

Stream Fusion in HOL

Andreas Lochbihler

Alexandra Maximova

March 17, 2025

Stream Fusion is a system for removing intermediate list data structures from functional programs, in particular [Haskell](#). This entry adapts stream fusion to Isabelle/HOL and its code generator. We define stream types for finite and possibly infinite lists and stream versions for most of the fusible list functions in the theories *List* and *Coinductive-List*, and prove them correct with respect to the conversion functions between lists and streams. The Stream Fusion transformation itself is implemented as a simproc in the pre-processor of the code generator.

Brian Huffman's AFP entry [3] formalises stream fusion in HOLCF for the domain of lazy lists to prove the GHC compiler rewrite rules correct. In contrast, this work enables Isabelle's code generator to perform stream fusion itself. To that end, it covers both finite and coinductive lists from the HOL library and the Coinductive entry. The fusible list functions require specification and proof principles different from Huffman's.

Contents

1	Stream fusion implementation	4
2	Stream fusion for finite lists	4
2.1	The type of generators for finite lists	4
2.2	Conversion to <i>'a list</i>	6
2.3	Producers	7
2.3.1	Conversion to streams	7
2.3.2	<i>replicate</i>	7
2.3.3	<i>upt</i>	8
2.3.4	<i>upto</i>	8
2.3.5	<code>[]</code>	8
2.4	Consumers	8
2.4.1	<code>(!)</code>	8
2.4.2	<i>length</i>	9
2.4.3	<i>foldr</i>	10
2.4.4	<i>foldl</i>	10
2.4.5	<i>fold</i>	10
2.4.6	<i>List.null</i>	11
2.4.7	<i>hd</i>	11
2.4.8	<i>last</i>	12
2.4.9	<i>sum-list</i>	12
2.4.10	<i>list-all2</i>	12
2.4.11	<i>list-all</i>	13
2.4.12	<i>ord.lexordp</i>	13
2.5	Transformers	15
2.5.1	<i>map</i>	15
2.5.2	<i>drop</i>	15
2.5.3	<i>dropWhile</i>	16
2.5.4	<i>take</i>	16
2.5.5	<i>takeWhile</i>	17
2.5.6	<code>(@)</code>	17
2.5.7	<i>filter</i>	18
2.5.8	<i>zip</i>	18
2.5.9	<i>tl</i>	19
2.5.10	<i>butlast</i>	19
2.5.11	<i>concat</i>	20
2.5.12	<i>splice</i>	21
2.5.13	<i>list-update</i>	22
2.5.14	<i>removeAll</i>	22
2.5.15	<i>remove1</i>	23
2.5.16	<code>(#)</code>	23
2.5.17	<i>List.maps</i>	24

3	Stream fusion for coinductive lists	26
3.1	Conversions to <i>'a llist</i>	27
3.1.1	Infinitely many consecutive <i>Skips</i>	27
3.1.2	Finitely many consecutive <i>Skips</i>	28
3.2	Producers	29
3.2.1	Conversion to streams	29
3.2.2	<i>iterates</i>	29
3.2.3	<i>unfold-llist</i>	30
3.2.4	<i>inf-llist</i>	30
3.3	Consumers	30
3.3.1	<i>lhd</i>	30
3.3.2	<i>llength</i>	31
3.3.3	<i>lnull</i>	32
3.3.4	<i>llist-all2</i>	32
3.3.5	<i>lnth</i>	33
3.3.6	<i>lprefix</i>	34
3.4	Transformers	35
3.4.1	<i>lmap</i>	35
3.4.2	<i>ltake</i>	35
3.4.3	<i>ldropn</i>	36
3.4.4	<i>ldrop</i>	36
3.4.5	<i>ltakeWhile</i>	36
3.4.6	<i>ldropWhile</i>	37
3.4.7	<i>lzip</i>	37
3.4.8	<i>lappend</i>	38
3.4.9	<i>lfilter</i>	39
3.4.10	<i>llist-of</i>	39
4	Examples and test cases for stream fusion	39
4.1	Micro-benchmarks from Farmer et al. [2]	40
4.2	Test stream fusion in the code generator	40

1 Stream fusion implementation

theory *Stream-Fusion*

imports

Main

begin

$\langle ML \rangle$

declare $[[simproc\ del:\ stream-fusion]]$

Install stream fusion as a simproc in the preprocessor for code equations

$\langle ML \rangle$

end

2 Stream fusion for finite lists

theory *Stream-Fusion-List*

imports *Stream-Fusion*

begin

lemma *map-option-mono* $[partial-function-mono]:$

$mono-option\ f \implies mono-option\ (\lambda x. map-option\ g\ (f\ x))$

$\langle proof \rangle$

2.1 The type of generators for finite lists

datatype $('a, 's)\ step = Done \mid is-Skip:\ Skip\ 's \mid is-Yield:\ Yield\ 'a\ 's$

type-synonym $('a, 's)\ raw-generator = 's \Rightarrow ('a, 's)\ step$

Raw generators may not end in *Done*, but may lead to infinitely many *Yields* in a row. Such generators cannot be converted to finite lists, because it corresponds to an infinite list. Therefore, we introduce the type of generators that always end in *Done* after finitely many steps.

inductive-set *terminates-on* $:: ('a, 's)\ raw-generator \Rightarrow 's\ set$

for $g :: ('a, 's)\ raw-generator$

where

$stop:\ g\ s = Done \implies s \in terminates-on\ g$

$\mid pause:\ \llbracket g\ s = Skip\ s';\ s' \in terminates-on\ g \rrbracket \implies s \in terminates-on\ g$

$\mid unfold:\ \llbracket g\ s = Yield\ a\ s';\ s' \in terminates-on\ g \rrbracket \implies s \in terminates-on\ g$

definition *terminates* $:: ('a, 's)\ raw-generator \Rightarrow bool$

where $terminates\ g \longleftrightarrow (terminates-on\ g = UNIV)$

lemma *terminatesI* [intro?]:
 $(\bigwedge s. s \in \text{terminates-on } g) \implies \text{terminates } g$
 ⟨proof⟩

lemma *terminatesD*:
 $\text{terminates } g \implies s \in \text{terminates-on } g$
 ⟨proof⟩

lemma *terminates-on-stop*:
 $\text{terminates-on } (\lambda-. \text{Done}) = \text{UNIV}$
 ⟨proof⟩

lemma *wf-terminates*:
 assumes *wf* *R*
 and *skip*: $\bigwedge s s'. g \ s = \text{Skip } s' \implies (s', s) \in R$
 and *yield*: $\bigwedge s s' a. g \ s = \text{Yield } a \ s' \implies (s', s) \in R$
 shows *terminates* *g*
 ⟨proof⟩

context fixes *g* :: ('a, 's) raw-generator **begin**

partial-function (*option*) *terminates-within* :: 's \Rightarrow nat *option* **where**
 $\text{terminates-within } s = (\text{case } g \ s \ \text{of}$
 Done \Rightarrow *Some* 0
 | *Skip* *s'* \Rightarrow *map-option* $(\lambda n. n + 1)$ (*terminates-within* *s'*)
 | *Yield* *a* *s'* \Rightarrow *map-option* $(\lambda n. n + 1)$ (*terminates-within* *s'*))

lemma *terminates-on-conv-dom-terminates-within*:
 $\text{terminates-on } g = \text{dom } \text{terminates-within}$
 ⟨proof⟩

end

lemma *terminates-wfE*:
 assumes *terminates* *g*
 obtains *R*
 where *wf* *R*
 $\bigwedge s s'. (g \ s = \text{Skip } s') \implies (s', s) \in R$
 $\bigwedge s a s'. (g \ s = \text{Yield } a \ s') \implies (s', s) \in R$
 ⟨proof⟩

typedef ('a, 's) *generator* = {*g* :: ('a, 's) raw-generator. *terminates* *g*}
morphisms *generator* *Generator*

<proof>

setup-lifting *type-definition-generator*

2.2 Conversion to 'a list

context fixes $g :: ('a, 's) \text{ generator}$ **begin**

function *unstream* :: $'s \Rightarrow 'a \text{ list}$

where

$\text{unstream } s = (\text{case generator } g \text{ } s \text{ of}$
 $\text{Done} \Rightarrow []$
 $| \text{Skip } s' \Rightarrow \text{unstream } s'$
 $| \text{Yield } x \text{ } s' \Rightarrow x \# \text{unstream } s')$

<proof>

termination

<proof>

lemma *unstream-simps* [*simp*]:

$\text{generator } g \text{ } s = \text{Done} \Longrightarrow \text{unstream } s = []$
 $\text{generator } g \text{ } s = \text{Skip } s' \Longrightarrow \text{unstream } s = \text{unstream } s'$
 $\text{generator } g \text{ } s = \text{Yield } x \text{ } s' \Longrightarrow \text{unstream } s = x \# \text{unstream } s'$

<proof>

declare *unstream.simps*[*simp del*]

function *force* :: $'s \Rightarrow ('a \times 's) \text{ option}$

where

$\text{force } s = (\text{case generator } g \text{ } s \text{ of } \text{Done} \Rightarrow \text{None}$
 $| \text{Skip } s' \Rightarrow \text{force } s'$
 $| \text{Yield } x \text{ } s' \Rightarrow \text{Some } (x, s'))$

<proof>

termination

<proof>

lemma *force-simps* [*simp*]:

$\text{generator } g \text{ } s = \text{Done} \Longrightarrow \text{force } s = \text{None}$
 $\text{generator } g \text{ } s = \text{Skip } s' \Longrightarrow \text{force } s = \text{force } s'$
 $\text{generator } g \text{ } s = \text{Yield } x \text{ } s' \Longrightarrow \text{force } s = \text{Some } (x, s')$

<proof>

declare *force.simps*[*simp del*]

lemma *unstream-force-None* [*simp*]: $\text{force } s = \text{None} \Longrightarrow \text{unstream } s = []$

$\langle \text{proof} \rangle$

lemma *unstream-force-Some [simp]: force s = Some (x, s') \implies unstream s = x # unstream s'*

$\langle \text{proof} \rangle$

end

$\langle ML \rangle$

2.3 Producers

2.3.1 Conversion to streams

fun *stream-raw* :: 'a list \Rightarrow ('a, 'a list) step

where

stream-raw [] = Done

| *stream-raw* (x # xs) = Yield x xs

lemma *terminates-stream-raw: terminates stream-raw*

$\langle \text{proof} \rangle$

lift-definition *stream* :: ('a, 'a list) generator **is** *stream-raw* $\langle \text{proof} \rangle$

lemma *unstream-stream: unstream stream xs = xs*

$\langle \text{proof} \rangle$

2.3.2 replicate

fun *replicate-raw* :: 'a \Rightarrow ('a, nat) raw-generator

where

replicate-raw a 0 = Done

| *replicate-raw* a (Suc n) = Yield a n

lemma *terminates-replicate-raw: terminates (replicate-raw a)*

$\langle \text{proof} \rangle$

lift-definition *replicate-prod* :: 'a \Rightarrow ('a, nat) generator **is** *replicate-raw*

$\langle \text{proof} \rangle$

lemma *unstream-replicate-prod [stream-fusion]: unstream (replicate-prod x) n = replicate n x*

$\langle \text{proof} \rangle$

2.3.3 *upt*

definition *upt-raw* :: *nat* \Rightarrow (*nat*, *nat*) *raw-generator*
where *upt-raw* *n m* = (if *m* \geq *n* then *Done* else *Yield m (Suc m)*)

lemma *terminates-upt-raw*: *terminates (upt-raw n)*
<proof>

lift-definition *upt-prod* :: *nat* \Rightarrow (*nat*, *nat*) *generator* **is** *upt-raw* *<proof>*

lemma *unstream-upt-prod* [*stream-fusion*]: *unstream (upt-prod n) m = upt m n*
<proof>

2.3.4 *upto*

definition *upto-raw* :: *int* \Rightarrow (*int*, *int*) *raw-generator*
where *upto-raw* *n m* = (if *m* \leq *n* then *Yield m (m + 1)* else *Done*)

lemma *terminates-upto-raw*: *terminates (upto-raw n)*
<proof>

lift-definition *upto-prod* :: *int* \Rightarrow (*int*, *int*) *generator* **is** *upto-raw* *<proof>*

lemma *unstream-upto-prod* [*stream-fusion*]: *unstream (upto-prod n) m = upto m n*
<proof>

2.3.5 []

lift-definition *Nil-prod* :: (*'a*, *unit*) *generator* **is** $\lambda\cdot$. *Done*
<proof>

lemma *generator-Nil-prod*: *generator Nil-prod = ($\lambda\cdot$. Done)*
<proof>

lemma *unstream-Nil-prod* [*stream-fusion*]: *unstream Nil-prod () = []*
<proof>

2.4 Consumers

2.4.1 (!)

context fixes *g* :: (*'a*, *'s*) *generator* **begin**

definition *nth-cons* :: *'s* \Rightarrow *nat* \Rightarrow *'a*
where [*stream-fusion*]: *nth-cons s n = unstream g s ! n*

lemma *nth-cons-code* [code]:

$$\begin{aligned} \text{nth-cons } s \ n = & \\ & (\text{case generator } g \ s \text{ of } \text{Done} \Rightarrow \text{undefined } n \\ & \quad | \text{Skip } s' \Rightarrow \text{nth-cons } s' \ n \\ & \quad | \text{Yield } x \ s' \Rightarrow (\text{case } n \text{ of } 0 \Rightarrow x \mid \text{Suc } n' \Rightarrow \text{nth-cons } s' \ n')) \end{aligned}$$

 ⟨proof⟩
end

2.4.2 *length*

context fixes $g :: ('a, 's) \text{ generator}$ **begin**

definition *length-cons* :: $'s \Rightarrow \text{nat}$
where *length-cons* $s = \text{length } (\text{unstream } g \ s)$

lemma *length-cons-code* [code]:

$$\begin{aligned} \text{length-cons } s = & \\ & (\text{case generator } g \ s \text{ of} \\ & \quad \text{Done} \Rightarrow 0 \\ & \quad | \text{Skip } s' \Rightarrow \text{length-cons } s' \\ & \quad | \text{Yield } a \ s' \Rightarrow 1 + \text{length-cons } s') \end{aligned}$$

 ⟨proof⟩

definition *gen-length-cons* :: $\text{nat} \Rightarrow 's \Rightarrow \text{nat}$
where *gen-length-cons* $n \ s = n + \text{length } (\text{unstream } g \ s)$

lemma *gen-length-cons-code* [code]:

$$\begin{aligned} \text{gen-length-cons } n \ s = & (\text{case generator } g \ s \text{ of} \\ & \quad \text{Done} \Rightarrow n \mid \text{Skip } s' \Rightarrow \text{gen-length-cons } n \ s' \mid \text{Yield } a \ s' \Rightarrow \text{gen-length-cons } (\text{Suc } n) \\ & \quad s') \end{aligned}$$

 ⟨proof⟩

lemma *unstream-gen-length* [stream-fusion]: $\text{gen-length-cons } 0 \ s = \text{length } (\text{unstream } g \ s)$
 ⟨proof⟩

lemma *unstream-gen-length2* [stream-fusion]: $\text{gen-length-cons } n \ s = \text{List.gen-length } n \ (\text{unstream } g \ s)$
 ⟨proof⟩

end

2.4.3 *foldr*

context

fixes $g :: ('a, 's) \text{ generator}$
and $f :: 'a \Rightarrow 'b \Rightarrow 'b$
and $z :: 'b$

begin

definition $\text{foldr-cons} :: 's \Rightarrow 'b$

where $[\text{stream-fusion}]: \text{foldr-cons } s = \text{foldr } f \text{ (unstream } g \text{ } s) \text{ } z$

lemma foldr-cons-code $[\text{code}]$:

$\text{foldr-cons } s =$
 $(\text{case generator } g \text{ } s \text{ of}$
 $\text{Done} \Rightarrow z$
 $| \text{Skip } s' \Rightarrow \text{foldr-cons } s'$
 $| \text{Yield } a \text{ } s' \Rightarrow f \text{ } a \text{ } (\text{foldr-cons } s'))$
 $\langle \text{proof} \rangle$

end

2.4.4 *foldl*

context

fixes $g :: ('b, 's) \text{ generator}$
and $f :: 'a \Rightarrow 'b \Rightarrow 'a$

begin

definition $\text{foldl-cons} :: 'a \Rightarrow 's \Rightarrow 'a$

where $[\text{stream-fusion}]: \text{foldl-cons } z \text{ } s = \text{foldl } f \text{ } z \text{ (unstream } g \text{ } s)$

lemma foldl-cons-code $[\text{code}]$:

$\text{foldl-cons } z \text{ } s =$
 $(\text{case generator } g \text{ } s \text{ of}$
 $\text{Done} \Rightarrow z$
 $| \text{Skip } s' \Rightarrow \text{foldl-cons } z \text{ } s'$
 $| \text{Yield } a \text{ } s' \Rightarrow \text{foldl-cons } (f \text{ } z \text{ } a) \text{ } s')$
 $\langle \text{proof} \rangle$

end

2.4.5 *fold*

context

fixes $g :: ('a, 's) \text{ generator}$

and $f :: 'a \Rightarrow 'b \Rightarrow 'b$
begin

definition $fold-cons :: 'b \Rightarrow 's \Rightarrow 'b$
where $[stream-fusion]: fold-cons\ z\ s = fold\ f\ (unstream\ g\ s)\ z$

lemma $fold-cons-code\ [code]:$
 $fold-cons\ z\ s =$
 $(case\ generator\ g\ s\ of$
 $\quad Done \Rightarrow z$
 $\quad | Skip\ s' \Rightarrow fold-cons\ z\ s'$
 $\quad | Yield\ a\ s' \Rightarrow fold-cons\ (f\ a\ z)\ s')$
 $\langle proof \rangle$

end

2.4.6 *List.null*

definition $null-cons :: ('a, 's)\ generator \Rightarrow 's \Rightarrow bool$
where $[stream-fusion]: null-cons\ g\ s = List.null\ (unstream\ g\ s)$

lemma $null-cons-code\ [code]:$
 $null-cons\ g\ s = (case\ generator\ g\ s\ of\ Done \Rightarrow True\ |\ Skip\ s' \Rightarrow null-cons\ g\ s'\ |\ Yield$
 $- - \Rightarrow False)$
 $\langle proof \rangle$

2.4.7 *hd*

context **fixes** $g :: ('a, 's)\ generator$ **begin**

definition $hd-cons :: 's \Rightarrow 'a$
where $[stream-fusion]: hd-cons\ s = hd\ (unstream\ g\ s)$

lemma $hd-cons-code\ [code]:$
 $hd-cons\ s =$
 $(case\ generator\ g\ s\ of$
 $\quad Done \Rightarrow undefined$
 $\quad | Skip\ s' \Rightarrow hd-cons\ s'$
 $\quad | Yield\ a\ s' \Rightarrow a)$
 $\langle proof \rangle$

end

2.4.8 *last*

context fixes $g :: ('a, 's) \text{ generator}$ **begin**

definition $\text{last-cons} :: 'a \text{ option} \Rightarrow 's \Rightarrow 'a$

where $\text{last-cons } x \ s = (\text{if } \text{unstream } g \ s = [] \text{ then the } x \text{ else last } (\text{unstream } g \ s))$

lemma last-cons-code [code]:

$\text{last-cons } x \ s =$
 $(\text{case generator } g \ s \text{ of } \text{Done} \Rightarrow \text{the } x$
 $\quad | \text{Skip } s' \Rightarrow \text{last-cons } x \ s'$
 $\quad | \text{Yield } a \ s' \Rightarrow \text{last-cons } (\text{Some } a) \ s')$

$\langle \text{proof} \rangle$

lemma $\text{unstream-last-cons}$ [stream-fusion]: $\text{last-cons } \text{None } s = \text{last } (\text{unstream } g \ s)$

$\langle \text{proof} \rangle$

end

2.4.9 *sum-list*

context fixes $g :: ('a :: \text{monoid-add}, 's) \text{ generator}$ **begin**

definition $\text{sum-list-cons} :: 's \Rightarrow 'a$

where [stream-fusion]: $\text{sum-list-cons } s = \text{sum-list } (\text{unstream } g \ s)$

lemma $\text{sum-list-cons-code}$ [code]:

$\text{sum-list-cons } s =$
 $(\text{case generator } g \ s \text{ of}$
 $\quad \text{Done} \Rightarrow 0$
 $\quad | \text{Skip } s' \Rightarrow \text{sum-list-cons } s'$
 $\quad | \text{Yield } a \ s' \Rightarrow a + \text{sum-list-cons } s')$

$\langle \text{proof} \rangle$

end

2.4.10 *list-all2*

context

fixes $g :: ('a, 's1) \text{ generator}$

and $h :: ('b, 's2) \text{ generator}$

and $P :: 'a \Rightarrow 'b \Rightarrow \text{bool}$

begin

definition $\text{list-all2-cons} :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$

where $[stream-fusion]: list-all2-cons\ sg\ sh = list-all2\ P\ (unstream\ g\ sg)\ (unstream\ h\ sh)$

definition $list-all2-cons1 :: 'a \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$

where $list-all2-cons1\ x\ sg'\ sh = list-all2\ P\ (x\ \# \ unstream\ g\ sg')\ (unstream\ h\ sh)$

lemma $list-all2-cons-code\ [code]:$

$list-all2-cons\ sg\ sh =$
 $(case\ generator\ g\ sg\ of$
 $\quad Done \Rightarrow null-cons\ h\ sh$
 $\quad | Skip\ sg' \Rightarrow list-all2-cons\ sg'\ sh$
 $\quad | Yield\ a\ sg' \Rightarrow list-all2-cons1\ a\ sg'\ sh)$
 $\langle proof \rangle$

lemma $list-all2-cons1-code\ [code]:$

$list-all2-cons1\ x\ sg'\ sh =$
 $(case\ generator\ h\ sh\ of$
 $\quad Done \Rightarrow False$
 $\quad | Skip\ sh' \Rightarrow list-all2-cons1\ x\ sg'\ sh'$
 $\quad | Yield\ y\ sh' \Rightarrow P\ x\ y \wedge list-all2-cons\ sg'\ sh')$
 $\langle proof \rangle$

end

2.4.11 $list-all$

context

fixes $g :: ('a, 's)\ generator$

and $P :: 'a \Rightarrow bool$

begin

definition $list-all-cons :: 's \Rightarrow bool$

where $[stream-fusion]: list-all-cons\ s = list-all\ P\ (unstream\ g\ s)$

lemma $list-all-cons-code\ [code]:$

$list-all-cons\ s \longleftrightarrow$
 $(case\ generator\ g\ s\ of$
 $\quad Done \Rightarrow True \mid Skip\ s' \Rightarrow list-all-cons\ s' \mid Yield\ x\ s' \Rightarrow P\ x \wedge list-all-cons\ s')$
 $\langle proof \rangle$

end

2.4.12 $ord.lexordp$

context $ord\ begin$

definition *lexord-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool
where [code del]: *lexord-fusion* g1 g2 s1 s2 = ord-class.lexordp (unstream g1 s1) (unstream g2 s2)

definition *lexord-eq-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool
where [code del]: *lexord-eq-fusion* g1 g2 s1 s2 = lexordp-eq (unstream g1 s1) (unstream g2 s2)

lemma *lexord-fusion-code*:
lexord-fusion g1 g2 s1 s2 \longleftrightarrow
(case generator g1 s1 of
 Done $\Rightarrow \neg$ null-cons g2 s2
 | Skip s1' \Rightarrow *lexord-fusion* g1 g2 s1' s2
 | Yield x s1' \Rightarrow
 (case force g2 s2 of
 None \Rightarrow False
 | Some (y, s2') \Rightarrow $x < y \vee \neg y < x \wedge$ *lexord-fusion* g1 g2 s1' s2'))
⟨proof⟩

lemma *lexord-eq-fusion-code*:
lexord-eq-fusion g1 g2 s1 s2 \longleftrightarrow
(case generator g1 s1 of
 Done \Rightarrow True
 | Skip s1' \Rightarrow *lexord-eq-fusion* g1 g2 s1' s2
 | Yield x s1' \Rightarrow
 (case force g2 s2 of
 None \Rightarrow False
 | Some (y, s2') \Rightarrow $x < y \vee \neg y < x \wedge$ *lexord-eq-fusion* g1 g2 s1' s2'))
⟨proof⟩

end

lemmas [code] =
lexord-fusion-code ord.lexord-fusion-code
lexord-eq-fusion-code ord.lexord-eq-fusion-code

lemmas [stream-fusion] =
lexord-fusion-def ord.lexord-fusion-def
lexord-eq-fusion-def ord.lexord-eq-fusion-def

2.5 Transformers

2.5.1 *map*

definition *map-raw* :: (*'a* \Rightarrow *'b*) \Rightarrow (*'a*, *'s*) *raw-generator* \Rightarrow (*'b*, *'s*) *raw-generator*
where

map-raw *f g s* = (case *g s* of
 Done \Rightarrow *Done*
 | *Skip s'* \Rightarrow *Skip s'*
 | *Yield a s'* \Rightarrow *Yield (f a) s'*)

lemma *terminates-map-raw*:

assumes *terminates g*

shows *terminates (map-raw f g)*

<proof>

lift-definition *map-trans* :: (*'a* \Rightarrow *'b*) \Rightarrow (*'a*, *'s*) *generator* \Rightarrow (*'b*, *'s*) *generator* **is** *map-raw*

<proof>

lemma *unstream-map-trans [stream-fusion]*: *unstream (map-trans f g) s* = *map f (unstream g s)*

<proof>

2.5.2 *drop*

fun *drop-raw* :: (*'a*, *'s*) *raw-generator* \Rightarrow (*'a*, (*nat* \times *'s*)) *raw-generator*

where

drop-raw g (n, s) = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip (n, s')*
 | *Yield a s'* \Rightarrow (case *n* of 0 \Rightarrow *Yield a (0, s')* | *Suc n* \Rightarrow *Skip (n, s')*))

lemma *terminates-drop-raw*:

assumes *terminates g*

shows *terminates (drop-raw g)*

<proof>

lift-definition *drop-trans* :: (*'a*, *'s*) *generator* \Rightarrow (*'a*, *nat* \times *'s*) *generator* **is** *drop-raw*

<proof>

lemma *unstream-drop-trans [stream-fusion]*: *unstream (drop-trans g) (n, s)* = *drop n (unstream g s)*

<proof>

2.5.3 *dropWhile*

fun *dropWhile-raw* :: ('a \Rightarrow bool) \Rightarrow ('a, 's) raw-generator \Rightarrow ('a, bool \times 's) raw-generator
 — Boolean flag indicates whether we are still in dropping phase

where

dropWhile-raw *P g* (True, *s*) = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip* (True, *s'*)
 | *Yield a s'* \Rightarrow (if *P a* then *Skip* (True, *s'*) else *Yield a* (False, *s'*)))
dropWhile-raw *P g* (False, *s*) = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip* (False, *s'*) | *Yield a s'* \Rightarrow *Yield a* (False, *s'*))

lemma *terminates-dropWhile-raw*:

assumes *terminates g*

shows *terminates* (*dropWhile-raw P g*)

<proof>

lift-definition *dropWhile-trans* :: ('a \Rightarrow bool) \Rightarrow ('a, 's) generator \Rightarrow ('a, bool \times 's) generator

is *dropWhile-raw* *<proof>*

lemma *unstream-dropWhile-trans-False*:

unstream (*dropWhile-trans P g*) (False, *s*) = *unstream g s*

<proof>

lemma *unstream-dropWhile-trans [stream-fusion]*:

unstream (*dropWhile-trans P g*) (True, *s*) = *dropWhile P* (*unstream g s*)

<proof>

2.5.4 *take*

fun *take-raw* :: ('a, 's) raw-generator \Rightarrow ('a, (nat \times 's)) raw-generator

where

take-raw g (0, *s*) = *Done*
 | *take-raw g* (Suc *n*, *s*) = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip* (Suc *n*, *s'*) | *Yield a s'* \Rightarrow *Yield a* (*n*, *s'*))

lemma *terminates-take-raw*:

assumes *terminates g*

shows *terminates* (*take-raw g*)

<proof>

lift-definition *take-trans* :: ('a, 's) generator \Rightarrow ('a, nat \times 's) generator **is** *take-raw*

<proof>

lemma *unstream-take-trans* [stream-fusion]: *unstream* (*take-trans* *g*) (*n*, *s*) = *take* *n* (*unstream* *g* *s*)
 ⟨proof⟩

2.5.5 *takeWhile*

definition *takeWhile-raw* :: (*'a* ⇒ *bool*) ⇒ (*'a*, *'s*) *raw-generator* ⇒ (*'a*, *'s*) *raw-generator*
where

takeWhile-raw *P* *g* *s* = (case *g* *s* of
Done ⇒ *Done* | *Skip* *s'* ⇒ *Skip* *s'* | *Yield* *a* *s'* ⇒ if *P* *a* then *Yield* *a* *s'* else *Done*)

lemma *terminates-takeWhile-raw*:

assumes *terminates* *g*

shows *terminates* (*takeWhile-raw* *P* *g*)

⟨proof⟩

lift-definition *takeWhile-trans* :: (*'a* ⇒ *bool*) ⇒ (*'a*, *'s*) *generator* ⇒ (*'a*, *'s*) *generator*
is *takeWhile-raw* ⟨proof⟩

lemma *unstream-takeWhile-trans* [stream-fusion]:

unstream (*takeWhile-trans* *P* *g*) *s* = *takeWhile* *P* (*unstream* *g* *s*)

⟨proof⟩

2.5.6 (@)

fun *append-raw* :: (*'a*, *'sg*) *raw-generator* ⇒ (*'a*, *'sh*) *raw-generator* ⇒ *'sh* ⇒ (*'a*, *'sg* + *'sh*) *raw-generator*

where

append-raw *g* *h* *sh-start* (*Inl* *sg*) = (case *g* *sg* of
Done ⇒ *Skip* (*Inr* *sh-start*) | *Skip* *sg'* ⇒ *Skip* (*Inl* *sg'*) | *Yield* *a* *sg'* ⇒ *Yield* *a* (*Inl* *sg'*))
 | *append-raw* *g* *h* *sh-start* (*Inr* *sh*) = (case *h* *sh* of
Done ⇒ *Done* | *Skip* *sh'* ⇒ *Skip* (*Inr* *sh'*) | *Yield* *a* *sh'* ⇒ *Yield* *a* (*Inr* *sh'*))

lemma *terminates-on-append-raw-Inr*:

assumes *terminates* *h*

shows *Inr* *sh* ∈ *terminates-on* (*append-raw* *g* *h* *sh-start*)

⟨proof⟩

lemma *terminates-append-raw*:

assumes *terminates* *g* *terminates* *h*

shows *terminates* (*append-raw* *g* *h* *sh-start*)

⟨proof⟩

lift-definition *append-trans* :: ('a, 'sg) generator \Rightarrow ('a, 'sh) generator \Rightarrow 'sh \Rightarrow ('a, 'sg + 'sh) generator
is *append-raw* <proof>

lemma *unstream-append-trans-Inr*: *unstream* (*append-trans* *g h sh*) (*Inr sh'*) = *unstream* *h sh'*
 <proof>

lemma *unstream-append-trans* [*stream-fusion*]:
unstream (*append-trans* *g h sh*) (*Inl sg*) = *append* (*unstream* *g sg*) (*unstream* *h sh*)
 <proof>

2.5.7 filter

definition *filter-raw* :: ('a \Rightarrow bool) \Rightarrow ('a, 's) raw-generator \Rightarrow ('a, 's) raw-generator
where
filter-raw *P g s* = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip s'* | *Yield a s'* \Rightarrow if *P a* then *Yield a s'* else *Skip s'*)

lemma *terminates-filter-raw*:
assumes *terminates g*
shows *terminates* (*filter-raw P g*)
 <proof>

lift-definition *filter-trans* :: ('a \Rightarrow bool) \Rightarrow ('a, 's) generator \Rightarrow ('a, 's) generator
is *filter-raw* <proof>

lemma *unstream-filter-trans* [*stream-fusion*]: *unstream* (*filter-trans P g*) *s* = *filter P* (*unstream g s*)
 <proof>

2.5.8 zip

fun *zip-raw* :: ('a, 'sg) raw-generator \Rightarrow ('b, 'sh) raw-generator \Rightarrow ('a \times 'b, 'sg \times 'sh \times 'a option) raw-generator

— We search first the left list for the next element and cache it in the 'a option part of the state once we found one

where
zip-raw g h (sg, sh, None) = (case *g sg* of
 Done \Rightarrow *Done* | *Skip sg'* \Rightarrow *Skip (sg', sh, None)* | *Yield a sg'* \Rightarrow *Skip (sg', sh, Some a)*)
 | *zip-raw g h (sg, sh, Some a)* = (case *h sh* of
 Done \Rightarrow *Done* | *Skip sh'* \Rightarrow *Skip (sg, sh', Some a)* | *Yield b sh'* \Rightarrow *Yield (a, b) (sg, sh', None)*)

lemma *terminates-zip-row*:

assumes *terminates g terminates h*

shows *terminates (zip-row g h)*

<proof>

lift-definition *zip-trans* :: $('a, 'sg) \text{ generator} \Rightarrow ('b, 'sh) \text{ generator} \Rightarrow ('a \times 'b, 'sg \times 'sh \times 'a \text{ option}) \text{ generator}$

is *zip-row* *<proof>*

lemma *unstream-zip-trans* [*stream-fusion*]:

unstream (zip-trans g h) (sg, sh, None) = zip (unstream g sg) (unstream h sh)

<proof>

2.5.9 *tl*

fun *tl-row* :: $('a, 'sg) \text{ raw-generator} \Rightarrow ('a, \text{bool} \times 'sg) \text{ raw-generator}$

— The Boolean flag stores whether we have already skipped the first element

where

tl-row g (False, sg) = (case g sg of

Done \Rightarrow Done | Skip sg' \Rightarrow Skip (False, sg') | Yield a sg' \Rightarrow Skip (True, sg'))

| tl-row g (True, sg) = (case g sg of

Done \Rightarrow Done | Skip sg' \Rightarrow Skip (True, sg') | Yield a sg' \Rightarrow Yield a (True, sg'))

lemma *terminates-tl-row*:

assumes *terminates g*

shows *terminates (tl-row g)*

<proof>

lift-definition *tl-trans* :: $('a, 'sg) \text{ generator} \Rightarrow ('a, \text{bool} \times 'sg) \text{ generator}$

is *tl-row* *<proof>*

lemma *unstream-tl-trans-True*: *unstream (tl-trans g) (True, s) = unstream g s*

<proof>

lemma *unstream-tl-trans* [*stream-fusion*]: *unstream (tl-trans g) (False, s) = tl (unstream g s)*

<proof>

2.5.10 *butlast*

fun *butlast-row* :: $('a, 's) \text{ raw-generator} \Rightarrow ('a, 'a \text{ option} \times 's) \text{ raw-generator}$

— The *'a option* caches the previous element we have seen

where

$butlast\text{-}raw\ g\ (None, s) = (case\ g\ s\ of$
 $\quad Done \Rightarrow Done \mid Skip\ s' \Rightarrow Skip\ (None, s') \mid Yield\ a\ s' \Rightarrow Skip\ (Some\ a, s'))$
 $\mid butlast\text{-}raw\ g\ (Some\ b, s) = (case\ g\ s\ of$
 $\quad Done \Rightarrow Done \mid Skip\ s' \Rightarrow Skip\ (Some\ b, s') \mid Yield\ a\ s' \Rightarrow Yield\ b\ (Some\ a, s'))$

lemma *terminates-butlast-raw*:

assumes *terminates g*

shows *terminates (butlast-raw g)*

<proof>

lift-definition *butlast-trans* :: ('a, 's) generator \Rightarrow ('a, 'a option \times 's) generator
is *butlast-raw* *<proof>*

lemma *unstream-butlast-trans-Some*:

unstream (butlast-trans g) (Some b, s) = butlast (b # (unstream g s))

<proof>

lemma *unstream-butlast-trans [stream-fusion]*:

unstream (butlast-trans g) (None, s) = butlast (unstream g s)

<proof>

2.5.11 concat

We only do the easy version here where the generator has type ('a list, 's) generator, not (('a, 's) generator, 's) generator

fun *concat-raw* :: ('a list, 's) raw-generator \Rightarrow ('a, 'a list \times 's) raw-generator

where

concat-raw g ([], s) = (case g s of

\quad Done \Rightarrow Done \mid Skip\ s' \Rightarrow Skip\ ([], s') \mid Yield\ xs\ s' \Rightarrow Skip\ (xs, s'))

\mid concat-raw g (x # xs, s) = Yield x (xs, s)

lemma *terminates-concat-raw*:

assumes *terminates g*

shows *terminates (concat-raw g)*

<proof>

lift-definition *concat-trans* :: ('a list, 's) generator \Rightarrow ('a, 'a list \times 's) generator

is *concat-raw* *<proof>*

lemma *unstream-concat-trans-gen*: *unstream (concat-trans g) (xs, s) = xs @ (concat (unstream g s))*

<proof>

lemma *unstream-concat-trans* [stream-fusion]:
 $\text{unstream } (\text{concat-trans } g) ([], s) = \text{concat } (\text{unstream } g \ s)$
 <proof>

2.5.12 splice

datatype ('a, 'b) splice-state = Left 'a 'b | Right 'a 'b | Left-only 'a | Right-only 'b

fun splice-raw :: ('a, 'sg) raw-generator \Rightarrow ('a, 'sh) raw-generator \Rightarrow ('a, ('sg, 'sh) splice-state) raw-generator

where

splice-raw g h (Left-only sg) = (case g sg of
 Done \Rightarrow Done | Skip sg' \Rightarrow Skip (Left-only sg') | Yield a sg' \Rightarrow Yield a (Left-only sg'))
 | splice-raw g h (Left sg sh) = (case g sg of
 Done \Rightarrow Skip (Right-only sh) | Skip sg' \Rightarrow Skip (Left sg' sh) | Yield a sg' \Rightarrow Yield a (Right sg' sh))
 | splice-raw g h (Right-only sh) = (case h sh of
 Done \Rightarrow Done | Skip sh' \Rightarrow Skip (Right-only sh') | Yield a sh' \Rightarrow Yield a (Right-only sh'))
 | splice-raw g h (Right sg sh) = (case h sh of
 Done \Rightarrow Skip (Left-only sg) | Skip sh' \Rightarrow Skip (Right sg sh') | Yield a sh' \Rightarrow Yield a (Left sg sh'))

lemma *terminates-splice-raw*:

assumes g: terminates g **and** h: terminates h

shows terminates (splice-raw g h)

<proof>

lift-definition splice-trans :: ('a, 'sg) generator \Rightarrow ('a, 'sh) generator \Rightarrow ('a, ('sg, 'sh) splice-state) generator

is splice-raw <proof>

lemma *unstream-splice-trans-Right-only*: unstream (splice-trans g h) (Right-only sh) = unstream h sh

<proof>

lemma *unstream-splice-trans-Left-only*: unstream (splice-trans g h) (Left-only sg) = unstream g sg

<proof>

lemma *unstream-splice-trans* [stream-fusion]:

unstream (splice-trans g h) (Left sg sh) = splice (unstream g sg) (unstream h sh)

<proof>

2.5.13 *list-update*

fun *list-update-raw* :: ('a,'s) raw-generator \Rightarrow 'a \Rightarrow ('a, nat \times 's) raw-generator

where

list-update-raw *g b* (*n*, *s*) = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip* (*n*, *s'*)
 | *Yield a s'* \Rightarrow if *n* = 0 then *Yield a* (0,*s'*)
 else if *n* = 1 then *Yield b* (0, *s'*)
 else *Yield a* (*n* - 1, *s'*))

lemma *terminates-list-update-raw*:

assumes *terminates g*

shows *terminates* (*list-update-raw g b*)

<proof>

lift-definition *list-update-trans* :: ('a,'s) generator \Rightarrow 'a \Rightarrow ('a, nat \times 's) generator

is *list-update-raw* *<proof>*

lemma *unstream-lift-update-trans-None*: *unstream* (*list-update-trans g b*) (0, *s*) = *unstream g s*

<proof>

lemma *unstream-list-update-trans* [*stream-fusion*]:

unstream (*list-update-trans g b*) (*Suc n*, *s*) = *list-update* (*unstream g s*) *n b*

<proof>

2.5.14 *removeAll*

definition *removeAll-raw* :: 'a \Rightarrow ('a, 's) raw-generator \Rightarrow ('a, 's) raw-generator

where

removeAll-raw b g s = (case *g s* of
 Done \Rightarrow *Done* | *Skip s'* \Rightarrow *Skip s'* | *Yield a s'* \Rightarrow if *a* = *b* then *Skip s'* else *Yield a s'*)

lemma *terminates-removeAll-raw*:

assumes *terminates g*

shows *terminates* (*removeAll-raw b g*)

<proof>

lift-definition *removeAll-trans* :: 'a \Rightarrow ('a, 's) generator \Rightarrow ('a, 's) generator

is *removeAll-raw* *<proof>*

lemma *unstream-removeAll-trans* [*stream-fusion*]:

unstream (*removeAll-trans b g*) *s* = *removeAll b* (*unstream g s*)

<proof>

2.5.15 *remove1*

fun *remove1-raw* :: 'a \Rightarrow ('a, 's) raw-generator \Rightarrow ('a, bool \times 's) raw-generator

where

remove1-raw *x g* (*b*, *s*) = (case *g s* of
 Done \Rightarrow *Done* | *Skip* *s'* \Rightarrow *Skip* (*b*, *s'*)
 | *Yield* *y s'* \Rightarrow if *b* \wedge *x* = *y* then *Skip* (*False*, *s'*) else *Yield* *y* (*b*, *s'*))

lemma *terminates-remove1-raw*:

assumes *terminates g*

shows *terminates (remove1-raw b g)*

<proof>

lift-definition *remove1-trans* :: 'a \Rightarrow ('a, 's) generator \Rightarrow ('a, bool \times 's) generator

is *remove1-raw* *<proof>*

lemma *unstream-remove1-trans-False*: *unstream (remove1-trans b g) (False, s)* = *unstream g s*

<proof>

lemma *unstream-remove1-trans [stream-fusion]*:

unstream (remove1-trans b g) (True, s) = *remove1 b (unstream g s)*

<proof>

2.5.16 (#)

fun *Cons-raw* :: 'a \Rightarrow ('a, 's) raw-generator \Rightarrow ('a, bool \times 's) raw-generator

where

Cons-raw *x g* (*b*, *s*) = (if *b* then *Yield* *x* (*False*, *s*) else case *g s* of
 Done \Rightarrow *Done* | *Skip* *s'* \Rightarrow *Skip* (*False*, *s'*) | *Yield* *y s'* \Rightarrow *Yield* *y* (*False*, *s'*))

lemma *terminates-Cons-raw*:

assumes *terminates g*

shows *terminates (Cons-raw x g)*

<proof>

lift-definition *Cons-trans* :: 'a \Rightarrow ('a, 's) generator \Rightarrow ('a, bool \times 's) generator

is *Cons-raw* *<proof>*

lemma *unstream-Cons-trans-False*: *unstream (Cons-trans x g) (False, s)* = *unstream g s*

<proof>

We do not declare *Cons-trans* as a transformer. Otherwise, literal lists would be transformed into streams which adds a significant overhead to the stream state.

lemma *unstream-Cons-trans*: $\text{unstream } (\text{Cons-trans } x \ g) \ (True, s) = x \ \# \ \text{unstream } g \ s$
 $\langle \text{proof} \rangle$

2.5.17 *List.maps*

Stream version based on Coutts [1].

We restrict the function for generating the inner lists to terminating generators because the code generator does not directly supported nesting abstract datatypes in other types.

fun *maps-raw*
 $:: ('a \Rightarrow ('b, 'sg) \text{ generator} \times 'sg) \Rightarrow ('a, 's) \text{ raw-generator}$
 $\Rightarrow ('b, 's \times (('b, 'sg) \text{ generator} \times 'sg) \text{ option}) \text{ raw-generator}$
where
 $\text{maps-raw } f \ g \ (s, None) = (\text{case } g \ s \ \text{of}$
 $\quad Done \Rightarrow Done \mid Skip \ s' \Rightarrow Skip \ (s', None) \mid Yield \ x \ s' \Rightarrow Skip \ (s', Some \ (f \ x)))$
 $\mid \text{maps-raw } f \ g \ (s, Some \ (g'', s'')) = (\text{case generator } g'' \ s'' \ \text{of}$
 $\quad Done \Rightarrow Skip \ (s, None) \mid Skip \ s' \Rightarrow Skip \ (s, Some \ (g'', s')) \mid Yield \ x \ s' \Rightarrow Yield \ x$
 $\ (s, Some \ (g'', s')))$

lemma *terminates-on-maps-raw-Some*:
assumes $(s, None) \in \text{terminates-on } (\text{maps-raw } f \ g)$
shows $(s, Some \ (g'', s'')) \in \text{terminates-on } (\text{maps-raw } f \ g)$
 $\langle \text{proof} \rangle$

lemma *terminates-maps-raw*:
assumes *terminates* g
shows *terminates* $(\text{maps-raw } f \ g)$
 $\langle \text{proof} \rangle$

lift-definition *maps-trans* $:: ('a \Rightarrow ('b, 'sg) \text{ generator} \times 'sg) \Rightarrow ('a, 's) \text{ generator}$
 $\Rightarrow ('b, 's \times (('b, 'sg) \text{ generator} \times 'sg) \text{ option}) \text{ generator}$
is *maps-raw* $\langle \text{proof} \rangle$

lemma *unstream-maps-trans-Some*:
 $\text{unstream } (\text{maps-trans } f \ g) \ (s, Some \ (g'', s'')) = \text{unstream } g'' \ s'' @ \text{unstream } (\text{maps-trans}$
 $f \ g) \ (s, None)$
 $\langle \text{proof} \rangle$

lemma *unstream-maps-trans*:
 $\text{unstream } (\text{maps-trans } f \ g) \ (s, None) = \text{List.maps } (\text{case-prod } \text{unstream} \circ f) \ (\text{unstream}$
 $g \ s)$
 $\langle \text{proof} \rangle$

The rule *unstream-map-trans* is too complicated for fusion because of *split*, which does not arise naturally from stream fusion rules. Moreover, according to Farmer et al. [2],

this fusion is too general for further optimisations because the generators of the inner list are generated by the outer generator and therefore compilers may think that is was not known statically.

Instead, they propose a weaker version using *flatten* below. (More precisely, Coutts already mentions this approach in his PhD thesis [1], but dismisses it because it requires a stronger rewriting engine than GHC has. But Isabelle’s simplifier language is sufficiently powerful.

```
fun fix-step :: 'a  $\Rightarrow$  ('b, 's) step  $\Rightarrow$  ('b, 'a  $\times$  's) step
where
  fix-step a Done = Done
| fix-step a (Skip s) = Skip (a, s)
| fix-step a (Yield x s) = Yield x (a, s)
```

```
fun fix-gen-raw :: ('a  $\Rightarrow$  ('b, 's) raw-generator)  $\Rightarrow$  ('b, 'a  $\times$  's) raw-generator
where fix-gen-raw g (a, s) = fix-step a (g a s)
```

```
lemma terminates-fix-gen-raw:
  assumes  $\bigwedge x. \text{terminates } (g\ x)$ 
  shows terminates (fix-gen-raw g)
<proof>
```

```
lift-definition fix-gen :: ('a  $\Rightarrow$  ('b, 's) generator)  $\Rightarrow$  ('b, 'a  $\times$  's) generator
is fix-gen-raw <proof>
```

```
lemma unstream-fix-gen: unstream (fix-gen g) (a, s) = unstream (g a) s
<proof>
```

```
context
  fixes f :: ('a  $\Rightarrow$  's')
  and g'' :: ('b, 's') raw-generator
  and g :: ('a, 's) raw-generator
begin
```

```
fun flatten-raw :: ('b, 's  $\times$  's' option) raw-generator
where
  flatten-raw (s, None) = (case g s of
    Done  $\Rightarrow$  Done | Skip s'  $\Rightarrow$  Skip (s', None) | Yield x s'  $\Rightarrow$  Skip (s', Some (f x)))
| flatten-raw (s, Some s') = (case g'' s'' of
  Done  $\Rightarrow$  Skip (s, None) | Skip s'  $\Rightarrow$  Skip (s, Some s') | Yield x s'  $\Rightarrow$  Yield x (s,
  Some s'))
```

```
lemma terminates-flatten-raw:
  assumes terminates g'' terminates g
```

shows *terminates flatten-raw*
 ⟨*proof*⟩

end

lift-definition *flatten* :: ('a ⇒ 's') ⇒ ('b, 's') generator ⇒ ('a, 's) generator ⇒ ('b, 's
 × 's' option) generator
is *flatten-raw* ⟨*proof*⟩

lemma *unstream-flatten-Some*:

unstream (*flatten* *f* *g''* *g*) (*s*, *Some* *s'*) = *unstream* *g''* *s'* @ *unstream* (*flatten* *f* *g''* *g*)
 (*s*, *None*)
 ⟨*proof*⟩

HO rewrite equations can express the variable capture in the generator unlike GHC rules

lemma *unstream-flatten-fix-gen* [*stream-fusion*]:

unstream (*flatten* (λ*s*. (*s*, *f* *s*)) (*fix-gen* *g''*) *g*) (*s*, *None*) =
List.maps (λ*s'*. *unstream* (*g''* *s'*) (*f* *s'*)) (*unstream* *g* *s*)
 ⟨*proof*⟩

Separate fusion rule when the inner generator does not depend on the elements of the outer stream.

lemma *unstream-flatten* [*stream-fusion*]:

unstream (*flatten* *f* *g''* *g*) (*s*, *None*) = *List.maps* (λ*s'*. *unstream* *g''* (*f* *s'*)) (*unstream* *g* *s*)
 ⟨*proof*⟩

end

3 Stream fusion for coinductive lists

theory *Stream-Fusion-LList* **imports**

Stream-Fusion-List

Coinductive.Coinductive-List

begin

There are two choices of how many *Skips* may occur consecutively.

- A generator for '*a llist* may return only finitely many *Skips* before it has to decide on a *Done* or *Yield*. Then, we can define stream versions for all functions that can be defined by corecursion up-to. This in particular excludes *lfilter*. Moreover, we have to prove that every generator satisfies this restriction.
- A generator for '*a llist* may return infinitely many *Skips* in a row. Then, the *lunstream* function suffers from the same difficulties as *lfilter* with definitions, but we can define it using the least fixpoint approach described in [4]. Consequently,

we can only fuse transformers that are monotone and continuous with respect to the ccpo ordering. This in particular excludes *lappend*.

Here, we take the both approaches where we consider the first preferable to the second. Consequently, we define producers such that they produce generators of the first kind, if possible. There will be multiple equations for transformers and consumers that deal with all the different combinations for their parameter generators. Transformers should yield generators of the first kind whenever possible. Consumers can be defined using *lunstream* and refined with custom code equations, i.e., they can operate with infinitely many *Skips* in a row. We just have to lift the fusion equation to the first kind, too.

type-synonym $('a, 's) \text{ lgenerator} = 's \Rightarrow ('a, 's) \text{ step}$

inductive-set $\text{productive-on} :: ('a, 's) \text{ lgenerator} \Rightarrow 's \text{ set}$

for $g :: ('a, 's) \text{ lgenerator}$

where

$\text{Done: } g \ s = \text{Done} \implies s \in \text{productive-on } g$

$| \text{Skip: } \llbracket g \ s = \text{Skip } s'; s' \in \text{productive-on } g \rrbracket \implies s \in \text{productive-on } g$

$| \text{Yield: } g \ s = \text{Yield } x \ s' \implies s \in \text{productive-on } g$

definition $\text{productive} :: ('a, 's) \text{ lgenerator} \Rightarrow \text{bool}$

where $\text{productive } g \longleftrightarrow \text{productive-on } g = \text{UNIV}$

lemma $\text{productiveI} \ [\text{intro?}]:$

$(\bigwedge s. s \in \text{productive-on } g) \implies \text{productive } g$

$\langle \text{proof} \rangle$

lemma $\text{productive-onI} \ [\text{dest?}]: \text{productive } g \implies s \in \text{productive-on } g$

$\langle \text{proof} \rangle$

A type of generators that eventually will yield something else than a skip.

typedef $('a, 's) \text{ lgenerator}' = \{g :: ('a, 's) \text{ lgenerator}. \text{productive } g\}$

morphisms $\text{lgenerator Abs-lgenerator}'$

$\langle \text{proof} \rangle$

setup-lifting $\text{type-definition-lgenerator}'$

3.1 Conversions to $'a \text{ llist}$

3.1.1 Infinitely many consecutive *Skips*

context **fixes** $g :: ('a, 's) \text{ lgenerator}$

notes $[[\text{function-internals}]]$

begin

partial-function $(\text{llist}) \text{ lunstream} :: 's \Rightarrow 'a \text{ llist}$

where

$\text{lunstream } s = (\text{case } g \ s \text{ of}$
 $\text{Done} \Rightarrow \text{LNil} \mid \text{Skip } s' \Rightarrow \text{lunstream } s' \mid \text{Yield } x \ s' \Rightarrow \text{LCons } x \ (\text{lunstream } s'))$

declare *lunstream.simps*[code]

lemma *lunstream-simps*:

$g \ s = \text{Done} \implies \text{lunstream } s = \text{LNil}$
 $g \ s = \text{Skip } s' \implies \text{lunstream } s = \text{lunstream } s'$
 $g \ s = \text{Yield } x \ s' \implies \text{lunstream } s = \text{LCons } x \ (\text{lunstream } s')$
 $\langle \text{proof} \rangle$

lemma *lunstream-sels*:

shows *lnull-lunstream*: $\text{lnull } (\text{lunstream } s) \longleftrightarrow$
 $(\text{case } g \ s \text{ of } \text{Done} \Rightarrow \text{True} \mid \text{Skip } s' \Rightarrow \text{lnull } (\text{lunstream } s') \mid \text{Yield } - \Rightarrow \text{False})$
and *lhd-lunstream*: $\text{lhd } (\text{lunstream } s) =$
 $(\text{case } g \ s \text{ of } \text{Skip } s' \Rightarrow \text{lhd } (\text{lunstream } s') \mid \text{Yield } x \Rightarrow x)$
and *ltl-lunstream*: $\text{ltl } (\text{lunstream } s) =$
 $(\text{case } g \ s \text{ of } \text{Done} \Rightarrow \text{LNil} \mid \text{Skip } s' \Rightarrow \text{ltl } (\text{lunstream } s') \mid \text{Yield } - \ s' \Rightarrow \text{lunstream } s')$
 $\langle \text{proof} \rangle$

end

3.1.2 Finitely many consecutive *Skips*

lift-definition *lunstream'* :: $(\text{'a}, \text{'s}) \text{ lgenerator}' \Rightarrow \text{'s} \Rightarrow \text{'a} \text{ llist}$
is *lunstream* $\langle \text{proof} \rangle$

lemma *lunstream'-simps*:

$\text{lgenerator } g \ s = \text{Done} \implies \text{lunstream}' \ g \ s = \text{LNil}$
 $\text{lgenerator } g \ s = \text{Skip } s' \implies \text{lunstream}' \ g \ s = \text{lunstream}' \ g \ s'$
 $\text{lgenerator } g \ s = \text{Yield } x \ s' \implies \text{lunstream}' \ g \ s = \text{LCons } x \ (\text{lunstream}' \ g \ s')$
 $\langle \text{proof} \rangle$

lemma *lunstream'-sels*:

shows *lnull-lunstream'*: $\text{lnull } (\text{lunstream}' \ g \ s) \longleftrightarrow$
 $(\text{case } \text{lgenerator } g \ s \text{ of } \text{Done} \Rightarrow \text{True} \mid \text{Skip } s' \Rightarrow \text{lnull } (\text{lunstream}' \ g \ s') \mid \text{Yield } - \Rightarrow \text{False})$
and *lhd-lunstream'*: $\text{lhd } (\text{lunstream}' \ g \ s) =$
 $(\text{case } \text{lgenerator } g \ s \text{ of } \text{Skip } s' \Rightarrow \text{lhd } (\text{lunstream}' \ g \ s') \mid \text{Yield } x \Rightarrow x)$
and *ltl-lunstream'*: $\text{ltl } (\text{lunstream}' \ g \ s) =$
 $(\text{case } \text{lgenerator } g \ s \text{ of } \text{Done} \Rightarrow \text{LNil} \mid \text{Skip } s' \Rightarrow \text{ltl } (\text{lunstream}' \ g \ s') \mid \text{Yield } - \ s' \Rightarrow \text{lunstream}' \ g \ s')$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

3.2 Producers

3.2.1 Conversion to streams

fun *lstream* :: ('a, 'a llist) lgenerator

where

lstream *LNil* = *Done*

| *lstream* (*LCons* *x xs*) = *Yield* *x xs*

lemma *case-lstream-conv-case-llist*:

(*case lstream xs of Done* \Rightarrow *done* | *Skip* *xs'* \Rightarrow *skip* *xs'* | *Yield* *x xs'* \Rightarrow *yield* *x xs'*) =
(*case xs of LNil* \Rightarrow *done* | *LCons* *x xs'* \Rightarrow *yield* *x xs'*)

$\langle proof \rangle$

lemma *mcont2mcont-lunstream*[*THEN* *llist.mcont2mcont*, *simp*, *cont-intro*]:

shows *mcont-lunstream*: *mcont* *lSup* *lprefix* *lSup* *lprefix* (*lunstream* *lstream*)

$\langle proof \rangle$

lemma *lunstream-lstream*: *lunstream* *lstream* *xs* = *xs*

$\langle proof \rangle$

lift-definition *lstream'* :: ('a, 'a llist) lgenerator'

is *lstream*

$\langle proof \rangle$

lemma *lunstream'-lstream*: *lunstream'* *lstream'* *xs* = *xs*

$\langle proof \rangle$

3.2.2 iterates

definition *iterates-raw* :: ('a \Rightarrow 'a) \Rightarrow ('a, 'a) lgenerator

where *iterates-raw* *f* *s* = *Yield* *s* (*f* *s*)

lemma *lunstream-iterates-raw*: *lunstream* (*iterates-raw* *f*) *x* = *iterates* *f* *x*

$\langle proof \rangle$

lift-definition *iterates-prod* :: ('a \Rightarrow 'a) \Rightarrow ('a, 'a) lgenerator' **is** *iterates-raw*

$\langle proof \rangle$

lemma *lunstream'-iterates-prod* [*stream-fusion*]: *lunstream'* (*iterates-prod* *f*) *x* = *iterates*
f *x*

$\langle proof \rangle$

3.2.3 *unfold-llist*

definition *unfold-llist-raw* :: (*'a* \Rightarrow *bool*) \Rightarrow (*'a* \Rightarrow *'b*) \Rightarrow (*'a* \Rightarrow *'a*) \Rightarrow (*'b*, *'a*) *lgenerator*
where

unfold-llist-raw stop head tail s = (if *stop s* then *Done* else *Yield (head s) (tail s)*)

lemma *lunstream-unfold-llist-raw*:

lunstream (unfold-llist-raw stop head tail) s = *unfold-llist stop head tail s*

<proof>

lift-definition *unfold-llist-prod* :: (*'a* \Rightarrow *bool*) \Rightarrow (*'a* \Rightarrow *'b*) \Rightarrow (*'a* \Rightarrow *'a*) \Rightarrow (*'b*, *'a*) *lgenerator'*

is *unfold-llist-raw*

<proof>

lemma *lunstream'-unfold-llist-prod [stream-fusion]*:

lunstream' (unfold-llist-prod stop head tail) s = *unfold-llist stop head tail s*

<proof>

3.2.4 *inf-llist*

definition *inf-llist-raw* :: (*nat* \Rightarrow *'a*) \Rightarrow (*'a*, *nat*) *lgenerator*

where *inf-llist-raw f n* = *Yield (f n) (Suc n)*

lemma *lunstream-inf-llist-raw*: *lunstream (inf-llist-raw f) n* = *ldropn n (inf-llist f)*

<proof>

lift-definition *inf-llist-prod* :: (*nat* \Rightarrow *'a*) \Rightarrow (*'a*, *nat*) *lgenerator'* **is** *inf-llist-raw*

<proof>

lemma *inf-llist-prod-fusion [stream-fusion]*:

lunstream' (inf-llist-prod f) 0 = *inf-llist f*

<proof>

3.3 Consumers

3.3.1 *lhd*

context fixes *g* :: (*'a*, *'s*) *lgenerator* **begin**

definition *lhd-cons* :: *'s* \Rightarrow *'a*

where [*stream-fusion*]: *lhd-cons s* = *lhd (lunstream g s)*

lemma *lhd-cons-code[code]*:

lhd-cons s = (case *g s* of *Done* \Rightarrow *undefined* | *Skip s'* \Rightarrow *lhd-cons s'* | *Yield x* - \Rightarrow *x*)

$\langle \text{proof} \rangle$

end

lemma *lhd-cons-fusion2* [*stream-fusion*]:
 lhd-cons (*lgenerator* *g*) *s* = *lhd* (*lunstream'* *g* *s*)
 $\langle \text{proof} \rangle$

3.3.2 *llength*

context *fixes* *g* :: ('a, 's) *lgenerator* **begin**

definition *gen-llength-cons* :: *enat* \Rightarrow 's \Rightarrow *enat*
where *gen-llength-cons* *n* *s* = *n* + *llength* (*lunstream* *g* *s*)

lemma *gen-llength-cons-code* [*code*]:
 gen-llength-cons *n* *s* = (case *g* *s* of
 Done \Rightarrow *n* | *Skip* *s'* \Rightarrow *gen-llength-cons* *n* *s'* | *Yield* - *s'* \Rightarrow *gen-llength-cons* (*eSuc* *n*)
 s')
 $\langle \text{proof} \rangle$

lemma *gen-llength-cons-fusion* [*stream-fusion*]:
 gen-llength-cons 0 *s* = *llength* (*lunstream* *g* *s*)
 $\langle \text{proof} \rangle$

end

context *fixes* *g* :: ('a, 's) *lgenerator'* **begin**

definition *gen-llength-cons'* :: *enat* \Rightarrow 's \Rightarrow *enat*
where *gen-llength-cons'* = *gen-llength-cons* (*lgenerator* *g*)

lemma *gen-llength-cons'-code* [*code*]:
 gen-llength-cons' *n* *s* = (case *lgenerator* *g* *s* of
 Done \Rightarrow *n* | *Skip* *s'* \Rightarrow *gen-llength-cons'* *n* *s'* | *Yield* - *s'* \Rightarrow *gen-llength-cons'* (*eSuc* *n*)
 s')
 $\langle \text{proof} \rangle$

lemma *gen-llength-cons'-fusion* [*stream-fusion*]:
 gen-llength-cons' 0 *s* = *llength* (*lunstream'* *g* *s*)
 $\langle \text{proof} \rangle$

end

3.3.3 *lnull*

context fixes $g :: ('a, 's) \text{ lgenerator}$ **begin**

definition $lnull-cons :: 's \Rightarrow bool$

where $[stream-fusion]: lnull-cons\ s \longleftrightarrow lnull\ (lunstream\ g\ s)$

lemma $lnull-cons-code\ [code]:$

$lnull-cons\ s \longleftrightarrow (case\ g\ s\ of$
 $\quad Done \Rightarrow True \mid Skip\ s' \Rightarrow lnull-cons\ s' \mid Yield\ - \Rightarrow False)$

$\langle proof \rangle$

end

context fixes $g :: ('a, 's) \text{ lgenerator}'$ **begin**

definition $lnull-cons' :: 's \Rightarrow bool$

where $lnull-cons' = lnull-cons\ (lgenerator\ g)$

lemma $lnull-cons'-code\ [code]:$

$lnull-cons'\ s \longleftrightarrow (case\ lgenerator\ g\ s\ of$
 $\quad Done \Rightarrow True \mid Skip\ s' \Rightarrow lnull-cons'\ s' \mid Yield\ - \Rightarrow False)$

$\langle proof \rangle$

lemma $lnull-cons'-fusion\ [stream-fusion]:$

$lnull-cons'\ s \longleftrightarrow lnull\ (lunstream'\ g\ s)$

$\langle proof \rangle$

end

3.3.4 *llist-all2*

context

fixes $g :: ('a, 'sg) \text{ lgenerator}$

and $h :: ('b, 'sh) \text{ lgenerator}$

and $P :: 'a \Rightarrow 'b \Rightarrow bool$

begin

definition $llist-all2-cons :: 'sg \Rightarrow 'sh \Rightarrow bool$

where $[stream-fusion]: llist-all2-cons\ sg\ sh \longleftrightarrow llist-all2\ P\ (lunstream\ g\ sg)\ (lunstream\ h\ sh)$

definition $llist-all2-cons1 :: 'a \Rightarrow 'sg \Rightarrow 'sh \Rightarrow bool$

where $llist-all2-cons1\ x\ sg\ sh = llist-all2\ P\ (LCons\ x\ (lunstream\ g\ sg))\ (lunstream\ h\ sh)$

sh)

lemma *llist-all2-cons-code* [code]:

llist-all2-cons sg sh =
 (case *g sg* of
 Done \Rightarrow *lnull-cons h sh*
 | *Skip sg'* \Rightarrow *llist-all2-cons sg' sh*
 | *Yield a sg'* \Rightarrow *llist-all2-cons1 a sg' sh*)

\langle proof \rangle

lemma *llist-all2-cons1-code* [code]:

llist-all2-cons1 x sg' sh =
 (case *h sh* of
 Done \Rightarrow *False*
 | *Skip sh'* \Rightarrow *llist-all2-cons1 x sg' sh'*
 | *Yield y sh'* \Rightarrow *P x y \wedge llist-all2-cons sg' sh'*)

\langle proof \rangle

end

lemma *llist-all2-cons-fusion2* [stream-fusion]:

llist-all2-cons (lgenerator g) (lgenerator h) P sg sh \longleftrightarrow *llist-all2 P (lunstream' g sg)*
(lunstream' h sh)

\langle proof \rangle

lemma *llist-all2-cons-fusion3* [stream-fusion]:

llist-all2-cons g (lgenerator h) P sg sh \longleftrightarrow *llist-all2 P (lunstream g sg) (lunstream' h sh)*

\langle proof \rangle

lemma *llist-all2-cons-fusion4* [stream-fusion]:

llist-all2-cons (lgenerator g) h P sg sh \longleftrightarrow *llist-all2 P (lunstream' g sg) (lunstream h sh)*

\langle proof \rangle

3.3.5 *lnth*

context fixes *g* :: ('a, 's) lgenerator **begin**

definition *lnth-cons* :: nat \Rightarrow 's \Rightarrow 'a

where [stream-fusion]: *lnth-cons n s* = *lnth (lunstream g s) n*

lemma *lnth-cons-code* [code]:

lnth-cons n s = (case *g s* of

$Done \Rightarrow undefined\ n$
 $| Skip\ s' \Rightarrow lnth-cons\ n\ s'$
 $| Yield\ x\ s' \Rightarrow (if\ n = 0\ then\ x\ else\ lnth-cons\ (n - 1)\ s')$
 $\langle proof \rangle$

end

lemma *lnth-cons-fusion2* [*stream-fusion*]:
 $lnth-cons\ (lgenerator\ g)\ n\ s = lnth\ (lunstream'\ g\ s)\ n$
 $\langle proof \rangle$

3.3.6 *lprefix*

context

fixes $g :: ('a, 'sg)\ lgenerator$
and $h :: ('a, 'sh)\ lgenerator$

begin

definition *lprefix-cons* :: $'sg \Rightarrow 'sh \Rightarrow bool$
where [*stream-fusion*]: $lprefix-cons\ sg\ sh \longleftrightarrow lprefix\ (lunstream\ g\ sg)\ (lunstream\ h\ sh)$

definition *lprefix-cons1* :: $'a \Rightarrow 'sg \Rightarrow 'sh \Rightarrow bool$
where $lprefix-cons1\ x\ sg'\ sh \longleftrightarrow lprefix\ (LCons\ x\ (lunstream\ g\ sg'))\ (lunstream\ h\ sh)$

lemma *lprefix-cons-code* [*code*]:
 $lprefix-cons\ sg\ sh \longleftrightarrow (case\ g\ sg\ of$
 $Done \Rightarrow True\ | Skip\ sg' \Rightarrow lprefix-cons\ sg'\ sh\ | Yield\ x\ sg' \Rightarrow lprefix-cons1\ x\ sg'\ sh)$
 $\langle proof \rangle$

lemma *lprefix-cons1-code* [*code*]:
 $lprefix-cons1\ x\ sg'\ sh \longleftrightarrow (case\ h\ sh\ of$
 $Done \Rightarrow False\ | Skip\ sh' \Rightarrow lprefix-cons1\ x\ sg'\ sh'$
 $| Yield\ y\ sh' \Rightarrow x = y \wedge lprefix-cons\ sg'\ sh')$
 $\langle proof \rangle$

end

lemma *lprefix-cons-fusion2* [*stream-fusion*]:
 $lprefix-cons\ (lgenerator\ g)\ (lgenerator\ h)\ sg\ sh \longleftrightarrow lprefix\ (lunstream'\ g\ sg)\ (lunstream'\ h\ sh)$
 $\langle proof \rangle$

lemma *lprefix-cons-fusion3* [*stream-fusion*]:
 $lprefix-cons\ g\ (lgenerator\ h)\ sg\ sh \longleftrightarrow lprefix\ (lunstream\ g\ sg)\ (lunstream'\ h\ sh)$

<proof>

lemma *lprefix-cons-fusion4* [*stream-fusion*]:

lprefix-cons (*lgenerator* *g*) *h sg sh* \longleftrightarrow *lprefix* (*lunstream'* *g sg*) (*lunstream* *h sh*)

<proof>

3.4 Transformers

3.4.1 *lmap*

definition *lmap-trans* :: (*'a* \Rightarrow *'b*) \Rightarrow (*'a*, *'s*) *lgenerator* \Rightarrow (*'b*, *'s*) *lgenerator*

where *lmap-trans* = *map-raw*

lemma *lunstream-lmap-trans* [*stream-fusion*]: **fixes** *f g s*

defines [*simp*]: *g'* \equiv *lmap-trans* *f g*

shows *lunstream* *g' s* = *lmap* *f* (*lunstream* *g s*) (**is** *?lhs* = *?rhs*)

<proof>

lift-definition *lmap-trans'* :: (*'a* \Rightarrow *'b*) \Rightarrow (*'a*, *'s*) *lgenerator'* \Rightarrow (*'b*, *'s*) *lgenerator'*

is *lmap-trans*

<proof>

lemma *lunstream'-lmap-trans'* [*stream-fusion*]:

lunstream' (*lmap-trans'* *f g*) *s* = *lmap* *f* (*lunstream'* *g s*)

<proof>

3.4.2 *ltake*

fun *ltake-trans* :: (*'a*, *'s*) *lgenerator* \Rightarrow (*'a*, (*enat* \times *'s*)) *lgenerator*

where

ltake-trans *g* (*n*, *s*) =

(*if* *n* = 0 *then Done* *else case* *g s* *of*

Done \Rightarrow *Done* | *Skip* *s'* \Rightarrow *Skip* (*n*, *s'*) | *Yield* *a s'* \Rightarrow *Yield* *a* (*epred* *n*, *s'*))

lemma *ltake-trans-fusion* [*stream-fusion*]:

fixes *g' g*

defines [*simp*]: *g'* \equiv *ltake-trans* *g*

shows *lunstream* *g' (n, s)* = *ltake* *n* (*lunstream* *g s*) (**is** *?lhs* = *?rhs*)

<proof>

lift-definition *ltake-trans'* :: (*'a*, *'s*) *lgenerator'* \Rightarrow (*'a*, (*enat* \times *'s*)) *lgenerator'*

is *ltake-trans*

<proof>

lemma *ltake-trans'-fusion* [*stream-fusion*]:

$\text{lunstream}' (\text{ltake-trans}' g) (n, s) = \text{ltake } n (\text{lunstream}' g s)$
 $\langle \text{proof} \rangle$

3.4.3 *ldropn*

abbreviation (*input*) $\text{ldropn-trans} :: ('b, 'a) \text{lgenerator} \Rightarrow ('b, \text{nat} \times 'a) \text{lgenerator}$
where $\text{ldropn-trans} \equiv \text{drop-raw}$

lemma $\text{ldropn-trans-fusion}$ [*stream-fusion*]:
fixes g **defines** [*simp*]: $g' \equiv \text{ldropn-trans } g$
shows $\text{lunstream } g' (n, s) = \text{ldropn } n (\text{lunstream } g s)$ (**is** $?lhs = ?rhs$)
 $\langle \text{proof} \rangle$

lift-definition $\text{ldropn-trans}' :: ('a, 's) \text{lgenerator}' \Rightarrow ('a, \text{nat} \times 's) \text{lgenerator}'$
is ldropn-trans
 $\langle \text{proof} \rangle$

lemma $\text{ldropn-trans}'\text{-fusion}$ [*stream-fusion*]:
 $\text{lunstream}' (\text{ldropn-trans}' g) (n, s) = \text{ldropn } n (\text{lunstream}' g s)$
 $\langle \text{proof} \rangle$

3.4.4 *ldrop*

fun $\text{ldrop-trans} :: ('a, 's) \text{lgenerator} \Rightarrow ('a, \text{enat} \times 's) \text{lgenerator}$
where
 $\text{ldrop-trans } g (n, s) = (\text{case } g s \text{ of}$
 $\quad \text{Done} \Rightarrow \text{Done} \mid \text{Skip } s' \Rightarrow \text{Skip } (n, s')$
 $\quad \mid \text{Yield } x s' \Rightarrow (\text{if } n = 0 \text{ then Yield } x (n, s') \text{ else Skip } (\text{epred } n, s')))$

lemma $\text{ldrop-trans-fusion}$ [*stream-fusion*]:
fixes g g' **defines** [*simp*]: $g' \equiv \text{ldrop-trans } g$
shows $\text{lunstream } g' (n, s) = \text{ldrop } n (\text{lunstream } g s)$ (**is** $?lhs = ?rhs$)
 $\langle \text{proof} \rangle$

lemma $\text{ldrop-trans-fusion2}$ [*stream-fusion*]:
 $\text{lunstream } (\text{ldrop-trans } (\text{lgenerator } g)) (n, s) = \text{ldrop } n (\text{lunstream}' g s)$
 $\langle \text{proof} \rangle$

3.4.5 *ltakeWhile*

abbreviation (*input*) $\text{ltakeWhile-trans} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a, 's) \text{lgenerator} \Rightarrow ('a, 's) \text{lgenerator}$
where $\text{ltakeWhile-trans} \equiv \text{takeWhile-raw}$

lemma $\text{ltakeWhile-trans-fusion}$ [*stream-fusion*]:

fixes $P\ g\ g'$ **defines** $[simp]: g' \equiv ltakeWhile-trans\ P\ g$
shows $lunstream\ g'\ s = ltakeWhile\ P\ (lunstream\ g\ s)$ (**is** $?lhs = ?rhs$)
 $\langle proof \rangle$

lift-definition $ltakeWhile-trans' :: ('a \Rightarrow bool) \Rightarrow ('a, 's)\ lgenerator' \Rightarrow ('a, 's)\ lgenerator'$
is $ltakeWhile-trans$
 $\langle proof \rangle$

lemma $ltakeWhile-trans'-fusion\ [stream-fusion]:$
 $lunstream'\ (ltakeWhile-trans'\ P\ g)\ s = ltakeWhile\ P\ (lunstream'\ g\ s)$
 $\langle proof \rangle$

3.4.6 $ldropWhile$

abbreviation $(input)\ ldropWhile-trans :: ('a \Rightarrow bool) \Rightarrow ('a, 'b)\ lgenerator \Rightarrow ('a, bool \times 'b)\ lgenerator$
where $ldropWhile-trans \equiv dropWhile-raw$

lemma $ldropWhile-trans-fusion\ [stream-fusion]:$
fixes $P\ g\ g'$ **defines** $[simp]: g' \equiv ldropWhile-trans\ P\ g$
shows $lunstream\ g'\ (True, s) = ldropWhile\ P\ (lunstream\ g\ s)$ (**is** $?lhs = ?rhs$)
 $\langle proof \rangle$

lemma $ldropWhile-trans-fusion2\ [stream-fusion]:$
 $lunstream\ (ldropWhile-trans\ P\ (lgenerator\ g))\ (True, s) = ldropWhile\ P\ (lunstream'\ g\ s)$
 $\langle proof \rangle$

3.4.7 $lzip$

abbreviation $(input)\ lzip-trans :: ('a, 's1)\ lgenerator \Rightarrow ('b, 's2)\ lgenerator \Rightarrow ('a \times 'b, 's1 \times 's2 \times 'a\ option)\ lgenerator$
where $lzip-trans \equiv zip-raw$

lemma $lzip-trans-fusion\ [stream-fusion]:$
fixes $g\ h\ gh$ **defines** $[simp]: gh \equiv lzip-trans\ g\ h$
shows $lunstream\ gh\ (sg, sh, None) = lzip\ (lunstream\ g\ sg)\ (lunstream\ h\ sh)$ (**is** $?lhs = ?rhs$)
 $\langle proof \rangle$

lemma $lzip-trans-fusion2\ [stream-fusion]:$
 $lunstream\ (lzip-trans\ (lgenerator\ g)\ h)\ (sg, sh, None) = lzip\ (lunstream'\ g\ sg)\ (lunstream\ h\ sh)$

$\langle \text{proof} \rangle$

lemma *lzip-trans-fusion3* [stream-fusion]:

$\text{lunstream} (\text{lzip-trans } g (\text{lgenerator } h)) (sg, sh, \text{None}) = \text{lzip} (\text{lunstream } g \text{ sg}) (\text{lunstream}' h \text{ sh})$

$\langle \text{proof} \rangle$

lift-definition *lzip-trans'* :: $('a, 's1) \text{ lgenerator}' \Rightarrow ('b, 's2) \text{ lgenerator}' \Rightarrow ('a \times 'b, 's1 \times 's2 \times 'a \text{ option}) \text{ lgenerator}'$

is *lzip-trans*

$\langle \text{proof} \rangle$

lemma *lzip-trans'-fusion* [stream-fusion]:

$\text{lunstream}' (\text{lzip-trans}' g h) (sg, sh, \text{None}) = \text{lzip} (\text{lunstream}' g \text{ sg}) (\text{lunstream}' h \text{ sh})$

$\langle \text{proof} \rangle$

3.4.8 *lappend*

lift-definition *lappend-trans* :: $('a, 'sg) \text{ lgenerator}' \Rightarrow ('a, 'sh) \text{ lgenerator} \Rightarrow 'sh \Rightarrow ('a, 'sg + 'sh) \text{ lgenerator}$

is *append-raw* $\langle \text{proof} \rangle$

lemma *lunstream-append-raw*:

fixes $g \ h \ sh$ **defines** [simp]: $gh \equiv \text{append-raw } g \ h \ sh$

assumes *productive g*

shows $\text{lunstream } gh (\text{Inl } sg) = \text{lappend} (\text{lunstream } g \text{ sg}) (\text{lunstream } h \text{ sh})$

$\langle \text{proof} \rangle$

lemma *lappend-trans-fusion* [stream-fusion]:

$\text{lunstream} (\text{lappend-trans } g \ h \ sh) (\text{Inl } sg) = \text{lappend} (\text{lunstream}' g \text{ sg}) (\text{lunstream } h \text{ sh})$

$\langle \text{proof} \rangle$

lift-definition *lappend-trans'* :: $('a, 'sg) \text{ lgenerator}' \Rightarrow ('a, 'sh) \text{ lgenerator}' \Rightarrow 'sh \Rightarrow ('a, 'sg + 'sh) \text{ lgenerator}'$

is *append-raw*

$\langle \text{proof} \rangle$

lemma *lappend-trans'-fusion* [stream-fusion]:

$\text{lunstream}' (\text{lappend-trans}' g \ h \ sh) (\text{Inl } sg) = \text{lappend} (\text{lunstream}' g \text{ sg}) (\text{lunstream}' h \text{ sh})$

$\langle \text{proof} \rangle$

3.4.9 *lfilter*

definition *lfilter-trans* :: ('a \Rightarrow bool) \Rightarrow ('a, 's) lgenerator \Rightarrow ('a, 's) lgenerator
where *lfilter-trans* = *filter-raw*

lemma *lunstream-lfilter-trans* [*stream-fusion*]:
fixes *P g g'* **defines** [*simp*]: *g'* \equiv *lfilter-trans P g*
shows *lunstream g' s* = *lfilter P (lunstream g s)* (**is** ?lhs = ?rhs)
 <proof>

lemma *lunstream-lfilter-trans2* [*stream-fusion*]:
lunstream (lfilter-trans P (lgenerator g)) s = *lfilter P (lunstream' g s)*
 <proof>

3.4.10 *llist-of*

lift-definition *llist-of-trans* :: ('a, 's) generator \Rightarrow ('a, 's) lgenerator'
is $\lambda x. x$
 <proof>

lemma *lunstream-llist-of-trans* [*stream-fusion*]:
lunstream' (llist-of-trans g) s = *llist-of (unstream g s)*
 <proof>

We cannot define a stream version of *list-of* because we would have to test for finiteness first and therefore traverse the list twice.

end

4 Examples and test cases for stream fusion

theory *Stream-Fusion-Examples* **imports** *Stream-Fusion-LList* **begin**

lemma **fixes** *rhs z*
defines *rhs* \equiv *nth-cons (flatten ($\lambda s'. s'$) (upto-prod 17) (upto-prod z)) (2, None) 8*
shows *nth (List.maps ($\lambda x. upto x 17$) (upto 2 z)) 8* = *rhs*
 <proof>

lemma **fixes** *rhs z*
defines *rhs* \equiv *nth-cons (flatten ($\lambda s. (s, 1)$) (fix-gen ($\lambda x. upto-prod (id x)$)) (upto-prod z)) (2, None) 8*
shows *nth (List.maps ($\lambda x. upto 1 (id x)$) (upto 2 z)) 8* = *rhs*
 <proof>

lemma **fixes** *rhs n*

defines $rhs \equiv List.maps (\lambda x. [Suc\ 0..<sum-list-cons\ (replicate-prod\ x)\ x])\ [2..<n]$
shows $(concat\ (map\ (\lambda x. [1..<sum-list\ (replicate\ x\ x)])\ [2..<n])) = rhs$
 $\langle proof \rangle$

4.1 Micro-benchmarks from Farmer et al. [2]

definition $test-enum :: nat \Rightarrow nat$ — id required to avoid eta contraction
where $test-enum\ n = foldl\ (+)\ 0\ (List.maps\ (\lambda x. upt\ 1\ (id\ x))\ (upt\ 1\ n))$

definition $test-nested :: nat \Rightarrow nat$
where $test-nested\ n = foldl\ (+)\ 0\ (List.maps\ (\lambda x. List.maps\ (\lambda y. upt\ y\ x)\ (upt\ 1\ x))\ (upt\ 1\ n))$

definition $test-merge :: integer \Rightarrow nat$
where $test-merge\ n = foldl\ (+)\ 0\ (List.maps\ (\lambda x. if\ 2\ dvd\ x\ then\ upt\ 1\ x\ else\ upt\ 2\ x)\ (upt\ 1\ (nat-of-integer\ n)))$

This rule performs the merge operation from [2, §5.2] for *if*. In general, we would also need it for all case operators.

lemma $unstream-if\ [stream-fusion]:$
 $unstream\ (if\ b\ then\ g\ else\ g')\ (if\ b\ then\ s\ else\ s') =$
 $(if\ b\ then\ unstream\ g\ s\ else\ unstream\ g'\ s')$
 $\langle proof \rangle$

lemma $if-same\ [code-unfold]: (if\ b\ then\ x\ else\ x) = x$
 $\langle proof \rangle$

code-thms $test-enum$
code-thms $test-nested$
code-thms $test-merge$

4.2 Test stream fusion in the code generator

definition $fuse-test :: integer$
where $fuse-test =$
 $integer-of-int\ (lhd\ (lfilter\ (\lambda x. x < 1)\ (lappend\ (lmap\ (\lambda x. x + 1)\ (llist-of\ (map\ (\lambda x. if\ x = 0\ then\ undefined\ else\ x)\ [-3..5])))\ (repeat\ 3))))$
 $\langle ML \rangle$
end

References

- [1] D. Coutts. *Stream Fusion: Practical shortcut fusion for coinductive sequence types*. PhD thesis, University of Oxford, 2010.
- [2] A. Farmer, C. Höner zu Siederdissen, and A. Gill. The HERMIT in the stream. In *Proceedings of the ACM SIGPLAN 2014 Workshop on Partial Evaluation and Program Manipulation (PEPM 2014)*, pages 97–108. ACM, 2014.
- [3] B. Huffman. Stream fusion. *Archive of Formal Proofs*, 2009. <http://isa-afp.org/entries/Stream-Fusion.shtml>, Formal proof development.
- [4] A. Lochbihler and J. Hölzl. Recursive functions on lazy lists via domains and topologies. volume 8558 of *LNCS (LNAI)*, pages 341–357. Springer, 2014.