span} A = \operatorname{span}(A \cup \{v\})
lemma (in vectorspace) already-in-span:
 fixes v A
 assumes in C: A \subseteq carrier V and inspan: v \in span A
 shows span A = span (A \cup \{v\})
proof –
  from inC inspan have dir1: span A \subseteq span (A \cup \{v\}) by (intro
span-is-monotone, auto)
 from inC have inown: A \subseteq span A by (rule in-own-span)
 from inC have subm: submodule K (span A) V by (rule span-is-submodule)
```

from inown inspan subm **have** dir2: span $(A \cup \{v\}) \subseteq$ span A by (intro span-is-subset, auto)

from dir1 dir2 show ?thesis by auto qed

6.3 The Replacement Theorem

If $A, B \subseteq V$ are finite, A is linearly independent, B generates W, and $A \subseteq W$, then there exists $C \subseteq V$ disjoint from A such that span $(A \cup C) = W$ and $|C| \leq |B| - |A|$. In other words, we can complete any linearly independent set to a generating set of W by adding at most |B| - |A| more elements.

```
theorem (in vectorspace) replacement:
 fixes A B
 assumes h1: finite A
     and h2: finite B
     and h3: B \subseteq carrier V
     and h_4: lin-indpt A
     and h5: A \subseteq span B
 shows \exists C. finite C \land C \subseteq carrier V \land C \subseteq span B \land C \cap A = \{\} \land int
(card \ C) \leq (int \ (card \ B)) - (int \ (card \ A)) \land (span \ (A \cup C) = span)
B)
 (is \exists C. ?P A B C)
using h1 h2 h3 h4 h5
proof (induct card A arbitrary: A B)
 case \theta
 from 0.prems(1) 0.hyps have a0: A = \{\} by auto
 from 0.prems(3) have a3: B \subseteq span B by (rule in-own-span)
 from a 0 a 3 0. prems show ?case by (rule-tac x=B in exI, auto)
\mathbf{next}
 case (Suc m)
 let ?W = span B
 from Suc.prems(3) have BinC: span B \subseteq carrier V by (rule span-is-subset2)
 from Suc.prems Suc.hyps BinC have A: finite A lin-indpt A A \subseteq span
B Suc m = card A A \subseteq carrier V
   by auto
 from Suc. prems have B: finite B \mathrel{B} \subseteq carrier V by auto
 from Suc.hyps(2) obtain v where v: v \in A by fastforce
 let ?A'=A-\{v\}
 from A(2) have liA': lin-indpt ?A'
   apply (intro subset-li-is-li[of A ?A'])
    by auto
 from v \ liA' \ Suc.prems \ Suc.hyps(2) have \exists C'. ?P \ ?A' \ B \ C'
   apply (intro Suc.hyps(1))
        by auto
```

from this obtain C' where C': ?P ?A' B C' by auto

 $\mathbf{show}~? case$ **proof** (cases $v \in C'$) case True have $vinC': v \in C'$ by fact from vinC' v have set eq: $A - \{v\} \cup C' = A \cup (C' - \{v\})$ by autofrom C' seteq have spaneq: span $(A \cup (C' - \{v\})) = span (B)$ **by** algebra from Suc.prems Suc.hyps C' vinC' v spaneq show ?thesis apply (rule-tac $x=C'-\{v\}$ in exI) apply (subgoal-tac card C' > 0) by auto next case False have $f: v \notin C'$ by fact from $A \ v \ C'$ have $\exists a. a \in (?A' \cup C') \rightarrow carrier \ K \land lincomb \ a \ (?A')$ $\cup C' = v$ by (*intro finite-in-span*, *auto*) from this obtain a where a: $a \in (?A' \cup C') \rightarrow carrier K \land v = lin$ comb a ($?A' \cup C'$) by metis let $b = (\lambda \ w. \ if \ (w=v) \ then \ \ominus_K \mathbf{1}_K \ else \ a \ w)$ from a have b: $b \in A \cup C' \rightarrow carrier K$ by auto from v have rewrite-ins: $A \cup C' = (?A' \cup C') \cup \{v\}$ by auto from f have $v \notin A' \cup C'$ by auto from this A C' v a f have lcb: lincomb ?b $(A \cup C') = \mathbf{0}_V$ apply (subst rewrite-ins) **apply** (*subst lincomb-insert*) **apply** (*simp-all add: ring-subset-carrier coeff-in-ring*) apply (auto split: if-split-asm) apply (subst lincomb-elim-if) by (auto simp add: smult-minus-1 l-neg ring-subset-carrier) from C' f have rewrite-minus: C'=(A \cup C')-A by auto from A C' b lcb v have exw: $\exists w \in C'$. ?b $w \neq \mathbf{0}_K$ apply (subst rewrite-minus) apply (intro lincomb-must-include[where $?T=A\cup C'$ and ?v=v]) by auto from exw obtain w where $w: w \in C'$? $b \neq 0_K$ by auto from A C' w f b lcb have w-in: $w \in span ((A \cup C') - \{w\})$ **apply** (*intro lincomb-isolate*[**where** a = ?b]) by *auto* have spaneq2: span $(A \cup (C' - \{w\})) = span B$ proof have 1: span $(?A' \cup C') = span (A \cup C')$ proof from A C' v have m1: span ($(A' \cup C') = span ((A' \cup C') \cup \{v\})$ **apply** (*intro already-in-span*)

```
by auto
        from f m1 show ?thesis by (metis rewrite-ins)
      qed
    have 2: span (A \cup (C' - \{w\})) = span (A \cup C')
    proof -
     from C' w(1) f have b60: A \cup (C' - \{w\}) = (A \cup C') - \{w\} by
auto
      from w(1) have b61: A \cup C' = (A \cup C' - \{w\}) \cup \{w\} by auto
      from A C' w-in show ?thesis
        apply (subst b61)
        apply (subst b60)
        apply (intro already-in-span)
        by auto
      \mathbf{qed}
   from C' 1 2 show ?thesis by auto
 qed
   from A C' w f v spaneq2 show ?thesis
    apply (rule-tac x=C'-\{w\} in exI)
    apply (subgoal-tac card C' > 0)
     by auto
 qed
qed
```

6.4 Defining dimension and bases.

Finite dimensional is defined as having a finite generating set.

definition (in vectorspace) fin-dim:: bool where fin-dim = $(\exists A. ((finite A) \land (A \subseteq carrier V) \land (gen-set A)))$

The dimension is the size of the smallest generating set. For equivalent characterizations see below.

definition (in vectorspace) dim:: nat where $dim = (LEAST \ n. (\exists A. ((finite A) \land (card A = n) \land (A \subseteq carrier V) \land (gen-set A))))$

A *basis* is a linearly independent generating set.

definition (in vectorspace) basis:: 'c set \Rightarrow bool where basis $A = ((lin-indpt A) \land (gen-set A) \land (A \subseteq carrier V))$

From the replacement theorem, any linearly independent set is smaller than any generating set.

lemma (in vectorspace) li-smaller-than-gen: fixes $A \ B$ assumes h1: finite A and h2: finite B and h3: $A \subseteq carrier \ V$ and h4: $B \subseteq carrier \ V$ and h5: lin-indpt A and h6: gen-set Bshows card $A \leq card \ B$ **proof** – **from** $h3 \ h6$ **have** 1: $A \subseteq span \ B$ **by** *auto* **from** $h1 \ h2 \ h4 \ h5 \ 1$ **obtain** C **where** 2: finite $C \land C \subseteq carrier \ V \land C \subseteq span \ B \land C \cap A = \{\} \land int (card$ $C) \leq int (card \ B) - int (card \ A) \land (span \ (A \cup C) = span \ B)$ **by** (metis replacement) **from** 2 **show** ?thesis **by** arith **qed**

The dimension is the cardinality of any basis. (In particular, all bases are the same size.)

lemma (in vectorspace) dim-basis: fixes A assumes fin: finite A and h2: basis A shows dim = card Aproof – have $0: \bigwedge B m.$ ((finite B) \land (card B = m) \land ($B \subseteq$ carrier V) \land $(gen-set B)) \Longrightarrow card A \le m$ proof – fix B massume 1: ((finite B) \land (card B = m) \land ($B \subseteq$ carrier V) \land (gen-set B))from 1 fin h2 have 2: card $A \leq card B$ apply (unfold basis-def) apply (intro li-smaller-than-gen) by *auto* from $1\ 2$ show ?thesis $B\ m$ by auto qed from fin h2 0 show ?thesis **apply** (unfold dim-def basis-def) **apply** (*intro* Least-equality) apply (rule-tac x=A in exI) by auto

 \mathbf{qed}

A maximal set with respect to P is such that if $B \supseteq A$ and P is also satisfied for B, then B = A.

definition maximal::'a set \Rightarrow ('a set \Rightarrow bool) \Rightarrow bool where maximal $A \ P = ((P \ A) \land (\forall B. B \supseteq A \land P \ B \longrightarrow B = A))$

A minimal set with respect to P is such that if $B \subseteq A$ and P is also satisfied for B, then B = A.

definition minimal::'a set \Rightarrow ('a set \Rightarrow bool) \Rightarrow bool where minimal $A \ P = ((P \ A) \land (\forall B. B \subseteq A \land P \ B \longrightarrow B = A))$

A maximal linearly independent set is a generating set.

lemma (in vectorspace) max-li-is-gen: fixes A

```
assumes h1: maximal A (\lambda S. S \subseteq carrier V \wedge lin-indpt S)
 shows gen-set A
proof (rule ccontr)
 assume 0: \neg(gen\text{-set } A)
  from h1 have 1: A \subseteq carrier \ V \land lin-indpt \ A by (unfold maxi-
mal-def, auto)
 from 1 have 2: span A \subseteq carrier V by (intro span-is-subset2, auto)
 from 0 1 2 have 3: \exists v. v \in carrier V \land v \notin (span A)
   by auto
 from 3 obtain v where 4: v \in carrier V \land v \notin (span A) by auto
 have 5: v \notin A
 proof –
  from h1 1 have 51: A \subseteq span A apply (intro in-own-span) by auto
   from 4 51 show ?thesis by auto
 qed
 from lin-dep-iff-in-span have 6: \bigwedge S v. S \subseteq carrier V \land lin-indpt S
\land v \in carrier \ V \land v \notin S
   \land v \notin span \ S \implies (lin-indpt \ (S \cup \{v\})) by auto
 from 1 4 5 have 7: lin-indpt (A \cup \{v\}) apply (intro 6) by auto
 have 9: \neg(maximal A (\lambda S. S \subseteq carrier V \land lin-indpt S))
 proof –
   from 1 4 5 7 have 8: (\exists B. A \subseteq B \land B \subseteq carrier V \land lin-indpt
B \wedge B \neq A
     apply (rule-tac x=A\cup\{v\} in exI)
     by auto
   from 8 show ?thesis
     apply (unfold maximal-def)
     by simp
 qed
 from h1 \ 9 show False by auto
qed
A minimal generating set is linearly independent.
lemma (in vectorspace) min-gen-is-li:
 fixes A
 assumes h1: minimal A (\lambda S. S \subseteq carrier V \land gen-set S)
 shows lin-indpt A
proof (rule ccontr)
 assume 0: \neg lin-indpt A
 from h1 have 1: A \subseteq carrier \ V \land gen-set \ A  by (unfold minimal-def,
auto)
 from 1 have 2: span A = carrier V by auto
 from 0 \ 1 obtain a \ v \ A' where
    3: finite A' \wedge A' \subseteq A \wedge a \in A' \rightarrow carrier K \wedge LinearCombina-
tions.module.lincomb V a A' = \mathbf{0}_V \land v \in A' \land a v \neq \mathbf{0}_K
   by (unfold lin-dep-def, auto)
 have 4: gen-set (A - \{v\})
 proof –
```

from 1 3 have 5: $v \in span (A' - \{v\})$ apply (intro lincomb-isolate [where a=a and v=v]) by *auto* from 3 5 have 51: $v \in span (A - \{v\})$ apply (intro subsetD[where $?A=span (A'-\{v\})$ and ?B=span $(A - \{v\})$ and ?c = v]by (*intro span-is-monotone*, *auto*) from 1 have 6: $A \subseteq span A$ apply (intro in-own-span) by auto from 1 51 have 7: span $(A - \{v\}) = span ((A - \{v\}) \cup \{v\})$ apply (intro already-in-span) by auto from 3 have 8: $A = ((A - \{v\}) \cup \{v\})$ by auto from 2 7 8 have 9:span $(A - \{v\}) = carrier V$ by auto from 9 show ?thesis by auto qed have 10: \neg (minimal A (λS . S \subseteq carrier V \land gen-set S)) proof from 1 3 4 have 11: $(\exists B. A \supseteq B \land B \subseteq carrier V \land gen-set B$ $\land B \neq A)$ apply (rule-tac $x=A-\{v\}$ in exI) by *auto* from 11 show ?thesis **apply** (*unfold minimal-def*) by *auto* qed from $h1 \ 10$ show False by auto qed

Given that some finite set satisfies P, there is a minimal set that satisfies P.

```
lemma minimal-exists:
 fixes A P
 assumes h1: finite A and h2: P A
 shows \exists B. B \subseteq A \land minimal B P
using h1 h2
proof (induct card A arbitrary: A rule: less-induct)
case (less A)
 show ?case
 proof (cases card A = 0)
 \mathbf{case} \ True
   from True less.hyps less.prems show ?thesis
    apply (rule-tac x = \{\} in exI)
    apply (unfold minimal-def)
    by auto
 \mathbf{next}
 case False
   show ?thesis
   proof (cases minimal A P)
    case True
      then show ?thesis
```

```
apply (rule-tac x=A in exI)
        by auto
     \mathbf{next}
     case False
      have 2: \neg minimal \ A \ P \ by \ fact
      from less.prems 2 have 3: \exists B. P B \land B \subseteq A \land B \neq A
        apply (unfold minimal-def)
        by auto
       from 3 obtain B where 4: P B \land B \subset A \land B \neq A by auto
        from 4 have 5: card B < card A by (metis less.prems(1))
psubset-card-mono)
      from less.hyps less.prems 3 4 5 have 6: \exists C \subseteq B. minimal C P
        apply (intro less.hyps)
          apply auto
        by (metis rev-finite-subset)
      from 6 obtain C where 7: C \subseteq B \land minimal \ C \ P by auto
      from 4 7 show ?thesis
        apply (rule-tac x=C in exI)
        apply (unfold minimal-def)
        by auto
    qed
  qed
qed
```

If V is finite-dimensional, then any linearly independent set is finite.

```
lemma (in vectorspace) fin-dim-li-fin:
 assumes fd: fin-dim and li: lin-indpt A and inC: A \subseteq carrier V
 shows fin: finite A
proof (rule ccontr)
 assume A: \neg finite A
 from fd obtain C where C: finite C \wedge C \subseteq carrier \ V \wedge gen-set \ C
by (unfold fin-dim-def, auto)
 from A obtain B where B: B \subseteq A \land finite B \land card B = card C +
1
   by (metis infinite-arbitrarily-large)
 from B li have liB: lin-indpt B
   by (intro subset-li-is-li[where ?A=A and ?B=B], auto)
from B \ C \ liB \ inC have card B \leq card \ C by (intro li-smaller-than-gen,
auto)
 from this B show False by auto
qed
```

If V is finite-dimensional (has a finite generating set), then a finite basis exists.

```
lemma (in vectorspace) finite-basis-exists:
assumes h1: fin-dim
shows \exists \beta. finite \beta \land basis \beta
proof -
```

from h1 obtain A where 1: finite $A \land A \subseteq carrier \ V \land gen-set A$ by (metis fin-dim-def) hence $2: \exists \beta. \beta \subseteq A \land minimal \beta (\lambda S. S \subseteq carrier \ V \land gen-set S)$

apply (intro minimal-exists) **by** auto

then obtain β where $\beta: \beta \subseteq A \land minimal \beta (\lambda S. S \subseteq carrier V \land gen-set S)$ by *auto*

hence 4: lin-indpt β apply (intro min-gen-is-li) by auto

moreover from 3 have 5: gen-set $\beta \wedge \beta \subseteq carrier V$ apply (unfold minimal-def) by auto

moreover from 1 3 have 6: finite β by (auto simp add: finite-subset) ultimately show ?thesis apply (unfold basis-def) by auto qed

The proof is as follows.

- 1. Because V is finite-dimensional, there is a finite generating set (we took this as our definition of finite-dimensional).
- 2. Hence, there is a minimal $\beta \subseteq A$ such that β generates V.
- 3. β is linearly independent because a minimal generating set is linearly independent.

Finally, β is a basis because it is both generating and linearly independent.

Any linearly independent set has cardinality at most equal to the dimension.

lemma (in vectorspace) li-le-dim: fixes A assumes fd: fin-dim and c: $A \subseteq carrier V$ and l: lin-indpt A shows finite A card $A \leq dim$ proof – from fd c l show fa: finite A by (intro fin-dim-li-fin, auto) from fd obtain β where 1: finite $\beta \wedge basis \beta$ by (metis finite-basis-exists) from assms fa 1 have 2: card $A \leq card \beta$ apply (intro li-smaller-than-gen, auto) by (unfold basis-def, auto) from assms 1 have 3: dim = card β by (intro dim-basis, auto) from 2 3 show card $A \leq dim$ by auto ged

Any generating set has cardinality at least equal to the dimension.

lemma (in vectorspace) gen-ge-dim: fixes A assumes fa: finite A and c: $A \subseteq carrier V$ and l: gen-set A shows card $A \ge dim$ **proof** – **from** assms **have** fd: fin-dim **by** (unfold fin-dim-def, auto) **from** fd **obtain** β **where** 1: finite $\beta \wedge basis \beta$ **by** (metis finite-basis-exists) **from** assms 1 **have** 2: card $A \ge card \beta$ **apply** (intro li-smaller-than-gen, auto) **by** (unfold basis-def, auto) **from** assms 1 **have** 3: dim = card β **by** (intro dim-basis, auto) **from** 2 3 **show** ?thesis **by** auto **qed**

If there is an upper bound on the cardinality of sets satisfying P, then there is a maximal set satisfying P.

lemma *maximal-exists*: fixes P B N**assumes** maxe: $\bigwedge A$. $P A \Longrightarrow$ finite $A \land (card A \leq N)$ and b: P B**shows** $\exists A$. finite $A \land maximal \land P$ proof – let $?S = \{ card A \mid A. P A \}$ let ?n=Max ?Sfrom maxc have 1: finite ?S apply (simp add: finite-nat-set-iff-bounded-le) by auto from 1 have 2: $?n \in ?S$ by (metis (mono-tags, lifting) Collect-empty-eq Max-in b) from assms 2 have 3: $\exists A. P A \land finite A \land card A = ?n$ by *auto* from 3 obtain A where $4: P A \land finite A \land card A = ?n$ by auto from 1 maxc have 5: $\bigwedge A$. $P A \Longrightarrow$ finite $A \land (card A \leq ?n)$ by (metis (mono-tags, lifting) Max.coboundedI mem-Collect-eq) from 45 have 6: maximal AP**apply** (unfold maximal-def) **by** (*metis card-seteq*) from 4 6 show ?thesis by auto qed Any maximal linearly independent set is a basis. **lemma** (in vectorspace) max-li-is-basis:

fixes A assumes h1: maximal A (λS . S \subseteq carrier V \wedge lin-indpt S) shows basis A proof – from h1 have 1: gen-set A by (rule max-li-is-gen) from assms 1 show ?thesis by (unfold basis-def maximal-def, auto) qed

Any minimal linearly independent set is a generating set.

lemma (in vectorspace) min-gen-is-basis: fixes A assumes h1: minimal A (λS . S \subseteq carrier V \land gen-set S)

```
shows basis A
proof -
from h1 have 1: lin-indpt A by (rule min-gen-is-li)
from assms 1 show ?thesis by (unfold basis-def minimal-def, auto)
qed
```

Any linearly independent set with cardinality at least the dimension is a basis.

lemma (in vectorspace) dim-li-is-basis: fixes Aassumes fd: fin-dim and fa: finite A and ca: $A \subseteq carrier V$ and li: lin-indpt Aand d: card A > dimshows basis A proof – from fd have $0: \Lambda S. S \subseteq carrier V \land lin-indpt S \Longrightarrow finite S \land card$ $S \leq dim$ by (auto intro: li-le-dim) **from** 0 assms have h1: finite $A \wedge maximal A$ (λS . $S \subseteq carrier V$ \wedge lin-indpt S) **apply** (unfold maximal-def) apply auto by (metis card-seteq eq-iff) from h1 show ?thesis by (auto intro: max-li-is-basis)

```
qed
```

Any generating set with cardinality at most the dimension is a basis.

lemma (in vectorspace) dim-gen-is-basis: fixes Aassumes fa: finite A and ca: $A \subseteq carrier V$ and li: gen-set A and d: card $A \leq dim$ shows basis A proof – have $0: \Lambda S$. finite $S \land S \subseteq carrier V \land gen-set S \Longrightarrow card S \ge dim$ by (intro gen-ge-dim, auto) **from** 0 assms have h1: minimal A (λS . finite $S \wedge S \subseteq carrier V \wedge$ gen-set S) apply (unfold minimal-def) apply *auto* by (metis card-seteq eq-iff) from h1 have h: AB. $B \subseteq A \land B \subseteq carrier V \land LinearCombina$ $tions.module.gen-set \ K \ V \ B \Longrightarrow B = A$ proof -

fix B

assume $asm: B \subseteq A \land B \subseteq carrier \ V \land LinearCombinations.module.gen-set$ $K \ V \ B$ **from** $<math>asm \ h1$ **have** finite B

apply (unfold minimal-def) apply (intro finite-subset[where ?A=B and ?B=A]) by auto from h1 asm this show ?thesis B apply (unfold minimal-def) by simp qed from h1 h have h2: minimal A (λS . $S \subseteq carrier V \land gen-set S$) apply (unfold minimal-def) by presburger from h2 show ?thesis by (rule min-gen-is-basis) qed

 β is a basis iff for all $v \in V$, there exists a unique $(a_v)_{v \in S}$ such that $\sum_{v \in S} a_v v = v$.

lemma (in vectorspace) basis-criterion: assumes A-fin: finite A and AinC: $A \subseteq carrier V$ shows basis $A \longleftrightarrow (\forall v. v \in carrier V \longrightarrow (\exists ! a. a \in A \rightarrow_E carrier$ $K \wedge lincomb \ a \ A = v))$ proof have 1: $\neg(\forall v. v \in carrier V \longrightarrow (\exists ! a. a \in A \rightarrow_E carrier K \land$ $lincomb \ a \ A = v)) \implies \neg basis \ A$ proof – assume $\neg(\forall v. v \in carrier V \longrightarrow (\exists ! a. a \in A \rightarrow_E carrier K \land$ $lincomb \ a \ A = v))$ then obtain v where v: $v \in carrier \ V \land \neg(\exists ! a. a \in A \to_E carrier$ $K \wedge lincomb \ a \ A = v$) by metis from v have vinC: $v \in carrier V$ by auto from v have $\neg(\exists a. a \in A \rightarrow_E carrier K \land lincomb a A = v) \lor$ $(\exists a b.$ $a \in A \rightarrow_E carrier K \wedge lincomb \ a \ A = v \wedge b \in A \rightarrow_E carrier K \wedge$ lincomb b A = v $\land a \neq b$) by methis then show ?thesis proof assume $a: \neg(\exists a. a \in A \rightarrow_E carrier K \land lincomb a A = v)$ **from** A-fin AinC have $\bigwedge a. \ a \in A \to carrier K \Longrightarrow lincomb \ a \ A$ = lincomb (restrict a A) A unfolding *lincomb-def restrict-def* by (simp cong: finsum-cong add: ring-subset-carrier coeff-in-ring) with a have $\neg(\exists a. a \in A \rightarrow carrier K \land lincomb a A = v)$ by autowith A-fin AinC have $v \notin span A$ using finite-in-span by blast with AinC v show $\neg(basis A)$ by (unfold basis-def, auto) \mathbf{next}

assume $a2: (\exists a b.$ $a \in A \rightarrow_E carrier K \land lincomb \ a \ A = v \land b \in A \rightarrow_E carrier K \land$ $lincomb \ b \ A = v$ $\wedge a \neq b$) then obtain a b where ab: $a \in A \rightarrow_E$ carrier $K \wedge lincomb$ a A $= v \land b \in A \rightarrow_E carrier K \land lincomb \ b \ A = v$ $\land a \neq b$ by metis from ab obtain w where w: $w \in A \land a w \neq b w$ apply (unfold PiE-def, auto) **by** (*metis extensionalityI*) let $?c=\lambda x$. (if $x \in A$ then $((a \ x) \ominus_K (b \ x))$ else undefined) from ab have a-fun: $a \in A \to carrier K$ and *b*-fun: $b \in A \rightarrow carrier K$ by (unfold PiE-def, auto) **from** w a-fun b-fun have abinC: $a \ w \in carrier \ K \ b \ w \in carrier \ K$ by auto from abinC w have $nz: a w \ominus_K b w \neq \mathbf{0}_K$ by *auto* from A-fin AinC a-fun b-fun ab vinC have a-b: LinearCombinations.module.lincomb V (λx . if $x \in A$ then a $x \ominus_K$ b x else undefined) $A = \mathbf{0}_V$ by (simp cong: lincomb-cong add: coeff-in-ring lincomb-diff) from A-fin AinC ab w v nz a-b have lin-dep Aapply (*intro lin-dep-crit*[where ?A=A and ?a=?c and ?v=w]) apply (auto simp add: PiE-def) by *auto* thus \neg basis A by (unfold basis-def, auto) qed qed have 2: $(\forall v. v \in carrier V \longrightarrow (\exists ! a. a \in A \rightarrow_E carrier K \land lincomb$ $a A = v)) \Longrightarrow basis A$ proof **assume** b1: $(\forall v. v \in carrier V \longrightarrow (\exists ! a. a \in A \rightarrow_E carrier K \land$ $lincomb \ a \ A = v))$ (is $(\forall v. v \in carrier V \longrightarrow (\exists ! a. ?Q a v)))$ from b1 have b2: $(\forall v. v \in carrier V \longrightarrow (\exists a. a \in A \rightarrow carrier$ $K \wedge lincomb \ a \ A = v))$ apply (unfold PiE-def) by blast from A-fin AinC b2 have gen-set A **apply** (unfold span-def) by blast from b1 have A-li: lin-indpt A proof let $2z=\lambda x$. (if $(x\in A)$ then $\mathbf{0}_K$ else undefined) from A-fin AinC have zero: ?Q ?z $\mathbf{0}_V$ by (unfold PiE-def extensional-def lincomb-def, auto simp add: *ring-subset-carrier*)

from A-fin AinC show ?thesis **proof** (*rule finite-lin-indpt2*) fix aassume *a*-fun: $a \in A \rightarrow carrier K$ and *lc-a*: *LinearCombinations.module.lincomb* $V \ a \ A = \mathbf{0}_{V}$ **from** *a*-fun **have** *a*-res: restrict a $A \in A \rightarrow_E$ carrier K by auto from a-fun A-fin AinC lc-a have lc-a-res: LinearCombinations.module.lincomb V (restrict a A) $A = \mathbf{0}_V$ **apply** (unfold lincomb-def restrict-def) by (simp cong: finsum-cong2 add: coeff-in-ring ring-subset-carrier) from a-fun a-res lc-a-res zero b1 have restrict a A = 2z by autofrom this show $\forall v \in A$. $a v = \mathbf{0}_K$ apply (unfold restrict-def) by meson \mathbf{qed} qed have A-gen: gen-set A proof – **from** AinC **have** dir1: span $A \subseteq carrier V$ by (rule span-is-subset2) have dir2: carrier $V \subseteq span A$ proof (auto) fix vassume $v: v \in carrier V$ from v b2 obtain a where $a \in A \rightarrow carrier K \wedge lincomb \ a A$ = v by *auto* from this A-fin AinC show $v \in span A$ by (subst finite-span, auto) qed from dir1 dir2 show ?thesis by auto qed from A-li A-gen AinC show basis A by (unfold basis-def, auto) aed from 1 2 show ?thesis by satx qed **lemma** (in *linear-map*) *surj-imp-imT-carrier*: assumes surj: T' (carrier V) = carrier W

6.5 The rank-nullity (dimension) theorem

shows (imT) = carrier Wby $(simp \ add: \ surj \ im-def)$

If V is finite-dimensional and $T: V \to W$ is a linear map, then dim(im(T)) + dim(ker(T)) = dimV. Moreover, we prove that if T is surjective linear-map between V and W, where V is finitedimensional, then also W is finite-dimensional. **theorem** (in *linear-map*) *rank-nullity-main*:

assumes fd: V.fin-dim

shows (vectorspace.dim K(W.vs imT)) + (vectorspace.dim K(V.vs kerT)) = V.dim

T ' (carrier V) = carrier $W \Longrightarrow W$.fin-dim proof -

— First interpret kerT, imT as vectorspaces

have subs-ker: subspace K kerT V by (intro kerT-is-subspace)

from subs-ker have vs-ker: vectorspace K (V.vs kerT) by (rule V.subspace-is-vs)

from vs-ker interpret ker: vectorspace K (V.vs kerT) by auto have kerInC: kerT \subseteq carrier V by (unfold ker-def, auto)

have subs-im: subspace K imT W by (intro imT-is-subspace)

from subs-im have vs-im: vectorspace K (W.vs imT) by (rule W.subspace-is-vs)

from vs-im interpret im: vectorspace K (W.vs imT) by auto have imInC: $imT\subseteq carrier W$ by (unfold im-def, auto)

have zero-same[simp]: $\mathbf{0}_{V.vs \ kerT} = \mathbf{0}_{V}$ apply (unfold ker-def) by auto

— Show ker T has a finite basis. This is not obvious. Show that any linearly independent set has size at most that of V. There exists a maximal linearly independent set, which is the basis.

have every-li-small: $\bigwedge A$. $(A \subseteq kerT) \land ker.lin-indpt A \Longrightarrow$ finite $A \wedge card A \leq V.dim$ proof – fix A**assume** eli-asm: $(A \subseteq kerT) \land ker.lin-indpt A$ **note** V.module.span-li-not-depend(2)[where ?N = kerT and ?S = A] from this subs-ker fd eli-asm kerInC show ?thesis A apply (*intro conjI*) by (auto introl: V.li-le-dim) qed from *every-li-small* have *exA*: $\exists A. finite A \land maximal A (\lambda S. S \subseteq carrier (V.vs kerT) \land ker.lin-indpt$ S) apply (intro maximal-exists [where ?N=V.dim and $?B=\{\}$]) apply *auto* **by** (unfold ker.lin-dep-def, auto) **from** exA **obtain** A **where** A: finite $A \wedge maximal A$ (λS . $S \subseteq carrier$ $(V.vs \ kerT) \land ker.lin-indpt \ S)$ by blast hence finA: finite A and Ainker: $A \subseteq carrier$ (V.vs kerT) and AinC: $A \subseteq carrier V$ by (unfold maximal-def ker-def, auto) — We obtain the basis A of kerT. It is also linearly independent when

considered in V rather than kerT from A have Abasis: ker.basis A by (intro ker.max-li-is-basis, auto) from subs-ker Abasis have spanA: V.module.span A = kerTapply (unfold ker.basis-def) by (subst sym[OF V.module.span-li-not-depend(1)[where ?N=kerT]], auto) from Abasis have Akerli: ker.lin-indpt A apply (unfold ker.basis-def) by auto from subs-ker Ainker Akerli have Ali: V.module.lin-indpt A by (auto simp add: V.module.span-li-not-depend(2)) Use the replacement theorem to find C such that $A \cup C$ is a basis of V. from fd obtain B where B: finite $B \wedge V.basis B$ by (metis V.finite-basis-exists)

from B have Bfin: finite B and Bbasis: V.basis B by auto from B have Bcard: V.dim = card B by (intro V.dim-basis, auto) from Bbasis have 62: V.module.span B = carrier V by (unfold V.basis-def, auto) from A Abasis Ali B vs-ker have $\exists C.$ finite $C \land C \subseteq$ carrier $V \land$ $C \subseteq V.module.span B \land C \cap A = \{\}$ \land int (card C) \leq (int (card B)) - (int (card A)) \land (V.module.span $(A \cup C) = V.module.span B)$ apply (intro V.replacement) apply (unfold vectorspace.basis-def V.basis-def) by (unfold ker-def, auto)

From replacement we got $|C| \leq |B| - |A|$. Equality must actually hold, because no generating set can be smaller than B. Now $A \cup C$ is a maximal generating set, hence a basis; its cardinality equals the dimension.

We claim that T(C) is basis for im(T).

then obtain C where C: finite $C \land C \subseteq carrier V \land C \subseteq V.module.span$ $B \land C \cap A = \{\}$

 \wedge int (card C) \leq (int (card B)) - (int (card A)) \wedge (V.module.span (A \cup C) = V.module.span B) by auto

hence Cfin: finite C and CinC: $C \subseteq carrier V$ and CinspanB: $C \subseteq V.module.span B$ and CAdis: $C \cap A = \{\}$

and Ccard: int (card C) \leq (int (card B)) - (int (card A))

and ACspanB: (V.module.span $(A \cup C) = V.module.span B$) by auto

from C have cardLe: card $A + card C \leq card B$ by auto

from B C have ACgen: V.module.gen-set $(A \cup C)$ apply (unfold V.basis-def) by auto

from finA C ACgen AinC B **have** cardGe: card $(A \cup C) \ge$ card B **by** (intro V.li-smaller-than-gen, unfold V.basis-def, auto)

from finA C have cardUn: card $(A \cup C) \leq$ card A + card C by (metis Int-commute card-Un-disjoint le-refl)

from *cardLe cardUn cardGe Bcard* have *cardEq*:

 $card (A \cup C) = card A + card C$ $card (A \cup C) = card B$ $card (A \cup C) = V.dim$ **by** auto **from** Abasis C cardEq **have** disj: $A \cap C = \{\}$ **by** auto **from** finA AinC C cardEq 62 **have** ACfin: finite $(A \cup C)$ **and** ACbasis: V.basis $(A \cup C)$ **by** (auto intro!: V.dim-gen-is-basis) **have** lm: linear-map K V W T..

Let C' be the image of C under T. We will show C' is a basis for im(T).

let ?C' = T'Cfrom Cfin have C'fin: finite ?C' by auto from AinC C have cim: $?C' \subset imT$ by (unfold im-def, auto)

"There is a subtle detail: we first have to show T is injective on C.

We establish that no nontrivial linear combination of C can have image 0 under T, because that would mean it is a linear combination of A, giving that $A \cup C$ is linearly dependent, contradiction. We use this result in 2 ways: (1) if T is not injective on C, then we obtain $v, w \in C$ such that v - w is in the kernel, contradiction, (2) if T(C) is linearly dependent, taking the inverse image of that linear combination gives a linear combination of C in the kernel, contradiction. Hence T is injective on C and T(C) is linearly independent.

have *lc-in-ker*: $\bigwedge d D v$. $[D \subseteq C; d \in D \rightarrow carrier K; T (V.module.lincomb d D) = \mathbf{0}_W;$

 $v \in D; d v \neq \mathbf{0}_K \implies False$ proof fix d D vassume $D: D \subseteq C$ and $d: d \in D \rightarrow carrier K$ and T0: T (*V.module.lincomb* $d D = \mathbf{0}_W$ and v: $v \in D$ and dvnz: $d v \neq \mathbf{0}_K$ from D Cfin have Dfin: finite D by (auto intro: finite-subset) from D CinC have DinC: $D\subseteq carrier V$ by auto from T0 d Dfin DinC have lc-d: V.module.lincomb d $D \in kerT$ **by** (*unfold ker-def*, *auto*) **from** *lc-d* spanA AinC **have** $\exists a' A'. A' \subseteq A \land a' \in A' \rightarrow carrier K$ \wedge V.module.lincomb a' A' = V.module.lincomb d Dby (intro V.module.in-span, auto) then obtain a' A' where $a': A' \subseteq A \land a' \in A' \rightarrow carrier K \land$ $V.module.lincomb \ d \ D = V.module.lincomb \ a' \ A'$ by *metis* hence A'sub: $A' \subseteq A$ and a'fun: $a' \in A' \rightarrow carrier K$ and a'-lc: V.module.lincomb d D = V.module.lincomb <math>a' A' by auto

from a' finA Dfin **have** A'fin: finite (A') by (auto intro: finite-subset)

```
from AinC A'sub have A'inC: A' \subseteq carrier V by auto
   let ?e = (\lambda v. if v \in A' then a' v else \ominus_K \mathbf{1}_K \otimes_K d v)
   from a'fun d have e-fun: e \in A' \cup D \to carrier K
     apply (unfold Pi-def)
     by auto
   from
     A'fin Dfin
     A'inC DinC
     a' fun d e-fun
     disj D A'sub
   have lccomp1:
     V.module.lincomb\ a'\ A' \oplus_V \ominus_K \mathbf{1}_K \odot_V\ V.module.lincomb\ d\ D =
         V.module.lincomb (\lambda v. if v \in A' then a' v else \ominus_K \mathbf{1}_K \otimes_K d v)
(A' \cup D)
     apply (subst sym[OF V.module.lincomb-smult])
         apply (simp-all)
     apply (subst V.module.lincomb-union2)
          by (auto)
   from
     A' fin
     A'inC
     a' fun
   have lccomp2:
     V.module.lincomb a' A' \oplus_V \ominus_K \mathbf{1}_K \odot_V V.module.lincomb d D =
     \mathbf{0}_{V}
     by (simp add: a'-lc
       V.module.smult-minus-1 V.module.M.r-neg)
    from lccomp1 lccomp2 have lc0: V.module.lincomb (\lambda v. if v \in A'
then a' v else \ominus_K \mathbf{1}_K \otimes_K d v (A' \cup D)
     = \mathbf{0}_V \mathbf{b} \mathbf{y} auto
   from disj a' v D have v-nin: v \notin A' by auto
   from A'fin Dfin
     A'inC DinC
     e-fun d
     A'sub D disj
     v \ dvnz
     lc0
   have AC-ld: V.module.lin-dep (A \cup C)
     apply (intro V.module.lin-dep-crit[where A = A' \cup D and
      S = A \cup C and a = \lambda v. if v \in A' then a' v else \ominus_K \mathbf{1}_K \otimes_K dv and
(v=v]
          by (auto dest: integral)
   from AC-ld ACbasis show False by (unfold V.basis-def, auto)
 qed
 have C'-card: inj-on T C card C = card ?C'
 proof –
   show inj-on T C
   proof (rule ccontr)
     assume \neg inj-on T C
```

then obtain v w where $v \in C w \in C v \neq w T v = T w$ by (unfold inj-on-def, auto) from this CinC show False apply (intro lc-in-ker[where $?D1 = \{v, w\}$ and $?d1 = \lambda x$. if x = vthen $\mathbf{1}_K$ else $\ominus_K \mathbf{1}_K$ and ?v1=v) by (auto simp add: V.module.lincomb-def hom-sum ring-subset-carrier W.module.smult-minus-1 r-neg T-im) \mathbf{qed} from this Cfin show card C = card ?C'by (metis card-image) qed let ?f = the - inv - into C Thave $f: \bigwedge x. x \in C \implies ?f(Tx) = x \bigwedge y. y \in ?C' \implies T(?fy) = y$ apply (insert C'-card(1)) **apply** (*metis the-inv-into-f-f*) by (metis f-the-inv-into-f) have C'-li: im.lin-indpt ?C'**proof** (*rule ccontr*) assume Cld: $\neg im.lin-indpt$?C' from Cld cim subs-im have CldW: W.module.lin-dep ?C' **apply** (subst sym[OF W.module.span-li-not-depend(2)]**where** S = T'C and N = imTby *auto* from C CldW have $\exists c' v'. (c' \in (?C' \rightarrow carrier K)) \land (W.module.lincomb$ $c' ? C' = \mathbf{0}_W$ $\land (v' \in ?C') \land (c' v' \neq \mathbf{0}_K)$ by (intro W.module.finite-lin-dep, auto) then obtain c' v' where $c': (c' \in (?C' \rightarrow carrier K)) \land (W.module.lincomb$ $c' ? C' = \mathbf{0}_W$ $\land (v' \in ?C') \land (c' v' \neq \mathbf{0}_K)$ by auto hence c'fun: $(c' \in (?C' \rightarrow carrier K))$ and c'lc: (W.module.lincomb $c' ? C' = \mathbf{0}_W$ and $v':(v' \in ?C')$ and $cvnz: (c' v' \neq \mathbf{0}_K)$ by auto We take the inverse image of C' under T to get a linear combination of C that is in the kernel and hence a linear combination of A. This contradicts $A \cup C$ being linearly independent. let $?c = \lambda v. c' (T v)$

from c'fun have c-fun: $?c \in C \rightarrow carrier K$ by auto from Cfinc-fun c'fun C'-card CinCfc'lchave $T (V.module.lincomb ?c C) = \mathbf{0}_W$

```
apply (unfold V.module.lincomb-def W.module.lincomb-def)
    apply (subst hom-sum, auto)
   apply (simp cong: finsum-cong add: ring-subset-carrier coeff-in-ring)
      apply (subst finsum-reindex[where ?f = \lambda w. c' w \odot_W w and
?h=T and ?A=C, THEN sym])
       bv auto
   with f c'fun cvnz v' show False
    by (intro lc-in-ker[where ?D1 = C and ?d1 = ?c and ?v1 = ?f v'],
auto)
 \mathbf{qed}
 have C'-gen: im.gen-set ?C'
 proof –
   have C'-span: span ?C' = imT
   proof (rule equalityI)
    from cim subs-im show W.module.span ?C' \subseteq imT
      by (intro span-is-subset, unfold subspace-def, auto)
   next
    show imT \subseteq W.module.span ?C'
    proof (auto)
      fix w
      assume w: w \in imT
         from this find Cfin AinC CinC obtain v where v-inC:
v \in carrier V and w - eq - T - v: w = T v
        by (unfold im-def image-def, auto)
       from finA Cfin AinC CinC v-inC ACgen have \exists a. a \in A \cup C
\rightarrow carrier K \land V.module.lincomb \ a \ (A \cup C) = v
        by (intro V.module.finite-in-span, auto)
      then obtain a where
        a-fun: a \in A \cup C \rightarrow carrier K and
        lc-a-v: v = V.module.lincomb \ a \ (A \cup C)
        by auto
      let ?a' = \lambda v. a (?f v)
       from finA Cfin AinC CinC a-fun disj Ainker f C'-card have
Tv: T v = W.module.lincomb ?a' ?C'
        apply (subst lc-a-v)
        apply (subst V.module.lincomb-union, simp-all)
        apply (unfold lincomb-def V.module.lincomb-def)
        apply (subst hom-sum, auto)
        apply (simp add: subsetD coeff-in-ring
         hom-sum
          T-ker
         )
         apply (subst finsum-reindex[where ?h=T and ?f=\lambda v. ?a'
v \odot_W v], auto)
      by (auto cong: finsum-cong simp add: coeff-in-ring ring-subset-carrier)
      from a-fun f have a'-fun: ?a' \in ?C' \rightarrow carrier K by auto
     from C'fin CinC this w-eq-T-v a'-fun Tv show w \in LinearCom-
binations.module.span K W (T ` C)
        by (subst finite-span, auto)
```

```
qed
   qed
   from this subs-im CinC show ?thesis
    apply (subst span-li-not-depend(1))
     by (unfold im-def subspace-def, auto)
 \mathbf{qed}
 from C'-li C'-gen C cim have C'-basis: im.basis (T'C)
   by (unfold im.basis-def, auto)
 have C-card-im: card C = (vectorspace.dim K (W.vs imT))
   using C'-basis C'-card(2) C'fin im.dim-basis by auto
 from finA Abasis have ker.dim = card A by (rule ker.dim-basis)
 note * = this C-card-im cardEq
 show (vectorspace.dim K (W.vs imT)) + (vectorspace.dim K (V.vs
kerT)) = V.dim using * by auto
 assume T'(carrier V) = carrier W
 from * surj-imp-imT-carrier[OF this]
  show W.fin-dim using C'-basis C'fin unfolding W.fin-dim-def
im.basis-def by auto
qed
theorem (in linear-map) rank-nullity:
```

assumes fd: V.fin-dimshows (vectorspace.dim K (W.vs imT)) + (vectorspace.dim K (V.vs kerT)) = V.dim by (rule rank-nullity-main[OF fd])

end