

# Topological semantics for paraconsistent and paracomplete logics

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## Abstract

We investigate mathematical structures that provide natural semantics for families of (quantified) non-classical logics featuring special unary connectives, known as recovery operators, that allow us to 'recover' the properties of classical logic in a controlled manner. These structures are known as topological Boolean algebras, which are Boolean algebras extended with additional operations subject to specific conditions of a topological nature. In this study we focus on the paradigmatic case of negation. We demonstrate how these algebras are well-suited to provide a semantics for some families of paraconsistent Logics of Formal Inconsistency and paracomplete Logics of Formal Undeterminedness. These logics feature recovery operators used to earmark propositions that behave 'classically' when interacting with non-classical negations. We refer to the companion paper [1] for more information.

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```
theory boolean-algebra
imports Main
begin
```

Technical configuration

```
declare[[smt-timeout=30]]
declare[[show-types]]
```

```
sledgehammer-params[isar-proof=false]
nitpick-params[assms=true, user-axioms=true, show-all, expect=genuine, format=3, atoms=a b c d]
```

We hide some Isabelle/HOL notation from the libraries (which we don't use) to avoid overloading

```
hide-const(open) List.list.Nil unbundle no list-syntax
hide-const(open) Relation.converse unbundle no converse-syntax
hide-const(open) Fun.comp no-notation Fun.comp (infixl `o` 55)
hide-const(open) Groups.plus-class.plus no-notation Groups.plus-class.plus (infixl `+` 65)
hide-const(open) Groups.times-class.times no-notation Groups.times-class.times (infixl `*` 70)
hide-const(open) Groups.minus-class.minus no-notation Groups.minus-class.minus (infixl `-_` 65)
hide-const(open) Groups.uminus-class.uminus unbundle no uminus-syntax
```

## 1 Shallow semantical embedding of (a logic of) Boolean algebras

We encode Boolean algebras via their (Stone) representation as algebras of sets ('fields of sets'). This means that each element of (the carrier of) the algebra will be a set of 'points'. Inspired by the 'propositions as sets of worlds' paradigm from modal logic, we may think of points as being 'worlds', and thus of the elements of our Boolean algebras as 'propositions'. Of course, this is just one among many possible interpretations, and nothing stops us from thinking of points as any other kind of object (e.g., they can be sets, functions, sets of functions, etc.).

We utilize a particular naming convention: The type parameter ' $w$ ' is employed for the domain/universe of 'points'. We conveniently introduce the (parametric) type-alias  $('w)\sigma$  as shorthand for  $w \Rightarrow \text{bool}$ . Hence, the elements of our algebra are objects of type  $('w)\sigma$ , and thus correspond to (characteristic functions of) sets of 'points'. Set-valued (resp. set-domain) functions are thus functions that have sets as their codomain (resp. domain), they are basically anything with a (parametric) type  $a \Rightarrow ('w)\sigma$  (resp.  $('w)\sigma \Rightarrow a$ ).

Type for (characteristic functions of) sets (of 'points')

**type-synonym**  $'w \sigma = \langle 'w \Rightarrow \text{bool} \rangle$

In the sequel, we will (try to) enforce the following naming convention:

- (i) Upper-case latin letters (A, B, D, M, P, S, X, etc.) denote arbitrary sets (type  $('w)\sigma$ ). We will employ lower-case letters (p, q, x, w, etc.) to denote variables playing the role of 'points'. In some contexts, the letters S and D will be employed to denote sets/domains of sets (of 'points').
- (ii) Greek letters denote arbitrary set-valued functions (type  $'a \Rightarrow ('w)\sigma$ ). We employ the letters  $\varphi, \psi$  and  $\eta$  to denote arbitrary unary operations (with type  $('w)\sigma \Rightarrow ('w)\sigma$ ).
- (iii) Upper-case calligraphic letters ( $\mathcal{B}, \mathcal{I}, \mathcal{C}, \mathcal{F}$ , etc.) are reserved for unary operations that are intended to act as 'topological operators' in the given context.

## 1.1 Encoding Boolean operations

Standard inclusion-based order structure on sets.

**definition**  $\text{subset}::'w \sigma \Rightarrow 'w \sigma \Rightarrow \text{bool}$  (**infixr**  $\leq$  45)

where  $A \leq B \equiv \forall p. A p \rightarrow B p$

**definition**  $\text{setequ}::'w \sigma \Rightarrow 'w \sigma \Rightarrow \text{bool}$  (**infixr**  $=$  45)

where  $A = B \equiv \forall p. A p \leftrightarrow B p$

**named-theorems** *order*

**declare**  $\text{setequ-def}[order]$   $\text{subset-def}[order]$

**lemma**  $\text{subset-char1}: (A \leq B) = (\forall C. B \leq C \rightarrow A \leq C)$  **by** (metis subset-def)

**lemma**  $\text{subset-char2}: (A \leq B) = (\forall C. C \leq A \rightarrow C \leq B)$  **by** (metis subset-def)

These (trivial) lemmas are intended to help automated tools.

**lemma**  $\text{setequ-char}: (A = B) = (A \leq B \wedge B \leq A)$  **unfolding** *order* **by** blast

**lemma**  $\text{setequ-ext}: (A = B) = (A = B)$  **unfolding** *order* **by** blast

We now encode connectives for (distributive and complemented) bounded lattices, mostly by reusing their counterpart meta-logical HOL connectives.

**definition**  $\text{meet}::'w \sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (**infixr**  $\wedge$  54)

where  $A \wedge B \equiv \lambda p. (A p) \wedge (B p)$  — intersection

**definition**  $\text{join}::'w \sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (**infixr**  $\vee$  53)

where  $A \vee B \equiv \lambda p. (A p) \vee (B p)$  — union

**definition**  $\text{top}::'w \sigma \langle \top \rangle$

where  $\top \equiv \lambda w. \text{True}$  — universe

**definition**  $\text{bottom}::'w \sigma \langle \perp \rangle$

where  $\perp \equiv \lambda w. \text{False}$  — empty-set

And introduce further operations to obtain a Boolean algebra (of sets).

**definition**  $\text{compl}::'w \sigma \Rightarrow 'w \sigma \langle \dashv \rangle [57]58$

where  $\neg A \equiv \lambda p. \neg(A p)$  — (set-)complement

**definition**  $\text{impl}::'w \sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (**infixr**  $\rightarrow$  51)

where  $A \rightarrow B \equiv \lambda p. (A p) \rightarrow (B p)$  — (set-)implication

**definition**  $\text{diff}::'w \sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (**infixr**  $\leftarrow$  51)

where  $A \leftarrow B \equiv \lambda p. (A p) \wedge \neg(B p)$  — (set-)difference

**definition**  $\text{dimpl}::'w \sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (**infixr**  $\leftrightarrow$  51)

where  $A \leftrightarrow B \equiv \lambda p. (A p) = (B p)$  — double implication

**definition**  $\text{sdiff}::'w \sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (**infixr**  $\triangle$  51)

where  $A \triangle B \equiv \lambda p. (A p) \neq (B p)$  — symmetric difference (aka. xor)

```

named-theorems conn
declare meet-def[conn] join-def[conn] top-def[conn] bottom-def[conn]
      impl-def[conn] dimpl-def[conn] diff-def[conn] sdiff-def[conn] compl-def[conn]

```

Verify characterization for some connectives.

```

lemma compl-char:  $\neg A = A \rightarrow \perp$  unfolding conn by simp
lemma impl-char:  $A \rightarrow B = \neg A \vee B$  unfolding conn by simp
lemma dimpl-char:  $A \leftrightarrow B = (A \rightarrow B) \wedge (B \rightarrow A)$  unfolding conn by blast
lemma diff-char1:  $A \leftarrow B = A \wedge \neg B$  unfolding conn by simp
lemma diff-char2:  $A \leftarrow B = \neg(A \rightarrow B)$  unfolding conn by simp
lemma sdiff-char1:  $A \triangle B = (A \leftarrow B) \vee (B \leftarrow A)$  unfolding conn by blast
lemma sdiff-char2:  $A \triangle B = \neg(A \leftrightarrow B)$  unfolding conn by simp

```

We can verify that (quite trivially) this algebra satisfies some properties of lattices.

```

lemma L1:  $A = A \vee A$  unfolding conn order by simp
lemma L2:  $A = A \wedge A$  unfolding conn order by simp
lemma L3:  $A \leq A \vee B$  unfolding conn order by simp
lemma L4:  $A \wedge B \leq A$  unfolding conn order by simp
lemma L5:  $(A \wedge B) \vee B = B$  unfolding setequ-char conn order by simp
lemma L6:  $A \wedge (A \vee B) = A$  unfolding setequ-char conn order by simp
lemma L7:  $A \leq C \wedge B \leq C \longrightarrow A \vee B \leq C$  unfolding conn order by simp
lemma L8:  $C \leq A \wedge C \leq B \longrightarrow C \leq A \wedge B$  unfolding conn order by simp
lemma L9:  $A \leq B \longleftrightarrow (A \vee B) = B$  unfolding setequ-char conn order by simp
lemma L10:  $B \leq A \longleftrightarrow (A \wedge B) = B$  unfolding setequ-char conn order by simp
lemma L11:  $A \leq B \wedge C \leq D \longrightarrow A \vee C \leq B \vee D$  unfolding conn order by simp
lemma L12:  $A \leq B \wedge C \leq D \longrightarrow A \wedge C \leq B \wedge D$  unfolding conn order by simp
lemma L13:  $A \wedge \top = A$  unfolding conn order by simp
lemma L14:  $A \vee \perp = A$  unfolding conn order by simp
lemma L15:  $A \leq B \longleftrightarrow (\forall C. C \wedge A \leq C \wedge B)$  by (metis L3 L4 L5 L8 setequ-char subset-char1)
lemma L16:  $A \leq B \longleftrightarrow (\forall C. C \vee A \leq C \vee B)$  by (metis L11 L4 L5 setequ-char setequ-ext)

```

These properties below hold in particular also for Boolean algebras.

```

lemma BA-impl:  $A \leq B \longleftrightarrow A \rightarrow B = \top$  unfolding conn order by simp
lemma BA-distr1:  $(A \wedge (B \vee C)) = ((A \wedge B) \vee (A \wedge C))$  unfolding setequ-char conn order by simp
lemma BA-distr2:  $(A \vee (B \wedge C)) = ((A \vee B) \wedge (A \vee C))$  unfolding conn order by blast
lemma BA-cp:  $A \leq B \longleftrightarrow \neg B \leq \neg A$  unfolding conn order by blast
lemma BA-deMorgan1:  $\neg(A \vee B) = (\neg A \wedge \neg B)$  unfolding conn order by simp
lemma BA-deMorgan2:  $\neg(A \wedge B) = (\neg A \vee \neg B)$  unfolding conn order by simp
lemma BA-dn:  $\neg\neg A = A$  unfolding conn order by simp
lemma BA-cmpl-equ:  $(\neg A = B) = (A = \neg B)$  unfolding conn order by blast

```

We conveniently introduce these properties of sets of sets (of points).

```

definition meet-closed::('w σ)σ ⇒ bool
  where meet-closed S ≡ ∀ X Y. (S X ∧ S Y) → S(X ∧ Y)
definition join-closed::('w σ)σ ⇒ bool
  where join-closed S ≡ ∀ X Y. (S X ∧ S Y) → S(X ∨ Y)

definition upwards-closed::('w σ)σ ⇒ bool
  where upwards-closed S ≡ ∀ X Y. S X ∧ X ≤ Y → S Y
definition downwards-closed::('w σ)σ ⇒ bool
  where downwards-closed S ≡ ∀ X Y. S X ∧ Y ≤ X → S Y

```

## 1.2 Atomicity and primitive equality

We can verify indeed that the algebra is atomic (in three different ways) by relying on the presence of primitive equality in HOL.

**lemma** *atomic1*:  $\forall w. \exists Q. Q w \wedge (\forall P. P w \rightarrow Q \leq P)$  **unfolding order using the-sym-eq-trivial by metis**

**definition** *atom A*  $\equiv \neg(A = \perp) \wedge (\forall P. A \leq P \vee A \leq -P)$

**lemma** *atomic2*:  $\forall w. \exists Q. Q w \wedge \text{atom } Q$  **unfolding atom-def order conn using the-sym-eq-trivial by metis**

**lemma** *atomic3*:  $\forall P. \neg(P = \perp) \rightarrow (\exists Q. \text{atom } Q \wedge Q \leq P)$  **unfolding atom-def order conn by fastforce**

Now with interactive proof:

**lemma**  $\forall P. \neg(P = \perp) \rightarrow (\exists Q. \text{atom } Q \wedge Q \leq P)$

**proof** –

```
{ fix P::'w σ
  { assume ¬(P = ⊥)
    hence ∃ v. P v unfolding conn order by simp
    then obtain w where 1:P w by (rule exE)
    let ?Q=λv. v = w — using HOL primitive equality
    have 2: atom ?Q unfolding atom-def unfolding conn order by simp
    have ∀ v. ?Q v → P v using 1 by simp
    hence 3: ?Q ≤ P unfolding order by simp
    from 2 3 have ∃ Q. atom Q ∧ Q ≤ P by blast
  } hence ¬(P = ⊥) → (∃ Q. atom Q ∧ Q ≤ P) by (rule impI)
  } thus ?thesis by (rule allI)
qed
```

## 1.3 Miscellaneous notions

We add some miscellaneous notions that will be useful later.

**abbreviation** *isEmpty S*  $\equiv \forall x. \neg S x$

**abbreviation** *nonEmpty S*  $\equiv \exists x. S x$

Function composition.

**definition** *fun-comp* ::  $('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  (**infixl**  $\circ$  75)

**where**  $\varphi \circ \psi \equiv \lambda x. \varphi(\psi x)$

Inverse projection maps a unary function to a 'projected' binary function wrt. its 1st argument.

**abbreviation** *inv-proj*::  $('a \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c) \circ (\langle(-)\rangle)$

**where**  $D\langle\rangle \equiv \lambda A. D A$

Image of a mapping  $\varphi$ , with range as an special case.

**definition** *image*::  $('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow \text{bool}) \circ (\langle[-] \rangle)$

**where**  $\llbracket \varphi S \rrbracket \equiv \lambda y. \exists x. (S x) \wedge (\varphi x) = y$

**definition** *range*::  $('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow \text{bool}) \circ (\langle[-] \rangle)$

**where**  $\llbracket \varphi [-] \rrbracket \equiv \lambda Y. \exists x. (\varphi x) = Y$

**lemma** *range-char1*:  $\llbracket \varphi [-] \rrbracket = \llbracket \varphi (\lambda x. \text{True}) \rrbracket$  **by (simp add: image-def range-def)**

**lemma** *range-char2*:  $\llbracket \varphi [-] \rrbracket = (\lambda X. \exists S. \llbracket \varphi S \rrbracket X)$  **unfolding range-def image-def by blast**

**lemma** *image-comp*:  $\llbracket (f \circ g) S \rrbracket = \llbracket f \llbracket g S \rrbracket \rrbracket$  **unfolding fun-comp-def image-def by metis**

```

end
theory boolean-algebra-operators
imports boolean-algebra
begin

```

## 1.4 Operations on set-valued functions

Functions with sets in their codomain will be called here 'set-valued functions'. We conveniently define some (2nd-order) Boolean operations on them.

The 'meet' and 'join' of two set-valued functions.

```

definition svfun-meet::('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) (infixr ‹∧› 62)
  where φ ∧ψ ≡ λx. (φ x) ∧ (ψ x)
definition svfun-join::('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) (infixr ‹∨› 61)
  where φ ∨ψ ≡ λx. (φ x) ∨ (ψ x)

```

Analogously, we can define an 'implication' and a 'complement'.

```

definition svfun-impl::('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) (infixr ‹→› 61)
  where ψ →φ ≡ λx. (ψ x) → (φ x)
definition svfun-compl::('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) (⟨(−−)⟩)
  where φ − ≡ λx. −(φ x)

```

There are two natural 0-ary connectives (aka. constants).

```

definition svfun-top::'a ⇒ 'w σ (⟨T›)
  where T ≡ λx. T
definition svfun-bot::'a ⇒ 'w σ (⟨⊥›)
  where ⊥ ≡ λx. ⊥

```

### named-theorems conn2

```

declare svfun-meet-def[conn2] svfun-join-def[conn2] svfun-impl-def[conn2]
      svfun-compl-def[conn2] svfun-top-def[conn2] svfun-bot-def[conn2]

```

And, of course, set-valued functions are naturally ordered in the expected way:

```

definition svfun-sub::('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) ⇒ bool (infixr ‹≤› 55)
  where ψ ≤φ ≡ ∀x. (ψ x) ≤ (φ x)
definition svfun-equ::('a ⇒ 'w σ) ⇒ ('a ⇒ 'w σ) ⇒ bool (infixr ‹=› 55)
  where ψ =φ ≡ ∀x. (ψ x) = (φ x)

```

### named-theorems order2

```

declare svfun-sub-def[order2] svfun-equ-def[order2]

```

These (trivial) lemmas are intended to help automated tools.

```

lemma svfun-sub-char: (ψ ≤φ) = (ψ →φ = T) by (simp add: BA-impl svfun-equ-def svfun-impl-def
svfun-sub-def svfun-top-def)
lemma svfun-equ-char: (ψ =φ) = (ψ ≤φ ∧φ ≤ψ) unfolding order2 setequ-char by blast
lemma svfun-equ-ext: (ψ =φ) = (ψ =φ) by (meson ext setequ-ext svfun-equ-def)

```

Clearly, set-valued functions form a Boolean algebra. We can prove some interesting relationships:

```

lemma svfun-compl-char: φ − = (φ →⊥) unfolding conn conn2 by simp
lemma svfun-impl-char1: (ψ →φ) = (ψ − ∨φ) unfolding conn conn2 by simp
lemma svfun-impl-char2: (ψ →φ) = (ψ ∧(φ −))− unfolding conn conn2 by simp
lemma svfun-deMorgan1: (ψ ∧φ)− = (ψ −) ∨(φ −) unfolding conn conn2 by simp
lemma svfun-deMorgan2: (ψ ∨φ)− = (ψ −) ∧(φ −) unfolding conn conn2 by simp

```

## 1.5 Operators and their transformations

Dual to set-valued functions we can have set-domain functions. For them we can define the 'dual-complement'.

```
definition sdfun-dcompl::('w σ ⇒ 'a) ⇒ ('w σ ⇒ 'a) (⟨(-d-)⟩)
where φd- ≡ λX. φ(-X)
lemma sdfun-dcompl-char: φd- = (λX. ∃ Y. (φ Y) ∧ (X = -Y)) by (metis BA-dn setequ-ext sdfun-dcompl-def)
```

Operators are a particularly important kind of functions. They are both set-valued and set-domain. Thus our algebra of operators inherits the connectives defined above plus the ones below.

We conveniently define the 'dual' of an operator.

```
definition op-dual::('w σ ⇒ 'w σ) ⇒ ('w σ ⇒ 'w σ) (⟨(-d)⟩)
where φd ≡ λX. -(φ(-X))
```

The following two 0-ary connectives (i.e. operator 'constants') exist already (but somehow implicitly). We just make them explicit by introducing some convenient notation.

```
definition id-op::'w σ ⇒ 'w σ (⟨e⟩)
where e ≡ λX. X
definition compl-op::'w σ ⇒ 'w σ (⟨n⟩)
where n ≡ λX. -X
```

```
declare sdfun-dcompl-def[conn2] op-dual-def[conn2] id-op-def[conn2] compl-op-def[conn2]
```

We now prove some lemmas (some of them might help provers in their hard work).

```
lemma dual-compl-char1: φd- = (φd)- unfolding conn2 conn order by simp
lemma dual-compl-char2: φd- = (φ-)d unfolding conn2 conn order by simp
lemma sfun-compl-invol: φ-- = φ unfolding conn2 conn order by simp
lemma dual-invol: φdd = φ unfolding conn2 conn order by simp
lemma dualcompl-invol: (φd-)d- = φ unfolding conn2 conn order by simp

lemma op-prop1: ed = e unfolding conn2 conn by simp
lemma op-prop2: nd = n unfolding conn2 conn by simp
lemma op-prop3: e- = n unfolding conn2 conn by simp
lemma op-prop4: (φ ∨: ψ)d = (φd) ∨: (ψd) unfolding conn2 conn by simp
lemma op-prop5: (φ ∨: ψ)- = (φ-) ∨: (ψ-) unfolding conn2 conn by simp
lemma op-prop6: (φ ∧: ψ)d = (φd) ∧: (ψd) unfolding conn2 conn by simp
lemma op-prop7: (φ ∧: ψ)- = (φ-) ∧: (ψ-) unfolding conn2 conn by simp
lemma op-prop8: T: = n ∨: e unfolding conn2 conn by simp
lemma op-prop9: ⊥: = n ∧: e unfolding conn2 conn by simp
```

The notion of a fixed-point is fundamental. We speak of sets being fixed-points of operators. We define a function that given an operator returns the set of all its fixed-points.

```
definition fixpoints::('w σ ⇒ 'w σ) ⇒ ('w σ)σ (⟨fp⟩)
where fp φ ≡ λX. (φ X) = X
```

We can in fact 'operationalize' the function above, thus obtaining a 'fixed-point operation'.

```
definition op-fixpoint::('w σ ⇒ 'w σ) ⇒ ('w σ ⇒ 'w σ) (⟨(-fp)⟩)
where φfp ≡ λX. (φ X) ↔ X
```

```
declare fixpoints-def[conn2] op-fixpoint-def[conn2]
```

Interestingly, the fixed-point operation (or transformation) is definable in terms of the others.

**lemma** *op-fixpoint-char*:  $\varphi^{fp} = (\varphi \wedge^: \mathbf{e}) \vee^: (\varphi^- \wedge^: \mathbf{n})$  **unfolding** *conn2 order conn by blast*

Given an operator  $\varphi$  the fixed-points of it's dual is the set of complements of  $\varphi$ 's fixed-points.

**lemma** *fp-dual*:  $fp \varphi^d = (fp \varphi)^{d-}$  **unfolding** *order conn conn2 by blast*

The fixed-points of  $\varphi$ 's complement is the set of complements of the fixed-points of  $\varphi$ 's dual-complement.

**lemma** *fp-compl*:  $fp \varphi^- = (fp (\varphi^{d-}))^{d-}$  **by** (*simp add: dual-compl-char2 dualcompl-invol fp-dual*)

The fixed-points of  $\varphi$ 's dual-complement is the set of complements of the fixed-points of  $\varphi$ 's complement.

**lemma** *fp-dcompl*:  $fp (\varphi^{d-}) = (fp \varphi^-)^{d-}$  **by** (*simp add: dualcompl-invol fp-compl*)

The fixed-points function and the fixed-point operation are essentially related.

**lemma** *fp-rel*:  $fp \varphi A \longleftrightarrow (\varphi^{fp} A) = \top$  **unfolding** *conn2 order conn by simp*

**lemma** *fp-d-rel*:  $fp \varphi^d A \longleftrightarrow \varphi^{fp}(-A) = \top$  **unfolding** *conn2 order conn by blast*

**lemma** *fp-c-rel*:  $fp \varphi^- A \longleftrightarrow \varphi^{fp} A = \perp$  **unfolding** *conn2 order conn by blast*

**lemma** *fp-dc-rel*:  $fp (\varphi^{d-}) A \longleftrightarrow \varphi^{fp}(-A) = \perp$  **unfolding** *conn2 order conn by simp*

The fixed-point operation is involutive.

**lemma** *ofp-invol*:  $(\varphi^{fp})^{fp} = \varphi$  **unfolding** *conn2 order conn by blast*

And commutes the dual with the dual-complement operations.

**lemma** *ofp-comm-dc1*:  $(\varphi^d)^{fp} = (\varphi^{fp})^{d-}$  **unfolding** *conn2 order conn by blast*

**lemma** *ofp-comm-dc2*:  $(\varphi^{d-})^{fp} = (\varphi^{fp})^d$  **unfolding** *conn2 order conn by simp*

The fixed-point operation commutes with the complement.

**lemma** *ofp-comm-compl*:  $(\varphi^-)^{fp} = (\varphi^{fp})^-$  **unfolding** *conn2 order conn by blast*

The above motivates the following alternative definition for a 'complemented-fixed-point' operation.

**lemma** *ofp-fixpoint-compl-def*:  $\varphi^{fp-} = (\lambda X. (\varphi X) \Delta X)$  **unfolding** *conn2 conn by simp*

Analogously, the 'complemented fixed-point' operation is also definable in terms of the others.

**lemma** *op-fixpoint-compl-char*:  $\varphi^{fp-} = (\varphi \vee^: \mathbf{e}) \wedge^: (\varphi^- \vee^: \mathbf{n})$  **unfolding** *conn2 conn by blast*

In fact, function composition can be seen as an additional binary connective for operators. We show below some interesting relationships that hold.

**lemma** *op-prop10*:  $\varphi = (\mathbf{e} \circ \varphi)$  **unfolding** *conn2 fun-comp-def by simp*

**lemma** *op-prop11*:  $\varphi = (\varphi \circ \mathbf{e})$  **unfolding** *conn2 fun-comp-def by simp*

**lemma** *op-prop12*:  $\mathbf{e} = (\mathbf{n} \circ \mathbf{n})$  **unfolding** *conn2 conn fun-comp-def by simp*

**lemma** *op-prop13*:  $\varphi^- = (\mathbf{n} \circ \varphi)$  **unfolding** *conn2 fun-comp-def by simp*

**lemma** *op-prop14*:  $\varphi^{d-} = (\varphi \circ \mathbf{n})$  **unfolding** *conn2 fun-comp-def by simp*

**lemma** *op-prop15*:  $\varphi^d = (\mathbf{n} \circ \varphi \circ \mathbf{n})$  **unfolding** *conn2 fun-comp-def by simp*

There are also some useful properties regarding the images of operators.

**lemma** *im-prop1*:  $\llbracket \varphi D \rrbracket^{d-} = \llbracket \varphi^d D^{d-} \rrbracket$  **unfolding** *image-def op-dual-def sdfun-dcompl-def by (metis BA-dn setequ-ext)*

**lemma** *im-prop2*:  $\llbracket \varphi^- D \rrbracket^{d-} = \llbracket \varphi D \rrbracket$  **unfolding** *image-def svfun-compl-def sdfun-dcompl-def by (metis BA-dn setequ-ext)*

```

lemma im-prop3:  $\llbracket \varphi^d D \rrbracket^{d-} = \llbracket \varphi D^{d-} \rrbracket$  unfolding image-def op-dual-def sdfun-dcompl-def by (metis BA-dn setequ-ext)
lemma im-prop4:  $\llbracket \varphi^{d-} D \rrbracket^{d-} = \llbracket \varphi^d D \rrbracket$  unfolding image-def op-dual-def sdfun-dcompl-def by (metis BA-dn setequ-ext)
lemma im-prop5:  $\llbracket \varphi^- D^{d-} \rrbracket = \llbracket \varphi^{d-} D \rrbracket^{d-}$  unfolding image-def svfun-compl-def sdfun-dcompl-def by (metis (no-types, opaque-lifting) BA-dn setequ-ext)
lemma im-prop6:  $\llbracket \varphi^{d-} D^{d-} \rrbracket = \llbracket \varphi D \rrbracket$  unfolding image-def sdfun-dcompl-def by (metis BA-dn setequ-ext)

```

Observe that all results obtained by assuming fixed-point predicates extend to their associated operators.

```

lemma  $\varphi^{fp}(A) \wedge \Gamma(A) \leq \Delta(A) \longrightarrow (fp \varphi)(A) \longrightarrow \Gamma(A) \leq \Delta(A)$ 
  by (simp add: fp-rel meet-def setequ-ext subset-def top-def)
lemma  $\varphi^{fp}(A) \wedge \varphi^{fp}(B) \wedge (\Gamma A B) \leq (\Delta A B) \longrightarrow (fp \varphi)(A) \wedge (fp \varphi)(B) \longrightarrow (\Gamma A B) \leq (\Delta A B)$ 
  by (simp add: fp-rel meet-def setequ-ext subset-def top-def)

end
theory boolean-algebra-infinitary
  imports boolean-algebra-operators
begin

```

## 1.6 Encoding infinitary Boolean operations

Our aim is to encode complete Boolean algebras (of sets) which we can later be used to interpret quantified formulas (somewhat in the spirit of Boolean-valued models for set theory).

We start by defining infinite meet (infimum) and infinite join (supremum) operations.

```

definition infimum::  $('w \sigma)\sigma \Rightarrow 'w \sigma (\langle \wedge \rangle)$ 
  where  $\wedge S \equiv \lambda w. \forall X. S X \longrightarrow X w$ 
definition supremum::  $('w \sigma)\sigma \Rightarrow 'w \sigma (\langle \vee \rangle)$ 
  where  $\vee S \equiv \lambda w. \exists X. S X \wedge X w$ 

```

```
declare infimum-def[conn] supremum-def[conn]
```

Infimum and supremum satisfy an infinite variant of the De Morgan laws.

```

lemma iDM-a:  $\neg(\wedge S) = \vee(S^{d-})$  unfolding order conn conn2 by force
lemma iDM-b:  $\neg(\vee S) = \wedge(S^{d-})$  unfolding order conn conn2 by force

```

We show that our encoded Boolean algebras are lattice-complete. The functions below return the set of upper-/lower-bounds of a set of sets S (wrt. domain D).

```

definition upper-bounds::  $('w \sigma)\sigma \Rightarrow ('w \sigma)\sigma (\langle ub \rangle)$ 
  where  $ub S \equiv \lambda U. \forall X. S X \longrightarrow X \leq U$ 
definition upper-bounds-restr::  $('w \sigma)\sigma \Rightarrow ('w \sigma)\sigma \Rightarrow ('w \sigma)\sigma (\langle ub^- \rangle)$ 
  where  $ub^D S \equiv \lambda U. D U \wedge (\forall X. S X \longrightarrow X \leq U)$ 
definition lower-bounds::  $('w \sigma)\sigma \Rightarrow ('w \sigma)\sigma (\langle lb \rangle)$ 
  where  $lb S \equiv \lambda L. \forall X. S X \longrightarrow L \leq X$ 
definition lower-bounds-restr::  $('w \sigma)\sigma \Rightarrow ('w \sigma)\sigma \Rightarrow ('w \sigma)\sigma (\langle lb^- \rangle)$ 
  where  $lb^D S \equiv \lambda L. D L \wedge (\forall X. S X \longrightarrow L \leq X)$ 

```

```

lemma ub-char:  $ub S = (\text{let } D = \top \text{ in } ub^D S)$  by (simp add: top-def upper-bounds-def upper-bounds-restr-def)
lemma lb-char:  $lb S = (\text{let } D = \top \text{ in } lb^D S)$  by (simp add: top-def lower-bounds-def lower-bounds-restr-def)

```

Similarly, the functions below return the set of least/greatest upper-/lower-bounds for S (wrt. D).

```

definition lub::('w σ)σ ⇒ ('w σ)σ ⟨lub⟩)
  where lub S ≡ λU. ub S U ∧ (∀X. ub S X → U ≤ X)
definition lub-restr::('w σ)σ ⇒ ('w σ)σ ⟨lub-⟩)
  where lubD S ≡ λU. ubD S U ∧ (∀X. ubD S X → U ≤ X)
definition glb::('w σ)σ ⇒ ('w σ)σ ⟨glb⟩)
  where glb S ≡ λL. lb S L ∧ (∀X. lb S X → X ≤ L)
definition glb-restr::('w σ)σ ⇒ ('w σ)σ ⇒ ('w σ)σ ⟨glb-⟩)
  where glbD S ≡ λL. lbD S L ∧ (∀X. lbD S X → X ≤ L)

```

Both pairs of definitions above are suitably related. (Note that the term  $\top$  below denotes the top element in the algebra of sets of sets (i.e. the powerset).)

```

lemma lub-char: lub S = (let D=⊤ in lubD S) by (simp add: lub-def lub-restr-def ub-char)
lemma glb-char: glb S = (let D=⊤ in glbD S) by (simp add: glb-def glb-restr-def lb-char)

```

Clearly, the notions of infimum/supremum correspond to least/greatest upper-/lower-bound.

```

lemma sup-lub: lub S ∨ S unfolding lub-def upper-bounds-def supremum-def subset-def by blast
lemma sup-exist-unique: ∀S. ∃!X. lub S X by (meson lub-def setequ-char setequ-ext sup-lub)
lemma inf-glb: glb S ∧ S unfolding glb-def lower-bounds-def infimum-def subset-def by blast
lemma inf-exist-unique: ∀S. ∃!X. glb S X by (meson glb-def inf-glb setequ-char setequ-ext)

```

The property of being closed under arbitrary (resp. nonempty) supremum/infimum.

```

definition infimum-closed :: ('w σ)σ ⇒ bool
  where infimum-closed S ≡ ∀D. D ≤ S → S(∧D)
definition supremum-closed :: ('w σ)σ ⇒ bool
  where supremum-closed S ≡ ∀D. D ≤ S → S(∨D)
definition infimum-closed' :: ('w σ)σ ⇒ bool
  where infimum-closed' S ≡ ∀D. nonEmpty D ∧ D ≤ S → S(∧D)
definition supremum-closed' :: ('w σ)σ ⇒ bool
  where supremum-closed' S ≡ ∀D. nonEmpty D ∧ D ≤ S → S(∨D)

```

```

lemma inf-empty: isEmpty S ⇒ ∨S = ⊤ unfolding order conn by simp
lemma sup-empty: isEmpty S ⇒ ∨S = ⊥ unfolding order conn by simp

```

Note that arbitrary infimum- (resp. supremum-) closed sets include the top (resp. bottom) element.

```

lemma infimum-closed S ⇒ S ⊤ unfolding infimum-closed-def conn order by force
lemma supremum-closed S ⇒ S ⊥ unfolding supremum-closed-def conn order by force

```

However, the above does not hold for non-empty infimum- (resp. supremum-) closed sets.

```

lemma infimum-closed' S ⇒ S ⊤ nitpick oops — countermodel
lemma supremum-closed' S ⇒ S ⊥ nitpick oops — countermodel

```

We have in fact the following characterizations for the notions above.

```

lemma inf-closed-char: infimum-closed S = (infimum-closed' S ∧ S ⊤)
  unfolding infimum-closed'-def infimum-closed-def by (metis bottom-def infimum-closed-def infimum-def
setequ-char setequ-ext subset-def top-def)
lemma sup-closed-char: supremum-closed S = (supremum-closed' S ∧ S ⊥)
  unfolding supremum-closed'-def supremum-closed-def by (metis (no-types, opaque-lifting) L14 L9
bottom-def setequ-ext subset-def supremum-def)

lemma inf-sup-closed-dc: infimum-closed S = supremum-closed Sd- by (smt (verit) BA-dn iDM-a
iDM-b infimum-closed-def setequ-ext sfun-dcompl-def subset-def supremum-closed-def)
lemma inf-sup-closed-dc': infimum-closed' S = supremum-closed' Sd- by (smt (verit) dualcompl-invol
iDM-a infimum-closed'-def sfun-dcompl-def setequ-ext subset-def supremum-closed'-def)

```

We check some further properties.

```
lemma fp-inf-sup-closed-dual: infimum-closed (fp  $\varphi$ ) = supremum-closed (fp  $\varphi^d$ )
  by (simp add: fp-dual inf-sup-closed-dc)
```

```
lemma fp-inf-sup-closed-dual': infimum-closed' (fp  $\varphi$ ) = supremum-closed' (fp  $\varphi^d$ )
  by (simp add: fp-dual inf-sup-closed-dc')
```

We verify that being infimum-closed' (resp. supremum-closed') entails being meet-closed (resp. join-closed).

```
lemma inf-meet-closed:  $\forall S$ . infimum-closed'  $S \rightarrow$  meet-closed  $S$  proof -
```

```
{ fix  $S::'w \sigma \Rightarrow \text{bool}$ 
```

```
{ assume inf-closed: infimum-closed'  $S$ 
```

```
hence meet-closed  $S$  proof -
```

```
{ fix  $X::'w \sigma$  and  $Y::'w \sigma$ 
```

```
let  $?D=\lambda Z$ .  $Z=X \vee Z=Y$ 
```

```
{ assume  $S X \wedge S Y$ 
```

```
hence  $?D \leq S$  using subset-def by blast
```

```
moreover have nonEmpty  $?D$  by auto
```

```
ultimately have  $S(\bigwedge ?D)$  using inf-closed infimum-closed'-def by (smt (z3))
```

```
hence  $S(\lambda w. \forall Z. (Z=X \vee Z=Y) \rightarrow Z w)$  unfolding infimum-def by simp
```

```
moreover have  $(\lambda w. \forall Z. (Z=X \vee Z=Y) \rightarrow Z w) = (\lambda w. X w \wedge Y w)$  by auto
```

```
ultimately have  $S(\lambda w. X w \wedge Y w)$  by simp
```

```
} hence  $(S X \wedge S Y) \rightarrow S(X \wedge Y)$  unfolding conn by (rule impI)
```

```
} thus ?thesis unfolding meet-closed-def by simp qed
```

```
{ hence infimum-closed'  $S \rightarrow$  meet-closed  $S$  by simp
```

```
} thus ?thesis by (rule allI)
```

```
qed
```

```
lemma sup-join-closed:  $\forall P$ . supremum-closed'  $P \rightarrow$  join-closed  $P$  proof -
```

```
{ fix  $S::'w \sigma \Rightarrow \text{bool}$ 
```

```
{ assume sup-closed: supremum-closed'  $S$ 
```

```
hence join-closed  $S$  proof -
```

```
{ fix  $X::'w \sigma$  and  $Y::'w \sigma$ 
```

```
let  $?D=\lambda Z$ .  $Z=X \vee Z=Y$ 
```

```
{ assume  $S X \wedge S Y$ 
```

```
hence  $?D \leq S$  using subset-def by blast
```

```
moreover have nonEmpty  $?D$  by auto
```

```
ultimately have  $S(\bigvee ?D)$  using sup-closed supremum-closed'-def by (smt (z3))
```

```
hence  $S(\lambda w. \exists Z. (Z=X \vee Z=Y) \wedge Z w)$  unfolding supremum-def by simp
```

```
moreover have  $(\lambda w. \exists Z. (Z=X \vee Z=Y) \wedge Z w) = (\lambda w. X w \vee Y w)$  by auto
```

```
ultimately have  $S(\lambda w. X w \vee Y w)$  by simp
```

```
} hence  $(S X \wedge S Y) \rightarrow S(X \vee Y)$  unfolding conn by (rule impI)
```

```
} thus ?thesis unfolding join-closed-def by simp qed
```

```
{ hence supremum-closed'  $S \rightarrow$  join-closed  $S$  by simp
```

```
} thus ?thesis by (rule allI)
```

```
qed
```

```
end
```

```
theory conditions-positive
```

```
imports boolean-algebra-operators
```

```
begin
```

## 2 Topological Conditions

We define and interrelate some useful axiomatic conditions on unary operations (operators) having a ' $w$ -parametric type  $('w)\sigma \Rightarrow ('w)\sigma$ . Boolean algebras extended with such operators give

us different sorts of topological Boolean algebras.

## 2.1 Positive Conditions

Monotonicity (MONO).

```
definition MONO::('w σ ⇒ 'w σ) ⇒ bool (⟨MONO⟩)
  where MONO φ ≡ ∀ A B. A ≤ B → φ A ≤ φ B
```

**named-theorems** cond

```
declare MONO-def[cond]
```

MONO is self-dual.

```
lemma MONO-dual: MONO φ = MONO φd by (smt (verit) BA-cp MONO-def dual-invol op-dual-def)
```

Expansive/extensive (EXPX) and its dual contractive (CNTR).

```
definition EXPX::('w σ ⇒ 'w σ) ⇒ bool (⟨EXPX⟩)
  where EXPX φ ≡ ∀ A. A ≤ φ A
```

```
definition CNTR::('w σ ⇒ 'w σ) ⇒ bool (⟨CNTR⟩)
  where CNTR φ ≡ ∀ A. φ A ≤ A
```

```
declare EXPX-def[cond] CNTR-def[cond]
```

EXPX and CNTR are dual to each other.

```
lemma EXPX-CNTR-dual1: EXPX φ = CNTR φd unfolding cond by (metis BA-cp BA-dn op-dual-def setequ-ext)
```

```
lemma EXPX-CNTR-dual2: CNTR φ = EXPX φd by (simp add: EXPX-CNTR-dual1 dual-invol)
```

Normality (NORM) and its dual (DNRM).

```
definition NORM::('w σ ⇒ 'w σ) ⇒ bool (⟨NORM⟩)
  where NORM φ ≡ (φ ⊥) = ⊥
```

```
definition DNRM::('w σ ⇒ 'w σ) ⇒ bool (⟨DNRM⟩)
  where DNRM φ ≡ (φ ⊤) = ⊤
```

```
declare NORM-def[cond] DNRM-def[cond]
```

NORM and DNRM are dual to each other.

```
lemma NOR-dual1: NORM φ = DNRM φd unfolding cond by (simp add: bottom-def compl-def op-dual-def setequ-def top-def)
```

```
lemma NOR-dual2: DNRM φ = NORM φd by (simp add: NOR-dual1 dual-invol)
```

EXPX (CNTR) entails DNRM (NORM).

```
lemma EXPX-impl-DNRM: EXPX φ → DNRM φ unfolding cond by (simp add: setequ-def sub-set-def top-def)
```

```
lemma CNTR-impl-NORM: CNTR φ → NORM φ by (simp add: EXPX-CNTR-dual2 EXPX-impl-DNRM NOR-dual1 dual-invol)
```

Idempotence (IDEM).

```
definition IDEM::('w σ ⇒ 'w σ) ⇒ bool (⟨IDEM⟩)
  where IDEM φ ≡ ∀ A. φ(φ A) = (φ A)
```

```
definition IDEM-a::('w σ ⇒ 'w σ) ⇒ bool (⟨IDEMa⟩)
  where IDEMa φ ≡ ∀ A. φ(φ A) ≤ (φ A)
```

```
definition IDEM-b::('w σ ⇒ 'w σ) ⇒ bool (⟨IDEMb⟩)
```

**where**  $IDEML^b \varphi \equiv \forall A. (\varphi A) \leq \varphi(\varphi A)$

**declare**  $IDEML\text{-def}[cond]$   $IDEML\text{-a}\text{-def}[cond]$   $IDEML\text{-b}\text{-def}[cond]$

IDEML-a and IDEML-b are dual to each other.

**lemma**  $IDEML\text{-dual1}: IDEML^a \varphi = IDEML^b \varphi^d$  **unfolding** cond **by** (metis (mono-tags, opaque-lifting) BA-cp BA-dn op-dual-def setequ-ext)

**lemma**  $IDEML\text{-dual2}: IDEML^b \varphi = IDEML^a \varphi^d$  **by** (simp add: IDEML-dual1 dual-invol)

**lemma**  $IDEML\text{-char}: IDEML \varphi = (IDEML^a \varphi \wedge IDEML^b \varphi)$  **unfolding** cond setequ-char **by** blast

**lemma**  $IDEML\text{-dual}: IDEML \varphi = IDEML \varphi^d$  **using** IDEML-char IDEML-dual1 IDEML-dual2 **by** blast

EXPN (CNTR) entail IDEML-b (IDEML-a).

**lemma**  $EXPN\text{-impl-IDEML-b}: EXPN \varphi \rightarrow IDEML^b \varphi$  **by** (simp add: EXPN-def IDEML-b-def)

**lemma**  $CNTR\text{-impl-IDEML-a}: CNTR \varphi \rightarrow IDEML^a \varphi$  **by** (simp add: CNTR-def IDEML-a-def)

Moreover, IDEML has some other interesting characterizations. For example, via function composition:

**lemma**  $IDEML\text{-fun-comp-char}: IDEML \varphi = (\varphi = \varphi \circ \varphi)$  **unfolding** cond fun-comp-def **by** (metis setequ-ext)

Or having the property of collapsing the range and the set of fixed-points of an operator:

**lemma**  $IDEML\text{-range-fp-char}: IDEML \varphi = ([\![\varphi]\!] = fp \varphi)$  **unfolding** cond range-def fixpoints-def **by** (metis setequ-ext)

Distribution over joins or additivity (ADDI).

**definition**  $ADDI::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle ADDI \rangle)$

**where**  $ADDI \varphi \equiv \forall A B. \varphi(A \vee B) = (\varphi A) \vee (\varphi B)$

**definition**  $ADDI\text{-a}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle ADDI^a \rangle)$

**where**  $ADDI^a \varphi \equiv \forall A B. \varphi(A \vee B) \leq (\varphi A) \vee (\varphi B)$

**definition**  $ADDI\text{-b}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle ADDI^b \rangle)$

**where**  $ADDI^b \varphi \equiv \forall A B. (\varphi A) \vee (\varphi B) \leq \varphi(A \vee B)$

Distribution over meets or multiplicativity (MULT).

**definition**  $MULT::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle MULT \rangle)$

**where**  $MULT \varphi \equiv \forall A B. \varphi(A \wedge B) = (\varphi A) \wedge (\varphi B)$

**definition**  $MULT\text{-a}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle MULT^a \rangle)$

**where**  $MULT^a \varphi \equiv \forall A B. \varphi(A \wedge B) \leq (\varphi A) \wedge (\varphi B)$

**definition**  $MULT\text{-b}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle MULT^b \rangle)$

**where**  $MULT^b \varphi \equiv \forall A B. (\varphi A) \wedge (\varphi B) \leq \varphi(A \wedge B)$

**declare**  $ADDI\text{-def}[cond]$   $ADDI\text{-a}\text{-def}[cond]$   $ADDI\text{-b}\text{-def}[cond]$

$MULT\text{-def}[cond]$   $MULT\text{-a}\text{-def}[cond]$   $MULT\text{-b}\text{-def}[cond]$

**lemma**  $ADDI\text{-char}: ADDI \varphi = (ADDI^a \varphi \wedge ADDI^b \varphi)$  **unfolding** cond **using** setequ-char **by** blast

**lemma**  $MULT\text{-char}: MULT \varphi = (MULT^a \varphi \wedge MULT^b \varphi)$  **unfolding** cond **using** setequ-char **by** blast

MONO, MULT-a and ADDI-b are equivalent.

**lemma**  $MONO\text{-MULTa}: MULT^a \varphi = MONO \varphi$  **unfolding** cond **by** (metis L10 L3 L4 L5 L8 setequ-char setequ-ext)

**lemma**  $MONO\text{-ADDIb}: ADDI^b \varphi = MONO \varphi$  **unfolding** cond **by** (metis (mono-tags, lifting) L7 L9 join-def setequ-ext subset-def)

Below we prove several duality relationships between ADDI(a/b) and MULT(a/b).

Duality between MULT-a and ADDI-b (an easy corollary from the self-duality of MONO).

```
lemma MULTa-ADDIb-dual1:  $MULT^a \varphi = ADDI^b \varphi^d$  by (metis MONO-ADDIb MONO-MULTa MONO-dual)
lemma MULTa-ADDIb-dual2:  $ADDI^b \varphi = MULT^a \varphi^d$  by (simp add: MULTa-ADDIb-dual1 dual-invol)
```

Duality between ADDI-a and MULT-b.

```
lemma ADDIa-MULTb-dual1:  $ADDI^a \varphi = MULT^b \varphi^d$  unfolding cond op-dual-def by (metis BA-cp BA-deMorgan1 BA-dn setequ-ext)
lemma ADDIa-MULTb-dual2:  $MULT^b \varphi = ADDI^a \varphi^d$  by (simp add: ADDIa-MULTb-dual1 dual-invol)
```

Duality between ADDI and MULT.

```
lemma ADDI-MULT-dual1:  $ADDI \varphi = MULT \varphi^d$  using ADDI-char ADDIa-MULTb-dual1 MULT-char MULTa-ADDIb-dual2 by blast
lemma ADDI-MULT-dual2:  $MULT \varphi = ADDI \varphi^d$  by (simp add: ADDI-MULT-dual1 dual-invol)
```

We verify properties regarding closure over meets/joins for fixed-points.

MULT implies meet-closedness of the set of fixed-points (the converse requires additional assumptions).

```
lemma MULT-meetclosed:  $MULT \varphi \Rightarrow meet\text{-closed} (fp \varphi)$  by (simp add: MULT-def fixpoints-def meet-closed-def setequ-ext)
lemma meet-closed (fp  $\varphi$ )  $\Rightarrow$  MULT  $\varphi$  nitpick oops — countermodel found: needs further assumptions.
lemma meetclosed-MULT:  $MONO \varphi \Rightarrow CNTR \varphi \Rightarrow IDEM^b \varphi \Rightarrow meet\text{-closed} (fp \varphi) \Rightarrow MULT \varphi$  by (smt (z3) CNTR-def IDEM-b-def MONO-MULTa MONO-def MULT-a-def MULT-def fixpoints-def meet-closed-def meet-def setequ-char setequ-ext subset-def)
```

ADDI implies join-closedness of the set of fixed-points (the converse requires additional assumptions).

```
lemma ADDI-joinclosed:  $ADDI \varphi \Rightarrow join\text{-closed} (fp \varphi)$  by (simp add: ADDI-def fixpoints-def join-closed-def setequ-ext)
lemma join-closed (fp  $\varphi$ )  $\Rightarrow$  ADDI  $\varphi$  nitpick oops — countermodel found: needs further assumptions
lemma joinclosed-ADDI:  $MONO \varphi \Rightarrow EXPN \varphi \Rightarrow IDEM^a \varphi \Rightarrow join\text{-closed} (fp \varphi) \Rightarrow ADDI \varphi$  by (smt (verit, ccfv-threshold) ADDI-MULT-dual1 BA-deMorgan2 EXPN-CNTR-dual1 IDEM-dual1 MONO-dual fp-dual join-closed-def meet-closed-def meetclosed-MULT sfun-dcompl-def setequ-ext)
```

Assuming MONO, we have that EXPN (CNTR) implies meet-closed (join-closed) for the set of fixed-points.

```
lemma EXPN-meetclosed:  $MONO \varphi \Rightarrow EXPN \varphi \Rightarrow meet\text{-closed} (fp \varphi)$  by (smt (verit) EXPN-def MONO-MULTa MULT-a-def fixpoints-def meet-closed-def setequ-char setequ-ext)
lemma CNTR-joinclosed:  $MONO \varphi \Rightarrow CNTR \varphi \Rightarrow join\text{-closed} (fp \varphi)$  by (smt (verit, best) ADDI-b-def CNTR-def MONO-ADDIb fixpoints-def join-closed-def setequ-char setequ-ext)
```

Further assuming IDEM the above results can be stated to the whole range of an operator.

```
lemma MONO  $\varphi \Rightarrow EXPN \varphi \Rightarrow IDEM \varphi \Rightarrow meet\text{-closed} ([\varphi -])$  by (simp add: EXPN-meetclosed IDEM-range-fp-char)
lemma MONO  $\varphi \Rightarrow CNTR \varphi \Rightarrow IDEM \varphi \Rightarrow join\text{-closed} ([\varphi -])$  by (simp add: CNTR-joinclosed IDEM-range-fp-char)
```

```
end
theory conditions-positive-infinitary
imports conditions-positive boolean-algebra-infinitary
begin
```

## 2.2 Infinitary Positive Conditions

We define and interrelate infinitary variants for some previously introduced axiomatic conditions on operators.

Distribution over infinite joins (suprema) or infinite additivity (iADDI).

```
definition iADDI::('w σ ⇒ 'w σ) ⇒ bool (⟨iADDI⟩)
  where iADDI φ ≡ ∀ S. φ(∨S) = ∨[φ S]
definition iADDI-a::('w σ ⇒ 'w σ) ⇒ bool (⟨iADDIa⟩)
  where iADDIa φ ≡ ∀ S. φ(∨S) ≤ ∨[φ S]
definition iADDI-b::('w σ ⇒ 'w σ) ⇒ bool (⟨iADDIb⟩)
  where iADDIb φ ≡ ∀ S. ∨[φ S] ≤ φ(∨S)
```

Distribution over infinite meets (infima) or infinite multiplicativity (iMULT).

```
definition iMULT::('w σ ⇒ 'w σ) ⇒ bool (⟨iMULT⟩)
  where iMULT φ ≡ ∀ S. φ(∧S) = ∧[φ S]
definition iMULT-a::('w σ ⇒ 'w σ) ⇒ bool (⟨iMULTa⟩)
  where iMULTa φ ≡ ∀ S. φ(∧S) ≤ ∧[φ S]
definition iMULT-b::('w σ ⇒ 'w σ) ⇒ bool (⟨iMULTb⟩)
  where iMULTb φ ≡ ∀ S. ∧[φ S] ≤ φ(∧S)
```

```
declare iADDI-def[cond] iADDI-a-def[cond] iADDI-b-def[cond]
      iMULT-def[cond] iMULT-a-def[cond] iMULT-b-def[cond]
```

```
lemma iADDI-char: iADDI φ = (iADDIa φ ∧ iADDIb φ) unfolding cond using setequ-char by blast
lemma iMULT-char: iMULT φ = (iMULTa φ ∧ iMULTb φ) unfolding cond using setequ-char by blast
```

ADDI-b and iADDI-b are in fact equivalent.

```
lemma iADDIb-equ: iADDIb φ = ADDIb φ proof -
  have lr: iADDIb φ ==> ADDIb φ proof -
    assume iaddib: iADDIb φ
    { fix A::'a σ and B::'a σ
      let ?S=λZ. Z=A ∨ Z=B
      have ∨?S = A ∨ B unfolding supremum-def join-def by blast
      hence p1: φ(∨?S) = φ(A ∨ B) by simp
      have [φ ?S] = (λZ. Z=(φ A) ∨ Z=(φ B)) unfolding image-def by metis
      hence p2: ∨[φ ?S] = (φ A) ∨ (φ B) unfolding supremum-def join-def by auto
      have ∨[φ ?S] ≤ φ(∨?S) using iaddib iADDI-b-def by blast
      hence (φ A) ∨ (φ B) ≤ φ(A ∨ B) using p1 p2 by simp
    } thus ?thesis by (simp add: ADDI-b-def) qed
  have rl: ADDIb φ ==> iADDIb φ unfolding iADDI-b-def by (smt (verit) MONO-ADDIb MONO-def lub-def image-def sup-lub upper-bounds-def)
    from lr rl show ?thesis by auto
qed
```

MULT-a and iMULT-a are also equivalent.

```
lemma iMULTa-equ: iMULTa φ = MULTa φ proof -
  have lr: iMULTa φ ==> MULTa φ proof -
    assume imulta: iMULTa φ
    { fix A::'a σ and B::'a σ
      let ?S=λZ. Z=A ∨ Z=B
      have ∧?S = A ∧ B unfolding infimum-def meet-def by blast
      hence p1: φ(∧?S) = φ(A ∧ B) by simp
      have [φ ?S] = (λZ. Z=(φ A) ∨ Z=(φ B)) unfolding image-def by metis
```

```

hence p2:  $\bigwedge \llbracket \varphi \ ?S \rrbracket = (\varphi A) \wedge (\varphi B)$  unfolding infimum-def meet-def by auto
have  $\varphi(\bigwedge ?S) \leq \bigwedge \llbracket \varphi \ ?S \rrbracket$  using imulta iMULT-a-def by blast
hence  $\varphi(A \wedge B) \leq (\varphi A) \wedge (\varphi B)$  using p1 p2 by simp
} thus ?thesis by (simp add: MULT-a-def) qed
have rl:  $MULT^a \varphi \implies iMULT^a \varphi$  by (smt (verit) MONO-MULTa MONO-def glb-def iMULT-a-def
inf-glb lower-bounds-def image-def)
from lr rl show ?thesis by blast
qed

```

Thus we have that MONO, ADDI-b/iADDI-b and MULT-a/iMULT-a are all equivalent.

**lemma**  $MONO\text{-}iADDIb$ :  $iADDI^b \varphi = MONO \varphi$  unfolding  $MONO\text{-}ADDIb$   $iADDIb\text{-}equ$  by simp  
**lemma**  $MONO\text{-}iMULTa$ :  $iMULT^a \varphi = MONO \varphi$  unfolding  $MONO\text{-}MULTa$   $iMULTa\text{-}equ$  by simp

Below we prove several duality relationships between iADDI(a/b) and iMULT(a/b).

Duality between iMULT-a and iADDI-b (an easy corollary from the previous equivalence).

**lemma**  $iMULTa\text{-}iADDIb\text{-dual1}$ :  $iMULT^a \varphi = iADDI^b \varphi^d$  by (simp add: MULTa-ADDIb-dual1 iAD-  
DIB-equ iMULTa-equ)  
**lemma**  $iMULTa\text{-}iADDIb\text{-dual2}$ :  $iADDI^b \varphi = iMULT^a \varphi^d$  by (simp add: MULTa-ADDIb-dual2 iAD-  
DIB-equ iMULTa-equ)

Duality between iADDI-a and iMULT-b.

**lemma**  $iADDIa\text{-}iMULTb\text{-dual1}$ :  $iADDI^a \varphi = iMULT^b \varphi^d$  by (smt (z3) BA-cmpl-equ BA-cp dual-  
compl-invol iADDI-a-def iDM-a iMULT-b-def im-prop1 op-dual-def setequ-ext)  
**lemma**  $iADDIa\text{-}iMULTb\text{-dual2}$ :  $iMULT^b \varphi = iADDI^a \varphi^d$  by (simp add: dual-invol iADDIa-iMULTb-dual1)

Duality between iADDI and iMULT.

**lemma**  $iADDI\text{-}iMULT\text{-dual1}$ :  $iADDI \varphi = iMULT \varphi^d$  using iADDI-char iADDIa-iMULTb-dual1 iMULT-char  
iMULTa-iADDIb-dual2 by blast  
**lemma**  $iADDI\text{-}iMULT\text{-dual2}$ :  $iMULT \varphi = iADDI \varphi^d$  by (simp add: dual-invol iADDI-iMULT-dual1)

In fact, infinite additivity (multiplicativity) entails (dual) normality:

**lemma**  $iADDI\text{-NORM}$ :  $iADDI \varphi \longrightarrow NORM \varphi$  unfolding cond by (metis bottom-def image-def  
setequ-ext sup-empty)  
**lemma**  $iMULT\text{-DNRM}$ :  $iMULT \varphi \longrightarrow DNRM \varphi$  by (simp add: NOR-dual2 iADDI-NORM iADDI-iMULT-dual2)

Suitable conditions on an operation can make the set of its fixed-points closed under infinite  
meets/joins.

**lemma**  $fp\text{-}sup\text{-}closed\text{-}cond1$ :  $iADDI \varphi \longrightarrow \text{supremum-closed } (fp \varphi)$  unfolding cond order supremum-closed-def  
fixpoints-def image-def by (smt (verit) supremum-def)  
**lemma**  $fp\text{-}sup\text{-}closed\text{-}cond2$ :  $iADDI^a \varphi \wedge EXPN \varphi \longrightarrow \text{supremum-closed } (fp \varphi)$  unfolding cond by  
(smt (z3) fixpoints-def image-def setequ-char subset-def supremum-closed-def supremum-def)  
**lemma**  $fp\text{-}sup\text{-}closed\text{-}cond3$ :  $MONO \varphi \wedge CNTR \varphi \longrightarrow \text{supremum-closed } (fp \varphi)$  unfolding cond by  
(smt (verit, del-insts) fixpoints-def lub-def setequ-char setequ-ext subset-def sup-lub supremum-closed-def  
upper-bounds-def)

**lemma**  $fp\text{-}inf\text{-}closed\text{-}cond1$ :  $iMULT \varphi \longrightarrow \text{infimum-closed } (fp \varphi)$  by (metis fp-dual fp-sup-closed-cond1  
iADDI-iMULT-dual2 inf-sup-closed-dc)  
**lemma**  $fp\text{-}inf\text{-}closed\text{-}cond2$ :  $iMULT^b \varphi \wedge CNTR \varphi \longrightarrow \text{infimum-closed } (fp \varphi)$  by (metis EXPN-CNTR-dual2  
fp-dual fp-sup-closed-cond2 iADDIa-iMULTb-dual2 inf-sup-closed-dc)  
**lemma**  $fp\text{-}inf\text{-}closed\text{-}cond3$ :  $MONO \varphi \wedge EXPN \varphi \longrightarrow \text{infimum-closed } (fp \varphi)$  by (metis EXPN-CNTR-dual1  
MONO-dual fp-dual fp-sup-closed-cond3 inf-sup-closed-dc)

OTOH, the converse conjectures have (finite) countermodels. They need additional assumptions.

**lemma** *infimum-closed* (*fp*  $\varphi$ )  $\rightarrow$  *iMULT*  $\varphi$  **nitpick oops** — countermodel found: needs further assumptions

**lemma** *supremum-closed* (*fp*  $\varphi$ )  $\rightarrow$  *iADDI*  $\varphi$  **nitpick oops** — countermodel found: needs further assumptions

**lemma** *fp-inf-closed-iMULT*: *MONO*  $\varphi \Rightarrow \text{CNTR } \varphi \Rightarrow \text{IDEM}^b \varphi \Rightarrow \text{infimum-closed} (*fp*  $\varphi$ )  $\rightarrow$  *iMULT*  $\varphi$$

**proof** —

**assume** *mono*: *MONO*  $\varphi$  **and** *cntr*: *CNTR*  $\varphi$  **and** *idem:IDEM*<sup>b</sup>  $\varphi$  {

**assume** *ic:infimum-closed* (*fp*  $\varphi$ ) {

fix  $S$

**from** *ic* **have**  $\forall D. D \leq (\text{fp } \varphi) \rightarrow (\text{fp } \varphi)(\bigwedge D)$  **unfolding** *infimum-closed-def* **by** *simp*

**hence** **let**  $D = [\![\varphi S]\!]$  **in**  $(\forall X. D X \rightarrow (X = \varphi X)) \rightarrow \bigwedge D = \varphi \wedge D$  **by** (*simp add: fixpoints-def setequ-ext subset-def*)

**moreover from** *idem* **have**  $(\forall X. [\![\varphi S]\!] X \rightarrow (X = \varphi X))$  **by** (*metis (mono-tags, lifting) CNTR-def IDEM-b-def cntr image-def setequ-char*)

**ultimately have aux:**  $\bigwedge ([\![\varphi S]\!]) = \varphi(\bigwedge [\![\varphi S]\!])$  **by** *meson*

**from** *cntr* **have**  $\bigwedge [\![\varphi S]\!] \leq \bigwedge S$  **by** (*smt (verit, best) CNTR-def image-def infimum-def subset-def*)

**hence**  $\varphi(\bigwedge [\![\varphi S]\!]) \leq \varphi(\bigwedge S)$  **using** *mono* **by** (*simp add: MONO-def*)

**from this aux have**  $\bigwedge [\![\varphi S]\!] \leq \varphi(\bigwedge S)$  **by** (*simp add: setequ-ext*)

} **hence** *infimum-closed* (*fp*  $\varphi$ )  $\rightarrow$  *iMULT*  $\varphi$  **by** (*simp add: MONO-iMULTa iMULT-b-def iMULT-char mono*)

} **thus** ?*thesis* **by** *simp*

**qed**

**lemma** *fp-sup-closed-iADDI*: *MONO*  $\varphi \Rightarrow \text{EXPN } \varphi \Rightarrow \text{IDEM}^a \varphi \Rightarrow \text{supremum-closed} (*fp*  $\varphi$ )  $\rightarrow$  *iADDI*  $\varphi$$

**proof** —

**assume** *mono*: *MONO*  $\varphi$  **and** *expn*: *EXPN*  $\varphi$  **and** *idem:IDEM*<sup>a</sup>  $\varphi$  {

**assume** *sc:supremum-closed* (*fp*  $\varphi$ ) {

fix  $S$

**from** *sc* **have**  $\forall D. D \leq (\text{fp } \varphi) \rightarrow (\text{fp } \varphi)(\bigvee D)$  **unfolding** *supremum-closed-def* **by** *simp*

**hence** **let**  $D = [\![\varphi S]\!]$  **in**  $(\forall X. D X \rightarrow (X = \varphi X)) \rightarrow \bigvee D = \varphi \vee D$  **by** (*simp add: fixpoints-def setequ-ext subset-def*)

**moreover have**  $(\forall X. [\![\varphi S]\!] X \rightarrow (X = \varphi X))$  **by** (*metis (mono-tags, lifting) EXPN-def IDEM-a-def expn idem image-def setequ-char*)

**ultimately have aux:**  $\bigvee ([\![\varphi S]\!]) = \varphi(\bigvee [\![\varphi S]\!])$  **by** *meson*

**have**  $\bigvee S \leq \bigvee [\![\varphi S]\!]$  **by** (*metis EXPN-CNTR-dual1 EXPN-def IDEM-dual1 MONO-dual dual-invol expn fp-inf-closed-iMULT fp-inf-sup-closed-dual iADDI-def iADDI-iMULT-dual1 idem mono sc setequ-ext*)

**hence**  $\varphi(\bigvee S) \leq \varphi(\bigvee [\![\varphi S]\!])$  **using** *mono* **by** (*simp add: MONO-def*)

**from this aux have**  $\varphi(\bigvee S) \leq \bigvee [\![\varphi S]\!]$  **by** (*metis setequ-ext*)

} **hence** *supremum-closed* (*fp*  $\varphi$ )  $\rightarrow$  *iADDI*  $\varphi$  **by** (*simp add: MONO-ADDIb iADDI-a-def iADDI-char iADDIb-equ mono*)

} **thus** ?*thesis* **by** *simp*

**qed**

### 3 Alexandrov topologies and (generalized) specialization pre-orders

A topology is called 'Alexandrov' (after the Russian mathematician Pavel Alexandrov) if the intersection (resp. union) of any (finite or infinite) family of open (resp. closed) sets is open (resp. closed); in algebraic terms, this means that the set of fixed points of the interior (closure) operation is closed under infinite meets (joins). Another common algebraic formulation requires the closure (interior) operation to satisfy the infinitary variants of additivity (multiplicativity),

i.e. iADDI (iMULT) as introduced before.

In the literature, the well-known Kuratowski conditions for the closure (resp. interior) operation are assumed, namely: ADDI, EXPN, NORM, IDEM (resp. MULT, CNTR, DNRM, IDEM). This makes both formulations equivalent. However, this is not the case in general if those conditions become negotiable.

Alexandrov topologies have interesting properties relating them to the semantics of modal logic. Assuming Kuratowski conditions, Alexandrov topological operations defined on subsets of  $S$  are in one-to-one correspondence with preorders on  $S$ ; in topological terms, Alexandrov topologies are uniquely determined by their specialization preorders. Since we do not presuppose any Kuratowski conditions to begin with, the preorders in question are in general not even transitive. Here we just call them 'reachability relations'. We will still call (generalized) closure/interior-like operations as such (for lack of a better name). We explore minimal conditions under which some relevant results for the semantics of modal logic obtain.

Closure/interior(-like) operators can be derived from an arbitrary relation (as in modal logic).

**definition**  $Cl\text{-}rel::('w \Rightarrow 'w \Rightarrow \text{bool}) \Rightarrow ('w \sigma \Rightarrow 'w \sigma) (\langle C[-] \rangle)$

where  $C[R] \equiv \lambda A. \lambda w. \exists v. R w v \wedge A v$

**definition**  $Int\text{-}rel::('w \Rightarrow 'w \Rightarrow \text{bool}) \Rightarrow ('w \sigma \Rightarrow 'w \sigma) (\langle I[-] \rangle)$

where  $I[R] \equiv \lambda A. \lambda w. \forall v. R w v \rightarrow A v$

Duality between interior and closure follows directly:

**lemma**  $dual\text{-}CI: C[R] = I[R]^d$  **by** (*simp add: Cl-rel-def Int-rel-def compl-def op-dual-def setequ-char*)

We explore minimal conditions of the reachability relation under which some operation's conditions obtain.

Define some relevant properties of relations:

**abbreviation**  $\text{serial } R \equiv \forall x. \exists y. R x y$

**abbreviation**  $\text{reflexive } R \equiv \forall x. R x x$

**abbreviation**  $\text{transitive } R \equiv \forall x y z. R x y \wedge R y z \rightarrow R x z$

**abbreviation**  $\text{antisymmetric } R \equiv \forall x y. R x y \wedge R y x \rightarrow x = y$

**abbreviation**  $\text{symmetric } R \equiv \forall x y. R x y \rightarrow R y x$

**lemma**  $rC1: iADDI C[R]$  **unfolding**  $iADDI\text{-}def Cl\text{-}rel\text{-}def image\text{-}def supremum\text{-}def$  **using**  $setequ\text{-}def$  **by** *fastforce*

**lemma**  $rC2: \text{reflexive } R = EXPN C[R]$  **by** (*metis (full-types) CNTR\text{-}def EXPN\text{-}CNTR\text{-}dual2 Int\text{-}rel\text{-}def dual\text{-}CI subset\text{-}def*)

**lemma**  $rC3: NORM C[R]$  **by** (*simp add: iADDI\text{-}NORM rC1*)

**lemma**  $rC4: \text{transitive } R = IDEM^a C[R]$  **proof** –

**have**  $l2r: \text{transitive } R \rightarrow IDEM^a C[R]$  **by** (*smt (verit, best) Cl\text{-}rel\text{-}def IDEM\text{-}a\text{-}def subset\text{-}def*)

**have**  $r2l: IDEM^a C[R] \rightarrow \text{transitive } R$  **unfolding**  $Cl\text{-}rel\text{-}def IDEM\text{-}a\text{-}def subset\text{-}def$  **using**  $compl\text{-}def$  **by** *force*

**from**  $l2r r2l$  **show** ?thesis **by** *blast*

**qed**

A reachability (specialization) relation (preorder) can be derived from a given operation (intended as a closure-like operation).

**definition**  $R::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \Rightarrow 'w \Rightarrow \text{bool}) (\langle R[-] \rangle)$

where  $R[\varphi] \equiv \lambda w v. \varphi (\lambda x. x = v) w$

Preorder properties of the reachability relation follow from the corresponding operation's conditions.

**lemma** *rel-refl*:  $\text{EXPN } \varphi \rightarrow \text{reflexive } \mathcal{R}[\varphi]$  **by** (*simp add: EXPN-def R-def subset-def*)  
**lemma** *rel-trans*:  $\text{MONO } \varphi \wedge \text{IDEM}^a \varphi \rightarrow \text{transitive } \mathcal{R}[\varphi]$  **by** (*smt (verit, best) IDEM-a-def MONO-def R-def subset-def*)  
**lemma** *IDEM<sup>a</sup>*  $\varphi \rightarrow \text{transitive } \mathcal{R}[\varphi]$  **nitpick oops** — counterexample

**lemma** *reflexive*  $\mathcal{R}[\varphi] \rightarrow \text{EXPN } \varphi$  **nitpick oops** — counterexample  
**lemma** *transitive*  $\mathcal{R}[\varphi] \rightarrow \text{IDEM}^a \varphi$  **nitpick oops** — counterexample  
**lemma** *transitive*  $\mathcal{R}[\varphi] \rightarrow \text{MONO } \varphi$  **nitpick oops** — counterexample

However, we can obtain finite countermodels for antisymmetry and symmetry given all relevant conditions. We will revisit this issue later and examine their relation with the topological separation axioms T0 and T1 resp.

**lemma** *iADDI*  $\varphi \Rightarrow \text{EXPN } \varphi \Rightarrow \text{IDEM}^a \varphi \Rightarrow \text{antisymmetric } \mathcal{R}[\varphi]$  **nitpick oops** — counterexample  
**lemma** *iADDI*  $\varphi \Rightarrow \text{EXPN } \varphi \Rightarrow \text{IDEM}^a \varphi \Rightarrow \text{symmetric } \mathcal{R}[\varphi]$  **nitpick oops** — counterexample

As mentioned previously, Alexandrov closure (and by duality interior) operations correspond to specialization orderings (reachability relations). It is worth mentioning that in Alexandrov topologies every point has a minimal/smallest neighborhood, namely the set of points related to it by the specialization preorder (reachability relation). We examine below minimal conditions under which these relations obtain.

**lemma** *Cl-rel'-a*:  $\text{MONO } \varphi \rightarrow (\forall A. \mathcal{C}[\mathcal{R}[\varphi]] A \leq \varphi A)$  **unfolding** *Cl-rel-def MONO-def R-def* **by** (*smt (verit, ccfv-SIG) subset-def*)  
**lemma** *Cl-rel'-b*:  $iADDI^a \varphi \rightarrow (\forall A. \varphi A \leq \mathcal{C}[\mathcal{R}[\varphi]] A)$  **proof** –  
{ assume *iaddia*:  $iADDI^a \varphi$   
{ fix  $A::'a \sigma$   
let  $?S=\lambda B. \exists w. A w \wedge B=(\lambda u. u=w)$   
have  $A = (\bigvee ?S)$  **unfolding** *supremum-def setequ-def* **by** *auto*  
hence  $\varphi(A) = \varphi(\bigvee ?S)$  **by** (*simp add: setequ-ext*)  
moreover have  $\bigvee [\varphi ?S] = \mathcal{C}[\mathcal{R}[\varphi]] A$  **by** (*smt (verit) Cl-rel-def R-def image-def setequ-def supremum-def*)  
moreover from *iaddia* have  $\varphi(\bigvee ?S) \leq \bigvee [\varphi ?S]$  **unfolding** *iADDI-a-def* **by** *simp*  
ultimately have  $\varphi A \leq \mathcal{C}[\mathcal{R}[\varphi]] A$  **by** (*simp add: setequ-ext*)  
} } thus *?thesis* **by** *simp*  
qed  
**lemma** *Cl-rel'*:  $iADDI \varphi \rightarrow \varphi = \mathcal{C}[\mathcal{R}[\varphi]]$  **by** (*simp add: MONO-iADDIb iADDI-char setequ-char Cl-rel'-a Cl-rel'-b svfun-equ-def*)  
**lemma** *Cl-rel*:  $iADDI \varphi \longleftrightarrow \varphi = \mathcal{C}[\mathcal{R}[\varphi]]$  **using** *Cl-rel'* **by** (*metis rC1 svfun-equ-ext*)

It is instructive to expand the definitions in the above result:

**lemma** *iADDI*  $\varphi \longleftrightarrow (\forall A. \forall w. (\varphi A) w \longleftrightarrow (\exists v. A v \wedge \varphi (\lambda x. x=v) w))$  **oops**

Closure (interior) operations derived from relations are thus closed under infinite joins (meets).

**lemma** *supremum-closed* (*fp C[R]*) **by** (*simp add: fp-sup-closed-cond1 rC1*)  
**lemma** *infimum-closed* (*fp I[R]*) **by** (*metis dual-CI fp-inf-closed-cond1 iADDI-iMULT-dual2 rC1*)

We can now revisit the relationship between (anti)symmetry and the separation axioms T1 and T0.

T0: any two distinct points in the space can be separated by a closed (or open) set (i.e. containing one point and not the other).

**abbreviation** *T0*  $\mathcal{C} \equiv (\forall p q. p \neq q \rightarrow (\exists G. (fp \mathcal{C}) G \wedge \neg(G p \longleftrightarrow G q)))$

T1: any two distinct points can be separated by (two not necessarily disjoint) closed (or open) sets.

**abbreviation**  $T1 \mathcal{C} \equiv (\forall p q. p \neq q \rightarrow (\exists G H. (fp \mathcal{C}) G \wedge (fp \mathcal{C}) H \wedge G p \wedge \neg G q \wedge H q \wedge \neg H p))$

We can (sanity) check that T1 entails T0 but not viceversa.

**lemma**  $T1 \mathcal{C} \implies T0 \mathcal{C}$  by meson

**lemma**  $T0 \mathcal{C} \implies T1 \mathcal{C}$  nitpick oops — counterexample

Under appropriate conditions, T0-separation corresponds to antisymmetry of the specialization relation (here an ordering).

**lemma**  $T0 \mathcal{C} \longleftrightarrow \text{antisymmetric } \mathcal{R}[\mathcal{C}]$  nitpick oops

**lemma**  $T0\text{-antisymm-}a: MONO \mathcal{C} \implies T0 \mathcal{C} \rightarrow \text{antisymmetric } \mathcal{R}[\mathcal{C}]$  by (smt (verit, best) Cl-rel'-a Cl-rel-def fixpoints-def setequ-ext subset-def)

**lemma**  $T0\text{-antisymm-}b: EXPN \mathcal{C} \implies IDEM^a \mathcal{C} \implies \text{antisymmetric } \mathcal{R}[\mathcal{C}] \rightarrow T0 \mathcal{C}$  by (metis EXPN-impl-IDEM-b IDEM-char IDEM-def R-def fixpoints-def rel-refl)

**lemma**  $T0\text{-antisymm}: MONO \mathcal{C} \implies EXPN \mathcal{C} \implies IDEM^a \mathcal{C} \implies T0 \mathcal{C} = \text{antisymmetric } \mathcal{R}[\mathcal{C}]$  using T0-antisymm-a T0-antisymm-b by fastforce

Also, under the appropriate conditions, T1-separation corresponds to symmetry of the specialization relation.

**lemma**  $T1\text{-symm-}a: MONO \mathcal{C} \implies T1 \mathcal{C} \rightarrow \text{symmetric } \mathcal{R}[\mathcal{C}]$  by (metis (mono-tags, opaque-lifting) Cl-rel'-a Cl-rel-def fixpoints-def setequ-ext subset-def)

**lemma**  $T1\text{-symm-}b: MONO \mathcal{C} \implies EXPN \mathcal{C} \implies T0 \mathcal{C} \implies \text{symmetric } \mathcal{R}[\mathcal{C}] \rightarrow T1 \mathcal{C}$  by (smt (verit, ccfv-SIG) T0-antisymm-a R-def fixpoints-def rel-refl setequ-def)

**lemma**  $T1\text{-symm}: MONO \mathcal{C} \implies EXPN \mathcal{C} \implies T0 \mathcal{C} \implies \text{symmetric } \mathcal{R}[\mathcal{C}] = T1 \mathcal{C}$  using T1-symm-a T1-symm-b by (smt (verit, ccfv-threshold))

end

theory conditions-negative

imports conditions-positive

begin

### 3.1 Negative Conditions

We continue defining and interrelating axiomatic conditions on unary operations (operators). We now move to conditions commonly satisfied by negation-like logical operations.

Anti-tonicity (ANTI).

**definition**  $ANTI::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle ANTI \rangle)$

where  $ANTI \varphi \equiv \forall A B. A \leq B \rightarrow \varphi B \leq \varphi A$

declare ANTI-def[cond]

ANTI is self-dual.

**lemma**  $ANTI\text{-dual}: ANTI \varphi = ANTI \varphi^d$  by (smt (verit) BA-cp ANTI-def dual-invol op-dual-def)

ANTI is the 'complement' of MONO.

**lemma**  $ANTI\text{-MONO}: MONO \varphi = ANTI \varphi^-$  by (metis ANTI-def BA-cp MONO-def svfun-compl-def)

Anti-expansive/extensive (nEXP) and its dual anti-contractive (nCNTR).

**definition**  $nEXP::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nEXP \rangle)$

where  $nEXP \varphi \equiv \forall A. \varphi A \leq -A$

**definition**  $nCNTR::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nCNTR \rangle)$

where  $nCNTR \varphi \equiv \forall A. -A \leq \varphi A$

```
declare nEXPN-def[cond] nCNTR-def[cond]
```

nEXPN and nCNTR are dual to each other.

```
lemma nEXPN-nCNTR-dual1: nEXPN  $\varphi = nCNTR \varphi^d$  unfolding cond by (metis BA-cp BA-dn op-dual-def setequ-ext)
```

```
lemma nEXPN-nCNTR-dual2: nCNTR  $\varphi = nEXPN \varphi^d$  by (simp add: dual-invol nEXPN-nCNTR-dual1)
```

nEXPN and nCNTR are the 'complements' of EXPN and CNTR respectively.

```
lemma nEXPN-CNTR-compl: EXPN  $\varphi = nEXPN \varphi^-$  by (metis BA-cp EXPN-def nEXPN-def sv-fun-compl-def)
```

```
lemma nCNTR-EXPN-compl: CNTR  $\varphi = nCNTR \varphi^-$  by (metis EXPN-CNTR-dual2 dual-compl-char1 dual-compl-char2 nEXPN-CNTR-compl nEXPN-nCNTR-dual2)
```

Anti-Normality (nNORM) and its dual (nDNRM).

```
definition nNORM::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle nNORM \rangle$ )
```

where  $nNORM \varphi \equiv (\varphi \perp) = \top$

```
definition nDNRM::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle nDNRM \rangle$ )
```

where  $nDNRM \varphi \equiv (\varphi \top) = \perp$

```
declare nNORM-def[cond] nDNRM-def[cond]
```

nNORM and nDNRM are dual to each other.

```
lemma nNOR-dual1: nNORM  $\varphi = nDNRM \varphi^d$  unfolding cond by (simp add: bottom-def compl-def op-dual-def setequ-def top-def)
```

```
lemma nNOR-dual2: nDNRM  $\varphi = nNORM \varphi^d$  by (simp add: dual-invol nNOR-dual1)
```

nNORM and nDNRM are the 'complements' of NORM and DNRM respectively.

```
lemma nNORM-NORM-compl: NORM  $\varphi = nNORM \varphi^-$  by (simp add: NORM-def bottom-def compl-def nNORM-def setequ-def svfun-compl-def top-def)
```

```
lemma nDNRM-DNRM-compl: DNRM  $\varphi = nDNRM \varphi^-$  by (simp add: DNRM-def bottom-def compl-def nDNRM-def setequ-def svfun-compl-def top-def)
```

nEXPN (nCNTR) entail nDNRM (nNORM).

```
lemma nEXPN-impl-nDNRM: nEXPN  $\varphi \longrightarrow nDNRM \varphi$  unfolding cond by (metis bottom-def compl-def setequ-def subset-def top-def)
```

```
lemma nCNTR-impl-nNORM: nCNTR  $\varphi \longrightarrow nNORM \varphi$  by (simp add: nEXPN-impl-nDNRM nEXPN-nCNTR-dual2 nNOR-dual1)
```

Anti-Idempotence (nIDEM).

```
definition nIDEM::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle nIDEM \rangle$ )
```

where  $nIDEM \varphi \equiv \forall A. \varphi(-(\varphi A)) = (\varphi A)$

```
definition nIDEM-a::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle nIDEM^a \rangle$ )
```

where  $nIDEM-a \varphi \equiv \forall A. (\varphi A) \leq \varphi(-(\varphi A))$

```
definition nIDEM-b::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle nIDEM^b \rangle$ )
```

where  $nIDEM-b \varphi \equiv \forall A. \varphi(-(\varphi A)) \leq (\varphi A)$

```
declare nIDEM-def[cond] nIDEM-a-def[cond] nIDEM-b-def[cond]
```

nIDEM-a and nIDEM-b are dual to each other.

```
lemma nIDEM-dual1: nIDEMa  $\varphi = nIDEM^b \varphi^d$  unfolding cond by (metis BA-cp BA-dn op-dual-def setequ-ext)
```

```
lemma nIDEM-dual2: nIDEMb  $\varphi = nIDEM^a \varphi^d$  by (simp add: dual-invol nIDEM-dual1)
```

**lemma** *nIDEM-char*:  $nIDEM \varphi = (nIDEM^a \varphi \wedge nIDEM^b \varphi)$  **unfolding** *cond setequ-char* **by** *blast*  
**lemma** *nIDEM-dual*:  $nIDEM \varphi = nIDEM \varphi^d$  **using** *nIDEM-char nIDEM-dual1 nIDEM-dual2* **by** *blast*

*nIDEM(a/b)* and *IDEM(a/b)* are the 'complements' each other.

**lemma** *nIDEM-a-compl*:  $IDEM^a \varphi = nIDEM^a \varphi^-$  **by** (*metis (no-types, lifting) BA-cp IDEM-a-def nIDEM-a-def sfun-compl-invol svfun-compl-def*)

**lemma** *nIDEM-b-compl*:  $IDEM^b \varphi = nIDEM^b \varphi^-$  **by** (*metis IDEM-dual2 dual-compl-char1 dual-compl-char2 nIDEM-a-compl nIDEM-dual2*)

**lemma** *nIDEM-compl*:  $nIDEM \varphi = IDEM \varphi^-$  **by** (*simp add: IDEM-char nIDEM-a-compl nIDEM-b-compl nIDEM-char sfun-compl-invol*)

*nEXPN (nCNTR)* entail *nIDEM-a (nIDEM-b)*.

**lemma** *nEXPN-impl-nIDEM-a*:  $nEXPN \varphi \longrightarrow nIDEM^b \varphi$  **by** (*metis nEXPN-def nIDEM-b-def sfun-compl-invol svfun-compl-def*)

**lemma** *nCNTR-impl-nIDEM-b*:  $nCNTR \varphi \longrightarrow nIDEM^a \varphi$  **by** (*simp add: nEXPN-impl-nIDEM-a nEXPN-nCNTR-dual2 nIDEM-dual1*)

Anti-distribution over joins or anti-additivity (*nADDI*) and its dual.

**definition** *nADDI*:: $('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nADDI \rangle)$

where  $nADDI \varphi \equiv \forall A B. \varphi(A \vee B) = (\varphi A) \wedge (\varphi B)$

**definition** *nADDI-a*:: $('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nADDI^a \rangle)$

where  $nADDI^a \varphi \equiv \forall A B. (\varphi A) \wedge (\varphi B) \leq \varphi(A \vee B)$

**definition** *nADDI-b*:: $('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nADDI^b \rangle)$

where  $nADDI^b \varphi \equiv \forall A B. \varphi(A \vee B) \leq (\varphi A) \wedge (\varphi B)$

Anti-distribution over meets or anti-multiplicativity (*nMULT*).

**definition** *nMULT*:: $('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nMULT \rangle)$

where  $nMULT \varphi \equiv \forall A B. \varphi(A \wedge B) = (\varphi A) \vee (\varphi B)$

**definition** *nMULT-a*:: $('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nMULT^a \rangle)$

where  $nMULT^a \varphi \equiv \forall A B. (\varphi A) \vee (\varphi B) \leq \varphi(A \wedge B)$

**definition** *nMULT-b*:: $('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nMULT^b \rangle)$

where  $nMULT^b \varphi \equiv \forall A B. \varphi(A \wedge B) \leq (\varphi A) \vee (\varphi B)$

**declare** *nADDI-def[cond] nADDI-a-def[cond] nADDI-b-def[cond]*

*nMULT-def[cond] nMULT-a-def[cond] nMULT-b-def[cond]*

**lemma** *nADDI-char*:  $nADDI \varphi = (nADDI^a \varphi \wedge nADDI^b \varphi)$  **unfolding** *cond* **using** *setequ-char* **by** *blast*

**lemma** *nMULT-char*:  $nMULT \varphi = (nMULT^a \varphi \wedge nMULT^b \varphi)$  **unfolding** *cond* **using** *setequ-char* **by** *blast*

*ANTI, nMULT-a and nADDI-b are equivalent.*

**lemma** *ANTI-nMULTa*:  $nMULT^a \varphi = ANTI \varphi$  **unfolding** *cond* **by** (*smt (z3) L10 L7 join-def meet-def setequ-ext subset-def*)

**lemma** *ANTI-nADDIb*:  $nADDI^b \varphi = ANTI \varphi$  **unfolding** *cond* **by** (*smt (verit) BA-cp BA-deMorgan1 L10 L3 L5 L8 L9 setequ-char setequ-ext*)

Below we prove several duality relationships between *nADDI(a/b)* and *nMULT(a/b)*.

Duality between *nMULT-a* and *nADDI-b* (an easy corollary from the self-duality of *ANTI*).

**lemma** *nMULTa-nADDIb-dual1*:  $nMULT^a \varphi = nADDI^b \varphi^d$  **using** *ANTI-nADDIb ANTI-nMULTa ANTI-dual* **by** *blast*

**lemma** *nMULTa-nADDIb-dual2*:  $nADDI^b \varphi = nMULT^a \varphi^d$  **by** (*simp add: dual-invol nMULTa-nADDIb-dual1*)

Duality between *nADDI-a* and *nMULT-b*.

```

lemma nADDIa-nMULTb-dual1: nADDIa  $\varphi = nMULT^b \varphi^d$  unfolding cond by (metis (no-types, lifting) BA-cp BA-deMorgan1 BA-dn op-dual-def setequ-ext)
lemma nADDIa-nMULTb-dual2: nMULTb  $\varphi = nADDI^a \varphi^d$  by (simp add: dual-invol nADDIa-nMULTb-dual1)

```

Duality between ADDI and MULT.

```

lemma nADDI-nMULT-dual1: nADDI  $\varphi = nMULT \varphi^d$  using nADDI-char nADDIa-nMULTb-dual1 nMULT-char nMULTa-nADDIb-dual2 by blast

```

```

lemma nADDI-nMULT-dual2: nMULT  $\varphi = nADDI \varphi^d$  by (simp add: dual-invol nADDI-nMULT-dual1)

```

nADDI and nMULT are the 'complements' of ADDI and MULT respectively.

```

lemma nADDIa-compl: ADDIa  $\varphi = nADDI^a \varphi^-$  by (metis ADDI-a-def BA-cp BA-deMorgan1 nADDI-a-def setequ-ext sfun-compl-def)

```

```

lemma nADDIb-compl: ADDIb  $\varphi = nADDI^b \varphi^-$  by (simp add: ANTI-nADDIb ANTI-MONO MONO-ADDIb sfun-compl-inv)

```

```

lemma nADDI-compl: ADDI  $\varphi = nADDI \varphi^-$  by (simp add: ADDI-char nADDI-char nADDIa-compl nADDIb-compl)

```

```

lemma nMULTa-compl: MULTa  $\varphi = nMULT^a \varphi^-$  by (simp add: ANTI-MONO ANTI-nMULTa MONO-MULTa sfun-compl-inv)

```

```

lemma nMULTb-compl: MULTb  $\varphi = nMULT^b \varphi^-$  by (metis BA-cp BA-deMorgan2 MULT-b-def nMULT-b-def setequ-ext sfun-compl-def)

```

```

lemma nMULT-compl: MULT  $\varphi = nMULT \varphi^-$  by (simp add: MULT-char nMULT-char nMULTa-compl nMULTb-compl)

```

We verify properties regarding closure over meets/joins for fixed-points.

nMULT for an operator implies join-closedness of the set of fixed-points of its dual-complement.

```

lemma nMULT-joinclosed: nMULT  $\varphi \implies \text{join-closed}(\text{fp } (\varphi^{d-}))$  by (smt (verit, del-insts) ADDI-MULT-dual2 ADDI-joinclosed BA-deMorgan1 MULT-def dual-compl-char2 nMULT-def setequ-ext sfun-compl-def)

```

```

lemma join-closed (fp ( $\varphi^{d-}$ ))  $\implies$  nMULT  $\varphi$  nitpick oops — countermodel found: needs further assumptions

```

```

lemma joinclosed-nMULT: ANTI  $\varphi \implies nCNTR \varphi \implies nIDEM^b \varphi \implies \text{join-closed}(\text{fp } (\varphi^{d-})) \implies$  nMULT  $\varphi$  by (metis ANTI-MONO ANTI-dual IDEM-char IDEM-dual dual-compl-char1 dual-compl-char2 joinclosed-ADDI nADDI-compl nADDI-nMULT-dual2 nCNTR-impl-nIDEM-b nEXPN-CNTR-compl nEXPN-nCNTR-dual nIDEM-char nIDEM-compl sfun-compl-inv)

```

nADDI for an operator implies meet-closedness of the set of fixed-points of its dual-complement.

```

lemma nADDI-meetclosed: nADDI  $\varphi \implies \text{meet-closed}(\text{fp } (\varphi^{d-}))$  by (smt (verit, ccfv-threshold) ADDI-MULT-dual1 ADDI-def BA-deMorgan2 MULT-meetclosed dual-compl-char2 nADDI-def setequ-ext sfun-compl-def)

```

```

lemma meet-closed (fp ( $\varphi^{d-}$ ))  $\implies$  nADDI  $\varphi$  nitpick oops — countermodel found: needs further assumptions

```

```

lemma meetclosed-nADDI: ANTI  $\varphi \implies nEXPN \varphi \implies nIDEM^a \varphi \implies \text{meet-closed}(\text{fp } (\varphi^{d-})) \implies$  nADDI  $\varphi$  by (metis ADDI-MULT-dual2 ADDI-joinclosed ANTI-MONO ANTI-dual dual-compl-char1 dual-compl-char2 joinclosed-nMULT meetclosed-MULT nADDI-nMULT-dual1 nCNTR-EXPN-compl nEXPN-nCNTR-dual nIDEM-b-compl nIDEM-dual1 sfun-compl-inv)

```

Assuming ANTI, we have that nEXPN (nCNTR) implies meet-closed (join-closed) for the set of fixed-points.

```

lemma nEXPN-meetclosed: ANTI  $\varphi \implies nEXPN \varphi \implies \text{meet-closed}(\text{fp } \varphi)$  by (metis (full-types) L10 compl-def fixpoints-def meet-closed-def nEXPN-def setequ-ext subset-def)

```

```

lemma nCNTR-joinclosed: ANTI  $\varphi \implies nCNTR \varphi \implies \text{join-closed}(\text{fp } \varphi)$  by (smt (verit, ccfv-threshold) BA-impl L9 fixpoints-def impl-char join-closed-def nCNTR-def setequ-char setequ-ext)

```

**end**

**theory** conditions-negative-infinitary

**imports** conditions-negative conditions-positive-infinitary

**begin**

### 3.2 Infinitary Negative Conditions

We define and interrelate infinitary variants for some previously introduced axiomatic conditions on operators.

Anti-distribution over infinite joins (suprema) or infinite anti-additivity (inADDI).

```
definition inADDI::('w σ ⇒ 'w σ) ⇒ bool (<inADDI>)
  where inADDI φ ≡ ∀ S. φ(∨S) = ∨[φ S]
definition inADDI-a::('w σ ⇒ 'w σ) ⇒ bool (<inADDIa>)
  where inADDIa φ ≡ ∀ S. ∨[φ S] ≤ φ(∨S)
definition inADDI-b::('w σ ⇒ 'w σ) ⇒ bool (<inADDIb>)
  where inADDIb φ ≡ ∀ S. φ(∨S) ≤ ∨[φ S]
```

Anti-distribution over infinite meets (infima) or infinite anti-multiplicativity (inMULT).

```
definition inMULT::('w σ ⇒ 'w σ) ⇒ bool (<inMULT>)
  where inMULT φ ≡ ∀ S. φ(∧S) = ∧[φ S]
definition inMULT-a::('w σ ⇒ 'w σ) ⇒ bool (<inMULTa>)
  where inMULTa φ ≡ ∀ S. ∧[φ S] ≤ φ(∧S)
definition inMULT-b::('w σ ⇒ 'w σ) ⇒ bool (<inMULTb>)
  where inMULTb φ ≡ ∀ S. φ(∧S) ≤ ∧[φ S]
```

```
declare inADDI-def[cond] inADDI-a-def[cond] inADDI-b-def[cond]
      inMULT-def[cond] inMULT-a-def[cond] inMULT-b-def[cond]
```

```
lemma inADDI-char: inADDI φ = (inADDIa φ ∧ inADDIb φ) unfolding cond using setequ-char
by blast
lemma inMULT-char: inMULT φ = (inMULTa φ ∧ inMULTb φ) unfolding cond using setequ-char
by blast
```

nADDI-b and inADDI-b are in fact equivalent.

```
lemma inADDIb-equ: inADDIb φ = nADDIb φ proof −
  have lr: inADDIb φ ⇒ nADDIb φ proof −
    assume inaddib: inADDIb φ
    { fix A::'a σ and B::'a σ
      let ?S=λZ. Z=A ∨ Z=B
      have ∨?S = A ∨ B unfolding supremum-def join-def by blast
      hence p1: φ(∨?S) = φ(A ∨ B) by simp
      have [φ ?S] = (λZ. Z=(φ A) ∨ Z=(φ B)) unfolding image-def by metis
      hence p2: ∧[φ ?S] = (φ A) ∧ (φ B) unfolding infimum-def meet-def by auto
      have φ(∨?S) ≤ ∧[φ ?S] using inaddib inADDI-b-def by blast
      hence φ(A ∨ B) ≤ (φ A) ∧ (φ B) using p1 p2 by simp
    } thus ?thesis by (simp add: nADDI-b-def) qed
  have rl: nADDIb φ ⇒ inADDIb φ unfolding inADDI-b-def ANTI-nADDIb ANTI-def image-def
    by (smt (verit) glb-def inf-glb lower-bounds-def lub-def sup-lub upper-bounds-def)
    from lr rl show ?thesis by auto
  qed
```

nMULT-a and inMULT-a are also equivalent.

```
lemma inMULTa-equ: inMULTa φ = nMULTa φ proof −
  have lr: inMULTa φ ⇒ nMULTa φ proof −
    assume inmulta: inMULTa φ
    { fix A::'a σ and B::'a σ
      let ?S=λZ. Z=A ∨ Z=B
      have ∧?S = A ∧ B unfolding infimum-def meet-def by blast
      hence p1: φ(∧?S) = φ(A ∧ B) by simp
```

```

have  $\llbracket \varphi ?S \rrbracket = (\lambda Z. Z=(\varphi A) \vee Z=(\varphi B))$  unfolding image-def by metis
hence p2:  $\bigvee \llbracket \varphi ?S \rrbracket = (\varphi A) \vee (\varphi B)$  unfolding supremum-def join-def by auto
have  $\bigvee \llbracket \varphi ?S \rrbracket \leq \varphi(\bigwedge ?S)$  using inmulta inMULT-a-def by blast
hence  $(\varphi A) \vee (\varphi B) \leq \varphi(A \wedge B)$  using p1 p2 by simp
} thus ?thesis by (simp add: nMULT-a-def) qed
have rl:  $nMULT^a \varphi \implies inMULT^a \varphi$  unfolding inMULT-a-def ANTI-nMULTa ANTI-def image-def
    by (smt (verit) glb-def inf-glb lower-bounds-def lub-def sup-lub upper-bounds-def)
from lr rl show ?thesis by blast
qed

```

Thus we have that ANTI, nADDI-b/inADDI-b and nMULT-a/inMULT-a are all equivalent.

**lemma** ANTI-inADDIb:  $inADDI^b \varphi = ANTI \varphi$  **unfolding** ANTI-nADDIb inADDIb-equ **by** simp  
**lemma** ANTI-inMULTa:  $inMULT^a \varphi = ANTI \varphi$  **unfolding** ANTI-nMULTa inMULTa-equ **by** simp

Below we prove several duality relationships between inADDI(a/b) and inMULT(a/b).

Duality between inMULT-a and inADDI-b (an easy corollary from the previous equivalence).

**lemma** inMULTa-inADDIb-dual1:  $inMULT^a \varphi = inADDI^b \varphi^d$  **by** (simp add: nMULTa-nADDIb-dual1  
 inADDIb-equ inMULTa-equ)

**lemma** inMULTa-inADDIb-dual2:  $inADDI^b \varphi = inMULT^a \varphi^d$  **by** (simp add: nMULTa-nADDIb-dual2  
 inADDIb-equ inMULTa-equ)

Duality between inADDI-a and inMULT-b.

**lemma** inADDIa-inMULTb-dual1:  $inADDI^a \varphi = inMULT^b \varphi^d$  **by** (smt (z3) BA-cmpl-equ BA-cp du-  
 alcompl-invol inADDI-a-def iDM-a inMULT-b-def im-prop1 op-dual-def setequ-ext)

**lemma** inADDIa-inMULTb-dual2:  $inMULT^b \varphi = inADDI^a \varphi^d$  **by** (simp add: dual-invol inADDIa-inMULTb-dual1)

Duality between inADDI and inMULT.

**lemma** inADDI-inMULT-dual1:  $inADDI \varphi = inMULT \varphi^d$  **using** inADDI-char inADDIa-inMULTb-dual1  
 inMULT-char inMULTa-inADDIb-dual2 **by** blast

**lemma** inADDI-inMULT-dual2:  $inMULT \varphi = inADDI \varphi^d$  **by** (simp add: dual-invol inADDI-inMULT-dual1)

inADDI and inMULT are the 'complements' of iADDI and iMULT respectively.

**lemma** inADDIa-compl:  $iADDI^a \varphi = inADDI^a \varphi^-$  **by** (metis BA-cmpl-equ BA-cp iADDI-a-def iDM-a  
 im-prop2 inADDI-a-def setequ-ext svfun-compl-def)

**lemma** inADDIb-compl:  $iADDI^b \varphi = inADDI^b \varphi^-$  **by** (simp add: ANTI-MONO ANTI-inADDIb  
 MONO-iADDIb)

**lemma** inADDI-compl:  $iADDI \varphi = inADDI \varphi^-$  **by** (simp add: iADDI-char inADDI-char inAD-  
 DIa-compl inADDIb-compl)

**lemma** inMULTa-compl:  $iMULT^a \varphi = inMULT^a \varphi^-$  **by** (simp add: ANTI-MONO ANTI-inMULTa  
 MONO-iMULTa)

**lemma** inMULTb-compl:  $iMULT^b \varphi = inMULT^b \varphi^-$  **by** (metis dual-compl-char1 dual-compl-char2  
 iADDIa-iMULTb-dual2 inADDIa-compl inADDIa-inMULTb-dual2)

**lemma** inMULT-compl:  $iMULT \varphi = inMULT \varphi^-$  **by** (simp add: iMULT-char inMULT-char inMULTa-compl  
 inMULTb-compl)

In fact, infinite anti-additivity (anti-multiplicativity) entails (dual) anti-normality:

**lemma** inADDI-nNORM:  $inADDI \varphi \rightarrow nNORM \varphi$  **by** (metis bottom-def inADDI-def inf-empty im-  
 age-def nNORM-def setequ-ext sup-empty)

**lemma** inMULT-nDNRM:  $inMULT \varphi \rightarrow nDNRM \varphi$  **by** (simp add: inADDI-inMULT-dual2 in-  
 ADDI-nNORM nNOR-dual2)

**end**

**theory** conditions-relativized

**imports** conditions-negative

**begin**

### 3.3 Relativized Conditions

We continue defining and interrelating axiomatic conditions on unary operations (operators). This time we consider their 'relativized' variants.

Relativized order and equality relations.

```

definition subset-in:: $\langle p \sigma \Rightarrow 'p \sigma \Rightarrow 'p \sigma \Rightarrow \text{bool} \rangle (\cdot \leq \cdot)$ 
  where  $\langle A \leq_U B \equiv \forall x. U x \rightarrow (A x \rightarrow B x) \rangle$ 
definition subset-out:: $\langle p \sigma \Rightarrow 'p \sigma \Rightarrow 'p \sigma \Rightarrow \text{bool} \rangle (\cdot \leq^ \cdot)$ 
  where  $\langle A \leq^U B \equiv \forall x. \neg U x \rightarrow (A x \rightarrow B x) \rangle$ 
definition setequ-in:: $\langle p \sigma \Rightarrow 'p \sigma \Rightarrow 'p \sigma \Rightarrow \text{bool} \rangle (\cdot = \cdot)$ 
  where  $\langle A =_U B \equiv \forall x. U x \rightarrow (A x \leftrightarrow B x) \rangle$ 
definition setequ-out:: $\langle p \sigma \Rightarrow 'p \sigma \Rightarrow 'p \sigma \Rightarrow \text{bool} \rangle (\cdot =^ \cdot)$ 
  where  $\langle A =^U B \equiv \forall x. \neg U x \rightarrow (A x \leftrightarrow B x) \rangle$ 

declare subset-in-def[order] subset-out-def[order] setequ-in-def[order] setequ-out-def[order]

lemma subset-in-out:  $(\text{let } U=C \text{ in } (A \leq_U B)) = (\text{let } U=-C \text{ in } (A \leq^U B))$  by (simp add: compl-def subset-in-def subset-out-def)
lemma setequ-in-out:  $(\text{let } U=C \text{ in } (A =_U B)) = (\text{let } U=-C \text{ in } (A =^U B))$  by (simp add: compl-def setequ-in-def setequ-out-def)

lemma subset-in-char:  $(A \leq_U B) = (U \wedge A \leq U \wedge B)$  unfolding order conn by blast
lemma subset-out-char:  $(A \leq^U B) = (U \vee A \leq U \vee B)$  unfolding order conn by blast
lemma setequ-in-char:  $(A =_U B) = (U \wedge A = U \wedge B)$  unfolding order conn by blast
lemma setequ-out-char:  $(A =^U B) = (U \vee A = U \vee B)$  unfolding order conn by blast

```

Relativization cannot be meaningfully applied to conditions (n)NORM or (n)DNRN.

```

lemma NORM  $\varphi = (\text{let } U = \top \text{ in } ((\varphi \perp) =_U \perp))$  by (simp add: NORM-def setequ-def setequ-in-def top-def)
lemma (let  $U = \perp$  in  $((\varphi \perp) =_U \perp))$  by (simp add: bottom-def setequ-in-def)

```

Relativization ('in' resp. 'out') leaves (n)EXP/N/(n)CNTR unchanged or trivializes them.

```

lemma EXPN  $\varphi = (\forall A. A \leq_A \varphi A)$  by (simp add: EXPN-def subset-def subset-in-def)
lemma CNTR  $\varphi = (\forall A. (\varphi A) \leq^A A)$  by (metis (mono-tags, lifting) CNTR-def subset-def subset-out-def)
lemma  $\forall A. A \leq^A \varphi A$  by (simp add: subset-out-def)
lemma  $\forall A. (\varphi A) \leq_A A$  by (simp add: subset-in-def)

```

Relativized ADDI variants.

```

definition ADDIr:: $\langle w \sigma \Rightarrow 'w \sigma \Rightarrow \text{bool} \rangle (\cdot ADDIr \cdot)$ 
  where  $\text{ADDI} \varphi \equiv \forall A B. \text{let } U = (A \vee B) \text{ in } (\varphi(A \vee B) =^U (\varphi A) \vee (\varphi B))$ 
definition ADDIr-a:: $\langle w \sigma \Rightarrow 'w \sigma \Rightarrow \text{bool} \rangle (\cdot ADDIr^a \cdot)$ 
  where  $\text{ADDI}^a \varphi \equiv \forall A B. \text{let } U = (A \vee B) \text{ in } (\varphi(A \vee B) \leq^U (\varphi A) \vee (\varphi B))$ 
definition ADDIr-b:: $\langle w \sigma \Rightarrow 'w \sigma \Rightarrow \text{bool} \rangle (\cdot ADDIr^b \cdot)$ 
  where  $\text{ADDI}^b \varphi \equiv \forall A B. \text{let } U = (A \vee B) \text{ in } ((\varphi A) \vee (\varphi B) \leq^U \varphi(A \vee B))$ 

declare ADDIr-def[cond] ADDIr-a-def[cond] ADDIr-b-def[cond]

lemma ADDIr-char:  $\text{ADDI} \varphi = (\text{ADDI}^a \varphi \wedge \text{ADDI}^b \varphi)$  unfolding cond by (meson setequ-char setequ-out-char subset-out-char)

lemma ADDIr-a-impl:  $\text{ADDI}^a \varphi \longrightarrow \text{ADDI}^a \varphi$  by (simp add: ADDI-a-def ADDIr-a-def subset-def subset-out-def)

```

```

lemma ADDIr-a-equ: EXPN  $\varphi \implies \text{ADDI}^a \varphi = \text{ADDI}^a \varphi$  unfolding cond by (smt (verit, del-insts)
join-def subset-def subset-out-def)
lemma ADDIr-a-equ': nEXPN  $\varphi \implies \text{ADDI}^a \varphi = \text{ADDI}^a \varphi$  unfolding cond by (smt (verit, ccfv-threshold)
compl-def subset-def subset-out-def)

lemma ADDIr-b-impl: ADDIb  $\varphi \longrightarrow \text{ADDI}^b \varphi$  by (simp add: ADDI-b-def ADDIr-b-def subset-def
subset-out-def)
lemma nEXPN  $\varphi \implies \text{ADDI}^b \varphi \longrightarrow \text{ADDI}^b \varphi$  nitpick oops — countermodel
lemma ADDIr-b-equ: EXPN  $\varphi \implies \text{ADDI}^b \varphi = \text{ADDI}^b \varphi$  unfolding cond by (smt (z3) subset-def
subset-out-def)

```

Relativized MULT variants.

```

definition MULTr::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle \text{MULTr} \rangle$ )
  where MULTr  $\varphi \equiv \forall A B. \text{let } U = (A \wedge B) \text{ in } (\varphi(A \wedge B) =_U (\varphi A) \wedge (\varphi B))$ 
definition MULTr-a::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle \text{MULTr}^a \rangle$ )
  where MULTra  $\varphi \equiv \forall A B. \text{let } U = (A \wedge B) \text{ in } (\varphi(A \wedge B) \leq_U (\varphi A) \wedge (\varphi B))$ 
definition MULTr-b::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle \text{MULTr}^b \rangle$ )
  where MULTrb  $\varphi \equiv \forall A B. \text{let } U = (A \wedge B) \text{ in } ((\varphi A) \wedge (\varphi B) \leq_U \varphi(A \wedge B))$ 

```

```
declare MULTr-def[cond] MULTr-a-def[cond] MULTr-b-def[cond]
```

```

lemma MULTr-char: MULTr  $\varphi = (\text{MULTr}^a \varphi \wedge \text{MULTr}^b \varphi)$  unfolding cond by (meson setequ-char
setequ-in-char subset-in-char)

```

```

lemma MULTr-a-impl: MULTa  $\varphi \longrightarrow \text{MULTr}^a \varphi$  by (simp add: MULT-a-def MULTr-a-def subset-def
subset-in-def)

```

```
lemma nCNTR  $\varphi \implies \text{MULTr}^a \varphi \longrightarrow \text{MULT}^a \varphi$  nitpick oops — countermodel
```

```
lemma MULTr-a-equ: CNTR  $\varphi \implies \text{MULTr}^a \varphi = \text{MULT}^a \varphi$  unfolding cond by (smt (verit, del-insts)
subset-def subset-in-def)
```

```

lemma MULTr-b-impl: MULTb  $\varphi \longrightarrow \text{MULTr}^b \varphi$  by (simp add: MULT-b-def MULTr-b-def subset-def
subset-in-def)

```

```
lemma MULTrb  $\varphi \longrightarrow \text{MULT}^b \varphi$  nitpick oops — countermodel
```

```
lemma MULTr-b-equ: CNTR  $\varphi \implies \text{MULTr}^b \varphi = \text{MULT}^b \varphi$  unfolding cond by (smt (verit, del-insts)
meet-def subset-def subset-in-def)
```

```
lemma MULTr-b-equ': nCNTR  $\varphi \implies \text{MULTr}^b \varphi = \text{MULT}^b \varphi$  unfolding cond by (smt (z3) compl-def
subset-def subset-in-def)
```

Weak variants of monotonicity.

```

definition MONOw1::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle \text{MONOw}^1 \rangle$ )
  where MONOw1  $\varphi \equiv \forall A B. A \leq B \longrightarrow (\varphi A) \leq B \vee (\varphi B)$ 
definition MONOw2::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow$  bool ( $\langle \text{MONOw}^2 \rangle$ )
  where MONOw2  $\varphi \equiv \forall A B. A \leq B \longrightarrow A \wedge (\varphi A) \leq (\varphi B)$ 

```

```
declare MONOw1-def[cond] MONOw2-def[cond]
```

```

lemma MONOw1-ADDIr-b: MONOw1  $\varphi = \text{ADDI}^b \varphi$  proof —
  have l2r: MONOw1  $\varphi \longrightarrow \text{ADDI}^b \varphi$  unfolding cond subset-out-char by (metis (mono-tags,
opaque-lifting) L7 join-def subset-def)
  have r2l: ADDIb  $\varphi \longrightarrow \text{MONOw}^1 \varphi$  unfolding cond subset-out-char by (metis (full-types) L9
join-def setequ-ext subset-def)

```

```
  show ?thesis using l2r r2l by blast
```

```
qed
```

```
lemma MONOw2-MULTr-a: MONOw2  $\varphi = \text{MULTr}^a \varphi$  proof —
```

```
  have l2r: MONOw2  $\varphi \longrightarrow \text{MULTr}^a \varphi$  unfolding cond subset-in-char by (meson L4 L5 L8 L9)
```

```

have r2l:  $\text{MULTr}^a \varphi \rightarrow \text{MONOw}^2 \varphi$  unfolding cond subset-in-char by (metis BA-distr1 L2 L5 L6 L9 setequ-ext)
  show ?thesis using l2r r2l by blast
qed

lemma MONOw1-impl:  $\text{MONO } \varphi \rightarrow \text{MONOw}^1 \varphi$  by (simp add: ADDIr-b-impl MONO-ADDIb MONOw1-ADDIr-b)
lemma MONOw1  $\varphi \rightarrow \text{MONO } \varphi$  nitpick oops — countermodel
lemma MONOw2-impl:  $\text{MONO } \varphi \rightarrow \text{MONOw}^2 \varphi$  by (simp add: MONO-MULTa MONOw2-MULTr-a MULTr-a-impl)
lemma MONOw2  $\varphi \rightarrow \text{MONO } \varphi$  nitpick oops — countermodel

```

We have in fact that (n)CNTR (resp. (n)EXP) implies MONOw-1/ADDIr-b (resp. MONOw-2/MULTr-a).

```

lemma CNTR-MONOw1-impl:  $\text{CNTR } \varphi \rightarrow \text{MONOw}^1 \varphi$  by (metis CNTR-def L3 MONOw1-def subset-char1)
lemma nCNTR-MONOw1-impl:  $n\text{CNTR } \varphi \rightarrow \text{MONOw}^1 \varphi$  by (smt (verit, ccfv-threshold) MONOw1-def compl-def join-def nCNTR-def subset-def)
lemma EXPN-MONOw2-impl:  $\text{EXP} \varphi \rightarrow \text{MONOw}^2 \varphi$  by (metis EXPN-def L4 MONOw2-def subset-char1)
lemma nEXPN-MONOw2-impl:  $n\text{EXP} \varphi \rightarrow \text{MONOw}^2 \varphi$  by (smt (verit) MONOw2-def compl-def meet-def nEXPN-def subset-def)

```

Relativized nADDI variants.

```

definition nADDIr::('w σ ⇒ 'w σ) ⇒ bool (⟨nADDIr⟩)
  where nADDIr  $\varphi \equiv \forall A B. \text{let } U = (A \vee B) \text{ in } (\varphi(A \vee B) =^U (\varphi A) \wedge (\varphi B))$ 
definition nADDIr-a::('w σ ⇒ 'w σ) ⇒ bool (⟨nADDIra⟩)
  where nADDIra  $\varphi \equiv \forall A B. \text{let } U = (A \vee B) \text{ in } ((\varphi A) \wedge (\varphi B) \leq^U \varphi(A \vee B))$ 
definition nADDIr-b::('w σ ⇒ 'w σ) ⇒ bool (⟨nADDIrb⟩)
  where nADDIrb  $\varphi \equiv \forall A B. \text{let } U = (A \vee B) \text{ in } (\varphi(A \vee B) \leq^U (\varphi A) \wedge (\varphi B))$ 

```

```
declare nADDIr-def[cond] nADDIr-a-def[cond] nADDIr-b-def[cond]
```

```
lemma nADDIr-char:  $n\text{ADDI} \varphi = (n\text{ADDI}^a \varphi \wedge n\text{ADDI}^b \varphi)$  unfolding cond by (meson setequ-char setequ-out-char subset-out-char)
```

```

lemma nADDIr-a-impl:  $n\text{ADDI}^a \varphi \rightarrow n\text{ADDI}^a \varphi$  unfolding cond by (simp add: subset-def subset-out-def)
lemma nADDIra  $\varphi \rightarrow n\text{ADDI}^a \varphi$  nitpick oops — countermodel
lemma nADDIr-a-equa:  $\text{EXP} \varphi \Rightarrow n\text{ADDI}^a \varphi = n\text{ADDI}^a \varphi$  unfolding cond by (smt (z3) subset-def subset-out-def)
lemma nADDIr-a-equ':  $n\text{EXP} \varphi \Rightarrow n\text{ADDI}^a \varphi = n\text{ADDI}^a \varphi$  unfolding cond by (smt (z3) compl-def join-def meet-def subset-def subset-out-def)

```

```

lemma nADDIr-b-impl:  $n\text{ADDI}^b \varphi \rightarrow n\text{ADDI}^b \varphi$  by (simp add: nADDI-b-def nADDIr-b-def subset-def subset-out-def)
lemma EXPN  $\varphi \Rightarrow n\text{ADDI}^b \varphi \rightarrow n\text{ADDI}^b \varphi$  nitpick oops — countermodel
lemma nADDIr-b-equa:  $n\text{EXP} \varphi \Rightarrow n\text{ADDI}^b \varphi = n\text{ADDI}^b \varphi$  unfolding cond by (smt (z3) compl-def subset-def subset-out-def)

```

Relativized nMULT variants.

```

definition nMULTr::('w σ ⇒ 'w σ) ⇒ bool (⟨nMULTr⟩)
  where nMULTr  $\varphi \equiv \forall A B. \text{let } U = (A \wedge B) \text{ in } (\varphi(A \wedge B) =_U (\varphi A) \vee (\varphi B))$ 
definition nMULTr-a::('w σ ⇒ 'w σ) ⇒ bool (⟨nMULTra⟩)
  where nMULTra  $\varphi \equiv \forall A B. \text{let } U = (A \wedge B) \text{ in } ((\varphi A) \vee (\varphi B) \leq_U \varphi(A \wedge B))$ 
definition nMULTr-b::('w σ ⇒ 'w σ) ⇒ bool (⟨nMULTrb⟩)

```

**where**  $nMULTr^b \varphi \equiv \forall A B. \text{let } U = (A \wedge B) \text{ in } (\varphi(A \wedge B) \leq_U (\varphi A) \vee (\varphi B))$

**declare**  $nMULTr\text{-def}[cond] nMULTr\text{-a-def}[cond] nMULTr\text{-b-def}[cond]$

**lemma**  $nMULTr\text{-char}: nMULTr \varphi = (nMULTr^a \varphi \wedge nMULTr^b \varphi)$  **unfolding**  $cond$  **by** (meson setequ-char setequ-in-char subset-in-char)

**lemma**  $nMULTr\text{-a-impl}: nMULT^a \varphi \longrightarrow nMULTr^a \varphi$  **by** (simp add: nMULT-a-def nMULTr-a-def subset-def subset-in-def)

**lemma**  $CNTR \varphi \implies nMULTr^a \varphi \longrightarrow nMULT^a \varphi$  **nitpick oops** — countermodel

**lemma**  $nMULTr\text{-a-equ}: nCNTR \varphi \implies nMULTr^a \varphi = nMULT^a \varphi$  **unfolding**  $cond$  **by** (smt (z3) compl-def subset-def subset-in-def)

**lemma**  $nMULTr\text{-b-impl}: nMULT^b \varphi \longrightarrow nMULTr^b \varphi$  **by** (simp add: nMULT-b-def nMULTr-b-def subset-def subset-in-def)

**lemma**  $nMULTr^b \varphi \longrightarrow nMULT^b \varphi$  **nitpick oops** — countermodel

**lemma**  $nMULTr\text{-b-equ}: CNTR \varphi \implies nMULTr^b \varphi = nMULT^b \varphi$  **unfolding**  $cond$  **by** (smt (z3) compl-def join-def meet-def subset-def subset-in-def)

**lemma**  $nMULTr\text{-b-equ}': nCNTR \varphi \implies nMULTr^b \varphi = nMULT^b \varphi$  **unfolding**  $cond$  **by** (smt (z3) compl-def join-def meet-def subset-def subset-in-def)

Weak variants of antitonicity.

**definition**  $ANTIw1::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle ANTIw^1 \rangle)$   
**where**  $ANTIw^1 \varphi \equiv \forall A B. A \leq B \longrightarrow (\varphi B) \leq B \vee (\varphi A)$

**definition**  $ANTIw2::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle ANTIw^2 \rangle)$   
**where**  $ANTIw^2 \varphi \equiv \forall A B. A \leq B \longrightarrow A \wedge (\varphi B) \leq (\varphi A)$

**declare**  $ANTIw1\text{-def}[cond] ANTIw2\text{-def}[cond]$

**lemma**  $ANTIw1\text{-nADDI}r\text{-b}: ANTIw^1 \varphi = nADDI^b \varphi$  **proof** —  
**have**  $l2r: ANTIw^1 \varphi \longrightarrow nADDI^b \varphi$  **unfolding**  $cond$  **subset-out-char** **by** (smt (verit, ccfv-SIG) BA-distr2 L8 join-def setequ-ext subset-def)  
**have**  $r2l: nADDI^b \varphi \longrightarrow ANTIw^1 \varphi$  **unfolding**  $cond$  **subset-out-def** **by** (metis (full-types) L9 join-def meet-def setequ-ext subset-def)  
**show** ?thesis **using** l2r r2l **by** blast  
**qed**

**lemma**  $ANTIw2\text{-nMULTr-a}: ANTIw^2 \varphi = nMULTr^a \varphi$  **proof** —  
**have**  $l2r: ANTIw^2 \varphi \longrightarrow nMULTr^a \varphi$  **unfolding**  $cond$  **subset-in-char** **by** (metis BA-distr1 L3 L4 L5 L7 L8 setequ-ext)  
**have**  $r2l: nMULTr^a \varphi \longrightarrow ANTIw^2 \varphi$  **unfolding**  $cond$  **subset-in-def** **by** (metis (full-types) L10 join-def meet-def setequ-ext subset-def)  
**show** ?thesis **using** l2r r2l **by** blast  
**qed**

**lemma**  $ANTI \varphi \longrightarrow ANTIw^1 \varphi$  **by** (simp add: ANTI-nADDIb ANTIw1-nADDI-b nADDI-b-impl)  
**lemma**  $ANTIw^1 \varphi \longrightarrow ANTI \varphi$  **nitpick oops** — countermodel  
**lemma**  $ANTI \varphi \longrightarrow ANTIw^2 \varphi$  **by** (simp add: ANTI-nMULTa ANTIw2-nMULTr-a nMULTr-a-impl)  
**lemma**  $ANTIw^2 \varphi \longrightarrow ANTI \varphi$  **nitpick oops** — countermodel

We have in fact that (n)CNTR (resp. (n)EXP) implies ANTIw-1/nADDI-b (resp. ANTIw-2/nMULTr-a).

**lemma**  $CNTR\text{-}ANTIw1\text{-impl}: CNTR \varphi \longrightarrow ANTIw^1 \varphi$  **unfolding**  $cond$  **using** L3 **subset-char1** **by** blast  
**lemma**  $nCNTR\text{-}ANTIw1\text{-impl}: nCNTR \varphi \longrightarrow ANTIw^1 \varphi$  **unfolding**  $cond$  **by** (metis (full-types) compl-def join-def subset-def)

```

lemma EXPN-ANTIw2-impl: EXPN  $\varphi \rightarrow ANTIw^2 \varphi$  unfolding cond using L4 subset-char1 by blast
lemma nEXPN-ANTIw2-impl: nEXPN  $\varphi \rightarrow ANTIw^2 \varphi$  unfolding cond by (metis (full-types) compl-def meet-def subset-def)

```

Dual interrelations.

```

lemma ADDIr-dual1: ADDIra  $\varphi = MULTr^b \varphi^d$  unfolding cond subset-in-char subset-out-char by (smt (z3) BA-cp BA-deMorgan1 BA-dn op-dual-def setequ-ext)
lemma ADDIr-dual2: ADDIrb  $\varphi = MULTr^a \varphi^d$  unfolding cond subset-in-char subset-out-char by (smt (verit, ccfv-threshold) BA-cp BA-deMorgan1 BA-dn op-dual-def setequ-ext)
lemma ADDIr-dual: ADDIr  $\varphi = MULTr \varphi^d$  using ADDIr-char ADDIr-dual1 ADDIr-dual2 MULTr-char by blast

lemma nADDIr-dual1: nADDIra  $\varphi = nMULTr^b \varphi^d$  unfolding cond subset-in-char subset-out-char by (smt (verit, del-insts) BA-cp BA-deMorgan1 BA-dn op-dual-def setequ-ext)
lemma nADDIr-dual2: nADDIrb  $\varphi = nMULTr^a \varphi^d$  by (smt (z3) BA-deMorgan1 BA-dn compl-def nADDIr-b-def nMULTr-a-def op-dual-def setequ-ext subset-in-def subset-out-def)
lemma nADDIr-dual: nADDIr  $\varphi = nMULTr \varphi^d$  using nADDIr-char nADDIr-dual1 nADDIr-dual2 nMULTr-char by blast

```

Complement interrelations.

```

lemma ADDIr-a-cmpl: ADDIra  $\varphi = nADDIr^a \varphi^-$  unfolding cond by (smt (verit, del-insts) BA-deMorgan1 compl-def setequ-ext subset-out-def svfun-compl-def)
lemma ADDIr-b-cmpl: ADDIrb  $\varphi = nADDIr^b \varphi^-$  unfolding cond by (smt (verit, del-insts) BA-deMorgan1 compl-def setequ-ext subset-out-def svfun-compl-def)
lemma ADDIr-cmpl: ADDIr  $\varphi = nADDIr \varphi^-$  by (simp add: ADDIr-a-cmpl ADDIr-b-cmpl AD-Dlr-char nADDIr-char)
lemma MULTr-a-cmpl: MULTra  $\varphi = nMULTr^a \varphi^-$  unfolding cond by (smt (verit, del-insts) BA-deMorgan2 compl-def setequ-ext subset-in-def svfun-compl-def)
lemma MULTr-b-cmpl: MULTrb  $\varphi = nMULTr^b \varphi^-$  unfolding cond by (smt (verit, ccfv-threshold) BA-deMorgan2 compl-def setequ-ext subset-in-def svfun-compl-def)
lemma MULTr-cmpl: MULTr  $\varphi = nMULTr \varphi^-$  by (simp add: MULTr-a-cmpl MULTr-b-cmpl MULTr-char nMULTr-char)

```

Fixed-point interrelations.

```

lemma EXPN-fp: EXPN  $\varphi = EXPN \varphi^{fp}$  by (simp add: EXPN-def dimpl-def op-fixpoint-def subset-def)
lemma EXPN-fpc: EXPN  $\varphi = nEXPN \varphi^{fp-}$  using EXPN-fp nEXPN-CNTR-compl by blast
lemma CNTR-fp: CNTR  $\varphi = nCNTR \varphi^{fp}$  by (metis EXPN-CNTR-dual1 EXPN-fp dual-compl-char2 dual-invof nCNTR-EXPN-compl ofp-comm-dc1 sfun-compl-invof)
lemma CNTR-fpc: CNTR  $\varphi = CNTR \varphi^{fp-}$  by (metis CNTR-fp nCNTR-EXPN-compl ofp-comm-compl ofp-invof)

lemma nNORM-fp: NORM  $\varphi = nNORM \varphi^{fp}$  by (metis NORM-def fixpoints-def fp-rel nNORM-def)
lemma NORM-fpc: NORM  $\varphi = NORM \varphi^{fp-}$  by (simp add: NORM-def bottom-def ofp-fixpoint-compl-def sdifff-def)
lemma DNRM-fp: DNRM  $\varphi = DNRM \varphi^{fp}$  by (simp add: DNRM-def dimpl-def op-fixpoint-def top-def)
lemma DNRM-fpc: DNRM  $\varphi = nDNRM \varphi^{fp-}$  using DNRM-fp nDNRM-DNRM-compl by blast

lemma ADDIr-a-fpc: ADDIra  $\varphi = ADDIr^a \varphi^{fp-}$  unfolding cond subset-out-def by (simp add: join-def ofp-fixpoint-compl-def sdifff-def)
lemma ADDIr-a-fp: ADDIra  $\varphi = nADDIr^a \varphi^{fp}$  by (metis ADDIr-a-cmpl ADDIr-a-fpc sfun-compl-invof)
lemma ADDIr-b-fpc: ADDIrb  $\varphi = ADDIr^b \varphi^{fp-}$  unfolding cond subset-out-def by (simp add: join-def ofp-fixpoint-compl-def sdifff-def)
lemma ADDIr-b-fp: ADDIrb  $\varphi = nADDIr^b \varphi^{fp}$  by (metis ADDIr-b-cmpl ADDIr-b-fpc sfun-compl-invof)

```

**lemma**  $MULTr-a-fp$ :  $MULTr^a \varphi = MULTr^a \varphi^{fp}$  **unfolding** cond subset-in-def **by** (simp add: dimpl-def meet-def op-fixpoint-def)  
**lemma**  $MULTr-a-fpc$ :  $MULTr^a \varphi = nMULTr^a \varphi^{fp-}$  **using**  $MULTr-a-cmpl MULTr-a-fp$  **by** blast  
**lemma**  $MULTr-b-fp$ :  $MULTr^b \varphi = MULTr^b \varphi^{fp}$  **unfolding** cond subset-in-def **by** (simp add: dimpl-def meet-def op-fixpoint-def)  
**lemma**  $MULTr-b-fpc$ :  $MULTr^b \varphi = nMULTr^b \varphi^{fp-}$  **using**  $MULTr-b-cmpl MULTr-b-fp$  **by** blast

Relativized IDEM variants.

**definition**  $IDEMr-a::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle IDEMr^a \rangle)$   
**where**  $IDEMr^a \varphi \equiv \forall A. \varphi(A \vee \varphi A) \leq^A (\varphi A)$   
**definition**  $IDEMr-b::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle IDEMr^b \rangle)$   
**where**  $IDEMr^b \varphi \equiv \forall A. (\varphi A) \leq_A \varphi(A \wedge \varphi A)$   
**declare**  $IDEMr-a-def[cond] IDEMr-b-def[cond]$

Relativized nIDEM variants.

**definition**  $nIDEMr-a::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nIDEMr^a \rangle)$   
**where**  $nIDEMr^a \varphi \equiv \forall A. (\varphi A) \leq^A \varphi(A \vee \neg(\varphi A))$   
**definition**  $nIDEMr-b::('w \sigma \Rightarrow 'w \sigma) \Rightarrow \text{bool} (\langle nIDEMr^b \rangle)$   
**where**  $nIDEMr^b \varphi \equiv \forall A. \varphi(A \wedge \neg(\varphi A)) \leq_A (\varphi A)$   
**declare**  $nIDEMr-a-def[cond] nIDEMr-b-def[cond]$

Complement interrelations.

**lemma**  $IDEMr-a-cmpl$ :  $IDEMr^a \varphi = nIDEMr^a \varphi^-$  **unfolding** cond subset-in-def subset-out-def **by** (metis compl-def sfun-compl-involut sfun-compl-def)  
**lemma**  $IDEMr-b-cmpl$ :  $IDEMr^b \varphi = nIDEMr^b \varphi^-$  **unfolding** cond subset-in-def subset-out-def **by** (metis compl-def sfun-compl-involut sfun-compl-def)

Dual interrelation.

**lemma**  $IDEMr-dual$ :  $IDEMr^a \varphi = IDEMr^b \varphi^d$  **unfolding** cond subset-in-def subset-out-def op-dual-def **by** (metis (mono-tags, opaque-lifting) BA-dn compl-def diff-char1 diff-char2 impl-char setequ-ext)  
**lemma**  $nIDEMr-dual$ :  $nIDEMr^a \varphi = nIDEMr^b \varphi^d$  **by** (metis IDEMr-dual IDEMr-a-cmpl IDEMr-b-cmpl dual-compl-char1 dual-compl-char2 sfun-compl-involut)

Fixed-point interrelations.

**lemma**  $nIDEMr-a-fpc$ :  $nIDEMr^a \varphi = nIDEMr^a \varphi^{fp-}$  **proof** –  
**have**  $\forall A. (\lambda p. A p \vee \neg\varphi A p) = (\lambda p. A p \vee \varphi A p = A p)$  **by** blast  
**thus** ?thesis **unfolding** cond subset-out-def ofp-fixpoint-compl-def conn order **by** simp  
**qed**  
**lemma**  $IDEMr-a-fp$ :  $IDEMr^a \varphi = nIDEMr^a \varphi^{fp}$  **by** (metis IDEMr-a-cmpl nIDEMr-a-fpc ofp-involut)  
**lemma**  $IDEMr-a-fpc$ :  $IDEMr^a \varphi = IDEMr^a \varphi^{fp-}$  **by** (metis IDEMr-a-cmpl nIDEMr-a-fpc ofp-comm-compl)  
**lemma**  $IDEMr-b-fp$ :  $IDEMr^b \varphi = IDEMr^b \varphi^{fp}$  **by** (metis IDEMr-a-fpc IDEMr-dual dual-compl-char1 dual-involut ofp-comm-compl ofp-comm-dc2)  
**lemma**  $IDEMr-b-fpc$ :  $IDEMr^b \varphi = nIDEMr^b \varphi^{fp-}$  **using** IDEMr-b-fp IDEMr-b-cmpl **by** blast

The original border condition B1' (by Zarycki) is equivalent to the conjunction of nMULTr and CNTR.

**abbreviation**  $B1' \varphi \equiv \forall A B. \varphi(A \wedge B) = (A \wedge \varphi B) \vee (\varphi A \wedge B)$

**lemma**  $B1' \varphi = (nMULTr \varphi \wedge CNTR \varphi)$  **proof** –  
**have** l2ra:  $B1' \varphi \rightarrow nMULTr \varphi$  **unfolding** cond **by** (smt (z3) join-def meet-def setequ-ext setequ-in-def)  
**have** l2rb:  $B1' \varphi \rightarrow CNTR \varphi$  **unfolding** cond **by** (metis L2 L4 L5 L7 L9 setequ-ext)

```

have r2l: (nMULTr  $\varphi \wedge \text{CNTR } \varphi$ )  $\longrightarrow B1' \varphi$  unfolding cond by (smt (z3) L10 join-def meet-def setequ-def setequ-in-char)
from l2ra l2rb r2l show ?thesis by blast
qed

```

Modulo conditions *nMULTr* and *CNTR* the border condition *B4* is equivalent to *nIDEMr-b*.

```
abbreviation B4  $\varphi \equiv \forall A. \varphi(\neg\varphi(\neg A)) \leq A$ 
```

```

lemma nMULTr  $\varphi \implies \text{CNTR } \varphi \implies B4 \varphi = \text{nIDEMr}^b \varphi$  proof -
assume a1: nMULTr  $\varphi$  and a2: CNTR  $\varphi$ 
have l2r: nMULTrb  $\varphi \implies B4 \varphi \longrightarrow \text{nIDEMr}^b \varphi$  unfolding cond subset-in-char subset-def by (metis BA-deMorgan1 BA-dn compl-def meet-def setequ-ext)
have r2l: nMULTra  $\varphi \implies \text{CNTR } \varphi \implies \text{nIDEMr}^b \varphi \longrightarrow B4 \varphi$  unfolding cond by (smt (verit) compl-def join-def meet-def subset-def subset-in-def)
from l2r r2l show ?thesis using a1 a2 nMULTr-char by blast
qed

```

```
end
```

```
theory conditions-relativized-infinitary
```

```
imports conditions-relativized conditions-negative-infinitary
```

```
begin
```

### 3.4 Infinitary Relativized Conditions

We define and interrelate infinitary variants for some previously introduced axiomatic conditions on operators.

```

definition iADDIr::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle iADDIr \rangle)$ 
where iADDIr  $\varphi \equiv \forall S. \text{let } U=\bigvee S \text{ in } (\varphi(\bigvee S) =^U \bigvee [\![\varphi]\!] S)$ 
definition iADDIr-a::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle iADDIr^a \rangle)$ 
where iADDIra  $\varphi \equiv \forall S. \text{let } U=\bigvee S \text{ in } (\varphi(\bigvee S) \leq^U \bigvee [\![\varphi]\!] S)$ 
definition iADDIr-b::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle iADDIr^b \rangle)$ 
where iADDIrb  $\varphi \equiv \forall S. \text{let } U=\bigvee S \text{ in } (\bigvee [\![\varphi]\!] S \leq^U \varphi(\bigvee S))$ 

```

```

definition inADDIr::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle inADDIr \rangle)$ 
where inADDIr  $\varphi \equiv \forall S. \text{let } U=\bigvee S \text{ in } (\varphi(\bigvee S) =^U \bigwedge [\![\varphi]\!] S)$ 
definition inADDIr-a::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle inADDIr^a \rangle)$ 
where inADDIra  $\varphi \equiv \forall S. \text{let } U=\bigvee S \text{ in } (\bigwedge [\![\varphi]\!] S \leq^U \varphi(\bigvee S))$ 
definition inADDIr-b::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle inADDIr^b \rangle)$ 
where inADDIrb  $\varphi \equiv \forall S. \text{let } U=\bigvee S \text{ in } (\varphi(\bigvee S) \leq^U \bigwedge [\![\varphi]\!] S)$ 

```

```

declare iADDIr-def[cond] iADDIr-a-def[cond] iADDIr-b-def[cond]
inADDIr-def[cond] inADDIr-a-def[cond] inADDIr-b-def[cond]

```

```

definition iMULTr::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle iMULTr \rangle)$ 
where iMULTr  $\varphi \equiv \forall S. \text{let } U=\bigwedge S \text{ in } (\varphi(\bigwedge S) =_U \bigwedge [\![\varphi]\!] S)$ 
definition iMULTr-a::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle iMULTr^a \rangle)$ 
where iMULTra  $\varphi \equiv \forall S. \text{let } U=\bigwedge S \text{ in } (\varphi(\bigwedge S) \leq_U \bigwedge [\![\varphi]\!] S)$ 
definition iMULTr-b::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle iMULTr^b \rangle)$ 
where iMULTrb  $\varphi \equiv \forall S. \text{let } U=\bigwedge S \text{ in } (\bigwedge [\![\varphi]\!] S \leq_U \varphi(\bigwedge S))$ 

```

```

definition inMULTr::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle inMULTr \rangle)$ 
where inMULTr  $\varphi \equiv \forall S. \text{let } U=\bigwedge S \text{ in } (\varphi(\bigwedge S) =_U \bigvee [\![\varphi]\!] S)$ 
definition inMULTr-a::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle inMULTr^a \rangle)$ 
where inMULTra  $\varphi \equiv \forall S. \text{let } U=\bigwedge S \text{ in } (\bigvee [\![\varphi]\!] S \leq_U \varphi(\bigwedge S))$ 
definition inMULTr-b::('w  $\sigma \Rightarrow 'w \sigma$ )  $\Rightarrow \text{bool} (\langle inMULTr^b \rangle)$ 

```

where  $\text{inMULTr}^b \varphi \equiv \forall S. \text{let } U = \bigwedge S \text{ in } (\varphi(\bigwedge S) \leq_U \bigvee \llbracket \varphi \mid S \rrbracket)$

**declare**  $iMULTr\text{-def}[cond]$   $iMULTr\text{-a-def}[cond]$   $iMULTr\text{-b-def}[cond]$   
 $iMULTr\text{-def}[cond]$   $iMULTr\text{-a-def}[cond]$   $iMULTr\text{-b-def}[cond]$

**lemma**  $iADDI\text{-char}$ :  $iADDI\varphi = (iADDI^a\varphi \wedge iADDI^b\varphi)$  **unfolding** cond setequ-char setequ-out-char subset-out-char **by** (meson setequ-char)

**lemma**  $iMULTr\text{-char}$ :  $iMULTr\varphi = (iMULTr^a\varphi \wedge iMULTr^b\varphi)$  **unfolding** cond setequ-char setequ-in-char subset-in-char **by** (meson setequ-char)

**lemma**  $iADDI\text{-char}$ :  $iADDI\varphi = (iADDI^a\varphi \wedge iADDI^b\varphi)$  **unfolding** cond setequ-char setequ-out-char subset-out-char **by** (meson setequ-char)

**lemma**  $iMULTr\text{-char}$ :  $iMULTr\varphi = (iMULTr^a\varphi \wedge iMULTr^b\varphi)$  **unfolding** cond setequ-char setequ-in-char subset-in-char **by** (meson setequ-char)

Dual interrelations.

**lemma**  $iADDI\text{-dual1}$ :  $iADDI^a\varphi = iMULTr^b\varphi^d$  **unfolding** cond **by** (smt (z3) BA-cmpl-equ BA-cp BA-deMorgan2 dual-invol iDM-a iDM-b im-prop1 op-dual-def setequ-ext subset-in-char subset-out-char)

**lemma**  $iADDI\text{-dual2}$ :  $iADDI^b\varphi = iMULTr^a\varphi^d$  **unfolding** cond **by** (smt (z3) BA-cmpl-equ BA-cp BA-deMorgan2 dual-invol iDM-a iDM-b im-prop1 op-dual-def setequ-ext subset-in-char subset-out-char)

**lemma**  $iADDI\text{-dual}$ :  $iADDI\varphi = iMULTr\varphi^d$  **using** iADDI-char iADDI-dual1 iADDI-dual2 iMULTr-char **by** blast

**lemma**  $iADDI\text{-dual1}$ :  $iADDI^a\varphi = iMULTr^b\varphi^d$  **unfolding** cond **by** (smt (z3) BA-cmpl-equ compl-def dual-invol iDM-a iDM-b im-prop3 op-dual-def setequ-ext subset-in-def subset-in-out)

**lemma**  $iADDI\text{-dual2}$ :  $iADDI^b\varphi = iMULTr^a\varphi^d$  **unfolding** cond **by** (smt (z3) BA-cmpl-equ compl-def dual-invol iDM-a iDM-b im-prop3 op-dual-def setequ-ext subset-in-def subset-in-out)

**lemma**  $iADDI\text{-dual}$ :  $iADDI\varphi = iMULTr\varphi^d$  **using** iADDI-char iADDI-dual1 iADDI-dual2 iMULTr-char **by** blast

Complement interrelations.

**lemma**  $iADDI\text{-a-cmpl}$ :  $iADDI^a\varphi = iADDI^a\varphi^-$  **unfolding** cond **by** (smt (z3) compl-def dual-compl-invol iDM-b im-prop2 setequ-ext subset-out-def sfun-compl-def)

**lemma**  $iADDI\text{-b-cmpl}$ :  $iADDI^b\varphi = iADDI^b\varphi^-$  **unfolding** cond **by** (smt (z3) compl-def iDM-b im-prop2 setequ-ext sfun-compl-invol subset-out-def sfun-compl-def)

**lemma**  $iADDI\text{-cmpl}$ :  $iADDI\varphi = iADDI\varphi^-$  **by** (simp add: iADDI-a-cmpl iADDI-b-cmpl iADDI-char iADDI-char)

**lemma**  $iMULTr\text{-a-cmpl}$ :  $iMULTr^a\varphi = iMULTr^a\varphi^-$  **unfolding** cond **by** (smt (z3) compl-def iDM-b im-prop2 setequ-ext subset-in-def sfun-compl-def)

**lemma**  $iMULTr\text{-b-cmpl}$ :  $iMULTr^b\varphi = iMULTr^b\varphi^-$  **unfolding** cond **by** (smt (z3) compl-def dual-compl-invol iDM-a im-prop2 setequ-ext subset-in-def sfun-compl-def)

**lemma**  $iMULTr\text{-cmpl}$ :  $MULTr\varphi = nMULTr\varphi^-$  **by** (simp add: MULTr-a-cmpl MULTr-b-cmpl MULTr-char nMULTr-char)

Fixed-point interrelations.

**lemma**  $iADDI\text{-a-fpc}$ :  $iADDI^a\varphi = iADDI^a\varphi^{fp-}$  **unfolding** cond subset-out-def image-def ofp-fixpoint-compl-def supremum-def sdiff-def **by** (smt (verit))

**lemma**  $iADDI\text{-a-fp}$ :  $iADDI^a\varphi = iADDI^a\varphi^{fp}$  **by** (metis iADDI-a-cmpl iADDI-a-fpc sfun-compl-invol)

**lemma**  $iADDI\text{-b-fpc}$ :  $iADDI^b\varphi = iADDI^b\varphi^{fp-}$  **unfolding** cond subset-out-def image-def ofp-fixpoint-compl-def supremum-def sdiff-def **by** (smt (verit))

**lemma**  $iADDI\text{-b-fp}$ :  $iADDI^b\varphi = iADDI^b\varphi^{fp}$  **by** (metis iADDI-b-cmpl iADDI-b-fpc sfun-compl-invol)

**lemma**  $iMULTr\text{-a-fp}$ :  $iMULTr^a\varphi = iMULTr^a\varphi^{fp}$  **unfolding** cond subset-in-def image-def **by** (smt (z3) dimpl-def infimum-def ofp-involut op-fixpoint-def)

```

lemma iMULTr-a-fpc: iMULTra φ = inMULTra φfp- using iMULTr-a-cmpl iMULTr-a-fp by blast
lemma iMULTr-b-fp: iMULTrb φ = iMULTrb φfp unfolding cond subset-in-def image-def dimpl-def
infimum-def op-fixpoint-def by (smt (verit))
lemma iMULTr-b-fpc: iMULTrb φ = inMULTrb φfp- using iMULTr-b-cmpl iMULTr-b-fp by blast

end
theory logics-consequence
imports boolean-algebra
begin

```

## 4 Logics based on Topological Boolean Algebras

### 4.1 Logical Consequence and Validity

Logical validity can be defined as truth in all points (i.e. as denoting the top element).

```

abbreviation (input) gtrue::'w σ ⇒ bool (⟨[⊤ -]⟩)
  where [⊤ A] ≡ ∀ w. A w
lemma gtrue-def: [⊤ A] ≡ A = ⊤ by (simp add: seteq-def top-def)

```

When defining a logic over an existing algebra we have two choices: a global (truth preserving) and a local (degree preserving) notion of logical consequence. For LFIs/LFUs we prefer the latter.

```

abbreviation (input) conseq-global1::'w σ ⇒ 'w σ ⇒ bool (⟨[- ⊢g -]⟩)
  where [A ⊢g B] ≡ [⊤ A] → [⊤ B]
abbreviation (input) conseq-global21::'w σ ⇒ 'w σ ⇒ 'w σ ⇒ bool (⟨[-,- ⊢g -]⟩)
  where [A1, A2 ⊢g B] ≡ [⊤ A1] ∧ [⊤ A2] → [⊤ B]
abbreviation (input) conseq-global31::'w σ ⇒ 'w σ ⇒ 'w σ ⇒ 'w σ ⇒ bool (⟨[-,-,- ⊢g -]⟩)
  where [A1, A2, A3 ⊢g B] ≡ [⊤ A1] ∧ [⊤ A2] ∧ [⊤ A3] → [⊤ B]

abbreviation (input) conseq-local1::'w σ ⇒ 'w σ ⇒ bool (⟨[- ⊢ -]⟩)
  where [A ⊢ B] ≡ A ≤ B
abbreviation (input) conseq-local21::'w σ ⇒ 'w σ ⇒ 'w σ ⇒ bool (⟨[-,- ⊢ -]⟩)
  where [A1, A2 ⊢ B] ≡ A1 ∧ A2 ≤ B
abbreviation (input) conseq-local12::'w σ ⇒ 'w σ ⇒ 'w σ ⇒ bool (⟨[- ⊢ -, -]⟩)
  where [A ⊢ B1, B2] ≡ A ≤ B1 ∨ B2
abbreviation (input) conseq-local31::'w σ ⇒ 'w σ ⇒ 'w σ ⇒ 'w σ ⇒ bool (⟨[-,-,- ⊢ -]⟩)
  where [A1, A2, A3 ⊢ B] ≡ A1 ∧ A2 ∧ A3 ≤ B

```

```

end
theory logics-operators
imports conditions-positive
begin

```

### 4.2 Converting between topological operators

We verify minimal conditions under which operators resulting from conversion functions coincide.

Conversions between interior, closure and exterior are straightforward and hold without restrictions: Interior and closure are each other duals. Exterior is the complement of closure. We focus here on conversions involving the border and frontier operators.

Interior operator as derived from border.

**definition**  $\text{Int-br}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{I}_B \rangle$ )  
**where**  $\mathcal{I}_B \mathcal{B} \equiv \lambda A. A \leftarrow (\mathcal{B} A)$

Interior operator as derived from frontier.

**definition**  $\text{Int-fr}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{I}_F \rangle$ )  
**where**  $\mathcal{I}_F \mathcal{F} \equiv \lambda A. A \leftarrow (\mathcal{F} A)$

Closure operator as derived from border.

**definition**  $\text{Cl-br}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{C}_B \rangle$ )  
**where**  $\mathcal{C}_B \mathcal{B} \equiv \lambda A. A \vee \mathcal{B}(\neg A)$

Closure operator as derived from frontier.

**definition**  $\text{Cl-fr}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{C}_F \rangle$ )  
**where**  $\mathcal{C}_F \mathcal{F} \equiv \lambda A. A \vee (\mathcal{F} A)$

Frontier operator as derived from interior.

**definition**  $\text{Fr-int}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{F}_I \rangle$ )  
**where**  $\mathcal{F}_I \mathcal{I} \equiv \lambda A. \neg(\mathcal{I} A \vee \mathcal{I}(\neg A))$

Frontier operator as derived from closure.

**definition**  $\text{Fr-cl}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{F}_C \rangle$ )  
**where**  $\mathcal{F}_C \mathcal{C} \equiv \lambda A. (\mathcal{C} A) \wedge \mathcal{C}(\neg A)$

Frontier operator as derived from border.

**definition**  $\text{Fr-br}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{F}_B \rangle$ )  
**where**  $\mathcal{F}_B \mathcal{B} \equiv \lambda A. \mathcal{B} A \vee \mathcal{B}(\neg A)$

Border operator as derived from interior.

**definition**  $\text{Br-int}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{B}_I \rangle$ )  
**where**  $\mathcal{B}_I \mathcal{I} \equiv \lambda A. A \leftarrow (\mathcal{I} A)$

Border operator as derived from closure.

**definition**  $\text{Br-cl}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{B}_C \rangle$ )  
**where**  $\mathcal{B}_C \mathcal{C} \equiv \lambda A. A \wedge \mathcal{C}(\neg A)$

Border operator as derived from frontier.

**definition**  $\text{Br-fr}::('w \sigma \Rightarrow 'w \sigma) \Rightarrow ('w \sigma \Rightarrow 'w \sigma)$  ( $\langle \mathcal{B}_F \rangle$ )  
**where**  $\mathcal{B}_F \mathcal{F} \equiv \lambda A. A \wedge (\mathcal{F} A)$

Inter-definitions involving border or frontier do not hold without restrictions.

**lemma**  $\mathcal{B} = \mathcal{B}_C (\mathcal{C}_B \mathcal{B})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{B} = \mathcal{B}_I (\mathcal{I}_B \mathcal{B})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{B} = \mathcal{B}_F (\mathcal{F}_B \mathcal{B})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{F} = \mathcal{F}_C (\mathcal{C}_F \mathcal{F})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{F} = \mathcal{F}_I (\mathcal{I}_F \mathcal{F})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{F} = \mathcal{F}_B (\mathcal{B}_F \mathcal{F})$  **nitpick oops** — countermodel

**lemma**  $\mathcal{C} = \mathcal{C}_B (\mathcal{B}_C \mathcal{C})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{C} = \mathcal{C}_F (\mathcal{F}_C \mathcal{C})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{I} = \mathcal{I}_B (\mathcal{B}_C \mathcal{I})$  **nitpick oops** — countermodel  
**lemma**  $\mathcal{I} = \mathcal{I}_F (\mathcal{F}_C \mathcal{I})$  **nitpick oops** — countermodel

Inter-definitions involving border or frontier always assume the second Kuratowski condition (or its respective counterpart: C2, I2, B2 or F2).

```

abbreviation C2  $\varphi \equiv EXPN \varphi$ 
abbreviation I2  $\varphi \equiv CNTR \varphi$ 
abbreviation B2  $\varphi \equiv CNTR \varphi$ 
abbreviation F2  $\varphi \equiv \forall A. \varphi(\neg A) = \varphi A$ 

lemma B2  $\mathcal{B} \implies \mathcal{B} = \mathcal{B}_C (\mathcal{C}_B \mathcal{B})$  unfolding CNTR-def Br-cl-def Cl-br-def conn order by metis
lemma B2  $\mathcal{B} \implies \mathcal{B} = \mathcal{B}_I (\mathcal{I}_B \mathcal{B})$  unfolding CNTR-def Br-int-def Int-br-def conn order by metis
lemma B2  $\mathcal{B} \implies \mathcal{B} = \mathcal{B}_F (\mathcal{F}_B \mathcal{B})$  unfolding CNTR-def Br-fr-def Fr-br-def conn order by metis
lemma F2  $\mathcal{F} \implies \mathcal{F} = \mathcal{F}_C (\mathcal{C}_F \mathcal{F})$  unfolding Cl-fr-def Fr-cl-def conn order by metis
lemma F2  $\mathcal{F} \implies \mathcal{F} = \mathcal{F}_I (\mathcal{I}_F \mathcal{F})$  unfolding Int-fr-def Fr-int-def conn order by metis
lemma F2  $\mathcal{F} \implies \mathcal{F} = \mathcal{F}_B (\mathcal{B}_F \mathcal{F})$  unfolding Br-fr-def Fr-br-def conn order by metis

lemma C2  $\mathcal{C} \implies \mathcal{C} = \mathcal{C}_B (\mathcal{B}_C \mathcal{C})$  unfolding EXPN-def Br-cl-def Cl-br-def conn order by metis
lemma C2  $\mathcal{C} \implies \mathcal{C} = \mathcal{C}_F (\mathcal{F}_C \mathcal{C})$  unfolding EXPN-def Fr-cl-def Cl-fr-def conn order by metis
lemma I2  $\mathcal{I} \implies \mathcal{I} = \mathcal{I}_B (\mathcal{B}_I \mathcal{I})$  unfolding CNTR-def Int-br-def Br-int-def conn order by metis
lemma I2  $\mathcal{I} \implies \mathcal{I} = \mathcal{I}_F (\mathcal{F}_I \mathcal{I})$  unfolding CNTR-def Int-fr-def Fr-int-def conn order by metis

end
theory logics-negation
imports logics-consequence conditions-relativized
begin

```

### 4.3 Properties of negation(-like) operators

To avoid visual clutter we introduce convenient notation for type for properties of operators.

**type-synonym**  $'w \Omega = ('w \sigma \Rightarrow 'w \sigma) \Rightarrow bool$

**named-theorems** neg

#### 4.3.1 Principles of excluded middle, contradiction and explosion

TND: tertium non datur, aka. law of excluded middle (resp. strong, weak, minimal).

```

abbreviation pTND ( $\langle TND^- \rightarrow \rangle$ ) where  $TND^a \eta \equiv [\vdash a \vee \eta a]$ 
abbreviation pTNDw ( $\langle TNDw^- \rightarrow \rangle$ ) where  $TNDw^a \eta \equiv \forall b. [\eta b \vdash a, \eta a]$ 
abbreviation pTNDm ( $\langle TNDm^- \rightarrow \rangle$ ) where  $TNDm^a \eta \equiv [\eta \perp \vdash a, \eta a]$ 
definition TND :: ' $w \Omega$  where  $TND \eta \equiv \forall \varphi. TND^\varphi \eta$ 
definition TNDw :: ' $w \Omega$  where  $TNDw \eta \equiv \forall \varphi. TNDw^\varphi \eta$ 
definition TNDm :: ' $w \Omega$  where  $TNDm \eta \equiv \forall \varphi. TNDm^\varphi \eta$ 
declare TND-def[neg] TNDw-def[neg] TNDm-def[neg]

```

Explore some (non)entailment relations.

```

lemma TND  $\eta \implies TNDw \eta$  unfolding neg conn order by simp
lemma TNDw  $\eta \implies TND \eta$  nitpick oops — counterexample
lemma TNDw  $\eta \implies TNDm \eta$  unfolding neg by simp
lemma TNDm  $\eta \implies TNDw \eta$  nitpick oops — counterexample

```

ECQ: ex contradictione (sequitur) quodlibet (variants: strong, weak, minimal).

```

abbreviation pECQ ( $\langle ECQ^- \rightarrow \rangle$ ) where  $ECQ^a \eta \equiv [a, \eta a \vdash \perp]$ 
abbreviation pECQw ( $\langle ECQw^- \rightarrow \rangle$ ) where  $ECQw^a \eta \equiv \forall b. [a, \eta a \vdash \eta b]$ 
abbreviation pECQm ( $\langle ECQm^- \rightarrow \rangle$ ) where  $ECQm^a \eta \equiv [a, \eta a \vdash \eta \top]$ 
definition ECQ :: ' $w \Omega$  where  $ECQ \eta \equiv \forall a. ECQ^a \eta$ 
definition ECQw :: ' $w \Omega$  where  $ECQw \eta \equiv \forall a. ECQw^a \eta$ 
definition ECQm :: ' $w \Omega$  where  $ECQm \eta \equiv \forall a. ECQm^a \eta$ 
declare ECQ-def[neg] ECQw-def[neg] ECQm-def[neg]

```

Explore some (non)entailment relations.

```
lemma ECQ  $\eta \implies ECQw \eta$  unfolding neg conn order by blast
lemma ECQw  $\eta \implies ECQ \eta$  nitpick oops — counterexample
lemma ECQw  $\eta \implies ECQm \eta$  unfolding neg by simp
lemma ECQm  $\eta \implies ECQw \eta$  nitpick oops — counterexample
```

LNC: law of non-contradiction.

```
abbreviation pLNC ( $\langle LNC^- \rightarrow \rangle$ ) where  $LNC^a \eta \equiv [\vdash \eta(a \wedge \neg a)]$ 
definition LNC::'w  $\Omega$  where  $LNC \eta \equiv \forall a. LNC^a \eta$ 
declare LNC-def[neg]
```

ECQ and LNC are in general independent.

```
lemma ECQ  $\eta \implies LNC \eta$  nitpick oops — counterexample
lemma LNC  $\eta \implies ECQm \eta$  nitpick oops — counterexample
```

#### 4.3.2 Contraposition rules

CoP: contraposition (weak 'rule-like' variants). Variant 0 is antitonicity (ANTI). Variants 1-3 are stronger.

```
abbreviation pCoP1 ( $\langle CoP1^- \rightarrow \rangle$ ) where  $CoP1^{ab} \eta \equiv [a \vdash \eta b] \rightarrow [b \vdash \eta a]$ 
abbreviation pCoP2 ( $\langle CoP2^- \rightarrow \rangle$ ) where  $CoP2^{ab} \eta \equiv [\eta a \vdash b] \rightarrow [\eta b \vdash a]$ 
abbreviation pCoP3 ( $\langle CoP3^- \rightarrow \rangle$ ) where  $CoP3^{ab} \eta \equiv [\eta a \vdash \eta b] \rightarrow [b \vdash a]$ 

abbreviation CoP0 ::'w  $\Omega$  where  $CoP0 \eta \equiv ANTI \eta$ 
definition CoP1 ::'w  $\Omega$  where  $CoP1 \eta \equiv \forall a b. CoP1^{ab} \eta$ 
definition CoP2 ::'w  $\Omega$  where  $CoP2 \eta \equiv \forall a b. CoP2^{ab} \eta$ 
definition CoP3 ::'w  $\Omega$  where  $CoP3 \eta \equiv \forall a b. CoP3^{ab} \eta$ 

declare CoP1-def[neg] CoP2-def[neg] CoP3-def[neg]
```

Explore some (non)entailment relations.

```
lemma CoP1  $\eta \implies CoP0 \eta$  unfolding ANTI-def CoP1-def using subset-char1 by blast
lemma CoP0  $\eta \implies CoP1 \eta$  nitpick oops — counterexample
lemma CoP2  $\eta \implies CoP0 \eta$  unfolding ANTI-def CoP2-def using subset-char1 by blast
lemma CoP0  $\eta \implies CoP2 \eta$  nitpick oops — counterexample
lemma CoP3  $\eta \implies CoP0 \eta$  oops
lemma CoP0  $\eta \implies CoP3 \eta$  nitpick oops — counterexample
```

All three strong variants are pairwise independent. However, CoP3 follows from CoP1 plus CoP2.

```
lemma CoP123: CoP1  $\eta \implies CoP2 \eta \implies CoP3 \eta$  unfolding neg order by smt
```

Taking all CoP together still leaves room for a boldly paraconsistent resp. paracomplete logic.

```
lemma CoP1  $\eta \implies CoP2 \eta \implies ECQm \eta$  nitpick oops — counterexample
lemma CoP1  $\eta \implies CoP2 \eta \implies TNDm \eta$  nitpick oops — counterexample
```

#### 4.3.3 Modus tollens rules

MT: modus (tollendo) tollens (weak 'rule-like' variants).

```
abbreviation pMT0 ( $\langle MT0^- \rightarrow \rangle$ ) where  $MT0^{ab} \eta \equiv [a \vdash b] \wedge [\vdash \eta b] \rightarrow [\vdash \eta a]$ 
abbreviation pMT1 ( $\langle MT1^- \rightarrow \rangle$ ) where  $MT1^{ab} \eta \equiv [a \vdash \eta b] \wedge [\vdash b] \rightarrow [\vdash \eta a]$ 
abbreviation pMT2 ( $\langle MT2^- \rightarrow \rangle$ ) where  $MT2^{ab} \eta \equiv [\eta a \vdash b] \wedge [\vdash \eta b] \rightarrow [\vdash a]$ 
```

```

abbreviation pMT3 ( $\langle MT3^{\neg\neg} \rightarrow \rangle$ ) where  $MT3^{ab} \eta \equiv [\eta a \vdash \eta b] \wedge [\vdash b] \rightarrow [\vdash a]$ 
definition  $MT0::'w \Omega$  where  $MT0 \eta \equiv \forall a b. MT0^{ab} \eta$ 
definition  $MT1::'w \Omega$  where  $MT1 \eta \equiv \forall a b. MT1^{ab} \eta$ 
definition  $MT2::'w \Omega$  where  $MT2 \eta \equiv \forall a b. MT2^{ab} \eta$ 
definition  $MT3::'w \Omega$  where  $MT3 \eta \equiv \forall a b. MT3^{ab} \eta$ 

```

```
declare  $MT0\text{-def}[neg]$   $MT1\text{-def}[neg]$   $MT2\text{-def}[neg]$   $MT3\text{-def}[neg]$ 
```

Again, all MT variants are pairwise independent. We explore some (non)entailment relations.

```

lemma  $CoP0 \eta \implies MT0 \eta$  unfolding neg order conn by blast
lemma  $CoP1 \eta \implies MT1 \eta$  unfolding neg order conn by blast
lemma  $CoP2 \eta \implies MT2 \eta$  unfolding neg order conn by blast
lemma  $CoP3 \eta \implies MT3 \eta$  unfolding neg order conn by blast
lemma  $MT0 \eta \implies MT1 \eta \implies MT2 \eta \implies MT3 \eta \implies CoP0 \eta$  nitpick oops — counterexample
lemma  $MT0 \eta \implies MT1 \eta \implies MT2 \eta \implies MT3 \eta \implies ECQm \eta$  nitpick oops — counterexample
lemma  $MT0 \eta \implies MT1 \eta \implies MT2 \eta \implies MT3 \eta \implies TNDm \eta$  nitpick oops — counterexample
lemma  $MT123: MT1 \eta \implies MT2 \eta \implies MT3 \eta$  unfolding neg order conn by metis

```

#### 4.3.4 Double negation introduction and elimination

DNI/DNE: double negation introduction/elimination (strong 'axiom-like' variants).

```

abbreviation pDNI ( $\langle DNI^{\neg\neg} \rightarrow \rangle$ ) where  $DNI^a \eta \equiv [a \vdash \eta(\eta a)]$ 
abbreviation pDNE ( $\langle DNE^{\neg\neg} \rightarrow \rangle$ ) where  $DNE^a \eta \equiv [\eta(\eta a) \vdash a]$ 
definition  $DNI::'w \Omega$  where  $DNI \eta \equiv \forall a. DNI^a \eta$ 
definition  $DNE::'w \Omega$  where  $DNE \eta \equiv \forall a. DNE^a \eta$ 
declare  $DNI\text{-def}[neg]$   $DNE\text{-def}[neg]$ 

```

$CoP1$  (resp.  $CoP2$ ) can alternatively be defined as  $CoPw$  plus DNI (resp. DNE).

```

lemma  $DNI \eta \implies CoP1 \eta$  nitpick oops — counterexample
lemma  $CoP1\text{-def2}: CoP1 \eta = (CoP0 \eta \wedge DNI \eta)$  unfolding neg cond using subset-char2 by blast
lemma  $DNE \eta \implies CoP2 \eta$  nitpick oops — counterexample
lemma  $CoP2\text{-def2}: CoP2 \eta = (CoP0 \eta \wedge DNE \eta)$  unfolding neg cond using subset-char1 by blast

```

Explore some non-entailment relations:

```

lemma  $DNI \eta \implies DNE \eta \implies CoP0 \eta$  nitpick oops — counterexample
lemma  $DNI \eta \implies DNE \eta \implies TNDm \eta$  nitpick oops — counterexample
lemma  $DNI \eta \implies DNE \eta \implies ECQm \eta$  nitpick oops — counterexample
lemma  $DNI \eta \implies DNE \eta \implies MT0 \eta$  nitpick oops — counterexample
lemma  $DNI \eta \implies DNE \eta \implies MT1 \eta$  nitpick oops — counterexample
lemma  $DNI \eta \implies DNE \eta \implies MT2 \eta$  nitpick oops — counterexample
lemma  $DNI \eta \implies DNE \eta \implies MT3 \eta$  nitpick oops — counterexample

```

DNI/DNE: double negation introduction/elimination (weak 'rule-like' variants).

```

abbreviation prDNI ( $\langle rDNI^{\neg\neg} \rightarrow \rangle$ ) where  $rDNI^a \eta \equiv [\vdash a] \rightarrow [\vdash \eta(\eta a)]$ 
abbreviation prDNE ( $\langle rDNE^{\neg\neg} \rightarrow \rangle$ ) where  $rDNE^a \eta \equiv [\vdash \eta(\eta a)] \rightarrow [\vdash a]$ 
definition  $rDNI::'w \Omega$  where  $rDNI \eta \equiv \forall a. rDNI^a \eta$ 
definition  $rDNE::'w \Omega$  where  $rDNE \eta \equiv \forall a. rDNE^a \eta$ 
declare  $rDNI\text{-def}[neg]$   $rDNE\text{-def}[neg]$ 

```

The 'rule-like' variants for DNI/DNE are strictly weaker than the 'axiom-like' ones.

```

lemma  $DNI \eta \implies rDNI \eta$  unfolding neg order conn by simp
lemma  $rDNI \eta \implies DNI \eta$  nitpick oops — counterexample
lemma  $DNE \eta \implies rDNE \eta$  unfolding neg order conn by blast
lemma  $rDNE \eta \implies DNE \eta$  nitpick oops — counterexample

```

The 'rule-like' variants for DNI/DNE follow already from modus tollens.

```
lemma MT1-rDNI: MT1  $\eta \Rightarrow rDNI \eta$  unfolding neg order by blast
lemma MT2-rDNE: MT2  $\eta \Rightarrow rDNE \eta$  unfolding neg order by blast
```

#### 4.3.5 (Anti)Normality and its dual

nNORM (resp. nDNRM) is entailed by CoP1 (resp. CoP2).

```
lemma CoP1-NORM: CoP1  $\eta \Rightarrow nNORM \eta$  unfolding neg cond conn order by simp
lemma CoP2-DNRM: CoP2  $\eta \Rightarrow nDNRM \eta$  unfolding neg cond conn by (smt (verit) setequ-char subset-def)
lemma DNI  $\eta \Rightarrow nNORM \eta$  nitpick oops — counterexample
lemma DNE  $\eta \Rightarrow nDNRM \eta$  nitpick oops — counterexample
```

nNORM and nDNRM together entail the rule variant of DNI (rDNI).

```
lemma nDNRM-rDNI: nNORM  $\eta \Rightarrow nDNRM \eta \Rightarrow rDNI \eta$  unfolding neg cond by (simp add: gtrue-def setequ-ext)
lemma nNORM  $\eta \Rightarrow nDNRM \eta \Rightarrow rDNE \eta$  nitpick oops — counterexample
```

#### 4.3.6 De Morgan laws

De Morgan laws correspond to anti-additivity and anti-multiplicativity).

DM3 (resp. DM4) are entailed by CoP0/ANTI together with DNE (resp. DNI).

```
lemma CoP0-DNE-nMULTb: CoP0  $\eta \Rightarrow DNE \eta \Rightarrow nMULT^b \eta$  unfolding neg cond by (metis ANTI-def ANTI-nADDIb L12 nADDI-b-def subset-char1)
lemma CoP0-DNI-nADDIa: CoP0  $\eta \Rightarrow DNI \eta \Rightarrow nADDI^a \eta$  unfolding neg cond by (metis ANTI-def ANTI-nMULTa L11 nMULT-a-def subset-char2)
```

From this follows that DM3 (resp. DM4) is entailed by CoP2 (resp. CoP1).

```
lemma CoP2-nMULTb: CoP2  $\eta \Rightarrow nMULT^b \eta$  by (simp add: CoP0-DNE-nMULTb CoP2-def2)
lemma CoP1-nADDIa: CoP1  $\eta \Rightarrow nADDI^a \eta$  by (simp add: CoP0-DNI-nADDIa CoP1-def2)
```

Explore some non-entailment relations:

```
lemma CoP0  $\eta \Rightarrow nADDI^a \eta \Rightarrow nMULT^b \eta \Rightarrow nNORM \eta \Rightarrow nDNRM \eta \Rightarrow DNI \eta$  nitpick oops — counterexample
lemma CoP0  $\eta \Rightarrow nADDI^a \eta \Rightarrow nMULT^b \eta \Rightarrow nNORM \eta \Rightarrow nDNRM \eta \Rightarrow DNE \eta$  nitpick oops — counterexample
lemma CoP0  $\eta \Rightarrow nADDI^a \eta \Rightarrow nMULT^b \eta \Rightarrow DNI \eta \Rightarrow DNE \eta \Rightarrow ECQm \eta$  nitpick oops — counterexample
lemma CoP0  $\eta \Rightarrow nADDI^a \eta \Rightarrow nMULT^b \eta \Rightarrow DNI \eta \Rightarrow DNE \eta \Rightarrow TNDm \eta$  nitpick oops — counterexample
```

#### 4.3.7 Strong contraposition (axiom-like)

Observe that the definitions below take implication as an additional parameter:  $\iota$ .

lCoP: (local) contraposition (strong 'axiom-like' variants, using local consequence).

```
abbreviation plCoP0 (< lCoP0 -->) where lCoP0ab  $\iota \eta \equiv [\iota a b \vdash \iota (\eta b) (\eta a)]$ 
abbreviation plCoP1 (< lCoP1 -->) where lCoP1ab  $\iota \eta \equiv [\iota a (\eta b) \vdash \iota b (\eta a)]$ 
abbreviation plCoP2 (< lCoP2 -->) where lCoP2ab  $\iota \eta \equiv [\iota (\eta a) b \vdash \iota (\eta b) a]$ 
abbreviation plCoP3 (< lCoP3 -->) where lCoP3ab  $\iota \eta \equiv [\iota (\eta a) (\eta b) \vdash \iota b a]$ 
definition lCoP0::('w σ ⇒ 'w σ ⇒ 'w σ) ⇒ 'w Ω where lCoP0  $\iota \eta \equiv \forall a b. lCoP0^{ab} \iota \eta$ 
definition lCoP1::('w σ ⇒ 'w σ ⇒ 'w σ) ⇒ 'w Ω where lCoP1  $\iota \eta \equiv \forall a b. lCoP1^{ab} \iota \eta$ 
```

```

definition lCoP2::('w σ⇒'w σ⇒'w σ) ⇒ 'w Ω where lCoP2 ⊤ η ≡ ∀ a b. lCoP2ab ⊤ η
definition lCoP3::('w σ⇒'w σ⇒'w σ) ⇒ 'w Ω where lCoP3 ⊤ η ≡ ∀ a b. lCoP3ab ⊤ η

```

```
declare lCoP0-def[neg] lCoP1-def[neg] lCoP2-def[neg] lCoP3-def[neg]
```

All these contraposition variants are in general independent from each other. However if we employ classical implication we can verify some relationships.

```

lemma lCoP1-def2: lCoP1(→) η = (lCoP0(→) η ∧ DNI η) unfolding neg conn order by metis
lemma lCoP2-def2: lCoP2(→) η = (lCoP0(→) η ∧ DNE η) unfolding neg conn order by blast
lemma lCoP1(→) η ⇒ lCoP0(→) η unfolding neg conn order by blast
lemma lCoP0(→) η ⇒ lCoP1(→) η nitpick oops — counterexample
lemma lCoP2(→) η ⇒ lCoP0(→) η unfolding neg conn order by blast
lemma lCoP0(→) η ⇒ lCoP2(→) η nitpick oops — counterexample
lemma lCoP3(→) η ⇒ lCoP0(→) η unfolding neg conn order by blast
lemma lCoP0(→) η ⇒ lCoP3(→) η nitpick oops — counterexample
lemma lCoP123: lCoP1(→) η ∧ lCoP2(→) η ⇒ lCoP3(→) η unfolding neg conn order by metis

```

Strong/axiom-like variants imply weak/rule-like ones as expected.

```

lemma lCoP0(→) η ⇒ CoP0 η unfolding neg cond conn order by blast
lemma lCoP1(→) η ⇒ CoP1 η unfolding neg conn order by blast
lemma lCoP2(→) η ⇒ CoP2 η unfolding neg conn order by blast
lemma lCoP3(→) η ⇒ CoP3 η unfolding neg conn order by blast

```

Explore some (non)entailment relations.

```

lemma lCoP1-TND: lCoP1(→) η ⇒ TND η unfolding neg conn by (smt (verit, best) setequ-char subset-def)
lemma TND η ⇒ lCoP1(→) η nitpick oops — counterexample
lemma lCoP2-ECQ: lCoP2(→) η ⇒ ECQ η unfolding neg conn by (smt (verit) setequ-def subset-def)
lemma ECQ η ⇒ lCoP2(→) η nitpick oops — counterexample

```

#### 4.3.8 Local modus tollens axioms

lMT: (local) Modus tollens (strong, 'axiom-like' variants, using local consequence).

```

abbreviation plMT0 (< lMT0-- - →) where lMT0ab ⊤ η ≡ [⊤ a b, η b ⊢ η a]
abbreviation plMT1 (< lMT1-- - →) where lMT1ab ⊤ η ≡ [⊤ a (η b), b ⊢ η a]
abbreviation plMT2 (< lMT2-- - →) where lMT2ab ⊤ η ≡ [⊤ (η a) b, η b ⊢ a]
abbreviation plMT3 (< lMT3-- - →) where lMT3ab ⊤ η ≡ [⊤ (η a) (η b), b ⊢ a]
definition lMT0::('w σ⇒'w σ⇒'w σ) ⇒ 'w Ω where lMT0 ⊤ η ≡ ∀ a b. lMT0ab ⊤ η
definition lMT1::('w σ⇒'w σ⇒'w σ) ⇒ 'w Ω where lMT1 ⊤ η ≡ ∀ a b. lMT1ab ⊤ η
definition lMT2::('w σ⇒'w σ⇒'w σ) ⇒ 'w Ω where lMT2 ⊤ η ≡ ∀ a b. lMT2ab ⊤ η
definition lMT3::('w σ⇒'w σ⇒'w σ) ⇒ 'w Ω where lMT3 ⊤ η ≡ ∀ a b. lMT3ab ⊤ η

```

```
declare lMT0-def[neg] lMT1-def[neg] lMT2-def[neg] lMT3-def[neg]
```

All these MT variants are in general independent from each other and also from (strong) CoP instances. However if we take classical implication we can verify that local MT and CoP are indeed equivalent.

```

lemma lMT0(→) η = lCoP0(→) η unfolding neg conn order by blast
lemma lMT1(→) η = lCoP1(→) η unfolding neg conn order by blast
lemma lMT2(→) η = lCoP2(→) η unfolding neg conn order by blast
lemma lMT3(→) η = lCoP3(→) η unfolding neg conn order by blast

```

### 4.3.9 Disjunctive syllogism

DS: disjunctive syllogism.

```
abbreviation pDS1 (<DS1-- - ->) where DS1ab  $\iota \eta \equiv [a \vee b \vdash \iota(\eta a) b]$ 
abbreviation pDS2 (<DS2-- - ->) where DS2ab  $\iota \eta \equiv [\iota(\eta a) b \vdash a \vee b]$ 
abbreviation pDS3 (<DS3-- - ->) where DS3ab  $\iota \eta \equiv [\eta a \vee b \vdash \iota a b]$ 
abbreviation pDS4 (<DS4-- - ->) where DS4ab  $\iota \eta \equiv [\iota a b \vdash \eta a \vee b]$ 
definition DS1::('w  $\sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$ )  $\Rightarrow 'w \Omega$  where DS1  $\iota \eta \equiv \forall a b. DS1^{ab} \iota \eta$ 
definition DS2::('w  $\sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$ )  $\Rightarrow 'w \Omega$  where DS2  $\iota \eta \equiv \forall a b. DS2^{ab} \iota \eta$ 
definition DS3::('w  $\sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$ )  $\Rightarrow 'w \Omega$  where DS3  $\iota \eta \equiv \forall a b. DS3^{ab} \iota \eta$ 
definition DS4::('w  $\sigma \Rightarrow 'w \sigma \Rightarrow 'w \sigma$ )  $\Rightarrow 'w \Omega$  where DS4  $\iota \eta \equiv \forall a b. DS4^{ab} \iota \eta$ 
```

```
declare DS1-def[neg] DS2-def[neg] DS3-def[neg] DS4-def[neg]
```

All DS variants are in general independent from each other. However if we take classical implication we can verify that the pairs DS1-DS3 and DS2-DS4 are indeed equivalent.

```
lemma DS1( $\rightarrow$ )  $\eta = DS3(\rightarrow) \eta$  unfolding neg conn order by blast
lemma DS2( $\rightarrow$ )  $\eta = DS4(\rightarrow) \eta$  unfolding neg conn order by blast
```

Explore some (non)entailment relations.

```
lemma DS1-nDNor: DS1( $\rightarrow$ )  $\eta \implies nDNRM \eta$  unfolding neg cond conn order by metis
lemma DS2-nNor: DS2( $\rightarrow$ )  $\eta \implies nNORM \eta$  unfolding neg cond conn order by metis
lemma lCoP2-DS1: lCoP2( $\rightarrow$ )  $\eta \implies DS1(\rightarrow) \eta$  unfolding neg conn order by blast
lemma lCoP1-DS2: lCoP1( $\rightarrow$ )  $\eta \implies DS2(\rightarrow) \eta$  unfolding neg conn order by blast
lemma CoP2  $\eta \implies DS1(\rightarrow) \eta$  nitpick oops — counterexample
lemma CoP1  $\eta \implies DS2(\rightarrow) \eta$  nitpick oops — counterexample
```

```
end
theory logics-quantifiers
imports boolean-algebra-infinitary
begin
```

## 4.4 Quantifiers (restricted and unrestricted)

Introduce pedagogically convenient notation.

```
notation HOL.All (< $\Pi$ >) notation HOL.Ex (< $\Sigma$ >)
```

Let us recall that in HOL we have:

```
lemma ( $\forall x. P$ )  $= \Pi(\lambda x. P)$  by simp
lemma ( $\exists x. P$ )  $= \Sigma(\lambda x. P)$  by simp
lemma  $\Sigma = (\lambda P. \neg \Pi(\lambda x. \neg P x))$  by simp
```

We can introduce their respective 'w-type-lifted variants as follows:

```
definition mforall::('i $\Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (< $\Pi$ ->)
  where  $\Pi\varphi \equiv \lambda w. \forall X. \varphi X w$ 
definition mexists::('i $\Rightarrow 'w \sigma \Rightarrow 'w \sigma$  (< $\Sigma$ ->)
  where  $\Sigma\varphi \equiv \lambda w. \exists X. \varphi X w$ 
```

To improve readability, we introduce for them standard binder notation.

```
notation mforall (binder < $\forall$ > [48]49) notation mexists (binder < $\exists$ > [48]49)
```

And thus we obtain the 'w-type-lifted variant of the standard (variable-binding) quantifiers.

```
lemma ( $\forall X. \varphi$ )  $= \Pi(\lambda X. \varphi)$  by (simp add: mforall-def)
```

**lemma**  $(\exists X. \varphi) = \Sigma(\lambda X. \varphi)$  **by** (*simp add: mexists-def*)

Quantifiers are dual to each other in the expected way.

**lemma**  $\Pi\varphi = -(\Sigma\varphi^-)$  **by** (*simp add: compl-def mexists-def mforall-def sufun-compl-def*)  
**lemma**  $(\forall X. \varphi) = -(\exists X. -(\varphi X))$  **by** (*simp add: compl-def mexists-def mforall-def*)

Relationship between quantifiers and the infinitary supremum and infimum operations.

**lemma**  $mforall\text{-char}: \Pi\varphi = \bigwedge[\varphi]$  **unfolding** *infimum-def mforall-def range-def* **by** *metis*  
**lemma**  $mexists\text{-char}: \Sigma\varphi = \bigvee[\varphi]$  **unfolding** *supremum-def mexists-def range-def* **by** *metis*

**lemma**  $mforallb\text{-char}: (\forall X. \varphi) = \bigwedge[(\lambda X. \varphi)]$  **unfolding** *infimum-def mforall-def range-def* **by** *simp*  
**lemma**  $mexistsb\text{-char}: (\exists X. \varphi) = \bigvee[(\lambda X. \varphi)]$  **unfolding** *supremum-def mexists-def range-def* **by** *simp*

We now consider quantification restricted over constant and varying domains.

Constant domains: first generalization of quantifiers above (e.g. free logic).

**definition**  $mforall\text{-const}: 'i \sigma \Rightarrow ('i \Rightarrow 'w \sigma) \Rightarrow 'w \sigma (\langle \Pi[-] \rangle)$

where  $\Pi[D]\varphi \equiv \lambda w. \forall X. (D X) \longrightarrow (\varphi X) w$

**definition**  $mexists\text{-const}: 'i \sigma \Rightarrow ('i \Rightarrow 'w \sigma) \Rightarrow 'w \sigma (\langle \Sigma[-] \rangle)$

where  $\Sigma[D]\varphi \equiv \lambda w. \exists X. (D X) \wedge (\varphi X) w$

Constant-domain quantification generalises its unrestricted counterpart.

**lemma**  $\Pi\varphi = \Pi[\top]\varphi$  **by** (*simp add: mforall-const-def mforall-def top-def*)

**lemma**  $\Sigma\varphi = \Sigma[\top]\varphi$  **by** (*simp add: mexists-const-def mexists-def top-def*)

Constant-domain quantification can also be characterised using infimum and supremum.

**lemma**  $mforall\text{-const-char}: \Pi[D]\varphi = \bigwedge[\varphi D]$  **unfolding** *image-def infimum-def mforall-const-def* **by** *metis*

**lemma**  $mexists\text{-const-char}: \Sigma[D]\varphi = \bigvee[\varphi D]$  **unfolding** *image-def supremum-def mexists-const-def* **by** *metis*

Constant-domain quantifiers also allow us to nicely characterize the interaction between function composition and (restricted) quantification:

**lemma**  $mforall\text{-comp}: \Pi(\varphi \circ \psi) = \Pi[[\psi]] \varphi$  **unfolding** *fun-comp-def mforall-const-def mforall-def range-def* **by** *metis*

**lemma**  $mexists\text{-comp}: \Sigma(\varphi \circ \psi) = \Sigma[[\psi]] \varphi$  **unfolding** *fun-comp-def mexists-const-def mexists-def range-def* **by** *metis*

Varying domains: we can also restrict quantifiers by taking a 'functional domain' as additional parameter. The latter is a set-valued mapping each element 'i to a set of points (e.g. where it 'exists').

**definition**  $mforall\text{-var}: ('i \Rightarrow 'w \sigma) \Rightarrow ('i \Rightarrow 'w \sigma) \Rightarrow 'w \sigma (\langle \Pi\{\cdot\} \rangle)$

where  $\Pi\{\psi\}\varphi \equiv \lambda w. \forall X. (\psi X) w \longrightarrow (\varphi X) w$

**definition**  $mexists\text{-var}: ('i \Rightarrow 'w \sigma) \Rightarrow ('i \Rightarrow 'w \sigma) \Rightarrow 'w \sigma (\langle \Sigma\{\cdot\} \rangle)$

where  $\Sigma\{\psi\}\varphi \equiv \lambda w. \exists X. (\psi X) w \wedge (\varphi X) w$

Varying-domain quantification generalizes its constant-domain counterpart.

**lemma**  $\Pi[D]\varphi = \Pi\{D\}\varphi$  **by** (*simp add: mforall-const-def mforall-var-def*)

**lemma**  $\Sigma[D]\varphi = \Sigma\{D\}\varphi$  **by** (*simp add: mexists-const-def mexists-var-def*)

Restricted quantifiers are dual to each other in the expected way.

**lemma**  $\Pi[D]\varphi = -(\Sigma[D]\varphi^-)$  **by** (*metis iDM-b im-prop2 mexists-const-char mforall-const-char setequ-ext*)

**lemma**  $\Pi\{\psi\}\varphi = -(\Sigma\{\psi\}\varphi^-)$  **by** (simp add: compl-def mexists-var-def mforall-var-def svfun-compl-def)

We can use 2nd-order connectives on set-valued functions to encode restricted quantifiers as unrestricted.

**lemma**  $\Pi\{\psi\}\varphi = \Pi(\psi \rightarrow^: \varphi)$  **by** (simp add: impl-def mforall-def mforall-var-def svfun-impl-def)  
**lemma**  $\Sigma\{\psi\}\varphi = \Sigma(\psi \wedge^: \varphi)$  **by** (simp add: meet-def mexists-def mexists-var-def svfun-meet-def)

Observe that using these operators has the advantage of allowing for binder notation.

**lemma**  $\Pi\{\psi\}\varphi = (\forall X. (\psi \rightarrow^: \varphi) X)$  **by** (simp add: impl-def mforall-def mforall-var-def svfun-impl-def)  
**lemma**  $\Sigma\{\psi\}\varphi = (\exists X. (\psi \wedge^: \varphi) X)$  **by** (simp add: meet-def mexists-def mexists-var-def svfun-meet-def)

To summarize: different sorts of restricted quantification can be emulated by employing 2nd-order operations to adequately relativize predicates.

**lemma**  $\Pi[D]\varphi = (\forall X. (D \rightarrow^: \varphi) X)$  **by** (simp add: impl-def mforall-const-def mforall-def svfun-impl-def)  
**lemma**  $\Pi\{\top^:\}\varphi = (\forall X. (\top \rightarrow^: \varphi) X)$  **by** (simp add: impl-def mforall-def mforall-var-def svfun-impl-def)  
**lemma**  $\Pi\varphi = \Pi\{\top^:\}\varphi$  **by** (simp add: mforall-def mforall-var-def svfun-top-def top-def)  
**lemma**  $(\forall X. \varphi X) = \Pi\{\top^:\}\varphi$  **by** (simp add: mforall-def mforall-var-def svfun-top-def top-def)

```
named-theorems quant
declare mforall-def[quant] mexists-def[quant]
  mforall-const-def[quant] mexists-const-def[quant]
  mforall-var-def[quant] mexists-var-def[quant]

end
theory logics-quantifiers-example
  imports logics-quantifiers conditions-positive-infinitary
begin
```

## 4.5 Examples on Quantifiers

First-order quantification example.

**lemma**  $(\forall x. A x \rightarrow (\exists y. B x y)) \leftrightarrow (\forall x. \exists y. A x \rightarrow B x y)$  **by** simp  
**lemma**  $(\forall x. A x \rightarrow (\exists y. B x y)) = (\forall x. \exists y. A x \rightarrow B x y)$  **by** (simp add: impl-def mexists-def setequ-def)

Propositional quantification example.

**lemma**  $\forall A. (\exists B. (A \leftrightarrow \neg B))$  **by** blast  
**lemma**  $(\forall A. (\exists B. A \leftrightarrow \neg B)) = \top$  unfolding mforall-def mexists-def **by** (smt (verit) compl-def dimpl-def setequ-def top-def)

Drinker's principle.

**lemma**  $\exists x. Drunk x \rightarrow (\forall y. Drunk y) = \top$   
**by** (simp add: impl-def mexists-def mforall-def setequ-def top-def)

Example in non-classical logics.

```
typeddecl w
type-synonym σ = (w σ)
```

```
consts C::σ ⇒ σ
abbreviation I ≡ Cd
abbreviation CLOSURE φ ≡ ADDI φ ∧ EXPN φ ∧ NORM φ ∧ IDEM φ
abbreviation INTERIOR φ ≡ MULT φ ∧ CNTR φ ∧ DNRM φ ∧ IDEM φ
```

**definition**  $mforallInt::(\sigma \Rightarrow \sigma) \Rightarrow \sigma (\langle \Pi^I \rightarrow \rangle)$

**where**  $\Pi^I \varphi \equiv \Pi[fp \ I]\varphi$

**definition**  $mexistsInt::(\sigma \Rightarrow \sigma) \Rightarrow \sigma (\langle \Sigma^I \rightarrow \rangle)$

**where**  $\Sigma^I \varphi \equiv \Sigma[fp \ I]\varphi$

**notation**  $mforallInt$  (**binder**  $\langle \forall^I \rangle$ ) [48]49)

**notation**  $mexistsInt$  (**binder**  $\langle \exists^I \rangle$ ) [48]49)

**abbreviation**  $intneg (\langle \neg^I \rightarrow \rangle)$  **where**  $\neg^I A \equiv I^{d-} A$

**abbreviation**  $parneg (\langle \neg^C \rightarrow \rangle)$  **where**  $\neg^C A \equiv C^{d-} A$

**lemma**  $(\forall X. (\exists B. (X \leftrightarrow \neg B))) = \top$  **by** (smt (verit, del-insts) compl-def dimpl-def mexists-def mforall-def setequ-def top-def)

**lemma**  $(\forall^I X. (\exists^I B. (X \leftrightarrow \neg^I B))) = \top$  **nitpick oops** — counterexample

## 4.6 Barcan formula and its converse

The converse Barcan formula follows readily from monotonicity.

**lemma**  $CBarcan1: MONO \varphi \implies \forall \pi. \varphi(\forall x. \pi x) \leq (\forall x. \varphi(\pi x))$  **by** (smt (verit, ccfv-SIG) MONO-def mforall-def subset-def)

**lemma**  $CBarcan2: MONO \varphi \implies \forall \pi. (\exists x. \varphi(\pi x)) \leq \varphi(\exists x. \pi x)$  **by** (smt (verit) MONO-def mexists-def subset-def)

However, the Barcan formula requires a stronger assumption (of an infinitary character).

**lemma**  $Barcan1: iMULT^b \varphi \implies \forall \pi. (\forall x. \varphi(\pi x)) \leq \varphi(\forall x. \pi x)$  **unfolding**  $iMULT\text{-}b\text{-}def$  **by** (smt (verit) infimum-def mforall-char image-def range-char1 subset-def)

**lemma**  $Barcan2: iADDI^a \varphi \implies \forall \pi. \varphi(\exists x. \pi x) \leq (\exists x. \varphi(\pi x))$  **unfolding**  $iADDI\text{-}a\text{-}def$  **by** (smt (verit, ccfv-threshold) mexists-char image-def range-char1 subset-def supremum-def)

Converse Barcan Formula and composition.

**lemma**  $MONO \varphi \implies \forall \pi. \varphi(\Pi \pi) \leq \Pi(\varphi \circ \pi)$  **by** (metis MONO-iMULTa iMULT-a-def mforall-char mforall-comp mforall-const-char)

**lemma**  $MONO \varphi \implies \forall \pi. \varphi(\Pi[D] \pi) \leq \Pi[D](\varphi \circ \pi)$  **by** (smt (verit) MONO-iMULTa fun-comp-def iMULT-a-def mforall-const-char mforall-const-def image-def subset-def)

**lemma**  $CNTR \varphi \implies iMULT \varphi \implies IDEM \varphi \implies \forall \pi. \varphi(\Pi\{\psi\} \pi) \leq \Pi\{\psi\}(\varphi \circ \pi)$  **nitpick oops** — counterexample

Barcan Formula and composition.

**lemma**  $iMULT^b \varphi \implies \forall \pi. \Pi(\varphi \circ \pi) \leq \varphi(\Pi \pi)$  **by** (metis iMULT-b-def mforall-char mforall-comp mforall-const-char)

**lemma**  $iMULT^b \varphi \implies \forall \pi. \Pi[D](\varphi \circ \pi) \leq \varphi(\Pi[D] \pi)$  **by** (smt (verit) fun-comp-def iMULT-b-def infimum-def mforall-const-char image-def subset-def)

**lemma**  $iADDI \varphi \implies iMULT \varphi \implies \forall \pi. \Pi\{\psi\}(\varphi \circ \pi) \leq \varphi(\Pi\{\psi\} \pi)$  **nitpick oops** — counterexample

**end**

**theory** *logics-LFI*

**imports** *logics-consequence conditions-relativized-infinitary*

**begin**

## 4.7 Logics of Formal Inconsistency (LFIs)

The LFIs are a family of paraconsistent logics featuring a 'consistency' operator  $\circ$  that can be used to recover some classical properties of negation (in particular ECQ). We show a shallow semantical embedding of a family of self-extensional LFIs using the border operator as primitive.

Let us assume a concrete type  $w$  (for 'worlds' or 'points').

**typedec**  $w$

Let us assume the following primitive unary operation intended as a border operator.

**consts**  $\mathcal{B} : w \sigma \Rightarrow w \sigma$

From the topological cube of opposition we have that:

**abbreviation**  $\mathcal{C} \equiv (\mathcal{B}^{fp})^{d-}$

**abbreviation**  $\mathcal{I} \equiv \mathcal{B}^{fp-}$

**lemma**  $\mathcal{C}^{d-} = \mathcal{B}^{fp}$  **by** (*simp add: dualcompl-invol*)

Let us recall that:

**lemma**  $expn\text{-}cntr: EXPN \mathcal{C} = CNTR \mathcal{B}$  **by** (*metis EXPN-CNTR-dual2 EXPN-fp ofp-comm-dc1*)

For LFI we use the negation previously defined as  $\mathcal{C}^{d-} = \mathcal{B}^{fp}$ .

**abbreviation**  $neg : \langle \neg \rangle [70] 71$  **where**  $neg \equiv \mathcal{B}^{fp}$

In terms of the border operator the negation looks as follows (under appropriate assumptions):

**lemma**  $neg\text{-}char: CNTR \mathcal{B} \implies \neg A = (\neg A \vee \mathcal{B} A)$  **unfolding**  $conn$  **by** (*metis CNTR-def dimpl-def op-fixpoint-def subset-def*)

This negation is of course boldly paraconsistent (for both local and global consequence).

**lemma**  $[a, \neg a \vdash b]$  **nitpick oops** — countermodel

**lemma**  $[a, \neg a \vdash \neg b]$  **nitpick oops** — countermodel

**lemma**  $[a, \neg a \vdash_g b]$  **nitpick oops** — countermodel

**lemma**  $[a, \neg a \vdash_g \neg b]$  **nitpick oops** — countermodel

We define two pairs of in/consistency operators and show how they relate to each other. Using LFI terminology, the minimal logic so encoded corresponds to RmbC + 'ciw' axiom.

**abbreviation**  $op\text{-}inc\text{-}a : w \sigma \Rightarrow w \sigma$  ( $\langle \cdot^A \rangle [57] 58$ )

**where**  $\cdot^A A \equiv A \wedge \neg A$

**abbreviation**  $op\text{-}con\text{-}a : w \sigma \Rightarrow w \sigma$  ( $\langle \circ^A \rangle [57] 58$ )

**where**  $\circ^A A \equiv \neg \cdot^A A$

**abbreviation**  $op\text{-}inc\text{-}b : w \sigma \Rightarrow w \sigma$  ( $\langle \cdot^B \rangle [57] 58$ )

**where**  $\cdot^B A \equiv \mathcal{B} A$

**abbreviation**  $op\text{-}con\text{-}b : w \sigma \Rightarrow w \sigma$  ( $\langle \circ^B \rangle [57] 58$ )

**where**  $\circ^B A \equiv \mathcal{B}^- A$

Observe that assuming CNTR for border we are allowed to exchange A and B variants.

**lemma**  $pincAB: CNTR \mathcal{B} \implies \cdot^A A = \cdot^B A$  **using**  $neg\text{-}char$  **by** (*metis CNTR-def CNTR-fpc L5 L6 L9 dimpl-char impl-char ofp-invol op-fixpoint-def setequ-ext svfun-compl-def*)

**lemma**  $pconAB: CNTR \mathcal{B} \implies \circ^A A = \circ^B A$  **by** (*metis pincAB setequ-ext svfun-compl-def*)

Variants A and B give us slightly different properties (there are countermodels for those not shown).

**lemma**  $Prop1: \circ^B A = \mathcal{I}^{fp} A$  **by** (*simp add: ofp-comm-compl ofp-invol*)

**lemma**  $Prop2: \circ^A A = (A \rightarrow \mathcal{I} A)$  **by** (*simp add: compl-def impl-def meet-def svfun-compl-def*)

**lemma**  $Prop3: fp \mathcal{C} A \longleftrightarrow [\vdash \circ^B \neg A]$  **by** (*simp add: fp-rel gtrue-def ofp-comm-dc2 ofp-invol op-dual-def svfun-compl-def*)

**lemma**  $Prop4a: fp \mathcal{I} A \longleftrightarrow [\vdash \circ^B A]$  **by** (*simp add: fp-rel gtrue-def ofp-comm-compl ofp-invol*)

**lemma**  $Prop4b: fp \mathcal{I} A \longrightarrow [\vdash \circ^A A]$  **by** (*simp add: Prop2 fixpoints-def impl-def setequ-ext*)

The 'principle of gentle explosion' works for both variants (both locally and globally).

**lemma**  $[\circ^A a, a, \neg a \vdash b]$  **by** (metis (mono-tags, lifting) compl-def meet-def subset-def)  
**lemma**  $[\circ^A a, a, \neg a \vdash_g b]$  **by** (metis compl-def meet-def)  
**lemma**  $[\circ^B a, a, \neg a \vdash b]$  **by** (smt (z3) meet-def ofp-fixpoint-compl-def ofp-invol sdiff-def subset-def)  
**lemma**  $[\circ^B a, a, \neg a \vdash_g b]$  **by** (metis compl-def fixpoints-def fp-rel gtrue-def setequ-ext svfun-compl-def)

**abbreviation** BORDER  $\varphi \equiv nMULTr \varphi \wedge CNTR \varphi \wedge nDNRM \varphi \wedge nIDEMr^b \varphi$

We show how (local) contraposition variants (among others) can be recovered using the consistency operators.

**lemma**  $[\circ^A b, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  **nitpick oops** — countermodel  
**lemma**  $cons-lcop0-A: CNTR \mathcal{B} \rightarrow [\circ^A b, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  **by** (smt (verit, del-insts) neg-char compl-def impl-char join-def meet-def subset-def)  
**lemma**  $[\circ^B b, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  **nitpick oops** — countermodel  
**lemma**  $cons-lcop0-B: CNTR \mathcal{B} \rightarrow [\circ^B b, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  **by** (metis cons-lcop0-A pconAB)  
**lemma**  $[\circ^A b, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  **nitpick oops** — countermodel  
**lemma**  $cons-lcop1-A: CNTR \mathcal{B} \rightarrow [\circ^A b, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  **by** (smt (verit, del-insts) neg-char compl-def impl-char join-def meet-def subset-def)  
**lemma**  $[\circ^B b, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  **nitpick oops** — countermodel  
**lemma**  $cons-lcop1-B: CNTR \mathcal{B} \rightarrow [\circ^B b, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  **by** (metis cons-lcop1-A pconAB)  
**lemma**  $[\circ^A b, \neg a \rightarrow b \vdash \neg b \rightarrow a]$  **nitpick oops** — countermodel  
**lemma**  $cons-lcop2-A: CNTR \mathcal{B} \rightarrow [\circ^A b, \neg a \rightarrow b \vdash \neg b \rightarrow a]$  **by** (smt (verit, del-insts) neg-char compl-def impl-char join-def meet-def subset-def)  
**lemma**  $[\circ^B b, \neg a \rightarrow b \vdash \neg b \rightarrow a]$  **nitpick oops** — countermodel  
**lemma**  $cons-lcop2-B: CNTR \mathcal{B} \rightarrow [\circ^B b, \neg a \rightarrow b \vdash \neg b \rightarrow a]$  **by** (metis cons-lcop2-A pconAB)

The following axioms are commonly employed in the literature on LFIs to obtain stronger logics. We explore under which conditions they can be assumed while keeping the logic boldly paraconsistent.

**abbreviation** cf **where**  $cf \equiv \forall P. [\neg\neg P \vdash P]$   
**abbreviation** ce **where**  $ce \equiv \forall P. [P \vdash \neg\neg P]$   
**abbreviation** ciw-a **where**  $ciw-a \equiv \forall P. [\vdash \circ^A P \vee \cdot^A P]$   
**abbreviation** ciw-b **where**  $ciw-b \equiv \forall P. [\vdash \circ^B P \vee \cdot^B P]$   
**abbreviation** ci-a **where**  $ci-a \equiv \forall P. [\neg(\circ^A P) \vdash \cdot^A P]$   
**abbreviation** ci-b **where**  $ci-b \equiv \forall P. [\neg(\circ^B P) \vdash \cdot^B P]$   
**abbreviation** cl-a **where**  $cl-a \equiv \forall P. [\neg(\cdot^A P) \vdash \circ^A P]$   
**abbreviation** cl-b **where**  $cl-b \equiv \forall P. [\neg(\cdot^B P) \vdash \circ^B P]$   
**abbreviation** ca-conj-a **where**  $ca\text{-conj-}a \equiv \forall P Q. [\circ^A P, \circ^A Q \vdash \circ^A(P \wedge Q)]$   
**abbreviation** ca-conj-b **where**  $ca\text{-conj-}b \equiv \forall P Q. [\circ^B P, \circ^B Q \vdash \circ^B(P \wedge Q)]$   
**abbreviation** ca-disj-a **where**  $ca\text{-disj-}a \equiv \forall P Q. [\circ^A P, \circ^A Q \vdash \circ^A(P \vee Q)]$   
**abbreviation** ca-disj-b **where**  $ca\text{-disj-}b \equiv \forall P Q. [\circ^B P, \circ^B Q \vdash \circ^B(P \vee Q)]$   
**abbreviation** ca-impl-a **where**  $ca\text{-impl-}a \equiv \forall P Q. [\circ^A P, \circ^A Q \vdash \circ^A(P \rightarrow Q)]$   
**abbreviation** ca-impl-b **where**  $ca\text{-impl-}b \equiv \forall P Q. [\circ^B P, \circ^B Q \vdash \circ^B(P \rightarrow Q)]$   
**abbreviation** ca-a **where**  $ca\text{-a} \equiv ca\text{-conj-}a \wedge ca\text{-disj-}a \wedge ca\text{-impl-}a$   
**abbreviation** ca-b **where**  $ca\text{-b} \equiv ca\text{-conj-}b \wedge ca\text{-disj-}b \wedge ca\text{-impl-}b$

cf

**lemma** BORDER  $\mathcal{B} \implies cf$  **nitpick oops** — countermodel

ce

**lemma** BORDER  $\mathcal{B} \implies ce$  **nitpick oops** — countermodel

ciw

**lemma** prop-ciw-a:  $ciw-a$  **by** (simp add: conn)  
**lemma** prop-ciw-b:  $ciw-b$  **by** (simp add: conn svfun-compl-def)

```

ci
lemma BORDER  $\mathcal{B} \implies ci\text{-}a$  nitpick oops — countermodel
lemma BORDER  $\mathcal{B} \implies ci\text{-}b$  nitpick oops — countermodel

cl
lemma BORDER  $\mathcal{B} \implies cl\text{-}a$  nitpick oops — countermodel
lemma BORDER  $\mathcal{B} \implies cl\text{-}b$  nitpick oops — countermodel

ca-conj
lemma prop-ca-conj-b:  $nMULT^b \mathcal{B} = ca\text{-}conj\text{-}b$  by (metis MULT-b-def nMULTb-compl sfun-compl-invol)
lemma prop-ca-conj-a:  $nMULTr^b \mathcal{B} = ca\text{-}conj\text{-}a$  unfolding cond op-fixpoint-def by (smt (z3) compl-def
dimpl-def join-def meet-def op-fixpoint-def subset-def subset-in-def)

ca-disj
lemma prop-ca-disj-b:  $ADDI^a \mathcal{B} = ca\text{-}disj\text{-}b$  by (simp add: nADDI-a-def nADDIa-compl)
lemma prop-ca-disj-a:  $nMULTr^a \mathcal{B} = ca\text{-}disj\text{-}a$  oops

ca-impl
lemma BORDER  $\mathcal{B} \implies ca\text{-}impl\text{-}a$  nitpick oops — countermodel
lemma BORDER  $\mathcal{B} \implies ca\text{-}impl\text{-}b$  nitpick oops — countermodel

end
theory logics-LFU
imports logics-consequence conditions-relativized-infinitary
begin

```

## 4.8 Logics of Formal Undeterminedness (LFUs)

The LFUs are a family of paracomplete logics featuring a 'determinedness' operator  $\boxtimes$  that can be used to recover some classical properties of negation (in particular TND). LFUs behave in a sense dually to LFI<sub>s</sub>. Both can be semantically embedded as extensions of Boolean algebras. We show a shallow semantical embedding of a family of self-extensional LFUs using the closure operator as primitive.

```
typedecl w
```

```
consts  $\mathcal{C}::w \sigma \Rightarrow w \sigma$ 
```

```
abbreviation  $\mathcal{I} \equiv \mathcal{C}^d$ 
abbreviation  $\mathcal{B} \equiv (\mathcal{C}^{fp})^d$ 
```

```
lemma EXPN  $\mathcal{C} = CNTR \mathcal{B}$  using EXPN-CNTR-dual1 EXPN-fp by blast
lemma EXPN  $\mathcal{C} = CNTR \mathcal{I}$  by (simp add: EXPN-CNTR-dual1)
```

For LFUs we use the negation previously defined as  $\mathcal{I}^{d-} = \mathcal{C}^-$ .

```
abbreviation neg ( $\neg\neg$ [70]71) where neg  $\equiv \mathcal{C}^-$ 
```

In terms of the border operator the negation looks as follows:

```
lemma neg-char: EXPN  $\mathcal{C} \implies \neg A = (\neg A \wedge \mathcal{B}^d A)$  unfolding conn by (metis EXPN-def compl-def
dimpl-def dual-involut op-fixpoint-def subset-def svfun-compl-def)
```

**abbreviation** *CLOSURE*  $\varphi \equiv ADDI \varphi \wedge EXPN \varphi \wedge NORM \varphi \wedge IDEM \varphi$

This negation is of course paracomplete.

**lemma** *CLOSURE C  $\implies [\vdash a \vee \neg a]$  nitpick oops* — countermodel

We define two pairs of un/determinedness operators and show how they relate to each other. This logic corresponds to the paracomplete dual of the LFI 'RmbC-ciw'.

**abbreviation** *op-det-a::w  $\sigma \Rightarrow w \sigma$  ( $\langle \alpha^A \rangle$ - [57]58)*

**where**  $\alpha^A A \equiv A \vee \neg A$

**abbreviation** *op-und-a::w  $\sigma \Rightarrow w \sigma$  ( $\langle \star^A \rangle$ - [57]58)*

**where**  $\star^A A \equiv \neg \alpha^A A$

**abbreviation** *op-det-b::w  $\sigma \Rightarrow w \sigma$  ( $\langle \alpha^B \rangle$ - [57]58)*

**where**  $\alpha^B A \equiv \mathcal{B}^d A$

**abbreviation** *op-und-b::w  $\sigma \Rightarrow w \sigma$  ( $\langle \star^B \rangle$ - [57]58)*

**where**  $\star^B A \equiv \mathcal{B}^{d-} A$

Observe that assumming EXPN for closure we are allowed to exchange A and B variants.

**lemma** *pundAB: EXPN C  $\implies \star^A A = \star^B A$  using neg-char by (metis BA-deMorgan1 BA-dn L4 L9 dimpl-char impl-char ofp-comm-dc2 op-fixpoint-def sfun-dcompl-def setequ-ext sfun-compl-def)*

**lemma** *pdetAB: EXPN C  $\implies \alpha^A A = \alpha^B A$  by (metis dual-compl-char1 pundAB sfun-compl-invol sfun-compl-def)*

Variants A and B give us slightly different properties (there are countermodels for those not shown).

**lemma** *Prop1:  $\alpha^B A = \mathcal{C}^{fp} A$  by (simp add: dual-invol setequ-ext)*

**lemma** *Prop2:  $\alpha^A A = (\mathcal{C} A \rightarrow A)$  unfolding conn by (metis compl-def sfun-compl-def)*

**lemma** *Prop3: fp  $\mathcal{I} A \longleftrightarrow [\vdash \alpha^B A]$  by (simp add: dual-invol fp-d-rel gtrue-def)*

**lemma** *Prop4a: fp  $\mathcal{C} A \longleftrightarrow [\vdash \alpha^B A]$  by (simp add: dual-invol fp-rel gtrue-def)*

**lemma** *Prop4b: fp  $\mathcal{C} A \longrightarrow [\vdash \alpha^A A]$  by (simp add: compl-def fixpoints-def join-def setequ-ext sfun-compl-def)*

Recovering TND works for both variants.

**lemma**  *$[\alpha^A a \vdash a, \neg a]$  by (simp add: subset-def)*

**lemma**  *$[\vdash \star^A a \vee a \vee \neg a]$  by (metis compl-def join-def)*

**lemma**  *$[\alpha^B a \vdash a, \neg a]$  by (simp add: compl-def dimpl-def dual-invol join-def op-fixpoint-def subset-def sfun-compl-def)*

**lemma**  *$[\vdash \star^B a \vee a \vee \neg a]$  by (metis dimpl-def dual-compl-char1 dual-invol join-def ofp-comm-compl op-fixpoint-def)*

We show how (local) contraposition variants (among others) can be recovered using the determinedness operators.

**lemma**  *$[\alpha^A a, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  nitpick oops*

**lemma** *det-lcop0-A: EXPN C  $\implies [\alpha^A a, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  using neg-char impl-char unfolding conn order by fastforce*

**lemma**  *$[\alpha^B a, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  nitpick oops*

**lemma** *det-lcop0-B: EXPN C  $\implies [\alpha^B a, a \rightarrow b \vdash \neg b \rightarrow \neg a]$  by (metis det-lcop0-A pdetAB)*

**lemma**  *$[\alpha^A a, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  nitpick oops*

**lemma** *det-lcop1-A: EXPN C  $\implies [\alpha^A a, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  by (smt (verit, ccfv-SIG) impl-char impl-def join-def meet-def neg-char subset-def)*

**lemma**  *$[\alpha^B a, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  nitpick oops*

**lemma** *det-lcop1-B: EXPN C  $\implies [\alpha^B a, a \rightarrow \neg b \vdash b \rightarrow \neg a]$  by (metis det-lcop1-A pdetAB)*

**lemma**  *$[\alpha^A a, \neg a \rightarrow b \vdash \neg b \rightarrow a]$  nitpick oops*

**lemma** *det-lcop2-A: EXPN C  $\implies [\alpha^A a, \neg a \rightarrow b \vdash \neg b \rightarrow a]$  by (smt (verit, del-insts) neg-char compl-def impl-char join-def meet-def subset-def)*

```

lemma [ $\boxtimes^B a, \neg a \rightarrow b \vdash \neg b \rightarrow a$ ] nitpick oops
lemma det-lcop2-B: EXPN C  $\implies$  [ $\boxtimes^B a, \neg a \rightarrow b \vdash \neg b \rightarrow a$ ] by (metis det-lcop2-A pdetAB)
end

```

## References

- [1] D. Fuenmayor. Semantical investigations on non-classical logics with recovery operators: Negation. *The Logic Journal of the IGPL. Special Issue on Non-classical Modal and Predicate Logics*, 202X. To appear (preprint: <https://arxiv.org/abs/2104.04284>).