

# A Definitional Encoding of TLA in Isabelle/HOL

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## Abstract

We mechanise the logic TLA\* [8], an extension of Lamport’s Temporal Logic of Actions (TLA) [5] for specifying and reasoning about concurrent and reactive systems. Aiming at a framework for mechanising the verification of TLA (or TLA\*) specifications, this contribution reuses some elements from a previous axiomatic encoding of TLA in Isabelle/HOL by the second author [7], which has been part of the Isabelle distribution. In contrast to that previous work, we give here a shallow, definitional embedding, with the following highlights:

- a theory of infinite sequences, including a formalisation of the concepts of stuttering invariance central to TLA and TLA\*;
- a definition of the semantics of TLA\*, which extends TLA by a mutually-recursive definition of formulas and pre-formulas, generalising TLA action formulas;
- a substantial set of derived proof rules, including the TLA\* axioms and Lamport’s proof rules for system verification;
- a set of examples illustrating the usage of Isabelle/TLA\* for reasoning about systems.

Note that this work is unrelated to the ongoing development of a proof system for the specification language TLA+, which includes an encoding of TLA+ as a new Isabelle object logic [1].

A previous version of this embedding has been used heavily in the work described in [4].

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## 1 (Infinite) Sequences

```

theory Sequence
imports Main
begin

```

Lamport's Temporal Logic of Actions (TLA) is a linear-time temporal logic, and its semantics is defined over infinite sequence of states, which we simply represent by the type *'a seq*, defined as an abbreviation for the type  $nat \Rightarrow 'a$ , where *'a* is the type of sequence elements.

This theory defines some useful notions about such sequences, and in particular concepts related to stuttering (finite repetitions of states), which are important for the semantics of TLA. We identify a finite sequence with an infinite sequence that ends in infinite stuttering. In this way, we avoid the complications of having to handle both finite and infinite sequences of states: see e.g. Devillers et al [2] who discuss several variants of representing possibly infinite sequences in HOL, Isabelle and PVS.

```

type-synonym 'a seq = nat  $\Rightarrow$  'a

```

### 1.1 Some operators on sequences

Some general functions on sequences are provided

```

definition first :: 'a seq  $\Rightarrow$  'a
where first s  $\equiv$  s 0

```

```

definition second :: ('a seq)  $\Rightarrow$  'a
where second s  $\equiv$  s 1

```

**definition** *suffix* :: 'a seq ⇒ nat ⇒ 'a seq (**infixl** |<sub>s</sub> 60)  
**where**  $s \text{ |}_s i \equiv \lambda n. s (n+i)$

**definition** *tail* :: 'a seq ⇒ 'a seq  
**where**  $\text{tail } s \equiv s \text{ |}_s 1$

**definition**  
*app* :: 'a ⇒ ('a seq) ⇒ ('a seq) (**infixl** ## 60)  
**where**  
 $s \text{ ## } \sigma \equiv \lambda n. \text{if } n=0 \text{ then } s \text{ else } \sigma (n - 1)$

$s \text{ |}_s i$  returns the suffix of sequence  $s$  from index  $i$ . *first* returns the first element of a sequence while *second* returns the second element. *tail* returns the sequence starting at the second element.  $s \text{ ## } \sigma$  prefixes the sequence  $\sigma$  by element  $s$ .

### 1.1.1 Properties of *first* and *second*

**lemma** *first-tail-second*:  $\text{first}(\text{tail } s) = \text{second } s$   
**by** (*simp add: first-def second-def tail-def suffix-def*)

### 1.1.2 Properties of ( $\text{|}_s$ )

**lemma** *suffix-first*:  $\text{first } (s \text{ |}_s n) = s \ n$   
**by** (*auto simp add: suffix-def first-def*)

**lemma** *suffix-second*:  $\text{second } (s \text{ |}_s n) = s \ (\text{Suc } n)$   
**by** (*auto simp add: suffix-def second-def*)

**lemma** *suffix-plus*:  $s \text{ |}_s n \text{ |}_s m = s \text{ |}_s (m + n)$   
**by** (*simp add: suffix-def add.assoc*)

**lemma** *suffix-commute*:  $((s \text{ |}_s n) \text{ |}_s m) = ((s \text{ |}_s m) \text{ |}_s n)$   
**by** (*simp add: suffix-plus add.commute*)

**lemma** *suffix-plus-com*:  $s \text{ |}_s m \text{ |}_s n = s \text{ |}_s (m + n)$

**proof** –

**have**  $s \text{ |}_s n \text{ |}_s m = s \text{ |}_s (m + n)$  **by** (*rule suffix-plus*)

**thus**  $s \text{ |}_s m \text{ |}_s n = s \text{ |}_s (m + n)$  **by** (*simp add: suffix-commute*)

**qed**

**lemma** *suffix-zero*[*simp*]:  $s \text{ |}_s 0 = s$   
**by** (*simp add: suffix-def*)

**lemma** *suffix-tail*:  $s \text{ |}_s 1 = \text{tail } s$   
**by** (*simp add: tail-def*)

**lemma** *tail-suffix-suc*:  $s \text{ |}_s (\text{Suc } n) = \text{tail } (s \text{ |}_s n)$   
**by** (*simp add: suffix-def tail-def*)

### 1.1.3 Properties of ( $\#\#$ )

**lemma** *seq-app-second*:  $(s \#\# \sigma) 1 = \sigma 0$   
**by** (*simp add: app-def*)

**lemma** *seq-app-first*:  $(s \#\# \sigma) 0 = s$   
**by** (*simp add: app-def*)

**lemma** *seq-app-first-tail*:  $(\text{first } s) \#\# (\text{tail } s) = s$

**proof** (*rule ext*)

**fix**  $x$

**show**  $(\text{first } s \#\# \text{tail } s) x = s x$

**by** (*simp add: first-def app-def suffix-def tail-def*)

**qed**

**lemma** *seq-app-tail*:  $\text{tail } (x \#\# s) = s$   
**by** (*simp add: app-def tail-def suffix-def*)

**lemma** *seq-app-greater-than-zero*:  $n > 0 \implies (s \#\# \sigma) n = \sigma (n - 1)$   
**by** (*simp add: app-def*)

## 1.2 Finite and Empty Sequences

We identify finite and empty sequences and prove lemmas about them.

**definition** *fin* ::  $('a \text{ seq}) \Rightarrow \text{bool}$   
**where**  $\text{fin } s \equiv \exists i. \forall j \geq i. s j = s i$

**abbreviation** *inf* ::  $('a \text{ seq}) \Rightarrow \text{bool}$   
**where**  $\text{inf } s \equiv \neg(\text{fin } s)$

**definition** *last* ::  $('a \text{ seq}) \Rightarrow \text{nat}$   
**where**  $\text{last } s \equiv \text{LEAST } i. (\forall j \geq i. s j = s i)$

**definition** *laststate* ::  $('a \text{ seq}) \Rightarrow 'a$   
**where**  $\text{laststate } s \equiv s (\text{last } s)$

**definition** *emptyseq* ::  $('a \text{ seq}) \Rightarrow \text{bool}$   
**where**  $\text{emptyseq} \equiv \lambda s. \forall i. s i = s 0$

**abbreviation** *notemptyseq* ::  $('a \text{ seq}) \Rightarrow \text{bool}$   
**where**  $\text{notemptyseq } s \equiv \neg(\text{emptyseq } s)$

Predicate *fin* holds if there is an element in the sequence such that all subsequent elements are identical, i.e. the sequence is finite. *Sequence.last s* returns the smallest index from which on all elements of a finite sequence *s* are identical. Note that if *s* is not finite then an arbitrary number is returned. *laststate* returns the last element of a finite sequence. We assume that the sequence is finite when using *Sequence.last* and *laststate*. Predicate *emptyseq* identifies empty sequences – i.e. all states in the sequence are

identical to the initial one, while *notemptyseq* holds if the given sequence is not empty.

### 1.2.1 Properties of *emptyseq*

**lemma** *empty-is-finite*: **assumes** *emptyseq s* **shows** *fin s*  
**using** *assms* **by** (*auto simp: fin-def emptyseq-def*)

**lemma** *empty-suffix-is-empty*: **assumes** *H: emptyseq s* **shows** *emptyseq (s |<sub>s</sub> n)*  
**proof** (*clarsimp simp: emptyseq-def*)  
**fix** *i*  
**from** *H* **have**  $(s |_s n) i = s 0$  **by** (*simp add: emptyseq-def suffix-def*)  
**moreover**  
**from** *H* **have**  $(s |_s n) 0 = s 0$  **by** (*simp add: emptyseq-def suffix-def*)  
**ultimately**  
**show**  $(s |_s n) i = (s |_s n) 0$  **by** *simp*  
**qed**

**lemma** *suc-empty*: **assumes** *H1: emptyseq (s |<sub>s</sub> m)* **shows** *emptyseq (s |<sub>s</sub> (Suc m))*  
**proof** –  
**from** *H1* **have** *emptyseq ((s |<sub>s</sub> m) |<sub>s</sub> 1)* **by** (*rule empty-suffix-is-empty*)  
**thus** *?thesis* **by** (*simp add: suffix-plus*)  
**qed**

**lemma** *empty-suffix-exteq*: **assumes** *H: emptyseq s* **shows**  $(s |_s n) m = s m$   
**proof** (*unfold suffix-def*)  
**from** *H* **have**  $s (m+n) = s 0$  **by** (*simp add: emptyseq-def*)  
**moreover**  
**from** *H* **have**  $s m = s 0$  **by** (*simp add: emptyseq-def*)  
**ultimately show**  $s (m + n) = s m$  **by** *simp*  
**qed**

**lemma** *empty-suffix-eq*: **assumes** *H: emptyseq s* **shows**  $(s |_s n) = s$   
**proof** (*rule ext*)  
**fix** *m*  
**from** *H* **show**  $(s |_s n) m = s m$  **by** (*rule empty-suffix-exteq*)  
**qed**

**lemma** *seq-empty-all*: **assumes** *H: emptyseq s* **shows**  $s i = s j$   
**proof** –  
**from** *H* **have**  $s i = s 0$  **by** (*simp add: emptyseq-def*)  
**moreover**  
**from** *H* **have**  $s j = s 0$  **by** (*simp add: emptyseq-def*)  
**ultimately**  
**show** *?thesis* **by** *simp*  
**qed**

## 1.2.2 Properties of *Sequence.last* and *laststate*

**lemma** *fin-stut-after-last*: **assumes**  $H$ : *fin s* **shows**  $\forall j \geq \text{last } s. s\ j = s\ (\text{last } s)$

**proof** (*clarify*)

**fix**  $j$

**assume**  $j: j \geq \text{last } s$

**from**  $H$  **obtain**  $i$  **where**  $\forall j \geq i. s\ j = s\ i$  (**is**  $?P\ i$ ) **by** (*auto simp: fin-def*)

**hence**  $?P\ (\text{last } s)$  **unfolding** *last-def* **by** (*rule LeastI*)

**with**  $j$  **show**  $s\ j = s\ (\text{last } s)$  **by** *blast*

**qed**

## 1.3 Stuttering Invariance

This subsection provides functions for removing stuttering steps of sequences, i.e. we formalise Lamports  $\natural$  operator. Our formal definition is close to that of Wahab in the PVS prover.

The key novelty with the *Sequence* theory, is the treatment of stuttering invariance, which enables verification of stuttering invariance of the operators derived using it. Such proofs require comparing sequences up to stuttering. Here, Lamport's [5] method is used to mechanise the equality of sequences up to stuttering: he defines the  $\natural$  operator, which collapses a sequence by removing all stuttering steps, except possibly infinite stuttering at the end of the sequence. These are left unchanged.

**definition** *nonstutseq* ::  $('a\ seq) \Rightarrow bool$

**where** *nonstutseq*  $s \equiv \forall i. s\ i = s\ (Suc\ i) \longrightarrow (\forall j > i. s\ i = s\ j)$

**definition** *stutstep* ::  $('a\ seq) \Rightarrow nat \Rightarrow bool$

**where** *stutstep*  $s\ n \equiv (s\ n = s\ (Suc\ n))$

**definition** *nextnat* ::  $('a\ seq) \Rightarrow nat$

**where** *nextnat*  $s \equiv \text{if emptyseq } s \text{ then } 0 \text{ else LEAST } i. s\ i \neq s\ 0$

**definition** *nextsuffix* ::  $('a\ seq) \Rightarrow ('a\ seq)$

**where** *nextsuffix*  $s \equiv s \upharpoonright_s (\text{nextnat } s)$

**fun** *next* ::  $nat \Rightarrow ('a\ seq) \Rightarrow ('a\ seq)$  **where**

*next*  $0 = id$

| *next*  $(Suc\ n) = \text{nextsuffix } o\ (\text{next } n)$

**definition** *collapse* ::  $('a\ seq) \Rightarrow ('a\ seq)$  ( $\natural$ )

**where**  $\natural\ s \equiv \lambda n. (\text{next } n\ s)\ 0$

Predicate *nonstutseq* identifies sequences without any stuttering steps – except possibly for infinite stuttering at the end. Further, *stutstep*  $s\ n$  is a predicate which holds if the element after  $s\ n$  is equal to  $s\ n$ , i.e. *Suc*  $n$  is a stuttering step.  $\natural\ s$  formalises Lamports  $\natural$  operator. It returns the first state of the result of *next*  $n\ s$ . *next*  $n\ s$  finds suffix of the  $n^{\text{th}}$  change. Hence

the first element, which  $\natural s$  returns, is the state after the  $n^{\text{th}}$  change.  $\text{next } n$   $s$  is defined by primitive recursion on  $n$  using function composition of function  $\text{nextsuffix}$ . E.g.  $\text{next } 3$   $s$  equals  $\text{nextsuffix} (\text{nextsuffix} (\text{nextsuffix } s))$ .  $\text{nextsuffix } s$  returns the suffix of the sequence starting at the next changing state. It uses  $\text{nextnat}$  to obtain this. All the real computation is done in this function. Firstly, an empty sequence will obviously not contain any changes, and  $0$  is therefore returned. In this case  $\text{nextsuffix}$  behaves like the identify function. If the sequence is not empty then the smallest number  $i$  such that  $s \ i$  is different from the initial state is returned. This is achieved by *Least*.

### 1.3.1 Properties of $\text{nonstutseq}$

**lemma** *seq-empty-is-nonstut*:

**assumes**  $H$ :  $\text{emptyseq } s$  **shows**  $\text{nonstutseq } s$   
**using**  $H$  **by** (*auto simp: nonstutseq-def seq-empty-all*)

**lemma** *notempty-exist-nonstut*:

**assumes**  $H$ :  $\neg \text{emptyseq } (s \mid_s m)$  **shows**  $\exists i. s \ i \neq s \ m \wedge i > m$   
**using**  $H$  **proof** (*auto simp: emptyseq-def suffix-def*)  
**fix**  $i$   
**assume**  $i: s \ (i + m) \neq s \ m$   
**hence**  $i \neq 0$  **by** (*intro notI, simp*)  
**with**  $i$  **show** *?thesis* **by** *auto*  
**qed**

### 1.3.2 Properties of $\text{nextnat}$

**lemma** *nextnat-le-unch*: **assumes**  $H$ :  $n < \text{nextnat } s$  **shows**  $s \ n = s \ 0$

**proof** (*cases emptyseq s*)

**assume**  $\text{emptyseq } s$   
**hence**  $\text{nextnat } s = 0$  **by** (*simp add: nextnat-def*)  
**with**  $H$  **show** *?thesis* **by** *auto*

**next**

**assume**  $\neg \text{emptyseq } s$   
**hence**  $a1: \text{nextnat } s = (\text{LEAST } i. s \ i \neq s \ 0)$  **by** (*simp add: nextnat-def*)  
**show** *?thesis*  
**proof** (*rule ccontr*)  
**assume**  $a2: s \ n \neq s \ 0$  (**is** *?P n*)  
**hence**  $(\text{LEAST } i. s \ i \neq s \ 0) \leq n$  **by** (*rule Least-le*)  
**hence**  $\neg(n < (\text{LEAST } i. s \ i \neq s \ 0))$  **by** *auto*  
**also from**  $H \ a1$  **have**  $n < (\text{LEAST } i. s \ i \neq s \ 0)$  **by** *simp*  
**ultimately show** *False* **by** *auto*

**qed**

**qed**

**lemma** *stutnempty*:

**assumes**  $H$ :  $\neg \text{stutstep } s \ n$  **shows**  $\neg \text{emptyseq } (s \mid_s n)$   
**proof** (*unfold emptyseq-def suffix-def*)



**from**  $H$  **have**  $s (Suc\ n) \neq s\ n$  **by**  $(auto\ simp\ add:\ stutstep-def)$   
**hence**  $s (1+n) \neq s (0+n)$  **by**  $simp$   
**thus**  $\neg(\forall\ i.\ s\ (i+n) = s\ (0+n))$  **by**  $blast$   
**qed**

**lemma** *notstutstep-nexnat1*:

**assumes**  $H$ :  $\neg\ stutstep\ s\ n$  **shows**  $nexnat\ (s\ |_{s}\ n) = 1$

**proof** –

**from**  $H$  **have**  $h'$ :  $nexnat\ (s\ |_{s}\ n) = (LEAST\ i.\ (s\ |_{s}\ n)\ i \neq (s\ |_{s}\ n)\ 0)$   
**by**  $(auto\ simp\ add:\ nexnat-def\ stutnempty)$

**from**  $H$  **have**  $s (Suc\ n) \neq s\ n$  **by**  $(auto\ simp\ add:\ stutstep-def)$

**hence**  $(s\ |_{s}\ n)\ 1 \neq (s\ |_{s}\ n)\ 0$  **(is**  $?P\ 1)$  **by**  $(auto\ simp\ add:\ suffix-def)$

**hence**  $Least\ ?P \leq 1$  **by**  $(rule\ Least-le)$

**hence**  $g1$ :  $Least\ ?P = 0 \vee Least\ ?P = 1$  **by**  $auto$

**with**  $h'$  **have**  $g1'$ :  $nexnat\ (s\ |_{s}\ n) = 0 \vee nexnat\ (s\ |_{s}\ n) = 1$  **by**  $auto$

**also** **have**  $nexnat\ (s\ |_{s}\ n) \neq 0$

**proof** –

**from**  $H$  **have**  $\neg\ emptyseq\ (s\ |_{s}\ n)$  **by**  $(rule\ stutnempty)$

**then** **obtain**  $i$  **where**  $(s\ |_{s}\ n)\ i \neq (s\ |_{s}\ n)\ 0$  **by**  $(auto\ simp\ add:\ emptyseq-def)$

**hence**  $(s\ |_{s}\ n)\ (LEAST\ i.\ (s\ |_{s}\ n)\ i \neq (s\ |_{s}\ n)\ 0) \neq (s\ |_{s}\ n)\ 0$  **by**  $(rule\ LeastI)$

**with**  $h'$  **have**  $g2$ :  $(s\ |_{s}\ n)\ (nexnat\ (s\ |_{s}\ n)) \neq (s\ |_{s}\ n)\ 0$  **by**  $auto$

**show**  $(nexnat\ (s\ |_{s}\ n)) \neq 0$

**proof**

**assume**  $(nexnat\ (s\ |_{s}\ n)) = 0$

**with**  $g2$  **show**  $False$  **by**  $simp$

**qed**

**qed**

**ultimately** **show**  $nexnat\ (s\ |_{s}\ n) = 1$  **by**  $auto$

**qed**

**lemma** *stutstep-notempty-notempty*:

**assumes**  $h1$ :  $emptyseq\ (s\ |_{s}\ Suc\ n)$  **(is**  $emptyseq\ ?sn)$

**and**  $h2$ :  $stutstep\ s\ n$

**shows**  $emptyseq\ (s\ |_{s}\ n)$  **(is**  $emptyseq\ ?s)$

**proof**  $(auto\ simp:\ emptyseq-def)$

**fix**  $k$

**show**  $?s\ k = ?s\ 0$

**proof**  $(cases\ k)$

**assume**  $k = 0$  **thus**  $?thesis$  **by**  $simp$

**next**

**fix**  $m$

**assume**  $k$ :  $k = Suc\ m$

**hence**  $?s\ k = ?sn\ m$  **by**  $(simp\ add:\ suffix-def)$

**also** **from**  $h1$  **have**  $\dots = ?sn\ 0$  **by**  $(simp\ add:\ emptyseq-def)$

**also** **from**  $h2$  **have**  $\dots = s\ n$  **by**  $(simp\ add:\ suffix-def\ stutstep-def)$

**finally** **show**  $?thesis$  **by**  $(simp\ add:\ suffix-def)$

**qed**

**qed**

**lemma** *stutstep-empty-suc*:

**assumes** *stutstep s n*

**shows**  $\text{emptyseq } (s \mid_s \text{Suc } n) = \text{emptyseq } (s \mid_s n)$

**using** *assms* **by** (*auto elim: stutstep-notempty-notempty suc-empty*)

**lemma** *stutstep-notempty-sucnextnat*:

**assumes** *h1:  $\neg \text{emptyseq } (s \mid_s n)$*  **and** *h2: stutstep s n*

**shows**  $(\text{nextnat } (s \mid_s n)) = \text{Suc } (\text{nextnat } (s \mid_s (\text{Suc } n)))$

**proof** –

**from** *h2* **have** *g1:  $\neg (s (0+n) \neq s (\text{Suc } n))$*  (**is**  $\neg ?P 0$ ) **by** (*auto simp add: stutstep-def*)

**from** *h1* **obtain** *i* **where**  $s (i+n) \neq s n$  **by** (*auto simp: emptyseq-def suffix-def*)

**with** *h2* **have** *g2:  $s (i+n) \neq s (\text{Suc } n)$*  (**is**  $?P i$ ) **by** (*simp add: stutstep-def*)

**from** *g2 g1* **have**  $(\text{LEAST } n. ?P n) = \text{Suc } (\text{LEAST } n. ?P (\text{Suc } n))$  **by** (*rule Least-Suc*)

**from** *g2 g1* **have**  $(\text{LEAST } i. s (i+n) \neq s (\text{Suc } n)) = \text{Suc } (\text{LEAST } i. s ((\text{Suc } i)+n) \neq s (\text{Suc } n))$

**by** (*rule Least-Suc*)

**hence** *G1:  $(\text{LEAST } i. s (i+n) \neq s (\text{Suc } n)) = \text{Suc } (\text{LEAST } i. s (i+\text{Suc } n) \neq s (\text{Suc } n))$*  **by** *auto*

**from** *h1 h2* **have**  $\neg \text{emptyseq } (s \mid_s \text{Suc } n)$  **by** (*simp add: stutstep-empty-suc*)

**hence**  $\text{nextnat } (s \mid_s \text{Suc } n) = (\text{LEAST } i. (s \mid_s \text{Suc } n) i \neq (s \mid_s \text{Suc } n) 0)$

**by** (*auto simp add: nextnat-def*)

**hence** *g1:  $\text{nextnat } (s \mid_s \text{Suc } n) = (\text{LEAST } i. s (i+(\text{Suc } n)) \neq s (\text{Suc } n))$*

**by** (*simp add: suffix-def*)

**from** *h1* **have**  $\text{nextnat } (s \mid_s n) = (\text{LEAST } i. (s \mid_s n) i \neq (s \mid_s n) 0)$

**by** (*auto simp add: nextnat-def*)

**hence** *g2:  $\text{nextnat } (s \mid_s n) = (\text{LEAST } i. s (i+n) \neq s n)$*  **by** (*simp add: suffix-def*)

**with** *h2* **have** *g2':  $\text{nextnat } (s \mid_s n) = (\text{LEAST } i. s (i+n) \neq s (\text{Suc } n))$*

**by** (*auto simp add: stutstep-def*)

**from** *G1 g1 g2'* **show** *?thesis* **by** *auto*

**qed**

**lemma** *nextnat-empty-neq*: **assumes** *H:  $\neg \text{emptyseq } s$*  **shows**  $s (\text{nextnat } s) \neq s 0$

**proof** –

**from** *H* **have** *a1:  $\text{nextnat } s = (\text{LEAST } i. s i \neq s 0)$*  **by** (*simp add: nextnat-def*)

**from** *H* **obtain** *i* **where**  $s i \neq s 0$  **by** (*auto simp: emptyseq-def*)

**hence**  $s (\text{LEAST } i. s i \neq s 0) \neq s 0$  **by** (*rule LeastI*)

**with** *a1* **show** *?thesis* **by** *auto*

**qed**

**lemma** *nextnat-empty-gzero*: **assumes** *H:  $\neg \text{emptyseq } s$*  **shows**  $\text{nextnat } s > 0$

**proof** –

**from** *H* **have** *a1:  $s (\text{nextnat } s) \neq s 0$*  **by** (*rule nextnat-empty-neq*)

**have**  $\text{nextnat } s \neq 0$

**proof**

**assume**  $\text{nextnat } s = 0$

**with** *a1* **show** *False* **by** *simp*

**qed**

thus  $\text{nextnat } s > 0$  by *simp*  
 qed

### 1.3.3 Properties of *nextsuffix*

**lemma** *empty-nextsuffix*:  
 assumes  $H$ : *emptyseq*  $s$  shows  $\text{nextsuffix } s = s$   
 using  $H$  by (*simp add: nextsuffix-def nextnat-def*)

**lemma** *empty-nextsuffix-id*:  
 assumes  $H$ : *emptyseq*  $s$  shows  $\text{nextsuffix } s = \text{id } s$   
 using  $H$  by (*simp add: empty-nextsuffix*)

**lemma** *notstutstep-nextsuffix1*:  
 assumes  $H$ :  $\neg \text{stutstep } s \ n$  shows  $\text{nextsuffix } (s \mid_s n) = s \mid_s (\text{Suc } n)$   
**proof** (*unfold nextsuffix-def*)  
 show  $(s \mid_s n \mid_s (\text{nextnat } (s \mid_s n))) = s \mid_s (\text{Suc } n)$   
**proof** –  
 from  $H$  have  $\text{nextnat } (s \mid_s n) = 1$  by (*rule notstutstep-nextnat1*)  
 hence  $(s \mid_s n \mid_s (\text{nextnat } (s \mid_s n))) = s \mid_s n \mid_s 1$  by *auto*  
 thus *thesis* by (*simp add: suffix-def*)  
 qed  
 qed

### 1.3.4 Properties of *next*

**lemma** *next-suc-suffix*:  $\text{next } (\text{Suc } n) \ s = \text{nextsuffix } (\text{next } n \ s)$   
 by *simp*

**lemma** *next-suffix-com*:  $\text{nextsuffix } (\text{next } n \ s) = (\text{next } n \ (\text{nextsuffix } s))$   
 by (*induct n, auto*)

**lemma** *next-plus*:  $\text{next } (m+n) \ s = \text{next } m \ (\text{next } n \ s)$   
 by (*induct m, auto*)

**lemma** *next-empty*: assumes  $H$ : *emptyseq*  $s$  shows  $\text{next } n \ s = s$   
**proof** (*induct n*)

from  $H$  show  $\text{next } 0 \ s = s$  by *auto*  
**next**  
 fix  $n$   
 assume  $a1$ :  $\text{next } n \ s = s$   
 have  $\text{next } (\text{Suc } n) \ s = \text{nextsuffix } (\text{next } n \ s)$  by *auto*  
 with  $a1$  have  $\text{next } (\text{Suc } n) \ s = \text{nextsuffix } s$  by *simp*  
 with  $H$  show  $\text{next } (\text{Suc } n) \ s = s$   
 by (*simp add: nextsuffix-def nextnat-def*)  
 qed

**lemma** *notempty-nextnotzero*:  
 assumes  $H$ :  $\neg \text{emptyseq } s$  shows  $(\text{next } (\text{Suc } 0) \ s) \ 0 \neq s \ 0$   
**proof** –

**from**  $H$  **have**  $g1: s \text{ (nextnat } s) \neq s \ 0$  **by** (rule nextnat-empty-neq)  
**have**  $\text{next } (\text{Suc } 0) \ s = \text{nextsuffix } s$  **by** auto  
**hence**  $(\text{next } (\text{Suc } 0) \ s) \ 0 = s \text{ (nextnat } s)$  **by** (simp add: nextsuffix-def suffix-def)  
**with**  $g1$  **show** ?thesis **by** simp  
**qed**

**lemma** next-ex-id:  $\exists i. s \ i = (\text{next } m \ s) \ 0$

**proof** –

**have**  $\exists i. (s \ |_s \ i) = (\text{next } m \ s)$

**proof** (induct  $m$ )

**have**  $s \ |_s \ 0 = \text{next } 0 \ s$  **by** simp

**thus**  $\exists i. (s \ |_s \ i) = (\text{next } 0 \ s)$  ..

**next**

**fix**  $m$

**assume**  $a1: \exists i. (s \ |_s \ i) = (\text{next } m \ s)$

**then obtain**  $i$  **where**  $a1': (s \ |_s \ i) = (\text{next } m \ s)$  ..

**have**  $\text{next } (\text{Suc } m) \ s = \text{nextsuffix } (\text{next } m \ s)$  **by** auto

**hence**  $\text{next } (\text{Suc } m) \ s = (\text{next } m \ s) \ |_s \ (\text{nextnat } (\text{next } m \ s))$  **by** (simp add: nextsuffix-def)

**hence**  $\exists i. \text{next } (\text{Suc } m) \ s = (\text{next } m \ s) \ |_s \ i$  ..

**then obtain**  $j$  **where**  $\text{next } (\text{Suc } m) \ s = (\text{next } m \ s) \ |_s \ j$  ..

**with**  $a1'$  **have**  $\text{next } (\text{Suc } m) \ s = (s \ |_s \ i) \ |_s \ j$  **by** simp

**hence**  $\text{next } (\text{Suc } m) \ s = (s \ |_s \ (j+i))$  **by** (simp add: suffix-plus)

**hence**  $(s \ |_s \ (j+i)) = \text{next } (\text{Suc } m) \ s$  **by** simp

**thus**  $\exists i. (s \ |_s \ i) = (\text{next } (\text{Suc } m) \ s)$  ..

**qed**

**then obtain**  $i$  **where**  $(s \ |_s \ i) = (\text{next } m \ s)$  ..

**hence**  $(s \ |_s \ i) \ 0 = (\text{next } m \ s) \ 0$  **by** auto

**hence**  $s \ i = (\text{next } m \ s) \ 0$  **by** (auto simp add: suffix-def)

**thus** ?thesis ..

**qed**

### 1.3.5 Properties of $\natural$

**lemma** emptyseq-collapse-eq: **assumes**  $A1: \text{emptyseq } s$  **shows**  $\natural \ s = s$

**proof** (unfold collapse-def, rule ext)

**fix**  $n$

**from**  $A1$  **have**  $\text{next } n \ s = s$  **by** (rule next-empty)

**moreover**

**from**  $A1$  **have**  $s \ n = s \ 0$  **by** (simp add: emptyseq-def)

**ultimately**

**show**  $(\text{next } n \ s) \ 0 = s \ n$  **by** simp

**qed**

**lemma** empty-collapse-empty:

**assumes**  $H: \text{emptyseq } s$  **shows**  $\text{emptyseq } (\natural \ s)$

**using**  $H$  **by** (simp add: emptyseq-collapse-eq)

**lemma** collapse-empty-empty:

**assumes**  $H$ : *emptyseq* ( $\dagger$   $s$ ) **shows** *emptyseq*  $s$   
**proof** (*rule ccontr*)  
**assume**  $a1$ :  $\neg$ *emptyseq*  $s$   
**from**  $H$  **have**  $\forall i. (\text{next } i \ s) \ 0 = s \ 0$  **by** (*simp add: collapse-def emptyseq-def*)  
**moreover**  
**from**  $a1$  **have**  $(\text{next } (\text{Suc } 0) \ s) \ 0 \neq s \ 0$  **by** (*rule notempty-nextnotzero*)  
**ultimately show** *False* **by** *blast*  
**qed**

**lemma** *collapse-empty-iff-empty* [*simp*]: *emptyseq* ( $\dagger$   $s$ ) = *emptyseq*  $s$   
**by** (*auto elim: empty-collapse-empty collapse-empty-empty*)

## 1.4 Similarity of Sequences

Since adding or removing stuttering steps does not change the validity of a stuttering-invariant formula, equality is often too strong, and the weaker equality *up to stuttering* is sufficient. This is often called *similarity* ( $\approx$ ) of sequences in the literature, and is required to show that logical operators are stuttering invariant. This is mechanised as:

**definition** *seqsimilar* :: ( $'a$  seq)  $\Rightarrow$  ( $'a$  seq)  $\Rightarrow$  bool (*infixl*  $\approx$  50)  
**where**  $\sigma \approx \tau \equiv (\dagger \ \sigma) = (\dagger \ \tau)$

### 1.4.1 Properties of ( $\approx$ )

**lemma** *seqsim-refl* [*iff*]:  $s \approx s$   
**by** (*simp add: seqsimilar-def*)

**lemma** *seqsim-sym*: **assumes**  $H$ :  $s \approx t$  **shows**  $t \approx s$   
**using**  $H$  **by** (*simp add: seqsimilar-def*)

**lemma** *seqeq-imp-sim*: **assumes**  $H$ :  $s = t$  **shows**  $s \approx t$   
**using**  $H$  **by** *simp*

**lemma** *seqsim-trans* [*trans*]: **assumes**  $h1$ :  $s \approx t$  **and**  $h2$ :  $t \approx z$  **shows**  $s \approx z$   
**using** *assms* **by** (*simp add: seqsimilar-def*)

**theorem** *sim-first*: **assumes**  $H$ :  $s \approx t$  **shows** *first*  $s = \text{first } t$   
**proof** –  
**from**  $H$  **have**  $(\dagger \ s) \ 0 = (\dagger \ t) \ 0$  **by** (*simp add: seqsimilar-def*)  
**thus** *?thesis* **by** (*simp add: collapse-def first-def*)  
**qed**

**lemmas** *sim-first2* = *sim-first*[*unfolded first-def*]

**lemma** *tail-sim-second*: **assumes**  $H$ :  $\text{tail } s \approx \text{tail } t$  **shows** *second*  $s = \text{second } t$   
**proof** –  
**from**  $H$  **have** *first* (*tail*  $s$ ) = *first* (*tail*  $t$ ) **by** (*simp add: sim-first*)  
**thus** *second*  $s = \text{second } t$  **by** (*simp add: first-tail-second*)

qed

lemma *seqsimilarI*:

assumes *1*:  $first\ s = first\ t$  and *2*:  $nextsuffix\ s \approx nextsuffix\ t$   
shows  $s \approx t$

unfolding *seqsimilar-def collapse-def*

proof

fix *n*

show  $next\ n\ s\ 0 = next\ n\ t\ 0$

proof (cases *n*)

assume  $n = 0$

with *1* show *thesis* by (*simp add: first-def*)

next

fix *m*

assume  $m: n = Suc\ m$

from *2* have  $next\ m\ (nextsuffix\ s)\ 0 = next\ m\ (nextsuffix\ t)\ 0$

unfolding *seqsimilar-def collapse-def* by (*rule fun-cong*)

with *m* show *thesis* by (*simp add: next-suffix-com*)

qed

qed

lemma *seqsim-empty-empty*:

assumes *H1*:  $s \approx t$  and *H2*: *emptyseq s* shows *emptyseq t*

proof –

from *H2* have *emptyseq* ( $\heartsuit\ s$ ) by *simp*

with *H1* have *emptyseq* ( $\heartsuit\ t$ ) by (*simp add: seqsimilar-def*)

thus *thesis* by *simp*

qed

lemma *seqsim-empty-iff-empty*:

assumes *H*:  $s \approx t$  shows *emptyseq s* = *emptyseq t*

proof

assume *emptyseq s* with *H* show *emptyseq t* by (*rule seqsim-empty-empty*)

next

assume *t*: *emptyseq t*

from *H* have  $t \approx s$  by (*rule seqsim-sym*)

from *this t* show *emptyseq s* by (*rule seqsim-empty-empty*)

qed

lemma *seq-empty-eq*:

assumes *H1*:  $s\ 0 = t\ 0$  and *H2*: *emptyseq s* and *H3*: *emptyseq t*

shows  $s = t$

proof (*rule ext*)

fix *n*

from *assms* have  $t\ n = s\ n$  by (*auto simp: emptyseq-def*)

thus  $s\ n = t\ n$  by *simp*

qed

lemma *seqsim-notstutstep*:

**assumes**  $H: \neg (\text{stutstep } s \ n)$  **shows**  $(s \mid_s (\text{Suc } n)) \approx \text{nextsuffix } (s \mid_s n)$   
**using**  $H$  **by** (*simp add: notstutstep-nextsuffix1*)

**lemma** *stut-nextsuf-suc*:

**assumes**  $H: \text{stutstep } s \ n$  **shows**  $\text{nextsuffix } (s \mid_s n) = \text{nextsuffix } (s \mid_s (\text{Suc } n))$

**proof** (*cases emptyseq (s |<sub>s</sub> n)*)

**case** *True*

**hence**  $g1: \text{nextsuffix } (s \mid_s n) = (s \mid_s n)$  **by** (*simp add: nextsuffix-def nextnat-def*)

**from** *True* **have**  $g2: \text{nextsuffix } (s \mid_s \text{Suc } n) = (s \mid_s \text{Suc } n)$

**by** (*simp add: suc-empty nextsuffix-def nextnat-def*)

**have**  $(s \mid_s n) = (s \mid_s \text{Suc } n)$

**proof**

**fix**  $x$

**from** *True* **have**  $s \ (x + n) = s \ (0 + n)$   $s \ (\text{Suc } x + n) = s \ (0 + n)$

**unfolding** *emptyseq-def suffix-def* **by** (*blast+*)

**thus**  $(s \mid_s n) \ x = (s \mid_s \text{Suc } n) \ x$  **by** (*simp add: suffix-def*)

**qed**

**with**  $g1 \ g2$  **show** *?thesis* **by** *auto*

**next**

**case** *False*

**with**  $H$  **have**  $(\text{nextnat } (s \mid_s n)) = \text{Suc } (\text{nextnat } (s \mid_s \text{Suc } n))$

**by** (*simp add: stutstep-notempty-sucnextnat*)

**thus** *?thesis*

**by** (*simp add: nextsuffix-def suffix-plus*)

**qed**

**lemma** *seqsim-suffix-seqsim*:

**assumes**  $H: s \approx t$  **shows**  $\text{nextsuffix } s \approx \text{nextsuffix } t$

**unfolding** *seqsimilar-def collapse-def*

**proof**

**fix**  $n$

**from**  $H$  **have**  $(\text{next } (\text{Suc } n) \ s) \ 0 = (\text{next } (\text{Suc } n) \ t) \ 0$

**unfolding** *seqsimilar-def collapse-def* **by** (*rule fun-cong*)

**thus**  $\text{next } n \ (\text{nextsuffix } s) \ 0 = \text{next } n \ (\text{nextsuffix } t) \ 0$

**by** (*simp add: next-suffix-com*)

**qed**

**lemma** *seqsim-stutstep*:

**assumes**  $H: \text{stutstep } s \ n$  **shows**  $(s \mid_s (\text{Suc } n)) \approx (s \mid_s n)$  (**is** *?sn ≈ ?s*)

**unfolding** *seqsimilar-def collapse-def*

**proof**

**fix**  $m$

**show**  $\text{next } m \ (s \mid_s \text{Suc } n) \ 0 = \text{next } m \ (s \mid_s n) \ 0$

**proof** (*cases m*)

**assume**  $m=0$

**with**  $H$  **show** *?thesis* **by** (*simp add: suffix-def stutstep-def*)

**next**

**fix**  $k$

**assume**  $m: m = \text{Suc } k$

**with**  $H$  **have**  $\text{next } m (s \mid_s \text{Suc } n) = \text{next } k (\text{nextsuffix } (s \mid_s n))$   
**by** (*simp add: stut-nextsuf-suc next-suffix-com*)  
**moreover from**  $m$  **have**  $\text{next } m (s \mid_s n) = \text{next } k (\text{nextsuffix } (s \mid_s n))$   
**by** (*simp add: next-suffix-com*)  
**ultimately show**  $\text{next } m (s \mid_s \text{Suc } n) 0 = \text{next } m (s \mid_s n) 0$  **by** *simp*  
**qed**  
**qed**

**lemma** *addfeqstut*:  $\text{stutstep } ((\text{first } t) \#\# t) 0$   
**by** (*simp add: first-def stutstep-def app-def suffix-def*)

**lemma** *addfeqsim*:  $((\text{first } t) \#\# t) \approx t$   
**proof** –  
**have**  $\text{stutstep } ((\text{first } t) \#\# t) 0$  **by** (*rule addfeqstut*)  
**hence**  $((\text{first } t) \#\# t) \mid_s (\text{Suc } 0) \approx ((\text{first } t) \#\# t) \mid_s 0$  **by** (*rule seqsim-stutstep*)  
**hence**  $\text{tail } ((\text{first } t) \#\# t) \approx ((\text{first } t) \#\# t)$  **by** (*simp add: suffix-def tail-def*)  
**hence**  $t \approx ((\text{first } t) \#\# t)$  **by** (*simp add: tail-def app-def suffix-def*)  
**thus** *?thesis* **by** (*rule seqsim-sym*)  
**qed**

**lemma** *addfirststut*:  
**assumes**  $H$ :  $\text{first } s = \text{second } s$  **shows**  $s \approx \text{tail } s$   
**proof** –  
**have**  $g1$ :  $(\text{first } s) \#\# (\text{tail } s) = s$  **by** (*rule seq-app-first-tail*)  
**from**  $H$  **have**  $(\text{first } s) = \text{first } (\text{tail } s)$   
**by** (*simp add: first-def second-def tail-def suffix-def*)  
**hence**  $(\text{first } s) \#\# (\text{tail } s) \approx (\text{tail } s)$  **by** (*simp add: addfeqsim*)  
**with**  $g1$  **show** *?thesis* **by** *simp*  
**qed**

**lemma** *app-seqsimilar*:  
**assumes**  $h1$ :  $s \approx t$  **shows**  $(x \#\# s) \approx (x \#\# t)$   
**proof** (*cases stutstep (x ## s) 0*)  
**case** *True*  
**from**  $h1$  **have**  $\text{first } s = \text{first } t$  **by** (*rule sim-first*)  
**with** *True* **have**  $a2$ :  $\text{stutstep } (x \#\# t) 0$   
**by** (*simp add: stutstep-def first-def app-def*)  
**from** *True* **have**  $((x \#\# s) \mid_s (\text{Suc } 0)) \approx ((x \#\# s) \mid_s 0)$  **by** (*rule seqsim-stutstep*)  
**hence**  $\text{tail } (x \#\# s) \approx (x \#\# s)$  **by** (*simp add: tail-def suffix-def*)  
**hence**  $g1$ :  $s \approx (x \#\# s)$  **by** (*simp add: app-def tail-def suffix-def*)  
**from**  $a2$  **have**  $((x \#\# t) \mid_s (\text{Suc } 0)) \approx ((x \#\# t) \mid_s 0)$  **by** (*rule seqsim-stutstep*)  
**hence**  $\text{tail } (x \#\# t) \approx (x \#\# t)$  **by** (*simp add: tail-def suffix-def*)  
**hence**  $g2$ :  $t \approx (x \#\# t)$  **by** (*simp add: app-def tail-def suffix-def*)  
**from**  $h1$   $g2$  **have**  $s \approx (x \#\# t)$  **by** (*rule seqsim-trans*)  
**from** *this*[*THEN seqsim-sym*]  $g1$  **show**  $(x \#\# s) \approx (x \#\# t)$   
**by** (*rule seqsim-sym[OF seqsim-trans]*)  
**next**  
**case** *False*



```

from h1 have first s = first t by (rule sim-first)
with False have a2: ¬ stutstep (x ## t) 0
  by (simp add: stutstep-def first-def app-def)
from False have  $((x \## s) \mid_s (Suc\ 0)) \approx \text{nextsuffix } ((x \## s) \mid_s 0)$ 
  by (rule seqsim-notstutstep)
hence  $(\text{tail } (x \## s)) \approx \text{nextsuffix } (x \## s)$ 
  by (simp add: tail-def)
hence g1: s ≈ nextsuffix (x ## s) by (simp add: seq-app-tail)
from a2 have  $((x \## t) \mid_s (Suc\ 0)) \approx \text{nextsuffix } ((x \## t) \mid_s 0)$ 
  by (rule seqsim-notstutstep)
hence  $(\text{tail } (x \## t)) \approx \text{nextsuffix } (x \## t)$  by (simp add: tail-def)
hence g2: t ≈ nextsuffix (x ## t) by (simp add: seq-app-tail)
with h1 have s ≈ nextsuffix (x ## t) by (rule seqsim-trans)
from this[THEN seqsim-sym] g1 have g3: nextsuffix (x ## s) ≈ nextsuffix (x ## t)
  by (rule seqsim-sym[OF seqsim-trans])
  have first (x ## s) = first (x ## t) by (simp add: first-def app-def)
  from this g3 show ?thesis by (rule seqsimilarI)
qed

```

If two sequences are similar then for any suffix of one of them there exists a similar suffix of the other one. We will prove a stronger result below.

**lemma** *simstep-disj1*: **assumes** *H: s ≈ t* **shows**  $\exists m. ((s \mid_s n) \approx (t \mid_s m))$

**proof** (*induct n*)

**from** *H* **have**  $((s \mid_s 0) \approx (t \mid_s 0))$  **by** *auto*

**thus**  $\exists m. ((s \mid_s 0) \approx (t \mid_s m))$  **..**

**next**

**fix** *n*

**assume**  $\exists m. ((s \mid_s n) \approx (t \mid_s m))$

**then obtain** *m* **where** *a1': (s |<sub>s</sub> n) ≈ (t |<sub>s</sub> m)* **..**

**show**  $\exists m. ((s \mid_s (Suc\ n)) \approx (t \mid_s m))$

**proof** (*cases stutstep s n*)

**case** *True*

**hence**  $(s \mid_s (Suc\ n)) \approx (s \mid_s n)$  **by** (*rule seqsim-stutstep*)

**from** *this a1'* **have**  $((s \mid_s (Suc\ n)) \approx (t \mid_s m))$  **by** (*rule seqsim-trans*)

**thus** *?thesis* **..**

**next**

**case** *False*

**hence**  $(s \mid_s (Suc\ n)) \approx \text{nextsuffix } (s \mid_s n)$  **by** (*rule seqsim-notstutstep*)

**moreover**

**from** *a1'* **have**  $\text{nextsuffix } (s \mid_s n) \approx \text{nextsuffix } (t \mid_s m)$

**by** (*simp add: seqsim-suffix-seqsim*)

**ultimately have**  $(s \mid_s (Suc\ n)) \approx \text{nextsuffix } (t \mid_s m)$  **by** (*rule seqsim-trans*)

**hence**  $(s \mid_s (Suc\ n)) \approx t \mid_s (m + (\text{nextnat } (t \mid_s m)))$

**by** (*simp add: nextsuffix-def suffix-plus-com*)

**thus**  $\exists m. (s \mid_s (Suc\ n)) \approx t \mid_s m$  **..**

**qed**

**qed**

**lemma** *nextnat-le-seqsim*:  
**assumes**  $n: n < \text{nextnat } s$  **shows**  $s \approx (s \mid_s n)$   
**proof** (*cases emptyseq s*)  
**case** *True* — case impossible  
**with**  $n$  **show** *?thesis* **by** (*simp add: nextnat-def*)  
**next**  
**case** *False*  
**from**  $n$  **show** *?thesis*  
**proof** (*induct n*)  
**show**  $s \approx (s \mid_s 0)$  **by** *simp*  
**next**  
**fix**  $n$   
**assume**  $a2: n < \text{nextnat } s \implies s \approx (s \mid_s n)$  **and**  $a3: \text{Suc } n < \text{nextnat } s$   
**from**  $a3$  **have**  $g1: s (\text{Suc } n) = s 0$  **by** (*rule nextnat-le-unch*)  
**from**  $a3$  **have**  $a3': n < \text{nextnat } s$  **by** *simp*  
**hence**  $s n = s 0$  **by** (*rule nextnat-le-unch*)  
**with**  $g1$  **have** *stutstep s n* **by** (*simp add: stutstep-def*)  
**hence**  $g2: (s \mid_s n) \approx (s \mid_s (\text{Suc } n))$  **by** (*rule seqsim-stutstep[THEN seqsim-sym]*)  
**with**  $a3' a2$  **show**  $s \approx (s \mid_s (\text{Suc } n))$  **by** (*auto elim: seqsim-trans*)  
**qed**  
**qed**

**lemma** *seqsim-prev-nextnat*:  $s \approx s \mid_s ((\text{nextnat } s) - 1)$   
**proof** (*cases emptyseq s*)  
**case** *True*  
**hence**  $s \mid_s ((\text{nextnat } s) - (1::\text{nat})) = s \mid_s 0$  **by** (*simp add: nextnat-def*)  
**thus** *?thesis* **by** *simp*  
**next**  
**case** *False*  
**hence**  $\text{nextnat } s > 0$  **by** (*rule nextnat-empty-gzero*)  
**thus** *?thesis* **by** (*simp add: nextnat-le-seqsim*)  
**qed**

Given a suffix  $s \mid_s n$  of some sequence  $s$  that is similar to some suffix  $t \mid_s m$  of sequence  $t$ , there exists some suffix  $t \mid_s m'$  of  $t$  such that  $s \mid_s n$  and  $t \mid_s m'$  are similar and also  $s \mid_s (n+1)$  is similar to either  $t \mid_s m'$  or to  $t \mid_s (m'+1)$ .

**lemma** *seqsim-suffix-suc*:  
**assumes**  $H: s \mid_s n \approx t \mid_s m$   
**shows**  $\exists m'. s \mid_s n \approx t \mid_s m' \wedge ((s \mid_s \text{Suc } n \approx t \mid_s \text{Suc } m') \vee (s \mid_s \text{Suc } n \approx t \mid_s m'))$   
**proof** (*cases stutstep s n*)  
**case** *True*  
**hence**  $s \mid_s \text{Suc } n \approx s \mid_s n$  **by** (*rule seqsim-stutstep*)  
**from** *this H* **have**  $s \mid_s \text{Suc } n \approx t \mid_s m$  **by** (*rule seqsim-trans*)  
**with**  $H$  **show** *?thesis* **by** *blast*  
**next**  
**case** *False*  
**hence**  $\neg \text{emptyseq } (s \mid_s n)$  **by** (*rule stutnempty*)

**with**  $H$  **have**  $a2: \neg \text{emptyseq } (t \mid_s m)$  **by** (*simp add: seqsim-empty-iff-empty*)  
**hence**  $g4: \text{nextsuffix } (t \mid_s m) = (t \mid_s m) \mid_s \text{Suc } (\text{nextnat } (t \mid_s m) - 1)$   
**by** (*simp add: nextnat-empty-gzero nextsuffix-def*)  
**have**  $g3: (t \mid_s m) \approx (t \mid_s m) \mid_s (\text{nextnat } (t \mid_s m) - 1)$   
**by** (*rule seqsim-prev-nextnat*)  
**with**  $H$  **have**  $G1: s \mid_s n \approx (t \mid_s m) \mid_s (\text{nextnat } (t \mid_s m) - 1)$   
**by** (*rule seqsim-trans*)  
**from**  $\text{False}$  **have**  $G1': (s \mid_s \text{Suc } n) = \text{nextsuffix } (s \mid_s n)$   
**by** (*rule notstutstep-nextsuffix1 [THEN sym]*)  
**from**  $H$  **have**  $\text{nextsuffix } (s \mid_s n) \approx \text{nextsuffix } (t \mid_s m)$   
**by** (*rule seqsim-suffix-seqsim*)  
**with**  $G1$   $G1'$   $g4$   
**have**  $s \mid_s n \approx t \mid_s (m + (\text{nextnat } (t \mid_s m) - 1))$   
 $\wedge s \mid_s (\text{Suc } n) \approx t \mid_s \text{Suc } (m + (\text{nextnat } (t \mid_s m) - 1))$   
**by** (*simp add: suffix-plus-com*)  
**thus** *?thesis* **by** *blast*  
**qed**

The following main result about similar sequences shows that if  $s \approx t$  holds then for any suffix  $s \mid_s n$  of  $s$  there exists a suffix  $t \mid_s m$  such that

- $s \mid_s n$  and  $t \mid_s m$  are similar, and
- $s \mid_s (n+1)$  is similar to either  $t \mid_s (m+1)$  or  $t \mid_s m$ .

The idea is to pick the largest  $m$  such that  $s \mid_s n \approx t \mid_s m$  (or some such  $m$  if  $s \mid_s n$  is empty).

**theorem** *sim-step*:

**assumes**  $H: s \approx t$

**shows**  $\exists m. s \mid_s n \approx t \mid_s m \wedge$

$((s \mid_s \text{Suc } n \approx t \mid_s \text{Suc } m) \vee (s \mid_s \text{Suc } n \approx t \mid_s m))$

(**is**  $\exists m. ?\text{Sim } n m$ )

**proof** (*induct n*)

**from**  $H$  **have**  $s \mid_s 0 \approx t \mid_s 0$  **by** *simp*

**thus**  $\exists m. ?\text{Sim } 0 m$  **by** (*rule seqsim-suffix-suc*)

**next**

**fix**  $n$

**assume**  $\exists m. ?\text{Sim } n m$

**hence**  $\exists k. s \mid_s \text{Suc } n \approx t \mid_s k$  **by** *blast*

**thus**  $\exists m. ?\text{Sim } (\text{Suc } n) m$  **by** (*blast dest: seqsim-suffix-suc*)

**qed**

**end**

## 2 Representing Intensional Logic

**theory** *Intensional*

**imports** *Main*

**begin**

In higher-order logic, every proof rule has a corresponding tautology, i.e. the *deduction theorem* holds. Isabelle/HOL implements this since object-level implication ( $\longrightarrow$ ) and meta-level entailment ( $\Longrightarrow$ ) commute, viz. the proof rule *impI*:  $(?P \Longrightarrow ?Q) \Longrightarrow ?P \longrightarrow ?Q$ . However, the deduction theorem does not hold for most modal and temporal logics [6, page 95][7]. For example  $A \vdash \Box A$  holds, meaning that if  $A$  holds in any world, then it always holds. However,  $\vdash A \longrightarrow \Box A$ , stating that  $A$  always holds if it initially holds, is not valid.

Merz [7] overcame this problem by creating an *Intensional* logic. It exploits Isabelle's axiomatic type class feature [9] by creating a type class *world*, which provides Skolem constants to associate formulas with the world they hold in. The class is trivial, not requiring any axioms.

**class** *world*

*world* is a type class of possible worlds. It is a subclass of all HOL types *type*. No axioms are provided, since its only purpose is to avoid silly use of the *Intensional* syntax.

## 2.1 Abstract Syntax and Definitions

**type-synonym**  $('w, 'a) \text{ expr} = 'w \Rightarrow 'a$

**type-synonym**  $'w \text{ form} = ('w, \text{bool}) \text{ expr}$

The intention is that  $'a$  will be used for unlifted types (class *type*), while  $'w$  is lifted (class *world*).

**definition** *Valid* ::  $('w::\text{world}) \text{ form} \Rightarrow \text{bool}$

**where** *Valid*  $A \equiv \forall w. A w$

**definition** *const* ::  $'a \Rightarrow ('w::\text{world}, 'a) \text{ expr}$

**where** *unl-con*: *const*  $c w \equiv c$

**definition** *lift* ::  $[ 'a \Rightarrow 'b, ('w::\text{world}, 'a) \text{ expr}] \Rightarrow ('w, 'b) \text{ expr}$

**where** *unl-lift*: *lift*  $f x w \equiv f (x w)$

**definition** *lift2* ::  $[ 'a \Rightarrow 'b \Rightarrow 'c, ('w::\text{world}, 'a) \text{ expr}, ('w, 'b) \text{ expr}] \Rightarrow ('w, 'c) \text{ expr}$

**where** *unl-lift2*: *lift2*  $f x y w \equiv f (x w) (y w)$

**definition** *lift3* ::  $[ 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd, ('w::\text{world}, 'a) \text{ expr}, ('w, 'b) \text{ expr}, ('w, 'c) \text{ expr}] \Rightarrow ('w, 'd) \text{ expr}$

**where** *unl-lift3*: *lift3*  $f x y z w \equiv f (x w) (y w) (z w)$

**definition** *lift4* ::  $[ 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e, ('w::\text{world}, 'a) \text{ expr}, ('w, 'b) \text{ expr}, ('w, 'c) \text{ expr}, ('w, 'd) \text{ expr}] \Rightarrow ('w, 'e) \text{ expr}$

**where** *unl-lift4*: *lift4*  $f x y z z w \equiv f (x w) (y w) (z w) (z w)$

*Valid F* asserts that the lifted formula *F* holds everywhere. *const* allows lifting of a constant, while *lift* through *lift4* allow functions with arity 1–4 to be lifted. (Note that there is no way to define a generic lifting operator for functions of arbitrary arity.)

**definition** *RAll* :: ('a ⇒ ('w::world) form) ⇒ 'w form (**binder** *Rall* 10)  
**where** *unl-Rall*: (*Rall* x. A x) w ≡ ∀x. A x w

**definition** *REx* :: ('a ⇒ ('w::world) form) ⇒ 'w form (**binder** *Rex* 10)  
**where** *unl-Rex*: (*Rex* x. A x) w ≡ ∃x. A x w

**definition** *REx1* :: ('a ⇒ ('w::world) form) ⇒ 'w form (**binder** *Rex!* 10)  
**where** *unl-Rex1*: (*Rex!* x. A x) w ≡ ∃!x. A x w

*RAll*, *REx* and *REx1* introduces “rigid” quantification over values (of non-world types) within “intensional” formulas. *RAll* is universal quantification, *REx* is existential quantification. *REx1* requires unique existence.

We declare the “unlifting rules” as rewrite rules that will be applied automatically.

**lemmas** *intensional-rews[simp]* =  
*unl-con unl-lift unl-lift2 unl-lift3 unl-lift4*  
*unl-Rall unl-Rex unl-Rex1*

## 2.2 Concrete Syntax

### nonterminal

*lift* and *liftargs*

The non-terminal *lift* represents lifted expressions. The idea is to use Isabelle’s macro mechanism to convert between the concrete and abstract syntax.

### syntax

	:: <i>id</i> ⇒ <i>lift</i>	(-)
	:: <i>longid</i> ⇒ <i>lift</i>	(-)
	:: <i>var</i> ⇒ <i>lift</i>	(-)
<i>-applC</i>	:: [ <i>lift</i> , <i>cargs</i> ] ⇒ <i>lift</i>	((1-/ -) [1000, 1000] 999)
	:: <i>lift</i> ⇒ <i>lift</i>	('(-'))
<i>-lambda</i>	:: [ <i>idts</i> , 'a] ⇒ <i>lift</i>	((3%-./ -) [0, 3] 3)
<i>-constrain</i>	:: [ <i>lift</i> , <i>type</i> ] ⇒ <i>lift</i>	((-::-) [4, 0] 3)
	:: <i>lift</i> ⇒ <i>liftargs</i>	(-)
<i>-liftargs</i>	:: [ <i>lift</i> , <i>liftargs</i> ] ⇒ <i>liftargs</i>	(-/ -)
<i>-Valid</i>	:: <i>lift</i> ⇒ <i>bool</i>	((  -) 5)
<i>-holdsAt</i>	:: ['a, <i>lift</i> ] ⇒ <i>bool</i>	((-   -) [100,10] 10)

*LIFT* :: *lift* ⇒ 'a (LIFT -)

$-const :: 'a \Rightarrow lift \quad ((\#-) [1000] 999)$   
 $-lift :: ['a, lift] \Rightarrow lift \quad ((-<->) [1000] 999)$   
 $-lift2 :: ['a, lift, lift] \Rightarrow lift \quad ((-<-/, ->) [1000] 999)$   
 $-lift3 :: ['a, lift, lift, lift] \Rightarrow lift \quad ((-<-/, -, ->) [1000] 999)$   
 $-lift4 :: ['a, lift, lift, lift, lift] \Rightarrow lift \quad ((-<-/, -, -, ->) [1000] 999)$

$-liftEqu :: [lift, lift] \Rightarrow lift \quad ((- =/ -) [50,51] 50)$   
 $-liftNeq :: [lift, lift] \Rightarrow lift \quad (\mathbf{infixl} \neq 50)$   
 $-liftNot :: lift \Rightarrow lift \quad (\neg - [90] 90)$   
 $-liftAnd :: [lift, lift] \Rightarrow lift \quad (\mathbf{infixr} \wedge 35)$   
 $-liftOr :: [lift, lift] \Rightarrow lift \quad (\mathbf{infixr} \vee 30)$   
 $-liftImp :: [lift, lift] \Rightarrow lift \quad (\mathbf{infixr} \longrightarrow 25)$   
 $-liftIf :: [lift, lift, lift] \Rightarrow lift \quad ((if (-)/ then (-)/ else (-)) 10)$   
 $-liftPlus :: [lift, lift] \Rightarrow lift \quad ((- +/ -) [66,65] 65)$   
 $-liftMinus :: [lift, lift] \Rightarrow lift \quad ((- -/ -) [66,65] 65)$   
 $-liftTimes :: [lift, lift] \Rightarrow lift \quad ((- */ -) [71,70] 70)$   
 $-liftDiv :: [lift, lift] \Rightarrow lift \quad ((- div -) [71,70] 70)$   
 $-liftMod :: [lift, lift] \Rightarrow lift \quad ((- mod -) [71,70] 70)$   
 $-liftLess :: [lift, lift] \Rightarrow lift \quad ((- / < -) [50, 51] 50)$   
 $-liftLeq :: [lift, lift] \Rightarrow lift \quad ((- / \leq -) [50, 51] 50)$   
 $-liftMem :: [lift, lift] \Rightarrow lift \quad ((- / \in -) [50, 51] 50)$   
 $-liftNotMem :: [lift, lift] \Rightarrow lift \quad ((- / \notin -) [50, 51] 50)$   
 $-liftFinset :: liftargs \Rightarrow lift \quad (\{-\})$

$-liftPair :: [lift, liftargs] \Rightarrow lift \quad ((1'(-, -')))$

$-liftCons :: [lift, lift] \Rightarrow lift \quad ((- \#/ -) [65,66] 65)$   
 $-liftApp :: [lift, lift] \Rightarrow lift \quad ((- @/ -) [65,66] 65)$   
 $-liftList :: liftargs \Rightarrow lift \quad ([(-)])$

$-ARAll :: [idts, lift] \Rightarrow lift \quad ((?! -./ -) [0, 10] 10)$   
 $-AREx :: [idts, lift] \Rightarrow lift \quad ((? -./ -) [0, 10] 10)$   
 $-AREx1 :: [idts, lift] \Rightarrow lift \quad ((?! -./ -) [0, 10] 10)$   
 $-RAll :: [idts, lift] \Rightarrow lift \quad ((? \forall -./ -) [0, 10] 10)$   
 $-REx :: [idts, lift] \Rightarrow lift \quad ((? \exists -./ -) [0, 10] 10)$   
 $-REx1 :: [idts, lift] \Rightarrow lift \quad ((? \exists! -./ -) [0, 10] 10)$

#### translations

$-const \quad \Rightarrow \quad \mathbf{CONST} \text{ const}$

#### translations

$-lift \quad \Rightarrow \quad \mathbf{CONST} \text{ lift}$   
 $-lift2 \quad \Rightarrow \quad \mathbf{CONST} \text{ lift2}$   
 $-lift3 \quad \Rightarrow \quad \mathbf{CONST} \text{ lift3}$   
 $-lift4 \quad \Rightarrow \quad \mathbf{CONST} \text{ lift4}$   
 $-Valid \quad \Rightarrow \quad \mathbf{CONST} \text{ Valid}$

**translations**

$$\begin{aligned}
-RAll\ x\ A &\quad \rightleftharpoons\ Rall\ x.\ A \\
-REx\ x\ A &\quad \rightleftharpoons\ Rex\ x.\ A \\
-REx1\ x\ A &\quad \rightleftharpoons\ Rex!\ x.\ A
\end{aligned}$$

**translations**

$$\begin{aligned}
-ARAll &\quad \rightarrow\ -RAll \\
-AREx &\quad \rightarrow\ -REx \\
-AREx1 &\quad \rightarrow\ -REx1
\end{aligned}$$

$$\begin{aligned}
w \models A &\quad \rightarrow\ A\ w \\
LIFT\ A &\quad \rightarrow\ A::\Rightarrow\ -
\end{aligned}$$

**translations**

$$\begin{aligned}
-liftEqu &\quad \rightleftharpoons\ -lift2\ (=) \\
-liftNeq\ u\ v &\quad \rightleftharpoons\ -liftNot\ (-liftEqu\ u\ v) \\
-liftNot &\quad \rightleftharpoons\ -lift\ (CONST\ Not) \\
-liftAnd &\quad \rightleftharpoons\ -lift2\ (&) \\
-liftOr &\quad \rightleftharpoons\ -lift2\ (||) \\
-liftImp &\quad \rightleftharpoons\ -lift2\ (--->) \\
-liftIf &\quad \rightleftharpoons\ -lift3\ (CONST\ If) \\
-liftPlus &\quad \rightleftharpoons\ -lift2\ (+) \\
-liftMinus &\quad \rightleftharpoons\ -lift2\ (-) \\
-liftTimes &\quad \rightleftharpoons\ -lift2\ (*) \\
-liftDiv &\quad \rightleftharpoons\ -lift2\ (div) \\
-liftMod &\quad \rightleftharpoons\ -lift2\ (mod) \\
-liftLess &\quad \rightleftharpoons\ -lift2\ (<) \\
-liftLeq &\quad \rightleftharpoons\ -lift2\ (<=) \\
-liftMem &\quad \rightleftharpoons\ -lift2\ (:) \\
-liftNotMem\ x\ xs &\quad \rightleftharpoons\ -liftNot\ (-liftMem\ x\ xs)
\end{aligned}$$

**translations**

$$\begin{aligned}
-liftFinset\ (-liftargs\ x\ xs) &\quad \rightleftharpoons\ -lift2\ (CONST\ insert)\ x\ (-liftFinset\ xs) \\
-liftFinset\ x &\quad \rightleftharpoons\ -lift2\ (CONST\ insert)\ x\ (-const\ (CONST\ Set.empty)) \\
-liftPair\ x\ (-liftargs\ y\ z) &\quad \rightleftharpoons\ -liftPair\ x\ (-liftPair\ y\ z) \\
-liftPair &\quad \rightleftharpoons\ -lift2\ (CONST\ Pair) \\
-liftCons &\quad \rightleftharpoons\ -lift2\ (CONST\ Cons) \\
-liftApp &\quad \rightleftharpoons\ -lift2\ (@) \\
-liftList\ (-liftargs\ x\ xs) &\quad \rightleftharpoons\ -liftCons\ x\ (-liftList\ xs) \\
-liftList\ x &\quad \rightleftharpoons\ -liftCons\ x\ (-const\ [])
\end{aligned}$$

$$\begin{aligned}
w \models \neg A &\quad \leftarrow\ -liftNot\ A\ w \\
w \models A \wedge B &\quad \leftarrow\ -liftAnd\ A\ B\ w \\
w \models A \vee B &\quad \leftarrow\ -liftOr\ A\ B\ w \\
w \models A \longrightarrow B &\quad \leftarrow\ -liftImp\ A\ B\ w \\
w \models u = v &\quad \leftarrow\ -liftEqu\ u\ v\ w \\
w \models \forall x.\ A &\quad \leftarrow\ -RAll\ x\ A\ w \\
w \models \exists x.\ A &\quad \leftarrow\ -REx\ x\ A\ w \\
w \models \exists !x.\ A &\quad \leftarrow\ -REx1\ x\ A\ w
\end{aligned}$$

**syntax** (ASCII)

-Valid	:: lift $\Rightarrow$ bool	(( - -) 5)
-holdsAt	:: ['a, lift] $\Rightarrow$ bool	((-  = -) [100,10] 10)
-liftNeq	:: [lift, lift] $\Rightarrow$ lift	((- $\sim$ = / -) [50,51] 50)
-liftNot	:: lift $\Rightarrow$ lift	(( $\sim$ -) [90] 90)
-liftAnd	:: [lift, lift] $\Rightarrow$ lift	((- & / -) [36,35] 35)
-liftOr	:: [lift, lift] $\Rightarrow$ lift	((-   / -) [31,30] 30)
-liftImp	:: [lift, lift] $\Rightarrow$ lift	((- --> / -) [26,25] 25)
-liftLeq	:: [lift, lift] $\Rightarrow$ lift	((- / <= -) [50, 51] 50)
-liftMem	:: [lift, lift] $\Rightarrow$ lift	((- / : -) [50, 51] 50)
-liftNotMem	:: [lift, lift] $\Rightarrow$ lift	((- / $\sim$ : -) [50, 51] 50)
-RAll	:: [idts, lift] $\Rightarrow$ lift	(( $\exists$ ALL -./ -) [0, 10] 10)
-REx	:: [idts, lift] $\Rightarrow$ lift	(( $\exists$ EX -./ -) [0, 10] 10)
-REx1	:: [idts, lift] $\Rightarrow$ lift	(( $\exists$ EX! -./ -) [0, 10] 10)

## 2.3 Lemmas and Tactics

**lemma** *intD[dest]*:  $\vdash A \Longrightarrow w \models A$

**proof** -

**assume** *a*:  $\vdash A$

**from** *a* **have**  $\forall w. w \models A$  **by** (*auto simp add: Valid-def*)

**thus** *?thesis* ..

**qed**

**lemma** *intI [intro!]*: **assumes** *P1*:  $(\bigwedge w. w \models A)$  **shows**  $\vdash A$

**using** *assms* **by** (*auto simp: Valid-def*)

Basic unlifting introduces a parameter *w* and applies basic rewrites, e.g  $\vdash F = G$  becomes  $F w = G w$  and  $\vdash F \longrightarrow G$  becomes  $F w \longrightarrow G w$ .

**method-setup** *int-unlift* =  $\langle$

*Scan.succeed* (*fn* *ctxt* => *SIMPLE-METHOD'*

    (*resolve-tac* *ctxt* @ $\{$ *thms intI* $\}$  *THEN'* *rewrite-goal-tac* *ctxt* @ $\{$ *thms intensional-rews* $\}$ )

$\rangle$  *method to unlift and followed by intensional rewrites*

**lemma** *inteq-reflection*: **assumes** *P1*:  $\vdash x=y$  **shows**  $(x \equiv y)$

**proof** -

**from** *P1* **have** *P2*:  $\forall w. x w = y w$  **by** (*unfold Valid-def unl-lift2*)

**hence** *P3*:  $x=y$  **by** *blast*

**thus**  $x \equiv y$  **by** (*rule eq-reflection*)

**qed**

**lemma** *int-simps*:

$\vdash (x=x) = \#True$

$\vdash (\neg \#True) = \#False$

$\vdash (\neg \#False) = \#True$

$\vdash (\neg\neg P) = P$

$\vdash ((\neg P) = P) = \#False$



$\vdash (P = (\neg P)) = \#False$   
 $\vdash (P \neq Q) = (P = (\neg Q))$   
 $\vdash (\#True = P) = P$   
 $\vdash (P = \#True) = P$   
 $\vdash (\#True \longrightarrow P) = P$   
 $\vdash (\#False \longrightarrow P) = \#True$   
 $\vdash (P \longrightarrow \#True) = \#True$   
 $\vdash (P \longrightarrow P) = \#True$   
 $\vdash (P \longrightarrow \#False) = (\neg P)$   
 $\vdash (P \longrightarrow \sim P) = (\neg P)$   
 $\vdash (P \wedge \#True) = P$   
 $\vdash (\#True \wedge P) = P$   
 $\vdash (P \wedge \#False) = \#False$   
 $\vdash (\#False \wedge P) = \#False$   
 $\vdash (P \wedge P) = P$   
 $\vdash (P \wedge \sim P) = \#False$   
 $\vdash (\neg P \wedge P) = \#False$   
 $\vdash (P \vee \#True) = \#True$   
 $\vdash (\#True \vee P) = \#True$   
 $\vdash (P \vee \#False) = P$   
 $\vdash (\#False \vee P) = P$   
 $\vdash (P \vee P) = P$   
 $\vdash (P \vee \neg P) = \#True$   
 $\vdash (\neg P \vee P) = \#True$   
 $\vdash (\forall x. P) = P$   
 $\vdash (\exists x. P) = P$   
**by auto**

**lemmas** *intensional-simps*[simp] = *int-simps*[THEN *inteq-reflection*]

**method-setup** *int-rewrite* = <

*Scan.succeed (fn ctxt => SIMPLE-METHOD' (rewrite-goal-tac ctxt @ {thms *intensional-simps*}))*

> *rewrite method at intensional level*

**lemma** *Not-Rall*:  $\vdash (\neg(\forall x. F x)) = (\exists x. \neg F x)$

**by auto**

**lemma** *Not-Rex*:  $\vdash (\neg(\exists x. F x)) = (\forall x. \neg F x)$

**by auto**

**lemma** *TrueW* [simp]:  $\vdash \#True$

**by auto**

**lemma** *int-eq*:  $\vdash X = Y \implies X = Y$

**by** (*auto simp: inteq-reflection*)

**lemma** *int-iffI*:

**assumes**  $\vdash F \longrightarrow G$  **and**  $\vdash G \longrightarrow F$

```

shows  $\vdash F = G$ 
using assms by force

lemma int-iffD1: assumes  $h: \vdash F = G$  shows  $\vdash F \longrightarrow G$ 
using  $h$  by auto

lemma int-iffD2: assumes  $h: \vdash F = G$  shows  $\vdash G \longrightarrow F$ 
using  $h$  by auto

lemma lift-imp-trans:
assumes  $\vdash A \longrightarrow B$  and  $\vdash B \longrightarrow C$ 
shows  $\vdash A \longrightarrow C$ 
using assms by force

lemma lift-imp-neg: assumes  $\vdash A \longrightarrow B$  shows  $\vdash \neg B \longrightarrow \neg A$ 
using assms by auto

lemma lift-and-com:  $\vdash (A \wedge B) = (B \wedge A)$ 
by auto

end

```

### 3 Semantics

```

theory Semantics
imports Sequence Intensional
begin

```

This theory mechanises a *shallow* embedding of TLA\* using the *Sequence* and *Intensional* theories. A shallow embedding represents TLA\* using Isabelle/HOL predicates, while a *deep* embedding would represent TLA\* formulas and pre-formulas as mutually inductive datatypes<sup>1</sup>. The choice of a shallow over a deep embedding is motivated by the following factors: a shallow embedding is usually less involved, and existing Isabelle theories and tools can be applied more directly to enhance automation; due to the lifting in the *Intensional* theory, a shallow embedding can reuse standard logical operators, whilst a deep embedding requires a different set of operators for both formulas and pre-formulas. Finally, since our target is system verification rather than proving meta-properties of TLA\*, which requires a deep embedding, a shallow embedding is more fit for purpose.

#### 3.1 Types of Formulas

To mechanise the TLA\* semantics, the following type abbreviations are used:

```

type-synonym ('a,'b) formfun = 'a seq  $\Rightarrow$  'b

```

---

<sup>1</sup>See e.g. [10] for a discussion about deep vs. shallow embeddings in Isabelle/HOL.

**type-synonym** 'a formula = ('a,bool) formfun  
**type-synonym** ('a,'b) stfun = 'a ⇒ 'b  
**type-synonym** 'a stpred = ('a,bool) stfun

**instance**  
*fun* :: (type,type) world ..

**instance**  
*prod* :: (type,type) world ..

Pair and function are instantiated to be of type class world. This allows use of the lifted intensional logic for formulas, and standard logical connectives can therefore be used.

### 3.2 Semantics of TLA\*

The semantics of TLA\* is defined.

**definition** *always* :: ('a::world) formula ⇒ 'a formula  
**where** *always*  $F \equiv \lambda s. \forall n. (s \mid_s n) \models F$

**definition** *nexts* :: ('a::world) formula ⇒ 'a formula  
**where** *nexts*  $F \equiv \lambda s. (tail\ s) \models F$

**definition** *before* :: ('a::world,'b) stfun ⇒ ('a,'b) formfun  
**where** *before*  $f \equiv \lambda s. (first\ s) \models f$

**definition** *after* :: ('a::world,'b) stfun ⇒ ('a,'b) formfun  
**where** *after*  $f \equiv \lambda s. (second\ s) \models f$

**definition** *unch* :: ('a::world,'b) stfun ⇒ 'a formula  
**where** *unch*  $v \equiv \lambda s. s \models (after\ v) = (before\ v)$

**definition** *action* :: ('a::world) formula ⇒ ('a,'b) stfun ⇒ 'a formula  
**where** *action*  $P\ v \equiv \lambda s. \forall i. ((s \mid_s i) \models P) \vee ((s \mid_s i) \models unch\ v)$

#### 3.2.1 Concrete Syntax

This is the concrete syntax for the (abstract) operators above.

**syntax**  
*-always* :: lift ⇒ lift ((□-) [90] 90)  
*-nexts* :: lift ⇒ lift ((○-) [90] 90)  
*-action* :: [lift, lift] ⇒ lift ((□[-]'(-)) [20,1000] 90)  
*-before* :: lift ⇒ lift ((\\$-) [100] 99)  
*-after* :: lift ⇒ lift ((-\$) [100] 99)  
*-prime* :: lift ⇒ lift ((-') [100] 99)  
*-unch* :: lift ⇒ lift ((Unchanged -) [100] 99)  
*TEMP* :: lift ⇒ 'b ((TEMP -))

**syntax** (*ASCII*)

-always :: lift  $\Rightarrow$  lift ( $(\Box-)$  [90] 90)  
 -nexts :: lift  $\Rightarrow$  lift ( $(\text{Next } -)$  [90] 90)  
 -action :: [lift, lift]  $\Rightarrow$  lift ( $(\Box[-]'-(-))$  [20,1000] 90)

**translations**

-always  $\equiv$  *CONST* always  
 -nexts  $\equiv$  *CONST* nexts  
 -action  $\equiv$  *CONST* action  
 -before  $\equiv$  *CONST* before  
 -after  $\equiv$  *CONST* after  
 -prime  $\rightarrow$  *CONST* after  
 -unch  $\equiv$  *CONST* unch  
 TEMP  $F \rightarrow (F:: (\text{nat} \Rightarrow -) \Rightarrow -)$

### 3.3 Abbreviations

Some standard temporal abbreviations, with their concrete syntax.

**definition** *actrans* :: ('a::world) formula  $\Rightarrow$  ('a,'b) stfun  $\Rightarrow$  'a formula  
**where** *actrans*  $P v \equiv$  TEMP( $P \vee$  unch  $v$ )

**definition** *eventually* :: ('a::world) formula  $\Rightarrow$  'a formula  
**where** *eventually*  $F \equiv$  LIFT( $\neg\Box(\neg F)$ )

**definition** *angle-action* :: ('a::world) formula  $\Rightarrow$  ('a,'b) stfun  $\Rightarrow$  'a formula  
**where** *angle-action*  $P v \equiv$  LIFT( $\neg\Box[\neg P]-v$ )

**definition** *angle-actrans* :: ('a::world) formula  $\Rightarrow$  ('a,'b) stfun  $\Rightarrow$  'a formula  
**where** *angle-actrans*  $P v \equiv$  TEMP ( $\neg$  *actrans* (LIFT( $\neg P$ ))  $v$ )

**definition** *leadsto* :: ('a::world) formula  $\Rightarrow$  'a formula  $\Rightarrow$  'a formula  
**where** *leadsto*  $P Q \equiv$  LIFT  $\Box(P \rightarrow$  *eventually*  $Q)$

#### 3.3.1 Concrete Syntax

**syntax** (*ASCII*)

-actrans :: [lift, lift]  $\Rightarrow$  lift ( $([-]'-(-))$  [20,1000] 90)  
 -eventually :: lift  $\Rightarrow$  lift ( $(\langle\rangle-)$  [90] 90)  
 -angle-action :: [lift, lift]  $\Rightarrow$  lift ( $(\langle\rangle\langle\rangle'-(-))$  [20,1000] 90)  
 -angle-actrans :: [lift, lift]  $\Rightarrow$  lift ( $(\langle\rangle'-(-))$  [20,1000] 90)  
 -leadsto :: [lift, lift]  $\Rightarrow$  lift ( $(-\rightsquigarrow-)$  [26,25] 25)

**syntax**

-eventually :: lift  $\Rightarrow$  lift ( $(\Diamond-)$  [90] 90)  
 -angle-action :: [lift, lift]  $\Rightarrow$  lift ( $(\Diamond\langle\rangle'-(-))$  [20,1000] 90)  
 -angle-actrans :: [lift, lift]  $\Rightarrow$  lift ( $(\langle\rangle'-(-))$  [20,1000] 90)  
 -leadsto :: [lift, lift]  $\Rightarrow$  lift ( $(-\rightsquigarrow-)$  [26,25] 25)

**translations**

*-actrans*  $\equiv$  *CONST actrans*  
*-eventually*  $\equiv$  *CONST eventually*  
*-angle-action*  $\equiv$  *CONST angle-action*  
*-angle-actrans*  $\equiv$  *CONST angle-actrans*  
*-leadsto*  $\equiv$  *CONST leadsto*

### 3.4 Properties of Operators

The following lemmas show that these operators have the expected semantics.

**lemma** *eventually-defs*:  $(w \models \diamond F) = (\exists n. (w \mid_s n) \models F)$   
**by** (*simp add: eventually-def always-def*)

**lemma** *angle-action-defs*:  $(w \models \diamond \langle P \rangle \cdot v) = (\exists i. ((w \mid_s i) \models P) \wedge ((w \mid_s i) \models v \$ \neq \$ v))$   
**by** (*simp add: angle-action-def action-def unch-def*)

**lemma** *unch-defs*:  $(w \models \text{Unchanged } v) = (((\text{second } w) \models v) = ((\text{first } w) \models v))$   
**by** (*simp add: unch-def before-def nexts-def after-def tail-def suffix-def first-def second-def*)

**lemma** *linalw*:

**assumes** *h1*:  $a \leq b$  **and** *h2*:  $(w \mid_s a) \models \Box A$

**shows**  $(w \mid_s b) \models \Box A$

**proof** (*clarsimp simp: always-def*)

**fix** *n*

**from** *h1* **obtain** *k* **where** *g1*:  $b = a + k$  **by** (*auto simp: le-iff-add*)

**with** *h2* **show**  $(w \mid_s b \mid_s n) \models A$  **by** (*auto simp: always-def suffix-plus ac-simps*)

**qed**

### 3.5 Invariance Under Stuttering

A key feature of TLA\* is that specification at different abstraction levels can be compared. The soundness of this relies on the stuttering invariance of formulas. Since the embedding is shallow, it cannot be shown that a generic TLA\* formula is stuttering invariant. However, this section will show that each operator is stuttering invariant or preserves stuttering invariance in an appropriate sense, which can be used to show stuttering invariance for given specifications.

Formula  $F$  is stuttering invariant if for any two similar behaviours (i.e., sequences of states),  $F$  holds in one iff it holds in the other. The definition is generalised to arbitrary expressions, and not just predicates.

**definition** *stutinv* ::  $(\text{'a}, \text{'b}) \text{ formfun} \Rightarrow \text{bool}$

**where** *stutinv*  $F \equiv \forall \sigma \tau. \sigma \approx \tau \longrightarrow (\sigma \models F) = (\tau \models F)$

The requirement for stuttering invariance is too strong for pre-formulas. For example, an action formula specifies a relation between the first two states

of a behaviour, and will rarely be satisfied by a stuttering step. This is why pre-formulas are “protected” by (square or angle) brackets in TLA\*: the only place a pre-formula  $P$  can be used is inside an action:  $\square[P]-v$ . To show that  $\square[P]-v$  is stuttering invariant, it must be shown that a slightly weaker predicate holds for  $P$ . For example, if  $P$  contains a term of the form  $\circ\circ Q$ , then it is not a well-formed pre-formula, thus  $\square[P]-v$  is not stuttering invariant. This weaker version of stuttering invariance has been named *near stuttering invariance*.

**definition**  $nstutinv :: ('a, 'b) \text{ formfun} \Rightarrow \text{bool}$   
**where**  $nstutinv P \equiv \forall \sigma \tau. (\text{first } \sigma = \text{first } \tau) \wedge (\text{tail } \sigma) \approx (\text{tail } \tau) \longrightarrow (\sigma \models P) = (\tau \models P)$

**syntax**

$-stutinv :: \text{lift} \Rightarrow \text{bool} ((STUTINV -) [40] 40)$   
 $-nstutinv :: \text{lift} \Rightarrow \text{bool} ((NSTUTINV -) [40] 40)$

**translations**

$-stutinv \Leftrightarrow \text{CONST } stutinv$   
 $-nstutinv \Leftrightarrow \text{CONST } nstutinv$

Predicate  $STUTINV F$  formalises stuttering invariance for formula  $F$ . That is if two sequences are similar  $s \approx t$  (equal up to stuttering) then the validity of  $F$  under both  $s$  and  $t$  are equivalent. Predicate  $NSTUTINV P$  should be read as *nearly stuttering invariant* – and is required for some stuttering invariance proofs.

**lemma** *stutinv-strictly-stronger*:

**assumes**  $h: STUTINV F$  **shows**  $NSTUTINV F$

**unfolding** *nstutinv-def*

**proof** (*clarify*)

**fix**  $s t :: \text{nat} \Rightarrow 'a$

**assume**  $a1: \text{first } s = \text{first } t$  **and**  $a2: (\text{tail } s) \approx (\text{tail } t)$

**have**  $s \approx t$

**proof** –

**have**  $tg1: (\text{first } s) \#\# (\text{tail } s) = s$  **by** (*rule seq-app-first-tail*)

**have**  $tg2: (\text{first } t) \#\# (\text{tail } t) = t$  **by** (*rule seq-app-first-tail*)

**with**  $a1$  **have**  $tg2': (\text{first } s) \#\# (\text{tail } t) = t$  **by** *simp*

**from**  $a2$  **have**  $(\text{first } s) \#\# (\text{tail } s) \approx (\text{first } s) \#\# (\text{tail } t)$  **by** (*rule app-seqsimilar*)

**with**  $tg1$   $tg2'$  **show** *?thesis* **by** *simp*

**qed**

**with**  $h$  **show**  $(s \models F) = (t \models F)$  **by** (*simp add: stutinv-def*)

**qed**

### 3.5.1 Properties of $-stutinv$

This subsection proves stuttering invariance, preservation of stuttering invariance and introduction of stuttering invariance for different formulas. First, state predicates are stuttering invariant.

**theorem** *stut-before*:  $STUTINV \$F$   
**proof** (*clarsimp simp: stutinv-def*)  
  **fix**  $s\ t :: 'a\ seq$   
  **assume**  $a1: s \approx t$   
  **hence**  $(first\ s) = (first\ t)$  **by** (*rule sim-first*)  
  **thus**  $(s \models \$F) = (t \models \$F)$  **by** (*simp add: before-def*)  
**qed**

**lemma** *nstut-after*:  $NSTUTINV F\$$   
**proof** (*clarsimp simp: nstutinv-def*)  
  **fix**  $s\ t :: 'a\ seq$   
  **assume**  $a1: tail\ s \approx tail\ t$   
  **thus**  $(s \models F\$) = (t \models F\$)$  **by** (*simp add: after-def tail-sim-second*)  
**qed**

The always operator preserves stuttering invariance.

**theorem** *stut-always*: **assumes**  $H:STUTINV F$  **shows**  $STUTINV \Box F$   
**proof** (*clarsimp simp: stutinv-def*)  
  **fix**  $s\ t :: 'a\ seq$   
  **assume**  $a2: s \approx t$   
  **show**  $(s \models (\Box F)) = (t \models (\Box F))$   
  **proof**  
    **assume**  $a1: t \models \Box F$   
    **show**  $s \models \Box F$   
    **proof** (*clarsimp simp: always-def*)  
      **fix**  $n$   
      **from**  $a2[THEN\ sim-step]$  **obtain**  $m$  **where**  $m: s \mid_s n \approx t \mid_s m$  **by** *blast*  
      **from**  $a1$  **have**  $(t \mid_s m) \models F$  **by** (*simp add: always-def*)  
      **with**  $H\ m$  **show**  $(s \mid_s n) \models F$  **by** (*simp add: stutinv-def*)  
    **qed**  
  **next**  
  **assume**  $a1: s \models (\Box F)$   
  **show**  $t \models (\Box F)$   
  **proof** (*clarsimp simp: always-def*)  
    **fix**  $n$   
    **from**  $a2[THEN\ seqsim-sym, THEN\ sim-step]$  **obtain**  $m$  **where**  $m: t \mid_s n \approx s \mid_s m$  **by** *blast*  
    **from**  $a1$  **have**  $(s \mid_s m) \models F$  **by** (*simp add: always-def*)  
    **with**  $H\ m$  **show**  $(t \mid_s n) \models F$  **by** (*simp add: stutinv-def*)  
  **qed**  
**qed**  
**qed**

Assuming that formula  $P$  is nearly stuttering invariant then  $\Box[P]-v$  will be stuttering invariant.

**lemma** *stut-action-lemma*:  
  **assumes**  $H: NSTUTINV P$  **and**  $st: s \approx t$  **and**  $P: t \models \Box[P]-v$   
  **shows**  $s \models \Box[P]-v$   
**proof** (*clarsimp simp: action-def*)

**fix**  $n$   
**assume**  $\neg ((s \mid_s n) \models \text{Unchanged } v)$   
**hence**  $v: v (s (Suc\ n)) \neq v (s\ n)$   
**by** (*simp add: unch-defs first-def second-def suffix-def*)  
**from**  $st[\text{THEN } \text{sim-step}]$  **obtain**  $m$  **where**  
 $a2': s \mid_s n \approx t \mid_s m$   
 $\wedge (s \mid_s Suc\ n \approx t \mid_s Suc\ m \vee s \mid_s Suc\ n \approx t \mid_s m) \dots$   
**hence**  $g1: (s \mid_s n \approx t \mid_s m)$  **by** *simp*  
**hence**  $g1'': first (s \mid_s n) = first (t \mid_s m)$  **by** (*simp add: sim-first*)  
**hence**  $g1': s\ n = t\ m$  **by** (*simp add: suffix-def first-def*)  
**from**  $a2'$  **have**  $g2: s \mid_s Suc\ n \approx t \mid_s Suc\ m \vee s \mid_s Suc\ n \approx t \mid_s m$  **by** *simp*  
**from**  $P$  **have**  $a1': ((t \mid_s m) \models P) \vee ((t \mid_s m) \models \text{Unchanged } v)$  **by** (*simp add: action-def*)  
**from**  $g2$  **show**  $(s \mid_s n) \models P$   
**proof**  
**assume**  $s \mid_s Suc\ n \approx t \mid_s m$   
**hence**  $first (s \mid_s Suc\ n) = first (t \mid_s m)$  **by** (*simp add: sim-first*)  
**hence**  $s (Suc\ n) = t\ m$  **by** (*simp add: suffix-def first-def*)  
**with**  $g1' v$  **show** *?thesis* **by** *simp* — by contradiction  
**next**  
**assume**  $a3: s \mid_s Suc\ n \approx t \mid_s Suc\ m$   
**hence**  $first (s \mid_s Suc\ n) = first (t \mid_s Suc\ m)$  **by** (*simp add: sim-first*)  
**hence**  $a3': s (Suc\ n) = t (Suc\ m)$  **by** (*simp add: suffix-def first-def*)  
**from**  $a1'$  **show** *?thesis*  
**proof**  
**assume**  $(t \mid_s m) \models \text{Unchanged } v$   
**hence**  $v (t (Suc\ m)) = v (t\ m)$   
**by** (*simp add: unch-defs first-def second-def suffix-def*)  
**with**  $g1' a3' v$  **show** *?thesis* **by** *simp* — again, by contradiction  
**next**  
**assume**  $a4: (t \mid_s m) \models P$   
**from**  $a3$  **have**  $tail (s \mid_s n) \approx tail (t \mid_s m)$  **by** (*simp add: tail-def suffix-plus*)  
**with**  $H\ g1''\ a4$  **show** *?thesis* **by** (*auto simp: nstutinv-def*)  
**qed**  
**qed**  
**qed**

**theorem** *stut-action*: **assumes**  $H: NSTUTINV\ P$  **shows**  $STUTINV\ \square[P]-v$

**proof** (*clarsimp simp: stutinv-def*)

**fix**  $s\ t :: 'a\ seq$

**assume**  $st: s \approx t$

**show**  $(s \models \square[P]-v) = (t \models \square[P]-v)$

**proof**

**assume**  $t \models \square[P]-v$

**with**  $H\ st$  **show**  $s \models \square[P]-v$  **by** (*rule stut-action-lemma*)

**next**

**assume**  $s \models \square[P]-v$

**with**  $H\ st[\text{THEN } \text{seqsim-sym}]$  **show**  $t \models \square[P]-v$  **by** (*rule stut-action-lemma*)

**qed**



qed

The lemmas below shows that propositional and predicate operators preserve stuttering invariance.

**lemma** *stut-and*:  $\llbracket STUTINV F; STUTINV G \rrbracket \Longrightarrow STUTINV (F \wedge G)$   
by (*simp add: stutinv-def*)

**lemma** *stut-or*:  $\llbracket STUTINV F; STUTINV G \rrbracket \Longrightarrow STUTINV (F \vee G)$   
by (*simp add: stutinv-def*)

**lemma** *stut-imp*:  $\llbracket STUTINV F; STUTINV G \rrbracket \Longrightarrow STUTINV (F \longrightarrow G)$   
by (*simp add: stutinv-def*)

**lemma** *stut-eq*:  $\llbracket STUTINV F; STUTINV G \rrbracket \Longrightarrow STUTINV (F = G)$   
by (*simp add: stutinv-def*)

**lemma** *stut-noteq*:  $\llbracket STUTINV F; STUTINV G \rrbracket \Longrightarrow STUTINV (F \neq G)$   
by (*simp add: stutinv-def*)

**lemma** *stut-not*:  $STUTINV F \Longrightarrow STUTINV (\neg F)$   
by (*simp add: stutinv-def*)

**lemma** *stut-all*:  $(\bigwedge x. STUTINV (F x)) \Longrightarrow STUTINV (\forall x. F x)$   
by (*simp add: stutinv-def*)

**lemma** *stut-ex*:  $(\bigwedge x. STUTINV (F x)) \Longrightarrow STUTINV (\exists x. F x)$   
by (*simp add: stutinv-def*)

**lemma** *stut-const*:  $STUTINV \#c$   
by (*simp add: stutinv-def*)

**lemma** *stut-fun1*:  $STUTINV X \Longrightarrow STUTINV (f \langle X \rangle)$   
by (*simp add: stutinv-def*)

**lemma** *stut-fun2*:  $\llbracket STUTINV X; STUTINV Y \rrbracket \Longrightarrow STUTINV (f \langle X, Y \rangle)$   
by (*simp add: stutinv-def*)

**lemma** *stut-fun3*:  $\llbracket STUTINV X; STUTINV Y; STUTINV Z \rrbracket \Longrightarrow STUTINV (f \langle X, Y, Z \rangle)$   
by (*simp add: stutinv-def*)

**lemma** *stut-fun4*:  $\llbracket STUTINV X; STUTINV Y; STUTINV Z; STUTINV W \rrbracket \Longrightarrow STUTINV (f \langle X, Y, Z, W \rangle)$   
by (*simp add: stutinv-def*)

**lemma** *stut-plus*:  $\llbracket STUTINV x; STUTINV y \rrbracket \Longrightarrow STUTINV (x+y)$   
by (*simp add: stutinv-def*)

### 3.5.2 Properties of $-nstin$

This subsection shows analogous properties about near stuttering invariance. If a formula  $F$  is stuttering invariant then  $\circ F$  is nearly stuttering invariant.

**lemma** *nstut-nexts*: **assumes**  $H: STUTINV F$  **shows**  $NSTUTINV \circ F$   
**using**  $H$  **by** (*simp add: stutinv-def nstin-def nexts-def*)

The lemmas below shows that propositional and predicate operators preserves near stuttering invariance.

**lemma** *nstut-and*:  $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \wedge G)$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-or*:  $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \vee G)$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-imp*:  $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \longrightarrow G)$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-eq*:  $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F = G)$   
**by** (*force simp: nstin-def*)

**lemma** *nstut-not*:  $NSTUTINV F \implies NSTUTINV (\neg F)$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-noteq*:  $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \neq G)$   
**by** (*simp add: nstut-eq nstut-not*)

**lemma** *nstut-all*:  $(\bigwedge x. NSTUTINV (F x)) \implies NSTUTINV (\forall x. F x)$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-ex*:  $(\bigwedge x. NSTUTINV (F x)) \implies NSTUTINV (\exists x. F x)$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-const*:  $NSTUTINV \#c$   
**by** (*auto simp: nstin-def*)

**lemma** *nstut-fun1*:  $NSTUTINV X \implies NSTUTINV (f \langle X \rangle)$   
**by** (*force simp: nstin-def*)

**lemma** *nstut-fun2*:  $\llbracket NSTUTINV X; NSTUTINV Y \rrbracket \implies NSTUTINV (f \langle X, Y \rangle)$   
**by** (*force simp: nstin-def*)

**lemma** *nstut-fun3*:  $\llbracket NSTUTINV X; NSTUTINV Y; NSTUTINV Z \rrbracket \implies NSTUTINV (f \langle X, Y, Z \rangle)$   
**by** (*force simp: nstin-def*)

**lemma** *nstut-fun4*:  $\llbracket NSTUTINV X; NSTUTINV Y; NSTUTINV Z; NSTUTINV W \rrbracket \implies NSTUTINV (f \langle X, Y, Z, W \rangle)$   
**by** (*force simp: nstin-def*)

**lemma** *nstut-plus*:  $\llbracket NSTUTINV\ x; NSTUTINV\ y \rrbracket \implies NSTUTINV\ (x+y)$   
**by** (*simp add: nstut-fun2*)

### 3.5.3 Abbreviations

We show the obvious fact that the same properties holds for abbreviated operators.

**lemmas** *nstut-before* = *stut-before*[*THEN stutinv-strictly-stronger*]

**lemma** *nstut-unch*: *NSTUTINV* (*Unchanged v*)

**proof** (*unfold unch-def*)

**have** *g1*: *NSTUTINV* *v*\$ **by** (*rule nstut-after*)

**have** *NSTUTINV* \$*v* **by** (*rule stut-before*[*THEN stutinv-strictly-stronger*])

**with** *g1* **show** *NSTUTINV* (*v*\$ = \$*v*) **by** (*rule nstut-eq*)

**qed**

Formulas  $[P]-v$  are not TLA\* formulas by themselves, but we need to reason about them when they appear wrapped inside  $\Box[-]-v$ . We only require that it preserves nearly stuttering invariance. Observe that  $[P]-v$  trivially holds for a stuttering step, so it cannot be stuttering invariant.

**lemma** *nstut-actrans*: *NSTUTINV* *P*  $\implies$  *NSTUTINV*  $[P]-v$

**by** (*simp add: actrans-def nstut-unch nstut-or*)

**lemma** *stut-eventually*: *STUTINV* *F*  $\implies$  *STUTINV*  $\Diamond F$

**by** (*simp add: eventually-def stut-not stut-always*)

**lemma** *stut-leadsto*:  $\llbracket STUTINV\ F; STUTINV\ G \rrbracket \implies STUTINV\ (F \rightsquigarrow G)$

**by** (*simp add: leadsto-def stut-always stut-eventually stut-imp*)

**lemma** *stut-angle-action*: *NSTUTINV* *P*  $\implies$  *STUTINV*  $\Diamond \langle P \rangle -v$

**by** (*simp add: angle-action-def nstut-not stut-action stut-not*)

**lemma** *nstut-angle-actrans*: *NSTUTINV* *P*  $\implies$  *NSTUTINV*  $\langle P \rangle -v$

**by** (*simp add: angle-actrans-def nstut-not nstut-actrans*)

**lemmas** *stutinv*s = *stut-before* *stut-always* *stut-action*

*stut-and* *stut-or* *stut-imp* *stut-eq* *stut-noteq* *stut-not*

*stut-all* *stut-ex* *stut-eventually* *stut-leadsto* *stut-angle-action* *stut-const*

*stut-fun1* *stut-fun2* *stut-fun3* *stut-fun4*

**lemmas** *nstutinv*s = *nstut-after* *nstut-nexts* *nstut-actrans*

*nstut-unch* *nstut-and* *nstut-or* *nstut-imp* *nstut-eq* *nstut-noteq* *nstut-not*

*nstut-all* *nstut-ex* *nstut-angle-actrans* *stutinv-strictly-stronger*

*nstut-fun1* *nstut-fun2* *nstut-fun3* *nstut-fun4* *stutinv*s[*THEN stutinv-strictly-stronger*]

**lemmas** *bothstutinv*s = *stutinv*s *nstutinv*s

end

## 4 Reasoning about PreFormulas

```
theory PreFormulas
imports Semantics
begin
```

Semantic separation of formulas and pre-formulas requires a deep embedding. We introduce a syntactically distinct notion of validity, written  $|\sim A$ , for pre-formulas. Although it is semantically identical to  $\vdash A$ , it helps users distinguish pre-formulas from formulas in TLA\* proofs.

```
definition PreValid :: ('w::world) form  $\Rightarrow$  bool
where PreValid A  $\equiv \forall w. w \models A$ 
```

```
syntax
-PreValid    :: lift  $\Rightarrow$  bool    ((|\sim -) 5)
```

```
translations
-PreValid  $\equiv$  CONST PreValid
```

```
lemma prefD[dest]:  $|\sim A \Longrightarrow w \models A$ 
by (simp add: PreValid-def)
```

```
lemma prefI[intro]:  $(\bigwedge w. w \models A) \Longrightarrow |\sim A$ 
by (simp add: PreValid-def)
```

```
method-setup pref-unlift = <
  Scan.succeed (fn ctxt => SIMPLE-METHOD'
    (resolve-tac ctxt @ {thms prefI} THEN' rewrite-goal-tac ctxt @ {thms intensional-rews}))
> int-unlift for PreFormulas
```

```
lemma prefeq-reflection: assumes P1:  $|\sim x=y$  shows  $(x \equiv y)$ 
using P1 by (intro eq-reflection) force
```

```
lemma pref-True[simp]:  $|\sim \# True$ 
by auto
```

```
lemma pref-eq:  $|\sim X = Y \Longrightarrow X = Y$ 
by (auto simp: prefeq-reflection)
```

```
lemma pref-iffI:
  assumes  $|\sim F \longrightarrow G$  and  $|\sim G \longrightarrow F$ 
  shows  $|\sim F = G$ 
  using assms by force
```

```
lemma pref-iffD1: assumes  $|\sim F = G$  shows  $|\sim F \longrightarrow G$ 
```

using *assms* by *auto*

**lemma** *pref-iffD2*: **assumes**  $|\sim F = G$  **shows**  $|\sim G \longrightarrow F$   
 using *assms* by *auto*

**lemma** *unl-pref-imp*:  
**assumes**  $|\sim F \longrightarrow G$  **shows**  $\bigwedge w. w \models F \implies w \models G$   
 using *assms* by *auto*

**lemma** *pref-imp-trans*:  
**assumes**  $|\sim F \longrightarrow G$  **and**  $|\sim G \longrightarrow H$   
**shows**  $|\sim F \longrightarrow H$   
 using *assms* by *force*

#### 4.1 Lemmas about *Unchanged*

Many of the TLA\* axioms only require a state function witness which leaves the state space unchanged. An obvious witness is the *id* function. The lemmas require that the given formula is invariant under stuttering.

**lemma** *pre-id-unch*: **assumes** *h*: *stutinv* *F*  
**shows**  $|\sim F \wedge \text{Unchanged } id \longrightarrow \bigcirc F$   
**proof** (*pref-unlift*, *clarify*)  
**fix** *s*  
**assume** *a1*:  $s \models F$  **and** *a2*:  $s \models \text{Unchanged } id$   
**from** *a2* **have**  $(id \text{ (second } s) = id \text{ (first } s))$  **by** (*simp add: unch-defs*)  
**hence**  $s \approx (tail \ s)$  **by** (*simp add: addfirststut*)  
**with** *h a1* **have**  $(tail \ s) \models F$  **by** (*simp add: stutinv-def*)  
**thus**  $s \models \bigcirc F$  **by** (*unfold nexts-def*)  
**qed**

**lemma** *pre-ex-unch*:  
**assumes** *h*: *stutinv* *F*  
**shows**  $\exists (v::'a::\text{world} \Rightarrow 'a). (|\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F)$   
**using** *pre-id-unch*[*OF h*] **by** *blast*

**lemma** *unch-pair*:  $|\sim \text{Unchanged } (x,y) = (\text{Unchanged } x \wedge \text{Unchanged } y)$   
**by** (*auto simp: unch-def before-def after-def nexts-def*)

**lemmas** *unch-eq1* = *unch-pair*[*THEN pref-eq*]  
**lemmas** *unch-eq2* = *unch-pair*[*THEN pref-eq-reflection*]

**lemma** *angle-actrans-sem*:  $|\sim \langle F \rangle \cdot v = (F \wedge v\$ \neq \$v)$   
**by** (*auto simp: angle-actrans-def actrans-def unch-def*)

**lemmas** *angle-actrans-sem-eq* = *angle-actrans-sem*[*THEN pref-eq*]

#### 4.2 Lemmas about *after*

**lemma** *after-const*:  $|\sim (\#c)' = \#c$

by (auto simp: nexts-def before-def after-def)

**lemma after-fun1:**  $|\sim f\langle x \rangle' = f\langle x' \rangle$   
 by (auto simp: nexts-def before-def after-def)

**lemma after-fun2:**  $|\sim f\langle x, y \rangle' = f\langle x', y' \rangle$   
 by (auto simp: nexts-def before-def after-def)

**lemma after-fun3:**  $|\sim f\langle x, y, z \rangle' = f\langle x', y', z' \rangle$   
 by (auto simp: nexts-def before-def after-def)

**lemma after-fun4:**  $|\sim f\langle x, y, z, zz \rangle' = f\langle x', y', z', zz' \rangle$   
 by (auto simp: nexts-def before-def after-def)

**lemma after-forall:**  $|\sim (\forall x. P x)' = (\forall x. (P x)')$   
 by (auto simp: nexts-def before-def after-def)

**lemma after-exists:**  $|\sim (\exists x. P x)' = (\exists x. (P x)')$   
 by (auto simp: nexts-def before-def after-def)

**lemma after-exists1:**  $|\sim (\exists! x. P x)' = (\exists! x. (P x)')$   
 by (auto simp: nexts-def before-def after-def)

**lemmas all-after** = after-const after-fun1 after-fun2 after-fun3 after-fun4  
 after-forall after-exists after-exists1

**lemmas all-after-unl** = all-after[THEN prefD]  
**lemmas all-after-eq** = all-after[THEN prefeq-reflection]

### 4.3 Lemmas about before

**lemma before-const:**  $\vdash \$(\#c) = \#c$   
 by (auto simp: before-def)

**lemma before-fun1:**  $\vdash \$(f\langle x \rangle) = f\langle \$x \rangle$   
 by (auto simp: before-def)

**lemma before-fun2:**  $\vdash \$(f\langle x, y \rangle) = f\langle \$x, \$y \rangle$   
 by (auto simp: before-def)

**lemma before-fun3:**  $\vdash \$(f\langle x, y, z \rangle) = f\langle \$x, \$y, \$z \rangle$   
 by (auto simp: before-def)

**lemma before-fun4:**  $\vdash \$(f\langle x, y, z, zz \rangle) = f\langle \$x, \$y, \$z, \$zz \rangle$   
 by (auto simp: before-def)

**lemma before-forall:**  $\vdash \$(\forall x. P x) = (\forall x. \$(P x))$   
 by (auto simp: before-def)

**lemma** *before-exists*:  $\vdash \$(\exists x. P x) = (\exists x. \$(P x))$   
**by** (*auto simp: before-def*)

**lemma** *before-exists1*:  $\vdash \$(\exists! x. P x) = (\exists! x. \$(P x))$   
**by** (*auto simp: before-def*)

**lemmas** *all-before = before-const before-fun1 before-fun2 before-fun3 before-fun4 before-forall before-exists before-exists1*

**lemmas** *all-before-unl = all-before[THEN intD]*  
**lemmas** *all-before-eq = all-before[THEN inteq-reflection]*

#### 4.4 Some general properties

**lemma** *angle-actrans-conj*:  $|\sim (\langle F \wedge G \rangle -v) = (\langle F \rangle -v \wedge \langle G \rangle -v)$   
**by** (*auto simp: angle-actrans-def actrans-def unch-def*)

**lemma** *angle-actrans-disj*:  $|\sim (\langle F \vee G \rangle -v) = (\langle F \rangle -v \vee \langle G \rangle -v)$   
**by** (*auto simp: angle-actrans-def actrans-def unch-def*)

**lemma** *int-eq-true*:  $\vdash P \implies \vdash P = \#True$   
**by** *auto*

**lemma** *pref-eq-true*:  $|\sim P \implies |\sim P = \#True$   
**by** *auto*

#### 4.5 Unlifting attributes and methods

Attribute which unlifts an intensional formula or preformula

```

ML <
fun unl-rewr ctxt thm =
  let
    val unl = (thm RS @{thm intD}) handle THM - => (thm RS @{thm prefD})
              handle THM - => thm
    val rewr = rewrite-rule ctxt @{thms intensional-rews}
  in
    unl |> rewr
  end;

```

```

attribute-setup unlifted = <
  Scan.succeed (Thm.rule-attribute [] (unl-rewr o Context.proof-of))
> unlift intensional formulas

```

```

attribute-setup unlift-rule = <
  Scan.succeed
  (Thm.rule-attribute []
   (Context.proof-of #> (fn ctxt => Object-Logic.rulify ctxt o unl-rewr ctxt)))
> unlift and rulify intensional formulas

```

Attribute which turns an intensional formula or preformula into a rewrite rule. Formulas  $F$  that are not equalities are turned into  $F \equiv \#True$ .

```

ML <
fun int-rewr thm =
  (thm RS @ {thm inteq-reflection})
  handle THM - => (thm RS @ {thm prefeq-reflection})
  handle THM - => ((thm RS @ {thm int-eq-true}) RS @ {thm inteq-reflection})
  handle THM - => ((thm RS @ {thm pref-eq-true}) RS @ {thm prefeq-reflection});
>

attribute-setup simp-unl = <
  Attrib.add-del
  (Thm.declaration-attribute
   (fn th => Simplifier.map-ss (Simplifier.add-simp (int-rewr th))))
  (K (NONE, NONE)) (* note only adding -- removing is ignored *)
> add thm unlifted from rewrites from intensional formulas or preformulas

attribute-setup int-rewrite = <Scan.succeed (Thm.rule-attribute [] (fn - => int-rewr))>
  produce rewrites from intensional formulas or preformulas

end

```

## 5 A Proof System for TLA\*

```

theory Rules
imports PreFormulas
begin

```

We prove soundness of the proof system of TLA\*, from which the system verification rules from Lamport's original TLA paper will be derived. This theory is still state-independent, thus state-dependent enableness proofs, required for proofs based on fairness assumptions, and flexible quantification, are not discussed here.

The TLA\* paper [8] suggest both a *heterogeneous* and a *homogenous* proof system for TLA\*. The homogeneous version eliminates the auxiliary definitions from the *Preformula* theory, creating a single provability relation. This axiomatisation is based on the fact that a pre-formula can only be used via the *sq* rule. In a nutshell, *sq* is applied to *pax1* to *pax5*, and *nex*, *pre* and *pmp* are changed to accommodate this. It is argued that while the heterogeneous version is easier to understand, the homogenous system avoids the introduction of an auxiliary provability relation. However, the price to pay is that reasoning about pre-formulas (in particular, actions) has to be performed in the scope of temporal operators such as  $\Box[P]-v$ , which is notationally quite heavy, We prefer here the heterogeneous approach, which exposes the pre-formulas and lets us use standard HOL rules more directly.



## 5.1 The Basic Axioms

**theorem fmp:** *assumes*  $\vdash F$  **and**  $\vdash F \longrightarrow G$  *shows*  $\vdash G$   
*using* *assms*[*unlifted*] **by** *auto*

**theorem pmp:** *assumes*  $|\sim F$  **and**  $|\sim F \longrightarrow G$  *shows*  $|\sim G$   
*using* *assms*[*unlifted*] **by** *auto*

**theorem sq:** *assumes*  $|\sim P$  *shows*  $\vdash \Box[P]-v$   
*using* *assms*[*unlifted*] **by** (*auto simp: action-def*)

**theorem pre:** *assumes*  $\vdash F$  *shows*  $|\sim F$   
*using* *assms* **by** *auto*

**theorem nex:** *assumes*  $h1: \vdash F$  *shows*  $|\sim \circ F$   
*using* *assms* **by** (*auto simp: nexts-def*)

**theorem ax0:**  $\vdash \# \text{ True}$   
**by** *auto*

**theorem ax1:**  $\vdash \Box F \longrightarrow F$   
**proof** (*clarsimp simp: always-def*)  
*fix*  $w$   
*assume*  $\forall n. (w \mid_s n) \models F$   
*hence*  $(w \mid_s 0) \models F$  ..  
*thus*  $w \models F$  **by** *simp*  
**qed**

**theorem ax2:**  $\vdash \Box F \longrightarrow \Box[\Box F]-v$   
**by** (*auto simp: always-def action-def suffix-plus*)

**theorem ax3:**  
*assumes*  $H: |\sim F \wedge \text{Unchanged } v \longrightarrow \circ F$   
*shows*  $\vdash \Box[F \longrightarrow \circ F]-v \longrightarrow (F \longrightarrow \Box F)$   
**proof** (*clarsimp simp: always-def*)  
*fix*  $w \ n$   
*assume*  $a1: w \models \Box[F \longrightarrow \circ F]-v$  **and**  $a2: w \models F$   
*show*  $(w \mid_s n) \models F$   
**proof** (*induct n*)  
*from*  $a2$  *show*  $(w \mid_s 0) \models F$  **by** *simp*  
**next**  
*fix*  $m$   
*assume*  $a3: (w \mid_s m) \models F$   
*with*  $a1$   $H$ [*unlifted*] *show*  $(w \mid_s (\text{Suc } m)) \models F$   
**by** (*auto simp: nexts-def action-def tail-suffix-suc*)  
**qed**  
**qed**

**theorem ax4:**  $\vdash \Box[P \longrightarrow Q]-v \longrightarrow (\Box[P]-v \longrightarrow \Box[Q]-v)$   
**by** (*force simp: action-def*)

```

theorem ax5:  $\vdash \Box[v' \neq \$v]-v$ 
  by (auto simp: action-def unch-def)

theorem pax0:  $|\sim \# \text{True}$ 
  by auto

theorem pax1 [simp-unl]:  $|\sim (\bigcirc \neg F) = (\neg \bigcirc F)$ 
  by (auto simp: nexts-def)

theorem pax2:  $|\sim \bigcirc(F \longrightarrow G) \longrightarrow (\bigcirc F \longrightarrow \bigcirc G)$ 
  by (auto simp: nexts-def)

theorem pax3:  $|\sim \Box F \longrightarrow \bigcirc \Box F$ 
  by (auto simp: always-def nexts-def tail-def suffix-plus)

theorem pax4:  $|\sim \Box[P]-v = ([P]-v \wedge \bigcirc \Box[P]-v)$ 
proof (auto)
  fix w
  assume w  $\models \Box[P]-v$ 
  from this[unfolded action-def] have ((w |s 0)  $\models P$ )  $\vee$  ((w |s 0)  $\models \text{Unchanged } v$ ) ..
  thus w  $\models [P]-v$  by (simp add: actrans-def)
next
  fix w
  assume w  $\models \Box[P]-v$ 
  thus w  $\models \bigcirc \Box[P]-v$  by (auto simp: nexts-def action-def tail-def suffix-plus)
next
  fix w
  assume 1: w  $\models [P]-v$  and 2: w  $\models \bigcirc \Box[P]-v$ 
  show w  $\models \Box[P]-v$ 
  proof (auto simp: action-def)
    fix i
    assume 3:  $\neg ((w |_s i) \models \text{Unchanged } v)$ 
    show (w |s i)  $\models P$ 
    proof (cases i)
      assume i = 0
      with 1 3 show ?thesis by (simp add: actrans-def)
    next
      fix j
      assume i = Suc j
      with 2 3 show ?thesis by (auto simp: nexts-def action-def tail-def suffix-plus)
    qed
  qed
qed

```

```

theorem pax5:  $|\sim \bigcirc \Box F \longrightarrow \Box[\bigcirc F]-v$ 
  by (auto simp: nexts-def always-def action-def tail-def suffix-plus)

```

Theorem to show that universal quantification distributes over the always

operator. Since the TLA\* paper only addresses the propositional fragment, this theorem does not appear there.

**theorem** *allT*:  $\vdash (\forall x. \Box(F x)) = (\Box(\forall x. F x))$   
 by (*auto simp: always-def*)

**theorem** *allActT*:  $\vdash (\forall x. \Box[F x]-v) = (\Box[(\forall x. F x)]-v)$   
 by (*force simp: action-def*)

## 5.2 Derived Theorems

This section includes some derived theorems based on the axioms, taken from the TLA\* paper [8]. We mimic the proofs given there and avoid semantic reasoning whenever possible.

The *alw* theorem of [8] states that if  $F$  holds in all worlds then it always holds, i.e.  $F \models \Box F$ . However, the derivation of this theorem (using the proof rules above) relies on access of the set of free variables (FV), which is not available in a shallow encoding.

However, we can prove a similar rule *alw2* using an additional hypothesis  $|\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F$ .

**theorem** *alw2*:  
 assumes *h1*:  $\vdash F$  and *h2*:  $|\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F$   
 shows  $\vdash \Box F$

**proof** –

from *h1* have *g2*:  $|\sim \bigcirc F$  by (*rule nex*)  
 hence *g3*:  $|\sim F \longrightarrow \bigcirc F$  by *auto*  
 hence *g4*:  $\vdash \Box[(F \longrightarrow \bigcirc F)]-v$  by (*rule sq*)  
 from *h2* have  $\vdash \Box[(F \longrightarrow \bigcirc F)]-v \longrightarrow F \longrightarrow \Box F$  by (*rule ax3*)  
 with *g4*[*unlifted*] have *g5*:  $\vdash F \longrightarrow \Box F$  by *auto*  
 with *h1*[*unlifted*] show *?thesis* by *auto*

**qed**

Similar theorem, assuming that  $F$  is stuttering invariant.

**theorem** *alw3*:  
 assumes *h1*:  $\vdash F$  and *h2*: *stutinv*  $F$   
 shows  $\vdash \Box F$

**proof** –

from *h2* have  $|\sim F \wedge \text{Unchanged } id \longrightarrow \bigcirc F$  by (*rule pre-id-unch*)  
 with *h1* show *?thesis* by (*rule alw2*)

**qed**

In a deep embedding, we could prove that all (proper) TLA\* formulas are stuttering invariant and then get rid of the second hypothesis of rule *alw3*. In fact, the rule is even true for pre-formulas, as shown by the following rule, whose proof relies on semantical reasoning.

**theorem** *alw*: assumes *H1*:  $\vdash F$  shows  $\vdash \Box F$   
 using *H1* by (*auto simp: always-def*)

**theorem** *alw-valid-iff-valid*:  $(\vdash \Box F) = (\vdash F)$

**proof**

**assume**  $\vdash \Box F$

**from** *this ax1* **show**  $\vdash F$  **by** (*rule fmp*)

**qed** (*rule alw*)

[8] proves the following theorem using the deduction theorem of TLA\*:  $(\vdash F \implies \vdash G) \implies \vdash \Box F \longrightarrow G$ , which can only be proved by induction on the formula structure, in a deep embedding.

**theorem** *T1[simp-unl]*:  $\vdash \Box \Box F = \Box F$

**proof** (*auto simp: always-def suffix-plus*)

**fix**  $w \ n$

**assume**  $\forall m \ k. (w \mid_s (k+m)) \models F$

**hence**  $(w \mid_s (n+0)) \models F$  **by** *blast*

**thus**  $(w \mid_s n) \models F$  **by** *simp*

**qed**

**theorem** *T2[simp-unl]*:  $\vdash \Box \Box [P]-v = \Box [P]-v$

**proof** –

**have**  $1: \mid \Box [P]-v \longrightarrow \circ \Box [P]-v$  **using** *pax4* **by** *force*

**hence**  $\vdash \Box [\Box [P]-v \longrightarrow \circ \Box [P]-v]-v$  **by** (*rule sq*)

**moreover**

**have**  $\vdash \Box [\Box [P]-v \longrightarrow \circ \Box [P]-v]-v \longrightarrow \Box [P]-v \longrightarrow \Box \Box [P]-v$

**by** (*rule ax3*) (*auto elim: 1[unlift-rule]*)

**moreover**

**have**  $\vdash \Box \Box [P]-v \longrightarrow \Box [P]-v$  **by** (*rule ax1*)

**ultimately show** *?thesis* **by** *force*

**qed**

**theorem** *T3[simp-unl]*:  $\vdash \Box [[P]-v]-v = \Box [P]-v$

**proof** –

**have**  $\mid \sim P \longrightarrow [P]-v$  **by** (*auto simp: actrans-def*)

**hence**  $\vdash \Box [(P \longrightarrow [P]-v)]-v$  **by** (*rule sq*)

**with** *ax4* **have**  $\vdash \Box [P]-v \longrightarrow \Box [[P]-v]-v$  **by** *force*

**moreover**

**have**  $\mid \sim [P]-v \longrightarrow v \neq \$v \longrightarrow P$  **by** (*auto simp: unch-def actrans-def*)

**hence**  $\vdash \Box [[P]-v \longrightarrow v \neq \$v \longrightarrow P]-v$  **by** (*rule sq*)

**with** *ax5* **have**  $\vdash \Box [[P]-v]-v \longrightarrow \Box [P]-v$  **by** (*force intro: ax4[unlift-rule]*)

**ultimately show** *?thesis* **by** *force*

**qed**

**theorem** *M2*:

**assumes**  $h: \mid \sim F \longrightarrow G$

**shows**  $\vdash \Box [F]-v \longrightarrow \Box [G]-v$

**using** *sq[OF h]* *ax4* **by** *force*

**theorem** *N1*:

**assumes**  $h: \vdash F \longrightarrow G$

shows  $|\sim \circ F \longrightarrow \circ G$   
 by (rule *pmp*[*OF nex*[*OF h*] *pax2*])

**theorem** *T4*:  $\vdash \Box[P]-v \longrightarrow \Box[[P]-v]-w$

**proof** –

have  $\vdash \Box\Box[P]-v \longrightarrow \Box[\Box\Box[P]-v]-w$  by (rule *ax2*)

moreover

from *pax4* have  $|\sim \Box\Box[P]-v \longrightarrow [P]-v$  **unfolding** *T2*[*int-rewrite*] **by force**

hence  $\vdash \Box[\Box\Box[P]-v]-w \longrightarrow \Box[[P]-v]-w$  by (rule *M2*)

ultimately show *?thesis* **unfolding** *T2*[*int-rewrite*] **by (rule lift-imp-trans)**

qed

**theorem** *T5*:  $\vdash \Box[[P]-w]-v \longrightarrow \Box[[P]-v]-w$

**proof** –

have  $|\sim [[P]-w]-v \longrightarrow [[P]-v]-w$  by (*auto simp: actrans-def*)

hence  $\vdash \Box[[P]-w]-v \longrightarrow \Box[[[P]-v]-w]-w$  by (rule *M2*)

with *T4* show *?thesis* **unfolding** *T3*[*int-rewrite*] **by (rule lift-imp-trans)**

qed

**theorem** *T6*:  $\vdash \Box F \longrightarrow \Box[\circ F]-v$

**proof** –

from *ax1* have  $|\sim \circ(\Box F \longrightarrow F)$  by (rule *nex*)

with *pax2* have  $|\sim \circ\Box F \longrightarrow \circ F$  by force

with *pax3* have  $|\sim \Box F \longrightarrow \circ F$  by (rule *pref-imp-trans*)

hence  $\vdash \Box[\Box F]-v \longrightarrow \Box[\circ F]-v$  by (rule *M2*)

with *ax2* show *?thesis* by (rule *lift-imp-trans*)

qed

**theorem** *T7*:

assumes *h*:  $|\sim F \wedge \text{Unchanged } v \longrightarrow \circ F$

shows  $|\sim (F \wedge \circ\Box F) = \Box F$

**proof** –

have  $\vdash \Box[\circ F \longrightarrow F \longrightarrow \circ F]-v$  by (rule *sq*) *auto*

with *ax4* have  $\vdash \Box[\circ F]-v \longrightarrow \Box[(F \longrightarrow \circ F)]-v$  by force

with *ax3*[*OF h, unlifted*] have  $\vdash \Box[\circ F]-v \longrightarrow (F \longrightarrow \Box F)$  by force

with *pax5* have  $|\sim F \wedge \circ\Box F \longrightarrow \Box F$  by force

with *ax1*[*of TEMP F, unlifted*] *pax3*[*of TEMP F, unlifted*] show *?thesis* by force

qed

**theorem** *T8*:  $|\sim \circ(F \wedge G) = (\circ F \wedge \circ G)$

**proof** –

have  $|\sim \circ(F \wedge G) \longrightarrow \circ F$  by (rule *N1*) *auto*

moreover

have  $|\sim \circ(F \wedge G) \longrightarrow \circ G$  by (rule *N1*) *auto*

moreover

have  $\vdash F \longrightarrow G \longrightarrow F \wedge G$  by *auto*

from *nex*[*OF this*] have  $|\sim \circ F \longrightarrow \circ G \longrightarrow \circ(F \wedge G)$

by (force *intro: pax2*[*unlift-rule*])

ultimately show *?thesis* by force

qed

**lemma T9:**  $|\sim \Box[A]-v \longrightarrow [A]-v$   
using *pax4* by *force*

**theorem H1:**  
assumes  $h1: \vdash \Box[P]-v$  and  $h2: \vdash \Box[P \longrightarrow Q]-v$   
shows  $\vdash \Box[Q]-v$   
using *assms ax4[unlifted]* by *force*

**theorem H2:** assumes  $h1: \vdash F$  shows  $\vdash \Box[F]-v$   
using *h1* by (*blast dest: pre sq*)

**theorem H3:**  
assumes  $h1: \vdash \Box[P \longrightarrow Q]-v$  and  $h2: \vdash \Box[Q \longrightarrow R]-v$   
shows  $\vdash \Box[P \longrightarrow R]-v$

**proof** –

have  $|\sim (P \longrightarrow Q) \longrightarrow (Q \longrightarrow R) \longrightarrow (P \longrightarrow R)$  by *auto*  
hence  $\vdash \Box[(P \longrightarrow Q) \longrightarrow (Q \longrightarrow R) \longrightarrow (P \longrightarrow R)]-v$  by (*rule sq*)  
with *h1* have  $\vdash \Box[(Q \longrightarrow R) \longrightarrow (P \longrightarrow R)]-v$  by (*rule H1*)  
with *h2* show *?thesis* by (*rule H1*)

qed

**theorem H4:**  $\vdash \Box[[P]-v \longrightarrow P]-v$

**proof** –

have  $|\sim v' \neq \$v \longrightarrow ([P]-v \longrightarrow P)$  by (*auto simp: unch-def actrans-def*)  
hence  $\vdash \Box[v' \neq \$v \longrightarrow ([P]-v \longrightarrow P)]-v$  by (*rule sq*)  
with *ax5* show *?thesis* by (*rule H1*)

qed

**theorem H5:**  $\vdash \Box[\Box F \longrightarrow \circ \Box F]-v$   
by (*rule pax3[THEN sq]*)

### 5.3 Some other useful derived theorems

**theorem P1:**  $|\sim \Box F \longrightarrow \circ F$

**proof** –

have  $|\sim \circ \Box F \longrightarrow \circ F$  by (*rule N1[OF ax1]*)  
with *pax3* show *?thesis* by (*rule pref-imp-trans*)

qed

**theorem P2:**  $|\sim \Box F \longrightarrow F \wedge \circ F$   
using *ax1[of F]* *P1[of F]* by *force*

**theorem P4:**  $\vdash \Box F \longrightarrow \Box[F]-v$

**proof** –

have  $\vdash \Box[\Box F]-v \longrightarrow \Box[F]-v$  by (*rule M2[OF pre[OF ax1]]*)  
with *ax2* show *?thesis* by (*rule lift-imp-trans*)

qed

**theorem P5:**  $\vdash \Box[P]-v \longrightarrow \Box[\Box[P]-v]-w$

**proof** –

**have**  $\vdash \Box\Box[P]-v \longrightarrow \Box[\Box[P]-v]-w$  **by** (rule P4)

**thus** *?thesis* **by** (unfold T2[int-rewrite])

**qed**

**theorem M0:**  $\vdash \Box F \longrightarrow \Box[F \longrightarrow \circ F]-v$

**proof** –

**from** P1 **have**  $|\sim \Box F \longrightarrow F \longrightarrow \circ F$  **by** force

**hence**  $\vdash \Box[\Box F]-v \longrightarrow \Box[F \longrightarrow \circ F]-v$  **by** (rule M2)

**with** ax2 **show** *?thesis* **by** (rule lift-imp-trans)

**qed**

**theorem M1:**  $\vdash \Box F \longrightarrow \Box[F \wedge \circ F]-v$

**proof** –

**have**  $|\sim \Box F \longrightarrow F \wedge \circ F$  **by** (rule P2)

**hence**  $\vdash \Box[\Box F]-v \longrightarrow \Box[F \wedge \circ F]-v$  **by** (rule M2)

**with** ax2 **show** *?thesis* **by** (rule lift-imp-trans)

**qed**

**theorem M3:** **assumes**  $h: \vdash F$  **shows**  $\vdash \Box[\circ F]-v$

**using** alw[OF h] T6 **by** (rule fmp)

**lemma M4:**  $\vdash \Box[\circ(F \wedge G) = (\circ F \wedge \circ G)]-v$

**by** (rule sq[OF T8])

**theorem M5:**  $\vdash \Box[\Box[P]-v \longrightarrow \circ\Box[P]-v]-w$

**proof** (rule sq)

**show**  $|\sim \Box[P]-v \longrightarrow \circ\Box[P]-v$  **by** (auto simp: pax4[unlifted])

**qed**

**theorem M6:**  $\vdash \Box[F \wedge G]-v \longrightarrow \Box[F]-v \wedge \Box[G]-v$

**proof** –

**have**  $\vdash \Box[F \wedge G]-v \longrightarrow \Box[F]-v$  **by** (rule M2) *auto*

**moreover**

**have**  $\vdash \Box[F \wedge G]-v \longrightarrow \Box[G]-v$  **by** (rule M2) *auto*

**ultimately show** *?thesis* **by** force

**qed**

**theorem M7:**  $\vdash \Box[F]-v \wedge \Box[G]-v \longrightarrow \Box[F \wedge G]-v$

**proof** –

**have**  $|\sim F \longrightarrow G \longrightarrow F \wedge G$  **by** *auto*

**hence**  $\vdash \Box[F]-v \longrightarrow \Box[G \longrightarrow F \wedge G]-v$  **by** (rule M2)

**with** ax4 **show** *?thesis* **by** force

**qed**

**theorem M8:**  $\vdash \Box[F \wedge G]-v = (\Box[F]-v \wedge \Box[G]-v)$

**by** (rule int-iffI[OF M6 M7])

**theorem M9:**  $|\sim \Box F \longrightarrow F \wedge \circ\Box F$   
**using** *pre*[*OF ax1*[*of F*]] *pax3*[*of F*] **by** *force*

**theorem M10:**  
**assumes** *h*:  $|\sim F \wedge \text{Unchanged } v \longrightarrow \circ F$   
**shows**  $|\sim F \wedge \circ\Box F \longrightarrow \Box F$   
**using** *T7*[*OF h*] **by** *auto*

**theorem M11:**  
**assumes** *h*:  $|\sim [A]-f \longrightarrow [B]-g$   
**shows**  $\vdash \Box[A]-f \longrightarrow \Box[B]-g$   
**proof** –  
**from** *h* **have**  $\vdash \Box[[A]-f]-g \longrightarrow \Box[[B]-g]-g$  **by** (*rule M2*)  
**with** *T4* **show** *?thesis* **by** *force*  
**qed**

**theorem M12:**  $\vdash (\Box[A]-f \wedge \Box[B]-g) = \Box[[A]-f \wedge [B]-g]-(f,g)$

**proof** –  
**have**  $\vdash \Box[A]-f \wedge \Box[B]-g \longrightarrow \Box[[A]-f \wedge [B]-g]-(f,g)$   
**by** (*auto simp: M8[int-rewrite] elim: T4[unlift-rule]*)  
**moreover**  
**have**  $|\sim [[A]-f \wedge [B]-g]-(f,g) \longrightarrow [A]-f$   
**by** (*auto simp: actrans-def unch-def all-before-eq all-after-eq*)  
**hence**  $\vdash \Box[[A]-f \wedge [B]-g]-(f,g) \longrightarrow \Box[A]-f$  **by** (*rule M11*)  
**moreover**  
**have**  $|\sim [[A]-f \wedge [B]-g]-(f,g) \longrightarrow [B]-g$   
**by** (*auto simp: actrans-def unch-def all-before-eq all-after-eq*)  
**hence**  $\vdash \Box[[A]-f \wedge [B]-g]-(f,g) \longrightarrow \Box[B]-g$   
**by** (*rule M11*)  
**ultimately show** *?thesis* **by** *force*  
**qed**

We now derive Lamport’s 6 simple temporal logic rules (STL1)-(STL6) [5].  
 Firstly, STL1 is the same as  $\vdash ?F \Longrightarrow \vdash \Box ?F$  derived above.

**lemmas** *STL1* = *alw*

STL2 and STL3 have also already been derived.

**lemmas** *STL2* = *ax1*

**lemmas** *STL3* = *T1*

As with the derivation of  $\vdash ?F \Longrightarrow \vdash \Box ?F$ , a purely syntactic derivation of (STL4) relies on an additional argument – either using *Unchanged* or *STUTINV*.

**theorem STL4-2:**  
**assumes** *h1*:  $\vdash F \longrightarrow G$  **and** *h2*:  $|\sim G \wedge \text{Unchanged } v \longrightarrow \circ G$   
**shows**  $\vdash \Box F \longrightarrow \Box G$



**proof** –

**from**  $ax1[of\ F]$   $h1$  **have**  $\vdash \Box F \longrightarrow G$  **by** (*rule lift-imp-trans*)

**moreover**

**from**  $h1$  **have**  $|\sim \circ F \longrightarrow \circ G$  **by** (*rule N1*)

**hence**  $|\sim \circ F \longrightarrow G \longrightarrow \circ G$  **by** *auto*

**hence**  $\vdash \Box[\circ F]-v \longrightarrow \Box[G \longrightarrow \circ G]-v$  **by** (*rule M2*)

**with**  $T6$  **have**  $\vdash \Box F \longrightarrow \Box[G \longrightarrow \circ G]-v$  **by** (*rule lift-imp-trans*)

**moreover**

**from**  $h2$  **have**  $\vdash \Box[G \longrightarrow \circ G]-v \longrightarrow G \longrightarrow \Box G$  **by** (*rule ax3*)

**ultimately**

**show** *?thesis* **by** *force*

**qed**

**lemma**  $STL4-3$ :

**assumes**  $h1: \vdash F \longrightarrow G$  **and**  $h2: STUTINV\ G$

**shows**  $\vdash \Box F \longrightarrow \Box G$

**using**  $h1\ h2[THEN\ pre-id-unch]$  **by** (*rule STL4-2*)

Of course, the original rule can be derived semantically

**lemma**  $STL4$ : **assumes**  $h: \vdash F \longrightarrow G$  **shows**  $\vdash \Box F \longrightarrow \Box G$

**using**  $h$  **by** (*force simp: always-def*)

Dual rule for  $\diamond$

**lemma**  $STL4-eve$ : **assumes**  $h: \vdash F \longrightarrow G$  **shows**  $\vdash \diamond F \longrightarrow \diamond G$

**using**  $h$  **by** (*force simp: eventually-defs*)

Similarly, a purely syntactic derivation of (STL5) requires extra hypotheses.

**theorem**  $STL5-2$ :

**assumes**  $h1: |\sim F \wedge Unchanged\ f \longrightarrow \circ F$

**and**  $h2: |\sim G \wedge Unchanged\ g \longrightarrow \circ G$

**shows**  $\vdash \Box(F \wedge G) = (\Box F \wedge \Box G)$

**proof** (*rule int-iffI*)

**have**  $\vdash F \wedge G \longrightarrow F$  **by** *auto*

**from** *this*  $h1$  **have**  $\vdash \Box(F \wedge G) \longrightarrow \Box F$  **by** (*rule STL4-2*)

**moreover**

**have**  $\vdash F \wedge G \longrightarrow G$  **by** *auto*

**from** *this*  $h2$  **have**  $\vdash \Box(F \wedge G) \longrightarrow \Box G$  **by** (*rule STL4-2*)

**ultimately show**  $\vdash \Box(F \wedge G) \longrightarrow \Box F \wedge \Box G$  **by** *force*

**next**

**have**  $|\sim Unchanged\ (f,g) \longrightarrow Unchanged\ f \wedge Unchanged\ g$  **by** (*auto simp: unch-defs*)

**with**  $h1[unlifted]\ h2[unlifted]\ T8[of\ F\ G,\ unlifted]$

**have**  $h3: |\sim (F \wedge G) \wedge Unchanged\ (f,g) \longrightarrow \circ(F \wedge G)$  **by** *force*

**from**  $ax1[of\ F]\ ax1[of\ G]$  **have**  $\vdash \Box F \wedge \Box G \longrightarrow F \wedge G$  **by** *force*

**moreover**

**from**  $ax2[of\ F]\ ax2[of\ G]$  **have**  $\vdash \Box F \wedge \Box G \longrightarrow \Box[\Box F]-(f,g) \wedge \Box[\Box G]-(f,g)$  **by** *force*

**with**  $M8$  **have**  $\vdash \Box F \wedge \Box G \longrightarrow \Box[\Box F \wedge \Box G]-(f,g)$  **by** *force*

**moreover**

**from**  $P1[of F] P1[of G]$  **have**  $|\sim \Box F \wedge \Box G \longrightarrow F \wedge G \longrightarrow \circ(F \wedge G)$   
**unfolding**  $T8[int-rewrite]$  **by force**  
**hence**  $\vdash \Box[\Box F \wedge \Box G]-(f,g) \longrightarrow \Box[F \wedge G \longrightarrow \circ(F \wedge G)]-(f,g)$  **by** (rule  $M2$ )  
**from this**  $ax3[OF h3]$  **have**  $\vdash \Box[\Box F \wedge \Box G]-(f,g) \longrightarrow F \wedge G \longrightarrow \Box(F \wedge G)$   
**by** (rule *lift-imp-trans*)  
**ultimately show**  $\vdash \Box F \wedge \Box G \longrightarrow \Box(F \wedge G)$  **by force**  
**qed**

**theorem**  $STL5-21$ :

**assumes**  $h1$ : *stutinv*  $F$  **and**  $h2$ : *stutinv*  $G$   
**shows**  $\vdash \Box(F \wedge G) = (\Box F \wedge \Box G)$   
**using**  $h1$  [ $THEN$  *pre-id-unch*]  $h2$  [ $THEN$  *pre-id-unch*] **by** (rule  $STL5-2$ )

We also derive  $STL5$  semantically.

**lemma**  $STL5$ :  $\vdash \Box(F \wedge G) = (\Box F \wedge \Box G)$   
**by** (*auto simp: always-def*)

Elimination rule corresponding to  $STL5$  in unlifted form.

**lemma** *box-conjE*:

**assumes**  $s \models \Box F$  **and**  $s \models \Box G$  **and**  $s \models \Box(F \wedge G) \implies P$   
**shows**  $P$   
**using** *assms* **by** (*auto simp: STL5[unlifted]*)

**lemma** *box-thin*:

**assumes**  $h1$ :  $s \models \Box F$  **and**  $h2$ :  $PROP W$   
**shows**  $PROP W$   
**using**  $h2$  .

Finally, we derive  $STL6$  (only semantically)

**lemma**  $STL6$ :  $\vdash \Diamond \Box(F \wedge G) = (\Diamond \Box F \wedge \Diamond \Box G)$

**proof** *auto*

**fix**  $w$

**assume**  $a1$ :  $w \models \Diamond \Box F$  **and**  $a2$ :  $w \models \Diamond \Box G$

**from**  $a1$  **obtain**  $nf$  **where**  $nf$ :  $(w \upharpoonright_s nf) \models \Box F$  **by** (*auto simp: eventually-defs*)

**from**  $a2$  **obtain**  $ng$  **where**  $ng$ :  $(w \upharpoonright_s ng) \models \Box G$  **by** (*auto simp: eventually-defs*)

**let**  $?n = \max nf ng$

**have**  $nf \leq ?n$  **by** *simp*

**from this**  $nf$  **have**  $(w \upharpoonright_s ?n) \models \Box F$  **by** (rule *linalw*)

**moreover**

**have**  $ng \leq ?n$  **by** *simp*

**from this**  $ng$  **have**  $(w \upharpoonright_s ?n) \models \Box G$  **by** (rule *linalw*)

**ultimately**

**have**  $(w \upharpoonright_s ?n) \models \Box(F \wedge G)$  **by** (rule *box-conjE*)

**thus**  $w \models \Diamond \Box(F \wedge G)$  **by** (*auto simp: eventually-defs*)

**next**

**fix**  $w$

**assume**  $h$ :  $w \models \Diamond \Box(F \wedge G)$

**have**  $\vdash F \wedge G \longrightarrow F$  **by** *auto*

**hence**  $\vdash \Diamond \Box(F \wedge G) \longrightarrow \Diamond \Box F$  **by** (rule  $STL4$ -eve[ $OF$   $STL4$ ])

**with**  $h$  **show**  $w \models \Diamond \Box F$  **by** *auto*  
**next**  
**fix**  $w$   
**assume**  $h: w \models \Diamond \Box (F \wedge G)$   
**have**  $\vdash F \wedge G \longrightarrow G$  **by** *auto*  
**hence**  $\vdash \Diamond \Box (F \wedge G) \longrightarrow \Diamond \Box G$  **by** (*rule STL4-eve[OF STL4]*)  
**with**  $h$  **show**  $w \models \Diamond \Box G$  **by** *auto*  
**qed**

**lemma** *MM0*:  $\vdash \Box (F \longrightarrow G) \longrightarrow \Box F \longrightarrow \Box G$   
**proof** –  
**have**  $\vdash \Box (F \wedge (F \longrightarrow G)) \longrightarrow \Box G$  **by** (*rule STL4*) *auto*  
**thus** *?thesis* **by** (*auto simp: STL5[int-rewrite]*)  
**qed**

**lemma** *MM1*: **assumes**  $h: \vdash F = G$  **shows**  $\vdash \Box F = \Box G$   
**by** (*auto simp: h[int-rewrite]*)

**theorem** *MM2*:  $\vdash \Box A \wedge \Box (B \longrightarrow C) \longrightarrow \Box (A \wedge B \longrightarrow C)$   
**proof** –  
**have**  $\vdash \Box (A \wedge (B \longrightarrow C)) \longrightarrow \Box (A \wedge B \longrightarrow C)$  **by** (*rule STL4*) *auto*  
**thus** *?thesis* **by** (*auto simp: STL5[int-rewrite]*)  
**qed**

**theorem** *MM3*:  $\vdash \Box \neg A \longrightarrow \Box (A \wedge B \longrightarrow C)$   
**by** (*rule STL4*) *auto*

**theorem** *MM4[simp-unl]*:  $\vdash \Box \#F = \#F$   
**proof** (*cases F*)  
**assume**  $F$   
**hence**  $1: \vdash \#F$  **by** *auto*  
**hence**  $\vdash \Box \#F$  **by** (*rule alw*)  
**with**  $1$  **show** *?thesis* **by** *force*  
**next**  
**assume**  $\neg F$   
**hence**  $1: \vdash \neg \#F$  **by** *auto*  
**from** *ax1* **have**  $\vdash \neg \#F \longrightarrow \neg \Box \#F$  **by** (*rule lift-imp-neg*)  
**with**  $1$  **show** *?thesis* **by** *force*  
**qed**

**theorem** *MM4b[simp-unl]*:  $\vdash \Box \neg \#F = \neg \#F$   
**proof** –  
**have**  $\vdash \neg \#F = \#(\neg F)$  **by** *auto*  
**hence**  $\vdash \Box \neg \#F = \Box \#(\neg F)$  **by** (*rule MM1*)  
**thus** *?thesis* **by** *auto*  
**qed**

**theorem** *MM5*:  $\vdash \Box F \vee \Box G \longrightarrow \Box (F \vee G)$   
**proof** –

**have**  $\vdash \Box F \longrightarrow \Box(F \vee G)$  **by** (*rule STL4*) *auto*  
**moreover**  
**have**  $\vdash \Box G \longrightarrow \Box(F \vee G)$  **by** (*rule STL4*) *auto*  
**ultimately show** *?thesis* **by** *force*  
**qed**

**theorem** *MM6*:  $\vdash \Box F \vee \Box G \longrightarrow \Box(\Box F \vee \Box G)$   
**proof** –  
**have**  $\vdash \Box \Box F \vee \Box \Box G \longrightarrow \Box(\Box F \vee \Box G)$  **by** (*rule MM5*)  
**thus** *?thesis* **by** *simp*  
**qed**

**lemma** *MM10*:  
**assumes** *h*:  $\vdash F = G$  **shows**  $\vdash \Box[F]-v = \Box[G]-v$   
**by** (*auto simp: h[int-rewrite]*)

**lemma** *MM9*:  
**assumes** *h*:  $\vdash F = G$  **shows**  $\vdash \Box[F]-v = \Box[G]-v$   
**by** (*rule MM10[OF pre[OF h]]*)

**theorem** *MM11*:  $\vdash \Box[\neg(P \wedge Q)]-v \longrightarrow \Box[P]-v \longrightarrow \Box[P \wedge \neg Q]-v$   
**proof** –  
**have**  $\vdash \Box[\neg(P \wedge Q)]-v \longrightarrow \Box[P \longrightarrow P \wedge \neg Q]-v$  **by** (*rule M2*) *auto*  
**from** *this ax4* **show** *?thesis* **by** (*rule lift-imp-trans*)  
**qed**

**theorem** *MM12[simp-unl]*:  $\vdash \Box[\Box[P]-v]-v = \Box[P]-v$   
**proof** (*rule int-iffI*)  
**have**  $\vdash \Box[P]-v \longrightarrow [P]-v$  **by** (*auto simp: pax4[unlifted]*)  
**hence**  $\vdash \Box[\Box[P]-v]-v \longrightarrow \Box[[P]-v]-v$  **by** (*rule M2*)  
**thus**  $\vdash \Box[\Box[P]-v]-v \longrightarrow \Box[P]-v$  **by** (*unfold T3[int-rewrite]*)  
**next**  
**have**  $\vdash \Box \Box[P]-v \longrightarrow \Box[\Box \Box[P]-v]-v$  **by** (*rule ax2*)  
**thus**  $\vdash \Box[P]-v \longrightarrow \Box[\Box[P]-v]-v$  **by** *auto*  
**qed**

## 5.4 Theorems about the eventually operator

**theorem** *dualization*:  
 $\vdash \neg \Box F = \Diamond \neg F$   
 $\vdash \neg \Diamond F = \Box \neg F$   
 $\vdash \neg \Box[A]-v = \Diamond \langle \neg A \rangle -v$   
 $\vdash \neg \Diamond \langle A \rangle -v = \Box[\neg A]-v$   
**unfolding** *eventually-def angle-action-def* **by** *simp-all*

**lemmas** *dualization-rew* = *dualization[int-rewrite]*  
**lemmas** *dualization-unl* = *dualization[unlifted]*

**theorem** *E1*:  $\vdash \Diamond(F \vee G) = (\Diamond F \vee \Diamond G)$

**proof** –  
**have**  $\vdash \Box \neg(F \vee G) = \Box(\neg F \wedge \neg G)$  **by** (rule *MM1*) *auto*  
**thus** *?thesis* **unfolding** *eventually-def STL5[int-rewrite]* **by** *force*  
**qed**

**theorem** *E3*:  $\vdash F \longrightarrow \Diamond F$   
**unfolding** *eventually-def* **by** (*force dest: ax1[unlift-rule]*)

**theorem** *E4*:  $\vdash \Box F \longrightarrow \Diamond F$   
**by** (*rule lift-imp-trans[OF ax1 E3]*)

**theorem** *E5*:  $\vdash \Box F \longrightarrow \Box \Diamond F$   
**proof** –  
**have**  $\vdash \Box \Box F \longrightarrow \Box \Diamond F$  **by** (*rule STL4[OF E4]*)  
**thus** *?thesis* **by** *simp*  
**qed**

**theorem** *E6*:  $\vdash \Box F \longrightarrow \Diamond \Box F$   
**using** *E4[of TEMP Box F]* **by** *simp*

**theorem** *E7*:  
**assumes** *h*:  $|\sim \neg F \wedge \text{Unchanged } v \longrightarrow \bigcirc \neg F$   
**shows**  $|\sim \Diamond F \longrightarrow F \vee \bigcirc \Diamond F$   
**proof** –  
**from** *h* **have**  $|\sim \neg F \wedge \bigcirc \Box \neg F \longrightarrow \Box \neg F$  **by** (*rule M10*)  
**thus** *?thesis* **by** (*auto simp: eventually-def*)  
**qed**

**theorem** *E8*:  $\vdash \Diamond(F \longrightarrow G) \longrightarrow \Box F \longrightarrow \Diamond G$   
**proof** –  
**have**  $\vdash \Box(F \wedge \neg G) \longrightarrow \Box \neg(F \longrightarrow G)$  **by** (*rule STL4*) *auto*  
**thus** *?thesis* **unfolding** *eventually-def STL5[int-rewrite]* **by** *auto*  
**qed**

**theorem** *E9*:  $\vdash \Box(F \longrightarrow G) \longrightarrow \Diamond F \longrightarrow \Diamond G$   
**proof** –  
**have**  $\vdash \Box(F \longrightarrow G) \longrightarrow \Box(\neg G \longrightarrow \neg F)$  **by** (*rule STL4*) *auto*  
**with** *MM0[of TEMP neg G TEMP neg F]* **show** *?thesis* **unfolding** *eventually-def*  
**by** *force*  
**qed**

**theorem** *E10[simp-unl]*:  $\vdash \Diamond \Diamond F = \Diamond F$   
**by** (*simp add: eventually-def*)

**theorem** *E22*:  
**assumes** *h*:  $\vdash F = G$   
**shows**  $\vdash \Diamond F = \Diamond G$   
**by** (*auto simp: h[int-rewrite]*)

**theorem** *E15[simp-unl]*:  $\vdash \Diamond \#F = \#F$   
**by** (*simp add: eventually-def*)

**theorem** *E15b[simp-unl]*:  $\vdash \Diamond \neg \#F = \neg \#F$   
**by** (*simp add: eventually-def*)

**theorem** *E16*:  $\vdash \Diamond \Box F \longrightarrow \Diamond F$   
**by** (*rule STL4-eve[OF ax1]*)

An action version of STL6

**lemma** *STL6-act*:  $\vdash \Diamond(\Box[F]-v \wedge \Box[G]-w) = (\Diamond\Box[F]-v \wedge \Diamond\Box[G]-w)$

**proof** –

**have**  $\vdash (\Diamond\Box(\Box[F]-v \wedge \Box[G]-w)) = \Diamond(\Box\Box[F]-v \wedge \Box\Box[G]-w)$  **by** (*rule E22[OF STL5]*)

**thus** *?thesis* **by** (*auto simp: STL6[int-rewrite]*)

**qed**

**lemma** *SE1*:  $\vdash \Box F \wedge \Diamond G \longrightarrow \Diamond(\Box F \wedge G)$

**proof** –

**have**  $\vdash \Box\neg(\Box F \wedge G) \longrightarrow \Box(\Box F \longrightarrow \neg G)$  **by** (*rule STL4*) *auto*

**with** *MM0* **show** *?thesis* **by** (*force simp: eventually-def*)

**qed**

**lemma** *SE2*:  $\vdash \Box F \wedge \Diamond G \longrightarrow \Diamond(F \wedge G)$

**proof** –

**have**  $\vdash \Box F \wedge G \longrightarrow F \wedge G$  **by** (*auto elim: ax1[unlift-rule]*)

**hence**  $\vdash \Diamond(\Box F \wedge G) \longrightarrow \Diamond(F \wedge G)$  **by** (*rule STL4-eve*)

**with** *SE1* **show** *?thesis* **by** (*rule lift-imp-trans*)

**qed**

**lemma** *SE3*:  $\vdash \Box F \wedge \Diamond G \longrightarrow \Diamond(G \wedge F)$

**proof** –

**have**  $\vdash \Diamond(F \wedge G) \longrightarrow \Diamond(G \wedge F)$  **by** (*rule STL4-eve*) *auto*

**with** *SE2* **show** *?thesis* **by** (*rule lift-imp-trans*)

**qed**

**lemma** *SE4*:

**assumes** *h1*:  $s \models \Box F$  **and** *h2*:  $s \models \Diamond G$  **and** *h3*:  $\vdash \Box F \wedge G \longrightarrow H$

**shows**  $s \models \Diamond H$

**using** *h1 h2 h3* [*THEN STL4-eve*] *SE1* **by** *force*

**theorem** *E17*:  $\vdash \Box\Diamond\Box F \longrightarrow \Box\Diamond F$

**by** (*rule STL4[OF STL4-eve[OF ax1]]*)

**theorem** *E18*:  $\vdash \Box\Diamond\Box F \longrightarrow \Diamond\Box F$

**by** (*rule ax1*)

**theorem** *E19*:  $\vdash \Diamond\Box F \longrightarrow \Box\Diamond\Box F$

**proof** –

**have**  $\vdash (\Box F \wedge \neg \Box F) = \#False$  **by** *auto*  
**hence**  $\vdash \Diamond \Box (\Box F \wedge \neg \Box F) = \Diamond \Box \#False$  **by** (*rule E22[OF MM1]*)  
**thus** *?thesis* **unfolding** *STL6[int-rewrite]* **by** (*auto simp: eventually-def*)  
**qed**

**theorem E20:**  $\vdash \Diamond \Box F \longrightarrow \Box \Diamond F$   
**by** (*rule lift-imp-trans[OF E19 E17]*)

**theorem E21** [*simp-unl*]:  $\vdash \Box \Diamond \Box F = \Diamond \Box F$   
**by** (*rule int-iffI[OF E18 E19]*)

**theorem E27** [*simp-unl*]:  $\vdash \Diamond \Box \Diamond F = \Box \Diamond F$   
**using** *E21* **unfolding** *eventually-def* **by** *force*

**lemma E28:**  $\vdash \Diamond \Box F \wedge \Box \Diamond G \longrightarrow \Box \Diamond (F \wedge G)$

**proof** –

**have**  $\vdash \Diamond \Box (\Box F \wedge \Diamond G) \longrightarrow \Diamond \Box \Diamond (F \wedge G)$  **by** (*rule STL4-eve[OF STL4[OF SE2]]*)  
**thus** *?thesis* **by** (*simp add: STL6[int-rewrite]*)  
**qed**

**lemma E23:**  $|\sim \circ F \longrightarrow \Diamond F$   
**using** *P1* **by** (*force simp: eventually-def*)

**lemma E24:**  $\vdash \Diamond \Box Q \longrightarrow \Box [\Diamond Q]-v$   
**by** (*rule lift-imp-trans[OF E20 P4]*)

**lemma E25:**  $\vdash \Diamond \langle A \rangle -v \longrightarrow \Diamond A$   
**using** *P4* **by** (*force simp: eventually-def angle-action-def*)

**lemma E26:**  $\vdash \Box \Diamond \langle A \rangle -v \longrightarrow \Box \Diamond A$   
**by** (*rule STL4[OF E25]*)

**lemma allBox:**  $(s \models \Box (\forall x. F x)) = (\forall x. s \models \Box (F x))$   
**unfolding** *allT[unlifted]* **..**

**lemma E29:**  $|\sim \circ \Diamond F \longrightarrow \Diamond F$   
**unfolding** *eventually-def* **using** *pax3* **by** *force*

**lemma E30:**  
**assumes** *h1*:  $\vdash F \longrightarrow \Box F$  **and** *h2*:  $\vdash \Diamond F$   
**shows**  $\vdash \Diamond \Box F$   
**using** *h2 h1* [*THEN STL4-eve*] **by** (*rule fmp*)

**lemma E31:**  $\vdash \Box (F \longrightarrow \Box F) \wedge \Diamond F \longrightarrow \Diamond \Box F$

**proof** –

**have**  $\vdash \Box (F \longrightarrow \Box F) \wedge \Diamond F \longrightarrow \Diamond (\Box (F \longrightarrow \Box F) \wedge F)$  **by** (*rule SE1*)

**moreover**

**have**  $\vdash \Box (F \longrightarrow \Box F) \wedge F \longrightarrow \Box F$  **using** *ax1* [*of TEMP F \longrightarrow \Box F*] **by** *auto*

**hence**  $\vdash \Diamond (\Box (F \longrightarrow \Box F) \wedge F) \longrightarrow \Diamond \Box F$  **by** (*rule STL4-eve*)

**ultimately show** *?thesis* **by** (*rule lift-imp-trans*)  
**qed**

**lemma** *allActBox*:  $(s \models \Box[(\forall x. F x)]-v) = (\forall x. s \models \Box[(F x)]-v)$   
**unfolding** *allActT[unlifted]* ..

**theorem** *exEE*:  $\vdash (\exists x. \Diamond(F x)) = \Diamond(\exists x. F x)$

**proof** –

**have**  $\vdash \neg(\exists x. \Diamond(F x)) = \neg\Diamond(\exists x. F x)$

**by** (*auto simp: eventually-def Not-Rex[int-rewrite]* *allBox*)

**thus** *?thesis* **by** *force*

**qed**

**theorem** *exActE*:  $\vdash (\exists x. \Diamond\langle F x \rangle -v) = \Diamond\langle (\exists x. F x) \rangle -v$

**proof** –

**have**  $\vdash \neg(\exists x. \Diamond\langle F x \rangle -v) = \neg\Diamond\langle (\exists x. F x) \rangle -v$

**by** (*auto simp: angle-action-def Not-Rex[int-rewrite]* *allActBox*)

**thus** *?thesis* **by** *force*

**qed**

## 5.5 Theorems about the leadsto operator

**theorem** *LT1*:  $\vdash F \rightsquigarrow F$

**unfolding** *leadsto-def* **by** (*rule alw[OF E3]*)

**theorem** *LT2*: **assumes** *h*:  $\vdash F \longrightarrow G$  **shows**  $\vdash F \longrightarrow \Diamond G$

**by** (*rule lift-imp-trans[OF h E3]*)

**theorem** *LT3*: **assumes** *h*:  $\vdash F \longrightarrow G$  **shows**  $\vdash F \rightsquigarrow G$

**unfolding** *leadsto-def* **by** (*rule alw[OF LT2[OF h]]*)

**theorem** *LT4*:  $\vdash F \longrightarrow (F \rightsquigarrow G) \longrightarrow \Diamond G$

**unfolding** *leadsto-def* **using** *ax1[of TEMP F  $\longrightarrow$   $\Diamond G$ ]* **by** *auto*

**theorem** *LT5*:  $\vdash \Box(F \longrightarrow \Diamond G) \longrightarrow \Diamond F \longrightarrow \Diamond G$

**using** *E9[of F TEMP  $\Diamond G$ ]* **by** *simp*

**theorem** *LT6*:  $\vdash \Diamond F \longrightarrow (F \rightsquigarrow G) \longrightarrow \Diamond G$

**unfolding** *leadsto-def* **using** *LT5[of F G]* **by** *auto*

**theorem** *LT9[simp-unl]*:  $\vdash \Box(F \rightsquigarrow G) = (F \rightsquigarrow G)$

**by** (*auto simp: leadsto-def*)

**theorem** *LT7*:  $\vdash \Box\Diamond F \longrightarrow (F \rightsquigarrow G) \longrightarrow \Box\Diamond G$

**proof** –

**have**  $\vdash \Box\Diamond F \longrightarrow \Box((F \rightsquigarrow G) \longrightarrow \Diamond G)$  **by** (*rule STL4[OF LT6]*)

**from** *lift-imp-trans[OF this MM0]* **show** *?thesis* **by** *simp*

**qed**



**theorem** *LT8*:  $\vdash \Box \Diamond G \longrightarrow (F \rightsquigarrow G)$   
**unfolding** *leadsto-def* **by** (*rule STL4*) *auto*

**theorem** *LT13*:  $\vdash (F \rightsquigarrow G) \longrightarrow (G \rightsquigarrow H) \longrightarrow (F \rightsquigarrow H)$   
**proof** –  
**have**  $\vdash \Diamond G \longrightarrow (G \rightsquigarrow H) \longrightarrow \Diamond H$  **by** (*rule LT6*)  
**hence**  $\vdash \Box(F \longrightarrow \Diamond G) \longrightarrow \Box((G \rightsquigarrow H) \longrightarrow (F \longrightarrow \Diamond H))$  **by** (*intro STL4*) *auto*  
**from** *lift-imp-trans*[*OF this MM0*] **show** *?thesis* **by** (*simp add: leadsto-def*)  
**qed**

**theorem** *LT11*:  $\vdash (F \rightsquigarrow G) \longrightarrow (F \rightsquigarrow (G \vee H))$   
**proof** –  
**have**  $\vdash G \rightsquigarrow (G \vee H)$  **by** (*rule LT3*) *auto*  
**with** *LT13*[*of F G TEMP (G ∨ H)*] **show** *?thesis* **by** *force*  
**qed**

**theorem** *LT12*:  $\vdash (F \rightsquigarrow H) \longrightarrow (F \rightsquigarrow (G \vee H))$   
**proof** –  
**have**  $\vdash H \rightsquigarrow (G \vee H)$  **by** (*rule LT3*) *auto*  
**with** *LT13*[*of F H TEMP (G ∨ H)*] **show** *?thesis* **by** *force*  
**qed**

**theorem** *LT14*:  $\vdash ((F \vee G) \rightsquigarrow H) \longrightarrow (F \rightsquigarrow H)$   
**unfolding** *leadsto-def* **by** (*rule STL4*) *auto*

**theorem** *LT15*:  $\vdash ((F \vee G) \rightsquigarrow H) \longrightarrow (G \rightsquigarrow H)$   
**unfolding** *leadsto-def* **by** (*rule STL4*) *auto*

**theorem** *LT16*:  $\vdash (F \rightsquigarrow H) \longrightarrow (G \rightsquigarrow H) \longrightarrow ((F \vee G) \rightsquigarrow H)$   
**proof** –  
**have**  $\vdash \Box(F \longrightarrow \Diamond H) \longrightarrow \Box((G \longrightarrow \Diamond H) \longrightarrow (F \vee G \longrightarrow \Diamond H))$  **by** (*rule STL4*)  
*auto*  
**from** *lift-imp-trans*[*OF this MM0*] **show** *?thesis* **by** (*unfold leadsto-def*)  
**qed**

**theorem** *LT17*:  $\vdash ((F \vee G) \rightsquigarrow H) = ((F \rightsquigarrow H) \wedge (G \rightsquigarrow H))$   
**by** (*auto elim: LT14*[*unlift-rule*] *LT15*[*unlift-rule*] *LT16*[*unlift-rule*])

**theorem** *LT10*:  
**assumes** *h*:  $\vdash (F \wedge \neg G) \rightsquigarrow G$   
**shows**  $\vdash F \rightsquigarrow G$   
**proof** –  
**from** *h* **have**  $\vdash ((F \wedge \neg G) \vee G) \rightsquigarrow G$   
**by** (*auto simp: LT17*[*int-rewrite*] *LT1*[*int-rewrite*])  
**moreover**  
**have**  $\vdash F \rightsquigarrow ((F \wedge \neg G) \vee G)$  **by** (*rule LT3, auto*)  
**ultimately**  
**show** *?thesis* **by** (*force elim: LT13*[*unlift-rule*])

qed

**theorem** *LT18*:  $\vdash (A \rightsquigarrow (B \vee C)) \longrightarrow (B \rightsquigarrow D) \longrightarrow (C \rightsquigarrow D) \longrightarrow (A \rightsquigarrow D)$   
**proof** –  
  **have**  $\vdash (B \rightsquigarrow D) \longrightarrow (C \rightsquigarrow D) \longrightarrow ((B \vee C) \rightsquigarrow D)$  **by** (*rule LT16*)  
  **thus** *?thesis* **by** (*force elim: LT13[unlift-rule]*)  
qed

**theorem** *LT19*:  $\vdash (A \rightsquigarrow (D \vee B)) \longrightarrow (B \rightsquigarrow D) \longrightarrow (A \rightsquigarrow D)$   
  **using** *LT18[of A D B D]* *LT1[of D]* **by force**

**theorem** *LT20*:  $\vdash (A \rightsquigarrow (B \vee D)) \longrightarrow (B \rightsquigarrow D) \longrightarrow (A \rightsquigarrow D)$   
  **using** *LT18[of A B D D]* *LT1[of D]* **by force**

**theorem** *LT21*:  $\vdash ((\exists x. F x) \rightsquigarrow G) = (\forall x. (F x \rightsquigarrow G))$   
**proof** –  
  **have**  $\vdash \Box((\exists x. F x) \longrightarrow \Diamond G) = \Box(\forall x. (F x \longrightarrow \Diamond G))$  **by** (*rule MM1*) *auto*  
  **thus** *?thesis* **by** (*unfold leadsto-def allT[int-rewrite]*)  
qed

**theorem** *LT22*:  $\vdash (F \rightsquigarrow (G \vee H)) \longrightarrow \Box \neg G \longrightarrow (F \rightsquigarrow H)$   
**proof** –  
  **have**  $\vdash \Box \neg G \longrightarrow (G \rightsquigarrow H)$  **unfolding leadsto-def** **by** (*rule STL4*) *auto*  
  **thus** *?thesis* **by** (*force elim: LT20[unlift-rule]*)  
qed

**lemma** *LT23*:  $\vdash \sim (P \longrightarrow \circ Q) \longrightarrow (P \longrightarrow \Diamond Q)$   
  **by** (*auto dest: E23[unlift-rule]*)

**theorem** *LT24*:  $\vdash \Box I \longrightarrow ((P \wedge I) \rightsquigarrow Q) \longrightarrow P \rightsquigarrow Q$   
**proof** –  
  **have**  $\vdash \Box I \longrightarrow \Box((P \wedge I \longrightarrow \Diamond Q) \longrightarrow (P \longrightarrow \Diamond Q))$  **by** (*rule STL4*) *auto*  
  **from** *lift-imp-trans[OF this MM0]* **show** *?thesis* **by** (*unfold leadsto-def*)  
qed

**theorem** *LT25[simp-unl]*:  $\vdash (F \rightsquigarrow \#False) = \Box \neg F$   
**unfolding leadsto-def** **proof** (*rule MM1*)  
  **show**  $\vdash (F \longrightarrow \Diamond \#False) = \neg F$  **by** *simp*  
qed

**lemma** *LT28*:  
  **assumes** *h*:  $\vdash \sim P \longrightarrow \circ P \vee \circ Q$   
  **shows**  $\vdash \sim (P \longrightarrow \circ P) \vee \Diamond Q$   
  **using** *h E23[of Q]* **by force**

**lemma** *LT29*:  
  **assumes** *h1*:  $\vdash \sim P \longrightarrow \circ P \vee \circ Q$  **and** *h2*:  $\vdash P \wedge \text{Unchanged } v \longrightarrow \circ P$   
  **shows**  $\vdash P \longrightarrow \Box P \vee \Diamond Q$   
**proof** –

**from**  $h1$  [*THEN LT28*] **have**  $|\sim \Box \neg Q \longrightarrow (P \longrightarrow \circ P)$  **unfolding** *eventually-def*  
**by** *auto*  
**hence**  $\vdash \Box[\Box \neg Q]\text{-}v \longrightarrow \Box[P \longrightarrow \circ P]\text{-}v$  **by** (*rule M2*)  
**moreover**  
**have**  $\vdash \neg \Diamond Q \longrightarrow \Box[\Box \neg Q]\text{-}v$  **unfolding** *dualization-rew* **by** (*rule ax2*)  
**moreover**  
**note**  $ax3$  [*OF h2*]  
**ultimately**  
**show** *?thesis* **by** *force*  
**qed**

**lemma** *LT30*:  
**assumes**  $h$ :  $|\sim P \wedge N \longrightarrow \circ P \vee \circ Q$   
**shows**  $|\sim N \longrightarrow (P \longrightarrow \circ P) \vee \Diamond Q$   
**using**  $h$  *E23* **by** *force*

**lemma** *LT31*:  
**assumes**  $h1$ :  $|\sim P \wedge N \longrightarrow \circ P \vee \circ Q$  **and**  $h2$ :  $|\sim P \wedge \text{Unchanged } v \longrightarrow \circ P$   
**shows**  $\vdash \Box N \longrightarrow P \longrightarrow \Box P \vee \Diamond Q$   
**proof** –  
**from**  $h1$  [*THEN LT30*] **have**  $|\sim N \longrightarrow \Box \neg Q \longrightarrow P \longrightarrow \circ P$  **unfolding** *eventually-def* **by** *auto*  
**hence**  $\vdash \Box[N \longrightarrow \Box \neg Q \longrightarrow P \longrightarrow \circ P]\text{-}v$  **by** (*rule sq*)  
**hence**  $\vdash \Box[N]\text{-}v \longrightarrow \Box[\Box \neg Q]\text{-}v \longrightarrow \Box[P \longrightarrow \circ P]\text{-}v$   
**by** (*force intro: ax4 [unlift-rule]*)  
**with**  $P_4$  **have**  $\vdash \Box N \longrightarrow \Box[\Box \neg Q]\text{-}v \longrightarrow \Box[P \longrightarrow \circ P]\text{-}v$  **by** (*rule lift-imp-trans*)  
**moreover**  
**have**  $\vdash \neg \Diamond Q \longrightarrow \Box[\Box \neg Q]\text{-}v$  **unfolding** *dualization-rew* **by** (*rule ax2*)  
**moreover**  
**note**  $ax3$  [*OF h2*]  
**ultimately**  
**show** *?thesis* **by** *force*  
**qed**

**lemma** *LT33*:  $\vdash ((\#P \wedge F) \rightsquigarrow G) = (\#P \longrightarrow (F \rightsquigarrow G))$   
**by** (*cases P, auto simp: leadsto-def*)

**lemma** *AA1*:  $\vdash \Box[\#False]\text{-}v \longrightarrow \neg \Diamond \langle Q \rangle\text{-}v$   
**unfolding** *dualization-rew* **by** (*rule M2*) *auto*

**lemma** *AA2*:  $\vdash \Box[P]\text{-}v \wedge \Diamond \langle Q \rangle\text{-}v \longrightarrow \Diamond \langle P \wedge Q \rangle\text{-}v$

**proof** –  
**have**  $\vdash \Box[P \longrightarrow \sim(P \wedge Q) \longrightarrow \neg Q]\text{-}v$  **by** (*rule sq*) (*auto simp: actrans-def*)  
**hence**  $\vdash \Box[P]\text{-}v \longrightarrow \Box[\sim(P \wedge Q)]\text{-}v \longrightarrow \Box[\neg Q]\text{-}v$   
**by** (*force intro: ax4 [unlift-rule]*)  
**thus** *?thesis* **by** (*auto simp: angle-action-def*)  
**qed**

**lemma** *AA3*:  $\vdash \Box P \wedge \Box[P \longrightarrow Q]\text{-}v \wedge \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond Q$

**proof** –  
**have**  $\vdash \Box P \wedge \Box [P \longrightarrow Q] \text{-}v \longrightarrow \Box [P \wedge (P \longrightarrow Q)] \text{-}v$   
**by** (*auto dest: P4[unlift-rule] simp: M8[int-rewrite]*)  
**moreover**  
**have**  $\vdash \Box [P \wedge (P \longrightarrow Q)] \text{-}v \longrightarrow \Box [Q] \text{-}v$  **by** (*rule M2*) *auto*  
**ultimately have**  $\vdash \Box P \wedge \Box [P \longrightarrow Q] \text{-}v \longrightarrow \Box [Q] \text{-}v$  **by** (*rule lift-imp-trans*)  
**moreover**  
**have**  $\vdash \Diamond (Q \wedge A) \longrightarrow \Diamond Q$  **by** (*rule STL4-eve*) *auto*  
**hence**  $\vdash \Diamond \langle Q \wedge A \rangle \text{-}v \longrightarrow \Diamond Q$  **by** (*force dest: E25[unlift-rule]*)  
**with AA2 have**  $\vdash \Box [Q] \text{-}v \wedge \Diamond \langle A \rangle \text{-}v \longrightarrow \Diamond Q$  **by** (*rule lift-imp-trans*)  
**ultimately show** *?thesis* **by** *force*  
**qed**

**lemma AA4:**  $\vdash \Diamond \langle \langle A \rangle \text{-}v \rangle \text{-}w \longrightarrow \Diamond \langle \langle A \rangle \text{-}w \rangle \text{-}v$   
**unfolding** *angle-action-def angle-actrans-def* **using** *T5* **by** *force*

**lemma AA7:** **assumes** *h:  $\vdash \sim F \longrightarrow G$*  **shows**  $\vdash \Diamond \langle F \rangle \text{-}v \longrightarrow \Diamond \langle G \rangle \text{-}v$   
**proof** –  
**from** *h* **have**  $\vdash \Box [\neg G] \text{-}v \longrightarrow \Box [\neg F] \text{-}v$  **by** (*intro M2*) *auto*  
**thus** *?thesis* **unfolding** *angle-action-def* **by** *force*  
**qed**

**lemma AA6:**  $\vdash \Box [P \longrightarrow Q] \text{-}v \wedge \Diamond \langle P \rangle \text{-}v \longrightarrow \Diamond \langle Q \rangle \text{-}v$   
**proof** –  
**have**  $\vdash \Diamond \langle (P \longrightarrow Q) \wedge P \rangle \text{-}v \longrightarrow \Diamond \langle Q \rangle \text{-}v$  **by** (*rule AA7*) *auto*  
**with AA2 show** *?thesis* **by** (*rule lift-imp-trans*)  
**qed**

**lemma AA8:**  $\vdash \Box [P] \text{-}v \wedge \Diamond \langle A \rangle \text{-}v \longrightarrow \Diamond \langle \Box [P] \text{-}v \wedge A \rangle \text{-}v$   
**proof** –  
**have**  $\vdash \Box [\Box [P] \text{-}v] \text{-}v \wedge \Diamond \langle A \rangle \text{-}v \longrightarrow \Diamond \langle \Box [P] \text{-}v \wedge A \rangle \text{-}v$  **by** (*rule AA2*)  
**with P5 show** *?thesis* **by** *force*  
**qed**

**lemma AA9:**  $\vdash \Box [P] \text{-}v \wedge \Diamond \langle A \rangle \text{-}v \longrightarrow \Diamond \langle [P] \text{-}v \wedge A \rangle \text{-}v$   
**proof** –  
**have**  $\vdash \Box [[P] \text{-}v] \text{-}v \wedge \Diamond \langle A \rangle \text{-}v \longrightarrow \Diamond \langle [P] \text{-}v \wedge A \rangle \text{-}v$  **by** (*rule AA2*)  
**thus** *?thesis* **by** *simp*  
**qed**

**lemma AA10:**  $\vdash \neg(\Box [P] \text{-}v \wedge \Diamond \langle \neg P \rangle \text{-}v)$   
**unfolding** *angle-action-def* **by** *auto*

**lemma AA11:**  $\vdash \neg \Diamond \langle v\$ = \$v \rangle \text{-}v$   
**unfolding** *dualization-rew* **by** (*rule ax5*)

**lemma AA15:**  $\vdash \Diamond \langle P \wedge Q \rangle \text{-}v \longrightarrow \Diamond \langle P \rangle \text{-}v$   
**by** (*rule AA7*) *auto*

**lemma AA16:**  $\vdash \Diamond\langle P \wedge Q \rangle\text{-}v \longrightarrow \Diamond\langle Q \rangle\text{-}v$   
**by** (rule AA7) *auto*

**lemma AA13:**  $\vdash \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle v\$ \neq \$v \rangle\text{-}v$

**proof** –

**have**  $\vdash \Box[v\$ \neq \$v]\text{-}v \wedge \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle v\$ \neq \$v \wedge P \rangle\text{-}v$  **by** (rule AA2)

**hence**  $\vdash \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle v\$ \neq \$v \wedge P \rangle\text{-}v$  **by** (simp add: ax5[int-rewrite])

**from this AA15 show ?thesis by** (rule lift-imp-trans)

**qed**

**lemma AA14:**  $\vdash \Diamond\langle P \vee Q \rangle\text{-}v = (\Diamond\langle P \rangle\text{-}v \vee \Diamond\langle Q \rangle\text{-}v)$

**proof** –

**have**  $\vdash \Box[\neg(P \vee Q)]\text{-}v = \Box[\neg P \wedge \neg Q]\text{-}v$  **by** (rule MM10) *auto*

**hence**  $\vdash \Box[\neg(P \vee Q)]\text{-}v = (\Box[\neg P]\text{-}v \wedge \Box[\neg Q]\text{-}v)$  **by** (unfold M8[int-rewrite])

**thus ?thesis unfolding angle-action-def by auto**

**qed**

**lemma AA17:**  $\vdash \Diamond\langle [P]\text{-}v \wedge A \rangle\text{-}v \longrightarrow \Diamond\langle P \wedge A \rangle\text{-}v$

**proof** –

**have**  $\vdash \Box[v\$ \neq \$v \wedge \neg(P \wedge A)]\text{-}v \longrightarrow \Box[\neg([P]\text{-}v \wedge A)]\text{-}v$

**by** (rule M2) (auto simp: actrans-def unch-def)

**with ax5[of v] show ?thesis**

**unfolding angle-action-def M8[int-rewrite] by force**

**qed**

**lemma AA19:**  $\vdash \Box P \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond\langle P \wedge A \rangle\text{-}v$

**using P4 by** (force intro: AA2[unlift-rule])

**lemma AA20:**

**assumes h1:**  $|\sim P \longrightarrow \circ P \vee \circ Q$

**and h2:**  $|\sim P \wedge A \longrightarrow \circ Q$

**and h3:**  $|\sim P \wedge \text{Unchanged } w \longrightarrow \circ P$

**shows**  $\vdash \Box(\Box P \longrightarrow \Diamond\langle A \rangle\text{-}v) \longrightarrow (P \rightsquigarrow Q)$

**proof** –

**from h2 E23 have**  $|\sim P \wedge A \longrightarrow \Diamond Q$  **by force**

**hence**  $\vdash \Diamond\langle P \wedge A \rangle\text{-}v \longrightarrow \Diamond\langle \Diamond Q \rangle\text{-}v$  **by** (rule AA7)

**with E25[of TEMP  $\Diamond Q$  v] have**  $\vdash \Diamond\langle P \wedge A \rangle\text{-}v \longrightarrow \Diamond Q$  **by force**

**with AA19 have**  $\vdash \Box P \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond Q$  **by** (rule lift-imp-trans)

**with LT29[OF h1 h3] have**  $\vdash (\Box P \longrightarrow \Diamond\langle A \rangle\text{-}v) \longrightarrow (P \longrightarrow \Diamond Q)$  **by force**

**thus ?thesis unfolding leadsto-def by** (rule STL4)

**qed**

**lemma AA21:**  $|\sim \Diamond\langle \circ F \rangle\text{-}v \longrightarrow \circ \Diamond F$

**using pax5[of TEMP  $\neg F$  v] unfolding angle-action-def eventually-def by auto**

**theorem AA24[simp-unl]:**  $\vdash \Diamond\langle \langle P \rangle\text{-}f \rangle\text{-}f = \Diamond\langle P \rangle\text{-}f$

**unfolding angle-action-def angle-actrans-def by simp**

**lemma AA22:**

**assumes**  $h1: |\sim P \wedge N \longrightarrow \circ P \vee \circ Q$   
**and**  $h2: |\sim P \wedge N \wedge \langle A \rangle\text{-}v \longrightarrow \circ Q$   
**and**  $h3: |\sim P \wedge \text{Unchanged } w \longrightarrow \circ P$   
**shows**  $\vdash \Box N \wedge \Box(\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v) \longrightarrow (P \rightsquigarrow Q)$   
**proof** –  
**from**  $h2$  **have**  $|\sim \langle (N \wedge P) \wedge A \rangle\text{-}v \longrightarrow \circ Q$  **by** (*auto simp: angle-actrans-sem[int-rewrite]*)  
**from** *pref-imp-trans[OF this E23]* **have**  $\vdash \Diamond \langle (N \wedge P) \wedge A \rangle\text{-}v \longrightarrow \Diamond \langle \Diamond Q \rangle\text{-}v$   
**by** (*rule AA7*)  
**hence**  $\vdash \Diamond \langle (N \wedge P) \wedge A \rangle\text{-}v \longrightarrow \Diamond Q$  **by** (*force dest: E25[unlift-rule]*)  
**with** *AA19* **have**  $\vdash \Box(N \wedge P) \wedge \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond Q$  **by** (*rule lift-imp-trans*)  
**hence**  $\vdash \Box N \wedge \Box P \wedge \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond Q$  **by** (*auto simp: STL5[int-rewrite]*)  
**with** *LT31[OF h1 h3]* **have**  $\vdash \Box N \wedge (\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v) \longrightarrow (P \longrightarrow \Diamond Q)$  **by** *force*  
**hence**  $\vdash \Box(\Box N \wedge (\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v)) \longrightarrow \Box(P \longrightarrow \Diamond Q)$  **by** (*rule STL4*)  
**thus** *?thesis* **by** (*simp add: leadsto-def STL5[int-rewrite]*)  
**qed**

**lemma AA23:**  
**assumes**  $|\sim P \wedge N \longrightarrow \circ P \vee \circ Q$   
**and**  $|\sim P \wedge N \wedge \langle A \rangle\text{-}v \longrightarrow \circ Q$   
**and**  $|\sim P \wedge \text{Unchanged } w \longrightarrow \circ P$   
**shows**  $\vdash \Box N \wedge \Box \Diamond \langle A \rangle\text{-}v \longrightarrow (P \rightsquigarrow Q)$   
**proof** –  
**have**  $\vdash \Box \Diamond \langle A \rangle\text{-}v \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v)$  **by** (*rule STL4*) *auto*  
**with** *AA22[OF assms]* **show** *?thesis* **by** *force*  
**qed**

**lemma AA25:**  
**assumes**  $h: |\sim \langle P \rangle\text{-}v \longrightarrow \langle Q \rangle\text{-}w$   
**shows**  $\vdash \Diamond \langle P \rangle\text{-}v \longrightarrow \Diamond \langle Q \rangle\text{-}w$   
**proof** –  
**from**  $h$  **have**  $\vdash \Diamond \langle \langle P \rangle\text{-}v \rangle\text{-}v \longrightarrow \Diamond \langle \langle P \rangle\text{-}w \rangle\text{-}v$   
**by** (*intro AA7*) (*auto simp: angle-actrans-def actrans-def*)  
**with** *AA4* **have**  $\vdash \Diamond \langle P \rangle\text{-}v \longrightarrow \Diamond \langle \langle P \rangle\text{-}v \rangle\text{-}w$  **by** *force*  
**from** *this AA7[OF h]* **have**  $\vdash \Diamond \langle P \rangle\text{-}v \longrightarrow \Diamond \langle \langle Q \rangle\text{-}w \rangle\text{-}w$  **by** (*rule lift-imp-trans*)  
**thus** *?thesis* **by** *simp*  
**qed**

**lemma AA26:**  
**assumes**  $h: |\sim \langle A \rangle\text{-}v = \langle B \rangle\text{-}w$   
**shows**  $\vdash \Diamond \langle A \rangle\text{-}v = \Diamond \langle B \rangle\text{-}w$   
**proof** –  
**from**  $h$  **have**  $|\sim \langle A \rangle\text{-}v \longrightarrow \langle B \rangle\text{-}w$  **by** *auto*  
**hence**  $\vdash \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond \langle B \rangle\text{-}w$  **by** (*rule AA25*)  
**moreover**  
**from**  $h$  **have**  $|\sim \langle B \rangle\text{-}w \longrightarrow \langle A \rangle\text{-}v$  **by** *auto*  
**hence**  $\vdash \Diamond \langle B \rangle\text{-}w \longrightarrow \Diamond \langle A \rangle\text{-}v$  **by** (*rule AA25*)  
**ultimately**  
**show** *?thesis* **by** *force*  
**qed**

**theorem** *AA28[simp-unl]*:  $\vdash \Diamond\Diamond\langle A \rangle -v = \Diamond\langle A \rangle -v$   
**unfolding** *eventually-def angle-action-def* **by** *simp*

**theorem** *AA29*:  $\vdash \Box[N] -v \wedge \Box\Diamond\langle A \rangle -v \longrightarrow \Box\Diamond\langle N \wedge A \rangle -v$

**proof** –

**have**  $\vdash \Box(\Box[N] -v \wedge \Diamond\langle A \rangle -v) \longrightarrow \Box\Diamond\langle N \wedge A \rangle -v$  **by** (*rule STL4[OF AA2]*)

**thus** *?thesis* **by** (*simp add: STL5[int-rewrite]*)

**qed**

**theorem** *AA30[simp-unl]*:  $\vdash \Diamond\langle \Diamond\langle P \rangle -f \rangle -f = \Diamond\langle P \rangle -f$

**unfolding** *angle-action-def* **by** *simp*

**theorem** *AA31*:  $\vdash \Diamond\langle \circ F \rangle -v \longrightarrow \Diamond F$

**using** *pref-imp-trans[OF AA21 E29]* **by** *auto*

**lemma** *AA32[simp-unl]*:  $\vdash \Box\Diamond\Box[A] -v = \Diamond\Box[A] -v$

**using** *E21[of TEMP Box[A]-v]* **by** *simp*

**lemma** *AA33[simp-unl]*:  $\vdash \Diamond\Box\Diamond\langle A \rangle -v = \Box\Diamond\langle A \rangle -v$

**using** *E27[of TEMP Diamond[A]-v]* **by** *simp*

## 5.6 Lemmas about the next operator

**lemma** *N2*: **assumes**  $h: \vdash F = G$  **shows**  $|\sim \circ F = \circ G$

**by** (*simp add: h[int-rewrite]*)

**lemmas** *next-and = T8*

**lemma** *next-or*:  $|\sim \circ(F \vee G) = (\circ F \vee \circ G)$

**proof** (*rule pref-iffI*)

**have**  $|\sim \circ((F \vee G) \wedge \neg F) \longrightarrow \circ G$  **by** (*rule N1*) *auto*

**thus**  $|\sim \circ(F \vee G) \longrightarrow \circ F \vee \circ G$  **by** (*auto simp: T8[int-rewrite]*)

**next**

**have**  $|\sim \circ F \longrightarrow \circ(F \vee G)$  **by** (*rule N1*) *auto*

**moreover have**  $|\sim \circ G \longrightarrow \circ(F \vee G)$  **by** (*rule N1*) *auto*

**ultimately show**  $|\sim \circ F \vee \circ G \longrightarrow \circ(F \vee G)$  **by** *force*

**qed**

**lemma** *next-imp*:  $|\sim \circ(F \longrightarrow G) = (\circ F \longrightarrow \circ G)$

**proof** (*rule pref-iffI*)

**have**  $|\sim \circ G \longrightarrow \circ(F \longrightarrow G)$  **by** (*rule N1*) *auto*

**moreover have**  $|\sim \circ\neg F \longrightarrow \circ(F \longrightarrow G)$  **by** (*rule N1*) *auto*

**ultimately show**  $|\sim (\circ F \longrightarrow \circ G) \longrightarrow \circ(F \longrightarrow G)$  **by** *force*

**qed** (*rule pax2*)

**lemmas** *next-not = pax1*

**lemma** *next-eq*:  $|\sim \circ(F = G) = (\circ F = \circ G)$

**proof** –  
**have**  $|\sim \circ(F = G) = \circ((F \longrightarrow G) \wedge (G \longrightarrow F))$  **by** (*rule N2*) *auto*  
**from** *this*[*int-rewrite*] **show** *?thesis*  
**by** (*auto simp: next-and*[*int-rewrite*] *next-imp*[*int-rewrite*])  
**qed**

**lemma** *next-noteq*:  $|\sim \circ(F \neq G) = (\circ F \neq \circ G)$   
**by** (*simp add: next-eq*[*int-rewrite*])

**lemma** *next-const*[*simp-unl*]:  $|\sim \circ\#P = \#P$   
**proof** (*cases P*)

**assume**  $P$   
**hence**  $1: \vdash \#P$  **by** *auto*  
**hence**  $|\sim \circ\#P$  **by** (*rule nex*)  
**with**  $1$  **show** *?thesis* **by** *force*

**next**

**assume**  $\neg P$   
**hence**  $1: \vdash \neg\#P$  **by** *auto*  
**hence**  $|\sim \circ\neg\#P$  **by** (*rule nex*)  
**with**  $1$  **show** *?thesis* **by** *force*

**qed**

The following are proved semantically because they are essentially first-order theorems.

**lemma** *next-fun1*:  $|\sim \circ f\langle x \rangle = f\langle \circ x \rangle$   
**by** (*auto simp: nexts-def*)

**lemma** *next-fun2*:  $|\sim \circ f\langle x, y \rangle = f\langle \circ x, \circ y \rangle$   
**by** (*auto simp: nexts-def*)

**lemma** *next-fun3*:  $|\sim \circ f\langle x, y, z \rangle = f\langle \circ x, \circ y, \circ z \rangle$   
**by** (*auto simp: nexts-def*)

**lemma** *next-fun4*:  $|\sim \circ f\langle x, y, z, zz \rangle = f\langle \circ x, \circ y, \circ z, \circ zz \rangle$   
**by** (*auto simp: nexts-def*)

**lemma** *next-forall*:  $|\sim \circ(\forall x. P x) = (\forall x. \circ P x)$   
**by** (*auto simp: nexts-def*)

**lemma** *next-exists*:  $|\sim \circ(\exists x. P x) = (\exists x. \circ P x)$   
**by** (*auto simp: nexts-def*)

**lemma** *next-exists1*:  $|\sim \circ(\exists! x. P x) = (\exists! x. \circ P x)$   
**by** (*auto simp: nexts-def*)

Rewrite rules to push the “next” operator inward over connectives. (Note that axiom *pax1* and theorem *next-const* are anyway active as rewrite rules.)

**lemmas** *next-commutes*[*int-rewrite*] =  
*next-and next-or next-imp next-eq*



*next-fun1 next-fun2 next-fun3 next-fun4*  
*next-forall next-exists next-exists1*

**lemmas** *ifs-eq*[*int-rewrite*] = *after-fun3 next-fun3 before-fun3*

**lemmas** *next-always* = *par3*

**lemma** *t1*:  $|\sim \circ \$x = x\$$   
**by** (*simp add: before-def after-def nexts-def first-tail-second*)

Theorem *next-eventually* should not be used "blindly".

**lemma** *next-eventually*:

**assumes** *h*: *stutinv F*

**shows**  $|\sim \diamond F \longrightarrow \neg F \longrightarrow \circ \diamond F$

**proof** –

**from** *h* **have** *1*: *stutinv (TEMP  $\neg F$ )* **by** (*rule stut-not*)

**have**  $|\sim \square \neg F = (\neg F \wedge \square \neg F)$  **unfolding** *T7*[*OF pre-id-unch*[*OF 1*], *int-rewrite*]

**by** *simp*

**thus** *?thesis* **by** (*auto simp: eventually-def*)

**qed**

**lemma** *next-action*:  $|\sim \square [P]-v \longrightarrow \circ \square [P]-v$

**using** *par4*[*of P v*] **by** *auto*

## 5.7 Higher Level Derived Rules

In most verification tasks the low-level rules discussed above are not used directly. Here, we derive some higher-level rules more suitable for verification. In particular, variants of Lamport's rules *TLA1*, *TLA2*, *INV1* and *INV2* are derived, where  $|\sim$  is used where appropriate.

**theorem** *TLA1*:

**assumes** *H*:  $|\sim P \wedge \text{Unchanged } f \longrightarrow \circ P$

**shows**  $\vdash \square P = (P \wedge \square [P \longrightarrow \circ P]-f)$

**proof** (*rule int-iffI*)

**from** *ax1*[*of P*] *M0*[*of P f*] **show**  $\vdash \square P \longrightarrow P \wedge \square [P \longrightarrow \circ P]-f$  **by** *force*

**next**

**from** *ax3*[*OF H*] **show**  $\vdash P \wedge \square [P \longrightarrow \circ P]-f \longrightarrow \square P$  **by** *auto*

**qed**

**theorem** *TLA2*:

**assumes** *h1*:  $\vdash P \longrightarrow Q$

**and** *h2*:  $|\sim P \wedge \circ P \wedge [A]-f \longrightarrow [B]-g$

**shows**  $\vdash \square P \wedge \square [A]-f \longrightarrow \square Q \wedge \square [B]-g$

**proof** –

**from** *h2* **have**  $\vdash \square [P \wedge \circ P \wedge [A]-f]-g \longrightarrow \square [[B]-g]-g$  **by** (*rule M2*)

**hence**  $\vdash \square [P \wedge \circ P]-g \wedge \square [[A]-f]-g \longrightarrow \square [B]-g$  **by** (*auto simp add: M8*[*int-rewrite*])

**with** *M1*[*of P g*] *T4*[*of A f g*] **have**  $\vdash \square P \wedge \square [A]-f \longrightarrow \square [B]-g$  **by** *force*

**with** *h1*[*THEN STL4*] **show** *?thesis* **by** *force*

qed

**theorem INV1:**

assumes  $H: |\sim I \wedge [N]-f \longrightarrow \circ I$

shows  $\vdash I \wedge \Box[N]-f \longrightarrow \Box I$

**proof** –

from  $H$  have  $|\sim [N]-f \longrightarrow I \longrightarrow \circ I$  by *auto*

hence  $\vdash \Box[[N]-f]-f \longrightarrow \Box[I \longrightarrow \circ I]-f$  by (rule *M2*)

moreover

from  $H$  have  $|\sim I \wedge \text{Unchanged } f \longrightarrow \circ I$  by (auto simp: *actrans-def*)

hence  $\vdash \Box[I \longrightarrow \circ I]-f \longrightarrow I \longrightarrow \Box I$  by (rule *ax3*)

ultimately show *?thesis* by *force*

qed

**theorem INV2:**  $\vdash \Box I \longrightarrow \Box[N]-f = \Box[N \wedge I \wedge \circ I]-f$

**proof** –

from *M1[of I f]* have  $\vdash \Box I \longrightarrow (\Box[N]-f = \Box[N]-f \wedge \Box[I \wedge \circ I]-f)$  by *auto*

thus *?thesis* by (auto simp: *M8[int-rewrite]*)

qed

**lemma R1:**

assumes  $H: |\sim \text{Unchanged } w \longrightarrow \text{Unchanged } v$

shows  $\vdash \Box[F]-w \longrightarrow \Box[F]-v$

**proof** –

from  $H$  have  $|\sim [F]-w \longrightarrow [F]-v$  by (auto simp: *actrans-def*)

thus *?thesis* by (rule *M11*)

qed

**theorem invmono:**

assumes  $h1: \vdash I \longrightarrow P$

and  $h2: |\sim P \wedge [N]-f \longrightarrow \circ P$

shows  $\vdash I \wedge \Box[N]-f \longrightarrow \Box P$

using  $h1$  *INV1[OF h2]* by *force*

**theorem preimpsplit:**

assumes  $|\sim I \wedge N \longrightarrow Q$

and  $|\sim I \wedge \text{Unchanged } v \longrightarrow Q$

shows  $|\sim I \wedge [N]-v \longrightarrow Q$

using *assms[unlift-rule]* by (auto simp: *actrans-def*)

**theorem refinement1:**

assumes  $h1: \vdash P \longrightarrow Q$

and  $h2: |\sim I \wedge \circ I \wedge [A]-f \longrightarrow [B]-g$

shows  $\vdash P \wedge \Box I \wedge \Box[A]-f \longrightarrow Q \wedge \Box[B]-g$

**proof** –

have  $\vdash I \longrightarrow \# \text{True}$  by *simp*

from *this h2* have  $\vdash \Box I \wedge \Box[A]-f \longrightarrow \Box \# \text{True} \wedge \Box[B]-g$  by (rule *TLA2*)

with  $h1$  show *?thesis* by *force*

qed

**theorem** *inv-join*:

**assumes**  $\vdash P \longrightarrow \Box Q$  **and**  $\vdash P \longrightarrow \Box R$

**shows**  $\vdash P \longrightarrow \Box(Q \wedge R)$

**using** *assms*[*unlift-rule*] **unfolding** *STL5*[*int-rewrite*] **by force**

**lemma** *inv-cases*:  $\vdash \Box(A \longrightarrow B) \wedge \Box(\neg A \longrightarrow B) \longrightarrow \Box B$

**proof** –

**have**  $\vdash \Box((A \longrightarrow B) \wedge (\neg A \longrightarrow B)) \longrightarrow \Box B$  **by** (*rule STL4*) *auto*

**thus** *?thesis* **by** (*simp add: STL5*[*int-rewrite*])

**qed**

**end**

## 6 Liveness

**theory** *Liveness*

**imports** *Rules*

**begin**

This theory derives proof rules for liveness properties.

**definition** *enabled* :: *'a* formula  $\Rightarrow$  *'a* formula

**where** *enabled*  $F \equiv \lambda s. \exists t. ((\text{first } s) \#\# t) \models F$

**syntax** *-Enabled* :: *lift*  $\Rightarrow$  *lift* ((*Enabled* -) [90] 90)

**translations** *-Enabled*  $\rightleftharpoons$  *CONST* *enabled*

**definition** *WeakF* :: (*'a*::world) formula  $\Rightarrow$  (*'a*,*'b*) *stfun*  $\Rightarrow$  *'a* formula

**where** *WeakF*  $F v \equiv \text{TEMP } \Diamond \Box \text{Enabled } \langle F \rangle\text{-}v \longrightarrow \Box \Diamond \langle F \rangle\text{-}v$

**definition** *StrongF* :: (*'a*::world) formula  $\Rightarrow$  (*'a*,*'b*) *stfun*  $\Rightarrow$  *'a* formula

**where** *StrongF*  $F v \equiv \text{TEMP } \Box \Diamond \text{Enabled } \langle F \rangle\text{-}v \longrightarrow \Box \Diamond \langle F \rangle\text{-}v$

Lamport's TLA defines the above notions for actions. In TLA\*, (pre-)formulas generalise TLA's actions and the above definition is the natural generalisation of enabledness to pre-formulas. In particular, we have chosen to define *enabled* such that it yields itself a temporal formula, although its value really just depends on the first state of the sequence it is evaluated over. Then, the definitions of weak and strong fairness are exactly as in TLA.

**syntax**

-*WF* :: [*lift*,*lift*]  $\Rightarrow$  *lift* ((*WF*'(-)'*'(-)*) [20,1000] 90)

-*SF* :: [*lift*,*lift*]  $\Rightarrow$  *lift* ((*SF*'(-)'*'(-)*) [20,1000] 90)

-*WFsp* :: [*lift*,*lift*]  $\Rightarrow$  *lift* ((*WF*'(-)'*'(-)*) [20,1000] 90)

-*SFsp* :: [*lift*,*lift*]  $\Rightarrow$  *lift* ((*SF*'(-)'*'(-)*) [20,1000] 90)

**translations**

- $WF \equiv CONST WeakF$   
 - $SF \equiv CONST StrongF$   
 - $WFsp \rightarrow CONST WeakF$   
 - $SFsp \rightarrow CONST StrongF$

## 6.1 Properties of $-Enabled$

**theorem** *enabledI*:  $\vdash F \rightarrow Enabled F$

**proof** (*clarsimp*)

**fix**  $w$

**assume**  $w \models F$

**with** *seq-app-first-tail*[*of w*] **have**  $((first\ w) \#\#\ tail\ w) \models F$  **by** *simp*

**thus**  $w \models Enabled\ F$  **by** (*auto simp: enabled-def*)

**qed**

**theorem** *enabledE*:

**assumes**  $s \models Enabled\ F$  **and**  $\bigwedge u. (first\ s \#\#\ u) \models F \implies Q$

**shows**  $Q$

**using** *assms unfolding enabled-def by blast*

**lemma** *enabled-mono*:

**assumes**  $w \models Enabled\ F$  **and**  $\vdash F \rightarrow G$

**shows**  $w \models Enabled\ G$

**using** *assms[unlifted] unfolding enabled-def by blast*

**lemma** *Enabled-disj1*:  $\vdash Enabled\ F \rightarrow Enabled\ (F \vee G)$

**by** (*auto simp: enabled-def*)

**lemma** *Enabled-disj2*:  $\vdash Enabled\ F \rightarrow Enabled\ (G \vee F)$

**by** (*auto simp: enabled-def*)

**lemma** *Enabled-conj1*:  $\vdash Enabled\ (F \wedge G) \rightarrow Enabled\ F$

**by** (*auto simp: enabled-def*)

**lemma** *Enabled-conj2*:  $\vdash Enabled\ (G \wedge F) \rightarrow Enabled\ F$

**by** (*auto simp: enabled-def*)

**lemma** *Enabled-disjD*:  $\vdash Enabled\ (F \vee G) \rightarrow Enabled\ F \vee Enabled\ G$

**by** (*auto simp: enabled-def*)

**lemma** *Enabled-disj*:  $\vdash Enabled\ (F \vee G) = (Enabled\ F \vee Enabled\ G)$

**by** (*auto simp: enabled-def*)

**lemmas** *enabled-disj-rew* = *Enabled-disj[int-rewrite]*

**lemma** *Enabled-ex*:  $\vdash Enabled\ (\exists x. F\ x) = (\exists x. Enabled\ (F\ x))$

**by** (*force simp: enabled-def*)

## 6.2 Fairness Properties

**lemma** *WF-alt*:  $\vdash WF(A)-v = (\Box\Diamond\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$

**proof** –

**have**  $\vdash WF(A)-v = (\neg\Diamond\Box Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$  **by** (*auto simp: WeakF-def*)  
**thus** *?thesis* **by** (*simp add: dualization-rew*)

**qed**

**lemma** *SF-alt*:  $\vdash SF(A)-v = (\Diamond\Box\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$

**proof** –

**have**  $\vdash SF(A)-v = (\neg\Box\Diamond Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$  **by** (*auto simp: StrongF-def*)  
**thus** *?thesis* **by** (*simp add: dualization-rew*)

**qed**

**lemma** *alwaysWFI*:  $\vdash WF(A)-v \longrightarrow \Box WF(A)-v$

**unfolding** *WF-alt*[*int-rewrite*] **by** (*rule MM6*)

**theorem** *WF-always*[*simp-unl*]:  $\vdash \Box WF(A)-v = WF(A)-v$

**by** (*rule int-iffI[OF ax1 alwaysWFI]*)

**theorem** *WF-eventually*[*simp-unl*]:  $\vdash \Diamond WF(A)-v = WF(A)-v$

**proof** –

**have** *1*:  $\vdash \neg WF(A)-v = (\Diamond\Box Enabled \langle A \rangle -v \wedge \neg \Box\Diamond\langle A \rangle -v)$   
**by** (*auto simp: WeakF-def*)

**have**  $\vdash \Box\neg WF(A)-v = \neg WF(A)-v$

**by** (*simp add: 1[int-rewrite] STL5[int-rewrite] dualization-rew*)

**thus** *?thesis*

**by** (*auto simp: eventually-def*)

**qed**

**lemma** *alwaysSFI*:  $\vdash SF(A)-v \longrightarrow \Box SF(A)-v$

**proof** –

**have**  $\vdash \Box\Diamond\Box\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v \longrightarrow \Box(\Box\Diamond\Box\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$   
**by** (*rule MM6*)

**thus** *?thesis* **unfolding** *SF-alt*[*int-rewrite*] **by** *simp*

**qed**

**theorem** *SF-always*[*simp-unl*]:  $\vdash \Box SF(A)-v = SF(A)-v$

**by** (*rule int-iffI[OF ax1 alwaysSFI]*)

**theorem** *SF-eventually*[*simp-unl*]:  $\vdash \Diamond SF(A)-v = SF(A)-v$

**proof** –

**have** *1*:  $\vdash \neg SF(A)-v = (\Box\Diamond Enabled \langle A \rangle -v \wedge \neg \Box\Diamond\langle A \rangle -v)$   
**by** (*auto simp: StrongF-def*)

**have**  $\vdash \Box\neg SF(A)-v = \neg SF(A)-v$

**by** (*simp add: 1[int-rewrite] STL5[int-rewrite] dualization-rew*)

**thus** *?thesis*

**by** (*auto simp: eventually-def*)

**qed**

**theorem** *SF-imp-WF*:  $\vdash SF(A)-v \longrightarrow WF(A)-v$   
**unfolding** *WeakF-def StrongF-def* **by** (*auto dest: E20[unlift-rule]*)

**lemma** *enabled-WFSF*:  $\vdash \Box Enabled \langle F \rangle -v \longrightarrow (WF(F)-v = SF(F)-v)$

**proof** –

**have**  $\vdash \Box Enabled \langle F \rangle -v \longrightarrow \Diamond \Box Enabled \langle F \rangle -v$  **by** (*rule E3*)

**hence**  $\vdash \Box Enabled \langle F \rangle -v \longrightarrow WF(F)-v \longrightarrow SF(F)-v$  **by** (*auto simp: WeakF-def StrongF-def*)

**moreover**

**have**  $\vdash \Box Enabled \langle F \rangle -v \longrightarrow \Box \Diamond Enabled \langle F \rangle -v$  **by** (*rule STL4[OF E3]*)

**hence**  $\vdash \Box Enabled \langle F \rangle -v \longrightarrow SF(F)-v \longrightarrow WF(F)-v$  **by** (*auto simp: WeakF-def StrongF-def*)

**ultimately show** *?thesis* **by force**

**qed**

**theorem** *WF1-general*:

**assumes** *h1*:  $|\sim P \wedge N \longrightarrow \circ P \vee \circ Q$

**and** *h2*:  $|\sim P \wedge N \wedge \langle A \rangle -v \longrightarrow \circ Q$

**and** *h3*:  $\vdash P \wedge N \longrightarrow Enabled \langle A \rangle -v$

**and** *h4*:  $|\sim P \wedge Unchanged w \longrightarrow \circ P$

**shows**  $\vdash \Box N \wedge WF(A)-v \longrightarrow (P \rightsquigarrow Q)$

**proof** –

**have**  $\vdash \Box(\Box N \wedge WF(A)-v) \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$

**proof** (*rule STL4*)

**have**  $\vdash \Box(P \wedge N) \longrightarrow \Diamond \Box Enabled \langle A \rangle -v$  **by** (*rule lift-imp-trans[OF h3[THEN STL4] E3]*)

**hence**  $\vdash \Box P \wedge \Box N \wedge WF(A)-v \longrightarrow \Box \Diamond \langle A \rangle -v$  **by** (*auto simp: WeakF-def STL5[int-rewrite]*)

**with** *ax1[of TEMP  $\Diamond \langle A \rangle -v$ ]* **show**  $\vdash \Box N \wedge WF(A)-v \longrightarrow \Box P \longrightarrow \Diamond \langle A \rangle -v$  **by force**

**qed**

**hence**  $\vdash \Box N \wedge WF(A)-v \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$

**by** (*simp add: STL5[int-rewrite]*)

**with** *AA22[OF h1 h2 h4]* **show** *?thesis* **by force**

**qed**

Lamport’s version of the rule is derived as a special case.

**theorem** *WF1*:

**assumes** *h1*:  $|\sim P \wedge [N]-v \longrightarrow \circ P \vee \circ Q$

**and** *h2*:  $|\sim P \wedge \langle N \wedge A \rangle -v \longrightarrow \circ Q$

**and** *h3*:  $\vdash P \longrightarrow Enabled \langle A \rangle -v$

**and** *h4*:  $|\sim P \wedge Unchanged v \longrightarrow \circ P$

**shows**  $\vdash \Box [N]-v \wedge WF(A)-v \longrightarrow (P \rightsquigarrow Q)$

**proof** –

**have**  $\vdash \Box \Box [N]-v \wedge WF(A)-v \longrightarrow (P \rightsquigarrow Q)$

**proof** (*rule WF1-general*)

**from** *h1 T9[of N v]* **show**  $|\sim P \wedge \Box [N]-v \longrightarrow \circ P \vee \circ Q$  **by force**

**next**

**from** *T9[of N v]* **have**  $|\sim P \wedge \Box [N]-v \wedge \langle A \rangle -v \longrightarrow P \wedge \langle N \wedge A \rangle -v$

by (*auto simp: actrans-def angle-actrans-def*)  
 from *this h2* show  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow \circ Q$  by (*rule pref-imp-trans*)  
 next  
 from *h3 T9[of N v]* show  $\vdash P \wedge \Box[N]-v \longrightarrow \text{Enabled } \langle A \rangle -v$  by *force*  
 qed (*rule h4*)  
 thus ?*thesis* by *simp*  
 qed

The corresponding rule for strong fairness has an additional hypothesis  $\Box F$ , which is typically a conjunction of other fairness properties used to prove that the helpful action eventually becomes enabled.

**theorem SF1-general:**

assumes *h1*:  $|\sim P \wedge N \longrightarrow \circ P \vee \circ Q$   
 and *h2*:  $|\sim P \wedge N \wedge \langle A \rangle -v \longrightarrow \circ Q$   
 and *h3*:  $\vdash \Box P \wedge \Box N \wedge \Box F \longrightarrow \Diamond \text{Enabled } \langle A \rangle -v$   
 and *h4*:  $|\sim P \wedge \text{Unchanged } w \longrightarrow \circ P$   
 shows  $\vdash \Box N \wedge \text{SF}(A)-v \wedge \Box F \longrightarrow (P \rightsquigarrow Q)$   
**proof** –  
 have  $\vdash \Box(\Box N \wedge \text{SF}(A)-v \wedge \Box F) \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$   
**proof** (*rule STL4*)  
 have  $\vdash \Box(\Box P \wedge \Box N \wedge \Box F) \longrightarrow \Box \Diamond \text{Enabled } \langle A \rangle -v$  by (*rule STL4[OF h3]*)  
 hence  $\vdash \Box P \wedge \Box N \wedge \Box F \wedge \text{SF}(A)-v \longrightarrow \Box \Diamond \langle A \rangle -v$  by (*auto simp: StrongF-def STL5[int-rewrite]*)  
 with *ax1[of TEMP  $\Diamond \langle A \rangle -v$ ]* show  $\vdash \Box N \wedge \text{SF}(A)-v \wedge \Box F \longrightarrow \Box P \longrightarrow \Diamond \langle A \rangle -v$   
 by *force*  
 qed  
 hence  $\vdash \Box N \wedge \text{SF}(A)-v \wedge \Box F \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$   
 by (*simp add: STL5[int-rewrite]*)  
 with *AA22[OF h1 h2 h4]* show ?*thesis* by *force*  
 qed

**theorem SF1:**

assumes *h1*:  $|\sim P \wedge [N]-v \longrightarrow \circ P \vee \circ Q$   
 and *h2*:  $|\sim P \wedge \langle N \wedge A \rangle -v \longrightarrow \circ Q$   
 and *h3*:  $\vdash \Box P \wedge \Box[N]-v \wedge \Box F \longrightarrow \Diamond \text{Enabled } \langle A \rangle -v$   
 and *h4*:  $|\sim P \wedge \text{Unchanged } v \longrightarrow \circ P$   
 shows  $\vdash \Box[N]-v \wedge \text{SF}(A)-v \wedge \Box F \longrightarrow (P \rightsquigarrow Q)$   
**proof** –  
 have  $\vdash \Box \Box[N]-v \wedge \text{SF}(A)-v \wedge \Box F \longrightarrow (P \rightsquigarrow Q)$   
**proof** (*rule SF1-general*)  
 from *h1 T9[of N v]* show  $|\sim P \wedge \Box[N]-v \longrightarrow \circ P \vee \circ Q$  by *force*  
 next  
 from *T9[of N v]* have  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow P \wedge \langle N \wedge A \rangle -v$   
 by (*auto simp: actrans-def angle-actrans-def*)  
 from *this h2* show  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow \circ Q$  by (*rule pref-imp-trans*)  
 next  
 from *h3* show  $\vdash \Box P \wedge \Box \Box[N]-v \wedge \Box F \longrightarrow \Diamond \text{Enabled } \langle A \rangle -v$  by *simp*  
 qed (*rule h4*)  
 thus ?*thesis* by *simp*

qed

Lamport proposes the following rule as an introduction rule for *WF* formulas.

**theorem** *WF2*:

**assumes**  $h1: |\sim \langle N \wedge B \rangle\text{-}f \longrightarrow \langle M \rangle\text{-}g$   
**and**  $h2: |\sim P \wedge \circ P \wedge \langle N \wedge A \rangle\text{-}f \longrightarrow B$   
**and**  $h3: \vdash P \wedge \text{Enabled } \langle M \rangle\text{-}g \longrightarrow \text{Enabled } \langle A \rangle\text{-}f$   
**and**  $h4: \vdash \Box[N \wedge \neg B]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \longrightarrow \Diamond\Box P$   
**shows**  $\vdash \Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F \longrightarrow \text{WF}(M)\text{-}g$

**proof** –

**have**  $\vdash \Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \neg\Box\Diamond\langle M \rangle\text{-}g \longrightarrow \Box\Diamond\langle M \rangle\text{-}g$

**proof** –

**have**  $1: \vdash \Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \neg\Box\Diamond\langle M \rangle\text{-}g \longrightarrow \Diamond\Box P$

**proof** –

**have**  $A: \vdash \Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \neg\Box\Diamond\langle M \rangle\text{-}g \longrightarrow \Box(\Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F) \wedge \Diamond\Box(\Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)$

**unfolding** *STL6[int-rewrite]*

**by** (*auto simp: STL5[int-rewrite] dualization-rew*)

**have**  $B: \vdash \Box(\Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F) \wedge \Diamond\Box(\Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)$

$\longrightarrow$

$\Diamond((\Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F) \wedge \Box(\Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g))$

**by** (*rule SE2*)

**from** *lift-imp-trans[OF A B]*

**have**  $\vdash \Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \neg\Box\Diamond\langle M \rangle\text{-}g \longrightarrow \Diamond((\Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F) \wedge (\Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g))$

**by** (*simp add: STL5[int-rewrite]*)

**moreover**

**from**  $h1$  **have**  $|\sim [N]\text{-}f \longrightarrow [\neg M]\text{-}g \longrightarrow [N \wedge \neg B]\text{-}f$  **by** (*auto simp: actrans-def angle-actrans-def*)

**hence**  $\vdash \Box[[N]\text{-}f]\text{-}f \longrightarrow \Box[[\neg M]\text{-}g] \longrightarrow [N \wedge \neg B]\text{-}f$  **by** (*rule M2*)

**from** *lift-imp-trans[OF this ax4]* **have**  $\vdash \Box[N]\text{-}f \wedge \Box[\neg M]\text{-}g \longrightarrow \Box[N \wedge \neg B]\text{-}f$

**by** (*force intro: T4[unlift-rule]*)

**with**  $h4$  **have**  $\vdash (\Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F) \wedge (\Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)$

$\longrightarrow \Diamond\Box P$

**by** *force*

**from** *STL4-eve[OF this]*

**have**  $\vdash \Diamond((\Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Box F) \wedge (\Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)) \longrightarrow$

$\Diamond\Box P$  **by** *simp*

**ultimately**

**show** *?thesis* **by** (*rule lift-imp-trans*)

qed

**have**  $2: \vdash \Box[N]\text{-}f \wedge \text{WF}(A)\text{-}f \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \Diamond\Box P \longrightarrow \Box\Diamond\langle M \rangle\text{-}g$

**proof** –

**have**  $A: \vdash \Diamond\Box P \wedge \Diamond\Box\text{Enabled } \langle M \rangle\text{-}g \wedge \text{WF}(A)\text{-}f \longrightarrow \Box\Diamond\langle A \rangle\text{-}f$

**using**  $h3$  [*THEN STL4, THEN STL4-eve*] **by** (*auto simp: STL6[int-rewrite]*)

*WeakF-def*)

**have**  $B: \vdash \Box[N]\text{-}f \wedge \Diamond\Box P \wedge \Box\Diamond\langle A \rangle\text{-}f \longrightarrow \Box\Diamond\langle M \rangle\text{-}g$



**proof** –  
**from**  $M1[of\ P\ f]$  **have**  $\vdash \Box P \wedge \Box \langle N \wedge A \rangle\text{-}f \longrightarrow \Box \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$   
**by** (*force intro: AA29[unlift-rule]*)  
**hence**  $\vdash \Box(\Box P \wedge \Box \langle N \wedge A \rangle\text{-}f) \longrightarrow \Box \Box \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$   
**by** (*rule STL4-eve[OF STL4]*)  
**hence**  $\vdash \Box \Box P \wedge \Box \langle N \wedge A \rangle\text{-}f \longrightarrow \Box \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$   
**by** (*simp add: STL6[int-rewrite]*)  
**with**  $AA29[of\ N\ f\ A]$   
**have**  $B1: \vdash \Box[N]\text{-}f \wedge \Box P \wedge \Box \langle A \rangle\text{-}f \longrightarrow \Box \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$   
**by force**  
**from**  $h2$  **have**  $|\sim \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f \longrightarrow \langle N \wedge B \rangle\text{-}f$   
**by** (*auto simp: angle-actrans-sem[unlifted]*)  
**from**  $B1$  *this*[ $THEN\ AA25, THEN\ STL4$ ] **have**  $\vdash \Box[N]\text{-}f \wedge \Box P \wedge \Box \langle A \rangle\text{-}f \longrightarrow \Box \langle N \wedge B \rangle\text{-}f$   
**by** (*rule lift-imp-trans*)  
**moreover**  
**have**  $\vdash \Box \langle N \wedge B \rangle\text{-}f \longrightarrow \Box \langle M \rangle\text{-}g$  **by** (*rule h1[THEN AA25, THEN STL4]*)  
**ultimately show** *?thesis* **by** (*rule lift-imp-trans*)  
**qed**  
**from**  $A\ B$  **show** *?thesis* **by force**  
**qed**  
**from**  $1\ 2$  **show** *?thesis* **by force**  
**qed**  
**thus** *?thesis* **by** (*auto simp: WeakF-def*)  
**qed**

Lamport proposes an analogous theorem for introducing strong fairness, and its proof is very similar, in fact, it was obtained by copy and paste, with minimal modifications.

**theorem SF2:**

**assumes**  $h1: |\sim \langle N \wedge B \rangle\text{-}f \longrightarrow \langle M \rangle\text{-}g$   
**and**  $h2: |\sim P \wedge \circ P \wedge \langle N \wedge A \rangle\text{-}f \longrightarrow B$   
**and**  $h3: \vdash P \wedge Enabled\ \langle M \rangle\text{-}g \longrightarrow Enabled\ \langle A \rangle\text{-}f$   
**and**  $h4: \vdash \Box[N \wedge \neg B]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \langle Enabled\ \langle M \rangle\text{-}g \longrightarrow \Box P$   
**shows**  $\vdash \Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \longrightarrow SF(M)\text{-}g$   
**proof** –  
**have**  $\vdash \Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \langle Enabled\ \langle M \rangle\text{-}g \wedge \neg \Box \langle M \rangle\text{-}g \longrightarrow \Box \langle M \rangle\text{-}g$   
**proof** –  
**have**  $1: \vdash \Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \langle Enabled\ \langle M \rangle\text{-}g \wedge \neg \Box \langle M \rangle\text{-}g \longrightarrow \Box \langle M \rangle\text{-}g$   
**proof** –  
**have**  $A: \vdash \Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \langle Enabled\ \langle M \rangle\text{-}g \wedge \neg \Box \langle M \rangle\text{-}g \longrightarrow \Box(\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge \Box(\Box \langle Enabled\ \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)$   
**unfolding**  $STL6[int-rewrite]$   
**by** (*auto simp: STL5[int-rewrite] dualization-rew*)  
**have**  $B: \vdash \Box(\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge \Box(\Box \langle Enabled\ \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g) \longrightarrow$

$\diamond((\Box[N]-f \wedge SF(A)-f \wedge \Box F) \wedge \Box(\Box \diamond Enabled \langle M \rangle -g \wedge \Box[\neg M]-g))$   
**by** (rule SE2)  
**from** lift-imp-trans[OF A B]  
**have**  $\vdash \Box[N]-f \wedge SF(A)-f \wedge \Box F \wedge \Box \diamond Enabled \langle M \rangle -g \wedge \neg \Box \diamond \langle M \rangle -g \longrightarrow$   
 $\diamond((\Box[N]-f \wedge SF(A)-f \wedge \Box F) \wedge (\Box \diamond Enabled \langle M \rangle -g \wedge \Box[\neg M]-g))$   
**by** (simp add: STL5[int-rewrite])  
**moreover**  
**from** h1 **have**  $|\sim [N]-f \longrightarrow [\neg M]-g \longrightarrow [N \wedge \neg B]-f$  **by** (auto simp: actrans-def angle-actrans-def)  
**hence**  $\vdash \Box[[N]-f]-f \longrightarrow \Box[[\neg M]-g] \longrightarrow [N \wedge \neg B]-f$  **by** (rule M2)  
**from** lift-imp-trans[OF this ax4] **have**  $\vdash \Box[N]-f \wedge \Box[\neg M]-g \longrightarrow \Box[N \wedge \neg B]-f$   
**by** (force intro: T4[unlift-rule])  
**with** h4 **have**  $\vdash (\Box[N]-f \wedge SF(A)-f \wedge \Box F) \wedge (\Box \diamond Enabled \langle M \rangle -g \wedge \Box[\neg M]-g)$   
 $\longrightarrow \diamond \Box P$   
**by** force  
**from** STL4-eve[OF this]  
**have**  $\vdash \diamond((\Box[N]-f \wedge SF(A)-f \wedge \Box F) \wedge (\Box \diamond Enabled \langle M \rangle -g \wedge \Box[\neg M]-g)) \longrightarrow$   
 $\diamond \Box P$  **by** simp  
**ultimately**  
**show** ?thesis **by** (rule lift-imp-trans)  
**qed**  
**have** 2:  $\vdash \Box[N]-f \wedge SF(A)-f \wedge \Box \diamond Enabled \langle M \rangle -g \wedge \diamond \Box P \longrightarrow \Box \diamond \langle M \rangle -g$   
**proof** –  
**have**  $\vdash \Box \diamond (P \wedge Enabled \langle M \rangle -g) \wedge SF(A)-f \longrightarrow \Box \diamond \langle A \rangle -f$   
**using** h3[THEN STL4-eve, THEN STL4] **by** (auto simp: StrongF-def)  
**with** E28 **have** A:  $\vdash \diamond \Box P \wedge \Box \diamond Enabled \langle M \rangle -g \wedge SF(A)-f \longrightarrow \Box \diamond \langle A \rangle -f$   
**by** force  
**have** B:  $\vdash \Box[N]-f \wedge \diamond \Box P \wedge \Box \diamond \langle A \rangle -f \longrightarrow \Box \diamond \langle M \rangle -g$   
**proof** –  
**from** M1[of P f] **have**  $\vdash \Box P \wedge \Box \diamond \langle N \wedge A \rangle -f \longrightarrow \Box \diamond ((P \wedge \circ P) \wedge (N \wedge A)) -f$   
**by** (force intro: AA29[unlift-rule])  
**hence**  $\vdash \diamond \Box (\Box P \wedge \Box \diamond \langle N \wedge A \rangle -f) \longrightarrow \diamond \Box \diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle -f$   
**by** (rule STL4-eve[OF STL4])  
**hence**  $\vdash \diamond \Box P \wedge \Box \diamond \langle N \wedge A \rangle -f \longrightarrow \Box \diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle -f$   
**by** (simp add: STL6[int-rewrite])  
**with** AA29[of N f A]  
**have** B1:  $\vdash \Box[N]-f \wedge \diamond \Box P \wedge \Box \diamond \langle A \rangle -f \longrightarrow \Box \diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle -f$   
**by** force  
**from** h2 **have**  $|\sim \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle -f \longrightarrow \langle N \wedge B \rangle -f$   
**by** (auto simp: angle-actrans-sem[unlifted])  
**from** B1 this[THEN AA25, THEN STL4] **have**  $\vdash \Box[N]-f \wedge \diamond \Box P \wedge \Box \diamond \langle A \rangle -f$   
 $\longrightarrow \Box \diamond \langle N \wedge B \rangle -f$   
**by** (rule lift-imp-trans)  
**moreover**  
**have**  $\vdash \Box \diamond \langle N \wedge B \rangle -f \longrightarrow \Box \diamond \langle M \rangle -g$  **by** (rule h1[THEN AA25, THEN STL4])  
**ultimately show** ?thesis **by** (rule lift-imp-trans)  
**qed**

```

    from A B show ?thesis by force
  qed
  from 1 2 show ?thesis by force
  qed
  thus ?thesis by (auto simp: StrongF-def)
  qed

```

This is the lattice rule from TLA

```

theorem wf-leadsto:
  assumes h1: wf r
    and h2:  $\bigwedge x. \vdash F x \rightsquigarrow (G \vee (\exists y. \#((y,x) \in r) \wedge F y))$ 
  shows  $\vdash F x \rightsquigarrow G$ 
using h1
proof (rule wf-induct)
  fix x
  assume ih:  $\forall y. (y, x) \in r \longrightarrow (\vdash F y \rightsquigarrow G)$ 
  show  $\vdash F x \rightsquigarrow G$ 
  proof -
    from ih have  $\vdash (\exists y. \#((y,x) \in r) \wedge F y) \rightsquigarrow G$ 
      by (force simp: LT21[int-rewrite] LT33[int-rewrite])
    with h2 show ?thesis by (force intro: LT19[unlift-rule])
  qed
qed

```

### 6.3 Stuttering Invariance

```

theorem stut-Enabled: STUTINV Enabled  $\langle F \rangle$ -v
  by (auto simp: enabled-def stutinv-def dest!: sim-first)

```

```

theorem stut-WF: NSTUTINV F  $\implies$  STUTINV WF(F)-v
  by (auto simp: WeakF-def stut-Enabled bothstutinv)

```

```

theorem stut-SF: NSTUTINV F  $\implies$  STUTINV SF(F)-v
  by (auto simp: StrongF-def stut-Enabled bothstutinv)

```

```

lemmas livestutinv = stut-WF stut-SF stut-Enabled

```

```

end

```

## 7 Representing state in TLA\*

```

theory State
imports Liveness
begin

```

We adopt the hidden state approach, as used in the existing Isabelle/HOL TLA embedding [7]. This approach is also used in [3]. Here, a state space is defined by its projections, and everything else is unknown. Thus, a variable is a projection of the state space, and has the same type as a state function.

Moreover, strong typing is achieved, since the projection function may have any result type. To achieve this, the state space is represented by an undefined type, which is an instance of the *world* class to enable use with the *Intensional* theory.

```
typedecl state
```

```
instance state :: world ..
```

```
type-synonym 'a statefun = (state,'a) stfun
```

```
type-synonym statepred = bool statefun
```

```
type-synonym 'a tempfun = (state,'a) formfun
```

```
type-synonym temporal = state formula
```

Formalizing type state would require formulas to be tagged with their underlying state space and would result in a system that is much harder to use. (Unlike Hoare logic or Unity, TLA has quantification over state variables, and therefore one usually works with different state spaces within a single specification.) Instead, state is just an anonymous type whose only purpose is to provide Skolem constants. Moreover, we do not define a type of state variables separate from that of arbitrary state functions, again in order to simplify the definition of flexible quantification later on. Nevertheless, we need to distinguish state variables, mainly to define the enabledness of actions. The user identifies (tuples of) “base” state variables in a specification via the “meta predicate” *basevars*, which is defined here.

```
definition stvars :: 'a statefun => bool
```

```
where basevars-def: stvars ≡ surj
```

```
syntax
```

```
  PRED    :: lift => 'a                (PRED -)
```

```
  -stvars :: lift => bool              (basevars -)
```

```
translations
```

```
  PRED P  → (P::state => -)
```

```
  -stvars ≡ CONST stvars
```

Base variables may be assigned arbitrary (type-correct) values. In the following lemma, note that *vs* may be a tuple of variables. The correct identification of base variables is up to the user who must take care not to introduce an inconsistency. For example, *basevars* (*x*, *x*) would definitely be inconsistent.

```
lemma basevars: basevars vs ⇒ ∃ u. vs u = c
```

```
proof (unfold basevars-def surj-def)
```

```
  assume ∀ y. ∃ x. y = vs x
```

```
  then obtain x where c = vs x by blast
```

```
  thus ∃ u. vs u = c by blast
```

```
qed
```

```

lemma baseE:
  assumes H1: basevars v and H2:  $\bigwedge x. v\ x = c \implies Q$ 
  shows Q
  using H1[THEN basevars] H2 by auto

```

A variant written for sequences rather than single states.

```

lemma first-baseE:
  assumes H1: basevars v and H2:  $\bigwedge x. v\ (\text{first } x) = c \implies Q$ 
  shows Q
  using H1[THEN basevars] H2 by (force simp: first-def)

```

```

lemma base-pair1:
  assumes h: basevars (x,y)
  shows basevars x
proof (auto simp: basevars-def)
  fix c
  from h[THEN basevars] obtain s where (LIFT (x,y)) s = (c, arbitrary) by
auto
  thus  $c \in \text{range } x$  by auto
qed

```

```

lemma base-pair2:
  assumes h: basevars (x,y)
  shows basevars y
proof (auto simp: basevars-def)
  fix d
  from h[THEN basevars] obtain s where (LIFT (x,y)) s = (arbitrary, d) by
auto
  thus  $d \in \text{range } y$  by auto
qed

```

```

lemma base-pair: basevars (x,y)  $\implies$  basevars x  $\wedge$  basevars y
  by (auto elim: base-pair1 base-pair2)

```

Since the *unit* type has just one value, any state function of unit type satisfies the predicate *basevars*. The following theorem can sometimes be useful because it gives a trivial solution for *basevars* premises.

```

lemma unit-base: basevars (v::state  $\Rightarrow$  unit)
  by (auto simp: basevars-def)

```

A pair of the form  $(x,x)$  will generally not satisfy the predicate *basevars* – except for pathological cases such as  $x::\text{unit}$ .

```

lemma
  fixes x :: state  $\Rightarrow$  bool
  assumes h1: basevars (x,x)
  shows False
proof –

```

```

from h1 have  $\exists u. (LIFT\ (x,x))\ u = (False, True)$  by (rule basevars)
thus False by auto
qed

```

**lemma**

```

fixes x :: state  $\Rightarrow$  nat
assumes h1: basevars (x,x)
shows False

```

**proof** –

```

from h1 have  $\exists u. (LIFT\ (x,x))\ u = (0,1)$  by (rule basevars)
thus False by auto
qed

```

The following theorem reduces the reasoning about the existence of a state sequence satisfying an enabledness predicate to finding a suitable value  $c$  at the successor state for the base variables of the specification. This rule is intended for reasoning about standard TLA specifications, where *Enabled* is applied to actions, not arbitrary pre-formulas.

**lemma** *base-enabled*:

```

assumes h1: basevars vs
and h2:  $\bigwedge u. vs\ (first\ u) = c \implies ((first\ s)\ \#\# u) \models F$ 
shows  $s \models Enabled\ F$ 
using h1 proof (rule first-baseE)
fix t
assume  $vs\ (first\ t) = c$ 
hence  $((first\ s)\ \#\# t) \models F$  by (rule h2)
thus  $s \models Enabled\ F$  unfolding enabled-def by blast
qed

```

## 7.1 Temporal Quantifiers

In [5], Lamport gives a stuttering invariant definition of quantification over (flexible) variables. It relies on similarity of two sequences (as supported in our *TLA.Sequence* theory), and equivalence of two sequences up to a variable (the bound variable). However, sequence equivalence up to a variable, requires state equivalence up to a variable. Our state representation above does not support this, hence we cannot encode Lamport’s definition in our theory. Thus, we need to axiomatise quantification over (flexible) variables. Note that with a state representation supporting this, our theory should allow such an encoding.

**consts**

```

EEx      :: ('a statefun  $\Rightarrow$  temporal)  $\Rightarrow$  temporal      (binder Eex 10)
AAll     :: ('a statefun  $\Rightarrow$  temporal)  $\Rightarrow$  temporal      (binder Aall 10)

```

**syntax**

```

-EEx     :: [idts, lift]  $\Rightarrow$  lift                       (( $\exists\exists\exists$  -./ -) [0,10] 10)
-AAll    :: [idts, lift]  $\Rightarrow$  lift                       (( $\exists\forall\forall$  -./ -) [0,10] 10)

```

**translations**

- $EEx\ v\ A == Eex\ v.\ A$   
 - $AAll\ v\ A == Aall\ v.\ A$

**axiomatization where**

$eexI: \vdash F\ x \longrightarrow (\exists\exists\ x.\ F\ x)$   
**and**  $eexE: \llbracket s \models (\exists\exists\ x.\ F\ x) ; \text{basevars}\ vs; (!\ x.\ \llbracket \text{basevars}\ (x,vs); s \models F\ x \rrbracket \implies s \models G) \rrbracket \implies (s \models G)$   
**and**  $all\text{-def}: \vdash (\forall\forall\ x.\ F\ x) = (\neg(\exists\exists\ x.\ \neg(F\ x)))$   
**and**  $eexSTUT: STUTINV\ F\ x \implies STUTINV\ (\exists\exists\ x.\ F\ x)$   
**and**  $history: \vdash (I \wedge \Box[A]\text{-}v) = (\exists\exists\ h.\ (\$h = ha) \wedge I \wedge \Box[A \wedge h\$=hb]\text{-}(h,v))$

**lemmas**  $eexI\text{-}unl = eexI[\text{unlift-rule}] \text{--- } w \models F\ x \implies w \models (\exists\exists\ x.\ F\ x)$

*tle-defs* can be used to unfold TLA definitions into lowest predicate level. This is particularly useful for reasoning about enabledness of formulas.

**lemmas** *tle-defs* = *unch-def before-def after-def first-def second-def suffix-def tail-def nexts-def app-def angle-actrans-def actrans-def*

**end**

## 8 A simple illustrative example

**theory** *Even*  
**imports** *State*  
**begin**

A trivial example illustrating invariant proofs in the logic, and how Isabelle/HOL can help with specification. It proves that  $x$  is always even in a program where  $x$  is initialized as 0 and always incremented by 2.

**inductive-set**

$Even :: \text{nat set}$   
**where**  
 $even\text{-zero}: 0 \in Even$   
 $| even\text{-step}: n \in Even \implies Suc\ (Suc\ n) \in Even$

**locale** *Program* =

**fixes**  $x :: \text{state} \Rightarrow \text{nat}$   
**and**  $init :: \text{temporal}$   
**and**  $act :: \text{temporal}$   
**and**  $phi :: \text{temporal}$   
**defines**  $init \equiv TEMP\ \$x = \# 0$   
**and**  $act \equiv TEMP\ x' = Suc\ <Suc\ <\$x\ >\ >$   
**and**  $phi \equiv TEMP\ init \wedge \Box[act]\text{-}x$

```

lemma (in Program) stutinvprog: STUTINV phi
  by (auto simp: phi-def init-def act-def stutinv nstutinv)

lemma (in Program) inveven:  $\vdash \text{phi} \longrightarrow \Box(\$x \in \# \text{Even})$ 
  unfolding phi-def
proof (rule invmono)
  show  $\vdash \text{init} \longrightarrow \$x \in \# \text{Even}$ 
    by (auto simp: init-def even-zero)
next
  show  $\sim \$x \in \# \text{Even} \wedge [\text{act}]\text{-}x \longrightarrow \bigcirc(\$x \in \# \text{Even})$ 
    by (auto simp: act-def even-step tla-defs)
qed

end

```

## 9 Lamport's Inc example

```

theory Inc
imports State
begin

```

This example illustrates use of the embedding by mechanising the running example of Lamports original TLA paper [5].

```

datatype pcount = a | b | g

```

```

locale Firstprogram =
  fixes x :: state  $\Rightarrow$  nat
  and y :: state  $\Rightarrow$  nat
  and init :: temporal
  and m1 :: temporal
  and m2 :: temporal
  and phi :: temporal
  and Live :: temporal
  defines init  $\equiv$  TEMP  $\$x = \# 0 \wedge \$y = \# 0$ 
  and m1  $\equiv$  TEMP  $x' = \text{Suc}\langle \$x \rangle \wedge y' = \$y$ 
  and m2  $\equiv$  TEMP  $y' = \text{Suc}\langle \$y \rangle \wedge x' = \$x$ 
  and Live  $\equiv$  TEMP  $WF(m1)\text{-}(x,y) \wedge WF(m2)\text{-}(x,y)$ 
  and phi  $\equiv$  TEMP  $(\text{init} \wedge \Box[m1 \vee m2]\text{-}(x,y) \wedge \text{Live})$ 
  assumes bvar: basevars (x,y)

```

```

lemma (in Firstprogram) STUTINV phi
  by (auto simp: phi-def init-def m1-def m2-def Live-def stutinv nstutinv lives-
tutinv)

```

```

lemma (in Firstprogram) enabled-m1:  $\vdash \text{Enabled } \langle m1 \rangle\text{-}(x,y)$ 
proof (clarify)
  fix s
  show  $s \models \text{Enabled } \langle m1 \rangle\text{-}(x,y)$ 

```



by (rule base-enabled[OF bvar]) (auto simp: m1-def tla-defs)  
qed

**lemma** (in Firstprogram) enabled-m2:  $\vdash \text{Enabled } \langle m2 \rangle \text{-}(x,y)$

**proof** (clarify)

fix s

show  $s \models \text{Enabled } \langle m2 \rangle \text{-}(x,y)$

by (rule base-enabled[OF bvar]) (auto simp: m2-def tla-defs)

qed

**locale** Secondprogram = Firstprogram +

fixes sem :: state  $\Rightarrow$  nat

and pc1 :: state  $\Rightarrow$  pcount

and pc2 :: state  $\Rightarrow$  pcount

and vars

and initPsi :: temporal

and alpha1 :: temporal

and alpha2 :: temporal

and beta1 :: temporal

and beta2 :: temporal

and gamma1 :: temporal

and gamma2 :: temporal

and n1 :: temporal

and n2 :: temporal

and Live2 :: temporal

and psi :: temporal

and I :: temporal

defines vars  $\equiv$  LIFT (x,y,sem,pc1,pc2)

and initPsi  $\equiv$  TEMP \$pc1 = # a  $\wedge$  \$pc2 = # a  $\wedge$  \$x = # 0  $\wedge$  \$y = # 0  $\wedge$  \$sem = # 1

and alpha1  $\equiv$  TEMP \$pc1 = #a  $\wedge$  # 0 < \$sem  $\wedge$  pc1\$ = #b  $\wedge$  sem\$ = \$sem - # 1  $\wedge$  Unchanged (x,y,pc2)

and alpha2  $\equiv$  TEMP \$pc2 = #a  $\wedge$  # 0 < \$sem  $\wedge$  pc2' = #b  $\wedge$  sem\$ = \$sem - # 1  $\wedge$  Unchanged (x,y,pc1)

and beta1  $\equiv$  TEMP \$pc1 = #b  $\wedge$  pc1' = #g  $\wedge$  x' = Suc<\$x>  $\wedge$  Unchanged (y,sem,pc2)

and beta2  $\equiv$  TEMP \$pc2 = #b  $\wedge$  pc2' = #g  $\wedge$  y' = Suc<\$y>  $\wedge$  Unchanged (x,sem,pc1)

and gamma1  $\equiv$  TEMP \$pc1 = #g  $\wedge$  pc1' = #a  $\wedge$  sem' = Suc<\$sem>  $\wedge$  Unchanged (x,y,pc2)

and gamma2  $\equiv$  TEMP \$pc2 = #g  $\wedge$  pc2' = #a  $\wedge$  sem' = Suc<\$sem>  $\wedge$  Unchanged (x,y,pc1)

and n1  $\equiv$  TEMP (alpha1  $\vee$  beta1  $\vee$  gamma1)

and n2  $\equiv$  TEMP (alpha2  $\vee$  beta2  $\vee$  gamma2)

and Live2  $\equiv$  TEMP SF(n1)-vars  $\wedge$  SF(n2)-vars

and psi  $\equiv$  TEMP (initPsi  $\wedge$   $\square$ [n1  $\vee$  n2]-vars  $\wedge$  Live2)

and I  $\equiv$  TEMP (\$sem = # 1  $\wedge$  \$pc1 = #a  $\wedge$  \$pc2 = # a)

$\vee$  (\$sem = # 0  $\wedge$  ((\$pc1 = #a  $\wedge$  \$pc2  $\in$  {#b, #g})  
 $\vee$  (\$pc2 = #a  $\wedge$  \$pc1  $\in$  {#b, #g})))

**assumes** *bvar2*: *basevars vars*

**lemmas** (in *Secondprogram*) *Sact2-defs = n1-def n2-def alpha1-def beta1-def gamma1-def alpha2-def beta2-def gamma2-def*

Proving invariants is the basis of every effort of system verification. We show that  $I$  is an inductive invariant of specification  $psi$ .

**lemma** (in *Secondprogram*) *psiI*:  $\vdash psi \longrightarrow \Box I$

**proof** –

**have** *init*:  $\vdash initPsi \longrightarrow I$  **by** (*auto simp: initPsi-def I-def*)

**have**  $|\sim I \wedge Unchanged\ vars \longrightarrow \bigcirc I$  **by** (*auto simp: I-def vars-def tla-defs*)

**moreover**

**have**  $|\sim I \wedge n1 \longrightarrow \bigcirc I$  **by** (*auto simp: I-def Sact2-defs tla-defs*)

**moreover**

**have**  $|\sim I \wedge n2 \longrightarrow \bigcirc I$  **by** (*auto simp: I-def Sact2-defs tla-defs*)

**ultimately have** *step*:  $|\sim I \wedge [n1 \vee n2]\text{-vars} \longrightarrow \bigcirc I$  **by** (*force simp: actrans-def*)

**from** *init step* **have** *goal*:  $\vdash initPsi \wedge \Box[n1 \vee n2]\text{-vars} \longrightarrow \Box I$  **by** (*rule invmono*)

**have**  $\vdash initPsi \wedge \Box[n1 \vee n2]\text{-vars} \wedge Live2 \implies \vdash initPsi \wedge \Box[n1 \vee n2]\text{-vars}$

**by** *auto*

**with** *goal* **show** *?thesis* **unfolding** *psi-def* **by** *auto*

**qed**

Using this invariant we now prove step simulation, i.e. the safety part of the refinement proof.

**theorem** (in *Secondprogram*) *step-simulation*:  $\vdash psi \longrightarrow init \wedge \Box[m1 \vee m2]\text{-(}x,y\text{)}$

**proof** –

**have**  $\vdash initPsi \wedge \Box I \wedge \Box[n1 \vee n2]\text{-vars} \longrightarrow init \wedge \Box[m1 \vee m2]\text{-(}x,y\text{)}$

**proof** (*rule refinement1*)

**show**  $\vdash initPsi \longrightarrow init$  **by** (*auto simp: initPsi-def init-def*)

**next**

**show**  $|\sim I \wedge \bigcirc I \wedge [n1 \vee n2]\text{-vars} \longrightarrow [m1 \vee m2]\text{-(}x,y\text{)}$

**by** (*auto simp: I-def m1-def m2-def vars-def Sact2-defs tla-defs*)

**qed**

**with** *psiI* **show** *?thesis* **unfolding** *psi-def* **by** *force*

**qed**

Liveness proofs require computing the enabledness conditions of actions. The first lemma below shows that all steps are visible, i.e. they change at least one variable.

**lemma** (in *Secondprogram*) *n1-ch*:  $|\sim \langle n1 \rangle\text{-vars} = n1$

**proof** –

**have**  $|\sim n1 \longrightarrow \langle n1 \rangle\text{-vars}$  **by** (*auto simp: Sact2-defs tla-defs vars-def*)

**thus** *?thesis* **by** (*auto simp: angle-actrans-sem[int-rewrite]*)

**qed**

**lemma** (in *Secondprogram*) *enab-alpha1*:  $\vdash \$pc1 = \#a \longrightarrow \# 0 < \$sem \longrightarrow Enabled\ alpha1$

**proof** (*clarsimp simp: tla-defs*)

```

fix  $s :: \text{state seq}$ 
assume  $pc1 (s\ 0) = a$  and  $0 < sem (s\ 0)$ 
thus  $s \models \text{Enabled } \alpha1$ 
  by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)
qed

```

```

lemma (in Secondprogram) enab-beta1:  $\vdash \$pc1 = \#b \longrightarrow \text{Enabled } \beta1$ 
proof (clarsimp simp: tla-defs)
  fix  $s :: \text{state seq}$ 
  assume  $pc1 (s\ 0) = b$ 
  thus  $s \models \text{Enabled } \beta1$ 
    by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)
qed

```

```

lemma (in Secondprogram) enab-gamma1:  $\vdash \$pc1 = \#g \longrightarrow \text{Enabled } \gamma1$ 
proof (clarsimp simp: tla-defs)
  fix  $s :: \text{state seq}$ 
  assume  $pc1 (s\ 0) = g$ 
  thus  $s \models \text{Enabled } \gamma1$ 
    by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)
qed

```

```

lemma (in Secondprogram) enab-n1:
   $\vdash \text{Enabled } \langle n1 \rangle\text{-vars} = (\$pc1 = \#a \longrightarrow \# 0 < \$sem)$ 
unfolding n1-ch[int-rewrite] proof (rule int-iffI)
  show  $\vdash \text{Enabled } n1 \longrightarrow \$pc1 = \#a \longrightarrow \# 0 < \$sem$ 
    by (auto elim!: enabledE simp: Sact2-defs tla-defs)
next
  show  $\vdash (\$pc1 = \#a \longrightarrow \# 0 < \$sem) \longrightarrow \text{Enabled } n1$ 
  proof (clarsimp simp: tla-defs)
    fix  $s :: \text{state seq}$ 
    assume  $pc1 (s\ 0) = a \longrightarrow 0 < sem (s\ 0)$ 
    thus  $s \models \text{Enabled } n1$ 
      using enab-alpha1[unlift-rule]
        enab-beta1[unlift-rule]
        enab-gamma1[unlift-rule]
      by (cases pc1 (s\ 0)) (force simp: n1-def Enabled-disj[int-rewrite] tla-defs)+
    qed
  qed

```

The analogous properties for the second process are obtained by copy and paste.

```

lemma (in Secondprogram) n2-ch:  $|\sim \langle n2 \rangle\text{-vars} = n2$ 
proof -
  have  $|\sim n2 \longrightarrow \langle n2 \rangle\text{-vars}$  by (auto simp: Sact2-defs tla-defs vars-def)
  thus ?thesis by (auto simp: angle-actrans-sem[int-rewrite])
qed

```

```

lemma (in Secondprogram) enab-alpha2:  $\vdash \$pc2 = \#a \longrightarrow \# 0 < \$sem \longrightarrow$ 

```

*Enabled alpha2*  
**proof** (*clarsimp simp: tla-defs*)  
**fix**  $s :: \text{state seq}$   
**assume**  $pc2 (s 0) = a$  **and**  $0 < sem (s 0)$   
**thus**  $s \models \text{Enabled alpha2}$   
**by** (*intro base-enabled[OF bvar2]*) (*auto simp: Sact2-defs tla-defs vars-def*)  
**qed**

**lemma** (*in Secondprogram*) *enab-beta2*:  $\vdash \$pc2 = \#b \longrightarrow \text{Enabled beta2}$   
**proof** (*clarsimp simp: tla-defs*)  
**fix**  $s :: \text{state seq}$   
**assume**  $pc2 (s 0) = b$   
**thus**  $s \models \text{Enabled beta2}$   
**by** (*intro base-enabled[OF bvar2]*) (*auto simp: Sact2-defs tla-defs vars-def*)  
**qed**

**lemma** (*in Secondprogram*) *enab-gamma2*:  $\vdash \$pc2 = \#g \longrightarrow \text{Enabled gamma2}$   
**proof** (*clarsimp simp: tla-defs*)  
**fix**  $s :: \text{state seq}$   
**assume**  $pc2 (s 0) = g$   
**thus**  $s \models \text{Enabled gamma2}$   
**by** (*intro base-enabled[OF bvar2]*) (*auto simp: Sact2-defs tla-defs vars-def*)  
**qed**

**lemma** (*in Secondprogram*) *enab-n2*:  
 $\vdash \text{Enabled } \langle n2 \rangle\text{-vars} = (\$pc2 = \#a \longrightarrow \# 0 < \$sem)$   
**unfolding** *n2-ch[int-rewrite]* **proof** (*rule int-iffI*)  
**show**  $\vdash \text{Enabled } n2 \longrightarrow \$pc2 = \#a \longrightarrow \# 0 < \$sem$   
**by** (*auto elim!: enabledE simp: Sact2-defs tla-defs*)  
**next**  
**show**  $\vdash (\$pc2 = \#a \longrightarrow \# 0 < \$sem) \longrightarrow \text{Enabled } n2$   
**proof** (*clarsimp simp: tla-defs*)  
**fix**  $s :: \text{state seq}$   
**assume**  $pc2 (s 0) = a \longrightarrow 0 < sem (s 0)$   
**thus**  $s \models \text{Enabled } n2$   
**using** *enab-alpha2[unlift-rule]*  
           *enab-beta2[unlift-rule]*  
           *enab-gamma2[unlift-rule]*  
**by** (*cases pc2 (s 0)*) (*force simp: n2-def Enabled-disj[int-rewrite] tla-defs*)  
**qed**  
**qed**

We use rule *SF2* to prove that *psi* implements strong fairness for the abstract action *m1*. Since strong fairness implies weak fairness, it follows that *psi* refines the liveness condition of *phi*.

**lemma** (*in Secondprogram*) *psi-fair-m1*:  $\vdash \text{psi} \longrightarrow SF(m1)\text{-}(x,y)$   
**proof** –  
**have**  $\vdash \square[n1 \vee n2]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \square(I \wedge SF(n2)\text{-vars}) \longrightarrow SF(m1)\text{-}(x,y)$   
**proof** (*rule SF2*)

Rule *SF2* requires us to choose a helpful action (whose effect implies  $\langle m1 \rangle\text{-}(x,y)$ ) and a persistent condition, which will eventually remain true if the helpful action is never executed. In our case, the helpful action is *beta1* and the persistent condition is  $pc1 = b$ .

```

show  $\mid\sim \langle (n1 \vee n2) \wedge beta1 \rangle\text{-vars} \longrightarrow \langle m1 \rangle\text{-}(x,y)$ 
  by (auto simp: beta1-def m1-def vars-def tla-defs)
next
show  $\mid\sim \$pc1 = \#b \wedge \bigcirc(\$pc1 = \#b) \wedge \langle (n1 \vee n2) \wedge n1 \rangle\text{-vars} \longrightarrow beta1$ 
  by (auto simp: n1-def alpha1-def beta1-def gamma1-def tla-defs)
next
show  $\vdash \$pc1 = \#b \wedge Enabled \langle m1 \rangle\text{-}(x, y) \longrightarrow Enabled \langle n1 \rangle\text{-vars}$ 
  unfolding enab-n1[int-rewrite] by auto
next

```

The difficult part of the proof is showing that the persistent condition will eventually always be true if the helpful action is never executed. We show that (1) whenever the condition becomes true it remains so and (2) eventually the condition must be true.

```

show  $\vdash \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars}$ 
   $\wedge SF(n1)\text{-vars} \wedge \square(I \wedge SF(n2)\text{-vars}) \wedge \square\Diamond Enabled \langle m1 \rangle\text{-}(x, y)$ 
   $\longrightarrow \Diamond\square(\$pc1 = \#b)$ 
proof -
have  $\vdash \square\square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \square(\$pc1 = \#b \longrightarrow \square(\$pc1 = \#b))$ 
proof (rule STL4)
  have  $\mid\sim \$pc1 = \#b \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#b)$ 
  by (auto simp: Sact2-defs vars-def tla-defs)
  from this[THEN INV1]
  show  $\vdash \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \$pc1 = \#b \longrightarrow \square(\$pc1 = \#b)$ 
by auto
qed
hence  $1: \vdash \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \Diamond(\$pc1 = \#b) \longrightarrow \Diamond\square(\$pc1 = \#b)$ 
by (force intro: E31[unlift-rule])
have  $\vdash \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \square(I \wedge SF(n2)\text{-vars})$ 
   $\longrightarrow \Diamond(\$pc1 = \#b)$ 
proof -

```

The plan of the proof is to show that from any state where  $pc1 = g$  one eventually reaches  $pc1 = a$ , from where one eventually reaches  $pc1 = b$ . The result follows by combining leadsto properties.

```

let  $?F = LIFT (\square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \square(I \wedge SF(n2)\text{-vars}))$ 

```

Showing that  $pc1 = g$  leads to  $pc1 = a$  is a simple application of rule *SF1* because the first process completely controls this transition.

```

have  $ga: \vdash ?F \longrightarrow (\$pc1 = \#g \rightsquigarrow \$pc1 = \#a)$ 
proof (rule SF1)
  show  $\mid\sim \$pc1 = \#g \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#g) \vee \bigcirc(\$pc1 = \#a)$ 

```

```

      by (auto simp: Sact2-defs vars-def tla-defs)
    next
      show  $\sim \$pc1 = \#g \wedge (((n1 \vee n2) \wedge \neg beta1) \wedge n1)$ -vars  $\longrightarrow \circ(\$pc1 = \#a)$ 
      by (auto simp: Sact2-defs vars-def tla-defs)
    next
      show  $\sim \$pc1 = \#g \wedge Unchanged\ vars \longrightarrow \circ(\$pc1 = \#g)$ 
      by (auto simp: vars-def tla-defs)
    next
      have  $\vdash \$pc1 = \#g \longrightarrow Enabled \langle n1 \rangle$ -vars
      unfolding enab-n1[int-rewrite] by (auto simp: tla-defs)
      hence  $\vdash \square(\$pc1 = \#g) \longrightarrow Enabled \langle n1 \rangle$ -vars
      by (rule lift-imp-trans[OF ax1])
      hence  $\vdash \square(\$pc1 = \#g) \longrightarrow \diamond Enabled \langle n1 \rangle$ -vars
      by (rule lift-imp-trans[OF E3])
    thus  $\vdash \square(\$pc1 = \#g) \wedge \square[(n1 \vee n2) \wedge \neg beta1]$ -vars  $\wedge \square(I \wedge SF(n2))$ -vars
       $\longrightarrow \diamond Enabled \langle n1 \rangle$ -vars
      by auto
  qed

```

The proof that  $pc1 = a$  leads to  $pc1 = b$  follows the same basic schema. However, showing that  $n1$  is eventually enabled requires reasoning about the second process, which must liberate the critical section.

```

      have ab:  $\vdash ?F \longrightarrow (\$pc1 = \#a \rightsquigarrow \$pc1 = \#b)$ 
      proof (rule SF1)
        show  $\sim \$pc1 = \#a \wedge [(n1 \vee n2) \wedge \neg beta1]$ -vars  $\longrightarrow \circ(\$pc1 = \#a) \vee \circ(\$pc1 = \#b)$ 
        by (auto simp: Sact2-defs vars-def tla-defs)
      next
        show  $\sim \$pc1 = \#a \wedge (((n1 \vee n2) \wedge \neg beta1) \wedge n1)$ -vars  $\longrightarrow \circ(\$pc1 = \#b)$ 
        by (auto simp: Sact2-defs vars-def tla-defs)
      next
        show  $\sim \$pc1 = \#a \wedge Unchanged\ vars \longrightarrow \circ(\$pc1 = \#a)$ 
        by (auto simp: vars-def tla-defs)
      next

```

We establish a suitable leadsto-chain.

```

      let  $?G = LIFT \square[(n1 \vee n2) \wedge \neg beta1]$ -vars  $\wedge SF(n2)$ -vars  $\wedge \square(\$pc1 = \#a \wedge I)$ 
      have  $\vdash ?G \longrightarrow \diamond(\$pc2 = \#a \wedge \$pc1 = \#a \wedge I)$ 
      proof -

```

Rule *SF1* takes us from  $pc2 = b$  to  $pc2 = g$ .

```

      have bg2:  $\vdash ?G \longrightarrow (\$pc2 = \#b \rightsquigarrow \$pc2 = \#g)$ 
      proof (rule SF1)
        show  $\sim \$pc2 = \#b \wedge [(n1 \vee n2) \wedge \neg beta1]$ -vars  $\longrightarrow \circ(\$pc2 = \#b) \vee \circ(\$pc2 = \#g)$ 
        by (auto simp: Sact2-defs vars-def tla-defs)

```

**next**  
**show**  $|\sim \$pc2 = \#b \wedge \langle ((n1 \vee n2) \wedge \neg beta1) \wedge n2 \rangle\text{-vars} \longrightarrow \circ(\$pc2 = \#g)$   
**by** (*auto simp: Sact2-defs vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc2 = \#b \wedge \text{Unchanged vars} \longrightarrow \circ(\$pc2 = \#b)$   
**by** (*auto simp: vars-def tla-defs*)  
**next**  
**have**  $\vdash \$pc2 = \#b \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$   
**unfolding** *enab-n2[int-rewrite]* **by** (*auto simp: tla-defs*)  
**hence**  $\vdash \square(\$pc2 = \#b) \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF ax1]*)  
**hence**  $\vdash \square(\$pc2 = \#b) \longrightarrow \diamond \text{Enabled } \langle n2 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF - E3]*)  
**thus**  $\vdash \square(\$pc2 = \#b) \wedge \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \square(\$pc1 = \#a)$   
 $\wedge I)$   
 $\longrightarrow \diamond \text{Enabled } \langle n2 \rangle\text{-vars}$   
**by** *auto*  
**qed**

Similarly,  $pc2 = b$  leads to  $pc2 = g$ .

**have**  $ga2: \vdash ?G \longrightarrow (\$pc2 = \#g \rightsquigarrow \$pc2 = \#a)$   
**proof** (*rule SF1*)  
**show**  $|\sim \$pc2 = \#g \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \circ(\$pc2 = \#g)$   
 $\vee \circ(\$pc2 = \#a)$   
**by** (*auto simp: Sact2-defs vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc2 = \#g \wedge \langle ((n1 \vee n2) \wedge \neg beta1) \wedge n2 \rangle\text{-vars} \longrightarrow \circ(\$pc2 = \#a)$   
**by** (*auto simp: n2-def alpha2-def beta2-def gamma2-def vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc2 = \#g \wedge \text{Unchanged vars} \longrightarrow \circ(\$pc2 = \#g)$   
**by** (*auto simp: vars-def tla-defs*)  
**next**  
**have**  $\vdash \$pc2 = \#g \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$   
**unfolding** *enab-n2[int-rewrite]* **by** (*auto simp: tla-defs*)  
**hence**  $\vdash \square(\$pc2 = \#g) \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF ax1]*)  
**hence**  $\vdash \square(\$pc2 = \#g) \longrightarrow \diamond \text{Enabled } \langle n2 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF - E3]*)  
**thus**  $\vdash \square(\$pc2 = \#g) \wedge \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \square(\$pc1 = \#a)$   
 $\wedge I)$   
 $\longrightarrow \diamond \text{Enabled } \langle n2 \rangle\text{-vars}$   
**by** *auto*  
**qed**  
**with**  $bg2$  **have**  $\vdash ?G \longrightarrow (\$pc2 = \#b \rightsquigarrow \$pc2 = \#a)$   
**by** (*force elim: LT13[unlift-rule]*)  
**with**  $ga2$  **have**  $\vdash ?G \longrightarrow (\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow$

```

($pc2 = #a)
  unfolding LT17[int-rewrite] LT1[int-rewrite] by force
  moreover
  have  $\vdash \$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g$ 
  proof (clarsimp simp: tla-defs)
    fix  $s :: state$  seq
    assume  $pc2 (s 0) \neq a$  and  $pc2 (s 0) \neq g$ 
    thus  $pc2 (s 0) = b$  by (cases  $pc2 (s 0)$ ) auto
  qed
  hence  $\vdash ((\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow \$pc2 = \#a) \longrightarrow$ 
 $\diamond(\$pc2 = \#a)$ 
  by (rule fmp[OF - LT4])
  ultimately
  have  $\vdash ?G \longrightarrow \diamond(\$pc2 = \#a)$  by force
  thus ?thesis by (auto intro!: SE3[unlift-rule])
  qed
  moreover
  have  $\vdash \diamond(\$pc2 = \#a \wedge \$pc1 = \#a \wedge I) \longrightarrow \diamond Enabled \langle n1 \rangle\text{-vars}$ 
  unfolding enab-n1[int-rewrite] by (rule STL4-eve) (auto simp: I-def
tla-defs)
  ultimately
  show  $\vdash \square(\$pc1 = \#a) \wedge \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \square(I \wedge SF(n2)\text{-vars})$ 
 $\longrightarrow \diamond Enabled \langle n1 \rangle\text{-vars}$ 
  by (force simp: STL5[int-rewrite])
  qed
  from  $ga\ ab$  have  $\vdash ?F \longrightarrow (\$pc1 = \#g \rightsquigarrow \$pc1 = \#b)$ 
  by (force elim: LT13[unlift-rule])
  with  $ab$  have  $\vdash ?F \longrightarrow ((\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow \$pc1$ 
 $= \#b)$ 
  unfolding LT17[int-rewrite] LT1[int-rewrite] by force
  moreover
  have  $\vdash \$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g$ 
  proof (clarsimp simp: tla-defs)
    fix  $s :: state$  seq
    assume  $pc1 (s 0) \neq a$  and  $pc1 (s 0) \neq g$ 
    thus  $pc1 (s 0) = b$  by (cases  $pc1 (s 0)$ , auto)
  qed
  hence  $\vdash ((\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow \$pc1 = \#b) \longrightarrow$ 
 $\diamond(\$pc1 = \#b)$ 
  by (rule fmp[OF - LT4])
  ultimately show ?thesis by (rule lift-imp-trans)
  qed
  with 1 show ?thesis by force
  qed
  with  $psiI$  show ?thesis unfolding  $psi\text{-def}$   $Live2\text{-def}$   $STL5[int-rewrite]$  by force
  qed

```

In the same way we prove that  $psi$  implements strong fairness for the abstract



action  $m1$ . The proof is obtained by copy and paste from the previous one.

**lemma** (in *Secondprogram*)  $psi\text{-fair-}m2: \vdash psi \longrightarrow SF(m2)\text{-}(x,y)$

**proof** –

**have**  $\vdash \Box[n1 \vee n2]\text{-vars} \wedge SF(n2)\text{-vars} \wedge \Box(I \wedge SF(n1)\text{-vars}) \longrightarrow SF(m2)\text{-}(x,y)$   
**proof** (rule *SF2*)

Rule *SF2* requires us to choose a helpful action (whose effect implies  $\langle m2 \rangle\text{-}(x,y)$ ) and a persistent condition, which will eventually remain true if the helpful action is never executed. In our case, the helpful action is  $beta2$  and the persistent condition is  $pc2 = b$ .

**show**  $|\sim \langle (n1 \vee n2) \wedge beta2 \rangle\text{-vars} \longrightarrow \langle m2 \rangle\text{-}(x,y)$   
**by** (*auto simp: beta2-def m2-def vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc2 = \#b \wedge \Box(\$pc2 = \#b) \wedge \langle (n1 \vee n2) \wedge n2 \rangle\text{-vars} \longrightarrow beta2$   
**by** (*auto simp: n2-def alpha2-def beta2-def gamma2-def tla-defs*)  
**next**  
**show**  $\vdash \$pc2 = \#b \wedge Enabled \langle m2 \rangle\text{-}(x, y) \longrightarrow Enabled \langle n2 \rangle\text{-vars}$   
**unfolding** *enab-n2[int-rewrite]* **by** *auto*  
**next**

The difficult part of the proof is showing that the persistent condition will eventually always be true if the helpful action is never executed. We show that (1) whenever the condition becomes true it remains so and (2) eventually the condition must be true.

**show**  $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars}$   
 $\wedge SF(n2)\text{-vars} \wedge \Box(I \wedge SF(n1)\text{-vars}) \wedge \Box \Diamond Enabled \langle m2 \rangle\text{-}(x, y)$   
 $\longrightarrow \Diamond \Box(\$pc2 = \#b)$   
**proof** –  
**have**  $\vdash \Box \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \Box(\$pc2 = \#b \longrightarrow \Box(\$pc2 = \#b))$   
**proof** (rule *STL4*)  
**have**  $|\sim \$pc2 = \#b \wedge [(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \Box(\$pc2 = \#b)$   
**by** (*auto simp: Sact2-defs vars-def tla-defs*)  
**from** *this[THEN INV1]*  
**show**  $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \$pc2 = \#b \longrightarrow \Box(\$pc2 = \#b)$   
**by** *auto*  
**qed**  
**hence**  $1: \vdash \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \Diamond(\$pc2 = \#b) \longrightarrow \Diamond \Box(\$pc2 = \#b)$   
**by** (*force intro: E31[unlift-rule]*)  
**have**  $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge SF(n2)\text{-vars} \wedge \Box(I \wedge SF(n1)\text{-vars})$   
 $\longrightarrow \Diamond(\$pc2 = \#b)$   
**proof** –

The plan of the proof is to show that from any state where  $pc2 = g$  one eventually reaches  $pc2 = a$ , from where one eventually reaches  $pc2 = b$ . The result follows by combining *leadsto* properties.

**let**  $?F = LIFT (\Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge SF(n2)\text{-vars} \wedge \Box(I \wedge SF(n1)\text{-vars}))$

Showing that  $pc2 = g$  leads to  $pc2 = a$  is a simple application of rule  $SF1$  because the second process completely controls this transition.

```

have  $ga: \vdash ?F \longrightarrow (\$pc2 = \#g \rightsquigarrow \$pc2 = \#a)$ 
proof (rule  $SF1$ )
  show  $|\sim \$pc2 = \#g \wedge [(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \bigcirc(\$pc2 = \#g) \vee$ 
 $\bigcirc(\$pc2 = \#a)$ 
    by (auto simp:  $Sact2-defs vars-def tla-defs$ )
  next
  show  $|\sim \$pc2 = \#g \wedge (((n1 \vee n2) \wedge \neg beta2) \wedge n2)-vars \longrightarrow \bigcirc(\$pc2 =$ 
 $\#a)$ 
    by (auto simp:  $n2-def alpha2-def beta2-def gamma2-def vars-def tla-defs$ )
  next
  show  $|\sim \$pc2 = \#g \wedge Unchanged vars \longrightarrow \bigcirc(\$pc2 = \#g)$ 
    by (auto simp:  $vars-def tla-defs$ )
  next
  have  $\vdash \$pc2 = \#g \longrightarrow Enabled \langle n2 \rangle -vars$ 
    unfolding  $enab-n2[int-rewrite]$  by (auto simp:  $tla-defs$ )
  hence  $\vdash \square(\$pc2 = \#g) \longrightarrow Enabled \langle n2 \rangle -vars$ 
    by (rule  $lift-imp-trans[OF ax1]$ )
  hence  $\vdash \square(\$pc2 = \#g) \longrightarrow \diamond Enabled \langle n2 \rangle -vars$ 
    by (rule  $lift-imp-trans[OF - E3]$ )
  thus  $\vdash \square(\$pc2 = \#g) \wedge \square[(n1 \vee n2) \wedge \neg beta2]-vars \wedge \square(I \wedge SF(n1)-vars)$ 
 $\longrightarrow \diamond Enabled \langle n2 \rangle -vars$ 
    by auto
  qed

```

The proof that  $pc2 = a$  leads to  $pc2 = b$  follows the same basic schema. However, showing that  $n2$  is eventually enabled requires reasoning about the second process, which must liberate the critical section.

```

have  $ab: \vdash ?F \longrightarrow (\$pc2 = \#a \rightsquigarrow \$pc2 = \#b)$ 
proof (rule  $SF1$ )
  show  $|\sim \$pc2 = \#a \wedge [(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \bigcirc(\$pc2 = \#a) \vee$ 
 $\bigcirc(\$pc2 = \#b)$ 
    by (auto simp:  $Sact2-defs vars-def tla-defs$ )
  next
  show  $|\sim \$pc2 = \#a \wedge (((n1 \vee n2) \wedge \neg beta2) \wedge n2)-vars \longrightarrow \bigcirc(\$pc2 =$ 
 $\#b)$ 
    by (auto simp:  $n2-def alpha2-def beta2-def gamma2-def vars-def tla-defs$ )
  next
  show  $|\sim \$pc2 = \#a \wedge Unchanged vars \longrightarrow \bigcirc(\$pc2 = \#a)$ 
    by (auto simp:  $vars-def tla-defs$ )
  next

```

We establish a suitable leadsto-chain.

```

let  $?G = LIFT \square[(n1 \vee n2) \wedge \neg beta2]-vars \wedge SF(n1)-vars \wedge \square(\$pc2 =$ 
 $\#a \wedge I)$ 
  have  $\vdash ?G \longrightarrow \diamond(\$pc1 = \#a \wedge \$pc2 = \#a \wedge I)$ 
  proof -

```

Rule  $SF1$  takes us from  $pc1 = b$  to  $pc1 = g$ .

**have**  $bg1: \vdash ?G \longrightarrow (\$pc1 = \#b \rightsquigarrow \$pc1 = \#g)$   
**proof** (*rule SF1*)  
**show**  $|\sim \$pc1 = \#b \wedge [(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \circ(\$pc1 = \#b)$   
 $\vee \circ(\$pc1 = \#g)$   
**by** (*auto simp: Sact2-defs vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc1 = \#b \wedge \langle ((n1 \vee n2) \wedge \neg beta2) \wedge n1 \rangle\text{-vars} \longrightarrow \circ(\$pc1 = \#g)$   
 $= \#g)$   
**by** (*auto simp: n1-def alpha1-def beta1-def gamma1-def vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc1 = \#b \wedge \text{Unchanged vars} \longrightarrow \circ(\$pc1 = \#b)$   
**by** (*auto simp: vars-def tla-defs*)  
**next**  
**have**  $\vdash \$pc1 = \#b \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$   
**unfolding** *enab-n1[int-rewrite]* **by** (*auto simp: tla-defs*)  
**hence**  $\vdash \square(\$pc1 = \#b) \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF ax1]*)  
**hence**  $\vdash \square(\$pc1 = \#b) \longrightarrow \diamond \text{Enabled } \langle n1 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF - E3]*)  
**thus**  $\vdash \square(\$pc1 = \#b) \wedge \square[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge \square(\$pc2 = \#a$   
 $\wedge I)$   
 $\longrightarrow \diamond \text{Enabled } \langle n1 \rangle\text{-vars}$   
**by** *auto*  
**qed**

Similarly,  $pc1 = b$  leads to  $pc1 = g$ .

**have**  $ga1: \vdash ?G \longrightarrow (\$pc1 = \#g \rightsquigarrow \$pc1 = \#a)$   
**proof** (*rule SF1*)  
**show**  $|\sim \$pc1 = \#g \wedge [(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \circ(\$pc1 = \#g)$   
 $\vee \circ(\$pc1 = \#a)$   
**by** (*auto simp: Sact2-defs vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc1 = \#g \wedge \langle ((n1 \vee n2) \wedge \neg beta2) \wedge n1 \rangle\text{-vars} \longrightarrow \circ(\$pc1 = \#g)$   
 $= \#a)$   
**by** (*auto simp: n1-def alpha1-def beta1-def gamma1-def vars-def tla-defs*)  
**next**  
**show**  $|\sim \$pc1 = \#g \wedge \text{Unchanged vars} \longrightarrow \circ(\$pc1 = \#g)$   
**by** (*auto simp: vars-def tla-defs*)  
**next**  
**have**  $\vdash \$pc1 = \#g \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$   
**unfolding** *enab-n1[int-rewrite]* **by** (*auto simp: tla-defs*)  
**hence**  $\vdash \square(\$pc1 = \#g) \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF ax1]*)  
**hence**  $\vdash \square(\$pc1 = \#g) \longrightarrow \diamond \text{Enabled } \langle n1 \rangle\text{-vars}$   
**by** (*rule lift-imp-trans[OF - E3]*)  
**thus**  $\vdash \square(\$pc1 = \#g) \wedge \square[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge \square(\$pc2 = \#a$   
 $\wedge I)$

$\longrightarrow \diamond Enabled \langle n1 \rangle\text{-vars}$   
**by auto**  
**qed**  
**with**  $bg1$  **have**  $\vdash ?G \longrightarrow (\$pc1 = \#b \rightsquigarrow \$pc1 = \#a)$   
**by** (*force elim: LT13[unlift-rule]*)  
**with**  $ga1$  **have**  $\vdash ?G \longrightarrow (\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow$   
 $(\$pc1 = \#a)$   
**unfolding**  $LT17[int-rewrite]$   $LT1[int-rewrite]$  **by force**  
**moreover**  
**have**  $\vdash \$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g$   
**proof** (*clarsimp simp: tla-defs*)  
**fix**  $s :: \text{state seq}$   
**assume**  $pc1 (s 0) \neq a$  **and**  $pc1 (s 0) \neq g$   
**thus**  $pc1 (s 0) = b$  **by** (*cases pc1 (s 0)*) **auto**  
**qed**  
**hence**  $\vdash ((\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow \$pc1 = \#a) \longrightarrow$   
 $\diamond(\$pc1 = \#a)$   
**by** (*rule fmp[OF - LT4]*)  
**ultimately**  
**have**  $\vdash ?G \longrightarrow \diamond(\$pc1 = \#a)$  **by force**  
**thus**  $?thesis$  **by** (*auto intro!: SE3[unlift-rule]*)  
**qed**  
**moreover**  
**have**  $\vdash \diamond(\$pc1 = \#a \wedge \$pc2 = \#a \wedge I) \longrightarrow \diamond Enabled \langle n2 \rangle\text{-vars}$   
**unfolding**  $enab-n2[int-rewrite]$  **by** (*rule STL4-eve*) (*auto simp: I-def*  
*tla-defs*)  
**ultimately**  
**show**  $\vdash \square(\$pc2 = \#a) \wedge \square[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge \square(I \wedge SF(n1)\text{-vars})$   
 $\longrightarrow \diamond Enabled \langle n2 \rangle\text{-vars}$   
**by** (*force simp: STL5[int-rewrite]*)  
**qed**  
**from**  $ga ab$  **have**  $\vdash ?F \longrightarrow (\$pc2 = \#g \rightsquigarrow \$pc2 = \#b)$   
**by** (*force elim: LT13[unlift-rule]*)  
**with**  $ab$  **have**  $\vdash ?F \longrightarrow ((\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow \$pc2$   
 $= \#b)$   
**unfolding**  $LT17[int-rewrite]$   $LT1[int-rewrite]$  **by force**  
**moreover**  
**have**  $\vdash \$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g$   
**proof** (*clarsimp simp: tla-defs*)  
**fix**  $s :: \text{state seq}$   
**assume**  $pc2 (s 0) \neq a$  **and**  $pc2 (s 0) \neq g$   
**thus**  $pc2 (s 0) = b$  **by** (*cases pc2 (s 0)*) **auto**  
**qed**  
**hence**  $\vdash ((\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow \$pc2 = \#b) \longrightarrow$   
 $\diamond(\$pc2 = \#b)$   
**by** (*rule fmp[OF - LT4]*)  
**ultimately show**  $?thesis$  **by** (*rule lift-imp-trans*)  
**qed**  
**with 1** **show**  $?thesis$  **by force**

```

    qed
  qed
  with psiI show ?thesis unfolding psi-def Live2-def STL5[int-rewrite] by force
qed

```

We can now prove the main theorem, which states that *psi* implements *phi*.

```

theorem (in Secondprogram) impl:  $\vdash \textit{psi} \longrightarrow \textit{phi}$ 
  unfolding phi-def Live-def
  by (auto dest: step-simulation[unlift-rule]
      lift-imp-trans[OF psi-fair-m1 SF-imp-WF, unlift-rule]
      lift-imp-trans[OF psi-fair-m2 SF-imp-WF, unlift-rule])

```

end

## 10 Refining a Buffer Specification

```

theory Buffer
imports State
begin

```

We specify a simple FIFO buffer and prove that two FIFO buffers in a row implement a FIFO buffer.

### 10.1 Buffer specification

The following definitions all take three parameters: a state function representing the input channel of the FIFO buffer, another representing the internal queue, and a third one representing the output channel. These parameters will be instantiated later in the definition of the double FIFO.

```

definition BInit :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where BInit ic q oc  $\equiv$  TEMP $q = #[]
       $\wedge$  $ic = $oc — initial condition of buffer

```

```

definition Enq :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where Enq ic q oc  $\equiv$  TEMP ic$  $\neq$  $ic
       $\wedge$  q$ = $q @ [ ic$ ]
       $\wedge$  oc$ = $oc — enqueue a new value

```

```

definition Deq :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where Deq ic q oc  $\equiv$  TEMP # 0 < length<$q>
       $\wedge$  oc$ = hd<$q>
       $\wedge$  q$ = tl<$q>
       $\wedge$  ic$ = $ic — dequeue value at front

```

```

definition Nxt :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where Nxt ic q oc  $\equiv$  TEMP (Enq ic q oc  $\vee$  Deq ic q oc)

```

— internal specification with buffer visible

**definition**  $ISpec :: 'a\ statefun \Rightarrow 'a\ list\ statefun \Rightarrow 'a\ statefun \Rightarrow temporal$

**where**  $ISpec\ ic\ q\ oc \equiv TEMP\ BInit\ ic\ q\ oc$   
 $\wedge \square[Nxt\ ic\ q\ oc]-(ic,q,oc)$   
 $\wedge WF(Deq\ ic\ q\ oc)-(ic,q,oc)$

— external specification: buffer hidden

**definition**  $Spec :: 'a\ statefun \Rightarrow 'a\ statefun \Rightarrow temporal$

**where**  $Spec\ ic\ oc == TEMP\ (\exists\exists\ q.\ ISpec\ ic\ q\ oc)$

## 10.2 Properties of the buffer

The buffer never enqueues the same element twice. We therefore have the following invariant:

- any two subsequent elements in the queue are different, and the last element in the queue is different from the value of the output channel,
- if the queue is non-empty then the last element in the queue is the value that appears on the input channel,
- if the queue is empty then the values on the output and input channels are equal.

The following auxiliary predicate *noreps* is true if no two subsequent elements in a list are identical.

**definition**  $noreps :: 'a\ list \Rightarrow bool$

**where**  $noreps\ xs \equiv \forall\ i < length\ xs - 1.\ xs!\ i \neq xs!\ (Suc\ i)$

**definition**  $BInv :: 'a\ statefun \Rightarrow 'a\ list\ statefun \Rightarrow 'a\ statefun \Rightarrow temporal$

**where**  $BInv\ ic\ q\ oc \equiv TEMP\ List.last<\$oc\ \#\ \$q> = \$ic \wedge noreps<\$oc\ \#\ \$q>$

**lemmas**  $buffer-defs = BInit-def\ Enq-def\ Deq-def\ Nxt-def$   
 $ISpec-def\ Spec-def\ BInv-def$

**lemma**  $ISpec-stutinv: STUTINV\ (ISpec\ ic\ q\ oc)$

**unfolding**  $buffer-defs$  **by**  $(simp\ add: bothstutinvs\ livestutinvs)$

**lemma**  $Spec-stutinv: STUTINV\ Spec\ ic\ oc$

**unfolding**  $buffer-defs$  **by**  $(simp\ add: bothstutinvs\ livestutinvs\ eexSTUT)$

A lemma about lists that is useful in the following

**lemma**  $tl-self-iff-empty[simp]: (tl\ xs = xs) = (xs = [])$

**proof**

**assume**  $1: tl\ xs = xs$

**show**  $xs = []$

**proof**  $(rule\ ccontr)$

**assume**  $xs \neq []$  **with**  $1$  **show**  $False$

by (auto simp: neq-Nil-conv)  
 qed  
 qed (auto)

**lemma** *tl-self-iff-empty'*[simp]:  $(xs = tl\ xs) = (xs = [])$

**proof**  
 assume 1:  $xs = tl\ xs$   
 show  $xs = []$   
**proof** (rule ccontr)  
 assume  $xs \neq []$  with 1 show False  
 by (auto simp: neq-Nil-conv)  
 qed  
 qed (auto)

**lemma** *Deq-visible*:

assumes  $v \vdash \text{Unchanged } v \longrightarrow \text{Unchanged } q$   
 shows  $|\sim \langle \text{Deq } ic\ q\ oc \rangle \cdot v = \text{Deq } ic\ q\ oc$   
**proof** (auto simp: tla-defs)  
 fix  $w$   
 assume  $deq: w \models \text{Deq } ic\ q\ oc$  and  $unch: v(w(Suc\ 0)) = v(w\ 0)$   
 from  $unch\ v[\text{unlifted}]$  have  $q(w(Suc\ 0)) = q(w\ 0)$   
 by (auto simp: tla-defs)  
 with  $deq$  show False by (auto simp: Deq-def tla-defs)  
 qed

**lemma** *Deq-enabledE*:  $\vdash \text{Enabled } \langle \text{Deq } ic\ q\ oc \rangle \cdot (ic, q, oc) \longrightarrow \$q \sim = \#[]$   
 by (auto elim!: enabledE simp: Deq-def tla-defs)

We now prove that *BInv* is an invariant of the Buffer specification.

We need several lemmas about *noreps* that are used in the invariant proof.

**lemma** *noreps-empty* [simp]:  $\text{noreps } []$   
 by (auto simp: noreps-def)

**lemma** *noreps-singleton*:  $\text{noreps } [x]$  — special case of following lemma  
 by (auto simp: noreps-def)

**lemma** *noreps-cons* [simp]:  
 $\text{noreps } (x \# xs) = (\text{noreps } xs \wedge (xs = [] \vee x \neq hd\ xs))$

**proof** (auto simp: noreps-singleton)  
 assume  $cons: \text{noreps } (x \# xs)$   
 show  $\text{noreps } xs$   
**proof** (auto simp: noreps-def)  
 fix  $i$   
 assume  $i: i < length\ xs - Suc\ 0$  and  $eq: xs!i = xs!(Suc\ i)$   
 from  $i$  have  $Suc\ i < length\ (x\#\ xs) - 1$  by auto  
 moreover  
 from  $eq$  have  $(x\#\ xs)!(Suc\ i) = (x\#\ xs)!(Suc\ (Suc\ i))$  by auto  
 moreover  
 note  $cons$

```

    ultimately show False by (auto simp: noreps-def)
  qed
next
  assume 1: noreps (hd xs # xs) and 2: xs ≠ []
  from 2 obtain x xxs where xs = x # xxs by (cases xs, auto)
  with 1 show False by (auto simp: noreps-def)
next
  assume 1: noreps xs and 2: x ≠ hd xs
  show noreps (x # xs)
  proof (auto simp: noreps-def)
    fix i
    assume i: i < length xs and eq: (x # xs)!i = xs!i
    from i obtain y ys where xs = y # ys by (cases xs, auto)
    show False
    proof (cases i)
      assume i = 0
      with eq 2 xs show False by auto
    next
      fix k
      assume k: i = Suc k
      with i eq xs 1 show False by (auto simp: noreps-def)
    qed
  qed
qed

```

lemma noreps-append [simp]:

```

  noreps (xs @ ys) =
    (noreps xs ∧ noreps ys ∧ (xs = [] ∨ ys = [] ∨ List.last xs ≠ hd ys))
proof auto
  assume 1: noreps (xs @ ys)
  show noreps xs
  proof (auto simp: noreps-def)
    fix i
    assume i: i < length xs - Suc 0 and eq: xs!i = xs!(Suc i)
    from i have i < length (xs @ ys) - Suc 0 by auto
    moreover
    from i eq have (xs @ ys)!i = (xs@ys)!(Suc i) by (auto simp: nth-append)
    moreover note 1
    ultimately show False by (auto simp: noreps-def)
  qed
next
  assume 1: noreps (xs @ ys)
  show noreps ys
  proof (auto simp: noreps-def)
    fix i
    assume i: i < length ys - Suc 0 and eq: ys!i = ys!(Suc i)
    from i have i + length xs < length (xs @ ys) - Suc 0 by auto
    moreover
    from i eq have (xs @ ys)!(i+length xs) = (xs@ys)!(Suc (i + length xs))

```



```

    by (auto simp: nth-append)
  moreover note 1
  ultimately show False by (auto simp: noreps-def)
qed
next
assume 1: noreps (xs @ ys) and 2: xs ≠ [] and 3: ys ≠ []
  and 4: List.last xs = hd ys
from 2 obtain x xxs where xs: xs = x # xxs by (cases xs, auto)
from 3 obtain y yys where ys: ys = y # yys by (cases ys, auto)
from xs ys have 5: length xxs < length (xs @ ys) - 1 by auto
from 4 xs ys have (xs @ ys)! (length xxs) = (xs @ ys)! (Suc (length xxs))
  by (auto simp: nth-append last-conv-nth)
with 5 1 show False by (auto simp: noreps-def)
next
assume 1: noreps xs and 2: noreps ys and 3: List.last xs ≠ hd ys
show noreps (xs @ ys)
proof (cases xs = [] ∨ ys = [])
  case True
  with 1 2 show ?thesis by auto
next
  case False
  then obtain x xxs where xs: xs = x # xxs by (cases xs, auto)
  from False obtain y yys where ys: ys = y # yys by (cases ys, auto)
  show ?thesis
  proof (auto simp: noreps-def)
    fix i
    assume i: i < length xs + length ys - Suc 0
      and eq: (xs @ ys)!i = (xs @ ys)!(Suc i)
    show False
    proof (cases i < length xxs)
      case True
      hence i < length (x # xxs) by simp
      hence xsi: ((x # xxs) @ ys)!i = (x # xxs)!i
        unfolding nth-append by simp
      from True have (xxs @ ys)!i = xxs!i by (auto simp: nth-append)
      with True xsi eq 1 xs show False by (auto simp: noreps-def)
    next
      assume i2: ¬(i < length xxs)
      show False
      proof (cases i = length xxs)
        case True
        with xs have xsi: (xs @ ys)!i = List.last xs
          by (auto simp: nth-append last-conv-nth)
        from True xs ys have (xs @ ys)!(Suc i) = y
          by (auto simp: nth-append)
        with 3 ys eq xsi show False by simp
      next
        case False
        with i2 xs have xsi: ¬(i < length xs) by auto

```

```

hence  $(xs @ ys)!i = ys!(i - \text{length } xs)$ 
  by (simp add: nth-append)
moreover
from xsi have  $Suc\ i - \text{length } xs = Suc\ (i - \text{length } xs)$  by auto
with xsi have  $(xs @ ys)!(Suc\ i) = ys!(Suc\ (i - \text{length } xs))$ 
  by (simp add: nth-append)
moreover
from i xsi have  $i - \text{length } xs < \text{length } ys - 1$  by auto
with 2 have  $ys!(i - \text{length } xs) \neq ys!(Suc\ (i - \text{length } xs))$ 
  by (auto simp: noreps-def)
moreover
note eq
ultimately show False by simp
qed
qed
qed
qed
qed

```

**lemma** *ISpec-BInv-lemma*:

```

 $\vdash BInit\ ic\ q\ oc \wedge \Box[Nxt\ ic\ q\ oc]-(ic, q, oc) \longrightarrow \Box(BInv\ ic\ q\ oc)$ 
proof (rule invmono)
  show  $\vdash BInit\ ic\ q\ oc \longrightarrow BInv\ ic\ q\ oc$ 
    by (auto simp: BInit-def BInv-def)
next
  have enq:  $\lceil \sim Enq\ ic\ q\ oc \longrightarrow BInv\ ic\ q\ oc \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
    by (auto simp: Enq-def BInv-def tla-defs)
  have deq:  $\lceil \sim Deq\ ic\ q\ oc \longrightarrow BInv\ ic\ q\ oc \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
    by (auto simp: Deq-def BInv-def tla-defs neq-Nil-conv)
  have unch:  $\lceil \sim Unchanged\ (ic, q, oc) \longrightarrow BInv\ ic\ q\ oc \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
    by (auto simp: BInv-def tla-defs)
  show  $\lceil \sim BInv\ ic\ q\ oc \wedge [Nxt\ ic\ q\ oc]-(ic, q, oc) \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
    by (auto simp: Nxt-def actrans-def
      elim: enq[unlift-rule] deq[unlift-rule] unch[unlift-rule])
qed

```

```

theorem ISpec-BInv:  $\vdash ISpec\ ic\ q\ oc \longrightarrow \Box(BInv\ ic\ q\ oc)$ 
  by (auto simp: ISpec-def intro: ISpec-BInv-lemma[unlift-rule])

```

### 10.3 Two FIFO buffers in a row implement a buffer

**locale** *DBuffer* =

```

fixes inp :: 'a statefun    — input channel for double FIFO
and mid :: 'a statefun     — channel linking the two buffers
and out :: 'a statefun     — output channel for double FIFO
and q1  :: 'a list statefun — inner queue of first FIFO
and q2  :: 'a list statefun — inner queue of second FIFO
and vars
defines vars  $\equiv LIFT\ (inp, mid, out, q1, q2)$ 

```

**assumes** *DB-base: basevars vars*  
**begin**

We need to specify the behavior of two FIFO buffers in a row. Intuitively, that specification is just the conjunction of two buffer specifications, where the first buffer has input channel *inp* and output channel *mid* whereas the second one receives from *mid* and outputs on *out*. However, this conjunction allows a simultaneous enqueue action of the first buffer and dequeue of the second one. It would not implement the previous buffer specification, which excludes such simultaneous enqueueing and dequeueing (it is written in “interleaving style”). We could relax the specification of the FIFO buffer above, which is esthetically pleasant, but non-interleaving specifications are usually hard to get right and to understand. We therefore impose an interleaving constraint on the specification of the double buffer, which requires that enqueueing and dequeueing do not happen simultaneously.

**definition** *DBSpec*  
**where**  $DBSpec \equiv TEMP\ ISpec\ inp\ q1\ mid$   
 $\wedge\ ISpec\ mid\ q2\ out$   
 $\wedge\ \Box[\neg(Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$

The proof rules of TLA are geared towards specifications of the form  $Init \wedge \Box[Next]-vars \wedge L$ , and we prove that *DBSpec* corresponds to a specification in this form, which we now define.

**definition** *FullInit*  
**where**  $FullInit \equiv TEMP\ (BInit\ inp\ q1\ mid \wedge BInit\ mid\ q2\ out)$

**definition** *FullNext*  
**where**  $FullNext \equiv TEMP\ (Enq\ inp\ q1\ mid \wedge Unchanged\ (q2, out)$   
 $\vee\ Deq\ inp\ q1\ mid \wedge Enq\ mid\ q2\ out$   
 $\vee\ Deq\ mid\ q2\ out \wedge Unchanged\ (inp, q1))$

**definition** *FullSpec*  
**where**  $FullSpec \equiv TEMP\ FullInit$   
 $\wedge\ \Box[FullNext]-vars$   
 $\wedge\ WF(Deq\ inp\ q1\ mid)-vars$   
 $\wedge\ WF(Deq\ mid\ q2\ out)-vars$

The concatenation of the two queues will serve as the refinement mapping.

**definition** *qc* :: 'a list statefun  
**where**  $qc \equiv LIFT\ (q2\ @\ q1)$

**lemmas**  $db-defs = buffer-defs\ DBSpec-def\ FullInit-def\ FullNext-def\ FullSpec-def$   
 $qc-def\ vars-def$

**lemma** *DBSpec-stutinv: STUTINV DBSpec*  
**unfolding** *db-defs* **by** (*simp add: bothstutinv livestutinv*)

**lemma** *FullSpec-stutinv: STUTINV FullSpec*  
**unfolding** *db-defs by (simp add: bothstutinv livestutinv)*

We prove that *DBSpec* implies *FullSpec*. (The converse implication also holds but is not needed for our implementation proof.)

The following lemma is somewhat more bureaucratic than we'd like it to be. It shows that the conjunction of the next-state relations, together with the invariant for the first queue, implies the full next-state relation of the combined queues.

**lemma** *DBNxt-then-FullNxt:*

$\vdash \square BInv\ inp\ q1\ mid$   
 $\wedge \square [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$   
 $\wedge \square [Nxt\ mid\ q2\ out]-(mid, q2, out)$   
 $\wedge \square [\neg(Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$   
 $\longrightarrow \square [FullNxt]-vars$   
*(is*  $\vdash \square ?inv \wedge ?nxts \longrightarrow \square [FullNxt]-vars$ *)*

**proof** –

**have**  $\vdash \square [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$   
 $\wedge \square [Nxt\ mid\ q2\ out]-(mid, q2, out)$   
 $\longrightarrow \square [ [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$   
 $\wedge [Nxt\ mid\ q2\ out]-(mid, q2, out)]-((inp, q1, mid), (mid, q2, out))$   
*(is*  $\vdash ?tmp \longrightarrow \square [?b1b2]-?vs$ *)*  
**by** *(auto simp: M12[int-rewrite])*

**moreover**

**have**  $\vdash \square [?b1b2]-?vs \longrightarrow \square [?b1b2]-vars$   
**by** *(rule R1, auto simp: vars-def tla-defs)*

**ultimately**

**have** *1:*  $\vdash \square [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$   
 $\wedge \square [Nxt\ mid\ q2\ out]-(mid, q2, out)$   
 $\longrightarrow \square [ [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$   
 $\wedge [Nxt\ mid\ q2\ out]-(mid, q2, out) ]-vars$

**by** *force*

**have** *2:*  $\vdash \square [?b1b2]-vars \wedge \square [\neg(Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$   
 $\longrightarrow \square [?b1b2 \wedge \neg(Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$

*(is*  $\vdash ?tmp2 \longrightarrow \square [?mid]-vars$ *)*

**by** *(simp add: M8[int-rewrite])*

**have**  $\vdash ?inv \longrightarrow \#True$  **by** *auto*

**moreover**

**have**  $|\sim ?inv \wedge \circ ?inv \wedge [?mid]-vars \longrightarrow [FullNxt]-vars$

**proof** –

**have**  $|\sim ?inv \wedge ?mid \longrightarrow [FullNxt]-vars$

**proof** –

**have** *A:*  $|\sim Nxt\ inp\ q1\ mid$   
 $\longrightarrow [Nxt\ mid\ q2\ out]-(mid, q2, out)$   
 $\longrightarrow \neg(Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)$   
 $\longrightarrow ?inv$   
 $\longrightarrow FullNxt$

```

proof –
  have enq: | $\sim$  Enq inp q1 mid
     $\wedge$  [Nxt mid q2 out]-(mid,q2,out)
     $\wedge$   $\neg$ (Deq mid q2 out)
     $\longrightarrow$  Unchanged (q2,out)
    by (auto simp: db-defs tla-defs)
  have deq1: | $\sim$  Deq inp q1 mid  $\longrightarrow$  ?inv  $\longrightarrow$  mid$  $\neq$  $mid
    by (auto simp: Deq-def BInv-def)
  have deq2: | $\sim$  Deq mid q2 out  $\longrightarrow$  mid$ = $mid
    by (auto simp: Deq-def)
  have deq: | $\sim$  Deq inp q1 mid
     $\wedge$  [Nxt mid q2 out]-(mid,q2,out)
     $\wedge$  ?inv
     $\longrightarrow$  Enq mid q2 out
    by (force simp: Nxt-def tla-defs
      dest: deq1[unlift-rule] deq2[unlift-rule])
  with enq show ?thesis by (force simp: Nxt-def FullNxt-def)
qed
have B: | $\sim$  Nxt mid q2 out
   $\longrightarrow$  Unchanged (inp,q1,mid)
   $\longrightarrow$  FullNxt
  by (auto simp: db-defs tla-defs)
have C:  $\vdash$  Unchanged (inp,q1,mid)
   $\longrightarrow$  Unchanged (mid,q2,out)
   $\longrightarrow$  Unchanged vars
  by (auto simp: vars-def tla-defs)
show ?thesis
  by (force simp: actrans-def
    dest: A[unlift-rule] B[unlift-rule] C[unlift-rule])
qed
thus ?thesis by (auto simp: tla-defs)
qed
ultimately
have  $\vdash$   $\square$ ?inv  $\wedge$   $\square$ [?mid]-vars  $\longrightarrow$   $\square$ #True  $\wedge$   $\square$ [FullNxt]-vars
  by (rule TLA2)
with 1 2 show ?thesis by force
qed

```

It is now easy to show that *DBSpec* refines *FullSpec*.

```

theorem DBSpec-impl-FullSpec:  $\vdash$  DBSpec  $\longrightarrow$  FullSpec
proof –
  have 1:  $\vdash$  DBSpec  $\longrightarrow$  FullInit
    by (auto simp: DBSpec-def FullInit-def ISpec-def)
  have 2:  $\vdash$  DBSpec  $\longrightarrow$   $\square$ [FullNxt]-vars
  proof –
    have  $\vdash$  DBSpec  $\longrightarrow$   $\square$ (BInv inp q1 mid)
      by (auto simp: DBSpec-def intro: ISpec-BInv[unlift-rule])
    moreover have  $\vdash$  DBSpec  $\wedge$   $\square$ (BInv inp q1 mid)  $\longrightarrow$   $\square$ [FullNxt]-vars
      by (auto simp: DBSpec-def ISpec-def)
  qed

```

```

      intro: DBNxt-then-FullNxt[unlift-rule])
    ultimately show ?thesis by force
  qed
  have 3:  $\vdash DBSpec \longrightarrow WF(Deq\ inp\ q1\ mid)\text{-vars}$ 
  proof -
    have 31:  $\vdash Unchanged\ vars \longrightarrow Unchanged\ q1$ 
      by (auto simp: vars-def tla-defs)
    have 32:  $\vdash Unchanged\ (inp,q1,mid) \longrightarrow Unchanged\ q1$ 
      by (auto simp: tla-defs)
    have deg:  $|\sim \langle Deq\ inp\ q1\ mid \rangle\text{-vars} = \langle Deq\ inp\ q1\ mid \rangle\text{-}(inp,q1,mid)$ 
      by (simp add: Deq-visible[OF 31, int-rewrite]
        Deq-visible[OF 32, int-rewrite])
    show ?thesis
      by (auto simp: DBSpec-def ISpec-def WeakF-def
        deg[int-rewrite] deg[THEN AA26,int-rewrite])
  qed
  have 4:  $\vdash DBSpec \longrightarrow WF(Deq\ mid\ q2\ out)\text{-vars}$ 
  proof -
    have 41:  $\vdash Unchanged\ vars \longrightarrow Unchanged\ q2$ 
      by (auto simp: vars-def tla-defs)
    have 42:  $\vdash Unchanged\ (mid,q2,out) \longrightarrow Unchanged\ q2$ 
      by (auto simp: tla-defs)
    have deg:  $|\sim \langle Deq\ mid\ q2\ out \rangle\text{-vars} = \langle Deq\ mid\ q2\ out \rangle\text{-}(mid,q2,out)$ 
      by (simp add: Deq-visible[OF 41, int-rewrite]
        Deq-visible[OF 42, int-rewrite])
    show ?thesis
      by (auto simp: DBSpec-def ISpec-def WeakF-def
        deg[int-rewrite] deg[THEN AA26,int-rewrite])
  qed
  qed
  show ?thesis
    by (auto simp: FullSpec-def
      elim: 1[unlift-rule] 2[unlift-rule] 3[unlift-rule]
        4[unlift-rule])
  qed

```

We now prove that two FIFO buffers in a row (as specified by formula *FullSpec*) implement a FIFO buffer whose internal queue is the concatenation of the two buffers. We start by proving step simulation.

**lemma** *FullInit*:  $\vdash FullInit \longrightarrow BInit\ inp\ qc\ out$   
 by (auto simp: db-defs tla-defs)

**lemma** *Full-step-simulation*:  
 $|\sim [FullNxt]\text{-vars} \longrightarrow [Nxt\ inp\ qc\ out]\text{-}(inp,qc,out)$   
 by (auto simp: db-defs tla-defs)

The liveness condition requires that the combined buffer eventually performs a *Deq* action on the output channel if it contains some element. The idea is to use the fairness hypothesis for the first buffer to prove that in that case, eventually the queue of the second buffer will be non-empty, and that

it must therefore eventually dequeue some element.

The first step is to establish the enabledness conditions for the two *Deq* actions of the implementation.

```

lemma Deq1-enabled:  $\vdash \text{Enabled } \langle \text{Deq } \text{inp } q1 \text{ mid} \rangle\text{-vars} = (\$q1 \neq \#[])$ 
proof –
  have 1:  $|\sim \langle \text{Deq } \text{inp } q1 \text{ mid} \rangle\text{-vars} = \text{Deq } \text{inp } q1 \text{ mid}$ 
    by (rule Deq-visible, auto simp: vars-def tla-defs)
  have  $\vdash \text{Enabled } (\text{Deq } \text{inp } q1 \text{ mid}) = (\$q1 \neq \#[])$ 
    by (force simp: Deq-def tla-defs vars-def
      intro: base-enabled[OF DB-base] elim!: enabledE)
  thus ?thesis by (simp add: 1[int-rewrite])
qed

```

```

lemma Deq2-enabled:  $\vdash \text{Enabled } \langle \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars} = (\$q2 \neq \#[])$ 
proof –
  have 1:  $|\sim \langle \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars} = \text{Deq } \text{mid } q2 \text{ out}$ 
    by (rule Deq-visible, auto simp: vars-def tla-defs)
  have  $\vdash \text{Enabled } (\text{Deq } \text{mid } q2 \text{ out}) = (\$q2 \neq \#[])$ 
    by (force simp: Deq-def tla-defs vars-def
      intro: base-enabled[OF DB-base] elim!: enabledE)
  thus ?thesis by (simp add: 1[int-rewrite])
qed

```

We now use rule *WF2* to prove that the combined buffer (behaving according to specification *FullSpec*) implements the fairness condition of the single buffer under the refinement mapping.

```

lemma Full-fairness:
   $\vdash \square[\text{FullNxt}]\text{-vars} \wedge \text{WF}(\text{Deq } \text{mid } q2 \text{ out})\text{-vars} \wedge \square \text{WF}(\text{Deq } \text{inp } q1 \text{ mid})\text{-vars}$ 
   $\longrightarrow \text{WF}(\text{Deq } \text{inp } qc \text{ out})\text{-(inp, qc, out)}$ 
proof (rule WF2)
  – the helpful action is the Deq action of the second queue
  show  $|\sim \langle \text{FullNxt} \wedge \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars} \longrightarrow \langle \text{Deq } \text{inp } qc \text{ out} \rangle\text{-(inp, qc, out)}$ 
    by (auto simp: db-defs tla-defs)
next
  – the helpful condition is the second queue being non-empty
  show  $|\sim (\$q2 \neq \#[]) \wedge \circ(\$q2 \neq \#[]) \wedge \langle \text{FullNxt} \wedge \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars}$ 
     $\longrightarrow \text{Deq } \text{mid } q2 \text{ out}$ 
    by (auto simp: tla-defs)
next
  show  $\vdash \$q2 \neq \#[] \wedge \text{Enabled } \langle \text{Deq } \text{inp } qc \text{ out} \rangle\text{-(inp, qc, out)}$ 
     $\longrightarrow \text{Enabled } \langle \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars}$ 
    unfolding Deq2-enabled[int-rewrite] by auto
next

```

The difficult part of the proof is to show that the helpful condition will eventually always be true provided that the combined dequeue action is eventually always enabled and that the helpful action is never executed. We prove that (1) the helpful condition persists and (2) that it must eventually become true.

**have**  $\vdash \Box\Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars}$   
 $\longrightarrow \Box(\$q2 \neq \#[] \longrightarrow \Box(\$q2 \neq \#[]))$   
**proof** (*rule STL4*)  
**have**  $|\sim \$q2 \neq \#[] \wedge [FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars}$   
 $\longrightarrow \circ(\$q2 \neq \#[])$   
**by** (*auto simp: db-defs tla-defs*)  
**from** *this*[*THEN INV1*]  
**show**  $\vdash \Box[FullNxt \wedge \neg Deq\ mid\ q2\ out]\text{-vars}$   
 $\longrightarrow (\$q2 \neq \#[] \longrightarrow \Box(\$q2 \neq \#[]))$   
**by** *auto*  
**qed**  
**hence** *1*:  $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars}$   
 $\longrightarrow \diamond(\$q2 \neq \#[]) \longrightarrow \diamond\Box(\$q2 \neq \#[])$   
**by** (*force intro: E31[unlift-rule]*)  
**have** *2*:  $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars}$   
 $\wedge WF(Deq\ inp\ q1\ mid)\text{-vars}$   
 $\longrightarrow (Enabled\ \langle Deq\ inp\ qc\ out \rangle\text{-}(inp, qc, out) \rightsquigarrow \$q2 \neq \#[])$   
**proof**  $-$   
**have** *qc*:  $\vdash (\$qc \neq \#[]) = (\$q1 \neq \#[] \vee \$q2 \neq \#[])$   
**by** (*auto simp: qc-def tla-defs*)  
**have**  $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars} \wedge WF(Deq\ inp\ q1\ mid)\text{-vars}$   
 $\longrightarrow (\$q1 \neq \#[] \rightsquigarrow \$q2 \neq \#[])$   
**proof** (*rule WF1*)  
**show**  $|\sim \$q1 \neq \#[] \wedge [FullNxt \wedge \neg Deq\ mid\ q2\ out]\text{-vars}$   
 $\longrightarrow \circ(\$q1 \neq \#[]) \vee \circ(\$q2 \neq \#[])$   
**by** (*auto simp: db-defs tla-defs*)  
**next**  
**show**  $|\sim \$q1 \neq \#[]$   
 $\wedge \langle (FullNxt \wedge \neg Deq\ mid\ q2\ out) \wedge Deq\ inp\ q1\ mid \rangle\text{-vars} \longrightarrow$   
 $\circ(\$q2 \neq \#[])$   
**by** (*auto simp: db-defs tla-defs*)  
**next**  
**show**  $\vdash \$q1 \neq \#[] \longrightarrow Enabled\ \langle Deq\ inp\ q1\ mid \rangle\text{-vars}$   
**by** (*simp add: Deq1-enabled[int-rewrite]*)  
**next**  
**show**  $|\sim \$q1 \neq \#[] \wedge Unchanged\ vars \longrightarrow \circ(\$q1 \neq \#[])$   
**by** (*auto simp: vars-def tla-defs*)  
**qed**  
**hence**  $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars}$   
 $\wedge WF(Deq\ inp\ q1\ mid)\text{-vars}$   
 $\longrightarrow (\$qc \neq \#[] \rightsquigarrow \$q2 \neq \#[])$   
**by** (*auto simp: qc[int-rewrite] LT17[int-rewrite] LT1[int-rewrite]*)  
**moreover**  
**have**  $\vdash Enabled\ \langle Deq\ inp\ qc\ out \rangle\text{-}(inp, qc, out) \rightsquigarrow \$qc \neq \#[]$   
**by** (*rule Deq-enabledE[THEN LT3]*)  
**ultimately show** *?thesis* **by** (*force elim: LT13[unlift-rule]*)  
**qed**  
**with** *LT6*  
**have**  $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars}$



$$\begin{aligned} & \wedge WF(Deq\ inp\ q1\ mid)\text{-vars} \\ & \wedge \diamond Enabled \langle Deq\ inp\ qc\ out \rangle\text{-}(inp, qc, out) \\ & \longrightarrow \diamond(\$q2 \neq \#[]) \\ & \text{by force} \\ & \text{with 1 E16} \\ \text{show } & \vdash \square[FullNext \wedge \neg(Deq\ mid\ q2\ out)]\text{-vars} \\ & \wedge WF(Deq\ mid\ q2\ out)\text{-vars} \\ & \wedge \square WF(Deq\ inp\ q1\ mid)\text{-vars} \\ & \wedge \diamond \square Enabled \langle Deq\ inp\ qc\ out \rangle\text{-}(inp, qc, out) \\ & \longrightarrow \diamond \square(\$q2 \neq \#[]) \\ & \text{by force} \\ \text{qed} \end{aligned}$$

Putting everything together, we obtain that *FullSpec* refines the Buffer specification under the refinement mapping.

**theorem** *FullSpec-impl-ISpec*:  $\vdash FullSpec \longrightarrow ISpec\ inp\ qc\ out$   
**unfolding** *FullSpec-def ISpec-def*  
**using** *FullInit Full-step-simulation[THEN M11] Full-fairness*  
**by force**

**theorem** *FullSpec-impl-Spec*:  $\vdash FullSpec \longrightarrow Spec\ inp\ out$   
**unfolding** *Spec-def* **using** *FullSpec-impl-ISpec*  
**by** (*force intro: eeI[unlift-rule]*)

By transitivity, two buffers in a row also implement a single buffer.

**theorem** *DBSpec-impl-Spec*:  $\vdash DBSpec \longrightarrow Spec\ inp\ out$   
**by** (*rule lift-imp-trans[OF DBSpec-impl-FullSpec FullSpec-impl-Spec]*)

**end** — locale DBuffer

**end**

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