

# Synthetic Completeness

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# Abstract

In this work, I provide an abstract framework for proving the completeness of a logical calculus using the synthetic method. The synthetic method is based on maximal consistent witnessed sets (MCSs). A set of formulas is consistent (with respect to the calculus) when we cannot derive a contradiction from it. It is maximally consistent when it contains every formula that is consistent with it. For logics where it is relevant, it is witnessed when it contains a witness for every existential formula. To prove completeness using these maximal consistent witnessed sets, we prove a truth lemma: every formula in an MCS has a satisfying model. Here, saturated sets provide a useful stepping stone. These can be seen as characterizations of the MCSs based on simple subformula conditions rather than via the calculus. We then prove that every saturated set gives rise to a satisfying model and that MCSs are saturated sets. Now, assume a valid formula cannot be derived. Then its negation must be consistent and therefore satisfiable. This contradicts validity and the original formula must be derivable.

To start, I build maximal consistent witnessed sets for any logic that satisfies a small set of assumptions. I do this using a transfinite version of Lindenbaum's lemma, which allows me to support languages of any cardinality. I then prove useful abstract results about derivations and refutations as they relate to MCSs. Finally, I show how saturated sets can be derived from the logic's semantics, outlining one way to prove the required truth lemma.

To demonstrate the versatility of the framework, I instantiate it with five different examples. The formalization contains soundness and completeness results for: a propositional tableau calculus, a propositional sequent calculus, an axiomatic system for modal logic, a labelled natural deduction system for hybrid logic and a natural deduction system for first-order logic. The tableau example uses custom Hintikka (downwards saturated) sets based on the calculus, but the other four examples derive their notion of saturation from the semantics in the style of the framework. The hybrid and first-order logic examples rely on witnessed MCSs. This places requirements on the cardinalities of their languages to ensure that there are enough witnesses available. In both cases, the type of witnesses must be infinite and have cardinality at least that of the type of propositional/predicate symbols.

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# Chapter 1

## Maximal Consistent Sets

```
theory Maximal-Consistent-Sets imports HOL-Cardinals.Cardinal-Order-Relation begin
```

### 1.1 Utility

```
lemma Set-Diff-Un: ⟨ $X - (Y \cup Z) = X - Y - Z$ ⟩  
⟨proof⟩
```

```
lemma infinite-Diff-fin-Un: ⟨infinite (X - Y) ⟹ finite Z ⟹ infinite (X - (Z ∪ Y))⟩  
⟨proof⟩
```

```
lemma infinite-Diff-subset: ⟨infinite (X - A) ⟹ B ⊆ A ⟹ infinite (X - B)⟩  
⟨proof⟩
```

```
lemma finite-bound:  
fixes X :: "('a :: size) set"  
assumes ⟨finite X⟩ ⟨X ≠ {}⟩  
shows ⟨ $\exists x \in X. \forall y \in X. \text{size } y \leq \text{size } x$ ⟩  
⟨proof⟩
```

```
lemma infinite-UNIV-size:  
fixes f :: "('a :: size) ⇒ 'a"  
assumes ⟨ $\bigwedge x. \text{size } x < \text{size } (f x)$ ⟩  
shows ⟨infinite (UNIV :: 'a set)⟩  
⟨proof⟩
```

```
context wo-rel begin
```

```
lemma underS-bound: ⟨ $a \in \text{underS } c \Rightarrow b \in \text{underS } c \Rightarrow a \in \text{under } b \vee b \in \text{under } a$ ⟩  
⟨proof⟩
```

```
lemma finite-underS-bound:  
assumes ⟨finite X⟩ ⟨X ⊆ \text{underS } c⟩ ⟨X ≠ {}⟩  
shows ⟨ $\exists a \in X. \forall b \in X. b \in \text{under } a$ ⟩  
⟨proof⟩
```

```
lemma finite-bound-under:  
assumes ⟨finite p⟩ ⟨ $p \subseteq (\bigcup a \in \text{Field } r. f a)$ ⟩  
shows ⟨ $\exists b. p \subseteq (\bigcup a \in \text{under } b. f a)$ ⟩  
⟨proof⟩
```

```
lemma underS-trans:  $\langle a \in \text{underS } b \implies b \in \text{underS } c \implies a \in \text{underS } c \rangle$ 
   $\langle \text{proof} \rangle$ 
```

```
end
```

```
lemma card-of-infinite-smaller-Union:
  assumes  $\langle \forall x. |fx| <_o |X| \rangle$   $\langle \text{infinite } X \rangle$ 
  shows  $\langle |\bigcup_{x \in X} fx| \leq_o |X| \rangle$ 
   $\langle \text{proof} \rangle$ 
```

```
lemma card-of-params-marker-lists:
  assumes  $\langle \text{infinite } (\text{UNIV} :: 'i \text{ set}) \rangle$   $\langle |\text{UNIV} :: 'm \text{ set}| \leq_o |\text{UNIV} :: \text{nat set}| \rangle$ 
  shows  $\langle |\text{UNIV} :: ('i + 'm \times \text{nat}) \text{ list set}| \leq_o |\text{UNIV} :: 'i \text{ set}| \rangle$ 
   $\langle \text{proof} \rangle$ 
```

## 1.2 Base Locales

```
locale MCS-Base =
  fixes consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ 
  assumes consistent-hereditary:  $\langle \bigwedge S S'. \text{consistent } S \implies S' \subseteq S \implies \text{consistent } S' \rangle$ 
    and inconsistent-finite:  $\langle \bigwedge S. \neg \text{consistent } S \implies \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } S' \rangle$ 
begin
```

```
definition maximal ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  where
   $\langle \text{maximal } S \equiv \forall p. \text{consistent } (\{p\} \cup S) \longrightarrow p \in S \rangle$ 
```

```
end
```

```
locale MCS-Witness = MCS-Base consistent
  for consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  +
  fixes witness ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ 
    and params ::  $\langle 'a \Rightarrow 'i \text{ set} \rangle$ 
  assumes finite-params:  $\langle \bigwedge p. \text{finite } (\text{params } p) \rangle$ 
    and finite-witness-params:  $\langle \bigwedge p S. \text{finite } (\bigcup q \in \text{witness } p S. \text{params } q) \rangle$ 
    and consistent-witness:  $\langle \bigwedge p S. \text{consistent } (\{p\} \cup S)$ 
       $\implies \text{infinite } (\text{UNIV} - (\bigcup q \in S. \text{params } q))$ 
       $\implies \text{consistent } (\{p\} \cup S \cup \text{witness } p S) \rangle$ 
```

```
begin
```

```
definition witnessed ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  where
   $\langle \text{witnessed } S \equiv \forall p \in S. \exists S'. \text{witness } p S' \subseteq S \rangle$ 
```

```
abbreviation MCS ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  where
   $\langle \text{MCS } S \equiv \text{consistent } S \wedge \text{maximal } S \wedge \text{witnessed } S \rangle$ 
```

```
end
```

```
locale MCS-No-Witness = MCS-Base consistent for consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ 
```

```
sublocale MCS-No-Witness  $\subseteq$  MCS-Witness consistent  $\langle \lambda \cdot \_. \{ \} \rangle$   $\langle \lambda \cdot \_. \{ \} \rangle$ 
   $\langle \text{proof} \rangle$ 
```

## 1.3 Ordinal Locale

```
locale MCS-Lim-Ord = MCS-Witness consistent witness params
```

```

for consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ 
  and witness ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ 
  and params ::  $\langle 'a \Rightarrow 'i \text{ set} \rangle +$ 
fixes r ::  $\langle 'a \text{ rel} \rangle$ 
assumes Cinfinite-r:  $\langle \text{Cinfinite } r \rangle$ 
begin

lemma WELL:  $\langle \text{Well-order } r \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma wo-rel-r:  $\langle \text{wo-rel } r \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma isLimOrd-r:  $\langle \text{isLimOrd } r \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma nonempty-Field-r:  $\langle \text{Field } r \neq \{\} \rangle$ 
   $\langle \text{proof} \rangle$ 

```

### 1.3.1 Lindenbaum Extension

```

abbreviation paramss ::  $\langle 'a \text{ set} \Rightarrow 'i \text{ set} \rangle \text{ where}$ 
   $\langle \text{paramss } S \equiv \bigcup p \in S. \text{ params } p \rangle$ 

definition extendS ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle \text{ where}$ 
   $\langle \text{extendS } a \text{ prev} \equiv \text{if } \text{consistent } (\{a\} \cup \text{prev}) \text{ then } \{a\} \cup \text{prev} \cup \text{witness } a \text{ prev} \text{ else } \text{prev} \rangle$ 

definition extendL ::  $\langle ('a \Rightarrow 'a \text{ set}) \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle \text{ where}$ 
   $\langle \text{extendL } \text{rec } a \equiv \bigcup b \in \text{underS } r \text{ a}. \text{ rec } b \rangle$ 

definition extend ::  $\langle 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle \text{ where}$ 
   $\langle \text{extend } S \text{ a} \equiv \text{worecZSL } r \text{ S extendS extendL a} \rangle$ 

```

**lemma** *adm-woL-extendL*:  $\langle \text{adm-woL } r \text{ extendL} \rangle$ 
 $\langle \text{proof} \rangle$

**definition** *Extend* ::  $\langle 'a \text{ set} \Rightarrow 'a \text{ set} \rangle \text{ where}$ 
 $\langle \text{Extend } S \equiv \bigcup a \in \text{Field } r. \text{ extend } S \text{ a} \rangle$

**lemma** *extend-subset*:  $\langle a \in \text{Field } r \implies S \subseteq \text{extend } S \text{ a} \rangle$ 
 $\langle \text{proof} \rangle$

**lemma** *Extend-subset*:  $\langle S \subseteq \text{Extend } S \rangle$ 
 $\langle \text{proof} \rangle$

**lemma** *extend-underS*:  $\langle b \in \text{underS } r \text{ a} \implies \text{extend } S \text{ b} \subseteq \text{extend } S \text{ a} \rangle$ 
 $\langle \text{proof} \rangle$

**lemma** *extend-under*:  $\langle b \in \text{under } r \text{ a} \implies \text{extend } S \text{ b} \subseteq \text{extend } S \text{ a} \rangle$ 
 $\langle \text{proof} \rangle$

### 1.3.2 Consistency

```

lemma params-origin:
  assumes  $\langle x \in \text{paramss } (\text{extend } S \text{ a}) \rangle$ 
  shows  $\langle x \in \text{paramss } S \vee (\exists b \in \text{underS } r \text{ a}. x \in \text{paramss } (\{b\} \cup \text{witness } b \text{ (extend } S \text{ b)))) \rangle$ 

```

```
 $\langle proof \rangle$ 
```

```
lemma consistent-extend:  
  assumes  $\langle \text{consistent } S \rangle \langle r \leq o \mid \text{UNIV} - \text{paramss } S \rangle$   
  shows  $\langle \text{consistent } (\text{extend } S \ a) \rangle$   
 $\langle proof \rangle$ 
```

```
lemma consistent-Extend:  
  assumes  $\langle \text{consistent } S \rangle \langle r \leq o \mid \text{UNIV} - \text{paramss } S \rangle$   
  shows  $\langle \text{consistent } (\text{Extend } S) \rangle$   
 $\langle proof \rangle$ 
```

```
lemma Extend-bound:  $\langle a \in \text{Field } r \implies \text{extend } S \ a \subseteq \text{Extend } S \rangle$   
 $\langle proof \rangle$ 
```

### 1.3.3 Maximality

```
definition maximal' ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  where  
   $\langle \text{maximal}' \ S \equiv \forall p \in \text{Field } r. \ \text{consistent } (\{p\} \cup S) \longrightarrow p \in S \rangle$ 
```

```
lemma maximal'-Extend:  $\langle \text{maximal}' (\text{Extend } S) \rangle$   
 $\langle proof \rangle$ 
```

### 1.3.4 Witnessing

```
definition witnessed' ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  where  
   $\langle \text{witnessed}' \ S \equiv \forall p \in \text{Field } r. \ p \in S \longrightarrow (\exists S'. \ \text{witness } p \ S' \subseteq S) \rangle$ 
```

```
lemma witnessed'-Extend:  
  assumes  $\langle \text{consistent } (\text{Extend } S) \rangle$   
  shows  $\langle \text{witnessed}' (\text{Extend } S) \rangle$   
 $\langle proof \rangle$ 
```

```
end
```

## 1.4 Locales for Universe Well-Order

```
locale MCS-Witness-UNIV = MCS-Witness consistent witness params  
  for consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$   
  and witness ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$   
  and params ::  $\langle 'a \Rightarrow 'i \text{ set} \rangle +$   
  assumes infinite-UNIV:  $\langle \text{infinite } (\text{UNIV} :: 'a \text{ set}) \rangle$ 
```

```
sublocale MCS-Witness-UNIV  $\subseteq$  MCS-Lim-Ord consistent witness params  $\langle |\text{UNIV}| \rangle$   
 $\langle proof \rangle$ 
```

```
context MCS-Witness-UNIV begin
```

```
lemma maximal-maximal':  $\langle \text{maximal } S \longleftrightarrow \text{maximal}' \ S \rangle$   
 $\langle proof \rangle$ 
```

```
lemma maximal-Extend:  $\langle \text{maximal } (\text{Extend } S) \rangle$   
 $\langle proof \rangle$ 
```

```
lemma witnessed-witnessed':  $\langle \text{witnessed } S \longleftrightarrow \text{witnessed}' \ S \rangle$ 
```

```

⟨proof⟩

lemma witnessed-Extend:
  assumes ⟨consistent (Extend S)⟩
  shows ⟨witnessed (Extend S)⟩
  ⟨proof⟩

theorem MCS-Extend:
  assumes ⟨consistent S⟩ ⟨UNIV :: 'a set| ≤o |UNIV – paramss S|⟩
  shows ⟨MCS (Extend S)⟩
  ⟨proof⟩

end

locale MCS-No-Witness-UNIV = MCS-No-Witness consistent
  for consistent :: ⟨'a set ⇒ bool⟩ +
  assumes infinite-UNIV' [simp]: ⟨infinite (UNIV :: 'a set)⟩

sublocale MCS-No-Witness-UNIV ⊆ MCS-Witness-UNIV consistent ⟨λ- -. {}⟩ ⟨λ-. {}⟩
  ⟨proof⟩

context MCS-No-Witness-UNIV
begin

theorem MCS-Extend':
  assumes ⟨consistent S⟩
  shows ⟨MCS (Extend S)⟩
  ⟨proof⟩

end

```

## 1.5 Truth Lemma

```

locale Truth-Base =
  fixes semics :: ⟨'model ⇒ ('model ⇒ 'fm ⇒ bool) ⇒ 'fm ⇒ bool⟩ ((‐ [] -) [55, 0, 55] 55)
  and semantics :: ⟨'model ⇒ 'fm ⇒ bool⟩ (infix ‐|=‐ 50)
  and M :: ⟨'a set ⇒ 'model set⟩
  and R :: ⟨'a set ⇒ 'model ⇒ 'fm ⇒ bool⟩
  assumes semics-semantics: ⟨M |= p ↔ M [(=)] p⟩
begin

abbreviation saturated :: ⟨'a set ⇒ bool⟩ where
  ⟨saturated S ≡ ∀ p. ∀ M ∈ M(S). M [R(S)] p ↔ R(S) M p⟩

end

locale Truth-Witness = Truth-Base semics semantics M R + MCS-Witness consistent witness params
  for semics :: ⟨'model ⇒ ('model ⇒ 'fm ⇒ bool) ⇒ 'fm ⇒ bool⟩ ((‐ [] -) [55, 0, 55] 55)
  and semantics :: ⟨'model ⇒ 'fm ⇒ bool⟩ (infix ‐|=‐ 50)
  and M :: ⟨'a set ⇒ 'model set⟩
  and R :: ⟨'a set ⇒ 'model ⇒ 'fm ⇒ bool⟩
  and consistent :: ⟨'a set ⇒ bool⟩
  and witness :: ⟨'a ⇒ 'a set ⇒ 'a set⟩
  and params :: ⟨'a ⇒ 'i set⟩ +
  assumes saturated-semantics: ⟨¬ S M p. saturated S ⇒ M ∈ M(S) ⇒ M |= p ↔ R(S) M p⟩

```

```

and MCS-saturated:  $\langle \bigwedge S. \text{MCS } S \implies \text{saturated } S \rangle$ 
begin

theorem truth-lemma:
  assumes  $\langle \text{MCS } S \rangle \langle M \in \mathcal{M}(S) \rangle$ 
  shows  $\langle M \models p \longleftrightarrow \mathcal{R}(S) M p \rangle$ 
   $\langle \text{proof} \rangle$ 

end

locale Truth-No-Witness = Truth-Witness semics semantics  $\mathcal{M}$   $\mathcal{R}$  consistent  $\langle \lambda- -. \{\} \rangle \langle \lambda-. \{\} \rangle$ 
  for semics ::  $\langle 'model \Rightarrow ('model \Rightarrow 'fm \Rightarrow \text{bool}) \Rightarrow 'fm \Rightarrow \text{bool} \rangle$ 
  and semantics ::  $\langle 'model \Rightarrow 'fm \Rightarrow \text{bool} \rangle$ 
  and  $\mathcal{M}$  ::  $\langle 'a \text{ set} \Rightarrow 'model \text{ set} \rangle$ 
  and  $\mathcal{R}$  ::  $\langle 'a \text{ set} \Rightarrow 'model \Rightarrow 'fm \Rightarrow \text{bool} \rangle$ 
  and consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$ 

end

```

# Chapter 2

## Derivations

```
theory Derivations imports Maximal-Consistent-Sets begin

lemma split-finite-sets:
  assumes <finite A> <finite B>
  and <A ⊆ B ∪ S>
  shows <∃ B' C. finite C ∧ A = B' ∪ C ∧ B' = A ∩ B ∧ C ⊆ S>
  ⟨proof⟩

lemma split-list:
  assumes <set A ⊆ set B ∪ S>
  shows <∃ B' C. set (B' @ C) = set A ∧ set B' = set A ∩ set B ∧ set C ⊆ S>
  ⟨proof⟩
```

### 2.1 Derivations

```
locale Derivations =
  fixes derive :: <'fm list ⇒ 'fm ⇒ bool> (infix ⊢ 50)
  assumes derive-assm [simp]: <∀ A p. p ∈ set A ⇒ A ⊢ p>
  and derive-set: <∀ A B r. A ⊢ r ⇒ set A = set B ⇒ B ⊢ r>
begin
```

```
theorem derive-split:
  assumes <set A ⊆ set B ∪ X> <A ⊢ p>
  shows <∃ B' C. set B' = set A ∩ set B ∧ set C ⊆ X ∧ B' @ C ⊢ p>
  ⟨proof⟩
```

```
corollary derive-split1:
  assumes <set A ⊆ {q} ∪ X> <A ⊢ p> <q ∈ set A>
  shows <∃ C. set C ⊆ X ∧ q # C ⊢ p>
  ⟨proof⟩
```

```
end
```

### 2.2 MCSs and Explosion

```
locale Derivations-MCS = MCS-Base consistent + Derivations derive
  for consistent :: <'fm set ⇒ bool>
  and derive :: <'fm list ⇒ 'fm ⇒ bool> (infix ⊢ 50) +
  assumes consistent-underivable: <∀ S. consistent S ↔ (∀ A. set A ⊆ S → (∃ q. ¬ A ⊢ q))>
begin
```

```

theorem MCS-explode:
  assumes <consistent S> <maximal S>
  shows < $p \notin S \longleftrightarrow (\exists A. \text{set } A \subseteq S \wedge (\forall q. p \# A \vdash q))\rangle$ 
  <proof>

```

```
end
```

## 2.3 MCSs and Derivability

```

locale Derivations-Cut-MCS = Derivations-MCS consistent derive
  for consistent :: <'fm set  $\Rightarrow$  bool>
  and derive :: <'fm list  $\Rightarrow$  'fm  $\Rightarrow$  bool> (infix  $\lhdarrow 50$ ) +
  assumes derive-cut: < $\bigwedge A B p q. A \vdash p \implies p \# B \vdash q \implies A @ B \vdash q$ >
begin

```

```

theorem MCS-derive:
  assumes <consistent S> <maximal S>
  shows < $p \in S \longleftrightarrow (\exists A. \text{set } A \subseteq S \wedge A \vdash p)\rangle$ 
  <proof>

```

```
end
```

## 2.4 Proof Rules

```

locale Derivations-Bot = Derivations-Cut-MCS consistent derive
  for consistent :: <'fm set  $\Rightarrow$  bool>
  and derive :: <'fm list  $\Rightarrow$  'fm  $\Rightarrow$  bool> (infix  $\lhdarrow 50$ ) +
  fixes bot :: 'fm (< $\perp$ >)
  assumes botE: < $\bigwedge A p. A \vdash \perp \implies A \vdash p$ >
begin

```

```

corollary MCS-botE [elim]:
  assumes <consistent S> <maximal S>
  and < $\perp \in S$ >
  shows < $p \in S$ >
  <proof>

```

```

corollary MCS-bot [simp]:
  assumes <consistent S> <maximal S>
  shows < $\perp \notin S$ >
  <proof>

```

```
end
```

```

locale Derivations-Top = Derivations-Cut-MCS +
  fixes top (< $\top$ >)
  assumes topI: < $\bigwedge A. A \vdash \top$ >
begin

```

```

corollary MCS-topI [simp]:
  assumes <consistent S> <maximal S>
  shows < $\top \in S$ >
  <proof>

```

```

end

locale Derivations-Not = Derivations-Bot consistent derive bot
  for consistent :: <'fm set ⇒ bool>
    and derive :: <'fm list ⇒ 'fm ⇒ bool> (infix ⟨⊤⟩ 50)
    and bot :: 'fm ⟨⊥⟩ +
  fixes not :: <'fm ⇒ 'fm> ⟨¬⟩
  assumes
    notI: ⟨A p. p # A ⊢ ⊥ ⇒ A ⊢ ¬ p⟩ and
    notE: ⟨A p. A ⊢ p ⇒ A ⊢ ¬ p ⇒ A ⊢ ⊥⟩
begin

  corollary MCS-not-xor:
    assumes ⟨consistent S⟩ ⟨maximal S⟩
    shows ⟨p ∈ S ↔ ¬ p ∉ S⟩
    ⟨proof⟩

  corollary MCS-not-both:
    assumes ⟨consistent S⟩ ⟨maximal S⟩
    shows ⟨p ∉ S ∨ ¬ p ∉ S⟩
    ⟨proof⟩

  corollary MCS-not-neither:
    assumes ⟨consistent S⟩ ⟨maximal S⟩
    shows ⟨p ∈ S ∨ ¬ p ∈ S⟩
    ⟨proof⟩

end

locale Derivations-Con = Derivations-Cut-MCS consistent derive
  for consistent :: <'fm set ⇒ bool>
    and derive :: <'fm list ⇒ 'fm ⇒ bool> (infix ⟨⊤⟩ 50) +
  fixes con :: <'fm ⇒ 'fm ⇒ 'fm> ⟨- ∧ -⟩
  assumes
    conI: ⟨A p q. A ⊢ p ⇒ A ⊢ q ⇒ A ⊢ (p ∧ q)⟩ and
    conE: ⟨A p q r. A ⊢ (p ∧ q) ⇒ p # q # A ⊢ r ⇒ A ⊢ r⟩
begin

  corollary MCS-conI [intro]:
    assumes ⟨consistent S⟩ ⟨maximal S⟩
      and ⟨p ∈ S⟩ ⟨q ∈ S⟩
    shows ⟨(p ∧ q) ∈ S⟩
    ⟨proof⟩

  corollary MCS-conE [dest]:
    assumes ⟨consistent S⟩ ⟨maximal S⟩
      and ⟨(p ∧ q) ∈ S⟩
    shows ⟨p ∈ S ∧ q ∈ S⟩
    ⟨proof⟩

  corollary MCS-con:
    assumes ⟨consistent S⟩ ⟨maximal S⟩
    shows ⟨(p ∧ q) ∈ S ↔ p ∈ S ∧ q ∈ S⟩
    ⟨proof⟩

end

```

```

locale Derivations-Dis = Derivations-Cut-MCS consistent derive
  for consistent ::  $\langle \text{fm set} \Rightarrow \text{bool} \rangle$ 
  and derive ::  $\langle \text{fm list} \Rightarrow \text{fm} \Rightarrow \text{bool} \rangle$  (infix  $\Leftarrow\!\!\!\rightarrow$  50) +
  fixes dis ::  $\langle \text{fm} \Rightarrow \text{fm} \Rightarrow \text{fm} \rangle$  ( $\langle \cdot \vee \cdot \rangle$ )
  assumes
    disI1:  $\langle \bigwedge A p q. A \vdash p \implies A \vdash (p \vee q) \rangle$  and
    disI2:  $\langle \bigwedge A p q. A \vdash q \implies A \vdash (p \vee q) \rangle$  and
    disE:  $\langle \bigwedge A p q r. A \vdash (p \vee q) \implies p \# A \vdash r \implies q \# A \vdash r \implies A \vdash r \rangle$ 
begin

```

```

corollary MCS-disI1 [intro]:
  assumes  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$ 
  and  $\langle p \in S \rangle$ 
  shows  $\langle (p \vee q) \in S \rangle$ 
   $\langle \text{proof} \rangle$ 

```

```

corollary MCS-disI2 [intro]:
  assumes  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$ 
  and  $\langle q \in S \rangle$ 
  shows  $\langle (p \vee q) \in S \rangle$ 
   $\langle \text{proof} \rangle$ 

```

```

corollary MCS-disE [elim]:
  assumes  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$ 
  and  $\langle (p \vee q) \in S \rangle$ 
  shows  $\langle p \in S \vee q \in S \rangle$ 
   $\langle \text{proof} \rangle$ 

```

```

corollary MCS-dis:
  assumes  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$ 
  shows  $\langle (p \vee q) \in S \longleftrightarrow p \in S \vee q \in S \rangle$ 
   $\langle \text{proof} \rangle$ 

```

**end**

```

locale Derivations-Imp = Derivations-Cut-MCS consistent derive
  for consistent ::  $\langle \text{fm set} \Rightarrow \text{bool} \rangle$ 
  and derive ::  $\langle \text{fm list} \Rightarrow \text{fm} \Rightarrow \text{bool} \rangle$  (infix  $\Leftarrow\!\!\!\rightarrow$  50) +
  fixes imp ::  $\langle \text{fm} \Rightarrow \text{fm} \Rightarrow \text{fm} \rangle$  ( $\langle \cdot \rightarrow \cdot \rangle$ )
  assumes
    impI:  $\langle \bigwedge A p q. p \# A \vdash q \implies A \vdash (p \rightarrow q) \rangle$  and
    impE:  $\langle \bigwedge A p q. A \vdash p \implies A \vdash (p \rightarrow q) \implies A \vdash q \rangle$ 
begin

```

```

corollary MCS-impI [intro]:
  assumes  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$ 
  and  $\langle p \in S \rightarrow q \in S \rangle$ 
  shows  $\langle (p \rightarrow q) \in S \rangle$ 
   $\langle \text{proof} \rangle$ 

```

```

corollary MCS-impE [dest]:
  assumes  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$ 
  and  $\langle (p \rightarrow q) \in S \rangle$   $\langle p \in S \rangle$ 
  shows  $\langle q \in S \rangle$ 
   $\langle \text{proof} \rangle$ 

```

```

corollary MCS-imp:
  assumes <consistent S> <maximal S>
  shows <(p → q) ∈ S ↔ (p ∈ S → q ∈ S)>
  <proof>

end

locale Derivations-Exi = MCS-Witness consistent witness params + Derivations-Cut-MCS consistent derive
  for consistent :: <'fm set ⇒ bool>
    and witness params
    and derive :: <'fm list ⇒ 'fm ⇒ bool> (infix ⊢ 50) +
  fixes exi :: <'fm ⇒ 'fm> (<∃>)
    and inst :: <'t ⇒ 'fm ⇒ 'fm> (<⟨-⟩>)
  assumes
    exi-witness: <∀S S' p. MCS S ⇒ witness (∃ p) S' ⊆ S ⇒ ∃ t. ⟨t⟩p ∈ S> and
    exiI: <∀A p t. A ⊢ ⟨t⟩p ⇒ A ⊢ ∃ p>
begin

corollary MCS-exiI [intro]:
  assumes <consistent S> <maximal S>
    and <⟨t⟩p ∈ S>
  shows <∃ p ∈ S>
  <proof>

corollary MCS-exiE [dest]:
  assumes <consistent S> <maximal S> <witnessed S>
    and <∃ p ∈ S>
  shows <∃ t. ⟨t⟩p ∈ S>
  <proof>

corollary MCS-exi:
  assumes <consistent S> <maximal S> <witnessed S>
  shows <∃ p ∈ S ↔ (∃ t. ⟨t⟩p ∈ S)>
  <proof>

end

locale Derivations-Uni = MCS-Witness consistent witness params + Derivations-Not consistent derive
  bot not
  for consistent :: <'fm set ⇒ bool>
    and witness params
    and derive :: <'fm list ⇒ 'fm ⇒ bool> (infix ⊢ 50)
    and bot :: <'fm (<⊥>)>
    and not :: <'fm ⇒ 'fm> (<¬>) +
  fixes uni :: <'fm ⇒ 'fm> (<∀>)
    and inst :: <'t ⇒ 'fm ⇒ 'fm> (<⟨-⟩>)
  assumes
    uni-witness: <∀S S' p. MCS S ⇒ witness (¬ (forall p)) S' ⊆ S ⇒ ∃ t. ¬ (⟨t⟩p) ∈ S> and
    uniE: <∀A p t. A ⊢ ∀ p ⇒ A ⊢ ⟨t⟩p>
begin

corollary MCS-uniE [dest]:
  assumes <consistent S> <maximal S>
    and <forall p ∈ S>

```

**shows**  $\langle \langle t \rangle p \in S \rangle$   
 $\langle proof \rangle$

**corollary** *MCS-uniI* [*intro*]:  
  **assumes**  $\langle consistent\ S \rangle$   $\langle maximal\ S \rangle$   $\langle witnessed\ S \rangle$   
  **and**  $\langle \forall t. \langle t \rangle p \in S \rangle$   
  **shows**  $\langle \forall p \in S \rangle$   
 $\langle proof \rangle$

**corollary** *MCS-uni*:  
  **assumes**  $\langle consistent\ S \rangle$   $\langle maximal\ S \rangle$   $\langle witnessed\ S \rangle$   
  **shows**  $\langle \forall p \in S \longleftrightarrow (\forall t. \langle t \rangle p \in S) \rangle$   
 $\langle proof \rangle$

**end**

**end**

# Chapter 3

## Refutations

```
theory Refutations imports Maximal-Consistent-Sets begin

lemma split-finite-sets:
  assumes <finite A> <finite B>
  and <A ⊆ B ∪ S>
  shows <∃ B' C. finite C ∧ A = B' ∪ C ∧ B' = A ∩ B ∧ C ⊆ S>
  ⟨proof⟩

lemma split-list:
  assumes <set A ⊆ set B ∪ S>
  shows <∃ B' C. set (B' @ C) = set A ∧ set B' = set A ∩ set B ∧ set C ⊆ S>
  ⟨proof⟩
```

### 3.1 Rearranging Refutations

```
locale Refutations =
  fixes refute :: 'fm list ⇒ bool'
  assumes refute-set: <∀ A B. refute A ⇒ set A = set B ⇒ refute B>
begin
```

```
theorem refute-split:
  assumes <set A ⊆ set B ∪ X> <refute A>
  shows <∃ B' C. set B' = set A ∩ set B ∧ set C ⊆ X ∧ refute (B' @ C)>
  ⟨proof⟩
```

```
corollary refute-split1:
  assumes <set A ⊆ {q} ∪ X> <refute A> <q ∈ set A>
  shows <∃ C. set C ⊆ X ∧ refute (q # C)>
  ⟨proof⟩
```

```
end
```

### 3.2 MCSs and Refutability

```
locale Refutations-MCS = MCS-Base + Refutations +
  assumes consistent-refute: <∀ S. consistent S ↔ (∀ A. set A ⊆ S → ¬ refute A)>
begin
```

```
theorem MCS-refute:
  assumes <consistent S> <maximal S>
```

**shows**  $\langle p \notin S \longleftrightarrow (\exists A. \text{set } A \subseteq S \wedge \text{refute } (p \# A)) \rangle$   
 $\langle proof \rangle$

**end**

**end**

# Chapter 4

## Example: Propositional Tableau Calculus

```
theory Example-Propositional-Tableau imports Refutations begin
```

### 4.1 Syntax

```
datatype 'p fm
  = Pro 'p (↔)
  | Neg 'p fm (¬ → [70] 70)
  | Imp 'p fm 'p fm (infixr →→ 55)
```

### 4.2 Semantics

```
type-synonym 'p model = 'p ⇒ bool
```

```
fun semantics :: 'p model ⇒ 'p fm ⇒ bool (infix |=T 50) where
  | I |=T P ↔ I P
  | I |=T ¬ p ↔ ¬ I |=T p
  | I |=T p → q ↔ I |=T p → I |=T q
```

### 4.3 Calculus

```
inductive Calculus :: 'p fm list ⇒ bool (←T → [50] 50) where
  Axiom [simp]: ←T P # ¬ P # A
  | NegI [intro]: ←T p # A ⇒ ←T ¬ p # A
  | ImpP [intro]: ←T ¬ p # A ⇒ ←T q # A ⇒ ←T (p → q) # A
  | ImpN [intro]: ←T p # ¬ q # A ⇒ ←T ¬ (p → q) # A
  | Weak: ←T A ⇒ set A ⊆ set B ⇒ ←T B
```

```
lemma Weak2:
```

```
assumes ←T p # A, ←T q # B
shows ←T p # A @ B ∧ ←T q # A @ B
⟨proof⟩
```

### 4.4 Soundness

```
theorem soundness: ←T A ⇒ ∃ p ∈ set A. ¬ I |=T p
⟨proof⟩
```

**corollary** *soundness'*:  $\vdash_T [\neg p] \implies I \models_T p$   
 $\langle proof \rangle$

**corollary**  $\neg \vdash_T []$   
 $\langle proof \rangle$

## 4.5 Maximal Consistent Sets

**definition** *consistent* ::  $'p fm set \Rightarrow bool$  **where**  
 $\langle consistent S \equiv \forall A. set A \subseteq S \longrightarrow \neg \vdash_T A \rangle$

**interpretation** *MCS-No-Witness-UNIV consistent*  
 $\langle proof \rangle$

**interpretation** *Refutations-MCS consistent Calculus*  
 $\langle proof \rangle$

## 4.6 Truth Lemma

**abbreviation** (*input*) *canonical* ::  $'p fm set \Rightarrow 'p model$   $(\langle \mathcal{M}_T \rangle)$  **where**  
 $\langle \mathcal{M}_T(S) \equiv \lambda P. \cdot P \in S \rangle$

**locale** *Hintikka* =  
**fixes**  $H :: 'a fm set$   
**assumes** *AxiomH*:  $\langle \bigwedge P. \cdot P \in H \implies \neg \cdot P \in H \implies False \rangle$   
**and** *NegIH*:  $\langle \bigwedge p. \neg \neg p \in H \implies p \in H \rangle$   
**and** *ImpPH*:  $\langle \bigwedge p q. p \longrightarrow q \in H \implies \neg p \in H \vee q \in H \rangle$   
**and** *ImpNH*:  $\langle \bigwedge p q. \neg(p \longrightarrow q) \in H \implies p \in H \wedge \neg q \in H \rangle$

**lemma** *Hintikka-model*:  
**assumes**  $\langle Hintikka H \rangle$   
**shows**  $\langle (p \in H \longrightarrow \mathcal{M}_T(H) \models_T p) \wedge (\neg p \in H \longrightarrow \neg \mathcal{M}_T(H) \models_T p) \rangle$   
 $\langle proof \rangle$

**lemma** *MCS-Hintikka*:  
**assumes**  $\langle MCS H \rangle$   
**shows**  $\langle Hintikka H \rangle$   
 $\langle proof \rangle$

**lemma** *truth-lemma*:  
**assumes**  $\langle MCS H \rangle \langle p \in H \rangle$   
**shows**  $\langle \mathcal{M}_T(H) \models_T p \rangle$   
 $\langle proof \rangle$

## 4.7 Completeness

**theorem** *strong-completeness*:  
**assumes**  $\langle \forall M. (\forall q \in X. M \models_T q) \longrightarrow M \models_T p \rangle$   
**shows**  $\langle \exists A. set A \subseteq X \wedge \vdash_T \neg p \# A \rangle$   
 $\langle proof \rangle$

**abbreviation** *valid* ::  $'p fm \Rightarrow bool$  **where**  
 $\langle valid p \equiv \forall M. M \models_T p \rangle$

**theorem** *completeness*:

**assumes**  $\langle \text{valid } p \rangle$

**shows**  $\langle \vdash_T [\neg p] \rangle$

$\langle \text{proof} \rangle$

**theorem** *main*:  $\langle \text{valid } p \longleftrightarrow \vdash_T [\neg p] \rangle$

$\langle \text{proof} \rangle$

**end**

# Chapter 5

## Example: Propositional Sequent Calculus

```
theory Example-Propositional-SC imports Derivations begin
```

### 5.1 Syntax

```
datatype 'p fm
= Fls ('⊥)
| Pro 'p ('→')
| Imp 'p fm' 'p fm' (infixr '→' 55)

abbreviation Neg ('¬' → [70] 70) where '¬ p ≡ p → ⊥'
```

### 5.2 Semantics

```
type-synonym 'p model = 'p ⇒ bool
```

```
fun semantics :: 'p fm ⇒ 'p fm ⇒ bool' (infix '|=' 50) where
  |- |=S ⊥ ↔ False
  | I |=S P ↔ I P
  | I |=S p → q ↔ I |=S p → I |=S q
```

### 5.3 Calculus

```
inductive Calculus :: 'p fm list ⇒ 'p fm list ⇒ bool' (infix '|-' 50) where
  Axiom [simp]: 'p # A |-S p # B'
  | FlsL [simp]: '⊥ # A |-S B'
  | FlsR [elim]: 'A |-S ⊥ # B ⇒ A |-S B'
  | ImpL [intro]: '(A |-S p # B ⇒ q # A |-S B) ⇒ (p → q) # A |-S B'
  | ImpR [intro]: '(p # A |-S q # B ⇒ A |-S (p → q) # B)'
  | Cut: '(A |-S [p] ⇒ p # A |-S B ⇒ A |-S B)'
  | WeakL: '(A |-S B ⇒ set A ⊆ set A' ⇒ A' |-S B)'
  | WeakR: '(A |-S B ⇒ set B ⊆ set B' ⇒ A |-S B')
```

```
lemma Boole: '¬ p # A |-S [] ⇒ A |-S [p]'
  ⟨proof⟩
```

## 5.4 Soundness

**theorem** *soundness*:  $\langle A \vdash_S B \implies \forall q \in \text{set } A. I \models_S q \implies \exists p \in \text{set } B. I \models_S p \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *soundness'*:  $\langle [] \vdash_S [p] \implies I \models_S p \rangle$   
 $\langle \text{proof} \rangle$

**corollary**  $\langle \vdash [] \vdash_S [] \rangle$   
 $\langle \text{proof} \rangle$

## 5.5 Maximal Consistent Sets

**definition** *consistent* ::  $\langle 'p \text{ fm set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{consistent } S \equiv \forall A. \text{set } A \subseteq S \longrightarrow \neg A \vdash_S [\perp] \rangle$

**interpretation** *MCS-No-Witness-UNIV consistent*  
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Cut-MCS consistent*  $\langle \lambda A. p. A \vdash_S [p] \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Bot consistent*  $\langle \lambda A. p. A \vdash_S [p] \rangle \langle \perp \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Imp consistent*  $\langle \lambda A. p. A \vdash_S [p] \rangle \langle \lambda p. q. p \longrightarrow q \rangle$   
 $\langle \text{proof} \rangle$

## 5.6 Truth Lemma

**abbreviation** *canonical* ::  $\langle 'p \text{ fm set} \Rightarrow 'p \text{ model} \rangle$  ( $\langle \mathcal{M}_S \rangle$ ) **where**  
 $\langle \mathcal{M}_S(S) \equiv \lambda P. \cdot P \in S \rangle$

**fun** *semics* ::  $\langle 'p \text{ model} \Rightarrow ('p \text{ model} \Rightarrow 'p \text{ fm} \Rightarrow \text{bool}) \Rightarrow 'p \text{ fm} \Rightarrow \text{bool} \rangle$   
 $(\langle \vdash []_S \rightarrow [55, 0, 55] \rangle 55)$  **where**  
 $\langle \vdash []_S \perp \longleftrightarrow \text{False} \rangle$   
 $| \langle I []_S \cdot P \longleftrightarrow I P \rangle$   
 $| \langle I [\mathcal{R}]_S p \longrightarrow q \longleftrightarrow \mathcal{R} I p \longrightarrow \mathcal{R} I q \rangle$

**fun** *rel* ::  $\langle 'p \text{ fm set} \Rightarrow 'p \text{ model} \Rightarrow 'p \text{ fm} \Rightarrow \text{bool} \rangle$  ( $\langle \mathcal{R}_S \rangle$ ) **where**  
 $\langle \mathcal{R}_S(S) - p \longleftrightarrow p \in S \rangle$

**theorem** *saturated-model*:

**assumes**  $\langle \bigwedge p. \forall M \in \{\mathcal{M}_S(S)\}. M \llbracket \mathcal{R}_S(S) \rrbracket_S p = \mathcal{R}_S(S) M p \rangle$   
**shows**  $\langle \mathcal{R}_S(S) M p \longleftrightarrow M \models_S p \rangle$   
 $\langle \text{proof} \rangle$

**theorem** *saturated-MCS*:

**assumes**  $\langle \text{MCS } S \rangle$   $\langle M \in \{\mathcal{M}_S(S)\} \rangle$   
**shows**  $\langle M \llbracket \mathcal{R}_S(S) \rrbracket_S p \longleftrightarrow \mathcal{R}_S(S) M p \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Truth-No-Witness semics semantics*  $\langle \lambda S. \{\mathcal{M}_S(S)\} \rangle$  *rel consistent*  
 $\langle \text{proof} \rangle$

## 5.7 Completeness

**theorem** *strong-completeness*:

**assumes**  $\langle \forall M. (\forall q \in X. M \models_S q) \longrightarrow M \models_S p \rangle$

**shows**  $\langle \exists A. \text{set } A \subseteq X \wedge A \vdash_S [p] \rangle$

$\langle \text{proof} \rangle$

**abbreviation** *valid* ::  $\langle 'p \text{ fm} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{valid } p \equiv \forall M. M \models_S p \rangle$

**theorem** *completeness*:

**assumes**  $\langle \text{valid } p \rangle$

**shows**  $\langle [] \vdash_S [p] \rangle$

$\langle \text{proof} \rangle$

**theorem** *main*:  $\langle \text{valid } p \longleftrightarrow [] \vdash_S [p] \rangle$

$\langle \text{proof} \rangle$

**end**

# Chapter 6

## Example: Modal Logic

```
theory Example-Modal-Logic imports Derivations begin
```

### 6.1 Syntax

```
datatype ('i, 'p) fm
  = Fls ('⊥')
  | Pro 'p ('↔')
  | Imp ('i, 'p) fm × ('i, 'p) fm (infixr '→' 55)
  | Box 'i ('i, 'p) fm (infixr '□' 55)
```

```
abbreviation Neg ('¬' → [70] 70) where
  '¬' p ≡ p → '⊥'
```

### 6.2 Semantics

```
datatype ('i, 'p, 'w) model =
  Model (W: ('w set)) (R: ('i ⇒ 'w ⇒ 'w set)) (V: ('w ⇒ 'p ⇒ bool))
```

```
type-synonym ('i, 'p, 'w) ctx = ('i, 'p, 'w) model × 'w
```

```
fun semantics :: ('i, 'p, 'w) ctx ⇒ ('i, 'p) fm ⇒ bool (infixr '|=□' 50) where
  |- |=□ ⊥ ↔ False
  | (M, w) |=□ P ↔ V M w P
  | (M, w) |=□ p → q ↔ (M, w) |=□ p → (M, w) |=□ q
  | (M, w) |=□ □ i p ↔ (∀ v ∈ W M ∩ R M i w. (M, v) |=□ p)
```

### 6.3 Calculus

```
primrec eval :: ('p ⇒ bool) ⇒ (('i, 'p) fm ⇒ bool) ⇒ ('i, 'p) fm ⇒ bool where
  eval - - ⊥ = False
  | eval g - (•P) = g P
  | eval g h (p → q) = (eval g h p → eval g h q)
  | eval - h (□ i p) = h (□ i p)
```

```
abbreviation tautology p ≡ ∀ g h. eval g h p
```

```
inductive Calculus :: ('i, 'p) fm ⇒ bool (infixr '⊢□' 50) 50 where
  A1: tautology p ⇒ ⊢□ p
  | A2: ⊢□ □ i (p → q) → □ i p → □ i q
```

$| R1: \vdash_{\Box} p \implies \vdash_{\Box} p \rightarrow q \implies \vdash_{\Box} q$   
 $| R2: \vdash_{\Box} p \implies \vdash_{\Box} \Box i p$

**primrec** *imply* ::  $\langle ('i, 'p) fm \text{ list} \Rightarrow ('i, 'p) fm \Rightarrow ('i, 'p) fm \rangle$  (**infixr**  $\rightsquigarrow$  56) **where**  
 $\langle [] \rightsquigarrow p \rangle = p$   
 $\langle (q \# A \rightsquigarrow p) \rangle = (q \rightarrow A \rightsquigarrow p)$

**abbreviation** *Calculus-assms* (**infix**  $\vdash_{\Box}$  50) **where**  
 $\langle A \vdash_{\Box} p \equiv \vdash_{\Box} A \rightsquigarrow p \rangle$

## 6.4 Soundness

**lemma** *eval-semantics*:  $\langle eval (g w) (\lambda q. (Model Ws r g, w) \models_{\Box} q) p = ((Model Ws r g, w) \models_{\Box} p) \rangle$   
 $\langle proof \rangle$

**lemma** *tautology*:

**assumes**  $\langle tautology p \rangle$   
**shows**  $\langle (M, w) \models_{\Box} p \rangle$   
 $\langle proof \rangle$

**theorem** *soundness*:  $\langle \vdash_{\Box} p \implies w \in W M \implies (M, w) \models_{\Box} p \rangle$   
 $\langle proof \rangle$

## 6.5 Admissible rules

**lemma** *K-imply-head*:  $\langle p \# A \vdash_{\Box} p \rangle$   
 $\langle proof \rangle$

**lemma** *K-imply-Cons*:

**assumes**  $\langle A \vdash_{\Box} q \rangle$   
**shows**  $\langle p \# A \vdash_{\Box} q \rangle$   
 $\langle proof \rangle$

**lemma** *K-right-mp*:

**assumes**  $\langle A \vdash_{\Box} p \rangle \langle A \vdash_{\Box} p \rightarrow q \rangle$   
**shows**  $\langle A \vdash_{\Box} q \rangle$   
 $\langle proof \rangle$

**lemma** *deduct1*:  $\langle A \vdash_{\Box} p \rightarrow q \implies p \# A \vdash_{\Box} q \rangle$   
 $\langle proof \rangle$

**lemma** *imply-append [iff]*:  $\langle (A @ B \rightsquigarrow r) = (A \rightsquigarrow B \rightsquigarrow r) \rangle$   
 $\langle proof \rangle$

**lemma** *imply-swap-append*:  $\langle A @ B \vdash_{\Box} r \implies B @ A \vdash_{\Box} r \rangle$   
 $\langle proof \rangle$

**lemma** *K-ImplI*:  $\langle p \# A \vdash_{\Box} q \implies A \vdash_{\Box} p \rightarrow q \rangle$   
 $\langle proof \rangle$

**lemma** *imply-mem [simp]*:  $\langle p \in set A \implies A \vdash_{\Box} p \rangle$   
 $\langle proof \rangle$

**lemma** *add-imply [simp]*:  $\langle \vdash_{\Box} q \implies A \vdash_{\Box} q \rangle$   
 $\langle proof \rangle$

**lemma** *K-imply-weaken*:  $\langle A \vdash_{\Box} q \Rightarrow \text{set } A \subseteq \text{set } A' \Rightarrow A' \vdash_{\Box} q \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *K-Boole*:  
**assumes**  $\langle (\neg p) \# A \vdash_{\Box} \perp \rangle$   
**shows**  $\langle A \vdash_{\Box} p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *K-distrib-K-imp*:  
**assumes**  $\langle \vdash_{\Box} \Box i (A \rightsquigarrow q) \rangle$   
**shows**  $\langle \text{map } (\Box i) A \vdash_{\Box} \Box i q \rangle$   
 $\langle \text{proof} \rangle$

## 6.6 Maximal Consistent Sets

**definition** *consistent* ::  $\langle ('i, 'p) \text{ fm set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{consistent } S \equiv \forall A. \text{ set } A \subseteq S \longrightarrow \neg A \vdash_{\Box} \perp \rangle$

**interpretation** *MCS-No-Witness-UNIV consistent*  
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Cut-MCS consistent Calculus-assms*  
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Bot consistent Calculus-assms*  $\langle \perp \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Imp consistent Calculus-assms*  $\langle \lambda p. q. p \longrightarrow q \rangle$   
 $\langle \text{proof} \rangle$

**theorem** *deriv-in-maximal*:  
**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle \vdash_{\Box} p \rangle$   
**shows**  $\langle p \in S \rangle$   
 $\langle \text{proof} \rangle$

## 6.7 Truth Lemma

**abbreviation** *known* ::  $\langle ('i, 'p) \text{ fm set} \Rightarrow 'i \Rightarrow ('i, 'p) \text{ fm set} \rangle$  **where**  
 $\langle \text{known } S i \equiv \{p. \Box i p \in S\} \rangle$

**abbreviation** *reach* ::  $\langle 'i \Rightarrow ('i, 'p) \text{ fm set} \Rightarrow ('i, 'p) \text{ fm set set} \rangle$  **where**  
 $\langle \text{reach } i S \equiv \{S'. \text{known } S i \subseteq S' \wedge \text{MCS } S'\} \rangle$

**abbreviation** *canonical* ::  $\langle ('i, 'p) \text{ fm set} \Rightarrow ('i, 'p, ('i, 'p) \text{ fm set}) \text{ ctx} \rangle$   $\langle \mathcal{M}_{\Box} \rangle$  **where**  
 $\langle \mathcal{M}_{\Box}(S) \equiv (\text{Model } \{S. \text{MCS } S\} \text{ reach } (\lambda S P. \cdot P \in S), S) \rangle$

**fun** *semics* ::  
 $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow (('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$   
 $\langle \langle \text{-} \Box \neg \rangle [55, 0, 55] 55 \rangle$  **where**  
 $\langle \text{-} \Box \perp \longleftrightarrow \text{False} \rangle$   
 $\mid \langle (M, w) \Box \cdot P \longleftrightarrow V M w P \rangle$   
 $\mid \langle (M, w) \Box \mathcal{R} \Box p \longrightarrow q \longleftrightarrow \mathcal{R} (M, w) p \longrightarrow \mathcal{R} (M, w) q \rangle$   
 $\mid \langle (M, w) \Box \Box i p \longleftrightarrow (\forall v \in W M \cap R M i w. \mathcal{R} (M, v) p) \rangle$

**fun** *rel* ::  $\langle ('i, 'p) \text{ fm set} \Rightarrow ('i, 'p, ('i, 'p) \text{ fm set}) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle (\langle \mathcal{R}_\square \rangle)$  **where**  
 $\langle \mathcal{R}_\square(-) \ (-, w) \ p \longleftrightarrow p \in w \rangle$

**theorem** *saturated-model*:

**fixes** *S* ::  $\langle ('i, 'p) \text{ fm set} \rangle$   
**assumes**  $\langle \bigwedge (S :: ('i, 'p) \text{ fm set}) \ p. \text{MCS } S \implies \mathcal{M}_\square(S) \llbracket \mathcal{R}_\square(S') \rrbracket_\square p \longleftrightarrow p \in S \rangle$   
**shows**  $\langle \text{MCS } S \implies \mathcal{M}_\square(S) \models_\square p \longleftrightarrow p \in S \rangle$   
*(proof)*

**theorem** *saturated-MCS*:

**assumes**  $\langle \text{MCS } S \rangle$   
**shows**  $\langle \mathcal{M}_\square(S) \llbracket \mathcal{R}_\square(S') \rrbracket_\square p \longleftrightarrow \mathcal{R}_\square(S') (\mathcal{M}_\square(S)) p \rangle$   
*(proof)*

**interpretation** *Truth-No-Witness semantics semantics*  $\langle \lambda\text{-} \{ \mathcal{M}_\square(S) \mid S. \text{MCS } S \} \rangle$  *rel consistent*  
*(proof)*

**lemma** *Truth-lemma*:

**assumes**  $\langle \text{MCS } S \rangle$   
**shows**  $\langle \mathcal{M}_\square(S) \models_\square p \longleftrightarrow p \in S \rangle$   
*(proof)*

## 6.8 Completeness

**theorem** *strong-completeness*:

**assumes**  $\langle \forall M :: ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model}. \forall w \in W M.$   
 $(\forall q \in X. (M, w) \models_\square q) \longrightarrow (M, w) \models_\square p \rangle$   
**shows**  $\langle \exists A. \text{set } A \subseteq X \wedge A \vdash_\square p \rangle$   
*(proof)*

**abbreviation** *valid* ::  $\langle ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{valid } p \equiv \forall (M :: ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model}). \forall w \in W M. (M, w) \models_\square p \rangle$

**corollary** *completeness*:  $\langle \text{valid } p \implies \vdash_\square p \rangle$   
*(proof)*

**theorem** *main*:  $\langle \text{valid } p \longleftrightarrow \vdash_\square p \rangle$   
*(proof)*

**end**

# Chapter 7

## Example: Hybrid Logic

```
theory Example-Hybrid-Logic imports Derivations begin
```

### 7.1 Syntax

```
datatype (nominals-fm: 'i, 'p) fm
= Fls (⊥)
| Pro 'p (++)
| Nom 'i (++)
| Imp ⟨('i, 'p) fm⟩ ⟨('i, 'p) fm⟩ (infixr ⟶ 55)
| Dia ⟨('i, 'p) fm⟩ (◇)
| Sat 'i ⟨('i, 'p) fm⟩ (@)
| All ⟨('i, 'p) fm⟩ (A)

abbreviation Neg (¬ -> [70] 70) where ¬ p ≡ p ⟶ ⊥

abbreviation Con (infixr ∧ 35) where p ∧ q ≡ ¬ (p ⟶ ¬ q)

type-synonym ('i, 'p) lbd = ⟨'i × ('i, 'p) fm⟩

primrec nominals-lbd :: ⟨('i, 'p) lbd ⇒ 'i set⟩ where
⟨nominals-lbd (i, p) = {i} ∪ nominals-fm p⟩

abbreviation nominals :: ⟨('i, 'p) lbd set ⇒ 'i set⟩ where
⟨nominals S ≡ ⋃ ip ∈ S. nominals-lbd ip⟩

lemma finite-nominals-fm [simp]: ⟨finite (nominals-fm p)⟩
⟨proof⟩

lemma finite-nominals-lbd: ⟨finite (nominals-lbd p)⟩
⟨proof⟩
```

### 7.2 Semantics

```
datatype ('w, 'p) model =
Model (W: ⟨'w set⟩) (R: ⟨'w ⇒ 'w set⟩) (V: ⟨'w ⇒ 'p ⇒ bool⟩)

type-synonym ('i, 'p, 'w) ctx = ⟨('w, 'p) model × ('i ⇒ 'w) × 'w⟩

fun semantics :: ⟨('i, 'p, 'w) ctx ⇒ ('i, 'p) fm ⇒ bool⟩ (infix |=@ 50) where
⟨(M, g, w) |=@ ⊥ ⟷ False⟩
```

|  $\langle(M, \cdot, w) \models_{\@} \cdot P \longleftrightarrow V M w P\rangle$   
 |  $\langle(\cdot, g, w) \models_{\@} \cdot i \longleftrightarrow w = g i\rangle$   
 |  $\langle(M, g, w) \models_{\@} p \longrightarrow q \longleftrightarrow (M, g, w) \models_{\@} p \longrightarrow (M, g, w) \models_{\@} q\rangle$   
 |  $\langle(M, g, w) \models_{\@} \Diamond p \longleftrightarrow (\exists v \in W M \cap R M w. (M, g, v) \models_{\@} p)\rangle$   
 |  $\langle(M, g, \cdot) \models_{\@} @i p \longleftrightarrow (M, g, g i) \models_{\@} p\rangle$   
 |  $\langle(M, g, \cdot) \models_{\@} \mathbf{A} p \longleftrightarrow (\forall v \in W M. (M, g, v) \models_{\@} p)\rangle$

**lemma semantics-fresh:**  $\langle i \notin \text{nominals-fm } p \implies (M, g, w) \models_{\@} p \longleftrightarrow (M, g(i := v), w) \models_{\@} p\rangle$   
 $\langle\text{proof}\rangle$

**lemma semantics-fresh-lbd:**

$\langle k \notin \text{nominals-lbd } (i, p) \implies (M, g, w) \models_{\@} p \longleftrightarrow (M, g(k := v), w) \models_{\@} p\rangle$   
 $\langle\text{proof}\rangle$

## 7.3 Calculus

**inductive Calculus ::**  $\langle('i, 'p) lbd \text{ list} \Rightarrow ('i, 'p) lbd \Rightarrow \text{bool} \rangle$  (**infix**  $\langle\vdash_{\@}\rangle$  50) **where**

- | *Assm* [*simp*]:  $\langle(i, p) \in \text{set } A \implies A \vdash_{\@} (i, p)\rangle$
- | *Ref* [*simp*]:  $\langle A \vdash_{\@} (i, \cdot i)\rangle$
- | *Nom* [*dest*]:  $\langle A \vdash_{\@} (i, \cdot k) \implies A \vdash_{\@} (i, p) \implies A \vdash_{\@} (k, p)\rangle$
- | *FlsE* [*elim*]:  $\langle A \vdash_{\@} (i, \perp) \implies A \vdash_{\@} (k, p)\rangle$
- | *ImpI* [*intro*]:  $\langle(i, p) \# A \vdash_{\@} (i, q) \implies A \vdash_{\@} (i, p \longrightarrow q)\rangle$
- | *ImpE* [*dest*]:  $\langle A \vdash_{\@} (i, p \longrightarrow q) \implies A \vdash_{\@} (i, p) \implies A \vdash_{\@} (i, q)\rangle$
- | *SatI* [*intro*]:  $\langle A \vdash_{\@} (i, p) \implies A \vdash_{\@} (k, @i p)\rangle$
- | *SatE* [*dest*]:  $\langle A \vdash_{\@} (i, @k p) \implies A \vdash_{\@} (k, p)\rangle$
- | *DiaI* [*intro*]:  $\langle A \vdash_{\@} (i, \Diamond (\cdot k)) \implies A \vdash_{\@} (k, p) \implies A \vdash_{\@} (i, \Diamond p)\rangle$
- | *DiaE* [*elim*]:  $\langle A \vdash_{\@} (i, \Diamond p) \implies k \notin \text{nominals } \{(i, p), (j, q)\} \cup \text{set } A \implies (k, p) \# (i, \Diamond (\cdot k)) \# A \vdash_{\@} (j, q) \implies A \vdash_{\@} (j, q)\rangle$
- | *AllI* [*intro*]:  $\langle A \vdash_{\@} (k, p) \implies k \notin \text{nominals } \{(i, p)\} \cup \text{set } A \implies A \vdash_{\@} (i, \mathbf{A} p)\rangle$
- | *AllE* [*dest*]:  $\langle A \vdash_{\@} (i, \mathbf{A} p) \implies A \vdash_{\@} (k, p)\rangle$
- | *Clas*:  $\langle(i, p \longrightarrow q) \# A \vdash_{\@} (i, p) \implies A \vdash_{\@} (i, p)\rangle$
- | *Cut*:  $\langle A \vdash_{\@} (k, q) \implies (k, q) \# B \vdash_{\@} (i, p) \implies A @ B \vdash_{\@} (i, p)\rangle$

## 7.4 Soundness

**theorem soundness:**  $\langle A \vdash_{\@} (i, p) \implies \text{list-all } (\lambda(i, p). (M, g, g i) \models_{\@} p) \text{ } A \implies \text{range } g \subseteq W M \implies (M, g, g i) \models_{\@} p\rangle$   
 $\langle\text{proof}\rangle$

**corollary soundness':**

**assumes**  $\langle[] \vdash_{\@} (i, p)\rangle$   $\langle i \notin \text{nominals-fm } p\rangle$   
**and**  $\langle\text{range } g \subseteq W M\rangle$   $\langle w \in W M\rangle$   
**shows**  $\langle(M, g, w) \models_{\@} p\rangle$   
 $\langle\text{proof}\rangle$

**corollary**  $\langle\vdash ([] \vdash_{\@} (i, \perp))\rangle$   
 $\langle\text{proof}\rangle$

## 7.5 Admissible Rules

**lemma Assm-head** [*simp*]:  $\langle(p, i) \# A \vdash_{\@} (p, i)\rangle$   
 $\langle\text{proof}\rangle$

**lemma SatE':**

```

assumes ⟨(k, q) # A ⊢ℳ (i, p)⟩
shows ⟨(j, @k q) # A ⊢ℳ (i, p)⟩
⟨proof⟩

lemma ImpI':
assumes ⟨(k, q) # A ⊢ℳ (i, p)⟩
shows ⟨A ⊢ℳ (i, (@k q) → p)⟩
⟨proof⟩

lemma Weak': ⟨A ⊢ℳ (i, p) ⇒ A @ B ⊢ℳ (i, p)⟩
⟨proof⟩

lemma Weaken: ⟨A ⊢ℳ (i, p) ⇒ set A ⊆ set B ⇒ B ⊢ℳ (i, p)⟩
⟨proof⟩

lemma Weak: ⟨A ⊢ℳ (i, p) ⇒ (k, q) # A ⊢ℳ (i, p)⟩
⟨proof⟩

lemma deduct1: ⟨A ⊢ℳ (i, p → q) ⇒ (i, p) # A ⊢ℳ (i, q)⟩
⟨proof⟩

lemma Boole: ⟨(i, ¬ p) # A ⊢ℳ (i, ⊥) ⇒ A ⊢ℳ (i, p)⟩
⟨proof⟩

interpretation Derivations Calculus
⟨proof⟩

```

## 7.6 Maximal Consistent Sets

```

definition consistent :: ⟨('i, 'p) lbd set ⇒ bool⟩ where
  ⟨consistent S ≡ ∀ A a. set A ⊆ S → ¬ A ⊢ℳ (a, ⊥)⟩

lemma consistent-add-diamond-witness:
assumes ⟨consistent S⟩ ⟨(i, ◊ p) ∈ S⟩ ⟨k ∉ nominals S⟩
shows ⟨consistent ({(k, p), (i, ◊ (·k))} ∪ S)⟩
⟨proof⟩

lemma consistent-add-global-witness:
assumes ⟨consistent S⟩ ⟨(i, ¬ A p) ∈ S⟩ ⟨k ∉ nominals S⟩
shows ⟨consistent ({(k, ¬ p)} ∪ S)⟩
⟨proof⟩

fun witness :: ⟨('i, 'p) lbd ⇒ ('i, 'p) lbd set⟩ where
  ⟨witness (i, ◊ p) S = (let k = SOME k. k ∉ nominals ({(i, p)} ∪ S) in {(k, p), (i, ◊ (·k))})⟩
  | ⟨witness (i, ¬ A p) S = (let k = SOME k. k ∉ nominals ({(i, p)} ∪ S) in {(k, ¬ p)})⟩
  | ⟨witness (-, -) - = {}⟩

lemma consistent-witness':
assumes ⟨consistent ({(i, p)} ∪ S)⟩ ⟨infinite (UNIV - nominals S)⟩
shows ⟨consistent (witness (i, p) S ∪ {(i, p)} ∪ S)⟩
⟨proof⟩

interpretation MCS-Witness-UNIV consistent witness nominals-lbd
⟨proof⟩

```

**lemma** *witnessed-diamond*:  $\langle \text{witnessed } S \Rightarrow (i, \diamond p) \in S \Rightarrow \exists k. (i, \diamond (\cdot k)) \in S \wedge (k, p) \in S \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *witnessed-global*:  $\langle \text{witnessed } S \Rightarrow (i, \neg \mathbf{A} p) \in S \Rightarrow \exists k. (k, \neg p) \in S \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Cut-MCS consistent Calculus*  
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Bot consistent Calculus*  $\langle (i, \perp) \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Not consistent Calculus*  $\langle (i, \perp) \rangle$   $\langle \lambda(i, p). (i, \neg p) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *MCS-impE'*:  $\langle \text{consistent } S \Rightarrow \text{maximal } S \Rightarrow (i, p \rightarrow q) \in S \Rightarrow (i, p) \in S \rightarrow (i, q) \in S \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Uni consistent witness nominals-lbd Calculus*  $\langle (i, \perp) \rangle$   $\langle \lambda(i, p). (i, \neg p) \rangle$   
 $\langle \lambda(i, p). (i, \mathbf{A} p) \rangle$   $\langle \lambda k. (i, p). (k, p) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conE1 [elim]*:  $\langle A \vdash_{\text{@}} (i, p \wedge q) \Rightarrow A \vdash_{\text{@}} (i, p) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conE2 [elim]*:  $\langle A \vdash_{\text{@}} (i, p \wedge q) \Rightarrow A \vdash_{\text{@}} (i, q) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *conI [intro]*:  $\langle A \vdash_{\text{@}} (i, p) \Rightarrow A \vdash_{\text{@}} (i, q) \Rightarrow A \vdash_{\text{@}} (i, p \wedge q) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *MCS-con*:  
**assumes**  $\langle \text{MCS } S \rangle$   
**shows**  $\langle (i, p \wedge q) \in S \longleftrightarrow (i, p) \in S \wedge (i, q) \in S \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations-Exi consistent witness nominals-lbd Calculus*  
 $\langle \lambda(i, p). (i, \diamond p) \rangle$   $\langle \lambda k. (i, p). (i, \text{@} k p \wedge \diamond(\cdot k)) \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *MCS-uni'*:  
**assumes**  $\langle \text{MCS } S \rangle$   $\langle \text{witnessed } S \rangle$   
**shows**  $\langle (i, \mathbf{A} p) \in S \longleftrightarrow (\forall k. (k, p) \in S) \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *MCS-exi'*:  
**assumes**  $\langle \text{MCS } S \rangle$   $\langle \text{witnessed } S \rangle$   
**shows**  $\langle (i, \diamond p) \in S \longleftrightarrow (\exists k. (i, \text{@} k p \wedge \diamond(\cdot k)) \in S) \rangle$   
 $\langle \text{proof} \rangle$

## 7.7 Nominals

**lemma** *MCS-Nom-refl*:  
**assumes**  $\langle \text{consistent } S \rangle$   $\langle \text{maximal } S \rangle$   
**shows**  $\langle (i, \cdot i) \in S \rangle$

$\langle proof \rangle$

**lemma** *MCS-Nom-sym*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle (i, \cdot k) \in S \rangle$   
**shows**  $\langle (k, \cdot i) \in S \rangle$   
 $\langle proof \rangle$

**lemma** *MCS-Nom-trans*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle (i, \cdot j) \in S \rangle \langle (j, \cdot k) \in S \rangle$   
**shows**  $\langle (i, \cdot k) \in S \rangle$   
 $\langle proof \rangle$

## 7.8 Truth Lemma

**fun** *semics* ::  $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow (('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$   
 $\langle \langle (- \llbracket - \rrbracket @ -) \gg [55, 0, 55] 55 \rangle \text{ where}$   
 $\langle - \llbracket - \rrbracket @ \perp \longleftrightarrow \text{False} \rangle$   
 $| \langle (M, -, w) \llbracket - \rrbracket @ \cdot P \longleftrightarrow V M w P \rangle$   
 $| \langle (-, g, w) \llbracket - \rrbracket @ \cdot i \longleftrightarrow w = g i \rangle$   
 $| \langle (M, g, w) \llbracket R \rrbracket @ (p) \longrightarrow q \longleftrightarrow \mathcal{R}(M, g, w) p \longrightarrow \mathcal{R}(M, g, w) q \rangle$   
 $| \langle (M, g, w) \llbracket R \rrbracket @ \Diamond p \longleftrightarrow (\exists v \in W M \cap R M w. \mathcal{R}(M, g, v) p) \rangle$   
 $| \langle (M, g, -) \llbracket R \rrbracket @ \Diamond i p \longleftrightarrow \mathcal{R}(M, g, g i) p \rangle$   
 $| \langle (M, g, -) \llbracket R \rrbracket @ \mathbf{A} p \longleftrightarrow (\forall v \in W M. \mathcal{R}(M, g, v) p) \rangle$

**fun** *rel* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow ('i, 'p, 'i) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle \langle \mathcal{R}_{@} \rangle \text{ where}$   
 $\langle \mathcal{R}_{@}(S) (-, -, i) p \longleftrightarrow (i, p) \in S \rangle$

**definition** *equiv-nom* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \Rightarrow \text{bool} \rangle \text{ where}$   
 $\langle \text{equiv-nom } S i k \equiv (i, \cdot k) \in S \rangle$

**lemma** *equiv-nom-reflp*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
**shows**  $\langle \text{reflp}(\text{equiv-nom } S) \rangle$   
 $\langle proof \rangle$

**lemma** *equiv-nom-symp*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
**shows**  $\langle \text{symp}(\text{equiv-nom } S) \rangle$   
 $\langle proof \rangle$

**lemma** *equiv-nom-transp*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
**shows**  $\langle \text{transp}(\text{equiv-nom } S) \rangle$   
 $\langle proof \rangle$

**lemma** *equiv-nom-equivp*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
**shows**  $\langle \text{equivp}(\text{equiv-nom } S) \rangle$   
 $\langle proof \rangle$

**definition** *assign* ::  $\langle 'i \Rightarrow ('i, 'p) \text{ lbd set} \Rightarrow 'i \rangle \langle \llbracket - \rrbracket @ [0, 100] 100 \rangle \text{ where}$   
 $\langle [i]_S \equiv \text{minim}(|\text{UNIV}|) \{k. \text{equiv-nom } S i k\} \rangle$

**lemma** *equiv-nom-ne*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$

**shows**  $\langle \{k. \text{equiv-nom } S i k\} \neq \{\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *equiv-nom-assign*:  
**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
**shows**  $\langle \text{equiv-nom } S i ([i]_S) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *equiv-nom-Nom*:  
**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle \text{equiv-nom } S i k \rangle \langle (i, p) \in S \rangle$   
**shows**  $\langle (k, p) \in S \rangle$   
 $\langle \text{proof} \rangle$

**definition** *reach* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \text{ set} \rangle$  **where**  
 $\langle \text{reach } S i \equiv \{[k]_S \mid k. (i, \diamond (\cdot k)) \in S\} \rangle$

**primrec** *canonical* ::  $\langle ('i, 'p) \text{ lbd set} \times 'i \Rightarrow ('i, 'p, 'i) \text{ ctx} \rangle$   $\langle \mathcal{M}_@ \rangle$  **where**  
 $\langle \mathcal{M}_@ (S, i) = (\text{Model } \{[k]_S \mid k. \text{True}\} (\text{reach } S) (\lambda i P. (i, \cdot P) \in S), \lambda i. [i]_S, [i]_S) \rangle$

**theorem** *saturated-model*:  
**assumes**  $\langle \bigwedge p i. \mathcal{M}_@ (S, i) \llbracket \mathcal{R}_@ (S) \rrbracket @ (p) \longleftrightarrow \mathcal{R}_@ (S) (\mathcal{M}_@ (S, i)) p \rangle \langle M \in \{\mathcal{M}_@ (S, i) \mid i. \text{True}\} \rangle$   
**shows**  $\langle \mathcal{R}_@ (S) (\mathcal{M}_@ (S, i)) p \longleftrightarrow \mathcal{M}_@ (S, i) \models_@ p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *reach-assign*:  $\langle \text{reach } S ([i]_S) \subseteq \{[k]_S \mid k. \text{True}\} \rangle$   
 $\langle \text{proof} \rangle$

**theorem** *saturated-MCS*:  
**assumes**  $\langle \text{MCS } S \rangle$   
**shows**  $\langle \mathcal{M}_@ (S, i) \llbracket \mathcal{R}_@ (S) \rrbracket @ (p) \longleftrightarrow \mathcal{R}_@ (S) (\mathcal{M}_@ (S, i)) p \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Truth-Witness semantics semantics*  $\langle \lambda S. \{\mathcal{M}_@ (S, i) \mid i. \text{True}\} \rangle$  *rel consistent witness nominals-lbd*  
 $\langle \text{proof} \rangle$

**lemma** *Truth-lemma*:  
**assumes**  $\langle \text{MCS } S \rangle$   
**shows**  $\langle \mathcal{M}_@ (S, i) \models_@ p \longleftrightarrow (i, p) \in S \rangle$   
 $\langle \text{proof} \rangle$

## 7.9 Cardinalities

**datatype** *marker* = *FlsM* | *ImpM* | *DiaM* | *SatM* | *AllM*

**type-synonym**  $('i, 'p) \text{ enc} = \langle ('i + 'p) + \text{marker} \times \text{nat} \rangle$

**abbreviation**  $\langle \text{NOM } i \equiv \text{Inl } (\text{Inl } i) \rangle$   
**abbreviation**  $\langle \text{PRO } x \equiv \text{Inl } (\text{Inr } x) \rangle$   
**abbreviation**  $\langle \text{FLS} \equiv \text{Inr } (\text{FlsM}, 0) \rangle$   
**abbreviation**  $\langle \text{IMP } n \equiv \text{Inr } (\text{FlsM}, n) \rangle$   
**abbreviation**  $\langle \text{DIA} \equiv \text{Inr } (\text{DiaM}, 0) \rangle$   
**abbreviation**  $\langle \text{SAT} \equiv \text{Inr } (\text{SatM}, 0) \rangle$   
**abbreviation**  $\langle \text{GLO} \equiv \text{Inr } (\text{AllM}, 0) \rangle$

```

primrec encode ::  $\langle ('i, 'p) fm \Rightarrow ('i, 'p) enc list \rangle$  where
   $\langle encode \perp = [FLS] \rangle$ 
   $\mid \langle encode (\cdot P) = [PRO P] \rangle$ 
   $\mid \langle encode (\cdot i) = [NOM i] \rangle$ 
   $\mid \langle encode (p \longrightarrow q) = IMP (length (encode p)) \# encode p @ encode q \rangle$ 
   $\mid \langle encode (\Diamond p) = DIA \# encode p \rangle$ 
   $\mid \langle encode (@ i p) = SAT \# NOM i \# encode p \rangle$ 
   $\mid \langle encode (\mathbf{A} p) = GLO \# encode p \rangle$ 

```

**lemma** encode-ne [simp]:  $\langle encode p \neq [] \rangle$   
 $\langle proof \rangle$

**lemma** inj-encode':  $\langle encode p = encode q \Rightarrow p = q \rangle$   
 $\langle proof \rangle$

**primrec** encode-lbd ::  $\langle ('i, 'p) lbd \Rightarrow ('i, 'p) enc list \rangle$  **where**  
 $\langle encode-lbd (i, p) = NOM i \# encode p \rangle$

**lemma** inj-encode-lbd':  $\langle encode-lbd (i, p) = encode-lbd (k, q) \Rightarrow i = k \wedge p = q \rangle$   
 $\langle proof \rangle$

**lemma** inj-encode-lbd:  $\langle inj encode-lbd \rangle$   
 $\langle proof \rangle$

**lemma** finite-marker:  $\langle finite (UNIV :: marker set) \rangle$   
 $\langle proof \rangle$

**lemma** card-of-lbd:  
**assumes**  $\langle infinite (UNIV :: 'i set) \rangle$   
**shows**  $\langle |UNIV :: ('i, 'p) lbd set| \leq_o |UNIV :: 'i set| + c |UNIV :: 'p set| \rangle$   
 $\langle proof \rangle$

## 7.10 Completeness

**theorem** strong-completeness:

**fixes**  $p :: \langle ('i, 'p) fm \rangle$   
**assumes**  $\langle \forall M :: ('i, 'p) model. \forall g. \forall w \in W M. range g \subseteq W M \longrightarrow$   
 $(\forall (k, q) \in X. (M, g, g k) \models_{@} q) \longrightarrow (M, g, w) \models_{@} p \rangle$   
 $\langle infinite (UNIV :: 'i set) \rangle$   
 $\langle |UNIV :: 'i set| + c |UNIV :: 'p set| \leq_o |UNIV - nominals X| \rangle$   
**shows**  $\langle \exists A. set A \subseteq X \wedge A \vdash_{@} (i, p) \rangle$   
 $\langle proof \rangle$

**abbreviation** valid ::  $\langle ('i, 'p) fm \Rightarrow bool \rangle$  **where**  
 $\langle valid p \equiv \forall (M :: ('i, 'p) model) g. \forall w \in W M. range g \subseteq W M \longrightarrow (M, g, w) \models_{@} p \rangle$

**theorem** completeness:

**fixes**  $p :: \langle ('i, 'p) fm \rangle$   
**assumes**  $\langle valid p \rangle \langle infinite (UNIV :: 'i set) \rangle \langle |UNIV :: 'p set| \leq_o |UNIV :: 'i set| \rangle$   
**shows**  $\langle [] \vdash_{@} (i, p) \rangle$   
 $\langle proof \rangle$

**corollary** completeness':

**fixes**  $p :: \langle ('i, 'i) fm \rangle$   
**assumes**  $\langle valid p \rangle \langle infinite (UNIV :: 'i set) \rangle$

```

shows ⟨[] ⊢@ (i, p)⟩
⟨proof⟩

theorem main:
fixes p :: ⟨('i, 'p) fm⟩
assumes ⟨i ∉ nominals-fm p⟩ ⟨infinite (UNIV :: 'i set)⟩ ⟨|UNIV :: 'p set| ≤o |UNIV :: 'i set|⟩
shows ⟨valid p ←→ [] ⊢@ (i, p)⟩
⟨proof⟩

corollary main':
fixes p :: ⟨('i, 'i) fm⟩
assumes ⟨i ∉ nominals-fm p⟩ ⟨infinite (UNIV :: 'i set)⟩
shows ⟨valid p ←→ [] ⊢@ (i, p)⟩
⟨proof⟩

end

```

# Chapter 8

## Example: First-Order Logic

```
theory Example-First-Order-Logic imports Derivations begin
```

### 8.1 Syntax

```
datatype (params-tm: 'f) tm
= Var nat (‹#›)
| Fun 'f ‹'f tm list› (‹•›)

abbreviation Const (‹★›) where ‹★a ≡ ·a []›

datatype (params-fm: 'f, 'p) fm
= Fls (‹⊥›)
| Pre 'p ‹'f tm list› (‹•›)
| Imp ‹('f, 'p) fm› ‹('f, 'p) fm› (infixr ‹→› 55)
| Exi ‹('f, 'p) fm› (‹∃›)

abbreviation Neg (‹¬› → [70] 70) where ‹¬ p ≡ p → ⊥›
```

### 8.2 Semantics

```
type-synonym ('a, 'f, 'p) model = ‹(nat ⇒ 'a) × ('f ⇒ 'a list ⇒ 'a) × ('p ⇒ 'a list ⇒ bool)›

fun semantics-tm :: ‹(nat ⇒ 'a) × ('f ⇒ 'a list ⇒ 'a) ⇒ 'f tm ⇒ 'a› (‹[]›) where
  ‹[](E, -)› (#n) = E n
  | ‹[](E, F)› (·f ts) = F f (map ‹[](E, F)› ts)

primrec add-env :: ‹'a ⇒ (nat ⇒ 'a) ⇒ nat ⇒ 'a› (infix ‹::› 0) where
  ‹(t :: s) 0 = t›
  | ‹(t :: s) (Suc n) = s n›

fun semantics-fm :: ‹('a, 'f, 'p) model ⇒ ('f, 'p) fm ⇒ bool› (infix ‹|=› 50) where
  ‹- |= ⊥ ↔ False›
  | ‹(E, F, G) |= ·P ts ↔ G P (map ‹[](E, F)› ts)›
  | ‹(E, F, G) |= p → q ↔ (E, F, G) |= p → (E, F, G) |= q›
  | ‹(E, F, G) |= ∃ p ↔ (exists x. (x :: E, F, G) |= p)›
```

### 8.3 Operations

```
primrec lift-tm :: ‹'f tm ⇒ 'f tm› where
```

$\langle lift-tm (\#n) = \#(n+1) \rangle$   
 $\mid \langle lift-tm (\cdot f ts) = \cdot f (map lift-tm ts) \rangle$

**primrec**  $sub-tm :: \langle (nat \Rightarrow 'f tm) \Rightarrow 'f tm \Rightarrow 'f tm \rangle$  **where**  
 $\langle sub-tm s (\#n) = s n \rangle$   
 $\mid \langle sub-tm s (\cdot f ts) = \cdot f (map (sub-tm s) ts) \rangle$

**primrec**  $sub-fm :: \langle (nat \Rightarrow 'f tm) \Rightarrow ('f, 'p) fm \Rightarrow ('f, 'p) fm \rangle$  **where**  
 $\langle sub-fm - \perp = \perp \rangle$   
 $\mid \langle sub-fm s (\cdot P ts) = \cdot P (map (sub-tm s) ts) \rangle$   
 $\mid \langle sub-fm s (p \longrightarrow q) = sub-fm s p \longrightarrow sub-fm s q \rangle$   
 $\mid \langle sub-fm s (\exists p) = \exists (sub-fm (\#0 \circ \lambda n. lift-tm (s n)) p) \rangle$

**abbreviation**  $inst-single :: \langle 'f tm \Rightarrow ('f, 'p) fm \Rightarrow ('f, 'p) fm \rangle$   $(\langle \langle - \rangle \rangle)$  **where**  
 $\langle \langle t \rangle \equiv sub-fm (t \circ \#) \rangle$

**abbreviation**  $params S \equiv \bigcup p \in S. params-fm p$

**abbreviation**  $params' l \equiv params (set l)$

**lemma**  $upd-params-tm [simp]$ :  $\langle f \notin params-tm t \implies \langle (E, F(f := x)) \rangle t = \langle (E, F) \rangle t \rangle$   
 $\langle proof \rangle$

**lemma**  $upd-params-fm [simp]$ :  $\langle f \notin params-fm p \implies (E, F(f := x), G) \models_{\exists} p \longleftrightarrow (E, F, G) \models_{\exists} p \rangle$   
 $\langle proof \rangle$

**lemma**  $finite-params-tm [simp]$ :  $\langle finite (params-tm t) \rangle$   
 $\langle proof \rangle$

**lemma**  $finite-params-fm [simp]$ :  $\langle finite (params-fm p) \rangle$   
 $\langle proof \rangle$

**lemma**  $env [simp]$ :  $\langle P ((x \circ E) n) = (P x \circ \lambda n. P (E n)) n \rangle$   
 $\langle proof \rangle$

**lemma**  $lift-lemma$ :  $\langle \langle (x \circ E, F) \rangle \langle lift-tm t \rangle = \langle (E, F) \rangle t \rangle$   
 $\langle proof \rangle$

**lemma**  $sub-tm-semantics$ :  $\langle \langle (E, F) \rangle \langle sub-tm s t \rangle = \langle (\lambda n. \langle (E, F) \rangle (s n), F) \rangle t \rangle$   
 $\langle proof \rangle$

**lemma**  $sub-fm-semantics [simp]$ :  $\langle (E, F, G) \models_{\exists} sub-fm s p \longleftrightarrow (\lambda n. \langle (E, F) \rangle (s n), F, G) \models_{\exists} p \rangle$   
 $\langle proof \rangle$

**lemma**  $sub-tm-Var [simp]$ :  $\langle sub-tm \# t = t \rangle$   
 $\langle proof \rangle$

**lemma**  $reduce-Var [simp]$ :  $\langle (\# 0 \circ \lambda n. \# (Suc n)) = \# \rangle$   
 $\langle proof \rangle$

**lemma**  $sub-fm-Var [simp]$ :  
**fixes**  $p :: \langle ('f, 'p) fm \rangle$   
**shows**  $\langle sub-fm \# p = p \rangle$   
 $\langle proof \rangle$

**lemma**  $semantics-tm-id [simp]$ :  $\langle \langle (\#, \cdot) \rangle t = t \rangle$

$\langle proof \rangle$

**lemma** *semantics-tm-id-map* [simp]:  $\langle map (\#) ts = ts \rangle$   
 $\langle proof \rangle$

The built-in *size* is not invariant under substitution.

**primrec** *size-fm* ::  $\langle ('f, 'p) fm \Rightarrow nat \rangle$  **where**  
 $\langle size-fm \perp = 1 \rangle$   
 $\mid \langle size-fm (\dots) = 1 \rangle$   
 $\mid \langle size-fm (p \rightarrow q) = 1 + size-fm p + size-fm q \rangle$   
 $\mid \langle size-fm (\exists p) = 1 + size-fm p \rangle$

**lemma** *size-sub-fm* [simp]:  $\langle size-fm (sub-fm s p) = size-fm p \rangle$   
 $\langle proof \rangle$

## 8.4 Calculus

**inductive** *Calculus* ::  $\langle ('f, 'p) fm list \Rightarrow ('f, 'p) fm \Rightarrow bool \rangle$  (**infix**  $\vdash_{\exists} 50$ ) **where**  
 $\langle Assm [simp] \rangle: \langle p \in set A \Rightarrow A \vdash_{\exists} p \rangle$   
 $\mid \langle FlsE [elim] \rangle: \langle A \vdash_{\exists} \perp \Rightarrow A \vdash_{\exists} p \rangle$   
 $\mid \langle ImpI [intro] \rangle: \langle p \# A \vdash_{\exists} q \Rightarrow A \vdash_{\exists} p \rightarrow q \rangle$   
 $\mid \langle ImpE [dest] \rangle: \langle A \vdash_{\exists} p \rightarrow q \Rightarrow A \vdash_{\exists} p \Rightarrow A \vdash_{\exists} q \rangle$   
 $\mid \langle ExiI [intro] \rangle: \langle A \vdash_{\exists} \langle t \rangle p \Rightarrow A \vdash_{\exists} \exists p \rangle$   
 $\mid \langle ExiE [elim] \rangle: \langle A \vdash_{\exists} \exists p \Rightarrow a \notin params (set (p \# q \# A)) \Rightarrow \langle \star a \rangle p \# A \vdash_{\exists} q \Rightarrow A \vdash_{\exists} q \rangle$   
 $\mid \langle Clas \rangle: \langle (p \rightarrow q) \# A \vdash_{\exists} p \Rightarrow A \vdash_{\exists} p \rangle$

### 8.4.1 Weakening

**abbreviation**  $\langle psub f \equiv map-fm f id \rangle$

**lemma** *map-tm-sub-tm* [simp]:  $\langle map-tm f (sub-tm g t) = sub-tm (map-tm f o g) (map-tm f t) \rangle$   
 $\langle proof \rangle$

**lemma** *map-tm-lift-tm* [simp]:  $\langle map-tm f (lift-tm t) = lift-tm (map-tm f t) \rangle$   
 $\langle proof \rangle$

**lemma** *psub-sub-fm*:  $\langle psub f (sub-fm g p) = sub-fm (map-tm f o g) (psub f p) \rangle$   
 $\langle proof \rangle$

**lemma** *map-tm-inst-single*:  $\langle (map-tm f o (u \#)) t = (map-tm f u \#) t \rangle$   
 $\langle proof \rangle$

**lemma** *psub-inst-single* [simp]:  $\langle psub f (\langle t \rangle p) = \langle map-tm f t \rangle (psub f p) \rangle$   
 $\langle proof \rangle$

**lemma** *map-tm-upd* [simp]:  $\langle a \notin params-tm t \Rightarrow map-tm (f(a := b)) t = map-tm f t \rangle$   
 $\langle proof \rangle$

**lemma** *psub-upd* [simp]:  $\langle a \notin params-fm p \Rightarrow psub (f(a := b)) p = psub f p \rangle$   
 $\langle proof \rangle$

**class** *inf-univ* =  
**fixes** *itself* ::  $\langle 'a itself \rangle$   
**assumes** *infinite-UNIV*:  $\langle infinite (UNIV :: 'a set) \rangle$

**lemma** *Calculus-psub*:

**fixes**  $f :: ('f \Rightarrow 'g :: \text{inf-univ})$   
  **shows**  $\langle A \vdash_{\exists} p \implies \text{map}(\text{psub } f) A \vdash_{\exists} \text{psub } f p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Weaken*:

**fixes**  $p :: (('f :: \text{inf-univ}, 'p) \text{ fm})$   
  **shows**  $\langle A \vdash_{\exists} p \implies \text{set } A \subseteq \text{set } B \implies B \vdash_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

## 8.5 Soundness

**theorem** *soundness*:  $\langle A \vdash_{\exists} p \implies \forall q \in \text{set } A. (E, F, G) \models_{\exists} q \implies (E, F, G) \vdash_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *soundness'*:  $\langle [] \vdash_{\exists} p \implies M \models_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

**corollary**  $\neg (\emptyset \vdash_{\exists} \perp)$   
 $\langle \text{proof} \rangle$

## 8.6 Admissible Rules

**lemma** *Assm-head*:  $\langle p \# A \vdash_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Boole*:  $\langle (\neg p) \# A \vdash_{\exists} \perp \implies A \vdash_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *Weak*:

**fixes**  $p :: (('f :: \text{inf-univ}, 'p) \text{ fm})$   
  **shows**  $\langle A \vdash_{\exists} p \implies q \# A \vdash_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *deduct1*:

**fixes**  $p :: (('f :: \text{inf-univ}, 'p) \text{ fm})$   
  **shows**  $\langle A \vdash_{\exists} p \longrightarrow q \implies p \# A \vdash_{\exists} q \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Weak'*:

**fixes**  $p :: (('f :: \text{inf-univ}, 'p) \text{ fm})$   
  **shows**  $\langle A \vdash_{\exists} p \implies B @ A \vdash_{\exists} p \rangle$   
 $\langle \text{proof} \rangle$

**interpretation** *Derivations*  $\langle \text{Calculus} :: (('f :: \text{inf-univ}, 'p) \text{ fm list} \Rightarrow \rightarrow) \rangle$   
 $\langle \text{proof} \rangle$

## 8.7 Maximal Consistent Sets

**definition** *consistent* ::  $\langle ('f, 'p) \text{ fm set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{consistent } S \equiv \forall A. \text{set } A \subseteq S \longrightarrow \neg A \vdash_{\exists} \perp \rangle$

**fun** *witness* ::  $\langle ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ fm set} \Rightarrow ('f, 'p) \text{ fm set} \rangle$  **where**  
 $\langle \text{witness } (\exists p) S = (\text{let } a = \text{SOME } a. a \notin \text{params } (\{p\} \cup S) \text{ in } \{\langle \star a \rangle p\}) \rangle$

```

| ⟨witness - - = { }⟩

lemma consistent-add-witness:
  fixes p :: ⟨('f :: inf-univ, 'p) fm⟩
  assumes ⟨consistent S⟩ ⟨ $\exists p \in S \langle a \notin \text{params } S \rangleshows ⟨consistent ( $\{\langle \star a \rangle p\} \cup S$ )⟩
  ⟨proof⟩

lemma consistent-witness':
  fixes p :: ⟨('f :: inf-univ, 'p) fm⟩
  assumes ⟨consistent ( $\{p\} \cup S$ )⟩ ⟨infinite (UNIV - params S)⟩
  shows ⟨consistent (witness p S  $\cup \{p\} \cup S$ )⟩
  ⟨proof⟩

interpretation MCS-Witness-UNIV consistent witness ⟨params-fm :: ('f :: inf-univ, 'p) fm  $\Rightarrow$  -⟩
⟨proof⟩

interpretation Derivations-Cut-MCS consistent ⟨Calculus :: ('f :: inf-univ, 'p) fm list  $\Rightarrow$  -⟩
⟨proof⟩

interpretation Derivations-Bot consistent Calculus ⟨⊥ :: ('f :: inf-univ, 'p) fm⟩
⟨proof⟩

interpretation Derivations-Imp consistent Calculus ⟨ $\lambda p. q. p \longrightarrow q :: ('f :: inf-univ, 'p) fm$ ⟩
⟨proof⟩

interpretation Derivations-Exi consistent witness params-fm Calculus ⟨ $\exists$  ⟨ $\lambda t. p. \langle t \rangle p :: ('f :: inf-univ, 'p) fm$ ⟩
⟨proof⟩$ 
```

## 8.8 Truth Lemma

**abbreviation** canonical :: ⟨('f, 'p) fm set  $\Rightarrow$  ('f tm, 'f, 'p) model⟩ ⟨ $\mathcal{M}_\exists$ ⟩ **where**  
 $\langle \mathcal{M}_\exists(S) \equiv (\#, \cdot, \lambda P. ts. \cdot P ts \in S) \rangle$

**fun** semics ::  
 $\langle ('a, 'f, 'p) model \Rightarrow (('a, 'f, 'p) model \Rightarrow ('f, 'p) fm \Rightarrow \text{bool}) \Rightarrow ('f, 'p) fm \Rightarrow \text{bool} \rangle$   
 $\langle \langle \langle \cdot \rangle_\exists \cdot \rangle \rangle [55, 0, 55] 55 \rangle$  **where**  
 $\langle \langle \langle \cdot \rangle_\exists \perp \longleftrightarrow \text{False} \rangle \rangle$   
 $\langle \langle \langle (E, F, G) \langle \cdot \rangle_\exists \cdot P ts \longleftrightarrow G P (\text{map } \langle \langle E, F \rangle \rangle ts) \rangle \rangle$   
 $\langle \langle \langle (E, F, G) \langle \mathcal{R} \rangle_\exists p \longrightarrow q \longleftrightarrow \mathcal{R} (E, F, G) p \longrightarrow \mathcal{R} (E, F, G) q \rangle \rangle$   
 $\langle \langle \langle (E, F, G) \langle \mathcal{R} \rangle_\exists \exists p \longleftrightarrow (\exists x. \mathcal{R} (x; E, F, G) p) \rangle \rangle$

**fun** rel :: ⟨('f, 'p) fm set  $\Rightarrow$  ('f tm, 'f, 'p) model  $\Rightarrow$  ('f, 'p) fm  $\Rightarrow$  bool⟩ ⟨ $\mathcal{R}_\exists$ ⟩ **where**  
 $\langle \mathcal{R}_\exists(S) (E, \cdot, \cdot) p \longleftrightarrow \text{sub-fm } E p \in S \rangle$

**theorem** saturated-model:  
**assumes** ⟨ $\bigwedge p. \forall M \in \{\mathcal{M}_\exists(S)\}. M \langle \mathcal{R}_\exists(S) \rangle_\exists p \longleftrightarrow \mathcal{R}_\exists(S) M p$ ⟩ ⟨ $M \in \{\mathcal{M}_\exists(S)\}$ ⟩  
**shows** ⟨ $\mathcal{R}_\exists(S) M p \longleftrightarrow M \models_\exists p$ ⟩  
⟨proof⟩

**theorem** saturated-MCS:  
**fixes** p :: ⟨('f :: inf-univ, 'p) fm⟩  
**assumes** ⟨MCS S⟩  
**shows** ⟨ $\mathcal{R}_\exists(S) (\mathcal{M}_\exists(S)) p \longleftrightarrow \mathcal{M}_\exists(S) \langle \mathcal{R}_\exists(S) \rangle_\exists p$ ⟩

$\langle proof \rangle$

**interpretation** *Truth-Witness semantics semantics-fm*  $\langle \lambda S. \{M_3(S)\} \rangle$  *rel consistent witness*  
 $\langle \text{params-fm} :: ('f :: \text{inf-univ}, 'p) \text{ fm} \Rightarrow \rightarrow \rangle$   
 $\langle proof \rangle$

## 8.9 Cardinalities

**datatype** *marker* = *VarM* | *FunM* | *TmM* | *FlsM* | *PreM* | *ImpM* | *ExiM*

**type-synonym**  $('f, 'p) \text{ enc} = \langle ('f + 'p) + \text{marker} \times \text{nat} \rangle$

**abbreviation**  $\langle \text{FUNS } f \equiv \text{Inl } (\text{Inl } f) \rangle$

**abbreviation**  $\langle \text{PRES } p \equiv \text{Inl } (\text{Inr } p) \rangle$

**abbreviation**  $\langle \text{VAR } n \equiv \text{Inr } (\text{VarM}, n) \rangle$

**abbreviation**  $\langle \text{FUN } n \equiv \text{Inr } (\text{FunM}, n) \rangle$

**abbreviation**  $\langle \text{TM } n \equiv \text{Inr } (\text{TmM}, n) \rangle$

**abbreviation**  $\langle \text{PRE } n \equiv \text{Inr } (\text{PreM}, n) \rangle$

**abbreviation**  $\langle \text{FLS} \equiv \text{Inr } (\text{FlsM}, 0) \rangle$

**abbreviation**  $\langle \text{IMP } n \equiv \text{Inr } (\text{FlsM}, n) \rangle$

**abbreviation**  $\langle \text{EXI} \equiv \text{Inr } (\text{ExiM}, 0) \rangle$

**primrec**

*encode-tm* ::  $\langle 'f \text{ tm} \Rightarrow ('f, 'p) \text{ enc list} \rangle$  **and**

*encode-tms* ::  $\langle 'f \text{ tm list} \Rightarrow ('f, 'p) \text{ enc list} \rangle$  **where**

$\langle \text{encode-tm } (\#n) = [\text{VAR } n] \rangle$

$| \langle \text{encode-tm } (\cdot f \text{ ts}) = \text{FUN } (\text{length ts}) \# \text{FUNS } f \# \text{encode-tms ts} \rangle$

$| \langle \text{encode-tms } [] = [] \rangle$

$| \langle \text{encode-tms } (t \# ts) = \text{TM } (\text{length } (\text{encode-tm } t)) \# \text{encode-tm } t @ \text{encode-tms ts} \rangle$

**lemma** *encode-tm-ne* [simp]:  $\langle \text{encode-tm } t \neq [] \rangle$

$\langle proof \rangle$

**lemma** *inj-encode-tm'*:

$\langle (\text{encode-tm } t :: ('f, 'p) \text{ enc list}) = \text{encode-tm } s \implies t = s \rangle$

$\langle (\text{encode-tms } ts :: ('f, 'p) \text{ enc list}) = \text{encode-tms } ss \implies ts = ss \rangle$

$\langle proof \rangle$

**lemma** *inj-encode-tm*:  $\langle \text{inj encode-tm} \rangle$

$\langle proof \rangle$

**primrec** *encode-fm* ::  $\langle ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ enc list} \rangle$  **where**

$\langle \text{encode-fm } \perp = [\text{FLS}] \rangle$

$| \langle \text{encode-fm } (\cdot P \text{ ts}) = \text{PRE } (\text{length ts}) \# \text{PRES } P \# \text{encode-tms ts} \rangle$

$| \langle \text{encode-fm } (p \longrightarrow q) = \text{IMP } (\text{length } (\text{encode-fm } p)) \# \text{encode-fm } p @ \text{encode-fm } q \rangle$

$| \langle \text{encode-fm } (\exists p) = \text{EXI} \# \text{encode-fm } p \rangle$

**lemma** *encode-fm-ne* [simp]:  $\langle \text{encode-fm } p \neq [] \rangle$

$\langle proof \rangle$

**lemma** *inj-encode-fm'*:  $\langle \text{encode-fm } p = \text{encode-fm } q \implies p = q \rangle$

$\langle proof \rangle$

```

lemma inj-encode-fm: ⟨inj encode-fm⟩
⟨proof⟩

lemma finite-marker: ⟨finite (UNIV :: marker set)⟩
⟨proof⟩

lemma card-of-fm:
⟨|UNIV :: ('f :: inf-univ, 'p) fm set| ≤o |UNIV :: 'f set| +c |UNIV :: 'p set|⟩
⟨proof⟩

```

## 8.10 Completeness

**theorem** strong-completeness:

```

assumes ⟨∀ M :: ('f tm, 'f :: inf-univ, 'p) model. (∀ q ∈ X. M ⊨ℳ q) → M ⊨ℳ p⟩
⟨|UNIV :: 'f set| +c |UNIV :: 'p set| ≤o |UNIV - params X|⟩
shows ⟨∃ A. set A ⊆ X ∧ A ⊨ℳ p⟩
⟨proof⟩

```

**abbreviation** valid :: ⟨('f, 'p) fm ⇒ bool⟩ **where**  
⟨valid p ≡ ∀ M :: ('f tm, -, -) model. M ⊨<sub>ℳ</sub> p⟩

**theorem** completeness:

```

fixes p :: ⟨('f :: inf-univ, 'p) fm⟩
assumes ⟨valid p⟩ ⟨|UNIV :: 'p set| ≤o |UNIV :: 'f set|⟩
shows ⟨[] ⊨ℳ p⟩
⟨proof⟩

```

**corollary** completeness':

```

fixes p :: ⟨('f :: inf-univ, 'f) fm⟩
assumes ⟨valid p⟩
shows ⟨[] ⊨ℳ p⟩
⟨proof⟩

```

**theorem** main:

```

fixes p :: ⟨('f :: inf-univ, 'p) fm⟩
assumes ⟨|UNIV :: 'p set| ≤o |UNIV :: 'f set|⟩
shows ⟨valid p ↔ [] ⊨ℳ p⟩
⟨proof⟩

```

**corollary** main':

```

fixes p :: ⟨('f :: inf-univ, 'f) fm⟩
shows ⟨valid p ↔ [] ⊨ℳ p⟩
⟨proof⟩

```

**end**

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