

Synthetic Completeness

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Abstract

In this work, I provide an abstract framework for proving the completeness of a logical calculus using the synthetic method. The synthetic method is based on maximal consistent saturated sets (MCSs). A set of formulas is consistent (with respect to the calculus) when we cannot derive a contradiction from it. It is maximally consistent when it contains every formula that is consistent with it. For logics where it is relevant, it is saturated when it contains a witness for every existential formula. To prove completeness using these maximal consistent saturated sets, we prove a truth lemma: every formula in an MCS has a satisfying model. Here, Hintikka sets provide a useful stepping stone. These can be seen as characterizations of the MCSs based on simple subformula conditions rather than via the calculus. We then prove that every Hintikka set gives rise to a satisfying model and that MCSs are Hintikka sets. Now, assume a valid formula cannot be derived. Then its negation must be consistent and therefore satisfiable. This contradicts validity and the original formula must be derivable.

To start, I build maximal consistent saturated sets for any logic that satisfies a small set of assumptions. I do this using a transfinite version of Lindenbaum's lemma, which allows me to support languages of any cardinality. I then prove useful abstract results about derivations and refutations as they relate to MCSs. Finally, I show how Hintikka sets can be derived from the logic's semantics, outlining one way to prove the required truth lemma.

To demonstrate the versatility of the framework, I instantiate it with five different examples. The formalization contains soundness and completeness results for: a propositional tableau calculus, a propositional sequent calculus, an axiomatic system for modal logic, a labelled natural deduction system for hybrid logic and a natural deduction system for first-order logic. The tableau example uses custom Hintikka sets based on the calculus, but the other four examples derive them from the semantics in the style of the framework. The hybrid and first-order logic examples rely on saturated MCSs. This places requirements on the cardinalities of their languages to ensure that there are enough witnesses available. In both cases, the type of witnesses must be infinite and have cardinality at least that of the type of propositional/predicate symbols.

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Chapter 1

Maximal Consistent Sets

theory *Maximal-Consistent-Sets* **imports** *HOL-Cardinals.Cardinal-Order-Relation* **begin**

1.1 Utility

lemma *Set-Diff-Un*: $\langle X - (Y \cup Z) = X - Y - Z \rangle$
<proof>

lemma *infinite-Diff-fin-Un*: $\langle \text{infinite } (X - Y) \implies \text{finite } Z \implies \text{infinite } (X - (Z \cup Y)) \rangle$
<proof>

lemma *infinite-Diff-subset*: $\langle \text{infinite } (X - A) \implies B \subseteq A \implies \text{infinite } (X - B) \rangle$
<proof>

lemma *finite-bound*:
fixes $X :: \langle 'a :: \text{size} \rangle \text{ set}$
assumes $\langle \text{finite } X \rangle \langle X \neq \{\} \rangle$
shows $\langle \exists x \in X. \forall y \in X. \text{size } y \leq \text{size } x \rangle$
<proof>

lemma *infinite-UNIV-size*:
fixes $f :: \langle 'a :: \text{size} \rangle \Rightarrow 'a$
assumes $\langle \bigwedge x. \text{size } x < \text{size } (f x) \rangle$
shows $\langle \text{infinite } (\text{UNIV} :: 'a \text{ set}) \rangle$
<proof>

lemma *split-finite-sets*:
assumes $\langle \text{finite } A \rangle \langle \text{finite } B \rangle$
assumes $\langle A \subseteq B \cup S \rangle$
shows $\langle \exists B' C. \text{finite } C \wedge (B' \cup C = A) \wedge B' \subseteq B \wedge C \subseteq S \rangle$
<proof>

lemma *split-list*:
assumes $\langle \text{set } A \subseteq \text{set } B \cup S \rangle$
shows $\langle \exists B' C. \text{set } (B' @ C) = \text{set } A \wedge \text{set } B' \subseteq \text{set } B \wedge \text{set } C \subseteq S \rangle$
<proof>

lemma *struct-split*:
assumes $\langle \bigwedge A B. P A \implies \text{set } A \subseteq \text{set } B \implies P B \rangle \langle P A \rangle \langle \text{set } A \subseteq \text{set } B \cup X \rangle$
shows $\langle \exists C. \text{set } C \subseteq X \wedge P (B @ C) \rangle$
<proof>

context *wo-rel* **begin**

lemma *underS-bound*: $\langle a \in \text{underS } n \implies b \in \text{underS } n \implies a \in \text{under } b \vee b \in \text{under } a \rangle$
 $\langle \text{proof} \rangle$

lemma *finite-underS-bound*:
assumes $\langle \text{finite } X \rangle \langle X \subseteq \text{underS } n \rangle \langle X \neq \{\} \rangle$
shows $\langle \exists a \in X. \forall b \in X. b \in \text{under } a \rangle$
 $\langle \text{proof} \rangle$

lemma *finite-bound-under*:
assumes $\langle \text{finite } p \rangle \langle p \subseteq (\bigcup n \in \text{Field } r. f n) \rangle$
shows $\langle \exists m. p \subseteq (\bigcup n \in \text{under } m. f n) \rangle$
 $\langle \text{proof} \rangle$

lemma *underS-trans*: $\langle a \in \text{underS } b \implies b \in \text{underS } c \implies a \in \text{underS } c \rangle$
 $\langle \text{proof} \rangle$

end

lemma *card-of-infinite-smaller-Union*:
assumes $\langle \forall x. |f x| < o |X| \rangle \langle \text{infinite } X \rangle$
shows $\langle |\bigcup x \in X. f x| \leq o |X| \rangle$
 $\langle \text{proof} \rangle$

lemma *card-of-params-marker-lists*:
assumes $\langle \text{infinite } (\text{UNIV} :: 'i \text{ set}) \rangle \langle |\text{UNIV} :: 'm \text{ set}| \leq o |\text{UNIV} :: \text{nat set}| \rangle$
shows $\langle |\text{UNIV} :: ('i + 'm \times \text{nat}) \text{ list set}| \leq o |\text{UNIV} :: 'i \text{ set}| \rangle$
 $\langle \text{proof} \rangle$

1.2 Base Locale

locale *MCS-Base* =
fixes *consistent* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$
assumes *consistent-hereditary*: $\langle \bigwedge S S'. \text{consistent } S \implies S' \subseteq S \implies \text{consistent } S' \rangle$
and *inconsistent-finite*: $\langle \bigwedge S. \neg \text{consistent } S \implies \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } S' \rangle$
begin

definition *maximal* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{maximal } S \equiv \forall p. \text{consistent } (\{p\} \cup S) \longrightarrow p \in S \rangle$

end

1.3 Ordinal Locale

locale *MCS-Lim-Ord* = *MCS-Base* +
fixes *r* :: $\langle 'a \text{ rel} \rangle$
assumes *WELL*: $\langle \text{Well-order } r \rangle$
and *Cinfinite-r*: $\langle \text{Cinfinite } r \rangle$
fixes *params* :: $\langle 'a \Rightarrow 'i \text{ set} \rangle$
and *witness* :: $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$
assumes *finite-params*: $\langle \bigwedge p. \text{finite } (\text{params } p) \rangle$
and *finite-witness-params*: $\langle \bigwedge p S. \text{finite } (\bigcup q \in \text{witness } p S. \text{params } q) \rangle$
and *consistent-witness*: $\langle \bigwedge p S. \text{consistent } (\{p\} \cup S) \rangle$

$\implies \text{infinite } (UNIV - (\bigcup q \in S. \text{params } q))$
 $\implies \text{consistent } (\text{witness } p \ S \cup \{p\} \cup S)$

begin

lemma *wo-rel-r*: $\langle \text{wo-rel } r \rangle$
 $\langle \text{proof} \rangle$

lemma *isLimOrd-r*: $\langle \text{isLimOrd } r \rangle$
 $\langle \text{proof} \rangle$

1.3.1 Lindenbaum Extension

abbreviation *paramss* :: $\langle 'a \text{ set} \Rightarrow 'i \text{ set} \rangle$ **where**
 $\langle \text{paramss } S \equiv \bigcup p \in S. \text{params } p \rangle$

definition *extendS* :: $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ **where**
 $\langle \text{extendS } n \text{ prev} \equiv \text{if consistent } (\{n\} \cup \text{prev}) \text{ then witness } n \text{ prev} \cup \{n\} \cup \text{prev} \text{ else prev} \rangle$

definition *extendL* :: $\langle ('a \Rightarrow 'a \text{ set}) \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle$ **where**
 $\langle \text{extendL } \text{rec } n \equiv \bigcup m \in \text{underS } r \ n. \text{rec } m \rangle$

definition *extend* :: $\langle 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle$ **where**
 $\langle \text{extend } S \ n \equiv \text{worecZSL } r \ S \ \text{extendS } \ \text{extendL } \ n \rangle$

lemma *adm-woL-extendL*: $\langle \text{adm-woL } r \ \text{extendL} \rangle$
 $\langle \text{proof} \rangle$

definition *Extend* :: $\langle 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ **where**
 $\langle \text{Extend } S \equiv \bigcup n \in \text{Field } r. \text{extend } S \ n \rangle$

lemma *extend-subset*: $\langle n \in \text{Field } r \implies S \subseteq \text{extend } S \ n \rangle$
 $\langle \text{proof} \rangle$

lemma *Extend-subset'*: $\langle \text{Field } r \neq \{\} \implies S \subseteq \text{Extend } S \rangle$
 $\langle \text{proof} \rangle$

lemma *extend-underS*: $\langle m \in \text{underS } r \ n \implies \text{extend } S \ m \subseteq \text{extend } S \ n \rangle$
 $\langle \text{proof} \rangle$

lemma *extend-under*: $\langle m \in \text{under } r \ n \implies \text{extend } S \ m \subseteq \text{extend } S \ n \rangle$
 $\langle \text{proof} \rangle$

1.3.2 Consistency

lemma *params-origin*:
assumes $\langle a \in \text{paramss } (\text{extend } S \ n) \rangle$
shows $\langle a \in \text{paramss } S \vee (\exists m \in \text{underS } r \ n. a \in \text{paramss } (\text{witness } m \ (\text{extend } S \ m) \cup \{m\})) \rangle$
 $\langle \text{proof} \rangle$

lemma *consistent-extend*:
assumes $\langle \text{consistent } S \rangle \langle r \leq o \mid UNIV - \text{paramss } S \rangle$
shows $\langle \text{consistent } (\text{extend } S \ n) \rangle$
 $\langle \text{proof} \rangle$

lemma *consistent-Extend*:
assumes $\langle \text{consistent } S \rangle \langle r \leq o \mid UNIV - \text{paramss } S \rangle$

shows $\langle \text{consistent } (\text{Extend } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *Extend-bound*: $\langle n \in \text{Field } r \implies \text{extend } S \ n \subseteq \text{Extend } S \rangle$
 $\langle \text{proof} \rangle$

1.3.3 Maximality

definition *maximal'* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{maximal}' \ S \equiv \forall p \in \text{Field } r. \text{consistent } (\{p\} \cup S) \longrightarrow p \in S \rangle$

lemma *maximal'-Extend*: $\langle \text{maximal}' (\text{Extend } S) \rangle$
 $\langle \text{proof} \rangle$

1.3.4 Saturation

definition *saturated'* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{saturated}' \ S \equiv \forall p \in S. p \in \text{Field } r \longrightarrow (\exists S'. \text{witness } p \ S' \subseteq S) \rangle$

lemma *saturated'-Extend*:
assumes $\langle \text{consistent } (\text{Extend } S) \rangle$
shows $\langle \text{saturated}' (\text{Extend } S) \rangle$
 $\langle \text{proof} \rangle$

end

1.4 Locale with Saturation

locale *MCS-Saturation* = *MCS-Base* +
assumes *infinite-UNIV*: $\langle \text{infinite } (\text{UNIV} :: 'a \text{ set}) \rangle$
fixes *params* :: $\langle 'a \Rightarrow 'i \text{ set} \rangle$
and *witness* :: $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$
assumes $\langle \bigwedge p. \text{finite } (\text{params } p) \rangle$
and $\langle \bigwedge p \ S. \text{finite } (\bigcup q \in \text{witness } p \ S. \text{params } q) \rangle$
and $\langle \bigwedge p \ S. \text{consistent } (\{p\} \cup S) \implies \text{infinite } (\text{UNIV} - (\bigcup q \in S. \text{params } q)) \implies \text{consistent } (\text{witness } p \ S \cup \{p\} \cup S) \rangle$

sublocale *MCS-Saturation* \subseteq *MCS-Lim-Ord* - $\langle |\text{UNIV}| \rangle$
 $\langle \text{proof} \rangle$

context *MCS-Saturation* **begin**

theorem *Extend-subset*: $\langle S \subseteq \text{Extend } S \rangle$
 $\langle \text{proof} \rangle$

lemma *maximal-maximal'*: $\langle \text{maximal } S \longleftrightarrow \text{maximal}' \ S \rangle$
 $\langle \text{proof} \rangle$

lemma *maximal-Extend*: $\langle \text{maximal } (\text{Extend } S) \rangle$
 $\langle \text{proof} \rangle$

definition *saturated* :: $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{saturated } S \equiv \forall p \in S. \exists S'. \text{witness } p \ S' \subseteq S \rangle$

lemma *saturated-saturated'*: $\langle \text{saturated } S \longleftrightarrow \text{saturated}' \ S \rangle$

⟨proof⟩

lemma *saturated-Extend*:

assumes ⟨consistent (Extend S)⟩

shows ⟨saturated (Extend S)⟩

⟨proof⟩

theorem *MCS-Extend*:

assumes ⟨consistent S⟩ ⟨|UNIV :: 'a set| ≤_o |UNIV - paramss S|⟩

shows ⟨consistent (Extend S)⟩ ⟨maximal (Extend S)⟩ ⟨saturated (Extend S)⟩

⟨proof⟩

end

1.5 Locale without Saturation

locale *MCS-No-Saturation* = *MCS-Base* +

assumes ⟨infinite (UNIV :: 'a set)⟩

sublocale *MCS-No-Saturation* ⊆ *MCS-Saturation consistent* ⟨λ-. {} :: 'a set⟩ ⟨λ- -. {}⟩

⟨proof⟩

context *MCS-No-Saturation* **begin**

lemma *always-saturated [simp]*: ⟨saturated H⟩

⟨proof⟩

theorem *MCS-Extend'*:

assumes ⟨consistent S⟩

shows ⟨consistent (Extend S)⟩ ⟨maximal (Extend S)⟩

⟨proof⟩

end

1.6 Truth Lemma

locale *Truth-Base* =

fixes *semics* :: ⟨'model ⇒ ('model ⇒ 'fm ⇒ bool) ⇒ 'fm ⇒ bool⟩

and *semantics* :: ⟨'model ⇒ 'fm ⇒ bool⟩

and *models-from* :: ⟨'a set ⇒ 'model set⟩

and *rel* :: ⟨'a set ⇒ 'model ⇒ 'fm ⇒ bool⟩

assumes *semics-semantics*: ⟨semantics M p ⟷ semics M semantics p⟩

and *Hintikka-model*: ⟨∧H M p. ∀ M ∈ models-from H. ∀ p. semics M (rel H) p ⟷ rel H M p ⟹
M ∈ models-from H ⟹ semantics M p ⟷ rel H M p⟩

locale *Truth-Saturation* = *MCS-Saturation* + *Truth-Base* +

assumes *MCS-Hintikka*: ⟨∧H. consistent H ⟹ maximal H ⟹ saturated H ⟹

∀ M ∈ models-from H. ∀ p. semics M (rel H) p ⟷ rel H M p⟩

begin

theorem *truth-lemma-saturation*:

assumes ⟨consistent H⟩ ⟨maximal H⟩ ⟨saturated H⟩ ⟨M ∈ models-from H⟩

shows ⟨semantics M p ⟷ rel H M p⟩

⟨proof⟩

end

locale *Truth-No-Saturation* = *MCS-No-Saturation* + *Truth-Base* +
assumes *MCS-Hintikka*: $\langle \bigwedge H. \text{consistent } H \implies \text{maximal } H \implies$
 $\forall M \in \text{models-from } H. \forall p. \text{semics } M \text{ (rel } H) p \longleftrightarrow \text{rel } H M p \rangle$
begin

theorem *truth-lemma-no-saturation*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle M \in \text{models-from } H \rangle$
shows $\langle \text{semantics } M p \longleftrightarrow \text{rel } H M p \rangle$
 $\langle \text{proof} \rangle$

end

end

Chapter 2

Derivations

theory *Derivations* **imports** *Maximal-Consistent-Sets* **begin**

2.1 Rearranging Assumptions

locale *Derivations* =

fixes *derive* :: $\langle 'a \text{ list} \Rightarrow 'a \Rightarrow \text{bool} \rangle$

assumes *derive-struct*: $\langle \bigwedge A B p. \text{derive } A \ p \implies \text{set } A \subseteq \text{set } B \implies \text{derive } B \ p \rangle$

begin

theorem *derive-split*:

assumes $\langle \text{set } A \subseteq \text{set } B \cup X \rangle \langle \text{derive } A \ p \rangle$

shows $\langle \exists C. \text{set } C \subseteq X \wedge \text{derive } (B \ @ \ C) \ p \rangle$

$\langle \text{proof} \rangle$

corollary *derive-split1*:

assumes $\langle \text{set } A \subseteq \{q\} \cup X \rangle \langle \text{derive } A \ p \rangle$

shows $\langle \exists C. \text{set } C \subseteq X \wedge \text{derive } (q \ \# \ C) \ p \rangle$

$\langle \text{proof} \rangle$

end

2.2 MCSs and Deriving Falsity

locale *Derivations-MCS* = *Derivations* + *MCS-Base* +

fixes *fls*

assumes *consistent-derive-fls*: $\langle \bigwedge S. \text{consistent } S = (\nexists S'. \text{set } S' \subseteq S \wedge \text{derive } S' \ \text{fls}) \rangle$

begin

theorem *MCS-derive-fls*:

assumes $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$

shows $\langle p \notin S \iff (\exists S'. \text{set } S' \subseteq S \wedge \text{derive } (p \ \# \ S') \ \text{fls}) \rangle$

$\langle \text{proof} \rangle$

end

2.3 MCSs and Derivability

locale *Derivations-MCS-Cut* = *Derivations-MCS* +

assumes *derive-assm*: $\langle \bigwedge A p. p \in \text{set } A \implies \text{derive } A \ p \rangle$

and *derive-cut*: $\langle \wedge A B p q. \text{derive } A p \implies \text{derive } (p \# B) q \implies \text{derive } (A @ B) q \rangle$
begin

theorem *MCS-derive*:

assumes $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$

shows $\langle p \in S \longleftrightarrow (\exists S'. \text{set } S' \subseteq S \wedge \text{derive } S' p) \rangle$

$\langle \text{proof} \rangle$

end

end

Chapter 3

Refutations

theory *Refutations* **imports** *Maximal-Consistent-Sets* **begin**

3.1 Rearranging Refutations

locale *Refutations* =

fixes *refute* :: $\langle 'a \text{ list} \Rightarrow \text{bool} \rangle$

assumes *refute-struct*: $\langle \bigwedge A B. \text{refute } A \implies \text{set } A \subseteq \text{set } B \implies \text{refute } B \rangle$

begin

theorem *refute-split*:

assumes $\langle \text{set } A \subseteq \text{set } B \cup X \rangle \langle \text{refute } A \rangle$

shows $\langle \exists C. \text{set } C \subseteq X \wedge \text{refute } (B @ C) \rangle$

$\langle \text{proof} \rangle$

corollary *refute-split1*:

assumes $\langle \text{set } A \subseteq \{q\} \cup X \rangle \langle \text{refute } A \rangle$

shows $\langle \exists C. \text{set } C \subseteq X \wedge \text{refute } (q \# C) \rangle$

$\langle \text{proof} \rangle$

end

3.2 MCSs and Refutability

locale *Refutations-MCS* = *Refutations* + *MCS-Base* +

assumes *consistent-refute*: $\langle \bigwedge S. \text{consistent } S = (\nexists S'. \text{set } S' \subseteq S \wedge \text{refute } S') \rangle$

begin

theorem *MCS-refute*:

assumes $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$

shows $\langle p \notin S \iff (\exists S'. \text{set } S' \subseteq S \wedge \text{refute } (p \# S')) \rangle$

$\langle \text{proof} \rangle$

end

end

Chapter 4

Example: Propositional Tableau Calculus

theory *Example-Propositional-Tableau* imports *Refutations* begin

4.1 Syntax

```
datatype 'p fm
  = Pro 'p (⟨ $\dagger$ ⟩)
  | Neg ⟨'p fm⟩ (⟨ $\neg$   $\rightarrow$  [70] 70)
  | Imp ⟨'p fm⟩ ⟨'p fm⟩ (infixr ⟨ $\longrightarrow$ ⟩ 55)
```

4.2 Semantics

```
type-synonym 'p model = ⟨'p  $\Rightarrow$  bool⟩
```

```
fun semantics :: ⟨'p model  $\Rightarrow$  'p fm  $\Rightarrow$  bool⟩ (⟨ $\llbracket$ - $\rrbracket$ ⟩) where
  ⟨ $\llbracket I \rrbracket$  (⟨ $\dagger P$ ⟩)  $\longleftrightarrow$  I P⟩
| ⟨ $\llbracket I \rrbracket$  (⟨ $\neg p$ ⟩)  $\longleftrightarrow$   $\neg$   $\llbracket I \rrbracket p$ ⟩
| ⟨ $\llbracket I \rrbracket$  (⟨ $p \longrightarrow q$ ⟩)  $\longleftrightarrow$   $\llbracket I \rrbracket p \longrightarrow \llbracket I \rrbracket q$ ⟩
```

4.3 Calculus

```
inductive Calculus :: ⟨'p fm list  $\Rightarrow$  bool⟩ (⟨ $\vdash_T$   $\rightarrow$  [50] 50) where
  Axiom [intro]: ⟨ $\vdash_T \dagger P \# \neg \dagger P \# A$ ⟩
| NegI [intro]: ⟨ $\vdash_T p \# A \Longrightarrow \vdash_T \neg \neg p \# A$ ⟩
| ImpP [intro]: ⟨ $\vdash_T \neg p \# A \Longrightarrow \vdash_T q \# A \Longrightarrow \vdash_T (p \longrightarrow q) \# A$ ⟩
| ImpN [intro]: ⟨ $\vdash_T p \# \neg q \# A \Longrightarrow \vdash_T \neg (p \longrightarrow q) \# A$ ⟩
| Weaken: ⟨ $\vdash_T A \Longrightarrow set A \subseteq set B \Longrightarrow \vdash_T B$ ⟩
```

lemma *Weaken2*:

```
  assumes ⟨ $\vdash_T p \# A$ ⟩ ⟨ $\vdash_T q \# B$ ⟩
  shows ⟨ $\vdash_T p \# A @ B \wedge \vdash_T q \# A @ B$ ⟩
  ⟨proof⟩
```

4.4 Soundness

```
theorem soundness: ⟨ $\vdash_T A \Longrightarrow \exists p \in set A. \neg \llbracket I \rrbracket p$ ⟩
  ⟨proof⟩
```

corollary *soundness'*: $\langle \vdash_T [\neg p] \implies \llbracket I \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

corollary $\langle \neg (\vdash_T []) \rangle$
 $\langle \text{proof} \rangle$

4.5 Maximal Consistent Sets

definition *consistent* :: $\langle 'p \text{ fm set} \implies \text{bool} \rangle$ **where**
 $\langle \text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge \vdash_T S' \rangle$

interpretation *MCS-No-Saturation consistent*
 $\langle \text{proof} \rangle$

interpretation *Refutations-MCS Calculus consistent*
 $\langle \text{proof} \rangle$

4.6 Truth Lemma

abbreviation (*input*) *hmodel* :: $\langle 'p \text{ fm set} \implies 'p \text{ model} \rangle$ **where**
 $\langle \text{hmodel } H \equiv \lambda P. \nexists P \in H \rangle$

locale *Hintikka* =
fixes $H :: \langle 'a \text{ fm set} \rangle$
assumes *AxiomH*: $\langle \bigwedge P. \nexists P \in H \implies \neg \nexists P \in H \implies \text{False} \rangle$
and *NegIH*: $\langle \bigwedge p. \neg \neg p \in H \implies p \in H \rangle$
and *ImpPH*: $\langle \bigwedge p q. p \longrightarrow q \in H \implies \neg p \in H \vee q \in H \rangle$
and *ImpNH*: $\langle \bigwedge p q. \neg (p \longrightarrow q) \in H \implies p \in H \wedge \neg q \in H \rangle$

lemma *Hintikka-model*:
assumes $\langle \text{Hintikka } H \rangle$
shows $\langle (p \in H \longrightarrow \llbracket \text{hmodel } H \rrbracket p) \wedge (\neg p \in H \longrightarrow \neg \llbracket \text{hmodel } H \rrbracket p) \rangle$
 $\langle \text{proof} \rangle$

lemma *MCS-Hintikka*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{Hintikka } H \rangle$
 $\langle \text{proof} \rangle$

lemma *truth-lemma*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle p \in H \rangle$
shows $\langle \llbracket \text{hmodel } H \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

4.7 Completeness

theorem *strong-completeness*:
assumes $\langle \forall M :: 'p \text{ model. } (\forall q \in X. \llbracket M \rrbracket q) \longrightarrow \llbracket M \rrbracket p \rangle$
shows $\langle \exists A. \text{ set } A \subseteq X \wedge \vdash_T \neg p \# A \rangle$
 $\langle \text{proof} \rangle$

abbreviation *valid* :: $\langle 'p \text{ fm} \implies \text{bool} \rangle$ **where**
 $\langle \text{valid } p \equiv \forall M. \llbracket M \rrbracket p \rangle$

theorem *completeness*:

assumes $\langle \text{valid } p \rangle$

shows $\langle \vdash_T [\neg p] \rangle$

$\langle \text{proof} \rangle$

theorem *main*: $\langle \text{valid } p \iff \vdash_T [\neg p] \rangle$

$\langle \text{proof} \rangle$

end

Chapter 5

Example: Propositional Sequent Calculus

theory *Example-Propositional-SC* **imports** *Derivations* **begin**

5.1 Syntax

```
datatype 'p fm
  = Fls (⟨⊥⟩)
  | Pro 'p (⟨‡⟩)
  | Imp ⟨'p fm⟩ ⟨'p fm⟩ (infixr ⟨⟶⟩ 55)
```

abbreviation *Neg* (⟨¬ → [70] 70) **where** ⟨¬ p ≡ p ⟶ ⊥⟩

5.2 Semantics

type-synonym 'p model = ⟨'p ⇒ bool⟩

```
fun semantics :: ⟨'p model ⇒ 'p fm ⇒ bool⟩ (⟨[[·]]⟩) where
  ⟨[[·]] ⊥ ⟷ False⟩
| ⟨[[I]] (‡P) ⟷ I P⟩
| ⟨[[I]] (p ⟶ q) ⟷ [[I] p ⟶ [[I] q]⟩
```

5.3 Calculus

inductive *Calculus* :: ⟨'p fm list ⇒ 'p fm list ⇒ bool⟩ (⟨⊢_S → [50, 50] 50) **where**

```
  Axiom [intro]: ⟨p # A ⊢S p # B⟩
| FlsL [intro]: ⟨⊥ # A ⊢S B⟩
| FlsR [dest]: ⟨A ⊢S ⊥ # B ⟹ A ⊢S B⟩
| ImpL [intro]: ⟨A ⊢S p # B ⟹ q # A ⊢S B ⟹ (p ⟶ q) # A ⊢S B⟩
| ImpR [intro]: ⟨p # A ⊢S q # B ⟹ A ⊢S (p ⟶ q) # B⟩
| Cut [elim]: ⟨A ⊢S p # B ⟹ p # C ⊢S D ⟹ A @ C ⊢S B @ D⟩
| WeakenL: ⟨A ⊢S B ⟹ set A ⊆ set A' ⟹ A' ⊢S B⟩
| WeakenR: ⟨A ⊢S B ⟹ set B ⊆ set B' ⟹ A ⊢S B'⟩
```

lemma *Boole*: ⟨¬ p # A ⊢_S [] ⟹ A ⊢_S [p]⟩
 ⟨proof⟩

5.4 Soundness

theorem *soundness*: $\langle A \vdash_S B \implies \forall q \in \text{set } A. \llbracket I \rrbracket q \implies \exists p \in \text{set } B. \llbracket I \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

corollary *soundness'*: $\langle \llbracket \] \vdash_S [p] \implies \llbracket I \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

corollary $\langle \neg (\llbracket \] \vdash_S \llbracket \]) \rangle$
 $\langle \text{proof} \rangle$

5.5 Maximal Consistent Sets

definition *consistent* :: $\langle 'p \text{ fm set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_S [\perp] \rangle$

interpretation *MCS-No-Saturation consistent*
 $\langle \text{proof} \rangle$

interpretation *Derivations-MCS-Cut* $\langle \lambda A p. A \vdash_S [p] \rangle$ *consistent* $\langle \perp \rangle$
 $\langle \text{proof} \rangle$

5.6 Truth Lemma

abbreviation *hmodel* :: $\langle 'p \text{ fm set} \Rightarrow 'p \text{ model} \rangle$ **where**
 $\langle \text{hmodel } H \equiv \lambda P. \ddagger P \in H \rangle$

fun *semics* :: $\langle 'p \text{ model} \Rightarrow ('p \text{ model} \Rightarrow 'p \text{ fm} \Rightarrow \text{bool}) \Rightarrow 'p \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{semics } - \text{ } \perp \longleftrightarrow \text{False} \rangle$
 $| \langle \text{semics } I \text{ } (\ddagger P) \longleftrightarrow I P \rangle$
 $| \langle \text{semics } I \text{ rel } (p \longrightarrow q) \longleftrightarrow \text{rel } I p \longrightarrow \text{rel } I q \rangle$

fun *rel* :: $\langle 'p \text{ fm set} \Rightarrow 'p \text{ model} \Rightarrow 'p \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{rel } H \text{ } p = (p \in H) \rangle$

theorem *Hintikka-model'*:
assumes $\langle \bigwedge p. \text{ semics } (\text{hmodel } H) (\text{rel } H) p \longleftrightarrow p \in H \rangle$
shows $\langle p \in H \longleftrightarrow \llbracket \text{hmodel } H \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

lemma *Hintikka-Extend*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{semics } (\text{hmodel } H) (\text{rel } H) p \longleftrightarrow p \in H \rangle$
 $\langle \text{proof} \rangle$

interpretation *Truth-No-Saturation consistent semics semantics* $\langle \lambda H. \{ \text{hmodel } H \} \rangle$ *rel*
 $\langle \text{proof} \rangle$

5.7 Completeness

theorem *strong-completeness*:
assumes $\langle \forall M :: 'p \text{ model}. (\forall q \in X. \llbracket M \rrbracket q) \longrightarrow \llbracket M \rrbracket p \rangle$
shows $\langle \exists A. \text{ set } A \subseteq X \wedge A \vdash_S [p] \rangle$
 $\langle \text{proof} \rangle$

abbreviation *valid* :: $\langle 'p \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{valid } p \equiv \forall M. \llbracket M \rrbracket p \rangle$

theorem *completeness*:

assumes $\langle \text{valid } p \rangle$

shows $\langle [] \vdash_S [p] \rangle$

$\langle \text{proof} \rangle$

theorem *main*: $\langle \text{valid } p \longleftrightarrow [] \vdash_S [p] \rangle$

$\langle \text{proof} \rangle$

end

Chapter 6

Example: Modal Logic

theory *Example-Modal-Logic* imports *Derivations* begin

6.1 Syntax

datatype $\langle 'i, 'p \rangle$ *fm*
= *Fls* $\langle \perp \rangle$
| *Pro* $\langle 'p \rangle$ $\langle \dagger \rangle$
| *Imp* $\langle ('i, 'p) \text{ fm} \rangle$ $\langle ('i, 'p) \text{ fm} \rangle$ (**infixr** $\langle \longrightarrow \rangle$ 55)
| *Box* $\langle 'i \rangle$ $\langle ('i, 'p) \text{ fm} \rangle$ $\langle \square \rangle$

abbreviation *Neg* $\langle \neg \rightarrow [70] 70 \rangle$ **where**
 $\langle \neg p \equiv p \longrightarrow \perp \rangle$

6.2 Semantics

datatype $\langle 'i, 'p, 'w \rangle$ *model* =
Model $\langle \mathcal{W}: \langle 'w \text{ set} \rangle \rangle$ $\langle \mathcal{R}: \langle 'i \Rightarrow 'w \Rightarrow 'w \text{ set} \rangle \rangle$ $\langle \mathcal{V}: \langle 'w \Rightarrow 'p \Rightarrow \text{bool} \rangle \rangle$

type-synonym $\langle 'i, 'p, 'w \rangle$ *ctx* = $\langle ('i, 'p, 'w) \text{ model} \times 'w \rangle$

fun *semantics* :: $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ $\langle \langle - \models - \rangle [50, 50] 50 \rangle$ **where**
 $\langle \langle - \models \perp \rangle \longleftrightarrow \text{False} \rangle$
| $\langle \langle (M, w) \models \dagger P \rangle \longleftrightarrow \mathcal{V} M w P \rangle$
| $\langle \langle (M, w) \models p \longrightarrow q \rangle \longleftrightarrow (M, w) \models p \longrightarrow (M, w) \models q \rangle$
| $\langle \langle (M, w) \models \square i p \rangle \longleftrightarrow (\forall v \in \mathcal{W} M \cap \mathcal{R} M i w. (M, v) \models p) \rangle$

6.3 Calculus

primrec *eval* :: $\langle ('p \Rightarrow \text{bool}) \Rightarrow (('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{eval } - \text{ } \perp = \text{False} \rangle$
| $\langle \text{eval } g \text{ } (\dagger P) = g P \rangle$
| $\langle \text{eval } g \text{ } h (p \longrightarrow q) = (\text{eval } g \text{ } h p \longrightarrow \text{eval } g \text{ } h q) \rangle$
| $\langle \text{eval } - \text{ } h (\square i p) = h (\square i p) \rangle$

abbreviation $\langle \text{tautology } p \equiv \forall g h. \text{eval } g \text{ } h p \rangle$

inductive *Calculus* :: $\langle ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ $\langle \langle \vdash_{\square} - \rangle [50] 50 \rangle$ **where**
A1: $\langle \text{tautology } p \implies \vdash_{\square} p \rangle$
| *A2*: $\langle \vdash_{\square} \square i (p \longrightarrow q) \longrightarrow \square i p \longrightarrow \square i q \rangle$

| *R1*: $\langle \vdash_{\square} p \implies \vdash_{\square} p \longrightarrow q \implies \vdash_{\square} q \rangle$
 | *R2*: $\langle \vdash_{\square} p \implies \vdash_{\square} \square i p \rangle$

primrec *imply* :: $\langle ('i, 'p) \text{ fm list} \implies ('i, 'p) \text{ fm} \implies ('i, 'p) \text{ fm} \rangle$ (**infixr** $\langle \rightsquigarrow \rangle$ 56) **where**
 $\langle (\square \rightsquigarrow p) = p \rangle$
 $\langle (q \# A \rightsquigarrow p) = (q \longrightarrow A \rightsquigarrow p) \rangle$

abbreviation *Calculus-assms* $\langle \vdash_{\square} \rightarrow [50, 50] 50 \rangle$ **where**
 $\langle A \vdash_{\square} p \equiv \vdash_{\square} A \rightsquigarrow p \rangle$

6.4 Soundness

lemma *eval-antics*: $\langle \text{eval } (g w) (\lambda q. (\text{Model } W r g, w) \models q) p = ((\text{Model } W r g, w) \models p) \rangle$
 $\langle \text{proof} \rangle$

lemma *tautology*:

assumes $\langle \text{tautology } p \rangle$
shows $\langle (M, w) \models p \rangle$
 $\langle \text{proof} \rangle$

theorem *soundness*:

assumes $\langle \bigwedge M w p. A p \implies w \in \mathcal{W} M \implies (M, w) \models p \rangle$
shows $\langle \vdash_{\square} p \implies w \in \mathcal{W} M \implies (M, w) \models p \rangle$
 $\langle \text{proof} \rangle$

6.5 Admissible rules

lemma *K-imply-head*: $\langle p \# A \vdash_{\square} p \rangle$
 $\langle \text{proof} \rangle$

lemma *K-imply-Cons*:

assumes $\langle A \vdash_{\square} q \rangle$
shows $\langle p \# A \vdash_{\square} q \rangle$
 $\langle \text{proof} \rangle$

lemma *K-right-mp*:

assumes $\langle A \vdash_{\square} p \rangle \langle A \vdash_{\square} p \longrightarrow q \rangle$
shows $\langle A \vdash_{\square} q \rangle$
 $\langle \text{proof} \rangle$

lemma *deduct1*: $\langle A \vdash_{\square} p \longrightarrow q \implies p \# A \vdash_{\square} q \rangle$
 $\langle \text{proof} \rangle$

lemma *imply-append [iff]*: $\langle (A @ B \rightsquigarrow r) = (A \rightsquigarrow B \rightsquigarrow r) \rangle$
 $\langle \text{proof} \rangle$

lemma *imply-swap-append*: $\langle A @ B \vdash_{\square} r \implies B @ A \vdash_{\square} r \rangle$
 $\langle \text{proof} \rangle$

lemma *K-ImpI*: $\langle p \# A \vdash_{\square} q \implies A \vdash_{\square} p \longrightarrow q \rangle$
 $\langle \text{proof} \rangle$

lemma *imply-mem [simp]*: $\langle p \in \text{set } A \implies A \vdash_{\square} p \rangle$
 $\langle \text{proof} \rangle$

lemma *add-imply [simp]*: $\langle \vdash_{\square} q \implies A \vdash_{\square} q \rangle$
 $\langle \text{proof} \rangle$

lemma *K-imply-weaken*: $\langle A \vdash_{\square} q \implies \text{set } A \subseteq \text{set } A' \implies A' \vdash_{\square} q \rangle$
 $\langle \text{proof} \rangle$

lemma *K-Boole*:
assumes $\langle (\neg p) \# A \vdash_{\square} \perp \rangle$
shows $\langle A \vdash_{\square} p \rangle$
 $\langle \text{proof} \rangle$

lemma *K-distrib-K-imp*:
assumes $\langle \vdash_{\square} \square i (A \rightsquigarrow q) \rangle$
shows $\langle \text{map } (\square i) A \vdash_{\square} \square i q \rangle$
 $\langle \text{proof} \rangle$

interpretation *Derivations Calculus-assms*
 $\langle \text{proof} \rangle$

6.6 Maximal Consistent Sets

definition *consistent* :: $\langle ('i, 'p) \text{ fm set} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{\square} \perp \rangle$

interpretation *MCS-No-Saturation consistent*
 $\langle \text{proof} \rangle$

interpretation *Derivations-MCS-Cut Calculus-assms consistent* $\langle \perp \rangle$
 $\langle \text{proof} \rangle$

lemma *exists-finite-inconsistent*:
assumes $\langle \neg \text{consistent } (\{\neg p\} \cup V) \rangle$
obtains W **where** $\langle \{\neg p\} \cup W \subseteq \{\neg p\} \cup V \rangle \langle (\neg p) \notin W \rangle \langle \text{finite } W \rangle \langle \neg \text{consistent } (\{\neg p\} \cup W) \rangle$
 $\langle \text{proof} \rangle$

lemma *MCS-consequent*:
assumes $\langle \text{consistent } V \rangle \langle \text{maximal } V \rangle \langle p \longrightarrow q \in V \rangle \langle p \in V \rangle$
shows $\langle q \in V \rangle$
 $\langle \text{proof} \rangle$

theorem *deriv-in-maximal*:
assumes $\langle \text{consistent } V \rangle \langle \text{maximal } V \rangle \langle \vdash_{\square} p \rangle$
shows $\langle p \in V \rangle$
 $\langle \text{proof} \rangle$

theorem *exactly-one-in-maximal*:
assumes $\langle \text{consistent } V \rangle \langle \text{maximal } V \rangle$
shows $\langle p \in V \longleftrightarrow (\neg p) \notin V \rangle$
 $\langle \text{proof} \rangle$

6.7 Truth Lemma

abbreviation *val* :: $\langle ('i, 'p) \text{ fm set} \Rightarrow 'p \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{val } V P \equiv \nexists P \in V \rangle$

abbreviation *known* :: $\langle ('i, 'p) \text{ fm set} \Rightarrow 'i \Rightarrow ('i, 'p) \text{ fm set} \rangle$ **where**
 $\langle \text{known } V \text{ } i \equiv \{p. \Box i \ p \in V\} \rangle$

abbreviation *reach* :: $\langle 'i \Rightarrow ('i, 'p) \text{ fm set} \Rightarrow ('i, 'p) \text{ fm set set} \rangle$ **where**
 $\langle \text{reach } i \ V \equiv \{W. \text{known } V \ i \subseteq W\} \rangle$

abbreviation *mcss* :: $\langle ('i, 'p) \text{ fm set set} \rangle$ **where**
 $\langle \text{mcss} \equiv \{W. \text{consistent } W \wedge \text{maximal } W\} \rangle$

abbreviation *canonical* :: $\langle ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model} \rangle$ **where**
 $\langle \text{canonical} \equiv \text{Model } \text{mcss } \text{reach } \text{val} \rangle$

fun *semics* ::
 $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow (('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{semics } - \ - \perp \longleftrightarrow \text{False} \rangle$
 $| \langle \text{semics } (M, w) \ - \ (\ddagger P) \longleftrightarrow \mathcal{V} \ M \ w \ P \rangle$
 $| \langle \text{semics } (M, w) \ \text{rel } (p \longrightarrow q) \longleftrightarrow \text{rel } (M, w) \ p \longrightarrow \text{rel } (M, w) \ q \rangle$
 $| \langle \text{semics } (M, w) \ \text{rel } (\Box i \ p) \longleftrightarrow (\forall v \in \mathcal{W} \ M \cap \mathcal{R} \ M \ i \ w. \ \text{rel } (M, v) \ p) \rangle$

fun *rel* :: $\langle ('i, 'p) \text{ fm set} \Rightarrow ('i, 'p, ('i, 'p) \text{ fm set}) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{rel } - \ (-, w) \ p = (p \in w) \rangle$

lemma *Hintikka-model'*:
fixes $V :: \langle ('i, 'p) \text{ fm set} \rangle$
assumes $\langle \bigwedge (V :: ('i, 'p) \text{ fm set}) \ p. \ V \in \text{mcss} \implies \text{semics } (\text{canonical}, V) \ (\text{rel } H) \ p \longleftrightarrow p \in V \rangle$
shows $\langle V \in \text{mcss} \implies (\text{canonical}, V) \models p \longleftrightarrow p \in V \rangle$
 $\langle \text{proof} \rangle$

lemma *maximal-extension*:
assumes $\langle \text{consistent } V \rangle$
shows $\langle \exists W. \ V \subseteq W \wedge \text{consistent } W \wedge \text{maximal } W \rangle$
 $\langle \text{proof} \rangle$

lemma *Hintikka-canonical*:
assumes $\langle V \in \text{mcss} \rangle$
shows $\langle \text{semics } (\text{canonical}, V) \ (\text{rel } H) \ p \longleftrightarrow \text{rel } H \ (\text{canonical}, V) \ p \rangle$
 $\langle \text{proof} \rangle$

interpretation *Truth-No-Saturation consistent semics semantics*
 $\langle \lambda-. \{(\text{canonical}, V) \mid V. \ V \in \text{mcss} \} \rangle \ \text{rel}$
 $\langle \text{proof} \rangle$

lemma *Truth-lemma*:
assumes $\langle \text{consistent } V \rangle \ \langle \text{maximal } V \rangle$
shows $\langle (\text{canonical}, V) \models p \longleftrightarrow p \in V \rangle$
 $\langle \text{proof} \rangle$

lemma *canonical-model*:
assumes $\langle \text{consistent } S \rangle \ \langle p \in S \rangle$
defines $\langle V \equiv \text{Extend } S \rangle$ **and** $\langle M \equiv \text{canonical} \rangle$
shows $\langle (M, V) \models p \rangle \ \langle \text{consistent } V \rangle \ \langle \text{maximal } V \rangle$
 $\langle \text{proof} \rangle$

6.8 Completeness

theorem *strong-completeness*:

assumes $\langle \forall M :: ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model}. \forall w \in \mathcal{W} M.$

$(\forall q \in X. (M, w) \models q) \longrightarrow (M, w) \models p \rangle$

shows $\langle \exists A. \text{ set } A \subseteq X \wedge A \vdash_{\square} p \rangle$

$\langle \text{proof} \rangle$

abbreviation *valid* :: $\langle ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{valid } p \equiv \forall (M :: ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model}). \forall w \in \mathcal{W} M. (M, w) \models p \rangle$

corollary *completeness*: $\langle \text{valid } p \Longrightarrow \vdash_{\square} p \rangle$

$\langle \text{proof} \rangle$

theorem *main*: $\langle \text{valid } p \longleftrightarrow \vdash_{\square} p \rangle$

$\langle \text{proof} \rangle$

end

Chapter 7

Example: Hybrid Logic

theory *Example-Hybrid-Logic* imports *Derivations* begin

7.1 Syntax

datatype (*nominals-fm*: 'i, 'p) *fm*
= *Fls* ($\langle \perp \rangle$)
| *Pro* 'p ($\langle \ddagger \rangle$)
| *Nom* 'i ($\langle \cdot \rangle$)
| *Imp* ($\langle 'i, 'p \rangle$ *fm*) ($\langle 'i, 'p \rangle$ *fm*) (**infixr** $\langle \longrightarrow \rangle$ 55)
| *Dia* ($\langle 'i, 'p \rangle$ *fm*) ($\langle \diamond \rangle$)
| *Sat* 'i ($\langle 'i, 'p \rangle$ *fm*) ($\langle @ \rangle$)

abbreviation *Neg* ($\langle \neg \rightarrow [70] 70 \rangle$) **where** $\langle \neg p \equiv p \longrightarrow \perp \rangle$

type-synonym ($\langle 'i, 'p \rangle$ *lbd*) = $\langle 'i \times ('i, 'p) \text{ fm} \rangle$

primrec *nominals-lbd* :: $\langle ('i, 'p) \text{ lbd} \Rightarrow 'i \text{ set} \rangle$ **where**
 $\langle \text{nominals-lbd } (i, p) = \{i\} \cup \text{nominals-fm } p \rangle$

abbreviation *nominals* :: $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \text{ set} \rangle$ **where**
 $\langle \text{nominals } S \equiv \bigcup ip \in S. \text{nominals-lbd } ip \rangle$

lemma *finite-nominals-fm*: $\langle \text{finite } (\text{nominals-fm } p) \rangle$
 $\langle \text{proof} \rangle$

lemma *finite-nominals-lbd*: $\langle \text{finite } (\text{nominals-lbd } p) \rangle$
 $\langle \text{proof} \rangle$

7.2 Semantics

datatype ($\langle 'w, 'p \rangle$ *model*) =
Model (*R*: $\langle 'w \Rightarrow 'w \text{ set} \rangle$) (*V*: $\langle 'w \Rightarrow 'p \Rightarrow \text{bool} \rangle$)

type-synonym ($\langle 'i, 'p, 'w \rangle$ *ctx*) = $\langle ('w, 'p) \text{ model} \times ('i \Rightarrow 'w) \times 'w \rangle$

fun *semantics* :: $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ ($\langle \cdot \models \rightarrow [50, 50] 50 \rangle$) **where**
 $\langle (M, g, w) \models \perp \longleftrightarrow \text{False} \rangle$
 $\langle (M, \cdot, w) \models \ddagger P \longleftrightarrow V M w P \rangle$
 $\langle (\cdot, g, w) \models \cdot i \longleftrightarrow w = g i \rangle$
 $\langle (M, g, w) \models (p \longrightarrow q) \longleftrightarrow (M, g, w) \models p \longrightarrow (M, g, w) \models q \rangle$

| $\langle (M, g, w) \models \diamond p \longleftrightarrow (\exists v \in R M w. (M, g, v) \models p) \rangle$
| $\langle (M, g, -) \models @i p \longleftrightarrow (M, g, g i) \models p \rangle$

lemma *semantics-fresh*: $\langle i \notin \text{nominals-fm } p \implies ((M, g, w) \models p) = ((M, g(i := v), w) \models p) \rangle$
 $\langle \text{proof} \rangle$

lemma *semantics-fresh-lbd*:
 $\langle k \notin \text{nominals-lbd } (i, p) \implies ((M, g, w) \models p) = ((M, g(k := v), w) \models p) \rangle$
 $\langle \text{proof} \rangle$

7.3 Calculus

inductive *Calculus* :: $\langle ('i, 'p) \text{ lbd list} \implies ('i, 'p) \text{ lbd} \implies \text{bool} \rangle \langle \cdot \vdash_{@} \cdot \rightarrow [50, 50] 50 \rangle$ **where**

Assm [intro]: $\langle (i, p) \in \text{set } A \implies A \vdash_{@} (i, p) \rangle$
| *Ref* [intro]: $\langle A \vdash_{@} (i, \cdot i) \rangle$
| *Nom* [intro]: $\langle A \vdash_{@} (i, \cdot k) \implies A \vdash_{@} (i, p) \implies A \vdash_{@} (k, p) \rangle$
| *FlsE* [elim]: $\langle A \vdash_{@} (i, \perp) \implies A \vdash_{@} (k, p) \rangle$
| *ImpI* [intro]: $\langle (i, p) \# A \vdash_{@} (i, q) \implies A \vdash_{@} (i, p \longrightarrow q) \rangle$
| *ImpE* [elim]: $\langle A \vdash_{@} (i, p \longrightarrow q) \implies A \vdash_{@} (i, p) \implies A \vdash_{@} (i, q) \rangle$
| *SatI* [intro]: $\langle A \vdash_{@} (i, p) \implies A \vdash_{@} (k, @i p) \rangle$
| *SatE* [elim]: $\langle A \vdash_{@} (i, @k p) \implies A \vdash_{@} (k, p) \rangle$
| *DiaI* [intro]: $\langle A \vdash_{@} (i, \diamond (\cdot k)) \implies A \vdash_{@} (k, p) \implies A \vdash_{@} (i, \diamond p) \rangle$
| *DiaE* [elim]: $\langle A \vdash_{@} (i, \diamond p) \implies k \notin \text{nominals } (\{(i, p), (j, q)\} \cup \text{set } A) \implies$
 $(k, p) \# (i, \diamond (\cdot k)) \# A \vdash_{@} (j, q) \implies A \vdash_{@} (j, q) \rangle$
| *Clas*: $\langle (i, p \longrightarrow q) \# A \vdash_{@} (i, p) \implies A \vdash_{@} (i, p) \rangle$
| *Weak*: $\langle A \vdash_{@} (i, p) \implies (k, q) \# A \vdash_{@} (i, p) \rangle$

7.4 Soundness

theorem *soundness*: $\langle A \vdash_{@} (i, p) \implies \text{list-all } (\lambda(i, p). (M, g, g i) \models p) A \implies (M, g, g i) \models p \rangle$
 $\langle \text{proof} \rangle$

corollary *soundness'*: $\langle [] \vdash_{@} (i, p) \implies i \notin \text{nominals-fm } p \implies (M, g, w) \models p \rangle$
 $\langle \text{proof} \rangle$

corollary $\langle \neg ([] \vdash_{@} (i, \perp)) \rangle$
 $\langle \text{proof} \rangle$

7.5 Admissible Rules

lemma *Assm-head*: $\langle (p, i) \# A \vdash_{@} (p, i) \rangle$
 $\langle \text{proof} \rangle$

lemma *deduct1*: $\langle A \vdash_{@} (i, p \longrightarrow q) \implies (i, p) \# A \vdash_{@} (i, q) \rangle$
 $\langle \text{proof} \rangle$

lemma *Boole*: $\langle (i, \neg p) \# A \vdash_{@} (i, \perp) \implies A \vdash_{@} (i, p) \rangle$
 $\langle \text{proof} \rangle$

lemma *Weak'*: $\langle A \vdash_{@} (i, p) \implies B @ A \vdash_{@} (i, p) \rangle$
 $\langle \text{proof} \rangle$

lemma *ImpI'*:
assumes $\langle (k, q) \# A \vdash_{@} (i, p) \rangle$

shows $\langle A \vdash_{@} (i, (@k q) \longrightarrow p) \rangle$
 $\langle \text{proof} \rangle$

lemma *Weaken*: $\langle A \vdash_{@} (i, p) \implies \text{set } A \subseteq \text{set } B \implies B \vdash_{@} (i, p) \rangle$
 $\langle \text{proof} \rangle$

interpretation *Derivations Calculus*
 $\langle \text{proof} \rangle$

7.6 Maximal Consistent Sets

definition *consistent* :: $\langle ('i, 'p) \text{ lbd set} \implies \text{bool} \rangle$ **where**
 $\langle \text{consistent } S \equiv \nexists S' a. \text{ set } S' \subseteq S \wedge S' \vdash_{@} (a, \perp) \rangle$

lemma *consistent-add-witness*:
assumes $\langle \text{consistent } S \rangle \langle (i, \diamond p) \in S \rangle \langle k \notin \text{nominals } S \rangle$
shows $\langle \text{consistent } (\{(k, p), (i, \diamond (\cdot k))\} \cup S) \rangle$
 $\langle \text{proof} \rangle$

fun *witness* :: $\langle ('i, 'p) \text{ lbd} \implies ('i, 'p) \text{ lbd set} \implies ('i, 'p) \text{ lbd set} \rangle$ **where**
 $\langle \text{witness } (i, \diamond p) S = (\text{let } k = (\text{SOME } k. k \notin \text{nominals } (\{(i, p)\} \cup S)) \text{ in } \{(k, p), (i, \diamond (\cdot k))\}) \cup S \rangle$
 $\langle \text{witness } (-, -) = \{\} \rangle$

lemma *consistent-witness'*:
assumes $\langle \text{consistent } (\{(i, p)\} \cup S) \rangle \langle \text{infinite } (\text{UNIV} - \text{nominals } S) \rangle$
shows $\langle \text{consistent } (\text{witness } (i, p) S \cup \{(i, p)\} \cup S) \rangle$
 $\langle \text{proof} \rangle$

interpretation *MCS-Saturation consistent nominals-lbd witness*
 $\langle \text{proof} \rangle$

interpretation *Derivations-MCS-Cut Calculus consistent* $\langle (\text{undefined}, \perp) \rangle$
 $\langle \text{proof} \rangle$

lemma *saturated*: $\langle \text{saturated } H \implies (i, \diamond p) \in H \implies \exists k. (i, \diamond (\cdot k)) \in H \wedge (k, p) \in H \rangle$
 $\langle \text{proof} \rangle$

7.7 Nominals

lemma *MCS-Nom-refl*:
assumes $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$
shows $\langle (i, \cdot i) \in S \rangle$
 $\langle \text{proof} \rangle$

lemma *MCS-Nom-sym*:
assumes $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle (i, \cdot k) \in S \rangle$
shows $\langle (k, \cdot i) \in S \rangle$
 $\langle \text{proof} \rangle$

lemma *MCS-Nom-trans*:
assumes $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle (i, \cdot j) \in S \rangle \langle (j, \cdot k) \in S \rangle$
shows $\langle (i, \cdot k) \in S \rangle$
 $\langle \text{proof} \rangle$

7.8 Truth Lemma

fun *semics* :: $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow (('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$
where

$\langle \text{semics } - - \perp \longleftrightarrow \text{False} \rangle$
 $\langle \text{semics } (M, -, w) - (\ddagger P) \longleftrightarrow V M w P \rangle$
 $\langle \text{semics } (-, g, w) - (\cdot i) \longleftrightarrow w = g i \rangle$
 $\langle \text{semics } (M, g, w) \text{ rel } (p \longrightarrow q) \longleftrightarrow \text{rel } (M, g, w) p \longrightarrow \text{rel } (M, g, w) q \rangle$
 $\langle \text{semics } (M, g, w) \text{ rel } (\diamond p) \longleftrightarrow (\exists v \in R M w. \text{rel } (M, g, v) p) \rangle$
 $\langle \text{semics } (M, g, -) \text{ rel } (@ i p) \longleftrightarrow \text{rel } (M, g, g i) p \rangle$

fun *rel* :: $\langle ('i, 'p) \text{ lbd set} \Rightarrow ('i, 'p, 'i) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{rel } H (-, -, i) p = ((i, p) \in H) \rangle$

definition *val* :: $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'p \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{val } H i P \equiv (i, \ddagger P) \in H \rangle$

definition *hequiv* :: $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{hequiv } H i k \equiv (i, \cdot k) \in H \rangle$

lemma *hequiv-reflp*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{reflp } (\text{hequiv } H) \rangle$
 $\langle \text{proof} \rangle$

lemma *hequiv-symp*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{symp } (\text{hequiv } H) \rangle$
 $\langle \text{proof} \rangle$

lemma *hequiv-transp*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{transp } (\text{hequiv } H) \rangle$
 $\langle \text{proof} \rangle$

lemma *hequiv-equivp*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{equivp } (\text{hequiv } H) \rangle$
 $\langle \text{proof} \rangle$

definition *assign* :: $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \rangle$ **where**
 $\langle \text{assign } H i \equiv \text{minim } (|UNIV|) \{k. \text{hequiv } H i k\} \rangle$

lemma *hequiv-ne*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \{k. \text{hequiv } H i k\} \neq \{\} \rangle$
 $\langle \text{proof} \rangle$

lemma *hequiv-assign*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$
shows $\langle \text{hequiv } H i (\text{assign } H i) \rangle$
 $\langle \text{proof} \rangle$

lemma *hequiv-Nom*:
assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{hequiv } H i k \rangle \langle (i, p) \in H \rangle$
shows $\langle (k, p) \in H \rangle$

⟨proof⟩

definition $reach :: \langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \text{ set} \rangle$ **where**
⟨ $reach\ H\ i \equiv \{assign\ H\ k \mid k. (i, \diamond (\cdot k)) \in H\}$ ⟩

abbreviation $canonical :: \langle ('i \times ('i, 'p) \text{ fm}) \text{ set} \Rightarrow 'i \Rightarrow ('i, 'p, 'i) \text{ ctx} \rangle$ **where**
⟨ $canonical\ H\ i \equiv (Model\ (reach\ H)\ (val\ H),\ assign\ H,\ assign\ H\ i)$ ⟩

lemma *Hintikka-model'*:

assumes ⟨ $\bigwedge i\ p. \text{semics}\ (canonical\ H\ i)\ (rel\ H)\ p \longleftrightarrow rel\ H\ (canonical\ H\ i)\ p$ ⟩
shows ⟨ $(canonical\ H\ i \models p) \longleftrightarrow rel\ H\ (canonical\ H\ i)\ p$ ⟩

⟨proof⟩

lemma *Hintikka-Extend'*:

assumes ⟨ $consistent\ H$ ⟩ ⟨ $maximal\ H$ ⟩ ⟨ $saturated\ H$ ⟩
shows ⟨ $\text{semics}\ (canonical\ H\ i)\ (rel\ H)\ p \longleftrightarrow rel\ H\ (canonical\ H\ i)\ p$ ⟩

⟨proof⟩

interpretation *Truth-Saturation*

$consistent\ nominals\text{-lbd}\ \text{witness}\ \text{semics}\ \text{semantics} \langle \lambda H. \{canonical\ H\ i \mid i. True\} \rangle\ rel$

⟨proof⟩

lemma *Truth-lemma*:

assumes ⟨ $consistent\ H$ ⟩ ⟨ $maximal\ H$ ⟩ ⟨ $saturated\ H$ ⟩
shows ⟨ $(canonical\ H\ i \models p) \longleftrightarrow (i, p) \in H$ ⟩

⟨proof⟩

7.9 Cardinalities

datatype $marker = FlsM \mid ImpM \mid DiaM \mid SatM$

type-synonym $('i, 'p) \text{ enc} = \langle ('i + 'p) + marker \times nat \rangle$

abbreviation ⟨ $NOM\ i \equiv Inl\ (Inl\ i)$ ⟩

abbreviation ⟨ $PRO\ x \equiv Inl\ (Inr\ x)$ ⟩

abbreviation ⟨ $FLS \equiv Inr\ (FlsM, 0)$ ⟩

abbreviation ⟨ $IMP\ n \equiv Inr\ (FlsM, n)$ ⟩

abbreviation ⟨ $DIA \equiv Inr\ (DiaM, 0)$ ⟩

abbreviation ⟨ $SAT \equiv Inr\ (SatM, 0)$ ⟩

primrec $encode :: \langle ('i, 'p) \text{ fm} \Rightarrow ('i, 'p) \text{ enc list} \rangle$ **where**

⟨ $encode\ \perp = [FLS]$ ⟩

| ⟨ $encode\ (\ddagger P) = [PRO\ P]$ ⟩

| ⟨ $encode\ (\cdot i) = [NOM\ i]$ ⟩

| ⟨ $encode\ (p \longrightarrow q) = IMP\ (length\ (encode\ p))\ \# \ encode\ p\ @ \ encode\ q$ ⟩

| ⟨ $encode\ (\diamond p) = DIA\ \# \ encode\ p$ ⟩

| ⟨ $encode\ (@\ i\ p) = SAT\ \# \ NOM\ i\ \# \ encode\ p$ ⟩

lemma $encode\text{-ne}\ [simp]: \langle encode\ p \neq [] \rangle$

⟨proof⟩

lemma $inj\text{-encode}' : \langle encode\ p = encode\ q \implies p = q \rangle$

⟨proof⟩

lemma $inj\text{-encode} : \langle inj\ encode \rangle$

⟨proof⟩

primrec *encode-lbd* :: ⟨('i, 'p) lbd ⇒ ('i, 'p) enc list⟩ **where**
⟨*encode-lbd* (i, p) = NOM i # encode p⟩

lemma *inj-encode-lbd'*: ⟨*encode-lbd* (i, p) = *encode-lbd* (k, q) ⇒ i = k ∧ p = q⟩
⟨proof⟩

lemma *inj-encode-lbd*: ⟨*inj encode-lbd*⟩
⟨proof⟩

lemma *finite-marker*: ⟨*finite* (UNIV :: marker set)⟩
⟨proof⟩

lemma *card-of-lbd*:
 assumes ⟨*infinite* (UNIV :: 'i set)⟩
 shows ⟨|UNIV :: ('i, 'p) lbd set| ≤*o* |UNIV :: 'i set| +*c* |UNIV :: 'p set|⟩
⟨proof⟩

7.10 Completeness

theorem *strong-completeness*:
 fixes *p* :: ⟨('i, 'p) fm⟩
 assumes ⟨∀ *M* :: ('i, 'p) model. ∀ *g w*.
 (∀ (k, q) ∈ *X*. (M, g, g k) ⊨ q) ⟶ (M, g, w) ⊨ *p*⟩
 ⟨*infinite* (UNIV :: 'i set)⟩
 ⟨|UNIV :: 'i set| +*c* |UNIV :: 'p set| ≤*o* |UNIV – nominals *X*|⟩
 shows ⟨∃ *A*. set *A* ⊆ *X* ∧ *A* ⊢_@ (i, p)⟩
⟨proof⟩

abbreviation *valid* :: ⟨('i, 'p) fm ⇒ bool⟩ **where**
⟨*valid* *p* ≡ ∀ (M :: ('i, 'p) model) *g w*. (M, g, w) ⊨ *p*⟩

theorem *completeness*:
 fixes *p* :: ⟨('i, 'p) fm⟩
 assumes ⟨*valid* *p*⟩ ⟨*infinite* (UNIV :: 'i set)⟩ ⟨|UNIV :: 'p set| ≤*o* |UNIV :: 'i set|⟩
 shows ⟨[] ⊢_@ (i, p)⟩
⟨proof⟩

corollary *completeness'*:
 fixes *p* :: ⟨('i, 'i) fm⟩
 assumes ⟨*valid* *p*⟩ ⟨*infinite* (UNIV :: 'i set)⟩
 shows ⟨[] ⊢_@ (i, p)⟩
⟨proof⟩

theorem *main*:
 fixes *p* :: ⟨('i, 'p) fm⟩
 assumes ⟨*i* ∉ *nominals-fm* *p*⟩ ⟨*infinite* (UNIV :: 'i set)⟩ ⟨|UNIV :: 'p set| ≤*o* |UNIV :: 'i set|⟩
 shows ⟨*valid* *p* ⟷ [] ⊢_@ (i, p)⟩
⟨proof⟩

corollary *main'*:
 fixes *p* :: ⟨('i, 'i) fm⟩
 assumes ⟨*i* ∉ *nominals-fm* *p*⟩ ⟨*infinite* (UNIV :: 'i set)⟩
 shows ⟨*valid* *p* ⟷ [] ⊢_@ (i, p)⟩

<proof>

end

Chapter 8

Example: First-Order Logic

theory *Example-First-Order-Logic* imports *Derivations* begin

8.1 Syntax

datatype (*params-tm*: 'f) *tm*
= *Var nat* ($\langle \# \rangle$)
| *Fun* 'f $\langle 'f \text{ tm list} \rangle$ ($\langle \dagger \rangle$)

abbreviation *Const* ($\langle \star \rangle$) **where** $\langle \star a \equiv \dagger a [] \rangle$

datatype (*params-fm*: 'f, 'p) *fm*
= *Fls* ($\langle \perp \rangle$)
| *Pre* 'p $\langle 'f \text{ tm list} \rangle$ ($\langle \ddagger \rangle$)
| *Imp* $\langle ('f, 'p) \text{ fm} \rangle$ $\langle ('f, 'p) \text{ fm} \rangle$ (**infixr** $\langle \longrightarrow \rangle$ 55)
| *Uni* $\langle ('f, 'p) \text{ fm} \rangle$ ($\langle \forall \rangle$)

abbreviation *Neg* ($\langle \neg \rightarrow [70] 70 \rangle$) **where** $\langle \neg p \equiv p \longrightarrow \perp \rangle$

8.2 Semantics

type-synonym ('a, 'f, 'p) *model* = $\langle (\text{nat} \Rightarrow 'a) \times ('f \Rightarrow 'a \text{ list} \Rightarrow 'a) \times ('p \Rightarrow 'a \text{ list} \Rightarrow \text{bool}) \rangle$

fun *semantics-tm* :: $\langle (\text{nat} \Rightarrow 'a) \times ('f \Rightarrow 'a \text{ list} \Rightarrow 'a) \Rightarrow 'f \text{ tm} \Rightarrow 'a \rangle$ ($\langle [-] \rangle$) **where**
 $\langle \llbracket (E, -) \rrbracket (\# n) = E n \rangle$
| $\langle \llbracket (E, F) \rrbracket (\dagger ts) = F f (\text{map } \llbracket (E, F) \rrbracket ts) \rangle$

primrec *add-env* :: $\langle 'a \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow \text{nat} \Rightarrow 'a \rangle$ (**infix** $\langle \S \rangle$ 0) **where**
 $\langle (t \S s) 0 = t \rangle$
| $\langle (t \S s) (\text{Suc } n) = s n \rangle$

fun *semantics-fm* :: $\langle ('a, 'f, 'p) \text{ model} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ ($\langle [-] \rangle$) **where**
 $\langle \llbracket - \rrbracket \perp \longleftrightarrow \text{False} \rangle$
| $\langle \llbracket (E, F, G) \rrbracket (\ddagger P ts) \longleftrightarrow G P (\text{map } \llbracket (E, F) \rrbracket ts) \rangle$
| $\langle \llbracket (E, F, G) \rrbracket (p \longrightarrow q) \longleftrightarrow \llbracket (E, F, G) \rrbracket p \longrightarrow \llbracket (E, F, G) \rrbracket q \rangle$
| $\langle \llbracket (E, F, G) \rrbracket (\forall p) \longleftrightarrow (\forall x. \llbracket (x \S E, F, G) \rrbracket p) \rangle$

8.3 Operations

primrec *lift-tm* :: $\langle 'f \text{ tm} \Rightarrow 'f \text{ tm} \rangle$ **where**

$\langle \text{lift-tm } (\#n) = \#(n+1) \rangle$
 $\mid \langle \text{lift-tm } (\dagger f \text{ ts}) = \dagger f (\text{map lift-tm ts}) \rangle$

primrec *sub-tm* :: $\langle \text{nat} \Rightarrow 'f \text{ tm} \Rightarrow 'f \text{ tm} \Rightarrow 'f \text{ tm} \rangle$ **where**
 $\langle \text{sub-tm } s (\#n) = s \ n \rangle$
 $\mid \langle \text{sub-tm } s (\dagger f \text{ ts}) = \dagger f (\text{map (sub-tm } s) \text{ ts}) \rangle$

primrec *sub-fm* :: $\langle \text{nat} \Rightarrow 'f \text{ tm} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ fm} \rangle$ **where**
 $\langle \text{sub-fm } - \perp = \perp \rangle$
 $\mid \langle \text{sub-fm } s (\ddagger P \text{ ts}) = \ddagger P (\text{map (sub-tm } s) \text{ ts}) \rangle$
 $\mid \langle \text{sub-fm } s (p \longrightarrow q) = \text{sub-fm } s \ p \longrightarrow \text{sub-fm } s \ q \rangle$
 $\mid \langle \text{sub-fm } s (\forall p) = \forall (\text{sub-fm } (\#0 \circ \lambda n. \text{lift-tm } (s \ n)) \ p) \rangle$

abbreviation *inst-single* :: $\langle 'f \text{ tm} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ fm} \rangle$ ($\langle \langle - \rangle \rangle$) **where**
 $\langle \langle t \rangle \equiv \text{sub-fm } (t \circ \#) \rangle$

abbreviation $\langle \text{params}' S \equiv \bigcup p \in S. \text{params-fm } p \rangle$

abbreviation $\langle \text{params } l \equiv \text{params}' (\text{set } l) \rangle$

lemma *upd-params-tm [simp]*: $\langle f \notin \text{params-tm } t \Longrightarrow \llbracket (E, F(f := x)) \rrbracket t = \llbracket (E, F) \rrbracket t \rangle$
 $\langle \text{proof} \rangle$

lemma *upd-params-fm [simp]*: $\langle f \notin \text{params-fm } p \Longrightarrow \llbracket (E, F(f := x), G) \rrbracket p = \llbracket (E, F, G) \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

lemma *finite-params-tm [simp]*: $\langle \text{finite } (\text{params-tm } t) \rangle$
 $\langle \text{proof} \rangle$

lemma *finite-params-fm [simp]*: $\langle \text{finite } (\text{params-fm } p) \rangle$
 $\langle \text{proof} \rangle$

lemma *env [simp]*: $\langle P ((x \circ E) \ n) = (P \ x \circ \lambda n. P (E \ n)) \ n \rangle$
 $\langle \text{proof} \rangle$

lemma *lift-lemma*: $\langle \llbracket (x \circ E, F) \rrbracket (\text{lift-tm } t) = \llbracket (E, F) \rrbracket t \rangle$
 $\langle \text{proof} \rangle$

lemma *sub-tm-semantics*: $\langle \llbracket (E, F) \rrbracket (\text{sub-tm } s \ t) = \llbracket (\lambda n. \llbracket (E, F) \rrbracket (s \ n), F) \rrbracket t \rangle$
 $\langle \text{proof} \rangle$

lemma *sub-fm-semantics [simp]*: $\langle \llbracket (E, F, G) \rrbracket (\text{sub-fm } s \ p) = \llbracket (\lambda n. \llbracket (E, F) \rrbracket (s \ n), F, G) \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

lemma *sub-tm-Var*: $\langle \text{sub-tm } \# \ t = t \rangle$
 $\langle \text{proof} \rangle$

lemma *reduce-Var [simp]*: $\langle (\# \ 0 \circ \lambda n. \# (Suc \ n)) = \# \rangle$
 $\langle \text{proof} \rangle$

lemma *sub-fm-Var [simp]*:
fixes $p :: \langle ('f, 'p) \text{ fm} \rangle$
shows $\langle \text{sub-fm } \# \ p = p \rangle$
 $\langle \text{proof} \rangle$

lemma *semantics-tm-id [simp]*: $\langle \llbracket (\#, \dagger) \rrbracket t = t \rangle$

$\langle \text{proof} \rangle$

lemma *semantics-tm-id-map* [simp]: $\langle \text{map } (\!(\#, \dagger)\!) \text{ } ts = ts \rangle$
 $\langle \text{proof} \rangle$

The built-in *size* is not invariant under substitution.

primrec *size-fm* :: $\langle ('f, 'p) \text{ fm} \Rightarrow \text{nat} \rangle$ **where**
 $\langle \text{size-fm } \perp = 1 \rangle$
 $\mid \langle \text{size-fm } (\dagger -) = 1 \rangle$
 $\mid \langle \text{size-fm } (p \longrightarrow q) = 1 + \text{size-fm } p + \text{size-fm } q \rangle$
 $\mid \langle \text{size-fm } (\forall p) = 1 + \text{size-fm } p \rangle$

lemma *size-sub-fm* [simp]: $\langle \text{size-fm } (\text{sub-fm } s \text{ } p) = \text{size-fm } p \rangle$
 $\langle \text{proof} \rangle$

8.4 Calculus

inductive *Calculus* :: $\langle ('f, 'p) \text{ fm list} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$ ($\langle - \vdash_{\forall} - \rangle$ [50, 50] 50) **where**
Assm [intro]: $\langle p \in \text{set } A \Longrightarrow A \vdash_{\forall} p \rangle$
FlsE [elim]: $\langle A \vdash_{\forall} \perp \Longrightarrow A \vdash_{\forall} p \rangle$
ImpI [intro]: $\langle p \# A \vdash_{\forall} q \Longrightarrow A \vdash_{\forall} p \longrightarrow q \rangle$
ImpE [elim]: $\langle A \vdash_{\forall} p \longrightarrow q \Longrightarrow A \vdash_{\forall} p \Longrightarrow A \vdash_{\forall} q \rangle$
UniI [intro]: $\langle A \vdash_{\forall} \langle \star a \rangle p \Longrightarrow a \notin \text{params } (p \# A) \Longrightarrow A \vdash_{\forall} \forall p \rangle$
UniE [elim]: $\langle A \vdash_{\forall} \forall p \Longrightarrow A \vdash_{\forall} \langle t \rangle p \rangle$
Clas: $\langle (p \longrightarrow q) \# A \vdash_{\forall} p \Longrightarrow A \vdash_{\forall} p \rangle$
Weak: $\langle A \vdash_{\forall} p \Longrightarrow q \# A \vdash_{\forall} p \rangle$

8.5 Soundness

theorem *soundness*: $\langle A \vdash_{\forall} p \Longrightarrow \text{list-all } \llbracket (E, F, G) \rrbracket A \Longrightarrow \llbracket (E, F, G) \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

corollary *soundness'*: $\langle \llbracket \cdot \rrbracket \vdash_{\forall} p \Longrightarrow \llbracket M \rrbracket p \rangle$
 $\langle \text{proof} \rangle$

corollary $\langle \neg (\llbracket \cdot \rrbracket \vdash_{\forall} \perp) \rangle$
 $\langle \text{proof} \rangle$

8.6 Admissible Rules

lemma *Assm-head*: $\langle p \# A \vdash_{\forall} p \rangle$
 $\langle \text{proof} \rangle$

lemma *deduct1*: $\langle A \vdash_{\forall} p \longrightarrow q \Longrightarrow p \# A \vdash_{\forall} q \rangle$
 $\langle \text{proof} \rangle$

lemma *Boole*: $\langle (\neg p) \# A \vdash_{\forall} \perp \Longrightarrow A \vdash_{\forall} p \rangle$
 $\langle \text{proof} \rangle$

lemma *Weak'*: $\langle A \vdash_{\forall} p \Longrightarrow B @ A \vdash_{\forall} p \rangle$
 $\langle \text{proof} \rangle$

lemma *Weaken*: $\langle A \vdash_{\forall} p \Longrightarrow \text{set } A \subseteq \text{set } B \Longrightarrow B \vdash_{\forall} p \rangle$
 $\langle \text{proof} \rangle$

interpretation *Derivations Calculus*
 ⟨proof⟩

8.7 Maximal Consistent Sets

definition *consistent* :: ⟨('f, 'p) fm set ⇒ bool⟩ **where**
 ⟨consistent S ≡ $\nexists S'. \text{set } S' \subseteq S \wedge S' \vdash_{\forall} \perp$ ⟩

fun *witness* :: ⟨('f, 'p) fm ⇒ ('f, 'p) fm set ⇒ ('f, 'p) fm set⟩ **where**
 ⟨witness (¬ (∀ p)) S = {¬ ⟨★(SOME a. a ∉ params' ({p} ∪ S))⟩p}⟩
 | ⟨witness - - = {}⟩

lemma *consistent-add-instance*:
assumes ⟨consistent S⟩ ⟨∀ p ∈ S⟩
shows ⟨consistent ({⟨t⟩p} ∪ S)⟩
 ⟨proof⟩

lemma *consistent-add-witness*:
assumes ⟨consistent S⟩ ⟨¬ (∀ p) ∈ S⟩ ⟨a ∉ params' S⟩
shows ⟨consistent ({¬ ⟨★a⟩p} ∪ S)⟩
 ⟨proof⟩

lemma *consistent-witness'*:
assumes ⟨consistent ({p} ∪ S)⟩ ⟨infinite (UNIV - params' S)⟩
shows ⟨consistent (witness p S ∪ {p} ∪ S)⟩
 ⟨proof⟩

interpretation *MCS-Saturation consistent params-fm witness*
 ⟨proof⟩

interpretation *Derivations-MCS-Cut Calculus consistent* ⟨⊥⟩
 ⟨proof⟩

8.8 Truth Lemma

abbreviation *hmodel* :: ⟨('f, 'p) fm set ⇒ ('f tm, 'f, 'p) model⟩ **where**
 ⟨hmodel H ≡ (♯, †, λP ts. $\nexists P \text{ ts} \in H$)⟩

fun *semics* ::
 ⟨('a, 'f, 'p) model ⇒ (('a, 'f, 'p) model ⇒ ('f, 'p) fm ⇒ bool) ⇒ ('f, 'p) fm ⇒ bool⟩ **where**
 ⟨semics - - ⊥ ↔ False⟩
 | ⟨semics (E, F, G) - (♯P ts) ↔ G P (map ((E, F)) ts)⟩
 | ⟨semics (E, F, G) rel (p → q) ↔ rel (E, F, G) p → rel (E, F, G) q⟩
 | ⟨semics (E, F, G) rel (∀ p) ↔ (∀ x. rel (x ∘ E, F, G) p)⟩

fun *rel* :: ⟨('f, 'p) fm set ⇒ ('f tm, 'f, 'p) model ⇒ ('f, 'p) fm ⇒ bool⟩ **where**
 ⟨rel H (E, -, -) p = (sub-fm E p ∈ H)⟩

theorem *Hintikka-model'*:
assumes ⟨ $\bigwedge p. \text{semics (hmodel H) (rel H) p} \longleftrightarrow p \in H$ ⟩
shows ⟨p ∈ H ↔ $\llbracket \text{hmodel H} \rrbracket p$ ⟩
 ⟨proof⟩

lemma *Hintikka-Extend*:

assumes $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{saturated } H \rangle$
shows $\langle \text{semics } (hmodel\ H) \ (rel\ H) \ p \longleftrightarrow p \in H \rangle$
 $\langle \text{proof} \rangle$

interpretation *Truth-Saturation*

consistent params-fm witness semics semantics-fm $\langle \lambda H. \{hmodel\ H\} \rangle \ rel$
 $\langle \text{proof} \rangle$

8.9 Cardinalities

datatype *marker* = *VarM* | *FunM* | *TmM* | *FlsM* | *PreM* | *ImpM* | *UniM*

type-synonym $\langle 'f, 'p \rangle \ enc = \langle ('f + 'p) + marker \times nat \rangle$

abbreviation $\langle FUNS\ f \equiv Inl\ (Inl\ f) \rangle$

abbreviation $\langle PRES\ p \equiv Inl\ (Inr\ p) \rangle$

abbreviation $\langle VAR\ n \equiv Inr\ (VarM, n) \rangle$

abbreviation $\langle FUN\ n \equiv Inr\ (FunM, n) \rangle$

abbreviation $\langle TM\ n \equiv Inr\ (TmM, n) \rangle$

abbreviation $\langle PRE\ n \equiv Inr\ (PreM, n) \rangle$

abbreviation $\langle FLS \equiv Inr\ (FlsM, 0) \rangle$

abbreviation $\langle IMP\ n \equiv Inr\ (FlsM, n) \rangle$

abbreviation $\langle UNI \equiv Inr\ (UniM, 0) \rangle$

primrec

encode-tm :: $\langle 'f\ tm \Rightarrow ('f, 'p)\ enc\ list \rangle$ **and**
encode-tms :: $\langle 'f\ tm\ list \Rightarrow ('f, 'p)\ enc\ list \rangle$ **where**
 $\langle \text{encode-tm } (\#n) = [VAR\ n] \rangle$
 $\langle \text{encode-tm } (\dagger f\ ts) = FUN\ (length\ ts) \# FUNS\ f \# \text{encode-tms } ts \rangle$
 $\langle \text{encode-tms } [] = [] \rangle$
 $\langle \text{encode-tms } (t \# ts) = TM\ (length\ (\text{encode-tm } t)) \# \text{encode-tm } t \ @ \ \text{encode-tms } ts \rangle$

lemma *encode-tm-ne* [*simp*]: $\langle \text{encode-tm } t \neq [] \rangle$
 $\langle \text{proof} \rangle$

lemma *inj-encode-tm'*:

$\langle (\text{encode-tm } t :: ('f, 'p)\ enc\ list) = \text{encode-tm } s \Longrightarrow t = s \rangle$
 $\langle (\text{encode-tms } ts :: ('f, 'p)\ enc\ list) = \text{encode-tms } ss \Longrightarrow ts = ss \rangle$
 $\langle \text{proof} \rangle$

lemma *inj-encode-tm*: $\langle inj\ \text{encode-tm} \rangle$
 $\langle \text{proof} \rangle$

primrec *encode-fm* :: $\langle ('f, 'p)\ fm \Rightarrow ('f, 'p)\ enc\ list \rangle$ **where**

$\langle \text{encode-fm } \perp = [FLS] \rangle$
 $\langle \text{encode-fm } (\dagger P\ ts) = PRE\ (length\ ts) \# PRES\ P \# \text{encode-tms } ts \rangle$
 $\langle \text{encode-fm } (p \longrightarrow q) = IMP\ (length\ (\text{encode-fm } p)) \# \text{encode-fm } p \ @ \ \text{encode-fm } q \rangle$
 $\langle \text{encode-fm } (\forall p) = UNI \# \text{encode-fm } p \rangle$

lemma *encode-fm-ne* [*simp*]: $\langle \text{encode-fm } p \neq [] \rangle$
 $\langle \text{proof} \rangle$

lemma *inj-encode-fm'*: $\langle \text{encode-fm } p = \text{encode-fm } q \Longrightarrow p = q \rangle$

⟨proof⟩

lemma *inj-encode-fm*: ⟨inj encode-fm⟩

⟨proof⟩

lemma *finite-marker*: ⟨finite (UNIV :: marker set)⟩

⟨proof⟩

lemma *card-of-fm*:

assumes ⟨infinite (UNIV :: 'f set)⟩

shows ⟨|UNIV :: ('f, 'p) fm set| ≤ o |UNIV :: 'f set| + c |UNIV :: 'p set|⟩

⟨proof⟩

8.10 Completeness

theorem *strong-completeness*:

assumes ⟨∀ M :: ('f tm, 'f, 'p) model. (∀ q ∈ X. ⟦M⟧ q ⟶ ⟦M⟧ p)⟩

⟨infinite (UNIV :: 'f set)⟩

⟨|UNIV :: 'f set| + c |UNIV :: 'p set| ≤ o |UNIV - params' X|⟩

shows ⟨∃ A. set A ⊆ X ∧ A ⊢_∀ p⟩

⟨proof⟩

abbreviation *valid* :: ⟨('f, 'p) fm ⇒ bool⟩ **where**

⟨valid p ≡ ∀ M :: ('f tm, -, -) model. ⟦M⟧ p⟩

theorem *completeness*:

fixes p :: ⟨('f, 'p) fm⟩

assumes ⟨valid p⟩ ⟨infinite (UNIV :: 'f set)⟩ ⟨|UNIV :: 'p set| ≤ o |UNIV :: 'f set|⟩

shows ⟨[] ⊢_∀ p⟩

⟨proof⟩

corollary *completeness'*:

fixes p :: ⟨('f, 'f) fm⟩

assumes ⟨valid p⟩ ⟨infinite (UNIV :: 'f set)⟩

shows ⟨[] ⊢_∀ p⟩

⟨proof⟩

theorem *main*:

fixes p :: ⟨('f, 'p) fm⟩

assumes ⟨infinite (UNIV :: 'f set)⟩ ⟨|UNIV :: 'p set| ≤ o |UNIV :: 'f set|⟩

shows ⟨valid p ⟷ [] ⊢_∀ p⟩

⟨proof⟩

corollary *main'*:

fixes p :: ⟨('f, 'f) fm⟩

assumes ⟨infinite (UNIV :: 'f set)⟩

shows ⟨valid p ⟷ [] ⊢_∀ p⟩

⟨proof⟩

end

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