

Transitive Models of Fragments of ZF

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Abstract

We extend the ZF-Constructibility library by relativizing theories of the Isabelle/ZF and Delta System Lemma sessions to a transitive class. We also relativize Paulson’s work on Aleph and our former treatment of the Axiom of Dependent Choices. This work is a prerequisite to our formalization of the independence of the Continuum Hypothesis.

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1 Introduction

Relativization of concepts is a key tool to obtain results in forcing, as it is explained in [1, Sect. 3] and elsewhere.

In this session, we cast some theories in relative form, in a way that they now refer to a fixed class M as the universe of discourse. Whenever it was possible, we tried to minimize the changes to the structure and proof scripts of the absolute concepts. For this reason, some comments of the original text as well as outdated **apply** commands appear profusely in the following theories.

A repeated pattern that appears is that the relativized result can be proved *mutatis mutandis*, with remaining proof obligations that the objects constructed actually belong to the model M . Another aspect was that the management of higher order constructs always posed some extra problems, already noted by Paulson [3, Sect. 7.3].

In the theory **Lambda_Replacement** we introduce a new locale assuming two instances of separation and six instances of “lambda replacements” (i.e., replacements using definable functions of the form $\lambda xy.y = \langle x, f(x) \rangle$) that allow some form of compositionality of further instances of separations and replacements.

We proceed to enumerate the theories that were “ported” to relative form, briefly commenting on each of them. Below, we refer to the original theories as the *source* and, correspondingly, call *target* the relativized version. We omit the `.thy` suffixes.

1. From *ZF*:

- (a) **Univ**. Here we decided to relativize only the term `Vfrom` that constructs the cumulative hierarchy up to some ordinal length and starting from an arbitrary set.
- (b) **Cardinal**. There are two targets for this source, **Least** and **Cardinal_Relative**. Both require some fair amount of preparation, trying to take advantage of absolute concepts. It is not

straightforward to compare source and targets in a line-by-line fashion at this point.

- (c) **CardinalArith**. The hardest part was to formalize the cardinal successor function and ordertypes. We also disregarded the part treating finite cardinals since it is an absolute concept. Apart from that, the relative version closely parallels the source.
- (d) **Cardinal_AC**. After some boilerplate, porting was rather straightforward, excepting cardinal arithmetic involving the higher-order union operator.

2. From *ZF-Constructible*:

- (a) **Normal**. The target here is **Aleph_Relative** since that is the only concept that we ported. Instead of porting all the machinery of normal functions (since it involved higher-order variables), we particularized the results for the Aleph function. We also used an alternative definition of the latter that worked better with our relativization discipline.

3. From *Delta_System_Lemma*:

- (a) **ZF_Library**. The target includes a big section of auxiliary lemmas and commands that aid the relativization. We needed to make explicit the witnesses (mainly functions) in some of the existential results proved in the source, since only in that way we would be able to show that they belonged to the model.
- (b) **Cardinal_Library**. Porting was relatively straightforward; most of the extra work laid in adjusting locale assumptions to obtain an appropriate context to state and prove the theorems.
- (c) **Delta_System**. Same comments as in the case of **Cardinal_Library** apply here.

4. From *Forcing*:

- (a) **Pointed_DC**. This case was similar to **Cardinal_AC** above, although a bit of care was needed to handle the recursive construction. Also, a fraction of the theory **AC** from *ZF* was ported here as it was a prerequisite. A complete relativization of **AC** would be desirable but still missing.

Finally, there are some repetitions from *ZF-Constructible* in the present project. We had to duplicate some material from **Relative** in our theory **M_Basic_No_Repl** in order to eliminate one replacement instance appearing in the locale **M_Basic**. The same goes for theories **DPow_absolute**, **Datatype_absolute**, **Internalizations**, **Internalize**, **Rank_Separation**,

`Rec_Separation`, `Recursion_Thms`, `Relativization`, and `Satisfies_absolute`, that were modified to remodularize the locale structure (namely, by eliminating the dependence of locale `M_eclose` on `M_datatypes`, which defines lists and formulas). In order to get the rest of the top-level theories of *ZF-Constructible* to work, we added a new theory called `Eclose_Absolute`.

theory Utils

imports *ZF-Constructible.Formula*

begin

This theory encapsulates some ML utilities

$\langle ML \rangle$

end

2 Auxiliary results on arithmetic

theory Nat_Miscellanea

imports

Delta_System_Lemma.ZF_Library

begin

hide_const (**open**) *Order.pred*

notation *add* (**infixl** $\langle +_{\omega} \rangle$ 65)

notation *diff* (**infixl** $\langle -_{\omega} \rangle$ 65)

Most of these results will get used at some point for the calculation of arities.

lemmas *nat_succI* = *Ord_succ_mem_iff* [*THEN iffD2, OF nat_into_Ord*]

lemma *nat_succD* : $m \in \text{nat} \implies \text{succ}(n) \in \text{succ}(m) \implies n \in m$

$\langle \text{proof} \rangle$

lemmas *zero_in_succ* = *ltD* [*OF nat_0_le*]

lemma *in_n_in_nat* : $m \in \text{nat} \implies n \in m \implies n \in \text{nat}$

$\langle \text{proof} \rangle$

lemma *ltI_neg* : $x \in \text{nat} \implies j \leq x \implies j \neq x \implies j < x$

$\langle \text{proof} \rangle$

lemma *succ_pred_eq* : $m \in \text{nat} \implies m \neq 0 \implies \text{succ}(\text{pred}(m)) = m$

$\langle \text{proof} \rangle$

lemma *succ_ltI* : $\text{succ}(j) < n \implies j < n$

$\langle \text{proof} \rangle$

lemmas *succ_leD* = *succ_leE* [*OF leI*]

lemma *succpred_leI* : $n \in \text{nat} \implies n \leq \text{succ}(\text{pred}(n))$

$\langle proof \rangle$

lemma $succpred_n0 : succ(n) \in p \implies p \neq 0$
 $\langle proof \rangle$

lemmas $natEin = natE [OF lt_nat_in_nat]$

lemmas $Un_least_lt_iffn = Un_least_lt_iff [OF nat_into_Ord nat_into_Ord]$

lemma $pred_type : m \in nat \implies n \leq m \implies n \in nat$
 $\langle proof \rangle$

lemma $pred_le : m \in nat \implies n \leq succ(m) \implies pred(n) \leq m$
 $\langle proof \rangle$

lemma $pred_le2 : n \in nat \implies m \in nat \implies pred(n) \leq m \implies n \leq succ(m)$
 $\langle proof \rangle$

lemma $Un_leD1 : Ord(i) \implies Ord(j) \implies Ord(k) \implies i \cup j \leq k \implies i \leq k$
 $\langle proof \rangle$

lemma $Un_leD2 : Ord(i) \implies Ord(j) \implies Ord(k) \implies i \cup j \leq k \implies j \leq k$
 $\langle proof \rangle$

lemma $gt1 : n \in nat \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$
 $\langle proof \rangle$

lemma $pred_mono : m \in nat \implies n \leq m \implies pred(n) \leq pred(m)$
 $\langle proof \rangle$

lemma $succ_mono : m \in nat \implies n \leq m \implies succ(n) \leq succ(m)$
 $\langle proof \rangle$

lemma $union_abs1 :$
 $\llbracket i \leq j \rrbracket \implies i \cup j = j$
 $\langle proof \rangle$

lemma $union_abs2 :$
 $\llbracket i \leq j \rrbracket \implies j \cup i = j$
 $\langle proof \rangle$

lemma $ord_un_max : Ord(i) \implies Ord(j) \implies i \cup j = max(i, j)$
 $\langle proof \rangle$

lemma $ord_max_ty : Ord(i) \implies Ord(j) \implies Ord(max(i, j))$
 $\langle proof \rangle$

lemmas $ord_simp_union = ord_un_max\ ord_max_ty\ max_def$

```

lemma le_succ :  $x \in \text{nat} \implies x \leq \text{succ}(x)$   $\langle \text{proof} \rangle$ 

lemma le_pred :  $x \in \text{nat} \implies \text{pred}(x) \leq x$ 
 $\langle \text{proof} \rangle$ 

lemma not_le_anti_sym :  $x \in \text{nat} \implies y \in \text{nat} \implies \neg x \leq y \implies \neg y \leq x \implies y = x$ 
 $\langle \text{proof} \rangle$ 

lemma Un_le_compat :  $o \leq p \implies q \leq r \implies \text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies$ 
 $\text{Ord}(r) \implies o \cup q \leq p \cup r$ 
 $\langle \text{proof} \rangle$ 

lemma Un_le :  $p \leq r \implies q \leq r \implies$ 
 $\text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies$ 
 $p \cup q \leq r$ 
 $\langle \text{proof} \rangle$ 

lemma Un_leI3 :  $o \leq r \implies p \leq r \implies q \leq r \implies$ 
 $\text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies$ 
 $o \cup p \cup q \leq r$ 
 $\langle \text{proof} \rangle$ 

lemma diff_mono :
assumes  $m \in \text{nat}$   $n \in \text{nat}$   $p \in \text{nat}$   $m < n$   $p \leq m$ 
shows  $m\# - p < n\# - p$ 
 $\langle \text{proof} \rangle$ 

lemma pred_Un:
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{pred}(\text{succ}(x) \cup y) = x \cup \text{pred}(y)$ 
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{pred}(x \cup \text{succ}(y)) = \text{pred}(x) \cup y$ 
 $\langle \text{proof} \rangle$ 

lemma le_natI :  $j \leq n \implies n \in \text{nat} \implies j \in \text{nat}$ 
 $\langle \text{proof} \rangle$ 

lemma le_natE :  $n \in \text{nat} \implies j < n \implies j \in n$ 
 $\langle \text{proof} \rangle$ 

lemma leD : assumes  $n \in \text{nat}$   $j \leq n$ 
shows  $j < n \mid j = n$ 
 $\langle \text{proof} \rangle$ 

lemma pred_nat_eq :
assumes  $n \in \text{nat}$ 
shows  $\text{pred}(n) = \bigcup n$ 
 $\langle \text{proof} \rangle$ 

```

2.1 Some results in ordinal arithmetic

The following results are auxiliary to the proof of wellfoundedness of the relation *freqR*

```

lemma max_cong :
  assumes  $x \leq y$   $\text{Ord}(y)$   $\text{Ord}(z)$ 
  shows  $\max(x,y) \leq \max(y,z)$ 
  {proof}

lemma max_commutes :
  assumes  $\text{Ord}(x)$   $\text{Ord}(y)$ 
  shows  $\max(x,y) = \max(y,x)$ 
  {proof}

lemma max_cong2 :
  assumes  $x \leq y$   $\text{Ord}(y)$   $\text{Ord}(z)$   $\text{Ord}(x)$ 
  shows  $\max(x,z) \leq \max(y,z)$ 
  {proof}

lemma max_D1 :
  assumes  $x = y$   $w < z$   $\text{Ord}(x)$   $\text{Ord}(w)$   $\text{Ord}(z)$   $\max(x,w) = \max(y,z)$ 
  shows  $z \leq y$ 
  {proof}

lemma max_D2 :
  assumes  $w = y \vee w = z$   $x < y$   $\text{Ord}(x)$   $\text{Ord}(w)$   $\text{Ord}(y)$   $\text{Ord}(z)$   $\max(x,w) = \max(y,z)$ 
  shows  $x < w$ 
  {proof}

lemma oadd_lt_mono2 :
  assumes  $\text{Ord}(n)$   $\text{Ord}(\alpha)$   $\text{Ord}(\beta)$   $\alpha < \beta$   $x < n$   $y < n$   $0 < n$ 
  shows  $n * \alpha + x < n * \beta + y$ 
  {proof}
end

```

3 Various results missing from ZF.

```

theory ZF_Miscellanea
  imports
    ZF
    Nat_Miscellanea
  begin

lemma rex_mono :
  assumes  $\exists d \in A . P(d)$   $A \subseteq B$ 
  shows  $\exists d \in B . P(d)$ 
  {proof}

```

```

lemma function_subset:
  function(f)  $\Rightarrow$  g $\subseteq$ f  $\Rightarrow$  function(g)
   $\langle proof \rangle$ 

lemma converse_refl : refl(A,r)  $\Rightarrow$  refl(A,converse(r))
   $\langle proof \rangle$ 

lemma Ord_lt_subset : Ord(b)  $\Rightarrow$  a<b  $\Rightarrow$  a $\subseteq$ b
   $\langle proof \rangle$ 

lemma funcI : f  $\in$  A  $\rightarrow$  B  $\Rightarrow$  a  $\in$  A  $\Rightarrow$  b = f ` a  $\Rightarrow$  {a, b}  $\in$  f
   $\langle proof \rangle$ 

lemma vimage_fun_sing:
  assumes f $\in$ A $\rightarrow$ B b $\in$ B
  shows {a $\in$ A . f ` a = b} = f - ``{b}
   $\langle proof \rangle$ 

lemma image_fun_subset: S $\in$ A $\rightarrow$ B  $\Rightarrow$  C $\subseteq$ A  $\Rightarrow$  {S ` x . x $\in$  C} = S `` C
   $\langle proof \rangle$ 

lemma inj_range_Diff:
  assumes f  $\in$  inj(A,A')
  shows f `` A - f `` T = f `` (A - T)
   $\langle proof \rangle$ 

lemma subset_Diff_Un: X  $\subseteq$  A  $\Rightarrow$  A = (A - X)  $\cup$  X  $\langle proof \rangle$ 

lemma Diff_bij:
  assumes  $\forall A \in F.$  X  $\subseteq$  A shows ( $\lambda A \in F.$  A-X)  $\in$  bij(F, {A-X . A  $\in$  F})
   $\langle proof \rangle$ 

lemma function_space_nonempty:
  assumes b $\in$ B
  shows ( $\lambda x \in A.$  b) : A  $\rightarrow$  B
   $\langle proof \rangle$ 

lemma lam_constant_eq_cartprod: ( $\lambda _\_ \in A.$  y) = A  $\times$  {y}
   $\langle proof \rangle$ 

lemma vimage_lam: ( $\lambda x \in A.$  f(x)) - `` B = { x  $\in$  A . f(x)  $\in$  B }
   $\langle proof \rangle$ 

lemma range_fun_subset_codomain:
  assumes h:B  $\rightarrow$  C
  shows range(h)  $\subseteq$  C
   $\langle proof \rangle$ 

```

```

lemma Pi_rangeD:
  assumes f ∈ Pi(A,B) b ∈ range(f)
  shows ∃ a ∈ A. f'a = b
  ⟨proof⟩

lemma Pi_range_eq: f ∈ Pi(A,B) ⇒ range(f) = {f ` x . x ∈ A}
  ⟨proof⟩

lemma Pi_vimage_subset : f ∈ Pi(A,B) ⇒ f `` C ⊆ A
  ⟨proof⟩

definition
  minimum :: i ⇒ i ⇒ i where
  minimum(r,B) ≡ THE b. first(b,B,r)

lemma minimum_in': minimum(r,B) ∈ B ∪ {0}
  ⟨proof⟩

lemma minimum_in: [ well_ord(A,r); B ⊆ A; B ≠ 0 ] ⇒ minimum(r,B) ∈ B
  ⟨proof⟩

lemma well_ord_surj_imp_inj_inverse:
  assumes well_ord(A,r) h ∈ surj(A,B)
  shows (λb ∈ B. minimum(r, {a ∈ A. h'a = b})) ∈ inj(B,A)
  ⟨proof⟩

lemma well_ord_surj_imp_lepoll:
  assumes well_ord(A,r) h ∈ surj(A,B)
  shows B ⊲ A
  ⟨proof⟩

lemma surj_imp_well_ord:
  assumes well_ord(A,r) h ∈ surj(A,B)
  shows ∃ s. well_ord(B,s)
  ⟨proof⟩

lemma Pow_sing : Pow({a}) = {0,{a}}
  ⟨proof⟩

lemma Pow_cons:
  shows Pow(cons(a,A)) = Pow(A) ∪ {{a} ∪ X . X: Pow(A)}
  ⟨proof⟩

lemma app_nm :
  assumes n ∈ nat m ∈ nat f ∈ n → m x ∈ nat
  shows f'x ∈ nat
  ⟨proof⟩

lemma Upair_eq_cons: Upair(a,b) = {a,b}
  ⟨proof⟩

```

```

lemma converse_apply_eq : converse(f) ` x = ∪ (f - `` {x})
  ⟨proof⟩

lemmas app_fun = apply_iff[THEN iffD1]

lemma Finite_imp_lespoll_nat:
  assumes Finite(A)
  shows A ⊑ nat
  ⟨proof⟩

definition curry :: [i,i,i] ⇒ i where
  curry(A,B,f) ≡ λx∈A . λy∈B . f‘⟨x,y⟩

lemma curry_type :
  assumes f ∈ A × B → C
  shows curry(A,B,f) ∈ A → (B → C)
  ⟨proof⟩

end

```

4 Renaming of variables in internalized formulas

theory Renaming

imports

ZF-Constructible.Formula
ZF_Miscellanea

begin

4.1 Renaming of free variables

definition

union_fun :: [i,i,i,i] ⇒ i **where**
union_fun(f,g,m,p) ≡ λj ∈ m ∪ p . if j ∈ m then f‘j else g‘j

lemma union_fun_type:

assumes f ∈ m → n
g ∈ p → q
shows union_fun(f,g,m,p) ∈ m ∪ p → n ∪ q
⟨proof⟩

lemma union_fun_action :

assumes
env ∈ list(M)
env' ∈ list(M)
length(env) = m ∪ p
∀ i . i ∈ m → nth(f‘i,env') = nth(i,env)
∀ j . j ∈ p → nth(g‘j,env') = nth(j,env)
shows ∀ i . i ∈ m ∪ p →

$\text{nth}(i, \text{env}) = \text{nth}(\text{union_fun}(f, g, m, p) 'i, \text{env}')$
 $\langle \text{proof} \rangle$

lemma *id_fn_type* :
assumes $n \in \text{nat}$
shows $\text{id}(n) \in n \rightarrow n$
 $\langle \text{proof} \rangle$

lemma *id_fn_action*:
assumes $n \in \text{nat}$ $\text{env} \in \text{list}(M)$
shows $\bigwedge j . j < n \implies \text{nth}(j, \text{env}) = \text{nth}(\text{id}(n) 'j, \text{env})$
 $\langle \text{proof} \rangle$

definition

$rsum :: [i, i, i, i] \Rightarrow i$ **where**
 $rsum(f, g, m, n, p) \equiv \lambda j \in m +_{\omega} p . \text{if } j < m \text{ then } f 'j \text{ else } (g '(j \# -m)) +_{\omega} n$

lemma *sum_inl*:
assumes $m \in \text{nat}$ $n \in \text{nat}$
 $f \in m \rightarrow n$ $x \in m$
shows $rsum(f, g, m, n, p) 'x = f 'x$
 $\langle \text{proof} \rangle$

lemma *sum_inr*:
assumes $m \in \text{nat}$ $n \in \text{nat}$ $p \in \text{nat}$
 $g \in p \rightarrow q$ $m \leq x$ $x < m +_{\omega} p$
shows $rsum(f, g, m, n, p) 'x = g '(x \# -m) +_{\omega} n$
 $\langle \text{proof} \rangle$

lemma *sum_action* :
assumes $m \in \text{nat}$ $n \in \text{nat}$ $p \in \text{nat}$ $q \in \text{nat}$
 $f \in m \rightarrow n$ $g \in p \rightarrow q$
 $\text{env} \in \text{list}(M)$
 $\text{env}' \in \text{list}(M)$
 $\text{env1} \in \text{list}(M)$
 $\text{env2} \in \text{list}(M)$
 $\text{length}(\text{env}) = m$
 $\text{length}(\text{env1}) = p$
 $\text{length}(\text{env}') = n$
 $\bigwedge i . i < m \implies \text{nth}(i, \text{env}) = \text{nth}(f 'i, \text{env}')$
 $\bigwedge j . j < p \implies \text{nth}(j, \text{env1}) = \text{nth}(g 'j, \text{env2})$
shows $\forall i . i < m +_{\omega} p \longrightarrow$
 $\text{nth}(i, \text{env} @ \text{env1}) = \text{nth}(rsum(f, g, m, n, p) 'i, \text{env}' @ \text{env2})$
 $\langle \text{proof} \rangle$

lemma *sum_type* :

```

assumes  $m \in \text{nat}$   $n \in \text{nat}$   $p \in \text{nat}$   $q \in \text{nat}$ 
 $f \in m \rightarrow n$   $g \in p \rightarrow q$ 
shows  $rsum(f, g, m, n, p) \in (m +_{\omega} p) \rightarrow (n +_{\omega} q)$ 
⟨proof⟩

lemma sum_type_id :
assumes
 $f \in \text{length}(\text{env}) \rightarrow \text{length}(\text{env}')$ 
 $\text{env} \in \text{list}(M)$ 
 $\text{env}' \in \text{list}(M)$ 
 $\text{env1} \in \text{list}(M)$ 
shows
 $rsum(f, id(\text{length}(\text{env1})), \text{length}(\text{env}), \text{length}(\text{env}'), \text{length}(\text{env1})) \in$ 
 $(\text{length}(\text{env}) +_{\omega} \text{length}(\text{env1})) \rightarrow (\text{length}(\text{env}') +_{\omega} \text{length}(\text{env1}))$ 
⟨proof⟩

lemma sum_type_id_aux2 :
assumes
 $f \in m \rightarrow n$ 
 $m \in \text{nat}$   $n \in \text{nat}$ 
 $\text{env1} \in \text{list}(M)$ 
shows
 $rsum(f, id(\text{length}(\text{env1})), m, n, \text{length}(\text{env1})) \in$ 
 $(m +_{\omega} \text{length}(\text{env1})) \rightarrow (n +_{\omega} \text{length}(\text{env1}))$ 
⟨proof⟩

lemma sum_action_id :
assumes
 $\text{env} \in \text{list}(M)$ 
 $\text{env}' \in \text{list}(M)$ 
 $f \in \text{length}(\text{env}) \rightarrow \text{length}(\text{env}')$ 
 $\text{env1} \in \text{list}(M)$ 
 $\wedge i . i < \text{length}(\text{env}) \implies \text{nth}(i, \text{env}) = \text{nth}(f'i, \text{env}')$ 
shows  $\wedge i . i < \text{length}(\text{env}) +_{\omega} \text{length}(\text{env1}) \implies$ 
 $\text{nth}(i, \text{env}@\text{env1}) = \text{nth}(rsum(f, id(\text{length}(\text{env1})), \text{length}(\text{env}), \text{length}(\text{env}'), \text{length}(\text{env1})))^i \cdot \text{env}' @ \text{env1})$ 
⟨proof⟩

lemma sum_action_id_aux :
assumes
 $f \in m \rightarrow n$ 
 $\text{env} \in \text{list}(M)$ 
 $\text{env}' \in \text{list}(M)$ 
 $\text{env1} \in \text{list}(M)$ 
 $\text{length}(\text{env}) = m$ 
 $\text{length}(\text{env}') = n$ 
 $\text{length}(\text{env1}) = p$ 
 $\wedge i . i < m \implies \text{nth}(i, \text{env}) = \text{nth}(f'i, \text{env}')$ 
shows  $\wedge i . i < m +_{\omega} \text{length}(\text{env1}) \implies$ 
 $\text{nth}(i, \text{env}@\text{env1}) = \text{nth}(rsum(f, id(\text{length}(\text{env1})), m, n, \text{length}(\text{env1})))^i \cdot \text{env}' @ \text{env1})$ 

```

$\langle proof \rangle$

definition

$$\begin{aligned} sum_id &:: [i,i] \Rightarrow i \text{ where} \\ sum_id(m,f) &\equiv rsum(\lambda x \in 1.x, f, 1, 1, m) \end{aligned}$$

lemma $sum_id0 : m \in nat \Rightarrow sum_id(m,f) \cdot 0 = 0$
 $\langle proof \rangle$

lemma $sum_idS : p \in nat \Rightarrow q \in nat \Rightarrow f \in p \rightarrow q \Rightarrow x \in p \Rightarrow sum_id(p,f) \cdot (succ(x)) = succ(f'x)$
 $\langle proof \rangle$

lemma $sum_id_tc_aux :$
 $p \in nat \Rightarrow q \in nat \Rightarrow f \in p \rightarrow q \Rightarrow sum_id(p,f) \in 1 + \omega p \rightarrow 1 + \omega q$
 $\langle proof \rangle$

lemma $sum_id_tc :$
 $n \in nat \Rightarrow m \in nat \Rightarrow f \in n \rightarrow m \Rightarrow sum_id(n,f) \in succ(n) \rightarrow succ(m)$
 $\langle proof \rangle$

4.2 Renaming of formulas

consts $ren :: i \Rightarrow i$

primrec

$$\begin{aligned} ren(Member(x,y)) &= \\ (\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Member(f'x, f'y)) \end{aligned}$$

$$\begin{aligned} ren(Equal(x,y)) &= \\ (\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Equal(f'x, f'y)) \end{aligned}$$

$$\begin{aligned} ren(Nand(p,q)) &= \\ (\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Nand(ren(p) \cdot n \cdot m \cdot f, ren(q) \cdot n \cdot m \cdot f)) \end{aligned}$$

$$\begin{aligned} ren(Forall(p)) &= \\ (\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Forall(ren(p) \cdot succ(n) \cdot succ(m) \cdot sum_id(n,f))) \end{aligned}$$

lemma $arity_meml : l \in nat \Rightarrow Member(x,y) \in formula \Rightarrow arity(Member(x,y)) \leq l \Rightarrow x \in l$
 $\langle proof \rangle$

lemma $arity_memr : l \in nat \Rightarrow Member(x,y) \in formula \Rightarrow arity(Member(x,y)) \leq l \Rightarrow y \in l$
 $\langle proof \rangle$

lemma $arity_eql : l \in nat \Rightarrow Equal(x,y) \in formula \Rightarrow arity(Equal(x,y)) \leq l \Rightarrow x \in l$
 $\langle proof \rangle$

lemma $arity_eqr : l \in nat \Rightarrow Equal(x,y) \in formula \Rightarrow arity(Equal(x,y)) \leq l \Rightarrow y \in l$

```

⟨proof⟩
lemma nand_ar1 :  $p \in formula \implies q \in formula \implies arity(p) \leq arity(Nand(p,q))$ 
⟨proof⟩
lemma nand_ar2 :  $p \in formula \implies q \in formula \implies arity(q) \leq arity(Nand(p,q))$ 
⟨proof⟩

lemma nand_ar1D :  $p \in formula \implies q \in formula \implies arity(Nand(p,q)) \leq n \implies$ 
 $arity(p) \leq n$ 
⟨proof⟩
lemma nand_ar2D :  $p \in formula \implies q \in formula \implies arity(Nand(p,q)) \leq n \implies$ 
 $arity(q) \leq n$ 
⟨proof⟩

lemma ren_tc :  $p \in formula \implies$ 
 $(\bigwedge n m f . n \in nat \implies m \in nat \implies f \in n \rightarrow m \implies ren(p) `n `m `f \in formula)$ 
⟨proof⟩

lemma arity_ren :
  fixes p
  assumes  $p \in formula$ 
  shows  $\bigwedge n m f . n \in nat \implies m \in nat \implies f \in n \rightarrow m \implies arity(p) \leq n \implies$ 
 $arity(ren(p) `n `m `f) \leq m$ 
⟨proof⟩

lemma arity_forallE :  $p \in formula \implies m \in nat \implies arity(Forall(p)) \leq m \implies$ 
 $arity(p) \leq succ(m)$ 
⟨proof⟩

lemma env_coincidence_sum_id :
  assumes  $m \in nat$   $n \in nat$ 
   $\varrho \in list(A)$   $\varrho' \in list(A)$ 
   $f \in n \rightarrow m$ 
   $\bigwedge i . i < n \implies nth(i, \varrho) = nth(f `i, \varrho')$ 
   $a \in A$   $j \in succ(n)$ 
  shows  $nth(j, Cons(a, \varrho)) = nth(sum\_id(n, f) `j, Cons(a, \varrho'))$ 
⟨proof⟩

lemma sats_iff_sats_ren :
  assumes  $\varphi \in formula$ 
  shows  $\llbracket n \in nat ; m \in nat ; \varrho \in list(M) ; \varrho' \in list(M) ; f \in n \rightarrow m ;$ 
 $arity(\varphi) \leq n ;$ 
 $\bigwedge i . i < n \implies nth(i, \varrho) = nth(f `i, \varrho') \rrbracket \implies$ 
 $sats(M, \varphi, \varrho) \longleftrightarrow sats(M, ren(\varphi) `n `m `f, \varrho')$ 
⟨proof⟩

end
theory Renaming_Auto

```

```

imports
  Utils
  Renaming
keywords
  rename :: thy_decl % ML
and
  simple_rename :: thy_decl % ML
and
  src
and
  tgt
abbrevs
  simple_rename =
begin
  hide_const (open) Order.pred
lemmas nat_succI = nat_succ_iff[THEN iffD2]
⟨ML⟩
end
theory M_Basic_No_Repl
  imports ZF-Constructible.Relative
begin

This locale is exactly M_basic without its only replacement instance.

locale M_basic_no_repl = M_trivial +
assumes Inter_separation:
  M(A) ==> separation(M, λx. ∀y[M]. y ∈ A → x ∈ y)
  and Diff_separation:
    M(B) ==> separation(M, λx. x ∉ B)
  and cartprod_separation:
    [| M(A); M(B) |]
    ==> separation(M, λz. ∃x[M]. x ∈ A & (∃y[M]. y ∈ B & pair(M,x,y,z)))
  and image_separation:
    [| M(A); M(r) |]
    ==> separation(M, λy. ∃p[M]. p ∈ r & (∃x[M]. x ∈ A & pair(M,x,y,p)))
  and converse_separation:
    M(r) ==> separation(M,
      λz. ∃p[M]. p ∈ r & (∃x[M]. ∃y[M]. pair(M,x,y,p) & pair(M,y,x,z)))
  and restrict_separation:
    M(A) ==> separation(M, λz. ∃x[M]. x ∈ A & (∃y[M]. pair(M,x,y,z)))
  and comp_separation:
    [| M(r); M(s) |]
    ==> separation(M, λxz. ∃x[M]. ∃y[M]. ∃z[M]. ∃xy[M]. ∃yz[M].
      pair(M,x,z,xz) & pair(M,x,y,xy) & pair(M,y,z,yz) &
      xy ∈ s & yz ∈ r)
  and pred_separation:

```

$\| M(r); M(x) \| \implies separation(M, \lambda y. \exists p[M]. p \in r \& pair(M, y, x, p))$
and Memrel_separation:
 $separation(M, \lambda z. \exists x[M]. \exists y[M]. pair(M, x, y, z) \& x \in y)$
and is_recfun_separation:
— for well-founded recursion: used to prove is_recfun_equal
 $\| M(r); M(f); M(g); M(a); M(b) \| \implies separation(M,$
 $\lambda x. \exists xa[M]. \exists xb[M].$
 $pair(M, x, a, xa) \& xa \in r \& pair(M, x, b, xb) \& xb \in r \&$
 $(\exists fx[M]. \exists gx[M]. fun_apply(M, f, x, fx) \& fun_apply(M, g, x, gx) \&$
 $fx \neq gx))$
and power_ax: $power_ax(M)$

lemma (in M_basic_no_repl) cartprod iff:
 $\| M(A); M(B); M(C) \| \implies cartprod(M, A, B, C) \longleftrightarrow$
 $(\exists p1[M]. \exists p2[M]. powerset(M, A \cup B, p1) \& powerset(M, p1, p2) \&$
 $C = \{z \in p2. \exists x \in A. \exists y \in B. z = \langle x, y \rangle\})$
 $\langle proof \rangle$

lemma (in M_basic_no_repl) cartprod_closed_lemma:
 $\| M(A); M(B) \| \implies \exists C[M]. cartprod(M, A, B, C)$
 $\langle proof \rangle$

All the lemmas above are necessary because Powerset is not absolute. I should have used Replacement instead!

lemma (in M_basic_no_repl) cartprod_closed [intro,simp]:
 $\| M(A); M(B) \| \implies M(A * B)$
 $\langle proof \rangle$

lemma (in M_basic_no_repl) sum_closed [intro,simp]:
 $\| M(A); M(B) \| \implies M(A + B)$
 $\langle proof \rangle$

lemma (in M_basic_no_repl) sum_abs [simp]:
 $\| M(A); M(B); M(Z) \| \implies is_sum(M, A, B, Z) \longleftrightarrow (Z = A + B)$
 $\langle proof \rangle$

lemma (in M_basic_no_repl) M_converse_iff:
 $M(r) \implies$
 $converse(r) =$
 $\{z \in \bigcup(\bigcup(r)) * \bigcup(\bigcup(r)).$
 $\exists p \in r. \exists x[M]. \exists y[M]. p = \langle x, y \rangle \& z = \langle y, x \rangle\}$
 $\langle proof \rangle$

lemma (in M_basic_no_repl) converse_closed [intro,simp]:
 $M(r) \implies M(converse(r))$
 $\langle proof \rangle$

lemma (in $M_{\text{basic_no_repl}}$) *converse_abs [simp]*:
 $\llbracket M(r); M(z) \rrbracket \implies \text{is_converse}(M, r, z) \longleftrightarrow z = \text{converse}(r)$
⟨proof⟩

4.2.1 image, preimage, domain, range

lemma (in $M_{\text{basic_no_repl}}$) *image_closed [intro,simp]*:
 $\llbracket M(A); M(r) \rrbracket \implies M(r^{\prime\prime}A)$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *vimage_abs [simp]*:
 $\llbracket M(r); M(A); M(z) \rrbracket \implies \text{pre_image}(M, r, A, z) \longleftrightarrow z = r^{-\prime\prime}A$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *vimage_closed [intro,simp]*:
 $\llbracket M(A); M(r) \rrbracket \implies M(r^{-\prime\prime}A)$
⟨proof⟩

4.2.2 Domain, range and field

lemma (in $M_{\text{basic_no_repl}}$) *domain_closed [intro,simp]*:
 $M(r) \implies M(\text{domain}(r))$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *range_closed [intro,simp]*:
 $M(r) \implies M(\text{range}(r))$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *field_abs [simp]*:
 $\llbracket M(r); M(z) \rrbracket \implies \text{is_field}(M, r, z) \longleftrightarrow z = \text{field}(r)$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *field_closed [intro,simp]*:
 $M(r) \implies M(\text{field}(r))$
⟨proof⟩

4.2.3 Relations, functions and application

lemma (in $M_{\text{basic_no_repl}}$) *apply_closed [intro,simp]*:
 $\llbracket M(f); M(a) \rrbracket \implies M(f^{\prime}a)$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *apply_abs [simp]*:
 $\llbracket M(f); M(x); M(y) \rrbracket \implies \text{fun_apply}(M, f, x, y) \longleftrightarrow f^{\prime}x = y$
⟨proof⟩

lemma (in $M_{\text{basic_no_repl}}$) *injection_abs [simp]*:
 $\llbracket M(A); M(f) \rrbracket \implies \text{injection}(M, A, B, f) \longleftrightarrow f \in \text{inj}(A, B)$
⟨proof⟩

```

lemma (in M_basic_no_repl) surjection_abs [simp]:
  [| M(A); M(B); M(f) |] ==> surjection(M,A,B,f) <-> f ∈ surj(A,B)
  ⟨proof⟩

lemma (in M_basic_no_repl) bijection_abs [simp]:
  [| M(A); M(B); M(f) |] ==> bijection(M,A,B,f) <-> f ∈ bij(A,B)
  ⟨proof⟩

```

4.2.4 Composition of relations

```

lemma (in M_basic_no_repl) M_comp_iff:
  [| M(r); M(s) |]
  ==> r O s =
    {xz ∈ domain(s) * range(r).
     ∃ x[M]. ∃ y[M]. ∃ z[M]. xz = ⟨x,z⟩ & ⟨x,y⟩ ∈ s & ⟨y,z⟩ ∈ r}
  ⟨proof⟩

```

```

lemma (in M_basic_no_repl) comp_closed [intro,simp]:
  [| M(r); M(s) |] ==> M(r O s)
  ⟨proof⟩

```

```

lemma (in M_basic_no_repl) composition_abs [simp]:
  [| M(r); M(s); M(t) |] ==> composition(M,r,s,t) <-> t = r O s
  ⟨proof⟩

```

no longer needed

```

lemma (in M_basic_no_repl) restriction_is_function:
  [| restriction(M,f,A,z); function(f); M(f); M(A); M(z) |]
  ==> function(z)
  ⟨proof⟩

```

```

lemma (in M_basic_no_repl) restrict_closed [intro,simp]:
  [| M(A); M(r) |] ==> M(restrict(r,A))
  ⟨proof⟩

```

```

lemma (in M_basic_no_repl) Inter_closed [intro,simp]:
  M(A) ==> M(∩(A))
  ⟨proof⟩

```

```

lemma (in M_basic_no_repl) Int_closed [intro,simp]:
  [| M(A); M(B) |] ==> M(A ∩ B)
  ⟨proof⟩

```

```

lemma (in M_basic_no_repl) Diff_closed [intro,simp]:
  [| M(A); M(B) |] ==> M(A-B)
  ⟨proof⟩

```

4.2.5 Some Facts About Separation Axioms

```

lemma (in M_basic_no_repl) separation_conj:

```

```
[|separation(M,P); separation(M,Q)|] ==> separation(M, λz. P(z) & Q(z))
⟨proof⟩
```

```
lemma (in M_basic_no_repl) separation_disj:
 [|separation(M,P); separation(M,Q)|] ==> separation(M, λz. P(z) | Q(z))
 ⟨proof⟩
```

```
lemma (in M_basic_no_repl) separation_neg:
 separation(M,P) ==> separation(M, λz. ~P(z))
 ⟨proof⟩
```

```
lemma (in M_basic_no_repl) separation_imp:
 [|separation(M,P); separation(M,Q)|]
 ==> separation(M, λz. P(z) —> Q(z))
 ⟨proof⟩
```

This result is a hint of how little can be done without the Reflection Theorem. The quantifier has to be bounded by a set. We also need another instance of Separation!

```
lemma (in M_basic_no_repl) separation_rall:
 [|M(Y); ∀y[M]. separation(M, λx. P(x,y));
   ∀z[M]. strong_replacement(M, λx y. y = {u ∈ z . P(u,x)})|]
 ==> separation(M, λx. ∀y[M]. y ∈ Y —> P(x,y))
 ⟨proof⟩
```

4.2.6 Functions and function space

```
lemma (in M_basic_no_repl) succ_fun_eq2:
 [|M(B); M(n->B)|] ==>
 succ(n) -> B =
 ∪ {z. p ∈ (n->B)*B, ∃f[M]. ∃b[M]. p = <f,b> & z = {cons(<n,b>, f)}}
 ⟨proof⟩
```

```
lemma (in M_basic_no_repl) list_case'_closed [intro,simp]:
 [|M(k); M(a); ∀x[M]. ∀y[M]. M(b(x,y))|] ==> M(list_case'(a,b,k))
 ⟨proof⟩
```

```
lemma (in M_basic_no_repl) tl'_closed: M(x) ==> M(tl'(x))
 ⟨proof⟩
```

```
sublocale M_basic ⊆ mbnr:M_basic_no_repl
 ⟨proof⟩
```

```
end
```

5 Absoluteness Properties for Recursive Datatypes

```
theory Eclose_Absolute imports ZF-Constructible.Formula ZF-Constructible.WF_absolute
begin
```

5.1 The lfp of a continuous function can be expressed as a union

definition

directed :: $i \Rightarrow o$ **where**

$\text{directed}(A) == A \neq \emptyset \ \& \ (\forall x \in A. \ \forall y \in A. \ x \cup y \in A)$

definition

contin :: $(i \Rightarrow i) \Rightarrow o$ **where**

$\text{contin}(h) == (\forall A. \ \text{directed}(A) \longrightarrow h(\bigcup A) = (\bigcup X \in A. \ h(X)))$

lemma $bnd_mono_iterates_subset$: $[\![bnd_mono(D, h); n \in \text{nat}]\!] ==> h^{\wedge n}(0) \subseteq D$
 $\langle proof \rangle$

lemma $bnd_mono_increasing$ [rule_format]:

$[\![i \in \text{nat}; j \in \text{nat}; bnd_mono(D, h)]\!] ==> i \leq j \longrightarrow h^{\wedge i}(0) \subseteq h^{\wedge j}(0)$

$\langle proof \rangle$

lemma $directed_iterates$: $bnd_mono(D, h) ==> \text{directed}(\{h^{\wedge n}(0). \ n \in \text{nat}\})$
 $\langle proof \rangle$

lemma $contin_iterates_eq$:

$[\![bnd_mono(D, h); \text{contin}(h)]\!]$

$==> h(\bigcup_{n \in \text{nat}} h^{\wedge n}(0)) = (\bigcup_{n \in \text{nat}} h^{\wedge n}(0))$

$\langle proof \rangle$

lemma lfp_subset_Union :

$[\![bnd_mono(D, h); \text{contin}(h)]\!] ==> \text{lfp}(D, h) \subseteq (\bigcup_{n \in \text{nat}} h^{\wedge n}(0))$

$\langle proof \rangle$

lemma $Union_subset_lfp$:

$bnd_mono(D, h) ==> (\bigcup_{n \in \text{nat}} h^{\wedge n}(0)) \subseteq \text{lfp}(D, h)$

$\langle proof \rangle$

lemma lfp_eq_Union :

$[\![bnd_mono(D, h); \text{contin}(h)]\!] ==> \text{lfp}(D, h) = (\bigcup_{n \in \text{nat}} h^{\wedge n}(0))$

$\langle proof \rangle$

5.1.1 Some Standard Datatype Constructions Preserve Continuity

lemma $contin_imp_mono$: $[\![X \subseteq Y; \text{contin}(F)]\!] ==> F(X) \subseteq F(Y)$
 $\langle proof \rangle$

lemma sum_contin : $[\![\text{contin}(F); \text{contin}(G)]\!] ==> \text{contin}(\lambda X. F(X) + G(X))$
 $\langle proof \rangle$

lemma $prod_contin$: $[\![\text{contin}(F); \text{contin}(G)]\!] ==> \text{contin}(\lambda X. F(X) * G(X))$

$\langle proof \rangle$

lemma *const_contin*: $contin(\lambda X. A)$
 $\langle proof \rangle$

lemma *id_contin*: $contin(\lambda X. X)$
 $\langle proof \rangle$

5.2 Absoluteness for "Iterates"

definition

iterates_MH :: $[i=>o, [i,i]=>o, i, i, i, i] => o$ **where**
 $iterates_MH(M, isF, v, n, g, z) ==$
 $is_nat_case(M, v, \lambda m. u. \exists gm[M]. fun_apply(M, g, m, gm) \& isF(gm, u),$
 $n, z)$

definition

is_iterates :: $[i=>o, [i,i]=>o, i, i, i] => o$ **where**
 $is_iterates(M, isF, v, n, Z) ==$
 $\exists sn[M]. \exists msn[M]. successor(M, n, sn) \& membership(M, sn, msn) \&$
 $is_wfrec(M, iterates_MH(M, isF, v), msn, n, Z)$

definition

iterates_replacement :: $[i=>o, [i,i]=>o, i] => o$ **where**
 $iterates_replacement(M, isF, v) ==$
 $\forall n[M]. n \in nat \longrightarrow$
 $wfrec_replacement(M, iterates_MH(M, isF, v), Memrel(succ(n)))$

lemma (in M_basic) *iterates_MH_abs*:

$[\| relation1(M, isF, F); M(n); M(g); M(z) \|]$
 $\implies iterates_MH(M, isF, v, n, g, z) \longleftrightarrow z = nat_case(v, \lambda m. F(g^m), n)$
 $\langle proof \rangle$

lemma (in M_tranc) *iterates_imp_wfrec_replacement*:

$[\| relation1(M, isF, F); n \in nat; iterates_replacement(M, isF, v) \|]$
 $\implies wfrec_replacement(M, \lambda n f z. z = nat_case(v, \lambda m. F(f^m), n),$
 $Memrel(succ(n)))$
 $\langle proof \rangle$

theorem (in M_tranc) *iterates_abs*:

$[\| iterates_replacement(M, isF, v); relation1(M, isF, F);$
 $n \in nat; M(v); M(z); \forall x[M]. M(F(x)) \|]$
 $\implies is_iterates(M, isF, v, n, z) \longleftrightarrow z = iterates(F, n, v)$
 $\langle proof \rangle$

lemma (in M_tranc) *iterates_closed* [*intro,simp*]:

$[\| iterates_replacement(M, isF, v); relation1(M, isF, F);$
 $n \in nat; M(v); \forall x[M]. M(F(x)) \|]$

$\implies M(\text{iterates}(F, n, v))$
 $\langle \text{proof} \rangle$

5.3 lists without univ

lemmas datatype_univs = Inl_in_univ Inr_in_univ
 Pair_in_univ nat_into_univ A_into_univ

lemma list_fun_bnd_mono: $bnd_mono(univ(A), \lambda X. \{0\} + A*X)$
 $\langle \text{proof} \rangle$

lemma list_fun_contin: $contin(\lambda X. \{0\} + A*X)$
 $\langle \text{proof} \rangle$

Re-expresses lists using sum and product

lemma list_eq_lfp2: $list(A) = lfp(univ(A), \lambda X. \{0\} + A*X)$
 $\langle \text{proof} \rangle$

Re-expresses lists using "iterates", no univ.

lemma list_eq_Union:
 $list(A) = (\bigcup_{n \in \text{nat}} (\lambda X. \{0\} + A*X) \wedge_n (0))$
 $\langle \text{proof} \rangle$

definition

is_list_functor :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_list_functor(M, A, X, Z) ==$
 $\exists n1[M]. \exists AX[M].$
 $number1(M, n1) \& cartprod(M, A, X, AX) \& is_sum(M, n1, AX, Z)$

lemma (in M_basic) list_functor_abs [simp]:
 $\| M(A); M(X); M(Z) \| \implies is_list_functor(M, A, X, Z) \longleftrightarrow (Z = \{0\} + A*X)$
 $\langle \text{proof} \rangle$

5.4 formulas without univ

lemma formula_fun_bnd_mono:
 $bnd_mono(univ(0), \lambda X. ((nat*nat) + (nat*nat)) + (X*X + X))$
 $\langle \text{proof} \rangle$

lemma formula_fun_contin:
 $contin(\lambda X. ((nat*nat) + (nat*nat)) + (X*X + X))$
 $\langle \text{proof} \rangle$

Re-expresses formulas using sum and product

lemma formula_eq_lfp2:
 $formula = lfp(univ(0), \lambda X. ((nat*nat) + (nat*nat)) + (X*X + X))$
 $\langle \text{proof} \rangle$

Re-expresses formulas using "iterates", no univ.

lemma *formula_eq_Union*:

formula =

$(\bigcup_{n \in \text{nat}} (\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)) \wedge n(0))$

⟨proof⟩

definition

is_formula_functor :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**

is_formula_functor(*M,X,Z*) ==

$\exists \text{nat}'[\text{M}]. \exists \text{natnat}[\text{M}]. \exists \text{natnatsum}[\text{M}]. \exists \text{XX}[\text{M}]. \exists \text{X3}[\text{M}]$.

$\text{omega}(\text{M}, \text{nat}')$ & $\text{cartprod}(\text{M}, \text{nat}', \text{nat}', \text{natnat})$ &

$\text{is_sum}(\text{M}, \text{natnat}, \text{natnat}, \text{natnatsum})$ &

$\text{cartprod}(\text{M}, \text{X}, \text{X}, \text{XX})$ & $\text{is_sum}(\text{M}, \text{XX}, \text{X}, \text{X3})$ &

$\text{is_sum}(\text{M}, \text{natnatsum}, \text{X3}, \text{Z})$

lemma (*in M_trancl*) *formula_functor_abs* [*simp*]:

$[\text{M}(\text{X}); \text{M}(\text{Z})]$

$\Rightarrow \text{is_formula_functor}(\text{M}, \text{X}, \text{Z}) \longleftrightarrow$

$\text{Z} = ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (\text{X} * \text{X} + \text{X})$

⟨proof⟩

5.5 *M* Contains the List and Formula Datatypes

definition

list_N :: $[i, i] \Rightarrow i$ **where**

list_N(A,n) == $(\lambda X. \{0\} + A * X) \wedge n(0)$

lemma *Nil_in_list_N* [*simp*]: $[] \in \text{list_N}(\text{A}, \text{succ}(\text{n}))$

⟨proof⟩

lemma *Cons_in_list_N* [*simp*]:

$\text{Cons}(\text{a}, \text{l}) \in \text{list_N}(\text{A}, \text{succ}(\text{n})) \longleftrightarrow \text{a} \in \text{A} \wedge \text{l} \in \text{list_N}(\text{A}, \text{n})$

⟨proof⟩

These two aren't simprules because they reveal the underlying list representation.

lemma *list_N_0*: $\text{list_N}(\text{A}, 0) = 0$

⟨proof⟩

lemma *list_N_succ*: $\text{list_N}(\text{A}, \text{succ}(\text{n})) = \{0\} + \text{A} * (\text{list_N}(\text{A}, \text{n}))$

⟨proof⟩

lemma *list_N_imp_list*:

$[\text{l} \in \text{list_N}(\text{A}, \text{n}); \text{n} \in \text{nat}] \Rightarrow \text{l} \in \text{list}(\text{A})$

⟨proof⟩

lemma *list_N_imp_length_lt* [*rule_format*]:

$n \in \text{nat} \Rightarrow \forall \text{l} \in \text{list_N}(\text{A}, \text{n}). \text{length}(\text{l}) < n$

$\langle proof \rangle$

lemma *list imp list N [rule format]:*
 $l \in list(A) ==> \forall n \in nat. length(l) < n \longrightarrow l \in list_N(A, n)$

lemma *list N imp eq length:*
 $[\mid n \in nat; l \notin list_N(A, n); l \in list_N(A, succ(n))\mid]$
 $\implies n = length(l)$

$\langle proof \rangle$

Express *list rec* without using *rank* or *Vset*, neither of which is absolute.

lemma (in M_trivial) list_rec_eq:
 $l \in list(A) ==>$
 $list_rec(a, g, l) =$
 $transrec(succ(length(l)),$
 $\lambda x h. Lambda(list(A),$
 $list_case'(a,$
 $\lambda a l. g(a, l, h ` succ(length(l)) ` l)))) ` l$

$\langle proof \rangle$

definition

is_list_N :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_list_N(M, A, n, Z) ==$
 $\exists zero[M]. empty(M, zero) \&$
 $is_iterates(M, is_list_functor(M, A), zero, n, Z)$

definition

mem_list :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $mem_list(M, A, l) ==$
 $\exists n[M]. \exists listn[M].$
 $finite_ordinal(M, n) \& is_list_N(M, A, n, listn) \& l \in listn$

definition

is_list :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_list(M, A, Z) == \forall l[M]. l \in Z \longleftrightarrow mem_list(M, A, l)$

5.5.1 Towards Absoluteness of *formula_rec*

consts *depth* :: $i \Rightarrow i$

primrec

$depth(Member(x, y)) = 0$
 $depth(Equal(x, y)) = 0$
 $depth(Nand(p, q)) = succ(depth(p) \cup depth(q))$
 $depth(Forall(p)) = succ(depth(p))$

lemma *depth_type* [TC]: $p \in formula ==> depth(p) \in nat$

$\langle proof \rangle$

definition

formula_N :: i => i where
*formula_N(n) == (λX. ((nat*nat) + (nat*nat)) + (X*X + X)) ^ n (0)*

lemma Member_in_formula_N [simp]:

Member(x,y) ∈ formula_N(succ(n)) ↔ x ∈ nat & y ∈ nat
{proof}

lemma Equal_in_formula_N [simp]:

Equal(x,y) ∈ formula_N(succ(n)) ↔ x ∈ nat & y ∈ nat
{proof}

lemma Nand_in_formula_N [simp]:

Nand(x,y) ∈ formula_N(succ(n)) ↔ x ∈ formula_N(n) & y ∈ formula_N(n)
{proof}

lemma Forall_in_formula_N [simp]:

Forall(x) ∈ formula_N(succ(n)) ↔ x ∈ formula_N(n)
{proof}

These two aren't simprules because they reveal the underlying formula representation.

lemma formula_N_0: formula_N(0) = 0
{proof}

lemma formula_N_succ:

formula_N(succ(n)) =
*((nat*nat) + (nat*nat)) + (formula_N(n) * formula_N(n) + formula_N(n))*
{proof}

lemma formula_N_imp_formula:

[| p ∈ formula_N(n); n ∈ nat |] ==> p ∈ formula
{proof}

lemma formula_N_imp_depth_lt [rule_format]:

n ∈ nat ==> ∀ p ∈ formula_N(n). depth(p) < n
{proof}

lemma formula_imp_formula_N [rule_format]:

p ∈ formula ==> ∀ n ∈ nat. depth(p) < n → p ∈ formula_N(n)
{proof}

lemma formula_N_imp_eq_depth:

[| n ∈ nat; p ∉ formula_N(n); p ∈ formula_N(succ(n)) |]
==> n = depth(p)
{proof}

This result and the next are unused.

lemma *formula_N_mono* [*rule_format*]:
 $\lambda m \in \text{nat}; n \in \text{nat} \lambda \Rightarrow m \leq n \rightarrow \text{formula_N}(m) \subseteq \text{formula_N}(n)$
 $\langle \text{proof} \rangle$

lemma *formula_N_distrib*:
 $\lambda m \in \text{nat}; n \in \text{nat} \lambda \Rightarrow \text{formula_N}(m \cup n) = \text{formula_N}(m) \cup \text{formula_N}(n)$
 $\langle \text{proof} \rangle$

definition

is_formula_N :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{is_formula_N}(M, n, Z) ==$
 $\exists M. \text{empty}(M, zero) \&$
 $\text{is_iterates}(M, \text{is_formula_functor}(M), zero, n, Z)$

definition

mem_formula :: $[i \Rightarrow o, i] \Rightarrow o$ **where**
 $\text{mem_formula}(M, p) ==$
 $\exists n[M]. \exists formn[M].$
 $\text{finite_ordinal}(M, n) \& \text{is_formula_N}(M, n, formn) \& p \in formn$

definition

is_formula :: $[i \Rightarrow o, i] \Rightarrow o$ **where**
 $\text{is_formula}(M, Z) == \forall p[M]. p \in Z \longleftrightarrow \text{mem_formula}(M, p)$

5.5.2 Absoluteness of the List Construction

5.5.3 Absoluteness of Formulas

5.6 Absoluteness for ε -Closure: the *eclose* Operator

Re-expresses *eclose* using "iterates"

lemma *eclose_eq_Union*:
 $\text{eclose}(A) = (\bigcup_{n \in \text{nat}} \text{Union}^n(A))$
 $\langle \text{proof} \rangle$

definition

is_eclose_n :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $\text{is_eclose_n}(M, A, n, Z) == \text{is_iterates}(M, \text{big_union}(M), A, n, Z)$

definition

mem_eclose :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{mem_eclose}(M, A, l) ==$
 $\exists n[M]. \exists eclosen[M].$
 $\text{finite_ordinal}(M, n) \& \text{is_eclose_n}(M, A, n, eclosen) \& l \in eclosen$

definition

is_eclose :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{is_eclose}(M, A, Z) == \forall u[M]. u \in Z \longleftrightarrow \text{mem_eclose}(M, A, u)$

```

locale M_eclose = M_trancl +
assumes eclose_replacement1:
   $M(A) \implies \text{iterates\_replacement}(M, \text{big\_union}(M), A)$ 
and eclose_replacement2:
   $M(A) \implies \text{strong\_replacement}(M, \lambda n y. n \in \text{nat} \& \text{is\_iterates}(M, \text{big\_union}(M), A, n, y))$ 

lemma (in M_eclose) eclose_replacement2':
   $M(A) \implies \text{strong\_replacement}(M, \lambda n y. n \in \text{nat} \& y = \text{Union}^n(A))$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_eclose) eclose_closed [intro,simp]:
   $M(A) \implies M(\text{eclose}(A))$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_eclose) is_eclose_n_abs [simp]:
   $[\![M(A); n \in \text{nat}; M(Z)]\!] \implies \text{is\_eclose\_n}(M, A, n, Z) \longleftrightarrow Z = \text{Union}^n(A)$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_eclose) mem_eclose_abs [simp]:
   $M(A) \implies \text{mem\_eclose}(M, A, l) \longleftrightarrow l \in \text{eclose}(A)$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_eclose) eclose_abs [simp]:
   $[\![M(A); M(Z)]\!] \implies \text{is\_eclose}(M, A, Z) \longleftrightarrow Z = \text{eclose}(A)$ 
   $\langle \text{proof} \rangle$ 

```

5.7 Absoluteness for transrec

$\text{transrec}(a, H) \equiv \text{wfrec}(\text{Memrel}(\text{eclose}(\{a\})), a, H)$

definition

```

is_transrec ::  $[i \Rightarrow o, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$  where
   $\text{is\_transrec}(M, MH, a, z) ==$ 
     $\exists sa[M]. \exists esa[M]. \exists mesa[M].$ 
     $\text{upair}(M, a, a, sa) \& \text{is\_eclose}(M, sa, esa) \& \text{membership}(M, esa, mesa) \&$ 
     $\text{is\_wfrec}(M, MH, mesa, a, z)$ 

```

definition

```

transrec_replacement ::  $[i \Rightarrow o, [i, i, i] \Rightarrow o, i] \Rightarrow o$  where
   $\text{transrec\_replacement}(M, MH, a) ==$ 
     $\exists sa[M]. \exists esa[M]. \exists mesa[M].$ 
     $\text{upair}(M, a, a, sa) \& \text{is\_eclose}(M, sa, esa) \& \text{membership}(M, esa, mesa) \&$ 
     $\text{wfrec\_replacement}(M, MH, mesa)$ 

```

The condition $\text{Ord}(i)$ lets us use the simpler trans_wfrec_abs rather than trans_wfrec_abs , which I haven't even proved yet.

theorem (**in** M_eclose) transrec_abs:

```

[| transrec_replacement(M,MH,i); relation2(M,MH,H);
   Ord(i); M(i); M(z);
   ∀ x[M]. ∀ g[M]. function(g) → M(H(x,g))|]
==> is_transrec(M,MH,i,z) ↔ z = transrec(i,H)
⟨proof⟩

```

theorem (in M_eclose) $transrec_closed$:

```

[| transrec_replacement(M,MH,i); relation2(M,MH,H);
   Ord(i); M(i);
   ∀ x[M]. ∀ g[M]. function(g) → M(H(x,g))|]
==> M(transrec(i,H))
⟨proof⟩

```

Helps to prove instances of $transrec_replacement$

lemma (in M_eclose) $transrec_replacementI$:

```

[| M(a);
   strong_replacement(M,
      λx z. ∃ y[M]. pair(M, x, y, z) &
      is_wfrec(M,MH,Memrel(eclose({a})),x,y))|]
==> transrec_replacement(M,MH,a)
⟨proof⟩

```

5.8 Absoluteness for the List Operator $length$

But it is never used.

definition

```

is_length :: [i=>o,i,i,i] => o where
is_length(M,A,l,n) ==
  ∃ sn[M]. ∃ list_n[M]. ∃ list_sn[M].
  is_list_N(M,A,n,list_n) & l ∉ list_n &
  successor(M,n,sn) & is_list_N(M,A,sn,list_sn) & l ∈ list_sn

```

Proof is trivial since $length$ returns natural numbers.

lemma (in $M_trivial$) $length_closed$ [intro,simp]:

```

l ∈ list(A) ==> M(length(l))
⟨proof⟩

```

5.9 Absoluteness for the List Operator nth

lemma $nth_eq_hd_iterates_tl$ [rule_format]:

```

xs ∈ list(A) ==> ∀ n ∈ nat. nth(n,xs) = hd' (tl'^n (xs))
⟨proof⟩

```

lemma (in M_basic) $iterates_tl'_closed$:

```

[| n ∈ nat; M(x)|] ==> M(tl'^n (x))
⟨proof⟩

```

Immediate by type-checking

lemma (in M_tranc) nth_closed [intro,simp]:
 $\llbracket [xs \in list(A); n \in nat; M(A)] \rrbracket ==> M(nth(n, xs))$
 $\langle proof \rangle$

5.10 Relativization and Absoluteness for the formula Constructors

definition

$is_Member :: [i=>o,i,i,i] ==> o$ **where**
— because $Member(x, y) \equiv Inl(Inl(\langle x, y \rangle))$
 $is_Member(M, x, y, Z) ==$
 $\exists p[M]. \exists u[M]. pair(M, x, y, p) \& is_Inl(M, p, u) \& is_Inl(M, u, Z)$

lemma (in $M_trivial$) $Member_abs$ [simp]:
 $\llbracket [M(x); M(y); M(Z)] \rrbracket ==> is_Member(M, x, y, Z) \longleftrightarrow (Z = Member(x, y))$
 $\langle proof \rangle$

lemma (in $M_trivial$) $Member_in_M_iff$ [iff]:
 $M(Member(x, y)) \longleftrightarrow M(x) \& M(y)$
 $\langle proof \rangle$

definition

$is_Equal :: [i=>o,i,i,i] ==> o$ **where**
— because $Equal(x, y) \equiv Inl(Inr(\langle x, y \rangle))$
 $is_Equal(M, x, y, Z) ==$
 $\exists p[M]. \exists u[M]. pair(M, x, y, p) \& is_Inr(M, p, u) \& is_Inl(M, u, Z)$

lemma (in $M_trivial$) $Equal_abs$ [simp]:
 $\llbracket [M(x); M(y); M(Z)] \rrbracket ==> is_Equal(M, x, y, Z) \longleftrightarrow (Z = Equal(x, y))$
 $\langle proof \rangle$

lemma (in $M_trivial$) $Equal_in_M_iff$ [iff]: $M(Equal(x, y)) \longleftrightarrow M(x) \& M(y)$
 $\langle proof \rangle$

definition

$is_Nand :: [i=>o,i,i,i] ==> o$ **where**
— because $Nand(x, y) \equiv Inr(Inl(\langle x, y \rangle))$
 $is_Nand(M, x, y, Z) ==$
 $\exists p[M]. \exists u[M]. pair(M, x, y, p) \& is_Inl(M, p, u) \& is_Inr(M, u, Z)$

lemma (in $M_trivial$) $Nand_abs$ [simp]:
 $\llbracket [M(x); M(y); M(Z)] \rrbracket ==> is_Nand(M, x, y, Z) \longleftrightarrow (Z = Nand(x, y))$
 $\langle proof \rangle$

lemma (in $M_trivial$) $Nand_in_M_iff$ [iff]: $M(Nand(x, y)) \longleftrightarrow M(x) \& M(y)$
 $\langle proof \rangle$

definition

$is_Forall :: [i=>o,i,i] ==> o$ **where**

— because $\text{Forall}(x) \equiv \text{Inr}(\text{Inr}(p))$
 $\text{is_Forall}(M, p, Z) == \exists u[M]. \text{is_Inr}(M, p, u) \& \text{is_Inr}(M, u, Z)$

lemma (in M_{trivial}) Forall_abs [simp]:
 $[\![M(x); M(Z)]\!] ==> \text{is_Forall}(M, x, Z) \longleftrightarrow (Z = \text{Forall}(x))$
 $\langle \text{proof} \rangle$

lemma (in M_{trivial}) Forall_in_M_iff [iff]: $M(\text{Forall}(x)) \longleftrightarrow M(x)$
 $\langle \text{proof} \rangle$

5.11 Absoluteness for formula_rec

definition

$\text{formula_rec_case} :: [[i,i] \Rightarrow i, [i,i] \Rightarrow i, [i,i,i,i] \Rightarrow i, [i,i] \Rightarrow i, i, i] \Rightarrow i \text{ where}$
 — the instance of formula_case in formula_rec
 $\text{formula_rec_case}(a,b,c,d,h) ==$
 $\quad \text{formula_case}(a, b,$
 $\quad \quad \lambda u. v. c(u, v, h \cdot \text{succ}(\text{depth}(u)) \cdot u,$
 $\quad \quad \quad h \cdot \text{succ}(\text{depth}(v)) \cdot v),$
 $\quad \quad \lambda u. d(u, h \cdot \text{succ}(\text{depth}(u)) \cdot u))$

Unfold formula_rec to formula_rec_case . Express formula_rec without using rank or Vset , neither of which is absolute.

lemma (in M_{trivial}) formula_rec_eq :
 $p \in \text{formula} ==>$
 $\text{formula_rec}(a,b,c,d,p) =$
 $\text{transrec}(\text{succ}(\text{depth}(p)),$
 $\quad \lambda x. \text{Lambda}(\text{formula}, \text{formula_rec_case}(a,b,c,d,h))) \cdot p$
 $\langle \text{proof} \rangle$

5.11.1 Absoluteness for the Formula Operator depth

definition

$\text{is_depth} :: [i \Rightarrow o, i, i] \Rightarrow o \text{ where}$
 $\text{is_depth}(M, p, n) ==$
 $\exists sn[M]. \exists formula_n[M]. \exists formula_sn[M].$
 $\text{is_formula_N}(M, n, formula_n) \& p \notin formula_n \&$
 $\text{successor}(M, n, sn) \& \text{is_formula_N}(M, sn, formula_sn) \& p \in formula_sn$

Proof is trivial since depth returns natural numbers.

lemma (in M_{trivial}) depth_closed [intro,simp]:
 $p \in \text{formula} ==> M(\text{depth}(p))$
 $\langle \text{proof} \rangle$

end

6 Some enhanced theorems on recursion

```

theory Recursion_Thms
imports Eclose_Absolute

begin

hide_const (open) Order.pred

— Removing arities from inherited simpset
declare arity_And [simp del] arity_Or[simp del] arity_Implies[simp del]
arity_Exists[simp del] arity_Iff[simp del]
arity_subset_fm [simp del] arity_ordinal_fm[simp del] arity_transset_fm[simp
del]

We prove results concerning definitions by well-founded recursion on some
relation  $R$  and its transitive closure  $R^{\hat{*}}$ 

lemma fld_restrict_eq :  $a \in A \implies (r \cap A \times A)^{-\{\{a\}\}} = (r^{-\{\{a\}\}} \cap A)$ 
⟨proof⟩

lemma fld_restrict_mono : relation(r)  $\implies A \subseteq B \implies r \cap A \times A \subseteq r \cap B \times B$ 
⟨proof⟩

lemma fld_restrict_dom :
assumes relation(r) domain(r)  $\subseteq A$  range(r)  $\subseteq A$ 
shows  $r \cap A \times A = r$ 
⟨proof⟩

definition tr_down ::  $[i,i] \Rightarrow i$ 
where  $tr\_down(r,a) = (r^{\hat{+}})^{-\{\{a\}\}}$ 

lemma tr_downD :  $x \in tr\_down(r,a) \implies \langle x,a \rangle \in r^{\hat{+}}$ 
⟨proof⟩

lemma pred_down : relation(r)  $\implies r^{-\{\{a\}\}} \subseteq tr\_down(r,a)$ 
⟨proof⟩

lemma tr_down_mono : relation(r)  $\implies x \in r^{-\{\{a\}\}} \implies tr\_down(r,x) \subseteq tr\_down(r,a)$ 
⟨proof⟩

lemma rest_eq :
assumes relation(r) and  $r^{-\{\{a\}\}} \subseteq B$  and  $a \in B$ 
shows  $r^{-\{\{a\}\}} = (r \cap B \times B)^{-\{\{a\}\}}$ 
⟨proof⟩

lemma wfrec_restr_eq :  $r' = r \cap A \times A \implies wfrec[A](r,a,H) = wfrec(r',a,H)$ 
⟨proof⟩

lemma wfrec_restr :

```

assumes $rr: relation(r)$ **and** $wfr: wf(r)$
shows $a \in A \implies tr_down(r, a) \subseteq A \implies wfrec(r, a, H) = wfrec[A](r, a, H)$
 $\langle proof \rangle$

lemmas $wfrec_tr_down = wfrec_restr[OF \dots subset_refl]$

lemma $wfrec_trans_restr : relation(r) \implies wf(r) \implies trans(r) \implies r^{-\{\{a\}\} \subseteq A} \implies$
 $a \in A \implies$
 $wfrec(r, a, H) = wfrec[A](r, a, H)$
 $\langle proof \rangle$

lemma $field_trancl : field(r^+) = field(r)$
 $\langle proof \rangle$

definition

$Rrel :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$ **where**
 $Rrel(R, A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x, y)\}$

lemma $RrelI : x \in A \implies y \in A \implies R(x, y) \implies \langle x, y \rangle \in Rrel(R, A)$
 $\langle proof \rangle$

lemma $Rrel_mem : Rrel(mem, x) = Memrel(x)$
 $\langle proof \rangle$

lemma $relation_Rrel : relation(Rrel(R, d))$
 $\langle proof \rangle$

lemma $field_Rrel : field(Rrel(R, d)) \subseteq d$
 $\langle proof \rangle$

lemma $Rrel_mono : A \subseteq B \implies Rrel(R, A) \subseteq Rrel(R, B)$
 $\langle proof \rangle$

lemma $Rrel_restr_eq : Rrel(R, A) \cap B \times B = Rrel(R, A \cap B)$
 $\langle proof \rangle$

lemma $field_Memrel : field(Memrel(A)) \subseteq A$
 $\langle proof \rangle$

lemma $restrict_trancl_Rrel :$
assumes $R(w, y)$
shows $restrict(f, Rrel(R, d) - \{\{y\}\}) w$
 $= restrict(f, (Rrel(R, d)^+) - \{\{y\}\}) w$
 $\langle proof \rangle$

lemma $restrict_trans_eq :$
assumes $w \in y$
shows $restrict(f, Memrel(eclose(\{x\})) - \{\{y\}\}) w$
 $= restrict(f, (Memrel(eclose(\{x\}))^+) - \{\{y\}\}) w$

$\langle proof \rangle$

```

lemma wf_eq_tranc:
  assumes  $\bigwedge f y . H(y, \text{restrict}(f, R - \{y\})) = H(y, \text{restrict}(f, R \setminus \{y\}))$ 
  shows wfrec(R, x, H) = wfrec(R \setminus, x, H) (is wfrec(?r, __, __) = wfrec(?r', __, __))
   $\langle proof \rangle$ 

lemma transrec_equal_on_Ord:
  assumes
     $\bigwedge x f . Ord(x) \implies foo(x, f) = bar(x, f)$ 
     $Ord(\alpha)$ 
  shows
    transrec( $\alpha$ , foo) = transrec( $\alpha$ , bar)
   $\langle proof \rangle$ 
lemma (in M_eclose) transrec_equal_on_M:
  assumes
     $\bigwedge x f . M(x) \implies M(f) \implies foo(x, f) = bar(x, f)$ 
     $\bigwedge \beta . M(\beta) \implies \text{transrec\_replacement}(M, is\_foo, \beta) \text{ relation2}(M, is\_foo, foo)$ 
    strong_replacement(M,  $\lambda x y . y = \langle x, \text{transrec}(x, foo) \rangle$ )
     $\forall x[M] . \forall g[M] . \text{function}(g) \longrightarrow M(\text{foo}(x, g))$ 
     $M(\alpha) Ord(\alpha)$ 
  shows
    transrec( $\alpha$ , foo) = transrec( $\alpha$ , bar)
   $\langle proof \rangle$ 

```

```

lemma ordermap_restr_eq:
  assumes well_ord(X, r)
  shows ordermap(X, r) = ordermap(X,  $r \cap X \times X$ )
   $\langle proof \rangle$ 

```

end

7 Absoluteness Properties for Recursive Datatypes

```

theory Datatype_absolute imports Eclose_Absolute begin

locale M_datatypes = M_tranc +
  assumes list_replacement1:
     $M(A) \implies \text{iterates\_replacement}(M, is\_list\_functor(M, A), 0)$ 
  and list_replacement2:
     $M(A) \implies \text{strong\_replacement}(M, \lambda n y . n \in \mathbb{N} \& is\_iterates(M, is\_list\_functor(M, A), 0, n, y))$ 
  and formula_replacement1:
     $\text{iterates\_replacement}(M, is\_formula\_functor(M), 0)$ 
  and formula_replacement2:
     $\text{strong\_replacement}(M, \lambda n y . n \in \mathbb{N} \& is\_iterates(M, is\_formula\_functor(M), 0, n, y))$ 
  and nth_replacement:

```

$M(l) ==> \text{iterates_replacement}(M, \%l t. \text{is_tl}(M,l,t), l)$

7.0.1 Absoluteness of the List Construction

lemma (in M_datatypes) list_replacement2':
 $M(A) ==> \text{strong_replacement}(M, \lambda n y. n \in \text{nat} \ \& \ y = (\lambda X. \{0\} + A * X) \hat{n} (0))$
 $\langle \text{proof} \rangle$

lemma (in M_datatypes) list_closed [intro,simp]:
 $M(A) ==> M(\text{list}(A))$
 $\langle \text{proof} \rangle$

WARNING: use only with *dest*: or with variables fixed!

lemmas (in M_datatypes) list_into_M = transM [OF _ list_closed]

lemma (in M_datatypes) list_N_abs [simp]:
 $[\|M(A); n \in \text{nat}; M(Z)\|] ==> \text{is_list_N}(M, A, n, Z) \longleftrightarrow Z = \text{list_N}(A, n)$
 $\langle \text{proof} \rangle$

lemma (in M_datatypes) list_N_closed [intro,simp]:
 $[\|M(A); n \in \text{nat}\|] ==> M(\text{list_N}(A, n))$
 $\langle \text{proof} \rangle$

lemma (in M_datatypes) mem_list_abs [simp]:
 $M(A) ==> \text{mem_list}(M, A, l) \longleftrightarrow l \in \text{list}(A)$
 $\langle \text{proof} \rangle$

lemma (in M_datatypes) list_abs [simp]:
 $[\|M(A); M(Z)\|] ==> \text{is_list}(M, A, Z) \longleftrightarrow Z = \text{list}(A)$
 $\langle \text{proof} \rangle$

7.0.2 Absoluteness of Formulas

lemma (in M_datatypes) formula_replacement2':
 $\text{strong_replacement}(M, \lambda n y. n \in \text{nat} \ \& \ y = (\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)) \hat{n} (0))$
 $\langle \text{proof} \rangle$

lemma (in M_datatypes) formula_closed [intro,simp]:
 $M(\text{formula})$
 $\langle \text{proof} \rangle$

lemmas (in M_datatypes) formula_into_M = transM [OF _ formula_closed]

lemma (in M_datatypes) formula_N_abs [simp]:
 $[\|n \in \text{nat}; M(Z)\|] ==> \text{is_formula_N}(M, n, Z) \longleftrightarrow Z = \text{formula_N}(n)$
 $\langle \text{proof} \rangle$

```

lemma (in M_datatypes) formula_N_closed [intro,simp]:
   $n \in \text{nat} \implies M(\text{formula\_N}(n))$ 
   $\langle proof \rangle$ 

lemma (in M_datatypes) mem_formula_abs [simp]:
   $\text{mem\_formula}(M, l) \longleftrightarrow l \in \text{formula}$ 
   $\langle proof \rangle$ 

lemma (in M_datatypes) formula_abs [simp]:
   $[|M(Z)|] \implies \text{is\_formula}(M, Z) \longleftrightarrow Z = \text{formula}$ 
   $\langle proof \rangle$ 

lemma (in M_datatypes) length_abs [simp]:
   $[|M(A); l \in \text{list}(A); n \in \text{nat}|] \implies \text{is\_length}(M, A, l, n) \longleftrightarrow n = \text{length}(l)$ 
   $\langle proof \rangle$ 

```

definition

```

is_nth :: [i=>o,i,i,i] => o where
is_nth(M,n,l,Z) ==
   $\exists X[M]. \text{is\_iterates}(M, \text{is\_tl}(M), l, n, X) \& \text{is\_hd}(M, X, Z)$ 

```

```

lemma (in M_datatypes) nth_abs [simp]:
   $[|M(A); n \in \text{nat}; l \in \text{list}(A); M(Z)|]$ 
   $\implies \text{is\_nth}(M, n, l, Z) \longleftrightarrow Z = \text{nth}(n, l)$ 
   $\langle proof \rangle$ 

```

```

lemma (in M_datatypes) depth_abs [simp]:
   $[|p \in \text{formula}; n \in \text{nat}|] \implies \text{is\_depth}(M, p, n) \longleftrightarrow n = \text{depth}(p)$ 
   $\langle proof \rangle$ 

```

7.0.3 is_formula_case: relativization of formula_case

definition

```

is_formula_case ::
  [i=>o, [i,i,i]=>o, [i,i,i]=>o, [i,i,i]=>o, i, i] => o where
  — no constraint on non-formulas
  is_formula_case(M, is_a, is_b, is_c, is_d, p, z) ==
    ( $\forall x[M]. \forall y[M]. \text{finite\_ordinal}(M, x) \longrightarrow \text{finite\_ordinal}(M, y) \longrightarrow$ 
      is_Member(M, x, y, p)  $\longrightarrow \text{is\_a}(x, y, z)$ ) &
    ( $\forall x[M]. \forall y[M]. \text{finite\_ordinal}(M, x) \longrightarrow \text{finite\_ordinal}(M, y) \longrightarrow$ 
      is_Equal(M, x, y, p)  $\longrightarrow \text{is\_b}(x, y, z)$ ) &
    ( $\forall x[M]. \forall y[M]. \text{mem\_formula}(M, x) \longrightarrow \text{mem\_formula}(M, y) \longrightarrow$ 

```

$$\begin{aligned} & \text{is_Nand}(M, x, y, p) \longrightarrow \text{is_c}(x, y, z)) \ \& \\ (\forall x[M]. \text{mem_formula}(M, x) \longrightarrow \text{is_Forall}(M, x, p) \longrightarrow \text{is_d}(x, z)) \end{aligned}$$

lemma (in $M_\text{datatypes}$) formula_case_abs [simp]:

- [| Relation2($M, \text{nat}, \text{nat}, \text{is_a}, a$); Relation2($M, \text{nat}, \text{nat}, \text{is_b}, b$);
- Relation2($M, \text{formula}, \text{formula}, \text{is_c}, c$); Relation1($M, \text{formula}, \text{is_d}, d$);
- $p \in \text{formula}; M(z) []$

$$==> \text{is_formula_case}(M, \text{is_a}, \text{is_b}, \text{is_c}, \text{is_d}, p, z) \longleftrightarrow$$

$$z = \text{formula_case}(a, b, c, d, p)$$

$\langle \text{proof} \rangle$

lemma (in $M_\text{datatypes}$) formula_case_closed [intro,simp]:

- [| $p \in \text{formula}$;
- $\forall x[M]. \forall y[M]. x \in \text{nat} \longrightarrow y \in \text{nat} \longrightarrow M(a(x, y))$;
- $\forall x[M]. \forall y[M]. x \in \text{nat} \longrightarrow y \in \text{nat} \longrightarrow M(b(x, y))$;
- $\forall x[M]. \forall y[M]. x \in \text{formula} \longrightarrow y \in \text{formula} \longrightarrow M(c(x, y))$;
- $\forall x[M]. x \in \text{formula} \longrightarrow M(d(x)) []$

$$==> M(\text{formula_case}(a, b, c, d, p))$$

$\langle \text{proof} \rangle$

7.0.4 Absoluteness for formula_rec : Final Results

definition

$\text{is_formula_rec} :: [i \Rightarrow o, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**

- predicate to relativize the functional formula_rec
- $\text{is_formula_rec}(M, MH, p, z) ==$
- $\exists dp[M]. \exists i[M]. \exists f[M]. \text{finite_ordinal}(M, dp) \ \& \ \text{is_depth}(M, p, dp) \ \&$
- $\text{successor}(M, dp, i) \ \& \ \text{fun_apply}(M, f, p, z) \ \& \ \text{is_transrec}(M, MH, i, f)$

Sufficient conditions to relativize the instance of formula_case in formula_rec

lemma (in $M_\text{datatypes}$) Relation1_formula_rec_case:

- [| Relation2($M, \text{nat}, \text{nat}, \text{is_a}, a$);
- Relation2($M, \text{nat}, \text{nat}, \text{is_b}, b$);
- Relation2($M, \text{formula}, \text{formula}$,
- $\text{is_c}, \lambda u v. c(u, v, h \cdot \text{succ}(\text{depth}(u)) \cdot u, h \cdot \text{succ}(\text{depth}(v)) \cdot v)$);
- Relation1($M, \text{formula}$,
- $\text{is_d}, \lambda u. d(u, h \cdot \text{succ}(\text{depth}(u)) \cdot u)$);
- $M(h) []$

$$==> \text{Relation1}(M, \text{formula},$$

$$\text{is_formula_case}(M, \text{is_a}, \text{is_b}, \text{is_c}, \text{is_d}),$$

$$\text{formula_rec_case}(a, b, c, d, h))$$

$\langle \text{proof} \rangle$

This locale packages the premises of the following theorems, which is the normal purpose of locales. It doesn't accumulate constraints on the class M , as in most of this development.

locale Formula_Rec = $M_\text{eclose} + M_\text{datatypes} +$
fixes a and is_a and b and is_b and c and is_c and d and is_d and MH
defines
 $MH(u::i,f,z) ==$

```

 $\forall fml[M]. \text{is\_formula}(M, fml) \longrightarrow$ 
 $\quad \quad \quad \text{is\_lambda}$ 
 $(M, fml, \text{is\_formula\_case } (M, \text{is\_a}, \text{is\_b}, \text{is\_c}(f), \text{is\_d}(f)), z)$ 

assumes  $a\_closed: [|x \in \text{nat}; y \in \text{nat}|] ==> M(a(x, y))$ 
and  $a\_rel: \text{Relation2}(M, \text{nat}, \text{nat}, \text{is\_a}, a)$ 
and  $b\_closed: [|x \in \text{nat}; y \in \text{nat}|] ==> M(b(x, y))$ 
and  $b\_rel: \text{Relation2}(M, \text{nat}, \text{nat}, \text{is\_b}, b)$ 
and  $c\_closed: [|x \in \text{formula}; y \in \text{formula}; M(gx); M(gy)|]$ 
 $\quad \quad \quad ==> M(c(x, y, gx, gy))$ 
and  $c\_rel:$ 
 $M(f) ==>$ 
 $\quad \quad \quad \text{Relation2 } (M, \text{formula}, \text{formula}, \text{is\_c}(f),$ 
 $\quad \quad \quad \lambda u v. c(u, v, f \cdot \text{succ}(\text{depth}(u)) \cdot u, f \cdot \text{succ}(\text{depth}(v)) \cdot v))$ 
and  $d\_closed: [|x \in \text{formula}; M(gx)|] ==> M(d(x, gx))$ 
and  $d\_rel:$ 
 $M(f) ==>$ 
 $\quad \quad \quad \text{Relation1}(M, \text{formula}, \text{is\_d}(f), \lambda u. d(u, f \cdot \text{succ}(\text{depth}(u)) \cdot u))$ 
and  $fr\_replace: n \in \text{nat} ==> \text{transrec\_replacement}(M, MH, n)$ 
and  $fr\_lam\_replace:$ 
 $M(g) ==>$ 
 $\quad \quad \quad \text{strong\_replacement}$ 
 $\quad \quad \quad (M, \lambda x y. x \in \text{formula} \&$ 
 $\quad \quad \quad y = \langle x, \text{formula\_rec\_case}(a, b, c, d, g, x) \rangle)$ 

lemma (in Formula_Rec) formula_rec_case_closed:
 $[|M(g); p \in \text{formula}|] ==> M(\text{formula\_rec\_case}(a, b, c, d, g, p))$ 
 $\langle proof \rangle$ 

lemma (in Formula_Rec) formula_rec_lam_closed:
 $M(g) ==> M(\text{Lambda } (\text{formula}, \text{formula\_rec\_case}(a, b, c, d, g)))$ 
 $\langle proof \rangle$ 

lemma (in Formula_Rec) MH_rel2:
 $\text{relation2 } (M, MH,$ 
 $\quad \quad \quad \lambda x h. \text{Lambda } (\text{formula}, \text{formula\_rec\_case}(a, b, c, d, h)))$ 
 $\langle proof \rangle$ 

lemma (in Formula_Rec) fr_transrec_closed:
 $n \in \text{nat}$ 
 $\quad \quad \quad ==> M(\text{transrec}$ 
 $\quad \quad \quad (n, \lambda x h. \text{Lambda}(\text{formula}, \text{formula\_rec\_case}(a, b, c, d, h))))$ 
 $\langle proof \rangle$ 

```

The main two results: formula_rec is absolute for M .

```

theorem (in Formula_Rec) formula_rec_closed:
 $p \in \text{formula} ==> M(\text{formula\_rec}(a, b, c, d, p))$ 
 $\langle proof \rangle$ 

```

```

theorem (in Formula_Rec) formula_rec_abs:
  [| p ∈ formula; M(z)|]
  ==> is_formula_rec(M,MH,p,z) ←→ z = formula_rec(a,b,c,d,p)
  ⟨proof⟩

```

end

theory Internalize imports ZF-Constructible.L_axioms Eclose_Absolute begin

7.1 Internalized Forms of Data Structuring Operators

7.1.1 The Formula *is_Inl*, Internalized

definition

```

Inl_fm :: [i,i]=>i where
  Inl_fm(a,z) == Exists(And(empty_fm(0), pair_fm(0,succ(a),succ(z))))

```

lemma Inl_type [TC]:

```

  [| x ∈ nat; z ∈ nat |] ==> Inl_fm(x,z) ∈ formula
  ⟨proof⟩

```

lemma sats_Inl_fm [simp]:

```

  [| x ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, Inl_fm(x,z), env) ←→ is_Inl(##A, nth(x,env), nth(z,env))
  ⟨proof⟩

```

lemma Inl_iff_sats:

```

  [| nth(i,env) = x; nth(k,env) = z;
     i ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> is_Inl(##A, x, z) ←→ sats(A, Inl_fm(i,k), env)
  ⟨proof⟩

```

theorem Inl_reflection:

```

  REFLECTS[λx. is_Inl(L,f(x),h(x)),
            λi x. is_Inl(##Lset(i),f(x),h(x))]
  ⟨proof⟩

```

7.1.2 The Formula *is_Inr*, Internalized

definition

```

Inr_fm :: [i,i]=>i where
  Inr_fm(a,z) == Exists(And(number1_fm(0), pair_fm(0,succ(a),succ(z))))

```

lemma Inr_type [TC]:

```

  [| x ∈ nat; z ∈ nat |] ==> Inr_fm(x,z) ∈ formula
  ⟨proof⟩

```

lemma sats_Inr_fm [simp]:

$\left[\begin{array}{l} \mid x \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$
 $\implies \text{sats}(A, \text{Inr_fm}(x, z), \text{env}) \longleftrightarrow \text{is_Inr}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(z, \text{env}))$
 $\langle \text{proof} \rangle$

lemma *Inr_ifs_sats*:

$\left[\begin{array}{l} \mid \text{nth}(i, \text{env}) = x; \text{nth}(k, \text{env}) = z; \\ i \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$
 $\implies \text{is_Inr}(\#\#A, x, z) \longleftrightarrow \text{sats}(A, \text{Inr_fm}(i, k), \text{env})$
 $\langle \text{proof} \rangle$

theorem *Inr_reflection*:

$\text{REFLECTS}[\lambda x. \text{is_Inr}(L, f(x), h(x)),$
 $\lambda i x. \text{is_Inr}(\#\#L\text{set}(i), f(x), h(x))]$

$\langle \text{proof} \rangle$

7.1.3 The Formula *is_Nil*, Internalized

definition

$\text{Nil_fm} :: i \Rightarrow i \text{ where}$
 $\text{Nil_fm}(x) == \text{Exists}(\text{And}(\text{empty_fm}(0), \text{Inl_fm}(0, \text{succ}(x))))$

lemma *Nil_type* [TC]: $x \in \text{nat} \implies \text{Nil_fm}(x) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma *sats_Nil_fm* [simp]:

$\left[\begin{array}{l} \mid x \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$
 $\implies \text{sats}(A, \text{Nil_fm}(x), \text{env}) \longleftrightarrow \text{is_Nil}(\#\#A, \text{nth}(x, \text{env}))$
 $\langle \text{proof} \rangle$

lemma *Nil_ifs_sats*:

$\left[\begin{array}{l} \mid \text{nth}(i, \text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$
 $\implies \text{is_Nil}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{Nil_fm}(i), \text{env})$
 $\langle \text{proof} \rangle$

theorem *Nil_reflection*:

$\text{REFLECTS}[\lambda x. \text{is_Nil}(L, f(x)),$
 $\lambda i x. \text{is_Nil}(\#\#L\text{set}(i), f(x))]$

$\langle \text{proof} \rangle$

7.1.4 The Formula *is_Cons*, Internalized

definition

$\text{Cons_fm} :: [i, i, i] \Rightarrow i \text{ where}$
 $\text{Cons_fm}(a, l, Z) ==$
 $\text{Exists}(\text{And}(\text{pair_fm}(\text{succ}(a), \text{succ}(l), 0), \text{Inr_fm}(0, \text{succ}(Z))))$

lemma *Cons_type* [TC]:

$\left[\begin{array}{l} \mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \end{array} \right] \implies \text{Cons_fm}(x, y, z) \in \text{formula}$
 $\langle \text{proof} \rangle$

```

lemma sats_Cons_fm [simp]:
  [| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, Cons_fm(x,y,z), env) ↔
    is_Cons(##A, nth(x,env), nth(y,env), nth(z,env))
  ⟨proof⟩

lemma Cons_iff_sats:
  [| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
     i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> is_Cons(##A, x, y, z) ↔ sats(A, Cons_fm(i,j,k), env)
  ⟨proof⟩

theorem Cons_reflection:
  REFLECTS[λx. is_Cons(L,f(x),g(x),h(x)),
            λi x. is_Cons(##Lset(i),f(x),g(x),h(x))]
  ⟨proof⟩

```

7.1.5 The Formula *is_quasilist*, Internalized

definition

```

quasilist_fm :: i=>i where
  quasilist_fm(x) ==
    Or(Nil_fm(x), Exists(Exists(Cons_fm(1,0,succ(succ(x))))))

```

```

lemma quasilist_type [TC]: x ∈ nat ==> quasilist_fm(x) ∈ formula
  ⟨proof⟩

```

```

lemma sats_quasilist_fm [simp]:
  [| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, quasilist_fm(x), env) ↔ is_quasilist(##A, nth(x,env))
  ⟨proof⟩

```

```

lemma quasilist_iff_sats:
  [| nth(i,env) = x; i ∈ nat; env ∈ list(A)|]
  ==> is_quasilist(##A, x) ↔ sats(A, quasilist_fm(i), env)
  ⟨proof⟩

```

```

theorem quasilist_reflection:
  REFLECTS[λx. is_quasilist(L,f(x)),
            λi x. is_quasilist(##Lset(i),f(x))]
  ⟨proof⟩

```

7.2 Absoluteness for the Function *nth*

7.2.1 The Formula *is_hd*, Internalized

definition

```

hd_fm :: [i,i]=>i where
  hd_fm(xs,H) ==
    And(Implies(Nil_fm(xs), empty_fm(H)),
        ...

```

$\text{And}(\text{Forall}(\text{Forall}(\text{Or}(\text{Neg}(\text{Cons_fm}(1,0, \text{xs}\#\#2)), \text{Equal}(H\#\#2,1))), \text{Or}(\text{quasilist_fm}(\text{xs}), \text{empty_fm}(H))))$

lemma hd_type [TC]:

$\text{[} x \in \text{nat}; y \in \text{nat} \text{]} \implies \text{hd_fm}(x,y) \in \text{formula}$

$\langle \text{proof} \rangle$

lemma sats_hd_fm [simp]:

$\text{[} x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$

$\implies \text{sats}(A, \text{hd_fm}(x,y), \text{env}) \longleftrightarrow \text{is_hd}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$

$\langle \text{proof} \rangle$

lemma hd_iff_sats :

$\text{[} \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$

$i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$

$\implies \text{is_hd}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{hd_fm}(i,j), \text{env})$

$\langle \text{proof} \rangle$

theorem hd_reflection :

$\text{REFLECTS}[\lambda x. \text{is_hd}(L,f(x),g(x)),$

$\lambda i x. \text{is_hd}(\#\#L\text{set}(i),f(x),g(x))]$

$\langle \text{proof} \rangle$

7.2.2 The Formula is_tl , Internalized

definition

$\text{tl_fm} :: [i,i] \Rightarrow i \text{ where}$

$\text{tl_fm}(\text{xs}, T) ==$

$\text{And}(\text{Implies}(\text{Nil_fm}(\text{xs}), \text{Equal}(T,\text{xs})),$

$\text{And}(\text{Forall}(\text{Forall}(\text{Or}(\text{Neg}(\text{Cons_fm}(1,0, \text{xs}\#\#2)), \text{Equal}(T\#\#2,0))),$

$\text{Or}(\text{quasilist_fm}(\text{xs}), \text{empty_fm}(T))))$

lemma tl_type [TC]:

$\text{[} x \in \text{nat}; y \in \text{nat} \text{]} \implies \text{tl_fm}(x,y) \in \text{formula}$

$\langle \text{proof} \rangle$

lemma sats_tl_fm [simp]:

$\text{[} x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$

$\implies \text{sats}(A, \text{tl_fm}(x,y), \text{env}) \longleftrightarrow \text{is_tl}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$

$\langle \text{proof} \rangle$

lemma tl_iff_sats :

$\text{[} \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$

$i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$

$\implies \text{is_tl}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{tl_fm}(i,j), \text{env})$

$\langle \text{proof} \rangle$

theorem tl_reflection :

$\text{REFLECTS}[\lambda x. \text{is_tl}(L,f(x),g(x)),$

$\lambda i\ x.\ is_tl(\#\#Lset(i), f(x), g(x))]$
 $\langle proof \rangle$

7.2.3 The Operator *is_bool_of_o*

The formula p has no free variables.

definition

```
bool_of_o_fm :: [i, i] => i where
bool_of_o_fm(p, z) ==
Or(And(p, number1_fm(z)),
And(Neg(p), empty_fm(z)))
```

lemma *is_bool_of_o_type* [*TC*]:
 $\left[\begin{array}{l} p \in formula; z \in nat \end{array} \right] \implies bool_of_o_fm(p, z) \in formula$
 $\langle proof \rangle$

lemma *sats_bool_of_o_fm*:
assumes *p iff sats*: $P \longleftrightarrow sats(A, p, env)$
shows
 $\left[\begin{array}{l} z \in nat; env \in list(A) \end{array} \right]$
 $\implies sats(A, bool_of_o_fm(p, z), env) \longleftrightarrow$
 $is_bool_of_o(\#\#A, P, nth(z, env))$
 $\langle proof \rangle$

lemma *is_bool_of_o_iff_sats*:
 $\left[\begin{array}{l} P \longleftrightarrow sats(A, p, env); nth(k, env) = z; k \in nat; env \in list(A) \end{array} \right]$
 $\implies is_bool_of_o(\#\#A, P, z) \longleftrightarrow sats(A, bool_of_o_fm(p, k), env)$
 $\langle proof \rangle$

theorem *bool_of_o_reflection*:
REFLECTS [$P(L), \lambda i. P(\#\#Lset(i))$] \implies
REFLECTS [$\lambda x. is_bool_of_o(L, P(L, x), f(x)),$
 $\lambda i. is_bool_of_o(\#\#Lset(i), P(\#\#Lset(i), x), f(x))$]
 $\langle proof \rangle$

7.3 More Internalizations

7.3.1 The Operator *is_lambda*

The two arguments of p are always 1, 0. Remember that p will be enclosed by three quantifiers.

definition

```
lambda_fm :: [i, i, i] => i where
lambda_fm(p, A, z) ==
Forall(Iff(Member(0, succ(z)),
Exists(Exists(And(Member(1, A #+ 3),
And(pair_fm(1, 0, 2), p))))))
```

We call p with arguments x, y by equating them with the corresponding quantified variables with de Bruijn indices 1, 0.

```

lemma is_lambda_type [TC]:
  [| p ∈ formula; x ∈ nat; y ∈ nat |]
  ==> lambda_fm(p,x,y) ∈ formula
⟨proof⟩

lemma sats_lambda_fm:
  assumes is_b_iff_sats:
    !!a0 a1 a2.
    [| a0 ∈ A; a1 ∈ A; a2 ∈ A |]
    ==> is_b(a1, a0) ↔ sats(A, p, Cons(a0, Cons(a1, Cons(a2, env))))
  shows
    [| x ∈ nat; y ∈ nat; env ∈ list(A) |]
    ==> sats(A, lambda_fm(p,x,y), env) ↔
      is_lambda(#A, nth(x,env), is_b, nth(y,env))
⟨proof⟩

theorem is_lambda_reflection:
  assumes is_b_reflection:
    !!f g h. REFLECTS[λx. is_b(L, f(x), g(x), h(x)),
      λi x. is_b(#Lset(i), f(x), g(x), h(x))]
  shows REFLECTS[λx. is_lambda(L, A(x), is_b(L,x), f(x)),
    λi x. is_lambda(#Lset(i), A(x), is_b(#Lset(i),x), f(x))]
⟨proof⟩

```

7.3.2 The Operator *is_Member*, Internalized

definition

```

Member_fm :: [i,i,i]=>i where
  Member_fm(x,y,Z) ==
    Exists(Exists(And(pair_fm(x#+2,y#+2,1),
      And(Inl_fm(1,0), Inl_fm(0,Z#+2)))))

lemma is_Member_type [TC]:
  [| x ∈ nat; y ∈ nat; z ∈ nat |] ==> Member_fm(x,y,z) ∈ formula
⟨proof⟩

```

```

lemma sats_Member_fm [simp]:
  [| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, Member_fm(x,y,z), env) ↔
    is_Member(#A, nth(x,env), nth(y,env), nth(z,env))
⟨proof⟩

```

```

lemma Member_iff_sats:
  [| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> is_Member(#A, x, y, z) ↔ sats(A, Member_fm(i,j,k), env)
⟨proof⟩

```

theorem *Member_reflection*:

REFLECTS[$\lambda x. \text{is_Member}(L, f(x), g(x), h(x))$,

$\lambda i. x. \text{is_Member}(\#\#Lset(i), f(x), g(x), h(x))]$

$\langle proof \rangle$

7.3.3 The Operator *is_Equal*, Internalized

definition

Equal_fm :: $[i, i, i] \Rightarrow i$ **where**

Equal_fm(x, y, Z) ==

$\text{Exists}(\text{Exists}(\text{And}(\text{pair_fm}(x\#\#+2, y\#\#+2, 1),$
 $\text{And}(\text{Inr_fm}(1, 0), \text{Inl_fm}(0, Z\#\#+2))))$

lemma *is_Equal_type* [TC]:

$\left[\begin{array}{l} x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \end{array} \right] \implies \text{Equal_fm}(x, y, z) \in \text{formula}$

$\langle proof \rangle$

lemma *sats_Equal_fm* [simp]:

$\left[\begin{array}{l} x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$

$\implies \text{sats}(A, \text{Equal_fm}(x, y, z), \text{env}) \longleftrightarrow$

is_Equal($\#\#A$, $\text{nth}(x, \text{env})$, $\text{nth}(y, \text{env})$, $\text{nth}(z, \text{env})$)

$\langle proof \rangle$

lemma *Equal_iff_sats*:

$\left[\begin{array}{l} \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$

$\implies \text{is_Equal}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Equal_fm}(i, j, k), \text{env})$

$\langle proof \rangle$

theorem *Equal_reflection*:

REFLECTS[$\lambda x. \text{is_Equal}(L, f(x), g(x), h(x))$,

$\lambda i. x. \text{is_Equal}(\#\#Lset(i), f(x), g(x), h(x))]$

$\langle proof \rangle$

7.3.4 The Operator *is_Nand*, Internalized

definition

Nand_fm :: $[i, i, i] \Rightarrow i$ **where**

Nand_fm(x, y, Z) ==

$\text{Exists}(\text{Exists}(\text{And}(\text{pair_fm}(x\#\#+2, y\#\#+2, 1),$
 $\text{And}(\text{Inl_fm}(1, 0), \text{Inr_fm}(0, Z\#\#+2))))$

lemma *is_Nand_type* [TC]:

$\left[\begin{array}{l} x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \end{array} \right] \implies \text{Nand_fm}(x, y, z) \in \text{formula}$

$\langle proof \rangle$

lemma *sats_Nand_fm* [simp]:

$\left[\begin{array}{l} x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \end{array} \right]$

$\implies \text{sats}(A, \text{Nand_fm}(x, y, z), \text{env}) \longleftrightarrow$

is_Nand(##A, nth(x,env), nth(y,env), nth(z,env))
(proof)

lemma *Nand_iff_sats*:

$\| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A)\|$
 $\implies is_Nand(##A, x, y, z) \leftrightarrow sats(A, Nand_fm(i,j,k), env)$

(proof)

theorem *Nand_reflection*:

$REFLECTS[\lambda x. is_Nand(L,f(x),g(x),h(x)),$
 $\lambda i. is_Nand(##Lset(i),f(x),g(x),h(x))]$

(proof)

7.3.5 The Operator *is_Forall*, Internalized

definition

$Forall_fm :: [i,i] \Rightarrow i \text{ where}$
 $Forall_fm(x,Z) ==$
 $Exists(And(Inr_fm(succ(x),0), Inr_fm(0,succ(Z))))$

lemma *is_Forall_type* [*TC*]:

$\| x \in nat; y \in nat \| \implies Forall_fm(x,y) \in formula$
(proof)

lemma *sats_Forall_fm* [*simp*]:

$\| x \in nat; y \in nat; env \in list(A)\|$
 $\implies sats(A, Forall_fm(x,y), env) \leftrightarrow$
 $is_Forall(##A, nth(x,env), nth(y,env))$

(proof)

lemma *Forall_iff_sats*:

$\| nth(i, env) = x; nth(j, env) = y;$
 $i \in nat; j \in nat; env \in list(A)\|$
 $\implies is_Forall(##A, x, y) \leftrightarrow sats(A, Forall_fm(i,j), env)$

(proof)

theorem *Forall_reflection*:

$REFLECTS[\lambda x. is_Forall(L,f(x),g(x)),$
 $\lambda i. is_Forall(##Lset(i),f(x),g(x))]$

(proof)

7.3.6 The Operator *is_and*, Internalized

definition

$and_fm :: [i,i,i] \Rightarrow i \text{ where}$
 $and_fm(a,b,z) ==$
 $Or(And(number1_fm(a), Equal(z,b)),$
 $And(Neg(number1_fm(a)), empty_fm(z)))$

lemma *is_and_type* [TC]:
 $\boxed{[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]} \implies \text{and_fm}(x,y,z) \in \text{formula}$
(proof)

lemma *sats_and_fm* [simp]:
 $\boxed{[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]}$
 $\implies \text{sats}(A, \text{and_fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{is_and}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
(proof)

lemma *is_and_iff_sats*:
 $\boxed{[n \in \text{nat}; i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]}$
 $n = x; i = y; k = z;$
 $\implies \text{is_and}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{and_fm}(i,j,k), \text{env})$
(proof)

theorem *is_and_reflection*:
 $\text{REFLECTS}[\lambda x. \text{is_and}(L,f(x),g(x),h(x)),$
 $\lambda i. \text{is_and}(\#\#L\text{set}(i),f(x),g(x),h(x))]$
(proof)

7.3.7 The Operator *is_or*, Internalized

definition

or_fm :: $[i,i,i] \Rightarrow i$ where
 $\text{or_fm}(a,b,z) ==$
 $\text{Or}(\text{And}(\text{number1_fm}(a), \text{number1_fm}(z)),$
 $\text{And}(\text{Neg}(\text{number1_fm}(a)), \text{Equal}(z,b)))$

lemma *is_or_type* [TC]:
 $\boxed{[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]} \implies \text{or_fm}(x,y,z) \in \text{formula}$
(proof)

lemma *sats_or_fm* [simp]:
 $\boxed{[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]}$
 $\implies \text{sats}(A, \text{or_fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{is_or}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
(proof)

lemma *is_or_iff_sats*:
 $\boxed{[n \in \text{nat}; i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]}$
 $n = x; i = y; k = z;$
 $\implies \text{is_or}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{or_fm}(i,j,k), \text{env})$
(proof)

theorem *is_or_reflection*:
 $\text{REFLECTS}[\lambda x. \text{is_or}(L,f(x),g(x),h(x)),$
 $\lambda i. \text{is_or}(\#\#L\text{set}(i),f(x),g(x),h(x))]$
(proof)

7.3.8 The Operator *is_not*, Internalized

definition

```
not_fm :: [i,i]=>i where
  not_fm(a,z) ==
    Or(And(number1_fm(a), empty_fm(z)),
      And(Neg(number1_fm(a)), number1_fm(z)))
```

lemma *is_not_type* [*TC*]:

```
|| x ∈ nat; z ∈ nat || ==> not_fm(x,z) ∈ formula
⟨proof⟩
```

lemma *sats_is_not_fm* [*simp*]:

```
|| x ∈ nat; z ∈ nat; env ∈ list(A) ||
  ==> sats(A, not_fm(x,z), env) ↔ is_not(#A, nth(x,env), nth(z,env))
⟨proof⟩
```

lemma *is_not_iff_sats*:

```
|| nth(i,env) = x; nth(k,env) = z;
  i ∈ nat; k ∈ nat; env ∈ list(A) ||
  ==> is_not(#A, x, z) ↔ sats(A, not_fm(i,k), env)
⟨proof⟩
```

theorem *is_not_reflection*:

```
REFLECTS[λx. is_not(L,f(x),g(x)),
          λi x. is_not(#Lset(i),f(x),g(x))]
```

⟨proof⟩

lemmas *extra_reflections* =

```
Inl_reflection Inr_reflection Nil_reflection Cons_reflection
quasilist_reflection hd_reflection tl_reflection bool_of_o_reflection
is_lambda_reflection Member_reflection Equal_reflection Nand_reflection
Forall_reflection is_and_reflection is_or_reflection is_not_reflection
```

7.4 Well-Founded Recursion!

7.4.1 The Operator *M_is_recfun*

Alternative definition, minimizing nesting of quantifiers around MH

lemma *M_is_recfun_iff*:

```
M_is_recfun(M,MH,r,a,f) ↔
  (forall z[M]. z ∈ f ↔
    (exists x[M]. exists f_r_sx[M]. exists y[M].
      MH(x, f_r_sx, y) & pair(M,x,y,z) &
      (exists xa[M]. exists sx[M]. exists r_sx[M].
        pair(M,x,a,xa) & upair(M,x,x,sx) &
        pre_image(M,r,sx,r_sx) & restriction(M,f,r_sx,f_r_sx) &
        xa ∈ r))))
```

⟨proof⟩

The three arguments of p are always 2, 1, 0 and z

definition

```

is_recfun_fm :: [i, i, i, i] => i where
is_recfun_fm(p,r,a,f) ==
  Forall(Iff(Member(0,succ(f)),
    Exists(Exists(Exists(
      And(p,
        And(pair_fm(2,0,3),
          Exists(Exists(Exists(
            And(pair_fm(5,a#+7,2),
              And(upair_fm(5,5,1),
                And(pre_image_fm(r#+7,1,0),
                  And(restriction_fm(f#+7,0,4), Member(2,r#+7)))))))))))))))

```

lemma *is_recfun_type* [*TC*]:

```

[| p ∈ formula; x ∈ nat; y ∈ nat; z ∈ nat |]
==> is_recfun_fm(p,x,y,z) ∈ formula
⟨proof⟩

```

lemma *sats_is_recfun_fm*:

```

assumes MH_iff_sats:
  !!a0 a1 a2 a3.
  [| a0 ∈ A; a1 ∈ A; a2 ∈ A; a3 ∈ A |]
==> MH(a2, a1, a0) ↔ sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, env)))))  

shows
  [| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
==> sats(A, is_recfun_fm(p,x,y,z), env) ↔
    M_is_recfun(##A, MH, nth(x,env), nth(y,env), nth(z,env))
⟨proof⟩

```

lemma *is_recfun_iff_sats*:

```

assumes MH_iff_sats:
  !!a0 a1 a2 a3.
  [| a0 ∈ A; a1 ∈ A; a2 ∈ A; a3 ∈ A |]
==> MH(a2, a1, a0) ↔ sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, env)))))  

shows
  [| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
==> M_is_recfun(##A, MH, x, y, z) ↔ sats(A, is_recfun_fm(p,i,j,k), env)
⟨proof⟩

```

The additional variable in the premise, namely f' , is essential. It lets MH depend upon x , which seems often necessary. The same thing occurs in *is_wfrec_reflection*.

theorem *is_recfun_reflection*:

```

assumes MH_reflection:
  !!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),
    λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]

```

shows REFLECTS[$\lambda x. M_is_recfun(L, MH(L,x), f(x), g(x), h(x)), \lambda i x. M_is_recfun(\#\#Lset(i), MH(\#\#Lset(i),x), f(x), g(x), h(x))]$
 $\langle proof \rangle$

7.4.2 The Operator is_wfrec

The three arguments of p are always 2, 1, 0; p is enclosed by 5 quantifiers.

definition

```
is_wfrec_fm :: [i, i, i, i] => i where
is_wfrec_fm(p,r,a,z) ==
  Exists(And(is_recfun_fm(p, succ(r), succ(a), 0),
    Exists(Exists(Exists(Exists(
      And(Equal(2,a#+5), And(Equal(1,4), And(Equal(0,z#+5), p))))))))
```

We call p with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

There's an additional existential quantifier to ensure that the environments in both calls to MH have the same length.

lemma is_wfrec_type [TC]:
 $\| p \in formula; x \in nat; y \in nat; z \in nat \|$
 $\implies is_wfrec_fm(p,x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_is_wfrec_fm$:
assumes MH_iff_sats :
 $\| a0 a1 a2 a3 a4.$
 $\| a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A \|$
 $\implies MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env)))))))$
shows
 $\| x \in nat; y < length(env); z < length(env); env \in list(A) \|$
 $\implies sats(A, is_wfrec_fm(p,x,y,z), env) \longleftrightarrow$
 $is_wfrec(\#\#A, MH, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $is_wfrec_iff_sats$:
assumes MH_iff_sats :
 $\| a0 a1 a2 a3 a4.$
 $\| a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A \|$
 $\implies MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env)))))))$
shows
 $\| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j < length(env); k < length(env); env \in list(A) \|$
 $\implies is_wfrec(\#\#A, MH, x, y, z) \longleftrightarrow sats(A, is_wfrec_fm(p,i,j,k), env)$
 $\langle proof \rangle$

theorem $is_wfrec_reflection$:
assumes $MH_reflection$:

```


$$\begin{aligned}
& \text{REFLECTS}[\lambda x. MH(L, f'(x), f(x), g(x), h(x)), \\
& \quad \lambda i x. MH(\#\#Lset(i), f'(x), f(x), g(x), h(x))] \\
\text{shows } & \text{REFLECTS}[\lambda x. \text{is\_wfrec}(L, MH(L,x), f(x), g(x), h(x)), \\
& \quad \lambda i x. \text{is\_wfrec}(\#\#Lset(i), MH(\#\#Lset(i),x), f(x), g(x), h(x))] \\
\langle proof \rangle
\end{aligned}$$


```

7.5 For Datatypes

7.5.1 Binary Products, Internalized

definition

cartprod_fm :: $[i,i,i] \Rightarrow i$ **where**

```

cartprod_fm(A,B,z) ==
Forall(Iff(Member(0,succ(z)),
Exists(And(Member(0,succ(succ(A))),
Exists(And(Member(0,succ(succ(succ(B))))),
pair_fm(1,0,2))))))

```

lemma *cartprod_type* [*TC*]:

```

[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> cartprod_fm(x,y,z) ∈ formula
⟨proof⟩

```

lemma *sats_cartprod_fm* [*simp*]:

```

[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, cartprod_fm(x,y,z), env) ↔
cartprod(##A, nth(x,env), nth(y,env), nth(z,env))
⟨proof⟩

```

lemma *cartprod_iff_sats*:

```

[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
==> cartprod(##A, x, y, z) ↔ sats(A, cartprod_fm(i,j,k), env)
⟨proof⟩

```

theorem *cartprod_reflection*:

```


$$\begin{aligned}
& \text{REFLECTS}[\lambda x. \text{cartprod}(L,f(x),g(x),h(x)), \\
& \quad \lambda i x. \text{cartprod}(\#\#Lset(i),f(x),g(x),h(x))]
\end{aligned}$$


```

⟨proof⟩

7.5.2 Binary Sums, Internalized

definition

sum_fm :: $[i,i,i] \Rightarrow i$ **where**

```

sum_fm(A,B,Z) ==
Exists(Exists(Exists(Exists(
And(number1_fm(2),
And(cartprod_fm(2,A#+4,3),
And(upair_fm(2,2,1),
And(cartprod_fm(1,B#+4,0), union_fm(3,0,Z#+4))))))))

```

lemma *sum_type* [*TC*]:
 $\{ \mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \mid \} ==> \text{sum_fm}(x,y,z) \in \text{formula}$
(proof)

lemma *sats_sum_fm* [*simp*]:
 $\{ \mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \mid \}$
 $\implies \text{sats}(A, \text{sum_fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{is_sum}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
(proof)

lemma *sum_iff_sats*:
 $\{ \mid \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) \mid \}$
 $\implies \text{is_sum}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{sum_fm}(i,j,k), \text{env})$
(proof)

theorem *sum_reflection*:
 $\text{REFLECTS}[\lambda x. \text{is_sum}(L,f(x),g(x),h(x)),$
 $\lambda i. \text{is_sum}(\#\#L\text{set}(i),f(x),g(x),h(x))]$
(proof)

7.5.3 The Operator *quasinat*

definition

quasinat_fm :: $i \Rightarrow i$ **where**
 $\text{quasinat_fm}(z) == \text{Or}(\text{empty_fm}(z), \text{Exists}(\text{succ_fm}(0, \text{succ}(z))))$

lemma *quasinat_type* [*TC*]:
 $x \in \text{nat} ==> \text{quasinat_fm}(x) \in \text{formula}$
(proof)

lemma *sats_quasinat_fm* [*simp*]:
 $\{ \mid x \in \text{nat}; \text{env} \in \text{list}(A) \mid \}$
 $\implies \text{sats}(A, \text{quasinat_fm}(x), \text{env}) \longleftrightarrow \text{is_quasinat}(\#\#A, \text{nth}(x,\text{env}))$
(proof)

lemma *quasinat_iff_sats*:
 $\{ \mid \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$
 $i \in \text{nat}; \text{env} \in \text{list}(A) \mid \}$
 $\implies \text{is_quasinat}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{quasinat_fm}(i), \text{env})$
(proof)

theorem *quasinat_reflection*:
 $\text{REFLECTS}[\lambda x. \text{is_quasinat}(L,f(x)),$
 $\lambda i. \text{is_quasinat}(\#\#L\text{set}(i),f(x))]$
(proof)

7.5.4 The Operator *is_nat_case*

I could not get it to work with the more natural assumption that *is_b* takes two arguments. Instead it must be a formula where 1 and 0 stand for *m* and *b*, respectively.

The formula *is_b* has free variables 1 and 0.

definition

```
is_nat_case_fm :: [i, i, i, i] => i where
is_nat_case_fm(a, is_b, k, z) ==
  And(Implies(empty_fm(k), Equal(z, a)),
  And(Forall(Implies(succ_fm(0, succ(k)),
    Forall(Implies(Equal(0, succ(succ(z))), is_b)))),
  Or(quasinat_fm(k), empty_fm(z))))
```

lemma *is_nat_case_type* [*TC*]:

```
[| is_b ∈ formula;
   x ∈ nat; y ∈ nat; z ∈ nat |]
==> is_nat_case_fm(x, is_b, y, z) ∈ formula
⟨proof⟩
```

lemma *sats_is_nat_case_fm*:

```
assumes is_b_iff_sats:
  !!a. a ∈ A ==> is_b(a, nth(z, env)) ↔
    sats(A, p, Cons(nth(z, env), Cons(a, env)))
```

shows

```
[| x ∈ nat; y ∈ nat; z < length(env); env ∈ list(A) |]
==> sats(A, is_nat_case_fm(x, p, y, z), env) ↔
  is_nat_case(#A, nth(x, env), is_b, nth(y, env), nth(z, env))
```

⟨proof⟩

lemma *is_nat_case_iff_sats*:

```
[| (!!a. a ∈ A ==> is_b(a, z) ↔
      sats(A, p, Cons(z, Cons(a, env))));
   nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;
   i ∈ nat; j ∈ nat; k < length(env); env ∈ list(A) |]
==> is_nat_case(#A, x, is_b, y, z) ↔ sats(A, is_nat_case_fm(i, p, j, k),
env)
⟨proof⟩
```

The second argument of *is_b* gives it direct access to *x*, which is essential for handling free variable references. Without this argument, we cannot prove reflection for *iterates_MH*.

theorem *is_nat_case_reflection*:

assumes *is_b_reflection*:

```
!!h f g. REFLECTS[λx. is_b(L, h(x), f(x), g(x)),
  λi x. is_b(#Lset(i), h(x), f(x), g(x))]
```

shows *REFLECTS*[$\lambda x. \text{is_nat_case}(L, f(x), \text{is_b}(L, x), g(x), h(x))$,

$\lambda i x. \text{is_nat_case}(\#Lset(i), f(x), \text{is_b}(\#Lset(i), x), g(x), h(x))]$

$\langle proof \rangle$

7.6 The Operator $iterates_MH$, Needed for Iteration

definition

```
iterates_MH_fm :: [i, i, i, i, i] => i where
iterates_MH_fm(isF, v, n, g, z) ==
  is_nat_case_fm(v,
    Exists(And(fun_apply_fm(succ(succ(succ(g)))), 2, 0),
            Forall(Implies(Equal(0, 2), isF)))),
  n, z)
```

lemma $iterates_MH_type$ [TC]:

```
[| p ∈ formula;
   v ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat |]
==> iterates_MH_fm(p, v, x, y, z) ∈ formula
```

$\langle proof \rangle$

lemma $sats_iterates_MH_fm$:

```
assumes is_F iff sats:
  !!a b c d. [| a ∈ A; b ∈ A; c ∈ A; d ∈ A |]
==> is_F(a, b) ↔
  sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d, env)))))
```

shows

```
[| v ∈ nat; x ∈ nat; y ∈ nat; z < length(env); env ∈ list(A) |]
==> sats(A, iterates_MH_fm(p, v, x, y, z), env) ↔
  iterates_MH(##A, is_F, nth(v, env), nth(x, env), nth(y, env), nth(z, env))
```

$\langle proof \rangle$

lemma $iterates_MH_iff_sats$:

```
assumes is_F iff sats:
  !!a b c d. [| a ∈ A; b ∈ A; c ∈ A; d ∈ A |]
==> is_F(a, b) ↔
  sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d, env)))))
```

shows

```
[| nth(i', env) = v; nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;
   i' ∈ nat; i ∈ nat; j ∈ nat; k < length(env); env ∈ list(A) |]
==> iterates_MH(##A, is_F, v, x, y, z) ↔
  sats(A, iterates_MH_fm(p, i', i, j, k), env)
```

$\langle proof \rangle$

The second argument of p gives it direct access to x , which is essential for handling free variable references. Without this argument, we cannot prove reflection for $list_N$.

theorem $iterates_MH_reflection$:

assumes $p_reflection$:

```
!!f g h. REFLECTS[λx. p(L, h(x), f(x), g(x)),
  λi x. p(##Lset(i), h(x), f(x), g(x))]
```

shows $REFLECTS[\lambda x. iterates_MH(L, p(L, x), e(x), f(x), g(x), h(x)),$

$\lambda i\ x.\ iterates_MH(\#\#Lset(i), p(\#\#Lset(i),x), e(x), f(x), g(x), h(x))]$
 $\langle proof \rangle$

7.6.1 The Operator *is_iterates*

The three arguments of p are always 2, 1, 0; p is enclosed by 9 (??) quantifiers.

definition

```
is_iterates_fm :: [i, i, i, i] => i where
is_iterates_fm(p, v, n, Z) ==
  Exists(Exists(
    And(succ_fm(n#+2, 1),
    And(Memrel_fm(1, 0),
      is_wfrec_fm(iterates_MH_fm(p, v#+7, 2, 1, 0),
        0, n#+2, Z#+2))))))
```

We call p with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

lemma *is_iterates_type* [TC]:

```
[| p ∈ formula; x ∈ nat; y ∈ nat; z ∈ nat |]
==> is_iterates_fm(p, x, y, z) ∈ formula
```

$\langle proof \rangle$

lemma *sats_is_iterates_fm*:

assumes *is_F_iff_sats*:

$!a\ b\ c\ d\ e\ f\ g\ h\ i\ j\ k.$

```
[| a ∈ A; b ∈ A; c ∈ A; d ∈ A; e ∈ A; f ∈ A;
g ∈ A; h ∈ A; i ∈ A; j ∈ A; k ∈ A |]
==> is_F(a, b) ↔
```

```
sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d, Cons(e, Cons(f,
Cons(g, Cons(h, Cons(i, Cons(j, Cons(k, env))))))))))))
```

shows

```
[| x ∈ nat; y < length(env); z < length(env); env ∈ list(A) |]
```

$\Rightarrow sats(A, is_iterates_fm(p, x, y, z), env) \leftrightarrow$

$is_iterates(\#\#A, is_F, nth(x, env), nth(y, env), nth(z, env))$

$\langle proof \rangle$

lemma *is_iterates_iff_sats*:

assumes *is_F_iff_sats*:

$!a\ b\ c\ d\ e\ f\ g\ h\ i\ j\ k.$

```
[| a ∈ A; b ∈ A; c ∈ A; d ∈ A; e ∈ A; f ∈ A;
g ∈ A; h ∈ A; i ∈ A; j ∈ A; k ∈ A |]
==> is_F(a, b) ↔
```

```
sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d, Cons(e, Cons(f,
Cons(g, Cons(h, Cons(i, Cons(j, Cons(k, env))))))))))))
```

shows

$[| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$

$i ∈ nat; j < length(env); k < length(env); env ∈ list(A) |]$

```

==> is_iterates(#A, is_F, x, y, z) <=>
    sats(A, is_iterates_fm(p,i,j,k), env)
⟨proof⟩

```

The second argument of p gives it direct access to x , which is essential for handling free variable references. Without this argument, we cannot prove reflection for list_N .

theorem *is_iterates_reflection*:

assumes *p_reflection*:

!!f g h. REFLECTS[$\lambda x. p(L, h(x), f(x), g(x)),$
 $\lambda i x. p(\#\#Lset(i), h(x), f(x), g(x))]$

shows *REFLECTS*[$\lambda x. \text{is_iterates}(L, p(L,x), f(x), g(x), h(x)),$
 $\lambda i x. \text{is_iterates}(\#\#Lset(i), p(\#\#Lset(i),x), f(x), g(x), h(x))]$

⟨proof⟩

7.6.2 The Formula *is_eclose_n*, Internalized

definition

eclose_n_fm :: $[i,i,i] \Rightarrow i$ **where**

$\text{eclose_n_fm}(A,n,Z) == \text{is_iterates_fm}(\text{big_union_fm}(1,0), A, n, Z)$

lemma *eclose_n_fm_type* [*TC*]:

$\{\mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \mid\} ==> \text{eclose_n_fm}(x,y,z) \in \text{formula}$

⟨proof⟩

lemma *sats_eclose_n_fm* [*simp*]:

$\{\mid x \in \text{nat}; y < \text{length}(\text{env}); z < \text{length}(\text{env}); \text{env} \in \text{list}(A) \mid\}$
 $\implies \text{sats}(A, \text{eclose_n_fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{is_eclose_n}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$

⟨proof⟩

lemma *eclose_n_iff_sats*:

$\{\mid \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j < \text{length}(\text{env}); k < \text{length}(\text{env}); \text{env} \in \text{list}(A) \mid\}$
 $\implies \text{is_eclose_n}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{eclose_n_fm}(i,j,k), \text{env})$

⟨proof⟩

theorem *eclose_n_reflection*:

REFLECTS[$\lambda x. \text{is_eclose_n}(L, f(x), g(x), h(x)),$
 $\lambda i x. \text{is_eclose_n}(\#\#Lset(i), f(x), g(x), h(x))]$

⟨proof⟩

7.6.3 Membership in $\text{eclose}(A)$

definition

mem_eclose_fm :: $[i,i] \Rightarrow i$ **where**

$\text{mem_eclose_fm}(x,y) ==$

$\text{Exists}(\text{Exists}($

$\text{And}(\text{finite_ordinal_fm}(1),$

```


$$And(eclose\_n\_fm(x\#+2,1,0), Member(y\#+2,0))))$$


lemma mem_eclose_type [TC]:
  [|  $x \in \text{nat}$ ;  $y \in \text{nat}$  |] ==> mem_eclose_fm( $x,y$ )  $\in \text{formula}$ 
  ⟨proof⟩

lemma sats_mem_eclose_fm [simp]:
  [|  $x \in \text{nat}$ ;  $y \in \text{nat}$ ;  $\text{env} \in \text{list}(A)$  |]
  ==> sats( $A$ , mem_eclose_fm( $x,y$ ),  $\text{env}$ )  $\longleftrightarrow$  mem_eclose(## $A$ , nth( $x,\text{env}$ ),
nth( $y,\text{env}$ ))
  ⟨proof⟩

lemma mem_eclose_iff_sats:
  [| nth( $i,\text{env}$ ) =  $x$ ; nth( $j,\text{env}$ ) =  $y$ ;
      $i \in \text{nat}$ ;  $j \in \text{nat}$ ;  $\text{env} \in \text{list}(A)$  |]
  ==> mem_eclose(## $A$ ,  $x, y$ )  $\longleftrightarrow$  sats( $A$ , mem_eclose_fm( $i,j$ ),  $\text{env}$ )
  ⟨proof⟩

theorem mem_eclose_reflection:
  REFLECTS[ $\lambda x.$  mem_eclose( $L,f(x),g(x)$ ),
             $\lambda i x.$  mem_eclose(## $Lset(i),f(x),g(x)$ )]
  ⟨proof⟩

```

7.6.4 The Predicate “Is eclose(A)”

definition

```

is_eclose_fm :: [i,i]=>i where
  is_eclose_fm( $A,Z$ ) ==
    Forall(Iff(Member(0,succ( $Z$ )), mem_eclose_fm(succ( $A$ ),0)))

```

```

lemma is_eclose_type [TC]:
  [|  $x \in \text{nat}$ ;  $y \in \text{nat}$  |] ==> is_eclose_fm( $x,y$ )  $\in \text{formula}$ 
  ⟨proof⟩

lemma sats_is_eclose_fm [simp]:
  [|  $x \in \text{nat}$ ;  $y \in \text{nat}$ ;  $\text{env} \in \text{list}(A)$  |]
  ==> sats( $A$ , is_eclose_fm( $x,y$ ),  $\text{env}$ )  $\longleftrightarrow$  is_eclose(## $A$ , nth( $x,\text{env}$ ), nth( $y,\text{env}$ ))
  ⟨proof⟩

lemma is_eclose_iff_sats:
  [| nth( $i,\text{env}$ ) =  $x$ ; nth( $j,\text{env}$ ) =  $y$ ;
      $i \in \text{nat}$ ;  $j \in \text{nat}$ ;  $\text{env} \in \text{list}(A)$  |]
  ==> is_eclose(## $A$ ,  $x, y$ )  $\longleftrightarrow$  sats( $A$ , is_eclose_fm( $i,j$ ),  $\text{env}$ )
  ⟨proof⟩

theorem is_eclose_reflection:
  REFLECTS[ $\lambda x.$  is_eclose( $L,f(x),g(x)$ ),
             $\lambda i x.$  is_eclose(## $Lset(i),f(x),g(x)$ )]
  ⟨proof⟩

```

7.6.5 The List Functor, Internalized

definition

list_functor_fm :: [i,i,i] => i where

```
list_functor_fm(A,X,Z) ==
Exists(Exists(
And(number1_fm(1),
And(cartprod_fm(A#+2,X#+2,0), sum_fm(1,0,Z#+2)))))
```

lemma *list_functor_type [TC]:*

$\boxed{[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]} \implies \text{list_functor_fm}(x,y,z) \in \text{formula}$
(proof)

lemma *sats_list_functor_fm [simp]:*

```
[\boxed{x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; env \in \text{list}(A)}]
\implies \text{sats}(A, \text{list\_functor\_fm}(x,y,z), env) \longleftrightarrow
is_list_functor(\#\#A, \text{nth}(x,env), \text{nth}(y,env), \text{nth}(z,env))
(i proof)
```

lemma *list_functor_iff_sats:*

```
[\boxed{\text{nth}(i,env) = x; \text{nth}(j,env) = y; \text{nth}(k,env) = z;
i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; env \in \text{list}(A)}]
\implies \text{is\_list\_functor}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{list\_functor\_fm}(i,j,k), env)
(i proof)
```

theorem *list_functor_reflection:*

REFLECTS[$\lambda x. \text{is_list_functor}(L, f(x), g(x), h(x)),$
 $\lambda i. x. \text{is_list_functor}(\#\#L\text{set}(i), f(x), g(x), h(x))]$

(proof)

7.6.6 The Formula *is_list_N*, Internalized

definition

list_N_fm :: [i,i,i] => i where

```
list_N_fm(A,n,Z) ==
Exists(
And(empty_fm(0),
is_iterates_fm(list_functor_fm(A#+9#+3,1,0), 0, n#+1, Z#+1)))
```

lemma *list_N_fm_type [TC]:*

$\boxed{[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]} \implies \text{list_N_fm}(x,y,z) \in \text{formula}$
(proof)

lemma *sats_list_N_fm [simp]:*

```
[\boxed{x \in \text{nat}; y < \text{length}(env); z < \text{length}(env); env \in \text{list}(A)}]
\implies \text{sats}(A, \text{list\_N\_fm}(x,y,z), env) \longleftrightarrow
is_list_N(\#\#A, \text{nth}(x,env), \text{nth}(y,env), \text{nth}(z,env))
(i proof)
```

lemma *list_N_iff_sats*:
 $\| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$
 $i \in nat; j < length(env); k < length(env); env \in list(A)\|$
 $\implies is_list_N(\#\#A, x, y, z) \longleftrightarrow sats(A, list_N_fm(i, j, k), env)$
(proof)

theorem *list_N_reflection*:
 $REFLECTS[\lambda x. is_list_N(L, f(x), g(x), h(x)),$
 $\lambda i. is_list_N(\#\#Lset(i), f(x), g(x), h(x))]$
(proof)

7.6.7 The Predicate “Is A List”

definition

mem_list_fm :: $[i, i] \Rightarrow i$ **where**
 $mem_list_fm(x, y) ==$
 $Exists(Exists($
 $And(finite_ordinal_fm(1),$
 $And(list_N_fm(x \# +2, 1, 0), Member(y \# +2, 0))))$

lemma *mem_list_type* [TC]:
 $\| x \in nat; y \in nat \| \implies mem_list_fm(x, y) \in formula$
(proof)

lemma *sats_mem_list_fm* [simp]:
 $\| x \in nat; y \in nat; env \in list(A)\|$
 $\implies sats(A, mem_list_fm(x, y), env) \longleftrightarrow mem_list(\#\#A, nth(x, env), nth(y, env))$
(proof)

lemma *mem_list_iff_sats*:
 $\| nth(i, env) = x; nth(j, env) = y;$
 $i \in nat; j \in nat; env \in list(A)\|$
 $\implies mem_list(\#\#A, x, y) \longleftrightarrow sats(A, mem_list_fm(i, j), env)$
(proof)

theorem *mem_list_reflection*:
 $REFLECTS[\lambda x. mem_list(L, f(x), g(x)),$
 $\lambda i. mem_list(\#\#Lset(i), f(x), g(x))]$
(proof)

7.6.8 The Predicate “Is $list(A)$ ”

definition

is_list_fm :: $[i, i] \Rightarrow i$ **where**
 $is_list_fm(A, Z) ==$
 $Forall(Iff(Member(0, succ(Z)), mem_list_fm(succ(A), 0)))$

lemma *is_list_type* [TC]:
 $\| x \in nat; y \in nat \| \implies is_list_fm(x, y) \in formula$
(proof)

```

lemma sats_is_list_fm [simp]:
  [| x ∈ nat; y ∈ nat; env ∈ list(A)|]
  ==> sats(A, is_list_fm(x,y), env) ↔ is_list(##A, nth(x,env), nth(y,env))
⟨proof⟩

lemma is_list_iff_sats:
  [| nth(i,env) = x; nth(j,env) = y;
     i ∈ nat; j ∈ nat; env ∈ list(A)|]
  ==> is_list(##A, x, y) ↔ sats(A, is_list_fm(i,j), env)
⟨proof⟩

theorem is_list_reflection:
  REFLECTS[λx. is_list(L,f(x),g(x)),
            λi x. is_list(##Lset(i),f(x),g(x))]
⟨proof⟩

```

7.6.9 The Formula Functor, Internalized

```
definition formula_functor_fm :: [i,i] => i where
```

```

formula_functor_fm(X,Z) ==
  Exists(Exists(Exists(Exists(Exists(
    And(omega_fm(4),
      And(cartprod_fm(4,4,3),
        And(sum_fm(3,3,2),
          And(cartprod_fm(X#+5,X#+5,1),
            And(sum_fm(1,X#+5,0), sum_fm(2,0,Z#+5)))))))))))

```

```

lemma formula_functor_type [TC]:
  [| x ∈ nat; y ∈ nat |] ==> formula_functor_fm(x,y) ∈ formula
⟨proof⟩

```

```

lemma sats_formula_functor_fm [simp]:
  [| x ∈ nat; y ∈ nat; env ∈ list(A)|]
  ==> sats(A, formula_functor_fm(x,y), env) ↔
    is_formula_functor(##A, nth(x,env), nth(y,env))
⟨proof⟩

```

```

lemma formula_functor_iff_sats:
  [| nth(i,env) = x; nth(j,env) = y;
     i ∈ nat; j ∈ nat; env ∈ list(A)|]
  ==> is_formula_functor(##A, x, y) ↔ sats(A, formula_functor_fm(i,j),
env)
⟨proof⟩

```

```

theorem formula_functor_reflection:
  REFLECTS[λx. is_formula_functor(L,f(x),g(x)),
            λi x. is_formula_functor(##Lset(i),f(x),g(x))]

```

$\langle proof \rangle$

7.6.10 The Formula $is_formula_N$, Internalized

definition

```
formula_N_fm :: [i,i]=>i where
formula_N_fm(n,Z) ==
Exists(
And(empty_fm(0),
is_iterates_fm(formula_functor_fm(1,0), 0, n#+1, Z#+1)))
```

lemma $formula_N_fm_type$ [TC]:
 $\| x \in nat; y \in nat \| \implies formula_N_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma $sats_formula_N_fm$ [simp]:
 $\| x < length(env); y < length(env); env \in list(A) \|$
 $\implies sats(A, formula_N_fm(x,y), env) \longleftrightarrow$
 $is_formula_N(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma $formula_N_iff_sats$:
 $\| nth(i,env) = x; nth(j,env) = y;$
 $i < length(env); j < length(env); env \in list(A) \|$
 $\implies is_formula_N(\#\#A, x, y) \longleftrightarrow sats(A, formula_N_fm(i,j), env)$
 $\langle proof \rangle$

theorem $formula_N_reflection$:
REFLECTS[$\lambda x. is_formula_N(L, f(x), g(x)),$
 $\lambda i. is_formula_N(\#\#Lset(i), f(x), g(x))]$
 $\langle proof \rangle$

7.6.11 The Predicate “Is A Formula”

definition

```
mem_formula_fm :: i=>i where
mem_formula_fm(x) ==
Exists(Exists(
And(finite_ordinal_fm(1),
And(formula_N_fm(1,0), Member(x#+2,0)))))
```

lemma $mem_formula_type$ [TC]:
 $x \in nat \implies mem_formula_fm(x) \in formula$
 $\langle proof \rangle$

lemma $sats_mem_formula_fm$ [simp]:
 $\| x \in nat; env \in list(A) \|$
 $\implies sats(A, mem_formula_fm(x), env) \longleftrightarrow mem_formula(\#\#A, nth(x,env))$
 $\langle proof \rangle$

```

lemma mem_formula_iff_sats:
  [| nth(i,env) = x; i ∈ nat; env ∈ list(A)|]
  ==> mem_formula(##A, x) ↔ sats(A, mem_formula_fm(i), env)
⟨proof⟩

theorem mem_formula_reflection:
  REFLECTS[λx. mem_formula(L,f(x)),
            λi x. mem_formula(##Lset(i),f(x))]
⟨proof⟩

```

7.6.12 The Predicate “Is formula”

definition

```

is_formula_fm :: i=>i where
  is_formula_fm(Z) == Forall(Iff(Member(0,succ(Z)), mem_formula_fm(0)))

```

```

lemma is_formula_type [TC]:
  x ∈ nat ==> is_formula_fm(x) ∈ formula
⟨proof⟩

```

```

lemma sats_is_formula_fm [simp]:
  [| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, is_formula_fm(x), env) ↔ is_formula(##A, nth(x,env))
⟨proof⟩

```

```

lemma is_formula_iff_sats:
  [| nth(i,env) = x; i ∈ nat; env ∈ list(A)|]
  ==> is_formula(##A, x) ↔ sats(A, is_formula_fm(i), env)
⟨proof⟩

```

```

theorem is_formula_reflection:
  REFLECTS[λx. is_formula(L,f(x)),
            λi x. is_formula(##Lset(i),f(x))]
⟨proof⟩

```

7.6.13 The Operator is_transrec

The three arguments of p are always 2, 1, 0. It is buried within eight quantifiers! We call p with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

definition

```

is_transrec_fm :: [i, i, i]=>i where
  is_transrec_fm(p,a,z) ==
    Exists(Exists(Exists(
      And(upair_fm(a#+3,a#+3,2),
          And(is_eclose_fm(2,1),
              And(Memrel_fm(1,0), is_wfreq_fm(p,0,a#+3,z#+3)))))))

```

```

lemma is_transrec_type [TC]:
  [| p ∈ formula; x ∈ nat; z ∈ nat |]
  ==> is_transrec_fm(p,x,z) ∈ formula
⟨proof⟩

lemma sats_is_transrec_fm:
  assumes MH_iff_sats:
    !!a0 a1 a2 a3 a4 a5 a6 a7.
    [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A|]
    ==> MH(a2, a1, a0) ←→
      sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3,
        Cons(a4, Cons(a5, Cons(a6, Cons(a7, env))))))))))
  shows
    [|x < length(env); z < length(env); env ∈ list(A)|]
    ==> sats(A, is_transrec_fm(p,x,z), env) ←→
      is_transrec(##A, MH, nth(x,env), nth(z,env))
⟨proof⟩

lemma is_transrec_iff_sats:
  assumes MH_iff_sats:
    !!a0 a1 a2 a3 a4 a5 a6 a7.
    [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A|]
    ==> MH(a2, a1, a0) ←→
      sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3,
        Cons(a4, Cons(a5, Cons(a6, Cons(a7, env))))))))))
  shows
    [|nth(i,env) = x; nth(k,env) = z;
       i < length(env); k < length(env); env ∈ list(A)|]
    ==> is_transrec(##A, MH, x, z) ←→ sats(A, is_transrec_fm(p,i,k), env)
⟨proof⟩

theorem is_transrec_reflection:
  assumes MH_reflection:
    !!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),
                           λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]
  shows REFLECTS[λx. is_transrec(L, MH(L,x), f(x), h(x)),
                λi x. is_transrec(##Lset(i), MH(##Lset(i),x), f(x), h(x))]
⟨proof⟩

end

```

8 Separation for Facts About Recursion

```

theory Rec_Separation imports ZF-Constructible.Separation Internalize Datatype_absolute
begin

```

This theory proves all instances needed for locales $M_{\text{tranc}}l$ and M_{datatype}

```

lemma eq_succ_imp_lt: [|i = succ(j); Ord(i)|] ==> j < i

```

$\langle proof \rangle$

8.1 The Locale M_{tranc}

8.1.1 Separation for Reflexive/Transitive Closure

First, The Defining Formula

definition

```
rtran_closure_mem_fm :: [i,i,i]=>i where
rtran_closure_mem_fm(A,r,p) ==
Exists(Exists(Exists(
And(omega_fm(2),
And(Member(1,2),
And(succ_fm(1,0),
Exists(And(typed_function_fm(1, A#+4, 0),
And(Exists(Exists(Exists(
And(pair_fm(2,1,p#+7),
And(empty_fm(0),
And(fun_apply_fm(3,0,2), fun_apply_fm(3,5,1))))),
Forall(Implies(Member(0,3),
Exists(Exists(Exists(Exists(
And(fun_apply_fm(5,4,3),
And(succ_fm(4,2),
And(fun_apply_fm(5,2,1),
And(pair_fm(3,1,0), Member(0,r#+9)))))))))))))))))))
```

lemma $rtran_closure_mem_type$ [TC]:

$\| x \in nat; y \in nat; z \in nat \| \implies rtran_closure_mem_fm(x,y,z) \in formula$

$\langle proof \rangle$

lemma $sats_rtran_closure_mem_fm$ [$simp$]:

```
 $\| x \in nat; y \in nat; z \in nat; env \in list(A) \|$ 
 $\implies sats(A, rtran\_closure\_mem\_fm(x,y,z), env) \longleftrightarrow$ 
 $rtran\_closure\_mem(\#\#A, nth(x,env), nth(y,env), nth(z,env))$ 
```

$\langle proof \rangle$

lemma $rtran_closure_mem_iff_sats$:

```
 $\| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$ 
 $i \in nat; j \in nat; k \in nat; env \in list(A) \|$ 
 $\implies rtran\_closure\_mem(\#\#A, x, y, z) \longleftrightarrow sats(A, rtran\_closure\_mem\_fm(i,j,k),$ 
 $env)$ 
```

$\langle proof \rangle$

lemma $rtran_closure_mem_reflection$:

```
REFLECTS[ $\lambda x. rtran\_closure\_mem(L,f(x),g(x),h(x)),$ 
 $\lambda i x. rtran\_closure\_mem(\#\#Lset(i),f(x),g(x),h(x))]$ 
```

$\langle proof \rangle$

Separation for r^* .

lemma *rtrancl_separation*:
 $\| L(r); L(A) \| \implies separation(L, rtran_closure_mem(L, A, r))$
(proof)

8.1.2 Reflexive/Transitive Closure, Internalized

definition

rtran_closure_fm :: $[i,i] \Rightarrow i$ where
 $rtran_closure_fm(r,s) ==$
 $Forall(Implies(field_fm(succ(r),0),$
 $Forall(Iff(Member(0,succ(succ(s))),$
 $rtran_closure_mem_fm(1,succ(succ(r)),0))))))$

lemma *rtran_closure_type* [TC]:
 $\| x \in nat; y \in nat \| \implies rtran_closure_fm(x,y) \in formula$
(proof)

lemma *sats_rtran_closure_fm* [simp]:
 $\| x \in nat; y \in nat; env \in list(A) \|$
 $\implies sats(A, rtran_closure_fm(x,y), env) \longleftrightarrow$
 $rtran_closure(\#\#A, nth(x,env), nth(y,env))$
(proof)

lemma *rtran_closure_iff_sats*:
 $\| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) \|$
 $\implies rtran_closure(\#\#A, x, y) \longleftrightarrow sats(A, rtran_closure_fm(i,j), env)$
(proof)

theorem *rtran_closure_reflection*:
 $REFLECTS[\lambda x. rtran_closure(L,f(x),g(x)),$
 $\lambda i. rtran_closure(\#\#Lset(i),f(x),g(x))]$
(proof)

8.1.3 Transitive Closure of a Relation, Internalized

definition

tran_closure_fm :: $[i,i] \Rightarrow i$ where
 $tran_closure_fm(r,s) ==$
 $Exists(And(rtran_closure_fm(succ(r),0), composition_fm(succ(r),0,succ(s))))$

lemma *tran_closure_type* [TC]:
 $\| x \in nat; y \in nat \| \implies tran_closure_fm(x,y) \in formula$
(proof)

lemma *sats_tran_closure_fm* [simp]:
 $\| x \in nat; y \in nat; env \in list(A) \|$
 $\implies sats(A, tran_closure_fm(x,y), env) \longleftrightarrow$

```

tran_closure(##A, nth(x,env), nth(y,env))
⟨proof⟩

lemma tran_closure_iff_sats:
  [| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> tran_closure(##A, x, y) ↔ sats(A, tran_closure_fm(i,j), env)
⟨proof⟩

theorem tran_closure_reflection:
  REFLECTS[λx. tran_closure(L,f(x),g(x)),
            λi x. tran_closure(##Lset(i),f(x),g(x))]
⟨proof⟩

```

8.1.4 Separation for the Proof of *wellfounded_on_tranc*

```

lemma wellfounded_tranc_reflects:
  REFLECTS[λx. ∃w[L]. ∃wx[L]. ∃rp[L].
             w ∈ Z & pair(L,w,x,wx) & tran_closure(L,r,lp) & wx ∈ rp,
             λi x. ∃w ∈ Lset(i). ∃wx ∈ Lset(i). ∃rp ∈ Lset(i).
             w ∈ Z & pair(##Lset(i),w,x,wx) & tran_closure(##Lset(i),r,lp) &
             wx ∈ rp]
⟨proof⟩

lemma wellfounded_tranc_separation:
  [| L(r); L(Z) |] ==>
  separation (L, λx.
    ∃w[L]. ∃wx[L]. ∃rp[L].
    w ∈ Z & pair(L,w,x,wx) & tran_closure(L,r,lp) & wx ∈ rp)
⟨proof⟩

```

8.1.5 Instantiating the locale *M_tranc*

```

lemma M_tranc_axioms_L: M_tranc_axioms(L)
⟨proof⟩

```

```

theorem M_tranc_L: M_tranc(L)
⟨proof⟩

```

```

interpretation L: M_tranc L ⟨proof⟩

```

8.2 *L* is Closed Under the Operator *list*

8.2.1 Instances of Replacement for Lists

```

lemma list_replacement1_Reflects:
  REFLECTS
  [λx. ∃u[L]. u ∈ B ∧ (∃y[L]. pair(L,u,y,x) ∧
    is_wfrec(L, iterates_MH(L, is_list_functor(L,A), 0), memsn, u, y)),
   λi x. ∃u ∈ Lset(i). u ∈ B ∧ (∃y ∈ Lset(i). pair(##Lset(i), u, y, x) ∧
    is_wfrec(L, iterates_MH(L, is_list_functor(L,A), 0), memsn, u, y))]

```

```

is_wfrec(##Lset(i),
         iterates_MH(##Lset(i),
                      is_list_functor(##Lset(i), A), 0), memsn, u, y))]

⟨proof⟩

```

```

lemma list_replacement1:
  L(A) ==> iterates_replacement(L, is_list_functor(L,A), 0)
⟨proof⟩

```

```

lemma list_replacement2_Reflects:
  REFLECTS
    [λx. ∃ u[L]. u ∈ B & u ∈ nat &
     is_iterates(L, is_list_functor(L, A), 0, u, x),
     λi x. ∃ u ∈ Lset(i). u ∈ B & u ∈ nat &
     is_iterates(##Lset(i), is_list_functor(##Lset(i), A), 0, u, x)]
⟨proof⟩

```

```

lemma list_replacement2:
  L(A) ==> strong_replacement(L,
                                λn y. n∈nat & is_iterates(L, is_list_functor(L,A), 0, n, y))
⟨proof⟩

```

8.3 L is Closed Under the Operator formula

8.3.1 Instances of Replacement for Formulas

```

lemma formula_replacement1_Reflects:
  REFLECTS
    [λx. ∃ u[L]. u ∈ B & (∃ y[L]. pair(L,u,y,x) &
     is_wfrec(L, iterates_MH(L, is_formula_functor(L), 0), memsn, u, y)),
     λi x. ∃ u ∈ Lset(i). u ∈ B & (∃ y ∈ Lset(i). pair(##Lset(i), u, y, x) &
     is_wfrec(##Lset(i),
              iterates_MH(##Lset(i),
                          is_formula_functor(##Lset(i)), 0), memsn, u, y))]
⟨proof⟩

```

```

lemma formula_replacement1:
  iterates_replacement(L, is_formula_functor(L), 0)
⟨proof⟩

```

```

lemma formula_replacement2_Reflects:
  REFLECTS
    [λx. ∃ u[L]. u ∈ B & u ∈ nat &
     is_iterates(L, is_formula_functor(L), 0, u, x),
     λi x. ∃ u ∈ Lset(i). u ∈ B & u ∈ nat &
     is_iterates(##Lset(i), is_formula_functor(##Lset(i)), 0, u, x)]
⟨proof⟩

```

```

lemma formula_replacement2:
  strong_replacement(L,
     $\lambda n\ y. n \in \text{nat} \ \& \ \text{is\_iterates}(L, \text{is\_formula\_functor}(L), 0, n, y))$ 
  ⟨proof⟩

```

NB The proofs for type *formula* are virtually identical to those for *list(A)*. It was a cut-and-paste job!

8.3.2 The Formula *is_nth*, Internalized

definition

```

nth_fm :: [i,i,i] => i where
  nth_fm(n,l,Z) ===
    Exists(And(is_iterates_fm(tl_fm(1,0), succ(l), succ(n), 0),
      hd_fm(0,succ(Z))))

```

```

lemma nth_fm_type [TC]:
  [| x ∈ nat; y ∈ nat; z ∈ nat |] ==> nth_fm(x,y,z) ∈ formula
  ⟨proof⟩

```

```

lemma sats_nth_fm [simp]:
  [| x < length(env); y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, nth_fm(x,y,z), env)  $\longleftrightarrow$ 
    is_nth(##A, nth(x,env), nth(y,env), nth(z,env))
  ⟨proof⟩

```

```

lemma nth_iff_sats:
  [| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i < length(env); j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> is_nth(##A, x, y, z)  $\longleftrightarrow$  sats(A, nth_fm(i,j,k), env)
  ⟨proof⟩

```

theorem nth_reflection:

```

REFLECTS[ $\lambda x. \text{is\_nth}(L, f(x), g(x), h(x)),$ 
          $\lambda i\ x. \text{is\_nth}(##Lset(i), f(x), g(x), h(x))]$ 
  ⟨proof⟩

```

8.3.3 An Instance of Replacement for *nth*

lemma nth_replacement_Reflects:

```

REFLECTS
  [ $\lambda x. \exists u[L]. u \in B \ \& \ (\exists y[L]. \text{pair}(L,u,y,x) \ \&$ 
    $\text{is\_wfreq}(L, \text{iterates\_MH}(L, \text{is\_tl}(L), z), \text{memsn}, u, y)),$ 
    $\lambda i\ x. \exists u \in Lset(i). u \in B \ \& \ (\exists y \in Lset(i). \text{pair}(##Lset(i), u, y, x) \ \&$ 
    $\text{is\_wfreq}(##Lset(i),$ 
    $\text{iterates\_MH}(##Lset(i),$ 
    $\text{is\_tl}(##Lset(i)), z), \text{memsn}, u, y))]$ 
  ⟨proof⟩

```

lemma *nth_replacement*:
 $L(w) \implies \text{iterates_replacement}(L, \text{is_tl}(L), w)$
 $\langle \text{proof} \rangle$

8.3.4 Instantiating the locale $M_{\text{datatypes}}$

lemma $M_{\text{datatypes}}\text{axioms}_L: M_{\text{datatypes}}\text{axioms}(L)$
 $\langle \text{proof} \rangle$

theorem $M_{\text{datatypes}}_L: M_{\text{datatypes}}(L)$
 $\langle \text{proof} \rangle$

interpretation $L: M_{\text{datatypes}} L \langle \text{proof} \rangle$

8.4 L is Closed Under the Operator eclose

8.4.1 Instances of Replacement for eclose

lemma $\text{eclose_replacement1_Reflects}$:
 REFLECTS
 $[\lambda x. \exists u[L]. u \in B \& (\exists y[L]. \text{pair}(L, u, y, x) \&$
 $\text{is_wfrec}(L, \text{iterates_MH}(L, \text{big_union}(L), A), \text{memsn}, u, y)),$
 $\lambda i x. \exists u \in Lset(i). u \in B \& (\exists y \in Lset(i). \text{pair}(\#\#Lset(i), u, y, x) \&$
 $\text{is_wfrec}(\#\#Lset(i),$
 $\text{iterates_MH}(\#\#Lset(i), \text{big_union}(\#\#Lset(i)), A),$
 $\text{memsn}, u, y))]$
 $\langle \text{proof} \rangle$

lemma $\text{eclose_replacement1}$:
 $L(A) \implies \text{iterates_replacement}(L, \text{big_union}(L), A)$
 $\langle \text{proof} \rangle$

lemma $\text{eclose_replacement2_Reflects}$:
 REFLECTS
 $[\lambda x. \exists u[L]. u \in B \& u \in \text{nat} \&$
 $\text{is_iterates}(L, \text{big_union}(L), A, u, x),$
 $\lambda i x. \exists u \in Lset(i). u \in B \& u \in \text{nat} \&$
 $\text{is_iterates}(\#\#Lset(i), \text{big_union}(\#\#Lset(i)), A, u, x)]$
 $\langle \text{proof} \rangle$

lemma $\text{eclose_replacement2}$:
 $L(A) \implies \text{strong_replacement}(L,$
 $\lambda n y. n \in \text{nat} \& \text{is_iterates}(L, \text{big_union}(L), A, n, y))$
 $\langle \text{proof} \rangle$

8.4.2 Instantiating the locale M_{eclose}

lemma $M_{\text{eclose}}\text{axioms}_L: M_{\text{eclose}}\text{axioms}(L)$
 $\langle \text{proof} \rangle$

theorem $M_eclose_L: M_eclose(L)$
 $\langle proof \rangle$

interpretation $L: M_eclose L \langle proof \rangle$

end

9 Absoluteness for the Satisfies Relation on Formulas

theory $Satisfies_absolute$ **imports** $Datatype_absolute Rec_Separation$ **begin**

9.1 More Internalization

9.1.1 The Formula is_depth , Internalized

definition

```
depth_fm :: [i,i]=>i where
depth_fm(p,n) ==
Exists(Exists(Exists(
And(formula_N_fm(n#+3,1),
And(Neg(Member(p#+3,1)),
And(succ_fm(n#+3,2),
And(formula_N_fm(2,0), Member(p#+3,0)))))))
```

lemma $depth_fm_type [TC]:$

$[\| x \in nat; y \in nat \|] ==> depth_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma $sats_depth_fm [simp]:$

$[\| x \in nat; y < length(env); env \in list(A) \|]$
 $==> sats(A, depth_fm(x,y), env) \leftrightarrow$
 $is_depth(\#\#A, nth(x,env), nth(y,env))$

$\langle proof \rangle$

lemma $depth_iff_sats:$

$[\| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j < length(env); env \in list(A) \|]$
 $==> is_depth(\#\#A, x, y) \leftrightarrow sats(A, depth_fm(i,j), env)$

$\langle proof \rangle$

theorem $depth_reflection:$

$REFLECTS[\lambda x. is_depth(L, f(x), g(x)),$
 $\lambda i x. is_depth(\#\#Lset(i), f(x), g(x))]$

$\langle proof \rangle$

9.1.2 The Operator *is_formula_case*

The arguments of *is_a* are always 2, 1, 0, and the formula will be enclosed by three quantifiers.

definition

```

formula_case_fm :: [i, i, i, i, i, i] => i where
formula_case_fm(is_a, is_b, is_c, is_d, v, z) ==
  And(Forall(Forall(Implies(finite_ordinal_fm(1),
    Implies(finite_ordinal_fm(0),
      Implies(Member_fm(1,0,v#+2),
        Forall(Implies(Equal(0,z#+3), is_a))))))),
  And(Forall(Forall(Implies(finite_ordinal_fm(1),
    Implies(finite_ordinal_fm(0),
      Implies(Equal_fm(1,0,v#+2),
        Forall(Implies(Equal(0,z#+3), is_b))))))),
  And(Forall(Forall(Implies(mem_formula_fm(1),
    Implies(mem_formula_fm(0),
      Implies(Nand_fm(1,0,v#+2),
        Forall(Implies(Equal(0,z#+3), is_c))))),
    Forall(Implies(mem_formula_fm(0),
      Implies(Forall_fm(0,succ(v)),
        Forall(Implies(Equal(0,z#+2), is_d)))))))

```

lemma *is_formula_case_type* [TC]:

```

[| is_a ∈ formula; is_b ∈ formula; is_c ∈ formula; is_d ∈ formula;
  x ∈ nat; y ∈ nat |]
==> formula_case_fm(is_a, is_b, is_c, is_d, x, y) ∈ formula

```

{proof}

lemma *sats_formula_case_fm*:

assumes *is_a_iff_sats*:

!!a0 a1 a2.

[| a0 ∈ A; a1 ∈ A; a2 ∈ A |]

==> ISA(a2, a1, a0) ←→ sats(A, is_a, Cons(a0, Cons(a1, Cons(a2, env))))

and *is_b_iff_sats*:

!!a0 a1 a2.

[| a0 ∈ A; a1 ∈ A; a2 ∈ A |]

==> ISB(a2, a1, a0) ←→ sats(A, is_b, Cons(a0, Cons(a1, Cons(a2, env))))

and *is_c_iff_sats*:

!!a0 a1 a2.

[| a0 ∈ A; a1 ∈ A; a2 ∈ A |]

==> ISC(a2, a1, a0) ←→ sats(A, is_c, Cons(a0, Cons(a1, Cons(a2, env))))

and *is_d_iff_sats*:

!!a0 a1.

[| a0 ∈ A; a1 ∈ A |]

==> ISD(a1, a0) ←→ sats(A, is_d, Cons(a0, Cons(a1, env)))

shows

[| x ∈ nat; y < length(env); env ∈ list(A) |]

```

==> sats(A, formula_case_fm(is_a,is_b,is_c,is_d,x,y), env) <=>
    is_formula_case(#A, ISA, ISB, ISC, ISD, nth(x,env), nth(y,env))
⟨proof⟩

lemma formula_case_iff_sats:
assumes is_a_iff_sats:
  !!a0 a1 a2.
  [|a0∈A; a1∈A; a2∈A|]
  ==> ISA(a2, a1, a0) <=> sats(A, is_a, Cons(a0,Cons(a1,Cons(a2,env))))
and is_b_iff_sats:
  !!a0 a1 a2.
  [|a0∈A; a1∈A; a2∈A|]
  ==> ISB(a2, a1, a0) <=> sats(A, is_b, Cons(a0,Cons(a1,Cons(a2,env))))
and is_c_iff_sats:
  !!a0 a1 a2.
  [|a0∈A; a1∈A; a2∈A|]
  ==> ISC(a2, a1, a0) <=> sats(A, is_c, Cons(a0,Cons(a1,Cons(a2,env))))
and is_d_iff_sats:
  !!a0 a1.
  [|a0∈A; a1∈A|]
  ==> ISD(a1, a0) <=> sats(A, is_d, Cons(a0,Cons(a1,env)))
shows
  [|nth(i,env) = x; nth(j,env) = y;
   i ∈ nat; j < length(env); env ∈ list(A)|]
  ==> is_formula_case(#A, ISA, ISB, ISC, ISD, x, y) <=>
      sats(A, formula_case_fm(is_a,is_b,is_c,is_d,i,j), env)
⟨proof⟩

```

The second argument of *is_a* gives it direct access to *x*, which is essential for handling free variable references. Treatment is based on that of *is_nat_case_reflection*.

```

theorem is_formula_case_reflection:
assumes is_a_reflection:
  !!h f g g'. REFLECTS[λx. is_a(L, h(x), f(x), g(x), g'(x)),
                           λi x. is_a(#Lset(i), h(x), f(x), g(x), g'(x))]
and is_b_reflection:
  !!h f g g'. REFLECTS[λx. is_b(L, h(x), f(x), g(x), g'(x)),
                           λi x. is_b(#Lset(i), h(x), f(x), g(x), g'(x))]
and is_c_reflection:
  !!h f g g'. REFLECTS[λx. is_c(L, h(x), f(x), g(x), g'(x)),
                           λi x. is_c(#Lset(i), h(x), f(x), g(x), g'(x))]
and is_d_reflection:
  !!h f g g'. REFLECTS[λx. is_d(L, h(x), f(x), g(x)),
                           λi x. is_d(#Lset(i), h(x), f(x), g(x))]
shows REFLECTS[λx. is_formula_case(L, is_a(L,x), is_b(L,x), is_c(L,x),
                                         is_d(L,x), g(x), h(x)),
               λi x. is_formula_case(#Lset(i), is_a(#Lset(i), x), is_b(#Lset(i),
                                         x), is_c(#Lset(i), x), is_d(#Lset(i), x, g(x), h(x)))]
⟨proof⟩

```

9.2 Absoluteness for the Function *satisfies*

definition

```
is_depth_apply :: [i=>o,i,i,i] => o where
— Merely a useful abbreviation for the sequel.
is_depth_apply(M,h,p,z) ==
  ∃ dp[M]. ∃ sdp[M]. ∃ hsdp[M].
    finite_ordinal(M,dp) & is_depth(M,p,dp) & successor(M,dp,sdp) &
    fun_apply(M,h,sdp,hsdp) & fun_apply(M,hsdp,p,z)
```

lemma (in M_datatypes) is_depth_apply_abs [simp]:

```
[[M(h); p ∈ formula; M(z)]]
==> is_depth_apply(M,h,p,z) ←→ z = h ‘ succ(depth(p)) ‘ p
⟨proof⟩
```

There is at present some redundancy between the relativizations in e.g. *satisfies_is_a* and those in e.g. *Member_replacement*.

These constants let us instantiate the parameters *a*, *b*, *c*, *d*, etc., of the locale *Formula_Rec*.

definition

```
satisfies_a :: [i,i,i]=>i where
satisfies_a(A) ==
  λx y. λenv ∈ list(A). bool_of_o (nth(x,env) ∈ nth(y,env))
```

definition

```
satisfies_is_a :: [i=>o,i,i,i,i] => o where
satisfies_is_a(M,A) ==
  λx y zz. ∀ lA[M]. is_list(M,A,lA) —>
    is_lambda(M, lA,
      λenv z. is_bool_of_o(M,
        ∃ nx[M]. ∃ ny[M].
          is_nth(M,x,env,nx) & is_nth(M,y,env,ny) & nx ∈ ny, z),
      zz)
```

definition

```
satisfies_b :: [i,i,i]=>i where
satisfies_b(A) ==
  λx y. λenv ∈ list(A). bool_of_o (nth(x,env) = nth(y,env))
```

definition

```
satisfies_is_b :: [i=>o,i,i,i,i] => o where
— We simplify the formula to have just nx rather than introducing ny with nx = ny
satisfies_is_b(M,A) ==
  λx y zz. ∀ lA[M]. is_list(M,A,lA) —>
    is_lambda(M, lA,
      λenv z. is_bool_of_o(M,
        ∃ nx[M]. is_nth(M,x,env,nx) & is_nth(M,y,env,nx), z),
      zz)
```

definition

satisfies_c :: $[i,i,i,i,i] \Rightarrow i$ **where**
 $\text{satisfies_c}(A) == \lambda p q rp rq. \lambda env \in \text{list}(A). \text{not}(rp ` env \text{ and } rq ` env)$

definition

satisfies_is_c :: $[i \Rightarrow o, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{satisfies_is_c}(M, A, h) == \lambda p q zz. \forall lA[M]. \text{is_list}(M, A, lA) \rightarrow$
 $\quad \text{is_lambda}(M, lA, \lambda env z. \exists hp[M]. \exists hq[M].$
 $\quad (\exists rp[M]. \text{is_depth_apply}(M, h, p, rp) \& \text{fun_apply}(M, rp, env, hp)) \&$
 $\quad (\exists rq[M]. \text{is_depth_apply}(M, h, q, rq) \& \text{fun_apply}(M, rq, env, hq)) \&$
 $\quad (\exists pq[M]. \text{is_and}(M, hp, hq, pq) \& \text{is_not}(M, pq, z)),$
 $\quad zz)$

definition

satisfies_d :: $[i, i, i] \Rightarrow i$ **where**
 $\text{satisfies_d}(A) == \lambda p rp. \lambda env \in \text{list}(A). \text{bool_of_o}(\forall x \in A. rp ` (\text{Cons}(x, env)) = 1)$

definition

satisfies_is_d :: $[i \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 $\text{satisfies_is_d}(M, A, h) == \lambda p zz. \forall lA[M]. \text{is_list}(M, A, lA) \rightarrow$
 $\quad \text{is_lambda}(M, lA,$
 $\quad \lambda env z. \exists rp[M]. \text{is_depth_apply}(M, h, p, rp) \&$
 $\quad \text{is_bool_of_o}(M,$
 $\quad \forall x[M]. \forall xenv[M]. \forall hp[M].$
 $\quad x \in A \rightarrow \text{is_Cons}(M, x, env, xenv) \rightarrow$
 $\quad \text{fun_apply}(M, rp, xenv, hp) \rightarrow \text{number1}(M, hp),$
 $\quad z),$
 $\quad zz)$

definition

satisfies_MH :: $[i \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 \quad — The variable u is unused, but gives *satisfies_MH* the correct arity.
 $\text{satisfies_MH} == \lambda M A u f z.$
 $\quad \forall fml[M]. \text{is_formula}(M, fml) \rightarrow$
 $\quad \text{is_lambda}(M, fml,$
 $\quad \text{is_formula_case}(M, \text{satisfies_is_a}(M, A),$
 $\quad \text{satisfies_is_b}(M, A),$
 $\quad \text{satisfies_is_c}(M, A, f), \text{satisfies_is_d}(M, A, f)),$
 $\quad z)$

definition

is_satisfies :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $\text{is_satisfies}(M, A) == \text{is_formula_rec}(M, \text{satisfies_MH}(M, A))$

This lemma relates the fragments defined above to the original primitive

recursion in *satisfies*. Induction is not required: the definitions are directly equal!

```
lemma satisfies_eq:
  satisfies(A,p) =
    formula_rec (satisfies_a(A), satisfies_b(A),
                  satisfies_c(A), satisfies_d(A), p)
  ⟨proof⟩
```

Further constraints on the class *M* in order to prove absoluteness for the constants defined above. The ultimate goal is the absoluteness of the function *satisfies*.

```
locale M_satisfies = M_eclose + M_datatypes +
assumes
  Member_replacement:
    [|M(A); x ∈ nat; y ∈ nat|]
    ==> strong_replacement
      (M, λenv z. ∃bo[M]. ∃nx[M]. ∃ny[M].
       env ∈ list(A) & is_nth(M,x,env,nx) & is_nth(M,y,env,ny) &
       is_bool_of_o(M, nx = ny, bo) &
       pair(M, env, bo, z))
```

and

```
Equal_replacement:
  [|M(A); x ∈ nat; y ∈ nat|]
  ==> strong_replacement
    (M, λenv z. ∃bo[M]. ∃nx[M]. ∃ny[M].
     env ∈ list(A) & is_nth(M,x,env,nx) & is_nth(M,y,env,ny) &
     is_bool_of_o(M, nx = ny, bo) &
     pair(M, env, bo, z))
```

and

```
Nand_replacement:
  [|M(A); M(rp); M(rq)|]
  ==> strong_replacement
    (M, λenv z. ∃rpe[M]. ∃rqe[M]. ∃andpq[M]. ∃notpq[M].
     fun_apply(M,rp,env,rpe) & fun_apply(M,rq,env,rqe) &
     is_and(M,rpe,rqe, andpq) & is_not(M, andpq, notpq) &
     env ∈ list(A) & pair(M, env, notpq, z))
```

and

```
Forall_replacement:
  [|M(A); M(rp)|]
  ==> strong_replacement
    (M, λenv z. ∃bo[M].
     env ∈ list(A) &
     is_bool_of_o(M,
       ∀a[M]. ∀co[M]. ∀rpco[M].
         a ∈ A —> is_Cons(M,a,env,co) —>
         fun_apply(M, rp, co, rpco) —> number1(M, rpco),
         bo) &
       pair(M, env, bo, z)))
```

and

formula_rec_replacement:

— For the *transrec*

$\llbracket [n \in \text{nat}; M(A)] \rrbracket \implies \text{transrec_replacement}(M, \text{satisfies_MH}(M, A), n)$
and

formula_rec_lambda_replacement:

— For the λ -abstraction in the *transrec* body

$\llbracket [M(g); M(A)] \rrbracket \implies \text{strong_replacement}(M,$
 $\lambda x y. \text{mem_formula}(M, x) \&$
 $(\exists c[M]. \text{is_formula_case}(M, \text{satisfies_is_a}(M, A),$
 $\text{satisfies_is_b}(M, A),$
 $\text{satisfies_is_c}(M, A, g),$
 $\text{satisfies_is_d}(M, A, g), x, c) \&$
 $\text{pair}(M, x, c, y)))$

lemma (in $M_{\text{satisfies}}$) Member_replacement':

$\llbracket [M(A); x \in \text{nat}; y \in \text{nat}] \rrbracket$

$\implies \text{strong_replacement}$

$(M, \lambda \text{env } z. \text{env} \in \text{list}(A) \&$
 $z = \langle \text{env}, \text{bool_of_o}(\text{nth}(x, \text{env}) \in \text{nth}(y, \text{env})) \rangle)$

$\langle \text{proof} \rangle$

lemma (in $M_{\text{satisfies}}$) Equal_replacement':

$\llbracket [M(A); x \in \text{nat}; y \in \text{nat}] \rrbracket$

$\implies \text{strong_replacement}$

$(M, \lambda \text{env } z. \text{env} \in \text{list}(A) \&$
 $z = \langle \text{env}, \text{bool_of_o}(\text{nth}(x, \text{env}) = \text{nth}(y, \text{env})) \rangle)$

$\langle \text{proof} \rangle$

lemma (in $M_{\text{satisfies}}$) Nand_replacement':

$\llbracket [M(A); M(rp); M(rq)] \rrbracket$

$\implies \text{strong_replacement}$

$(M, \lambda \text{env } z. \text{env} \in \text{list}(A) \& z = \langle \text{env}, \text{not}(rp^{\text{'}} \text{env} \text{ and } rq^{\text{'}} \text{env})) \rangle)$

$\langle \text{proof} \rangle$

lemma (in $M_{\text{satisfies}}$) Forall_replacement':

$\llbracket [M(A); M(rp)] \rrbracket$

$\implies \text{strong_replacement}$

$(M, \lambda \text{env } z.$
 $\text{env} \in \text{list}(A) \&$
 $z = \langle \text{env}, \text{bool_of_o} (\forall a \in A. rp^{\text{'}} \text{Cons}(a, env) = 1) \rangle)$

$\langle \text{proof} \rangle$

lemma (in $M_{\text{satisfies}}$) a_closed:

$\llbracket [M(A); x \in \text{nat}; y \in \text{nat}] \rrbracket \implies M(\text{satisfies_a}(A, x, y))$

$\langle \text{proof} \rangle$

lemma (in $M_{\text{satisfies}}$) a_rel:

$M(A) ==> Relation2(M, nat, nat, satisfies_is_a(M,A), satisfies_a(A))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) b_closed :
 $[|M(A); x \in nat; y \in nat|] ==> M(satisfies_b(A,x,y))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) b_rel :
 $M(A) ==> Relation2(M, nat, nat, satisfies_is_b(M,A), satisfies_b(A))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) c_closed :
 $[|M(A); x \in formula; y \in formula; M(rx); M(ry)|]$
 $==> M(satisfies_c(A,x,y,rx,ry))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) c_rel :
 $[|M(A); M(f)|] ==>$
 $Relation2(M, formula, formula,$
 $satisfies_is_c(M,A,f),$
 $\lambda u v. satisfies_c(A, u, v, f ` succ(depth(u)) ` u,$
 $f ` succ(depth(v)) ` v))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) d_closed :
 $[|M(A); x \in formula; M(rx)|] ==> M(satisfies_d(A,x,rx))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) d_rel :
 $[|M(A); M(f)|] ==>$
 $Relation1(M, formula, satisfies_is_d(M,A,f),$
 $\lambda u. satisfies_d(A, u, f ` succ(depth(u)) ` u))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) $fr_replace$:
 $[|n \in nat; M(A)|] ==> transrec_replacement(M, satisfies_MH(M,A), n)$
 $\langle proof \rangle$

lemma (in $M_satisfies$) $formula_case_satisfies_closed$:
 $[|M(g); M(A); x \in formula|] ==>$
 $M(formula_case (satisfies_a(A), satisfies_b(A),$
 $\lambda u v. satisfies_c(A, u, v,$
 $g ` succ(depth(u)) ` u, g ` succ(depth(v)) ` v),$
 $\lambda u. satisfies_d (A, u, g ` succ(depth(u)) ` u,$
 $x))$
 $\langle proof \rangle$

lemma (in $M_satisfies$) $fr_lam_replace$:

```


$$[|M(g); M(A)|] ==>
  \text{strong\_replacement } (M, \lambda x y. x \in formula \&
    y = \langle x,
      formula\_rec\_case(satisfies\_a(A),
        satisfies\_b(A),
        satisfies\_c(A),
        satisfies\_d(A), g, x)))$$


```

$\langle proof \rangle$

Instantiate locale *Formula_Rec* for the Function *satisfies*

lemma (in *M_satisfies*) *Formula_Rec_axioms_M*:

```


$$M(A) ==>
  Formula\_Rec\_axioms(M, satisfies\_a(A), satisfies\_is\_a(M,A),
    satisfies\_b(A), satisfies\_is\_b(M,A),
    satisfies\_c(A), satisfies\_is\_c(M,A),
    satisfies\_d(A), satisfies\_is\_d(M,A))$$


```

$\langle proof \rangle$

theorem (in *M_satisfies*) *Formula_Rec_M*:

```


$$M(A) ==>
  Formula\_Rec(M, satisfies\_a(A), satisfies\_is\_a(M,A),
    satisfies\_b(A), satisfies\_is\_b(M,A),
    satisfies\_c(A), satisfies\_is\_c(M,A),
    satisfies\_d(A), satisfies\_is\_d(M,A))$$


```

$\langle proof \rangle$

lemmas (in *M_satisfies*)

```

  satisfies\_closed' = Formula_Rec.formula_rec_closed [OF Formula_Rec_M]
  and satisfies\_abs' = Formula_Rec.formula_rec_abs [OF Formula_Rec_M]
```

lemma (in *M_satisfies*) *satisfies_closed*:

```
[|M(A); p \in formula|] ==> M(satisfies(A,p))
```

$\langle proof \rangle$

lemma (in *M_satisfies*) *satisfies_abs*:

```
[|M(A); M(z); p \in formula|]
  ==> is\_satisfies(M,A,p,z) \longleftrightarrow z = satisfies(A,p)
```

$\langle proof \rangle$

9.3 Internalizations Needed to Instantiate *M_satisfies*

9.3.1 The Operator *is_depth_apply*, Internalized

definition

```
depth\_apply\_fm :: [i,i,i] => i where
```

```
depth\_apply\_fm(h,p,z) ==
```

```
Exists(Exists(Exists(
```

```
And(finite\_ordinal\_fm(2),
```

```


$$\begin{aligned} & And(depth\_fm(p\#+3,2), \\ & \quad And(succ\_fm(2,1), \\ & \quad \quad And(fun\_apply\_fm(h\#+3,1,0), fun\_apply\_fm(0,p\#+3,z\#+3))))))) \end{aligned}$$


```

lemma *depth_apply_type* [*TC*]:

$\left[\begin{array}{l} x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \end{array} \right] \implies depth_apply_fm(x,y,z) \in \text{formula}$

(proof)

lemma *sats_depth_apply_fm* [*simp*]:

$\left[\begin{array}{l} x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; env \in \text{list}(A) \end{array} \right] \implies sats(A, depth_apply_fm(x,y,z), env) \longleftrightarrow$
 $is_depth_apply(\#\#A, nth(x,env), nth(y,env), nth(z,env))$

(proof)

lemma *depth_apply_iff_sats*:

$\left[\begin{array}{l} nth(i,env) = x; nth(j,env) = y; nth(k,env) = z; \\ i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; env \in \text{list}(A) \end{array} \right] \implies is_depth_apply(\#\#A, x, y, z) \longleftrightarrow sats(A, depth_apply_fm(i,j,k), env)$

(proof)

lemma *depth_apply_reflection*:

REFLECTS[$\lambda x. is_depth_apply(L,f(x),g(x),h(x)),$
 $\lambda i. is_depth_apply(\#\#Lset(i),f(x),g(x),h(x))]$

(proof)

9.3.2 The Operator *satisfies_is_a*, Internalized

definition

satisfies_is_a_fm :: $[i,i,i,i] \Rightarrow i$ **where**
 $satisfies_is_a_fm(A,x,y,z) ==$
Forall(
 $Implies(is_list_fm(succ(A),0),$
 $\lambda lambda_fm($
 $bool_of_o_fm(Exists($
 $Exists(And(nth_fm(x\#+6,3,1),$
 $And(nth_fm(y\#+6,3,0),$
 $Member(1,0)))), 0),$
 $0, succ(z))))$

lemma *satisfies_is_a_type* [*TC*]:

$\left[\begin{array}{l} A \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \end{array} \right] \implies satisfies_is_a_fm(A,x,y,z) \in \text{formula}$

(proof)

lemma *sats_satisfies_is_a_fm* [*simp*]:

$\left[\begin{array}{l} u \in \text{nat}; x < length(env); y < length(env); z \in \text{nat}; env \in \text{list}(A) \end{array} \right] \implies sats(A, satisfies_is_a_fm(u,x,y,z), env) \longleftrightarrow$
 $satisfies_is_a(\#\#A, nth(u,env), nth(x,env), nth(y,env), nth(z,env))$

(proof)

lemma *satisfies_is_a_iff_sats*:
 $\lambda [u \in \text{nat}; x < \text{length}(\text{env}); y < \text{length}(\text{env}); z \in \text{nat}; \text{env} \in \text{list}(A)]$
 $\Rightarrow \text{satisfies_is_a}(\#\#A, nu, nx, ny, nz) \leftrightarrow$
 $\text{sats}(A, \text{satisfies_is_a_fm}(u, x, y, z), \text{env})$
(proof)

theorem *satisfies_is_a_reflection*:
 $\text{REFLECTS}[\lambda x. \text{satisfies_is_a}(L, f(x), g(x), h(x), g'(x)),$
 $\lambda i. x. \text{satisfies_is_a}(\#\#Lset(i), f(x), g(x), h(x), g'(x))]$
(proof)

9.3.3 The Operator *satisfies_is_b*, Internalized

definition

satisfies_is_b_fm :: $[i, i, i, i] \Rightarrow i$ **where**
 $\text{satisfies_is_b_fm}(A, x, y, z) ==$
 $\text{Forall}(\text{Implies}(\text{is_list_fm}(\text{succ}(A), 0),$
 $\text{lambda_fm}(\text{bool_of_o_fm}(\text{Exists}(\text{And}(\text{nth_fm}(x\#\#+5, 2, 0), \text{nth_fm}(y\#\#+5, 2, 0))), 0),$
 $0, \text{succ}(z))))$

lemma *satisfies_is_b_type* [TC]:
 $\lambda [A \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]$
 $\Rightarrow \text{satisfies_is_b_fm}(A, x, y, z) \in \text{formula}$
(proof)

lemma *sats_satisfies_is_b_fm [simp]*:
 $\lambda [u \in \text{nat}; x < \text{length}(\text{env}); y < \text{length}(\text{env}); z \in \text{nat}; \text{env} \in \text{list}(A)]$
 $\Rightarrow \text{sats}(A, \text{satisfies_is_b_fm}(u, x, y, z), \text{env}) \leftrightarrow$
 $\text{satisfies_is_b}(\#\#A, \text{nth}(u, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
(proof)

lemma *satisfies_is_b_iff_sats*:
 $\lambda [u \in \text{nat}; x < \text{length}(\text{env}); y < \text{length}(\text{env}); z \in \text{nat}; \text{env} \in \text{list}(A)]$
 $\Rightarrow \text{satisfies_is_b}(\#\#A, nu, nx, ny, nz) \leftrightarrow$
 $\text{sats}(A, \text{satisfies_is_b_fm}(u, x, y, z), \text{env})$
(proof)

theorem *satisfies_is_b_reflection*:
 $\text{REFLECTS}[\lambda x. \text{satisfies_is_b}(L, f(x), g(x), h(x), g'(x)),$
 $\lambda i. x. \text{satisfies_is_b}(\#\#Lset(i), f(x), g(x), h(x), g'(x))]$
(proof)

9.3.4 The Operator *satisfies_is_c*, Internalized

definition

```

satisfies_is_c_fm :: [i,i,i,i,i]=>i where
satisfies_is_c_fm(A,h,p,q,zz) ==
Forall(
  Implies(is_list_fm(succ(A),0),
  lambda_fm(
    Exists(Exists(
      And(Exists(And(depth_apply_fm(h#+7,p#+7,0), fun_apply_fm(0,4,2))),
      And(Exists(And(depth_apply_fm(h#+7,q#+7,0), fun_apply_fm(0,4,1))),
        Exists(And(and_fm(2,1,0), not_fm(0,3))))),
      0, succ(zz)))))

lemma satisfies_is_c_type [TC]:
[| A ∈ nat; h ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat |]
==> satisfies_is_c_fm(A,h,x,y,z) ∈ formula
⟨proof⟩

lemma sats_satisfies_is_c_fm [simp]:
[| u ∈ nat; v ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, satisfies_is_c_fm(u,v,x,y,z), env) ↔
  satisfies_is_c(##A, nth(u,env), nth(v,env), nth(x,env),
  nth(y,env), nth(z,env))
⟨proof⟩

lemma satisfies_is_c_iff_sats:
[| nth(u,env) = nu; nth(v,env) = nv; nth(x,env) = nx; nth(y,env) = ny;
  nth(z,env) = nz;
  u ∈ nat; v ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> satisfies_is_c(##A,nu,nv,nx,ny,nz) ↔
  sats(A, satisfies_is_c_fm(u,v,x,y,z), env)
⟨proof⟩

theorem satisfies_is_c_reflection:
REFLECTS[λx. satisfies_is_c(L,f(x),g(x),h(x),g'(x),h'(x)),
          λi x. satisfies_is_c(##Lset(i),f(x),g(x),h(x),g'(x),h'(x))]
⟨proof⟩

```

9.3.5 The Operator *satisfies_is_d*, Internalized

definition

```

satisfies_is_d_fm :: [i,i,i,i,i]=>i where
satisfies_is_d_fm(A,h,p,zz) ==
Forall(
  Implies(is_list_fm(succ(A),0),
  lambda_fm(
    Exists(
      And(depth_apply_fm(h#+5,p#+5,0),
        bool_of_o_fm(
          Forall(Forall(Forall(
            Implies(Member(2,A#+8),

```

$\text{Implies}(\text{Cons_fm}(2, 5, 1),$
 $\text{Implies}(\text{fun_apply_fm}(3, 1, 0), \text{number1_fm}(0)))))), 1))),$
 $0, \text{succ}(zz))))$

lemma *satisfies_is_d_type* [TC]:
 $\text{[} A \in \text{nat}; h \in \text{nat}; x \in \text{nat}; z \in \text{nat} \text{]}$
 $\implies \text{satisfies_is_d_fm}(A, h, x, z) \in \text{formula}$
(proof)

lemma *sats_satisfies_is_d_fm* [simp]:
 $\text{[} u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$
 $\implies \text{sats}(A, \text{satisfies_is_d_fm}(u, x, y, z), \text{env}) \longleftrightarrow$
 $\text{satisfies_is_d}(\#\#A, \text{nth}(u, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
(proof)

lemma *satisfies_is_d_iff_sats*:
 $\text{[} \text{nth}(u, \text{env}) = nu; \text{nth}(x, \text{env}) = nx; \text{nth}(y, \text{env}) = ny; \text{nth}(z, \text{env}) = nz;$
 $u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$
 $\implies \text{satisfies_is_d}(\#\#A, nu, nx, ny, nz) \longleftrightarrow$
 $\text{sats}(A, \text{satisfies_is_d_fm}(u, x, y, z), \text{env})$
(proof)

theorem *satisfies_is_d_reflection*:
 $\text{REFLECTS}[\lambda x. \text{satisfies_is_d}(L, f(x), g(x), h(x), g'(x)),$
 $\lambda i x. \text{satisfies_is_d}(\#\#L\text{set}(i), f(x), g(x), h(x), g'(x))]$
(proof)

9.3.6 The Operator *satisfies_MH*, Internalized

definition
 $\text{satisfies_MH_fm} :: [i, i, i, i] \Rightarrow i \text{ where}$
 $\text{satisfies_MH_fm}(A, u, f, zz) ==$
 Forall(
 $\text{Implies}(\text{is_formula_fm}(0),$
 $\text{lambda_fm($
 $\text{formula_case_fm}(\text{satisfies_is_a_fm}(A\#\#7, 2, 1, 0),$
 $\text{satisfies_is_b_fm}(A\#\#7, 2, 1, 0),$
 $\text{satisfies_is_c_fm}(A\#\#7, f\#\#7, 2, 1, 0),$
 $\text{satisfies_is_d_fm}(A\#\#6, f\#\#6, 1, 0),$
 $1, 0),$
 $0, \text{succ}(zz))))$

lemma *satisfies_MH_type* [TC]:
 $\text{[} A \in \text{nat}; u \in \text{nat}; x \in \text{nat}; z \in \text{nat} \text{]}$
 $\implies \text{satisfies_MH_fm}(A, u, x, z) \in \text{formula}$
(proof)

lemma *sats_satisfies_MH_fm* [simp]:
 $\text{[} u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \text{]}$

```

==> sats(A, satisfies_MH_fm(u,x,y,z), env) <=>
    satisfies_MH(#A, nth(u,env), nth(x,env), nth(y,env), nth(z,env))
⟨proof⟩

```

lemma *satisfies_MH_iff_sats*:

```

[| nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) = nz;
   u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> satisfies_MH(#A, nu, nx, ny, nz) <=>
    sats(A, satisfies_MH_fm(u,x,y,z), env)
⟨proof⟩

```

lemmas *satisfies_reflections* =

```

is_lambda_reflection is_formula_reflection
is_formula_case_reflection
satisfies_is_a_reflection satisfies_is_b_reflection
satisfies_is_c_reflection satisfies_is_d_reflection

```

theorem *satisfies_MH_reflection*:

```

REFLECTS[λx. satisfies_MH(L,f(x),g(x),h(x),g'(x)),
          λi x. satisfies_MH(#Lset(i),f(x),g(x),h(x),g'(x))]
⟨proof⟩

```

9.4 Lemmas for Instantiating the Locale *M_satisfies*

9.4.1 The Member Case

lemma *Member_Reflects*:

```

REFLECTS[λu. ∃ v[L]. v ∈ B ∧ (∃ bo[L]. ∃ nx[L]. ∃ ny[L].
   v ∈ lstA ∧ is_nth(L,x,v,nx) ∧ is_nth(L,y,v,ny) ∧
   is_bool_of_o(L, nx ∈ ny, bo) ∧ pair(L,v,bo,u)),
          λi u. ∃ v ∈ Lset(i). v ∈ B ∧ (∃ bo ∈ Lset(i). ∃ nx ∈ Lset(i). ∃ ny ∈ Lset(i).
   v ∈ lstA ∧ is_nth(#Lset(i), x, v, nx) ∧
   is_nth(#Lset(i), y, v, ny) ∧
   is_bool_of_o(#Lset(i), nx ∈ ny, bo) ∧ pair(#Lset(i), v, bo, u))]
⟨proof⟩

```

lemma *Member_replacement*:

```

[|L(A); x ∈ nat; y ∈ nat|]
==> strong_replacement
(L, λenv z. ∃ bo[L]. ∃ nx[L]. ∃ ny[L].
   env ∈ list(A) & is_nth(L,x,env,nx) & is_nth(L,y,env,ny) &
   is_bool_of_o(L, nx ∈ ny, bo) &
   pair(L, env, bo, z))
⟨proof⟩

```

9.4.2 The Equal Case

lemma *Equal_Reflects*:

```

REFLECTS[λu. ∃ v[L]. v ∈ B ∧ (∃ bo[L]. ∃ nx[L]. ∃ ny[L].

```

$v \in lstA \wedge is_nth(L, x, v, nx) \wedge is_nth(L, y, v, ny) \wedge$
 $is_bool_of_o(L, nx = ny, bo) \wedge pair(L, v, bo, u)),$
 $\lambda i u. \exists v \in Lset(i). v \in B \wedge (\exists bo \in Lset(i). \exists nx \in Lset(i). \exists ny \in Lset(i).$
 $v \in lstA \wedge is_nth(\#\#Lset(i), x, v, nx) \wedge$
 $is_nth(\#\#Lset(i), y, v, ny) \wedge$
 $is_bool_of_o(\#\#Lset(i), nx = ny, bo) \wedge pair(\#\#Lset(i), v, bo, u))]$
 $\langle proof \rangle$

lemma *Equal_replacement*:

$[\|L(A); x \in nat; y \in nat\|]$
 $\implies strong_replacement$
 $(L, \lambda env z. \exists bo[L]. \exists nx[L]. \exists ny[L].$
 $env \in list(A) \wedge is_nth(L, x, env, nx) \wedge is_nth(L, y, env, ny) \wedge$
 $is_bool_of_o(L, nx = ny, bo) \wedge$
 $pair(L, env, bo, z))$

$\langle proof \rangle$

9.4.3 The Nand Case

lemma *Nand_Reflects*:

$REFLECTS [\lambda x. \exists u[L]. u \in B \wedge$
 $(\exists rpe[L]. \exists rqe[L]. \exists andpq[L]. \exists notpq[L].$
 $fun_apply(L, rp, u, rpe) \wedge fun_apply(L, rq, u, rqe) \wedge$
 $is_and(L, rpe, rqe, andpq) \wedge is_not(L, andpq, notpq) \wedge$
 $u \in list(A) \wedge pair(L, u, notpq, x)),$
 $\lambda i x. \exists u \in Lset(i). u \in B \wedge$
 $(\exists rpe \in Lset(i). \exists rqe \in Lset(i). \exists andpq \in Lset(i). \exists notpq \in Lset(i).$
 $fun_apply(\#\#Lset(i), rp, u, rpe) \wedge fun_apply(\#\#Lset(i), rq, u, rqe) \wedge$
 $is_and(\#\#Lset(i), rpe, rqe, andpq) \wedge is_not(\#\#Lset(i), andpq, notpq) \wedge$
 $u \in list(A) \wedge pair(\#\#Lset(i), u, notpq, x))]$
 $\langle proof \rangle$

lemma *Nand_replacement*:

$[\|L(A); L(rp); L(rq)\|]$
 $\implies strong_replacement$
 $(L, \lambda env z. \exists rpe[L]. \exists rqe[L]. \exists andpq[L]. \exists notpq[L].$
 $fun_apply(L, rp, env, rpe) \wedge fun_apply(L, rq, env, rqe) \wedge$
 $is_and(L, rpe, rqe, andpq) \wedge is_not(L, andpq, notpq) \wedge$
 $env \in list(A) \wedge pair(L, env, notpq, z))$

$\langle proof \rangle$

9.4.4 The Forall Case

lemma *Forall_Reflects*:

$REFLECTS [\lambda x. \exists u[L]. u \in B \wedge (\exists bo[L]. u \in list(A) \wedge$
 $is_bool_of_o(L,$
 $\forall a[L]. \forall co[L]. \forall rpcos[L]. a \in A \longrightarrow$
 $is_Cons(L, a, u, co) \longrightarrow fun_apply(L, rp, co, rpcos) \longrightarrow$
 $number1(L, rpcos),$

$$\begin{aligned}
& bo) \wedge pair(L, u, bo, x)), \\
\lambda i x. \exists u \in Lset(i). & u \in B \wedge (\exists bo \in Lset(i). u \in list(A) \wedge \\
& is_bool_of_o (\#\#Lset(i), \\
\forall a \in Lset(i). \forall co \in Lset(i). & \forall rcpo \in Lset(i). a \in A \longrightarrow \\
& is_Cons(\#\#Lset(i), a, u, co) \longrightarrow fun_apply(\#\#Lset(i), rp, co, rcpo) \longrightarrow \\
& number1(\#\#Lset(i), rcpo), \\
& bo) \wedge pair(\#\#Lset(i), u, bo, x)] \\
\langle proof \rangle
\end{aligned}$$

lemma *Forall_replacement*:

$$\begin{aligned}
& [|L(A); L(rp)|] \\
\implies & strong_replacement \\
(L, \lambda env z. \exists bo[L]. & \\
& env \in list(A) \wedge \\
& is_bool_of_o (L, \\
& \forall a[L]. \forall co[L]. \forall rcpo[L]. \\
& a \in A \longrightarrow is_Cons(L, a, env, co) \longrightarrow \\
& fun_apply(L, rp, co, rcpo) \longrightarrow number1(L, rcpo), \\
& bo) \wedge \\
& pair(L, env, bo, z)) \\
\langle proof \rangle
\end{aligned}$$

9.4.5 The *transrec_replacement* Case

lemma *formula_rec_replacement_Reflects*:

$$\begin{aligned}
REFLECTS [\lambda x. \exists u[L]. u \in B \wedge (\exists y[L]. pair(L, u, y, x) \wedge \\
is_wfrec (L, satisfies_MH(L, A), mesa, u, y)), \\
\lambda i x. \exists u \in Lset(i). u \in B \wedge (\exists y \in Lset(i). pair(\#\#Lset(i), u, y, x) \wedge \\
is_wfrec (\#\#Lset(i), satisfies_MH(\#\#Lset(i), A), mesa, u, y))] \\
\langle proof \rangle
\end{aligned}$$

lemma *formula_rec_replacement*:

— For the *transrec*

$$\begin{aligned}
& [|n \in nat; L(A)|] \implies transrec_replacement(L, satisfies_MH(L, A), n) \\
\langle proof \rangle
\end{aligned}$$

9.4.6 The Lambda Replacement Case

lemma *formula_rec_lambda_replacement_Reflects*:

$$\begin{aligned}
REFLECTS [\lambda x. \exists u[L]. u \in B \wedge \\
mem_formula(L, u) \wedge \\
(\exists c[L]. \\
is_formula_case \\
(L, satisfies_is_a(L, A), satisfies_is_b(L, A), \\
satisfies_is_c(L, A, g), satisfies_is_d(L, A, g), \\
u, c) \wedge \\
pair(L, u, c, x)), \\
\lambda i x. \exists u \in Lset(i). u \in B \wedge mem_formula(\#\#Lset(i), u) \wedge \\
(\exists c \in Lset(i). \\
is_formula_case
\end{aligned}$$

```

(##Lset(i), satisfies_is_a(##Lset(i),A), satisfies_is_b(##Lset(i),A),
satisfies_is_c(##Lset(i),A,g), satisfies_is_d(##Lset(i),A,g),
u, c) &
pair(##Lset(i),u,c,x))]

⟨proof⟩

```

```

lemma formula_rec_lambda_replacement:
— For the transrec
[|L(g); L(A)|] ==>
strong_replacement (L,
λx y. mem_formula(L,x) &
(∃ c[L]. is_formula_case(L, satisfies_is_a(L,A),
satisfies_is_b(L,A),
satisfies_is_c(L,A,g),
satisfies_is_d(L,A,g), x, c) &
pair(L, x, c, y)))
⟨proof⟩

```

9.5 Instantiating $M_satisfies$

```

lemma M_satisfies_axioms_L: M_satisfies_axioms(L)
⟨proof⟩

```

```

theorem M_satisfies_L: M_satisfies(L)
⟨proof⟩

```

Finally: the point of the whole theory!

```

lemmas satisfies_closed = M_satisfies.satisfies_closed [OF M_satisfies_L]
and satisfies_abs = M_satisfies.satisfies_abs [OF M_satisfies_L]

```

end

10 Absoluteness for the Definable Powerset Function

```

theory DPow_absolute imports Satisfies_absolute begin

```

10.1 Preliminary Internalizations

10.1.1 The Operator $is_formula_rec$

The three arguments of p are always 2, 1, 0. It is buried within 11 quantifiers!!

definition

```

formula_rec_fm :: [i, i, i] => i where
formula_rec_fm(mh,p,z) ==
Exists(Exists(Exists(
And(finite_ordinal_fm(2),

```

```

And(depth_fm(p#+3,2),
And(succ_fm(2,1),
And(fun_apply_fm(0,p#+3,z#+3), is_transrec_fm(mh,1,0))))))

lemma is_formula_rec_type [TC]:
[| p ∈ formula; x ∈ nat; z ∈ nat |]
==> formula_rec_fm(p,x,z) ∈ formula
⟨proof⟩

lemma sats_formula_rec_fm:
assumes MH_iff_sats:
!!a0 a1 a2 a3 a4 a5 a6 a7 a8 a9 a10.
 [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A; a8∈A; a9∈A;
a10∈A|]
==> MH(a2, a1, a0) ←→
      sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3,
          Cons(a4, Cons(a5, Cons(a6, Cons(a7,
              Cons(a8, Cons(a9, Cons(a10, env)))))))))))))
shows
 [|x ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, formula_rec_fm(p,x,z), env) ←→
      is_formula_rec(##A, MH, nth(x,env), nth(z,env))
⟨proof⟩

lemma formula_rec_iff_sats:
assumes MH_iff_sats:
!!a0 a1 a2 a3 a4 a5 a6 a7 a8 a9 a10.
 [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A; a8∈A; a9∈A;
a10∈A|]
==> MH(a2, a1, a0) ←→
      sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3,
          Cons(a4, Cons(a5, Cons(a6, Cons(a7,
              Cons(a8, Cons(a9, Cons(a10, env))))))))))))
shows
 [|nth(i,env) = x; nth(k,env) = z;
   i ∈ nat; k ∈ nat; env ∈ list(A)|]
==> is_formula_rec(##A, MH, x, z) ←→ sats(A, formula_rec_fm(p,i,k),
env)
⟨proof⟩

theorem formula_rec_reflection:
assumes MH_reflection:
!!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),
λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]
shows REFLECTS[λx. is_formula_rec(L, MH(L,x), f(x), h(x)),
λi x. is_formula_rec(##Lset(i), MH(##Lset(i),x), f(x), h(x))]
⟨proof⟩

```

10.1.2 The Operator *is_satisfies*

definition

```
satisfies_fm :: [i,i,i]=>i where
  satisfies_fm(x) == formula_rec_fm (satisfies_MH_fm(x#+5#+6, 2, 1, 0))
```

lemma *is_satisfies_type* [*TC*]:

```
[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> satisfies_fm(x,y,z) ∈ formula
⟨proof⟩
```

lemma *sats_satisfies_fm* [*simp*]:

```
[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, satisfies_fm(x,y,z), env) ↔
  is_satisfies(##A, nth(x,env), nth(y,env), nth(z,env))
⟨proof⟩
```

lemma *satisfies_iff_sats*:

```
[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
   i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
==> is_satisfies(##A, x, y, z) ↔ sats(A, satisfies_fm(i,j,k), env)
⟨proof⟩
```

theorem *satisfies_reflection*:

```
REFLECTS[λx. is_satisfies(L,f(x),g(x),h(x)),
          λi x. is_satisfies(##Lset(i),f(x),g(x),h(x))]
⟨proof⟩
```

10.2 Relativization of the Operator *DPow'*

lemma *DPow'_eq*:

```
DPow'(A) = {z . ep ∈ list(A) * formula,
             ∃ env ∈ list(A). ∃ p ∈ formula.
             ep = <env,p> & z = {x ∈ A. sats(A, p, Cons(x,env))}}}
```

⟨proof⟩

Relativize the use of $\lambda A \ p \ env. \ sats(A, p, env)$ within *DPow'* (the comprehension).

definition

```
is_DPow_sats :: [i=>o,i,i,i,i] => o where
  is_DPow_sats(M,A,env,p,x) ==
    ∀ n1[M]. ∀ e[M]. ∀ sp[M].
      is_satisfies(M,A,p,sp) → is_Cons(M,x,env,e) →
      fun_apply(M, sp, e, n1) → number1(M, n1)
```

lemma (in *M_satisfies*) *DPow_sats_abs*:

```
[| M(A); env ∈ list(A); p ∈ formula; M(x) |]
==> is_DPow_sats(M,A,env,p,x) ↔ sats(A, p, Cons(x,env))
⟨proof⟩
```

lemma (in *M_satisfies*) *Collect_DPow_sats_abs*:

```


$$\begin{aligned}
& \left[ M(A); env \in list(A); p \in formula \right] \\
\implies & Collect(A, is\_DPow\_sats(M,A,env,p)) = \\
& \{x \in A. sats(A, p, Cons(x,env))\} \\
\langle proof \rangle
\end{aligned}$$


```

10.2.1 The Operator *is_DPow_sats*, Internalized

definition

```

DPow_sats_fm :: [i,i,i,i] => i where
DPow_sats_fm(A,env,p,x) ==
Forall(Forall(Forall(
    Implies(satisfies_fm(A#+3,p#+3,0),
    Implies(Cons_fm(x#+3,env#+3,1),
    Implies(fun_apply_fm(0,1,2), number1_fm(2)))))))

```

lemma *is_DPow_sats_type* [TC]:

$$\left[\begin{array}{l} A \in nat; x \in nat; y \in nat; z \in nat \\ \end{array} \right] \implies DPow_sats_fm(A,x,y,z) \in formula$$

 $\langle proof \rangle$

lemma *sats_DPow_sats_fm* [simp]:

$$\left[\begin{array}{l} u \in nat; x \in nat; y \in nat; z \in nat; env \in list(A) \\ \end{array} \right] \implies \begin{aligned} & sats(A, DPow_sats_fm(u,x,y,z), env) \leftrightarrow \\ & is_DPow_sats(\#\#A, nth(u,env), nth(x,env), nth(y,env), nth(z,env)) \end{aligned}$$

 $\langle proof \rangle$

lemma *DPow_sats_iff_sats*:

$$\left[\begin{array}{l} nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) = nz; \\ u \in nat; x \in nat; y \in nat; z \in nat; env \in list(A) \end{array} \right] \implies \begin{aligned} & is_DPow_sats(\#\#A,nu,nx,ny,nz) \leftrightarrow \\ & sats(A, DPow_sats_fm(u,x,y,z), env) \end{aligned}$$

 $\langle proof \rangle$

theorem *DPow_sats_reflection*:

$$REFLECTS[\lambda x. is_DPow_sats(L,f(x),g(x),h(x),g'(x)),$$

$$\lambda i. is_DPow_sats(\#\#Lset(i),f(x),g(x),h(x),g'(x))]$$

 $\langle proof \rangle$

10.3 A Locale for Relativizing the Operator *DPow'*

```

locale M_DPow = M_satisfies +
assumes sep:

$$\left[ \begin{array}{l} M(A); env \in list(A); p \in formula \\ \end{array} \right] \implies separation(M, \lambda x. is\_DPow\_sats(M,A,env,p,x))$$

and rep:

$$M(A)$$


$$\implies strong\_replacement(M,$$


$$\lambda ep z. \exists env[M]. \exists p[M]. mem\_formula(M,p) \& mem\_list(M,A,env) \&$$


$$pair(M,env,p,ep) \&$$


$$is\_Collect(M, A, \lambda x. is\_DPow\_sats(M,A,env,p,x), z))$$


```

```

lemma (in M_DPow) sep':
  [| M(A); env ∈ list(A); p ∈ formula |]
  ==> separation(M, λx. sats(A, p, Cons(x, env)))
⟨proof⟩

lemma (in M_DPow) rep':
  M(A)
  ==> strong_replacement(M,
    λep z. ∃ env∈list(A). ∃ p∈formula.
      ep = <env,p> & z = {x ∈ A . sats(A, p, Cons(x, env))})
⟨proof⟩

```

```

lemma univalent_pair_eq:
  univalent(M, A, λxy z. ∃ x∈B. ∃ y∈C. xy = ⟨x,y⟩ ∧ z = f(x,y))
⟨proof⟩

```

```

lemma (in M_DPow) DPow'_closed: M(A) ==> M(DPow'(A))
⟨proof⟩

```

Relativization of the Operator $DPow'$

definition

```

is_DPow' :: [i=>o,i,i] => o where
  is_DPow'(M,A,Z) ==
    ∀ X[M]. X ∈ Z ↔
      subset(M,X,A) &
      (∃ env[M]. ∃ p[M]. mem_formula(M,p) & mem_list(M,A,env) &
        is_Collect(M, A, is_DPow_sats(M,A,env,p), X))

```

```

lemma (in M_DPow) DPow'_abs:
  [|M(A); M(Z)|] ==> is_DPow'(M,A,Z) ↔ Z = DPow'(A)
⟨proof⟩

```

10.4 Instantiating the Locale M_DPow

10.4.1 The Instance of Separation

```

lemma DPow_separation:
  [| L(A); env ∈ list(A); p ∈ formula |]
  ==> separation(L, λx. is_DPow_sats(L,A,env,p,x))
⟨proof⟩

```

10.4.2 The Instance of Replacement

```

lemma DPow_replacement_Reflects:
  REFLECTS [λx. ∃ u[L]. u ∈ B &
    (∃ env[L]. ∃ p[L].
      mem_formula(L,p) & mem_list(L,A,env) & pair(L,env,p,u) &
      is_Collect(L, A, is_DPow_sats(L,A,env,p), x)),

```

$$\lambda i\ x. \exists u \in Lset(i). u \in B \ \& \\ (\exists env \in Lset(i). \exists p \in Lset(i). \\ mem_formula(\#\#Lset(i), p) \ \& \ mem_list(\#\#Lset(i), A, env) \ \& \\ pair(\#\#Lset(i), env, p, u) \ \& \\ is_Collect(\#\#Lset(i), A, is_DPow_sats(\#\#Lset(i), A, env, p), x))]$$

$\langle proof \rangle$

lemma *DPow_replacement*:

$$L(A) \\ ==> strong_replacement(L, \\ \lambda ep\ z. \exists env[L]. \exists p[L]. mem_formula(L, p) \ \& \ mem_list(L, A, env) \ \& \\ pair(L, env, p, ep) \ \& \\ is_Collect(L, A, \lambda x. is_DPow_sats(L, A, env, p, x), z))$$

$\langle proof \rangle$

10.4.3 Actually Instantiating the Locale

lemma *M_DPow_axioms_L*: *M_DPow_axioms(L)*
 $\langle proof \rangle$

theorem *M_DPow_L*: *M_DPow(L)*
 $\langle proof \rangle$

lemmas *DPow'_closed* [intro, simp] = *M_DPow.DPow'_closed* [OF *M_DPow_L*]
and *DPow'_abs* [intro, simp] = *M_DPow.DPow'_abs* [OF *M_DPow_L*]

10.4.4 The Operator *is_Collect*

The formula *is_P* has one free variable, 0, and it is enclosed within a single quantifier.

definition

$$Collect_fm :: [i, i, i] \Rightarrow i \text{ where} \\ Collect_fm(A, is_P, z) == \\ Forall(Iff(Member(0, succ(z)), \\ And(Member(0, succ(A)), is_P)))$$

lemma *is_Collect_type* [TC]:
 $[\| is_P \in formula; x \in nat; y \in nat \|]$
 $\implies Collect_fm(x, is_P, y) \in formula$
 $\langle proof \rangle$

lemma *sats_Collect_fm*:
assumes *is_P_iff_sats*:
 $!a. a \in A \implies is_P(a) \leftrightarrow sats(A, p, Cons(a, env))$
shows
 $[\| x \in nat; y \in nat; env \in list(A) \|]$
 $\implies sats(A, Collect_fm(x, p, y), env) \leftrightarrow$
 $is_Collect(\#\#A, nth(x, env), is_P, nth(y, env))$
 $\langle proof \rangle$

```

lemma Collect_ifs_sats:
  assumes is_P_ifs_sats:
    !!a. a ∈ A ==> is_P(a) ↔ sats(A, p, Cons(a, env))
  shows
    [| nth(i, env) = x; nth(j, env) = y;
       i ∈ nat; j ∈ nat; env ∈ list(A)|]
    ==> is_Collect(##A, x, is_P, y) ↔ sats(A, Collect_fm(i, p, j), env)
  ⟨proof⟩

```

The second argument of *is_P* gives it direct access to *x*, which is essential for handling free variable references.

```

theorem Collect_reflection:
  assumes is_P_reflection:
    !!h f g. REFLECTS[λx. is_P(L, f(x), g(x)),
                           λi x. is_P(##Lset(i), f(x), g(x))]
  shows REFLECTS[λx. is_Collect(L, f(x), is_P(L, x), g(x)),
                  λi x. is_Collect(##Lset(i), f(x), is_P(##Lset(i), x), g(x))]
  ⟨proof⟩

```

10.4.5 The Operator *is_Replace*

BEWARE! The formula *is_P* has free variables 0, 1 and not the usual 1, 0!
It is enclosed within two quantifiers.

```

definition
  Replace_fm :: [i, i, i] => i where
  Replace_fm(A, is_P, z) ==
    Forall(Iff(Member(0, succ(z)),
               Exists(And(Member(0, A #+ 2), is_P))))

```

```

lemma is_Replace_type [TC]:
  [| is_P ∈ formula; x ∈ nat; y ∈ nat |]
  ==> Replace_fm(x, is_P, y) ∈ formula
  ⟨proof⟩

```

```

lemma sats_Replace_fm:
  assumes is_P_ifs_sats:
    !!a b. [| a ∈ A; b ∈ A |]
    ==> is_P(a, b) ↔ sats(A, p, Cons(a, Cons(b, env)))
  shows
    [| x ∈ nat; y ∈ nat; env ∈ list(A) |]
    ==> sats(A, Replace_fm(x, p, y), env) ↔
        is_Replace(##A, nth(x, env), is_P, nth(y, env))
  ⟨proof⟩

```

```

lemma Replace_ifs_sats:
  assumes is_P_ifs_sats:
    !!a b. [| a ∈ A; b ∈ A |]

```

$\implies is_P(a,b) \longleftrightarrow sats(A, p, Cons(a, Cons(b, env)))$
shows
 $\{ nth(i, env) = x; nth(j, env) = y;$
 $i \in nat; j \in nat; env \in list(A)\}$
 $\implies is_Replace(\#\#A, x, is_P, y) \longleftrightarrow sats(A, Replace_fm(i,p,j), env)$
 $\langle proof \rangle$

The second argument of is_P gives it direct access to x , which is essential for handling free variable references.

theorem $Replace_reflection$:

assumes $is_P_reflection$:
 $\text{REFLECTS}[\lambda x. is_P(L, f(x), g(x), h(x)),$
 $\lambda i x. is_P(\#\#Lset(i), f(x), g(x), h(x))]$
shows $REFLECTS[\lambda x. is_Replace(L, f(x), is_P(L,x), g(x)),$
 $\lambda i x. is_Replace(\#\#Lset(i), f(x), is_P(\#\#Lset(i), x), g(x))]$
 $\langle proof \rangle$

10.4.6 The Operator is_DPow' , Internalized

definition

$DPow'_fm :: [i,i] \Rightarrow i$ **where**
 $DPow'_fm(A,Z) ==$
 $Forall($
 $Iff(Member(0,succ(Z)),$
 $And(subset_fm(0,succ(A)),$
 $Exists(Exists($
 $And(mem_formula_fm(0),$
 $And(mem_list_fm(A\#+3,1),$
 $Collect_fm(A\#+3,$
 $DPow_sats_fm(A\#+4, 2, 1, 0), 2))))))$

lemma $is_DPow'_type [TC]$:

$\{ x \in nat; y \in nat \} \implies DPow'_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma $sats_DPow'_fm [simp]$:

$\{ x \in nat; y \in nat; env \in list(A) \}$
 $\implies sats(A, DPow'_fm(x,y), env) \longleftrightarrow$
 $is_DPow'(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma $DPow'_iff_sats$:

$\{ nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) \}$
 $\implies is_DPow'(\#\#A, x, y) \longleftrightarrow sats(A, DPow'_fm(i,j), env)$
 $\langle proof \rangle$

theorem $DPow'_reflection$:

$REFLECTS[\lambda x. is_DPow'(L,f(x),g(x)),$

$\lambda i \ x. \ is_DPow'(\#\#Lset(i), f(x), g(x))]$
 $\langle proof \rangle$

10.5 A Locale for Relativizing the Operator $Lset$

definition

$transrec_body :: [i=>o, i, i, i, i] => o \text{ where}$
 $transrec_body(M, g, x) ==$
 $\lambda y \ z. \exists gy[M]. \ y \in x \ \& \ fun_apply(M, g, y, gy) \ \& \ is_DPow'(M, gy, z)$

lemma (in M_DPow) $transrec_body_abs$:
 $[[M(x); M(g); M(z)]]$
 $\implies transrec_body(M, g, x, y, z) \longleftrightarrow y \in x \ \& \ z = DPow'(g'y)$
 $\langle proof \rangle$

locale $M_Lset = M_DPow +$
assumes $strong_rep$:
 $[[M(x); M(g)]] \implies strong_replacement(M, \lambda y \ z. \ transrec_body(M, g, x, y, z))$
and $transrec_rep$:
 $M(i) \implies transrec_replacement(M, \lambda x \ f \ u.$
 $\exists r[M]. \ is_Replace(M, x, transrec_body(M, f, x), r) \ \&$
 $big_union(M, r, u), i)$

lemma (in M_Lset) $strong_rep'$:
 $[[M(x); M(g)]]$
 $\implies strong_replacement(M, \lambda y \ z. \ y \in x \ \& \ z = DPow'(g'y))$
 $\langle proof \rangle$

lemma (in M_Lset) $DPow_apply_closed$:
 $[[M(f); M(x); y \in x]] \implies M(DPow'(f'y))$
 $\langle proof \rangle$

lemma (in M_Lset) $RepFun_DPow_apply_closed$:
 $[[M(f); M(x)]] \implies M(\{DPow'(f'y). \ y \in x\})$
 $\langle proof \rangle$

lemma (in M_Lset) $RepFun_DPow_abs$:
 $[[M(x); M(f); M(r)]]$
 $\implies is_Replace(M, x, \lambda y \ z. \ transrec_body(M, f, x, y, z), r) \longleftrightarrow$
 $r = \{DPow'(f'y). \ y \in x\}$
 $\langle proof \rangle$

lemma (in M_Lset) $transrec_rep'$:
 $M(i) \implies transrec_replacement(M, \lambda x \ f \ u. \ u = (\bigcup y \in x. \ DPow'(f'y)), i)$
 $\langle proof \rangle$

Relativization of the Operator $Lset$

definition

is_Lset :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**

— We can use the term language below because *is_Lset* will not have to be internalized: it isn't used in any instance of separation.

$\text{is_Lset}(M, a, z) == \text{is_transrec}(M, \forall x f u. u = (\bigcup_{y \in x} DPow'(f'y)), a, z)$

lemma (in M_Lset) Lset_abs:

$[\| Ord(i); M(i); M(z) \|]$
 $\implies \text{is_Lset}(M, i, z) \longleftrightarrow z = Lset(i)$

$\langle proof \rangle$

lemma (in M_Lset) Lset_closed:

$[\| Ord(i); M(i) \|] \implies M(Lset(i))$

$\langle proof \rangle$

10.6 Instantiating the Locale *M_Lset*

10.6.1 The First Instance of Replacement

lemma strong_rep_Reflects:

REFLECTS $[\lambda u. \exists v[L]. v \in B \ \& \ (\exists gy[L].$
 $v \in x \ \& \ \text{fun_apply}(L, g, v, gy) \ \& \ \text{is_DPow}'(L, gy, u)),$
 $\lambda i u. \exists v \in Lset(i). v \in B \ \& \ (\exists gy \in Lset(i).$
 $v \in x \ \& \ \text{fun_apply}(\#\#Lset(i), g, v, gy) \ \& \ \text{is_DPow}'(\#\#Lset(i), gy, u))]$

$\langle proof \rangle$

lemma strong_rep:

$[\| L(x); L(g) \|] \implies \text{strong_replacement}(L, \lambda y z. \text{transrec_body}(L, g, x, y, z))$

$\langle proof \rangle$

10.6.2 The Second Instance of Replacement

lemma transrec_rep_Reflects:

REFLECTS $[\lambda x. \exists v[L]. v \in B \ \&$
 $(\exists y[L]. \text{pair}(L, v, y, x) \ \&$
 $\text{is_wfrec}(L, \lambda x f u. \exists r[L].$
 $\text{is_Replace}(L, x, \lambda y z.$
 $\exists gy[L]. y \in x \ \& \ \text{fun_apply}(L, f, y, gy) \ \&$
 $\text{is_DPow}'(L, gy, z), r) \ \& \ \text{big_union}(L, r, u), mr, v, y)),$
 $\lambda i x. \exists v \in Lset(i). v \in B \ \&$
 $(\exists y \in Lset(i). \text{pair}(\#\#Lset(i), v, y, x) \ \&$
 $\text{is_wfrec}(\#\#Lset(i), \lambda x f u. \exists r \in Lset(i).$
 $\text{is_Replace}(\#\#Lset(i), x, \lambda y z.$
 $\exists gy \in Lset(i). y \in x \ \& \ \text{fun_apply}(\#\#Lset(i), f, y, gy) \ \&$
 $\text{is_DPow}'(\#\#Lset(i), gy, z), r) \ \&$
 $\text{big_union}(\#\#Lset(i), r, u), mr, v, y))]$

$\langle proof \rangle$

lemma transrec_rep:

$[\| L(j) \|]$

```

==> transrec_replacement(L, λx f u.
    ∃ r[L]. is_Replace(L, x, transrec_body(L,f,x), r) &
    big_union(L, r, u), j)
⟨proof⟩

```

10.6.3 Actually Instantiating M_Lset

```

lemma M_Lset_axioms_L: M_Lset_axioms(L)
⟨proof⟩

```

```

theorem M_Lset_L: M_Lset(L)
⟨proof⟩

```

Finally: the point of the whole theory!

```

lemmas Lset_closed = M_Lset.Lset_closed [OF M_Lset_L]
and Lset_abs = M_Lset.Lset_abs [OF M_Lset_L]

```

10.7 The Notion of Constructible Set

definition

```

constructible :: [i=>o,i] => o where
constructible(M,x) ==
  ∃ i[M]. ∃ Li[M]. ordinal(M,i) & is_Lset(M,i,Li) & x ∈ Li

```

```

theorem V_equals_L_in_L:
  L(x) ↔ constructible(L,x)
⟨proof⟩

```

end

11 Automatic synthesis of formulas

```

theory Synthetic_Definition
imports
  Utils
keywords
  synthesize :: thy_decl % ML
and
  synthesize_notc :: thy_decl % ML
and
  generate_schematic :: thy_decl % ML
and
  arity_theorem :: thy_decl % ML
and
  manual_schematic :: thy_goal_stmt % ML
and
  manual_arity :: thy_goal_stmt % ML
and
  from_schematic

```

```

and
  for
and
  from_definition
and
  assuming
and
  intermediate

begin

named_theorems fm_definitions Definitions of synthetized formulas.

named_theorems iff_sats Theorems for synthetising formulas.

named_theorems arity Theorems for arity of formulas.

named_theorems arity_aux Auxiliary theorems for calculating arities.

 $\langle ML \rangle$ 

```

The `synthetic_def` function extracts definitions from schematic goals. A new definition is added to the context.

```
end
```

12 Aids to internalize formulas

```

theory Internalizations
imports
  DPow_absolute
  Synthetic_Definition
  Nat_Miscellanea
begin

hide_const (open) Order.pred

definition
  infinity_ax ::  $(i \Rightarrow o) \Rightarrow o$  where
  infinity_ax( $M$ ) ≡
     $(\exists I[M]. (\exists z[M]. \text{empty}(M, z) \wedge z \in I) \wedge (\forall y[M]. y \in I \longrightarrow (\exists sy[M]. \text{successor}(M, y, sy) \wedge sy \in I)))$ 

definition
  wellfounded_tranci ::  $[i => o, i, i, i] \Rightarrow o$  where
  wellfounded_tranci( $M, Z, r, p$ ) ≡
     $\exists w[M]. \exists wx[M]. \exists rp[M].$ 
     $w \in Z \wedge \text{pair}(M, w, p, wx) \wedge \text{tran_closure}(M, r, rp) \wedge wx \in rp$ 

lemma empty_intf :

```

$\text{infinity_ax}(M) \implies$
 $(\exists z[M]. \text{empty}(M, z))$
 $\langle \text{proof} \rangle$

lemma *Transset_intf* :
 $\text{Transset}(M) \implies y \in x \implies x \in M \implies y \in M$
 $\langle \text{proof} \rangle$

definition

$\text{choice_ax} :: (i \Rightarrow o) \Rightarrow o$ **where**
 $\text{choice_ax}(M) \equiv \forall x[M]. \exists a[M]. \exists f[M]. \text{ordinal}(M, a) \wedge \text{surjection}(M, a, x, f)$

lemma (in *M_basic*) *choice_ax_abs* :
 $\text{choice_ax}(M) \longleftrightarrow (\forall x[M]. \exists a[M]. \exists f[M]. \text{Ord}(a) \wedge f \in \text{surj}(a, x))$
 $\langle \text{proof} \rangle$

Setting up notation for internalized formulas

abbreviation
 $\text{dec10} :: i \quad (\langle 10 \rangle)$ **where** $10 \equiv \text{succ}(9)$
abbreviation
 $\text{dec11} :: i \quad (\langle 11 \rangle)$ **where** $11 \equiv \text{succ}(10)$
abbreviation
 $\text{dec12} :: i \quad (\langle 12 \rangle)$ **where** $12 \equiv \text{succ}(11)$
abbreviation
 $\text{dec13} :: i \quad (\langle 13 \rangle)$ **where** $13 \equiv \text{succ}(12)$
abbreviation
 $\text{dec14} :: i \quad (\langle 14 \rangle)$ **where** $14 \equiv \text{succ}(13)$
abbreviation
 $\text{dec15} :: i \quad (\langle 15 \rangle)$ **where** $15 \equiv \text{succ}(14)$
abbreviation
 $\text{dec16} :: i \quad (\langle 16 \rangle)$ **where** $16 \equiv \text{succ}(15)$
abbreviation
 $\text{dec17} :: i \quad (\langle 17 \rangle)$ **where** $17 \equiv \text{succ}(16)$
abbreviation
 $\text{dec18} :: i \quad (\langle 18 \rangle)$ **where** $18 \equiv \text{succ}(17)$
abbreviation
 $\text{dec19} :: i \quad (\langle 19 \rangle)$ **where** $19 \equiv \text{succ}(18)$
abbreviation
 $\text{dec20} :: i \quad (\langle 20 \rangle)$ **where** $20 \equiv \text{succ}(19)$
abbreviation
 $\text{dec21} :: i \quad (\langle 21 \rangle)$ **where** $21 \equiv \text{succ}(20)$
abbreviation
 $\text{dec22} :: i \quad (\langle 22 \rangle)$ **where** $22 \equiv \text{succ}(21)$
abbreviation
 $\text{dec23} :: i \quad (\langle 23 \rangle)$ **where** $23 \equiv \text{succ}(22)$
abbreviation
 $\text{dec24} :: i \quad (\langle 24 \rangle)$ **where** $24 \equiv \text{succ}(23)$
abbreviation
 $\text{dec25} :: i \quad (\langle 25 \rangle)$ **where** $25 \equiv \text{succ}(24)$

```

abbreviation
  dec26 :: i  ( $\langle 26 \rangle$ ) where 26  $\equiv$  succ(25)
abbreviation
  dec27 :: i  ( $\langle 27 \rangle$ ) where 27  $\equiv$  succ(26)
abbreviation
  dec28 :: i  ( $\langle 28 \rangle$ ) where 28  $\equiv$  succ(27)
abbreviation
  dec29 :: i  ( $\langle 29 \rangle$ ) where 29  $\equiv$  succ(28)

notation Member ( $\langle \cdot \in / \cdot \rangle$ )
notation Equal ( $\langle \cdot = / \cdot \rangle$ )
notation Nand ( $\langle \cdot \neg'(\cdot \wedge / \cdot) \cdot \rangle$ )
notation And ( $\langle \cdot \wedge / \cdot \rangle$ )
notation Or ( $\langle \cdot \vee / \cdot \rangle$ )
notation Iff ( $\langle \cdot \leftrightarrow / \cdot \rangle$ )
notation Implies ( $\langle \cdot \rightarrow / \cdot \rangle$ )
notation Neg ( $\langle \cdot \neg \cdot \rangle$ )
notation Forall ( $\langle \langle \forall (\cdot / \cdot) \cdot \rangle \rangle$ )
notation Exists ( $\langle \langle \exists (\cdot / \cdot) \cdot \rangle \rangle$ 

notation subset_fm ( $\langle \cdot \subseteq / \cdot \rangle$ )
notation succ_fm ( $\langle \cdot \text{succ}'(\cdot) \text{ is } \cdot \rangle$ )
notation empty_fm ( $\langle \cdot \text{ is empty} \cdot \rangle$ )
notation fun_apply_fm ( $\langle \cdot \text{' is } \cdot \rangle$ )
notation big_union_fm ( $\langle \cdot \bigcup \cdot \text{ is } \cdot \rangle$ )
notation upair_fm ( $\langle \cdot \{ \cdot, \cdot \} \text{ is } \cdot \rangle$ )
notation ordinal_fm ( $\langle \cdot \text{ is ordinal} \cdot \rangle$ 

notation pair_fm ( $\langle \cdot \langle \cdot, \cdot \rangle \text{ is } \cdot \rangle$ )
notation composition_fm ( $\langle \cdot \circ \cdot \text{ is } \cdot \rangle$ )
notation domain_fm ( $\langle \cdot \text{dom}'(\cdot) \text{ is } \cdot \rangle$ )
notation range_fm ( $\langle \cdot \text{ran}'(\cdot) \text{ is } \cdot \rangle$ )
notation union_fm ( $\langle \cdot \cup \cdot \text{ is } \cdot \rangle$ )
notation image_fm ( $\langle \cdot `` \cdot \text{ is } \cdot \rangle$ )
notation pre_image_fm ( $\langle \cdot - `` \cdot \text{ is } \cdot \rangle$ )
notation field_fm ( $\langle \cdot \text{fld}'(\cdot) \text{ is } \cdot \rangle$ )
notation cons_fm ( $\langle \cdot \text{cons}'(\cdot, \cdot) \text{ is } \cdot \rangle$ )
notation number1_fm ( $\langle \cdot \text{ is the number one} \cdot \rangle$ )
notation function_fm ( $\langle \cdot \text{ is funct} \cdot \rangle$ )
notation relation_fm ( $\langle \cdot \text{ is relat} \cdot \rangle$ )
notation restriction_fm ( $\langle \cdot \upharpoonright \cdot \text{ is } \cdot \rangle$ )
notation transset_fm ( $\langle \cdot \text{ is transitive} \cdot \rangle$ )
notation limit_ordinal_fm ( $\langle \cdot \text{ is limit} \cdot \rangle$ )
notation finite_ordinal_fm ( $\langle \cdot \text{ is finite ord} \cdot \rangle$ )
notation omega_fm ( $\langle \cdot \text{ is } \omega \cdot \rangle$ )
notation cartprod_fm ( $\langle \cdot \times \cdot \text{ is } \cdot \rangle$ )
notation Memrel_fm ( $\langle \cdot \text{Memrel}'(\cdot) \text{ is } \cdot \rangle$ )
notation quasinat_fm ( $\langle \cdot \text{ is qnat} \cdot \rangle$ )

```

```

notation Inl_fm ( $\cdot \cdot Inl'(\_) is \_ \cdot \cdot$ )
notation Inr_fm ( $\cdot \cdot Inr'(\_) is \_ \cdot \cdot$ )
notation pred_set_fm ( $\cdot \cdot \_\_ - predecessors of \_ are \_ \cdot \cdot$ )

```

abbreviation

```

fm_typedfun :: [i,i,i]  $\Rightarrow$  i ( $\cdot \cdot \_ : \_ \rightarrow \_ \cdot \cdot$ ) where
fm_typedfun(f,A,B)  $\equiv$  typed_function_fm(A,B,f)

```

abbreviation

```

fm_surjection :: [i,i,i]  $\Rightarrow$  i ( $\cdot \cdot \_ surjects \_ to \_ \cdot \cdot$ ) where
fm_surjection(f,A,B)  $\equiv$  surjection_fm(A,B,f)

```

abbreviation

```

fm_injection :: [i,i,i]  $\Rightarrow$  i ( $\cdot \cdot \_ injects \_ to \_ \cdot \cdot$ ) where
fm_injection(f,A,B)  $\equiv$  injection_fm(A,B,f)

```

abbreviation

```

fm_bijection :: [i,i,i]  $\Rightarrow$  i ( $\cdot \cdot \_ bijects \_ to \_ \cdot \cdot$ ) where
fm_bijection(f,A,B)  $\equiv$  bijection_fm(A,B,f)

```

We found it useful to have slightly different versions of some results in ZF-Constructible:

lemma *nth_closed* :

```

assumes env $\in$ list(A)  $0 \in A$ 
shows nth(n,env) $\in A$ 
{proof}

```

lemma *conj_setclass_model_iff_sats* [*iff_sats*]:

```

[|  $0 \in A$ ; nth(i,env) = x; env $\in$ list(A);
   P  $\longleftrightarrow$  sats(A,p,env); env $\in$ list(A) |]
 ==> ( $P \wedge (\#\#A)(x)$ )  $\longleftrightarrow$  sats(A, p, env)
[|  $0 \in A$ ; nth(i,env) = x; env $\in$ list(A);
   P  $\longleftrightarrow$  sats(A,p,env); env $\in$ list(A) |]
 ==> (( $\#\#A)(x \wedge P)$ )  $\longleftrightarrow$  sats(A, p, env)
{proof}

```

lemma *conj_mem_model_iff_sats* [*iff_sats*]:

```

[|  $0 \in A$ ; nth(i,env) = x; env $\in$ list(A);
   P  $\longleftrightarrow$  sats(A,p,env); env $\in$ list(A) |]
 ==> ( $P \wedge x \in A$ )  $\longleftrightarrow$  sats(A, p, env)
[|  $0 \in A$ ; nth(i,env) = x; env $\in$ list(A);
   P  $\longleftrightarrow$  sats(A,p,env); env $\in$ list(A) |]
 ==> ( $x \in A \wedge P$ )  $\longleftrightarrow$  sats(A, p, env)
{proof}

```

```

lemma mem_model_iff_sats [iff_sats]:
  [|  $0 \in A; \text{nth}(i, \text{env}) = x; \text{env} \in \text{list}(A)$  |]
    ==>  $(x \in A) \longleftrightarrow \text{sats}(A, \text{Exists}(\text{Equal}(0, 0)), \text{env})$ 
  ⟨proof⟩

lemma subset_iff_sats[iff_sats]:
   $\text{nth}(i, \text{env}) = x \implies \text{nth}(j, \text{env}) = y \implies i \in \text{nat} \implies j \in \text{nat} \implies$ 
   $\text{env} \in \text{list}(A) \implies \text{subset}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{subset\_fm}(i, j), \text{env})$ 
  ⟨proof⟩

lemma not_mem_model_iff_sats [iff_sats]:
  [|  $0 \in A; \text{nth}(i, \text{env}) = x; \text{env} \in \text{list}(A)$  |]
    ==>  $(\forall x . x \notin A) \longleftrightarrow \text{sats}(A, \text{Neg}(\text{Exists}(\text{Equal}(0, 0))), \text{env})$ 
  ⟨proof⟩

lemma top_iff_sats [iff_sats]:
   $\text{env} \in \text{list}(A) \implies 0 \in A \implies \text{sats}(A, \text{Exists}(\text{Equal}(0, 0)), \text{env})$ 
  ⟨proof⟩

lemma prefix1_iff_sats[iff_sats]:
  assumes
   $x \in \text{nat} \text{ env} \in \text{list}(A) \ 0 \in A \ a \in A$ 
  shows
   $a = \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Equal}(0, x + \omega 1), \text{Cons}(a, \text{env}))$ 
   $\text{nth}(x, \text{env}) = a \longleftrightarrow \text{sats}(A, \text{Equal}(x + \omega 1, 0), \text{Cons}(a, \text{env}))$ 
   $a \in \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Member}(0, x + \omega 1), \text{Cons}(a, \text{env}))$ 
   $\text{nth}(x, \text{env}) \in a \longleftrightarrow \text{sats}(A, \text{Member}(x + \omega 1, 0), \text{Cons}(a, \text{env}))$ 
  ⟨proof⟩

lemma prefix2_iff_sats[iff_sats]:
  assumes
   $x \in \text{nat} \text{ env} \in \text{list}(A) \ 0 \in A \ a \in A \ b \in A$ 
  shows
   $b = \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Equal}(1, x + \omega 2), \text{Cons}(a, \text{Cons}(b, \text{env})))$ 
   $\text{nth}(x, \text{env}) = b \longleftrightarrow \text{sats}(A, \text{Equal}(x + \omega 2, 1), \text{Cons}(a, \text{Cons}(b, \text{env})))$ 
   $b \in \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Member}(1, x + \omega 2), \text{Cons}(a, \text{Cons}(b, \text{env})))$ 
   $\text{nth}(x, \text{env}) \in b \longleftrightarrow \text{sats}(A, \text{Member}(x + \omega 2, 1), \text{Cons}(a, \text{Cons}(b, \text{env})))$ 
  ⟨proof⟩

lemma prefix3_iff_sats[iff_sats]:
  assumes
   $x \in \text{nat} \text{ env} \in \text{list}(A) \ 0 \in A \ a \in A \ b \in A \ c \in A$ 
  shows
   $c = \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Equal}(2, x + \omega 3), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$ 
   $\text{nth}(x, \text{env}) = c \longleftrightarrow \text{sats}(A, \text{Equal}(x + \omega 3, 2), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$ 
   $c \in \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Member}(2, x + \omega 3), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$ 
   $\text{nth}(x, \text{env}) \in c \longleftrightarrow \text{sats}(A, \text{Member}(x + \omega 3, 2), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$ 
  ⟨proof⟩

```

```

lemmas FOL_sats_iff = sats_Nand_iff sats_Forall_iff sats_Neg_iff sats_And_iff
sats_Or_iff sats_Implies_iff sats_Iff_iff sats_Exists_iff

lemma nth_ConsI:  $\llbracket \text{nth}(n, l) = x; n \in \text{nat} \rrbracket \implies \text{nth}(\text{succ}(n), \text{Cons}(a, l)) = x$ 
     $\langle \text{proof} \rangle$ 

lemmas nth_rules = nth_0 nth_ConsI nat_0I nat_succI
lemmas sep_rules = nth_0 nth_ConsI FOL_iff_sats function_iff_sats
    fun_plus_iff_sats successor_iff_sats
    omega_iff_sats FOL_sats_iff Replace_iff_sats

Also a different compilation of lemmas (termsep_rules) used in formula
synthesis

lemmas fm_defs =
    omega_fm_def limit_ordinal_fm_def empty_fm_def typed_function_fm_def
    pair_fm_def upair_fm_def domain_fm_def function_fm_def succ_fm_def
    cons_fm_def fun_apply_fm_def image_fm_def big_union_fm_def union_fm_def
    relation_fm_def composition_fm_def field_fm_def ordinal_fm_def range_fm_def
    transset_fm_def subset_fm_def Replace_fm_def

lemmas formulas_def [fm_definitions] = fm_defs
    is_iterates_fm_def iterates_MH_fm_def is_wfrec_fm_def is_recfun_fm_def
    is_transrec_fm_def
    is_nat_case_fm_def quasinat_fm_def number1_fm_def ordinal_fm_def finite_ordinal_fm_def
    cartprod_fm_def sum_fm_def Inr_fm_def Inl_fm_def
    formula_functor_fm_def
        Memrel_fm_def transset_fm_def subset_fm_def pre_image_fm_def restriction_fm_def
    list_functor_fm_def tl_fm_def quasplist_fm_def Cons_fm_def Nil_fm_def

lemmas sep_rules' [iff_sats] = nth_0 nth_ConsI FOL_iff_sats function_iff_sats
    fun_plus_iff_sats omega_iff_sats

lemmas more_iff_sats [iff_sats] = rtran_closure_iff_sats tran_closure_iff_sats
    is_eclose_iff_sats Inl_iff_sats Inr_iff_sats fun_apply_iff_sats cartprod_iff_sats
    Collect_iff_sats

end

```

13 The binder Least

```

theory Least
imports
    Internalizations

```

```
begin
```

We have some basic results on the least ordinal satisfying a predicate.

```
lemma Least_Ord:  $(\mu \alpha. R(\alpha)) = (\mu \alpha. \text{Ord}(\alpha) \wedge R(\alpha))$ 
```

$\langle proof \rangle$

lemma *Ord_Least_cong*:
assumes $\bigwedge y. Ord(y) \implies R(y) \longleftrightarrow Q(y)$
shows $(\mu \alpha. R(\alpha)) = (\mu \alpha. Q(\alpha))$
 $\langle proof \rangle$

definition

least :: $[i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$ **where**
least(M, Q, i) \equiv *ordinal*(M, i) \wedge (
 $(empty(M, i) \wedge (\forall b[M]. ordinal(M, b) \longrightarrow \neg Q(b)))$
 $\vee (Q(i) \wedge (\forall b[M]. ordinal(M, b) \wedge b \in i \longrightarrow \neg Q(b))))$

definition

least_fm :: $[i, i] \Rightarrow i$ **where**
least_fm(q, i) \equiv *And*(*ordinal_fm*(i),
Or(*And*(*empty_fm*(i), *Forall*(*Implies*(*ordinal_fm*(0), *Neg*(q)))),
And(*Exists*(*And*(q , *Equal*(0 , *succ*(i)))),
Forall(*Implies*(*And*(*ordinal_fm*(0), *Member*(0 , *succ*(i))), *Neg*(q))))))

lemma *least_fm_type*[*TC*] : $i \in nat \implies q \in formula \implies least_fm(q, i) \in formula$
 $\langle proof \rangle$

lemmas *basic_fm_simps* = *sats_subset_fm'* *sats_transset_fm'* *sats_ordinal_fm'*

lemma *sats_least_fm* :
assumes *p_iff_sats*:
 $\bigwedge a. a \in A \implies P(a) \longleftrightarrow sats(A, p, Cons(a, env))$
shows
 $\llbracket y \in nat; env \in list(A); 0 \in A \rrbracket$
 $\implies sats(A, least_fm(p, y), env) \longleftrightarrow$
 $least(\#\# A, P, nth(y, env))$
 $\langle proof \rangle$

lemma *least_iff_sats* [*iff_sats*]:
assumes *is_Q_iff_sats*:
 $\bigwedge a. a \in A \implies is_Q(a) \longleftrightarrow sats(A, q, Cons(a, env))$
shows
 $\llbracket nth(j, env) = y; j \in nat; env \in list(A); 0 \in A \rrbracket$
 $\implies least(\#\# A, is_Q, y) \longleftrightarrow sats(A, least_fm(q, j), env)$
 $\langle proof \rangle$

lemma *least_conj*: $a \in M \implies least(\#\# M, \lambda x. x \in M \wedge Q(x), a) \longleftrightarrow least(\#\# M, Q, a)$
 $\langle proof \rangle$

context *M_trivial*
begin

13.1 Uniqueness, absoluteness and closure under Least

```

lemma unique_least:
  assumes M(a) M(b) least(M,Q,a) least(M,Q,b)
  shows a=b
  ⟨proof⟩

lemma least_abs:
  assumes ⋀x. Q(x) ⟹ Ord(x) ⟹ ∃y[M]. Q(y) ∧ Ord(y) M(a)
  shows least(M,Q,a) ⟷ a = (μ x. Q(x))
  ⟨proof⟩

lemma Least_closed:
  assumes ⋀x. Q(x) ⟹ Ord(x) ⟹ ∃y[M]. Q(y) ∧ Ord(y)
  shows M(μ x. Q(x))
  ⟨proof⟩

```

Older, easier to apply versions (with a simpler assumption on Q).

```

lemma least_abs':
  assumes ⋀x. Q(x) ⟹ M(x) M(a)
  shows least(M,Q,a) ⟷ a = (μ x. Q(x))
  ⟨proof⟩

lemma Least_closed':
  assumes ⋀x. Q(x) ⟹ M(x)
  shows M(μ x. Q(x))
  ⟨proof⟩

```

end — M_{trivial}

end

14 Fully relational versions of higher order constructs

```

theory Higher_Order_Constructs
imports
  Recursion_Thms
  Least
begin

syntax
  _sats :: [i, i, i] ⇒ o ((_, _, ⊨ _) ) [36,36,36] 25
syntax_consts
  _sats ≡ sats
translations
  (M,env ⊨ φ) ≡ CONST sats(M,φ,env)

```

definition

is_If :: $[i \Rightarrow o, o, i, i, i] \Rightarrow o$ **where**
 $is_If(M, b, t, f, r) \equiv (b \rightarrow r=t) \wedge (\neg b \rightarrow r=f)$

lemma (in M_trans) If_abs:
 $is_If(M, b, t, f, r) \longleftrightarrow r = If(b, t, f)$
 $\langle proof \rangle$

definition

is_If_fm :: $[i, i, i, i] \Rightarrow i$ **where**
 $is_If_fm(\varphi, t, f, r) \equiv Or(And(\varphi, Equal(t, r)), And(Neg(\varphi), Equal(f, r)))$

lemma is_If_fm_type [TC]: $\varphi \in formula \implies t \in nat \implies f \in nat \implies r \in nat$
 $\implies is_If_fm(\varphi, t, f, r) \in formula$
 $\langle proof \rangle$

lemma sats_is_If_fm:
assumes $Qsats: Q \longleftrightarrow A, env \models \varphi$ $env \in list(A)$
shows $is_If(\#\#A, Q, nth(t, env), nth(f, env), nth(r, env)) \longleftrightarrow A, env \models is_If_fm(\varphi, t, f, r)$
 $\langle proof \rangle$

lemma is_If_fm_iff_sats [iff_sats]:
assumes $Qsats: Q \longleftrightarrow A, env \models \varphi$ **and**
 $nth(t, env) = ta$ $nth(f, env) = fa$ $nth(r, env) = ra$
 $t \in nat$ $f \in nat$ $r \in nat$ $env \in list(A)$
shows $is_If(\#\#A, Q, ta, fa, ra) \longleftrightarrow A, env \models is_If_fm(\varphi, t, f, r)$
 $\langle proof \rangle$

lemma arity_is_If_fm [arity]:
 $\varphi \in formula \implies t \in nat \implies f \in nat \implies r \in nat \implies$
 $arity(is_If_fm(\varphi, t, f, r)) = arity(\varphi) \cup succ(t) \cup succ(r) \cup succ(f)$
 $\langle proof \rangle$

definition

is_The :: $[i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$ **where**
 $is_The(M, Q, i) \equiv (Q(i) \wedge (\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \rightarrow y = x))) \vee$
 $(\neg(\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \rightarrow y = x))) \wedge empty(M, i)$

lemma (in M_trans) The_abs:
assumes $\bigwedge x. Q(x) \implies M(x)$ $M(a)$
shows $is_The(M, Q, a) \longleftrightarrow a = (THE x. Q(x))$
 $\langle proof \rangle$

definition

```
is_recursor :: [i⇒o,i,[i,i,i]⇒o,i,i] ⇒o where
is_recursor(M,a,is_b,k,r) ≡ is_transrec(M, λn f ntc. is_nat_case(M,a,
λm bmf.
∃fm[M]. fun_apply(M,f,m,fm) ∧ is_b(m,fm,bmf),n,ntc),k,r)
```

lemma (in M_eclose) recursor_abs:

assumes Ord(k) **and**

types: M(a) M(k) M(r) **and**

b_iff: $\bigwedge m f \text{ bmf}. M(m) \implies M(f) \implies M(\text{bmf}) \implies \text{is_b}(m,f,\text{bmf}) \longleftrightarrow \text{bmf}$

= b(m,f) **and**

b_closed: $\bigwedge m f \text{ bmf}. M(m) \implies M(f) \implies M(\text{b}(m,f))$ **and**

repl: transrec_replacement(M, λn f ntc. is_nat_case(M, a,
λm bmf. ∃fm[M]. fun_apply(M, f, m, fm) ∧ is_b(m, fm, bmf), n, ntc),
k)

shows

is_recursor(M,a,is_b,k,r) \longleftrightarrow r = recursor(a,b,k)

(proof)

definition

```
is_wfrec_on :: [i=>o,[i,i,i]=>o,i,i,i, i] => o where
is_wfrec_on(M,MH,A,r,a,z) == is_wfrec(M,MH,r,a,z)
```

lemma (in M_tranc) trans_wfrec_on_abs:

[wf(r); trans(r); relation(r); M(r); M(a); M(z);

wfrec_replacement(M,MH,r); relation2(M,MH,H);

∀x[M]. ∀g[M]. function(g) → M(H(x,g));

r-“{a} ⊆ A; a ∈ A”]

\implies is_wfrec_on(M,MH,A,r,a,z) \longleftrightarrow z = wfrec[A](r,a,H)

(proof)

end

15 Automatic relativization of terms and formulas

Relativization of terms and formulas. Relativization of formulas shares relativized terms as far as possible; assuming that the witnesses for the relativized terms are always unique.

theory Relativization

imports

Eclose_Absolute

Higher_Order_Constructs

keywords

relativize :: thy_decl % ML

and

relativize_tm :: thy_decl % ML

and

reldb_add :: thy_decl % ML

```

and
  reldb_rem :: thy_decl % ML
and
  relationalize :: thy_decl % ML
and
  rel_closed :: thy_goal_stmt % ML
and
  is_iff_rel :: thy_goal_stmt % ML
and
  univalent :: thy_goal_stmt % ML
and
  absolute
and
  functional
and
  relational
and
  external
and
  for

```

begin

$\langle ML \rangle$

```

lemmas relative_abs =
  M_trans.empty_abs
  M_trans.pair_abs
  M_trivial.cartprod_abs
  M_trans.union_abs
  M_trans.inter_abs
  M_trans.setdiff_abs
  M_trans.Union_abs
  M_trivial.cons_abs

  M_trivial.successor_abs
  M_trans.Collect_abs
  M_trans.Replace_abs
  M_trivial.lambda_abs2
  M_trans.image_abs

M_trivial.nat_case_abs

M_trivial.omega_abs
M_basic.sum_abs
M_trivial.Inl_abs
M_trivial.Inr_abs
M_basic.converse_abs
M_basic.vimage_abs

```

```

M_trans.domain_abs
M_trans.range_abs
M_basic.field_abs

M_basic.composition_abs
M_trans.restriction_abs
M_trans.Inter_abs
M_trivial.bool_of_o_abs
M_trivial.not_abs
M_trivial.and_abs
M_trivial.or_abs
M_trivial.Nil_abs
M_trivial.Cons_abs

M_trivial.list_case_abs
M_trivial.hd_abs
M_trivial.tl_abs
M_trivial.least_abs'
M_eclose.transrec_abs
M_trans.If_abs
M_trans.The_abs
M_eclose.recursor_abs
M_trancl.trans_wfrec_abs
M_trancl.trans_wfrec_on_abs

lemmas datatype_abs =
  M_eclose.is_eclose_n_abs
  M_eclose.eclose_abs
  M_trivial.Member_abs
  M_trivial.Equal_abs
  M_trivial.Nand_abs
  M_trivial.Forall_abs

declare relative_abs[absolut]
⟨ML⟩

declare relative_abs[Rel]
declare datatype_abs[Rel]

⟨ML⟩

end
theory Discipline_Base
imports
  ZF-Constructible.Rank
  M_Basic_No_Repl

```

*Relativization
ZF_Miscellanea*

begin

hide_const (open) Order.pred
declare [[syntax_ambiguity_warning = false]]

15.1 Discipline of relativization of basic concepts

definition

is_singleton :: [i \Rightarrow o,i,i] \Rightarrow o where
is_singleton(A,x,z) \equiv $\exists c[A]. \text{empty}(A,c) \wedge \text{is_cons}(A,x,c,z)$

lemma (in M_trivial) singleton_abs[simp] :
 $\llbracket M(x) ; M(s) \rrbracket \implies \text{is_singleton}(M,x,s) \longleftrightarrow s = \{x\}$
(proof)

$\langle ML \rangle$
notation *singleton_fm ($\cdot \cdot \{ \cdot \} \ is \ \cdot \cdot$)*

lemmas (in M_trivial) singleton_closed = singleton_in_MI

lemma (in M_trivial) Upair_closed[simp]: $M(a) \implies M(b) \implies M(\text{Upair}(a,b))$
(proof)

The following named theorems gather instances of transitivity that arise from closure theorems

named_theorems trans_closed

definition

is_hcomp :: [i \Rightarrow o,i \Rightarrow i \Rightarrow o,i \Rightarrow i \Rightarrow o,i,i] \Rightarrow o where
is_hcomp(M,is_f,is_g,a,w) \equiv $\exists z[M]. \text{is_g}(a,z) \wedge \text{is_f}(z,w)$

lemma (in M_trivial) is_hcomp_abs:

assumes
is_f_abs: $\bigwedge a z. M(a) \implies M(z) \implies \text{is_f}(a,z) \longleftrightarrow z = f(a)$ and
is_g_abs: $\bigwedge a z. M(a) \implies M(z) \implies \text{is_g}(a,z) \longleftrightarrow z = g(a)$ and
g_closed: $\bigwedge a. M(a) \implies M(g(a))$
M(a) M(w)

shows

is_hcomp(M,is_f,is_g,a,w) $\longleftrightarrow w = f(g(a))$
(proof)

definition

hcomp_fm :: [i \Rightarrow i \Rightarrow i,i \Rightarrow i,i,i] \Rightarrow i where
hcomp_fm(pf,pg,a,w) \equiv Exists(And(pg(succ(a),0),pf(0,succ(w))))

lemma sats_hcomp_fm:

assumes
 $f_iff_sats: \bigwedge a b z. a \in \text{nat} \implies b \in \text{nat} \implies z \in M \implies$
 $\quad \text{is_}_f(\text{nth}(a, \text{Cons}(z, \text{env})), \text{nth}(b, \text{Cons}(z, \text{env}))) \longleftrightarrow \text{sats}(M, \text{pf}(a, b), \text{Cons}(z, \text{env}))$
and
 $g_iff_sats: \bigwedge a b z. a \in \text{nat} \implies b \in \text{nat} \implies z \in M \implies$
 $\quad \text{is_}_g(\text{nth}(a, \text{Cons}(z, \text{env})), \text{nth}(b, \text{Cons}(z, \text{env}))) \longleftrightarrow \text{sats}(M, \text{pg}(a, b), \text{Cons}(z, \text{env}))$
and
 $a \in \text{nat} w \in \text{nat} \text{ env} \in \text{list}(M)$
shows
 $\text{sats}(M, \text{hcomp_fm}(\text{pf}, \text{pg}, a, w), \text{env}) \longleftrightarrow \text{is_hcomp}(\#\# M, \text{is_}_f, \text{is_}_g, \text{nth}(a, \text{env}), \text{nth}(w, \text{env}))$
 $\langle \text{proof} \rangle$

definition

$\text{hcomp_r} :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o \text{ where}$
 $\text{hcomp_r}(M, \text{is_}_f, \text{is_}_g, a, w) \equiv \exists z[M]. \text{is_}_g(M, a, z) \wedge \text{is_}_f(M, z, w)$

definition

$\text{is_hcomp2_2} :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o \text{ where}$
 $\text{is_hcomp2_2}(M, \text{is_}_f, \text{is_}_g1, \text{is_}_g2, a, b, w) \equiv \exists g1ab[M]. \exists g2ab[M].$
 $\quad \text{is_}_g1(M, a, b, g1ab) \wedge \text{is_}_g2(M, a, b, g2ab) \wedge \text{is_}_f(M, g1ab, g2ab, w)$

lemma (in M_trivial) hcomp_abs :

assumes
 $\text{is_}_f_\text{abs}: \bigwedge a z. M(a) \implies M(z) \implies \text{is_}_f(M, a, z) \longleftrightarrow z = f(a) \text{ and}$
 $\text{is_}_g_\text{abs}: \bigwedge a z. M(a) \implies M(z) \implies \text{is_}_g(M, a, z) \longleftrightarrow z = g(a) \text{ and}$
 $\text{g_closed}: \bigwedge a. M(a) \implies M(g(a))$
 $M(a) M(w)$
shows
 $\text{hcomp_r}(M, \text{is_}_f, \text{is_}_g, a, w) \longleftrightarrow w = f(g(a))$
 $\langle \text{proof} \rangle$

lemma hcomp_uniqueness :

assumes
 $\text{uniq_is_}_f:$
 $\bigwedge r d d'. M(r) \implies M(d) \implies M(d') \implies \text{is_}_f(M, r, d) \implies \text{is_}_f(M, r, d') \implies$
 $\quad d = d'$
and
 $\text{uniq_is_}_g:$
 $\bigwedge r d d'. M(r) \implies M(d) \implies M(d') \implies \text{is_}_g(M, r, d) \implies \text{is_}_g(M, r, d') \implies$
 $\quad d = d'$
and
 $M(a) M(w) M(w')$
 $\text{hcomp_r}(M, \text{is_}_f, \text{is_}_g, a, w)$
 $\text{hcomp_r}(M, \text{is_}_f, \text{is_}_g, a, w')$
shows
 $w = w'$
 $\langle \text{proof} \rangle$

lemma *hcomp_witness*:

assumes

wit_is_f: $\bigwedge r. M(r) \implies \exists d[M]. \text{is_f}(M, r, d)$ **and**
wit_is_g: $\bigwedge r. M(r) \implies \exists d[M]. \text{is_g}(M, r, d)$ **and**
 $M(a)$

shows

$\exists w[M]. \text{hcomp_r}(M, \text{is_f}, \text{is_g}, a, w)$

{proof}

lemma (in *M_trivial*) *hcomp2_2_abs*:

assumes

is_f_abs: $\bigwedge r1 r2 z. M(r1) \implies M(r2) \implies M(z) \implies \text{is_f}(M, r1, r2, z) \iff z = f(r1, r2)$ **and**
is_g1_abs: $\bigwedge r1 r2 z. M(r1) \implies M(r2) \implies M(z) \implies \text{is_g1}(M, r1, r2, z) \iff z = g1(r1, r2)$ **and**
is_g2_abs: $\bigwedge r1 r2 z. M(r1) \implies M(r2) \implies M(z) \implies \text{is_g2}(M, r1, r2, z) \iff z = g2(r1, r2)$ **and**
types: $M(a) M(b) M(w) M(g1(a, b)) M(g2(a, b))$

shows

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w) \iff w = f(g1(a, b), g2(a, b))$

{proof}

lemma *hcomp2_2_uniqueness*:

assumes

uniq_is_f:

$\bigwedge r1 r2 d d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies \text{is_f}(M, r1, r2, d) \implies \text{is_f}(M, r1, r2, d') \implies d = d'$

and

uniq_is_g1:

$\bigwedge r1 r2 d d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies \text{is_g1}(M, r1, r2, d) \implies \text{is_g1}(M, r1, r2, d') \implies d = d'$

and

uniq_is_g2:

$\bigwedge r1 r2 d d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies \text{is_g2}(M, r1, r2, d) \implies \text{is_g2}(M, r1, r2, d') \implies d = d'$

and

$M(a) M(b) M(w) M(w')$

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w)$

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w')$

shows

$w = w'$

{proof}

lemma *hcomp2_2_witness*:

assumes

wit_is_f: $\bigwedge r1 r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is_f}(M, r1, r2, d)$ **and**

wit_is_g1: $\bigwedge r1 r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is_g1}(M, r1, r2, d)$ **and**

wit_is_g2: $\bigwedge r1 r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is_g2}(M, r1, r2, d)$ **and**

$M(a) M(b)$
shows
 $\exists w[M]. \text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w)$
 $\langle \text{proof} \rangle$

lemma (in M_trivial) $\text{extensionality_trans}$:

assumes

$M(d) \wedge (\forall x[M]. x \in d \longleftrightarrow P(x))$
 $M(d') \wedge (\forall x[M]. x \in d' \longleftrightarrow P(x))$

shows

$d = d'$

$\langle \text{proof} \rangle$

definition

$lt_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $lt_rel(M, a, b) \equiv a \in b \wedge \text{ordinal}(M, b)$

lemma (in M_trans) $lt_abs[\text{absolut}]$: $M(a) \implies M(b) \implies lt_rel(M, a, b) \longleftrightarrow a < b$

$\langle \text{proof} \rangle$

definition

$le_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $le_rel(M, a, b) \equiv \exists sb[M]. \text{successor}(M, b, sb) \wedge lt_rel(M, a, sb)$

lemma (in M_trivial) $le_abs[\text{absolut}]$: $M(a) \implies M(b) \implies le_rel(M, a, b) \longleftrightarrow a \leq b$

$\langle \text{proof} \rangle$

15.2 Discipline for Pow

definition

$is_Pow :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_Pow(M, A, z) \equiv M(z) \wedge (\forall x[M]. x \in z \longleftrightarrow \text{subset}(M, x, A))$

definition

$Pow_rel :: [i \Rightarrow o, i] \Rightarrow i (\langle Pow-'(_) \rangle)$ **where**
 $Pow_rel(M, r) \equiv \text{THE } d. is_Pow(M, r, d)$

abbreviation

$Pow_r_set :: [i, i] \Rightarrow i (\langle Pow-'(_) \rangle)$ **where**
 $Pow_r_set(M) \equiv Pow_rel(\# M)$

context $M_\text{basic_no_repl}$
begin

lemma $is_Pow_uniqueness$:

assumes

$M(r)$
 $is_Pow(M, r, d) \text{ and } is_Pow(M, r, d')$

shows

$d=d'$

$\langle proof \rangle$

lemma *is_Pow_witness*: $M(r) \implies \exists d[M]. \text{is_Pow}(M, r, d)$
 $\langle proof \rangle$

lemma *is_Pow_closed* : $\llbracket M(r); \text{is_Pow}(M, r, d) \rrbracket \implies M(d)$
 $\langle proof \rangle$

lemma *Pow_rel_closed[intro,simp]*: $M(r) \implies M(\text{Pow_rel}(M, r))$
 $\langle proof \rangle$

lemmas *trans_Pow_rel_closed[trans_closed]* = *transM[OF_Pow_rel_closed]*

The proof of *f_rel_iff* lemma is schematic and it can be reused by copy-paste replacing appropriately.

lemma *Pow_rel_iff*:
 assumes $M(r) \quad M(d)$
 shows $\text{is_Pow}(M, r, d) \longleftrightarrow d = \text{Pow_rel}(M, r)$
 $\langle proof \rangle$

The next "def_" result really corresponds to *Pow_iff*

lemma *def_Pow_rel*: $M(A) \implies M(r) \implies A \in \text{Pow_rel}(M, r) \longleftrightarrow A \subseteq r$
 $\langle proof \rangle$

lemma *Pow_rel_char*: $M(r) \implies \text{Pow_rel}(M, r) = \{A \in \text{Pow}(r). M(A)\}$
 $\langle proof \rangle$

lemma *mem_Pow_rel_abs*: $M(a) \implies M(r) \implies a \in \text{Pow_rel}(M, r) \longleftrightarrow a \in \text{Pow}(r)$
 $\langle proof \rangle$

end — *M_basic_no_repl*

15.3 Discipline for *PiP*

definition

PiP_rel:: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $PiP_rel(M, A, f) \equiv \exists df[M]. \text{is_domain}(M, f, df) \wedge \text{subset}(M, A, df) \wedge \text{is_function}(M, f)$

context *M_basic*
begin

lemma *def_PiP_rel*:
 assumes
 $M(A) \quad M(f)$
 shows

$PiP_rel(M,A,f) \longleftrightarrow A \subseteq domain(f) \wedge function(f)$
 $\langle proof \rangle$

end — M_basic

definition

$Sigfun :: [i,i \Rightarrow i] \Rightarrow i$ **where**
 $Sigfun(x,B) \equiv \bigcup_{y \in B(x)} \{ \langle x,y \rangle \}$

lemma $SigFun_char : Sigfun(x,B) = \{x\} \times B(x)$
 $\langle proof \rangle$

lemma $Sigma_Sigfun : Sigma(A,B) = \bigcup_{x \in A} \{ Sigfun(x,B) \}$
 $\langle proof \rangle$

definition — Note that B below is a higher order argument

$is_Sigfun :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$ **where**
 $is_Sigfun(M,x,B,Sd) \equiv M(Sd) \wedge (\exists RB[M]. is_Replace(M,B(x), \lambda y z. z = \{\langle x,y \rangle\}, RB) \wedge big_union(M, RB, Sd))$

context $M_trivial$

begin

lemma $is_Sigfun_abs :$
assumes
 $strong_replacement(M, \lambda y z. z = \{\langle x,y \rangle\})$
 $M(x) M(B(x)) M(Sd)$
shows
 $is_Sigfun(M,x,B,Sd) \longleftrightarrow Sd = Sigfun(x,B)$
 $\langle proof \rangle$

lemma $Sigfun_closed :$

assumes
 $strong_replacement(M, \lambda y z. y \in B(x) \wedge z = \{\langle x, y \rangle\})$
 $M(x) M(B(x))$
shows
 $M(Sigfun(x,B))$
 $\langle proof \rangle$

lemmas $trans_Sigfun_closed[trans_closed] = transM[OF_ Sigfun_closed]$

end — $M_trivial$

definition

$is_Sigma :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$ **where**
 $is_Sigma(M,A,B,S) \equiv M(S) \wedge (\exists RSf[M]. is_Replace(M,A, \lambda x z. z = Sigfun(x,B), RSf) \wedge big_union(M, RSf, S))$

locale $M_Pi = M_basic +$

```

assumes
  Pi_separation:  $M(A) \implies separation(M, PiP\_rel(M,A))$ 
and
  Pi_replacement:
     $M(x) \implies M(y) \implies$ 
       $strong\_replacement(M, \lambda ya z. ya \in y \wedge z = \{\langle x, ya \rangle\})$ 
     $M(y) \implies$ 
       $strong\_replacement(M, \lambda x z. z = (\bigcup_{xa \in y} \{\langle x, xa \rangle\}))$ 

locale M_Pi_assumptions = M_Pi +
  fixes A B
assumes
  Pi_assumptions:
     $M(A)$ 
     $\bigwedge x. x \in A \implies M(B(x))$ 
     $\forall x \in A. strong\_replacement(M, \lambda y z. y \in B(x) \wedge z = \{\langle x, y \rangle\})$ 
     $strong\_replacement(M, \lambda x z. z = Sigfun(x, B))$ 
begin

lemma Sigma_abs[simp]:
assumes
   $M(S)$ 
shows
   $is\_Sigma(M, A, B, S) \longleftrightarrow S = Sigma(A, B)$ 
{proof}

lemma Sigma_closed[intro,simp]:  $M(Sigma(A, B))$ 
{proof}

lemmas trans_Sigma_closed[trans_closed] = transM[OF _ Sigma_closed]

end — M_Pi_assumptions

```

15.4 Discipline for *Pi*

```

definition — completely relational
  is_Pi ::  $[i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$  where
     $is\_Pi(M, A, B, I) \equiv M(I) \wedge (\exists S[M]. \exists PS[M]. is\_Sigma(M, A, B, S) \wedge$ 
       $is\_Pow(M, S, PS) \wedge$ 
       $is\_Collect(M, PS, PiP\_rel(M, A), I))$ 

```

```

definition
  Pi_rel ::  $[i \Rightarrow o, i, i \Rightarrow i] \Rightarrow i$  ( $\langle Pi-'(., .) \rangle$ ) where
     $Pi\_rel(M, A, B) \equiv THE d. is\_Pi(M, A, B, d)$ 

```

```

abbreviation
  Pi_r_set ::  $[i, i, i \Rightarrow i] \Rightarrow i$  ( $\langle Pi-'(., .) \rangle$ ) where
     $Pi\_r\_set(M, A, B) \equiv Pi\_rel(\#M, A, B)$ 

```

```

context M_basic
begin

lemmas Pow_rel_iff = mbnr.Pow_rel_iff
lemmas Pow_rel_char = mbnr.Pow_rel_char
lemmas mem_Pow_rel_abs = mbnr.mem_Pow_rel_abs
lemmas Pow_rel_closed = mbnr.Pow_rel_closed
lemmas def_Pow_rel = mbnr.def_Pow_rel
lemmas trans_Pow_rel_closed = mbnr.trans_Pow_rel_closed

end — M_basic

context M_Pi_assumptions
begin

lemma is_Pi_uniqueness:
assumes
  is_Pi(M,A,B,d) is_Pi(M,A,B,d')
shows
  d=d'
  ⟨proof⟩

lemma is_Pi_witness: ∃ d[M]. is_Pi(M,A,B,d)
  ⟨proof⟩

lemma is_Pi_closed : is_Pi(M,A,B,d) ⟹ M(d)
  ⟨proof⟩

lemma Pi_rel_closed[intro,simp]: M(Pi_rel(M,A,B))
  ⟨proof⟩

lemmas trans_Pi_rel_closed[trans_closed] = transM[OF _ Pi_rel_closed]

lemma Pi_rel_iff:
assumes M(d)
shows is_Pi(M,A,B,d) ⟺ d = Pi_rel(M,A,B)
  ⟨proof⟩

lemma def_Pi_rel:
  Pi_rel(M,A,B) = {f ∈ Pow_rel(M,Sigma(A,B)). A ⊆ domain(f) ∧ function(f)}
  ⟨proof⟩

lemma Pi_rel_char: Pi_rel(M,A,B) = {f ∈ Pi(A,B). M(f)}
  ⟨proof⟩

lemma mem_Pi_rel_abs:
assumes M(f)
shows f ∈ Pi_rel(M,A,B) ⟺ f ∈ Pi(A,B)

```

$\langle proof \rangle$

end — $M_Pi_assumptions$

The next locale (and similar ones below) are used to show the relationship between versions of simple (i.e. Σ_1^{ZF} , Π_1^{ZF}) concepts in two different transitive models.

locale $M_N_Pi_assumptions = M:M_Pi_assumptions + N:M_Pi_assumptions$

N for N +

assumes

$M_imp_N:M(x) \implies N(x)$

begin

lemma $Pi_rel_transfer: Pi^M(A,B) \subseteq Pi^N(A,B)$

$\langle proof \rangle$

end — $M_N_Pi_assumptions$

locale $M_Pi_assumptions_0 = M_Pi_assumptions __ 0$

begin

This is used in the proof of AC_Pi_rel

lemma $Pi_rel_emptyI[simp]: Pi^M(0,B) = \{0\}$

$\langle proof \rangle$

end — $M_Pi_assumptions_0$

context $M_Pi_assumptions$

begin

15.5 Auxiliary ported results on Pi_rel , now unused

lemma $Pi_rel_iff':$

assumes $types:M(f)$

shows

$f \in Pi_rel(M,A,B) \longleftrightarrow function(f) \wedge f \subseteq Sigma(A,B) \wedge A \subseteq domain(f)$

$\langle proof \rangle$

lemma $lam_type_M:$

assumes $M(A) \wedge \forall x. x \in A \implies M(B(x))$

$\wedge \forall x. x \in A \implies b(x) \in B(x)$ *strong_replacement*($M, \lambda x y. y = \langle x, b(x) \rangle$)

shows $(\lambda x \in A. b(x)) \in Pi_rel(M,A,B)$

$\langle proof \rangle$

end — $M_Pi_assumptions$

locale $M_Pi_assumptions2 = M_Pi_assumptions +$

```

PiC: M_Pi_assumptions __ C for C
begin

lemma Pi_rel_type:
assumes f ∈ PiM(A,C) ∧ x. x ∈ A ⇒ f‘x ∈ B(x)
and types: M(f)
shows f ∈ PiM(A,B)
⟨proof⟩

lemma Pi_rel_weaken_type:
assumes f ∈ PiM(A,B) ∧ x. x ∈ A ⇒ B(x) ⊆ C(x)
and types: M(f)
shows f ∈ PiM(A,C)
⟨proof⟩

end — M_Pi_assumptions2

end

```

16 Arities of internalized formulas

```

theory Arities
imports
Discipline_Base
begin

lemmas FOL_arities [simp del, arity] = arity_And arity_Or arity_Implies arity_Iff arity_Exists

declare pred_Un_distrib[arity_aux]

context
notes FOL_arities[simp]
begin

lemma arity_upair_fm [arity] : [[ t1∈nat ; t2∈nat ; up∈nat ]] ⇒
arity(upair_fm(t1,t2,up)) = ∪ {succ(t1),succ(t2),succ(up)}
⟨proof⟩

end

lemma Un_trasposition_aux1: r ∪ s ∪ r = r ∪ s ⟨proof⟩

lemma Un_trasposition_aux2:
r ∪ (s ∪ (r ∪ u)) = r ∪ (s ∪ u)
r ∪ (s ∪ (t ∪ (r ∪ u))) = r ∪ (s ∪ (t ∪ u)) ⟨proof⟩

```

We use the previous lemmas to guide automatic arity calculations.

context

```

notes Un_assoc[symmetric,simp] Un_trasposition_aux1[simp]
begin

⟨ML⟩

end — context

context
  notes FOL_arities[simp]
begin

lemma arity_is_recfun_fm [arity]:
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{is\_recfun\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup (\text{pred}^{\wedge 4}(i))$ 
  ⟨proof⟩

lemma arity_is_wfrec_fm [arity]:
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{is\_wfrec\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup (\text{pred}^{\wedge 5}(i))$ 
  ⟨proof⟩

lemma arity_is_nat_case_fm [arity]:
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{is\_nat\_case\_fm}(v, p, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(i))$ 
  ⟨proof⟩

lemma arity_iterates_MH_fm [arity]:
  assumes isF ∈ formula  $v \in \text{nat}$   $n \in \text{nat}$   $g \in \text{nat}$   $z \in \text{nat}$   $i \in \text{nat}$ 
   $\text{arity}(\text{isF}) = i$ 
  shows  $\text{arity}(\text{iterates\_MH\_fm}(\text{isF}, v, n, g, z)) =$ 
     $\text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(g) \cup \text{succ}(z) \cup (\text{pred}^{\wedge 4}(i))$ 
  ⟨proof⟩

lemma arity_is_iterates_fm [arity]:
  assumes  $p \in \text{formula}$   $v \in \text{nat}$   $n \in \text{nat}$   $Z \in \text{nat}$   $i \in \text{nat}$ 
   $\text{arity}(p) = i$ 
  shows  $\text{arity}(\text{is\_iterates\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup$ 
     $(\text{pred}^{\wedge 11}(i))$ 
  ⟨proof⟩

lemma arity_eclose_n_fm [arity]:
  assumes  $A \in \text{nat}$   $x \in \text{nat}$   $t \in \text{nat}$ 
  shows  $\text{arity}(\text{eclose\_n\_fm}(A, x, t)) = \text{succ}(A) \cup \text{succ}(x) \cup \text{succ}(t)$ 
  ⟨proof⟩

lemma arity_mem_eclose_fm [arity]:
  assumes  $x \in \text{nat}$   $t \in \text{nat}$ 
  shows  $\text{arity}(\text{mem\_eclose\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$ 
  ⟨proof⟩

```

```

lemma arity_is_eclose_fm [arity]:
   $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{is\_eclose\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$ 
   $\langle \text{proof} \rangle$ 

lemma arity_Collect_fm [arity]:
  assumes  $x \in \text{nat}$   $y \in \text{nat}$   $p \in \text{formula}$ 
  shows  $\text{arity}(\text{Collect\_fm}(x, p, y)) = \text{succ}(x) \cup \text{succ}(y) \cup \text{pred}(\text{arity}(p))$ 
   $\langle \text{proof} \rangle$ 

schematic_goal arity_least_fm':
  assumes
     $i \in \text{nat}$   $q \in \text{formula}$ 
  shows
     $\text{arity}(\text{least\_fm}(q, i)) \equiv ?\text{ar}$ 
   $\langle \text{proof} \rangle$ 

lemma arity_least_fm [arity]:
  assumes
     $i \in \text{nat}$   $q \in \text{formula}$ 
  shows
     $\text{arity}(\text{least\_fm}(q, i)) = \text{succ}(i) \cup \text{pred}(\text{arity}(q))$ 
   $\langle \text{proof} \rangle$ 

lemma arity_Replace_fm [arity]:
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{Replace\_fm}(v, p, n)) = \text{succ}(n) \cup \text{succ}(v) \cup \text{pred}(\text{pred}(i))$ 
   $\langle \text{proof} \rangle$ 

lemma arity_lambda_fm [arity]:
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{lambda\_fm}(p, v, n)) = \text{succ}(n) \cup (\text{succ}(v) \cup (\text{pred}^{\wedge 3}(i)))$ 
   $\langle \text{proof} \rangle$ 

lemma arity_transrec_fm [arity]:
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{is\_transrec\_fm}(p, v, n)) = \text{succ}(v) \cup \text{succ}(n) \cup (\text{pred}^{\wedge 8}(i))$ 
   $\langle \text{proof} \rangle$ 

lemma arity_wfrec_replacement_fm :
   $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$ 
   $\text{arity}(\text{Exists}(\text{And}(\text{pair\_fm}(1, 0, 2), \text{is\_wfrec\_fm}(p, v, n, Z))))$ 
   $= 2 \cup v \cup n \cup Z \cup (\text{pred}^{\wedge 6}(i))$ 
   $\langle \text{proof} \rangle$ 

end — FOL_arities

declare arity_subset_fm [simp del] arity_ordinal_fm[simp del, arity] arity_transset_fm[simp del]

```

```

context
  notes Un_assoc[symmetric,simp] Un_trasposition_aux1[simp]
begin
⟨ML⟩
end

context
  notes Un_assoc[simp] Un_trasposition_aux2[simp]
begin
⟨ML⟩
end

⟨ML⟩
end

```

17 Basic relativization of function spaces

```

theory Discipline_Function
  imports
    Arities
begin

```

17.1 Discipline for *fst* and *snd*

```

⟨ML⟩
definition
  is_fst ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
  
$$\begin{aligned} \textit{is\_fst}(M, x, t) \equiv & (\exists z[M]. \textit{pair}(M, t, z, x)) \vee \\ & (\neg(\exists z[M]. \exists w[M]. \textit{pair}(M, w, z, x)) \wedge \textit{empty}(M, t)) \end{aligned}$$

⟨ML⟩
notation fst_fm ( $\langle \cdot \rangle \textit{fst}'(\_) \textit{is} \_\cdot\cdot\cdot$ )

```

⟨*ML*⟩

```

definition fst_rel ::  $[i \Rightarrow o, i] \Rightarrow i$  where
  
$$\textit{fst\_rel}(M, p) \equiv \text{THE } d. M(d) \wedge \textit{is\_fst}(M, p, d)$$


```

⟨*ML*⟩

```

definition
  is_snd ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
  
$$\begin{aligned} \textit{is\_snd}(M, x, t) \equiv & (\exists z[M]. \textit{pair}(M, z, t, x)) \vee \\ & (\neg(\exists z[M]. \exists w[M]. \textit{pair}(M, z, w, x)) \wedge \textit{empty}(M, t)) \end{aligned}$$

⟨ML⟩
notation snd_fm ( $\langle \cdot \rangle \textit{snd}'(\_) \textit{is} \_\cdot\cdot\cdot$ )
⟨ML⟩

```

```

definition snd_rel ::  $[i \Rightarrow o, i] \Rightarrow i$  where
   $\text{snd\_rel}(M, p) \equiv \text{THE } d. M(d) \wedge \text{is\_snd}(M, p, d)$ 

 $\langle ML \rangle$ 

context  $M\_trans$ 
begin

lemma fst_snd_closed:
  assumes  $M(p)$ 
  shows  $M(\text{fst}(p)) \wedge M(\text{snd}(p))$ 
   $\langle proof \rangle$ 

lemma fst_closed[intro,simp]:  $M(x) \implies M(\text{fst}(x))$ 
   $\langle proof \rangle$ 

lemma snd_closed[intro,simp]:  $M(x) \implies M(\text{snd}(x))$ 
   $\langle proof \rangle$ 

lemma fst_abs [absolut]:
   $\llbracket M(p); M(x) \rrbracket \implies \text{is\_fst}(M, p, x) \longleftrightarrow x = \text{fst}(p)$ 
   $\langle proof \rangle$ 

lemma snd_abs [absolut]:
   $\llbracket M(p); M(y) \rrbracket \implies \text{is\_snd}(M, p, y) \longleftrightarrow y = \text{snd}(p)$ 
   $\langle proof \rangle$ 

lemma empty_rel_abs :  $M(x) \implies M(0) \implies x = 0 \longleftrightarrow x = (\text{THE } d. M(d) \wedge \text{empty}(M, d))$ 
   $\langle proof \rangle$ 

lemma fst_rel_abs:
  assumes  $M(p)$ 
  shows  $\text{fst\_rel}(M, p) = \text{fst}(p)$ 
   $\langle proof \rangle$ 

lemma snd_rel_abs:
  assumes  $M(p)$ 
  shows  $\text{snd\_rel}(M, p) = \text{snd}(p)$ 
   $\langle proof \rangle$ 

end —  $M\_trans$ 

```

17.2 Discipline for *minimum*

```

 $\langle ML \rangle$ 
context  $M\_trans$ 
begin

```

```

lemma minimum_closed[simp,intro]:
  assumes M(A)
  shows M(minimum(r,A))
  ⟨proof⟩

lemma first_abs :
  assumes M(B)
  shows first(z,B,r) ←→ first_rel(M,z,B,r)
  ⟨proof⟩

lemma minimum_abs:
  assumes M(B)
  shows minimum(r,B) = minimum_rel(M,r,B)
  ⟨proof⟩

end — M_trans

```

17.3 Discipline for $\lambda A\ B.\ A \rightarrow B$

definition

```

is_function_space :: [i⇒o,i,i,i] ⇒ o where
is_function_space(M,A,B,fs) ≡ M(fs) ∧ is_funspace(M,A,B,fs)

```

definition

```

function_space_rel :: [i⇒o,i,i] ⇒ i where
function_space_rel(M,A,B) ≡ THE d. is_function_space(M,A,B,d)

```

$\langle ML \rangle$

abbreviation

```

function_space_r :: [i,i⇒o,i] ⇒ i (⟨_ → _⟩ [61,1,61] 60) where
A →^M B ≡ function_space_rel(M,A,B)

```

abbreviation

```

function_space_r_set :: [i,i,i] ⇒ i (⟨_ → _⟩ [61,1,61] 60) where
function_space_r_set(A,M) ≡ function_space_rel(##M,A)

```

context M_Pi

begin

```

lemma is_function_space_uniqueness:
  assumes
    M(r) M(B)
    is_function_space(M,r,B,d) is_function_space(M,r,B,d')
  shows
    d=d'
  ⟨proof⟩

```

lemma is_function_space_witness:

```

assumes  $M(A) M(B)$ 
shows  $\exists d[M]. \text{is\_function\_space}(M,A,B,d)$ 
⟨proof⟩

lemma  $\text{is\_function\_space\_closed} :$ 
   $\text{is\_function\_space}(M,A,B,d) \implies M(d)$ 
  ⟨proof⟩
lemma  $\text{function\_space\_rel\_closed}[\text{intro},\text{simp}] :$ 
  assumes  $M(x) M(y)$ 
  shows  $M(\text{function\_space\_rel}(M,x,y))$ 
⟨proof⟩

lemmas  $\text{trans\_function\_space\_rel\_closed}[\text{trans\_closed}] = \text{transM}[OF \_ \text{func-}$ 
 $\text{tion\_space\_rel\_closed}]$ 

lemma  $\text{is\_function\_space\_iff} :$ 
  assumes  $M(x) M(y) M(d)$ 
  shows  $\text{is\_function\_space}(M,x,y,d) \longleftrightarrow d = \text{function\_space\_rel}(M,x,y)$ 
⟨proof⟩

lemma  $\text{def\_function\_space\_rel} :$ 
  assumes  $M(A) M(y)$ 
  shows  $\text{function\_space\_rel}(M,A,y) = Pi\_rel(M,A,\lambda_. y)$ 
⟨proof⟩

lemma  $\text{function\_space\_rel\_char} :$ 
  assumes  $M(A) M(y)$ 
  shows  $\text{function\_space\_rel}(M,A,y) = \{f \in A \rightarrow y. M(f)\}$ 
⟨proof⟩

lemma  $\text{mem\_function\_space\_rel\_abs} :$ 
  assumes  $M(A) M(y) M(f)$ 
  shows  $f \in \text{function\_space\_rel}(M,A,y) \longleftrightarrow f \in A \rightarrow y$ 
⟨proof⟩

end — M_Pi

locale  $M\_N\_Pi = M:M\_Pi + N:M\_Pi$  for  $N +$ 
  assumes
     $M\_imp\_N:M(x) \implies N(x)$ 
begin

lemma  $\text{function\_space\_rel\_transfer} : M(A) \implies M(B) \implies$ 
   $\text{function\_space\_rel}(M,A,B) \subseteq \text{function\_space\_rel}(N,A,B)$ 
⟨proof⟩

end — M_N_Pi

abbreviation

```

is_apply \equiv *fun_apply*

— It is not necessary to perform the Discipline for *is_apply* since it is absolute in this context

17.4 Discipline for *Collect* terms.

We have to isolate the predicate involved and apply the Discipline to it.

definition — completely relational

injP_rel:: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**

$injP_rel(M, A, f) \equiv \forall w[M]. \forall x[M]. \forall fw[M]. \forall fx[M]. w \in A \wedge x \in A \wedge is_apply(M, f, w, fw) \wedge is_apply(M, f, x, fx) \wedge fw = fx \rightarrow w = x$

$\langle ML \rangle$

context *M_basic*

begin

— I'm undecided on keeping the relative quantifiers here. Same with *surjP* below. It might relieve from changing *exI allI* to *rexI rallI* in some proofs. I wonder if this escalates well. Assuming that all terms appearing in the "def_" theorem are in *M* and using *transM*, it might do.

lemma *def_injP_rel*:

assumes

M(A) *M(f)*

shows

$injP_rel(M, A, f) \longleftrightarrow (\forall w[M]. \forall x[M]. w \in A \wedge x \in A \wedge f'w = f'x \rightarrow w = x)$

$\langle proof \rangle$

end — *M_basic*

17.5 Discipline for *inj*

definition — completely relational

is_inj :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**

$is_inj(M, A, B, I) \equiv M(I) \wedge (\exists F[M]. is_function_space(M, A, B, F) \wedge is_Collect(M, F, injP_rel(M, A), I))$

declare *typed_function_iff_sats Collect_iff_sats [iff_sats]*

$\langle ML \rangle$

notation *is_function_space_fm* ($\langle \cdot _ \rightarrow _ \ is \ \cdot \rangle$)

$\langle ML \rangle$

notation *is_inj_fm* ($\langle \cdot \cdot inj'(_, _) \ is \ \cdot \cdot \rangle$)

$\langle ML \rangle$

lemma *arity_is_inj_fm[arity]*:

$A \in \text{nat} \implies$
 $B \in \text{nat} \implies I \in \text{nat} \implies \text{arity}(\text{is_inj_fm}(A, B, I)) = \text{succ}(A) \cup \text{succ}(B) \cup$
 $\text{succ}(I)$
 $\langle \text{proof} \rangle$

definition

$\text{inj_rel} :: [i \Rightarrow o, i, i] \Rightarrow i (\langle \text{inj}'(_, _) \rangle)$ **where**
 $\text{inj_rel}(M, A, B) \equiv \text{THE } d. \text{ is_inj}(M, A, B, d)$

abbreviation

$\text{inj_r_set} :: [i, i, i] \Rightarrow i (\langle \text{inj}'(_, _) \rangle)$ **where**
 $\text{inj_r_set}(M) \equiv \text{inj_rel}(\#\# M)$

locale $M_inj = M_Pi +$
assumes
 $\text{injP_separation}: M(r) \implies \text{separation}(M, \text{injP_rel}(M, r))$
begin

lemma $\text{is_inj_uniqueness}:$
assumes
 $M(r) M(B)$
 $\text{is_inj}(M, r, B, d) \text{ is_inj}(M, r, B, d')$
shows
 $d=d'$
 $\langle \text{proof} \rangle$

lemma $\text{is_inj_witness}: M(r) \implies M(B) \implies \exists d[M]. \text{ is_inj}(M, r, B, d)$
 $\langle \text{proof} \rangle$

lemma $\text{is_inj_closed} :$
 $\text{is_inj}(M, x, y, d) \implies M(d)$
 $\langle \text{proof} \rangle$

lemma $\text{inj_rel_closed[intro,simp]}:$
assumes $M(x) M(y)$
shows $M(\text{inj_rel}(M, x, y))$
 $\langle \text{proof} \rangle$

lemmas $\text{trans_inj_rel_closed[trans_closed]} = \text{transM}[OF _ \text{inj_rel_closed}]$

lemma $\text{inj_rel_iff}:$
assumes $M(x) M(y) M(d)$
shows $\text{is_inj}(M, x, y, d) \longleftrightarrow d = \text{inj_rel}(M, x, y)$
 $\langle \text{proof} \rangle$

lemma $\text{def_inj_rel}:$
assumes $M(A) M(B)$
shows $\text{inj_rel}(M, A, B) =$
 $\{f \in \text{function_space_rel}(M, A, B). \forall w[M]. \forall x[M]. w \in A \wedge x \in A \wedge f \cdot w =$

```

 $f'x \longrightarrow w=x\}$ 
(is _ = Collect(__,?P))
⟨proof⟩

lemma inj_rel_char:
assumes M(A) M(B)
shows inj_rel(M,A,B) = {f ∈ inj(A,B). M(f)}
⟨proof⟩

end — M_inj

locale M_N_inj = M:M_inj + N:M_inj N for N +
assumes
M_imp_N:M(x) ==> N(x)
begin

lemma inj_rel_transfer: M(A) ==> M(B) ==> inj_rel(M,A,B) ⊆ inj_rel(N,A,B)
⟨proof⟩

end — M_N_inj

```

17.6 Discipline for *surj*

definition

```

surjP_rel:: [i⇒o,i,i,i]⇒o where
surjP_rel(M,A,B,f) ≡
  ∀ y[M]. ∃ x[M]. ∃ fx[M]. y∈B → x∈A ∧ is_apply(M,f,x,fx) ∧ fx=y

```

⟨ML⟩

context M_basic

begin

lemma def_surjP_rel:

assumes

M(A) M(B) M(f)

shows

surjP_rel(M,A,B,f) ↔ (∀ y[M]. ∃ x[M]. y∈B → x∈A ∧ f'x=y)

⟨proof⟩

end — M_basic

17.7 Discipline for *surj*

definition — completely relational

is_surj :: [i⇒o,i,i,i]⇒o where

```

is_surj(M,A,B,I) ≡ M(I) ∧ (∃ F[M]. is_function_space(M,A,B,F) ∧
  is_Collect(M,F,surjP_rel(M,A,B),I))

```

```

⟨ML⟩
notation is_surj_fm (·surj'(__,__') is __·)
definition
surj_rel :: [i⇒o,i,i] ⇒ i ⟨surj-'(__,__')⟩ where
surj_rel(M,A,B) ≡ THE d. is_surj(M,A,B,d)
abbreviation
surj_r_set :: [i,i,i] ⇒ i ⟨surj-'(__,__')⟩ where
surj_r_set(M) ≡ surj_rel(# # M)
locale M_surj = M_Pi +
assumes
surjP_separation: M(A)⇒M(B)⇒separation(M,λx. surjP_rel(M,A,B,x))
begin

lemma is_surj_uniqueness:
assumes
M(r) M(B)
is_surj(M,r,B,d) is_surj(M,r,B,d')
shows
d=d'
⟨proof⟩

lemma is_surj_witness: M(r) ⇒ M(B) ⇒ ∃ d[M]. is_surj(M,r,B,d)
⟨proof⟩

lemma is_surj_closed :
is_surj(M,x,y,d) ⇒ M(d)
⟨proof⟩

lemma surj_rel_closed[intro,simp]:
assumes M(x) M(y)
shows M(surj_rel(M,x,y))
⟨proof⟩

lemmas trans_surj_rel_closed[trans_closed] = transM[OF _ surj_rel_closed]

lemma surj_rel_iff:
assumes M(x) M(y) M(d)
shows is_surj(M,x,y,d) ⇐⇒ d = surj_rel(M,x,y)
⟨proof⟩

lemma def_surj_rel:
assumes M(A) M(B)
shows surj_rel(M,A,B) =
{f ∈ function_space_rel(M,A,B). ∀ y[M]. ∃ x[M]. y ∈ B → x ∈ A ∧ f'x=y }
(is __ = Collect(__,?P))
⟨proof⟩

```

```

lemma surj_rel_char:
  assumes M(A) M(B)
  shows surj_rel(M,A,B) = {f ∈ surj(A,B). M(f)}
  ⟨proof⟩

end — M_surj

locale M_N_surj = M:M_surj + N:M_surj N for N +
  assumes
    M_imp_N:M(x) ==> N(x)
begin

lemma surj_rel_transfer: M(A) ==> M(B) ==> surj_rel(M,A,B) ⊆ surj_rel(N,A,B)
  ⟨proof⟩

end — M_N_surj

```

17.8 Discipline for *Inter*

definition

is_Int :: [i⇒o,i,i,i]⇒o **where**

$$\text{is_Int}(M,A,B,I) \equiv M(I) \wedge (\forall x[M]. x \in I \longleftrightarrow x \in A \wedge x \in B)$$

(ML)
notation is_Int_fm (⟨_ ∩ _ is _)

context M_basic
begin

lemma is_Int_closed :

$$\text{is_Int}(M,A,B,I) \Longrightarrow M(I)$$
 ⟨proof⟩

lemma is_Int_abs:
 assumes

$$M(A) M(B) M(I)$$
shows

$$\text{is_Int}(M,A,B,I) \longleftrightarrow I = A \cap B$$
 ⟨proof⟩

lemma is_Int_uniqueness:
 assumes

$$M(r) M(B)$$

$$\text{is_Int}(M,r,B,d) \text{ is_Int}(M,r,B,d')$$
shows

$$d=d'$$
 ⟨proof⟩

Note: *Int_closed* already in *ZF-Constructible.Relative*.

```
end — M_basic
```

17.9 Discipline for *bij*

$\langle ML \rangle$

notation *is_bij_fm* ($\cdot \cdot \cdot \cdot \cdot$) *is* $\cdot \cdot \cdot$)

abbreviation

bij_r_class :: $[i \Rightarrow o, i, i] \Rightarrow i (\cdot \cdot \cdot \cdot \cdot)$ **where**
 $bij_r_{\text{class}} \equiv bij_{\text{rel}}$

abbreviation

bij_r_set :: $[i, i, i] \Rightarrow i (\cdot \cdot \cdot \cdot \cdot)$ **where**
 $bij_r_{\text{set}}(M) \equiv bij_{\text{rel}}(\#\# M)$

locale $M_{\text{Perm}} = M_{\text{Pi}} + M_{\text{inj}} + M_{\text{surj}}$
begin

lemma *is_bij_closed* : *is_bij*(M, f, y, d) $\implies M(d)$
 $\langle proof \rangle$

lemma *bij_rel_closed[intro,simp]*:
assumes $M(x) M(y)$
shows $M(bij_{\text{rel}}(M, x, y))$
 $\langle proof \rangle$

lemmas *trans_bij_rel_closed[trans_closed]* = *transM[OF bij_rel_closed]*

lemma *bij_rel_iff*:
assumes $M(x) M(y) M(d)$
shows *is_bij*(M, x, y, d) $\longleftrightarrow d = bij_{\text{rel}}(M, x, y)$
 $\langle proof \rangle$

lemma *def_bij_rel*:
assumes $M(A) M(B)$
shows $bij_{\text{rel}}(M, A, B) = inj_{\text{rel}}(M, A, B) \cap surj_{\text{rel}}(M, A, B)$
 $\langle proof \rangle$

lemma *bij_rel_char*:
assumes $M(A) M(B)$
shows $bij_{\text{rel}}(M, A, B) = \{f \in bij(A, B). M(f)\}$
 $\langle proof \rangle$

end — M_{Perm}

locale $M_N_{\text{Perm}} = M_N_{\text{Pi}} + M_N_{\text{inj}} + M_N_{\text{surj}} + M:M_{\text{Perm}} + N:M_{\text{Perm}} N$

begin

```

lemma bij_rel_transfer:  $M(A) \implies M(B) \implies \text{bij\_rel}(M, A, B) \subseteq \text{bij\_rel}(N, A, B)$ 
   $\langle proof \rangle$ 

end —  $M\_N\_Perm$ 

context  $M\_Perm$ 
begin

lemma mem_bij_rel:  $\llbracket f \in \text{bij}^M(A, B); M(A); M(B) \rrbracket \implies f \in \text{bij}(A, B)$ 
   $\langle proof \rangle$ 

lemma mem_inj_rel:  $\llbracket f \in \text{inj}^M(A, B); M(A); M(B) \rrbracket \implies f \in \text{inj}(A, B)$ 
   $\langle proof \rangle$ 

lemma mem_surj_rel:  $\llbracket f \in \text{surj}^M(A, B); M(A); M(B) \rrbracket \implies f \in \text{surj}(A, B)$ 
   $\langle proof \rangle$ 

end —  $M\_Perm$ 

```

17.10 Discipline for (\approx)

$\langle ML \rangle$
notation $\text{is_eqpoll_fm} (\cdot \approx \cdot)$

context M_Perm **begin**

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_Perm

abbreviation

$\text{eqpoll_r} :: [i, i \Rightarrow o, i] \Rightarrow o (\cdot \approx \cdot [51, 1, 51] 50)$ **where**
 $A \approx^M B \equiv \text{eqpoll_rel}(M, A, B)$

abbreviation

$\text{eqpoll_r_set} :: [i, i, i] \Rightarrow o (\cdot \approx \cdot [51, 1, 51] 50)$ **where**
 $\text{eqpoll_r_set}(A, M) \equiv \text{eqpoll_rel}(\#M, A)$

context M_Perm
begin

lemma def_eqpoll_rel:
assumes
 $M(A) M(B)$
shows
 $\text{eqpoll_rel}(M, A, B) \longleftrightarrow (\exists f[M]. f \in \text{bij_rel}(M, A, B))$
 $\langle proof \rangle$

```
end — M_Perm
```

```
context M_N_Perm
begin
```

The next lemma is not part of the discipline

```
lemma eqpoll_rel_transfer: assumes A ≈M B M(A) M(B)
  shows A ≈N B
  ⟨proof⟩
```

```
end — M_N_Perm
```

17.11 Discipline for (\lesssim)

$\langle ML \rangle$

notation is_lepoll_fm ($\langle \cdot \lesssim \cdot \rangle$)
 $\langle ML \rangle$

```
context M_inj begin
```

$\langle ML \rangle$

$\langle proof \rangle$

```
end — M_inj
```

abbreviation

lepoll_r :: $[i, i \Rightarrow o, i] \Rightarrow o (\langle _ \lesssim _ \rangle [51, 1, 51] 50)$ **where**
 $A \lesssim^M B \equiv \text{lepoll_rel}(M, A, B)$

abbreviation

lepoll_r_set :: $[i, i, i] \Rightarrow o (\langle _ \lesssim _ \rangle [51, 1, 51] 50)$ **where**
 $\text{lepoll_r_set}(A, M) \equiv \text{lepoll_rel}(\#M, A)$

```
context M_Perm
```

```
begin
```

```
lemma def_lepoll_rel:
```

assumes

$M(A) M(B)$

shows

$\text{lepoll_rel}(M, A, B) \longleftrightarrow (\exists f[M]. f \in \text{inj_rel}(M, A, B))$
 $\langle proof \rangle$

```
end — M_Perm
```

```
context M_N_Perm
begin
```

— This lemma is not part of the discipline.

lemma *lepoll_rel_transfer*: **assumes** $A \lesssim^M B$ $M(A) M(B)$
shows $A \lesssim^N B$
(proof)

end — *M_N_Perm*

17.12 Discipline for (\prec)

(ML)
notation *is_lesspoll_fm* ($\langle \cdot \prec \cdot \rangle$)
(ML)

context *M_Perm* **begin**

(ML)
(proof)

end — *M_Perm*

abbreviation

lesspoll_r :: $[i, i \Rightarrow o, i] \Rightarrow o (\langle _ \prec _ \rangle [51, 1, 51] 50)$ **where**
 $A \prec^M B \equiv \text{lesspoll_rel}(M, A, B)$

abbreviation

lesspoll_r_set :: $[i, i, i] \Rightarrow o (\langle _ \prec _ \rangle [51, 1, 51] 50)$ **where**
 $\text{lesspoll_r_set}(A, M) \equiv \text{lesspoll_rel}(\#M, A)$

Since *lesspoll_rel* is defined as a propositional combination of older terms, there is no need for a separate “def” theorem for it.

Note that *lesspoll_rel* is neither Σ_1^{ZF} nor Π_1^{ZF} , so there is no “transfer” theorem for it.

definition

Powapply :: $[i, i] \Rightarrow i$ **where**
 $\text{Powapply}(f, y) \equiv \text{Pow}(f'y)$

(ML)

declare *Replace_iff_sats*[*iff_sats*]
(ML)

notation *Powapply_rel* ($\langle \text{Powapply}'(_, _) \rangle$)

context *M_basic*
begin

(ML)
(proof)

```

⟨ML⟩
⟨proof⟩

end — M_basic

end

```

18 Replacements using Lambdas

```

theory Lambda_Replacement
  imports
    Discipline_Function
  begin

```

In this theory we prove several instances of separation and replacement in *M_basic*. Moreover we introduce a new locale assuming two instances of separation and six instances of lambda replacements (ie, replacement of the form $\lambda xy. y = \langle x, f(x) \rangle$) and we prove a bunch of other instances.

definition

```

lam_replacement ::  $[i \Rightarrow o, i \Rightarrow i] \Rightarrow o$  where
lam_replacement(M,b)  $\equiv$  strong_replacement(M, λx y. y = ⟨x, b(x)⟩)

```

```

lemma separation_univ :
  shows separation(M,M)
  ⟨proof⟩

```

```

context M_trivial
begin

```

```

lemma lam_replacement_iff_lam_closed:
  assumes  $\forall x[M]. M(b(x))$ 
  shows lam_replacement(M, b)  $\longleftrightarrow$   $(\forall A[M]. M(\lambda x \in A. b(x)))$ 
  ⟨proof⟩

```

```

lemma lam_replacement_imp_lam_closed:
  assumes lam_replacement(M, b)  $M(A) \forall x \in A. M(b(x))$ 
  shows  $M(\lambda x \in A. b(x))$ 
  ⟨proof⟩

```

```

lemma lam_replacement_cong:
  assumes lam_replacement(M,f)  $\forall x[M]. f(x) = g(x) \forall x[M]. M(f(x))$ 
  shows lam_replacement(M,g)
  ⟨proof⟩

```

```

end — M_trivial

```

```

context M_basic

```

```

begin

lemma separation_iff':
assumes separation(M,λx . P(x)) separation(M,λx . Q(x))
shows separation(M,λx . P(x) ↔ Q(x))
⟨proof⟩

lemma separation_in_constant :
assumes M(a)
shows separation(M,λx . x=a)
⟨proof⟩

lemma separation_equal :
shows separation(M,λx . x=a)
⟨proof⟩

lemma (in M_basic) separation_in_rev:
assumes (M)(a)
shows separation(M,λx . a=x)
⟨proof⟩

lemma lam_replacement_imp_strong_replacement_aux:
assumes lam_replacement(M, b) ∀x[M]. M(b(x))
shows strong_replacement(M, λx y. y = b(x))
⟨proof⟩

lemma strong_lam_replacement_imp_strong_replacement :
assumes strong_replacement(M,λ x z . P(fst(x),snd(x)) ∧ z=⟨x,f(fst(x),snd(x))⟩)
      ∧ A . M(A) ⇒ ∀x∈A. P(X,x) → M(f(X,x)) M(X)
shows strong_replacement(M,λ x z . P(X,x) ∧ z=f(X,x))
⟨proof⟩

lemma lam_replacement_imp_RepFun_Lam:
assumes lam_replacement(M, f) M(A)
shows M({y . x∈A , M(y) ∧ y=⟨x,f(x)⟩})
⟨proof⟩

lemma lam_closed_imp_closed:
assumes ∀A[M]. M(λx∈A. f(x))
shows ∀x[M]. M(f(x))
⟨proof⟩

lemma lam_replacement_if:
assumes lam_replacement(M,f) lam_replacement(M,g) separation(M,b)
      ∀x[M]. M(f(x)) ∀x[M]. M(g(x))
shows lam_replacement(M, λx. if b(x) then f(x) else g(x))
⟨proof⟩

lemma lam_replacement_constant: M(b) ⇒ lam_replacement(M,λ_. b)
⟨proof⟩

```

18.1 Replacement instances obtained through Powerset

The next few lemmas provide bounds for certain constructions.

lemma *not_functional_Replace_0*:

assumes $\neg(\forall y y'. P(y) \wedge P(y') \rightarrow y=y')$
shows $\{y . x \in A, P(y)\} = 0$
 $\langle proof \rangle$

lemma *Replace_in_Pow_rel*:

assumes $\bigwedge x b. x \in A \Rightarrow P(x, b) \Rightarrow b \in U \quad \forall x \in A. \forall y y'. P(x, y) \wedge P(x, y') \rightarrow y=y'$
 $separation(M, \lambda y. \exists x[M]. x \in A \wedge P(x, y))$
 $M(U) M(A)$
shows $\{y . x \in A, P(x, y)\} \in Pow^M(U)$
 $\langle proof \rangle$

lemma *Replace_sing_0_in_Pow_rel*:

assumes $\bigwedge b. P(b) \Rightarrow b \in U$
 $separation(M, \lambda y. P(y)) M(U)$
shows $\{y . x \in \{0\}, P(y)\} \in Pow^M(U)$
 $\langle proof \rangle$

lemma *The_in_Pow_rel_Union*:

assumes $\bigwedge b. P(b) \Rightarrow b \in U$ $separation(M, \lambda y. P(y)) M(U)$
shows $(THE i. P(i)) \in Pow^M(\bigcup U)$
 $\langle proof \rangle$

lemma *separation_least*: $separation(M, \lambda y. Ord(y) \wedge P(y) \wedge (\forall j. j < y \rightarrow \neg P(j)))$

$\langle proof \rangle$

lemma *Least_in_Pow_rel_Union*:

assumes $\bigwedge b. P(b) \Rightarrow b \in U$
 $M(U)$
shows $(\mu i. P(i)) \in Pow^M(\bigcup U)$
 $\langle proof \rangle$

lemma *bounded_lam_replacement*:

fixes U
assumes $\forall X[M]. \forall x \in X. f(x) \in U(X)$
and $separation_f: \forall A[M]. separation(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, f(x) \rangle)$
and U_closed [intro,simp]: $\bigwedge X. M(X) \Rightarrow M(U(X))$
shows $lam_replacement(M, f)$
 $\langle proof \rangle$

lemma *fst_in_double_Union*:

assumes $x \in X$
shows $fst(x) \in \{0\} \cup \bigcup \bigcup X$
 $\langle proof \rangle$

```

lemma snd_in_double_Union:
  assumes  $x \in X$ 
  shows  $\text{snd}(x) \in \{0\} \cup \bigcup \bigcup X$ 
  (proof)

lemma bounded_lam_replacement_binary:
  fixes  $U$ 
  assumes  $\forall X[M]. \forall x \in X. \forall y \in X. f(x,y) \in U(X)$ 
  and separation_f:  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, f(\text{fst}(x), \text{snd}(x)) \rangle)$ 
  and U_closed [intro,simp]:  $\bigwedge X. M(X) \implies M(U(X))$ 
  shows lam_replacement( $M, \lambda r . f(\text{fst}(r), \text{snd}(r))$ )
  (proof)

lemma lam_replacement_fst':
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{fst}(x) \rangle)$ 
  shows lam_replacement( $M, \text{fst}$ )
  (proof)

lemma lam_replacement_snd':
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{snd}(x) \rangle)$ 
  shows lam_replacement( $M, \text{snd}$ )
  (proof)

lemma lam_replacement_restrict:
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{restrict}(x, B) \rangle) \quad M(B)$ 
  shows lam_replacement( $M, \lambda r . \text{restrict}(r, B)$ )
  (proof)

lemma lam_replacement_Union':
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \bigcup x \rangle)$ 
  shows lam_replacement( $M, \text{Union}$ )
  (proof)

lemma Image_in_Pow_rel_Union3:
  assumes  $x \in X \quad y \in X \quad M(X)$ 
  shows Image( $x, y$ )  $\in \text{Pow}^M(\bigcup \bigcup \bigcup X)$ 
  (proof)

lemma lam_replacement_Image':
  assumes
     $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Image}(\text{fst}(x), \text{snd}(x)) \rangle)$ 
  shows
    lam_replacement( $M, \lambda r . \text{Image}(\text{fst}(r), \text{snd}(r))$ )
  (proof)

lemma minimum_in_Union:
  assumes  $x \in X \quad y \in X$ 
  shows minimum( $x, y$ )  $\in \{0\} \cup \bigcup X$ 
  (proof)

```

```

lemma lam_replacement_minimum':
assumes
   $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{minimum}(\text{fst}(x), \text{snd}(x)) \rangle)$ 
shows
  lam_replacement(M,  $\lambda r . \text{minimum}(\text{fst}(r), \text{snd}(r))$ )
   $\langle \text{proof} \rangle$ 

lemma lam_replacement_Pow_rel:
assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Pow\_rel}(M, x) \rangle)$ 
shows lam_replacement(M, Pow_rel(M))
 $\langle \text{proof} \rangle$ 

end — M_basic

definition
  middle_del ::  $i \Rightarrow i \Rightarrow i$  where
  middle_del(x, y)  $\equiv \langle \text{fst}(x), \text{snd}(y) \rangle$ 

⟨ML⟩

context M_basic
begin

lemma middle_del_in_cartprod:
assumes  $x \in X \ y \in X \ M(X)$ 
shows middle_del(x, y)  $\in (\{0\} \cup \bigcup \bigcup X) \times (\{0\} \cup \bigcup \bigcup X)$ 
 $\langle \text{proof} \rangle$ 

lemma lam_replacement_middle_del':
assumes
   $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{middle\_del}(\text{fst}(x), \text{snd}(x)) \rangle)$ 
shows
  lam_replacement(M,  $\lambda r . \text{middle\_del}(\text{fst}(r), \text{snd}(r))$ )
   $\langle \text{proof} \rangle$ 

end — M_basic

definition
  prodRepl ::  $i \Rightarrow i \Rightarrow i$  where
  prodRepl(x, y)  $\equiv \langle \text{snd}(x), \langle \text{fst}(x), \text{snd}(y) \rangle \rangle$ 

⟨ML⟩

context M_basic
begin

lemma prodRepl_in_cartprod:
assumes  $x \in X \ y \in X \ M(X)$ 
shows prodRepl(x, y)  $\in (\{0\} \cup \bigcup \bigcup X) \times (\{0\} \cup \bigcup \bigcup X) \times (\{0\} \cup \bigcup \bigcup X)$ 

```

```

⟨proof⟩

lemma lam_replacement_prodRepl':
assumes
 $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{prodRepl}(\text{fst}(x), \text{snd}(x)) \rangle)$ 
shows
 $\text{lam\_replacement}(M, \lambda r . \text{prodRepl}(\text{fst}(r), \text{snd}(r)))$ 
⟨proof⟩

end —  $M_{\text{basic}}$ 

context  $M_{\text{Perm}}$ 
begin

lemma inj_rel_in_Pow_rel_Pow_rel':
assumes  $x \in X \ y \in X \ M(X)$ 
shows  $\text{inj}^M(x, y) \in \text{Pow}^M(\text{Pow}^M(\bigcup X \times \bigcup X))$ 
⟨proof⟩

lemma lam_replacement_inj_rel':
assumes
 $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{inj}^M(\text{fst}(x), \text{snd}(x)) \rangle)$ 
shows
 $\text{lam\_replacement}(M, \lambda r . \text{inj}^M(\text{fst}(r), \text{snd}(r)))$ 
⟨proof⟩

end —  $M_{\text{Perm}}$ 

locale  $M_{\text{replacement}} = M_{\text{basic}} +$ 
assumes
 $\text{lam\_replacement\_fst}: \text{lam\_replacement}(M, \text{fst})$ 
and
 $\text{lam\_replacement\_snd}: \text{lam\_replacement}(M, \text{snd})$ 
and
 $\text{lam\_replacement\_Union}: \text{lam\_replacement}(M, \text{Union})$ 
and
 $\text{lam\_replacement\_middle\_del}: \text{lam\_replacement}(M, \lambda r. \text{middle\_del}(\text{fst}(r), \text{snd}(r)))$ 
and
 $\text{lam\_replacement\_prodRepl}: \text{lam\_replacement}(M, \lambda r. \text{prodRepl}(\text{fst}(r), \text{snd}(r)))$ 
and
 $\text{lam\_replacement\_Image}: \text{lam\_replacement}(M, \lambda p. \text{fst}(p) `` \text{snd}(p))$ 
and
 $\text{middle\_separation}: \text{separation}(M, \lambda x. \text{snd}(\text{fst}(x)) = \text{fst}(\text{snd}(x)))$ 
and
 $\text{separation\_fst\_in\_snd}: \text{separation}(M, \lambda y. \text{fst}(\text{snd}(y)) \in \text{snd}(\text{snd}(y)))$ 
begin

```

— This lemma is similar to *strong_lam_replacement_imp_strong_replacement* and *lam_replacement_imp_strong_replacement_aux* but does not require g to be

closed under M .

lemma *lam_replacement_imp_strong_replacement*:

assumes *lam_replacement*(M, f)

shows *strong_replacement*($M, \lambda x. y. y = f(x)$)

{proof}

lemma *Collect_middle*: $\{p \in (\lambda x \in A. f(x)) \times (\lambda x \in \{f(x) . x \in A\}. g(x)) . \text{snd}(\text{fst}(p)) = \text{fst}(\text{snd}(p))\}$
 $= \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \}$
{proof}

lemma *RepFun_middle_del*: $\{ \langle \text{fst}(\text{fst}(p)), \text{snd}(\text{snd}(p)) \rangle . p \in \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \} \}$
 $= \{ \langle x, g(f(x)) \rangle . x \in A \}$
{proof}

lemma *lam_replacement_imp_RepFun*:

assumes *lam_replacement*(M, f) $M(A)$

shows $M(\{y . x \in A, M(y) \wedge y = f(x)\})$

{proof}

lemma *lam_replacement_product*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g)

shows *lam_replacement*($M, \lambda x. \langle f(x), g(x) \rangle$)

{proof}

lemma *lam_replacement_hcomp*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g) $\forall x[M]. M(f(x))$

shows *lam_replacement*($M, \lambda x. g(f(x))$)

{proof}

lemma *lam_replacement_hcomp2*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g)
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$

lam_replacement($M, \lambda p. h(\text{fst}(p), \text{snd}(p))$)

shows *lam_replacement*($M, \lambda x. h(f(x), g(x))$)

{proof}

lemma *lam_replacement_identity*: *lam_replacement*($M, \lambda x. x$)

{proof}

lemma *strong_replacement_separation_aux* :

assumes *strong_replacement*($M, \lambda x y. y = f(x)$) *separation*(M, P)

shows *strong_replacement*($M, \lambda x y. P(x) \wedge y = f(x)$)

{proof}

lemma *separation_in*:

assumes $\forall x[M]. M(f(x))$ *lam_replacement*(M, f)

$\forall x[M]. M(g(x))$ *lam_replacement*(M, g)

shows *separation*($M, \lambda x. f(x) \in g(x)$)

$\langle proof \rangle$

lemma *lam_replacement_swap*: *lam_replacement*(*M*, $\lambda x. \langle snd(x), fst(x) \rangle$)
 $\langle proof \rangle$

lemma *relation_separation*: *separation*(*M*, $\lambda z. \exists x y. z = \langle x, y \rangle$)
 $\langle proof \rangle$

lemma *separation_Pair*:
 assumes *separation*(*M*, $\lambda y . P(fst(y), snd(y))$)
 shows *separation*(*M*, $\lambda y. \exists u v . y = \langle u, v \rangle \wedge P(u, v)$)
 $\langle proof \rangle$

lemma *lam_replacement_separation* :
 assumes *lam_replacement*(*M,f*) *separation*(*M,P*)
 shows *strong_replacement*(*M*, $\lambda x y . P(x) \wedge y = \langle x, f(x) \rangle$)
 $\langle proof \rangle$

lemmas *strong_replacement_separation* =
 strong_replacement_separation_aux [*OF lam_replacement_imp_strong_replacement*]

lemma *id_closed*: *M(A)* \implies *M(id(A))*
 $\langle proof \rangle$

lemma *lam_replacement_Pair*:
 shows *lam_replacement*(*M*, $\lambda x. \langle fst(x), snd(x) \rangle$)
 $\langle proof \rangle$

lemma *lam_replacement_Upair*: *lam_replacement*(*M*, $\lambda p. Upair(fst(p), snd(p))$)
 $\langle proof \rangle$

lemma *lam_replacement_Un*: *lam_replacement*(*M*, $\lambda p. fst(p) \cup snd(p)$)
 $\langle proof \rangle$

lemma *lam_replacement_cons*: *lam_replacement*(*M*, $\lambda p. cons(fst(p), snd(p))$)
 $\langle proof \rangle$

lemma *lam_replacement_sing_fun*:
 assumes *lam_replacement*(*M, f*) $\forall x[M]. M(f(x))$
 shows *lam_replacement*(*M*, $\lambda x. \{f(x)\}$)
 $\langle proof \rangle$

lemma *lam_replacement_sing*: *lam_replacement*(*M*, $\lambda x. \{x\}$)
 $\langle proof \rangle$

lemma *lam_replacement_CartProd*:
 assumes *lam_replacement*(*M,f*) *lam_replacement*(*M,g*)
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$

```

shows lam_replacement( $M, \lambda x. f(x) \times g(x)$ )
⟨proof⟩

lemma lam_replacement_RepFun :
  assumes lam_replacement( $M, \lambda x . f(fst(x), snd(x))$ ) lam_replacement( $M, g$ )
     $\forall x[M]. \forall y \in g(x). M(f(x, y)) \forall x[M]. M(g(x))$ 
  shows lam_replacement( $M, \lambda x . \{f(x, z) . z \in g(x)\}$ )
⟨proof⟩

lemma lam_replacement_Collect :
  assumes lam_replacement( $M, f$ )  $\forall x[M]. M(f(x))$ 
    separation( $M, \lambda x . F(fst(x), snd(x))$ )
  shows lam_replacement( $M, \lambda x . \{y \in f(x) . F(x, y)\}$ )
⟨proof⟩

lemma separation_eq:
  assumes  $\forall x[M]. M(f(x))$  lam_replacement( $M, f$ )
     $\forall x[M]. M(g(x))$  lam_replacement( $M, g$ )
  shows separation( $M, \lambda x . f(x) = g(x)$ )
⟨proof⟩

lemma separation_comp :
  assumes separation( $M, P$ ) lam_replacement( $M, f$ )  $\forall x[M]. M(f(x))$ 
  shows separation( $M, \lambda x . P(f(x))$ )
⟨proof⟩

lemma lam_replacement_domain: lam_replacement( $M, \text{domain}$ )
⟨proof⟩

lemma separation_in_domain :  $M(a) \implies \text{separation}(M, \lambda x. a \in \text{domain}(x))$ 
⟨proof⟩

lemma separation_all:
  assumes separation( $M, \lambda x . P(fst(fst(x)), snd(x))$ )
    lam_replacement( $M, f$ ) lam_replacement( $M, g$ )
     $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$ 
  shows separation( $M, \lambda z. \forall x \in f(z). P(g(z), x)$ )
⟨proof⟩

lemma separation_ex:
  assumes separation( $M, \lambda x . P(fst(fst(x)), snd(x))$ )
    lam_replacement( $M, f$ ) lam_replacement( $M, g$ )
     $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$ 
  shows separation( $M, \lambda z. \exists x \in f(z). P(g(z), x)$ )
⟨proof⟩

lemma lam_replacement_converse: lam_replacement( $M, \text{converse}$ )
⟨proof⟩

```

```

lemma lam_replacement_Diff: lam_replacement(M,  $\lambda x . fst(x) - snd(x)$ )
   $\langle proof \rangle$ 

lemma lam_replacement_range : lam_replacement(M, range)
   $\langle proof \rangle$ 

lemma separation_in_range : M(a)  $\implies$  separation(M,  $\lambda x . a \in range(x)$ )
   $\langle proof \rangle$ 

lemmas tag_replacement = lam_replacement_constant[unfolded lam_replacement_def]

lemma tag_lam_replacement : M(X)  $\implies$  lam_replacement(M,  $\lambda x . \langle X, x \rangle$ )
   $\langle proof \rangle$ 

lemma strong_lam_replacement_imp_lam_replacement_RepFun :
  assumes strong_replacement(M,  $\lambda x z . P(fst(x), snd(x)) \wedge z = \langle x, f(fst(x), snd(x)) \rangle$ )
  lam_replacement(M, g)
   $\bigwedge A y . M(y) \implies M(A) \implies \forall x \in A . P(y, x) \longrightarrow M(f(y, x))$ 
   $\forall x[M] . M(g(x))$ 
  separation(M,  $\lambda x . P(fst(x), snd(x))$ )
  shows lam_replacement(M,  $\lambda x . \{y . r \in g(x) \wedge P(x, r) \wedge y = f(x, r)\}$ )
   $\langle proof \rangle$ 

lemma lam_replacement_Collect' :
  assumes M(A) separation(M,  $\lambda p . F(fst(p), snd(p))$ )
  shows lam_replacement(M,  $\lambda x . \{y \in A . F(x, y)\}$ )
   $\langle proof \rangle$ 

lemma lam_replacement_id2: lam_replacement(M,  $\lambda x . \langle x, x \rangle$ )
   $\langle proof \rangle$ 

lemmas id_replacement = lam_replacement_id2[unfolded lam_replacement_def]

lemma lam_replacement_apply2: lam_replacement(M,  $\lambda p . fst(p) \cdot snd(p)$ )
   $\langle proof \rangle$ 

lemma lam_replacement_apply:M(S)  $\implies$  lam_replacement(M,  $\lambda x . S \cdot x$ )
   $\langle proof \rangle$ 

lemma apply_replacement:M(S)  $\implies$  strong_replacement(M,  $\lambda x y . y = S \cdot x$ )
   $\langle proof \rangle$ 

lemma lam_replacement_id_const: M(b)  $\implies$  lam_replacement(M,  $\lambda x . \langle x, b \rangle$ )
   $\langle proof \rangle$ 

lemmas pospend_replacement = lam_replacement_id_const[unfolded lam_replacement_def]

lemma lam_replacement_const_id: M(b)  $\implies$  lam_replacement(M,  $\lambda z . \langle b, z \rangle$ )

```

$\langle proof \rangle$

lemmas $prepend_replacement = lam_replacement_const_id$ [unfolded lam_replacement_def]

lemma $lam_replacement_apply_const_id: M(f) \implies M(z) \implies lam_replacement(M, \lambda x. f ' \langle z, x \rangle)$
 $\langle proof \rangle$

lemmas $apply_replacement2 = lam_replacement_apply_const_id$ [unfolded lam_replacement_def]

lemma $lam_replacement_Inl: lam_replacement(M, Inl)$
 $\langle proof \rangle$

lemma $lam_replacement_Inr: lam_replacement(M, Inr)$
 $\langle proof \rangle$

lemmas $Inl_replacement1 = lam_replacement_Inl$ [unfolded lam_replacement_def]

lemma $lam_replacement_Diff': M(X) \implies lam_replacement(M, \lambda x. x - X)$
 $\langle proof \rangle$

lemmas $Pair_diff_replacement = lam_replacement_Diff'$ [unfolded lam_replacement_def]

lemma $diff_Pair_replacement: M(p) \implies strong_replacement(M, \lambda x y. y = \langle x, x - \{p\} \rangle)$
 $\langle proof \rangle$

lemma $swap_replacement: strong_replacement(M, \lambda x y. y = \langle x, (\lambda \langle x, y \rangle. \langle y, x \rangle)(x) \rangle)$
 $\langle proof \rangle$

lemma $lam_replacement_Un_const: M(b) \implies lam_replacement(M, \lambda x. x \cup b)$
 $\langle proof \rangle$

lemmas $tag_union_replacement = lam_replacement_Un_const$ [unfolded lam_replacement_def]

lemma $lam_replacement_csquare: lam_replacement(M, \lambda p. \langle fst(p) \cup snd(p), fst(p), snd(p) \rangle)$
 $\langle proof \rangle$

lemma $csquare_lam_replacement: strong_replacement(M, \lambda x y. y = \langle x, (\lambda \langle x, y \rangle. \langle x \cup y, x, y \rangle)(x) \rangle)$
 $\langle proof \rangle$

lemma $lam_replacement_assoc: lam_replacement(M, \lambda x. \langle fst(fst(x)), snd(fst(x)), snd(x) \rangle)$
 $\langle proof \rangle$

lemma $assoc_replacement: strong_replacement(M, \lambda x y. y = \langle x, (\lambda \langle \langle x, y \rangle, z \rangle. \langle x, y, z \rangle)(x) \rangle)$
 $\langle proof \rangle$

lemma *lam_replacement_prod_fun*: $M(f) \implies M(g) \implies \text{lam_replacement}(M, \lambda x. \langle f ` \text{fst}(x), g ` \text{snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *prod_fun_replacement*: $M(f) \implies M(g) \implies \text{strong_replacement}(M, \lambda x. y. y = \langle x, (\lambda \langle w, y \rangle. \langle f ` w, g ` y \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *separation_subset*:
assumes $\forall x[M]. M(f(x)) \text{ lam_replacement}(M, f)$
 $\forall x[M]. M(g(x)) \text{ lam_replacement}(M, g)$
shows $\text{separation}(M, \lambda x. f(x) \subseteq g(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_twist*: $\text{lam_replacement}(M, \lambda \langle \langle x, y \rangle, z. \langle x, y, z \rangle)$
 $\langle \text{proof} \rangle$

lemma *twist_closed[intro,simp]*: $M(x) \implies M((\lambda \langle \langle x, y \rangle, z. \langle x, y, z \rangle)(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage* :
shows $\text{lam_replacement}(M, \lambda x. \text{fst}(x) - `` \text{snd}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage_sing*: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) - `` \{ \text{snd}(p) \})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage_sing_fun*: $M(f) \implies \text{lam_replacement}(M, \lambda x. f - `` \{ x \})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_image_sing_fun*: $M(f) \implies \text{lam_replacement}(M, \lambda x. f - `` \{ x \})$
 $\langle \text{proof} \rangle$

lemma *converse_apply_projs*: $\forall x[M]. \bigcup (\text{fst}(x) - `` \{ \text{snd}(x) \}) = \text{converse}(\text{fst}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_converse_app*: $\text{lam_replacement}(M, \lambda p. \text{converse}(\text{fst}(p)) - `` \{ \text{snd}(p) \})$
 $\langle \text{proof} \rangle$

lemmas *cardinal_lib_assms4* = *lam_replacement_vimage_sing_fun*[unfolded *lam_replacement_def*]

lemma *lam_replacement_sing_const_id*:
 $M(x) \implies \text{lam_replacement}(M, \lambda y. \{ \langle x, y \rangle \})$
 $\langle \text{proof} \rangle$

```

lemma tag_singleton_closed:  $M(x) \implies M(z) \implies M(\{\{(z, y)\} . y \in x\})$ 
   $\langle proof \rangle$ 

lemma separation_ball:
  assumes separation( $M, \lambda y. f(fst(y), snd(y))$ )  $M(X)$ 
  shows separation( $M, \lambda y. \forall u \in X. f(y, u)$ )
   $\langle proof \rangle$ 

lemma separation_bex:
  assumes separation( $M, \lambda y. f(fst(y), snd(y))$ )  $M(X)$ 
  shows separation( $M, \lambda y. \exists u \in X. f(y, u)$ )
   $\langle proof \rangle$ 

lemma lam_replacement_Lambda:
  assumes lam_replacement( $M, \lambda y. b(fst(y), snd(y))$ )
     $\forall w[M]. \forall y[M]. M(b(w, y)) M(W)$ 
  shows lam_replacement( $M, \lambda x. \lambda w \in W. b(x, w)$ )
   $\langle proof \rangle$ 

lemma lam_replacement_apply_Pair:
  assumes  $M(y)$ 
  shows lam_replacement( $M, \lambda x. y \cdot \langle fst(x), snd(x) \rangle$ )
   $\langle proof \rangle$ 

lemma lam_replacement_apply_fst_snd:
  shows lam_replacement( $M, \lambda w. fst(w) \cdot fst(snd(w)) \cdot snd(snd(w))$ )
   $\langle proof \rangle$ 

lemma lam_replacement_RepFun_apply :
  assumes  $M(f)$ 
  shows lam_replacement( $M, \lambda x. \{f'y . y \in x\}$ )
   $\langle proof \rangle$ 

lemma separation_snd_in_fst: separation( $M, \lambda x. snd(x) \in fst(x)$ )
   $\langle proof \rangle$ 

lemma lam_replacement_if_mem:
  lam_replacement( $M, \lambda x. if\ snd(x) \in fst(x) then 1 else 0$ )
   $\langle proof \rangle$ 

lemma lam_replacement_Lambda_apply_fst_snd:
  assumes  $M(X)$ 
  shows lam_replacement( $M, \lambda x. \lambda w \in X. x \cdot fst(w) \cdot snd(w)$ )
   $\langle proof \rangle$ 

lemma lam_replacement_Lambda_apply_Pair:
  assumes  $M(X) M(y)$ 
  shows lam_replacement( $M, \lambda x. \lambda w \in X. y \cdot \langle x, w \rangle$ )
   $\langle proof \rangle$ 

```

$\langle proof \rangle$

lemma *lam_replacement_Lambda_if_mem*:
 assumes $M(X)$
 shows $lam_replacement(M, \lambda x. \lambda xa \in X. if\ xa \in x\ then\ 1\ else\ 0)$
 $\langle proof \rangle$

lemma *case_closed* :
 assumes $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$
 shows $\forall x[M]. M(case(f,g,x))$
 $\langle proof \rangle$

lemma *separation_fst_equal* : $M(a) \implies separation(M, \lambda x. fst(x)=a)$
 $\langle proof \rangle$

lemma *lam_replacement_case* :
 assumes $lam_replacement(M,f) \ lam_replacement(M,g)$
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$
 shows $lam_replacement(M, \lambda x . case(f,g,x))$
 $\langle proof \rangle$

lemma *Pi_replacement1*: $M(x) \implies M(y) \implies strong_replacement(M, \lambda ya z. ya \in y \wedge z = \{\langle x, ya \rangle\})$
 $\langle proof \rangle$

lemma *surj_imp_inj_replacement1*:
 $M(f) \implies M(x) \implies strong_replacement(M, \lambda y z. y \in f^{-1}\{x\} \wedge z = \{\langle x, y \rangle\})$
 $\langle proof \rangle$

lemmas *domain_replacement* = *lam_replacement_domain*[*unfolded lam_replacement_def*]

lemma *domain_replacement_simp*: $strong_replacement(M, \lambda x y. y = domain(x))$
 $\langle proof \rangle$

lemma *un_Pair_replacement*: $M(p) \implies strong_replacement(M, \lambda x y . y = x \cup \{p\})$
 $\langle proof \rangle$

lemma *diff_replacement*: $M(X) \implies strong_replacement(M, \lambda x y. y = x - X)$
 $\langle proof \rangle$

lemma *lam_replacement_succ*:
 $lam_replacement(M, \lambda z . succ(z))$
 $\langle proof \rangle$

lemma *lam_replacement_hcomp_Least*:
 assumes $lam_replacement(M, g) \ lam_replacement(M, \lambda x. \mu i. x \in F(i, x))$
 $\forall x[M]. M(g(x)) \wedge x i. M(x) \implies i \in F(i, x) \implies M(i)$
 shows $lam_replacement(M, \lambda x. \mu i. g(x) \in F(i, g(x)))$
 $\langle proof \rangle$

```

lemma domain_mem_separation:  $M(A) \implies separation(M, \lambda x . domain(x) \in A)$ 
   $\langle proof \rangle$ 

lemma domain_eq_separation:  $M(p) \implies separation(M, \lambda x . domain(x) = p)$ 
   $\langle proof \rangle$ 

lemma lam_replacement_Int:
  shows lam_replacement( $M, \lambda x . fst(x) \cap snd(x)$ )
   $\langle proof \rangle$ 

lemma restrict_eq_separation':  $M(B) \implies \forall A[M]. separation(M, \lambda y . \exists x[M]. x \in A \wedge y = \langle x, restrict(x, B) \rangle)$ 
   $\langle proof \rangle$ 

lemmas lam_replacement_restrict' = lam_replacement_restrict[OF restrict_eq_separation']

lemma restrict_strong_replacement:  $M(A) \implies strong\_replacement(M, \lambda x y . y = restrict(x, A))$ 
   $\langle proof \rangle$ 

lemma restrict_eq_separation:  $M(r) \implies M(p) \implies separation(M, \lambda x . restrict(x, r) = p)$ 
   $\langle proof \rangle$ 

lemma separation_equal_fst2 :  $M(a) \implies separation(M, \lambda x . fst(fst(x)) = a)$ 
   $\langle proof \rangle$ 

lemma separation_equal_apply:  $M(f) \implies M(a) \implies separation(M, \lambda x . f'x = a)$ 
   $\langle proof \rangle$ 

lemma lam_apply_replacement:  $M(A) \implies M(f) \implies lam\_replacement(M, \lambda x . \lambda n \in A . f' \langle x, n \rangle)$ 
   $\langle proof \rangle$ 

lemma lam_replacement_comp :
   $lam\_replacement(M, \lambda x . fst(x) O snd(x))$ 
   $\langle proof \rangle$ 

lemma lam_replacement_comp':
   $M(f) \implies M(g) \implies lam\_replacement(M, \lambda x . f O x O g)$ 
   $\langle proof \rangle$ 

lemma RepFun_SigFun_closed:  $M(x) \implies M(z) \implies M(\{\{ \langle z, u \rangle \} . u \in x\})$ 
   $\langle proof \rangle$ 

lemma separation_orthogonal:  $M(x) \implies M(Q) \implies separation(M, \lambda a . \forall s \in x . \langle s, a \rangle \in Q)$ 
   $\langle proof \rangle$ 

lemma separation_Transset:  $separation(M, Transset)$ 
   $\langle proof \rangle$ 

```

```

lemma separation_Ord: separation(M, Ord)
  ⟨proof⟩

lemma ord_iso_separation: M(A) ⇒ M(r) ⇒ M(s) ⇒
  separation(M, λf. ∀x ∈ A. ∀y ∈ A. ⟨x, y⟩ ∈ r ↔ ⟨f ` x, f ` y⟩ ∈ s)
  ⟨proof⟩

lemma separation_dist: separation(M, λx . ∃a. ∃b . x = ⟨a, b⟩ ∧ a ≠ b)
  ⟨proof⟩

lemma separation_imp_lam_closed:
  assumes ∀x ∈ A. F(x) ∈ B separation(M, λ⟨x, y⟩. F(x) = y)
  M(A) M(B)
  shows M(λx ∈ A. F(x))
  ⟨proof⟩

lemma curry_closed :
  assumes M(f) M(A) M(B)
  shows M(curry(A, B, f))
  ⟨proof⟩

end — M_replacement

definition
  RepFun_cons :: i ⇒ i ⇒ i where
  RepFun_cons(x, y) ≡ RepFun(x, λz. {⟨y, z⟩})

context M_replacement
begin

lemma lam_replacement_RepFun_cons'':
  shows lam_replacement(M, λx . RepFun_cons(fst(x), snd(x)))
  ⟨proof⟩

lemma RepFun_cons_replacement: lam_replacement(M, λp. RepFun(fst(p), λx.
  {⟨snd(p), x⟩}))
  ⟨proof⟩

lemma lam_replacement_Sigfun:
  assumes lam_replacement(M, f) ∀y[M]. M(f(y))
  shows lam_replacement(M, λx. Sigfun(x, f))
  ⟨proof⟩

lemma phrank_repl:
  assumes
    M(f)
  shows

```

strong_replacement(M , $\lambda x y. y = \text{succ}(f'x)$)
⟨proof⟩

lemma *lam_replacement_Powapply_rel*:
assumes $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Pow_rel}(M, x) \rangle)$
 $M(f)$
shows
lam_replacement(M , *Powapply_rel*(M, f))
⟨proof⟩

lemmas *Powapply_rel_replacement* = *lam_replacement_Powapply_rel*[THEN
lam_replacement_imp_strong_replacement]

lemma *surj_imp_inj_replacement2*:
 $M(f) \implies \text{strong_replacement}(M, \lambda x z. z = \text{Sigfun}(x, \lambda y. f^{-\langle y \rangle}))$
⟨proof⟩

lemma *lam_replacement_Pi*: $M(y) \implies \text{lam_replacement}(M, \lambda x. \bigcup_{xa \in y} \{\langle x, xa \rangle\})$
⟨proof⟩

lemma *Pi_replacement2*: $M(y) \implies \text{strong_replacement}(M, \lambda x z. z = (\bigcup_{xa \in y} \{\langle x, xa \rangle\}))$
⟨proof⟩

lemma *if_then_Inj_replacement*:
shows $M(A) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in A \text{ then } \text{Inl}(x) \text{ else } \text{Inr}(x) \rangle)$
⟨proof⟩

lemma *lam_if_then_replacement*:
 $M(b) \implies$
 $M(a) \implies M(f) \implies \text{strong_replacement}(M, \lambda y ya. ya = \langle y, \text{if } y = a \text{ then } b \text{ else } f' y \rangle)$
⟨proof⟩

lemma *if_then_replacement*:
 $M(A) \implies M(f) \implies M(g) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in A \text{ then } f' x \text{ else } g' x \rangle)$
⟨proof⟩

lemma *ifx_replacement*:
 $M(f) \implies$
 $M(b) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in \text{range}(f) \text{ then } \text{converse}(f)' x \text{ else } b \rangle)$
⟨proof⟩

lemma *if_then_range_replacement2*:
 $M(A) \implies M(C) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x = \text{Inl}(A) \text{ then } C$

```

else x)
⟨proof⟩

lemma if_then_range_replacement:
  M(u) ==>
  M(f) ==>
  strong_replacement
  (M,
   λz y. y = ⟨z, if z = u then f ` 0 else if z ∈ range(f) then f ` succ(converse(f)
   ` z) else z⟩)
  ⟨proof⟩

lemma Inl_replacement2:
  M(A) ==>
  strong_replacement(M, λx y. y = ⟨x, if fst(x) = A then Inl(snd(x)) else Inr(x)⟩)
  ⟨proof⟩

lemma case_replacement1:
  strong_replacement(M, λz y. y = ⟨z, case(Inr, Inl, z)⟩)
  ⟨proof⟩

lemma case_replacement2:
  strong_replacement(M, λz y. y = ⟨z, case(case(Inl, λy. Inr(Inl(y))), λy. Inr(Inr(y)),
  z)⟩)
  ⟨proof⟩

lemma case_replacement4:
  M(f) ==> M(g) ==> strong_replacement(M, λz y. y = ⟨z, case(λw. Inl(f ` w),
  λy. Inr(g ` y), z)⟩)
  ⟨proof⟩

lemma case_replacement5:
  strong_replacement(M, λx y. y = ⟨x, (λ⟨x,z⟩. case(λy. Inl(⟨y, z⟩), λy. Inr(⟨y,
  z⟩), x))(x)⟩)
  ⟨proof⟩

end — M_replacement

locale M_Pi_replacement = M_Pi + M_replacement

begin

lemma curry_rel_exp :
  assumes M(f) M(A) M(B) M(C) f ∈ A × B → C
  shows curry(A,B,f) ∈ A →M (B →M C)
  ⟨proof⟩

end — M_Pi_replacement

```

— To be used in the relativized treatment of Cohen posets

definition

— "domain collect F"
 $dC_F :: i \Rightarrow i \Rightarrow i \text{ where}$
 $dC_F(A,d) \equiv \{p \in A. \text{ domain}(p) = d\}$

definition

— "domain restrict SepReplace Y"
 $drSR_Y :: i \Rightarrow i \Rightarrow i \Rightarrow i \text{ where}$
 $drSR_Y(B,D,A,x) \equiv \{y . r \in A, \text{restrict}(r,B) = x \wedge y = \text{domain}(r) \wedge \text{domain}(r) \in D\}$

lemma $drSR_Y_equality$: $drSR_Y(B,D,A,x) = \{ dr \in D . (\exists r \in A . \text{restrict}(r,B) = x \wedge dr = \text{domain}(r))\}$
(proof)

context $M_replacement$

begin

lemma $separation_restrict_eq_dom_eq$: $\forall x[M]. separation(M, \lambda dr. \exists r \in A. \text{restrict}(r,B) = x \wedge dr = \text{domain}(r))$
if $M(A)$ **and** $M(B)$ **for** $A B$
(proof)

lemma $separation_is_insnd_restrict_eq_dom$: $separation(M, \lambda p. (\exists r \in A. \text{restrict}(r,B) = fst(p) \wedge snd(p) = \text{domain}(r)))$
if $M(B)$ $M(D)$ $M(A)$ **for** $A B D$
(proof)

lemma $lam_replacement_drSR_Y$:
assumes $M(B)$ $M(D)$ $M(A)$
shows $lam_replacement(M, drSR_Y(B,D,A))$
(proof)

lemma $drSR_Y_closed$:
assumes $M(B)$ $M(D)$ $M(A)$ $M(f)$
shows $M(drSR_Y(B,D,A,f))$
(proof)

lemma $lam_if_then_apply_replacement$: $M(f) \implies M(v) \implies M(u) \implies lam_replacement(M, \lambda x. if f ` x = v then f ` u else f ` x)$
(proof)

lemma $lam_if_then_apply_replacement2$: $M(f) \implies M(m) \implies M(y) \implies lam_replacement(M, \lambda z. if f ` z = m then y else f ` z)$
(proof)

lemma $lam_if_then_replacement2$: $M(A) \implies M(f) \implies lam_replacement(M, \lambda x. if x \in A then f ` x else x)$

$\langle proof \rangle$

lemma lam_if_then_replacement_apply: $M(G) \implies \text{lam_replacement}(M, \lambda x. \text{if } M(x) \text{ then } G \cdot x \text{ else } 0)$
 $\langle proof \rangle$

lemma lam_replacement_dC_F:
 assumes $M(A)$
 shows $\text{lam_replacement}(M, dC_F(A))$
 $\langle proof \rangle$

lemma dCF_closed:
 assumes $M(A) M(f)$
 shows $M(dC_F(A,f))$
 $\langle proof \rangle$

lemma lam_replacement_Collect_ball_Pair:
 assumes $M(G) M(Q)$
 shows $\text{lam_replacement}(M, \lambda x . \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\})$
 $\langle proof \rangle$

lemma surj_imp_inj_replacement3:
 assumes $M(G) M(Q) M(x)$
 shows $\text{strong_replacement}(M, \lambda y z. y \in \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\} \wedge z = \{\langle x, y \rangle\})$
 $\langle proof \rangle$

lemmas replacements = Pair_diff_replacement id_replacement tag_replacement
pospend_replacement prepend_replacement
Inl_replacement1 diff_Pair_replacement
swap_replacement tag_union_replacement csquare_lam_replacement
assoc_replacement prod_fun_replacement
cardinal_lib_assms4 domain_replacement
apply_replacement
un_Pair_replacement restrict_strong_replacement diff_replacement
if_then_Inj_replacement lam_if_then_replacement if_then_replacement
ifx_replacement if_then_range_replacement2 if_then_range_replacement
Inl_replacement2
case_replacement1 case_replacement2 case_replacement4 case_replacement5

lemma zermelo_separation: $M(Q) \implies M(f) \implies \text{separation}(M, \lambda X. Q \cup f `` X \subseteq X)$
 $\langle proof \rangle$

end — $M_replacement$

18.2 Some basic replacement lemmas

lemma (in M_trans) strong_replacement_conj:

```

assumes  $\bigwedge A. M(A) \implies \text{univalent}(M, A, P)$   $\text{strong\_replacement}(M, P)$ 
     $\text{separation}(M, \lambda x. \exists b[M]. Q(x, b) \wedge P(x, b))$ 
shows  $\text{strong\_replacement}(M, \lambda x z. Q(x, z) \wedge P(x, z))$ 
⟨proof⟩

lemma  $\text{strong\_replacement\_iff\_bounded\_M}:$ 
     $\text{strong\_replacement}(M, P) \longleftrightarrow \text{strong\_replacement}(M, \lambda x z. M(z) \wedge M(x) \wedge P(x, z))$ 
⟨proof⟩

end

```

19 Basic relativization of cardinality

```

theory Discipline_Cardinal
imports
    Discipline_Function
begin

⟨ML⟩

notation  $\text{is\_cardinal\_fm} (\langle \text{cardinal}'(\_) \rangle \text{ is } \_)$ 

abbreviation
 $\text{cardinal\_r} :: [i, i \Rightarrow o] \Rightarrow i (\langle | \_ | \rightarrow \rangle)$  where
 $|x|^M \equiv \text{cardinal\_rel}(M, x)$ 

abbreviation
 $\text{cardinal\_r\_set} :: [i, i \Rightarrow i] (\langle | \_ | \rightarrow \rangle)$  where
 $|x|^M \equiv \text{cardinal\_rel}(\#\# M, x)$ 

context  $M_{\text{trivial}}$ 
begin
⟨ML⟩
⟨proof⟩
end —  $M_{\text{trivial}}$ 

⟨ML⟩
⟨proof⟩

⟨ML⟩

lemma  $\text{arity\_is\_surj\_fm} [\text{arity}] :$ 
 $A \in \text{nat} \implies B \in \text{nat} \implies I \in \text{nat} \implies \text{arity}(\text{is\_surj\_fm}(A, B, I)) = \text{succ}(A) \cup$ 
 $\text{succ}(B) \cup \text{succ}(I)$ 
⟨proof⟩

⟨ML⟩

```

lemma *arity_is_inj_fm* [*arity*]:
 $A \in \text{nat} \implies B \in \text{nat} \implies I \in \text{nat} \implies \text{arity}(\text{is_inj_fm}(A, B, I)) = \text{succ}(A) \cup \text{succ}(B) \cup \text{succ}(I)$
⟨proof⟩

⟨ML⟩

context *M_Perm*
begin
⟨ML⟩
⟨proof⟩
end — *M_Perm*

⟨ML⟩
notation *lt_rel_fm* ($\cdot < \cdot$)
⟨ML⟩

lemma *arity_lt_rel_fm*[*arity*]: $a \in \text{nat} \implies b \in \text{nat} \implies \text{arity}(\text{lt_rel_fm}(a, b)) = \text{succ}(a) \cup \text{succ}(b)$
⟨proof⟩

⟨ML⟩
notation *is_Card_fm* ($\cdot \text{Card}'(\cdot)$)
⟨ML⟩

notation *Card_rel* ($\text{Card}'(\cdot)$)

lemma (in *M_Perm*) *is_Card_iff*: $M(A) \implies \text{is_Card}(M, A) \longleftrightarrow \text{Card}^M(A)$
⟨proof⟩

abbreviation
Card_r_set :: $[i,i] \Rightarrow o$ ($\text{Card}'(\cdot)$) **where**
 $\text{Card}^M(i) \equiv \text{Card_rel}(\#M, i)$

⟨ML⟩
notation *is_InfCard_fm* ($\cdot \text{InfCard}'(\cdot)$)
⟨ML⟩

notation *InfCard_rel* ($\text{InfCard}'(\cdot)$)

abbreviation
InfCard_r_set :: $[i,i] \Rightarrow o$ ($\text{InfCard}'(\cdot)$) **where**
 $\text{InfCard}^M(i) \equiv \text{InfCard_rel}(\#M, i)$

19.1 Disicpline for (\oplus)

⟨ML⟩

abbreviation

```
cadd_r :: [i,i⇒o,i] ⇒ i (⟨_ ⊕_ _⟩ [66,1,66] 65) where
A ⊕M B ≡ cadd_rel(M,A,B)
```

```
context M_basic
begin
⟨ML⟩
⟨proof⟩
end — M_basic
```

```
⟨ML⟩
⟨proof⟩
⟨ML⟩
```

```
context M_Perm
begin
⟨ML⟩
⟨proof⟩
```

```
end — M_Perm
```

19.2 Discipline for (\otimes)

```
⟨ML⟩
```

abbreviation

```
cmult_r :: [i,i⇒o,i] ⇒ i (⟨_ ⊗_ _⟩ [66,1,66] 65) where
A ⊗M B ≡ cmult_rel(M,A,B)
```

```
⟨ML⟩
```

```
declare cartprod_iff_sats [iff_sats]
```

```
⟨ML⟩
```

```
context M_Perm
```

```
begin
```

```
⟨ML⟩
⟨proof⟩
```

```
⟨ML⟩
⟨proof⟩
```

```
end — M_Perm
```

```
end
```

20 Relativization of the cumulative hierarchy

```
theory Univ_Relative
```

```

imports
  ZF-Constructible.Rank
  ZF.Univ
  Discipline_Cardinal

begin

declare arity_ordinal_fm[arity]

context M_trivial
begin
declare powerset_abs[simp]

lemma family_union_closed:  $\llbracket \text{strong\_replacement}(M, \lambda x y. y = f(x)); M(A); \forall x \in A. M(f(x)) \rrbracket$ 
 $\implies M(\bigcup x \in A. f(x))$ 
 $\langle proof \rangle$ 

lemma family_union_closed':  $\llbracket \text{strong\_replacement}(M, \lambda x y. x \in A \wedge y = f(x)); M(A); \forall x \in A. M(f(x)) \rrbracket$ 
 $\implies M(\bigcup x \in A. f(x))$ 
 $\langle proof \rangle$ 

end — M_trivial

definition
  HVfrom ::  $[i, i, i] \Rightarrow i$  where
  HVfrom( $A, x, f$ )  $\equiv A \cup (\bigcup y \in x. \text{Powapply}(f, y))$ 

 $\langle ML \rangle$ 

lemma arity_is_HVfrom_fm:
   $A \in \text{nat} \implies$ 
   $x \in \text{nat} \implies$ 
   $f \in \text{nat} \implies$ 
   $d \in \text{nat} \implies$ 
   $\text{arity}(\text{is\_HVfrom\_fm}(A, x, f, d)) = \text{succ}(A) \cup \text{succ}(d) \cup (\text{succ}(x) \cup \text{succ}(f))$ 
 $\langle proof \rangle$ 

notation HVfrom_rel ( $\langle HVfrom -' (\_, \_, \_) \rangle$ )

locale M_HVfrom = M_eclose +
assumes
  Powapply_replacement:
   $M(f) \implies \text{strong\_replacement}(M, \lambda y z. z = \text{Powapply}^M(f, y))$ 
begin

 $\langle ML \rangle$ 
 $\langle proof \rangle$ 

```

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_HVfrom

definition

$Vfrom_rel :: [i \Rightarrow o, i, i] \Rightarrow i (\langle Vfrom-'(., .) \rangle)$ **where**
 $Vfrom^M(A, i) = transrec(i, HVfrom_rel(M, A))$

definition

$is_Vfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_Vfrom(M, A, i, z) \equiv is_transrec(M, is_HVfrom(M, A), i, z)$

definition

$Hrank :: [i, i] \Rightarrow i$ **where**
 $Hrank(x, f) \equiv (\bigcup_{y \in x} succ(f'y))$

definition

$rrank :: i \Rightarrow i$ **where**
 $rrank(a) \equiv Memrel(eclose(\{a\}))^+$

$\langle ML \rangle$

lemma $arity_is_Hrank_fm : x \in nat \implies$
 $f \in nat \implies$
 $d \in nat \implies$
 $arity(is_Hrank_fm(x, f, d)) =$
 $succ(d) \cup succ(x) \cup succ(f)$
 $\langle proof \rangle$

locale $M_Vfrom = M_HVfrom +$
assumes
 $trepel_HVfrom : \llbracket M(A); M(i) \rrbracket \implies transrec_replacement(M, is_HVfrom(M, A), i)$
and
 $Hrank_replacement : M(f) \implies strong_replacement(M, \lambda x y . y = succ(f'x))$
and
 $is_Hrank_replacement : M(x) \implies wfrec_replacement(M, is_Hrank(M), rrank(x))$
and
 $HVfrom_replacement : \llbracket M(i) ; M(A) \rrbracket \implies$
 $transrec_replacement(M, is_HVfrom(M, A), i)$

begin

lemma $Vfrom_rel_iff :$
assumes $M(A) M(i) M(z) Ord(i)$
shows $is_Vfrom(M, A, i, z) \longleftrightarrow z = Vfrom^M(A, i)$
 $\langle proof \rangle$

```

lemma relation2_HVfrom:  $M(A) \implies \text{relation2}(M, \text{is\_HVfrom}(M, A), \text{HVfrom\_rel}(M, A))$ 
  ⟨proof⟩

lemma HVfrom_closed :
   $M(A) \implies \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{HVfrom\_rel}(M, A, x, g))$ 
  ⟨proof⟩

lemma Vfrom_rel_closed:
  assumes  $M(A)$   $M(i)$   $\text{Ord}(i)$ 
  shows  $M(\text{transrec}(i, \text{HVfrom\_rel}(M, A)))$ 
  ⟨proof⟩

lemma transrec_HVfrom:
  assumes  $M(A)$ 
  shows  $\text{Ord}(i) \implies M(i) \implies \{x \in \text{Vfrom}(A, i). M(x)\} = \text{transrec}(i, \text{HVfrom\_rel}(M, A))$ 
  ⟨proof⟩

lemma Vfrom_abs:  $\llbracket M(A); M(i); M(V); \text{Ord}(i) \rrbracket \implies \text{is\_Vfrom}(M, A, i, V) \longleftrightarrow$ 
   $V = \{x \in \text{Vfrom}(A, i). M(x)\}$ 
  ⟨proof⟩

lemma Vfrom_closed:  $\llbracket M(A); M(i); \text{Ord}(i) \rrbracket \implies M(\{x \in \text{Vfrom}(A, i). M(x)\})$ 
  ⟨proof⟩

end —  $M\_\text{Vfrom}$ 

```

20.1 Formula synthesis

```

context  $M\_\text{Vfrom}$ 
begin

```

```

⟨ML⟩
⟨proof⟩

```

```

⟨ML⟩
⟨proof⟩

```

```

lemma relation2_Hrank :
   $\text{relation2}(M, \text{is\_Hrank}(M), \text{Hrank})$ 
  ⟨proof⟩

```

```

lemma Hrank_closed :
   $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{Hrank}(x, g))$ 
  ⟨proof⟩

```

```

end —  $M\_\text{basic}$ 

```

```

context  $M\_\text{eclose}$ 
begin

```

```

lemma wf_rrank :  $M(x) \implies wf(rrank(x))$ 
   $\langle proof \rangle$ 

lemma trans_rrank :  $M(x) \implies trans(rrank(x))$ 
   $\langle proof \rangle$ 

lemma relation_rrank :  $M(x) \implies relation(rrank(x))$ 
   $\langle proof \rangle$ 

lemma rrank_in_M :  $M(x) \implies M(rrank(x))$ 
   $\langle proof \rangle$ 

end —  $M\_eclose$ 

lemma Hrank_tranci :  $Hrank(y, restrict(f, Memrel(eclose(\{x\})) - ``\{y\}))$ 
   $= Hrank(y, restrict(f, (Memrel(eclose(\{x\})) \wedge) - ``\{y\}))$ 
   $\langle proof \rangle$ 

lemma rank_tranci :  $rank(x) = wfrec(rrank(x), x, Hrank)$ 
   $\langle proof \rangle$ 

definition
   $Vset' :: [i] \Rightarrow i$  where
     $Vset'(A) \equiv Vfrom(0, A)$ 

   $\langle ML \rangle$ 

schematic_goal sats_is_Vset_fm_auto:
  assumes
     $i \in nat$   $v \in nat$   $env \in list(A)$   $0 \in A$ 
     $i < length(env)$   $v < length(env)$ 
  shows
     $is\_Vset(\#\#A, nth(i, env), nth(v, env)) \longleftrightarrow sats(A, ?ivs\_fm(i, v), env)$ 
   $\langle proof \rangle$ 

   $\langle ML \rangle$ 
  context  $M\_Vfrom$ 
  begin

lemma Vset_abs :  $\llbracket M(i); M(V); Ord(i) \rrbracket \implies is\_Vset(M, i, V) \longleftrightarrow V = \{x \in Vset(i). M(x)\}$ 
   $\langle proof \rangle$ 

lemma Vset_closed :  $\llbracket M(i); Ord(i) \rrbracket \implies M(\{x \in Vset(i). M(x)\})$ 
   $\langle proof \rangle$ 

lemma rank_closed :  $M(a) \implies M(rank(a))$ 
   $\langle proof \rangle$ 

```

```

lemma M_into_Vset:
  assumes M(a)
  shows ∃ i[M]. ∃ V[M]. ordinal(M,i) ∧ is_Vset(M,i,V) ∧ a ∈ V
  ⟨proof⟩

end — M_HVfrom

```

```
end
```

21 Relative, Choice-less Cardinal Numbers

```

theory Cardinal_Relative
imports
  Lambda_Replacement
  Univ_Relative
begin

```

The following command avoids that a commonly used one-letter variable be captured by the definition of the constructible universe L .

```
hide_const (open) L
```

We also return to the old notation for (+) to preserve the old Constructibility code.

```

no_notation oadd (infixl `+` 65)
notation sum (infixr `+` 65)

```

```
definition
```

```

Finite_rel :: [i ⇒ o, i] => o where
Finite_rel(M, A) ≡ ∃ om[M]. ∃ n[M]. omega(M, om) ∧ n ∈ om ∧ eqpoll_rel(M, A, n)

```

```
definition
```

```

banach_functor :: [i, i, i, i, i] ⇒ i where
banach_functor(X, Y, f, g, W) ≡ X - g `` (Y - f `` W)

```

```

lemma banach_functor_subset: banach_functor(X, Y, f, g, W) ⊆ X
  ⟨proof⟩

```

```
definition
```

```

is_banach_functor :: [i ⇒ o, i, i, i, i, i] ⇒ o where
is_banach_functor(M, X, Y, f, g, W, b) ≡
  ∃ fW[M]. ∃ YfW[M]. ∃ gYfW[M]. image(M, f, W, fW) ∧ setdiff(M, Y, fW, YfW)
  ∧
    image(M, g, YfW, gYfW) ∧ setdiff(M, X, gYfW, b)

```

```
lemma (in M_basic) banach_functor_abs :
```

```

assumes M(X) M(Y) M(f) M(g)
shows relation1(M, is_banach_functor(M, X, Y, f, g), banach_functor(X, Y, f, g))
  ⟨proof⟩

```

```

lemma (in M_basic) banach_functor_closed:
  assumes M(X) M(Y) M(f) M(g)
  shows  $\forall W[M]. M(\text{banach\_functor}(X, Y, f, g, W))$ 
   $\langle \text{proof} \rangle$ 

context M_trancl
begin

lemma iterates_banach_functor_closed:
  assumes  $n \in \omega$  M(X) M(Y) M(f) M(g)
  shows  $M(\text{banach\_functor}(X, Y, f, g))^{\hat{n}}(0))$ 
   $\langle \text{proof} \rangle$ 

lemma banach_repl_iter':
  assumes
     $\bigwedge A. M(A) \implies \text{separation}(M, \lambda b. \exists x \in A. x \in \omega \wedge b = \text{banach\_functor}(X, Y, f, g)^{\hat{x}}(0))$ 
    M(X) M(Y) M(f) M(g)
  shows
     $\text{strong\_replacement}(M, \lambda x y. x \in \text{nat} \wedge y = \text{banach\_functor}(X, Y, f, g)^{\hat{x}}(0))$ 
   $\langle \text{proof} \rangle$ 

end — M_trancl

context M_Perm
begin

lemma mem_Pow_rel:  $M(r) \implies a \in \text{Pow\_rel}(M, r) \implies a \in \text{Pow}(r) \wedge M(a)$ 
   $\langle \text{proof} \rangle$ 

lemma mem_bij_abs:  $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{bij}^M(A, B) \longleftrightarrow f \in \text{bij}(A, B)$ 
   $\langle \text{proof} \rangle$ 

lemma mem_inj_abs:  $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A, B) \longleftrightarrow f \in \text{inj}(A, B)$ 
   $\langle \text{proof} \rangle$ 

lemma mem_surj_abs:  $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{surj}^M(A, B) \longleftrightarrow f \in \text{surj}(A, B)$ 
   $\langle \text{proof} \rangle$ 

end — M_Perm

locale M_cardinals = M_ordertype + M_trancl + M_Perm + M_replacement
+
assumes
  radd_separation:  $M(R) \implies M(S) \implies$ 
   $\text{separation}(M, \lambda z.$ 
   $(\exists x y. z = \langle \text{Inl}(x), \text{Inr}(y) \rangle) \vee$ 
   $(\exists x' x. z = \langle \text{Inl}(x'), \text{Inl}(x) \rangle \wedge \langle x', x \rangle \in R) \vee$ 

```

$(\exists y' y. z = \langle Inr(y'), Inr(y) \rangle \wedge \langle y', y \rangle \in S)$
and
 $rmult_separation: M(b) \implies M(d) \implies separation(M, \lambda z. \exists x' y' x y. z = \langle \langle x', y' \rangle, x, y \rangle \wedge (\langle x', x \rangle \in b \vee x' = x \wedge \langle y', y \rangle \in d))$

begin

lemma $rvimage_separation: M(f) \implies M(r) \implies separation(M, \lambda z. \exists x y. z = \langle x, y \rangle \wedge \langle f^{\cdot} x, f^{\cdot} y \rangle \in r)$
 $\langle proof \rangle$

lemma $radd_closed[intro,simp]: M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(radd(a,b,c,d))$
 $\langle proof \rangle$

lemma $rmult_closed[intro,simp]: M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(rmult(a,b,c,d))$
 $\langle proof \rangle$

end — $M_cardinals$

lemma (in $M_cardinals$) $is_cardinal_iff_Least:$
assumes $M(A) M(\kappa)$
shows $is_cardinal(M, A, \kappa) \longleftrightarrow \kappa = (\mu i. M(i) \wedge i \approx^M A)$
 $\langle proof \rangle$

21.1 The Schroeder-Bernstein Theorem

See Davey and Priestly, page 106

context $M_cardinals$
begin

21.2 Banach's Decomposition Theorem

lemma $bnd_mono_banach_functor: bnd_mono(X, banach_functor(X, Y, f, g))$
 $\langle proof \rangle$

lemma $inj_Inter:$
assumes $g \in inj(Y, X) A \neq \emptyset \forall a \in A. a \subseteq Y$
shows $g^{“}(\bigcap A) = (\bigcap a \in A. g^{“}a)$
 $\langle proof \rangle$

lemma $contin_banach_functor:$
assumes $g \in inj(Y, X)$
shows $contin(banach_functor(X, Y, f, g))$
 $\langle proof \rangle$

lemma $lfp_banach_functor:$
assumes $g \in inj(Y, X)$
shows $lfp(X, banach_functor(X, Y, f, g)) =$
 $(\bigcup_{n \in nat.} banach_functor(X, Y, f, g)^{\wedge n} (0))$

$\langle proof \rangle$

lemma *lfp_banach_functor_closed*:
assumes $M(g) M(X) M(Y) M(f) g \in inj(Y, X)$
and $banach_repl_iter: M(X) \implies M(Y) \implies M(f) \implies M(g) \implies$
 $strong_replacement(M, \lambda x y. x \in nat \wedge y = banach_functor(X, Y, f,$
 $g) \hat{x} (0))$
shows $M(lfp(X, banach_functor(X, Y, f, g)))$
 $\langle proof \rangle$

lemma *banach_decomposition_rel*:
assumes
 $banach_repl_iter: M(f) \implies M(g) \implies M(X) \implies M(Y) \implies$
 $strong_replacement(M, \lambda x y. x \in nat \wedge y = banach_functor(X, Y, f,$
 $g) \hat{x} (0))$
shows
 $[\mid M(f); M(g); M(X); M(Y); f \in X \rightarrow Y; g \in inj(Y, X) \mid] \implies$
 $\exists XA[M]. \exists XB[M]. \exists YA[M]. \exists YB[M].$
 $(XA \cap XB = 0) \wedge (XA \cup XB = X) \wedge$
 $(YA \cap YB = 0) \wedge (YA \cup YB = Y) \wedge$
 $f `` XA = YA \wedge g `` YB = XB$
 $\langle proof \rangle$

lemma *schroeder_bernstein_closed*:
assumes
 $banach_repl_iter: M(X) \implies M(Y) \implies M(f) \implies M(g) \implies$
 $strong_replacement(M, \lambda x y. x \in nat \wedge y = banach_functor(X, Y, f,$
 $g) \hat{x} (0))$
shows
 $[\mid M(f); M(g); M(X); M(Y); f \in inj(X, Y); g \in inj(Y, X) \mid] \implies \exists h[M]. h \in$
 $bij(X, Y)$
 $\langle proof \rangle$

The previous lemmas finish our original, direct relativization of the material involving the iterative proof (as appearing in *ZF.Cardinal*) of the Schröder-Bernstein theorem. Next, we formalize Zermelo's proof that replaces the recursive construction by a fixed point represented as an intersection [2, Exr. x4.27]. This allows to avoid at least one replacement assumption.

lemma *dedekind_zermelo*:
assumes
 $A' \subseteq B B \subseteq A A \approx^M A'$
and types: $M(A') M(B) M(A)$
shows
 $A \approx^M B$
 $\langle proof \rangle$

lemma *schroeder_bernstein_closed'*:
assumes $f \in inj^M(A, C) g \in inj^M(C, A)$
and types: $M(A) M(C)$

shows $A \approx^M C$
 $\langle proof \rangle$

Relative equipollence is an equivalence relation

declare mem_bij_abs[simp] mem_inj_abs[simp]

lemma bij_imp_eqpoll_rel:
assumes $f \in bij(A, B)$ $M(f)$ $M(A)$ $M(B)$
shows $A \approx^M B$
 $\langle proof \rangle$

lemma eqpoll_rel_refl: $M(A) \implies A \approx^M A$
 $\langle proof \rangle$

lemma eqpoll_rel_sym: $X \approx^M Y \implies M(X) \implies M(Y) \implies Y \approx^M X$
 $\langle proof \rangle$

lemma eqpoll_rel_trans [trans]:
 $[| X \approx^M Y; Y \approx^M Z; M(X); M(Y); M(Z) |] ==> X \approx^M Z$
 $\langle proof \rangle$

Relative le-pollence is a preorder

lemma subset_imp_lepoll_rel: $X \subseteq Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$
 $\langle proof \rangle$

lemmas lepoll_rel_refl = subset_refl [THEN subset_imp_lepoll_rel, simp]

lemmas le_imp_lepoll_rel = le_imp_subset [THEN subset_imp_lepoll_rel]

lemma eqpoll_rel_imp_lepoll_rel: $X \approx^M Y ==> M(X) \implies M(Y) \implies X \lesssim^M Y$
 $\langle proof \rangle$

lemma lepoll_rel_trans [trans]:
assumes
 $X \lesssim^M Y$ $Y \lesssim^M Z$ $M(X)$ $M(Y)$ $M(Z)$
shows
 $X \lesssim^M Z$
 $\langle proof \rangle$

lemma eq_lepoll_rel_trans [trans]:
assumes
 $X \approx^M Y$ $Y \lesssim^M Z$ $M(X)$ $M(Y)$ $M(Z)$
shows
 $X \lesssim^M Z$
 $\langle proof \rangle$

lemma lepoll_rel_eq_trans [trans]:
assumes $X \lesssim^M Y$ $Y \approx^M Z$ $M(X)$ $M(Y)$ $M(Z)$

shows $X \lesssim^M Z$
 $\langle proof \rangle$

lemma *eqpoll_relI*: $\llbracket X \lesssim^M Y; Y \lesssim^M X; M(X) ; M(Y) \rrbracket \implies X \approx^M Y$
 $\langle proof \rangle$

lemma *eqpoll_relE*:
 $\llbracket X \approx^M Y; \llbracket X \lesssim^M Y; Y \lesssim^M X \rrbracket \implies P; M(X) ; M(Y) \rrbracket \implies P$
 $\langle proof \rangle$

lemma *eqpoll_rel_iff*: $M(X) \implies M(Y) \implies X \approx^M Y \longleftrightarrow X \lesssim^M Y \& Y \lesssim^M X$
 $\langle proof \rangle$

lemma *lepoll_rel_0_is_0*: $A \lesssim^M 0 \implies M(A) \implies A = 0$
 $\langle proof \rangle$

lemmas *empty_lepoll_relI* = *empty_subsetI* [THEN *subset_imp_lepoll_rel*, OF nonempty]

lemma *lepoll_rel_0_iff*: $M(A) \implies A \lesssim^M 0 \longleftrightarrow A = 0$
 $\langle proof \rangle$

lemma *Un_lepoll_rel_Un*:
 $\llbracket \begin{array}{l} A \lesssim^M B; C \lesssim^M D; B \cap D = 0; M(A); M(B); M(C); M(D) \end{array} \rrbracket \implies A \cup C \lesssim^M B \cup D$
 $\langle proof \rangle$

lemma *eqpoll_rel_0_is_0*: $A \approx^M 0 \implies M(A) \implies A = 0$
 $\langle proof \rangle$

lemma *eqpoll_rel_0_iff*: $M(A) \implies A \approx^M 0 \longleftrightarrow A = 0$
 $\langle proof \rangle$

lemma *eqpoll_rel_disjoint_Un*:
 $\llbracket \begin{array}{l} A \approx^M B; C \approx^M D; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D) \end{array} \rrbracket \implies A \cup C \approx^M B \cup D$
 $\langle proof \rangle$

21.3 lesspoll: contributions by Krzysztof Grabczewski

lemma *lesspoll_rel_not_refl*: $M(i) \implies \sim (i \prec^M i)$
 $\langle proof \rangle$

lemma *lesspoll_rel_irrefl*: $i \prec^M i \implies M(i) \implies P$
 $\langle proof \rangle$

lemma *lesspoll_rel_imp_lepoll_rel*: $\llbracket A \prec^M B; M(A); M(B) \rrbracket \implies A \lesssim^M B$
 $\langle proof \rangle$

lemma *rvimage_closed* [*intro,simp*]:

```

assumes
   $M(A) M(f) M(r)$ 
shows
   $M(rvimage(A,f,r))$ 
   $\langle proof \rangle$ 

lemma lepoll_rel_well_ord:  $\llbracket A \lesssim^M B; well\_ord(B,r); M(A); M(B); M(r) \rrbracket$ 
 $\implies \exists s[M]. well\_ord(A,s)$ 
   $\langle proof \rangle$ 

lemma lepoll_rel_iff_leqpoll_rel:  $\llbracket M(A); M(B) \rrbracket \implies A \lesssim^M B \longleftrightarrow A \prec^M B \mid A$ 
 $\approx^M B$ 
   $\langle proof \rangle$ 

end —  $M\_cardinals$ 

context  $M\_cardinals$ 
begin

lemma inj_rel_is_fun_M:  $f \in inj^M(A,B) \implies M(f) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$ 
   $\langle proof \rangle$ 
lemma inj_rel_not_surj_rel_succ:
notes mem_inj_abs[simp del]
assumes fi:  $f \in inj^M(A, succ(m))$  and fns:  $f \notin surj^M(A, succ(m))$ 
  and types:  $M(f) M(A) M(m)$ 
shows  $\exists f[M]. f \in inj^M(A,m)$ 
   $\langle proof \rangle$ 

lemma lesspoll_rel_trans [trans]:
 $\llbracket X \prec^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$ 
   $\langle proof \rangle$ 

lemma lesspoll_rel_trans1 [trans]:
 $\llbracket X \lesssim^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$ 
   $\langle proof \rangle$ 

lemma lesspoll_rel_trans2 [trans]:
 $\llbracket X \prec^M Y; Y \lesssim^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$ 
   $\langle proof \rangle$ 

lemma eq_lesspoll_rel_trans [trans]:
 $\llbracket X \approx^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$ 
   $\langle proof \rangle$ 

lemma lesspoll_rel_eq_trans [trans]:
 $\llbracket X \prec^M Y; Y \approx^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$ 
   $\langle proof \rangle$ 

```

```

lemma is_cardinal_cong:
  assumes  $X \approx^M Y$   $M(X) = M(Y)$ 
  shows  $\exists \kappa[M]. \text{is\_cardinal}(M, X, \kappa) \wedge \text{is\_cardinal}(M, Y, \kappa)$ 
  (proof)
lemma cardinal_rel_cong:  $X \approx^M Y \implies M(X) = M(Y) \implies |X|^M = |Y|^M$ 
  (proof)

lemma well_ord_is_cardinal_eqpoll_rel:
  assumes well_ord( $A, r$ ) shows  $\text{is\_cardinal}(M, A, \kappa) \implies M(A) = M(\kappa) \implies M(r) = \kappa \approx^M A$ 
  (proof)

lemmas Ord_is_cardinal_eqpoll_rel = well_ord_Memrel[THEN well_ord_is_cardinal_eqpoll_rel]

```

22 Porting from ZF.Cardinal

The following results were ported more or less directly from *ZF.Cardinal*

```

lemma well_ord_cardinal_rel_eqpoll_rel:
  assumes  $r: \text{well\_ord}(A, r)$  and  $M(A) = M(r)$  shows  $|A|^M \approx^M A$ 
  (proof)

lemmas Ord_cardinal_rel_eqpoll_rel = well_ord_Memrel[THEN well_ord_cardinal_rel_eqpoll_rel]

lemma Ord_cardinal_rel_idem:  $\text{Ord}(A) \implies M(A) = |A|^M$ 
  (proof)

lemma well_ord_cardinal_rel_eqE:
  assumes  $\text{wo}X: \text{well\_ord}(X, r)$  and  $\text{wo}Y: \text{well\_ord}(Y, s)$  and  $\text{eq}: |X|^M = |Y|^M$ 
  and types:  $M(X) = M(r)$   $M(Y) = M(s)$ 
  shows  $X \approx^M Y$ 
  (proof)

lemma well_ord_cardinal_rel_eqpoll_rel_iff:
   $\left[ \begin{array}{l} \text{well\_ord}(X, r); \text{well\_ord}(Y, s); M(X); M(r); M(Y); M(s) \end{array} \right] \implies |X|^M = |Y|^M \iff X \approx^M Y$ 
  (proof)

lemma Ord_cardinal_rel_le:  $\text{Ord}(i) \implies M(i) \implies |i|^M \leq i$ 
  (proof)

lemma Card_rel_cardinal_rel_eq:  $\text{Card}^M(K) \implies M(K) = |K|^M$ 
  (proof)

lemma Card_relI:  $\left[ \begin{array}{l} \text{Ord}(i); \forall j. j < i \implies M(j) \implies \sim(j \approx^M i); M(i) \end{array} \right] \implies \text{Card}^M(i)$ 
  (proof)

lemma Card_rel_is_Ord:  $\text{Card}^M(i) \implies M(i) \implies \text{Ord}(i)$ 

```

$\langle proof \rangle$

lemma *Card_rel_cardinal_rel_le*: $Card^M(K) ==> M(K) \implies K \leq |K|^M$
 $\langle proof \rangle$

lemma *Ord_cardinal_rel* [simp,intro!]: $M(A) \implies Ord(|A|^M)$
 $\langle proof \rangle$

lemma *Card_rel_iff_initial*: **assumes** types: $M(K)$
shows $Card^M(K) \longleftrightarrow Ord(K) \wedge (\forall j[M]. j < K \longrightarrow \sim (j \approx^M K))$
 $\langle proof \rangle$

lemma *lt_Card_rel_imp_lesspoll_rel*: $[| Card^M(a); i < a; M(a); M(i) |] ==> i \prec^M a$
 $\langle proof \rangle$

lemma *Card_rel_0*: $Card^M(0)$
 $\langle proof \rangle$

lemma *Card_rel_Un*: $[| Card^M(K); Card^M(L); M(K); M(L) |] ==> Card^M(K \cup L)$
 $\langle proof \rangle$

lemma *Card_rel_cardinal_rel iff*: **assumes** types: $M(A)$ **shows** $Card^M(|A|^M)$
 $\langle proof \rangle$

lemma *cardinal_rel_eq_lemma*:
assumes $i:|i|^M \leq j$ **and** $j: j \leq i$ **and** types: $M(i) M(j)$
shows $|j|^M = |i|^M$
 $\langle proof \rangle$

lemma *cardinal_rel_mono*:
assumes $ij: i \leq j$ **and** types: $M(i) M(j)$ **shows** $|i|^M \leq |j|^M$
 $\langle proof \rangle$

lemma *cardinal_rel_lt_imp_lt*: $[| |i|^M < |j|^M; Ord(i); Ord(j); M(i); M(j) |] ==> i < j$
 $\langle proof \rangle$

lemma *Card_rel_lt_imp_lt*: $[| |i|^M < K; Ord(i); Card^M(K); M(i); M(K) |] ==> i < K$
 $\langle proof \rangle$

lemma *Card_rel_lt_iff*: $[| Ord(i); Card^M(K); M(i); M(K) |] ==> (|i|^M < K) \longleftrightarrow (i < K)$
 $\langle proof \rangle$

lemma *Card_rel_le_iff*: $[| Ord(i); Card^M(K); M(i); M(K) |] ==> (K \leq |i|^M) \longleftrightarrow (K \leq i)$

$\langle proof \rangle$

lemma *well_ord_lepoll_rel_imp_cardinal_rel_le*:

assumes $wB: well_ord(B,r)$ and $AB: A \lesssim^M B$

and

types: $M(B) M(r) M(A)$

shows $|A|^M \leq |B|^M$

$\langle proof \rangle$

lemma *lepoll_rel_cardinal_rel_le*: $\| A \lesssim^M i; Ord(i); M(A); M(i) \| \implies |A|^M \leq i$

$\langle proof \rangle$

lemma *lepoll_rel_Ord_imp_eqpoll_rel*: $\| A \lesssim^M i; Ord(i); M(A); M(i) \| \implies |A|^M \approx^M A$

$\langle proof \rangle$

lemma *lesspoll_rel_imp_eqpoll_rel*: $\| A \prec^M i; Ord(i); M(A); M(i) \| \implies |A|^M \approx^M A$

$\langle proof \rangle$

lemma *lesspoll_cardinal_lt_rel*:

shows $\| A \prec^M i; Ord(i); M(i); M(A) \| \implies |A|^M < i$

$\langle proof \rangle$

lemma *cardinal_rel_subset_Ord*: $\| A \subseteq i; Ord(i); M(A); M(i) \| \implies |A|^M \subseteq i$

$\langle proof \rangle$

lemma *cons_lepoll_rel_consD*:

$\| cons(u,A) \lesssim^M cons(v,B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) \| \implies A \lesssim^M B$

$\langle proof \rangle$

lemma *cons_eqpoll_rel_consD*: $\| cons(u,A) \approx^M cons(v,B); u \notin A; v \notin B; M(u);$

$M(A); M(v); M(B) \| \implies A \approx^M B$

$\langle proof \rangle$

lemma *succ_lepoll_rel_succD*: $succ(m) \lesssim^M succ(n) \implies M(m) \implies M(n) \implies$

$m \lesssim^M n$

$\langle proof \rangle$

lemma *nat_lepoll_rel_imp_le*:

$m \in nat \implies n \in nat \implies m \lesssim^M n \implies M(m) \implies M(n) \implies m \leq n$

$\langle proof \rangle$

lemma *nat_eqpoll_rel_iff*: $\| m \in nat; n \in nat; M(m); M(n) \| \implies m \approx^M n$

$\longleftrightarrow m = n$

$\langle proof \rangle$

lemma *nat_into_Card_rel*:

assumes $n: n \in \text{nat}$ **and** **types:** $M(n)$ **shows** $\text{Card}^M(n)$
 $\langle \text{proof} \rangle$

lemmas $\text{cardinal_rel_0} = \text{nat_0I}$ [*THEN nat_into_Card_rel, THEN Card_rel_cardinal_rel_eq, simplified, iff*]
lemmas $\text{cardinal_rel_1} = \text{nat_1I}$ [*THEN nat_into_Card_rel, THEN Card_rel_cardinal_rel_eq, simplified, iff*]

lemma $\text{succ_lepoll_rel_natE}: [| \text{succ}(n) \lesssim^M n; n \in \text{nat} |] ==> P$
 $\langle \text{proof} \rangle$

lemma $\text{nat_lepoll_rel_imp_ex_eqpoll_rel_n}:$
 $[| n \in \text{nat}; \text{nat} \lesssim^M X; M(n); M(X) |] ==> \exists Y[M]. Y \subseteq X \ \& \ n \approx^M Y$
 $\langle \text{proof} \rangle$

lemma $\text{lepoll_rel_succ}: M(i) ==> i \lesssim^M \text{succ}(i)$
 $\langle \text{proof} \rangle$

lemma $\text{lepoll_rel_imp_lesspoll_rel_succ}:$
assumes $A: A \lesssim^M m$ **and** $m: m \in \text{nat}$
and types: $M(A) M(m)$
shows $A \prec^M \text{succ}(m)$
 $\langle \text{proof} \rangle$

lemma $\text{lesspoll_rel_succ_imp_lepoll_rel}:$
 $[| A \prec^M \text{succ}(m); m \in \text{nat}; M(A); M(m) |] ==> A \lesssim^M m$
 $\langle \text{proof} \rangle$

lemma $\text{lesspoll_rel_succ_iff}: m \in \text{nat} ==> M(A) ==> A \prec^M \text{succ}(m) \longleftrightarrow A \lesssim^M m$
 $\langle \text{proof} \rangle$

lemma $\text{lepoll_rel_succ_disj}: [| A \lesssim^M \text{succ}(m); m \in \text{nat}; M(A); M(m) |] ==>$
 $A \lesssim^M m \mid A \approx^M \text{succ}(m)$
 $\langle \text{proof} \rangle$

lemma $\text{lesspoll_rel_cardinal_rel_lt}: [| A \prec^M i; \text{Ord}(i); M(A); M(i) |] ==> |A|^M < i$
 $\langle \text{proof} \rangle$

lemma $\text{lt_not_lepoll_rel}:$
assumes $n: n < i$ $n \in \text{nat}$
and types: $M(n) M(i)$ **shows** $\sim i \lesssim^M n$
 $\langle \text{proof} \rangle$

A slightly weaker version of $\text{nat_eqpoll_rel_iff}$

lemma $\text{Ord_nat_eqpoll_rel_iff}:$
assumes $i: \text{Ord}(i)$ **and** $n: n \in \text{nat}$
and types: $M(i) M(n)$

shows $i \approx^M n \longleftrightarrow i = n$
 $\langle proof \rangle$

lemma $Card_rel_nat: Card^M(nat)$
 $\langle proof \rangle$

lemma $nat_le_cardinal_rel: nat \leq i \implies M(i) ==> nat \leq |i|^M$
 $\langle proof \rangle$

lemma $n_lesspoll_rel_nat: n \in nat ==> n \prec^M nat$
 $\langle proof \rangle$

lemma $cons_lepoll_rel_cong:$
 $\{[A \lesssim^M B; b \notin B; M(A); M(B); M(b); M(a)]\} ==> cons(a, A) \lesssim^M cons(b, B)$
 $\langle proof \rangle$

lemma $cons_eqpoll_rel_cong:$
 $\{[A \approx^M B; a \notin A; b \notin B; M(A); M(B); M(a); M(b)]\} ==> cons(a, A) \approx^M cons(b, B)$
 $\langle proof \rangle$

lemma $cons_lepoll_rel_cons_iff:$
 $\{[a \notin A; b \notin B; M(a); M(A); M(b); M(B)]\} ==> cons(a, A) \lesssim^M cons(b, B)$
 $\longleftrightarrow A \lesssim^M B$
 $\langle proof \rangle$

lemma $cons_eqpoll_rel_cons_iff:$
 $\{[a \notin A; b \notin B; M(a); M(A); M(b); M(B)]\} ==> cons(a, A) \approx^M cons(b, B)$
 $\longleftrightarrow A \approx^M B$
 $\langle proof \rangle$

lemma $singleton_eqpoll_rel_1: M(a) \implies \{a\} \approx^M 1$
 $\langle proof \rangle$

lemma $cardinal_rel_singleton: M(a) \implies |\{a\}|^M = 1$
 $\langle proof \rangle$

lemma $not_0_is_lepoll_rel_1: A \neq 0 ==> M(A) \implies 1 \lesssim^M A$
 $\langle proof \rangle$

lemma $succ_eqpoll_rel_cong: A \approx^M B \implies M(A) \implies M(B) ==> succ(A) \approx^M succ(B)$
 $\langle proof \rangle$

The next result was not straightforward to port, and even a different statement was needed.

lemma $sum_bij_rel:$
 $\{[f \in bij^M(A, C); g \in bij^M(B, D); M(f); M(A); M(C); M(g); M(B); M(D)]\}$
 $\implies (\lambda z \in A+B. case(\%x. Inl(f'x), \%y. Inr(g'y), z)) \in bij^M(A+B, C+D)$

$\langle proof \rangle$

lemma *sum_bij_rel'*:

assumes $f \in bij^M(A,C)$ $g \in bij^M(B,D)$ $M(f)$
 $M(A)$ $M(C)$ $M(g)$ $M(B)$ $M(D)$

shows

$(\lambda z \in A+B. \ case(\lambda x. Inl(f'x), \lambda y. Inr(g'y), z)) \in bij(A+B, C+D)$
 $M(\lambda z \in A+B. \ case(\lambda x. Inl(f'x), \lambda y. Inr(g'y), z))$

$\langle proof \rangle$

lemma *sum_eqpoll_rel_cong*:

assumes $A \approx^M C$ $B \approx^M D$ $M(A)$ $M(C)$ $M(B)$ $M(D)$
shows $A+B \approx^M C+D$

$\langle proof \rangle$

lemma *prod_bij_rel'*:

assumes $f \in bij^M(A,C)$ $g \in bij^M(B,D)$ $M(f)$
 $M(A)$ $M(C)$ $M(g)$ $M(B)$ $M(D)$

shows

$(\lambda \langle x,y \rangle \in A*B. \langle f'x, g'y \rangle) \in bij(A*B, C*D)$
 $M(\lambda \langle x,y \rangle \in A*B. \langle f'x, g'y \rangle)$

$\langle proof \rangle$

lemma *prod_eqpoll_rel_cong*:

assumes $A \approx^M C$ $B \approx^M D$ $M(A)$ $M(C)$ $M(B)$ $M(D)$
shows $A \times B \approx^M C \times D$

$\langle proof \rangle$

lemma *inj_rel_disjoint_eqpoll_rel*:

$\approx^M_B [\mid f \in inj^M(A,B); A \cap B = \emptyset; M(f); M(A); M(B) \mid] ==> A \cup (B - range(f))$

$\langle proof \rangle$

lemma *Diff_sing_lepoll_rel*:

$\approx^M_A [\mid a \in A; A \lesssim^M succ(n); M(a); M(A); M(n) \mid] ==> A - \{a\} \lesssim^M n$

$\langle proof \rangle$

lemma *lepoll_rel_Diff_sing*:

assumes $A: succ(n) \lesssim^M A$
and types: $M(n)$ $M(A)$ $M(a)$
shows $n \lesssim^M A - \{a\}$

$\langle proof \rangle$

lemma *Diff_sing_eqpoll_rel*: $[\mid a \in A; A \approx^M succ(n); M(a); M(A); M(n) \mid] ==>$

$A - \{a\} \approx^M n$

$\langle proof \rangle$

lemma *lepoll_rel_1_is_sing*: $[\mid A \lesssim^M 1; a \in A ; M(a); M(A) \mid] ==> A = \{a\}$

$\langle proof \rangle$

```

lemma Un_lepoll_rel_sum:  $M(A) \Rightarrow M(B) \Rightarrow A \cup B \lesssim^M A + B$ 
  <proof>

lemma well_ord_Un_M:
  assumes well_ord(X,R) well_ord(Y,S)
  and types:  $M(X)$   $M(R)$   $M(Y)$   $M(S)$ 
  shows  $\exists T[M]. \text{well\_ord}(X \cup Y, T)$ 
  <proof>

lemma disj_Un_eqpoll_rel_sum:  $M(A) \Rightarrow M(B) \Rightarrow A \cap B = 0 \Rightarrow A \cup B \approx^M A + B$ 
  <proof>

lemma eqpoll_rel_imp_Finite_rel_iff:  $A \approx^M B \iff M(A) \Rightarrow M(B) \Rightarrow \text{Finite\_rel}(M, A) \longleftrightarrow \text{Finite\_rel}(M, B)$ 
  <proof>

lemma Finite_abs[simp]:
  assumes  $M(A)$ 
  shows  $\text{Finite\_rel}(M, A) \longleftrightarrow \text{Finite}(A)$ 
  <proof>

lemma lepoll_rel_nat_imp_Finite_rel:
  assumes  $A: A \lesssim^M n$  and  $n: n \in \text{nat}$ 
  and types:  $M(A)$   $M(n)$ 
  shows  $\text{Finite\_rel}(M, A)$ 
  <proof>

lemma lesspoll_rel_nat_is_Finite_rel:
   $A \prec^M \text{nat} \Rightarrow M(A) \Rightarrow \text{Finite\_rel}(M, A)$ 
  <proof>

lemma lepoll_rel_Finite_rel:
  assumes  $Y: Y \lesssim^M X$  and  $X: \text{Finite\_rel}(M, X)$ 
  and types:  $M(Y)$   $M(X)$ 
  shows  $\text{Finite\_rel}(M, Y)$ 
  <proof>

lemma succ_lepoll_rel_imp_not_empty:  $\text{succ}(x) \lesssim^M y \Rightarrow M(x) \Rightarrow M(y)$ 
 $\Rightarrow y \neq 0$ 
  <proof>

lemma eqpoll_rel_succ_imp_not_empty:  $x \approx^M \text{succ}(n) \Rightarrow M(x) \Rightarrow M(n)$ 
 $\Rightarrow x \neq 0$ 
  <proof>

lemma Finite_subset_closed:
  assumes  $\text{Finite}(B)$   $B \subseteq A$   $M(A)$ 

```

```

shows  $M(B)$ 
 $\langle proof \rangle$ 

lemma Finite_Pow_abs:
assumes  $Finite(A) \ M(A)$ 
shows  $Pow(A) = Pow\_rel(M,A)$ 
 $\langle proof \rangle$ 

lemma Finite_Pow_rel:
assumes  $Finite(A) \ M(A)$ 
shows  $Finite(Pow\_rel(M,A))$ 
 $\langle proof \rangle$ 

lemma Pow_rel_0 [simp]:  $Pow\_rel(M,0) = \{0\}$ 
 $\langle proof \rangle$ 

lemma eqpoll_rel_imp_Finite:  $A \approx^M B \implies Finite(A) \implies M(A) \implies M(B) \implies Finite(B)$ 
 $\langle proof \rangle$ 

lemma eqpoll_rel_imp_Finite_iff:  $A \approx^M B \implies M(A) \implies M(B) \implies Finite(A) \longleftrightarrow Finite(B)$ 
 $\langle proof \rangle$ 

end — M_cardinals

end

```

23 Relative, Choice-less Cardinal Arithmetic

```

theory CardinalArith_Relative
imports
Cardinal_Relative

begin

 $\langle ML \rangle$ 

definition
csquare_lam ::  $i \Rightarrow i$  where
csquare_lam( $K$ )  $\equiv \lambda \langle x,y \rangle \in K \times K. \langle x \cup y, x, y \rangle$ 

— Can't do the next thing because split is a missing HOC

 $\langle ML \rangle$ 

definition
is_csquare_lam ::  $[i \Rightarrow o, i, i] \Rightarrow o$  where
is_csquare_lam( $M, K, l$ )  $\equiv \exists K2[M]. cartprod(M, K, K, K2) \wedge$ 

```

```

is_lambda(M,K2,is_csquare_lam_body(M),l)

definition jump_cardinal_body :: [i,i] ⇒ i where
jump_cardinal_body(U,X) ≡
{z . r ∈ U, well_ord(X, r) ∧ z = ordertype(X, r)}

lemma jump_cardinal_body_char :
jump_cardinal_body(U,X) = {ordertype(X, r) . r ∈ {r ∈ U . well_ord(X, r)}}
⟨proof⟩

definition jump_cardinal_body' where
jump_cardinal_body'(x) ≡ jump_cardinal_body(Pow(x × x),x)

lemma (in M_cardinals) csquare_lam_closed[intro,simp]: M(K) ==> M(csquare_lam(K))
⟨proof⟩

locale M_pre_cardinal_arith = M_cardinals +
assumes
wfrec_pred_replacement:M(A) ==> M(r) ==>
wfrec_replacement(M, λx f z. z = f `` Order.pred(A, x, r), r)

⟨ML⟩

lemma (in M_trivial) rmultP_abs [absolut]: ⟦ M(r); M(s); M(z) ⟧ ==> is_rmultP(M,s,r,z)
↔
(∃ x' y' x y. z = ⟨⟨x', y'⟩, x, y⟩ ∧ (⟨x', x⟩ ∈ r ∨ x' = x ∧ ⟨y', y⟩ ∈ s))
⟨proof⟩

definition
is_csquare_rel :: [i ⇒ o, i, i] ⇒ o where
is_csquare_rel(M, K, cs) ≡ ∃ K2[M]. ∃ la[M]. ∃ memK[M].
∃ rmKK[M]. ∃ rmKK2[M].
cartprod(M, K, K, K2) ∧ is_csquare_lam(M, K, la) ∧
membership(M, K, memK) ∧ is_rmult(M, K, memK, K, memK, rmKK) ∧
is_rmult(M, K, memK, K2, rmKK, rmKK2) ∧ is_rvimage(M, K2, la, rmKK2, cs)

context M_basic
begin

lemma rvimage_abs[absolut]:
assumes M(A) M(f) M(r) M(z)
shows is_rvimage(M, A, f, r, z) ↔ z = rvimage(A, f, r)
⟨proof⟩

lemma rmult_abs [absolut]: ⟦ M(A); M(r); M(B); M(s); M(z) ⟧ ==>
is_rmult(M, A, r, B, s, z) ↔ z = rmult(A, r, B, s)
⟨proof⟩

lemma csquare_lam_body_abs[absolut]: M(x) ==> M(z) ==>
is_csquare_lam_body(M, x, z) ↔ z = <fst(x) ∪ snd(x), fst(x), snd(x)>

```

$\langle proof \rangle$

lemma *csquare_lam_abs[absolut]*: $M(K) \implies M(l) \implies$
 $is_csquare_lam(M, K, l) \longleftrightarrow l = (\lambda x \in K \times K. \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle)$
 $\langle proof \rangle$

lemma *csquare_lam_eq_lam:csquare_lam*(K) = $(\lambda z \in K \times K. \langle fst(z) \cup snd(z), fst(z), snd(z) \rangle)$
 $\langle proof \rangle$

end — *M_basic*

context *M_pre_cardinal_arith*
begin

lemma *csquare_rel_closed[intro,simp]*: $M(K) \implies M(csquare_rel(K))$
 $\langle proof \rangle$

lemma *csquare_rel_abs[absolut]*: $\llbracket M(K); M(cs) \rrbracket \implies$
 $is_csquare_rel(M, K, cs) \longleftrightarrow cs = csquare_rel(K)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

23.1 Discipline for *csucc*

$\langle ML \rangle$

abbreviation

csucc_r :: $[i, i \Rightarrow o] \Rightarrow i \ (\langle'(_+ \rangle \rightarrow)$ **where**
 $csucc_r(x, M) \equiv csucc_rel(M, x)$

abbreviation

csucc_r_set :: $[i, i] \Rightarrow i \ (\langle'(_+ \rangle \rightarrow)$ **where**
 $csucc_r_set(x, M) \equiv csucc_rel(\#\# M, x)$

context *M_Perm*

begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — *M_Perm*

notation *csucc_rel* ($\langle csucc -'(_) \rangle$)

```

context M_cardinals
begin

lemma Card_rel_Union [simp,intro,TC]:
  assumes A:  $\bigwedge x. x \in A \implies \text{Card}^M(x)$  and
    types:  $M(A)$ 
  shows  $\text{Card}^M(\bigcup(A))$ 
  ⟨proof⟩

```

We are not relativizing directly the results involving unions of (ordinal) indexed families (namely, *Card_UN* and *Card_OUN*, respectively) because of their higher order nature.

```

lemma in_Card_imp_lesspoll: [|  $\text{Card}^M(K)$ ;  $b \in K$ ;  $M(K)$ ;  $M(b)$  |] ==>  $b \prec^M K$ 
  ⟨proof⟩

```

23.2 Cardinal addition

Note (Paulson): Could omit proving the algebraic laws for cardinal addition and multiplication. On finite cardinals these operations coincide with addition and multiplication of natural numbers; on infinite cardinals they coincide with union (maximum). Either way we get most laws for free.

23.2.1 Cardinal addition is commutative

```

lemma sum_commute_eqpoll_rel:  $M(A) \implies M(B) \implies A+B \approx^M B+A$ 
  ⟨proof⟩

```

```

lemma cadd_rel_commute:  $M(i) \implies M(j) \implies i \oplus^M j = j \oplus^M i$ 
  ⟨proof⟩

```

23.2.2 Cardinal addition is associative

```

lemma sum_assoc_eqpoll_rel:  $M(A) \implies M(B) \implies M(C) \implies (A+B)+C \approx^M A+(B+C)$ 
  ⟨proof⟩

```

Unconditional version requires AC

```

lemma well_ord_cadd_rel_assoc:
  assumes i: well_ord(i,ri) and j: well_ord(j,rj) and k: well_ord(k,rk)
  and
    types:  $M(i) M(ri) M(j) M(rj) M(k) M(rk)$ 
  shows  $(i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$ 
  ⟨proof⟩

```

23.2.3 0 is the identity for addition

```

lemma case_id_eq:  $x \in \text{sum}(A,B) \implies \text{case}(\lambda z. z, \lambda z. z, x) = \text{snd}(x)$ 

```

$\langle proof \rangle$

lemma *lam_case_id*: $(\lambda z \in 0 + A. \ case(\lambda x. x, \ \lambda y. y, z)) = (\lambda z \in 0 + A . \ snd(z))$
 $\langle proof \rangle$

lemma *sum_0_eqpoll_rel*: $M(A) \implies 0 + A \approx^M A$
 $\langle proof \rangle$

lemma *cadd_rel_0 [simp]*: $Card^M(K) \implies M(K) \implies 0 \oplus^M K = K$
 $\langle proof \rangle$

23.2.4 Addition by another cardinal

lemma *sum_lepoll_rel_self*: $M(A) \implies M(B) \implies A \lesssim^M A + B$
 $\langle proof \rangle$

lemma *cadd_rel_le_self*:
 assumes $K: Card^M(K)$ **and** $L: Ord(L)$ **and**
 types: $M(K) \ M(L)$
 shows $K \leq (K \oplus^M L)$
 $\langle proof \rangle$

23.2.5 Monotonicity of addition

lemma *sum_lepoll_rel_mono*:
 $[| A \lesssim^M C; \ B \lesssim^M D; \ M(A); \ M(B); \ M(C); \ M(D) |] \implies A + B \lesssim^M C + D$
 $\langle proof \rangle$

lemma *cadd_rel_le_mono*:
 $[| K' \leq K; \ L' \leq L; \ M(K'); \ M(K); \ M(L'); \ M(L) |] \implies (K' \oplus^M L') \leq (K \oplus^M L)$
 $\langle proof \rangle$

23.2.6 Addition of finite cardinals is "ordinary" addition

lemma *sum_succ_eqpoll_rel*: $M(A) \implies M(B) \implies succ(A) + B \approx^M succ(A + B)$
 $\langle proof \rangle$

lemma *cadd_succ_lemma*:
 assumes $Ord(m) \ Ord(n)$ **and**
 types: $M(m) \ M(n)$
 shows $succ(m) \oplus^M n = |succ(m \oplus^M n)|^M$
 $\langle proof \rangle$

lemma *nat_cadd_rel_eq_add*:
 assumes $m: m \in nat$ **and** *[simp]*: $n \in nat$ **shows** $m \oplus^M n = m +_{\omega} n$
 $\langle proof \rangle$

23.3 Cardinal multiplication

23.3.1 Cardinal multiplication is commutative

```
lemma prod_commute_eqpoll_rel: M(A) ==> M(B) ==> A*B ~^M B*A
  ⟨proof⟩
```

```
lemma cmult_rel_commute: M(i) ==> M(j) ==> i ⊗^M j = j ⊗^M i
  ⟨proof⟩
```

23.3.2 Cardinal multiplication is associative

```
lemma prod_assoc_eqpoll_rel: M(A) ==> M(B) ==> M(C) ==> (A*B)*C ~^M
  A*(B*C)
  ⟨proof⟩
```

Unconditional version requires AC

```
lemma well_ord_cmult_rel_assoc:
  assumes i: well_ord(i,ri) and j: well_ord(j,rj) and k: well_ord(k,rk)
  and
  types: M(i) M(ri) M(j) M(rj) M(k) M(rk)
  shows (i ⊗^M j) ⊗^M k = i ⊗^M (j ⊗^M k)
  ⟨proof⟩
```

23.3.3 Cardinal multiplication distributes over addition

```
lemma sum_prod_distrib_eqpoll_rel: M(A) ==> M(B) ==> M(C) ==> (A+B)*C ~^M
  (A*C)+(B*C)
  ⟨proof⟩
```

```
lemma well_ord_cadd_cmult_distrib:
  assumes i: well_ord(i,ri) and j: well_ord(j,rj) and k: well_ord(k,rk)
  and
  types: M(i) M(ri) M(j) M(rj) M(k) M(rk)
  shows (i ⊕^M j) ⊗^M k = (i ⊗^M k) ⊕^M (j ⊗^M k)
  ⟨proof⟩
```

23.3.4 Multiplication by 0 yields 0

```
lemma prod_0_eqpoll_rel: M(A) ==> 0*A ~^M 0
  ⟨proof⟩
```

```
lemma cmult_rel_0 [simp]: M(i) ==> 0 ⊗^M i = 0
  ⟨proof⟩
```

23.3.5 1 is the identity for multiplication

```
lemma prod_singleton_eqpoll_rel: M(x) ==> M(A) ==> {x}*A ~^M A
  ⟨proof⟩
```

```
lemma cmult_rel_1 [simp]: Card^M(K) ==> M(K) ==> 1 ⊗^M K = K
```

$\langle proof \rangle$

23.4 Some inequalities for multiplication

lemma *prod_square_lepoll_rel*: $M(A) \implies A \lesssim^M A * A$
 $\langle proof \rangle$

lemma *cmult_rel_square_le*: $Card^M(K) \implies M(K) \implies K \leq K \otimes^M K$
 $\langle proof \rangle$

23.4.1 Multiplication by a non-zero cardinal

lemma *prod_lepoll_rel_self*: $b \in B \implies M(b) \implies M(B) \implies M(A) \implies A \lesssim^M A * B$
 $\langle proof \rangle$

lemma *cmult_rel_le_self*:
 $\{[Card^M(K); Ord(L); 0 < L; M(K); M(L)]\} ==> K \leq (K \otimes^M L)$
 $\langle proof \rangle$

23.4.2 Monotonicity of multiplication

lemma *prod_lepoll_rel_mono*:
 $\{[A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D)]\} ==> A * B \lesssim^M C * D$
 $\langle proof \rangle$

lemma *cmult_rel_le_mono*:
 $\{[K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L)]\} ==> (K' \otimes^M L') \leq (K \otimes^M L)$
 $\langle proof \rangle$

23.5 Multiplication of finite cardinals is "ordinary" multiplication

lemma *prod_succ_eqpoll_rel*: $M(A) \implies M(B) \implies succ(A) * B \approx^M B + A * B$
 $\langle proof \rangle$

lemma *cmult_rel_succ_lemma*:
 $\{[Ord(m); Ord(n); M(m); M(n)]\} ==> succ(m) \otimes^M n = n \oplus^M (m \otimes^M n)$
 $\langle proof \rangle$

lemma *nat_cmult_rel_eq_mult*: $\{[m \in nat; n \in nat]\} ==> m \otimes^M n = m \# * n$
 $\langle proof \rangle$

lemma *cmult_rel_2*: $Card^M(n) \implies M(n) \implies 2 \otimes^M n = n \oplus^M n$
 $\langle proof \rangle$

lemma *sum_lepoll_rel_prod*:
assumes $C: 2 \lesssim^M C$ **and**
types: $M(C)$ $M(B)$
shows $B + B \lesssim^M C * B$

$\langle proof \rangle$

lemma *lepoll_imp_sum_lepoll_prod*: $\| A \lesssim^M B; 2 \lesssim^M A; M(A) ; M(B) \| \implies A + B \lesssim^M A * B$
 $\langle proof \rangle$

end — *M_cardinals*

23.6 Infinite Cardinals are Limit Ordinals

context *M_pre_cardinal_arith*
begin

lemma *nat_cons_lepoll_rel*: $nat \lesssim^M A \implies M(A) \implies M(u) \implies cons(u, A) \lesssim^M A$
 $\langle proof \rangle$

lemma *nat_cons_eqpoll_rel*: $nat \lesssim^M A \implies M(A) \implies M(u) \implies cons(u, A) \approx^M A$
 $\langle proof \rangle$

lemma *nat_succ_eqpoll_rel*: $nat \subseteq A \implies M(A) \implies succ(A) \approx^M A$
 $\langle proof \rangle$

lemma *InfCard_rel_nat*: $InfCard^M(nat)$
 $\langle proof \rangle$

lemma *InfCard_rel_is_Card_rel*: $M(K) \implies InfCard^M(K) \implies Card^M(K)$
 $\langle proof \rangle$

lemma *InfCard_rel_Un*:
 $\| InfCard^M(K); Card^M(L); M(K); M(L) \| \implies InfCard^M(K \cup L)$
 $\langle proof \rangle$

lemma *InfCard_rel_is_Limit*: $InfCard^M(K) \implies M(K) \implies Limit(K)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

lemma (in M_ordertype) *ordertype_abs[absolut]*:
assumes *wellordered(M,A,r)* $M(A)$ $M(r)$ $M(i)$
shows *otype(M,A,r,i) \longleftrightarrow i = ordertype(A,r)*
 $\langle proof \rangle$

lemma (in M_ordertype) *ordertype_closed[intro,simp]*: $\| wellordered(M,A,r); M(A); M(r) \| \implies M(ordertype(A,r))$
 $\langle proof \rangle$

$\langle ML \rangle$

lemma (in $M_trivial$) $is_transitive \iff transitive_rel$:
 $M(A) \implies M(r) \implies transitive_rel(M, A, r) \longleftrightarrow is_transitive(M, A, r)$
 $\langle proof \rangle$

$\langle ML \rangle$

lemma (in $M_trivial$) $is_linear \iff linear_rel$:
 $M(A) \implies M(r) \implies is_linear(M, A, r) \longleftrightarrow linear_rel(M, A, r)$
 $\langle proof \rangle$

$\langle ML \rangle$

lemma (in $M_trivial$) $is_wellfounded_on \iff wellfounded_on$:
 $M(A) \implies M(r) \implies is_wellfounded_on(M, A, r) \longleftrightarrow wellfounded_on(M, A, r)$
 $\langle proof \rangle$

definition

$is_well_ord :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
— linear and wellfounded on A
 $is_well_ord(M, A, r) ==$
 $is_transitive(M, A, r) \wedge is_linear(M, A, r) \wedge is_wellfounded_on(M, A, r)$

lemma (in $M_trivial$) $is_well_ord \iff wellordered$:
 $M(A) \implies M(r) \implies is_well_ord(M, A, r) \longleftrightarrow wellordered(M, A, r)$
 $\langle proof \rangle$

$\langle ML \rangle$

context $M_pre_cardinal_arith$
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — $M_pre_cardinal_arith$

$\langle ML \rangle$

lemma $trans_on_iff_trans$: $trans[A](r) \longleftrightarrow trans(r \cap A \times A)$
 $\langle proof \rangle$

lemma $trans_on_subset$: $trans[A](r) \implies B \subseteq A \implies trans[B](r)$
 $\langle proof \rangle$

lemma $relation_Int$: $relation(r \cap B \times B)$

$\langle proof \rangle$

Discipline for *ordermap*

$\langle ML \rangle$

lemma *wfrec_on_pred_eq*:

assumes $r \in Pow(A \times A)$

shows $wfrec[A](r, x, \lambda x f. f `` Order.pred(A, x, r)) = wfrec(r, x, \lambda x f. f ``$

$Order.pred(A, x, r))$

$\langle proof \rangle$

context *M_pre_cardinal_arith*
begin

lemma *wfrec_on_pred_closed*:

assumes $wf[A](r) trans[A](r) r \in Pow^M(A \times A) M(A) x \in A$

shows $M(wfrec(r, x, \lambda x f. f `` Order.pred(A, x, r)))$

$\langle proof \rangle$

lemma *wfrec_on_pred_closed'*:

assumes $wf[A](r) trans[A](r) r \in Pow^M(A \times A) M(A) x \in A$

shows $M(wfrec[A](r, x, \lambda x f. f `` Order.pred(A, x, r)))$

$\langle proof \rangle$

lemma *ordermap_rel_closed[intro,simp]*:

assumes $wf[A](r) trans[A](r) r \in Pow^M(A \times A) M(A)$

shows $M(ordermap_rel(M, A, r))$

$\langle proof \rangle$

lemma *is_ordermap_iff*:

assumes $r \in Pow^M(A \times A) wf[A](r) trans[A](r)$

$M(A) M(res)$

shows $is_ordermap(M, A, r, res) \longleftrightarrow res = ordermap_rel(M, A, r)$

$\langle proof \rangle$

end — *M_pre_cardinal_arith*

Discipline for *ordertype*

$\langle ML \rangle$

definition *is_order_body*

where $is_order_body(M, p, z) \equiv \exists X[M]. \exists r[M]. \exists A[M]. is_fst(M, p, X) \wedge is_snd(M, p, r)$

\wedge

$cartprod(M, X, X, A) \wedge subset(M, r, A) \wedge M(z) \wedge M(r) \wedge is_well_ord(M, X, r)$

$\wedge is_ordertype(M, X, r, z)$

context *M_pre_cardinal_arith*
begin

```

lemma is_ordertype_iff:
  assumes  $r \in \text{Pow}^M(A \times A)$   $\text{well\_ord}(A, r)$ 
  shows  $M(A) \implies M(\text{res}) \implies \text{is\_ordertype}(M, A, r, \text{res}) \iff \text{res} = \text{ordertype\_rel}(M, A, r)$ 
   $\langle \text{proof} \rangle$ 

lemma ordertype_rel_abs:
  assumes  $\text{wellordered}(M, X, r)$   $M(X)$   $M(r)$ 
  shows  $\text{ordertype\_rel}(M, X, r) = \text{ordertype}(X, r)$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_pre_cardinal_arith) is_order_body_abs :
   $M(Xr) \implies M(z) \implies \text{is\_order\_body}(M, Xr, z) \iff$ 
   $\text{snd}(Xr) \in \text{Pow}^M(\text{fst}(Xr) \times \text{fst}(Xr)) \wedge \text{well\_ord}(\text{fst}(Xr), \text{snd}(Xr)) \wedge z = \text{ordertype}(\text{fst}(Xr), \text{snd}(Xr))$ 
   $\langle \text{proof} \rangle$ 

lemma well_ord_restr:  $\text{well\_ord}(X, r) \implies \text{well\_ord}(X, r \cap X \times X)$ 
   $\langle \text{proof} \rangle$ 

lemma ordertype_restr_eq :
  assumes  $\text{well\_ord}(X, r)$ 
  shows  $\text{ordertype}(X, r) = \text{ordertype}(X, r \cap X \times X)$ 
   $\langle \text{proof} \rangle$ 

lemma ordertype_rel_closed[intro,simp]:
  assumes  $\text{well\_ord}(A, r)$   $r \in \text{Pow}^M(A \times A)$   $M(A)$ 
  shows  $M(\text{ordertype\_rel}(M, A, r))$ 
   $\langle \text{proof} \rangle$ 

end — M_pre_cardinal_arith

```

$\langle ML \rangle$

Notice that this is not quite the same as *jump_cardinal*: observe $\text{Pow}(X \times X)$.

definition

```

jump_cardinal' ::  $i \Rightarrow i$  where
jump_cardinal'( $K$ )  $\equiv$ 
 $\bigcup X \in \text{Pow}(K). \{z. r \in \text{Pow}(X * X), z = \text{jump\_cardinal\_body}(\text{Pow}(X * X), X)\}$ 

```

$\langle ML \rangle$

```

lemma (in M_ordertype) ordermap_closed[intro,simp]:
  assumes  $\text{wellordered}(M, A, r)$  and types:M(A) M(r)
  shows  $M(\text{ordermap}(A, r))$ 
   $\langle \text{proof} \rangle$ 

```

```

context M_pre_cardinal_arith
begin

lemma def_jump_cardinal_body:
   $M(X) \implies M(U) \implies$ 
   $\text{jump\_cardinal\_body}(U, X) = \{z . r \in U, M(z) \wedge \text{well\_ord}(X, r) \wedge z = \text{order\_type}(X, r)\}$ 
   $\langle\text{proof}\rangle$ 

lemma jump_cardinal_body_abs:
  shows  $M(A) \implies \text{jump\_cardinal\_body\_rel}(M, \text{Pow}^M(A \times A), A) = \text{jump\_cardinal\_body}(\text{Pow}^M(A \times A), A)$ 
   $\langle\text{proof}\rangle$ 

end — M_pre_cardinal_arith

locale M_cardinal_arith = M_pre_cardinal_arith +
assumes
  is_ordertype_replacement :
  strong_replacement( $M, \lambda x z . \exists y[M]. \text{is\_order\_body}(M, x, y) \wedge z = \langle x, y \rangle$ )
  and
  pow_rel_separation :  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Pow}^M(x) \rangle)$ 
  and
  separation_is_well_ord :  $\text{separation}(M, \lambda x . \text{is\_well\_ord}(M, \text{fst}(x), \text{snd}(x)))$ 
begin

lemmas Pow_rel_replacement = lam_replacement_Pow_rel[OF pow_rel_separation]

lemma ordertype_replacement :
  strong_replacement( $M, \lambda x z . (\text{snd}(x) \in \text{Pow}^M(\text{fst}(x) \times \text{fst}(x)) \wedge \text{well\_ord}(\text{fst}(x), \text{snd}(x))) \wedge$ 
   $z = \langle x, \text{ordertype}(\text{fst}(x), \text{snd}(x)) \rangle$ 
   $\langle\text{proof}\rangle$ 

lemma separation_well_ord :  $\text{separation}(M, \lambda x . \text{well\_ord}(\text{fst}(x), \text{snd}(x)))$ 
   $\langle\text{proof}\rangle$ 

lemma jump_cardinal_body_lam_replacement :
  shows lam_replacement( $M, \lambda X . \text{jump\_cardinal\_body}(\text{Pow}^M(X \times X), X)$ ) and
   $M(X) \implies M(\text{jump\_cardinal\_body}(\text{Pow}^M(X \times X), X))$ 
   $\langle\text{proof}\rangle$ 

lemmas jump_cardinal_body_closed = jump_cardinal_body_lam_replacement(2)

lemma jump_cardinal_closed:
  assumes  $M(K)$ 
  shows  $M(\{\text{jump\_cardinal\_body}(\text{Pow}^M(X \times X), X) . X \in \text{Pow}^M(K)\})$ 
   $\langle\text{proof}\rangle$ 

```

```
end — M_cardinal_arith
```

```
context M_pre_cardinal_arith
begin
```

```
lemma ordermap_eqpoll_pred:
```

```
 [| well_ord(A,r); x ∈ A ; M(A); M(r); M(x) |] ==> ordermap(A,r)·x ≈M Or-der.pred(A,x,r)
 ⟨proof⟩
```

Kunen: "each $\langle x, y \rangle \in K \times K$ has no more than $z \times z$ predecessors..." (page 29)

```
lemma ordermap_csquare_le:
```

```
assumes K: Limit(K) and x: x < K and y: y < K
and types: M(K) M(x) M(y)
shows |ordermap(K × K, csquare_rel(K)) · ⟨x,y⟩|M ≤ |succ(succ(x ∪ y))|M ⊗M
|succ(succ(x ∪ y))|M
⟨proof⟩
```

Kunen: "... so the order type is $\leq K$ "

```
lemma ordertype_csquare_le_M:
```

```
assumes IK: InfCardM(K) and eq: ∀y. y ∈ K ⇒ InfCardM(y) implies M(y) ⇒ y ⊗M y = y
— Note the weakened hypothesis eq
and types: M(K)
shows ordertype(K * K, csquare_rel(K)) ≤ K
⟨proof⟩
```

```
lemma InfCard_rel_csquare_eq:
```

```
assumes IK: InfCardM(K) and
types: M(K)
shows K ⊗M K = K
⟨proof⟩
```

```
lemma well_ord_InfCard_rel_square_eq:
```

```
assumes r: well_ord(A,r) and I: InfCardM(|A|M) and
types: M(A) M(r)
shows A × A ≈M A
⟨proof⟩
```

```
lemma InfCard_rel_square_eqpoll:
```

```
assumes InfCardM(K) and types: M(K) shows K × K ≈M K
⟨proof⟩
```

lemma *Inf_Card_rel_is_InfCard_rel*: $\| \text{Card}^M(i); \sim \text{Finite_rel}(M, i) ; M(i) \|$
 $\implies \text{InfCard}^M(i)$
 $\langle \text{proof} \rangle$

23.6.1 Toward's Kunen's Corollary 10.13 (1)

lemma *InfCard_rel_le_cmult_rel_eq*: $\| \text{InfCard}^M(K); L \leq K; 0 < L; M(K) ; M(L) \| \implies K \otimes^M L = K$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_cmultiplication_eq*: $\| \text{InfCard}^M(K); \text{InfCard}^M(L); M(K) ; M(L) \| \implies K \otimes^M L = K \cup L$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_cdouble_eq*: $\text{InfCard}^M(K) \implies M(K) \implies K \oplus^M K = K$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_le_cadd_rel_eq*: $\| \text{InfCard}^M(K); L \leq K ; M(K) ; M(L) \| \implies K \oplus^M L = K$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_cadd_rel_eq*: $\| \text{InfCard}^M(K); \text{InfCard}^M(L); M(K) ; M(L) \| \implies K \oplus^M L = K \cup L$
 $\langle \text{proof} \rangle$

end — *M_pre_cardinal_arith*

23.7 For Every Cardinal Number There Exists A Greater One

This result is Kunen's Theorem 10.16, which would be trivial using AC

locale *M_cardinal_arith_jump* = *M_cardinal_arith* + *M_ordertype*
begin

lemma *def_jump_cardinal_rel_aux*:
 $X \in \text{Pow}^M(K) \implies M(K) \implies$
 $\{z . r \in \text{Pow}^M(X \times X), M(z) \wedge \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\} =$
 $\{z . r \in \text{Pow}^M(K \times K), M(z) \wedge \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\}$
 $\langle \text{proof} \rangle$

lemma *def_jump_cardinal_rel_aux2*:
assumes $X \in \text{Pow}^M(K) M(K)$
shows $\text{jump_cardinal_body}(\text{Pow}^M(K \times K), X) = \text{jump_cardinal_body}(\text{Pow}^M(X \times X), X)$
 $\langle \text{proof} \rangle$

```

lemma def_jump_cardinal_rel:
  assumes M(K)
  shows jump_cardinal_rel(M,K) =
    ( $\bigcup \{jump\_cardinal\_body(Pow^M(K*K), X) . X \in Pow^M(K)\}$ )
  <proof>

lemma Ord_jump_cardinal_rel: M(K) ==> Ord(jump_cardinal_rel(M,K))
  <proof>

declare conj_cong [cong del]
  — incompatible with some of the proofs of the original theory

lemma jump_cardinal_rel_iff_old:
  M(i) ==> M(K) ==> i ∈ jump_cardinal_rel(M,K)  $\longleftrightarrow$ 
    ( $\exists r[M]. \exists X[M]. r \subseteq K*K \& X \subseteq K \& well\_ord(X,r) \& i = ordertype(X,r)$ )
  <proof>

lemma K_lt_jump_cardinal_rel: Ord(K) ==> M(K) ==> K < jump_cardinal_rel(M,K)
  <proof>

lemma def_jump_cardinal_rel':
  assumes M(K)
  shows jump_cardinal_rel(M,K) =
    ( $\bigcup X \in Pow^M(K). \{z. r \in Pow^M(X \times X), well\_ord(X,r) \wedge z = order-type(X,r)\}$ )
  <proof>

<ML>
<proof>

lemma Card_rel_jump_cardinal_rel_lemma:
  [| well_ord(X,r); r ⊆ K * K; X ⊆ K;
    f ∈ bij(ordertype(X,r), jump_cardinal_rel(M,K));
    M(X); M(r); M(K); M(f) |]
  ==> jump_cardinal_rel(M,K) ∈ jump_cardinal_rel(M,K)
  <proof>

```

lemma Card_rel_jump_cardinal_rel: M(K) ==> Card_rel(M, jump_cardinal_rel(M,K))
 <proof>

23.8 Basic Properties of Successor Cardinals

lemma csucc_rel_basic: Ord(K) ==> M(K) ==> Card_rel(M, csucc_rel(M,K))
 & K < csucc_rel(M,K)
 <proof>

```

lemmas Card_rel_csucc_rel = csucc_rel_basic [THEN conjunct1]

lemmas lt_csucc_rel = csucc_rel_basic [THEN conjunct2]

lemma Ord_0_lt_csucc_rel: Ord(K) ==> M(K) ==> 0 < csucc_rel(M,K)
  ⟨proof⟩

lemma csucc_rel_le: [| Card_rel(M,L); K < L; M(K); M(L) |] ==> csucc_rel(M,K)
  ≤ L
  ⟨proof⟩

lemma lt_csucc_rel_iff: [| Ord(i); Card_rel(M,K); M(K); M(i) |] ==> i <
  csucc_rel(M,K) ↔ |i|^M ≤ K
  ⟨proof⟩

lemma Card_rel_lt_csucc_rel_iff:
  [| Card_rel(M,K'); Card_rel(M,K); M(K'); M(K) |] ==> K' < csucc_rel(M,K)
  ↔ K' ≤ K
  ⟨proof⟩

lemma InfCard_rel_csucc_rel: InfCard_rel(M,K) ==> M(K) ==> InfCard_rel(M,csucc_rel(M,K))
  ⟨proof⟩

```

23.8.1 Theorems by Krzysztof Grabczewski, proofs by lcp

```

lemma nat_sum_eqpoll_rel_sum:
  assumes m: m ∈ nat and n: n ∈ nat shows m + n ≈^M m +_ω n
  ⟨proof⟩

lemma Ord_nat_subset_into_Card_rel: [| Ord(i); i ⊆ nat |] ==> Card^M(i)
  ⟨proof⟩

end — M_cardinal_arith_jump

end
theory Aleph_Relative
imports
  CardinalArith_Relative
begin

definition
  HAleph :: [i,i] ⇒ i where
    HAleph(i,r) ≡ if(¬(Ord(i)),i,if(i=0, nat, if(¬Limit(i) ∧ i ≠ 0,
      csucc(r'( ∪ i )),
      ∪ j ∈ i. r'j)))

```

$\langle ML \rangle$

lemma arity_is_HAleph_fm_aux:

assumes
 $i \in \text{nat}$ $r \in \text{nat}$
— NOTE: assumptions are **not** used, but if omitted, next lemma fails!

shows
 $\text{arity}(\text{Replace_fm}(8 +_{\omega} i, \cdot 10 +_{\omega} r \cdot 0 \text{ is } 1, \beta)) = 9 +_{\omega} i \cup \text{pred}(\text{pred}(11 +_{\omega} r))$
 $\langle \text{proof} \rangle$

lemma $\text{arity_is_HAleph_fm}[\text{arity}]$:

assumes
 $i \in \text{nat}$ $r \in \text{nat}$ $l \in \text{nat}$
shows
 $\text{arity}(\text{is_HAleph_fm}(i, r, l)) = \text{succ}(i) \cup \text{succ}(l) \cup \text{succ}(r)$
 $\langle \text{proof} \rangle$

definition
 $\text{Aleph}' :: i \Rightarrow i$ **where**
 $\text{Aleph}'(a) == \text{transrec}(a, \lambda i. r. \text{HAleph}(i, r))$

$\langle \text{ML} \rangle$

The extra assumptions $a < \text{length}(\text{env})$ and $c < \text{length}(\text{env})$ in this schematic goal (and the following results on synthesis that depend on it) are imposed by *is_transrec_iff_sats*.

schematic_goal $\text{sats_is_Aleph_fm_auto}:$
 $a \in \text{nat} \Rightarrow c \in \text{nat} \Rightarrow \text{env} \in \text{list}(A) \Rightarrow$
 $a < \text{length}(\text{env}) \Rightarrow c < \text{length}(\text{env}) \Rightarrow 0 \in A \Rightarrow$
 $\text{is_Aleph}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(c, \text{env})) \leftrightarrow A, \text{env} \models ?\text{fm}(a, c)$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

notation $\text{is_Aleph_fm} (\cdot \cdot \aleph'(_) \text{ is } \cdot \cdot)$

lemma $\text{is_Aleph_fm_type} [\text{TC}]: a \in \text{nat} \Rightarrow c \in \text{nat} \Rightarrow \text{is_Aleph_fm}(a, c) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma $\text{sats_is_Aleph_fm}:$
assumes $f \in \text{nat}$ $r \in \text{nat}$ $\text{env} \in \text{list}(A)$ $0 \in A$ $f < \text{length}(\text{env})$ $r < \text{length}(\text{env})$
shows $\text{is_Aleph}(\#\#A, \text{nth}(f, \text{env}), \text{nth}(r, \text{env})) \leftrightarrow A, \text{env} \models \text{is_Aleph_fm}(f, r)$
 $\langle \text{proof} \rangle$

lemma $\text{is_Aleph_iff_sats} [\text{iff_sats}]:$
assumes
 $\text{nth}(f, \text{env}) = fa$ $\text{nth}(r, \text{env}) = ra$ $f < \text{length}(\text{env})$ $r < \text{length}(\text{env})$
 $f \in \text{nat}$ $r \in \text{nat}$ $\text{env} \in \text{list}(A)$ $0 \in A$
shows $\text{is_Aleph}(\#\#A, fa, ra) \leftrightarrow A, \text{env} \models \text{is_Aleph_fm}(f, r)$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

lemma (in $M_cardinal_arith_jump$) *is_Limit_iff*:
 assumes $M(a)$
 shows $is_Limit(M,a) \longleftrightarrow Limit(a)$
 (proof)

lemma *HAleph_eq_Aleph_recursive*:
 $Ord(i) \implies HAleph(i,r) = (\text{if } i = 0 \text{ then } nat$
 else if $\exists j. i = succ(j) \text{ then } csucc(r^j \cdot (\text{THE } j. i = succ(j))) \text{ else } \bigcup_{j < i} r^j)$
 (proof)

lemma *Aleph'_eq_Aleph*: $Ord(a) \implies Aleph'(a) = Aleph(a)$
 (proof)

$\langle ML \rangle$

abbreviation
 $Aleph_r :: [i, i \Rightarrow o] \Rightarrow i \cdot (\aleph \rightarrow)$ **where**
 $Aleph_r(a, M) \equiv Aleph_rel(M, a)$

abbreviation
 $Aleph_r_set :: [i, i] \Rightarrow i \cdot (\aleph \rightarrow)$ **where**
 $Aleph_r_set(a, M) \equiv Aleph_rel(\#M, a)$

lemma *Aleph_rel_def'*: $Aleph_rel(M, a) \equiv transrec(a, \lambda i. r. HAleph_rel(M, i, r))$
 (proof)

lemma *succ_mem_Limit*: $Limit(j) \implies i \in j \implies succ(i) \in j$
 (proof)

locale $M_pre_aleph = M_eclose + M_cardinal_arith_jump +$
 assumes
 $haleph_transrec_replacement: M(a) \implies transrec_replacement(M, is_HAleph(M), a)$

begin

lemma *aux_ex_Replace_funapply*:
 assumes $M(a) M(f)$
 shows $\exists x[M]. is_Replace(M, a, \lambda j. y. f^j = y, x)$
 (proof)

lemma *is_HAleph_zero*:
 assumes $M(f)$
 shows $is_HAleph(M, 0, f, res) \longleftrightarrow res = nat$
 (proof)

```

lemma is_HAleph_succ:
  assumes M(f) M(x) Ord(x) M(res)
  shows is_HAleph(M,succ(x),f,res)  $\longleftrightarrow$  res = csucc_rel(M,f`x)
  {proof}

lemma is_HAleph_limit:
  assumes M(f) M(x) Limit(x) M(res)
  shows is_HAleph(M,x,f,res)  $\longleftrightarrow$  res = ( $\bigcup \{y . i \in x, M(i) \wedge M(y) \wedge y = f`i\}$ )
  {proof}

lemma is_HAleph_iff:
  assumes M(a) M(f) M(res)
  shows is_HAleph(M, a, f, res)  $\longleftrightarrow$  res = HAleph_rel(M, a, f)
  {proof}

lemma HAleph_rel_closed [intro,simp]:
  assumes function(f) M(a) M(f)
  shows M(HAleph_rel(M,a,f))
  {proof}

lemma Aleph_rel_closed[intro, simp]:
  assumes Ord(a) M(a)
  shows M(Aleph_rel(M,a))
  {proof}

lemma Aleph_rel_zero:  $\aleph_0^M = \text{nat}$ 
  {proof}

lemma Aleph_rel_succ: Ord( $\alpha$ )  $\implies$  M( $\alpha$ )  $\implies$   $\aleph_{\text{succ}(\alpha)}^M = (\aleph_\alpha^{M+})^M$ 
  {proof}

lemma Aleph_rel_limit:
  assumes Limit( $\alpha$ ) M( $\alpha$ )
  shows  $\aleph_\alpha^M = \bigcup \{\aleph_j^M . j \in \alpha\}$ 
  {proof}

lemma is_Aleph_iff:
  assumes Ord(a) M(a) M(res)
  shows is_Aleph(M, a, res)  $\longleftrightarrow$  res =  $\aleph_a^M$ 
  {proof}

end — M_pre_aleph

locale M_aleph = M_pre_aleph +
  assumes
    aleph_rel_separation: Ord(x)  $\implies$  M(x)  $\implies$  separation(M,  $\lambda y. \exists z \in x. y = \aleph_z^M$ )
  begin

lemma Aleph_rel_cont: Limit(l)  $\implies$  M(l)  $\implies$   $\aleph_l^M = (\bigcup i < l. \aleph_i^M)$ 

```

```

⟨proof⟩

lemma Ord_Aleph_rel:
  assumes Ord(a)
  shows M(a)  $\implies$  Ord( $\aleph_a^M$ )
  ⟨proof⟩

lemma Aleph_rel_increasing:
  assumes a < b and types: M(a) M(b)
  shows  $\aleph_a^M < \aleph_b^M$ 
  ⟨proof⟩

lemma Card_rel_Aleph_rel [simp, intro]:
  assumes Ord(a) and types: M(a) shows CardM( $\aleph_a^M$ )
  ⟨proof⟩

lemmas nat_subset_Aleph_rel_1 =
  Ord_lt_subset[OF Ord_Aleph_rel[of 1] Aleph_rel_increasing[of 0 1,simplified],simplified]

end — M_aleph

end

```

24 Relative, Cardinal Arithmetic Using AC

```

theory Cardinal_AC_Relative
  imports
    CardinalArith_Relative

begin

locale M_AC =
  fixes M
  assumes
    choice_ax: choice_ax(M)

locale M_cardinal_AC = M_cardinal_arith + M_AC +
  assumes
    lam_replacement_minimum:lam_replacement(M,  $\lambda p.$  minimum(fst(p),snd(p)))
begin

lemma lam_replacement_minimum_vimage:
  M(f)  $\implies$  M(r)  $\implies$  lam_replacement(M,  $\lambda x.$  minimum(r, f -“ {x}))
  ⟨proof⟩

lemmas surj_imp_inj_replacement4 = lam_replacement_minimum_vimage[unfolded
  lam_replacement_def]

lemmas surj_imp_inj_replacement =

```

surj_imp_inj_replacement1 *surj_imp_inj_replacement2* *surj_imp_inj_replacement4*
lam_replacement_vimage_sing_fun[THEN *lam_replacement_imp_strong_replacement*]

lemma *well_ord_surj_imp_lepoll_rel*:
assumes *well_ord(A,r)* $h \in \text{surj}(A,B)$ **and**
types: M(A) M(r) M(h) M(B)
shows $B \lesssim^M A$
{proof}

lemma *surj_imp_well_ord_M*:
assumes *wos: well_ord(A,r)* $h \in \text{surj}(A,B)$
and
types: M(A) M(r) M(h) M(B)
shows $\exists s[M]. \text{well_ord}(B,s)$
{proof}

lemma *choice_ax_well_ord*: $M(S) \implies \exists r[M]. \text{well_ord}(S,r)$
{proof}

lemma *Finite_cardinal_rel_Finite*:
assumes *Finite(|i|^M) M(i)*
shows *Finite(i)*
{proof}

end — *M_cardinal_AC*

locale *M_Pi_assumptions_choice* = *M_Pi_assumptions* + *M_cardinal_AC* +
assumes

B_replacement: strong_replacement(M, λx y. y = B(x))
and

— The next one should be derivable from (some variant) of *B_replacement*.
Proving both instances each time seems inconvenient.

minimum_replacement: M(r) ⇒ strong_replacement(M, λx y. y = ⟨x, minimum(r, B(x))⟩)
begin

lemma *AC_M*:
assumes $a \in A \wedge x. x \in A \implies \exists y. y \in B(x)$
shows $\exists z[M]. z \in Pi^M(A, B)$
{proof}

lemma *AC_Pi_rel*: **assumes** $\wedge x. x \in A \implies \exists y. y \in B(x)$
shows $\exists z[M]. z \in Pi^M(A, B)$
{proof}

end — *M_Pi_assumptions_choice*

```

context M_cardinal_AC
begin

```

24.1 Strengthened Forms of Existing Theorems on Cardinals

```

lemma cardinal_rel_eqpoll_rel:  $M(A) \implies |A|^M \approx^M A$ 
    ⟨proof⟩

```

```

lemmas cardinal_rel_idem = cardinal_rel_eqpoll_rel [THEN cardinal_rel_cong,
simp]

```

```

lemma cardinal_rel_eqE:  $|X|^M = |Y|^M \implies M(X) \implies M(Y) \implies X \approx^M Y$ 
    ⟨proof⟩

```

```

lemma cardinal_rel_eqpoll_rel_iff:  $M(X) \implies M(Y) \implies |X|^M = |Y|^M \longleftrightarrow X \approx^M Y$ 
    ⟨proof⟩

```

```

lemma cardinal_rel_disjoint_Un:
    [|  $|A|^M = |B|^M$ ;  $|C|^M = |D|^M$ ;  $A \cap C = \emptyset$ ;  $B \cap D = \emptyset$ ;  $M(A)$ ;  $M(B)$ ;  $M(C)$ ;
 $M(D)$ ]|
     $\implies |A \cup C|^M = |B \cup D|^M$ 
    ⟨proof⟩

```

```

lemma lepoll_rel_imp_cardinal_rel_le:  $A \lesssim^M B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$ 
    ⟨proof⟩

```

```

lemma cadd_rel_assoc:  $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$ 
    ⟨proof⟩

```

```

lemma cmult_rel_assoc:  $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$ 
    ⟨proof⟩

```

```

lemma cadd_cmult_distrib:  $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$ 
    ⟨proof⟩

```

```

lemma InfCard_rel_square_eq:  $\text{InfCard}^M(|A|^M) \implies M(A) \implies A \times A \approx^M A$ 
    ⟨proof⟩

```

24.2 The relationship between cardinality and le-pollence

```

lemma Card_rel_le_imp_lepoll_rel:
    assumes  $|A|^M \leq |B|^M$ 
    and types:  $M(A) M(B)$ 
    shows  $A \lesssim^M B$ 

```

$\langle proof \rangle$

lemma *le_Card_rel_iff*: $Card^M(K) ==> M(K) \implies M(A) \implies |A|^M \leq K \longleftrightarrow A \lesssim^M K$
 $\langle proof \rangle$

lemma *cardinal_rel_0_iff_0 [simp]*: $M(A) \implies |A|^M = 0 \longleftrightarrow A = 0$
 $\langle proof \rangle$

lemma *cardinal_rel_lt_iff_lesspoll_rel*:
assumes $i : Ord(i)$ **and**
types: $M(i) M(A)$
shows $i < |A|^M \longleftrightarrow i \prec^M A$
 $\langle proof \rangle$

lemma *cardinal_rel_le_imp_lepoll_rel*: $i \leq |A|^M ==> M(i) \implies M(A) \implies i \lesssim^M A$
 $\langle proof \rangle$

24.3 Other Applications of AC

We have an example of instantiating a locale involving higher order variables inside a proof, by using the assumptions of the first order, active locale.

lemma *surj_rel_implies_inj_rel*:
assumes $f : f \in surj^M(X, Y)$ **and**
types: $M(f) M(X) M(Y)$
shows $\exists g[M]. g \in inj^M(Y, X)$
 $\langle proof \rangle$

Kunen's Lemma 10.20

lemma *surj_rel_implies_cardinal_rel_le*:
assumes $f : f \in surj^M(X, Y)$ **and**
types: $M(f) M(X) M(Y)$
shows $|Y|^M \leq |X|^M$
 $\langle proof \rangle$

end — *M_cardinal_AC*

The set-theoretic universe.

abbreviation
Universe :: $i \Rightarrow o (\mathcal{V})$ **where**
 $\mathcal{V}(x) \equiv True$

lemma *separation_absolute*: $separation(\mathcal{V}, P)$
 $\langle proof \rangle$

lemma *univalent_absolute*:
assumes $univalent(\mathcal{V}, A, P) P(x, b) x \in A$

shows $P(x, y) \implies y = b$
 $\langle proof \rangle$

lemma *replacement_absolute*: *strong_replacement*(\mathcal{V} , P)
 $\langle proof \rangle$

lemma *Union_ax_absolute*: *Union_ax*(\mathcal{V})
 $\langle proof \rangle$

lemma *upair_ax_absolute*: *upair_ax*(\mathcal{V})
 $\langle proof \rangle$

lemma *power_ax_absolute*: *power_ax*(\mathcal{V})
 $\langle proof \rangle$

locale *M_cardinal_UN* = *M_Pi_assumptions_choice* _ $K X$ **for** $K X$ +
assumes

— The next assumption is required by *Least_closed*

$X_{witness_in_M}$: $w \in X(x) \implies M(x)$

and

lam_m_replacement: $M(f) \implies \text{strong_replacement}(M,$

$\lambda x y. y = \langle x, \mu i. x \in X(i), f^i (\mu i. x \in X(i))^i x \rangle$

and

inj_replacement:

$M(x) \implies \text{strong_replacement}(M, \lambda y z. y \in \text{inj}^M(X(x), K) \wedge z = \{\langle x, y \rangle\})$

$\text{strong_replacement}(M, \lambda x y. y = \text{inj}^M(X(x), K))$

$\text{strong_replacement}(M,$

$\lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(X(i), K)))$

$M(r) \implies \text{strong_replacement}(M,$

$\lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(X(x), K)) \rangle)$

begin

lemma *UN_closed*: $M(\bigcup_{i \in K} X(i))$
 $\langle proof \rangle$

Kunen's Lemma 10.21

lemma *cardinal_rel_UN_le*:

assumes $K: \text{InfCard}^M(K)$

shows $(\bigwedge i. i \in K \implies |X(i)|^M \leq K) \implies |\bigcup_{i \in K} X(i)|^M \leq K$

$\langle proof \rangle$

end — *M_cardinal_UN*

end

25 Relativization of Finite Functions

theory *FiniteFun_Relative*

```

imports
  Lambda_Replacement
begin

lemma FiniteFunI :
  assumes f ∈ Fin(A × B) function(f)
  shows f ∈ A -||> B
  ⟨proof⟩

25.1 The set of finite binary sequences

We implement the poset for adding one Cohen real, the set  $2^{<\omega}$  of finite
binary sequences.

definition
  seqspace :: [i,i] ⇒ i (⟨_ <-> [100,1] 100) where
     $B^{<\alpha} \equiv \bigcup_{n \in \alpha} (n \rightarrow B)$ 

lemma seqspaceI[intro]:  $n \in \alpha \implies f : n \rightarrow B \implies f \in B^{<\alpha}$ 
  ⟨proof⟩

lemma seqspaceD[dest]:  $f \in B^{<\alpha} \implies \exists n \in \alpha. f : n \rightarrow B$ 
  ⟨proof⟩

locale M_pre_seqs = M_trancl + M_replacement + M_Pi
begin

lemma function_space_subset_Pow_rel:
  assumes  $n \in \omega$  M(B)
  shows  $n \rightarrow B \subseteq \text{Pow}^M(\bigcup(\omega \rightarrow^M B))$ 
  ⟨proof⟩

lemma seqspace_subset_Pow_rel:
  assumes M(B)
  shows  $B^{<\omega} \subseteq \text{Pow}^M(\bigcup(\omega \rightarrow^M B))$ 
  ⟨proof⟩

lemma seqspace_imp_M:
  assumes  $x \in B^{<\omega}$  M(B)
  shows M(x)
  ⟨proof⟩

lemma seqspace_eq_Collect:
  assumes M(B)
  shows  $B^{<\omega} = \{z \in \text{Pow}^M(\bigcup(\omega \rightarrow^M B)). \exists x[M]. \exists n[M]. n \in \omega \wedge z \in x \wedge x = n \rightarrow^M B\}$ 
  ⟨proof⟩

end — M_pre_seqs

```

```

locale M_seqsphere = M_pre_seqsphere +
assumes
  seqspace_separation:  $M(B) \implies \text{separation}(M, \lambda z. \exists x[M]. \exists n[M]. n \in \omega \wedge z \in x \wedge x = n \rightarrow^M B)$ 
begin

lemma seqspace_closed:
   $M(B) \implies M(B^{<\omega})$ 
   $\langle \text{proof} \rangle$ 

end — M_seqsphere

```

25.2 Representation of finite functions

A function $f \in A \rightarrow_{fin} B$ can be represented by a function $g \in |f| \rightarrow A \times B$. It is clear that f can be represented by any $g' = g \cdot \pi$, where π is a permutation $\pi \in \text{dom}(g) \rightarrow \text{dom}(g)$. We use this representation of $A \rightarrow_{fin} B$ to prove that our model is closed under $_ \rightarrow_{fin} _$.

A function $g \in n \rightarrow A \times B$ that is functional in the first components.

```

definition cons_like ::  $i \Rightarrow o$  where
   $\text{cons\_like}(f) \equiv \forall i \in \text{domain}(f) . \forall j \in i . \text{fst}(f^i) \neq \text{fst}(f^j)$ 

```

```

definition FiniteFun_iso ::  $[i,i,i,i,i] \Rightarrow o$  where
   $\text{FiniteFun\_iso}(A,B,n,g,f) \equiv (\forall i \in n . g^i \in f) \wedge (\forall ab \in f. (\exists i \in n. g^i = ab))$ 

```

From a function $g \in n \rightarrow A \times B$ we obtain a finite function in $A \dashv\vdash B$.

```

definition to_FiniteFun ::  $i \Rightarrow i$  where
   $\text{to\_FiniteFun}(f) \equiv \{f^i . i \in \text{domain}(f)\}$ 

```

```

definition FiniteFun_Repr ::  $[i,i] \Rightarrow i$  where
   $\text{FiniteFun\_Repr}(A,B) \equiv \{f \in (A \times B)^{<\omega} . \text{cons\_like}(f)\}$ 

```

```

locale M_FiniteFun = M_seqsphere +
assumes
  separation_is_function :  $\text{separation}(M, \text{is\_function}(M))$ 
begin

```

```

lemma cons_like_separation :  $\text{separation}(M, \lambda f. \text{cons\_like}(f))$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma supset_separation:  $\text{separation}(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge b \subseteq a)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma to_finiteFun_replacement:  $\text{strong\_replacement}(M, \lambda x y. y = \text{range}(x))$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma fun_range_eq:  $f \in A \rightarrow B \implies \{f^i . i \in \text{domain}(f)\} = \text{range}(f)$ 

```

$\langle proof \rangle$

lemma *FiniteFun_fst_type*:

assumes $h \in A -\sqcup B$ $p \in h$
shows $\text{fst}(p) \in \text{domain}(h)$

$\langle proof \rangle$

lemma *FinFun_closed*:

$M(A) \implies M(B) \implies M(\bigcup \{n \rightarrow A \times B . n \in \omega\})$

$\langle proof \rangle$

lemma *cons_like_lt* :

assumes $n \in \omega$ $f \in \text{succ}(n) \rightarrow A \times B$ *cons_like*(f)
shows $\text{restrict}(f, n) \in n \rightarrow A \times B$ *cons_like*($\text{restrict}(f, n)$)

$\langle proof \rangle$

A finite function $f \in A -\sqcup B$ can be represented by a function $g \in n \rightarrow A \times B$, with $n = |f|$.

lemma *FiniteFun_iso_intro1*:

assumes $f \in (A -\sqcup B)$
shows $\exists n \in \omega . \exists g \in n \rightarrow A \times B . \text{FiniteFun_iso}(A, B, n, g, f) \wedge \text{cons_like}(g)$

$\langle proof \rangle$

All the representations of $f \in A -\sqcup B$ are equal.

lemma *FiniteFun_isoD*:

assumes $n \in \omega$ $g \in n \rightarrow A \times B$ $f \in A -\sqcup B$ *FiniteFun_iso*(A, B, n, g, f)
shows *to_FiniteFun*(g) = f

$\langle proof \rangle$

lemma *to_FiniteFun_succ_eq*:

assumes $n \in \omega$ $f \in \text{succ}(n) \rightarrow A$
shows *to_FiniteFun*(f) = *cons*($f`n, \text{to_FiniteFun}(\text{restrict}(f, n))$)

$\langle proof \rangle$

If $g \in n \rightarrow A \times B$ is *cons_like*, then it is a representation of *to_FiniteFun*(g).

lemma *FiniteFun_iso_intro_to*:

assumes $n \in \omega$ $g \in n \rightarrow A \times B$ *cons_like*(g)
shows *to_FiniteFun*(g) $\in (A -\sqcup B) \wedge \text{FiniteFun_iso}(A, B, n, g, \text{to_FiniteFun}(g))$

$\langle proof \rangle$

lemma *FiniteFun_iso_intro2*:

assumes $n \in \omega$ $f \in n \rightarrow A \times B$ *cons_like*(f)
shows $\exists g \in (A -\sqcup B) . \text{FiniteFun_iso}(A, B, n, f, g)$

$\langle proof \rangle$

lemma *FiniteFun_eq_range_Repr*:

shows $\{\text{range}(h) . h \in \text{FiniteFun_Repr}(A, B)\} = \{\text{to_FiniteFun}(h) . h \in \text{FiniteFun_Repr}(A, B)\}$

$\langle proof \rangle$

```

lemma FiniteFun_eq_to_FiniteFun_Repr :
  shows A-||>B = {to_FiniteFun(h) . h ∈ FiniteFun_Repr(A,B) }
    (is ?Y=?X)
  ⟨proof⟩

lemma FiniteFun_Repr_closed :
  assumes M(A) M(B)
  shows M(FiniteFun_Repr(A,B))
  ⟨proof⟩

lemma to_FiniteFun_closed:
  assumes M(A) f∈A
  shows M(range(f))
  ⟨proof⟩

lemma To_FiniteFun_Repr_closed :
  assumes M(A) M(B)
  shows M({range(h) . h ∈ FiniteFun_Repr(A,B) })
  ⟨proof⟩

lemma FiniteFun_closed[intro,simp] :
  assumes M(A) M(B)
  shows M(A -||> B)
  ⟨proof⟩

end — M_FiniteFun

end

```

26 Library of basic ZF results

```

theory ZF_Library_Relative
imports
  Aleph_Relative — must be before Transitive_Models.Cardinal_AC_Relative!
  Cardinal_AC_Relative
  FiniteFun_Relative
begin

locale M_Pi_assumption_repl = M_Pi_replacement +
  fixes A f
  assumes A_in_M: M(A) and
  f_repl : lam_replacement(M,f) and
  f_closed : ∀x[M]. M(f(x))
begin

sublocale M_Pi_assumptions M A f
  ⟨proof⟩

```

```

end — M_Pi_assumption_repl

no_notation sum (infixr  $\leftrightarrow$  65)
notation oadd (infixl  $\leftrightarrow$  65)

lemma (in M_cardinal_arith_jump) csucc_rel_cardinal_rel:
  assumes Ord( $\kappa$ ) M( $\kappa$ )
  shows  $(|\kappa|^{M^+})^M = (\kappa^+)^M$ 
  {proof}

lemma (in M_cardinal_arith_jump) csucc_rel_le_mono:
  assumes  $\kappa \leq \nu$  M( $\kappa$ ) M( $\nu$ )
  shows  $(\kappa^+)^M \leq (\nu^+)^M$ 
  {proof}

lemma (in M_cardinal_AC) cardinal_rel_succ_not_0:  $|A|^M = \text{succ}(n) \implies M(A) \implies M(n) \implies A \neq 0$ 
  {proof}

```

$\langle ML \rangle$

```

notation Finite_to_one_rel (Finite'_to'_one-'(_,_'))

abbreviation
  Finite_to_one_r_set ::  $[i, i, i] \Rightarrow i$  (Finite'_to'_one-'(_,_')) where
     $\text{Finite\_to\_one}^M(X, Y) \equiv \text{Finite\_to\_one\_rel}(\#M, X, Y)$ 

locale M_ZF_library = M_aleph + M_FiniteFun
begin

lemma Finite_Collect_imp:  $\text{Finite}(\{x \in X . Q(x)\}) \implies \text{Finite}(\{x \in X . M(x) \wedge Q(x)\})$ 
  (is Finite(?A)  $\implies$  Finite(?B))
  {proof}

lemma Finite_to_one_relI[intro]:
  assumes  $f : X \rightarrow^M Y \wedge y : Y \implies \text{Finite}(\{x \in X . f^x = y\})$ 
  and types:  $M(f)$  M(X) M(Y)
  shows  $f \in \text{Finite\_to\_one}^M(X, Y)$ 
  {proof}

lemma Finite_to_one_relI'[intro]:
  assumes  $f : X \rightarrow^M Y \wedge y : Y \implies \text{Finite}(\{x \in X . M(x) \wedge f^x = y\})$ 
  and types:  $M(f)$  M(X) M(Y)
  shows  $f \in \text{Finite\_to\_one}^M(X, Y)$ 
  {proof}

```

```

lemma Finite_to_one_reld[dest]:
   $f \in \text{Finite\_to\_one}^M(X, Y) \implies f : X \rightarrow^M Y$ 
   $f \in \text{Finite\_to\_one}^M(X, Y) \implies \exists y \in Y \implies M(Y) \implies \text{Finite}(\{x \in X . M(x) \wedge f'x = y\})$ 
   $\langle proof \rangle$ 

lemma Diff_bij_rel:
  assumes  $\forall A \in F. X \subseteq A$ 
  and types:  $M(F)$   $M(X)$  shows  $(\lambda A \in F. A - X) \in \text{bij}^M(F, \{A - X . A \in F\})$ 
   $\langle proof \rangle$ 

lemma function_space_rel_nonempty:
  assumes  $b \in B$  and types:  $M(B)$   $M(A)$ 
  shows  $(\lambda x \in A. b) : A \rightarrow^M B$ 
   $\langle proof \rangle$ 

lemma mem_function_space_rel:
  assumes  $f \in A \rightarrow^M y$   $M(A)$   $M(y)$ 
  shows  $f \in A \rightarrow y$ 
   $\langle proof \rangle$ 

lemmas range_fun_rel_subset_codomain = range_fun_subset_codomain[OF mem_function_space_rel]

end — M_ZF_library

context M_Pi_assumptions
begin

lemma mem_Pi_rel:  $f \in \text{Pi}^M(A, B) \implies f \in \text{Pi}(A, B)$ 
   $\langle proof \rangle$ 

lemmas Pi_rel_rangeD = Pi_rangeD[OF mem_Pi_rel]

lemmas rel_apply_Pair = apply_Pair[OF mem_Pi_rel]

lemmas rel_apply_rangeI = apply_rangeI[OF mem_Pi_rel]

lemmas Pi_rel_range_eq = Pi_range_eq[OF mem_Pi_rel]

lemmas Pi_rel_vimage_subset = Pi_vimage_subset[OF mem_Pi_rel]

end — M_Pi_assumptions

context M_ZF_library
begin

lemmas rel_apply_in_range = apply_in_codomain_Ord[OF mem_function_space_rel]

```

```

lemmas rel_range_eq_image = ZF_Library.range_eq_image[OF mem_function_space_rel]

lemmas rel_Image_sub_codomain = Image_sub_codomain[OF mem_function_space_rel]

lemma rel_inj_to_Image:  $\llbracket f:A \rightarrow^M B; f \in \text{inj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A,f``A)$ 
   $\langle \text{proof} \rangle$ 

lemma inj_rel_imp_surj_rel:
  fixes  $f b$ 
  defines [simp]:  $\text{ifx}(x) \equiv \text{if } x \in \text{range}(f) \text{ then } \text{converse}(f)`x \text{ else } b$ 
  assumes  $f \in \text{inj}^M(B,A)$   $b \in B$  and  $\text{types}: M(f) M(B) M(A)$ 
  shows  $(\lambda x \in A. \text{ifx}(x)) \in \text{surj}^M(A,B)$ 
   $\langle \text{proof} \rangle$ 

lemma function_space_rel_disjoint_Un:
  assumes  $f \in A \rightarrow^M B$   $g \in C \rightarrow^M D$   $A \cap C = \emptyset$ 
  and  $\text{types}: M(A) M(B) M(C) M(D)$ 
  shows  $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$ 
   $\langle \text{proof} \rangle$ 

lemma restrict_eq_imp_Un_into_function_space_rel:
  assumes  $f \in A \rightarrow^M B$   $g \in C \rightarrow^M D$   $\text{restrict}(f, A \cap C) = \text{restrict}(g, A \cap C)$ 
  and  $\text{types}: M(A) M(B) M(C) M(D)$ 
  shows  $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$ 
   $\langle \text{proof} \rangle$ 

lemma lepoll_relD[dest]:  $A \lesssim^M B \implies \exists f[M]. f \in \text{inj}^M(A, B)$ 
   $\langle \text{proof} \rangle$ 
lemma lepoll_relI[intro]:  $f \in \text{inj}^M(A, B) \implies M(f) \implies A \lesssim^M B$ 
   $\langle \text{proof} \rangle$ 

lemma eqpollD[dest]:  $A \approx^M B \implies \exists f[M]. f \in \text{bij}^M(A, B)$ 
   $\langle \text{proof} \rangle$ 
lemma bij_rel_imp_eqpoll_rel[intro]:  $f \in \text{bij}^M(A, B) \implies M(f) \implies A \approx^M B$ 
   $\langle \text{proof} \rangle$ 

lemma restrict_bij_rel:— Unused
  assumes  $f \in \text{inj}^M(A, B)$   $C \subseteq A$ 
  and  $\text{types}: M(A) M(B) M(C)$ 
  shows  $\text{restrict}(f, C) \in \text{bij}^M(C, f``C)$ 
   $\langle \text{proof} \rangle$ 

lemma range_of_subset_eqpoll_rel:
  assumes  $f \in \text{inj}^M(X, Y)$   $S \subseteq X$ 
  and  $\text{types}: M(X) M(Y) M(S)$ 
  shows  $S \approx^M f `` S$ 
   $\langle \text{proof} \rangle$ 

```

```

lemmas inj_rel_is_fun = inj_is_fun[OF mem_inj_rel]

lemma inj_rel_bij_rel_range:  $f \in \text{inj}^M(A,B) \implies M(A) \implies M(B) \implies f \in \text{bij}^M(A,\text{range}(f))$ 
   $\langle \text{proof} \rangle$ 

lemma bij_rel_is_inj_rel:  $f \in \text{bij}^M(A,B) \implies M(A) \implies M(B) \implies f \in \text{inj}^M(A,B)$ 
   $\langle \text{proof} \rangle$ 

lemma inj_rel_weaken_type: [|  $f \in \text{inj}^M(A,B); B \subseteq D; M(A); M(B); M(D) |]$ 
   $\implies f \in \text{inj}^M(A,D)$ 
   $\langle \text{proof} \rangle$ 

lemma bij_rel_converse_bij_rel [TC]:  $f \in \text{bij}^M(A,B) \implies M(A) \implies M(B) \implies \text{converse}(f): \text{bij}^M(B,A)$ 
   $\langle \text{proof} \rangle$ 

lemma bij_rel_is_fun_rel:  $f \in \text{bij}^M(A,B) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$ 
   $\langle \text{proof} \rangle$ 

lemmas bij_rel_is_fun = bij_rel_is_fun_rel[THEN mem_function_space_rel]

lemma comp_bij_rel:
   $g \in \text{bij}^M(A,B) \implies f \in \text{bij}^M(B,C) \implies M(A) \implies M(B) \implies M(C) \implies (f \circ g)$ 
   $\in \text{bij}^M(A,C)$ 
   $\langle \text{proof} \rangle$ 

lemma inj_rel_converse_fun:  $f \in \text{inj}^M(A,B) \implies M(A) \implies M(B) \implies \text{converse}(f) \in \text{range}(f) \rightarrow^M A$ 
   $\langle \text{proof} \rangle$ 

lemma fg_imp_bijection_rel:
  assumes  $f \in A \rightarrow^M B$   $g \in B \rightarrow^M A$   $f \circ g = \text{id}(B)$   $g \circ f = \text{id}(A)$   $M(A)$   $M(B)$ 
  shows  $f \in \text{bij}^M(A,B)$ 
   $\langle \text{proof} \rangle$ 

end — M_ZF_library

### 26.1 Discipline for cexp



$\langle ML \rangle$



context M_ZF_library  

begin



$\langle ML \rangle$   

 $\langle \text{proof} \rangle$



$\langle ML \rangle \langle \text{proof} \rangle$


```

end — *M_ZF_library*

$\langle ML \rangle$

notation *is_cexp_fm* ($\cdot \uparrow -$ *is_* $\cdot \cdot \cdot$)
 $\langle ML \rangle$

abbreviation

cexp_r :: $[i, i, i \Rightarrow o] \Rightarrow i \ (\cdot \uparrow - \cdot \cdot \cdot)$ **where**
 $cexp_r(x, y, M) \equiv cexp_rel(M, x, y)$

abbreviation

cexp_r_set :: $[i, i, i] \Rightarrow i \ (\cdot \uparrow - \cdot \cdot \cdot)$ **where**
 $cexp_r_set(x, y, M) \equiv cexp_rel(\#M, x, y)$

context *M_ZF_library*

begin

lemma *Card_rel_cexp_rel*: $M(\kappa) \implies M(\nu) \implies Card^M(\kappa^{\uparrow\nu, M})$
 $\langle proof \rangle$

declare *conj_cong*[*cong*]

lemma *eq_csucc_rel_ord*:
 $Ord(i) \implies M(i) \implies (i^+)^M = (|i|^{M+})^M$
 $\langle proof \rangle$

lemma *lesspoll_succ_rel*:
assumes $Ord(\kappa) M(\kappa)$
shows $\kappa \lesssim^M (\kappa^+)^M$
 $\langle proof \rangle$

lemma *lesspoll_rel_csucc_rel*:
assumes $Ord(\kappa)$
and $types: M(\kappa) M(d)$
shows $d \prec^M (\kappa^+)^M \longleftrightarrow d \lesssim^M \kappa$
 $\langle proof \rangle$

lemma *Infinite_imp_nats_lepoll*:
assumes *Infinite(X)* $n \in \omega$
shows $n \lesssim X$
 $\langle proof \rangle$

lemma *nepoll_imp_nepoll_rel* :
assumes $\neg x \approx X M(x) M(X)$
shows $\neg (x \approx^M X)$
 $\langle proof \rangle$

lemma *Infinite_imp_nats_lepoll_rel*:
assumes *Infinite(X)* $n \in \omega$

```

and types:  $M(X)$ 
shows  $n \lesssim^M X$ 
 $\langle proof \rangle$ 

lemma lepoll_rel_imp_lepoll:  $A \lesssim^M B \implies M(A) \implies M(B) \implies A \lesssim B$ 
 $\langle proof \rangle$ 

lemma zero_lesspoll_rel: assumes  $0 < \kappa$   $M(\kappa)$  shows  $0 \prec^M \kappa$ 
 $\langle proof \rangle$ 

lemma lepoll_rel_nat_imp_Infinite:  $\omega \lesssim^M X \implies M(X) \implies Infinite(X)$ 
 $\langle proof \rangle$ 

lemma InfCard_rel_imp_Infinite:  $InfCard^M(\kappa) \implies M(\kappa) \implies Infinite(\kappa)$ 
 $\langle proof \rangle$ 

lemma lt_surj_rel_empty_imp_Card_rel:
assumes  $Ord(\kappa) \wedge \alpha. \alpha < \kappa \implies surj^M(\alpha, \kappa) = 0$ 
and types:  $M(\kappa)$ 
shows  $Card^M(\kappa)$ 
 $\langle proof \rangle$ 

end — M_ZF_library

 $\langle ML \rangle$ 

notation mono_map_rel ( $\langle mono'_{\text{map}}'(\_, \_, \_, \_) \rangle$ )

abbreviation
mono_map_r_set ::  $[i, i, i, i] \Rightarrow i$  ( $\langle mono'_{\text{map}}'(\_, \_, \_, \_) \rangle$ ) where
 $mono_{\text{map}}^M(a, r, b, s) \equiv mono_{\text{map}}_{\text{rel}}(\#M, a, r, b, s)$ 

context M_ZF_library
begin

lemma mono_map_rel_char:
assumes  $M(a) M(b)$ 
shows  $mono_{\text{map}}^M(a, r, b, s) = \{f \in mono_{\text{map}}(a, r, b, s) . M(f)\}$ 
 $\langle proof \rangle$ 

Just a sample of porting results on mono_map

lemma mono_map_rel_mono:
assumes
 $f \in mono_{\text{map}}^M(A, r, B, s)$   $B \subseteq C$ 
and types:  $M(A) M(B) M(C)$ 
shows
 $f \in mono_{\text{map}}^M(A, r, C, s)$ 
 $\langle proof \rangle$ 

```

```

lemma nats_le_InfCard_rel:
  assumes  $n \in \omega$  InfCard $^M(\kappa)$ 
  shows  $n \leq \kappa$ 
  ⟨proof⟩

lemma nat_into_InfCard_rel:
  assumes  $n \in \omega$  InfCard $^M(\kappa)$ 
  shows  $n \in \kappa$ 
  ⟨proof⟩

lemma Finite_lesspoll_rel_nat:
  assumes Finite( $x$ )  $M(x)$ 
  shows  $x \prec^M \text{nat}$ 
  ⟨proof⟩

lemma Finite_cardinal_rel_in_nat [simp]:
  assumes Finite( $A$ )  $M(A)$  shows  $|A|^M \in \omega$ 
  ⟨proof⟩

lemma Finite_cardinal_rel_eq_cardinal:
  assumes Finite( $A$ )  $M(A)$  shows  $|A|^M = |A|$ 
  ⟨proof⟩

lemma Finite_imp_cardinal_rel_cons:
  assumes FA: Finite( $A$ ) and  $a: a \notin A$  and types: $M(A)$   $M(a)$ 
  shows  $|\text{cons}(a, A)|^M = \text{succ}(|A|^M)$ 
  ⟨proof⟩

lemma Finite_imp_succ_cardinal_rel_Diff:
  assumes Finite( $A$ )  $a \in A$   $M(A)$ 
  shows  $\text{succ}(|A - \{a\}|^M) = |A|^M$ 
  ⟨proof⟩

lemma InfCard_rel_Aleph_rel:
  notes Aleph_rel_zero[simp]
  assumes Ord( $\alpha$ )
    and types:  $M(\alpha)$ 
  shows InfCard $^M(\aleph_\alpha^M)$ 
  ⟨proof⟩

lemmas Limit_Aleph_rel = InfCard_rel_Aleph_rel[THEN InfCard_rel_is_Limit]

bundle Ord_dests = Limit_is_Ord[dest] Card_rel_is_Ord[dest]
bundle Aleph_rel_dests = Aleph_rel_cont[dest]
bundle Aleph_rel_intros = Aleph_rel_increasing[intro!]
bundle Aleph_rel_mem_dests = Aleph_rel_increasing[OF ltI, THEN ltD, dest]

lemma f_imp_injective_rel:
  assumes  $f \in A \rightarrow^M B \forall x \in A. d(f \cdot x) = x$   $M(A)$   $M(B)$ 

```

shows $f \in \text{inj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma lam_injective_rel :

assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge x. x \in A \implies d(c(x)) = x$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) M(B)$
shows $(\lambda x \in A. c(x)) \in \text{inj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma $f_imp_surjective_rel$:

assumes $f \in A \rightarrow^M B \quad \bigwedge y. y \in B \implies d(y) \in A \quad \bigwedge y. y \in B \implies f \cdot d(y) = y$
 $M(A) M(B)$
shows $f \in \text{surj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_surjective_rel}$:

assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge y. y \in B \implies d(y) \in A$
 $\bigwedge y. y \in B \implies c(d(y)) = y$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) M(B)$
shows $(\lambda x \in A. c(x)) \in \text{surj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma lam_bijective_rel :

assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge y. y \in B \implies d(y) \in A$
 $\bigwedge x. x \in A \implies d(c(x)) = x$
 $\bigwedge y. y \in B \implies c(d(y)) = y$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) M(B)$
shows $(\lambda x \in A. c(x)) \in \text{bij}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma $\text{function_space_rel_eqpoll_rel_cong}$:

assumes
 $A \approx^M A' \quad B \approx^M B' \quad M(A) M(A') M(B) M(B')$
shows
 $A \rightarrow^M B \approx^M A' \rightarrow^M B'$
 $\langle \text{proof} \rangle$

lemma curry_eqpoll_rel :

fixes $\nu 1 \nu 2 \kappa$
assumes $M(\nu 1) M(\nu 2) M(\kappa)$
shows $\nu 1 \rightarrow^M (\nu 2 \rightarrow^M \kappa) \approx^M \nu 1 \times \nu 2 \rightarrow^M \kappa$
 $\langle \text{proof} \rangle$

```

lemma Pow_rel_eqpoll_rel_function_space_rel:
  fixes d X
  notes bool_of_o_def [simp]
  defines [simp]:d(A) ≡ (λx∈X. bool_of_o(x∈A))
    — the witnessing map for the thesis:
  assumes M(X)
  shows PowM(X) ≈M X →M 2
  ⟨proof⟩

lemma Pow_rel_bottom: M(B) ⇒ 0 ∈ PowM(B)
  ⟨proof⟩

lemma cantor_surj_rel:
  assumes M(f) M(A)
  shows f ∉ surjM(A, PowM(A))
  ⟨proof⟩

lemma cantor_inj_rel: M(f) ⇒ M(A) ⇒ f ∉ injM(PowM(A), A)
  ⟨proof⟩

end — M_ZF_library

```

end

27 Lambda-replacements required for cardinal inequalities

```

theory Replacement_Lepoll
  imports
    ZF_Library_Relative
  begin

  definition
    lepoll_assumptions1 :: [i⇒o,i,[i,i]⇒i,i,i,i,i,i] ⇒ o where
      lepoll_assumptions1(M,A,F,S,fa,K,x,f,r) ≡ ∀x∈S. strong_replacement(M, λy
      z. y ∈ F(A, x) ∧ z = {⟨x, y⟩})

  definition
    lepoll_assumptions2 :: [i⇒o,i,[i,i]⇒i,i,i,i,i,i] ⇒ o where
      lepoll_assumptions2(M,A,F,S,fa,K,x,f,r) ≡ strong_replacement(M, λx z. z =
      Sigfun(x, F(A)))

  definition
    lepoll_assumptions3 :: [i⇒o,i,[i,i]⇒i,i,i,i,i,i] ⇒ o where
      lepoll_assumptions3(M,A,F,S,fa,K,x,f,r) ≡ strong_replacement(M, λx y. y =
      F(A, x))

  definition

```

lepoll_assumptions4 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions4}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda x. y. y = \langle x, \text{minimum}(r, F(A, x)) \rangle)$

definition

lepoll_assumptions5 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions5}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda x. y. y = \langle x, \mu i. x \in F(A, i), f^i (\mu i. x \in F(A, i))^i x \rangle)$

definition

lepoll_assumptions6 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions6}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda y. z. y \in \text{inj}^M(F(A, x), S) \wedge z = \{\langle x, y \rangle\})$

definition

lepoll_assumptions7 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions7}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda x. y. y = \text{inj}^M(F(A, x), S))$

definition

lepoll_assumptions8 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions8}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda x. z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(F(A, i), S)))$

definition

lepoll_assumptions9 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions9}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda x. y. y = \langle x, \text{minimum}(r, \text{inj}^M(F(A, x), S)) \rangle)$

definition

lepoll_assumptions10 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions10}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}$
 $(M, \lambda x. z. z = \text{Sigfun}(x, \lambda k. \text{if } k \in \text{range}(f) \text{ then } F(A, \text{converse}(f)^i k) \text{ else } 0))$

definition

lepoll_assumptions11 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions11}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda x. y. y = (\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f)^i x) \text{ else } 0))$

definition

lepoll_assumptions12 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions12}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}(M, \lambda y. z. y \in F(A, \text{converse}(f)^i x) \wedge z = \{\langle x, y \rangle\})$

definition

lepoll_assumptions13 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $\text{lepoll_assumptions13}(M, A, F, S, fa, K, x, f, r) \equiv \text{strong_replacement}$
 $(M, \lambda x. y. y = \langle x, \text{minimum}(r, \text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f)^i x) \text{ else } 0) \rangle)$

else 0))

definition

lepoll_assumptions14 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions14($M, A, F, S, fa, K, x, f, r$) \equiv *strong_replacement*
 $(M, \lambda x. y. y = \langle x, \mu i. x \in (\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \setminus i) \text{ else } 0),$
 $fa \setminus (\mu i. x \in (\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \setminus i) \text{ else } 0)) \setminus x\rangle)$

definition

lepoll_assumptions15 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions15($M, A, F, S, fa, K, x, f, r$) \equiv *strong_replacement*
 $(M, \lambda y z. y \in \text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \setminus x) \text{ else } 0, K) \wedge$
 $z = \{\langle x, y \rangle\})$

definition

lepoll_assumptions16 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions16($M, A, F, S, fa, K, x, f, r$) \equiv *strong_replacement*($M, \lambda x y. y =$
 $\text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \setminus x) \text{ else } 0, K)$)

definition

lepoll_assumptions17 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions17($M, A, F, S, fa, K, x, f, r$) \equiv *strong_replacement*
 $(M, \lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \setminus i) \text{ else } 0, K)))$

definition

lepoll_assumptions18 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions18($M, A, F, S, fa, K, x, f, r$) \equiv *strong_replacement*
 $(M, \lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \setminus x) \text{ else } 0, K)))$

lemmas *lepoll_assumptions_defs[simp]* = *lepoll_assumptions1_def*
lepoll_assumptions2_def *lepoll_assumptions3_def* *lepoll_assumptions4_def*
lepoll_assumptions5_def *lepoll_assumptions6_def* *lepoll_assumptions7_def*
lepoll_assumptions8_def *lepoll_assumptions9_def* *lepoll_assumptions10_def*
lepoll_assumptions11_def *lepoll_assumptions12_def* *lepoll_assumptions13_def*
lepoll_assumptions14_def *lepoll_assumptions15_def* *lepoll_assumptions16_def*
lepoll_assumptions17_def *lepoll_assumptions18_def*

definition *if_range_F where*

[simp]: if_range_F(H,f,i) \equiv if $i \in \text{range}(f)$ then $H(\text{converse}(f) \setminus i)$ else 0

definition *if_range_F_else_F where*

*if_range_F_else_F(H,b,f,i) \equiv if $b=0$ then *if_range_F(H,f,i)* else $H(i)$*

lemma (in M_{basic}) *lam_Least_assumption_general:*
assumes

separations:
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), b, f, i) \rangle)$
and
 $\text{mem_} F \text{ bound}: \wedge x c. x \in F(A, c) \implies c \in \text{range}(f) \cup U(A)$
and
 $\text{types: } M(A) M(b) M(f) M(U(A))$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), b, f, i))$
 $\langle \text{proof} \rangle$

lemma (in M_{basic}) $\text{lam_Least_assumption_ifM_b0:}$
fixes F
defines $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$
assumes
separations:
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), 0, f, i) \rangle)$
and
 $\text{types: } M(A) M(f)$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), 0, f, i))$
 $\quad (\text{is } \text{lam_replacement}(M, \lambda x . \text{Least}(\text{?P}(x))))$
 $\langle \text{proof} \rangle$

lemma (in $M_{\text{replacement}}$) $\text{lam_Least_assumption_ifM_bnot0:}$
fixes F
defines $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$
assumes
 $\text{lam_replacement_minimum: } \text{lam_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$
and
separations:
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), b, f, i) \rangle)$
 $\quad \text{separation}(M, \text{Ord})$
and
 $\text{types: } M(A) M(f)$
and
 $b \neq 0$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), b, f, i))$
 $\quad (\text{is } \text{lam_replacement}(M, \lambda x . \text{Least}(\text{?P}(x))))$
 $\langle \text{proof} \rangle$

lemma (in $M_{\text{replacement}}$) $\text{lam_Least_assumption_drSR_Y:}$
fixes $F r' D$
defines $F \equiv \text{drSR_} Y(r', D)$
assumes $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), b, f, i) \rangle)$
 $M(A) M(b) M(f) M(r')$
and
 $\text{lam_replacement_minimum: } \text{lam_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_} F \text{ else_} F(F(A), b, f, i))$
 $\langle \text{proof} \rangle$

locale $M_{\text{replacement}}_{\text{lepoll}} = M_{\text{replacement}} + M_{\text{inj}} +$

```

fixes F
assumes
  F_type[simp]:  $M(A) \implies \forall x[M]. M(F(A,x))$ 
  and
  lam_lepoll_assumption_F: $M(A) \implies \text{lam\_replacement}(M,F(A))$ 
  and
  — Here b is a Boolean.
  lam_Least_assumption: $M(A) \implies M(b) \implies M(f) \implies$ 
    lam_replacement( $M, \lambda x . \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i)$ )
  and
  F_args_closed: $M(A) \implies M(x) \implies x \in F(A,i) \implies M(i)$ 
  and
  lam_replacement_inj_rel: $\text{lam\_replacement}(M, \lambda p. \text{inj}^M(\text{fst}(p), \text{snd}(p)))$ 
  and
  lam_replacement_minimum: $\text{lam\_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$ 
begin

declare if_range_F_else_F_def[simp]

lemma lepoll_assumptions1:
  assumes types[simp]: $M(A) M(S)$ 
  shows lepoll_assumptions1( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemma lepoll_assumptions2:
  assumes types[simp]: $M(A) M(S)$ 
  shows lepoll_assumptions2( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemma lepoll_assumptions3:
  assumes types[simp]: $M(A)$ 
  shows lepoll_assumptions3( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemma lepoll_assumptions4:
  assumes types[simp]: $M(A) M(r)$ 
  shows lepoll_assumptions4( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemma lam_Least_closed :
  assumes  $M(A) M(b) M(f)$ 
  shows  $\forall x[M]. M(\mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$ 
  ⟨proof⟩

lemma lepoll_assumptions5:
  assumes
    types[simp]: $M(A) M(f)$ 
  shows lepoll_assumptions5( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

```

```

lemma lepoll_assumptions6:
  assumes types[simp]: $M(A) M(S) M(x)$ 
  shows lepoll_assumptions6( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions7:
  assumes types[simp]: $M(A) M(S) M(x)$ 
  shows lepoll_assumptions7( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions8:
  assumes types[simp]: $M(A) M(S)$ 
  shows lepoll_assumptions8( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions9:
  assumes types[simp]: $M(A) M(S) M(r)$ 
  shows lepoll_assumptions9( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions10:
  assumes types[simp]: $M(A) M(f)$ 
  shows lepoll_assumptions10( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions11:
  assumes types[simp]: $M(A) M(f)$ 
  shows lepoll_assumptions11( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions12:
  assumes types[simp]: $M(A) M(x) M(f)$ 
  shows lepoll_assumptions12( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions13:
  assumes types[simp]: $M(A) M(r) M(f)$ 
  shows lepoll_assumptions13( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions14:
  assumes types[simp]: $M(A) M(f) M(fa)$ 
  shows lepoll_assumptions14( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

lemma lepoll_assumptions15:
  assumes types[simp]: $M(A) M(x) M(f) M(K)$ 
  shows lepoll_assumptions15( $M, A, F, S, fa, K, x, f, r$ )
  {proof}

```

```

⟨proof⟩

lemma lepoll_assumptions16:
  assumes types[simp]: $M(A) M(f) M(K)$ 
  shows lepoll_assumptions16( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemma lepoll_assumptions17:
  assumes types[simp]: $M(A) M(f) M(K)$ 
  shows lepoll_assumptions17( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemma lepoll_assumptions18:
  assumes types[simp]: $M(A) M(K) M(f) M(r)$ 
  shows lepoll_assumptions18( $M, A, F, S, fa, K, x, f, r$ )
  ⟨proof⟩

lemmas lepoll_assumptions = lepoll_assumptions1 lepoll_assumptions2
  lepoll_assumptions3 lepoll_assumptions4 lepoll_assumptions5
  lepoll_assumptions6 lepoll_assumptions7 lepoll_assumptions8
  lepoll_assumptions9 lepoll_assumptions10 lepoll_assumptions11
  lepoll_assumptions12 lepoll_assumptions13 lepoll_assumptions14
  lepoll_assumptions15 lepoll_assumptions16
  lepoll_assumptions17 lepoll_assumptions18

end —  $M\_replacement\_lepoll$ 

end

```

28 Cardinal Arithmetic under Choice

```

theory Cardinal_Library_Relative
  imports
    Replacement_Lepoll
  begin

  locale  $M\_library = M\_ZF\_library + M\_cardinal\_AC +$ 
  assumes
    separation_cardinal_rel_lesspoll_rel:  $M(\kappa) \implies separation(M, \lambda x . x \prec^M \kappa)$ 
  begin

  declare eqpoll_rel_refl [simp]

```

28.1 Miscellaneous

```

lemma cardinal_rel_RepFun_apply_le:
  assumes  $S \in A \rightarrow B$   $M(S) M(A) M(B)$ 
  shows  $|\{S'a . a \in A\}|^M \leq |A|^M$ 
  ⟨proof⟩

```

```

lemma cardinal_rel_RepFun_le:
  assumes lrf:lam_replacement(M,f) and f_closed: $\forall x[M]. M(f(x))$  and M(X)
  shows  $|\{f(x) . x \in X\}|^M \leq |X|^M$ 
  (proof)

lemma subset_imp_le_cardinal_rel:  $A \subseteq B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$ 
  (proof)

lemma lt_cardinal_rel_imp_not_subset:  $|A|^M < |B|^M \implies M(A) \implies M(B) \implies \neg B \subseteq A$ 
  (proof)

lemma cardinal_rel_lt_csucc_rel_iff:
  Card_rel(M,K)  $\implies M(K) \implies M(K') \implies |K'|^M < (K^+)^M \longleftrightarrow |K'|^M \leq K$ 
  (proof)

end — M_library

locale M_cardinal_UN_nat = M_cardinal_UN _  $\omega$  X for X
begin

lemma cardinal_rel_UN_le_nat:
  assumes  $\bigwedge i. i \in \omega \implies |X(i)|^M \leq \omega$ 
  shows  $|\bigcup_{i \in \omega} X(i)|^M \leq \omega$ 
  (proof)

end — M_cardinal_UN_nat

locale M_cardinal_UN_inj = M_library +
  j:M_cardinal_UN _ J +
  y:M_cardinal_UN _ K  $\lambda k. \text{if } k \in \text{range}(f) \text{ then } X(\text{converse}(f) ` k) \text{ else } 0$  for J K
  f +
assumes
  f_inj:  $f \in \text{inj\_rel}(M, J, K)$ 
begin

lemma inj_rel_imp_cardinal_rel_UN_le:
  notes [dest] = InfCard_is_Card Card_is_Ord
  fixes Y
  defines Y(k)  $\equiv$   $\text{if } k \in \text{range}(f) \text{ then } X(\text{converse}(f) ` k) \text{ else } 0$ 
  assumes InfCardM(K)  $\bigwedge i. i \in J \implies |X(i)|^M \leq K$ 
  shows  $|\bigcup_{i \in J} X(i)|^M \leq K$ 
  (proof)

end — M_cardinal_UN_inj

locale M_cardinal_UN_lepoll = M_library + M_replacement_lepoll _  $\lambda_. X +$ 

```

```

j:M_cardinal_UN _ J for J
begin

lemma lepoll_rel_imp_cardinal_rel_UN_le:
notes [dest] = InfCard_is_Card Card_is_Ord
assumes InfCardM(K) J  $\lesssim^M$  K  $\wedge i \in J \implies |X(i)|^M \leq K$ 
M(K)
shows  $|\bigcup_{i \in J} X(i)|^M \leq K$ 
⟨proof⟩

end — M_cardinal_UN_lepoll

context M_library
begin

lemma cardinal_rel_lt_csucc_rel_iff':
includes Ord_dests
assumes Card_rel(M,κ)
and types:M(κ) M(X)
shows  $\kappa < |X|^M \longleftrightarrow (\kappa^+)^M \leq |X|^M$ 
⟨proof⟩

lemma lepoll_rel_imp_subset_bij_rel:
assumes M(X) M(Y)
shows X  $\lesssim^M Y \longleftrightarrow (\exists Z[M]. Z \subseteq Y \wedge Z \approx^M X)$ 
⟨proof⟩

The following result proves to be very useful when combining cardinal_rel
and eqpoll_rel in a calculation.

lemma cardinal_rel_Card_rel_eqpoll_rel_iff:
Card_rel(M,κ)  $\implies M(\kappa) \implies M(X) \implies |X|^M = \kappa \longleftrightarrow X \approx^M \kappa$ 
⟨proof⟩

lemma lepoll_rel_imp_lepoll_rel_cardinal_rel:
assumes X  $\lesssim^M Y$  M(X) M(Y)
shows X  $\lesssim^M |Y|^M$ 
⟨proof⟩

lemma lepoll_rel_Un:
assumes InfCard_rel(M,κ) A  $\lesssim^M \kappa$  B  $\lesssim^M \kappa$  M(A) M(B) M(κ)
shows A ∪ B  $\lesssim^M \kappa$ 
⟨proof⟩

lemma cardinal_rel_Un_le:
assumes InfCard_rel(M,κ) |A|^M ≤ κ |B|^M ≤ κ M(κ) M(A) M(B)
shows |A ∪ B|^M ≤ κ
⟨proof⟩

lemma Finite_cardinal_rel_iff': M(i)  $\implies$  Finite(|i|^M)  $\longleftrightarrow$  Finite(i)

```

$\langle proof \rangle$

lemma *cardinal_rel_subset_of_Card_rel*:
 assumes $Card_rel(M, \gamma)$ $a \subseteq \gamma$ $M(a) M(\gamma)$
 shows $|a|^M < \gamma \vee |a|^M = \gamma$
 $\langle proof \rangle$

lemma *cardinal_rel_cases*:
 includes *Ord_dests*
 assumes $M(\gamma) M(X)$
 shows $Card_rel(M, \gamma) \implies |X|^M < \gamma \iff |X|^M \geq \gamma$
 $\langle proof \rangle$

end — *M_library*

28.2 Countable and uncountable sets

$\langle ML \rangle$

notation *countable_rel* ($\langle countable-'(\) \rangle$)

abbreviation
 countable_r_set :: $[i, i] \Rightarrow o (\langle countable-'(\) \rangle)$ **where**
 $countable^M(i) \equiv countable_rel(\#M, i)$

context *M_library*
begin

lemma *countableI[intro]*: $X \lesssim^M \omega \implies countable_rel(M, X)$
 $\langle proof \rangle$

lemma *countableD[dest]*: $countable_rel(M, X) \implies X \lesssim^M \omega$
 $\langle proof \rangle$

lemma *countable_rel_iff_cardinal_rel_le_nat*: $M(X) \implies countable_rel(M, X)$
 $\iff |X|^M \leq \omega$
 $\langle proof \rangle$

lemma *lepoll_rel_countable_rel*: $X \lesssim^M Y \implies countable_rel(M, Y) \implies M(X)$
 $\implies M(Y) \implies countable_rel(M, X)$
 $\langle proof \rangle$

lemma *surj_rel_countable_rel*:

$countable_rel(M, X) \implies f \in surj_rel(M, X, Y) \implies M(X) \implies M(Y) \implies M(f)$
 $\implies countable_rel(M, Y)$
 $\langle proof \rangle$

lemma *Finite_imp_countable_rel*: $Finite_rel(M, X) \implies M(X) \implies countable_rel(M, X)$
 $\langle proof \rangle$

```

end —  $M\_library$ 

lemma (in  $M\_cardinal\_UN\_lepoll$ )  $countable\_rel \ imp \ countable\_rel\_UN$ :
  assumes  $countable\_rel(M,J) \wedge \forall i. i \in J \implies countable\_rel(M,X(i))$ 
  shows  $countable\_rel(M, \bigcup_{i \in J} X(i))$ 
   $\langle proof \rangle$ 

locale  $M\_cardinal\_library = M\_library + M\_replacement +$ 
  assumes
     $lam\_replacement\_inj\_rel : lam\_replacement(M, \lambda x. inj^M(fst(x), snd(x)))$ 
  and
     $cardinal\_lib\_assms1 :$ 
       $M(A) \implies M(b) \implies M(f) \implies$ 
       $separation(M, \lambda y. \exists x \in A. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda x. if M(x) then x else 0, b, f, i) \rangle)$ 
  and
     $cardinal\_lib\_assms2 :$ 
       $M(A') \implies M(G) \implies M(b) \implies M(f) \implies$ 
       $separation(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda a. if M(a) then G'a else 0, b, f, i) \rangle)$ 
  and
     $cardinal\_lib\_assms3 :$ 
       $M(A') \implies M(b) \implies M(f) \implies M(F) \implies$ 
       $separation(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda a. if M(a) then F-'{a} else 0, b, f, i) \rangle)$ 
  and
     $cardinal\_rel\_separation :$ 
       $separation(M, \lambda \langle x, y \rangle. cardinal\_rel(M, x) = y)$ 
  and
     $separation\_cardinal\_rel\_lt :$ 
       $M(\gamma) \implies separation(M, \lambda Z. cardinal\_rel(M, Z) < \gamma)$ 

begin

lemma  $cdlt\_assms$ :  $M(x) \implies M(Q) \implies separation(M, \lambda a. \forall s \in x. \langle s, a \rangle \in Q)$ 
   $\langle proof \rangle$ 

lemma  $countable\_rel\_union\_countable\_rel$ :
  assumes  $\forall x. x \in C \implies countable\_rel(M, x)$   $countable\_rel(M, C) \ M(C)$ 
  shows  $countable\_rel(M, \bigcup C)$ 
   $\langle proof \rangle$ 

end —  $M\_cardinal\_library$ 

abbreviation
   $uncountable\_rel :: [i \Rightarrow o, i] \Rightarrow o$  where
     $uncountable\_rel(M, X) \equiv \neg countable\_rel(M, X)$ 

context  $M\_library$ 

```

```

begin

lemma uncountable_rel_iff_nat_lt_cardinal_rel:
   $M(X) \implies \text{uncountable\_rel}(M, X) \longleftrightarrow \omega < |X|^M$ 
  ⟨proof⟩

lemma uncountable_rel_not_empty: uncountable_rel(M, X)  $\implies X \neq 0$ 
  ⟨proof⟩

lemma uncountable_rel_imp_Infinite: uncountable_rel(M, X)  $\implies M(X) \implies \text{Infinite}(X)$ 
  ⟨proof⟩

lemma uncountable_rel_not_subset_countable_rel:
  assumes countable_rel(M, X) uncountable_rel(M, Y) M(X) M(Y)
  shows  $\neg (Y \subseteq X)$ 
  ⟨proof⟩

```

28.3 Results on Aleph_rels

```

lemma nat_lt_Aleph_rel1:  $\omega < \aleph_1^M$ 
  ⟨proof⟩

lemma zero_lt_Aleph_rel1:  $0 < \aleph_1^M$ 
  ⟨proof⟩

lemma le_Aleph_rel1_nat:  $M(k) \implies \text{Card\_rel}(M, k) \implies k < \aleph_1^M \implies k \leq \omega$ 
  ⟨proof⟩

lemma lesspoll_rel_Aleph_rel_succ:
  assumes Ord(α)
  and types:M(α) M(d)
  shows  $d \prec^M \aleph_{\text{succ}(\alpha)}^M \longleftrightarrow d \lesssim^M \aleph_\alpha^M$ 
  ⟨proof⟩

lemma cardinal_rel_Aleph_rel [simp]: Ord(α)  $\implies M(\alpha) \implies |\aleph_\alpha^M|^M = \aleph_\alpha^M$ 
  ⟨proof⟩

lemma Aleph_rel_lesspoll_rel_increasing:
  includes Aleph_rel_intros
  assumes M(b) M(a)
  shows  $a < b \implies \aleph_a^M \prec^M \aleph_b^M$ 
  ⟨proof⟩

lemma uncountable_rel_iff_subset_eqpoll_rel_Aleph_rel1:
  includes Ord_dests
  assumes M(X)
  notes Aleph_rel_zero[simp] Card_rel_nat[simp] Aleph_rel_succ[simp]
  shows uncountable_rel(M, X)  $\longleftrightarrow (\exists S[M]. S \subseteq X \wedge S \approx^M \aleph_1^M)$ 
  ⟨proof⟩

```

```

lemma UN_if_zero:  $M(K) \implies (\bigcup_{x \in K} \text{if } M(x) \text{ then } G[x] \text{ else } 0) = (\bigcup_{x \in K} G[x])$ 
fixes  $F G$ 
defines  $F \equiv \lambda x. \text{if } M(x) \text{ then } G[x] \text{ else } 0$ 
shows  $x \in F(A, c) \implies c \in (\text{range}(f) \cup \text{domain}(G))$ 
<proof>

lemma mem_F_bound1:
fixes  $F G$ 
defines  $F \equiv \lambda x. \text{if } M(x) \text{ then } G[x] \text{ else } 0$ 
shows  $x \in F(A, c) \implies c \in (\text{range}(f) \cup \text{domain}(G))$ 
<proof>

end — M_library

context M_cardinal_library
begin

lemma lt_Aleph_rel_imp_cardinal_rel_UN_le_nat:  $\text{function}(G) \implies \text{domain}(G) \leq^M \omega \implies \forall n \in \text{domain}(G). |G[n]|^M < \aleph_1^M \implies M(G) \implies |\bigcup_{n \in \text{domain}(G)} G[n]|^M \leq \omega$ 
<proof>

lemma Aleph_rel1_eq_cardinal_rel_vimage:  $f: \aleph_1^M \rightarrow^M \omega \implies \exists n \in \omega. |f^{-1}\{n\}|^M = \aleph_1^M$ 
<proof>

lemma eqpoll_rel_Aleph_rel1_cardinal_rel_vimage:
assumes  $Z \approx^M (\aleph_1^M)^M$ 
shows  $\exists n \in \omega. |f^{-1}\{n\}|^M = \aleph_1^M$ 
<proof>

end — M_cardinal_library

```

28.4 Applications of transfinite recursive constructions

```

locale M_cardinal_library_extra = M_cardinal_library +
assumes
  replacement_trans_apply_image:
   $M(f) \implies M(\beta) \implies \text{Ord}(\beta) \implies \text{strong\_replacement}(M, \lambda x y. x \in \beta \wedge y = \langle x, \text{transrec}(x, \lambda a g. f[g/a])))$ 
begin

lemma rec_constr_type_rel:
assumes  $f: \text{Pow\_rel}(M, G) \rightarrow^M G \quad \text{Ord}(\alpha) \quad M(G)$ 
shows  $M(\alpha) \implies \text{rec\_constr}(f, \alpha) \in G$ 
<proof>

lemma rec_constr_closed :
assumes  $f: \text{Pow\_rel}(M, G) \rightarrow^M G \quad \text{Ord}(\alpha) \quad M(G) \quad M(\alpha)$ 
shows  $M(\text{rec\_constr}(f, \alpha))$ 

```

$\langle proof \rangle$

```
lemma lambda_rec_constr_closed :
assumes Ord( $\gamma$ ) M( $\gamma$ ) M(f) f:Pow_rel(M,G) $\rightarrow^M$  G M(G)
shows M( $\lambda\alpha\in\gamma . rec\_constr(f,\alpha)$ )
⟨proof⟩
```

The next lemma is an application of recursive constructions. It works under the assumption that whenever the already constructed subsequence is small enough, another element can be added.

```
lemma bounded_cardinal_rel_selection:
includes Ord_dests
assumes
   $\bigwedge Z . |Z|^M < \gamma \implies Z \subseteq G \implies M(Z) \implies \exists a \in G . \forall s \in Z . \langle s, a \rangle \in Q . b \in G$ 
Card_rel(M, $\gamma$ )
  M(G) M(Q) M( $\gamma$ )
shows
   $\exists S[M] . S : \gamma \rightarrow^M G \wedge (\forall \alpha \in \gamma . \forall \beta \in \gamma . \alpha < \beta \longrightarrow \langle S'\alpha, S'\beta \rangle \in Q)$ 
⟨proof⟩
```

The following basic result can, in turn, be proved by a bounded-cardinal_rel selection.

```
lemma Infinite_iff_lepoll_rel_nat: M(Z)  $\implies$  Infinite(Z)  $\longleftrightarrow$   $\omega \lesssim^M Z$ 
⟨proof⟩
```

```
lemma Infinite_InfCard_rel_cardinal_rel: Infinite(Z)  $\implies$  M(Z)  $\implies$  InfCard_rel(M, |Z|^M)
⟨proof⟩
```

```
lemma (in M_trans) mem_F_bound2:
fixes F A
defines F  $\equiv \lambda x . if\ M(x) then A - ``\{x\} else 0$ 
shows x  $\in F(A, c) \implies c \in (range(f) \cup range(A))$ 
⟨proof⟩
```

```
lemma Finite_to_one_rel_surj_rel_imp_cardinal_rel_eq:
assumes F  $\in$  Finite_to_one_rel(M, Z, Y)  $\cap$  surj_rel(M, Z, Y) Infinite(Z) M(Z)
M(Y)
shows |Y|^M = |Z|^M
⟨proof⟩
```

```
lemma cardinal_rel_map_Un:
assumes Infinite(X) Finite(b) M(X) M(b)
shows  $|\{a \cup b . a \in X\}|^M = |X|^M$ 
⟨proof⟩
```

end — M_cardinal_library_extra

```
context M_library
begin
```

28.5 Results on relative cardinal exponentiation

lemma *cexp_rel_eqpoll_rel_cong*:

assumes

$A \approx^M A' B \approx^M B' M(A) M(A') M(B) M(B')$

shows

$A^{\uparrow B, M} = A^{\uparrow B', M}$

(proof)

lemma *cexp_rel_cexp_rel_cmult*:

assumes $M(\kappa) M(\nu 1) M(\nu 2)$

shows $(\kappa^{\uparrow \nu 1, M})^{\uparrow \nu 2, M} = \kappa^{\uparrow \nu 2} \otimes^M \nu 1, M$

(proof)

lemma *cardinal_rel_Pow_rel*: $M(X) \implies |Pow_{rel}(M, X)|^M = \mathcal{Z}^{\uparrow X, M}$ — Perhaps it's better with $|X|$

(proof)

lemma *cantor_cexp_rel*:

assumes *Card_rel(M, ν)* $M(\nu)$

shows $\nu < \mathcal{Z}^{\uparrow \nu, M}$

(proof)

lemma *countable_iff_lesspoll_rel_Aleph_rel_one*:

notes *iff_trans[trans]*

assumes $M(C)$

shows $countable^M(C) \longleftrightarrow C \prec^M \aleph_1^M$

(proof)

lemma *countable_iff_le_rel_Aleph_rel_one*:

notes *iff_trans[trans]*

assumes $M(C)$

shows $countable^M(C) \longleftrightarrow |C|^M \prec^M \aleph_1^M$

(proof)

end — *M_library*

lemma (**in** *M_cardinal_library*) *countable_fun_imp_countable_image*:

assumes $f:C \rightarrow^M B$ $countable^M(C) \wedge c. c \in C \implies countable^M(f`c)$

$M(C) M(B)$

shows $countable^M(\bigcup (f``C))$

(proof)

end

29 The Delta System Lemma, Relativized

```

theory Delta_System_Relative
imports
  Cardinal_Library_Relative
begin

⟨ML⟩

locale M_delta = M_cardinal_library_extra +
assumes
  countable_lepoll_assms:
   $M(G) \implies M(A) \implies M(b) \implies M(f) \implies separation(M, \lambda y. \exists x \in A. y = \langle x, \mu i. x \in if\_range\_F\_else\_F(\lambda x. \{xa \in G . x \in xa\}, b, f, i) \rangle)$ 
begin

lemma disjoint_separation:  $M(c) \implies separation(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge a \cap b = c)$ 
  ⟨proof⟩

lemma (in M_trans) mem_F_bound6:
  fixes F G
  defines F ≡ λ x. Collect(G, (∈)(x))
  shows  $x \in F(G, c) \implies c \in (range(f) \cup \bigcup G)$ 
  ⟨proof⟩

lemma delta_system_Aleph_rel1:
  assumes ∀ A ∈ F. Finite(A)  $F \approx^M \aleph_1^M M(F)$ 
  shows ∃ D[M]. D ⊆ F ∧ delta_system(D) ∧ D  $\approx^M \aleph_1^M$ 
  ⟨proof⟩

lemma delta_system_uncountable_rel:
  assumes ∀ A ∈ F. Finite(A) uncountable_rel(M, F) M(F)
  shows ∃ D[M]. D ⊆ F ∧ delta_system(D) ∧ D  $\approx^M \aleph_1^M$ 
  ⟨proof⟩

end — M_delta

end

```

30 Relative DC

```

theory Pointed_DC_Relative
imports
  Cardinal_Library_Relative
begin

```

```

consts dc_witness ::  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$ 
primrec
  wit0 : dc_witness(0, A, a, s, R) = a
  witrec : dc_witness(succ(n), A, a, s, R) = s · {x ∈ A. ⟨dc_witness(n, A, a, s, R), x⟩ ∈ R}

```

```
lemmas dc_witness_def = dc_witness_nat_def
```

$\langle ML \rangle$

```

schematic_goal sats_is_dc_witness_fm_auto:
  assumes na < length(env) e < length(env)
  shows
    na ∈ ω  $\implies$ 
    A ∈ ω  $\implies$ 
    a ∈ ω  $\implies$ 
    s ∈ ω  $\implies$ 
    R ∈ ω  $\implies$ 
    e ∈ ω  $\implies$ 
    env ∈ list(Aa)  $\implies$ 
    0 ∈ Aa  $\implies$ 
      is_dc_witness(#Aa, nth(na, env), nth(A, env), nth(a, env), nth(s, env),
      nth(R, env), nth(e, env))  $\longleftrightarrow$ 
      Aa, env ⊨ ?fm(nat, A, a, s, R, e)
    ⟨proof⟩

```

$\langle ML \rangle$
 $\langle proof \rangle$

```

definition dcwit_body :: [i,i,i,i,i]  $\Rightarrow$  o where
  dcwit_body(A,a,g,R)  $\equiv$   $\lambda p.$  snd(p) = dc_witness(fst(p), A, a, g, R)

```

$\langle ML \rangle$

```

context M_replacement
begin

```

```

lemma dc_witness_closed[intro,simp]:
  assumes M(n) M(A) M(a) M(s) M(R) n ∈ nat
  shows M(dc_witness(n, A, a, s, R))
  ⟨proof⟩

```

```

lemma dc_witness_rel_char:
  assumes M(A)
  shows dc_witness_rel(M, n, A, a, s, R) = dc_witness(n, A, a, s, R)
  ⟨proof⟩

```

```

lemma (in M_basic) first_section_closed:
  assumes
    M(A) M(a) M(R)

```

shows $M(\{x \in A . \langle a, x \rangle \in R\})$
 $\langle proof \rangle$

lemma *witness_into_A* [*TC*]:

assumes $a \in A$

$\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s^*X \in A$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \ n \in nat$
 $M(A) M(a) M(s) M(R)$
shows *dc_witness*($n, A, a, s, R \in A$)
 $\langle proof \rangle$

end — *M_replacement*

locale *M_DC* = *M_tranc* + *M_replacement* + *M_eclose* +

assumes

separation_is_dcwit_body:

$M(A) \implies M(a) \implies M(g) \implies M(R) \implies separation(M, is_dcwit_body(M, A,$

$a, g, R))$

and

dcwit_replacement: $Ord(na) \implies$

$M(na) \implies$

$M(A) \implies$

$M(a) \implies$

$M(s) \implies$

$M(R) \implies$

transrec_replacement

$(M, \lambda n f ntc.$

is_nat_case

$(M, a,$

$\lambda m bmfm.$

$\exists fm[M]. \exists cp[M].$

is_apply($M, f, m, fm) \wedge$

is_Collect($M, A, \lambda x. \exists fmx[M]. (M(x) \wedge fmx \in R) \wedge pair(M, fm,$

$x, fmx), cp) \wedge$

is_apply($M, s, cp, bmfm),$

$n, ntc), na)$

begin

lemma *is_dc_witness_iff*:

assumes $Ord(na) M(na) M(A) M(a) M(s) M(R) M(res)$

shows *is_dc_witness*($M, na, A, a, s, R, res) \longleftrightarrow res = dc_witness_rel(M, na,$

$A, a, s, R)$

$\langle proof \rangle$

lemma *dcwit_body_abs*:

$fst(x) \in \omega \implies M(A) \implies M(a) \implies M(g) \implies M(R) \implies M(x) \implies$

is_dcwit_body($M, A, a, g, R, x) \longleftrightarrow dcwit_body(A, a, g, R, x)$

$\langle proof \rangle$

```

lemma Lambda_dc_witness_closed:
assumes  $g \in \text{Pow}^M(A \setminus \{0\}) \rightarrow A$   $a \in A$   $\forall y \in A. \{x \in A . \langle y, x \rangle \in R\} \neq 0$ 
 $M(g) M(A) M(a) M(R)$ 
shows  $M(\lambda n \in \text{nat}. dc\_witness(n, A, a, g, R))$ 
⟨proof⟩

lemma witness_related:
assumes  $a \in A$ 
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s^c X \in X$ 
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$   $n \in \text{nat}$ 
 $M(a) M(A) M(s) M(R) M(n)$ 
shows  $\langle dc\_witness(n, A, a, s, R), dc\_witness(succ(n), A, a, s, R) \rangle \in R$ 
⟨proof⟩

lemma witness_funtype:
assumes  $a \in A$ 
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s^c X \in A$ 
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$ 
 $M(A) M(a) M(s) M(R)$ 
shows  $(\lambda n \in \text{nat}. dc\_witness(n, A, a, s, R)) \in \text{nat} \rightarrow A$  (is  $?f \in \_\_ \rightarrow \_\_$ )
⟨proof⟩

lemma witness_to_fun:
assumes  $a \in A$ 
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s^c X \in A$ 
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$ 
 $M(A) M(a) M(s) M(R)$ 
shows  $\exists f \in \text{nat} \rightarrow A. \forall n \in \text{nat}. f^c n = dc\_witness(n, A, a, s, R)$ 
⟨proof⟩

end — M_DC

locale M_library_DC = M_library + M_DC
begin

lemma AC_M_func:
assumes  $\bigwedge x. x \in A \implies (\exists y. y \in x) M(A)$ 
shows  $\exists f \in A \rightarrow^M \bigcup(A). \forall x \in A. f^c x \in x$ 
⟨proof⟩

lemma non_empty_family:  $[\ ] 0 \notin A; x \in A [\ ] \implies \exists y. y \in x$ 
⟨proof⟩

lemma AC_M_func0:  $0 \notin A \implies M(A) \implies \exists f \in A \rightarrow^M \bigcup(A). \forall x \in A. f^c x \in x$ 
⟨proof⟩

lemma AC_M_func_Pow_rel:
assumes  $M(C)$ 
shows  $\exists f \in (\text{Pow}^M(C) \setminus \{0\}) \rightarrow^M C. \forall x \in \text{Pow}^M(C) \setminus \{0\}. f^c x \in x$ 

```

$\langle proof \rangle$

theorem *pointed DC*:

assumes $\forall x \in A. \exists y \in A. \langle x, y \rangle \in R M(A) M(R)$

shows $\forall a \in A. \exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in R)$

$\langle proof \rangle$

lemma *aux_DC_on_AxNat2* : $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R \implies \forall x \in A \times \text{nat}. \exists y \in A \times \text{nat}. \langle x, y \rangle \in \{\langle a, b \rangle \in R. \text{snd}(b) = \text{succ}(\text{snd}(a))\}$

$\langle proof \rangle$

lemma *infer_snd* : $c \in A \times B \implies \text{snd}(c) = k \implies c = \langle \text{fst}(c), k \rangle$

$\langle proof \rangle$

corollary *DC_on_A_x_nat* :

assumes $(\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R) a \in A M(A) M(R)$

shows $\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle \langle f'n, n \rangle, \langle f'succ(n), \text{succ}(n) \rangle \rangle \in R)$ (**is** $\exists x \in _. ?P(x)$)

$\langle proof \rangle$

lemma *aux_sequence_DC* :

assumes $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n$

$R = \{\langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S^m\}$

shows $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R$

$\langle proof \rangle$

lemma *aux_sequence_DC2* : $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n \implies$

$\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in \{\langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S^m\}$

$\langle proof \rangle$

lemma *sequence_DC*:

assumes $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n M(A) M(S)$

shows $\forall a \in A. (\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in S^{\text{succ}(n)}))$

$\langle proof \rangle$

end — M_library_DC

end

31 Cohen forcing notions

theory *Partial_Functions_Relative*

imports

Cardinal_Library_Relative

begin

In this theory we introduce bounded partial functions and its relative version; for historical reasons the relative version is based on a proper definition

of partial functions.

We note that finite partial functions are easier and are used to prove some lemmas about finite sets in the theory *Transitive_Models.ZF_Library_Relative*.

definition

```
Fn :: [i,i,i] ⇒ i where
Fn(κ,I,J) ≡ ∪ {y . d ∈ Pow(I), y = (d → J) ∧ d ⊂ κ}
```

lemma domain_function_lepoll :

```
assumes function(r)
shows domain(r) ≤ r
⟨proof⟩
```

lemma function_lepoll:

```
assumes r:d→J
shows r ≤ d
⟨proof⟩
```

lemma function_eqpoll :

```
assumes r:d→J
shows r ≈ d
⟨proof⟩
```

lemma Fn_char : Fn(κ,I,J) = {f ∈ Pow(I × J) . function(f) ∧ f ⊂ κ} (**is** ?L=?R)
 $\langle proof \rangle$

lemma zero_in_Fn:

```
assumes 0 < κ
shows 0 ∈ Fn(κ, I, J)
⟨proof⟩
```

lemma Fn_nat_eq_FiniteFun: Fn(nat,I,J) = I -|> J
 $\langle proof \rangle$

lemma Fn_nat_subset_Pow: Fn(κ,I,J) ⊆ Pow(I × J)
 $\langle proof \rangle$

lemma FnI:

```
assumes p : d → J d ⊆ I d ⊂ κ
shows p ∈ Fn(κ,I,J)
⟨proof⟩
```

lemma FnD[dest]:

```
assumes p ∈ Fn(κ,I,J)
shows ∃ d. p : d → J ∧ d ⊆ I ∧ d ⊂ κ
⟨proof⟩
```

lemma Fn_is_function: p ∈ Fn(κ,I,J) ⇒ function(p)
 $\langle proof \rangle$

```

lemma Fn_csucc:
  assumes Ord( $\kappa$ )
  shows Fn(csucc( $\kappa$ ), I, J) =  $\bigcup \{y . d \in Pow(I), y = (d \rightarrow J) \wedge d \lesssim \kappa\}$ 
   $\langle proof \rangle$ 

definition
FnleR ::  $i \Rightarrow i \Rightarrow o$  (infixl  $\sqsupseteq$  50) where
 $f \supseteq g \equiv g \subseteq f$ 

lemma FnleR_iff_subset [iff]:  $f \supseteq g \longleftrightarrow g \subseteq f$ 
   $\langle proof \rangle$ 

definition
Fnlerel ::  $i \Rightarrow i$  where
Fnlerel(A)  $\equiv Rrel(\lambda x y. x \supseteq y, A)$ 

definition
Fnle ::  $[i, i, i] \Rightarrow i$  where
Fnle( $\kappa, I, J$ )  $\equiv Fnlerel(Fn(\kappa, I, J))$ 

lemma FnleI[intro]:
  assumes  $p \in Fn(\kappa, I, J)$   $q \in Fn(\kappa, I, J)$   $p \supseteq q$ 
  shows  $\langle p, q \rangle \in Fnle(\kappa, I, J)$ 
   $\langle proof \rangle$ 

lemma FnleD[dest]:
  assumes  $\langle p, q \rangle \in Fnle(\kappa, I, J)$ 
  shows  $p \in Fn(\kappa, I, J)$   $q \in Fn(\kappa, I, J)$   $p \supseteq q$ 
   $\langle proof \rangle$ 

definition PFun_Space_Rel ::  $[i, i \Rightarrow o, i] \Rightarrow i$  ( $\langle \_ \rightarrow \_ \rangle$ )
  where  $A \multimap^M B \equiv \{f \in Pow(A \times B) . M(f) \wedge function(f)\}$ 

lemma (in M_library) PFun_Space_subset_Powrel :
  assumes  $M(A)$   $M(B)$ 
  shows  $A \multimap^M B = \{f \in Pow^M(A \times B) . function(f)\}$ 
   $\langle proof \rangle$ 

lemma (in M_library) PFun_Space_closed :
  assumes  $M(A)$   $M(B)$ 
  shows  $M(A \multimap^M B)$ 
   $\langle proof \rangle$ 

lemma pfun_is_function :
   $f \in A \multimap^M B \implies function(f)$ 
   $\langle proof \rangle$ 

lemma pfun_range :

```

$f \in A \multimap^M B \implies \text{range}(f) \subseteq B$
 $\langle \text{proof} \rangle$

lemma *pfun_domain* :
 $f \in A \multimap^M B \implies \text{domain}(f) \subseteq A$
 $\langle \text{proof} \rangle$

lemma *Un_filter_fun_space_closed*:
assumes $G \subseteq I \rightarrow J \wedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in \text{Pow}(I \times J)$ *function*($\bigcup G$)
 $\langle \text{proof} \rangle$

lemma *Un_filter_is_fun* :
assumes $G \subseteq I \rightarrow J \wedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g \neq 0$
shows $\bigcup G \in I \rightarrow J$
 $\langle \text{proof} \rangle$

context *M_Pi*
begin

lemma *mem_function_space_relD*:
assumes $f \in \text{function_space_rel}(M, A, y)$ $M(A)$ $M(y)$
shows $f \in A \rightarrow y$ **and** $M(f)$
 $\langle \text{proof} \rangle$

lemma *pfunI* :
assumes $C \subseteq A$ $f \in C \rightarrow^M B$ $M(C)$ $M(B)$
shows $f \in A \multimap^M B$
 $\langle \text{proof} \rangle$

lemma *zero_in_PFun_rel*:
assumes $M(I)$ $M(J)$
shows $0 \in I \multimap^M J$
 $\langle \text{proof} \rangle$

lemma *pfun_subsetI* :
assumes $f \in A \multimap^M B$ $g \subseteq f$ $M(g)$
shows $g \in A \multimap^M B$
 $\langle \text{proof} \rangle$

lemma *pfun_Un_filter_closed*:
assumes $G \subseteq I \multimap^M J \wedge f g . f \in G \implies g \in G \implies \exists d \in I \multimap^M J . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in \text{Pow}(I \times J)$ *function*($\bigcup G$)
 $\langle \text{proof} \rangle$

lemma *pfun_Un_filter_closed''*:
assumes $G \subseteq I \multimap^M J \wedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in \text{Pow}(I \times J)$ *function*($\bigcup G$)
 $\langle \text{proof} \rangle$

```

lemma pfun_Un_filter_closed':
  assumes  $G \subseteq I \multimap^M J \wedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g M(G)$ 
  shows  $\bigcup G \in I \multimap^M J$ 
  {proof}

lemma pfunD :
  assumes  $f \in A \multimap^M B$ 
  shows  $\exists C[M]. C \subseteq A \wedge f \in C \rightarrow B$ 
  {proof}

lemma pfunD_closed :
  assumes  $f \in A \multimap^M B$ 
  shows  $M(f)$ 
  {proof}

lemma pfun_singletonI :
  assumes  $x \in A \ b \in B \ M(A) \ M(B)$ 
  shows  $\{\langle x, b \rangle\} \in A \multimap^M B$ 
  {proof}

lemma pfun_unionI :
  assumes  $f \in A \multimap^M B \ g \in A \multimap^M B \ \text{domain}(f) \cap \text{domain}(g) = 0$ 
  shows  $f \cup g \in A \multimap^M B$ 
  {proof}

lemma (in M_library) pfun_restrict_eq_imp_compat:
  assumes  $f \in I \multimap^M J \ g \in I \multimap^M J \ M(J)$ 
   $\text{restrict}(f, \text{domain}(f) \cap \text{domain}(g)) = \text{restrict}(g, \text{domain}(f) \cap \text{domain}(g))$ 
  shows  $f \cup g \in I \multimap^M J$ 
  {proof}

lemma FiniteFun_pfunI :
  assumes  $f \in A \multimap^M B \ M(A) \ M(B)$ 
  shows  $f \in A \multimap^M B$ 
  {proof}

lemma PFun_FiniteFunI :
  assumes  $f \in A \multimap^M B \ \text{Finite}(f)$ 
  shows  $f \in A \multimap^M B$ 
  {proof}

end — M_Pi

definition
  Fn_rel ::  $[i \Rightarrow o, i, i, i] \Rightarrow i (\langle Fn'(\_, \_, \_) \rangle)$  where
   $Fn\_rel(M, \kappa, I, J) \equiv \{f \in I \multimap^M J . f \prec^M \kappa\}$ 
  — Eventually we can define  $Fn\_rel$  as the relativization of  $Fn$ 

```

```

context M_library
begin

lemma Fn_rel_subset_PFun_rel : FnM(κ,I,J) ⊆ I →M J
  ⟨proof⟩

lemma Fn_relI[intro]:
  assumes f : d → J d ⊆ I f ↀM κ M(d) M(J) M(f)
  shows f ∈ Fn_rel(M,κ,I,J)
  ⟨proof⟩

lemma Fn_relD[dest]:
  assumes p ∈ Fn_rel(M,κ,I,J)
  shows ∃ C[M]. C ⊆ I ∧ p : C → J ∧ p ↀM κ
  ⟨proof⟩

lemma Fn_rel_is_function:
  assumes p ∈ Fn_rel(M,κ,I,J)
  shows function(p) M(p) p ↀM κ p ∈ I →M J
  ⟨proof⟩

lemma Fn_rel_mono:
  assumes p ∈ Fn_rel(M,κ,I,J) κ ↀM κ' M(κ) M(κ')
  shows p ∈ Fn_rel(M,κ',I,J)
  ⟨proof⟩

lemma Fn_rel_mono':
  assumes p ∈ Fn_rel(M,κ,I,J) κ ⪻M κ' M(κ) M(κ')
  shows p ∈ Fn_rel(M,κ',I,J)
  ⟨proof⟩

lemma Fn_csucc:
  assumes Ord(κ) M(κ)
  shows Fn_rel(M,(κ+)M,I,J) = {p ∈ I →M J . p ⪻M κ} (is ?L=?R)
  ⟨proof⟩

lemma Finite_imp_lesspoll_nat:
  assumes Finite(A)
  shows A ↀ nat
  ⟨proof⟩

lemma FinD_Finite :
  assumes a ∈ Fin(A)
  shows Finite(a)
  ⟨proof⟩

lemma Fn_rel_nat_eq_FiniteFun:
  assumes M(I) M(J)
  shows I -||> J = Fn_rel(M,ω,I,J)

```

$\langle proof \rangle$

lemma *Fn_nat_abs*:
 assumes $M(I) M(J)$
 shows $Fn(nat, I, J) = Fn_rel(M, \omega, I, J)$
 $\langle proof \rangle$

lemma *Fn_rel_singletonI*:
 assumes $x \in I j \in J 1 \prec^M \kappa M(\kappa) M(I) M(J)$
 shows $\{\langle x, j \rangle\} \in Fn^M(\kappa, I, J)$
 $\langle proof \rangle$

end — *M_library*

definition

$Fnle_rel :: [i \Rightarrow o, i, i, i] \Rightarrow i (\langle Fnle'(_, _, _) \rangle)$ **where**
 $Fnle_rel(M, \kappa, I, J) \equiv Fnlerel(Fn^M(\kappa, I, J))$

abbreviation

$Fn_r_set :: [i, i, i, i] \Rightarrow i (\langle Fn'(_, _, _) \rangle)$ **where**
 $Fn_r_set(M) \equiv Fn_rel(\#\# M)$

abbreviation

$Fnle_r_set :: [i, i, i, i] \Rightarrow i (\langle Fnle'(_, _, _) \rangle)$ **where**
 $Fnle_r_set(M) \equiv Fnle_rel(\#\# M)$

context *M_library*
begin

lemma *Fnle_relI[intro]*:
 assumes $p \in Fn_rel(M, \kappa, I, J) q \in Fn_rel(M, \kappa, I, J) p \supseteq q$
 shows $\langle p, q \rangle \in Fnle_rel(M, \kappa, I, J)$
 $\langle proof \rangle$

lemma *Fnle_relD[dest]*:
 assumes $\langle p, q \rangle \in Fnle_rel(M, \kappa, I, J)$
 shows $p \in Fn_rel(M, \kappa, I, J) q \in Fn_rel(M, \kappa, I, J) p \supseteq q$
 $\langle proof \rangle$

lemma *Fn_rel_closed[intro,simp]*:
 assumes $M(\kappa) M(I) M(J)$
 shows $M(Fn^M(\kappa, I, J))$
 $\langle proof \rangle$

lemma *Fn_rel_subset_Pow*:
 assumes $M(\kappa) M(I) M(J)$
 shows $Fn^M(\kappa, I, J) \subseteq Pow(I \times J)$
 $\langle proof \rangle$

```

lemma Fnle_rel_closed[intro,simp]:
  assumes M(κ) M(I) M(J)
  shows M(FnleM(κ,I,J))
  ⟨proof⟩

lemma zero_in_Fn_rel:
  assumes 0<κ M(κ) M(I) M(J)
  shows 0 ∈ FnM(κ, I, J)
  ⟨proof⟩

lemma zero_top_Fn_rel:
  assumes p∈FnM(κ, I, J) 0<κ M(κ) M(I) M(J)
  shows ⟨p, 0⟩ ∈ FnleM(κ, I, J)
  ⟨proof⟩

lemma preorder_on_Fnle_rel:
  assumes M(κ) M(I) M(J)
  shows preorder_on(FnM(κ, I, J), FnleM(κ, I, J))
  ⟨proof⟩

end — M_library

context M_cardinal_library
begin

lemma lesspoll_nat_imp_lesspoll_rel:
  assumes A ⊨ ω M(A)
  shows A ⊨M ω
  ⟨proof⟩

lemma Finite_imp_lesspoll_rel_nat:
  assumes Finite(A) M(A)
  shows A ⊨M ω
  ⟨proof⟩

end — M_cardinal_library

context M_cardinal_library_extra
begin

lemma InfCard_rel_lesspoll_rel_Un:
  includes Ord_dests
  assumes InfCard_rel(M,κ) A ⊨M κ B ⊨M κ
    and types: M(κ) M(A) M(B)
  shows A ∪ B ⊨M κ
  ⟨proof⟩

lemma Fn_rel_unionI:

```

```

assumes  $p \in Fn^M(\kappa, I, J)$   $q \in Fn^M(\kappa, I, J)$   $InfCard^M(\kappa)$   

 $M(\kappa) M(I) M(J)$   $domain(p) \cap domain(q) = \emptyset$   

shows  $p \cup q \in Fn^M(\kappa, I, J)$   

⟨proof⟩

lemma restrict_eq_imp_compat_rel:  

assumes  $p \in Fn^M(\kappa, I, J)$   $q \in Fn^M(\kappa, I, J)$   $InfCard^M(\kappa)$   $M(J) M(\kappa)$   

 $restrict(p, domain(p) \cap domain(q)) = restrict(q, domain(p) \cap domain(q))$   

shows  $p \cup q \in Fn^M(\kappa, I, J)$   

⟨proof⟩

lemma InfCard_rel_imp_n_lesspoll_rel :  

assumes  $InfCard^M(\kappa)$   $M(\kappa)$   $n \in \omega$   

shows  $n \prec^M \kappa$   

⟨proof⟩

lemma cons_in_Fn_rel:  

assumes  $x \notin domain(p)$   $p \in Fn^M(\kappa, I, J)$   $x \in I$   $j \in J$   $InfCard^M(\kappa)$   

 $M(\kappa) M(I) M(J)$   

shows  $cons(\langle x, j \rangle, p) \in Fn^M(\kappa, I, J)$   

⟨proof⟩

lemma dense_dom_dense:  

assumes  $x \in I$   $InfCard^M(\kappa)$   $M(I)$   $M(J)$   $z \in J$   $M(\kappa)$   $p \in Fn^M(\kappa, I, J)$   

shows  $\exists d \in \{ p \in Fn^M(\kappa, I, J) . x \in domain(p) \} . \langle d, p \rangle \in Fnle^M(\kappa, I, J)$   

⟨proof⟩

lemma (in M_cardinal_library) domain_lepoll_rel :  

assumes function(r)  $M(r)$   

shows  $domain(r) \lesssim^M r$   

⟨proof⟩

lemma dense_surj_dense:  

assumes  $x \in J$   $InfCard^M(\kappa)$   $M(I)$   $M(J)$   $M(\kappa)$   $p \in Fn^M(\kappa, I, J)$   $\kappa \lesssim^M I$   

shows  $\exists d \in \{ p \in Fn^M(\kappa, I, J) . x \in range(p) \} . \langle d, p \rangle \in Fnle^M(\kappa, I, J)$   

⟨proof⟩

end — M_cardinal_library_extra

end

```

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