

# Transitive Models of Fragments of ZF

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## Abstract

We extend the ZF-Constructibility library by relativizing theories of the Isabelle/ZF and Delta System Lemma sessions to a transitive class. We also relativize Paulson’s work on Aleph and our former treatment of the Axiom of Dependent Choices. This work is a prerequisite to our formalization of the independence of the Continuum Hypothesis.

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# 1 Introduction

Relativization of concepts is a key tool to obtain results in forcing, as it is explained in [1, Sect. 3] and elsewhere.

In this session, we cast some theories in relative form, in a way that they now refer to a fixed class  $M$  as the universe of discourse. Whenever it was possible, we tried to minimize the changes to the structure and proof scripts of the absolute concepts. For this reason, some comments of the original text as well as outdated **apply** commands appear profusely in the following theories.

A repeated pattern that appears is that the relativized result can be proved *mutatis mutandis*, with remaining proof obligations that the objects constructed actually belong to the model  $M$ . Another aspect was that the management of higher order constructs always posed some extra problems, already noted by Paulson [2, Sect. 7.3].

In the theory `Lambda_Replacement` we introduce a new locale assuming two instances of separation and twelve instances of “lambda replacements” (i.e., replacements using definable functions of the form  $\lambda xy.y = \langle x, f(x) \rangle$ ) that allow for having some form of compositionality of further instances of separations and replacements.

We proceed to enumerate the theories that were “ported” to relative form, briefly commenting on each of them. Below, we refer to the original theories as the *source* and, correspondingly, call *target* the relativized version. We omit the `.thy` suffixes.

1. From *ZF*:
  - (a) `Univ`. Here we decided to relativize only the term `Vfrom` that constructs the cumulative hierarchy up to some ordinal length and starting from an arbitrary set.
  - (b) `Cardinal`. There are two targets for this source, `Least` and `Cardinal_Relative`. Both require some fair amount of preparation, trying to take advantage of absolute concepts. It is not straightforward to compare source and targets in a line-by-line fashion at this point.
  - (c) `CardinalArith`. The hardest part was to formalize the cardinal successor function. We also disregarded the part treating finite cardinals since it is an absolute concept. Apart from that, the relative version closely parallels the source.
  - (d) `Cardinal_AC`. After some boilerplate, porting was rather straightforward, excepting cardinal arithmetic involving the higher-order union operator.

2. From *ZF-Constructible*:

- (a) **Normal**. The target here is **Aleph\_Relative** since that is the only concept that we ported. Instead of porting all the machinery of normal functions (since it involved higher-order variables), we particularized the results for the Aleph function. We also used an alternative definition of the latter that worked better with our relativization discipline.

3. From *Delta\_System\_Lemma*:

- (a) **ZF\_Library**. The target includes a big section of auxiliary lemmas and commands that aid the relativization. We needed to make explicit the witnesses (mainly functions) in some of the existential results proved in the source, since only in that way we would be able to show that they belonged to the model.
- (b) **Cardinal\_Library**. Porting was relatively straightforward; most of the extra work laid in adjusting locale assumptions to obtain an appropriate context to state and prove the theorems.
- (c) **Delta\_System**. Same comments as in the case of **Cardinal\_Library** apply here.

4. From *Forcing*:

- (a) **Pointed\_DC**. This case was similar to **Cardinal\_AC** above, although a bit of care was needed to handle the recursive construction. Also, a fraction of the theory **AC** from *ZF* was ported here as it was a prerequisite. A complete relativization of **AC** would be desirable but still missing.

## 2 Auxiliary results on arithmetic

```
theory Nat_Miscellanea
  imports
    Delta_System_Lemma.ZF_Library
begin
```

```
notation add (infixl <+ω> 65)
notation diff (infixl <-ω> 65)
```

Most of these results will get used at some point for the calculation of arities.

```
lemmas nat_succI = Ord_succ_mem_iff [THEN iffD2, OF nat_into_Ord]
```

```
lemma nat_succD : m ∈ nat ⇒ succ(n) ∈ succ(m) ⇒ n ∈ m
  <proof>
```

**lemmas**  $zero\_in\_succ = ltD [OF nat\_0\_le]$

**lemma**  $in\_n\_in\_nat : m \in nat \implies n \in m \implies n \in nat$   
*<proof>*

**lemma**  $ltI\_neg : x \in nat \implies j \leq x \implies j \neq x \implies j < x$   
*<proof>*

**lemma**  $succ\_pred\_eq : m \in nat \implies m \neq 0 \implies succ(pred(m)) = m$   
*<proof>*

**lemma**  $succ\_ltI : succ(j) < n \implies j < n$   
*<proof>*

**lemmas**  $succ\_leD = succ\_leE [OF leI]$

**lemma**  $succpred\_leI : n \in nat \implies n \leq succ(pred(n))$   
*<proof>*

**lemma**  $succpred\_n0 : succ(n) \in p \implies p \neq 0$   
*<proof>*

**lemmas**  $natEin = natE [OF lt\_nat\_in\_nat]$

**lemmas**  $Un\_least\_lt\_iffn = Un\_least\_lt\_iff [OF nat\_into\_Ord nat\_into\_Ord]$

**lemma**  $pred\_type : m \in nat \implies n \leq m \implies n \in nat$   
*<proof>*

**lemma**  $pred\_le : m \in nat \implies n \leq succ(m) \implies pred(n) \leq m$   
*<proof>*

**lemma**  $pred\_le2 : n \in nat \implies m \in nat \implies pred(n) \leq m \implies n \leq succ(m)$   
*<proof>*

**lemma**  $Un\_leD1 : Ord(i) \implies Ord(j) \implies Ord(k) \implies i \cup j \leq k \implies i \leq k$   
*<proof>*

**lemma**  $Un\_leD2 : Ord(i) \implies Ord(j) \implies Ord(k) \implies i \cup j \leq k \implies j \leq k$   
*<proof>*

**lemma**  $gt1 : n \in nat \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$   
*<proof>*

**lemma**  $pred\_mono : m \in nat \implies n \leq m \implies pred(n) \leq pred(m)$   
*<proof>*

**lemma**  $succ\_mono : m \in nat \implies n \leq m \implies succ(n) \leq succ(m)$

$\langle proof \rangle$

**lemma** *union\_abs1* :  
[[  $i \leq j$  ]]  $\implies i \cup j = j$   
 $\langle proof \rangle$

**lemma** *union\_abs2* :  
[[  $i \leq j$  ]]  $\implies j \cup i = j$   
 $\langle proof \rangle$

**lemma** *ord\_un\_max* :  $Ord(i) \implies Ord(j) \implies i \cup j = \max(i,j)$   
 $\langle proof \rangle$

**lemma** *ord\_max\_ty* :  $Ord(i) \implies Ord(j) \implies Ord(\max(i,j))$   
 $\langle proof \rangle$

**lemmas** *ord\_simp\_union* = *ord\_un\_max ord\_max\_ty max\_def*

**lemma** *le\_succ* :  $x \in nat \implies x \leq succ(x)$   $\langle proof \rangle$

**lemma** *le\_pred* :  $x \in nat \implies pred(x) \leq x$   
 $\langle proof \rangle$

**lemma** *not\_le\_anti\_sym* :  $x \in nat \implies y \in nat \implies \neg x \leq y \implies \neg y \leq x \implies y = x$   
 $\langle proof \rangle$

**lemma** *Un\_le\_compat* :  $o \leq p \implies q \leq r \implies Ord(o) \implies Ord(p) \implies Ord(q) \implies Ord(r) \implies o \cup q \leq p \cup r$   
 $\langle proof \rangle$

**lemma** *Un\_le* :  $p \leq r \implies q \leq r \implies Ord(p) \implies Ord(q) \implies Ord(r) \implies p \cup q \leq r$   
 $\langle proof \rangle$

**lemma** *Un\_leI3* :  $o \leq r \implies p \leq r \implies q \leq r \implies Ord(o) \implies Ord(p) \implies Ord(q) \implies Ord(r) \implies o \cup p \cup q \leq r$   
 $\langle proof \rangle$

**lemma** *diff\_mono* :  
**assumes**  $m \in nat \ n \in nat \ p \in nat \ m < n \ p \leq m$   
**shows**  $m \# -p < n \# -p$   
 $\langle proof \rangle$

**lemma** *pred\_Un* :  
 $x \in nat \implies y \in nat \implies pred(succ(x) \cup y) = x \cup pred(y)$   
 $x \in nat \implies y \in nat \implies pred(x \cup succ(y)) = pred(x) \cup y$   
 $\langle proof \rangle$

**lemma** *le\_natI* :  $j \leq n \implies n \in \text{nat} \implies j \in \text{nat}$   
*<proof>*

**lemma** *le\_natE* :  $n \in \text{nat} \implies j < n \implies j \in n$   
*<proof>*

**lemma** *leD* : **assumes**  $n \in \text{nat} \ j \leq n$   
**shows**  $j < n \mid j = n$   
*<proof>*

**lemma** *pred\_nat\_eq* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{pred}(n) = \bigcup n$   
*<proof>*

## 2.1 Some results in ordinal arithmetic

The following results are auxiliary to the proof of wellfoundedness of the relation *freqR*

**lemma** *max\_cong* :  
**assumes**  $x \leq y \ \text{Ord}(y) \ \text{Ord}(z)$   
**shows**  $\text{max}(x,y) \leq \text{max}(y,z)$   
*<proof>*

**lemma** *max\_commutes* :  
**assumes**  $\text{Ord}(x) \ \text{Ord}(y)$   
**shows**  $\text{max}(x,y) = \text{max}(y,x)$   
*<proof>*

**lemma** *max\_cong2* :  
**assumes**  $x \leq y \ \text{Ord}(y) \ \text{Ord}(z) \ \text{Ord}(x)$   
**shows**  $\text{max}(x,z) \leq \text{max}(y,z)$   
*<proof>*

**lemma** *max\_D1* :  
**assumes**  $x = y \ w < z \ \text{Ord}(x) \ \text{Ord}(w) \ \text{Ord}(z) \ \text{max}(x,w) = \text{max}(y,z)$   
**shows**  $z \leq y$   
*<proof>*

**lemma** *max\_D2* :  
**assumes**  $w = y \vee w = z \ x < y \ \text{Ord}(x) \ \text{Ord}(w) \ \text{Ord}(y) \ \text{Ord}(z) \ \text{max}(x,w) = \text{max}(y,z)$   
**shows**  $x < w$   
*<proof>*

**lemma** *oadd\_lt\_mono2* :  
**assumes**  $\text{Ord}(n) \ \text{Ord}(\alpha) \ \text{Ord}(\beta) \ \alpha < \beta \ x < n \ y < n \ 0 < n$   
**shows**  $n ** \alpha + x < n ** \beta + y$



*<proof>*  
**end**

### 3 Various results missing from ZF.

**theory** *ZF\_Miscellanea*

**imports**

*ZF*

*Nat\_Miscellanea*

**begin**

**lemma** *function\_subset*:

$function(f) \implies g \subseteq f \implies function(g)$

*<proof>*

**lemma** *converse\_refl* :  $refl(A,r) \implies refl(A,converse(r))$

*<proof>*

**lemma** *Ord\_lt\_subset* :  $Ord(b) \implies a < b \implies a \subseteq b$

*<proof>*

**lemma** *funcI* :  $f \in A \rightarrow B \implies a \in A \implies b = f \ ' \ a \implies \langle a, b \rangle \in f$

*<proof>*

**lemma** *vimage\_fun\_sing*:

**assumes**  $f \in A \rightarrow B \ b \in B$

**shows**  $\{a \in A . f \ ' \ a = b\} = f \ - \ - \ \{b\}$

*<proof>*

**lemma** *image\_fun\_subset*:  $S \in A \rightarrow B \implies C \subseteq A \implies \{S \ ' \ x . x \in C\} = S \ - \ - \ C$

*<proof>*

**lemma** *subset\_Diff\_Un*:  $X \subseteq A \implies A = (A - X) \cup X$  *<proof>*

**lemma** *Diff\_bij*:

**assumes**  $\forall A \in F. X \subseteq A$  **shows**  $(\lambda A \in F. A - X) \in bij(F, \{A - X. A \in F\})$

*<proof>*

**lemma** *function\_space\_nonempty*:

**assumes**  $b \in B$

**shows**  $(\lambda x \in A. b) : A \rightarrow B$

*<proof>*

**lemma** *vimage\_lam*:  $(\lambda x \in A. f(x)) \ - \ - \ B = \{x \in A . f(x) \in B\}$

*<proof>*

**lemma** *range\_fun\_subset\_codomain*:

**assumes**  $h : B \rightarrow C$

**shows**  $range(h) \subseteq C$

*<proof>*

**lemma** *Pi\_rangeD*:

**assumes**  $f \in \text{Pi}(A,B)$   $b \in \text{range}(f)$

**shows**  $\exists a \in A. f'a = b$

*<proof>*

**lemma** *Pi\_range\_eq*:  $f \in \text{Pi}(A,B) \implies \text{range}(f) = \{f'x \mid x \in A\}$

*<proof>*

**lemma** *Pi\_vimage\_subset* :  $f \in \text{Pi}(A,B) \implies f^{-1}C \subseteq A$

*<proof>*

**definition**

*minimum* ::  $i \Rightarrow i \Rightarrow i$  **where**

*minimum*( $r,B$ )  $\equiv$  *THE*  $b. \text{first}(b,B,r)$

**lemma** *minimum\_in*:  $\llbracket \text{well\_ord}(A,r); B \subseteq A; B \neq 0 \rrbracket \implies \text{minimum}(r,B) \in B$

*<proof>*

**lemma** *well\_ord\_surj\_imp\_inj\_inverse*:

**assumes**  $\text{well\_ord}(A,r)$   $h \in \text{surj}(A,B)$

**shows**  $(\lambda b \in B. \text{minimum}(r, \{a \in A. h'a = b\})) \in \text{inj}(B,A)$

*<proof>*

**lemma** *well\_ord\_surj\_imp\_lepoll*:

**assumes**  $\text{well\_ord}(A,r)$   $h \in \text{surj}(A,B)$

**shows**  $B \lesssim A$

*<proof>*

**lemma** *surj\_imp\_well\_ord*:

**assumes**  $\text{well\_ord}(A,r)$   $h \in \text{surj}(A,B)$

**shows**  $\exists s. \text{well\_ord}(B,s)$

*<proof>*

**lemma** *Pow\_sing* :  $\text{Pow}(\{a\}) = \{0, \{a\}\}$

*<proof>*

**lemma** *Pow\_cons*:

**shows**  $\text{Pow}(\text{cons}(a,A)) = \text{Pow}(A) \cup \{\{a\} \cup X \mid X: \text{Pow}(A)\}$

*<proof>*

**lemma** *app\_nm* :

**assumes**  $n \in \text{nat}$   $m \in \text{nat}$   $f \in n \rightarrow m$   $x \in \text{nat}$

**shows**  $f'x \in \text{nat}$

*<proof>*

**lemma** *Upair\_eq\_cons*:  $\text{Upair}(a,b) = \{a,b\}$

*<proof>*

**lemma** *converse\_apply\_eq* :  $\text{converse}(f) \text{ ` } x = \bigcup (f \text{ - `` } \{x\})$   
 ⟨proof⟩

**lemmas** *app\_fun = apply\_iff*[*THEN iffD1*]

**lemma** *Finite\_imp\_lespoll\_nat*:  
**assumes** *Finite*(*A*)  
**shows**  $A \prec \text{nat}$   
 ⟨proof⟩

**end**

## 4 Renaming of variables in internalized formulas

**theory** *Renaming*  
**imports**  
   *ZF\_Miscellanea*  
   *ZF-Constructible.Formula*  
**begin**

### 4.1 Renaming of free variables

**definition**  
*union\_fun* ::  $[i, i, i, i] \Rightarrow i$  **where**  
*union\_fun*(*f, g, m, p*)  $\equiv \lambda j \in m \cup p . \text{if } j \in m \text{ then } f'j \text{ else } g'j$

**lemma** *union\_fun\_type*:  
**assumes**  $f \in m \rightarrow n$   
    $g \in p \rightarrow q$   
**shows**  $\text{union\_fun}(f, g, m, p) \in m \cup p \rightarrow n \cup q$   
 ⟨proof⟩

**lemma** *union\_fun\_action* :  
**assumes**  
    $\text{env} \in \text{list}(M)$   
    $\text{env}' \in \text{list}(M)$   
    $\text{length}(\text{env}) = m \cup p$   
    $\forall i . i \in m \longrightarrow \text{nth}(f'i, \text{env}') = \text{nth}(i, \text{env})$   
    $\forall j . j \in p \longrightarrow \text{nth}(g'j, \text{env}') = \text{nth}(j, \text{env})$   
**shows**  $\forall i . i \in m \cup p \longrightarrow$   
    $\text{nth}(i, \text{env}) = \text{nth}(\text{union\_fun}(f, g, m, p)'i, \text{env}')$   
 ⟨proof⟩

**lemma** *id\_fn\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{id}(n) \in n \rightarrow n$   
 ⟨proof⟩

**lemma** *id\_fn\_action*:

**assumes**  $n \in \text{nat } \text{env} \in \text{list}(M)$

**shows**  $\bigwedge j . j < n \implies \text{nth}(j, \text{env}) = \text{nth}(\text{id}(n) 'j, \text{env})$

*<proof>*

**definition**

$\text{rsum} :: [i, i, i, i, i] \Rightarrow i$  **where**

$\text{rsum}(f, g, m, n, p) \equiv \lambda j \in m +_{\omega} p . \text{if } j < m \text{ then } f 'j \text{ else } (g '(j \# - m)) +_{\omega} n$

**lemma** *sum\_inl*:

**assumes**  $m \in \text{nat } n \in \text{nat}$

$f \in m \rightarrow n \ x \in m$

**shows**  $\text{rsum}(f, g, m, n, p) 'x = f 'x$

*<proof>*

**lemma** *sum\_inr*:

**assumes**  $m \in \text{nat } n \in \text{nat } p \in \text{nat}$

$g \in p \rightarrow q \ m \leq x \ x < m +_{\omega} p$

**shows**  $\text{rsum}(f, g, m, n, p) 'x = g '(x \# - m) +_{\omega} n$

*<proof>*

**lemma** *sum\_action* :

**assumes**  $m \in \text{nat } n \in \text{nat } p \in \text{nat } q \in \text{nat}$

$f \in m \rightarrow n \ g \in p \rightarrow q$

$\text{env} \in \text{list}(M)$

$\text{env}' \in \text{list}(M)$

$\text{env1} \in \text{list}(M)$

$\text{env2} \in \text{list}(M)$

$\text{length}(\text{env}) = m$

$\text{length}(\text{env1}) = p$

$\text{length}(\text{env}') = n$

$\bigwedge i . i < m \implies \text{nth}(i, \text{env}) = \text{nth}(f 'i, \text{env}')$

$\bigwedge j . j < p \implies \text{nth}(j, \text{env1}) = \text{nth}(g 'j, \text{env2})$

**shows**  $\forall i . i < m +_{\omega} p \longrightarrow$

$\text{nth}(i, \text{env} @ \text{env1}) = \text{nth}(\text{rsum}(f, g, m, n, p) 'i, \text{env}' @ \text{env2})$

*<proof>*

**lemma** *sum\_type* :

**assumes**  $m \in \text{nat } n \in \text{nat } p \in \text{nat } q \in \text{nat}$

$f \in m \rightarrow n \ g \in p \rightarrow q$

**shows**  $\text{rsum}(f, g, m, n, p) \in (m +_{\omega} p) \rightarrow (n +_{\omega} q)$

*<proof>*

**lemma** *sum\_type\_id* :

**assumes**

$f \in \text{length}(\text{env}) \rightarrow \text{length}(\text{env}')$

$\text{env} \in \text{list}(M)$

$env' \in list(M)$   
 $env1 \in list(M)$   
**shows**  
 $rsum(f, id(length(env1), length(env), length(env'), length(env1)) \in$   
 $(length(env) +_{\omega} length(env1)) \rightarrow (length(env') +_{\omega} length(env1))$   
 ⟨proof⟩

**lemma** *sum\_type\_id\_aux2* :

**assumes**  
 $f \in m \rightarrow n$   
 $m \in nat$   $n \in nat$   
 $env1 \in list(M)$   
**shows**  
 $rsum(f, id(length(env1), m, n, length(env1)) \in$   
 $(m +_{\omega} length(env1)) \rightarrow (n +_{\omega} length(env1))$   
 ⟨proof⟩

**lemma** *sum\_action\_id* :

**assumes**  
 $env \in list(M)$   
 $env' \in list(M)$   
 $f \in length(env) \rightarrow length(env')$   
 $env1 \in list(M)$   
 $\bigwedge i . i < length(env) \implies nth(i, env) = nth(f^i, env')$   
**shows**  $\bigwedge i . i < length(env) +_{\omega} length(env1) \implies$   
 $nth(i, env @ env1) = nth(rsum(f, id(length(env1)), length(env), length(env'), length(env1)))^i, env' @ env1)$   
 ⟨proof⟩

**lemma** *sum\_action\_id\_aux* :

**assumes**  
 $f \in m \rightarrow n$   
 $env \in list(M)$   
 $env' \in list(M)$   
 $env1 \in list(M)$   
 $length(env) = m$   
 $length(env') = n$   
 $length(env1) = p$   
 $\bigwedge i . i < m \implies nth(i, env) = nth(f^i, env')$   
**shows**  $\bigwedge i . i < m +_{\omega} length(env1) \implies$   
 $nth(i, env @ env1) = nth(rsum(f, id(length(env1)), m, n, length(env1)))^i, env' @ env1)$   
 ⟨proof⟩

**definition**

$sum\_id :: [i, i] \Rightarrow i$  **where**  
 $sum\_id(m, f) \equiv rsum(\lambda x \in 1.x, f, 1, 1, m)$

**lemma** *sum\_id0* :  $m \in nat \implies sum\_id(m, f)^0 = 0$

⟨proof⟩

**lemma** *sum\_idS* :  $p \in \text{nat} \implies q \in \text{nat} \implies f \in p \rightarrow q \implies x \in p \implies \text{sum\_id}(p,f) \text{'succ}(x)$   
 $= \text{succ}(f'x)$   
 ⟨proof⟩

**lemma** *sum\_id\_tc\_aux* :  
 $p \in \text{nat} \implies q \in \text{nat} \implies f \in p \rightarrow q \implies \text{sum\_id}(p,f) \in 1+\omega p \rightarrow 1+\omega q$   
 ⟨proof⟩

**lemma** *sum\_id\_tc* :  
 $n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{sum\_id}(n,f) \in \text{succ}(n) \rightarrow \text{succ}(m)$   
 ⟨proof⟩

## 4.2 Renaming of formulas

**consts** *ren* ::  $i \Rightarrow i$

**primrec**

$\text{ren}(\text{Member}(x,y)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Member}(f'x, f'y))$

$\text{ren}(\text{Equal}(x,y)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Equal}(f'x, f'y))$

$\text{ren}(\text{Nand}(p,q)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Nand}(\text{ren}(p) \text{'n'm'f}, \text{ren}(q) \text{'n'm'f}))$

$\text{ren}(\text{Forall}(p)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Forall}(\text{ren}(p) \text{'succ}(n) \text{'succ}(m) \text{'sum\_id}(n,f)))$

**lemma** *arity\_meml* :  $l \in \text{nat} \implies \text{Member}(x,y) \in \text{formula} \implies \text{arity}(\text{Member}(x,y))$   
 $\leq l \implies x \in l$   
 ⟨proof⟩

**lemma** *arity\_memr* :  $l \in \text{nat} \implies \text{Member}(x,y) \in \text{formula} \implies \text{arity}(\text{Member}(x,y))$   
 $\leq l \implies y \in l$   
 ⟨proof⟩

**lemma** *arity\_eql* :  $l \in \text{nat} \implies \text{Equal}(x,y) \in \text{formula} \implies \text{arity}(\text{Equal}(x,y)) \leq l$   
 $\implies x \in l$   
 ⟨proof⟩

**lemma** *arity\_eqr* :  $l \in \text{nat} \implies \text{Equal}(x,y) \in \text{formula} \implies \text{arity}(\text{Equal}(x,y)) \leq l$   
 $\implies y \in l$   
 ⟨proof⟩

**lemma** *nand\_ar1* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(p) \leq \text{arity}(\text{Nand}(p,q))$   
 ⟨proof⟩

**lemma** *nand\_ar2* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(q) \leq \text{arity}(\text{Nand}(p,q))$   
 ⟨proof⟩

**lemma** *nand\_ar1D* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies$   
 $\text{arity}(p) \leq n$   
 ⟨proof⟩

**lemma** *nand\_ar2D* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies \text{arity}(q) \leq n$   
 ⟨proof⟩

**lemma** *ren\_tc* :  $p \in \text{formula} \implies (\bigwedge n m f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{ren}(p) 'n 'm 'f \in \text{formula})$   
 ⟨proof⟩

**lemma** *arity\_ren* :  
**fixes**  $p$   
**assumes**  $p \in \text{formula}$   
**shows**  $\bigwedge n m f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{arity}(p) \leq n \implies \text{arity}(\text{ren}(p) 'n 'm 'f) \leq m$   
 ⟨proof⟩

**lemma** *arity\_forallE* :  $p \in \text{formula} \implies m \in \text{nat} \implies \text{arity}(\text{Forall}(p)) \leq m \implies \text{arity}(p) \leq \text{succ}(m)$   
 ⟨proof⟩

**lemma** *env\_coincidence\_sum\_id* :  
**assumes**  $m \in \text{nat} \ n \in \text{nat}$   
 $\varrho \in \text{list}(A) \ \varrho' \in \text{list}(A)$   
 $f \in n \rightarrow m$   
 $\bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f 'i, \varrho')$   
 $a \in A \ j \in \text{succ}(n)$   
**shows**  $\text{nth}(j, \text{Cons}(a, \varrho)) = \text{nth}(\text{sum\_id}(n, f) 'j, \text{Cons}(a, \varrho'))$   
 ⟨proof⟩

**lemma** *sats\_iff\_sats\_ren* :  
**assumes**  $\varphi \in \text{formula}$   
**shows**  $\llbracket n \in \text{nat} ; m \in \text{nat} ; \varrho \in \text{list}(M) ; \varrho' \in \text{list}(M) ; f \in n \rightarrow m ; \text{arity}(\varphi) \leq n ; \bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f 'i, \varrho') \rrbracket \implies \text{sats}(M, \varphi, \varrho) \longleftrightarrow \text{sats}(M, \text{ren}(\varphi) 'n 'm 'f, \varrho')$   
 ⟨proof⟩

**end**  
**theory** *Utils*  
**imports** *ZF-Constructible.Formula*  
**begin**

This theory encapsulates some ML utilities

⟨ML⟩

**end**  
**theory** *Renaming\_Auto*  
**imports**

```

    Renaming
    Utils
keywords
  rename :: thy_decl % ML
and
  simple_rename :: thy_decl % ML
and
  src
and
  tgt
abbrevs
  simple_rename =

begin

lemmas nat_succI = nat_succ_iff[THEN iffD2]
⟨ML⟩
end
theory M_Basic_No_Repl
  imports ZF-Constructible.Relative
begin

This locale is exactly M_basic without its only replacement instance.

locale M_basic_no_repl = M_trivial +
assumes Inter_separation:
  M(A) ==> separation(M, λx. ∀ y[M]. y∈A ⟶ x∈y)
and Diff_separation:
  M(B) ==> separation(M, λx. x ∉ B)
and cartprod_separation:
  [| M(A); M(B) |]
  ==> separation(M, λz. ∃ x[M]. x∈A & (∃ y[M]. y∈B & pair(M,x,y,z)))
and image_separation:
  [| M(A); M(r) |]
  ==> separation(M, λy. ∃ p[M]. p∈r & (∃ x[M]. x∈A & pair(M,x,y,p)))
and converse_separation:
  M(r) ==> separation(M,
    λz. ∃ p[M]. p∈r & (∃ x[M]. ∃ y[M]. pair(M,x,y,p) & pair(M,y,x,z)))
and restrict_separation:
  M(A) ==> separation(M, λz. ∃ x[M]. x∈A & (∃ y[M]. pair(M,x,y,z)))
and comp_separation:
  [| M(r); M(s) |]
  ==> separation(M, λxz. ∃ x[M]. ∃ y[M]. ∃ z[M]. ∃ xy[M]. ∃ yz[M].
    pair(M,x,z,xz) & pair(M,x,y,xy) & pair(M,y,z,yz) &
    xy∈s & yz∈r)
and pred_separation:
  [| M(r); M(x) |] ==> separation(M, λy. ∃ p[M]. p∈r & pair(M,y,x,p))
and Memrel_separation:
  separation(M, λz. ∃ x[M]. ∃ y[M]. pair(M,x,y,z) & x ∈ y)
and is_recfun_separation:

```



— for well-founded recursion: used to prove *is\_recfun\_equal*

```

[[ M(r); M(f); M(g); M(a); M(b) ]]
==> separation(M,
  λx. ∃ xa[M]. ∃ xb[M].
    pair(M,x,a,xa) & xa ∈ r & pair(M,x,b,xb) & xb ∈ r &
    (∃ fx[M]. ∃ gx[M]. fun_apply(M,f,x,fx) & fun_apply(M,g,x,gx) &
      fx ≠ gx))
and power_ax:      power_ax(M)

```

**lemma** (in *M\_basic\_no\_repl*) *cartprod\_iff*:  
[[ M(A); M(B); M(C) ]]  
==> cartprod(M,A,B,C) <=>  
(∃ p1[M]. ∃ p2[M]. powerset(M,A ∪ B,p1) & powerset(M,p1,p2) &  
C = {z ∈ p2. ∃ x∈A. ∃ y∈B. z = <x,y>})  
<proof>

**lemma** (in *M\_basic\_no\_repl*) *cartprod\_closed\_lemma*:  
[[ M(A); M(B) ]] ==> ∃ C[M]. cartprod(M,A,B,C)  
<proof>

All the lemmas above are necessary because Powerset is not absolute. I should have used Replacement instead!

**lemma** (in *M\_basic\_no\_repl*) *cartprod\_closed [intro,simp]*:  
[[ M(A); M(B) ]] ==> M(A\*B)  
<proof>

**lemma** (in *M\_basic\_no\_repl*) *sum\_closed [intro,simp]*:  
[[ M(A); M(B) ]] ==> M(A+B)  
<proof>

**lemma** (in *M\_basic\_no\_repl*) *sum\_abs [simp]*:  
[[ M(A); M(B); M(Z) ]] ==> is\_sum(M,A,B,Z) <=> (Z = A+B)  
<proof>

**lemma** (in *M\_basic\_no\_repl*) *M\_converse\_iff*:  
M(r) ==>  
converse(r) =  
{z ∈ ∪(∪(r)) \* ∪(∪(r)).  
∃ p∈r. ∃ x[M]. ∃ y[M]. p = <x,y> & z = <y,x>}  
<proof>

**lemma** (in *M\_basic\_no\_repl*) *converse\_closed [intro,simp]*:  
M(r) ==> M(converse(r))  
<proof>

**lemma** (in *M\_basic\_no\_repl*) *converse\_abs [simp]*:  
[[ M(r); M(z) ]] ==> is\_converse(M,r,z) <=> z = converse(r)  
<proof>

### 4.2.1 image, preimage, domain, range

**lemma** (in *M\_basic\_no\_repl*) *image\_closed* [*intro,simp*]:  
[[  $M(A)$ ;  $M(r)$  ]] ==>  $M(r^{-1}A)$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *vimage\_abs* [*simp*]:  
[[  $M(r)$ ;  $M(A)$ ;  $M(z)$  ]] ==>  $\text{pre\_image}(M,r,A,z) \longleftrightarrow z = r^{-1}A$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *vimage\_closed* [*intro,simp*]:  
[[  $M(A)$ ;  $M(r)$  ]] ==>  $M(r^{-1}A)$   
<proof>

### 4.2.2 Domain, range and field

**lemma** (in *M\_basic\_no\_repl*) *domain\_closed* [*intro,simp*]:  
 $M(r) \implies M(\text{domain}(r))$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *range\_closed* [*intro,simp*]:  
 $M(r) \implies M(\text{range}(r))$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *field\_abs* [*simp*]:  
[[  $M(r)$ ;  $M(z)$  ]] ==>  $\text{is\_field}(M,r,z) \longleftrightarrow z = \text{field}(r)$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *field\_closed* [*intro,simp*]:  
 $M(r) \implies M(\text{field}(r))$   
<proof>

### 4.2.3 Relations, functions and application

**lemma** (in *M\_basic\_no\_repl*) *apply\_closed* [*intro,simp*]:  
[[  $M(f)$ ;  $M(a)$  ]] ==>  $M(fa)$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *apply\_abs* [*simp*]:  
[[  $M(f)$ ;  $M(x)$ ;  $M(y)$  ]] ==>  $\text{fun\_apply}(M,f,x,y) \longleftrightarrow f'x = y$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *injection\_abs* [*simp*]:  
[[  $M(A)$ ;  $M(f)$  ]] ==>  $\text{injection}(M,A,B,f) \longleftrightarrow f \in \text{inj}(A,B)$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *surjection\_abs* [*simp*]:  
[[  $M(A)$ ;  $M(B)$ ;  $M(f)$  ]] ==>  $\text{surjection}(M,A,B,f) \longleftrightarrow f \in \text{surj}(A,B)$   
<proof>

**lemma** (in *M\_basic\_no\_repl*) *bijection\_abs* [*simp*]:  

$$[[ M(A); M(B); M(f) ]] ==> \text{bijection}(M,A,B,f) \longleftrightarrow f \in \text{bij}(A,B)$$
*<proof>*

#### 4.2.4 Composition of relations

**lemma** (in *M\_basic\_no\_repl*) *M\_comp\_iff*:  

$$[[ M(r); M(s) ]]$$

$$==> r \ O \ s =$$

$$\{xz \in \text{domain}(s) * \text{range}(r).$$

$$\exists x[M]. \exists y[M]. \exists z[M]. xz = \langle x,z \rangle \ \& \ \langle x,y \rangle \in s \ \& \ \langle y,z \rangle \in r\}$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *comp\_closed* [*intro,simp*]:  

$$[[ M(r); M(s) ]]$$

$$==> M(r \ O \ s)$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *composition\_abs* [*simp*]:  

$$[[ M(r); M(s); M(t) ]]$$

$$==> \text{composition}(M,r,s,t) \longleftrightarrow t = r \ O \ s$$
*<proof>*

no longer needed

**lemma** (in *M\_basic\_no\_repl*) *restriction\_is\_function*:  

$$[[ \text{restriction}(M,f,A,z); \text{function}(f); M(f); M(A); M(z) ]]$$

$$==> \text{function}(z)$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *restrict\_closed* [*intro,simp*]:  

$$[[ M(A); M(r) ]]$$

$$==> M(\text{restrict}(r,A))$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *Inter\_closed* [*intro,simp*]:  

$$M(A) ==> M(\bigcap(A))$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *Int\_closed* [*intro,simp*]:  

$$[[ M(A); M(B) ]]$$

$$==> M(A \cap B)$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *Diff\_closed* [*intro,simp*]:  

$$[[ M(A); M(B) ]]$$

$$==> M(A - B)$$
*<proof>*

#### 4.2.5 Some Facts About Separation Axioms

**lemma** (in *M\_basic\_no\_repl*) *separation\_conj*:  

$$[[ \text{separation}(M,P); \text{separation}(M,Q) ]]$$

$$==> \text{separation}(M, \lambda z. P(z) \ \& \ Q(z))$$
*<proof>*

**lemma** (in *M\_basic\_no\_repl*) *separation\_disj*:

$[[\text{separation}(M,P); \text{separation}(M,Q)]] \implies \text{separation}(M, \lambda z. P(z) \mid Q(z))$   
 $\langle \text{proof} \rangle$

**lemma** (in  $M\_basic\_no\_repl$ ) *separation\_neg*:  
 $\text{separation}(M,P) \implies \text{separation}(M, \lambda z. \sim P(z))$   
 $\langle \text{proof} \rangle$

**lemma** (in  $M\_basic\_no\_repl$ ) *separation\_imp*:  
 $[[\text{separation}(M,P); \text{separation}(M,Q)]] \implies \text{separation}(M, \lambda z. P(z) \longrightarrow Q(z))$   
 $\langle \text{proof} \rangle$

This result is a hint of how little can be done without the Reflection Theorem. The quantifier has to be bounded by a set. We also need another instance of Separation!

**lemma** (in  $M\_basic\_no\_repl$ ) *separation\_rall*:  
 $[[M(Y); \forall y[M]. \text{separation}(M, \lambda x. P(x,y)); \forall z[M]. \text{strong\_replacement}(M, \lambda x y. y = \{u \in z . P(u,x)\})]] \implies \text{separation}(M, \lambda x. \forall y[M]. y \in Y \longrightarrow P(x,y))$   
 $\langle \text{proof} \rangle$

#### 4.2.6 Functions and function space

**lemma** (in  $M\_basic\_no\_repl$ ) *succ\_fun\_eq2*:  
 $[[M(B); M(n \rightarrow B)]] \implies \text{succ}(n) \rightarrow B = \bigcup \{z. p \in (n \rightarrow B) * B, \exists f[M]. \exists b[M]. p = \langle f, b \rangle \ \& \ z = \{\text{cons}(\langle n, b \rangle, f)\}\}$   
 $\langle \text{proof} \rangle$

**lemma** (in  $M\_basic\_no\_repl$ ) *list\_case'\_closed* [intro,simp]:  
 $[[M(k); M(a); \forall x[M]. \forall y[M]. M(b(x,y))]] \implies M(\text{list\_case}'(a,b,k))$   
 $\langle \text{proof} \rangle$

**lemma** (in  $M\_basic\_no\_repl$ ) *tl'\_closed*:  $M(x) \implies M(\text{tl}'(x))$   
 $\langle \text{proof} \rangle$

**sublocale**  $M\_basic \subseteq mbnr: M\_basic\_no\_repl$   
 $\langle \text{proof} \rangle$

**end**

## 5 Some enhanced theorems on recursion

**theory** *Recursion\_Thms*  
**imports** *ZF-Constructible.Datatype\_absolute*

**begin**

— Removing arities from inherited simpset

**declare** *arity\_And* [simp del] *arity\_Or* [simp del] *arity\_Implies* [simp del]  
*arity\_Exists* [simp del] *arity\_Iff* [simp del]  
*arity\_subset\_fm* [simp del] *arity\_ordinal\_fm* [simp del] *arity\_transset\_fm* [simp del]

We prove results concerning definitions by well-founded recursion on some relation  $R$  and its transitive closure  $R^{\hat{+}}$

**lemma** *fld\_restrict\_eq* :  $a \in A \implies (r \cap A \times A)^{-\{a\}} = (r^{-\{a\}} \cap A)$   
 ⟨proof⟩

**lemma** *fld\_restrict\_mono* :  $\text{relation}(r) \implies A \subseteq B \implies r \cap A \times A \subseteq r \cap B \times B$   
 ⟨proof⟩

**lemma** *fld\_restrict\_dom* :  
**assumes**  $\text{relation}(r)$   $\text{domain}(r) \subseteq A$   $\text{range}(r) \subseteq A$   
**shows**  $r \cap A \times A = r$   
 ⟨proof⟩

**definition** *tr\_down* ::  $[i, i] \Rightarrow i$   
**where**  $\text{tr\_down}(r, a) = (r^{\hat{+}})^{-\{a\}}$

**lemma** *tr\_downD* :  $x \in \text{tr\_down}(r, a) \implies \langle x, a \rangle \in r^{\hat{+}}$   
 ⟨proof⟩

**lemma** *pred\_down* :  $\text{relation}(r) \implies r^{-\{a\}} \subseteq \text{tr\_down}(r, a)$   
 ⟨proof⟩

**lemma** *tr\_down\_mono* :  $\text{relation}(r) \implies x \in r^{-\{a\}} \implies \text{tr\_down}(r, x) \subseteq \text{tr\_down}(r, a)$   
 ⟨proof⟩

**lemma** *rest\_eq* :  
**assumes**  $\text{relation}(r)$  **and**  $r^{-\{a\}} \subseteq B$  **and**  $a \in B$   
**shows**  $r^{-\{a\}} = (r \cap B \times B)^{-\{a\}}$   
 ⟨proof⟩

**lemma** *wfrec\_restr\_eq* :  $r' = r \cap A \times A \implies \text{wfrec}[A](r, a, H) = \text{wfrec}(r', a, H)$   
 ⟨proof⟩

**lemma** *wfrec\_restr* :  
**assumes**  $rr: \text{relation}(r)$  **and**  $wfr: wf(r)$   
**shows**  $a \in A \implies \text{tr\_down}(r, a) \subseteq A \implies \text{wfrec}(r, a, H) = \text{wfrec}[A](r, a, H)$   
 ⟨proof⟩

**lemmas** *wfrec\_tr\_down* = *wfrec\_restr* [OF  $\_ \_ \_ \text{subset_refl}$ ]

**lemma** *wfrec\_trans\_restr* :  $\text{relation}(r) \implies wf(r) \implies \text{trans}(r) \implies r^{-\{a\}} \subseteq A \implies a \in A \implies$

$wfrec(r, a, H) = wfrec[A](r, a, H)$   
 ⟨proof⟩

**lemma** *field\_trancl* :  $field(r^{\wedge+}) = field(r)$   
 ⟨proof⟩

**definition**

$Rrel :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$  **where**  
 $Rrel(R, A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x, y)\}$

**lemma** *RrelI* :  $x \in A \Rightarrow y \in A \Rightarrow R(x, y) \Rightarrow \langle x, y \rangle \in Rrel(R, A)$   
 ⟨proof⟩

**lemma** *Rrel\_mem*:  $Rrel(mem, x) = Memrel(x)$   
 ⟨proof⟩

**lemma** *relation\_Rrel*:  $relation(Rrel(R, d))$   
 ⟨proof⟩

**lemma** *field\_Rrel*:  $field(Rrel(R, d)) \subseteq d$   
 ⟨proof⟩

**lemma** *Rrel\_mono* :  $A \subseteq B \Rightarrow Rrel(R, A) \subseteq Rrel(R, B)$   
 ⟨proof⟩

**lemma** *Rrel\_restr\_eq* :  $Rrel(R, A) \cap B \times B = Rrel(R, A \cap B)$   
 ⟨proof⟩

**lemma** *field\_Memrel* :  $field(Memrel(A)) \subseteq A$   
 ⟨proof⟩

**lemma** *restrict\_trancl\_Rrel*:  
**assumes**  $R(w, y)$   
**shows**  $restrict(f, Rrel(R, d) - \{\{y\}\})'w$   
 $= restrict(f, (Rrel(R, d)^{\wedge+}) - \{\{y\}\})'w$   
 ⟨proof⟩

**lemma** *restrict\_trans\_eq*:  
**assumes**  $w \in y$   
**shows**  $restrict(f, Memrel(eclose(\{x\})) - \{\{y\}\})'w$   
 $= restrict(f, (Memrel(eclose(\{x\}))^{\wedge+}) - \{\{y\}\})'w$   
 ⟨proof⟩

**lemma** *wf\_eq\_trancl*:  
**assumes**  $\bigwedge f y . H(y, restrict(f, R - \{\{y\}\})) = H(y, restrict(f, R^{\wedge+} - \{\{y\}\}))$   
**shows**  $wfrec(R, x, H) = wfrec(R^{\wedge+}, x, H)$  (**is**  $wfrec(?r, \_, \_) = wfrec(?r', \_, \_)$ )

*<proof>*

**lemma** *transrec\_equal\_on\_Ord*:

**assumes**

$\bigwedge x f . Ord(x) \implies foo(x,f) = bar(x,f)$   
 $Ord(\alpha)$

**shows**

$transrec(\alpha, foo) = transrec(\alpha, bar)$

*<proof>*

**lemma** (**in** *M\_eclose*) *transrec\_equal\_on\_M*:

**assumes**

$\bigwedge x f . M(x) \implies M(f) \implies foo(x,f) = bar(x,f)$   
 $\bigwedge \beta . M(\beta) \implies transrec\_replacement(M, is\_foo, \beta) \text{ relation2}(M, is\_foo, foo)$   
 $strong\_replacement(M, \lambda x y . y = \langle x, transrec(x, foo) \rangle)$   
 $\forall x[M] . \forall g[M] . function(g) \longrightarrow M(foo(x,g))$   
 $M(\alpha) Ord(\alpha)$

**shows**

$transrec(\alpha, foo) = transrec(\alpha, bar)$

*<proof>*

**lemma** *ordermap\_restr\_eq*:

**assumes** *well\_ord*(*X*,*r*)

**shows**  $ordermap(X, r) = ordermap(X, r \cap X \times X)$

*<proof>*

**end**

## 6 Automatic synthesis of formulas

**theory** *Synthetic\_Definition*

**imports**

*Utils*

**keywords**

*synthesize* :: *thy\_decl* % *ML*

**and**

*synthesize\_notc* :: *thy\_decl* % *ML*

**and**

*generate\_schematic* :: *thy\_decl* % *ML*

**and**

*arity\_theorem* :: *thy\_decl* % *ML*

**and**

*manual\_schematic* :: *thy\_goal\_stmt* % *ML*

**and**

*manual\_arity* :: *thy\_goal\_stmt* % *ML*

**and**

*from\_schematic*

**and**

*for*

```

and
  from_definition
and
  assuming
and
  intermediate

begin

named_theorems fn_definitions Definitions of synthesized formulas.

named_theorems iff_sats Theorems for synthesising formulas.

named_theorems arity Theorems for arity of formulas.

named_theorems arity_aux Auxiliary theorems for calculating arities.

⟨ML⟩

The synthetic_def function extracts definitions from schematic goals. A
new definition is added to the context.

end

```

## 7 Aids to internalize formulas

```

theory Internalizations
  imports
    ZF-Constructible.DPow_absolute
    Synthetic_Definition
    Nat_Miscellanea
  begin

  definition
    infinity_ax ::  $(i \Rightarrow o) \Rightarrow o$  where
    infinity_ax(M)  $\equiv$ 
       $(\exists I[M]. (\exists z[M]. \text{empty}(M,z) \wedge z \in I) \wedge (\forall y[M]. y \in I \longrightarrow (\exists sy[M]. \text{successor}(M,y,sy) \wedge sy \in I)))$ 

  definition
    wellfounded_trancl ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  where
    wellfounded_trancl(M, Z, r, p)  $\equiv$ 
       $\exists w[M]. \exists wx[M]. \exists rp[M].$ 
       $w \in Z \ \& \ \text{pair}(M,w,p,wx) \ \& \ \text{tran\_closure}(M,r,rp) \ \& \ wx \in rp$ 

  lemma empty_intf :
    infinity_ax(M)  $\implies$ 
     $(\exists z[M]. \text{empty}(M,z))$ 
    ⟨proof⟩

```



**lemma** *Transset\_intf* :

$Transset(M) \implies y \in x \implies x \in M \implies y \in M$

*<proof>*

**definition**

$choice\_ax :: (i \Rightarrow o) \Rightarrow o$  **where**

$choice\_ax(M) \equiv \forall x[M]. \exists a[M]. \exists f[M]. ordinal(M,a) \wedge surjection(M,a,x,f)$

**lemma** (**in** *M\_basic*) *choice\_ax\_abs* :

$choice\_ax(M) \longleftrightarrow (\forall x[M]. \exists a[M]. \exists f[M]. Ord(a) \wedge f \in surj(a,x))$

*<proof>*

Setting up notation for internalized formulas

**abbreviation**

$dec10 :: i \ (10)$  **where**  $10 \equiv succ(9)$

**abbreviation**

$dec11 :: i \ (11)$  **where**  $11 \equiv succ(10)$

**abbreviation**

$dec12 :: i \ (12)$  **where**  $12 \equiv succ(11)$

**abbreviation**

$dec13 :: i \ (13)$  **where**  $13 \equiv succ(12)$

**abbreviation**

$dec14 :: i \ (14)$  **where**  $14 \equiv succ(13)$

**abbreviation**

$dec15 :: i \ (15)$  **where**  $15 \equiv succ(14)$

**abbreviation**

$dec16 :: i \ (16)$  **where**  $16 \equiv succ(15)$

**abbreviation**

$dec17 :: i \ (17)$  **where**  $17 \equiv succ(16)$

**abbreviation**

$dec18 :: i \ (18)$  **where**  $18 \equiv succ(17)$

**abbreviation**

$dec19 :: i \ (19)$  **where**  $19 \equiv succ(18)$

**abbreviation**

$dec20 :: i \ (20)$  **where**  $20 \equiv succ(19)$

**abbreviation**

$dec21 :: i \ (21)$  **where**  $21 \equiv succ(20)$

**abbreviation**

$dec22 :: i \ (22)$  **where**  $22 \equiv succ(21)$

**abbreviation**

$dec23 :: i \ (23)$  **where**  $23 \equiv succ(22)$

**abbreviation**

$dec24 :: i \ (24)$  **where**  $24 \equiv succ(23)$

**abbreviation**

$dec25 :: i \ (25)$  **where**  $25 \equiv succ(24)$

**abbreviation**

$dec26 :: i \ (26)$  **where**  $26 \equiv succ(25)$

**abbreviation**

$dec27 :: i \ (27)$  **where**  $27 \equiv succ(26)$

**abbreviation**

*dec28* :: *i* (28) **where** *28*  $\equiv$  *succ*(27)

**abbreviation**

*dec29* :: *i* (29) **where** *29*  $\equiv$  *succ*(28)

**notation** *Member* ( $\langle \cdot \_ \in / \_ \cdot \rangle$ )

**notation** *Equal* ( $\langle \cdot \_ = / \_ \cdot \rangle$ )

**notation** *Nand* ( $\langle \cdot \neg'(\_ \wedge / \_ \cdot) \rangle$ )

**notation** *And* ( $\langle \cdot \_ \wedge / \_ \cdot \rangle$ )

**notation** *Or* ( $\langle \cdot \_ \vee / \_ \cdot \rangle$ )

**notation** *Iff* ( $\langle \cdot \_ \leftrightarrow / \_ \cdot \rangle$ )

**notation** *Implies* ( $\langle \cdot \_ \rightarrow / \_ \cdot \rangle$ )

**notation** *Neg* ( $\langle \cdot \neg \cdot \rangle$ )

**notation** *Forall* ( $\langle '( \cdot \forall ( / \_ \cdot ) \cdot ' \rangle$ )

**notation** *Exists* ( $\langle '( \cdot \exists ( / \_ \cdot ) \cdot ' \rangle$ )

**notation** *subset\_fm* ( $\langle \cdot \_ \subseteq / \_ \cdot \rangle$ )

**notation** *succ\_fm* ( $\langle \cdot \text{succ}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *empty\_fm* ( $\langle \cdot \_ \text{ is empty } \cdot \rangle$ )

**notation** *fun\_apply\_fm* ( $\langle \cdot \_ ' \_ \text{ is } \_ \cdot \rangle$ )

**notation** *big\_union\_fm* ( $\langle \cdot \bigcup \_ \text{ is } \_ \cdot \rangle$ )

**notation** *upair\_fm* ( $\langle \cdot \{ \_ , \_ \} \text{ is } \_ \cdot \rangle$ )

**notation** *ordinal\_fm* ( $\langle \cdot \_ \text{ is ordinal } \cdot \rangle$ )

**notation** *pair\_fm* ( $\langle \cdot \langle \_ , \_ \rangle \text{ is } \_ \cdot \rangle$ )

**notation** *composition\_fm* ( $\langle \cdot \_ \circ \_ \text{ is } \_ \cdot \rangle$ )

**notation** *domain\_fm* ( $\langle \cdot \text{dom}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *range\_fm* ( $\langle \cdot \text{ran}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *union\_fm* ( $\langle \cdot \_ \cup \_ \text{ is } \_ \cdot \rangle$ )

**notation** *image\_fm* ( $\langle \cdot \_ \text{ `` } \_ \text{ is } \_ \cdot \rangle$ )

**notation** *pre\_image\_fm* ( $\langle \cdot \_ \text{ - `` } \_ \text{ is } \_ \cdot \rangle$ )

**notation** *field\_fm* ( $\langle \cdot \text{fld}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *cons\_fm* ( $\langle \cdot \text{cons}'(\_ , \_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *number1\_fm* ( $\langle \cdot \_ \text{ is the number one } \cdot \rangle$ )

**notation** *function\_fm* ( $\langle \cdot \_ \text{ is funct } \cdot \rangle$ )

**notation** *relation\_fm* ( $\langle \cdot \_ \text{ is relat } \cdot \rangle$ )

**notation** *restriction\_fm* ( $\langle \cdot \_ \upharpoonright \_ \text{ is } \_ \cdot \rangle$ )

**notation** *transset\_fm* ( $\langle \cdot \_ \text{ is transitive } \cdot \rangle$ )

**notation** *limit\_ordinal\_fm* ( $\langle \cdot \_ \text{ is limit } \cdot \rangle$ )

**notation** *finite\_ordinal\_fm* ( $\langle \cdot \_ \text{ is finite ord } \cdot \rangle$ )

**notation** *omega\_fm* ( $\langle \cdot \_ \text{ is } \omega \cdot \rangle$ )

**notation** *cartprod\_fm* ( $\langle \cdot \_ \times \_ \text{ is } \_ \cdot \rangle$ )

**notation** *Memrel\_fm* ( $\langle \cdot \text{Memrel}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *quasinat\_fm* ( $\langle \cdot \_ \text{ is qnat } \cdot \rangle$ )

**notation** *Inl\_fm* ( $\langle \cdot \text{Inl}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *Inr\_fm* ( $\langle \cdot \text{Inr}'(\_ \cdot) \text{ is } \_ \cdot \rangle$ )

**notation** *pred\_set\_fm* ( $\langle \cdot \_ \text{-predecessors of } \_ \text{ are } \_ \cdot \rangle$ )

**abbreviation**

$fm\_typedfun :: [i,i,i] \Rightarrow i (\langle \cdot \_ : \_ \rightarrow \_ \rangle)$  **where**  
 $fm\_typedfun(f,A,B) \equiv typed\_function\_fm(A,B,f)$

**abbreviation**

$fm\_surjection :: [i,i,i] \Rightarrow i (\langle \cdot \_ \text{ surjects } \_ \text{ to } \_ \rangle)$  **where**  
 $fm\_surjection(f,A,B) \equiv surjection\_fm(A,B,f)$

**abbreviation**

$fm\_injection :: [i,i,i] \Rightarrow i (\langle \cdot \_ \text{ injects } \_ \text{ to } \_ \rangle)$  **where**  
 $fm\_injection(f,A,B) \equiv injection\_fm(A,B,f)$

**abbreviation**

$fm\_bijection :: [i,i,i] \Rightarrow i (\langle \cdot \_ \text{ bijects } \_ \text{ to } \_ \rangle)$  **where**  
 $fm\_bijection(f,A,B) \equiv bijection\_fm(A,B,f)$

We found it useful to have slightly different versions of some results in ZF-Constructible:

**lemma** *nth\_closed* :

**assumes**  $env \in list(A)$   $0 \in A$   
**shows**  $nth(n,env) \in A$   
 $\langle proof \rangle$

**lemma** *conj\_setclass\_model\_iff\_sats* [*iff\_sats*]:

$[[ 0 \in A; nth(i,env) = x; env \in list(A);$   
 $P \longleftrightarrow sats(A,p,env); env \in list(A) ]]$   
 $\implies (P \wedge (\#\#A)(x)) \longleftrightarrow sats(A, p, env)$   
 $[[ 0 \in A; nth(i,env) = x; env \in list(A);$   
 $P \longleftrightarrow sats(A,p,env); env \in list(A) ]]$   
 $\implies ((\#\#A)(x) \wedge P) \longleftrightarrow sats(A, p, env)$   
 $\langle proof \rangle$

**lemma** *conj\_mem\_model\_iff\_sats* [*iff\_sats*]:

$[[ 0 \in A; nth(i,env) = x; env \in list(A);$   
 $P \longleftrightarrow sats(A,p,env); env \in list(A) ]]$   
 $\implies (P \wedge x \in A) \longleftrightarrow sats(A, p, env)$   
 $[[ 0 \in A; nth(i,env) = x; env \in list(A);$   
 $P \longleftrightarrow sats(A,p,env); env \in list(A) ]]$   
 $\implies (x \in A \wedge P) \longleftrightarrow sats(A, p, env)$   
 $\langle proof \rangle$

**lemma** *mem\_model\_iff\_sats* [*iff\_sats*]:

$[[ 0 \in A; nth(i,env) = x; env \in list(A) ]]$   
 $\implies (x \in A) \longleftrightarrow sats(A, Exists(Equal(0,0)), env)$   
 $\langle proof \rangle$

**lemma** *subset\_iff\_sats*[*iff\_sats*]:

$nth(i, env) = x \implies nth(j, env) = y \implies i \in nat \implies j \in nat \implies$   
 $env \in list(A) \implies subset(\#\#A, x, y) \longleftrightarrow sats(A, subset\_fm(i, j), env)$   
 ⟨*proof*⟩

**lemma** *not\_mem\_model\_iff\_sats* [*iff\_sats*]:

$[| 0 \in A; nth(i, env) = x; env \in list(A) |]$   
 $\implies (\forall x . x \notin A) \longleftrightarrow sats(A, Neg(Exists(Equal(0, 0))), env)$   
 ⟨*proof*⟩

**lemma** *top\_iff\_sats* [*iff\_sats*]:

$env \in list(A) \implies 0 \in A \implies sats(A, Exists(Equal(0, 0)), env)$   
 ⟨*proof*⟩

**lemma** *prefix1\_iff\_sats*[*iff\_sats*]:

**assumes**

$x \in nat \ env \in list(A) \ 0 \in A \ a \in A$

**shows**

$a = nth(x, env) \longleftrightarrow sats(A, Equal(0, x +_\omega 1), Cons(a, env))$   
 $nth(x, env) = a \longleftrightarrow sats(A, Equal(x +_\omega 1, 0), Cons(a, env))$   
 $a \in nth(x, env) \longleftrightarrow sats(A, Member(0, x +_\omega 1), Cons(a, env))$   
 $nth(x, env) \in a \longleftrightarrow sats(A, Member(x +_\omega 1, 0), Cons(a, env))$   
 ⟨*proof*⟩

**lemma** *prefix2\_iff\_sats*[*iff\_sats*]:

**assumes**

$x \in nat \ env \in list(A) \ 0 \in A \ a \in A \ b \in A$

**shows**

$b = nth(x, env) \longleftrightarrow sats(A, Equal(1, x +_\omega 2), Cons(a, Cons(b, env)))$   
 $nth(x, env) = b \longleftrightarrow sats(A, Equal(x +_\omega 2, 1), Cons(a, Cons(b, env)))$   
 $b \in nth(x, env) \longleftrightarrow sats(A, Member(1, x +_\omega 2), Cons(a, Cons(b, env)))$   
 $nth(x, env) \in b \longleftrightarrow sats(A, Member(x +_\omega 2, 1), Cons(a, Cons(b, env)))$   
 ⟨*proof*⟩

**lemma** *prefix3\_iff\_sats*[*iff\_sats*]:

**assumes**

$x \in nat \ env \in list(A) \ 0 \in A \ a \in A \ b \in A \ c \in A$

**shows**

$c = nth(x, env) \longleftrightarrow sats(A, Equal(2, x +_\omega 3), Cons(a, Cons(b, Cons(c, env))))$   
 $nth(x, env) = c \longleftrightarrow sats(A, Equal(x +_\omega 3, 2), Cons(a, Cons(b, Cons(c, env))))$   
 $c \in nth(x, env) \longleftrightarrow sats(A, Member(2, x +_\omega 3), Cons(a, Cons(b, Cons(c, env))))$   
 $nth(x, env) \in c \longleftrightarrow sats(A, Member(x +_\omega 3, 2), Cons(a, Cons(b, Cons(c, env))))$   
 ⟨*proof*⟩

**lemmas** *FOL\_sats\_iff = sats\_Nand\_iff sats\_Forall\_iff sats\_Neg\_iff sats\_And\_iff*  
*sats\_Or\_iff sats\_Implies\_iff sats\_Iff\_iff sats\_Exists\_iff*

**lemma** *nth\_ConsI*:  $[| nth(n, l) = x; n \in nat |] \implies nth(succ(n), Cons(a, l)) = x$

*<proof>*

**lemmas** *nth\_rules* = *nth\_0 nth\_ConsI nat\_0I nat\_succI*  
**lemmas** *sep\_rules* = *nth\_0 nth\_ConsI FOL\_iff\_sats function\_iff\_sats*  
*fun\_plus\_iff\_sats successor\_iff\_sats*  
*omega\_iff\_sats FOL\_sats\_iff Replace\_iff\_sats*

Also a different compilation of lemmas (*termsep\_rules*) used in formula synthesis

**lemmas** *fm\_defs* =  
*omega\_fm\_def limit\_ordinal\_fm\_def empty\_fm\_def typed\_function\_fm\_def*  
*pair\_fm\_def upair\_fm\_def domain\_fm\_def function\_fm\_def succ\_fm\_def*  
*cons\_fm\_def fun\_apply\_fm\_def image\_fm\_def big\_union\_fm\_def union\_fm\_def*  
*relation\_fm\_def composition\_fm\_def field\_fm\_def ordinal\_fm\_def range\_fm\_def*  
*transset\_fm\_def subset\_fm\_def Replace\_fm\_def*

**lemmas** *formulas\_def [fm\_definitions]* = *fm\_defs*  
*is\_iterates\_fm\_def iterates\_MH\_fm\_def is\_wfrec\_fm\_def is\_recfun\_fm\_def*  
*is\_transrec\_fm\_def*  
*is\_nat\_case\_fm\_def quasinat\_fm\_def number1\_fm\_def ordinal\_fm\_def finite\_ordinal\_fm\_def*  
*cartprod\_fm\_def sum\_fm\_def Inr\_fm\_def Inl\_fm\_def*  
*formula\_functor\_fm\_def*  
*Memrel\_fm\_def transset\_fm\_def subset\_fm\_def pre\_image\_fm\_def restriction\_fm\_def*  
*list\_functor\_fm\_def tl\_fm\_def quaselist\_fm\_def Cons\_fm\_def Nil\_fm\_def*

**lemmas** *sep\_rules' [iff\_sats]* = *nth\_0 nth\_ConsI FOL\_iff\_sats function\_iff\_sats*  
*fun\_plus\_iff\_sats omega\_iff\_sats*

**lemmas** *more\_iff\_sats [iff\_sats]* = *rtran\_closure\_iff\_sats tran\_closure\_iff\_sats*  
*is\_eclose\_iff\_sats Inl\_iff\_sats Inr\_iff\_sats fun\_apply\_iff\_sats cartprod\_iff\_sats*  
*Collect\_iff\_sats*

**end**

## 8 The binder *Least*

**theory** *Least*  
**imports**  
*Internalizations*

**begin**

We have some basic results on the least ordinal satisfying a predicate.

**lemma** *Least\_Ord*:  $(\mu \alpha. R(\alpha)) = (\mu \alpha. \text{Ord}(\alpha) \wedge R(\alpha))$   
*<proof>*

**lemma** *Ord\_Least\_cong*:  
**assumes**  $\bigwedge y. \text{Ord}(y) \implies R(y) \longleftrightarrow Q(y)$

**shows**  $(\mu \alpha. R(\alpha)) = (\mu \alpha. Q(\alpha))$   
 $\langle proof \rangle$

**definition**

$least :: [i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$  **where**  
 $least(M, Q, i) \equiv ordinal(M, i) \wedge$   
 $(empty(M, i) \wedge (\forall b[M]. ordinal(M, b) \longrightarrow \neg Q(b)))$   
 $\vee (Q(i) \wedge (\forall b[M]. ordinal(M, b) \wedge b \in i \longrightarrow \neg Q(b)))$

**definition**

$least\_fm :: [i, i] \Rightarrow i$  **where**  
 $least\_fm(q, i) \equiv And(ordinal\_fm(i),$   
 $Or(And(empty\_fm(i), Forall(Implies(ordinal\_fm(0), Neg(q)))),$   
 $And(Exists(And(q, Equal(0, succ(i)))),$   
 $Forall(Implies(And(ordinal\_fm(0), Member(0, succ(i))), Neg(q))))))$

**lemma**  $least\_fm\_type[TC] : i \in nat \Longrightarrow q \in formula \Longrightarrow least\_fm(q, i) \in formula$   
 $\langle proof \rangle$

**lemmas**  $basic\_fm\_simps = sats\_subset\_fm' sats\_transset\_fm' sats\_ordinal\_fm'$

**lemma**  $sats\_least\_fm :$

**assumes**  $p\_iff\_sats:$   
 $\bigwedge a. a \in A \Longrightarrow P(a) \longleftrightarrow sats(A, p, Cons(a, env))$   
**shows**  
 $\llbracket y \in nat; env \in list(A); 0 \in A \rrbracket$   
 $\Longrightarrow sats(A, least\_fm(p, y), env) \longleftrightarrow$   
 $least(\#\#A, P, nth(y, env))$   
 $\langle proof \rangle$

**lemma**  $least\_iff\_sats [iff\_sats]:$

**assumes**  $is\_Q\_iff\_sats:$   
 $\bigwedge a. a \in A \Longrightarrow is\_Q(a) \longleftrightarrow sats(A, q, Cons(a, env))$   
**shows**  
 $\llbracket nth(j, env) = y; j \in nat; env \in list(A); 0 \in A \rrbracket$   
 $\Longrightarrow least(\#\#A, is\_Q, y) \longleftrightarrow sats(A, least\_fm(q, j), env)$   
 $\langle proof \rangle$

**lemma**  $least\_conj: a \in M \Longrightarrow least(\#\#M, \lambda x. x \in M \wedge Q(x), a) \longleftrightarrow least(\#\#M, Q, a)$   
 $\langle proof \rangle$

**context**  $M\_trivial$

**begin**

## 8.1 Uniqueness, absoluteness and closure under *Least*

**lemma**  $unique\_least:$

**assumes**  $M(a) M(b) \text{least}(M,Q,a) \text{least}(M,Q,b)$   
**shows**  $a=b$   
 $\langle \text{proof} \rangle$

**lemma** *least\_abs*:  
**assumes**  $\bigwedge x. Q(x) \implies \text{Ord}(x) \implies \exists y[M]. Q(y) \wedge \text{Ord}(y) M(a)$   
**shows**  $\text{least}(M,Q,a) \longleftrightarrow a = (\mu x. Q(x))$   
 $\langle \text{proof} \rangle$

**lemma** *Least\_closed*:  
**assumes**  $\bigwedge x. Q(x) \implies \text{Ord}(x) \implies \exists y[M]. Q(y) \wedge \text{Ord}(y)$   
**shows**  $M(\mu x. Q(x))$   
 $\langle \text{proof} \rangle$

Older, easier to apply versions (with a simpler assumption on  $Q$ ).

**lemma** *least\_abs'*:  
**assumes**  $\bigwedge x. Q(x) \implies M(x) M(a)$   
**shows**  $\text{least}(M,Q,a) \longleftrightarrow a = (\mu x. Q(x))$   
 $\langle \text{proof} \rangle$

**lemma** *Least\_closed'*:  
**assumes**  $\bigwedge x. Q(x) \implies M(x)$   
**shows**  $M(\mu x. Q(x))$   
 $\langle \text{proof} \rangle$

**end** — *M\_trivial*

**end**

## 9 Fully relational versions of higher order construct

**theory** *Higher\_Order\_Constructs*  
**imports**  
  *Recursion\_Thms*  
  *Least*  
**begin**

**syntax**  
 $\_sats :: [i, i, i] \Rightarrow o \ ((\_, \_ \models \_) [36,36,36] 25)$

**translations**  
 $(M, env \models \varphi) \equiv \text{CONST } sats(M, \varphi, env)$

**definition**  
 $is\_If :: [i \Rightarrow o, o, i, i, i] \Rightarrow o$  **where**  
 $is\_If(M, b, t, f, r) \equiv (b \longrightarrow r=t) \wedge (\neg b \longrightarrow r=f)$

**lemma** (**in** *M\_trans*) *If\_abs*:  
 $is\_If(M, b, t, f, r) \longleftrightarrow r = If(b, t, f)$   
 $\langle \text{proof} \rangle$

**definition**

$is\_If\_fm :: [i,i,i,i] \Rightarrow i$  **where**  
 $is\_If\_fm(\varphi,t,f,r) \equiv Or(And(\varphi,Equal(t,r)),And(Neg(\varphi),Equal(f,r)))$

**lemma**  $is\_If\_fm\_type$  [TC]:  $\varphi \in formula \Longrightarrow t \in nat \Longrightarrow f \in nat \Longrightarrow r \in nat$   
 $\Longrightarrow$

$is\_If\_fm(\varphi,t,f,r) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_is\_If\_fm$ :

**assumes**  $Qsats$ :  $Q \longleftrightarrow A, env \models \varphi \ env \in list(A)$   
**shows**  $is\_If(\#\#A, Q, nth(t, env), nth(f, env), nth(r, env)) \longleftrightarrow A, env \models$   
 $is\_If\_fm(\varphi,t,f,r)$   
 ⟨proof⟩

**lemma**  $is\_If\_fm\_iff\_sats$  [iff\_sats]:

**assumes**  $Qsats$ :  $Q \longleftrightarrow A, env \models \varphi$  **and**  
 $nth(t, env) = ta \ nth(f, env) = fa \ nth(r, env) = ra$   
 $t \in nat \ f \in nat \ r \in nat \ env \in list(A)$   
**shows**  $is\_If(\#\#A, Q, ta, fa, ra) \longleftrightarrow A, env \models is\_If\_fm(\varphi,t,f,r)$   
 ⟨proof⟩

**lemma**  $arity\_is\_If\_fm$  [arity]:

$\varphi \in formula \Longrightarrow t \in nat \Longrightarrow f \in nat \Longrightarrow r \in nat \Longrightarrow$   
 $arity(is\_If\_fm(\varphi, t, f, r)) = arity(\varphi) \cup succ(t) \cup succ(r) \cup succ(f)$   
 ⟨proof⟩

**definition**

$is\_The :: [i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$  **where**  
 $is\_The(M, Q, i) \equiv (Q(i) \wedge (\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \longrightarrow y = x))) \vee$   
 $(\neg(\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \longrightarrow y = x))) \wedge empty(M, i)$

**lemma** (in  $M\_trans$ )  $The\_abs$ :

**assumes**  $\bigwedge x. Q(x) \Longrightarrow M(x) \ M(a)$   
**shows**  $is\_The(M, Q, a) \longleftrightarrow a = (THE\ x.\ Q(x))$   
 ⟨proof⟩

**definition**

$is\_recursor :: [i \Rightarrow o, i, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_recursor(M, a, is\_b, k, r) \equiv is\_transrec(M, \lambda n\ f\ ntc.\ is\_nat\_case(M, a,$   
 $\lambda m\ bmfm.$   
 $\exists fm[M]. fun\_apply(M, f, m, fm) \wedge is\_b(m, fm, bmfm), n, ntc), k, r)$



**lemma** (in  $M\_eclose$ ) *recursor\_abs*:  
**assumes**  $Ord(k)$  **and**  
*types*:  $M(a) M(k) M(r)$  **and**  
*b\_iff*:  $\bigwedge m f bmf. M(m) \implies M(f) \implies M(bmf) \implies is\_b(m,f,bmf) \longleftrightarrow bmf = b(m,f)$  **and**  
*b\_closed*:  $\bigwedge m f bmf. M(m) \implies M(f) \implies M(b(m,f))$  **and**  
*repl*:  $transrec\_replacement(M, \lambda n f ntc. is\_nat\_case(M, a, \lambda m bmf m. \exists fm[M]. fun\_apply(M, f, m, fm) \wedge is\_b(m, fm, bmf m), n, ntc), k)$   
**shows**  
 $is\_recursor(M, a, is\_b, k, r) \longleftrightarrow r = recursor(a, b, k)$   
*<proof>*

**definition**  
*is\_wfrec\_on* ::  $[i=>o, [i,i,i]=>o, i,i,i, i] => o$  **where**  
 $is\_wfrec\_on(M, MH, A, r, a, z) == is\_wfrec(M, MH, r, a, z)$

**lemma** (in  $M\_tranc1$ ) *trans\_wfrec\_on\_abs*:  
 $[[wf(r); trans(r); relation(r); M(r); M(a); M(z); wfrec\_replacement(M, MH, r); relation2(M, MH, H); \forall x[M]. \forall g[M]. function(g) \longrightarrow M(H(x,g)); r-\{a\} \subseteq A; a \in A]]$   
 $==> is\_wfrec\_on(M, MH, A, r, a, z) \longleftrightarrow z = wfrec[A](r, a, H)$   
*<proof>*

**end**

## 10 Automatic relativization of terms and formulas

Relativization of terms and formulas. Relativization of formulas shares relativized terms as far as possible; assuming that the witnesses for the relativized terms are always unique.

**theory** *Relativization*

**imports**

*ZF-Constructible.Datatype\_absolute*

*Higher\_Order\_Constructs*

**keywords**

*relativize* :: *thy\_decl* % *ML*

**and**

*relativize\_tm* :: *thy\_decl* % *ML*

**and**

*reldb\_add* :: *thy\_decl* % *ML*

**and**

*reldb\_rem* :: *thy\_decl* % *ML*

**and**

*relationalize* :: *thy\_decl* % *ML*

**and**

*rel\_closed* :: *thy\_goal\_stmt* % *ML*

```

and
  is_iff_rel :: thy_goal_stmt % ML
and
  univalent :: thy_goal_stmt % ML
and
  absolute
and
  functional
and
  relational
and
  external
and
  for

begin

⟨ML⟩

lemmas relative_abs =
  M_trans.empty_abs
  M_trans.pair_abs
  M_trivial.cartprod_abs
  M_trans.union_abs
  M_trans.inter_abs
  M_trans.setdiff_abs
  M_trans.Union_abs
  M_trivial.cons_abs

  M_trivial.successor_abs
  M_trans.Collect_abs
  M_trans.Replace_abs
  M_trivial.lambda_abs2
  M_trans.image_abs

  M_trivial.nat_case_abs

  M_trivial.omega_abs
  M_basic.sum_abs
  M_trivial.Inl_abs
  M_trivial.Inr_abs
  M_basic.converse_abs
  M_basic.vimage_abs
  M_trans.domain_abs
  M_trans.range_abs
  M_basic.field_abs

  M_basic.composition_abs

```

```

M_trans.restriction_abs
M_trans.Inter_abs
M_trivial.bool_of_o_abs
M_trivial.not_abs
M_trivial.and_abs
M_trivial.or_abs
M_trivial.Nil_abs
M_trivial.Cons_abs

M_trivial.list_case_abs
M_trivial.hd_abs
M_trivial.tl_abs
M_trivial.least_abs'
M_eclose.transrec_abs
M_trans.If_abs
M_trans.The_abs
M_eclose.recursor_abs
M_trancl.trans_wfrec_abs
M_trancl.trans_wfrec_on_abs

lemmas datatype_abs =
  M_datatypes.list_N_abs
  M_datatypes.list_abs
  M_datatypes.formula_N_abs
  M_datatypes.formula_abs
  M_eclose.is_eclose_n_abs
  M_eclose.eclose_abs
  M_datatypes.length_abs
  M_datatypes.nth_abs
  M_trivial.Member_abs
  M_trivial.Equal_abs
  M_trivial.Nand_abs
  M_trivial.Forall_abs
  M_datatypes.depth_abs
  M_datatypes.formula_case_abs

declare relative_abs[absolut]
declare datatype_abs[absolut]

⟨ML⟩

declare relative_abs[Rel]

declare datatype_abs[Rel]

⟨ML⟩

end
theory Discipline_Base

```

**imports**  
*ZF-Constructible.Rank*  
*ZF\_Miscellanea*  
*M\_Basic\_No\_Repl*  
*Relativization*

**begin**

**declare**  $[[syntax\_ambiguity\_warning = false]]$

## 10.1 Discipline of relativization of basic concepts

**definition**

$is\_singleton :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_singleton(A, x, z) \equiv \exists c[A]. empty(A, c) \wedge is\_cons(A, x, c, z)$

**lemma** (in *M\_trivial*) *singleton\_abs*[*simp*] :  
 $[[ M(x) ; M(s) ]] \Longrightarrow is\_singleton(M, x, s) \longleftrightarrow s = \{x\}$   
*<proof>*

*<ML>*

**notation** *singleton\_fm* ( $\langle \cdot \{ \_ \} is \_ \cdot \rangle$ )

**lemma** (in *M\_trivial*) *singleton\_closed* [*simp*]:  
 $M(x) \Longrightarrow M(\{x\})$   
*<proof>*

**lemma** (in *M\_trivial*) *Upair\_closed*[*simp*]:  $M(a) \Longrightarrow M(b) \Longrightarrow M(Upair(a, b))$   
*<proof>*

The following named theorems gather instances of transitivity that arise from closure theorems

**named\_theorems** *trans\_closed*

**definition**

$is\_hcomp :: [i \Rightarrow o, i \Rightarrow i \Rightarrow o, i \Rightarrow i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_hcomp(M, is\_f, is\_g, a, w) \equiv \exists z[M]. is\_g(a, z) \wedge is\_f(z, w)$

**lemma** (in *M\_trivial*) *is\_hcomp\_abs*:

**assumes**

$is\_f\_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is\_f(a, z) \longleftrightarrow z = f(a)$  **and**  
 $is\_g\_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is\_g(a, z) \longleftrightarrow z = g(a)$  **and**  
 $g\_closed: \bigwedge a. M(a) \Longrightarrow M(g(a))$   
 $M(a) M(w)$

**shows**

$is\_hcomp(M, is\_f, is\_g, a, w) \longleftrightarrow w = f(g(a))$   
*<proof>*

**definition**

$hcomp\_fm :: [i \Rightarrow i \Rightarrow i, i \Rightarrow i \Rightarrow i, i, i] \Rightarrow i$  **where**  
 $hcomp\_fm(pf, pg, a, w) \equiv Exists(And(pg(succ(a), 0), pf(0, succ(w))))$

**lemma sats\_hcomp\_fm:****assumes**

$f\_iff\_sats: \bigwedge a b z. a \in nat \Longrightarrow b \in nat \Longrightarrow z \in M \Longrightarrow$   
 $is\_f(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pf(a, b), Cons(z, env))$

**and**

$g\_iff\_sats: \bigwedge a b z. a \in nat \Longrightarrow b \in nat \Longrightarrow z \in M \Longrightarrow$   
 $is\_g(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pg(a, b), Cons(z, env))$

**and**

$a \in nat \ w \in nat \ env \in list(M)$

**shows**

$sats(M, hcomp\_fm(pf, pg, a, w), env) \longleftrightarrow is\_hcomp(\#\#M, is\_f, is\_g, nth(a, env), nth(w, env))$   
 $\langle proof \rangle$

**definition**

$hcomp\_r :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $hcomp\_r(M, is\_f, is\_g, a, w) \equiv \exists z[M]. is\_g(M, a, z) \wedge is\_f(M, z, w)$

**definition**

$is\_hcomp2\_2 :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_hcomp2\_2(M, is\_f, is\_g1, is\_g2, a, b, w) \equiv \exists g1ab[M]. \exists g2ab[M].$   
 $is\_g1(M, a, b, g1ab) \wedge is\_g2(M, a, b, g2ab) \wedge is\_f(M, g1ab, g2ab, w)$

**lemma (in M\_trivial) hcomp\_abs:****assumes**

$is\_f\_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is\_f(M, a, z) \longleftrightarrow z = f(a)$  **and**  
 $is\_g\_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is\_g(M, a, z) \longleftrightarrow z = g(a)$  **and**  
 $g\_closed: \bigwedge a. M(a) \Longrightarrow M(g(a))$   
 $M(a) \ M(w)$

**shows**

$hcomp\_r(M, is\_f, is\_g, a, w) \longleftrightarrow w = f(g(a))$   
 $\langle proof \rangle$

**lemma hcomp\_uniqueness:****assumes**

$uniq\_is\_f:$   
 $\bigwedge r d d'. M(r) \Longrightarrow M(d) \Longrightarrow M(d') \Longrightarrow is\_f(M, r, d) \Longrightarrow is\_f(M, r, d') \Longrightarrow$   
 $d = d'$

**and**

$uniq\_is\_g:$   
 $\bigwedge r d d'. M(r) \Longrightarrow M(d) \Longrightarrow M(d') \Longrightarrow is\_g(M, r, d) \Longrightarrow is\_g(M, r, d') \Longrightarrow$   
 $d = d'$

**and**

$M(a) \ M(w) \ M(w')$   
 $hcomp\_r(M, is\_f, is\_g, a, w)$

$hcomp\_r(M, is\_f, is\_g, a, w')$   
**shows**  
 $w = w'$   
 ⟨proof⟩

**lemma**  $hcomp\_witness$ :

**assumes**  
 $wit\_is\_f: \bigwedge r. M(r) \implies \exists d[M]. is\_f(M, r, d)$  **and**  
 $wit\_is\_g: \bigwedge r. M(r) \implies \exists d[M]. is\_g(M, r, d)$  **and**  
 $M(a)$   
**shows**  
 $\exists w[M]. hcomp\_r(M, is\_f, is\_g, a, w)$   
 ⟨proof⟩

**lemma** (in  $M\_trivial$ )  $hcomp2\_2\_abs$ :

**assumes**  
 $is\_f\_abs: \bigwedge r1\ r2\ z. M(r1) \implies M(r2) \implies M(z) \implies is\_f(M, r1, r2, z) \longleftrightarrow z = f(r1, r2)$  **and**  
 $is\_g1\_abs: \bigwedge r1\ r2\ z. M(r1) \implies M(r2) \implies M(z) \implies is\_g1(M, r1, r2, z) \longleftrightarrow z = g1(r1, r2)$  **and**  
 $is\_g2\_abs: \bigwedge r1\ r2\ z. M(r1) \implies M(r2) \implies M(z) \implies is\_g2(M, r1, r2, z) \longleftrightarrow z = g2(r1, r2)$  **and**  
 $types: M(a)\ M(b)\ M(w)\ M(g1(a, b))\ M(g2(a, b))$   
**shows**  
 $is\_hcomp2\_2(M, is\_f, is\_g1, is\_g2, a, b, w) \longleftrightarrow w = f(g1(a, b), g2(a, b))$   
 ⟨proof⟩

**lemma**  $hcomp2\_2\_uniqueness$ :

**assumes**  
 $uniq\_is\_f:$   
 $\bigwedge r1\ r2\ d\ d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies$   
 $is\_f(M, r1, r2, d) \implies is\_f(M, r1, r2, d') \implies d = d'$   
**and**  
 $uniq\_is\_g1:$   
 $\bigwedge r1\ r2\ d\ d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies is\_g1(M, r1, r2, d)$   
 $\implies is\_g1(M, r1, r2, d') \implies$   
 $d = d'$   
**and**  
 $uniq\_is\_g2:$   
 $\bigwedge r1\ r2\ d\ d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies is\_g2(M, r1, r2, d)$   
 $\implies is\_g2(M, r1, r2, d') \implies$   
 $d = d'$   
**and**  
 $M(a)\ M(b)\ M(w)\ M(w')$   
 $is\_hcomp2\_2(M, is\_f, is\_g1, is\_g2, a, b, w)$   
 $is\_hcomp2\_2(M, is\_f, is\_g1, is\_g2, a, b, w')$   
**shows**  
 $w = w'$   
 ⟨proof⟩

**lemma** *hcomp2\_2\_witness*:

**assumes**

*wit\_is\_f*:  $\bigwedge r1\ r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is\_f}(M,r1,r2,d)$  **and**  
*wit\_is\_g1*:  $\bigwedge r1\ r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is\_g1}(M,r1,r2,d)$  **and**  
*wit\_is\_g2*:  $\bigwedge r1\ r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is\_g2}(M,r1,r2,d)$  **and**  
 $M(a) \ M(b)$

**shows**

$\exists w[M]. \text{is\_hcomp2\_2}(M,\text{is\_f},\text{is\_g1},\text{is\_g2},a,b,w)$

*<proof>*

**lemma** (**in** *M\_trivial*) *extensionality\_trans*:

**assumes**

$M(d) \wedge (\forall x[M]. x \in d \longleftrightarrow P(x))$   
 $M(d') \wedge (\forall x[M]. x \in d' \longleftrightarrow P(x))$

**shows**

$d = d'$

*<proof>*

**definition**

*lt\_rel* ::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $\text{lt\_rel}(M,a,b) \equiv a \in b \wedge \text{ordinal}(M,b)$

**lemma** (**in** *M\_trans*) *lt\_abs[absolut]*:  $M(a) \implies M(b) \implies \text{lt\_rel}(M,a,b) \longleftrightarrow a < b$

*<proof>*

**definition**

*le\_rel* ::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $\text{le\_rel}(M,a,b) \equiv \exists sb[M]. \text{successor}(M,b,sb) \wedge \text{lt\_rel}(M,a,sb)$

**lemma** (**in** *M\_trivial*) *le\_abs[absolut]*:  $M(a) \implies M(b) \implies \text{le\_rel}(M,a,b) \longleftrightarrow a \leq b$

*<proof>*

## 10.2 Discipline for *Pow*

**definition**

*is\_Pow* ::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $\text{is\_Pow}(M,A,z) \equiv M(z) \wedge (\forall x[M]. x \in z \longleftrightarrow \text{subset}(M,x,A))$

**definition**

*Pow\_rel* ::  $[i \Rightarrow o, i] \Rightarrow i$  ( $\langle \text{Pow}'(\_) \rangle$ ) **where**  
 $\text{Pow\_rel}(M,r) \equiv \text{THE } d. \text{is\_Pow}(M,r,d)$

**abbreviation**

*Pow\_r\_set* ::  $[i, i] \Rightarrow i$  ( $\langle \text{Pow}'(\_) \rangle$ ) **where**  
 $\text{Pow\_r\_set}(M) \equiv \text{Pow\_rel}(\#\#M)$

**context** *M\_basic\_no\_repl*

**begin**

**lemma** *is\_Pow\_uniqueness*:

**assumes**

$M(r)$

$is\_Pow(M,r,d) \ is\_Pow(M,r,d')$

**shows**

$d=d'$

*<proof>*

**lemma** *is\_Pow\_witness*:  $M(r) \implies \exists d[M]. \ is\_Pow(M,r,d)$

*<proof>*

**lemma** *is\_Pow\_closed* :  $\llbracket M(r); is\_Pow(M,r,d) \rrbracket \implies M(d)$

*<proof>*

**lemma** *Pow\_rel\_closed*[*intro,simp*]:  $M(r) \implies M(Pow\_rel(M,r))$

*<proof>*

**lemmas** *trans\_Pow\_rel\_closed*[*trans\_closed*] = *transM*[*OF \_ Pow\_rel\_closed*]

The proof of *f\_rel\_iff* lemma is schematic and it can be reused by copy-paste replacing appropriately.

**lemma** *Pow\_rel\_iff*:

**assumes**  $M(r) \ M(d)$

**shows**  $is\_Pow(M,r,d) \longleftrightarrow d = Pow\_rel(M,r)$

*<proof>*

The next "def\_" result really corresponds to  $?A \in Pow(?B) \longleftrightarrow ?A \subseteq ?B$

**lemma** *def\_Pow\_rel*:  $M(A) \implies M(r) \implies A \in Pow\_rel(M,r) \longleftrightarrow A \subseteq r$

*<proof>*

**lemma** *Pow\_rel\_char*:  $M(r) \implies Pow\_rel(M,r) = \{A \in Pow(r). \ M(A)\}$

*<proof>*

**lemma** *mem\_Pow\_rel\_abs*:  $M(a) \implies M(r) \implies a \in Pow\_rel(M,r) \longleftrightarrow a \in Pow(r)$

*<proof>*

**end** — *M\_basic\_no\_repl*

### 10.3 Discipline for *PiP*

**definition**

*PiP\_rel*::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**

$PiP\_rel(M,A,f) \equiv \exists df[M]. \ is\_domain(M,f,df) \wedge subset(M,A,df) \wedge is\_function(M,f)$

**context** *M\_basic*



**begin**

**lemma** *def\_PiP\_rel*:

**assumes**

$M(A) M(f)$

**shows**

$PiP\_rel(M,A,f) \longleftrightarrow A \subseteq domain(f) \wedge function(f)$

*<proof>*

**end** — *M\_basic*

**definition** — FIX THIS: not completely relational. Can it be?

*Sigfun* ::  $[i, i \Rightarrow i] \Rightarrow i$  **where**

$Sigfun(x,B) \equiv \bigcup_{y \in B(x)}. \{x,y\}$

**lemma** *Sigma\_Sigfun*:  $Sigma(A,B) = \bigcup \{Sigfun(x,B) . x \in A\}$

*<proof>*

**definition** — FIX THIS: not completely relational. Can it be?

*is\_Sigfun* ::  $[i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$  **where**

$is\_Sigfun(M,x,B,Sd) \equiv M(Sd) \wedge (\exists RB[M]. is\_Replace(M,B(x),\lambda y z. z = \{x,y\},RB) \wedge big\_union(M,RB,Sd))$

**context** *M\_trivial*

**begin**

**lemma** *is\_Sigfun\_abs*:

**assumes**

$strong\_replacement(M, \lambda y z. z = \{x,y\})$

$M(x) M(B(x)) M(Sd)$

**shows**

$is\_Sigfun(M,x,B,Sd) \longleftrightarrow Sd = Sigfun(x,B)$

*<proof>*

**lemma** *Sigfun\_closed*:

**assumes**

$strong\_replacement(M, \lambda y z. y \in B(x) \wedge z = \{x,y\})$

$M(x) M(B(x))$

**shows**

$M(Sigfun(x,B))$

*<proof>*

**lemmas** *trans\_Sigfun\_closed*[*trans\_closed*] = *transM*[*OF* \_ *Sigfun\_closed*]

**end** —  $M\_trivial$

**definition**

$is\_Sigma :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$  **where**  
 $is\_Sigma(M, A, B, S) \equiv M(S) \wedge (\exists RSf[M].$   
 $is\_Replace(M, A, \lambda x z. z = Sigfun(x, B), RSf) \wedge big\_union(M, RSf, S))$

**locale**  $M\_Pi = M\_basic +$

**assumes**

$Pi\_separation: M(A) \Longrightarrow separation(M, PiP\_rel(M, A))$

**and**

$Pi\_replacement:$

$M(x) \Longrightarrow M(y) \Longrightarrow$

$strong\_replacement(M, \lambda ya z. ya \in y \wedge z = \{x, ya\})$

$M(y) \Longrightarrow$

$strong\_replacement(M, \lambda x z. z = (\bigcup xa \in y. \{x, xa\}))$

**locale**  $M\_Pi\_assumptions = M\_Pi +$

**fixes**  $A B$

**assumes**

$Pi\_assumptions:$

$M(A)$

$\bigwedge x. x \in A \Longrightarrow M(B(x))$

$\forall x \in A. strong\_replacement(M, \lambda y z. y \in B(x) \wedge z = \{x, y\})$

$strong\_replacement(M, \lambda x z. z = Sigfun(x, B))$

**begin**

**lemma**  $Sigma\_abs[simp]:$

**assumes**

$M(S)$

**shows**

$is\_Sigma(M, A, B, S) \longleftrightarrow S = Sigma(A, B)$

$\langle proof \rangle$

**lemma**  $Sigma\_closed[intro, simp]: M(Sigma(A, B))$

$\langle proof \rangle$

**lemmas**  $trans\_Sigma\_closed[trans\_closed] = transM[OF \_ Sigma\_closed]$

**end** —  $M\_Pi\_assumptions$

## 10.4 Discipline for $Pi$

**definition**

$is\_Pi :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$  **where**

$is\_Pi(M, A, B, I) \equiv M(I) \wedge (\exists S[M]. \exists PS[M]. is\_Sigma(M, A, B, S) \wedge$

$is\_Pow(M, S, PS) \wedge$

$is\_Collect(M, PS, PiP\_rel(M, A), I))$

**definition**

$Pi\_rel :: [i \Rightarrow o, i, i \Rightarrow i] \Rightarrow i \langle Pi\_rel'(\_, \_) \rangle$  **where**  
 $Pi\_rel(M, A, B) \equiv THE\ d.\ is\_Pi(M, A, B, d)$

**abbreviation**

$Pi\_r\_set :: [i, i, i \Rightarrow i] \Rightarrow i \langle Pi\_rel'(\_, \_) \rangle$  **where**  
 $Pi\_r\_set(M, A, B) \equiv Pi\_rel(\#\#M, A, B)$

**context**  $M\_basic$ **begin**

**lemmas**  $Pow\_rel\_iff = mbnr.Pow\_rel\_iff$   
**lemmas**  $Pow\_rel\_char = mbnr.Pow\_rel\_char$   
**lemmas**  $mem\_Pow\_rel\_abs = mbnr.mem\_Pow\_rel\_abs$   
**lemmas**  $Pow\_rel\_closed = mbnr.Pow\_rel\_closed$   
**lemmas**  $def\_Pow\_rel = mbnr.def\_Pow\_rel$   
**lemmas**  $trans\_Pow\_rel\_closed = mbnr.trans\_Pow\_rel\_closed$

**end** —  $M\_basic$ **context**  $M\_Pi\_assumptions$ **begin****lemma**  $is\_Pi\_uniqueness$ :

**assumes**  
 $is\_Pi(M, A, B, d)\ is\_Pi(M, A, B, d')$   
**shows**  
 $d = d'$   
 $\langle proof \rangle$

**lemma**  $is\_Pi\_witness$ :  $\exists d[M].\ is\_Pi(M, A, B, d)$ 

$\langle proof \rangle$

**lemma**  $is\_Pi\_closed$  :  $is\_Pi(M, A, B, d) \Longrightarrow M(d)$ 

$\langle proof \rangle$

**lemma**  $Pi\_rel\_closed[intro, simp]$ :  $M(Pi\_rel(M, A, B))$ 

$\langle proof \rangle$

**lemmas**  $trans\_Pi\_rel\_closed[trans\_closed] = transM[OF\_ Pi\_rel\_closed]$ **lemma**  $Pi\_rel\_iff$ :

**assumes**  $M(d)$   
**shows**  $is\_Pi(M, A, B, d) \longleftrightarrow d = Pi\_rel(M, A, B)$   
 $\langle proof \rangle$

**lemma**  $def\_Pi\_rel$ :

$Pi\_rel(M,A,B) = \{f \in Pow\_rel(M, Sigma(A,B)). A \subseteq domain(f) \wedge function(f)\}$   
 ⟨proof⟩

**lemma**  $Pi\_rel\_char$ :  $Pi\_rel(M,A,B) = \{f \in Pi(A,B). M(f)\}$   
 ⟨proof⟩

**lemma**  $mem\_Pi\_rel\_abs$ :  
**assumes**  $M(f)$   
**shows**  $f \in Pi\_rel(M,A,B) \longleftrightarrow f \in Pi(A,B)$   
 ⟨proof⟩

**end** —  $M\_Pi\_assumptions$

The next locale (and similar ones below) are used to show the relationship between versions of simple (i.e.  $\Sigma_1^{ZF}, \Pi_1^{ZF}$ ) concepts in two different transitive models.

**locale**  $M\_N\_Pi\_assumptions = M:M\_Pi\_assumptions + N:M\_Pi\_assumptions$   
**for**  $N +$   
**assumes**  
 $M\_imp\_N:M(x) \implies N(x)$   
**begin**

**lemma**  $Pi\_rel\_transfer$ :  $Pi^M(A,B) \subseteq Pi^N(A,B)$   
 ⟨proof⟩

**end** —  $M\_N\_Pi\_assumptions$

**locale**  $M\_Pi\_assumptions\_0 = M\_Pi\_assumptions\_0$   
**begin**

This is used in the proof of  $AC\_Pi\_rel$

**lemma**  $Pi\_rel\_empty1[simp]$ :  $Pi^M(0,B) = \{0\}$   
 ⟨proof⟩

**end** —  $M\_Pi\_assumptions\_0$

**context**  $M\_Pi\_assumptions$   
**begin**

## 10.5 Auxiliary ported results on $Pi\_rel$ , now unused

**lemma**  $Pi\_rel\_iff'$ :  
**assumes**  $types:M(f)$   
**shows**  
 $f \in Pi\_rel(M,A,B) \longleftrightarrow function(f) \wedge f \subseteq Sigma(A,B) \wedge A \subseteq domain(f)$   
 ⟨proof⟩

```

lemma lam_type_M:
  assumes M(A)  $\wedge x. x \in A \implies M(B(x))$ 
     $\wedge x. x \in A \implies b(x) \in B(x)$  strong_replacement(M,  $\lambda x y. y = \langle x, b(x) \rangle$ )
  shows ( $\lambda x \in A. b(x) \in Pi\_rel(M, A, B)$ )
  <proof>

end — M_Pi_assumptions

locale M_Pi_assumptions2 = M_Pi_assumptions +
  PiC: M_Pi_assumptions __ C for C
begin

lemma Pi_rel_type:
  assumes  $f \in Pi^M(A, C) \wedge x. x \in A \implies f'x \in B(x)$ 
    and types: M(f)
  shows  $f \in Pi^M(A, B)$ 
  <proof>

lemma Pi_rel_weaken_type:
  assumes  $f \in Pi^M(A, B) \wedge x. x \in A \implies B(x) \subseteq C(x)$ 
    and types: M(f)
  shows  $f \in Pi^M(A, C)$ 
  <proof>

end — M_Pi_assumptions2

end

```

## 11 Arities of internalized formulas

```

theory Arities
  imports
    Discipline_Base
begin

lemmas FOL_arities [simp del, arity] = arity_And arity_Or arity_Implies arity_Iff
  arity_Exists

declare pred_Un_distrib[arity_aux]

context
  notes FOL_arities[simp]
begin

lemma arity_upair_fm [arity] :  $\llbracket t1 \in nat ; t2 \in nat ; up \in nat \rrbracket \implies$ 
  arity(upair_fm(t1, t2, up)) =  $\bigcup \{succ(t1), succ(t2), succ(up)\}$ 

```

$\langle proof \rangle$

**end**

**lemma** *Un\_trasposition\_aux1*:  $r \cup s \cup r = r \cup s$   $\langle proof \rangle$

**lemma** *Un\_trasposition\_aux2*:

$r \cup (s \cup (r \cup u)) = r \cup (s \cup u)$

$r \cup (s \cup (t \cup (r \cup u))) = r \cup (s \cup (t \cup u))$   $\langle proof \rangle$

Using the previous lemmas to guide the automatic arity calculation.

**context**

**notes** *Un\_assoc*[*symmetric*,*simp*] *Un\_trasposition\_aux1*[*simp*]

**begin**

$\langle ML \rangle$

**end** — context

**context**

**notes** *FOL\_arity*[*simp*]

**begin**

**lemma** *arity\_is\_recfun\_fm* [*arity*]:

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{is\_recfun\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$

$\langle proof \rangle$

**lemma** *arity\_is\_wfrec\_fm* [*arity*]:

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{is\_wfrec\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(i)))))$

$\langle proof \rangle$

**lemma** *arity\_is\_nat\_case\_fm* [*arity*]:

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{is\_nat\_case\_fm}(v, p, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(i))$

$\langle proof \rangle$

**lemma** *arity\_iterates\_MH\_fm* [*arity*]:

**assumes**  $\text{isF} \in \text{formula} \ v \in \text{nat} \ n \in \text{nat} \ g \in \text{nat} \ z \in \text{nat} \ i \in \text{nat}$

$\text{arity}(\text{isF}) = i$

**shows**  $\text{arity}(\text{iterates\_MH\_fm}(\text{isF}, v, n, g, z)) =$

$\text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(g) \cup \text{succ}(z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$

$\langle proof \rangle$

**lemma** *arity\_is\_iterates\_fm* [*arity*]:

**assumes**  $p \in \text{formula} \ v \in \text{nat} \ n \in \text{nat} \ Z \in \text{nat} \ i \in \text{nat}$

$\text{arity}(p) = i$

**shows**  $\text{arity}(\text{is\_iterates\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup$

$\langle proof \rangle$   $pred(pred(pred(pred(pred(pred(pred(pred(pred(pred(pred(i))))))))))$

**lemma** *arity\_eclose\_n\_fm* [arity]:  
**assumes**  $A \in nat \ x \in nat \ t \in nat$   
**shows**  $arity(eclose\_n\_fm(A,x,t)) = succ(A) \cup succ(x) \cup succ(t)$   
 $\langle proof \rangle$

**lemma** *arity\_mem\_eclose\_fm* [arity]:  
**assumes**  $x \in nat \ t \in nat$   
**shows**  $arity(mem\_eclose\_fm(x,t)) = succ(x) \cup succ(t)$   
 $\langle proof \rangle$

**lemma** *arity\_is\_eclose\_fm* [arity]:  
 $\llbracket x \in nat \ ; \ t \in nat \rrbracket \implies arity(is\_eclose\_fm(x,t)) = succ(x) \cup succ(t)$   
 $\langle proof \rangle$

**lemma** *arity\_Collect\_fm* [arity]:  
**assumes**  $x \in nat \ y \in nat \ p \in formula$   
**shows**  $arity(Collect\_fm(x,p,y)) = succ(x) \cup succ(y) \cup pred(arity(p))$   
 $\langle proof \rangle$

**schematic\_goal** *arity\_least\_fm'*:  
**assumes**  
 $i \in nat \ q \in formula$   
**shows**  
 $arity(least\_fm(q,i)) \equiv ?ar$   
 $\langle proof \rangle$

**lemma** *arity\_least\_fm* [arity]:  
**assumes**  
 $i \in nat \ q \in formula$   
**shows**  
 $arity(least\_fm(q,i)) = succ(i) \cup pred(arity(q))$   
 $\langle proof \rangle$

**lemma** *arity\_Replace\_fm* [arity]:  
 $\llbracket p \in formula \ ; \ v \in nat \ ; \ n \in nat \ ; \ i \in nat \rrbracket \implies arity(p) = i \implies$   
 $arity(Replace\_fm(v,p,n)) = succ(n) \cup succ(v) \cup pred(pred(i))$   
 $\langle proof \rangle$

**lemma** *arity\_lambda\_fm* [arity]:  
 $\llbracket p \in formula \ ; \ v \in nat \ ; \ n \in nat \ ; \ i \in nat \rrbracket \implies arity(p) = i \implies$   
 $arity(lambda\_fm(p,v,n)) = succ(n) \cup (succ(v) \cup (pred^3(i)))$   
 $\langle proof \rangle$

**lemma** *arity\_transrec\_fm* [arity]:  
 $\llbracket p \in formula \ ; \ v \in nat \ ; \ n \in nat \ ; \ i \in nat \rrbracket \implies arity(p) = i \implies$   
 $arity(is\_transrec\_fm(p,v,n)) = succ(v) \cup succ(n) \cup (pred^8(i))$

```

⟨proof⟩

lemma arity_wfrec_replacement_fm :
  [[ $p \in \text{formula}$  ;  $v \in \text{nat}$  ;  $n \in \text{nat}$  ;  $Z \in \text{nat}$  ;  $i \in \text{nat}$ ]]  $\implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{Exists}(\text{And}(\text{pair\_fm}(1,0,2), \text{is\_wfrec\_fm}(p,v,n,Z))))$ 
  =  $2 \cup v \cup n \cup Z \cup (\text{pred}^6(i))$ 
  ⟨proof⟩

end — FOL_aritys

declare arity_subset_fm [simp del] arity_ordinal_fm[simp del, arity] arity_transset_fm[simp del]

context
  notes Un_assoc[symmetric, simp] Un_transposition_aux1[simp]
begin
  ⟨ML⟩
end

context
  notes Un_assoc[simp] Un_transposition_aux2[simp]
begin
  ⟨ML⟩
end

⟨ML⟩

end
theory Discipline_Function
  imports
    Arities
begin

Discipline for fst ⟨ML⟩
definition
  is_fst ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
  is_fst( $M, x, t$ )  $\equiv (\exists z[M]. \text{pair}(M, t, z, x)) \vee$ 
   $(\neg(\exists z[M]. \exists w[M]. \text{pair}(M, w, z, x)) \wedge \text{empty}(M, t))$ 
  ⟨ML⟩
notation fst_fm ( $\langle \cdot \text{fst}'(\_)' \text{is } \_ \cdot \rangle$ )

  ⟨ML⟩

definition fst_rel ::  $[i \Rightarrow o, i] \Rightarrow i$  where
  fst_rel( $M, p$ )  $\equiv \text{THE } d. M(d) \wedge \text{is\_fst}(M, p, d)$ 

  ⟨ML⟩

definition

```



$is\_snd :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $is\_snd(M, x, t) \equiv (\exists z[M]. pair(M, z, t, x)) \vee$   
 $(\neg(\exists z[M]. \exists w[M]. pair(M, z, w, x)) \wedge empty(M, t))$

$\langle ML \rangle$

**notation**  $snd\_fm (\cdot.snd'(\cdot) is \cdot)$

$\langle ML \rangle$

**definition**  $snd\_rel :: [i \Rightarrow o, i] \Rightarrow i$  **where**  
 $snd\_rel(M, p) \equiv THE\ d.\ M(d) \wedge is\_snd(M, p, d)$

$\langle ML \rangle$

**context**  $M\_trans$

**begin**

**lemma**  $fst\_snd\_closed$ :  
**assumes**  $M(p)$   
**shows**  $M(fst(p)) \wedge M(snd(p))$   
 $\langle proof \rangle$

**lemma**  $fst\_closed[intro, simp]$ :  $M(x) \Longrightarrow M(fst(x))$   
 $\langle proof \rangle$

**lemma**  $snd\_closed[intro, simp]$ :  $M(x) \Longrightarrow M(snd(x))$   
 $\langle proof \rangle$

**lemma**  $fst\_abs$   $[absolut]$ :  
 $\llbracket M(p); M(x) \rrbracket \Longrightarrow is\_fst(M, p, x) \longleftrightarrow x = fst(p)$   
 $\langle proof \rangle$

**lemma**  $snd\_abs$   $[absolut]$ :  
 $\llbracket M(p); M(y) \rrbracket \Longrightarrow is\_snd(M, p, y) \longleftrightarrow y = snd(p)$   
 $\langle proof \rangle$

**lemma**  $empty\_rel\_abs$  :  $M(x) \Longrightarrow M(0) \Longrightarrow x = 0 \longleftrightarrow x = (THE\ d.\ M(d) \wedge empty(M, d))$   
 $\langle proof \rangle$

**lemma**  $fst\_rel\_abs$ :  
**assumes**  $M(p)$   
**shows**  $fst(p) = fst\_rel(M, p)$   
 $\langle proof \rangle$

**lemma**  $snd\_rel\_abs$ :  
**assumes**  $M(p)$   
**shows**  $snd(p) = snd\_rel(M, p)$   
 $\langle proof \rangle$

**end** —  $M\_trans$

$\langle ML \rangle$

**context**  $M\_trans$

**begin**

**lemma**  $minimum\_closed[simp,intro]$ :

**assumes**  $M(A)$

**shows**  $M(minimum(r,A))$

$\langle proof \rangle$

**lemma**  $first\_abs$  :

**assumes**  $M(B)$

**shows**  $first(z,B,r) \longleftrightarrow first\_rel(M,z,B,r)$

$\langle proof \rangle$

**lemma**  $minimum\_abs$ :

**assumes**  $M(B)$

**shows**  $minimum(r,B) = minimum\_rel(M,r,B)$

$\langle proof \rangle$

**end** —  $M\_trans$

## 11.1 Discipline for $\lambda A B. A \rightarrow B$

**definition**

$is\_function\_space :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$is\_function\_space(M,A,B,fs) \equiv M(fs) \wedge is\_funspace(M,A,B,fs)$

**definition**

$function\_space\_rel :: [i \Rightarrow o, i, i] \Rightarrow i$  **where**

$function\_space\_rel(M,A,B) \equiv THE d. is\_function\_space(M,A,B,d)$

$\langle ML \rangle$

**abbreviation**

$function\_space\_r :: [i, i \Rightarrow o, i] \Rightarrow i$  ( $\langle \_ \rightarrow \_ \rangle$  [61,1,61] 60) **where**

$A \rightarrow^M B \equiv function\_space\_rel(M,A,B)$

**abbreviation**

$function\_space\_r\_set :: [i, i, i] \Rightarrow i$  ( $\langle \_ \rightarrow \_ \rangle$  [61,1,61] 60) **where**

$function\_space\_r\_set(A,M) \equiv function\_space\_rel(##M,A)$

**context**  $M\_Pi$

**begin**

**lemma**  $is\_function\_space\_uniqueness$ :

**assumes**

```

    M(r) M(B)
    is_function_space(M,r,B,d) is_function_space(M,r,B,d')
  shows
    d=d'
  <proof>

lemma is_function_space_witness:
  assumes M(A) M(B)
  shows  $\exists d[M]. is\_function\_space(M,A,B,d)$ 
  <proof>

lemma is_function_space_closed :
  is_function_space(M,A,B,d)  $\implies$  M(d)
  <proof>
lemma function_space_rel_closed[intro,simp]:
  assumes M(x) M(y)
  shows M(function_space_rel(M,x,y))
  <proof>

lemmas trans_function_space_rel_closed[trans_closed] = transM[OF function_space_rel_closed]

lemma is_function_space_iff:
  assumes M(x) M(y) M(d)
  shows is_function_space(M,x,y,d)  $\longleftrightarrow$  d = function_space_rel(M,x,y)
  <proof>

lemma def_function_space_rel:
  assumes M(A) M(y)
  shows function_space_rel(M,A,y) = Pi_rel(M,A, $\lambda_. y$ )
  <proof>

lemma function_space_rel_char:
  assumes M(A) M(y)
  shows function_space_rel(M,A,y) = {f  $\in$  A  $\rightarrow$  y. M(f)}
  <proof>

lemma mem_function_space_rel_abs:
  assumes M(A) M(y) M(f)
  shows f  $\in$  function_space_rel(M,A,y)  $\longleftrightarrow$  f  $\in$  A  $\rightarrow$  y
  <proof>

end — M_Pi

locale M_N_Pi = M:M_Pi + N:M_Pi N for N +
  assumes
    M_imp_N:M(x)  $\implies$  N(x)
begin

```

**lemma** *function\_space\_rel\_transfer*:  $M(A) \implies M(B) \implies$   
 $function\_space\_rel(M,A,B) \subseteq function\_space\_rel(N,A,B)$

*<proof>*

**end** —  $M\_N\_Pi$

### abbreviation

*is\_apply*  $\equiv$  *fun\_apply*

— It is not necessary to perform the Discipline for *is\_apply* since it is absolute in this context

## 11.2 Discipline for *Collect* terms.

We have to isolate the predicate involved and apply the Discipline to it.

### definition

*injP\_rel*:  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**

$injP\_rel(M,A,f) \equiv \forall w[M]. \forall x[M]. \forall fw[M]. \forall fx[M]. w \in A \wedge x \in A \wedge$   
 $is\_apply(M,f,w,fw) \wedge is\_apply(M,f,x,fx) \wedge fw=fx \longrightarrow w=x$

*<ML>*

**context**  $M\_basic$

**begin**

— I'm undecided on keeping the relative quantifiers here. Same with *surjP* below.

It might relieve from changing  $?P(?x) \implies \exists x. ?P(x)$

$(\bigwedge x. ?P(x)) \implies \forall x. ?P(x)$  to  $\llbracket ?P(?x); ?M(?x) \rrbracket \implies \exists x[?M]. ?P(x)$

$(\bigwedge x. ?M(x) \implies ?P(x)) \implies \forall x[?M]. ?P(x)$  in some proofs. I wonder if this escalates well. Assuming that all terms appearing in the "def\_" theorem are in  $M$  and using  $\llbracket ?y \in ?x; M(?x) \rrbracket \implies M(?y)$ , it might do.

**lemma** *def\_injP\_rel*:

**assumes**

$M(A) M(f)$

**shows**

$injP\_rel(M,A,f) \longleftrightarrow (\forall w[M]. \forall x[M]. w \in A \wedge x \in A \wedge f'w=f'x \longrightarrow w=x)$

*<proof>*

**end** —  $M\_basic$

## 11.3 Discipline for *inj*

### definition

*is\_inj* ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$is\_inj(M,A,B,I) \equiv M(I) \wedge (\exists F[M]. is\_function\_space(M,A,B,F) \wedge$   
 $is\_Collect(M,F, injP\_rel(M,A,I))$

**declare** *typed\_function\_iff\_sats Collect\_iff\_sats [iff\_sats]*

*<ML>*

**notation** *is\_function\_space\_fm* (*<\_ → \_ is \_>*)

*<ML>*

**notation** *is\_inj\_fm* (*<inj'(\_,\_') is \_>*)

*<ML>*

**lemma** *arity\_is\_inj\_fm[arity]:*

*A ∈ nat ⇒*

*B ∈ nat ⇒ I ∈ nat ⇒ arity(is\_inj\_fm(A, B, I)) = succ(A) ∪ succ(B) ∪ succ(I)*

*<proof>*

**definition**

*inj\_rel :: [i⇒o,i,i] ⇒ i (<inj-'(\_,\_')>)* **where**

*inj\_rel(M,A,B) ≡ THE d. is\_inj(M,A,B,d)*

**abbreviation**

*inj\_r\_set :: [i,i,i] ⇒ i (<inj-'(\_,\_')>)* **where**

*inj\_r\_set(M) ≡ inj\_rel(##M)*

**locale** *M\_inj = M\_Pi +*

**assumes**

*injP\_separation: M(r) ⇒ separation(M, injP\_rel(M, r))*

**begin**

**lemma** *is\_inj\_uniqueness:*

**assumes**

*M(r) M(B)*

*is\_inj(M,r,B,d) is\_inj(M,r,B,d')*

**shows**

*d=d'*

*<proof>*

**lemma** *is\_inj\_witness: M(r) ⇒ M(B) ⇒ ∃ d[M]. is\_inj(M,r,B,d)*

*<proof>*

**lemma** *is\_inj\_closed :*

*is\_inj(M,x,y,d) ⇒ M(d)*

*<proof>*

**lemma** *inj\_rel\_closed[intro,simp]:*

**assumes** *M(x) M(y)*

**shows** *M(inj\_rel(M,x,y))*

*<proof>*

**lemmas** *trans\_inj\_rel\_closed*[*trans\_closed*] = *transM*[*OF\_inj\_rel\_closed*]

**lemma** *inj\_rel\_iff*:

**assumes**  $M(x) M(y) M(d)$

**shows**  $is\_inj(M,x,y,d) \longleftrightarrow d = inj\_rel(M,x,y)$

*<proof>*

**lemma** *def\_inj\_rel*:

**assumes**  $M(A) M(B)$

**shows**  $inj\_rel(M,A,B) =$

$\{f \in function\_space\_rel(M,A,B). \forall w[M]. \forall x[M]. w \in A \wedge x \in A \wedge f'w = f'x \longrightarrow w=x\}$

(**is**  $\_ = Collect(\_,?P)$ )

*<proof>*

**lemma** *inj\_rel\_char*:

**assumes**  $M(A) M(B)$

**shows**  $inj\_rel(M,A,B) = \{f \in inj(A,B). M(f)\}$

*<proof>*

**end** — *M\_inj*

**locale** *M\_N\_inj* = *M:M\_inj* + *N:M\_inj N* **for** *N* +

**assumes**

$M\_imp\_N:M(x) \Longrightarrow N(x)$

**begin**

**lemma** *inj\_rel\_transfer*:  $M(A) \Longrightarrow M(B) \Longrightarrow inj\_rel(M,A,B) \subseteq inj\_rel(N,A,B)$

*<proof>*

**end** — *M\_N\_inj*

**definition**

*surjP\_rel*::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$surjP\_rel(M,A,B,f) \equiv$

$\forall y[M]. \exists x[M]. \exists fx[M]. y \in B \longrightarrow x \in A \wedge is\_apply(M,f,x,fx) \wedge fx=y$

*<ML>*

**context** *M\_basic*

**begin**

**lemma** *def\_surjP\_rel*:

**assumes**

$M(A) M(B) M(f)$

**shows**

$surjP\_rel(M,A,B,f) \longleftrightarrow (\forall y[M]. \exists x[M]. y \in B \longrightarrow x \in A \wedge f'x=y)$   
 $\langle proof \rangle$

**end** —  $M\_basic$

## 11.4 Discipline for $surj$

**definition**

$is\_surj :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_surj(M,A,B,I) \equiv M(I) \wedge (\exists F[M]. is\_function\_space(M,A,B,F) \wedge$   
 $is\_Collect(M,F,surjP\_rel(M,A,B),I))$

$\langle ML \rangle$

**notation**  $is\_surj\_fm (\langle surj'(\_,\_') is \_ \rangle)$

**definition**

$surj\_rel :: [i \Rightarrow o, i, i] \Rightarrow i (\langle surj'(\_,\_') \rangle)$  **where**  
 $surj\_rel(M,A,B) \equiv THE d. is\_surj(M,A,B,d)$

**abbreviation**

$surj\_r\_set :: [i, i, i] \Rightarrow i (\langle surj'(\_,\_') \rangle)$  **where**  
 $surj\_r\_set(M) \equiv surj\_rel(##M)$

**locale**  $M\_surj = M\_Pi +$

**assumes**

$surjP\_separation: M(A) \Longrightarrow M(B) \Longrightarrow separation(M, \lambda x. surjP\_rel(M,A,B,x))$

**begin**

**lemma**  $is\_surj\_uniqueness:$

**assumes**

$M(r) M(B)$

$is\_surj(M,r,B,d) is\_surj(M,r,B,d')$

**shows**

$d=d'$

$\langle proof \rangle$

**lemma**  $is\_surj\_witness: M(r) \Longrightarrow M(B) \Longrightarrow \exists d[M]. is\_surj(M,r,B,d)$

$\langle proof \rangle$

**lemma**  $is\_surj\_closed :$

$is\_surj(M,x,y,d) \Longrightarrow M(d)$

$\langle proof \rangle$

**lemma**  $surj\_rel\_closed[intro,simp]:$

**assumes**  $M(x) M(y)$

**shows**  $M(surj\_rel(M,x,y))$

*<proof>*

**lemmas** *trans\_surj\_rel\_closed*[*trans\_closed*] = *transM*[*OF\_surj\_rel\_closed*]

**lemma** *surj\_rel\_iff*:

**assumes**  $M(x) M(y) M(d)$

**shows**  $is\_surj(M,x,y,d) \iff d = surj\_rel(M,x,y)$

*<proof>*

**lemma** *def\_surj\_rel*:

**assumes**  $M(A) M(B)$

**shows**  $surj\_rel(M,A,B) =$

$\{f \in function\_space\_rel(M,A,B). \forall y[M]. \exists x[M]. y \in B \longrightarrow x \in A \wedge f'x=y\}$

(**is**  $\_ = Collect(\_,?P)$ )

*<proof>*

**lemma** *surj\_rel\_char*:

**assumes**  $M(A) M(B)$

**shows**  $surj\_rel(M,A,B) = \{f \in surj(A,B). M(f)\}$

*<proof>*

**end** — *M\_surj*

**locale** *M\_N\_surj* = *M:M\_surj* + *N:M\_surj* **for** *N* +

**assumes**

$M\_imp\_N:M(x) \implies N(x)$

**begin**

**lemma** *surj\_rel\_transfer*:  $M(A) \implies M(B) \implies surj\_rel(M,A,B) \subseteq surj\_rel(N,A,B)$

*<proof>*

**end** — *M\_N\_surj*

**definition**

$is\_Int :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$is\_Int(M,A,B,I) \equiv M(I) \wedge (\forall x[M]. x \in I \iff x \in A \wedge x \in B)$

*<ML>*

**notation** *is\_Int\_fm* ( $\langle \_ \cap \_ is \_ \rangle$ )

**context** *M\_basic*

**begin**

**lemma** *is\_Int\_closed* :

$is\_Int(M,A,B,I) \implies M(I)$

*<proof>*



**lemma** *is\_Int\_abs*:

**assumes**

$M(A) M(B) M(I)$

**shows**

$is\_Int(M, A, B, I) \longleftrightarrow I = A \cap B$

*<proof>*

**lemma** *is\_Int\_uniqueness*:

**assumes**

$M(r) M(B)$

$is\_Int(M, r, B, d) is\_Int(M, r, B, d')$

**shows**

$d = d'$

*<proof>*

Note:  $\llbracket M(?A); M(?B) \rrbracket \implies M(?A \cap ?B)$  already in *ZF-Constructible.Relative*.

**end** — *M\_basic*

## 11.5 Discipline for *bij*

*<ML>*

**notation** *is\_bij\_fm* ( $\langle \cdot \text{bij}'(\_, \_) \rangle is \_ \cdot \rangle$ )

**abbreviation**

$bij\_r\_class :: [i \Rightarrow o, i, i] \Rightarrow i (\langle \text{bij}'(\_, \_) \rangle)$  **where**

$bij\_r\_class \equiv bij\_rel$

**abbreviation**

$bij\_r\_set :: [i, i, i] \Rightarrow i (\langle \text{bij}'(\_, \_) \rangle)$  **where**

$bij\_r\_set(M) \equiv bij\_rel(\#\#M)$

**locale** *M\_Perm* = *M\_Pi* + *M\_inj* + *M\_surj*

**begin**

**lemma** *is\_bij\_closed* :  $is\_bij(M, f, y, d) \implies M(d)$

*<proof>*

**lemma** *bij\_rel\_closed*[*intro, simp*]:

**assumes**  $M(x) M(y)$

**shows**  $M(bij\_rel(M, x, y))$

*<proof>*

**lemmas** *trans\_bij\_rel\_closed*[*trans\_closed*] = *transM*[*OF* \_ *bij\_rel\_closed*]

**lemma** *bij\_rel\_iff*:

**assumes**  $M(x) M(y) M(d)$

**shows**  $is\_bij(M, x, y, d) \longleftrightarrow d = bij\_rel(M, x, y)$

*<proof>*

**lemma** *def\_bij\_rel*:  
**assumes**  $M(A) M(B)$   
**shows**  $\text{bij\_rel}(M,A,B) = \text{inj\_rel}(M,A,B) \cap \text{surj\_rel}(M,A,B)$   
 $\langle \text{proof} \rangle$

**lemma** *bij\_rel\_char*:  
**assumes**  $M(A) M(B)$   
**shows**  $\text{bij\_rel}(M,A,B) = \{f \in \text{bij}(A,B). M(f)\}$   
 $\langle \text{proof} \rangle$

**end** —  $M\_Perm$

**locale**  $M\_N\_Perm = M\_N\_Pi + M\_N\_inj + M\_N\_surj + M:M\_Perm + N:M\_Perm N$

**begin**

**lemma** *bij\_rel\_transfer*:  $M(A) \implies M(B) \implies \text{bij\_rel}(M,A,B) \subseteq \text{bij\_rel}(N,A,B)$   
 $\langle \text{proof} \rangle$

**end** —  $M\_N\_Perm$

## 11.6 Discipline for ( $\approx$ )

$\langle ML \rangle$

**notation** *is\_eqpoll\_fm* ( $\langle \_ \approx \_ \rangle$ )

**context**  $M\_Perm$  **begin**

$\langle ML \rangle$

$\langle \text{proof} \rangle$

**end** —  $M\_Perm$

**abbreviation**

$\text{eqpoll}_r :: [i,i \Rightarrow o,i] \Rightarrow o (\langle \_ \approx \_ \rangle [51,1,51] 50)$  **where**  
 $A \approx^M B \equiv \text{eqpoll\_rel}(M,A,B)$

**abbreviation**

$\text{eqpoll}_r\_set :: [i,i,i] \Rightarrow o (\langle \_ \approx \_ \rangle [51,1,51] 50)$  **where**  
 $\text{eqpoll}_r\_set(A,M) \equiv \text{eqpoll\_rel}(\#\#M,A)$

**context**  $M\_Perm$

**begin**

**lemma** *def\_eqpoll\_rel*:

**assumes**

$M(A) M(B)$

**shows**

$eqpoll\_rel(M,A,B) \longleftrightarrow (\exists f[M]. f \in bij\_rel(M,A,B))$   
 $\langle proof \rangle$

**end** —  $M\_Perm$

**context**  $M\_N\_Perm$   
**begin**

**lemma**  $eqpoll\_rel\_transfer$ : **assumes**  $A \approx^M B$   $M(A)$   $M(B)$   
**shows**  $A \approx^N B$   
 $\langle proof \rangle$

**end** —  $M\_N\_Perm$

## 11.7 Discipline for $(\lesssim)$

$\langle ML \rangle$

**notation**  $is\_lepoll\_fm$   $(\langle \_ \lesssim \_ \rangle)$   
 $\langle ML \rangle$

**context**  $M\_inj$  **begin**

$\langle ML \rangle$

$\langle proof \rangle$

**end** —  $M\_inj$

**abbreviation**

$lepoll\_r :: [i,i \Rightarrow o,i] \Rightarrow o$   $(\langle \_ \lesssim \_ \rangle [51,1,51] 50)$  **where**  
 $A \lesssim^M B \equiv lepoll\_rel(M,A,B)$

**abbreviation**

$lepoll\_r\_set :: [i,i,i] \Rightarrow o$   $(\langle \_ \lesssim \_ \rangle [51,1,51] 50)$  **where**  
 $lepoll\_r\_set(A,M) \equiv lepoll\_rel(##M,A)$

**context**  $M\_Perm$

**begin**

**lemma**  $def\_lepoll\_rel$ :

**assumes**

$M(A)$   $M(B)$

**shows**

$lepoll\_rel(M,A,B) \longleftrightarrow (\exists f[M]. f \in inj\_rel(M,A,B))$

$\langle proof \rangle$

**end** —  $M\_Perm$

**context**  $M\_N\_Perm$

**begin**

**lemma** *lepoll\_rel\_transfer*: **assumes**  $A \lesssim^M B$   $M(A)$   $M(B)$   
**shows**  $A \lesssim^N B$   
*<proof>*

**end** — *M\_N\_Perm*

## 11.8 Discipline for $\prec$

*<ML>*

**notation** *is\_lesspoll\_fm* ( $\prec \_ \prec \_ \cdot$ )

*<ML>*

**context** *M\_Perm* **begin**

*<ML>*

*<proof>*

**end** — *M\_Perm*

**abbreviation**

*lesspoll\_r* ::  $[i, i \Rightarrow o, i] \Rightarrow o$  ( $\prec \_ \prec \_ \_$ ) [51,1,51] 50) **where**  
 $A \prec^M B \equiv \text{lesspoll\_rel}(M, A, B)$

**abbreviation**

*lesspoll\_r\_set* ::  $[i, i, i] \Rightarrow o$  ( $\prec \_ \prec \_ \_$ ) [51,1,51] 50) **where**  
 $\text{lesspoll\_r\_set}(A, M) \equiv \text{lesspoll\_rel}(\#\#M, A)$

Since *lesspoll\_rel* is defined as a propositional combination of older terms, there is no need for a separate “def” theorem for it.

Note that *lesspoll\_rel* is neither  $\Sigma_1^{ZF}$  nor  $\Pi_1^{ZF}$ , so there is no “transfer” theorem for it.

**end**

## 12 Replacements using Lambdas

**theory** *Lambda\_Replacement*

**imports**

*Discipline\_Function*

**begin**

In this theory we prove several instances of separation and replacement in *M\_basic*. Moreover we introduce a new locale assuming two instances of separation and twelve instances of lambda replacements (ie, replacement of the form  $\lambda xy.y = \langle x, f(x) \rangle$ ) we prove a bunch of other instances.

**definition**

$lam\_replacement :: [i \Rightarrow o, i \Rightarrow i] \Rightarrow o$  **where**  
 $lam\_replacement(M, b) \equiv strong\_replacement(M, \lambda x y. y = \langle x, b(x) \rangle)$

**lemma** *separation\_univ* :  
**shows**  $separation(M, M)$   
 $\langle proof \rangle$

**context** *M\_basic*  
**begin**

**lemma** *separation\_iff'*:  
**assumes**  $separation(M, \lambda x. P(x))$   $separation(M, \lambda x. Q(x))$   
**shows**  $separation(M, \lambda x. P(x)) \longleftrightarrow Q(x)$   
 $\langle proof \rangle$

**lemma** *separation\_in\_constant* :  
**assumes**  $M(a)$   
**shows**  $separation(M, \lambda x. x \in a)$   
 $\langle proof \rangle$

**lemma** *separation\_equal* :  
**shows**  $separation(M, \lambda x. x = a)$   
 $\langle proof \rangle$

**lemma** (**in** *M\_basic*) *separation\_in\_rev*:  
**assumes**  $(M)(a)$   
**shows**  $separation(M, \lambda x. a \in x)$   
 $\langle proof \rangle$

**lemma** *lam\_replacement\_iff\_lam\_closed*:  
**assumes**  $\forall x[M]. M(b(x))$   
**shows**  $lam\_replacement(M, b) \longleftrightarrow (\forall A[M]. M(\lambda x \in A. b(x)))$   
 $\langle proof \rangle$

**lemma** *lam\_replacement\_imp\_lam\_closed*:  
**assumes**  $lam\_replacement(M, b)$   $M(A) \forall x \in A. M(b(x))$   
**shows**  $M(\lambda x \in A. b(x))$   
 $\langle proof \rangle$

**lemma** *lam\_replacement\_cong*:  
**assumes**  $lam\_replacement(M, f) \forall x[M]. f(x) = g(x) \forall x[M]. M(f(x))$   
**shows**  $lam\_replacement(M, g)$   
 $\langle proof \rangle$

**lemma** *converse\_subset* :  $converse(r) \subseteq \{ \langle snd(x), fst(x) \rangle . x \in r \}$   
 $\langle proof \rangle$

**lemma** *converse\_eq\_aux* :

**assumes**  $\langle 0, 0 \rangle \in r$   
**shows**  $\text{converse}(r) = \{\langle \text{snd}(x), \text{fst}(x) \rangle . x \in r\}$   
 $\langle \text{proof} \rangle$

**lemma** *converse\_eq\_aux'* :  
**assumes**  $\langle 0, 0 \rangle \notin r$   
**shows**  $\text{converse}(r) = \{\langle \text{snd}(x), \text{fst}(x) \rangle . x \in r\} - \{\langle 0, 0 \rangle\}$   
 $\langle \text{proof} \rangle$

**lemma** *diff\_un* :  $b \subseteq a \implies (a - b) \cup b = a$   
 $\langle \text{proof} \rangle$

**lemma** *converse\_eq*:  $\text{converse}(r) = (\{\langle \text{snd}(x), \text{fst}(x) \rangle . x \in r\} - \{\langle 0, 0 \rangle\}) \cup (r \cap \{\langle 0, 0 \rangle\})$   
 $\langle \text{proof} \rangle$

**lemma** *range\_subset* :  $\text{range}(r) \subseteq \{\text{snd}(x) . x \in r\}$   
 $\langle \text{proof} \rangle$

**lemma** *lam\_replacement\_imp\_strong\_replacement\_aux*:  
**assumes**  $\text{lam\_replacement}(M, b) \forall x[M]. M(b(x))$   
**shows**  $\text{strong\_replacement}(M, \lambda x y. y = b(x))$   
 $\langle \text{proof} \rangle$

**lemma** *lam\_replacement\_imp\_RepFun\_Lam*:  
**assumes**  $\text{lam\_replacement}(M, f) M(A)$   
**shows**  $M(\{y . x \in A, M(y) \wedge y = \langle x, f(x) \rangle\})$   
 $\langle \text{proof} \rangle$

**lemma** *lam\_closed\_imp\_closed*:  
**assumes**  $\forall A[M]. M(\lambda x \in A. f(x))$   
**shows**  $\forall x[M]. M(f(x))$   
 $\langle \text{proof} \rangle$

**lemma** *lam\_replacement\_if*:  
**assumes**  $\text{lam\_replacement}(M, f) \text{lam\_replacement}(M, g) \text{separation}(M, b)$   
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \text{if } b(x) \text{ then } f(x) \text{ else } g(x))$   
 $\langle \text{proof} \rangle$

**lemma** *lam\_replacement\_constant*:  $M(b) \implies \text{lam\_replacement}(M, \lambda \_. b)$   
 $\langle \text{proof} \rangle$

## 12.1 Replacement instances obtained through Powerset

The next few lemmas provide bounds for certain constructions.

**lemma** *not\_functional\_Replace\_0*:  
**assumes**  $\neg(\forall y y'. P(y) \wedge P(y') \longrightarrow y = y')$   
**shows**  $\{y . x \in A, P(y)\} = 0$   
 $\langle \text{proof} \rangle$

**lemma** *Replace\_in\_Pow\_rel*:

**assumes**  $\bigwedge x b. x \in A \implies P(x,b) \implies b \in U \vee x \in A. \forall y y'. P(x,y) \wedge P(x,y') \longrightarrow y=y'$

*separation*( $M, \lambda y. \exists x[M]. x \in A \wedge P(x, y)$ )

$M(U) M(A)$

**shows**  $\{y . x \in A, P(x, y)\} \in Pow^M(U)$

*<proof>*

**lemma** *Replace\_sing\_0\_in\_Pow\_rel*:

**assumes**  $\bigwedge b. P(b) \implies b \in U$

*separation*( $M, \lambda y. P(y)$ )  $M(U)$

**shows**  $\{y . x \in \{0\}, P(y)\} \in Pow^M(U)$

*<proof>*

**lemma** *The\_in\_Pow\_rel\_Union*:

**assumes**  $\bigwedge b. P(b) \implies b \in U$  *separation*( $M, \lambda y. P(y)$ )  $M(U)$

**shows**  $(THE\ i. P(i)) \in Pow^M(\bigcup U)$

*<proof>*

**lemma** *separation\_least*: *separation*( $M, \lambda y. Ord(y) \wedge P(y) \wedge (\forall j. j < y \longrightarrow \neg P(j))$ )

*<proof>*

**lemma** *Least\_in\_Pow\_rel\_Union*:

**assumes**  $\bigwedge b. P(b) \implies b \in U$

$M(U)$

**shows**  $(\mu\ i. P(i)) \in Pow^M(\bigcup U)$

*<proof>*

**lemma** *bounded\_lam\_replacement*:

**fixes**  $U$

**assumes**  $\forall X[M]. \forall x \in X. f(x) \in U(X)$

**and** *separation\_f*:  $\forall A[M].$  *separation*( $M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, f(x) \rangle$ )

**and**  $U\_closed$  [*intro,simp*]:  $\bigwedge X. M(X) \implies M(U(X))$

**shows** *lam\_replacement*( $M, f$ )

*<proof>*

**lemma** *lam\_replacement\_domain'*:

**assumes**  $\forall A[M].$  *separation*( $M, \lambda y. \exists x \in A. y = \langle x, domain(x) \rangle$ )

**shows** *lam\_replacement*( $M, domain$ )

*<proof>*

**lemma** *lam\_replacement\_fst'*:

**assumes**  $\forall A[M].$  *separation*( $M, \lambda y. \exists x \in A. y = \langle x, fst(x) \rangle$ )

**shows** *lam\_replacement*( $M, fst$ )

*<proof>*

**lemma** *lam\_replacement\_restrict*:

**assumes**  $\forall A[M].$  *separation*( $M, \lambda y. \exists x \in A. y = \langle x, restrict(x,B) \rangle$ )  $M(B)$

**shows**  $\text{lam\_replacement}(M, \lambda r . \text{restrict}(r, B))$   
 $\langle \text{proof} \rangle$

**end** —  $M\_basic$

**locale**  $M\_replacement = M\_basic +$

**assumes**

$\text{lam\_replacement\_domain}: \text{lam\_replacement}(M, \text{domain})$

**and**

$\text{lam\_replacement\_fst}: \text{lam\_replacement}(M, \text{fst})$

**and**

$\text{lam\_replacement\_snd}: \text{lam\_replacement}(M, \text{snd})$

**and**

$\text{lam\_replacement\_Union}: \text{lam\_replacement}(M, \text{Union})$

**and**

$\text{middle\_del\_replacement}: \text{strong\_replacement}(M, \lambda x y. y = \langle \text{fst}(\text{fst}(x)), \text{snd}(\text{snd}(x)) \rangle)$

**and**

$\text{product\_replacement}:$

$\text{strong\_replacement}(M, \lambda x y. y = \langle \text{snd}(\text{fst}(x)), \langle \text{fst}(\text{fst}(x)), \text{snd}(\text{snd}(x)) \rangle \rangle)$

**and**

$\text{lam\_replacement\_Upair}: \text{lam\_replacement}(M, \lambda p. \text{Upair}(\text{fst}(p), \text{snd}(p)))$

**and**

$\text{lam\_replacement\_Diff}: \text{lam\_replacement}(M, \lambda p. \text{fst}(p) - \text{snd}(p))$

**and**

$\text{lam\_replacement\_Image}: \text{lam\_replacement}(M, \lambda p. \text{fst}(p) \text{ “ } \text{snd}(p))$

**and**

$\text{middle\_separation}: \text{separation}(M, \lambda x. \text{snd}(\text{fst}(x)) = \text{fst}(\text{snd}(x)))$

**and**

$\text{separation\_fst\_in\_snd}: \text{separation}(M, \lambda y. \text{fst}(\text{snd}(y)) \in \text{snd}(\text{snd}(y)))$

**and**

$\text{lam\_replacement\_converse} : \text{lam\_replacement}(M, \text{converse})$

**and**

$\text{lam\_replacement\_comp}: \text{lam\_replacement}(M, \lambda x. \text{fst}(x) \circ \text{snd}(x))$

**begin**

**lemma**  $\text{lam\_replacement\_imp\_strong\_replacement}:$

**assumes**  $\text{lam\_replacement}(M, f)$

**shows**  $\text{strong\_replacement}(M, \lambda x y. y = f(x))$

$\langle \text{proof} \rangle$

**lemma**  $\text{Collect\_middle}: \{ p \in (\lambda x \in A. f(x)) \times (\lambda x \in \{ f(x) . x \in A \}. g(x)) . \text{snd}(\text{fst}(p)) = \text{fst}(\text{snd}(p)) \}$

$= \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \}$

$\langle \text{proof} \rangle$

**lemma**  $\text{RepFun\_middle\_del}: \{ \langle \text{fst}(\text{fst}(p)), \text{snd}(\text{snd}(p)) \rangle . p \in \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \} \}$

$= \{ \langle x, g(f(x)) \rangle . x \in A \}$

$\langle \text{proof} \rangle$



**lemma** *lam\_replacement\_imp\_RepFun*:  
**assumes** *lam\_replacement*(*M*, *f*) *M*(*A*)  
**shows** *M*( $\{y . x \in A , M(y) \wedge y=f(x)\}$ )  
*<proof>*

**lemma** *lam\_replacement\_product*:  
**assumes** *lam\_replacement*(*M*,*f*) *lam\_replacement*(*M*,*g*)  
**shows** *lam\_replacement*(*M*,  $\lambda x. \langle f(x),g(x) \rangle$ )  
*<proof>*

**lemma** *lam\_replacement\_hcomp*:  
**assumes** *lam\_replacement*(*M*,*f*) *lam\_replacement*(*M*,*g*)  $\forall x[M]. M(f(x))$   
**shows** *lam\_replacement*(*M*,  $\lambda x. g(f(x))$ )  
*<proof>*

**lemma** *lam\_replacement\_Collect* :  
**assumes** *M*(*A*)  $\forall x[M]. \text{separation}(M, F(x))$   
 $\text{separation}(M, \lambda p . \forall x \in A. x \in \text{snd}(p) \longleftrightarrow F(\text{fst}(p), x))$   
**shows** *lam\_replacement*(*M*,  $\lambda x. \{y \in A . F(x, y)\}$ )  
*<proof>*

**lemma** *lam\_replacement\_hcomp2*:  
**assumes** *lam\_replacement*(*M*,*f*) *lam\_replacement*(*M*,*g*)  
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$   
 $\text{lam\_replacement}(M, \lambda p. h(\text{fst}(p), \text{snd}(p)))$   
 $\forall x[M]. \forall y[M]. M(h(x, y))$   
**shows** *lam\_replacement*(*M*,  $\lambda x. h(f(x), g(x))$ )  
*<proof>*

**lemma** *lam\_replacement\_identity*: *lam\_replacement*(*M*,  $\lambda x. x$ )  
*<proof>*

**lemma** *lam\_replacement\_vimage* :  
**shows** *lam\_replacement*(*M*,  $\lambda x. \text{fst}(x) - \text{snd}(x)$ )  
*<proof>*

**lemma** *strong\_replacement\_separation\_aux* :  
**assumes** *strong\_replacement*(*M*,  $\lambda x y . y=f(x)$ ) *separation*(*M*,*P*)  
**shows** *strong\_replacement*(*M*,  $\lambda x y . P(x) \wedge y=f(x)$ )  
*<proof>*

**lemma** *separation\_in*:  
**assumes**  $\forall x[M]. M(f(x)) \text{lam\_replacement}(M, f)$   
 $\forall x[M]. M(g(x)) \text{lam\_replacement}(M, g)$   
**shows** *separation*(*M*,  $\lambda x . f(x) \in g(x)$ )  
*<proof>*

**lemma** *lam\_replacement\_swap*: *lam\_replacement*(*M*,  $\lambda x. \langle \text{snd}(x), \text{fst}(x) \rangle$ )  
*<proof>*

**lemma** *lam\_replacement\_range* : *lam\_replacement*(*M*,*range*)  
 ⟨*proof*⟩

**lemma** *separation\_in\_range* :  $M(a) \implies \text{separation}(M, \lambda x. a \in \text{range}(x))$   
 ⟨*proof*⟩

**lemma** *separation\_in\_domain* :  $M(a) \implies \text{separation}(M, \lambda x. a \in \text{domain}(x))$   
 ⟨*proof*⟩

**lemma** *lam\_replacement\_separation* :  
**assumes** *lam\_replacement*(*M*,*f*) *separation*(*M*,*P*)  
**shows** *strong\_replacement*(*M*,  $\lambda x y. P(x) \wedge y = \langle x, f(x) \rangle$ )  
 ⟨*proof*⟩

**lemmas** *strong\_replacement\_separation* =  
*strong\_replacement\_separation\_aux*[*OF lam\_replacement\_imp\_strong\_replacement*]

**lemma** *id\_closed*:  $M(A) \implies M(\text{id}(A))$   
 ⟨*proof*⟩

**lemma** *relation\_separation*: *separation*(*M*,  $\lambda z. \exists x y. z = \langle x, y \rangle$ )  
 ⟨*proof*⟩

**lemma** *separation\_pair*:  
**assumes** *separation*(*M*,  $\lambda y. P(\text{fst}(y), \text{snd}(y))$ )  
**shows** *separation*(*M*,  $\lambda y. \exists u v. y = \langle u, v \rangle \wedge P(u, v)$ )  
 ⟨*proof*⟩

**lemma** *lam\_replacement\_Pair*:  
**shows** *lam\_replacement*(*M*,  $\lambda x. \langle \text{fst}(x), \text{snd}(x) \rangle$ )  
 ⟨*proof*⟩

**lemma** *lam\_replacement\_Un*: *lam\_replacement*(*M*,  $\lambda p. \text{fst}(p) \cup \text{snd}(p)$ )  
 ⟨*proof*⟩

**lemma** *lam\_replacement\_cons*: *lam\_replacement*(*M*,  $\lambda p. \text{cons}(\text{fst}(p), \text{snd}(p))$ )  
 ⟨*proof*⟩

**lemma** *lam\_replacement\_sing*: *lam\_replacement*(*M*,  $\lambda x. \{x\}$ )  
 ⟨*proof*⟩

**lemmas** *tag\_replacement* = *lam\_replacement\_constant*[*unfolded lam\_replacement\_def*]

**lemma** *lam\_replacement\_id2*: *lam\_replacement*(*M*,  $\lambda x. \langle x, x \rangle$ )  
 ⟨*proof*⟩

**lemmas** *id\_replacement* = *lam\_replacement\_id2*[*unfolded lam\_replacement\_def*]

**lemma** *lam\_replacement\_apply2*:  $\text{lam\_replacement}(M, \lambda p. \text{fst}(p) \text{ ' } \text{snd}(p))$   
*<proof>*

**definition** *map\_snd* **where**  
 $\text{map\_snd}(X) = \{\text{snd}(z) \mid z \in X\}$

**lemma** *map\_sndE*:  $y \in \text{map\_snd}(X) \implies \exists p \in X. y = \text{snd}(p)$   
*<proof>*

**lemma** *map\_sndI* :  $\exists p \in X. y = \text{snd}(p) \implies y \in \text{map\_snd}(X)$   
*<proof>*

**lemma** *map\_snd\_closed*:  $M(x) \implies M(\text{map\_snd}(x))$   
*<proof>*

**lemma** *lam\_replacement\_imp\_lam\_replacement\_RepFun*:  
**assumes**  $\text{lam\_replacement}(M, f) \forall x[M]. M(f(x))$   
 $\text{separation}(M, \lambda x. ((\forall y \in \text{snd}(x). \text{fst}(y) \in \text{fst}(x)) \wedge (\forall y \in \text{fst}(x). \exists u \in \text{snd}(x). y = \text{fst}(u))))$   
**and**  
 $\text{lam\_replacement\_RepFun\_snd}: \text{lam\_replacement}(M, \text{map\_snd})$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \{f(y) \mid y \in x\})$   
*<proof>*

**lemma** *lam\_replacement\_apply*:  $M(S) \implies \text{lam\_replacement}(M, \lambda x. S \text{ ' } x)$   
*<proof>*

**lemma** *apply\_replacement*:  $M(S) \implies \text{strong\_replacement}(M, \lambda x y. y = S \text{ ' } x)$   
*<proof>*

**lemma** *lam\_replacement\_id\_const*:  $M(b) \implies \text{lam\_replacement}(M, \lambda x. \langle x, b \rangle)$   
*<proof>*

**lemmas** *postpend\_replacement* =  $\text{lam\_replacement\_id\_const}[\text{unfolded lam\_replacement\_def}]$

**lemma** *lam\_replacement\_const\_id*:  $M(b) \implies \text{lam\_replacement}(M, \lambda z. \langle b, z \rangle)$   
*<proof>*

**lemmas** *prepend\_replacement* =  $\text{lam\_replacement\_const\_id}[\text{unfolded lam\_replacement\_def}]$

**lemma** *lam\_replacement\_apply\_const\_id*:  $M(f) \implies M(z) \implies$   
 $\text{lam\_replacement}(M, \lambda x. f \text{ ' } \langle z, x \rangle)$   
*<proof>*

**lemmas** *apply\_replacement2* =  $\text{lam\_replacement\_apply\_const\_id}[\text{unfolded lam\_replacement\_def}]$

**lemma** *lam\_replacement\_Inl*:  $\text{lam\_replacement}(M, \text{Inl})$   
*<proof>*

**lemma** *lam\_replacement\_Inr*:  $lam\_replacement(M, Inr)$

*<proof>*

**lemmas** *Inl\_replacement1* =  $lam\_replacement\_Inl[unfolded\ lam\_replacement\_def]$

**lemma** *lam\_replacement\_Diff'*:  $M(X) \implies lam\_replacement(M, \lambda x. x - X)$

*<proof>*

**lemmas** *Pair\_diff\_replacement* =  $lam\_replacement\_Diff'[unfolded\ lam\_replacement\_def]$

**lemma** *diff\_Pair\_replacement*:  $M(p) \implies strong\_replacement(M, \lambda x\ y. y = \langle x, x - \{p\} \rangle)$

*<proof>*

**lemma** *swap\_replacement*:  $strong\_replacement(M, \lambda x\ y. y = \langle x, (\lambda \langle x, y \rangle. \langle y, x \rangle)(x) \rangle)$

*<proof>*

**lemma** *lam\_replacement\_Un\_const*:  $M(b) \implies lam\_replacement(M, \lambda x. x \cup b)$

*<proof>*

**lemmas** *tag\_union\_replacement* =  $lam\_replacement\_Un\_const[unfolded\ lam\_replacement\_def]$

**lemma** *lam\_replacement\_csquare*:  $lam\_replacement(M, \lambda p. \langle fst(p) \cup snd(p), fst(p),$

$snd(p) \rangle)$

*<proof>*

**lemma** *csquare\_lam\_replacement*:  $strong\_replacement(M, \lambda x\ y. y = \langle x, (\lambda \langle x, y \rangle.$

$\langle x \cup y, x, y \rangle)(x) \rangle)$

*<proof>*

**lemma** *lam\_replacement\_assoc*:  $lam\_replacement(M, \lambda x. \langle fst(fst(x)), snd(fst(x)),$

$snd(x) \rangle)$

*<proof>*

**lemma** *assoc\_replacement*:  $strong\_replacement(M, \lambda x\ y. y = \langle x, (\lambda \langle \langle x, y \rangle, z \rangle. \langle x,$

$y, z \rangle)(x) \rangle)$

*<proof>*

**lemma** *lam\_replacement\_prod\_fun*:  $M(f) \implies M(g) \implies lam\_replacement(M, \lambda x.$

$\langle f \text{ ' } fst(x), g \text{ ' } snd(x) \rangle)$

*<proof>*

**lemma** *prod\_fun\_replacement*:  $M(f) \implies M(g) \implies$

$strong\_replacement(M, \lambda x\ y. y = \langle x, (\lambda \langle w, y \rangle. \langle f \text{ ' } w, g \text{ ' } y \rangle)(x) \rangle)$

*<proof>*

**lemma** *lam\_replacement\_vimage\_sing*:  $lam\_replacement(M, \lambda p. fst(p) - \{snd(p)\})$

*<proof>*

**lemma** *lam\_replacement\_vimage\_sing\_fun*:  $M(f) \implies \text{lam\_replacement}(M, \lambda x. f \text{ -'' } \{x\})$

*<proof>*

**lemma** *lam\_replacement\_image\_sing\_fun*:  $M(f) \implies \text{lam\_replacement}(M, \lambda x. f \text{ '' } \{x\})$

*<proof>*

**lemma** *converse\_apply\_projs*:  $\forall x[M]. \bigcup (fst(x) \text{ -'' } \{snd(x)\}) = \text{converse}(fst(x)) \text{ ' } (snd(x))$

*<proof>*

**lemma** *lam\_replacement\_converse\_app*:  $\text{lam\_replacement}(M, \lambda p. \text{converse}(fst(p)) \text{ ' } snd(p))$

*<proof>*

**lemmas** *cardinal\_lib\_assms4* = *lam\_replacement\_vimage\_sing\_fun*[*unfolded lam\_replacement\_def*]

**lemma** *lam\_replacement\_sing\_const\_id*:

$M(x) \implies \text{lam\_replacement}(M, \lambda y. \{\langle x, y \rangle\})$

*<proof>*

**lemma** *tag\_singleton\_closed*:  $M(x) \implies M(z) \implies M(\{\{\langle z, y \rangle\} \cdot y \in x\})$

*<proof>*

**lemma** *separation\_eq*:

**assumes**  $\forall x[M]. M(f(x)) \text{ lam\_replacement}(M, f)$

$\forall x[M]. M(g(x)) \text{ lam\_replacement}(M, g)$

**shows**  $\text{separation}(M, \lambda x. f(x) = g(x))$

*<proof>*

**lemma** *separation\_subset*:

**assumes**  $\forall x[M]. M(f(x)) \text{ lam\_replacement}(M, f)$

$\forall x[M]. M(g(x)) \text{ lam\_replacement}(M, g)$

**shows**  $\text{separation}(M, \lambda x. f(x) \subseteq g(x))$

*<proof>*

**lemma** *separation\_ball*:

**assumes**  $\text{separation}(M, \lambda y. f(fst(y), snd(y))) \text{ } M(X)$

**shows**  $\text{separation}(M, \lambda y. \forall u \in X. f(y, u))$

*<proof>*

**lemma** *lam\_replacement\_twist*:  $\text{lam\_replacement}(M, \lambda \langle x, y, z \rangle. \langle x, y, z \rangle)$

*<proof>*

**lemma** *twist\_closed*[*intro, simp*]:  $M(x) \implies M((\lambda \langle x, y, z \rangle. \langle x, y, z \rangle)(x))$

*<proof>*

**lemma** *lam\_replacement\_Lambda*:

**assumes**  $\text{lam\_replacement}(M, \lambda y. b(fst(y), snd(y)))$

$\forall w[M]. \forall y[M]. M(b(w, y)) \implies M(W)$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \lambda w \in W. b(x, w))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_apply\_Pair}$ :  
**assumes**  $M(y)$   
**shows**  $\text{lam\_replacement}(M, \lambda x. y \text{ ' } \langle \text{fst}(x), \text{snd}(x) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_apply\_fst\_snd}$ :  
**shows**  $\text{lam\_replacement}(M, \lambda w. \text{fst}(w) \text{ ' } \langle \text{fst}(\text{snd}(w)), \text{snd}(\text{snd}(w)) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_snd\_in\_fst}$ :  $\text{separation}(M, \lambda x. \text{snd}(x) \in \text{fst}(x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_if\_mem}$ :  
 $\text{lam\_replacement}(M, \lambda x. \text{if } \text{snd}(x) \in \text{fst}(x) \text{ then } 1 \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_Lambda\_apply\_fst\_snd}$ :  
**assumes**  $M(X)$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \lambda w \in X. x \text{ ' } \langle \text{fst}(w), \text{snd}(w) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_Lambda\_apply\_Pair}$ :  
**assumes**  $M(X) \ M(y)$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \lambda w \in X. y \text{ ' } \langle x, w \rangle)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_Lambda\_if\_mem}$ :  
**assumes**  $M(X)$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \lambda xa \in X. \text{if } xa \in x \text{ then } 1 \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_replacement\_comp'}$ :  
 $M(f) \implies M(g) \implies \text{lam\_replacement}(M, \lambda x. f \circ x \circ g)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_bex}$ :  
**assumes**  $\text{separation}(M, \lambda y. f(\text{fst}(y), \text{snd}(y))) \ M(X)$   
**shows**  $\text{separation}(M, \lambda y. \exists u \in X. f(y, u))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{case\_closed}$  :  
**assumes**  $\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$   
**shows**  $\forall x[M]. M(\text{case}(f, g, x))$   
 $\langle \text{proof} \rangle$

**lemma** *separation\_fst\_equal* :  $M(a) \implies \text{separation}(M, \lambda x . \text{fst}(x)=a)$   
 ⟨proof⟩

**lemma** *lam\_replacement\_case* :  
**assumes**  $\text{lam\_replacement}(M, f) \text{ lam\_replacement}(M, g)$   
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$   
**shows**  $\text{lam\_replacement}(M, \lambda x . \text{case}(f, g, x))$   
 ⟨proof⟩

**lemma** *Pi\_replacement1*:  $M(x) \implies M(y) \implies \text{strong\_replacement}(M, \lambda ya z. ya \in y \wedge z = \{\langle x, ya \rangle\})$   
 ⟨proof⟩

**lemma** *surj\_imp\_inj\_replacement1*:  
 $M(f) \implies M(x) \implies \text{strong\_replacement}(M, \lambda y z. y \in f^{-1}\{x\} \wedge z = \{\langle x, y \rangle\})$   
 ⟨proof⟩

**lemmas** *domain\_replacement* =  $\text{lam\_replacement\_domain}[\text{unfolded lam\_replacement\_def}]$

**lemma** *domain\_replacement\_simp*:  $\text{strong\_replacement}(M, \lambda x y. y = \text{domain}(x))$   
 ⟨proof⟩

**lemma** *un\_Pair\_replacement*:  $M(p) \implies \text{strong\_replacement}(M, \lambda x y . y = x \cup \{p\})$   
 ⟨proof⟩

**lemma** *diff\_replacement*:  $M(X) \implies \text{strong\_replacement}(M, \lambda x y. y = x - X)$   
 ⟨proof⟩

**lemma** *lam\_replacement\_succ*:  
 $\text{lam\_replacement}(M, \lambda z . \text{succ}(z))$   
 ⟨proof⟩

**lemma** *lam\_replacement\_hcomp\_Least*:  
**assumes**  $\text{lam\_replacement}(M, g) \text{ lam\_replacement}(M, \lambda x. \mu i. x \in F(i, x))$   
 $\forall x[M]. M(g(x)) \wedge x i. M(x) \implies i \in F(i, x) \implies M(i)$   
**shows**  $\text{lam\_replacement}(M, \lambda x. \mu i. g(x) \in F(i, g(x)))$   
 ⟨proof⟩

**lemma** *domain\_mem\_separation*:  $M(A) \implies \text{separation}(M, \lambda x . \text{domain}(x) \in A)$   
 ⟨proof⟩

**lemma** *domain\_eq\_separation*:  $M(p) \implies \text{separation}(M, \lambda x . \text{domain}(x) = p)$   
 ⟨proof⟩

**lemma** *lam\_replacement\_Int*:  
**shows**  $\text{lam\_replacement}(M, \lambda x. \text{fst}(x) \cap \text{snd}(x))$   
 ⟨proof⟩

**lemma** *lam\_replacement\_CartProd*:

**assumes**  $\text{lam\_replacement}(M,f) \text{ lam\_replacement}(M,g)$   
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$   
**shows**  $\text{lam\_replacement}(M, \lambda x. f(x) \times g(x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{restrict\_eq\_separation}' : M(B) \implies \forall A[M]. \text{separation}(M, \lambda y. \exists x \in A. y =$   
 $\langle x, \text{restrict}(x, B) \rangle)$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{lam\_replacement\_restrict}' = \text{lam\_replacement\_restrict}[\text{OF } \text{restrict\_eq\_separation}']$

**lemma**  $\text{restrict\_strong\_replacement} : M(A) \implies \text{strong\_replacement}(M, \lambda x y. y = \text{restrict}(x, A))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{restrict\_eq\_separation} : M(r) \implies M(p) \implies \text{separation}(M, \lambda x. \text{restrict}(x, r)$   
 $= p)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_equal\_fst2} : M(a) \implies \text{separation}(M, \lambda x. \text{fst}(\text{fst}(x)) = a)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_equal\_apply} : M(f) \implies M(a) \implies \text{separation}(M, \lambda x. f'x = a)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lam\_apply\_replacement} : M(A) \implies M(f) \implies \text{lam\_replacement}(M, \lambda x.$   
 $\lambda n \in A. f' \langle x, n \rangle)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_all} :$   
**assumes**  $\text{separation}(M, \lambda x. P(\text{fst}(x), \text{snd}(x)))$   
**shows**  $\text{separation}(M, \lambda z. \forall x \in z. P(z, x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_Transset} : \text{separation}(M, \text{Transset})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_comp} :$   
**assumes**  $\text{separation}(M, P) \text{ lam\_replacement}(M, f) \forall x[M]. M(f(x))$   
**shows**  $\text{separation}(M, \lambda x. P(f(x)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{separation\_Ord} : \text{separation}(M, \text{Ord})$   
 $\langle \text{proof} \rangle$

**end** —  $M\_replacement$

**locale**  $M\_replacement\_extra = M\_replacement +$   
**assumes**  
 $\text{lam\_replacement\_minimum} : \text{lam\_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$



**and**  
 $lam\_replacement\_RepFun\_cons: lam\_replacement(M, \lambda p. RepFun(fst(p), \lambda x. \langle snd(p), x \rangle))$   
 — This one is too particular: It is for *Sigfun*. I would like greater modularity here.

**begin**  
**lemma** *lam\_replacement\_Sigfun*:  
**assumes**  $lam\_replacement(M, f) \forall y[M]. M(f(y))$   
**shows**  $lam\_replacement(M, \lambda x. Sigfun(x, f))$   
 $\langle proof \rangle$

## 12.2 Particular instances

**lemma** *surj\_imp\_inj\_replacement2*:  
 $M(f) \implies strong\_replacement(M, \lambda x z. z = Sigfun(x, \lambda y. f^{-1}\{y\}))$   
 $\langle proof \rangle$

**lemma** *lam\_replacement\_minimum\_vimage*:  
 $M(f) \implies M(r) \implies lam\_replacement(M, \lambda x. minimum(r, f^{-1}\{x\}))$   
 $\langle proof \rangle$

**lemmas** *surj\_imp\_inj\_replacement4 = lam\_replacement\_minimum\_vimage[unfolded lam\_replacement\_def]*

**lemma** *lam\_replacement\_Pi*:  $M(y) \implies lam\_replacement(M, \lambda x. \bigcup xa \in y. \langle x, xa \rangle)$   
 $\langle proof \rangle$

**lemma** *Pi\_replacement2*:  $M(y) \implies strong\_replacement(M, \lambda x z. z = (\bigcup xa \in y. \langle x, xa \rangle))$   
 $\langle proof \rangle$

**lemma** *if\_then\_Inj\_replacement*:  
**shows**  $M(A) \implies strong\_replacement(M, \lambda x y. y = \langle x, if\ x \in A\ then\ Inl(x)\ else\ Inr(x) \rangle)$   
 $\langle proof \rangle$

**lemma** *lam\_if\_then\_replacement*:  
 $M(b) \implies$   
 $M(a) \implies M(f) \implies strong\_replacement(M, \lambda y ya. ya = \langle y, if\ y = a\ then\ b\ else\ f^{-1}\{y\} \rangle)$   
 $\langle proof \rangle$

**lemma** *if\_then\_replacement*:  
 $M(A) \implies M(f) \implies M(g) \implies strong\_replacement(M, \lambda x y. y = \langle x, if\ x \in A\ then\ f^{-1}\{x\}\ else\ g^{-1}\{x\} \rangle)$   
 $\langle proof \rangle$

**lemma** *ifx\_replacement*:

$M(f) \implies$   
 $M(b) \implies \text{strong\_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in \text{range}(f) \text{ then } \text{converse}(f)$   
 $\langle x \text{ else } b \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *if\_then\_range\_replacement2*:

$M(A) \implies M(C) \implies \text{strong\_replacement}(M, \lambda x y. y = \langle x, \text{if } x = \text{Inl}(A) \text{ then } C$   
 $\text{else } x \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *if\_then\_range\_replacement*:

$M(u) \implies$   
 $M(f) \implies$   
 $\text{strong\_replacement}$   
 $(M,$   
 $\lambda z y. y = \langle z, \text{if } z = u \text{ then } f \langle 0 \text{ else if } z \in \text{range}(f) \text{ then } f \langle \text{succ}(\text{converse}(f)$   
 $\langle z \rangle \text{ else } z \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *Inl\_replacement2*:

$M(A) \implies$   
 $\text{strong\_replacement}(M, \lambda x y. y = \langle x, \text{if } \text{fst}(x) = A \text{ then } \text{Inl}(\text{snd}(x)) \text{ else } \text{Inr}(x) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *case\_replacement1*:

$\text{strong\_replacement}(M, \lambda z y. y = \langle z, \text{case}(\text{Inr}, \text{Inl}, z) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *case\_replacement2*:

$\text{strong\_replacement}(M, \lambda z y. y = \langle z, \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)),$   
 $z) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *case\_replacement4*:

$M(f) \implies M(g) \implies \text{strong\_replacement}(M, \lambda z y. y = \langle z, \text{case}(\lambda w. \text{Inl}(f \langle w \rangle),$   
 $\lambda y. \text{Inr}(g \langle y \rangle), z) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *case\_replacement5*:

$\text{strong\_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle x, z \rangle. \text{case}(\lambda y. \text{Inl}(\langle y, z \rangle), \lambda y. \text{Inr}(\langle y,$   
 $z \rangle), x)) \langle x \rangle \rangle)$   
 $\langle \text{proof} \rangle$

**end** — *M\_replacement\_extra*

— To be used in the relativized treatment of Cohen posets

**definition**

— "domain collect F"

$dC\_F :: i \Rightarrow i \Rightarrow i$  **where**  
 $dC\_F(A,d) \equiv \{p \in A. \text{domain}(p) = d\}$

**definition**

— "domain restrict SepReplace Y"

$drSR\_Y :: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$  **where**

$drSR\_Y(B,D,A,x) \equiv \{y . r \in A, \text{restrict}(r,B) = x \wedge y = \text{domain}(r) \wedge \text{domain}(r) \in D\}$

**lemma**  $drSR\_Y\_equality$ :  $drSR\_Y(B,D,A,x) = \{ dr \in D . (\exists r \in A . \text{restrict}(r,B) = x \wedge dr = \text{domain}(r)) \}$   
 ⟨proof⟩

**context**  $M\_replacement\_extra$   
**begin**

**lemma**  $separation\_restrict\_eq\_dom\_eq$ :  $\forall x[M]. \text{separation}(M, \lambda dr. \exists r \in A . \text{restrict}(r,B) = x \wedge dr = \text{domain}(r))$   
**if**  $M(A)$  **and**  $M(B)$  **for**  $A B$   
 ⟨proof⟩

**lemma**  $separation\_is\_insnd\_restrict\_eq\_dom$  :  $separation(M, \lambda p. \forall x \in D. x \in \text{snd}(p) \iff (\exists r \in A. \text{restrict}(r, B) = \text{fst}(p) \wedge x = \text{domain}(r)))$   
**if**  $M(B) M(D) M(A)$  **for**  $A B D$   
 ⟨proof⟩

**lemma**  $lam\_replacement\_drSR\_Y$ :  
**assumes**  
 $M(B) M(D) M(A)$   
**shows**  $lam\_replacement(M, drSR\_Y(B,D,A))$   
 ⟨proof⟩

**lemma**  $drSR\_Y\_closed$ :  
**assumes**  
 $M(B) M(D) M(A) M(f)$   
**shows**  $M(drSR\_Y(B,D,A,f))$   
 ⟨proof⟩

**lemma**  $lam\_if\_then\_apply\_replacement$ :  $M(f) \implies M(v) \implies M(u) \implies lam\_replacement(M, \lambda x. \text{if } f ' x = v \text{ then } f ' u \text{ else } f ' x)$   
 ⟨proof⟩

**lemma**  $lam\_if\_then\_apply\_replacement2$ :  $M(f) \implies M(m) \implies M(y) \implies lam\_replacement(M, \lambda z. \text{if } f ' z = m \text{ then } y \text{ else } f ' z)$   
 ⟨proof⟩

**lemma**  $lam\_if\_then\_replacement2$ :  $M(A) \implies M(f) \implies lam\_replacement(M, \lambda x. \text{if } x \in A \text{ then } f ' x \text{ else } x)$

*<proof>*

**lemma** *lam\_if\_then\_replacement\_apply*:  $M(G) \implies \text{lam\_replacement}(M, \lambda x. \text{if } M(x) \text{ then } G \text{ ' } x \text{ else } 0)$   
*<proof>*

**lemma** *lam\_replacement\_dC\_F*:  
**assumes**  $M(A)$   
**shows**  $\text{lam\_replacement}(M, dC\_F(A))$   
*<proof>*

**lemma** *dCF\_closed*:  
**assumes**  $M(A) \ M(f)$   
**shows**  $M(dC\_F(A,f))$   
*<proof>*

**lemma** *lam\_replacement\_min*:  $M(f) \implies M(r) \implies \text{lam\_replacement}(M, \lambda x . \text{minimum}(r, f \text{ -'' } \{x\}))$   
*<proof>*

**lemma** *lam\_replacement\_Collect\_ball\_Pair*:  
**assumes**  $\text{separation}(M, \lambda p. \forall x \in G. x \in \text{snd}(p) \longleftrightarrow (\forall s \in \text{fst}(p). \langle s, x \rangle \in Q))$   
 $M(G) \ M(Q)$   
**shows**  $\text{lam\_replacement}(M, \lambda x . \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\})$   
*<proof>*

**lemma** *surj\_imp\_inj\_replacement3*:  
 $(\bigwedge x. M(x) \implies \text{separation}(M, \lambda y. \forall s \in x. \langle s, y \rangle \in Q)) \implies M(G) \implies M(Q) \implies M(x) \implies$   
 $\text{strong\_replacement}(M, \lambda y z. y \in \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\} \wedge z = \{\langle x, y \rangle\})$   
*<proof>*

**lemmas** *replacements = Pair\_diff\_replacement id\_replacement tag\_replacement*  
*pospend\_replacement prepend\_replacement*  
*Inl\_replacement1 diff\_Pair\_replacement*  
*swap\_replacement tag\_union\_replacement csquare\_lam\_replacement*  
*assoc\_replacement prod\_fun\_replacement*  
*cardinal\_lib\_assms4 domain\_replacement*  
*apply\_replacement*  
*un\_Pair\_replacement restrict\_strong\_replacement diff\_replacement*  
*if\_then\_Inj\_replacement lam\_if\_then\_replacement if\_then\_replacement*  
*ifx\_replacement if\_then\_range\_replacement2 if\_then\_range\_replacement*  
*Inl\_replacement2*  
*case\_replacement1 case\_replacement2 case\_replacement4 case\_replacement5*

**end** — *M\_replacement\_extra*

**end**

**theory** *Discipline\_Cardinal*

```

imports
  Discipline_Function
begin

declare [[syntax_ambiguity_warning = false]]

⟨ML⟩

notation is_cardinal_fm (⟨cardinal'(_) is _⟩)

abbreviation
  cardinal_r :: [i,i⇒o] ⇒ i (⟨|_|-⟩) where
  |x|M ≡ cardinal_rel(M,x)

abbreviation
  cardinal_r_set :: [i,i] ⇒ i (⟨|_|-⟩) where
  |x|M ≡ cardinal_rel(##M,x)

context M_trivial begin
⟨ML⟩
  ⟨proof⟩
end

⟨ML⟩
  ⟨proof⟩

⟨ML⟩

lemma arity_is_surj_fm [arity] :
  A ∈ nat ⇒ B ∈ nat ⇒ I ∈ nat ⇒ arity(is_surj_fm(A, B, I)) = succ(A) ∪
succ(B) ∪ succ(I)
  ⟨proof⟩

⟨ML⟩

lemma arity_is_inj_fm [arity]:
  A ∈ nat ⇒ B ∈ nat ⇒ I ∈ nat ⇒ arity(is_inj_fm(A, B, I)) = succ(A) ∪
succ(B) ∪ succ(I)
  ⟨proof⟩

⟨ML⟩

context M_Perm begin

⟨ML⟩
  ⟨proof⟩
end

⟨ML⟩

```

**notation**  $lt\_rel\_fm$  ( $\langle \cdot \_ < \_ \cdot \rangle$ )  
 $\langle ML \rangle$

**lemma**  $arity\_lt\_rel\_fm[arity]$ :  $a \in nat \implies b \in nat \implies arity(lt\_rel\_fm(a, b)) = succ(a) \cup succ(b)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**notation**  $is\_Card\_fm$  ( $\langle \cdot Card'(\_) \cdot \rangle$ )  
 $\langle ML \rangle$

**notation**  $Card\_rel$  ( $\langle Card'(\_) \rangle$ )

**lemma** (in  $M\_Perm$ )  $is\_Card\_iff$ :  $M(A) \implies is\_Card(M, A) \longleftrightarrow Card^M(A)$   
 $\langle proof \rangle$

**abbreviation**

$Card\_r\_set :: [i, i] \Rightarrow o$  ( $\langle Card'(\_) \rangle$ ) **where**  
 $Card^M(i) \equiv Card\_rel(\#\#M, i)$

$\langle ML \rangle$

**notation**  $is\_InfCard\_fm$  ( $\langle \cdot InfCard'(\_) \cdot \rangle$ )  
 $\langle ML \rangle$

**notation**  $InfCard\_rel$  ( $\langle InfCard'(\_) \rangle$ )

**abbreviation**

$InfCard\_r\_set :: [i, i] \Rightarrow o$  ( $\langle InfCard'(\_) \rangle$ ) **where**  
 $InfCard^M(i) \equiv InfCard\_rel(\#\#M, i)$

$\langle ML \rangle$

**abbreviation**

$cadd\_r :: [i, i \Rightarrow o, i] \Rightarrow i$  ( $\langle \_ \oplus \_ \rangle$  [66, 1, 66] 65) **where**  
 $A \oplus^M B \equiv cadd\_rel(M, A, B)$

**context**  $M\_basic$  **begin**

$\langle ML \rangle$

$\langle proof \rangle$

**end**

$\langle ML \rangle$

$\langle proof \rangle$

$\langle ML \rangle$

**context**  $M\_Perm$  **begin**

$\langle ML \rangle$

*<proof>*  
**end**

*<ML>*

**abbreviation**

$cmult\_r :: [i, i \Rightarrow o, i] \Rightarrow i \langle \_ \otimes \_ \rangle [66, 1, 66] 65$  **where**  
 $A \otimes^M B \equiv cmult\_rel(M, A, B)$

*<ML>*

**declare** *cartprod\_iff\_sats* [*iff\_sats*]

*<ML>*

**context** *M\_Perm* **begin**

*<ML>*  
*<proof>*

*<ML>*  
*<proof>*

**end**

**end**

## 13 Relativization of the cumulative hierarchy

**theory** *Univ\_Relative*

**imports**

*ZF-Constructible.Rank*

*ZF.Univ*

*Discipline\_Cardinal*

**begin**

**declare** *arity\_ordinal\_fm*[*arity*]

**context** *M\_trivial*

**begin**

**declare** *powerset\_abs*[*simp*]

**lemma** *family\_union\_closed*:  $\llbracket strong\_replacement(M, \lambda x y. y = f(x)); M(A);$   
 $\forall x \in A. M(f(x)) \rrbracket$

$\implies M(\bigcup x \in A. f(x))$

*<proof>*

**lemma** *family\_union\_closed'*:  $\llbracket \text{strong\_replacement}(M, \lambda x y. x \in A \wedge y = f(x));$   
 $M(A); \forall x \in A. M(f(x)) \rrbracket$   
 $\implies M(\bigcup x \in A. f(x))$   
 $\langle \text{proof} \rangle$

**end** — *M\_trivial*

**definition**

$\text{Powapply} :: [i, i] \Rightarrow i$  **where**  
 $\text{Powapply}(f, y) \equiv \text{Pow}(f'y)$

$\langle \text{ML} \rangle$

**declare** *Replace\_iff\_sats*[*iff\_sats*]  
 $\langle \text{ML} \rangle$

**notation** *Powapply\_rel* ( $\langle \text{Powapply}^{-1}(\_, \_) \rangle$ )

**context** *M\_basic*

**begin**

$\langle \text{ML} \rangle$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$   
 $\langle \text{proof} \rangle$

**end** — *M\_basic*

**definition**

$\text{HVfrom} :: [i, i, i] \Rightarrow i$  **where**  
 $\text{HVfrom}(A, x, f) \equiv A \cup (\bigcup y \in x. \text{Powapply}(f, y))$

$\langle \text{ML} \rangle$

**lemma** *arity\_is\_HVfrom\_fm*:

$A \in \text{nat} \implies$   
 $x \in \text{nat} \implies$   
 $f \in \text{nat} \implies$   
 $d \in \text{nat} \implies$   
 $\text{arity}(\text{is\_HVfrom\_fm}(A, x, f, d)) = \text{succ}(A) \cup \text{succ}(d) \cup (\text{succ}(x) \cup \text{succ}(f))$   
 $\langle \text{proof} \rangle$

**notation** *HVfrom\_rel* ( $\langle \text{HVfrom}^{-1}(\_, \_, \_) \rangle$ )

**locale** *M\_HVfrom* = *M\_eclose* +

**assumes**

$\text{Powapply\_replacement}:$   
 $M(f) \implies \text{strong\_replacement}(M, \lambda y z. z = \text{Powapply}^M(f, y))$



**begin**

$\langle ML \rangle$   
 $\langle proof \rangle$

$\langle ML \rangle$   
 $\langle proof \rangle$

**end** —  $M\_HVfrom$

**definition**

$Vfrom\_rel :: [i \Rightarrow o, i, i] \Rightarrow i \ (\langle Vfrom\_rel'(\_, \_) \rangle)$  **where**  
 $Vfrom^M(A, i) = transrec(i, HVfrom\_rel(M, A))$

**definition**

$is\_Vfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_Vfrom(M, A, i, z) \equiv is\_transrec(M, is\_HVfrom(M, A), i, z)$

**definition**

$Hrank :: [i, i] \Rightarrow i$  **where**  
 $Hrank(x, f) \equiv (\bigcup y \in x. succ(f'y))$

**definition**

$rrank :: i \Rightarrow i$  **where**  
 $rrank(a) \equiv Memrel(eclose(\{a\}))^{\wedge+}$

$\langle ML \rangle$

**lemma**  $arity\_is\_Hrank\_fm : x \in nat \Longrightarrow$

$f \in nat \Longrightarrow$   
 $d \in nat \Longrightarrow$   
 $arity(is\_Hrank\_fm(x, f, d)) =$   
 $succ(d) \cup succ(x) \cup succ(f)$   
 $\langle proof \rangle$

**locale**  $M\_Vfrom = M\_HVfrom +$

**assumes**

$trepl\_HVfrom : \llbracket M(A); M(i) \rrbracket \Longrightarrow transrec\_replacement(M, is\_HVfrom(M, A), i)$   
**and**  
 $Hrank\_replacement : M(f) \Longrightarrow strong\_replacement(M, \lambda x y . y = succ(f'x))$   
**and**  
 $is\_Hrank\_replacement : M(x) \Longrightarrow wfrec\_replacement(M, is\_Hrank(M), rrank(x))$   
**and**  
 $HVfrom\_replacement : \llbracket M(i) ; M(A) \rrbracket \Longrightarrow$   
 $transrec\_replacement(M, is\_HVfrom(M, A), i)$

**begin**

**lemma**  $Vfrom\_rel\_iff :$

**assumes**  $M(A) M(i) M(z) \text{Ord}(i)$   
**shows**  $\text{is\_Vfrom}(M, A, i, z) \longleftrightarrow z = \text{Vfrom}^M(A, i)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{relation2\_HVfrom}$ :  $M(A) \implies \text{relation2}(M, \text{is\_HVfrom}(M, A), \text{HVfrom\_rel}(M, A))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{HVfrom\_closed}$  :  
 $M(A) \implies \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{HVfrom\_rel}(M, A, x, g))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Vfrom\_rel\_closed}$ :  
**assumes**  $M(A) M(i) \text{Ord}(i)$   
**shows**  $M(\text{transrec}(i, \text{HVfrom\_rel}(M, A)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{transrec\_HVfrom}$ :  
**assumes**  $M(A)$   
**shows**  $\text{Ord}(i) \implies M(i) \implies \{x \in \text{Vfrom}(A, i). M(x)\} = \text{transrec}(i, \text{HVfrom\_rel}(M, A))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Vfrom\_abs}$ :  $\llbracket M(A); M(i); M(V); \text{Ord}(i) \rrbracket \implies \text{is\_Vfrom}(M, A, i, V) \longleftrightarrow$   
 $V = \{x \in \text{Vfrom}(A, i). M(x)\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Vfrom\_closed}$ :  $\llbracket M(A); M(i); \text{Ord}(i) \rrbracket \implies M(\{x \in \text{Vfrom}(A, i). M(x)\})$   
 $\langle \text{proof} \rangle$

**end** —  $M\_Vfrom$

### 13.1 Formula synthesis

**context**  $M\_Vfrom$   
**begin**

$\langle ML \rangle$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{relation2\_Hrank}$  :  
 $\text{relation2}(M, \text{is\_Hrank}(M), \text{Hrank})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Hrank\_closed}$  :  
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{Hrank}(x, g))$   
 $\langle \text{proof} \rangle$

**end** —  $M\_basic$

**context**  $M\_eclose$   
**begin**

**lemma**  $wf\_rrank : M(x) \implies wf(rrank(x))$   
 $\langle proof \rangle$

**lemma**  $trans\_rrank : M(x) \implies trans(rrank(x))$   
 $\langle proof \rangle$

**lemma**  $relation\_rrank : M(x) \implies relation(rrank(x))$   
 $\langle proof \rangle$

**lemma**  $rrank\_in\_M : M(x) \implies M(rrank(x))$   
 $\langle proof \rangle$

**end** —  $M\_eclose$

**lemma**  $Hrank\_trancl: Hrank(y, restrict(f, Memrel(eclose(\{x\}) - \{\{y\}\}))$   
 $= Hrank(y, restrict(f, (Memrel(eclose(\{x\})) \hat{+}) - \{\{y\}\}))$   
 $\langle proof \rangle$

**lemma**  $rank\_trancl: rank(x) = wfrec(rrank(x), x, Hrank)$   
 $\langle proof \rangle$

**definition**

$Vset' :: [i] \Rightarrow i$  **where**  
 $Vset'(A) \equiv Vfrom(0, A)$

$\langle ML \rangle$

**schematic\_goal**  $sats\_is\_Vset\_fm\_auto:$

**assumes**

$i \in nat \ v \in nat \ env \in list(A) \ 0 \in A$   
 $i < length(env) \ v < length(env)$

**shows**

$is\_Vset(\#\#A, nth(i, env), nth(v, env)) \longleftrightarrow sats(A, ?ivs\_fm(i, v), env)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**context**  $M\_Vfrom$   
**begin**

**lemma**  $Vset\_abs: \llbracket M(i); M(V); Ord(i) \rrbracket \implies is\_Vset(M, i, V) \longleftrightarrow V = \{x \in Vset(i). M(x)\}$   
 $\langle proof \rangle$

**lemma**  $Vset\_closed: \llbracket M(i); Ord(i) \rrbracket \implies M(\{x \in Vset(i). M(x)\})$

*<proof>*

**lemma** *rank\_closed*:  $M(a) \implies M(\text{rank}(a))$   
*<proof>*

**lemma** *M\_into\_Vset*:  
**assumes**  $M(a)$   
**shows**  $\exists i[M]. \exists V[M]. \text{ordinal}(M,i) \wedge \text{is\_Vset}(M,i,V) \wedge a \in V$   
*<proof>*

**end** — *M\_HVfrom*

**end**

## 14 Relative, Choice-less Cardinal Numbers

**theory** *Cardinal\_Relative*

**imports**

*Lambda\_Replacement*

*Univ\_Relative*

**begin**

The following command avoids that a commonly used one-letter variable be captured by the definition of the constructible universe  $L$ .

**hide\_const** (**open**)  $L$

We also return to the old notation for  $(+)$  to preserve the old Constructibility code.

**no\_notation** *oadd* (**infixl**  $\langle + \rangle$  65)

**notation** *sum* (**infixr**  $\langle + \rangle$  65)

**definition**

*Finite\_rel* ::  $[i \Rightarrow o, i] \Rightarrow o$  **where**

$\text{Finite\_rel}(M,A) \equiv \exists om[M]. \exists n[M]. \text{omega}(M,om) \wedge n \in om \wedge \text{eqpoll\_rel}(M,A,n)$

**definition**

*banach\_functor* ::  $[i, i, i, i, i] \Rightarrow i$  **where**

$\text{banach\_functor}(X, Y, f, g, W) \equiv X - g^{''}(Y - f^{''}W)$

**definition**

*is\_banach\_functor* ::  $[i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  **where**

$\text{is\_banach\_functor}(M, X, Y, f, g, W, b) \equiv$

$\exists fW[M]. \exists YfW[M]. \exists gYfW[M]. \text{image}(M, f, W, fW) \wedge \text{setdiff}(M, Y, fW, YfW)$

$\wedge$

$\text{image}(M, g, YfW, gYfW) \wedge \text{setdiff}(M, X, gYfW, b)$

**lemma** (**in** *M\_basic*) *banach\_functor\_abs* :

**assumes**  $M(X) M(Y) M(f) M(g)$

**shows**  $\text{relation1}(M, \text{is\_banach\_functor}(M, X, Y, f, g), \text{banach\_functor}(X, Y, f, g))$

*<proof>*

**lemma** (in *M\_basic*) *banach\_functor\_closed*:  
**assumes**  $M(X) M(Y) M(f) M(g)$   
**shows**  $\forall W[M]. M(\text{banach\_functor}(X, Y, f, g, W))$   
*<proof>*

**locale** *M\_cardinals* = *M\_ordertype* + *M\_trancl* + *M\_Perm* + *M\_replacement\_extra*  
+

**assumes**

*radd\_separation*:  $M(R) \implies M(S) \implies$   
*separation*(*M*,  $\lambda z.$

$(\exists x y. z = \langle \text{Inl}(x), \text{Inr}(y) \rangle) \vee$   
 $(\exists x' x. z = \langle \text{Inl}(x'), \text{Inl}(x) \rangle \wedge \langle x', x \rangle \in R) \vee$   
 $(\exists y' y. z = \langle \text{Inr}(y'), \text{Inr}(y) \rangle \wedge \langle y', y \rangle \in S)$ )

**and**

*rmult\_separation*:  $M(b) \implies M(d) \implies \text{separation}(M,$   
 $\lambda z. \exists x' y' x y. z = \langle \langle x', y' \rangle, x, y \rangle \wedge (\langle x', x \rangle \in b \vee x' = x \wedge \langle y', y \rangle \in d))$ )

**and**

*banach\_repl\_iter*:  $M(X) \implies M(Y) \implies M(f) \implies M(g) \implies$   
*strong\_replacement*(*M*,  $\lambda x y. x \in \text{nat} \wedge y = \text{banach\_functor}(X, Y, f,$

$g) \hat{x} (0)$ )

**begin**

**lemma** *rvimage\_separation*:  $M(f) \implies M(r) \implies$   
*separation*(*M*,  $\lambda z. \exists x y. z = \langle x, y \rangle \wedge \langle f' x, f' y \rangle \in r$ )  
*<proof>*

**lemma** *radd\_closed*[*intro, simp*]:  $M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(\text{radd}(a, b, c, d))$   
*<proof>*

**lemma** *rmult\_closed*[*intro, simp*]:  $M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(\text{rmult}(a, b, c, d))$   
*<proof>*

**end** — *M\_cardinals*

**lemma** (in *M\_cardinals*) *is\_cardinal\_iff\_Least*:

**assumes**  $M(A) M(\kappa)$

**shows**  $\text{is\_cardinal}(M, A, \kappa) \longleftrightarrow \kappa = (\mu i. M(i) \wedge i \approx^M A)$

*<proof>*

## 14.1 The Schroeder-Bernstein Theorem

See Davey and Priestly, page 106

**context** *M\_cardinals*

**begin**

**lemma** *bnd\_mono\_banach\_functor*:  $bnd\_mono(X, banach\_functor(X, Y, f, g))$   
 ⟨proof⟩

**lemma** *inj\_Inter*:  
 assumes  $g \in inj(Y, X) \ A \neq 0 \ \forall a \in A. \ a \subseteq Y$   
 shows  $g''(\bigcap A) = (\bigcap a \in A. \ g''a)$   
 ⟨proof⟩

**lemma** *contin\_banach\_functor*:  
 assumes  $g \in inj(Y, X)$   
 shows  $contin(banach\_functor(X, Y, f, g))$   
 ⟨proof⟩

**lemma** *lfp\_banach\_functor*:  
 assumes  $g \in inj(Y, X)$   
 shows  $lfp(X, banach\_functor(X, Y, f, g)) =$   
 $(\bigcup n \in nat. \ banach\_functor(X, Y, f, g) \hat{\ }^n (0))$   
 ⟨proof⟩

**lemma** *lfp\_banach\_functor\_closed*:  
 assumes  $M(g) \ M(X) \ M(Y) \ M(f) \ g \in inj(Y, X)$   
 shows  $M(lfp(X, banach\_functor(X, Y, f, g)))$   
 ⟨proof⟩

**lemma** *banach\_decomposition\_rel*:  
 $[[ M(f); M(g); M(X); M(Y); f \in X \rightarrow Y; \ g \in inj(Y, X) ]] \implies$   
 $\exists XA[M]. \ \exists XB[M]. \ \exists YA[M]. \ \exists YB[M].$   
 $(XA \cap XB = 0) \ \& \ (XA \cup XB = X) \ \&$   
 $(YA \cap YB = 0) \ \& \ (YA \cup YB = Y) \ \&$   
 $f''XA = YA \ \& \ g''YB = XB$   
 ⟨proof⟩

**lemma** *schroeder\_bernstein\_closed*:  
 $[[ M(f); M(g); M(X); M(Y); f \in inj(X, Y); \ g \in inj(Y, X) ]] \implies \exists h[M]. \ h \in$   
 $bij(X, Y)$   
 ⟨proof⟩

**lemma** *mem\_Pow\_rel*:  $M(r) \implies a \in Pow\_rel(M, r) \implies a \in Pow(r) \wedge M(a)$   
 ⟨proof⟩

**lemma** *mem\_bij\_abs[simp]*:  $[[M(f); M(A); M(B)]] \implies f \in bij^M(A, B) \longleftrightarrow f \in bij(A, B)$   
 ⟨proof⟩

**lemma** *mem\_inj\_abs[simp]*:  $[[M(f); M(A); M(B)]] \implies f \in inj^M(A, B) \longleftrightarrow f \in inj(A, B)$   
 ⟨proof⟩

**lemma** *mem\_surj\_abs*:  $[[M(f); M(A); M(B)]] \implies f \in surj^M(A, B) \longleftrightarrow f \in surj(A, B)$

*<proof>*

**lemma** *bij\_imp\_eqpoll\_rel*:

**assumes**  $f \in \text{bij}(A,B)$   $M(f)$   $M(A)$   $M(B)$

**shows**  $A \approx^M B$

*<proof>*

**lemma** *eqpoll\_rel\_refl*:  $M(A) \implies A \approx^M A$

*<proof>*

**lemma** *eqpoll\_rel\_sym*:  $X \approx^M Y \implies M(X) \implies M(Y) \implies Y \approx^M X$

*<proof>*

**lemma** *eqpoll\_rel\_trans* [*trans*]:

$\llbracket X \approx^M Y; Y \approx^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \approx^M Z$

*<proof>*

**lemma** *subset\_imp\_lepoll\_rel*:  $X \subseteq Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$

*<proof>*

**lemmas** *lepoll\_rel\_refl = subset\_refl* [*THEN subset\_imp\_lepoll\_rel, simp*]

**lemmas** *le\_imp\_lepoll\_rel = le\_imp\_subset* [*THEN subset\_imp\_lepoll\_rel*]

**lemma** *eqpoll\_rel\_imp\_lepoll\_rel*:  $X \approx^M Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$

*<proof>*

**lemma** *lepoll\_rel\_trans* [*trans*]:

**assumes**

$X \lesssim^M Y$   $Y \lesssim^M Z$   $M(X)$   $M(Y)$   $M(Z)$

**shows**

$X \lesssim^M Z$

*<proof>*

**lemma** *eq\_lepoll\_rel\_trans* [*trans*]:

**assumes**

$X \approx^M Y$   $Y \lesssim^M Z$   $M(X)$   $M(Y)$   $M(Z)$

**shows**

$X \lesssim^M Z$

*<proof>*

**lemma** *lepoll\_rel\_eq\_trans* [*trans*]:

**assumes**  $X \lesssim^M Y$   $Y \approx^M Z$   $M(X)$   $M(Y)$   $M(Z)$

**shows**  $X \lesssim^M Z$

*<proof>*

**lemma** *eqpoll\_relI*:  $\llbracket X \lesssim^M Y; Y \lesssim^M X; M(X); M(Y) \rrbracket \implies X \approx^M Y$   
 ⟨proof⟩

**lemma** *eqpoll\_relE*:  
 $\llbracket X \approx^M Y; \llbracket X \lesssim^M Y; Y \lesssim^M X \rrbracket \implies P; M(X); M(Y) \rrbracket \implies P$   
 ⟨proof⟩

**lemma** *eqpoll\_rel\_iff*:  $M(X) \implies M(Y) \implies X \approx^M Y \longleftrightarrow X \lesssim^M Y \ \& \ Y \lesssim^M X$   
 ⟨proof⟩

**lemma** *lepoll\_rel\_0\_is\_0*:  $A \lesssim^M 0 \implies M(A) \implies A = 0$   
 ⟨proof⟩

**lemmas** *empty\_lepoll\_relI = empty\_subsetI* [THEN *subset\_imp\_lepoll\_rel*, OF *nonempty*]

**lemma** *lepoll\_rel\_0\_iff*:  $M(A) \implies A \lesssim^M 0 \longleftrightarrow A = 0$   
 ⟨proof⟩

**lemma** *Un\_lepoll\_rel\_Un*:  
 $\llbracket A \lesssim^M B; C \lesssim^M D; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket \implies A \cup C \lesssim^M B \cup D$   
 ⟨proof⟩

**lemma** *eqpoll\_rel\_0\_is\_0*:  $A \approx^M 0 \implies M(A) \implies A = 0$   
 ⟨proof⟩

**lemma** *eqpoll\_rel\_0\_iff*:  $M(A) \implies A \approx^M 0 \longleftrightarrow A = 0$   
 ⟨proof⟩

**lemma** *eqpoll\_rel\_disjoint\_Un*:  
 $\llbracket A \approx^M B; C \approx^M D; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket \implies A \cup C \approx^M B \cup D$   
 ⟨proof⟩

## 14.2 lesspoll\_rel: contributions by Krzysztof Grabczewski

**lemma** *lesspoll\_rel\_not\_refl*:  $M(i) \implies \sim (i \prec^M i)$   
 ⟨proof⟩

**lemma** *lesspoll\_rel\_irrefl*:  $i \prec^M i \implies M(i) \implies P$   
 ⟨proof⟩

**lemma** *lesspoll\_rel\_imp\_lepoll\_rel*:  $\llbracket A \prec^M B; M(A); M(B) \rrbracket \implies A \lesssim^M B$   
 ⟨proof⟩

**lemma** *rvimage\_closed* [*intro,simp*]:  
**assumes**



$M(A) M(f) M(r)$   
**shows**  
 $M(\text{rvimage}(A,f,r))$   
 $\langle \text{proof} \rangle$

**lemma** *lepoll\_rel\_well\_ord*:  $\llbracket A \lesssim^M B; \text{well\_ord}(B,r); M(A); M(B); M(r) \rrbracket$   
 $\implies \exists s[M]. \text{well\_ord}(A,s)$   
 $\langle \text{proof} \rangle$

**lemma** *lepoll\_rel\_iff\_lepoll\_rel*:  $\llbracket M(A); M(B) \rrbracket \implies A \lesssim^M B \iff A \prec^M B \mid A \approx^M B$   
 $\langle \text{proof} \rangle$

**end** — *M\_cardinals*

**context** *M\_cardinals*  
**begin**

**lemma** *inj\_rel\_is\_fun\_M*:  $f \in \text{inj}^M(A,B) \implies M(f) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$   
 $\langle \text{proof} \rangle$

**lemma** *inj\_rel\_not\_surj\_rel\_succ*:  
**notes** *mem\_inj\_abs[simp del]*  
**assumes** *fi*:  $f \in \text{inj}^M(A, \text{succ}(m))$  **and** *fns*:  $f \notin \text{surj}^M(A, \text{succ}(m))$   
**and types**:  $M(f) M(A) M(m)$   
**shows**  $\exists f[M]. f \in \text{inj}^M(A,m)$   
 $\langle \text{proof} \rangle$

**lemma** *lesspoll\_rel\_trans [trans]*:  
 $\llbracket X \prec^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$   
 $\langle \text{proof} \rangle$

**lemma** *lesspoll\_rel\_trans1 [trans]*:  
 $\llbracket X \lesssim^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$   
 $\langle \text{proof} \rangle$

**lemma** *lesspoll\_rel\_trans2 [trans]*:  
 $\llbracket X \prec^M Y; Y \lesssim^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$   
 $\langle \text{proof} \rangle$

**lemma** *eq\_lesspoll\_rel\_trans [trans]*:  
 $\llbracket X \approx^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$   
 $\langle \text{proof} \rangle$

**lemma** *lesspoll\_rel\_eq\_trans [trans]*:  
 $\llbracket X \prec^M Y; Y \approx^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$   
 $\langle \text{proof} \rangle$

**lemma** *is\_cardinal\_cong*:

**assumes**  $X \approx^M Y$   $M(X)$   $M(Y)$

**shows**  $\exists \kappa[M]. \text{is\_cardinal}(M, X, \kappa) \wedge \text{is\_cardinal}(M, Y, \kappa)$

*<proof>*

**lemma** *cardinal\_rel\_cong*:  $X \approx^M Y \implies M(X) \implies M(Y) \implies |X|^M = |Y|^M$

*<proof>*

**lemma** *well\_ord\_is\_cardinal\_eqpoll\_rel*:

**assumes**  $\text{well\_ord}(A, r)$  **shows**  $\text{is\_cardinal}(M, A, \kappa) \implies M(A) \implies M(\kappa) \implies M(r) \implies \kappa \approx^M A$

*<proof>*

**lemmas** *Ord\_is\_cardinal\_eqpoll\_rel = well\_ord\_Memrel[THEN well\_ord\_is\_cardinal\_eqpoll\_rel]*

## 15 Porting from *ZF.Cardinal*

The following results were ported more or less directly from *ZF.Cardinal*

**lemma** *well\_ord\_cardinal\_rel\_eqpoll\_rel*:

**assumes**  $r: \text{well\_ord}(A, r)$  **and**  $M(A)$   $M(r)$  **shows**  $|A|^M \approx^M A$

*<proof>*

**lemmas** *Ord\_cardinal\_rel\_eqpoll\_rel = well\_ord\_Memrel[THEN well\_ord\_cardinal\_rel\_eqpoll\_rel]*

**lemma** *Ord\_cardinal\_rel\_idem*:  $\text{Ord}(A) \implies M(A) \implies ||A|^M|^M = |A|^M$

*<proof>*

**lemma** *well\_ord\_cardinal\_rel\_eqE*:

**assumes**  $\text{wo}X: \text{well\_ord}(X, r)$  **and**  $\text{wo}Y: \text{well\_ord}(Y, s)$  **and**  $\text{eq}: |X|^M = |Y|^M$

**and types**:  $M(X)$   $M(r)$   $M(Y)$   $M(s)$

**shows**  $X \approx^M Y$

*<proof>*

**lemma** *well\_ord\_cardinal\_rel\_eqpoll\_rel\_iff*:

$[| \text{well\_ord}(X, r); \text{well\_ord}(Y, s); M(X); M(r); M(Y); M(s) |] \implies |X|^M = |Y|^M \iff X \approx^M Y$

*<proof>*

**lemma** *Ord\_cardinal\_rel\_le*:  $\text{Ord}(i) \implies M(i) \implies |i|^M \leq i$

*<proof>*

**lemma** *Card\_rel\_cardinal\_rel\_eq*:  $\text{Card}^M(K) \implies M(K) \implies |K|^M = K$

*<proof>*

**lemma** *Card\_rell*:  $[| \text{Ord}(i); \forall j. j < i \implies M(j) \implies \sim(j \approx^M i); M(i) |] \implies$

$\text{Card}^M(i)$

*<proof>*

**lemma** *Card\_rel\_is\_Ord*:  $\text{Card}^M(i) \implies M(i) \implies \text{Ord}(i)$   
 ⟨proof⟩

**lemma** *Card\_rel\_cardinal\_rel\_le*:  $\text{Card}^M(K) \implies M(K) \implies K \leq |K|^M$   
 ⟨proof⟩

**lemma** *Ord\_cardinal\_rel* [*simp,intro!*]:  $M(A) \implies \text{Ord}(|A|^M)$   
 ⟨proof⟩

**lemma** *Card\_rel\_iff\_initial*: **assumes**  $\text{types}: M(K)$   
**shows**  $\text{Card}^M(K) \longleftrightarrow \text{Ord}(K) \ \& \ (\forall j[M]. j < K \longrightarrow \sim (j \approx^M K))$   
 ⟨proof⟩

**lemma** *lt\_Card\_rel\_imp\_lesspoll\_rel*:  $[[ \text{Card}^M(a); i < a; M(a); M(i) ]] \implies i \prec^M a$   
 ⟨proof⟩

**lemma** *Card\_rel\_0*:  $\text{Card}^M(0)$   
 ⟨proof⟩

**lemma** *Card\_rel\_Un*:  $[[ \text{Card}^M(K); \text{Card}^M(L); M(K); M(L) ]] \implies \text{Card}^M(K \cup L)$   
 ⟨proof⟩

**lemma** *Card\_rel\_cardinal\_rel* [*iff*]: **assumes**  $\text{types}: M(A)$  **shows**  $\text{Card}^M(|A|^M)$   
 ⟨proof⟩

**lemma** *cardinal\_rel\_eq\_lemma*:  
**assumes**  $i: |i|^M \leq j$  **and**  $j: j \leq i$  **and**  $\text{types}: M(i) \ M(j)$   
**shows**  $|j|^M = |i|^M$   
 ⟨proof⟩

**lemma** *cardinal\_rel\_mono*:  
**assumes**  $ij: i \leq j$  **and**  $\text{types}: M(i) \ M(j)$  **shows**  $|i|^M \leq |j|^M$   
 ⟨proof⟩

**lemma** *cardinal\_rel\_lt\_imp\_lt*:  $[[ |i|^M < |j|^M; \text{Ord}(i); \text{Ord}(j); M(i); M(j) ]] \implies i < j$   
 ⟨proof⟩

**lemma** *Card\_rel\_lt\_imp\_lt*:  $[[ |i|^M < K; \text{Ord}(i); \text{Card}^M(K); M(i); M(K) ]] \implies i < K$   
 ⟨proof⟩

**lemma** *Card\_rel\_lt\_iff*:  $[[ \text{Ord}(i); \text{Card}^M(K); M(i); M(K) ]] \implies (|i|^M < K) \longleftrightarrow (i < K)$   
 ⟨proof⟩

**lemma** *Card\_rel\_le\_iff*:  $[[ \text{Ord}(i); \text{Card}^M(K); M(i); M(K) ]] \implies (K \leq |i|^M)$

$\longleftrightarrow (K \leq i)$   
 ⟨proof⟩

**lemma** *well\_ord\_lepoll\_rel\_imp\_cardinal\_rel\_le*:  
 assumes  $wB$ : *well\_ord*( $B,r$ ) and  $AB$ :  $A \lesssim^M B$   
 and  
 types:  $M(B)$   $M(r)$   $M(A)$   
 shows  $|A|^M \leq |B|^M$   
 ⟨proof⟩

**lemma** *lepoll\_rel\_cardinal\_rel\_le*:  $[| A \lesssim^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \leq i$   
 ⟨proof⟩

**lemma** *lepoll\_rel\_Ord\_imp\_eqpoll\_rel*:  $[| A \lesssim^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \approx^M A$   
 ⟨proof⟩

**lemma** *lesspoll\_rel\_imp\_eqpoll\_rel*:  $[| A \prec^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \approx^M A$   
 ⟨proof⟩

**lemma** *lesspoll\_cardinal\_lt\_rel*:  
 shows  $[| A \prec^M i; \text{Ord}(i); M(i); M(A) |] \implies |A|^M < i$   
 ⟨proof⟩

**lemma** *cardinal\_rel\_subset\_Ord*:  $[| A \leq i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \subseteq i$   
 ⟨proof⟩

**lemma** *cons\_lepoll\_rel\_consD*:  
 $[| \text{cons}(u,A) \lesssim^M \text{cons}(v,B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) |] \implies A \lesssim^M B$   
 ⟨proof⟩

**lemma** *cons\_eqpoll\_rel\_consD*:  $[| \text{cons}(u,A) \approx^M \text{cons}(v,B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) |] \implies A \approx^M B$   
 ⟨proof⟩

**lemma** *succ\_lepoll\_rel\_succD*:  $\text{succ}(m) \lesssim^M \text{succ}(n) \implies M(m) \implies M(n) \implies m \lesssim^M n$   
 ⟨proof⟩

**lemma** *nat\_lepoll\_rel\_imp\_le*:  
 $m \in \text{nat} \implies n \in \text{nat} \implies m \lesssim^M n \implies M(m) \implies M(n) \implies m \leq n$   
 ⟨proof⟩

**lemma** *nat\_eqpoll\_rel\_iff*:  $[| m \in \text{nat}; n \in \text{nat}; M(m); M(n) |] \implies m \approx^M n \iff m = n$   
 ⟨proof⟩

**lemma** *nat\_into\_Card\_rel*:

**assumes**  $n: n \in \text{nat}$  **and** *types*:  $M(n)$  **shows**  $\text{Card}^M(n)$

*<proof>*

**lemmas** *cardinal\_rel\_0 = nat\_0I* [*THEN nat\_into\_Card\_rel, THEN Card\_rel\_cardinal\_rel\_eq, simplified, iff*]

**lemmas** *cardinal\_rel\_1 = nat\_1I* [*THEN nat\_into\_Card\_rel, THEN Card\_rel\_cardinal\_rel\_eq, simplified, iff*]

**lemma** *succ\_lepoll\_rel\_natE*:  $[\text{succ}(n) \lesssim^M n; n \in \text{nat}] \implies P$

*<proof>*

**lemma** *nat\_lepoll\_rel\_imp\_eqpoll\_rel\_n*:

$[\text{succ}(n) \lesssim^M X; M(n); M(X)] \implies \exists Y[M]. Y \subseteq X \ \& \ n \approx^M Y$

*<proof>*

**lemma** *lepoll\_rel\_succ*:  $M(i) \implies i \lesssim^M \text{succ}(i)$

*<proof>*

**lemma** *lepoll\_rel\_imp\_lespoll\_rel\_succ*:

**assumes**  $A: A \lesssim^M m$  **and**  $m: m \in \text{nat}$

**and** *types*:  $M(A) \ M(m)$

**shows**  $A \prec^M \text{succ}(m)$

*<proof>*

**lemma** *lespoll\_rel\_succ\_imp\_lepoll\_rel*:

$[A \prec^M \text{succ}(m); m \in \text{nat}; M(A); M(m)] \implies A \lesssim^M m$

*<proof>*

**lemma** *lespoll\_rel\_succ\_iff*:  $m \in \text{nat} \implies M(A) \implies A \prec^M \text{succ}(m) \longleftrightarrow A \lesssim^M m$

*<proof>*

**lemma** *lepoll\_rel\_succ\_disj*:  $[\text{succ}(m) \lesssim^M A; m \in \text{nat}; M(A); M(m)] \implies A \lesssim^M m \mid A \approx^M \text{succ}(m)$

*<proof>*

**lemma** *lespoll\_rel\_cardinal\_rel\_lt*:  $[A \prec^M i; \text{Ord}(i); M(A); M(i)] \implies |A|^M < i$

*<proof>*

**lemma** *lt\_not\_lepoll\_rel*:

**assumes**  $n: n < i \ n \in \text{nat}$

**and** *types*:  $M(n) \ M(i)$  **shows**  $\sim i \lesssim^M n$

*<proof>*

A slightly weaker version of *nat\_eqpoll\_rel\_iff*

**lemma** *Ord\_nat\_eqpoll\_rel\_iff*:

**assumes**  $i: \text{Ord}(i)$  **and**  $n: n \in \text{nat}$   
**and types:**  $M(i) M(n)$   
**shows**  $i \approx^M n \iff i=n$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Card\_rel\_nat}: \text{Card}^M(\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{nat\_le\_cardinal\_rel}: \text{nat} \leq i \implies M(i) \implies \text{nat} \leq |i|^M$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{n\_lesspoll\_rel\_nat}: n \in \text{nat} \implies n \prec^M \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cons\_lepoll\_rel\_cong}$ :  
 $\llbracket A \lesssim^M B; b \notin B; M(A); M(B); M(b); M(a) \rrbracket \implies \text{cons}(a,A) \lesssim^M \text{cons}(b,B)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cons\_eqpoll\_rel\_cong}$ :  
 $\llbracket A \approx^M B; a \notin A; b \notin B; M(A); M(B); M(a); M(b) \rrbracket \implies \text{cons}(a,A) \approx^M \text{cons}(b,B)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cons\_lepoll\_rel\_cons\_iff}$ :  
 $\llbracket a \notin A; b \notin B; M(a); M(A); M(b); M(B) \rrbracket \implies \text{cons}(a,A) \lesssim^M \text{cons}(b,B)$   
 $\iff A \lesssim^M B$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cons\_eqpoll\_rel\_cons\_iff}$ :  
 $\llbracket a \notin A; b \notin B; M(a); M(A); M(b); M(B) \rrbracket \implies \text{cons}(a,A) \approx^M \text{cons}(b,B)$   
 $\iff A \approx^M B$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{singleton\_eqpoll\_rel\_1}: M(a) \implies \{a\} \approx^M 1$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cardinal\_rel\_singleton}: M(a) \implies |\{a\}|^M = 1$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{not\_0\_is\_lepoll\_rel\_1}: A \neq 0 \implies M(A) \implies 1 \lesssim^M A$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{succ\_eqpoll\_rel\_cong}: A \approx^M B \implies M(A) \implies M(B) \implies \text{succ}(A) \approx^M \text{succ}(B)$   
 $\langle \text{proof} \rangle$

The next result was not straightforward to port, and even a different statement was needed.

**lemma** *sum\_bij\_rel*:

$\llbracket f \in \text{bij}^M(A,C); g \in \text{bij}^M(B,D); M(f); M(A); M(C); M(g); M(B); M(D) \rrbracket$   
 $\implies (\lambda z \in A+B. \text{case}(\%x. \text{Inl}(f'x), \%y. \text{Inr}(g'y), z)) \in \text{bij}^M(A+B, C+D)$   
*<proof>*

**lemma** *sum\_bij\_rel'*:

**assumes**  $f \in \text{bij}^M(A,C)$   $g \in \text{bij}^M(B,D)$   $M(f)$   
 $M(A)$   $M(C)$   $M(g)$   $M(B)$   $M(D)$   
**shows**  
 $(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z)) \in \text{bij}(A+B, C+D)$   
 $M(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z))$   
*<proof>*

**lemma** *sum\_eqpoll\_rel\_cong*:

**assumes**  $A \approx^M C$   $B \approx^M D$   $M(A)$   $M(C)$   $M(B)$   $M(D)$   
**shows**  $A+B \approx^M C+D$   
*<proof>*

**lemma** *prod\_bij\_rel'*:

**assumes**  $f \in \text{bij}^M(A,C)$   $g \in \text{bij}^M(B,D)$   $M(f)$   
 $M(A)$   $M(C)$   $M(g)$   $M(B)$   $M(D)$   
**shows**  
 $(\lambda \langle x,y \rangle \in A*B. \langle f'x, g'y \rangle) \in \text{bij}(A*B, C*D)$   
 $M(\lambda \langle x,y \rangle \in A*B. \langle f'x, g'y \rangle)$   
*<proof>*

**lemma** *prod\_eqpoll\_rel\_cong*:

**assumes**  $A \approx^M C$   $B \approx^M D$   $M(A)$   $M(C)$   $M(B)$   $M(D)$   
**shows**  $A*B \approx^M C*D$   
*<proof>*

**lemma** *inj\_rel\_disjoint\_eqpoll\_rel*:

$\llbracket f \in \text{inj}^M(A,B); A \cap B = 0; M(f); M(A); M(B) \rrbracket \implies A \cup (B - \text{range}(f))$   
 $\approx^M B$   
*<proof>*

**lemma** *Diff\_sing\_lepoll\_rel*:

$\llbracket a \in A; A \lesssim^M \text{succ}(n); M(a); M(A); M(n) \rrbracket \implies A - \{a\} \lesssim^M n$   
*<proof>*

**lemma** *lepoll\_rel\_Diff\_sing*:

**assumes**  $A: \text{succ}(n) \lesssim^M A$   
**and types:**  $M(n)$   $M(A)$   $M(a)$   
**shows**  $n \lesssim^M A - \{a\}$   
*<proof>*

**lemma** *Diff\_sing\_eqpoll\_rel*:  $\llbracket a \in A; A \approx^M \text{succ}(n); M(a); M(A); M(n) \rrbracket \implies$   
 $A - \{a\} \approx^M n$   
*<proof>*

**lemma** *lepoll\_rel\_1\_is\_sing*:  $[| A \lesssim^M 1; a \in A ; M(a); M(A) |] \implies A = \{a\}$   
 ⟨proof⟩

**lemma** *Un\_lepoll\_rel\_sum*:  $M(A) \implies M(B) \implies A \cup B \lesssim^M A+B$   
 ⟨proof⟩

**lemma** *well\_ord\_Un\_M*:  
**assumes** *well\_ord*( $X,R$ ) *well\_ord*( $Y,S$ )  
**and types**:  $M(X) M(R) M(Y) M(S)$   
**shows**  $\exists T[M]. \text{well\_ord}(X \cup Y, T)$   
 ⟨proof⟩

**lemma** *disj\_Un\_eqpoll\_rel\_sum*:  $M(A) \implies M(B) \implies A \cap B = 0 \implies A \cup B \approx^M A + B$   
 ⟨proof⟩

**lemma** *eqpoll\_rel\_imp\_Finite\_rel\_iff*:  $A \approx^M B \implies M(A) \implies M(B) \implies \text{Finite\_rel}(M,A) \longleftrightarrow \text{Finite\_rel}(M,B)$   
 ⟨proof⟩

**lemma** *Finite\_abs[simp]*: **assumes**  $M(A)$  **shows**  $\text{Finite\_rel}(M,A) \longleftrightarrow \text{Finite}(A)$   
 ⟨proof⟩

**lemma** *lepoll\_rel\_nat\_imp\_Finite\_rel*:  
**assumes**  $A: A \lesssim^M n$  **and**  $n: n \in \text{nat}$   
**and types**:  $M(A) M(n)$   
**shows**  $\text{Finite\_rel}(M,A)$   
 ⟨proof⟩

**lemma** *lesspoll\_rel\_nat\_is\_Finite\_rel*:  
 $A \prec^M \text{nat} \implies M(A) \implies \text{Finite\_rel}(M,A)$   
 ⟨proof⟩

**lemma** *lepoll\_rel\_Finite\_rel*:  
**assumes**  $Y: Y \lesssim^M X$  **and**  $X: \text{Finite\_rel}(M,X)$   
**and types**:  $M(Y) M(X)$   
**shows**  $\text{Finite\_rel}(M,Y)$   
 ⟨proof⟩

**lemma** *succ\_lepoll\_rel\_imp\_not\_empty*:  $\text{succ}(x) \lesssim^M y \implies M(x) \implies M(y) \implies y \neq 0$   
 ⟨proof⟩

**lemma** *eqpoll\_rel\_succ\_imp\_not\_empty*:  $x \approx^M \text{succ}(n) \implies M(x) \implies M(n) \implies x \neq 0$   
 ⟨proof⟩



**lemma** *Finite\_subset\_closed*:  
**assumes** *Finite(B) B⊆A M(A)*  
**shows** *M(B)*  
 ⟨*proof*⟩

**lemma** *Finite\_Pow\_abs*:  
**assumes** *Finite(A) M(A)*  
**shows** *Pow(A) = Pow\_rel(M,A)*  
 ⟨*proof*⟩

**lemma** *Finite\_Pow\_rel*:  
**assumes** *Finite(A) M(A)*  
**shows** *Finite(Pow\_rel(M,A))*  
 ⟨*proof*⟩

**lemma** *Pow\_rel\_0 [simp]*: *Pow\_rel(M,0) = {0}*  
 ⟨*proof*⟩

**lemma** *eqpoll\_rel\_imp\_Finite*: *A ≈<sup>M</sup> B ⇒ Finite(A) ⇒ M(A) ⇒ M(B) ⇒ Finite(B)*  
 ⟨*proof*⟩

**lemma** *eqpoll\_rel\_imp\_Finite\_iff*: *A ≈<sup>M</sup> B ⇒ M(A) ⇒ M(B) ⇒ Finite(A) ⇔ Finite(B)*  
 ⟨*proof*⟩

**end** — *M\_cardinals*

**end**

## 16 Relative, Choice-less Cardinal Arithmetic

**theory** *CardinalArith\_Relative*

**imports**

*Cardinal\_Relative*

**begin**

⟨*ML*⟩

**definition**

*csquare\_lam* :: *i ⇒ i* **where**

*csquare\_lam(K) ≡ λ(x,y) ∈ K × K. ⟨x ∪ y, x, y⟩*

— Can't do the next thing because split is a missing HOC

$\langle ML \rangle$

**definition**

$is\_csquare\_lam :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_csquare\_lam(M, K, l) \equiv \exists K2[M]. cartprod(M, K, K, K2) \wedge$   
 $is\_lambda(M, K2, is\_csquare\_lam\_body(M), l)$

**definition**  $jump\_cardinal\_body :: [i \Rightarrow o, i] \Rightarrow i$  **where**

$jump\_cardinal\_body(M, X) \equiv$   
 $\{z . r \in Pow^M(X \times X), M(z) \wedge M(r) \wedge well\_ord(X, r) \wedge z = ordertype(X,$   
 $r)\}$

**lemma** (**in**  $M\_cardinals$ )  $csquare\_lam\_closed[intro, simp]: M(K) \Longrightarrow M(csquare\_lam(K))$   
 $\langle proof \rangle$

**locale**  $M\_pre\_cardinal\_arith = M\_cardinals +$

**assumes**

$wfrec\_pred\_replacement: M(A) \Longrightarrow M(r) \Longrightarrow$   
 $wfrec\_replacement(M, \lambda x f z. z = f \text{ `` } Order.pred(A, x, r), r)$

**begin**

**lemma**  $ord\_iso\_separation: M(A) \Longrightarrow M(r) \Longrightarrow M(s) \Longrightarrow$   
 $separation(M, \lambda f. \forall x \in A. \forall y \in A. \langle x, y \rangle \in r \longleftrightarrow \langle f \text{ ` } x, f \text{ ` } y \rangle \in s)$   
 $\langle proof \rangle$

**end**

**locale**  $M\_cardinal\_arith = M\_pre\_cardinal\_arith +$

**assumes**

$ordertype\_replacement :$   
 $M(X) \Longrightarrow strong\_replacement(M, \lambda x z . M(z) \wedge M(x) \wedge x \in Pow\_rel(M, X \times X)$   
 $\wedge well\_ord(X, x) \wedge z = ordertype(X, x))$   
**and**  
 $strong\_replacement\_jc\_body :$   
 $strong\_replacement(M, \lambda x z . M(z) \wedge M(x) \wedge z = jump\_cardinal\_body(M, x))$

**lemmas** (**in**  $M\_cardinal\_arith$ )  $surj\_imp\_inj\_replacement =$   
 $surj\_imp\_inj\_replacement1 surj\_imp\_inj\_replacement2 surj\_imp\_inj\_replacement4$   
 $lam\_replacement\_vimage\_sing\_fun[THEN lam\_replacement\_imp\_strong\_replacement]$

$\langle ML \rangle$

**lemma** (**in**  $M\_trivial$ )  $rmultP\_abs [absolut]: \llbracket M(r); M(s); M(z) \rrbracket \Longrightarrow is\_rmultP(M, s, r, z)$   
 $\longleftrightarrow$   
 $(\exists x' y' x y. z = \langle \langle x', y' \rangle, x, y \rangle \wedge (\langle x', x \rangle \in r \vee x' = x \wedge \langle y', y \rangle \in s))$   
 $\langle proof \rangle$

**definition**

$is\_csquare\_rel :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**

$$\begin{aligned}
is\_csquare\_rel(M,K,cs) &\equiv \exists K2[M]. \exists la[M]. \exists memK[M]. \\
&\exists rmKK[M]. \exists rmKK2[M]. \\
&cartprod(M,K,K,K2) \wedge is\_csquare\_lam(M,K,la) \wedge \\
&membership(M,K,memK) \wedge is\_rmult(M,K,memK,K,memK,rmKK) \wedge \\
&is\_rmult(M,K,memK,K2,rmKK,rmKK2) \wedge is\_rvimage(M,K2,la,rmKK2,cs)
\end{aligned}$$

**context**  $M\_basic$

**begin**

**lemma**  $rvimage\_abs[absolut]$ :

**assumes**  $M(A) M(f) M(r) M(z)$

**shows**  $is\_rvimage(M,A,f,r,z) \longleftrightarrow z = rvimage(A,f,r)$

$\langle proof \rangle$

**lemma**  $rmult\_abs [absolut]$ :  $\llbracket M(A); M(r); M(B); M(s); M(z) \rrbracket \Longrightarrow$

$is\_rmult(M,A,r,B,s,z) \longleftrightarrow z = rmult(A,r,B,s)$

$\langle proof \rangle$

**lemma**  $csquare\_lam\_body\_abs[absolut]$ :  $M(x) \Longrightarrow M(z) \Longrightarrow$

$is\_csquare\_lam\_body(M,x,z) \longleftrightarrow z = \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle$

$\langle proof \rangle$

**lemma**  $csquare\_lam\_abs[absolut]$ :  $M(K) \Longrightarrow M(l) \Longrightarrow$

$is\_csquare\_lam(M,K,l) \longleftrightarrow l = (\lambda x \in K \times K. \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle)$

$\langle proof \rangle$

**lemma**  $csquare\_lam\_eq\_lam$ :  $csquare\_lam(K) = (\lambda z \in K \times K. \langle fst(z) \cup snd(z), fst(z), snd(z) \rangle)$

$\langle proof \rangle$

**end** —  $M\_basic$

**context**  $M\_pre\_cardinal\_arith$

**begin**

**lemma**  $csquare\_rel\_closed[intro,simp]$ :  $M(K) \Longrightarrow M(csquare\_rel(K))$

$\langle proof \rangle$

**lemma**  $csquare\_rel\_abs[absolut]$ :  $\llbracket M(K); M(cs) \rrbracket \Longrightarrow$

$is\_csquare\_rel(M,K,cs) \longleftrightarrow cs = csquare\_rel(K)$

$\langle proof \rangle$

**end** —  $M\_pre\_cardinal\_arith$

$\langle ML \rangle$

**abbreviation**

$csucc\_r :: [i, i \Rightarrow o] \Rightarrow i \ (\langle'(\_+)' \rangle)$  **where**  
 $csucc\_r(x, M) \equiv csucc\_rel(M, x)$

**abbreviation**

$csucc\_r\_set :: [i, i] \Rightarrow i \ (\langle'(\_+)' \rangle)$  **where**  
 $csucc\_r\_set(x, M) \equiv csucc\_rel(\#\#M, x)$

**context**  $M\_Perm$   
**begin**

$\langle ML \rangle$   
 $\langle proof \rangle$

$\langle ML \rangle$   
 $\langle proof \rangle$

**end** —  $M\_Perm$

**notation**  $csucc\_rel \ (\langle csucc-'(\_)' \rangle)$

**context**  $M\_cardinals$   
**begin**

**lemma**  $Card\_rel\_Union$  [*simp, intro, TC*]:  
**assumes**  $A: \bigwedge x. x \in A \implies Card^M(x)$  **and**  
 $types: M(A)$   
**shows**  $Card^M(\bigcup(A))$   
 $\langle proof \rangle$

**lemma**  $in\_Card\_imp\_lesspoll$ :  $[\mid Card^M(K); b \in K; M(K); M(b) \mid] \implies b \prec^M K$   
 $\langle proof \rangle$

## 16.1 Cardinal addition

Note (Paulson): Could omit proving the algebraic laws for cardinal addition and multiplication. On finite cardinals these operations coincide with addition and multiplication of natural numbers; on infinite cardinals they coincide with union (maximum). Either way we get most laws for free.

### 16.1.1 Cardinal addition is commutative

**lemma**  $sum\_commute\_eqpoll\_rel$ :  $M(A) \implies M(B) \implies A+B \approx^M B+A$   
 $\langle proof \rangle$

**lemma** *cadd\_rel\_commute*:  $M(i) \implies M(j) \implies i \oplus^M j = j \oplus^M i$   
 ⟨proof⟩

### 16.1.2 Cardinal addition is associative

**lemma** *sum\_assoc\_eqpoll\_rel*:  $M(A) \implies M(B) \implies M(C) \implies (A+B)+C \approx^M A+(B+C)$   
 ⟨proof⟩

Unconditional version requires AC

**lemma** *well\_ord\_cadd\_rel\_assoc*:  
**assumes**  $i$ : *well\_ord*( $i$ , $ri$ ) **and**  $j$ : *well\_ord*( $j$ , $rj$ ) **and**  $k$ : *well\_ord*( $k$ , $rk$ )  
**and**  
*types*:  $M(i)$   $M(ri)$   $M(j)$   $M(rj)$   $M(k)$   $M(rk)$   
**shows**  $(i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$   
 ⟨proof⟩

### 16.1.3 0 is the identity for addition

**lemma** *case\_id\_eq*:  $x \in \text{sum}(A,B) \implies \text{case}(\lambda z. z, \lambda z. z, x) = \text{snd}(x)$   
 ⟨proof⟩

**lemma** *lam\_case\_id*:  $(\lambda z \in 0 + A. \text{case}(\lambda x. x, \lambda y. y, z)) = (\lambda z \in 0 + A. \text{snd}(z))$   
 ⟨proof⟩

**lemma** *sum\_0\_eqpoll\_rel*:  $M(A) \implies 0+A \approx^M A$   
 ⟨proof⟩

**lemma** *cadd\_rel\_0 [simp]*:  $\text{Card}^M(K) \implies M(K) \implies 0 \oplus^M K = K$   
 ⟨proof⟩

### 16.1.4 Addition by another cardinal

**lemma** *sum\_lepoll\_rel\_self*:  $M(A) \implies M(B) \implies A \lesssim^M A+B$   
 ⟨proof⟩

**lemma** *cadd\_rel\_le\_self*:  
**assumes**  $K$ :  $\text{Card}^M(K)$  **and**  $L$ :  $\text{Ord}(L)$  **and**  
*types*:  $M(K)$   $M(L)$   
**shows**  $K \leq (K \oplus^M L)$   
 ⟨proof⟩

### 16.1.5 Monotonicity of addition

**lemma** *sum\_lepoll\_rel\_mono*:  
 $[| A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D) |] \implies A + B \lesssim^M C + D$   
 ⟨proof⟩

**lemma** *cadd\_rel\_le\_mono*:

$[| K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L) |] \implies (K' \oplus^M L') \leq (K \oplus^M L)$   
*<proof>*

### 16.1.6 Addition of finite cardinals is "ordinary" addition

**lemma** *sum\_succ\_eqpoll\_rel*:  $M(A) \implies M(B) \implies \text{succ}(A)+B \approx^M \text{succ}(A+B)$   
*<proof>*

**lemma** *cadd\_succ\_lemma*:

**assumes**  $\text{Ord}(m)$   $\text{Ord}(n)$  **and**

*types*:  $M(m)$   $M(n)$

**shows**  $\text{succ}(m) \oplus^M n = |\text{succ}(m \oplus^M n)|^M$   
*<proof>*

**lemma** *nat\_cadd\_rel\_eq\_add*:

**assumes**  $m: m \in \text{nat}$  **and**  $[simp]: n \in \text{nat}$  **shows**  $m \oplus^M n = m +_\omega n$   
*<proof>*

## 16.2 Cardinal multiplication

### 16.2.1 Cardinal multiplication is commutative

**lemma** *prod\_commute\_eqpoll\_rel*:  $M(A) \implies M(B) \implies A*B \approx^M B*A$   
*<proof>*

**lemma** *cmult\_rel\_commute*:  $M(i) \implies M(j) \implies i \otimes^M j = j \otimes^M i$   
*<proof>*

### 16.2.2 Cardinal multiplication is associative

**lemma** *prod\_assoc\_eqpoll\_rel*:  $M(A) \implies M(B) \implies M(C) \implies (A*B)*C \approx^M A*(B*C)$   
*<proof>*

Unconditional version requires AC

**lemma** *well\_ord\_cmult\_rel\_assoc*:

**assumes**  $i: \text{well\_ord}(i,ri)$  **and**  $j: \text{well\_ord}(j,rj)$  **and**  $k: \text{well\_ord}(k,rk)$   
**and**

*types*:  $M(i)$   $M(ri)$   $M(j)$   $M(rj)$   $M(k)$   $M(rk)$

**shows**  $(i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$   
*<proof>*

### 16.2.3 Cardinal multiplication distributes over addition

**lemma** *sum\_prod\_distrib\_eqpoll\_rel*:  $M(A) \implies M(B) \implies M(C) \implies (A+B)*C \approx^M (A*C)+(B*C)$   
*<proof>*

**lemma** *well\_ord\_cadd\_cmult\_distrib*:

**assumes**  $i$ : *well\_ord*( $i,ri$ ) **and**  $j$ : *well\_ord*( $j,rj$ ) **and**  $k$ : *well\_ord*( $k,rk$ )  
**and**

*types*:  $M(i)$   $M(ri)$   $M(j)$   $M(rj)$   $M(k)$   $M(rk)$

**shows**  $(i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$

*<proof>*

### 16.2.4 Multiplication by 0 yields 0

**lemma** *prod\_0\_eqpoll\_rel*:  $M(A) \implies 0 * A \approx^M 0$

*<proof>*

**lemma** *cmult\_rel\_0 [simp]*:  $M(i) \implies 0 \otimes^M i = 0$

*<proof>*

### 16.2.5 1 is the identity for multiplication

**lemma** *prod\_singleton\_eqpoll\_rel*:  $M(x) \implies M(A) \implies \{x\} * A \approx^M A$

*<proof>*

**lemma** *cmult\_rel\_1 [simp]*:  $\text{Card}^M(K) \implies M(K) \implies 1 \otimes^M K = K$

*<proof>*

## 16.3 Some inequalities for multiplication

**lemma** *prod\_square\_lepoll\_rel*:  $M(A) \implies A \lesssim^M A * A$

*<proof>*

**lemma** *cmult\_rel\_square\_le*:  $\text{Card}^M(K) \implies M(K) \implies K \leq K \otimes^M K$

*<proof>*

### 16.3.1 Multiplication by a non-zero cardinal

**lemma** *prod\_lepoll\_rel\_self*:  $b \in B \implies M(b) \implies M(B) \implies M(A) \implies A \lesssim^M A * B$

*<proof>*

**lemma** *cmult\_rel\_le\_self*:

$[[ \text{Card}^M(K); \text{Ord}(L); 0 < L; M(K); M(L) ] ] \implies K \leq (K \otimes^M L)$

*<proof>*

### 16.3.2 Monotonicity of multiplication

**lemma** *prod\_lepoll\_rel\_mono*:

$[[ A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D) ] ] \implies A * B \lesssim^M C * D$

*<proof>*

**lemma** *cmult\_rel\_le\_mono*:

$[[ K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L) ]] \implies (K' \otimes^M L') \leq (K \otimes^M L)$   
*<proof>*

## 16.4 Multiplication of finite cardinals is "ordinary" multiplication

**lemma** *prod\_succ\_eqpoll\_rel*:  $M(A) \implies M(B) \implies \text{succ}(A)*B \approx^M B + A*B$   
*<proof>*

**lemma** *cmult\_rel\_succ\_lemma*:

$[[ \text{Ord}(m); \text{Ord}(n); M(m); M(n) ]] \implies \text{succ}(m) \otimes^M n = n \oplus^M (m \otimes^M n)$   
*<proof>*

**lemma** *nat\_cmult\_rel\_eq\_mult*:  $[[ m \in \text{nat}; n \in \text{nat} ]] \implies m \otimes^M n = m\#*n$   
*<proof>*

**lemma** *cmult\_rel\_2*:  $\text{Card}^M(n) \implies M(n) \implies 2 \otimes^M n = n \oplus^M n$   
*<proof>*

**lemma** *sum\_lepoll\_rel\_prod*:

**assumes**  $C: 2 \lesssim^M C$  **and**

*types*:  $M(C) \ M(B)$

**shows**  $B+B \lesssim^M C*B$

*<proof>*

**lemma** *lepoll\_imp\_sum\_lepoll\_prod*:  $[[ A \lesssim^M B; 2 \lesssim^M A; M(A); M(B) ]] \implies A+B \lesssim^M A*B$   
*<proof>*

**end** — *M\_cardinals*

## 16.5 Infinite Cardinals are Limit Ordinals

**context** *M\_pre\_cardinal\_arith*

**begin**

**lemma** *nat\_cons\_lepoll\_rel*:  $\text{nat} \lesssim^M A \implies M(A) \implies M(u) \implies \text{cons}(u,A) \lesssim^M A$   
*<proof>*

**lemma** *nat\_cons\_eqpoll\_rel*:  $\text{nat} \lesssim^M A \implies M(A) \implies M(u) \implies \text{cons}(u,A) \approx^M A$   
*<proof>*

**lemma** *nat\_succ\_eqpoll\_rel*:  $\text{nat} \subseteq A \implies M(A) \implies \text{succ}(A) \approx^M A$   
*<proof>*

**lemma** *InfCard\_rel\_nat*:  $\text{InfCard}^M(\text{nat})$



*<proof>*

**lemma** *InfCard\_rel\_is\_Card\_rel*:  $M(K) \implies \text{InfCard}^M(K) \implies \text{Card}^M(K)$   
*<proof>*

**lemma** *InfCard\_rel\_Un*:  
[[  $\text{InfCard}^M(K)$ ;  $\text{Card}^M(L)$ ;  $M(K)$ ;  $M(L)$  ]]  $\implies \text{InfCard}^M(K \cup L)$   
*<proof>*

**lemma** *InfCard\_rel\_is\_Limit*:  $\text{InfCard}^M(K) \implies M(K) \implies \text{Limit}(K)$   
*<proof>*

**end** — *M\_pre\_cardinal\_arith*

**lemma** (**in** *M\_ordertype*) *ordertype\_abs[absolut]*:  
assumes *wellordered*( $M, A, r$ )  $M(A)$   $M(r)$   $M(i)$   
shows  $\text{otype}(M, A, r, i) \longleftrightarrow i = \text{ordertype}(A, r)$   
— Awful proof, it essentially repeats the same argument twice  
*<proof>*

**lemma** (**in** *M\_ordertype*) *ordertype\_closed[intro,simp]*: [[ *wellordered*( $M, A, r$ );  $M(A)$ ;  $M(r)$  ]]  
 $\implies M(\text{ordertype}(A, r))$   
*<proof>*

*<ML>*

**lemma** (**in** *M\_trivial*) *is\_transitive\_iff\_transitive\_rel*:  
 $M(A) \implies M(r) \implies \text{transitive\_rel}(M, A, r) \longleftrightarrow \text{is\_transitive}(M, A, r)$   
*<proof>*

*<ML>*

**lemma** (**in** *M\_trivial*) *is\_linear\_iff\_linear\_rel*:  
 $M(A) \implies M(r) \implies \text{is\_linear}(M, A, r) \longleftrightarrow \text{linear\_rel}(M, A, r)$   
*<proof>*

*<ML>*

**lemma** (**in** *M\_trivial*) *is\_wellfounded\_on\_iff\_wellfounded\_on*:  
 $M(A) \implies M(r) \implies \text{is\_wellfounded\_on}(M, A, r) \longleftrightarrow \text{wellfounded\_on}(M, A, r)$   
*<proof>*

**definition**

*is\_well\_ord* :: [ $i = > o, i, i$ ] = > o **where**

— linear and wellfounded on  $A$   
 $is\_well\_ord(M,A,r) ==$   
 $is\_transitive(M,A,r) \wedge is\_linear(M,A,r) \wedge is\_wellfounded\_on(M,A,r)$

**lemma** (in  $M\_trivial$ )  $is\_well\_ord\_iff\_wellordered$ :  
 $M(A) \implies M(r) \implies is\_well\_ord(M,A,r) \longleftrightarrow wellordered(M,A,r)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**context**  $M\_pre\_cardinal\_arith$   
**begin**

$\langle ML \rangle$   
 $\langle proof \rangle$

$\langle ML \rangle$   
 $\langle proof \rangle$

**end** —  $M\_pre\_cardinal\_arith$

$\langle ML \rangle$

**lemma**  $is\_lambda\_iff\_sats[iff\_sats]$ :  
**assumes**  $is\_F\_iff\_sats$ :  
 $!!a0\ a1\ a2.$   
 $[[a0 \in Aa; a1 \in Aa; a2 \in Aa]]$   
 $\implies is\_F(a1, a0) \longleftrightarrow sats(Aa, is\_F\_fm, Cons(a0, Cons(a1, Cons(a2, env))))$

**shows**  
 $nth(A, env) = Ab \implies$   
 $nth(r, env) = ra \implies$   
 $A \in nat \implies$   
 $r \in nat \implies$   
 $env \in list(Aa) \implies$   
 $is\_lambda(##Aa, Ab, is\_F, ra) \longleftrightarrow Aa, env \models lambda\_fm(is\_F\_fm, A, r)$   
 $\langle proof \rangle$

**lemma**  $sats\_is\_wfrec\_fm'$ :  
**assumes**  $MH\_iff\_sats$ :  
 $!!a0\ a1\ a2\ a3\ a4.$   
 $[[a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A]]$   
 $\implies MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$

**shows**  
 $[[x \in nat; y \in nat; z \in nat; env \in list(A); 0 \in A]]$   
 $\implies sats(A, is\_wfrec\_fm(p,x,y,z), env) \longleftrightarrow$   
 $is\_wfrec(##A, MH, nth(x,env), nth(y,env), nth(z,env))$   
 $\langle proof \rangle$

**lemma**  $is\_wfrec\_iff\_sats'[iff\_sats]$ :  
**assumes**  $MH\_iff\_sats$ :

**!!a0 a1 a2 a3 a4.**  
 $[[a0 \in Aa; a1 \in Aa; a2 \in Aa; a3 \in Aa; a4 \in Aa]]$   
 $\implies MH(a2, a1, a0) \longleftrightarrow \text{sats}(Aa, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{Cons}(a3, \text{Cons}(a4, env))))))$   
 $\text{nth}(x, env) = xx \text{ nth}(y, env) = yy \text{ nth}(z, env) = zz$   
 $x \in \text{nat } y \in \text{nat } z \in \text{nat } env \in \text{list}(Aa) \ 0 \in Aa$   
**shows**  
 $\text{is\_wfrec}(\#\#Aa, MH, xx, yy, zz) \longleftrightarrow Aa, env \models \text{is\_wfrec\_fm}(p, x, y, z)$   
 $\langle \text{proof} \rangle$

**lemma is\_wfrec\_on\_iff\_sats[iff\_sats]:**  
**assumes**  $MH \text{ iff\_sats}$ :  
**!!a0 a1 a2 a3 a4.**  
 $[[a0 \in Aa; a1 \in Aa; a2 \in Aa; a3 \in Aa; a4 \in Aa]]$   
 $\implies MH(a2, a1, a0) \longleftrightarrow \text{sats}(Aa, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{Cons}(a3, \text{Cons}(a4, env))))))$   
**shows**  
 $\text{nth}(x, env) = xx \implies$   
 $\text{nth}(y, env) = yy \implies$   
 $\text{nth}(z, env) = zz \implies$   
 $x \in \text{nat} \implies$   
 $y \in \text{nat} \implies$   
 $z \in \text{nat} \implies$   
 $env \in \text{list}(Aa) \implies$   
 $0 \in Aa \implies \text{is\_wfrec\_on}(\#\#Aa, MH, aa, xx, yy, zz) \longleftrightarrow Aa, env \models \text{is\_wfrec\_fm}(p, x, y, z)$   
 $\langle \text{proof} \rangle$

**lemma trans\_on\_iff\_trans:**  $\text{trans}[A](r) \longleftrightarrow \text{trans}(r \cap A \times A)$   
 $\langle \text{proof} \rangle$

**lemma trans\_on\_subset:**  $\text{trans}[A](r) \implies B \subseteq A \implies \text{trans}[B](r)$   
 $\langle \text{proof} \rangle$

**lemma relation\_Int:**  $\text{relation}(r \cap B \times B)$   
 $\langle \text{proof} \rangle$

Discipline for *ordermap*

$\langle ML \rangle$

**context**  $M\_pre\_cardinal\_arith$   
**begin**

**lemma wfrec\_on\_pred\_eq:**  
**assumes**  $r \in \text{Pow}(A \times A) \ M(A) \ M(r)$   
**shows**  $\text{wfrec}[A](r, x, \lambda x f. f \text{ “ Order.pred}(A, x, r) = \text{wfrec}(r, x, \lambda x f. f \text{ “ Order.pred}(A, x, r) \text{ “$   
 $\text{Order.pred}(A, x, r))$   
 $\langle \text{proof} \rangle$

**lemma wfrec\_on\_pred\_closed:**  
**assumes**  $\text{wf}[A](r) \ \text{trans}[A](r) \ r \in \text{Pow}(A \times A) \ M(A) \ M(r) \ x \in A$   
**shows**  $M(\text{wfrec}(r, x, \lambda x f. f \text{ “ Order.pred}(A, x, r))$

*<proof>*

**lemma** *wfrec\_on\_pred\_closed'*:

**assumes**  $wf[A](r)$   $trans[A](r)$   $r \in Pow(A \times A)$   $M(A)$   $M(r)$   $x \in A$   
**shows**  $M(wfrec[A](r, x, \lambda x f. f \text{ `` Order.pred}(A, x, r)))$   
*<proof>*

**lemma** *ordermap\_rel\_closed'*:

**assumes**  $wf[A](r)$   $trans[A](r)$   $r \in Pow(A \times A)$   $M(A)$   $M(r)$   
**shows**  $M(ordermap\_rel(M, A, r))$   
*<proof>*

**lemma** *ordermap\_rel\_closed[intro,simp]*:

**assumes**  $wf[A](r)$   $trans[A](r)$   $r \in Pow(A \times A)$   
**shows**  $M(A) \implies M(r) \implies M(ordermap\_rel(M, A, r))$   
*<proof>*

**lemma** *is\_ordermap\_iff*:

**assumes**  $r \in Pow(A \times A)$   $wf[A](r)$   $trans[A](r)$   
 $M(A)$   $M(r)$   $M(res)$   
**shows**  $is\_ordermap(M, A, r, res) \longleftrightarrow res = ordermap\_rel(M, A, r)$   
*<proof>*

**end** — *M\_pre\_cardinal\_arith*

*<ML>*

Discipline for *ordertype*

*<ML>*

**context** *M\_pre\_cardinal\_arith*

**begin**

**lemma** *is\_ordertype\_iff*:

**assumes**  $r \in Pow(A \times A)$   $wf[A](r)$   $trans[A](r)$   
**shows**  $M(A) \implies M(r) \implies M(res) \implies is\_ordertype(M, A, r, res) \longleftrightarrow res = ordertype\_rel(M, A, r)$   
*<proof>*

**lemma** *is\_ordertype\_iff'*:

**assumes**  $r \in Pow\_rel(M, A \times A)$   $well\_ord(A, r)$   
**shows**  $M(A) \implies M(r) \implies M(res) \implies is\_ordertype(M, A, r, res) \longleftrightarrow res = ordertype\_rel(M, A, r)$   
*<proof>*

**lemma** *is\_ordertype\_iff''*:

**assumes**  $well\_ord(A, r)$   $r \subseteq A \times A$   
**shows**  $M(A) \implies M(r) \implies M(res) \implies is\_ordertype(M, A, r, res) \longleftrightarrow res =$

*ordertype\_rel*(*M*, *A*, *r*)  
⟨*proof*⟩

**end** — *M\_pre\_cardinal\_arith*

⟨*ML*⟩

**definition**

*jump\_cardinal'* ::  $i \Rightarrow i$  **where**

*jump\_cardinal'*(*K*) ≡

$\bigcup_{X \in \text{Pow}(K)}. \{z. r \in \text{Pow}(X * X), \text{well\_ord}(X, r) \ \& \ z = \text{ordertype}(X, r)\}$

⟨*ML*⟩

**definition** *jump\_cardinal\_body'* **where**

*jump\_cardinal\_body'*(*X*) ≡  $\{z. r \in \text{Pow}(X \times X), \text{well\_ord}(X, r) \ \wedge \ z = \text{ordertype}(X, r)\}$

⟨*ML*⟩

**context** *M\_pre\_cardinal\_arith*

**begin**

**lemma** *ordertype\_rel\_closed'*:

**assumes** *wf*[*A*](*r*) *trans*[*A*](*r*)  $r \in \text{Pow}(A \times A)$  *M*(*r*) *M*(*A*)

**shows** *M*(*ordertype\_rel*(*M*, *A*, *r*))

⟨*proof*⟩

**lemma** *ordertype\_rel\_closed*[*intro, simp*]:

**assumes** *well\_ord*(*A*, *r*)  $r \in \text{Pow\_rel}(M, A \times A)$  *M*(*A*)

**shows** *M*(*ordertype\_rel*(*M*, *A*, *r*))

⟨*proof*⟩

**lemma** *ordertype\_rel\_abs*:

**assumes** *wellordered*(*M*, *X*, *r*) *M*(*X*) *M*(*r*)

**shows** *ordertype\_rel*(*M*, *X*, *r*) = *ordertype*(*X*, *r*)

⟨*proof*⟩

**lemma** *univalent\_aux1*:  $M(X) \Longrightarrow \text{univalent}(M, \text{Pow\_rel}(M, X \times X),$

$\lambda r z. M(z) \wedge M(r) \wedge r \in \text{Pow\_rel}(M, X \times X) \wedge \text{is\_well\_ord}(M, X, r) \wedge \text{is\_ordertype}(M,$

$X, r, z))$

⟨*proof*⟩

**lemma** *jump\_cardinal\_body\_eq* :

$M(X) \Longrightarrow \text{jump\_cardinal\_body}(M, X) = \text{jump\_cardinal\_body\_rel}(M, X)$

⟨*proof*⟩

**end** — *M\_pre\_cardinal\_arith*

**context** *M\_cardinal\_arith*

**begin**

**lemma** *jump\_cardinal\_closed\_aux1*:

**assumes**  $M(X)$

**shows**

$M(\text{jump\_cardinal\_body}(M,X))$

*<proof>*

**lemma** *univalent\_jc\_body*:  $M(X) \implies \text{univalent}(M,X,\lambda x z . M(z) \wedge M(x) \wedge z$

$= \text{jump\_cardinal\_body}(M,x))$

*<proof>*

**lemma** *jump\_cardinal\_body\_closed*:

**assumes**  $M(K)$

**shows**  $M(\{a . X \in \text{Pow}^M(K), M(a) \wedge M(X) \wedge a = \text{jump\_cardinal\_body}(M,X)\})$

*<proof>*

*<ML>*

*<proof>*

*<ML>*

*<proof>*

**end**

**context** *M\_cardinal\_arith*

**begin**

**lemma** (**in** *M\_ordertype*) *ordermap\_closed*[*intro,simp*]:

**assumes** *wellordered*( $M,A,r$ ) **and** *types*: $M(A) M(r)$

**shows**  $M(\text{ordermap}(A,r))$

*<proof>*

**lemma** *ordermap\_eqpoll\_pred*:

$[| \text{well\_ord}(A,r); x \in A; M(A);M(r);M(x)|] \implies \text{ordermap}(A,r) \dot{x} \approx^M \text{Order.pred}(A,x,r)$

*<proof>*

Kunen: "each  $\langle x, y \rangle \in K \times K$  has no more than  $z \times z$  predecessors..." (page 29)

**lemma** *ordermap\_csquare\_le*:

**assumes**  $K: \text{Limit}(K)$  **and**  $x: x < K$  **and**  $y: y < K$

**and** *types*:  $M(K) M(x) M(y)$

**shows**  $|\text{ordermap}(K \times K, \text{csquare\_rel}(K)) \dot{\langle x,y \rangle}|^M \leq |\text{succ}(\text{succ}(x \cup y))|^M \otimes^M |\text{succ}(\text{succ}(x \cup y))|^M$

*<proof>*

Kunen: "... so the order type is  $\leq K$ "

**lemma** *ordertype\_csquare\_le\_M*:

**assumes**  $IK: \text{InfCard}^M(K)$  **and**  $eq: \bigwedge y. y \in K \implies \text{InfCard}^M(y) \implies M(y) \implies y \otimes^M y = y$   
 — Note the weakened hypothesis  $\llbracket ?y \in K; \text{InfCard}^M(?y); M(?y) \rrbracket \implies ?y \otimes^M ?y = ?y$   
**and**  $types: M(K)$   
**shows**  $\text{ordertype}(K * K, \text{csquare\_rel}(K)) \leq K$   
 $\langle proof \rangle$

**lemma**  $\text{InfCard\_rel\_csquare\_eq}$ :  
**assumes**  $IK: \text{InfCard}^M(K)$  **and**  
 $types: M(K)$   
**shows**  $K \otimes^M K = K$   
 $\langle proof \rangle$

**lemma**  $\text{well\_ord\_InfCard\_rel\_square\_eq}$ :  
**assumes**  $r: \text{well\_ord}(A, r)$  **and**  $I: \text{InfCard}^M(|A|^M)$  **and**  
 $types: M(A) \ M(r)$   
**shows**  $A \times A \approx^M A$   
 $\langle proof \rangle$

**lemma**  $\text{InfCard\_rel\_square\_eqpoll}$ :  
**assumes**  $\text{InfCard}^M(K)$  **and**  $types: M(K)$  **shows**  $K \times K \approx^M K$   
 $\langle proof \rangle$

**lemma**  $\text{Inf\_Card\_rel\_is\_InfCard\_rel}$ :  $\llbracket \text{Card}^M(i); \sim \text{Finite\_rel}(M, i); M(i) \rrbracket \implies \text{InfCard}^M(i)$   
 $\langle proof \rangle$

### 16.5.1 Toward's Kunen's Corollary 10.13 (1)

**lemma**  $\text{InfCard\_rel\_le\_cmult\_rel\_eq}$ :  $\llbracket \text{InfCard}^M(K); L \leq K; 0 < L; M(K); M(L) \rrbracket \implies K \otimes^M L = K$   
 $\langle proof \rangle$

**lemma**  $\text{InfCard\_rel\_cmult\_rel\_eq}$ :  $\llbracket \text{InfCard}^M(K); \text{InfCard}^M(L); M(K); M(L) \rrbracket \implies K \otimes^M L = K \cup L$   
 $\langle proof \rangle$

**lemma**  $\text{InfCard\_rel\_cdouble\_eq}$ :  $\text{InfCard}^M(K) \implies M(K) \implies K \oplus^M K = K$   
 $\langle proof \rangle$

**lemma**  $\text{InfCard\_rel\_le\_cadd\_rel\_eq}$ :  $\llbracket \text{InfCard}^M(K); L \leq K; M(K); M(L) \rrbracket \implies K \oplus^M L = K$   
 $\langle proof \rangle$

**lemma** *InfCard\_rel\_cadd\_rel\_eq*:  $[| \text{InfCard}^M(K); \text{InfCard}^M(L); M(K) ; M(L) |] \implies K \oplus^M L = K \cup L$   
 ⟨proof⟩

**end** — *M\_cardinal\_arith*

## 16.6 For Every Cardinal Number There Exists A Greater One

This result is Kunen's Theorem 10.16, which would be trivial using AC

**locale** *M\_cardinal\_arith\_jump* = *M\_cardinal\_arith* + *M\_ordertype*  
**begin**

**lemma** *well\_ord\_restr*:  $\text{well\_ord}(X, r) \implies \text{well\_ord}(X, r \cap X \times X)$   
 ⟨proof⟩

**lemma** *ordertype\_restr\_eq* :  
**assumes**  $\text{well\_ord}(X, r)$   
**shows**  $\text{ordertype}(X, r) = \text{ordertype}(X, r \cap X \times X)$   
 ⟨proof⟩

**lemma** *def\_jump\_cardinal\_rel\_aux*:  
 $X \in \text{Pow}^M(K) \implies \text{well\_ord}(X, w) \implies M(K) \implies$   
 $\{z . r \in \text{Pow}^M(X \times X), M(z) \wedge \text{well\_ord}(X, r) \wedge z = \text{ordertype}(X, r)\} =$   
 $\{z . r \in \text{Pow}^M(K \times K), M(z) \wedge \text{well\_ord}(X, r) \wedge z = \text{ordertype}(X, r)\}$   
 ⟨proof⟩

**lemma** *def\_jump\_cardinal\_rel*:  
**assumes**  $M(K)$   
**shows**  $\text{jump\_cardinal}'\_rel(M, K) =$   
 $(\bigcup X \in \text{Pow\_rel}(M, K). \{z. r \in \text{Pow\_rel}(M, K * K), \text{well\_ord}(X, r) \ \& \ z =$   
 $\text{ordertype}(X, r)\})$   
 ⟨proof⟩

**notation** *jump\_cardinal'\_rel* (⟨*jump'\_cardinal'\_rel*⟩)

**lemma** *Ord\_jump\_cardinal\_rel*:  $M(K) \implies \text{Ord}(\text{jump\_cardinal\_rel}(M, K))$   
 ⟨proof⟩

**declare** *conj\_cong* [*cong del*]  
 — incompatible with some of the proofs of the original theory

**lemma** *jump\_cardinal\_rel\_iff\_old*:  
 $M(i) \implies M(K) \implies i \in \text{jump\_cardinal\_rel}(M, K) \longleftrightarrow$   
 $(\exists r[M]. \exists X[M]. r \subseteq K * K \ \& \ X \subseteq K \ \& \ \text{well\_ord}(X, r) \ \& \ i = \text{ordertype}(X, r))$   
 ⟨proof⟩



**lemma** *K\_lt\_jump\_cardinal\_rel*:  $\text{Ord}(K) \implies M(K) \implies K < \text{jump\_cardinal\_rel}(M,K)$   
 ⟨proof⟩

**lemma** *Card\_rel\_jump\_cardinal\_rel\_lemma*:  
 [| *well\_ord*( $X,r$ );  $r \subseteq K * K$ ;  $X \subseteq K$ ;  
 $f \in \text{bij}(\text{ordertype}(X,r), \text{jump\_cardinal\_rel}(M,K))$ ;  
 $M(X)$ ;  $M(r)$ ;  $M(K)$ ;  $M(f)$  |]  
 $\implies \text{jump\_cardinal\_rel}(M,K) \in \text{jump\_cardinal\_rel}(M,K)$   
 ⟨proof⟩

**lemma** *Card\_rel\_jump\_cardinal\_rel*:  $M(K) \implies \text{Card\_rel}(M, \text{jump\_cardinal\_rel}(M,K))$   
 ⟨proof⟩

## 16.7 Basic Properties of Successor Cardinals

**lemma** *csucc\_rel\_basic*:  $\text{Ord}(K) \implies M(K) \implies \text{Card\_rel}(M, \text{csucc\_rel}(M,K))$   
 &  $K < \text{csucc\_rel}(M,K)$   
 ⟨proof⟩

**lemmas** *Card\_rel\_csucc\_rel = csucc\_rel\_basic* [THEN *conjunct1*]

**lemmas** *lt\_csucc\_rel = csucc\_rel\_basic* [THEN *conjunct2*]

**lemma** *Ord\_0\_lt\_csucc\_rel*:  $\text{Ord}(K) \implies M(K) \implies 0 < \text{csucc\_rel}(M,K)$   
 ⟨proof⟩

**lemma** *csucc\_rel\_le*: [|  $\text{Card\_rel}(M,L)$ ;  $K < L$ ;  $M(K)$ ;  $M(L)$  |]  $\implies \text{csucc\_rel}(M,K) \leq L$   
 ⟨proof⟩

**lemma** *lt\_csucc\_rel\_iff*: [|  $\text{Ord}(i)$ ;  $\text{Card\_rel}(M,K)$ ;  $M(K)$ ;  $M(i)$  |]  $\implies i < \text{csucc\_rel}(M,K) \iff |i|^M \leq K$   
 ⟨proof⟩

**lemma** *Card\_rel\_lt\_csucc\_rel\_iff*:  
 [|  $\text{Card\_rel}(M,K')$ ;  $\text{Card\_rel}(M,K)$ ;  $M(K')$ ;  $M(K)$  |]  $\implies K' < \text{csucc\_rel}(M,K) \iff K' \leq K$   
 ⟨proof⟩

**lemma** *InfCard\_rel\_csucc\_rel*:  $\text{InfCard\_rel}(M,K) \implies M(K) \implies \text{InfCard\_rel}(M, \text{csucc\_rel}(M,K))$   
 ⟨proof⟩

### 16.7.1 Theorems by Krzysztof Grabczewski, proofs by lcp

**lemma** *nat\_sum\_eqpoll\_rel\_sum*:  
 assumes  $m: m \in \text{nat}$  and  $n: n \in \text{nat}$  shows  $m + n \approx^M m +_\omega n$

*<proof>*

**lemma** *Ord\_nat\_subset\_into\_Card\_rel*:  $[[ \text{Ord}(i); i \subseteq \text{nat} ]] \implies \text{Card}^M(i)$   
*<proof>*

**end** — *M\_cardinal\_arith\_jump*

**end**

**theory** *Aleph\_Relative*

**imports**

*CardinalArith\_Relative*

**begin**

**definition**

*HAleph* ::  $[i, i] \Rightarrow i$  **where**  
 $\text{HAleph}(i, r) \equiv \text{if}(\neg(\text{Ord}(i)), i, \text{if}(i=0, \text{nat}, \text{if}(\neg\text{Limit}(i) \wedge i \neq 0,$   
 $\text{csucc}(r'(\bigcup i)),$   
 $\bigcup_{j \in i. r'j)))$

*<ML>*

**lemma** *arity\_is\_HAleph\_fm\_aux*:

**assumes**

$i \in \text{nat } r \in \text{nat}$

— NOTE: assumptions are **not** used, but if omitted, next lemma fails!

**shows**

$\text{arity}(\text{Replace\_fm}(8 +_\omega i, \cdot 10 +_\omega r'0 \text{ is } 1., 3)) = 9 +_\omega i \cup \text{pred}(\text{pred}(11 +_\omega r))$

*<proof>*

**lemma** *arity\_is\_HAleph\_fm[arity]*:

**assumes**

$i \in \text{nat } r \in \text{nat } l \in \text{nat}$

**shows**

$\text{arity}(\text{is\_HAleph\_fm}(i, r, l)) = \text{succ}(i) \cup \text{succ}(l) \cup \text{succ}(r)$

*<proof>*

**definition**

*Aleph'* ::  $i \Rightarrow i$  **where**  
 $\text{Aleph}'(a) == \text{transrec}(a, \lambda i r. \text{HAleph}(i, r))$

*<ML>*

The extra assumptions  $a < \text{length}(env)$  and  $c < \text{length}(env)$  in this schematic goal (and the following results on synthesis that depend on it) are imposed by  $[[ \bigwedge a0 a1 a2 a3 a4 a5 a6 a7. [[a0 \in ?A; a1 \in ?A; a2 \in ?A; a3 \in ?A; a4 \in ?A; a5 \in ?A; a6 \in ?A; a7 \in ?A]] \implies ?MH(a2, a1, a0) \longleftrightarrow ?A, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{Cons}(a3, \text{Cons}(a4, \text{Cons}(a5, \text{Cons}(a6, \text{Cons}(a7, ?env)))))))) \models ?p; \text{nth}(?i, ?env) = ?x; \text{nth}(?k, ?env) = ?z; ?i < \text{length}(?env); ?k < \text{length}(?env); ?env \in \text{list}(?A)] \implies \text{is\_transrec}(\#\# ?A, ?MH, ?x, ?z) \longleftrightarrow ?A, ?env \models \text{is\_transrec\_fm}(?p, ?i, ?k)$ .

**schematic\_goal** *sats\_is\_Aleph\_fm\_auto*:

$a \in \text{nat} \implies c \in \text{nat} \implies \text{env} \in \text{list}(A) \implies$   
 $a < \text{length}(\text{env}) \implies c < \text{length}(\text{env}) \implies 0 \in A \implies$   
 $\text{is\_Aleph}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(c, \text{env})) \longleftrightarrow A, \text{env} \models ?\text{fm}(a, c)$   
<proof>

<ML>

**notation** *is\_Aleph\_fm* ( $\langle \cdot \aleph'(\_) \text{ is } \_ \rangle$ )

**lemma** *is\_Aleph\_fm\_type* [TC]:  $a \in \text{nat} \implies c \in \text{nat} \implies \text{is\_Aleph\_fm}(a, c) \in$   
*formula*  
<proof>

**lemma** *sats\_is\_Aleph\_fm*:

**assumes**  $f \in \text{nat } r \in \text{nat } \text{env} \in \text{list}(A) \ 0 \in A \ f < \text{length}(\text{env}) \ r < \text{length}(\text{env})$   
**shows**  $\text{is\_Aleph}(\#\#A, \text{nth}(f, \text{env}), \text{nth}(r, \text{env})) \longleftrightarrow A, \text{env} \models \text{is\_Aleph\_fm}(f, r)$   
<proof>

**lemma** *is\_Aleph\_iff\_sats* [*iff\_sats*]:

**assumes**  
 $\text{nth}(f, \text{env}) = fa \ \text{nth}(r, \text{env}) = ra \ f < \text{length}(\text{env}) \ r < \text{length}(\text{env})$   
 $f \in \text{nat } r \in \text{nat } \text{env} \in \text{list}(A) \ 0 \in A$   
**shows**  $\text{is\_Aleph}(\#\#A, fa, ra) \longleftrightarrow A, \text{env} \models \text{is\_Aleph\_fm}(f, r)$   
<proof>

<ML>

**lemma** (*in M\_cardinal\_arith\_jump*) *is\_Limit\_iff*:

**assumes**  $M(a)$   
**shows**  $\text{is\_Limit}(M, a) \longleftrightarrow \text{Limit}(a)$   
<proof>

**lemma** *HAleph\_eq\_Aleph\_recursive*:

$\text{Ord}(i) \implies \text{HAleph}(i, r) = (\text{if } i = 0 \text{ then } \text{nat}$   
 $\text{else if } \exists j. i = \text{succ}(j) \text{ then } \text{csucc}(r \text{ ' (THE } j. i = \text{succ}(j))) \text{ else } \bigcup j < i.$   
 $r \text{ ' } j)$   
<proof>

**lemma** *Aleph'\_eq\_Aleph*:  $\text{Ord}(a) \implies \text{Aleph}'(a) = \text{Aleph}(a)$   
<proof>

<ML>

**abbreviation**

$\text{Aleph\_r} :: [i, i \Rightarrow o] \Rightarrow i \ (\langle \aleph \_ \rangle)$  **where**  
 $\text{Aleph\_r}(a, M) \equiv \text{Aleph\_rel}(M, a)$

**abbreviation**

$Aleph\_r\_set :: [i,i] \Rightarrow i (\aleph\_ -)$  **where**  
 $Aleph\_r\_set(a,M) \equiv Aleph\_rel(\#\#M,a)$

**lemma** *Aleph\_rel\_def'*:  $Aleph\_rel(M,a) \equiv transrec(a, \lambda i r. HAleph\_rel(M, i, r))$   
 $\langle proof \rangle$

**lemma** *succ\_mem\_Limit*:  $Limit(j) \Longrightarrow i \in j \Longrightarrow succ(i) \in j$   
 $\langle proof \rangle$

**locale** *M\_pre\_aleph* = *M\_eclose* + *M\_cardinal\_arith\_jump* +  
**assumes**  
 $haleph\_transrec\_replacement: M(a) \Longrightarrow transrec\_replacement(M, is\_HAleph(M), a)$

**begin**

**lemma** *aux\_ex\_Replace\_funapply*:  
**assumes**  $M(a) M(f)$   
**shows**  $\exists x[M]. is\_Replace(M, a, \lambda j y. f ' j = y, x)$   
 $\langle proof \rangle$

**lemma** *is\_HAleph\_zero*:  
**assumes**  $M(f)$   
**shows**  $is\_HAleph(M, 0, f, res) \longleftrightarrow res = nat$   
 $\langle proof \rangle$

**lemma** *is\_HAleph\_succ*:  
**assumes**  $M(f) M(x) Ord(x) M(res)$   
**shows**  $is\_HAleph(M, succ(x), f, res) \longleftrightarrow res = csucc\_rel(M, f ' x)$   
 $\langle proof \rangle$

**lemma** *is\_HAleph\_limit*:  
**assumes**  $M(f) M(x) Limit(x) M(res)$   
**shows**  $is\_HAleph(M, x, f, res) \longleftrightarrow res = (\bigcup \{y . i \in x, M(i) \wedge M(y) \wedge y = f ' i\})$   
 $\langle proof \rangle$

**lemma** *is\_HAleph\_iff*:  
**assumes**  $M(a) M(f) M(res)$   
**shows**  $is\_HAleph(M, a, f, res) \longleftrightarrow res = HAleph\_rel(M, a, f)$   
 $\langle proof \rangle$

**lemma** *HAleph\_rel\_closed* [*intro, simp*]:  
**assumes** *function*( $f$ )  $M(a) M(f)$   
**shows**  $M(HAleph\_rel(M, a, f))$   
 $\langle proof \rangle$

**lemma** *Aleph\_rel\_closed* [*intro, simp*]:  
**assumes**  $Ord(a) M(a)$   
**shows**  $M(Aleph\_rel(M, a))$   
 $\langle proof \rangle$

**lemma** *Aleph\_rel\_zero*:  $\aleph_0^M = \text{nat}$   
 ⟨*proof*⟩

**lemma** *Aleph\_rel\_succ*:  $\text{Ord}(\alpha) \implies M(\alpha) \implies \aleph_{\text{succ}(\alpha)}^M = (\aleph_\alpha^{M+})^M$   
 ⟨*proof*⟩

**lemma** *Aleph\_rel\_limit*:  
**assumes** *Limit*( $\alpha$ )  $M(\alpha)$   
**shows**  $\aleph_\alpha^M = \bigcup \{\aleph_j^M . j \in \alpha\}$   
 ⟨*proof*⟩

**lemma** *is\_Aleph\_iff*:  
**assumes**  $\text{Ord}(a)$   $M(a)$   $M(\text{res})$   
**shows**  $\text{is\_Aleph}(M, a, \text{res}) \longleftrightarrow \text{res} = \aleph_a^M$   
 ⟨*proof*⟩

**end** — *M\_pre\_aleph*

**locale** *M\_aleph* = *M\_pre\_aleph* +  
**assumes**  
*aleph\_rel\_replacement*:  $\text{strong\_replacement}(M, \lambda x y. \text{Ord}(x) \wedge y = \aleph_x^M)$   
**begin**

**lemma** *Aleph\_rel\_cont*:  $\text{Limit}(l) \implies M(l) \implies \aleph_l^M = (\bigcup_{i < l} \aleph_i^M)$   
 ⟨*proof*⟩

**lemma** *Ord\_Aleph\_rel*:  
**assumes**  $\text{Ord}(a)$   
**shows**  $M(a) \implies \text{Ord}(\aleph_a^M)$   
 ⟨*proof*⟩

**lemma** *Card\_rel\_Aleph\_rel* [*simp, intro*]:  
**assumes**  $\text{Ord}(a)$  **and types**:  $M(a)$  **shows**  $\text{Card}^M(\aleph_a^M)$   
 ⟨*proof*⟩

**lemma** *Aleph\_rel\_increasing*:  
**assumes**  $a < b$  **and types**:  $M(a)$   $M(b)$   
**shows**  $\aleph_a^M < \aleph_b^M$   
 ⟨*proof*⟩

**lemmas** *nat\_subset\_Aleph\_rel\_1* =  
*Ord\_lt\_subset*[*OF Ord\_Aleph\_rel*[*of 1*] *Aleph\_rel\_increasing*[*of 0 1, simplified*], *simplified*]

**end** — *M\_aleph*

**end**

## 17 Relative, Cardinal Arithmetic Using AC

```

theory Cardinal_AC_Relative
  imports
    CardinalArith_Relative

begin

locale M_AC =
  fixes M
  assumes
    choice_ax: choice_ax(M)

locale M_cardinal_AC = M_cardinal_arith + M_AC
begin

lemma well_ord_surj_imp_lepoll_rel:
  assumes well_ord(A,r) h ∈ surj(A,B) and
    types:M(A) M(r) M(h) M(B)
  shows  $B \lesssim^M A$ 
  ⟨proof⟩

lemma surj_imp_well_ord_M:
  assumes wos: well_ord(A,r) h ∈ surj(A,B)
  and
    types: M(A) M(r) M(h) M(B)
  shows ∃ s[M]. well_ord(B,s)
  ⟨proof⟩

lemma choice_ax_well_ord:  $M(S) \implies \exists r[M]. \text{well\_ord}(S,r)$ 
  ⟨proof⟩

lemma Finite_cardinal_rel_Finite:
  assumes Finite( $|i|^M$ ) M(i)
  shows Finite(i)
  ⟨proof⟩

end — M_cardinal_AC

locale M_Pi_assumptions_choice = M_Pi_assumptions + M_cardinal_AC +
  assumes
    B_replacement: strong_replacement(M,  $\lambda x y. y = B(x)$ )
  and
    — The next one should be derivable from (some variant) of B_replacement.
    Proving both instances each time seems inconvenient.
    minimum_replacement:  $M(r) \implies \text{strong\_replacement}(M, \lambda x y. y = \langle x, \text{minimum}(r, B(x)) \rangle)$ 
begin

```

**lemma** *AC\_M*:  
**assumes**  $a \in A \wedge x. x \in A \implies \exists y. y \in B(x)$   
**shows**  $\exists z[M]. z \in Pi^M(A, B)$   
 $\langle proof \rangle$

**lemma** *AC\_Pi\_rel*: **assumes**  $\wedge x. x \in A \implies \exists y. y \in B(x)$   
**shows**  $\exists z[M]. z \in Pi^M(A, B)$   
 $\langle proof \rangle$

**end** — *M\_Pi\_assumptions\_choice*

**context** *M\_cardinal\_AC*  
**begin**

## 17.1 Strengthened Forms of Existing Theorems on Cardinals

**lemma** *cardinal\_rel\_eqpoll\_rel*:  $M(A) \implies |A|^M \approx^M A$   
 $\langle proof \rangle$

**lemmas** *cardinal\_rel\_idem = cardinal\_rel\_eqpoll\_rel* [*THEN* *cardinal\_rel\_cong*,  
*simp*]

**lemma** *cardinal\_rel\_eqE*:  $|X|^M = |Y|^M \implies M(X) \implies M(Y) \implies X \approx^M Y$   
 $\langle proof \rangle$

**lemma** *cardinal\_rel\_eqpoll\_rel\_iff*:  $M(X) \implies M(Y) \implies |X|^M = |Y|^M \iff X \approx^M Y$   
 $\langle proof \rangle$

**lemma** *cardinal\_rel\_disjoint\_Un*:  
 $\llbracket |A|^M = |B|^M; |C|^M = |D|^M; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket$   
 $\implies |A \cup C|^M = |B \cup D|^M$   
 $\langle proof \rangle$

**lemma** *lepoll\_rel\_imp\_cardinal\_rel\_le*:  $A \lesssim^M B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$   
 $\langle proof \rangle$

**lemma** *cadd\_rel\_assoc*:  $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$   
 $\langle proof \rangle$

**lemma** *cmult\_rel\_assoc*:  $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$   
 $\langle proof \rangle$

**lemma** *cadd\_cmult\_distrib*:  $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$   
 $\langle proof \rangle$

**lemma** *InfCard\_rel\_square\_eq*:  $InfCard^M(|A|^M) \implies M(A) \implies A \times A \approx^M A$   
 $\langle proof \rangle$

## 17.2 The relationship between cardinality and le-pollence

**lemma** *Card\_rel\_le\_imp\_lepoll\_rel*:  
**assumes**  $|A|^M \leq |B|^M$   
**and types**:  $M(A) M(B)$   
**shows**  $A \lesssim^M B$   
 $\langle proof \rangle$

**lemma** *le\_Card\_rel\_iff*:  $Card^M(K) \implies M(K) \implies M(A) \implies |A|^M \leq K \longleftrightarrow A \lesssim^M K$   
 $\langle proof \rangle$

**lemma** *cardinal\_rel\_0\_iff\_0* [simp]:  $M(A) \implies |A|^M = 0 \longleftrightarrow A = 0$   
 $\langle proof \rangle$

**lemma** *cardinal\_rel\_lt\_iff\_lesspoll\_rel*:  
**assumes**  $i: Ord(i)$  **and**  
**types**:  $M(i) M(A)$   
**shows**  $i < |A|^M \longleftrightarrow i \prec^M A$   
 $\langle proof \rangle$

**lemma** *cardinal\_rel\_le\_imp\_lepoll\_rel*:  $i \leq |A|^M \implies M(i) \implies M(A) \implies i \lesssim^M A$   
 $\langle proof \rangle$

## 17.3 Other Applications of AC

We have an example of instantiating a locale involving higher order variables inside a proof, by using the assumptions of the first order, active locale.

**lemma** *surj\_rel\_implies\_inj\_rel*:  
**assumes**  $f: f \in surj^M(X, Y)$  **and**  
**types**:  $M(f) M(X) M(Y)$   
**shows**  $\exists g[M]. g \in inj^M(Y, X)$   
 $\langle proof \rangle$

Kunen's Lemma 10.20

**lemma** *surj\_rel\_implies\_cardinal\_rel\_le*:  
**assumes**  $f: f \in surj^M(X, Y)$  **and**  
**types**:  $M(f) M(X) M(Y)$   
**shows**  $|Y|^M \leq |X|^M$   
 $\langle proof \rangle$



**end** —  $M\_cardinal\_AC$

The set-theoretic universe.

**abbreviation**

$Universe :: i \Rightarrow o (\langle \mathcal{V} \rangle)$  **where**

$\mathcal{V}(x) \equiv True$

**lemma**  $separation\_absolute: separation(\mathcal{V}, P)$

$\langle proof \rangle$

**lemma**  $univalent\_absolute:$

**assumes**  $univalent(\mathcal{V}, A, P) P(x, b) x \in A$

**shows**  $P(x, y) \Longrightarrow y = b$

$\langle proof \rangle$

**lemma**  $replacement\_absolute: strong\_replacement(\mathcal{V}, P)$

$\langle proof \rangle$

**lemma**  $Union\_ax\_absolute: Union\_ax(\mathcal{V})$

$\langle proof \rangle$

**lemma**  $upair\_ax\_absolute: upair\_ax(\mathcal{V})$

$\langle proof \rangle$

**lemma**  $power\_ax\_absolute: power\_ax(\mathcal{V})$

$\langle proof \rangle$

**locale**  $M\_cardinal\_UN = M\_Pi\_assumptions\_choice \_ K X$  **for**  $K X +$

**assumes**

— The next assumption is required by  $(\bigwedge x. \llbracket ?Q(x); Ord(x) \rrbracket \Longrightarrow \exists y[M]. ?Q(y) \wedge Ord(y)) \Longrightarrow M(\mu x. ?Q(x))$

$X\_witness\_in\_M: w \in X(x) \Longrightarrow M(x)$

**and**

$lam\_m\_replacement: M(f) \Longrightarrow strong\_replacement(M,$

$\lambda x y. y = \langle x, \mu i. x \in X(i), f \ ' (\mu i. x \in X(i)) \ ' x \rangle)$

**and**

$inj\_replacement:$

$M(x) \Longrightarrow strong\_replacement(M, \lambda y z. y \in inj^M(X(x), K) \wedge z = \{\langle x, y \rangle\})$

$strong\_replacement(M, \lambda x y. y = inj^M(X(x), K))$

$strong\_replacement(M,$

$\lambda x z. z = Sigfun(x, \lambda i. inj^M(X(i), K)))$

$M(r) \Longrightarrow strong\_replacement(M,$

$\lambda x y. y = \langle x, minimum(r, inj^M(X(x), K)) \rangle)$

**begin**

**lemma**  $UN\_closed: M(\bigcup i \in K. X(i))$

$\langle proof \rangle$

Kunen's Lemma 10.21

**lemma** *cardinal\_rel\_UN\_le*:

**assumes**  $K: \text{InfCard}^M(K)$

**shows**  $(\bigwedge i. i \in K \implies |X(i)|^M \leq K) \implies |\bigcup i \in K. X(i)|^M \leq K$   
*<proof>*

**end** — *M\_cardinal\_UN*

**end**

## 18 Relativization of Finite Functions

**theory** *FiniteFun\_Relative*

**imports**

*Lambda\_Replacement*

**begin**

**lemma** *FiniteFunI* :

**assumes**  $f \in \text{Fin}(A \times B)$  *function*( $f$ )

**shows**  $f \in A \text{ -}||> B$

*<proof>*

### 18.1 The set of finite binary sequences

We implement the poset for adding one Cohen real, the set  $2^{<\omega}$  of finite binary sequences.

**definition**

*seqspace* ::  $[i, i] \Rightarrow i \text{ (} \langle \_ \rangle \text{ [100, 1]100)$  **where**  
 $B^{<\alpha} \equiv \bigcup n \in \alpha. (n \rightarrow B)$

**schematic\_goal** *seqspace\_fm\_auto*:

**assumes**

$i \in \text{nat } j \in \text{nat } h \in \text{nat } \text{env} \in \text{list}(A)$

**shows**

$(\exists om \in A. \text{omega}(\#\#A, om) \wedge \text{nth}(i, \text{env}) \in om \wedge \text{is\_funspace}(\#\#A, \text{nth}(i, \text{env}), \text{nth}(h, \text{env}), \text{nth}(j, \text{env}))) \longleftrightarrow (A, \text{env} \models (?sqsprp(i, j, h)))$

*<proof>*

*<ML>*

**lemma** *seqspaceI[intro]*:  $n \in \alpha \implies f: n \rightarrow B \implies f \in B^{<\alpha}$

*<proof>*

**lemma** *seqspaceD[dest]*:  $f \in B^{<\alpha} \implies \exists n \in \alpha. f: n \rightarrow B$

*<proof>*

**locale** *M\_seqspace* = *M\_trancl* + *M\_replacement* +

**assumes**

*seqspace\_replacement*:  $M(B) \implies \text{strong\_replacement}(M, \lambda n z. n \in \text{nat} \wedge \text{is\_funspace}(M, n, B, z))$   
**begin**

**lemma** *seqspace\_closed*:

$M(B) \implies M(B^{<\omega})$

*<proof>*

**end**

## 18.2 Representation of finite functions

A function  $f \in A \rightarrow_{\text{fin}} B$  can be represented by a function  $g \in |f| \rightarrow A \times B$ . It is clear that  $f$  can be represented by any  $g' = g \cdot \pi$ , where  $\pi$  is a permutation  $\pi \in \text{dom}(g) \rightarrow \text{dom}(g)$ . We use this representation of  $A \rightarrow_{\text{fin}} B$  to prove that our model is closed under  $\_ \rightarrow_{\text{fin}} \_$ .

A function  $g \in n \rightarrow A \times B$  that is functional in the first components.

**definition** *cons\_like* ::  $i \Rightarrow o$  **where**

$\text{cons\_like}(f) \equiv \forall i \in \text{domain}(f) . \forall j \in i . \text{fst}(f'i) \neq \text{fst}(f'j)$

*<ML>*

**lemma** (**in** *M\_seqspace*) *cons\_like\_abs*:

$M(f) \implies \text{cons\_like}(f) \longleftrightarrow \text{cons\_like\_rel}(M, f)$

*<proof>*

**definition** *FiniteFun\_iso* ::  $[i, i, i, i, i] \Rightarrow o$  **where**

$\text{FiniteFun\_iso}(A, B, n, g, f) \equiv (\forall i \in n . g'i \in f) \wedge (\forall ab \in f. (\exists i \in n. g'i = ab))$

From a function  $g \in n \rightarrow A \times B$  we obtain a finite function in  $A \dashv\vdash B$ .

**definition** *to\_FiniteFun* ::  $i \Rightarrow i$  **where**

$\text{to\_FiniteFun}(f) \equiv \{f'i. i \in \text{domain}(f)\}$

**definition** *FiniteFun\_Repr* ::  $[i, i] \Rightarrow i$  **where**

$\text{FiniteFun\_Repr}(A, B) \equiv \{f \in (A \times B)^{<\omega} . \text{cons\_like}(f)\}$

**locale** *M\_FiniteFun* = *M\_seqspace* +

**assumes**

$\text{cons\_like\_separation} : \text{separation}(M, \lambda f. \text{cons\_like\_rel}(M, f))$

**and**

$\text{separation\_is\_function} : \text{separation}(M, \text{is\_function}(M))$

**begin**

**lemma** *supset\_separation*:  $\text{separation}(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge b \subseteq a)$

*<proof>*

**lemma** *to\_finiteFun\_replacement*:  $\text{strong\_replacement}(M, \lambda x y. y = \text{range}(x))$

*<proof>*

**lemma** *fun\_range\_eq*:  $f \in A \rightarrow B \implies \{f'i . i \in \text{domain}(f)\} = \text{range}(f)$   
 ⟨proof⟩

**lemma** *FiniteFun\_fst\_type*:  
**assumes**  $h \in A \rightarrow B$   $p \in h$   
**shows**  $\text{fst}(p) \in \text{domain}(h)$   
 ⟨proof⟩

**lemma** *FinFun\_closed*:  
 $M(A) \implies M(B) \implies M(\bigcup \{n \rightarrow A \times B . n \in \omega\})$   
 ⟨proof⟩

**lemma** *cons\_like\_lt* :  
**assumes**  $n \in \omega$   $f \in \text{succ}(n) \rightarrow A \times B$   $\text{cons\_like}(f)$   
**shows**  $\text{restrict}(f, n) \in n \rightarrow A \times B$   $\text{cons\_like}(\text{restrict}(f, n))$   
 ⟨proof⟩

A finite function  $f \in A \rightarrow B$  can be represented by a function  $g \in n \rightarrow A \times B$ , with  $n = |f|$ .

**lemma** *FiniteFun\_iso\_intro1*:  
**assumes**  $f \in (A \rightarrow B)$   
**shows**  $\exists n \in \omega . \exists g \in n \rightarrow A \times B . \text{FiniteFun\_iso}(A, B, n, g, f) \wedge \text{cons\_like}(g)$   
 ⟨proof⟩

All the representations of  $f \in A \rightarrow B$  are equal.

**lemma** *FiniteFun\_isoD* :  
**assumes**  $n \in \omega$   $g \in n \rightarrow A \times B$   $f \in A \rightarrow B$   $\text{FiniteFun\_iso}(A, B, n, g, f)$   
**shows**  $\text{to\_FiniteFun}(g) = f$   
 ⟨proof⟩

**lemma** *to\_FiniteFun\_succ\_eq* :  
**assumes**  $n \in \omega$   $f \in \text{succ}(n) \rightarrow A$   
**shows**  $\text{to\_FiniteFun}(f) = \text{cons}(f'n, \text{to\_FiniteFun}(\text{restrict}(f, n)))$   
 ⟨proof⟩

If  $g \in n \rightarrow A \times B$  is *cons\_like*, then it is a representation of  $\text{to\_FiniteFun}(g)$ .

**lemma** *FiniteFun\_iso\_intro\_to*:  
**assumes**  $n \in \omega$   $g \in n \rightarrow A \times B$   $\text{cons\_like}(g)$   
**shows**  $\text{to\_FiniteFun}(g) \in (A \rightarrow B) \wedge \text{FiniteFun\_iso}(A, B, n, g, \text{to\_FiniteFun}(g))$   
 ⟨proof⟩

**lemma** *FiniteFun\_iso\_intro2*:  
**assumes**  $n \in \omega$   $f \in n \rightarrow A \times B$   $\text{cons\_like}(f)$   
**shows**  $\exists g \in (A \rightarrow B) . \text{FiniteFun\_iso}(A, B, n, f, g)$   
 ⟨proof⟩

**lemma** *FiniteFun\_eq\_range\_Repr* :  
**shows**  $\{\text{range}(h) . h \in \text{FiniteFun\_Repr}(A, B)\} = \{\text{to\_FiniteFun}(h) . h \in \text{FiniteFun\_Repr}(A, B)\}$

*<proof>*

**lemma** *FiniteFun\_eq\_to\_FiniteFun\_Repr* :  
  **shows**  $A \dashv\vdash B = \{ \text{to\_FiniteFun}(h) . h \in \text{FiniteFun\_Repr}(A,B) \}$   
  **(is ?Y=?X)**  
*<proof>*

**lemma** *FiniteFun\_Repr\_closed* :  
  **assumes**  $M(A) M(B)$   
  **shows**  $M(\text{FiniteFun\_Repr}(A,B))$   
*<proof>*

**lemma** *to\_FiniteFun\_closed*:  
  **assumes**  $M(A) f \in A$   
  **shows**  $M(\text{range}(f))$   
*<proof>*

**lemma** *To\_FiniteFun\_Repr\_closed* :  
  **assumes**  $M(A) M(B)$   
  **shows**  $M(\{ \text{range}(h) . h \in \text{FiniteFun\_Repr}(A,B) \})$   
*<proof>*

**lemma** *FiniteFun\_closed[intro,simp]* :  
  **assumes**  $M(A) M(B)$   
  **shows**  $M(A \dashv\vdash B)$   
*<proof>*

**end** — *M\_FiniteFun*

**end**

## 19 Library of basic ZF results

**theory** *ZF\_Library\_Relative*  
  **imports**  
    *Aleph\_Relative* — must be before *Cardinal\_AC\_Relative*!  
    *Cardinal\_AC\_Relative*  
    *FiniteFun\_Relative*  
  **begin**  
  
  **no\_notation** *sum* (**infixr**  $\langle + \rangle$  65)  
  **notation** *oadd* (**infixl**  $\langle + \rangle$  65)  
  
  **lemma** (**in** *M\_cardinal\_arith\_jump*) *csucc\_rel\_cardinal\_rel*:  
    **assumes**  $\text{Ord}(\kappa) M(\kappa)$   
    **shows**  $(|\kappa|^{M+})^M = (\kappa^+)^M$   
  *<proof>*

**lemma** (in *M\_cardinal\_arith\_jump*) *csucc\_rel\_le\_mono*:

**assumes**  $\kappa \leq \nu$   $M(\kappa)$   $M(\nu)$

**shows**  $(\kappa^+)^M \leq (\nu^+)^M$

*<proof>*

**lemma** (in *M\_cardinal\_AC*) *cardinal\_rel\_succ\_not\_0*:  $|A|^M = \text{succ}(n) \implies$

$M(A) \implies M(n) \implies A \neq 0$

*<proof>*

*<ML>*

**notation** *Finite\_to\_one\_rel* (*<Finite'\_to'\_one-'(,\_)>*)

**abbreviation**

*Finite\_to\_one\_r\_set* ::  $[i, i, i] \Rightarrow i$  (*<Finite'\_to'\_one-'(,\_)>*) **where**

$Finite\_to\_one^M(X, Y) \equiv Finite\_to\_one\_rel(\#\#M, X, Y)$

**locale** *M\_ZF\_library* = *M\_cardinal\_arith* + *M\_aleph* + *M\_FiniteFun* + *M\_replacement\_extra*  
**begin**

**lemma** *Finite\_Collect\_imp*:  $Finite(\{x \in X . Q(x)\}) \implies Finite(\{x \in X . M(x) \wedge Q(x)\})$

(**is**  $Finite(?A) \implies Finite(?B)$ )

*<proof>*

**lemma** *Finite\_to\_one\_relI[intro]*:

**assumes**  $f: X \rightarrow^M Y \wedge y. y \in Y \implies Finite(\{x \in X . f'x = y\})$

**and types:**  $M(f)$   $M(X)$   $M(Y)$

**shows**  $f \in Finite\_to\_one^M(X, Y)$

*<proof>*

**lemma** *Finite\_to\_one\_relI'[intro]*:

**assumes**  $f: X \rightarrow^M Y \wedge y. y \in Y \implies Finite(\{x \in X . M(x) \wedge f'x = y\})$

**and types:**  $M(f)$   $M(X)$   $M(Y)$

**shows**  $f \in Finite\_to\_one^M(X, Y)$

*<proof>*

**lemma** *Finite\_to\_one\_relD[dest]*:

$f \in Finite\_to\_one^M(X, Y) \implies f: X \rightarrow^M Y$

$f \in Finite\_to\_one^M(X, Y) \implies y \in Y \implies M(Y) \implies Finite(\{x \in X . M(x) \wedge f'x = y\})$

*<proof>*

**lemma** *Diff\_bij\_rel*:

**assumes**  $\forall A \in F. X \subseteq A$

**and types:**  $M(F)$   $M(X)$  **shows**  $(\lambda A \in F. A - X) \in \text{bij}^M(F, \{A - X. A \in F\})$

*<proof>*

```

lemma function_space_rel_nonempty:
  assumes  $b \in B$  and types:  $M(B)$   $M(A)$ 
  shows  $(\lambda x \in A. b) : A \rightarrow^M B$ 
  <proof>

lemma mem_function_space_rel:
  assumes  $f \in A \rightarrow^M y$   $M(A)$   $M(y)$ 
  shows  $f \in A \rightarrow y$ 
  <proof>

lemmas range_fun_rel_subset_codomain = range_fun_subset_codomain[OF mem_function_space_rel]

end — M_ZF_library

context M_Pi_assumptions
begin

lemma mem_Pi_rel:  $f \in Pi^M(A,B) \implies f \in Pi(A, B)$ 
  <proof>

lemmas Pi_rel_rangeD = Pi_rangeD[OF mem_Pi_rel]

lemmas rel_apply_Pair = apply_Pair[OF mem_Pi_rel]

lemmas rel_apply_rangeI = apply_rangeI[OF mem_Pi_rel]

lemmas Pi_rel_range_eq = Pi_range_eq[OF mem_Pi_rel]

lemmas Pi_rel_vimage_subset = Pi_vimage_subset[OF mem_Pi_rel]

end — M_Pi_assumptions

context M_ZF_library
begin

lemma mem_bij_rel:  $\llbracket f \in bij^M(A,B); M(A); M(B) \rrbracket \implies f \in bij(A,B)$ 
  <proof>

lemma mem_inj_rel:  $\llbracket f \in inj^M(A,B); M(A); M(B) \rrbracket \implies f \in inj(A,B)$ 
  <proof>

lemma mem_surj_rel:  $\llbracket f \in surj^M(A,B); M(A); M(B) \rrbracket \implies f \in surj(A,B)$ 
  <proof>

lemmas rel_apply_in_range = apply_in_range[OF __ mem_function_space_rel]

lemmas rel_range_eq_image = ZF_Library.range_eq_image[OF mem_function_space_rel]

```

**lemmas** *rel\_Image\_sub\_codomain* = *Image\_sub\_codomain*[*OF mem\_function\_space\_rel*]

**lemma** *rel\_inj\_to\_Image*:  $\llbracket f:A \rightarrow^M B; f \in \text{inj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A, f''A)$   
 ⟨*proof*⟩

**lemma** *inj\_rel\_imp\_surj\_rel*:  
 fixes *f b*  
 defines [*simp*]: *ifx*(*x*)  $\equiv$  *if* *x* ∈ *range*(*f*) *then* *converse*(*f*)' *x* *else* *b*  
 assumes  $f \in \text{inj}^M(B,A)$  *b* ∈ *B* **and** *types*: *M*(*f*) *M*(*B*) *M*(*A*)  
 shows  $(\lambda x \in A. \text{ifx}(x)) \in \text{surj}^M(A,B)$   
 ⟨*proof*⟩

**lemma** *function\_space\_rel\_disjoint\_Un*:  
 assumes  $f \in A \rightarrow^M B$   $g \in C \rightarrow^M D$   $A \cap C = 0$   
 and *types*: *M*(*A*) *M*(*B*) *M*(*C*) *M*(*D*)  
 shows  $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$   
 ⟨*proof*⟩

**lemma** *restrict\_eq\_imp\_Un\_into\_function\_space\_rel*:  
 assumes  $f \in A \rightarrow^M B$   $g \in C \rightarrow^M D$   $\text{restrict}(f, A \cap C) = \text{restrict}(g, A \cap C)$   
 and *types*: *M*(*A*) *M*(*B*) *M*(*C*) *M*(*D*)  
 shows  $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$   
 ⟨*proof*⟩

**lemma** *lepoll\_relD[dest]*:  $A \lesssim^M B \implies \exists f[M]. f \in \text{inj}^M(A, B)$   
 ⟨*proof*⟩

**lemma** *lepoll\_relI[intro]*:  $f \in \text{inj}^M(A, B) \implies M(f) \implies A \lesssim^M B$   
 ⟨*proof*⟩

**lemma** *eqpollD[dest]*:  $A \approx^M B \implies \exists f[M]. f \in \text{bij}^M(A, B)$   
 ⟨*proof*⟩

**lemma** *bij\_rel\_imp\_eqpoll\_rel[intro]*:  $f \in \text{bij}^M(A,B) \implies M(f) \implies A \approx^M B$   
 ⟨*proof*⟩

**lemma** *restrict\_bij\_rel*:— Unused  
 assumes  $f \in \text{inj}^M(A,B)$   $C \subseteq A$   
 and *types*: *M*(*A*) *M*(*B*) *M*(*C*)  
 shows  $\text{restrict}(f,C) \in \text{bij}^M(C, f''C)$   
 ⟨*proof*⟩

**lemma** *range\_of\_subset\_eqpoll\_rel*:  
 assumes  $f \in \text{inj}^M(X,Y)$   $S \subseteq X$   
 and *types*: *M*(*X*) *M*(*Y*) *M*(*S*)  
 shows  $S \approx^M f''S$   
 ⟨*proof*⟩

**lemmas** *inj\_rel\_is\_fun* = *inj\_is\_fun*[*OF mem\_inj\_rel*]



**lemma** *inj\_rel\_bij\_rel\_range*:  $f \in \text{inj}^M(A,B) \implies M(A) \implies M(B) \implies f \in \text{bij}^M(A, \text{range}(f))$

*<proof>*

**lemma** *bij\_rel\_is\_inj\_rel*:  $f \in \text{bij}^M(A,B) \implies M(A) \implies M(B) \implies f \in \text{inj}^M(A,B)$

*<proof>*

**lemma** *inj\_rel\_weaken\_type*:  $[[ f \in \text{inj}^M(A,B); B \subseteq D; M(A); M(B); M(D) ]] \implies f \in \text{inj}^M(A,D)$

*<proof>*

**lemma** *bij\_rel\_converse\_bij\_rel* [TC]:  $f \in \text{bij}^M(A,B) \implies M(A) \implies M(B) \implies \text{converse}(f) \in \text{bij}^M(B,A)$

*<proof>*

**lemma** *bij\_rel\_is\_fun\_rel*:  $f \in \text{bij}^M(A,B) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$

*<proof>*

**lemmas** *bij\_rel\_is\_fun = bij\_rel\_is\_fun\_rel* [THEN mem\_function\_space\_rel]

**lemma** *comp\_bij\_rel*:

$g \in \text{bij}^M(A,B) \implies f \in \text{bij}^M(B,C) \implies M(A) \implies M(B) \implies M(C) \implies (f \circ g) \in \text{bij}^M(A,C)$

*<proof>*

**lemma** *inj\_rel\_converse\_fun*:  $f \in \text{inj}^M(A,B) \implies M(A) \implies M(B) \implies \text{converse}(f) \in \text{range}(f) \rightarrow^M A$

*<proof>*

**lemma** *fg\_imp\_bijective\_rel*:

**assumes**  $f \in A \rightarrow^M B$   $g \in B \rightarrow^M A$   $f \circ g = \text{id}(B)$   $g \circ f = \text{id}(A)$   $M(A)$   $M(B)$

**shows**  $f \in \text{bij}^M(A,B)$

*<proof>*

**end** — *M\_ZF\_library*

*<ML>*

**context** *M\_ZF\_library*

**begin**

*<ML>*

*<proof>*

*<ML>* *<proof>*

**end** — *M\_ZF\_library*

$\langle ML \rangle$

**notation**  $is\_cexp\_fm (\cdot \_ \uparrow \_ is \_ \cdot)$

$\langle ML \rangle$

**abbreviation**

$cexp\_r :: [i, i, i \Rightarrow o] \Rightarrow i (\cdot \_ \uparrow \_ \cdot)$  **where**  
 $cexp\_r(x, y, M) \equiv cexp\_rel(M, x, y)$

**abbreviation**

$cexp\_r\_set :: [i, i, i] \Rightarrow i (\cdot \_ \uparrow \_ \cdot)$  **where**  
 $cexp\_r\_set(x, y, M) \equiv cexp\_rel(\#\#M, x, y)$

**context**  $M\_ZF\_library$

**begin**

**lemma**  $Card\_rel\_cexp\_rel: M(\kappa) \Longrightarrow M(\nu) \Longrightarrow Card^M(\kappa \uparrow \nu, M)$

$\langle proof \rangle$

**declare**  $conj\_cong[cong]$

**lemma**  $eq\_csucc\_rel\_ord:$

$Ord(i) \Longrightarrow M(i) \Longrightarrow (i^+)^M = (|i|^{M+})^M$

$\langle proof \rangle$

**lemma**  $lesspoll\_succ\_rel:$

**assumes**  $Ord(\kappa) M(\kappa)$

**shows**  $\kappa \lesssim^M (\kappa^+)^M$

$\langle proof \rangle$

**lemma**  $lesspoll\_rel\_csucc\_rel:$

**assumes**  $Ord(\kappa)$

**and types:**  $M(\kappa) M(d)$

**shows**  $d \prec^M (\kappa^+)^M \longleftrightarrow d \lesssim^M \kappa$

$\langle proof \rangle$

**lemma**  $Infinite\_imp\_nats\_lepoll:$

**assumes**  $Infinite(X) n \in \omega$

**shows**  $n \lesssim X$

$\langle proof \rangle$

**lemma**  $nepoll\_imp\_nepoll\_rel :$

**assumes**  $\neg x \approx X M(x) M(X)$

**shows**  $\neg (x \approx^M X)$

$\langle proof \rangle$

**lemma**  $Infinite\_imp\_nats\_lepoll\_rel:$

**assumes**  $Infinite(X) n \in \omega$

**and types:**  $M(X)$

**shows**  $n \lesssim^M X$

$\langle proof \rangle$

**lemma** *lepoll\_rel\_imp\_lepoll*:  $A \lesssim^M B \implies M(A) \implies M(B) \implies A \lesssim B$   
 ⟨proof⟩

**lemma** *zero\_lesspoll\_rel*: **assumes**  $0 < \kappa$   $M(\kappa)$  **shows**  $0 \prec^M \kappa$   
 ⟨proof⟩

**lemma** *lepoll\_rel\_nat\_imp\_Infinite*:  $\omega \lesssim^M X \implies M(X) \implies \text{Infinite}(X)$   
 ⟨proof⟩

**lemma** *InfCard\_rel\_imp\_Infinite*:  $\text{InfCard}^M(\kappa) \implies M(\kappa) \implies \text{Infinite}(\kappa)$   
 ⟨proof⟩

**lemma** *lt\_surj\_rel\_empty\_imp\_Card\_rel*:  
**assumes**  $\text{Ord}(\kappa) \wedge \alpha. \alpha < \kappa \implies \text{surj}^M(\alpha, \kappa) = 0$   
**and**  $\text{types}: M(\kappa)$   
**shows**  $\text{Card}^M(\kappa)$   
 ⟨proof⟩

**end** — *M\_ZF\_library*

⟨ML⟩

**notation** *mono\_map\_rel* (⟨*mono'\_map*<sup>-'</sup>( $\_$ ,  $\_$ ,  $\_$ ,  $\_$ )⟩)

**abbreviation**

*mono\_map\_r\_set* ::  $[i, i, i, i, i] \Rightarrow i$  (⟨*mono'\_map*<sup>-'</sup>( $\_$ ,  $\_$ ,  $\_$ ,  $\_$ )⟩) **where**  
*mono\_map*<sup>M</sup>( $a, r, b, s$ )  $\equiv$  *mono\_map\_rel*( $\#\#M, a, r, b, s$ )

**context** *M\_ZF\_library*

**begin**

**lemma** *mono\_map\_rel\_char*:  
**assumes**  $M(a)$   $M(b)$   
**shows**  $\text{mono\_map}^M(a, r, b, s) = \{f \in \text{mono\_map}(a, r, b, s) . M(f)\}$   
 ⟨proof⟩

Just a sample of porting results on *mono\_map*

**lemma** *mono\_map\_rel\_mono*:  
**assumes**  
 $f \in \text{mono\_map}^M(A, r, B, s)$   $B \subseteq C$   
**and**  $\text{types}: M(A)$   $M(B)$   $M(C)$   
**shows**  
 $f \in \text{mono\_map}^M(A, r, C, s)$   
 ⟨proof⟩

**lemma** *nats\_le\_InfCard\_rel*:  
**assumes**  $n \in \omega$   $\text{InfCard}^M(\kappa)$   
**shows**  $n \leq \kappa$

*<proof>*

**lemma** *nat\_into\_InfCard\_rel*:

**assumes**  $n \in \omega$  *InfCard*<sup>M</sup>( $\kappa$ )

**shows**  $n \in \kappa$

*<proof>*

**lemma** *Finite\_lesspoll\_rel\_nat*:

**assumes** *Finite*( $x$ )  $M(x)$

**shows**  $x \prec^M \text{nat}$

*<proof>*

**lemma** *Finite\_cardinal\_rel\_in\_nat* [*simp*]:

**assumes** *Finite*( $A$ )  $M(A)$  **shows**  $|A|^M \in \omega$

*<proof>*

**lemma** *Finite\_cardinal\_rel\_eq\_cardinal*:

**assumes** *Finite*( $A$ )  $M(A)$  **shows**  $|A|^M = |A|$

*<proof>*

**lemma** *Finite\_imp\_cardinal\_rel\_cons*:

**assumes**  $FA$ : *Finite*( $A$ ) **and**  $a \notin A$  **and**  $types$ :  $M(A)$   $M(a)$

**shows**  $|cons(a,A)|^M = succ(|A|^M)$

*<proof>*

**lemma** *Finite\_imp\_succ\_cardinal\_rel\_Diff*:

**assumes** *Finite*( $A$ )  $a \in A$   $M(A)$

**shows**  $succ(|A-\{a\}|^M) = |A|^M$

*<proof>*

**lemma** *InfCard\_rel\_Aleph\_rel*:

**notes** *Aleph\_rel\_zero*[*simp*]

**assumes** *Ord*( $\alpha$ )

**and**  $types$ :  $M(\alpha)$

**shows** *InfCard*<sup>M</sup>( $\aleph_\alpha^M$ )

*<proof>*

**lemmas** *Limit\_Aleph\_rel = InfCard\_rel\_Aleph\_rel*[*THEN InfCard\_rel\_is\_Limit*]

**bundle** *Ord\_dests = Limit\_is\_Ord*[*dest*] *Card\_rel\_is\_Ord*[*dest*]

**bundle** *Aleph\_rel\_dests = Aleph\_rel\_cont*[*dest*]

**bundle** *Aleph\_rel\_intros = Aleph\_rel\_increasing*[*intro!*]

**bundle** *Aleph\_rel\_mem\_dests = Aleph\_rel\_increasing*[*OF ltI, THEN ltD, dest*]

**lemma** *f\_imp\_injective\_rel*:

**assumes**  $f \in A \rightarrow^M B$   $\forall x \in A. d(f \text{ ` } x) = x$   $M(A)$   $M(B)$

**shows**  $f \in inj^M(A, B)$

*<proof>*

**lemma** *lam\_injective\_rel*:

**assumes**  $\bigwedge x. x \in A \implies c(x) \in B$   
 $\bigwedge x. x \in A \implies d(c(x)) = x$   
 $\forall x[M]. M(c(x)) \text{ lam\_replacement}(M,c)$   
 $M(A) M(B)$   
**shows**  $(\lambda x \in A. c(x)) \in \text{inj}^M(A, B)$   
*<proof>*

**lemma** *f\_imp\_surjective\_rel*:

**assumes**  $f \in A \rightarrow^M B \bigwedge y. y \in B \implies d(y) \in A \bigwedge y. y \in B \implies f \circ d(y) = y$   
 $M(A) M(B)$   
**shows**  $f \in \text{surj}^M(A, B)$   
*<proof>*

**lemma** *lam\_surjective\_rel*:

**assumes**  $\bigwedge x. x \in A \implies c(x) \in B$   
 $\bigwedge y. y \in B \implies d(y) \in A$   
 $\bigwedge y. y \in B \implies c(d(y)) = y$   
 $\forall x[M]. M(c(x)) \text{ lam\_replacement}(M,c)$   
 $M(A) M(B)$   
**shows**  $(\lambda x \in A. c(x)) \in \text{surj}^M(A, B)$   
*<proof>*

**lemma** *lam\_bijective\_rel*:

**assumes**  $\bigwedge x. x \in A \implies c(x) \in B$   
 $\bigwedge y. y \in B \implies d(y) \in A$   
 $\bigwedge x. x \in A \implies d(c(x)) = x$   
 $\bigwedge y. y \in B \implies c(d(y)) = y$   
 $\forall x[M]. M(c(x)) \text{ lam\_replacement}(M,c)$   
 $M(A) M(B)$   
**shows**  $(\lambda x \in A. c(x)) \in \text{bij}^M(A, B)$   
*<proof>*

**lemma** *function\_space\_rel\_eqpoll\_rel\_cong*:

**assumes**  
 $A \approx^M A' B \approx^M B' M(A) M(A') M(B) M(B')$   
**shows**  
 $A \rightarrow^M B \approx^M A' \rightarrow^M B'$   
*<proof>*

**lemma** *curry\_eqpoll\_rel*:

**fixes**  $\nu 1 \nu 2 \kappa$   
**assumes**  $M(\nu 1) M(\nu 2) M(\kappa)$   
**shows**  $\nu 1 \rightarrow^M (\nu 2 \rightarrow^M \kappa) \approx^M \nu 1 \times \nu 2 \rightarrow^M \kappa$   
*<proof>*

**lemma** *Pow\_rel\_eqpoll\_rel\_function\_space\_rel*:

**fixes**  $d X$   
**notes** *bool\_of\_o\_def [simp]*

**defines**  $[simp]: d(A) \equiv (\lambda x \in X. \text{bool\_of\_} o(x \in A))$   
— the witnessing map for the thesis:  
**assumes**  $M(X)$   
**shows**  $Pow^M(X) \approx^M X \rightarrow^M \emptyset$   
 $\langle proof \rangle$

**lemma**  $Pow\_rel\_bottom: M(B) \implies 0 \in Pow^M(B)$   
 $\langle proof \rangle$

**lemma**  $cantor\_surj\_rel:$   
**assumes**  $M(f) M(A)$   
**shows**  $f \notin surj^M(A, Pow^M(A))$   
 $\langle proof \rangle$

**lemma**  $cantor\_inj\_rel: M(f) \implies M(A) \implies f \notin inj^M(Pow^M(A), A)$   
 $\langle proof \rangle$

**end** —  $M\_ZF\_library$

**end**

## 20 Lambda-replacements required for cardinal inequalities

**theory**  $Replacement\_Lepoll$

**imports**

$ZF\_Library\_Relative$

**begin**

**definition**

$lepoll\_assumptions1 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions1(M, A, F, S, fa, K, x, f, r) \equiv \forall x \in S. \text{strong\_replacement}(M, \lambda y$   
 $z. y \in F(A, x) \wedge z = \{x, y\})$

**definition**

$lepoll\_assumptions2 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions2(M, A, F, S, fa, K, x, f, r) \equiv \text{strong\_replacement}(M, \lambda x z. z =$   
 $\text{Sigfun}(x, F(A)))$

**definition**

$lepoll\_assumptions3 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions3(M, A, F, S, fa, K, x, f, r) \equiv \text{strong\_replacement}(M, \lambda x y. y =$   
 $F(A, x))$

**definition**

$lepoll\_assumptions4 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions4(M, A, F, S, fa, K, x, f, r) \equiv \text{strong\_replacement}(M, \lambda x y. y =$   
 $\langle x, \text{minimum}(r, F(A, x)) \rangle)$

**definition**

$lepoll\_assumptions5 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions5(M, A, F, S, fa, K, x, f, r) \equiv$   
 $strong\_replacement(M, \lambda x y. y = \langle x, \mu i. x \in F(A, i), f \text{ ' } (\mu i. x \in F(A, i)) \text{ ' } x \rangle)$

**definition**

$lepoll\_assumptions6 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions6(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement(M, \lambda y z. y \in$   
 $inj^M(F(A, x), S) \wedge z = \{ \langle x, y \rangle \})$

**definition**

$lepoll\_assumptions7 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions7(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement(M, \lambda x y. y =$   
 $inj^M(F(A, x), S))$

**definition**

$lepoll\_assumptions8 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions8(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement(M, \lambda x z. z =$   
 $Sigfun(x, \lambda i. inj^M(F(A, i), S)))$

**definition**

$lepoll\_assumptions9 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions9(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement(M, \lambda x y. y =$   
 $\langle x, minimum(r, inj^M(F(A, x), S)) \rangle)$

**definition**

$lepoll\_assumptions10 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions10(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement$   
 $(M, \lambda x z. z = Sigfun(x, \lambda k. if k \in range(f) then F(A, converse(f) \text{ ' } k)$   
 $else 0))$

**definition**

$lepoll\_assumptions11 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions11(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement(M, \lambda x y. y =$   
 $(if x \in range(f) then F(A, converse(f) \text{ ' } x) else 0))$

**definition**

$lepoll\_assumptions12 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions12(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement(M, \lambda y z. y \in$   
 $F(A, converse(f) \text{ ' } x) \wedge z = \{ \langle x, y \rangle \})$

**definition**

$lepoll\_assumptions13 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions13(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement$   
 $(M, \lambda x y. y = \langle x, minimum(r, if x \in range(f) then F(A, converse(f) \text{ ' } x)$   
 $else 0) \rangle)$

**definition**

$lepoll\_assumptions14 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $lepoll\_assumptions14(M, A, F, S, fa, K, x, f, r) \equiv strong\_replacement$

$(M, \lambda x y. y = \langle x, \mu i. x \in (\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ' } i) \text{ else } 0),$   
 $\text{fa ' } (\mu i. x \in (\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ' } i) \text{ else } 0)) \text{ ' } x))$

**definition**

$\text{lepoll\_assumptions15} :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{lepoll\_assumptions15}(M, A, F, S, \text{fa}, K, x, f, r) \equiv \text{strong\_replacement}$   
 $(M, \lambda y z. y \in \text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ' } x) \text{ else } 0, K) \wedge$   
 $z = \{\langle x, y \rangle\})$

**definition**

$\text{lepoll\_assumptions16} :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{lepoll\_assumptions16}(M, A, F, S, \text{fa}, K, x, f, r) \equiv \text{strong\_replacement}(M, \lambda x y. y =$   
 $\text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ' } x) \text{ else } 0, K))$

**definition**

$\text{lepoll\_assumptions17} :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{lepoll\_assumptions17}(M, A, F, S, \text{fa}, K, x, f, r) \equiv \text{strong\_replacement}$   
 $(M, \lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f)$   
 $\text{' } i) \text{ else } 0, K)))$

**definition**

$\text{lepoll\_assumptions18} :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{lepoll\_assumptions18}(M, A, F, S, \text{fa}, K, x, f, r) \equiv \text{strong\_replacement}$   
 $(M, \lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f)$   
 $\text{' } x) \text{ else } 0, K)))$

**lemmas**  $\text{lepoll\_assumptions\_defs}[\text{simp}] = \text{lepoll\_assumptions1\_def}$   
 $\text{lepoll\_assumptions2\_def}$   $\text{lepoll\_assumptions3\_def}$   $\text{lepoll\_assumptions4\_def}$   
 $\text{lepoll\_assumptions5\_def}$   $\text{lepoll\_assumptions6\_def}$   $\text{lepoll\_assumptions7\_def}$   
 $\text{lepoll\_assumptions8\_def}$   $\text{lepoll\_assumptions9\_def}$   $\text{lepoll\_assumptions10\_def}$   
 $\text{lepoll\_assumptions11\_def}$   $\text{lepoll\_assumptions12\_def}$   $\text{lepoll\_assumptions13\_def}$   
 $\text{lepoll\_assumptions14\_def}$   $\text{lepoll\_assumptions15\_def}$   $\text{lepoll\_assumptions16\_def}$   
 $\text{lepoll\_assumptions17\_def}$   $\text{lepoll\_assumptions18\_def}$

**definition**  $\text{if\_range\_F}$  **where**

$[\text{simp}]: \text{if\_range\_F}(H, f, i) \equiv \text{if } i \in \text{range}(f) \text{ then } H(\text{converse}(f) \text{ ' } i) \text{ else } 0$

**definition**  $\text{if\_range\_F\_else\_F}$  **where**

$\text{if\_range\_F\_else\_F}(H, b, f, i) \equiv \text{if } b=0 \text{ then } \text{if\_range\_F}(H, f, i) \text{ else } H(i)$

**lemma** (in  $M\_basic$ )  $\text{lam\_Least\_assumption\_general}$ :

**assumes**

*separations:*

$\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i) \rangle)$

**and**

$\text{mem\_F\_bound}: \bigwedge x c. x \in F(A, c) \implies c \in \text{range}(f) \cup U(A)$

**and**



*types*: $M(A) M(b) M(f) M(U(A))$   
**shows**  $\text{lam\_replacement}(M, \lambda x . \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$   
 <proof>

**lemma** (in  $M\_basic$ )  $\text{lam\_Least\_assumption\_ifM\_b0}$ :  
**fixes**  $F$   
**defines**  $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$   
**assumes**  
*separations*:  
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), 0, f, i) \rangle)$   
**and**  
*types*: $M(A) M(f)$   
**shows**  $\text{lam\_replacement}(M, \lambda x . \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), 0, f, i))$   
 (is  $\text{lam\_replacement}(M, \lambda x . \text{Least}(\text{?P}(x)))$ )  
 <proof>

**lemma** (in  $M\_replacement\_extra$ )  $\text{lam\_Least\_assumption\_ifM\_bnot0}$ :  
**fixes**  $F$   
**defines**  $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$   
**assumes**  
*separations*:  
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i) \rangle)$   
 $\text{separation}(M, \text{Ord})$   
**and**  
*types*: $M(A) M(f)$   
**and**  
 $b \neq 0$   
**shows**  $\text{lam\_replacement}(M, \lambda x . \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$   
 (is  $\text{lam\_replacement}(M, \lambda x . \text{Least}(\text{?P}(x)))$ )  
 <proof>

**lemma** (in  $M\_replacement\_extra$ )  $\text{lam\_Least\_assumption\_drSR\_Y}$ :  
**fixes**  $F r' D$   
**defines**  $F \equiv \text{drSR\_Y}(r', D)$   
**assumes**  $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i) \rangle)$   
 $M(A) M(b) M(f) M(r')$   
**shows**  $\text{lam\_replacement}(M, \lambda x . \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$   
 <proof>

**locale**  $M\_replacement\_lepoll = M\_replacement\_extra + M\_inj +$   
**fixes**  $F$   
**assumes**  
 $F\_type[simp]: M(A) \implies \forall x[M]. M(F(A, x))$   
**and**  
 $\text{lam\_lepoll\_assumption\_F}: M(A) \implies \text{lam\_replacement}(M, F(A))$   
**and**  
 — Here  $b$  is a Boolean.  
 $\text{lam\_Least\_assumption}: M(A) \implies M(b) \implies M(f) \implies$   
 $\text{lam\_replacement}(M, \lambda x . \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$

```

and
  F_args_closed:  $M(A) \implies M(x) \implies x \in F(A,i) \implies M(i)$ 
and
  lam_replacement_inj_rel:  $\text{lam\_replacement}(M, \lambda p. \text{inj}^M(\text{fst}(p), \text{snd}(p)))$ 
begin

declare if_range_F_else_F_def[simp]

lemma lepoll_assumptions1:
  assumes types[simp]:  $M(A) M(S)$ 
  shows lepoll_assumptions1( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions2:
  assumes types[simp]:  $M(A) M(S)$ 
  shows lepoll_assumptions2( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions3:
  assumes types[simp]:  $M(A)$ 
  shows lepoll_assumptions3( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions4:
  assumes types[simp]:  $M(A) M(r)$ 
  shows lepoll_assumptions4( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lam_Least_closed :
  assumes  $M(A) M(b) M(f)$ 
  shows  $\forall x[M]. M(\mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$ 
  <proof>

lemma lepoll_assumptions5:
  assumes
    types[simp]:  $M(A) M(f)$ 
  shows lepoll_assumptions5( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions6:
  assumes types[simp]:  $M(A) M(S) M(x)$ 
  shows lepoll_assumptions6( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions7:
  assumes types[simp]:  $M(A) M(S) M(x)$ 
  shows lepoll_assumptions7( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

```

**lemma** *lepoll\_assumptions8*:  
**assumes** *types[simp]*: $M(A) M(S)$   
**shows** *lepoll\_assumptions8*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions9*:  
**assumes** *types[simp]*: $M(A) M(S) M(r)$   
**shows** *lepoll\_assumptions9*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions10*:  
**assumes** *types[simp]*: $M(A) M(f)$   
**shows** *lepoll\_assumptions10*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions11*:  
**assumes** *types[simp]*: $M(A) M(f)$   
**shows** *lepoll\_assumptions11*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions12*:  
**assumes** *types[simp]*: $M(A) M(x) M(f)$   
**shows** *lepoll\_assumptions12*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions13*:  
**assumes** *types[simp]*: $M(A) M(r) M(f)$   
**shows** *lepoll\_assumptions13*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions14*:  
**assumes** *types[simp]*: $M(A) M(f) M(fa)$   
**shows** *lepoll\_assumptions14*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions15*:  
**assumes** *types[simp]*: $M(A) M(x) M(f) M(K)$   
**shows** *lepoll\_assumptions15*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions16*:  
**assumes** *types[simp]*: $M(A) M(f) M(K)$   
**shows** *lepoll\_assumptions16*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

**lemma** *lepoll\_assumptions17*:  
**assumes** *types[simp]*: $M(A) M(f) M(K)$   
**shows** *lepoll\_assumptions17*( $M, A, F, S, fa, K, x, f, r$ )  
*<proof>*

```

lemma lepoll_assumptions18:
  assumes types[simp]:M(A) M(K) M(f) M(r)
  shows lepoll_assumptions18(M,A,F,S,fa,K,x,f,r)
  <proof>

lemmas lepoll_assumptions = lepoll_assumptions1 lepoll_assumptions2
  lepoll_assumptions3 lepoll_assumptions4 lepoll_assumptions5
  lepoll_assumptions6 lepoll_assumptions7 lepoll_assumptions8
  lepoll_assumptions9 lepoll_assumptions10 lepoll_assumptions11
  lepoll_assumptions12 lepoll_assumptions13 lepoll_assumptions14
  lepoll_assumptions15 lepoll_assumptions16
  lepoll_assumptions17 lepoll_assumptions18

end — M_replacement_lepoll

end

```

## 21 Cardinal Arithmetic under Choice

```

theory Cardinal_Library_Relative
  imports
    Replacement_Lepoll
begin

locale M_library = M_ZF_library + M_cardinal_AC +
  assumes
    separation_cardinal_rel_lesspoll_rel: M( $\kappa$ )  $\implies$  separation(M,  $\lambda x . x \prec^M \kappa$ )
begin

declare eqpoll_rel_refl [simp]

```

### 21.1 Miscellaneous

```

lemma cardinal_rel_RepFun_apply_le:
  assumes S  $\in$  A  $\rightarrow$  B M(S) M(A) M(B)
  shows |{S'a . a  $\in$  A}|M  $\leq$  |A|M
  <proof>

lemma cardinal_rel_RepFun_le:
  assumes lrf:lam_replacement(M,f) and f_closed: $\forall x[M]. M(f(x))$  and M(X)
  shows |{f(x) . x  $\in$  X}|M  $\leq$  |X|M
  <proof>

lemma subset_imp_le_cardinal_rel: A  $\subseteq$  B  $\implies$  M(A)  $\implies$  M(B)  $\implies$  |A|M  $\leq$ 
  |B|M
  <proof>

```

**lemma** *lt\_cardinal\_rel\_imp\_not\_subset*:  $|A|^M < |B|^M \implies M(A) \implies M(B) \implies \neg B \subseteq A$   
 ⟨proof⟩

**lemma** *cardinal\_rel\_lt\_succ\_rel\_iff*:  
 $Card\_rel(M,K) \implies M(K) \implies M(K') \implies |K'|^M < (K^+)^M \iff |K'|^M \leq K$   
 ⟨proof⟩

**end** — *M\_library*

**locale** *M\_cardinal\_UN\_nat* = *M\_cardinal\_UN\_ω X for X*  
**begin**

**lemma** *cardinal\_rel\_UN\_le\_nat*:  
 assumes  $\bigwedge i. i \in \omega \implies |X(i)|^M \leq \omega$   
 shows  $|\bigcup_{i \in \omega}. X(i)|^M \leq \omega$   
 ⟨proof⟩

**end** — *M\_cardinal\_UN\_nat*

**locale** *M\_cardinal\_UN\_inj* = *M\_library* +  
*j:M\_cardinal\_UN\_J* +  
*y:M\_cardinal\_UN\_K λk. if k ∈ range(f) then X(converse(f) `k) else 0 for J K*  
*f* +  
**assumes**  
*f\_inj*:  $f \in inj\_rel(M,J,K)$   
**begin**

**lemma** *inj\_rel\_imp\_cardinal\_rel\_UN\_le*:  
**notes**  $[dest] = InfCard\_is\_Card Card\_is\_Ord$   
**fixes** *Y*  
**defines**  $Y(k) \equiv if\ k \in range(f)\ then\ X(converse(f)\ `k)\ else\ 0$   
**assumes**  $InfCard^M(K) \wedge i. i \in J \implies |X(i)|^M \leq K$   
**shows**  $|\bigcup_{i \in J}. X(i)|^M \leq K$   
 ⟨proof⟩

**end** — *M\_cardinal\_UN\_inj*

**locale** *M\_cardinal\_UN\_lepoll* = *M\_library* + *M\_replacement\_lepoll\_λ. X* +  
*j:M\_cardinal\_UN\_J for J*  
**begin**

**lemma** *lepoll\_rel\_imp\_cardinal\_rel\_UN\_le*:  
**notes**  $[dest] = InfCard\_is\_Card Card\_is\_Ord$   
**assumes**  $InfCard^M(K) J \lesssim^M K \wedge i. i \in J \implies |X(i)|^M \leq K$   
 $M(K)$   
**shows**  $|\bigcup_{i \in J}. X(i)|^M \leq K$   
 ⟨proof⟩

**end** — *M\_cardinal\_UN\_lepoll*

**context** *M\_library*  
**begin**

**lemma** *cardinal\_rel\_lt\_csucc\_rel\_iff'*:  
**includes** *Ord\_dests*  
**assumes** *Card\_rel*(*M*, $\kappa$ )  
**and types:**  $M(\kappa)$   $M(X)$   
**shows**  $\kappa < |X|^M \longleftrightarrow (\kappa^+)^M \leq |X|^M$   
*<proof>*

**lemma** *lepoll\_rel\_imp\_subset\_bij\_rel*:  
**assumes**  $M(X)$   $M(Y)$   
**shows**  $X \lesssim^M Y \longleftrightarrow (\exists Z[M]. Z \subseteq Y \wedge Z \approx^M X)$   
*<proof>*

The following result proves to be very useful when combining *cardinal\_rel* and *eqpoll\_rel* in a calculation.

**lemma** *cardinal\_rel\_Card\_rel\_eqpoll\_rel\_iff*:  
 $Card\_rel(M, \kappa) \implies M(\kappa) \implies M(X) \implies |X|^M = \kappa \longleftrightarrow X \approx^M \kappa$   
*<proof>*

**lemma** *lepoll\_rel\_imp\_lepoll\_rel\_cardinal\_rel*:  
**assumes**  $X \lesssim^M Y$   $M(X)$   $M(Y)$   
**shows**  $X \lesssim^M |Y|^M$   
*<proof>*

**lemma** *lepoll\_rel\_Un*:  
**assumes** *InfCard\_rel*(*M*, $\kappa$ )  $A \lesssim^M \kappa$   $B \lesssim^M \kappa$   $M(A)$   $M(B)$   $M(\kappa)$   
**shows**  $A \cup B \lesssim^M \kappa$   
*<proof>*

**lemma** *cardinal\_rel\_Un\_le*:  
**assumes** *InfCard\_rel*(*M*, $\kappa$ )  $|A|^M \leq \kappa$   $|B|^M \leq \kappa$   $M(\kappa)$   $M(A)$   $M(B)$   
**shows**  $|A \cup B|^M \leq \kappa$   
*<proof>*

**lemma** *Finite\_cardinal\_rel\_iff'*:  $M(i) \implies Finite(|i|^M) \longleftrightarrow Finite(i)$   
*<proof>*

**lemma** *cardinal\_rel\_subset\_of\_Card\_rel*:  
**assumes** *Card\_rel*(*M*, $\gamma$ )  $a \subseteq \gamma$   $M(a)$   $M(\gamma)$   
**shows**  $|a|^M < \gamma \vee |a|^M = \gamma$   
*<proof>*

**lemma** *cardinal\_rel\_cases*:  
**includes** *Ord\_dests*  
**assumes**  $M(\gamma)$   $M(X)$

**shows**  $Card\_rel(M,\gamma) \implies |X|^M < \gamma \iff \neg |X|^M \geq \gamma$   
 ⟨proof⟩

**end** —  $M\_library$

## 21.2 Countable and uncountable sets

### definition

$countable :: i \Rightarrow o$  **where**  
 $countable(X) \equiv X \lesssim \omega$

⟨ML⟩

**notation**  $countable\_rel$  (⟨ $countable\_'$ ( $\_'$ )⟩)

### abbreviation

$countable\_r\_set :: [i,i] \Rightarrow o$  (⟨ $countable\_'$ ( $\_'$ )⟩) **where**  
 $countable^M(i) \equiv countable\_rel(\#\#M,i)$

**context**  $M\_library$

**begin**

**lemma**  $countableI[intro]: X \lesssim^M \omega \implies countable\_rel(M,X)$   
 ⟨proof⟩

**lemma**  $countableD[dest]: countable\_rel(M,X) \implies X \lesssim^M \omega$   
 ⟨proof⟩

**lemma**  $countable\_rel\_iff\_cardinal\_rel\_le\_nat: M(X) \implies countable\_rel(M,X)$   
 $\iff |X|^M \leq \omega$   
 ⟨proof⟩

**lemma**  $lepoll\_rel\_countable\_rel: X \lesssim^M Y \implies countable\_rel(M,Y) \implies M(X)$   
 $\implies M(Y) \implies countable\_rel(M,X)$   
 ⟨proof⟩

**lemma**  $surj\_rel\_countable\_rel:$

$countable\_rel(M,X) \implies f \in surj\_rel(M,X,Y) \implies M(X) \implies M(Y) \implies M(f)$   
 $\implies countable\_rel(M,Y)$   
 ⟨proof⟩

**lemma**  $Finite\_imp\_countable\_rel: Finite\_rel(M,X) \implies M(X) \implies countable\_rel(M,X)$   
 ⟨proof⟩

**end** —  $M\_library$

**lemma** (in  $M\_cardinal\_UN\_lepoll$ )  $countable\_rel\_imp\_countable\_rel\_UN:$   
**assumes**  $countable\_rel(M,J) \wedge i. i \in J \implies countable\_rel(M,X(i))$   
**shows**  $countable\_rel(M,\bigcup i \in J. X(i))$   
 ⟨proof⟩

**locale**  $M\_cardinal\_library = M\_library + M\_replacement +$   
**assumes**  
 $lam\_replacement\_inj\_rel: lam\_replacement(M, \lambda x. inj^M(fst(x), snd(x)))$   
**and**  
 $cdlt\_assms: M(G) \implies M(Q) \implies separation(M, \lambda p. \forall x \in G. x \in snd(p) \longleftrightarrow$   
 $(\forall s \in fst(p). \langle s, x \rangle \in Q))$   
**and**  
 $cardinal\_lib\_assms1:$   
 $M(A) \implies M(b) \implies M(f) \implies$   
 $separation(M, \lambda y. \exists x \in A. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda x. if M(x)$   
 $then x else 0, b, f, i)))$   
**and**  
 $cardinal\_lib\_assms2:$   
 $M(A') \implies M(G) \implies M(b) \implies M(f) \implies$   
 $separation(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda a. if M(a)$   
 $then G'a else 0, b, f, i)))$   
**and**  
 $cardinal\_lib\_assms3:$   
 $M(A') \implies M(b) \implies M(f) \implies M(F) \implies$   
 $separation(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda a. if M(a)$   
 $then F'{{a}} else 0, b, f, i)))$   
**and**  
 $lam\_replacement\_cardinal\_rel : lam\_replacement(M, cardinal\_rel(M))$   
**and**  
 $cardinal\_lib\_assms6:$   
 $M(f) \implies M(\beta) \implies Ord(\beta) \implies$   
 $strong\_replacement(M, \lambda x y. x \in \beta \wedge y = \langle x, transrec(x, \lambda a g. f '(g '' a)))$   
  
**begin**  
  
**lemma**  $cardinal\_lib\_assms5 :$   
 $M(\gamma) \implies Ord(\gamma) \implies separation(M, \lambda Z . cardinal\_rel(M, Z) < \gamma)$   
 $\langle proof \rangle$   
  
**lemma**  $separation\_dist: separation(M, \lambda x . \exists a. \exists b . x = \langle a, b \rangle \wedge a \neq b)$   
 $\langle proof \rangle$   
  
**lemma**  $cdlt\_assms': M(x) \implies M(Q) \implies separation(M, \lambda a . \forall s \in x. \langle s, a \rangle \in Q)$   
 $\langle proof \rangle$   
  
**lemma**  $countable\_rel\_union\_countable\_rel:$   
**assumes**  $\bigwedge x. x \in C \implies countable\_rel(M, x) countable\_rel(M, C) M(C)$   
**shows**  $countable\_rel(M, \bigcup C)$   
 $\langle proof \rangle$   
  
**end** —  $M\_cardinal\_library$   
  
**abbreviation**



*uncountable\_rel* ::  $[i \Rightarrow o, i] \Rightarrow o$  **where**  
*uncountable\_rel*( $M, X$ )  $\equiv \neg$  *countable\_rel*( $M, X$ )

**context** *M\_cardinal\_library*  
**begin**

**lemma** *uncountable\_rel\_iff\_nat\_lt\_cardinal\_rel*:  
 $M(X) \Longrightarrow$  *uncountable\_rel*( $M, X$ )  $\longleftrightarrow \omega < |X|^M$   
 ⟨*proof*⟩

**lemma** *uncountable\_rel\_not\_empty*: *uncountable\_rel*( $M, X$ )  $\Longrightarrow X \neq 0$   
 ⟨*proof*⟩

**lemma** *uncountable\_rel\_imp\_Infinite*: *uncountable\_rel*( $M, X$ )  $\Longrightarrow M(X) \Longrightarrow$  *Infinite*( $X$ )  
 ⟨*proof*⟩

**lemma** *uncountable\_rel\_not\_subset\_countable\_rel*:  
**assumes** *countable\_rel*( $M, X$ ) *uncountable\_rel*( $M, Y$ )  $M(X)$   $M(Y)$   
**shows**  $\neg (Y \subseteq X)$   
 ⟨*proof*⟩

### 21.3 Results on Aleph\_rels

**lemma** *nat\_lt\_Aleph\_rel1*:  $\omega < \aleph_1^M$   
 ⟨*proof*⟩

**lemma** *zero\_lt\_Aleph\_rel1*:  $0 < \aleph_1^M$   
 ⟨*proof*⟩

**lemma** *le\_Aleph\_rel1\_nat*:  $M(k) \Longrightarrow$  *Card\_rel*( $M, k$ )  $\Longrightarrow k < \aleph_1^M \Longrightarrow k \leq \omega$   
 ⟨*proof*⟩

**lemma** *lesspoll\_rel\_Aleph\_rel\_succ*:  
**assumes** *Ord*( $\alpha$ )  
**and** *types*:  $M(\alpha)$   $M(d)$   
**shows**  $d \prec^M \aleph_{\text{succ}(\alpha)}^M \longleftrightarrow d \lesssim^M \aleph_\alpha^M$   
 ⟨*proof*⟩

**lemma** *cardinal\_rel\_Aleph\_rel [simp]*: *Ord*( $\alpha$ )  $\Longrightarrow M(\alpha) \Longrightarrow |\aleph_\alpha^M|^M = \aleph_\alpha^M$   
 ⟨*proof*⟩

**lemma** *Aleph\_rel\_lesspoll\_rel\_increasing*:  
**includes** *Aleph\_rel\_intros*  
**assumes**  $M(b)$   $M(a)$   
**shows**  $a < b \Longrightarrow \aleph_a^M \prec^M \aleph_b^M$   
 ⟨*proof*⟩

**lemma** *uncountable\_rel\_iff\_subset\_eqpoll\_rel\_Aleph\_rel1*:  
**includes** *Ord\_dests*  
**assumes**  $M(X)$

**notes**  $Aleph\_rel\_zero[simp]$   $Card\_rel\_nat[simp]$   $Aleph\_rel\_succ[simp]$   
**shows**  $uncountable\_rel(M,X) \longleftrightarrow (\exists S[M]. S \subseteq X \wedge S \approx^M \aleph_1^M)$   
 $\langle proof \rangle$

**lemma**  $UN\_if\_zero: M(K) \implies (\bigcup x \in K. if\ M(x)\ then\ G\ 'x\ else\ 0) = (\bigcup x \in K. G\ 'x)$   
 $\langle proof \rangle$

**lemma**  $mem\_F\_bound1:$   
**fixes**  $F\ G$   
**defines**  $F \equiv \lambda x. if\ M(x)\ then\ G\ 'x\ else\ 0$   
**shows**  $x \in F(A,c) \implies c \in (range(f) \cup domain(G))$   
 $\langle proof \rangle$

**lemma**  $lt\_Aleph\_rel\_imp\_cardinal\_rel\_UN\_le\_nat: function(G) \implies domain(G) \lesssim^M \omega \implies \forall n \in domain(G). |G\ 'n|^M < \aleph_1^M \implies M(G) \implies |\bigcup n \in domain(G). G\ 'n|^M \leq \omega$   
 $\langle proof \rangle$

**lemma**  $Aleph\_rel1\_eq\_cardinal\_rel\_vimage: f: \aleph_1^M \rightarrow^M \omega \implies \exists n \in \omega. |f\ ' \{n\}|^M = \aleph_1^M$   
 $\langle proof \rangle$

**lemma**  $eqpoll\_rel\_Aleph\_rel1\_cardinal\_rel\_vimage:$   
**assumes**  $Z \approx^M (\aleph_1^M)$   $f \in Z \rightarrow^M \omega$   $M(Z)$   
**shows**  $\exists n \in \omega. |f\ ' \{n\}|^M = \aleph_1^M$   
 $\langle proof \rangle$

## 21.4 Applications of transfinite recursive constructions

### definition

$rec\_constr :: [i,i] \Rightarrow i$  **where**  
 $rec\_constr(f,\alpha) \equiv transrec(\alpha,\lambda a\ g. f\ '(g\ 'a))$

The function  $rec\_constr$  allows to perform *recursive constructions*: given a choice function on the powerset of some set, a transfinite sequence is created by successively choosing some new element.

The next result explains its use.

**lemma**  $rec\_constr\_unfold: rec\_constr(f,\alpha) = f\ '(\{rec\_constr(f,\beta). \beta \in \alpha\})$   
 $\langle proof \rangle$

**lemma**  $rec\_constr\_type:$   
**assumes**  $f: Pow\_rel(M,G) \rightarrow^M G$   $Ord(\alpha)$   $M(G)$   
**shows**  $M(\alpha) \implies rec\_constr(f,\alpha) \in G$   
 $\langle proof \rangle$

**lemma**  $rec\_constr\_closed :$   
**assumes**  $f: Pow\_rel(M,G) \rightarrow^M G$   $Ord(\alpha)$   $M(G)$   $M(\alpha)$   
**shows**  $M(rec\_constr(f,\alpha))$

*<proof>*

**lemma** *lambda\_rec\_constr\_closed* :  
 **assumes** *Ord*( $\gamma$ ) *M*( $\gamma$ ) *M*(*f*) *f*:*Pow\_rel*(*M*,*G*) $\rightarrow^M$  *G* *M*(*G*)  
 **shows** *M*( $\lambda\alpha\in\gamma . \text{rec\_constr}(f,\alpha)$ )  
 *<proof>*

The next lemma is an application of recursive constructions. It works under the assumption that whenever the already constructed subsequence is small enough, another element can be added.

**lemma** *bounded\_cardinal\_rel\_selection*:  
 **includes** *Ord\_dests*  
 **assumes**  
  $\bigwedge Z. |Z|^M < \gamma \implies Z \subseteq G \implies M(Z) \implies \exists a \in G. \forall s \in Z. \langle s, a \rangle \in Q \ b \in G$   
 *Card\_rel*(*M*, $\gamma$ )  
 *M*(*G*) *M*(*Q*) *M*( $\gamma$ )  
 **shows**  
  $\exists S[M]. S : \gamma \rightarrow^M G \wedge (\forall \alpha \in \gamma. \forall \beta \in \gamma. \alpha < \beta \longrightarrow \langle S'\alpha, S'\beta \rangle \in Q)$   
 *<proof>*

The following basic result can, in turn, be proved by a bounded-cardinal\_rel selection.

**lemma** *Infinite\_iff\_lepoll\_rel\_nat*: *M*(*Z*)  $\implies$  *Infinite*(*Z*)  $\longleftrightarrow$   $\omega \lesssim^M Z$   
 *<proof>*

**lemma** *Infinite\_InfCard\_rel\_cardinal\_rel*: *Infinite*(*Z*)  $\implies$  *M*(*Z*)  $\implies$  *InfCard\_rel*(*M*, $|Z|^M$ )  
 *<proof>*

**lemma** (**in** *M\_trans*) *mem\_F\_bound2*:  
 **fixes** *F* *A*  
 **defines** *F*  $\equiv \lambda x. \text{if } M(x) \text{ then } A - \{x\} \text{ else } 0$   
 **shows**  $x \in F(A, c) \implies c \in (\text{range}(f) \cup \text{range}(A))$   
 *<proof>*

**lemma** *Finite\_to\_one\_rel\_surj\_rel\_imp\_cardinal\_rel\_eq*:  
 **assumes** *F*  $\in$  *Finite\_to\_one\_rel*(*M*,*Z*,*Y*)  $\cap$  *surj\_rel*(*M*,*Z*,*Y*) *Infinite*(*Z*) *M*(*Z*)  
 *M*(*Y*)  
 **shows**  $|Y|^M = |Z|^M$   
 *<proof>*

**lemma** *cardinal\_rel\_map\_Un*:  
 **assumes** *Infinite*(*X*) *Finite*(*b*) *M*(*X*) *M*(*b*)  
 **shows**  $|\{a \cup b . a \in X\}|^M = |X|^M$   
 *<proof>*

## 21.5 Results on relative cardinal exponentiation

**lemma** *cexp\_rel\_eqpoll\_rel\_cong*:  
 **assumes**

$A \approx^M A' \ B \approx^M B' \ M(A) \ M(A') \ M(B) \ M(B')$   
**shows**  
 $A \uparrow^{B,M} = A' \uparrow^{B',M}$   
 <proof>

**lemma** *cexp\_rel\_cexp\_rel\_cmult*:  
**assumes**  $M(\kappa) \ M(\nu 1) \ M(\nu 2)$   
**shows**  $(\kappa \uparrow^{\nu 1, M}) \uparrow^{\nu 2, M} = \kappa \uparrow^{\nu 2} \otimes^M \nu 1, M$   
 <proof>

**lemma** *cardinal\_rel\_Pow\_rel*:  $M(X) \implies |Pow\_rel(M, X)|^M = 2^{\uparrow X, M}$  — Perhaps  
 it's better with  $|X|$   
 <proof>

**lemma** *cantor\_cexp\_rel*:  
**assumes**  $Card\_rel(M, \nu) \ M(\nu)$   
**shows**  $\nu < 2^{\uparrow \nu, M}$   
 <proof>

**lemma** *countable\_iff\_lesspoll\_rel\_Aleph\_rel\_one*:  
**notes** *iff\_trans*[trans]  
**assumes**  $M(C)$   
**shows**  $countable^M(C) \longleftrightarrow C \prec^M \aleph_1^M$   
 <proof>

**lemma** *countable\_iff\_le\_rel\_Aleph\_rel\_one*:  
**notes** *iff\_trans*[trans]  
**assumes**  $M(C)$   
**shows**  $countable^M(C) \longleftrightarrow |C|^M \prec^M \aleph_1^M$   
 <proof>

**end** — *M\_cardinal\_library*

**lemma** (*in M\_cardinal\_library*) *countable\_fun\_imp\_countable\_image*:  
**assumes**  $f: C \rightarrow^M B \ countable^M(C) \ \wedge \ c. \ c \in C \implies countable^M(f \cdot c)$   
 $M(C) \ M(B)$   
**shows**  $countable^M(\bigcup (f \cdot C))$   
 <proof>

**end**

## 22 The Delta System Lemma, Relativized

**theory** *Delta\_System\_Relative*  
**imports**  
*Cardinal\_Library\_Relative*  
**begin**

**definition**

$\text{delta\_system} :: i \Rightarrow o$  **where**  
 $\text{delta\_system}(D) \equiv \exists r. \forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = r$

**lemma**  $\text{delta\_systemI}$ [intro]:

**assumes**  $\forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = r$   
**shows**  $\text{delta\_system}(D)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{delta\_systemD}$ [dest]:

$\text{delta\_system}(D) \implies \exists r. \forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = r$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{delta\_system\_root\_eq\_Inter}$ :

**assumes**  $\text{delta\_system}(D)$   
**shows**  $\forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = \bigcap D$   
 $\langle \text{proof} \rangle$

 $\langle ML \rangle$ **locale**  $M\_delta = M\_cardinal\_library +$ **assumes** $\text{countable\_lepoll\_assms}$ :

$M(G) \implies M(A) \implies M(b) \implies M(f) \implies \text{separation}(M, \lambda y. \exists x \in A.$   
 $y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(\lambda x. \{xa \in G . x \in xa\},$

 $b, f, i))$ **begin****lemmas**  $\text{cardinal\_replacement} = \text{lam\_replacement\_cardinal\_rel}[\text{unfolded lam\_replacement\_def}]$ 

**lemma**  $\text{disjoint\_separation}$ :  $M(c) \implies \text{separation}(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge a \cap b = c)$

 $\langle \text{proof} \rangle$ 

**lemma**  $\text{insnd\_ball}$ :  $M(G) \implies \text{separation}(M, \lambda p. \forall x \in G. x \in \text{snd}(p) \longleftrightarrow \text{fst}(p) \in x)$

 $\langle \text{proof} \rangle$ **lemma** (**in**  $M\_trans$ )  $\text{mem\_F\_bound6}$ :**fixes**  $F G$ **defines**  $F \equiv \lambda x. \text{Collect}(G, (\in)(x))$ **shows**  $x \in F(G, c) \implies c \in (\text{range}(f) \cup \bigcup G)$  $\langle \text{proof} \rangle$ **lemma**  $\text{delta\_system\_Aleph\_rel1}$ :**assumes**  $\forall A \in F. \text{Finite}(A) F \approx^M \aleph_1^M M(F)$ **shows**  $\exists D[M]. D \subseteq F \wedge \text{delta\_system}(D) \wedge D \approx^M \aleph_1^M$

*<proof>*

**lemma** *delta\_system\_uncountable\_rel*:

**assumes**  $\forall A \in F. \text{Finite}(A) \text{ uncountable\_rel}(M, F) M(F)$   
**shows**  $\exists D[M]. D \subseteq F \wedge \text{delta\_system}(D) \wedge D \approx^M \aleph_1^M$

*<proof>*

**end** — *M\_delta*

**end**

## 23 Relative DC

**theory** *Pointed\_DC\_Relative*

**imports**

*Cardinal\_Library\_Relative*

**begin**

**consts** *dc\_witness* ::  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$

**primrec**

*wit0* :  $dc\_witness(0, A, a, s, R) = a$

*witrec* :  $dc\_witness(succ(n), A, a, s, R) = s'\{x \in A. \langle dc\_witness(n, A, a, s, R), x \rangle \in R\}$

**lemmas** *dc\_witness\_def = dc\_witness\_nat\_def*

*<ML>*

**schematic\_goal** *sats\_is\_dc\_witness\_fm\_auto*:

**assumes**  $na < \text{length}(env) \ e < \text{length}(env)$

**shows**

$na \in \omega \implies$

$A \in \omega \implies$

$a \in \omega \implies$

$s \in \omega \implies$

$R \in \omega \implies$

$e \in \omega \implies$

$env \in \text{list}(Aa) \implies$

$0 \in Aa \implies$

$is\_dc\_witness(\#\#Aa, nth(na, env), nth(A, env), nth(a, env), nth(s, env),$   
 $nth(R, env), nth(e, env)) \longleftrightarrow$

$Aa, env \models ?fm(nat, A, a, s, R, e)$

*<proof>*

*<ML>*

*<proof>*

**definition** *dcwit\_body* ::  $[i, i, i, i, i] \Rightarrow o$  **where**

$dcwit\_body(A, a, g, R) \equiv \lambda p. snd(p) = dc\_witness(fst(p), A, a, g, R)$

$\langle ML \rangle$

**context**  $M\_replacement$   
**begin**

**lemma**  $dc\_witness\_closed[intro,simp]$ :  
  **assumes**  $M(n) M(A) M(a) M(s) M(R) n \in nat$   
  **shows**  $M(dc\_witness(n,A,a,s,R))$   
   $\langle proof \rangle$

**lemma**  $dc\_witness\_rel\_char$ :  
  **assumes**  $M(A)$   
  **shows**  $dc\_witness\_rel(M,n,A,a,s,R) = dc\_witness(n,A,a,s,R)$   
   $\langle proof \rangle$

**lemma** (**in**  $M\_basic$ )  $first\_section\_closed$ :  
  **assumes**  
     $M(A) M(a) M(R)$   
  **shows**  $M(\{x \in A . \langle a, x \rangle \in R\})$   
   $\langle proof \rangle$

**lemma**  $witness\_into\_A [TC]$ :  
  **assumes**  $a \in A$   
     $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$   
     $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 n \in nat$   
     $M(A) M(a) M(s) M(R)$   
  **shows**  $dc\_witness(n, A, a, s, R) \in A$   
   $\langle proof \rangle$

**end** —  $M\_replacement$

**locale**  $M\_DC = M\_trancl + M\_replacement + M\_eclose +$   
  **assumes**

$separation\_is\_dcwit\_body$ :  
     $M(A) \implies M(a) \implies M(g) \implies M(R) \implies separation(M, is\_dcwit\_body(M, A,$   
     $a, g, R))$   
  **and**  
     $dcwit\_replacement: Ord(na) \implies$   
     $M(na) \implies$   
     $M(A) \implies$   
     $M(a) \implies$   
     $M(s) \implies$   
     $M(R) \implies$   
   $transrec\_replacement$   
   $(M, \lambda n f ntc.$   
     $is\_nat\_case$   
     $(M, a,$   
     $\lambda m b mfm.$

$\exists fm[M]. \exists cp[M].$   
 $is\_apply(M, f, m, fm) \wedge$   
 $is\_Collect(M, A, \lambda x. \exists fmx[M]. (M(x) \wedge fmx \in R) \wedge pair(M, fm,$   
 $x, fmx), cp) \wedge$   
 $is\_apply(M, s, cp, bmfm),$   
 $n, ntc), na)$

**begin**

**lemma** *is\_dc\_witness\_iff*:

**assumes**  $Ord(na) M(na) M(A) M(a) M(s) M(R) M(res)$   
**shows**  $is\_dc\_witness(M, na, A, a, s, R, res) \longleftrightarrow res = dc\_witness\_rel(M, na,$   
 $A, a, s, R)$   
 $\langle proof \rangle$

**lemma** *dcwit\_body\_abs*:

$fst(x) \in \omega \implies M(A) \implies M(a) \implies M(g) \implies M(R) \implies M(x) \implies$   
 $is\_dcwit\_body(M, A, a, g, R, x) \longleftrightarrow dcwit\_body(A, a, g, R, x)$   
 $\langle proof \rangle$

**lemma** *separation\_eq\_dc\_witness*:

$M(A) \implies$   
 $M(a) \implies$   
 $M(g) \implies$   
 $M(R) \implies separation(M, \lambda p. fst(p) \in \omega \longrightarrow snd(p) = dc\_witness(fst(p), A, a,$   
 $g, R))$   
 $\langle proof \rangle$

**lemma** *Lambda\_dc\_witness\_closed*:

**assumes**  $g \in Pow^M(A) - \{0\} \rightarrow A \ a \in A \ \forall y \in A. \{x \in A . \langle y, x \rangle \in R\} \neq 0$   
 $M(g) M(A) M(a) M(R)$   
**shows**  $M(\lambda n \in nat. dc\_witness(n, A, a, g, R))$   
 $\langle proof \rangle$

**lemma** *witness\_related*:

**assumes**  $a \in A$   
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \ n \in nat$   
 $M(a) M(A) M(s) M(R) M(n)$   
**shows**  $\langle dc\_witness(n, A, a, s, R), dc\_witness(succ(n), A, a, s, R) \rangle \in R$   
 $\langle proof \rangle$

**lemma** *witness\_funtype*:

**assumes**  $a \in A$   
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$   
 $M(A) M(a) M(s) M(R)$   
**shows**  $(\lambda n \in nat. dc\_witness(n, A, a, s, R)) \in nat \rightarrow A \ (\mathbf{is} \ ?f \in \_ \rightarrow \_)$   
 $\langle proof \rangle$



**lemma** *witness\_to\_fun*:

**assumes**  $a \in A$

$\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$

$\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$

$M(A) \ M(a) \ M(s) \ M(R)$

**shows**  $\exists f \in \text{nat} \rightarrow A. \forall n \in \text{nat}. f^n = \text{dc\_witness}(n, A, a, s, R)$

*<proof>*

**end** — *M\_DC*

**locale** *M\_library\_DC* = *M\_library* + *M\_DC*

**begin**

**lemma** *AC\_M\_func*:

**assumes**  $\bigwedge x. x \in A \implies (\exists y. y \in x) \ M(A)$

**shows**  $\exists f \in A \rightarrow^M \bigcup(A). \forall x \in A. f'x \in x$

*<proof>*

**lemma** *non\_empty\_family*:  $[\![ \ 0 \notin A; \ x \in A \ ]\!] \implies \exists y. y \in x$

*<proof>*

**lemma** *AC\_M\_func0*:  $0 \notin A \implies M(A) \implies \exists f \in A \rightarrow^M \bigcup(A). \forall x \in A. f'x \in x$

*<proof>*

**lemma** *AC\_M\_func\_Pow\_rel*:

**assumes**  $M(C)$

**shows**  $\exists f \in (\text{Pow}^M(C) - \{0\}) \rightarrow^M C. \forall x \in \text{Pow}^M(C) - \{0\}. f'x \in x$

*<proof>*

**theorem** *pointed\_DC*:

**assumes**  $\forall x \in A. \exists y \in A. \langle x, y \rangle \in R \ M(A) \ M(R)$

**shows**  $\forall a \in A. \exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in R)$

*<proof>*

**lemma** *aux\_DC\_on\_AxNat2* :  $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R \implies$

$\forall x \in A \times \text{nat}. \exists y \in A \times \text{nat}. \langle x, y \rangle \in \{ \langle a, b \rangle \in R. \text{snd}(b) = \text{succ}(\text{snd}(a)) \}$

*<proof>*

**lemma** *infer\_snd* :  $c \in A \times B \implies \text{snd}(c) = k \implies c = \langle \text{fst}(c), k \rangle$

*<proof>*

**corollary** *DC\_on\_A\_x\_nat* :

**assumes**  $(\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R) \ a \in A \ M(A) \ M(R)$

**shows**  $\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle \langle f'n, n \rangle, \langle f'succ(n), \text{succ}(n) \rangle \rangle \in R)$  (**is**  
 $\exists x \in \_. ?P(x)$ )

*<proof>*

**lemma** *aux\_sequence\_DC* :

**assumes**  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n$   
 $R = \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S^m \}$   
**shows**  $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R$   
 $\langle \text{proof} \rangle$

**lemma** *aux\_sequence\_DC2* :  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n \implies$   
 $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}).$   
 $\langle x, y \rangle \in S^m \}$   
 $\langle \text{proof} \rangle$

**lemma** *sequence\_DC*:  
**assumes**  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n$   $M(A)$   $M(S)$   
**shows**  $\forall a \in A. (\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'\text{succ}(n) \rangle \in S^{\text{succ}(n)}))$   
 $\langle \text{proof} \rangle$

**end** — *M\_library\_DC*

**end**

## 24 Cohen forcing notions

**theory** *Partial\_Functions\_Relative*

**imports**

*Cardinal\_Library\_Relative*

**begin**

In this theory we introduce bounded partial functions and its relative version; for historical reasons the relative version is based on a proper definition of partial functions.

We note that finite partial functions are easier and are used to prove some lemmas about finite sets in the theory *Transitive\_Models.ZF\_Library\_Relative*.

**definition**

$F_n :: [i, i, i] \Rightarrow i$  **where**  
 $F_n(\kappa, I, J) \equiv \bigcup \{ y . d \in \text{Pow}(I), y = (d \rightarrow J) \wedge d \prec \kappa \}$

**lemma** *domain\_function\_lepoll* :

**assumes**  $\text{function}(r)$   
**shows**  $\text{domain}(r) \lesssim r$   
 $\langle \text{proof} \rangle$

**lemma** *function\_lepoll*:

**assumes**  $r: d \rightarrow J$   
**shows**  $r \lesssim d$   
 $\langle \text{proof} \rangle$

**lemma** *function\_eqpoll* :

**assumes**  $r: d \rightarrow J$   
**shows**  $r \approx d$

*<proof>*

**lemma** *Fn\_char* :  $F_n(\kappa, I, J) = \{f \in Pow(I \times J) . function(f) \wedge f \prec \kappa\}$  (**is** ?L=?R)  
*<proof>*

**lemma** *zero\_in\_Fn*:  
**assumes**  $0 < \kappa$   
**shows**  $0 \in F_n(\kappa, I, J)$   
*<proof>*

**lemma** *Fn\_nat\_eq\_FiniteFun*:  $F_n(nat, I, J) = I -||> J$   
*<proof>*

**lemma** *Fn\_nat\_subset\_Pow*:  $F_n(\kappa, I, J) \subseteq Pow(I \times J)$   
*<proof>*

**lemma** *FnI*:  
**assumes**  $p : d \rightarrow J \ d \subseteq I \ d \prec \kappa$   
**shows**  $p \in F_n(\kappa, I, J)$   
*<proof>*

**lemma** *FnD[dest]*:  
**assumes**  $p \in F_n(\kappa, I, J)$   
**shows**  $\exists d. p : d \rightarrow J \wedge d \subseteq I \wedge d \prec \kappa$   
*<proof>*

**lemma** *Fn\_is\_function*:  $p \in F_n(\kappa, I, J) \implies function(p)$   
*<proof>*

**lemma** *Fn\_succ*:  
**assumes**  $Ord(\kappa)$   
**shows**  $F_n(csucc(\kappa), I, J) = \bigcup \{y . d \in Pow(I), y = (d \rightarrow J) \wedge d \lesssim \kappa\}$   
*<proof>*

**definition**

*FnleR* ::  $i \Rightarrow i \Rightarrow o$  (**infixl**  $\langle \supseteq \rangle$  50) **where**  
 $f \supseteq g \equiv g \subseteq f$

**lemma** *FnleR\_iff\_subset* [*iff*]:  $f \supseteq g \longleftrightarrow g \subseteq f$   
*<proof>*

**definition**

*Fnlerel* ::  $i \Rightarrow i$  **where**  
 $Fnlerel(A) \equiv Rrel(\lambda x y. x \supseteq y, A)$

**definition**

*Fnle* ::  $[i, i, i] \Rightarrow i$  **where**  
 $Fnle(\kappa, I, J) \equiv Fnlerel(F_n(\kappa, I, J))$

**lemma** *FnleI[intro]*:

**assumes**  $p \in Fn(\kappa, I, J)$   $q \in Fn(\kappa, I, J)$   $p \supseteq q$   
**shows**  $\langle p, q \rangle \in Fnle(\kappa, I, J)$   
*<proof>*

**lemma** *FnleD[dest]*:

**assumes**  $\langle p, q \rangle \in Fnle(\kappa, I, J)$   
**shows**  $p \in Fn(\kappa, I, J)$   $q \in Fn(\kappa, I, J)$   $p \supseteq q$   
*<proof>*

**definition** *PFun\_Space\_Rel* ::  $[i, i \Rightarrow o, i] \Rightarrow i$  ( $\_ \dashv \_$ )

**where**  $A \dashv^M B \equiv \{f \in Pow(A \times B) . M(f) \wedge function(f)\}$

**lemma** (**in** *M\_library*) *PFun\_Space\_subset\_Powrel* :

**assumes**  $M(A)$   $M(B)$   
**shows**  $A \dashv^M B = \{f \in Pow^M(A \times B) . function(f)\}$   
*<proof>*

**lemma** (**in** *M\_library*) *PFun\_Space\_closed* :

**assumes**  $M(A)$   $M(B)$   
**shows**  $M(A \dashv^M B)$   
*<proof>*

**lemma** *Un\_filter\_fun\_space\_closed*:

**assumes**  $G \subseteq I \rightarrow J \wedge f g . f \in G \Rightarrow g \in G \Rightarrow \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g$   
**shows**  $\bigcup G \in Pow(I \times J)$   $function(\bigcup G)$   
*<proof>*

**lemma** *Un\_filter\_is\_fun* :

**assumes**  $G \subseteq I \rightarrow J \wedge f g . f \in G \Rightarrow g \in G \Rightarrow \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g$   $G \neq 0$   
**shows**  $\bigcup G \in I \rightarrow J$   
*<proof>*

**context** *M\_cardinals*

**begin**

**lemma** *mem\_function\_space\_relD*:

**assumes**  $f \in function\_space\_rel(M, A, y)$   $M(A)$   $M(y)$   
**shows**  $f \in A \rightarrow y$  **and**  $M(f)$   
*<proof>*

**lemma** *pfunI* :

**assumes**  $C \subseteq A$   $f \in C \rightarrow^M B$   $M(C)$   $M(B)$   
**shows**  $f \in A \rightarrow^M B$   
*<proof>*

**lemma** *zero\_in\_PFun\_rel*:

**assumes**  $M(I)$   $M(J)$   
**shows**  $0 \in I \rightarrow^M J$

*<proof>*

**lemma** *pfun\_subsetI* :  
assumes  $f \in A \rightarrow^M B$   $g \subseteq f$   $M(g)$   
shows  $g \in A \rightarrow^M B$   
*<proof>*

**lemma** *pfun\_is\_function* :  
 $f \in A \rightarrow^M B \implies \text{function}(f)$   
*<proof>*

**lemma** *pfun\_Un\_filter\_closed*:  
assumes  $G \subseteq I \rightarrow^M J \wedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow^M J . d \supseteq f \wedge d \supseteq g$   
shows  $\bigcup G \in \text{Pow}(I \times J)$  *function*( $\bigcup G$ )  
*<proof>*

**lemma** *pfun\_Un\_filter\_closed''*:  
assumes  $G \subseteq I \rightarrow^M J \wedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g$   
shows  $\bigcup G \in \text{Pow}(I \times J)$  *function*( $\bigcup G$ )  
*<proof>*

**lemma** *pfun\_Un\_filter\_closed'*:  
assumes  $G \subseteq I \rightarrow^M J \wedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g$   $M(G)$   
shows  $\bigcup G \in I \rightarrow^M J$   
*<proof>*

**lemma** *pfunD* :  
assumes  $f \in A \rightarrow^M B$   
shows  $\exists C[M]. C \subseteq A \wedge f \in C \rightarrow B$   
*<proof>*

**lemma** *pfunD\_closed* :  
assumes  $f \in A \rightarrow^M B$   
shows  $M(f)$   
*<proof>*

**lemma** *pfun\_singletonI* :  
assumes  $x \in A$   $b \in B$   $M(A)$   $M(B)$   
shows  $\{\langle x, b \rangle\} \in A \rightarrow^M B$   
*<proof>*

**lemma** *pfun\_unionI* :  
assumes  $f \in A \rightarrow^M B$   $g \in A \rightarrow^M B$   $\text{domain}(f) \cap \text{domain}(g) = 0$   
shows  $f \cup g \in A \rightarrow^M B$   
*<proof>*

**lemma** (in *M\_library*) *pfun\_restrict\_eq\_imp\_compat*:  
assumes  $f \in I \rightarrow^M J$   $g \in I \rightarrow^M J$   $M(J)$   
restrict( $f, \text{domain}(f) \cap \text{domain}(g)$ ) = restrict( $g, \text{domain}(f) \cap \text{domain}(g)$ )

**shows**  $f \cup g \in I \rightarrow^M J$   
 ⟨proof⟩

**lemma** *FiniteFun\_pfunI* :  
**assumes**  $f \in A \dashv\vdash B \ M(A) \ M(B)$   
**shows**  $f \in A \rightarrow^M B$   
 ⟨proof⟩

**lemma** *PFun\_FiniteFunI* :  
**assumes**  $f \in A \rightarrow^M B \ \text{Finite}(f)$   
**shows**  $f \in A \dashv\vdash B$   
 ⟨proof⟩

**end** — *M\_cardinals*

**definition**

$\text{Fn\_rel} :: [i \Rightarrow o, i, i, i] \Rightarrow i \ (\langle \text{Fn}'(\_, \_, \_) \rangle)$  **where**  
 $\text{Fn\_rel}(M, \kappa, I, J) \equiv \{f \in I \rightarrow^M J \ . \ f \prec^M \kappa\}$

**context** *M\_library*  
**begin**

**lemma** *Fn\_rel\_subset\_PFun\_rel* :  $\text{Fn}^M(\kappa, I, J) \subseteq I \rightarrow^M J$   
 ⟨proof⟩

**lemma** *Fn\_relI[intro]*:  
**assumes**  $f : d \rightarrow J \ d \subseteq I \ f \prec^M \kappa \ M(d) \ M(J) \ M(f)$   
**shows**  $f \in \text{Fn\_rel}(M, \kappa, I, J)$   
 ⟨proof⟩

**lemma** *Fn\_relD[dest]*:  
**assumes**  $p \in \text{Fn\_rel}(M, \kappa, I, J)$   
**shows**  $\exists C[M]. \ C \subseteq I \wedge p : C \rightarrow J \wedge p \prec^M \kappa$   
 ⟨proof⟩

**lemma** *Fn\_rel\_is\_function*:  
**assumes**  $p \in \text{Fn\_rel}(M, \kappa, I, J)$   
**shows**  $\text{function}(p) \ M(p) \ p \prec^M \kappa \ p \in I \rightarrow^M J$   
 ⟨proof⟩

**lemma** *Fn\_rel\_mono*:  
**assumes**  $p \in \text{Fn\_rel}(M, \kappa, I, J) \ \kappa \prec^M \kappa' \ M(\kappa) \ M(\kappa')$   
**shows**  $p \in \text{Fn\_rel}(M, \kappa', I, J)$   
 ⟨proof⟩

**lemma** *Fn\_rel\_mono'*:  
**assumes**  $p \in \text{Fn\_rel}(M, \kappa, I, J) \ \kappa \lesssim^M \kappa' \ M(\kappa) \ M(\kappa')$   
**shows**  $p \in \text{Fn\_rel}(M, \kappa', I, J)$

*<proof>*

**lemma** *Fn\_csucc*:

**assumes**  $Ord(\kappa) \ M(\kappa)$

**shows**  $Fn\_rel(M, (\kappa^+)^M, I, J) = \{p \in I \rightarrow^M J \ . \ p \lesssim^M \kappa\}$  (is ?L=?R)

*<proof>*

**lemma** *Finite\_imp\_lesspoll\_nat*:

**assumes**  $Finite(A)$

**shows**  $A \prec nat$

*<proof>*

**lemma** *FinD\_Finite* :

**assumes**  $a \in Fin(A)$

**shows**  $Finite(a)$

*<proof>*

**lemma** *Fn\_rel\_nat\_eq\_FiniteFun*:

**assumes**  $M(I) \ M(J)$

**shows**  $I -||> J = Fn\_rel(M, \omega, I, J)$

*<proof>*

**lemma** *Fn\_nat\_abs*:

**assumes**  $M(I) \ M(J)$

**shows**  $Fn(nat, I, J) = Fn\_rel(M, \omega, I, J)$

*<proof>*

**lemma** *Fn\_rel\_singletonI*:

**assumes**  $x \in I \ j \in J \ 1 \prec^M \kappa \ M(\kappa) \ M(I) \ M(J)$

**shows**  $\{ \langle x, j \rangle \} \in Fn^M(\kappa, I, J)$

*<proof>*

**end** — *M\_library*

**definition**

$Fnle\_rel :: [i \Rightarrow o, i, i, i] \Rightarrow i \ (\langle Fnle-'(\_, \_, \_) \rangle)$  **where**

$Fnle\_rel(M, \kappa, I, J) \equiv Fnlerel(Fn^M(\kappa, I, J))$

**abbreviation**

$Fn\_r\_set :: [i, i, i, i] \Rightarrow i \ (\langle Fn-'(\_, \_, \_) \rangle)$  **where**

$Fn\_r\_set(M) \equiv Fn\_rel(\#\#M)$

**abbreviation**

$Fnle\_r\_set :: [i, i, i, i] \Rightarrow i \ (\langle Fnle-'(\_, \_, \_) \rangle)$  **where**

$Fnle\_r\_set(M) \equiv Fnle\_rel(\#\#M)$

**context** *M\_library*

**begin**

**lemma** *Fnle\_relI*[*intro*]:  
**assumes**  $p \in Fn\_rel(M, \kappa, I, J)$   $q \in Fn\_rel(M, \kappa, I, J)$   $p \supseteq q$   
**shows**  $\langle p, q \rangle \in Fnle\_rel(M, \kappa, I, J)$   
 $\langle proof \rangle$

**lemma** *Fnle\_relD*[*dest*]:  
**assumes**  $\langle p, q \rangle \in Fnle\_rel(M, \kappa, I, J)$   
**shows**  $p \in Fn\_rel(M, \kappa, I, J)$   $q \in Fn\_rel(M, \kappa, I, J)$   $p \supseteq q$   
 $\langle proof \rangle$

**lemma** *Fn\_rel\_closed*[*intro, simp*]:  
**assumes**  $M(\kappa)$   $M(I)$   $M(J)$   
**shows**  $M(Fn^M(\kappa, I, J))$   
 $\langle proof \rangle$

**lemma** *Fn\_rel\_subset\_Pow*:  
**assumes**  $M(\kappa)$   $M(I)$   $M(J)$   
**shows**  $Fn^M(\kappa, I, J) \subseteq Pow(I \times J)$   
 $\langle proof \rangle$

**lemma** *Fnle\_rel\_closed*[*intro, simp*]:  
**assumes**  $M(\kappa)$   $M(I)$   $M(J)$   
**shows**  $M(Fnle^M(\kappa, I, J))$   
 $\langle proof \rangle$

**lemma** *zero\_in\_Fn\_rel*:  
**assumes**  $0 < \kappa$   $M(\kappa)$   $M(I)$   $M(J)$   
**shows**  $0 \in Fn^M(\kappa, I, J)$   
 $\langle proof \rangle$

**lemma** *zero\_top\_Fn\_rel*:  
**assumes**  $p \in Fn^M(\kappa, I, J)$   $0 < \kappa$   $M(\kappa)$   $M(I)$   $M(J)$   
**shows**  $\langle p, 0 \rangle \in Fnle^M(\kappa, I, J)$   
 $\langle proof \rangle$

**lemma** *preorder\_on\_Fnle\_rel*:  
**assumes**  $M(\kappa)$   $M(I)$   $M(J)$   
**shows** *preorder\_on*( $Fn^M(\kappa, I, J)$ ,  $Fnle^M(\kappa, I, J)$ )  
 $\langle proof \rangle$

**end** — *M\_library*

**end**

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