

# The Stern-Brocot Tree

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## Abstract

The Stern-Brocot tree contains all rational numbers exactly once and in their lowest terms. We formalise the Stern-Brocot tree as a coinductive tree using recursive and iterative specifications, which we have proven equivalent, and show that it indeed contains all the numbers as stated. Following Hinze, we prove that the Stern-Brocot tree can be linearised looplessly into Stern’s diatonic sequence (also known as Dijkstra’s fusc function) and that it is a permutation of the Bird tree.

The reasoning stays at an abstract level by appealing to the uniqueness of solutions of guarded recursive equations and lifting algebraic laws point-wise to trees and streams using applicative functors.

## Contents

<b>1</b>	<b>A codatatype of infinite binary trees</b>	<b>2</b>
1.1	Applicative functor for <i>'a tree</i>	3
1.2	Standard tree combinators	6
1.2.1	Recurse combinator	6
1.2.2	Tree iteration	6
1.2.3	Tree traversal	7
1.2.4	Mirroring	8
1.3	Pointwise arithmetic on infinite binary trees	8
1.3.1	Constants and operators	9
1.3.2	Algebraic instances	10
<b>2</b>	<b>The Stern-Brocot Tree</b>	<b>13</b>
2.1	Specification via a recursion equation	14
2.2	Basic properties	15
2.3	All the rationals	15
2.4	No repetitions	16
2.5	Equivalence of recursive and iterative version	22

<b>3</b>	<b>Linearising the Stern-Brocot Tree</b>	<b>23</b>
3.1	Turning a tree into a stream . . . . .	23
3.2	Split the Stern-Brocot tree into numerators and denominators	24
3.3	Loopless linearisation of the Stern-Brocot tree. . . . .	27
3.4	Equivalence with Dijkstra’s fusc function . . . . .	28
<b>4</b>	<b>The Bird tree</b>	<b>28</b>

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## 1 A codatatype of infinite binary trees

```

theory Cotree imports
  Main
  Applicative-Lifting.Applicative
  HOL-Library.BNF-Corec
begin

context notes [[bnf-internals]]
begin
  codatatype 'a tree = Node (root: 'a) (left: 'a tree) (right: 'a tree)
end

lemma rel-treeD:
  assumes rel-tree A x y
  shows rel-tree-rootD: A (root x) (root y)
  and rel-tree-leftD: rel-tree A (left x) (left y)
  and rel-tree-rightD: rel-tree A (right x) (right y)
using assms
by(cases x y rule: tree.exhaust[case-product tree.exhaust], simp-all)+

lemmas [simp] = tree.map-sel tree.map-comp

lemma set-tree-induct[consumes 1, case-names root left right]:
  assumes x: x ∈ set-tree t
  and root:  $\bigwedge t. P$  (root t) t
  and left:  $\bigwedge x t. \llbracket x \in \text{set-tree (left t); } P\ x\ (\text{left } t) \rrbracket \implies P\ x\ t$ 
  and right:  $\bigwedge x t. \llbracket x \in \text{set-tree (right t); } P\ x\ (\text{right } t) \rrbracket \implies P\ x\ t$ 
  shows P x t
using x
proof(rule tree.set-induct)
  fix l x r
  from root[of Node x l r] show P x (Node x l r) by simp
qed(auto intro: left right)

lemma corec-tree-cong:

```

```

assumes  $\bigwedge x. \text{stopL } x \implies \text{STOPL } x = \text{STOPL}' x$ 
and  $\bigwedge x. \sim \text{stopL } x \implies \text{LEFT } x = \text{LEFT}' x$ 
and  $\bigwedge x. \text{stopR } x \implies \text{STOPR } x = \text{STOPR}' x$ 
and  $\bigwedge x. \neg \text{stopR } x \implies \text{RIGHT } x = \text{RIGHT}' x$ 
shows  $\text{corec-tree } \text{ROOT } \text{stopL } \text{STOPL } \text{LEFT } \text{stopR } \text{STOPR } \text{RIGHT} =$ 
 $\text{corec-tree } \text{ROOT } \text{stopL}' \text{STOPL}' \text{LEFT}' \text{stopR}' \text{STOPR}' \text{RIGHT}'$ 
(is ?lhs = ?rhs)
proof
  fix  $x$ 
  show  $?lhs \ x = ?rhs \ x$ 
  by(coinduction arbitrary: x rule: tree.coinduct-strong)(auto simp add: assms)
qed

```

```

context
  fixes  $g1 :: 'a \Rightarrow 'b$ 
  and  $g22 :: 'a \Rightarrow 'a$ 
  and  $g32 :: 'a \Rightarrow 'a$ 
begin

```

```

corec  $\text{unfold-tree} :: 'a \Rightarrow 'b \ \text{tree}$ 
where  $\text{unfold-tree } a = \text{Node } (g1 \ a) \ (\text{unfold-tree } (g22 \ a)) \ (\text{unfold-tree } (g32 \ a))$ 

```

```

lemma  $\text{unfold-tree-simps} \ [simp]:$ 
   $\text{root } (\text{unfold-tree } a) = g1 \ a$ 
   $\text{left } (\text{unfold-tree } a) = \text{unfold-tree } (g22 \ a)$ 
   $\text{right } (\text{unfold-tree } a) = \text{unfold-tree } (g32 \ a)$ 
by(subst unfold-tree.code; simp; fail)+

```

**end**

```

lemma  $\text{unfold-tree-unique}:$ 
  assumes  $\bigwedge s. \text{root } (f \ s) = \text{ROOT } s$ 
  and  $\bigwedge s. \text{left } (f \ s) = f \ (\text{LEFT } s)$ 
  and  $\bigwedge s. \text{right } (f \ s) = f \ (\text{RIGHT } s)$ 
  shows  $f \ s = \text{unfold-tree } \text{ROOT } \text{LEFT } \text{RIGHT } s$ 
by(rule unfold-tree.unique[THEN fun-cong])(auto simp add: fun-eq-iff assms intro: tree.expand)

```

## 1.1 Applicative functor for 'a tree

```

context fixes  $x :: 'a$  begin
corec  $\text{pure-tree} :: 'a \ \text{tree}$ 
where  $\text{pure-tree} = \text{Node } x \ \text{pure-tree } \text{pure-tree}$ 
end

```

```

lemmas  $\text{pure-tree-unfold} = \text{pure-tree.code}$ 

```

```

lemma  $\text{pure-tree-simps} \ [simp]:$ 
   $\text{root } (\text{pure-tree } x) = x$ 

```

$\text{left } (\text{pure-tree } x) = \text{pure-tree } x$   
 $\text{right } (\text{pure-tree } x) = \text{pure-tree } x$   
**by**(*subst pure-tree-unfold; simp; fail*)<sup>+</sup>

**adhoc-overloading**  $\text{pure} \rightleftharpoons \text{pure-tree}$

**lemma** *pure-tree-parametric* [*transfer-rule*]:  $(\text{rel-fun } A \ (\text{rel-tree } A)) \ \text{pure} \ \text{pure}$   
**by**(*rule rel-funI*)(*coinduction, auto*)

**lemma** *map-pure-tree* [*simp*]:  $\text{map-tree } f \ (\text{pure } x) = \text{pure } (f \ x)$   
**by**(*coinduction arbitrary: x*) *auto*

**lemmas** *pure-tree-unique* = *pure-tree.unique*

**primcorec** (*transfer*) *ap-tree* ::  $('a \Rightarrow 'b) \ \text{tree} \Rightarrow 'a \ \text{tree} \Rightarrow 'b \ \text{tree}$   
**where**

$\text{root } (\text{ap-tree } f \ x) = \text{root } f \ (\text{root } x)$   
 $|\ \text{left } (\text{ap-tree } f \ x) = \text{ap-tree } (\text{left } f) \ (\text{left } x)$   
 $|\ \text{right } (\text{ap-tree } f \ x) = \text{ap-tree } (\text{right } f) \ (\text{right } x)$

**adhoc-overloading** *Applicative.ap*  $\rightleftharpoons$  *ap-tree*

**unbundle** *applicative-syntax*

**lemma** *ap-tree-pure-Node* [*simp*]:  
 $\text{pure } f \ \diamond \ \text{Node } x \ l \ r = \text{Node } (f \ x) \ (\text{pure } f \ \diamond \ l) \ (\text{pure } f \ \diamond \ r)$   
**by**(*rule tree.expand*) *auto*

**lemma** *ap-tree-Node-Node* [*simp*]:  
 $\text{Node } f \ fl \ fr \ \diamond \ \text{Node } x \ l \ r = \text{Node } (f \ x) \ (fl \ \diamond \ l) \ (fr \ \diamond \ r)$   
**by**(*rule tree.expand*) *auto*

Applicative functor laws

**lemma** *map-tree-ap-tree-pure-tree*:  
 $\text{pure } f \ \diamond \ u = \text{map-tree } f \ u$   
**by**(*coinduction arbitrary: u*) *auto*

**lemma** *ap-tree-identity*:  $\text{pure } \text{id} \ \diamond \ t = t$   
**by**(*simp add: map-tree-ap-tree-pure-tree tree.map-id*)

**lemma** *ap-tree-composition*:  
 $\text{pure } (\circ) \ \diamond \ r1 \ \diamond \ r2 \ \diamond \ r3 = r1 \ \diamond \ (r2 \ \diamond \ r3)$   
**by**(*coinduction arbitrary: r1 r2 r3*) *auto*

**lemma** *ap-tree-homomorphism*:  
 $\text{pure } f \ \diamond \ \text{pure } x = \text{pure } (f \ x)$   
**by**(*simp add: map-tree-ap-tree-pure-tree*)

**lemma** *ap-tree-interchange*:

$t \diamond \text{pure } x = \text{pure } (\lambda f. f x) \diamond t$   
**by**(*coinduction arbitrary: t*)(*auto*)

**lemma** *ap-tree-K-tree*:  $\text{pure } (\lambda x y. x) \diamond u \diamond v = u$   
**by**(*coinduction arbitrary: u v*)(*auto*)

**lemma** *ap-tree-C-tree*:  $\text{pure } (\lambda f x y. f y x) \diamond u \diamond v \diamond w = u \diamond w \diamond v$   
**by**(*coinduction arbitrary: u v w*)(*auto*)

**lemma** *ap-tree-W-tree*:  $\text{pure } (\lambda f x. f x x) \diamond f \diamond x = f \diamond x \diamond x$   
**by**(*coinduction arbitrary: f x*)(*auto*)

**applicative** *tree* (*K*, *W*) **for**

*pure*: *pure-tree*

*ap*: *ap-tree*

*rel*: *rel-tree*

*set*: *set-tree*

**proof** –

**fix** *R* :: '*b*  $\Rightarrow$  '*c*  $\Rightarrow$  *bool* **and** *f* :: ('*a*  $\Rightarrow$  '*b*) *tree* **and** *g* *x*

**assume** [*transfer-rule*]: *rel-tree* (*rel-fun* (*eq-on* (*set-tree* *x*)) *R*) *f* *g*

**have** [*transfer-rule*]: *rel-tree* (*eq-on* (*set-tree* *x*)) *x* *x* **by**(*rule tree.rel-refl-strong*)

*simp*

**show** *rel-tree* *R* (*f*  $\diamond$  *x*) (*g*  $\diamond$  *x*) **by** *transfer-prover*

**qed**(*rule ap-tree-homomorphism ap-tree-composition[unfolded o-def[abs-def]] ap-tree-K-tree ap-tree-W-tree ap-tree-interchange pure-tree-parametric*)+

**declare** *map-tree-ap-tree-pure-tree*[*symmetric, applicative-unfold*]

**lemma** *ap-tree-strong-extensional*:

$(\bigwedge x. f \diamond \text{pure } x = g \diamond \text{pure } x) \Longrightarrow f = g$

**proof**(*coinduction arbitrary: f g*)

**case** [*rule-format*]: (*Eq-tree* *f* *g*)

**have** *root* *f* = *root* *g*

**proof**

**fix** *x*

**show** *root* *f* *x* = *root* *g* *x*

**using** *Eq-tree*[*of* *x*] **by**(*subst* (*asm*) (1 2) *ap-tree.ctr*) *simp*

**qed**

**moreover** {

**fix** *x*

**have** *left* *f*  $\diamond$  *pure* *x* = *left* *g*  $\diamond$  *pure* *x*

**using** *Eq-tree*[*of* *x*] **by**(*subst* (*asm*) (1 2) *ap-tree.ctr*) *simp*

} **moreover** {

**fix** *x*

**have** *right* *f*  $\diamond$  *pure* *x* = *right* *g*  $\diamond$  *pure* *x*

**using** *Eq-tree*[*of* *x*] **by**(*subst* (*asm*) (1 2) *ap-tree.ctr*) *simp*

} **ultimately show** ?*case* **by** *simp*

**qed**

**lemma** *ap-tree-extensional*:  
 $(\bigwedge x. f \diamond x = g \diamond x) \implies f = g$   
**by**(*rule ap-tree-strong-extensional*) *simp*

## 1.2 Standard tree combinators

### 1.2.1 Recurse combinator

This will be the main combinator to define trees recursively  
 Uniqueness for this gives us the unique fixed-point theorem for guarded recursive definitions.

**lemma** *map-unfold-tree* [*simp*]: **fixes**  $l\ r\ x$   
**defines**  $unf \equiv unfold-tree\ (\lambda f. f\ x)\ (\lambda f. f \circ l)\ (\lambda f. f \circ r)$   
**shows**  $map-tree\ G\ (unf\ F) = unf\ (G \circ F)$   
**by**(*coinduction arbitrary: F G*)(*auto 4 3 simp add: unf-def o-assoc*)

**friend-of-corec** *map-tree* ::  $'a \Rightarrow 'a \Rightarrow 'a\ tree \Rightarrow 'a\ tree$  **where**  
 $map-tree\ f\ t = Node\ (f\ (root\ t))\ (map-tree\ f\ (left\ t))\ (map-tree\ f\ (right\ t))$   
**subgoal by** (*rule tree.expand; simp*)  
**subgoal by** (*fold relator-eq; transfer-prover*)  
**done**

**context fixes**  $l :: 'a \Rightarrow 'a$  **and**  $r :: 'a \Rightarrow 'a$  **and**  $x :: 'a$  **begin**  
**corec** *tree-recurse* ::  $'a\ tree$   
**where**  $tree-recurse = Node\ x\ (map-tree\ l\ tree-recurse)\ (map-tree\ r\ tree-recurse)$   
**end**

**lemma** *tree-recurse-simps* [*simp*]:  
 $root\ (tree-recurse\ l\ r\ x) = x$   
 $left\ (tree-recurse\ l\ r\ x) = map-tree\ l\ (tree-recurse\ l\ r\ x)$   
 $right\ (tree-recurse\ l\ r\ x) = map-tree\ r\ (tree-recurse\ l\ r\ x)$   
**by**(*subst tree-recurse.code; simp; fail*)+

**lemma** *tree-recurse-unfold*:  
 $tree-recurse\ l\ r\ x = Node\ x\ (map-tree\ l\ (tree-recurse\ l\ r\ x))\ (map-tree\ r\ (tree-recurse\ l\ r\ x))$   
**by**(*fact tree-recurse.code*)

**lemma** *tree-recurse-fusion*:  
**assumes**  $h \circ l = l' \circ h$  **and**  $h \circ r = r' \circ h$   
**shows**  $map-tree\ h\ (tree-recurse\ l\ r\ x) = tree-recurse\ l'\ r'\ (h\ x)$   
**by**(*rule tree-recurse.unique*)(*simp add: tree.expand assms*)

### 1.2.2 Tree iteration

**context fixes**  $l :: 'a \Rightarrow 'a$  **and**  $r :: 'a \Rightarrow 'a$  **begin**  
**primcorec** *tree-iterate* ::  $'a \Rightarrow 'a\ tree$   
**where**  $tree-iterate\ s = Node\ s\ (tree-iterate\ (l\ s))\ (tree-iterate\ (r\ s))$   
**end**

**lemma** *unfold-tree-tree-iterate*:  
 $unfold-tree\ out\ l\ r = map-tree\ out \circ tree-iterate\ l\ r$   
**by** (*rule ext*)(*rule unfold-tree-unique[symmetric]*; *simp*)

**lemma** *tree-iterate-fusion*:  
**assumes**  $h \circ l = l' \circ h$   
**assumes**  $h \circ r = r' \circ h$   
**shows**  $map-tree\ h\ (tree-iterate\ l\ r\ x) = tree-iterate\ l'\ r'\ (h\ x)$   
**apply** (*coinduction arbitrary: x*)  
**using** *assms* **by** (*auto simp add: fun-eq-iff*)

### 1.2.3 Tree traversal

**datatype** *dir* = *L* | *R*  
**type-synonym** *path* = *dir list*

**definition** *traverse-tree* :: *path*  $\Rightarrow$  '*a tree*  $\Rightarrow$  '*a tree*  
**where** *traverse-tree path*  $\equiv foldr\ (\lambda d\ f.\ f \circ case-dir\ left\ right\ d)\ path\ id$

**lemma** *traverse-tree-simps[simp]*:  
 $traverse-tree\ [] = id$   
 $traverse-tree\ (d\ \# path) = traverse-tree\ path \circ (case\ d\ of\ L \Rightarrow left\ |\ R \Rightarrow right)$   
**by** (*simp-all add: traverse-tree-def*)

**lemma** *traverse-tree-map-tree [simp]*:  
 $traverse-tree\ path\ (map-tree\ f\ t) = map-tree\ f\ (traverse-tree\ path\ t)$   
**by** (*induct path arbitrary: t*) (*simp-all split: dir.splits*)

**lemma** *traverse-tree-append [simp]*:  
 $traverse-tree\ (path\ @\ ext)\ t = traverse-tree\ ext\ (traverse-tree\ path\ t)$   
**by** (*induct path arbitrary: t*) *simp-all*

*traverse-tree* is an applicative-functor homomorphism.

**lemma** *traverse-tree-pure-tree [simp]*:  
 $traverse-tree\ path\ (pure\ x) = pure\ x$   
**by** (*induct path arbitrary: x*) (*simp-all split: dir.splits*)

**lemma** *traverse-tree-ap [simp]*:  
 $traverse-tree\ path\ (f \diamond x) = traverse-tree\ path\ f \diamond traverse-tree\ path\ x$   
**by** (*induct path arbitrary: f x*) (*simp-all split: dir.splits*)

**context** **fixes**  $l\ r :: 'a \Rightarrow 'a\ begin$

**primrec** *traverse-dir* :: *dir*  $\Rightarrow$  '*a*  $\Rightarrow$  '*a*  
**where**  
 $traverse-dir\ L = l$   
 $| traverse-dir\ R = r$

**abbreviation** *traverse-path* :: *path*  $\Rightarrow$  'a  $\Rightarrow$  'a  
**where** *traverse-path*  $\equiv$  *fold traverse-dir*

**end**

**lemma** *traverse-tree-tree-iterate*:

*traverse-tree path (tree-iterate l r s) =*  
*tree-iterate l r (traverse-path l r path s)*

**by** (*induct path arbitrary: s*) (*simp-all split: dir.splits*)

? shows that if the tree construction function is suitably monoidal then recursion and iteration define the same tree.

**lemma** *tree-recurse-iterate*:

**assumes** *monoid*:

$\bigwedge x y z. f (f x y) z = f x (f y z)$

$\bigwedge x. f x \varepsilon = x$

$\bigwedge x. f \varepsilon x = x$

**shows** *tree-recurse (f l) (f r)  $\varepsilon$  = tree-iterate ( $\lambda x. f x l$ ) ( $\lambda x. f x r$ )  $\varepsilon$*

**apply**(*rule tree-recurse.unique[symmetric]*)

**apply**(*rule tree.expand*)

**apply**(*simp add: tree-iterate-fusion*[**where** *r' =  $\lambda x. f x r$  and l' =  $\lambda x. f x l$* ] *fun-eq-iff monoid*)

**done**

### 1.2.4 Mirroring

**primcorec** *mirror* :: 'a tree  $\Rightarrow$  'a tree

**where**

*root (mirror t) = root t*

| *left (mirror t) = mirror (right t)*

| *right (mirror t) = mirror (left t)*

**lemma** *mirror-unfold*: *mirror (Node x l r) = Node x (mirror r) (mirror l)*

**by**(*rule tree.expand*) *simp*

**lemma** *mirror-pure*: *mirror (pure x) = pure x*

**by**(*coinduction rule: tree.coinduct*) *simp*

**lemma** *mirror-ap-tree*: *mirror (f  $\diamond$  x) = mirror f  $\diamond$  mirror x*

**by**(*coinduction arbitrary: f x*) *auto*

**end**

## 1.3 Pointwise arithmetic on infinite binary trees

**theory** *Cotree-Algebra*

**imports** *Cotree*

**begin**



### 1.3.1 Constants and operators

**instantiation** *tree* :: (zero) zero **begin**

**definition** [*applicative-unfold*]:  $0 = \text{pure-tree } 0$

**instance** ..

**end**

**instantiation** *tree* :: (one) one **begin**

**definition** [*applicative-unfold*]:  $1 = \text{pure-tree } 1$

**instance** ..

**end**

**instantiation** *tree* :: (plus) plus **begin**

**definition** [*applicative-unfold*]:  $\text{plus } x y = \text{pure } (+) \diamond x \diamond (y :: 'a \text{ tree})$

**instance** ..

**end**

**lemma** *plus-tree-simps* [*simp*]:

$\text{root } (t + t') = \text{root } t + \text{root } t'$

$\text{left } (t + t') = \text{left } t + \text{left } t'$

$\text{right } (t + t') = \text{right } t + \text{right } t'$

**by**(*simp-all add: plus-tree-def*)

**friend-of-corec** *plus* **where**  $t + t' = \text{Node } (\text{root } t + \text{root } t') (\text{left } t + \text{left } t') (\text{right } t + \text{right } t')$

**subgoal** **by**(*rule tree.expand; simp*)

**subgoal** **by** *transfer-prover*

**done**

**instantiation** *tree* :: (minus) minus **begin**

**definition** [*applicative-unfold*]:  $\text{minus } x y = \text{pure } (-) \diamond x \diamond (y :: 'a \text{ tree})$

**instance** ..

**end**

**lemma** *minus-tree-simps* [*simp*]:

$\text{root } (t - t') = \text{root } t - \text{root } t'$

$\text{left } (t - t') = \text{left } t - \text{left } t'$

$\text{right } (t - t') = \text{right } t - \text{right } t'$

**by**(*simp-all add: minus-tree-def*)

**instantiation** *tree* :: (uminus) uminus **begin**

**definition** [*applicative-unfold tree*]:  $\text{uminus} = ((\diamond) (\text{pure } \text{uminus}) :: 'a \text{ tree} \Rightarrow 'a \text{ tree})$

**instance** ..

**end**

**instantiation** *tree* :: (times) times **begin**

**definition** [*applicative-unfold*]:  $\text{times } x y = \text{pure } (*) \diamond x \diamond (y :: 'a \text{ tree})$

**instance** ..

**end**

**lemma** *times-tree-simps* [*simp*]:  
 $root (t * t') = root t * root t'$   
 $left (t * t') = left t * left t'$   
 $right (t * t') = right t * right t'$   
**by**(*simp-all add: times-tree-def*)

**instance** *tree* :: (*Rings.dvd*) *Rings.dvd* ..

**instantiation** *tree* :: (*modulo*) *modulo* **begin**

**definition** [*applicative-unfold*]:  $x \text{ div } y = \text{pure-tree } (div) \diamond x \diamond (y :: 'a \text{ tree})$

**definition** [*applicative-unfold*]:  $x \text{ mod } y = \text{pure-tree } (mod) \diamond x \diamond (y :: 'a \text{ tree})$

**instance** ..

**end**

**lemma** *mod-tree-simps* [*simp*]:  
 $root (t \text{ mod } t') = root t \text{ mod } root t'$   
 $left (t \text{ mod } t') = left t \text{ mod } left t'$   
 $right (t \text{ mod } t') = right t \text{ mod } right t'$   
**by**(*simp-all add: modulo-tree-def*)

### 1.3.2 Algebraic instances

**instance** *tree* :: (*semigroup-add*) *semigroup-add*  
**using** *add.assoc* **by** *intro-classes applicative-lifting*

**instance** *tree* :: (*ab-semigroup-add*) *ab-semigroup-add*  
**using** *add.commute* **by** *intro-classes applicative-lifting*

**instance** *tree* :: (*semigroup-mult*) *semigroup-mult*  
**using** *mult.assoc* **by** *intro-classes applicative-lifting*

**instance** *tree* :: (*ab-semigroup-mult*) *ab-semigroup-mult*  
**using** *mult.commute* **by** *intro-classes applicative-lifting*

**instance** *tree* :: (*monoid-add*) *monoid-add*  
**by** *intro-classes (applicative-lifting, simp)+*

**instance** *tree* :: (*comm-monoid-add*) *comm-monoid-add*  
**by** *intro-classes (applicative-lifting, simp)*

**instance** *tree* :: (*comm-monoid-diff*) *comm-monoid-diff*  
**by** *intro-classes (applicative-lifting, simp add: diff-diff-add)+*

**instance** *tree* :: (*monoid-mult*) *monoid-mult*  
**by** *intro-classes (applicative-lifting, simp)+*

**instance** *tree* :: (*comm-monoid-mult*) *comm-monoid-mult*  
**by** *intro-classes (applicative-lifting, simp)*

```

instance tree :: (cancel-semigroup-add) cancel-semigroup-add
proof
  fix a b c :: 'a tree
  assume a + b = a + c
  thus b = c
  proof (coinduction arbitrary: a b c)
    case (Eq-tree a b c)
    hence root (a + b) = root (a + c)
      left (a + b) = left (a + c)
      right (a + b) = right (a + c)
    by simp-all
    thus ?case by (auto)
  qed
next
  fix a b c :: 'a tree
  assume b + a = c + a
  thus b = c
  proof (coinduction arbitrary: a b c)
    case (Eq-tree a b c)
    hence root (b + a) = root (c + a)
      left (b + a) = left (c + a)
      right (b + a) = right (c + a)
    by simp-all
    thus ?case by (auto)
  qed
qed

```

```

instance tree :: (cancel-ab-semigroup-add) cancel-ab-semigroup-add
by intro-classes (applicative-lifting, simp add: diff-diff-eq)+

```

```

instance tree :: (cancel-comm-monoid-add) cancel-comm-monoid-add ..

```

```

instance tree :: (group-add) group-add
by intro-classes (applicative-lifting, simp)+

```

```

instance tree :: (ab-group-add) ab-group-add
by intro-classes (applicative-lifting, simp)+

```

```

instance tree :: (semiring) semiring
by intro-classes (applicative-lifting, simp add: ring-distrib)+

```

```

instance tree :: (mult-zero) mult-zero
by intro-classes (applicative-lifting, simp)+

```

```

instance tree :: (semiring-0) semiring-0 ..

```

```

instance tree :: (semiring-0-cancel) semiring-0-cancel ..

```

```

instance tree :: (comm-semiring) comm-semiring
by intro-classes(rule distrib-right)

instance tree :: (comm-semiring-0) comm-semiring-0 ..

instance tree :: (comm-semiring-0-cancel) comm-semiring-0-cancel ..

lemma pure-tree-inject[simp]: pure-tree x = pure-tree y  $\longleftrightarrow$  x = y
proof
  assume pure-tree x = pure-tree y
  hence root (pure-tree x) = root (pure-tree y) by simp
  thus x = y by simp
qed simp

instance tree :: (zero-neg-one) zero-neg-one
by intro-classes (applicative-unfold tree)

instance tree :: (semiring-1) semiring-1 ..

instance tree :: (comm-semiring-1) comm-semiring-1 ..

instance tree :: (semiring-1-cancel) semiring-1-cancel ..

instance tree :: (comm-semiring-1-cancel) comm-semiring-1-cancel
by(intro-classes; applicative-lifting, rule right-diff-distrib^)

instance tree :: (ring) ring ..

instance tree :: (comm-ring) comm-ring ..

instance tree :: (ring-1) ring-1 ..

instance tree :: (comm-ring-1) comm-ring-1 ..

instance tree :: (numeral) numeral ..

instance tree :: (neg-numeral) neg-numeral ..

instance tree :: (semiring-numeral) semiring-numeral ..

lemma of-nat-tree: of-nat n = pure-tree (of-nat n)
proof (induction n)
  case 0 show ?case by (simp add: zero-tree-def)
next
  case (Suc n)
  have 1 + pure (of-nat n) = pure (1 + of-nat n) by applicative-nf rule
  with Suc.IH show ?case by simp
qed

```

**instance** *tree* :: (*semiring-char-0*) *semiring-char-0*  
**by** *intro-classes* (*simp add: inj-on-def of-nat-tree*)

**lemma** *numeral-tree-simps* [*simp*]:  
*root* (*numeral n*) = *numeral n*  
*left* (*numeral n*) = *numeral n*  
*right* (*numeral n*) = *numeral n*  
**by**(*induct n*)(*auto simp add: numeral.simps plus-tree-def one-tree-def*)

**lemma** *numeral-tree-conv-pure* [*applicative-unfold*]: *numeral n* = *pure* (*numeral n*)  
**by**(*rule pure-tree-unique*)(*rule tree.expand; simp*)

**instance** *tree* :: (*ring-char-0*) *ring-char-0* ..

**end**

## 2 The Stern-Brocot Tree

**theory** *Stern-Brocot-Tree*

**imports**

*HOL.Rat*

*HOL-Library.Sublist*

*Cotree-Algebra*

*Applicative-Lifting.Stream-Algebra*

**begin**

The Stern-Brocot tree is discussed at length by [Graham et al. \(1994, §4.5\)](#). In essence the tree enumerates the rational numbers in their lowest terms by constructing the *mediant* of two bounding fractions.

**type-synonym** *fraction* = *nat* × *nat*

**definition** *mediant* :: *fraction* × *fraction* ⇒ *fraction*

**where** *mediant* ≡ λ((*a*, *c*), (*b*, *d*)). (*a* + *b*, *c* + *d*)

**definition** *stern-brocot* :: *fraction tree*

**where**

*stern-brocot* = *unfold-tree*

(λ(*lb*, *ub*). *mediant* (*lb*, *ub*))

(λ(*lb*, *ub*). (*lb*, *mediant* (*lb*, *ub*)))

(λ(*lb*, *ub*). (*mediant* (*lb*, *ub*), *ub*))

((*0*, *1*), (*1*, *0*))

This process is visualised in [Figure 2](#). Intuitively each node is labelled with the mediant of it's rightmost and leftmost ancestors.

Our ultimate goal is to show that the Stern-Brocot tree contains all rationals (in lowest terms), and that each occurs exactly once in the tree. A proof is sketched in [Graham et al. \(1994, §4.5\)](#).

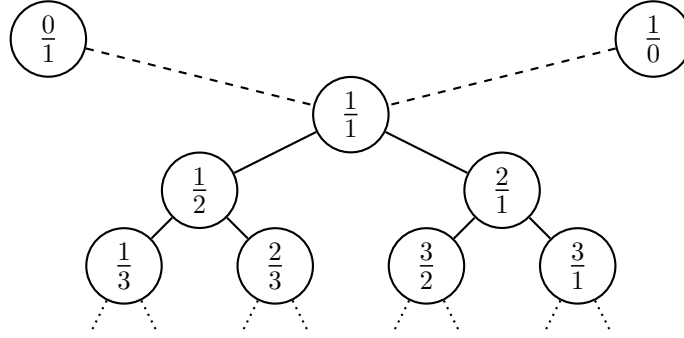


Figure 1: Constructing the Stern-Brocot tree iteratively.

## 2.1 Specification via a recursion equation

Hinze (2009) derives the following recurrence relation for the Stern-Brocot tree. We will show in §2.5 that his derivation is sound with respect to the standard iterative definition of the tree shown above.

**abbreviation** *succ* :: *fraction*  $\Rightarrow$  *fraction*  
**where** *succ*  $\equiv \lambda(m, n). (m + n, n)$

**abbreviation** *recip* :: *fraction*  $\Rightarrow$  *fraction*  
**where** *recip*  $\equiv \lambda(m, n). (n, m)$

**corec** *stern-brocot-recurse* :: *fraction tree*  
**where**  
*stern-brocot-recurse* =  
 Node (1, 1)  
 (map-tree recip (map-tree succ (map-tree recip stern-brocot-recurse)))  
 (map-tree succ stern-brocot-recurse)

Actually, we would like to write the specification below, but ( $\diamond$ ) cannot be registered as friendly due to varying type parameters

**lemma** *stern-brocot-unfold*:  
*stern-brocot-recurse* =  
 Node (1, 1)  
 (pure recip  $\diamond$  (pure succ  $\diamond$  (pure recip  $\diamond$  stern-brocot-recurse)))  
 (pure succ  $\diamond$  stern-brocot-recurse)  
**by**(fact stern-brocot-recurse.code[unfolded map-tree-ap-tree-pure-tree[symmetric]])

**lemma** *stern-brocot-simps* [simp]:  
 root stern-brocot-recurse = (1, 1)  
 left stern-brocot-recurse = pure recip  $\diamond$  (pure succ  $\diamond$  (pure recip  $\diamond$  stern-brocot-recurse))  
 right stern-brocot-recurse = pure succ  $\diamond$  stern-brocot-recurse  
**by** (subst stern-brocot-unfold, simp)+

**lemma** *stern-brocot-conv*:

```

    stern-brocot-recurse = tree-recurse (recip ∘ succ ∘ recip) succ (1, 1)
  apply(rule tree-recurse.unique)
  apply(subst stern-brocot-unfold)
  apply(simp add: o-assoc)
  apply(rule conjI; applicative-nf; simp)
done

```

## 2.2 Basic properties

The recursive definition is useful for showing some basic properties of the tree, such as that the pairs of numbers at each node are coprime, and have non-zero denominators. Both are simple inductions on the path.

**lemma** *stern-brocot-denominator-non-zero*:

```

case root (traverse-tree path stern-brocot-recurse) of (m, n) ⇒ m > 0 ∧ n > 0
by(induct path)(auto split: dir.splits)

```

**lemma** *stern-brocot-coprime*:

```

case root (traverse-tree path stern-brocot-recurse) of (m, n) ⇒ coprime m n
by (induct path) (auto split: dir.splits simp add: coprime-iff-gcd-eq-1,metis
gcd commute gcd-add1)

```

## 2.3 All the rationals

For every pair of positive naturals, we can construct a path into the Stern-Brocot tree such that the naturals at the end of the path define the same rational as the pair we started with. Intuitively, the choices made by Euclid's algorithm define this path.

**function** *mk-path* :: *nat* ⇒ *nat* ⇒ *path* **where**

```

  m = n ⇒ mk-path (Suc m) (Suc n) = []
| m < n ⇒ mk-path (Suc m) (Suc n) = L # mk-path (Suc m) (n - m)
| m > n ⇒ mk-path (Suc m) (Suc n) = R # mk-path (m - n) (Suc n)
| mk-path 0 - = undefined
| mk-path - 0 = undefined

```

**by** *atomize-elim(auto, arith)*

**termination** *mk-path* **by** *lexicographic-order*

**lemmas** *mk-path-induct[case-names equal less greater]* = *mk-path.induct*

**abbreviation** *rat-of* :: *fraction* ⇒ *rat*

**where** *rat-of* ≡ λ(x, y). *Fract* (int x) (int y)

**theorem** *stern-brocot-rationals*:

```

[[ m > 0; n > 0 ]] ⇒
  root (traverse-tree (mk-path m n) (pure rat-of ∘ stern-brocot-recurse)) = Fract
(int m) (int n)

```

**proof**(*induction m n rule: mk-path-induct*)

**case** (*less m n*)

```

with stern-brocot-denominator-non-zero[where path=mk-path (Suc m) (n - m)]
show ?case
  by (simp add: eq-rat field-simps of-nat-diff split: prod.split-asm)
next
  case (greater m n)
  with stern-brocot-denominator-non-zero[where path=mk-path (m - n) (Suc n)]
  show ?case
  by (simp add: eq-rat field-simps of-nat-diff split: prod.split-asm)
qed (simp-all add: eq-rat)

```

## 2.4 No repetitions

We establish that the Stern-Brocot tree does not contain repetitions, i.e., that each rational number appears at most once in it. Note that this property is stronger than merely requiring that pairs of naturals not be repeated, though it is implied by that property and *stern-brocot-coprime*.

Intuitively, the tree enjoys the *binary search tree* ordering property when we map our pairs of naturals into rationals. This suffices to show that each rational appears at most once in the tree. To establish this seems to require more structure than is present in the recursion equations, and so we follow [Backhouse and Ferreira \(2008\)](#) and [Hinze \(2009\)](#) by introducing another definition of the tree, which summarises the path to each node using a matrix.

We then derive an iterative version and use invariant reasoning on that. We begin by defining some matrix machinery. This is all elementary and primitive (we do not need much algebra).

**type-synonym** *matrix* = *fraction* × *fraction*

**type-synonym** *vector* = *fraction*

**definition** *times-matrix* :: *matrix* ⇒ *matrix* ⇒ *matrix* (**infixl** <⊗> 70)

**where** *times-matrix* = (λ((a, c), (b, d)) ((a', c'), (b', d')).

((a \* a' + b \* c', c \* a' + d \* c'),  
(a \* b' + b \* d', c \* b' + d \* d'))

**definition** *times-vector* :: *matrix* ⇒ *vector* ⇒ *vector* (**infixr** <⊙> 70)

**where** *times-vector* = (λ((a, c), (b, d)) (a', c'). (a \* a' + b \* c', c \* a' + d \* c'))

**context begin**

**private definition** *F* :: *matrix* **where** *F* = ((0, 1), (1, 0))

**private definition** *I* :: *matrix* **where** *I* = ((1, 0), (0, 1))

**private definition** *LL* :: *matrix* **where** *LL* = ((1, 1), (0, 1))

**private definition** *UR* :: *matrix* **where** *UR* = ((1, 0), (1, 1))

**definition** *Det* :: *matrix* ⇒ *nat* **where** *Det* ≡ λ((a, c), (b, d)). a \* d - b \* c

**lemma** *Dets* [*iff*]:



$Det\ I = 1$   
 $Det\ LL = 1$   
 $Det\ UR = 1$   
**unfolding** *Det-def I-def LL-def UR-def by simp-all*

**lemma** *LL-UR-Det*:  
 $Det\ m = 1 \implies Det\ (m \otimes LL) = 1$   
 $Det\ m = 1 \implies Det\ (LL \otimes m) = 1$   
 $Det\ m = 1 \implies Det\ (m \otimes UR) = 1$   
 $Det\ m = 1 \implies Det\ (UR \otimes m) = 1$   
**by** (*cases m, simp add: Det-def LL-def UR-def times-matrix-def split-def field-simps*)<sup>+</sup>

**lemma** *mediant-I-F* [*simp*]:  
 $mediant\ F = (1, 1)$   
 $mediant\ I = (1, 1)$   
**by** (*simp-all add: F-def I-def mediant-def*)

**lemma** *times-matrix-I* [*simp*]:  
 $I \otimes x = x$   
 $x \otimes I = x$   
**by** (*simp-all add: times-matrix-def I-def split-def*)

**lemma** *times-matrix-assoc* [*simp*]:  
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$   
**by** (*simp add: times-matrix-def field-simps split-def*)

**lemma** *LL-UR-pos*:  
 $0 < snd\ (mediant\ m) \implies 0 < snd\ (mediant\ (m \otimes LL))$   
 $0 < snd\ (mediant\ m) \implies 0 < snd\ (mediant\ (m \otimes UR))$   
**by** (*cases m*) (*simp-all add: LL-def UR-def times-matrix-def split-def field-simps mediant-def*)

**lemma** *recip-succ-recip*:  $recip \circ succ \circ recip = (\lambda(x, y). (x, x + y))$   
**by** (*clarsimp simp: fun-eq-iff*)

[Backhouse and Ferreira](#) work with the identity matrix  $I$  at the root. This has the advantage that all relevant matrices have determinants of 1.

**definition** *stern-brocot-iterate-aux* :: *matrix*  $\Rightarrow$  *matrix tree*  
**where** *stern-brocot-iterate-aux*  $\equiv$  *tree-iterate*  $(\lambda s. s \otimes LL)$   $(\lambda s. s \otimes UR)$

**definition** *stern-brocot-iterate* :: *fraction tree*  
**where** *stern-brocot-iterate*  $\equiv$  *map-tree mediant* (*stern-brocot-iterate-aux*  $I$ )

**lemma** *stern-brocot-recurse-iterate*: *stern-brocot-recurse* = *stern-brocot-iterate* (**is**  $?lhs = ?rhs$ )  
**proof** –  
**have**  $?rhs = \text{map-tree mediant } (tree\text{-recurse } ((\otimes)\ LL) ((\otimes)\ UR)\ I$   
**using** *tree-iterate-iterate* [**where**  $f=(\otimes)$  **and**  $l=LL$  **and**  $r=UR$  **and**  $\varepsilon=I$ ]  
**by** (*simp add: stern-brocot-iterate-def stern-brocot-iterate-aux-def*)

**also have**  $\dots = \text{tree-recurse } ((\odot) LL) ((\odot) UR) (1, 1)$   
**unfolding**  $\text{mediant-I-F}(2)[\text{symmetric}]$   
**by**  $(\text{rule tree-recurse-fusion})(\text{simp-all add: fun-eq-iff mediant-def times-matrix-def times-vector-def LL-def UR-def})[2]$   
**also have**  $\dots = ?lhs$   
**by**  $(\text{simp add: stern-brocot-conv recip-succ-recip times-vector-def LL-def UR-def})$   
**finally show**  $?thesis$  **by**  $\text{simp}$   
**qed**

The following are the key ordering properties derived by [Backhouse and Ferreira \(2008\)](#). They hinge on the matrices containing only natural numbers.

**lemma** *tree-ordering-left:*

**assumes**  $DX: \text{Det } X = 1$   
**assumes**  $DY: \text{Det } Y = 1$   
**assumes**  $MX: 0 < \text{snd } (\text{mediant } X)$   
**shows**  $\text{rat-of } (\text{mediant } (X \otimes LL \otimes Y)) < \text{rat-of } (\text{mediant } X)$   
**proof** –  
**from**  $DX DY$  **have**  $F: 0 < \text{snd } (\text{mediant } (X \otimes LL \otimes Y))$   
**by**  $(\text{auto simp: Det-def times-matrix-def LL-def split-def mediant-def})$   
**obtain**  $x11 x12 x21 x22$  **where**  $X: X = ((x11, x12), (x21, x22))$  **by**  $(\text{cases } X)$   
 $\text{auto}$   
**obtain**  $y11 y12 y21 y22$  **where**  $Y: Y = ((y11, y12), (y21, y22))$  **by**  $(\text{cases } Y)$   
 $\text{auto}$   
**from**  $DX DY$  **have**  $*: (x12 * x21) * (y12 + y22) < (x11 * x22) * (y12 + y22)$   
**by**  $(\text{simp add: } X Y \text{ Det-def})(\text{cases } y12, \text{simp-all add: field-simps})$   
**from**  $DX DY MX F$  **show**  $?thesis$   
**apply**  $(\text{simp add: split-def } X Y \text{ of-nat-mult } [\text{symmetric}] \text{ del: of-nat-mult})$   
**apply**  $(\text{clarsimp simp: Det-def times-matrix-def LL-def UR-def mediant-def split-def})$   
**using**  $*$  **by**  $(\text{simp add: field-simps})$   
**qed**

**lemma** *tree-ordering-right:*

**assumes**  $DX: \text{Det } X = 1$   
**assumes**  $DY: \text{Det } Y = 1$   
**assumes**  $MX: 0 < \text{snd } (\text{mediant } X)$   
**shows**  $\text{rat-of } (\text{mediant } X) < \text{rat-of } (\text{mediant } (X \otimes UR \otimes Y))$   
**proof** –  
**from**  $DX DY$  **have**  $F: 0 < \text{snd } (\text{mediant } (X \otimes UR \otimes Y))$   
**by**  $(\text{auto simp: Det-def times-matrix-def UR-def split-def mediant-def})$   
**obtain**  $x11 x12 x21 x22$  **where**  $X: X = ((x11, x12), (x21, x22))$  **by**  $(\text{cases } X)$   
 $\text{auto}$   
**obtain**  $y11 y12 y21 y22$  **where**  $Y: Y = ((y11, y12), (y21, y22))$  **by**  $(\text{cases } Y)$   
 $\text{auto}$   
**show**  $?thesis$  **using**  $DX DY MX F$   
**apply**  $(\text{simp add: } X Y \text{ split-def of-nat-mult } [\text{symmetric}] \text{ del: of-nat-mult})$   
**apply**  $(\text{simp add: Det-def times-matrix-def LL-def UR-def mediant-def split-def algebra-simps})$   
**apply**  $(\text{simp add: add-mult-distrib2}[\text{symmetric}] \text{ mult.assoc}[\text{symmetric}])$

**apply** (*cases y21; simp*)  
**done**  
**qed**

**lemma** *stern-brocot-iterate-aux-Det*:  
**assumes** *Det m = 1 0 < snd (mediant m)*  
**shows** *Det (root (traverse-tree path (stern-brocot-iterate-aux m))) = 1*  
**and** *0 < snd (mediant (root (traverse-tree path (stern-brocot-iterate-aux m))))*  
**using** *assms*  
**by** (*induct path arbitrary: m*)  
*(simp-all add: stern-brocot-iterate-aux-def LL-UR-Det LL-UR-pos split: dir.splits)*

**lemma** *stern-brocot-iterate-aux-decompose*:  
 $\exists m''. m \otimes m'' = \text{root} (\text{traverse-tree path} (\text{stern-brocot-iterate-aux } m)) \wedge \text{Det } m'' = 1$   
**proof**(*induction path arbitrary: m*)  
**case** *Nil show ?case*  
**by** (*auto simp add: stern-brocot-iterate-aux-def intro: exI[where x=I] simp del: split-paired-Ex*)  
**next**  
**case** (*Cons d ds m*)  
**from** *Cons.IH[where m=m  $\otimes$  UR] Cons.IH[where m=m  $\otimes$  LL] show ?case*  
**by** (*simp add: stern-brocot-iterate-aux-def split: dir.splits del: split-paired-Ex*)(*fastforce simp: LL-UR-Det*)  
**qed**

**lemma** *stern-brocot-fractions-not-repeated-strict-prefix*:  
**assumes** *root (traverse-tree path stern-brocot-iterate) = root (traverse-tree path' stern-brocot-iterate)*  
**assumes** *pp': strict-prefix path path'*  
**shows** *False*  
**proof** –  
**from** *pp' obtain d ds where pp': path' = path @ [d] @ ds by (auto elim!: strict-prefixE')*  
**define** *m where m = root (traverse-tree path (stern-brocot-iterate-aux I))*  
**then have** *Dm: Det m = 1 and Pm: 0 < snd (mediant m)*  
**using** *stern-brocot-iterate-aux-Det[where path=path and m=I] by simp-all*  
**define** *m' where m' = root (traverse-tree path' (stern-brocot-iterate-aux I))*  
**then have** *Dm': Det m' = 1*  
**using** *stern-brocot-iterate-aux-Det[where path=path' and m=I] by simp*  
**let** *?M = case d of L  $\Rightarrow$  m  $\otimes$  LL | R  $\Rightarrow$  m  $\otimes$  UR*  
**from** *pp' have root (traverse-tree ds (stern-brocot-iterate-aux ?M)) = m'*  
**by**(*simp add: m-def m'-def stern-brocot-iterate-aux-def traverse-tree-tree-iterate split: dir.splits*)  
**then obtain** *m'' where mm'm'': ?M  $\otimes$  m'' = m' and Dm'': Det m'' = 1*  
**using** *stern-brocot-iterate-aux-decompose[where path=ds and m=?M] by clar-simp*  
**hence** *case d of L  $\Rightarrow$  rat-of (mediant m') < rat-of (mediant m) | R  $\Rightarrow$  rat-of (mediant m) < rat-of (mediant m')*

**using** *tree-ordering-left*[*OF Dm Dm'' Pm*] *tree-ordering-right*[*OF Dm Dm'' Pm*]  
**by** (*simp split: dir.splits*)  
**with** *assms show False*  
**by** (*simp add: stern-brocot-iterate-def m-def m'-def split: dir.splits*)  
**qed**

**lemma** *stern-brocot-fractions-not-repeated-parallel:*

**assumes** *root (traverse-tree path stern-brocot-iterate) = root (traverse-tree path' stern-brocot-iterate)*

**assumes** *p: path = pref @ d # ds*

**assumes** *p': path' = pref @ d' # ds'*

**assumes** *dd': d ≠ d'*

**shows** *False*

**proof** –

**define** *m* **where** *m = root (traverse-tree pref (stern-brocot-iterate-aux I))*

**then have** *Dm: Det m = 1* **and** *Pm: 0 < snd (mediant m)*

**using** *stern-brocot-iterate-aux-Det*[**where** *path=pref* **and** *m=I*] **by** *simp-all*

**define** *pm* **where** *pm = root (traverse-tree path (stern-brocot-iterate-aux I))*

**then have** *Dpm: Det pm = 1*

**using** *stern-brocot-iterate-aux-Det*[**where** *path=path* **and** *m=I*] **by** *simp*

**let** *?M = case d of L ⇒ m ⊗ LL | R ⇒ m ⊗ UR*

**from** *p*

**have** *root (traverse-tree ds (stern-brocot-iterate-aux ?M)) = pm*

**by**(*simp add: stern-brocot-iterate-aux-def m-def pm-def traverse-tree-tree-iterate split: dir.splits*)

**then obtain** *pm'*

**where** *pm': ?M ⊗ pm' = pm* **and** *Dpm': Det pm' = 1*

**using** *stern-brocot-iterate-aux-decompose*[**where** *path=ds* **and** *m=?M*] **by** *clar-simp*

**hence** *case d of L ⇒ rat-of (mediant pm) < rat-of (mediant m) | R ⇒ rat-of (mediant m) < rat-of (mediant pm)*

**using** *tree-ordering-left*[*OF Dm Dpm' Pm, unfolded pm'*]

*tree-ordering-right*[*OF Dm Dpm' Pm, unfolded pm'*]

**by** (*simp split: dir.splits*)

**moreover**

**define** *p'm* **where** *p'm = root (traverse-tree path' (stern-brocot-iterate-aux I))*

**then have** *Dp'm: Det p'm = 1*

**using** *stern-brocot-iterate-aux-Det*[**where** *path=path'* **and** *m=I*] **by** *simp*

**let** *?M' = case d' of L ⇒ m ⊗ LL | R ⇒ m ⊗ UR*

**from** *p'*

**have** *root (traverse-tree ds' (stern-brocot-iterate-aux ?M')) = p'm*

**by**(*simp add: stern-brocot-iterate-aux-def m-def p'm-def traverse-tree-tree-iterate split: dir.splits*)

**then obtain** *p'm'*

**where** *p'm': ?M' ⊗ p'm' = p'm* **and** *Dp'm': Det p'm' = 1*

**using** *stern-brocot-iterate-aux-decompose*[**where** *path=ds'* **and** *m=?M'*] **by** *clarsimp*

**hence** *case d' of L ⇒ rat-of (mediant p'm) < rat-of (mediant m) | R ⇒ rat-of (mediant m) < rat-of (mediant p'm)*

**using** *tree-ordering-left*[*OF Dm Dp'm' Pm, unfolded pm'*]  
*tree-ordering-right*[*OF Dm Dp'm' Pm, unfolded pm'*]  
**by** (*simp split: dir.splits*)  
**ultimately show** *False* **using** *pm' p'm' assms*  
**by**(*simp add: m-def pm-def p'm-def stern-brocot-iterate-def split: dir.splits*)  
**qed**

**lemma** *lists-not-eq*:  
**assumes** *xs ≠ ys*  
**obtains**  
    (*c1*) *strict-prefix xs ys*  
    | (*c2*) *strict-prefix ys xs*  
    | (*c3*) *ps x y xs' ys'*  
        **where** *xs = ps @ x # xs'* **and** *ys = ps @ y # ys'* **and** *x ≠ y*  
**using** *assms*  
**by** (*cases xs ys rule: prefix-cases*)  
    (*blast dest: parallel-decomp prefix-order.neq-le-trans*)+

**lemma** *stern-brocot-fractions-not-repeated*:  
**assumes** *root (traverse-tree path stern-brocot-iterate) = root (traverse-tree path' stern-brocot-iterate)*  
**shows** *path = path'*  
**proof**(*rule ccontr*)  
**assume** *path ≠ path'*  
**then show** *False* **using** *assms*  
    **by** (*cases path path' rule: lists-not-eq*)  
        (*blast intro: stern-brocot-fractions-not-repeated-strict-prefix sym stern-brocot-fractions-not-repeated-parallel*)+  
**qed**

The function *Fract* is injective under certain conditions.

**lemma** *rat-inv-eq*:  
**assumes** *Fract a b = Fract c d*  
**assumes** *b > 0*  
**assumes** *d > 0*  
**assumes** *coprime a b*  
**assumes** *coprime c d*  
**shows** *a = c ∧ b = d*  
**proof** –  
    **from**  $\langle b > 0 \rangle \langle d > 0 \rangle \langle Fract\ a\ b = Fract\ c\ d \rangle$   
    **have**  $*$ :  $a * d = c * b$  **by** (*simp add: eq-rat*)  
    **from** *arg-cong*[**where**  $f=sgn$ , *OF this*]  $\langle b > 0 \rangle \langle d > 0 \rangle$   
    **have**  $sgn\ a = sgn\ c$  **by** (*simp add: sgn-mult*)  
    **with**  $*$  **show** *?thesis*  
    **using**  $\langle b > 0 \rangle \langle d > 0 \rangle$  *coprime-crossproduct-int*[*OF*  $\langle coprime\ a\ b \rangle \langle coprime\ c\ d \rangle$ ]  
    **by** (*simp add: abs-sgn*)  
**qed**

**theorem** *stern-brocot-rationals-not-repeated*:  
**assumes** *root* (*traverse-tree path* (*pure rat-of*  $\diamond$  *stern-brocot-recurse*))  
= *root* (*traverse-tree path'* (*pure rat-of*  $\diamond$  *stern-brocot-recurse*))  
**shows** *path* = *path'*  
**using** *assms*  
**using** *stern-brocot-coprime*[**where** *path=**path*]  
*stern-brocot-coprime*[**where** *path=**path*]<sup>^</sup>  
*stern-brocot-denominator-non-zero*[**where** *path=**path*]  
*stern-brocot-denominator-non-zero*[**where** *path=**path*]<sup>^</sup>  
**by**(*auto simp: gcd-int-def dest!: rat-inv-eq intro: stern-brocot-fractions-not-repeated*  
*simp add: stern-brocot-recurse-iterate[symmetric] split: prod.splits*)

## 2.5 Equivalence of recursive and iterative version

[Hinze](#) shows that it does not matter whether we use  $I$  or  $F$  at the root provided we swap the left and right matrices too.

**definition** *stern-brocot-Hinze-iterate* :: *fraction tree*  
**where** *stern-brocot-Hinze-iterate* = *map-tree mediant* (*tree-iterate* ( $\lambda s. s \otimes UR$ )  
( $\lambda s. s \otimes LL$ )  $F$ )

**lemma** *mediant-times-F*: *mediant*  $\circ$  ( $\lambda s. s \otimes F$ ) = *mediant*  
**by**(*simp add: times-matrix-def F-def mediant-def split-def o-def add.commute*)

**lemma** *stern-brocot-iterate*: *stern-brocot* = *stern-brocot-iterate*

**proof** –

**have** *stern-brocot* = *stern-brocot-Hinze-iterate*  
**unfolding** *stern-brocot-def stern-brocot-Hinze-iterate-def*  
**by**(*subst unfold-tree-tree-iterate*)(*simp add: F-def times-matrix-def mediant-def*  
*UR-def LL-def split-def*)  
**also have**  $\dots$  = *map-tree mediant* (*map-tree* ( $\lambda s. s \otimes F$ ) (*tree-iterate* ( $\lambda s. s \otimes$   
 $LL$ ) ( $\lambda s. s \otimes UR$ )  $I$ ))  
**unfolding** *stern-brocot-Hinze-iterate-def*  
**by**(*subst tree-iterate-fusion*[**where**  $l'=\lambda s. s \otimes UR$  **and**  $r'=\lambda s. s \otimes LL$ ])  
(*simp-all add: fun-eq-iff times-matrix-def UR-def LL-def F-def I-def*)  
**also have**  $\dots$  = *stern-brocot-iterate*  
**by**(*simp only: tree.map-comp mediant-times-F stern-brocot-iterate-def stern-brocot-iterate-aux-def*)  
**finally show** *?thesis* .

**qed**

**theorem** *stern-brocot-mediante-recurse*: *stern-brocot* = *stern-brocot-recurse*  
**by**(*simp add: stern-brocot-recurse-iterate stern-brocot-iterate*)

**end**

**no-notation** *times-matrix* (**infixl**  $\langle \otimes \rangle$  70)  
**and** *times-vector* (**infixl**  $\langle \odot \rangle$  70)

## 3 Linearising the Stern-Brocot Tree

### 3.1 Turning a tree into a stream

**corec** *tree-chop* :: 'a tree  $\Rightarrow$  'a tree  
**where** *tree-chop* t = Node (root (left t)) (right t) (tree-chop (left t))

**lemma** *tree-chop-sel* [*simp*]:  
  root (tree-chop t) = root (left t)  
  left (tree-chop t) = right t  
  right (tree-chop t) = tree-chop (left t)  
**by**(subst *tree-chop.code*; *simp*; *fail*)+

*tree-chop* is a idiom homomorphism

**lemma** *tree-chop-pure-tree* [*simp*]:  
  tree-chop (pure x) = pure x  
**by**(coinduction rule: *tree.coinduct-strong*) auto

**lemma** *tree-chop-ap-tree* [*simp*]:  
  tree-chop (f  $\diamond$  x) = tree-chop f  $\diamond$  tree-chop x  
**by**(coinduction arbitrary: f x rule: *tree.coinduct-strong*) auto

**lemma** *tree-chop-plus*: tree-chop (t + t') = tree-chop t + tree-chop t'  
**by**(*simp add: plus-tree-def*)

**corec** *stream* :: 'a tree  $\Rightarrow$  'a stream  
**where** *stream* t = root t ## stream (tree-chop t)

**lemma** *stream-sel* [*simp*]:  
  shd (stream t) = root t  
  stl (stream t) = stream (tree-chop t)  
**by**(subst *stream.code*; *simp*; *fail*)+

*stream* is an idiom homomorphism.

**lemma** *stream-pure* [*simp*]: *stream* (pure x) = pure x  
**by** coinduction auto

**lemma** *stream-ap* [*simp*]: *stream* (f  $\diamond$  x) = *stream* f  $\diamond$  *stream* x  
**by**(coinduction arbitrary: f x) auto

**lemma** *stream-plus* [*simp*]: *stream* (t + t') = *stream* t + *stream* t'  
**by**(*simp add: plus-stream-def plus-tree-def*)

**lemma** *stream-minus* [*simp*]: *stream* (t - t') = *stream* t - *stream* t'  
**by**(*simp add: minus-stream-def minus-tree-def*)

**lemma** *stream-times* [*simp*]: *stream* (t \* t') = *stream* t \* *stream* t'  
**by**(*simp add: times-stream-def times-tree-def*)

**lemma** *stream-mod* [*simp*]: *stream (t mod t') = stream t mod stream t'*  
**by**(*simp add: modulo-stream-def modulo-tree-def*)

**lemma** *stream-1* [*simp*]: *stream 1 = 1*  
**by**(*simp add: one-tree-def one-stream-def*)

**lemma** *stream-numeral* [*simp*]: *stream (numeral n) = numeral n*  
**by**(*induct n*)(*simp-all only: numeral.simps stream-plus stream-1*)

### 3.2 Split the Stern-Brocot tree into numerators and denominators

**corec** *num-den* :: *bool*  $\Rightarrow$  *nat tree*

**where**

*num-den x =*

*Node 1*

*(if x then num-den True else num-den True + num-den False)*

*(if x then num-den True + num-den False else num-den False)*

**abbreviation** *num where num*  $\equiv$  *num-den True*

**abbreviation** *den where den*  $\equiv$  *num-den False*

**lemma** *num-unfold*: *num = Node 1 num (num + den)*  
**by**(*subst num-den.code; simp*)

**lemma** *den-unfold*: *den = Node 1 (num + den) den*  
**by**(*subst num-den.code; simp*)

**lemma** *num-simps* [*simp*]:

*root num = 1*

*left num = num*

*right num = num + den*

**by**(*subst num-unfold, simp*)+

**lemma** *den-simps* [*simp*]:

*root den = 1*

*left den = num + den*

*right den = den*

**by** (*subst den-unfold, simp*)+

**lemma** *stern-brocot-num-den*:

*pure-tree Pair*  $\diamond$  *num*  $\diamond$  *den = stern-brocot-recurse*

**apply**(*rule stern-brocot-recurse.unique*)

**apply**(*subst den-unfold*)

**apply**(*subst num-unfold*)

**apply**(*simp; intro conjI*)

**apply**(*applicative-lifting; simp*)+

**done**



**lemma** *den-eq-chop-num*:  $den = tree\text{-}chop\ num$   
**by**(*coinduction rule*: *tree.coinduct-strong*) *simp*

**lemma** *num-conv*:  $num = pure\ fst \diamond\ stern\text{-}brocot\text{-}recurse$   
**unfolding** *stern-brocot-num-den*[*symmetric*]  
**apply**(*simp add*: *map-tree-ap-tree-pure-tree stern-brocot-num-den*[*symmetric*])  
**apply**(*applicative-lifting*; *simp*)  
**done**

**lemma** *den-conv*:  $den = pure\ snd \diamond\ stern\text{-}brocot\text{-}recurse$   
**unfolding** *stern-brocot-num-den*[*symmetric*]  
**apply**(*simp add*: *map-tree-ap-tree-pure-tree stern-brocot-num-den*[*symmetric*])  
**apply**(*applicative-lifting*; *simp*)  
**done**

**corec** *num-mod-den* :: *nat tree*  
**where** *num-mod-den* = *Node 0 num num-mod-den*

**lemma** *num-mod-den-simps* [*simp*]:  
 $root\ num\text{-}mod\text{-}den = 0$   
 $left\ num\text{-}mod\text{-}den = num$   
 $right\ num\text{-}mod\text{-}den = num\text{-}mod\text{-}den$   
**by**(*subst num-mod-den.code*; *simp*; *fail*)**+**

The arithmetic transformations need the precondition that *den* contains only positive numbers, no *0*. Hinze (2009, p502) gets a bit sloppy here; it is not straightforward to adapt his lifting framework Hinze (2010) to conditional equations.

**lemma** *mod-tree-lemma1*:  
**fixes**  $x :: 'a :: unique\ euclidean\text{-}semiring\ tree$   
**assumes**  $\forall i \in set\text{-}tree\ y. 0 < i$   
**shows**  $x\ mod\ (x + y) = x$   
**proof** –  
**have** *rel-tree* (=)  $(x\ mod\ (x + y))\ x$  **by** *applicative-lifting*(*simp add*: *assms*)  
**thus** *?thesis* **by**(*unfold tree.rel-eq*)  
**qed**

**lemma** *mod-tree-lemma2*:  
**fixes**  $x\ y :: 'a :: unique\ euclidean\text{-}semiring\ tree$   
**shows**  $(x + y)\ mod\ y = x\ mod\ y$   
**by** *applicative-lifting simp*

**lemma** *set-tree-pathD*:  $x \in set\text{-}tree\ t \implies \exists p. x = root\ (traverse\text{-}tree\ p\ t)$   
**by**(*induct rule*: *set-tree-induct*)(*auto intro*: *exI*[**where**  $x=[]$ ] *exI*[**where**  $x=L \# p$  **for**  $p$ ] *exI*[**where**  $x=R \# p$  **for**  $p$ ])

**lemma** *den-gt-0*:  $0 < x$  **if**  $x \in set\text{-}tree\ den$   
**proof** –  
**from** *that* **obtain**  $p$  **where**  $x = root\ (traverse\text{-}tree\ p\ den)$  **by**(*blast dest*: *set-tree-pathD*)

**with** *stern-brocot-denominator-non-zero*[*of p*] **show**  $0 < x$  **by** (*simp add: den-conv split-beta*)

**qed**

**lemma** *num-mod-den*:  $num \text{ mod } den = num\text{-mod-den}$

**by** (*rule num-mod-den.unique*)(*rule tree.expand, simp add: mod-tree-lemma2 mod-tree-lemma1 den-gt-0*)

**lemma** *tree-chop-den*:  $tree\text{-chop } den = num + den - 2 * (num \text{ mod } den)$

**proof** –

**have**  $le: 0 < y \implies 2 * (x \text{ mod } y) \leq x + y$  **for**  $x \ y :: nat$

**by** (*simp add: mult-2 add-mono*)

We switch to *int* such that all cancellation laws are available.

**define** *den'* **where**  $den' = pure \text{ int } \diamond den$

**define** *num'* **where**  $num' = pure \text{ int } \diamond num$

**define** *num-mod-den'* **where**  $num\text{-mod-den}' = pure \text{ int } \diamond num\text{-mod-den}$

**have** [*simp*]:  $root \ num' = 1 \ left \ num' = num'$  **unfolding** *den'-def num'-def* **by** *simp-all*

**have** [*simp*]:  $right \ num' = num' + den'$  **unfolding** *den'-def num'-def ap-tree.sel pure-tree-simps num-simps*

**by** *applicative-lifting simp*

**have** *num-mod-den'-simps* [*simp*]:  $root \ num\text{-mod-den}' = 0 \ left \ num\text{-mod-den}' = num' \ right \ num\text{-mod-den}' = num\text{-mod-den}'$

**by** (*simp-all add: num-mod-den'-def num'-def*)

**have** *den'-eq-chop-num'*:  $den' = tree\text{-chop } num'$  **by** (*simp add: den'-def num'-def den-eq-chop-num*)

**have** *num-mod-den'2-unique*:  $\bigwedge x. x = Node \ 0 \ (2 * num') \ x \implies x = 2 * num\text{-mod-den}'$

**by** (*corec-unique*)(*rule tree.expand; simp*)

**have** *num'-plus-den'-minus-chop-den'*:  $num' + den' - tree\text{-chop } den' = 2 * num\text{-mod-den}'$

**by** (*rule num-mod-den'2-unique*)(*rule tree.expand, simp add: tree-chop-plus den'-eq-chop-num'*)

**have**  $tree\text{-chop } den = pure \ nat \diamond (tree\text{-chop } den')$

**unfolding** *den-conv tree-chop-ap-tree tree-chop-pure-tree den'-def* **by** *applicative-nf simp*

**also have**  $tree\text{-chop } den' = num' + den' - tree\text{-chop } den' + tree\text{-chop } den' - 2 * num\text{-mod-den}'$

**by** (*subst num'-plus-den'-minus-chop-den'*) *simp*

**also have**  $\dots = num' + den' - 2 * (num' \text{ mod } den')$

**unfolding** *num-mod-den'-def num'-def den'-def num-mod-den[symmetric]*

**by** *applicative-lifting(simp add: zmod-int)*

**also have** [*unfolded tree.rel-eq*]:  $rel\text{-tree } (=) \dots (pure \text{ int } \diamond (num + den - 2 * (num \text{ mod } den)))$

**unfolding** *num'-def den'-def* **by** (*applicative-lifting*)(*simp add: of-nat-diff zmod-int le den-gt-0*)

**also have**  $\text{pure nat} \diamond (\text{pure int} \diamond (\text{num} + \text{den} - 2 * (\text{num mod den}))) = \text{num} + \text{den} - 2 * (\text{num mod den})$  **by** *(applicative-nf) simp*  
**finally show** *?thesis* .  
**qed**

### 3.3 Loopless linearisation of the Stern-Brocot tree.

This is a loopless linearisation of the Stern-Brocot tree that gives Stern's diatomic sequence, which is also known as Dijkstra's fusc function [Dijkstra \(1982a,b\)](#). Loopless à la [Bird \(2006\)](#) means that the first element of the stream can be computed in linear time and every further element in constant time.

**friend-of-corec** *smap* :: ('a ⇒ 'a) ⇒ 'a stream ⇒ 'a stream  
**where** *smap f xs* = *SCons (f (shd xs)) (smap f (stl xs))*  
**subgoal by** *(rule stream.expand) simp*  
**subgoal by** *(fold relator-eq)(transfer-prover)*  
**done**

**definition** *step* :: nat × nat ⇒ nat × nat  
**where** *step* =  $(\lambda(n, d). (d, n + d - 2 * (n \text{ mod } d)))$

**corec** *stern-brocot-loopless* :: fraction stream  
**where** *stern-brocot-loopless* = (1, 1) ## *smap step stern-brocot-loopless*

**lemmas** *stern-brocot-loopless-rec* = *stern-brocot-loopless.code*

**friend-of-corec** *plus* **where**  $s + s' = (\text{shd } s + \text{shd } s') \## (\text{stl } s + \text{stl } s')$   
**subgoal by** *(rule stream.expand; simp add: plus-stream-shd plus-stream-stl)*  
**subgoal by** *transfer-prover*  
**done**

**friend-of-corec** *minus* **where**  $t - t' = (\text{shd } t - \text{shd } t') \## (\text{stl } t - \text{stl } t')$   
**subgoal by** *(rule stream.expand; simp add: minus-stream-def)*  
**subgoal by** *transfer-prover*  
**done**

**friend-of-corec** *times* **where**  $t * t' = (\text{shd } t * \text{shd } t') \## (\text{stl } t * \text{stl } t')$   
**subgoal by** *(rule stream.expand; simp add: times-stream-def)*  
**subgoal by** *transfer-prover*  
**done**

**friend-of-corec** *modulo* **where**  $t \text{ mod } t' = (\text{shd } t \text{ mod } \text{shd } t') \## (\text{stl } t \text{ mod } \text{stl } t')$   
**subgoal by** *(rule stream.expand; simp add: modulo-stream-def)*  
**subgoal by** *transfer-prover*  
**done**

**corec** *fusc'* :: nat stream  
**where** *fusc'* = 1 ##  $((1 \## \text{fusc}') + \text{fusc}') - 2 * ((1 \## \text{fusc}') \text{ mod } \text{fusc}')$

**definition** *fusc* **where**  $fusc = 1 \ \#\# \ fusc'$

**lemma** *fusc-unfold*:  $fusc = 1 \ \#\# \ fusc'$  **by**(*fact fusc-def*)

**lemma** *fusc'-unfold*:  $fusc' = 1 \ \#\# \ (fusc + fusc' - 2 * (fusc \text{ mod } fusc'))$   
**by**(*subst fusc'.code*)(*simp add: fusc-def*)

**lemma** *fusc-simps* [*simp*]:  
  *shd fusc = 1*  
  *stl fusc = fusc'*  
**by**(*simp-all add: fusc-unfold*)

**lemma** *fusc'-simps* [*simp*]:  
  *shd fusc' = 1*  
  *stl fusc' = fusc + fusc' - 2 \* (fusc mod fusc')*  
**by**(*subst fusc'-unfold, simp*)+

### 3.4 Equivalence with Dijkstra's fusc function

**lemma** *stern-brocot-loopless-siterate*:  $stern-brocot-loopless = siterate \ step \ (1, 1)$   
**by**(*rule stern-brocot-loopless.unique[symmetric]*)(*rule stream.expand; simp add: smap-siterate[symmetric]*)

**lemma** *fusc-fusc'-iterate*:  $pure \ Pair \ \diamond \ fusc \ \diamond \ fusc' = stern-brocot-loopless$   
**apply**(*rule stern-brocot-loopless.unique*)  
**apply**(*rule stream.expand; simp add: step-def*)  
**apply**(*applicative-lifting; simp*)  
**done**

**theorem** *stern-brocot-loopless*:

$stream \ stern-brocot-recurse = stern-brocot-loopless \ (is \ ?lhs = ?rhs)$   
**proof**(*rule stern-brocot-loopless.unique*)  
  **have** *eq*:  $?lhs = stream \ (pure-tree \ Pair \ \diamond \ num \ \diamond \ den)$  **by** (*simp only: stern-brocot-num-den*)  
  **have** *num*:  $stream \ num = 1 \ \#\# \ stream \ den$   
    **by** (*rule stream.expand*) (*simp add: den-eq-chop-num*)  
  **have** *den*:  $stream \ den = 1 \ \#\# \ (stream \ num + stream \ den - 2 * (stream \ num \text{ mod } stream \ den))$   
    **by** (*rule stream.expand*)(*simp add: tree-chop-den*)  
  **show**  $?lhs = (1, 1) \ \#\# \ smap \ step \ ?lhs$  **unfolding** *eq*  
    **by**(*rule stream.expand*)(*simp add: den-eq-chop-num[symmetric] tree-chop-den; applicative-lifting; simp add: step-def*)  
**qed**

**end**

## 4 The Bird tree

We define the Bird tree following [Hinze \(2009\)](#) and prove that it is a permutation of the Stern-Brocot tree. As a corollary, we derive that the Bird tree also contains all rational numbers in lowest terms exactly once.

```
theory Bird-Tree imports Stern-Brocot-Tree begin
```

```
corec bird :: fraction tree
```

```
where
```

```
  bird = Node (1, 1) (map-tree recip (map-tree succ bird)) (map-tree succ (map-tree recip bird))
```

```
lemma bird-unfold:
```

```
  bird = Node (1, 1) (pure recip  $\diamond$  (pure succ  $\diamond$  bird)) (pure succ  $\diamond$  (pure recip  $\diamond$  bird))
```

```
using bird.code unfolding map-tree-ap-tree-pure-tree[symmetric] .
```

```
lemma bird-simps [simp]:
```

```
  root bird = (1, 1)
```

```
  left bird = pure recip  $\diamond$  (pure succ  $\diamond$  bird)
```

```
  right bird = pure succ  $\diamond$  (pure recip  $\diamond$  bird)
```

```
by(subst bird-unfold, simp)+
```

```
lemma mirror-bird: mirror bird = pure recip  $\diamond$  bird (is ?lhs = ?rhs)
```

```
proof(rule sym)
```

```
  let ?F =  $\lambda$ t. Node (1, 1) (map-tree succ (map-tree recip t)) (map-tree recip (map-tree succ t))
```

```
  have *: mirror bird = ?F (mirror bird)
```

```
  by(rule tree.expand; simp add: mirror-ap-tree-mirror-pure map-tree-ap-tree-pure-tree[symmetric])
```

```
  show t = mirror bird when t = ?F t for t using that by corec-unique (fact *)
```

```
  show pure recip  $\diamond$  bird = ?F (pure recip  $\diamond$  bird)
```

```
  by(rule tree.expand; simp add: map-tree-ap-tree-pure-tree; applicative-lifting; simp add: split-beta)
```

```
qed
```

```
primcorec even-odd-mirror :: bool  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree
```

```
where
```

```
   $\bigwedge$ even. root (even-odd-mirror even t) = root t
```

```
  |  $\bigwedge$ even. left (even-odd-mirror even t) = even-odd-mirror ( $\neg$  even) (if even then right t else left t)
```

```
  |  $\bigwedge$ even. right (even-odd-mirror even t) = even-odd-mirror ( $\neg$  even) (if even then left t else right t)
```

```
definition even-mirror :: 'a tree  $\Rightarrow$  'a tree
```

```
where even-mirror = even-odd-mirror True
```

```
definition odd-mirror :: 'a tree  $\Rightarrow$  'a tree
```

```
where odd-mirror = even-odd-mirror False
```

**lemma** *even-mirror-simps* [simp]:

*root* (*even-mirror* *t*) = *root* *t*  
*left* (*even-mirror* *t*) = *odd-mirror* (*right* *t*)  
*right* (*even-mirror* *t*) = *odd-mirror* (*left* *t*)

**and** *odd-mirror-simps* [simp]:

*root* (*odd-mirror* *t*) = *root* *t*  
*left* (*odd-mirror* *t*) = *even-mirror* (*left* *t*)  
*right* (*odd-mirror* *t*) = *even-mirror* (*right* *t*)

**by**(*simp-all add: even-mirror-def odd-mirror-def*)

**lemma** *even-odd-mirror-pure* [simp]: **fixes** *even* **shows**

*even-odd-mirror even* (*pure-tree* *x*) = *pure-tree* *x*

**by**(*coinduction arbitrary: even*) *auto*

**lemma** *even-odd-mirror-ap-tree* [simp]: **fixes** *even* **shows**

*even-odd-mirror even* (*f*  $\diamond$  *x*) = *even-odd-mirror even* *f*  $\diamond$  *even-odd-mirror even* *x*

**by**(*coinduction arbitrary: even f x*) *auto*

**lemma** [simp]:

**shows** *even-mirror-pure: even-mirror* (*pure-tree* *x*) = *pure-tree* *x*

**and** *odd-mirror-pure: odd-mirror* (*pure-tree* *x*) = *pure-tree* *x*

**by**(*simp-all add: even-mirror-def odd-mirror-def*)

**lemma** [simp]:

**shows** *even-mirror-ap-tree: even-mirror* (*f*  $\diamond$  *x*) = *even-mirror* *f*  $\diamond$  *even-mirror* *x*

**and** *odd-mirror-ap-tree: odd-mirror* (*f*  $\diamond$  *x*) = *odd-mirror* *f*  $\diamond$  *odd-mirror* *x*

**by**(*simp-all add: even-mirror-def odd-mirror-def*)

**fun** *even-mirror-path* :: *path*  $\Rightarrow$  *path*

**and** *odd-mirror-path* :: *path*  $\Rightarrow$  *path*

**where**

*even-mirror-path* [] = []

| *even-mirror-path* (*d* # *ds*) = (*case* *d* of *L*  $\Rightarrow$  *R* | *R*  $\Rightarrow$  *L*) # *odd-mirror-path* *ds*

| *odd-mirror-path* [] = []

| *odd-mirror-path* (*d* # *ds*) = *d* # *even-mirror-path* *ds*

**lemma** *even-mirror-traverse-tree* [simp]:

*root* (*traverse-tree path* (*even-mirror* *t*)) = *root* (*traverse-tree* (*even-mirror-path* *path*) *t*)

**and** *odd-mirror-traverse-tree* [simp]:

*root* (*traverse-tree path* (*odd-mirror* *t*)) = *root* (*traverse-tree* (*odd-mirror-path* *path*) *t*)

**by** (*induct path arbitrary: t*) (*simp-all split: dir.splits*)

**lemma** *even-odd-mirror-path-involution* [simp]:

*even-mirror-path* (*even-mirror-path* *path*) = *path*

*odd-mirror-path* (*odd-mirror-path* *path*) = *path*

**by** (*induct path*) (*simp-all split: dir.splits*)

**lemma** *even-odd-mirror-path-injective* [*simp*]:  
*even-mirror-path*  $path = \text{even-mirror-path } path' \longleftrightarrow path = path'$   
*odd-mirror-path*  $path = \text{odd-mirror-path } path' \longleftrightarrow path = path'$   
**by** (*induct path arbitrary: path'*) (*case-tac path'*, *simp-all split: dir.splits*)+

**lemma** *odd-mirror-bird-stern-brocot*:  
*odd-mirror bird = stern-brocot-recurse*  
**proof** –  
**let** *?rsrs* = *map-tree (recip ∘ succ ∘ recip ∘ succ)*  
**let** *?rskr* = *map-tree (recip ∘ succ ∘ succ ∘ recip)*  
**let** *?srrs* = *map-tree (succ ∘ recip ∘ recip ∘ succ)*  
**let** *?srsr* = *map-tree (succ ∘ recip ∘ succ ∘ recip)*  
**let** *?R* =  $\lambda t. \text{Node } (1, 1) (\text{Node } (1, 2) (?rskr\ t) (?rsrs\ t)) (\text{Node } (2, 1) (?srsr\ t) (?srrs\ t))$   
  
**have** \*: *stern-brocot-recurse = ?R stern-brocot-recurse*  
**by**(*rule tree.expand; simp; intro conjI; rule tree.expand; simp; intro conjI*) —  
Expand the tree twice  
(*applicative-lifting, simp add: split-beta*)+  
**show**  $f = \text{stern-brocot-recurse}$  **when**  $f = ?R\ f$  **for**  $f$  **using** *that* \* **by** *corec-unique*  
**show** *odd-mirror bird = ?R (odd-mirror bird)*  
**by**(*rule tree.expand; simp; intro conjI; rule tree.expand; simp; intro conjI*) —  
Expand the tree twice  
(*applicative-lifting; simp*)+  
**qed**

**theorem** *bird-rationals*:  
**assumes**  $m > 0\ n > 0$   
**shows**  $\text{root } (\text{traverse-tree } (\text{odd-mirror-path } (\text{mk-path } m\ n)) (\text{pure rat-of } \diamond\ \text{bird}))$   
 $= \text{Fract } (\text{int } m) (\text{int } n)$   
**using** *stern-brocot-rationals[OF assms]*  
**by** (*simp add: odd-mirror-bird-stern-brocot[symmetric]*)

**theorem** *bird-rationals-not-repeated*:  
 $\text{root } (\text{traverse-tree } \text{path } (\text{pure rat-of } \diamond\ \text{bird})) = \text{root } (\text{traverse-tree } \text{path}' (\text{pure rat-of } \diamond\ \text{bird}))$   
 $\implies \text{path} = \text{path}'$   
**using** *stern-brocot-rationals-not-repeated[where path=odd-mirror-path path and path'=odd-mirror-path path']*  
**by** (*simp add: odd-mirror-bird-stern-brocot[symmetric]*)

**end**

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