

# Verified Enumeration of Trees

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## Abstract

This thesis presents the verification of enumeration algorithms for trees. The first algorithm is based on the well known Prüfer-correspondence and allows the enumeration of all possible labeled trees over a fixed finite set of vertices. The second algorithm enumerates rooted, unlabeled trees of a specified size up to graph isomorphisms. It allows for the efficient enumeration without the use of an intermediate encoding of the trees with level sequences, unlike the algorithm by Beyer and Hedetniemi [1] it is based on. Both algorithms are formalized and verified in Isabelle/HOL. The formalization of trees and other graph theoretic results is also presented.

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# 1 Graphs and Trees

```
theory Tree-Graph
  imports Undirected-Graph-Theory.Undirected-Graphs-Root
begin
```

## 1.1 Miscellaneous

```
definition (in ulgraph) loops :: 'a edge set where
  loops = {e∈E. is-loop e}
```

```
definition (in ulgraph) sedges :: 'a edge set where
  sedges = {e∈E. is-sedge e}
```

```
lemma (in ulgraph) union-loops-sedges: loops ∪ sedges = E
  <proof>
```

```
lemma (in ulgraph) disjnt-loops-sedges: disjnt loops sedges
  <proof>
```

```
lemma (in fin-ulgraph) finite-loops: finite loops
  <proof>
```

```
lemma (in fin-ulgraph) finite-sedges: finite sedges
  <proof>
```

```
lemma (in ulgraph) edge-incident-vert: e ∈ E ⇒ ∃ v∈V. vincident v e
  <proof>
```

```
lemma (in ulgraph) Union-incident-edges: (∪ v∈V. incident-edges v) = E
  <proof>
```

**lemma** (in *ulgraph*) *induced-edges-mono*:  $V_1 \subseteq V_2 \implies \text{induced-edges } V_1 \subseteq \text{induced-edges } V_2$   
 ⟨proof⟩

**definition** (in *graph-system*) *remove-vertex* :: 'a  $\Rightarrow$  'a pregraph **where**  
*remove-vertex* v = (V - {v}, {e ∈ E.  $\neg$  vincident v e})

**lemma** (in *ulgraph*) *ex-neighbor-degree-not-0*:  
**assumes** *degree-non-0*: degree v  $\neq$  0  
**shows**  $\exists u \in V. \text{vert-adj } v u$   
 ⟨proof⟩

**lemma** (in *ulgraph*) *ex1-neighbor-degree-1*:  
**assumes** *degree-1*: degree v = 1  
**shows**  $\exists! u. \text{vert-adj } v u$   
 ⟨proof⟩

**lemma** (in *ulgraph*) *degree-1-edge-partition*:  
**assumes** *degree-1*: degree v = 1  
**shows**  $E = \{\{ \text{THE } u. \text{vert-adj } v u, v \}\} \cup \{e \in E. v \notin e\}$   
 ⟨proof⟩

**lemma** (in *sgraph*) *vert-adj-not-eq*:  $\text{vert-adj } u v \implies u \neq v$   
 ⟨proof⟩

## 1.2 Degree

**lemma** (in *ulgraph*) *empty-E-degree-0*:  $E = \{\} \implies \text{degree } v = 0$   
 ⟨proof⟩

**lemma** (in *fin-ulgraph*) *handshaking*:  $(\sum v \in V. \text{degree } v) = 2 * \text{card } E$   
 ⟨proof⟩

**lemma** (in *fin-ulgraph*) *degree-remove-adj-ne-vert*:  
**assumes**  $u \neq v$   
**and** *vert-adj*:  $\text{vert-adj } u v$   
**and** *remove-vertex*:  $\text{remove-vertex } u = (V', E')$   
**shows**  $\text{ulgraph.degree } E' v = \text{degree } v - 1$   
 ⟨proof⟩

**lemma** (in *ulgraph*) *degree-remove-non-adj-vert*:  
**assumes**  $u \neq v$   
**and** *vert-non-adj*:  $\neg \text{vert-adj } u v$   
**and** *remove-vertex*:  $\text{remove-vertex } u = (V', E')$   
**shows**  $\text{ulgraph.degree } E' v = \text{degree } v$   
 ⟨proof⟩

### 1.3 Walks

**lemma** (in *ulgraph*) *walk-edges-induced-edges*:  $is-walk\ p \implies set\ (walk-edges\ p) \subseteq induced-edges\ (set\ p)$   
(*proof*)

**lemma** (in *ulgraph*) *walk-edges-in-verts*:  $e \in set\ (walk-edges\ xs) \implies e \subseteq set\ xs$   
(*proof*)

**lemma** (in *ulgraph*) *is-walk-prefix*:  $is-walk\ (xs@ys) \implies xs \neq [] \implies is-walk\ xs$   
(*proof*)

**lemma** (in *ulgraph*) *split-walk-edge*:  $\{x,y\} \in set\ (walk-edges\ p) \implies \exists xs\ ys. p = xs @ x \# y \# ys \vee p = xs @ y \# x \# ys$   
(*proof*)

### 1.4 Paths

**lemma** (in *ulgraph*) *is-gen-path-wf*:  $is-gen-path\ p \implies set\ p \subseteq V$   
(*proof*)

**lemma** (in *ulgraph*) *path-wf*:  $is-path\ p \implies set\ p \subseteq V$   
(*proof*)

**lemma** (in *fin-ulgraph*) *length-gen-path-card-V*:  $is-gen-path\ p \implies walk-length\ p \leq card\ V$   
(*proof*)

**lemma** (in *fin-ulgraph*) *length-path-card-V*:  $is-path\ p \implies length\ p \leq card\ V$   
(*proof*)

**lemma** (in *ulgraph*) *is-gen-path-prefix*:  $is-gen-path\ (xs@ys) \implies xs \neq [] \implies is-gen-path\ (xs)$   
(*proof*)

**lemma** (in *ulgraph*) *connecting-path-append*:  $connecting-path\ u\ w\ (xs@ys) \implies xs \neq [] \implies connecting-path\ u\ (last\ xs)\ xs$   
(*proof*)

**lemma** (in *ulgraph*) *connecting-path-tl*:  $connecting-path\ u\ v\ (u \# w \# xs) \implies connecting-path\ w\ v\ (w \# xs)$   
(*proof*)

**lemma** (in *fin-ulgraph*) *obtain-longest-path*:  
  **assumes**  $e \in E$   
  **and** *sedge*:  $is-sedge\ e$   
  **obtains**  $p$  **where**  $is-path\ p \wedge \forall s. is-path\ s \longrightarrow length\ s \leq length\ p$   
(*proof*)

## 1.5 Cycles

**context** *ulgraph*  
**begin**

**definition** *is-cycle2* :: 'a list  $\Rightarrow$  bool **where**  
*is-cycle2* *xs*  $\longleftrightarrow$  *is-cycle* *xs*  $\wedge$  *distinct* (*walk-edges* *xs*)

**lemma** *loop-is-cycle2*:  $\{v\} \in E \Longrightarrow$  *is-cycle2*  $[v, v]$   
*<proof>*

**end**

**lemma** (**in** *sgraph*) *cycle2-min-length*:  
**assumes** *cycle*: *is-cycle2* *c*  
**shows** *walk-length* *c*  $\geq 3$   
*<proof>*

**lemma** (**in** *fin-ulgraph*) *length-cycle-card-V*: *is-cycle* *c*  $\Longrightarrow$  *walk-length* *c*  $\leq$  *Suc*  
(*card* *V*)  
*<proof>*

**lemma** (**in** *ulgraph*) *is-cycle-connecting-path*: *is-cycle* (*u#v#xs*)  $\Longrightarrow$  *connecting-path*  
*v u (v#xs)*  
*<proof>*

**lemma** (**in** *ulgraph*) *cycle-edges-notin-tl*: *is-cycle2* (*u#v#xs*)  $\Longrightarrow$   $\{u,v\} \notin$  *set*  
(*walk-edges* (*v#xs*))  
*<proof>*

## 1.6 Subgraphs

**locale** *ulsubgraph* = *subgraph* *V<sub>H</sub>* *E<sub>H</sub>* *V<sub>G</sub>* *E<sub>G</sub>* +  
*G*: *ulgraph* *V<sub>G</sub>* *E<sub>G</sub>* **for** *V<sub>H</sub>* *E<sub>H</sub>* *V<sub>G</sub>* *E<sub>G</sub>*  
**begin**

**interpretation** *H*: *ulgraph* *V<sub>H</sub>* *E<sub>H</sub>*  
*<proof>*

**lemma** *is-walk*: *H.is-walk* *xs*  $\Longrightarrow$  *G.is-walk* *xs*  
*<proof>*

**lemma** *is-closed-walk*: *H.is-closed-walk* *xs*  $\Longrightarrow$  *G.is-closed-walk* *xs*  
*<proof>*

**lemma** *is-gen-path*: *H.is-gen-path* *p*  $\Longrightarrow$  *G.is-gen-path* *p*  
*<proof>*

**lemma** *connecting-path*: *H.connecting-path* *u v p*  $\Longrightarrow$  *G.connecting-path* *u v p*  
*<proof>*

**lemma** *is-cycle*:  $H.is-cycle\ c \implies G.is-cycle\ c$   
*<proof>*

**lemma** *is-cycle2*:  $H.is-cycle2\ c \implies G.is-cycle2\ c$   
*<proof>*

**lemma** *vert-connected*:  $H.vert-connected\ u\ v \implies G.vert-connected\ u\ v$   
*<proof>*

**lemma** *is-connected-set*:  $H.is-connected-set\ V' \implies G.is-connected-set\ V'$   
*<proof>*

**end**

**lemma** (**in** *graph-system*) *subgraph-remove-vertex*:  $remove-vertex\ v = (V', E') \implies$   
*subgraph\ V'\ E'\ V\ E*  
*<proof>*

## 1.7 Connectivity

**lemma** (**in** *ulgraph*) *connecting-path-connected-set*:  
**assumes** *conn-path*: *connecting-path\ u\ v\ p*  
**shows** *is-connected-set* (*set\ p*)  
*<proof>*

**lemma** (**in** *ulgraph*) *vert-connected-neighbors*:  
**assumes**  $\{v, u\} \in E$   
**shows** *vert-connected\ v\ u*  
*<proof>*

**lemma** (**in** *ulgraph*) *connected-empty-E*:  
**assumes** *empty*:  $E = \{\}$   
**and** *connected*: *vert-connected\ u\ v*  
**shows**  $u = v$   
*<proof>*

**lemma** (**in** *fin-ulgraph*) *degree-0-not-connected*:  
**assumes** *degree-0*: *degree\ v = 0*  
**and**  $u \neq v$   
**shows**  $\neg\ vert-connected\ v\ u$   
*<proof>*

**lemma** (**in** *fin-connected-ulgraph*) *degree-not-0*:  
**assumes**  $card\ V \geq 2$   
**and** *inV*:  $v \in V$   
**shows** *degree\ v  $\neq$  0*  
*<proof>*

**lemma** (in *connected-ulgraph*) *V-E-empty*:  $E = \{\} \implies \exists v. V = \{v\}$   
 ⟨*proof*⟩

**lemma** (in *connected-ulgraph*) *vert-connected-remove-edge*:  
**assumes**  $e: \{u, v\} \in E$   
**shows**  $\forall w \in V. \text{ulgraph.vert-connected } V (E - \{\{u, v\}\}) w u \vee \text{ulgraph.vert-connected } V (E - \{\{u, v\}\}) w v$   
 ⟨*proof*⟩

**lemma** (in *ulgraph*) *vert-connected-remove-cycle-edge*:  
**assumes** *cycle*: *is-cycle2* ( $u \# v \# xs$ )  
**shows**  $\text{ulgraph.vert-connected } V (E - \{\{u, v\}\}) u v$   
 ⟨*proof*⟩

**lemma** (in *connected-ulgraph*) *connected-remove-cycle-edges*:  
**assumes** *cycle*: *is-cycle2* ( $u \# v \# xs$ )  
**shows**  $\text{connected-ulgraph } V (E - \{\{u, v\}\})$   
 ⟨*proof*⟩

**lemma** (in *connected-ulgraph*) *connected-remove-leaf*:  
**assumes** *degree*: *degree*  $l = 1$   
**and** *remove-vertex*: *remove-vertex*  $l = (V', E')$   
**shows**  $\text{ulgraph.is-connected-set } V' E' V'$   
 ⟨*proof*⟩

**lemma** (in *connected-sgraph*) *connected-two-graph-edges*:  
**assumes**  $u \neq v$   
**and**  $V: V = \{u, v\}$   
**shows**  $E = \{\{u, v\}\}$   
 ⟨*proof*⟩

## 1.8 Connected components

**context** *ulgraph*  
**begin**

**abbreviation** *vert-connected-rel*  $\equiv \{(u, v). \text{vert-connected } u v\}$

**definition** *connected-components*  $:: 'a \text{ set set where}$   
*connected-components*  $= V // \text{vert-connected-rel}$

**definition** *connected-component-of*  $:: 'a \Rightarrow 'a \text{ set where}$   
*connected-component-of*  $v = \text{vert-connected-rel } \{\{v\}$

**lemma** *vert-connected-rel-on-V*:  $\text{vert-connected-rel} \subseteq V \times V$   
 ⟨*proof*⟩

**lemma** *vert-connected-rel-refl*: *refl-on*  $V \text{ vert-connected-rel}$   
 ⟨*proof*⟩

**lemma** *vert-connected-rel-sym*: *sym vert-connected-rel*  
 ⟨*proof*⟩

**lemma** *vert-connected-rel-trans*: *trans vert-connected-rel*  
 ⟨*proof*⟩

**lemma** *equiv-vert-connected*: *equiv V vert-connected-rel*  
 ⟨*proof*⟩

**lemma** *connected-component-non-empty*:  $V' \in \text{connected-components} \implies V' \neq \{\}$   
 ⟨*proof*⟩

**lemma** *connected-component-connected*:  $V' \in \text{connected-components} \implies \text{is-connected-set } V'$   
 ⟨*proof*⟩

**lemma** *connected-component-wf*:  $V' \in \text{connected-components} \implies V' \subseteq V$   
 ⟨*proof*⟩

**lemma** *connected-component-of-self*:  $v \in V \implies v \in \text{connected-component-of } v$   
 ⟨*proof*⟩

**lemma** *conn-comp-of-conn-comps*:  $v \in V \implies \text{connected-component-of } v \in \text{connected-components}$   
 ⟨*proof*⟩

**lemma** *Un-connected-components*:  $\text{connected-components} = \text{connected-component-of } 'V$   
 ⟨*proof*⟩

**lemma** *connected-component-subgraph*:  $V' \in \text{connected-components} \implies \text{subgraph } V' (\text{induced-edges } V') V E$   
 ⟨*proof*⟩

**lemma** *connected-components-connected2*:  
**assumes** *conn-comp*:  $V' \in \text{connected-components}$   
**shows** *ulgraph.is-connected-set*  $V' (\text{induced-edges } V') V'$   
 ⟨*proof*⟩

**lemma** *vert-connected-connected-component*:  $C \in \text{connected-components} \implies u \in C \implies \text{vert-connected } u v \implies v \in C$   
 ⟨*proof*⟩

**lemma** *connected-components-connected-ulgraphs*:  
**assumes** *conn-comp*:  $V' \in \text{connected-components}$   
**shows** *connected-ulgraph*  $V' (\text{induced-edges } V')$   
 ⟨*proof*⟩



**lemma** *connected-components-partition-on-V: partition-on V connected-components*  
⟨proof⟩

**lemma** *Union-connected-components:  $\bigcup$  connected-components = V*  
⟨proof⟩

**lemma** *disjoint-connected-components: disjoint connected-components*  
⟨proof⟩

**lemma** *Union-induced-edges-connected-components:  $\bigcup$  (induced-edges ‘ connected-components)  
= E*  
⟨proof⟩

**lemma** *connected-components-empty-E:*  
  **assumes** *empty: E = {}*  
  **shows** *connected-components = {{v} | v. v ∈ V}*  
⟨proof⟩

**lemma** *connected-iff-connected-components:*  
  **assumes** *non-empty: V ≠ {}*  
  **shows** *is-connected-set V  $\longleftrightarrow$  connected-components = {V}*  
⟨proof⟩

**end**

**lemma** (**in** *connected-ulgraph*) *connected-components[simp]: connected-components  
= {V}*  
⟨proof⟩

**lemma** (**in** *fin-ulgraph*) *finite-connected-components: finite connected-components*  
⟨proof⟩

**lemma** (**in** *fin-ulgraph*) *finite-connected-component: C ∈ connected-components  
 $\implies$  finite C*  
⟨proof⟩

**lemma** (**in** *connected-ulgraph*) *connected-components-remove-edges:*  
  **assumes** *edge: {u,v} ∈ E*  
  **shows** *ulgraph.connected-components V (E - {{u,v}}) =  
  {ulgraph.connected-component-of V (E - {{u,v}}) u, ulgraph.connected-component-of  
  V (E - {{u,v}}) v}*  
⟨proof⟩

**lemma** (**in** *ulgraph*) *connected-set-connected-component:*  
  **assumes** *conn-set: is-connected-set C*  
  **and** *non-empty: C ≠ {}*  
  **and**  $\bigwedge u v. \{u,v\} \in E \implies u \in C \implies v \in C$   
  **shows** *C ∈ connected-components*

*<proof>*

**lemma** (*in ulgraph*) *subset-conn-comps-if-Union*:  
  **assumes** *A-subset-conn-comps*:  $A \subseteq \text{connected-components}$   
  **and** *Un-A*:  $\bigcup A = V$   
  **shows**  $A = \text{connected-components}$   
*<proof>*

**lemma** (*in connected-ulgraph*) *exists-adj-vert-removed*:  
  **assumes**  $v \in V$   
  **and** *remove-vertex*:  $\text{remove-vertex } v = (V', E')$   
  **and** *conn-component*:  $C \in \text{ulgraph.connected-components } V' E'$   
  **shows**  $\exists u \in C. \text{vert-adj } v u$   
*<proof>*

## 1.9 Trees

**locale** *tree* = *fin-connected-ulgraph* +  
  **assumes** *no-cycles*:  $\neg \text{is-cycle2 } c$   
**begin**

**sublocale** *fin-connected-sgraph*  
*<proof>*

**end**

**locale** *spanning-tree* = *ulgraph*  $V E + T$ : *tree*  $V T$  **for**  $V E T +$   
  **assumes** *subgraph*:  $T \subseteq E$

**lemma** (*in fin-connected-ulgraph*) *has-spanning-tree*:  $\exists T. \text{spanning-tree } V E T$   
*<proof>*

**context** *tree*  
**begin**

**definition** *leaf* :: '*a*  $\Rightarrow$  *bool* **where**  
  *leaf*  $v \longleftrightarrow \text{degree } v = 1$

**definition** *leaves* :: '*a* *set* **where**  
  *leaves* =  $\{v. \text{leaf } v\}$

**definition** *non-trivial* :: *bool* **where**  
  *non-trivial*  $\longleftrightarrow \text{card } V \geq 2$

**lemma** *obtain-2-verts*:  
  **assumes** *non-trivial*  
  **obtains**  $u v$  **where**  $u \in V v \in V u \neq v$   
*<proof>*

**lemma** *leaf-in-V*:  $\text{leaf } v \implies v \in V$   
*<proof>*

**lemma** *exists-leaf*:  
 **assumes** *non-trivial*  
 **shows**  $\exists v \in V. \text{leaf } v$   
*<proof>*

**lemma** *tree-remove-leaf*:  
 **assumes** *leaf*:  $\text{leaf } l$   
 **and** *remove-vertex*:  $\text{remove-vertex } l = (V', E')$   
 **shows** *tree*  $V' E'$   
*<proof>*

**end**

**lemma** *tree-induct* [*case-names singleton insert, induct set: tree*]:  
 **assumes** *tree*: *tree*  $V E$   
 **and** *trivial*:  $\bigwedge v. \text{tree } \{v\} \{\} \implies P \{v\} \{\}$   
 **and** *insert*:  $\bigwedge l v V E. \text{tree } V E \implies P V E \implies l \notin V \implies v \in V \implies \{l, v\} \notin E \implies \text{tree.leaf } (\text{insert } \{l, v\} E) l \implies P (\text{insert } l V) (\text{insert } \{l, v\} E)$   
 **shows**  $P V E$   
*<proof>*

**context** *tree*  
**begin**

**lemma** *card-V-card-E*:  $\text{card } V = \text{Suc } (\text{card } E)$   
*<proof>*

**end**

**lemma** *card-E-treeI*:  
 **assumes** *fin-conn-sgraph*: *fin-connected-ulgraph*  $V E$   
 **and** *card-V-E*:  $\text{card } V = \text{Suc } (\text{card } E)$   
 **shows** *tree*  $V E$   
*<proof>*

**context** *tree*  
**begin**

**lemma** *add-vertex-tree*:  
 **assumes**  $v \notin V$   
 **and**  $w \in V$   
 **shows** *tree*  $(\text{insert } v V) (\text{insert } \{v, w\} E)$   
*<proof>*

**lemma** *tree-connected-set*:  
 **assumes** *non-empty*:  $V' \neq \{\}$

**and** *subg*:  $V' \subseteq V$   
**and** *connected- $V'$* : *ulgraph.is-connected-set*  $V'$  (*induced-edges*  $V'$ )  $V'$   
**shows** *tree*  $V'$  (*induced-edges*  $V'$ )  
 ⟨*proof*⟩

**lemma** *unique-adj-vert-removed*:  
**assumes**  $v \in V$   
**and** *remove-vertex*: *remove-vertex*  $v = (V', E')$   
**and** *conn-component*:  $C \in$  *ulgraph.connected-components*  $V' E'$   
**shows**  $\exists! u \in C. \text{vert-adj } v \ u$   
 ⟨*proof*⟩

**lemma** *non-trivial-card-E*: *non-trivial*  $\implies \text{card } E \geq 1$   
 ⟨*proof*⟩

**lemma** *V-Union-E*: *non-trivial*  $\implies V = \bigcup E$   
 ⟨*proof*⟩

**end**

**lemma** *singleton-tree*: *tree*  $\{v\} \{\}$   
 ⟨*proof*⟩

**lemma** *tree2*:  
**assumes**  $u \neq v$   
**shows** *tree*  $\{u, v\} \{\{u, v\}\}$   
 ⟨*proof*⟩

## 1.10 Graph Isomorphism

**locale** *graph-isomorphism* =  
*G*: *graph-system*  $V_G E_G$  **for**  $V_G E_G +$   
**fixes**  $V_H E_H f$   
**assumes** *bij-f*: *bij-betw*  $f V_G V_H$   
**and** *edge-preserving*:  $((\cdot) f) \cdot E_G = E_H$   
**begin**

**lemma** *inj-f*: *inj-on*  $f V_G$   
 ⟨*proof*⟩

**lemma**  *$V_H$ -def*:  $V_H = f \cdot V_G$   
 ⟨*proof*⟩

**definition** *inv-iso*  $\equiv$  *the-inv-into*  $V_G f$

**lemma** *graph-system-H*: *graph-system*  $V_H E_H$   
 ⟨*proof*⟩

**interpretation** *H*: *graph-system*  $V_H E_H$  ⟨*proof*⟩

**lemma** *graph-isomorphism-inv*: *graph-isomorphism*  $V_H E_H V_G E_G$  *inv-iso*  
 ⟨*proof*⟩

**interpretation** *inv-iso*: *graph-isomorphism*  $V_H E_H V_G E_G$  *inv-iso* ⟨*proof*⟩

**end**

**fun** *graph-isomorph* :: 'a *pregraph* ⇒ 'b *pregraph* ⇒ bool (**infix** <≈> 50) **where**  
 $(V_G, E_G) \simeq (V_H, E_H) \longleftrightarrow (\exists f. \text{graph-isomorphism } V_G E_G V_H E_H f)$

**lemma** (**in** *graph-system*) *graph-isomorphism-id*: *graph-isomorphism*  $V E V E$  *id*  
 ⟨*proof*⟩

**lemma** (**in** *graph-system*) *graph-isomorph-refl*:  $(V, E) \simeq (V, E)$   
 ⟨*proof*⟩

**lemma** *graph-isomorph-sym*: *symp* (≈)  
 ⟨*proof*⟩

**lemma** *graph-isomorphism-trans*: *graph-isomorphism*  $V_G E_G V_H E_H f \implies \text{graph-isomorphism}$   
 $V_H E_H V_F E_F g \implies \text{graph-isomorphism } V_G E_G V_F E_F (g \circ f)$   
 ⟨*proof*⟩

**lemma** *graph-isomorph-trans*: *transp* (≈)  
 ⟨*proof*⟩

**end**

## 2 Enumeration of Labeled Trees

**theory** *Labeled-Tree-Enumeration*

**imports** *Tree-Graph*

**begin**

**definition** *labeled-trees* :: 'a *set* ⇒ 'a *pregraph set* **where**  
 $\text{labeled-trees } V = \{(V, E) \mid E. \text{tree } V E\}$

### 2.1 Algorithm

Prüfer sequence to tree

**definition** *prufer-sequences* :: 'a *list* ⇒ 'a *list set* **where**  
 $\text{prufer-sequences } \text{verts} = \{xs. \text{length } xs = \text{length } \text{verts} - 2 \wedge \text{set } xs \subseteq \text{set } \text{verts}\}$

**fun** *tree-edges-of-prufer-seq* :: 'a *list* ⇒ 'a *list* ⇒ 'a *edge set* **where**  
 $\text{tree-edges-of-prufer-seq } [u, v] [] = \{\{u, v\}\}$   
 |  $\text{tree-edges-of-prufer-seq } \text{verts } (b\#\text{seq}) =$   
 (case find ( $\lambda x. x \notin \text{set } (b\#\text{seq})$ ) *verts* of

Some  $a \Rightarrow \text{insert } \{a,b\} (\text{tree-edges-of-prufer-seq } (\text{remove1 } a \text{ verts}) \text{ seq}))$

**definition** *tree-of-prufer-seq* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a pregraph **where**  
*tree-of-prufer-seq* verts seq = (set verts, tree-edges-of-prufer-seq verts seq)

**definition** *labeled-tree-enum* :: 'a list  $\Rightarrow$  'a pregraph list **where**  
*labeled-tree-enum* verts = map (tree-of-prufer-seq verts) (List.n-lists (length verts - 2) verts)

## 2.2 Correctness

Tree to Prüfer sequence

**definition** *remove-vertex-edges* :: 'a  $\Rightarrow$  'a edge set  $\Rightarrow$  'a edge set **where**  
*remove-vertex-edges* v E = {e ∈ E.  $\neg$  graph-system.vincident v e}

**lemma** *find-in-list[termination-simp]*: find P verts = Some v  $\implies$  v ∈ set verts  
 ⟨proof⟩

**lemma** [termination-simp]: find P verts = Some v  $\implies$  length verts - Suc 0 < length verts  
 ⟨proof⟩

**fun** *prufer-seq-of-tree* :: 'a list  $\Rightarrow$  'a edge set  $\Rightarrow$  'a list **where**  
*prufer-seq-of-tree* verts E =  
 (if length verts ≤ 2 then []  
 else (case find (tree.leaf E) verts of  
 Some leaf  $\Rightarrow$  (THE v. ulgraph.vert-adj E leaf v) # prufer-seq-of-tree (remove1 leaf verts) (remove-vertex-edges leaf E)))

**locale** *valid-verts* =  
**fixes** verts  
**assumes** length-verts: length verts ≥ 2  
**and** distinct-verts: distinct verts

**locale** *tree-of-prufer-seq-ctx* = *valid-verts* +  
**fixes** seq  
**assumes** prufer-seq: seq ∈ prufer-sequences verts

**lemma** (in *valid-verts*) card-verts: card (set verts) = length verts  
 ⟨proof⟩

**lemma** *length-gt-find-not-in-ys*:  
**assumes** length xs > length ys  
**and** distinct xs  
**shows**  $\exists x. \text{find } (\lambda x. x \notin \text{set } ys) \text{ xs} = \text{Some } x$   
 ⟨proof⟩

**lemma** (in *tree-of-prufer-seq-ctx*) *tree-edges-of-prufer-seq-induct'*:  
**assumes**  $\bigwedge u v. P [u, v]$  []

**and**  $\bigwedge \text{verts } b \text{ seq } a.$   
 $\text{find } (\lambda x. x \notin \text{set } (b \# \text{seq})) \text{ verts} = \text{Some } a$   
 $\implies a \in \text{set } \text{verts} \implies a \notin \text{set } (b \# \text{seq}) \implies \text{seq} \in \text{prufer-sequences}$   
 $(\text{remove1 } a \text{ verts})$   
 $\implies \text{tree-of-prufer-seq-ctx } (\text{remove1 } a \text{ verts}) \text{ seq} \implies P (\text{remove1 } a \text{ verts})$   
 $\text{seq} \implies P \text{ verts } (b \# \text{seq})$   
**shows**  $P \text{ verts seq}$   
 $\langle \text{proof} \rangle$

**lemma**  $(\text{in } \text{tree-of-prufer-seq-ctx}) \text{ tree-edges-of-prufer-seq-tree}:$   
**shows**  $\text{tree } (\text{set } \text{verts}) (\text{tree-edges-of-prufer-seq } \text{verts } \text{seq})$   
 $\langle \text{proof} \rangle$

**lemma**  $(\text{in } \text{tree-of-prufer-seq-ctx}) \text{ tree-of-prufer-seq-tree}: (V, E) = \text{tree-of-prufer-seq}$   
 $\text{verts } \text{seq} \implies \text{tree } V E$   
 $\langle \text{proof} \rangle$

**lemma**  $(\text{in } \text{valid-verts}) \text{ labeled-tree-enum-trees}:$   
**assumes**  $VE\text{-in-labeled-tree-enum}: (V, E) \in \text{set } (\text{labeled-tree-enum } \text{verts})$   
**shows**  $\text{tree } V E$   
 $\langle \text{proof} \rangle$

## 2.3 Totality

**locale**  $\text{prufer-seq-of-tree-context} =$   
 $\text{valid-verts } \text{verts} + \text{tree } \text{set } \text{verts } E \text{ for } \text{verts } E$   
**begin**

**lemma**  $\text{prufer-seq-of-tree-induct}':$   
**assumes**  $\bigwedge u v. P [u, v] \{\{u, v\}\}$   
**and**  $\bigwedge \text{verts } E l. \neg \text{length } \text{verts} \leq 2 \implies \text{find } (\text{tree.leaf } E) \text{ verts} = \text{Some } l \implies$   
 $\text{tree.leaf } E l$   
 $\implies l \in \text{set } \text{verts} \implies \text{prufer-seq-of-tree-context } (\text{remove1 } l \text{ verts}) (\text{remove-vertex-edges}$   
 $l E)$   
 $\implies P (\text{remove1 } l \text{ verts}) (\text{remove-vertex-edges } l E) \implies P \text{ verts } E$   
**shows**  $P \text{ verts } E$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{prufer-seq-of-tree-wf}: \text{set } (\text{prufer-seq-of-tree } \text{verts } E) \subseteq \text{set } \text{verts}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{length-prufer-seq-of-tree}: \text{length } (\text{prufer-seq-of-tree } \text{verts } E) = \text{length } \text{verts}$   
 $- 2$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{prufer-seq-of-tree-prufer-seq}: \text{prufer-seq-of-tree } \text{verts } E \in \text{prufer-sequences}$   
 $\text{verts}$   
 $\langle \text{proof} \rangle$

**lemma** *count-list-prufer-seq-degree*:  $v \in \text{set } \text{verts} \implies \text{Suc } (\text{count-list } (\text{prufer-seq-of-tree } \text{verts } E) v) = \text{degree } v$   
 ⟨proof⟩

**lemma** *not-in-prufer-seq-iff-leaf*:  $v \in \text{set } \text{verts} \implies v \notin \text{set } (\text{prufer-seq-of-tree } \text{verts } E) \iff \text{leaf } v$   
 ⟨proof⟩

**lemma** *tree-edges-of-prufer-seq-of-tree*:  $\text{tree-edges-of-prufer-seq } \text{verts } (\text{prufer-seq-of-tree } \text{verts } E) = E$   
 ⟨proof⟩

**lemma** *tree-in-labeled-tree-enum*:  $(\text{set } \text{verts}, E) \in \text{set } (\text{labeled-tree-enum } \text{verts})$   
 ⟨proof⟩

**end**

**lemma** (*in valid-verts*) *V-labeled-tree-enum-verts*:  $(V, E) \in \text{set } (\text{labeled-tree-enum } \text{verts}) \implies V = \text{set } \text{verts}$   
 ⟨proof⟩

**theorem** (*in valid-verts*) *labeled-tree-enum-correct*:  $\text{set } (\text{labeled-tree-enum } \text{verts}) = \text{labeled-trees } (\text{set } \text{verts})$   
 ⟨proof⟩

## 2.4 Distinction

**lemma** (*in tree-of-prufer-seq-ctx*) *count-prufer-seq-degree*:  
**assumes** *v-in-verts*:  $v \in \text{set } \text{verts}$   
**shows**  $\text{Suc } (\text{count-list } \text{seq } v) = \text{ulgraph.degree } (\text{tree-edges-of-prufer-seq } \text{verts } \text{seq}) v$   
 ⟨proof⟩

**lemma** (*in tree-of-prufer-seq-ctx*) *notin-prufer-seq-iff-leaf*:  
**assumes**  $v \in \text{set } \text{verts}$   
**shows**  $v \notin \text{set } \text{seq} \iff \text{tree.leaf } (\text{tree-edges-of-prufer-seq } \text{verts } \text{seq}) v$   
 ⟨proof⟩

**lemma** (*in valid-verts*) *inj-tree-edges-of-prufer-seq*: *inj-on*  $(\text{tree-edges-of-prufer-seq } \text{verts})$   $(\text{prufer-sequences } \text{verts})$   
 ⟨proof⟩

**theorem** (*in valid-verts*) *distinct-labeled-tree-enum*:  $\text{distinct } (\text{labeled-tree-enum } \text{verts})$   
 ⟨proof⟩

**lemma** (*in valid-verts*) *cayleys-formula*:  $\text{card } (\text{labeled-trees } (\text{set } \text{verts})) = \text{length } \text{verts} \wedge (\text{length } \text{verts} - 2)$   
 ⟨proof⟩



**end**

### 3 Rooted Trees

**theory** *Rooted-Tree*

**imports** *Tree-Graph HOL-Library.FSet*

**begin**

**datatype** *tree* = *Node tree list*

**fun** *tree-size* :: *tree*  $\Rightarrow$  *nat* **where**

*tree-size* (*Node ts*) = *Suc* ( $\sum t \leftarrow ts.$  *tree-size* *t*)

**fun** *height* :: *tree*  $\Rightarrow$  *nat* **where**

*height* (*Node []*) = 0

| *height* (*Node ts*) = *Suc* (*Max* (*height* ‘ *set ts*))

Convenient case splitting and induction for trees

**lemma** *tree-cons-exhaust*[*case-names Nil Cons*]:

$(t = \text{Node } [] \implies P) \implies (\bigwedge r ts. t = \text{Node } (r \# ts) \implies P) \implies P$   
*<proof>*

**lemma** *tree-rev-exhaust*[*case-names Nil Snoc*]:

$(t = \text{Node } [] \implies P) \implies (\bigwedge ts r. t = \text{Node } (ts @ [r]) \implies P) \implies P$   
*<proof>*

**lemma** *tree-cons-induct*[*case-names Nil Cons*]:

**assumes** *P* (*Node []*)

**and**  $\bigwedge t ts. P t \implies P (\text{Node } ts) \implies P (\text{Node } (t \# ts))$

**shows** *P t*

*<proof>*

**fun** *lexord-tree* **where**

*lexord-tree* *t* (*Node []*)  $\longleftrightarrow$  *False*

| *lexord-tree* (*Node []*) *r*  $\longleftrightarrow$  *True*

| *lexord-tree* (*Node (t#ts)*) (*Node (r#rs)*)  $\longleftrightarrow$  *lexord-tree* *t r*  $\vee$  (*t = r*  $\wedge$  *lexord-tree* (*Node ts*) (*Node rs*))

**fun** *mirror* :: *tree*  $\Rightarrow$  *tree* **where**

*mirror* (*Node ts*) = *Node* (*map mirror* (*rev ts*))

**instantiation** *tree* :: *linorder*

**begin**

**definition**

*tree-less-def*:  $(t :: \text{tree}) < r \longleftrightarrow \text{lexord-tree } (\text{mirror } t) (\text{mirror } r)$

**definition**

*tree-le-def*:  $(t :: \text{tree}) \leq r \longleftrightarrow t < r \vee t = r$

**lemma** *lexord-tree-empty2[simp]*:  $\text{lexord-tree } (\text{Node } []) \ r \longleftrightarrow r \neq \text{Node } []$   
(proof)

**lemma** *mirror-empty[simp]*:  $\text{mirror } t = \text{Node } [] \longleftrightarrow t = \text{Node } []$   
(proof)

**lemma** *mirror-not-empty[simp]*:  $\text{mirror } t \neq \text{Node } [] \longleftrightarrow t \neq \text{Node } []$   
(proof)

**lemma** *tree-le-empty[simp]*:  $\text{Node } [] \leq t$   
(proof)

**lemma** *tree-less-empty-iff*:  $\text{Node } [] < t \longleftrightarrow t \neq \text{Node } []$   
(proof)

**lemma** *not-tree-less-empty[simp]*:  $\neg t < \text{Node } []$   
(proof)

**lemma** *tree-le-empty2-iff[simp]*:  $t \leq \text{Node } [] \longleftrightarrow t = \text{Node } []$   
(proof)

**lemma** *lexord-tree-antisym*:  $\text{lexord-tree } t \ r \implies \neg \text{lexord-tree } r \ t$   
(proof)

**lemma** *tree-less-antisym*:  $(t::\text{tree}) < r \implies \neg r < t$   
(proof)

**lemma** *lexord-tree-not-eq*:  $\text{lexord-tree } t \ r \implies t \neq r$   
(proof)

**lemma** *tree-less-not-eq*:  $(t::\text{tree}) < r \implies t \neq r$   
(proof)

**lemma** *lexord-tree-irrefl*:  $\neg \text{lexord-tree } t \ t$   
(proof)

**lemma** *tree-less-irrefl*:  $\neg (t::\text{tree}) < t$   
(proof)

**lemma** *lexord-tree-eq-iff*:  $\neg \text{lexord-tree } t \ r \ \wedge \ \neg \text{lexord-tree } r \ t \longleftrightarrow t = r$   
(proof)

**lemma** *mirror-mirror*:  $\text{mirror } (\text{mirror } t) = t$   
(proof)

**lemma** *mirror-inj*:  $\text{mirror } t = \text{mirror } r \implies t = r$   
(proof)

**lemma** *tree-less-eq-iff*:  $\neg (t::tree) < r \wedge \neg r < t \longleftrightarrow t = r$   
*<proof>*

**lemma** *lexord-tree-trans*:  $lexord-tree\ t\ r \implies lexord-tree\ r\ s \implies lexord-tree\ t\ s$   
*<proof>*

**instance**  
*<proof>*

**end**

**lemma** *tree-size-children*:  $tree-size\ (Node\ ts) = Suc\ n \implies t \in set\ ts \implies tree-size\ t \leq n$   
*<proof>*

**lemma** *tree-size-ge-1*:  $tree-size\ t \geq 1$   
*<proof>*

**lemma** *tree-size-ne-0*:  $tree-size\ t \neq 0$   
*<proof>*

**lemma** *tree-size-1-iff*:  $tree-size\ t = 1 \longleftrightarrow t = Node\ []$   
*<proof>*

**lemma** *length-children*:  $tree-size\ (Node\ ts) = Suc\ n \implies length\ ts \leq n$   
*<proof>*

**lemma** *height-Node-cons*:  $height\ (Node\ (t\#\ts)) \geq Suc\ (height\ t)$   
*<proof>*

**lemma** *height-0-iff*:  $height\ t = 0 \implies t = Node\ []$   
*<proof>*

**lemma** *height-children*:  $height\ (Node\ ts) = Suc\ n \implies t \in set\ ts \implies height\ t \leq n$   
*<proof>*

**lemma** *height-children-le-height*:  $\forall t \in set\ ts. height\ t \leq n \implies height\ (Node\ ts) \leq Suc\ n$   
*<proof>*

**lemma** *mirror-iff*:  $mirror\ t = Node\ ts \longleftrightarrow t = Node\ (map\ mirror\ (rev\ ts))$   
*<proof>*

**lemma** *mirror-append*:  $mirror\ (Node\ (ts@rs)) = Node\ (map\ mirror\ (rev\ rs)\ @\ map\ mirror\ (rev\ ts))$   
*<proof>*

**lemma** *lexord-tree-snoc*:  $\text{lexord-tree } (\text{Node } ts) (\text{Node } (ts@[t]))$   
 ⟨proof⟩

**lemma** *tree-less-cons*:  $\text{Node } ts < \text{Node } (t\#ts)$   
 ⟨proof⟩

**lemma** *tree-le-cons*:  $\text{Node } ts \leq \text{Node } (t\#ts)$   
 ⟨proof⟩

**lemma** *tree-less-cons'*:  $t \leq \text{Node } rs \implies t < \text{Node } (r\#rs)$   
 ⟨proof⟩

**lemma** *tree-less-snoc2-iff[simp]*:  $\text{Node } (ts@[t]) < \text{Node } (rs@[r]) \longleftrightarrow t < r \vee (t = r \wedge \text{Node } ts < \text{Node } rs)$   
 ⟨proof⟩

**lemma** *tree-le-snoc2-iff[simp]*:  $\text{Node } (ts@[t]) \leq \text{Node } (rs@[r]) \longleftrightarrow t < r \vee (t = r \wedge \text{Node } ts \leq \text{Node } rs)$   
 ⟨proof⟩

**lemma** *lexord-tree-cons2[simp]*:  $\text{lexord-tree } (\text{Node } (ts@[t])) (\text{Node } (ts@[r])) \longleftrightarrow \text{lexord-tree } t r$   
 ⟨proof⟩

**lemma** *tree-less-cons2[simp]*:  $\text{Node } (t\#ts) < \text{Node } (r\#ts) \longleftrightarrow t < r$   
 ⟨proof⟩

**lemma** *tree-le-cons2[simp]*:  $\text{Node } (t\#ts) \leq \text{Node } (r\#ts) \longleftrightarrow t \leq r$   
 ⟨proof⟩

**lemma** *tree-less-sorted-snoc*:  $\text{sorted } (ts@[r]) \implies \text{Node } ts < \text{Node } (ts@[r])$   
 ⟨proof⟩

**lemma** *lexord-tree-comm-prefix[simp]*:  $\text{lexord-tree } (\text{Node } (ss@ts)) (\text{Node } (ss@rs)) \longleftrightarrow \text{lexord-tree } (\text{Node } ts) (\text{Node } rs)$   
 ⟨proof⟩

**lemma** *less-tree-comm-suffix[simp]*:  $\text{Node } (ts@ss) < \text{Node } (rs@ss) \longleftrightarrow \text{Node } ts < \text{Node } rs$   
 ⟨proof⟩

**lemma** *tree-le-comm-suffix[simp]*:  $\text{Node } (ts@ss) \leq \text{Node } (rs@ss) \longleftrightarrow \text{Node } ts \leq \text{Node } rs$   
 ⟨proof⟩

**lemma** *tree-less-comm-suffix2*:  $t < r \implies \text{Node } (ts@t\#ss) < \text{Node } (r\#ss)$   
 ⟨proof⟩

**lemma** *lexord-tree-append[simp]*:  $\text{lexord-tree } (\text{Node } ts) (\text{Node } (ts@rs)) \longleftrightarrow rs \neq []$   
 ⟨proof⟩

**lemma** *tree-less-append[simp]*:  $\text{Node } ts < \text{Node } (rs@ts) \longleftrightarrow rs \neq []$   
 ⟨proof⟩

**lemma** *tree-le-append*:  $\text{Node } ts \leq \text{Node } (ss@ts)$   
 ⟨proof⟩

**lemma** *tree-less-singleton-iff[simp]*:  $\text{Node } (ts@[t]) < \text{Node } [r] \longleftrightarrow t < r$   
 ⟨proof⟩

**lemma** *tree-le-singleton-iff[simp]*:  $\text{Node } (ts@[t]) \leq \text{Node } [r] \longleftrightarrow t < r \vee (t = r \wedge ts = [])$   
 ⟨proof⟩

**lemma** *lexord-tree-nested*:  $\text{lexord-tree } t (\text{Node } [t])$   
 ⟨proof⟩

**lemma** *tree-less-nested*:  $t < \text{Node } [t]$   
 ⟨proof⟩

**lemma** *tree-le-nested*:  $t \leq \text{Node } [t]$   
 ⟨proof⟩

**lemma** *lexord-tree-iff*:  
 $\text{lexord-tree } t r \longleftrightarrow (\exists ts t' ss rs r'. t = \text{Node } (ss @ t' \# ts) \wedge r = \text{Node } (ss @ r' \# rs) \wedge \text{lexord-tree } t' r') \vee (\exists ts rs. rs \neq [] \wedge t = \text{Node } ts \wedge r = \text{Node } (ts @ rs))$   
 (is ?l  $\longleftrightarrow$  ?r)  
 ⟨proof⟩

**lemma** *tree-less-iff*:  $t < r \longleftrightarrow (\exists ts t' ss rs r'. t = \text{Node } (ts @ t' \# ss) \wedge r = \text{Node } (rs @ r' \# ss) \wedge t' < r') \vee (\exists ts rs. rs \neq [] \wedge t = \text{Node } ts \wedge r = \text{Node } (rs @ ts))$  (is ?l  $\longleftrightarrow$  ?r)  
 ⟨proof⟩

**lemma** *tree-empty-cons-lt-le*:  $r < \text{Node } (\text{Node } [] \# ts) \implies r \leq \text{Node } ts$   
 ⟨proof⟩

**fun** *regular* ::  $\text{tree} \Rightarrow \text{bool}$  **where**  
 $\text{regular } (\text{Node } ts) \longleftrightarrow \text{sorted } ts \wedge (\forall t \in \text{set } ts. \text{regular } t)$

**definition** *n-trees* ::  $\text{nat} \Rightarrow \text{tree set}$  **where**  
 $n\text{-trees } n = \{t. \text{tree-size } t = n\}$

**definition** *regular-n-trees* ::  $\text{nat} \Rightarrow \text{tree set}$  **where**  
 $\text{regular-n-trees } n = \{t. \text{tree-size } t = n \wedge \text{regular } t\}$

### 3.1 Rooted Graphs

**type-synonym**  $'a$  *rpregraph* = ( $'a$  *set*)  $\times$  ( $'a$  *edge set*)  $\times$   $'a$

**locale** *rgraph* = *graph-system* +  
**fixes**  $r$   
**assumes** *root-wf*:  $r \in V$

**locale** *rtree* = *tree* + *rgraph*  
**begin**

**definition** *subtrees* ::  $'a$  *rpregraph set* **where**

*subtrees* =  
 (let ( $V', E'$ ) = *remove-vertex*  $r$   
 in ( $\lambda C. (C, \text{graph-system.induced-edges } E' C, \text{THE } r'. r' \in C \wedge \text{vert-adj } r r')$ ))  
 $'\text{ulgraph.connected-components } V' E'$ )

**lemma** *rtree-subtree*:

**assumes** *subtree*: ( $S, E_S, r_S$ )  $\in$  *subtrees*  
**shows** *rtree*  $S E_S r_S$   
 $\langle$ *proof* $\rangle$

**lemma** *finite-subtrees*: *finite subtrees*

$\langle$ *proof* $\rangle$

**lemma** *remove-root-subtrees*:

**assumes** *remove-vertex*: *remove-vertex*  $r = (V', E')$   
**and** *conn-component*:  $C \in \text{ulgraph.connected-components } V' E'$   
**shows** *rtree*  $C (\text{graph-system.induced-edges } E' C) (\text{THE } r'. r' \in C \wedge \text{vert-adj } r r')$   
 $r'$   
 $\langle$ *proof* $\rangle$

**end**

### 3.2 Rooted Graph Isomorphism

**fun** *app-rgraph-isomorphism* :: ( $'a \Rightarrow 'b$ )  $\Rightarrow$   $'a$  *rpregraph*  $\Rightarrow$   $'b$  *rpregraph* **where**  
*app-rgraph-isomorphism*  $f (V, E, r) = (f ' V, ((') f) ' E, f r)$

**locale** *rgraph-isomorphism* =

$G: \text{rgraph } V_G E_G r_G + \text{graph-isomorphism } V_G E_G V_H E_H f$  **for**  $V_G E_G r_G$   
 $V_H E_H r_H f +$   
**assumes** *root-preserving*:  $f r_G = r_H$

**begin**

**interpretation** *H*: *graph-system*  $V_H E_H$   $\langle$ *proof* $\rangle$

**lemma** *rgraph-H*: *rgraph*  $V_H E_H r_H$

$\langle$ *proof* $\rangle$

**interpretation**  $H$ :  $rgraph\ V_H\ E_H\ r_H\ \langle proof \rangle$

**lemma**  $rgraph\text{-}isomorphism\text{-}inv$ :  $rgraph\text{-}isomorphism\ V_H\ E_H\ r_H\ V_G\ E_G\ r_G\ inv\text{-}iso\ \langle proof \rangle$

**end**

**fun**  $rgraph\text{-}isomorph$  ::  $'a\ rpregraph \Rightarrow 'b\ rpregraph \Rightarrow bool$  (**infix**  $\simeq_r$  50) **where**  
 $(V_G, E_G, r_G) \simeq_r (V_H, E_H, r_H) \iff (\exists f. rgraph\text{-}isomorphism\ V_G\ E_G\ r_G\ V_H\ E_H\ r_H\ f)$

**lemma** (**in**  $rgraph$ )  $rgraph\text{-}isomorphism\text{-}id$ :  $rgraph\text{-}isomorphism\ V\ E\ r\ V\ E\ r\ id\ \langle proof \rangle$

**lemma** (**in**  $rgraph$ )  $rgraph\text{-}isomorph\text{-}refl$ :  $(V, E, r) \simeq_r (V, E, r)\ \langle proof \rangle$

**lemma**  $rgraph\text{-}isomorph\text{-}sym$ :  $G \simeq_r H \implies H \simeq_r G\ \langle proof \rangle$

**lemma**  $rgraph\text{-}isomorphism\text{-}trans$ :  $rgraph\text{-}isomorphism\ V_G\ E_G\ r_G\ V_H\ E_H\ r_H\ f \implies rgraph\text{-}isomorphism\ V_H\ E_H\ r_H\ V_F\ E_F\ r_F\ g \implies rgraph\text{-}isomorphism\ V_G\ E_G\ r_G\ V_F\ E_F\ r_F\ (g\ o\ f)\ \langle proof \rangle$

**lemma**  $rgraph\text{-}isomorph\text{-}trans$ :  $transp\ (\simeq_r)\ \langle proof \rangle$

**lemma** (**in**  $rtree$ )  $rgraph\text{-}isomorph\text{-}app\text{-}iso$ :  $inj\text{-}on\ f\ V \implies app\text{-}rgraph\text{-}isomorphism\ f\ (V, E, r) = (V', E', r') \implies rgraph\text{-}isomorphism\ V\ E\ r\ V'\ E'\ r'\ f\ \langle proof \rangle$

**lemma** (**in**  $rtree$ )  $rgraph\text{-}isomorph\text{-}app\text{-}iso$ :  $inj\text{-}on\ f\ V \implies (V, E, r) \simeq_r app\text{-}rgraph\text{-}isomorphism\ f\ (V, E, r)\ \langle proof \rangle$

### 3.3 Conversion between unlabeled, ordered, rooted trees and tree graphs

**datatype**  $'a\ ltree = LNode\ 'a\ 'a\ ltree\ list$

**fun**  $ltree\text{-}size$  ::  $'a\ ltree \Rightarrow nat$  **where**  
 $ltree\text{-}size\ (LNode\ r\ ts) = Suc\ (\sum\ t \leftarrow ts. ltree\text{-}size\ t)$

**fun**  $root\text{-}ltree$  ::  $'a\ ltree \Rightarrow 'a$  **where**  
 $root\text{-}ltree\ (LNode\ r\ ts) = r$

**fun**  $nodes\text{-}ltree$  ::  $'a\ ltree \Rightarrow 'a\ set$  **where**

$nodes\text{-}ltree (LNode\ r\ ts) = \{r\} \cup (\bigcup_{t \in set\ ts} nodes\text{-}ltree\ t)$

**fun** *relabel-ltree* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a ltree  $\Rightarrow$  'b ltree **where**  
*relabel-ltree* f (LNode r ts) = LNode (f r) (map (relabel-ltree f) ts)

**fun** *distinct-ltree-nodes* :: 'a ltree  $\Rightarrow$  bool **where**  
*distinct-ltree-nodes* (LNode a ts)  $\longleftrightarrow$  ( $\forall t \in set\ ts. a \notin nodes\text{-}ltree\ t$ )  $\wedge$  *distinct* ts  
 $\wedge$  *disjoint-family-on* nodes-ltree (set ts)  $\wedge$  ( $\forall t \in set\ ts. distinct\text{-}ltree\text{-}nodes\ t$ )

**fun** *postorder-label-aux* :: nat  $\Rightarrow$  tree  $\Rightarrow$  nat  $\times$  nat ltree **where**  
*postorder-label-aux* n (Node []) = (n, LNode n [])  
| *postorder-label-aux* n (Node (t#ts)) =  
(let (n', t') = *postorder-label-aux* n t in  
case *postorder-label-aux* (Suc n') (Node ts) of  
(n'', LNode r ts')  $\Rightarrow$  (n'', LNode r (t'#ts')))

**definition** *postorder-label* :: tree  $\Rightarrow$  nat ltree **where**  
*postorder-label* t = snd (*postorder-label-aux* 0 t)

**fun** *tree-ltree* :: 'a ltree  $\Rightarrow$  tree **where**  
*tree-ltree* (LNode r ts) = Node (map *tree-ltree* ts)

**fun** *regular-ltree* :: 'a ltree  $\Rightarrow$  bool **where**  
*regular-ltree* (LNode r ts)  $\longleftrightarrow$  *sorted-wrt* ( $\lambda t\ s. tree\text{-}ltree\ t \leq tree\text{-}ltree\ s$ ) ts  $\wedge$   
( $\forall t \in set\ ts. regular\text{-}ltree\ t$ )

**datatype** 'a stree = SNode 'a 'a stree fset

**lemma** *stree-size-child-lt*[*termination-simp*]: t  $\in$  ts  $\implies$  size t < Suc ( $\sum s \in fset\ ts. Suc\ (size\ s)$ )  
⟨*proof*⟩

**lemma** *stree-size-child-lt'*[*termination-simp*]: t  $\in$  fset ts  $\implies$  size t < Suc ( $\sum s \in fset\ ts. Suc\ (size\ s)$ )  
⟨*proof*⟩

**fun** *stree-size* :: 'a stree  $\Rightarrow$  nat **where**  
*stree-size* (SNode r ts) = Suc (fsum *stree-size* ts)

**definition** *n-strees* :: nat  $\Rightarrow$  'a stree set **where**  
*n-strees* n = {t. *stree-size* t = n}

**fun** *root-stree* :: 'a stree  $\Rightarrow$  'a **where**  
*root-stree* (SNode a ts) = a

**fun** *nodes-stree* :: 'a stree  $\Rightarrow$  'a set **where**  
*nodes-stree* (SNode a ts) = {a}  $\cup$  ( $\bigcup_{t \in fset\ ts} nodes\text{-}stree\ t$ )

**fun** *tree-graph-edges* :: 'a stree  $\Rightarrow$  'a edge set **where**



$tree-graph-edges (SNode a ts) = ((\lambda t. \{a, root-stree t\}) \text{ ' fset } ts) \cup (\bigcup t \in \text{fset } ts. tree-graph-edges t)$

**fun** *distinct-stree-nodes* :: 'a stree  $\Rightarrow$  bool **where**

*distinct-stree-nodes* (SNode a ts)  $\longleftrightarrow (\forall t \in \text{fset } ts. a \notin \text{nodes-stree } t) \wedge \text{dis-joint-family-on nodes-stree (fset } ts) \wedge (\forall t \in \text{fset } ts. \text{distinct-stree-nodes } t)$

**fun** *ltree-stree* :: 'a stree  $\Rightarrow$  'a ltree **where**

*ltree-stree* (SNode r ts) = LNode r (SOME xs. *fset-of-list* xs = *ltree-stree* | $\uparrow$  ts  $\wedge$  *distinct* xs  $\wedge$  *sorted-wrt* ( $\lambda t s. \text{tree-ltree } t \leq \text{tree-ltree } s$ ) xs)

**fun** *stree-ltree* :: 'a ltree  $\Rightarrow$  'a stree **where**

*stree-ltree* (LNode r ts) = SNode r (*fset-of-list* (map *stree-ltree* ts))

**definition** *tree-graph-stree* :: 'a stree  $\Rightarrow$  'a rpregraph **where**

*tree-graph-stree* t = (nodes-stree t, tree-graph-edges t, root-stree t)

**function** *stree-of-graph* :: 'a rpregraph  $\Rightarrow$  'a stree **where**

*stree-of-graph* (V,E,r) =  
 (if  $\neg \text{rtree } V E r$  then undefined else  
 SNode r (Abs-fset (*stree-of-graph* ' rtree.subtrees V E r)))  
 <proof>

**termination**

<proof>

**definition** *tree-graph* :: tree  $\Rightarrow$  nat rpregraph **where**

*tree-graph* t = *tree-graph-stree* (*stree-ltree* (*postorder-label* t))

**fun** *relabel-stree* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a stree  $\Rightarrow$  'b stree **where**

*relabel-stree* f (SNode r ts) = SNode (f r) ((*relabel-stree* f) | $\uparrow$  ts)

**lemma** *root-ltree-wf*: root-ltree t  $\in$  nodes-ltree t

<proof>

**lemma** *root-relabel-ltree[simp]*: root-ltree (*relabel-ltree* f t) = f (root-ltree t)

<proof>

**lemma** *nodes-relabel-ltree[simp]*: nodes-ltree (*relabel-ltree* f t) = f ' nodes-ltree t

<proof>

**lemma** *finite-nodes-ltree*: finite (nodes-ltree t)

<proof>

**lemma** *root-stree-wf*: root-stree t  $\in$  nodes-stree t

<proof>

**lemma** *tree-graph-edges-wf*: e  $\in$  tree-graph-edges t  $\implies$  e  $\subseteq$  nodes-stree t

<proof>

**lemma** *card-tree-graph-edges-distinct*:  $\text{distinct-stree-nodes } t \implies e \in \text{tree-graph-edges } t \implies \text{card } e = 2$   
 ⟨proof⟩

**lemma** *nodes-stree-non-empty*:  $\text{nodes-stree } t \neq \{\}$   
 ⟨proof⟩

**lemma** *finite-nodes-stree*:  $\text{finite } (\text{nodes-stree } t)$   
 ⟨proof⟩

**lemma** *finite-tree-graph-edges*:  $\text{finite } (\text{tree-graph-edges } t)$   
 ⟨proof⟩

**lemma** *root-relabel-stree[simp]*:  $\text{root-stree } (\text{relabel-stree } f t) = f (\text{root-stree } t)$   
 ⟨proof⟩

**lemma** *nodes-stree-relabel-stree[simp]*:  $\text{nodes-stree } (\text{relabel-stree } f t) = f \text{ ' nodes-stree } t$   
 ⟨proof⟩

**lemma** *tree-graph-edges-relabel-stree[simp]*:  $\text{tree-graph-edges } (\text{relabel-stree } f t) = ((\cdot) f) \text{ ' tree-graph-edges } t$   
 ⟨proof⟩

**lemma** *nodes-stree-ltree[simp]*:  $\text{nodes-stree } (\text{stree-ltree } t) = \text{nodes-ltree } t$   
 ⟨proof⟩

**lemma** *distinct-sorted-wrt-list*:  $\exists xs. \text{fset-of-list } xs = A \wedge \text{distinct } xs \wedge \text{sorted-wrt } (\lambda t s. (f t :: 'b::\text{linorder}) \leq f s) xs$   
 ⟨proof⟩

**abbreviation** *ltree-stree-subtrees*  $ts \equiv \text{SOME } xs. \text{fset-of-list } xs = \text{ltree-stree } |\cdot| ts \wedge \text{distinct } xs \wedge \text{sorted-wrt } (\lambda t s. \text{tree-ltree } t \leq \text{tree-ltree } s) xs$

**lemma** *fset-of-list-ltree-stree-subtrees[simp]*:  $\text{fset-of-list } (\text{ltree-stree-subtrees } ts) = \text{ltree-stree } |\cdot| ts$   
 ⟨proof⟩

**lemma** *set-ltree-stree-subtrees[simp]*:  $\text{set } (\text{ltree-stree-subtrees } ts) = \text{ltree-stree } \text{ ' fset } ts$   
 ⟨proof⟩

**lemma** *distinct-ltree-stree-subtrees*:  $\text{distinct } (\text{ltree-stree-subtrees } ts)$   
 ⟨proof⟩

**lemma** *sorted-wrt-ltree-stree-subtrees*:  $\text{sorted-wrt } (\lambda t s. \text{tree-ltree } t \leq \text{tree-ltree } s) (\text{ltree-stree-subtrees } ts)$   
 ⟨proof⟩

**lemma** *nodes-ltree-stree[simp]*:  $\text{nodes-ltree} (\text{ltree-stree } t) = \text{nodes-stree } t$   
*<proof>*

**lemma** *stree-ltree-stree[simp]*:  $\text{stree-ltree} (\text{ltree-stree } t) = t$   
*<proof>*

**lemma** *nodes-tree-graph-stree*:  $\text{tree-graph-stree } t = (V, E, r) \implies V = \text{nodes-stree } t$   
*<proof>*

**lemma** *relabel-stree-stree-ltree*:  $\text{relabel-stree } f (\text{stree-ltree } t) = \text{stree-ltree} (\text{relabel-ltree } f t)$   
*<proof>*

**lemma** *relabel-stree-relabel-ltree*:  $\text{relabel-ltree } f t1 = t2 \implies \text{relabel-stree } f (\text{stree-ltree } t1) = \text{stree-ltree } t2$   
*<proof>*

**lemma** *app-rgraph-iso-tree-graph-stree*:  $\text{app-rgraph-isomorphism } f (\text{tree-graph-stree } t) = \text{tree-graph-stree} (\text{relabel-stree } f t)$   
*<proof>*

**lemma** (**in** *rtree*) *root-stree-of-graph[simp]*:  $\text{root-stree} (\text{stree-of-graph } (V, E, r)) = r$   
*<proof>*

**lemma** (**in** *rtree*) *nodes-stree-stree-of-graph[simp]*:  $\text{nodes-stree} (\text{stree-of-graph } (V, E, r)) = V$   
*<proof>*

**lemma** (**in** *rtree*) *tree-graph-edges-stree-of-graph[simp]*:  $\text{tree-graph-edges} (\text{stree-of-graph } (V, E, r)) = E$   
*<proof>*

**lemma** (**in** *rtree*) *tree-graph-stree-of-graph[simp]*:  $\text{tree-graph-stree} (\text{stree-of-graph } (V, E, r)) = (V, E, r)$   
*<proof>*

**lemma** *postorder-label-aux-mono*:  $\text{fst} (\text{postorder-label-aux } n t) \geq n$   
*<proof>*

**lemma** *nodes-postorder-label-aux-ge*:  $\text{postorder-label-aux } n t = (n', t') \implies v \in \text{nodes-ltree } t' \implies v \geq n$   
*<proof>*

**lemma** *nodes-postorder-label-aux-le*:  $\text{postorder-label-aux } n t = (n', t') \implies v \in \text{nodes-ltree } t' \implies v \leq n'$

*<proof>*

**lemma** *distinct-nodes-postorder-label-aux: distinct-ltree-nodes (snd (postorder-label-aux n t))*  
*<proof>*

**lemma** *distinct-nodes-postorder-label: distinct-ltree-nodes (postorder-label t)*  
*<proof>*

**lemma** *distinct-nodes-stree-ltree: distinct-ltree-nodes t  $\implies$  distinct-stree-nodes (stree-ltree t)*  
*<proof>*

**fun** *distinct-edges :: 'a stree  $\implies$  bool where*  
*distinct-edges (SNode a ts)  $\longleftrightarrow$  inj-on ( $\lambda t. \{a, \text{root-stree } t\}$ ) (fset ts)*  
 *$\wedge (\forall t \in \text{fset } ts. \text{disjnt } ((\lambda t. \{a, \text{root-stree } t\}) ' \text{fset } ts) (\text{tree-graph-edges } t))$*   
 *$\wedge \text{disjoint-family-on tree-graph-edges (fset ts)}$*   
 *$\wedge (\forall t \in \text{fset } ts. \text{distinct-edges } t)$*

**lemma** *distinct-nodes-inj-on-root-stree: distinct-stree-nodes (SNode r ts)  $\implies$  inj-on root-stree (fset ts)*  
*<proof>*

**lemma** *distinct-nodes-disjoint-edges:*  
**assumes** *distinct-nodes: distinct-stree-nodes (SNode a ts)*  
**shows** *disjoint-family-on tree-graph-edges (fset ts)*  
*<proof>*

**lemma** *card-nodes-edges: distinct-stree-nodes t  $\implies$  card (nodes-stree t) = Suc (card (tree-graph-edges t))*  
*<proof>*

**lemma** *tree-tree-graph-edges: distinct-stree-nodes t  $\implies$  tree (nodes-stree t) (tree-graph-edges t)*  
*<proof>*

**lemma** *rtree-tree-graph-edges:*  
**assumes** *distinct-nodes: distinct-stree-nodes t*  
**shows** *rtree (nodes-stree t) (tree-graph-edges t) (root-stree t)*  
*<proof>*

**lemma** *rtree-tree-graph-stree: distinct-stree-nodes t  $\implies$  tree-graph-stree t = (V,E,r)  $\implies$  rtree V E r*  
*<proof>*

**lemma** *rtree-tree-graph: tree-graph t = (V,E,r)  $\implies$  rtree V E r*  
*<proof>*

Cardinality of the resulting rooted tree is correct

**lemma** *ltree-size-postorder-label-aux*:  $ltree\text{-}size\ (snd\ (postorder\text{-}label\text{-}aux\ n\ t)) = tree\text{-}size\ t$   
 ⟨proof⟩

**lemma** *ltree-size-postorder-label*:  $ltree\text{-}size\ (postorder\text{-}label\ t) = tree\text{-}size\ t$   
 ⟨proof⟩

**lemma** *distinct-nodes-ltree-size-card-nodes*:  $distinct\text{-}ltree\text{-}nodes\ t \implies ltree\text{-}size\ t = card\ (nodes\text{-}ltree\ t)$   
 ⟨proof⟩

**lemma** *distinct-nodes-stree-size-card-nodes*:  $distinct\text{-}stree\text{-}nodes\ t \implies stree\text{-}size\ t = card\ (nodes\text{-}stree\ t)$   
 ⟨proof⟩

**lemma** *stree-size-stree-ltree*:  $distinct\text{-}ltree\text{-}nodes\ t \implies stree\text{-}size\ (stree\text{-}ltree\ t) = ltree\text{-}size\ t$   
 ⟨proof⟩

**lemma** *card-tree-graph-stree*:  $distinct\text{-}stree\text{-}nodes\ t \implies tree\text{-}graph\text{-}stree\ t = (V, E, r) \implies card\ V = stree\text{-}size\ t$   
 ⟨proof⟩

**lemma** *card-tree-graph*:  $tree\text{-}graph\ t = (V, E, r) \implies card\ V = tree\text{-}size\ t$   
 ⟨proof⟩

**lemma** *[termination-simp]*:  $(t, s) \in set\ (zip\ ts\ ss) \implies size\ t < Suc\ (size\text{-}list\ size\ ts)$   
 ⟨proof⟩

**fun** *obtain-ltree-isomorphism* ::  $'a\ ltree \Rightarrow 'b\ ltree \Rightarrow ('a \rightarrow 'b)$  **where**  
*obtain-ltree-isomorphism* (LNode r1 ts) (LNode r2 ss) = fold (++) (map2 *obtain-ltree-isomorphism* ts ss) [r1 ↦ r2]

**fun** *postorder-relabel-aux* ::  $nat \Rightarrow 'a\ ltree \Rightarrow nat \times (nat \rightarrow 'a)$  **where**  
*postorder-relabel-aux* n (LNode r []) = (n, [n ↦ r])  
 | *postorder-relabel-aux* n (LNode r (t#ts)) =  
 (let (n', f<sub>t</sub>) = *postorder-relabel-aux* n t;  
     (n'', f<sub>ts</sub>) = *postorder-relabel-aux* (Suc n') (LNode r ts) in  
   (n'', f<sub>t</sub> ++ f<sub>ts</sub>))

**definition** *postorder-relabel* ::  $'a\ ltree \Rightarrow (nat \rightarrow 'a)$  **where**  
*postorder-relabel* t = snd (*postorder-relabel-aux* 0 t)

**lemma** *fst-postorder-label-aux-tree-ltree*:  $fst\ (postorder\text{-}label\text{-}aux\ n\ (tree\text{-}ltree\ t)) = fst\ (postorder\text{-}relabel\text{-}aux\ n\ t)$   
 ⟨proof⟩

**lemma** *dom-postorder-relabel-aux*:  $\text{dom} (\text{snd} (\text{postorder-relabel-aux } n \ t)) = \text{nodes-ltree} (\text{snd} (\text{postorder-label-aux } n \ (\text{tree-ltree } t)))$   
 ⟨proof⟩

**lemma** *ran-postorder-relabel-aux*:  $\text{ran} (\text{snd} (\text{postorder-relabel-aux } n \ t)) = \text{nodes-ltree } t$   
 ⟨proof⟩

**lemma** *relabel-ltree-eq*:  $\forall v \in \text{nodes-ltree } t. f \ v = g \ v \implies \text{relabel-ltree } f \ t = \text{relabel-ltree } g \ t$   
 ⟨proof⟩

**lemma** *relabel-postorder-relabel-aux*:  $\text{relabel-ltree} (\text{the } o \ \text{snd} (\text{postorder-relabel-aux } n \ t)) (\text{snd} (\text{postorder-label-aux } n \ (\text{tree-ltree } t))) = t$   
 ⟨proof⟩

**lemma** *relabel-postorder-relabel*:  $\text{relabel-ltree} (\text{the } o \ \text{postorder-relabel } t) (\text{postorder-label} (\text{tree-ltree } t)) = t$   
 ⟨proof⟩

**lemma** *relabel-postorder-aux-inj*:  $\text{distinct-ltree-nodes } t \implies \text{inj-on} (\text{the } o \ \text{snd} (\text{postorder-relabel-aux } n \ t)) (\text{nodes-ltree} (\text{snd} (\text{postorder-label-aux } n \ (\text{tree-ltree } t))))$   
 ⟨proof⟩

**lemma** *relabel-postorder-inj*:  $\text{distinct-ltree-nodes } t \implies \text{inj-on} (\text{the } o \ \text{postorder-relabel } t) (\text{nodes-ltree} (\text{postorder-label} (\text{tree-ltree } t)))$   
 ⟨proof⟩

**lemma** (*in rtree*) *distinct-nodes-stree-of-graph*:  $\text{distinct-stree-nodes} (\text{stree-of-graph} (V, E, r))$   
 ⟨proof⟩

**lemma** *disintct-nodes-ltree-stree*:  $\text{distinct-stree-nodes } t \implies \text{distinct-ltree-nodes} (\text{ltree-stree } t)$   
 ⟨proof⟩

**lemma** (*in rtree*) *tree-graph-tree-of-graph*:  $\text{tree-graph} (\text{tree-ltree} (\text{ltree-stree} (\text{stree-of-graph} (V, E, r)))) \simeq_r (V, E, r)$   
 ⟨proof⟩

**lemma** (*in rtree*) *stree-size-stree-of-graph[simp]*:  $\text{stree-size} (\text{stree-of-graph} (V, E, r)) = \text{card } V$   
 ⟨proof⟩

**lemma** *inj-ltree-stree*:  $\text{inj } \text{ltree-stree}$   
 ⟨proof⟩

**lemma** *ltree-size-ltree-stree[simp]*:  $\text{ltree-size} (\text{ltree-stree } t) = \text{stree-size } t$   
 ⟨proof⟩

**lemma** *tree-size-tree-ltree[simp]*:  $\text{tree-size } (\text{tree-ltree } t) = \text{ltree-size } t$   
 ⟨proof⟩

**lemma** *regular-ltree-stree*:  $\text{regular-ltree } (\text{ltree-stree } t)$   
 ⟨proof⟩

**lemma** *regular-tree-ltree*:  $\text{regular-ltree } t \implies \text{regular } (\text{tree-ltree } t)$   
 ⟨proof⟩

**lemma** (in *rtree*) *tree-of-graph-regular-n-tree*:  $\text{tree-ltree } (\text{ltree-stree } (\text{stree-of-graph } (V, E, r))) \in \text{regular-n-trees } (\text{card } V)$  (is ? $t \in ?A$ )  
 ⟨proof⟩

**lemma** (in *rtree*) *ex-regular-n-tree*:  $\exists t \in \text{regular-n-trees } (\text{card } V). \text{tree-graph } t \simeq_r (V, E, r)$   
 ⟨proof⟩

### 3.4 Injectivity with respect to isomorphism

**lemma** *app-rgraph-isomorphism-relabel-stree*:  $\text{app-rgraph-isomorphism } f (\text{tree-graph-stree } t) = \text{tree-graph-stree } (\text{relabel-stree } f t)$   
 ⟨proof⟩

Lemmas relating the connected components of the tree graph with the root removed to the subtrees of an stree.

**context**

**fixes**  $t \ r \ ts \ V' \ E'$

**assumes**  $t: t = \text{SNode } r \ ts$

**assumes** *distinct-nodes*:  $\text{distinct-stree-nodes } t$

**and** *remove-vertex*:  $\text{graph-system.remove-vertex } (\text{nodes-stree } t) (\text{tree-graph-edges } t) \ r = (V', E')$

**begin**

**interpretation**  $t: \text{rtree nodes-stree } t \ \text{tree-graph-edges } t \ r$  ⟨proof⟩

**interpretation** *subg*:  $\text{ulsubgraph } V' \ E' \ \text{nodes-stree } t \ \text{tree-graph-edges } t$  ⟨proof⟩

**interpretation**  $g'$ :  $\text{ulgraph } V' \ E'$  ⟨proof⟩

**lemma** *neighborhood-root*:  $t.\text{neighborhood } r = \text{root-stree } \text{'fset } ts$   
 ⟨proof⟩

**lemma**  $V'$ :  $V' = \text{nodes-stree } t - \{r\}$   
 ⟨proof⟩

**lemma**  $E'$ :  $E' = \bigcup (\text{tree-graph-edges } \text{'fset } ts)$   
 ⟨proof⟩

**lemma** *subtrees-not-connected*:  
**assumes** *s-in-ts*:  $s \in \text{fset } ts$   
**and**  $e: \{u, v\} \in E'$   
**and** *u-in-s*:  $u \in \text{nodes-stree } s$   
**shows**  $v \in \text{nodes-stree } s$   
 $\langle \text{proof} \rangle$

**lemma** *subtree-connected-components*:  
**assumes** *s-in-ts*:  $s \in \text{fset } ts$   
**shows**  $\text{nodes-stree } s \in g'.\text{connected-components}$   
 $\langle \text{proof} \rangle$

**lemma** *connected-components-subtrees*:  $g'.\text{connected-components} = \text{nodes-stree } \text{' fset } ts$   
 $\langle \text{proof} \rangle$

**lemma** *induced-edges-subtree*:  
**assumes** *s-in-ts*:  $s \in \text{fset } ts$   
**shows**  $\text{graph-system.induced-edges } E' (\text{nodes-stree } s) = \text{tree-graph-edges } s$   
 $\langle \text{proof} \rangle$

**lemma** *root-subtree*:  
**assumes** *s-in-ts*:  $s \in \text{fset } ts$   
**shows**  $(\text{THE } r'. r' \in (\text{nodes-stree } s) \wedge t.\text{vert-adj } r r') = \text{root-stree } s$   
 $\langle \text{proof} \rangle$

**lemma** *subtrees-tree-subtrees*:  $t.\text{subtrees} = \text{tree-graph-stree } \text{' fset } ts$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *stree-of-graph-tree-graph-stree[simp]*:  $\text{distinct-stree-nodes } t \implies \text{stree-of-graph } (\text{tree-graph-stree } t) = t$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-nodes-relabel*:  $\text{distinct-stree-nodes } t \implies \text{inj-on } f (\text{nodes-stree } t) \implies \text{distinct-stree-nodes } (\text{relabel-stree } f t)$   
 $\langle \text{proof} \rangle$

**lemma** *relabel-stree-app-rgraph-isomorphism*:  
**assumes** *distinct-stree-nodes*  $t$   
**and** *inj-on*  $f (\text{nodes-stree } t)$   
**shows**  $\text{relabel-stree } f t = \text{stree-of-graph } (\text{app-rgraph-isomorphism } f (\text{tree-graph-stree } t))$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *rgraph-isomorphism*) *app-rgraph-isomorphism-G*:  $\text{app-rgraph-isomorphism } f (V_G, E_G, r_G) = (V_H, E_H, r_H)$   
 $\langle \text{proof} \rangle$



**lemma** *tree-graphs-iso-strees-iso*:  
**assumes** *tree-graph-stree*  $t1 \simeq_r$  *tree-graph-stree*  $t2$   
**and** *distinct-t1*: *distinct-stree-nodes*  $t1$   
**and** *distinct-t2*: *distinct-stree-nodes*  $t2$   
**shows**  $\exists f. \text{inj-on } f \text{ (nodes-stree } t1) \wedge \text{relabel-stree } f \text{ } t1 = t2$   
 $\langle \text{proof} \rangle$

Skip the ltree representation as it introduces complications with the proofs

**fun** *tree-stree* :: 'a stree  $\Rightarrow$  tree **where**  
*tree-stree* (SNode  $r$   $ts$ ) = Node (sorted-list-of-multiset (image-mset *tree-stree* (mset-set (fset  $ts$ ))))

**fun** *postorder-label-stree-aux* :: nat  $\Rightarrow$  tree  $\Rightarrow$  nat  $\times$  nat stree **where**  
*postorder-label-stree-aux*  $n$  (Node []) = ( $n$ , SNode  $n$  {||})  
| *postorder-label-stree-aux*  $n$  (Node ( $t\#ts$ )) =  
(let ( $n'$ ,  $t'$ ) = *postorder-label-stree-aux*  $n$   $t$  in  
case *postorder-label-stree-aux* (Suc  $n'$ ) (Node  $ts$ ) of  
( $n''$ , SNode  $r$   $ts'$ )  $\Rightarrow$  ( $n''$ , SNode  $r$  (finsert  $t'$   $ts'$ )))

**definition** *postorder-label-stree* :: tree  $\Rightarrow$  nat stree **where**  
*postorder-label-stree*  $t$  = snd (*postorder-label-stree-aux* 0  $t$ )

**lemma** *fst-postorder-label-stree-aux-eq*: *fst* (*postorder-label-stree-aux*  $n$   $t$ ) = *fst* (*postorder-label-aux*  $n$   $t$ )  
 $\langle \text{proof} \rangle$

**lemma** *postorder-label-stree-aux-eq*: snd (*postorder-label-stree-aux*  $n$   $t$ ) = *stree-ltree* (snd (*postorder-label-aux*  $n$   $t$ ))  
 $\langle \text{proof} \rangle$

**lemma** *postorder-label-stree-eq*: *postorder-label-stree*  $t$  = *stree-ltree* (*postorder-label*  $t$ )  
 $\langle \text{proof} \rangle$

**lemma** *postorder-label-stree-aux-mono*: *fst* (*postorder-label-stree-aux*  $n$   $t$ )  $\geq n$   
 $\langle \text{proof} \rangle$

**lemma** *nodes-postorder-label-stree-aux-ge*: *postorder-label-stree-aux*  $n$   $t$  = ( $n'$ ,  $t'$ )  
 $\Longrightarrow v \in \text{nodes-stree } t' \Longrightarrow v \geq n$   
 $\langle \text{proof} \rangle$

**lemma** *nodes-postorder-label-stree-aux-le*: *postorder-label-stree-aux*  $n$   $t$  = ( $n'$ ,  $t'$ )  
 $\Longrightarrow v \in \text{nodes-stree } t' \Longrightarrow v \leq n'$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-nodes-postorder-label-stree-aux*: *distinct-stree-nodes* (snd (*postorder-label-stree-aux*  $n$   $t$ ))  
 $\langle \text{proof} \rangle$

**lemma** *distinct-nodes-postorder-label-stree*: *distinct-stree-nodes* (*postorder-label-stree*  $t$ )  
 ⟨*proof*⟩

**lemma** *tree-stree-postorder-label-stree-aux*: *regular*  $t \implies \text{tree-stree} (\text{snd} (\text{postorder-label-stree-aux}$   $n\ t))) = t$   
 ⟨*proof*⟩

**lemma** *tree-ltree-postorder-label-stree[simp]*: *regular*  $t \implies \text{tree-stree} (\text{postorder-label-stree}$   $t) = t$   
 ⟨*proof*⟩

**lemma** *inj-relabel-subtrees*:  
**assumes** *distinct-nodes*: *distinct-stree-nodes* (*SNode*  $r\ ts$ )  
**and** *inj-on-nodes*: *inj-on*  $f$  (*nodes-stree* (*SNode*  $r\ ts$ ))  
**shows** *inj-on* (*relabel-stree*  $f$ ) (*fset*  $ts$ )  
 ⟨*proof*⟩

**lemma** *inj-on-subtree*: *inj-on*  $f$  (*nodes-stree* (*SNode*  $r\ ts$ ))  $\implies t \in \text{fset } ts \implies \text{inj-on}$   $f$  (*nodes-stree*  $t$ )  
 ⟨*proof*⟩

**lemma** *tree-stree-relabel-stree*: *distinct-stree-nodes*  $t \implies \text{inj-on } f$  (*nodes-stree*  $t$ )  $\implies \text{tree-stree} (\text{relabel-stree } f\ t) = \text{tree-stree } t$   
 ⟨*proof*⟩

**lemma** *tree-ltree-relabel-ltree-postorder-label-stree*: *regular*  $t \implies \text{inj-on } f$  (*nodes-stree* (*postorder-label-stree*  $t$ ))  $\implies \text{tree-stree} (\text{relabel-stree } f (\text{postorder-label-stree } t)) = t$   
 ⟨*proof*⟩

**lemma** *postorder-label-stree-inj*: *regular*  $t1 \implies \text{regular } t2 \implies \text{inj-on } f$  (*nodes-stree* (*postorder-label-stree*  $t1$ ))  $\implies \text{relabel-stree } f$  (*postorder-label-stree*  $t1$ ) = *postorder-label-stree*  $t2 \implies t1 = t2$   
 ⟨*proof*⟩

**lemma** *tree-graph-inj-iso*: *regular*  $t1 \implies \text{regular } t2 \implies \text{tree-graph } t1 \simeq_r \text{tree-graph } t2 \implies t1 = t2$   
 ⟨*proof*⟩

**lemma** *tree-graph-inj*:  
**assumes** *regular-t1*: *regular*  $t1$   
**and** *regular-t2*: *regular*  $t2$   
**and** *tree-graph-eq*: *tree-graph*  $t1 = \text{tree-graph } t2$   
**shows**  $t1 = t2$   
 ⟨*proof*⟩

**end**

## 4 Enumeration of Rooted Trees

```

theory Rooted-Tree-Enumeration
  imports Rooted-Tree
begin

```

Algorithm inspired by works of Beyer and Hedetniemi [1], performing the same operations but directly on a recursive tree data structure instead of level sequences.

```

definition n-rtree-graphs :: nat ⇒ nat rpregraph set where
  n-rtree-graphs n = {(V,E,r). rtree V E r ∧ card V = n}

```

Recursive definition on the tree structure without using level sequences

```

fun trim-tree :: nat ⇒ tree ⇒ nat × tree where
  trim-tree 0 t = (0, t)
| trim-tree (Suc 0) t = (0, Node [])
| trim-tree (Suc n) (Node []) = (n, Node [])
| trim-tree n (Node (t#ts)) =
  (case trim-tree n (Node ts) of
   (0, t') ⇒ (0, t') |
   (n1, Node ts') ⇒
    let (n2, t') = trim-tree n1 t
    in (n2, Node (t'#ts')))

```

```

lemma fst-trim-tree-lt[termination-simp]: n ≠ 0 ⇒ fst (trim-tree n t) < n
  <proof>

```

```

fun fill-tree :: nat ⇒ tree ⇒ tree list where
  fill-tree 0 - = []
| fill-tree n t =
  (let (n', t') = trim-tree n t
   in fill-tree n' t' @ [t'])

```

```

fun next-tree-aux :: nat ⇒ tree ⇒ tree option where
  next-tree-aux n (Node []) = None
| next-tree-aux n (Node (Node [] # ts)) = next-tree-aux (Suc n) (Node ts)
| next-tree-aux n (Node (Node (Node [] # rs) # ts)) = Some (Node (fill-tree (Suc n) (Node rs) @ (Node rs) # ts))
| next-tree-aux n (Node (t # ts)) = Some (Node (the (next-tree-aux n t) # ts))

```

```

fun next-tree :: tree ⇒ tree option where
  next-tree t = next-tree-aux 0 t

```

```

lemma next-tree-aux-None-iff: next-tree-aux n t = None ⇔ height t < 2
  <proof>

```

```

lemma next-tree-Some-iff: (∃ t'. next-tree t = Some t') ⇔ height t ≥ 2
  <proof>

```

## 4.1 Enumeration is monotonically decreasing

**lemma** *trim-id*:  $\text{trim-tree } n \ t = (\text{Suc } n', t') \implies t = t'$   
(proof)

**lemma** *trim-tree-le*:  $(n', t') = \text{trim-tree } n \ t \implies t' \leq t$   
(proof)

**lemma** *fill-tree-le*:  $r \in \text{set } (\text{fill-tree } n \ t) \implies r \leq t$   
(proof)

**lemma** *next-tree-aux-lt*:  $\text{height } t \geq 2 \implies \text{the } (\text{next-tree-aux } n \ t) < t$   
(proof)

**lemma** *next-tree-lt*:  $\text{height } t \geq 2 \implies \text{the } (\text{next-tree } t) < t$   
(proof)

**lemma** *next-tree-lt'*:  $\text{next-tree } t = \text{Some } t' \implies t' < t$   
(proof)

## 4.2 Size preservation

**lemma** *size-trim-tree*:  $n \neq 0 \implies \text{trim-tree } n \ t = (n', t') \implies n' + \text{tree-size } t' = n$   
(proof)

**lemma** *size-fill-tree*:  $\text{sum-list } (\text{map } \text{tree-size } (\text{fill-tree } n \ t)) = n$   
(proof)

**lemma** *size-next-tree-aux*:  $\text{height } t \geq 2 \implies \text{tree-size } (\text{the } (\text{next-tree-aux } n \ t)) = \text{tree-size } t + n$   
(proof)

**lemma** *size-next-tree*:  $\text{height } t \geq 2 \implies \text{tree-size } (\text{the } (\text{next-tree } t)) = \text{tree-size } t$   
(proof)

**lemma** *size-next-tree'*:  $\text{next-tree } t = \text{Some } t' \implies \text{tree-size } t' = \text{tree-size } t$   
(proof)

## 4.3 Setup for termination proof

**definition** *lt-n-trees*  $n \equiv \{t. \text{tree-size } t \leq n\}$

**lemma** *n-trees-eq*:  $n\text{-trees } n = \text{Node } \{ts. \text{tree-size } (\text{Node } ts) = n\}$   
(proof)

**lemma** *lt-n-trees-eq*:  $lt\text{-n-trees } (\text{Suc } n) = \text{Node } \{ts. \text{tree-size } (\text{Node } ts) \leq \text{Suc } n\}$   
(proof)

**lemma** *finite-lt-n-trees*:  $\text{finite } (lt\text{-n-trees } n)$   
(proof)

**lemma** *n-trees-subset-lt-n-trees*:  $n\text{-trees } n \subseteq \text{lt-}n\text{-trees } n$   
*<proof>*

**lemma** *finite-n-trees*:  $\text{finite } (n\text{-trees } n)$   
*<proof>*

#### 4.4 Algorithms for enumeration

**fun** *greatest-tree* ::  $\text{nat} \Rightarrow \text{tree}$  **where**  
  *greatest-tree* (*Suc* 0) = *Node* []  
| *greatest-tree* (*Suc* n) = *Node* [*greatest-tree* n]

**function** *n-tree-enum-aux* ::  $\text{tree} \Rightarrow \text{tree list}$  **where**  
  *n-tree-enum-aux* t =  
  (*case next-tree t of* *None*  $\Rightarrow$  [t] | *Some* t'  $\Rightarrow$  t # *n-tree-enum-aux* t')  
*<proof>*

**fun** *n-tree-enum* ::  $\text{nat} \Rightarrow \text{tree list}$  **where**  
  *n-tree-enum* 0 = []  
| *n-tree-enum* n = *n-tree-enum-aux* (*greatest-tree* n)

**termination** *n-tree-enum-aux*  
*<proof>*

**definition** *n-rtree-graph-enum* ::  $\text{nat} \Rightarrow \text{nat rpregraph list}$  **where**  
  *n-rtree-graph-enum* n = *map tree-graph* (*n-tree-enum* n)

#### 4.5 Regularity

**lemma** *regular-trim-tree*:  $\text{regular } t \Longrightarrow \text{regular } (\text{snd } (\text{trim-tree } n \ t))$   
*<proof>*

**lemma** *regular-trim-tree'*:  $\text{regular } t \Longrightarrow (n', t') = \text{trim-tree } n \ t \Longrightarrow \text{regular } t'$   
*<proof>*

**lemma** *sorted-fill-tree*:  $\text{sorted } (\text{fill-tree } n \ t)$   
*<proof>*

**lemma** *regular-fill-tree*:  $\text{regular } t \Longrightarrow r \in \text{set } (\text{fill-tree } n \ t) \Longrightarrow \text{regular } r$   
*<proof>*

**lemma** *regular-next-tree-aux*:  $\text{regular } t \Longrightarrow \text{height } t \geq 2 \Longrightarrow \text{regular } (\text{the } (\text{next-tree-aux } n \ t))$   
*<proof>*

**lemma** *regular-next-tree*:  $\text{regular } t \Longrightarrow \text{height } t \geq 2 \Longrightarrow \text{regular } (\text{the } (\text{next-tree } t))$   
*<proof>*

**lemma** *regular-next-tree'*:  $\text{regular } t \Longrightarrow \text{next-tree } t = \text{Some } t' \Longrightarrow \text{regular } t'$

*<proof>*

**lemma** *regular-n-tree-enum-aux*:  $\text{regular } t \implies r \in \text{set } (n\text{-tree-enum-aux } t) \implies \text{regular } r$   
*<proof>*

**lemma** *regular-n-tree-greatest-tree*:  $n \neq 0 \implies \text{greatest-tree } n \in \text{regular-n-trees } n$   
*<proof>*

**lemma** *regular-n-tree-enum*:  $t \in \text{set } (n\text{-tree-enum } n) \implies \text{regular } t$   
*<proof>*

**lemma** *size-n-tree-enum-aux*:  $n \neq 0 \implies r \in \text{set } (n\text{-tree-enum-aux } t) \implies \text{tree-size } r = \text{tree-size } t$   
*<proof>*

**lemma** *size-greatest-tree[simp]*:  $n \neq 0 \implies \text{tree-size } (\text{greatest-tree } n) = n$   
*<proof>*

**lemma** *size-n-tree-enum*:  $t \in \text{set } (n\text{-tree-enum } n) \implies \text{tree-size } t = n$   
*<proof>*

## 4.6 Totality

**lemma** *set (n-tree-enum n)  $\subseteq$  regular-n-trees n*  
*<proof>*

**lemma** *greatest-tree-lt-Suc*:  $n \neq 0 \implies \text{greatest-tree } n < \text{greatest-tree } (\text{Suc } n)$   
*<proof>*

**lemma** *greatest-tree-ge*:  $\text{tree-size } t \leq n \implies t \leq \text{greatest-tree } n$   
*<proof>*

**fun** *least-tree* ::  $\text{nat} \Rightarrow \text{tree}$  **where**  
*least-tree (Suc n) = Node (replicate n (Node []))*

**lemma** *regular-n-tree-least-tree*:  $n \neq 0 \implies \text{least-tree } n \in \text{regular-n-trees } n$   
*<proof>*

**lemma** *height-lt-2-least-tree*:  $t \in \text{regular-n-trees } n \implies \text{height } t < 2 \implies t = \text{least-tree } n$   
*<proof>*

**lemma** *least-tree-le*:  $n \neq 0 \implies \text{tree-size } t \geq n \implies \text{least-tree } n \leq t$   
*<proof>*

**lemma** *trim-id'*:  $n \geq \text{tree-size } t \implies \text{trim-tree } n t = (n', t') \implies t' = t$   
*<proof>*

**lemma** *tree-ge-ll-suffix*:  $\text{Node } ts \leq r \implies r < \text{Node } (t\#ts) \implies \exists ss. r = \text{Node } (ss @ ts)$   
 ⟨proof⟩

**lemma** *trim-tree-0-iff*:  $\text{fst } (\text{trim-tree } n \ t) = 0 \iff n \leq \text{tree-size } t$   
 ⟨proof⟩

**lemma** *trim-tree-greatest-le*:  $\text{tree-size } r \leq n \implies r \leq t \implies r \leq \text{snd } (\text{trim-tree } n \ t)$   
 ⟨proof⟩

**lemma** *fill-tree-next-smallest*:  $\text{tree-size } (\text{Node } rs) \leq \text{Suc } n \implies \forall r \in \text{set } rs. r \leq t \implies \text{Node } rs \leq \text{Node } (\text{fill-tree } n \ t)$   
 ⟨proof⟩

**fun** *fill-twos* ::  $\text{nat} \Rightarrow \text{tree} \Rightarrow \text{tree}$  **where**  
*fill-twos*  $n$  ( $\text{Node } ts$ ) =  $\text{Node } (\text{replicate } n \ (\text{Node } [])) @ ts$

**lemma** *size-fill-twos*:  $\text{tree-size } (\text{fill-twos } n \ t) = n + \text{tree-size } t$   
 ⟨proof⟩

**lemma** *regular-fill-twos*:  $\text{regular } t \implies \text{regular } (\text{fill-twos } n \ t)$   
 ⟨proof⟩

**lemma** *fill-twos-ll*:  $n \neq 0 \implies t < \text{fill-twos } n \ t$   
 ⟨proof⟩

**lemma** *fill-twos-less*:  $r < \text{Node } (t\#ts) \implies t \neq \text{Node } [] \implies \text{fill-twos } n \ r < \text{Node } (t\#ts)$   
 ⟨proof⟩

**lemma** *next-tree-aux-successor*:  $\text{tree-size } r = \text{tree-size } t + n \implies \text{regular } r \implies r < t \implies \text{height } t \geq 2 \implies r \leq \text{the } (\text{next-tree-aux } n \ t)$   
 ⟨proof⟩

**lemma** *next-tree-successor*:  $\text{tree-size } r = \text{tree-size } t \implies \text{regular } r \implies r < t \implies \text{next-tree } t = \text{Some } t' \implies r \leq t'$   
 ⟨proof⟩

**lemma** *set-n-tree-enum-aux*:  $t \in \text{regular-n-trees } n \implies \text{set } (n\text{-tree-enum-aux } t) = \{r \in \text{regular-n-trees } n. r \leq t\}$   
 ⟨proof⟩

**theorem** *set-n-tree-enum*:  $\text{set } (n\text{-tree-enum } n) = \text{regular-n-trees } n$   
 ⟨proof⟩

**theorem** *n-rtree-graph-enum-n-rtree-graphs*:  $G \in \text{set } (n\text{-rtree-graph-enum } n) \implies G \in n\text{-rtree-graphs } n$

*<proof>*

**theorem** *n-rtree-graph-enum-surj*:

**assumes** *n-rtree-graph*:  $G \in n\text{-rtree-graphs } n$

**shows**  $\exists G' \in \text{set } (n\text{-rtree-graph-enum } n). G' \simeq_r G$

*<proof>*

## 4.7 Distinctness

**lemma** *n-tree-enum-aux-le*:  $r \in \text{set } (n\text{-tree-enum-aux } t) \implies r \leq t$

*<proof>*

**lemma** *sorted-n-tree-enum-aux*: *sorted-wrt* ( $>$ ) (*n-tree-enum-aux*  $t$ )

*<proof>*

**lemma** *distinct-n-tree-enum-aux*: *distinct* (*n-tree-enum-aux*  $t$ )

*<proof>*

**theorem** *distinct-n-tree-enum*: *distinct* (*n-tree-enum*  $n$ )

*<proof>*

**theorem** *distinct-n-rtree-graph-enum*: *distinct* (*n-rtree-graph-enum*  $n$ )

*<proof>*

**theorem** *inj-iso-n-rtree-graph-enum*:

**assumes** *G-in-n-rtree-graph-enum*:  $G \in \text{set } (n\text{-rtree-graph-enum } n)$

**and** *H-in-n-rtree-graph-enum*:  $H \in \text{set } (n\text{-rtree-graph-enum } n)$

**and**  $G \simeq_r H$

**shows**  $G = H$

*<proof>*

**theorem** *ex1-iso-n-rtree-graph-enum*:  $G \in n\text{-rtree-graphs } n \implies \exists! G' \in \text{set } (n\text{-rtree-graph-enum } n). G' \simeq_r G$

*<proof>*

**end**

## References

- [1] T. Beyer and S. M. Hedetniemi. Constant time generation of rooted trees. *SIAM Journal on Computing*, 9(4):706–712, 1980.