Stone Algebras

Walter Guttmann

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Abstract

A range of algebras between lattices and Boolean algebras generalise the notion of a complement. We develop a hierarchy of these pseudo-complemented algebras that includes Stone algebras. Independently of this theory we study filters based on partial orders. Both theories are combined to prove Chen and Grätzer's construction theorem for Stone algebras. The latter involves extensive reasoning about algebraic structures in addition to reasoning in algebraic structures.

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1 Synopsis and Motivation

This document describes the following four theory files:

- * Lattice Basics is a small theory with basic definitions and facts extending Isabelle/HOL's lattice theory. It is used by the following theories.
- * Pseudocomplemented Algebras contains a hierarchy of algebraic structures between lattices and Boolean algebras. Many results of Boolean algebras can be derived from weaker axioms and are useful for more general models. In this theory we develop a number of algebraic structures with such weaker axioms. The theory has four parts. We first extend lattices and distributive lattices with a pseudocomplement operation to obtain (distributive) p-algebras. An additional axiom of the pseudocomplement operation yields Stone algebras. The third part studies a relative pseudocomplement operation which results in Heyting algebras and Brouwer algebras. We finally show that Boolean algebras instantiate all of the above structures.
- * Filters contains an order-/lattice-theoretic development of filters. We prove the ultrafilter lemma in a weak setting, several results about the lattice structure of filters and a few further results from the literature. Our selection is due to the requirements of the following theory.
- * Construction of Stone Algebras contains the representation of Stone algebras as triples and the corresponding isomorphisms [7, 21]. It

is also a case study of reasoning about algebraic structures. Every Stone algebra is isomorphic to a triple comprising a Boolean algebra, a distributive lattice with a greatest element, and a bounded lattice homomorphism from the Boolean algebra to filters of the distributive lattice. We carry out the involved constructions and explicitly state the functions defining the isomorphisms. A function lifting is used to work around the need for dependent types. We also construct an embedding of Stone algebras to inherit theorems using a technique of universal algebra.

Algebras with pseudocomplements in general, and Stone algebras in particular, appear widely in mathematical literature; for example, see [4, 5, 6, 17]. We apply Stone algebras to verify Prim's minimum spanning tree algorithm in Isabelle/HOL in [20].

There are at least two Isabelle/HOL theories related to filters. The theory HOL/Algebra/Ideal.thy defines ring-theoretic ideals in locales with a carrier set. In the theory HOL/Filter.thy a filter is defined as a set of sets. Filters based on orders and lattices abstract from the inner set structure; this approach is used in many texts such as [4, 5, 6, 9, 17]. Moreover, it is required for the construction theorem of Stone algebras, whence our theory implements filters this way.

Besides proving the results involved in the construction of Stone algebras, we study how to reason about algebraic structures defined as Isabelle/HOL classes without carrier sets. The Isabelle/HOL theories HOL/Algebra/*.thy use locales with a carrier set, which facilitates reasoning about algebraic structures but requires assumptions involving the carrier set in many places. Extensive libraries of algebraic structures based on classes without carrier sets have been developed and continue to be developed [1, 2, 3, 10, 11, 13, 14, 15, 16, 19, 22, 24, 25, 26]. It is unlikely that these libraries will be converted to carrier-based theories and that carrier-free and carrier-based implementations will be consistently maintained and evolved; certainly this has not happened so far and initial experiments suggest potential drawbacks for proof automation [12]. An improvement of the situation seems to require some form of automation or system support that makes the difference irrelevant.

In the present development, we use classes without carrier sets to reason about algebraic structures. To instantiate results derived in such classes, the algebras must be represented as Isabelle/HOL types. This is possible to a certain extent, but causes a problem if the definition of the underlying set depends on parameters introduced in a locale; this would require dependent types. For the construction theorem of Stone algebras we work around this restriction by a function lifting. If the parameters are known, the functions can be specialised to obtain a simple (non-dependent) type that can instantiate classes. For the construction theorem this specialisation can be done

using an embedding. The extent to which this approach can be generalised to other settings remains to be investigated.

2 Lattice Basics

This theory provides notations, basic definitions and facts of lattice-related structures used throughout the subsequent development.

```
theory Lattice-Basics imports Main begin
```

2.1 General Facts and Notations

The following results extend basic Isabelle/HOL facts.

```
lemma imp-as-conj:
  assumes P x \Longrightarrow Q x
  \mathbf{shows}\ P\ x\ \wedge\ Q\ x \longleftrightarrow P\ x
  \langle proof \rangle
lemma if-distrib-2:
  f (if c then x else y) (if c then z else w) = (if c then f x z else f y w)
  \langle proof \rangle
lemma left-invertible-inj:
  (\forall x . g (f x) = x) \Longrightarrow inj f
  \langle proof \rangle
lemma invertible-bij:
  assumes \forall x . g (f x) = x
      and \forall y . f(g y) = y
    shows bij f
  \langle proof \rangle
lemma finite-ne-subset-induct [consumes 3, case-names singleton insert]:
  assumes finite F
      and F \neq \{\}
      and F \subseteq \widetilde{S}
      and singleton: \bigwedge x \cdot P\{x\}
      and insert: \bigwedge x F . finite F \Longrightarrow F \neq \{\} \Longrightarrow F \subseteq S \Longrightarrow x \in S \Longrightarrow x \notin F
\implies P F \implies P (insert \ x \ F)
    shows P F
  \langle proof \rangle
lemma finite-set-of-finite-funs-pred:
  assumes finite \{ x::'a . True \}
      and finite \{y::'b \cdot Py\}
```

```
shows finite \{ f : (\forall x :: 'a : P (f x)) \} \langle proof \rangle
```

We use the following notations for the join, meet and complement operations. Changing the precedence of the unary complement allows us to write terms like --x instead of -(-x).

```
context sup begin

notation sup (infix! \langle \sqcup \rangle 65)

definition additive :: ('a \Rightarrow 'a) \Rightarrow bool

where additive f \equiv \forall x \ y \ . \ f \ (x \sqcup y) = f \ x \sqcup f \ y

end

context inf
begin

notation inf (infix! \langle \Box \rangle 67)

end

context uminus
begin

unbundle no uminus-syntax

notation uminus (\langle (\langle open-block\ notation = \langle prefix\ - \rangle \rangle - - \rangle \rangle [80] 80)

end
```

2.2 Orders

We use the following definition of monotonicity for operations defined in classes. The standard *mono* places a sort constraint on the target type. We also give basic properties of Galois connections and lift orders to functions.

```
context ord begin  \begin{aligned} & \text{definition } isotone :: ('a \Rightarrow 'a) \Rightarrow bool \\ & \text{where } isotone \ f \equiv \forall x \ y \ . \ x \leq y \longrightarrow f \ x \leq f \ y \end{aligned}   & \text{definition } galois :: ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a) \Rightarrow bool \\ & \text{where } galois \ l \ u \equiv \forall x \ y \ . \ l \ x \leq y \longleftrightarrow x \leq u \ y \end{aligned}   & \text{definition } lifted\text{-}less\text{-}eq :: ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a) \Rightarrow bool \ (((- \leq \leq -)) \ [51, 51] \ 50)   & \text{where } f \leq \leq g \equiv \forall x \ . \ f \ x \leq g \ x
```

```
end
```

```
{f context} order
begin
lemma order-lesseq-imp:
  (\forall z \ . \ x \le z \longrightarrow y \le z) \longleftrightarrow y \le x
  \langle proof \rangle
\mathbf{lemma}\ \mathit{galois\text{-}char} \colon
  galois l \ u \longleftrightarrow (\forall x \ . \ x \le u \ (l \ x)) \land (\forall x \ . \ l \ (u \ x) \le x) \land isotone \ l \land isotone \ u
  \langle proof \rangle
lemma galois-closure:
  galois l u \Longrightarrow l x = l (u (l x)) \wedge u x = u (l (u x))
  \langle proof \rangle
lemma lifted-reflexive:
  f = g \Longrightarrow f \leq \leq g
  \langle proof \rangle
\mathbf{lemma}\ \mathit{lifted-transitive} :
  f \leq \leq g \Longrightarrow g \leq \leq h \Longrightarrow f \leq \leq h
  \langle proof \rangle
lemma\ lifted-antisymmetric:
  f \leq \leq g \Longrightarrow g \leq \leq f \Longrightarrow f = g
  \langle proof \rangle
      If the image of a finite non-empty set under f is a totally ordered, there
is an element that minimises the value of f.
lemma finite-set-minimal:
  assumes finite s
       and s \neq \{\}
       and \forall x \in s. \forall y \in s. f x \leq f y \lor f y \leq f x
```

\mathbf{end}

 $\langle proof \rangle$

2.3 Semilattices

The following are basic facts in semilattices.

shows $\exists m \in s$. $\forall z \in s$. $f m \leq f z$

```
\begin{array}{l} \textbf{context} \ \textit{semilattice-sup} \\ \textbf{begin} \end{array}
```

 $\mathbf{lemma}\ sup\text{-}left\text{-}isotone$:

```
x \leq y \Longrightarrow x \sqcup z \leq y \sqcup z
```

```
\langle proof \rangle
\mathbf{lemma}\ \mathit{sup-right-isotone} :
  x \leq y \Longrightarrow z \sqcup x \leq z \sqcup y
  \langle proof \rangle
lemma sup-left-divisibility:
  x \leq y \longleftrightarrow (\exists z . x \sqcup z = y)
  \langle proof \rangle
lemma sup-right-divisibility:
  x \leq y \longleftrightarrow (\exists z . z \sqcup x = y)
  \langle proof \rangle
\mathbf{lemma}\ sup\text{-}same\text{-}context:
  x < y \sqcup z \Longrightarrow y < x \sqcup z \Longrightarrow x \sqcup z = y \sqcup z
  \langle proof \rangle
lemma sup-relative-same-increasing:
  x \leq y \Longrightarrow x \sqcup z = x \sqcup w \Longrightarrow y \sqcup z = y \sqcup w
  \langle proof \rangle
end
     Every bounded semilattice is a commutative monoid. Finite sums de-
fined in commutative monoids are available via the following sublocale.
{\bf context}\ bounded\text{-}semilattice\text{-}sup\text{-}bot
begin
sublocale sup-monoid: comm-monoid-add where plus = sup and zero = bot
  \langle proof \rangle
end
context semilattice-inf
begin
lemma inf-same-context:
  x \leq y \sqcap z \Longrightarrow y \leq x \sqcap z \Longrightarrow x \sqcap z = y \sqcap z
  \langle proof \rangle
end
     The following class requires only the existence of upper bounds, which is
```

The following class requires only the existence of upper bounds, which is a property common to bounded semilattices and (not necessarily bounded) lattices. We use it in our development of filters.

```
class directed-semilattice-inf = semilattice-inf + assumes ub: \exists z \ . \ x \leq z \land y \leq z
```

We extend the *inf* sublocale, which dualises the order in semilattices, to bounded semilattices.

```
context bounded-semilattice-inf-top
begin
subclass directed-semilattice-inf
  \langle proof \rangle
sublocale inf: bounded-semilattice-sup-bot where sup = inf and less-eq =
greater-eq and less = greater and bot = top
  \langle proof \rangle
end
2.4
        Lattices
{\bf context}\ lattice
begin
{f subclass} directed-semilattice-inf
  \langle proof \rangle
definition dual-additive :: ('a \Rightarrow 'a) \Rightarrow bool
  where dual-additive f \equiv \forall x \ y \ . \ f \ (x \sqcup y) = f \ x \sqcap f \ y
end
    Not every bounded lattice has complements, but two elements might still
be complements of each other as captured in the following definition. In this
situation we can apply, for example, the shunting property shown below. We
introduce most definitions using the abbreviation command.
context bounded-lattice
begin
abbreviation complement x y \equiv x \sqcup y = top \land x \sqcap y = bot
\mathbf{lemma}\ complement\text{-}symmetric\text{:}
  complement \ x \ y \Longrightarrow complement \ y \ x
  \langle proof \rangle
definition conjugate :: ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a) \Rightarrow bool
  where conjugate f g \equiv \forall x y \cdot f x \cap y = bot \longleftrightarrow x \cap g y = bot
end
{\bf class}\ dense-lattice = bounded-lattice +
  assumes bot-meet-irreducible: x \sqcap y = bot \longrightarrow x = bot \lor y = bot
context distrib-lattice
```

begin

```
\begin{array}{c} \textbf{lemma} \ \ relative\text{-}equality\text{:} \\ x \sqcup z = y \sqcup z \Longrightarrow x \sqcap z = y \sqcap z \Longrightarrow x = y \\ \langle proof \rangle \end{array}
```

end

end

Distributive lattices with a greatest element are widely used in the construction theorem for Stone algebras.

```
 \begin{array}{l} \textbf{class} \ \textit{distrib-lattice-bot} = \textit{bounded-lattice-bot} + \textit{distrib-lattice} \\ \textbf{class} \ \textit{distrib-lattice-top} = \textit{bounded-lattice-top} + \textit{distrib-lattice} \\ \textbf{class} \ \textit{bounded-distrib-lattice} = \textit{bounded-lattice} + \textit{distrib-lattice} \\ \textbf{begin} \\ \textbf{subclass} \ \textit{distrib-lattice-bot} \ \langle \textit{proof} \rangle \\ \textbf{subclass} \ \textit{distrib-lattice-top} \ \langle \textit{proof} \rangle \\ \textbf{lemma} \ \textit{complement-shunting:} \\ \textbf{assumes} \ \textit{complement} \ \textit{z} \ \textit{w} \\ \textbf{shows} \ \textit{z} \ \sqcap \ \textit{x} \le \textit{y} \longleftrightarrow \textit{x} \le \textit{w} \ \sqcup \ \textit{y} \\ \langle \textit{proof} \rangle \\ \end{array}
```

2.5 Linear Orders

lemma sup-inf-selective:

We next consider lattices with a linear order structure. In such lattices, join and meet are selective operations, which give the maximum and the minimum of two elements, respectively. Moreover, the lattice is automatically distributive.

```
 \begin{aligned} \mathbf{class} \ bounded\text{-}linorder &= linorder + order\text{-}bot + order\text{-}top \\ \mathbf{class} \ linear\text{-}lattice &= lattice + linorder \\ \mathbf{begin} \end{aligned}   \begin{aligned} \mathbf{lemma} \ max\text{-}sup: \\ max \ x \ y &= x \ \sqcup \ y \\ \langle proof \rangle \end{aligned}   \begin{aligned} \mathbf{lemma} \ min\text{-}inf: \\ min \ x \ y &= x \ \sqcap \ y \\ \langle proof \rangle \end{aligned}
```

```
(x \sqcup y = x \land x \sqcap y = y) \lor (x \sqcup y = y \land x \sqcap y = x)
   \langle proof \rangle
lemma sup-selective:
  x \sqcup y = x \vee x \sqcup y = y
  \langle proof \rangle
lemma inf-selective:
  x \sqcap y = x \lor x \sqcap y = y
  \langle proof \rangle
{\bf subclass}\ \textit{distrib-lattice}
   \langle proof \rangle
lemma sup-less-eq:
  x \leq y \sqcup z \longleftrightarrow x \leq y \lor x \leq z
  \langle proof \rangle
lemma inf-less-eq:
  x \sqcap y \leq z \longleftrightarrow x \leq z \vee y \leq z
  \langle proof \rangle
lemma sup-inf-sup:
  x \sqcup y = (x \sqcup y) \sqcup (x \sqcap y)
  \langle proof \rangle
end
      The following class derives additional properties if the linear order of the
lattice has a least and a greatest element.
{\bf class}\ {\it linear-bounded-lattice}\ =\ {\it bounded-lattice}\ +\ {\it linorder}
begin
subclass linear-lattice \langle proof \rangle
subclass bounded-linorder (proof)
subclass bounded-distrib-lattice (proof)
lemma sup-dense:
  x \neq top \Longrightarrow y \neq top \Longrightarrow x \sqcup y \neq top
  \langle proof \rangle
lemma inf-dense:
  x \neq bot \Longrightarrow y \neq bot \Longrightarrow x \sqcap y \neq bot
  \langle proof \rangle
\mathbf{lemma}\ sup\text{-}not\text{-}bot:
  x \neq bot \Longrightarrow x \sqcup y \neq bot
```

```
\langle proof \rangle
lemma inf-not-top:
  x \neq top \Longrightarrow x \sqcap y \neq top
  \langle proof \rangle
{f subclass} dense-lattice
  \langle proof \rangle
end
     Every bounded linear order can be expanded to a bounded lattice. Join
and meet are maximum and minimum, respectively.
{\bf class}\ {\it linorder-lattice-expansion}\ =\ {\it bounded-linorder}\ +\ {\it sup}\ +\ {\it inf}\ +
  assumes sup\text{-}def [simp]: x \sqcup y = max \ x \ y
  assumes inf-def [simp]: x \sqcap y = min \ x \ y
begin
{f subclass}\ linear\mbox{-}bounded\mbox{-}lattice
  \langle proof \rangle
end
```

2.6 Non-trivial Algebras

Some results, such as the existence of certain filters, require that the algebras are not trivial. This is not an assumption of the order and lattice classes that come with Isabelle/HOL; for example, bot = top may hold in bounded lattices.

```
class non\text{-}trivial =
assumes consistent: \exists x \ y \ . \ x \neq y

class non\text{-}trivial\text{-}order = non\text{-}trivial + order

class non\text{-}trivial\text{-}order\text{-}bot = non\text{-}trivial\text{-}order + order\text{-}bot

class non\text{-}trivial\text{-}bounded\text{-}order = non\text{-}trivial\text{-}order\text{-}bot + order\text{-}top

begin

lemma bot\text{-}not\text{-}top:
bot \neq top
\langle proof \rangle
```

2.7 Homomorphisms

end

This section gives definitions of lattice homomorphisms and isomorphisms and basic properties.

```
class sup-inf-top-bot-uminus = sup + inf + top + bot + uminus
{f class} \ sup-inf-top-bot-uminus-ord = sup-inf-top-bot-uminus + ord
{f context}\ boolean\mbox{-}algebra
begin
subclass sup-inf-top-bot-uminus-ord \langle proof \rangle
end
abbreviation sup-homomorphism :: ('a::sup \Rightarrow 'b::sup) \Rightarrow bool
  where sup-homomorphism f \equiv \forall x \ y \ . \ f(x \sqcup y) = f \ x \sqcup f \ y
abbreviation inf-homomorphism :: ('a::inf \Rightarrow 'b::inf) \Rightarrow bool
  where inf-homomorphism f \equiv \forall x \ y \ . \ f \ (x \sqcap y) = f \ x \sqcap f \ y
abbreviation bot-homomorphism :: ('a::bot \Rightarrow 'b::bot) \Rightarrow bool
  where bot-homomorphism f \equiv f \ bot = bot
abbreviation top-homomorphism :: ('a::top \Rightarrow 'b::top) \Rightarrow bool
  where top-homomorphism f \equiv f top = top
\textbf{abbreviation} \ \textit{minus-homomorphism} :: (\textit{'a::minus} \Rightarrow \textit{'b::minus}) \Rightarrow \textit{bool}
  where minus-homomorphism f \equiv \forall x \ y \ . \ f(x - y) = f \ x - f \ y
abbreviation uminus-homomorphism :: ('a::uminus \Rightarrow 'b::uminus) \Rightarrow bool
  where uninus-homomorphism f \equiv \forall x . f(-x) = -f x
abbreviation sup-inf-homomorphism :: ('a::{sup,inf}) \Rightarrow 'b::{sup,inf}) \Rightarrow bool
  where sup-inf-homomorphism\ f \equiv sup-homomorphism\ f \land inf-homomorphism\ f
abbreviation sup-inf-top-homomorphism :: ('a::{sup,inf,top}) \Rightarrow
b::\{sup,inf,top\}) \Rightarrow bool
  where sup-inf-top-homomorphism f \equiv sup-inf-homomorphism f \wedge
top-homomorphism f
abbreviation sup-inf-top-bot-homomorphism :: ('a::{sup,inf,top,bot}) \Rightarrow
b::\{sup,inf,top,bot\}) \Rightarrow bool
  where sup-inf-top-bot-homomorphism f \equiv sup-inf-top-homomorphism f \wedge
bot-homomorphism f
abbreviation bounded-lattice-homomorphism :: ('a::bounded-lattice \Rightarrow
'b::bounded-lattice) \Rightarrow bool
  where bounded-lattice-homomorphism f \equiv sup-inf-top-bot-homomorphism f
\textbf{abbreviation} \ \textit{sup-inf-top-bot-uminus-homomorphism} ::
('a::sup-inf-top-bot-uminus \Rightarrow 'b::sup-inf-top-bot-uminus) \Rightarrow bool
  where sup-inf-top-bot-uninus-homomorphism f \equiv
sup-inf-top-bot-homomorphism\ f\ \land\ uminus-homomorphism\ f
```

```
{\bf abbreviation}\ sup-inf-top-bot-uminus-ord-homomorphism::
('a::sup-inf-top-bot-uminus-ord \Rightarrow 'b::sup-inf-top-bot-uminus-ord) \Rightarrow bool
  where sup-inf-top-bot-uninus-ord-homomorphism f \equiv
sup-inf-top-bot-uninus-homomorphism\ f\ \land\ (\forall\ x\ y\ .\ x\leq y\longrightarrow f\ x\leq f\ y)
abbreviation sup-inf-top-isomorphism :: ('a::{sup,inf,top}) \Rightarrow 'b::{sup,inf,top})
\Rightarrow bool
  where sup-inf-top-isomorphism f \equiv sup-inf-top-homomorphism f \wedge bij f
abbreviation bounded-lattice-top-isomorphism :: ('a::bounded-lattice-top \Rightarrow
'b::bounded-lattice-top) \Rightarrow bool
  where bounded-lattice-top-isomorphism f \equiv sup-inf-top-isomorphism f
abbreviation sup-inf-top-bot-uminus-isomorphism :: ('a::sup-inf-top-bot-uminus
\Rightarrow 'b::sup-inf-top-bot-uminus) \Rightarrow bool
  where sup-inf-top-bot-uminus-isomorphism f \equiv
sup-inf-top-bot-uminus-homomorphism f \land bij f
abbreviation boolean-algebra-isomorphism :: ('a::boolean-algebra \Rightarrow
'b::boolean-algebra) \Rightarrow bool
  where boolean-algebra-isomorphism f \equiv sup-inf-top-bot-uminus-isomorphism f
\land minus-homomorphism f
lemma sup-homomorphism-mono:
  sup-homomorphism (f::'a::semilattice-sup \Rightarrow 'b::semilattice-sup) \Longrightarrow mono f
  \langle proof \rangle
{f lemma}\ sup\mbox{-}isomorphism\mbox{-}ord\mbox{-}isomorphism:
  assumes sup-homomorphism (f::'a::semilattice-sup \Rightarrow 'b::semilattice-sup)
     and bij f
   shows x \leq y \longleftrightarrow f x \leq f y
\langle proof \rangle
lemma minus-homomorphism-default:
  assumes \forall x \ y :: 'a :: \{ inf, minus, uminus \} . x - y = x \sqcap -y
     and \forall x \ y :: 'b :: \{inf, minus, uminus\} \ . \ x - y = x \sqcap -y
     and inf-homomorphism (f::'a \Rightarrow 'b)
     and uminus-homomorphism f
   shows minus-homomorphism f
  \langle proof \rangle
```

3 Pseudocomplemented Algebras

end

This theory expands lattices with a pseudocomplement operation. In particular, we consider the following algebraic structures:

- * pseudocomplemented lattices (p-algebras)
- * pseudocomplemented distributive lattices (distributive p-algebras)
- * Stone algebras
- * Heyting semilattices
- * Heyting lattices
- * Heyting algebras
- * Heyting-Stone algebras
- * Brouwer algebras
- * Boolean algebras

Most of these structures and many results in this theory are discussed in [4, 5, 6, 8, 17, 23].

theory P-Algebras

imports Lattice-Basics

begin

3.1 P-Algebras

In this section we add a pseudocomplement operation to lattices and to distributive lattices.

3.1.1 Pseudocomplemented Lattices

The pseudocomplement of an element y is the greatest element whose meet with y is the least element of the lattice.

```
class p-algebra = bounded-lattice + uminus + assumes pseudo-complement: x \sqcap y = bot \longleftrightarrow x \le -ybegin
```

 $\mathbf{subclass} \ \mathit{sup-inf-top-bot-uminus-ord} \ \langle \mathit{proof} \rangle$

Regular elements and dense elements are frequently used in pseudocomplemented algebras.

```
abbreviation regular \ x \equiv x = --x abbreviation dense \ x \equiv -x = bot abbreviation complemented \ x \equiv \exists \ y \ . \ x \sqcap \ y = bot \land x \sqcup y = top abbreviation in\text{-}p\text{-}image \ x \equiv \exists \ y \ . \ x = -y abbreviation selection \ s \ x \equiv s = --s \sqcap x
```

```
abbreviation dense-elements \equiv \{ x : dense x \}
abbreviation regular-elements \equiv \{ x : in\text{-}p\text{-}image \ x \}
lemma p-bot [simp]:
  -bot = top
  \langle proof \rangle
lemma p-top [simp]:
  -top = bot
  \langle proof \rangle
     The pseudocomplement satisfies the following half of the requirements
of a complement.
lemma inf-p [simp]:
  x \sqcap -x = bot
  \langle proof \rangle
lemma p-inf [simp]:
  -x \sqcap x = bot
  \langle proof \rangle
lemma pp-inf-p:
  --x \sqcap -x = bot
  \langle proof \rangle
    The double complement is a closure operation.
lemma pp-increasing:
  x \leq --x
  \langle proof \rangle
lemma ppp [simp]:
  ---x = -x
  \langle proof \rangle
lemma pp-idempotent:
  ----x = --x
  \langle proof \rangle
lemma regular-in-p-image-iff:
  regular \ x \longleftrightarrow in-p-image \ x
  \langle proof \rangle
lemma pseudo-complement-pp:
  x \sqcap y = bot \longleftrightarrow --x \le -y
  \langle proof \rangle
lemma p-antitone:
  x \le y \Longrightarrow -y \le -x
  \langle proof \rangle
```

```
lemma p-antitone-sup:
  -(x \sqcup y) \leq -x
  \langle proof \rangle
lemma p-antitone-inf:
  -x \leq -(x \sqcap y)
  \langle proof \rangle
\mathbf{lemma}\ p\text{-}antitone\text{-}iff:
  x \le -y \longleftrightarrow y \le -x
  \langle proof \rangle
lemma pp-isotone:
  x \leq y \Longrightarrow --x \leq --y
  \langle proof \rangle
\mathbf{lemma}\ pp\text{-}isotone\text{-}sup\text{:}
  --x \leq --(x \sqcup y)
  \langle proof \rangle
\mathbf{lemma} \ pp\text{-}isotone\text{-}inf:
  --(x \sqcap y) \leq --x
  \langle proof \rangle
      One of De Morgan's laws holds in pseudocomplemented lattices.
lemma p-dist-sup [simp]:
   -(x \sqcup y) = -x \sqcap -y
  \langle proof \rangle
\mathbf{lemma}\ \textit{p-supdist-inf}\colon
  -x \sqcup -y \leq -(x \sqcap y)
  \langle proof \rangle
\mathbf{lemma}\ pp\text{-}dist\text{-}pp\text{-}sup\ [simp]:
  --(--x \sqcup --y) = --(x \sqcup y)
  \langle proof \rangle
lemma p-sup-p [sim p]:
   -(x \sqcup -x) = bot
  \langle proof \rangle
lemma pp-sup-p [simp]:
   --(x \sqcup -x) = top
  \langle proof \rangle
lemma dense-pp:
  dense\ x \longleftrightarrow --x = top
  \langle proof \rangle
```

```
lemma dense-sup-p:
  dense (x \sqcup -x)
  \langle proof \rangle
lemma regular-char:
  regular x \longleftrightarrow (\exists y : x = -y)
  \langle proof \rangle
\mathbf{lemma} \ \mathit{pp-inf-bot-iff}\colon
  x \sqcap y = bot \longleftrightarrow --x \sqcap y = bot
  \langle proof \rangle
     Weak forms of the shunting property hold. Most require a pseudocom-
plemented element on the right-hand side.
lemma p-shunting-swap:
  x \sqcap y \leq -z \longleftrightarrow x \sqcap z \leq -y
  \langle proof \rangle
lemma pp-inf-below-iff:
  x \sqcap y \leq -z \longleftrightarrow --x \sqcap y \leq -z
  \langle proof \rangle
lemma p-inf-pp [simp]:
  -(x\sqcap --y) = -(x\sqcap y)
  \langle proof \rangle
lemma p-inf-pp-pp [simp]:
  -(--x\sqcap --y) = -(x\sqcap y)
  \langle proof \rangle
lemma regular-closed-inf:
  regular \ x \Longrightarrow regular \ y \Longrightarrow regular \ (x \sqcap y)
  \langle proof \rangle
\mathbf{lemma}\ \textit{regular-closed-p}\text{:}
  regular(-x)
  \langle proof \rangle
\mathbf{lemma}\ \mathit{regular-closed-pp} :
  regular(--x)
  \langle proof \rangle
\mathbf{lemma}\ \mathit{regular-closed-bot} :
  regular\ bot
  \langle proof \rangle
lemma regular-closed-top:
  regular\ top
```

```
\langle proof \rangle
lemma pp-dist-inf [simp]:
  --(x \sqcap y) = --x \sqcap --y
  \langle proof \rangle
lemma inf-import-p [simp]:
  x \sqcap -(x \sqcap y) = x \sqcap -y
  \langle proof \rangle
      Pseudocomplements are unique.
lemma p-unique:
  (\forall x . x \sqcap y = bot \longleftrightarrow x \leq z) \Longrightarrow z = -y
   \langle proof \rangle
lemma maddux-3-5:
  x \sqcup x = x \sqcup -(y \sqcup -y)
  \langle proof \rangle
lemma shunting-1-pp:
  x \leq --y \longleftrightarrow x \sqcap -y = bot
  \langle proof \rangle
lemma pp-pp-inf-bot-iff:
  x \sqcap y = bot \longleftrightarrow --x \sqcap --y = bot
  \langle proof \rangle
\mathbf{lemma} \ \textit{inf-pp-semi-commute}:
  x \sqcap --y \leq --(x \sqcap y)
  \langle proof \rangle
\mathbf{lemma} \ \mathit{inf-pp-commute} :
  --(--x\sqcap y) = --x\sqcap --y
  \langle proof \rangle
\mathbf{lemma}\ sup\text{-}pp\text{-}semi\text{-}commute:
  x \sqcup --y \leq --(x \sqcup y)
  \langle proof \rangle
lemma regular-sup:
  \mathit{regular}\ z \Longrightarrow (x \leq z \, \land \, y \leq z \longleftrightarrow --(x \, \sqcup \, y) \leq z)
  \langle proof \rangle
lemma dense-closed-inf:
  dense \ x \Longrightarrow dense \ y \Longrightarrow dense \ (x \sqcap y)
  \langle proof \rangle
```

lemma dense-closed-sup:

 $dense \ x \Longrightarrow dense \ y \Longrightarrow dense \ (x \sqcup y)$

```
\langle proof \rangle
lemma dense-closed-pp:
   dense \ x \Longrightarrow dense \ (--x)
  \langle proof \rangle
\mathbf{lemma}\ dense\text{-}closed\text{-}top\text{:}
   dense top
  \langle proof \rangle
\mathbf{lemma}\ dense-up\text{-}closed:
   dense \ x \Longrightarrow x \le y \Longrightarrow dense \ y
   \langle proof \rangle
lemma regular-dense-top:
  regular x \Longrightarrow dense x \Longrightarrow x = top
  \langle proof \rangle
lemma selection-char:
  selection s \ x \longleftrightarrow (\exists y \ . \ s = -y \sqcap x)
  \langle proof \rangle
{\bf lemma}\ selection\text{-}closed\text{-}inf:
  selection \ s \ x \Longrightarrow selection \ t \ x \Longrightarrow selection \ (s \sqcap t) \ x
  \langle proof \rangle
lemma selection-closed-pp:
   regular x \Longrightarrow selection \ s \ x \Longrightarrow selection \ (--s) \ x
   \langle proof \rangle
lemma selection-closed-bot:
  selection bot x
  \langle proof \rangle
\mathbf{lemma}\ selection\text{-}closed\text{-}id:
  selection \ x \ x
  \langle proof \rangle
      Conjugates are usually studied for Boolean algebras, however, some of
their properties generalise to pseudocomplemented algebras.
lemma conjugate-unique-p:
  assumes conjugate f g
       and conjugate f h
     shows uminus \circ g = uminus \circ h
\langle proof \rangle
lemma conjugate-symmetric:
   conjugate f g \Longrightarrow conjugate g f
  \langle proof \rangle
```

```
{f lemma} additive-isotone:
  additive f \Longrightarrow isotone f
  \langle proof \rangle
{f lemma}\ dual-additive-antitone:
  assumes dual-additive f
     shows isotone (uminus \circ f)
\langle proof \rangle
\mathbf{lemma}\ conjugate\text{-}dual\text{-}additive\text{:}
  assumes conjugate f g
     shows dual-additive (uminus \circ f)
\langle proof \rangle
lemma conjugate-isotone-pp:
  conjugate \ f \ g \Longrightarrow isotone \ (uminus \circ uminus \circ f)
  \langle proof \rangle
lemma conjugate-char-1-pp:
  conjugate f g \longleftrightarrow (\forall x y . f(x \sqcap -(g y)) \leq --f x \sqcap -y \land g(y \sqcap -(f x)) \leq --g
y \sqcap -x
\langle proof \rangle
lemma conjugate-char-1-isotone:
  \textit{conjugate } f \textit{ } g \Longrightarrow \textit{isotone } f \Longrightarrow \textit{isotone } g \Longrightarrow f(x \sqcap -(g \textit{ } y)) \leq f \textit{ } x \sqcap -y \land \textit{ } g(y)
\Box -(f x)) \le g y \Box -x
  \langle proof \rangle
\mathbf{lemma}\ \mathit{dense-lattice-char-1}\colon
  (\forall x \ y \ . \ x \ \sqcap \ y = bot \longrightarrow x = bot \lor y = bot) \longleftrightarrow (\forall x \ . \ x \neq bot \longrightarrow dense \ x)
  \langle proof \rangle
lemma dense-lattice-char-2:
  (\forall x \ y \ . \ x \ \sqcap \ y = bot \longrightarrow x = bot \lor y = bot) \longleftrightarrow (\forall x \ . \ regular \ x \longrightarrow x = bot \lor y = bot)
x = top
  \langle proof \rangle
lemma restrict-below-Rep-eq:
  x \sqcap --y \leq z \Longrightarrow x \sqcap y = x \sqcap z \sqcap y
  \langle proof \rangle
end
      The following class gives equational axioms for the pseudocomplement
operation.
```

 ${\bf class} \ p\text{-}algebra\text{-}eq = bounded\text{-}lattice + uminus +$

```
assumes p\text{-}bot\text{-}eq\text{:}-bot=top and p\text{-}top\text{-}eq\text{:}-top=bot and inf\text{-}import\text{-}p\text{-}eq\text{:}\ x\sqcap -(x\sqcap y)=x\sqcap -y begin  \begin{aligned} &\operatorname{lemma}\ inf\text{-}p\text{-}eq\text{:}\ &x\sqcap -x=bot\\ &\langle proof\rangle \end{aligned}   \begin{aligned} &\operatorname{subclass}\ p\text{-}algebra\\ &\langle proof\rangle \end{aligned}  end
```

3.1.2 Pseudocomplemented Distributive Lattices

We obtain further properties if we assume that the lattice operations are distributive.

```
{\bf class}\ pd\hbox{-}algebra = p\hbox{-}algebra + bounded\hbox{-}distrib\hbox{-}lattice
begin
lemma p-inf-sup-below:
  -x \sqcap (x \sqcup y) \leq y
  \langle proof \rangle
lemma pp-inf-sup-p [simp]:
  --x \sqcap (x \sqcup -x) = x
  \langle proof \rangle
lemma complement-p:
  x \sqcap y = bot \Longrightarrow x \sqcup y = top \Longrightarrow -x = y
  \langle proof \rangle
lemma complemented-regular:
   complemented x \Longrightarrow regular x
   \langle proof \rangle
\mathbf{lemma}\ \mathit{regular-inf-dense} :
  \exists\, y\ z\ .\ \mathit{regular}\ y\,\wedge\, \mathit{dense}\ z\,\wedge\, x=\,y\,\sqcap\, z
  \langle proof \rangle
lemma maddux-3-12 [simp]:
  (x \sqcup -y) \sqcap (x \sqcup y) = x
   \langle proof \rangle
lemma maddux-3-13 [simp]:
  (x \sqcup y) \sqcap -x = y \sqcap -x
```

 $\langle proof \rangle$

```
 \begin{array}{l} \textbf{lemma} \ \textit{maddux-3-20}\colon \\ & ((v \sqcap w) \sqcup (-v \sqcap x)) \sqcap -((v \sqcap y) \sqcup (-v \sqcap z)) = (v \sqcap w \sqcap -y) \sqcup (-v \sqcap x \sqcap -z) \\ & \langle \textit{proof} \rangle \\ \\ \textbf{lemma} \ \textit{order-char-1}\colon \\ & x \leq y \longleftrightarrow x \leq y \sqcup -x \\ & \langle \textit{proof} \rangle \\ \\ \textbf{lemma} \ \textit{order-char-2}\colon \\ & x \leq y \longleftrightarrow x \sqcup -x \leq y \sqcup -x \\ & \langle \textit{proof} \rangle \\ \\ \textbf{lemma} \ \textit{half-shunting}\colon \\ & x \leq y \sqcup z \Longrightarrow x \sqcap -z \leq y \\ & \langle \textit{proof} \rangle \\ \end{array}
```

end

3.2 Stone Algebras

A Stone algebra is a distributive lattice with a pseudocomplement that satisfies the following equation. We thus obtain the other half of the requirements of a complement at least for the regular elements.

```
\begin{array}{l} \textbf{class} \ stone\text{-}algebra = pd\text{-}algebra + \\ \textbf{assumes} \ stone \ [simp] \text{:} \ -x \sqcup --x = top \\ \textbf{begin} \end{array}
```

As a consequence, we obtain both De Morgan's laws for all elements.

```
\begin{array}{l} \mathbf{lemma} \ p\text{-}dist\text{-}inf \ [simp]: \\ -(x \sqcap y) = -x \sqcup -y \\ \langle proof \rangle \end{array}
\begin{array}{l} \mathbf{lemma} \ pp\text{-}dist\text{-}sup \ [simp]: \\ --(x \sqcup y) = --x \sqcup --y \\ \langle proof \rangle \end{array}
\begin{array}{l} \mathbf{lemma} \ regular\text{-}closed\text{-}sup: \\ regular \ x \Longrightarrow regular \ y \Longrightarrow regular \ (x \sqcup y) \\ \langle proof \rangle \end{array}
```

The regular elements are precisely the ones having a complement.

```
lemma regular-complemented-iff: regular x \longleftrightarrow complemented \ x \ \langle proof \rangle
```

lemma selection-closed-sup:

```
selection \ s \ x \Longrightarrow selection \ t \ x \Longrightarrow selection \ (s \sqcup t) \ x
  \langle proof \rangle
lemma huntington-3-pp [simp]:
  -(-x \sqcup -y) \sqcup -(-x \sqcup y) = --x
  \langle proof \rangle
lemma maddux-3-3 [simp]:
  -(x \sqcup y) \sqcup -(x \sqcup -y) = -x
  \langle proof \rangle
lemma maddux-3-11-pp:
  (x\sqcap -y)\sqcup (x\sqcap --y)=x
  \langle proof \rangle
lemma maddux-3-19-pp:
  (-x \sqcap y) \sqcup (--x \sqcap z) = (--x \sqcup y) \sqcap (-x \sqcup z)
\langle proof \rangle
lemma compl-inter-eq-pp:
  --x \sqcap y = --x \sqcap z \Longrightarrow -x \sqcap y = -x \sqcap z \Longrightarrow y = z
  \langle proof \rangle
lemma maddux-3-21-pp [simp]:
  --x \sqcup (-x \sqcap y) = --x \sqcup y
  \langle proof \rangle
lemma shunting-2-pp:
  x \leq --y \longleftrightarrow -x \sqcup --y = top
  \langle proof \rangle
lemma shunting-p:
  x \sqcap y \leq -z \longleftrightarrow x \leq -z \sqcup -y
      The following weak shunting property is interesting as it does not require
the element z on the right-hand side to be regular.
lemma shunting-var-p:
  x\sqcap -y\leq z\longleftrightarrow x\leq z\sqcup --y
\langle proof \rangle
lemma conjugate-char-2-pp:
  \textit{conjugate } \textit{f } \textit{g} \longleftrightarrow \textit{f } \textit{bot} = \textit{bot} \land \textit{g } \textit{bot} = \textit{bot} \land (\forall \textit{x } \textit{y } . \textit{f } \textit{x} \sqcap \textit{y} \leq --(\textit{f}(\textit{x} \sqcap \textit{y} \land \textit{v})))
--(g\ y))) \land g\ y \sqcap x \le --(g(y \sqcap --(f\ x))))
\langle proof \rangle
\mathbf{lemma}\ conjugate\text{-}char\text{-}2\text{-}pp\text{-}additive\text{:}
```

assumes conjugate f g

```
and additive f
     and additive g
   shows f x \sqcap y \leq f(x \sqcap --(g y)) \land g y \sqcap x \leq g(y \sqcap --(f x))
end
abbreviation stone-algebra-isomorphism :: ('a::stone-algebra <math>\Rightarrow
'b::stone-algebra) \Rightarrow bool
 where stone-algebra-isomorphism f \equiv sup-inf-top-bot-uminus-isomorphism f
    Every bounded linear order can be expanded to a Stone algebra. The
pseudocomplement takes bot to the top and every other element to bot.
{f class}\ linorder\mbox{-}stone\mbox{-}algebra\mbox{-}expansion = linorder\mbox{-}lattice\mbox{-}expansion + uminus +
 assumes uminus-def [simp]: -x = (if \ x = bot \ then \ top \ else \ bot)
begin
{f subclass}\ stone-algebra
  \langle proof \rangle
    The regular elements are the least and greatest elements. All elements
except the least element are dense.
lemma regular-bot-top:
```

```
regular \ x \longleftrightarrow x = bot \lor x = top
\langle proof \rangle
```

lemma not-bot-dense:

```
x \neq bot \Longrightarrow --x = top
\langle proof \rangle
```

end

3.3 Heyting Algebras

In this section we add a relative pseudocomplement operation to semilattices and to lattices.

Heyting Semilattices 3.3.1

The pseudocomplement of an element y relative to an element z is the least element whose meet with y is below z. This can be stated as a Galois connection. Specialising z = bot gives (non-relative) pseudocomplements. Many properties can already be shown if the underlying structure is just a semilattice.

```
{f class} \ implies =
```

```
fixes implies :: 'a \Rightarrow 'a \Rightarrow 'a \text{ (infixl } \leftrightarrow 65)
```

class heyting-semilattice = semilattice-inf + implies + assumes implies-galois: $x \sqcap y \leq z \longleftrightarrow x \leq y \leadsto z$ begin

 ${\bf lemma}\ implies\text{-}below\text{-}eq\ [simp]:$

$$y \sqcap (x \leadsto y) = y$$
$$\langle proof \rangle$$

 $\mathbf{lemma}\ implies\text{-}increasing:$

$$x \le y \leadsto x$$

 $\langle proof \rangle$

 $\mathbf{lemma}\ implies\text{-}galois\text{-}swap\text{:}$

$$\begin{array}{l} x \leq y \leadsto z \longleftrightarrow y \leq x \leadsto z \\ \langle proof \rangle \end{array}$$

 ${\bf lemma}\ implies\hbox{-} galois\hbox{-} var\colon$

$$\begin{array}{l} x \sqcap y \leq z \longleftrightarrow y \leq x \leadsto z \\ \langle proof \rangle \end{array}$$

 ${\bf lemma}\ implies-galois-increasing:$

$$x \le y \leadsto (x \sqcap y)$$
$$\langle proof \rangle$$

lemma implies-galois-decreasing:

$$(y \leadsto x) \sqcap y \le x$$
$$\langle proof \rangle$$

lemma *implies-mp-below*:

$$x \sqcap (x \leadsto y) \le y$$
$$\langle proof \rangle$$

 $\mathbf{lemma}\ implies\text{-}isotone:$

$$\begin{array}{l} x \leq y \Longrightarrow z \leadsto x \leq z \leadsto y \\ \langle proof \rangle \end{array}$$

lemma *implies-antitone*:

$$\begin{array}{l} x \leq y \Longrightarrow y \leadsto z \leq x \leadsto z \\ \langle proof \rangle \end{array}$$

 $\mathbf{lemma}\ implies\text{-}isotone\text{-}inf:$

$$x \leadsto (y \sqcap z) \le x \leadsto y$$
$$\langle proof \rangle$$

lemma *implies-antitone-inf*:

$$x \leadsto z \le (x \sqcap y) \leadsto z$$
$$\langle proof \rangle$$

```
lemma implies-curry:
  x \leadsto (y \leadsto z) = (x \sqcap y) \leadsto z
lemma implies-curry-flip:
  x \leadsto (y \leadsto z) = y \leadsto (x \leadsto z)
  \langle proof \rangle
lemma triple-implies [simp]:
   ((x \leadsto y) \leadsto y) \leadsto y = x \leadsto y
   \langle proof \rangle
lemma implies-mp-eq [simp]:
  x \sqcap (x \leadsto y) = x \sqcap y
   \langle proof \rangle
\mathbf{lemma}\ implies\text{-}dist\text{-}implies\text{:}
  x \leadsto (y \leadsto z) \leq (x \leadsto y) \leadsto (x \leadsto z)
lemma implies-import-inf [simp]:
  x \sqcap ((x \sqcap y) \leadsto (x \leadsto z)) = x \sqcap (y \leadsto z)
  \langle proof \rangle
lemma implies-dist-inf:
  x \leadsto (y \sqcap z) = (x \leadsto y) \sqcap (x \leadsto z)
\langle proof \rangle
\textbf{lemma} \ \textit{implies-itself-top} :
  y \leq x \rightsquigarrow x
   \langle proof \rangle
lemma inf-implies-top:
  z \leq (x \sqcap y) \leadsto x
   \langle proof \rangle
lemma inf-inf-implies [simp]:
  z \sqcap ((x \sqcap y) \leadsto x) = z
  \langle proof \rangle
lemma le-implies-top:
  x \leq y \Longrightarrow z \leq x \leadsto y
   \langle proof \rangle
lemma le-iff-le-implies:
  x \leq y \longleftrightarrow x \leq x \leadsto y
```

 $\langle proof \rangle$

```
lemma implies-inf-isotone:
  x \leadsto y \le (x \sqcap z) \leadsto (y \sqcap z)
  \langle proof \rangle
lemma implies-transitive:
  (x \leadsto y) \sqcap (y \leadsto z) \le x \leadsto z
  \langle proof \rangle
lemma implies-inf-absorb [simp]:
  x \rightsquigarrow (x \sqcap y) = x \rightsquigarrow y
  \langle proof \rangle
lemma implies-implies-absorb [simp]:
  x \rightsquigarrow (x \rightsquigarrow y) = x \rightsquigarrow y
  \langle proof \rangle
lemma implies-inf-identity:
  (x \leadsto y) \sqcap y = y
  \langle proof \rangle
lemma implies-itself-same:
  x \rightsquigarrow x = y \rightsquigarrow y
  \langle proof \rangle
end
     The following class gives equational axioms for the relative pseudocom-
plement operation (inequalities can be written as equations).
{f class}\ heyting\text{-}semilattice\text{-}eq = semilattice\text{-}inf + implies +
  assumes implies-mp-below: x \sqcap (x \leadsto y) \le y
      and implies-galois-increasing: x \leq y \rightsquigarrow (x \sqcap y)
      and implies-isotone-inf: x \rightsquigarrow (y \sqcap z) \leq x \rightsquigarrow y
begin
subclass heyting-semilattice
  \langle proof \rangle
end
     The following class allows us to explicitly give the pseudocomplement of
an element relative to itself.
class\ bounded-heyting-semilattice = bounded-semilattice-inf-top +
heyting-semilattice
begin
lemma implies-itself [simp]:
  x \leadsto x = top
  \langle proof \rangle
```

```
lemma implies-order:
  x \le y \longleftrightarrow x \leadsto y = top
  \langle proof \rangle
lemma inf-implies [simp]:
  (x \sqcap y) \leadsto x = top
  \langle proof \rangle
lemma top-implies [simp]:
  top \leadsto x = x
  \langle proof \rangle
end
3.3.2
            Heyting Lattices
We obtain further properties if the underlying structure is a lattice. In
particular, the lattice operations are automatically distributive in this case.
{\bf class}\ heyting\text{-}lattice = lattice + heyting\text{-}semilattice
begin
lemma sup-distrib-inf-le:
  (x \sqcup y) \sqcap (x \sqcup z) \le x \sqcup (y \sqcap z)
\langle proof \rangle
{\bf subclass}\ \textit{distrib-lattice}
  \langle proof \rangle
lemma implies-isotone-sup:
  x \leadsto y \le x \leadsto (y \sqcup z)
  \langle proof \rangle
lemma implies-antitone-sup:
  (x \sqcup y) \leadsto z \le x \leadsto z
  \langle proof \rangle
lemma implies-sup:
  x \rightsquigarrow z \leq (y \rightsquigarrow z) \rightsquigarrow ((x \sqcup y) \rightsquigarrow z)
\langle proof \rangle
lemma implies-dist-sup:
  (x \sqcup y) \leadsto z = (x \leadsto z) \sqcap (y \leadsto z)
  \langle proof \rangle
lemma implies-antitone-isotone:
  (x \sqcup y) \leadsto (x \sqcap y) \le x \leadsto y
```

 $\mathbf{lemma}\ implies\text{-}antisymmetry:$

 $\langle proof \rangle$

```
(x \leadsto y) \sqcap (y \leadsto x) = (x \sqcup y) \leadsto (x \sqcap y)
lemma sup-inf-implies [simp]:
  (x \sqcup y) \sqcap (x \leadsto y) = y
  \langle proof \rangle
lemma implies-subdist-sup:
  (x \leadsto y) \sqcup (x \leadsto z) \le x \leadsto (y \sqcup z)
  \langle proof \rangle
lemma implies-subdist-inf:
  (x \leadsto z) \sqcup (y \leadsto z) \le (x \sqcap y) \leadsto z
  \langle proof \rangle
lemma implies-sup-absorb:
  (x \leadsto y) \sqcup z \le (x \sqcup z) \leadsto (y \sqcup z)
  \langle proof \rangle
lemma sup-below-implies-implies:
  x \sqcup y \leq (x \leadsto y) \leadsto y
  \langle proof \rangle
end
{f class}\ bounded{\it -heyting-lattice} = bounded{\it -lattice} + heyting{\it -lattice}
begin
subclass bounded-heyting-semilattice \langle proof \rangle
lemma implies-bot [simp]:
  bot \leadsto x = top
  \langle proof \rangle
end
```

3.3.3 Heyting Algebras

The pseudocomplement operation can be defined in Heyting algebras, but it is typically not part of their signature. We add the definition as an axiom so that we can use the class hierarchy, for example, to inherit results from the class pd-algebra.

```
class heyting-algebra = bounded-heyting-lattice + uminus +
assumes uminus-eq: -x = x \leadsto bot
begin
subclass pd-algebra
\langle proof \rangle
```

```
lemma boolean-implies-below:
  -x \sqcup y \leq x \leadsto y
  \langle proof \rangle
lemma negation-implies:
  -(x \leadsto y) = --x \sqcap -y
\langle proof \rangle
lemma double-negation-dist-implies:
  --(x \leadsto y) = --x \leadsto --y
  \langle proof \rangle
end
    The following class gives equational axioms for Heyting algebras.
class\ heyting-algebra-eq = bounded-lattice + implies + uminus +
 assumes implies-mp-eq: x \sqcap (x \leadsto y) = x \sqcap y
      and implies-import-inf: x \sqcap ((x \sqcap y) \rightsquigarrow (x \rightsquigarrow z)) = x \sqcap (y \rightsquigarrow z)
      and inf-inf-implies: z \sqcap ((x \sqcap y) \rightsquigarrow x) = z
      and uminus-eq-eq: -x = x \leadsto bot
begin
subclass heyting-algebra
  \langle proof \rangle
end
     A relative pseudocomplement is not enough to obtain the Stone equation,
so we add it in the following class.
{f class}\ heyting\mbox{-}stone\mbox{-}algebra = heyting\mbox{-}algebra +
  assumes heyting-stone: -x \sqcup --x = top
begin
{f subclass}\ stone-algebra
  \langle proof \rangle
```

end

3.3.4 Brouwer Algebras

Brouwer algebras are dual to Heyting algebras. The dual pseudocomplement of an element y relative to an element x is the least element whose join with y is above x. We can now use the binary operation provided by Boolean algebras in Isabelle/HOL because it is compatible with dual relative pseudocomplements (not relative pseudocomplements).

```
class\ brouwer-algebra = bounded-lattice + minus + uminus +
 assumes minus-galois: x \leq y \sqcup z \longleftrightarrow x - y \leq z
     and uminus-eq-minus: -x = top - x
begin
sublocale brouwer: heyting-algebra where inf = sup and less-eq = greater-eq
and less = greater and sup = inf and bot = top and top = bot and implies =
\lambda x y \cdot y - x
 \langle proof \rangle
lemma curry-minus:
 x - (y \sqcup z) = (x - y) - z
 \langle proof \rangle
lemma minus-subdist-sup:
 (x-z) \sqcup (y-z) \le (x \sqcup y) - z
 \langle proof \rangle
lemma inf-sup-minus:
 (x \sqcap y) \sqcup (x - y) = x
 \langle proof \rangle
end
       Boolean Algebras
3.4
This section integrates Boolean algebras in the above hierarchy. In particu-
lar, we strengthen several results shown above.
context boolean-algebra
begin
    Every Boolean algebra is a Stone algebra, a Heyting algebra and a
Brouwer algebra.
{\bf subclass}\ stone\text{-}algebra
 \langle proof \rangle
sublocale heyting: heyting-algebra where implies = \lambda x \ y \ . \ -x \sqcup y
 \langle proof \rangle
{f subclass}\ brouwer-algebra
 \langle proof \rangle
lemma huntington-3 [simp]:
  -(-x \sqcup -y) \sqcup -(-x \sqcup y) = x
 \langle proof \rangle
lemma maddux-3-1:
```

 $x \sqcup -x = y \sqcup -y$

 $\langle proof \rangle$

```
lemma maddux-3-4:
  x \sqcup (y \sqcup -y) = z \sqcup -z
  \langle proof \rangle
lemma maddux-3-11 [simp]:
  (x \sqcap y) \sqcup (x \sqcap -y) = x
  \langle proof \rangle
lemma maddux-3-19:
  (-x\sqcap y)\sqcup(x\sqcap z)=(x\sqcup y)\sqcap(-x\sqcup z)
  \langle proof \rangle
lemma compl-inter-eq:
  x \sqcap y = x \sqcap z \Longrightarrow -x \sqcap y = -x \sqcap z \Longrightarrow y = z
  \langle proof \rangle
lemma maddux-3-21 [simp]:
  x \sqcup (-x \sqcap y) = x \sqcup y
  \langle proof \rangle
lemma shunting-1:
  x \leq y \longleftrightarrow x \sqcap -y = bot
  \langle proof \rangle
\mathbf{lemma}\ uminus\text{-}involutive:
  uminus \, \circ \, uminus = \, id
  \langle proof \rangle
\mathbf{lemma}\ \mathit{uminus-injective} :
   uminus \circ f = uminus \circ g \Longrightarrow f = g
  \langle proof \rangle
lemma conjugate-unique:
  conjugate \ f \ g \Longrightarrow conjugate \ f \ h \Longrightarrow g = h
  \langle proof \rangle
\mathbf{lemma}\ \mathit{dual-additive-additive:}
  dual-additive (uminus \circ f) \Longrightarrow additive f
  \langle proof \rangle
lemma conjugate-additive:
  conjugate f g \Longrightarrow additive f
  \langle proof \rangle
{f lemma}\ conjugate	ext{-}isotone:
  conjugate f g \Longrightarrow isotone f
   \langle proof \rangle
```

```
lemma conjugate-char-1:
   conjugate \ f \ g \longleftrightarrow (\forall \ x \ y \ . \ f(x \ \sqcap \ -(g \ y)) \le f \ x \ \sqcap \ -y \ \land \ g(y \ \sqcap \ -(f \ x)) \le g \ y \ \sqcap
-x
   \langle proof \rangle
lemma conjugate-char-2:
   \textit{conjugate } f \textit{ g} \longleftrightarrow f \textit{ bot } = \textit{bot } \land \textit{ g} \textit{ bot } = \textit{bot } \land (\forall \textit{ x} \textit{ y} \textit{ . } f \textit{ x} \sqcap \textit{ y} \leq \textit{f}(\textit{x} \sqcap \textit{g} \textit{ y}) \land \textit{ g}
y \sqcap x \leq g(y \sqcap f x)
   \langle proof \rangle
lemma shunting:
   x \sqcap y \leq z \longleftrightarrow x \leq z \sqcup -y
   \langle proof \rangle
lemma shunting-var:
  x\sqcap -y\leq z\longleftrightarrow x\leq z\sqcup y
   \langle proof \rangle
end
{f class}\ non\text{-}trivial\text{-}stone\text{-}algebra = non\text{-}trivial\text{-}bounded\text{-}order + stone\text{-}algebra
{\bf class}\ non-trivial-boolean-algebra = non-trivial-stone-algebra + boolean-algebra
end
```

4 Filters

This theory develops filters based on orders, semilattices, lattices and distributive lattices. We prove the ultrafilter lemma for orders with a least element. We show the following structure theorems:

- * The set of filters over a directed semilattice forms a lattice with a greatest element.
- * The set of filters over a bounded semilattice forms a bounded lattice.
- * The set of filters over a distributive lattice with a greatest element forms a bounded distributive lattice.

Another result is that in a distributive lattice ultrafilters are prime filters. We also prove a lemma of Grätzer and Schmidt about principal filters.

We apply these results in proving the construction theorem for Stone algebras (described in a separate theory). See, for example, [4, 5, 6, 9, 17] for further results about filters.

theory Filters

imports Lattice-Basics

4.1 Orders

This section gives the basic definitions related to filters in terms of orders. The main result is the ultrafilter lemma.

```
context ord
begin
where \downarrow x \equiv \{ y : y \le x \}
abbreviation down-set :: 'a set \Rightarrow 'a set (\langle \downarrow \downarrow \rightarrow [81] \ 80)
      where \Downarrow X \equiv \{ y : \exists x \in X : y \leq x \}
abbreviation is-down-set :: 'a set \Rightarrow bool
       where is-down-set X \equiv \forall x \in X : \forall y : y \leq x \longrightarrow y \in X
abbreviation is-principal-down :: 'a set \Rightarrow bool
       where is-principal-down X \equiv \exists x : X = \downarrow x
abbreviation up :: 'a \Rightarrow 'a \ set \ ( \land \uparrow \rightarrow [81] \ 80 )
       where \uparrow x \equiv \{ y : x \leq y \}
abbreviation up-set :: 'a set \Rightarrow 'a set (\langle \uparrow \rangle [81] 80)
       where \uparrow X \equiv \{ y : \exists x \in X : x \leq y \}
abbreviation is-up-set :: 'a set <math>\Rightarrow bool
       where is-up-set X \equiv \forall x \in X : \forall y : x \leq y \longrightarrow y \in X
abbreviation is-principal-up :: 'a set \Rightarrow bool
       where is-principal-up X \equiv \exists x . X = \uparrow x
                A filter is a non-empty, downward directed, up-closed set.
definition filter :: 'a \ set \Rightarrow bool
      where filter F \equiv (F \neq \{\}) \land (\forall x \in F : \forall y \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : \exists z \in F : z \leq x \land z \leq y) \land (\forall x \in F : z \leq x \land z \leq y) \land (\forall x \in F : z \leq x \land z \leq y) \land (\forall x \in F : z \leq x \land z \leq y) \land (\forall x \in F : z \leq x \land z \leq y) \land (\forall x \in F : z \leq x \land z \leq y) \land (\forall x \in F : z \leq x \land z \leq x) \land (\forall x \in F : z \leq x \land z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (\forall x \in F : z \leq x) \land (x \in F : z \leq x
is-up-set F
abbreviation proper-filter :: 'a set \Rightarrow bool
       where proper-filter F \equiv filter \ F \land F \neq UNIV
abbreviation ultra-filter :: 'a set \Rightarrow bool
       where ultra-filter F \equiv proper-filter F \land (\forall G \text{ . proper-filter } G \land F \subseteq G \longrightarrow F
= G
abbreviation filters :: 'a set set
      where filters \equiv \{ F::'a \ set \ . \ filter \ F \}
```

```
lemma filter-map-filter:
  assumes filter\ F
        and mono f
     and \forall x\ y . f\ x \le y \longrightarrow (\exists\ z\ .\ x \le z \land y = f\ z) shows filter (f\ `F)
\langle proof \rangle
\quad \text{end} \quad
\mathbf{context}\ \mathit{order}
begin
lemma self-in-downset [simp]:
  x \in \downarrow x
  \langle proof \rangle
\mathbf{lemma} \ \mathit{self-in-upset} \ [\mathit{simp}] :
  x\in \uparrow x
  \langle proof \rangle
lemma up-filter [simp]:
  filter (\uparrow x)
  \langle proof \rangle
lemma up-set-up-set [simp]:
   is-up-set (\uparrow X)
   \langle proof \rangle
lemma up-injective:
  \uparrow x = \uparrow y \Longrightarrow x = y
   \langle proof \rangle
\mathbf{lemma}\ up\text{-}antitone:
  x \leq y \longleftrightarrow \uparrow y \subseteq \uparrow x
  \langle proof \rangle
end
context order-bot
begin
lemma bot-in-downset [simp]:
  bot \in \downarrow x
   \langle proof \rangle
lemma down-bot [simp]:
   \downarrow bot = \{bot\}
   \langle proof \rangle
```

```
lemma up\text{-}bot [simp]:

\uparrow bot = UNIV

\langle proof \rangle
```

The following result is the ultrafilter lemma, generalised from [9, 10.17] to orders with a least element. Its proof uses Isabelle/HOL's *Zorn-Lemma*, which requires closure under union of arbitrary (possibly empty) chains. Actually, the proof does not use any of the underlying order properties except *bot-least*.

```
lemma ultra-filter:
  assumes proper-filter F
    shows \exists G . ultra-filter G \land F \subseteq G
\langle proof \rangle
end
context order-top
begin
lemma down-top [simp]:
  \downarrow top = UNIV
  \langle proof \rangle
lemma top-in-upset [simp]:
  top \in \uparrow x
  \langle proof \rangle
lemma up-top [simp]:
  \uparrow top = \{top\}
  \langle proof \rangle
lemma filter-top [simp]:
  filter \{top\}
  \langle proof \rangle
lemma top-in-filter [simp]:
  filter F \Longrightarrow top \in F
  \langle proof \rangle
end
```

The existence of proper filters and ultrafilters requires that the underlying order contains at least two elements.

```
end  \begin{array}{l} \textbf{context} \ non\text{-}trivial\text{-}order\text{-}bot \\ \textbf{begin} \\ \\ \textbf{lemma} \ ultra\text{-}filter\text{-}exists: \\ \exists \ F \ . \ ultra\text{-}filter\ F \\ \langle proof \rangle \\ \\ \textbf{end} \\ \\ \textbf{context} \ non\text{-}trivial\text{-}bounded\text{-}order \\ \textbf{begin} \\ \\ \textbf{lemma} \ proper\text{-}filter\text{-}top: \\ proper\text{-}filter\ \{top\} \\ \langle proof \rangle \\ \\ \textbf{lemma} \ ultra\text{-}filter\text{-}top: \\ \exists \ G \ . \ ultra\text{-}filter\ G \ \land \ top \in G \\ \langle proof \rangle \\ \\ \end{array}
```

end

4.2 Lattices

This section develops the lattice structure of filters based on a semilattice structure of the underlying order. The main results are that filters over a directed semilattice form a lattice with a greatest element and that filters over a bounded semilattice form a bounded lattice.

```
context semilattice-sup begin  \begin{array}{l} \textbf{abbreviation} \ prime-filter :: 'a \ set \Rightarrow bool \\ \textbf{where} \ prime-filter \ F \equiv proper-filter \ F \land (\forall x \ y \ . \ x \sqcup y \in F \longrightarrow x \in F \lor y \in F) \\ \textbf{end} \\ \textbf{context} \ semilattice-inf \\ \textbf{begin} \\ \\ \textbf{lemma} \ filter-inf-closed: \\ filter \ F \Longrightarrow x \in F \Longrightarrow y \in F \Longrightarrow x \sqcap y \in F \\ \langle proof \rangle \\ \\ \textbf{lemma} \ filter-univ: \\ filter \ UNIV \\ \langle proof \rangle \\  \end{array}
```

```
The operation filter-sup is the join operation in the lattice of filters.
```

```
definition filter-sup F G \equiv \{ z : \exists x \in F : \exists y \in G : x \cap y \leq z \}
lemma filter-sup:
  assumes filter F
       and filter G
    shows filter (filter-sup F G)
\langle proof \rangle
lemma filter-sup-left-upper-bound:
  assumes filter G
    shows F \subseteq filter\text{-}sup \ F \ G
\langle proof \rangle
lemma filter-sup-symmetric:
  filter-sup F G = filter-sup G F
  \langle proof \rangle
lemma filter-sup-right-upper-bound:
  filter F \Longrightarrow G \subseteq filter\text{-sup } F G
  \langle proof \rangle
lemma filter-sup-least-upper-bound:
  assumes filter H
       and F \subseteq H
       and G \subseteq H
    shows filter-sup F G \subseteq H
\langle proof \rangle
\mathbf{lemma}\ \mathit{filter-sup-left-isotone} :
  G \subseteq H \Longrightarrow filter\text{-sup } G F \subseteq filter\text{-sup } H F
  \langle proof \rangle
lemma filter-sup-right-isotone:
  G \subseteq H \Longrightarrow filter\text{-sup } F \ G \subseteq filter\text{-sup } F \ H
  \langle proof \rangle
\mathbf{lemma}\ filter\text{-}sup\text{-}right\text{-}isotone\text{-}var:
  filter-sup F (G \cap H) \subseteq filter-sup F H
  \langle proof \rangle
lemma up-dist-inf:
  \uparrow(x \sqcap y) = filter\text{-}sup \ (\uparrow x) \ (\uparrow y)
\langle proof \rangle
     The following result is part of [9, Exercise 2.23].
lemma filter-inf-filter [simp]:
  assumes filter F
    shows filter (\uparrow \{ y : \exists z \in F : x \sqcap z = y \})
```

```
\langle proof \rangle
end
context directed-semilattice-inf
begin
    Set intersection is the meet operation in the lattice of filters.
lemma filter-inf:
  assumes filter F
     and filter G
    shows filter (F \cap G)
\langle proof \rangle
end
     We introduce the following type of filters to instantiate the lattice classes
and thereby inherit the results shown about lattices.
typedef (overloaded) 'a filter = \{F::'a::order\ set\ .\ filter\ F\ \}
  \langle proof \rangle
lemma simp-filter [simp]:
 filter (Rep-filter x)
  \langle proof \rangle
setup-lifting type-definition-filter
    The set of filters over a directed semilattice forms a lattice with a greatest
element.
instantiation filter :: (directed-semilattice-inf) bounded-lattice-top
begin
lift-definition top-filter :: 'a filter is UNIV
  \langle proof \rangle
lift-definition sup-filter :: 'a filter \Rightarrow 'a filter \Rightarrow 'a filter is filter-sup
lift-definition inf-filter :: 'a filter \Rightarrow 'a filter \Rightarrow 'a filter is inter
  \langle proof \rangle
lift-definition less-eq-filter :: 'a filter \Rightarrow 'a filter \Rightarrow bool is subset-eq \langle proof \rangle
lift-definition less-filter :: 'a filter \Rightarrow 'a filter \Rightarrow bool is subset \langle proof \rangle
instance
  \langle proof \rangle
end
```

```
{\bf context}\ bounded\text{-}semilattice\text{-}inf\text{-}top
begin
abbreviation filter-complements F G \equiv filter F \land filter G \land filter-sup F G =
UNIV \wedge F \cap G = \{top\}
end
     The set of filters over a bounded semilattice forms a bounded lattice.
instantiation \ filter::(bounded-semilattice-inf-top) \ bounded-lattice
begin
lift-definition bot-filter :: 'a filter is {top}
  \langle proof \rangle
instance
  \langle proof \rangle
end
context lattice
begin
lemma up-dist-sup:
  \uparrow(x \sqcup y) = \uparrow x \cap \uparrow y
  \langle proof \rangle
end
     For convenience, the following function injects principal filters into the
filter type. We cannot define it in the order class since the type filter requires
the sort constraint order that is not available in the class. The result of the
function is a filter by lemma up-filter.
abbreviation up-filter :: 'a::order \Rightarrow 'a filter
  where up-filter x \equiv Abs-filter (\uparrow x)
\mathbf{lemma}\ \mathit{up\text{-}filter\text{-}dist\text{-}inf}\colon
  up\text{-filter}\ ((x::'a::lattice) \sqcap y) = up\text{-filter}\ x \sqcup up\text{-filter}\ y
  \langle proof \rangle
lemma up-filter-dist-sup:
  up\text{-}filter\ ((x::'a::lattice) \sqcup y) = up\text{-}filter\ x \sqcap up\text{-}filter\ y
  \langle proof \rangle
{\bf lemma}\ up\text{-}filter\text{-}injective\text{:}
  up-filter x = up-filter y \Longrightarrow x = y
```

 $\langle proof \rangle$

```
 \begin{array}{l} \textbf{lemma} \ up\text{-}filter\text{-}antitone: } \\ x \leq y \longleftrightarrow up\text{-}filter \ y \leq up\text{-}filter \ x \\ \langle proof \rangle \end{array}
```

The following definition applies a function to each element of a filter. The subsequent lemma gives conditions under which the result of this application is a filter.

```
abbreviation filter-map :: ('a::order \Rightarrow 'b::order) \Rightarrow 'a filter \Rightarrow 'b filter where filter-map f F \equiv Abs-filter (f 'Rep-filter F)

lemma filter-map-filter:
assumes mono f
and \forall x \ y \ . f \ x \le y \longrightarrow (\exists \ z \ . \ x \le z \land y = f \ z)
shows filter (f 'Rep-filter F)
\langle proof \rangle
```

4.3 Distributive Lattices

In this section we additionally assume that the underlying order forms a distributive lattice. Then filters form a bounded distributive lattice if the underlying order has a greatest element. Moreover ultrafilters are prime filters. We also prove a lemma of Grätzer and Schmidt about principal filters.

```
context distrib-lattice
begin
lemma filter-sup-left-dist-inf:
  assumes filter F
      and filter G
      and filter H
    shows filter-sup F (G \cap H) = filter-sup F G \cap filter-sup F H
\langle proof \rangle
lemma filter-inf-principal-rep:
  F \cap G = \uparrow z \Longrightarrow (\exists x \in F : \exists y \in G : z = x \sqcup y)
  \langle proof \rangle
lemma filter-sup-principal-rep:
  assumes filter F
      and filter G
      and filter-sup F G = \uparrow z
    shows \exists x \in F : \exists y \in G : z = x \sqcap y
\langle proof \rangle
lemma inf-sup-principal-aux:
  assumes filter F
      and filter G
      and is-principal-up (filter-sup F G)
```

```
and is-principal-up (F \cap G)
    shows is-principal-up F
\langle proof \rangle
    The following result is [18, Lemma II]. If both join and meet of two filters
are principal filters, both filters are principal filters.
lemma inf-sup-principal:
  assumes filter F
      and filter G
      and is-principal-up (filter-sup F G)
      and is-principal-up (F \cap G)
    shows is-principal-up F \wedge is-principal-up G
\langle proof \rangle
lemma filter-sup-absorb-inf: filter F \Longrightarrow filter G \Longrightarrow filter-sup (F \cap G) G = G
  \langle proof \rangle
lemma filter-inf-absorb-sup: filter F \Longrightarrow filter G \Longrightarrow filter-sup F \ G \cap G = G
  \langle proof \rangle
\mathbf{lemma}\ \mathit{filter-inf-right-dist-sup} \colon
  assumes filter F
      and filter G
      and filter H
    shows filter-sup F G \cap H = \text{filter-sup} (F \cap H) (G \cap H)
\langle proof \rangle
    The following result generalises [9, 10.11] to distributive lattices as re-
marked after that section.
lemma ultra-filter-prime:
  assumes ultra-filter F
    shows prime-filter F
\langle proof \rangle
lemma up-dist-inf-inter:
  assumes is-up-set S
    shows \uparrow(x \sqcap y) \cap S = filter-sup \ (\uparrow x \cap S) \ (\uparrow y \cap S) \cap S
\langle proof \rangle
end
context distrib-lattice-bot
begin
lemma prime-filter:
  proper-filter \ F \Longrightarrow \exists \ G \ . \ prime-filter \ G \land F \subseteq G
  \langle proof \rangle
end
```

5 Stone Construction

This theory proves the uniqueness theorem for the triple representation of Stone algebras and the construction theorem of Stone algebras [7, 21]. Every Stone algebra S has an associated triple consisting of

- * the set of regular elements B(S) of S,
- * the set of dense elements D(S) of S, and
- * the structure map $\varphi(S): B(S) \to F(D(S))$ defined by $\varphi(x) = \uparrow x \cap D(S)$.

Here F(X) is the set of filters of a partially ordered set X. We first show that

- * B(S) is a Boolean algebra,
- * D(S) is a distributive lattice with a greatest element, whence F(D(S)) is a bounded distributive lattice, and
- * $\varphi(S)$ is a bounded lattice homomorphism.

Next, from a triple $T = (B, D, \varphi)$ such that B is a Boolean algebra, D is a distributive lattice with a greatest element and $\varphi : B \to F(D)$ is a bounded lattice homomorphism, we construct a Stone algebra S(T). The

elements of S(T) are pairs taken from $B \times F(D)$ following the construction of [21]. We need to represent S(T) as a type to be able to instantiate the Stone algebra class. Because the pairs must satisfy a condition depending on φ , this would require dependent types. Since Isabelle/HOL does not have dependent types, we use a function lifting instead. The lifted pairs form a Stone algebra.

Next, we specialise the construction to start with the triple associated with a Stone algebra S, that is, we construct $S(B(S), D(S), \varphi(S))$. In this case, we can instantiate the lifted pairs to obtain a type of pairs (that no longer implements a dependent type). To achieve this, we construct an embedding of the type of pairs into the lifted pairs, so that we inherit the Stone algebra axioms (using a technique of universal algebra that works for universally quantified equations and equational implications).

Next, we show that the Stone algebras $S(B(S), D(S), \varphi(S))$ and S are isomorphic. We give explicit mappings in both directions. This implies the uniqueness theorem for the triple representation of Stone algebras.

Finally, we show that the triples $(B(S(T)), D(S(T)), \varphi(S(T)))$ and T are isomorphic. This requires an isomorphism of the Boolean algebras B and B(S(T)), an isomorphism of the distributive lattices D and D(S(T)), and a proof that they preserve the structure maps. We give explicit mappings of the Boolean algebra isomorphism and the distributive lattice isomorphism in both directions. This implies the construction theorem of Stone algebras. Because S(T) is implemented by lifted pairs, so are B(S(T)) and D(S(T)); we therefore also lift B and D to establish the isomorphisms.

theory Stone-Construction

imports P-Algebras Filters

begin

A triple consists of a Boolean algebra, a distributive lattice with a greatest element, and a structure map. The Boolean algebra and the distributive lattice are represented as HOL types. Because both occur in the type of the structure map, the triple is determined simply by the structure map and its HOL type. The structure map needs to be a bounded lattice homomorphism.

```
locale triple =
fixes phi :: 'a::boolean-algebra ⇒ 'b::distrib-lattice-top filter
assumes hom: bounded-lattice-homomorphism phi
```

5.1 The Triple of a Stone Algebra

In this section we construct the triple associated to a Stone algebra.

5.1.1 Regular Elements

```
The regular elements of a Stone algebra form a Boolean subalgebra.
typedef (overloaded) 'a regular = regular-elements::'a::stone-algebra set
  \langle proof \rangle
lemma simp-regular [simp]:
  \exists y . Rep\text{-}regular \ x = -y
  \langle proof \rangle
{\bf setup\text{-}lifting}\ type\text{-}definition\text{-}regular
instantiation \ regular :: (stone-algebra) \ boolean-algebra
begin
lift-definition sup-regular :: 'a regular \Rightarrow 'a regular \Rightarrow 'a regular is sup
lift-definition inf-regular :: 'a regular \Rightarrow 'a regular \Rightarrow 'a regular is inf
  \langle proof \rangle
lift-definition minus-regular :: 'a regular \Rightarrow 'a regular \Rightarrow 'a regular is \lambda x y \cdot x
\sqcap -y
  \langle proof \rangle
lift-definition uminus-regular :: 'a regular \Rightarrow 'a regular is uminus
  \langle proof \rangle
lift-definition bot-regular :: 'a regular is bot
  \langle proof \rangle
lift-definition top-regular :: 'a regular is top
  \langle proof \rangle
lift-definition less-eq-regular :: 'a regular \Rightarrow 'a regular \Rightarrow bool is less-eq \langle proof \rangle
lift-definition less-regular :: 'a regular \Rightarrow 'a regular \Rightarrow bool is less \langle proof \rangle
instance
  \langle proof \rangle
end
instantiation \ regular :: (non-trivial-stone-algebra) \ non-trivial-boolean-algebra
begin
instance
\langle proof \rangle
```

5.1.2 Dense Elements

```
The dense elements of a Stone algebra form a distributive lattice with a greatest element.
```

```
typedef (overloaded) 'a dense = dense-elements::'a::stone-algebra set
  \langle proof \rangle
lemma simp-dense [simp]:
  -Rep\text{-}dense\ x=bot
  \langle proof \rangle
setup-lifting type-definition-dense
instantiation \ dense :: (stone-algebra) \ distrib-lattice-top
begin
lift-definition sup-dense :: 'a dense \Rightarrow 'a dense \Rightarrow 'a dense is sup
  \langle proof \rangle
lift-definition inf-dense :: 'a dense \Rightarrow 'a dense \Rightarrow 'a dense is inf
  \langle proof \rangle
lift-definition top-dense :: 'a dense is top
lift-definition less-eq-dense :: 'a dense \Rightarrow 'a dense \Rightarrow bool is less-eq \langle proof \rangle
lift-definition less-dense :: 'a dense \Rightarrow 'a dense \Rightarrow bool is less \langle proof \rangle
instance
  \langle proof \rangle
end
lemma up-filter-dense-antitone-dense:
  dense\ (x \sqcup -x \sqcup y) \land dense\ (x \sqcup -x \sqcup y \sqcup z)
  \langle proof \rangle
lemma up-filter-dense-antitone:
  up-filter (Abs-dense (x \sqcup -x \sqcup y \sqcup z)) \leq up-filter (Abs-dense (x \sqcup -x \sqcup y))
    The filters of dense elements of a Stone algebra form a bounded distribu-
tive lattice.
type-synonym 'a dense-filter = 'a dense filter
typedef (overloaded) 'a dense-filter-type = \{x::'a \text{ dense-filter} . True \}
```

```
\langle proof \rangle
{f setup-lifting}\ type-definition-dense-filter-type
instantiation dense-filter-type :: (stone-algebra) bounded-distrib-lattice
begin
lift-definition sup-dense-filter-type :: 'a dense-filter-type \Rightarrow 'a dense-filter-type
\Rightarrow 'a dense-filter-type is sup \langle proof \rangle
lift-definition inf-dense-filter-type :: 'a dense-filter-type \Rightarrow 'a dense-filter-type \Rightarrow
'a dense-filter-type is inf \langle proof \rangle
lift-definition bot-dense-filter-type :: 'a dense-filter-type is bot \( proof \)
lift-definition top-dense-filter-type :: 'a dense-filter-type is top \langle proof \rangle
lift-definition less-eq-dense-filter-type :: 'a dense-filter-type \Rightarrow 'a dense-filter-type
\Rightarrow bool is less-eq \langle proof \rangle
lift-definition less-dense-filter-type :: 'a dense-filter-type \Rightarrow 'a dense-filter-type
\Rightarrow bool is less \langle proof \rangle
instance
  \langle proof \rangle
```

5.1.3 The Structure Map

end

The structure map of a Stone algebra is a bounded lattice homomorphism. It maps a regular element x to the set of all dense elements above -x. This set is a filter.

```
abbreviation stone-phi-base :: 'a::stone-algebra \ regular \Rightarrow 'a \ dense \ set where stone-phi-base \ x \equiv \{ \ y \ . \ -Rep-regular \ x \le Rep-dense \ y \ \} lemma stone-phi-base-filter: filter (stone-phi-base \ x) \langle proof \rangle definition stone-phi :: 'a::stone-algebra \ regular \Rightarrow 'a \ dense-filter where stone-phi \ x = Abs-filter \ (stone-phi-base \ x)
```

To show that we obtain a triple, we only need to prove that *stone-phi* is a bounded lattice homomorphism. The Boolean algebra and the distributive lattice requirements are taken care of by the type system.

```
interpretation stone-phi: triple stone-phi
\langle proof \rangle
```

5.2 Properties of Triples

In this section we construct a certain set of pairs from a triple, introduce operations on these pairs and develop their properties. The given set and operations will form a Stone algebra.

```
context triple
begin
lemma phi-bot:
  phi\ bot = Abs\text{-}filter\ \{top\}
  \langle proof \rangle
lemma phi-top:
  phi \ top = Abs-filter UNIV
  \langle proof \rangle
     The occurrence of phi in the following definition of the pairs creates a
need for dependent types.
definition pairs :: ('a \times 'b \text{ filter}) \text{ set}
  where pairs = \{ (x,y) : \exists z : y = phi (-x) \sqcup up\text{-filter } z \}
     Operations on pairs are defined in the following. They will be used to
establish that the pairs form a Stone algebra.
fun pairs-less-eq :: ('a \times 'b \ filter) \Rightarrow ('a \times 'b \ filter) \Rightarrow bool
  where pairs-less-eq (x,y) (z,w) = (x \le z \land w \le y)
fun pairs-less :: ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter}) \Rightarrow bool
  where pairs-less (x,y) (z,w) = (pairs-less-eq\ (x,y)\ (z,w) \land \neg\ pairs-less-eq\ (z,w)
(x,y)
fun pairs-sup :: ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter})
  where pairs-sup (x,y) (z,w) = (x \sqcup z,y \sqcap w)
fun pairs-inf :: ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter})
  where pairs-inf (x,y) (z,w) = (x \sqcap z,y \sqcup w)
fun pairs-minus :: ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter})
  where pairs-minus (x,y) (z,w) = (x \sqcap -z,y \sqcup phi z)
fun pairs-uminus :: ('a \times 'b \text{ filter}) \Rightarrow ('a \times 'b \text{ filter})
  where pairs-uminus (x,y) = (-x,phi \ x)
abbreviation pairs-bot :: ('a \times 'b \text{ filter})
  where pairs-bot \equiv (bot, Abs-filter\ UNIV)
abbreviation pairs-top :: ('a \times 'b \text{ filter})
  where pairs-top \equiv (top, Abs-filter \{top\})
lemma pairs-top-in-set:
```

```
(x,y) \in pairs \Longrightarrow top \in Rep-filter y
  \langle proof \rangle
lemma phi-complemented:
  complement (phi \ x) \ (phi \ (-x))
  \langle proof \rangle
lemma phi-inf-principal:
  \exists z \ . \ up\text{-filter} \ z = phi \ x \sqcap up\text{-filter} \ y
\langle proof \rangle
     Quite a bit of filter theory is involved in showing that the intersection
of phi x with a principal filter is a principal filter, so the following function
can extract its least element.
fun rho :: 'a \Rightarrow 'b \Rightarrow 'b
  where \textit{rho}\ x\ y = (\textit{SOME}\ z\ .\ \textit{up-filter}\ z = \textit{phi}\ x\ \sqcap\ \textit{up-filter}\ y)
lemma rho-char:
  up-filter (rho \ x \ y) = phi \ x \cap up-filter y
  \langle proof \rangle
     The following results show that the pairs are closed under the given
operations.
lemma pairs-sup-closed:
 assumes (x,y) \in pairs
      and (z,w) \in pairs
    shows pairs-sup (x,y) (z,w) \in pairs
\langle proof \rangle
\mathbf{lemma} \ \mathit{pairs-inf-closed} \colon
  assumes (x,y) \in pairs
      and (z,w) \in pairs
    shows pairs-inf (x,y) (z,w) \in pairs
\langle proof \rangle
lemma pairs-uminus-closed:
 pairs-uminus (x,y) \in pairs
\langle proof \rangle
lemma pairs-bot-closed:
 pairs-bot \in pairs
  \langle proof \rangle
lemma pairs-top-closed:
  pairs-top \in pairs
  \langle proof \rangle
```

We prove enough properties of the pair operations so that we can later show they form a Stone algebra.

```
lemma pairs-sup-dist-inf:
  (x,y) \in pairs \Longrightarrow (z,w) \in pairs \Longrightarrow (u,v) \in pairs \Longrightarrow pairs-sup (x,y) (pairs-inf)
(z,w) (u,v) = pairs-inf (pairs-sup (x,y) (z,w)) (pairs-sup (x,y) (u,v))
  \langle proof \rangle
lemma pairs-phi-less-eq:
  (x,y) \in pairs \Longrightarrow phi(-x) \le y
  \langle proof \rangle
lemma pairs-uminus-galois:
  assumes (x,y) \in pairs
     and (z,w) \in pairs
   shows pairs-inf (x,y) (z,w) = pairs-bot \longleftrightarrow pairs-less-eq <math>(x,y) (pairs-uminus
(z,w)
\langle proof \rangle
lemma pairs-stone:
  (x,y) \in pairs \Longrightarrow pairs-sup (pairs-uminus (x,y)) (pairs-uminus (pairs-uminus
(x,y)) = pairs-top
  \langle proof \rangle
    The following results show how the regular elements and the dense ele-
ments among the pairs look like.
abbreviation dense-pairs \equiv \{ (x,y) : (x,y) \in pairs \land pairs-uninus (x,y) = x \}
pairs-bot }
abbreviation regular-pairs \equiv \{ (x,y) : (x,y) \in pairs \land pairs-uminus \}
(pairs-uminus\ (x,y)) = (x,y)
abbreviation is-principal-up-filter x \equiv \exists y . x = up-filter y
lemma dense-pairs:
  dense-pairs = \{ (x,y) : x = top \land is-principal-up-filter y \}
\langle proof \rangle
lemma regular-pairs:
  regular-pairs = \{ (x,y) : y = phi (-x) \}
  \langle proof \rangle
    The following extraction function will be used in defining one direction
of the Stone algebra isomorphism.
fun rho-pair :: 'a \times 'b \ filter \Rightarrow 'b
  where rho-pair (x,y) = (SOME\ z\ .\ up\text{-filter}\ z = phi\ x \sqcap y)
lemma get-rho-pair-char:
  assumes (x,y) \in pairs
   shows up-filter (rho\text{-}pair\ (x,y)) = phi\ x \sqcap y
\langle proof \rangle
lemma sa-iso-pair:
  (-x,phi\ (-x)\sqcup up\text{-filter}\ y)\in pairs
```

 $\langle proof \rangle$

end

5.3 The Stone Algebra of a Triple

In this section we prove that the set of pairs constructed in a triple forms a Stone Algebra. The following type captures the parameter phi on which the type of triples depends. This parameter is the structure map that occurs in the definition of the set of pairs. The set of all structure maps is the set of all bounded lattice homomorphisms (of appropriate type). In order to make it a HOL type, we need to show that at least one such structure map exists. To this end we use the ultrafilter lemma: the required bounded lattice homomorphism is essentially the characteristic map of an ultrafilter, but the latter must exist. In particular, the underlying Boolean algebra must contain at least two elements.

```
typedef (overloaded) ('a,'b) phi = \{f::'a::non-trivial-boolean-algebra \Rightarrow 'b::distrib-lattice-top filter . bounded-lattice-homomorphism <math>f \} \langle proof \rangle

lemma simp-phi \ [simp]: bounded-lattice-homomorphism \ (Rep-phi \ x) \langle proof \rangle
```

setup-lifting type-definition-phi

stone-algebra

The following implements the dependent type of pairs depending on structure maps. It uses functions from structure maps to pairs with the requirement that, for each structure map, the corresponding pair is contained in the set of pairs constructed for a triple with that structure map.

If this type could be defined in the locale *triple* and instantiated to Stone algebras there, there would be no need for the lifting and we could work with triples directly.

```
typedef (overloaded) ('a,'b) lifted-pair = {
    pf::('a::non-trivial-boolean-algebra,'b::distrib-lattice-top) phi \Rightarrow 'a \times 'b filter . \forall f .
    pf f \in triple.pairs (Rep-phi f) }
    \langle proof \rangle

lemma simp-lifted-pair [simp]:
    \forall f . Rep-lifted-pair pf f \in triple.pairs (Rep-phi f)
    \langle proof \rangle

setup-lifting type-definition-lifted-pair

The lifted pairs form a Stone algebra.
instantiation lifted-pair :: (non-trivial-boolean-algebra, distrib-lattice-top)
```

begin

```
All operations are lifted point-wise.
```

```
lift-definition sup-lifted-pair :: ('a,'b) lifted-pair \Rightarrow ('a,'b) lifted-pair \Rightarrow ('a,'b)
lifted-pair is \lambda x f y f f . triple.pairs-sup (x f f) (y f f)
  \langle proof \rangle
lift-definition inf-lifted-pair :: ('a,'b) lifted-pair \Rightarrow ('a,'b) lifted-pair \Rightarrow ('a,'b)
lifted-pair is \lambda xf yf f . triple.pairs-inf (xf f) (yf f)
  \langle proof \rangle
lift-definition uminus-lifted-pair :: ('a,'b) lifted-pair \Rightarrow ('a,'b) lifted-pair is \lambda xf f
. triple.pairs-uminus\ (Rep-phi\ f)\ (xf\ f)
  \langle proof \rangle
lift-definition bot-lifted-pair :: ('a,'b) lifted-pair is \lambda f . triple.pairs-bot
lift-definition top-lifted-pair :: ('a,'b) lifted-pair is \lambda f . triple.pairs-top
  \langle proof \rangle
lift-definition less-eq-lifted-pair :: ('a,'b) lifted-pair \Rightarrow ('a,'b) lifted-pair \Rightarrow bool
is \lambda xf \ yf. \forall f. triple.pairs-less-eq \ (xf \ f) \ (yf \ f) \ \langle proof \rangle
lift-definition less-lifted-pair :: ('a,'b) lifted-pair \Rightarrow ('a,'b) lifted-pair \Rightarrow bool is
\lambda xf \ yf \ . \ (\forall f \ . \ triple.pairs-less-eq \ (xf \ f) \ (yf \ f)) \land \neg \ (\forall f \ . \ triple.pairs-less-eq \ (yf \ f))
(xf f) \langle proof \rangle
instance
\langle proof \rangle
```

end

The Stone Algebra of the Triple of a Stone Algebra 5.4

In this section we specialise the above construction to a particular structure map, namely the one obtained in the triple of a Stone algebra. For this particular structure map (as well as for any other particular structure map) the resulting type is no longer a dependent type. It is just the set of pairs obtained for the given structure map.

```
typedef (overloaded) 'a stone-phi-pair = triple.pairs
(stone-phi::'a::stone-algebra\ regular \Rightarrow 'a\ dense-filter)
  \langle proof \rangle
```

setup-lifting type-definition-stone-phi-pair

instantiation stone-phi-pair :: (stone-algebra) sup-inf-top-bot-uminus-ord begin

```
lift-definition sup-stone-phi-pair :: 'a stone-phi-pair \Rightarrow 'a stone-phi-pair \Rightarrow 'a stone-phi-pair is triple.pairs-sup \langle proof \rangle
```

```
lift-definition inf-stone-phi-pair :: 'a stone-phi-pair \Rightarrow 'a stone-phi-pair \Rightarrow 'a stone-phi-pair is triple.pairs-inf \langle proof \rangle
```

lift-definition uminus-stone-phi-pair :: 'a stone-phi-pair \Rightarrow 'a stone-phi-pair is triple.pairs-uminus stone-phi $\langle proof \rangle$

lift-definition bot-stone-phi-pair :: 'a stone-phi-pair **is** triple.pairs-bot $\langle proof \rangle$

lift-definition top-stone-phi-pair :: 'a stone-phi-pair is triple.pairs-top ⟨proof⟩

lift-definition less-eq-stone-phi-pair :: 'a stone-phi-pair \Rightarrow 'a stone-phi-pair \Rightarrow bool is triple.pairs-less-eq $\langle proof \rangle$

lift-definition less-stone-phi-pair :: 'a stone-phi-pair \Rightarrow 'a stone-phi-pair \Rightarrow bool is triple.pairs-less $\langle proof \rangle$

instance $\langle proof \rangle$

end

The result is a Stone algebra and could be proved so by repeating and specialising the above proof for lifted pairs. We choose a different approach, namely by embedding the type of pairs into the lifted type. The embedding injects a pair x into a function as the value at the given structure map; this makes the embedding injective. The value of the function at any other structure map needs to be carefully chosen so that the resulting function is a Stone algebra homomorphism. We use --x, which is essentially a projection to the regular element component of x, whence the image has the structure of a Boolean algebra.

fun stone-phi-embed :: 'a::non-trivial-stone-algebra stone-phi-pair \Rightarrow ('a regular, 'a dense) lifted-pair

where stone-phi-embed x = Abs-lifted-pair (λf . if Rep-phi f = stone-phi then Rep-stone-phi-pair x else triple-pairs-uminus (Rep-phi f) (triple-pairs-uminus (Rep-phi f) (Rep-stone-phi-pair x)))

The following lemma shows that in both cases the value of the function is a valid pair for the given structure map.

```
lemma stone-phi-embed-triple-pair:
```

(if Rep-phi f = stone-phi then Rep-stone-phi-pair x else triple.pairs-uminus

```
(Rep-phi\ f)\ (triple.pairs-uminus\ (Rep-phi\ f)\ (Rep-stone-phi-pair\ x))) \in triple.pairs\ (Rep-phi\ f)\ \langle proof\ \rangle
```

The following result shows that the embedding preserves the operations of Stone algebras. Of course, it is not (yet) a Stone algebra homomorphism as we do not know (yet) that the domain of the embedding is a Stone algebra. To establish the latter is the purpose of the embedding.

```
lemma stone-phi-embed-homomorphism: sup-inf-top-bot-uminus-ord-homomorphism stone-phi-embed \langle proof \rangle
```

The following lemmas show that the embedding is injective and reflects the order. The latter allows us to easily inherit properties involving inequalities from the target of the embedding, without transforming them to equations.

```
\begin{array}{l} \textbf{lemma} \ stone\text{-}phi\text{-}embed\text{-}injective:} \\ inj \ stone\text{-}phi\text{-}embed\\ \langle proof \rangle\\ \\ \textbf{lemma} \ stone\text{-}phi\text{-}embed\text{-}order\text{-}injective:} \\ \textbf{assumes} \ stone\text{-}phi\text{-}embed\ x \leq stone\text{-}phi\text{-}embed\ y} \\ \textbf{shows} \ x \leq y\\ \langle proof \rangle\\ \\ \textbf{lemma} \ stone\text{-}phi\text{-}embed\text{-}strict\text{-}order\text{-}isomorphism:} \\ x < y \longleftrightarrow stone\text{-}phi\text{-}embed\ x < stone\text{-}phi\text{-}embed\ y}\\ \langle proof \rangle\\ \end{array}
```

Now all Stone algebra axioms can be inherited using the embedding. This is due to the fact that the axioms are universally quantified equations or conditional equations (or inequalities); this is called a quasivariety in universal algebra. It would be useful to have this construction available for arbitrary quasivarieties.

```
{\bf instantiation}\ stone-phi-pair::(non-trivial-stone-algebra)\ stone-algebra\\ {\bf begin}
```

instance $\langle proof \rangle$

end

5.5 Stone Algebra Isomorphism

In this section we prove that the Stone algebra of the triple of a Stone algebra is isomorphic to the original Stone algebra. The following two definitions give the isomorphism.

```
abbreviation sa-iso-inv :: 'a::non-trivial-stone-algebra stone-phi-pair \Rightarrow 'a
  where sa-iso-inv \equiv \lambda p. Rep-regular (fst (Rep-stone-phi-pair p)) \cap Rep-dense
(triple.rho-pair\ stone-phi\ (Rep-stone-phi-pair\ p))
abbreviation sa-iso :: 'a::non-trivial-stone-algebra \Rightarrow 'a stone-phi-pair
  where sa-iso \equiv \lambda x. Abs-stone-phi-pair (Abs-regular (--x), stone-phi
(Abs\text{-}regular\ (-x)) \sqcup up\text{-}filter\ (Abs\text{-}dense\ (x \sqcup -x)))
lemma sa-iso-triple-pair:
  (Abs\text{-}regular\ (-x), stone\text{-}phi\ (Abs\text{-}regular\ (-x)) \sqcup up\text{-}filter\ (Abs\text{-}dense\ (x\sqcup x))
(-x))) \in triple.pairs stone-phi
  \langle proof \rangle
lemma stone-phi-inf-dense:
  stone-phi \ (Abs-regular \ (-x)) \ \sqcap \ up-filter \ (Abs-dense \ (y \sqcup -y)) \le up-filter
(Abs\text{-}dense\ (y \sqcup -y \sqcup x))
\langle proof \rangle
lemma stone-phi-complement:
  complement (stone-phi (Abs-regular (-x))) (stone-phi (Abs-regular (-x)))
  \langle proof \rangle
lemma up-dense-stone-phi:
  up-filter (Abs-dense (x \sqcup -x)) \leq stone-phi (Abs-regular (--x))
\langle proof \rangle
    The following two results prove that the isomorphisms are mutually in-
verse.
lemma sa-iso-left-invertible:
  sa-iso-inv (sa-iso x) = x
\langle proof \rangle
lemma sa-iso-right-invertible:
  sa-iso (sa-iso-inv p) = p
\langle proof \rangle
    It remains to show the homomorphism properties, which is done in the
following result.
lemma sa-iso:
  stone-algebra-isomorphism sa-iso
\langle proof \rangle
```

5.6 Triple Isomorphism

In this section we prove that the triple of the Stone algebra of a triple is isomorphic to the original triple. The notion of isomorphism for triples is described in [7]. It amounts to an isomorphism of Boolean algebras, an isomorphism of distributive lattices with a greatest element, and a commuting diagram involving the structure maps.

5.6.1 Boolean Algebra Isomorphism

We first define and prove the isomorphism of Boolean algebras. Because the Stone algebra of a triple is implemented as a lifted pair, we also lift the Boolean algebra.

```
typedef (overloaded) ('a,'b) lifted-boolean-algebra = { xf::('a::non-trivial-boolean-algebra,'b::distrib-lattice-top) phi <math>\Rightarrow 'a . True } \langle proof \rangle
```

setup-lifting type-definition-lifted-boolean-algebra

 $\begin{array}{l} \textbf{instantiation} \ \ lifted\mbox{-}boolean\mbox{-}algebra :: \\ (non\mbox{-}trivial\mbox{-}boolean\mbox{-}algebra, distrib\mbox{-}lattice\mbox{-}top) \ \ boolean\mbox{-}algebra \\ \textbf{begin} \end{array}$

lift-definition sup-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra is $\lambda xf \ yf \ f$. sup $(xf \ f) \ (yf \ f) \ \langle proof \rangle$

lift-definition inf-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra **is** λxf yf f . inf (xff) (yff) $\langle proof \rangle$

lift-definition minus-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra **is** λxf yf f . minus (xf f) (yf f) (proof)

lift-definition uminus-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra is λxff . uminus (xff) $\langle proof \rangle$

lift-definition bot-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra **is** λf . bot $\langle proof \rangle$

lift-definition top-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra **is** λf . top $\langle proof \rangle$

lift-definition less-eq-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra \Rightarrow bool is λxf yf . $\forall f$. less-eq (xff) (yff) $\langle proof \rangle$

lift-definition less-lifted-boolean-algebra :: ('a,'b) lifted-boolean-algebra \Rightarrow ('a,'b) lifted-boolean-algebra \Rightarrow bool is $\lambda xf \ yf$. $(\forall f \ . \ less-eq \ (xf \ f) \ (yf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (yf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (\forall f \ . \ less-eq \ (xf \ f) \ (xf \ f)) \land \neg \ (xf \ f) \ (xf \ f$

instance

 $\langle proof \rangle$

end

The following two definitions give the Boolean algebra isomorphism.

```
abbreviation ba-iso-inv :: ('a::non-trivial-boolean-algebra,'b::distrib-lattice-top)
lifted-boolean-algebra \Rightarrow ('a,'b) lifted-pair regular
 where ba-iso-inv \equiv \lambda x f. Abs-regular (Abs-lifted-pair (\lambda f).
(Rep-lifted-boolean-algebra\ xf\ f, Rep-phi\ f\ (-Rep-lifted-boolean-algebra\ xf\ f))))
abbreviation ba-iso :: ('a::non-trivial-boolean-algebra,'b::distrib-lattice-top)
lifted-pair regular \Rightarrow ('a, 'b) lifted-boolean-algebra
  where ba-iso \equiv \lambda pf. Abs-lifted-boolean-algebra (\lambda f. fst (Rep-lifted-pair
(Rep\text{-}regular\ pf)\ f))
lemma ba-iso-inv-lifted-pair:
 (Rep-lifted-boolean-algebra\ xf\ f, Rep-phi\ f\ (-Rep-lifted-boolean-algebra\ xf\ f)) \in
triple.pairs (Rep-phi f)
  \langle proof \rangle
lemma ba-iso-inv-regular:
  regular (Abs-lifted-pair (\lambda f . (Rep-lifted-boolean-algebra xff, Rep-phi f
(-Rep-lifted-boolean-algebra xf f))))
    The following two results prove that the isomorphisms are mutually in-
verse.
\mathbf{lemma}\ ba\mbox{-} iso\mbox{-} left\mbox{-} invertible:
  ba-iso-inv (ba-iso pf) = pf
\langle proof \rangle
lemma ba-iso-right-invertible:
  ba-iso (ba-iso-inv xf) = xf
\langle proof \rangle
    The isomorphism is established by proving the remaining Boolean alge-
bra homomorphism properties.
lemma ba-iso:
  boolean\hbox{-} algebra\hbox{-} isomorphism\ ba\hbox{-} iso
\langle proof \rangle
5.6.2
         Distributive Lattice Isomorphism
We carry out a similar development for the isomorphism of distributive
lattices. Again, the original distributive lattice with a greatest element needs
to be lifted to match the lifted pairs.
typedef (overloaded) ('a,'b) lifted-distrib-lattice-top = \{
xf::('a::non-trivial-boolean-algebra,'b::distrib-lattice-top) phi \Rightarrow 'b. True }
  \langle proof \rangle
setup-lifting type-definition-lifted-distrib-lattice-top
\textbf{instantiation} \ \textit{lifted-distrib-lattice-top} ::
(non-trivial-boolean-algebra, distrib-lattice-top) distrib-lattice-top
```

begin

```
lift-definition sup-lifted-distrib-lattice-top :: ('a,'b) lifted-distrib-lattice-top \Rightarrow ('a,'b) lifted-distrib-lattice-top \Rightarrow ('a,'b) lifted-distrib-lattice-top is \lambda xf yf f . sup (xf f) (yf f) \langle proof \rangle lift-definition inf-lifted-distrib-lattice-top :: ('a,'b) lifted-distrib-lattice-top \Rightarrow ('a,'b) lifted-distrib-lattice-top is \lambda xf yf f . inf (xf f) (yf f) \langle proof \rangle lift-definition top-lifted-distrib-lattice-top :: ('a,'b) lifted-distrib-lattice-top is \lambda f .
```

lift-definition less-eq-lifted-distrib-lattice-top :: ('a,'b) lifted-distrib-lattice-top \Rightarrow ('a,'b) lifted-distrib-lattice-top \Rightarrow bool is $\lambda xf \ yf \ . \ \forall f \ . \ less-eq \ (xf \ f) \ (yf \ f) \ \langle proof \rangle$

lift-definition less-lifted-distrib-lattice-top :: ('a,'b) lifted-distrib-lattice-top \Rightarrow ('a,'b) lifted-distrib-lattice-top \Rightarrow bool is $\lambda xf \ yf \ . \ (\forall f \ . \ less-eq \ (xf \ f) \ (yf \ f)) \land \neg (\forall f \ . \ less-eq \ (yf \ f) \ (xf \ f)) \ \langle proof \rangle$

instance

 $top \langle proof \rangle$

 $\langle proof \rangle$

end

The following function extracts the least element of the filter of a dense pair, which turns out to be a principal filter. It is used to define one of the isomorphisms below.

lemma get-dense-char:

```
Rep-lifted-pair (Rep-dense pf) f = (top, up\text{-filter } (get\text{-dense } pf f)) \langle proof \rangle
```

The following two definitions give the distributive lattice isomorphism.

```
abbreviation dl-iso-inv :: ('a::non-trivial-boolean-algebra,'b::distrib-lattice-top) lifted-distrib-lattice-top \Rightarrow ('a,'b) lifted-pair dense where dl-iso-inv \equiv \lambda xf. Abs-dense (Abs-lifted-pair (\lambda f. (top,up-filter (Rep-lifted-distrib-lattice-top xf))))
```

```
abbreviation dl-iso :: ('a::non-trivial-boolean-algebra,'b::distrib-lattice-top) lifted-pair dense \Rightarrow ('a,'b) lifted-distrib-lattice-top where dl-iso \equiv \lambda pf . Abs-lifted-distrib-lattice-top (get-dense pf)
```

```
{\bf lemma}\ dl\hbox{-} iso\hbox{-} inv\hbox{-} lifted\hbox{-} pair:
```

```
(top, up\text{-filter} (Rep\text{-lifted-distrib-lattice-top } xf f)) \in triple.pairs (Rep\text{-phi } f)
```

The following two results prove that the isomorphisms are mutually inverse

```
lemma dl-iso-left-invertible:

dl-iso-inv (dl-iso pf) = pf

\langle proof \rangle

lemma dl-iso-right-invertible:

dl-iso (dl-iso-inv xf) = xf

\langle proof \rangle
```

To obtain the isomorphism, it remains to show the homomorphism properties of lattices with a greatest element.

```
lemma dl-iso:
bounded-lattice-top-isomorphism dl-iso
\langle proof \rangle
```

5.6.3 Structure Map Preservation

We finally show that the isomorphisms are compatible with the structure maps. This involves lifting the distributive lattice isomorphism to filters of distributive lattices (as these are the targets of the structure maps). To this end, we first show that the lifted isomorphism preserves filters.

```
lemma phi-iso-filter:
```

```
filter ((\lambda qf::('a::non-trivial-boolean-algebra,'b::distrib-lattice-top) lifted-pair dense . Rep-lifted-distrib-lattice-top (dl-iso qf) f) 'Rep-filter (stone-phi pf)) \( \lambda proof \)
```

The commutativity property states that the same result is obtained in two ways by starting with a regular lifted pair pf:

- * apply the Boolean algebra isomorphism to the pair; then apply a structure map f to obtain a filter of dense elements; or,
- * apply the structure map *stone-phi* to the pair; then apply the distributive lattice isomorphism lifted to the resulting filter.

lemma phi-iso:

```
Rep-phi\ f\ (Rep-lifted-boolean-algebra\ (ba-iso\ pf)\ f) = filter-map\\ (\lambda qf::('a::non-trivial-boolean-algebra,'b::distrib-lattice-top)\ lifted-pair\ dense\ .\\ Rep-lifted-distrib-lattice-top\ (dl-iso\ qf)\ f)\ (stone-phi\ pf)\\ \langle proof\rangle
```

end

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