Symmetric Polynomials

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Abstract

A symmetric polynomial is a polynomial in variables X_1, \ldots, X_n that does not discriminate between its variables, i.e. it is invariant under any permutation of them. These polynomials are important in the study of the relationship between the coefficients of a univariate polynomial and its roots in its algebraic closure.

This article provides a definition of symmetric polynomials and the elementary symmetric polynomials e_1, \ldots, e_n and proofs of their basic properties, including three notable ones:

- Vieta's formula, which gives an explicit expression for the k-th coefficient of a univariate monic polynomial in terms of its roots x_1, \ldots, x_n , namely $c_k = (-1)^{n-k} e_{n-k}(x_1, \ldots, x_n)$.
- Second, the Fundamental Theorem of Symmetric Polynomials, which states that any symmetric polynomial is itself a uniquely determined polynomial combination of the elementary symmetric polynomials.
- Third, as a corollary of the previous two, that given a polynomial over some ring R, any symmetric polynomial combination of its roots is also in R even when the roots are not.

Both the symmetry property itself and the witness for the Fundamental Theorem are executable.

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1 Vieta's Formulas

theory Vieta imports HOL-Library.FuncSet HOL-Computational-Algebra.Computational-Algebra begin

1.1 Auxiliary material

lemma card-vimage-inter: **assumes** inj: inj-on f A and subset: $X \subseteq f$ ' A **shows** card $(f - X \cap A) = card X$ proof have card $(f - X \cap A) = card (f (f - X \cap A))$ **by** (subst card-image) (auto intro!: inj-on-subset[OF inj]) also have $f'(f - X \cap A) = X$ using assms by auto finally show ?thesis . qed **lemma** *bij-betw-image-fixed-card-subset*: assumes inj-on f A *bij-betw* $(\lambda X. f ` X)$ $\{X. X \subseteq A \land card X = k\}$ $\{X. X \subseteq f ` A \land card$ shows $X = k\}$ using assms inj-on-subset[OF assms] by (intro bij-betwI[of - - - $\lambda X. f - X \cap A$]) (auto simp: card-image card-vimage-inter) **lemma** *image-image-fixed-card-subset*: assumes inj-on f Ashows $(\lambda X. f ` X) ` \{X. X \subseteq A \land card X = k\} = \{X. X \subseteq f ` A \land card X = k\}$ kusing bij-betw-imp-surj-on[OF bij-betw-image-fixed-card-subset[OF assms, of k]] lemma prod-uminus: $(\prod x \in A. -f x :: 'a :: comm-ring-1) = (-1) \cap card A *$ $(\prod x \in A. f x)$ **by** (*induction A rule: infinite-finite-induct*) (*auto simp: algebra-simps*) theorem prod-sum-PiE: fixes $f :: 'a \Rightarrow 'b \Rightarrow 'c :: comm-semiring-1$ **assumes** finite: finite A and finite: $\bigwedge x. \ x \in A \implies finite \ (B \ x)$ shows $(\prod x \in A. \sum y \in B x. f x y) = (\sum g \in PiE A B. \prod x \in A. f x (g x))$

using assms proof (induction A rule: finite-induct) case empty thus ?case by auto next case (insert x A) have $(\sum g \in Pi_E$ (insert x A) B. $\prod x \in insert x A. f x (g x)) =$

 $(\sum g \in Pi_E (insert \ x \ A) \ B. \ f \ x \ (g \ x) * (\prod x' \in A. \ f \ x' \ (g \ x')))$ $\mathbf{using} \ insert \ \mathbf{by} \ simp$ also have $(\lambda g, \prod x' \in A, f x' (g x')) = (\lambda g, \prod x' \in A, f x' (if x' = x then undefined)$ else q(x')using insert by (intro ext prod.cong) auto also have $(\sum g \in Pi_E (insert \ x \ A) \ B. \ f \ x \ (g \ x) \ * \ \dots \ g) = (\sum (y,g) \in B \ x \ \times \ Pi_E \ A \ B. \ f \ x \ y \ * (\prod x' \in A. \ f \ x' \ (g \ x')))$ using insert.prems insert.hyps by (intro sum.reindex-bij-witness[of - $\lambda(y,g)$). $g(x := y) \lambda g$. (g x, g(x := undefined))]) (auto simp: PiE-def extensional-def) also have ... = $(\sum y \in B x. \sum g \in Pi_E A B. f x y * (\prod x' \in A. f x' (g x')))$ $\mathbf{by} \ (subst \ sum.cartesian-product) \ auto$ also have $\ldots = (\sum y \in B x. f x y) * (\sum g \in Pi_E A B. \prod x' \in A. f x' (g x'))$ using insert by (subst sum.swap) (simp add: sum-distrib-left sum-distrib-right) also have $(\sum g \in Pi_E A B, \prod x' \in A, fx'(gx')) = (\prod x \in A, \sum y \in Bx, fxy)$ using insert.prems by (intro insert.IH [symmetric]) auto also have $(\sum y \in B x. f x y) * \ldots = (\prod x \in insert x A. \sum y \in B x. f x y)$ using insert.hyps by simp finally show ?case .. \mathbf{qed} corollary prod-add: fixes f1 f2 ::: 'a \Rightarrow 'c :: comm-semiring-1 assumes finite: finite A shows $(\prod x \in A. f1 x + f2 x) = (\sum X \in Pow A. (\prod x \in X. f1 x) * (\prod x \in A - X. f2))$ x))proof have $(\prod x \in A. f1 x + f2 x) = (\sum g \in A \rightarrow_E UNIV. \prod x \in A. if g x then f1 x else$ f2 x) using prod-sum-PiE[of A λ -. UNIV :: bool set $\lambda x y$. if y then f1 x else f2 x] assms **by** (*simp-all add: UNIV-bool add-ac*) also have $\ldots = (\sum X \in Pow A. \prod x \in A. if x \in X then f1 x else f2 x)$ by (intro sum.reindex-bij-witness $[of - \lambda X x. if x \in A then x \in X else undefined \lambda P. \{x \in A. P x\}])$ auto also have $\ldots = (\sum X \in Pow A. (\prod x \in X. f1 x) * (\prod x \in A - X. f2 x))$ **proof** (*intro sum.cong refl, goal-cases*) case (1 X)let $?f = \lambda x$. if $x \in X$ then f1 x else f2 x have prod f1 X * prod f2 (A - X) = prod ?f X * prod ?f (A - X)**by** (*intro* arg-cong2[of - - - - (*)] prod.cong) auto also have $\ldots = prod ?f(X \cup (A - X))$ using 1 by (subst prod.union-disjoint) (auto intro: finite-subset[OF - finite]) also have $X \cup (A - X) = A$ using 1 by *auto* finally show ?case .. ged finally show ?thesis . qed

corollary *prod-diff1*: fixes f1 f2 :: 'a \Rightarrow 'c :: comm-ring-1 assumes finite: finite A shows $(\prod x \in A. f1 x - f2 x) = (\sum X \in Pow A. (-1) \cap card X * (\prod x \in X. f2 x))$ * $(\prod x \in A - X. f1 x))$ proof – have $(\prod x \in A. f1 x - f2 x) = (\prod x \in A. -f2 x + f1 x)$ by simp also have $\dots = (\sum X \in Pow A. (\prod x \in X. - f2 x) * prod f1 (A - X))$ by (rule prod-add) fact+ also have $\dots = (\sum X \in Pow A, (-1) \cap card X * (\prod x \in X, f2 x) * prod f1 (A - f2 x))$ X))**by** (*simp add: prod-uminus*) finally show ?thesis . qed **corollary** *prod-diff2*: fixes f1 f2 :: 'a \Rightarrow 'c :: comm-ring-1 assumes finite: finite A shows $(\prod x \in A. f1 x - f2 x) = (\sum X \in Pow A. (-1) \cap (card A - card X) *$ $(\prod x \in X. f1 x) * (\prod x \in A - X. f2 x))$ proof have $(\prod x \in A. f1 x - f2 x) = (\prod x \in A. f1 x + (-f2 x))$ by simp also have $\dots = (\sum X \in Pow A. (\prod x \in X. f1 x) * (\prod x \in A - X. -f2 x))$ by (rule prod-add) fact+ also have $\ldots = (\sum X \in Pow A. (-1) \cap card (A - X) * (\prod x \in X. f1 x) *$ $(\prod x \in A - X. f2 x))$ **by** (*simp add: prod-uminus mult-ac*) also have $\ldots = (\sum X \in Pow A. (-1) \cap (card A - card X) * (\prod x \in X. f1 x) *$ $(\prod x \in A - X. f2 x))$ using finite-subset[OF - assms] by (intro sum.cong refl, subst card-Diff-subset) autofinally show ?thesis . qed

1.2 Main proofs

Our goal is to determine the coefficients of some fully factored polynomial $p(X) = c(X - x_1) \dots (X - x_n)$ in terms of the x_i . It is clear that it is sufficient to consider monic polynomials (i.e. c = 1), since the general case follows easily from this one.

We start off by expanding the product over the linear factors:

lemma *poly-from-roots*:

fixes $f :: a \Rightarrow b :: comm-ring-1$ assumes fin: finite A shows $(\prod x \in A. [:-fx, 1:]) = (\sum X \in Pow A. monom ((-1) \cap card X * (\prod x \in X. fx)) (card (A - X)))$ proof have $(\prod x \in A. [:-f x, 1:]) = (\prod x \in A. [:0, 1:] - [:f x:])$ by simp also have $\ldots = (\sum X \in Pow A. (-1) \cap card X * (\prod x \in X. [:f x:]) * monom 1$ (card (A - X)))using fin by (subst prod-diff1) (auto simp: monom-altdef mult-ac) also have $\ldots = (\sum X \in Pow A. monom ((-1) \cap card X * (\prod x \in X. f x)) (card$ (A - X)))**proof** (*intro sum.cong refl, goal-cases*) case (1 X)have $(-1 :: 'b \ poly) \ \widehat{} \ card \ X = [:(-1) \ \widehat{} \ card \ X:]$ by (induction X rule: infinite-finite-induct) (auto simp: one-pCons algebra-simps) moreover have $(\prod x \in X. [:f x:]) = [:\prod x \in X. f x:]$ **by** (*induction X rule: infinite-finite-induct*) *auto* ultimately show ?case by (simp add: smult-monom) qed finally show ?thesis . qed

Comparing coefficients yields Vieta's formula:

theorem coeff-poly-from-roots: fixes $f :: 'a \Rightarrow 'b :: comm-ring-1$ **assumes** fin: finite A and k: $k \leq card A$ shows $coeff (\prod x \in A. [:-f x, 1:]) k = (-1) \cap (card A - k) * (\sum X \mid X \subseteq A \land card X = card A - k. (\prod x \in X.))$ f(x)proof have $(\prod x \in A. [:-fx, 1:]) = (\sum X \in Pow A. monom ((-1) \cap card X * (\prod x \in X.)))$ f(x)) (card (A - X))) by (*intro poly-from-roots fin*) also have coeff ... $k = (\sum X \mid X \subseteq A \land card X = card A - k. (-1) \land (card$ A - k * ($\prod x \in X. f x$)) **unfolding** coeff-sum coeff-monom **using** finite-subset[OF - fin] k card-mono[OF fin] by (intro sum.mono-neutral-cong-right) (auto simp: card-Diff-subset) also have $\ldots = (-1) \cap (card A - k) * (\sum X \mid X \subseteq A \land card X = card A - k)$. $(\prod x \in X. f x))$ by (simp add: sum-distrib-left) finally show ?thesis . qed

If the roots are all distinct, we can get the following alternative representation:

corollary coeff-poly-from-roots': **fixes** $f :: a \Rightarrow b :: comm-ring-1$ **assumes** fin: finite A and inj: inj-on f A and k: $k \leq card A$ **shows** coeff $(\prod x \in A. [:-fx, 1:]) k = (-1) \cap (card A - k) * (\sum X \mid X \subseteq f \land A \land card X = card A - k. \prod X)$ $\begin{array}{l} \mathbf{proof} - \\ \mathbf{have} \ coeff \ (\prod x \in A. \ [:-f \ x, \ 1:]) \ k = \\ (-1) \ (card \ A - k) \ \ast (\sum X \mid X \subseteq A \land card \ X = card \ A - k. \ (\prod x \in X. \ f \ x)) \\ \mathbf{by} \ (intro \ coeff-poly-from-roots \ assms) \\ \mathbf{also} \ \mathbf{have} \ (\sum X \mid X \subseteq A \land card \ X = card \ A - k. \ (\prod x \in X. \ f \ x)) = \\ (\sum X \mid X \subseteq A \land card \ X = card \ A - k. \ (\prod x \in X. \ f \ x)) \\ \mathbf{by} \ (intro \ sum.cong \ refl, \ subst \ prod.reindex) \ (auto \ intro: \ inj-on-subset[OF \ inj]) \\ \mathbf{also} \ \mathbf{have} \ \ldots \ = (\sum X \in (\lambda X. \ f'X) \ ` \{X. \ X \subseteq A \land card \ X = card \ A - k\}. \ (II \ X) \\ \mathbf{by} \ (subst \ sum.reindex) \ (auto \ introl: \ inj-on-subset[OF \ inj]) \\ \mathbf{also} \ \mathbf{have} \ (\lambda X. \ f \ X) \ ` \{X. \ X \subseteq A \land card \ X = card \ A - k\} = \{X. \ X \subseteq f \ A \land card \ X = card \ A - k\} \\ \mathbf{by} \ (intro \ image-image-fixed-card-subset \ inj) \\ \mathbf{finally \ show} \ ?thesis \ . \end{array}$

end

2 Symmetric Polynomials

theory Symmetric-Polynomials imports Vieta Polynomials.More-MPoly-Type HOL-Combinatorics.Permutations begin

2.1 Auxiliary facts

An infinite set has infinitely many infinite subsets.

lemma *infinite-infinite-subsets*: assumes infinite A **shows** infinite $\{X, X \subseteq A \land infinite X\}$ proof have $\forall k. \exists X. X \subseteq A \land infinite X \land card (A - X) = k$ for k :: natproof fix k :: nat obtain Y where finite Y card $Y = k \ Y \subseteq A$ using infinite-arbitrarily-large of A k assms by auto moreover from this have A - (A - Y) = Y by auto ultimately show $\exists X. X \subseteq A \land infinite X \land card (A - X) = k$ using assms by (intro exI[of - A - Y]) auto qed **from** choice[OF this] **obtain** fwhere $f: \bigwedge k. f k \subseteq A \land infinite (f k) \land card (A - f k) = k$ by blast have k = l if f k = f l for k l**proof** (*rule ccontr*) assume $k \neq l$ hence card $(A - f k) \neq card (A - f l)$

using f[of k] f[of l] by auto with $\langle f k = f l \rangle$ show False by simp qed hence inj f by (auto intro: injI) moreover have range $f \subseteq \{X. X \subseteq A \land infinite X\}$ using f by auto ultimately show ?thesis by (subst infinite-iff-countable-subset) auto qed

An infinite set contains infinitely many finite subsets of any fixed nonzero cardinality.

```
lemma infinite-card-subsets:
 assumes infinite A \ k > 0
 shows infinite \{X, X \subseteq A \land finite X \land card X = k\}
proof –
 obtain B where B: B \subseteq A finite B card B = k - 1
   using infinite-arbitrarily-large [OF assms(1), of k - 1] by blast
 define f where f = (\lambda x. insert \ x \ B)
 have f'(A - B) \subset \{X, X \subset A \land finite X \land card X = k\}
   using assms B by (auto simp: f-def)
 moreover have inj-on f(A - B)
   by (auto introl: inj-onI simp: f-def)
 hence infinite (f (A - B))
   using assms B by (subst finite-image-iff) auto
 ultimately show ?thesis
   by (rule infinite-super)
qed
lemma comp-bij-eq-iff:
 assumes bij f
 shows g \circ f = h \circ f \longleftrightarrow g = h
proof
 assume *: g \circ f = h \circ f
 show q = h
 proof
   fix x
   obtain y where [simp]: x = f y using bij-is-surj[OF assms] by auto
   have (g \circ f) \ y = (h \circ f) \ y by (simp only: *)
   thus g x = h x by simp
 qed
qed auto
lemma sum-list-replicate [simp]:
 sum-list (replicate n x) = of-nat n * (x :: 'a :: semiring-1)
 by (induction n) (auto simp: algebra-simps)
```

lemma ex-subset-of-card: assumes finite A card $A \ge k$

```
shows \exists B. B \subseteq A \land card B = k
  using assms
proof (induction arbitrary: k rule: finite-induct)
  case empty
  thus ?case by auto
next
  case (insert x \land k)
 show ?case
 proof (cases k = 0)
   case True
   thus ?thesis by (intro exI[of - \{\}]) auto
 \mathbf{next}
   case False
   from insert have \exists B \subseteq A. card B = k - 1 by (intro insert.IH) auto
   then obtain B where B: B \subseteq A card B = k - 1 by auto
   with insert have [simp]: x \notin B by auto
   have insert x B \subseteq insert x A
     using B insert by auto
   moreover have card (insert x B) = k
     using insert B finite-subset[of B A] False by (subst card.insert-remove) auto
   ultimately show ?thesis by blast
 \mathbf{qed}
qed
```

lemma length-sorted-list-of-set [simp]: length (sorted-list-of-set A) = card Ausing distinct-card[of sorted-list-of-set A] by (cases finite A) simp-all

lemma upt-add-eq-append': $i \le j \Longrightarrow j \le k \Longrightarrow [i..<k] = [i..<j] @ [j..<k]$ using upt-add-eq-append[of i j k - j] by simp

2.2 Subrings and ring homomorphisms

locale ring-closed = **fixes** A :: 'a :: comm-ring-1 set **assumes** zero-closed [simp]: $0 \in A$ **assumes** one-closed [simp]: $1 \in A$ **assumes** add-closed [simp]: $x \in A \implies y \in A \implies (x + y) \in A$ **assumes** mult-closed [simp]: $x \in A \implies y \in A \implies (x * y) \in A$ **assumes** uminus-closed [simp]: $x \in A \implies -x \in A$ **begin**

lemma minus-closed [simp]: $x \in A \implies y \in A \implies x - y \in A$ using add-closed[of x - y] uminus-closed[of y] by auto

lemma sum-closed [intro]: $(\bigwedge x. \ x \in X \Longrightarrow f \ x \in A) \Longrightarrow$ sum $f \ X \in A$ by (induction X rule: infinite-finite-induct) auto

lemma power-closed [intro]: $x \in A \implies x \cap n \in A$ by (induction n) auto **lemma** Sum-any-closed [intro]: $(\bigwedge x. f x \in A) \Longrightarrow$ Sum-any $f \in A$ unfolding Sum-any.expand-set by (rule sum-closed)

lemma prod-closed [intro]: $(\bigwedge x. x \in X \Longrightarrow f x \in A) \Longrightarrow$ prod $f X \in A$ by (induction X rule: infinite-finite-induct) auto

lemma Prod-any-closed [intro]: $(\bigwedge x. f x \in A) \implies$ Prod-any $f \in A$ unfolding Prod-any.expand-set by (rule prod-closed)

lemma prod-fun-closed [intro]: $(\bigwedge x. f x \in A) \Longrightarrow (\bigwedge x. g x \in A) \Longrightarrow$ prod-fun f g $x \in A$

by (auto simp: prod-fun-def when-def introl: Sum-any-closed mult-closed)

lemma of-nat-closed [simp, intro]: of-nat $n \in A$ by (induction n) auto

lemma of-int-closed [simp, intro]: of-int $n \in A$ by (induction n) auto

end

```
locale ring-homomorphism =

fixes f :: 'a :: comm-ring-1 \Rightarrow 'b :: comm-ring-1

assumes add[simp]: f (x + y) = f x + f y

assumes uminus[simp]: f (-x) = -f x

assumes mult[simp]: f (x * y) = f x * f y

assumes zero[simp]: f 0 = 0

assumes one [simp]: f 1 = 1

begin
```

lemma diff [simp]: f(x - y) = fx - fyusing add[of x - y] by $(simp \ del: \ add)$

lemma power [simp]: $f(x \cap n) = f(x \cap n)$ by (induction n) auto

lemma sum [simp]: f (sum g A) = ($\sum x \in A$. f (g x)) **by** (induction A rule: infinite-finite-induct) auto

lemma prod [simp]: f (prod g A) = ($\prod x \in A$. f (g x)) by (induction A rule: infinite-induct) auto

\mathbf{end}

lemma ring-homomorphism-id [intro]: ring-homomorphism id **by** standard auto

lemma ring-homomorphism-id' [intro]: ring-homomorphism ($\lambda x. x$)

by standard auto

lemma ring-homomorphism-of-int [intro]: ring-homomorphism of-int **by** standard auto

2.3 Various facts about multivariate polynomials

lemma poly-mapping-nat-ge-0 [simp]: $(m :: nat \Rightarrow_0 nat) \ge 0$ **proof** (cases m = 0) **case** False **hence** Poly-Mapping.lookup $m \ne$ Poly-Mapping.lookup 0 **by** transfer auto **hence** $\exists k.$ Poly-Mapping.lookup $m \ k \ne 0$ **by** (auto simp: fun-eq-iff) **from** LeastI-ex[OF this] Least-le[of $\lambda k.$ Poly-Mapping.lookup $m \ k \ne 0$] **show** ?thesis **by** (force simp: less-eq-poly-mapping-def less-fun-def)

qed auto

lemma poly-mapping-nat-le-0 [simp]: $(m :: nat \Rightarrow_0 nat) \leq 0 \leftrightarrow m = 0$ **unfolding** less-eq-poly-mapping-def poly-mapping-eq-iff less-fun-def by auto

lemma of-nat-diff-poly-mapping-nat:

assumes $m \ge n$ shows of-nat $(m - n) = (of-nat \ m - of-nat \ n :: 'a :: monoid-add <math>\Rightarrow_0 nat)$ by (auto intro!: poly-mapping-eqI simp: lookup-of-nat lookup-minus when-def)

lemma mpoly-coeff-transfer [transfer-rule]: rel-fun cr-mpoly (=) poly-mapping.lookup MPoly-Type.coeff **unfolding** MPoly-Type.coeff-def **by** transfer-prover

lemma mapping-of-sum: $(\sum x \in A. mapping-of (f x)) = mapping-of (sum f A)$ by (induction A rule: infinite-finite-induct) (auto simp: plus-mpoly.rep-eq zero-mpoly.rep-eq)

lemma mapping-of-eq-0-iff [simp]: mapping-of $p = 0 \iff p = 0$ by transfer auto

lemma Sum-any-mapping-of: Sum-any $(\lambda x. mapping-of (fx)) = mapping-of (Sum-any f)$

by (simp add: Sum-any.expand-set mapping-of-sum)

lemma Sum-any-parametric-cr-mpoly [transfer-rule]: (rel-fun (rel-fun (=) cr-mpoly) cr-mpoly) Sum-any Sum-any by (auto simp: rel-fun-def cr-mpoly-def Sum-any-mapping-of)

lemma lookup-mult-of-nat [simp]: lookup (of-nat n * m) k = n * lookup m k **proof** – **have** of-nat $n * m = (\sum i < n. m)$ **by** simp **also have** lookup ... $k = (\sum i < n. lookup m k)$ **by** (simp only: lookup-sum) **also have** ... = n * lookup m k

by simp finally show ?thesis . qed lemma *mpoly-eqI*: assumes $\land mon$. MPoly-Type.coeff p mon = MPoly-Type.coeff q mon shows p = qusing assms by (transfer, transfer) (auto simp: fun-eq-iff) **lemma** coeff-mpoly-times: MPoly-Type.coeff(p * q) mon = prod-fun(MPoly-Type.coeffp)(MPoly-Type.coeffq) mon**by** (transfer', transfer') auto **lemma** (in *ring-closed*) *coeff-mult-closed* [*intro*]: $(\bigwedge x. \ coeff \ p \ x \in A) \Longrightarrow (\bigwedge x. \ coeff \ q \ x \in A) \Longrightarrow coeff \ (p * q) \ x \in A$ **by** (*auto simp: coeff-mpoly-times prod-fun-closed*) **lemma** coeff-notin-vars: assumes $\neg(keys \ m \subseteq vars \ p)$ shows coeff $p \ m = 0$ using assms unfolding vars-def by transfer' (auto simp: in-keys-iff) **lemma** finite-coeff-support [intro]: finite $\{m. \text{ coeff } p \ m \neq 0\}$ by transfer simp **lemma** insertion-altdef: insertion f p = Sum-any (λm . coeff p m * Prod-any (λi . $f i \cap lookup m i$)) by (transfer', transfer') (simp add: insertion-fun-def) **lemma** mpoly-coeff-uninus [simp]: coeff (-p) m = -coeff p mby transfer auto **lemma** Sum-any-uminus: Sum-any $(\lambda x. -f x :: a :: ab-group-add) = -Sum-any f$ **by** (*simp add: Sum-any.expand-set sum-negf*) **lemma** insertion-uninus [simp]: insertion f(-p :: 'a :: comm-ring-1 mpoly) =-insertion f pby (simp add: insertion-altdef Sum-any-uminus) **lemma** Sum-any-lookup: finite $\{x. g \ x \neq 0\} \Longrightarrow$ Sum-any $(\lambda x. lookup (g x) y) =$ lookup (Sum-any g) yby (auto simp: Sum-any.expand-set lookup-sum introl: sum.mono-neutral-left) lemma Sum-any-diff: assumes finite $\{x. f x \neq 0\}$ assumes finite $\{x. g \ x \neq 0\}$ shows Sum-any $(\lambda x. f x - g x :: 'a :: ab-group-add) = Sum-any f - Sum-any$ g

proof – **have** $\{x. f x - g x \neq 0\} \subseteq \{x. f x \neq 0\} \cup \{x. g x \neq 0\}$ **by** *auto* **moreover have** *finite* $(\{x. f x \neq 0\} \cup \{x. g x \neq 0\})$ **by** (*subst finite-Un*) (*insert assms, auto*) **ultimately have** *finite* $\{x. f x - g x \neq 0\}$ **by** (*rule finite-subset*) **with** *assms* **show** ?*thesis* **by** (*simp add: algebra-simps Sum-any.distrib* [*symmetric*]) **ged**

lemma insertion-diff: insertion f(p - q :: a :: comm-ring-1 mpoly) = insertion f p - insertion f q**proof** (*transfer*, *transfer*) fix $f :: nat \Rightarrow 'a$ and $p q :: (nat \Rightarrow_0 nat) \Rightarrow 'a$ **assume** fin: finite $\{x. p \ x \neq 0\}$ finite $\{x. q \ x \neq 0\}$ have insertion-fun f (λx . p x - q x) = $(\sum m. p \ m * (\prod v. f \ v \ \widehat{} \ lookup \ m \ v) - q \ m * (\prod v. f \ v \ \widehat{} \ lookup \ m \ v))$ by (simp add: insertion-fun-def algebra-simps Sum-any-diff) also have ... = $(\sum m. \ p \ m * (\prod v. \ f \ v \ \widehat{} \ lookup \ m \ v)) - (\sum m. \ q \ m * (\prod v. \ f \ v \ \widehat{} \ lookup \ m \ v))$ (ookup m v))by (subst Sum-any-diff) (auto intro: finite-subset[OF - fin(1)] finite-subset[OF- fin(2)]) also have \ldots = insertion-fun f p - insertion-fun f qby (simp add: insertion-fun-def) finally show insertion-fun f $(\lambda x. p x - q x) = \dots$. qed

lemma insertion-power: insertion $f(p \cap n) = insertion f p \cap n$ by (induction n) (simp-all add: insertion-mult)

lemma insertion-sum: insertion f (sum g A) = ($\sum x \in A$. insertion f (g x)) by (induction A rule: infinite-finite-induct) (auto simp: insertion-add)

lemma insertion-prod: insertion f (prod g A) = ($\prod x \in A$. insertion f (g x)) by (induction A rule: infinite-finite-induct) (auto simp: insertion-mult)

lemma coeff-Var: coeff (Var i) m = (1 when m = Poly-Mapping.single i 1)by transfer' (auto simp: Var₀-def lookup-single when-def)

lemma vars-Var: vars (Var $i :: 'a :: \{one, zero\}$ mpoly) = (if (0::'a) = 1 then {} else {i})

unfolding vars-def **by** (auto simp: Var.rep-eq Var_0-def)

lemma insertion-Var [simp]: insertion f(Var i) = fi **proof** – **have** insertion $f(Var i) = (\sum m. (1 \text{ when } m = Poly-Mapping.single i 1) *$ $<math>(\prod i. fi^{\circ} lookup m i))$ **by** (simp add: insertion-altdef coeff-Var)

also have $\ldots = (\prod j. f j \cap lookup (Poly-Mapping.single i 1) j)$

 $\begin{array}{l} \mathbf{by} \; (subst\; Sum-any.expand-superset[of\; \{Poly-Mapping.single\; i\; 1\}])\; (auto\; simp: when-def) \\ \mathbf{also\; have\; \ldots \; = f\; i} \\ \mathbf{by}\; (subst\; Prod-any.expand-superset[of\; \{i\}])\; (auto\; simp:\; when-def\; lookup-single) \\ \mathbf{finally\; show}\; ?thesis\; . \\ \mathbf{qed} \end{array}$

lemma insertion-Sum-any: **assumes** finite $\{x. g \ x \neq 0\}$ **shows** insertion f (Sum-any g) = Sum-any (λx . insertion f (g x)) **unfolding** Sum-any.expand-set insertion-sum **by** (intro sum.mono-neutral-right) (auto intro!: finite-subset[OF - assms])

lemma keys-diff-subset: keys $(f - g) \subseteq$ keys $f \cup$ keys gby transfer auto

lemma keys-empty-iff [simp]: keys $p = \{\} \iff p = 0$ by transfer auto

lemma mpoly-coeff-0 [simp]: MPoly-Type.coeff 0 m = 0by transfer auto

lemma lookup-1: lookup 1 m = (if m = 0 then 1 else 0)by transfer (simp add: when-def)

lemma mpoly-coeff-1: MPoly-Type.coeff 1 m = (if m = 0 then 1 else 0)by (simp add: MPoly-Type.coeff-def one-mpoly.rep-eq lookup-1)

lemma lookup- $Const_0$: lookup ($Const_0$ c) m = (if m = 0 then c else 0)unfolding $Const_0$ -def by (simp add: lookup-single when-def)

lemma mpoly-coeff-Const: MPoly-Type.coeff (Const c) m = (if m = 0 then c else 0)

by (simp add: MPoly-Type.coeff-def Const.rep-eq lookup-Const₀)

lemma coeff-smult [simp]: coeff (smult c p) m = (c :: 'a :: mult-zero) * coeff <math>p mby transfer (auto simp: map-lookup)

lemma in-keys-mapI: $x \in keys \ m \Longrightarrow f$ (lookup $m \ x) \neq 0 \Longrightarrow x \in keys$ (Poly-Mapping.map $f \ m$)

by transfer auto

lemma keys-uminus [simp]: keys (-m) = keys mby transfer auto

lemma vars-uninus [simp]: vars (-p) = vars punfolding vars-def by transfer' auto **lemma** vars-smult: vars (smult c p) \subseteq vars punfolding vars-def by (transfer', transfer') auto **lemma** vars- θ [simp]: vars $\theta = \{\}$ **unfolding** vars-def **by** transfer' simp **lemma** vars-1 [simp]: vars $1 = \{\}$ unfolding vars-def by transfer' simp **lemma** vars-sum: vars (sum f A) \subseteq ($\bigcup x \in A$. vars (f x)) using vars-add by (induction A rule: infinite-finite-induct) auto **lemma** vars-prod: vars (prod f A) \subseteq ($\bigcup x \in A$. vars (f x)) using vars-mult by (induction A rule: infinite-finite-induct) auto **lemma** vars-Sum-any: vars (Sum-any h) \subseteq ($\bigcup i$. vars (h i)) **unfolding** Sum-any.expand-set **by** (intro order.trans[OF vars-sum]) auto **lemma** vars-Prod-any: vars (Prod-any h) \subseteq ($\bigcup i$. vars (h i)) **unfolding** Prod-any.expand-set by (intro order.trans[OF vars-prod]) auto **lemma** vars-power: vars $(p \ \widehat{} n) \subseteq vars p$ using vars-mult by (induction n) auto **lemma** vars-diff: vars $(p1 - p2) \subseteq vars \ p1 \cup vars \ p2$ unfolding vars-def **proof** transfer' **fix** $p1 \ p2 :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a$ show \bigcup (keys 'keys (p1 - p2)) $\subseteq \bigcup$ (keys '(keys p1)) $\cup \bigcup$ (keys '(keys p2)) using keys-diff-subset[of p1 p2] by (auto simp flip: not-in-keys-iff-lookup-eq-zero) qed **lemma** insertion-smult [simp]: insertion f (smult c p) = c * insertion f punfolding insertion-altdef **by** (*subst Sum-any-right-distrib*) (auto intro: finite-subset[OF - finite-coeff-support[of p]] simp: mult.assoc) **lemma** coeff-add [simp]: coeff (p + q) m = coeff p m + coeff q mby transfer' (simp add: lookup-add) **lemma** coeff-diff [simp]: coeff (p - q) m = coeff p m - coeff q m**by** transfer' (simp add: lookup-minus) **lemma** insertion-monom [simp]: insertion f (monom m c) = $c * Prod-any (\lambda x. f x \cap lookup m x)$ proof – have insertion f (monom m c) = $(\sum m'. (c \text{ when } m = m') * (\prod v. f v \cap lookup m' v))$ by (simp add: insertion-def insertion-aux-def insertion-fun-def lookup-single)

also have $\ldots = c * (\prod v. f v \cap lookup m v)$ by (subst Sum-any.expand-superset[of $\{m\}$]) (auto simp: when-def) finally show ?thesis . qed **lemma** insertion-aux-Const₀ [simp]: insertion-aux f (Const₀ c) = cproof have insertion-aux f (Const₀ c) = ($\sum m$. (c when m = 0) * ($\prod v$. $fv \cap lookup$ m v))**by** (*simp add: Const*₀-*def insertion-aux-def insertion-fun-def lookup-single*) also have $\ldots = (\sum m \in \{0\}, (c \text{ when } m = 0) * (\prod v, f v \cap lookup m v))$ **by** (*intro* Sum-any.expand-superset) (*auto* simp: when-def) also have $\ldots = c$ by simpfinally show ?thesis . qed **lemma** insertion-Const [simp]: insertion f (Const c) = c**by** (*simp add: insertion-def Const.rep-eq*) **lemma** coeffs- θ [simp]: coeffs $\theta = \{\}$ by transfer auto **lemma** coeffs-1 [simp]: coeffs $1 = \{1\}$ by transfer auto **lemma** coeffs-Const: coeffs (Const c) = (if c = 0 then {} else {c}) **unfolding** Const-def Const₀-def by transfer' auto **lemma** coeffs-subset: coeffs (Const c) $\subseteq \{c\}$ **by** (*auto simp*: *coeffs-Const*) **lemma** keys-Const₀: keys (Const₀ c) = (if c = 0 then {} else {0}) unfolding $Const_0$ -def by transfer' auto **lemma** vars-Const [simp]: vars (Const c) = $\{\}$ **unfolding** vars-def by transfer' (auto simp: keys-Const₀) **lemma** prod-fun-compose-bij: **assumes** bij f and f: $\bigwedge x y$. f (x + y) = f x + f y**shows** prod-fun m1 m2 $(f x) = prod-fun (m1 \circ f) (m2 \circ f) x$ proof – have $[simp]: f x = f y \leftrightarrow x = y$ for x yusing $\langle bij f \rangle$ by (auto dest!: bij-is-inj inj-onD) have prod-fun $(m1 \circ f) (m2 \circ f) x =$ Sum-any $((\lambda l. m1 \ l * Sum-any \ ((\lambda q. m2 \ q \ when \ f \ x = l + q) \circ f)) \circ f)$ by (simp add: prod-fun-def f(1) [symmetric] o-def) also have $\ldots = Sum - any ((\lambda l. m1 \ l * Sum - any ((\lambda q. m2 \ q \ when \ f \ x = l + q))))$ by (simp only: Sum-any.reindex-cong[OF assms(1) refl, symmetric]) also have $\ldots = prod-fun \ m1 \ m2 \ (f \ x)$

by (simp add: prod-fun-def) finally show ?thesis .. qed **lemma** add-nat-poly-mapping-zero-iff [simp]: $(a + b :: a \Rightarrow_0 nat) = 0 \iff a = 0 \land b = 0$ by transfer (auto simp: fun-eq-iff) **lemma** prod-fun-nat-0: fixes $fg :: ('a \Rightarrow_0 nat) \Rightarrow 'b::semiring-0$ shows prod-fun $f g \ \theta = f \ \theta * g \ \theta$ proof have prod-fun $f g \ 0 = (\sum l. \ f \ l * (\sum q. \ g \ q \ when \ 0 = l + q))$ unfolding prod-fun-def .. also have $(\lambda l. \sum q. g q when \theta = l + q) = (\lambda l. \sum q \in \{0\}. g q when \theta = l + q)$ by (intro ext Sum-any.expand-superset) (auto simp: when-def) also have $(\sum l. f l * \dots l) = (\sum l \in \{0\}, f l * \dots l)$ by (intro ext Sum-any.expand-superset) (auto simp: when-def) finally show ?thesis by simp qed

lemma mpoly-coeff-times-0: coeff (p * q) 0 = coeff p 0 * coeff q 0by (simp add: coeff-mpoly-times prod-fun-nat-0)

lemma mpoly-coeff-prod-0: coeff $(\prod x \in A. f x) \ 0 = (\prod x \in A. coeff (f x) 0)$ by (induction A rule: infinite-finite-induct) (auto simp: mpoly-coeff-times-0 mpoly-coeff-1)

lemma *mpoly-coeff-power-0*: *coeff* $(p \ n) \ 0 = coeff \ p \ 0 \ n$ **by** (*induction* n) (*auto simp: mpoly-coeff-times-0 mpoly-coeff-1*)

lemma prod-fun-max:

fixes $fg :: 'a::{linorder, ordered-cancel-comm-monoid-add} \Rightarrow 'b::semiring-0$ assumes zero: $\bigwedge m. m > a \Longrightarrow f m = 0 \bigwedge m. m > b \Longrightarrow g m = 0$ **assumes** fin: finite $\{m, f m \neq 0\}$ finite $\{m, g m \neq 0\}$ **shows** prod-fun f g (a + b) = f a * g bproof **note** fin' = finite-subset[OF - fin(1)] finite-subset[OF - fin(2)]have prod-fun $f g (a + b) = (\sum l. f l * (\sum q. g q when a + b = l + q))$ **by** (simp add: prod-fun-def Sum-any-right-distrib) also have $\ldots = (\sum l \sum q f l * g q when a + b = l + q)$ by (subst Sum-any-right-distrib) (auto introl: Sum-any.cong fin'(2) simp: when-def) also { fix l q assume lq: a + b = l + q $(a, b) \neq (l, q)$ and $nz: f l * g q \neq 0$ from nz and zero have $l \leq a q \leq b$ by (auto intro: leI) moreover from this and lq(2) have $l < a \lor q < b$ by auto ultimately have l + q < a + b**by** (*auto intro: add-less-le-mono add-le-less-mono*) with lq(1) have False by simp }

hence $(\sum l. \sum q. f l * g q when a + b = l + q) = (\sum l. \sum q. f l * g q when (a, b))$ b) = (l, q))**by** (*intro Sum-any.cong refl*) (*auto simp: when-def*) **also have** ... = $(\sum (l,q), f \ l * g \ q \ when \ (a, b) = (l, q))$ **by** (intro Sum-any.cartesian-product [of $\{(a, b)\}$]) auto also have ... = $(\sum (l,q) \in \{(a,b)\}, f \ l * g \ q \ when \ (a, b) = (l, q))$ by (intro Sum-any.expand-superset) auto also have $\ldots = f a * g b$ by simpfinally show ?thesis . \mathbf{qed} **lemma** prod-fun-gt-max-eq-zero: **fixes** $fg :: 'a::\{linorder, ordered-cancel-comm-monoid-add\} \Rightarrow 'b::semiring-0$ assumes m > a + bassumes zero: $\bigwedge m. m > a \Longrightarrow f m = 0 \bigwedge m. m > b \Longrightarrow g m = 0$ **assumes** fin: finite $\{m, f \ m \neq 0\}$ finite $\{m, g \ m \neq 0\}$ shows prod-fun f g m = 0proof **note** fin' = finite-subset[OF - fin(1)] finite-subset[OF - fin(2)]have prod-fun $f g m = (\sum l. f l * (\sum q. g q when m = l + q))$ **by** (simp add: prod-fun-def Sum-any-right-distrib) also have $\ldots = (\sum l \sum q f l * g q when m = l + q)$ by (subst Sum-any-right-distrib) (auto introl: Sum-any.cong fin'(2) simp: when-def) also { fix l q assume lq: m = l + q and $nz: f l * g q \neq 0$ from nz and zero have $l \leq a q \leq b$ by (auto intro: leI) hence $l + q \leq a + b$ by (intro add-mono) also have $\ldots < m$ by fact finally have l + q < m. hence $(\sum l. \sum q. f l * g q when m = l + q) = (\sum l. \sum q. f l * g q when False)$ by (intro Sum-any.cong refl) (auto simp: when-def) also have $\ldots = 0$ by simpfinally show ?thesis . qed

2.4 Restricting a monomial to a subset of variables

lift-definition restrict $pm :: 'a \ set \Rightarrow ('a \Rightarrow_0 'b :: zero) \Rightarrow ('a \Rightarrow_0 'b)$ is $\lambda A \ f \ x. \ if \ x \in A \ then \ f \ x \ else \ 0$ by (erule finite-subset[rotated]) auto

lemma lookup-restrictpm: lookup (restrictpm A m) $x = (if x \in A then lookup m x else 0)$

by transfer auto

lemma lookup-restrict
pm-in [simp]: $x \in A \Longrightarrow$ lookup (restrict
pm A m) x = lookup m x

and lookup-restrict-pm-not-in [simp]: $x \notin A \Longrightarrow$ lookup (restrictpm A m) x = 0

by (*simp-all add: lookup-restrictpm*)

lemma keys-restrictpm [simp]: keys (restrictpm A m) = keys $m \cap A$ by transfer auto

lemma restrict
pm-add: restrictpmX (m1 + m2) = restrictpm
 X m1 + restrictpmX m2

by transfer auto

lemma restrictpm-id [simp]: keys $m \subseteq X \implies$ restrictpm X m = mby transfer (auto simp: fun-eq-iff)

lemma restrictpm-orthogonal [simp]: keys $m \subseteq -X \implies$ restrictpm X m = 0by transfer (auto simp: fun-eq-iff)

lemma restrictpm-add-disjoint:

 $X \cap Y = \{\} \implies restrictpm \ X \ m + restrictpm \ Y \ m = restrictpm \ (X \cup Y) \ m$ by transfer (auto simp: fun-eq-iff)

lemma restrictpm-add-complements:

restrictpm X m + restrictpm (-X) m = m restrictpm (-X) m + restrictpm X m = m

by (*subst restrictpm-add-disjoint*; *force*)+

2.5 Mapping over a polynomial

lift-definition map-mpoly :: $('a :: zero \Rightarrow 'b :: zero) \Rightarrow 'a mpoly \Rightarrow 'b mpoly is <math>\lambda(f :: 'a \Rightarrow 'b) \ (p :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a). Poly-Mapping.map f p$.

lift-definition mapm-mpoly :: $((nat \Rightarrow_0 nat) \Rightarrow 'a :: zero \Rightarrow 'b :: zero) \Rightarrow 'a mpoly$ $<math>\Rightarrow 'b mpoly$ is

 $\begin{array}{l} \lambda(f :: (nat \Rightarrow_0 nat) \Rightarrow 'a \Rightarrow 'b) \ (p :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a). \\ Poly-Mapping.mapp \ f \ p \ . \end{array}$

lemma poly-mapping-map-conv-mapp: Poly-Mapping.map $f = Poly-Mapping.mapp (\lambda -. f)$

by (auto simp: Poly-Mapping.mapp-def Poly-Mapping.map-def map-fun-def o-def fun-eq-iff when-def in-keys-iff cong: if-cong)

lemma map-mpoly-conv-mapm-mpoly: map-mpoly $f = mapm-mpoly (\lambda -. f)$ by transfer' (auto simp: poly-mapping-map-conv-mapp)

lemma map-mpoly-comp: $f \ 0 = 0 \implies$ map-mpoly $f (map-mpoly \ g \ p) = map-mpoly (f \circ g) \ p$

by (transfer', transfer') (auto simp: when-def fun-eq-iff)

lemma *mapp-mapp*:

 $(\bigwedge x. f x \ 0 = 0) \Longrightarrow Poly-Mapping.mapp f (Poly-Mapping.mapp g m) = Poly-Mapping.mapp (\lambda x y. f x (g x y)) m$

by transfer' (auto simp: fun-eq-iff lookup-mapp in-keys-iff)

lemma *mapm-mpoly-comp*:

 $(\bigwedge x. f x \ 0 = 0) \Longrightarrow$ mapm-moly f (mapm-moly g p) = mapm-moly $(\lambda m \ c. f m \ (g \ m \ c)) p$

by transfer' (simp add: mapp-mapp)

lemma coeff-map-mpoly: coeff (map-mpoly f p) m = (if coeff p m = 0 then 0 else f (coeff p m))by (transfer, transfer) auto

lemma coeff-map-mpoly' [simp]: $f \ 0 = 0 \implies coeff$ (map-mpoly $f \ p$) m = f (coeff $p \ m$)

by (subst coeff-map-mpoly) auto

lemma coeff-mapm-mpoly: coeff (mapm-mpoly f p) m = (if coeff p m = 0 then 0 else <math>f m (coeff p m))

by (transfer, transfer') (auto simp: in-keys-iff)

lemma coeff-mapm-mpoly' [simp]: $(\bigwedge m. f m \ 0 = 0) \Longrightarrow$ coeff (mapm-mpoly f p) m = f m (coeff p m) **by** (subst coeff-mapm-mpoly) auto

lemma vars-map-mpoly-subset: vars (map-mpoly f p) \subseteq vars punfolding vars-def by (transfer', transfer') (auto simp: map-mpoly.rep-eq)

lemma coeff-sum [simp]: coeff (sum f A) $m = (\sum x \in A. \text{ coeff } (f x) m)$ by (induction A rule: infinite-finite-induct) auto

lemma coeff-Sum-any: finite $\{x. f x \neq 0\} \implies$ coeff (Sum-any f) m = Sum-any $(\lambda x. \text{ coeff } (f x) m)$

by (auto simp add: Sum-any.expand-set intro!: sum.mono-neutral-right)

lemma Sum-any-zeroI: $(\bigwedge x. f x = 0) \Longrightarrow$ Sum-any f = 0by (auto simp: Sum-any.expand-set)

lemma *insertion-Prod-any*:

finite $\{x. \ g \ x \neq 1\} \implies$ insertion f (Prod-any g) = Prod-any (λx . insertion f (g x))

by (auto simp: Prod-any.expand-set insertion-prod introl: prod.mono-neutral-right)

lemma insertion-insertion:

insertion g (insertion k p) = insertion (λx . insertion g (k x)) (map-mpoly (insertion g) p) (is ?lhs = ?rhs) proof – have insertion g (insertion k p) = $(\sum x.$ insertion g (coeff p x) * insertion g ($\prod i. k i \ lookup x i$)) unfolding insertion-altdef[of k p] by (subst insertion-Sum-any)

(auto intro: finite-subset[OF - finite-coeff-support[of p]] simp: insertion-mult) **also have** ... = $(\sum x. \text{ insertion } g \text{ (coeff } p x) * (\prod i. \text{ insertion } g \text{ (k i)} \cap \text{ lookup})$ x i))**proof** (*intro* Sum-any.cong) fix x show insertion g (coeff p x) * insertion g ($\prod i. k i \cap lookup x i$) = insertion g (coeff p x) * ($\prod i$ insertion g (k i) $\widehat{}$ lookup x i) by (subst insertion-Prod-any) (auto simp: insertion-power intro!: finite-subset[OF - finite-lookup[of x]] Nat.gr0I) qed also have ... = insertion $(\lambda x. insertion g(k x))$ (map-moly (insertion g) p) **unfolding** insertion-altdef [of - map-mpoly f p for f] by auto finally show ?thesis . qed **lemma** insertion-substitute-linear: insertion (λi . c i * f i) p = insertion f (mapm-mpoly ($\lambda m d$. Prod-any ($\lambda i. c i \cap lookup m i$) * d) p) **unfolding** *insertion-altdef* **proof** (*intro Sum-any.cong*, *goal-cases*) case (1 m)have coeff (mapm-mpoly (λm . (*) ($\prod i. c i \cap lookup m i$)) p) $m * (\prod i. f i \cap lookup m i)$) $lookup \ m \ i) =$ $\textit{MPoly-Type.coeff } p \ m \ \ast \ ((\prod i. \ c \ i \ \frown \ lookup \ m \ i) \ \ast \ (\prod i. \ f \ i \ \frown \ lookup \ m \ i))$ **by** (*simp add: mult-ac*) also have $(\prod i. c \ i \ lookup \ m \ i) * (\prod i. f \ i \ lookup \ m \ i) =$ $(\prod i. (c \ i * f \ i) \land lookup \ m \ i)$ **by** (*subst Prod-any.distrib* [*symmetric*]) (auto simp: power-mult-distrib introl: finite-subset[OF - finite-lookup[of m]] Nat.gr0I) finally show ?case by simp qed

lemma vars-mapm-mpoly-subset: vars (mapm-mpoly f p) \subseteq vars punfolding vars-def using keys-mapp-subset[of f] by (auto simp: mapm-mpoly.rep-eq)

lemma map-mpoly-cong: **assumes** $\bigwedge m. f$ (coeff p m) = g (coeff p m) p = q **shows** map-mpoly f p = map-mpoly g q**using** assms **by** (intro mpoly-eqI) (auto simp: coeff-map-mpoly)

2.6 The leading monomial and leading coefficient

The leading monomial of a multivariate polynomial is the one with the largest monomial w.r.t. the monomial ordering induced by the standard variable ordering. The leading coefficient is the coefficient of the leading monomial.

As a convention, the leading monomial of the zero polynomial is defined to

be the same as that of any non-constant zero polynomial, i.e. the monomial $X_1^0 \dots X_n^0$.

lift-definition *lead-monom* :: 'a :: zero mpoly \Rightarrow (nat \Rightarrow_0 nat) is λf :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a. Max (insert 0 (keys f)).

lemma lead-monom-geI [intro]: **assumes** coeff $p \ m \neq 0$ **shows** $m \leq lead$ -monom p**using** assms by (auto simp: lead-monom-def coeff-def in-keys-iff)

lemma coeff-gt-lead-monom-zero [simp]: **assumes** m > lead-monom p **shows** coeff p m = 0**using** lead-monom-geI[of p m] assms by force

lemma lead-monom-nonzero-eq: **assumes** $p \neq 0$ **shows** lead-monom p = Max (keys (mapping-of p)) **using** assms by transfer (simp add: max-def)

- **lemma** *lead-monom-0* [*simp*]: *lead-monom* 0 = 0 **by** (*simp* add: *lead-monom-def* zero-mpoly.rep-eq)
- **lemma** lead-monom-1 [simp]: lead-monom 1 = 0by (simp add: lead-monom-def one-mpoly.rep-eq)
- **lemma** lead-monom-Const [simp]: lead-monom (Const c) = 0 by (simp add: lead-monom-def Const.rep-eq Const_0-def)
- **lemma** lead-monom-uminus [simp]: lead-monom (-p) = lead-monom p by (simp add: lead-monom-def uminus-mpoly.rep-eq)

lemma keys-mult-const [simp]:

fixes $c :: 'a :: \{semiring-0, semiring-no-zero-divisors\}$ assumes $c \neq 0$ shows keys (Poly-Mapping.map ((*) c) p) = keys p using assms by transfer auto

lemma lead-monom-eq-0-iff: lead-monom $p = 0 \leftrightarrow vars p = \{\}$ unfolding vars-def by transfer' (auto simp: Max-eq-iff)

lemma lead-monom-monom: lead-monom (monom m c) = (if c = 0 then 0 else m)

by (auto simp add: lead-monom-def monom.rep-eq Const_0-def max-def)

lemma lead-monom-monom' [simp]: $c \neq 0 \implies$ lead-monom (monom m c) = m by (simp add: lead-monom-monom)

lemma lead-monom-numeral [simp]: lead-monom (numeral n) = 0

unfolding monom-numeral[symmetric] by (subst lead-monom-monom) auto

lemma lead-monom-add: lead-monom $(p + q) \le max$ (lead-monom p) (lead-monom q)

```
proof transfer
 fix p \ q :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a
  show Max (insert 0 (keys (p + q))) \leq max (Max (insert 0 (keys p))) (Max
(insert \ 0 \ (keys \ q)))
  proof (rule Max.boundedI)
   fix m assume m: m \in insert \ 0 \ (keys \ (p + q))
   thus m \leq max (Max (insert 0 (keys p))) (Max (insert 0 (keys q)))
   proof
     assume m \in keys (p + q)
     with keys-add[of p q] have m \in keys p \lor m \in keys q
      by (auto simp: in-keys-iff plus-poly-mapping.rep-eq)
     thus ?thesis by (auto simp: le-max-iff-disj)
   ged auto
 qed auto
qed
lemma lead-monom-diff: lead-monom (p - q) \leq max (lead-monom p) (lead-monom
q)
proof transfer
 fix p q :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a
  show Max (insert 0 (keys (p - q))) \leq max (Max (insert 0 (keys p))) (Max
(insert \ 0 \ (keys \ q)))
 proof (rule Max.boundedI)
   fix m assume m: m \in insert \ 0 \ (keys \ (p - q))
```

```
thus m \leq max (Max (insert 0 (keys p))) (Max (insert 0 (keys q)))

proof

assume m \in keys (p - q)

with keys-diff-subset[of p q] have m \in keys p \lor m \in keys q by auto

thus ?thesis by (auto simp: le-max-iff-disj)

qed auto

qed auto
```

```
qed
```

definition *lead-coeff* where *lead-coeff* p = coeff p (*lead-monom* p)

lemma vars-empty-iff: vars $p = \{\} \longleftrightarrow p = Const$ (lead-coeff p) **proof assume** vars $p = \{\}$ **hence** [simp]: lead-monom p = 0 **by** (simp add: lead-monom-eq-0-iff) **have** [simp]: mon $\neq 0 \longleftrightarrow (mon > (0 :: nat \Rightarrow_0 nat))$ for mon **by** (auto simp: order.strict-iff-order) **thus** p = Const (lead-coeff p) **by** (intro mpoly-eqI) (auto simp: mpoly-coeff-Const lead-coeff-def) \mathbf{next} assume p = Const (lead-coeff p)also have vars $\ldots = \{\}$ by simp finally show vars $p = \{\}$. ged **lemma** lead-coeff-0 [simp]: lead-coeff 0 = 0by (simp add: lead-coeff-def) **lemma** lead-coeff-1 [simp]: lead-coeff 1 = 1**by** (*simp add: lead-coeff-def mpoly-coeff-1*) **lemma** lead-coeff-Const [simp]: lead-coeff (Const c) = c **by** (*simp add: lead-coeff-def mpoly-coeff-Const*) **lemma** lead-coeff-monom [simp]: lead-coeff (monom p c) = cby (simp add: lead-coeff-def coeff-monom when-def lead-monom-monom) **lemma** lead-coeff-nonzero [simp]: $p \neq 0 \implies$ lead-coeff $p \neq 0$ **unfolding** *lead-coeff-def lead-monom-def* by (cases keys (mapping-of p) = {}) (auto simp: coeff-def max-def) lemma fixes c :: 'a :: semiring-0**assumes** $c * lead-coeff p \neq 0$ **shows** lead-monom-smult [simp]: lead-monom (smult c p) = lead-monom pand lead-coeff-smult [simp]: lead-coeff (smult c p) = c * lead-coeff pproof **from** assms have *: keys (mapping-of p) \neq {} by *auto* **from** assms have coeff (MPoly-Type.smult c p) (lead-monom p) $\neq 0$ **by** (*simp add: lead-coeff-def*) hence smult-nz: MPoly-Type.smult $c \ p \neq 0$ by (auto simp del: coeff-smult) with assms have **: keys (mapping-of (smult c p)) \neq {} by simp have Max (keys (mapping-of (smult c p))) = Max (keys (mapping-of p)) **proof** (safe intro!: antisym Max.coboundedI) have lookup (mapping-of p) (Max (keys (mapping-of p))) = lead-coeff p **using** * **by** (*simp add: lead-coeff-def lead-monom-def max-def coeff-def*) with assms show Max (keys (mapping-of p)) \in keys (mapping-of (smult c p)) **using** * **by** (*auto simp: smult.rep-eq introl: in-keys-mapI*) from smult-nz have lead-coeff (smult c p) $\neq 0$ **by** (*intro lead-coeff-nonzero*) *auto* **hence** coeff p (Max (keys (mapping-of (smult c p)))) $\neq 0$ using assms * ** by (auto simp: lead-coeff-def lead-monom-def max-def) thus Max (keys (mapping-of (smult c p))) \in keys (mapping-of p) **by** (*auto simp: smult.rep-eq coeff-def in-keys-iff*) qed auto

with * ** show lead-monom (smult c p) = lead-monom p
by (simp add: lead-monom-def max-def)
thus lead-coeff (smult c p) = c * lead-coeff p
by (simp add: lead-coeff-def)
ned

 \mathbf{qed}

lemma *lead-coeff-mult-aux*:

coeff (p * q) (lead-monom p + lead-monom q) = lead-coeff p * lead-coeff q **proof** (cases $p = 0 \lor q = 0$) **case** False **define** a b **where** a = lead-monom p **and** b = lead-monom q **have** coeff (p * q) (a + b) = coeff p a * coeff q b **unfolding** coeff-mpoly-times **by** (rule prod-fun-max) (insert False, auto simp: a-def b-def) **thus** ?thesis **by** (simp add: a-def b-def lead-coeff-def) **ged** auto

lemma lead-monom-mult-le: lead-monom $(p * q) \leq$ lead-monom p + lead-monom q

proof (cases p * q = 0) case False show ?thesis proof (intro leI notI) assume lead-monom p + lead-monom q < lead-monom (p * q) hence lead-coeff (p * q) = 0 unfolding lead-coeff-def coeff-mpoly-times by (rule prod-fun-gt-max-eq-zero) auto with False show False by simp qed qed auto lemma lead-monom-mult: assumes lead-coeff $p * lead-coeff q \neq 0$

shows lead-monom (p * q) = lead-monom p + lead-monom q **by** (intro antisym lead-monom-mult-le lead-monom-geI) (insert assms, auto simp: lead-coeff-mult-aux)

lemma lead-coeff-mult: **assumes** lead-coeff $p * lead-coeff q \neq 0$ **shows** lead-coeff (p * q) = lead-coeff p * lead-coeff q **using** assms **by** (simp add: lead-monom-mult lead-coeff-mult-aux lead-coeff-def) **lemma** keys-lead-monom-subset: keys (lead-monom $p) \subseteq vars p$ **proof** (cases p = 0) **case** False **hence** lead-coeff $p \neq 0$ **by** simp

hence $coeff \ p \neq 0$ by simphence $coeff \ p \ (lead-monom \ p) \neq 0$ unfolding lead-coeff-def. thus ?thesis unfolding vars-def by transfer' (auto simp: max-def in-keys-iff) qed auto

lemma

assumes $(\prod i \in A. lead-coeff (f i)) \neq 0$ shows lead-monom-prod: lead-monom $(\prod i \in A. f i) = (\sum i \in A. lead-monom (f i))$ *i*)) (**is** *?th1*) and lead-coeff-prod: lead-coeff $(\prod i \in A. f i) = (\prod i \in A. lead-coeff (f i))$ (is ?th2) proof – have $?th1 \land ?th2$ using assms **proof** (*induction A rule: infinite-finite-induct*) case (insert x A) from insert have nz: lead-coeff $(f x) \neq 0$ $(\prod i \in A. lead-coeff (f i)) \neq 0$ by auto**note** IH = insert.IH[OF this(2)]**from** insert have nz': lead-coeff $(f x) * lead-coeff (\prod i \in A. f i) \neq 0$ by (subst IH) auto from insert.prems insert.hyps nz nz' show ?case by (auto simp: lead-monom-mult lead-coeff-mult IH) qed auto thus ?th1 ?th2 by blast+ \mathbf{qed}

lemma lead-monom-sum-le: $(\bigwedge x. x \in X \Longrightarrow$ lead-monom $(h x) \le ub) \Longrightarrow$ lead-monom $(sum h X) \le ub$ by (induction X rule: infinite-finite-induct) (auto introl: order.trans[OF lead-monom-add])

The leading monomial of a sum where the leading monomial the summands are distinct is simply the maximum of the leading monomials.

lemma lead-monom-sum: assumes inj-on (lead-monom \circ h) X and finite X and $X \neq \{\}$ and $\bigwedge x. x \in X$ $\implies h \ x \neq 0$ defines $m \equiv Max$ ((lead-monom $\circ h$) 'X) shows lead-monom $(\sum x \in X. h x) = m$ **proof** (*rule antisym*) **show** lead-monom (sum $h(X) \le m$ unfolding m-def using assms by (intro lead-monom-sum-le Max-ge finite-imageI) auto \mathbf{next} from assms have $m \in (lead-monom \circ h)$ 'X unfolding *m*-def by (intro Max-in finite-imageI) auto then obtain x where $x: x \in X$ m = lead-monom (h x) by auto have coeff $(\sum x \in X. h x) m = (\sum x \in X. coeff (h x) m)$ by simp also have $\ldots = (\sum x \in \{x\}. \text{ coeff } (h x) m)$ **proof** (*intro sum.mono-neutral-right ballI*) fix y assume $y: y \in X - \{x\}$ hence (lead-monom \circ h) $y \leq m$ using assms unfolding m-def by (intro Max-ge finite-imageI) auto **moreover have** (lead-monom \circ h) $y \neq$ (lead-monom \circ h) x using $\langle x \in X \rangle$ y inj-onD[OF assms(1), of x y] by auto

ultimately have lead-monom $(h \ y) < m$ using x by auto thus coeff $(h \ y) \ m = 0$ by simp qed (insert x assms, auto) also have ... = coeff $(h \ x)$ m by simp also have ... = lead-coeff $(h \ x)$ using x by (simp add: lead-coeff-def) also have ... $\neq 0$ using assms x by auto finally show lead-monom (sum $h \ X) \ge m$ by (intro lead-monom-geI) qed

lemma lead-coeff-eq-0-iff [simp]: lead-coeff $p = 0 \iff p = 0$ by (cases p = 0) auto

lemma

fixes $f :: - \Rightarrow 'a :: semidom mpoly$ assumes $\bigwedge i. i \in A \Longrightarrow f i \neq 0$ shows lead-monom-prod' [simp]: lead-monom $(\prod i \in A. f i) = (\sum i \in A. lead-monom$ (f i)) (is ?th1) and lead-coeff-prod' [simp]: lead-coeff $(\prod i \in A. f i) = (\prod i \in A. lead-coeff (f i))$ (is ?th2) proof – from assms have $(\prod i \in A. lead-coeff (f i)) \neq 0$ by (cases finite A) auto thus ?th1 ?th2 by (simp-all add: lead-monom-prod lead-coeff-prod) qed

lemma

fixes p :: 'a :: comm-semiring-1 mpolyassumes lead-coeff $p \ n \neq 0$ shows lead-monom-power: lead-monom $(p \ n) = of\text{-nat } n * lead-monom p$ and lead-coeff-power: lead-coeff $(p \ n) = lead\text{-coeff} p \ n$ using assms lead-monom-prod[of λ -. $p \{..< n\}$] lead-coeff-prod[of λ -. $p \{..< n\}$] by simp-all

lemma

fixes p :: 'a :: semidom mpolyassumes $p \neq 0$ shows lead-monom-power' [simp]: lead-monom $(p \ n) = of$ -nat n * lead-monom pand lead-coeff-power' [simp]: lead-coeff $(p \ n) = lead$ -coeff $p \ n$ using assms lead-monom-prod'[of $\{..< n\} \ \lambda$ -. p] lead-coeff-prod'[of $\{..< n\} \ \lambda$ -. p]

by simp-all

2.7 Turning a set of variables into a monomial

Given a finite set $\{X_1, \ldots, X_n\}$ of variables, the following is the monomial $X_1 \ldots X_n$:

lift-definition monom-of-set :: nat set \Rightarrow (nat \Rightarrow_0 nat) is $\lambda X x$. if finite $X \land x \in X$ then 1 else 0

by *auto*

lemma lookup-monom-of-set: Poly-Mapping.lookup (monom-of-set X) $i = (if finite X \land i \in X then \ 1 else \ 0)$ by transfer auto

lemma lookup-monom-of-set-1 [simp]: finite $X \Longrightarrow i \in X \Longrightarrow$ Poly-Mapping.lookup (monom-of-set X) i = 1and lookup-monom-of-set-0 [simp]: $i \notin X \Longrightarrow$ Poly-Mapping.lookup (monom-of-set X) i = 0

by (simp-all add: lookup-monom-of-set)

- **lemma** keys-monom-of-set: keys (monom-of-set X) = (if finite X then X else {}) by transfer auto
- **lemma** keys-monom-of-set-finite [simp]: finite $X \Longrightarrow$ keys (monom-of-set X) = X by (simp add: keys-monom-of-set)

lemma monom-of-set-eq-iff [simp]: finite $X \Longrightarrow$ finite $Y \Longrightarrow$ monom-of-set X =monom-of-set $Y \longleftrightarrow X = Y$ by transfer (auto simp: fun-eq-iff)

lemma monom-of-set-empty [simp]: monom-of-set {} = 0 **by** transfer auto

lemma monom-of-set-eq-zero-iff [simp]: monom-of-set $X = 0 \iff$ infinite $X \lor X$ = {} by transfer (acto simp: fun ag iff)

by transfer (auto simp: fun-eq-iff)

lemma zero-eq-monom-of-set-iff [simp]: $0 = monom-of-set X \leftrightarrow infinite X \lor X$ = {} by transfer (auto simp: fun-eq.iff)

by transfer (auto simp: fun-eq-iff)

2.8 Permuting the variables of a polynomial

Next, we define the operation of permuting the variables of a monomial and polynomial.

lift-definition permutep :: $('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b :: zero)$ is $\lambda f p. if bij f then <math>p \circ f$ else pproof – fix $f :: 'a \Rightarrow 'a$ and $g :: 'a \Rightarrow 'b$ assume $*: finite \{x. g x \neq 0\}$ show finite $\{x. (if bij f then g \circ f else g) x \neq 0\}$ proof (cases bij f) case True with * have finite $(f - \{x. g x \neq 0\})$ by (intro finite-vimageI) (auto dest: bij-is-inj) with True show ?thesis by auto qed (use * in auto)

qed

```
lift-definition mpoly-map-vars :: (nat \Rightarrow nat) \Rightarrow 'a :: zero mpoly \Rightarrow 'a mpoly is
 \lambda f p. permutep (permutep f) p.
lemma keys-permutep: bij f \Longrightarrow keys (permutep f m) = f - ' keys m
 by transfer auto
lemma permutep-id'' [simp]: permutep id = id
 by transfer' (auto simp: fun-eq-iff)
lemma permutep-id''' [simp]: permutep (\lambda x. x) = id
 by transfer' (auto simp: fun-eq-iff)
lemma permutep-0 [simp]: permutep f \ \theta = 0
 by transfer auto
lemma permutep-single:
 bij f \Longrightarrow permutep f (Poly-Mapping.single \ a \ b) = Poly-Mapping.single (inv-into
UNIV f a) b
 by transfer (auto simp: fun-eq-iff when-def inv-f-f surj-f-inv-f bij-is-inj bij-is-surj)
lemma mpoly-map-vars-id [simp]: mpoly-map-vars id = id
 by transfer auto
lemma mpoly-map-vars-id' [simp]: mpoly-map-vars (\lambda x. x) = id
 by transfer auto
lemma lookup-permutep:
 Poly-Mapping.lookup (permutep f m) x = (if bij f then Poly-Mapping.lookup m (f
x) else Poly-Mapping.lookup m x)
 by transfer auto
lemma inj-permutep [intro]: inj (permutep (f :: 'a \Rightarrow 'a) :: - \Rightarrow 'a \Rightarrow_0 'b :: zero)
 unfolding inj-def
proof (transfer, safe)
 fix f :: 'a \Rightarrow 'a and x y :: 'a \Rightarrow 'b
 assume eq: (if bij f then x \circ f else x) = (if bij f then y \circ f else y)
 show x = y
  proof (cases bij f)
   case True
   show ?thesis
   proof
     fix t :: 'a
     from \langle bij f \rangle obtain s where t = f s
      by (auto dest!: bij-is-surj)
     with eq and True show x t = y t
       by (auto simp: fun-eq-iff)
   qed
```

qed (use eq in auto) qed **lemma** surj-permutep [intro]: surj (permutep $(f :: 'a \Rightarrow 'a) :: - \Rightarrow 'a \Rightarrow_0 'b :: zero)$ unfolding *surj-def* **proof** (*transfer*, *safe*) fix $f :: 'a \Rightarrow 'a$ and $y :: 'a \Rightarrow 'b$ **assume** fin: finite $\{t. y t \neq 0\}$ **show** $\exists x \in \{f. finite \{x. f x \neq 0\}\}$. $y = (if bij f then x \circ f else x)$ **proof** (cases bij f) case True with fin have finite (the-inv $f - \{t. y \ t \neq 0\}$) by (intro finite-vimageI) (auto simp: bij-is-inj bij-betw-the-inv-into) **moreover have** $y \circ the$ -inv $f \circ f = y$ using True by (simp add: fun-eq-iff the-inv-f-f bij-is-inj) ultimately show ?thesis by (intro bexI[of - $y \circ$ the-inv f]) (auto simp: True) qed (use fin in auto) qed **lemma** bij-permutep [intro]: bij (permutep f) **using** *inj-permutep*[*of f*] *surj-permutep*[*of f*] **by** (*simp add: bij-def*) **lemma** *mpoly-map-vars-map-mpoly*: mpoly-map-vars f (map-mpoly g p) = map-mpoly g (mpoly-map-vars f p)**by** (transfer', transfer') (auto simp: fun-eq-iff) **lemma** *coeff-mpoly-map-vars*: **fixes** $f :: nat \Rightarrow nat$ and p :: 'a :: zero mpolyassumes bij f**shows** MPoly-Type.coeff (mpoly-map-vars f p) mon = MPoly-Type.coeff p (permutep f mon) using assms by transfer' (simp add: lookup-permutep bij-permutep) **lemma** *permutep-monom-of-set*: assumes bij f**shows** permutep f (monom-of-set A) = monom-of-set (f - A) using assms by transfer (auto simp: fun-eq-iff bij-is-inj finite-vimage-iff) **lemma** permutep-comp: bij $f \Longrightarrow$ bij $q \Longrightarrow$ permutep $(f \circ q) =$ permutep $q \circ$ permutep fby transfer' (auto simp: fun-eq-iff bij-comp) **lemma** permutep-comp': bij $f \Longrightarrow$ bij $g \Longrightarrow$ permutep $(f \circ g)$ mon = permutep g (permutep f mon) **by** transfer (auto simp: fun-eq-iff bij-comp) **lemma** *mpoly-map-vars-comp*: $bij f \Longrightarrow bij g \Longrightarrow mpoly-map-vars f (mpoly-map-vars g p) = mpoly-map-vars (f$ $\circ g) p$

by transfer (auto simp: bij-permutep permutep-comp)

```
lemma permutep-id [simp]: permutep id mon = mon
 by transfer auto
lemma permutep-id' [simp]: permutep (\lambda x. x) mon = mon
 by transfer auto
lemma inv-permutep [simp]:
 fixes f :: 'a \Rightarrow 'a
 assumes bij f
 shows inv-into UNIV (permutep f) = permutep (inv-into UNIV f)
proof
 fix m :: 'a \Rightarrow_0 'b
 show inv-into UNIV (permutep f) m = permutep (inv-into UNIV f) m
   using permutep-comp'[of inv-into UNIV f f m] assms inj-iff[of f]
   by (intro inv-f-eq) (auto simp: bij-imp-bij-inv bij-is-inj)
qed
lemma mpoly-map-vars-monom:
 bij f \Longrightarrow mpoly-map-vars f (monom m c) = monom (permutep (inv-into UNIV))
f) m) c
 by transfer' (simp add: permutep-single bij-permutep)
lemma vars-mpoly-map-vars:
 fixes f :: nat \Rightarrow nat and p :: 'a :: zero mpoly
 assumes bij f
 shows vars (mpoly-map-vars f p) = f 'vars p
 using assms unfolding vars-def
proof transfer'
 fix f :: nat \Rightarrow nat and p :: (nat \Rightarrow_0 nat) \Rightarrow_0 a
 assume f: bij f
 have eq: f (inv-into UNIV f x) = x for x
   using f by (subst surj-f-inv-f[of f]) (auto simp: bij-is-surj)
 show \bigcup (keys 'keys (permutep (permutep f) p)) = f '\bigcup (keys 'keys p)
 proof safe
   fix m x assume mx: m \in keys (permutep (permutep f) p) x \in keys m
   from mx have permutep f m \in keys p
     by (auto simp: keys-permutep bij-permutep f)
   with mx have f (inv-into UNIV f x) \in f ' (\bigcup m \in keys p. keys m)
    by (intro imageI) (auto introl: bexI[of - permutep f m] simp: keys-permutep f
eq)
   with eq show x \in f ' (\bigcup m \in keys \ p. \ keys \ m) by simp
 next
   fix m x assume mx: m \in keys \ p \ x \in keys \ m
   from mx have permutep id m \in keys p by simp
  also have id = inv-into UNIV f \circ f using f by (intro ext) (auto simp: bij-is-inj
inv-f-f)
   also have permutep ... m = permutep f (permutep (inv-into UNIV f) m)
```

by (*simp add: permutep-comp f bij-imp-bij-inv*) finally have **: permutep f (permutep (inv-into UNIV f) m) \in keys p. **moreover from** f mx have $f x \in keys$ (permutep (inv-into UNIV f) m) **by** (*auto simp: keys-permutep bij-imp-bij-inv inv-f-f bij-is-inj*) **ultimately show** $f x \in \bigcup$ (keys 'keys (permutep (permutep f) p)) using f **by** (*auto simp: keys-permutep bij-permutep*) qed qed **lemma** permutep-eq-monom-of-set-iff [simp]: assumes bij f**shows** permutep $f mon = monom-of-set A \leftrightarrow mon = monom-of-set (f ` A)$ proof **assume** eq: permutep f mon = monom-of-set Ahave permutep (inv-into UNIV f) (permutep f mon) = monom-of-set (inv-into UNIV f - Ausing assms by (simp add: eq bij-imp-bij-inv assms permutep-monom-of-set) also have inv-into UNIV f - A = f Ausing assms by (force simp: bij-is-surj image-iff inv-f-f bij-is-inj surj-f-inv-f) also have permutep (inv-into UNIV f) (permutep f mon) = permutep ($f \circ inv$ -into UNIV f) mon using assms by (simp add: permutep-comp bij-imp-bij-inv) also have $f \circ inv$ -into UNIV f = idby (subst surj-iff [symmetric]) (insert assms, auto simp: bij-is-surj) finally show $mon = monom \text{-}of \text{-}set (f \cdot A)$ by simp**qed** (insert assms, auto simp: permutep-monom-of-set inj-vimage-image-eq bij-is-inj) **lemma** permutep-monom-of-set-permutes [simp]: assumes π permutes A shows permutep π (monom-of-set A) = monom-of-set A using assms by transfer (auto simp: if-splits fun-eq-iff permutes-in-image) **lemma** mpoly-map-vars-0 [simp]: mpoly-map-vars f = 0**by** (transfer, transfer') (simp add: o-def) **lemma** permutep-eq-0-iff [simp]: permutep $f m = 0 \leftrightarrow m = 0$ **proof** transfer fix $f :: a \Rightarrow a$ and $m :: a \Rightarrow b$ assume finite $\{x. m x \neq 0\}$ **show** ((*if bij f then* $m \circ f$ *else* m) = (λk . θ)) = ($m = (\lambda k$. θ)) **proof** (cases bij f) case True hence $(\forall x. m (f x) = 0) \leftrightarrow (\forall x. m x = 0)$ using bij-iff [of f] by metis with True show ?thesis by (auto simp: fun-eq-iff) **qed** (*auto simp: fun-eq-iff*) qed

lemma mpoly-map-vars-1 [simp]: mpoly-map-vars f 1 = 1

by (transfer, transfer') (auto simp: o-def fun-eq-iff when-def)

lemma permutep-Const₀ [simp]: $(\bigwedge x. f x = 0 \leftrightarrow x = 0) \Longrightarrow$ permutep f (Const₀ c) = Const₀ c

unfolding Const₀-def by transfer' (auto simp: when-def fun-eq-iff)

lemma permutep-add [simp]: permutep f(m1 + m2) = permutep f m1 + permutep f m2

unfolding Const₀-def by transfer' (auto simp: when-def fun-eq-iff)

lemma permutep-diff [simp]: permutep f(m1 - m2) = permutep f m1 - permutep f m2

unfolding Const₀-def by transfer' (auto simp: when-def fun-eq-iff)

lemma permutep-uminus [simp]: permutep f(-m) = -permutep f munfolding Const₀-def by transfer' (auto simp: when-def fun-eq-iff)

lemma permutep-mult [simp]:

 $(\bigwedge x \ y. \ f \ (x + y) = f \ x + f \ y) \Longrightarrow permutep \ f \ (m1 \ * \ m2) = permutep \ f \ m1 \ * permutep \ f \ m2$

unfolding $Const_0$ -def by transfer' (auto simp: when-def fun-eq-iff prod-fun-compose-bij)

lemma mpoly-map-vars-Const [simp]: mpoly-map-vars f (Const c) = Const cby transfer (auto simp: o-def fun-eq-iff when-def)

lemma mpoly-map-vars-add [simp]: mpoly-map-vars f(p + q) = mpoly-map-vars f p + mpoly-map-vars f qby transfer simp

lemma mpoly-map-vars-diff [simp]: mpoly-map-vars f(p - q) = mpoly-map-vars f p - mpoly-map-vars f qby transfer simp

lemma mpoly-map-vars-uminus [simp]: mpoly-map-vars f(-p) = -mpoly-map-vars f(p)

 $\mathbf{by} \ transfer \ simp$

lemma permutep-smult: permutep (permutep f) (Poly-Mapping.map ((*) c) p) = Poly-Mapping.map ((*) c) (permutep (permutep f) p) **by** transfer' (auto split: if-splits simp: fun-eq-iff)

lemma mpoly-map-vars-smult [simp]: mpoly-map-vars f (smult c p) = smult c (mpoly-map-vars f p) by transfer (simp add: permutep-smult)

lemma mpoly-map-vars-mult [simp]: mpoly-map-vars f(p * q) = mpoly-map-vars f p * mpoly-map-vars f q

by transfer simp

lemma mpoly-map-vars-sum [simp]: mpoly-map-vars f (sum gA) = ($\sum x \in A$. mpoly-map-vars f(g|x)by (induction A rule: infinite-finite-induct) auto **lemma** mpoly-map-vars-prod [simp]: mpoly-map-vars f (prod g A) = ($\prod x \in A$. mpoly-map-vars f(q x)by (induction A rule: infinite-finite-induct) auto **lemma** mpoly-map-vars-eq-0-iff [simp]: mpoly-map-vars $f p = 0 \iff p = 0$ by transfer auto **lemma** permutep-eq-iff [simp]: permutep $f p = permutep f q \leftrightarrow p = q$ **by** transfer (auto simp: comp-bij-eq-iff) **lemma** *mpoly-map-vars-Sum-any* [*simp*]: mpoly-map-vars f (Sum-any g) = Sum-any (λx . mpoly-map-vars f (g x)) **by** (*simp add: Sum-any.expand-set*) **lemma** mpoly-map-vars-power [simp]: mpoly-map-vars $f(p \cap n) = mpoly$ -map-vars $f p \cap n$ by (induction n) auto **lemma** *mpoly-map-vars-monom-single* [*simp*]: assumes bij f**shows** mpoly-map-vars f (monom (Poly-Mapping.single i n) c) = monom (Poly-Mapping.single (f i) n) c using assms by (simp add: mpoly-map-vars-monom permutep-single bij-imp-bij-inv *inv-inv-eq*) **lemma** *insertion-mpoly-map-vars*: assumes bij f**shows** insertion g (mpoly-map-vars f p) = insertion ($g \circ f$) pproof have insertion g (mpoly-map-vars f p) = $(\sum m. coeff \ p \ (permutep \ f \ m) * (\prod i. \ g \ i \ lookup \ m \ i))$ using assms by (simp add: insertion-altdef coeff-mpoly-map-vars) also have ... = Sum-any (λm . coeff p (permutep f m) * Prod-any (λi . g (f i) ^ lookup m (f i))) by (intro Sum-any.cong arg-cong[where $?f = \lambda y. x * y$ for x] Prod-any.reindex-cong[OF assms]) (auto simp: o-def) **also have** ... = Sum-any (λm . coeff $p \ m * (\prod i. g \ (f \ i) \ \widehat{} \ lookup \ m \ i))$ **by** (*intro* Sum-any.reindex-cong [OF bij-permutep[of f], symmetric]) (auto simp: o-def lookup-permutep assms) also have $\ldots = insertion (g \circ f) p$ **by** (*simp add: insertion-altdef*) finally show ?thesis . qed

lemma permutep-cong: assumes f permutes (-keys p) g permutes (-keys p) p = q shows permutep f p = permutep g q proof (intro poly-mapping-eqI) fix k :: 'a show lookup (permutep f p) k = lookup (permutep g q) k proof (cases k \in keys p) case False with assms have f k \notin keys p g k \notin keys p using permutes-in-image[of - -keys p k] by auto thus ?thesis using assms by (auto simp: lookup-permutep permutes-bij in-keys-iff) qed (insert assms, auto simp: lookup-permutep permutes-bij permutes-not-in) qed

lemma *mpoly-map-vars-cong*: **assumes** f permutes (-vars p) g permutes (-vars q) p = q**shows** mpoly-map-vars f p = mpoly-map-vars g (q :: 'a :: zero mpoly)**proof** (*intro mpoly-eqI*) **fix** mon :: nat \Rightarrow_0 nat **show** coeff (mpoly-map-vars f p) mon = coeff (mpoly-map-vars q q) mon **proof** (cases keys mon \subseteq vars p) case True with assms have permutep f mon = permutep g monby (intro permutep-cong assms(1,2)[THEN permutes-subset]) auto thus ?thesis using assms by (simp add: coeff-mpoly-map-vars permutes-bij) next case False **hence** $\neg(keys \ mon \subseteq f \ `vars \ q) \ \neg(keys \ mon \subseteq g \ `vars \ q)$ using assms by (auto simp: subset-iff permutes-not-in) thus ?thesis using assmsby (subst (1 2) coeff-notin-vars) (auto simp: coeff-notin-vars vars-mpoly-map-vars permutes-bij) qed qed

2.9 Symmetric polynomials

A polynomial is symmetric on a set of variables if it is invariant under any permutation of that set.

definition symmetric-mpoly :: nat set \Rightarrow 'a :: zero mpoly \Rightarrow bool where symmetric-mpoly A $p = (\forall \pi. \pi \text{ permutes } A \longrightarrow \text{mpoly-map-vars } \pi p = p)$

lemma symmetric-mpoly-empty [simp, intro]: symmetric-mpoly {} p
by (simp add: symmetric-mpoly-def)

A polynomial is trivially symmetric on any set of variables that do not occur in it.

lemma symmetric-mpoly-orthogonal:

```
assumes vars p \cap A = \{\}
 shows symmetric-mpoly A p
 unfolding symmetric-mpoly-def
proof safe
 fix \pi assume \pi: \pi permutes A
 with assms have \pi x = x if x \in vars p for x
   using that permutes-not-in [of \pi A x] by auto
 from assms have moly-map-vars \pi p = mpoly-map-vars id p
   by (intro mpoly-map-vars-cong permutes-subset[OF \pi] permutes-id) auto
 also have \ldots = p by simp
 finally show mpoly-map-vars \pi p = p.
qed
lemma symmetric-mpoly-monom [intro]:
 assumes keys m \cap A = \{\}
 shows symmetric-moly A (monom m c)
 using assms vars-monom-subset of m c by (intro symmetric-moly-orthogonal)
auto
lemma symmetric-mpoly-subset:
 assumes symmetric-moly A \ p \ B \subseteq A
 shows symmetric-mpoly B p
 unfolding symmetric-mpoly-def
proof safe
 fix \pi assume \pi permutes B
 with assms have \pi permutes A using permutes-subset by blast
 with assms show mpoly-map-vars \pi p = p
   by (auto simp: symmetric-mpoly-def)
```

```
qed
```

If a polynomial is symmetric over some set of variables, that set must either be a subset of the variables occurring in the polynomial or disjoint from it.

```
lemma symmetric-mpoly-imp-orthogonal-or-subset:
 assumes symmetric-mpoly A p
 shows vars p \cap A = \{\} \lor A \subseteq vars p
proof (rule ccontr)
 assume \neg(vars \ p \cap A = \{\} \lor A \subseteq vars \ p)
 then obtain x y where xy: x \in vars \ p \cap A \ y \in A - vars \ p by auto
 define \pi where \pi = transpose \ x \ y
 from xy have \pi: \pi permutes A
   unfolding \pi-def by (intro permutes-swap-id) auto
 from xy have y \in \pi 'vars p by (auto simp: \pi-def transpose-def)
 also from \pi have \pi 'vars p = vars (mpoly-map-vars \pi p)
   by (auto simp: vars-mpoly-map-vars permutes-bij)
 also have mpoly-map-vars \pi p = p
   using assms \pi by (simp add: symmetric-mpoly-def)
 finally show False using xy by auto
qed
```

Symmetric polynomials are closed under ring operations.

lemma symmetric-mpoly-add [intro]: symmetric-moly $A \ p \Longrightarrow$ symmetric-moly $A \ q \Longrightarrow$ symmetric-moly $A \ (p + q)$ unfolding symmetric-mpoly-def by simp **lemma** symmetric-mpoly-diff [intro]: symmetric-moly $A \ p \Longrightarrow$ symmetric-moly $A \ q \Longrightarrow$ symmetric-moly $A \ (p - q)$ unfolding symmetric-mpoly-def by simp **lemma** symmetric-moly-uninus [intro]: symmetric-moly $A \ p \Longrightarrow$ symmetric-moly A(-p)unfolding symmetric-mpoly-def by simp **lemma** symmetric-mpoly-uninus-iff [simp]: symmetric-mpoly $A(-p) \leftrightarrow$ symmetric-mpoly A punfolding symmetric-mpoly-def by simp **lemma** symmetric-moly-smult [intro]: symmetric-moly $A \ p \Longrightarrow$ symmetric-moly A (smult c p) unfolding symmetric-mpoly-def by simp **lemma** symmetric-mpoly-mult [intro]: symmetric-moly A $p \Longrightarrow$ symmetric-moly A $q \Longrightarrow$ symmetric-moly A (p * q)**unfolding** symmetric-mpoly-def by simp **lemma** symmetric-mpoly-0 [simp, intro]: symmetric-mpoly A 0 and symmetric-mpoly-1 [simp, intro]: symmetric-mpoly A 1 and symmetric-moly-Const [simp, intro]: symmetric-moly A (Const c) **by** (*simp-all add: symmetric-mpoly-def*) **lemma** symmetric-mpoly-power [intro]: symmetric-moly $A \ p \Longrightarrow$ symmetric-moly $A \ (p \ n)$ **by** (*induction n*) (*auto intro*!: *symmetric-mpoly-mult*) **lemma** symmetric-mpoly-sum [intro]: $(\bigwedge i. i \in B \Longrightarrow symmetric-mpoly A (f i)) \Longrightarrow symmetric-mpoly A (sum f B)$ by (induction B rule: infinite-finite-induct) (auto introl: symmetric-mpoly-add) **lemma** symmetric-mpoly-prod [intro]: $(\bigwedge i. i \in B \Longrightarrow symmetric-mpoly A (f i)) \Longrightarrow symmetric-mpoly A (prod f B)$ by (induction B rule: infinite-finite-induct) (auto introl: symmetric-mpoly-mult) An symmetric sum or product over polynomials yields a symmetric polynomial: **lemma** symmetric-mpoly-symmetric-sum:

assumes g permutes X assumes $\bigwedge x \pi$. $x \in X \Longrightarrow \pi$ permutes $A \Longrightarrow$ mpoly-map-vars π (f x) = f (g x)shows symmetric-mpoly $A (\sum x \in X. f x)$ unfolding symmetric-mpoly-def **proof** safe fix π assume π : π permutes A have mooly-map-vars π (sum f X) = $(\sum x \in X. mooly-map-vars \pi (f x))$ by simp also have $\ldots = (\sum x \in X. f(g x))$ **by** (*intro sum.cong assms* π *refl*) also have $\ldots = (\sum x \in g'X. f x)$ using assms by (subst sum.reindex) (auto simp: permutes-inj-on) also have $g \cdot X = X$ using assms by (simp add: permutes-image) finally show mooly-map-vars π (sum f X) = sum f X. qed **lemma** symmetric-mpoly-symmetric-prod: assumes g permutes Xassumes $\bigwedge x \ \pi. \ x \in X \Longrightarrow \pi$ permutes $A \Longrightarrow$ mpoly-map-vars π (f x) = f (g x)**shows** symmetric-moly $A (\prod x \in X. f x)$ unfolding symmetric-mpoly-def **proof** safe fix π assume π : π permutes A have mooly-map-vars π (prod f X) = ($\prod x \in X$. mooly-map-vars π (f x)) by simp also have $\ldots = (\prod x \in X. f(g x))$ by (intro prod.cong assms π refl) also have $\ldots = (\prod x \in g'X. f x)$ using assms by (subst prod.reindex) (auto simp: permutes-inj-on) also have g' X = Xusing assms by (simp add: permutes-image) finally show mpoly-map-vars π (prod f X) = prod f X. qed

If p is a polynomial that is symmetric on some subset of variables A, then for the leading monomial of p, the exponents of these variables are decreasing w.r.t. the variable ordering.

theorem lookup-lead-monom-decreasing: assumes symmetric-mpoly A pdefines $m \equiv lead$ -monom passumes $i \in A \ j \in A \ i \leq j$ shows lookup $m \ i \geq lookup \ m \ j$ proof (cases p = 0) case [simp]: False show ?thesis proof (intro leI notI) assume less: lookup $m \ i < lookup \ m \ j$ define π where $\pi = transpose \ i \ j$ from assms have π : π permutes Aunfolding π -def by (intro permutes-swap-id) auto have [simp]: $\pi \circ \pi = id \ \pi \ i = j \ \pi \ j = i \ Ak. \ k \neq i \implies k \neq j \implies \pi \ k = k$ by (auto simp: π -def Fun.swap-def fun-eq-iff) have $0 \neq lead$ -coeff p by simp

```
also have lead-coeff p = MPoly-Type.coeff (mpoly-map-vars \pi p) (permutep \pi
m)
using \pi by (simp add: lead-coeff-def m-def coeff-mpoly-map-vars
permutes-bij permutep-comp' [symmetric])
also have mpoly-map-vars \pi p = p
using \pi assms by (simp add: symmetric-mpoly-def)
finally have permutep \pi m \leq m by (auto simp: m-def)
moreover have lookup m i < lookup (permutep \pi m) i
and (\forall k < i. lookup m k = lookup (permutep \pi m) k)
using assms \pi less by (auto simp: lookup-permutep permutes-bij)
hence m < permutep \pi m
by (auto simp: less-poly-mapping-def less-fun-def)
ultimately show False by simp
qed
```

```
qed (auto simp: m-def)
```

2.10 The elementary symmetric polynomials

The k-th elementary symmetric polynomial for a finite set of variables A, with k ranging between 1 and |A|, is the sum of the product of all subsets of A with cardinality k:

lift-definition sym-mpoly-aux :: nat set \Rightarrow nat \Rightarrow (nat \Rightarrow_0 nat) \Rightarrow_0 'a :: {zero-neq-one} is

 $\begin{array}{l} \lambda X \ k \ mon. \ if \ finite \ X \land (\exists \ Y. \ Y \subseteq X \land \ card \ Y = k \land \ mon = \ monom \ of -set \ Y) \\ then \ 1 \ else \ 0 \\ proof \ - \\ fix \ k \ :: \ nat \ and \ X \ :: \ nat \ set \\ show \ finite \ \{x. \ (if \ finite \ X \land (\exists \ Y \subseteq X. \ card \ Y = k \land x = \ monom \ of -set \ Y) \ then \\ 1 \ else \ 0) \neq \\ (0::'a)\} \ (is \ finite \ ?A) \\ proof \ (cases \ finite \ X) \\ case \ True \\ have \ ?A \subseteq \ monom \ of -set \ `Pow \ X \ by \ auto \\ moreover \ from \ True \ have \ finite \ (monom \ of -set \ `Pow \ X) \ by \ simp \\ ultimately \ show \ ?thesis \ by \ (rule \ finite \ -subset) \\ qed \ auto \\ qed \end{array}$

lemma lookup-sym-mpoly-aux:

Poly-Mapping.lookup (sym-mpoly-aux X k) mon = (if finite $X \land (\exists Y. Y \subseteq X \land card Y = k \land mon = monom-of-set Y)$ then 1 else 0) by transfer' simp

lemma lookup-sym-mpoly-aux-monom-of-set [simp]: assumes finite $X \ Y \subseteq X$ card Y = k shows Poly-Mapping.lookup (sym-mpoly-aux X k) (monom-of-set Y) = 1 using assms by (auto simp: lookup-sym-mpoly-aux)

- **lemma** keys-sym-mpoly-aux: $m \in keys$ (sym-mpoly-aux A k) \Longrightarrow keys $m \subseteq A$ by transfer' (auto split: if-splits simp: keys-monom-of-set)
- **lift-definition** sym-mpoly :: nat set \Rightarrow nat \Rightarrow 'a :: {zero-neq-one} mpoly is sym-mpoly-aux.
- **lemma** vars-sym-mpoly-subset: vars (sym-mpoly $A \ k$) $\subseteq A$ using keys-sym-mpoly-aux by (auto simp: vars-def sym-mpoly.rep-eq)

lemma coeff-sym-mpoly: MPoly-Type.coeff (sym-mpoly X k) mon = (if finite $X \land (\exists Y. Y \subseteq X \land card Y = k \land mon = monom-of-set Y)$ then 1 else 0) **by** transfer' (simp add: lookup-sym-mpoly-aux)

lemma sym-mpoly-infinite: \neg finite $A \implies$ sym-mpoly $A \ k = 0$ by (transfer, transfer) auto

lemma sym-moly-altdef: sym-moly $A \ k = (\sum X \mid X \subseteq A \land card \ X = k.$ monom (monom-of-set X) 1)**proof** (cases finite A) case False hence *: infinite {X. $X \subseteq A \land$ infinite X} **by** (*rule infinite-infinite-subsets*) have infinite $\{X, X \subseteq A \land card X = 0\}$ by (rule infinite-super [OF - *]) auto **moreover have** **: infinite {X. $X \subseteq A \land finite X \land card X = k$ } if $k \neq 0$ using that infinite-card-subsets of A k False by auto have infinite {X. $X \subseteq A \land card X = k$ } if $k \neq 0$ **by** (rule infinite-super[OF - **[OF that]]) auto ultimately show ?thesis using False by (cases k = 0) (simp-all add: sym-moly-infinite) \mathbf{next} case True show ?thesis **proof** (*intro mpoly-eqI*, *goal-cases*) case (1 m)show ?case **proof** (cases $\exists X. X \subseteq A \land card X = k \land m = monom\text{-of-set } X$) case False thus ?thesis by (auto simp: coeff-sym-mpoly coeff-sum coeff-monom) \mathbf{next} case True then obtain X where X: $X \subseteq A$ card X = k m = monom-of-set X**by** blast have coeff $(\sum X \mid X \subseteq A \land card X = k)$.

```
monom (monom-of-set X) 1) m = (\sum X \in \{X\}, 1) unfolding coeff-sum
     proof (intro sum.mono-neutral-cong-right ballI)
      fix Y assume Y: Y \in \{X, X \subseteq A \land card X = k\} - \{X\}
      hence X = Y if monom-of-set X = monom-of-set Y
        using that finite-subset [OF X(1)] finite-subset [of Y A] \langle finite A \rangle by auto
      thus coeff (monom (monom-of-set Y) 1) m = 0
        using X Y by (auto simp: coeff-monom when-def)
     qed (insert X (finite A), auto simp: coeff-monom)
     thus ?thesis using (finite A) by (auto simp: coeff-sym-mpoly coeff-sum co-
eff-monom)
   qed
 qed
qed
lemma coeff-sym-mpoly-monom-of-set [simp]:
 assumes finite X \ Y \subset X card Y = k
 shows MPoly-Type.coeff (sym-mpoly X k) (monom-of-set Y) = 1
 using assms by (auto simp: coeff-sym-mpoly)
lemma coeff-sym-moly-0: coeff (sym-moly X k) 0 = (if finite X \land k = 0 then 1)
else 0)
proof -
 consider finite X k = 0 | finite X k \neq 0 | infinite X by blast
 thus ?thesis
 proof cases
   assume finite X k = 0
   hence coeff (sym-moly X k) (monom-of-set \{\}) = 1
     by (subst coeff-sym-mpoly-monom-of-set) auto
   thus ?thesis unfolding monom-of-set-empty using \langle finite X \rangle \langle k = 0 \rangle by simp
 \mathbf{next}
   assume finite X \ k \neq 0
   hence \neg(\exists Y. finite Y \land Y \subseteq X \land card Y = k \land monom-of-set Y = 0)
    by auto
   thus ?thesis using \langle k \neq 0 \rangle
     by (auto simp: coeff-sym-mpoly)
 \mathbf{next}
   assume infinite X
   thus ?thesis by (simp add: coeff-sym-mpoly)
 qed
qed
lemma symmetric-sym-mpoly [intro]:
 assumes A \subseteq B
 shows symmetric-mpoly A (sym-mpoly B k :: 'a :: zero-neq-one mpoly)
 unfolding symmetric-mpoly-def
proof (safe intro!: mpoly-eqI)
 fix \pi and mon :: nat \Rightarrow_0 nat assume \pi: \pi permutes A
 from \pi have \pi': \pi permutes B by (rule permutes-subset) fact
 from \pi have MPoly-Type.coeff (moly-map-vars \pi (sym-moly B k :: 'a moly))
```

mon =MPoly-Type.coeff (sym-mpoly $B \ k :: 'a \ mpoly$) (permutep $\pi \ mon$) **by** (*simp add: coeff-mpoly-map-vars permutes-bij*) also have $\ldots = 1 \leftrightarrow MPoly$ -Type.coeff (sym-moly B k :: 'a mpoly) mon = 1 (is $?lhs = 1 \leftrightarrow ?rhs = 1$) proof assume ?rhs = 1then obtain Y where finite B and Y: $Y \subseteq B$ card $Y = k \mod -$ monom-of-set Y **by** (*auto simp: coeff-sym-mpoly split: if-splits*) with π' have $\pi - Y \subseteq B$ card $(\pi - Y) = k$ permute π mon = monom-of-set $(\pi - 'Y)$ by (auto simp: permutes-in-image card-vimage-inj permutep-monom-of-set permutes-bij permutes-inj permutes-surj) thus ?lhs = 1 using (finite B) by (auto simp: coeff-sym-mpoly) next assume ?lhs = 1then obtain Y where finite B and Y: $Y \subseteq B$ card Y = k permutep π mon = monom-of-set Y **by** (*auto simp: coeff-sym-mpoly split: if-splits*) from Y(1) have inj-on π Y using inj-on-subset[of π UNIV Y] π' **by** (*auto simp: permutes-inj*) with $Y \pi'$ have $\pi' Y \subseteq B$ card $(\pi' Y) = k$ mon = monom-of-set $(\pi' Y)$ by (auto simp: permutes-in-image card-image permutep-monom-of-set *permutes-bij permutes-inj permutes-surj*) thus ?rhs = 1 using (finite B) by (auto simp: coeff-sym-mpoly) qed hence ?lhs = ?rhs**by** (auto simp: coeff-sym-mpoly split: if-splits) finally show MPoly-Type.coeff (mpoly-map-vars π (sym-mpoly B k :: 'a mpoly)) mon =MPoly-Type.coeff (sym-mpoly B k :: 'a mpoly) mon. qed

lemma insertion-sym-mpoly: **assumes** finite X **shows** insertion f (sym-mpoly X k) = ($\sum Y | Y \subseteq X \land card Y = k$. prod f Y) **using** assms **proof** (transfer, transfer) **fix** f :: nat \Rightarrow 'a **and** k :: nat **and** X :: nat set **assume** X: finite X **have** insertion-fun f (λ mon. *if* finite $X \land (\exists Y \subseteq X. card Y = k \land mon = monom\text{-of-set } Y)$ then 1 else θ) = ($\sum m$. ($\prod v. f v \uparrow poly$ -mapping.lookup m v) when ($\exists Y \subseteq X. card Y = k \land m = monom\text{-of-set } Y$)) **by** (auto simp add: insertion-fun-def X when-def introl: Sum-any.cong)

also have ... = $(\sum m \mid \exists Y \in Pow X. card Y = k \land m = monom-of-set Y. (\prod v. f v ^ poly-mapping.lookup m v) when (\exists Y \subseteq X. card Y = k \land m = monom-of-set$

Y))

by (rule Sum-any.expand-superset) (use X in auto) also have $\ldots = (\sum m \mid \exists Y \in Pow X. card Y = k \land m = monom-of-set Y. (\prod v.$ $f v \cap poly-mapping.lookup m v))$ **by** (*intro sum.cong*) (*auto simp: when-def*) also have $\ldots = (\sum_{i=1}^{n} Y \mid Y \subseteq X \land card Y = k. (\prod v. fv \cap poly-mapping.lookup)$ (monom-of-set Y) v))by (rule sum.reindex-bij-witness[of - monom-of-set keys]) (auto simp: finite-subset[OF -X])also have $\ldots = (\sum Y \mid Y \subseteq X \land card Y = k. \prod v \in Y. f v)$ **proof** (*intro sum.cong when-cong refl, goal-cases*) case (1 Y)**hence** finite Y by (auto dest: finite-subset[OF - X]) with 1 have $(\prod v. f v \cap poly-mapping.lookup (monom-of-set Y) v) =$ $(\prod v::nat. if v \in Y then f v else 1)$ by (intro Prod-any.cong) (auto simp: lookup-monom-of-set) also have $\ldots = (\prod v \in Y. f v)$ **by** (rule Prod-any.conditionalize [symmetric]) fact+ finally show ?case . qed finally show insertion-fun f $(\lambda mon. if finite X \land (\exists Y \subseteq X. card Y = k \land mon = monom-of-set$ Y) then 1 else 0) = $(\sum Y \mid Y \subseteq X \land card Y = k. prod f Y)$. qed **lemma** sym-mpoly-nz [simp]: assumes finite $A \ k \leq card \ A$ sym-moly $A \ k \neq (0 :: 'a :: zero-neq-one moly)$ shows proof from assms obtain B where B: $B \subseteq A$ card B = kusing ex-subset-of-card by blast with assms have coeff (sym-moly $A \ k :: a \ moly$) (monom-of-set B) = 1 **by** (*intro coeff-sym-mpoly-monom-of-set*) thus ?thesis by auto qed **lemma** coeff-sym-moly-0-or-1: coeff (sym-moly A k) $m \in \{0, 1\}$ by (transfer, transfer) auto **lemma** *lead-coeff-sym-mpoly* [*simp*]: assumes finite $A \ k \leq card \ A$ **shows** lead-coeff (sym-moly A(k) = 1proof from assms have lead-coeff (sym-moly A k) $\neq 0$ by simp thus ?thesis using coeff-sym-moly-0-or-1 [of A k lead-monom (sym-moly A k)] unfolding lead-coeff-def by blast \mathbf{qed}

lemma *lead-monom-sym-mpoly*: **assumes** sorted xs distinct xs $k \leq length xs$ lead-monom (sym-moly (set xs) k :: 'a :: zero-neq-one moly) =shows monom-of-set (set (take k xs)) (is lead-monom ?p = -) proof – let ?m = lead-monom ?phave sym: symmetric-moly (set xs) (sym-moly (set xs) k) **by** (*intro symmetric-sym-mpoly*) *auto* **from** assms have [simp]: card (set xs) = length xsby (subst distinct-card) auto from assms have lead-coeff ?p = 1by (subst lead-coeff-sym-mpoly) auto then obtain X where X: $X \subseteq set xs card X = k ?m = monom-of-set X$ unfolding lead-coeff-def by (subst (asm) coeff-sym-mpoly) (auto split: if-splits) **define** ys where $ys = map \ (\lambda x. if x \in X then 1 else 0 :: nat) xs$ **have** [simp]: length ys = length xs by (simp add: ys-def) have ys-altdef: $ys = map \ (lookup \ ?m) \ xs$ **unfolding** ys-def using X finite-subset[OF X(1)] **by** (*intro map-cong*) (*auto simp: lookup-monom-of-set*) **define** *i* where i = Min (insert (length xs) {*i*. *i* < length xs \land ys ! *i* = 0}) have $i \leq length xs$ by (auto simp: i-def) have *in-X*: $xs \mid j \in X$ if j < i for jusing that unfolding *i*-def by (auto simp: ys-def) have not-in-X: $xs \mid j \notin X$ if $i \leq j j < length xs$ for j proof – have ne: $\{i. i < length xs \land ys \mid i = 0\} \neq \{\}$ proof **assume** [simp]: {i. $i < length xs \land ys ! i = 0$ } = {} from that show False by (simp add: i-def) qed hence $Min \{i. i < length xs \land ys \mid i = 0\} \in \{i. i < length xs \land ys \mid i = 0\}$ using that by (intro Min-in) auto also have $Min \{i. i < length xs \land ys \mid i = 0\} = i$ **unfolding** *i-def* **using** *ne* **by** (*subst Min-insert*) (*auto simp: min-def*) finally have i: ys ! i = 0 i < length xs by simp-all have lookup $?m(xs \mid j) \leq lookup ?m(xs \mid i)$ using that assms **by** (*intro lookup-lead-monom-decreasing*[OF sym]) (auto intro!: sorted-nth-mono simp: set-conv-nth) also have $\ldots = 0$ using *i* by (simp add: ys-altdef) finally show ?thesis using that X finite-subset[OF X(1)] by (auto simp: *lookup-monom-of-set*) qed from X have k = card Xby simp also have $X = (\lambda i. xs ! i)$ ' { $i. i < length xs \land xs ! i \in X$ }

using X by (auto simp: set-conv-nth) also have card ... = $(\sum i \mid i < length xs \land xs ! i \in X. 1)$ using assms by (subst card-image) (auto introl: inj-on-nth) **also have** ... = $(\sum i \mid i < \text{length xs. if } xs \mid i \in X \text{ then } 1 \text{ else } 0)$ **by** (*intro sum.mono-neutral-cong-left*) *auto* also have $\ldots = sum$ -list ys **by** (*auto simp: sum-list-sum-nth ys-def intro*!: *sum.cong*) **also have** $ys = take \ i \ ys \ @ \ drop \ i \ ys \ by \ simp$ also have sum-list \ldots = sum-list (take i ys) + sum-list (drop i ys) by (subst sum-list-append) auto also have take i ys = replicate i 1 using $\langle i \leq length xs \rangle$ in-X **by** (*intro replicate-eqI*) (*auto simp: ys-def set-conv-nth*) also have sum-list $\ldots = i$ by simp also have drop i ys = replicate (length ys - i) 0 using $\langle i \leq length xs \rangle$ not-in-X **by** (*intro replicate-eqI*) (*auto simp: ys-def set-conv-nth*) also have sum-list $\ldots = 0$ by simp finally have i = k by simphave X = set (filter ($\lambda x. x \in X$) xs) using X by auto also have $xs = take \ i \ xs \ @ \ drop \ i \ xs \ by \ simp$ also note filter-append also have filter $(\lambda x. x \in X)$ (take i xs) = take i xs using *in-X* by (*intro filter-True*) (*auto simp: set-conv-nth*) also have filter $(\lambda x. x \in X)$ $(drop \ i \ xs) = []$ using not-in-X by (intro filter-False) (auto simp: set-conv-nth) finally have X = set (take i xs) by simp with $\langle i = k \rangle$ and X show ?thesis by simp



2.11 Induction on the leading monomial

We show that the monomial ordering for a fixed set of variables is wellfounded, so we can perform induction on the leading monomial of a polynomial.

definition monom-less-on where monom-less-on $A = \{(m1, m2). m1 < m2 \land keys m1 \subseteq A \land keys m2 \subseteq A\}$ lemma wf-monom-less-on: assumes finite Ashows wf (monom-less-on $A :: ((nat \Rightarrow_0 'b :: \{zero, wellorder\}) \times -) set)$ proof (rule wf-subset) define n where n = Suc (Max (insert 0 A)) have less-n: k < n if $k \in A$ for kusing that assms by (auto simp: n-def less-Suc-eq-le Max-ge-iff)

define $f :: (nat \Rightarrow_0 b) \Rightarrow b$ list where $f = (\lambda m. map (lookup m) [0..< n])$

show wf (inv-image (lexn $\{(x,y), x < y\}$ n) f)

by (*intro wf-inv-image wf-lexn wellorder-class.wf*) **show** monom-less-on $A \subseteq inv$ -image (lexn {(x, y). x < y} n) f proof safe fix $m1 m2 :: nat \Rightarrow_0 b$ assume $(m1, m2) \in monom-less-on A$ hence m12: m1 < m2 keys m1 \subseteq A keys m2 \subseteq A **by** (*auto simp: monom-less-on-def*) then obtain k where k: lookup m1 k < lookup m2 k $\forall i < k$. lookup m1 i = lookup m2 i**by** (*auto simp: less-poly-mapping-def less-fun-def*) have $\neg (lookup \ m1 \ k = 0 \land lookup \ m2 \ k = 0)$ **proof** (*intro notI*) assume lookup m1 $k = 0 \land lookup m2 k = 0$ hence [simp]: lookup m1 k = 0 lookup m2 k = 0 by blast+ from k(1) show False by simp qed hence $k \in A$ using m12 by (auto simp: in-keys-iff) hence k < n by (simp add: less-n) define as where $as = map \ (lookup \ m1) \ [0..< k]$ define bs1 where bs1 = map (lookup m1) [Suc k..< n]define bs2 where bs2 = map (lookup m2) [Suc k..<n] have decomp: [0..< n] = [0..< k] @ [k] @ drop (Suc k) [0..< n]using $\langle k < n \rangle$ by (simp flip: upt-conv-Cons upt-add-eq-append') have [simp]: length as = k length bs1 = $n - Suc \ k$ length bs2 = $n - Suc \ k$ **by** (*simp-all add: as-def bs1-def bs2-def*) have f m1 = as @ [lookup m1 k] @ bs1 unfolding f-def**by** (subst decomp) (simp add: as-def bs1-def) moreover have f m2 = as @ [lookup m2 k] @ bs2 unfolding f-defusing k by (subst decomp) (simp add: as-def bs2-def) ultimately show $(m1, m2) \in inv$ -image $(lexn \{(x,y), x < y\} n) f$ using $k(1) \langle k < n \rangle$ unfolding *lexn-conv* by *fastforce* \mathbf{qed} qed **lemma** *lead-monom-induct* [*consumes* 2, *case-names less*]: fixes p :: 'a :: zero mpoly**assumes** fin: finite A and vars: vars $p \subseteq A$ assumes IH: $\bigwedge p$. vars $p \subseteq A \Longrightarrow$ $(\bigwedge p'. vars p' \subseteq A \Longrightarrow lead-monom p' < lead-monom p \Longrightarrow P p')$ $\implies P p$ shows P pusing assms(2)**proof** (induct $m \equiv lead$ -monom p arbitrary: p rule: wf-induct-rule[OF wf-monom-less-on[OF]] fin])case (1 p)show ?case proof (rule IH) fix p' :: 'a mpoly assume $*: vars p' \subseteq A$ lead-monom p' < lead-monom p

show P p'
by (rule 1) (insert * 1.prems keys-lead-monom-subset, auto simp: monom-less-on-def)
qed (insert 1, auto)
qed

lemma lead-monom-induct' [case-names less]:

fixes p :: 'a :: zero mpolyassumes $IH: \bigwedge p. (\bigwedge p'. vars p' \subseteq vars p \Longrightarrow lead-monom p' < lead-monom p$ $\Longrightarrow P p') \Longrightarrow P p$ shows P pproof – have finite (vars p) vars $p \subseteq vars p$ by (auto simp: vars-finite) thus ?thesis by (induction rule: lead-monom-induct) (use IH in blast) qed

2.12 The fundamental theorem of symmetric polynomials

lemma lead-coeff-sym-mpoly-powerprod: **assumes** finite $A \land x. x \in X \implies f x \in \{1..card A\}$ **shows** lead-coeff $(\prod x \in X. sym-mpoly A (f (x::'a)) ^g x) = 1$ **proof** – **have** eq: lead-coeff (sym-mpoly A (f x) ^g x :: 'b mpoly) = 1 **if** $x \in X$ for x **using** that assms **by** (subst lead-coeff-power) (auto simp: lead-coeff-sym-mpoly assms) **hence** $(\prod x \in X. lead-coeff (sym-mpoly A (f x) ^g x :: 'b mpoly)) = (\prod x \in X. 1)$ **by** (intro prod.cong eq refl) **also have** ... = 1 **by** simp **finally have** eq': $(\prod x \in X. lead-coeff (sym-mpoly A (f x) ^g x :: 'b mpoly)) = 1$.

show ?thesis **by** (subst lead-coeff-prod) (auto simp: eq eq') **qed**

 $\mathbf{context}$

fixes $A :: nat set and xs \ n \ f and decr :: 'a :: comm-ring-1 mpoly <math>\Rightarrow$ bool defines $xs \equiv$ sorted-list-of-set Adefines $n \equiv card \ A$ defines $f \equiv (\lambda i. \ if \ i < n \ then \ xs \ ! \ i \ else \ 0)$ defines $decr \equiv (\lambda p. \ \forall \ i \in A. \ \forall \ j \in A. \ i \le j \longrightarrow$ $lookup \ (lead-monom \ p) \ i \ge lookup \ (lead-monom \ p) \ j)$

begin

The computation of the witness for the fundamental theorem works like this: Given some polynomial p (that is assumed to be symmetric in the variables in A), we inspect its leading monomial, which is of the form $cX_1^{i_1} \dots X_n i_n$ where the $A = \{X_1, \dots, X_n\}$, c contains only variables not in A, and the sequence i_j is decreasing. The latter holds because p is symmetric.

Now, we form the polynomial $q := ce_1^{i_1-i_2}e_2^{i_2-i_3}\ldots e_n^{i_n}$, which has the same leading term as p. Then p-q has a smaller leading monomial, so by induc-

tion, we can assume it to be of the required form and obtain a witness for p-q.

Now, we only need to add $cY_1^{i_1-i_2}\ldots Y_n^{i_n}$ to that witness and we obtain a witness for p.

definition fund-sym-step-coeff :: 'a mpoly \Rightarrow 'a mpoly where fund-sym-step-coeff p = monom (restrictpm (-A) (lead-monom p)) (lead-coeff p)

definition fund-sym-step-monom :: 'a mpoly \Rightarrow (nat \Rightarrow_0 nat) where fund-sym-step-monom p = (

let $g = (\lambda i. if i < n then lookup (lead-monom p) (f i) else 0)$ in $(\sum i < n. Poly-Mapping.single (Suc i) (g i - g (Suc i))))$

 $\begin{array}{l} \textbf{definition fund-sym-step-poly :: 'a mpoly } \Rightarrow 'a mpoly \textbf{where} \\ fund-sym-step-poly p = (\\ let g = (\lambda i. if i < n then lookup (lead-monom p) (f i) else 0) \\ in fund-sym-step-coeff p * (\prod i < n. sym-mpoly A (Suc i) ^ (g i - g (Suc i)))) \end{array}$

The following function computes the witness, with the convention that it returns a constant polynomial if the input was not symmetric:

function (domintros) fund-sym-poly-wit :: 'a :: comm-ring-1 mpoly \Rightarrow 'a mpoly mpoly where

 $\begin{array}{l} \textit{fund-sym-poly-wit } p = \\ (\textit{if } \neg \textit{symmetric-mpoly } A \ p \lor \textit{lead-monom } p = 0 \lor \textit{vars } p \cap A = \{\} \textit{ then Const} \\ p \textit{ else} \\ \textit{fund-sym-poly-wit } (p - \textit{fund-sym-step-poly } p) + \end{array}$

monom (fund-sym-step-monom p) (fund-sym-step-coeff p)) by auto

```
lemma coeff-fund-sym-step-coeff: coeff (fund-sym-step-coeff p) m \in \{lead-coeff p, 0\}
```

by (*auto simp: fund-sym-step-coeff-def coeff-monom when-def*)

lemma vars-fund-sym-step-coeff: vars (fund-sym-step-coeff p) \subseteq vars p - Aunfolding fund-sym-step-coeff-def using keys-lead-monom-subset[of p] by (intro order.trans[OF vars-monom-subset]) auto

lemma keys-fund-sym-step-monom: keys (fund-sym-step-monom p) \subseteq {1..n} **unfolding** fund-sym-step-monom-def Let-def **by** (intro order.trans[OF keys-sum] UN-least, subst keys-single) auto

lemma coeff-fund-sym-step-poly: **assumes** $C: \forall m. coeff p m \in C$ and ring-closed C **shows** coeff (fund-sym-step-poly p) $m \in C$ **proof** – **interpret** ring-closed C by fact **have** $*: \bigwedge m. coeff (p \ x) m \in C$ if $\bigwedge m. coeff p m \in C$ for p x **using** that by (induction x) (auto simp: coeff-mpoly-times mpoly-coeff-1 intro!: prod-fun-closed) have **: $\bigwedge m. \ coeff \ (prod \ f \ X) \ m \in C$ if $\bigwedge i \ m. \ i \in X \implies coeff \ (f \ i) \ m \in C$ for X and f :: $nat \Rightarrow$ using that by (induction X rule: infinite-finite-induct) (auto simp: coeff-mpoly-times mpoly-coeff-1 intro!: prod-fun-closed) show ?thesis using C unfolding fund-sym-step-poly-def Let-def fund-sym-step-coeff-def coeff-mpoly-times by (intro prod-fun-closed) (auto simp: coeff-monom when-def lead-coeff-def coeff-sym-mpoly intro!: * **) ged

We now show various relevant properties of the subtracted polynomial:

- 1. Its leading term is the same as that of the input polynomial.
- 2. It contains now new variables.
- 3. It is symmetric in the variables in A.

lemma *fund-sym-step-poly*:

shows finite $A \Longrightarrow p \neq 0 \Longrightarrow decr p \Longrightarrow lead-monom$ (fund-sym-step-poly p) = lead-monom p finite $A \Longrightarrow p \neq 0 \Longrightarrow decr p \Longrightarrow lead-coeff$ (fund-sym-step-poly p) = and lead-coeff p finite $A \Longrightarrow p \neq 0 \Longrightarrow decr p \Longrightarrow fund-sym-step-poly p =$ and fund-sym-step-coeff $p * (\prod x. sym-mpoly A x \cap lookup (fund-sym-step-monom))$ p) x)and vars (fund-sym-step-poly $p) \subseteq vars \ p \cup A$ and symmetric-moly A (fund-sym-step-poly p) proof **define** g where $g = (\lambda i. if i < n then lookup (lead-monom p) (f i) else 0)$ define q where $q = (\prod i < n. sym-mpoly A (Suc i) \cap (g i - g (Suc i)) :: 'a$ mpoly) define c where c = monom (restrictpm (-A) (lead-monom p)) (lead-coeff p) have [simp]: fund-sym-step-poly p = c * qby (simp add: fund-sym-step-poly-def fund-sym-step-coeff-def c-def q-def f-def g-def) have vars $(c * q) \subseteq vars \ p \cup A$ **using** keys-lead-monom-subset[of p] vars-monom-subset[of restrictpm (-A) (lead-monom p) lead-coeff p] **unfolding** *c*-*def q*-*def* by (intro order.trans[OF vars-mult] order.trans[OF vars-prod] order.trans[OF vars-power]

 $\label{eq:un-least} \begin{array}{l} \textit{Un-least UN-least order.trans}[\textit{OF vars-sym-mpoly-subset}]) \textit{ auto thus vars (fund-sym-step-poly p)} \subseteq \textit{vars } p \cup A \end{array}$

 $\mathbf{by} \ simp$

have symmetric-mpoly A(c * q) unfolding c-def q-def

by (intro symmetric-mpoly-mult symmetric-mpoly-monom symmetric-mpoly-prod symmetric-mpoly-power symmetric-sym-mpoly) auto thus symmetric-mpoly A (fund-sym-step-poly p) by simp

assume finite: finite A and $[simp]: p \neq 0$ and decr p have set xs = A distinct xs and [simp]: length <math>xs = n

using finite by (auto simp: xs-def n-def) have [simp]: lead-coeff c = lead-coeff p lead-monom c = restrictpm (-A) (lead-monom p)**by** (*simp-all add: c-def lead-monom-monom*) hence *f*-range [simp]: $f i \in A$ if i < n for iusing that $\langle set xs = A \rangle$ by (auto simp: f-def set-conv-nth) have sorted xs by (simp add: xs-def) hence f-mono: $f \ i \leq f \ j$ if $i \leq j \ j < n$ for $i \ j$ using that **by** (*auto simp*: *f-def n-def intro*: *sorted-nth-mono*) hence g-mono: $g \ i \ge g \ j$ if $i \le j$ for $i \ j$ **unfolding** g-def using that using $\langle decr p \rangle$ by (auto simp: decr-def) have *: $(\prod i < n. lead-coeff (sym-mpoly A (Suc i) ^(g i - g (Suc i)) :: 'a mpoly))$ = $(\prod i < card A. 1)$ **using** (finite A) by (intro prod.cong) (auto simp: n-def lead-coeff-power)hence lead-coeff $q = (\prod i < n. lead-coeff (sym-moly A (Suc i) ^ (g i - g (Suc$ i)) :: 'a mpoly))**by** (*simp add: lead-coeff-prod lead-coeff-power n-def q-def*) also have $\ldots = (\prod i < n. 1)$ using (finite A) by (intro prod.cong) (auto simp: lead-coeff-power n-def) finally have [simp]: lead-coeff q = 1 by simp

have lead-monom $q = (\sum i < n. \ lead-monom \ (sym-mpoly \ A \ (Suc \ i) \ \widehat{} (g \ i - g \ (Suc \ i)) :: 'a \ mpoly))$ using * by (simp add: q-def lead-monom-prod lead-coeff-power n-def)

also have $\ldots = (\sum i < n. \text{ of-nat } (g \ i - g \ (Suc \ i)) * \text{ lead-monom } (sym-mpoly A (Suc \ i) :: 'a mpoly))$

using $\langle finite A \rangle$ by (intro sum.cong) (auto simp: lead-monom-power n-def) also have ... = $(\sum i < n. of-nat (g i - g (Suc i)) * monom-of-set (set (take (Suc i) xs)))$

proof (*intro sum.cong refl, goal-cases*)

case (1 i)

have lead-monom (sym-moly A (Suc i) :: 'a moly) =

lead-monom (sym-mpoly (set xs) (Suc i) :: 'a mpoly)

by (simp add: $\langle set \ xs = A \rangle$)

also from 1 have ... = monom-of-set (set (take (Suc i) xs)) by (subst lead-monom-sym-mpoly) (auto simp: xs-def n-def)

finally show ?case by simp

finally have *lead-monom-q*:

lead-monom $q = (\sum i < n. \text{ of-nat } (g \ i - g \ (Suc \ i)) * \text{ monom-of-set } (set \ (take \ (Suc \ i) \ xs)))$.

have lead-monom (c * q) = lead-monom c + lead-monom q

qed

by (simp add: lead-monom-mult) also have $\ldots = lead$ -monom p (is ?S = -) **proof** (*intro poly-mapping-eqI*) fix i :: nat**show** lookup (lead-monom c + lead-monom q) i = lookup (lead-monom p) i**proof** (cases $i \in A$) case False hence lookup (lead-monom c + lead-monom q) i = lookup (lead-monom p) i+ $(\sum j < n. (g j - g (Suc j)) * lookup (monom-of-set (set (take (Suc j))))))$ xs))) i)(is - - + ?S) by (simp add: lookup-add lead-monom-q lookup-sum)also from *False* have ?S = 0by (intro sum.neutral) (auto simp: lookup-monom-of-set (set xs = A) dest!: *in-set-takeD*) finally show ?thesis by simp next case True with $\langle set \ xs = A \rangle$ obtain m where m: i = xs ! m m < nby (auto simp: set-conv-nth) have lookup (lead-monom c + lead-monom q) i = $(\sum j < n. (g \ j - g \ (Suc \ j)) * lookup \ (monom-of-set \ (set \ (take \ (Suc \ j))) * lookup \ (monom-of-set \ (set \ (take \ (Suc \ j))) * lookup \ (monom-of-set \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (monom-of-set \ (set \ (take \ (Suc \ j))) * lookup \ (monom-of-set \ (set \ (take \ (Suc \ j)))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (Suc \ j))) * lookup \ (set \ (take \ (take \ (Suc \ j)))) * lookup \ (take \$ xs))) i)using True by (simp add: lookup-add lookup-sum lead-monom-q) also have $\ldots = (\sum j \mid j < n \land i \in set (take (Suc j) xs), g j - g (Suc j))$ $\mathbf{by} \ (intro \ sum.mono-neutral-cong-right) \ auto$ also have $\{j, j < n \land i \in set (take (Suc j) xs)\} = \{m, <n\}$ using $m \langle distinct xs \rangle$ by (force simp: set-conv-nth nth-eq-iff-index-eq) also have $(\sum j \in \dots g \ j - g \ (Suc \ j)) = (\sum j \in \dots g \ j) - (\sum j \in \dots g \ (Suc \ j))$ j))**by** (*subst sum-subtractf-nat*) (*auto intro*!: *g-mono*) also have $(\sum j \in \{m..< n\}, g (Suc j)) = (\sum j \in \{m<...n\}, g j)$ by (intro sum.reindex-bij-witness[of - λj . j - 1 Suc]) auto also have $\ldots = (\sum j \in \{m < .. < n\}, g j)$ by (intro sum.mono-neutral-right) (auto simp: g-def) also have $(\sum j \in \{m.. < n\}, g j) - ... = (\sum j \in \{m.. < n\} - \{m < ... < n\}, g j)$ by (intro sum-diff-nat [symmetric]) auto **also have** $\{m..< n\} - \{m<..< n\} = \{m\}$ using *m* by *auto* also have $(\sum j \in \ldots g j) = lookup (lead-monom p) i$ using m by (auto simp: g-def not-less le-Suc-eq f-def) finally show ?thesis . qed qed finally show lead-monom (fund-sym-step-poly p) = lead-monom p by simp **show** lead-coeff (fund-sym-step-poly p) = lead-coeff p**by** (*simp add: lead-coeff-mult*)

have *: lookup (fund-sym-step-monom p) $k = (if \ k \in \{1..n\} then \ g \ (k-1) - g k else \ 0)$ for k

proof -

have lookup (fund-sym-step-monom p) k = $(\sum x \in \{i \in \{1..n\} \ then \ \{k-1\} \ else \ \{\}\}). \ g \ (k-1) - g \ k)$ unfolding fund-sym-step-monom-def lookup-sum Let-def **by** (*intro sum.mono-neutral-cong-right*) (auto simp: g-def lookup-single when-def split: if-splits) thus ?thesis by simp qed **hence** $(\prod x. sym-mpoly A x \cap lookup (fund-sym-step-monom p) x :: 'a mpoly) =$ $(\prod x \in \{1..n\}. sym-mpoly A x \cap lookup (fund-sym-step-monom p) x)$ $\mathbf{by}~(\mathit{intro}~\mathit{Prod-any}.\mathit{expand-superset})~\mathit{auto}$ also have $\ldots = (\prod x < n. sym-moly A (Suc x) \cap lookup (fund-sym-step-monom))$ p) (Suc x)) by (intro prod.reindex-bij-witness[of - Suc $\lambda i. i - 1$]) auto also have $\ldots = q$ **unfolding** *q*-def by (intro prod.conq) (auto simp: *) finally show fund-sym-step-poly p =fund-sym-step-coeff $p * (\prod x. sym-mpoly A x \cap lookup (fund-sym-step-monom))$ p) x)by (simp add: c-def q-def f-def q-def fund-sym-step-monom-def fund-sym-step-coeff-def) qed

If the input is well-formed, a single step of the procedure always decreases the leading monomial.

lemma *lead-monom-fund-sym-step-poly-less*: assumes finite A and lead-monom $p \neq 0$ and decr p **shows** lead-monom (p - fund-sym-step-poly p) < lead-monom p**proof** (cases p = fund-sym-step-poly p) case True thus ?thesis using assms by (auto simp: order.strict-iff-order) next case False from assms have [simp]: $p \neq 0$ by auto let ?q = fund-sym-step-poly p and ?m = lead-monom phave coeff (p - ?q) ?m = 0**using** fund-sym-step-poly[of p] assms **by** (simp add: lead-coeff-def) moreover have lead-coeff $(p - ?q) \neq 0$ using False by auto ultimately have lead-monom $(p - ?q) \neq ?m$ unfolding lead-coeff-def by auto moreover have lead-monom $(p - ?q) \le ?m$ **using** fund-sym-step-poly[of p] assms by (intro order.trans[OF lead-monom-diff] max.boundedI) auto ultimately show ?thesis by (auto simp: order.strict-iff-order) qed

Finally, we prove that the witness is indeed well-defined for all inputs.

lemma fund-sym-poly-wit-dom-aux: assumes finite B vars $p \subseteq B A \subseteq B$ shows fund-sym-poly-wit-dom p

```
using assms(1-3)
proof (induction p rule: lead-monom-induct)
 case (less p)
 have [simp]: finite A by (rule finite-subset[of - B]) fact+
 show ?case
 proof (cases lead-monom p = 0 \lor \negsymmetric-moly A p)
   case False
   hence [simp]: p \neq 0 by auto
   note decr = lookup-lead-monom-decreasing[of A p]
   have vars (p - fund-sym-step-poly p) \subseteq B
     using fund-sym-step-poly[of p] decr False less.prems less.hyps \langle A \subseteq B \rangle
     by (intro order.trans[OF vars-diff]) auto
   hence fund-sym-poly-wit-dom (p - local.fund-sym-step-poly p)
     using False less.prems less.hyps decr
     by (intro less.IH fund-sym-step-poly symmetric-mpoly-diff
             lead-monom-fund-sym-step-poly-less) (auto simp: decr-def)
   thus ?thesis using fund-sym-poly-wit.domintros by blast
 qed (auto intro: fund-sym-poly-wit.domintros)
qed
lemma fund-sym-poly-wit-dom [intro]: fund-sym-poly-wit-dom p
proof –
 consider \neg symmetric-mpoly A \ p \mid vars \ p \cap A = \{\} \mid symmetric-mpoly \ A \ p \ A
\subseteq vars p
   using symmetric-mpoly-imp-orthogonal-or-subset [of A p] by blast
 thus ?thesis
 proof cases
   assume symmetric-mpoly A \ p \ A \subseteq vars \ p
    thus ?thesis using fund-sym-poly-wit-dom-aux[of vars p p] by (auto simp:
vars-finite)
```

qed

```
termination fund-sym-poly-wit
```

by (intro all fund-sym-poly-wit-dom)

qed (*auto intro: fund-sym-poly-wit.domintros*)

Next, we prove that our witness indeed fulfils all the properties stated by the fundamental theorem:

- 1. If the original polynomial was in $R[X_1, \ldots, X_n, \ldots, X_m]$ where the X_1 to X_n are the symmetric variables, then the witness is a polynomial in $R[X_{n+1},\ldots,X_m][Y_1,\ldots,Y_n]$. This means that its coefficients are polynomials in the variables of the original polynomial, minus the symmetric ones, and the (new and independent) variables of the witness polynomial range from 1 to n.
- 2. Substituting the *i*-th symmetric polynomial $e_i(X_1, \ldots, X_n)$ for the Y_i variable for every i yields the original polynomial.

3. The coefficient ring R need not be the entire type; if the coefficients of the original polynomial are in some subring, then the coefficients of the coefficients of the witness also do.

```
lemma fund-sym-poly-wit-coeffs-aux:
```

assumes finite B vars $p \subseteq B$ symmetric-moly A $p A \subseteq B$ **shows** vars (coeff (fund-sym-poly-wit p) m) $\subseteq B - A$ using assms **proof** (*induction p rule: fund-sym-poly-wit.induct*) case (1 p)show ?case **proof** (cases lead-monom $p = 0 \lor vars p \cap A = \{\}$) case False have vars (p - fund-sym-step-poly $p) \subseteq B$ **using** 1.prems fund-sym-step-poly[of p] **by** (intro order.trans[OF vars-diff]) autowith 1 False have vars (coeff (fund-sym-poly-wit (p - fund-sym-step-poly p)) $m) \subseteq B - A$ by (intro 1 symmetric-moly-diff fund-sym-step-poly) auto hence vars (coeff (fund-sym-poly-wit (p - fund-sym-step-poly p) +monom (fund-sym-step-monom p) (fund-sym-step-coeff p)) $m \subseteq B -$ A **unfolding** coeff-add coeff-monom **using** vars-fund-sym-step-coeff [of p] 1.prems by (intro order.trans[OF vars-add] Un-least order.trans[OF vars-monom-subset]) (auto simp: when-def) thus ?thesis using 1.prems False unfolding fund-sym-poly-wit.simps[of p] by simp qed (insert 1.prems, auto simp: fund-sym-poly-wit.simps[of p] mpoly-coeff-Const lead-monom-eq-0-iff) qed **lemma** fund-sym-poly-wit-coeffs: assumes symmetric-mpoly A p**shows** vars (coeff (fund-sym-poly-wit p) m) \subseteq vars p - A**proof** (cases $A \subseteq vars p$) case True with fund-sym-poly-wit-coeffs-aux[of vars p p m] assms **show** ?thesis **by** (auto simp: vars-finite) next case False hence vars $p \cap A = \{\}$ using symmetric-mpoly-imp-orthogonal-or-subset[OF assms] by auto **thus** ?thesis **by** (auto simp: fund-sym-poly-wit.simps[of p] mpoly-coeff-Const) qed

lemma fund-sym-poly-wit-vars: vars (fund-sym-poly-wit p) $\subseteq \{1..n\}$ **proof** (cases symmetric-mpoly $A \ p \land A \subseteq vars \ p$) **case** True **define** B where $B = vars \ p$

have finite B vars $p \subseteq B$ symmetric-moly A $p A \subseteq B$ using True unfolding B-def by (auto simp: vars-finite) thus ?thesis **proof** (*induction p rule: fund-sym-poly-wit.induct*) case (1 p)show ?case **proof** (cases lead-monom $p = 0 \lor vars p \cap A = \{\}$) case False have vars (p - fund-sym-step-poly $p) \subseteq B$ using 1.prems fund-sym-step-poly[of p] by (intro order.trans[OF vars-diff]) autohence vars (local.fund-sym-poly-wit $(p - local.fund-sym-step-poly p)) \subseteq \{1..n\}$ using False 1.prems by (intro 1 symmetric-mpoly-diff fund-sym-step-poly) (auto simp: lead-monom-eq-0-iff) hence vars (fund-sym-poly-wit (p - fund-sym-step-poly p) +monom (fund-sym-step-monom p) (local.fund-sym-step-coeff p)) $\subseteq \{1..n\}$ by (intro order.trans[OF vars-add] Un-least order.trans[OF vars-monom-subset] keys-fund-sym-step-monom) auto thus ?thesis using 1.prems False unfolding fund-sym-poly-wit.simps[of p] by simp qed (insert 1.prems, auto simp: fund-sym-poly-wit.simps[of p] mpoly-coeff-Const lead-monom-eq-0-iff) qed \mathbf{next} case False **then consider** \neg symmetric-mpoly $A \ p \mid$ symmetric-mpoly $A \ p \ vars \ p \cap A = \{\}$ using symmetric-mpoly-imp-orthogonal-or-subset [of A p] by auto thus ?thesis **by** cases (auto simp: fund-sym-poly-wit.simps[of p]) \mathbf{qed} **lemma** fund-sym-poly-wit-insertion-aux: **assumes** finite B vars $p \subseteq B$ symmetric-mooly A $p A \subseteq B$ **shows** insertion (sym-moly A) (fund-sym-poly-wit p) = pusing assms **proof** (*induction p rule: fund-sym-poly-wit.induct*) case (1 p)from 1.prems have decr p using lookup-lead-monom-decreasing of A p by (auto simp: decr-def) show ?case **proof** (cases lead-monom $p = 0 \lor vars p \cap A = \{\}$) case False have vars (p - fund-sym-step-poly $p) \subseteq B$ using 1.prems fund-sym-step-poly[of p] by (intro order.trans[OF vars-diff]) auto **hence** insertion (sym-moly A) (fund-sym-poly-wit (p - fund-sym-step-poly p))= p - fund-sym-step-poly p using 1 False

by (intro 1 symmetric-mpoly-diff fund-sym-step-poly) auto

```
moreover have fund-sym-step-poly p =
                         fund-sym-step-coeff p * (\prod x. sym-mpoly A x \cap lookup
(fund-sym-step-monom \ p) \ x)
   using 1.prems finite-subset [of A B] False \langle decr p \rangle by (intro fund-sym-step-poly)
auto
   ultimately show ?thesis
     unfolding fund-sym-poly-wit.simps[of p] by (auto simp: insertion-add)
 qed (auto simp: fund-sym-poly-wit.simps[of p])
qed
lemma fund-sym-poly-wit-insertion:
 assumes symmetric-mpoly A p
 shows insertion (sym-moly A) (fund-sym-poly-wit p) = p
proof (cases A \subseteq vars p)
 case False
 hence vars p \cap A = \{\}
   using symmetric-mpoly-imp-orthogonal-or-subset[OF assms] by auto
 thus ?thesis
   by (auto simp: fund-sym-poly-wit.simps[of p])
\mathbf{next}
 case True
 with fund-sym-poly-wit-insertion-aux[of vars p p] assms show ?thesis
   by (auto simp: vars-finite)
qed
lemma fund-sym-poly-wit-coeff:
 assumes \forall m. coeff p m \in C ring-closed C
 shows \forall m m'. coeff (coeff (fund-sym-poly-wit p) m) m' \in C
 using assms(1)
proof (induction p rule: fund-sym-poly-wit.induct)
 case (1 p)
 interpret ring-closed C by fact
 show ?case
 proof (cases \negsymmetric-mpoly A \ p \lor lead-monom p = 0 \lor vars \ p \cap A = \{\})
   case True
   thus ?thesis using 1.prems
     by (auto simp: fund-sym-poly-wit.simps[of p] mpoly-coeff-Const)
 \mathbf{next}
   case False
  have *: \forall m m'. coeff (coeff (fund-sym-poly-wit <math>(p - fund-sym-step-poly p)) m)
m' \in C
     using False 1.prems assms coeff-fund-sym-step-poly [of p] by (intro 1) auto
   show ?thesis
   proof (intro allI, goal-cases)
     case (1 m m')
     thus ?case using * False coeff-fund-sym-step-coeff [of p m'] 1.prems
        by (auto simp: fund-sym-poly-wit.simps[of p] coeff-monom lead-coeff-def
when-def)
   qed
```

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qed qed

2.13 Uniqueness

Next, we show that the polynomial representation of a symmetric polynomial in terms of the elementary symmetric polynomials not only exists, but is unique.

The key property here is that products of powers of elementary symmetric polynomials uniquely determine the exponent vectors, i.e. if e_1, \ldots, e_n are the elementary symmetric polynomials, $a = (a_1, \ldots, a_n)$ and $b = (b_1, \ldots, b_n)$ are vectors of natural numbers, then:

$$e_1^{a_1} \dots e_n^{a_n} = e_1^{b_1} \dots e_n^{b_n} \longleftrightarrow a = b$$

We show this now.

lemma *lead-monom-sym-mpoly-prod*: assumes finite A shows lead-monom $(\prod i = 1..n. sym-mpoly A i \cap h i :: 'a mpoly) =$ $(\sum i = 1..n. \text{ of-nat } (h i) * \text{ lead-monom } (sym-moly A i :: 'a moly))$ proof have $(\prod i=1..n. lead-coeff (sym-moly A i \cap h i :: 'a moly)) = 1$ using assms unfolding n-def by (intro prod.neutral allI) (auto simp: lead-coeff-power) hence lead-monom $(\prod i=1..n. sym-mpoly A i \uparrow h i :: 'a mpoly) =$ $(\sum i=1..n. \ lead-monom \ (sym-mpoly \ A \ i \ h \ i :: \ 'a \ mpoly))$ by (subst lead-monom-prod) auto also have $\ldots = (\sum i=1..n. \text{ of-nat } (h i) * \text{ lead-monom } (sym-moly A i :: 'a$ mpoly))**by** (*intro sum.cong refl, subst lead-monom-power*) (auto simp: lead-coeff-power assms n-def) finally show ?thesis . qed **lemma** *lead-monom-sym-mpoly-prod-notin*: assumes finite $A \ k \notin A$ **shows** lookup (lead-monom ($\prod i=1..n.$ sym-moly A i $\hat{}$ h i :: 'a moly)) k = 0proof – have xs: set xs = A distinct xs sorted xs and [simp]: length xs = nusing assms by (auto simp: xs-def n-def) have lead-monom ($\prod i = 1..n.$ sym-moly A i $\hat{}$ h i :: 'a moly) = $(\sum i = 1..n. of-nat (h i) * lead-monom (sym-moly (set xs) i :: 'a moly))$ by (subst lead-monom-sym-moly-prod) (use xs assms in auto) also have $lookup \dots k = 0$ unfolding lookup-sum **by** (*intro sum.neutral ballI*, *subst lead-monom-sym-mpoly*) (insert xs assms, auto simp: xs lead-monom-sym-mpoly lookup-monom-of-set *set-conv-nth*) finally show ?thesis . qed

lemma *lead-monom-sym-mpoly-prod-in*:

assumes finite $A \ k < n$

shows lookup (lead-monom ($\prod i=1..n.$ sym-mpoly A i \hat{h} i :: 'a mpoly)) (xs ! k) = $(\sum i=k+1..n. h i)$

proof have xs: set xs = A distinct xs sorted xs and [simp]: length xs = nusing assms by (auto simp: xs-def n-def) have lead-monom $(\prod i = 1..n. \text{ sym-mpoly } A \ i \cap h \ i :: 'a \ mpoly) =$ $(\sum i = 1..n. of-nat (h i) * lead-monom (sym-moly (set xs) i :: 'a)$ mpoly))by (subst lead-monom-sym-mpoly-prod) (use xs assms in simp-all) also have $\ldots = (\sum i=1..n. \text{ of-nat } (h i) * monom-of-set (set (take i xs)))$ using xs by (intro sum.cong refl, subst lead-monom-sym-mpoly) auto also have lookup ... $(xs \mid k) = (\sum i \mid i \in \{1..n\} \land xs \mid k \in set (take \ i \ xs). h \ i)$ unfolding lookup-sum lookup-monom-of-set by (intro sum.mono-neutral-cong-right) auto **also have** $\{i. i \in \{1..n\} \land xs \mid k \in set (take \ i \ xs)\} = \{k+1..n\}$ **proof** (*intro* equalityI subsetI) fix *i* assume *i*: $i \in \{k+1..n\}$ hence take i xs ! k = xs ! k k < n k < i using assms by *auto* with *i* show $i \in \{i. i \in \{1..n\} \land xs \mid k \in set (take i xs)\}$ **by** (force simp: set-conv-nth) **qed** (insert assms xs, auto simp: set-conv-nth Suc-le-eq nth-eq-iff-index-eq) finally show ?thesis . qed **lemma** *lead-monom-sym-poly-powerprod-inj*: **assumes** lead-monom ($\prod i$. sym-moly A i $\widehat{}$ lookup m1 i :: 'a moly) =

lead-monom ($\prod i$. sym-mooly A i $\widehat{}$ lookup m2 i :: 'a mooly) **assumes** finite A keys $m1 \subseteq \{1..n\}$ keys $m2 \subseteq \{1..n\}$

shows m1 = m2**proof** (rule poly-mapping-eqI)

fix k :: nat

have xs: set xs = A distinct xs sorted xs and [simp]: length xs = nusing assms by (auto simp: xs-def n-def)

from assms(3,4) have $*: i \in \{1..n\}$ if $lookup \ m1 \ i \neq 0 \lor lookup \ m2 \ i \neq 0$ for i

using that by (auto simp: subset-iff in-keys-iff)

have **: $(\prod i. sym-mpoly A i \cap lookup m i :: 'a mpoly) =$

 $(\prod i=1..n. sym-mpoly A \ i \cap lookup \ m \ i :: 'a \ mpoly)$ if $m \in \{m1, m2\}$ for m

using that * by (intro Prod-any.expand-superset subset I *) (auto introl: $Nat. qr \theta I$)

have ***: lead-monom ($\prod i=1..n.$ sym-moly A i $\widehat{}$ lookup m1 i :: 'a moly) = lead-monom ($\prod i=1..n.$ sym-moly A i $\widehat{}$ lookup m2 i :: 'a moly)

using assms by (simp add: **)

have sum-eq: sum (lookup m1) {Suc k..n} = sum (lookup m2) {Suc k..n} if k < n for k using arg-cong[OF ***, of λm . lookup m (xs ! k)] (finite A) that by (subst (asm) (1 2) lead-monom-sym-moly-prod-in) auto **show** lookup $m1 \ k = lookup \ m2 \ k$ **proof** (cases $k \in \{1..n\}$) ${\bf case} \ {\it False}$ hence lookup $m1 \ k = 0$ lookup $m2 \ k = 0$ using assms by (auto simp: in-keys-iff) thus ?thesis by simp next case True thus ?thesis **proof** (induction n - k arbitrary: k rule: less-induct) case (less l) have sum (lookup m1) {Suc (l - 1)..n} = sum (lookup m2) {Suc (l - 1)..n} using less by (intro sum-eq) auto also have $\{Suc \ (l-1)..n\} = insert \ l \ \{Suc \ l..n\}$ using less by auto also have sum (lookup m1) ... = lookup m1 $l + (\sum i=Suc \ l..n. \ lookup \ m1 \ i)$ by (subst sum.insert) auto also have $(\sum i=Suc \ l..n. \ lookup \ m1 \ i) = (\sum i=Suc \ l..n. \ lookup \ m2 \ i)$ $\mathbf{by}~(\mathit{intro}~\mathit{sum.cong}~\mathit{less})~\mathit{auto}$ also have sum (lookup m2) (insert $l \{Suc \ l..n\}$) = lookup m2 $l + (\sum i=Suc$ l..n. lookup m2 i) **by** (*subst sum.insert*) *auto* finally show lookup $m1 \ l = lookup \ m2 \ l$ by simp qed qed qed

We now show uniqueness by first showing that the zero polynomial has a unique representation. We fix some polynomial p with $p(e_1, \ldots, e_n) = 0$ and then show, by contradiction, that p = 0.

We have

$$p(e_1,\ldots,e_n)=\sum c_{a_1,\ldots,a_n}e_1^{a_1}\ldots e_n^{a_n}$$

and due to the injectivity of products of powers of elementary symmetric polynomials, the leading term of that sum is precisely the leading term of the summand with the biggest leading monomial, since summands cannot cancel each other.

However, we also know that $p(e_1, \ldots, e_n) = 0$, so it follows that all summands must have leading term 0, and it is then easy to see that they must all be identically 0.

lemma sym-mpoly-representation-unique-aux:
fixes p :: 'a mpoly mpoly

assumes finite A insertion (sym-moly A) p = 0 $\bigwedge m. vars (coeff p m) \cap A = \{\} vars p \subseteq \{1..n\}$ shows $p = \theta$ **proof** (rule ccontr) assume $p: p \neq 0$ have xs: set xs = A distinct xs sorted xs and [simp]: length xs = nusing assms by (auto simp: xs-def n-def) **define** h where $h = (\lambda m. coeff p m * (\prod i. sym-mpoly A i \cap lookup m i))$ define M where $M = \{m. \text{ coeff } p \ m \neq 0\}$ define maxm where maxm = Max ((lead-monom $\circ h$) 'M) have finite M by (auto introl: finite-subset[OF - finite-coeff-support[of p]] simp: h-def M-def) have keys-subset: keys $m \subseteq \{1..n\}$ if coeff $p \ m \neq 0$ for musing that assms coeff-notin-vars [of m p] by blast have lead-coeff: lead-coeff $(h \ m) = lead-coeff (coeff \ p \ m)$ (is ?th1) and lead-monom: lead-monom (h m) = lead-monom (coeff p m) +lead-monom ($\prod i$. sym-moly A i $\widehat{}$ lookup m i :: 'a moly) (is ?th2)if [simp]: coeff $p \ m \neq 0$ for mproof have $(\prod i. sym-mpoly A \ i \cap lookup \ m \ i :: 'a \ mpoly) =$ $(\prod i \mid lookup \ m \ i \neq 0. \ sym-mpoly \ A \ i \cap lookup \ m \ i :: 'a \ mpoly)$ by (intro Prod-any.expand-superset) (auto intro!: Nat.gr0I) also have *lead-coeff* $\ldots = 1$ using assms keys-subset[of m] by (intro lead-coeff-sym-mpoly-powerprod) (auto simp: in-keys-iff subset-iff n-def) finally have eq: lead-coeff ($\prod i.$ sym-moly A i $\widehat{}$ lookup m i :: 'a moly) = 1. thus ?th1 unfolding h-def using (coeff $p \ m \neq 0$) by (subst lead-coeff-mult) autoshow ?th2 unfolding h-def by (subst lead-monom-mult) (auto simp: eq) \mathbf{qed} have insertion (sym-moly A) $p = (\sum m \in M. h m)$ unfolding insertion-altdef h-def M-def by (intro Sum-any.expand-superset) auto also have lead-monom $\ldots = maxm$ unfolding maxm-def **proof** (rule lead-monom-sum) from p obtain m where coeff p $m \neq 0$ using $mpoly-eqI[of p \ 0]$ by auto hence $m \in M$ **using** (coeff $p \ m \neq 0$) lead-coeff [of m] by (auto simp: M-def) thus $M \neq \{\}$ by *auto* \mathbf{next} have *restrict-lead-monom*: restrictpm A (lead-monom (h m)) =lead-monom ($\prod i.$ sym-moly A i $\widehat{}$ lookup m i :: 'a moly)

if [simp]: coeff $p \ m \neq 0$ for m proof have restrictpm A (lead-monom (h m)) =restrictpm A (lead-monom (coeff p m)) + restrictpm A (lead-monom ($\prod i$. sym-mpoly A i $\widehat{}$ lookup m i :: 'a mpoly)) **by** (*auto simp: lead-monom restrictpm-add*) also have restrict m A (lead-monom (coeff p m)) = 0 using assms by (intro restrict pm-orthogonal order.trans[OF keys-lead-monom-subset]) autoalso have restrict m A (lead-monom ($\prod i$. sym-moly A i $\widehat{}$ lookup m i :: 'a mpoly)) =lead-monom ($\prod i$. sym-moly A i $\widehat{}$ lookup m i :: 'a moly) by (intro restrictpm-id order.trans[OF keys-lead-monom-subset] order.trans[OF vars-Prod-any] UN-least order.trans[OF vars-power] vars-sym-mpoly-subset) finally show ?thesis by simp qed **show** inj-on (lead-monom \circ h) M proof fix m1 m2 assume m12: m1 \in M m2 \in M (lead-monom \circ h) m1 = $(lead-monom \circ h) m2$ hence [simp]: coeff $p \ m1 \neq 0$ coeff $p \ m2 \neq 0$ by (auto simp: M-def h-def) have restrict m A (lead-monom (h m1)) = restrict m A (lead-monom (h m1)) m2))using m12 by simphence lead-monom ($\prod i$. sym-mpoly A i ^ lookup m1 i :: 'a mpoly) = lead-monom ($\prod i$. sym-moly A i $\widehat{}$ lookup m2 i :: 'a moly) **by** (*simp add: restrict-lead-monom*) thus m1 = m2**by** (*rule lead-monom-sym-poly-powerprod-inj*) (use $\langle finite A \rangle$ keys-subset[of m1] keys-subset[of m2] in auto) qed next fix m assume $m \in M$ hence lead-coeff $(h \ m) = lead-coeff \ (coeff \ p \ m)$ **by** (simp add: lead-coeff M-def) with $\langle m \in M \rangle$ show $h \ m \neq 0$ by (auto simp: M-def) $\mathbf{qed} \ fact+$ finally have maxm = 0 by $(simp \ add: assms)$ have only-zero: m = 0 if $m \in M$ for m proof – from that have nz [simp]: coeff $p \ m \neq 0$ by (auto simp: M-def h-def) from that have (lead-monom \circ h) $m \leq maxm$ using (finite M) unfolding maxm-def by (intro Max-ge imageI finite-imageI) with $\langle maxm = 0 \rangle$ have [simp]: lead-monom (h m) = 0 by simp have lookup-nzD: $k \in \{1..n\}$ if lookup $m \ k \neq 0$ for k using keys-subset[of m] that by (auto simp: in-keys-iff subset-iff)

have lead-monom (coeff $p(m) + 0 \leq lead$ -monom (h m) unfolding lead-monom[OF nz] by (intro add-left-mono) auto also have $\ldots = 0$ by simpfinally have lead-monom-0: lead-monom (coeff p m) = 0 by simp have sum (lookup m) $\{1..n\} = 0$ **proof** (rule ccontr) assume sum (lookup m) $\{1..n\} \neq 0$ hence sum (lookup m) $\{1..n\} > 0$ by presburger have $0 \neq lead$ -coeff (MPoly-Type.coeff p m) by *auto* also have lead-coeff (MPoly-Type.coeff p(m) = lead-coeff(h(m))by (simp add: lead-coeff) also have lead-coeff $(h \ m) = coeff (h \ m) \ 0$ by (simp add: lead-coeff-def) also have $\ldots = coeff$ (coeff p m) 0 * coeff ($\prod i. sym-mpoly A i \land lookup m$ *i*) 0 **by** (*simp add: h-def mpoly-coeff-times-0*) also have $(\prod i. sym-mpoly A i \cap lookup m i) = (\prod i=1..n. sym-mpoly A i \cap lookup m i)$ lookup m i) by (intro Prod-any.expand-superset subset I lookup-nzD) (auto introl: Nat.gr0I) also have coeff ... $\theta = (\prod i=1..n. \ \theta \ \widehat{} \ lookup \ m \ i)$ unfolding mpoly-coeff-prod-0 mpoly-coeff-power-0 **by** (*intro prod*.*cong*) (*auto simp*: *coeff-sym-mpoly-0*) also have $\ldots = \theta (\sum i=1..n. \ lookup \ m \ i)$ **by** (*simp add: power-sum*) also have $\ldots = \theta$ using zero-power [OF $\langle sum \ (lookup \ m) \ \{1..n\} > 0 \rangle$] by simp finally show False by auto qed hence lookup m k = 0 for k using keys-subset of m by (cases $k \in \{1..n\}$) (auto simp: in-keys-iff) thus m = 0 by (intro poly-mapping-eqI) auto qed have θ = insertion (sym-moly A) p using assms by simp also have insertion (sym-moly A) $p = (\sum m \in M. h m)$ by fact also have $\ldots = (\sum m \in \{0\}, h m)$ using only-zero by (intro sum.mono-neutral-left) (auto simp: h-def M-def) also have $\ldots = coeff p \ \theta$ by (simp add: h-def) finally have $0 \notin M$ by (auto simp: M-def) with only-zero have $M = \{\}$ by auto hence p = 0 by (intro mpoly-eqI) (auto simp: M-def) with $\langle p \neq 0 \rangle$ show False by contradiction qed

The general uniqueness theorem now follows easily. This essentially shows

that the substitution $Y_i \mapsto e_i(X_1, \ldots, X_n)$ is an isomorphism between the ring $R[Y_1, \ldots, Y_n]$ and the ring $R[X_1, \ldots, X_n]^{S_n}$ of symmetric polynomials.

theorem sym-mpoly-representation-unique: fixes p :: 'a mpoly mpolyassumes finite A insertion (sym-moly A) p = insertion (sym-moly A) q $\bigwedge m. \ vars \ (coeff \ p \ m) \cap A = \{\} \ \bigwedge m. \ vars \ (coeff \ q \ m) \cap A = \{\}$ vars $p \subseteq \{1..n\}$ vars $q \subseteq \{1..n\}$ shows p = qproof – have $p - q = \theta$ **proof** (rule sym-mpoly-representation-unique-aux) fix m show vars (coeff (p - q) m) $\cap A = \{\}$ **using** vars-diff[of coeff p m coeff q m] assms(3,4)[of m] by auto qed (insert assms vars-diff [of p q], auto simp: insertion-diff) thus ?thesis by simp qed **theorem** *eq-fund-sym-poly-witI*: fixes p :: 'a mpoly and q :: 'a mpoly mpoly assumes finite A symmetric-moly A p insertion (sym-moly A) q = p $\bigwedge m. \ vars \ (coeff \ q \ m) \cap A = \{\}$ vars $q \subseteq \{1..n\}$ **shows** q = fund-sym-poly-wit p**using** fund-sym-poly-wit-insertion[of p] fund-sym-poly-wit-vars[of p]

fund-sym-poly-wit-coeffs[of p] by (intro sym-mpoly-representation-unique) (insert assms, auto simp: fund-sym-poly-wit-insertion)

2.14 A recursive characterisation of symmetry

In a similar spirit to the proof of the fundamental theorem, we obtain a nice recursive and executable characterisation of symmetry.

function (domintros) check-symmetric-mpoly where check-symmetric-mpoly $p \leftrightarrow \rightarrow$ (vars $p \cap A = \{\} \lor$ $A \subseteq vars p \land decr p \land check-symmetric-mpoly (p - fund-sym-step-poly p))$ by auto lemma check-symmetric-mpoly-dom-aux: assumes finite B vars $p \subseteq B A \subseteq B$ shows check-symmetric-mpoly-dom p using assms(1-3) proof (induction p rule: lead-monom-induct) case (less p) have [simp]: finite A by (rule finite-subset[of - B]) fact+

show ?case **proof** (cases lead-monom $p = 0 \lor \neg decr p$) $\mathbf{case} \ \mathit{False}$ hence [simp]: $p \neq 0$ by auto have vars (p - fund-sym-step-poly $p) \subseteq B$ using fund-sym-step-poly[of p] False less.prems less.hyps $\langle A \subseteq B \rangle$ **by** (*intro order.trans*[OF vars-diff]) auto **hence** check-symmetric-mpoly-dom (p - local.fund-sym-step-poly p)using False less.prems less.hyps by (intro less.IH fund-sym-step-poly symmetric-mpoly-diff lead-monom-fund-sym-step-poly-less) (auto simp: decr-def) thus ?thesis using check-symmetric-mooly.domintros by blast qed (auto intro: check-symmetric-mpoly.domintros simp: lead-monom-eq-0-iff) qed **lemma** check-symmetric-mpoly-dom [intro]: check-symmetric-mpoly-dom p proof show ?thesis **proof** (cases $A \subseteq vars p$) assume $A \subseteq vars p$ **thus** ?thesis using check-symmetric-moly-dom-aux[of vars p p] by (auto simp: vars-finite) **qed** (*auto intro: check-symmetric-mpoly.domintros*) qed termination check-symmetric-mpoly **by** (*intro allI check-symmetric-mpoly-dom*) **lemmas** $[simp \ del] = check-symmetric-mpoly.simps$ **lemma** check-symmetric-moly-correct: check-symmetric-moly $p \leftrightarrow$ symmetric-moly A p**proof** (*induction p rule: check-symmetric-mpoly.induct*) case (1 p)have symmetric-moly A(p - fund-sym-step-poly $p) \leftrightarrow$ symmetric-moly A p(is ?lhs = ?rhs)proof assume ?rhs thus ?lhs by (intro symmetric-mpoly-diff fund-sym-step-poly) next assume ?lhs hence symmetric-mpoly A (p - fund-sym-step-poly p + fund-sym-step-poly p)**by** (*intro symmetric-mpoly-add fund-sym-step-poly*) thus ?rhs by simp qed **moreover have** decr p **if** symmetric-mpoly A p using lookup-lead-monom-decreasing of A p that by (auto simp: decr-def) **ultimately show** check-symmetric-mpoly $p \leftrightarrow symmetric-mpoly A p$ **using** 1 symmetric-mpoly-imp-orthogonal-or-subset[of A p]

by (*auto simp*: *Let-def check-symmetric-mpoly.simps*[*of p*] *intro*: *symmetric-mpoly-orthogonal*) **qed**

end

2.15 Symmetric functions of roots of a univariate polynomial

Consider a factored polynomial

$$p(X) = c_n X^n + c_{n-1} X^{n-1} + \ldots + c_1 X + c_0 = (X - x_1) \ldots (X - x_n) .$$

where c_n is a unit.

Then any symmetric polynomial expression $q(x_1, \ldots, x_n)$ in the roots x_i can be written as a polynomial expression $q'(c_0, \ldots, c_{n-1})$ in the c_i .

Moreover, if the coefficients of q and the inverse of c_n all lie in some subring, the coefficients of q' do as well.

$\mathbf{context}$

fixes C :: 'b :: comm-ring-1 set and A :: nat setand $root :: nat <math>\Rightarrow 'a :: comm-ring-1$ and $l :: 'a \Rightarrow 'b$ and q :: 'b mpolyand n :: natdefines $n \equiv card A$ assumes $C: ring-closed C \forall m. coeff q m \in C$ assumes l: ring-homomorphism lassumes finite: finite Aassumes sym: symmetric-mpoly A q and vars: vars $q \subseteq A$ begin

```
interpretation ring-closed C by fact
interpretation ring-homomorphism l by fact
```

theorem symmetric-poly-of-roots-conv-poly-of-coeffs: assumes $c: cinv * l c = 1 cinv \in C$ assumes $p = Polynomial.smult c (\prod i \in A. [:-root i, 1:])$ obtains q' where $vars q' \subseteq \{0..<n\}$ and $\bigwedge m. coeff q' m \in C$ and insertion $(l \circ poly.coeff p) q' = insertion (l \circ root) q$ proof – define q' where q' = fund-sym-poly-wit A qdefine q'' where q'' =mapm-mpoly $(\bigwedge m x. (\prod i. (cinv * l (-1) \hat{i}) lookup m i) * insertion (\lambda -. 0) x) q'$ define reindex where $reindex = (\lambda i. if i \leq n then n - i else i)$ have bij reindex by (intro bij-betwI[of reindex - - reindex]) (auto simp: reindex-def) have $vars q' \subseteq \{1...\}$ unfolding q'-def n-def by (intro fund-sym-poly-wit-vars)

hence vars $q'' \subseteq \{1..n\}$ unfolding q"-def using vars-mapm-mpoly-subset by auto have insertion $(l \circ root)$ (insertion (sym-moly A) q') = insertion (λn . insertion ($l \circ root$) (sym-moly A n)) $(map-mpoly (insertion (l \circ root)) q')$ by (rule insertion-insertion) **also have** insertion (sym-moly A) q' = q**unfolding** q'-def **by** (intro fund-sym-poly-wit-insertion sym) **also have** insertion (λi . insertion ($l \circ root$) (sym-moly A i)) $(map-mpoly (insertion (l \circ root)) q') =$ insertion ($\lambda i. \ cinv * l \ ((-1) \ \hat{i}) * l \ (poly.coeff \ p \ (n-i)))$ (map-mpoly (insertion $(l \circ root)$) q') **proof** (*intro insertion-irrelevant-vars*, *goal-cases*) case (1 i)hence $i \in vars q'$ using vars-map-mpoly-subset by auto also have $\ldots \subseteq \{1..n\}$ unfolding q'-def n-def **by** (*intro fund-sym-poly-wit-vars*) finally have $i: i \in \{1..n\}$. have insertion $(l \circ root)$ (sym-moly A i) = $l (\sum Y \mid Y \subseteq A \land card Y = i. prod root Y)$ using $\langle finite | A \rangle$ by (simp add: insertion-sym-mpoly) also have $\ldots = cinv * l (c * (\sum Y | Y \subseteq A \land card Y = i. prod root Y))$ **unfolding** mult mult.assoc[symmetric] $\langle cinv * l c = 1 \rangle$ by simp also have $c * (\sum Y \mid Y \subseteq A \land card Y = i. prod root Y) = ((-1) \land i *$ poly.coeff p(n-i)) using coeff-poly-from-roots of $A \ n - i \ root$ i assess finite **by** (*auto simp*: *n*-def minus-one-power-iff) finally show ?case by (simp add: o-def) qed also have map-moly (insertion $(l \circ root)$) q' = map-moly (insertion $(\lambda - 0)$) q'**using** fund-sym-poly-wit-coeffs[OF sym] vars by (intro map-mpoly-cong insertion-irrelevant-vars) (auto simp: q'-def) also have insertion (λi . cinv * l ((-1) \hat{i}) * l (poly.coeff p (n - i))) ... = insertion (λi . l (poly.coeff p (n - i))) q'' unfolding insertion-substitute-linear map-mpoly-conv-mapm-mpoly q"-def by (subst mapm-mpoly-comp) auto **also have** ... = insertion $(l \circ poly.coeff p)$ (mpoly-map-vars reindex q'') using $\langle bij reindex \rangle$ and $\langle vars q'' \subseteq \{1..n\} \rangle$ **by** (*subst insertion-mpoly-map-vars*) (auto simp: o-def reindex-def intro!: insertion-irrelevant-vars) finally have insertion $(l \circ root) q =$ insertion $(l \circ poly.coeff p)$ (mpoly-map-vars reindex q''). **moreover have** coeff (mpoly-map-vars reindex q'') $m \in C$ for m **unfolding** q''-def q'-def **using** $\langle bij reindex \rangle$ fund-sym-poly-wit-coeff[of q C A] $C \langle cinv \in C \rangle$ **by** (*auto simp: coeff-mpoly-map-vars*

intro!: mult-closed Prod-any-closed power-closed Sum-any-closed) **moreover have** vars (mpoly-map-vars reindex $q'' \subseteq \{0..< n\}$ using $\langle bij reindex \rangle$ and $\langle vars q'' \subseteq \{1..n\} \rangle$ by (subst vars-mooly-map-vars) (auto simp: reindex-def subset-iff)+ ultimately show ?thesis using that of mpoly-map-vars reindex q'' by auto qed **corollary** symmetric-poly-of-roots-conv-poly-of-coeffs-monic: assumes $p = (\prod i \in A. [:-root i, 1:])$ obtains q' where vars $q' \subseteq \{0..< n\}$ and $\bigwedge m$. coeff $q' m \in C$ and insertion $(l \circ poly.coeff p) q' = insertion (l \circ root) q$ proof obtain q' where vars $q' \subseteq \{0.. < n\}$ and $\bigwedge m$. coeff $q' m \in C$ and insertion $(l \circ poly.coeff p) q' = insertion (l \circ root) q$ by (rule symmetric-poly-of-roots-conv-poly-of-coeffs[of 1 1 p]) (use assms in auto) **thus** ?thesis by (intro that of q') auto



As a corollary, we obtain the following: Let R, S be rings with $R \subseteq S$. Consider a polynomial $p \in R[X]$ whose leading coefficient c is a unit in R and that has a full set of roots $x_1, \ldots, x_n \in S$, i. e. $p(X) = c(X - x_1) \ldots (X - x_n)$. Let $q \in R[X_1, \ldots, X_n]$ be some symmetric polynomial expression in the roots. Then $q(x_1, \ldots, x_n) \in R$.

A typical use case is $R = \mathbb{Q}$ and $S = \mathbb{C}$, i.e. any symmetric polynomial expression with rational coefficients in the roots of a rational polynomial is again rational. Similarly, any symmetric polynomial expression with integer coefficients in the roots of a monic integer polynomial is agan an integer.

This is remarkable, since the roots themselves are usually not rational (possibly not even real). This particular fact is a key ingredient used in the standard proof that π is transcendental.

corollary symmetric-poly-of-roots-in-subring: **assumes** $cinv * l c = 1 cinv \in C$ **assumes** $p = Polynomial.smult c (\prod i \in A. [:-root i, 1:])$ **assumes** $\forall i. l (poly.coeff p i) \in C$ **shows** insertion ($\lambda x. l (root x)$) $q \in C$ **proof obtain** q' **where** $q': vars q' \subseteq \{0...<n\} \land m. coeff q' m \in C$ insertion ($l \circ poly.coeff p$) $q' = insertion (l \circ root) q$ **by** (rule symmetric-poly-of-roots-conv-poly-of-coeffs[of cinv c p]) (use assms **in** simp-all) **have** insertion ($l \circ poly.coeff p$) $q' \in C$ **using** C assms **unfolding** insertion-altdef

by (intro Sum-any-closed mult-closed q' Prod-any-closed power-closed) auto also have insertion ($l \circ poly.coeff p$) q' = insertion ($l \circ root$) q by fact finally show *?thesis* by (*simp add: o-def*) qed

```
corollary symmetric-poly-of-roots-in-subring-monic:

assumes p = (\prod i \in A. [:-root i, 1:])

assumes \forall i. l (poly.coeff p i) \in C

shows insertion (\lambda x. l (root x)) q \in C

proof –

interpret ring-closed C by fact

interpret ring-homomorphism l by fact

show ?thesis

by (rule symmetric-poly-of-roots-in-subring[of 1 1 p]) (use assms in auto)

qed
```

end

end

3 Executable Operations for Symmetric Polynomials

 ${\bf theory} \ Symmetric-Polynomials-Code$

imports Symmetric-Polynomials Polynomials.MPoly-Type-Class-FMap **begin**

Lastly, we shall provide some code equations to get executable code for operations related to symmetric polynomials, including, most notably, the fundamental theorem of symmetric polynomials and the recursive symmetry check.

lemma Ball-subset-right: **assumes** $T \subseteq S \ \forall x \in S - T. P x$ **shows** $(\forall x \in S. P x) = (\forall x \in T. P x)$ **using** assms by auto

lemma *compute-less-pp*[*code*]:

 $\begin{aligned} xs < (ys :: 'a :: linorder \Rightarrow_0 'b :: \{zero, linorder\}) &\longleftrightarrow \\ (\exists i \in keys xs \cup keys ys. lookup xs i < lookup ys i \land \\ (\forall j \in keys xs \cup keys ys. j < i \longrightarrow lookup xs j = lookup ys j)) \end{aligned}$ **proof** transfer **fix** f g :: 'a \Rightarrow 'b **let** ?dom = {i. f i \neq 0} U {i. g i \neq 0} **have** less-fun f g \longleftrightarrow ($\exists k. f k < g k \land (\forall k' < k. f k' = g k')$) **unfolding** less-fun-def ... **also** have ... \longleftrightarrow ($\exists i. f i < g i \land (i \in ?dom \land (\forall j \in ?dom. j < i \longrightarrow f j = g j)$)) **proof** (intro iff-exI conj-cong refl) **fix** k assume f k < g k

hence $k: k \in ?dom$ by auto have $(\forall k' < k. f k' = g k') = (\forall k' \in \{.. < k\}. f k' = g k')$ by *auto* also have ... \longleftrightarrow $(\forall j \in (\{k, f k \neq 0\} \cup \{k, g k \neq 0\}) \cap \{.. < k\}, f j = g j)$ **by** (*intro* Ball-subset-right) auto also have ... $\longleftrightarrow (\forall j \in (\{k. f k \neq 0\} \cup \{k. g k \neq 0\}), j < k \longrightarrow f j = g j)$ by *auto* finally show $(\forall k' < k. f k' = g k') \leftrightarrow k \in ?dom \land (\forall j \in ?dom. j < k \longrightarrow f j)$ = g jusing k by simpqed also have ... $\longleftrightarrow (\exists i \in ?dom. f i < g i \land (\forall j \in ?dom. j < i \longrightarrow f j = g j))$ **by** (*simp add: Bex-def conj-ac*) finally show less-fun $f g \leftrightarrow (\exists i \in ?dom. f i < g i \land (\forall j \in ?dom. j < i \longrightarrow f j))$ = g j). qed **lemma** *compute-le-pp*[*code*]: $xs \leq ys \longleftrightarrow xs = ys \lor xs < (ys :: - \Rightarrow_0 -)$ by (*auto simp*: *order.order-iff-strict*) **lemma** vars-code [code]: vars (MPoly p) = ($\bigcup m \in keys \ p$. keys m) unfolding vars-def by transfer' simp **lemma** mpoly-coeff-code [code]: coeff (MPoly p) = lookup pby transfer' simp **lemma** sym-mpoly-code [code]: sym-moly (set xs) $k = (\sum X \in Set.filter (\lambda X. card X = k) (Pow (set xs)). monom$ (monom-of-set X) 1)**by** (*simp add: sym-mpoly-altdef Set.filter-def*) **lemma** *monom-of-set-code* [*code*]: monom-of-set (set xs) = Pm-fmap (fmap-of-list (map $(\lambda x. (x, 1)) xs)$) (is ?lhs = ?rhs)**proof** (*intro poly-mapping-eqI*) fix k**show** lookup ? lhs k = lookup ? rhs k by (induction xs) (auto simp: lookup-monom-of-set fmlookup-default-def) \mathbf{qed} **lemma** restrictpm-code [code]: restrictpm A (Pm-fmap m) = Pm-fmap (fmrestrict-set A m) **by** (*intro poly-mapping-eqI*) (*auto simp: lookup-restrictpm fmlookup-default-def*)

lemmas [code] = check-symmetric-mpoly-correct [symmetric]

notepad

begin define X Y Z :: int mpoly where $X = Var \ 1 \ Y = Var \ 2 \ Z = Var \ 3$ define $e1 \ e2$:: int mpoly mpoly where $e1 = Var \ 1 \ e2 = Var \ 2$ have sym-mpoly $\{1, \ 2, \ 3\} \ 2 = X * Y + X * Z + Y * Z$ unfolding X-Y-Z-def by eval have symmetric-mpoly $\{1, \ 2\} \ (X \ 3 + Y \ 3)$ unfolding X-Y-Z-def by eval have fund-sym-poly-wit $\{1, \ 2\} \ (X \ 3 + Y \ 3) = e1 \ 3 - 3 * e1 * e2$ unfolding X-Y-Z-def e1-e2-def by eval end

 \mathbf{end}

References

[1] B. Blum-Smith and S. Coskey. The fundamental theorem on symmetric polynomials: History's first whiff of Galois theory. 48, 01 2013.