

# Topological Groups

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## Abstract

Topological groups are blends of groups and topological spaces with the property that the multiplication and inversion operations are continuous functions. They frequently occur in mathematics and physics, e.g. in the form of Lie groups. We formalize the theory of topological groups on top of HOL-Algebra and HOL-Analysis. Topological groups are defined via a locale. We also introduce a set-based notion of uniform spaces in order to define the uniform structures of topological groups. The most notable formalized result is the Birkhoff-Kakutani theorem which characterizes metrizable topological groups. Our formalization also defines the important matrix groups  $\mathrm{GL}_n(\mathbb{R})$ ,  $\mathrm{SL}_n(\mathbb{R})$ ,  $\mathrm{O}_n$ ,  $\mathrm{SO}_n$  and proves them to be topological groups.

The formalized results and proofs have been taken from the textbooks of Arhangelskii and Tkachenko [1], Bump [2] and James [4]. These lecture notes [5] have also been helpful.

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## 1 Uniform spaces

```
theory Uniform-Structure
imports HOL-Analysis.Abstract-Topology HOL-Analysis.Abstract-Metric-Spaces
begin
```

**Summary** This section introduces a set-based notion of uniformities and connects it to the *uniform-space* type class.

### 1.1 Definitions and basic results

```
definition uniformity-on :: 'a set ⇒ (('a × 'a) set ⇒ bool) ⇒ bool where
uniformity-on X E ↔
  (exists E. E ⊆ X × X ∧ Id-on X ⊆ E ∧ E (E⁻¹) ∧ (exists F. E ⊆ F ∧ F O F ⊆ E) ∧
  (forall F. E ⊆ F ∧ F ⊆ X × X → E F) ∧
  (forall E F. E ⊆ F → E F → E (E ∩ F)))
```

```
typedef 'a uniformity = {(X :: 'a set, E). uniformity-on X E}
morphisms uniformity-rep uniformity
⟨proof⟩
```

```
definition uspace :: 'a uniformity ⇒ 'a set where
uspace Φ = (let (X, E) = uniformity-rep Φ in X)
```

```
definition entourage-in :: 'a uniformity ⇒ ('a × 'a) set ⇒ bool where
entourage-in Φ = (let (X, E) = uniformity-rep Φ in E)
```

```
lemma uniformity-inverse'[simp]:
assumes uniformity-on X E
shows uspace (uniformity (X, E)) = X ∧ entourage-in (uniformity (X, E)) = E
⟨proof⟩
```

```
lemma uniformity-entourages:
shows uniformity-on (uspace Φ) (entourage-in Φ)
⟨proof⟩
```

**lemma** *entourages-exist*:  $\exists E. \text{entourage-in } \Phi E$   
 $\langle \text{proof} \rangle$

**lemma** *entourage-in-space[elim]*: *entourage-in*  $\Phi E \implies E \subseteq \text{uspace } \Phi \times \text{uspace } \Phi$   
 $\langle \text{proof} \rangle$

**lemma** *entourage-superset[intro]*:  
*entourage-in*  $\Phi E \implies E \subseteq F \implies F \subseteq \text{uspace } \Phi \times \text{uspace } \Phi \implies \text{entourage-in}$   
 $\Phi F$   
 $\langle \text{proof} \rangle$

**lemma** *entourage-intersection[intro]*: *entourage-in*  $\Phi E \implies \text{entourage-in } \Phi F \implies$   
*entourage-in*  $\Phi (E \cap F)$   
 $\langle \text{proof} \rangle$

**lemma** *entourage-converse[intro]*: *entourage-in*  $\Phi E \implies \text{entourage-in } \Phi (E^{-1})$   
 $\langle \text{proof} \rangle$

**lemma** *entourage-diagonal[dest]*:  
**assumes** *entourage*: *entourage-in*  $\Phi E$  **and** *in-space*:  $x \in \text{uspace } \Phi$   
**shows**  $(x,x) \in E$   
 $\langle \text{proof} \rangle$

**lemma** *smaller-entourage*:  
**assumes** *entourage*: *entourage-in*  $\Phi E$   
**shows**  $\exists F. \text{entourage-in } \Phi F \wedge (\forall x y z. (x,y) \in F \wedge (y,z) \in F \longrightarrow (x,z) \in E)$   
 $\langle \text{proof} \rangle$

**lemma** *entire-space-entourage*: *entourage-in*  $\Phi (\text{uspace } \Phi \times \text{uspace } \Phi)$   
 $\langle \text{proof} \rangle$

**definition** *utopology* :: '*a uniformity*  $\Rightarrow$  '*a topology* **where**  
*utopology*  $\Phi = \text{topology } (\lambda U. U \subseteq \text{uspace } \Phi \wedge (\forall x \in U. \exists E. \text{entourage-in } \Phi E \wedge E``\{x\} \subseteq U))$

**lemma** *openin-utopology [iff]*:  
**fixes**  $\Phi :: \text{'a uniformity}$   
**defines** *uopen*  $U \equiv U \subseteq \text{uspace } \Phi \wedge (\forall x \in U. \exists E. \text{entourage-in } \Phi E \wedge E``\{x\} \subseteq U)$   
**shows** *openin* (*utopology*  $\Phi$ ) = *uopen*  
 $\langle \text{proof} \rangle$

**lemma** *topspace-utopology[simp]*:  
**shows** *topspace* (*utopology*  $\Phi$ ) = *uspace*  $\Phi$   
 $\langle \text{proof} \rangle$

**definition** *ucontinuous* :: '*a uniformity*  $\Rightarrow$  '*b uniformity*  $\Rightarrow$  ('*a*  $\Rightarrow$  '*b*)  $\Rightarrow$  *bool*  
**where**

*ucontinuous*  $\Phi \Psi f \longleftrightarrow$   
 $f \in uspace \Phi \rightarrow uspace \Psi \wedge$   
 $(\forall E. entourage-in \Psi E \longrightarrow entourage-in \Phi \{(x, y) \in uspace \Phi \times uspace \Phi. (f x, f y) \in E\})$

**lemma** *ucontinuous-image-subset* [dest]: *ucontinuous*  $\Phi \Psi f \implies f'(uspace \Phi) \subseteq uspace \Psi$   
*(proof)*

**lemma** *entourage-preimage-ucontinuous* [dest]:  
**assumes** *ucontinuous*  $\Phi \Psi f$  **and** *entourage-in*  $\Psi E$   
**shows** *entourage-in*  $\Phi \{(x, y) \in uspace \Phi \times uspace \Phi. (f x, f y) \in E\}$   
*(proof)*

**lemma** *ucontinuous-imp-continuous*:  
**assumes** *ucontinuous*  $\Phi \Psi f$   
**shows** *continuous-map* (*utopology*  $\Phi$ ) (*utopology*  $\Psi$ )  $f$   
*(proof)*

## 1.2 Metric spaces as uniform spaces

**context** *Metric-space*  
**begin**

**abbreviation** *mentourage* :: *real*  $\Rightarrow ('a \times 'a) set$  **where**  
 $mentourage \varepsilon \equiv \{(x, y) \in M \times M. d x y < \varepsilon\}$

**definition** *muniformity* :: *'a uniformity* **where**  
 $muniformity = uniformity(M, \lambda E. E \subseteq M \times M \wedge (\exists \varepsilon > 0. mentourage \varepsilon \subseteq E))$

**lemma**  
*uspace-muniformity*[simp]: *uspace muniformity* =  $M$  **and**  
*entourage-muniformity*: *entourage-in muniformity* =  $(\lambda E. E \subseteq M \times M \wedge (\exists \varepsilon > 0. mentourage \varepsilon \subseteq E))$   
*(proof)*

**lemma** *uniformity-induces-mtopology* [simp]: *utopology muniformity* = *mtopology*  
*(proof)*

## 1.3 Connection to type class

**end**

The following connects the *uniform-space* class to the set based notion *Uniform-Structure.uniformity-on*.

Given a type *'a* which is an instance of the class *uniform-space*, it is possible to introduce an *'a uniformity* on the entire universe: *UNIV*:

**definition** *uniformity-of-space* ::  $('a :: uniform-space) uniformity$  **where**

*uniformity-of-space* = *uniformity* (UNIV :: 'a set, ( $\lambda S. \forall F x$  in *uniformity-class.uniformity*.  
 $x \in S$ ))

The induced uniformity fulfills the required conditions, i.e., the class based notion implies the set-based notion.

**lemma** *uniformity-on-uniformity-of-space-aux*:

*uniformity-on* (UNIV :: ('a :: *uniform-space*) set) ( $\lambda S. \forall F x$  in *uniformity-class.uniformity*.  
 $x \in S$ )  
 $\langle proof \rangle$

**lemma** *uniformity-rep-uniformity-of-space*:

*uniformity-rep uniformity-of-space* = (UNIV, ( $\lambda S. \forall F x$  in *uniformity-class.uniformity*.  
 $x \in S$ ))  
 $\langle proof \rangle$

**lemma** *uspace-uniformity-space* [*simp, iff*]:

*uspace uniformity-of-space* = UNIV  
 $\langle proof \rangle$

**lemma** *entourage-in-uniformity-space*:

*entourage-in uniformity-of-space S* = ( $\forall F x$  in *uniformity-class.uniformity*.  $x \in S$ )  
 $\langle proof \rangle$

Compatibility of the *Metric-space.muniformity* with the uniformity based on the class based hierarchy.

**lemma** (*uniformity-of-space* :: ('a :: *metric-space*) *uniformity*) = *Met-TC.muniformity*  
 $\langle proof \rangle$

**end**

## 2 General theory of Topological Groups

**theory** *Topological-Group*

**imports**

*HOL-Algebra.Group*  
*HOL-Algebra.Coset*  
*HOL-Analysis.Abstract-Topology*  
*HOL-Analysis.Product-Topology*  
*HOL-Analysis.T1-Spaces*  
*HOL-Analysis.Abstract-Metric-Spaces*  
*Uniform-Structure*

**begin**

**Summary** In this section we define topological groups and prove basic results about them. We also introduce the left and right uniform structures of topological groups and prove the Birkhoff-Kakutani theorem.

## 2.1 Auxiliary definitions and results

### 2.1.1 Miscellaneous

**lemma** *connected-components-homeo*:

**assumes** *homeo*: homeomorphic-map  $T_1 T_2 \varphi$  **and** *in-space*:  $x \in \text{topspace } T_1$   
**shows**  $\varphi(\text{connected-component-of-set } T_1 x) = \text{connected-component-of-set } T_2 (\varphi x)$   
 $\langle \text{proof} \rangle$

**lemma** *open-map-prod-top*:

**assumes** *open-map*  $T_1 T_3 f$  **and** *open-map*  $T_2 T_4 g$   
**shows** *open-map* (*prod-topology*  $T_1 T_2$ ) (*prod-topology*  $T_3 T_4$ )  $(\lambda(x, y). (f x, g y))$   
 $\langle \text{proof} \rangle$

**lemma** *injective-quotient-map-homeo*:

**assumes** *quotient-map*  $T_1 T_2 q$  **and** *inj-on*:  $\text{inj-on } q (\text{topspace } T_1)$   
**shows** *homeomorphic-map*  $T_1 T_2 q$   $\langle \text{proof} \rangle$

**lemma** (*in group*) *subgroupI-alt*:

**assumes** *subset*:  $H \subseteq \text{carrier } G$  **and** *nonempty*:  $H \neq \{\}$  **and**  
*closed*:  $\bigwedge \sigma \tau. \sigma \in H \wedge \tau \in H \implies \sigma \otimes \text{inv } \tau \in H$   
**shows** *subgroup*  $H G$   
 $\langle \text{proof} \rangle$

**lemma** *subgroup-intersection*:

**assumes** *subgroup*  $H G$  **and** *subgroup*  $H' G$   
**shows** *subgroup*  $(H \cap H') G$   
 $\langle \text{proof} \rangle$

### 2.1.2 Quotient topology

**definition** *quot-topology* :: '*a topology*  $\Rightarrow$  ('*a*  $\Rightarrow$  '*b*)  $\Rightarrow$  '*b topology* **where**  
*quot-topology*  $T q = \text{topology } (\lambda U. U \subseteq q(\text{topspace } T) \wedge \text{openin } T \{x \in \text{topspace } T. q x \in U\})$

**lemma** *quot-topology-open*:

**fixes**  $T :: \text{'a topology}$  **and**  $q :: \text{'a} \Rightarrow \text{'b}$   
**defines** *openin-quot*  $U \equiv U \subseteq q(\text{topspace } T) \wedge \text{openin } T \{x \in \text{topspace } T. q x \in U\}$   
**shows** *openin* (*quot-topology*  $T q$ ) = *openin-quot*  
 $\langle \text{proof} \rangle$

**lemma** *projection-quotient-map*: *quotient-map*  $T$  (*quot-topology*  $T q$ )  $q$   
 $\langle \text{proof} \rangle$

**corollary** *topspace-quot-topology* [*simp*]: *topspace* (*quot-topology*  $T q$ ) =  $q(\text{topspace } T)$   
 $\langle \text{proof} \rangle$

**corollary** *projection-continuous*: *continuous-map*  $T$  (*quot-topology*  $T$   $q$ )  $q$   
 $\langle proof \rangle$

## 2.2 Definition and basic results

```

locale topological-group = group +
  fixes  $T :: 'g topology$ 
  assumes group-is-space [simp]: topspace  $T = carrier G$ 
  assumes inv-continuous: continuous-map  $T T (\lambda\sigma. inv \sigma)$ 
  assumes mul-continuous: continuous-map (prod-topology  $T T$ )  $T (\lambda(\sigma,\tau). \sigma \otimes \tau)$ 
  begin

lemma in-space-iff-in-group [iff]:  $\sigma \in \text{topspace } T \longleftrightarrow \sigma \in \text{carrier } G$ 
 $\langle proof \rangle$ 

lemma translations-continuous [intro]:
  assumes in-group:  $\sigma \in \text{carrier } G$ 
  shows continuous-map  $T T (\lambda\tau. \sigma \otimes \tau)$  and continuous-map  $T T (\lambda\tau. \tau \otimes \sigma)$ 
 $\langle proof \rangle$ 

lemma translations-homeos:
  assumes in-group:  $\sigma \in \text{carrier } G$ 
  shows homeomorphic-map  $T T (\lambda\tau. \sigma \otimes \tau)$  and homeomorphic-map  $T T (\lambda\tau. \tau \otimes \sigma)$ 
 $\langle proof \rangle$ 

abbreviation conjugation ::  $'g \Rightarrow 'g \Rightarrow 'g$  where
conjugation  $\sigma \tau \equiv \sigma \otimes \tau \otimes inv \sigma$ 

corollary conjugation-homeo:
  assumes in-group:  $\sigma \in \text{carrier } G$ 
  shows homeomorphic-map  $T T (\text{conjugation } \sigma)$ 
 $\langle proof \rangle$ 

corollary open-set-translations:
  assumes open-set: openin  $T U$  and in-group:  $\sigma \in \text{carrier } G$ 
  shows openin  $T (\sigma <# U)$  and openin  $T (U \#> \sigma)$ 
 $\langle proof \rangle$ 

corollary closed-set-translations:
  assumes closed-set: closedin  $T U$  and in-group:  $\sigma \in \text{carrier } G$ 
  shows closedin  $T (\sigma <# U)$  and closedin  $T (U \#> \sigma)$ 
 $\langle proof \rangle$ 

lemma inverse-homeo: homeomorphic-map  $T T (\lambda\sigma. inv \sigma)$ 
 $\langle proof \rangle$ 

```

## 2.3 Subspaces and quotient spaces

**abbreviation** *connected-component-1* :: '*g set* **where**  
*connected-component-1*  $\equiv$  *connected-component-of-set T 1*

**lemma** *connected-component-1-props*:

**shows** *connected-component-1*  $\triangleleft G$  **and** *closedin T connected-component-1*  
 $\langle proof \rangle$

**lemma** *group-prod-space* [*simp*]: *topspace (prod-topology T T) = (carrier G) × (carrier G)*  
 $\langle proof \rangle$

**no-notation** *eq-closure-of (closure'-of<sub>1</sub>)*

**lemma** *subgroup-closure*:

**assumes** *H-subgroup: subgroup H G*  
**shows** *subgroup (T closure-of H) G*  
 $\langle proof \rangle$

**lemma** *normal-subgroup-closure*:

**assumes** *normal-subgroup: N < G*  
**shows** *(T closure-of N) < G*  
 $\langle proof \rangle$

**lemma** *topological-subgroup*:

**assumes** *subgroup H G*  
**shows** *topological-group (G (carrier := H)) (subtopology T H)*  
 $\langle proof \rangle$

Topology on the set of cosets of some subgroup

**abbreviation** *coset-topology* :: '*g set*  $\Rightarrow$  '*g set topology* **where**  
*coset-topology H*  $\equiv$  *quot-topology T (r-coset G H)*

**lemma** *coset-topology-topspace*[*simp*]:

**shows** *topspace (coset-topology H) = (r-coset G H) (carrier G)*  
 $\langle proof \rangle$

**lemma** *projection-open-map*:

**assumes** *subgroup: subgroup H G*  
**shows** *open-map T (coset-topology H) (r-coset G H)*  
 $\langle proof \rangle$

**lemma** *topological-quotient-group*:

**assumes** *normal-subgroup: N < G*  
**shows** *topological-group (G Mod N) (coset-topology N)*  
 $\langle proof \rangle$

See [3] for our approach to proving that quotient groups of topological groups are topological.

**abbreviation** *neighborhood* :: '*g*  $\Rightarrow$  '*g* set  $\Rightarrow$  bool **where**  
*neighborhood*  $\sigma$  *U*  $\equiv$  *openin* *T* *U*  $\wedge$   $\sigma \in U$

**abbreviation** *symmetric* :: '*g* set  $\Rightarrow$  bool **where**  
*symmetric* *S*  $\equiv$  {*inv*  $\sigma$   $|$   $\sigma$ .  $\sigma \in S$ }  $\subseteq S$

Note that this implies the other inclusion, so symmetric subsets are equal to their image under inversion.

**lemma** *neighborhoods-of-1*:

**assumes** *neighborhood* **1** *U*  
**shows**  $\exists V$ . *neighborhood* **1** *V*  $\wedge$  *symmetric* *V*  $\wedge$  *V*  $<\#>$  *V*  $\subseteq U$   
*(proof)*

**lemma** *Hausdorff-coset-space*:

**assumes** *subgroup*: subgroup *H G* **and** *H-closed*: closedin *T H*  
**shows** *Hausdorff-space* (*coset-topology H*)  
*(proof)*

**lemma** *Hausdorff-coset-space-converse*:

**assumes** *subgroup*: subgroup *H G*  
**assumes** *Hausdorff*: *Hausdorff-space* (*coset-topology H*)  
**shows** closedin *T H*  
*(proof)*

**corollary** *Hausdorff-coset-space-iff*:

**assumes** *subgroup*: subgroup *H G*  
**shows** *Hausdorff-space* (*coset-topology H*)  $\longleftrightarrow$  closedin *T H*  
*(proof)*

**corollary** *topological-group-hausdorff-iff-one-closed*:

**shows** *Hausdorff-space* *T*  $\longleftrightarrow$  closedin *T* {**1**}  
*(proof)*

**lemma** *set-mult-one-subset*:

**assumes** *A*  $\subseteq$  carrier *G*  $\wedge$  *B*  $\subseteq$  carrier *G* **and** **1**  $\in B$   
**shows** *A*  $\subseteq A <\#> B$   
*(proof)*

**lemma** *open-set-mult-open*:

**assumes** *openin* *T U*  $\wedge$  *S*  $\subseteq$  carrier *G*  
**shows** *openin* *T* (*S*  $<\#>$  *U*)  
*(proof)*

**lemma** *open-set-inv-open*:

**assumes** *openin* *T U*  
**shows** *openin* *T* (*set-inv U*)  
*(proof)*

**lemma** *open-set-in-carrier[elim]*:

**assumes** *openin*  $T$   $U$   
**shows**  $U \subseteq \text{carrier } G$   
 $\langle\text{proof}\rangle$

## 2.4 Uniform structures

**abbreviation** *left-entourage* :: ' $g$  set  $\Rightarrow$  (' $g$   $\times$  ' $g$ ) set **where**  
 $\text{left-entourage } U \equiv \{(\sigma, \tau) \in \text{carrier } G \times \text{carrier } G. \text{ inv } \sigma \otimes \tau \in U\}$

**abbreviation** *right-entourage* :: ' $g$  set  $\Rightarrow$  (' $g$   $\times$  ' $g$ ) set **where**  
 $\text{right-entourage } U \equiv \{(\sigma, \tau) \in \text{carrier } G \times \text{carrier } G. \sigma \otimes \text{inv } \tau \in U\}$

**definition** *left-uniformity* :: ' $g$  uniformity **where** *left-uniformity* =  
 $\text{uniformity } (\text{carrier } G, \lambda E. E \subseteq \text{carrier } G \times \text{carrier } G \wedge (\exists U. \text{neighborhood } \mathbf{1} U \wedge \text{left-entourage } U \subseteq E))$

**definition** *right-uniformity* :: ' $g$  uniformity **where** *right-uniformity* =  
 $\text{uniformity } (\text{carrier } G, \lambda E. E \subseteq \text{carrier } G \times \text{carrier } G \wedge (\exists U. \text{neighborhood } \mathbf{1} U \wedge \text{right-entourage } U \subseteq E))$

### lemma

*uspace-left-uniformity*[simp]: *uspace left-uniformity* = *carrier G* (**is** ?space-def)  
**and**

*entourage-left-uniformity*: *entourage-in left-uniformity* =  
 $(\lambda E. E \subseteq \text{carrier } G \times \text{carrier } G \wedge (\exists U. \text{neighborhood } \mathbf{1} U \wedge \text{left-entourage } U \subseteq E))$  (**is** ?entourage-def)  
 $\langle\text{proof}\rangle$

### lemma

*uspace-right-uniformity*[simp]: *uspace right-uniformity* = *carrier G* (**is** ?space-def)  
**and**

*entourage-right-uniformity*: *entourage-in right-uniformity* =  
 $(\lambda E. E \subseteq \text{carrier } G \times \text{carrier } G \wedge (\exists U. \text{neighborhood } \mathbf{1} U \wedge \text{right-entourage } U \subseteq E))$  (**is** ?entourage-def)  
 $\langle\text{proof}\rangle$

### lemma

*left-uniformity-induces-group-topology* [simp]:

**shows** *utopology left-uniformity* =  $T$

$\langle\text{proof}\rangle$

### lemma

*right-uniformity-induces-group-topology* [simp]:

**shows** *utopology right-uniformity* =  $T$

$\langle\text{proof}\rangle$

### lemma

*translations-ucontinuous*:

**assumes** *in-group*:  $\sigma \in \text{carrier } G$

**shows** *ucontinuous left-uniformity left-uniformity* ( $\lambda \tau. \sigma \otimes \tau$ ) **and**

*ucontinuous right-uniformity right-uniformity* ( $\lambda \tau. \tau \otimes \sigma$ )

$\langle\text{proof}\rangle$

## 2.5 The Birkhoff-Kakutani theorem

### 2.5.1 Prenorms on groups

**definition** *group-prenorm* ::  $('g \Rightarrow \text{real}) \Rightarrow \text{bool}$  **where**  
*group-prenorm*  $N \longleftrightarrow$   
 $N \mathbf{1} = 0 \wedge$   
 $(\forall \sigma \tau. \sigma \in \text{carrier } G \wedge \tau \in \text{carrier } G \longrightarrow N(\sigma \otimes \tau) \leq N\sigma + N\tau) \wedge$   
 $(\forall \sigma \in \text{carrier } G. N(\text{inv } \sigma) = N\sigma)$

**lemma** *group-prenorm-clauses[elim]*:

**assumes** *group-prenorm*  $N$

**obtains**

$N \mathbf{1} = 0$  **and**

$\wedge \sigma \tau. \sigma \in \text{carrier } G \implies \tau \in \text{carrier } G \implies N(\sigma \otimes \tau) \leq N\sigma + N\tau$  **and**

$\wedge \sigma. \sigma \in \text{carrier } G \implies N(\text{inv } \sigma) = N\sigma$

$\langle \text{proof} \rangle$

**proposition** *group-prenorm-nonnegative*:

**assumes** *prenorm*: *group-prenorm*  $N$

**shows**  $\forall \sigma \in \text{carrier } G. N\sigma \geq 0$

$\langle \text{proof} \rangle$

**proposition** *group-prenorm-reverse-triangle-ineq*:

**assumes** *prenorm*: *group-prenorm*  $N$  **and** *in-group*:  $\sigma \in \text{carrier } G \wedge \tau \in \text{carrier } G$

**shows**  $|N\sigma - N\tau| \leq N(\sigma \otimes \text{inv } \tau)$

$\langle \text{proof} \rangle$

**definition** *induced-group-prenorm* ::  $('g \Rightarrow \text{real}) \Rightarrow 'g \Rightarrow \text{real}$  **where**  
*induced-group-prenorm*  $f \sigma = (\text{SUP } \tau \in \text{carrier } G. |f(\tau \otimes \sigma) - f\tau|)$

**lemma** *induced-group-prenorm-welldefined*:

**fixes**  $f :: 'g \Rightarrow \text{real}$

**assumes** *f-bounded*:  $\exists c. \forall \tau \in \text{carrier } G. |f\tau| \leq c$  **and** *in-group*:  $\sigma \in \text{carrier } G$

**shows** *bdd-above*  $((\lambda \tau. |f(\tau \otimes \sigma) - f\tau|) \text{carrier } G)$

$\langle \text{proof} \rangle$

**lemma** *bounded-function-induces-group-prenorm*:

**fixes**  $f :: 'g \Rightarrow \text{real}$

**assumes** *f-bounded*:  $\exists c. \forall \sigma \in \text{carrier } G. |f\sigma| \leq c$

**shows** *group-prenorm* (*induced-group-prenorm*  $f$ )

$\langle \text{proof} \rangle$

**lemma** *neighborhood-1-translation*:

**assumes** *neighborhood*  $\mathbf{1} U$  **and**  $\sigma \in \text{carrier } G \vee \sigma \in \text{topspace } T$

**shows** *neighborhood*  $\sigma$  ( $\sigma < \# U$ )

$\langle \text{proof} \rangle$

**proposition** *group-prenorm-continuous-if-continuous-at-1*:

```

assumes prenorm: group-prenorm N and
  continuous-at-1:  $\forall \varepsilon > 0. \exists U. \text{neighborhood } \mathbf{1} (U) \wedge (\forall \sigma \in U. N \sigma < \varepsilon)$ 
shows continuous-map T euclideanreal N
⟨proof⟩

```

### 2.5.2 A prenorm respecting the group topology

**context**

```

fixes U :: nat  $\Rightarrow$  'g set
assumes U-neighborhood:  $\forall n. \text{neighborhood } \mathbf{1} (U n)$ 
assumes U-props:  $\forall n. \text{symmetric } (U n) \wedge (U (n + 1)) < \#> (U (n + 1)) \subseteq (U n)$ 
begin

```

```

private fun V :: nat  $\Rightarrow$  nat  $\Rightarrow$  'g set where
V m n = (
  if m = 0 then {} else
  if m = 1 then U n else
  if m > 2^n then carrier G else
  if even m then V (m div 2) (n - 1) else
  V ((m - 1) div 2) (n - 1) < #> U n
)

```

```

private lemma U-in-group: U k  $\subseteq$  carrier G ⟨proof⟩ lemma V-in-group:
shows V m n  $\subseteq$  carrier G
⟨proof⟩ lemma V-mult:
shows m  $\geq$  1  $\implies$  V m n < #> U n  $\subseteq$  V (m + 1) n
⟨proof⟩ lemma V-mono:
assumes smaller: (real m1) / 2^n1  $\leq$  (real m2) / 2^n2 and not-zero: m1  $\geq$  1  $\wedge$  m2  $\geq$  1
shows V m1 n1  $\subseteq$  V m2 n2
⟨proof⟩ lemma approx-number-by-multiples:
assumes hx: x  $\geq$  0 and hc: c > 0
shows  $\exists k :: \text{nat} \geq 1. (\text{real } (k-1))/c \leq x \wedge x < (\text{real } k)/c$ 
⟨proof⟩

```

```

lemma construction-of-prenorm-respecting-topology:
shows  $\exists N. \text{group-prenorm } N \wedge$ 
   $(\forall n. \{\sigma \in \text{carrier } G. N \sigma < 1/2^n\} \subseteq U n) \wedge$ 
   $(\forall n. U n \subseteq \{\sigma \in \text{carrier } G. N \sigma \leq 2/2^n\})$ 
⟨proof⟩
end

```

### 2.5.3 Proof of Birkhoff-Kakutani

```

lemma first-countable-neighborhoods-of-1-sequence:
assumes first-countable T
shows  $\exists U :: \text{nat} \Rightarrow 'g \text{ set.}$ 
   $(\forall n. \text{neighborhood } \mathbf{1} (U n) \wedge \text{symmetric } (U n) \wedge U (n + 1) < \#> U (n + 1) \subseteq U n) \wedge$ 

```

$(\forall W. \text{neighborhood } \mathbf{1} \ W \longrightarrow (\exists n. U n \subseteq W))$   
 $\langle proof \rangle$

**definition** *left-invariant-metric*  $\Delta \longleftrightarrow \text{Metric-space}(\text{carrier } G) \Delta \wedge$   
 $(\forall \sigma \tau \varrho. \sigma \in \text{carrier } G \wedge \tau \in \text{carrier } G \wedge \varrho \in \text{carrier } G \longrightarrow \Delta(\varrho \otimes \sigma)(\varrho \otimes \tau)$   
 $= \Delta \sigma \tau)$

**definition** *right-invariant-metric*  $\Delta \longleftrightarrow \text{Metric-space}(\text{carrier } G) \Delta \wedge$   
 $(\forall \sigma \tau \varrho. \sigma \in \text{carrier } G \wedge \tau \in \text{carrier } G \wedge \varrho \in \text{carrier } G \longrightarrow \Delta(\sigma \otimes \varrho)(\tau \otimes \varrho)$   
 $= \Delta \sigma \tau)$

**lemma** *left-invariant-metricE*:  
**assumes** *left-invariant-metric*  $\Delta \sigma \in \text{carrier } G \tau \in \text{carrier } G \varrho \in \text{carrier } G$   
**shows**  $\Delta(\varrho \otimes \sigma)(\varrho \otimes \tau) = \Delta \sigma \tau$   
 $\langle proof \rangle$

**lemma** *right-invariant-metricE*:  
**assumes** *right-invariant-metric*  $\Delta \sigma \in \text{carrier } G \tau \in \text{carrier } G \varrho \in \text{carrier } G$   
**shows**  $\Delta(\sigma \otimes \varrho)(\tau \otimes \varrho) = \Delta \sigma \tau$   
 $\langle proof \rangle$

**theorem** *Birkhoff-Kakutani-left*:  
**assumes** Hausdorff: Hausdorff-space  $T$  **and** first-countable: first-countable  $T$   
**shows**  $\exists \Delta. \text{left-invariant-metric } \Delta \wedge \text{Metric-space.mtopology}(\text{carrier } G) \Delta = T$   
 $\langle proof \rangle$

**theorem** *Birkhoff-Kakutani-right*:  
**assumes** Hausdorff: Hausdorff-space  $T$  **and** first-countable: first-countable  $T$   
**shows**  $\exists \Delta. \text{right-invariant-metric } \Delta \wedge \text{Metric-space.mtopology}(\text{carrier } G) \Delta = T$   
 $\langle proof \rangle$

**corollary** *Birkhoff-Kakutani-iff*:  
**shows** metrizable-space  $T \longleftrightarrow \text{Hausdorff-space } T \wedge \text{first-countable } T$   
 $\langle proof \rangle$

end

end

### 3 Examples of Topological Groups

**theory** *Topological-Group-Examples*  
**imports** *Topological-Group*  
**begin**

**Summary** This section gives examples of topological groups.

**lemma** (*in group*) *discrete-topological-group*:

```

shows topological-group G (discrete-topology (carrier G))
⟨proof⟩

lemma topological-group-real-power-space:
defines R :: (realn) monoid ≡ (carrier = UNIV, mult = (+), one = 0)
defines T :: (realn) topology ≡ euclidean
shows topological-group R T
⟨proof⟩

definition unit-group :: ('a :: field) monoid where
unit-group = (carrier = UNIV - {0}, mult = (*), one = 1)

lemma
group-unit-group: group unit-group and
inv-unit-group: x ∈ carrier unit-group ⇒ invunit-group x = inverse x
⟨proof⟩

lemma topological-group-real-unit-group:
defines T :: real topology ≡ subtopology euclidean (UNIV - {0})
shows topological-group unit-group T
⟨proof⟩

end

```

## 4 Matrix groups

```

theory Matrix-Group
imports
  Topological-Group
  Topological-Group-Examples
  HOL-Analysis.Determinants
begin

```

**Summary** In this section we define the general linear group and some of its subgroups. We also introduce topologies on vector types and use them to prove the aforementioned groups to be topological groups.

### 4.1 Topologies on vector types

```

definition vec-topology :: 'a topology ⇒ ('an) topology where
vec-topology T = quot-topology (product-topology (λi. T) UNIV) vec-lambda

lemma producttop-vectop-homeo:
shows homeomorphic-map (product-topology (λi. T) UNIV) (vec-topology T)
vec-lambda
⟨proof⟩

lemma homeo-inverse-homeo:

```

```

assumes homeo: homeomorphic-map X Y f and fg-id:  $\forall y \in \text{topspace } Y. f(g y) = y$  and
g-image:  $\forall y \in \text{topspace } Y. g y \in \text{topspace } X$ 
shows homeomorphic-map Y X g
⟨proof⟩

lemma vectop-producttop-homeo:
shows homeomorphic-map (vec-topology T) (product-topology (λi. T) UNIV)
vec-nth
⟨proof⟩

lemma vec-topology-euclidean [simp]:
defines T :: ('a :: topological-space) topology ≡ euclidean
defines T_vec :: ('a ^'n) topology ≡ euclidean
shows vec-topology T = T_vec
⟨proof⟩

lemma vec-projection-continuous:
shows continuous-map (vec-topology T) T (λv. v\$i)
⟨proof⟩

lemma vec-components-continuous-imp-continuous:
fixes f :: 'x ⇒ 'a ^'n
assumes ∀ i. continuous-map X T (λx. (f x) \$ i)
shows continuous-map X (vec-topology T) f
⟨proof⟩

definition matrix-topology :: 'a topology ⇒ ('a ^'n ^'m) topology where
matrix-topology T = vec-topology (vec-topology T)

lemma matrix-topology-euclidean[simp]:
shows matrix-topology euclidean = euclidean
⟨proof⟩

lemma matrix-projection-continuous:
shows continuous-map (matrix-topology T) T (λA. A\$i\$j)
⟨proof⟩

lemma matrix-components-continuous-imp-continuous:
fixes f :: 'x ⇒ 'a ^'n ^'m
assumes ∀ i j. continuous-map X T (λx. (f x) \$ i \$ j)
shows continuous-map X (matrix-topology T) f
⟨proof⟩

```

## 4.2 The general linear group as a topological group

```

definition GL :: (('a :: field) ^'n ^'n) monoid where
GL = (carrier = {A. invertible A}, monoid.mult = (**), one = mat 1)

```

**definition**  $GL\text{-topology} :: (\text{real}^n \times \text{real}^n) \text{ topology}$  **where**  
 $GL\text{-topology} = \text{subtopology euclidean } (\text{carrier } GL)$

**lemma**  $\text{topspace-GL: topspace } GL\text{-topology} = \{A. \text{ invertible } A\}$   
 $\langle \text{proof} \rangle$

#### 4.2.1 Continuity of matrix operations

**lemma**  $\det\text{-continuous}:$

**defines**  $T :: (\text{real}^n \times \text{real}^n) \text{ topology} \equiv \text{euclidean}$   
**shows**  $\text{continuous-map } T \text{ euclidean real det}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{matrix-mul-continuous}:$

**defines**  $T1 :: (\text{real}^n \times \text{real}^m) \text{ topology} \equiv \text{euclidean}$   
**defines**  $T2 :: (\text{real}^r \times \text{real}^n) \text{ topology} \equiv \text{euclidean}$   
**defines**  $T3 :: (\text{real}^r \times \text{real}^m) \text{ topology} \equiv \text{euclidean}$   
**shows**  $\text{continuous-map } (\text{prod-topology } T1 \text{ } T2) \text{ } T3 \text{ } (\lambda(A,B). A ** B)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{transpose-continuous}:$

**shows**  $\text{continuous-map } (\text{euclidean} :: (('a :: \text{topological-space})^n \times m) \text{ topology})$   
 $\text{euclidean transpose}$   
 $\langle \text{proof} \rangle$

#### 4.2.2 Continuity of matrix inversion

**lemma**  $\text{matrix-mul-columns}:$

**fixes**  $A :: ('a :: \text{semiring-1})^n \times m$  **and**  $B :: 'a^k \times n$   
**shows**  $\text{column } j (A ** B) = A *v (\text{column } j B)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{matrix-columns-unique}:$

**assumes**  $\forall j. \text{column } j A = \text{column } j B$   
**shows**  $A = B$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{matrix-inv-is-inv}:$

**assumes**  $\text{invertible } A$   
**shows**  $A ** (\text{matrix-inv } A) = \text{mat 1}$  **and**  $(\text{matrix-inv } A) ** A = \text{mat 1}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{invertible-imp-right-inverse-is-inverse}:$

**assumes**  $\text{invertible: invertible } A \text{ and } A ** B = \text{mat 1}$   
**shows**  $\text{matrix-inv } A = B$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{matrix-inv-invertible}:$

**assumes**  $\text{invertible } A$   
**shows**  $\text{invertible } (\text{matrix-inv } A)$

$\langle proof \rangle$

```
lemma det-inv:  
  fixes A :: ('a :: field) ^n ^n  
  assumes det A ≠ 0  
  shows det (matrix-inv A) = 1 / det A  
 $\langle proof \rangle$ 
```

See proposition "cramer" from HOL-Analysis.Determinants

```
definition cramer-inv :: ('a :: field) ^n ^n ⇒ 'a ^n ^n where  
  cramer-inv A = (χ i j. det(χ k l. if l = i then (axis j 1) $ k else A\$k\$l) / det A)
```

```
lemma cramer-inv-is-inverse:  
  assumes invertible: invertible (A :: ('a :: field) ^n ^n)  
  shows matrix-inv A = cramer-inv A  
 $\langle proof \rangle$ 
```

```
lemma matrix-inv-continuous:  
  shows continuous-map (GL-topology :: (real ^n ^n) topology) GL-topology ma-  
trix-inv  
 $\langle proof \rangle$ 
```

#### 4.2.3 The general linear group is topological

```
lemma  
  GL-group: group GL and  
  GL-carrier [simp]: carrier GL = {A. invertible A} and  
  GL-inv [simp]: A ∈ carrier GL ⇒ inv_GL A = matrix-inv A  
 $\langle proof \rangle$ 
```

```
lemma  
  GL-topological-group: topological-group GL GL-topology and  
  GL-open: openin (euclidean :: (real ^n ^n) topology) (carrier GL)  
 $\langle proof \rangle$ 
```

### 4.3 Subgroups of the general linear group

```
definition SL :: (('a :: field) ^n ^n) monoid where  
  SL = GL (carrier := {A. det A = 1})
```

```
lemma det-homomorphism: group-hom GL unit-group det  
 $\langle proof \rangle$ 
```

```
lemma  
  SL-kernel-det: carrier (SL :: (('a :: field) ^n ^n) monoid) = kernel GL unit-group  
  det and  
  SL-subgroup: subgroup (carrier SL) (GL :: ('a ^n ^n) monoid) and  
  SL-carrier [simp]: carrier SL = {A. det A = 1}  
 $\langle proof \rangle$ 
```

**lemma**

*SL-topological-group: topological-group SL (subtopology GL-topology (carrier SL)) and*

*SL-closed: closedin GL-topology (carrier SL)*  
*(proof)*

**definition**  $GO :: (\text{real}^n \times \text{real}^n) \text{ monoid where}$

$GO = GL (\text{carrier} := \{A. \text{ orthogonal-matrix } A\})$

**lemma**

*GO-subgroup: subgroup  $\{A :: \text{real}^n \times \text{real}^n. \text{ orthogonal-matrix } A\} GL$  and*

*GO-carrier [simp]: carrier GO =  $\{A. \text{ orthogonal-matrix } A\}$*

*(proof)*

**lemma**

*GO-topological-group: topological-group GO (subtopology GL-topology (carrier GO)) and*

*GO-closed: closedin (GL-topology :: ( $\text{real}^n \times \text{real}^n$ ) topology) (carrier GO)*  
*(proof)*

**definition**  $SO :: (\text{real}^n \times \text{real}^n) \text{ monoid where}$

$SO = GL (\text{carrier} := \{A. \text{ orthogonal-matrix } A \wedge \det A = 1\})$

**lemma**

*SO-carrier [simp]: carrier SO =  $\{A. \text{ orthogonal-matrix } A \wedge \det A = 1\}$  and*

*SO-subgroup: subgroup  $\{A :: \text{real}^n \times \text{real}^n. \text{ orthogonal-matrix } A \wedge \det A = 1\} GL$*

*(proof)*

**lemma**

*SO-topological-group: topological-group SO (subtopology GL-topology (carrier SO)) and*

*SO-closed: closedin GL-topology (carrier SO)*  
*(proof)*

**end**

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