

# The Sturm-Tarski Theorem

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## Abstract

We have formalised the Sturm-Tarski theorem (also referred as the Tarski theorem): Given polynomials  $p, q \in \mathbb{R}[x]$ , the Sturm-Tarski theorem computes the sum of the signs of  $q$  over the roots of  $p$  by calculating some remainder sequences. Note, the better-known Sturm theorem is an instance of the Sturm-Tarski theorem when  $q = 1$ . The proof follows the classic book by Basu et al. [1] and Cyril Cohen's work in Coq [2]. With the Sturm-Tarski theorem proved, it is possible to further build a quantifier elimination procedure for real numbers as Cohen did in Coq. Another application of the Sturm-Tarski theorem is to build sign determination procedures for polynomials at real algebraic points, as described in our formalisation of real algebraic numbers [3].

**theory** *PolyMisc* **imports**

*HOL-Computational-Algebra.Polynomial-Factorial*

**begin**

**lemma** *coprime-poly-0*:

*poly p x ≠ 0 ∨ poly q x ≠ 0* **if** *coprime p q*

**for** *x :: 'a :: field*

*<proof>*

**lemma** *smult-cancel*:

**fixes** *p :: 'a :: idom poly*

**assumes** *c ≠ 0* **and** *smult: smult c p = smult c q*

**shows** *p = q*

*<proof>*

**lemma** *dvd-monic*:

**fixes** *p q :: 'a :: idom poly*

**assumes** *monic:lead-coeff p = 1* **and** *p dvd (smult c q)* **and** *c ≠ 0*

**shows** *p dvd q* *<proof>*

**lemma** *poly-power-n-eq*:

**fixes** *x :: 'a :: idom*

**assumes** *n ≠ 0*

**shows** *poly*  $([: -a, 1:] \hat{n}) x=0 \longleftrightarrow (x=a)$   $\langle proof \rangle$

**lemma** *poly-power-n-odd*:

**fixes**  $x a:: real$

**assumes** *odd n*

**shows** *poly*  $([: -a, 1:] \hat{n}) x>0 \longleftrightarrow (x>a)$   $\langle proof \rangle$

**lemma** *gcd-coprime-poly*:

**fixes**  $p q::'a::\{factorial-ring-gcd, semiring-gcd-mult-normalize\}$  *poly*

**assumes** *nz*:  $p \neq 0 \vee q \neq 0$  **and**  $p' : p = p' * gcd\ p\ q$  **and**

$q' : q = q' * gcd\ p\ q$

**shows** *coprime p' q'*

$\langle proof \rangle$

**lemma** *poly-mod*:

*poly*  $(p \bmod q) x = poly\ p\ x$  **if** *poly q x = 0*

$\langle proof \rangle$

**end**

## 1 Sturm-Tarski Theorem

**theory** *Sturm-Tarski*

**imports** *Complex-Main PolyMisc HOL-Computational-Algebra.Field-as-Ring*

**begin**

## 2 Misc

**lemma** *eventually-at-right*:

**fixes**  $x::'a::\{archimedean-field, linorder-topology\}$

**shows** *eventually P (at-right x)*  $\longleftrightarrow (\exists b>x. \forall y>x. y < b \longrightarrow P\ y)$   
 $\langle proof \rangle$

**lemma** *eventually-at-left*:

**fixes**  $x::'a::\{archimedean-field, linorder-topology\}$

**shows** *eventually P (at-left x)*  $\longleftrightarrow (\exists b<x. \forall y>b. y < x \longrightarrow P\ y)$   
 $\langle proof \rangle$

**lemma** *eventually-neg*:

**assumes**  $F \neq bot$  **and** *eve:eventually*  $(\lambda x. P\ x)$   $F$

**shows**  $\neg$  *eventually*  $(\lambda x. \neg P\ x)$   $F$   
 $\langle proof \rangle$

**lemma** *poly-tendsto[simp]*:

$(poly\ p \longrightarrow poly\ p\ x)$   $(at\ (x::real))$

$(poly\ p \longrightarrow poly\ p\ x)$   $(at-left\ (x::real))$

$(poly\ p \longrightarrow poly\ p\ x)$   $(at-right\ (x::real))$

$\langle proof \rangle$

**lemma** *not-eq-pos-or-neg-iff-1*:

**fixes**  $p::\text{real poly}$   
**shows**  $(\forall z. lb < z \wedge z \leq ub \longrightarrow \text{poly } p \ z \neq 0) \longleftrightarrow$   
 $(\forall z. lb < z \wedge z \leq ub \longrightarrow \text{poly } p \ z > 0) \vee (\forall z. lb < z \wedge z \leq ub \longrightarrow \text{poly } p \ z < 0)$  (**is**  $?Q \longleftrightarrow$   
 $?P$ )  
 $\langle \text{proof} \rangle$

**lemma** *not-eq-pos-or-neg-iff-2*:

**fixes**  $p::\text{real poly}$   
**shows**  $(\forall z. lb \leq z \wedge z < ub \longrightarrow \text{poly } p \ z \neq 0)$   
 $\longleftrightarrow (\forall z. lb \leq z \wedge z < ub \longrightarrow \text{poly } p \ z > 0) \vee (\forall z. lb \leq z \wedge z < ub \longrightarrow \text{poly } p \ z < 0)$  (**is**  
 $?Q \longleftrightarrow ?P$ )  
 $\langle \text{proof} \rangle$

**lemma** *next-non-root-interval*:

**fixes**  $p::\text{real poly}$   
**assumes**  $p \neq 0$   
**obtains**  $ub$  **where**  $ub > lb$  **and**  $(\forall z. lb < z \wedge z \leq ub \longrightarrow \text{poly } p \ z \neq 0)$   
 $\langle \text{proof} \rangle$

**lemma** *last-non-root-interval*:

**fixes**  $p::\text{real poly}$   
**assumes**  $p \neq 0$   
**obtains**  $lb$  **where**  $lb < ub$  **and**  $(\forall z. lb \leq z \wedge z < ub \longrightarrow \text{poly } p \ z \neq 0)$   
 $\langle \text{proof} \rangle$

### 3 Bound of polynomials

**definition** *sgn-pos-inf* ::  $( 'a :: \text{linordered-idom} ) \text{ poly} \Rightarrow 'a$  **where**

$\text{sgn-pos-inf } p \equiv \text{sgn } (\text{lead-coeff } p)$

**definition** *sgn-neg-inf* ::  $( 'a :: \text{linordered-idom} ) \text{ poly} \Rightarrow 'a$  **where**

$\text{sgn-neg-inf } p \equiv \text{if even } (\text{degree } p) \text{ then } \text{sgn } (\text{lead-coeff } p) \text{ else } -\text{sgn } (\text{lead-coeff } p)$

**lemma** *sgn-inf-sym*:

**fixes**  $p::\text{real poly}$   
**shows**  $\text{sgn-pos-inf } (p \text{compose } p \ [ :0, -1 : ]) = \text{sgn-neg-inf } p$  (**is**  $?L = ?R$ )  
 $\langle \text{proof} \rangle$

**lemma** *poly-pinfty-gt-lc*:

**fixes**  $p::\text{real poly}$   
**assumes**  $\text{lead-coeff } p > 0$   
**shows**  $\exists n. \forall x \geq n. \text{poly } p \ x \geq \text{lead-coeff } p$   $\langle \text{proof} \rangle$

**lemma** *poly-sgn-eventually-at-top*:

**fixes**  $p::\text{real poly}$   
**shows** *eventually*  $(\lambda x. \text{sgn } (\text{poly } p \ x) = \text{sgn-pos-inf } p)$  *at-top*  
 $\langle \text{proof} \rangle$

**lemma** *poly-sgn-eventually-at-bot*:  
**fixes**  $p::\text{real poly}$   
**shows** *eventually*  $(\lambda x. \text{sgn } (\text{poly } p \ x) = \text{sgn-neg-inf } p)$  *at-bot*  
 $\langle \text{proof} \rangle$

**lemma** *root-ub*:  
**fixes**  $p::\text{real poly}$   
**assumes**  $p \neq 0$   
**obtains**  $ub$  **where**  $\forall x. \text{poly } p \ x = 0 \longrightarrow x < ub$   
**and**  $\forall x \geq ub. \text{sgn } (\text{poly } p \ x) = \text{sgn-pos-inf } p$   
 $\langle \text{proof} \rangle$

**lemma** *root-lb*:  
**fixes**  $p::\text{real poly}$   
**assumes**  $p \neq 0$   
**obtains**  $lb$  **where**  $\forall x. \text{poly } p \ x = 0 \longrightarrow x > lb$   
**and**  $\forall x \leq lb. \text{sgn } (\text{poly } p \ x) = \text{sgn-neg-inf } p$   
 $\langle \text{proof} \rangle$

## 4 Sign

**definition** *sign*::  $'a::\{\text{zero,linorder}\} \Rightarrow \text{int}$  **where**  
 $\text{sign } x \equiv (\text{if } x > 0 \text{ then } 1 \text{ else if } x = 0 \text{ then } 0 \text{ else } -1)$

**lemma** *sign-simps*[*simp*]:  
 $x > 0 \Longrightarrow \text{sign } x = 1$   
 $x = 0 \Longrightarrow \text{sign } x = 0$   
 $x < 0 \Longrightarrow \text{sign } x = -1$   
 $\langle \text{proof} \rangle$

**lemma** *sign-cases* [*case-names neg zero pos*]:  
 $(\text{sign } x = -1 \Longrightarrow P) \Longrightarrow (\text{sign } x = 0 \Longrightarrow P) \Longrightarrow (\text{sign } x = 1 \Longrightarrow P) \Longrightarrow P$   
 $\langle \text{proof} \rangle$

**lemma** *sign-times*:  
**fixes**  $x::'a::\text{linordered-ring-strict}$   
**shows**  $\text{sign } (x * y) = \text{sign } x * \text{sign } y$   
 $\langle \text{proof} \rangle$

**lemma** *sign-power*:  
**fixes**  $x::'a::\text{linordered-idom}$   
**shows**  $\text{sign } (x \wedge^n) = (\text{if } n = 0 \text{ then } 1 \text{ else if even } n \text{ then } |\text{sign } x| \text{ else } \text{sign } x)$   
 $\langle \text{proof} \rangle$

**lemma** *sgn-sign-eq*:  
**fixes**  $x::'a::\{\text{linordered-idom}\}$   
**shows**  $\text{sgn } x = \text{of-int } (\text{sign } x)$   
 $\langle \text{proof} \rangle$

## 5 Variation and cross

**definition** *variation* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *int* **where**  
*variation* *x y* = (if *x*\**y*  $\geq$  0 then 0 else if *x* < *y* then 1 else -1)

**definition** *cross* :: *real poly*  $\Rightarrow$  *real*  $\Rightarrow$  *real*  $\Rightarrow$  *int* **where**  
*cross* *p a b* = *variation* (*poly p a*) (*poly p b*)

**lemma** *variation-0[simp]*: *variation* 0 *y* = 0 *variation* *x* 0 = 0  
(*proof*)

**lemma** *variation-comm*: *variation* *x y* = - *variation* *y x* (*proof*)

**lemma** *cross-0[simp]*: *cross* 0 *a b* = 0 (*proof*)

**lemma** *variation-cases*:  
[[*x* > 0; *y* > 0]]  $\implies$  *variation* *x y* = 0  
[[*x* > 0; *y* < 0]]  $\implies$  *variation* *x y* = -1  
[[*x* < 0; *y* > 0]]  $\implies$  *variation* *x y* = 1  
[[*x* < 0; *y* < 0]]  $\implies$  *variation* *x y* = 0  
(*proof*)

**lemma** *variation-congr*:  
**assumes** *sgn x* = *sgn x'* *sgn y* = *sgn y'*  
**shows** *variation* *x y* = *variation* *x' y'* (*proof*)

**lemma** *variation-mult-pos*:  
**assumes** *c* > 0  
**shows** *variation* (*c*\**x*) *y* = *variation* *x y* **and** *variation* *x* (*c*\**y*) = *variation* *x y*  
(*proof*)

**lemma** *variation-mult-neg-1*:  
**assumes** *c* < 0  
**shows** *variation* (*c*\**x*) *y* = *variation* *x y* + (if *y* = 0 then 0 else *sign* *x*)  
(*proof*)

**lemma** *variation-mult-neg-2*:  
**assumes** *c* < 0  
**shows** *variation* *x* (*c*\**y*) = *variation* *x y* + (if *x* = 0 then 0 else - *sign* *y*)  
(*proof*)

**lemma** *cross-no-root*:  
**assumes** *a* < *b* **and** *no-root*:  $\forall x. a < x \wedge x < b \longrightarrow \text{poly } p \ x \neq 0$   
**shows** *cross* *p a b* = 0  
(*proof*)

## 6 Tarski query

**definition** *taq* :: '*a*:*linordered-idom set*  $\Rightarrow$  '*a poly*  $\Rightarrow$  *int* **where**

$taq\ s\ q \equiv \sum x \in s. sign\ (poly\ q\ x)$

## 7 Sign at the right

**definition** *sign-r-pos* :: *real poly*  $\Rightarrow$  *real*  $\Rightarrow$  *bool*

**where**

*sign-r-pos* *p*  $x \equiv (eventually\ (\lambda x. poly\ p\ x > 0)\ (at-right\ x))$

**lemma** *sign-r-pos-rec*:

**fixes** *p* :: *real poly*

**assumes**  $p \neq 0$

**shows** *sign-r-pos* *p*  $x = (if\ poly\ p\ x = 0\ then\ sign-r-pos\ (pderiv\ p)\ x\ else\ poly\ p\ x > 0)$

*<proof>*

**lemma** *sign-r-pos-0[simp]*:  $\neg\ sign-r-pos\ 0\ (x :: real)$

*<proof>*

**lemma** *sign-r-pos-minus*:

**fixes** *p* :: *real poly*

**assumes**  $p \neq 0$

**shows** *sign-r-pos* *p*  $x = (\neg\ sign-r-pos\ (-p)\ x)$

*<proof>*

**lemma** *sign-r-pos-smult*:

**fixes** *p* :: *real poly*

**assumes**  $c \neq 0\ p \neq 0$

**shows** *sign-r-pos* (*smult* *c* *p*)  $x = (if\ c > 0\ then\ sign-r-pos\ p\ x\ else\ \neg\ sign-r-pos\ p\ x)$

(**is** ?L=?R)

*<proof>*

**lemma** *sign-r-pos-mult*:

**fixes** *p* *q* :: *real poly*

**assumes**  $p \neq 0\ q \neq 0$

**shows** *sign-r-pos* (*p*\**q*)  $x = (sign-r-pos\ p\ x \longleftrightarrow sign-r-pos\ q\ x)$

*<proof>*

**lemma** *sign-r-pos-add*:

**fixes** *p* *q* :: *real poly*

**assumes**  $poly\ p\ x = 0\ poly\ q\ x \neq 0$

**shows** *sign-r-pos* (*p*+*q*)  $x = sign-r-pos\ q\ x$

*<proof>*

**lemma** *sign-r-pos-mod*:

**fixes** *p* *q* :: *real poly*

**assumes**  $poly\ p\ x = 0\ poly\ q\ x \neq 0$

**shows** *sign-r-pos* (*q* mod *p*)  $x = sign-r-pos\ q\ x$

*<proof>*

**lemma** *sign-r-pos-pderiv*:  
**fixes**  $p::\text{real poly}$   
**assumes**  $\text{poly } p \ x=0 \ p \neq 0$   
**shows**  $\text{sign-r-pos } (\text{pderiv } p * p) \ x$   
 $\langle \text{proof} \rangle$

**lemma** *sign-r-pos-power*:  
**fixes**  $p::\text{real poly}$  **and**  $a::\text{real}$   
**shows**  $\text{sign-r-pos } ([: -a, 1:] ^ n) \ a$   
 $\langle \text{proof} \rangle$

## 8 Jump

**definition** *jump-poly* ::  $\text{real poly} \Rightarrow \text{real poly} \Rightarrow \text{real} \Rightarrow \text{int}$   
**where**  
 $\text{jump-poly } q \ p \ x \equiv (\text{if } p \neq 0 \wedge q \neq 0 \wedge \text{odd}((\text{order } x \ p) - (\text{order } x \ q)) \text{ then}$   
 $\quad \text{if } \text{sign-r-pos } (q * p) \ x \text{ then } 1 \text{ else } -1$   
 $\quad \text{else } 0)$

**lemma** *jump-poly-not-root*:  $\text{poly } p \ x \neq 0 \Longrightarrow \text{jump-poly } q \ p \ x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *jump-poly0[simp]*:  
 $\text{jump-poly } 0 \ p \ x = 0$   
 $\text{jump-poly } q \ 0 \ x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *jump-poly-smult-1*:  
**fixes**  $p \ q::\text{real poly}$  **and**  $c::\text{real}$   
**shows**  $\text{jump-poly } (\text{smult } c \ q) \ p \ x = \text{sign } c * \text{jump-poly } q \ p \ x$  (**is** ?L=?R)  
 $\langle \text{proof} \rangle$

**lemma** *jump-poly-mult*:  
**fixes**  $p \ q \ p'::\text{real poly}$   
**assumes**  $p' \neq 0$   
**shows**  $\text{jump-poly } (p' * q) \ (p' * p) \ x = \text{jump-poly } q \ p \ x$   
 $\langle \text{proof} \rangle$

**lemma** *jump-poly-1-mult*:  
**fixes**  $p1 \ p2::\text{real poly}$   
**assumes**  $\text{poly } p1 \ x \neq 0 \vee \text{poly } p2 \ x \neq 0$   
**shows**  $\text{jump-poly } 1 \ (p1 * p2) \ x = \text{sign } (\text{poly } p2 \ x) * \text{jump-poly } 1 \ p1 \ x$   
 $\quad + \text{sign } (\text{poly } p1 \ x) * \text{jump-poly } 1 \ p2 \ x$  (**is** ?L=?R)  
 $\langle \text{proof} \rangle$

**lemma** *jump-poly-mod*:  
**fixes**  $p \ q::\text{real poly}$   
**shows**  $\text{jump-poly } q \ p \ x = \text{jump-poly } (q \ \text{mod } p) \ p \ x$

*<proof>*

**lemma** *jump-poly-coprime*:  
  **fixes**  $p\ q::\text{real poly}$   
  **assumes**  $\text{poly } p\ x=0\ \text{coprime } p\ q$   
  **shows**  $\text{jump-poly } q\ p\ x = \text{jump-poly } 1\ (q*p)\ x$   
*<proof>*

**lemma** *jump-poly-sgn*:  
  **fixes**  $p\ q::\text{real poly}$   
  **assumes**  $p \neq 0\ \text{poly } p\ x=0$   
  **shows**  $\text{jump-poly } (p\text{deriv } p * q)\ p\ x = \text{sign } (\text{poly } q\ x)$   
*<proof>*

## 9 Cauchy index

**definition** *cindex-poly*::  $\text{real} \Rightarrow \text{real} \Rightarrow \text{real poly} \Rightarrow \text{real poly} \Rightarrow \text{int}$   
  **where**  
     $\text{cindex-poly } a\ b\ q\ p \equiv (\sum_{x \in \{x. \text{poly } p\ x=0 \wedge a < x \wedge x < b\}}. \text{jump-poly } q\ p\ x)$

**lemma** *cindex-poly-0[simp]*:  $\text{cindex-poly } a\ b\ 0\ p = 0\ \text{cindex-poly } a\ b\ q\ 0 = 0$   
*<proof>*

**lemma** *cindex-poly-cross*:  
  **fixes**  $p::\text{real poly}$  **and**  $a\ b::\text{real}$   
  **assumes**  $a < b\ \text{poly } p\ a \neq 0\ \text{poly } p\ b \neq 0$   
  **shows**  $\text{cindex-poly } a\ b\ 1\ p = \text{cross } p\ a\ b$   
*<proof>*

**lemma** *cindex-poly-mult*:  
  **fixes**  $p\ q\ p'::\text{real poly}$   
  **assumes**  $p' \neq 0$   
  **shows**  $\text{cindex-poly } a\ b\ (p' * q)\ (p' * p) = \text{cindex-poly } a\ b\ q\ p$   
*<proof>*

**lemma** *cindex-poly-smult-1*:  
  **fixes**  $p\ q::\text{real poly}$  **and**  $c::\text{real}$   
  **shows**  $\text{cindex-poly } a\ b\ (\text{smult } c\ q)\ p = (\text{sign } c) * \text{cindex-poly } a\ b\ q\ p$   
*<proof>*

**lemma** *cindex-poly-mod*:  
  **fixes**  $p\ q::\text{real poly}$   
  **shows**  $\text{cindex-poly } a\ b\ q\ p = \text{cindex-poly } a\ b\ (q \bmod p)\ p$   
*<proof>*

**lemma** *cindex-poly-inverse-add*:  
  **fixes**  $p\ q::\text{real poly}$   
  **assumes**  $\text{coprime } p\ q$   
  **shows**  $\text{cindex-poly } a\ b\ q\ p + \text{cindex-poly } a\ b\ p\ q = \text{cindex-poly } a\ b\ 1\ (q*p)$



(is ?L=?R)  
 ⟨proof⟩

**lemma** *cindex-poly-inverse-add-cross*:

fixes  $p q :: \text{real poly}$   
 assumes  $a < b \text{ poly } (p * q) \ a \neq 0 \text{ poly } (p * q) \ b \neq 0$   
 shows  $\text{cindex-poly } a \ b \ q \ p + \text{cindex-poly } a \ b \ p \ q = \text{cross } (p * q) \ a \ b$  (is ?L=?R)  
 ⟨proof⟩

**lemma** *cindex-poly-rec*:

fixes  $p q :: \text{real poly}$   
 assumes  $a < b \text{ poly } (p * q) \ a \neq 0 \text{ poly } (p * q) \ b \neq 0$   
 shows  $\text{cindex-poly } a \ b \ q \ p = \text{cross } (p * q) \ a \ b + \text{cindex-poly } a \ b \ (- (p \text{ mod } q)) \ q$  (is ?L=?R)  
 ⟨proof⟩

**lemma** *cindex-poly-congr*:

fixes  $p q :: \text{real poly}$   
 assumes  $a < a' \ a' < b' \ b' < b$   
 assumes  $\forall x. ((a < x \wedge x \leq a') \vee (b' \leq x \wedge x < b)) \longrightarrow \text{poly } p \ x \neq 0$   
 shows  $\text{cindex-poly } a \ b \ q \ p = \text{cindex-poly } a' \ b' \ q \ p$   
 ⟨proof⟩

**lemma** *greaterThanLessThan-unfold*:  $\{a < .. < b\} = \{x. a < x \wedge x < b\}$   
 ⟨proof⟩

**lemma** *cindex-poly-taq*:

fixes  $p q :: \text{real poly}$   
 shows  $\text{taq } \{x. \text{poly } p \ x = 0 \wedge a < x \wedge x < b\} \ q = \text{cindex-poly } a \ b \ (p \text{deriv } p * q)$   
 p  
 ⟨proof⟩

## 10 Signed remainder sequence

**function** *smods*:  $\text{real poly} \Rightarrow \text{real poly} \Rightarrow (\text{real poly}) \text{ list}$  **where**  
 $\text{smods } p \ q = (\text{if } p=0 \text{ then } [] \text{ else } \text{Cons } p \ (\text{smods } q \ (- (p \text{ mod } q))))$   
 ⟨proof⟩

**termination**

⟨proof⟩

**lemma** *smods-nil-eq*:  $\text{smods } p \ q = [] \longleftrightarrow (p=0)$  ⟨proof⟩

**lemma** *smods-singleton*:  $[x] = \text{smods } p \ q \Longrightarrow (p \neq 0 \wedge q=0 \wedge x=p)$   
 ⟨proof⟩

**lemma** *smods-0[simp]*:

$\text{smods } 0 \ q = []$   
 $\text{smods } p \ 0 = (\text{if } p=0 \text{ then } [] \text{ else } [p])$   
 ⟨proof⟩

**lemma** *no-0-in-smods*:  $0 \notin \text{set } (\text{smods } p \ q)$   
 ⟨proof⟩

**fun** *changes*:: ('a :: linordered-idom) list  $\Rightarrow$  int **where**  
 changes [] = 0 |  
 changes [-] = 0 |  
 changes (x1 # x2 # xs) = (if x1 \* x2 < 0 then 1 + changes (x2 # xs)  
                           else if x2 = 0 then changes (x1 # xs)  
                           else changes (x2 # xs))

**lemma** *changes-map-sgn-eq*:  
 changes xs = changes (map sgn xs)  
 ⟨proof⟩

**definition** *changes-poly-at*:: ('a :: linordered-idom) poly list  $\Rightarrow$  'a  $\Rightarrow$  int **where**  
 changes-poly-at ps a = changes (map ( $\lambda p$ . poly p a) ps)

**definition** *changes-poly-pos-inf*:: ('a :: linordered-idom) poly list  $\Rightarrow$  int **where**  
 changes-poly-pos-inf ps = changes (map sgn-pos-inf ps)

**definition** *changes-poly-neg-inf*:: ('a :: linordered-idom) poly list  $\Rightarrow$  int **where**  
 changes-poly-neg-inf ps = changes (map sgn-neg-inf ps)

**lemma** *changes-poly-at-0[simp]*:  
 changes-poly-at [] a = 0  
 changes-poly-at [p] a = 0  
 ⟨proof⟩

**definition** *changes-itv-smods*:: real  $\Rightarrow$  real  $\Rightarrow$  real poly  $\Rightarrow$  real poly  $\Rightarrow$  int **where**  
 changes-itv-smods a b p q = (let ps = smods p q in changes-poly-at ps a -  
 changes-poly-at ps b)

**definition** *changes-gt-smods*:: real  $\Rightarrow$  real poly  $\Rightarrow$  real poly  $\Rightarrow$  int **where**  
 changes-gt-smods a p q = (let ps = smods p q in changes-poly-at ps a -  
 changes-poly-pos-inf ps)

**definition** *changes-le-smods*:: real  $\Rightarrow$  real poly  $\Rightarrow$  real poly  $\Rightarrow$  int **where**  
 changes-le-smods b p q = (let ps = smods p q in changes-poly-neg-inf ps -  
 changes-poly-at ps b)

**definition** *changes-R-smods*:: real poly  $\Rightarrow$  real poly  $\Rightarrow$  int **where**  
 changes-R-smods p q = (let ps = smods p q in changes-poly-neg-inf ps -  
 changes-poly-pos-inf ps)

**lemma** *changes-R-smods-0[simp]*:  
 changes-R-smods 0 q = 0  
 changes-R-smods p 0 = 0  
 ⟨proof⟩

**lemma** *changes-itv-smods-0[simp]*:

*changes-itv-smods a b 0 q = 0*

*changes-itv-smods a b p 0 = 0*

*<proof>*

**lemma** *changes-itv-smods-rec*:

**assumes** *a < b poly (p\*q) a ≠ 0 poly (p\*q) b ≠ 0*

**shows** *changes-itv-smods a b p q = cross (p\*q) a b + changes-itv-smods a b q*  
*(-(p mod q))*

*<proof>*

**lemma** *changes-smods-congr*:

**fixes** *p q:: real poly*

**assumes** *a ≠ a' poly p a ≠ 0*

**assumes**  $\forall p \in \text{set } (\text{smods } p \ q). \forall x. ((a < x \wedge x \leq a') \vee (a' \leq x \wedge x < a)) \longrightarrow \text{poly } p \ x \neq 0$

**shows** *changes-poly-at (smods p q) a = changes-poly-at (smods p q) a'*

*<proof>*

**lemma** *changes-itv-smods-congr*:

**fixes** *p q:: real poly*

**assumes** *a < a' a' < b' b' < b poly p a ≠ 0 poly p b ≠ 0*

**assumes** *no-root: ∀ p ∈ set (smods p q). ∀ x. ((a < x ∧ x ≤ a') ∨ (b' ≤ x ∧ x < b)) → poly p x ≠ 0*

**shows** *changes-itv-smods a b p q = changes-itv-smods a' b' p q*

*<proof>*

**lemma** *cindex-poly-changes-itv-mods*:

**assumes** *a < b poly p a ≠ 0 poly p b ≠ 0*

**shows** *cindex-poly a b q p = changes-itv-smods a b p q* *<proof>*

**lemma** *root-list-ub*:

**fixes** *ps:: (real poly) list and a::real*

**assumes** *0 ∉ set ps*

**obtains** *ub where*  $\forall p \in \text{set } ps. \forall x. \text{poly } p \ x = 0 \longrightarrow x < ub$

**and**  $\forall x \geq ub. \forall p \in \text{set } ps. \text{sgn } (\text{poly } p \ x) = \text{sgn-pos-inf } p$  **and** *ub > a*

*<proof>*

**lemma** *root-list-lb*:

**fixes** *ps:: (real poly) list and b::real*

**assumes** *0 ∉ set ps*

**obtains** *lb where*  $\forall p \in \text{set } ps. \forall x. \text{poly } p \ x = 0 \longrightarrow x > lb$

**and**  $\forall x \leq lb. \forall p \in \text{set } ps. \text{sgn } (\text{poly } p \ x) = \text{sgn-neg-inf } p$  **and** *lb < b*

*<proof>*

**theorem** *sturm-tarski-interval*:

**assumes** *a < b poly p a ≠ 0 poly p b ≠ 0*

**shows** *taq {x. poly p x = 0 ∧ a < x ∧ x < b} q = changes-itv-smods a b p (pderiv p \* q)*

*<proof>*

**theorem** *sturm-tarski-above*:

**assumes** *poly p a ≠ 0*

**shows** *taq {x. poly p x=0 ∧ a < x} q = changes-gt-smods a p (pderiv p \* q)*

*<proof>*

**theorem** *sturm-tarski-below*:

**assumes** *poly p b ≠ 0*

**shows** *taq {x. poly p x=0 ∧ x < b} q = changes-le-smods b p (pderiv p \* q)*

*<proof>*

**theorem** *sturm-tarski-R*:

**shows** *taq {x. poly p x=0} q = changes-R-smods p (pderiv p \* q)*

*<proof>*

**theorem** *sturm-interval*:

**assumes** *a < b poly p a ≠ 0 poly p b ≠ 0*

**shows** *card {x. poly p x = 0 ∧ a < x ∧ x < b} = changes-itv-smods a b p (pderiv p)*

*<proof>*

**theorem** *sturm-above*:

**assumes** *poly p a ≠ 0*

**shows** *card {x. poly p x = 0 ∧ a < x} = changes-gt-smods a p (pderiv p)*

*<proof>*

**theorem** *sturm-below*:

**assumes** *poly p b ≠ 0*

**shows** *card {x. poly p x = 0 ∧ x < b} = changes-le-smods b p (pderiv p)*

*<proof>*

**theorem** *sturm-R*:

**shows** *card {x. poly p x=0} = changes-R-smods p (pderiv p)*

*<proof>*

**end**

## References

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