The Tortoise and the Hare Algorithm

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Abstract

We formalize the Tortoise and Hare cycle-finding algorithm ascribed to Floyd by Knuth (1981, p7, exercise 6), and an improved version due to Brent (1980).

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1 Introduction

Knuth (1981, p7, exercise 6) frames the problem like so: given a finite set X, an initial value $x_0 \in X$, and a function $f: X \to X$, define the infinite sequence x by recursion: $x_{i+1} = f(x_i)$. Show that the sequence is ultimately periodic, i.e., that there exist λ and μ where

$$x_0, x_1, ... x_{\mu}, ..., x_{\mu+\lambda-1}$$

are distinct, but $x_{n+\lambda} = x_n$ when $n \ge \mu$.

Secondly (and he ascribes this to Robert W. Floyd), show that there is an $\nu > 0$ such that $x_{\nu} = x_{2\nu}$.

These facts are supposed to yield the insight required to develop the Tortoise and Hare algorithm, which calculates λ and μ for any f and x_0 using only $O(\lambda + \mu)$ steps and a bounded number of memory locations. We fill in the details in §5.

We also show the correctness of Brent (1980)'s algorithm in §6, which satisfies the same resource bounds and is more efficient in practice.

These algorithms have been used to analyze random number generators (Knuth 1981, op. cit.) and factor large numbers (Brent 1980). See Nivasch (2004) for further discussion, and an algorithm that is not constant-space but is more efficient in some situations. Wang and Zhang (2012) also survey these algorithms and present a new one.

2 Point-free notation

abbreviation (input)

```
We adopt point-free notation for our assertions over program states.
```

```
abbreviation (input)
  pred_K :: 'b \Rightarrow 'a \Rightarrow 'b (\langle \langle \_ \rangle \rangle) where
  \langle f \rangle \equiv \lambda s. f
abbreviation (input)
  pred\_not :: ('a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool (\langle \neg \rangle) where
  \neg a \equiv \lambda s. \ \neg a \ s
abbreviation (input)
  pred\_conj :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool (infixr \langle \land \rangle 35) where
  a \wedge b \equiv \lambda s. \ a \ s \wedge b \ s
abbreviation (input)
  pred\_implies :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool (infixr \longleftrightarrow 25) where
  a \longrightarrow b \equiv \lambda s. \ a \ s \longrightarrow b \ s
abbreviation (input)
  pred\_eq :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow bool (infix \iff 40) where
  a = b \equiv \lambda s. a s = b s
abbreviation (input)
  pred\_member :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b \ set) \Rightarrow 'a \Rightarrow bool \ (infix < \in > 40) \ where
  a \in b \equiv \lambda s. \ a \ s \in b \ s
abbreviation (input)
  pred\_neq :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow bool (infix \langle \neq \rangle 40) where
  a \neq b \equiv \lambda s. \ a \ s \neq b \ s
abbreviation (input)
  pred\_If :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b ((\mathbf{i}f (\_)/\mathbf{t}hen (\_)/\mathbf{e}lse (\_))) [0, 0, 10] 10) where
  if P then x else y \equiv \lambda s. if P s then x s else y s
abbreviation (input)
  pred\_less :: ('a \Rightarrow 'b::ord) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow bool (infix <<> 40) where
  a < b \equiv \lambda s. a s < b s
abbreviation (input)
  pred\_le :: ('a \Rightarrow 'b::ord) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow bool (infix < \le > 40) where
  a \leq b \equiv \lambda s. \ a \ s \leq b \ s
abbreviation (input)
  pred\_plus :: ('a \Rightarrow 'b::plus) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \text{ (infixl } \leftrightarrow 65) \text{ where}
  a + b \equiv \lambda s. a s + b s
abbreviation (input)
  pred\_minus :: ('a \Rightarrow 'b::minus) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \text{ (infixl } \leftarrow \land 65) \text{ where}
  a - b \equiv \lambda s. a s - b s
abbreviation (input)
  fun\_fanout :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \times 'c \text{ (infix } \iff 35) \text{ where}
  f\bowtie g\equiv \lambda x. (fx, gx)
abbreviation (input)
  pred\_all :: ('b \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool (binder \langle \forall \rangle 10) \text{ where}
  \forall x. \ P \ x \equiv \lambda s. \ \forall x. \ P \ x \ s
```

```
pred\_ex :: ('b \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool (binder \langle \exists \rangle 10) \text{ where } \exists x. P x \equiv \lambda s. \exists x. P x s
```

3 "Monoidal" Hoare logic

In the absence of a general-purpose development of Hoare Logic for total correctness in Isabelle/HOL¹, we adopt the following syntactic contrivance that eases making multiple assertions about function results. "Programs" consist of the state-transformer semantics of statements.

```
definition valid :: ('s \Rightarrow bool) \Rightarrow ('s \Rightarrow 's) \Rightarrow ('s \Rightarrow bool) \Rightarrow bool (\langle \{ \_ \} / \_ / \{ \_ \} \rangle) where
  \{P\}\ c\ \{Q\} \equiv \forall s.\ P\ s \longrightarrow Q\ (c\ s)
notation (input) id (\langle SKIP \rangle)
notation fcomp (infixl \langle ;; \rangle 60)
{\bf named\_theorems}\ wp\_intro\ weakest\ precondition\ intro\ rules
lemma seqI[wp\_intro]:
 assumes \{Q\} d \{R\}
 assumes \{P\} c \{Q\}
  shows \{P\} c ;; d \{R\}
\langle proof \rangle
lemma iteI[wp\_intro]:
  assumes \{P'\}\ x\ \{Q\}
  assumes \{P''\}\ y\ \{Q\}
 shows {if b then P' else P''} if b then x else y {Q}
\langle proof \rangle
lemma assignI[wp_intro]:
  shows \{Q \circ f\} f \{Q\}
\langle proof \rangle
lemma whileI:
 assumes \{I'\}\ c\ \{I\}
 assumes \bigwedge s. I s \Longrightarrow if b s then <math>I' s else Q s
  assumes wf r
 assumes \bigwedge s. \llbracket I s; b s \rrbracket \Longrightarrow (c s, s) \in r
  shows \{I\} while b \in \{Q\}
\langle proof \rangle
lemma hoare_pre:
  assumes \{R\} f \{Q\}
 assumes \bigwedge s. P s \Longrightarrow R s
 shows \{P\} f \{Q\}
\langle proof \rangle
lemma hoare_post_imp:
  assumes \{P\} a \{Q\}
 assumes \bigwedge s. Q s \Longrightarrow R s
  shows \{P\} a \{R\}
\langle proof \rangle
```

Note that the assignI rule applies to all state transformers, and therefore the order in which we attempt to use the wp intro rules matters.

4 Properties of iterated functions on finite sets

We begin by fixing the f and $x\theta$ under consideration in a locale, and establishing Knuth's properties. The sequence is modelled as a function $seq :: nat \Rightarrow 'a$ in the obvious way.

¹At the time of writing the distribution contains several for partial correctness, and one for total correctness over a language with restricted expressions. SIMPL (Schirmer (2008)) is overkill for our present purposes.

```
fixes f :: 'a :: finite \Rightarrow 'a
 fixes x\theta :: 'a
begin
definition seq' :: 'a \Rightarrow nat \Rightarrow 'a where
 seq' x i \equiv (f ^ i) x
abbreviation seq \equiv seq' x\theta \langle proof \rangle \langle proof \rangle
The parameters lambda and mu must exist by the pigeonhole principle.
lemma seq' not inj on card UNIV:
 shows \neg inj on (seq' x) \{0 ... card (UNIV::'a set)\}
\langle proof \rangle
definition properties :: nat \Rightarrow nat \Rightarrow bool where
  properties\ lambda\ mu \equiv
    0 < lambda
  \land inj\_on seq \{0 .. < mu + lambda\}
  \land (\forall i \geq mu. \ \forall j. \ seq \ (i + j * lambda) = seq \ i)
lemma properties_existence:
 obtains lambda mu
  where properties lambda mu
\langle proof \rangle
end
To ease further reasoning, we define a new locale that fixes lambda and mu, and assume these properties hold. We
then derive further rules that are easy to apply.
locale properties = fx\theta +
 fixes lambda mu :: nat
 assumes P: properties lambda mu
begin
lemma properties\_lambda\_gt\_\theta:
 shows \theta < lambda
\langle proof \rangle
lemma properties_loop:
 assumes mu \leq i
 shows seq (i + j * lambda) = seq i
\langle proof \rangle
lemma properties_mod_lambda:
  assumes mu \leq i
 shows seq i = seq (mu + (i - mu) mod lambda)
\langle proof \rangle
lemma properties distinct:
 assumes j \in \{0 < .. < lambda\}
 shows seq(i + j) \neq seq i
\langle proof \rangle
\mathbf{lemma} \ \mathit{properties\_distinct\_contrapos} :
 assumes seq(i + j) = seq i
 shows j \notin \{0 < .. < lambda\}
\langle proof \rangle
{\bf lemma}\ properties\_loops\_ge\_mu:
 assumes seq(i + j) = seq i
 assumes \theta < j
  shows mu \leq i
\langle proof \rangle
```

locale $fx\theta =$

5 The Tortoise and the Hare

The key to the Tortoise and Hare algorithm is that any nu such that seq (nu + nu) = seq nu must be divisible by lambda. Intuitively the first nu steps get us into the loop. If the second nu steps return us to the same value of the sequence, then we must have gone around the loop one or more times.

```
lemma (in properties) lambda\_dvd\_nu:
assumes seq\ (i+i) = seq\ i
shows lambda\ dvd\ i
\langle proof \rangle
```

The program is split into three loops; we find nu, mu and lambda in that order.

5.1 Finding nu

The state space of the program tracks each of the variables we wish to discover, and the current positions of the Tortoise and Hare.

```
record 'a state =
nu :: nat - \nu
m :: nat - \mu
l :: nat - \lambda
hare :: 'a
tortoise :: 'a

context properties
```

begin

begin

The Hare proceeds at twice the speed of the Tortoise. The program tracks how many steps the Tortoise has taken in nu.

```
definition (in fx\theta) find\_nu :: 'a \ state \Rightarrow 'a \ state  where find\_nu \equiv (\lambda s. \ s( nu := 1, \ tortoise := f(x\theta), \ hare := f(f(x\theta)) \ )) \ ;; while \ (hare \neq tortoise) (\lambda s. \ s( nu := nu \ s + 1, \ tortoise := f(tortoise \ s), \ hare := f(f(hare \ s)) \ ))
```

If this program terminates, we expect $seq \circ (nu + nu) = seq \circ nu$ to hold in the final state.

The simplest approach to showing termination is to define a suitable nu in terms of lambda and mu, which also gives us an upper bound on the number of calls to f.

```
definition nu\_witness :: nat  where nu\_witness \equiv mu + lambda - mu  mod  lambda
```

This constant has the following useful properties:

```
lemma nu\_witness\_properties:
mu < nu\_witness
nu\_witness \le lambda + mu
lambda \ dvd \ nu\_witness
mu = 0 \Longrightarrow nu\_witness = lambda
\langle proof \rangle
```

These demonstrate that *nu* witness has the key property:

```
lemma nu\_witness:

shows seq\ (nu\_witness + nu\_witness) = seq\ nu\_witness

\langle proof \rangle
```

Termination amounts to showing that the Tortoise gets closer to nu_witness on each iteration of the loop.

```
definition find_nu_measure :: (nat \times nat) set where find_nu_measure \equiv measure (\lambda \nu. nu\_witness - \nu)
lemma find_nu_measure_wellfounded:

wf find_nu_measure
```

```
\langle proof \rangle
\mathbf{lemma} \ \mathit{find} \underline{\ nu} \underline{\ measure} \underline{\ decreases} \colon
 assumes seq (\nu + \nu) \neq seq \nu
 assumes \nu < nu witness
 shows (Suc \ \nu, \ \nu) \in find \ nu \ measure
\langle proof \rangle
The remainder of the Hoare proof is straightforward.
lemma find_nu:
  \{|\langle True \rangle|\} find nu \{|nu \in \langle \{0 < ... lambda + mu\} \rangle \land seq \circ (nu + nu) = seq \circ nu \land hare = seq \circ nu \}
\langle proof \rangle
5.1.1
           Side observations
We can also show termination ala Filliâtre (2007).
definition find\_nu\_measures :: (nat \times nat) set where
 find\_nu\_measures \equiv
    measures [\lambda \nu. mu - \nu, \lambda \nu. LEAST i. seq (\nu + \nu + i) = seq \nu]
\mathbf{lemma} \ \mathit{find} \underline{\ nu} \underline{\ measures} \underline{\ well founded} \colon
  wf \ find\_nu\_measures
\langle proof \rangle
lemma find_nu_measures_existence:
  assumes \nu: mu < \nu
  shows \exists i. seq (\nu + \nu + i) = seq \nu
\langle proof \rangle
lemma find_nu_measures_decreases:
 assumes \nu: seq (\nu + \nu) \neq seq \nu
 shows (Suc \ \nu, \ \nu) \in find\_nu\_measures
\langle proof \rangle
lemma find nu Filliâtre:
  \{\langle True \rangle\}\ find\_nu\ \{\langle \theta \rangle < nu \land seq \circ (nu + nu) = seq \circ nu \land hare = seq \circ nu\}
\langle proof \rangle
This approach does not provide an upper bound on nu however.
Harper (2011) observes (in his §13.5.2) that if mu is zero then nu = lambda.
lemma Harper:
 assumes mu = 0
 shows \{\langle True \rangle\}\ find\_nu\ \{nu = \langle lambda \rangle\}
\langle proof \rangle
5.2
        Finding mu
We recover mu from nu by exploiting the fact that lambda divides nu: the Tortoise, reset to x\theta and the Hare,
both now moving at the same speed, will meet at mu.
lemma mu nu:
 assumes si: seq(i + i) = seq i
 assumes j: mu \leq j
 shows seq(j + i) = seq j
\langle proof \rangle
definition (in fx\theta) find\_mu :: 'a state <math>\Rightarrow 'a state where
 find\_mu \equiv
    (\lambda s. \ s(m := 0, \ tortoise := x0)) ;;
    while (hare \neq tortoise)
          (\lambda s. \ s(\ tortoise := f \ (tortoise \ s), \ hare := f \ (hare \ s), \ m := m \ s + 1))
```

 $\{nu \in \langle \{0 < ..lambda + mu\} \rangle \land seq \circ (nu + nu) = seq \circ nu \land hare = seq \circ nu \}$

lemma $find_mu$:

```
 \begin{cases} find\_mu \\ \{nu \in \langle \{0 < ...lambda + mu\} \rangle \land tortoise = \langle seq \ mu \rangle \land m = \langle mu \rangle \} \\ \langle proof \rangle \end{aligned}
```

5.3 Finding lambda

With the Tortoise parked at mu, we find lambda by walking the Hare around the loop.

```
definition (in fx0) find\_lambda :: 'a state \Rightarrow 'a state where find\_lambda \equiv (\lambda s. \ s( \ l := 1, \ hare := f \ (tortoise \ s) \ )) ;; while (hare \neq tortoise) (\lambda s. \ s( \ hare := f \ (hare \ s), \ l := l \ s + 1 \ ))

lemma find\_lambda:
\{nu \in \langle \{0 < ... lambda + mu\} \rangle \land tortoise = \langle seq \ mu \rangle \land m = \langle mu \rangle \}\}
find\_lambda
\{nu \in \langle \{0 < ... lambda + mu\} \rangle \land l = \langle lambda \rangle \land m = \langle mu \rangle \}\}
\langle proof \rangle
```

5.4 Top level

The complete program is simply the steps composed in order.

```
definition (in fx\theta) tortoise\_hare :: 'a state \Rightarrow 'a state where tortoise\_hare \equiv find\_nu ;; find\_mu ;; find\_lambda

theorem tortoise\_hare:
 \{ \langle True \rangle \} \ tortoise\_hare \ \{ nu \in \langle \{ \theta < ... lambda + mu \} \rangle \land l = \langle lambda \rangle \land m = \langle mu \rangle \} 
end

corollary tortoise\_hare\_correct:
 assumes \ s': \ s' = fx\theta.tortoise\_hare \ f \ x \ arbitrary
 shows \ fx\theta.properties \ f \ x \ (l \ s') \ (m \ s') 
 \langle proof \rangle 
Isabelle can generate code from these definitions.
 schematic\_goal \ tortoise\_hare\_code[code]:
 fx\theta.tortoise\_hare \ f \ x = ?code 
 \langle proof \rangle 
export code \ fx\theta.tortoise \ hare \ in \ SML
```

6 Brent's algorithm

Brent (1980) improved on the Tortoise and Hare algorithm and used it to factor large primes. In practice it makes significantly fewer calls to the function f before detecting a loop.

We begin by defining the base-2 logarithm.

```
fun lg :: nat \Rightarrow nat where [simp \ del]: lg \ x = (if \ x \le 1 \ then \ 0 \ else \ 1 + lg \ (x \ div \ 2))

lemma lg\_safe:
lg \ 0 = 0
lg \ (Suc \ 0) = 0
lg \ (Suc \ (Suc \ 0)) = 1
0 < x \Longrightarrow lg \ (x + x) = 1 + lg \ x
\langle proof \rangle

lemma lg\_inv:
0 < x \Longrightarrow lg \ (2 \ x) = x
\langle proof \rangle
```

```
lemma lg\_inv2:

\langle 2 \cap lg \ x = x \rangle if \langle 2 \cap i = x \rangle for x \langle proof \rangle
```

 $\mathbf{lemmas} \ \mathit{lg_simps} = \mathit{lg_safe} \ \mathit{lg_inv} \ \mathit{lg_inv2}$

6.1 Finding lambda

Imagine now that the Tortoise carries an unbounded number of carrots, which he passes to the Hare when they meet, and the Hare has a teleporter. The Hare eats a carrot each time she waits for the function f to execute, and initially has just one. If she runs out of carrots before meeting the Tortoise again, she teleports him to her position, and he gives her twice as many carrots as the last time they met (tracked by the variable carrots). By counting how many carrots she has eaten from when she last teleported the Tortoise (recorded in l) until she finally has surplus carrots when she meets him again, the Hare directly discovers lambda.

```
record 'a state = m :: nat - \mu
l :: nat - \lambda
carrots :: nat
hare :: 'a
tortoise :: 'a
context \ properties
begin
definition \ (in \ fx0) \ find\_lambda :: 'a \ state \Rightarrow 'a \ state \ where
find\_lambda \equiv
(\lambda s. \ s( \ carrots := 1, \ l := 1, \ tortoise := x0, \ hare := f \ x0 \ )) \ ;;
while \ (hare \neq tortoise)
(\ (if \ carrots = l \ then \ (\lambda s. \ s( \ tortoise := hare \ s, \ carrots := 2 * \ carrots \ s, \ l := 0 \ ))
else \ SKIP \ ) \ ;;
(\lambda s. \ s( \ hare := f \ (hare \ s), \ l := l \ s + 1 \ )) \ )
```

The termination argument goes intuitively as follows. The Hare eats as many carrots as it takes to teleport the Tortoise into the loop. Afterwards she continues the teleportation dance until the Tortoise has given her enough carrots to make it all the way around the loop and back to him.

We can calculate the Tortoise's position as a function of *carrots*.

```
definition carrots total :: nat \Rightarrow nat where
  carrots\_total\ c \equiv \sum i < lg\ c.\ 2\ \widehat{\ }i
lemma carrots_total_simps:
  carrots total (Suc \theta) = \theta
  carrots total (Suc (Suc \theta)) = 1
  2 \hat{i} = c \Longrightarrow carrots \ total \ (c + c) = c + carrots \ total \ c
\langle proof \rangle
definition find_lambda_measures :: ( (nat \times nat) \times (nat \times nat) ) set where
  find\_lambda\_measures \equiv
   measures [\lambda(l, c), mu - carrots\_total c,
             \lambda(l, c). LEAST i. lambda \leq c * 2^{\hat{i}},
             \lambda(l, c). c - l
lemma find lambda measures wellfounded:
  wf find lambda measures
\langle proof \rangle
lemma find lambda measures decreases1:
 assumes c = 2 \hat{i}
 assumes mu \leq carrots\_total \ c \longrightarrow c \leq lambda
 assumes seq\ (carrots\_total\ c) \neq seq\ (carrots\_total\ c+c)
  shows ((c', 2*c), (c, c)) \in find\_lambda\_measures
\langle proof \rangle
```

lemma find_lambda_measures_decreases2:

```
assumes ls < c

shows ( (Suc\ ls,\ c),\ (ls,\ c) ) \in find\_lambda\_measures

\langle proof \rangle

lemma find\_lambda:

\{\langle True \rangle\}\ find\_lambda\ \{l = \langle lambda \rangle\}

\langle proof \rangle
```

6.2 Finding mu

With lambda in hand, we can find mu using the same approach as for the Tortoise and Hare (§5.2), after we first move the Hare to lambda.

```
definition (in fx\theta) find\_mu :: 'a state <math>\Rightarrow 'a state where
  find\_mu \equiv
    (\lambda s. \ s(m := 0, \ tortoise := x0, \ hare := seq \ (l \ s)));
     while (hare \neq tortoise)
            (\lambda s. \ s(\text{tortoise} := f \ (\text{tortoise} \ s), \ hare := f \ (\text{hare} \ s), \ m := m \ s + 1))
lemma find mu:
  \{l = \langle lambda \rangle\} \ find\_mu \ \{l = \langle lambda \rangle \land m = \langle mu \rangle\}
\langle proof \rangle
6.3
          Top level
definition (in fx\theta) brent :: 'a state \Rightarrow 'a state where
  brent \equiv find\_lambda ;; find\_mu
theorem brent:
  \{\!\!\! |\langle \mathit{True} \rangle | \!\!\! | \ \mathit{brent} \ | \!\!\! | \ l = \langle \mathit{lambda} \rangle \land m = \langle \mathit{mu} \rangle | \!\!\! | \ \!\!\! |
\langle proof \rangle
end
corollary brent_correct:
  assumes s': s' = fx\theta. brent f x arbitrary
  shows fx\theta. properties f x (l s') (m s')
\langle proof \rangle
schematic_goal brent_code[code]:
  fx0.brent f x = ?code
\langle proof \rangle
export_code fx0.brent in SML
```

7 Concluding remarks

Leino (2012) uses an SMT solver to verify a Tortoise-and-Hare cycle-finder. He finds the parameters lambda and mu initially by using a "ghost" depth-first search, while we use more economical non-constructive methods. I thank Christian Griset for patiently discussing the finer details of the proofs, and Makarius for many helpful suggestions.

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