

# A Modular Formalization of Superposition

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March 17, 2025

## Abstract

Superposition is an efficient proof calculus for reasoning about first-order logic with equality that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We relied on the IsaFoR library for first-order terms and on the Isabelle saturation framework. A paper describing this formalization was published at the 15th International Conference on Interactive Theorem Proving (ITP 2024) [1].

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theory	<i>Ground-Critical-Pairs</i>	
imports	<i>First-Order-Clause.Term-Rewrite-System</i>	
begin		

```

definition ground-critical-pairs :: ' $f gterm rel \Rightarrow f gterm rel$ ' where
  ground-critical-pairs  $R = \{(ctx\langle r_2 \rangle_G, r_1) \mid ctx\ l\ r_1\ r_2. (ctx\langle l \rangle_G, r_1) \in R \wedge (l, r_2) \in R\}$ 

locale ground-critical-pair-theorem =
  fixes  $f\text{-type} :: f\ itself$ 
  assumes ground-critical-pair-theorem:
     $\bigwedge R :: f\ gterm\ rel.$ 
     $WCR\ (rewrite\text{-}inside\text{-}gctxt\ R) \longleftrightarrow ground\text{-}critical\text{-}pairs\ R \subseteq (rewrite\text{-}inside\text{-}gctxt\ R)^\downarrow$ 

end
theory Ground-Superposition
  imports
    Ground-Critical-Pairs
    First-Order-Clause.Selection-Function
    First-Order-Clause.Ground-Order
    First-Order-Clause.Ground-Clause
  begin

```

## 1 Superposition Calculus

```

locale ground-superposition-calculus =
  ground-order-with-equality where lesst = lesst +
    selection-function select +
    ground-critical-pair-theorem TYPE('f)
  for
    lesst :: ' $f gterm \Rightarrow f gterm \Rightarrow bool$ ' and
    select :: ' $f gatom\ clause \Rightarrow f gatom\ clause$ '
  begin

```

### 1.1 Ground Rules

```

inductive superposition :: ' $f gatom\ clause \Rightarrow f gatom\ clause \Rightarrow f gatom\ clause \Rightarrow bool$ ' where
  superpositionI:
     $E = add\text{-}mset\ L_E\ E' \Rightarrow$ 
     $D = add\text{-}mset\ L_D\ D' \Rightarrow$ 
     $D \prec_c E \Rightarrow$ 
     $\mathcal{P} \in \{Pos, Neg\} \Rightarrow$ 
     $L_E = \mathcal{P}\ (Upair\ \kappa\langle t \rangle_G\ u) \Rightarrow$ 
     $L_D = t \approx t' \Rightarrow$ 
     $u \prec_t \kappa\langle t \rangle_G \Rightarrow$ 
     $t' \prec_t t \Rightarrow$ 
     $(\mathcal{P} = Pos \wedge select\ E = \{\#\} \wedge is\text{-}strictly\text{-}maximal\ L_E\ E) \vee$ 
     $(\mathcal{P} = Neg \wedge (select\ E = \{\#\} \wedge is\text{-}maximal\ L_E\ E \vee is\text{-}maximal\ L_E\ (select\ E)))$ 
   $\Rightarrow$ 
   $select\ D = \{\#\} \Rightarrow$ 

```

*is-strictly-maximal*  $L_D$   $D \implies$   
 $C = \text{add-mset } (\mathcal{P} (\text{Upair } \kappa \langle t' \rangle_G u)) (E' + D') \implies$   
*superposition*  $D E C$

**inductive eq-resolution** :: ' $f$  gatom clause  $\Rightarrow$  ' $f$  gatom clause  $\Rightarrow$  bool **where**  
*eq-resolutionI*:  
 $D = \text{add-mset } L D' \implies$   
 $L = t \approx t \implies$   
 $\text{select } D = \{\#\} \wedge \text{is-maximal } L D \vee \text{is-maximal } L (\text{select } D) \implies$   
 $C = D' \implies$   
*eq-resolution*  $D C$

**inductive eq-factoring** :: ' $f$  gatom clause  $\Rightarrow$  ' $f$  gatom clause  $\Rightarrow$  bool **where**  
*eq-factoringI*:  
 $D = \text{add-mset } L_1 (\text{add-mset } L_2 D') \implies$   
 $L_1 = t \approx t' \implies$   
 $L_2 = t \approx t'' \implies$   
 $\text{select } D = \{\#\} \implies$   
 $\text{is-maximal } L_1 D \implies$   
 $t' \prec_t t \implies$   
 $C = \text{add-mset } (t' \approx t'') (\text{add-mset } (t \approx t'') D') \implies$   
*eq-factoring*  $D C$

**abbreviation** *eq-resolution-inferences* **where**  
*eq-resolution-inferences*  $\equiv \{\text{Infer } [D] C \mid D C. \text{ eq-resolution } D C\}$

**abbreviation** *eq-factoring-inferences* **where**  
*eq-factoring-inferences*  $\equiv \{\text{Infer } [D] C \mid D C. \text{ eq-factoring } D C\}$

**abbreviation** *superposition-inferences* **where**  
*superposition-inferences*  $\equiv \{\text{Infer } [D, E] C \mid D E C. \text{ superposition } D E C\}$

### 1.1.1 Alternative Specification of the Superposition Rule

**inductive** *superposition'* ::  
' $f$  gatom clause  $\Rightarrow$  ' $f$  gatom clause  $\Rightarrow$  ' $f$  gatom clause  $\Rightarrow$  bool  
**where**  
*superposition'I*:  
 $P_1 = \text{add-mset } L_1 P_1' \implies$   
 $P_2 = \text{add-mset } L_2 P_2' \implies$   
 $P_2 \prec_c P_1 \implies$   
 $\mathcal{P} \in \{\text{Pos}, \text{Neg}\} \implies$   
 $L_1 = \mathcal{P} (\text{Upair } s \langle t \rangle_G s') \implies$   
 $L_2 = t \approx t' \implies$   
 $s' \prec_t s \langle t \rangle_G \implies$   
 $t' \prec_t t \implies$   
 $(\mathcal{P} = \text{Pos} \longrightarrow \text{select } P_1 = \{\#\} \wedge \text{is-strictly-maximal } L_1 P_1) \implies$   
 $(\mathcal{P} = \text{Neg} \longrightarrow (\text{select } P_1 = \{\#\} \wedge \text{is-maximal } L_1 P_1 \vee \text{is-maximal } L_1 (\text{select } P_1))) \implies$

```

select  $P_2 = \{\#\} \Rightarrow$ 
is-strictly-maximal  $L_2 P_2 \Rightarrow$ 
 $C = add\text{-mset} (\mathcal{P} (Upair s\langle t\rangle_G s')) (P_1' + P_2') \Rightarrow$ 
superposition'  $P_2 P_1 C$ 

lemma superposition' = superposition
proof (intro ext iffI)
  fix  $P_1 P_2 C$ 
  assume superposition'  $P_2 P_1 C$ 
  thus superposition  $P_2 P_1 C$ 
  proof (cases  $P_2 P_1 C$  rule: superposition'.cases)
    case (superposition'I  $L_1 P_1' L_2 P_2' \mathcal{P} s t s' t'$ )
    thus ?thesis
      using superpositionI
      by force
  qed
  next
  fix  $P_1 P_2 C$ 
  assume superposition  $P_1 P_2 C$ 
  thus superposition'  $P_1 P_2 C$ 
  proof (cases  $P_1 P_2 C$  rule: superposition.cases)
    case (superpositionI  $L_1 P_1' L_2 P_2' \mathcal{P} s t s' t'$ )
    thus ?thesis
      using superposition'I
      by (metis literals-distinct(2))
  qed
qed

inductive pos-superposition :: 
  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  bool
where
  pos-superpositionI:
     $P_1 = add\text{-mset} L_1 P_1' \Rightarrow$ 
     $P_2 = add\text{-mset} L_2 P_2' \Rightarrow$ 
     $P_2 \prec_c P_1 \Rightarrow$ 
     $L_1 = s\langle t\rangle_G \approx s' \Rightarrow$ 
     $L_2 = t \approx t' \Rightarrow$ 
     $s' \prec_t s\langle t\rangle_G \Rightarrow$ 
     $t' \prec_t t \Rightarrow$ 
    select  $P_1 = \{\#\} \Rightarrow$ 
    is-strictly-maximal  $L_1 P_1 \Rightarrow$ 
    select  $P_2 = \{\#\} \Rightarrow$ 
    is-strictly-maximal  $L_2 P_2 \Rightarrow$ 
     $C = add\text{-mset} (s\langle t\rangle_G \approx s') (P_1' + P_2') \Rightarrow$ 
    pos-superposition  $P_2 P_1 C$ 

lemma superposition-if-pos-superposition:
  assumes step: pos-superposition  $P_2 P_1 C$ 
  shows superposition  $P_2 P_1 C$ 

```

```

using step
proof (cases P2 P1 C rule: pos-superposition.cases)
  case (pos-superpositionI L1 P1' L2 P2' s t s' t')
  thus ?thesis
    using superpositionI
    by (metis insert-iff)
qed

inductive neg-superposition :: 
  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  'f gatom clause  $\Rightarrow$  bool
where
  neg-superpositionI:
    P1 = add-mset L1 P1'  $\Rightarrow$ 
    P2 = add-mset L2 P2'  $\Rightarrow$ 
    P2  $\prec_c$  P1  $\Rightarrow$ 
    L1 = s⟨t⟩G ! $\approx$  s'  $\Rightarrow$ 
    L2 = t  $\approx$  t'  $\Rightarrow$ 
    s'  $\prec_t$  s⟨t⟩G  $\Rightarrow$ 
    t'  $\prec_t$  t  $\Rightarrow$ 
    select P1 = {#}  $\wedge$  is-maximal L1 P1  $\vee$  is-maximal L1 (select P1)  $\Rightarrow$ 
    select P2 = {#}  $\Rightarrow$ 
    is-strictly-maximal L2 P2  $\Rightarrow$ 
    C = add-mset (Neg (Upair s⟨t⟩G s')) (P1' + P2')  $\Rightarrow$ 
    neg-superposition P2 P1 C

lemma superposition-if-neg-superposition:
  assumes neg-superposition P2 P1 C
  shows superposition P2 P1 C
  using assms
proof (cases P2 P1 C rule: neg-superposition.cases)
  case (neg-superpositionI L1 P1' L2 P2' s t s' t')
  then show ?thesis
    using superpositionI
    by (metis insert-iff)
qed

lemma superposition-iff-pos-or-neg:
  superposition P2 P1 C  $\longleftrightarrow$ 
  pos-superposition P2 P1 C  $\vee$  neg-superposition P2 P1 C
proof (rule iffI)
  assume superposition P2 P1 C
  thus pos-superposition P2 P1 C  $\vee$  neg-superposition P2 P1 C
  proof (cases P2 P1 C rule: superposition.cases)
    case (superpositionI L1 P1' L2 P2' P s t s' t')
    then show ?thesis
      using pos-superpositionI[of P1 L1 P1' P2 L2 P2' s t s' t']
      using neg-superpositionI[of P1' L1 P1' P2 L2 P2' s t s' t']
      by metis
qed

```

```

next
assume pos-superposition  $P_2 \ P_1 \ C \vee$  neg-superposition  $P_2 \ P_1 \ C$ 
thus superposition  $P_2 \ P_1 \ C$ 
using
  superposition-if-neg-superposition
  superposition-if-pos-superposition
by metis
qed

```

## 1.2 Ground Layer

**definition**  $G\text{-}Inf :: 'f gatom clause inference set$  **where**

$$\begin{aligned} G\text{-}Inf = \\ \{Infer [P_2, P_1] C \mid P_2 \ P_1 \ C. \text{superposition } P_2 \ P_1 \ C\} \cup \\ \{Infer [P] C \mid P \ C. \text{eq-resolution } P \ C\} \cup \\ \{Infer [P] C \mid P \ C. \text{eq-factoring } P \ C\} \end{aligned}$$

**abbreviation**  $G\text{-}Bot :: 'f gatom clause set$  **where**  
 $G\text{-}Bot \equiv \{\#\}$

**definition**  $G\text{-entails} :: 'f gatom clause set \Rightarrow 'f gatom clause set \Rightarrow \text{bool}$  **where**  
 $G\text{-entails } N_1 \ N_2 \longleftrightarrow (\forall (I :: 'f gterm rel). \text{refl } I \longrightarrow \text{trans } I \longrightarrow \text{sym } I \longrightarrow \text{compatible-with-gctxt } I \longrightarrow \text{upair} ` I \models s N_1 \longrightarrow \text{upair} ` I \models s N_2)$

**lemma**  $\text{superposition-smaller-conclusion}:$

**assumes**

step: superposition  $P_1 \ P_2 \ C$

**shows**  $C \prec_c P_2$

**using** step

**proof** (cases  $P_1 \ P_2 \ C$  rule: superposition.cases)  
**case** ( $\text{superpositionI } L_1 \ P_1' \ L_2 \ P_2' \ \mathcal{P} \ s \ t \ s' \ t'$ )

**have**  $P_1' + \text{add-mset } (\mathcal{P} (\text{Upair } s \langle t \rangle_G \ s')) \ P_2' \prec_c P_1' + \{\#\mathcal{P} (\text{Upair } s \langle t \rangle_G \ s')\# \}$

**unfolding** less<sub>c</sub>-def

**proof** (intro one-step-implies-multp ballI)

**fix**  $K$  **assume**  $K \in \#\text{add-mset } (\mathcal{P} (\text{Upair } s \langle t \rangle_G \ s')) \ P_2'$

**moreover have**  $\mathcal{P} (\text{Upair } s \langle t \rangle_G \ s') \prec_l \mathcal{P} (\text{Upair } s \langle t \rangle_G \ s')$

**proof** –

**have**  $s \langle t \rangle_G \prec_t s \langle t \rangle_G$

**using**  $\langle t' \prec_t t \rangle$

**by** simp

**hence** multp ( $\prec_t$ )  $\{\#\mathcal{P} (\text{Upair } s \langle t \rangle_G \ s')\# \}$   $\{\#\mathcal{P} (\text{Upair } s \langle t \rangle_G \ s')\# \}$

**by** (simp add: add-mset-commute multp-cancel-add-mset)

**have** ?thesis **if**  $\mathcal{P} = \text{Pos}$

**unfolding** that less<sub>l</sub>-def

```

using ⟨multp (≺t) {#s⟨t⟩G, s'♯} {#s⟨t⟩G, s'♯}⟩
by simp

moreover have ?thesis if  $\mathcal{P} = \text{Neg}$ 
  unfolding that lesst-def
  using ⟨multp (≺t) {#s⟨t⟩G, s'♯} {#s⟨t⟩G, s'♯}⟩ multp-double-doubleI
  by force

ultimately show ?thesis
  using ⟨ $\mathcal{P} \in \{\text{Pos}, \text{Neg}\}$ ⟩
  by auto
qed

moreover have  $\forall K \in \# P_2'. K \prec_l \mathcal{P} (\text{Upair } s⟨t⟩_G s')$ 
proof -
  have is-strictly-maximal  $L_2 P_1$ 
    using superpositionI
    by argo

hence  $\forall K \in \# P_2'. \neg \text{Pos} (\text{Upair } t t') \prec_l K \wedge \text{Pos} (\text{Upair } t t') \neq K$ 
  unfolding
    is-strictly-maximal-def
    ⟨ $P_1 = \text{add-mset } L_2 P_2'$ ⟩ ⟨ $L_2 = t \approx t'$ ⟩
  by simp

hence  $\forall K \in \# P_2'. K \prec_l \text{Pos} (\text{Upair } t t')$ 
  by auto

have thesis-if-Neg:  $\text{Pos} (\text{Upair } t t') \prec_l \mathcal{P} (\text{Upair } s⟨t⟩_G s')$ 
  if  $\mathcal{P} = \text{Neg}$ 
proof -
  have  $t \preceq_t s⟨t⟩_G$ 
    using term.order.less-eq-subterm-property .

hence multp (≺t) {#t, t'♯} {#s⟨t⟩G, s', s⟨t⟩G, s'♯}
  unfolding reflclp-iff
proof (elim disjE)
  assume  $t \prec_t s⟨t⟩_G$ 

moreover hence  $t' \prec_t s⟨t⟩_G$ 
  using superpositionI(8)
  by order

ultimately show ?thesis
  by (auto intro: one-step-implies-multp[of - - - {#}, simplified])
next
  assume  $t = s⟨t⟩_G$ 
  thus ?thesis
    using ⟨ $t' \prec_t t$ ⟩

```

```

    by simp
qed
thus Pos (Upair t t') ⊢l P (Upair s⟨t⟩G s')
  using ⟨P = Neg⟩
  by (simp add: lessl-def)
qed

have thesis-if-Pos: Pos (Upair t t') ⊢l P (Upair s⟨t⟩G s')
  if P = Pos and is-maximal L1 P2
proof (cases s)
  case Hole
  show ?thesis
  proof (cases t' ⊢t s')
    case True

    hence (multp (⊤t)) == {#t, t'#{} {#s⟨t⟩G, s'#{}
      unfolding Hole
      by (simp add: multp-cancel-add-mset)

    thus ?thesis
      unfolding Hole ⟨P = Pos⟩
      by (auto simp: lessl-def)
next
  case False
  hence s' ⊢t t'
    by order

  hence multp (⊤t) {#s⟨t⟩G, s'#{} {#t, t'#{}
    by (simp add: Hole multp-cancel-add-mset)

  hence P (Upair s⟨t⟩G s') ⊢l Pos (Upair t t')
    using ⟨P = Pos⟩
    by (simp add: lessl-def)

moreover have ∀ K ∈# P1'. K ⊢l P (Upair s⟨t⟩G s')
  using that
  unfolding superpositionI is-maximal-def
  by auto

ultimately have ∀ K ∈# P1'. K ⊢l Pos (Upair t t')
  by auto

hence P2 ⊢c P1
using
  ⟨P (Upair s⟨t⟩G s') ⊢l Pos (Upair t t')⟩
  one-step-implies-multp[of P1 P2 (⊤l) {#}, simplified]
  literal.order.multp-if-maximal-less-that-maximal
  superpositionI
  that

```

```

unfolding lessc-def
by blast

hence False
  using ⟨P1 ⊢c P2⟩ by order

thus ?thesis ..
qed
next
  case (More f ts1 ctxt ts2)
  hence t ⊢t s⟨t⟩G
    using term.order.subterm-property[of s t]
    by simp

moreover hence t' ⊢t s⟨t⟩G
  using ⟨t' ⊢t t⟩
  by order

ultimately have multp (⊣t) {#t, t'#{} {#s⟨t⟩G, s'#{}
  using one-step-implies-multp[of {#s⟨t⟩G, s'#{} {#t, t'#{}} (⊣t) {#}]
  by simp

hence Pos (Upair t t') ⊢l P (Upair s⟨t⟩G s')
  using ⟨P = Pos⟩
  by (simp add: lessl-def)

thus ?thesis
  by order
qed

have P = Pos ∨ P = Neg
  using ⟨P ∈ {Pos, Neg}⟩
  by simp

thus ?thesis
proof (elim disjE; intro ballI)
  fix K assume P = Pos K ∈# P2'
  have K ⊢l t ≈ t'
    using ⟨∀ K ∈# P2'. K ⊢l t ≈ t' ⟩ ⟨K ∈# P2'⟩
    by metis

also have t ≈ t' ⊢l P (Upair s⟨t⟩G s')
proof (rule thesis-if-Pos[OF ⟨P = Pos⟩])
  have is-strictly-maximal L1 P2
    using ⟨P = Pos⟩ superpositionI
    by simp

thus is-maximal L1 P2

```

```

    by blast
qed

finally show  $K \prec_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$  .
next
fix  $K$  assume  $\mathcal{P} = \text{Neg } K \in \# P_2'$ 

have  $K \prec_l t \approx t'$ 
  using  $\forall K \in \# P_2'. K \prec_l t \approx t' \wedge K \in \# P_2'$ 
  by metis

also have  $t \approx t' \prec_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$ 
  using thesis-if-Neg[OF  $\mathcal{P} = \text{Neg}$ ] .

finally show  $K \prec_l \mathcal{P} (\text{Upair } s\langle t \rangle_G s')$  .
qed
qed

ultimately show  $\exists j \in \# \{\#\mathcal{P} (\text{Upair } s\langle t \rangle_G s')\}. K \prec_l j$ 
  by auto
qed simp

moreover have  $C = \text{add-mset} (\mathcal{P} (\text{Upair } s\langle t \rangle_G s')) (P_1' + P_2')$ 
  unfolding superpositionI ..

moreover have  $P_2 = P_1' + \{\#\mathcal{P} (\text{Upair } s\langle t \rangle_G s')\}$ 
  unfolding superpositionI by simp

ultimately show ?thesis
  by simp
qed

lemma ground-eq-resolution-smaller-conclusion:
assumes step: eq-resolution  $P C$ 
shows  $C \prec_c P$ 
using step
proof (cases  $P C$  rule: eq-resolution.cases)
  case (eq-resolutionI  $L t$ )
  then show ?thesis
    unfolding lessc-def
    by (metis add.left-neutral add-mset-add-single empty-not-add-mset multi-member-split
        one-step-implies-multp union-commute)
qed

lemma ground-eq-factoring-smaller-conclusion:
assumes step: eq-factoring  $P C$ 
shows  $C \prec_c P$ 
using step
proof (cases  $P C$  rule: eq-factoring.cases)

```

```

case (eq-factoringI L1 L2 P' t t' t'')
have is-maximal L1 P
  using eq-factoringI
  by simp

hence  $\forall K \in \# \text{ add-mset} (\text{Pos} (\text{Upair } t \ t'')) P'. \neg \text{Pos} (\text{Upair } t \ t') \prec_l K$ 
  unfolding eq-factoringI is-maximal-def
  by auto

hence  $\neg \text{Pos} (\text{Upair } t \ t') \prec_l \text{Pos} (\text{Upair } t \ t'')$ 
  by simp

hence  $\text{Pos} (\text{Upair } t \ t'') \preceq_l \text{Pos} (\text{Upair } t \ t')$ 
  by order

hence  $t'' \preceq_t t'$ 
  unfolding reflclp-iff
  by (auto simp: lessl-def multp-cancel-add-mset)

have C = add-mset (Neg (Upair t' t'')) (add-mset (Pos (Upair t t'')) P')
  using eq-factoringI
  by argo

moreover have add-mset (Neg (Upair t' t'')) (add-mset (Pos (Upair t t'')) P')
   $\prec_c P$ 
  unfolding eq-factoringI lessc-def
  proof (intro one-step-implies-multp[of { #-#} { #-#}, simplified])
    have t''  $\prec_t t$ 
      using ⟨t'  $\prec_t t$ ⟩ ⟨t''  $\preceq_t t'$ ⟩
      by order

    hence multp ( $\prec_t$ ) {#t', t'', t', t''#} {#t, t'#}
      using one-step-implies-multp[of - - {#}, simplified]
      by (metis ⟨t'  $\prec_t t$ ⟩ diff-empty id-remove-1-mset-iff-notin insert-iff
          set-mset-add-mset-insert)

    thus Neg (Upair t' t'')  $\prec_l$  Pos (Upair t t')
      by (simp add: lessl-def)
  qed

ultimately show ?thesis
  by argo
qed

sublocale consequence-relation where Bot = G-Bot and entails = G-entails
proof unfold-locales
  show G-Bot ≠ {}
    by simp
next

```

```

show  $\bigwedge B N. B \in G\text{-Bot} \implies G\text{-entails } \{B\} N$ 
  by (simp add: G-entails-def)
next
  show  $\bigwedge N2 N1. N2 \subseteq N1 \implies G\text{-entails } N1 N2$ 
    by (auto simp: G-entails-def elim!: true-class-mono[rotated])
next
  fix N1 N2 assume ball-G-entails:  $\forall C \in N2. G\text{-entails } N1 \{C\}$ 
  show G-entails N1 N2
    unfolding G-entails-def
    proof (intro allI impI)
      fix I :: 'f gterm rel
      assume refl I and trans I and sym I and compatible-with-gctxt I and
         $(\lambda(x, y). Upair x y) \cdot I \Vdash s N1$ 

      hence  $\forall C \in N2. (\lambda(x, y). Upair x y) \cdot I \Vdash s \{C\}$ 
        using ball-G-entails
        by (simp add: G-entails-def)

      then show  $(\lambda(x, y). Upair x y) \cdot I \Vdash s N2$ 
        by (simp add: true-class-def)
    qed
  next
    show  $\bigwedge N1 N2 N3. G\text{-entails } N1 N2 \implies G\text{-entails } N2 N3 \implies G\text{-entails } N1 N3$ 
      using G-entails-def
      by simp
  qed

```

### 1.3 Redundancy Criterion

```

sublocale calculus-with-finitary-standard-redundancy where
  Inf = G-Inf and
  Bot = G-Bot and
  entails = G-entails and
  less = ( $\prec_c$ )
  defines GRed-I = Red-I and GRed-F = Red-F
proof unfold-locales
  show transp ( $\prec_c$ )
    by simp
next
  show wfP ( $\prec_c$ )
    by auto
next
  show  $\bigwedge \iota. \iota \in G\text{-Inf} \implies \text{prems-of } \iota \neq []$ 
    by (auto simp: G-Inf-def)
next
  fix  $\iota$ 
  have concl-of  $\iota \prec_c \text{main-prem-of } \iota$ 
    if  $\iota\text{-def}: \iota = \text{Infer } [P_2, P_1] C$  and
      infer: superposition  $P_2 P_1 C$ 

```

```

for  $P_2 P_1 C$ 
unfolding  $\iota\text{-def}$ 
using infer
using superposition-smaller-conclusion
by simp

moreover have concl-of  $\iota \prec_c \text{main-prem-of } \iota$ 
if  $\iota\text{-def}: \iota = \text{Infer } [P] C$  and
    infer: eq-resolution  $P C$ 
for  $P C$ 
unfolding  $\iota\text{-def}$ 
using infer
using ground-eq-resolution-smaller-conclusion
by simp

moreover have concl-of  $\iota \prec_c \text{main-prem-of } \iota$ 
if  $\iota\text{-def}: \iota = \text{Infer } [P] C$  and
    infer: eq-factoring  $P C$ 
for  $P C$ 
unfolding  $\iota\text{-def}$ 
using infer
using ground-eq-factoring-smaller-conclusion
by simp

ultimately show  $\iota \in G\text{-Inf} \implies \text{concl-of } \iota \prec_c \text{main-prem-of } \iota$ 
unfolding G-Inf-def
by fast
qed

lemma redundant-infer-singleton:
assumes  $\exists D \in N. G\text{-entails} (\text{insert } D (\text{set} (\text{side-prems-of } \iota))) \{\text{concl-of } \iota\} \wedge D \prec_c \text{main-prem-of } \iota$ 
shows redundant-infer  $N \iota$ 
proof-
  obtain  $D$  where  $D$ :
     $D \in N$ 
     $G\text{-entails} (\text{insert } D (\text{set} (\text{side-prems-of } \iota))) \{\text{concl-of } \iota\}$ 
     $D \prec_c \text{main-prem-of } \iota$ 
    using assms
    by blast

  show ?thesis
    unfolding redundant-infer-def
    by (rule exI[of - {D}]) (auto simp: D)
  qed

end

end

```

```

theory Abstract-Rewriting-Extra
imports
  First-Order-Clause Transitive-Closure-Extra
  Abstract-Rewriting Abstract-Rewriting
begin

lemma mem-join-union-iff-mem-join-lhs:
assumes
   $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$  and
   $\bigwedge z. (y, z) \in A^* \implies z \notin \text{Domain } B$ 
shows  $(x, y) \in (A \cup B)^\downarrow \longleftrightarrow (x, y) \in A^\downarrow$ 
proof (rule iffI)
  assume  $(x, y) \in (A \cup B)^\downarrow$ 
  then obtain  $z$  where
     $(x, z) \in (A \cup B)^*$  and  $(y, z) \in (A \cup B)^*$ 
    by auto

  show  $(x, y) \in A^\downarrow$ 
  proof (rule joinI)
    from assms(1) show  $(x, z) \in A^*$ 
    using  $\langle (x, z) \in (A \cup B)^* \rangle$  mem-rtranc1-union-iff-mem-rtranc1-lhs[of  $x A B z$ ]
  by simp
  next
    from assms(2) show  $(y, z) \in A^*$ 
    using  $\langle (y, z) \in (A \cup B)^* \rangle$  mem-rtranc1-union-iff-mem-rtranc1-lhs[of  $y A B z$ ]
  by simp
  qed
  next
    show  $(x, y) \in A^\downarrow \implies (x, y) \in (A \cup B)^\downarrow$ 
    by (metis UnCI join-mono subset-Un-eq sup.left-idem)
  qed

lemma mem-join-union-iff-mem-join-rhs:
assumes
   $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$  and
   $\bigwedge z. (y, z) \in B^* \implies z \notin \text{Domain } A$ 
shows  $(x, y) \in (A \cup B)^\downarrow \longleftrightarrow (x, y) \in B^\downarrow$ 
using mem-join-union-iff-mem-join-lhs
by (metis assms(1) assms(2) sup-commute)

lemma refl-join: refl ( $r^\downarrow$ )
  by (simp add: joinI-right reflI)

lemma trans-join:
assumes strongly-norm: SN r and confluent: WCR r
shows trans ( $r^\downarrow$ )
proof -
  from confluent strongly-norm have CR r
  using Newman by metis

```

```

hence  $r^{\leftrightarrow*} = r^\downarrow$ 
  using CR-imp-conversionIff-join by metis
thus ?thesis
  using conversion-trans by metis
qed

end
theory Relation-Extra
imports Main
begin

lemma partition-set-around-element:
assumes tot: totalp-on N R and x-in:  $x \in N$ 
shows  $N = \{y \in N. R y x\} \cup \{x\} \cup \{y \in N. R x y\}$ 
proof (intro Set.equalityI Set.subsetI)
fix z assume  $z \in N$ 
hence  $R z x \vee z = x \vee R x z$ 
  using tot[THEN totalp-onD] x-in by auto
thus  $z \in \{y \in N. R y x\} \cup \{x\} \cup \{y \in N. R x y\}$ 
  using `z \in N` by auto
next
fix z assume  $z \in \{y \in N. R y x\} \cup \{x\} \cup \{y \in N. R x y\}$ 
hence  $z \in N \vee z = x$ 
  by auto
thus  $z \in N$ 
  using x-in by auto
qed

end
theory Ground-Superposition-Completeness
imports
  Ground-Superposition

First-Order-Clause.HOL-Extra
Abstract-Rewriting-Extra
Relation-Extra
begin

```

## 1.4 Model Construction

```

context ground-superposition-calculus begin

function epsilon :: -  $\Rightarrow$  'f gatom clause  $\Rightarrow$  'f gterm rel where
epsilon N C = {(s, t) | s t C'}.
C  $\in$  N  $\wedge$ 
C = add-mset (Pos (Upair s t)) C'  $\wedge$ 
select C = {#}  $\wedge$ 
is-strictly-maximal (Pos (Upair s t)) C  $\wedge$ 
t  $\prec_t$  s  $\wedge$ 

```

```

(let R_C = ( $\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon} \{E \in N. E \preceq_c D\} D$ ) in
   $\neg \text{upair} `(\text{rewrite-inside-gctxt } R_C)^\downarrow \models C \wedge$ 
   $\neg \text{upair} `(\text{rewrite-inside-gctxt } (\text{insert } (s, t) R_C))^\downarrow \models C' \wedge$ 
   $s \in \text{NF } (\text{rewrite-inside-gctxt } R_C))\}$ 
  by auto

termination epsilon
proof (relation {((x1, x2), (y1, y2)). x2  $\prec_c$  y2})
  define f :: 'c × 'f gterm uprod literal multiset ⇒ 'f gterm uprod literal multiset
  where
    f = (λ(x1, x2). x2)
  have wfp (λ(x1, x2) (y1, y2). x2  $\prec_c$  y2)
    proof (rule wfp-if-convertible-to-wfp)
      show  $\bigwedge x y. (\text{case } x \text{ of } (x1, x2) \Rightarrow \lambda(y1, y2). x2 \prec_c y2) y \implies (\text{snd } x) \prec_c (\text{snd } y)$ 
        by auto
      next
        show wfp ( $\prec_c$ )
          by auto
      qed
      thus wf {((x1, x2), (y1, y2)). x2  $\prec_c$  y2}
        by (simp add: wfp-def)
    next
      show  $\bigwedge N C x xa xb xc xd. xd \in \{D \in N. D \prec_c C\} \implies ((\{E \in N. E \preceq_c xd\},$ 
         $xd), N, C) \in \{((x1, x2), y1, y2). x2 \prec_c y2\}$ 
        by simp
    qed
  declare epsilon.simps[simp del]

lemma epsilon-filter-le-conv: epsilon {D ∈ N. D  $\preceq_c$  C} C = epsilon N C
proof (intro subset-antisym subrelI)
  fix x y
  assume (x, y) ∈ epsilon {D ∈ N. D  $\preceq_c$  C} C
  then obtain C' where
    C ∈ N and
    C = add-mset (x ≈ y) C' and
    select C = {#} and
    is-strictly-maximal (x ≈ y) C and
    y  $\prec_t$  x and
    (let R_C =  $\bigcup x \in \{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\}. \text{epsilon} \{E \in N. (E$ 
       $\prec_c C \vee E = C) \wedge E \preceq_c x\} x$  in
       $\neg \text{upair} `(\text{rewrite-inside-gctxt } R_C)^\downarrow \models C \wedge$ 
       $\neg \text{upair} `(\text{rewrite-inside-gctxt } (\text{insert } (x, y) R_C))^\downarrow \models C' \wedge$ 
       $x \in \text{NF } (\text{rewrite-inside-gctxt } R_C))$ 
    unfolding epsilon.simps[of - C] mem-Collect-eq
    by auto

  moreover have ( $\bigcup x \in \{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\}. \text{epsilon} \{E \in$ 

```

$N. (E \prec_c C \vee E = C) \wedge E \preceq_c x \} x) = (\bigcup_{D \in N. D \prec_c C} \text{epsilon} \{ E \in N. E \preceq_c D \} D)$   
**proof** (rule SUP-cong)  
**show**  $\{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\} = \{D \in N. D \prec_c C\}$   
**by** metis  
**next**  
**show**  $\bigwedge x. x \in \{D \in N. D \prec_c C\} \implies \text{epsilon} \{E \in N. (E \prec_c C \vee E = C) \wedge E \preceq_c x\} x = \text{epsilon} \{E \in N. E \preceq_c x\} x$   
**by** (metis (no-types, lifting) clause.order.dual-order.strict-trans2 mem-Collect-eq)  
**qed**

**ultimately show**  $(x, y) \in \text{epsilon} N C$   
**unfolding** epsilon.simps[of - C] **by** simp  
**next**  
**fix**  $x y$   
**assume**  $(x, y) \in \text{epsilon} N C$   
**then obtain**  $C'$  **where**  
 $C \in N$  **and**  
 $C = \text{add-mset} (x \approx y) C'$  **and**  
**select**  $C = \{\#\}$  **and**  
*is-strictly-maximal*  $(x \approx y) C$  **and**  
 $y \prec_t x$  **and**  
 $(\text{let } R_C = \bigcup_{x \in \{D \in N. D \prec_c C\}} \text{epsilon} \{E \in N. E \preceq_c x\} x \text{ in}$   
 $\quad \neg \text{upair} (\text{rewrite-inside-gctxt } R_C)^\downarrow \models C \wedge$   
 $\quad \neg \text{upair} (\text{rewrite-inside-gctxt} (\text{insert} (x, y) R_C))^\downarrow \models C' \wedge$   
 $\quad x \in NF (\text{rewrite-inside-gctxt } R_C))$   
**unfolding** epsilon.simps[of - C] mem-Collect-eq  
**by** auto

**moreover have**  $(\bigcup_{x \in \{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\}} \text{epsilon} \{E \in N. (E \prec_c C \vee E = C) \wedge E \preceq_c x\} x) = (\bigcup_{D \in \{D \in N. D \prec_c C\}} \text{epsilon} \{E \in N. E \preceq_c D\} D)$   
**proof** (rule SUP-cong)  
**show**  $\{D \in N. (D \prec_c C \vee D = C) \wedge D \prec_c C\} = \{D \in N. D \prec_c C\}$   
**by** metis  
**next**  
**show**  $\bigwedge x. x \in \{D \in N. D \prec_c C\} \implies \text{epsilon} \{E \in N. (E \prec_c C \vee E = C) \wedge E \preceq_c x\} x = \text{epsilon} \{E \in N. E \preceq_c x\} x$   
**by** (metis (mono-tags, lifting) clause.order.dual-order.strict-trans2 mem-Collect-eq)  
**qed**

**ultimately show**  $(x, y) \in \text{epsilon} \{D \in N. (\prec_c) == D C\} C$   
**unfolding** epsilon.simps[of - C] **by** simp  
**qed**

**end**

**lemma** (in ground-superposition-calculus) *epsilon-eq-empty-or-singleton*:

```

epsilon N C = {} ∨ (exists s t. epsilon N C = {(s, t)})
proof –
  have  $\exists_{\leq 1} (x, y). \exists C'.$ 
     $C = \text{add-mset} (\text{Pos} (\text{Upair } x y)) C' \wedge \text{is-strictly-maximal} (\text{Pos} (\text{Upair } x y)) C$ 
     $\wedge y \prec_t x$ 
    by (rule Uniq-prodI)
    (metis Upair-inject add-mset-remove-trivial insert-iff is-strictly-maximal-def
      literal.inject(1) literal.order.nle-le set-mset-add-mset-insert
      term.order.dual-order.asym)
  hence Uniq-epsilon:  $\exists_{\leq 1} (x, y). \exists C'.$ 
     $C \in N \wedge$ 
     $C = \text{add-mset} (\text{Pos} (\text{Upair } x y)) C' \wedge \text{select } C = \{\#\} \wedge$ 
     $\text{is-strictly-maximal} (\text{Pos} (\text{Upair } x y)) C \wedge y \prec_t x \wedge$ 
    (let  $R_C = \bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon} \{E \in N. E \preceq_c D\}$  D in
       $\neg \text{upair}`(\text{rewrite-inside-gctxt } R_C)`^\downarrow \models C \wedge$ 
       $\neg \text{upair}`(\text{rewrite-inside-gctxt} (\text{insert} (x, y) R_C))`^\downarrow \models C' \wedge$ 
       $x \in \text{NF} (\text{rewrite-inside-gctxt } R_C)$ )
    using Uniq-antimono'
    by (smt (verit) Uniq-def Uniq-prodI case-prod-conv)
  show ?thesis
    unfolding epsilon.simps[of N C]
    using Collect-eq-if-Uniq-prod[OF Uniq-epsilon]
    by (smt (verit, best) Collect-cong Collect-empty-eq Uniq-def Uniq-epsilon case-prod-conv
      insertCI mem-Collect-eq)
  qed

lemma (in ground-superposition-calculus) card-epsilon-le-one:
   $\text{card} (\text{epsilon } N C) \leq 1$ 
  using epsilon-eq-empty-or-singleton[of N C]
  by auto

definition (in ground-superposition-calculus) rewrite-sys where
   $\text{rewrite-sys } N C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon} \{E \in N. E \preceq_c D\}) D$ 

definition (in ground-superposition-calculus) rewrite-sys' where
   $\text{rewrite-sys}' N \equiv (\bigcup C \in N. \text{epsilon } N C)$ 

lemma (in ground-superposition-calculus) rewrite-sys-alt: rewrite-sys' {D ∈ N. D
   $\prec_c C} = \text{rewrite-sys } N C$ 
  unfolding rewrite-sys'-def rewrite-sys-def
  proof (rule SUP-cong)
    show  $\{D \in N. D \prec_c C\} = \{D \in N. D \prec_c C\} ..$ 
  next
    show  $\bigwedge x. x \in \{D \in N. D \prec_c C\} \implies \text{epsilon} \{D \in N. D \prec_c C\} x = \text{epsilon}$ 
     $\{E \in N. (\prec_c)^{==} E x\} x$ 
    using epsilon-filter-le-conv
    by (smt (verit, best) Collect-cong clause.order.le-less-trans mem-Collect-eq)

```

**qed**

**lemma (in ground-superposition-calculus) mem-epsilonE:**  
assumes rule-in: rule ∈ epsilon N C  
obtains l r C' where  
C ∈ N and  
rule = (l, r) and  
C = add-mset (Pos (Upair l r)) C' and  
select C = {#} and  
is-strictly-maximal (Pos (Upair l r)) C and  
r ≺<sub>t</sub> l and  
¬ upair ‘(rewrite-inside-gctxt (rewrite-sys N C))<sup>↓</sup> ≡ C and  
¬ upair ‘(rewrite-inside-gctxt (insert (l, r) (rewrite-sys N C)))<sup>↓</sup> ≡ C' and  
l ∈ NF (rewrite-inside-gctxt (rewrite-sys N C))  
using rule-in  
unfolding epsilon.simps[of N C] mem-Collect-eq Let-def rewrite-sys-def  
by (metis (no-types, lifting))

**lemma (in ground-superposition-calculus) mem-epsilon-iff:**  
(l, r) ∈ epsilon N C ↔  
(∃ C'. C ∈ N ∧ C = add-mset (Pos (Upair l r)) C' ∧ select C = {#} ∧  
is-strictly-maximal (Pos (Upair l r)) C ∧ r ≺<sub>t</sub> l ∧  
¬ upair ‘(rewrite-inside-gctxt (rewrite-sys' {D ∈ N. D ≺<sub>c</sub> C}))<sup>↓</sup> ≡ C ∧  
¬ upair ‘(rewrite-inside-gctxt (insert (l, r) (rewrite-sys' {D ∈ N. D ≺<sub>c</sub> C})))<sup>↓</sup>  
≡ C' ∧  
l ∈ NF (rewrite-inside-gctxt (rewrite-sys' {D ∈ N. D ≺<sub>c</sub> C})))  
(is ?LHS ↔ ?RHS)  
**proof (rule iffI)**  
**assume** ?LHS  
**thus** ?RHS  
using rewrite-sys-alt  
by (auto elim: mem-epsilonE)  
**next**  
**assume** ?RHS  
**thus** ?LHS  
unfolding epsilon.simps[of N C] mem-Collect-eq  
unfolding rewrite-sys-alt rewrite-sys-def by auto  
**qed**

**lemma (in ground-superposition-calculus) rhs-lt-lhs-if-mem-rewrite-sys:**  
assumes (t1, t2) ∈ rewrite-sys N C  
shows t2 ≺<sub>t</sub> t1  
using assms  
unfolding rewrite-sys-def  
by (smt (verit, best) UN-iff mem-epsilonE prod.inject)

**lemma (in ground-superposition-calculus) rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys:**  
assumes rule-in: (t1, t2) ∈ rewrite-inside-gctxt (rewrite-sys N C)  
shows t2 ≺<sub>t</sub> t1

```

proof -
  from rule-in obtain ctxt t1' t2' where
     $(t1, t2) = (\text{ctxt}\langle t1 \rangle_G, \text{ctxt}\langle t2 \rangle_G) \wedge (t1', t2') \in \text{rewrite-sys } N C$ 
    unfolding rewrite-inside-gctxt-def mem-Collect-eq
    by auto
  thus ?thesis
  using rhs-lt-lhs-if-mem-rewrite-sys[of t1' t2']
  by (metis Pair-inject term.order.context-compatibility)
qed

lemma (in ground-superposition-calculus) rhs-lesseq-trm-lhs-if-mem-rtranci-rewrite-inside-gctxt-rewrite-sys:
  assumes rule-in:  $(t1, t2) \in (\text{rewrite-inside-gctxt}(\text{rewrite-sys } N C))^*$ 
  shows  $t2 \preceq_t t1$ 
  using rule-in
  proof (induction t2 rule: rtranci-induct)
    case base
    show ?case
    by order
  next
    case (step t2 t3)
    from step.hyps have  $t3 \prec_t t2$ 
    using rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys by metis
    with step.IH show ?case
    by order
  qed

lemma singleton-eq-CollectD:  $\{x\} = \{y. P y\} \implies P x$ 
  by blast

lemma subset-Union-mem-CollectI:  $P x \implies f x \subseteq (\bigcup y \in \{z. P z\}, f y)$ 
  by blast

lemma (in ground-superposition-calculus) rewrite-sys-subset-if-less-cls:
   $C \prec_c D \implies \text{rewrite-sys } N C \subseteq \text{rewrite-sys } N D$ 
  unfolding rewrite-sys-def
  unfolding epsilon-filter-le-conv
  by (smt (verit, del-insts) SUP-mono clause.order.dual-order.strict-trans mem-Collect-eq
  subset-eq)

lemma (in ground-superposition-calculus) mem-rewrite-sys-if-less-cls:
  assumes  $D \in N \text{ and } D \prec_c C \text{ and } (u, v) \in \text{epsilon } N D$ 
  shows  $(u, v) \in \text{rewrite-sys } N C$ 
  unfolding rewrite-sys-def UN-iff
  proof (intro bexI)
    show  $D \in \{D \in N. D \prec_c C\}$ 
    using  $\langle D \in N \rangle \langle D \prec_c C \rangle$  by simp
  next
    show  $(u, v) \in \text{epsilon } \{E \in N. E \preceq_c D\} D$ 
    using  $\langle (u, v) \in \text{epsilon } N D \rangle \text{ epsilon-filter-le-conv}$  by simp

```

**qed**

**lemma (in ground-superposition-calculus) less-trm-iff-less-cls-if-lhs-epsilon:**  
assumes  $E_C: \text{epsilon } N C = \{(s, t)\}$  and  $E_D: \text{epsilon } N D = \{(u, v)\}$   
shows  $u \prec_t s \longleftrightarrow D \prec_c C$

**proof –**

from  $E_C$  have  $(s, t) \in \text{epsilon } N C$   
by *simp*  
then obtain  $C'$  where  
 $C \in N$  and  
 $C\text{-def: } C = \text{add-mset}(\text{Pos}(Upair s t))$   $C'$  and  
 $\text{is-strictly-maximal}(\text{Pos}(Upair s t))$   $C$  and  
 $t \prec_t s$  and  
 $s\text{-irreducible: } s \in NF(\text{rewrite-inside-gctxt}(\text{rewrite-sys } N C))$   
by (*auto elim!*: *mem-epsilonE*)  
hence  $\forall L \in \# C'. L \prec_l \text{Pos}(Upair s t)$   
unfolding *is-strictly-maximal-def*  
by *auto*

from  $E_D$  obtain  $D'$  where  
 $D \in N$  and  
 $D\text{-def: } D = \text{add-mset}(\text{Pos}(Upair u v))$   $D'$  and  
 $\text{is-strictly-maximal}(\text{Pos}(Upair u v))$   $D$  and  
 $v \prec_t u$   
by (*auto simp*: *elim*: *epsilon.elims dest*: *singleton-eq-CollectD*)  
hence  $\forall L \in \# D'. L \prec_l \text{Pos}(Upair u v)$   
by (*auto simp*: *is-strictly-maximal-def*)

show ?thesis  
proof (rule *iffI*)  
assume  $u \prec_t s$

moreover hence  $v \prec_t s$   
using  $\langle v \prec_t u \rangle$   
by *order*

ultimately have  $\text{multp}(\prec_t) \{\#u, v\# \} \{\#s, t\# \}$   
using *one-step-implies-multp*[of  $\{\#s, t\# \} \{\#u, v\# \} - \{\#\}$ ]  
by *simp*

hence  $\text{Pos}(Upair u v) \prec_l \text{Pos}(Upair s t)$   
by (*simp add*: *lessl-def*)

moreover hence  $\forall L \in \# D'. L \prec_l \text{Pos}(Upair s t)$   
using  $\langle \forall L \in \# D'. L \prec_l \text{Pos}(Upair u v) \rangle$   
by (*meson literal.order.transp-on-less transpD*)

ultimately show  $D \prec_c C$   
using *one-step-implies-multp*[of  $C D - \{\#\}$ ] *lessc-def*

```

by (simp add: D-def C-def)
next
assume D ≺c C

have (u, v) ∈ rewrite-sys N C
  using E_D ⟨D ∈ N⟩ ⟨D ≺c C⟩ mem-rewrite-sys-if-less-cls
  by auto

hence (u, v) ∈ rewrite-inside-gctxt (rewrite-sys N C)
  by blast

hence s ≠ u
  using s-irreducible
  by auto

moreover have ¬(s ≺t u)
proof (rule notI)
assume s ≺t u

moreover hence t ≺t u
  using ⟨t ≺t s⟩
  by order

ultimately have multp (≺t) {#s, t#} {#u, v#}
  using one-step-implies-multp[of {#u, v#} {#s, t#} - {#}]
  by simp

hence Pos (Upair s t) ≺l Pos (Upair u v)
  by (simp add: lessl-def)

moreover hence ∀ L ∈ # C'. L ≺l Pos (Upair u v)
  using ⟨∀ L ∈ # C'. L ≺l Pos (Upair s t)⟩
  by (meson literal.order.transp-on-less transpD)

ultimately have C ≺c D
  using one-step-implies-multp[of D C - {#}] lessc-def
  by (simp add: D-def C-def)

thus False
  using ⟨D ≺c C⟩
  by order

qed
ultimately show u ≺t s
  by order

qed
qed

lemma (in ground-superposition-calculus) termination-rewrite-sys: wf ((rewrite-sys
N C)-1)

```

```

proof (rule wf-if-convertible-to-wf)
  show wf {(x, y). x  $\prec_t$  y}
    using term.order.wfp
    by (simp add: wfp-def)
next
  fix t s
  assume (t, s)  $\in$  (rewrite-sys N C) $^{-1}$ 
  hence (s, t)  $\in$  rewrite-sys N C
    by simp
  then obtain D where D  $\prec_c$  C and (s, t)  $\in$  epsilon N D
    unfolding rewrite-sys-def using epsilon-filter-le-conv by blast
  hence t  $\prec_t$  s
    by (auto elim: mem-epsilonE)
  thus (t, s)  $\in$  {(x, y). x  $\prec_t$  y}
    by (simp add: )
qed

lemma (in ground-superposition-calculus) termination-Union-rewrite-sys:
  wf (( $\bigcup D \in N$ . rewrite-sys N D) $^{-1}$ )
proof (rule wf-if-convertible-to-wf)
  show wf {(x, y). x  $\prec_t$  y}
    using term.order.wfp
    by (simp add: wfp-def)
next
  fix t s
  assume (t, s)  $\in$  ( $\bigcup D \in N$ . rewrite-sys N D) $^{-1}$ 
  hence (s, t)  $\in$  ( $\bigcup D \in N$ . rewrite-sys N D)
    by simp
  then obtain C where C  $\in$  N (s, t)  $\in$  rewrite-sys N C
    by auto
  then obtain D where D  $\prec_c$  C and (s, t)  $\in$  epsilon N D
    unfolding rewrite-sys-def using epsilon-filter-le-conv
    by blast
  hence t  $\prec_t$  s
    by (auto elim: mem-epsilonE)
  thus (t, s)  $\in$  {(x, y). x  $\prec_t$  y}
    by simp
qed

lemma (in ground-superposition-calculus) no-crit-pairs:
   $\{(t1, t2) \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \setminus N)). t1 \neq t2\} = \{\}$ 
proof (rule ccontr)
  assume  $\{(t1, t2).$ 
   $(t1, t2) \in \text{ground-critical-pairs } (\bigcup (\text{epsilon } N2 \setminus N)) \wedge t1 \neq t2\} \neq \{\}$ 

```

```

then obtain ctxt l r1 r2 where
  ( $\text{ctxt}\langle r2 \rangle_G, r1 \rangle \in \text{ground-critical-pairs} (\bigcup (\text{epsilon } N2 \cdot N))$  and
   $\text{ctxt}\langle r2 \rangle_G \neq r1$  and
  rule1-in:  $(\text{ctxt}\langle l \rangle_G, r1) \in \bigcup (\text{epsilon } N2 \cdot N)$  and
  rule2-in:  $(l, r2) \in \bigcup (\text{epsilon } N2 \cdot N)$ 
  unfolding ground-critical-pairs-def mem-Collect-eq by blast

from rule1-in rule2-in obtain C1 C2 where
  C1  $\in N$  and rule1-in':  $(\text{ctxt}\langle l \rangle_G, r1) \in \text{epsilon } N2 C1$  and
  C2  $\in N$  and rule2-in':  $(l, r2) \in \text{epsilon } N2 C2$ 
  by auto

from rule1-in' obtain C1' where
  C1-def:  $C1 = \text{add-mset} (\text{Pos} (\text{Upair} \text{ ctxt}\langle l \rangle_G r1))$  C1' and
  C1-max:  $\text{is-strictly-maximal} (\text{Pos} (\text{Upair} \text{ ctxt}\langle l \rangle_G r1))$  C1 and
   $r1 \prec_t \text{ctxt}\langle l \rangle_G$  and
  l1-irreducible:  $\text{ctxt}\langle l \rangle_G \in \text{NF} (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N2 C1))$ 
  by (auto elim: mem-epsilonE)

from rule2-in' obtain C2' where
  C2-def:  $C2 = \text{add-mset} (\text{Pos} (\text{Upair} l r2))$  C2' and
  C2-max:  $\text{is-strictly-maximal} (\text{Pos} (\text{Upair} l r2))$  C2 and
   $r2 \prec_t l$ 
  by (auto elim: mem-epsilonE)

have epsilon N2 C1 = { $(\text{ctxt}\langle l \rangle_G, r1)$ }
  using rule1-in' epsilon-eq-empty-or-singleton by fastforce

have epsilon N2 C2 = { $(l, r2)$ }
  using rule2-in' epsilon-eq-empty-or-singleton by fastforce

show False
proof (cases ctxt =  $\square$ )
  case True
  hence  $\neg (\text{ctxt}\langle l \rangle_G \prec_t l)$  and  $\neg (l \prec_t \text{ctxt}\langle l \rangle_G)$ 
  by (simp-all add: irreflpD)

  hence  $\neg (C1 \prec_c C2)$  and  $\neg (C2 \prec_c C1)$ 
  using ‹epsilon N2 C1 = { $(\text{ctxt}\langle l \rangle_G, r1)$ }› ‹epsilon N2 C2 = { $(l, r2)$ }›
    less-trm-iff-less-cls-if-lhs-epsilon
  by simp-all

  hence C1 = C2
  by order

  hence r1 = r2
  using ‹epsilon N2 C1 = { $(\text{ctxt}\langle l \rangle_G, r1)$ }› ‹epsilon N2 C2 = { $(l, r2)$ }›
  by simp

```

```

moreover have  $r1 \neq r2$ 
  using  $\langle ctxt(r2) \rangle_G \neq r1$ 
  unfolding  $\langle ctxt = \square \rangle$ 
  by simp

ultimately show ?thesis
  by contradiction
next
  case False
  hence  $l \prec_t ctxt(l)_G$ 
    by (metis term.order.subterm-property)

hence  $C2 \prec_c C1$ 
  using  $\langle epsilon N2 C1 = \{(ctxt(l)_G, r1)\} \rangle \langle epsilon N2 C2 = \{(l, r2)\} \rangle$ 
    less-trm-iff-less-cls-if-lhs-epsilon
  by simp

have  $(l, r2) \in \text{rewrite-sys } N2 C1$ 
  by (metis  $\langle C2 \prec_c C1 \rangle \langle epsilon N2 C2 = \{(l, r2)\} \rangle$  mem-epsilonE mem-rewrite-sys-if-less-cls
    singletonI)

hence  $(ctxt(l)_G, ctxt(r2)_G) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N2 C1)$ 
  by auto

thus False
  using l1-irreducible
  by auto
qed
qed

lemma (in ground-superposition-calculus) WCR-Union-rewrite-sys:
  WCR (rewrite-inside-gctxt ( $\bigcup D \in N. \epsilon N2 D$ ))
  unfolding ground-critical-pair-theorem
proof (intro subsetI ballI)
  fix tuple
  assume tuple-in:  $tuple \in \text{ground-critical-pairs } (\bigcup (\epsilon N2 ` N))$ 

  then obtain t1 t2 where tuple-def:  $tuple = (t1, t2)$ 
    by fastforce

  moreover have  $(t1, t2) \in (\text{rewrite-inside-gctxt } (\bigcup (\epsilon N2 ` N)))^\perp$  if  $t1 = t2$ 
    using that by auto

  moreover have False if  $t1 \neq t2$ 
    using that tuple-def tuple-in no-crit-pairs by simp

  ultimately show tuple  $\in (\text{rewrite-inside-gctxt } (\bigcup (\epsilon N2 ` N)))^\perp$ 
    by (cases t1 = t2) simp-all

```

**qed**

**lemma (in ground-superposition-calculus)**

**assumes**

$D \preceq_c C$  **and**

$E_C\text{-eq}: \text{epsilon } N C = \{(s, t)\}$  **and**

$L\text{-in}: L \in \# D$  **and**

$\text{topmost-trms-of-}L: \text{mset-uprod} (\text{atm-of } L) = \{\#u, v\# \}$

**shows**

$\text{lesseq-trm-if-pos}: \text{is-pos } L \implies u \preceq_t s$  **and**

$\text{less-trm-if-neg}: \text{is-neg } L \implies u \prec_t s$

**proof –**

**from**  $E_C\text{-eq}$  **have**  $(s, t) \in \text{epsilon } N C$

**by** *simp*

**then obtain**  $C'$  **where**

$C\text{-def}: C = \text{add-mset} (\text{Pos} (\text{Upair } s t))$   $C'$  **and**

$C\text{-max-lit}: \text{is-strictly-maximal} (\text{Pos} (\text{Upair } s t))$   $C$  **and**

$t \prec_t s$

**by** (*auto elim: mem-epsilonE*)

**have**  $\text{Pos} (\text{Upair } s t) \prec_l L$  **if**  $\text{is-pos } L$  **and**  $\neg u \preceq_t s$

**proof –**

**from** *that(2)* **have**  $s \prec_t u$

**by** *order*

**hence**  $\text{multp} (\prec_t) \{\#s, t\# \} \{\#u, v\# \}$

**using**  $\langle t \prec_t s \rangle$

**by** (*smt (verit, del-insts) add.right-neutral empty-iff insert-iff one-step-implies-multp set-mset-add-mset-insert set-mset-empty transpD term.order.transp union-mset-add-mset-right*)

**with** *that(1)* **show**  $\text{Pos} (\text{Upair } s t) \prec_l L$

**using** *topmost-trms-of- $L$*

**by** (*cases L*) (*simp-all add: lessl-def*)

**qed**

**moreover have**  $\text{Pos} (\text{Upair } s t) \prec_l L$  **if**  $\text{is-neg } L$  **and**  $\neg u \prec_t s$

**proof –**

**from** *that(2)* **have**  $s \preceq_t u$

**by** *order*

**hence**  $\text{multp} (\prec_t) \{\#s, t\# \} \{\#u, v, u, v\# \}$

**using**  $\langle t \prec_t s \rangle$

**by** (*smt (z3) add-mset-add-single add-mset-remove-trivial add-mset-remove-trivial-iff empty-not-add-mset insert-DiffM insert-noteq-member one-step-implies-multp reflclp-iff transp-def term.order.transp union-mset-add-mset-left union-mset-add-mset-right*)

**with** *that(1)* **show**  $\text{Pos} (\text{Upair } s t) \prec_l L$

```

using topmost-trms-of-L
by (cases L) (simp-all add: less_l-def)
qed

moreover have False if Pos (Upair s t) <_l L
proof -
  have C <_c D
  unfolding less_c-def
  proof (rule multp-if-maximal-of-lhs-is-less)
    show Pos (Upair s t) ∈# C
      by (simp add: C-def)
  next
    show L ∈# D
    using L-in
    by simp
  next
    show is-maximal (Pos (Upair s t)) C
      using is-maximal-if-is-strictly-maximal[OF C-max-lit].
  next
    show Pos (Upair s t) <_l L
    using that .
  qed simp-all

  with ⟨D ⊑_c C⟩ show False
  by order
qed

ultimately show is-pos L ⟹ u ⊑_t s and is-neg L ⟹ u <_t s
by argo+
qed

lemma (in ground-order) less-trm-const-lhs-if-mem-rewrite-inside-gctxt:
fixes t t1 t2 r
assumes
  rule-in: (t1, t2) ∈ rewrite-inside-gctxt r and
  ball-lt-lhs: ⋀ t1 t2. (t1, t2) ∈ r ⟹ t <_t t1
  shows t <_t t1
proof -
  from rule-in obtain ctxt t1' t2' where
    rule-in': (t1', t2') ∈ r and
    l-def: t1 = ctxt(t1)'_G and
    r-def: t2 = ctxt(t2)'_G
    unfolding rewrite-inside-gctxt-def by fast

  show ?thesis
    using ball-lt-lhs[OF rule-in'] term.order.less-eq-subterm-property[of t1' ctxt]
    l-def
    by order
qed

```

```

lemma (in ground-superposition-calculus) split-Union-epsilon:
  assumes D-in:  $D \in N$ 
  shows  $(\bigcup C \in N. \text{epsilon } N C) =$ 
     $\text{rewrite-sys } N D \cup \text{epsilon } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N C)$ 
  proof -
    have  $N = \{C \in N. C \prec_c D\} \cup \{D\} \cup \{C \in N. D \prec_c C\}$ 
    proof (rule partition-set-around-element)
      show totalp-on  $N (\prec_c)$ 
      using clause.order.totalp-on-less .
  next
    show  $D \in N$ 
    using D-in
    by simp
  qed
  hence  $(\bigcup C \in N. \text{epsilon } N C) =$ 
     $(\bigcup C \in \{C \in N. C \prec_c D\}. \text{epsilon } N C) \cup \text{epsilon } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N C)$ 
    by auto

  thus  $(\bigcup C \in N. \text{epsilon } N C) =$ 
     $\text{rewrite-sys } N D \cup \text{epsilon } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N C)$ 
    using epsilon-filter-le-conv rewrite-sys-def
    by simp
  qed

lemma (in ground-superposition-calculus) split-Union-epsilon':
  assumes D-in:  $D \in N$ 
  shows  $(\bigcup C \in N. \text{epsilon } N C) = \text{rewrite-sys } N D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N C)$ 
  using split-Union-epsilon[OF D-in] D-in
  by auto

lemma (in ground-superposition-calculus) split-rewrite-sys:
  assumes C ∈ N and D-in:  $D \in N$  and  $D \prec_c C$ 
  shows  $\text{rewrite-sys } N C = \text{rewrite-sys } N D \cup (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \text{epsilon } N C')$ 
  proof -
    have  $\{D \in N. D \prec_c C\} =$ 
       $\{y \in \{D \in N. D \prec_c C\}. y \prec_c D\} \cup \{D\} \cup \{y \in \{D \in N. D \prec_c C\}. D \prec_c y\}$ 
    proof (rule partition-set-around-element)
      show totalp-on  $\{D \in N. D \prec_c C\} (\prec_c)$ 
      using clause.order.totalp-on-less .
  next
    from D-in  $\langle D \prec_c C \rangle$  show  $D \in \{D \in N. D \prec_c C\}$ 
    by simp
  qed

```

```

also have ... = { $x \in N. x \prec_c C \wedge x \prec_c D\} \cup \{D\} \cup \{x \in N. D \prec_c x \wedge x \prec_c C\}$ 
```

**by auto**

```

also have ... = { $x \in N. x \prec_c D\} \cup \{D\} \cup \{x \in N. D \prec_c x \wedge x \prec_c C\}$ 
```

**using** ⟨ $D \prec_c C$ ⟩ clause.order.less-trans  
**by** blast

```

finally have Collect-N-lt-C: { $x \in N. x \prec_c C\} = \{x \in N. x \prec_c D\} \cup \{x \in N.$ 
```

$D \preceq_c x \wedge x \prec_c C\}$   
**by auto**

```

have rewrite-sys N C = ( $\bigcup C' \in \{D \in N. D \prec_c C\}. \text{epsilon } N C'$ )  

using epsilon-filter-le-conv  

by (simp add: rewrite-sys-def)
```

```

also have ... = ( $\bigcup C' \in \{x \in N. x \prec_c D\}. \text{epsilon } N C'\) \cup (\bigcup C' \in \{x \in N. D$ 
```

$\preceq_c x \wedge x \prec_c C\}. \text{epsilon } N C')$   
**unfolding** Collect-N-lt-C  
**by** simp

```

finally show rewrite-sys N C = rewrite-sys N D  $\cup \bigcup ( \text{epsilon } N ' \{C' \in N. D$ 
```

$\preceq_c C' \wedge C' \prec_c C\})$   
**using** epsilon-filter-le-conv  
**unfolding** rewrite-sys-def  
**by** simp

**qed**

```

lemma (in ground-order) mem-join-union-iff-mem-join-lhs':  

assumes  

ball-R1-rhs-lt-lhs:  $\bigwedge t1 t2. (t1, t2) \in R_1 \implies t2 \prec_t t1$  and  

ball-R2-lt-lhs:  $\bigwedge t1 t2. (t1, t2) \in R_2 \implies s \prec_t t1 \wedge t \prec_t t1$   

shows (s, t)  $\in (R_1 \cup R_2)^\downarrow \longleftrightarrow (s, t) \in R_1^\downarrow$ 
```

**proof** –

**have** ball-R<sub>1</sub>-rhs-lt-lhs': (t1, t2)  $\in R_1^*$   $\implies t2 \preceq_t t1$  **for** t1 t2

**proof** (induction t2 rule: rtrancl-induct)

**case** base  
**show** ?case  
**by** order

**next**

**case** (step y z)  
**thus** ?case  
**using** ball-R<sub>1</sub>-rhs-lt-lhs  
**by** (metis reflclp-iff transpD term.order.transp)

**qed**

```

show ?thesis
proof (rule mem-join-union-iff-mem-join-lhs)
fix u assume (s, u)  $\in R_1^*$ 
```

```

hence  $u \preceq_t s$ 
      using ball- $R_1$ -rhs-lt-lhs' by metis

show  $u \notin \text{Domain } R_2$ 
proof (rule notI)
  assume  $u \in \text{Domain } R_2$ 
  then obtain  $u'$  where  $(u, u') \in R_2$ 
    by auto

  hence  $s \prec_t u$ 
    using ball- $R_2$ -lt-lhs
    by simp

  with  $\langle u \preceq_t s \rangle$  show False
    by order
qed

next
  fix  $u$  assume  $(t, u) \in R_1^*$ 
  hence  $u \preceq_t t$ 
    using ball- $R_1$ -rhs-lt-lhs'
    by simp

  show  $u \notin \text{Domain } R_2$ 
  proof (rule notI)
    assume  $u \in \text{Domain } R_2$ 
    then obtain  $u'$  where  $(u, u') \in R_2$ 
      by auto

    hence  $t \prec_t u$ 
      using ball- $R_2$ -lt-lhs
      by simp

    with  $\langle u \preceq_t t \rangle$  show False
      by order
qed

qed

```

**lemma (in ground-order) mem-join-union-iff-mem-join-rhs':**

**assumes**

ball- $R_1$ -rhs-lt-lhs:  $\bigwedge t_1 t_2. (t_1, t_2) \in R_2 \implies t_2 \prec_t t_1$  **and**

ball- $R_2$ -lt-lhs:  $\bigwedge t_1 t_2. (t_1, t_2) \in R_1 \implies s \prec_t t_1 \wedge t \prec_t t_1$

**shows**  $(s, t) \in (R_1 \cup R_2)^\downarrow \longleftrightarrow (s, t) \in R_2^\downarrow$

**using** assms mem-join-union-iff-mem-join-lhs'

**by** (metis (no-types, opaque-lifting) sup-commute)

**lemma (in ground-order) mem-join-union-iff-mem-join-lhs'':**

**assumes**

$\text{Range-}R_1\text{-lt-Domain-}R_2: \bigwedge t_1 t_2. t_1 \in \text{Range } R_1 \implies t_2 \in \text{Domain } R_2 \implies t_1$

```

 $\prec_t t2 \text{ and}$ 
   $s\text{-lt-Domain-}R_2: \bigwedge t2. t2 \in \text{Domain } R_2 \implies s \prec_t t2 \text{ and}$ 
   $t\text{-lt-Domain-}R_2: \bigwedge t2. t2 \in \text{Domain } R_2 \implies t \prec_t t2$ 
  shows  $(s, t) \in (R_1 \cup R_2)^\downarrow \longleftrightarrow (s, t) \in R_1^\downarrow$ 
proof (rule mem-join-union-iff-mem-join-lhs)
  fix  $u$  assume  $(s, u) \in R_1^*$ 
  hence  $u = s \vee u \in \text{Range } R_1$ 
  by (meson Range.intros rtranc1.cases)

  thus  $u \notin \text{Domain } R_2$ 
  using Range-R1-lt-Domain-R2 s-lt-Domain-R2
  by (metis irreflpD term.order.irreflp-on-less)
next
  fix  $u$  assume  $(t, u) \in R_1^*$ 
  hence  $u = t \vee u \in \text{Range } R_1$ 
  by (meson Range.intros rtranc1.cases)

  thus  $u \notin \text{Domain } R_2$ 
  using Range-R1-lt-Domain-R2 t-lt-Domain-R2
  by (metis irreflpD term.order.irreflp-on-less)
qed

lemma (in ground-superposition-calculus) lift-entailment-to-Union:
fixes  $N D$ 
defines  $R_D \equiv \text{rewrite-sys } N D$ 
assumes
   $D\text{-in: } D \in N \text{ and}$ 
   $R_D\text{-entails-}D: \text{upair } '( \text{rewrite-inside-gctxt } R_D )^\downarrow \models D$ 
shows
   $\text{upair } '( \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D) )^\downarrow \models D \text{ and}$ 
   $\bigwedge C. C \in N \implies D \prec_c C \implies \text{upair } '( \text{rewrite-inside-gctxt } (\text{rewrite-sys } N C) )^\downarrow \models D$ 
proof –
  from  $R_D\text{-entails-}D$  obtain  $L s t$  where
     $L\text{-in: } L \in \# D \text{ and}$ 
     $L\text{-eq-disj-L-eq: } L = \text{Pos } (\text{Upair } s t) \wedge (s, t) \in (\text{rewrite-inside-gctxt } R_D)^\downarrow \vee$ 
     $L = \text{Neg } (\text{Upair } s t) \wedge (s, t) \notin (\text{rewrite-inside-gctxt } R_D)^\downarrow$ 
    unfolding true-cls-def true-lit-iff
    by (metis (no-types, opaque-lifting) image-iff prod.case surj-pair uprod-exhaust)

  from  $L\text{-eq-disj-L-eq}$  show
     $\text{upair } '( \text{rewrite-inside-gctxt } (\bigcup D \in N. \text{epsilon } N D) )^\downarrow \models D \text{ and}$ 
     $\bigwedge C. C \in N \implies D \prec_c C \implies \text{upair } '( \text{rewrite-inside-gctxt } (\text{rewrite-sys } N C) )^\downarrow \models D$ 
    unfolding atomize-all atomize-conj atomize-imp
    proof (elim disjE conjE)
    assume  $L\text{-def: } L = \text{Pos } (\text{Upair } s t) \text{ and } (s, t) \in (\text{rewrite-inside-gctxt } R_D)^\downarrow$ 
    have  $R_D \subseteq (\bigcup D \in N. \text{epsilon } N D)$  and
       $\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow R_D \subseteq \text{rewrite-sys } N C$ 

```

```

unfolding  $R_D$ -def rewrite-sys-def
using  $D$ -in clause.order.transp-on-less[THEN transpD]
using epsilon-filter-le-conv
by (auto intro: Collect-mono)

hence rewrite-inside-gctxt  $R_D \subseteq \text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon } N D)$ 
and
 $\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow \text{rewrite-inside-gctxt } R_D \subseteq \text{rewrite-inside-gctxt}$ 
( $\text{rewrite-sys } N C$ )
by (auto intro!: rewrite-inside-gctxt-mono)

hence  $(s, t) \in (\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon } N D))^\downarrow$  and
 $\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow (s, t) \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow$ 
by (auto intro!: join-mono intro: set-mp[OF - `((s, t) \in (\text{rewrite-inside-gctxt } R_D)^\downarrow)`])
thus upair `(\text{rewrite-inside-gctxt} (\bigcup (\text{epsilon } N ` N)))^\downarrow \models D \wedge
 $(\forall C. C \in N \longrightarrow D \prec_c C \longrightarrow \text{upair}`(\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \models D)$ 
unfolding true-cls-def true-lit-iff
using L-in L-def by blast
next
have  $(t1, t2) \in R_D \implies t2 \prec_t t1$  for t1 t2
by (auto simp:  $R_D$ -def rewrite-sys-def elim: mem-epsilonE)

hence ball- $R_D$ -rhs-lt-lhs:  $(t1, t2) \in \text{rewrite-inside-gctxt } R_D \implies t2 \prec_t t1$  for
t1 t2
by (smt (verit, ccfv-SIG) Pair-inject term.order.context-compatibility mem-Collect-eq
rewrite-inside-gctxt-def)

assume L-def:  $L = \text{Neg } (\text{Upair } s t)$  and  $(s, t) \notin (\text{rewrite-inside-gctxt } R_D)^\downarrow$ 

have  $(s, t) \in (\text{rewrite-inside-gctxt } R_D \cup \text{rewrite-inside-gctxt} (\bigcup C \in \{C \in N.$ 
 $D \preceq_c C\}. \text{epsilon } N C))^\downarrow \longleftrightarrow$ 
 $(s, t) \in (\text{rewrite-inside-g ctxt } R_D)^\downarrow$ 
proof (rule mem-join-union-iff-mem-join-lhs')
show  $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-g ctxt } R_D \implies t2 \prec_t t1$ 
using ball- $R_D$ -rhs-lt-lhs by simp
next
have ball-Rinf-minus-lt-lhs:  $s \prec_t \text{fst rule} \wedge t \prec_t \text{fst rule}$ 
if rule-in: rule  $\in (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N C)$ 
for rule
proof -
from rule-in obtain C where
 $C \in N$  and  $D \preceq_c C$  and rule  $\in \text{epsilon } N C$ 
by auto

have epsilon-C-eq:  $\text{epsilon } N C = \{\text{(fst rule, snd rule)}\}$ 
using `rule  $\in \text{epsilon } N C` epsilon-eq-empty-or-singleton by force$ 
```

```

show ?thesis
  using less-trm-if-neg[ $OF \langle D \preceq_c C \rangle \epsilon\text{-}C\text{-eq } L\text{-in}$ ]
  by (simp add: L-def)
qed

show  $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt} (\bigcup (\epsilon N \cdot \{C \in N. (\prec_c)^{==}_{D C}\})) \implies$ 
   $s \prec_t t1 \wedge t \prec_t t1$ 
  using less-trm-const-lhs-if-mem-rewrite-inside-gctxt
  using ball-Rinf-minus-lt-lhs
  by force
qed

moreover have
   $(s, t) \in (\text{rewrite-inside-gctxt } R_D \cup \text{rewrite-inside-gctxt} (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \epsilon N C'))^\downarrow \longleftrightarrow$ 
   $(s, t) \in (\text{rewrite-inside-gctxt } R_D)^\downarrow$ 
  if  $C \in N$  and  $D \prec_c C$ 
  for  $C$ 
proof (rule mem-join-union-iff-mem-join-lhs')
  show  $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt } R_D \implies t2 \prec_t t1$ 
  using ball-R_D-rhs-lt-lhs by simp
next
  have ball-lt-lhs:  $s \prec_t t1 \wedge t \prec_t t1$ 
  if  $C \in N$  and  $D \prec_c C$  and
    rule-in:  $(t1, t2) \in (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \epsilon N C')$ 
    for  $C t1 t2$ 
proof -
  from rule-in obtain  $C'$  where
     $C' \in N$  and  $D \preceq_c C'$  and  $C' \prec_c C$  and  $(t1, t2) \in \epsilon N C'$ 
    by (auto simp: rewrite-sys-def)

  have epsilon-C'-eq:  $\epsilon N C' = \{(t1, t2)\}$ 
  using  $\langle (t1, t2) \in \epsilon N C' \rangle \epsilon\text{-eq-empty-or-singleton}$  by force

show ?thesis
  using less-trm-if-neg[ $OF \langle D \preceq_c C' \rangle \epsilon\text{-}C'\text{-eq } L\text{-in}$ ]
  by (simp add: L-def)
qed

show  $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt} (\bigcup (\epsilon N \cdot \{C' \in N. (\prec_c)^{==}_{D C' \wedge C' \prec_c C}\})) \implies$ 
   $s \prec_t t1 \wedge t \prec_t t1$ 
  using less-trm-const-lhs-if-mem-rewrite-inside-gctxt
  using ball-lt-lhs[ $OF \text{ that}(1,2)$ ]
  by (metis (no-types, lifting))
qed

```

**ultimately have**  $(s, t) \notin (\text{rewrite-inside-gctxt } R_D \cup \text{rewrite-inside-gctxt } (\bigcup C \in N. D \preceq_c C). \text{ epsilon } N C)^\downarrow$  **and**

$\forall C. C \in N \rightarrow D \prec_c C \rightarrow$

$(s, t) \notin (\text{rewrite-inside-gctxt } R_D \cup \text{rewrite-inside-gctxt } (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \text{ epsilon } N C')^\downarrow)$

**using**  $\langle(s, t) \notin (\text{rewrite-inside-gctxt } R_D)^\downarrow\rangle$  **by** *simp-all*

**hence**  $(s, t) \notin (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{ epsilon } N D))^\downarrow$  **and**

$\forall C. C \in N \rightarrow D \prec_c C \rightarrow (s, t) \notin (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow$

**using** *split-Union-epsilon'[OF D-in, folded R<sub>D</sub>-def]*

**using** *split-rewrite-sys[OF - D-in, folded R<sub>D</sub>-def]*

**by** *(simp-all add: rewrite-inside-gctxt-union)*

**hence**  $(\text{Upair } s t) \notin \text{upair} ' (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{ epsilon } N D))^\downarrow$  **and**

$\forall C. C \in N \rightarrow D \prec_c C \rightarrow (\text{Upair } s t) \notin \text{upair} ' (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow$

**unfolding** *atomize-conj*

**by** *(meson sym-join true-lit-simps(2) true-lit-uprod-iff-true-lit-prod(2))*

**thus**  $\text{upair} ' (\text{rewrite-inside-gctxt } (\bigcup (\text{epsilon } N ' N)))^\downarrow \models D \wedge$

$(\forall C. C \in N \rightarrow D \prec_c C \rightarrow \text{upair} ' (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow \models D)$

**unfolding** *true-cls-def true-lit-iff*

**using** *L-in L-def by metis*

**qed**

**qed**

**lemma (in ground-superposition-calculus)**

**assumes** *productive: epsilon N C = {(l, r)}*

**shows**

*true-cls-if-productive-epsilon:*

$\text{upair} ' (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{ epsilon } N D))^\downarrow \models C$

$\wedge D. D \in N \implies C \prec_c D \implies \text{upair} ' (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow \models C$  **and**

*false-cls-if-productive-epsilon:*

$\neg \text{upair} ' (\text{rewrite-inside-gctxt } (\bigcup D \in N. \text{ epsilon } N D))^\downarrow \models C - \{\#Pos (\text{Upair } l r)\#}$

$\wedge D. D \in N \implies C \prec_c D \implies \neg \text{upair} ' (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N D))^\downarrow \models C - \{\#Pos (\text{Upair } l r)\#}$

**proof –**

**from** *productive* **have**  $(l, r) \in \text{epsilon } N C$

**by** *simp*

**then obtain**  $C'$  **where**

*C-in: C ∈ N and*

*C-def: C = add-mset (Pos (Upair l r)) C' and*

*select C = {#} and*

*is-strictly-maximal (Pos (Upair l r)) C and*

```

 $r \prec_t l$  and  

 $e: \neg upair`(\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \models C$  and  

 $f: \neg upair`(\text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C)))^\downarrow \models C'$  and  

 $l \in NF (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))$   

by (rule mem-epsilonE) blast

have  $(l, r) \in (\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon } N D))^\downarrow$   

using  $C\text{-in } \langle(l, r) \in \text{epsilon } N C\rangle$  mem-rewrite-inside-gctxt-if-mem-rewrite-rules  

by blast

thus  $upair`(\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon } N D))^\downarrow \models C$   

using  $C\text{-def}$   

by blast

have  $\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon } N D) =$   

 $\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C \cup \text{epsilon } N C \cup (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D))$   

using split-Union-epsilon[OF C-in]  

by simp

also have ... =  

 $\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C \cup \text{epsilon } N C) \cup$   

 $\text{rewrite-inside-gctxt} (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D)$   

by (simp add: rewrite-inside-gctxt-union)

finally have rewrite-inside-gctxt-Union-epsilon-eq:  

 $\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon } N D) =$   

 $\text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C)) \cup$   

 $\text{rewrite-inside-gctxt} (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D)$   

unfolding productive  

by simp

have mem-join-union-iff-mem-lhs:  $(t1, t2) \in (\text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C)) \cup$   

 $\text{rewrite-inside-gctxt} (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D))^\downarrow \longleftrightarrow$   

 $(t1, t2) \in (\text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C)))^\downarrow$   

if  $t1 \preceq_t l$  and  $t2 \preceq_t l$   

for  $t1 t2$   

proof (rule mem-join-union-iff-mem-join-lhs')  

fix  $s1 s2$  assume  $(s1, s2) \in \text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C))$ 

moreover have  $s2 \prec_t s1$  if  $(s1, s2) \in \text{rewrite-inside-gctxt} \{(l, r)\}$   

proof (rule rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt[OF that])  

show  $\bigwedge s1 s2. (s1, s2) \in \{(l, r)\} \implies s2 \prec_t s1$   

using  $\langle r \prec_t l \rangle$   

by simp  

qed simp-all

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```

moreover have  $s2 \prec_t s1$  if  $(s1, s2) \in \text{rewrite-inside-gctxt}(\text{rewrite-sys } N C)$ 
proof (rule rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt[OF that])
  show  $\bigwedge s1 s2. (s1, s2) \in \text{rewrite-sys } N C \implies s2 \prec_t s1$ 
    by (simp add: rhs-lt-lhs-if-mem-rewrite-sys)
qed simp

ultimately show  $s2 \prec_t s1$ 
  using rewrite-inside-gctxt-union[of {(l, r)}, simplified] by blast
next
have ball-lt-lhs:  $t1 \prec_t s1 \wedge t2 \prec_t s1$ 
  if rule-in:  $(s1, s2) \in (\bigcup D \in \{D \in N. C \prec_c D\}. \text{epsilon } N D)$ 
  for  $s1 s2$ 
proof -
  from rule-in obtain  $D$  where
     $D \in N$  and  $C \prec_c D$  and  $(s1, s2) \in \text{epsilon } N D$ 
    by (auto simp: rewrite-sys-def)

  have  $E_D\text{-eq}: \text{epsilon } N D = \{(s1, s2)\}$ 
    using ‹(s1, s2) ∈ epsilon N D› epsilon-eq-empty-or-singleton by force

  have  $l \prec_t s1$ 
    using ‹C ⊑c D›
    using less-trm-iff-less-cls-if-lhs-epsilon[OF  $E_D\text{-eq}$  productive]
    by metis

  with ‹ $t1 \preceq_t l \wedge t2 \preceq_t l$ › show ?thesis
    by (metis reflclp-iff transpD term.order.transp)
qed
thus  $\bigwedge l r. (l, r) \in \text{rewrite-inside-gctxt}(\bigcup (\text{epsilon } N \setminus \{D \in N. C \prec_c D\}))$ 
   $\implies t1 \prec_t l \wedge t2 \prec_t l$ 
  using rewrite-inside-gctxt-Union-epsilon-eq
  using less-trm-const-lhs-if-mem-rewrite-inside-gctxt
  by presburger
qed

have neg-concl1:  $\neg \text{upair} \cdot (\text{rewrite-inside-gctxt}(\bigcup D \in N. \text{epsilon } N D))^\downarrow \models C'$ 
  unfolding true-cls-def Set.bex-simps
proof (intro ballI)
  fix  $L$  assume L-in:  $L \in \# C'$ 
  hence  $L \in \# C$ 
    by (simp add: C-def)

  obtain  $t1 t2$  where
    atm-L-eq:  $\text{atm-of } L = \text{Upair } t1 t2$ 
    by (metis uprod-exhaust)

  hence trms-of-L: mset-uprod (atm-of L) = {#t1, t2#}
    by simp

```

```

hence  $t1 \preceq_t l$  and  $t2 \preceq_t l$ 
  unfolding atomize-conj
  using less-trm-if-neg[ $\text{OF reflclp-refl productive } \langle L \in \# C \rangle$ ]
  using lesseq-trm-if-pos[ $\text{OF reflclp-refl productive } \langle L \in \# C \rangle$ ]
  by (metis (no-types, opaque-lifting) add-mset-commute sup2CI)

have  $(t1, t2) \notin (\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon} N D))^\downarrow$  if  $L\text{-def: } L = \text{Pos} (\text{Upair } t1 t2)$ 
  proof -
    from that have  $(t1, t2) \notin (\text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C)))^\downarrow$ 
      using  $f \langle L \in \# C' \rangle$ 
      by blast

    thus ?thesis
      using rewrite-inside-gctxt-Union-epsilon-eq mem-join-union-iff-mem-lhs[ $\text{OF } \langle t1 \preceq_t l \wedge t2 \preceq_t l \rangle$ ]
      by simp
    qed

moreover have  $(t1, t2) \in (\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon} N D))^\downarrow$ 
  if  $L\text{-def: } L = \text{Neg} (\text{Upair } t1 t2)$ 
  proof -
    from that have  $(t1, t2) \in (\text{rewrite-inside-gctxt} (\text{insert} (l, r) (\text{rewrite-sys } N C)))^\downarrow$ 
      using  $f \langle L \in \# C' \rangle$ 
      by (meson true-lit-uprod-iff-true-lit-prod(2) sym-join true-cls-def true-lit-simps(2))

    thus ?thesis
      using rewrite-inside-gctxt-Union-epsilon-eq
      mem-join-union-iff-mem-lhs[ $\text{OF } \langle t1 \preceq_t l \wedge t2 \preceq_t l \rangle$ ]
      by simp
    qed

ultimately show  $\neg \text{upair} ` (\text{rewrite-inside-gctxt} (\bigcup (\text{epsilon} N ` N)))^\downarrow \models_l L$ 
  using atm-L-eq true-lit-uprod-iff-true-lit-prod[ $\text{OF sym-join}$ ] true-lit-simps
  by (smt (verit, ccfv-SIG) literal.exhaust-sel)
qed

then show  $\neg \text{upair} ` (\text{rewrite-inside-gctxt} (\bigcup D \in N. \text{epsilon} N D))^\downarrow \models C - \{\#\text{Pos} (\text{Upair } l r)\#}$ 
  by (simp add: C-def)

fix  $D$ 
assume  $D \in N$  and  $C \prec_c D$ 

have  $(l, r) \in \text{rewrite-sys } N D$ 
  using C-in  $\langle (l, r) \in \text{epsilon} N C \rangle \prec C \prec_c D \models \text{mem-rewrite-sys-if-less-cls}$ 
  by metis

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```

hence  $(l, r) \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N D))^\downarrow$ 
      by auto

thus  $\text{upair} ` (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N D))^\downarrow \models C$ 
      using  $C\text{-def}$ 
      by  $\text{blast}$ 

from  $\langle D \in N \rangle$  have  $\text{rewrite-sys } N D \subseteq (\bigcup D \in N. \text{epsilon } N D)$ 
      by ( $\text{simp add: split-Union-epsilon}'$ )

hence  $\text{rewrite-inside-gctxt} (\text{rewrite-sys } N D) \subseteq \text{rewrite-inside-gctxt} (\bigcup D \in N.$ 
 $\text{epsilon } N D)$ 
      using  $\text{rewrite-inside-gctxt-mono}$ 
      by  $\text{metis}$ 

hence  $(\text{rewrite-inside-gctxt} (\text{rewrite-sys } N D))^\downarrow \subseteq (\text{rewrite-inside-gctxt} (\bigcup D \in$ 
 $N. \text{epsilon } N D))^\downarrow$ 
      using  $\text{join-mono}$ 
      by  $\text{metis}$ 

have  $\neg \text{upair} ` (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N D))^\downarrow \models C'$ 
      unfolding  $\text{true-cls-def Set.bex-simps}$ 
      proof ( $\text{intro ballI}$ )
        fix  $L$  assume  $L\text{-in: } L \in\# C'$ 
        hence  $L \in\# C$ 
          by ( $\text{simp add: } C\text{-def}$ )

obtain  $t1 t2$  where
   $\text{atm-L-eq: atm-of } L = \text{Upair } t1 t2$ 
  by ( $\text{metis uprod-exhaust}$ )

hence  $\text{trms-of-L: mset-uprod } (\text{atm-of } L) = \{\#t1, t2\# \}$ 
  by  $\text{simp}$ 

hence  $t1 \preceq_t l$  and  $t2 \preceq_t l$ 
  unfolding  $\text{atomize-conj}$ 
  using  $\text{less-trm-if-neg}[OF \text{reflclp-refl productive } \langle L \in\# C \rangle]$ 
  using  $\text{lesseq-trm-if-pos}[OF \text{reflclp-refl productive } \langle L \in\# C \rangle]$ 
  by ( $\text{metis (no-types, opaque-lifting) add-mset-commute sup2CI}$ )

have  $(t1, t2) \notin (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N D))^\downarrow$  if  $L\text{-def: } L = \text{Pos}$ 
  ( $\text{Upair } t1 t2$ )
  proof -
    from that have  $(t1, t2) \notin (\text{rewrite-inside-gctxt} (\text{insert } (l, r) (\text{rewrite-sys } N$ 
 $C)))^\downarrow$ 
      using  $f \langle L \in\# C' \rangle$  by  $\text{blast}$ 
      thus  $?thesis$ 
        using  $\text{rewrite-inside-gctxt-Union-epsilon-eq}$ 

```

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using mem-join-union-iff-mem-lhs[ $OF \langle t1 \preceq_t l \rangle \langle t2 \preceq_t l \rangle$ ]
using  $\langle (rewrite-inside-gctxt (rewrite-sys N D))^\downarrow \subseteq (rewrite-inside-gctxt (\cup (epsilon N ' N)))^\downarrow \rangle$  by auto
qed

moreover have  $(t1, t2) \in (rewrite-inside-gctxt (rewrite-sys N D))^\downarrow$  if L-def:
 $L = Neg (Upair t1 t2)$ 
using e
proof (rule contrapos-np)
assume  $(t1, t2) \notin (rewrite-inside-gctxt (rewrite-sys N D))^\downarrow$ 

hence  $(t1, t2) \notin (rewrite-inside-gctxt (rewrite-sys N C))^\downarrow$ 
using rewrite-sys-subset-if-less-cls[ $OF \langle C \prec_c D \rangle$ ]
by (meson join-mono rewrite-inside-gctxt-mono subsetD)

thus upair ' $(rewrite-inside-gctxt (rewrite-sys N C))^\downarrow \models C$ '
using neg-literal-notin-imp-true-cls[of Upair t1 t2 C upair ' $\dashv$ ']
unfolding uprod-mem-image-iff-prod-mem[ $OF sym-join$ ]
using L-def L-in C-def
by simp
qed

ultimately show  $\neg upair ' $(rewrite-inside-gctxt (rewrite-sys N D))^\downarrow \models_l L$$ 
using atm-L-eq true-lit-uprod-iff-true-lit-prod[ $OF sym-join$ ] true-lit-simps
by (smt (verit, ccfv-SIG) literal.exhaust-sel)
qed
thus  $\neg upair ' $(rewrite-inside-gctxt (rewrite-sys N D))^\downarrow \models C - \{\#Pos (Upair l r)\#}$$ 
by (simp add: C-def)
qed

lemma from-neq-double-rtrancE-to-eqE:
assumes  $x \neq y$  and  $(x, z) \in r^*$  and  $(y, z) \in r^*$ 
obtains
w where  $(x, w) \in r$  and  $(w, z) \in r^*$  |
w where  $(y, w) \in r$  and  $(w, z) \in r^*$ 
using assms
by (metis converse-rtrancE)

lemma ex-step-if-joinable:
assumes asymp R  $(x, z) \in r^*$  and  $(y, z) \in r^*$ 
shows
 $R^{==} z y \implies R y x \implies \exists w. (x, w) \in r \wedge (w, z) \in r^*$ 
 $R^{==} z x \implies R x y \implies \exists w. (y, w) \in r \wedge (w, z) \in r^*$ 
using assms
by (metis asympD converse-rtrancE reflclp-iff)+

lemma (in ground-superposition-calculus) trans-join-rewrite-inside-gctxt-rewrite-sys:
trans ((rewrite-inside-gctxt (rewrite-sys N C))^\downarrow)

```

```

proof (rule trans-join)
  have wf ((rewrite-inside-gctxt (rewrite-sys N C))-1)
    proof (rule wf-converse-rewrite-inside-gctxt)
      fix s t
      assume (s, t) ∈ rewrite-sys N C

      then obtain D where (s, t) ∈ epsilon N D
        unfolding rewrite-sys-def
        using epsilon-filter-le-conv
        by auto

        thus t ≺t s
          by (auto elim: mem-epsilonE)
      qed auto
      thus SN (rewrite-inside-gctxt (rewrite-sys N C))
        by (simp only: SN-iff-wf)
    next
      show WCR (rewrite-inside-gctxt (rewrite-sys N C))
        unfolding rewrite-sys-def epsilon-filter-le-conv
        using WCR-Union-rewrite-sys
        by (metis (mono-tags, lifting))
    qed

lemma (in ground-order) true-cls-insert-and-not-true-clsE:
  assumes
    upair ‘(rewrite-inside-gctxt (insert r R))↓ ⊨ C and
    ¬ upair ‘(rewrite-inside-gctxt R)↓ ⊨ C
  obtains t t' where
    Pos (Upair t t') ∈# C and
    t ≺t t' and
    (t, t') ∈ (rewrite-inside-gctxt (insert r R))↓ and
    (t, t') ∉ (rewrite-inside-gctxt R)↓
  proof –
    assume hyp: ∀t t'. Pos (Upair t t') ∈# C ⇒ t ≺t t' ⇒ (t, t') ∈ (rewrite-inside-gctxt (insert r R))↓ ⇒
    (t, t') ∉ (rewrite-inside-gctxt R)↓ ⇒ thesis

  from assms obtain L where
    L ∈# C and
    entails-L: upair ‘(rewrite-inside-gctxt (insert r R))↓ ⊨l L and
    doesnt-entail-L: ¬ upair ‘(rewrite-inside-gctxt R)↓ ⊨l L
    by (meson true-cls-def)

  have totalp-on (set-uprod (atm-of L)) (≺t)
    by simp

  then obtain t t' where atm-of L = Upair t t' and t ≼t t'
    using ex-ordered-Upair by metis

```

```

show ?thesis
proof (cases L)
  case (Pos A)

  hence L-def: L = Pos (Upair t t')
    using `atm-of L = Upair t t'
    by simp

  moreover have (t, t') ∈ (rewrite-inside-gctxt (insert r R))↓
    using entails-L
    unfolding L-def
    unfolding true-lit-uprod-iff-true-lit-prod[OF sym-join]
    by (simp add: true-lit-def)

  moreover have (t, t') ∉ (rewrite-inside-gctxt R)↓
    using doesnt-entail-L
    unfolding L-def
    unfolding true-lit-uprod-iff-true-lit-prod[OF sym-join]
    by (simp add: true-lit-def)

  ultimately show ?thesis
    using hyp `L ∈# C` `t ⊢ t'` by auto
  next
    case (Neg A)
    hence L-def: L = Neg (Upair t t')
      using `atm-of L = Upair t t'` by simp

    have (t, t') ∉ (rewrite-inside-gctxt (insert r R))↓
      using entails-L
      unfolding L-def
      unfolding true-lit-uprod-iff-true-lit-prod[OF sym-join]
      by (simp add: true-lit-def)

    moreover have (t, t') ∈ (rewrite-inside-gctxt R)↓
      using doesnt-entail-L
      unfolding L-def
      unfolding true-lit-uprod-iff-true-lit-prod[OF sym-join]
      by (simp add: true-lit-def)

    moreover have (rewrite-inside-gctxt R)↓ ⊆ (rewrite-inside-gctxt (insert r R))↓
      using join-mono rewrite-inside-gctxt-mono
      by (metis subset-insertI)

  ultimately have False
    by auto

  thus ?thesis ..
qed
qed

```

```

lemma (in ground-superposition-calculus) model-preconstruction:
  fixes
     $N :: 'f gatom clause set$  and
     $C :: 'f gatom clause$ 
  defines
     $\text{entails} \equiv \lambda E. C. \text{upair} ` (\text{rewrite-inside-gctxt} E)^\downarrow \Vdash C$ 
  assumes saturated  $N$  and  $\{\#\} \notin N$  and  $C\text{-in: } C \in N$ 
  shows
     $\text{epsilon } N C = \{\} \longleftrightarrow \text{entails} (\text{rewrite-sys } N C) C$ 
     $\bigwedge D. D \in N \implies C \prec_c D \implies \text{entails} (\text{rewrite-sys } N D) C$ 
    unfolding atomize-all atomize-conj atomize-imp
    using clause.order.wfp C-in
  proof (induction C rule: wfp-induct-rule)
    case (less C)
    note IH = less.IH

    from  $\{\#\} \notin N$   $\langle C \in N \rangle$  have  $C \neq \{\#}$ 
    by metis

    define I where
       $I = (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow$ 

    have refl I
    by (simp only: I-def refl-join)

    have trans I
    unfolding I-def
    using trans-join-rewrite-inside-gctxt-rewrite-sys .

    have sym I
    by (simp only: I-def sym-join)

    have compatible-with-gctxt I
    by (simp only: I-def compatible-with-gctxt-join compatible-with-gctxt-rewrite-inside-gctxt)

    note I-interp =  $\langle \text{refl } I \rangle \langle \text{trans } I \rangle \langle \text{sym } I \rangle \langle \text{compatible-with-gctxt } I \rangle$ 

    have i: ( $\text{epsilon } N C = \{\} \longleftrightarrow \text{entails} (\text{rewrite-sys } N C) C$ )
    proof (rule iffI)
      show  $\text{entails} (\text{rewrite-sys } N C) C \implies \text{epsilon } N C = \{\}$ 
      unfolding entails-def rewrite-sys-def
      by (metis (no-types) empty-iff equalityI mem-epsilonE rewrite-sys-def subsetI)
    next
      assume epsilon N C = {}
      have cond-conv:  $(\exists L. L \in \# \text{ select } C \vee (\text{select } C = \{\#\} \wedge \text{is-maximal } L C \wedge \text{is-neg } L)) \longleftrightarrow$ 
       $(\exists A. \text{Neg } A \in \# C \wedge (\text{Neg } A \in \# \text{ select } C \vee \text{select } C = \{\#\} \wedge \text{is-maximal } A C))$ 

```

```

(Neg A) C))
  by (metis (no-types, opaque-lifting) is-pos-def literal.order.is-maximal-in-mset-iff
      literal.disc(2) literal.exhaust mset-subset-eqD select-negative-literals se-
      lect-subset)

  show entails (rewrite-sys N C) C
  proof (cases ∃ L. is-maximal L (select C) ∨ (select C = {#} ∧ is-maximal L
  C ∧ is-neg L))
    case ex-neg-litsel-or-max: True

    hence ∃ A. Neg A ∈# C ∧ (is-maximal (Neg A) (select C) ∨ select C = {#})
    ∧ is-maximal (Neg A) C)
    by (metis is-pos-def literal.exhaust literal.order.is-maximal-in-mset-iff mset-subset-eqD
        select-negative-literals select-subset)

    then obtain s s' where
      Neg (Upair s s') ∈# C and
      sel-or-max: select C = {#} ∧ is-maximal (Neg (Upair s s')) C ∨ is-maximal
      (Neg (Upair s s')) (select C)
      by (metis uprod-exhaust)

    then obtain C' where
      C-def: C = add-mset (Neg (Upair s s')) C'
      by (metis mset-add)

    show ?thesis
    proof (cases upair ` (rewrite-inside-gctxt (rewrite-sys N C))` ⊨ Pos (Upair
    s s'))
      case True
      hence (s, s') ∈ (rewrite-inside-gctxt (rewrite-sys N C))` ⊨
        by (meson sym-join true-lit-simps(1) true-lit-uprod-iff-true-lit-prod(1))

      have s = s' ∨ s ≺t s' ∨ s' ≺t s
        by auto

      thus ?thesis
      proof (rule disjE)
        assume s = s'
        define i :: 'f gatom clause inference where
          i = Infer [C] C'

        have eq-resolution C C'
        proof (rule eq-resolutionI)
          show C = add-mset (Neg (Upair s s')) C'
            by (simp only: C-def)
        next
        show Neg (Upair s s') = Neg (Upair s s)
          by (simp only: `s = s`)
        next
      
```

```

show select C = {#} ∧ is-maximal (s !≈ s') C ∨ is-maximal (s !≈ s')
(select C)
  using sel-or-max .
qed simp

hence  $\iota \in G\text{-Inf}$ 
by (auto simp only:  $\iota\text{-def } G\text{-Inf-def}$ )

moreover have  $\bigwedge t. t \in \text{set}(\text{prems-of } \iota) \implies t \in N$ 
  using ⟨ $C \in N$ ⟩
  by (simp add:  $\iota\text{-def}$ )

ultimately have  $\iota \in \text{Inf-from } N$ 
by (auto simp: Inf-from-def)

hence  $\iota \in \text{Red-I } N$ 
  using ⟨saturated N⟩
  by (auto simp: saturated-def)

then obtain DD where
  DD-subset:  $DD \subseteq N$  and
  finite DD and
  DD-entails-C': G-entails DD {C'} and
  ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
  unfolding Red-I-def redundant-infer-def
  by (auto simp:  $\iota\text{-def}$ )

moreover have  $\forall D \in DD. \text{entails}(\text{rewrite-sys } N C) D$ 
  using IH[THEN conjunct2, rule-format, of - C]
  using ⟨ $C \in N$ ⟩ DD-subset ball-DD-lt-C
  by blast

ultimately have entails (rewrite-sys N C) C'
  using I-interp DD-entails-C'
  unfolding entails-def G-entails-def
  by (simp add: I-def true-clss-def)

then show entails (rewrite-sys N C) C
  using C-def entails-def
  by simp

next
  from ⟨(s, s') ∈ (rewrite-inside-gctxt (rewrite-sys N C)) $^{\downarrow}$ , obtain u where
    s-u:  $(s, u) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^*$  and
    s'-u:  $(s', u) \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^*$ 
  by auto

  moreover hence  $u \preceq_t s$  and  $u \preceq_t s'$ 
  using rhs-lesseq-trm-lhs-if-mem-rtrancl-rewrite-inside-gctxt-rewrite-sys
  by simp-all

```

**moreover assume**  $s \prec_t s' \vee s' \prec_t s$

**ultimately obtain**  $u_0$  **where**

- $s' \prec_t s \implies (s, u_0) : \text{rewrite-inside-gctxt} (\text{rewrite-sys } N C)$
- $s \prec_t s' \implies (s', u_0) : \text{rewrite-inside-gctxt} (\text{rewrite-sys } N C)$  **and**
- $(u_0, u) : (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^*$
- using**  $\text{ex-step-if-joinable}[OF - s-u s'-u]$
- by** (*metis* *asympD* *term.order.asymp*)

**then obtain**  $\text{ctxt } t \ t'$  **where**

- $s\text{-eq-if}: s' \prec_t s \implies s = \text{ctxt}\langle t \rangle_G$  **and**
- $s'\text{-eq-if}: s \prec_t s' \implies s' = \text{ctxt}\langle t \rangle_G$  **and**
- $u_0 = \text{ctxt}\langle t' \rangle_G$  **and**
- $(t, t') \in \text{rewrite-sys } N C$
- by** (*smt* (*verit*) *Pair-inject*  $\langle s \prec_t s' \vee s' \prec_t s \rangle$  *asympD* *term.order.asymp*)
- mem-Collect-eq**
- rewrite-inside-gctxt-def**)

**then obtain**  $D$  **where**

- $(t, t') \in \text{epsilon } N D$  **and**  $D \in N$  **and**  $D \prec_c C$
- unfolding** *rewrite-sys-def* *epsilon-filter-le-conv*
- by** *auto*

**then obtain**  $D'$  **where**

- $D\text{-def}: D = \text{add-mset} (\text{Pos} (\text{Upair } t \ t')) D'$  **and**
- $\text{sel-}D: \text{select } D = \{\#\}$  **and**
- $\text{max-}t\text{-}t': \text{is-strictly-maximal} (\text{Pos} (\text{Upair } t \ t')) D$  **and**
- $t' \prec_t t$
- by** (*elim* *mem-epsilonE*) *fast*

**have**  $\text{superI}: \text{neg-superposition } D \ C \ (\text{add-mset} (\text{Neg} (\text{Upair } s_1 \langle t' \rangle_G \ s_1'))$

$$(C' + D')$$

- if**  $\{s, s'\} = \{s_1 \langle t \rangle_G, s_1'\}$  **and**  $s_1' \prec_t s_1 \langle t \rangle_G$
- for**  $s_1 \ s_1'$
- proof** (*rule neg-superpositionI*)
- show**  $C = \text{add-mset} (\text{Neg} (\text{Upair } s \ s')) C'$
- by** (*simp only:* *C-def*)
- next**
- show**  $D = \text{add-mset} (\text{Pos} (\text{Upair } t \ t')) D'$
- by** (*simp only:* *D-def*)
- next**
- show**  $D \prec_c C$
- using**  $\langle D \prec_c C \rangle$ .
- next**
- show**  $\text{select } C = \{\#\} \wedge \text{is-maximal} (\text{Neg} (\text{Upair } s \ s')) C \vee \text{is-maximal}$
- $(s \approx s')$  (**select** *C*)
- using** *sel-or-max*.
- next**

```

show select D = {#}
  using sel-D .
next
  show is-strictly-maximal (Pos (Upair t t')) D
    using max-t-t' .
next
  show t' ≺t t
    using ⟨t' ≺t t⟩ .
next
  from that(1) show Neg (Upair s s') = Neg (Upair s1⟨t⟩G s1)
    by fastforce
next
  from that(2) show s1' ≺t s1⟨t⟩G .
qed simp-all

have neg-superposition D C (add-mset (Neg (Upair ctxt⟨t⟩G s'))) (C' +
D'))
  if ⟨s' ≺t s⟩
proof (rule superI)
  from that show {s, s'} = {ctxt⟨t⟩G, s'}
    using s-eq-if
    by simp
next
  from that show s' ≺t ctxt⟨t⟩G
    using s-eq-if
    by simp
qed

moreover have neg-superposition D C (add-mset (Neg (Upair ctxt⟨t⟩G
s)) (C' + D'))
  if ⟨s ≺t s'⟩
proof (rule superI)
  from that show {s, s'} = {ctxt⟨t⟩G, s}
    using s'-eq-if
    by auto
next
  from that show s ≺t ctxt⟨t⟩G
    using s'-eq-if
    by simp
qed

ultimately obtain CD where
super: neg-superposition D C CD and
CD-eq1: s' ≺t s ==> CD = add-mset (Neg (Upair ctxt⟨t⟩G s')) (C' +
D') and
CD-eq2: s ≺t s' ==> CD = add-mset (Neg (Upair ctxt⟨t⟩G s)) (C' + D')
  using ⟨s ≺t s' ∨ s' ≺t s⟩ s'-eq-if s-eq-if
  by metis

```

```

define  $\iota :: 'f gatom clause inference$  where
 $\iota = Infer [D, C] CD$ 

have  $\iota \in G\text{-}Inf$ 
using superposition-if-neg-superposition[OF super]
by (auto simp only:  $\iota\text{-def } G\text{-}Inf\text{-def}$ )

moreover have  $\bigwedge t. t \in set (\text{prems-of } \iota) \implies t \in N$ 
using  $\langle C \in N \rangle \langle D \in N \rangle$ 
by (auto simp add:  $\iota\text{-def}$ )

ultimately have  $\iota \in Inf\text{-from } N$ 
by (auto simp:  $Inf\text{-from}\text{-def}$ )

hence  $\iota \in Red\text{-}I N$ 
using  $\langle \text{saturated } N \rangle$ 
by (auto simp:  $\text{saturated}\text{-def}$ )

then obtain  $DD$  where
 $DD\text{-subset}: DD \subseteq N$  and
 $\text{finite } DD$  and
 $DD\text{-entails-CD}: G\text{-entails} (\text{insert } D DD) \{CD\}$  and
 $\text{ball-DD-lt-C}: \forall D \in DD. D \prec_c C$ 
unfolding  $Red\text{-}I\text{-def } redundant\text{-infer}\text{-def } mem\text{-Collect}\text{-eq}$ 
by (auto simp:  $\iota\text{-def}$ )

moreover have  $\forall D \in \text{insert } D DD. \text{entails} (\text{rewrite-sys } N C) D$ 
using  $IH[\text{THEN conjunct2, rule-format, of - } C]$ 
using  $\langle C \in N \rangle \langle D \in N \rangle \langle D \prec_c C \rangle$   $DD\text{-subset ball-DD-lt-C}$ 
by (metis in-mono insert-iff)

ultimately have entails (rewrite-sys N C) CD
using  $I\text{-interp } DD\text{-entails-CD}$ 
unfolding entails-def  $G\text{-entails}\text{-def}$ 
by (simp add:  $I\text{-def true-clss}\text{-def}$ )

moreover have  $\neg \text{entails} (\text{rewrite-sys } N C) D'$ 
unfolding entails-def
using false-cls-if-productive-epsilon(2)[OF - <C ∈ N <D ⊑c C>]
by (metis D-def  $\langle (t, t') \in \text{epsilon } N D \rangle$  add-mset-remove-trivial empty-iff
epsilon-eq-empty-or-singleton singletonD)

moreover have  $\neg upair `(\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \models l$ 
( $\text{Neg } (\text{Upair ctxt}\langle t' \rangle_G s')$ )
if  $s' \prec_t s$ 
using  $\langle (u_0, u) \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^* \rangle \langle u_0 = ctxt\langle t' \rangle_G \rangle$ 
 $s' \dashv u$ 
by blast

```

```

moreover have  $\neg \text{upair} \cdot (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \Vdash_l$ 
  ( $\text{Neg} (\text{Upair ctxt}(t')_G s))$ 
  if  $s \prec_t s'$ 
  using  $\langle u_0, u \rangle \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^*$   $\langle u_0 = \text{ctxt}(t')_G \rangle$ 
  s-u
  by blast

ultimately show entails ( $\text{rewrite-sys } N C$ )  $C$ 
  unfolding entails-def  $C$ -def
  using  $\langle s \prec_t s' \vee s' \prec_t s \rangle$   $CD\text{-eq1}$   $CD\text{-eq2}$ 
  by fast
qed
next
case False
thus ?thesis
  using  $\langle \text{Neg} (\text{Upair } s \ s') \in \# C \rangle$ 
  by (auto simp add: entails-def true-cls-def)
qed
next
case False
hence select  $C = \{\#\}$ 
  using literal.order.ex-maximal-in-mset by blast

from False obtain A where Pos-A-in:  $\text{Pos } A \in \# C$  and max-Pos-A:
  is-maximal (Pos A) C
  using  $\langle \text{select } C = \{\#\} \rangle$  literal.order.ex-maximal-in-mset[OF  $\langle C \neq \{\#\} \rangle$ ]
  by (metis is-pos-def literal.order.is-maximal-in-mset-iff)

then obtain C' where  $C$ -def:  $C = \text{add-mset} (\text{Pos } A) C'$ 
  by (meson mset-add)

have totalp-on (set-uprod A) ( $\prec_t$ )
  by simp

then obtain s s' where  $A$ -def:  $A = \text{Upair } s \ s'$  and  $s' \preceq_t s$ 
  using ex-ordered-Upair[of  $A$  ( $\prec_t$ )] by fastforce

show ?thesis
proof (cases upair ‘( $\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \Vdash C' \vee s = s'$ )
  case True
  then show ?thesis
    using  $\langle \text{epsilon } N C = \{\} \rangle$ 
    using  $A$ -def  $C$ -def entails-def
    by blast
next
case False

from False have  $\neg \text{upair} \cdot (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \Vdash C'$ 
  by simp

```

```

from False have  $s' \prec_t s$ 
  using  $\langle s' \preceq_t s \rangle \text{ term.order.asymp[THEN asympD]}$ 
  by auto

then show ?thesis
proof (cases is-strictly-maximal (Pos A) C)
  case strictly-maximal: True
  show ?thesis
  proof (cases s ∈ NF (rewrite-inside-gctxt (rewrite-sys N C)))
    case s-irreducible: True
      hence e-or-f-doesnt-hold: upair ` (rewrite-inside-gctxt (rewrite-sys N C)) $\downarrow$ 
       $\models C \vee$ 
        upair ` (rewrite-inside-gctxt (insert (s, s') (rewrite-sys N C))) $\downarrow$   $\models C'$ 
        using ⟨epsilon N C = {}⟩[unfolded epsilon.simps[of N C]]
        using ⟨C ∈ N⟩ C-def ⟨select C = #⟩ strictly-maximal ⟨s' ∵ t s⟩
        unfolding A-def rewrite-sys-def
        by (smt (verit, best) Collect-empty-eq)

      show ?thesis
      proof (cases upair ` (rewrite-inside-gctxt (rewrite-sys N C)) $\downarrow$   $\models C)$ 
        case e-doesnt-hold: True
        thus ?thesis
          by (simp add: entails-def)
      next
        case e-holds: False
        hence R-C-doesnt-entail-C':  $\neg$  upair ` (rewrite-inside-gctxt (rewrite-sys N C)) $\downarrow$   $\models C'$ 
          unfolding C-def
          by simp

        show ?thesis
        proof (cases upair ` (rewrite-inside-gctxt (insert (s, s') (rewrite-sys N C)) $\downarrow$   $\models C')$ 
          case f-doesnt-hold: True
          then obtain C'' t t' where C'-def:  $C' = \text{add-mset} (\text{Pos} (\text{Upair} t t'))$  C'' and
            t' ∵ t and
             $(t, t') \in (\text{rewrite-inside-gctxt} (\text{insert} (s, s') (\text{rewrite-sys} N C)))^\downarrow$  and
             $(t, t') \notin (\text{rewrite-inside-gctxt} (\text{rewrite-sys} N C))^\downarrow$ 
            using f-doesnt-hold R-C-doesnt-entail-C'
            using true-cls-insert-and-not-true-clsE
            by (metis insert-DiffM join-sym Upair-sym)

        have Pos (Upair t t') ∵_l Pos (Upair s s')
          using strictly-maximal literal.order.not-less-iff-gr-or-eq
          unfolding literal.order.is-strictly-maximal-in-mset-iff A-def C'-def
          C-def
    
```

```

by auto

have  $\neg (t \prec_t s)$ 
proof (rule notI)
assume  $t \prec_t s$ 

have  $(t, t') \in (\text{rewrite-inside-gctxt} (\text{insert} (s, s')) (\text{rewrite-sys } N C))^\downarrow \longleftrightarrow$ 
 $(t, t') \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow$ 
unfolding  $\text{rewrite-inside-gctxt-union}[\text{of } \{(s, s')\} \text{ rewrite-sys } N C,$ 
simplified]
proof (rule mem-join-union-iff-mem-join-rhs')
show  $\bigwedge t_1 t_2. (t_1, t_2) \in \text{rewrite-inside-gctxt} \{(s, s')\} \implies t \prec_t t_1$ 
 $\wedge t' \prec_t t_1$ 
using  $\langle t \prec_t s \rangle \langle t' \prec_t t \rangle$ 
by (smt (verit, ccfv-threshold) fst-conv singletonD
      less-trm-const-lhs-if-mem-rewrite-inside-gctxt transpD
      term.order.transp)
next
show  $\bigwedge t_1 t_2. (t_1, t_2) \in \text{rewrite-inside-gctxt} (\text{rewrite-sys } N C)$ 
 $\implies t_2 \prec_t t_1$ 
using rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys by
force
qed
thus False
using  $\langle (t, t') \in (\text{rewrite-inside-gctxt} (\text{insert} (s, s')) (\text{rewrite-sys } N C))^\downarrow,$ 
using  $\langle (t, t') \notin (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow,$ 
by metis
qed

moreover have  $\neg (s \prec_t t)$ 
proof (rule notI)
assume  $s \prec_t t$ 
hence multp  $(\prec_t) \{\#s, s'\#\} \{\#t, t'\#\}$ 
using  $\langle s' \prec_t s \rangle \langle t' \prec_t t \rangle$ 
using one-step-implies-multp[of - - - {\#}, simplified]
by (metis (mono-tags, opaque-lifting) empty-not-add-mset insert-iff
      set-mset-add-mset-insert set-mset-empty singletonD transpD
      term.order.transp)

hence  $\text{Pos} (\text{Upair } s \ s') \prec_l \text{Pos} (\text{Upair } t \ t')$ 
by (simp add: lessl-def)

thus False
using  $\langle t \approx t' \prec_l s \approx s' \rangle$ 
by order
qed

```

```

ultimately have  $t = s$ 
  by order
hence  $t' \prec_t s'$ 
  using  $\langle t' \prec_t t \rangle \langle s' \prec_t s \rangle$ 
  using  $\langle \text{Pos}(\text{Upair } t \ t') \prec_l \text{Pos}(\text{Upair } s \ s') \rangle$ 
  unfolding lessl-def
  by (simp add: multp-cancel-add-mset term.order.transp)

obtain  $t''$  where
   $(t, t'') \in \text{rewrite-inside-gctxt}(\text{insert}(s, s') (\text{rewrite-sys } N C))$  and
   $(t'', t') \in (\text{rewrite-inside-gctxt}(\text{insert}(s, s') (\text{rewrite-sys } N C)))^\downarrow$ 
    using  $\langle (t, t') \in (\text{rewrite-inside-gctxt}(\text{insert}(s, s') (\text{rewrite-sys } N C)))^\downarrow \rangle [ \text{THEN } \text{joinD} ]$ 
    using ex-step-if-joinable[OF term.order.asymp ---  $\langle t' \prec_t t \rangle$ ]
    by (smt (verit, ccfv-threshold) ‹t = s› converse-rtranclE insertCI
joinI-right
  join-sym r-into-rtrancl mem-rewrite-inside-gctxt-if-mem-rewrite-rules
rtrancl-join-join)

have  $t'' \prec_t t$ 
proof (rule predicate-holds-of-mem-rewrite-inside-gctxt[of ---  $\lambda x \ y.$ 
 $y \prec_t x$ ])
  show  $(t, t'') \in \text{rewrite-inside-gctxt}(\text{insert}(s, s') (\text{rewrite-sys } N C))$ 
    using  $\langle (t, t'') \in \text{rewrite-inside-gctxt}(\text{insert}(s, s') (\text{rewrite-sys } N C)) \rangle$ .
next
  show  $\bigwedge t1 \ t2. \ (t1, t2) \in \text{insert}(s, s') (\text{rewrite-sys } N C) \implies t2 \prec_t$ 
t1
  by (metis ‹s' \prec_t s› insert-iff old.prod.inject rhs-lt-lhs-if-mem-rewrite-sys)
next
  show  $\bigwedge t1 \ t2 \ ctxt \sigma. \ (t1, t2) \in \text{insert}(s, s') (\text{rewrite-sys } N C) \implies$ 
     $t2 \prec_t t1 \implies ctxt(t2)_G \prec_t ctxt(t1)_G$ 
    by (simp only: term.order.context-compatibility)
qed

have  $(t, t'') \in \text{rewrite-inside-gctxt}\{(s, s')\}$ 
  using  $\langle (t, t'') \in \text{rewrite-inside-gctxt}(\text{insert}(s, s') (\text{rewrite-sys } N C)) \rangle$ 
  using ‹t = s› s-irreducible mem-rewrite-step-union-NF
  using rewrite-inside-gctxt-insert
  by blast

hence  $\exists ctxt. \ s = ctxt(s)_G \wedge t'' = ctxt(s')_G$ 
  by (simp add: ‹t = s› rewrite-inside-gctxt-def)

hence  $t'' = s'$ 
  by (metis ctxt-ident-iff-eq-GHole)

moreover have  $(t'', t') \in (\text{rewrite-inside-gctxt}(\text{rewrite-sys } N C))^\downarrow$ 

```

```

proof (rule mem-join-union-iff-mem-join-rhs'[THEN iffD1])
  show  $(t'', t') \in (\text{rewrite-inside-gctxt } \{(s, s')\} \cup$ 
     $\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow$ 
    using  $\langle(t'', t') \in (\text{rewrite-inside-gctxt } (\text{insert } (s, s') (\text{rewrite-sys }$ 
 $N C)))^\downarrow,$ 
    using  $\text{rewrite-inside-gctxt-union}[of \{-\}, \text{simplified}]$ 
    by metis
next
  show  $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt } (\text{rewrite-sys } N C) \implies$ 
 $t2 \prec_t t1$ 
    using  $\text{rhs-less-trm-lhs-if-mem-rewrite-inside-gctxt-rewrite-sys}.$ 
next
  show  $\bigwedge t1 t2. (t1, t2) \in \text{rewrite-inside-gctxt } \{(s, s')\} \implies t'' \prec_t t1$ 
 $\wedge t' \prec_t t1$ 
    using  $\langle t' \prec_t t \rangle \langle t'' \prec_t t \rangle$ 
    unfolding  $\langle t = s \rangle$ 
    using  $\text{less-trm-const-lhs-if-mem-rewrite-inside-gctxt}$ 
    by fastforce
qed

ultimately have  $(s', t') \in (\text{rewrite-inside-gctxt } (\text{rewrite-sys } N C))^\downarrow$ 
  by simp

let ?concl = add-mset (Neg (Upair  $s' t'$ )) (add-mset (Pos (Upair  $t$ 
 $t'$ ))  $C''$ )

define  $\iota :: \text{'f gatom clause inference where}$ 
 $\iota = \text{Infer } [C] \ ?concl$ 

have eq-fact: eq-factoring  $C$  ?concl
proof (rule eq-factoringI)
  show  $C = \text{add-mset } (\text{Pos } (\text{Upair } s s')) (\text{add-mset } (\text{Pos } (\text{Upair } t t'))$ 
 $C'')$ 
    by (simp add: C-def C'-def A-def)
next
  show select  $C = \{\#\}$ 
    using  $\langle \text{select } C = \{\#\} \rangle.$ 
next
  show is-maximal (Pos (Upair  $s s'$ ))  $C$ 
    by (metis A-def max-Pos-A)
next
  show  $s' \prec_t s$ 
    using  $\langle s' \prec_t s \rangle.$ 
next
  show Pos (Upair  $t t'$ ) = Pos (Upair  $s t'$ )
    unfolding  $\langle t = s \rangle ..$ 
next
  show add-mset (Neg (Upair  $s' t'$ )) (add-mset (Pos (Upair  $t t'$ ))  $C''$ )
=
```

```

add-mset (Neg (Upair s' t')) (add-mset (Pos (Upair s t')) C'')
  by (auto simp add: `t = s`)
qed simp-all

hence  $\iota \in G\text{-Inf}$ 
  by (auto simp:  $\iota\text{-def } G\text{-Inf-def}）

moreover have  $\bigwedge t. t \in \text{set}(\text{prems-of } \iota) \implies t \in N$ 
  using  $\langle C \in N \rangle$ 
  by (auto simp add:  $\iota\text{-def}）

ultimately have  $\iota \in \text{Inf-from } N$ 
  by (auto simp: Inf-from-def)

hence  $\iota \in \text{Red-}I N$ 
  using  $\langle \text{saturated } N \rangle$ 
  by (auto simp: saturated-def)

then obtain DD where
  DD-subset:  $DD \subseteq N$  and
  finite DD and
  DD-entails-C':  $G\text{-entails } DD \{ ?\text{concl} \}$  and
  ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
  unfolding Red- $I\text{-def }$  redundant-infer-def
  by (auto simp:  $\iota\text{-def}）

have  $\forall D \in DD. \text{entails}(\text{rewrite-sys } N C) D$ 
  using IH[THEN conjunct2, rule-format, of - C]
  using  $\langle C \in N \rangle$  DD-subset ball-DD-lt-C
  by blast

hence entails (rewrite-sys N C) ?concl
  unfolding entails-def I-def[symmetric]
  using DD-entails-C'[unfolded G-entails-def]
  using I-interp
  by (simp add: true-clss-def)

thus entails (rewrite-sys N C) C
  unfolding entails-def I-def[symmetric]
  unfolding C-def C'-def A-def
  using I-def  $\langle (s', t') \in (\text{rewrite-inside-gctxt}(\text{rewrite-sys } N C))^\downarrow \rangle$ 
  by blast

next
  case f-holds: False
  hence False
    using e-or-f-doesnt-hold e-holds
    by metis

thus ?thesis ..$$$ 
```

```

qed
qed
next
case s-reducible: False

hence  $\exists ss. (s, ss) \in \text{rewrite-inside-gctxt} (\text{rewrite-sys } N C)$ 
  unfolding NF-def
  by auto

then obtain ctxt t t' D where
   $D \in N$  and
   $D \prec_c C$  and
   $(t, t') \in \text{epsilon } N D$  and
   $s = \text{ctxt}\langle t \rangle_G$ 
  using epsilon-filter-le-conv
  by (auto simp: rewrite-inside-gctxt-def rewrite-sys-def)

obtain D' where
  D-def:  $D = \text{add-mset} (\text{Pos} (\text{Upair } t t')) D'$  and
  select D = {#} and
  max-t-t': is-strictly-maximal ( $t \approx t'$ ) D and
   $t' \prec_t t$ 
  using ⟨(t, t') ∈ ε N D⟩
  by (elim mem-epsilonE) simp

let ?concl = add-mset (Pos (Upair ctxt⟨t⟩_G s')) (C' + D')

define  $\iota :: 'f gatom clause inference$  where
   $\iota = \text{Infer} [D, C] ?concl$ 

have super: pos-superposition D C ?concl
proof (rule pos-superpositionI)
  show C = add-mset (Pos (Upair s s')) C'
    by (simp only: C-def A-def)
next
  show D = add-mset (Pos (Upair t t')) D'
    by (simp only: D-def)
next
  show D  $\prec_c C$ 
    using ⟨D  $\prec_c C$ ⟩ .
next
  show select D = {#}
    using ⟨select D = {#}⟩ .
next
  show select C = {#}
    using ⟨select C = {#}⟩ .
next
  show is-strictly-maximal ( $s \approx s'$ ) C
    using A-def strictly-maximal

```

```

    by simp
next
show is-strictly-maximal ( $t \approx t'$ ) D
  using max-t-t' .
next
show  $t' \prec_t t$ 
  using  $\langle t' \prec_t t \rangle$  .
next
show  $Pos(Upair s s') = Pos(Upair ctxt\langle t \rangle_G s')$ 
  by (simp only:  $\langle s = ctxt\langle t \rangle_G \rangle$ )
next
show  $s' \prec_t ctxt\langle t \rangle_G$ 
  using  $\langle s' \prec_t s \rangle$ 
  unfolding  $\langle s = ctxt\langle t \rangle_G \rangle$  .
qed simp-all

hence  $\iota \in G\text{-Inf}$ 
  using superposition-if-pos-superposition
  by (auto simp:  $\iota\text{-def } G\text{-Inf}\text{-def}$ )

moreover have  $\bigwedge t. t \in set(\text{prems-of } \iota) \implies t \in N$ 
  using  $\langle C \in N \rangle \langle D \in N \rangle$ 
  by (auto simp add:  $\iota\text{-def}$ )

ultimately have  $\iota \in Inf\text{-from } N$ 
  by (auto simp only: Inf-from-def)

hence  $\iota \in Red\text{-}I N$ 
  using  $\langle \text{saturated } N \rangle$ 
  by (auto simp only: saturated-def)

then obtain DD where
  DD-subset:  $DD \subseteq N$  and
  finite DD and
  DD-entails-concl:  $G\text{-entails } (\text{insert } D DD) \{?concl\}$  and
  ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
  unfolding Red-I-def redundant-infer-def mem-Collect-eq
  by (auto simp:  $\iota\text{-def}$ )

moreover have  $\forall D \in \text{insert } D DD. \text{entails } (\text{rewrite-sys } N C) D$ 
  using IH[THEN conjunct2, rule-format, of - C]
  using  $\langle C \in N \rangle \langle D \in N \rangle \langle D \prec_c C \rangle$  DD-subset ball-DD-lt-C
  by (metis in-mono insert-iff)

ultimately have entails (rewrite-sys N C) ?concl
  using I-interp DD-entails-concl
  unfolding entails-def G-entails-def
  by (simp add: I-def true-clss-def)

```

```

moreover have  $\neg \text{entails} (\text{rewrite-sys } N C) D'$ 
  unfolding  $\text{entails-def}$ 
  using  $\text{false-cls-if-productive-epsilon}(2)[OF - \langle C \in N \rangle \langle D \prec_c C \rangle]$ 
  by (metis  $D\text{-def } \langle t, t' \rangle \in \text{epsilon } N D$  add-mset-remove-trivial empty-iff
       epsilon-eq-empty-or-singleton singletonD)

ultimately have  $\text{entails} (\text{rewrite-sys } N C) \{\#\text{Pos} (\text{Upair } \text{ctxt}\langle t' \rangle_G s')\# \}$ 
  unfolding  $\text{entails-def}$ 
  using  $\langle \neg \text{upair } ' (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow \models C' \rangle$ 
  by fastforce

hence  $(\text{ctxt}\langle t' \rangle_G, s') \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N C))^\downarrow$ 
  by (simp add:  $\text{entails-def true-cls-def uprod-mem-image-iff-prod-mem}[OF$ 
    sym-join])

moreover have  $(\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in \text{rewrite-inside-gctxt} (\text{rewrite-sys }$ 
 $N C)$ 
  using  $\langle (t, t') \in \text{epsilon } N D \rangle \langle D \in N \rangle \langle D \prec_c C \rangle \text{ rewrite-sys-def}$ 
  epsilon-filter-le-conv
  by (auto simp:  $\text{rewrite-inside-gctxt-def}$ )

ultimately have  $(\text{ctxt}\langle t \rangle_G, s') \in (\text{rewrite-inside-gctxt} (\text{rewrite-sys } N$ 
 $C))^\downarrow$ 
  using r-into-rtranc1 rtranc1-join-join
  by metis

hence  $\text{entails} (\text{rewrite-sys } N C) \{\#\text{Pos} (\text{Upair } \text{ctxt}\langle t \rangle_G s')\# \}$ 
  unfolding  $\text{entails-def true-cls-def}$ 
  by auto

thus ?thesis
  using A-def C-def  $\langle s = \text{ctxt}\langle t \rangle_G \rangle \text{ entails-def}$ 
  by fastforce
qed
next
case False
hence  $2 \leq \text{count } C (\text{Pos } A)$ 
  using max-Pos-A
  by (meson is-strictly-maximal-def
      literal.order.count-ge-2-if-maximal-in-mset-and-not-greatest-in-mset
      literal.order.is-greatest-in-mset-iff literal.order.leD)

then obtain  $C'$  where C-def:  $C = \text{add-mset} (\text{Pos } A) (\text{add-mset} (\text{Pos } A)$ 
 $C')$ 
  using two-le-countE
  by metis

define  $\iota :: 'f \text{ gatom clause inference where}$ 
 $\iota = \text{Infer } [C] (\text{add-mset} (\text{Pos} (\text{Upair } s s')) (\text{add-mset} (\text{Neg} (\text{Upair } s' s'))))$ 

```

$C')$

```
let ?concl = add-mset (Pos (Upair s s')) (add-mset (Neg (Upair s' s')) C')

have eq-fact: eq-factoring C ?concl
proof (rule eq-factoringI)
  show C = add-mset (Pos A) (add-mset (Pos A) C')
    by (simp add: C-def)
next
  show Pos A = Pos (Upair s s')
    by (simp add: A-def)
next
  show Pos A = Pos (Upair s s')
    by (simp add: A-def)
next
  show select C = {#}
    using <select C = {#}> .
next
  show is-maximal (Pos A) C
    using max-Pos-A .
next
  show s' ⊢t s
    using <s' ⊢t s> .
qed simp-all

hence i ∈ G-Inf
  by (auto simp: i-def G-Inf-def)

moreover have ∀ t. t ∈ set (prems-of i) ⇒ t ∈ N
  using <C ∈ N>
  by (auto simp add: i-def)

ultimately have i ∈ Inf-from N
  by (auto simp: Inf-from-def)

hence i ∈ Red-I N
  using <saturated N>
  by (auto simp: saturated-def)

then obtain DD where
  DD-subset: DD ⊆ N and
  finite DD and
  DD-entails-concl: G-entails DD {?concl} and
  ball-DD-lt-C: ∀ D∈DD. D ⊢c C
  unfolding Red-I-def redundant-infer-def mem-Collect-eq
  by (auto simp: i-def)

moreover have ∀ D∈DD. entails (rewrite-sys N C) D
  using IH[THEN conjunct2, rule-format, of - C]
```

```

using ‹ $C \in N$ › DD-subset ball-DD-lt-C
by blast

ultimately have entails (rewrite-sys  $N C$ ) ?concl
  using I-interp DD-entails-concl
  unfolding entails-def G-entails-def
  by (simp add: I-def true-clss-def)

then show ?thesis
  by (simp add: entails-def A-def C-def joinI-right pair-imageI)
qed
qed
qed
qed
qed

moreover have iib: entails (rewrite-sys  $N D$ )  $C$  if  $D \in N$  and  $C \prec_c D$  for  $D$ 
  using epsilon-eq-empty-or-singleton[of N C, folded ]
proof (elim disjE exE)
  assume epsilon N C = {}
  hence entails (rewrite-sys  $N C$ )  $C$ 
    unfolding i by simp
  thus ?thesis
    using lift-entailment-to-Union(2)[OF ‹C ∈ N› - that]
    by (simp only: entails-def)
next
  fix  $l r$  assume epsilon N C = {(l, r)}
  thus ?thesis
    using true-cls-if-productive-epsilon(2)[OF ‹epsilon N C = {(l, r)}› that]
    by (simp only: entails-def)
qed

ultimately show ?case
  by metis
qed

lemma (in ground-superposition-calculus) model-construction:
fixes
   $N :: 'f gatom clause set$  and
   $C :: 'f gatom clause$ 
defines
  entails  $\equiv \lambda E. C. upair` (rewrite-inside-gctxt E)^\downarrow \Vdash C$ 
  assumes saturated N and {#} ∉ N and C-in: C ∈ N
  shows entails ( $\bigcup D \in N. \text{epsilon } N D$ )  $C$ 
  using epsilon-eq-empty-or-singleton[of N C]
proof (elim disjE exE)
  assume epsilon N C = {}

  hence entails (rewrite-sys  $N C$ )  $C$ 
  using model-preconstruction(1)[OF assms(2,3,4)]

```

```

by (metis entails-def)

thus ?thesis
  using lift-entailment-to-Union(1)[OF ‹C ∈ N›]
  by (simp only: entails-def)
next
fix l r assume epsilon N C = {(l, r)}
thus ?thesis
  using true-cls-if-productive-epsilon(1)[OF ‹epsilon N C = {(l, r)}›]
  by (simp only: entails-def)
qed

```

## 1.5 Static Refutational Completeness

```

lemma (in ground-superposition-calculus) statically-complete:
fixes N :: 'f gatom clause set
assumes saturated N and G-entails N {{#}}
shows {{#}} ∈ N
using ‹G-entails N {{#}}›
proof (rule contrapos-pp)
assume {{#}} ∉ N

define I :: 'f gterm rel where
I = (rewrite-inside-gctxt ((⋃ D ∈ N. epsilon N D))⇧

show ¬ G-entails N G-Bot
  unfolding G-entails-def not-all not-imp
proof (intro exI conjI)
show refl I
  by (simp only: I-def refl-join)
next
show trans I
  unfolding I-def
proof (rule trans-join)
have wf ((rewrite-inside-gctxt ((⋃ D ∈ N. epsilon N D))⁻¹)
proof (rule wf-converse-rewrite-inside-gctxt)
fix s t
assume (s, t) ∈ ((⋃ D ∈ N. epsilon N D))
then obtain C where C ∈ N (s, t) ∈ epsilon N C
  by auto

thus t ≺t s
  by (auto elim: mem-epsilonE)
qed auto
thus SN (rewrite-inside-gctxt ((⋃ D ∈ N. epsilon N D)))
  unfolding SN-iff-wf .
next
show WCR (rewrite-inside-gctxt ((⋃ D ∈ N. epsilon N D)))
  using WCR-Union-rewrite-sys .

```

```

qed
next
show sym I
  by (simp only: I-def sym-join)
next
show compatible-with-gctxt I
  unfolding I-def
  by (simp only: I-def compatible-with-gctxt-join compatible-with-gctxt-rewrite-inside-gctxt)
next
show upair ` I ⊨s N
  unfolding I-def
  using model-construction[OF ‹saturated N› ‹{#} ⊈ N›]
  by (simp add: true-clss-def)
next
show ¬ upair ` I ⊨s G-Bot
  by simp
qed
qed

sublocale ground-superposition-calculus ⊆ statically-complete-calculus where
  Bot = G-Bot and
  Inf = G-Inf and
  entails = G-entails and
  Red-I = Red-I and
  Red-F = Red-F
  using statically-complete
  by unfold-locales simp

end
theory Ground-Superposition-Soundness
  imports Ground-Superposition
begin

lemma (in ground-superposition-calculus) soundness-ground-superposition:
  assumes
    step: superposition P1 P2 C
    shows G-entails {P1, P2} {C}
    using step
  proof (cases P1 P2 C rule: superposition.cases)
    case (superpositionI L1 P1' L2 P2' P s t s' t')
      show ?thesis
        unfolding G-entails-def true-clss-singleton
        unfolding true-clss-insert
        proof (intro alli impI, elim conjE)
          fix I :: 'f gterm rel
          let ?I' = (λ(t1, t). Upair t1 t) ` I
          assume refl I and trans I and sym I and compatible-with-gctxt I and
            ?I' ⊨= P1 and ?I' ⊨= P2

```

```

then obtain K1 K2 :: 'f gatom literal where
  K1 ∈# P1 and ?I' ⊨l K1 and K2 ∈# P2 and ?I' ⊨l K2
  by (auto simp: true-cls-def)

show ?I' ⊨ C
proof (cases K2 = P (Upair s⟨t⟩G s'))
  case K1-def: True
  hence ?I' ⊨l P (Upair s⟨t⟩G s')
    using ‹?I' ⊨l K2› by simp

  show ?thesis
  proof (cases K1 = Pos (Upair t t'))
    case K2-def: True
    hence (t, t') ∈ I
      using ‹?I' ⊨l K1› true-lit-uprod-iff-true-lit-prod[OF ‹sym I›] by simp

    have ?thesis if P = Pos
    proof -
      from that have (s⟨t⟩G, s') ∈ I
      using ‹?I' ⊨l K2› K1-def true-lit-uprod-iff-true-lit-prod[OF ‹sym I›] by
      simp
      hence (s⟨t⟩G, s') ∈ I
      using ‹(t, t') ∈ I›
      using ‹compatible-with-gctxt I› ‹refl I› ‹sym I› ‹trans I›
      by (meson compatible-with-gctxtD refl-onD1 symD trans-onD)
      hence ?I' ⊨l Pos (Upair s⟨t⟩G s')
        by blast
      thus ?thesis
        unfolding superpositionI that
        by simp
    qed

  moreover have ?thesis if P = Neg
  proof -
    from that have (s⟨t⟩G, s') ∉ I
    using ‹?I' ⊨l K2› K1-def true-lit-uprod-iff-true-lit-prod[OF ‹sym I›] by
    simp
    hence (s⟨t⟩G, s') ∉ I
    using ‹(t, t') ∈ I›
    using ‹compatible-with-gctxt I› ‹trans I›
    by (metis compatible-with-gctxtD transD)
    hence ?I' ⊨l Neg (Upair s⟨t⟩G s')
      by (meson ‹sym I› true-lit-simps(2) true-lit-uprod-iff-true-lit-prod(2))
    thus ?thesis
      unfolding superpositionI that by simp
  qed

  ultimately show ?thesis
  using ‹P ∈ {Pos, Neg}› by auto

```

```

next
  case False
    hence  $K_1 \in\# P_2'$ 
      using  $\langle K_1 \in\# P_1 \rangle$ 
      unfolding superpositionI by simp
    hence  $?I' \models P_2'$ 
      using  $\langle ?I' \models l K_1 \rangle$  by blast
    thus ?thesis
      unfolding superpositionI by simp
qed
next
  case False
    hence  $K_2 \in\# P_1'$ 
      using  $\langle K_2 \in\# P_2 \rangle$ 
      unfolding superpositionI by simp
    hence  $?I' \models P_1'$ 
      using  $\langle ?I' \models l K_2 \rangle$  by blast
    thus ?thesis
      unfolding superpositionI by simp
qed
qed
qed

lemma (in ground-superposition-calculus) soundness-ground-eq-resolution:
assumes step: eq-resolution P C
shows G-entails {P} {C}
using step
proof (cases P C rule: eq-resolution.cases)
case (eq-resolutionI L D' t)
show ?thesis
  unfolding G-entails-def true-clss-singleton
  proof (intro allI impI)
    fix I :: 'f gterm rel
    assume refl I and  $(\lambda(t_1, t_2). Upair t_1 t_2) \cdot I \models P$ 
    then obtain K where  $K \in\# P$  and  $(\lambda(t_1, t_2). Upair t_1 t_2) \cdot I \models l K$ 
      by (auto simp: true-cls-def)
    hence  $K \neq L$ 
      by (metis reflI eq-resolutionI(2) pair-imageI reflD true-lit-simps(2))
    hence  $K \in\# C$ 
      using  $\langle K \in\# P \rangle \langle P = add-mset L D' \rangle \langle C = D' \rangle$  by simp
    thus  $(\lambda(t_1, t_2). Upair t_1 t_2) \cdot I \models C$ 
      using  $\langle (\lambda(t_1, t_2). Upair t_1 t_2) \cdot I \models l K \rangle$  by blast
  qed
qed

lemma (in ground-superposition-calculus) soundness-ground-eq-factoring:
assumes step: eq-factoring P C
shows G-entails {P} {C}
using step

```

```

proof (cases P C rule: eq-factoring.cases)
  case (eq-factoringI L1 L2 P' t t' t'')
    show ?thesis
      unfolding G-entails-def true-clss-singleton
    proof (intro allI impI)
      fix I :: 'f gterm rel
      let ?I' = (λ(t1, t). Upair t1 t) ` I
      assume trans I and sym I and ?I' ⊨ P
      then obtain K :: 'f gatom literal where
        K ∈# P and ?I' ⊨ l K
        by (auto simp: true-cls-def)

      show ?I' ⊨ C
      proof (cases K = L1 ∨ K = L2)
        case True
          hence I ⊨ l Pos (t, t') ∨ I ⊨ l Pos (t, t'')
            unfolding eq-factoringI
            using ‹?I' ⊨ l K› true-lit-uprod-iff-true-lit-prod[OF ‹sym I›] by metis
          hence I ⊨ l Pos (t, t'') ∨ I ⊨ l Neg (t', t'')
            proof (elim disjE)
              assume I ⊨ l Pos (t, t')
              then show ?thesis
                unfolding true-lit-simps
                by (metis ‹trans I› transD)
            next
              assume I ⊨ l Pos (t, t'')
              then show ?thesis
                by simp
            qed
          hence ?I' ⊨ l Pos (Upair t t'') ∨ ?I' ⊨ l Neg (Upair t' t'')
            unfolding true-lit-uprod-iff-true-lit-prod[OF ‹sym I›] .
          thus ?thesis
            unfolding eq-factoringI
            by (metis true-cls-add-mset)
        next
          case False
          hence K ∈# P'
            using ‹K ∈# P›
            unfolding eq-factoringI
            by auto
          hence K ∈# C
            by (simp add: eq-factoringI(1,2,7))
          thus ?thesis
            using ‹(λ(t1, t). Upair t1 t) ` I ⊨ l K› by blast
        qed
      qed
    qed
  qed

```

**sublocale** ground-superposition-calculus ⊆ sound-inference-system **where**

```

Inf = G-Inf and
Bot = G-Bot and
entails = G-entails
proof unfold-locales
show  $\bigwedge \iota. \iota \in G\text{-}Inf \implies G\text{-}entails (\text{set } (\text{prems-of } \iota)) \{\text{concl-of } \iota\}$ 
  unfolding G-Inf-def
  using soundness-ground-superposition
  using soundness-ground-eq-resolution
  using soundness-ground-eq-factoring
  by (auto simp: G-entails-def)
qed

end
theory Ground-Superposition-Welltypedness-Preservation
imports
  Ground-Superposition
  First-Order-Clause.Ground-Typing
begin

context ground-superposition-calculus
begin

sublocale ground-typing where  $\mathcal{F} = \mathcal{F} :: ('f, 'ty) \text{ fun-types}$ 
  by unfold-locales

context
  fixes  $\mathcal{F} :: ('f, 'ty) \text{ fun-types}$ 
begin

lemma ground-superposition-preserves-typing:
  assumes
    superposition D E C
    clause.is-welltyped D
    clause.is-welltyped E
  shows clause.is-welltyped C
  using assms
  by (cases rule: superposition.cases) (auto 4 3)

lemma ground-eq-resolution-preserves-typing:
  assumes eq-resolution D C clause.is-welltyped D
  shows clause.is-welltyped C
  using assms
  by (cases rule: eq-resolution.cases) auto

lemma ground-eq-factoring-preserves-typing:
  assumes eq-factoring D C
  shows clause.is-welltyped D  $\longleftrightarrow$  clause.is-welltyped C
  using assms
  by (cases rule: eq-factoring.cases) auto

```

```

end

end

end
theory Superposition
imports
  First-Order-Clause.Nonground-Order
  First-Order-Clause.Nonground-Selection-Function
  First-Order-Clause.Nonground-Typing
  First-Order-Clause.Typed-Tiebreakers
  First-Order-Clause.Welltyped-IMGU

  Ground-Superposition
begin

```

## 2 Nonground Layer

```

locale superposition-calculus =
  nonground-inhabited-typing  $\mathcal{F}$  +
  nonground-equality-order  $less_t$  +
  nonground-selection-function  $select$  +
  typed-tiebreakers  $tiebreakers$  +
  ground-critical-pair-theorem  $TYPE('f)$ 
  for
    select :: ('f, 'v :: infinite) select and
    lesst :: ('f, 'v) term  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  bool and
     $\mathcal{F}$  :: ('f, 'ty) fun-types and
    tiebreakers :: ('f, 'v) tiebreakers +
  assumes
    types-ordLeq-variables: |UNIV :: 'ty set|  $\leq_o$  |UNIV :: 'v set|
begin
  interpretation term-order-notation.

  inductive eq-resolution :: ('f, 'v, 'ty) typed-clause  $\Rightarrow$  ('f, 'v, 'ty) typed-clause  $\Rightarrow$  bool where
    eq-resolutionI:
      D = add-mset l D'  $\Rightarrow$ 
      l = t ! $\approx$  t'  $\Rightarrow$ 
      welltyped-imgu-on (clause.vars D) V t t'  $\mu$   $\Rightarrow$ 
      select D = {#}  $\wedge$  is-maximal (l · l  $\mu$ ) (D ·  $\mu$ )  $\vee$  is-maximal (l · l  $\mu$ ) (select D ·  $\mu$ )  $\Rightarrow$ 
      C = D' ·  $\mu$   $\Rightarrow$ 
      eq-resolution (D, V) (C, V)

  inductive eq-factoring :: ('f, 'v, 'ty) typed-clause  $\Rightarrow$  ('f, 'v, 'ty) typed-clause  $\Rightarrow$  bool where

```

*eq-factoringsI:*

$$\begin{aligned}
 D = \text{add-mset } l_1 (\text{add-mset } l_2 D') &\implies \\
 l_1 = t_1 \approx t_1' &\implies \\
 l_2 = t_2 \approx t_2' &\implies \\
 \text{select } D = \{\#\} &\implies \\
 \text{is-maximal } (l_1 \cdot l \mu) (D \cdot \mu) &\implies \\
 \neg (t_1 \cdot t \mu \preceq_t t_1' \cdot t \mu) &\implies \\
 \text{welltyped-imgu-on } (\text{clause.vars } D) \mathcal{V} t_1 t_2 \mu &\implies \\
 C = \text{add-mset } (t_1 \approx t_2') (\text{add-mset } (t_1' \approx t_2') D') \cdot \mu &\implies \\
 \text{eq-factorings } (D, \mathcal{V}) (C, \mathcal{V})
 \end{aligned}$$

**inductive** *superposition* ::

$$('f, 'v, 'ty) \text{ typed-clause} \Rightarrow ('f, 'v, 'ty) \text{ typed-clause} \Rightarrow ('f, 'v, 'ty) \text{ typed-clause} \Rightarrow$$

**bool**

**where**

*superpositionI:*

$$\begin{aligned}
 &\text{infinite-variables-per-type } \mathcal{V}_1 \implies \\
 &\text{infinite-variables-per-type } \mathcal{V}_2 \implies \\
 &\text{term-subst.is-renaming } \varrho_1 \implies \\
 &\text{term-subst.is-renaming } \varrho_2 \implies \\
 &\text{clause.vars } (E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\} \implies \\
 E = \text{add-mset } l_1 E' &\implies \\
 D = \text{add-mset } l_2 D' &\implies \\
 \mathcal{P} \in \{\text{Pos}, \text{Neg}\} &\implies \\
 l_1 = \mathcal{P} (\text{Upair } c_1(t_1) t_1') &\implies \\
 l_2 = t_2 \approx t_2' &\implies \\
 \neg \text{is-Var } t_1 &\implies \\
 \text{welltyped-imgu-on } (\text{clause.vars } (E \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)) \mathcal{V}_3 (t_1 \cdot t \varrho_1) (t_2 \\
 \cdot t \varrho_2) \mu &\implies \\
 \forall x \in \text{clause.vars } E. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x) &\implies \\
 \forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x) &\implies \\
 \text{term.subst.is-welltyped-on } (\text{clause.vars } E) \mathcal{V}_1 \varrho_1 &\implies \\
 \text{term.subst.is-welltyped-on } (\text{clause.vars } D) \mathcal{V}_2 \varrho_2 &\implies \\
 (\bigwedge \tau \tau'. \text{typed } \mathcal{V}_2 t_2 \tau \implies \text{typed } \mathcal{V}_2 t_2' \tau' \implies \tau = \tau') &\implies \\
 \neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu) &\implies \\
 (\mathcal{P} = \text{Pos} \implies \text{select } E = \{\#\}) &\implies \\
 (\mathcal{P} = \text{Pos} \implies \text{is-strictly-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)) &\implies \\
 (\mathcal{P} = \text{Neg} \implies \text{select } E = \{\#\} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)) &\implies \\
 (\mathcal{P} = \text{Neg} \implies \text{select } E \neq \{\#\} \implies \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) ((\text{select } E) \cdot \varrho_1 \odot \\
 \mu)) &\implies \\
 \text{select } D = \{\#\} &\implies \\
 \text{is-strictly-maximal } (l_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu) &\implies \\
 \neg (c_1(t_1) \cdot t \varrho_1 \odot \mu \preceq_t t_1' \cdot t \varrho_1 \odot \mu) &\implies \\
 \neg (t_2 \cdot t \varrho_2 \odot \mu \preceq_t t_2' \cdot t \varrho_2 \odot \mu) &\implies \\
 C = \text{add-mset } (\mathcal{P} (\text{Upair } (c_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (t_1' \cdot t \varrho_1))) (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \\
 \mu &\implies \\
 \text{superposition } (D, \mathcal{V}_2) (E, \mathcal{V}_1) (C, \mathcal{V}_3)
 \end{aligned}$$

**abbreviation** *eq-factorings-inferences* **where**

*eq-factoring-inferences*  $\equiv \{ \text{Infer } [D] C \mid D C. \text{ eq-factoring } D C \}$

**abbreviation** *eq-resolution-inferences* **where**

*eq-resolution-inferences*  $\equiv \{ \text{Infer } [D] C \mid D C. \text{ eq-resolution } D C \}$

**abbreviation** *superposition-inferences* **where**

*superposition-inferences*  $\equiv \{ \text{Infer } [D, E] C \mid D E C. \text{ superposition } D E C \}$

**definition** *inferences* ::  $('f, 'v, 'ty)$  *typed-clause inference set* **where**

*inferences*  $\equiv \text{superposition-inferences} \cup \text{eq-resolution-inferences} \cup \text{eq-factoring-inferences}$

**abbreviation** *bottom<sub>F</sub>* ::  $('f, 'v, 'ty)$  *typed-clause set* ( $\perp_F$ ) **where**

*bottom<sub>F</sub>*  $\equiv \{(\{\#\}, \mathcal{V}) \mid \mathcal{V}. \text{ infinite-variables-per-type } \mathcal{V} \}$

### 2.0.1 Alternative Specification of the Superposition Rule

**inductive** *superposition'* ::

$('f, 'v, 'ty)$  *typed-clause*  $\Rightarrow ('f, 'v, 'ty)$  *typed-clause*  $\Rightarrow ('f, 'v, 'ty)$  *typed-clause*  $\Rightarrow$   
*bool*

**where**

*superposition'I*:

*infinite-variables-per-type*  $\mathcal{V}_1 \implies$

*infinite-variables-per-type*  $\mathcal{V}_2 \implies$

*term-subst.is-renaming*  $\varrho_1 \implies$

*term-subst.is-renaming*  $\varrho_2 \implies$

*clause.vars*  $(E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\} \implies$

$E = \text{add-mset } l_1 E' \implies$

$D = \text{add-mset } l_2 D' \implies$

$\mathcal{P} \in \{\text{Pos}, \text{Neg}\} \implies$

$l_1 = \mathcal{P} (\text{Upair } c_1 \langle t_1 \rangle t_1') \implies$

$l_2 = t_2 \approx t_2' \implies$

$\neg \text{is-Var } t_1 \implies$

*welltyped-imgu-on*  $(\text{clause.vars } (E \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)) \mathcal{V}_3 (t_1 \cdot t \varrho_1) (t_2 \cdot t \varrho_2) \mu \implies$

$\forall x \in \text{clause.vars } E. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x) \implies$

$\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x) \implies$

*term.subst.is-welltyped-on*  $(\text{clause.vars } E) \mathcal{V}_1 \varrho_1 \implies$

*term.subst.is-welltyped-on*  $(\text{clause.vars } D) \mathcal{V}_2 \varrho_2 \implies$

$(\bigwedge \tau \tau'. \text{typed } \mathcal{V}_2 t_2 \tau \implies \text{typed } \mathcal{V}_2 t_2' \tau' \implies \tau = \tau') \implies$

$\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu) \implies$

$(\mathcal{P} = \text{Pos} \wedge \text{select } E = \{\#\} \wedge \text{is-strictly-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu) \vee$

$\mathcal{P} = \text{Neg} \wedge (\text{select } E = \{\#\} \wedge \text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu) \vee$

$\text{is-maximal } (l_1 \cdot l \varrho_1 \odot \mu) ((\text{select } E) \cdot \varrho_1 \odot \mu)) \implies$

*select D*  $= \{\#\} \implies$

*is-strictly-maximal*  $(l_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu) \implies$

$\neg (c_1 \langle t_1 \rangle \cdot t \varrho_1 \odot \mu \preceq_t t_1' \cdot t \varrho_1 \odot \mu) \implies$

$\neg (t_2 \cdot t \varrho_2 \odot \mu \preceq_t t_2' \cdot t \varrho_2 \odot \mu) \implies$

$C = \text{add-mset } (\mathcal{P} (\text{Upair } (c_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (t_1' \cdot t \varrho_1))) (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot$

$\mu \implies$

*superposition' (D, V<sub>2</sub>) (E, V<sub>1</sub>) (C, V<sub>3</sub>)*

```

lemma superposition-eq-superposition': superposition = superposition'
proof (intro ext iffI)
  fix D E C
  assume superposition D E C
  then show superposition' D E C

  proof (cases D E C rule: superposition.cases)
    case (superpositionI V1 V2 ρ1 ρ2 E D l1 E' l2 D' P c1 t1 t1' t2 t2' V3 μ C)

      show ?thesis
      proof (unfold superpositionI(1–3), rule superposition'I[of V1 V2 ρ1 ρ2]; (rule superpositionI) ?)

        show P = Pos ∧ select E = {#} ∧ is-strictly-maximal (l1 · l ρ1 ⊕ μ) (E · ρ1 ⊕ μ) ∨
          P = Neg ∧ (select E = {#} ∧ is-maximal (l1 · l ρ1 ⊕ μ) (E · ρ1 ⊕ μ) ∨
            is-maximal (l1 · l ρ1 ⊕ μ) (select E · ρ1 ⊕ μ))
        using superpositionI(11,22–25)
        by fastforce
      qed
    qed
  next
  fix D E C
  assume superposition' D E C
  then show superposition D E C
  proof (cases D E C rule: superposition'.cases)
    case (superposition'I V1 V2 ρ1 ρ2 E D l1 E' l2 D' P c1 t1 t1' t2 t2' V3 μ C)

      show ?thesis
      proof (unfold superpositionI(1–3), rule superpositionI[of V1 V2 ρ1 ρ2]; (rule superposition'I) ?)

        show
          P = Pos ⇒ select E = {#}
          P = Pos ⇒ is-strictly-maximal (l1 · l ρ1 ⊕ μ) (E · ρ1 ⊕ μ)
          P = Neg ⇒ select E = {#} ⇒ is-maximal (l1 · l ρ1 ⊕ μ) (E · ρ1 ⊕ μ)
          P = Neg ⇒ select E ≠ {#} ⇒ is-maximal (l1 · l ρ1 ⊕ μ) (select E · ρ1 ⊕ μ)
        using superposition'I(22) is-maximal-not-empty
        by auto
      qed
    qed
  qed

inductive pos-superposition :: 
  ('f, 'v, 'ty) typed-clause ⇒ ('f, 'v, 'ty) typed-clause ⇒ ('f, 'v, 'ty) typed-clause ⇒
  bool

```

**where**

```

pos-superpositionI:
  infinite-variables-per-type  $\mathcal{V}_1 \Rightarrow$ 
  infinite-variables-per-type  $\mathcal{V}_2 \Rightarrow$ 
  term-subst.is-renaming  $\varrho_1 \Rightarrow$ 
  term-subst.is-renaming  $\varrho_2 \Rightarrow$ 
  clause.vars  $(E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\} \Rightarrow$ 
   $E = \text{add-mset } l_1 E' \Rightarrow$ 
   $D = \text{add-mset } l_2 D' \Rightarrow$ 
   $l_1 = c_1 \langle t_1 \rangle \approx t_1' \Rightarrow$ 
   $l_2 = t_2 \approx t_2' \Rightarrow$ 
   $\neg \text{is-Var } t_1 \Rightarrow$ 
  welltyped-imgu-on  $(\text{clause.vars } (E \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)) \mathcal{V}_3 (t_1 \cdot t \varrho_1) (t_2 \cdot t \varrho_2) \mu \Rightarrow$ 
   $\forall x \in \text{clause.vars } E. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x) \Rightarrow$ 
   $\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x) \Rightarrow$ 
  termsubst.is-welltyped-on  $(\text{clause.vars } E) \mathcal{V}_1 \varrho_1 \Rightarrow$ 
  termsubst.is-welltyped-on  $(\text{clause.vars } D) \mathcal{V}_2 \varrho_2 \Rightarrow$ 
   $(\bigwedge \tau \tau'. \text{typed } \mathcal{V}_2 t_2 \tau \Rightarrow \text{typed } \mathcal{V}_2 t_2' \tau' \Rightarrow \tau = \tau') \Rightarrow$ 
   $\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu) \Rightarrow$ 
  select  $E = \{\#\} \Rightarrow$ 
  is-strictly-maximal  $(l_1 \cdot l \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu) \Rightarrow$ 
  select  $D = \{\#\} \Rightarrow$ 
  is-strictly-maximal  $(l_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu) \Rightarrow$ 
   $\neg (c_1 \langle t_1 \rangle \cdot t \varrho_1 \odot \mu \preceq_t t_1' \cdot t \varrho_1 \odot \mu) \Rightarrow$ 
   $\neg (t_2 \cdot t \varrho_2 \odot \mu \preceq_t t_2' \cdot t \varrho_2 \odot \mu) \Rightarrow$ 
   $C = \text{add-mset } ((c_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle \approx (t_1' \cdot t \varrho_1)) (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \Rightarrow$ 
  pos-superposition  $(D, \mathcal{V}_2) (E, \mathcal{V}_1) (C, \mathcal{V}_3)$ 

```

**lemma** superposition-if-pos-superposition:

```

assumes pos-superposition D E C
shows superposition D E C
using assms
proof (cases rule: pos-superposition.cases)
  case (pos-superpositionI  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' c_1 t_1 t_1' t_2 t_2' \mu \mathcal{V}_3 C$ )
  then show ?thesis
    using superpositionI[of  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' \text{Pos } c_1 t_1 t_1' t_2 t_2' \mu \mathcal{V}_3 C$ ]
    by fast
qed

```

**inductive** neg-superposition ::

```

  ('f, 'v, 'ty) typed-clause  $\Rightarrow$  ('f, 'v, 'ty) typed-clause  $\Rightarrow$  ('f, 'v, 'ty) typed-clause  $\Rightarrow$ 
  bool

```

**where**

```

neg-superpositionI:
  infinite-variables-per-type  $\mathcal{V}_1 \Rightarrow$ 
  infinite-variables-per-type  $\mathcal{V}_2 \Rightarrow$ 
  term-subst.is-renaming  $\varrho_1 \Rightarrow$ 

```

```

term-subst.is-renaming  $\varrho_2 \implies$ 
clause.vars ( $E \cdot \varrho_1$ )  $\cap$  clause.vars ( $D \cdot \varrho_2$ ) = {}  $\implies$ 
 $E = \text{add-mset } l_1 \cdot E' \implies$ 
 $D = \text{add-mset } l_2 \cdot D' \implies$ 
 $l_1 = c_1 \langle t_1 \rangle \approx t_1' \implies$ 
 $l_2 = t_2 \approx t_2' \implies$ 
 $\neg \text{is-Var } t_1 \implies$ 
welltyped-imgu-on (clause.vars ( $E \cdot \varrho_1$ )  $\cup$  clause.vars ( $D \cdot \varrho_2$ ))  $\mathcal{V}_3$  ( $t_1 \cdot t \cdot \varrho_1$ ) ( $t_2 \cdot t \cdot \varrho_2$ )  $\mu \implies$ 
 $\forall x \in \text{clause.vars } E. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x) \implies$ 
 $\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x) \implies$ 
termsubst.is-welltyped-on (clause.vars  $E$ )  $\mathcal{V}_1 \varrho_1 \implies$ 
termsubst.is-welltyped-on (clause.vars  $D$ )  $\mathcal{V}_2 \varrho_2 \implies$ 
 $(\bigwedge \tau \tau'. \text{typed } \mathcal{V}_2 t_2 \tau \implies \text{typed } \mathcal{V}_2 t_2' \tau' \implies \tau = \tau') \implies$ 
 $\neg (E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu) \implies$ 
(select  $E = \{\#\} \implies \text{is-maximal } (l_1 \cdot l \cdot \varrho_1 \odot \mu) (E \cdot \varrho_1 \odot \mu)$ )  $\implies$ 
(select  $E \neq \{\#\} \implies \text{is-maximal } (l_1 \cdot l \cdot \varrho_1 \odot \mu) ((\text{select } E) \cdot \varrho_1 \odot \mu)$ )  $\implies$ 
select  $D = \{\#\} \implies$ 
is-strictly-maximal ( $l_2 \cdot l \cdot \varrho_2 \odot \mu$ ) ( $D \cdot \varrho_2 \odot \mu$ )  $\implies$ 
 $\neg (c_1 \langle t_1 \rangle \cdot t \cdot \varrho_1 \odot \mu \preceq_t t_1' \cdot t \cdot \varrho_1 \odot \mu) \implies$ 
 $\neg (t_2 \cdot t \cdot \varrho_2 \odot \mu \preceq_t t_2' \cdot t \cdot \varrho_2 \odot \mu) \implies$ 
 $C = \text{add-mset } ((c_1 \cdot t_c \cdot \varrho_1) \langle t_2' \cdot t \cdot \varrho_2 \rangle \approx (t_1' \cdot t \cdot \varrho_1)) (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \implies$ 
neg-superposition ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C, \mathcal{V}_3$ )

```

**lemma** superposition-if-neg-superposition:  
**assumes** neg-superposition  $E D C$   
**shows** superposition  $E D C$   
**using** assms  
**proof** (cases  $E D C$  rule: neg-superposition.cases)  
**case** (neg-superpositionI  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' c_1 t_1 t_1' t_2 t_2' \mu \mathcal{V}_3 C$ )  
**then show** ?thesis  
**using**  
superpositionI[of  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' \text{Neg } c_1 t_1 t_1' t_2 t_2' \mu \mathcal{V}_3 C$ ]  
literals-distinct(2)  
**by** blast  
**qed**

**lemma** superposition-iff-pos-or-neg:  
superposition  $D E C \longleftrightarrow$  pos-superposition  $D E C \vee$  neg-superposition  $D E C$   
**proof** (rule iffI)  
**assume** superposition  $D E C$   
**thus** pos-superposition  $D E C \vee$  neg-superposition  $D E C$   
**proof** (cases  $D E C$  rule: superposition.cases)  
**case** (superpositionI  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' \mathcal{P} c_1 t_1 t_1' t_2 t_2' \mathcal{V}_3 \mu C$ )  
**show** ?thesis  
**proof**(cases  $\mathcal{P} = \text{Pos}$ )  
**case** True  
**then have** pos-superposition ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C, \mathcal{V}_3$ )

```

using
  superpositionI
  pos-superpositionI[of  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' c_1 t_1 t_1' t_2 t_2' \mathcal{V}_3 \mu C$ ]
by argo

then show ?thesis
  unfolding superpositionI(1–3)
  by simp

next
  case False

  then have  $\mathcal{P} = \text{Neg}$ 
    using superpositionI(11)
    by blast

  then have neg-superposition ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C, \mathcal{V}_3$ )
    using
      superpositionI
      neg-superpositionI[of  $\mathcal{V}_1 \mathcal{V}_2 \varrho_1 \varrho_2 E D l_1 E' l_2 D' c_1 t_1 t_1' t_2 t_2' \mathcal{V}_3 \mu C$ ]
    by argo

  then show ?thesis
    unfolding superpositionI(1–3)
    by simp
  qed
  qed
next
  assume pos-superposition D E C  $\vee$  neg-superposition D E C
  thus superposition D E C
    using superposition-if-neg-superposition superposition-if-pos-superposition
    by metis
  qed

end

end
theory Grounded-Superposition
imports
  Superposition
  Ground-Superposition

First-Order-Clause.Grounded-Selection-Function
First-Order-Clause.Nonground-Inference
Saturation-Framework.Lifting-to-Non-Ground-Calculi
begin

locale grounded-superposition-calculus =
  superposition-calculus where select = select and  $\mathcal{F} = \mathcal{F} +$ 

```

```

grounded-selection-function where select = select and  $\mathcal{F} = \mathcal{F}$ 
for
  select :: ('f, 'v :: infinite) select and
   $\mathcal{F} :: ('f, 'ty)$  fun-types
begin

sublocale nonground-inference.

sublocale ground: ground-superposition-calculus where
   $less_t = (\prec_{tG})$  and select = selectG
rewrites
  multiset-extension.multiset-extension ( $\prec_{tG}$ ) mset-lit = ( $\prec_{lG}$ ) and
  multiset-extension.multiset-extension ( $\prec_{lG}$ ) ( $\lambda x. x$ ) = ( $\prec_{cG}$ ) and
   $\bigwedge l C. ground.is-maximal l C \longleftrightarrow is-maximal (literal.from-ground l) (clause.from-ground C)$  and
   $\bigwedge l C. ground.is-strictly-maximal l C \longleftrightarrow$ 
    is-strictly-maximal (literal.from-ground l) (clause.from-ground C)
  by unfold-locales simp-all

abbreviation is-inference-ground-instance-one-premise where
  is-inference-ground-instance-one-premise D C iG γ ≡
  case (D, C) of ((D, V'), (C, V))  $\Rightarrow$ 
    inference.is-ground (Infer [D] C ·i γ)  $\wedge$ 
     $i_G = inference.to-ground (Infer [D] C ·i γ)$   $\wedge$ 
    clause.is-welltyped V D  $\wedge$ 
    term.subst.is-welltyped-on (clause.vars C) V γ  $\wedge$ 
    clause.is-welltyped V C  $\wedge$ 
    V = V'  $\wedge$ 
    infinite-variables-per-type V

abbreviation is-inference-ground-instance-two-premises where
  is-inference-ground-instance-two-premises D E C iG γ ρ1 ρ2 ≡
  case (D, E, C) of ((D, V2), (E, V1), (C, V3))  $\Rightarrow$ 
    term-subst.is-renaming  $\varrho_1$ 
     $\wedge term-subst.is-renaming \varrho_2$ 
     $\wedge clause.vars (E \cdot \varrho_1) \cap clause.vars (D \cdot \varrho_2) = \{\}$ 
     $\wedge inference.is-ground (Infer [D \cdot \varrho_2, E \cdot \varrho_1] C \cdoti \gamma)$ 
     $\wedge i_G = inference.to-ground (Infer [D \cdot \varrho_2, E \cdot \varrho_1] C \cdoti \gamma)$ 
     $\wedge clause.is-welltyped V_1 E$ 
     $\wedge clause.is-welltyped V_2 D$ 
     $\wedge term.subst.is-welltyped-on (clause.vars C) V_3 \gamma$ 
     $\wedge clause.is-welltyped V_3 C$ 
     $\wedge infinite-variables-per-type V_1$ 
     $\wedge infinite-variables-per-type V_2$ 
     $\wedge infinite-variables-per-type V_3$ 

abbreviation is-inference-ground-instance where
  is-inference-ground-instance i iG γ ≡
  (case i of

```

```

Infer [D] C ⇒ is-inference-ground-instance-one-premise D C ℑ_G γ
| Infer [D, E] C ⇒ ∃ ρ₁ ρ₂. is-inference-ground-instance-two-premises D E C
ℑ_G γ ρ₁ ρ₂
| - ⇒ False)
∧ ℑ_G ∈ ground.G-Inf

```

**definition** inference-ground-instances **where**  
**inference-ground-instances**  $\iota = \{ \iota_G \mid \iota_G \gamma. \text{is-inference-ground-instance } \iota \iota_G \gamma \}$

**lemma** is-inference-ground-instance:  
**assumes** is-inference-ground-instance  $\iota \iota_G \gamma \implies \iota_G \in \text{inference-ground-instances } \iota$   
**shows**  $\iota_G \in \text{inference-ground-instances} (\text{Infer } [D] C)$   
**using assms**  
**unfolding** inference-ground-instances-def  
**by** blast

**lemma** is-inference-ground-instance-one-premise:  
**assumes** is-inference-ground-instance-one-premise D C ℑ\_G γ  $\iota_G \in \text{ground.G-Inf}$   
**shows**  $\iota_G \in \text{inference-ground-instances} (\text{Infer } [D] C)$   
**using assms**  
**unfolding** inference-ground-instances-def  
**by** auto

**lemma** is-inference-ground-instance-two-premises:  
**assumes** is-inference-ground-instance-two-premises D E C ℑ\_G γ ρ₁ ρ₂  $\iota_G \in \text{ground.G-Inf}$   
**shows**  $\iota_G \in \text{inference-ground-instances} (\text{Infer } [D, E] C)$   
**using assms**  
**unfolding** inference-ground-instances-def  
**by** auto

**lemma** ground-inference-concl-in-welltyped-ground-instances:  
**assumes**  $\iota_G \in \text{inference-ground-instances } \iota$   
**shows** concl-of  $\iota_G \in \text{clause.welltyped-ground-instances} (\text{concl-of } \iota)$   
**proof-**  
**obtain** premises C V **where**  
 $\iota: \iota = \text{Infer premises } (C, V)$   
**using** Calculus.inference.exhaust  
**by** (metis prod.collapse)

**show** ?thesis  
**using** assms  
**unfolding**  $\iota$  inference-ground-instances-def clause.welltyped-ground-instances-def  
**by** (cases premises rule: list-4-cases) auto  
**qed**

**lemma** ground-inference-red-in-welltyped-ground-instances-of-concl:  
**assumes**  $\iota_G \in \text{inference-ground-instances } \iota$   
**shows**  $\iota_G \in \text{ground.Red-I} (\text{clause.welltyped-ground-instances} (\text{concl-of } \iota))$   
**proof-**  
**from** assms **have**  $\iota_G \in \text{ground.G-Inf}$

```

unfolding inference-ground-instances-def
by blast

moreover have concl-of  $\iota_G \in \text{clause.welltyped-ground-instances}(\text{concl-of } \iota)$ 
using assms ground-inference-concl-in-welltyped-ground-instances
by auto

ultimately show  $\iota_G \in \text{ground.Red-I}(\text{clause.welltyped-ground-instances}(\text{concl-of } \iota))$ 
using ground.Red-I-of-Inf-to-N
by blast
qed

thm option.sel

sublocale lifting:
  tiebreaker-lifting
   $\perp_F$ 
  inferences
  ground.G-Bot
  ground.G-entails
  ground.G-Inf
  ground.GRed-I
  ground.GRed-F
  clause.welltyped-ground-instances
  Some  $\circ$  inference-ground-instances
  typed-tiebreakers
proof(unfold-locales; (intro impI typed-tiebreakers.wfp typed-tiebreakers.transp) ?)

  show  $\perp_F \neq \{\}$ 
  using exists-infinite-variables-per-type[ $OF$  types-ordLeq-variables]
  by blast

next
  fix bottom
  assume bottom  $\in \perp_F$ 

  then show clause.welltyped-ground-instances bottom  $\neq \{\}$ 
  unfolding clause.welltyped-ground-instances-def
  by auto

next
  fix bottom
  assume bottom  $\in \perp_F$ 

  then show clause.welltyped-ground-instances bottom  $\subseteq \text{ground.G-Bot}$ 
  unfolding clause.welltyped-ground-instances-def
  by auto

next
  fix C :: ('f, 'v, 'ty) typed-clause

```

```

assume clause.welltyped-ground-instances  $C \cap \text{ground}.G\text{-Bot} \neq \{\}$ 

moreover then have fst  $C = \{\#\}$ 
  unfolding clause.welltyped-ground-instances-def
  by simp

then have  $C = (\{\#\}, \text{snd } C)$ 
  by (metis split-pairs)

ultimately show  $C \in \perp_F$ 
  unfolding clause.welltyped-ground-instances-def
  by blast
next
  fix  $\iota :: ('f, 'v, 'ty)$  typed-clause inference
  show the ((Some  $\circ$  inference-ground-instances)  $\iota) \subseteq$ 
    ground.GRed-I (clause.welltyped-ground-instances (concl-of  $\iota$ ))
  using ground-inference-red-in-welltyped-ground-instances-of-concl
  by auto
qed

end

context superposition-calculus
begin

sublocale
  lifting-intersection
  inferences
   $\{\{\#\}\}$ 
  selectGs
  ground-superposition-calculus.G-Inf ( $\prec_{tG}$ )
   $\lambda\text{-}.$  ground-superposition-calculus.G-entails
  ground-superposition-calculus.GRed-I ( $\prec_{tG}$ )
   $\lambda\text{-}.$  ground-superposition-calculus.GRed-F ( $\prec_{tG}$ )
   $\perp_F$ 
   $\lambda\text{-}.$  clause.welltyped-ground-instances
   $\lambda$ selectG. Some  $\circ$  (grounded-superposition-calculus.inference-ground-instances
( $\prec_i$ ) selectG  $\mathcal{F}$ )
  typed-tiebreakers
proof(unfold-locales; (intro ballI)?)
  show selectGs  $\neq \{\}$ 
  using selectG-simple
  unfolding selectGs-def
  by blast
next
  fix selectG
  assume selectG  $\in$  selectGs

```

```

then interpret grounded-superposition-calculus
  where  $\text{select}_G = \text{select}_G$ 
  by unfold-locales (simp add:  $\text{select}_{Gs}\text{-def}$ )

show consequence-relation ground.G-Bot ground.G-entails
  using ground.consequence-relation-axioms.

show tiebreaker-lifting
   $\perp_F$ 
  inferences
  ground.G-Bot
  ground.G-entails
  ground.G-Inf
  ground.GRed-I
  ground.GRed-F
  clause.welltyped-ground-instances
  (Some  $\circ$  inference-ground-instances)
  typed-tiebreakers
  by unfold-locales
qed

end

end
theory Superposition-Welltypedness-Preservation
  imports Superposition
begin

context superposition-calculus
begin

lemma eq-resolution-preserves-typing:
  assumes eq-resolution (D, V) (C, V)
  shows clause.is-welltyped V D  $\longleftrightarrow$  clause.is-welltyped V C
  using assms
  by (cases (D, V) (C, V) rule: eq-resolution.cases) auto

lemma eq-factoring-preserves-typing:
  assumes eq-factoring (D, V) (C, V)
  shows clause.is-welltyped V D  $\longleftrightarrow$  clause.is-welltyped V C
  using assms
  by (cases (D, V) (C, V) rule: eq-factoring.cases) fastforce

lemma superposition-preserves-typing-C:
  assumes
    superposition: superposition (D, V2) (E, V1) (C, V3) and
    D-is-welltyped: clause.is-welltyped V2 D and
    E-is-welltyped: clause.is-welltyped V1 E
  shows clause.is-welltyped V3 C

```

```

using superposition
proof (cases (D, V2) (E, V1) (C, V3) rule: superposition.cases)
  case (superpositionI  $\varrho_1 \varrho_2 l_1 E' l_2 D' \mathcal{P} c_1 t_1 t_1' t_2 t_2' \mu$ )
    then have welltyped- $\mu$ :
      term.subst.is-welltyped-on (clause.vars (E ·  $\varrho_1$ )  $\cup$  clause.vars (D ·  $\varrho_2$ )) V3  $\mu$ 
      by meson

    have clause.is-welltyped V3 (E ·  $\varrho_1$ )
      using E-is-welltyped clause.is-welltyped.typed-renaming[OF superpositionI(3,
      13)]
      by blast

    then have E $\mu$ -is-welltyped: clause.is-welltyped V3 (E ·  $\varrho_1 \odot \mu$ )
      using welltyped- $\mu$ 
      by simp

    have clause.is-welltyped V3 (D ·  $\varrho_2$ )
      using D-is-welltyped clause.is-welltyped.typed-renaming[OF superpositionI(4,
      14)]
      by blast

    then have D $\mu$ -is-welltyped: clause.is-welltyped V3 (D ·  $\varrho_2 \odot \mu$ )
      using welltyped- $\mu$ 
      by simp

    have imgu:  $t_1 \cdot t \varrho_1 \odot \mu = t_2 \cdot t \varrho_2 \odot \mu$ 
      using superpositionI(12) term.is-imgu-unifies-pair
      by auto

    from literal-cases[OF superpositionI(8)] E $\mu$ -is-welltyped D $\mu$ -is-welltyped imgu
    show ?thesis
      unfolding superpositionI
      by cases auto
    qed

lemma superposition-preserves-typing-D:
  assumes
    superposition: superposition (D, V2) (E, V1) (C, V3) and
    C-is-welltyped: clause.is-welltyped V3 C
  shows clause.is-welltyped V2 D
  using superposition
  proof (cases (D, V2) (E, V1) (C, V3) rule: superposition.cases)
    case (superpositionI  $\varrho_1 \varrho_2 l_1 E' l_2 D' \mathcal{P} c_1 t_1 t_1' t_2 t_2' \mu$ )
      have  $\mu$ -is-welltyped:
        term.subst.is-welltyped-on (clause.vars (E ·  $\varrho_1$ )  $\cup$  clause.vars (D ·  $\varrho_2$ )) V3  $\mu$ 
        using superpositionI(12)
        by blast

```

```

show ?thesis
proof-
  have clause.is-welltyped  $\mathcal{V}_2 D'$ 
  proof-
    have clause.is-welltyped  $\mathcal{V}_3 (D' \cdot \varrho_2)$ 
    using C-is-welltyped  $\mu$ -is-welltyped
    unfolding superpositionI
    by auto

  moreover have  $\forall x \in \text{clause.vars } D'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{clause.rename } \varrho_2 x)$ 
  using superpositionI(4)
  unfolding superpositionI
  by simp

  ultimately show ?thesis
  using clause.is-welltyped.typed-renaming[OF superpositionI(4)]
  unfolding superpositionI
  by blast
qed

moreover have literal.is-welltyped  $\mathcal{V}_2 l_2$ 
proof-
  have  $\mathcal{V}_2 \cdot \mathcal{V}_3: \forall x \in \text{literal.vars } l_2. \mathcal{V}_2 x = \mathcal{V}_3 (\text{clause.rename } \varrho_2 x)$ 
  using superpositionI(4)
  unfolding superpositionI
  by auto

  have literal.is-welltyped  $\mathcal{V}_3 (l_2 \cdot l \cdot \varrho_2)$ 
  proof-
    obtain  $\tau$  where  $\tau: \text{welltyped } \mathcal{V}_3 (t_2 \cdot t \cdot \varrho_2) \tau$ 
    using superpositionI(12)
    by force

  moreover obtain  $\tau'$  where  $\tau': \text{welltyped } \mathcal{V}_3 (t_2' \cdot t \cdot \varrho_2) \tau'$ 
  proof-
    have  $\mu$ -is-welltyped: term.subst.is-welltyped-on (term.vars (( $c_1 \cdot t_c \cdot \varrho_1$ ) $\langle t_2' \cdot t \cdot \varrho_2 \rangle)) \mathcal{V}_3 \mu$ 
    using  $\mu$ -is-welltyped superpositionI(8)
    unfolding superpositionI
    by auto

  have term.is-welltyped  $\mathcal{V}_3 ((c_1 \cdot t_c \cdot \varrho_1) \langle t_2' \cdot t \cdot \varrho_2 \rangle \cdot t \cdot \mu)$ 
  using C-is-welltyped superpositionI(8)
  unfolding superpositionI
  by auto

```

```

then show ?thesis
  unfolding term.welltyped.explicit-subst-stability[OF  $\mu$ -is-welltyped]
  using that term.welltyped.subterm
  by meson
qed

moreover have  $\tau = \tau'$ 
proof-
  have welltyped  $\mathcal{V}_2 t_2 \tau$  welltyped  $\mathcal{V}_2 t_2' \tau'$ 
  using
     $\tau \tau'$ 
    superpositionI(12, 14)
    term.welltyped.explicit-typed-renaming[OF superpositionI(4)]
  unfolding superpositionI
  by(auto simp: Set.ball-Un)

then show ?thesis
  using superpositionI(17)
  by (simp add: term.typed-if-welltyped)
qed

ultimately show ?thesis
  unfolding superpositionI
  by auto
qed

then show ?thesis
  using literal.is-welltyped.typed-renaming[OF superpositionI(4)  $\mathcal{V}_2\text{-}\mathcal{V}_3$ ]
  unfolding superpositionI
  by simp
qed

ultimately show ?thesis
  unfolding superpositionI
  by simp
qed
qed

lemma superposition-preserves-typing-E:
assumes
  superposition: superposition ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C, \mathcal{V}_3$ ) and
  C-is-welltyped: clause.is-welltyped  $\mathcal{V}_3 C$ 
  shows clause.is-welltyped  $\mathcal{V}_1 E$ 
  using superposition
proof (cases ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C, \mathcal{V}_3$ ) rule: superposition.cases)
  case (superpositionI  $\varrho_1 \varrho_2 l_1 E' l_2 D' \mathcal{P} c_1 t_1 t_1' t_2 t_2' \mu$ )
    have [simp]:  $\bigwedge a \sigma. \mathcal{P} a \cdot l \sigma = \mathcal{P} (a \cdot a \sigma)$ 
    using superpositionI(8)

```

by auto

```
have [simp]:  $\bigwedge \mathcal{V} a. \text{literal.is-welltyped } \mathcal{V} (\mathcal{P} a) \longleftrightarrow \text{atom.is-welltyped } \mathcal{V} a$ 
  using superpositionI(8)
  by (auto simp: literal-is-welltyped-iff-atm-of)
```

```
have [simp]:  $\bigwedge a. \text{literal.vars } (\mathcal{P} a) = \text{atom.vars } a$ 
  using superpositionI(8)
  by auto
```

```
have  $\mu\text{-is-welltyped}:$ 
  term.subst.is-welltyped-on (clause.vars (E  $\cdot$   $\varrho_1$ )  $\cup$  clause.vars (D  $\cdot$   $\varrho_2$ ))  $\mathcal{V}_3 \mu$ 
  using superpositionI(12)
  by blast
```

show ?thesis

proof –

```
have clause.is-welltyped  $\mathcal{V}_1 E'$ 
```

proof –

```
have clause.is-welltyped  $\mathcal{V}_3 (E' \cdot \varrho_1)$ 
```

```
  using C-is-welltyped  $\mu\text{-is-welltyped}$ 
```

```
  unfolding superpositionI
```

```
  by auto
```

```
moreover have  $\forall x \in \text{clause.vars } E'. \mathcal{V}_1 x = \mathcal{V}_3 (\text{clause.rename } \varrho_1 x)$ 
```

```
  using superpositionI(13)
```

```
  unfolding superpositionI
```

```
  by simp
```

ultimately show ?thesis

```
  using clause.is-welltyped.typed-renaming[OF superpositionI(3)]
```

```
  unfolding superpositionI
```

```
  by blast
```

qed

moreover have literal.is-welltyped  $\mathcal{V}_1 l_1$

proof –

```
have  $\mathcal{V}_1 \cdot \mathcal{V}_3: \forall x \in \text{literal.vars } l_1. \mathcal{V}_1 x = \mathcal{V}_3 (\text{clause.rename } \varrho_1 x)$ 
```

```
  using superpositionI(13)
```

```
  unfolding superpositionI
```

```
  by auto
```

```
have literal.is-welltyped  $\mathcal{V}_3 (\mathcal{P} (\text{Upair } (c_1 \cdot t_c \varrho_1) \langle t_1 \cdot t \varrho_1 \rangle (t_1' \cdot t \varrho_1)))$ 
```

proof –

```
have  $\mu\text{-is-welltyped}:$ 
```

```
  term.subst.is-welltyped-on
```

```
  (clause.vars (add-mset ( $\mathcal{P} (\text{Upair } (c_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (t_1' \cdot t \varrho_1))) (E' \cdot$ 
```

```

 $\varrho_1 + D' \cdot \varrho_2)))$ 
 $\mathcal{V}_3 \mu$ 
using  $\mu$ -is-welltyped
unfolding superpositionI
by auto

have atom.is-welltyped  $\mathcal{V}_3$  ( $\text{Upair} (t_2' \cdot t \varrho_2) (t_1 \cdot t \varrho_1))$ 
using
    superpositionI(12)
    superposition-preserves-typing-D[ $\text{OF superposition } C\text{-is-welltyped}$ ]
    clause.is-welltyped.typed-renaming[ $\text{OF superpositionI(4) superpositionI(14)}$ ]
unfolding superpositionI
by auto

moreover have literal.is-welltyped  $\mathcal{V}_3$  ( $\mathcal{P} (\text{Upair} (c_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (t_1' \cdot t \varrho_1)))$ 
using  $C$ -is-welltyped
unfolding superpositionI clause.is-welltyped.subst-stability[ $\text{OF } \mu\text{-is-welltyped}$ ]
by simp

ultimately show ?thesis
by auto
qed

then show ?thesis
using literal.is-welltyped.typed-renaming[ $\text{OF superpositionI(3) } \mathcal{V}_1\text{-}\mathcal{V}_3$ ]
unfolding superpositionI
by simp
qed

ultimately show ?thesis
unfolding superpositionI
by simp
qed

lemma superposition-preserves-typing:
assumes superposition ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C, \mathcal{V}_3$ )
shows clause.is-welltyped  $\mathcal{V}_2$   $D \wedge$  clause.is-welltyped  $\mathcal{V}_1$   $E \longleftrightarrow$  clause.is-welltyped
 $\mathcal{V}_3$   $C$ 
using
    superposition-preserves-typing-C
    superposition-preserves-typing-D
    superposition-preserves-typing-E
    assms
by blast

end

```

```

end
theory Superposition-Completeness
imports
  Grounded-Superposition
  Ground-Superposition-Completeness
  Superposition-Welltypedness-Preservation

  First-Order-Clause.Nonground-Entailment
begin

3 Completeness

context grounded-superposition-calculus
begin

3.1 Liftings

lemma eq-resolution-lifting:
  fixes
     $D_G \ C_G :: 'f \ ground\text{-}atom \ clause \ and$ 
     $D \ C :: ('f, 'v) \ atom \ clause \ and$ 
     $\gamma :: ('f, 'v) \ subst$ 
  defines
    [simp]:  $D_G \equiv clause.to-ground (D \cdot \gamma)$  and
    [simp]:  $C_G \equiv clause.to-ground (C \cdot \gamma)$ 
  assumes
    ground-eq-resolution: ground.eq-resolution  $D_G \ C_G$  and
    D-grounding: clause.is-ground  $(D \cdot \gamma)$  and
    C-grounding: clause.is-ground  $(C \cdot \gamma)$  and
    select: clause.from-ground  $(select_G D_G) = (select D) \cdot \gamma$  and
    D-is-welltyped: clause.is-welltyped  $\mathcal{V} \ D$  and
    gamma-is-welltyped: term.subst.is-welltyped-on  $(clause.vars D) \ \mathcal{V} \ \gamma$  and
    V: infinite-variables-per-type  $\mathcal{V}$ 
  obtains  $C'$ 
  where
    eq-resolution  $(D, \mathcal{V}) \ (C', \mathcal{V})$ 
    Infer  $[D_G] \ C_G \in inference-ground-instances (Infer [(D, \mathcal{V})]) \ (C', \mathcal{V})$ 
     $C' \cdot \gamma = C \cdot \gamma$ 
  using ground-eq-resolution
  proof(cases  $D_G \ C_G$  rule: ground.eq-resolution.cases)
  case ground-eq-resolutionI: (eq-resolutionI  $l_G \ D_G' \ t_G$ )
    let ?selectG-empty = selectG  $D_G = \{\#\}$ 
    let ?selectG-not-empty = selectG  $D_G \neq \{\#\}$ 
    obtain  $l$  where
      l-gamma:  $l \cdot l \cdot \gamma = term.from-ground t_G \ !\approx term.from-ground t_G$  and
      l-in-D:  $l \in \# D$  and

```

$l\text{-selected}$ :  $\text{?select}_G\text{-not-empty} \implies \text{is-maximal } l \ (\text{select } D) \text{ and}$   
 $l\gamma\text{-selected}$ :  $\text{?select}_G\text{-not-empty} \implies \text{is-maximal } (l \cdot l \gamma) \ (\text{select } D \cdot \gamma) \text{ and}$   
 $l\text{-is-maximal}$ :  $\text{?select}_G\text{-empty} \implies \text{is-maximal } l \ D \text{ and}$   
 $l\gamma\text{-is-maximal}$ :  $\text{?select}_G\text{-empty} \implies \text{is-maximal } (l \cdot l \gamma) \ (D \cdot \gamma)$

**proof**–

**obtain**  $max-l$  **where**

$is\text{-maximal } max-l \ D \text{ and}$

$is\text{-max-in-}D\gamma: is\text{-maximal } (max-l \cdot l \gamma) \ (D \cdot \gamma)$

**proof**–

**have**  $D \neq \{\#\}$

**using**  $ground\text{-eq-resolutionI}(1)$

**by**  $auto$

**then show**  $?thesis$

**using**  $that \ D\text{-grounding obtain-maximal-literal}$

**by**  $blast$

**qed**

**moreover then have**  $max-l \in \# \ D$

**unfolding**  $is\text{-maximal-def}$

**by**  $blast$

**moreover have**  $max-l \cdot l \gamma = term\text{.from-ground } t_G \ !\approx term\text{.from-ground } t_G \ if$   
 $\text{?select}_G\text{-empty}$

**proof**(rule  $unique\text{-maximal-in-ground-clause}[OF \ D\text{-grounding } is\text{-max-in-}D\gamma]$ )

**have**  $ground\text{-is-maximal } l_G \ D_G$

**using**  $ground\text{-eq-resolutionI}(3)$  **that**

**unfolding**  $is\text{-maximal-def}$

**by**  $simp$

**then show**  $is\text{-maximal } (term\text{.from-ground } t_G \ !\approx term\text{.from-ground } t_G) \ (D \cdot$

$\gamma)$

**using**  $D\text{-grounding}$

**unfolding**  $ground\text{-eq-resolutionI}(2)$

**by**  $simp$

**qed**

**moreover obtain**  $selected-l$  **where**

$selected-l \cdot l \gamma = term\text{.from-ground } t_G \ !\approx term\text{.from-ground } t_G \ text{ and}$

$is\text{-maximal } selected-l \ (\text{select } D)$

$is\text{-maximal } (selected-l \cdot l \gamma) \ (\text{select } D \cdot \gamma)$

**if**  $\text{?select}_G\text{-not-empty}$

**proof**–

**have**  $is\text{-maximal } (term\text{.from-ground } t_G \ !\approx term\text{.from-ground } t_G) \ (\text{select } D \cdot$

$\gamma)$

**if**  $\text{?select}_G\text{-not-empty}$

**using**  $ground\text{-eq-resolutionI}(3)$  **that**  $\text{select}$

**unfolding**  $ground\text{-eq-resolutionI}(2)$

**by**  $simp$

```

then show ?thesis
  using
    that
      obtain-maximal-literal[OF - select-ground-subst[OF D-grounding]]
      unique-maximal-in-ground-clause[OF select-ground-subst[OF D-grounding]]
    by (metis is-maximal-not-empty clause.magma-subst-empty)
  qed

moreover then have selected-l ∈# D if ?selectG-not-empty
  by (meson that maximal-in-clause mset-subset-eqD select-subset)

ultimately show ?thesis
  using that
  by blast
qed

obtain C' where D: D = add-mset l C'
  using multi-member-split[OF l-in-D]
  by blast

obtain t t' where l: l = t ≈ t'
  using l-γ obtain-from-neg-literal-subst
  by meson

obtain μ σ where γ: γ = μ ⊕ σ and imgu: welltyped-imgu-on (clause.vars D)
   $\forall t t' \mu$ 
  proof-
    have unified: t · t · γ = t' · t · γ
    using l-γ
    unfolding l
    by simp

moreover obtain τ where welltyped: welltyped  $\forall t \tau$  welltyped  $\forall t' \tau$ 
  using D-is-welltyped
  unfolding D l
  by auto

show ?thesis
  using obtain-welltyped-imgu-on[OF unified welltyped] that
  by metis
qed

show ?thesis
proof(rule that)

show eq-resolution: eq-resolution (D, V) (C' · μ, V)
proof (rule eq-resolutionI, rule D, rule l, rule imgu)
  show select D = {#} ∧ is-maximal (l · l · μ) (D · μ) ∨ is-maximal (l · l · μ)

```

```

((select D) · μ)
  proof(cases ?selectG-empty)
    case True

      moreover have is-maximal (l · l μ) (D · μ)
      proof-
        have l · l μ ∈# D · μ
        using l-in-D
        by blast

        then show ?thesis
        using l-γ-is-maximal[OF True] is-maximal-if-grounding-is-maximal
        D-grounding
        unfolding γ
        by simp
      qed

      ultimately show ?thesis
      using select
      by simp
    next
    case False

      have l · l μ ∈# select D · μ
      using l-selected[OF False] maximal-in-clause
      by blast

      then have is-maximal (l · l μ) (select D · μ)
      using
        select-ground-subst[OF D-grounding]
        l-γ-selected[OF False]
        is-maximal-if-grounding-is-maximal
      unfolding γ
      by auto

      then show ?thesis
      using select
      by blast
    qed
  qed (rule refl)

  show C'-μ-γ: C' · μ · γ = C · γ
  proof-
    have term.is-idem μ
    using imgu
    unfolding term-subst.is-imgu-iff-is-idem-and-is-mgu
    by blast

    then have μ-γ: μ ⊕ γ = γ
  
```

```

unfolding  $\gamma$  term-subst.is-idem-def subst-compose-assoc[symmetric]
by argo

have  $D \cdot \gamma = add\text{-}mset(l \cdot l \gamma)(C \cdot \gamma)$ 
proof-
  have clause.to-ground ( $D \cdot \gamma$ ) = clause.to-ground ( $add\text{-}mset(l \cdot l \gamma)(C \cdot \gamma)$ )
    using ground-eq-resolutionI(1)
unfolding ground-eq-resolutionI(2)  $l\text{-}\gamma$  ground-eq-resolutionI(4)[symmetric]
    by simp

  moreover have clause.is-ground ( $add\text{-}mset(l \cdot l \gamma)(C \cdot \gamma)$ )
    using C-grounding clause.to-set-is-ground-subst[OF l-in-D D-grounding]
    by simp

  ultimately show ?thesis
    using clause.to-ground-eq[OF D-grounding]
    by blast
qed

then have  $C' \cdot \gamma = C \cdot \gamma$ 
unfold D
by simp

then show ?thesis
  unfold clause.subst-comp-subst[symmetric]  $\mu\text{-}\gamma$ .
qed

show Infer [ $D_G$ ]  $C_G \in inference\text{-}ground\text{-}instances$  (Infer [( $D, V$ )] ( $C' \cdot \mu, V$ ))
proof (rule is-inference-ground-instance-one-premise)

  show is-inference-ground-instance-one-premise ( $D, V$ ) ( $C' \cdot \mu, V$ ) (Infer [ $D_G$ ])
 $C_G \cdot \gamma$ 
  proof(unfold split, intro conjI; (rule D-is-welltyped refl V)?)
    show inference.is-ground (Infer [ $D$ ] ( $C' \cdot \mu \cdot \iota \gamma$ )
      using D-grounding C-grounding C'-mu-gamma
      by auto
next
  show Infer [ $D_G$ ]  $C_G = inference\text{-}to\text{-}ground$  (Infer [ $D$ ] ( $C' \cdot \mu \cdot \iota \gamma$ ))
    using C'-mu-gamma
    by simp
next
  have clause.vars ( $C' \cdot \mu$ )  $\subseteq clause\text{-}vars D$ 
    using clause.variables-in-base-imgu imgu
    unfold D l
    by auto

then show termsubst.is-welltyped-on (clause.vars ( $C' \cdot \mu$ ))  $V \cdot \gamma$ 
  using D-is-welltyped gamma-is-welltyped

```

```

    by blast
next
  show clause.is-welltyped V (C' · μ)
    using D-is-welltyped eq-resolution eq-resolution-preserves-typing
      by blast
qed

show Infer [D_G] C_G ∈ ground.G-Inf
  unfolding ground.G-Inf-def
  using ground-eq-resolution
    by blast
qed
qed
qed

lemma eq-factoring-lifting:
fixes
  D_G C_G :: 'f ground-atom clause and
  D C :: ('f, 'v) atom clause and
  γ :: ('f, 'v) subst
defines
  [simp]: D_G ≡ clause.to-ground (D · γ) and
  [simp]: C_G ≡ clause.to-ground (C · γ)
assumes
  ground-eq-factoring: ground.eq-factoring D_G C_G and
  D-grounding: clause.is-ground (D · γ) and
  C-grounding: clause.is-ground (C · γ) and
  select: clause.from-ground (select_G D_G) = (select D) · γ and
  D-is-welltyped: clause.is-welltyped V D and
  γ-is-welltyped: term.subst.is-welltyped-on (clause.vars D) V γ and
  V: infinite-variables-per-type V
obtains C'
where
  eq-factoring (D, V) (C', V)
  Infer [D_G] C_G ∈ inference-ground-instances (Infer [(D, V)] (C', V))
  C' · γ = C · γ
  using ground-eq-factoring
proof(cases D_G C_G rule: ground.eq-factoring.cases)
  case ground-eq-factoringI: (eq-factoringI l_G1 l_G2 D_G' t_G1 t_G2 t_G3)

have D ≠ {#}
  using ground-eq-factoringI(1)
  by auto

then obtain l_1 where
  l_1-is-maximal: is-maximal l_1 D and
  l_1·γ-is-maximal: is-maximal (l_1 · l γ) (D · γ)
  using that obtain-maximal-literal D-grounding
  by blast

```

**obtain**  $t_1 t_1'$  **where**

- $l_1: l_1 = t_1 \approx t_1'$  **and**
- $l_1 \cdot \gamma: l_1 \cdot l \cdot \gamma = \text{term.from-ground } t_{G1} \approx \text{term.from-ground } t_{G2}$  **and**
- $t_1 \cdot \gamma: t_1 \cdot t \cdot \gamma = \text{term.from-ground } t_{G1}$  **and**
- $t_1' \cdot \gamma: t_1' \cdot t \cdot \gamma = \text{term.from-ground } t_{G2}$

**proof—**

- have** *is-maximal (literal.from-ground  $l_{G1}$ ) ( $D \cdot \gamma$ )*
- using** *ground-eq-factoringI(5) D-grounding*
- by** *simp*

**then have**  $l_1 \cdot l \cdot \gamma = \text{term.from-ground } t_{G1} \approx \text{term.from-ground } t_{G2}$

- unfolding** *ground-eq-factoringI(2)*
- using** *unique-maximal-in-ground-clause[OF D-grounding  $l_1 \cdot \gamma$ -is-maximal]*
- by** *simp*

**then show** *?thesis*

- using** *that*
- unfolding** *ground-eq-factoringI(2)*
- by** *(metis obtain-from-pos-literal-subst)*

**qed**

**obtain**  $l_2 D'$  **where**

- $l_2 \cdot \gamma: l_2 \cdot l \cdot \gamma = \text{term.from-ground } t_{G1} \approx \text{term.from-ground } t_{G3}$  **and**
- $D: D = \text{add-mset } l_1 (\text{add-mset } l_2 D')$

**proof—**

- obtain**  $D''$  **where**  $D: D = \text{add-mset } l_1 D''$
- using** *maximal-in-clause[OF  $l_1$ -is-maximal]*
- by** *(meson multi-member-split)*

**moreover have**  $D \cdot \gamma = \text{clause.from-ground } (\text{add-mset } l_{G1} (\text{add-mset } l_{G2} D_G'))$

- using** *ground-eq-factoringI(1)  $D_G$ -def*
- by** *(metis D-grounding clause.to-ground-inverse)*

**ultimately have**  $D'' \cdot \gamma = \text{add-mset } (\text{literal.from-ground } l_{G2}) (\text{clause.from-ground } D_G')$

- using**  *$l_1 \cdot \gamma$*
- by** *(simp add: ground-eq-factoringI(2))*

**then obtain**  $l_2$  **where**  $l_2 \cdot l \cdot \gamma = \text{term.from-ground } t_{G1} \approx \text{term.from-ground } t_{G3}$   $l_2 \in \# D''$

- unfolding** *clause.subst-def ground-eq-factoringI*
- using** *msed-map-invR*
- by** *force*

**then show** *?thesis*

- using** *that*
- unfolding** *D*
- by** *(metis mset-add)*

qed

then obtain  $t_2 t_2'$  where

$t_2: l_2 = t_2 \approx t_2'$  and

$t_2\gamma: t_2 \cdot t \gamma = \text{term.from-ground } t_{G1}$  and

$t_2'\gamma: t_2' \cdot t \gamma = \text{term.from-ground } t_{G3}$

unfolding ground-eq-factoringI(3)

using obtain-from-pos-literal-subst

by metis

have  $D'\gamma: D' \cdot \gamma = \text{clause.from-ground } D_G'$

using  $D$   $D$ -grounding ground-eq-factoringI(1,2,3)  $l_1\gamma l_2\gamma$

by force

obtain  $\mu \sigma$  where  $\gamma: \gamma = \mu \odot \sigma$  and  $\text{imgu}: \text{welltyped-imgu-on}(\text{clause.vars } D)$

$\forall t_1 t_2 \mu$

proof—

have unified:  $t_1 \cdot t \gamma = t_2 \cdot t \gamma$

unfolding  $t_1\gamma t_2\gamma ..$

then obtain  $\tau$  where welltyped  $\mathcal{V}(t_1 \cdot t \gamma) \tau$  welltyped  $\mathcal{V}(t_2 \cdot t \gamma) \tau$

using  $D$ -is-welltyped  $\gamma$ -is-welltyped

unfolding  $D l_1 l_2$

by auto

then have welltyped: welltyped  $\mathcal{V} t_1 \tau$  welltyped  $\mathcal{V} t_2 \tau$

using  $\gamma$ -is-welltyped

unfolding  $D l_1 l_2$

by simp-all

then show ?thesis

using obtain-welltyped-imgu-on[*OF unified welltyped*] that

by metis

qed

let  $?C'' = \text{add-mset}(t_1 \approx t_2') (\text{add-mset}(t_1' \not\approx t_2') D')$

let  $?C' = ?C'' \cdot \mu$

show ?thesis

proof(rule that)

show eq-factoring: eq-factoring  $(D, \mathcal{V}) (?C', \mathcal{V})$

proof (rule eq-factoringI; (rule  $D l_1 l_2 \text{imgu refl}$ )?)

show select  $D = \{\#\}$

using ground-eq-factoringI(4) select

by simp

next

have  $l_1 \cdot l \mu \in \# D \cdot \mu$

using  $l_1$ -is-maximal clause.subst-in-to-set-subst maximal-in-clause

by *blast*

**then show** *is-maximal*  $(l_1 \cdot l \mu) (D \cdot \mu)$   
**using** *is-maximal-if-grounding-is-maximal* *D-grounding*  $l_1 \cdot \gamma$ -*is-maximal*  
**unfolding**  $\gamma$   
**by** *auto*

**next**

**have** *groundings*: *term.is-ground*  $(t_1' \cdot t \mu \cdot t \sigma)$  *term.is-ground*  $(t_1 \cdot t \mu \cdot t \sigma)$   
**using**  $t_1' \cdot \gamma$   $t_1 \cdot \gamma$   
**unfolding**  $\gamma$   
**by** *simp-all*

**have**  $t_1' \cdot t \gamma \prec_t t_1 \cdot t \gamma$   
**using** *ground-eq-factoringI*(6)  
**unfolding**  $t_1' \cdot \gamma$   $t_1 \cdot \gamma$  *term.order.less<sub>G</sub>-def.*

**then show**  $\neg t_1 \cdot t \mu \preceq_t t_1' \cdot t \mu$   
**unfolding**  $\gamma$   
**using** *term.order.ground-less-not-less-eq*[*OF groundings*]  
**by** *simp*

**qed**

**show**  $C' \cdot \gamma : ?C' \cdot \gamma = C \cdot \gamma$   
**proof**–

**have** *term.is-idem*  $\mu$   
**using** *imgu*  
**unfolding** *term-subst.is-imgu-iff-is-idem-and-is-imgu*  
**by** *blast*

**then have**  $\mu \cdot \gamma : \mu \odot \gamma = \gamma$   
**unfolding**  $\gamma$  *term-subst.is-idem-def subst-compose-assoc[symmetric]*  
**by** *argo*

**have**  $C \cdot \gamma = clause.from-ground (add-mset (t_{G2} \approx t_{G3}) (add-mset (t_{G1} \approx t_{G3}) D_G'))$   
**using** *ground-eq-factoringI*(7) *clause.to-ground-eq*[*OF C-grounding clause.ground-is-ground*]  
**unfolding**  $C_G\text{-def}$   
**by** (*metis clause.from-ground-inverse*)

**also have**  $\dots = ?C'' \cdot \gamma$   
**using**  $t_1 \cdot \gamma$   $t_1' \cdot \gamma$   $t_2' \cdot \gamma$   $D' \cdot \gamma$   
**by** *simp*

**also have**  $\dots = ?C' \cdot \gamma$   
**unfolding** *clause.subst-comp-subst[symmetric]*  $\mu \cdot \gamma \dots$

**finally show** *?thesis* ..

**qed**

```

show Infer [DG] CG ∈ inference-ground-instances (Infer [(D, V)] (?C', V))
proof (rule is-inference-ground-instance-one-premise)

    show is-inference-ground-instance-one-premise (D, V) (?C', V) (Infer [DG]
CG) γ
        proof(unfold split, intro conjI; (rule D-is-welltyped refl V)?)
            show inference.is-ground (Infer [D] ?C' ·ι γ)
                using C-grounding D-grounding C'-γ
                by auto
        next
            show Infer [DG] CG = inference.to-ground (Infer [D] ?C' ·ι γ)
                using C'-γ
                by simp
        next
            have imgu: term.is-imgu μ {{t1, t2}}
                using imgu
                by blast

            have clause.vars ?C' ⊆ clause.vars D
                using clause.variables-in-base-imgu[OF imgu, of ?C']
                unfolding D l1 l2
                by auto

            then show term.subst.is-welltyped-on (clause.vars ?C') V γ
                using D-is-welltyped γ-is-welltyped
                by blast
            next
                show clause.is-welltyped V ?C'
                    using D-is-welltyped eq-factoring eq-factoring-preserves-typing
                    by blast
            qed

            show Infer [DG] CG ∈ ground.G-Inf
                unfolding ground.G-Inf-def
                using ground-eq-factoring
                by blast
            qed
            qed
            qed

lemma superposition-lifting:
fixes
EG DG CG :: 'f ground-atom clause and
E D C :: ('f, 'v) atom clause and
γ ρ1 ρ2 :: ('f, 'v) subst and
V1 V2 :: ('v, 'ty) var-types
defines
[simp]: EG ≡ clause.to-ground (E · ρ1 ⊕ γ) and
[simp]: DG ≡ clause.to-ground (D · ρ2 ⊕ γ) and

```

$[simp]: C_G \equiv \text{clause.to-ground } (C \cdot \gamma) \text{ and}$   
 $[simp]: N_G \equiv \text{clause.welltyped-ground-instances } (E, \mathcal{V}_1) \cup$   
 $\quad \text{clause.welltyped-ground-instances } (D, \mathcal{V}_2) \text{ and}$   
 $[simp]: \iota_G \equiv \text{Infer } [D_G, E_G] C_G$   
**assumes**  
*ground-superposition: ground.superposition D<sub>G</sub> E<sub>G</sub> C<sub>G</sub> and*  
 $\varrho_1: \text{term-subst.is-renaming } \varrho_1 \text{ and}$   
 $\varrho_2: \text{term-subst.is-renaming } \varrho_2 \text{ and}$   
*rename-apart: clause.vars (E · ρ<sub>1</sub>) ∩ clause.vars (D · ρ<sub>2</sub>) = {} and*  
*E-grounding: clause.is-ground (E · ρ<sub>1</sub> ⊕ γ) and*  
*D-grounding: clause.is-ground (D · ρ<sub>2</sub> ⊕ γ) and*  
*C-grounding: clause.is-ground (C · γ) and*  
*select-from-E: clause.from-ground (select<sub>G</sub> E<sub>G</sub>) = (select E) · ρ<sub>1</sub> ⊕ γ and*  
*select-from-D: clause.from-ground (select<sub>G</sub> D<sub>G</sub>) = (select D) · ρ<sub>2</sub> ⊕ γ and*  
*E-is-welltyped: clause.is-welltyped V<sub>1</sub> E and*  
*D-is-welltyped: clause.is-welltyped V<sub>2</sub> D and*  
 $\varrho_1\text{-}\gamma\text{-is-welltyped: term.subst.is-welltyped-on (clause.vars E) V}_1 (\varrho_1 \odot \gamma) \text{ and}$   
 $\varrho_2\text{-}\gamma\text{-is-welltyped: term.subst.is-welltyped-on (clause.vars D) V}_2 (\varrho_2 \odot \gamma) \text{ and}$   
 $\varrho_1\text{-is-welltyped: term.subst.is-welltyped-on (clause.vars E) V}_1 \varrho_1 \text{ and}$   
 $\varrho_2\text{-is-welltyped: term.subst.is-welltyped-on (clause.vars D) V}_2 \varrho_2 \text{ and}$   
 $\mathcal{V}_1: \text{infinite-variables-per-type } \mathcal{V}_1 \text{ and}$   
 $\mathcal{V}_2: \text{infinite-variables-per-type } \mathcal{V}_2 \text{ and}$   
*not-redundant:  $\iota_G \notin \text{ground.Red-I } N_G$*   
**obtains**  $C' \mathcal{V}_3$   
**where**  
*superposition (D, V<sub>2</sub>) (E, V<sub>1</sub>) (C', V<sub>3</sub>)*  
 $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(D, \mathcal{V}_2), (E, \mathcal{V}_1)] (C', \mathcal{V}_3))$   
 $C' \cdot \gamma = C \cdot \gamma$   
**using** *ground-superposition*  
**proof**(cases  $D_G E_G C_G$  rule: *ground.superposition.cases*)  
**case** *ground-superpositionI*: (*superpositionI l<sub>G1</sub> E<sub>G</sub>' l<sub>G2</sub> D<sub>G</sub>' P<sub>G</sub> c<sub>G</sub> t<sub>G1</sub> t<sub>G2</sub> t<sub>G3</sub>*)  
  
**have**  $E\text{-}\gamma: E \cdot \varrho_1 \odot \gamma = \text{clause.from-ground } (\text{add-mset } l_{G1} E_G')$   
**using** *ground-superpositionI(1)*  
**unfolding**  $E_G\text{-def}$   
**by** (*metis E-grounding clause.to-ground-inverse*)  
  
**have**  $D\text{-}\gamma: D \cdot \varrho_2 \odot \gamma = \text{clause.from-ground } (\text{add-mset } l_{G2} D_G')$   
**using** *ground-superpositionI(2)*  $D_G\text{-def}$   
**by** (*metis D-grounding clause.to-ground-inverse*)  
  
**let** ?*select<sub>G</sub>-empty* = *select<sub>G</sub>* (*clause.to-ground (E · ρ<sub>1</sub> ⊕ γ)*) = {#}  
**let** ?*select<sub>G</sub>-not-empty* = *select<sub>G</sub>* (*clause.to-ground (E · ρ<sub>1</sub> ⊕ γ)*) ≠ {#}  
  
**obtain**  $l_1$  **where**  
 $l_1\text{-}\gamma: l_1 \cdot l \cdot \varrho_1 \odot \gamma = \text{literal.from-ground } l_{G1} \text{ and}$   
 $l_1\text{-is-strictly-maximal: } \mathcal{P}_G = \text{Pos} \implies \text{is-strictly-maximal } l_1 E \text{ and}$   
 $l_1\text{-is-maximal: } \mathcal{P}_G = \text{Neg} \implies ?\text{select}_G\text{-empty} \implies \text{is-maximal } l_1 E \text{ and}$   
 $l_1\text{-selected: } \mathcal{P}_G = \text{Neg} \implies ?\text{select}_G\text{-not-empty} \implies \text{is-maximal } l_1 (\text{select } E) \text{ and}$

$l_1$ -in- $E$ :  $l_1 \in \# E$

**proof –**

```
have E-not-empty:  $E \neq \{\#\}$ 
  using ground-superpositionI(1)
  by auto
```

```
have is-strictly-maximal (literal.from-ground  $l_{G1}$ ) ( $E \cdot \varrho_1 \odot \gamma$ ) if  $\mathcal{P}_G = Pos$ 
  using ground-superpositionI(9) that E-grounding
  by simp
```

```
then obtain positive-l1 where
  is-strictly-maximal positive-l1 E
  positive-l1 · l  $\varrho_1 \odot \gamma =$  literal.from-ground  $l_{G1}$ 
  if  $\mathcal{P}_G = Pos$ 
    using obtain-strictly-maximal-literal[OF E-grounding]
    by metis
```

```
moreover then have positive-l1 ∈ # E if  $\mathcal{P}_G = Pos$ 
  using that strictly-maximal-in-clause
  by blast
```

```
moreover then have is-maximal (literal.from-ground  $l_{G1}$ ) ( $E \cdot \varrho_1 \odot \gamma$ ) if
?selectG-empty
  using that ground-superpositionI(9) is-maximal-not-empty E-grounding
  by auto
```

```
then obtain negative-maximal-l1 where
  is-maximal negative-maximal-l1 E
  negative-maximal-l1 · l  $\varrho_1 \odot \gamma =$  literal.from-ground  $l_{G1}$ 
  if  $\mathcal{P}_G = Neg$  ?selectG-empty
    using
      obtain-maximal-literal[OF E-not-empty E-grounding[folded clause.subst-comp-subst]]
      unique-maximal-in-ground-clause[OF E-grounding[folded clause.subst-comp-subst]]
    by metis
```

```
moreover then have negative-maximal-l1 ∈ # E if  $\mathcal{P}_G = Neg$  ?selectG-empty
  using that maximal-in-clause
  by blast
```

```
moreover have ground-is-maximal  $l_{G1}$  (selectG EG) if  $\mathcal{P}_G = Neg$  ?selectG-not-empty
  using ground-superpositionI(9) that
  by simp
```

```
then obtain negative-selected-l1 where
  is-maximal negative-selected-l1 (select E)
  negative-selected-l1 · l  $\varrho_1 \odot \gamma =$  literal.from-ground  $l_{G1}$ 
  if  $\mathcal{P}_G = Neg$  ?selectG-not-empty
    using
```

*select-from-E*  
*unique-maximal-in-ground-clause*  
*obtain-maximal-literal*  
**unfolding**  $E_G\text{-def}$   
**by** (metis (no-types, lifting) clause.ground-is-ground clause.from-ground-empty'  
*clause.magma-subst-empty*)  
  
**moreover then have** negative-selected- $l_1 \in \# E$  **if**  $\mathcal{P}_G = \text{Neg}$  ?select<sub>G</sub>-not-empty'  
**using** that  
**by** (meson maximal-in-clause mset-subset-eqD select-subset)  
  
**ultimately show** ?thesis  
**using** that ground-superpositionI(9)  
**by** (metis literals-distinct(1))  
**qed**  
  
**obtain**  $E'$  **where**  $E: E = \text{add-mset } l_1 E'$   
**by** (meson  $l_1$ -in- $E$  multi-member-split)  
  
**then have**  $E'\cdot\gamma: E' \cdot \varrho_1 \odot \gamma = \text{clause.from-ground } E_G'$   
**using**  $l_1\cdot\gamma$   $E\cdot\gamma$   
**by** auto  
  
**let** ? $\mathcal{P}$  = if  $\mathcal{P}_G = \text{Pos}$  then Pos else Neg  
  
**have** [simp]:  $\mathcal{P}_G \neq \text{Pos} \longleftrightarrow \mathcal{P}_G = \text{Neg}$   $\mathcal{P}_G \neq \text{Neg} \longleftrightarrow \mathcal{P}_G = \text{Pos}$   
**using** ground-superpositionI(4)  
**by** auto  
  
**have** [simp]:  $\bigwedge a \sigma. \ ?\mathcal{P} a \cdot l \sigma = ?\mathcal{P} (a \cdot a \sigma)$   
**by** auto  
  
**have** [simp]:  $\bigwedge \mathcal{V} a. \ \text{literal.is-welltyped } \mathcal{V} (?\mathcal{P} a) \longleftrightarrow \text{atom.is-welltyped } \mathcal{V} a$   
**by** (auto simp: literal-is-welltyped-iff-atm-of)  
  
**have** [simp]:  $\bigwedge a. \ \text{literal.vars } (?\mathcal{P} a) = \text{atom.vars } a$   
**by** auto  
  
**have**  $l_1\cdot\gamma:$   
 $l_1 \cdot l \varrho_1 \odot \gamma = ?\mathcal{P} (\text{Upair } (\text{context.from-ground } c_G) \langle \text{term.from-ground } t_{G1} \rangle$   
 $(\text{term.from-ground } t_{G2}))$   
**unfolding** ground-superpositionI  $l_1\cdot\gamma$   
**by** simp  
  
**obtain**  $c_1 t_1 t_1'$  **where**  
 $t_1: l_1 = ?\mathcal{P} (\text{Upair } c_1 \langle t_1 \rangle t_1')$  **and**  
 $t_1'\cdot\gamma: t_1' \cdot t \varrho_1 \odot \gamma = \text{term.from-ground } t_{G2}$  **and**  
 $t_1\cdot\gamma: t_1 \cdot t \varrho_1 \odot \gamma = \text{term.from-ground } t_{G1}$  **and**  
 $c_1\cdot\gamma: c_1 \cdot t_c \varrho_1 \odot \gamma = \text{context.from-ground } c_G$  **and**

*t<sub>1</sub>-is-Fun: is-Fun t<sub>1</sub>*

**proof –**

**obtain c<sub>1</sub>-t<sub>1</sub> t<sub>1</sub>' where**  
*l<sub>1</sub>: l<sub>1</sub> = ?P (Upair c<sub>1</sub>-t<sub>1</sub> t<sub>1</sub>') and*  
*t<sub>1</sub>'-γ: t<sub>1</sub>' · t ρ<sub>1</sub> ⊕ γ = term.from-ground t<sub>G2</sub> and*  
*c<sub>1</sub>-t<sub>1</sub>-γ: c<sub>1</sub>-t<sub>1</sub> · t ρ<sub>1</sub> ⊕ γ = (context.from-ground c<sub>G</sub>)⟨term.from-ground t<sub>G1</sub>⟩*  
*using l<sub>1</sub>-γ*  
*by (smt (verit) obtain-from-literal-subst)*

**let ?inference-into-Fun =**  
 $\exists c_1 t_1.$   
*c<sub>1</sub>-t<sub>1</sub> = c<sub>1</sub>⟨t<sub>1</sub>⟩ and*  
*t<sub>1</sub> · t ρ<sub>1</sub> ⊕ γ = term.from-ground t<sub>G1</sub> and*  
*c<sub>1</sub> · t<sub>c</sub> ρ<sub>1</sub> ⊕ γ = context.from-ground c<sub>G</sub> and*  
*is-Fun t<sub>1</sub>*

**have ¬ ?inference-into-Fun  $\implies$  ground.redundant-infer N<sub>G</sub> t<sub>G</sub>**

**proof –**

**assume ¬ ?inference-into-Fun**

**with c<sub>1</sub>-t<sub>1</sub>-γ**  
**obtain t<sub>1</sub> c<sub>1</sub> c<sub>G</sub>' where**  
*c<sub>1</sub>-t<sub>1</sub>: c<sub>1</sub>-t<sub>1</sub> = c<sub>1</sub>⟨t<sub>1</sub>⟩ and*  
*t<sub>1</sub>-is-Var: is-Var t<sub>1</sub> and*  
*c<sub>G</sub>: c<sub>G</sub> = context.to-ground (c<sub>1</sub> · t<sub>c</sub> ρ<sub>1</sub> ⊕ γ) o<sub>c</sub> c<sub>G</sub>'*  
**proof(induction c<sub>1</sub>-t<sub>1</sub> arbitrary: c<sub>G</sub> thesis)**  
**case (Var x)**

**show ?case**  
**proof(rule Var.prems)**  
**show Var x = □⟨Var x⟩**  
**by simp**

**show is-Var (Var x)**  
**by simp**

**show c<sub>G</sub> = context.to-ground (□ · t<sub>c</sub> ρ<sub>1</sub> ⊕ γ) o<sub>c</sub> c<sub>G</sub>**  
**by (simp add: context.to-ground-def)**

**qed**

**next**

**case (Fun f ts)**

**have c<sub>G</sub> ≠ □**  
**using Fun.prems(2,3)**  
**unfolding context.from-ground-def**  
**by (metis actxt.simps(8) intp-actxt.simps(1) is-FunI)**

**then obtain ts<sub>G1</sub> c<sub>G</sub>' ts<sub>G2</sub> where**

```

 $c_G: c_G = \text{More } f \text{ } ts_{G1} \text{ } c_G' \text{ } ts_{G2}$ 
using Fun.prem
by (cases  $c_G$ ) (auto simp: context.from-ground-def)
have
 $\text{map } (\lambda t. t \cdot t \varrho_1 \odot \gamma) \text{ } ts =$ 
 $\text{map term.from-ground } ts_{G1} @ (\text{context.from-ground } c_G') \langle \text{term.from-ground } t_{G1} \rangle \#$ 
 $\text{map term.from-ground } ts_{G2}$ 
using Fun(3)
unfolding  $c_G$  context.from-ground-def
by simp

moreover then obtain  $ts_1 \text{ } t \text{ } ts_2$  where
 $ts: ts = ts_1 @ t \# ts_2$  and
 $ts_1\gamma: \text{map } (\lambda \text{term. term} \cdot t \varrho_1 \odot \gamma) \text{ } ts_1 = \text{map term.from-ground } ts_{G1}$  and
 $ts_2\gamma: \text{map } (\lambda \text{term. term} \cdot t \varrho_1 \odot \gamma) \text{ } ts_2 = \text{map term.from-ground } ts_{G2}$ 
by (smt append-eq-map-conv map-eq-Cons-D)

ultimately have  $t\gamma: t \cdot t \varrho_1 \odot \gamma = (\text{context.from-ground } c_G') \langle \text{term.from-ground } t_{G1} \rangle$ 
by simp

obtain  $t_1 \text{ } c_1 \text{ } c_G''$  where
 $t = c_1 \langle t_1 \rangle$  and
 $\text{is-Var } t_1$  and
 $c_G' = \text{context.to-ground } (c_1 \cdot t_c \varrho_1 \odot \gamma) \circ_c c_G''$ 
proof-
have  $t \in \text{set } ts$ 
by (simp add: ts)

moreover have
 $\nexists c_1 \text{ } t_1. t = c_1 \langle t_1 \rangle \wedge$ 
 $t_1 \cdot t \varrho_1 \odot \gamma = \text{term.from-ground } t_{G1} \wedge$ 
 $c_1 \cdot t_c \varrho_1 \odot \gamma = \text{context.from-ground } c_G' \wedge$ 
 $\text{is-Fun } t_1$ 
proof(rule notI, elim exE conjE)
fix  $c_1 \text{ } t_1$ 
assume
 $t = c_1 \langle t_1 \rangle$ 
 $t_1 \cdot t \varrho_1 \odot \gamma = \text{term.from-ground } t_{G1}$ 
 $c_1 \cdot t_c \varrho_1 \odot \gamma = \text{context.from-ground } c_G'$ 
 $\text{is-Fun } t_1$ 

moreover then have
 $\text{Fun } f \text{ } ts = (\text{More } f \text{ } ts_1 \text{ } c_1 \text{ } ts_2) \langle t_1 \rangle$ 
 $(\text{More } f \text{ } ts_1 \text{ } c_1 \text{ } ts_2) \cdot t_c \varrho_1 \odot \gamma = \text{context.from-ground } c_G$ 
unfolding context.from-ground-def  $c_G$  ts
using  $ts_1\gamma \text{ } ts_2\gamma$ 

```

```

by auto

ultimately show False
  using Fun.prems(3)
  by blast
qed

ultimately show ?thesis
  using Fun(1) t-γ that
  by blast
qed

moreover then have
  Fun f ts = (More f ts1 c1 ts2)⟨t1G = context.to-ground (More f ts1 c1 ts2 · tc ρ1 ⊕ γ) oc cG ''
  using ts1-γ ts2-γ
  unfolding context.to-ground-def cG ts
  by auto

ultimately show ?case
  using Fun.prems(1)
  by blast
qed

obtain x where t1-ρ1: t1 · t ρ1 = Var x
  using t1-is-Var term.id-subst-rename[OF ρ1]
  unfolding is-Var-def
  by auto

have  $\iota_G$ -parts:
  set (side-prems-of  $\iota_G$ ) = {DG}
  main-prem-of  $\iota_G$  = EG
  concl-of  $\iota_G$  = CG
  by simp-all

show ?thesis
proof(rule ground.redundant-infer-singleton, unfold  $\iota_G$ -parts, intro bexI conjI)

let ?tG = (context.from-ground cG')⟨term.from-ground tG3⟩

define γ' where
  γ' ≡ γ(x := ?tG)

let ?EG' = clause.to-ground (E · ρ1 ⊕ γ')

have t1-γ: t1 · t ρ1 ⊕ γ = (context.from-ground cG')⟨term.from-ground tG1⟩
proof –
  have context.is-ground (c1 · tc ρ1 ⊕ γ)

```

```

using c1-t1- $\gamma$ 
unfolding c1-t1 context.safe-unfolds
by (metis context.ground-is-ground context.term-with-context-is-ground
term.ground-is-ground)

then show ?thesis
using c1-t1- $\gamma$ 
unfolding c1-t1 c1-t1- $\gamma$  cG
by auto
qed

have t1- $\gamma'$ : t1 · t  $\varrho_1 \odot \gamma' = (\text{context.from-ground } c_G')(\text{term.from-ground } t_{G3})$ 
unfolding  $\gamma'$ -def
using t1- $\varrho_1$ 
by simp

show ?EG' ∈ NG
proof–
  have ?EG' ∈ clause.welltyped-ground-instances (E, V1)
  proof(unfold clause.welltyped-ground-instances-def mem-Collect-eq fst-conv
snd-conv,
  intro exI conjI E-is-welltyped V1,
  rule refl)

  show clause.is-ground (E ·  $\varrho_1 \odot \gamma')$ 
  unfolding  $\gamma'$ -def
  using E-grounding
  by simp

  show term.subst.is-welltyped-on (clause.vars E) V1 ( $\varrho_1 \odot \gamma'$ )
  proof(intro term.welltyped.typed-subst-compose  $\varrho_1$ -is-welltyped)

    have welltyped V1 ?tG (V1 x)
    proof–
      have welltyped V1 (context.from-ground cG') (term.from-ground tG1)
      proof–
        have welltyped V1 (t1 · t  $\varrho_1$ ) (V1 x)
        using t1- $\varrho_1$ 
        by auto

        then have welltyped V1 (t1 · t  $\varrho_1 \odot \gamma$ ) (V1 x)
        using  $\varrho_1$ -is-welltyped  $\varrho_1 \gamma$ -is-welltyped
        unfolding E c1-t1 l1 subst-compose-def
        by simp

```

```

moreover have context.is-ground ( $c_1 \cdot t_c \varrho_1 \odot \gamma$ )
  using  $c_1 \cdot t_1 \cdot \gamma$ 
  unfolding  $c_1 \cdot t_1$  context.safe-unfolds
by (metis context.ground-is-ground context.term-with-context-is-ground
  term.ground-is-ground)

then have  $t_1 \cdot t \varrho_1 \odot \gamma = (\text{context.from-ground } c_G') \langle \text{term.from-ground}$ 
 $t_{G1} \rangle$ 
  using  $c_1 \cdot t_1 \cdot \gamma$ 
  unfolding  $c_1 \cdot t_1 \ c_1 \cdot t_1 \cdot \gamma \ c_G$ 
  by auto

ultimately show ?thesis
  by argo
qed

moreover obtain  $\tau$  where
  welltyped  $\mathcal{V}_1$  (term.from-ground  $t_{G1}$ )  $\tau$ 
  welltyped  $\mathcal{V}_1$  (term.from-ground  $t_{G3}$ )  $\tau$ 
proof-
  have clause.is-welltyped  $\mathcal{V}_2$  (clause.from-ground  $D_G$ )
  using  $D$ -is-welltyped
  unfolding
     $D_G\text{-def}$ 
    clause.to-ground-inverse[OF D-grounding]
    clause.is-welltyped.subst-stability[OF  $\varrho_2 \cdot \gamma$ -is-welltyped].
  then obtain  $\tau$  where
    welltyped  $\mathcal{V}_2$  (term.from-ground  $t_{G1}$ )  $\tau$ 
    welltyped  $\mathcal{V}_2$  (term.from-ground  $t_{G3}$ )  $\tau$ 
    unfolding ground-superpositionI
    by auto

  then show ?thesis
  using that term.welltyped.explicit-replace- $\mathcal{V}$ -iff[of -  $\mathcal{V}_2 \ \mathcal{V}_1$ ]
  by simp
qed

ultimately show ?thesis
  by auto
qed

moreover have term.subst.is-welltyped-on ( $\bigcup$  (term.vars `  $\varrho_1$  ` clause.vars  $E$ ))  $\mathcal{V}_1 \ \gamma$ 
  by (intro term.welltyped.renaming-subst-compose  $\varrho_1 \cdot \gamma$ -is-welltyped
   $\varrho_1$ -is-welltyped  $\varrho_1$ )

ultimately show
  term.subst.is-welltyped-on ( $\bigcup$  (term.vars `  $\varrho_1$  ` clause.vars  $E$ ))  $\mathcal{V}_1 \ \gamma'$ 

```

```

unfolding  $\gamma'$ -def
by simp
qed
qed

then show ?thesis
by simp
qed

show ground.G-entails {?EG', D_G} {CG}
proof(unfold ground.G-entails-def, intro allI impI)
fix I :: 'f gterm rel
let ?I = upair ` I

assume
refl-I: refl I and
trans-I: trans I and
sym-I: sym I and
compatible-with-gctxt-I: compatible-with-gctxt I and
premise: ?I  $\Vdash_s$  {?EG', D_G}

then interpret clause-entailment I
by unfold-locales

have  $\gamma$ -x-is-ground: term.is-ground ( $\gamma$  x)
using t1- $\gamma$  t1- $\varrho_1$ 
by auto

show ?I  $\Vdash_s$  {CG}
proof(cases ?I  $\Vdash$  D_G')
case True

then show ?thesis
unfolding ground-superpositionI
by auto
next
case False

then have tG1-tG3: Upair tG1 tG3  $\in$  ?I
using premise sym
unfolding ground-superpositionI
by auto

have ?I  $\Vdash_l$  cG'⟨tG1⟩G  $\approx$  cG'⟨tG3⟩G
using upair-compatible-with-gctxtI[OF tG1-tG3]
by auto

then have ?I  $\Vdash_l$  term.to-ground (t1 · t  $\varrho_1 \odot \gamma$ )  $\approx$  term.to-ground (t1 · t
 $\varrho_1 \odot \gamma')$ 

```

```

unfolding  $t_1\text{-}\gamma$   $t_1\text{-}\gamma'$   

by simp

then have (term.to-ground ( $\gamma$   $x$ ),  $c_G''(t_{G3})_G \in I$ )
unfolding  $\gamma'\text{-def}$ 
using  $t_1\text{-}\varrho_1$ 
by (auto simp: sym)

moreover have  $?I \models ?E_G'$ 
using premise
by simp

ultimately have  $?I \models E_G$ 
unfolding  $\gamma'\text{-def}$ 
using
  clause.symmetric-congruence[of -  $\gamma$ , OF -  $\gamma\text{-}x\text{-is-ground}$ ]
  E-grounding
by simp

then have  $?I \models add\text{-}mset (\mathcal{P}_G (Upair c_G(t_{G3})_G t_{G2})) E_G'$ 
unfolding ground-superpositionI
using symmetric-literal-context-congruence[OF  $t_{G1}\text{-}t_{G3}$ ]
by (cases  $\mathcal{P}_G = Pos$ ) simp-all

then show ?thesis
unfolding ground-superpositionI
by blast
qed
qed

show  $?E_G' \prec_{cG} E_G$ 
proof –
  have  $\gamma x = t_1 \cdot t \varrho_1 \odot \gamma$ 
    using  $t_1\text{-}\varrho_1$ 
    by simp

  then have  $t_G\text{-smaller: } ?t_G \prec_t \gamma x$ 
    using ground-superpositionI(8)
    unfolding  $t_1\text{-}\gamma$  term.order.lessG-def
    by simp

  have add-mset  $(l_1 \cdot l \varrho_1 \odot \gamma') (E' \cdot \varrho_1 \odot \gamma') \prec_c add\text{-}mset (l_1 \cdot l \varrho_1 \odot \gamma)$ 
   $(E' \cdot \varrho_1 \odot \gamma)$ 
  proof(rule lessc-add-mset)
    have  $x \in literal.vars (l_1 \cdot l \varrho_1)$ 
      unfolding  $l_1 c_1\text{-}t_1$  literal.vars-def atom.vars-def
      using  $t_1\text{-}\varrho_1$ 

```

```

by auto

moreover have literal.is-ground ( $l_1 \cdot l \varrho_1 \odot \gamma$ )
  using E-grounding
  unfolding E
  by simp

ultimately show  $l_1 \cdot l \varrho_1 \odot \gamma' \prec_l l_1 \cdot l \varrho_1 \odot \gamma$ 
  unfolding  $\gamma'$ -def
  using literal.order.subst-update-stability t_G-smaller
  by simp
next

have clause.is-ground ( $E' \cdot \varrho_1 \odot \gamma$ )
  using  $E'\gamma$ 
  by simp

then show  $E' \cdot \varrho_1 \odot \gamma' \preceq_c E' \cdot \varrho_1 \odot \gamma$ 
  unfolding  $\gamma'$ -def
  using clause.order.subst-update-stability t_G-smaller
  by (cases x ∈ clause.vars ( $E' \cdot \varrho_1$ )) simp-all
qed

then have  $E \cdot \varrho_1 \odot \gamma' \prec_c E \cdot \varrho_1 \odot \gamma$ 
  unfolding E
  by simp

moreover have clause.is-ground ( $E \cdot \varrho_1 \odot \gamma'$ )
  unfolding  $\gamma'$ -def
  using E-grounding
  by simp

ultimately show ?thesis
  using E-grounding
  unfolding clause.order.less_G-def
  by simp
qed
qed
qed

then show ?thesis
  using not-redundant ground-superposition that  $l_1 \cdot t_1 \cdot \gamma \prec_c c_1 \cdot t_1 \cdot \gamma$ 
  unfolding ground.Red-I-def ground.G-Inf-def
  by auto
qed

obtain  $l_2$  where
   $l_2 \cdot \gamma: l_2 \cdot l \varrho_2 \odot \gamma = \text{literal.from-ground } l_{G2}$  and
   $l_2\text{-is-strictly-maximal: is-strictly-maximal } l_2 D$ 

```

**proof**–

have *is-strictly-maximal (literal.from-ground*  $l_{G2}$ ) ( $D \cdot \varrho_2 \odot \gamma$ )  
using *ground-superpositionI(11)* *D-grounding*  
by *simp*

**then show** ?*thesis*

using *obtain-strictly-maximal-literal[OF D-grounding]* that  
by *metis*

**qed**

**then have**  $l_2$ -in-*D*:  $l_2 \in \# D$   
using *strictly-maximal-in-clause*  
by *blast*

**from**  $l_2\text{-}\gamma$  **have**  $l_2\text{-}\gamma$ :  $l_2 \cdot l \cdot \varrho_2 \odot \gamma = \text{term.from-ground } t_{G1} \approx \text{term.from-ground } t_{G3}$   
unfold *ground-superpositionI*  
by *simp*

**then obtain**  $t_2$   $t_2'$  **where**  
 $t_2$ :  $t_2 = t_2 \approx t_2'$  **and**  
 $t_2\text{-}\gamma$ :  $t_2 \cdot t \cdot \varrho_2 \odot \gamma = \text{term.from-ground } t_{G1}$  **and**  
 $t_2'\text{-}\gamma$ :  $t_2' \cdot t \cdot \varrho_2 \odot \gamma = \text{term.from-ground } t_{G3}$   
using *obtain-from-pos-literal-subst*  
by *metis*

**obtain**  $D'$  **where**  $D$ :  $D = \text{add-mset } l_2 \ D'$   
by (*meson*  $l_2$ -in-*D* *multi-member-split*)

**then have**  $D'\text{-}\gamma$ :  $D' \cdot \varrho_2 \odot \gamma = \text{clause.from-ground } D_G'$   
using  $D\text{-}\gamma$   $l_2\text{-}\gamma$   
unfold *ground-superpositionI*  
by *auto*

**obtain**  $\mathcal{V}_3$  **where**  
 $\mathcal{V}_1\text{-}\mathcal{V}_3$ :  $\forall x \in \text{clause.vars } E. \mathcal{V}_1 x = \mathcal{V}_3 (\text{clause.rename } \varrho_1 x)$  **and**  
 $\mathcal{V}_2\text{-}\mathcal{V}_3$ :  $\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{clause.rename } \varrho_2 x)$  **and**  
 $\mathcal{V}_3$ : *infinite-variables-per-type*  $\mathcal{V}_3$   
using *clause.obtain-merged- $\mathcal{V}$ [OF  $\varrho_1 \varrho_2$  rename-apart  $\mathcal{V}_2$  clause.finite-vars]*.

**have**  $\gamma$ -*is-welltyped*:

*term.subst.is-welltyped-on* (*clause.vars* ( $E \cdot \varrho_1$ )  $\cup$  *clause.vars* ( $D \cdot \varrho_2$ ))  $\mathcal{V}_3 \ \gamma$   
**proof**(*unfold Set.ball-Un, intro conjI*)

**show** *term.subst.is-welltyped-on* (*clause.vars* ( $E \cdot \varrho_1$ ))  $\mathcal{V}_3 \ \gamma$   
using *clause.is-welltyped.renaming-grounding[OF  $\varrho_1 \varrho_1\text{-}\gamma$ -*is-welltyped*  $E$ -*grounding*  $\mathcal{V}_1\text{-}\mathcal{V}_3$ ]*.  
**next**

```

show term.subst.is-welltyped-on (clause.vars (D · ρ₂)) V₃ γ
  using clause.is-welltyped.renaming-grounding[OF ρ₂ ρ₂-γ-is-welltyped D-grounding
V₂- V₃].
qed

obtain μ σ where
  γ: γ = μ ⊕ σ and
    imgu: welltyped-imgu-on (clause.vars (E · ρ₁) ∪ clause.vars (D · ρ₂)) V₃ (t₁ · t
ρ₁) (t₂ · t ρ₂) μ
proof-

  have unified: t₁ · t ρ₁ · t γ = t₂ · t ρ₂ · t γ
    using t₁-γ t₂-γ
    by simp

  obtain τ where welltyped: welltyped V₃ (t₁ · t ρ₁) τ welltyped V₃ (t₂ · t ρ₂) τ
proof-
  have clause.is-welltyped V₂ (D · ρ₂ ⊕ γ)
    using ρ₂-γ-is-welltyped D-is-welltyped
    by (metis clause.is-welltyped.subst-stability)

  then obtain τ where
    welltyped V₂ (term.from-ground t_G₁) τ
    unfold D-γ ground-superpositionI
    by auto

  then have welltyped V₃ (term.from-ground t_G₁) τ
    using term.welltyped.is-ground-typed
    by (meson term.ground-is-ground term.welltyped.explicit-is-ground-typed)

  then have welltyped V₃ (t₁ · t ρ₁ ⊕ γ) τ welltyped V₃ (t₂ · t ρ₂ ⊕ γ) τ
    using t₁-γ t₂-γ
    by presburger+

  moreover have
    term.vars (t₁ · t ρ₁) ⊆ clause.vars (E · ρ₁)
    term.vars (t₂ · t ρ₂) ⊆ clause.vars (D · ρ₂)
    unfold E l₁ clause.add-subst D l₂
    by auto

  ultimately have welltyped V₃ (t₁ · t ρ₁) τ welltyped V₃ (t₂ · t ρ₂) τ
    using γ-is-welltyped
    by (simp-all add: subsetD)

  then show ?thesis
    using that
    by blast
qed

```

```

show ?thesis
  using obtain-welltyped-imgu-on[OF unified welltyped] that
  by metis
qed

define  $C'$  where

$$C': C' = add-mset (\mathcal{P} (Upair (c_1 \cdot t_c \varrho_1) \langle t_2' \cdot t \varrho_2 \rangle (t_1' \cdot t \varrho_1))) (E' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu$$


show ?thesis
proof(rule that)

show superposition: superposition ( $D, \mathcal{V}_2$ ) ( $E, \mathcal{V}_1$ ) ( $C', \mathcal{V}_3$ )
proof(rule superpositionI;
  ((rule  $\varrho_1 \varrho_2 E D l_1 l_2 t_1\text{-}is\text{-}Fun imgu rename-apart \varrho_1\text{-}is\text{-}welltyped$ 
 $\varrho_2\text{-}is\text{-}welltyped \mathcal{V}_1 \mathcal{V}_2 C'$ 
 $\mathcal{V}_1\text{-}\mathcal{V}_3 \mathcal{V}_2\text{-}\mathcal{V}_3 +)?)$ 

show  $\mathcal{P} \in \{Pos, Neg\}$ 
  by simp
next

show  $\neg E \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu$ 
proof(rule clause.order.ground-less-not-less-eq)

show clause.vars ( $D \cdot \varrho_2 \odot \mu \cdot \sigma$ ) = {}
  using  $D\text{-}grounding$ 
  unfolding  $\gamma$ 
  by simp

show clause.vars ( $E \cdot \varrho_1 \odot \mu \cdot \sigma$ ) = {}
  using  $E\text{-}grounding$ 
  unfolding  $\gamma$ 
  by simp

show  $D \cdot \varrho_2 \odot \mu \cdot \sigma \prec_c E \cdot \varrho_1 \odot \mu \cdot \sigma$ 
  using ground-superpositionI(3)  $D\text{-}grounding E\text{-}grounding$ 
  unfolding  $E_G\text{-}def D_G\text{-}def clause.order.less}_G\text{-}def \gamma$ 
  by simp
qed
next
assume  $\mathcal{P} = Pos$ 

then show select  $E = \{\#\}$ 
  using ground-superpositionI(9) select-from-E
  by fastforce

next
assume  $Pos: \mathcal{P} = Pos$ 

```

```

show is-strictly-maximal ( $l_1 \cdot l \varrho_1 \odot \mu$ ) ( $E \cdot \varrho_1 \odot \mu$ )
proof(rule is-strictly-maximal-if-grounding-is-strictly-maximal)
  show  $l_1 \cdot l \varrho_1 \odot \mu \in\# E \cdot \varrho_1 \odot \mu$ 
    using l1-in-E
    by blast

  show clause.is-ground ( $E \cdot \varrho_1 \odot \mu \cdot \sigma$ )
    using E-grounding[unfolded γ]
    by simp

  show is-strictly-maximal ( $l_1 \cdot l \varrho_1 \odot \mu \cdot l \sigma$ ) ( $E \cdot \varrho_1 \odot \mu \cdot \sigma$ )
    using Pos l1-γ E-γ ground-superpositionI(9)
    unfolding  $\gamma$  ground-superpositionI
    by fastforce
  qed
next
assume Neg:  $?P = Neg$  select E = {#}

  show is-maximal ( $l_1 \cdot l \varrho_1 \odot \mu$ ) ( $E \cdot \varrho_1 \odot \mu$ )
  proof(rule is-maximal-if-grounding-is-maximal)
    show  $l_1 \cdot l \varrho_1 \odot \mu \in\# E \cdot \varrho_1 \odot \mu$ 
      using l1-in-E
      by blast
  next

    show clause.is-ground ( $E \cdot \varrho_1 \odot \mu \cdot \sigma$ )
      using E-grounding γ
      by auto
  next

    show is-maximal ( $l_1 \cdot l \varrho_1 \odot \mu \cdot l \sigma$ ) ( $E \cdot \varrho_1 \odot \mu \cdot \sigma$ )
      using  $l_1\text{-}\gamma \gamma$  E-γ ground-superpositionI(5,9) is-maximal-not-empty Neg
      select-from-E
      by auto
    qed
  next
assume Neg:  $?P = Neg$  select E ≠ {#}

  show is-maximal ( $l_1 \cdot l \varrho_1 \odot \mu$ ) ((select E)  $\cdot \varrho_1 \odot \mu$ )
  proof(rule is-maximal-if-grounding-is-maximal)
    show  $l_1 \cdot l \varrho_1 \odot \mu \in\# select E \cdot \varrho_1 \odot \mu$ 
      using ground-superpositionI(9) l1-selected maximal-in-clause Neg select-from-E
      by force
  next

```

```

show clause.is-ground (select E ·  $\varrho_1 \odot \mu \cdot \sigma$ )
  using select-ground-subst[OF E-grounding]
  unfolding  $\gamma$ 
  by simp
next
show is-maximal ( $l_1 \cdot l \varrho_1 \odot \mu \cdot l \sigma$ ) (select E ·  $\varrho_1 \odot \mu \cdot \sigma$ )
  using  $\gamma$  ground-superpositionI(5,9)  $l_1\text{-}\gamma$  that select-from-E Neg
  by fastforce
qed
next

show select D = {#}
  using ground-superpositionI(10) select-from-D
  by simp
next

show is-strictly-maximal ( $l_2 \cdot l \varrho_2 \odot \mu$ ) (D ·  $\varrho_2 \odot \mu$ )
proof(rule is-strictly-maximal-if-grounding-is-strictly-maximal)

show  $l_2 \cdot l \varrho_2 \odot \mu \in\# D \cdot \varrho_2 \odot \mu$ 
  using  $l_2\text{-in-}D$ 
  by blast
next

show clause.is-ground (D ·  $\varrho_2 \odot \mu \cdot \sigma$ )
  using D-grounding
  unfolding  $\gamma$ 
  by simp
next

show is-strictly-maximal ( $l_2 \cdot l \varrho_2 \odot \mu \cdot l \sigma$ ) (D ·  $\varrho_2 \odot \mu \cdot \sigma$ )
  using  $l_2\text{-}\gamma \gamma$  D- $\gamma$  ground-superpositionI(6,11)
  by auto
qed
next

show  $\neg c_1(t_1) \cdot t \varrho_1 \odot \mu \preceq_t t_1' \cdot t \varrho_1 \odot \mu$ 
proof(rule term.order.ground-less-not-less-eq)

show term.is-ground ( $t_1' \cdot t \varrho_1 \odot \mu \cdot t \sigma$ )
  using  $t_1'\text{-}\gamma \gamma$ 
  by simp
next

show term.is-ground ( $c_1(t_1) \cdot t \varrho_1 \odot \mu \cdot t \sigma$ )
  using  $t_1\text{-}\gamma c_1\text{-}\gamma \gamma$ 
  by simp
next

```

```

show  $t_1' \cdot t \varrho_1 \odot \mu \cdot t \sigma \prec_t c_1 \langle t_1 \rangle \cdot t \varrho_1 \odot \mu \cdot t \sigma$ 
  using ground-superpositionI(7)  $c_1\text{-}\gamma$   $t_1'\text{-}\gamma$   $t_1\text{-}\gamma$ 
  unfolding term.order.lessG-def  $\gamma$ 
  by auto
qed
next

show  $\neg t_2 \cdot t \varrho_2 \odot \mu \preceq_t t_2' \cdot t \varrho_2 \odot \mu$ 
proof(rule term.order.ground-less-not-less-eq)

show term.is-ground ( $t_2' \cdot t \varrho_2 \odot \mu \cdot t \sigma$ )
  using  $t_2'\text{-}\gamma$   $\gamma$ 
  by simp
next

show term.is-ground ( $t_2 \cdot t \varrho_2 \odot \mu \cdot t \sigma$ )
  using  $t_2\text{-}\gamma$   $\gamma$ 
  by simp
next

show  $t_2' \cdot t \varrho_2 \odot \mu \cdot t \sigma \prec_t t_2 \cdot t \varrho_2 \odot \mu \cdot t \sigma$ 
  using ground-superpositionI(8)  $t_2\text{-}\gamma$   $t_2'\text{-}\gamma$ 
  unfolding  $\gamma$  term.order.lessG-def
  by simp
qed
next

have  $\exists \tau. \text{welltyped } \mathcal{V}_2 t_2 \tau \wedge \text{welltyped } \mathcal{V}_2 t_2' \tau$ 
  using D-is-welltyped
  unfolding D l2
  by auto

then show  $\bigwedge \tau \tau'. [\![\text{typed } \mathcal{V}_2 t_2 \tau; \text{typed } \mathcal{V}_2 t_2' \tau]\!] \implies \tau = \tau'$ 
  using term.typed-if-welltyped
  by blast
qed

show  $C' \cdot \gamma : C' \cdot \gamma = C \cdot \gamma$ 
proof-
  have term-subst.is-idem  $\mu$ 
    using imgu term.is-imgu-iff-is-idem-and-is-mgu
    by blast

  then have  $\mu \cdot \gamma : \mu \odot \gamma = \gamma$ 
    unfolding  $\gamma$  term-subst.is-idem-def
    by (metis subst-compose-assoc)

```

```

have  $C \cdot \gamma =$   

  add-mset  

 $(\mathcal{P} (\text{Upair} (\text{context.from-ground } c_G) \langle \text{term.from-ground } t_{G3} \rangle$   

 $(\text{term.from-ground } t_{G2})))$   

 $(\text{clause.from-ground } E'_G + \text{clause.from-ground } D'_G)$   

using ground-superpositionI(4, 12) clause.to-ground-inverse[OF C-grounding]  

  by auto

then show  $?thesis$   

unfolding  

 $C'$   

 $E' \cdot \gamma [\text{symmetric}]$   

 $D' \cdot \gamma [\text{symmetric}]$   

 $t_1' \cdot \gamma [\text{symmetric}]$   

 $t_2' \cdot \gamma [\text{symmetric}]$   

 $c_1 \cdot \gamma [\text{symmetric}]$   

 $\text{clause.subst-comp-subst} [\text{symmetric}]$   

 $\mu \cdot \gamma$   

by simp  

qed

show  $\iota_G \in \text{inference-ground-instances}$  (Infer [(D, V2), (E, V1)] (C', V3))  

proof (rule is-inference-ground-instance-two-premises)  

  show is-inference-ground-instance-two-premises (D, V2) (E, V1) (C', V3)  $\iota_G$   

 $\gamma \varrho_1 \varrho_2$   

proof (unfold split, intro conjI;  

  (rule  $\varrho_1 \varrho_2$  rename-apart D-is-welltyped E-is-welltyped refl V1  

    V2 V3)?)  

show inference.is-ground (Infer [D ·  $\varrho_2$ , E ·  $\varrho_1$ ] C' ·  $\iota \gamma$ )  

  using D-grounding E-grounding C-grounding C' ·  $\gamma$   

  by auto  

next  

show  $\iota_G = \text{inference.to-ground}$  (Infer [D ·  $\varrho_2$ , E ·  $\varrho_1$ ] C' ·  $\iota \gamma$ )  

  using C' ·  $\gamma$   

  by simp  

next  

show term.subst.is-welltyped-on (clause.vars C') V3  $\gamma$   

proof (rule term.is-welltyped-on-subset[OF  $\gamma$ -is-welltyped])  

show clause.vars C'  $\subseteq$  clause.vars (E ·  $\varrho_1$ )  $\cup$  clause.vars (D ·  $\varrho_2$ )  

proof (unfold subset-eq, intro ballI)  

  fix x  

have is-imgu: term.is-imgu  $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$   

using imgu

```

by *blast*

**assume**  $x \in clause.vars C'$

**then consider**

( $t_2'$ )  $x \in term.vars (t_2' \cdot t \varrho_2 \odot \mu) |$   
( $c_1$ )  $x \in context.vars (c_1 \cdot t_c \varrho_1 \odot \mu) |$   
( $t_1'$ )  $x \in term.vars (t_1' \cdot t \varrho_1 \odot \mu) |$   
( $E'$ )  $x \in clause.vars (E' \cdot \varrho_1 \odot \mu) |$   
( $D'$ )  $x \in clause.vars (D' \cdot \varrho_2 \odot \mu)$

**unfolding**  $C'$

**by** *auto*

**then show**  $x \in clause.vars (E \cdot \varrho_1) \cup clause.vars (D \cdot \varrho_2)$

**proof cases**

**case**  $t_2'$

**then show** ?thesis

**using** *term.variables-in-base-imgu*[OF is-imgu]  
**unfolding**  $E l_1 D l_2$   
**by** *auto*

**next**

**case**  $c_1$

**then show** ?thesis

**using** *context.variables-in-base-imgu*[OF is-imgu]  
**unfolding**  $E l_1 D l_2$   
**by** *force*

**next**

**case**  $t_1'$

**then show** ?thesis

**using** *term.variables-in-base-imgu*[OF is-imgu]  
**unfolding**  $E clause.add-subst l_1 D l_2$   
**by** *auto*

**next**

**case**  $E'$

**then show** ?thesis

**using** *clause.variables-in-base-imgu*[OF is-imgu]  
**unfolding**  $E l_1 D l_2$   
**by** *auto*

**next**

**case**  $D'$

**then show** ?thesis

**using** *clause.variables-in-base-imgu*[OF is-imgu]  
**unfolding**  $E l_1 D l_2$   
**by** *auto*

```

qed
qed
qed
next

show clause.is-welltyped  $\mathcal{V}_3$   $C'$ 
using superposition superposition-preserves-typing E-is-welltyped D-is-welltyped
by blast
qed

show  $\iota_G \in \text{ground}.G\text{-Inf}$ 
  unfolding ground.G-Inf-def
  using ground-superposition
  by simp
qed
qed
qed

```

### 3.2 Ground instances

```

context
fixes  $\iota_G$   $N$ 
assumes
  subst-stability: subst-stability-on  $N$  and
   $\iota_G\text{-Inf-from: } \iota_G \in \text{ground}.Inf\text{-from-}q \text{ select}_G (\bigcup (\text{clause.welltyped-ground-instances}$ 
  ‘  $N$ ))
begin

lemma single-premise-ground-instance:
assumes
  ground-inference:  $\iota_G \in \{\text{Infer } [D] C \mid D C. \text{ ground-inference } D C\}$  and
  lifting:  $\bigwedge D \gamma C \mathcal{V} \text{ thesis.} \llbracket$ 
  ground-inference (clause.to-ground ( $D \cdot \gamma$ )) (clause.to-ground ( $C \cdot \gamma$ ));
  clause.is-ground ( $D \cdot \gamma$ );
  clause.is-ground ( $C \cdot \gamma$ );
  clause.from-ground (selectG (clause.to-ground ( $D \cdot \gamma$ ))) = select  $D \cdot \gamma$ ;
  clause.is-welltyped  $\mathcal{V} D$ ; term.subst.is-welltyped-on (clause.vars  $D$ )  $\mathcal{V} \gamma$ ;
  infinite-variables-per-type  $\mathcal{V}$ ;
   $\bigwedge C'. \llbracket$ 
    inference ( $D, \mathcal{V}$ ) ( $C', \mathcal{V}$ );
    Infer [ $\text{clause.to-ground } (D \cdot \gamma)$ ] (clause.to-ground ( $C \cdot \gamma$ ))
     $\in \text{inference-ground-instances } (\text{Infer } [(D, \mathcal{V})] (C', \mathcal{V}))$ ;
     $C' \cdot \gamma = C \cdot \gamma \rrbracket \implies \text{thesis} \rrbracket$ 
     $\implies \text{thesis}$  and
  inference-eq: inference = eq-factoring  $\vee$  inference = eq-resolution
obtains  $\iota$  where
   $\iota \in \text{Inf-from } N$ 
   $\iota_G \in \text{inference-ground-instances } \iota$ 
proof –

```

**obtain**  $D_G \ C_G$  **where**

- $\iota_G: \iota_G = \text{Infer } [D_G] \ C_G$  **and**
- ground-inference: ground-inference*  $D_G \ C_G$
- using** *ground-inference*
- by** *blast*

**have**  $D_G$ -in-groundings:  $D_G \in \bigcup (\text{clause.welltyped-ground-instances} \ ' N)$

- using**  $\iota_G\text{-Inf-from}$
- unfolding**  $\iota_G \text{ ground.Inf-from-q-def ground.Inf-from-def}$
- by** *simp*

**obtain**  $D \ \gamma \ \mathcal{V}$  **where**

- D-grounding: clause.is-ground*  $(D \cdot \gamma)$  **and**
- D-is-welltyped: clause.is-welltyped*  $\mathcal{V} \ D$  **and**
- $\gamma$ -is-welltyped: term.subst.is-welltyped-on*  $(\text{clause.vars } D) \ \mathcal{V} \ \gamma$  **and**
- $\mathcal{V}$ : infinite-variables-per-type*  $\mathcal{V}$  **and**
- D-in-N:  $(D, \mathcal{V}) \in N$*  **and**
- select<sub>G</sub>  $D_G = \text{clause.to-ground}$  (select  $D \cdot \gamma$ )*
- $D \cdot \gamma = \text{clause.from-ground}$   $D_G$*
- using** *subst-stability[rule-format, OF  $D_G$ -in-groundings]*
- by** *blast*

**then have**

- $D_G: D_G = \text{clause.to-ground}$   $(D \cdot \gamma)$  **and**
- select: clause.from-ground*  $(\text{select}_G \ D_G) = \text{select } D \cdot \gamma$
- by** *(simp-all add: select-ground-subst)*

**obtain**  $C$  **where**

- $C_G: C_G = \text{clause.to-ground}$   $(C \cdot \gamma)$  **and**
- C-grounding: clause.is-ground*  $(C \cdot \gamma)$
- by** *(metis clause.all-subst-ident-iff-ground clause.from-ground-inverse clause.ground-is-ground)*

**obtain**  $C'$  **where**

- inference: inference*  $(D, \mathcal{V}) \ (C', \mathcal{V})$  **and**
- inference-ground-instances:  $\iota_G \in \text{inference-ground-instances}$  ( $\text{Infer } [(D, \mathcal{V})]$ )  $(C', \mathcal{V})$*  **and**
- $C' \cdot C: C' \cdot \gamma = C \cdot \gamma$
- using**
- lifting[OF*
- ground-inference[unfolded  $D_G \ C_G$ ]*
- D-grounding*
- C-grounding*
- select[unfolded  $D_G$ ]*
- D-is-welltyped*
- $\gamma$ -is-welltyped*
- $\mathcal{V}$ ]*
- unfolding**  $D_G \ C_G \ \iota_G$ .

```

let ? $\iota$  = Infer [(D, V)] (C', V)

show ?thesis
proof(rule that[OF - inference-ground-instances])

show ? $\iota$  ∈ Inf-from N
  using D-in-N inference inference-eq
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto
qed
qed

lemma eq-resolution-ground-instance:
assumes ground-eq-resolution:  $\iota_G \in \text{ground.eq-resolution-inferences}$ 
obtains  $\iota$  where
   $\iota \in \text{Inf-from } N$ 
   $\iota_G \in \text{inference-ground-instances } \iota$ 
using eq-resolution-lifting single-premise-ground-instance[OF ground-eq-resolution]
by blast

lemma eq-factoring-ground-instance:
assumes ground-eq-factoring:  $\iota_G \in \text{ground.eq-factoring-inferences}$ 
obtains  $\iota$  where
   $\iota \in \text{Inf-from } N$ 
   $\iota_G \in \text{inference-ground-instances } \iota$ 
using eq-factoring-lifting single-premise-ground-instance[OF ground-eq-factoring]
by blast

lemma superposition-ground-instance:
assumes
  ground-superposition:  $\iota_G \in \text{ground.superposition-inferences}$  and
  not-redundant:  $\iota_G \notin \text{ground.GRed-I} (\bigcup (\text{clause.welltyped-ground-instances} ` N))$ 
obtains  $\iota$  where
   $\iota \in \text{Inf-from } N$ 
   $\iota_G \in \text{inference-ground-instances } \iota$ 
proof-
obtain EG DG CG where
   $\iota_G : \iota_G = \text{Infer } [D_G, E_G] C_G$  and
  ground-superposition:  $\text{ground.superposition } D_G E_G C_G$ 
using assms(1)
by blast

have
  EG-in-groundings:  $E_G \in \bigcup (\text{clause.welltyped-ground-instances} ` N)$  and
  DG-in-groundings:  $D_G \in \bigcup (\text{clause.welltyped-ground-instances} ` N)$ 
using  $\iota_G\text{-Inf-from}$ 
unfolding  $\iota_G \text{ ground.Inf-from-q-def ground.Inf-from-def}$ 
by simp-all

```

**obtain**  $E \mathcal{V}_1 \gamma_1$  **where**

$E\text{-grounding}$ :  $\text{clause.is-ground } (E \cdot \gamma_1)$  **and**  
 $E\text{-is-welltyped}$ :  $\text{clause.is-welltyped } \mathcal{V}_1 E$  **and**  
 $\gamma_1\text{-is-welltyped}$ :  $\text{term.subst.is-welltyped-on } (\text{clause.vars } E) \mathcal{V}_1 \gamma_1$  **and**  
 $\mathcal{V}_1$ : *infinite-variables-per-type*  $\mathcal{V}_1$  **and**  
 $E\text{-in-}N$ :  $(E, \mathcal{V}_1) \in N$  **and**  
 $\text{select}_G E_G = \text{clause.to-ground } (\text{select } E \cdot \gamma_1)$   
 $E \cdot \gamma_1 = \text{clause.from-ground } E_G$   
**using**  $\text{subst-stability}[\text{rule-format}, \text{OF } E_G\text{-in-groundings}]$   
**by** *blast*

**then have**

$E_G$ :  $E_G = \text{clause.to-ground } (E \cdot \gamma_1)$  **and**  
 $\text{select-from-}E$ :  $\text{clause.from-ground } (\text{select}_G E_G) = \text{select } E \cdot \gamma_1$   
**by** (*simp-all add: select-ground-subst*)

**obtain**  $D \mathcal{V}_2 \gamma_2$  **where**

$D\text{-grounding}$ :  $\text{clause.is-ground } (D \cdot \gamma_2)$  **and**  
 $D\text{-is-welltyped}$ :  $\text{clause.is-welltyped } \mathcal{V}_2 D$  **and**  
 $\gamma_2\text{-is-welltyped}$ :  $\text{term.subst.is-welltyped-on } (\text{clause.vars } D) \mathcal{V}_2 \gamma_2$  **and**  
 $\mathcal{V}_2$ : *infinite-variables-per-type*  $\mathcal{V}_2$  **and**  
 $D\text{-in-}N$ :  $(D, \mathcal{V}_2) \in N$  **and**  
 $\text{select}_G D_G = \text{clause.to-ground } (\text{select } D \cdot \gamma_2)$   
 $D \cdot \gamma_2 = \text{clause.from-ground } D_G$   
**using**  $\text{subst-stability}[\text{rule-format}, \text{OF } D_G\text{-in-groundings}]$   
**by** *blast*

**then have**

$D_G$ :  $D_G = \text{clause.to-ground } (D \cdot \gamma_2)$  **and**  
 $\text{select-from-}D$ :  $\text{clause.from-ground } (\text{select}_G D_G) = \text{select } D \cdot \gamma_2$   
**by** (*simp-all add: select-ground-subst*)

**obtain**  $\varrho_1 \varrho_2 \gamma :: ('f, 'v) \text{ subst where}$

$\varrho_1$ : *term-subst.is-renaming*  $\varrho_1$  **and**  
 $\varrho_2$ : *term-subst.is-renaming*  $\varrho_2$  **and**  
 $\text{rename-apart}$ :  $\text{clause.vars } (E \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$  **and**  
 $\varrho_1\text{-is-welltyped}$ :  $\text{term.subst.is-welltyped-on } (\text{clause.vars } E) \mathcal{V}_1 \varrho_1$  **and**  
 $\varrho_2\text{-is-welltyped}$ :  $\text{term.subst.is-welltyped-on } (\text{clause.vars } D) \mathcal{V}_2 \varrho_2$  **and**  
 $\gamma_1\text{-}\gamma$ :  $\forall X \subseteq \text{clause.vars } E. \forall x \in X. \gamma_1 x = (\varrho_1 \odot \gamma) x$  **and**  
 $\gamma_2\text{-}\gamma$ :  $\forall X \subseteq \text{clause.vars } D. \forall x \in X. \gamma_2 x = (\varrho_2 \odot \gamma) x$   
**using**  
 $\text{clause.is-welltyped.obtain-merged-grounding}[\text{OF } \gamma_1\text{-is-welltyped } \gamma_2\text{-is-welltyped } E\text{-grounding}$   
 $D\text{-grounding } \mathcal{V}_2 \text{ clause.finite-vars}].$

**have**  $E\text{-grounding}$ :  $\text{clause.is-ground } (E \cdot \varrho_1 \odot \gamma)$   
**using**  $\text{clause.subst-eq } \gamma_1\text{-}\gamma$   $E\text{-grounding}$   
**by** *fastforce*

```

have  $E_G: E_G = \text{clause.to-ground}(E \cdot \varrho_1 \odot \gamma)$ 
  using  $\text{clause.subst-eq } \gamma_1\text{-}\gamma E_G$ 
  by fastforce

have  $D\text{-grounding}: \text{clause.is-ground}(D \cdot \varrho_2 \odot \gamma)$ 
  using  $\text{clause.subst-eq } \gamma_2\text{-}\gamma D\text{-grounding}$ 
  by fastforce

have  $D_G: D_G = \text{clause.to-ground}(D \cdot \varrho_2 \odot \gamma)$ 
  using  $\text{clause.subst-eq } \gamma_2\text{-}\gamma D_G$ 
  by fastforce

have  $\varrho_1\text{-}\gamma\text{-is-welltyped}: \text{term.subst.is-welltyped-on}(\text{clause.vars } E) \mathcal{V}_1 (\varrho_1 \odot \gamma)$ 
  using  $\gamma_1\text{-is-welltyped } \gamma_1\text{-}\gamma$ 
  by fastforce

have  $\varrho_2\text{-}\gamma\text{-is-welltyped}: \text{term.subst.is-welltyped-on}(\text{clause.vars } D) \mathcal{V}_2 (\varrho_2 \odot \gamma)$ 
  using  $\gamma_2\text{-is-welltyped } \gamma_2\text{-}\gamma$ 
  by fastforce

have select-from-E:
   $\text{clause.from-ground}(\text{select}_G(\text{clause.to-ground}(E \cdot \varrho_1 \odot \gamma))) = \text{select } E \cdot \varrho_1 \odot \gamma$ 
proof-
  have  $E \cdot \gamma_1 = E \cdot \varrho_1 \odot \gamma$ 
    using  $\gamma_1\text{-}\gamma \text{ clause.subst-eq}$ 
    by fast

moreover have  $\text{select } E \cdot \gamma_1 = \text{select } E \cdot \varrho_1 \cdot \gamma$ 
  using  $\text{clause.subst-eq } \gamma_1\text{-}\gamma \text{ select-vars-subset}$ 
  by (metis clause.comp-subst.left.monoid-action-compatibility)

ultimately show ?thesis
  using select-from-E
  unfolding  $E_G$ 
  by simp
qed

have select-from-D:
   $\text{clause.from-ground}(\text{select}_G(\text{clause.to-ground}(D \cdot \varrho_2 \odot \gamma))) = \text{select } D \cdot \varrho_2 \odot \gamma$ 
proof-
  have  $D \cdot \gamma_2 = D \cdot \varrho_2 \odot \gamma$ 
    using  $\gamma_2\text{-}\gamma \text{ clause.subst-eq}$ 
    by fast

moreover have  $\text{select } D \cdot \gamma_2 = \text{select } D \cdot \varrho_2 \cdot \gamma$ 
  using  $\text{clause.subst-eq } \gamma_2\text{-}\gamma \text{ select-vars-subset}$ 
  by (metis clause.comp-subst.left.monoid-action-compatibility)

```

```

ultimately show ?thesis
  using select-from-D
  unfolding D_G
  by simp
qed

obtain C where
  C-grounding: clause.is-ground (C · γ) and
  C_G: C_G = clause.to-ground (C · γ)
  by (metis clause.all-subst-ident-if-ground clause.from-ground-inverse clause.ground-is-ground)

have clause.welltyped-ground-instances (E, V1) ∪ clause.welltyped-ground-instances
(D, V2) ⊆
  ⋃ (clause.welltyped-ground-instances ` N)
using E-in-N D-in-N
by blast

then have  $\iota_G$ -not-redundant:
   $\iota_G \notin \text{ground.GRed-I}$ 
  (clause.welltyped-ground-instances (E, V1) ∪ clause.welltyped-ground-instances
(D, V2))
  using not-redundant ground.Red-I-of-subset
  by blast

obtain C' V3 where
  superposition: superposition (D, V2) (E, V1) (C', V3) and
  inference-groundings:  $\iota_G \in \text{inference-ground-instances} (\text{Infer} [(D, V_2), (E, V_1)]$ 
(C', V3)) and
  C'-γ-C-γ:  $C' \cdot \gamma = C \cdot \gamma$ 
  using
    superposition-lifting[OF
      ground-superposition[unfolded D_G E_G C_G]
      Q1 Q2
      rename-apart
      E-grounding D-grounding C-grounding
      select-from-E select-from-D
      E-is-welltyped D-is-welltyped
      Q1-γ-is-welltyped Q2-γ-is-welltyped
      Q1-is-welltyped Q2-is-welltyped
      V1 V2
       $\iota_G$ -not-redundant[unfolded  $\iota_G$  D_G E_G C_G]
      ]
    unfolding  $\iota_G$  C_G E_G D_G .
  let ?ι = Infer [(D, V2), (E, V1)] (C', V3)
  show ?thesis
  proof(rule that[OF - inference-groundings])

```

```

show ? $\iota \in \text{Inf-from } N$ 
  using E-in-N D-in-N superposition
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
    by auto
qed
qed

lemma ground-instances:
  assumes not-redundant:  $\iota_G \notin \text{ground.Red-}I (\bigcup (\text{clause.welltyped-ground-instances} \setminus N))$ 
  obtains  $\iota$  where
     $\iota \in \text{Inf-from } N$ 
     $\iota_G \in \text{inference-ground-instances } \iota$ 
proof-
  consider
    (superposition)  $\iota_G \in \text{ground.superposition-inferences}$  |
    (eq-resolution)  $\iota_G \in \text{ground.eq-resolution-inferences}$  |
    (eq-factoring)  $\iota_G \in \text{ground.eq-factoring-inferences}$ 
  using  $\iota_G\text{-Inf-from}$ 
  unfolding
    ground.Inf-from-q-def
    ground.G-Inf-def
    inference-system.Inf-from-def
  by fastforce

  then show ?thesis
  proof cases
    case superposition

    then show ?thesis
      using that superposition-ground-instance not-redundant
      by blast
    next
      case eq-resolution

      then show ?thesis
        using that eq-resolution-ground-instance
        by blast
      next
        case eq-factoring

        then show ?thesis
          using that eq-factoring-ground-instance
          by blast
        qed
      qed

    end

```

```

end

context superposition-calculus
begin

lemma overapproximation:
obtains selectG where
  ground-Inf-overapproximated selectG premises
  is-grounding selectG
proof-
  obtain selectG where
    subst-stability: select-subst-stability-on select selectG premises and
    is-grounding selectG
    using obtain-subst-stable-on-select-grounding
    by blast

  then interpret grounded-superposition-calculus
    where selectG = selectG
    by unfold-locales

  show thesis
  proof(rule that[OF - selectG])

    show ground-Inf-overapproximated selectG premises
      using ground-instances[OF subst-stability]
      by auto
  qed
qed

sublocale statically-complete-calculus ⊥F inferences entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  Red-F- $\mathcal{G}$ 
proof(unfold static-empty-ord-inter-equiv-static-inter,
  rule stat-ref-comp-to-non-ground-fam-inter,
  rule ballI)
fix selectG
assume selectG ∈ selectGs
then interpret grounded-superposition-calculus
  where selectG = selectG
  by unfold-locales (simp add: selectGs-def)

show statically-complete-calculus
  ground.G-Bot
  ground.G-Inf
  ground.G-entails
  ground.Red-I
  ground.Red-F
by unfold-locales
next

```

```

show  $\bigwedge N. \exists select_G \in select_{Gs}. \text{ground-Inf-overapproximated } select_G N$ 
  using overapproximation
  unfolding  $select_{Gs}\text{-def}$ 
  by (smt (verit, best) mem-Collect-eq)
qed

end

end
theory Superposition-Soundness
imports
  First-Order-Clause.Nonground-Entailment

Grounded-Superposition
Superposition-Welltypedness-Preservation
begin

```

### 3.3 Soundness

```

context grounded-superposition-calculus
begin

```

```

notation lifting.entails- $\mathcal{G}$  (infix  $\Vdash_F 50$ )

```

```

lemma eq-resolution-sound:
  assumes eq-resolution: eq-resolution D C
  shows  $\{D\} \Vdash_F \{C\}$ 
  using eq-resolution
proof (cases D C rule: eq-resolution.cases)
  case (eq-resolutionI D l D' t t' V μ C)

  {
    fix I :: 'f ground-term rel and γ :: ('f, 'v) subst
    let ?I = upair ` I

    assume
      refl-I: refl I and
      entails-ground-instances:  $\forall D_G \in \text{clause.welltyped-ground-instances}(D, V). ?I \Vdash D_G$  and
      C-is-ground: clause.is-ground (C · γ) and
      C-is-welltyped: clause.is-welltyped V C and
      γ-is-welltyped: term.subst.is-welltyped-on (clause.vars C) V γ and
      V: infinite-variables-per-type V

    obtain γ' where
      γ'-is-ground-subst: term-subst.is-ground-subst γ' and
      γ'-is-welltyped: term-subst.is-welltyped V γ' and
      γ'-γ:  $\forall x \in \text{clause.vars } C. \gamma x = \gamma' x$ 
  }

```

**using** *clause.is-welltyped.ground-subst-extension*[*OF C-is-ground  $\gamma$ -is-welltyped*].

```

let ? $D_G$  = clause.to-ground ( $D \cdot \mu \cdot \gamma'$ )
let ? $l_G$  = literal.to-ground ( $l \cdot l \mu \cdot l \gamma'$ )
let ? $D'_G$  = clause.to-ground ( $D' \cdot \mu \cdot \gamma'$ )
let ? $t_G$  = term.to-ground ( $t \cdot t \mu \cdot t \gamma'$ )
let ? $t'_G$  = term.to-ground ( $t' \cdot t \mu \cdot t \gamma'$ )

have  $\mu$ -is-welltyped: term.subst.is-welltyped-on (clause.vars D)  $\mathcal{V} \mu$ 
  using eq-resolutionI
  by meson

have ? $D_G$  ∈ clause.welltyped-ground-instances ( $D, \mathcal{V}$ )
proof(unfold clause.welltyped-ground-instances-def mem-Collect-eq fst-conv snd-conv,
      intro exI conjI  $\mathcal{V}$ )
  show clause.to-ground ( $D \cdot \mu \cdot \gamma'$ ) = clause.to-ground ( $D \cdot \mu \odot \gamma'$ )
    by simp
next
  show clause.is-ground ( $D \cdot \mu \odot \gamma'$ )
    using  $\gamma'$ -is-ground-subst clause.is-ground-subst-is-ground
    by auto
next
  show clause.is-welltyped  $\mathcal{V} D$ 
    using C-is-welltyped
    unfolding
      eq-resolution-preserves-typing[OF eq-resolution[unfolded eq-resolutionI(1,
2)]].
next
  show term.subst.is-welltyped-on (clause.vars D)  $\mathcal{V} (\mu \odot \gamma')$ 
    using  $\gamma'$ -is-welltyped  $\mu$ -is-welltyped
    by (simp add: subst-compose-def)
qed

then have ? $I \models ?D_G$ 
  using entails-ground-instances
  by auto

then obtain  $l_G$  where  $l_G$ -in- $D$ :  $l_G \in \# ?D_G$  and  $I$ -models- $l_G$ : ? $I \models l l_G$ 
  by (auto simp: true-cls-def)

have  $l_G \neq ?l_G$ 
proof(rule notI)
  assume  $l_G = ?l_G$ 

then have [simp]:  $l_G = ?t_G \approx ?t'_G$ 
  unfolding eq-resolutionI
  by simp

moreover have atm-of  $l_G \in ?I$ 
```

```

proof-
  have ? $t_G$  = ? $t_G'$ 
    using eq-resolutionI(5) term-subst.is-imgu-unifies-pair
    by metis

  then show ?thesis
    using reflD[OF refl-I, of ? $t_G$ ]
    by auto
  qed

  ultimately show False
    using I-models-l $G$ 
    by auto
  qed

  then have l $G$  ∈# clause.to-ground (C · γ')
    using l $G$ -in-D
    unfolding eq-resolutionI
    by simp

  then have ?I ⊨ clause.to-ground (C · γ)
    using clause.subst-eq[OF γ'-γ[rule-format]] I-models-l $G$ 
    by auto
  }

  then show ?thesis
  unfolding
    true-clss-def
    eq-resolutionI(1,2)
    clause.welltyped-ground-instances-def
    ground.G-entails-def
  by auto
  qed

lemma eq-factoring-sound:
  assumes eq-factoring: eq-factoring D C
  shows {D} ⊨F {C}
  using eq-factoring
  proof (cases D C rule: eq-factoring.cases)
    case (eq-factoringI D l $_1$  l $_2$  D' t $_1$  t $_1'$  t $_2$  t $_2'$  μ V C)

    {
      fix I :: 'f ground-term rel and γ :: ('f, 'v) subst

      let ?I = upair ` I

      assume
        trans-I: trans I and
        sym-I: sym I and

```

*entails-ground-instances*:  $\forall D_G \in \text{clause.welltyped-ground-instances}(D, \mathcal{V})$ .  $?I \models D_G$  **and**

*C-is-ground*:  $\text{clause.is-ground}(C \cdot \gamma)$  **and**  
*C-is-welltyped*:  $\text{clause.is-welltyped} \mathcal{V} C$  **and**  
 *$\gamma$ -is-welltyped*:  $\text{term.subst.is-welltyped-on}(\text{clause.vars } C) \mathcal{V} \gamma$  **and**  
 *$\mathcal{V}$* : *infinite-variables-per-type*  $\mathcal{V}$

**obtain**  $\gamma'$  **where**

*$\gamma'$ -is-ground-subst*:  $\text{term.subst.is-ground-subst} \gamma' \mathcal{V}$  **and**  
 *$\gamma'$ -is-welltyped*:  $\text{term.subst.is-welltyped} \mathcal{V} \gamma'$  **and**  
 $\gamma' \cdot \gamma : \forall x \in \text{clause.vars } C. \gamma x = \gamma' x$   
**using**  $\text{clause.is-welltyped.ground-subst-extension}$ [*OF C-is-ground  $\gamma$ -is-welltyped*].

```
let ?D_G = clause.to-ground (D · μ · γ')
let ?D_G' = clause.to-ground (D' · μ · γ')
let ?l_G1 = literal.to-ground (l_1 · l μ · l γ')
let ?l_G2 = literal.to-ground (l_2 · l μ · l γ')
let ?t_G1 = term.to-ground (t_1 · t μ · t γ')
let ?t_G1' = term.to-ground (t_1' · t μ · t γ')
let ?t_G2 = term.to-ground (t_2 · t μ · t γ')
let ?t_G2' = term.to-ground (t_2' · t μ · t γ')
let ?C_G = clause.to-ground (C · γ')
```

**have**  $\mu\text{-is-welltyped}$ :  $\text{term.subst.is-welltyped-on}(\text{clause.vars } D) \mathcal{V} \mu$   
**using** *eq-factoringI(9)*  
**by** *blast*

**have**  $?D_G \in \text{clause.welltyped-ground-instances}(D, \mathcal{V})$   
**proof**(*unfold clause.welltyped-ground-instances-def mem-Collect-eq fst-conv snd-conv, intro exI conjI V*)

**show**  $\text{clause.to-ground}(D \cdot \mu \cdot \gamma') = \text{clause.to-ground}(D \cdot \mu \odot \gamma')$   
**by** *simp*

**next**

**show**  $\text{clause.is-ground}(D \cdot \mu \odot \gamma')$   
**using**  $\gamma'\text{-is-ground-subst clause.is-ground-subst-is-ground}$   
**by** *auto*

**next**

**show**  $\text{clause.is-welltyped} \mathcal{V} D$   
**using** *C-is-welltyped*  
**unfolding** *eq-factoring-preserves-typing*[*OF eq-factoring[unfolded eq-factoringI(1, 2)]*].

**next**

**show**  $\text{term.subst.is-welltyped-on}(\text{clause.vars } D) \mathcal{V} (\mu \odot \gamma')$   
**using**  $\mu\text{-is-welltyped } \gamma'\text{-is-welltyped}$   
**by** (*simp add: subst-compose-def*)

**qed**

**then have**  $?I \models ?D_G$   
**using** *entails-ground-instances*

by *blast*

then obtain  $l_G$  where  $l_G\text{-in-}D_G: l_G \in \# ?D_G$  and  $I\text{-models-}l_G: ?I \Vdash l l_G$   
by (auto simp: true-cls-def)

have [simp]:  $?t_{G2} = ?t_{G1}$   
using eq-factoringI(9) term-subst.is-imgu-unifies-pair  
by metis

have [simp]:  $?l_{G1} = ?t_{G1} \approx ?t_{G1}'$   
unfolding eq-factoringI  
by simp

have [simp]:  $?l_{G2} = ?t_{G2} \approx ?t_{G2}'$   
unfolding eq-factoringI  
by simp

have [simp]:  $?C_G = add-mset (?t_{G1} \approx ?t_{G2}')$  ( $add-mset (?t_{G1}' !\approx ?t_{G2}') ?D_G'$ )  
unfolding eq-factoringI  
by simp

have  $?I \Vdash clause.to-ground (C \cdot \gamma)$   
proof(cases  $l_G = ?l_{G1} \vee l_G = ?l_{G2}$ )  
case True

then have  $?I \Vdash l ?t_{G1} \approx ?t_{G1}' \vee ?I \Vdash l ?t_{G1} \approx ?t_{G2}'$   
using I-models-lG sym-I  
by (auto elim: symE)

then have  $?I \Vdash l ?t_{G1} \approx ?t_{G2}' \vee ?I \Vdash l ?t_{G1}' !\approx ?t_{G2}'$   
using sym-I trans-I  
by (auto dest: transD)

then show ?thesis  
using clause.subst-eq[OF  $\gamma' \neg \gamma$ [rule-format]] sym-I  
by auto  
next  
case False

then have  $l_G \in \# ?D_G'$   
using  $l_G\text{-in-}D_G$   
unfolding eq-factoringI  
by simp

then have  $l_G \in \# clause.to-ground (C \cdot \gamma)$   
using clause.subst-eq[OF  $\gamma' \neg \gamma$ [rule-format]]  
by simp

then show ?thesis

```

using I-models-lG
by blast
qed
}

then show ?thesis
  unfolding
    eq-factoringI(1, 2)
    ground.G-entails-def
    true-clss-def
    clause.welltyped-ground-instances-def
  by auto
qed

lemma superposition-sound:
assumes superposition: superposition D E C
shows {E, D} ⊨F {C}
using superposition
proof (cases D E C rule: superposition.cases)
case (superpositionI V1 V2 ρ1 ρ2 E D l1 E' l2 D' P c1 t1 t1' t2 t2' V3 μ C)

{
fix I :: 'f gterm rel and γ :: 'v ⇒ ('f, 'v) Term.term

let ?I = (λ(x, y). Upair x y) ` I

assume
  refl-I: refl I and
  trans-I: trans I and
  sym-I: sym I and
  compatible-with-ground-context-I: compatible-with-gctxt I and
  E-entails-ground-instances: ∀ EG ∈ clause.welltyped-ground-instances (E, V1).
?I ⊨ EG and
  D-entails-ground-instances: ∀ DG ∈ clause.welltyped-ground-instances (D, V2).
?I ⊨ DG and
  C-is-ground: clause.is-ground (C · γ) and
  C-is-welltyped: clause.is-welltyped V3 C and
  γ-is-welltyped: term.subst.is-welltyped-on (clause.vars C) V3 γ

obtain γ' where
  γ'-is-ground-subst: term-subst.is-ground-subst γ' and
  γ'-is-welltyped: term.subst.is-welltyped V3 γ' and
  γ'-γ: ∀ x ∈ clause.vars C. γ x = γ' x
using clause.is-welltyped.ground-subst-extension[OF C-is-ground γ-is-welltyped].  

let ?EG = clause.to-ground (E · ρ1 · μ · γ')
let ?DG = clause.to-ground (D · ρ2 · μ · γ')

let ?lG1 = literal.to-ground (l1 · l ρ1 · l μ · l γ')

```

```

let ?lG2 = literal.to-ground (l2 · l ρ2 · l μ · l γ')

let ?EG' = clause.to-ground (E' · ρ1 · μ · γ')
let ?DG' = clause.to-ground (D' · ρ2 · μ · γ')

let ?cG1 = context.to-ground (c1 · tc ρ1 · tc μ · tc γ')
let ?tG1 = term.to-ground (t1 · t ρ1 · t μ · t γ')
let ?tG1' = term.to-ground (t1' · t ρ1 · t μ · t γ')
let ?tG2 = term.to-ground (t2 · t ρ2 · t μ · t γ')
let ?tG2' = term.to-ground (t2' · t ρ2 · t μ · t γ')

let ?PG = if P = Pos then Pos else Neg

let ?CG = clause.to-ground (C · γ')

have P-subst [simp]:  $\bigwedge a \sigma. \mathcal{P} a \cdot l \sigma = \mathcal{P} (a \cdot a \sigma)$ 
  using superpositionI(11)
  by auto

have [simp]:  $\bigwedge \mathcal{V} a. \text{literal.is-welltyped } \mathcal{V} (\mathcal{P} a) \longleftrightarrow \text{atom.is-welltyped } \mathcal{V} a$ 
  using superpositionI(11)
  by (auto simp: literal-is-welltyped-iff-atm-of)

have [simp]:  $\bigwedge a. \text{literal.vars } (\mathcal{P} a) = \text{atom.vars } a$ 
  using superpositionI(11)
  by auto

have μ-γ'-is-ground-subst:
  term-subst.is-ground-subst ( $\mu \odot \gamma'$ )
  using term.is-ground-subst-comp-right[OF γ'-is-ground-subst].

have μ-is-welltyped:
  termsubst.is-welltyped-on (clause.vars (E · ρ1) ∪ clause.vars (D · ρ2)) V3 μ
  using superpositionI(15)
  by blast

have D-is-welltyped: clause.is-welltyped V2 D
  using superposition-preserves-typing-D[OF
    superposition[unfolded superpositionI(1-3)]
    C-is-welltyped].

have E-is-welltyped: clause.is-welltyped V1 E
  using superposition-preserves-typing-E[OF
    superposition[unfolded superpositionI(1-3)]
    C-is-welltyped].

have is-welltyped-μ-γ:
  termsubst.is-welltyped-on (clause.vars (E · ρ1) ∪ clause.vars (D · ρ2)) V3 ( $\mu \odot \gamma'$ )

```

```

using  $\gamma'$ -is-welltyped  $\mu$ -is-welltyped
by (simp add: term.welltyped.typed-subst-compose)

note is-welltyped- $\varrho$ - $\mu$ - $\gamma$  = term.welltyped.renaming-ground-subst[ $OF \dots \mu \cdot \gamma'$ -is-ground-subst]

have ? $E_G$  ∈ clause.welltyped-ground-instances ( $E$ ,  $\mathcal{V}_1$ )
proof(
  unfold clause.welltyped-ground-instances-def mem-Collect-eq fst-conv snd-conv,
  intro exI conjI E-is-welltyped superpositionI)

  show clause.to-ground ( $E \cdot \varrho_1 \cdot \mu \cdot \gamma'$ ) = clause.to-ground ( $E \cdot \varrho_1 \odot \mu \odot \gamma'$ )
    by simp
next

show clause.is-ground ( $E \cdot \varrho_1 \odot \mu \odot \gamma'$ )
  using  $\gamma'$ -is-ground-subst clause.is-ground-subst-is-ground
  by auto
next

show term.subst.is-welltyped-on (clause.vars  $E$ )  $\mathcal{V}_1$  ( $\varrho_1 \odot \mu \odot \gamma'$ )
  using
    is-welltyped- $\mu$ - $\gamma$ 
    is-welltyped- $\varrho$ - $\mu$ - $\gamma$ [ $OF$ 
      superpositionI(6) - superpositionI(18, 16)[unfolded clause.vars-subst]]
  by (simp add: subst-compose-assoc clause.vars-subst)
qed

then have entails- $E_G$ : ? $I \models ?E_G$ 
  using  $E$ -entails-ground-instances
  by blast

have ? $D_G$  ∈ clause.welltyped-ground-instances ( $D$ ,  $\mathcal{V}_2$ )
proof(
  unfold clause.welltyped-ground-instances-def mem-Collect-eq fst-conv snd-conv,
  intro exI conjI D-is-welltyped superpositionI)

  show clause.to-ground ( $D \cdot \varrho_2 \cdot \mu \cdot \gamma'$ ) = clause.to-ground ( $D \cdot \varrho_2 \odot \mu \odot \gamma'$ )
    by simp
next

show clause.is-ground ( $D \cdot \varrho_2 \odot \mu \odot \gamma'$ )
  using  $\gamma'$ -is-ground-subst clause.is-ground-subst-is-ground
  by auto
next

show term.subst.is-welltyped-on (clause.vars  $D$ )  $\mathcal{V}_2$  ( $\varrho_2 \odot \mu \odot \gamma'$ )
  using
    is-welltyped- $\mu$ - $\gamma$ 
    is-welltyped- $\varrho$ - $\mu$ - $\gamma$ [ $OF$ 
      superpositionI(7) - superpositionI(19, 17)[unfolded clause.vars-subst]]

```

```

by (simp add: subst-compose-assoc clause.vars-subst)
qed

then have entails-DG: ?I ⊨ ?DG
  using D-entails-ground-instances
  by blast

have ?I ⊨ clause.to-ground (C · γ')
proof(cases ?I ⊨ l literal.to-ground (P (Upair (c1 · tc ρ1)⟨t2' · t ρ2⟩ (t1' · t ρ1)) · l μ · l γ'))
  case True
  then show ?thesis
    unfolding superpositionI
    by simp
next
  case False

have imgu: term.is-imgu μ { {t1 · t ρ1, t2 · t ρ2} }
  using superpositionI(15)
  by blast

interpret clause-entailment I
  by unfold-locales (rule trans-I sym-I compatible-with-ground-context-I) +

note unfolds =
  superpositionI
  context.safe-unfolds
  clause-safe-unfolds
  literal-entails-unfolds
  term.is-imgu-unifies-pair[OF imgu]

from literal-cases[OF superpositionI(11)]
have ¬ ?I ⊨ l ?lG1 ∨ ¬ ?I ⊨ l ?lG2
proof cases
  case Pos: 1

  show ?thesis
    using False symmetric-upair-context-congruence
    unfolding Pos unfolds
    by blast
next
  case Neg: 2

  show ?thesis
    using False symmetric-upair-context-congruence
    unfolding Neg unfolds
    by blast
qed

```

```

then have ?I ⊨ ?EG' ∨ ?I ⊨ ?DG'
  using entails-DG entails-EG
  unfolding superpositionI
  by auto

then show ?thesis
  unfolding superpositionI
  by simp
qed

then have ?I ⊨ clause.to-ground (C · γ)
  by (metis γ'-γ clause.subst-eq)
}

then show ?thesis
  unfolding
    ground.G-entails-def clause.welltyped-ground-instances-def true-clss-def super-
  positionI(1–3)
  by auto
qed

end

sublocale grounded-superposition-calculus ⊆ sound-inference-system inferences ⊥F
(⊨F)
proof unfold-locales
fix i

assume i ∈ inferences

then show set (prems-of i) ⊨F {concl-of i}
  using
    eq-factoring-sound
    eq-resolution-sound
    superposition-sound
  unfolding inferences-def ground.G-entails-def
  by auto
qed

sublocale superposition-calculus ⊆ sound-inference-system inferences ⊥F entails- $\mathcal{G}$ 
proof unfold-locales

obtain selectG where selectG: selectG ∈ selectGs
  using Q-nonempty by blast

then interpret grounded-superposition-calculus
  where selectG = selectG
  by unfold-locales (simp add: selectGs-def)

```

```

fix  $\iota$ 
assume  $\iota \in \text{inferences}$ 

then show entails- $\mathcal{G}$  (set (prems-of  $\iota$ )) {concl-of  $\iota$ }
  unfolding entails-def
  using sound
  by blast
qed

end

```

## 4 Integration of IsaFoR Terms and the Knuth–Bendix Order

This theory implements the abstract interface for atoms and substitutions using the IsaFoR library.

```

theory IsaFoR-Term-Copy
imports
  First-Order-Terms.Unification
  HOL-Cardinals.Wellorder-Extension
  Knuth-Bendix-Order.KBO
begin

```

This part extends and integrates and the Knuth–Bendix order defined in IsaFoR.

```

record 'f weights =
  w :: 'f × nat ⇒ nat
  w0 :: nat
  pr-strict :: 'f × nat ⇒ 'f × nat ⇒ bool
  least :: 'f ⇒ bool
  scf :: 'f × nat ⇒ nat ⇒ nat

class weighted =
  fixes weights :: 'a weights
  assumes weights-adm:
    admissible-kbo
    (w weights) (w0 weights) (pr-strict weights) ((pr-strict weights)==)
    (least weights) (scf weights)
  and pr-strict-total: fi = gj ∨ pr-strict weights fi gj ∨ pr-strict weights gj fi
  and pr-strict-asymp: asymp (pr-strict weights)
  and scf-ok: i < n ==> scf weights (f, n) i ≤ 1

instantiation unit :: weighted begin

definition weights-unit :: unit weights where weights-unit =
  (w = Suc ∘ snd, w0 = 1, pr-strict = λ(-, n) (-, m). n > m, least = λ-. True,
  scf = λ-. -. 1)

```

```

instance
  by (intro-classes, unfold-locales) (auto simp: weights-unit-def SN-iff-wf irreflp-def
    intro!: wf-subset[OF wf-inv-image[OF wf], of - snd])
end

global-interpretation KBO:
  admissible-kbo
   $w \text{ (weights :: } 'f \text{ :: weighted weights)} w0 \text{ (weights :: } 'f \text{ :: weighted weights)}$ 
   $\text{pr-strict weights } ((\text{pr-strict weights})^{==}) \text{ least weights scf weights}$ 
  defines weight = KBO.weight
  and kbo = KBO.kbo
  by (simp add: weights-adm)

lemma kbo-code[code]: kbo s t =
  (let wt = weight t; ws = weight s in
  if vars-term-ms (KBO.SCF t) ⊆# vars-term-ms (KBO.SCF s) ∧ wt ≤ ws
  then
    (if wt < ws then (True, True)
    else
      (case s of
        Var y ⇒ (False, case t of Var x ⇒ True | Fun g ts ⇒ ts = [] ∧ least weights
g)
        | Fun f ss ⇒
          (case t of
            Var x ⇒ (True, True)
            | Fun g ts ⇒
              if pr-strict weights (f, length ss) (g, length ts) then (True, True)
              else if (f, length ss) = (g, length ts) then lex-ext-unbounded kbo ss ts
              else (False, False)))
      else (False, False))
  by (subst KBO.kbo.simps) (auto simp: Let-def split: term.splits)

definition less-kbo s t = fst (kbo t s)

lemma less-kbo-gtotal: ground s ⇒ ground t ⇒ s = t ∨ less-kbo s t ∨ less-kbo
t s
  unfolding less-kbo-def using KBO.S-ground-total by (metis pr-strict-total sub-
set-UNIV)

lemma less-kbo-subst:
  fixes σ :: ('f :: weighted, 'v) subst
  shows less-kbo s t ⇒ less-kbo (s ∙ σ) (t ∙ σ)
  unfolding less-kbo-def by (rule KBO.S-subst)

lemma wfP-less-kbo: wfP less-kbo
proof –
  have SN {(x, y). fst (kbo x y)}
  using pr-strict-asymp by (fastforce simp: asympI irreflp-def intro!: KBO.S-SN

```

```

scf-ok)
then show ?thesis
  unfolding SN-iff-wf wfp-def by (rule wf-subset) (auto simp: less-kbo-def)
qed

end
theory Superposition-Example
imports
  Superposition
  IsaFoR-Term-Copy
  VeriComp.Well-founded
begin

sublocale nonground-term-with-context ⊆
  nonground-term-order less-kbo :: ('f :: weighted,'v) term ⇒ ('f,'v) term ⇒ bool
proof unfold-locales
  show transp less-kbo
    using KBO.S-trans
    unfolding transp-def less-kbo-def
    by blast
next
  show asymp less-kbo
    using wfp-imp-asymp wfP-less-kbo
    by blast
next
  show wfp-on (range term.from-ground) less-kbo
    using wfp-on-subset[OF wfP-less-kbo subset-UNIV] .
next
  show totalp-on (range term.from-ground) less-kbo
    using less-kbo-gtotal
    unfolding totalp-on-def Term.ground-vars-term-empty term.is-ground-iff-range-from-ground
    by blast
next
  fix
    c :: ('f, 'v) context and
    t1 t2 :: ('f, 'v) term
  assume less-kbo t1 t2
  then show less-kbo c⟨t1⟩ c⟨t2⟩
    using KBO.S-ctxt less-kbo-def
    by blast
next
  fix
    t1 t2 :: ('f, 'v) term and
    γ :: ('f, 'v) subst
  assume less-kbo t1 t2

```

```

then show less-kbo ( $t_1 \cdot t \gamma$ ) ( $t_2 \cdot t \gamma$ )
  using less-kbo-subst by blast
next
fix
   $t :: ('f, 'v) term$  and
   $c :: ('f, 'v) context$ 
assume
  term.is-ground  $t$ 
  context.is-ground  $c$ 
   $c \neq \square$ 

then show less-kbo  $t c(t)$ 
  by (simp add: KBO.S-supt less-kbo-def nectxt-imp-supt-ctxt)
qed

abbreviation trivial-tiebreakers :: 
  ' $f$  gatom clause  $\Rightarrow$  (' $f$ , ' $v$ ) atom clause  $\Rightarrow$  (' $f$ , ' $v$ ) atom clause  $\Rightarrow$  bool where
  trivial-tiebreakers - - -  $\equiv$  False

lemma trivial-tiebreakers: wellfounded-strict-order (trivial-tiebreakers  $C_G$ )
  by unfold-locales auto

locale trivial-superposition-example =
  ground-critical-pair-theorem TYPE('f :: weighted)
begin

sublocale nonground-term-with-context.

abbreviation trivial-select :: ' $a$  clause  $\Rightarrow$  ' $a$  clause where
  trivial-select -  $\equiv$  {#}

abbreviation unit-types where
  unit-types -  $\equiv$  ([](), ())

sublocale selection-function trivial-select
  by unfold-locales auto

sublocale
  superposition-calculus
  trivial-select :: ('f , 'v :: infinite) select
  less-kbo
  unit-types
  trivial-tiebreakers
  by unfold-locales (auto simp: UNIV-unit)

end

```

```

context nonground-equality-order
begin

abbreviation select-max where
  select-max C ≡
    if ∃ l ∈ #C. is-maximal l C ∧ is-neg l
    then {#SOME l. is-maximal l C ∧ is-neg l#}
    else {}

sublocale select-max: selection-function select-max
proof unfold-locales
  fix C

  {
    assume ∃ l ∈ #C. is-maximal l C ∧ is-neg l

    then have ∃ l. is-maximal l C ∧ is-neg l
      by blast

    then have (SOME l. is-maximal l C ∧ is-neg l) ∈ # C
      by(rule someI2-ex)(simp add: maximal-in-clause)
  }

  then show select-max C ⊆# C
    by auto
next
  fix C l

  {
    assume ∃ l ∈ #C. is-maximal l C ∧ is-neg l

    then have ∃ l. is-maximal l C ∧ is-neg l
      by blast

    then have is-neg (SOME l. is-maximal l C ∧ is-neg l)
      by (rule someI2-ex) simp
  }

  then show l ∈ # select-max C ==> is-neg l
    by (smt (verit, ccfv-threshold) ex-in-conv set-mset-add-mset-insert set-mset-eq-empty-iff
      singletonD)
qed

end

datatype type = A | B

```

```

lemma UNIV-type [simp]: (UNIV :: type set) = {A, B}
  using type.exhaust by blast

lemma UNIV-type-ordLeq-UNIV-nat: |UNIV :: type set| ≤o |UNIV :: nat set|
  by (simp add: ordLeq3-finite-infinite)

definition pr-strict :: ('f :: wellorder × nat) ⇒ - ⇒ bool where
  pr-strict = lex-prodp ((<) :: 'f ⇒ 'f ⇒ bool) ((<) :: nat ⇒ nat ⇒ bool)

lemma wfp-pr-strict: wfp pr-strict
  by (simp add: lex-prodp-wfP pr-strict-def)

lemma transp-pr-strict: transp pr-strict
proof (rule transpI)
  show ∀x y z. pr-strict x y ⇒ pr-strict y z ⇒ pr-strict x z
    unfolding pr-strict-def lex-prodp-def
    by force
  qed

definition least where
  least x ↔ (∀y. x ≤ y)

definition weight :: 'f × nat ⇒ nat where
  weight p = 1

abbreviation weights where weights ≡
  (w = weight, w0 = 1, pr-strict = pr-strict-1-1, least = least, scf = λ- -. 1)

interpretation weighted weights
proof (unfold-locales, unfold weights.select-convs weight-def least-def pr-strict-def
lex-prodp-def)

  show SN {(fn :: ('b :: wellorder) × nat, gm).
    (λx y. fst x < fst y ∨ fst x = fst y ∧ snd x < snd y)-1-1 fn gm}
  proof (fold lex-prodp-def pr-strict-def, rule wf-imp-SN)
    show wf ({(fn, gm). pr-strict-1-1 fn gm}-1)
      using wfp-pr-strict
      by (simp add: wfp-pr-strict converse-def wfp-def)
    qed
  qed (auto simp: order.order-iff-strict)

instantiation nat :: weighted begin

definition weights-nat :: nat weights where weights-nat ≡ weights

instance
  using weights-adm pr-strict-total pr-strict-asym
  by (intro-classes, unfold weights-nat-def) auto

```

```

end

instantiation nat :: infinite begin

instance
  by intro-classes simp

end

fun repeat :: nat ⇒ 'a ⇒ 'a list where
  repeat 0 _ = []
  | repeat (Suc n) x = x # repeat n x

abbreviation types :: nat ⇒ type list × type where
  types n ≡
    let type = if even n then A else B
    in (repeat (n div 2) type, type)

lemma types-inhabited: ∃f. types f = ([], τ)
proof (cases τ)
  case A
    show ?thesis
      unfolding A
      by (rule exI[of - 0]) auto
  next
    case B
    show ?thesis
      unfolding B
      by (rule exI[of - 1]) auto
qed

locale superposition-example =
  ground-critical-pair-theorem TYPE(nat)
begin

sublocale wellfounded-strict-order trivial-tiebreakers CG
  using trivial-tiebreakers.

sublocale nonground-term-with-context .

sublocale nonground-equality-order less-kbo
  by unfold-locales

sublocale
  superposition-calculus
  select-max :: (nat, nat) select
  less-kbo
  types
  trivial-tiebreakers

```

```

proof unfold-locales
  fix  $\tau$ 
  show  $\exists f. \text{types } f = (\[], \tau)$ 
    using types-inhabited .
next
  show  $|UNIV :: \text{type set}| \leq o |UNIV :: \text{nat set}|$ 
    using UNIV-type-ordLeq-UNIV-nat .
qed

end

end

```

## References

- [1] M. Desharnais, B. Toth, U. Waldmann, J. Blanchette, and S. Tourret. A Modular Formalization of Superposition in Isabelle/HOL. In Y. Bertot, T. Kutsia, and M. Norrish, editors, *15th International Conference on Interactive Theorem Proving (ITP 2024)*, volume 309 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 12:1–12:20, Dagstuhl, Germany, 2024. Schloss Dagstuhl – Leibniz-Zentrum für Informatik.