

# **Stateful Protocol Composition and Typing**

Andreas V. Hess\*      Sebastian Mödersheim\*      Achim D. Brucker<sup>†</sup>

March 19, 2025

\*DTU Compute, Technical University of Denmark, Lyngby, Denmark  
`{avhe, samo}@dtu.dk`

<sup>†</sup> Department of Computer Science, University of Exeter, Exeter, UK  
`a.brucker@exeter.ac.uk`



## Abstract

We provide in this AFP entry several relative soundness results for security protocols. In particular, we prove typing and compositionality results for stateful protocols (i.e., protocols with mutable state that may span several sessions), and that focuses on reachability properties. Such results are useful to simplify protocol verification by reducing it to a simpler problem: Typing results give conditions under which it is safe to verify a protocol in a typed model where only “well-typed” attacks can occur whereas compositionality results allow us to verify a composed protocol by only verifying the component protocols in isolation. The conditions on the protocols under which the results hold are furthermore syntactic in nature allowing for full automation. The foundation presented here is used in another entry to provide fully automated and formalized security proofs of stateful protocols.

**Keywords:** Security protocols, stateful protocols, relative soundness results, proof assistants, Isabelle/HOL, compositionality



# Contents

|          |   |            |
|----------|---|------------|
| <b>1</b> | <b>Introduction</b>   | <b>7</b>   |
| <b>2</b> | <b>Preliminaries and Intruder Model</b>                                       | <b>9</b>   |
| 2.1      | Miscellaneous Lemmata . . . . .   | 9          |
| 2.2      | Protocol Messages as (First-Order) Terms . . . . .                            | 21         |
| 2.3      | Definitions and Properties Related to Substitutions and Unification . . . . . | 29         |
| 2.4      | Dolev-Yao Intruder Model . . . . .  | 83         |
| <b>3</b> | <b>The Typing Result for Non-Stateful Protocols</b>                           | <b>103</b> |
| 3.1      | Strands and Symbolic Intruder Constraints . . . . .                           | 103        |
| 3.2      | The Lazy Intruder . . . . .   | 149        |
| 3.3      | The Typed Model . . . . .   | 165        |
| 3.4      | The Typing Result . . . . .   | 200        |
| <b>4</b> | <b>The Typing Result for Stateful Protocols</b>                               | <b>259</b> |
| 4.1      | Stateful Strands . . . . .  | 259        |
| 4.2      | Extending the Typing Result to Stateful Constraints . . . . .                 | 303        |
| <b>5</b> | <b>The Parallel Composition Result for Non-Stateful Protocols</b>             | <b>337</b> |
| 5.1      | Labeled Strands . . . . .   | 337        |
| 5.2      | Parallel Compositionality of Security Protocols . . . . .                     | 342        |
| <b>6</b> | <b>The Stateful Protocol Composition Result</b>                               | <b>361</b> |
| 6.1      | Labeled Stateful Strands . . . . .  | 361        |
| 6.2      | Stateful Protocol Compositionality . . . . .                                  | 378        |
| <b>7</b> | <b>Examples</b>   | <b>439</b> |
| 7.1      | Proving Type-Flaw Resistance of the TLS Handshake Protocol . . . . .          | 439        |
| 7.2      | The Keyserver Example . . . . .   | 443        |



# 1 Introduction

The rest of this document is automatically generated from the formalization in Isabelle/HOL, i.e., all content is checked by Isabelle. The formalization presented in this entry is described in more detail in several publications:

- The typing result (section 3.4 “Typing\\_Result”) for stateless protocols, the TLS formalization (section 7.1 “Example\\_TLS”), and the theories depending on those (see Figure 1.1) are described in [2] and [1, chapter 3].
- The typing result for stateful protocols (section 4.2 “Stateful\\_Typing”) and the keyserver example (section 7.2 “Example\\_Keyserver”) are described in [3] and [1, chapter 4].
- The results on parallel composition for stateless protocols (section 5.2 “Parallel\\_Compositionality”) and stateful protocols (section 6.2 “Stateful\\_Compositionality”) are described in [4, 5] and [1, chapter 5].

Overall, the structure of this document follows the theory dependencies (see Figure 1.1): we start with introducing the technical preliminaries of our formalization (chapter 2). Next, we introduce the typing results in chapter 3 and chapter 4. We introduce our compositionality results in chapter 5 and chapter 6. Finally, we present two example protocols chapter 7.

**Acknowledgments** This work was supported by the Sapere-Aude project “Composec: Secure Composition of Distributed Systems”, grant 4184-00334B of the Danish Council for Independent Research.

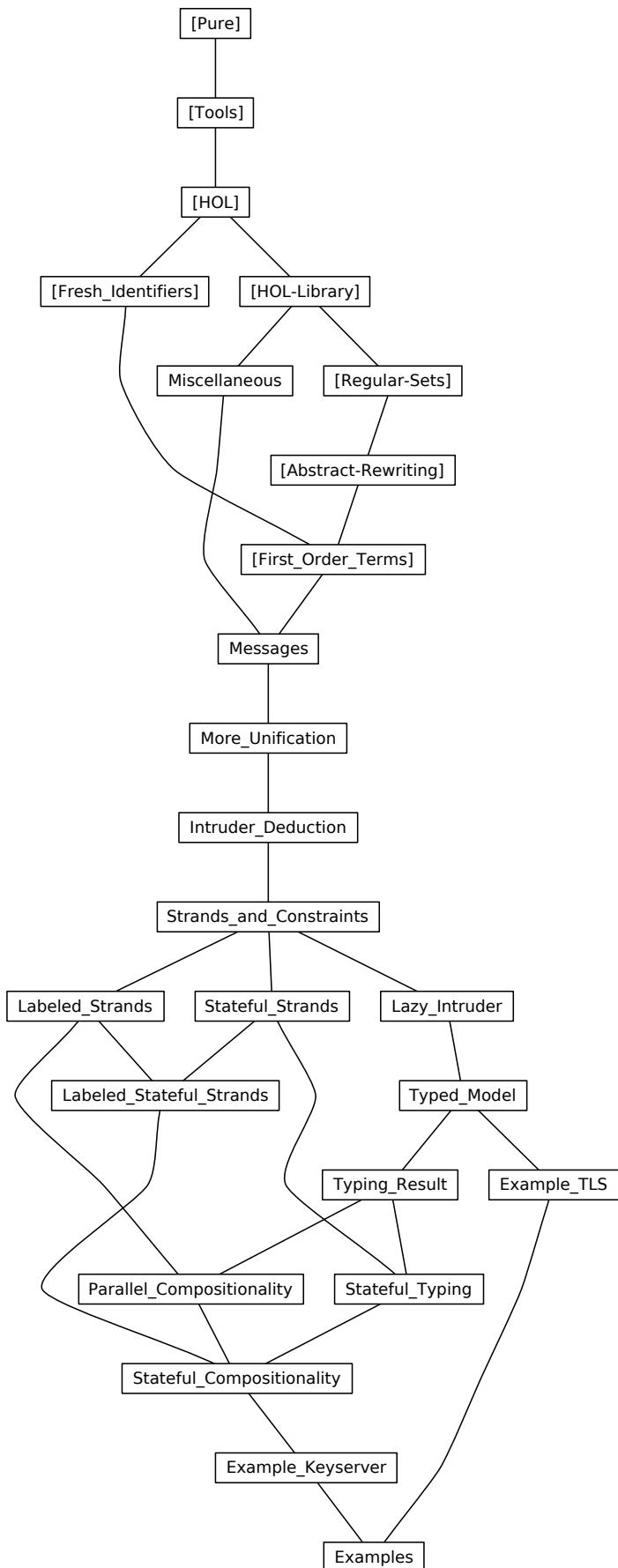


Figure 1.1: The Dependency Graph of the Isabelle Theories.

## 2 Preliminaries and Intruder Model

In this chapter, we introduce the formal preliminaries, including the intruder model and related lemmata.

### 2.1 Miscellaneous Lemmata

```
theory Miscellaneous
imports Main "HOL-Library.Sublist" "HOL-Library.Infinite_Set" "HOL-Library.While_Combinator"
begin

2.1.1 List: zip, filter, map

lemma zip_arg_subterm_split:
assumes "(x,y) ∈ set (zip xs ys)"
obtains xs' xs'' ys' ys'' where "xs = xs'@x#xs''''" "ys = ys'@y#ys''''" "length xs' = length ys''"
proof -
from assms have "∃ zs zs' vs vs'. xs = zs@x#zs' ∧ ys = vs@y#vs' ∧ length zs = length vs"
proof (induction ys arbitrary: xs)
case (Cons y' ys' xs)
then obtain x' xs' where x': "xs = x'#xs'"
by (metis empty_iff list.exhaust list.set(1) set_zip_leftD)
show ?case
by (cases "(x, y) ∈ set (zip xs' ys')",
metis <xs = x'#xs'> Cons.IH[of xs'] Cons_eq_appendI list.size(4),
use Cons.prems x' in fastforce)
qed simp
thus ?thesis using that by blast
qed

lemma zip_arg_index:
assumes "(x,y) ∈ set (zip xs ys)"
obtains i where "xs ! i = x" "ys ! i = y" "i < length xs" "i < length ys"
proof -
obtain xs1 xs2 ys1 ys2 where "xs = xs1@x#xs2" "ys = ys1@y#ys2" "length xs1 = length ys1"
using zip_arg_subterm_split[OF assms] by blast
thus ?thesis using nth_append_length[of xs1 x xs2] nth_append_length[of ys1 y ys2] that by simp
qed

lemma in_set_zip_swap: "(x,y) ∈ set (zip xs ys) ↔ (y,x) ∈ set (zip ys xs)"
unfolding in_set_zip by auto

lemma filter_nth: "i < length (filter P xs) ⟹ P (filter P xs ! i)"
using nth_mem by force

lemma list_all_filter_eq: "list_all P xs ⟹ filter P xs = xs"
by (metis list_all_iff filter_True)

lemma list_all_filter_nil:
assumes "list_all P xs"
and "¬ ∃ x. P x"
shows "filter Q xs = []"
using assms by (induct xs) simp_all

lemma list_all_concat: "list_all (list_all f) P ⟷ list_all f (concat P)"
by (induct P) auto

lemma list_all2_in_set_ex:
```

```

assumes P: "list_all2 P xs ys"
and x: "x ∈ set xs"
shows "∃ y ∈ set ys. P x y"
proof -
obtain i where i: "i < length xs" "xs ! i = x" by (meson x in_set_conv_nth)
have "i < length ys" "P (xs ! i) (ys ! i)"
using P i(1) by (simp_all add: list_all2_iff list_all2_nthD)
thus ?thesis using i(2) by auto
qed

lemma list_all2_in_set_ex':
assumes P: "list_all2 P xs ys"
and y: "y ∈ set ys"
shows "∃ x ∈ set xs. P x y"
proof -
obtain i where i: "i < length ys" "ys ! i = y" by (meson y in_set_conv_nth)
have "i < length xs" "P (xs ! i) (ys ! i)"
using P i(1) by (simp_all add: list_all2_iff list_all2_nthD)
thus ?thesis using i(2) by auto
qed

lemma list_all2_sym:
assumes "¬ ∃ x y. P x y ⟹ P y x"
and "list_all2 P xs ys"
shows "list_all2 P ys xs"
using assms(2) by (induct rule: list_all2_induct) (simp_all add: assms(1))

lemma map_upd_index_eq:
assumes "j < length xs"
shows "(map (λ i. xs ! is i) [0..

```

```

lemma map_append_inv: "map f xs = ys@zs ==> ∃ vs ws. xs = vs@ws ∧ map f vs = ys ∧ map f ws = zs"
proof (induction xs arbitrary: ys zs)
  case (Cons x xs')
    note prems = Cons.prems
    note IH = Cons.IH

  show ?case
  proof (cases ys)
    case (Cons y ys')
      then obtain vs' ws where *: "xs' = vs'@ws" "map f vs' = ys'" "map f ws = zs"
        using prems IH[of ys' zs] by auto
      hence "x#xs' = (x#vs')@ws" "map f (x#vs') = y#ys'" using Cons.prems by force+
      thus ?thesis by (metis Cons.*(3))
    qed (use prems in simp)
  qed simp

lemma map2_those_Some_case:
  assumes "those (map2 f xs ys) = Some zs"
  and "(x,y) ∈ set (zip xs ys)"
  shows "∃ z. f x y = Some z"
  using assms
proof (induction xs arbitrary: ys zs)
  case (Cons x' xs')
    obtain y' ys' where ys: "ys = y'#ys'" using Cons.prems(2) by (cases ys) simp_all
    obtain z where z: "f x' y' = Some z" using Cons.prems(1) ys by fastforce
    obtain zs' where zs: "those (map2 f xs' ys') = Some zs'" using z Cons.prems(1) ys by auto

    show ?case
    proof (cases "(x,y) = (x',y')")
      case False
        hence "(x,y) ∈ set (zip xs' ys')" using Cons.prems(2) unfolding ys by force
        thus ?thesis using Cons.IH[OF zs] by blast
      qed (use ys z in fast)
    qed simp
  qed simp

lemma those_Some_Cons_ex:
  assumes "those (x#xs) = Some ys"
  shows "∃ y ys'. ys = y#ys' ∧ those xs = Some ys' ∧ x = Some y"
  using assms by (cases x) auto

lemma those_Some_iff:
  "those xs = Some ys <=> (∀ x' ∈ set xs. ∃ x. x' = Some x) ∧ ys = map the xs)"
  (is "?A xs ys <=> ?B xs ys")
proof
  show "?A xs ys ==> ?B xs ys"
  proof (induction xs arbitrary: ys)
    case (Cons x' xs')
      obtain y' ys' where ys: "ys = y'#ys'" "those xs' = Some ys'" and x: "x' = Some y'"
        using Cons.prems those_Some_Cons_ex by blast
      show ?case using Cons.IH[OF ys(2)] unfolding x ys by simp
    qed simp

    show "?B xs ys ==> ?A xs ys"
    by (induct xs arbitrary: ys) (simp, fastforce)
  qed
  lemma those_map2_SomeD:
    assumes "those (map2 f ts ss) = Some ϑ"
    and "σ ∈ set ϑ"
    shows "∃ (t,s) ∈ set (zip ts ss). f t s = Some σ"
    using those_Some_iff[of "map2 f ts ss" ϑ] assms by fastforce

```

```

lemma those_map2_SomeI:
  assumes "\i. i < length xs ==> f (xs ! i) (ys ! i) = Some (g i)"
  and "length xs = length ys"
  shows "those (map2 f xs ys) = Some (map g [0..<length xs])"
proof -
  have "\z \in set (map2 f xs ys). \z'. z = Some z''"
  proof
    fix z assume z: "z \in set (map2 f xs ys)"
    then obtain i where i: "i < length xs" "i < length ys" "z = f (xs ! i) (ys ! i)"
      using in_set_conv_nth[of z "map2 f xs ys"] by auto
    thus "\z'. z = Some z''"
      using assms(1) by blast
  qed
  moreover have "map Some (map g [0..<length xs]) = map (\i. f (xs ! i) (ys ! i)) [0..<length xs]"
    using assms by auto
  hence "map Some (map g [0..<length xs]) = map2 f xs ys"
    using assms by (smt (verit) map2_map_map map_eq_conv map_nth)
  hence "map (the o Some) (map g [0..<length xs]) = map the (map2 f xs ys)"
    by (metis map_map)
  hence "map g [0..<length xs] = map the (map2 f xs ys)"
    by simp
  ultimately show ?thesis using those_Some_iff by blast
qed

```

### 2.1.2 List: subsequences

```

lemma subseqs_set_subset:
  assumes "ys \in set (subseqs xs)"
  shows "set ys \subseteq set xs"
using assms subseqs_powset[of xs] by auto

lemma subset_sublist_exists:
  "ys \subseteq set xs ==> \zs. set zs = ys \wedge zs \in set (subseqs xs)"
proof (induction xs arbitrary: ys)
  case Cons thus ?case by (metis (no_types, lifting) Pow_iff imageE subseqs_powset)
qed simp

lemma map_subseqs: "map (map f) (subseqs xs) = subseqs (map f xs)"
proof (induct xs)
  case (Cons x xs)
  have "map (Cons (f x)) (map (map f) (subseqs xs)) = map (map f) (map (Cons x) (subseqs xs))"
    by (induct "subseqs xs") auto
  thus ?case by (simp add: Let_def Cons)
qed simp

lemma subseqs_Cons:
  assumes "ys \in set (subseqs xs)"
  shows "ys \in set (subseqs (x#xs))"
by (metis assms Un_iff set_append subseqs.simps(2))

lemma subseqs_subset:
  assumes "ys \in set (subseqs xs)"
  shows "set ys \subseteq set xs"
using assms by (metis Pow_iff image_eqI subseqs_powset)

```

### 2.1.3 List: prefixes, suffixes

```

lemma suffix_Cons': "suffix [x] (y#ys) ==> suffix [x] ys \vee (y = x \wedge ys = [])"
using suffix_Cons[of "[x]"] by auto

lemma prefix_Cons': "prefix (x#xs) (x#ys) ==> prefix xs ys"
by simp

```

```

lemma prefix_map: "prefix xs (map f ys) ==> ∃zs. prefix zs ys ∧ map f zs = xs"
using map_append_inv unfolding prefix_def by fast

lemma concat_mono_prefix: "prefix xs ys ==> prefix (concat xs) (concat ys)"
unfolding prefix_def by fastforce

lemma concat_map_mono_prefix: "prefix xs ys ==> prefix (concat (map f xs)) (concat (map f ys))"
by (rule map_mono_prefix[THEN concat_mono_prefix])

lemma length_prefix_ex:
assumes "n ≤ length xs"
shows "∃ys zs. xs = ys@zs ∧ length ys = n"
using assms
proof (induction n)
case (Suc n)
then obtain ys zs where IH: "xs = ys@zs" "length ys = n" by atomize_elim auto
hence "length zs > 0" using Suc.prems(1) by auto
then obtain v vs where v: "zs = v#vs" by (metis Suc_length_conv gr0_conv_Suc)
hence "length (ys@[v]) = Suc n" using IH(2) by simp
thus ?case using IH(1) v by (metis append_assoc append_Cons append_Nil)
qed simp

lemma length_prefix_ex':
assumes "n < length xs"
shows "∃ys zs. xs = ys@zs ! n#zs ∧ length ys = n"
proof -
obtain ys zs where xs: "xs = ys@zs" "length ys = n" using assms length_prefix_ex[of n xs] by
atomize_elim auto
hence "length zs > 0" using assms by auto
then obtain v vs where v: "zs = v#vs" by (metis Suc_length_conv gr0_conv_Suc)
hence "(ys@zs) ! n = v" using xs by auto
thus ?thesis using v xs by auto
qed

lemma length_prefix_ex2:
assumes "i < length xs" "j < length xs" "i < j"
shows "∃ys zs vs. xs = ys@xs ! i#zs@xs ! j#vs ∧ length ys = i ∧ length zs = j - i - 1"
proof -
obtain xs0 vs where xs0: "xs = xs0@xs ! j#vs" "length xs0 = j"
using length_prefix_ex'[OF assms(2)] by blast
then obtain ys zs where "xs0 = ys@xs ! i#zs" "length ys = i"
by (metis assms(3) length_prefix_ex' nth_append[of _ _ i])
thus ?thesis using xs0 by force
qed

lemma prefix_prefix_inv:
assumes xs: "prefix xs (ys@zs)"
and x: "suffix [x] xs"
shows "prefix xs ys ∨ x ∈ set zs"
proof -
have "prefix xs ys" when "x ∉ set zs" using that xs
proof (induction zs rule: rev_induct)
case (snoc z zs) show ?case
proof (rule snoc.IH)
have "x ≠ z" using snoc.prems(1) by simp
thus "prefix xs (ys@zs)"
using snoc.prems(2) x by (metis append1_eq_conv append_assoc prefix_snoc suffixE)
qed (use snoc.prems(1) in simp)
qed simp
thus ?thesis by blast
qed

lemma prefix_snoc_obtain:

```

```

assumes xs: "prefix (xs@[x]) (ys@zs)"
  and ys: "\neg prefix (xs@[x]) ys"
obtains vs where "xs@[x] = ys@vs@[x]" "prefix (vs@[x]) zs"
proof -
  have "\exists vs. xs@[x] = ys@vs@[x] \wedge prefix (vs@[x]) zs" using xs
  proof (induction zs rule: List.rev_induct)
    case (snoc z zs)
    show ?case
    proof (cases "xs@[x] = ys@zs@[z]")
      case False
      hence "prefix (xs@[x]) (ys@zs)" using snoc.prems by (metis append_assoc prefix_snoc)
      thus ?thesis using snoc.IH by auto
    qed simp
  qed (simp add: ys)
  thus ?thesis using that by blast
qed

```

lemma *prefix\_snoc\_in\_iff*: " $x \in \text{set } xs \longleftrightarrow (\exists B. \text{prefix}(B@[x]) xs)$ "  
by (induct xs rule: List.rev\_induct) auto

### 2.1.4 List: products

```

lemma product_lists_Cons:
  "x#xs \in \text{set} (\text{product\_lists} (y#ys)) \longleftrightarrow (xs \in \text{set} (\text{product\_lists} ys) \wedge x \in \text{set} y)"
by auto

lemma product_lists_in_set_nth:
  assumes "xs \in \text{set} (\text{product\_lists} ys)"
  shows "\forall i < \text{length } ys. xs ! i \in \text{set} (ys ! i)"
proof -
  have 0: "\text{length } ys = \text{length } xs" using assms(1) by (simp add: in_set_product_lists_length)
  thus ?thesis using assms
  proof (induction ys arbitrary: xs)
    case (Cons y ys)
    obtain x xs' where xs: "xs = x#xs'" using Cons.prems(1) by (metis length_Suc_conv)
    hence "xs' \in \text{set} (\text{product\_lists} ys) \implies \forall i < \text{length } ys. xs' ! i \in \text{set} (ys ! i)"
      "length ys = length xs" "x#xs' \in \text{set} (\text{product\_lists} (y#ys))"
      using Cons by simp_all
    thus ?case using xs product_lists_Cons[of x xs' y ys] by (simp add: nth_Cons')
  qed simp
qed

lemma product_lists_in_set_nth':
  assumes "\forall i < \text{length } xs. ys ! i \in \text{set} (xs ! i)"
  and "length xs = length ys"
  shows "ys \in \text{set} (\text{product\_lists} xs)"
using assms
proof (induction xs arbitrary: ys)
  case (Cons x xs)
  obtain y ys' where ys: "ys = y#ys'" using Cons.prems(2) by (metis length_Suc_conv)
  hence "ys' \in \text{set} (\text{product\_lists} xs)" "y \in \text{set } x" "length xs = length ys'"
    using Cons by fastforce+
  thus ?case using ys product_lists_Cons[of y ys' x xs] by (simp add: nth_Cons')
qed simp

```

### 2.1.5 Other Lemmata

```

lemma finite_ballI:
  "\forall l \in \{\}. P l" "P x \implies \forall l \in xs. P l \implies \forall l \in \text{insert } x xs. P l"
by (blast, blast)

lemma list_set_ballI:
  "\forall l \in \text{set } []. P l" "P x \implies \forall l \in \text{set } xs. P l \implies \forall l \in \text{set } (x#xs). P l"

```

```

by (simp, simp)

lemma inv_set_fset: "finite M ==> set (inv set M) = M"
unfolding inv_def by (metis (mono_tags) finite_list someI_ex)

lemma lfp_eqI':
assumes "mono f"
and "f C = C"
and "\forall X \in Pow C. f X = X --> X = C"
shows "lfp f = C"
by (metis PowI assms lfp_lowerbound lfp_unfold subset_refl)

lemma lfp_while':
fixes f::"'a set \Rightarrow 'a set" and M::"'a set"
defines "N \equiv while (\lambda A. f A \neq A) f {}"
assumes f_mono: "mono f"
and N_finite: "finite N"
and N_supset: "f N \subseteq N"
shows "lfp f = N"
proof -
have *: "f X \subseteq N" when "X \subseteq N" for X using N_supset monoD[OF f_mono that] by blast
show ?thesis
using lfp_while[OF f_mono * N_finite]
by (simp add: N_def)
qed

lemma lfp_while'':
fixes f::"'a set \Rightarrow 'a set" and M::"'a set"
defines "N \equiv while (\lambda A. f A \neq A) f {}"
assumes f_mono: "mono f"
and lfp_finite: "finite (lfp f)"
shows "lfp f = N"
proof -
have *: "f X \subseteq lfp f" when "X \subseteq lfp f" for X
using lfp_fixpoint[OF f_mono] monoD[OF f_mono that]
by blast
show ?thesis
using lfp_while[OF f_mono * lfp_finite]
by (simp add: N_def)
qed

lemma preordered_finite_set_has_maxima:
assumes "finite A" "A \neq {}"
shows "\exists a::a::preorder \in A. \forall b \in A. \neg(a < b)"
using assms
proof (induction A rule: finite_induct)
case (insert a A) thus ?case
by (cases "A = {}", simp, metis insert_iff order_trans less_le_not_le)
qed simp

lemma partition_index_bij:
fixes n::nat
obtains I k where
"bij_betw I {0..

```

```

have k1: "k ≤ n" by (metis defs(1,3) diff_le_self dual_order.trans length_filter_le length_up)
have "i < k ==> P (A ! i)" for i by (metis defs(1,3) filter_nth)
hence k2: "i < k ==> P ((A@B) ! i)" for i by (simp add: defs nth_append)

have "i < length B ==> ¬(P (B ! i))" for i by (metis defs(2) filter_nth)
hence "i < length B ==> ¬(P ((A@B) ! (k + i)))" for i using k_def by simp
hence "k ≤ i ∧ i < k + length B ==> ¬(P ((A@B) ! i))" for i
  by (metis add.commute add_less_imp_less_right le_add_diff_inverse2)
hence k3: "k ≤ i ∧ i < n ==> ¬(P ((A@B) ! i))" for i by (simp add: defs sum_length_filter_compl)

have *: "length (A@B) = n" "set (A@B) = {0..n}" "distinct (A@B)"
  by (metis defs(1,2) diff_zero length_append length_up sum_length_filter_compl)
  (auto simp add: defs)

have I: "bij_betw I {0..n} {0..n}"
proof (intro bij_betwI)
  fix x y show "x ∈ {0..n} ==> y ∈ {0..n} ==> (I x = I y) = (x = y)"
    by (metis *(1,3) defs(4) nth_eq_iff_index_eq atLeastLessThan_iff)
next
  fix x show "x ∈ {0..n} ==> I x ∈ {0..n}"
    by (metis *(1,2) defs(4) atLeastLessThan_iff nth_mem)
next
  fix y show "y ∈ {0..n} ==> ∃x ∈ {0..n}. y = I x"
    by (metis * defs(4) atLeast0LessThan distinct_Ex1 lessThan_iff)
qed

show ?thesis using k1 k2 k3 I that by (simp add: defs)
qed

lemma finite_lists_length_eq':
  assumes "¬(set xs = {})" "finite xs"
  shows "finite {ys. length xs = length ys ∧ (∀y ∈ set ys. ∃x ∈ set xs. P x y)}"
proof -
  define Q where "Q ≡ λys. ∀y ∈ set ys. ∃x ∈ set xs. P x y"
  define M where "M ≡ {y. ∃x ∈ set xs. P x y}"

  have O: "finite M" using assms unfolding M_def by fastforce

  have "Q ys ↔ set ys ⊆ M"
    "(Q ys ∧ length ys = length xs) ↔ (length xs = length ys ∧ Q ys)"
    for ys
    unfolding Q_def M_def by auto
  thus ?thesis
    using finite_lists_length_eq[OF O, of "length xs"]
    unfolding Q_def by presburger
qed

lemma trancl_eqI:
  assumes "¬(A = {})" "¬(A = A+)"
  shows "A = A+"
proof
  show "A+ ⊆ A"
  proof
    fix x assume x: "x ∈ A+"
    then obtain a b where ab: "x = (a,b)" by (metis surj_pair)
    hence "(a,b) ∈ A+" using x by metis
    hence "(a,b) ∈ A" using assms by (induct rule: trancl_induct) auto
    thus "x ∈ A" using ab by metis
  qed
  qed auto

```

```

lemma trancI_eqI':
  assumes "∀(a,b) ∈ A. ∀(c,d) ∈ A. b = c ∧ a ≠ d → (a,d) ∈ A"
  and   "∀(a,b) ∈ A. a ≠ b"
  shows "A = {(a,b) ∈ A+. a ≠ b}"
proof
  show "{(a,b) ∈ A+. a ≠ b} ⊆ A"
  proof
    fix x assume x: "x ∈ {(a,b) ∈ A+. a ≠ b}"
    then obtain a b where ab: "x = (a,b)" by (metis surj_pair)
    hence "(a,b) ∈ A+" "a ≠ b" using x by blast+
    hence "(a,b) ∈ A"
    proof (induction rule: trancI_induct)
      case base thus ?case by blast
    next
      case step thus ?case using assms(1) by force
    qed
    thus "x ∈ A" using ab by metis
  qed
qed (use assms(2) in auto)

lemma distinct_concat_idx_disjoint:
  assumes xs: "distinct (concat xs)"
  and ij: "i < length xs" "j < length xs" "i < j"
  shows "set (xs ! i) ∩ set (xs ! j) = {}"
proof -
  obtain ys zs vs where ys: "xs = ys@xs ! i#zs@xs ! j#vs" "length ys = i" "length zs = j - i - 1"
    using length_prefix_ex2[OF ij] by atomize_elim auto
  thus ?thesis
    using xs concat_append[of "ys@xs ! i#zs" "xs ! j#vs"]
      distinct_append[of "concat (ys@xs ! i#zs)" "concat (xs ! j#vs)"]
    by auto
qed

lemma remdups_ex2:
  "length (remdups xs) > 1 ⇒ ∃a ∈ set xs. ∃b ∈ set xs. a ≠ b"
by (metis distinct_Ex1 distinct_remdups less_trans nth_mem set_remdups zero_less_one zero_neq_one)

lemma trancI_minus_refl_idem:
  defines "cl ≡ λts. {(a,b) ∈ ts+. a ≠ b}"
  shows "cl (cl ts) = cl ts"
proof -
  have 0: "(ts+)+ = ts+" "cl ts ⊆ ts+" "(cl ts)+ ⊆ (ts+)+" unfolding cl_def by auto
  proof -
    show "(ts+)+ = ts+" "cl ts ⊆ ts+" unfolding cl_def by auto
    thus "(cl ts)+ ⊆ (ts+)+" using trancI_mono[of _ "cl ts" "ts+"] by blast
  qed
  have 1: "t ∈ cl (cl ts)" when t: "t ∈ cl ts" for t
    using t 0 unfolding cl_def by fast
  have 2: "t ∈ cl ts" when t: "t ∈ cl (cl ts)" for t
  proof -
    obtain a b where ab: "t = (a,b)" by (metis surj_pair)
    have "t ∈ (cl ts)+" and a_neq_b: "a ≠ b" using t unfolding cl_def ab by force+
    hence "t ∈ ts+" using 0 by blast
    thus ?thesis using a_neq_b unfolding cl_def ab by blast
  qed
  show ?thesis using 1 2 by blast
qed

lemma ex_list_obtain:
  assumes ts: "∀t. t ∈ set ts ⇒ ∃s. P t s"

```

## 2 Preliminaries and Intruder Model

```

obtains ss where "length ss = length ts" " $\forall i < \text{length } ss. P (ts ! i) (ss ! i)"$ 
proof -
  have " $\exists ss. \text{length } ss = \text{length } ts \wedge (\forall i < \text{length } ss. P (ts ! i) (ss ! i))$ " using ts
  proof (induction ts rule: List.rev_induct)
    case (snoc t ts)
      obtain s ss where s: "length ss = length ts" " $\forall i < \text{length } ss. P (ts ! i) (ss ! i)"$  "P t s"
        using snoc.IH snoc.prems by force
      have *: "length (ss@[s]) = length (ts@[t])" using s(1) by simp
      hence "P ((ts@[t]) ! i) ((ss@[s]) ! i)" when i: "i < \text{length } (ss@[s])" for i
        using s(2,3) i nth_append[of ts "[t]"] nth_append[of ss "[s]"] by force
      thus ?case using * by blast
    qed simp
    thus thesis using that by blast
  qed

lemma length_1_conv[iff]:
  "(length ts = 1) = ( $\exists a. ts = [a]$ )"
by (cases ts) simp_all

lemma length_2_conv[iff]:
  "(length ts = 2) = ( $\exists a b. ts = [a,b]$ )"
proof (cases ts)
  case (Cons a ts') thus ?thesis by (cases ts') simp_all
qed simp

lemma length_3_conv[iff]:
  "(length ts = 3) \longleftrightarrow ( $\exists a b c. ts = [a,b,c]$ )"
proof (cases ts)
  case (Cons a ts')
    note * = this
    thus ?thesis
    proof (cases ts')
      case (Cons b ts'') thus ?thesis using * by (cases ts'') simp_all
    qed simp
  qed simp
qed simp

lemma Max_nat_finite_le:
  assumes "finite M"
  and " $\bigwedge m. m \in M \implies f m \leq (n::nat)$ "
  shows "Max (insert 0 (f ` M)) \leq n"
proof -
  have 0: "finite (insert 0 (f ` M))" using assms(1) by blast
  have 1: "insert 0 (f ` M) \neq \{\}" by force
  have 2: "m \leq n" when "m \in insert 0 (f ` M)" for m using assms(2) that by fastforce
  show ?thesis using Max.boundedI[OF 0 1 2] by blast
qed

lemma Max_nat_finite_lt:
  assumes "finite M"
  and "M \neq \{\}"
  and " $\bigwedge m. m \in M \implies f m < (n::nat)$ "
  shows "Max (f ` M) < n"
proof -
  define g where "g \equiv \lambda m. Suc (f m)"
  have 0: "finite (f ` M)" "finite (g ` M)" using assms(1) by (blast, blast)
  have 1: "f ` M \neq \{\}" "g ` M \neq \{\}" using assms(2) by (force, force)
  have 2: "m \leq n" when "m \in g ` M" for m using assms(3) that unfolding g_def by fastforce
  have 3: "Max (g ` M) \leq n" using Max.boundedI[OF 0(2) 1(2) 2] by blast
  have 4: "Max (f ` M) < Max (g ` M)"
    using Max_in[OF 0(1) 1(1)] Max_gr_iff[OF 0(2) 1(2), of "Max (f ` M)"]
    unfolding g_def by blast
  show ?thesis using 3 4 by linarith
qed

```

```

lemma ex_finite_disj_nat_inj:
  fixes N N' :: "nat set"
  assumes N: "finite N"
    and N': "finite N'"
  shows "?exists M::nat set. ?exists delta::nat. inj delta ^ delta ` N = M & M ∩ N' = {}"
using N
proof (induction N rule: finite_induct)
  case empty thus ?case using injI[of "λx::nat. x"] by blast
next
  case (insert n N)
  then obtain M delta where M: "inj delta ^ delta ` N = M" "M ∩ N' = {}" by blast
  obtain m where m: "m ∉ M" "m ∉ insert n N" "m ∉ N'"
    using M(2) finite_imageI[OF insert.hyps(1), of delta] insert.hyps(1) N'
    by (metis finite_UnionI finite_insert UnionI infinite_nat_iff_unbounded_le
        finite_nat_set_iff_bounded_le)
  define sigma where "sigma ≡ λk. if k ∈ insert n N then (delta(n := m)) k else Suc (Max (insert m M)) + k"
  have "insert m M ∩ N' = {}" using m M(3) unfolding sigma_def by auto
  moreover have "sigma ` insert n N = insert m M" using insert.hyps(2) M(2) unfolding sigma_def by auto
  moreover have "inj sigma"
  proof (intro injI)
    fix i j assume ij: "sigma i = sigma j"
    have 0: "finite (insert m (delta ` N))"
      using insert.hyps(1) by simp
    have 1: "Suc (Max (insert m (delta ` N))) > k" when k: "k ∈ insert m (delta ` N)" for k
      using Max_ge[OF 0 k] by linarith
    have 2: "(delta(n := m)) k ∈ insert m (delta ` N)" when k: "k ∈ insert n N" for k
      using k by auto
    have 3: "(delta(n := m)) k ≠ Suc (Max (insert m (delta ` N))) + k'" when k: "k ∈ insert n N" for k k'
      using 1[OF 2[OF k]] by linarith
    have 4: "i ∈ insert n N ↔ j ∈ insert n N"
      using ij 3 M(2) unfolding sigma_def by metis
    show "i = j"
      proof (cases "i ∈ insert n N")
        case True
        hence *: "sigma i = (delta(n := m)) i" "sigma j = (delta(n := m)) j"
          "i ∈ insert n N" "j ∈ insert n N"
          using ij iffD1[OF 4] unfolding sigma_def by (argo, argo, argo, argo)
        show ?thesis
          proof (cases "i = n ∨ j = n")
            case True
            moreover have ?thesis when "i = n" "j = n" using that by simp
            moreover have False when "(i = n ∧ j ≠ n) ∨ (i ≠ n ∧ j = n)"
              by (metis M(2) that ij * m(1) fun_upd_other fun_upd_same image_eqI insertE)
            ultimately show ?thesis by argo
          next
            case False thus ?thesis using ij injD[OF M(1), of i j] unfolding *(1,2) by simp
          qed
        next
          case False thus ?thesis using ij 4 unfolding sigma_def by force
        qed
      qed
    ultimately show ?case by blast
  qed

```

```
qed
```

### 2.1.6 Infinite Paths in Relations as Mappings from Naturals to States

```
context
begin

private fun rel_chain_fun::"nat ⇒ 'a ⇒ 'a ⇒ ('a × 'a) set ⇒ 'a" where
  "rel_chain_fun 0 x _ _ = x"
  | "rel_chain_fun (Suc i) x y r = (if i = 0 then y else SOME z. (rel_chain_fun i x y r, z) ∈ r)"

lemma infinite_chain_intro:
  fixes r::"('a × 'a) set"
  assumes "∀ (a,b) ∈ r. ∃ c. (b,c) ∈ r" "r ≠ {}"
  shows "∃ f. ∀ i::nat. (f i, f (Suc i)) ∈ r"
proof -
  from assms(2) obtain a b where "(a,b) ∈ r" by auto
  let ?P = "?P i. (rel_chain_fun i a b r, rel_chain_fun (Suc i) a b r) ∈ r"
  let ?Q = "?Q i. ∃ z. (rel_chain_fun i a b r, z) ∈ r"
  have base: "?P 0" using <(a,b) ∈ r> by auto
  have step: "?P (Suc i)" when i: "?P i" for i
  proof -
    have "?Q (Suc i)" using assms(1) i by auto
    thus ?thesis using someI_ex[OF <>?Q (Suc i)>] by auto
  qed
  have "∀ i::nat. (rel_chain_fun i a b r, rel_chain_fun (Suc i) a b r) ∈ r"
    using base step nat_induct[of ?P] by simp
  thus ?thesis by fastforce
qed

end

lemma infinite_chain_intro':
  fixes r::"('a × 'a) set"
  assumes base: "∃ b. (x,b) ∈ r" and step: "∀ b. (x,b) ∈ r+ → (∃ c. (b,c) ∈ r)"
  shows "∃ f. ∀ i::nat. (f i, f (Suc i)) ∈ r"
proof -
  let ?s = "{(a,b) ∈ r. a = x ∨ (x,a) ∈ r+}"
  have "?s ≠ {}" using base by auto
  have "∃ c. (b,c) ∈ ?s" when ab: "(a,b) ∈ ?s" for a b
  proof (cases "a = x")
    case False
    hence "(x,a) ∈ r+" using ab by auto
    hence "(x,b) ∈ r+" using <(a,b) ∈ ?s> by auto
    thus ?thesis using step by auto
  qed (use ab step in auto)
  hence "∃ f. ∀ i. (f i, f (Suc i)) ∈ ?s" using infinite_chain_intro[of ?s] <>?s ≠ {}> by blast
  thus ?thesis by auto
qed

lemma infinite_chain_mono:
  assumes "S ⊆ T" "∃ f. ∀ i::nat. (f i, f (Suc i)) ∈ S"
  shows "∃ f. ∀ i::nat. (f i, f (Suc i)) ∈ T"
using assms by auto

end
```

## 2.2 Protocol Messages as (First-Order) Terms

```
theory Messages
  imports Miscellaneous "First_Order_Terms.Term"
begin
```

### 2.2.1 Term-related definitions: subterms and free variables

```
abbreviation "the_Fun ≡ un_Fun1"
lemmas the_Fun_def = un_Fun1_def

fun subterms::"('a,'b) term ⇒ ('a,'b) terms" where
  "subterms (Var x) = {Var x}"
| "subterms (Fun f T) = {Fun f T} ∪ (⋃ t ∈ set T. subterms t)"

abbreviation subtermeq (infix <⊆> 50) where "t' ⊆ t ≡ (t' ∈ subterms t)"
abbreviation subterm (infix <⊑> 50) where "t' ⊑ t ≡ (t' ⊆ t ∧ t' ≠ t)"

abbreviation "subtermsset M ≡ ⋃ (subterms ` M)"
abbreviation subtermeqset (infix <⊆set> 50) where "t ⊆set M ≡ (t ∈ subtermsset M)"

abbreviation fv where "fv ≡ vars_term"
lemmas fv_simps = term.simps(17,18)

fun fvset where "fvset M = ⋃ (fv ` M)"

abbreviation fvpair where "fvpair p ≡ case p of (t,t') ⇒ fv t ∪ fv t'"

fun fvpairs where "fvpairs F = ⋃ (fvpair ` set F)"

abbreviation ground where "ground M ≡ fvset M = {}"
```

### 2.2.2 Variants that return lists instead of sets

```
fun fv_list where
  "fv_list (Var x) = [x]"
| "fv_list (Fun f T) = concat (map fv_list T)"

definition fv_listpairs where
  "fv_listpairs F ≡ concat (map (λ(t,t'). fv_list t@fv_list t') F)"

fun subterms_list::"('a,'b) term ⇒ ('a,'b) term list" where
  "subterms_list (Var x) = [Var x]"
| "subterms_list (Fun f T) = remdups (Fun f T#concat (map subterms_list T))"

lemma fv_list_is_fv: "fv t = set (fv_list t)"
by (induct t) auto

lemma fv_listpairs_is_fvpairs: "fvpairs F = set (fv_listpairs F)"
by (induct F) (auto simp add: fv_list_is_fv fv_listpairs_def)

lemma subterms_list_is_subterms: "subterms t = set (subterms_list t)"
by (induct t) auto
```

### 2.2.3 The subterm relation defined as a function

```
fun subterm_of where
  "subterm_of t (Var y) = (t = Var y)"
| "subterm_of t (Fun f T) = (t = Fun f T ∨ list_ex (subterm_of t) T)"

lemma subterm_of_iff_subtermeq[code_unfold]: "t ⊆ t' = subterm_of t t'"
proof (induction t')
  case (Fun f T) thus ?case
```

```

proof (cases "t = Fun f T")
  case False thus ?thesis
    using Fun.IH subterm_of.simps(2)[of t f T]
    unfolding list_ex_iff by fastforce
qed simp
qed simp

```

```

lemma subterm_of_ex_set_iff_subtermeqset[code_unfold]: "t ⊑set M = (∃ t' ∈ M. subterm_of t t')"
using subterm_of_iff_subtermeq by blast

```

## 2.2.4 The subterm relation is a partial order on terms

```

interpretation "term": order "(⊑)" "(⊑)"
proof
  show "s ⊑ s" for s :: "('a,'b) term"
    by (induct s rule: subterms.induct) auto

  show trans: "s ⊑ t ⟹ t ⊑ u ⟹ s ⊑ u" for s t u :: "('a,'b) term"
    by (induct u rule: subterms.induct) auto

  show "s ⊑ t ⟹ t ⊑ s ⟹ s = t" for s t :: "('a,'b) term"
  proof (induction s arbitrary: t rule: subterms.induct[case_names Var Fun])
    case (Fun f T)
    { assume 0: "t ≠ Fun f T"
      then obtain u::("a,b) term" where u: "u ∈ set T" "t ⊑ u" using Fun.prems(2) by auto
      hence 1: "Fun f T ⊑ u" using trans[OF Fun.prems(1)] by simp

      have 2: "u ⊑ Fun f T"
        by (cases u) (use u(1) in force, use u(1) subterms.simps(2)[of f T] in fastforce)
      hence 3: "u = Fun f T" using Fun.IH[OF u(1) _ 1] by simp

      have "u ⊑ t" using trans[OF 2 Fun.prems(1)] by simp
      hence 4: "u = t" using Fun.IH[OF u(1) _ u(2)] by simp

      have "t = Fun f T" using 3 4 by simp
      hence False using 0 by simp
    }
    thus ?case by auto
  qed simp
  thus "(s ⊑ t) = (s ⊑ t ∧ ¬(t ⊑ s))" for s t :: "('a,'b) term"
    by blast
qed

```

## 2.2.5 Lemmata concerning subterms and free variables

```

lemma fv_listpairs_append: "fv_listpairs (F@G) = fv_listpairs F @ fv_listpairs G"
by (simp add: fv_listpairs_def)

lemma distinct_fv_list_idx_fv_disjoint:
  assumes t: "distinct (fv_list t)" "Fun f T ⊑ t"
  and ij: "i < length T" "j < length T" "i < j"
  shows "fv (T ! i) ∩ fv (T ! j) = {}"
using t
proof (induction t rule: fv_list.induct)
  case (2 g S)
  have "distinct (fv_list s)" when s: "s ∈ set S" for s
    by (metis (no_types, lifting) s "2.prems"(1) concat_append distinct_append
      map_append split_list fv_list.simps(2) concat.simps(2) list.simps(9))
  hence IH: "fv (T ! i) ∩ fv (T ! j) = {}"
    when s: "s ∈ set S" "Fun f T ⊑ s" for s
    using "2.IH" s by blast

  show ?case

```

```

proof (cases "Fun f T = Fun g S")
  case True
  define U where "U ≡ map fv_list T"

  have a: "distinct (concat U)"
    using "2.prems"(1) True unfolding U_def by auto

  have b: "i < length U" "j < length U"
    using ij(1,2) unfolding U_def by simp_all

  show ?thesis
    using b distinct_concat_idx_disjoint[OF a b ij(3)]
      fv_list_is_fv[of "T ! i"] fv_list_is_fv[of "T ! j"]
    unfolding U_def by force
  qed (use IH "2.prems"(2) in auto)
qed force

lemma distinct_fv_list_Fun_param:
  assumes f: "distinct (fv_list (Fun f ts))"
  and t: "t ∈ set ts"
  shows "distinct (fv_list t)"
proof -
  obtain pre suf where "ts = pre@t#suf" using t by (meson split_list)
  thus ?thesis using distinct_append f by simp
qed

lemmas subtermeqI'[intro] = term.eq_refl

lemma subtermeqI''[intro]: "t ∈ set T ⟹ t ⊑ Fun f T"
by force

lemma finite_fv_set[intro]: "finite M ⟹ finite (fv_set M)"
by auto

lemma finite_fun_symbols[simp]: "finite (funz_term t)"
by (induct t) simp_all

lemma fv_set_mono: "M ⊆ N ⟹ fv_set M ⊆ fv_set N"
by auto

lemma subterms_set_mono: "M ⊆ N ⟹ subterms_set M ⊆ subterms_set N"
by auto

lemma ground_empty[simp]: "ground {}"
by simp

lemma ground_subset: "M ⊆ N ⟹ ground N ⟹ ground M"
by auto

lemma fv_map_fv_set: "⋃ (set (map fv L)) = fv_set (set L)"
by (induct L) auto

lemma fv_set_union: "fv_set (M ∪ N) = fv_set M ∪ fv_set N"
by auto

lemma finite_subset_Union:
  fixes A::"'a set" and f::"'a ⇒ 'b set"
  assumes "finite (⋃ a ∈ A. f a)"
  shows "∃ B. finite B ∧ B ⊆ A ∧ (⋃ b ∈ B. f b) = (⋃ a ∈ A. f a)"
by (metis assms eq_iff finite_subset_image finite_UnionD)

lemma inv_set_fv: "finite M ⟹ ⋃ (set (map fv (inv_set M))) = fv_set M"
using fv_map_fv_set[of "inv_set M"] inv_set_fset by auto

```

```

lemma ground_subterm: "fv t = {} ==> t' ⊑ t ==> fv t' = {}" by (induct t) auto

lemma empty_fv_not_var: "fv t = {} ==> t ≠ Var x" by auto

lemma empty_fv_exists_fun: "fv t = {} ==> ∃ f X. t = Fun f X" by (cases t) auto

lemma vars_iff_subtermeq: "x ∈ fv t ↔ Var x ⊑ t" by (induct t) auto

lemma vars_iff_subtermeq_set: "x ∈ fvset M ↔ Var x ∈ subtermsset M"
using vars_iff_subtermeq[of x] by auto

lemma vars_if_subtermeq_set: "Var x ∈ subtermsset M ==> x ∈ fvset M"
by (metis vars_iff_subtermeq_set)

lemma subtermeq_set_if_vars: "x ∈ fvset M ==> Var x ∈ subtermsset M"
by (metis vars_iff_subtermeq_set)

lemma vars_iff_subterm_or_eq: "x ∈ fv t ↔ Var x ⊑ t ∨ Var x = t"
by (induct t) (auto simp add: vars_iff_subtermeq)

lemma var_is_subterm: "x ∈ fv t ==> Var x ∈ subterms t"
by (simp add: vars_iff_subtermeq)

lemma subterm_is_var: "Var x ∈ subterms t ==> x ∈ fv t"
by (simp add: vars_iff_subtermeq)

lemma no_var_subterm: "¬t ⊑ Var v" by auto

lemma fun_if_subterm: "t ⊑ u ==> ∃ f X. u = Fun f X" by (induct u) simp_all

lemma subtermeq_vars_subset: "M ⊑ N ==> fv M ⊆ fv N" by (induct N) auto

lemma fv_subterms[simp]: "fvset (subterms t) = fv t"
by (induct t) auto

lemma fv_subterms_set[simp]: "fvset (subtermsset M) = fvset M"
using subtermeq_vars_subset by auto

lemma fv_subset: "t ∈ M ==> fv t ⊆ fvset M"
by auto

lemma fv_subset_subterms: "t ∈ subtermsset M ==> fv t ⊆ fvset M"
using fv_subset fv_subterms_set by metis

lemma subterms_finite[simp]: "finite (subterms t)" by (induction rule: subterms.induct) auto

lemma subterms_union_finite: "finite M ==> finite (∪ t ∈ M. subterms t)"
by (induction rule: subterms.induct) auto

lemma subterms_subset: "t' ⊑ t ==> subterms t' ⊆ subterms t"
by (induction rule: subterms.induct) auto

lemma subterms_subset_set: "M ⊑ subterms t ==> subtermsset M ⊆ subterms t"
by (metis SUP_least contra_subsetD subterms_subset)

lemma subset_subterms_Union[simp]: "M ⊑ subtermsset M" by auto

lemma in_subterms_Union: "t ∈ M ==> t ∈ subtermsset M" using subset_subterms_Union by blast

lemma in_subterms_subset_Union: "t ∈ subtermsset M ==> subterms t ⊆ subtermsset M"
using subterms_subset by auto

```

```

lemma subterm_param_split:
  assumes "t ⊑ Fun f X"
  shows "∃ pre x suf. t ⊑ x ∧ X = pre@x#suf"
proof -
  obtain x where "t ⊑ x" "x ∈ set X" using assms by auto
  then obtain pre suf where "X = pre@x#suf" "x ∉ set pre ∨ x ∉ set suf"
    by (meson split_list_first split_list_last)
  thus ?thesis using < t ⊑ x > by auto
qed

lemma ground_iff_no_vars: "ground (M::('a,'b) terms) ↔ (∀ v. Var v ∉ (⋃ m ∈ M. subterms m))"
proof
  assume "ground M"
  hence "∀ v. ∀ m ∈ M. v ∉ fv m" by auto
  hence "∀ v. ∀ m ∈ M. Var v ∉ subterms m" by (simp add: vars_iff_subtermeq)
  thus "(∀ v. Var v ∉ (⋃ m ∈ M. subterms m))" by simp
next
  assume no_vars: "∀ v. Var v ∉ (⋃ m ∈ M. subterms m)"
  moreover
  { assume "¬ground M"
    then obtain v and m:: "('a,'b) term" where "m ∈ M" "fv m ≠ {}" "v ∈ fv m" by auto
    hence "Var v ∈ (subterms m)" by (simp add: vars_iff_subtermeq)
    hence "∃ v. Var v ∈ (⋃ t ∈ M. subterms t)" using < m ∈ M > by auto
    hence False using no_vars by simp
  }
  ultimately show "ground M" by blast
qed

lemma index_Fun_subterms_subset[simp]: "i < length T ⇒ subterms (T ! i) ⊆ subterms (Fun f T)"
by auto

lemma index_Fun_fv_subset[simp]: "i < length T ⇒ fv (T ! i) ⊆ fv (Fun f T)"
using subtermeq_vars_subset by fastforce

lemma subterms_union_ground:
  assumes "ground M"
  shows "ground (subterms_set M)"
proof -
  { fix t assume "t ∈ M"
    hence "fv t = {}"
      using ground_iff_no_vars[of M] assms
      by auto
    hence "∀ t' ∈ subterms t. fv t' = {}" using subtermeq_vars_subset[of _ t] by simp
    hence "ground (subterms t)" by auto
  }
  thus ?thesis by auto
qed

lemma Var_subtermeq: "t ⊑ Var v ⇒ t = Var v" by simp

lemma subtermeq_imp_funcs_term_subset: "s ⊑ t ⇒ funcs_term s ⊆ funcs_term t"
by (induct t arbitrary: s) auto

lemma subterms_const: "subterms (Fun f []) = {Fun f []}" by simp

lemma subterm_subtermeq_neq: "[t ⊑ u; u ⊑ v] ⇒ t ≠ v"
  using term.dual_order.strict_trans1 by blast

lemma subtermeq_subterm_neq: "[t ⊑ u; u ⊑ v] ⇒ t ≠ v"
  by (metis term.order.eq_if)

lemma subterm_size_lt: "x ⊑ y ⇒ size x < size y"
  using not_less_eq size_list_estimation by (induct y, simp, fastforce)

```

```

lemma in_subterms_eq: "[x ∈ subterms y; y ∈ subterms x] ⇒ subterms x = subterms y"
  using term.order.antisym by auto

lemma Fun_param_size_lt:
  "t ∈ set ts ⇒ size t < size (Fun f ts)"
  by (induct ts) auto

lemma Fun_zip_size_lt:
  assumes "(t,s) ∈ set (zip ts ss)"
  shows "size t < size (Fun f ts)"
    and "size s < size (Fun g ss)"
  by (metis assms Fun_param_size_lt in_set_zipE)+

lemma Fun_gt_params: "Fun f X ∉ (∪ x ∈ set X. subterms x)"
proof -
  have "size_list size X < size (Fun f X)" by simp
  hence "Fun f X ∉ set X" by (meson less_not_refl size_list_estimation)
  hence "∀x ∈ set X. Fun f X ∉ subterms x ∨ x ∉ subterms (Fun f X)"
    using subtermeq_subterm_neq by blast
  moreover have "∀x ∈ set X. x ∈ subterms (Fun f X)" by fastforce
  ultimately show ?thesis by auto
qed

lemma params_subterms[simp]: "set X ⊆ subterms (Fun f X)" by auto

lemma params_subterms_Union[simp]: "subterms_set (set X) ⊆ subterms (Fun f X)" by auto

lemma Fun_subterm_inside_params: "t ⊑ Fun f X ↔ t ∈ (∪ x ∈ (set X). subterms x)"
using Fun_gt_params by fastforce

lemma Fun_param_is_subterm: "x ∈ set X ⇒ x ⊑ Fun f X"
using Fun_subterm_inside_params by fastforce

lemma Fun_param_in_subterms: "x ∈ set X ⇒ x ∈ subterms (Fun f X)"
using Fun_subterm_inside_params by fastforce

lemma Fun_not_in_param: "x ∈ set X ⇒ ¬Fun f X ⊑ x"
  by (meson Fun_param_in_subterms term.less_le_not_le)

lemma Fun_ex_if_subterm: "t ⊑ s ⇒ ∃f T. Fun f T ⊑ s ∧ t ∈ set T"
proof (induction s)
  case (Fun f T)
  then obtain s' where "s' ∈ set T" "t ⊑ s'" by auto
  show ?case
  proof (cases "t = s'")
    case True thus ?thesis using s' by blast
  next
    case False
    thus ?thesis
      using Fun.IH[OF s'(1)] s'(2) term.order_trans[OF _ Fun_param_in_subterms[OF s'(1), of f]]
        by metis
  qed
qed simp

```

```

lemma const_subterm_obtain:
  assumes "fv t = {}"
  obtains c where "Fun c [] ⊑ t"
using assms
proof (induction t)
  case (Fun f T) thus ?case by (cases "T = []") force+
qed simp

```

```

lemma const_subterm_obtain': "fv t = {} ==> ∃ c. Fun c [] ⊑ t"
by (metis const_subterm_obtain)

lemma subterms_singleton:
assumes "(∃ v. t = Var v) ∨ (∃ f. t = Fun f [])"
shows "subterms t = {t}"
using assms by (cases t) auto

lemma subtermeq_Var_const:
assumes "s ⊑ t"
shows "t = Var v ==> s = Var v" "t = Fun f [] ==> s = Fun f []"
using assms by fastforce+

lemma subterms_singleton':
assumes "subterms t = {t}"
shows "(∃ v. t = Var v) ∨ (∃ f. t = Fun f [])"
proof (cases t)
case (Fun f T)
{ fix s S assume "T = s#S"
hence "s ∈ subterms t" using Fun by auto
hence "s = t" using assms by auto
hence False
using Fun_param_is_subterm[of s "s#S" f] <T = s#S> Fun
by auto
}
hence "T = []" by (cases T) auto
thus ?thesis using Fun by simp
qed (simp add: assms)

lemma funs_term_subterms_eq[simp]:
"(⋃ s ∈ subterms t. funs_term s) = funs_term t"
"(⋃ s ∈ subterms_set M. funs_term s) = ⋃ (funs_term ` M)"
proof -
show "⋀ t. ⋃ (funs_term ` subterms t) = funs_term t"
using term.order_refl subtermeq_imp_funs_term_subset by blast
thus "⋃ (funs_term ` (subterms_set M)) = ⋃ (funs_term ` M)" by force
qed

lemmas subtermI'[intro] = Fun_param_is_subterm

lemma funs_term_Fun_subterm: "f ∈ funs_term t ==> ∃ T. Fun f T ∈ subterms t"
proof (induction t)
case (Fun g T)
hence "f = g ∨ (∃ s ∈ set T. f ∈ funs_term s)" by simp
thus ?case
proof
assume "∃ s ∈ set T. f ∈ funs_term s"
then obtain s where "s ∈ set T" "∃ T. Fun f T ∈ subterms s" using Fun.IH by auto
thus ?thesis by auto
qed (auto simp add: Fun)
qed simp

lemma funs_term_Fun_subterm': "Fun f T ∈ subterms t ==> f ∈ funs_term t"
by (induct t) auto

lemma zip_arg_subterm:
assumes "(s,t) ∈ set (zip X Y)"
shows "s ⊑ Fun f X" "t ⊑ Fun g Y"
proof -
from assms have *: "s ∈ set X" "t ∈ set Y" by (meson in_set_zipE)+
show "s ⊑ Fun f X" by (metis Fun_param_is_subterm[OF *(1)])
show "t ⊑ Fun g Y" by (metis Fun_param_is_subterm[OF *(2)])
qed

```

```

lemma fv_disj_Fun_subterm_param_cases:
  assumes "fv t ∩ X = {}" "Fun f T ∈ subterms t"
  shows "T = [] ∨ (∃s∈set T. s ∉ Var ` X)"
proof (cases T)
  case (Cons s S)
  hence "s ∈ subterms t"
    using assms(2) term.order_trans[of _ "Fun f T" t]
    by auto
  hence "fv s ∩ X = {}" using assms(1) fv_subterms by force
  thus ?thesis using Cons by auto
qed simp

lemma fv_eq_FunI:
  assumes "length T = length S" "¬ i < length T ⇒ fv (T ! i) = fv (S ! i)"
  shows "fv (Fun f T) = fv (Fun g S)"
using assms
proof (induction T arbitrary: S)
  case (Cons t T S')
  then obtain s S where S': "S' = s#S" by (cases S') simp_all
  have "fv (T ! i) = fv (S ! i)" when "i < length T" for i
    using that Cons.prems(2)[of "Suc i"] unfolding S' by simp
  hence "fv (Fun f T) = fv (Fun g S)"
    using Cons.prems(1) Cons.IH[of S] unfolding S' by simp
  thus ?case using Cons.prems(2)[of 0] unfolding S' by auto
qed simp

lemma fv_eq_FunI':
  assumes "length T = length S" "¬ i < length T ⇒ x ∈ fv (T ! i) ↔ x ∈ fv (S ! i)"
  shows "x ∈ fv (Fun f T) ↔ x ∈ fv (Fun g S)"
using assms
proof (induction T arbitrary: S)
  case (Cons t T S')
  then obtain s S where S': "S' = s#S" by (cases S') simp_all
  show ?case using Cons.prems Cons.IH[of S] unfolding S' by fastforce
qed simp

lemma funs_term_eq_FunI:
  assumes "length T = length S" "¬ i < length T ⇒ funs_term (T ! i) = funs_term (S ! i)"
  shows "funs_term (Fun f T) = funs_term (Fun f S)"
using assms
proof (induction T arbitrary: S)
  case (Cons t T S')
  then obtain s S where S': "S' = s#S" by (cases S') simp_all
  have "funs_term (T ! i) = funs_term (S ! i)" when "i < length T" for i
    using that Cons.prems(2)[of "Suc i"] unfolding S' by simp
  hence "funs_term (Fun f T) = funs_term (Fun f S)"
    using Cons.prems(1) Cons.IH[of S] unfolding S' by simp
  thus ?case using Cons.prems(2)[of 0] unfolding S' by auto
qed simp

lemma finite_fv_pairs[simp]: "finite (fv_pairs x)" by auto

lemma fv_pairs_Nil[simp]: "fv_pairs [] = {}" by simp

lemma fv_pairs_singleton[simp]: "fv_pairs [(t,s)] = fv t ∪ fv s" by simp

lemma fv_pairs_Cons: "fv_pairs ((s,t)#F) = fv s ∪ fv t ∪ fv_pairs F" by simp

lemma fv_pairs_append: "fv_pairs (F@G) = fv_pairs F ∪ fv_pairs G" by simp

lemma fv_pairs_mono: "set M ⊆ set N ⇒ fv_pairs M ⊆ fv_pairs N" by auto

```

```

lemma fv_pairs_inI[intro]:
  "f ∈ set F ⟹ x ∈ fvpair f ⟹ x ∈ fv_pairs F"
  "f ∈ set F ⟹ x ∈ fv (fst f) ⟹ x ∈ fv_pairs F"
  "f ∈ set F ⟹ x ∈ fv (snd f) ⟹ x ∈ fv_pairs F"
  "(t,s) ∈ set F ⟹ x ∈ fv t ⟹ x ∈ fv_pairs F"
  "(t,s) ∈ set F ⟹ x ∈ fv s ⟹ x ∈ fv_pairs F"
using UN_I by fastforce+

```

```

lemma fv_pairs_cons_subset: "fv_pairs F ⊆ fv_pairs (f#F)"
by auto

```

```

lemma in_Fun_fv_iff_in_args_nth_fv:
  "x ∈ fv (Fun f ts) ⟷ (∃ i < length ts. x ∈ fv (ts ! i))"
  (is "?A ⟷ ?B")
proof
  assume ?A
  hence "x ∈ ∪(fv ` set ts)" by auto
  thus ?B by (metis UN_E in_set_conv_nth)
qed auto

```

## 2.2.6 Other lemmas

```

lemma nonvar_term_has_composed_shallow_term:
  fixes t::("f", "v") term"
  assumes "¬(∃ x. t = Var x)"
  shows "∃ f T. Fun f T ⊑ t ∧ (∀ s ∈ set T. (∃ c. s = Fun c []) ∨ (∃ x. s = Var x))"
proof -
  let ?Q = "λS. ∀ s ∈ set S. (∃ c. s = Fun c []) ∨ (∃ x. s = Var x)"
  let ?P = "λt. ∃ g S. Fun g S ⊑ t ∧ ?Q S"
  { fix t::("f", "v") term"
    have "¬(∃ x. t = Var x) ∨ ?P t"
    proof (induction t)
      case (Fun h R) show ?case
      proof (cases "R = [] ∨ (∀ r ∈ set R. ∃ x. r = Var x)")
        case False
        then obtain r g S where "r ∈ set R" "?P r" "Fun g S ⊑ r" "?Q S" using Fun.IH by fast
        thus ?thesis by auto
        qed force
        qed simp
    } thus ?thesis using assms by blast
qed
end

```

## 2.3 Definitions and Properties Related to Substitutions and Unification

```

theory More_Unification
  imports Messages "First_Order_Terms.Unification"
begin

```

### 2.3.1 Substitutions

```

abbreviation subst_apply_list (infix <·list> 51) where
  "T ·list θ ≡ map (λt. t · θ) T"

abbreviation subst_apply_pair (infixl <·p> 60) where
  "d ·p θ ≡ (case d of (t, t') ⇒ (t · θ, t' · θ))"

abbreviation subst_apply_pair_set (infixl <·pset> 60) where
  "M ·pset θ ≡ (λd. d ·p θ) ` M"

definition subst_apply_pairs (infix <·pairs> 51) where

```

```

" $F \cdot_{pairs} \vartheta \equiv \text{map } (\lambda f. f \cdot_p \vartheta) F$ "
```

**abbreviation subst\_more\_general\_than (infixl  $\preceq_o$  50) where**  
 $\sigma \preceq_o \vartheta \equiv \exists \gamma. \vartheta = \sigma \circ_s \gamma$ "

**abbreviation subst\_support (infix <supports> 50) where**  
 $\vartheta \text{ supports } \delta \equiv (\forall x. \vartheta x \cdot \delta = \delta x)$ "

**abbreviation rm\_var where**  
 $\text{rm\_var } v s \equiv s(v := \text{Var } v)$ "

**abbreviation rm\_vars where**  
 $\text{rm\_vars } vs \sigma \equiv (\lambda v. \text{if } v \in vs \text{ then Var } v \text{ else } \sigma v)$ "

**definition subst\_elim where**  
 $\text{subst\_elim } \sigma v \equiv \forall t. v \notin \text{fv}(t \cdot \sigma)$ "

**definition subst\_idem where**  
 $\text{subst\_idem } s \equiv s \circ_s s = s$ "

**lemma subst\_support\_def:** " $\vartheta \text{ supports } \tau \longleftrightarrow \tau = \vartheta \circ_s \tau$ "  
**unfolding subst\_compose\_def by metis**

**lemma subst\_supportD:** " $\vartheta \text{ supports } \delta \implies \vartheta \preceq_o \delta$ "  
**using subst\_support\_def by auto**

**lemma rm\_vars\_empty[simp]:** " $\text{rm\_vars } \{\} s = s$ " " $\text{rm\_vars } (\text{set } []) s = s$ "  
**by simp\_all**

**lemma rm\_vars\_singleton:** " $\text{rm\_vars } \{v\} s = \text{rm\_var } v s$ "  
**by auto**

**lemma subst\_apply\_terms\_empty:** " $M \cdot_{set} \text{Var} = M$ "  
**by simp**

**lemma subst\_agreement:** " $(t \cdot r = t \cdot s) \longleftrightarrow (\forall v \in \text{fv } t. \text{Var } v \cdot r = \text{Var } v \cdot s)$ "  
**by (induct t) auto**

**lemma repl\_invariance[dest?]:** " $v \notin \text{fv } t \implies t \cdot s(v := u) = t \cdot s$ "  
**by (simp add: subst\_agreement)**

**lemma subst\_idx\_map:**  
**assumes** " $\forall i \in \text{set } I. i < \text{length } T$ "  
**shows** " $(\text{map } ((!) T) I) \cdot_{list} \delta = \text{map } ((!) (\text{map } (\lambda t. t \cdot \delta) T)) I$ "  
**using assms by auto**

**lemma subst\_idx\_map':**  
**assumes** " $\forall i \in \text{fv}_{set} (\text{set } K). i < \text{length } T$ "  
**shows** " $(K \cdot_{list} (!) T) \cdot_{list} \delta = K \cdot_{list} ((!) (\text{map } (\lambda t. t \cdot \delta) T))$ " (**is "?A = ?B"**)

**proof -**  
**have** " $T ! i \cdot \delta = (\text{map } (\lambda t. t \cdot \delta) T) ! i$ "  
**when** " $i < \text{length } T$ " **for**  $i$   
**using** *that* **by auto**  
**hence** " $T ! i \cdot \delta = (\text{map } (\lambda t. t \cdot \delta) T) ! i$ "  
**when** " $i \in \text{fv}_{set} (\text{set } K)$ " **for**  $i$   
**using** *that assms* **by auto**  
**hence** " $k \cdot (!) T \cdot \delta = k \cdot (!) (\text{map } (\lambda t. t \cdot \delta) T)$ "  
**when** " $fv k \subseteq \text{fv}_{set} (\text{set } K)$ " **for**  $k$   
**using** *that* **by (induction k) force+**  
**thus** *?thesis* **by auto**  
**qed**

**lemma subst\_remove\_var:** " $v \notin \text{fv } s \implies v \notin \text{fv } (t \cdot \text{Var}(v := s))$ "

```

by (induct t) simp_all

lemma subst_set_map: "x ∈ set X ⟹ x · s ∈ set (map (λx. x · s) X)"
by simp

lemma subst_set_idx_map:
assumes "∀ i ∈ I. i < length T"
shows "(!) T ` I ·set δ = (!) (map (λt. t · δ) T) ` I" (is "?A = ?B")
proof
have *: "T ! i · δ = (map (λt. t · δ) T) ! i"
when "i < length T" for i
using that by auto

show "?A ⊆ ?B" using * assms by blast
show "?B ⊆ ?A" using * assms by auto
qed

lemma subst_set_idx_map':
assumes "∀ i ∈ fvset K. i < length T"
shows "K ·set (!) T ·set δ = K ·set (!) (map (λt. t · δ) T)" (is "?A = ?B")
proof
have "T ! i · δ = (map (λt. t · δ) T) ! i"
when "i < length T" for i
using that by auto
hence "T ! i · δ = (map (λt. t · δ) T) ! i"
when "i ∈ fvset K" for i
using that assms by auto
hence *: "k · (!) T · δ = k · (!) (map (λt. t · δ) T)"
when "fv k ⊆ fvset K" for k
using that by (induction k) force+
show "?A ⊆ ?B" using * by auto
show "?B ⊆ ?A" using * by force
qed

lemma subst_term_list_obtain:
assumes "∀ i < length T. ∃ s. P (T ! i) s ∧ S ! i = s · δ"
and "length T = length S"
shows "∃ U. length T = length U ∧ (∀ i < length T. P (T ! i) (U ! i)) ∧ S = map (λu. u · δ) U"
using assms
proof (induction T arbitrary: S)
case (Cons t T S')
then obtain s S where S': "S' = s#S" by (cases S') auto

have "∀ i < length T. ∃ s. P (T ! i) s ∧ S ! i = s · δ" "length T = length S"
using Cons.preds S' by force+
then obtain U where U:
"length T = length U" "∀ i < length T. P (T ! i) (U ! i)" "S = map (λu. u · δ) U"
using Cons.IH by atomize_elim auto

obtain u where u: "P t u" "s = u · δ"
using Cons.preds(1) S' by auto

have 1: "length (t#T) = length (u#U)"
using Cons.preds(2) U(1) by fastforce

have 2: "∀ i < length (t#T). P ((t#T) ! i) ((u#U) ! i)"
using u(1) U(2) by (simp add: nth_Cons')

have 3: "S' = map (λu. u · δ) (u#U)"
using U u S' by simp

show ?case using 1 2 3 by blast

```

```

qed simp

lemma subst_mono: "t ⊑ u ⟹ t · s ⊑ u · s"
by (induct u) auto

lemma subst_mono_fv: "x ∈ fv t ⟹ s x ⊑ t · s"
by (induct t) auto

lemma subst_mono_neq:
  assumes "t ⊑ u"
  shows "t · s ⊑ u · s"
proof (cases u)
  case (Var v)
  hence False using ‹t ⊑ u› by simp
  thus ?thesis ..
next
  case (Fun f X)
  then obtain x where "x ∈ set X" "t ⊑ x" using ‹t ⊑ u› by auto
  hence "t · s ⊑ x · s" using subst_mono by metis

  obtain Y where "Fun f X · s = Fun f Y" by auto
  hence "x · s ∈ set Y" using ‹x ∈ set X› by auto
  hence "x · s ⊑ Fun f X · s" using ‹Fun f X · s = Fun f Y› Fun_param_is_subterm by simp
  hence "t · s ⊑ Fun f X · s" using ‹t · s ⊑ x · s› by (metis term.dual_order.trans term.order.eq_iff)
  thus ?thesis using ‹u = Fun f X› ‹t ⊑ u› by metis
qed

lemma subst_no_occs[dest]: "¬Var v ⊑ t ⟹ t · Var(v := s) = t"
by (induct t) (simp_all add: map_idI)

lemma var_comp[simp]: "σ ∘s Var = σ" "Var ∘s σ = σ"
unfolding subst_compose_def by simp_all

lemma subst_comp_all: "M ·set (δ ∘s ϑ) = (M ·set δ) ·set ϑ"
unfolding subst_subst_compose by auto

lemma subst_all_mono: "M ⊑ M' ⟹ M ·set s ⊑ M' ·set s"
by auto

lemma subst_comp_set_image: "(δ ∘s ϑ) ` X = δ ` X ·set ϑ"
using subst_compose by fastforce

lemma subst_ground_ident[dest?]: "fv t = {} ⟹ t · s = t"
by (induct t, simp, metis subst_agreement empty_iff subst_apply_term_empty)

lemma subst_ground_ident_compose:
  "fv (σ x) = {} ⟹ (σ ∘s ϑ) x = σ x"
  "fv (t · σ) = {} ⟹ t · (σ ∘s ϑ) = t · σ"
unfolding subst_subst_compose
by (simp_all add: subst_compose_def subst_ground_ident)

lemma subst_all_ground_ident[dest?]: "ground M ⟹ M ·set s = M"
proof -
  assume "ground M"
  hence "¬t ∈ M ⟹ fv t = {}" by auto
  hence "¬t ∈ M ⟹ t · s = t" by (metis subst_ground_ident)
  moreover have "¬t ∈ M ⟹ t · s ∈ M ·set s" by (metis imageI)
  ultimately show "M ·set s = M" by (simp add: image_cong)
qed

lemma subst_cong: "[σ = σ'; ϑ = ϑ'] ⟹ (σ ∘s ϑ) = (σ' ∘s ϑ')"
by auto

```

```

lemma subst_mgt_bot[simp]: "Var ⊢_o θ"
by simp

lemma subst_mgt_refl[simp]: "θ ⊢_o θ"
by (metis var_comp(1))

lemma subst_mgt_trans: "[θ ⊢_o δ; δ ⊢_o σ] ⟹ θ ⊢_o σ"
by (metis subst_compose_assoc)

lemma subst_mgt_comp: "θ ⊢_o θ ∘_s δ"
by auto

lemma subst_mgt_comp': "θ ∘_s δ ⊢_o σ ⟹ θ ⊢_o σ"
by (metis subst_compose_assoc)

lemma var_self: "(λw. if w = v then Var v else Var w) = Var"
using subst_agreement by auto

lemma var_same[simp]: "Var(v := t) = Var ⟺ t = Var v"
by (intro iffI, metis fun_upd_same, simp add: var_self)

lemma subst_eq_if_eq_vars: "(∀v. (Var v) ∙ θ = (Var v) ∙ σ) ⟹ θ = σ"
by (auto simp add: subst_agreement)

lemma subst_all_empty[simp]: "{} ∙_set θ = {}"
by simp

lemma subst_all_insert:"(insert t M) ∙_set δ = insert (t ∙ δ) (M ∙_set δ)"
by auto

lemma subst_apply_fv_subset: "fv t ⊆ V ⟹ fv (t ∙ δ) ⊆ fv_set (δ ` V)"
by (induct t) auto

lemma subst_apply_fv_empty:
  assumes "fv t = {}"
  shows "fv (t ∙ σ) = {}"
using assms subst_apply_fv_subset[of t "{}" σ]
by auto

lemma subst_compose_fv:
  assumes "fv (θ x) = {}"
  shows "fv ((θ ∘_s σ) x) = {}"
using assms subst_apply_fv_empty
unfolding subst_compose_def by fast

lemma subst_compose_fv':
  fixes θ σ::("a,"b) subst"
  assumes "y ∈ fv ((θ ∘_s σ) x)"
  shows "∃z. z ∈ fv (θ x)"
using assms subst_compose_fv
by fast

lemma subst_apply_fv_unfold: "fv (t ∙ δ) = fv_set (δ ` fv t)"
by (induct t) auto

lemma subst_apply_fv_unfold_set: "fv_set (δ ` fv_set (set ts)) = fv_set (set ts ∙_set δ)"
by (simp add: subst_apply_fv_unfold)

lemma subst_apply_fv_unfold': "fv (t ∙ δ) = (⋃v ∈ fv t. fv (δ v))"
using subst_apply_fv_unfold by simp

lemma subst_apply_fv_union: "fv_set (δ ` V) ∪ fv (t ∙ δ) = fv_set (δ ` (V ∪ fv t))"
proof -

```

```

have "fv_set (δ ` (V ∪ fv t)) = fv_set (δ ` V) ∪ fv_set (δ ` fv t)" by auto
thus ?thesis using subst_apply_fv_unfold by metis
qed

lemma fv_set_subst:
  "fv_set (M ·set θ) = fv_set (θ ` fv_set M)"
by (simp add: subst_apply_fv_unfold)

lemma subst_list_set_fv:
  "fv_set (set (ts ·list θ)) = fv_set (θ ` fv_set (set ts))"
using subst_apply_fv_unfold_set[of θ ts] by simp

lemma subst_elimI[intro]: "(¬t. v ∈ fv (t · σ)) ⟹ subst_elim σ v"
by (auto simp add: subst_elim_def)

lemma subst_elimI'[intro]: "(¬w. v ∈ fv (Var w · θ)) ⟹ subst_elim θ v"
by (simp add: subst_elim_def subst_apply_fv_unfold')

lemma subst_elimD[dest]: "subst_elim σ v ⟹ v ∉ fv (t · σ)"
by (auto simp add: subst_elim_def)

lemma subst_elimD'[dest]: "subst_elim σ v ⟹ σ v ≠ Var v"
by (metis subst_elim_def eval_term.simps(1) term.set_intro(3))

lemma subst_elimD''[dest]: "subst_elim σ v ⟹ v ∉ fv (σ w)"
by (metis subst_elim_def eval_term.simps(1))

lemma subst_elim_rm_vars_dest[dest]:
  "subst_elim (σ::('a,'b) subst) v ⟹ v ∉ vs ⟹ subst_elim (rm_vars vs σ) v"
proof -
  assume assms: "subst_elim σ v" "v ∉ vs"
  obtain f::("a, 'b) subst ⇒ 'b ⇒ 'b" where
    "¬∃w. v ∈ fv (Var w · σ) = (v ∈ fv (Var (f σ v) · σ))"
    by moura
  hence *: "¬∃a σ. a ∈ fv (Var (f σ a) · σ) ∨ subst_elim σ a" by blast
  have "¬Var (f (rm_vars vs σ) v) · σ ≠ Var (f (rm_vars vs σ) v) · rm_vars vs σ
    ∨ v ∉ fv (Var (f (rm_vars vs σ) v) · rm_vars vs σ)"
    using assms(1) by fastforce
  moreover
  { assume "¬Var (f (rm_vars vs σ) v) · σ ≠ Var (f (rm_vars vs σ) v) · rm_vars vs σ"
    hence "rm_vars vs σ (f (rm_vars vs σ) v) ≠ σ (f (rm_vars vs σ) v)" by auto
    hence "f (rm_vars vs σ) v ∈ vs" by meson
    hence ?thesis using * assms(2) by force
  }
  ultimately show ?thesis using * by blast
qed

lemma occs_subst_elim: "¬Var v ⊑ t ⟹ subst_elim (Var(v := t)) v ∨ (Var(v := t)) = Var"
proof (cases "Var v = t")
  assume "Var v ≠ t" "¬Var v ⊑ t"
  hence "v ∉ fv t" by (simp add: vars_iff_subterm_or_eq)
  thus ?thesis by (auto simp add: subst_remove_var)
qed auto

lemma occs_subst_elim': "¬Var v ⊑ t ⟹ subst_elim (Var(v := t)) v"
proof -
  assume "¬Var v ⊑ t"
  hence "v ∉ fv t" by (auto simp add: vars_iff_subterm_or_eq)
  thus "subst_elim (Var(v := t)) v" by (simp add: subst_elim_def subst_remove_var)
qed

lemma subst_elim_comp: "subst_elim θ v ⟹ subst_elim (δ o_s θ) v"
by (auto simp add: subst_elim_def)

```

```

lemma var_subst_idem: "subst_idem Var"
by (simp add: subst_idem_def)

lemma var_upd_subst_idem:
assumes "\¬Var v ⊑ t" shows "subst_idem (Var(v := t))"
using subst_no_occs[OF assms] by (simp add: subst_idem_def subst_def[symmetric])

lemma zip_map_subst:
"zip xs (xs ·list δ) = map (λt. (t, t · δ)) xs"
by (induction xs) auto

lemma map2_map_subst:
"map2 f xs (xs ·list δ) = map (λt. f t (t · δ)) xs"
by (induction xs) auto

```

### 2.3.2 Lemmata: Domain and Range of Substitutions

```

lemma range_vars_alt_def: "range_vars s ≡ fv_set (subst_range s)"
unfolding range_vars_def by simp

lemma subst_dom_var_finite[simp]: "finite (subst_domain Var)" by simp

lemma subst_range_Var[simp]: "subst_range Var = {}" by simp

lemma range_vars_Var[simp]: "range_vars Var = {}" by fastforce

lemma finite_subst_img_if_finite_dom: "finite (subst_domain σ) ⇒ finite (range_vars σ)"
unfolding range_vars_alt_def by auto

lemma finite_subst_img_if_finite_dom': "finite (subst_domain σ) ⇒ finite (subst_range σ)"
by auto

lemma subst_img_alt_def: "subst_range s = {t. ∃v. s v = t ∧ t ≠ Var v}"
by (auto simp add: subst_domain_def)

lemma subst_fv_img_alt_def: "range_vars s = (⋃t ∈ {t. ∃v. s v = t ∧ t ≠ Var v}. fv t)"
unfolding range_vars_alt_def by (auto simp add: subst_domain_def)

lemma subst_domI[intro]: "σ v ≠ Var v ⇒ v ∈ subst_domain σ"
by (simp add: subst_domain_def)

lemma subst_imgI[intro]: "σ v ≠ Var v ⇒ σ v ∈ subst_range σ"
by (simp add: subst_domain_def)

lemma subst_fv_imgI[intro]: "σ v ≠ Var v ⇒ fv (σ v) ⊑ range_vars σ"
unfolding range_vars_alt_def by auto

lemma subst_eqI':
assumes "t · δ = t · θ" "subst_domain δ = subst_domain θ" "subst_domain δ ⊑ fv t"
shows "δ = θ"
by (metis assms(2,3) term_subst_eq_rev[OF assms(1)] in_mono ext subst_domI)

lemma subst_domain_subst_Fun_single[simp]:
"subst_domain (Var(x := Fun f T)) = {x}" (is "?A = ?B")
unfolding subst_domain_def by simp

lemma subst_range_subst_Fun_single[simp]:
"subst_range (Var(x := Fun f T)) = {Fun f T}" (is "?A = ?B")
by simp

lemma range_vars_subst_Fun_single[simp]:
"range_vars (Var(x := Fun f T)) = fv (Fun f T)"

```

```

unfolding range_vars_alt_def by force

lemma var_renaming_is_Fun_iff:
  assumes "subst_range δ ⊆ range Var"
  shows "is_Fun t = is_Fun (t · δ)"
proof (cases t)
  case (Var x)
  hence "∃y. δ x = Var y" using assms by auto
  thus ?thesis using Var by auto
qed simp

lemma subst_fv_dom_img_subset: "fv t ⊆ subst_domain θ ⇒ fv (t · θ) ⊆ range_vars θ"
unfolding range_vars_alt_def by (induct t) auto

lemma subst_fv_dom_img_subset_set: "fvset M ⊆ subst_domain θ ⇒ fvset (M ·set θ) ⊆ range_vars θ"
proof -
  assume assms: "fvset M ⊆ subst_domain θ"
  obtain f::"'a set ⇒ (('b, 'a) term ⇒ 'a set) ⇒ ('b, 'a) terms ⇒ ('b, 'a) term" where
    "∀x y z. (∃v. v ∈ z ∧ ¬ y v ⊆ x) ↔ (f x y z ∈ z ∧ ¬ y (f x y z) ⊆ x)"
  by moura
  hence *:
    "∀T g A. (¬ ∪ (g ` T) ⊆ A ∨ (∀t. t ∉ T ∨ g t ⊆ A)) ∧
    (∪ (g ` T) ⊆ A ∨ f A g T ∈ T ∧ ¬ g (f A g T) ⊆ A)"
  by (metis (no_types) SUP_le_iff)
  hence **: "∀t. t ∉ M ∨ fv t ⊆ subst_domain θ" by (metis (no_types) assms fvset.simps)
  have "∀t::('b, 'a) term. ∀f T. t ∉ f ` T ∨ (∃t'::('b, 'a) term. t = f t' ∧ t' ∈ T)" by blast
  hence "f (range_vars θ) fv (M ·set θ) ⊆ M ·set θ ∨
    fv (f (range_vars θ) fv (M ·set θ)) ⊆ range_vars θ"
  by (metis (full_types) ** subst_fv_dom_img_subset)
  thus ?thesis by (metis (no_types) * fvset.simps)
qed

lemma subst_fv_dom_ground_if_ground_img:
  assumes "fv t ⊆ subst_domain s" "ground (subst_range s)"
  shows "fv (t · s) = {}"
using subst_fv_dom_img_subset[OF assms(1)] assms(2) by force

lemma subst_fv_dom_ground_if_ground_img':
  assumes "fv t ⊆ subst_domain s" "¬ ∃x. x ∈ subst_domain s ⇒ fv (s x) = {}"
  shows "fv (t · s) = {}"
using subst_fv_dom_ground_if_ground_img[OF assms(1)] assms(2) by auto

lemma subst_fv_unfold: "fv (t · s) = (fv t - subst_domain s) ∪ fvset (s ` (fv t ∩ subst_domain s))"
proof (induction t)
  case (Var v) thus ?case
  proof (cases "v ∈ subst_domain s")
    case True thus ?thesis by auto
  next
    case False
    hence "fv (Var v · s) = {v}" "fv (Var v) ∩ subst_domain s = {}" by auto
    thus ?thesis by auto
  qed
next
  case Fun thus ?case by auto
qed

lemma subst_fv_unfold_ground_img: "range_vars s = {} ⇒ fv (t · s) = fv t - subst_domain s"
by (auto simp: range_vars_alt_def subst_fv_unfold)

lemma subst_img_update:
  "⟦σ v = Var v; t ≠ Var v⟧ ⇒ range_vars (σ(v := t)) = range_vars σ ∪ fv t"
proof -
  assume "σ v = Var v" "t ≠ Var v"

```

```

hence " $(\bigcup s \in \{s. \exists w. (\sigma(v := t)) w = s \wedge s \neq \text{Var } w\}. fv s) = fv t \cup \text{range\_vars } \sigma$ "
  unfolding range_vars_alt_def by (auto simp add: subst_domain_def)
thus " $\text{range\_vars } (\sigma(v := t)) = \text{range\_vars } \sigma \cup fv t$ "
  by (metis Un_commute subst_fv_img_alt_def)
qed

lemma subst_dom_update1: " $v \notin \text{subst\_domain } \sigma \implies \text{subst\_domain } (\sigma(v := \text{Var } v)) = \text{subst\_domain } \sigma$ "
by (auto simp add: subst_domain_def)

lemma subst_dom_update2: " $t \neq \text{Var } v \implies \text{subst\_domain } (\sigma(v := t)) = \text{insert } v (\text{subst\_domain } \sigma)$ "
by (auto simp add: subst_domain_def)

lemma subst_dom_update3: " $t = \text{Var } v \implies \text{subst\_domain } (\sigma(v := t)) = \text{subst\_domain } \sigma - \{v\}$ "
by (auto simp add: subst_domain_def)

lemma var_not_in_subst_dom[elim]: " $v \notin \text{subst\_domain } s \implies s v = \text{Var } v$ "
by (simp add: subst_domain_def)

lemma subst_dom_vars_in_subst[elim]: " $v \in \text{subst\_domain } s \implies s v \neq \text{Var } v$ "
by (simp add: subst_domain_def)

lemma subst_not_dom_fixed: " $\llbracket v \in fv t; v \notin \text{subst\_domain } s \rrbracket \implies v \in fv (t \cdot s)$ " by (induct t) auto

lemma subst_not_img_fixed: " $\llbracket v \in fv (t \cdot s); v \notin \text{range\_vars } s \rrbracket \implies v \in fv t$ "
unfolding range_vars_alt_def by (induct t) force+
unfolding range_vars_alt_def by metis

lemma ground_range_vars[intro]: " $\text{ground } (\text{subst\_range } s) \implies \text{range\_vars } s = \{\}$ "
unfolding range_vars_alt_def by metis

lemma ground_subst_no_var[intro]: " $\text{ground } (\text{subst\_range } s) \implies x \notin \text{range\_vars } s$ "
using ground_range_vars[of s] by blast

lemma ground_img_obtain_fun:
  assumes "ground (\text{subst\_range } s)" "x \in \text{subst\_domain } s"
  obtains f T where "s x = \text{Fun } f T" "\text{Fun } f T \in \text{subst\_range } s" "fv (\text{Fun } f T) = \{}"
proof -
  from assms(2) obtain t where t: "s x = t" "t \in \text{subst\_range } s" by atomize_elim auto
  hence "fv t = \{}" using assms(1) by auto
  thus ?thesis using t that by (cases t) simp_all
qed

lemma ground_term_subst_domain_fv_subset:
  "fv (t \cdot \delta) = \{} \implies fv t \subseteq \text{subst\_domain } \delta"
by (induct t) auto

lemma ground_subst_range_empty_fv:
  "ground (\text{subst\_range } \vartheta) \implies x \in \text{subst\_domain } \vartheta \implies fv (\vartheta x) = \{}"
by simp

lemma subst_Var_notin_img: " $x \notin \text{range\_vars } s \implies t \cdot s = \text{Var } x \implies t = \text{Var } x$ "
using subst_not_img_fixed[of x t s] by (induct t) auto

lemma fv_in_subst_img: " $\llbracket s v = t; t \neq \text{Var } v \rrbracket \implies fv t \subseteq \text{range\_vars } s$ "
unfolding range_vars_alt_def by auto

lemma empty_dom_iff_empty_subst: " $\text{subst\_domain } \vartheta = \{} \longleftrightarrow \vartheta = \text{Var}$ " by auto

lemma subst_dom_cong: " $(\bigwedge v t. \vartheta v = t \implies \delta v = t) \implies \text{subst\_domain } \vartheta \subseteq \text{subst\_domain } \delta$ "
by (auto simp add: subst_domain_def)

lemma subst_img_cong: " $(\bigwedge v t. \vartheta v = t \implies \delta v = t) \implies \text{range\_vars } \vartheta \subseteq \text{range\_vars } \delta$ "
unfolding range_vars_alt_def by (auto simp add: subst_domain_def)

```

```

lemma subst_dom_elim: "subst_domain s ∩ range_vars s = {} ==> fv (t · s) ∩ subst_domain s = {}"
proof (induction t)
  case (Var v) thus ?case
    using fv_in_subst_img[of s]
    by (cases "s v = Var v") (auto simp add: subst_domain_def)
next
  case Fun thus ?case by auto
qed

lemma subst_dom_insert_finite: "finite (subst_domain s) = finite (subst_domain (s(v := t)))"
proof
  assume "finite (subst_domain s)"
  have "subst_domain (s(v := t)) ⊆ insert v (subst_domain s)" by (auto simp add: subst_domain_def)
  thus "finite (subst_domain (s(v := t)))"
    by (meson <finite (subst_domain s)> finite_insert rev_finite_subset)
next
  assume *: "finite (subst_domain (s(v := t)))"
  hence "finite (insert v (subst_domain s))"
  proof (cases "t = Var v")
    case True
    hence "finite (subst_domain s - {v})" by (metis * subst_dom_update3)
    thus ?thesis by simp
  qed (metis * subst_dom_update2[of t v s])
  thus "finite (subst_domain s)" by simp
qed

lemma trm_subst_disj: "t · θ = t ==> fv t ∩ subst_domain θ = {}"
proof (induction t)
  case (Fun f X)
  hence "map (λx. x · θ) X = X" by simp
  hence "¬∃x. x ∈ set X ==> x · θ = x" using map_eq_conv by fastforce
  thus ?case using Fun.IH by auto
qed (simp add: subst_domain_def)

declare subst_apply_term_ident[intro]

lemma trm_subst_ident'[intro]: "v ∉ subst_domain θ ==> (Var v) · θ = Var v"
using subst_apply_term_ident by (simp add: subst_domain_def)

lemma trm_subst_ident''[intro]: "(¬∃x. x ∈ fv t ==> θ x = Var x) ==> t · θ = t"
proof -
  assume "¬∃x. x ∈ fv t ==> θ x = Var x"
  hence "fv t ∩ subst_domain θ = {}" by (auto simp add: subst_domain_def)
  thus ?thesis using subst_apply_term_ident by auto
qed

lemma set_subst_ident: "fv_set M ∩ subst_domain θ = {} ==> M ·_set θ = M"
proof -
  assume "fv_set M ∩ subst_domain θ = {}"
  hence "¬∃t ∈ M. t · θ = t" by auto
  thus ?thesis by force
qed

lemma trm_subst_ident_subterms[intro]:
  "fv t ∩ subst_domain θ = {} ==> subterms t ·_set θ = subterms t"
using set_subst_ident[of "subterms t" θ] fv_subterms[of t] by simp

lemma trm_subst_ident_subterms'[intro]:
  "v ∉ fv t ==> subterms t ·_set Var(v := s) = subterms t"
using trm_subst_ident_subterms[of t "Var(v := s)"]
by (meson subst_no_occs trm_subst_disj vars_iff_subtermeq)

lemma const_mem_subst_cases:

```

```

assumes "Fun c [] ∈ M ·set θ"
shows "Fun c [] ∈ M ∨ Fun c [] ∈ θ ` fvset M"
proof -
  obtain m where m: "m ∈ M" "m · θ = Fun c []" using assms by auto
  thus ?thesis by (cases m) force+
qed

lemma const_mem_subst_cases':
  assumes "Fun c [] ∈ M ·set θ"
  shows "Fun c [] ∈ M ∨ Fun c [] ∈ subst_range θ"
using const_mem_subst_cases[OF assms] by force

lemma fv_subterms_substI[intro]: "y ∈ fv t ⟹ θ y ∈ subterms t ·set θ"
using image_iff vars_iff_subtermeq by fastforce

lemma fv_subterms_subst_eq[simp]: "fvset (subterms (t · θ)) = fvset (subterms t ·set θ)"
using fv_subterms by (induct t) force+

lemma fv_subterms_set_subst: "fvset (subtermsset M ·set θ) = fvset (subtermsset (M ·set θ))"
using fv_subterms_subst_eq[of _ θ] by auto

lemma fv_subterms_set_subst': "fvset (subtermsset M ·set θ) = fvset (M ·set θ)"
using fv_subterms_set_eq[of "M ·set θ"] fv_subterms_set_subst[of θ M] by simp

lemma fv_subst_subset: "x ∈ fv t ⟹ fv (θ x) ⊆ fv (t · θ)"
by (metis fv_subset image_eqI subst_apply_fv_unfold)

lemma fv_subst_subset': "fv s ⊆ fv t ⟹ fv (s · θ) ⊆ fv (t · θ)"
using fv_subst_subset by (induct s) force+

lemma fv_subst_obtain_var:
  fixes δ::("a,'b) subst"
  assumes "x ∈ fv (t · δ)"
  shows "∃y ∈ fv t. x ∈ fv (δ y)"
using assms by (induct t) force+

lemma set_subst_all_ident: "fvset (M ·set θ) ∩ subst_domain δ = {} ⟹ M ·set (θ ∘s δ) = M ·set θ"
by (metis set_subst_ident subst_comp_all)

lemma subterms_subst:
  "subterms (t · d) = (subterms t ·set d) ∪ subtermsset (d ` (fv t ∩ subst_domain d))"
by (induct t) (auto simp add: subst_domain_def)

lemma subterms_subst':
  fixes θ::("a,'b) subst"
  assumes "∀x ∈ fv t. (∃f. θ x = Fun f []) ∨ (∃y. θ x = Var y)"
  shows "subterms (t · θ) = subterms t ·set θ"
using assms
proof (induction t)
  case (Var x) thus ?case
    proof (cases "x ∈ subst_domain θ")
      case True
      hence "(∃f. θ x = Fun f []) ∨ (∃y. θ x = Var y)" using Var by simp
      hence "subterms (θ x) = {θ x}" by auto
      thus ?thesis by simp
    qed auto
  qed auto

lemma subterms_subst'':
  fixes θ::("a,'b) subst"
  assumes "∀x ∈ fvset M. (∃f. θ x = Fun f []) ∨ (∃y. θ x = Var y)"
  shows "subtermsset (M ·set θ) = subtermsset M ·set θ"
using subterms_subst'[of _ θ] assms by auto

```

```

lemma subterms_subst_subterm:
  fixes  $\vartheta ::= ('a, 'b) subst$ 
  assumes " $\forall x \in fv a. (\exists f. \vartheta x = Fun f []) \vee (\exists y. \vartheta x = Var y)$ "  

    and " $b \in subterms (a \cdot \vartheta)$ "
  shows " $\exists c \in subterms a. c \cdot \vartheta = b$ "
using subterms_subst[OF assms(1)] assms(2) by auto

lemma subterms_subst_subset: "subterms t ·set σ ⊆ subterms (t · σ)"
by (induct t) auto

lemma subterms_subst_subset': "subterms_set M ·set σ ⊆ subterms_set (M ·set σ)"
using subterms_subst_subset by fast

lemma subterms_set_subst:
  fixes  $\vartheta ::= ('a, 'b) subst$ 
  assumes "t ∈ subterms_set (M ·set σ)"
  shows "t ∈ subterms_set M ·set σ ∨ (∃ x ∈ fv_set M. t ∈ subterms (σ x))"
using assms subterms_subst[of _ σ] by auto

lemma rm_vars_dom: "subst_domain (rm_vars V s) = subst_domain s - V"
by (auto simp add: subst_domain_def)

lemma rm_vars_dom_subset: "subst_domain (rm_vars V s) ⊆ subst_domain s"
by (auto simp add: subst_domain_def)

lemma rm_vars_dom_eq':
  "subst_domain (rm_vars (UNIV - V) s) = subst_domain s ∩ V"
using rm_vars_dom[of "UNIV - V" s] by blast

lemma rm_vars_dom_eqI:
  assumes "t · δ = t · σ"
  shows "subst_domain (rm_vars (UNIV - fv t) δ) = subst_domain (rm_vars (UNIV - fv t) σ)"
by (meson assms Diff_iff UNIV_I term_subst_eq_rev)

lemma rm_vars_img: "subst_range (rm_vars V s) = s ` subst_domain (rm_vars V s)"
by (auto simp add: subst_domain_def)

lemma rm_vars_img_subset: "subst_range (rm_vars V s) ⊆ subst_range s"
by (auto simp add: subst_domain_def)

lemma rm_vars_img_fv_subset: "range_vars (rm_vars V s) ⊆ range_vars s"
unfolding range_vars_alt_def by (auto simp add: subst_domain_def)

lemma rm_vars_fv_obtain:
  assumes "x ∈ fv (t · rm_vars X σ) - X"
  shows " $\exists y \in fv t - X. x \in fv (rm_vars X σ y)$ "
using assms by (induct t) (fastforce, force)

lemma rm_vars_apply: "v ∈ subst_domain (rm_vars V s) ⟹ (rm_vars V s) v = s v"
by (auto simp add: subst_domain_def)

lemma rm_vars_apply': "subst_domain σ ∩ vs = {} ⟹ rm_vars vs σ = σ"
by force

lemma rm_vars_ident: "fv t ∩ vs = {} ⟹ t · (rm_vars vs σ) = t · σ"
by (induct t) auto

lemma rm_vars_fv_subset: "fv (t · rm_vars X σ) ⊆ fv t ∪ fv (t · σ)"
by (induct t) auto

lemma rm_vars_fv_disj:
  assumes "fv t ∩ X = {}" "fv (t · σ) ∩ X = {}"

```

```

shows "fv (t · rm_vars X θ) ∩ X = {}"
using rm_vars_ident[OF assms(1)] assms(2) by auto

lemma rm_vars_ground_supports:
  assumes "ground (subst_range θ)"
  shows "rm_vars X θ supports θ"
proof
  fix x
  have *: "ground (subst_range (rm_vars X θ))"
    using rm_vars_img_subset[of X θ] assms
    by (auto simp add: subst_domain_def)
  show "rm_vars X θ x · θ = θ x"
    proof (cases "x ∈ subst_domain (rm_vars X θ)")
      case True
      hence "fv (rm_vars X θ x) = {}" using * by auto
      thus ?thesis using True by auto
    qed (simp add: subst_domain_def)
  qed

lemma rm_vars_split:
  assumes "ground (subst_range θ)"
  shows "θ = rm_vars X θ ∘s rm_vars (subst_domain θ - X) θ"
proof -
  let ?s1 = "rm_vars X θ"
  let ?s2 = "rm_vars (subst_domain θ - X) θ"

  have doms: "subst_domain ?s1 ⊆ subst_domain θ" "subst_domain ?s2 ⊆ subst_domain θ"
    by (auto simp add: subst_domain_def)

  { fix x assume "x ∉ subst_domain θ"
    hence "?s1 x = Var x" "?s1 x = Var x" "?s2 x = Var x" using doms by auto
    hence "?θ x = (?s1 ∘s ?s2) x" by (simp add: subst_compose_def)
  } moreover {
    fix x assume "x ∈ subst_domain θ" "x ∈ X"
    hence "?s1 x = Var x" "?s2 x = θ x" using doms by auto
    hence "?θ x = (?s1 ∘s ?s2) x" by (simp add: subst_compose_def)
  } moreover {
    fix x assume "x ∈ subst_domain θ" "x ∉ X"
    hence "?s1 x = θ x" "fv (θ x) = {}" using assms doms by auto
    hence "?θ x = (?s1 ∘s ?s2) x" by (simp add: subst_compose subst_ground_ident)
  } ultimately show ?thesis by blast
qed

lemma rm_vars_fv_img_disj:
  assumes "fv t ∩ X = {}" "X ∩ range_vars θ = {}"
  shows "fv (t · rm_vars X θ) ∩ X = {}"
using assms
proof (induction t)
  case (Var x)
  hence *: "(rm_vars X θ) x = θ x" by auto
  show ?case
    proof (cases "x ∈ subst_domain θ")
      case True
      hence "?θ x ∈ subst_range θ" by auto
      hence "fv (θ x) ∩ X = {}" using Var.prems(2) unfolding range_vars_alt_def by fastforce
      thus ?thesis using * by auto
    next
      case False thus ?thesis using Var.prems(1) by auto
    qed
  next
    case Fun thus ?case by auto
  qed
next
  case Fun thus ?case by auto
qed

```

```

lemma subst_apply_dom_ident: "t · θ = t ==> subst_domain δ ⊆ subst_domain θ ==> t · δ = t"
proof (induction t)
  case (Fun f T) thus ?case by (induct T) auto
qed (auto simp add: subst_domain_def)

lemma rm_vars_subst_apply_ident:
  assumes "t · θ = t"
  shows "t · (rm_vars vs θ) = t"
using rm_vars_dom[of vs θ] subst_apply_dom_ident[OF assms, of "rm_vars vs θ"] by auto

lemma rm_vars_subst_eq:
  "t · δ = t · rm_vars (subst_domain δ - subst_domain δ ∩ fv t) δ"
by (auto intro: term_subst_eq)

lemma rm_vars_subst_eq':
  "t · δ = t · rm_vars (UNIV - fv t) δ"
by (auto intro: term_subst_eq)

lemma rm_vars_comp:
  assumes "range_vars δ ∩ vs = {}"
  shows "t · rm_vars vs (δ o_s θ) = t · (rm_vars vs δ o_s rm_vars vs θ)"
using assms
proof (induction t)
  case (Var x) thus ?case
  proof (cases "x ∈ vs")
    case True thus ?thesis using Var
      by (simp add: subst_compose_def)
  next
    case False
    have "subst_domain (rm_vars vs θ) ∩ vs = {}" by (auto simp add: subst_domain_def)
    moreover have "fv (δ x) ∩ vs = {}"
      using Var False unfolding range_vars_alt_def by force
    ultimately have "δ x · (rm_vars vs θ) = δ x · θ"
      using rm_vars_ident by (simp add: subst_domain_def)
    moreover have "(rm_vars vs (δ o_s θ)) x = (δ o_s θ) x" by (metis False)
    ultimately show ?thesis by (auto simp: subst_compose)
  qed
next
  case Fun thus ?case by auto
qed

lemma rm_vars_fvset_subst:
  assumes "x ∈ fv_set (rm_vars X θ ` Y)"
  shows "x ∈ fv_set (θ ` Y) ∨ x ∈ X"
using assms by auto

lemma disj_dom_img_var_notin:
  assumes "subst_domain θ ∩ range_vars θ = {}" "θ v = t" "t ≠ Var v"
  shows "v ∉ fv t" "∀ v ∈ fv (t · θ). v ∉ subst_domain θ"
proof -
  have "v ∈ subst_domain θ" "fv t ⊆ range_vars θ"
    using fv_in_subst_img[of θ v t, OF assms(2)] assms(2,3)
    by (auto simp add: subst_domain_def)
  thus "v ∉ fv t" using assms(1) by auto

  have *: "fv t ∩ subst_domain θ = {}"
    using assms(1) <fv t ⊆ range_vars θ>
    by auto
  hence "t · θ = t" by blast
  thus "∀ v ∈ fv (t · θ). v ∉ subst_domain θ" using * by auto
qed

lemma subst_sends_dom_to_img: "v ∈ subst_domain θ ==> fv (Var v · θ) ⊆ range_vars θ"

```

```

unfolding range_vars_alt_def by auto

lemma subst_sends fv_to_img: "fv (t · s) ⊆ fv t ∪ range_vars s"
proof (induction t)
  case (Var v) thus ?case
    proof (cases "Var v · s = Var v")
      case True thus ?thesis by simp
    next
      case False
      hence "v ∈ subst_domain s" by (meson trm_subst_idem')
      hence "fv (Var v · s) ⊆ range_vars s"
        using subst_sends_dom_to_img by simp
      thus ?thesis by auto
    qed
  next
    case Fun thus ?case by auto
  qed

lemma ident_comp_subst_trm_if_disj:
  assumes "subst_domain σ ∩ range_vars θ = {}" "v ∈ subst_domain θ"
  shows "(θ ∘s σ) v = θ v"
proof -
  from assms have "subst_domain σ ∩ fv (θ v) = {}"
    using fv_in_subst_img unfolding range_vars_alt_def by auto
  thus "(θ ∘s σ) v = θ v" unfolding subst_compose_def by blast
qed

lemma ident_comp_subst_trm_if_disj': "fv (θ v) ∩ subst_domain σ = {} ⟹ (θ ∘s σ) v = θ v"
unfolding subst_compose_def by blast

lemma subst_idemI[intro]: "subst_domain σ ∩ range_vars σ = {} ⟹ subst_idem σ"
using ident_comp_subst_trm_if_disj[of σ σ]
  var_not_in_subst_dom[of _ σ]
  subst_eq_if_eq_vars[of σ]
by (metis subst_idem_def subst_compose_def var_comp(2))

lemma subst_idemI'[intro]: "ground (subst_range σ) ⟹ subst_idem σ"
proof (intro subst_idemI)
  assume "ground (subst_range σ)"
  hence "range_vars σ = {}" by (metis ground_range_vars)
  thus "subst_domain σ ∩ range_vars σ = {}" by blast
qed

lemma subst_idemE: "subst_idem σ ⟹ subst_domain σ ∩ range_vars σ = {}"
proof -
  assume "subst_idem σ"
  hence "¬(v. fv (σ v) ∩ subst_domain σ = {})"
    unfolding subst_idem_def subst_compose_def by (metis trm_subst_disj)
  thus ?thesis
    unfolding range_vars_alt_def by auto
  qed

lemma subst_idem_rm_vars: "subst_idem θ ⟹ subst_idem (rm_vars X θ)"
proof -
  assume "subst_idem θ"
  hence "subst_domain θ ∩ range_vars θ = {}" by (metis subst_idemE)
  moreover have
    "subst_domain (rm_vars X θ) ⊆ subst_domain θ"
    "range_vars (rm_vars X θ) ⊆ range_vars θ"
    unfolding range_vars_alt_def by (auto simp add: subst_domain_def)
  ultimately show ?thesis by blast
qed

```

```

lemma subst_fv_bounded_if_img_bounded: "range_vars  $\vartheta \subseteq fv t \cup V \implies fv(t \cdot \vartheta) \subseteq fv t \cup V"$ 
proof (induction t)
  case (Var v) thus ?case unfolding range_vars_alt_def by (cases " $\vartheta v = Var v$ ") auto
qed (metis (no_types, lifting) Un_assoc Un_commute subst_sends_fv_to_img sup.absorb_iff2)

lemma subst_fv_bound_singleton: "fv(t \cdot Var(v := t')) \subseteq fv t \cup fv t'"
using subst_fv_bounded_if_img_bounded[of "Var(v := t')" t "fv t'"]
unfolding range_vars_alt_def by (auto simp add: subst_domain_def)

lemma subst_fv_bounded_if_img_bounded':
  assumes "range_vars  $\vartheta \subseteq fv_{set} M$ "
  shows "fv_{set}(M \cdot_{set} \vartheta) \subseteq fv_{set} M"
proof
  fix v assume *: "v \in fv_{set}(M \cdot_{set} \vartheta)"
  obtain t where t: "t \in M" "t \cdot \vartheta \in M \cdot_{set} \vartheta" "v \in fv(t \cdot \vartheta)"
  proof -
    assume **: "\A t. [t \in M; t \cdot \vartheta \in M \cdot_{set} \vartheta; v \in fv(t \cdot \vartheta)] \implies thesis"
    have "v \in \bigcup(fv \cdot ((\lambda t. t \cdot \vartheta) \cdot M))" using * by (metis fv_set.simps)
    hence "\exists t. t \in M \wedge v \in fv(t \cdot \vartheta)" by blast
    thus ?thesis using ** imageI by blast
  qed
  from <t \in M> obtain M' where "t \notin M'" "M = insert t M'" by (meson Set.set_insert)
  hence "fv_{set} M = fv t \cup fv_{set} M'" by simp
  hence "fv(t \cdot \vartheta) \subseteq fv_{set} M'" using subst_fv_bounded_if_img_bounded assms by simp
  thus "v \in fv_{set} M'" using assms <v \in fv(t \cdot \vartheta)> by auto
qed

lemma ground_img_if_ground_subst: "(\A v t. s v = t \implies fv t = {}) \implies range_vars s = {}"
unfolding range_vars_alt_def by auto

lemma ground_subst_fv_subset: "ground(subst_range \vartheta) \implies fv(t \cdot \vartheta) \subseteq fv t"
using subst_fv_bounded_if_img_bounded[of \vartheta]
unfolding range_vars_alt_def by force

lemma ground_subst_fv_subset': "ground(subst_range \vartheta) \implies fv_{set}(M \cdot_{set} \vartheta) \subseteq fv_{set} M"
using subst_fv_bounded_if_img_bounded'[of \vartheta M]
unfolding range_vars_alt_def by auto

lemma subst_to_var_is_var[elim]: "t \cdot s = Var v \implies \exists w. t = Var w"
by (auto elim!: eval_term.elims)

lemma subst_dom_comp_inI:
  assumes "y \notin subst_domain \sigma"
  and "y \in subst_domain \delta"
  shows "y \in subst_domain (\sigma \circ_s \delta)"
using assms subst_domain_subst_compose[of \sigma \delta] by blast

lemma subst_comp_notin_dom_eq:
  "x \notin subst_domain \vartheta_1 \implies (\vartheta_1 \circ_s \vartheta_2) x = \vartheta_2 x"
unfolding subst_compose_def by fastforce

lemma subst_dom_comp_eq:
  assumes "subst_domain \vartheta \cap range_vars \sigma = {}"
  shows "subst_domain (\vartheta \circ_s \sigma) = subst_domain \vartheta \cup subst_domain \sigma"
proof (rule ccontr)
  assume "subst_domain (\vartheta \circ_s \sigma) \neq subst_domain \vartheta \cup subst_domain \sigma"
  hence "subst_domain (\vartheta \circ_s \sigma) \subset subst_domain \vartheta \cup subst_domain \sigma"
    using subst_domain_compose[of \vartheta \sigma] by (simp add: subst_domain_def)
  then obtain v where "v \notin subst_domain (\vartheta \circ_s \sigma)" "v \in subst_domain \vartheta \cup subst_domain \sigma" by auto
  hence v_in_some_subst: "\vartheta v \neq Var v \vee \sigma v \neq Var v" and "\vartheta v \cdot \sigma = Var v"
    unfolding subst_compose_def by (auto simp add: subst_domain_def)

```

```

then obtain w where " $\vartheta v = \text{Var } w$ " using subst_to_var_is_var by fastforce
show False
proof (cases " $v = w$ ")
  case True
    hence " $\vartheta v = \text{Var } v$ " using  $\vartheta v = \text{Var } w$  by simp
    hence " $\sigma v \neq \text{Var } v$ " using v_in_some_subst by simp
    thus False using  $\vartheta v = \text{Var } v$   $\vartheta v \cdot \sigma = \text{Var } v$  by simp
next
  case False
    hence " $v \in \text{subst\_domain } \vartheta$ " using v_in_some_subst  $\vartheta v \cdot \sigma = \text{Var } v$  by auto
    hence " $v \notin \text{range\_vars } \sigma$ " using assms by auto
    moreover have " $\sigma w = \text{Var } v$ " using  $\vartheta v \cdot \sigma = \text{Var } v$   $\vartheta v = \text{Var } w$  by simp
    hence " $v \in \text{range\_vars } \sigma$ " using  $v \neq w$  subst_fv_imgI[of  $\sigma w$ ] by simp
    ultimately show False ..
qed
qed

lemma subst_img_comp_subset[simp]:
  "range_vars (\vartheta1 \circ_s \vartheta2) \subseteq range_vars \vartheta1 \cup range_vars \vartheta2"
proof
  let ?img = "range_vars"
  fix x assume "x \in ?img (\vartheta1 \circ_s \vartheta2)"
  then obtain v t where vt: "x \in fv t" "t = (\vartheta1 \circ_s \vartheta2) v" "t \neq \text{Var } v"
    unfolding range_vars_alt_def subst_compose_def by (auto simp add: subst_domain_def)

  { assume "x \notin ?img \vartheta1" hence "x \in ?img \vartheta2"
    by (metis (no_types, opaque_lifting) fv_in_subst_img Un_iff subst_compose_def
      vt subsetCE eval_term.simps(1) subst_sends_fv_to_img)
  }
  thus "x \in ?img \vartheta1 \cup ?img \vartheta2" by auto
qed

lemma subst_img_comp_subset':
  assumes "t \in subst_range (\vartheta1 \circ_s \vartheta2)"
  shows "t \in subst_range \vartheta2 \vee (\exists t' \in subst_range \vartheta1. t = t' \cdot \vartheta2)"
proof -
  obtain x where x: "x \in subst_domain (\vartheta1 \circ_s \vartheta2)" "( \vartheta1 \circ_s \vartheta2) x = t" "t \neq \text{Var } x"
    using assms by (auto simp add: subst_domain_def)
  { assume "x \notin subst_domain \vartheta1"
    hence "(\vartheta1 \circ_s \vartheta2) x = \vartheta2 x" unfolding subst_compose_def by auto
    hence ?thesis using x by auto
  }
  moreover {
    assume "x \in subst_domain \vartheta1" hence ?thesis using subst_compose x(2) by fastforce
  }
  ultimately show ?thesis by metis
qed

lemma subst_img_comp_subset'':
  "subterms_set (subst_range (\vartheta1 \circ_s \vartheta2)) \subseteq
   subterms_set (subst_range \vartheta2) \cup ((subterms_set (subst_range \vartheta1)) \cdot_{set} \vartheta2)"
proof
  fix t assume "t \in subterms_set (subst_range (\vartheta1 \circ_s \vartheta2))"
  then obtain x where x: "x \in subst_domain (\vartheta1 \circ_s \vartheta2)" "t \in subterms ((\vartheta1 \circ_s \vartheta2) x)"
    by auto
  show "t \in subterms_set (subst_range \vartheta2) \cup (subterms_set (subst_range \vartheta1)) \cdot_{set} \vartheta2"
  proof (cases "x \in subst_domain \vartheta1")
    case True thus ?thesis
      using subst_compose[of \vartheta1 \vartheta2] x(2) subterms_subst
      by fastforce
  next
    case False
      hence "(\vartheta1 \circ_s \vartheta2) x = \vartheta2 x" unfolding subst_compose_def by auto
      thus ?thesis using x by (auto simp add: subst_domain_def)
  qed
qed

```

qed

```

lemma subst_img_comp_subset'':
  "subtermsset (subst_range (θ1 os θ2)) - range Var ⊆
   subtermsset (subst_range θ2) - range Var ∪ ((subtermsset (subst_range θ1) - range Var) ·set θ2)"
proof
  fix t assume t: "t ∈ subtermsset (subst_range (θ1 os θ2)) - range Var"
  then obtain f T where fT: "t = Fun f T" by (cases t) simp_all
  then obtain x where x: "x ∈ subst_domain (θ1 os θ2)" "Fun f T ∈ subterms ((θ1 os θ2) x)"
    using t by auto
  have "Fun f T ∈ subtermsset (subst_range θ2) ∪ (subtermsset (subst_range θ1) - range Var ·set θ2)"
  proof (cases "x ∈ subst_domain θ1")
    case True
    hence "Fun f T ∈ (subtermsset (subst_range θ2)) ∪ (subterms (θ1 x) ·set θ2)"
      using x(2)
      by (auto simp: subst_compose subterms_subst)
    moreover have ?thesis when *: "Fun f T ∈ subterms (θ1 x) ·set θ2"
      proof -
        obtain s where s: "s ∈ subterms (θ1 x)" "Fun f T = s · θ2" using * by atomize_elim auto
        show ?thesis
        proof (cases s)
          case (Var y)
          hence "Fun f T ∈ subst_range θ2" using s by force
          thus ?thesis by blast
        next
          case (Fun g S)
          hence "Fun f T ∈ (subterms (θ1 x) - range Var) ·set θ2" using s by blast
          thus ?thesis using True by auto
        qed
      qed
      ultimately show ?thesis by blast
    next
      case False
      hence "(θ1 os θ2) x = θ2 x" unfolding subst_compose_def by auto
      thus ?thesis using x by (auto simp add: subst_domain_def)
    qed
    thus "t ∈ subtermsset (subst_range θ2) - range Var ∪
          (subtermsset (subst_range θ1) - range Var ·set θ2)"
      using fT by auto
  qed

```

```

lemma subst_img_comp_subset_const:
  assumes "Fun c [] ∈ subst_range (θ1 os θ2)"
  shows "Fun c [] ∈ subst_range θ2 ∨ Fun c [] ∈ subst_range θ1 ∨
         (∃ x. Var x ∈ subst_range θ1 ∧ θ2 x = Fun c [])"
proof (cases "Fun c [] ∈ subst_range θ2")
  case False
  then obtain t where t: "t ∈ subst_range θ1" "Fun c [] = t · θ2"
    using subst_img_comp_subset'[OF assms] by auto
  thus ?thesis by (cases t) auto
qed (simp add: subst_img_comp_subset'[OF assms])

```

```

lemma subst_img_comp_subset_const':
  fixes δ τ::"('f,'v) subst"
  assumes "(δ os τ) x = Fun c []"
  shows "δ x = Fun c [] ∨ (∃ z. δ x = Var z ∧ τ z = Fun c [])"
proof (cases "δ x = Fun c []")
  case False
  then obtain t where "δ x = t" "t · τ = Fun c []" using assms unfolding subst_compose_def by auto
  thus ?thesis by (cases t) auto
qed simp

```

```
lemma subst_img_comp_subset_ground:
```

```

assumes "ground (subst_range  $\vartheta_1$ )"
shows "subst_range ( $\vartheta_1 \circ_s \vartheta_2$ ) \subseteq subst_range  $\vartheta_1 \cup$  subst_range  $\vartheta_2$ "
proof
fix t assume t: " $t \in subst\_range (\vartheta_1 \circ_s \vartheta_2)$ "
then obtain x where x: " $x \in subst\_domain (\vartheta_1 \circ_s \vartheta_2)$ " " $t = (\vartheta_1 \circ_s \vartheta_2) x$ " by auto

show " $t \in subst\_range \vartheta_1 \cup subst\_range \vartheta_2$ "
proof (cases "x \in subst\_domain \vartheta_1")
case True
hence "fv ( $\vartheta_1 x$ ) = {}" using assms ground_subst_range_empty_fv by fast
hence " $t = \vartheta_1 x$ " using x(2) unfolding subst_compose_def by blast
thus ?thesis using True by simp
next
case False
hence " $t = \vartheta_2 x$ " " $x \in subst\_domain \vartheta_2$ "
using x subst_domain_compose[of  $\vartheta_1 \vartheta_2$ ]
by (metis subst_comp_notin_dom_eq, blast)
thus ?thesis using x by simp
qed
qed

lemma subst fv dom img single:
assumes "v \notin fv t" " $\sigma v = t$ " " $\bigwedge w. v \neq w \implies \sigma w = Var w$ "
shows "subst_domain \sigma = {v}" "range_vars \sigma = fv t"
proof -
show "subst_domain \sigma = {v}" using assms by (fastforce simp add: subst_domain_def)
have "fv t \subseteq range_vars \sigma" by (metis fv_in_subst_img assms(1,2) vars_iff_subterm_or_eq)
moreover have " $\bigwedge v. \sigma v \neq Var v \implies \sigma v = t$ " using assms by fastforce
ultimately show "range_vars \sigma = fv t"
unfolding range_vars_alt_def
by (auto simp add: subst_domain_def)
qed

lemma subst comp upd1:
" $\vartheta(v := t) \circ_s \sigma = (\vartheta \circ_s \sigma)(v := t \cdot \sigma)$ "
unfolding subst_compose_def by auto

lemma subst comp upd2:
assumes "v \notin subst_domain s" "v \notin range_vars s"
shows "s(v := t) = s \circ_s (Var(v := t))"
unfolding subst_compose_def
proof -
{ fix w
have "(s(v := t)) w = s w \cdot Var(v := t)"
proof (cases "w = v")
case True
hence "s w = Var w" using v \notin subst_domain s by (simp add: subst_domain_def)
thus ?thesis using w = v by simp
next
case False
hence "(s(v := t)) w = s w" by simp
moreover have "s w \cdot Var(v := t) = s w" using w \neq v v \notin range_vars s
by (metis fv_in_subst_img fun_upd_apply insert_absorb insert_subset
repl_invariance eval_term.simps(1) subst_apply_term_empty)
ultimately show ?thesis ..
qed
}
thus "s(v := t) = (\lambda w. s w \cdot Var(v := t))" by auto
qed

lemma ground subst dom iff img:
"ground (subst_range \sigma) \implies x \in subst_domain \sigma \longleftrightarrow \sigma x \in subst_range \sigma"
by (auto simp add: subst_domain_def)

```

```

lemma finite_dom_subst_exists:
  "finite S ==> ∃σ::('f,'v) subst. subst_domain σ = S"
proof (induction S rule: finite.induct)
  case (insertI A a)
  then obtain σ::("f,'v) subst" where "subst_domain σ = A" by blast
  fix f::'f
  have "subst_domain (σ(a := Fun f [])) = insert a A"
    using <subst_domain σ = A>
    by (auto simp add: subst_domain_def)
  thus ?case by metis
qed (auto simp add: subst_domain_def)

lemma subst_inj_is_bij_betw_dom_img_if_ground_img:
  assumes "ground (subst_range σ)"
  shows "inj σ ↔ bij_betw σ (subst_domain σ) (subst_range σ)" (is "?A ↔ ?B")
proof
  show "?A ==> ?B" by (metis bij_betw_def injD inj_onI subst_range.simps)
next
  assume ?B
  hence "inj_on σ (subst_domain σ)" unfolding bij_betw_def by auto
  moreover have "¬(x. x ∈ UNIV - subst_domain σ ==> σ x = Var x)" by auto
  hence "inj_on σ (UNIV - subst_domain σ)"
    using inj_onI[of "UNIV - subst_domain σ"]
    by (metis term.inject(1))
  moreover have "¬(x y. x ∈ subst_domain σ ==> y ∈ subst_domain σ ==> σ x ≠ σ y)"
    using assms by (auto simp add: subst_domain_def)
  ultimately show ?A by (metis injI inj_onD subst_domI term.inject(1))
qed

lemma bij_finite_ground_subst_exists:
  assumes "finite (S::'v set)" "infinite (U::('f,'v) term set)" "ground U"
  shows "∃σ::('f,'v) subst. subst_domain σ = S
         ∧ bij_betw σ (subst_domain σ) (subst_range σ)
         ∧ subst_range σ ⊆ U"
proof -
  obtain T' where "T' ⊆ U" "card T' = card S" "finite T'"
    by (meson assms(2) finite_Diff2 infinite_arbitrarily_large)
  then obtain f::"'v ⇒ ('f,'v) term" where f_bij: "bij_betw f S T'"
    using finite_same_card_bij[OF assms(1)] by metis
  hence *: "¬(v. v ∈ S ==> f v = Var v)"
    using <ground U> <T' ⊆ U> bij_betwE
    by fastforce
  let ?σ = "λv. if v ∈ S then f v else Var v"
  have "subst_domain ?σ = S"
  proof
    show "subst_domain ?σ ⊆ S" by (auto simp add: subst_domain_def)
    { fix v assume "v ∈ S" "v ∉ subst_domain ?σ"
      hence "f v = Var v" by (simp add: subst_domain_def)
      hence False using *[OF <v ∈ S>] by metis
    }
    thus "S ⊆ subst_domain ?σ" by blast
  qed
  hence "?σ w. [v ∈ subst_domain ?σ; w ∉ subst_domain ?σ] ==> ?σ w ≠ ?σ v"
    using <ground U> bij_betwE[OF f_bij] set_rev_mp[OF _ <T' ⊆ U>]
    by (metis (no_types, lifting) UN_iff empty_iff vars_iff_subterm_or_eq fv_set.simps)
  hence "inj_on ?σ (subst_domain ?σ)"
    using f_bij <subst_domain ?σ = S>
    unfolding bij_betw_def inj_on_def
    by metis
  hence "bij_betw ?σ (subst_domain ?σ) (subst_range ?σ)"

```

```

using inj_on_imp_bij_betw[of ?σ] by simp
moreover have "subst_range ?σ = T'"
  using <bij_betw f S T'> <subst_domain ?σ = S>
  unfolding bij_betw_def by auto
hence "subst_range ?σ ⊆ U" using <T' ⊆ U> by auto
ultimately show ?thesis using <subst_domain ?σ = S> by (metis (lifting))
qed

lemma bij_finite_const_subst_exists:
  assumes "finite (S::'v set)" "finite (T::'f set)" "infinite (U::'f set)"
  shows "∃σ::('f, 'v) subst. subst_domain σ = S
    ∧ bij_betw σ (subst_domain σ) (subst_range σ)
    ∧ subst_range σ ⊆ (λc. Fun c []) ` (U - T)"

proof -
  obtain T' where "T' ⊆ U - T" "card T' = card S" "finite T'"
    by (meson assms(2,3) finite_Diff2 infinite_arbitrarily_large)
  then obtain f::"v ⇒ 'f" where f_bij: "bij_betw f S T'"
    using finite_same_card_bij[OF assms(1)] by metis

  let ?σ = "λv. if v ∈ S then Fun (f v) [] else Var v"
  have "subst_domain ?σ = S" by (simp add: subst_domain_def)
  moreover have "¬ ∃v w. [v ∈ subst_domain ?σ; w ∉ subst_domain ?σ] ⇒ ?σ w ≠ ?σ v" by auto
  hence "inj_on ?σ (subst_domain ?σ)"
    using f_bij unfolding bij_betw_def inj_on_def
    by (metis <subst_domain ?σ = S> term.inject(2))
  hence "bij_betw ?σ (subst_domain ?σ) (subst_range ?σ)"
    using inj_on_imp_bij_betw[of ?σ] by simp
  moreover have "subst_range ?σ = ((λc. Fun c []) ` T')"
    using <bij_betw f S T'> unfolding bij_betw_def inj_on_def by (auto simp add: subst_domain_def)
  hence "subst_range ?σ ⊆ ((λc. Fun c []) ` (U - T))" using <T' ⊆ U - T> by auto
  ultimately show ?thesis by (metis (lifting))
qed

lemma bij_finite_const_subst_exists':
  assumes "finite (S::'v set)" "finite (T::('f, 'v) terms)" "infinite (U::'f set)"
  shows "∃σ::('f, 'v) subst. subst_domain σ = S
    ∧ bij_betw σ (subst_domain σ) (subst_range σ)
    ∧ subst_range σ ⊆ ((λc. Fun c []) ` U) - T"

proof -
  have "finite (UNION (fun_terms ` T))" using assms(2) by auto
  then obtain σ where σ:
    "subst_domain σ = S" "bij_betw σ (subst_domain σ) (subst_range σ)"
    "subst_range σ ⊆ (λc. Fun c []) ` (U - (UNION (fun_terms ` T)))"
    using bij_finite_const_subst_exists[OF assms(1) _ assms(3)] by blast
  moreover have "(λc. Fun c []) ` (U - (UNION (fun_terms ` T))) ⊆ ((λc. Fun c []) ` U) - T" by auto
  ultimately show ?thesis by blast
qed

lemma bij_betw_itel:
  assumes "bij_betw f A B" "bij_betw g C D" "A ∩ C = {}" "B ∩ D = {}"
  shows "bij_betw (λx. if x ∈ A then f x else g x) (A ∪ C) (B ∪ D)"

proof -
  have "bij_betw (λx. if x ∈ A then f x else g x) A B"
    by (metis bij_betw_cong[of A f "λx. if x ∈ A then f x else g x" B] assms(1))
  moreover have "bij_betw (λx. if x ∈ A then f x else g x) C D"
    using bij_betw_cong[of C g "λx. if x ∈ A then f x else g x" D] assms(2,3) by force
  ultimately show ?thesis using bij_betw_combine[OF _ _ assms(4)] by metis
qed

lemma subst_comp_split:
  assumes "subst_domain θ ∩ range_vars θ = {}"
  shows "θ = (rm_vars (subst_domain θ - V) θ) ∘_s (rm_vars V θ)" (is ?P)
  and "θ = (rm_vars V θ) ∘_s (rm_vars (subst_domain θ - V) θ)" (is ?Q)

```

```

proof -
let ?rm1 = "rm_vars (subst_domain θ - V) θ" and ?rm2 = "rm_vars V θ"
have "subst_domain ?rm2 ∩ range_vars ?rm1 = {}"
"subst_domain ?rm1 ∩ range_vars ?rm2 = {}"
using assms unfolding range_vars_alt_def by (force simp add: subst_domain_def)+
hence *: "¬v. v ∈ subst_domain ?rm1 ⇒ (?rm1 o_s ?rm2) v = θ v"
"¬v. v ∈ subst_domain ?rm2 ⇒ (?rm2 o_s ?rm1) v = θ v"
using ident_comp_subst_trm_if_disj[of ?rm2 ?rm1]
ident_comp_subst_trm_if_disj[of ?rm1 ?rm2]
by (auto simp add: subst_domain_def)
hence "¬v. v ∉ subst_domain ?rm1 ⇒ (?rm1 o_s ?rm2) v = θ v"
"¬v. v ∉ subst_domain ?rm2 ⇒ (?rm2 o_s ?rm1) v = θ v"
unfolding subst_compose_def by (auto simp add: subst_domain_def)
hence "¬v. (?rm1 o_s ?rm2) v = θ v" "¬v. (?rm2 o_s ?rm1) v = θ v" using * by blast+
thus ?P ?Q by auto
qed

lemma subst_comp_eq_if_disjoint_vars:
assumes "(subst_domain δ ∪ range_vars δ) ∩ (subst_domain γ ∪ range_vars γ) = {}"
shows "γ o_s δ = δ o_s γ"
proof -
{ fix x assume "x ∈ subst_domain γ"
hence "(γ o_s δ) x = γ x" "(δ o_s γ) x = γ x"
using assms unfolding range_vars_alt_def by (force simp add: subst_compose)+
hence "(γ o_s δ) x = (δ o_s γ) x" by metis
} moreover
{ fix x assume "x ∈ subst_domain δ"
hence "(γ o_s δ) x = δ x" "(δ o_s γ) x = δ x"
using assms
unfolding range_vars_alt_def by (auto simp add: subst_compose subst_domain_def)
hence "(γ o_s δ) x = (δ o_s γ) x" by metis
} moreover
{ fix x assume "x ∉ subst_domain γ" "x ∉ subst_domain δ"
hence "(γ o_s δ) x = (δ o_s γ) x" by (simp add: subst_compose subst_domain_def)
} ultimately show ?thesis by auto
qed

lemma subst_eq_if_disjoint_vars_ground:
fixes ξ δ::"('f,'v) subst"
assumes "subst_domain δ ∩ subst_domain ξ = {}" "ground (subst_range ξ)" "ground (subst_range δ)"
shows "t · δ · ξ = t · ξ · δ"
by (metis assms subst_comp_eq_if_disjoint_vars range_vars_alt_def
subst_subst_compose sup_bot.right_neutral)

lemma subst_img_bound: "subst_domain δ ∪ range_vars δ ⊆ fv t ⇒ range_vars δ ⊆ fv (t · δ)"
proof -
assume "subst_domain δ ∪ range_vars δ ⊆ fv t"
hence "subst_domain δ ⊆ fv t" by blast
thus ?thesis
by (metis (no_types) range_vars_alt_def le_iff_sup subst_apply_fv_unfold
subst_apply_fv_union subst_range.simps)
qed

lemma subst_all_fv_subset: "fv t ⊆ fv_set M ⇒ fv (t · θ) ⊆ fv_set (M ·_set θ)"
proof -
assume *: "fv t ⊆ fv_set M"
{ fix v assume "v ∈ fv t"
hence "v ∈ fv_set M" using * by auto
then obtain t' where "t' ∈ M" "v ∈ fv t'" by auto
hence "fv (θ v) ⊆ fv (t' · θ)"
by (metis eval_term.simps(1) subst_apply_fv_subset subst_apply_fv_unfold
subtermeq_vars_subset vars_iff_subtermeq)
hence "fv (θ v) ⊆ fv_set (M ·_set θ)" using ‹t' ∈ M› by auto
}

```

```

}

thus ?thesis by (auto simp: subst_apply_fv_unfold)
qed

lemma subst_support_if_mgt_subst_idem:
  assumes "θ ⊑ δ" "subst_idem θ"
  shows "θ supports δ"
proof -
  from <θ ⊑ δ> obtain σ where σ: "δ = θ ∘s σ" by blast
  hence "¬¬(¬¬(θ ∘s δ = Var v ∙ (θ ∘s θ ∘s σ)))" by (simp add: subst_compose)
  hence "¬¬(¬¬(θ ∘s δ = Var v ∙ (θ ∘s σ)))" using <subst_idem θ> unfolding subst_idem_def by simp
  hence "¬¬(¬¬(θ ∘s δ = Var v ∙ δ))" using σ by simp
  thus "θ supports δ" by simp
qed

lemma subst_support_iff_mgt_if_subst_idem:
  assumes "subst_idem θ"
  shows "θ ⊑ δ ↔ θ supports δ"
proof
  show "θ ⊑ δ ⟹ θ supports δ" by (fact subst_support_if_mgt_subst_idem[OF _ <subst_idem θ>])
  show "θ supports δ ⟹ θ ⊑ δ" by (fact subst_supportD)
qed

lemma subst_support_comp:
  fixes θ δ I::("a,'b) subst"
  assumes "θ supports I" "δ supports I"
  shows "(θ ∘s δ) supports I"
by (metis (no_types) assms subst_agreement eval_term.simps(1) subst_subst_compose)

lemma subst_support_comp':
  fixes θ δ σ::("a,'b) subst"
  assumes "θ supports δ"
  shows "θ supports (δ ∘s σ)" "σ supports δ ⟹ θ supports (σ ∘s δ)"
using assms unfolding subst_support_def by (metis subst_compose_assoc, metis)

lemma subst_support_comp_split:
  fixes θ δ I::("a,'b) subst"
  assumes "(θ ∘s δ) supports I"
  shows "subst_domain θ ∩ range_vars θ = {} ⟹ θ supports I"
  and "subst_domain θ ∩ subst_domain δ = {} ⟹ δ supports I"
proof -
  assume "subst_domain θ ∩ range_vars θ = {}"
  hence "subst_idem θ" by (metis subst_idemI)
  have "θ ⊑ I" using assms subst_compose_assoc[of θ δ I] unfolding subst_compose_def by metis
  show "θ supports I" using subst_support_if_mgt_subst_idem[OF <θ ⊑ I> <subst_idem θ>] by auto
next
  assume "subst_domain θ ∩ subst_domain δ = {}"
  moreover have "¬¬(¬¬(¬¬(¬¬(θ ∘s δ ∙ I = I ∙ v))))" using assms by metis
  ultimately have "¬¬(¬¬(¬¬(¬¬(θ ∘s δ ∙ I = I ∙ v))))" using var_not_in_subst_dom unfolding subst_compose_def
  by (metis IntI empty_iff eval_term.simps(1))
  thus "δ supports I" by force
qed

lemma subst_idem_support: "subst_idem θ ⟹ θ supports θ ∘s δ"
unfolding subst_idem_def by (metis subst_support_def subst_compose_assoc)

lemma subst_idem_iff_self_support: "subst_idem θ ↔ θ supports θ"
using subst_support_def[of θ θ] unfolding subst_idem_def by auto

lemma subterm_subst_neq: "t ⊑ t' ⟹ t ∙ s ≠ t' ∙ s"
by (metis subst_mono_neq)

```

## 2 Preliminaries and Intruder Model

```

lemma fv_Fun_subst_neq: "x ∈ fv (Fun f T) ⟹ σ x ≠ Fun f T · σ"
using subterm_subst_neq[of "Var x" "Fun f T"] vars_iff_subterm_or_eq[of x "Fun f T"] by auto

lemma subterm_subst_unfold:
  assumes "t ⊑ s · θ"
  shows "(∃s'. s' ⊑ s ∧ t = s' · θ) ∨ (∃x ∈ fv s. t ⊑ θ x)"
using assms
proof (induction s)
  case (Fun f T) thus ?case
    proof (cases "t = Fun f T · θ")
      case True thus ?thesis using Fun by auto
    next
      case False
      then obtain s' where "s' ∈ set T" "t ⊑ s' · θ" using Fun by auto
      hence "(∃s''. s'' ⊑ s' ∧ t = s'' · θ) ∨ (∃x ∈ fv s'. t ⊑ θ x)" by (metis Fun.IH)
      thus ?thesis using s'(1) by auto
    qed
  qed simp
qed

lemma subterm_subst_img_subterm:
  assumes "t ⊑ s · θ" "¬(∃s'. s' ⊑ s ⟹ t ≠ s' · θ)"
  shows "¬(∃w ∈ fv s. t ⊑ θ w)"
using subterm_subst_unfold[OF assms(1)] assms(2) by force

lemma subterm_subst_not_img_subterm:
  assumes "t ⊑ s · I" "¬(∃w ∈ fv s. t ⊑ I w)"
  shows "¬(∃f T. Fun f T ⊑ s ∧ t = Fun f T · I)"
proof (rule ccontr)
  assume "¬(∃f T. Fun f T ⊑ s ∧ t = Fun f T · I)"
  hence "¬(∃f T. Fun f T ⊑ s ⟹ t ≠ Fun f T · I)" by simp
  moreover have "¬(∃x. Var x ⊑ s ⟹ t ≠ Var x · I)"
    using assms(2) vars_iff_subtermeq by force
  ultimately have "¬(∃s'. s' ⊑ s ⟹ t ≠ s' · I)" by (metis "term.exhaust")
  thus False using assms subterm_subst_img_subterm by blast
qed

lemma subst_apply_img_var:
  assumes "v ∈ fv (t · δ)" "v ∉ fv t"
  obtains w where "w ∈ fv t" "v ∈ fv (δ w)"
using assms by (induct t) auto

lemma subst_apply_img_var':
  assumes "x ∈ fv (t · δ)" "x ∉ fv t"
  shows "¬(∃y ∈ fv t. x ∈ fv (δ y))"
by (metis assms subst_apply_img_var)

lemma nth_map_subst:
  fixes θ::"('f,'v) subst" and T::"('f,'v) term list" and i::nat
  shows "i < length T ⟹ (map (λt. t · θ) T) ! i = (T ! i) · θ"
by (fact nth_map)

lemma subst_subterm:
  assumes "Fun f T ⊑ t · θ"
  shows "(∃S. Fun f S ⊑ t ∧ Fun f S · θ = Fun f T) ∨
        (∃s ∈ subst_range θ. Fun f T ⊑ s)"
using assms subterm_subst_not_img_subterm by (cases "∃s ∈ subst_range θ. Fun f T ⊑ s") fastforce+
lemma subst_subterm':
  assumes "Fun f T ⊑ t · θ"
  shows "¬(∃S. length S = length T ∧ (Fun f S ⊑ t ∨ (∃s ∈ subst_range θ. Fun f S ⊑ s)))"
using subst_subterm[OF assms] by auto

lemma subst_subterm'':

```

```

assumes "s ∈ subterms (t ∙ θ)"
shows "(∃ u ∈ subterms t. s = u ∙ θ) ∨ s ∈ subtermsset (subst_range θ)"
proof (cases s)
  case (Var x)
  thus ?thesis
    using assms subterm_subst_not_img_subterm vars_iff_subtermeq
    by (cases "s = t ∙ θ") fastforce+
next
  case (Fun f T)
  thus ?thesis
    using subst_subterm assms
    by fastforce
qed

```

**lemma fv\_ground\_subst\_compose:**

```

assumes "subst_domain δ = subst_domain σ"
  and "range_vars δ = {}" "range_vars σ = {}"
shows "fv (t ∙ δ ∘s θ) = fv (t ∙ σ ∘s θ)"
proof (induction t)
  case (Var x) show ?case
  proof (cases "x ∈ subst_domain δ")
    case True thus ?thesis
      using assms unfolding range_vars_alt_def by (auto simp: subst_compose subst_apply_fv_empty)
  next
    case False
    hence "δ x = Var x" "σ x = Var x" using assms(1) by (blast,blast)
    thus ?thesis by (simp add: subst_compose)
  qed
qed simp

```

### 2.3.3 More Small Lemmata

```

lemma funs_term_subst: "funs_term (t ∙ θ) = funs_term t ∪ (⋃ x ∈ fv t. funs_term (θ x))"
by (induct t) auto

```

```

lemma fv_set_subst_img_eq:
  assumes "X ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "fvset (δ ` (Y - X)) = fvset (δ ` Y) - X"
using assms unfolding range_vars_alt_def by force

```

```

lemma subst_Fun_index_eq:
  assumes "i < length T" "Fun f T ∙ δ = Fun g T' ∙ δ"
  shows "T ! i ∙ δ = T' ! i ∙ δ"
proof -
  have "map (λx. x ∙ δ) T = map (λx. x ∙ δ) T'" using assms by simp
  thus ?thesis by (metis assms(1) length_map nth_map)
qed

```

```

lemma fv_exists_if_unifiable_and_neq:
  fixes t t'::"('a,'b) term" and δ θ::"('a,'b) subst"
  assumes "t ≠ t'" "t ∙ θ = t' ∙ θ"
  shows "fv t ∪ fv t' ≠ {}"
proof
  assume "fv t ∪ fv t' = {}"
  hence "fv t = {}" "fv t' = {}" by auto
  hence "t ∙ θ = t" "t' ∙ θ = t'" by auto
  hence "t = t'" using assms(2) by metis
  thus False using assms(1) by auto
qed

```

```

lemma const_subterm_subst: "Fun c [] ⊑ t ⟹ Fun c [] ⊑ t ∙ σ"
by (induct t) auto

```

```

lemma const_subterm_subst_var_obtain:
  assumes "Fun c [] ⊑ t · σ" "¬Fun c [] ⊑ t"
  obtains x where "x ∈ fv t" "Fun c [] ⊑ σ x"
using assms by (induct t) auto

lemma const_subterm_subst_cases:
  assumes "Fun c [] ⊑ t · σ"
  shows "Fun c [] ⊑ t ∨ (∃x ∈ fv t. x ∈ subst_domain σ ∧ Fun c [] ⊑ σ x)"
proof (cases "Fun c [] ⊑ t")
  case False
  then obtain x where "x ∈ fv t" "Fun c [] ⊑ σ x"
    using const_subterm_subst_var_obtain[OF assms] by atomize_elim auto
  thus ?thesis by (cases "x ∈ subst_domain σ") auto
qed simp

lemma const_subterms_subst_cases:
  assumes "Fun c [] ⊑set M ·set σ"
  shows "Fun c [] ⊑set M ∨ (∃x ∈ fvset M. x ∈ subst_domain σ ∧ Fun c [] ⊑ σ x)"
using assms const_subterm_subst_cases[of c _ σ] by auto

lemma const_subterms_subst_cases':
  assumes "Fun c [] ⊑set M ·set σ"
  shows "Fun c [] ⊑set M ∨ Fun c [] ⊑set subst_range σ"
using const_subterms_subst_cases[OF assms] by auto

lemma fv_pairs_subst_fv_subset:
  assumes "x ∈ fv_pairs F"
  shows "fv (ϑ x) ⊆ fv_pairs (F ·pairs ϑ)"
  using assms
proof (induction F)
  case (Cons f F)
  then obtain t t' where f: "f = (t, t')" by (metis surj_pair)
  show ?case
  proof (cases "x ∈ fv_pairs F")
    case True thus ?thesis
      using Cons.IH
      unfolding subst_apply_pairs_def
      by auto
  next
    case False
    hence "x ∈ fv t ∪ fv t'" using Cons.preds f by simp
    hence "fv (ϑ x) ⊆ fv (t · ϑ) ∪ fv (t' · ϑ)" using fv_subst_subset[of x] by force
    thus ?thesis using f unfolding subst_apply_pairs_def by auto
  qed
qed simp

lemma fv_pairs_step_subst: "fvset (δ ` fv_pairs F) = fv_pairs (F ·pairs δ)"
proof (induction F)
  case (Cons f F)
  obtain t t' where f: "f = (t, t')" by atomize_elim auto
  thus ?case
    using Cons
    by (simp add: subst_apply_pairs_def subst_apply_fv_unfold)
qed (simp_all add: subst_apply_pairs_def)

lemma fv_pairs_subst_obtain_var:
  fixes δ::"('a, 'b) subst"
  assumes "x ∈ fv_pairs (F ·pairs δ)"
  shows "∃y ∈ fv_pairs F. x ∈ fv (δ y)"
  using assms
proof (induction F)
  case (Cons f F)
  then obtain t s where f: "f = (t, s)" by (metis surj_pair)

```

```

from Cons.IH show ?case
proof (cases "x ∈ fvpairs (F ·pairs δ)")
  case False
    hence "x ∈ fv (t · δ) ∨ x ∈ fv (s · δ)"
      using f Cons.prems
      by (simp add: subst_apply_pairs_def)
    hence "(∃y ∈ fv t. x ∈ fv (δ y)) ∨ (∃y ∈ fv s. x ∈ fv (δ y))" by (metis fv_subst_obtain_var)
    thus ?thesis using f by (auto simp add: subst_apply_pairs_def)
  qed (auto simp add: Cons.IH)
qed (simp add: subst_apply_pairs_def)

lemma pair_subst_ident[intro]: "(fv t ∪ fv t') ∩ subst_domain θ = {} ⇒ (t, t') ·p θ = (t, t')"
by auto

lemma pairs_substI[intro]:
  assumes "subst_domain θ ∩ (⋃ (s, t) ∈ M. fv s ∪ fv t) = {}"
  shows "M ·pset θ = M"
proof -
  { fix m assume M: "m ∈ M"
    then obtain s t where m: "m = (s, t)" by (metis surj_pair)
    hence "(fv s ∪ fv t) ∩ subst_domain θ = {}" using assms M by auto
    hence "m ·p θ = m" using m by auto
  } thus ?thesis by (simp add: image_cong)
qed

lemma fvpairs_subst: "fvpairs (F ·pairs θ) = fvset (θ ` (fvpairs F))"
proof (induction F)
  case (Cons g G)
  obtain t t' where "g = (t, t')" by (metis surj_pair)
  thus ?case
    using Cons.IH
    by (simp add: subst_apply_pairs_def subst_apply_fv_unfold)
qed (simp add: subst_apply_pairs_def)

lemma fvpairs_subst_subset:
  assumes "fvpairs (F ·pairs δ) ⊆ subst_domain σ"
  shows "fvpairs F ⊆ subst_domain σ ∪ subst_domain δ"
  using assms
proof (induction F)
  case (Cons g G)
  hence IH: "fvpairs G ⊆ subst_domain σ ∪ subst_domain δ"
    by (simp add: subst_apply_pairs_def)
  obtain t t' where g: "g = (t, t')" by (metis surj_pair)
  hence "fv (t · δ) ⊆ subst_domain σ" "fv (t' · δ) ⊆ subst_domain σ"
    using Cons.prems by (simp_all add: subst_apply_pairs_def)
  hence "fv t ⊆ subst_domain σ ∪ subst_domain δ" "fv t' ⊆ subst_domain σ ∪ subst_domain δ"
    unfolding subst_apply_fv_unfold by force+
  thus ?case using IH g by (simp add: subst_apply_pairs_def)
qed (simp add: subst_apply_pairs_def)

lemma pairs_subst_comp: "F ·pairs δ ∘s θ = ((F ·pairs δ) ·pairs θ)"
by (induct F) (auto simp add: subst_apply_pairs_def)

lemma pairs_substI'[intro]:
  "subst_domain θ ∩ fvpairs F = {} ⇒ F ·pairs θ = F"
by (induct F) (force simp add: subst_apply_pairs_def)+

lemma subst_pair_compose[simp]: "d ·p (δ ∘s I) = d ·p δ ·p I"
proof -
  obtain t s where "d = (t, s)" by atomize_elim auto
  thus ?thesis by auto
qed

```

```

lemma subst_pairs_compose[simp]: " $D \cdot_{pset} (\delta \circ_s \mathcal{I}) = D \cdot_{pset} \delta \cdot_{pset} \mathcal{I}$ "
by auto

lemma subst_apply_pair_pair: " $(t, s) \cdot_p \mathcal{I} = (t \cdot \mathcal{I}, s \cdot \mathcal{I})$ "
by (rule prod.case)

lemma subst_apply_pairs_nil[simp]: "[] \cdot_{pairs} \delta = []"
unfolding subst_apply_pairs_def by simp

lemma subst_apply_pairs_singleton[simp]: "[(t,s)] \cdot_{pairs} \delta = [(t \cdot \delta, s \cdot \delta)]"
unfolding subst_apply_pairs_def by simp

lemma subst_apply_pairs_Var[iff]: " $F \cdot_{pairs} \text{Var} = F$ " by (simp add: subst_apply_pairs_def)

lemma subst_apply_pairs_pset_subst: "set (F \cdot_{pairs} \vartheta) = set F \cdot_{pset} \vartheta"
unfolding subst_apply_pairs_def by force

lemma subst_subterms:
  " $t \sqsubseteq_{set} M \implies t \cdot \vartheta \sqsubseteq_{set} M \cdot_{set} \vartheta$ "
using subst_mono_neq by fastforce

lemma subst_subterms_fv:
  " $x \in \text{fv}_{set} M \implies \vartheta x \in \text{subterms}_{set} M \cdot_{set} \vartheta$ "
using fv_subterms_substI by fastforce

lemma subst_subterms_Var:
  " $\text{Var } x \sqsubseteq_{set} M \implies \vartheta x \in \text{subterms}_{set} M \cdot_{set} \vartheta$ "
using subst_subterms_fv[of x M \vartheta] by force

lemma fv_subset_subterms_subset:
  " $\delta \cdot \text{fv}_{set} M \subseteq \text{subterms}_{set} M \cdot_{set} \delta$ "
using subst_subterms_fv by fast

lemma subst_const_swap_eq:
  fixes \vartheta \sigma :: "('a, 'b) subst"
  assumes t: " $t \cdot \vartheta = s \cdot \vartheta$ "
  and \vartheta: " $\forall x \in \text{fv } t \cup \text{fv } s. \exists k. \vartheta x = \text{Fun } k []$ "
    " $\forall x \in \text{fv } t. \neg(\vartheta x \sqsubseteq s)$ "
    " $\forall x \in \text{fv } s. \neg(\vartheta x \sqsubseteq t)$ "
  and \sigma_def: " $\sigma \equiv \lambda x. p (\vartheta x)$ "
  shows " $t \cdot \sigma = s \cdot \sigma$ "
using t \vartheta
proof (induction t arbitrary: s)
  case (Var x) thus ?case unfolding \sigma_def by (cases s) auto
next
  case (Fun f ts)
  note prems = Fun.prems
  obtain ss where s: " $s = \text{Fun } f ss$ " and ss: " $ts \cdot_{list} \vartheta = ss \cdot_{list} \vartheta$ " using prems by (cases s) auto
  have "ts ! i \cdot \sigma = ss ! i \cdot \sigma" when i: " $i < \text{length } ts$ " for i
  proof -
    have *: " $ts ! i \in \text{set } ts$ " using i by simp
    have **: " $ts ! i \cdot \vartheta = ss ! i \cdot \vartheta$ " using i prems(1) unfolding s by (metis subst_Fun_index_eq)
    have ***: " $ss ! i \in \text{set } ss$ " using i ss by (metis length_map nth_mem)
    show ?thesis using Fun.IH[OF * **] prems(2,3,4) * *** unfolding s by auto
  qed
  hence IH: " $ts \cdot_{list} \sigma = ss \cdot_{list} \sigma$ " using ss by (metis (mono_tags, lifting) length_map nth_equalityI nth_map)
  show ?case using IH unfolding s by auto

```

qed

```

lemma term_subst_set_eq:
  assumes "A x. x ∈ fvset M ⇒ δ x = σ x"
  shows "M ·set δ = M ·set σ"
proof -
  have "t · δ = t · σ" when "t ∈ M" for t
    using that assms term_subst_eq[of _ δ σ] by fastforce
  thus ?thesis by simp
qed

lemma subst_const_swap_eq':
  assumes "t · θ = s · θ"
  and "∀x ∈ fv t ∪ fv s. θ x = σ x ∨ ¬(θ x ⊑ t) ∧ ¬(θ x ⊑ s)" (is "?A t s")
  and "∀x ∈ fv t ∪ fv s. ∃c. θ x = Fun c []" (is "?B t s")
  and "∀x ∈ fv t ∪ fv s. ∃c. σ x = Fun c []" (is "?C t s")
  and "∀x ∈ fv t ∪ fv s. ∀y ∈ fv t ∪ fv s. θ x = θ y ↔ σ x = σ y" (is "?D t s")
  shows "t · σ = s · σ"
using assms
proof (induction t arbitrary: s)
  case (Var x)
  note prems = Var.prems
  have "(∃y. s = Var y) ∨ (∃c. s = Fun c [])" using prems(1,3) by (cases s) auto
  thus ?case
  proof
    assume "∃y. s = Var y"
    then obtain y where y: "s = Var y" by blast
    hence "θ x = θ y" using prems(1) by simp
    hence "σ x = σ y" using prems(5) y by fastforce
    thus ?thesis using y by force
  next
    assume "∃c. s = Fun c []"
    then obtain c where c: "s = Fun c []" by blast
    have "θ x = σ x ∨ ¬(θ x ⊑ Fun c [])" using prems(2) c by auto
    thus ?thesis using prems(1) c by simp
  qed
next
  case (Fun f ts)
  note prems = Fun.prems
  note IH = Fun.IH

  show ?case
  proof (cases s)
    case (Var x)
    note s = this
    hence ts: "ts = []" using prems(1,3) by auto
    show ?thesis using prems unfolding s ts by auto
  next
    case (Fun g ss)
    note s = this
    hence g: "f = g" using prems(1) by fastforce

    have ss: "ts ·list θ = ss ·list θ" using prems(1) unfolding s by (cases s) auto
    have len: "length ts = length ss" using ss by (metis length_map)

    have "ts ! i · σ = ss ! i · σ" when i: "i < length ts" for i
    proof -
      have 0: "ts ! i ∈ set ts" using i by simp
      have 1: "ts ! i · θ = ss ! i · θ" using i prems(1) unfolding s by (metis subst_Fun_index_eq)
      have 2: "ss ! i ∈ set ss" using i (metis len_nth_mem)

      have 3: "fv (ts ! i) ⊑ fv (Fun f ts)" "fv (ss ! i) ⊑ fv (Fun g ss)"
    
```

```

"subterms (ts ! i) ⊆ subterms (Fun f ts)" "subterms (ss ! i) ⊆ subterms (Fun g ss)"
subgoal by (meson index_Fun fv_subset i)
subgoal by (metis index_Fun fv_subset i len)
subgoal using ss i by fastforce
subgoal using ss i len by fastforce
done

have 4: "?A (ts ! i) (ss ! i)" "?B (ts ! i) (ss ! i)"
"?C (ts ! i) (ss ! i)" "?D (ts ! i) (ss ! i)"
subgoal using 3 prems(2) unfolding s by blast
subgoal using 3(1,2) prems(3) unfolding s by blast
subgoal using 3(1,2) prems(4) unfolding s by blast
subgoal using 3(1,2) prems(5) unfolding s by blast
done

thus ?thesis using IH[OF 0 1 4] prems(2-) 0 2 unfolding s by blast
qed
hence "ts · list σ = ss · list σ" by (metis (mono_tags, lifting) ss length_map nth_equalityI nth_map)
thus ?thesis unfolding s g by auto
qed
qed

lemma subst_const_swap_eq_mem:
assumes "t · θ ∈ M · set θ"
and "∀x ∈ fv_set M ∪ fv t. θ x = σ x ∨ ¬(θ x ⊑_set insert t M)"
and "∀x ∈ fv_set M ∪ fv t. ∃ c. θ x = Fun c []" (is "?B (fv_set M ∪ fv t)")
and "∀x ∈ fv_set M ∪ fv t. ∃ c. σ x = Fun c []" (is "?C (fv_set M ∪ fv t)")
and "∀x ∈ fv_set M ∪ fv t. ∀y ∈ fv_set M ∪ fv t. θ x = θ y ↔ σ x = σ y" (is "?D (fv_set M ∪ fv t)")
shows "t · σ ∈ M · set σ"
proof -
let ?A = "λt s. ∀x ∈ fv t ∪ fv s. θ x = σ x ∨ ¬(θ x ⊑ t) ∧ ¬(θ x ⊑ s)"
obtain s where s: "s ∈ M" "s · θ = t · θ" using assms(1) by fastforce
have 0: "fv s ⊆ fv_set M" "subterms s ⊆ subterms_set (insert t M)"
"subterms t ⊆ subterms_set (insert t M)"
using s(1) by auto
have 1: "?A s t" "?B (fv s ∪ fv t)" "?C (fv s ∪ fv t)" "?D (fv s ∪ fv t)"
subgoal using assms(2) 0 by fast
subgoal using assms(3) 0 by blast
subgoal using assms(4) 0 by blast
subgoal using assms(5) 0 by blast
done

have "s · σ = t · σ" by (rule subst_const_swap_eq'[OF s(2) 1])
thus ?thesis by (metis s(1) imageI)
qed

```

### 2.3.4 Finite Substitutions

```

inductive_set fsubst::"('a,'b) subst set" where
fvar: "Var ∈ fsubst"
| FUpdate: "[θ ∈ fsubst; v ∉ subst_domain θ; t ≠ Var v] ==> θ(v := t) ∈ fsubst"

lemma finite_dom_iff_fsubst:
"finite (subst_domain θ) ↔ θ ∈ fsubst"
proof
assume "finite (subst_domain θ)" thus "θ ∈ fsubst"
proof (induction "subst_domain θ" arbitrary: θ rule: finite.induct)
case emptyI
hence "θ = Var" using empty_dom_iff_empty_subst by metis

```

```

thus ?case using fvar by simp
next
  case (insertI  $\vartheta'_{dom}$  v) thus ?case
    proof (cases "v ∈  $\vartheta'_{dom}$ ")
      case True
        hence " $\vartheta'_{dom} = subst\_domain \vartheta$ " using <insert v  $\vartheta'_{dom} = subst\_domain \vartheta$ > by auto
        thus ?thesis using insertI.hyps(2) by metis
      next
        case False
        let ? $\vartheta'$  = " $\lambda w. \text{if } w \in \vartheta'_{dom} \text{ then } \vartheta w \text{ else } \text{Var } w$ "
        have "subst_domain ? $\vartheta'$  =  $\vartheta'_{dom}$ "
          using < $v \notin \vartheta'_{dom}$ > <insert v  $\vartheta'_{dom} = subst\_domain \vartheta$ >
          by (auto simp add: subst_domain_def)
        hence "? $\vartheta'$  ∈ fsubst" using insertI.hyps(2) by simp
        moreover have "? $\vartheta'$ (v :=  $\vartheta v$ ) = ( $\lambda w. \text{if } w \in \text{insert } v \vartheta'_{dom} \text{ then } \vartheta w \text{ else } \text{Var } w$ )" by auto
        hence "? $\vartheta'$ (v :=  $\vartheta v$ ) =  $\vartheta$ "
          using <insert v  $\vartheta'_{dom} = subst\_domain \vartheta$ >
          by (auto simp add: subst_domain_def)
        ultimately show ?thesis
          using FUpdate[of ? $\vartheta'$  v " $\vartheta v$ "] False insertI.hyps(3)
          by (auto simp add: subst_domain_def)
      qed
    qed
  next
    assume " $\vartheta \in fsubst$ " thus "finite (subst_domain  $\vartheta$ )"
      by (induct  $\vartheta$ , simp, metis subst_dom_insert_finite)
  qed

lemma fsubst_induct[case_names fvar FUpdate, induct set: finite]:
  assumes "finite (subst_domain  $\delta$ )" "P Var"
  and " $\bigwedge \vartheta v t. [\![\text{finite (subst\_domain } \vartheta); v \notin subst\_domain \vartheta; t \neq \text{Var } v; P \vartheta]\!] \implies P (\vartheta(v := t))$ "
  shows "P  $\delta$ "
using assms finite_dom_iff_fsubst fsubst.induct by metis

lemma fun_upd_fsubst: " $s(v := t) \in fsubst \iff s \in fsubst$ "
using subst_dom_insert_finite[of s] finite_dom_iff_fsubst by blast

lemma finite_img_if_fsubst: " $s \in fsubst \implies \text{finite (subst\_range } s)$ "
using finite_dom_iff_fsubst finite_subst_img_if_finite_dom' by blast

```

### 2.3.5 Unifiers and Most General Unifiers (MGUs)

```

abbreviation Unifier:::"('f, 'v) subst ⇒ ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool" where
  "Unifier  $\sigma$  t u ≡ (t ·  $\sigma$  = u ·  $\sigma$ )"

abbreviation MGU:::"('f, 'v) subst ⇒ ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool" where
  "MGU  $\sigma$  t u ≡ Unifier  $\sigma$  t u ∧ (∀ $\vartheta$ . Unifier  $\vartheta$  t u →  $\sigma \sqsubseteq \vartheta$ )"

lemma MGU[intro]:
  shows "[t ·  $\sigma$  = u ·  $\sigma$ ; ∃ $\vartheta$ ::('f, 'v) subst. t ·  $\vartheta$  = u ·  $\vartheta$ ] \implies \sigma \sqsubseteq \vartheta" by blast
by auto

lemma UnifierD[dest]:
  fixes  $\sigma$ ::("('f, 'v) subst" and  $f g::'f$  and  $X Y::('f, 'v) term list$ )
  assumes "Unifier  $\sigma$  (Fun f X) (Fun g Y)"
  shows "f = g" "length X = length Y"
proof -
  from assms show "f = g" by auto

  from assms have "Fun f X ·  $\sigma$  = Fun g Y ·  $\sigma$ " by auto
  hence "length (map (λx. x ·  $\sigma$ ) X) = length (map (λx. x ·  $\sigma$ ) Y)" by auto
  thus "length X = length Y" by auto
qed

```

```

lemma MGUD[dest]:
  fixes  $\sigma ::= ('f, 'v) \text{ subst}$  and  $f\ g ::= 'f$  and  $X\ Y ::= ('f, 'v) \text{ term list}$ 
  assumes "MGU  $\sigma$  (Fun  $f\ X$ ) (Fun  $g\ Y$ )"
  shows " $f = g$ " " $\text{length } X = \text{length } Y$ "
using assms by (auto dest: map_eq_imp_length_eq)

lemma MGU_sym[sym]: "MGU  $\sigma\ s\ t \implies MGU\ \sigma\ t\ s$ " by auto
lemma Unifier_sym[sym]: "Unifier  $\sigma\ s\ t \implies Unifier\ \sigma\ t\ s$ " by auto

lemma MGU_nil: "MGU Var  $s\ t \longleftrightarrow s = t$ " by fastforce

lemma Unifier_comp: "Unifier  $(\vartheta \circ_s \delta)\ t\ u \implies Unifier\ \delta\ (t \cdot \vartheta)\ (u \cdot \vartheta)$ "  

by simp

lemma Unifier_comp': "Unifier  $\delta\ (t \cdot \vartheta)\ (u \cdot \vartheta) \implies Unifier\ (\vartheta \circ_s \delta)\ t\ u$ "  

by simp

lemma Unifier_excludes_subterm:
  assumes  $\vartheta$ : "Unifier  $\vartheta\ t\ u$ "
  shows " $\neg t \sqsubset u$ "
proof
  assume " $t \sqsubset u$ "
  hence " $t \cdot \vartheta \sqsubset u \cdot \vartheta$ " using subst_mono_neq by metis
  hence " $t \cdot \vartheta \neq u \cdot \vartheta$ " by simp
  moreover from  $\vartheta$  have " $t \cdot \vartheta = u \cdot \vartheta$ " by auto
  ultimately show False ..
qed

lemma MGU_is_Unifier: "MGU  $\sigma\ t\ u \implies Unifier\ \sigma\ t\ u$ " by (rule conjunct1)

lemma MGU_Var1:
  assumes " $\neg Var\ v \sqsubset t$ "
  shows "MGU (Var(v := t)) (Var v) t"
proof (intro MGUI exI)
  show "Var v \cdot (Var(v := t)) = t \cdot (Var(v := t))" using assms subst_no_occs by fastforce
next
  fix  $\vartheta ::= ('a, 'b) \text{ subst}$  assume th: "Var v \cdot \vartheta = t \cdot \vartheta"
  show " $\vartheta = (Var(v := t)) \circ_s \vartheta$ "  

  using th by (auto simp: subst_compose_def)
qed

lemma MGU_Var2: "v \notin fv t \implies MGU (Var(v := t)) (Var v) t"  

by (metis (no_types) MGU_Var1 vars_iff_subterm_or_eq)

lemma MGU_Var3: "MGU Var (Var v) (Var w) \longleftrightarrow v = w" by fastforce

lemma MGU_Const1: "MGU Var (Fun c []) (Fun d []) \longleftrightarrow c = d" by fastforce

lemma MGU_Const2: "MGU \vartheta (Fun c []) (Fun d []) \implies c = d" by auto

lemma MGU_Fun:
  assumes "MGU \vartheta (Fun f X) (Fun g Y)"
  shows " $f = g$ " " $\text{length } X = \text{length } Y$ "
proof -
  let ?F = " $\lambda \vartheta\ X. \text{map} (\lambda x. x \cdot \vartheta) X$ "
  from assms have
    " $[f = g; ?F \vartheta\ X = ?F \vartheta\ Y; \forall \vartheta'. f = g \wedge ?F \vartheta'\ X = ?F \vartheta'\ Y \longrightarrow \vartheta \preceq_\circ \vartheta'] \implies \text{length } X = \text{length } Y$ "  

    using map_eq_imp_length_eq by auto
  thus " $f = g$ " " $\text{length } X = \text{length } Y$ " using assms by auto
qed

lemma Unifier_Fun:

```

```

assumes "Unifier  $\vartheta$  (Fun f (x#X)) (Fun g (y#Y))"
shows "Unifier  $\vartheta$  x y" "Unifier  $\vartheta$  (Fun f X) (Fun g Y)"
using assms by simp_all

lemma Unifier_subst_idem_subst:
  "subst_idem r  $\implies$  Unifier s (t · r) (u · r)  $\implies$  Unifier (r os s) (t · r) (u · r)"
by (metis (no_types, lifting) subst_idem_def subst_subst_compose)

lemma subst_idem_comp:
  "subst_idem r  $\implies$  Unifier s (t · r) (u · r)  $\implies$ 
   (\A q. Unifier q (t · r) (u · r)  $\implies$  s os q = q)  $\implies$ 
   subst_idem (r os s)"
by (frule Unifier_subst_idem_subst, blast, metis subst_idem_def subst_compose_assoc)

lemma Unifier_mgt: "[Unifier  $\delta$  t u;  $\delta \preceq_{\circ} \vartheta$ ]  $\implies$  Unifier  $\vartheta$  t u" by auto

lemma Unifier_support: "[Unifier  $\delta$  t u;  $\delta$  supports  $\vartheta$ ]  $\implies$  Unifier  $\vartheta$  t u"
using subst_supportD Unifier_mgt by metis

lemma MGU_mgt: "[MGU  $\sigma$  t u; MGU  $\delta$  t u]  $\implies$  \sigma \preceq_{\circ} \delta" by auto

lemma Unifier_trm_fv_bound:
  "[Unifier s t u; v \in fv t]  $\implies$  v \in subst_domain s \cup range_vars s \cup fv u"
proof (induction t arbitrary: s u)
  case (Fun f X)
  hence "v \in fv (u · s) \vee v \in subst_domain s" by (metis subst_not_dom_fixed)
  thus ?case by (metis (no_types) Un_if contra_subsetD subst_sends_fv_to_img)
qed (metis (no_types) UnI1 UnI2 subsetCE no_var_subterm subst_sends_dom_to_img
      subst_to_var_is_var trm_subst_ident' vars_iff_subterm_or_eq)

lemma Unifier_rm_var: "[Unifier  $\vartheta$  s t; v \notin fv s \cup fv t]  $\implies$  Unifier (rm_var v  $\vartheta$ ) s t"
by (auto simp add: repl_invariance)

lemma Unifier_ground_rm_vars:
  assumes "ground (subst_range s)" "Unifier (rm_vars X s) t t'"
  shows "Unifier s t t'"
by (rule Unifier_support[OF assms(2) rm_vars_ground_supports[OF assms(1)]])

lemma Unifier_dom_restrict:
  assumes "Unifier s t t'" "fv t \cup fv t' \subseteq S"
  shows "Unifier (rm_vars (UNIV - S) s) t t'"
proof -
  let ?s = "rm_vars (UNIV - S) s"
  show ?thesis using term_subst_eq_conv[of t s ?s] term_subst_eq_conv[of t' s ?s] assms by auto
qed

```

### 2.3.6 Well-formedness of Substitutions and Unifiers

```

inductive_set wfsubst_set::"('a,'b) subst set" where
  Empty[simp]: "Var \in wfsubst_set"
  | Insert[simp]:
    "[\vartheta \in wfsubst_set; v \notin subst_domain \vartheta;
     v \notin range_vars \vartheta; fv t \cap (insert v (subst_domain \vartheta)) = {}]
     \implies \vartheta(v := t) \in wfsubst_set"

definition wfsubst::"('a,'b) subst \Rightarrow bool" where
  "wfsubst \vartheta \equiv subst_domain \vartheta \cap range_vars \vartheta = {} \wedge finite (subst_domain \vartheta)"

definition wfMGU::"('a,'b) subst \Rightarrow ('a,'b) term \Rightarrow ('a,'b) term \Rightarrow bool" where
  "wfMGU \vartheta s t \equiv wfsubst \vartheta \wedge MGU \vartheta s t \wedge subst_domain \vartheta \cup range_vars \vartheta \subseteq fv s \cup fv t"

lemma wfsubst_subst_idem: "wfsubst \vartheta \implies subst_idem \vartheta" using subst_idemI[of \vartheta] unfolding wfsubst_def
by fast

```

```

lemma wf_subst_properties: " $\vartheta \in wf_{subst\_set} = wf_{subst} \vartheta$ "
proof
  show "wf_{subst} \vartheta \implies \vartheta \in wf_{subst\_set}" unfolding wf_{subst\_def}
  proof -
    assume "subst_domain \vartheta \cap range_vars \vartheta = \{\} \wedge finite (subst_domain \vartheta)"
    hence "finite (subst_domain \vartheta)" "subst_domain \vartheta \cap range_vars \vartheta = \{\}"
      by auto
    thus "\vartheta \in wf_{subst\_set}"
    proof (induction \vartheta rule: fsubst_induct)
      case fvar thus ?case by simp
    next
      case (FUpdate \delta v t)
        have "subst_domain \delta \subseteq subst_domain (\delta(v := t))" "range_vars \delta \subseteq range_vars (\delta(v := t))"
          using FUpdate.hyps(2,3) subst_img_update
          unfolding range_vars_alt_def by (fastforce simp add: subst_domain_def)+
        hence "subst_domain \delta \cap range_vars \delta = \{\}" using FUpdate.prems(1) by blast
        hence "\delta \in wf_{subst\_set}" using FUpdate.IH by metis

        have *: "range_vars (\delta(v := t)) = range_vars \delta \cup fv t"
          using FUpdate.hyps(2) subst_img_update[OF _ FUpdate.hyps(3)]
          by fastforce
        hence "fv t \cap insert v (subst_domain \delta) = \{\}"
          using FUpdate.prems subst_dom_update2[OF FUpdate.hyps(3)] by blast
        moreover have "subst_domain (\delta(v := t)) = insert v (subst_domain \delta)"
          by (meson FUpdate.hyps(3) subst_dom_update2)
        hence "v \notin range_vars \delta" using FUpdate.prems * by blast
        ultimately show ?case using Insert[OF <\delta \in wf_{subst\_set}> <\v \notin subst_domain \delta>] by metis
    qed
  qed
  show "wf_{subst\_set} \implies wf_{subst} wf_{subst\_set} \vartheta" unfolding wf_{subst\_def}
  proof (induction \vartheta rule: wf_{subst\_set}.induct)
    case Empty thus ?case by simp
  next
    case (Insert \sigma v t)
      hence 1: "subst_domain \sigma \cap range_vars \sigma = \{\}" by simp
      hence 2: "subst_domain (\sigma(v := t)) \cap range_vars \sigma = \{\}"
        using Insert.hyps(3) by (auto simp add: subst_domain_def)
      have 3: "fv t \cap subst_domain (\sigma(v := t)) = \{\}"
        using Insert.hyps(4) by (auto simp add: subst_domain_def)
      have 4: "\sigma v = Var v" using <\v \notin subst_domain \sigma> by (simp add: subst_domain_def)

      from Insert.IH have "finite (subst_domain \sigma)" by simp
      hence 5: "finite (subst_domain (\sigma(v := t)))" using subst_dom_insert_finite[of \sigma] by simp

      have "subst_domain (\sigma(v := t)) \cap range_vars (\sigma(v := t)) = \{\}"
      proof (cases "t = Var v")
        case True
        hence "range_vars (\sigma(v := t)) = range_vars \sigma"
          using 4 fun_upd_triv term.inject(1)
          unfolding range_vars_alt_def by (auto simp add: subst_domain_def)
        thus "subst_domain (\sigma(v := t)) \cap range_vars (\sigma(v := t)) = \{\}"
          using 1 2 3 by auto
      next
        case False
        hence "range_vars (\sigma(v := t)) = fv t \cup (range_vars \sigma)"
          using 4 subst_img_update[of \sigma v] by auto
        thus "subst_domain (\sigma(v := t)) \cap range_vars (\sigma(v := t)) = \{\}" using 1 2 3 by blast
      qed
      thus ?case using 5 by blast
    qed
  qed
  qed

```

```

lemma wf_subst_induct [consumes 1, case_names Empty Insert]:
  assumes "wf_subst δ" "P Var"
  and "¬ ∃ v t. [wf_subst δ; P δ; v ∉ subst_domain δ; v ∉ range_vars δ;
    fv t ∩ insert v (subst_domain δ) = {}]
    ⇒ P (δ(v := t))"
  shows "P δ"
proof -
  from assms(1,3) wf_subst_properties have
    "δ ∈ wf_subst_set"
    "¬ ∃ v t. [δ ∈ wf_subst_set; P δ; v ∉ subst_domain δ; v ∉ range_vars δ;
      fv t ∩ insert v (subst_domain δ) = {}]
      ⇒ P (δ(v := t))"
    by blast+
  thus "P δ" using wf_subst_set.induct assms(2) by blast
qed

lemma wf_subst_fsubst: "wf_subst δ ⇒ δ ∈ fsubst"
unfolding wf_subst_def using finite_dom_iff_fsubst by blast

lemma wf_subst_nil: "wf_subst Var" unfolding wf_subst_def by simp

lemma wf_MGU_nil: "MGU Var s t ⇒ wf_MGU Var s t"
using wf_subst_nil subst_domain_Var range_vars_Var
unfolding wf_MGU_def by fast

lemma wf_MGU_dom_bound: "wf_MGU δ s t ⇒ subst_domain δ ⊆ fv s ∪ fv t" unfolding wf_MGU_def by
blast

lemma wf_subst_single:
  assumes "v ∉ fv t" "σ v = t" "¬ ∃ w. v ≠ w ⇒ σ w = Var w"
  shows "wf_subst σ"
proof -
  have *: "subst_domain σ = {v}" by (metis subst_fv_dom_img_single(1) [OF assms])
  have "subst_domain σ ∩ range_vars σ = {}"
    using * assms subst_fv_dom_img_single(2)
    by (metis inf_bot_left insert_disjoint(1))
  moreover have "finite (subst_domain σ)" using * by simp
  ultimately show ?thesis by (metis wf_subst_def)
qed

lemma wf_subst_reduction:
  "wf_subst s ⇒ wf_subst (rm_var v s)"
proof -
  assume "wf_subst s"
  moreover have "subst_domain (rm_var v s) ⊆ subst_domain s" by (auto simp add: subst_domain_def)
  moreover have "range_vars (rm_var v s) ⊆ range_vars s"
    unfolding range_vars_alt_def by (auto simp add: subst_domain_def)
  ultimately have "subst_domain (rm_var v s) ∩ range_vars (rm_var v s) = {}"
    by (meson compl_le_compl_iff disjoint_eq_subset_Cmpl subset_trans wf_subst_def)
  moreover have "finite (subst_domain (rm_var v s))"
    using <subst_domain (rm_var v s) ⊆ subst_domain s> <wf_subst s> rev_finite_subset
    unfolding wf_subst_def by blast
  ultimately show "wf_subst (rm_var v s)" by (metis wf_subst_def)
qed

lemma wf_subst_compose:
  assumes "wf_subst δ1" "wf_subst δ2"
  and "subst_domain δ1 ∩ subst_domain δ2 = {}"
  and "subst_domain δ1 ∩ range_vars δ2 = {}"
  shows "wf_subst (δ1 ∘_s δ2)"
using assms

```

```

proof (induction  $\vartheta_1$  rule:  $wf_{subst\_induct}$ )
  case Empty thus ?case unfolding  $wf_{subst\_def}$  by simp
next
  case (Insert  $\sigma_1 v t$ )
  have "t ≠ Var v" using Insert.hyps(4) by auto
  hence dom1v_unfold: " $subst\_domain (\sigma_1(v := t)) = insert v (subst\_domain \sigma_1)$ " 
    using subst_dom_update2 by metis
  hence doms_disj: " $subst\_domain \sigma_1 ∩ subst\_domain \vartheta_2 = \{\}$ " 
    using Insert.preds(2) disjoint_insert(1) by blast
  moreover have dom_img_disj: " $subst\_domain \sigma_1 ∩ range\_vars \vartheta_2 = \{\}$ " 
    using Insert.hyps(2) Insert.preds(3)
    by (fastforce simp add: subst_domain_def)
  ultimately have "wf_{subst} (\sigma_1 ∘_s \vartheta_2)" using Insert.IH[ $OF <wf_{subst} \vartheta_2>$ ] by metis

  have dom_comp_is_union: " $subst\_domain (\sigma_1 ∘_s \vartheta_2) = subst\_domain \sigma_1 ∪ subst\_domain \vartheta_2$ " 
    using subst_dom_comp_eq[ $OF dom\_img\_disj$ ] .

  have "v ∉ subst_domain \vartheta_2"
    using Insert.preds(2) < $t ≠ Var v$ >
    by (fastforce simp add: subst_domain_def)
  hence " $\vartheta_2 v = Var v$ " " $\sigma_1 v = Var v$ " using Insert.hyps(2) by (simp_all add: subst_domain_def)
  hence " $(\sigma_1 ∘_s \vartheta_2) v = Var v$ " " $(\sigma_1(v := t)) ∘_s \vartheta_2 v = t ∙ \vartheta_2$ " " $((\sigma_1 ∘_s \vartheta_2)(v := t)) v = t$ " 
    unfolding subst_compose_def by simp_all

  have fv_t2_bound: " $fv (t ∙ \vartheta_2) ⊆ fv t ∪ range\_vars \vartheta_2$ " by (meson subst_sends_fv_to_img)

  have 1: "v ∉ subst_domain (\sigma_1 ∘_s \vartheta_2)"
    using < $(\sigma_1 ∘_s \vartheta_2) v = Var v$ >
    by (auto simp add: subst_domain_def)

  have "insert v (subst_domain \sigma_1) ∩ range\_vars \vartheta_2 = \{\}"
    using Insert.preds(3) dom1v_unfold by blast
  hence "v ∉ range\_vars \sigma_1 ∪ range\_vars \vartheta_2" using Insert.hyps(3) by blast
  hence 2: "v ∉ range\_vars (\sigma_1 ∘_s \vartheta_2)" by (meson set_rev_mp subst_img_comp_subset)

  have "subst_domain \vartheta_2 ∩ range\_vars \vartheta_2 = \{\}"
    using < $wf_{subst} \vartheta_2$ > unfolding wf_subst_def by simp
  hence "fv (t ∙ \vartheta_2) ∩ subst_domain \vartheta_2 = \{\}"
    using subst_dom_elim unfolding range_vars_alt_def by simp
  moreover have "v ∉ range\_vars \vartheta_2" using Insert.preds(3) dom1v_unfold by blast
  hence "v ∉ fv t ∪ range\_vars \vartheta_2" using Insert.hyps(4) by blast
  hence "v ∉ fv (t ∙ \vartheta_2)" using < $fv (t ∙ \vartheta_2) ⊆ fv t ∪ range\_vars \vartheta_2$ > by blast
  moreover have "fv (t ∙ \vartheta_2) ∩ subst_domain \sigma_1 = \{\}"
    using dom_img_disj fv_t2_bound < $fv t ∩ insert v (subst\_domain \sigma_1) = \{\}$ > by blast
  ultimately have 3: "fv (t ∙ \vartheta_2) ∩ insert v (subst_domain (\sigma_1 ∘_s \vartheta_2)) = \{\}" 
    using dom_comp_is_union by blast

  have " $\sigma_1(v := t) ∘_s \vartheta_2 = (\sigma_1 ∘_s \vartheta_2)(v := t ∙ \vartheta_2)$ " using subst_comp_upd1[ $of \sigma_1 v t \vartheta_2$ ] .
  moreover have " $wf_{subst} ((\sigma_1 ∘_s \vartheta_2)(v := t ∙ \vartheta_2))$ " 
    using "wf_{subst\_set}.Insert"[ $OF _ 1 2 3$ ] < $wf_{subst} (\sigma_1 ∘_s \vartheta_2)$ > wf_subst_properties by metis
  ultimately show ?case by presburger
qed

lemma wf_subst_append:
  fixes  $\vartheta_1 \vartheta_2 :: ("f, 'v) subst$ 
  assumes "wf_{subst} \vartheta_1" "wf_{subst} \vartheta_2"
    and "subst_domain \vartheta_1 ∩ subst_domain \vartheta_2 = \{\}"
    and "subst_domain \vartheta_1 ∩ range\_vars \vartheta_2 = \{\}"
    and "range\_vars \vartheta_1 ∩ subst_domain \vartheta_2 = \{\}"
  shows "wf_{subst} (λv. if \vartheta_1 v = Var v then \vartheta_2 v else \vartheta_1 v)"

using assms
proof (induction  $\vartheta_1$  rule: wf_subst_induct)
  case Empty thus ?case unfolding wf_subst_def by simp

```

```

next
  case (Insert σ1 v t)
  let ?if = "λw. if σ1 w = Var w then θ2 w else σ1 w"
  let ?if_upd = "λw. if (σ1(v := t)) w = Var w then θ2 w else (σ1(v := t)) w"

from Insert.hyps(4) have "?if_upd = ?if(v := t)" by fastforce

have dom_insert: "subst_domain (σ1(v := t)) = insert v (subst_domain σ1)"
  using Insert.hyps(4) by (auto simp add: subst_domain_def)

have "σ1 v = Var v" "t ≠ Var v" using Insert.hyps(2,4) by auto
hence img_insert: "range_vars (σ1(v := t)) = range_vars σ1 ∪ fv t"
  using subst_img_update by metis

from Insert.prems(2) dom_insert have "subst_domain σ1 ∩ subst_domain θ2 = {}"
  by (auto simp add: subst_domain_def)
moreover have "subst_domain σ1 ∩ range_vars θ2 = {}"
  using Insert.prems(3) dom_insert
  by (simp add: subst_domain_def)
moreover have "range_vars σ1 ∩ subst_domain θ2 = {}"
  using Insert.prems(4) img_insert
  by blast
ultimately have "wf_subst ?if" using Insert.IH[OF Insert.prems(1)] by metis

have dom_union: "subst_domain ?if = subst_domain σ1 ∪ subst_domain θ2"
  by (auto simp add: subst_domain_def)
hence "v ∉ subst_domain ?if"
  using Insert.hyps(2) Insert.prems(2) dom_insert
  by (auto simp add: subst_domain_def)
moreover have "v ∉ range_vars ?if"
  using Insert.prems(3) Insert.hyps(3) dom_insert
  unfolding range_vars_alt_def by (auto simp add: subst_domain_def)
moreover have "fv t ∩ insert v (subst_domain ?if) = {}"
  using Insert.hyps(4) Insert.prems(4) img_insert
  unfolding range_vars_alt_def by (fastforce simp add: subst_domain_def)
ultimately show ?case
  using wf_subst_set.Insert <wf_subst ?if> <?if_upd = ?if(v := t)> wf_subst_properties
  by (metis (no_types, lifting))

qed

lemma wf_subst_elim_append:
  assumes "wf_subst θ" "subst_elim θ v" "v ∉ fv t"
  shows "subst_elim (θ(w := t)) v"
using assms
proof (induction θ rule: wf_subst_induct)
  case (Insert θ v' t')
  hence "¬(q. v ∉ fv (Var q · θ(v' := t')))" using subst_elimD by blast
  hence "¬(q. v ∉ fv (Var q · θ(v' := t'), w := t))" using <v ∉ fv t> by simp
  thus ?case by (metis subst_elimI' eval_term.simps(1))
qed (simp add: subst_elim_def)

lemma wf_subst_elim_dom:
  assumes "wf_subst θ"
  shows "∀v ∈ subst_domain θ. subst_elim θ v"
using assms
proof (induction θ rule: wf_subst_induct)
  case (Insert θ w t)
  have dom_insert: "subst_domain (θ(w := t)) ⊆ insert w (subst_domain θ)"
    by (auto simp add: subst_domain_def)
  hence "¬(v ∈ subst_domain θ. subst_elim (θ(w := t)) v)" using Insert.IH Insert.hyps(2,4)
    by (metis Insert.hyps(1) IntI disjoint_insert(2) empty_iff wf_subst_elim_append)
  moreover have "w ∉ fv t" using Insert.hyps(4) by simp
  hence "¬(q. w ∉ fv (Var q · θ(w := t)))"

```

```

by (metis fv_simps(1) fv_in_subst_img Insert.hyps(3) contra_subsetD
      fun_upd_def singletonD eval_term.simps(1))
hence "subst_elim ( $\vartheta(w := t)$ ) w" by (metis subst_elimI')
ultimately show ?case using dom_insert by blast
qed simp

```

lemma wf\_subst\_support\_iff\_mgt: "wf<sub>subst</sub>  $\vartheta \implies \vartheta$  supports  $\delta \longleftrightarrow \vartheta \preceq_\circ \delta$ "  
using subst\_support\_def subst\_support\_if\_mgt\_subst\_idem wf\_subst\_subst\_idem by blast

### 2.3.7 Interpretations

```

abbreviation interpretationsubst:: "('a, 'b) subst ⇒ bool" where
"interpretationsubst  $\vartheta \equiv \text{subst\_domain } \vartheta = \text{UNIV} \wedge \text{ground } (\text{subst\_range } \vartheta)"$ 

lemma interpretationsubstI:
"( $\bigwedge v. \text{fv } (\vartheta v) = \{\}$ )  $\implies$  interpretationsubst  $\vartheta"$ 
proof -
  assume " $\bigwedge v. \text{fv } (\vartheta v) = \{\}$ "
  moreover { fix v assume "fv (mathbf{v}) = \{\}" hence "v ∈ subst_domain  $\vartheta$ " by auto }
  ultimately show ?thesis by auto
qed

lemma interpretationgrounds[simp]:
"interpretationsubst  $\vartheta \implies \text{fv } (t \cdot \vartheta) = \{\}$ "  

using subst_fv_dom_ground_if_ground_img[of t  $\vartheta$ ] by blast

lemma interpretationgrounds_all:
"interpretationsubst  $\vartheta \implies (\bigwedge v. \text{fv } (\vartheta v) = \{\})"$   

by (metis range_vars_alt_def UNIV_I fv_in_subst_img subset_empty subst_dom_vars_in_subst)

lemma interpretationgrounds_all':
"interpretationsubst  $\vartheta \implies \text{ground } (M \cdot_{\text{set}} \vartheta)"$   

using subst_fv_dom_ground_if_ground_img[of _  $\vartheta$ ]  

by simp

lemma interpretationcomp:
assumes "interpretationsubst  $\vartheta"
shows "interpretationsubst  $(\sigma \circ_s \vartheta)"$  "interpretationsubst  $(\vartheta \circ_s \sigma)"$ 
proof -
  have  $\vartheta_{\text{fv}}$ : "fv (mathbf{v}) = \{\}" for v using interpretationgrounds_all[OF assms] by simp
  hence  $\vartheta'_{\text{fv}}$ : "fv (t ·  $\vartheta$ ) = \{\}" for t
    by (metis all_not_in_conv subst_elimD subst_elimI' eval_term.simps(1))

  from assms have " $(\sigma \circ_s \vartheta) v \neq \text{Var } v$ " for v
    unfolding subst_compose_def by (metis fv_simps(1)  $\vartheta_{\text{fv}}$  insert_not_empty)
  hence "subst_domain  $(\sigma \circ_s \vartheta) = \text{UNIV}$ " by (simp add: subst_domain_def)
  moreover have "fv (( $\sigma \circ_s \vartheta$ ) v) = \{\}" for v unfolding subst_compose_def using  $\vartheta_{\text{fv}}$  by simp
  hence "ground (subst_range  $(\sigma \circ_s \vartheta)$ )" by simp
  ultimately show "interpretationsubst  $(\sigma \circ_s \vartheta)" ..$$ 
```

from assms have " $(\vartheta \circ_s \sigma) v \neq \text{Var } v$ " for v  
 unfolding subst\_compose\_def by (metis fv\_simps(1)  $\vartheta_{\text{fv}}$  insert\_not\_empty subst\_to\_var\_is\_var)  
 hence "subst\_domain  $(\vartheta \circ_s \sigma) = \text{UNIV}$ " by (simp add: subst\_domain\_def)  
 moreover have "fv (( $\vartheta \circ_s \sigma$ ) v) = \{\}" for v  
 unfolding subst\_compose\_def by (simp add:  $\vartheta_{\text{fv}}$  subst\_apply\_term\_ident)  
 hence "ground (subst\_range  $(\vartheta \circ_s \sigma)$ )" by simp  
 ultimately show "interpretation<sub>subst</sub>  $(\vartheta \circ_s \sigma)" ..$

qed

lemma interpretation<sub>subst\_exists</sub>:
" $\exists I::('f, 'v) \text{ subst}. \text{interpretation}_{\text{subst}} I"$ 
proof -
 obtain c:: "'f" where "c ∈ UNIV" by simp

```

then obtain  $\mathcal{I}::('f, 'v) subst$  where " $\bigwedge v. \mathcal{I} v = \text{Fun } c []$ " by simp
hence "subst_domain  $\mathcal{I} = \text{UNIV}$ " "ground (subst_range  $\mathcal{I}$ )"
by (simp_all add: subst_domain_def)
thus ?thesis by auto
qed

lemma interpretation_subst_exists':
"\ϑ::('f, 'v) subst. subst_domain ϑ = X ∧ ground (subst_range ϑ)"
proof -
obtain  $\mathcal{I}::('f, 'v) subst$  where  $\mathcal{I}: \text{subst\_domain } \mathcal{I} = \text{UNIV}$  "ground (subst_range  $\mathcal{I}$ )"
using interpretation_subst_exists by atomize_elim auto
let ?ϑ = "rm_vars (UNIV - X)  $\mathcal{I}$ "
have 1: "subst_domain ?ϑ = X" using  $\mathcal{I}$  by (auto simp add: subst_domain_def)
hence 2: "ground (subst_range ?ϑ)" using  $\mathcal{I}$  by force
show ?thesis using 1 2 by blast
qed

lemma interpretation_subst_idem:
"interpretationsubst ϑ  $\implies$  subst_idem ϑ"
unfolding subst_idem_def
using interpretation_grounds_all[of ϑ] subst_apply_term_idem subst_eq_if_eq_vars
by (fastforce simp: subst_compose)

lemma subst_idem_comp_upd_eq:
assumes "v ∉ subst_domain  $\mathcal{I}$ " "subst_idem ϑ"
shows " $\mathcal{I} \circ_s \vartheta = \mathcal{I}(v := \vartheta v) \circ_s \vartheta$ "
proof -
from assms(1) have " $(\mathcal{I} \circ_s \vartheta) v = \vartheta v$ " unfolding subst_compose_def by auto
moreover have " $\bigwedge w. w \neq v \implies (\mathcal{I} \circ_s \vartheta) w = (\mathcal{I}(v := \vartheta v) \circ_s \vartheta) w$ " unfolding subst_compose_def by auto
moreover have " $(\mathcal{I}(v := \vartheta v) \circ_s \vartheta) v = \vartheta v$ " using assms(2) unfolding subst_idem_def
substitution_def
by (metis fun_upd_same)
ultimately show ?thesis by (metis fun_upd_same fun_upd_triv subst_comp_upd1)
qed

lemma interpretation_dom_img_disjoint:
"interpretationsubst  $\mathcal{I} \implies \text{subst\_domain } \mathcal{I} \cap \text{range\_vars } \mathcal{I} = \{\}$ "
unfolding range_vars_alt_def by auto

```

### 2.3.8 Basic Properties of MGUs

```

lemma MGU_is_mgu_singleton: "MGU ϑ t u = is_mgu ϑ {(t,u)}"
unfolding is_mgu_def unifiers_def by auto

lemma Unifier_in_unifiers_singleton: "Unifier ϑ s t  $\longleftrightarrow$  ϑ ∈ unifiers {(s,t)}"
unfolding unifiers_def by auto

lemma subst_list_singleton_fv_subset:
"( $\bigcup x \in \text{set} (\text{subst\_list} (\text{subst } v t) E). \text{fv} (\text{fst } x) \cup \text{fv} (\text{snd } x)$ 
 $\subseteq \text{fv } t \cup (\bigcup x \in \text{set } E. \text{fv} (\text{fst } x) \cup \text{fv} (\text{snd } x))$ "
proof (induction E)
case (Cons x E)
let ?fvs = " $\lambda L. \bigcup x \in \text{set } L. \text{fv} (\text{fst } x) \cup \text{fv} (\text{snd } x)$ "
let ?fvx = "fv (\text{fst } x) \cup \text{fv} (\text{snd } x)"
let ?fvxsubst = "fv (\text{fst } x \cdot \text{Var}(v := t)) \cup \text{fv} (\text{snd } x \cdot \text{Var}(v := t))"
have "?fvs (\text{subst\_list} (\text{subst } v t) (x#E)) = ?fvxsubst \cup ?fvs (\text{subst\_list} (\text{subst } v t) E)"
unfolding subst_list_def subst_def by auto
hence "?fvs (\text{subst\_list} (\text{subst } v t) (x#E)) \subseteq ?fvxsubst \cup \text{fv } t \cup ?fvs E"
using Cons.IH by blast
moreover have "?fvs (x#E) = ?fvx \cup ?fvs E" by auto
moreover have "?fvxsubst \subseteq ?fvx \cup \text{fv } t" using subst_fv_bound_singleton[of _ v t] by blast
ultimately show ?case unfolding range_vars_alt_def by auto

```

```

qed (simp add: subst_list_def)

lemma subst_of_dom_subset: "subst_domain (subst_of L) ⊆ set (map fst L)"
proof (induction L rule: List.rev_induct)
  case (snoc x L)
  then obtain v t where "x = (v,t)" by (metis surj_pair)
  hence "subst_of (L@[x]) = Var(v := t) ∘s subst_of L"
    unfolding subst_of_def subst_def by (induct L) (auto simp: subst_compose)
  hence "subst_domain (subst_of (L@[x])) ⊆ insert v (subst_domain (subst_of L))"
    using x subst_domain_compose[of "Var(v := t)" "subst_of L"]
    by (auto simp add: subst_domain_def)
  thus ?case using snoc.IH x by auto
qed simp

lemma wf_MGU_is_imgu_singleton: "wfMGU θ s t ⟹ is_imgu θ {(s,t)}"
proof -
  assume 1: "wfMGU θ s t"
  have 2: "subst_idem θ" by (metis wf_subst_subst_idem 1 wf_MGU_def)
  have 3: "∀θ' ∈ unifiers {(s,t)}. θ ⊲o θ'" "θ ∈ unifiers {(s,t)}"
    by (metis 1 Unifier_in_unifiers_singleton wf_MGU_def)+
  have "∀τ ∈ unifiers {(s,t)}. τ = θ ∘s τ" by (metis 2 3 subst_idem_def subst_compose_assoc)
  thus "is_imgu θ {(s,t)}" by (metis is_imgu_def <θ ∈ unifiers {(s,t)}>)
qed

lemmas mgu_subst_range_vars = mgu_range_vars

lemmas mgu_same_empty = mgu_same

lemma mgu_var: assumes "x ∉ fv t" shows "mgu (Var x) t = Some (Var(x := t))"
proof -
  have "unify [(Var x,t)] [] = Some [(x,t)]" using assms by (auto simp add: subst_list_def)
  moreover have "subst_of [(x,t)] = Var(x := t)" unfolding subst_of_def subst_def by simp
  ultimately show ?thesis by (simp add: mgu_def)
qed

lemma mgu_gives_wellformed_subst:
  assumes "mgu s t = Some θ" shows "wfsubst θ"
using mgu_finite_subst_domain[OF assms] mgu_subst_domain_range_vars_disjoint[OF assms]
unfolding wfsubst_def
by auto

lemma mgu_gives_wellformed_MGU:
  assumes "mgu s t = Some θ" shows "wfMGU θ s t"
using mgu_subst_domain[OF assms] mgu_sound[OF assms] mgu_subst_range_vars [OF assms]
  MGU_is_mgu_singleton[of s θ t] is_imgu_imp_is_mgu[of θ "{(s,t)}"]
  mgu_gives_wellformed_subst [OF assms]
unfolding wfMGU_def by blast

lemma mgu_gives_subst_idem: "mgu s t = Some θ ⟹ subst_idem θ"
using mgu_sound[of s t θ] unfolding is_imgu_def subst_idem_def by auto

lemma mgu_always_unifies: "Unifier θ M N ⟹ ∃δ. mgu M N = Some δ"
using mgu_complete Unifier_in_unifiers_singleton by blast

lemma mgu_gives_MGU: "mgu s t = Some θ ⟹ MGU θ s t"
using mgu_sound[of s t θ, THEN is_imgu_imp_is_mgu] MGU_is_mgu_singleton by metis

lemma mgu_vars_bounded[dest?]:
  "mgu M N = Some σ ⟹ subst_domain σ ∪ range_vars σ ⊆ fv M ∪ fv N"
using mgu_gives_wellformed_MGU unfolding wfMGU_def by blast

```

```

lemma mgu_vars_bounded':
  assumes σ: "mgu M N = Some σ"
    and MN: "fv M = {} ∨ fv N = {}"
  shows "subst_domain σ = fv M ∪ fv N" (is ?A)
    and "range_vars σ = {}" (is ?B)
proof -
  let ?C = "λt. subst_domain σ = fv t"
  have 0: "fv N = {} ⟹ subst_domain σ ⊆ fv M" "fv N = {} ⟹ range_vars σ ⊆ fv M"
    "fv M = {} ⟹ subst_domain σ ⊆ fv N" "fv M = {} ⟹ range_vars σ ⊆ fv N"
  using mgu_vars_bounded[OF σ] by simp_all
  note 1 = mgu_gives_MGU[OF σ] mgu_subst_domain_range_vars_disjoint[OF σ]
  note 2 = subst_fv_imgI[of σ] subst_dom_vars_in_subst[of _ σ]
  note 3 = ground_term_subst_domain_fv_subset[of _ σ]
  note 4 = subst_apply_fv_empty[of _ σ]
  have "fv (σ x) = {}" when x: "x ∈ fv M" and N: "fv N = {}" for x
    using x N 0(1,2) 1 2[of x] 3[of M] 4[of N] by auto
  hence "?C M" ?B when N: "fv N = {}" using 0(1,2)[OF N] N by (fastforce, fastforce)
  moreover have "fv (σ x) = {}" when x: "x ∈ fv N" and M: "fv M = {}" for x
    using x M 0(3,4) 1 2[of x] 3[of N] 4[of M] by auto
  hence "?C N" ?B when M: "fv M = {}" using 0(3,4)[OF M] M by (fastforce, fastforce)
  ultimately show ?A ?B using MN by auto
qed

lemma mgu_eliminates[dest?]:
  assumes "mgu M N = Some σ"
  shows "(∃v ∈ fv M ∪ fv N. subst_elim σ v) ∨ σ = Var"
    (is "?P M N σ")
proof (cases "σ = Var")
  case False
  then obtain v where v: "v ∈ subst_domain σ" by auto
  hence "v ∈ fv M ∪ fv N" using mgu_vars_bounded[OF assms] by blast
  thus ?thesis using wf_subst_elim_dom[OF mgu_gives_wellformed_subst[OF assms]] v by blast
qed simp

lemma mgu_eliminates_dom:
  assumes "mgu x y = Some θ" "v ∈ subst_domain θ"
  shows "subst_elim θ v"
using mgu_gives_wellformed_subst[OF assms(1)]
unfolding wf_MGU_def wf_subst_def subst_elim_def
by (metis disjoint_iff_not_equal subst_dom_elim assms(2))

lemma unify_list_distinct:
  assumes "Unification.unify E B = Some U" "distinct (map fst B)"
  and "(⋃x ∈ set E. fv (fst x) ∪ fv (snd x)) ∩ set (map fst B) = {}"
  shows "distinct (map fst U)"
using assms
proof (induction E B arbitrary: U rule: Unification.unify.induct)
  case 1 thus ?case by simp
next
  case (2 f X g Y E B U)
  let ?fvs = "λL. ⋃x ∈ set L. fv (fst x) ∪ fv (snd x)"
  from "2.prem" obtain E' where *: "decompose (Fun f X) (Fun g Y) = Some E'" and [simp]: "f = g" "length X = length Y" "E' = zip X Y"
    and **: "Unification.unify (E' @ E) B = Some U"
    by (auto split: option.splits)
  hence "?fvs E' ⊆ fv (Fun f X) ∪ fv (Fun g Y)" by fastforce
  moreover have "fv (Fun f X) ∩ set (map fst B) = {}" "fv (Fun g Y) ∩ set (map fst B) = {}"
    by (metis zip_arg_subterm_subterm_eq_vars_subset)

```

```

using "2.prems"(3) by auto
ultimately have "?fvs E' ∩ set (map fst B) = {}" by blast
moreover have "?fvs E ∩ set (map fst B) = {}" using "2.prems"(3) by auto
ultimately have "?fvs (E'@E) ∩ set (map fst B) = {}" by auto
thus ?case using "2.IH"[OF * ** "2.prems"(2)] by metis
next
  case (3 v t E B)
    let ?fvs = " $\lambda L. \bigcup_{x \in set L} fv(fst x) \cup fv(snd x)$ "
    let ?E' = "subst_list(subst v t) E"
    from "3.prems"(3) have "v ∉ set (map fst B)" "fv t ∩ set (map fst B) = {}" by force+
    hence *: "distinct (map fst ((v, t)#B))" using "3.prems"(2) by auto

    show ?case
    proof (cases "t = Var v")
      case True thus ?thesis using "3.prems" "3.IH"(1) by auto
    next
      case False
        hence "v ∉ fv t" using "3.prems"(1) by auto
        hence "Unification.unify (subst_list(subst v t) E) ((v, t)#B) = Some U"
          using <t ≠ Var v> "3.prems"(1) by auto
        moreover have "?fvs ?E' ∩ set (map fst ((v, t)#B)) = {}"
        proof -
          have "v ∉ ?fvs ?E'"
            unfolding subst_list_def subst_def
            by (simp add: <v ∉ fv t> subst_remove_var)
          moreover have "?fvs ?E' ⊆ fv t ∪ ?fvs E" by (metis subst_list_singleton_fv_subset)
          hence "?fvs ?E' ∩ set (map fst B) = {}" using "3.prems"(3) by auto
          ultimately show ?thesis by auto
        qed
        ultimately show ?thesis using "3.IH"(2)[OF <t ≠ Var v> <v ∉ fv t> _ *] by metis
      qed
    qed
  next
    case (4 f X v E B U)
    let ?fvs = " $\lambda L. \bigcup_{x \in set L} fv(fst x) \cup fv(snd x)$ "
    let ?E' = "subst_list(subst v (Fun f X)) E"
    have *: "?fvs E ∩ set (map fst B) = {}" using "4.prems"(3) by auto
    from "4.prems"(1) have "v ∉ fv(Fun f X)" by force
    from "4.prems"(3) have **: "v ∉ set (map fst B)" "fv(Fun f X) ∩ set (map fst B) = {}" by force+
    hence ***: "distinct (map fst ((v, Fun f X)#B))" using "4.prems"(2) by auto
    from "4.prems"(3) have ****: "?fvs ?E' ∩ set (map fst ((v, Fun f X)#B)) = {}"
    proof -
      have "v ∉ ?fvs ?E'"
        unfolding subst_list_def subst_def
        using <v ∉ fv(Fun f X)> subst_remove_var[of v "Fun f X"] by simp
      moreover have "?fvs ?E' ⊆ fv(Fun f X) ∪ ?fvs E" by (metis subst_list_singleton_fv_subset)
      hence "?fvs ?E' ∩ set (map fst B) = {}" using * ** by blast
      ultimately show ?thesis by auto
    qed
    have "Unification.unify (subst_list(subst v (Fun f X)) E) ((v, Fun f X) # B) = Some U"
      using <v ∉ fv(Fun f X)> "4.prems"(1) by auto
    thus ?case using "4.IH"[OF <v ∉ fv(Fun f X)> _ *** ****] by metis
  qed

  lemma mgu_None_is_subst_neq:
    fixes s t :: "('a, 'b) term" and δ :: "('a, 'b) subst"
    assumes "mgu s t = None"
    shows "s ∙ δ ≠ t ∙ δ"
  using assms mgu_always_unifies by force

  lemma mgu_None_if_neq_ground:
    assumes "t ≠ t'" "fv t = {}" "fv t' = {}"
    shows "mgu t t' = None"
  proof (rule ccontr)

```

```

assume "mgu t t' ≠ None"
then obtain δ where δ: "mgu t t' = Some δ" by auto
hence "t · δ = t" "t' · δ = t'" using assms subst_ground_ident by auto
thus False using assms(1) MGU_is_Unifier[OF mgu_gives_MGU[OF δ]] by auto
qed

lemma mgu_None_commutes:
  "mgu s t = None ⟹ mgu t s = None"
  thm mgu_complete[of s t] Unifier_in_unifiers_singleton[of ]
using mgu_complete[of s t]
  Unifier_in_unifiers_singleton[of s _ t]
  Unifier_sym[of t _ s]
  Unifier_in_unifiers_singleton[of t _ s]
  mgu_sound[of t s]
unfolding is_mgu_def
by fastforce

lemma mgu_img_subterm_subst:
  fixes δ::"('f,'v) subst" and s t u::"('f,'v) term"
  assumes "mgu s t = Some δ" "u ∈ subterms_set (subst_range δ) - range Var"
  shows "u ∈ ((subterms s ∪ subterms t) - range Var) ·set δ"
proof -
  define subterms_tuples::"('f,'v) equation list ⇒ ('f,'v) terms" where subtt_def:
    "subterms_tuples ≡ λE. subterms_set (fst ` set E) ∪ subterms_set (snd ` set E)"
  define subterms_img::"('f,'v) subst ⇒ ('f,'v) terms" where subti_def:
    "subterms_img ≡ λd. subterms_set (subst_range d)"
  define d where "d ≡ λv t. subst v t:(('f,'v) subst)"
  define V where "V ≡ range Var::('f,'v) terms"
  define R where "R ≡ λd::('f,'v) subst. ((subterms s ∪ subterms t) - V) ·set d"
  define M where "M ≡ λE d. subterms_tuples E ∪ subterms_img d"
  define Q where "Q ≡ (λE d. M E d - V ⊆ R d - V)"
  define Q' where "Q' ≡ (λE d d'. (M E d - V) ·set d' ⊆ (R d - V) ·set (d'::('f,'v) subst))"

  have Q_subst: "Q (subst_list (subst v t') E) (subst_of ((v, t')#B))"
    when v_fv: "v ∉ fv t'" and Q_assm: "Q ((Var v, t')#E) (subst_of B)"
    for v t' E B
  proof -
    define E' where "E' ≡ subst_list (subst v t') E"
    define B' where "B' ≡ subst_of ((v, t')#B)"

    have E': "E' = subst_list (d v t') E"
      and B': "B' = subst_of B o_s d v t'"
      using subst_of.simps(3)[of "(v, t')"]
      unfolding subst_def E'_def B'_def d_def by simp_all

    have vt_img_subt: "subterms_set (subst_range (d v t')) = subterms t'"
      and vt_dom: "subst_domain (d v t') = {v}"
      using v_fv by (auto simp add: subst_domain_def d_def subst_def)

    have *: "subterms u1 ⊆ subterms_set (fst ` set E)" "subterms u2 ⊆ subterms_set (snd ` set E)"
      when "(u1,u2) ∈ set E" for u1 u2
      using that by auto

    have **: "subterms_set (d v t' ` (fv u ∩ subst_domain (d v t'))) ⊆ subterms t'"
      for u::("f,"v) term"
      using vt_dom unfolding d_def by force

    have 1: "subterms_tuples E' - V ⊆ (subterms t' - V) ∪ (subterms_tuples E - V ·set d v t')"
      (is "?A ⊆ ?B")
  proof
    fix u assume "u ∈ ?A"
    then obtain u1 u2 where u12:

```

```

"(u1,u2) ∈ set E"
"u ∈ (subterms (u1 · (d v t')) - V) ∪ (subterms (u2 · (d v t')) - V)"
unfolding subtt_def subst_list_def E'_def d_def by atomize_elim force
hence "u ∈ (subterms t' - V) ∪ (((subterms_tuples E) ·set d v t') - V)"
using subterms_subst[of u1 "d v t'"] subterms_subst[of u2 "d v t'"]
*[OF u12(1)] **[of u1] **[of u2]
unfolding subtt_def subst_list_def by auto
moreover have
  "(subterms_tuples E ·set d v t') - V ⊆
   (subterms_tuples E - V ·set d v t') ∪ {t'}"
unfolding subst_def subtt_def V_def d_def by force
ultimately show "u ∈ ?B" using u12 v_fv by auto
qed

have 2: "subterms_img B' - V ⊆
  (subterms t' - V) ∪ (subterms_img (subst_of B) - V ·set d v t')"
using B' vt_img_subt subst_img_comp_subset'''[of "subst_of B" "d v t'"]
unfolding substi_def subst_def V_def by argo

have 3: "subterms_tuples ((Var v, t')#E) - V = (subterms t' - V) ∪ (subterms_tuples E - V)"
by (auto simp add: subst_def subtt_def V_def)

have "fv_set (subterms t' - V) ∩ subst_domain (d v t') = {}"
using v_fv vt_dom fv_subterms[of t'] by fastforce
hence 4: "subterms t' - V ·set d v t' = subterms t' - V"
using set_subst_ident[of "subterms t' - range Var" "d v t'"] by (simp add: V_def)

have "M E' B' - V ⊆ M ((Var v, t')#E) (subst_of B) - V ·set d v t'"
using 1 2 3 4 unfolding M_def by blast
moreover have "Q' ((Var v, t')#E) (subst_of B) (d v t')"
using Q_assm unfolding Q_def Q'_def by auto
moreover have "R (subst_of B) ·set d v t' = R (subst_of ((v, t')#B))"
unfolding R_def d_def by auto
ultimately have
  "M (subst_list (d v t') E) (subst_of ((v, t')#B)) - V ⊆ R (subst_of ((v, t')#B)) - V"
  unfolding Q'_def E'_def B'_def d_def by blast
thus ?thesis unfolding Q_def M_def R_def d_def by blast
qed

have "u ∈ subterms s ∪ subterms t - V ·set subst_of U"
when assms':
  "unify E B = Some U"
  "u ∈ subterms_set (subst_range (subst_of U)) - V"
  "Q E (subst_of B)"
for E B U and T:::"('f, 'v) term list"
using assms'

proof (induction E B arbitrary: U rule: Unification.unify.induct)
  case (1 B) thus ?case by (auto simp add: Q_def M_def R_def substi_def)
next
  case (2 g X h Y E B U)
  from "2.prems"(1) obtain E' where E':
    "decompose (Fun g X) (Fun h Y) = Some E'"
    "g = h" "length X = length Y" "E' = zip X Y"
    "Unification.unify (E'@E) B = Some U"
  by (auto split: option.splits)
  moreover have "subterms_tuples (E'@E) ⊆ subterms_tuples ((Fun g X, Fun h Y)#E)"
  proof
    fix u assume "u ∈ subterms_tuples (E'@E)"
    then obtain u1 u2 where u12: "(u1,u2) ∈ set (E'@E)" "u ∈ subterms u1 ∪ subterms u2"
      unfolding subtt_def by fastforce
    thus "u ∈ subterms_tuples ((Fun g X, Fun h Y)#E)"
      proof (cases "(u1,u2) ∈ set E'")
        case True

```

```

hence "subterms u1 ⊆ subterms (Fun g X)" "subterms u2 ⊆ subterms (Fun h Y)"
  using E'(4) subterms_subset params_subterms subsetCE
  by (metis set_zip_leftD, metis set_zip_rightD)
  thus ?thesis using u12 unfolding subtt_def by auto
next
  case False thus ?thesis using u12 unfolding subtt_def by fastforce
qed
qed
hence "Q (E'@E) (subst_of B)" using "2.prems"(3) unfolding Q_def M_def by blast
ultimately show ?case using "2.IH"[of E' U] "2.prems" by meson
next
  case (3 v t' E B)
  show ?case
  proof (cases "t' = Var v")
    case True thus ?thesis
      using "3.prems" "3.IH"(1) unfolding Q_def M_def V_def subtt_def by auto
  next
    case False
    hence 1: "v ∉ fv t'" using "3.prems"(1) by auto
    hence "unify (subst_list (subst v t') E) ((v, t')#B) = Some U"
      using False "3.prems"(1) by auto
    thus ?thesis
      using Q_subst[OF 1 "3.prems"(3)]
        "3.IH"(2)[OF False 1 _ "3.prems"(2)]
      by metis
  qed
next
  case (4 g X v E B U)
  have 1: "v ∉ fv (Fun g X)" using "4.prems"(1) not_None_eq by fastforce
  hence 2: "unify (subst_list (subst v (Fun g X)) E) ((v, Fun g X)#B) = Some U"
    using "4.prems"(1) by auto

  have 3: "Q ((Var v, Fun g X)#E) (subst_of B)"
    using "4.prems"(3) unfolding Q_def M_def subtt_def by auto

  show ?case
    using Q_subst[OF 1 3] "4.IH"[OF 1 2 "4.prems"(2)]
    by metis
qed
moreover obtain D where "unify [(s, t)] [] = Some D" "δ = subst_of D"
  using assms(1) by (auto simp: mgu_def split: option.splits)
moreover have "Q [(s,t)] (subst_of [])"
  unfolding Q_def M_def R_def subtt_def subti_def
  by force
ultimately show ?thesis using assms(2) unfolding V_def by auto
qed

lemma mgu_img_consts:
  fixes δ::"('f,'v) subst" and s t::"('f,'v) term" and c::'f and z::'v
  assumes "mgu s t = Some δ" "Fun c [] ∈ subtermsset (subst_range δ)"
  shows "Fun c [] ∈ subterms s ∪ subterms t"
proof -
  obtain u where "u ∈ (subterms s ∪ subterms t) - range Var" "u · δ = Fun c []"
    using mgu_img_subterm_subst[OF assms(1), of "Fun c []"] assms(2) by force
  thus ?thesis by (cases u) auto
qed

lemma mgu_img_consts':
  fixes δ::"('f,'v) subst" and s t::"('f,'v) term" and c::'f and z::'v
  assumes "mgu s t = Some δ" "δ z = Fun c []"
  shows "Fun c [] ⊑ s ∨ Fun c [] ⊑ t"
using mgu_img_consts[OF assms(1)] assms(2)
by (metis Un_iff in_subterms_Union subst_imgI term.distinct(1))

```

```

lemma mgu_img_composed_var_term:
  fixes δ::"('f,'v) subst" and s t::"('f,'v) term" and f::'f and Z::"'v list"
  assumes "mgu s t = Some δ" "Fun f (map Var Z) ∈ subtermsset (subst_range δ)"
  shows "∃ Z'. map δ Z' = map Var Z ∧ Fun f (map Var Z') ∈ subterms s ∪ subterms t"
proof -
  obtain u where u: "u ∈ (subterms s ∪ subterms t) - range Var" "u · δ = Fun f (map Var Z)"
    using mgu_img_subterm_subst[OF assms(1), of "Fun f (map Var Z)"] assms(2) by fastforce
  then obtain T where T: "u = Fun f T" "map (λt. t · δ) T = map Var Z" by (cases u) auto
  have "∀ t ∈ set T. ∃ x. t = Var x" using T(2) by (induct T arbitrary: Z) auto
  then obtain Z' where Z': "map Var Z' = T" by (metis ex_map_conv)
  hence "map δ Z' = map Var Z" using T(2) by (induct Z' arbitrary: T Z) auto
  thus ?thesis using u(1) T(1) Z' by auto
qed

lemma mgu_ground_instance_case:
  assumes t: "fv (t · δ) = {}"
  shows "mgu t (t · δ) = Some (rm_vars (UNIV - fv t) δ)" (is ?A)
  and mgu_ground_commutes: "mgu t (t · δ) = mgu (t · δ) t" (is ?B)
proof -
  define θ where "θ ≡ rm_vars (UNIV - fv t) δ"
  have δ: "t · δ = t · θ"
    using rm_vars_subst_eq'[of t δ] unfolding θ_def by metis
  have 0: "Unifier θ t (t · δ)"
    using subst_ground_ident[OF t, of θ] term_subst_eq[of t δ θ]
    unfolding θ_def by (metis Diff_iff)
  obtain σ where σ: "mgu t (t · θ) = Some σ" "MGU σ t (t · θ)"
    using mgu_always_unifies[OF 0] mgu_gives_MGU[of t "t · θ"]
    unfolding δ by blast
  have 1: "subst_domain σ = fv t"
    using t MGU_is_Unifier[OF σ(2)]
    subset_antisym[OF mgu_subst_domain[OF σ(1)]]
    ground_term_subst_domain_fv_subset[of t σ]
    subst_apply_fv_empty[OF t, of σ]
    unfolding δ by auto
  have 2: "subst_domain θ = fv t"
    using 0 rm_vars_dom[of "UNIV - fv t" δ]
    ground_term_subst_domain_fv_subset[of t θ]
    subst_apply_fv_empty[OF t, of θ]
    unfolding θ_def by auto
  have "σ x = θ x" for x
    using 1 2 MGU_is_Unifier[OF σ(2)] term_subst_eq_conv[of t σ θ]
    subst_ground_ident[OF t[unfolded δ], of σ] subst_domI[of _ x]
    by metis
  hence "σ = θ" by presburger
  thus A: ?A using σ(1) unfolding δ θ_def by blast
  have "Unifier θ (t · δ) t" using 0 by simp
  then obtain σ' where σ': "mgu (t · θ) t = Some σ'" "MGU σ' (t · θ) t"
    using mgu_always_unifies mgu_gives_MGU[of "t · θ" t]
    unfolding δ by fastforce
  have 3: "subst_domain σ' = fv t"
    using t MGU_is_Unifier[OF σ'(2)]
    subset_antisym[OF mgu_subst_domain[OF σ'(1)]]
    ground_term_subst_domain_fv_subset[of t σ']
    subst_apply_fv_empty[OF t, of σ']

```

```

unfolding  $\delta$  by auto

have " $\sigma' x = \vartheta x$ " for  $x$ 
using 2 3 MGU_is_Unifier[ $\sigma'(2)$ ] term_subst_eq_conv[of  $t \sigma' \vartheta$ ]
      subst_ground_ident[ $t[\text{unfolded } \delta]$ , of  $\sigma'$ ] subst_domI[of _  $x$ ]
by metis
hence " $\sigma' = \vartheta$ " by presburger
thus ?B using A  $\sigma'(1)$  unfolding  $\delta \vartheta\_\text{def}$  by argo
qed

```

### 2.3.9 Lemmata: The "Inequality Lemmata"

Subterm injectivity (a stronger injectivity property)

```

definition subterm_inj_on where
  "subterm_inj_on f A ≡ ∀x ∈ A. ∀y ∈ A. (Ǝv. v ⊑ f x ∧ v ⊑ f y) → x = y"

lemma subterm_inj_on_imp_inj_on: "subterm_inj_on f A ⇒ inj_on f A"
unfolding subterm_inj_on_def inj_on_def by fastforce

lemma subst_inj_on_is_bij_betw:
  "inj_on  $\vartheta$  (subst_domain  $\vartheta$ ) = bij_betw  $\vartheta$  (subst_domain  $\vartheta$ ) (subst_range  $\vartheta$ )"
unfolding inj_on_def bij_betw_def by auto

lemma subterm_inj_on_alt_def:
  "subterm_inj_on f A ↔
   (inj_on f A ∧ (∀s ∈ f ` A. ∀u ∈ f ` A. (Ǝv. v ⊑ s ∧ v ⊑ u) → s = u))"
(is "?A ↔ ?B")
unfolding subterm_inj_on_def inj_on_def by fastforce

lemma subterm_inj_on_alt_def':
  "subterm_inj_on  $\vartheta$  (subst_domain  $\vartheta$ ) ↔
   (inj_on  $\vartheta$  (subst_domain  $\vartheta$ ) ∧
    (∀s ∈ subst_range  $\vartheta$ . ∀u ∈ subst_range  $\vartheta$ . (Ǝv. v ⊑ s ∧ v ⊑ u) → s = u))"
(is "?A ↔ ?B")
by (metis subterm_inj_on_alt_def subst_range.simps)

lemma subterm_inj_on_subset:
  assumes "subterm_inj_on f A"
  and "B ⊆ A"
  shows "subterm_inj_on f B"
proof -
  have "inj_on f A" "∀s ∈ f ` A. ∀u ∈ f ` A. (Ǝv. v ⊑ s ∧ v ⊑ u) → s = u"
  using subterm_inj_on_alt_def[of f A] assms(1) by auto
  moreover have "f ` B ⊆ f ` A" using assms(2) by auto
  ultimately have "inj_on f B" "∀s ∈ f ` B. ∀u ∈ f ` B. (Ǝv. v ⊑ s ∧ v ⊑ u) → s = u"
  using inj_on_subset[of f A] assms(2) by blast+
  thus ?thesis by (metis subterm_inj_on_alt_def)
qed

lemma inj_subst_unif_consts:
  fixes  $\mathcal{I} \vartheta \sigma ::= ('f, 'v) \text{ subst}$  and  $s t ::= ('f, 'v) \text{ term}$ 
  assumes  $\vartheta$ : "subterm_inj_on  $\vartheta$  (subst_domain  $\vartheta$ )" "∀x ∈ (fv s ∪ fv t) - X. ∃c.  $\vartheta x = \text{Fun } c []$ "
         "subterms_set (subst_range  $\vartheta$ ) ∩ (subterms s ∪ subterms t) = {}" "ground (subst_range  $\vartheta$ )"
         "subst_domain  $\vartheta ∩ X = {}"$ 
  and  $\mathcal{I}$ : "ground (subst_range  $\mathcal{I}$ )" "subst_domain  $\mathcal{I} = subst_domain \vartheta"$ 
  and unif: "Unifier  $\sigma (s \cdot \vartheta) (t \cdot \vartheta)$ "
  shows "∃δ. Unifier  $\delta (s \cdot \mathcal{I}) (t \cdot \mathcal{I})$ "
proof -
  let ?xs = "subst_domain  $\vartheta$ "
  let ?ys = "(fv s ∪ fv t) - ?xs"
  have "∃δ ::= ('f, 'v) subst.  $s \cdot \delta = t \cdot \delta$ " by (metis subst_subst_compose unif)

```

```

then obtain  $\delta ::= ('f, 'v) \text{ subst}$  where  $\delta: "mgu s t = Some \delta"$ 
  using mgu_always_unifies by atomize_elim auto
have 1: " $\exists \sigma ::= ('f, 'v) \text{ subst}. s \cdot \vartheta \cdot \sigma = t \cdot \vartheta \cdot \sigma$ " by (metis unif)
have 2: " $\bigwedge \gamma ::= ('f, 'v) \text{ subst}. s \cdot \vartheta \cdot \gamma = t \cdot \vartheta \cdot \gamma \implies \delta \preceq_\circ \vartheta \circ_s \gamma$ " using mgu_gives_MGU[OF \delta] by
simp
have 3: " $\bigwedge (z ::= 'v) (c ::= 'f). \delta z = \text{Fun } c [] \implies \text{Fun } c [] \sqsubseteq s \vee \text{Fun } c [] \sqsubseteq t$ "
  by (rule mgu_img_consts'[OF \delta])
have 4: " $\text{subst\_domain } \delta \cap \text{range\_vars } \delta = \{\}$ "
  by (metis mgu_gives_wellformed_subst[OF \delta] wfsubst_def)
have 5: " $\text{subst\_domain } \delta \cup \text{range\_vars } \delta \subseteq \text{fv } s \cup \text{fv } t$ "
  by (metis mgu_gives_wellformed_MGU[OF \delta] wfMGU_def)

{ fix x and  $\gamma ::= ('f, 'v) \text{ subst}$  assume "x  $\in \text{subst\_domain } \vartheta$ "
  hence " $(\vartheta \circ_s \gamma) x = \vartheta x$ "
    using  $\vartheta(4)$  ident_comp_subst_trm_if_disj[of \gamma \vartheta]
    unfolding range_vars_alt_def by fast
}
then obtain  $\tau ::= ('f, 'v) \text{ subst}$  where  $\tau: "\forall x \in \text{subst\_domain } \vartheta. \vartheta x = (\delta \circ_s \tau) x"$  using 1 2 by
metis

have *: " $\bigwedge x. x \in \text{subst\_domain } \delta \cap \text{subst\_domain } \vartheta \implies \exists y \in ?ys. \delta x = \text{Var } y$ "
proof -
  fix x assume "x  $\in \text{subst\_domain } \delta \cap ?xs$ "
  hence x: " $x \in \text{subst\_domain } \delta$ " " $x \in \text{subst\_domain } \vartheta$ " by auto
  then obtain c where c: " $\vartheta x = \text{Fun } c []$ " using  $\vartheta(2,5)$  5 by atomize_elim auto
  hence *: " $(\delta \circ_s \tau) x = \text{Fun } c []$ " using  $\tau x$  by fastforce
  hence **: " $x \in \text{subst\_domain } (\delta \circ_s \tau)$ " " $\text{Fun } c [] \in \text{subst\_range } (\delta \circ_s \tau)$ "
    by (auto simp add: subst_domain_def)
  have " $\delta x = \text{Fun } c [] \vee (\exists z. \delta x = \text{Var } z \wedge \tau z = \text{Fun } c [])$ "
    by (rule subst_img_comp_subset_const'[OF *])
  moreover have " $\delta x \neq \text{Fun } c []$ "
  proof (rule ccontr)
    assume " $\neg \delta x \neq \text{Fun } c []$ "
    hence " $\text{Fun } c [] \sqsubseteq s \vee \text{Fun } c [] \sqsubseteq t$ " using 3 by metis
    moreover have " $\forall u \in \text{subst\_range } \vartheta. u \notin \text{subterms } s \cup \text{subterms } t$ "
      using  $\vartheta(3)$  by force
    hence " $\text{Fun } c [] \notin \text{subterms } s \cup \text{subterms } t$ "
      by (metis c <ground (subst_range \vartheta)>x(2) ground_subst_dom_iff_img)
    ultimately show False by auto
  qed
  moreover have " $\forall x' \in \text{subst\_domain } \vartheta. \delta x \neq \text{Var } x'$ "
  proof (rule ccontr)
    assume " $\neg (\forall x' \in \text{subst\_domain } \vartheta. \delta x \neq \text{Var } x')$ "
    then obtain x' where x': " $x' \in \text{subst\_domain } \vartheta$ " " $\delta x = \text{Var } x'$ " by atomize_elim auto
    hence " $\tau x' = \text{Fun } c []$ " " $(\delta \circ_s \tau) x = \text{Fun } c []$ " using * unfolding subst_compose_def by auto
    moreover have " $x \neq x'$ "
      using x(1) x'(2) 4
      by (auto simp add: subst_domain_def)
    moreover have " $x' \notin \text{subst\_domain } \delta$ "
      using x'(2) mgu_elimutes_dom[OF \delta]
      by (metis (no_types) subst_elim_def eval_term.simps(1) vars_iff_subterm_or_eq)
    moreover have " $(\delta \circ_s \tau) x = \vartheta x$ " " $(\delta \circ_s \tau) x' = \vartheta x'$ " using  $\tau x(2)$  x'(1) by auto
    ultimately show False
      using subterm_inj_on_imp_inj_on[OF \vartheta(1)] *
      by (simp add: inj_on_def subst_compose_def x'(2) subst_domain_def)
  qed
  ultimately show " $\exists y \in ?ys. \delta x = \text{Var } y$ "
    by (metis 5 x(2) subtermeqI' vars_iff_subtermeq DiffI Un_iff subst_fv_imgI sup.orderE)
qed

have **: " $\text{inj\_on } \delta (\text{subst\_domain } \delta \cap ?xs)$ "
proof (intro inj_onI)
  fix x y assume *:

```

```

"x ∈ subst_domain δ ∩ subst_domain θ" "y ∈ subst_domain δ ∩ subst_domain θ" "δ x = δ y"
hence "(δ os τ) x = (δ os τ) y" unfolding subst_compose_def by auto
hence "θ x = θ y" using τ * by auto
thus "x = y" using inj_onD[OF subterm_inj_on_imp_inj_on[OF θ(1)]] *(1,2) by simp
qed

define α where "α = (λy'. if Var y' ∈ δ ` (subst_domain δ ∩ ?xs)
then Var ((inv_into (subst_domain δ ∩ ?xs) δ) (Var y'))
else Var y'::('f,'v) term)"
have a1: "Unifier (δ os α) s t" using mgu_gives_MGU[OF δ] by auto

define δ' where "δ' = δ os α"
have d1: "subst_domain δ' ⊆ ?ys"
proof
fix z assume z: "z ∈ subst_domain δ''"
have "z ∈ ?xs" ⟹ z ∉ subst_domain δ''"
proof (cases "z ∈ subst_domain δ")
case True
moreover assume "z ∈ ?xs"
ultimately have z_in: "z ∈ subst_domain δ ∩ ?xs" by simp
then obtain y where y: "δ z = Var y" "y ∈ ?ys" using * by atomize_elim auto
hence "α y = Var ((inv_into (subst_domain δ ∩ ?xs) δ) (Var y))"
using α_def z_in by simp
hence "α y = Var z" by (metis y(1) z_in ** inv_into_f_eq)
hence "δ' z = Var z" using δ'_def y(1) subst_compose_def[of δ α] by simp
thus ?thesis by (simp add: subst_domain_def)
next
case False
hence "δ z = Var z" by (simp add: subst_domain_def)
moreover assume "z ∈ ?xs"
hence "α z = Var z" using α_def * by force
ultimately show ?thesis
using δ'_def subst_compose_def[of δ α]
by (simp add: subst_domain_def)
qed
moreover have "subst_domain α ⊆ range_vars δ"
unfolding δ'_def α_def range_vars_alt_def
by (auto simp add: subst_domain_def)
hence "subst_domain δ' ⊆ subst_domain δ ∪ range_vars δ"
using subst_domain_compose[of δ α] unfolding δ'_def by blast
ultimately show "z ∈ ?ys" using 5 z by auto
qed

have d2: "Unifier (δ' os I) s t" using a1 δ'_def by auto
have d3: "I os δ' os I = δ' os I"
proof -
{ fix z::'v assume z: "z ∈ ?xs"
then obtain u where u: "I z = u" "fv u = {}" using I by auto
hence "(I os δ' os I) z = u" by (simp add: subst_compose subst_ground_ident)
moreover have "z ∉ subst_domain δ'" using d1 z by auto
hence "δ' z = Var z" by (simp add: subst_domain_def)
hence "(δ' os I) z = u" using u(1) by (simp add: subst_compose)
ultimately have "(I os δ' os I) z = (δ' os I) z" by metis
} moreover {
fix z::'v assume "z ∈ ?ys"
hence "z ∉ subst_domain I" using I(2) by auto
hence "(I os δ' os I) z = (δ' os I) z" by (simp add: subst_compose subst_domain_def)
} moreover {
fix z::'v assume "z ∉ ?xs" "z ∉ ?ys"
hence "I z = Var z" "δ' z = Var z" using I(2) d1 by blast+
hence "(I os δ' os I) z = (δ' os I) z" by (simp add: subst_compose)
} ultimately show ?thesis by auto
qed

```

```

from d2 d3 have "Unifier ( $\delta' \circ_s \mathcal{I}$ ) (s ·  $\mathcal{I}$ ) (t ·  $\mathcal{I}$ )" by (metis subst_subst_compose)
thus ?thesis by metis
qed

lemma inj_subst_unif_comp_terms:
fixes  $\mathcal{I} \vartheta \sigma ::= ('f, 'v) subst$  and  $s t ::= ('f, 'v) term$ 
assumes  $\vartheta$ : "subterm_inj_on  $\vartheta$  (subst_domain  $\vartheta$ )" "ground (subst_range  $\vartheta$ )"
"subterms_set (subst_range  $\vartheta$ ) \cap (subterms s \cup subterms t) = \{\}" "
"(fv s \cup fv t) - subst_domain  $\vartheta \subseteq X"$ 
and tfr: " $\forall f U. Fun f U \in subterms s \cup subterms t \longrightarrow U = [] \vee (\exists u \in set U. u \notin Var \setminus X)"$ 
and  $\mathcal{I}$ : "ground (subst_range  $\mathcal{I}$ )" "subst_domain  $\mathcal{I}$  = subst_domain  $\vartheta$ "
and unif: "Unifier  $\sigma$  (s ·  $\vartheta$ ) (t ·  $\vartheta$ )"
shows " $\exists \delta. Unifier \delta (s \cdot \mathcal{I}) (t \cdot \mathcal{I})$ "
```

**proof -**

```

let ?xs = "subst_domain  $\vartheta$ "
let ?ys = "(fv s \cup fv t) - ?xs"
```

have "ground (subst\_range  $\vartheta$ )" using  $\vartheta(2)$  by auto

have " $\exists \delta ::= ('f, 'v) subst. s \cdot \delta = t \cdot \delta$ " by (metis subst\_subst\_compose unif)
then obtain  $\delta ::= ('f, 'v) subst$  where  $\delta$ : "mgu s t = Some  $\delta$ "
using mgu\_always\_unifies by atomize\_elim auto

have 1: " $\exists \sigma ::= ('f, 'v) subst. s \cdot \vartheta \cdot \sigma = t \cdot \vartheta \cdot \sigma$ " by (metis unif)
have 2: " $\bigwedge \gamma ::= ('f, 'v) subst. s \cdot \vartheta \cdot \gamma = t \cdot \vartheta \cdot \gamma \implies \delta \leq_o \vartheta \circ_s \gamma$ " using mgu\_gives\_MGU[OF  $\delta$ ] by simp

have 3: " $\bigwedge (z ::= 'v) (c ::= 'f). Fun c [] \sqsubseteq \delta z \implies Fun c [] \sqsubseteq s \vee Fun c [] \sqsubseteq t$ "

using mgu\_img\_consts[OF  $\delta$ ] by force

have 4: "subst\_domain  $\delta \cap range_vars \delta = \{\}$ "

using mgu\_gives\_wellformed\_subst[OF  $\delta$ ]
by (metis wfsubst\_def)

have 5: "subst\_domain  $\delta \cup range_vars \delta \subseteq fv s \cup fv t"$

using mgu\_gives\_wellformed\_MGU[OF  $\delta$ ]
by (metis wfMGU\_def)

{ fix x and  $\gamma ::= ('f, 'v) subst$  assume "x \in subst\_domain  $\vartheta$ "

hence " $(\vartheta \circ_s \gamma) x = \vartheta x$ "

using <ground (subst\_range  $\vartheta$ )> ident\_comp\_subst\_trm\_if\_disj[of  $\gamma \vartheta x$ ]

unfolding range\_vars\_alt\_def by blast

}

} then obtain  $\tau ::= ('f, 'v) subst$  where  $\tau$ : " $\forall x \in subst_domain \vartheta. \vartheta x = (\delta \circ_s \tau) x$ " using 1 2 by metis

have \*\*\*: " $\bigwedge x. x \in subst_domain \delta \cap subst_domain \vartheta \implies fv (\delta x) \subseteq ?ys$ "

**proof -**

```

fix x assume "x \in subst_domain \delta \cap ?xs"
hence x: "x \in subst_domain \delta" "x \in subst_domain \vartheta" by auto
moreover have " $\neg(\exists x' \in ?xs. x' \in fv (\delta x))$ "
```

**proof (rule ccontr)**

```

assume " $\neg\neg(\exists x' \in ?xs. x' \in fv (\delta x))$ "
```

then obtain x' where x': "x' \in fv (\delta x)" "x' \in ?xs" by metis

have "x \neq x'" "x' \notin subst\_domain \delta" " $\delta x' = Var x'$ "

using 4 x(1) x'(1) unfolding range\_vars\_alt\_def by auto

hence " $(\delta \circ_s \tau) x' \sqsubseteq (\delta \circ_s \tau) x$ " " $\tau x' = (\delta \circ_s \tau) x'$ "

using  $\tau x(2) x'(2)$

by (metis subst\_compose subst\_mono\_vars\_iff\_subtermeq x'(1),
metis eval\_term.simps(1) subst\_compose\_def)

hence " $\vartheta x' \sqsubseteq \vartheta x$ " using  $\tau x(2) x'(2)$  by auto

thus False

using  $\vartheta(1) x'(2) x(2) \langle x \neq x' \rangle$

unfolding subterm\_inj\_on\_def

by (meson subtermeqI')

**qed**

ultimately show "fv (\delta x) \subseteq ?ys"

```

using 5 subst_dom_vars_in_subst[of x δ] subst_fv_imgI[of δ x]
by blast
qed

have **: "inj_on δ (subst_domain δ ∩ ?xs)"
proof (intro inj_onI)
fix x y assume *:
"x ∈ subst_domain δ ∩ subst_domain θ" "y ∈ subst_domain δ ∩ subst_domain θ" "δ x = δ y"
hence "(δ o_s τ) x = (δ o_s τ) y" unfolding subst_compose_def by auto
hence "θ x = θ y" using τ * by auto
thus "x = y" using inj_onD[OF substm_inj_on_imp_inj_on[OF θ(1)]] *(1,2) by simp
qed

have *: "∀x. x ∈ subst_domain δ ∩ subst_domain θ ⇒ ∃y ∈ ?ys. δ x = Var y"
proof (rule ccontr)
fix xi assume xi_assms: "xi ∈ subst_domain δ ∩ subst_domain θ" "¬(∃y ∈ ?ys. δ xi = Var y)"
hence xi_θ: "xi ∈ subst_domain θ" and δ_xi_comp: "¬(∃y. δ xi = Var y)"
using ***[of xi] 5 by auto
then obtain f T where f: "δ xi = Fun f T" by (cases "δ xi") auto

have "∃g Y'. Y' ≠ [] ∧ Fun g (map Var Y') ⊑ δ xi ∧ set Y' ⊆ ?ys"
proof -
have "∀c. Fun c [] ⊑ δ xi → Fun c [] ⊑ θ xi"
using τ xi_θ by (metis const_subterm_subst subst_compose)
hence 1: "∀c. ¬(Fun c [] ⊑ δ xi)"
using 3[of _ xi] xi_θ θ(3)
by auto

have "¬(∃x. δ xi = Var x)" using f by auto
hence "∃g S. Fun g S ⊑ δ xi ∧ (∀s ∈ set S. (∃c. s = Fun c []) ∨ (∃x. s = Var x))"
using nonvar_term_has_composed_shallow_term[of "δ xi"] by auto
then obtain g S where gS: "Fun g S ⊑ δ xi" "∀s ∈ set S. (∃c. s = Fun c []) ∨ (∃x. s = Var
x)"
by atomize_elim auto

have "∀s ∈ set S. ∃x. s = Var x"
using 1 term.order_trans gS
by (metis (no_types, lifting) UN_I term.order_refl subsetCE subterms.simps(2) sup_ge2)
then obtain S' where 2: "map Var S' = S" by (metis ex_map_conv)

have "S ≠ []" using 1 term.order_trans[OF _ gS(1)] by fastforce
hence 3: "S' ≠ []" "Fun g (map Var S') ⊑ δ xi" using gS(1) 2 by auto

have "set S' ⊆ fv (Fun g (map Var S'))" by simp
hence 4: "set S' ⊆ fv (δ xi)" using 3(2) fv_subterms by force

show ?thesis using ***[OF xi_assms(1)] 2 3 4 by auto
qed
then obtain g Y' where g: "Y' ≠ []" "Fun g (map Var Y') ⊑ δ xi" "set Y' ⊆ ?ys" by atomize_elim
auto
then obtain X where X: "map δ X = map Var Y'" "Fun g (map Var X) ∈ subterms s ∪ subterms t"
using mgu_img_composed_var_term[OF δ, of g Y'] by force
hence "∃(u::('f,'v) term) ∈ set (map Var X). u ∉ Var ^ ?ys"
using θ(4) tfr g(1) by fastforce
then obtain j where j: "j < length X" "X ! j ∉ ?ys"
by (metis image_iff[OF _ Var "fv s ∪ fv t - subst_domain θ"] nth_map[OF _ X Var]
in_set_conv_nth[OF _ "map Var X"] length_map[OF Var X])

define yj' where yj': "yj' ≡ Y' ! j"
define xj where xj: "xj ≡ X ! j"

have "xj ∈ fv s ∪ fv t"
using j X(1) g(3) 5 xj yj'

```

```

by (metis length_map nth_map term.simps(1) in_set_conv_nth le_supE subsetCE subst_domI)
hence  $xj_\vartheta: "xj \in \text{subst\_domain } \vartheta"$  using j unfolding xj by simp

have len: "length X = length Y" by (rule map_eq_imp_length_eq[OF X(1)])

have "Var yj' \subseteq \delta xi"
  using term.order_trans[OF _ g(2)] j(1) len unfolding yj' by auto
hence " $\tau yj' \subseteq \vartheta xi$ "
  using  $\tau xi_\vartheta$  by (metis eval_term.simps(1) subst_compose_def subst_mono)
moreover have  $\delta_{xj\_var}: "Var yj' = \delta xj"$ 
  using X(1) len j(1) nth_map
  unfolding xj yj' by metis
hence " $\tau yj' = \vartheta xj$ " using  $\tau xj_\vartheta$  by (metis eval_term.simps(1) subst_compose_def)
moreover have " $xi \neq xj$ " using  $\delta_{xi\_comp} \delta_{xj\_var}$  by auto
ultimately show False using  $\vartheta(1) xi_\vartheta xj_\vartheta$  unfolding subterm_inj_on_def by blast
qed

define  $\alpha$  where " $\alpha = (\lambda y'. \text{if } Var y' \in \delta \text{ then } \text{Var}((\text{inv\_into}(\text{subst\_domain } \delta \cap ?xs) \delta) (Var y')) \text{ else } Var y' :: ('f, 'v) \text{ term})$ "
have a1: "Unifier ( $\delta \circ_s \alpha$ ) s t" using mgu_gives_MGU[OF  $\delta$ ] by auto

define  $\delta'$  where " $\delta' = \delta \circ_s \alpha$ "
have d1: "subst_domain  $\delta' \subseteq ?ys$ " proof
fix z assume z: " $z \in \text{subst\_domain } \delta'$ "
have "z \in ?ys \implies z \notin \text{subst\_domain } \delta'" proof (cases "z \in \text{subst\_domain } \delta")
  case True
  moreover assume "z \in ?xs"
  ultimately have z_in: " $z \in \text{subst\_domain } \delta \cap ?xs$ " by simp
  then obtain y where y: " $\delta z = \text{Var } y$ " " $y \in ?ys$ " using * by atomize_elim auto
  hence " $\alpha y = \text{Var}((\text{inv\_into}(\text{subst\_domain } \delta \cap ?xs) \delta) (Var y))$ " using alpha_def z_in by simp
  hence " $\alpha y = \text{Var } z$ " by (metis y(1) z_in ** inv_into_f_eq)
  hence " $\delta' z = \text{Var } z$ " using delta'_def y(1) subst_compose_def[of  $\delta \alpha$ ] by simp
  thus ?thesis by (simp add: subst_domain_def)
next
  case False
  hence " $\delta z = \text{Var } z$ " by (simp add: subst_domain_def)
  moreover assume "z \in ?xs"
  hence " $\alpha z = \text{Var } z$ " using alpha_def * by force
  ultimately show ?thesis using delta'_def subst_compose_def[of  $\delta \alpha$ ] by (simp add: subst_domain_def)
qed
moreover have "subst_domain  $\alpha \subseteq \text{range\_vars } \delta'$ " unfolding delta'_def alpha_def range_vars_alt_def subst_domain_def by auto
hence "subst_domain  $\delta' \subseteq \text{subst\_domain } \delta \cup \text{range\_vars } \delta'$ " using subst_domain_compose[of  $\delta \alpha$ ] unfolding delta'_def by blast
ultimately show "z \in ?ys" using 5 z by blast
qed

have d2: "Unifier ( $\delta' \circ_s \mathcal{I}$ ) s t" using a1 delta'_def by auto
have d3: " $\mathcal{I} \circ_s \delta' \circ_s \mathcal{I} = \delta' \circ_s \mathcal{I}$ " proof -
fix z::'v assume z: " $z \in ?xs$ " then obtain u where u: " $\mathcal{I} z = u$ " " $\text{fv } u = \{z\}$ " using I by auto
hence " $(\mathcal{I} \circ_s \delta' \circ_s \mathcal{I}) z = u$ " by (simp add: subst_compose subst_ground_ident)
moreover have " $z \notin \text{subst\_domain } \delta'$ " using d1 z by auto
hence " $\delta' z = \text{Var } z$ " by (simp add: subst_domain_def)
hence " $(\delta' \circ_s \mathcal{I}) z = u$ " using u(1) by (simp add: subst_compose)
ultimately have " $(\mathcal{I} \circ_s \delta' \circ_s \mathcal{I}) z = (\delta' \circ_s \mathcal{I}) z$ " by metis
} moreover {

```

```

fix z::'v assume "z ∈ ?ys"
hence "z ∉ subst_domain I" using I(2) by auto
hence "(I os δ' os I) z = (δ' os I) z" by (simp add: subst_compose subst_domain_def)
} moreover {
fix z::'v assume "z ∉ ?xs" "z ∉ ?ys"
hence "I z = Var z" "δ' z = Var z" using I(2) d1 by blast+
hence "(I os δ' os I) z = (δ' os I) z" by (simp add: subst_compose)
} ultimately show ?thesis by auto
qed

from d2 d3 have "Unifier (δ' os I) (s · I) (t · I)" by (metis subst_subst_compose)
thus ?thesis by metis
qed

context
begin

private lemma sat_ineq_subterm_inj_subst_aux:
fixes I:::"('f,'v) subst"
assumes "Unifier σ (s · I) (t · I)" "ground (subst_range I)"
"(fv s ∪ fv t) - X ⊆ subst_domain I" "subst_domain I ∩ X = {}"
shows "∃ δ::('f,'v) subst. subst_domain δ = X ∧ ground (subst_range δ) ∧ s · δ · I = t · δ · I"
proof -
have "∃ σ. Unifier σ (s · I) (t · I) ∧ interpretationsubst σ"
proof -
obtain I':::"('f,'v) subst" where *: "interpretationsubst I'"
using interpretation_subst_exists by metis
hence "Unifier (σ os I') (s · I) (t · I)" using assms(1) by simp
thus ?thesis using * interpretation_comp by blast
qed
then obtain σ' where σ': "Unifier σ' (s · I) (t · I)" "interpretationsubst σ'" by atomize_elim auto
define σ'' where "σ'' = rm_vars (UNIV - X) σ''"

have *: "fv (s · I) ⊆ X" "fv (t · I) ⊆ X"
using assms(2,3) subst_fv_unfold_ground_img[of I]
unfolding range_vars_alt_def
by (simp_all add: Diff_subset_conv Un_commute)
hence **: "subst_domain σ'' = X" "ground (subst_range σ'')"
using rm_vars_img_subset[of "UNIV - X" σ'] rm_vars_dom[of "UNIV - X" σ'] σ'(2)
unfolding σ''_def by auto
hence "¬(t · I · σ'') = t · σ'' · I"
using subst_eq_if_disjoint_vars_ground[OF _ _ assms(2)] assms(4) by blast
moreover have "Unifier σ'' (s · I) (t · I)"
using Unifier_dom_restrict[OF σ'(1)] σ''_def * by blast
ultimately show ?thesis using ** by auto
qed

```

The "inequality lemma": This lemma gives sufficient syntactic conditions for finding substitutions  $\vartheta$  under which terms  $s$  and  $t$  are not unifiable.

This is useful later when establishing the typing results since we there want to find well-typed solutions to inequality constraints / "negative checks" constraints, and this lemma gives conditions for protocols under which such constraints are well-typed satisfiable if satisfiable.

```

lemma sat_ineq_subterm_inj_subst:
fixes θ I δ:::"('f,'v) subst"
assumes θ: "subterm_inj_on θ (subst_domain θ)"
"ground (subst_range θ)"
"subst_domain θ ∩ X = {}"
"subterms_set (subst_range θ) ∩ (subterms s ∪ subterms t) = {}"
"(fv s ∪ fv t) - subst_domain θ ⊆ X"
and tfr: "(∀x ∈ (fv s ∪ fv t) - X. ∃c. θ x = Fun c []) ∨
          (∀f U. Fun f U ∈ subterms s ∪ subterms t → U = [] ∨ (∃u ∈ set U. u ∉ Var ` X))"
and I: "∀δ::('f,'v) subst. subst_domain δ = X ∧ ground (subst_range δ) → s · δ · I ≠ t · δ · I"
"(fv s ∪ fv t) - X ⊆ subst_domain I" "subst_domain I ∩ X = {}" "ground (subst_range I)"

```

```

"subst_domain I = subst_domain θ"
and δ: "subst_domain δ = X" "ground (subst_range δ)"
shows "s · δ · θ ≠ t · δ · θ"
proof -
  have "∀σ. ¬Unifier σ (s · I) (t · I)"
    by (metis I(1) sat_ineq_subterm_inj_subst_aux[OF _ I(4,2,3)])
  hence "¬Unifier δ (s · θ) (t · θ)"
    using inj_subst_unif_consts[OF θ(1) _ θ(4,2,3) I(4,5)]
      inj_subst_unif_comp_terms[OF θ(1,2,4,5) _ I(4,5)]
        tfr
    by metis
  moreover have "subst_domain δ ∩ subst_domain θ = {}" using θ(2,3) δ(1) by auto
  ultimately show ?thesis using δ subst_eq_if_disjoint_vars_ground[OF _ θ(2) δ(2)] by metis
qed
end

lemma ineq_subterm_inj_cond_subst:
  assumes "X ∩ range_vars θ = {}"
  and "∀f T. Fun f T ∈ subtermsset S → T = [] ∨ (∃u ∈ set T. u ∉ Var`X)"
  shows "∀f T. Fun f T ∈ subtermsset (S ·set θ) → T = [] ∨ (∃u ∈ set T. u ∉ Var`X)"
proof (intro allI impI)
  let ?M = "λS. subtermsset S ·set θ"
  let ?N = "λS. subtermsset (θ ` (fvset S ∩ subst_domain θ))"

  fix f T assume "Fun f T ∈ subtermsset (S ·set θ)"
  hence 1: "Fun f T ∈ ?M S ∨ Fun f T ∈ ?N S"
    using subterms_subst[of _ θ] by auto

  have 2: "Fun f T ∈ subtermsset (subst_range θ) ⇒ ∀u ∈ set T. u ∉ Var`X"
    using fv_subset_subterms[of "Fun f T" "subst_range θ"] assms(1)
    unfolding range_vars_alt_def by force

  have 3: "∀x ∈ subst_domain θ. θ x ∉ Var`X"
  proof
    fix x assume "x ∈ subst_domain θ"
    hence "fv (θ x) ⊆ range_vars θ"
      using subst_dom_vars_in_subst subst_fv_imgI
      unfolding range_vars_alt_def by auto
    thus "θ x ∉ Var`X" using assms(1) by auto
  qed

  show "T = [] ∨ (∃s ∈ set T. s ∉ Var`X)" using 1
  proof
    assume "Fun f T ∈ ?M S"
    then obtain u where u: "u ∈ subtermsset S" "u · θ = Fun f T" by fastforce
    show ?thesis
    proof (cases u)
      case (Var x)
      hence "Fun f T ∈ subst_range θ" using u(2) by (simp add: subst_domain_def)
      hence "∀u ∈ set T. u ∉ Var`X" using 2 by force
      thus ?thesis by auto
    next
      case (Fun g S)
      hence "S = [] ∨ (∃u ∈ set S. u ∉ Var`X)" using assms(2) u(1) by metis
      thus ?thesis
      proof
        assume "S = []" thus ?thesis using u(2) Fun by simp
        next
          assume "∃u ∈ set S. u ∉ Var`X"
          then obtain u' where u': "u' ∈ set S" "u' ∉ Var`X" by atomize_elim auto
          hence "u' · θ ∈ set T" using u(2) Fun by auto
          thus ?thesis using u'(2) 3 by (cases u') force+
        qed
      qed
    qed
  qed
end

```

```

qed
next
  assume "Fun f T ∈ ?N S"
  thus ?thesis using 2 by force
qed
qed

```

### 2.3.10 Lemmata: Sufficient Conditions for Term Matching

```

definition subst_var_inv::"('a,'b) subst ⇒ 'b set ⇒ ('a,'b) subst" where
  "subst_var_inv δ X ≡ (λx. if Var x ∈ δ ` X then Var ((inv_into X δ) (Var x)) else Var x)"

```

```

lemma subst_var_inv_subst_domain:
  assumes "x ∈ subst_domain (subst_var_inv δ X)"
  shows "Var x ∈ δ ` X"
by (meson assms subst_dom_vars_in_subst subst_var_inv_def)

```

```

lemma subst_var_inv_subst_domain':
  assumes "X ⊆ subst_domain δ"
  shows "x ∈ subst_domain (subst_var_inv δ X) ↔ Var x ∈ δ ` X"
proof
  show "Var x ∈ δ ` X ⇒ x ∈ subst_domain (subst_var_inv δ X)"
    by (metis (no_types, lifting) assms f_inv_into_f_in_mono inv_into_into
        subst_domI subst_dom_vars_in_subst subst_var_inv_def term.inject(1))
qed (rule subst_var_inv_subst_domain)

```

```

lemma subst_var_inv_Var_range:
  "subst_range (subst_var_inv δ X) ⊆ range Var"
unfolding subst_var_inv_def by auto

```

Injective substitutions from variables to variables are invertible

```

lemma inj_var_ran_subst_is_invertible:
  assumes δ_inj_on_X: "inj_on δ X"
  and δ_var_on_X: "δ ` X ⊆ range Var"
  and fv_t: "fv t ⊆ X"
  shows "t = t · δ os subst_var_inv δ X"
proof -
  have "δ x · subst_var_inv δ X = Var x" when x: "x ∈ X" for x
  proof -
    obtain y where y: "δ x = Var y" using x δ_var_on_X fv_t by auto
    hence "Var y ∈ δ ` X" using x by simp
    thus ?thesis using y inv_into_f_eq[OF δ_inj_on_X x y] unfolding subst_var_inv_def by simp
  qed
  thus ?thesis using fv_t by (simp add: subst_compose_def trm_subst_ident'' subset_eq)
qed

```

```

lemma inj_var_ran_subst_is_invertible':
  assumes δ_inj_on_t: "inj_on δ (fv t)"
  and δ_var_on_t: "δ ` fv t ⊆ range Var"
  shows "t = t · δ os subst_var_inv δ (fv t)"
using assms inj_var_ran_subst_is_invertible by fast

```

Sufficient conditions for matching unifiable terms

```

lemma inj_var_ran_unifiable_has_subst_match:
  assumes "t · δ = s · δ" "inj_on δ (fv t)" "δ ` fv t ⊆ range Var"
  shows "t = s · δ os subst_var_inv δ (fv t)"
using assms inj_var_ran_subst_is_invertible by fastforce

```

end

## 2.4 Dolev-Yao Intruder Model

theory *Intruder\_Deduction*

```
imports Messages More_Unification
begin
```

### 2.4.1 Syntax for the Intruder Deduction Relations

```
consts INTRUDER_SYNTH::"('f,'v) terms ⇒ ('f,'v) term ⇒ bool" (infix <|=c> 50)
consts INTRUDER_DEDUCT::"('f,'v) terms ⇒ ('f,'v) term ⇒ bool" (infix <|=> 50)
```

### 2.4.2 Intruder Model Locale

The intruder model is parameterized over arbitrary function symbols (e.g, cryptographic operators) and variables. It requires three functions: - *arity* that assigns an arity to each function symbol. - *public* that partitions the function symbols into those that will be available to the intruder and those that will not. - *Ana*, the analysis interface, that defines how messages can be decomposed (e.g., decryption).

```
locale intruder_model =
  fixes arity :: "'fun ⇒ nat"
  and public :: "'fun ⇒ bool"
  and Ana :: "('fun,'var) term ⇒ (('fun,'var) term list × ('fun,'var) term list)"
  assumes Ana_keys_fv: "¬t K R. Ana t = (K,R) ⇒ fv_set (set K) ⊆ fv t"
  and Ana_keys_wf: "¬t k K R f T.
    Ana t = (K,R) ⇒ (¬g S. Fun g S ⊆ t ⇒ length S = arity g)
    ⇒ k ∈ set K ⇒ Fun f T ⊆ k ⇒ length T = arity f"
  and Ana_var[simp]: "¬x. Ana (Var x) = ([] , [])"
  and Ana_fun_subterm: "¬f T K R. Ana (Fun f T) = (K,R) ⇒ set R ⊆ set T"
  and Ana_subst: "¬t δ K R. [Ana t = (K,R); K ≠ [] ∨ R ≠ []] ⇒ Ana (t · δ) = (K · list δ, R · list δ)"
begin

lemma Ana_subterm: assumes "Ana t = (K,T)" shows "set T ⊂ subterms t"
using assms
by (cases t)
  (simp add: psubsetI,
  metis Ana_fun_subterm Fun_gt_params UN_I term.order_refl
  params_subterms psubsetI subset_antisym subset_trans)

lemma Ana_subterm': "s ∈ set (snd (Ana t)) ⇒ s ⊆ t"
using Ana_subterm by (cases "Ana t") auto

lemma Ana_vars: assumes "Ana t = (K,M)" shows "fv_set (set K) ⊆ fv t" "fv_set (set M) ⊆ fv t"
by (rule Ana_keys_fv[OF assms]) (use Ana_subterm[OF assms] subtermeq_vars_subset in auto)

abbreviation V where "V ≡ UNIV::'var set"
abbreviation Σn (<Σ->) where "Σn ≡ {f::'fun. arity f = n}"
abbreviation Σnpub (<Σpub->) where "Σpubn ≡ {f. public f} ∩ Σn"
abbreviation Σnpriv (<Σpriv->) where "Σprivn ≡ {f. ¬public f} ∩ Σn"
abbreviation Σpub where "Σpub ≡ (∪n. Σpubn)"
abbreviation Σpriv where "Σpriv ≡ (∪n. Σprivn)"
abbreviation Σ where "Σ ≡ (∪n. Σn)"
abbreviation C where "C ≡ Σ0"
abbreviation Cpub where "Cpub ≡ {f. public f} ∩ C"
abbreviation Cpriv where "Cpriv ≡ {f. ¬public f} ∩ C"
abbreviation Σf where "Σf ≡ Σ - C"
abbreviation Σfpub where "Σfpub ≡ Σf ∩ Σpub"
abbreviation Σfpriv where "Σfpriv ≡ Σf ∩ Σpriv"

lemma disjoint_fun_syms: "Σf ∩ C = {}" by auto
lemma id_union_univ: "Σf ∪ C = UNIV" "Σ = UNIV" by auto
lemma const_arity_eq_zero[dest]: "c ∈ C ⇒ arity c = 0" by simp
lemma const_pub_arity_eq_zero[dest]: "c ∈ Cpub ⇒ arity c = 0 ∧ public c" by simp
lemma const_priv_arity_eq_zero[dest]: "c ∈ Cpriv ⇒ arity c = 0 ∧ ¬public c" by simp
lemma fun_arity_gt_zero[dest]: "f ∈ Σf ⇒ arity f > 0" by fastforce
lemma pub_fun_public[dest]: "f ∈ Σfpub ⇒ public f" by fastforce
lemma pub_fun_arity_gt_zero[dest]: "f ∈ Σfpub ⇒ arity f > 0" by fastforce
```

```

lemma  $\Sigma_f\_unfold$ : " $\Sigma_f = \{f::'fun. arity f > 0\}$ " by auto
lemma  $\mathcal{C}\_unfold$ : " $\mathcal{C} = \{f::'fun. arity f = 0\}$ " by auto
lemma  $\mathcal{C}_{pub}\_unfold$ : " $\mathcal{C}_{pub} = \{f::'fun. arity f = 0 \wedge public f\}$ " by auto
lemma  $\mathcal{C}_{priv}\_unfold$ : " $\mathcal{C}_{priv} = \{f::'fun. arity f = 0 \wedge \neg public f\}$ " by auto
lemma  $\Sigma_{n_{pub}}\_unfold$ : " $(\Sigma_{pub}^n) = \{f::'fun. arity f = n \wedge public f\}$ " by auto
lemma  $\Sigma_{n_{priv}}\_unfold$ : " $(\Sigma_{priv}^n) = \{f::'fun. arity f = n \wedge \neg public f\}$ " by auto
lemma  $\Sigma_{f_{pub}}\_unfold$ : " $\Sigma_{f_{pub}} = \{f::'fun. arity f > 0 \wedge public f\}$ " by auto
lemma  $\Sigma_{f_{priv}}\_unfold$ : " $\Sigma_{f_{priv}} = \{f::'fun. arity f > 0 \wedge \neg public f\}$ " by auto
lemma  $\Sigma_{n\_eq}$ : " $\llbracket (\Sigma^n) \neq \{\}; (\Sigma^n) = (\Sigma^m) \rrbracket \implies n = m$ " by auto

```

### 2.4.3 Term Well-formedness

```
definition "wftrm t ≡ ∀ f T. Fun f T ⊑ t → length T = arity f"
```

```
abbreviation "wftrms T ≡ ∀ t ∈ T. wftrm t"
```

```
lemma Ana_keys_wf': "Ana t = (K, T) ⇒ wftrm t ⇒ k ∈ set K ⇒ wftrm k"
using Ana_keys_wf unfolding wftrm_def by metis
```

```
lemma wf_trm_Var[simp]: "wftrm (Var x)" unfolding wftrm_def by simp
```

```
lemma wf_trm_subst_range_Var[simp]: "wftrms (subst_range Var)" by simp
```

```
lemma wf_trm_subst_range_iff: "(∀ x. wftrm (ϑ x)) ↔ wftrms (subst_range ϑ)"
by force
```

```
lemma wf_trm_subst_rangeD: "wftrms (subst_range ϑ) ⇒ wftrm (ϑ x)"
by (metis wf_trm_subst_range_iff)
```

```
lemma wf_trm_subst_rangeI[intro]:
  "(∀ x. wftrm (δ x)) ⇒ wftrms (subst_range δ)"
by (metis wf_trm_subst_range_iff)
```

```
lemma wf_trmI[intro]:
  assumes "¬ ∃ t. t ∈ set T ⇒ wftrm t" "length T = arity f"
  shows "wftrm (Fun f T)"
using assms unfolding wftrm_def by auto
```

```
lemma wf_trm_subterm: " $\llbracket wf_{trm} t; s \sqsubseteq t \rrbracket \implies wf_{trm} s$ "
unfolding wftrm_def by (induct t) auto
```

```
lemma wf_trm_subtermeq:
  assumes "wftrm t" "s ⊑ t"
  shows "wftrm s"
proof (cases "s = t")
  case False thus "wftrm s" using assms(2) wf_trm_subterm[OF assms(1)] by simp
qed (metis assms(1))
```

```
lemma wf_trm_param:
  assumes "wftrm (Fun f T)" "t ∈ set T"
  shows "wftrm t"
by (meson assms subtermeqI' wf_trm_subtermeq)
```

```
lemma wf_trm_param_idx:
  assumes "wftrm (Fun f T)"
    and "i < length T"
  shows "wftrm (T ! i)"
using wf_trm_param[OF assms(1), of "T ! i"] assms(2)
by fastforce
```

```
lemma wf_trm_subst:
  assumes "wftrms (subst_range δ)"
```

```

shows "wftrm t = wftrm (t · δ)"
proof
  show "wftrm t ⇒ wftrm (t · δ)"
  proof (induction t)
    case (Fun f T)
    hence "λt. t ∈ set T ⇒ wftrm t"
      by (meson wftrm_def Fun_param_is_subterm term.order_trans)
    hence "λt. t ∈ set T ⇒ wftrm (t · δ)" using Fun.IH by auto
    moreover have "length (map (λt. t · δ) T) = arity f"
      using Fun.preds unfolding wftrm_def by auto
    ultimately show ?case by fastforce
  qed (simp add: wftrm_subst_rangeD[OF assms])
  show "wftrm (t · δ) ⇒ wftrm t"
  proof (induction t)
    case (Fun f T)
    hence "wftrm t" when "t ∈ set (map (λs. s · δ) T)" for t
      by (metis that wftrm_def Fun_param_is_subterm term.order_trans eval_term.simps(2))
    hence "wftrm t" when "t ∈ set T" for t using that Fun.IH by auto
    moreover have "length (map (λt. t · δ) T) = arity f"
      using Fun.preds unfolding wftrm_def by auto
    ultimately show ?case by fastforce
  qed (simp add: assms)
qed

lemma wftrm_subst_singleton:
  assumes "wftrm t" "wftrm t'" shows "wftrm (t · Var(v := t'))"
proof -
  have "wftrm ((Var(v := t')) w)" for w using assms(2) unfolding wftrm_def by simp
  thus ?thesis using assms(1) wftrm_subst[of "Var(v := t')" t, OF wftrm_subst_rangeI] by simp
qed

lemma wftrm_subst_rm_vars:
  assumes "wftrm (t · δ)"
  shows "wftrm (t · rm_vars X δ)"
using assms
proof (induction t)
  case (Fun f T)
  have "wftrm (t · δ)" when "t ∈ set T" for t
    using that wftrm_param[of f "map (λt. t · δ) T"] Fun.preds
    by auto
  hence "wftrm (t · rm_vars X δ)" when "t ∈ set T" for t using that Fun.IH by simp
  moreover have "length T = arity f" using Fun.preds unfolding wftrm_def by auto
  ultimately show ?case unfolding wftrm_def by auto
qed simp

lemma wftrm_subst_rm_vars': "wftrm (δ v) ⇒ wftrm (rm_vars X δ v)"
by auto

lemma wftrms_subst:
  assumes "wftrms (subst_range δ)" "wftrms M"
  shows "wftrms (M ·set δ)"
by (metis (no_types, lifting) assms imageE wftrm_subst)

lemma wftrms_subst_rm_vars:
  assumes "wftrms (M ·set δ)"
  shows "wftrms (M ·set rm_vars X δ)"
using assms wftrm_subst_rm_vars by blast

lemma wftrms_subst_rm_vars':
  assumes "wftrms (subst_range δ)"
  shows "wftrms (subst_range (rm_vars X δ))"
using assms by force

```

```

lemma wf_trms_subst_compose:
  assumes "wf_trms (subst_range δ)" "wf_trms (subst_range θ)"
  shows "wf_trms (subst_range (θ ∘s δ))"
using assms subst_img_comp_subset' wf_trm_subst by blast

lemma wf_trm_subst_compose:
  fixes δ :: "('fun, 'v) subst"
  assumes "wf_trm (θ x)" "¬wf_trm (δ x)"
  shows "wf_trm ((θ ∘s δ) x)"
using wf_trm_subst[of δ "θ x", OF wf_trm_subst_rangeI[OF assms(2)]] assms(1)
      subst_subst_compose[of "Var x" θ δ]
by auto

lemma wf_trms_Var_range:
  assumes "subst_range δ ⊆ range Var"
  shows "wf_trms (subst_range δ)"
using assms by fastforce

lemma wf_trms_subst_compose_Var_range:
  assumes "wf_trms (subst_range θ)"
    and "subst_range δ ⊆ range Var"
  shows "wf_trms (subst_range (δ ∘s θ))"
    and "wf_trms (subst_range (θ ∘s δ))"
using assms wf_trms_subst_compose wf_trms_Var_range by metis+

lemma wf_trm_subst_inv: "wf_trm (t ∙ δ) ⟹ wf_trm t"
unfolding wf_trm_def by (induct t) auto

lemma wf_trms_subst_inv: "wf_trms (M ∙ set δ) ⟹ wf_trms M"
using wf_trm_subst_inv by fast

lemma wf_trm_subterms: "wf_trm t ⟹ wf_trms (subterms t)"
using wf_trm_subterm by blast

lemma wf_trms_subterms: "wf_trms M ⟹ wf_trms (subterms_set M)"
using wf_trm_subterms by blast

lemma wf_trm_arity: "wf_trm (Fun f T) ⟹ length T = arity f"
unfolding wf_trm_def by blast

lemma wf_trm_subterm_arity: "wf_trm t ⟹ Fun f T ⊑ t ⟹ length T = arity f"
unfolding wf_trm_def by blast

lemma unify_list_wf_trm:
  assumes "Unification.unify E B = Some U" "¬(s, t) ∈ set E. wf_trm s ∧ wf_trm t"
    and "¬(v, t) ∈ set B. wf_trm t"
  shows "¬(v, t) ∈ set U. wf_trm t"
using assms
proof (induction E B arbitrary: U rule: Unification.unify.induct)
  case (1 B U) thus ?case by auto
next
  case (2 f T g S E B U)
  have wf_fun: "wf_trm (Fun f T)" "wf_trm (Fun g S)" using "2.prems"(2) by auto
  from "2.prems"(1) obtain E' where *: "decompose (Fun f T) (Fun g S) = Some E'"
    and [simp]: "f = g" "length T = length S" "E' = zip T S"
    and **: "Unification.unify (E' @ E) B = Some U"
    by (auto split: option.splits)
  hence "t ⊑ Fun f T" "t' ⊑ Fun g S" when "(t, t') ∈ set E'" for t t'
    using that by (metis zip_arg_subterm(1), metis zip_arg_subterm(2))
  hence "wf_trm t" "wf_trm t'" when "(t, t') ∈ set E'" for t t'
    using wf_trm_subterm wf_fun ‹f = g› that by blast+
  thus ?case using "2.IH" [OF ** _ "2.prems"(3)] "2.prems"(2) by fastforce

```

```

next
  case (3 v t E B)
  hence *: " $\forall (w,x) \in \text{set } ((v, t) \# B). \text{wf}_{\text{trm}} x$ "
    and **: " $\forall (s,t) \in \text{set } E. \text{wf}_{\text{trm}} s \wedge \text{wf}_{\text{trm}} t \wedge \text{wf}_{\text{trm}} t$ "
    by auto

  show ?case
  proof (cases "t = Var v")
    case True thus ?thesis using "3.prems" "3.IH"(1) by auto
  next
    case False
    hence "v \notin \text{fv } t" using "3.prems"(1) by auto
    hence "Unification.unify (\text{subst\_list} (\text{subst } v t) E) ((v, t)\#B) = Some U"
      using <t \neq Var v> "3.prems"(1) by auto
    moreover have " $\forall (s, t) \in \text{set } (\text{subst\_list} (\text{subst } v t) E). \text{wf}_{\text{trm}} s \wedge \text{wf}_{\text{trm}} t$ "
      using wf_trm_subst_singleton[OF _ <wf_trm t>] "3.prems"(2)
      unfolding subst_list_def subst_def by auto
    ultimately show ?thesis using "3.IH"(2)[OF <t \neq Var v> <v \notin \text{fv } t> _ _ *] by metis
  qed
next
  case (4 f T v E B U)
  hence *: " $\forall (w,x) \in \text{set } ((v, \text{Fun } f T) \# B). \text{wf}_{\text{trm}} x$ "
    and **: " $\forall (s,t) \in \text{set } E. \text{wf}_{\text{trm}} s \wedge \text{wf}_{\text{trm}} t \wedge \text{wf}_{\text{trm}} (\text{Fun } f T)$ "
    by auto

  have "v \notin \text{fv } (\text{Fun } f T)" using "4.prems"(1) by force
  hence "Unification.unify (\text{subst\_list} (\text{subst } v (\text{Fun } f T)) E) ((v, \text{Fun } f T)\#B) = Some U"
    using "4.prems"(1) by auto
  moreover have " $\forall (s, t) \in \text{set } (\text{subst\_list} (\text{subst } v (\text{Fun } f T)) E). \text{wf}_{\text{trm}} s \wedge \text{wf}_{\text{trm}} t$ "
    using wf_trm_subst_singleton[OF _ <wf_trm (\text{Fun } f T)>] "4.prems"(2)
    unfolding subst_list_def subst_def by auto
  ultimately show ?case using "4.IH"[OF <v \notin \text{fv } (\text{Fun } f T)> _ _ *] by metis
qed

lemma mgu_wf_trm:
  assumes "mgu s t = Some  $\sigma$ " "wf_{\text{trm}} s" "wf_{\text{trm}} t"
  shows "wf_{\text{trm}} (\sigma v)"
proof -
  from assms obtain  $\sigma'$  where "subst_of  $\sigma' = \sigma$ " " $\forall (v,t) \in \text{set } \sigma'. \text{wf}_{\text{trm}} t$ "
    using unify_list_wf_trm[of "[s,t]" "[]"] by (auto simp: mgu_def split: option.splits)
  thus ?thesis
  proof (induction  $\sigma'$  arbitrary:  $\sigma$  v rule: List.rev_induct)
    case (snoc x  $\sigma'$   $\sigma$  v)
    define  $\vartheta$  where " $\vartheta = \text{subst\_of } \sigma'$ "
    hence "wf_{\text{trm}} (\vartheta v)" for v using snoc.prems(2) snoc.IH[of  $\vartheta$ ] by fastforce
    moreover obtain w t where x: "x = (w,t)" by (metis surj_pair)
    hence  $\sigma: \sigma = \text{Var}(w := t) \circ_s \vartheta$  using snoc.prems(1) by (simp add: subst_def  $\vartheta$ _def)
    moreover have "wf_{\text{trm}} t" using snoc.prems(2) x by auto
    ultimately show ?case using wf_trm_subst[of _ t] unfolding subst_compose_def by auto
  qed (simp add: wf_trm_def)
qed

lemma mgu_wf_trms:
  assumes "mgu s t = Some  $\sigma$ " "wf_{\text{trm}} s" "wf_{\text{trm}} t"
  shows "wf_{\text{trms}} (\text{subst\_range } \sigma)"
using mgu_wf_trm[OF assms] by simp

```

#### 2.4.4 Definitions: Intruder Deduction Relations

A standard Dolev-Yao intruder.

```

inductive intruder_deduct::"('fun, 'var) terms \Rightarrow ('fun, 'var) term \Rightarrow bool"
where

```

```

Axiom[simp]: "t ∈ M ⇒ intruder_deduct M t"
| Compose[simp]: "[length T = arity f; public f; ∀t. t ∈ set T ⇒ intruder_deduct M t]
  ⇒ intruder_deduct M (Fun f T)"
| Decompose: "[intruder_deduct M t; Ana t = (K, T); ∀k. k ∈ set K ⇒ intruder_deduct M k;
  t_i ∈ set T]
  ⇒ intruder_deduct M t_i"

```

A variant of the intruder relation which limits the intruder to composition only.

```

inductive intruder_synth::"('fun,'var) terms ⇒ ('fun,'var) term ⇒ bool"
where
  AxiomC[simp]: "t ∈ M ⇒ intruder_synth M t"
  | ComposeC[simp]: "[length T = arity f; public f; ∀t. t ∈ set T ⇒ intruder_synth M t]
    ⇒ intruder_synth M (Fun f T)"

```

```

adhoc_overloading INTRUDER_DEDUCT ≡ intruder_deduct
adhoc_overloading INTRUDER_SYNTH ≡ intruder_synth

```

```

lemma intruder_deduct_induct[consumes 1, case_names Axiom Compose Decompose]:
  assumes "M ⊢ t" "∀t. t ∈ M ⇒ P M t"
    "∀T f. [length T = arity f; public f;
      ∀t. t ∈ set T ⇒ M ⊢ t;
      ∀t. t ∈ set T ⇒ P M t] ⇒ P M (Fun f T)"
    "∀t K T t_i. [M ⊢ t; P M t; Ana t = (K, T); ∀k. k ∈ set K ⇒ M ⊢ k;
      ∀k. k ∈ set K ⇒ P M k; t_i ∈ set T] ⇒ P M t_i"
  shows "P M t"
using assms by (induct rule: intruder_deduct.induct) blast+

```

```

lemma intruder_synth_induct[consumes 1, case_names AxiomC ComposeC]:
  fixes M::"('fun,'var) terms" and t::"('fun,'var) term"
  assumes "M ⊢_c t" "∀t. t ∈ M ⇒ P M t"
    "∀T f. [length T = arity f; public f;
      ∀t. t ∈ set T ⇒ M ⊢_c t;
      ∀t. t ∈ set T ⇒ P M t] ⇒ P M (Fun f T)"
  shows "P M t"
using assms by (induct rule: intruder_synth.induct) auto

```

## 2.4.5 Definitions: Analyzed Knowledge and Public Ground Well-formed Terms (PGWTs)

```

definition analyzed::"('fun,'var) terms ⇒ bool" where
  "analyzed M ≡ ∀t. M ⊢ t ↔ M ⊢_c t"

definition analyzed_in where
  "analyzed_in t M ≡ ∀K R. (Ana t = (K,R) ∧ (∀k ∈ set K. M ⊢_c k)) → (∀r ∈ set R. M ⊢_c r)"

```

```

definition decomp_closure::"('fun,'var) terms ⇒ ('fun,'var) terms ⇒ bool" where
  "decomp_closure M M' ≡ ∀t. M ⊢ t ∧ (∃t' ∈ M. t ⊑ t') ↔ t ∈ M'"

```

```

inductive public_ground_wf_term::"('fun,'var) term ⇒ bool" where
  PGWT[simp]: "[public f; arity f = length T;
    ∀t. t ∈ set T ⇒ public_ground_wf_term t]
    ⇒ public_ground_wf_term (Fun f T)"

```

```
abbreviation "public_ground_wf_terms ≡ {t. public_ground_wf_term t}"
```

```

lemma public_const_deduct:
  assumes "c ∈ C_{pub}"
  shows "M ⊢ Fun c []" "M ⊢_c Fun c []"
proof -
  have "arity c = 0" "public c" using const_arity_eq_zero <c ∈ C_{pub}> by auto
  thus "M ⊢ Fun c []" "M ⊢_c Fun c []"
    using intruder_synth.ComposeC[OF _ <public c>, of "[]"]
      intruder_deduct.Compose[OF _ <public c>, of "[]"]
  by auto

```

qed

```

lemma public_const_deduct'[simp]:
  assumes "arity c = 0" "public c"
  shows "M ⊢ Fun c []" "M ⊢c Fun c []"
using intruder_deduct.Compose[of "[]" c] intruder_synth.ComposeC[of "[]" c] assms by simp_all

lemma private_fun_deduct_in_ik:
  assumes t: "M ⊢ t" "Fun f T ∈ subterms t"
  and f: "¬public f"
  shows "Fun f T ∈ subtermsset M"
using t
proof (induction t rule: intruder_deduct.induct)
  case Decompose thus ?case by (meson Ana_subterm psubsetD term.order_trans)
qed (auto simp add: f in_subterms_Union)

lemma private_fun_deduct_in_ik':
  assumes t: "M ⊢ Fun f T"
  and f: "¬public f"
  shows "Fun f T ∈ subtermsset M"
by (rule private_fun_deduct_in_ik[OF t term.order_refl f])

lemma pgwt_public: "⟦ public_ground_wf_term t; Fun f T ⊑ t ⟧ ⟹ public f"
by (induct t rule: public_ground_wf_term.induct) auto

lemma pgwt_ground: "public_ground_wf_term t ⟹ fv t = {}"
by (induct t rule: public_ground_wf_term.induct) auto

lemma pgwt_fun: "public_ground_wf_term t ⟹ ∃ f T. t = Fun f T"
using pgwt_ground[of t] by (cases t) auto

lemma pgwt_arity: "⟦ public_ground_wf_term t; Fun f T ⊑ t ⟧ ⟹ arity f = length T"
by (induct t rule: public_ground_wf_term.induct) auto

lemma pgwt_wellformed: "public_ground_wf_term t ⟹ wfterm t"
by (induct t rule: public_ground_wf_term.induct) auto

lemma pgwt_deducible: "public_ground_wf_term t ⟹ M ⊢c t"
by (induct t rule: public_ground_wf_term.induct) auto

lemma pgwt_is_empty_synth: "public_ground_wf_term t ⟷ {} ⊢c t"
proof -
  { fix M::"('fun,'var) term set" assume "M ⊢c t" "M = {}" hence "public_ground_wf_term t"
    by (induct t rule: intruder_synth.induct) auto
  }
  thus ?thesis using pgwt_deducible by auto
qed

lemma ideduct_synth_subst_apply:
  fixes M::"('fun,'var) terms" and t::"('fun,'var) term"
  assumes "{} ⊢c t" "¬∃ v. M ⊢c v"
  shows "M ⊢c t · v"
proof -
  { fix M'::"('fun,'var) term set" assume "M' ⊢c t" "M' = {}" hence "M ⊢c t · v"
    proof (induction t rule: intruder_synth.induct)
      case (ComposeC T f M')
        hence "length (map (λt. t · v) T) = arity f" "¬∃ x. x ∈ set (map (λt. t · v) T) ⟹ M ⊢c x"
        by auto
      thus ?case using intruder_synth.ComposeC[of "map (λt. t · v) T" f M] <public f> by fastforce
    qed simp
  }
  thus ?thesis using assms by metis
qed

```

## 2.4.6 Lemmata: Monotonicity, Deduction of Private Constants, etc.

```

context
begin

lemma ideduct_mono:
  " $\llbracket M \vdash t; M \subseteq M' \rrbracket \implies M' \vdash t$ "
proof (induction rule: intruder_deduct.induct)
  case (Decompose M t K T t_i)
    have " $\forall k. k \in \text{set } K \longrightarrow M' \vdash k$ " using Decompose.IH  $\langle M \subseteq M' \rangle$  by simp
    moreover have " $M' \vdash t$ " using Decompose.IH  $\langle M \subseteq M' \rangle$  by simp
    ultimately show ?case using Decompose.hyps intruder_deduct.Decompose by blast
qed auto

lemma ideduct_synth_mono:
  fixes M::"('fun, 'var) terms" and t::"('fun, 'var) term"
  shows " $\llbracket M \vdash_c t; M \subseteq M' \rrbracket \implies M' \vdash_c t$ "
by (induct rule: intruder_synth.induct) auto

context
begin

— Used by inductive_set

private lemma ideduct_mono_set[mono_set]:
  " $M \subseteq N \implies M \vdash t \longrightarrow N \vdash t$ "
  " $M \subseteq N \implies M \vdash_c t \longrightarrow N \vdash_c t$ "
using ideduct_mono ideduct_synth_mono by (blast, blast)

end

lemma ideduct_reduce:
  " $\llbracket M \cup M' \vdash t; \bigwedge t'. t' \in M' \implies M \vdash t' \rrbracket \implies M \vdash t$ "
proof (induction rule: intruder_deduct.induct)
  case Decompose thus ?case using intruder_deduct.Decompose by blast
qed auto

lemma ideduct_synth_reduce:
  fixes M::"('fun, 'var) terms" and t::"('fun, 'var) term"
  shows " $\llbracket M \cup M' \vdash_c t; \bigwedge t'. t' \in M' \implies M \vdash_c t' \rrbracket \implies M \vdash_c t$ "
by (induct rule: intruder_synth.induct) auto

lemma ideduct_mono_eq:
  assumes " $\forall t. M \vdash t \longleftrightarrow M' \vdash t$ " shows " $M \cup N \vdash t \longleftrightarrow M' \cup N \vdash t$ "
proof
  show " $M \cup N \vdash t \implies M' \cup N \vdash t$ "
  proof (induction t rule: intruder_deduct.induct)
    case (Axiom t) thus ?case
      proof (cases "t \in M")
        case True
        hence " $M \vdash t$ " using intruder_deduct.Axiom by metis
        thus ?thesis using assms ideduct_mono[of M' t "M' \cup N"] by simp
      qed auto
  next
    case (Compose T f) thus ?case using intruder_deduct.Compose by auto
  next
    case (Decompose t K T t_i) thus ?case using intruder_deduct.Decompose[of "M' \cup N" t K T] by auto
  qed

  show " $M' \cup N \vdash t \implies M \cup N \vdash t$ "
  proof (induction t rule: intruder_deduct.induct)
    case (Axiom t) thus ?case
      proof (cases "t \in M'")
        case True
        hence " $M' \vdash t$ " using intruder_deduct.Axiom by metis
      qed auto
  qed

```

```

thus ?thesis using assms ideduct_mono[of M t "M ∪ N"] by simp
qed auto
next
case (Compose T f) thus ?case using intruder_deduct.Compose by auto
next
case (Decompose t K T ti) thus ?case using intruder_deduct.Decompose[of "M ∪ N" t K T] by auto
qed
qed

lemma deduct_synth_subterm:
fixes M:: "('fun, 'var) terms" and t:: "('fun, 'var) term"
assumes "M ⊢c t" "s ∈ subterms t" "∀m ∈ M. ∀s ∈ subterms m. M ⊢c s"
shows "M ⊢c s"
using assms by (induct t rule: intruder_synth.induct) auto

lemma deduct_if_synth[intro, dest]: "M ⊢c t ⟹ M ⊢ t"
by (induct rule: intruder_synth.induct) auto

private lemma ideduct_ik_eq: assumes "∀t ∈ M. M' ⊢ t" shows "M' ⊢ t ⟷ M' ∪ M ⊢ t"
by (meson assms ideduct_mono ideduct_reduce sup_ge1)

private lemma synth_if_deduct_empty: "{} ⊢ t ⟹ {} ⊢c t"
proof (induction t rule: intruder_deduct_induct)
case (Decompose t K M m)
then obtain f T where "t = Fun f T" "m ∈ set T"
using Ana_fun_subterm Ana_var by (cases t) fastforce+
with Decompose.IH(1) show ?case by (induction rule: intruder_synth_induct) auto
qed auto

private lemma ideduct_deduct_synth_mono_eq:
assumes "∀t. M ⊢ t ⟷ M' ⊢c t" "M ⊆ M'"
and "∀t. M' ∪ N ⊢ t ⟷ M' ∪ N ∪ D ⊢c t"
shows "M ∪ N ⊢ t ⟷ M' ∪ N ∪ D ⊢c t"
proof -
have "∀m ∈ M'. M ⊢ m" using assms(1) by auto
hence "∀t. M ⊢ t ⟷ M' ⊢ t" by (metis assms(1,2) deduct_if_synth ideduct_reduce sup.absorb2)
hence "∀t. M' ∪ N ⊢ t ⟷ M ∪ N ⊢ t" by (meson ideduct_mono_eq)
thus ?thesis by (meson assms(3))
qed

lemma ideduct_subst: "M ⊢ t ⟹ M ·set δ ⊢ t · δ"
proof (induction t rule: intruder_deduct_induct)
case (Compose T f)
hence "length (map (λt. t · δ) T) = arity f" "¬t. t ∈ set T ⟹ M ·set δ ⊢ t · δ" by auto
thus ?case using intruder_deduct.Compose[OF _ Compose.hyps(2), of "map (λt. t · δ) T"] by auto
next
case (Decompose t K M' m')
hence "Ana (t · δ) = (K ·list δ, M' ·list δ)"
"¬k. k ∈ set (K ·list δ) ⟹ M ·set δ ⊢ k"
"m' · δ ∈ set (M' ·list δ)"
using Ana_subst[OF Decompose.hyps(2)] by fastforce+
thus ?case using intruder_deduct.Decompose[OF Decompose.IH(1)] by metis
qed simp

lemma ideduct_synth_subst:
fixes M:: "('fun, 'var) terms" and t:: "('fun, 'var) term" and δ:: "('fun, 'var) subst"
shows "M ⊢c t ⟹ M ·set δ ⊢c t · δ"
proof (induction t rule: intruder_synth_induct)
case (ComposeC T f)
hence "length (map (λt. t · δ) T) = arity f" "¬t. t ∈ set T ⟹ M ·set δ ⊢c t · δ" by auto
thus ?case using intruder_synth.ComposeC[OF _ ComposeC.hyps(2), of "map (λt. t · δ) T"] by auto
qed simp

```

```

lemma ideduct_vars:
  assumes "M ⊢ t"
  shows "fv t ⊆ fvset M"
using assms
proof (induction t rule: intruder_deduct.induct)
  case (Decompose t K T ti) thus ?case
    using Ana_vars(2) fv_subset by blast
qed auto

lemma ideduct_synth_vars:
  fixes M::("fun", "var) terms and t::("fun", "var) term"
  assumes "M ⊢c t"
  shows "fv t ⊆ fvset M"
using assms by (induct t rule: intruder_synth.induct) auto

lemma ideduct_synth_priv_fun_in_ik:
  fixes M::("fun", "var) terms and t::("fun", "var) term"
  assumes "M ⊢c t" "f ∈ funs_term t" "¬public f"
  shows "f ∈ ∪(funс_term ` M)"
using assms by (induct t rule: intruder_synth.induct) auto

lemma ideduct_synth_priv_const_in_ik:
  fixes M::("fun", "var) terms and t::("fun", "var) term"
  assumes "M ⊢c Fun c []" "¬public c"
  shows "Fun c [] ∈ M"
using intruder_synth.cases[OF assms(1)] assms(2) by fast

lemma ideduct_synth_ik_replace:
  fixes M::("fun", "var) terms and t::("fun", "var) term"
  assumes "∀t ∈ M. N ⊢c t"
  and "M ⊢c t"
  shows "N ⊢c t"
using assms(2,1) by (induct t rule: intruder_synth.induct) auto
end

```

## 2.4.7 Lemmata: Analyzed Intruder Knowledge Closure

```

lemma deducts_eq_if_analyzed: "analyzed M ==> M ⊢ t <=> M ⊢c t"
unfolding analyzed_def by auto

lemma closure_is_superset: "decomp_closure M M' ==> M ⊆ M'"
unfolding decomp_closure_def by force

lemma deduct_if_closure_deduct: "[M' ⊢ t; decomp_closure M M'] ==> M ⊢ t"
proof (induction t rule: intruder_deduct.induct)
  case (Decompose M' t K T ti)
  thus ?case using intruder_deduct.Decompose[OF _ <Ana t = (K,T)> _ <ti ∈ set T>] by simp
qed (auto simp add: decomp_closure_def)

lemma deduct_if_closure_synth: "[decomp_closure M M'; M' ⊢c t] ==> M ⊢ t"
using deduct_if_closure_deduct by blast

lemma decomp_closure_subterms_composable:
  assumes "decomp_closure M M'"
  and "M' ⊢c t'" "M' ⊢ t" "t ⊆ t'"
  shows "M' ⊢c t"
using <M' ⊢c t'> assms
proof (induction t' rule: intruder_synth.induct)
  case (AxiomC t' M')
  have "M ⊢ t" using <M' ⊢ t> deduct_if_closure_deduct AxiomC.prems(1) by blast
  moreover
  { have "∃s ∈ M. t' ⊆ s" using <t' ∈ M'> AxiomC.prems(1) unfolding decomp_closure_def by blast
    hence "∃s ∈ M. t ⊆ s" using <t ⊆ t'> term.order_trans by auto
  }

```

```

}
ultimately have "t ∈ M'" using AxiomC.prems(1) unfolding decomp_closure_def by blast
thus ?case by simp
next
  case (ComposeC T f M')
  let ?t' = "Fun f T"
  { assume "t = ?t'" have "M' ⊢c t" using <M' ⊢c ?t'> <t = ?t'> by simp }
  moreover
  { assume "t ≠ ?t'"
    have "∃x ∈ set T. t ⊑ x" using <t ⊑ ?t'> <t ≠ ?t'> by simp
    hence "M' ⊢c t" using ComposeC.IH ComposeC.prems(1,3) ComposeC.hyps(3) by blast
  }
  ultimately show ?case using cases_simp[of "t = ?t'" "M' ⊢c t"] by simp
qed

lemma decomp_closure_analyzed:
  assumes "decomp_closure M M'"
  shows "analyzed M'"
proof -
  { fix t assume "M' ⊢ t" have "M' ⊢c t" using <M' ⊢ t> assms
    proof (induction t rule: intruder_deduct.induct)
      case (Decompose M' t K T ti)
      hence "M' ⊢ ti" using Decompose.hyps intruder_deduct.Decompose by blast
      moreover have "ti ⊑ t"
        using Decompose.hyps(4) Ana_subterm[OF Decompose.hyps(2)] by blast
      moreover have "M' ⊢c t" using Decompose.IH(1) Decompose.prems by blast
      ultimately show "M' ⊢c ti" using decomp_closure_subterms_composable Decompose.prems by blast
    qed auto
  }
  moreover have "∀t. M ⊢c t → M ⊢ t" by auto
  ultimately show ?thesis by (auto simp add: decomp_closure_def analyzed_def)
qed

lemma analyzed_if_all_analyzed_in:
  assumes M: "∀t ∈ M. analyzed_in t M"
  shows "analyzed M"
proof (unfold analyzed_def, intro allI iffI)
  fix t
  assume t: "M ⊢ t"
  thus "M ⊢c t"
    proof (induction t rule: intruder_deduct_induct)
      case (Decompose t K T ti)
      { assume "t ∈ M"
        hence ?case
          using M Decompose.IH(2) Decompose.hyps(2,4)
          unfolding analyzed_in_def by fastforce
      }
      moreover {
        fix f S assume "t = Fun f S" "¬s ∈ set S ⇒ M ⊢c s"
        hence ?case using Ana_fun_subterm[OF f S] Decompose.hyps(2,4) by blast
      }
      ultimately show ?case using intruder_synth.cases[OF Decompose.IH(1), of ?case] by blast
    qed simp_all
  qed auto
  qed

lemma analyzed_is_all_analyzed_in:
  "(∀t ∈ M. analyzed_in t M) ↔ analyzed M"
proof
  show "analyzed M ⇒ ∀t ∈ M. analyzed_in t M"
    unfolding analyzed_in_def analyzed_def
    by (auto intro: intruder_deduct.Decompose[OF intruder_deduct.Axiom])
  qed (rule analyzed_if_all_analyzed_in)

lemma ik_has_synth_ik_closure:
  fixes M :: "('fun,'var) terms"

```

```

shows " $\exists M'. (\forall t. M \vdash t \longleftrightarrow M' \vdash_c t) \wedge \text{decomp\_closure } M M' \wedge (\text{finite } M \longrightarrow \text{finite } M')$ "
proof -
let ?M' = "{t. M \vdash t \wedge (\exists t' \in M. t \sqsubseteq t')}"
have M'_closes: "decomp_closure M ?M'" unfolding decomp_closure_def by simp
hence "M \subseteq ?M'" using closure_is_superset by simp
have "\forall t. ?M' \vdash_c t \longrightarrow M \vdash t" using deduct_if_closure_synth[OF M'_closes] by blast
moreover have "\forall t. M \vdash t \longrightarrow ?M' \vdash t" using ideduct_mono[OF _ <M \subseteq ?M'>] by simp
moreover have "analyzed ?M'" using decomp_closure_analyzed[OF M'_closes] .
ultimately have "\forall t. M \vdash t \longleftrightarrow ?M' \vdash_c t" unfolding analyzed_def by blast
moreover have "finite M \longrightarrow finite ?M'" by auto
ultimately show ?thesis using M'_closes by blast
qed

```

```

lemma deducts_eq_if_empty_ik:
"{} \vdash t \longleftrightarrow {} \vdash_c t"
using analyzed_is_all_analyzed_in[of "{}"] deducts_eq_if_analyzed[of "{}" t] by blast

```

## 2.4.8 Intruder Variants: Numbered and Composition-Restricted Intruder Deduction Relations

A variant of the intruder relation which restricts composition to only those terms that satisfy a given predicate Q.

```

inductive intruder_deduct_restricted:::
"('fun, 'var) terms \Rightarrow (('fun, 'var) term \Rightarrow bool) \Rightarrow ('fun, 'var) term \Rightarrow bool"
(<_, _> \vdash_r _ > 50)
where
AxiomR[simp]: "t \in M \Longrightarrow \langle M; Q \rangle \vdash_r t"
| ComposeR[simp]: "[length T = arity f; public f; \wedge t \in set T \Longrightarrow \langle M; Q \rangle \vdash_r t; Q (Fun f T)]"
\Longrightarrow \langle M; Q \rangle \vdash_r Fun f T"
| DecomposeR: "[\langle M; Q \rangle \vdash_r t; Ana t = (K, T); \wedge k. k \in set K \Longrightarrow \langle M; Q \rangle \vdash_r k; t_i \in set T]"
\Longrightarrow \langle M; Q \rangle \vdash_r t_i"

```

A variant of the intruder relation equipped with a number representing the height of the derivation tree (i.e.,  $\langle M; k \rangle \vdash_n t$  iff k is the maximum number of applications of the compose and decompose rules in any path of the derivation tree for  $M \vdash t$ ).

```

inductive intruder_deduct_num:::
"('fun, 'var) terms \Rightarrow nat \Rightarrow ('fun, 'var) term \Rightarrow bool"
(<_, _> \vdash_n _ > 50)
where
AxiomN[simp]: "t \in M \Longrightarrow \langle M; 0 \rangle \vdash_n t"
| ComposeN[simp]: "[length T = arity f; public f; \wedge t \in set T \Longrightarrow \langle M; steps t \rangle \vdash_n t]"
\Longrightarrow \langle M; Suc (Max (insert 0 (steps ` set T))) \rangle \vdash_n Fun f T"
| DecomposeN: "[\langle M; n \rangle \vdash_n t; Ana t = (K, T); \wedge k. k \in set K \Longrightarrow \langle M; steps k \rangle \vdash_n k; t_i \in set T]"
\Longrightarrow \langle M; Suc (Max (insert n (steps ` set K))) \rangle \vdash_n t_i"

```

```

lemma intruder_deduct_restricted_induct[consumes 1, case_names AxiomR ComposeR DecomposeR]:
assumes "\langle M; Q \rangle \vdash_r t" "\wedge t. t \in M \Longrightarrow P M Q t"
"\wedge T f. [length T = arity f; public f;
\wedge t. t \in set T \Longrightarrow \langle M; Q \rangle \vdash_r t;
\wedge t. t \in set T \Longrightarrow P M Q t; Q (Fun f T)]
\Longrightarrow P M Q (Fun f T)"
"\wedge t K T t_i. [\langle M; Q \rangle \vdash_r t; P M Q t; Ana t = (K, T); \wedge k. k \in set K \Longrightarrow \langle M; Q \rangle \vdash_r k;
\wedge k. k \in set K \Longrightarrow P M Q k; t_i \in set T] \Longrightarrow P M Q t_i"
shows "P M Q t"
using assms by (induct t rule: intruder_deduct_restricted.induct) blast+

```

```

lemma intruder_deduct_num_induct[consumes 1, case_names AxiomN ComposeN DecomposeN]:
assumes "\langle M; n \rangle \vdash_n t" "\wedge t. t \in M \Longrightarrow P M O t"
"\wedge T f steps.
[length T = arity f; public f;

```

```

 $\begin{aligned}
& \forall t. t \in \text{set } T \implies \langle M; \text{steps } t \rangle \vdash_n t; \\
& \forall t. t \in \text{set } T \implies P M (\text{steps } t) t \\
& \implies P M (\text{Suc} (\text{Max} (\text{insert } 0 (\text{steps} ` \text{set } T)))) (\text{Fun } f T) \\
& \forall t K T t_i \text{ steps } n. \\
& \quad \llbracket \langle M; n \rangle \vdash_n t; P M n t; \text{Ana } t = (K, T); \\
& \quad \forall k. k \in \text{set } K \implies \langle M; \text{steps } k \rangle \vdash_n k; \\
& \quad t_i \in \text{set } T; \forall k. k \in \text{set } K \implies P M (\text{steps } k) k \\
& \implies P M (\text{Suc} (\text{Max} (\text{insert } n (\text{steps} ` \text{set } K)))) t_i \\
\end{aligned}$ 
shows "P M n t"
using assms by (induct rule: intruder_deduct_num.induct) blast+

```

**lemma ideduct\_restricted\_mono:**

$$\llbracket \langle M; P \rangle \vdash_r t; M \subseteq M' \rrbracket \implies \langle M'; P \rangle \vdash_r t$$

**proof (induction rule: intruder\_deduct\_restricted\_induct)**

case (DecomposeR t K T t<sub>i</sub>)

have " $\forall k. k \in \text{set } K \longrightarrow \langle M'; P \rangle \vdash_r k$ " using DecomposeR.IH  $\langle M \subseteq M' \rangle$  by simp

moreover have " $\langle M'; P \rangle \vdash_r t$ " using DecomposeR.IH  $\langle M \subseteq M' \rangle$  by simp

ultimately show ?case

using DecomposeR

intruder\_deduct\_restricted.DecomposeR[OF \_ DecomposeR.hyps(2) \_ DecomposeR.hyps(4)]

by blast

qed auto

#### 2.4.9 Lemmata: Intruder Deduction Equivalences

**lemma deduct\_if\_restricted\_deduct:** " $\langle M; P \rangle \vdash_r m \implies M \vdash m$ "

**proof (induction m rule: intruder\_deduct\_restricted\_induct)**

case (DecomposeR t K T t<sub>i</sub>) thus ?case using intruder\_deduct.Decompose by blast

qed simp\_all

**lemma restricted\_deduct\_if\_restricted\_ik:**

assumes " $\langle M; P \rangle \vdash_r m$ " " $\forall m \in M. P m$ "

and P: " $\forall t t'. P t \longrightarrow t' \sqsubseteq t \longrightarrow P t'$ "

shows "P m"

using assms(1)

**proof (induction m rule: intruder\_deduct\_restricted\_induct)**

case (DecomposeR t K T t<sub>i</sub>)

obtain f S where "t = Fun f S" using Ana\_var <t<sub>i</sub> ∈ set T> <Ana t = (K, T)> by (cases t) auto

thus ?case using DecomposeR assms(2) P Ana\_subterm by blast

qed (simp\_all add: assms(2))

**lemma deduct\_restricted\_if\_synth:**

assumes P: "P m" " $\forall t t'. P t \longrightarrow t' \sqsubseteq t \longrightarrow P t'$ "

and m: "M ⊢c m"

shows " $\langle M; P \rangle \vdash_r m$ "

using m P(1)

**proof (induction m rule: intruder\_synth\_induct)**

case (ComposeC T f)

hence " $\langle M; P \rangle \vdash_r t$ " when t: "t ∈ set T" for t

using t P(2) subtermeqI'[of \_ T f]

by fastforce

thus ?case

using intruder\_deduct\_restricted.ComposeR[OF ComposeC.hyps(1,2)] ComposeC.prems(1)

by metis

qed simp

**lemma deduct\_zero\_in\_ik:**

assumes " $\langle M; 0 \rangle \vdash_n t$ " shows "t ∈ M"

**proof -**

{ fix k assume " $\langle M; k \rangle \vdash_n t$ " hence "k > 0 ∨ t ∈ M" by (induct t) auto

} thus ?thesis using assms by auto

qed

```

lemma deduct_if_deduct_num: " $\langle M; k \rangle \vdash_n t \implies M \vdash t$ "
by (induct t rule: intruder_deduct_num.induct)
  (metis intruder_deduct.Axiom,
   metis intruder_deduct.Compose,
   metis intruder_deduct.Decompose)

lemma deduct_num_if_deduct: "M \vdash t \implies \exists k. \langle M; k \rangle \vdash_n t"
proof (induction t rule: intruder_deduct.induct)
  case (Compose T f)
  then obtain steps where *: "\forall t \in set T. \langle M; steps t \rangle \vdash_n t" by atomize_elim metis
  then obtain n where "\forall t \in set T. steps t \leq n"
    using finite_nat_set_iff_bounded_le[of "steps ` set T"]
    by auto
  thus ?case using ComposeN[OF Compose.hyps(1,2), of M steps] * by force
next
  case (Decompose t K T t_i)
  hence "\bigwedge u. u \in insert t (set K) \implies \exists k. \langle M; k \rangle \vdash_n u" by auto
  then obtain steps where *: "\langle M; steps t \rangle \vdash_n t" "\forall t \in set K. \langle M; steps t \rangle \vdash_n t" by (metis insert_iff)
  then obtain n where "steps t \leq n" "\forall t \in set K. steps t \leq n"
    using finite_nat_set_iff_bounded_le[of "steps ` insert t (set K)"]
    by auto
  thus ?case using DecomposeN[OF _ Decompose.hyps(2) _ Decompose.hyps(4), of M _ steps] * by force
qed (metis AxiomN)

lemma deduct_normalize:
assumes M: "\forall m \in M. \forall f T. Fun f T \sqsubseteq m \longrightarrow P f T"
and t: "\langle M; k \rangle \vdash_n t" "Fun f T \sqsubseteq t" "\neg P f T"
shows "\exists l \leq k. (\langle M; l \rangle \vdash_n Fun f T) \wedge (\forall t \in set T. \exists j < l. \langle M; j \rangle \vdash_n t)"
using t
proof (induction t rule: intruder_deduct_num.induct)
  case (AxiomN t) thus ?case using M by auto
next
  case (ComposeN T' f' steps) thus ?case
  proof (cases "Fun f' T' = Fun f T")
    case True
    hence "\langle M; Suc (Max (insert 0 (steps ` set T'))) \rangle \vdash_n Fun f T" "T = T'"
      using intruder_deduct_num.ComposeN[OF ComposeN.hyps] by auto
    moreover have "\bigwedge t. t \in set T \implies \langle M; steps t \rangle \vdash_n t"
      using True ComposeN.hyps(3) by auto
    moreover have "\bigwedge t. t \in set T \implies steps t < Suc (Max (insert 0 (steps ` set T)))"
      using Max_less_iff[of "insert 0 (steps ` set T)" "Suc (Max (insert 0 (steps ` set T)))"] by auto
    ultimately show ?thesis by auto
  next
    case False
    then obtain t' where t': "t' \in set T'" "Fun f T \sqsubseteq t'" using ComposeN by auto
    hence "\exists l \leq steps t'. (\langle M; l \rangle \vdash_n Fun f T) \wedge (\forall t \in set T. \exists j < l. \langle M; j \rangle \vdash_n t)"
      using ComposeN.IH[OF _ _ ComposeN.preds(2)] by auto
    moreover have "steps t' < Suc (Max (insert 0 (steps ` set T')))"
      using Max_less_iff[of "insert 0 (steps ` set T')" "Suc (Max (insert 0 (steps ` set T')))] by auto
    ultimately show ?thesis using ComposeN.hyps(3)[OF t'(1)]
      by (meson Suc_le_eq le_Suc_eq le_trans)
  qed
  qed
next
  case (DecomposeN t K T' t_i steps n)
  hence *: "Fun f T \sqsubseteq t"
    using term.order_trans[of "Fun f T" t_i t] Ana_subterm[of t K T']
    by blast
  have "\exists l \leq n. (\langle M; l \rangle \vdash_n Fun f T) \wedge (\forall t' \in set T. \exists j < l. \langle M; j \rangle \vdash_n t')"
    using DecomposeN.IH(1)[OF * DecomposeN.preds(2)] by auto
  moreover have "n < Suc (Max (insert n (steps ` set K)))"

```

```

using Max_less_iff[of "insert n (steps ` set K)" "Suc (Max (insert n (steps ` set K)))"]
by auto
ultimately show ?case using DecomposeN.hyps(4) by (meson Suc_le_eq le_Suc_eq le_trans)
qed

lemma deduct_inv:
assumes "(M; n) ⊢ₙ t"
shows "t ∈ M ∨
      (∃f T. t = Fun f T ∧ public f ∧ length T = arity f ∧ (∀t ∈ set T. ∃l < n. (M; l) ⊢ₙ t)) ∨
      (∃m ∈ subtermsset M.
       (∃l < n. (M; l) ⊢ₙ m) ∧ (∀k ∈ set (fst (Ana m)). ∃l < n. (M; l) ⊢ₙ k) ∧
       t ∈ set (snd (Ana m)))"
(is "?P t n ∨ ?Q t n ∨ ?R t n")
using assms
proof (induction n arbitrary: t rule: nat_less_induct)
  case (1 n t) thus ?case
    proof (cases n)
      case 0
      hence "t ∈ M" using deduct_zero_in_ik "1.prems"(1) by metis
      thus ?thesis by auto
    next
      case (Suc n')
      hence "(M; Suc n') ⊢ₙ t"
      "∀m < Suc n'. ∀x. ((M; m) ⊢ₙ x) —?P x m ∨ ?Q x m ∨ ?R x m"
      using "1.prems" "1.IH" by blast+
      hence "?P t (Suc n') ∨ ?Q t (Suc n') ∨ ?R t (Suc n')"
      proof (induction t rule: intruder_deduct_num_induct)
        case (AxiomN t) thus ?case by simp
      next
        case (ComposeN T f steps)
        have "¬t ∈ set T ==> steps t < Suc (Max (insert 0 (steps ` set T)))"
        using Max_less_iff[of "insert 0 (steps ` set T)" "Suc (Max (insert 0 (steps ` set T)))"]
        by auto
        thus ?case using ComposeN.hyps by metis
      next
        case (DecomposeN t K T t; steps n)
        have 0: "n < Suc (Max (insert n (steps ` set K)))"
        "¬k. k ∈ set K ==> steps k < Suc (Max (insert n (steps ` set K)))"
        using Max_less_iff[of "insert n (steps ` set K)" "Suc (Max (insert n (steps ` set K)))"]
        by auto
        have IH1: "?P t j ∨ ?Q t j ∨ ?R t j" when jt: "j < n" "(M; j) ⊢ₙ t" for j t
        using jt DecomposeN.prems(1) 0(1)
        by simp
        have IH2: "?P t n ∨ ?Q t n ∨ ?R t n"
        using DecomposeN.IH(1) IH1
        by simp
        have 1: "¬k ∈ set (fst (Ana t)). ∃l < Suc (Max (insert n (steps ` set K))). (M; l) ⊢ₙ k"
        using DecomposeN.hyps(1,2,3) 0(2)
        by auto
        have 2: "t_i ∈ set (snd (Ana t))"
        using DecomposeN.hyps(2,4)
        by fastforce
        have 3: "t ∈ subtermsset M" when "t ∈ set (snd (Ana m))" "m ⊑set M" for m
        using that(1) Ana_subterm[of m _ "snd (Ana m)"] in_subterms_subset_Union[OF that(2)]
        by (metis (no_types, lifting) prod.collapse psubsetD subsetCE subsetD)
        have 4: "?R t_i (Suc (Max (insert n (steps ` set K))))" when "?R t n"
        using that 0(1) 1 2 3 DecomposeN.hyps(1)

```

```

by (metis (no_types, lifting))

have 5: "?R ti (Suc (Max (insert n (steps ` set K))))" when "?P t n"
  using that O(1) 1 2 DecomposeN.hyps(1)
  by blast

have 6: ?case when *: "?Q t n"
proof -
  obtain g S where g:
    "t = Fun g S" "public g" "length S = arity g" " $\forall t \in \text{set } S. \exists l < n. \langle M; l \rangle \vdash_n t$ "
    using * by atomize_elim auto
  then obtain l where l: "l < n" " $\langle M; l \rangle \vdash_n t_i$ "
    using O(1) DecomposeN.hyps(2,4) Ana_fun_subterm[of g S K T] by blast

  have **: "l < Suc (Max (insert n (steps ` set K)))" using l(1) O(1) by simp
  show ?thesis using IH1[OF l] less_trans[OF _ **] by fastforce
qed

show ?case using IH2 4 5 6 by argo
qed
thus ?thesis using Suc by fast
qed
qed

lemma deduct_inv':
assumes "M ⊢ Fun f ts"
shows "Fun f ts ⊑_set M ∨ (∀ t ∈ set ts. M ⊢ t)"
proof -
  obtain k where k: "intruder_deduct_num M k (Fun f ts)"
    using deduct_num_if_deduct[OF assms] by fast

  have "Fun f ts ⊑_set M ∨ (∀ t ∈ set ts. ∃ l. intruder_deduct_num M l t)"
    using deduct_inv[OF k] Ana_subterm'[of "Fun f ts"] in_subterms_subset_Union by blast
  thus ?thesis using deduct_if_deduct_num by blast
qed

lemma restricted_deduct_if_deduct:
assumes M: " $\forall m \in M. \forall f T. \text{Fun } f T \sqsubseteq_m \rightarrow P (\text{Fun } f T)"$ 
and P_subterm: " $\forall f T t. M \vdash \text{Fun } f T \rightarrow P (\text{Fun } f T) \rightarrow t \in \text{set } T \rightarrow P t$ "
and PAna_key: " $\forall t K T k. M \vdash t \rightarrow P t \rightarrow \text{Ana } t = (K, T) \rightarrow M \vdash k \rightarrow k \in \text{set } K \rightarrow P k$ "
and m: "M ⊢ m" "P m"
shows " $\langle M; P \rangle \vdash_r m$ "
proof -
  { fix k assume " $\langle M; k \rangle \vdash_n m$ "
    hence ?thesis using m(2)
    proof (induction k arbitrary: m rule: nat_less_induct)
      case (1 n m) thus ?case
        proof (cases n)
          case 0
          hence "m ∈ M" using deduct_zero_in_ik "1.prems"(1) by metis
          thus ?thesis by auto
        next
          case (Suc n')
          hence " $\langle M; \text{Suc } n' \rangle \vdash_n m$ "
            " $\forall m < \text{Suc } n'. \forall x. \langle M; m \rangle \vdash_n x \rightarrow P x \rightarrow \langle M; P \rangle \vdash_r x$ "
            using "1.prems" "1.IH" by blast+
          thus ?thesis using "1.prems"(2)
          proof (induction m rule: intruder_deduct_num_induct)
            case (ComposeN T f steps)
            have *: "steps t < Suc (Max (insert 0 (steps ` set T)))" when "t ∈ set T" for t
              using Max_less_iff[of "insert 0 (steps ` set T)"] that
              by blast
          qed
        qed
      qed
    qed
  }

```

```

have **: "P t" when "t ∈ set T" for t
  using P_subterm ComposeN.prems(2) that
    Fun_param_is_subterm[OF that]
    intruder_deduct.Compose[OF ComposeN.hyps(1,2)]
    deduct_if_deduct_num[OF ComposeN.hyps(3)]
  by blast

have " $\langle M; P \rangle \vdash_r t$ " when "t ∈ set T" for t
  using ComposeN.prems(1) ComposeN.hyps(3) [OF that] *[OF that] **[OF that]
  by blast
thus ?case
  by (metis intruder_deduct_restricted.ComposeR[OF ComposeN.hyps(1,2)] ComposeN.prems(2))
next
  case (DecomposeN t K T ti steps 1)
  show ?case
  proof (cases "P t")
    case True
    hence " $\bigwedge k. k \in set K \implies P k$ "
      using P_Ana_key DecomposeN.hyps(1,2,3) deduct_if_deduct_num
      by blast
    moreover have
      " $\bigwedge k m x. k \in set K \implies m < steps k \implies \langle M; m \rangle \vdash_n x \implies P x \implies \langle M; P \rangle \vdash_r x$ "
    proof -
      fix k m x assume *: "k ∈ set K" "m < steps k" " $\langle M; m \rangle \vdash_n x$ " "P x"
      have "steps k ∈ insert 1 (steps ` set K)" using *(1) by simp
      hence "m < Suc (Max (insert 1 (steps ` set K)))"
        using less_trans[OF *(2), of "Suc (Max (insert 1 (steps ` set K)))"]
        Max_less_iff[of "insert 1 (steps ` set K)"
          "Suc (Max (insert 1 (steps ` set K)))"]
        by auto
      thus " $\langle M; P \rangle \vdash_r x$ " using DecomposeN.prems(1) *(3,4) by simp
    qed
    ultimately have " $\bigwedge k. k \in set K \implies \langle M; P \rangle \vdash_r k$ "
      using DecomposeN.IH(2) by auto
    moreover have " $\langle M; P \rangle \vdash_r t$ "
      using True DecomposeN.prems(1) DecomposeN.hyps(1) le_imp_less_Suc
      Max_less_iff[of "insert 1 (steps ` set K)" "Suc (Max (insert 1 (steps ` set K)))"]
      by blast
    ultimately show ?thesis
      using intruder_deduct_restricted.DecomposeR[OF _ DecomposeN.hyps(2)
        _ DecomposeN.hyps(4)]
      by metis
  next
    case False
    obtain g S where gS: "t = Fun g S" using DecomposeN.hyps(2,4) by (cases t) auto
    hence *: "Fun g S ⊑ t" "¬P (Fun g S)" using False by force+
    have " $\exists j < 1. \langle M; j \rangle \vdash_n t_i$ "
      using gS DecomposeN.hyps(2,4) Ana_fun_subterm[of g S K T]
      deduct_normalize[of M "λf T. P (Fun f T)", OF M DecomposeN.hyps(1) *]
      by force
    hence " $\exists j < Suc (Max (insert 1 (steps ` set K))). \langle M; j \rangle \vdash_n t_i$ "
      using Max_less_iff[of "insert 1 (steps ` set K)"
        "Suc (Max (insert 1 (steps ` set K)))"]
      less_trans[of _ 1 "Suc (Max (insert 1 (steps ` set K)))"]
      by blast
    thus ?thesis using DecomposeN.prems(1,2) by meson
  qed
  qed auto
qed
qed
} thus ?thesis using deduct_num_if_deduct_m(1) by metis
qed

```

```

lemma restricted_deduct_if_deduct':
assumes "M ⊢ P m"
and "M ⊢ t' t → t' ⊑ t → P t''"
and "M ⊢ K T k. P t → Ana t = (K, T) → k ∈ set K → P k"
and "M ⊢ m" "P m"
shows "(M; P) ⊢_r m"
using restricted_deduct_if_deduct[of M P m] assms
by blast

lemma private_const_deduct:
assumes c: "¬public c" "M ⊢ (Fun c [] :: ('fun, 'var) term)"
shows "Fun c [] ∈ M ∨
      (∃m ∈ subtermsset M. M ⊢ m ∧ (∀k ∈ set (fst (Ana m)). M ⊢ m) ∧
       Fun c [] ∈ set (snd (Ana m)))"
proof -
obtain n where "(M; n) ⊢_n Fun c []"
using c(2) deduct_num_if_deduct by atomize_elim auto
hence "Fun c [] ∈ M ∨
      (∃m ∈ subtermsset M.
       (∃l < n. (M; l) ⊢_n m) ∧
       (∀k ∈ set (fst (Ana m)). ∃l < n. (M; l) ⊢_n k) ∧ Fun c [] ∈ set (snd (Ana m)))"
using deduct_inv[of M n "Fun c []"] c(1) by fast
thus ?thesis using deduct_if_deduct_num[of M] by blast
qed

lemma private_fun_deduct_in_ik'':
assumes t: "M ⊢ Fun f T" "Fun c [] ∈ set T" "M ⊢ m ∈ subtermsset M. Fun f T ∉ set (snd (Ana m))"
and c: "¬public c" "Fun c [] ∉ M" "M ⊢ m ∈ subtermsset M. Fun c [] ∉ set (snd (Ana m))"
shows "Fun f T ∈ M"
proof -
have *: "M ⊢ Fun c []"
using private_const_deduct[OF c(1)] c(2,3) deduct_if_deduct_num
by blast

obtain n where n: "(M; n) ⊢_n Fun f T"
using t(1) deduct_num_if_deduct
by blast

show ?thesis
using deduct_inv[OF n] t(2,3) *
by blast
qed

end

```

## 2.4.10 Executable Definitions for Code Generation

```

fun intruder_synth' where
  "intruder_synth' pu ar M (Var x) = (Var x ∈ M)"
| "intruder_synth' pu ar M (Fun f T) = (
  Fun f T ∈ M ∨ (pu f ∧ length T = ar f ∧ list_all (intruder_synth' pu ar M) T))"

definition "wftrm' ar t ≡ (∀s ∈ subterms t. is_Fun s → ar (the_Fun s) = length (args s))"

definition "wftrms' ar M ≡ (∀t ∈ M. wftrm' ar t)"

definition "analyzed_in' An pu ar t M ≡ (case An t of
  (K, T) ⇒ (∀k ∈ set K. intruder_synth' pu ar M k) → (∀s ∈ set T. intruder_synth' pu ar M s))"

lemma (in intruder_model) intruder_synth'_induct[consumes 1, case_names Var Fun]:
assumes "intruder_synth' public arity M t"
  "A x. intruder_synth' public arity M (Var x) ⇒ P (Var x)"

```

```

"!f T. (?z. z ∈ set T ==> intruder_synth' public arity M z ==> P z) ==>
    intruder_synth' public arity M (Fun f T) ==> P (Fun f T) "
shows "P t"
using assms by (induct public arity M t rule: intruder_synth'.induct) auto

lemma (in intruder_model) wf_trm_code[code_unfold]:
  "wf_trm t = wf_trm' arity t"
unfolding wf_trm_def wf_trm'_def
by auto

lemma (in intruder_model) wf_trms_code[code_unfold]:
  "wf_trms M = wf_trms' arity M"
using wf_trm_code
unfolding wf_trms'_def
by auto

lemma (in intruder_model) intruder_synth_code[code_unfold]:
  "intruder_synth M t = intruder_synth' public arity M t"
  (is "?A <=> ?B")
proof
  show "?A ==> ?B"
  proof (induction t rule: intruder_synth_induct)
    case (AxiomC t) thus ?case by (cases t) auto
  qed (fastforce simp add: list_all_iff)

  show "?B ==> ?A"
  proof (induction t rule: intruder_synth'_induct)
    case (Fun f T) thus ?case
      proof (cases "Fun f T ∈ M")
        case False
        hence "public f" "length T = arity f" "list_all (intruder_synth' public arity M) T"
          using Fun.hyps by fastforce+
        thus ?thesis
          using Fun.IH intruder_synth.ComposeC[of T f M] Ball_set[of T]
            by blast
      qed simp
    qed simp
  qed
end

```

# 3 The Typing Result for Non-Stateful Protocols

In this chapter, we formalize and prove a typing result for “stateless” security protocols. This work is described in more detail in [2] and [1, chapter 3].

## 3.1 Strands and Symbolic Intruder Constraints

```
theory Strands_and_Constraints
imports Messages More_Unification Intruder_Deduction
begin
```

### 3.1.1 Constraints, Strands and Related Definitions

```
datatype poscheckvariant = Assign (<assign>) | Check (<check>)
```

A strand (or constraint) step is either a message transmission (either a message being sent *Send* or being received *Receive*) or a check on messages (a positive check *Equality*—which can be either an “assignment” or just a check—or a negative check *Inequality*)

```
datatype (funstp: 'a, varstp: 'b) strand_step =
  Send      "('a, 'b) term list" (<send(_)>_st> 80)
| Receive    "('a, 'b) term list" (<receive(_)>_st> 80)
| Equality   poscheckvariant "('a, 'b) term" "('a, 'b) term" (<(_:_ _ _)>_st> [80,80])
| Inequality (bvarsstp: "'b list") "((('a, 'b) term × ('a, 'b) term) list" (<∀_⟨V≠:_ _⟩st> [80,80])
where
  "bvarsstp (Send _) = []"
| "bvarsstp (Receive _) = []"
| "bvarsstp (Equality _ _ _) = []"
```

```
abbreviation "Send1 t ≡ Send [t]"
```

```
abbreviation "Receive1 t ≡ Receive [t]"
```

A strand is a finite sequence of strand steps (constraints and strands share the same datatype)

```
type_synonym ('a, 'b) strand = "('a, 'b) strand_step list"
```

```
type_synonym ('a, 'b) strands = "('a, 'b) strand set"
```

```
abbreviation "trmspairs F ≡ ∪(t,t') ∈ set F. {t,t'}"
```

```
fun trmsstp::"('a, 'b) strand_step ⇒ ('a, 'b) terms" where
  "trmsstp (Send ts) = set ts"
| "trmsstp (Receive ts) = set ts"
| "trmsstp (Equality _ t t') = {t,t'}"
| "trmsstp (Inequality _ F) = trmspairs F"
```

```
lemma varsstp_unfold[simp]: "varsstp x = fvset (trmsstp x) ∪ set (bvarsstp x)"
by (cases x) auto
```

The set of terms occurring in a strand

```
definition trmsst where "trmsst S ≡ ∪(trmsstp ` set S)"
```

```
fun trms_liststp::"('a, 'b) strand_step ⇒ ('a, 'b) term list" where
  "trms_liststp (Send ts) = ts"
| "trms_liststp (Receive ts) = ts"
| "trms_liststp (Equality _ t t') = [t,t']"
| "trms_liststp (Inequality _ F) = concat (map (λ(t,t'). [t,t']) F)"
```

The set of terms occurring in a strand (list variant)

### 3 The Typing Result for Non-Stateful Protocols

```
definition trms_listst where "trms_listst S ≡ remdups (concat (map trms_liststp S))"
```

The set of variables occurring in a sent message

```
definition fvsnd:: "('a, 'b) strand_step ⇒ 'b set" where  
"fvsnd x ≡ case x of Send t ⇒ fvset (set t) | _ ⇒ {}"
```

The set of variables occurring in a received message

```
definition fvrcv:: "('a, 'b) strand_step ⇒ 'b set" where  
"fvrcv x ≡ case x of Receive t ⇒ fvset (set t) | _ ⇒ {}"
```

The set of variables occurring in an equality constraint

```
definition fveq:: "poscheckvariant ⇒ ('a, 'b) strand_step ⇒ 'b set" where  
"fveq ac x ≡ case x of Equality ac' s t ⇒ if ac = ac' then fv s ∪ fv t else {} | _ ⇒ {}"
```

The set of variables occurring at the left-hand side of an equality constraint

```
definition fvleq:: "poscheckvariant ⇒ ('a, 'b) strand_step ⇒ 'b set" where  
"fvleq ac x ≡ case x of Equality ac' s t ⇒ if ac = ac' then fv s else {} | _ ⇒ {}"
```

The set of variables occurring at the right-hand side of an equality constraint

```
definition fvreq:: "poscheckvariant ⇒ ('a, 'b) strand_step ⇒ 'b set" where  
"fvreq ac x ≡ case x of Equality ac' s t ⇒ if ac = ac' then fv t else {} | _ ⇒ {}"
```

The free variables of inequality constraints

```
definition fvineq:: "('a, 'b) strand_step ⇒ 'b set" where  
"fvineq x ≡ case x of Inequality X F ⇒ fvpairs F - set X | _ ⇒ {}"
```

```
fun fvstp:: "('a, 'b) strand_step ⇒ 'b set" where  
"fvstp (Send t) = fvset (set t)"  
| "fvstp (Receive t) = fvset (set t)"  
| "fvstp (Equality _ t t') = fv t ∪ fv t'"  
| "fvstp (Inequality X F) = (⋃(t, t') ∈ set F. fv t ∪ fv t') - set X"
```

The set of free variables of a strand

```
definition fvst:: "('a, 'b) strand ⇒ 'b set" where  
"fvst S ≡ ⋃(set (map fvstp S))"
```

The set of bound variables of a strand

```
definition bvarsst:: "('a, 'b) strand ⇒ 'b set" where  
"bvarsst S ≡ ⋃(set (map (set o bvarsstp) S))"
```

The set of all variables occurring in a strand

```
definition varsst:: "('a, 'b) strand ⇒ 'b set" where  
"varsst S ≡ ⋃(set (map varsstp S))"
```

```
abbreviation wfrestrictedvarsstp:: "('a, 'b) strand_step ⇒ 'b set" where  
"wfrestrictedvarsstp x ≡  
case x of Inequality _ _ ⇒ {} | Equality Check _ _ ⇒ {} | _ ⇒ varsstp x"
```

The variables of a strand whose occurrences might be restricted by well-formedness constraints

```
definition wfrestrictedvarsst:: "('a, 'b) strand ⇒ 'b set" where  
"wfrestrictedvarsst S ≡ ⋃(set (map wfrestrictedvarsstp S))"
```

```
abbreviation wfvarsoccsstp where  
"wfvarsoccsstp x ≡ case x of Send t ⇒ fvset (set t) | Equality Assign s t ⇒ fv s | _ ⇒ {}"
```

The variables of a strand that occur in sent messages or in assignments

```
definition wfvarsoccsst where  
"wfvarsoccsst S ≡ ⋃(set (map wfvarsoccsstp S))"
```

The variables occurring at the right-hand side of assignment steps

```
fun assignment_rhsst where
```

```
"assignment_rhsst [] = {}"
| "assignment_rhsst (Equality Assign t t' #S) = insert t' (assignment_rhsst S)"
| "assignment_rhsst (x#S) = assignment_rhsst S"
```

The set of function symbols occurring in a strand

```
definition funsst:: "('a, 'b) strand ⇒ 'a set" where
  "funsst S ≡ ∪(set (map funsst S))"
```

```
fun subst_apply_strand_step:: "('a, 'b) strand_step ⇒ ('a, 'b) subst ⇒ ('a, 'b) strand_step"
  (infix <·stp> 51) where
    "Send t ·stp θ = Send (t · list θ)"
  | "Receive t ·stp θ = Receive (t · list θ)"
  | "Equality a t t' ·stp θ = Equality a (t · θ) (t' · θ)"
  | "Inequality X F ·stp θ = Inequality X (F · pairs rm_vars (set X) θ)"
```

Substitution application for strands

```
definition subst_apply_strand:: "('a, 'b) strand ⇒ ('a, 'b) subst ⇒ ('a, 'b) strand"
  (infix <·st> 51) where
  "S ·st θ ≡ map (λx. x ·stp θ) S"
```

The semantics of inequality constraints

```
definition
  "ineq_model (I::('a, 'b) subst) X F ≡
    (forall δ. subst_domain δ = set X ∧ ground (subst_range δ) →
      (exists (t, t') ∈ set F. t · δ os I ≠ t' · δ os I))"
```

```
fun simplestp where
  "simplestp (Receive t) = True"
| "simplestp (Send [Var v]) = True"
| "simplestp (Inequality X F) = (exists I. ineq_model I X F)"
| "simplestp _ = False"
```

Simple constraints

```
definition simple where "simple S ≡ list_all simplestp S"
```

The intruder knowledge of a constraint

```
fun ikst:: "('a, 'b) strand ⇒ ('a, 'b) terms" where
  "ikst [] = {}"
| "ikst (Receive t#S) = set t ∪ (ikst S)"
| "ikst (_#S) = ikst S"
```

Strand well-formedness

```
fun wfst:: "'b set ⇒ ('a, 'b) strand ⇒ bool" where
  "wfst V [] = True"
| "wfst V (Receive ts#S) = (fvset (set ts) ⊆ V ∧ wfst V S)"
| "wfst V (Send ts#S) = wfst (V ∪ fvset (set ts)) S"
| "wfst V (Equality Assign s t#S) = (fv t ⊆ V ∧ wfst (V ∪ fv s) S)"
| "wfst V (Equality Check s t#S) = wfst V S"
| "wfst V (Inequality _#S) = wfst V S"
```

Well-formedness of constraint states

```
definition wfconstr:: "('a, 'b) strand ⇒ ('a, 'b) subst ⇒ bool" where
  "wfconstr S θ ≡ (wfsubst θ ∧ wfst {} S ∧ subst_domain θ ∩ varsst S = {} ∧
    range_vars θ ∩ bvarsst S = {} ∧ fvst S ∩ bvarsst S = {})"
```

```
declare trmsst_def[simp]
declare fvsnd_def[simp]
declare fvrcv_def[simp]
declare fveq_def[simp]
declare fvleq_def[simp]
declare fvreq_def[simp]
declare fvineq_def[simp]
```

```

declare fv_st_def[simp]
declare vars_st_def[simp]
declare bvars_st_def[simp]
declare wfrestrictedvars_st_def[simp]
declare wfvarsoccs_st_def[simp]

lemmas wf_st_induct = wf_st.induct[case_names Nil ConsRcv ConsSnd ConsEq ConsEq2 ConsIneq]
lemmas ik_st_induct = ik_st.induct[case_names Nil ConsRcv ConsSnd ConsEq ConsIneq]
lemmas assignment_rhs_st_induct = assignment_rhs_st.induct[case_names Nil ConsEq2 ConsSnd ConsRcv ConsEq ConsIneq]

```

### Lexicographical measure on strands

```

definition size_st::"('a,'b) strand ⇒ nat" where
  "size_st S ≡ size_list (λx. Max (insert 0 (size ` trms_stp x))) S"

definition measure_st::"((('a,'b) strand × ('a,'b) subst) × ('a,'b) strand × ('a,'b) subst) set"
where
  "measure_st ≡ measures [λ(S,θ). card (fv_st S), λ(S,θ). size_st S]"

lemma measure_st_alt_def:
  "((s,x),(t,y)) ∈ measure_st =
    (card (fv_st s) < card (fv_st t) ∨ (card (fv_st s) = card (fv_st t) ∧ size_st s < size_st t))"
by (simp add: measure_st_def size_st_def)

lemma measure_st_trans: "trans measure_st"
by (simp add: trans_def measure_st_def size_st_def)

```

### Some lemmas

```

lemma trms_list_st_is_trms_st: "trms_st S = set (trms_list_st S)"
unfolding trms_st_def trms_list_st_def
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
qed simp

lemma subst_apply_strand_step_def:
  "s ·stp θ = (case s of
    Send t ⇒ Send (t ·list θ)
    | Receive t ⇒ Receive (t ·list θ)
    | Equality a t t' ⇒ Equality a (t · θ) (t' · θ)
    | Inequality X F ⇒ Inequality X (F ·pairs rm_vars (set X) θ))"
by (cases s) simp_all

lemma subst_apply_strand_nil[simp]: "[] ·st δ = []"
unfolding subst_apply_strand_def by simp

lemma finite_funcs_stp[simp]: "finite (funcs_stp x)" by (cases x) auto
lemma finite_funcs_st[simp]: "finite (func_st S)" unfolding func_st_def by simp
lemma finite_trms_pairs[simp]: "finite (trms_pairs x)" by (induct x) auto
lemma finite_trms_stp[simp]: "finite (trms_stp x)" by (cases x) auto
lemma finite_vars_stp[simp]: "finite (vars_stp x)" by auto
lemma finite_bvars_stp[simp]: "finite (set (bvars_stp x))" by rule
lemma finite_fv_snd[simp]: "finite (fv_snd x)" by (cases x) auto
lemma finite_fv_rcv[simp]: "finite (fv_rcv x)" by (cases x) auto
lemma finite_fv_stp[simp]: "finite (fv_stp x)" by (cases x) auto
lemma finite_vars_st[simp]: "finite (vars_st S)" by simp
lemma finite_bvars_st[simp]: "finite (bvars_st S)" by simp
lemma finite_fv_st[simp]: "finite (fv_st S)" by simp

lemma finite_wfrestrictedvars_stp[simp]: "finite (wfrestrictedvars_stp x)"
by (cases x) (auto split: poscheckvariant.splits)

```

```

lemma finite_wfrestrictedvarsst [simp]: "finite (wfrestrictedvarsst S)"
using finite_wfrestrictedvarsstp by auto

lemma finite_wfvarsoccstp [simp]: "finite (wfvarsoccstp x)"
by (cases x) (auto split: poscheckvariant.splits)

lemma finite_wfvarsoccst [simp]: "finite (wfvarsoccst S)"
using finite_wfvarsoccstp by auto

lemma finite_ikst [simp]: "finite (ikst S)"
by (induct S rule: ikst.induct) simp_all

lemma finite_assignment_rhsst [simp]: "finite (assignment_rhsst S)"
by (induct S rule: assignment_rhsst.induct) simp_all

lemma ikst_is_rcv_set: "ikst A = {t | ts t. Receive ts ∈ set A ∧ t ∈ set ts}"
by (induct A rule: ikst.induct) auto

lemma ikst_snoc_no_receive_eq:
assumes "¬ ts. a = receive(ts)st"
shows "ikst (A@[a]) ·set I = ikst A ·set I"
using assms unfolding ikst_is_rcv_set
by (metis (no_types, lifting) Un_iff append_Nil2 set_ConsD set_append)

lemma ikstD [dest]: "t ∈ ikst S ⇒ ∃ ts. t ∈ set ts ∧ Receive ts ∈ set S"
by (induct S rule: ikst.induct) auto

lemma ikstD' [dest]: "t ∈ ikst S ⇒ t ∈ trmsst S"
by (induct S rule: ikst.induct) auto

lemma ikstD'' [dest]: "t ∈ subtermsset (ikst S) ⇒ t ∈ subtermsset (trmsst S)"
by (induct S rule: ikst.induct) auto

lemma ikst_subterm_exD:
assumes "t ∈ ikst S"
shows "∃ x ∈ set S. t ∈ subtermsset (trmsstp x)"
using assms ikstD by force

lemma assignment_rhsstD [dest]: "t ∈ assignment_rhsst S ⇒ ∃ t'. Equality Assign t' t ∈ set S"
by (induct S rule: assignment_rhsst.induct) auto

lemma assignment_rhsstD' [dest]: "t ∈ subtermsset (assignment_rhsst S) ⇒ t ∈ subtermsset (trmsst S)"
by (induct S rule: assignment_rhsst.induct) auto

lemma bvarsst_split: "bvarsst (S@S') = bvarsst S ∪ bvarsst S'"
unfolding bvarsst_def by auto

lemma bvarsst_singleton: "bvarsst [x] = set (bvarsstp x)"
unfolding bvarsst_def by auto

lemma strand_fv_bvars_disjointD:
assumes "fvst S ∩ bvarsst S = {}" "Inequality X F ∈ set S"
shows "set X ⊆ bvarsst S" "fvpairs F - set X ⊆ fvst S"
using assms by (induct S) fastforce+

lemma strand_fv_bvars_disjoint_unfold:
assumes "fvst S ∩ bvarsst S = {}" "Inequality X F ∈ set S" "Inequality Y G ∈ set S"
shows "set Y ∩ (fvpairs F - set X) = {}"
proof -
have "set X ⊆ bvarsst S" "set Y ⊆ bvarsst S"
"fvpairs F - set X ⊆ fvst S" "fvpairs G - set Y ⊆ fvst S"
using strand_fv_bvars_disjointD[OF assms(1)] assms(2,3) by auto
thus ?thesis using assms(1) by fastforce

```

qed

```

lemma strand_subst_hom[iff]:
  "(S@S') ·st θ = (S ·st θ)@(S' ·st θ)" "(x#S) ·st θ = (x ·stp θ)#{(S ·st θ)}"
unfolding subst_apply_strand_def by auto

lemma strand_subst_comp: "range_vars δ ∩ bvarsst S = {}" ⟹ S ·st δ os θ = ((S ·st δ) ·st θ)"
proof (induction S)
  case (Cons x S)
  have *: "range_vars δ ∩ bvarsst S = {}" "range_vars δ ∩ (set (bvarsstp x)) = {}"
    using Cons bvarsst_split[of "[x]" S] append_Cons inf_sup_absorb
    by (metis (no_types, lifting) Int_iff Un_commute disjoint_iff_not_equal self_append_conv2,
         metis append_self_conv2 bvarsst_singleton inf_bot_right inf_left_commute)
  hence IH: "S ·st δ os θ = (S ·st δ) ·st θ" using Cons.IH by auto
  have "(x#S ·st δ os θ) = (x ·stp δ os θ)#{(S ·st δ os θ)}" by (metis strand_subst_hom(2))
  hence "... = (x ·stp δ os θ)#{((S ·st δ) ·st θ)}" by (metis IH)
  hence "... = ((x ·stp δ) ·stp θ)#{((S ·st δ) ·st θ)}" using rm_vars_comp[OF *(2)]
  proof (induction x)
    case (Inequality X F) thus ?case
      by (induct F) (auto simp add: subst_apply_pairs_def subst_apply_strand_step_def)
  qed (simp_all add: subst_apply_strand_step_def)
  thus ?case using IH by auto
qed (simp add: subst_apply_strand_def)

lemma strand_substI[intro]:
  "subst_domain θ ∩ fvst S = {}" ⟹ S ·st θ = S"
  "subst_domain θ ∩ varsst S = {}" ⟹ S ·st θ = S"
proof -
  show "subst_domain θ ∩ varsst S = {}" ⟹ S ·st θ = S"
  proof (induction S)
    case (Cons x S)
    hence "S ·st θ = S" by auto
    moreover have "varsstp x ∩ subst_domain θ = {}" using Cons.preds by auto
    hence "x ·stp θ = x"
    proof (induction x)
      case (Send ts) thus ?case by (induct ts) auto
    next
      case (Receive ts) thus ?case by (induct ts) auto
    next
      case (Inequality X F) thus ?case
        by (induct F) (force simp add: subst_apply_pairs_def)+
    qed auto
    ultimately show ?case by simp
  qed (simp add: subst_apply_strand_def)

  show "subst_domain θ ∩ fvst S = {}" ⟹ S ·st θ = S"
  proof (induction S)
    case (Cons x S)
    hence "S ·st θ = S" by auto
    moreover have "fvstp x ∩ subst_domain θ = {}"
      using Cons.preds by auto
    hence "x ·stp θ = x"
    proof (induction x)
      case (Send ts) thus ?case by (induct ts) auto
    next
      case (Receive ts) thus ?case by (induct ts) auto
    next
      case (Inequality X F) thus ?case
        by (induct F) (force simp add: subst_apply_pairs_def)+
    qed auto
    ultimately show ?case by simp
  qed (simp add: subst_apply_strand_def)
qed

```

```

lemma strand_substI':
  "fvst S = {} ==> S ·st θ = S"
  "varsst S = {} ==> S ·st θ = S"
by (metis inf_bot_right strand_substI(1),
    metis inf_bot_right strand_substI(2))

lemma strand_subst_set: "(set (S ·st θ)) = ((λx. x ·stp θ) ` (set S))"
by (auto simp add: subst_apply_strand_def)

lemma strand_map_inv_set_snd_rcv_subst:
  assumes "finite (M::('a,'b) terms)"
  shows "set ((map Send1 (inv set M)) ·st θ) = Send1 ` (M ·set θ)" (is ?A)
    "set ((map Receive1 (inv set M)) ·st θ) = Receive1 ` (M ·set θ)" (is ?B)
proof -
  { fix f::("a,'b) term ⇒ ('a,'b) strand_step"
    assume f: "f = Send1 ∨ f = Receive1"
    from assms have "set ((map f (inv set M)) ·st θ) = f ` (M ·set θ)"
    proof (induction rule: finite_induct)
      case empty thus ?case unfolding inv_def by auto
    next
      case (insert m M)
      have "set (map f (inv set (insert m M)) ·st θ) =
            insert (f m ·stp θ) (set (map f (inv set M) ·st θ))"
      by (simp add: insert.hyps(1) inv_set_fset subst_apply_strand_def)
      thus ?case using f insert.IH by auto
    qed
  }
  thus "?A" "?B" by auto
qed

lemma strand_ground_subst_vars_subset:
  assumes "ground (subst_range θ)" shows "varsst (S ·st θ) ⊆ varsst S"
proof (induction S)
  case (Cons x S)
  have "varsstp (x ·stp θ) ⊆ varsstp x" using ground_subst_fv_subset[OF assms]
  proof (cases x)
    case (Inequality X F)
    let ?θ = "rm_vars (set X) θ"
    have "fvpairs (F ·pairs ?θ) ⊆ fvpairs F"
    proof (induction F)
      case (Cons f F)
      obtain t t' where f: "f = (t,t')" by (metis surj_pair)
      hence "fvpairs (f#F ·pairs ?θ) = fv (t · ?θ) ∪ fv (t' · ?θ) ∪ fvpairs (F ·pairs ?θ)"
        "fvpairs (f#F) = fv t ∪ fv t' ∪ fvpairs F"
      by (auto simp add: subst_apply_pairs_def)
      thus ?case
        using ground_subst_fv_subset[OF ground_subset[OF rm_vars_img_subset assms, of "set X"]]
        Cons.IH
        by (metis (no_types, lifting) Un_mono)
    qed (simp add: subst_apply_pairs_def)
    thus ?case
      using ground_subst_fv_subset[OF ground_subset[OF rm_vars_img_subset assms, of "set X"]]
      Cons.IH
      by (metis (no_types, lifting) Un_mono)
  qed (simp add: subst_apply_pairs_def)
  moreover have
    "varsstp (x ·stp θ) = fvpairs (F ·pairs rm_vars (set X) θ) ∪ set X"
    "varsstp x = fvpairs F ∪ set X"
    using Inequality
    by (auto simp add: subst_apply_pairs_def)
  ultimately show ?thesis by auto
qed auto
thus ?case using Cons.IH by auto
qed (simp add: subst_apply_strand_def)

lemma ik_union_subset: "⋃(P ` ikst S) ⊆ (⋃x ∈ (set S). ⋃(P ` trmsstp x))"
by (induct S rule: ikst.induct) auto

```

```

lemma ik_snd_empty[simp]: "ikst (map Send X) = {}"
by (induct "map Send X" arbitrary: X rule: ikst.induct) auto

lemma ik_snd_empty'[simp]: "ikst [Send t] = {}" by simp

lemma ik_append[iff]: "ikst (S@S') = ikst S ∪ ikst S'" by (induct S rule: ikst.induct) auto

lemma ik_cons: "ikst (x#S) = ikst [x] ∪ ikst S" using ik_append[of "[x]" S] by simp

lemma assignment_rhs_append[iff]: "assignment_rhsst (S@S') = assignment_rhsst S ∪ assignment_rhsst S'" by (induct S rule: assignment_rhsst.induct) auto

lemma eqs_rcv_map_empty: "assignment_rhsst (map Receive M) = {}"
by auto

lemma ik_rcv_map: assumes "ts ∈ set L" shows "set ts ⊆ ikst (map Receive L)"
proof -
{ fix L L'
  have "set ts ⊆ ikst [Receive ts]" by auto
  hence "set ts ⊆ ikst (map Receive L@Receive ts#map Receive L')" using ik_append by auto
  hence "set ts ⊆ ikst (map Receive (L@ts#L'))" by auto
}
thus ?thesis using assms split_list_last by force
qed

lemma ik_subst: "ikst (S ·st δ) = ikst S ·set δ"
by (induct rule: ikst_induct) auto

lemma ik_rcv_map': assumes "t ∈ ikst (map Receive L)" shows "∃ ts ∈ set L. t ∈ set ts"
using assms by force

lemma ik_append_subset[simp]: "ikst S ⊆ ikst (S@S')" "ikst S' ⊆ ikst (S@S')"
by (induct S rule: ikst.induct) auto

lemma assignment_rhs_append_subset[simp]:
  "assignment_rhsst S ⊆ assignment_rhsst (S@S')"
  "assignment_rhsst S' ⊆ assignment_rhsst (S@S')"
by (induct S rule: assignment_rhsst.induct) auto

lemma trmsst_cons: "trmsst (x#S) = trmsstp x ∪ trmsst S" by simp

lemma trm_strand_subst_cong:
  "t ∈ trmsst S ==> t · δ ∈ trmsst (S ·st δ)
   ∨ (∃ X F. Inequality X F ∈ set S ∧ t · rm_vars (set X) δ ∈ trmsst (S ·st δ))"
  (is "t ∈ trmsst S ==> ?P t δ S")
  "t ∈ trmsst (S ·st δ) ==> (∃ t'. t = t' · δ ∧ t' ∈ trmsst S)
   ∨ (∃ X F. Inequality X F ∈ set S ∧ (∃ t' ∈ trmspairs F. t = t' · rm_vars (set X) δ))"
  (is "t ∈ trmsst (S ·st δ) ==> ?Q t δ S)"

proof -
  show "t ∈ trmsst S ==> ?P t δ S"
  proof (induction S)
    case (Cons x S) show ?case
    proof (cases "t ∈ trmsst S")
      case True
      hence "?P t δ S" using Cons by simp
      thus ?thesis
        by (cases x)
        (metis (no_types, lifting) Un_iff list.set_intro(2) strand_subst_hom(2) trmsst_cons)+
    next
      case False
      hence "t ∈ trmsstp x" using Cons.prems by auto
      thus ?thesis
    qed
  qed

```

```

proof (induction x)
  case (Inequality X F)
  hence "t · rm_vars (set X) δ ∈ trmsstp (Inequality X F ·stp δ)"
    by (induct F) (auto simp add: subst_apply_pairs_def subst_apply_strand_step_def)
    thus ?case by fastforce
  qed (auto simp add: subst_apply_strand_step_def)
qed
qed simp

show "t ∈ trmsst (S ·st δ) ⟹ ?Q t δ S"
proof (induction S)
  case (Cons x S) show ?case
  proof (cases "t ∈ trmsst (S ·st δ)")
    case True
    hence "?Q t δ S" using Cons by simp
    thus ?thesis by (cases x) force+
  next
    case False
    hence "t ∈ trmsstp (x ·stp δ)" using Cons.prefs by auto
    thus ?thesis
    proof (induction x)
      case (Inequality X F)
      hence "t ∈ trmsstp (Inequality X F) ·set rm_vars (set X) δ"
        by (induct F) (force simp add: subst_apply_pairs_def)+
      thus ?case by fastforce
    qed (auto simp add: subst_apply_strand_step_def)
  qed
qed simp
qed

```

### 3.1.2 Lemmata: Free Variables of Strands

```

lemma fv_trm_snd_rcv[simp]:
  "fvset (trmsstp (Send ts)) = fvset (set ts)" "fvset (trmsstp (Receive ts)) = fvset (set ts)"
by simp_all

lemma in_strand_fv_subset: "x ∈ set S ⟹ varsstp x ⊆ varsst S"
by fastforce

lemma in_strand_fv_subset_snd: "Send ts ∈ set S ⟹ fvset (set ts) ⊆ ∪ (set (map fvsnd S))"
by fastforce

lemma in_strand_fv_subset_rcv: "Receive ts ∈ set S ⟹ fvset (set ts) ⊆ ∪ (set (map fvrcv S))"
by fastforce

lemma fv_sndE:
  assumes "v ∈ ∪ (set (map fvsnd S))"
  obtains ts where "send⟨ts⟩st ∈ set S" "v ∈ fvset (set ts)"
proof -
  have "∃ ts. send⟨ts⟩st ∈ set S ∧ v ∈ fvset (set ts)"
    by (metis (no_types, lifting) assms UN_E empty_iff set_map strand_step.case_eq_if
        fvsnd_def strand_step.collapse(1))
  thus ?thesis by (metis that)
qed

lemma fv_rcvE:
  assumes "v ∈ ∪ (set (map fvrcv S))"
  obtains ts where "receive⟨ts⟩st ∈ set S" "v ∈ fvset (set ts)"
proof -
  have "∃ ts. receive⟨ts⟩st ∈ set S ∧ v ∈ fvset (set ts)"
    by (metis (no_types, lifting) assms UN_E empty_iff set_map strand_step.case_eq_if
        fvrcv_def strand_step.collapse(2))
  thus ?thesis by (metis that)

```

```

qed

lemma varsstpI[intro]: "x ∈ fvstp s ⇒ x ∈ varsstp s"
by (induct s rule: fvstp.induct) auto

lemma varsstI[intro]: "x ∈ fvst S ⇒ x ∈ varsst S" using varsstpI by fastforce

lemma fvst_subset_varsst[simp]: "fvst S ⊆ varsst S" using varsstI by force

lemma varsst_is_fvst_bvarsst: "varsst S = fvst S ∪ bvarsst S"
proof (induction S)
  case (Cons x S) thus ?case
  proof (induction x)
    case (Inequality X F) thus ?case by (induct F) auto
    qed auto
  qed simp

lemma fvstp_is_subterm_trmsstp: "x ∈ fvstp a ⇒ Var x ∈ subtermsset (trmsstp a)"
using var_is_subterm by (cases a) force+

```

```

lemma fvst_is_subterm_trmsst: "x ∈ fvst A ⇒ Var x ∈ subtermsset (trmsst A)"
proof (induction A)
  case (Cons a A) thus ?case using fvstp_is_subterm_trmsstp by (cases "x ∈ fvst A") auto
qed simp

lemma vars_st_snd_map: "varsst (map Send tss) = fvset (Fun f ` set tss)" by auto

lemma vars_st_rcv_map: "varsst (map Receive tss) = fvset (Fun f ` set tss)" by auto

lemma vars_snd_rcv_union:
  "varsstp x = fvsnd x ∪ fvrcv x ∪ fveq assign x ∪ fveq check x ∪ fvineq x ∪ set (bvarsstp x)"
proof (cases x)
  case (Equality ac t t') thus ?thesis by (cases ac) auto
qed auto

lemma fv_snd_rcv_union:
  "fvstp x = fvsnd x ∪ fvrcv x ∪ fveq assign x ∪ fveq check x ∪ fvineq x"
proof (cases x)
  case (Equality ac t t') thus ?thesis by (cases ac) auto
qed auto

lemma fv_snd_rcv_empty[simp]: "fvsnd x = {} ∨ fvrcv x = {}" by (cases x) simp_all

lemma vars_snd_rcv_strand[iff]:
  "varsst (S::('a,'b) strand) =
   (UN (set (map fvsnd S))) ∪ (UN (set (map fvrcv S))) ∪ (UN (set (map (fveq assign) S)))
   ∪ (UN (set (map (fveq check) S))) ∪ (UN (set (map fvineq S))) ∪ bvarsst S"
unfolding bvarsst_def
proof (induction S)
  case (Cons x S)
  have "A s V. varsstp (S::('a,'b) strand_step) ∪ V =
    fvsnd s ∪ fvrcv s ∪ fveq assign s ∪ fveq check s ∪ fvineq s ∪ set (bvarsstp s) ∪ V"
  by (metis vars_snd_rcv_union)
  thus ?case using Cons.IH by (auto simp add: sup_assoc sup_left_commute)
qed simp

lemma fv_snd_rcv_strand[iff]:
  "fvst (S::('a,'b) strand) =
   (UN (set (map fvsnd S))) ∪ (UN (set (map fvrcv S))) ∪ (UN (set (map (fveq assign) S)))
   ∪ (UN (set (map (fveq check) S))) ∪ (UN (set (map fvineq S)))"
unfolding bvarsst_def
proof (induction S)
  case (Cons x S)
```

```

have " $\bigwedge s V. fv_{stp} (s :: ('a, 'b) strand_step) \cup V =$ 
       $fv_{snd} s \cup fv_{rcv} s \cup fv_{eq} assign s \cup fv_{eq} check s \cup fv_{ineq} s \cup V$ "
  by (metis fv_snd_rcv_union)
thus ?case using Cons.IH by (auto simp add: sup_assoc sup_left_commute)
qed simp

```

**lemma vars\_snd\_rcv\_strand2[iff]:**

```

"wfrestrictedvarsst (S :: ('a, 'b) strand) =
  (\bigcup (set (map fvsnd S))) \cup (\bigcup (set (map fvrcv S))) \cup (\bigcup (set (map (fveq assign) S)))"
by (induct S) (auto simp add: split: strand_step.split poscheckvariant.split)

```

**lemma fv\_snd\_rcv\_strand\_subset[simp]:**

```

"\bigcup (set (map fvsnd S)) \subseteq fvst S" "\bigcup (set (map fvrcv S)) \subseteq fvst S"
"\bigcup (set (map (fveq ac) S)) \subseteq fvst S" "\bigcup (set (map fvineq S)) \subseteq fvst S"
"wfvarsoccst S \subseteq fvst S"
proof -
  show "\bigcup (set (map fvsnd S)) \subseteq fvst S" "\bigcup (set (map fvrcv S)) \subseteq fvst S" "\bigcup (set (map fvineq S)) \subseteq fvst S"
  by (induct S) (auto split: strand_step.split poscheckvariant.split)
  show "\bigcup (set (map (fveq ac) S)) \subseteq fvst S"
  by (induct S) (auto split: strand_step.split poscheckvariant.split)
  show "wfvarsoccst S \subseteq fvst S"
  by (induct S) (auto split: strand_step.split poscheckvariant.split)
qed

```

**lemma vars\_snd\_rcv\_strand\_subset2[simp]:**

```

"\bigcup (set (map fvsnd S)) \subseteq wfrestrictedvarsst S" "\bigcup (set (map fvrcv S)) \subseteq wfrestrictedvarsst S"
"\bigcup (set (map (fveq assign) S)) \subseteq wfrestrictedvarsst S" "wfvarsoccst S \subseteq wfrestrictedvarsst S"
by (induction S) (auto split: strand_step.split poscheckvariant.split)

```

**lemma wfrestrictedvars<sub>st</sub>\_subset\_vars<sub>st</sub>:** "wfrestrictedvars<sub>st</sub> S \subseteq vars<sub>st</sub> S"

```

by (induction S) (auto split: strand_step.split poscheckvariant.split)

```

**lemma subst\_sends\_strand\_step\_fv\_to\_img:** "fv<sub>stp</sub> (x ·<sub>stp</sub> δ) \subseteq fv<sub>stp</sub> x \cup range\_vars δ"

```

using subst_sends_fv_to_img[of _ δ]
proof (cases x)
  case (Inequality X F)
  let ?θ = "rm_vars (set X) δ"
  have "fvpairs (F ·pairs ?θ) \subseteq fvpairs F \cup range_vars ?θ"
  proof (induction F)
    case (Cons f F) thus ?case
      using subst_sends_fv_to_img[of _ ?θ]
      by (auto simp add: subst_apply_pairs_def)
    qed (auto simp add: subst_apply_pairs_def)
    hence "fvpairs (F ·pairs ?θ) \subseteq fvpairs F \cup range_vars δ"
      using rm_vars_img_subset[of "set X" δ] fv_set_mono
      unfolding range_vars_alt_def by blast+
    thus ?thesis using Inequality by (auto simp add: subst_apply_strand_step_def)
  qed (auto simp add: subst_apply_strand_step_def)

```

**lemma subst\_sends\_strand\_fv\_to\_img:** "fv<sub>st</sub> (S ·<sub>st</sub> δ) \subseteq fv<sub>st</sub> S \cup range\_vars δ"

```

proof (induction S)
  case (Cons x S)
  have *: "fvst (x#S ·st δ) = fvstp (x ·stp δ) \cup fvst (S ·st δ)"
    "fvst (x#S) \cup range_vars δ = fvstp x \cup fvst S \cup range_vars δ"
  by auto
  thus ?case using Cons.IH subst_sends_strand_step_fv_to_img[of x δ] by auto
qed simp

```

**lemma ineq\_apply\_subst:**

```

assumes "subst_domain δ \cap set X = {}"

```

### 3 The Typing Result for Non-Stateful Protocols

```

shows "(Inequality X F) ·stp δ = Inequality X (F ·pairs δ)"
using rm_vars_apply'[OF assms] by (simp add: subst_apply_strand_step_def)

lemma fv_strand_step_subst:
assumes "P = fv_stp ∨ P = fv_rcv ∨ P = fv_snd ∨ P = fv_eq ac ∨ P = fv_ineq"
and "set (bvars_stp x) ∩ (subst_domain δ ∪ range_vars δ) = {}"
shows "fv_set (δ ` (P x)) = P (x ·stp δ)"
proof (cases x)
case (Send ts)
hence "vars_stp x = fv_set (set ts)" "fv_snd x = fv_set (set ts)" by auto
thus ?thesis using assms Send subst_apply_fv_unfold[of _ δ] by fastforce
next
case (Receive ts)
hence "vars_stp x = fv_set (set ts)" "fv_rcv x = fv_set (set ts)" by auto
thus ?thesis using assms Receive subst_apply_fv_unfold[of _ δ] by fastforce
next
case (Equality ac' t t') show ?thesis
proof (cases "ac = ac'")
case True
hence "vars_stp x = fv t ∪ fv t'" "fv_eq ac x = fv t ∪ fv t''"
using Equality
by auto
thus ?thesis
using assms Equality subst_apply_fv_unfold[of _ δ] True
by auto
next
case False
hence "vars_stp x = fv t ∪ fv t'" "fv_eq ac x = {}"
using Equality
by auto
thus ?thesis
using assms Equality subst_apply_fv_unfold[of _ δ] False
by auto
qed
next
case (Inequality X F)
hence 1: "set X ∩ (subst_domain δ ∪ range_vars δ) = {}"
"x ·stp δ = Inequality X (F ·pairs δ)"
"rm_vars (set X) δ = δ"
using assms ineq_apply_subst[of δ X F] rm_vars_apply'[of δ "set X"]
unfolding range_vars_alt_def by force+
have 2: "fv_ineq x = fv_pairs F - set X" using Inequality by auto
hence "fv_set (δ ` fv_ineq x) = fv_set (δ ` fv_pairs F) - set X"
using fv_set_subst_img_eq[OF 1(1), of "fv_pairs F"] by simp
hence 3: "fv_set (δ ` fv_ineq x) = fv_pairs (F ·pairs δ) - set X" by (metis fv_pairs_step_subst)
have 4: "fv_ineq (x ·stp δ) = fv_pairs (F ·pairs δ) - set X" using 1(2) by auto
show ?thesis
using assms(1) Inequality subst_apply_fv_unfold[of _ δ] 1(2) 2 3 4
unfolding fv_eq_def fv_rcv_def fv_snd_def
by (metis (no_types) Sup_empty_image_empty fv_pairs.simps fv_set.simps
fv_stp.simps(4) strand_step.simps(20))
qed

lemma fv_strand_subst:
assumes "P = fv_stp ∨ P = fv_rcv ∨ P = fv_snd ∨ P = fv_eq ac ∨ P = fv_ineq"
and "bvars_stp S ∩ (subst_domain δ ∪ range_vars δ) = {}"
shows "fv_set (δ ` (∪(set (map P S)))) = ∪(set (map P (S ·st δ)))"
using assms(2)
proof (induction S)
case (Cons x S)

```

```

hence *: "bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"
  "set (bvarsstp x) ∩ (subst_domain δ ∪ range_vars δ) = {}"
  unfolding bvarsst_def by force+
hence **: "fvset (δ ` P x) = P (x ·stp δ)" using fv_strand_step_subst[OF assms(1), of x δ] by auto
have "fvset (δ ` (∪(set (map P (x#S))))) = fvset (δ ` P x) ∪ (∪(set (map P ((S ·st δ)))))"
  using Cons unfolding range_vars_alt_def bvarsst_def by force
hence "fvset (δ ` (∪(set (map P (x#S))))) = P (x ·stp δ) ∪ fvset (δ ` (∪(set (map P S))))"
  using ** by simp
thus ?case using Cons.IH[OF *(1)] unfolding bvarsst_def by simp
qed simp

lemma fv_strand_subst2:
  assumes "bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "fvset (δ ` (wfrestrictedvarsst S)) = wfrestrictedvarsst (S ·st δ)"
  by (metis (no_types, lifting) assms fvset.simples vars_snd_rcv_strand2 fv_strand_subst UN_Un image_Un)

lemma fv_strand_subst':
  assumes "bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "fvset (δ ` (fvst S)) = fvst (S ·st δ)"
  by (metis assms fv_strand_subst fvst_def)

lemma fv_trmspairs_is_fvpairs:
  "fvset (trmspairs F) = fvpairs F"
  by auto

lemma fvpairs_in_fvtrmspairs:
  "x ∈ fvpairs F ⇒ x ∈ fvset (trmspairs F)"
using fv_trmspairs_is_fvpairs[of F] by blast

lemma trmsst_append:
  "trmsst (A@B) = trmsst A ∪ trmsst B"
by auto

lemma trmspairs_subst:
  "trmspairs (a ·pairs θ) = trmspairs a ·set θ"
by (auto simp add: subst_apply_pairs_def)

lemma trmspairs_fvsubst_subset:
  "t ∈ trmspairs F ⇒ fv (t · θ) ⊆ fvpairs (F ·pairs θ)"
by (force simp add: subst_apply_pairs_def)

lemma trmspairs_fvsubst_subset':
  fixes t::"('a,'b) term" and θ::"('a,'b) subst"
  assumes "t ∈ subtermsset (trmspairs F)"
  shows "fv (t · θ) ⊆ fvpairs (F ·pairs θ)"
proof -
  { fix x assume "x ∈ fv t"
    hence "x ∈ fvpairs F"
      using fv_subset[OF assms] fv_subterms_set[of "trmspairs F"] fv_trmspairs_is_fvpairs[of F]
      by blast
    hence "fv (θ x) ⊆ fvpairs (F ·pairs θ)" using fvpairs_subst_fvsubst_subset by fast
  } thus ?thesis by (meson fvsubst_obtain_var subset_iff)
qed

lemma trmspairs_funs_term_cases:
  assumes "t ∈ trmspairs (F ·pairs θ)" "f ∈ funs_term t"
  shows "(∃u ∈ trmspairs F. f ∈ funs_term u) ∨ (∃x ∈ fvpairs F. f ∈ funs_term (θ x))"
using assms(1)
proof (induction F)
  case (Cons g F)
  obtain s u where g: "g = (s,u)" by (metis surj_pair)
  show ?case
    proof (cases "t ∈ trmspairs (F ·pairs θ)")
      case False
      thus ?thesis
        using assms(2) Cons.preds g funs_term_subst[of _ θ]
    qed
  qed

```

### 3 The Typing Result for Non-Stateful Protocols

```

by (auto simp add: subst_apply_pairs_def)
qed (use Cons.IH in fastforce)
qed simp

lemma trmstp_subst:
assumes "subst_domain  $\vartheta \cap \text{set}(\text{bvars}_{\text{stp}} a) = \{\}$ "
shows "trmsstp (a ·stp  $\vartheta$ ) = trmsstp a ·set  $\vartheta$ "
proof -
have "rm_vars (\text{set}(\text{bvars}_{\text{stp}} a)) \mathcal{V} = \mathcal{V}" using assms by force
thus ?thesis
using assms
by (auto simp add: subst_apply_pairs_def subst_apply_step_def
split: strand_step.splits)
qed

lemma trmsst_subst:
assumes "subst_domain  $\vartheta \cap \text{bvars}_{\text{st}} A = \{\}$ "
shows "trmsst (A ·st  $\vartheta$ ) = trmsst A ·set  $\vartheta$ "
using assms
proof (induction A)
case (Cons a A)
have 1: "subst_domain  $\vartheta \cap \text{bvars}_{\text{st}} A = \{\}$ " "subst_domain  $\vartheta \cap \text{set}(\text{bvars}_{\text{stp}} a) = \{\}$ "
using Cons.prems by auto
hence IH: "trmsst A ·set  $\vartheta$  = trmsst (A ·st  $\vartheta$ )" using Cons.IH by simp

have "trmsst (a#A) = trmsstp a ∪ trmsst A" by auto
hence 2: "trmsst (a#A) ·set  $\vartheta$  = (trmsstp a ·set  $\vartheta$ ) ∪ (trmsst A ·set  $\vartheta$ )" by (metis image_Union)

have "trmsst (a#A ·st  $\vartheta$ ) = (trmsstp (a ·stp  $\vartheta$ )) ∪ trmsst (A ·st  $\vartheta$ )"
by (auto simp add: subst_apply_step_def)
hence 3: "trmsst (a#A ·st  $\vartheta$ ) = (trmsstp a ·set  $\vartheta$ ) ∪ trmsst (A ·st  $\vartheta$ )"
using trmstp_subst[OF 1(2)] by auto

show ?case using IH 2 3 by metis
qed (simp add: subst_apply_step_def)

lemma strand_map_set_subst:
assumes  $\delta$ : "bvars_{\text{st}} S \cap (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) = \{\}
shows " $\bigcup(\text{set}(\text{map} \text{trms}_{\text{stp}} (S ·_{\text{st}} \delta))) = (\bigcup(\text{set}(\text{map} \text{trms}_{\text{stp}} S))) ·_{\text{set}} \delta$ "
using assms
proof (induction S)
case (Cons x S)
hence "bvars_{\text{st}} [x] \cap \text{subst\_domain } \delta = \{\}" "bvars_{\text{st}} S \cap (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) = \{\}"
unfolding bvarsst_def by force+
hence *: "subst_domain \delta \cap \text{set}(\text{bvars}_{\text{stp}} x) = \{\}"
" $\bigcup(\text{set}(\text{map} \text{trms}_{\text{stp}} (S ·_{\text{st}} \delta))) = \bigcup(\text{set}(\text{map} \text{trms}_{\text{stp}} S)) ·_{\text{set}} \delta$ "
using Cons.IH(1) bvarsst_singleton[of x] by auto
hence "trmsstp (x ·stp \delta) = (trmsstp x) ·set \delta"
proof (cases x)
case (Inequality X F)
thus ?thesis
using rm_vars_apply'[of  $\delta$  "set X"] *
by (metis (no_types, lifting) image_cong trmstp_subst)
qed simp_all
thus ?case using * subst_all_insert by auto
qed simp

lemma subst_apply_fv_subset_strand_trm:
assumes P: "P = fvstp ∨ P = fvrcv ∨ P = fvsnd ∨ P = fveq ac ∨ P = fvineq"
and fvsub: "fv t ⊆ ∪(\text{set}(\text{map} P S)) ∪ V"
and  $\delta$ : "bvars_{\text{st}} S \cap (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) = \{\}
shows "fv (t ·  $\delta$ ) ⊆ ∪(\text{set}(\text{map} P (S ·_{\text{st}} \delta))) ∪ fv_{\text{set}} (\delta ^ V)"
using fvstrand_subst[OF P  $\delta$ ] subst_apply_fv_subset[OF fvsub, of  $\delta$ ] by force

```

```

lemma subst_apply_fv_subset_strand_trm2:
  assumes fv_sub: "fv t ⊆ wfrestrictedvarsst S ∪ V"
  and δ: "bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "fv (t · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
  using fv_strand_subst2[OF δ] subst_apply_fv_subset[OF fv_sub, of δ] by force

lemma subst_apply_fv_subset_strand:
  assumes P: "P = fvstp ∨ P = fvrcv ∨ P = fvsnd ∨ P = fveq ac ∨ P = fvineq"
  and P_subset: "P x ⊆ ∪(set (map P S)) ∪ V"
  and δ: "bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"
    "set (bvarsstp x) ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "P (x ·stp δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
proof (cases x)
  case (Send ts)
  hence *: "fvstp x = fvset (set ts)" "fvstp (x ·stp δ) = fvset (set ts ·set δ)"
    "fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
    "fvsnd x = fvset (set ts)" "fvsnd (x ·stp δ) = fvset (set ts ·set δ)"
    "fveq ac x = {}" "fveq ac (x ·stp δ) = {}"
    "fvineq x = {}" "fvineq (x ·stp δ) = {}"
  by auto
  hence **: "(P x = fvset (set ts) ∧ P (x ·stp δ) = fvset (set ts ·set δ)) ∨
             (P x = {} ∧ P (x ·stp δ) = {})"
  by (metis P)
  moreover
  { assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
  moreover
  { assume "P x = fvset (set ts)" "P (x ·stp δ) = fvset (set ts ·set δ)"
    hence "fvset (set ts) ⊆ ∪(set (map P S)) ∪ V" using P_subset by auto
    hence "fvset (set ts ·set δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
      using subst_apply_fv_subset_strand_trm[OF P _ assms(3), of _ V] by fastforce
    hence ?thesis using <P (x ·stp δ) = fvset (set ts ·set δ)> by force
  }
  ultimately show ?thesis by metis
next
  case (Receive ts)
  hence *: "fvstp x = fvset (set ts)" "fvstp (x ·stp δ) = fvset (set ts ·set δ)"
    "fvrcv x = fvset (set ts)" "fvrcv (x ·stp δ) = fvset (set ts ·set δ)"
    "fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
    "fveq ac x = {}" "fveq ac (x ·stp δ) = {}"
    "fvineq x = {}" "fvineq (x ·stp δ) = {}"
  by auto
  hence **: "(P x = fvset (set ts) ∧ P (x ·stp δ) = fvset (set ts ·set δ)) ∨
             (P x = {} ∧ P (x ·stp δ) = {})"
  by (metis P)
  moreover
  { assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
  moreover
  { assume "P x = fvset (set ts)" "P (x ·stp δ) = fvset (set ts ·set δ)"
    hence "fvset (set ts) ⊆ ∪(set (map P S)) ∪ V" using P_subset by auto
    hence "fvset (set ts ·set δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
      using subst_apply_fv_subset_strand_trm[OF P _ assms(3), of _ V] by fastforce
    hence ?thesis using <P (x ·stp δ) = fvset (set ts ·set δ)> by blast
  }
  ultimately show ?thesis by metis
next
  case (Equality ac' t t') show ?thesis
  proof (cases "ac' = ac")
    case True
    hence *: "fvstp x = fv t ∪ fv t'" "fvstp (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
      "fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
      "fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
      "fveq ac x = fv t ∪ fv t'" "fveq ac (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
      "fvineq x = {}" "fvineq (x ·stp δ) = {}"
  
```

```

"fvineq x = {}" "fvineq (x ·stp δ) = {}"
using Equality by auto
hence **: "(P x = fv t ∪ fv t' ∧ P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ))
           ∨ (P x = {}) ∧ P (x ·stp δ) = {})"
by (metis P)
moreover
{ assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
moreover
{ assume "P x = fv t ∪ fv t'" "P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
  hence "fv t ⊆ ∪(set (map P S)) ∪ V" "fv t' ⊆ ∪(set (map P S)) ∪ V" using P_subset by auto
  hence "fv (t · δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
  "fv (t' · δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
  using P_subst_apply_fv_subset_strand_trm assms by metis+
  hence ?thesis using <P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)> by blast
}
ultimately show ?thesis by metis
next
case False
hence *: "fvstp x = fv t ∪ fv t'" "fvstp (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
"fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
"fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
"fveq ac x = {}" "fveq ac (x ·stp δ) = {}"
"fvineq x = {}" "fvineq (x ·stp δ) = {}"
using Equality by auto
hence **: "(P x = fv t ∪ fv t' ∧ P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ))
           ∨ (P x = {}) ∧ P (x ·stp δ) = {})"
by (metis P)
moreover
{ assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
moreover
{ assume "P x = fv t ∪ fv t'" "P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
  hence "fv t ⊆ ∪(set (map P S)) ∪ V" "fv t' ⊆ ∪(set (map P S)) ∪ V" using P_subset by auto
  hence "fv (t · δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
  "fv (t' · δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` V)"
  using P_subst_apply_fv_subset_strand_trm assms by metis+
  hence ?thesis using <P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)> by blast
}
ultimately show ?thesis by metis
qed
next
case (Inequality X F)
hence *: "fvstp x = fvpairs F - set X" "fvstp (x ·stp δ) = fvpairs (F ·pairs δ) - set X"
"fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
"fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
"fveq ac x = {}" "fveq ac (x ·stp δ) = {}"
"fvineq x = fvpairs F - set X"
"fvineq (x ·stp δ) = fvpairs (F ·pairs δ) - set X"
using δ(2) ineq_apply_subst[of δ X F] by force+
hence **: "(P x = fvpairs F - set X ∧ P (x ·stp δ) = fvpairs (F ·pairs δ) - set X)
           ∨ (P x = {}) ∧ P (x ·stp δ) = {})"
by (metis P)
moreover
{ assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
moreover
{ assume "P x = fvpairs F - set X" "P (x ·stp δ) = fvpairs (F ·pairs δ) - set X"
  hence "fvpairs F - set X ⊆ ∪(set (map P S)) ∪ V"
  using P_subset by auto
  hence "fvpairs (F ·pairs δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` (V ∪ set X))"
  proof (induction F)
    case (Cons f G)
    hence IH: "fvpairs (G ·pairs δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fvset (δ ` (V ∪ set X))"
    by (metis (no_types, lifting) Diff_subset_conv UN_insert le_sup_iff
        list.simps(15) fvpairs.simps)
  
```

```

obtain t t' where f: "f = (t,t')" by (metis surj_pair)
hence "fv t ⊆ ∪(set (map P S)) ∪ (V ∪ set X)" "fv t' ⊆ ∪(set (map P S)) ∪ (V ∪ set X)"
using Cons.preds by auto
hence "fv (t · δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fv_set (δ ` (V ∪ set X))"
"fv (t' · δ) ⊆ ∪(set (map P (S ·st δ))) ∪ fv_set (δ ` (V ∪ set X))"
using subst_apply_fv_subset_strand_trm[OF P _ assms(3)]
by blast+
thus ?case using f IH by (auto simp add: subst_apply_pairs_def)
qed (simp add: subst_apply_pairs_def)
moreover have "fv_set (δ ` set X) = set X" using assms(4) Inequality by force
ultimately have "fv_pairs (F ·pairs δ) - set X ⊆ ∪(set (map P (S ·st δ))) ∪ fv_set (δ ` V)"
by auto
hence ?thesis using <P (x ·stp δ) = fv_pairs (F ·pairs δ) - set X> by blast
}
ultimately show ?thesis by metis
qed

lemma subst_apply_fv_subset_strand2:
assumes P: "P = fv_stp ∨ P = fv_rcv ∨ P = fv_snd ∨ P = fv_eq ac ∨ P = fv_ineq ∨ P = fv_req ac"
and P_subset: "P x ⊆ wfrestrictedvars_st S ∪ V"
and δ: "bvars_st S ∩ (subst_domain δ ∪ range_vars δ) = {}"
"set (bvars_st x) ∩ (subst_domain δ ∪ range_vars δ) = {}"
shows "P (x ·stp δ) ⊆ wfrestrictedvars_st (S ·st δ) ∪ fv_set (δ ` V)"
proof (cases x)
case (Send ts)
hence *: "fv_stp x = fv_set (set ts)" "fv_stp (x ·stp δ) = fv_set (set ts ·set δ)"
"fv_rcv x = {}" "fv_rcv (x ·stp δ) = {}"
"fv_snd x = fv_set (set ts)" "fv_snd (x ·stp δ) = fv_set (set ts ·set δ)"
"fv_eq ac x = {}" "fv_eq ac (x ·stp δ) = {}"
"fv_ineq x = {}" "fv_ineq (x ·stp δ) = {}"
"fv_req ac x = {}" "fv_req ac (x ·stp δ) = {}"
by auto
hence **: "(P x = fv_set (set ts) ∧ P (x ·stp δ) = fv_set (set ts ·set δ)) ∨ (P x = {} ∧ P (x ·stp δ) = {})" by (metis P)
moreover
{ assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
moreover
{ assume "P x = fv_set (set ts)" "P (x ·stp δ) = fv_set (set ts ·set δ)"
hence "fv_set (set ts) ⊆ wfrestrictedvars_st S ∪ V" using P_subset by auto
hence "fv_set (set ts ·set δ) ⊆ wfrestrictedvars_st (S ·st δ) ∪ fv_set (δ ` V)"
using subst_apply_fv_subset_strand_trm2[OF _ assms(3), of _ V] by fastforce
hence ?thesis using <P (x ·stp δ) = fv_set (set ts ·set δ)> by blast
}
ultimately show ?thesis by metis
next
case (Receive ts)
hence *: "fv_stp x = fv_set (set ts)" "fv_stp (x ·stp δ) = fv_set (set ts ·set δ)"
"fv_rcv x = fv_set (set ts)" "fv_rcv (x ·stp δ) = fv_set (set ts ·set δ)"
"fv_snd x = {}" "fv_snd (x ·stp δ) = {}"
"fv_eq ac x = {}" "fv_eq ac (x ·stp δ) = {}"
"fv_ineq x = {}" "fv_ineq (x ·stp δ) = {}"
"fv_req ac x = {}" "fv_req ac (x ·stp δ) = {}"
by auto
hence **: "(P x = fv_set (set ts) ∧ P (x ·stp δ) = fv_set (set ts ·set δ)) ∨
(P x = {} ∧ P (x ·stp δ) = {})"
by (metis P)
moreover
{ assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
moreover
{ assume "P x = fv_set (set ts)" "P (x ·stp δ) = fv_set (set ts ·set δ)"
hence "fv_set (set ts) ⊆ wfrestrictedvars_st S ∪ V" using P_subset by auto
hence "fv_set (set ts ·set δ) ⊆ wfrestrictedvars_st (S ·st δ) ∪ fv_set (δ ` V)"
using subst_apply_fv_subset_strand_trm2[OF _ assms(3), of _ V] by fastforce
}

```

```

hence ?thesis using <P (x ·stp δ) = fvset (set ts ·set δ)> by blast
}
ultimately show ?thesis by metis
next
  case (Equality ac' t t') show ?thesis
  proof (cases "ac' = ac")
    case True
      hence *: "fvstp x = fv t ∪ fv t'" "fvstp (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
        "fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
        "fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
        "fveq ac x = fv t ∪ fv t'" "fveq ac (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
        "fvineq x = {}" "fvineq (x ·stp δ) = {}"
        "fvreq ac x = fv t'" "fvreq ac (x ·stp δ) = fv (t' · δ)"
      using Equality by auto
      hence **: "(P x = fv t ∪ fv t' ∧ P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ))
        ∨ (P x = {} ∧ P (x ·stp δ) = {})
        ∨ (P x = fv t' ∧ P (x ·stp δ) = fv (t' · δ))"

      by (metis P)
      moreover
      { assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
      moreover
      { assume "P x = fv t ∪ fv t'" "P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
        hence "fv t ⊆ wfrestrictedvarsst S ∪ V" "fv t' ⊆ wfrestrictedvarsst S ∪ V" using P_subset by
      auto
        hence "fv (t · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
          "fv (t' · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
        using P_subst_apply_fv_subset_strand_trm2 assms by blast+
        hence ?thesis using <P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)> by blast
      }
      moreover
      { assume "P x = fv t'" "P (x ·stp δ) = fv (t' · δ)"
        hence "fv t' ⊆ wfrestrictedvarsst S ∪ V" using P_subset by auto
        hence "fv (t' · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
        using P_subst_apply_fv_subset_strand_trm2 assms by blast+
        hence ?thesis using <P (x ·stp δ) = fv (t' · δ)> by blast
      }
      ultimately show ?thesis by metis
    next
      case False
      hence *: "fvstp x = fv t ∪ fv t'" "fvstp (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
        "fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
        "fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
        "fveq ac x = {}" "fveq ac (x ·stp δ) = {}"
        "fvineq x = {}" "fvineq (x ·stp δ) = {}"
        "fvreq ac x = {}" "fvreq ac (x ·stp δ) = {}"
      using Equality by auto
      hence **: "(P x = fv t ∪ fv t' ∧ P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ))
        ∨ (P x = {} ∧ P (x ·stp δ) = {})
        ∨ (P x = fv t' ∧ P (x ·stp δ) = fv (t' · δ))"

      by (metis P)
      moreover
      { assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
      moreover
      { assume "P x = fv t ∪ fv t'" "P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)"
        hence "fv t ⊆ wfrestrictedvarsst S ∪ V" "fv t' ⊆ wfrestrictedvarsst S ∪ V"
        using P_subset by auto
        hence "fv (t · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
          "fv (t' · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
        using P_subst_apply_fv_subset_strand_trm2 assms by blast+
        hence ?thesis using <P (x ·stp δ) = fv (t · δ) ∪ fv (t' · δ)> by blast
      }
      moreover
      { assume "P x = fv t'" "P (x ·stp δ) = fv (t' · δ)"
        hence "fv t' ⊆ wfrestrictedvarsst S ∪ V" using P_subset by auto
        hence "fv (t' · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
        using P_subst_apply_fv_subset_strand_trm2 assms by blast+
        hence ?thesis using <P (x ·stp δ) = fv (t' · δ)> by blast
      }
    
```

```

hence "fv t' ⊆ wfrestrictedvarsst S ∪ V" using P_subset by auto
hence "fv (t' · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
  using P_subst_apply_fv_subset_strand_trm2 assms by blast+
hence ?thesis using <P (x ·stp δ) = fv (t' · δ)> by blast
}
ultimately show ?thesis by metis
qed
next
case (Inequality X F)
hence *: "fvstp x = fvpairs F - set X" "fvstp (x ·stp δ) = fvpairs (F ·pairs δ) - set X"
  "fvrcv x = {}" "fvrcv (x ·stp δ) = {}"
  "fvsnd x = {}" "fvsnd (x ·stp δ) = {}"
  "fveq ac x = {}" "fveq ac (x ·stp δ) = {}"
  "fvineq x = fvpairs F - set X" "fvineq (x ·stp δ) = fvpairs (F ·pairs δ) - set X"
  "fvreq ac x = {}" "fvreq ac (x ·stp δ) = {}"
using δ(2) ineq_apply_subst[of δ X F] by force+
hence **: "(P x = fvpairs F - set X ∧ P (x ·stp δ) = fvpairs (F ·pairs δ) - set X)
  ∨ (P x = {} ∧ P (x ·stp δ) = {})"
by (metis P)
moreover
{ assume "P x = {}" "P (x ·stp δ) = {}" hence ?thesis by simp }
moreover
{ assume "P x = fvpairs F - set X" "P (x ·stp δ) = fvpairs (F ·pairs δ) - set X"
  hence "fvpairs F - set X ⊆ wfrestrictedvarsst S ∪ V" using P_subset by auto
  hence "fvpairs (F ·pairs δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` (V ∪ set X))"
  proof (induction F)
    case (Cons f G)
    hence IH: "fvpairs (G ·pairs δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` (V ∪ set X))"
      by (metis (no_types, lifting) Diff_subset_conv UN_insert le_sup_iff
          list.simps(15) fvpairs.simps)
    obtain t t' where f: "f = (t, t')" by (metis surj_pair)
    hence "fv t ⊆ wfrestrictedvarsst S ∪ (V ∪ set X)" "fv t' ⊆ wfrestrictedvarsst S ∪ (V ∪ set X)"
      using Cons.preds by auto
    hence "fv (t · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` (V ∪ set X))"
      "fv (t' · δ) ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` (V ∪ set X))"
      using subst_apply_fv_subset_strand_trm2[OF _ assms(3)] P
      by blast+
    thus ?case using f IH by (auto simp add: subst_apply_pairs_def)
  qed (simp add: subst_apply_pairs_def)
  moreover have "fvset (δ ` set X) = set X" using assms(4) Inequality by force
  ultimately have "fvpairs (F ·pairs δ) - set X ⊆ wfrestrictedvarsst (S ·st δ) ∪ fvset (δ ` V)"
    by fastforce
  hence ?thesis using <P (x ·stp δ) = fvpairs (F ·pairs δ) - set X> by blast
}
ultimately show ?thesis by metis
qed

lemma strand_subst_fv_bounded_if_img_bounded:
assumes "range_vars δ ⊆ fvst S"
shows "fvst (S ·st δ) ⊆ fvst S"
using subst_sends_strand_fv_to_img[of S δ] assms by blast

lemma strand_fv_subst_subset_if_subst_elim:
assumes "subst_elim δ v" and "v ∈ fvst S ∨ bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"
shows "v ∉ fvst (S ·st δ)"
proof (cases "v ∈ fvst S")
  case True thus ?thesis
  proof (induction S)
    case (Cons x S)
    have *: "v ∉ fvstp (x ·stp δ)"
      using assms(1)
    proof (cases x)
      case (Inequality X F)

```

### 3 The Typing Result for Non-Stateful Protocols

```

hence "subst_elim (rm_vars (set X) δ) v ∨ v ∈ set X" using assms(1) by blast
moreover have "fvstp (Inequality X F ·stp δ) = fvpairs (F ·pairs rm_vars (set X) δ) - set X"
  using Inequality by auto
ultimately have "v ∉ fvstp (Inequality X F ·stp δ)"
  by (induct F) (auto simp add: subst_elim_def subst_apply_pairs_def)
thus ?thesis using Inequality by simp
qed (simp_all add: subst_elim_def)
moreover have "v ∉ fvst (S ·st δ)" using Cons.IH
proof (cases "v ∈ fvst S")
  case False
  moreover have "v ∉ range_vars δ"
    by (simp add: subst_elimD'[OF assms(1)] range_vars_alt_def)
  ultimately show ?thesis by (meson UnE subsetCE subst_sends_strand_fv_to_img)
qed simp
ultimately show ?case by auto
qed simp
next
  case False
  thus ?thesis
    using assms fv_strand_subst'
    unfolding subst_elim_def
    by (metis (mono_tags, opaque_lifting) fvset.simp simps imageE mem.simps(8) eval_term.simps(1))
qed

```

**lemma strand\_fv\_subst\_subset\_if\_subst\_elim':**

```

assumes "subst_elim δ v" "v ∈ fvst S" "range_vars δ ⊆ fvst S"
shows "fvst (S ·st δ) ⊂ fvst S"
using strand_fv_subst_subset_if_subst_elim[OF assms(1)] assms(2)
strand_subst_fv_bounded_if_img_bounded[OF assms(3)]
by blast

```

**lemma fv\_ik\_is\_fv\_rcv:** "fv<sub>set</sub> (ik<sub>st</sub> S) = ∪ (set (map fv<sub>rcv</sub> S))"

```

by (induct S rule: ikst.induct) auto

```

**lemma fv\_ik\_subset\_fv\_st[simp]:** "fv<sub>set</sub> (ik<sub>st</sub> S) ⊆ wfrestrictedvars<sub>st</sub> S"

```

by (induct S rule: ikst.induct) auto

```

**lemma fv\_assignment\_rhs\_subset\_fv\_st[simp]:** "fv<sub>set</sub> (assignment\_rhs<sub>st</sub> S) ⊆ wfrestrictedvars<sub>st</sub> S"

```

by (induct S rule: assignment_rhsst.induct) force+

```

**lemma fv\_ik\_subset\_fv\_st'[simp]:** "fv<sub>set</sub> (ik<sub>st</sub> S) ⊆ fv<sub>st</sub> S"

```

by (induct S rule: ikst.induct) auto

```

**lemma ik<sub>st</sub>\_var\_is\_fv:** "Var x ∈ subterms<sub>set</sub> (ik<sub>st</sub> A) ⇒ x ∈ fv<sub>st</sub> A"

```

by (meson fv_ik_subset_fv_st'[of A] fv_subset_subterms subsetCE term.set_intro(3))

```

**lemma fv\_assignment\_rhs\_subset\_fv\_st'[simp]:** "fv<sub>set</sub> (assignment\_rhs<sub>st</sub> S) ⊆ fv<sub>st</sub> S"

```

by (induct S rule: assignment_rhsst.induct) auto

```

**lemma ik<sub>st</sub>\_assignment\_rhs<sub>st</sub>\_wfrestrictedvars\_subset:**

```

"fvset (ikst A ∪ assignment_rhsst A) ⊆ wfrestrictedvarsst A"
using fv_ik_subset_fv_st[of A] fv_assignment_rhs_subset_fv_st[of A]
by simp+

```

**lemma strand\_step\_id\_subst[iff]:** "x ·<sub>stp</sub> Var = x" by (cases x) auto

**lemma strand\_id\_subst[iff]:** "S ·<sub>st</sub> Var = S" using strand\_step\_id\_subst by (induct S) auto

**lemma strand\_subst\_vars\_union\_bound[simp]:** "vars<sub>st</sub> (S ·<sub>st</sub> δ) ⊆ vars<sub>st</sub> S ∪ range\_vars δ"

```

proof (induction S)
  case (Cons x S)
  moreover have "varsstp (x ·stp δ) ⊆ varsstp x ∪ range_vars δ" using subst_sends_fv_to_img[of _ δ]
  proof (cases x)

```

```

case (Inequality X F)
define δ' where "δ' ≡ rm_vars (set X) δ"
have 0: "range_vars δ' ⊆ range_vars δ"
  using rm_vars_img[of "set X" δ]
  by (auto simp add: δ'_def subst_domain_def range_vars_alt_def)

have "vars_stp (x ·stp δ) = fv_pairs (F ·pairs δ') ∪ set X" "vars_stp x = fv_pairs F ∪ set X"
  using Inequality by (auto simp add: δ'_def)
moreover have "fv_pairs (F ·pairs δ') ⊆ fv_pairs F ∪ range_vars δ"
proof (induction F)
  case (Cons f G)
    obtain t t' where f: "f = (t, t')" by atomize_elim auto
    hence "fv_pairs (f#G ·pairs δ') = fv (t · δ') ∪ fv (t' · δ') ∪ fv_pairs (G ·pairs δ')"
      "fv_pairs (f#G) = fv t ∪ fv t' ∪ fv_pairs G"
      by (auto simp add: subst_apply_pairs_def)
    thus ?case
      using 0 Cons.IH subst_sends_fv_to_img[of t δ'] subst_sends_fv_to_img[of t' δ']
      unfolding f by auto
    qed (simp add: subst_apply_pairs_def)
    ultimately show ?thesis by auto
qed auto
ultimately show ?case by auto
qed simp

lemma strand_vars_split:
  "vars_st (S@S') = vars_st S ∪ vars_st S''"
  "wfrestrictedvars_st (S@S') = wfrestrictedvars_st S ∪ wfrestrictedvars_st S''"
  "fv_st (S@S') = fv_st S ∪ fv_st S''"
by auto

lemma bvars_subst_iden: "bvars_st S = bvars_st (S ·st δ)"
unfolding bvars_st_def
by (induct S) (simp_all add: subst_apply_strand_step_def split: strand_step.splits)

lemma strand_subst_subst_idem:
  assumes "subst_idem δ" "subst_domain δ ∪ range_vars δ ⊆ fv_st S" "subst_domain δ ∩ fv_st S = {}"
    "range_vars δ ∩ bvars_st S = {}" "range_vars δ ∩ bvars_st S = {}"
  shows "(S ·st δ) ·st δ = (S ·st δ)"
  and "(S ·st δ) ·st (δ ∘_s δ) = (S ·st δ)"
proof -
  from assms(2,3) have "fv_st (S ·st δ) ∩ subst_domain δ = {}"
    using subst_sends_strand_fv_to_img[of S δ] by blast
  thus "(S ·st δ) ·st δ = (S ·st δ)" by blast
  thus "(S ·st δ) ·st (δ ∘_s δ) = (S ·st δ)"
    by (metis assms(1,4,5) bvars_subst_iden strand_subst_comp subst_idem_def)
qed

lemma strand_subst_img_bound:
  assumes "subst_domain δ ∪ range_vars δ ⊆ fv_st S"
    and "(subst_domain δ ∪ range_vars δ) ∩ bvars_st S = {}"
  shows "range_vars δ ⊆ fv_st (S ·st δ)"
proof -
  have "subst_domain δ ⊆ ∪ (set (map fv_stp S))" by (metis (no_types) fv_st_def Un_subset_iff assms(1))
  thus ?thesis
    unfolding range_vars_alt_def fv_st_def
    by (metis subst_range.simps fv_set_mono fv_strand_subst Int_commute assms(2) image_Un_le_iff_sup)
qed

lemma strand_subst_img_bound':
  assumes "subst_domain δ ∪ range_vars δ ⊆ vars_st S"
    and "(subst_domain δ ∪ range_vars δ) ∩ bvars_st S = {}"
  shows "range_vars δ ⊆ vars_st (S ·st δ)"

```

```

proof -
have "(subst_domain δ ∪ fv_set (δ ` subst_domain δ)) ∩ vars_st S =
       subst_domain δ ∪ fv_set (δ ` subst_domain δ)"
using assms(1) by (metis inf.absorb_iff1 range_vars_alt_def subst_range.simps)
hence "range_vars δ ⊆ fv_st (S ·st δ)"
using vars_snd_rcv_strand fv_snd_rcv_strand assms(2) strand_subst_img_bound
unfolding range_vars_alt_def
by (metis (no_types) inf_le2 inf_sup_distrib1 subst_range.simps sup_bot.right_neutral)
thus "range_vars δ ⊆ vars_st (S ·st δ)"
by (metis fv_snd_rcv_strand le_supI1 vars_snd_rcv_strand)
qed

lemma strand_subst_all_fv_subset:
assumes "fv t ⊆ fv_st S" "(subst_domain δ ∪ range_vars δ) ∩ bvars_st S = {}"
shows "fv (t · δ) ⊆ fv_st (S ·st δ)"
using assms by (metis fv_strand_subst' Int_commute subst_apply_fv_subset)

lemma strand_subst_not_dom_fixed:
assumes "v ∈ fv_st S" and "v ∉ subst_domain δ"
shows "v ∈ fv_st (S ·st δ)"
using assms
proof (induction S)
case (Cons x S')
have 1: "¬(v ∈ subst_domain (rm_vars (set X) δ))"
using Cons.prems(2) rm_vars_dom_subset by force

show ?case
proof (cases "v ∈ fv_st S'")
case True thus ?thesis using Cons.IH[OF _ Cons.prems(2)] by auto
next
case False
hence 2: "v ∈ fv_st x" using Cons.prems(1) by simp
hence "v ∈ fv_st (x ·st δ)" using Cons.prems(2) subst_not_dom_fixed
proof (cases x)
case (Inequality X F)
hence "v ∈ fv_pairs F - set X" using 2 by simp
hence "v ∈ fv_pairs (F ·pairs rm_vars (set X) δ)"
using subst_not_dom_fixed[OF _ 1]
by (induct F) (auto simp add: subst_apply_pairs_def)
thus ?thesis using Inequality 2 by auto
qed (force simp add: subst_domain_def)+
thus ?thesis by auto
qed
qed simp

lemma strand_vars_unfold: "v ∈ vars_st S ⟹ ∃S' x S''. S = S'@x#S'' ∧ v ∈ vars_st x"
proof (induction S)
case (Cons x S) thus ?case
proof (cases "v ∈ vars_st x")
case True thus ?thesis by blast
next
case False
hence "v ∈ vars_st S" using Cons.prems by auto
thus ?thesis using Cons.IH by (metis append_Cons)
qed
qed simp

lemma strand_fv_unfold: "v ∈ fv_st S ⟹ ∃S' x S''. S = S'@x#S'' ∧ v ∈ fv_st x"
proof (induction S)
case (Cons x S) thus ?case
proof (cases "v ∈ fv_st x")
case True thus ?thesis by blast
next

```



### 3 The Typing Result for Non-Stateful Protocols

```

 $(\exists X F. x = \text{Inequality } X F \wedge (\nexists \mathcal{I}. \text{ineq\_model } \mathcal{I} X F))$ 
using assms by (cases x) (fastforce elim: simplestp.elims)+

lemma not_simple_elim:
  assumes "\neg simple S"
  shows "(\exists A B ts. S = A @ Send ts # B \wedge (\nexists x. ts = [Var x]) \wedge simple A) \vee
         (\exists A B a t t'. S = A @ Equality a t t' # B \wedge simple A) \vee
         (\exists A B X F. S = A @ Inequality X F # B \wedge (\nexists \mathcal{I}. \text{ineq\_model } \mathcal{I} X F) \wedge simple A)"
by (metis assms not_list_all_elim not_simplestp_elim simple_def)

lemma simple_snd_is_var: "[Send ts \in set S; simple S] \implies \exists v. ts = [Var v]"
unfolding simple_def
by (metis list_all_append list_all_simp(1) simplestp.elims(2) split_list_first
      strand_step.distinct(1) strand_step.distinct(5) strand_step.inject(1))

```

#### 3.1.4 Lemmata: Strand Measure

```

lemma measurest_wellfounded: "wf measurest" unfolding measurest_def by simp

lemma strand_size_append[iff]: "sizest (S @ S') = sizest S + sizest S'"
by (induct S) (auto simp add: sizest_def)

lemma strand_size_map_fun_lt[simp]:
  "sizest (map Send1 X) < size (Fun f X)"
  "sizest (map Send1 X) < sizest [Send [Fun f X]]"
  "sizest (map Receive1 X) < sizest [Receive [Fun f X]]"
  "sizest [Send X] < sizest [Send [Fun f X]]"
  "sizest [Receive X] < sizest [Receive [Fun f X]]"
by (induct X) (auto simp add: sizest_def)

lemma strand_size_rm_fun_lt[simp]:
  "sizest (S @ S') < sizest (S @ Send ts # S')"
  "sizest (S @ S') < sizest (S @ Receive ts # S')"
by (induct S) (auto simp add: sizest_def)

lemma strand_fv_card_map_fun_eq:
  "card (fvst (S @ Send [Fun f X] # S')) = card (fvst (S @ (map Send1 X) @ S'))"
proof -
  have "fvst (S @ Send [Fun f X] # S') = fvst (S @ (map Send1 X) @ S')" by auto
  thus ?thesis by simp
qed

lemma strand_fv_card_rm_fun_le[simp]: "card (fvst (S @ S')) \leq card (fvst (S @ Send [Fun f X] # S'))"
by (force intro: card_mono)

lemma strand_fv_card_rm_eq_le[simp]: "card (fvst (S @ S')) \leq card (fvst (S @ Equality a t t' # S'))"
by (force intro: card_mono)

```

#### 3.1.5 Lemmata: Well-formed Strands

```

lemma wf_prefix[dest]: "wfst V (S @ S') \implies wfst V S"
by (induct S rule: wfst.induct) auto

lemma wf_vars_mono[simp]: "wfst V S \implies wfst (V \cup W) S"
proof (induction S arbitrary: V)
  case (Cons x S) thus ?case
    proof (cases x)
      case (Send ts)
        hence "wfst (V \cup fvset (set ts) \cup W) S" using Cons.prems(1) Cons.IH by simp
        thus ?thesis by (metis Send sup_assoc sup_commute wfst.simp(3))
    next
      case (Equality a t t')
      show ?thesis
    qed
  qed

```

```

proof (cases a)
  case Assign
    hence "wfst (V ∪ fv t ∪ W) S" "fv t' ⊆ V ∪ W" using Equality Cons.prems(1) Cons.IH by auto
    thus ?thesis using Equality Assign by (simp add: sup_commute sup_left_commute)
  next
    case Check thus ?thesis using Equality Cons by auto
  qed
qed auto
qed simp

lemma wfstI[intro]: "wfrestrictedvarsst S ⊆ V ⟹ wfst V S"
proof (induction S)
  case (Cons x S) thus ?case
    proof (cases x)
      case (Send ts)
        hence "wfst V S" "V ∪ fvset (set ts) = V" using Cons by auto
        thus ?thesis using Send by simp
    next
      case (Equality a t t')
        show ?thesis
        proof (cases a)
          case Assign
            hence "wfst V S" "fv t' ⊆ V" using Equality Cons by auto
            thus ?thesis using wf_vars_mono Equality Assign by simp
        next
          case Check thus ?thesis using Equality Cons by auto
        qed
      qed simp_all
    qed simp
  qed simp

lemma wfstI'[intro]: "⋃ (fvrecv ` set S) ∪ ⋃ (fvreq assign ` set S) ⊆ V ⟹ wfst V S"
proof (induction S)
  case (Cons x S) thus ?case
    proof (cases x)
      case (Equality a t t') thus ?thesis using Cons by (cases a) auto
    qed simp_all
  qed simp

lemma wf_append_exec: "wfst V (S@S') ⟹ wfst (V ∪ wfvarsoccst S) S''"
proof (induction S arbitrary: V)
  case (Cons x S V) thus ?case
    proof (cases x)
      case (Send ts)
        hence "wfst (V ∪ fvset (set ts) ∪ wfvarsoccst S) S'" using Cons.prems Cons.IH by simp
        thus ?thesis using Send by (auto simp add: sup_assoc)
    next
      case (Equality a t t') show ?thesis
      proof (cases a)
        case Assign
          hence "wfst (V ∪ fv t ∪ wfvarsoccst S) S'" using Equality Cons.prems Cons.IH by auto
          thus ?thesis using Equality Assign by (auto simp add: sup_assoc)
      next
        case Check
          hence "wfst (V ∪ wfvarsoccst S) S'" using Equality Cons.prems Cons.IH by auto
          thus ?thesis using Equality Check by (auto simp add: sup_assoc)
      qed
    qed auto
  qed simp
  qed simp

lemma wf_append_suffix:
  "wfst V S ⟹ wfrestrictedvarsst S' ⊆ wfrestrictedvarsst S ∪ V ⟹ wfst V (S@S')"
proof (induction V S rule: wfst_induct)
  case (ConsSnd V ts S)

```

```

hence *: "wfst (V ∪ fvset (set ts)) S" by simp_all
hence "wfrestrictedvarsst S' ⊆ wfrestrictedvarsst S ∪ (V ∪ fvset (set ts))"
  using ConsSnd.prem(2) by fastforce
  thus ?case using ConsSnd.IH * by simp
next
  case (ConsRcv V ts S)
  hence *: "fvset (set ts) ⊆ V" "wfst V S" by simp_all
  hence "wfrestrictedvarsst S' ⊆ wfrestrictedvarsst S ∪ V"
    using ConsRcv.prem(2) by fastforce
    thus ?case using ConsRcv.IH * by simp
next
  case (ConsEq V t t' S)
  hence *: "fv t' ⊆ V" "wfst (V ∪ fv t) S" by simp_all
  moreover have "varsstp (Equality Assign t t') = fv t ∪ fv t''"
    by simp
  moreover have "wfrestrictedvarsst (Equality Assign t t'#S) = fv t ∪ fv t' ∪ wfrestrictedvarsst S"
    by auto
  ultimately have "wfrestrictedvarsst S' ⊆ wfrestrictedvarsst S ∪ (V ∪ fv t)"
    using ConsEq.prem(2) by blast
    thus ?case using ConsEq.IH * by simp
qed (simp_all add: wfstI)

lemma wf_append_suffix':
  assumes "wfst V S"
  and "⋃(fvrcv ` set S') ∪ ⋃(fvreq assign ` set S') ⊆ wfvarsoccst S ∪ V"
  shows "wfst V (S@S')"
using assms
proof (induction V S rule: wfst_induct)
  case (ConsSnd V ts S)
  hence *: "wfst (V ∪ fvset (set ts)) S" by simp_all
  have "wfvarsoccst (send(ts)st#S) = fvset (set ts) ∪ wfvarsoccst S"
    unfolding wfvarsoccst_def by simp
  hence "(⋃a∈set S'. fvrcv a) ∪ (⋃a∈set S'. fvreq assign a) ⊆ wfvarsoccst S ∪ (V ∪ fvset (set ts))"
    using ConsSnd.prem(2) unfolding wfvarsoccst_def by auto
    thus ?case using ConsSnd.IH[OF *] by auto
next
  case (ConsEq V t t' S)
  hence *: "fv t' ⊆ V" "wfst (V ∪ fv t) S" by simp_all
  have "wfvarsoccst (assign: t ≡ t'st#S) = fv t ∪ wfvarsoccst S"
    unfolding wfvarsoccst_def by simp
  hence "(⋃a∈set S'. fvrcv a) ∪ (⋃a∈set S'. fvreq assign a) ⊆ wfvarsoccst S ∪ (V ∪ fv t)"
    using ConsEq.prem(2) unfolding wfvarsoccst_def by auto
    thus ?case using ConsEq.IH[OF *(2)] *(1) by auto
qed (auto simp add: wfstI')

lemma wf_send_compose: "wfst V (S@(map Send1 X)@S') = wfst V (S@Send1 (Fun f X)#S')"
proof (induction S arbitrary: V)
  case Nil thus ?case
  proof (induction X arbitrary: V)
    case (Cons y Y) thus ?case by (simp add: sup_assoc)
  qed simp
next
  case (Cons s S) thus ?case
  proof (cases s)
    case (Equality ac t t') thus ?thesis using Cons by (cases ac) auto
  qed auto
qed

lemma wf_snd_append[iff]: "wfst V (S@[Send t]) = wfst V S"
by (induct S rule: wfst.induct) simp_all

lemma wf_snd_append': "wfst V S ==> wfst V (Send t#S)"

```

```

by simp

lemma wf_rcv_append[dest]: "wfst V (S@Receive t#S')  $\implies$  wfst V (S#S')"
by (induct S rule: wfst.induct) simp_all

lemma wf_rcv_append'[intro]:
  " $\llbracket \text{wf}_{\text{st}} V (S#S'); \text{fv}_{\text{set}} (\text{set } ts) \subseteq \text{wfrestrictedvars}_{\text{st}} S \cup V \rrbracket \implies \text{wf}_{\text{st}} V (S@Receive ts#S')$ "
proof (induction S rule: wfst_induct)
  case (ConsRcv V t' S)
  hence "wfst V (S#S')" "fvset (set ts) ⊆ wfrestrictedvarsst S ∪ V" by (simp, fastforce)
  thus ?case using ConsRcv by auto
next
  case (ConsEq V t' t'' S)
  hence "fv t'' ⊆ V" by simp
  moreover have "wfrestrictedvarsst (Equality Assign t' t''#S) = fv t' ∪ fv t'' ∪ wfrestrictedvarsst S"
    by auto
  ultimately have "fvset (set ts) ⊆ wfrestrictedvarsst S ∪ (V ∪ fv t')"
    using ConsEq.prems(2) by blast
  thus ?case using ConsEq by auto
qed auto

lemma wf_rcv_append''[intro]:
  " $\llbracket \text{wf}_{\text{st}} V S; \text{fv}_{\text{set}} (\text{set } ts) \subseteq \bigcup (\text{set} (\text{map } \text{fv}_{\text{snd}} S)) \rrbracket \implies \text{wf}_{\text{st}} V (S@[Receive ts])$ "
by (induct S)
  (simp, metis vars_snd_rcv_strand_subset2(1) append_Nil2 le_supI1 order_trans wf_rcv_append')

lemma wf_rcv_append'''[intro]:
  " $\llbracket \text{wf}_{\text{st}} V S; \text{fv}_{\text{set}} (\text{set } ts) \subseteq \text{wfrestrictedvars}_{\text{st}} S \cup V \rrbracket \implies \text{wf}_{\text{st}} V (S@[Receive ts])$ "
by (simp add: wf_rcv_append'[of _ _ "[]"])

lemma wf_eq_append[dest]:
  " $\text{wf}_{\text{st}} V (S@\text{Equality a t t}'#S') \implies \text{fv } t \subseteq \text{wfrestrictedvars}_{\text{st}} S \cup V \implies \text{wf}_{\text{st}} V (S#S')$ "
proof (induction S rule: wfst_induct)
  case (Nil V)
  hence "wfst (V ∪ fv t) S'" by (cases a) auto
  moreover have "V ∪ fv t = V" using Nil by auto
  ultimately show ?case by simp
next
  case (ConsRcv V us S)
  hence "wfst V (S @ Equality a t t' # S')" "fv t ⊆ wfrestrictedvarsst S ∪ V" "fvset (set us) ⊆ V"
    by fastforce+
  hence "wfst V (S#S')" using ConsRcv.IH by auto
  thus ?case using <fvset (set us) ⊆ V> by simp
next
  case (ConsEq V u u' S)
  hence "wfst (V ∪ fv u) (S@Equality a t t'#S')" "fv t ⊆ wfrestrictedvarsst S ∪ (V ∪ fv u)" "fv u' ⊆ V"
    by auto
  hence "wfst (V ∪ fv u) (S#S')" using ConsEq.IH by auto
  thus ?case using <fv u' ⊆ V> by simp
qed auto

lemma wf_eq_append'[intro]:
  " $\llbracket \text{wf}_{\text{st}} V (S#S'); \text{fv } t' \subseteq \text{wfrestrictedvars}_{\text{st}} S \cup V \rrbracket \implies \text{wf}_{\text{st}} V (S@\text{Equality a t t}'#S')$ "
proof (induction S rule: wfst_induct)
  case Nil thus ?case by (cases a) auto
next
  case (ConsEq V u u' S)
  hence "wfst (V ∪ fv u) (S#S')" "fv t' ⊆ wfrestrictedvarsst S ∪ V ∪ fv u"
    by fastforce+
  thus ?case using ConsEq by auto
next

```

### 3 The Typing Result for Non-Stateful Protocols

```

case (ConsEq2 V u u' S)
hence "wfst V (S@S')" by auto
thus ?case using ConsEq2 by auto
next
  case (ConsRcv V u S)
  hence "wfst V (S@S')" "fv t' ⊆ wfrestrictedvarsst S ∪ V"
    by fastforce+
  thus ?case using ConsRcv by auto
next
  case (ConsSnd V us S)
  hence "wfst (V ∪ fvset (set us)) (S@S')" "fv t' ⊆ wfrestrictedvarsst S ∪ (V ∪ fvset (set us))"
    by fastforce+
  thus ?case using ConsSnd by auto
qed auto

lemma wf_eq_append''[intro]:
  "[wfst V (S@S'); fv t' ⊆ wfvarsoccst S ∪ V] ⇒ wfst V (S@[Equality a t t']@S')"
proof (induction S rule: wfst.induct)
  case Nil thus ?case by (cases a) auto
next
  case (ConsEq V u u' S)
  hence "wfst (V ∪ fv u) (S@S')" "fv t' ⊆ wfvarsoccst S ∪ V ∪ fv u" by fastforce+
  thus ?case using ConsEq by auto
next
  case (ConsEq2 V u u' S)
  hence "wfst (V ∪ fv u) (S@S')" "fv t' ⊆ wfvarsoccst S ∪ V ∪ fv u" by fastforce+
  thus ?case using ConsEq2 by auto
next
  case (ConsRcv V u S)
  hence "wfst V (S@S')" "fv t' ⊆ wfvarsoccst S ∪ V" by fastforce+
  thus ?case using ConsRcv by auto
next
  case (ConsSnd V us S)
  hence "wfst (V ∪ fvset (set us)) (S@S')" "fv t' ⊆ wfvarsoccst S ∪ (V ∪ fvset (set us))" by auto
  thus ?case using ConsSnd by auto
qed auto

lemma wf_eq_append'''[intro]:
  "[wfst V S; fv t' ⊆ wfrestrictedvarsst S ∪ V] ⇒ wfst V (S@[Equality a t t'])"
by (simp add: wf_eq_append'[of _ _ "[]"])

lemma wf_eq_check_append[dest]: "wfst V (S@Equality Check t t'#S') ⇒ wfst V (S@S')"
by (induct S rule: wfst.induct) simp_all

lemma wf_eq_check_append'[intro]: "wfst V (S@S') ⇒ wfst V (S@Equality Check t t'#S')"
by (induct S rule: wfst.induct) auto

lemma wf_eq_check_append''[intro]: "wfst V S ⇒ wfst V (S@[Equality Check t t'])"
by (induct S rule: wfst.induct) auto

lemma wf_ineq_append[dest]: "wfst V (S@Inequality X F#S') ⇒ wfst V (S@S')"
by (induct S rule: wfst.induct) simp_all

lemma wf_ineq_append'[intro]: "wfst V (S@S') ⇒ wfst V (S@Inequality X F#S')"
by (induct S rule: wfst.induct) auto

lemma wf_ineq_append''[intro]: "wfst V S ⇒ wfst V (S@[Inequality X F])"
by (induct S rule: wfst.induct) auto

lemma wf_Receive1_prefix:
  assumes "wfst X S"
    and "fvset (set ts) ⊆ X"
  shows "wfst X (map Receive1 ts@S)"

```

```

using assms by (induct ts) simp_all

lemma wf_Send1_prefix:
  assumes "wfst (X ∪ fvset (set ts)) S"
  shows "wfst X (map Send1 ts@S)"
using assms by (induct ts arbitrary: X) (simp, force simp add: Un_assoc)

lemma wf_rcv_fv_single[elim]: "wfst V (Receive ts#S') ⟹ fvset (set ts) ⊆ V"
by simp

lemma wf_rcv_fv: "wfst V (S@Receive ts#S') ⟹ fvset (set ts) ⊆ wfvarsoccst S ∪ V"
proof (induction S arbitrary: V)
  case (Cons a S) thus ?case by (cases a) (auto split!: poscheckvariant.split)
qed simp

lemma wf_eq_fv: "wfst V (S@Equality Assign t t'#S') ⟹ fv t' ⊆ wfvarsoccst S ∪ V"
proof (induction S arbitrary: V)
  case (Cons a S) thus ?case by (cases a) (auto split!: poscheckvariant.split)
qed simp

lemma wf_simple_fv_occurrence:
  assumes "wfst {} S" "simple S" "v ∈ wfrestrictedvarsst S"
  shows "∃Spre Ssuf. S = Spre@Send [Var v]#Ssuf ∧ v ∉ wfrestrictedvarsst Spre"
using assms
proof (induction S rule: List.rev_induct)
  case (snoc x S)
  from <wfst {} (S@[x])> have "wfst {} S" "wfst (wfrestrictedvarsst S) [x]"
    using wf_append_exec[THEN wf_vars_mono, of "{} S "[x]" wfrestrictedvarsst S - wfvarsoccst S"]
      vars_snd_rcv_strand_subset2(4)[of S]
        Diff_partition[of "wfvarsoccst S" "wfrestrictedvarsst S"]
    by auto
  from <simple (S@[x])> have "simple S" "simplestp x" unfolding simple_def by auto

  show ?case
  proof (cases "v ∈ wfrestrictedvarsst S")
    case False
    show ?thesis
    proof (cases x)
      case (Receive ts)
      hence "fvset (set ts) ⊆ wfrestrictedvarsst S" using <wfst (wfrestrictedvarsst S) [x]> by simp
      hence "v ∈ wfrestrictedvarsst S"
        using <v ∈ wfrestrictedvarsst (S@[x])> <x = Receive ts>
        by auto
      thus ?thesis using <x = Receive ts> snoc.IH[OF <wfst {} S> <simple S>] by fastforce
    next
      case (Send ts)
      hence "v ∈ varsstp x" using <v ∈ wfrestrictedvarsst (S@[x])> False by auto
      from Send obtain w where "ts = [Var w]" using <simplestp x>
        by (cases ts) (simp, metis in_set_conv_decomp simple_snd_is_var snoc.prems(2))
      hence "v = w" using <x = Send ts> <v ∈ varsstp x> by simp
      thus ?thesis using <x = Send ts> <v ∉ wfrestrictedvarsst S> <ts = [Var w]> by auto
    next
      case (Equality ac t t') thus ?thesis using snoc.prems(2) unfolding simple_def by auto
    next
      case (Inequality t t') thus ?thesis using False snoc.prems(3) by auto
    qed
  qed (use snoc.IH[OF <wfst {} S> <simple S>] in fastforce)
qed simp

lemma Unifier_strand_fv_subset:
  assumes g_in_ik: "t ∈ ikst S"
  and δ: "Unifier δ (Fun f X) t"
  and disj: "bvarsst S ∩ (subst_domain δ ∪ range_vars δ) = {}"

```

### 3 The Typing Result for Non-Stateful Protocols

```

shows "fv (Fun f X · δ) ⊆ ∪(set (map fv_rcv (S ·st δ)))"
by (metis (no_types) fv_subset_if_in_strand_ik[OF g_in_ik]
      disj δ fv_strand_subst subst_apply_fv_subset)

lemma wf_st_induct'[consumes 1, case_names Nil ConsSnd ConsRcv ConsEq ConsEq2 ConsIneq]:
  fixes S::("a,'b) strand
  assumes "wf_st V S"
  "P []"
  "¬¬ts S. [wf_st V S; P S] ==> P (S@[Send ts])"
  "¬¬ts S. [wf_st V S; P S; fv_set (set ts) ⊆ V ∪ wfvaroccst S] ==> P (S@[Receive ts])"
  "¬¬t t' S. [wf_st V S; P S; fv t' ⊆ V ∪ wfvaroccst S] ==> P (S@[Equality Assign t t'])"
  "¬¬t t' S. [wf_st V S; P S] ==> P (S@[Equality Check t t'])"
  "¬¬X F S. [wf_st V S; P S] ==> P (S@[Inequality X F])"

shows "P S"
using assms
proof (induction S rule: List.rev_induct)
  case (snoc x S)
  hence *: "wf_st V S" "wf_st (V ∪ wfvaroccst S) [x]" by (metis wf_prefix, metis wf_append_exec)
  have IH: "P S" using snoc.IH[OF *(1)] snoc.prems by auto
  note ** = snoc.prems(3,4,5,6,7)[OF *(1) IH] *(2)
  show ?case using **(1,2,4,5,6)
  proof (cases x)
    case (Equality ac t t')
    then show ?thesis using **(3,4,6) by (cases ac) auto
  qed auto
qed simp

lemma wf_subst_apply:
  "wf_st V S ==> wf_st (fv_set (δ ` V)) (S ·st δ)"
proof (induction S arbitrary: V rule: wf_st_induct)
  case (ConsRcv V ts S)
  hence "wf_st V S" "fv_set (set ts) ⊆ V" by simp_all
  hence "wf_st (fv_set (δ ` V)) (S ·st δ)" "fv_set (set ts ·st δ) ⊆ fv_set (δ ` V)"
    using ConsRcv.IH subst_apply_fv_subset by (simp, force)
  thus ?case by simp
next
  case (ConsSnd V ts S)
  hence "wf_st (V ∪ fv_set (set ts)) S" by simp
  hence "wf_st (fv_set (δ ` (V ∪ fv_set (set ts)))) (S ·st δ)" using ConsSnd.IH by metis
  hence "wf_st (fv_set (δ ` V) ∪ fv_set (δ ` fv_set (set ts))) (S ·st δ)" by simp
  hence "wf_st (fv_set (δ ` V) ∪ fv_set (set ts ·st δ)) (S ·st δ)" by (metis subst_apply_fv_unfold_set)
  thus ?case by simp
next
  case (ConsEq V t t' S)
  hence "wf_st (V ∪ fv t) S" "fv t' ⊆ V" by auto
  hence "wf_st (fv_set (δ ` (V ∪ fv t))) (S ·st δ)" and *: "fv (t' · δ) ⊆ fv_set (δ ` V)"
    using ConsEq.IH subst_apply_fv_subset by force+
  hence "wf_st (fv_set (δ ` V) ∪ fv (t · δ)) (S ·st δ)" using subst_apply_fv_union by metis
  thus ?case using * by simp
qed simp_all

lemma wf_unify:
  assumes wf: "wf_st V (S@Send [Fun f X]#S')"
  and g_in_ik: "t ∈ ik_st S"
  and δ: "Unifier δ (Fun f X) t"
  and disj: "bvars_st (S@Send [Fun f X]#S') ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "wf_st (fv_set (δ ` V)) ((S@S') ·st δ)"
using assms
proof (induction S' arbitrary: V rule: List.rev_induct)
  case (snoc x S' V)
  have fun_fv_bound: "fv (Fun f X · δ) ⊆ ∪(set (map fv_rcv (S ·st δ)))"
    using snoc.prems(4) bvars_st_split_Unifier_strand_fv_subset[OF g_in_ik δ] by auto
  hence "fv (Fun f X · δ) ⊆ fv_set (ik_st (S ·st δ))" using fv_ik_is_fv_rcv by metis

```

```

hence "fv (Fun f X · δ) ⊆ wfrestrictedvarsst (S ·st δ)" using fv_ik_subset_fv_st[of "S ·st δ"] by blast
hence *: "fv ((Fun f X) · δ) ⊆ wfrestrictedvarsst ((S@S') ·st δ)" by fastforce

from snoc.prems(1) have "wfst V (S@Send [Fun f X]#S')"
  using wf_prefix[of V "S@Send [Fun f X]#S'" "[x]"] by simp
hence **: "wfst (fvset (δ ` V)) ((S@S') ·st δ)"
  using snoc.IH[OF _ snoc.prems(2,3)] snoc.prems(4) by auto

from snoc.prems(1) have ***: "wfst (V ∪ wfvarssocsst (S@Send [Fun f X]#S')) [x]"
  using wf_append_exec[of V "(S@Send [Fun f X]#S')" "[x]"] by simp

from snoc.prems(4) have disj':
  "bvarsst (S@S') ∩ (subst_domain δ ∪ range_vars δ) = {}"
  "set (bvarsstp x) ∩ (subst_domain δ ∪ range_vars δ) = {}"
by auto

show ?case
proof (cases x)
  case (Send t)
    thus ?thesis using wf_snd_append[of "fvset (δ ` V)" "(S@S') ·st δ"] ** by auto
next
  case (Receive t)
    hence "fvstp x ⊆ V ∪ wfvarssocsst (S@Send [Fun f X]#S')" using *** by auto
    hence "fvstp x ⊆ V ∪ wfrestrictedvarsst (S@Send [Fun f X]#S')"
      using vars_snd_rcv_strand_subset2(4)[of "S@Send [Fun f X]#S'"] by blast
    hence "fvstp x ⊆ V ∪ fv (Fun f X) ∪ wfrestrictedvarsst (S@S')" by auto
    hence "fvstp (x ·stp δ) ⊆ fvset (δ ` V) ∪ fv ((Fun f X) · δ) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
      by (metis (no_types) inf_sup_aci(5) subst_apply_fv_subset_strand2 subst_apply_fv_union disj')
    hence "fvstp (x ·stp δ) ⊆ fvset (δ ` V) ∪ wfrestrictedvarsst ((S@S') ·st δ)" using * by blast
    hence "fvset (set t ·set δ) ⊆ wfrestrictedvarsst ((S@S') ·st δ) ∪ fvset (δ ` V) "
      using <x = Receive t> by auto
    hence "wfst (fvset (δ ` V)) (((S@S') ·st δ)@[Receive (t ·list δ)])"
      using wf_rcv_append'''[OF **, of "t ·list δ"] by simp
    thus ?thesis using <x = Receive t> by auto
next
  case (Equality ac s s') show ?thesis
  proof (cases ac)
    case Assign
      hence "fv s' ⊆ V ∪ wfvarssocsst (S@Send [Fun f X]#S')" using Equality *** by auto
      hence "fv s' ⊆ V ∪ wfrestrictedvarsst (S@Send [Fun f X]#S')"
        using vars_snd_rcv_strand_subset2(4)[of "S@Send [Fun f X]#S'"] by blast
      hence "fv s' ⊆ V ∪ fv (Fun f X) ∪ wfrestrictedvarsst (S@S')" by auto
      moreover have "fv s' = fvreq ac x" "fv (s' · δ) = fvreq ac (x ·stp δ)"
        using Equality by simp_all
      ultimately have "fv (s' · δ) ⊆ fvset (δ ` V) ∪ fv (Fun f X · δ) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
        using subst_apply_fv_subset_strand2[of "fvreq ac" ac x]
        by (metis disj'(1) subst_apply_fv_subset_strand_trm2 subst_apply_fv_union sup_commute)
      hence "fv (s' · δ) ⊆ fvset (δ ` V) ∪ wfrestrictedvarsst ((S@S') ·st δ)" using * by blast
      hence "fv (s' · δ) ⊆ wfrestrictedvarsst ((S@S') ·st δ) ∪ fvset (δ ` V)"
        using <x = Equality ac s s'> by auto
      hence "wfst (fvset (δ ` V)) (((S@S') ·st δ)@[Equality ac (s · δ) (s' · δ)])"
        using wf_eq_append'''[OF **] by metis
      thus ?thesis using <x = Equality ac s s'> by auto
    next
      case Check thus ?thesis using wf_eq_check_append''[OF **] Equality by simp
    qed
  next
    case (Inequality t t') thus ?thesis using wf_ineq_append''[OF **] by simp
  qed
qed (auto dest: wf_subst_apply)

```

```

lemma wf_equality:
  assumes wf: "wfst V (S@Equality ac t t' #S')"
  and δ: "mgu t t' = Some δ"
  and disj: "bvarsst (S@Equality ac t t' #S') ∩ (subst_domain δ ∪ range_vars δ) = {}"
  shows "wfst (fvset (δ ` V)) ((S@S') ·st δ)"
using assms
proof (induction S' arbitrary: V rule: List.rev_induct)
  case Nil thus ?case using wf_prefix[of V S "[Equality ac t t']"] wf_subst_apply[of V S δ] by auto
next
  case (snoc x S' V) show ?case
  proof (cases ac)
    case Assign
    hence "fv t' ⊆ V ∪ wfvarsoccst S"
      using wf_eq_fv[of V, of S t t' "S'@[x]"] snoc by auto
    hence "fv t' ⊆ V ∪ wfrestrictedvarsst S"
      using vars_snd_rcv_strand_subset2(4)[of S] by blast
    hence "fv t' ⊆ V ∪ wfrestrictedvarsst (S@S')" by force
    moreover have disj':
      "bvarsst (S@S') ∩ (subst_domain δ ∪ range_vars δ) = {}"
      "set (bvarsstp x) ∩ (subst_domain δ ∪ range_vars δ) = {}"
      "bvarsst (S@Equality ac t t' #S') ∩ (subst_domain δ ∪ range_vars δ) = {}"
    using snoc.prems(3) by auto
    ultimately have
      "fv (t' · δ) ⊆ fvset (δ ` V) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
      by (metis inf_sup_aci(5) subst_apply_fv_subset_strand_trm2)
    moreover have "fv (t · δ) = fv (t' · δ)"
      by (metis MGU_is_Unifier[OF mgu_gives_MGU[OF δ]])
    ultimately have *:
      "fv (t · δ) ∪ fv (t' · δ) ⊆ fvset (δ ` V) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
      by simp
    from snoc.prems(1) have "wfst V (S@Equality ac t t' #S')"
      using wf_prefix[of V "S@Equality ac t t' #S']" by simp
    hence **: "wfst (fvset (δ ` V)) ((S@S') ·st δ)" by (metis snoc.IH δ disj'(3))
    from snoc.prems(1) have ***: "wfst (V ∪ wfvarsoccst (S@Equality ac t t' #S')) [x]"
      using wf_append_exec[of V "(S@Equality ac t t' #S')" "[x]" ] by simp
    show ?thesis
    proof (cases x)
      case (Send t)
      thus ?thesis using wf_snd_append[of "fvset (δ ` V)" "(S@S') ·st δ"] ** by auto
    next
      case (Receive s)
      hence "fvstp x ⊆ V ∪ wfvarsoccst (S@Equality ac t t' #S')" using *** by auto
      hence "fvstp x ⊆ V ∪ wfrestrictedvarsst (S@Equality ac t t' #S')"
        using vars_snd_rcv_strand_subset2(4)[of "S@Equality ac t t' #S'"] by blast
      hence "fvstp x ⊆ V ∪ fv t ∪ fv t' ∪ wfrestrictedvarsst (S@S')"
        by (cases ac) auto
      hence "fvstp (x ·stp δ) ⊆ fvset (δ ` V) ∪ fv (t · δ) ∪ fv (t' · δ) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
        using subst_apply_fv_subset_strand2[of fvstp]
        by (metis (no_types) inf_sup_aci(5) subst_apply_fv_union disj'(1,2))
      hence "fvstp (x ·stp δ) ⊆ fvset (δ ` V) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
        when "ac = Assign"
        using * that by blast
      hence "fvset (set s ·set δ) ⊆ wfrestrictedvarsst ((S@S') ·st δ) ∪ (fvset (δ ` V))"
        when "ac = Assign"
        using <x = Receive s> that by auto
      hence "wfst (fvset (δ ` V)) (((S@S') ·st δ) @ [Receive (s · list δ)])"
        when "ac = Assign"
        using wf_rcv_append'''[OF **, of "s · list δ"] that by simp
      thus ?thesis using <x = Receive s> Assign by auto
    
```

```

next
  case (Equality ac' s s') show ?thesis
  proof (cases ac')
    case Assign
      hence "fv s' ⊆ V ∪ wfvarsoccst (S@Equality ac t t' # S')" using *** Equality by auto
      hence "fv s' ⊆ V ∪ wfrestrictedvarsst (S@Equality ac t t' # S')"
        using vars_snd_rcv_strand_subset2(4)[of "S@Equality ac t t' # S'"] by blast
      hence "fv s' ⊆ V ∪ fv t ∪ fv t' ∪ wfrestrictedvarsst (S@S')"
        by (cases ac) auto
      moreover have "fv s' = fvreq ac' x" "fv (s' · δ) = fvreq ac' (x ·stp δ)"
        using Equality by simp_all
      ultimately have
        "fv (s' · δ) ⊆ fvset (δ ∘ V) ∪ fv (t · δ) ∪ fv (t' · δ) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
        using subst_apply_fv_subset_strand2[of "fvreq ac'" ac' x]
        by (metis disj'(1) subst_apply_fv_subset_strand_trm2 subst_apply_fv_union sup_commute)
      hence "fv (s' · δ) ⊆ fvset (δ ∘ V) ∪ wfrestrictedvarsst ((S@S') ·st δ)"
        using * <ac = Assign> by blast
      hence ****:
        "fv (s' · δ) ⊆ wfrestrictedvarsst ((S@S') ·st δ) ∪ fvset (δ ∘ V)"
        using <x = Equality ac' s s'> <ac = Assign> by auto
      thus ?thesis
        using <x = Equality ac' s s'> ** **** wf_eq_append' <ac = Assign>
        by (metis (no_types, lifting) append.assoc append_Nil2 strand_step.case(3)
          strand_subst_hom subst_apply_strand_step_def)

next
  case Check thus ?thesis using wf_eq_check_append''[OF **] Equality by simp
qed
next
  case (Inequality s s') thus ?thesis using wf_ineq_append''[OF **] by simp
qed
qed (metis snoc.prems(1) wf_eq_check_append wf_subst_apply)
qed

lemma wf_rcv_prefix_ground:
  "wfst {} ((map Receive M) @ S) ⟹ varsst (map Receive M) = {}"
by (induct M) auto

lemma simple_wfvarsoccst_is_fvsnd:
  assumes "simple S"
  shows "wfvarsoccst S = ⋃ (set (map fvsnd S))"
using assms unfolding simple_def
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
qed simp

lemma wfst_simple_induct[consumes 2, case_names Nil ConsSnd ConsRcv ConsIneq]:
  fixes S :: "('a, 'b) strand"
  assumes "wfst V S" "simple S"
  "P []"
  "⋀ v S. [wfst V S; simple S; P S] ⟹ P (S@[Send [Var v]])"
  "⋀ ts S. [wfst V S; simple S; P S; fvset (set ts) ⊆ V ∪ ⋃ (set (map fvsnd S))] ⟹ P
  (S@[Receive ts])"
  "⋀ X F S. [wfst V S; simple S; P S] ⟹ P (S@[Inequality X F])"
  shows "P S"
using assms
proof (induction S rule: wfst_induct')
  case (ConsSnd t S)
    hence "P S" by auto
    obtain v where "t = [Var v]" using simple_snd_is_var[OF _ <simple (S@[Send t])>] by auto
    thus ?case using ConsSnd.prems(3)[OF <wfst V S> _ <P S>] <simple (S@[Send t])> by auto
next
  case (ConsRcv t S) thus ?case using simple_wfvarsoccst_is_fvsnd[of "S@[Receive t]"] by auto
qed (auto simp add: simple_def)

```

```

lemma wf_trm_stp_dom_fv_disjoint:
  " $\llbracket \text{wf\_constr } S \vartheta; t \in \text{trms}_{st} S \rrbracket \implies \text{subst\_domain } \vartheta \cap \text{fv } t = \{\}$ "
unfolding wf_constr_def by force

lemma wf_constr_bvars_disj: "wf_constr S \vartheta \implies (\text{subst\_domain } \vartheta \cup \text{range\_vars } \vartheta) \cap \text{bvars}_{st} S = \{}"
unfolding range_vars_alt_def wf_constr_def by fastforce

lemma wf_constr_bvars_disj':
  assumes "wf_constr S \vartheta" "\text{subst\_domain } \delta \cup \text{range\_vars } \delta \subseteq \text{fv}_{st} S"
  shows "(subst_domain \delta \cup range_vars \delta) \cap bvars_{st} S = \{}" (is ?A)
  and "(subst_domain \vartheta \cup range_vars \vartheta) \cap bvars_{st} (S \cdot_{st} \delta) = \{}" (is ?B)
proof -
  have "(subst_domain \vartheta \cup range_vars \vartheta) \cap bvars_{st} S = \{}" "\text{fv}_{st} S \cap bvars_{st} S = \{}"
    using assms(1) unfolding range_vars_alt_def wf_constr_def by fastforce+
  thus ?A and ?B using assms(2) bvars_subst_ident[of S \delta] by blast+
qed

lemma (in intruder_model) wf_simple_strand_first_Send_var_split:
  assumes "wf_{st} \{} S" "simple S" "\exists v \in \text{wfrestrictedvars}_{st} S. t \cdot \mathcal{I} = \mathcal{I} v"
  shows "\exists v S_{pre} S_{suf}. S = S_{pre} @ \text{Send} [\text{Var } v] \# S_{suf} \wedge t \cdot \mathcal{I} = \mathcal{I} v"
    \wedge \neg(\exists w \in \text{wfrestrictedvars}_{st} S_{pre}. t \cdot \mathcal{I} = \mathcal{I} w)"
    (is "?P S")
using assms
proof (induction S rule: wf_{st}_simple_induct)
  case (ConsSnd v S) show ?case
    proof (cases "\exists w \in \text{wfrestrictedvars}_{st} S. t \cdot \mathcal{I} = \mathcal{I} w")
      case True thus ?thesis using ConsSnd.IH by fastforce
    next
      case False thus ?thesis using ConsSnd.simps by auto
    qed
  next
  case (ConsRcv t' S)
    have "fv_{set} (set t') \subseteq \text{wfrestrictedvars}_{st} S"
      using ConsRcv.hyps(3) vars_snd_rcv_strand_subset2(1) by force
    hence "\exists v \in \text{wfrestrictedvars}_{st} S. t \cdot \mathcal{I} = \mathcal{I} v"
      using ConsRcv.simps(1) by fastforce
    hence "?P S" by (metis ConsRcv.IH)
    thus ?case by fastforce
  next
  case (ConsIneq X F S)
    moreover have "wfrestrictedvars_{st} (S @ [\text{Inequality } X F]) = wfrestrictedvars_{st} S" by auto
    ultimately have "?P S" by blast
    thus ?case by fastforce
qed simp

lemma (in intruder_model) wf_strand_first_Send_var_split:
  assumes "wf_{st} \{} S" "\exists v \in \text{wfrestrictedvars}_{st} S. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} v"
  shows "\exists S_{pre} S_{suf}. \neg(\exists w \in \text{wfrestrictedvars}_{st} S_{pre}. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} w)"
    \wedge (\exists t'. S = S_{pre} @ \text{Send} t' \# S_{suf} \wedge t \cdot \mathcal{I} \sqsubseteq_{set} set t' \cdot_{set} \mathcal{I})
    \vee (\exists t' t''. S = S_{pre} @ \text{Equality Assign} t' t'' \# S_{suf} \wedge t \cdot \mathcal{I} \sqsubseteq t' \cdot \mathcal{I}))"
    (is "?P S_{pre} S_{suf}. ?P S_{pre} \wedge ?Q S S_{pre} S_{suf}")
using assms
proof (induction S rule: wf_{st}_induct')
  case (ConsSnd ts' S) show ?case
    proof (cases "\exists w \in \text{wfrestrictedvars}_{st} S. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} w")
      case True
        then obtain S_{pre} S_{suf} where "?P S_{pre}" "?Q S S_{pre} S_{suf}"
          using ConsSnd.IH by atomize_elim auto
        thus ?thesis by fastforce
    next
      case False
        then obtain v where v: "v \in fv_{set} (set ts')" "t \cdot \mathcal{I} \sqsubseteq \mathcal{I} v"

```

```

using ConsSnd.psms by auto
then obtain t' where "t' ∈ set ts'" "v ∈ fv t'" by auto
have "t · I ⊑ t' · I"
  using v(2) t'(2) subst_mono[of "Var v" t' I] vars_iff_subtermeq[of v] term.order_trans
  by auto
hence "t · I ⊑set set ts' ·set I" using v(1) t'(1) by blast
thus ?thesis using False v by auto
qed
next
case (ConsRcv t' S)
have "fv_set (set t') ⊑ wfrestrictedvarsst S"
  using ConsRcv.hyps vars_snd_rcv_strand_subset2(4)[of S] by blast
hence "∃ v ∈ wfrestrictedvarsst S. t · I ⊑ I v"
  using ConsRcv.psms by fastforce
then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf"
  using ConsRcv.IH by atomize_elim auto
thus ?case by fastforce
next
case (ConsEq s s' S)
have *: "fv s' ⊑ wfrestrictedvarsst S"
  using ConsEq.hyps vars_snd_rcv_strand_subset2(4)[of S]
  by blast
show ?case
proof (cases "∃ v ∈ wfrestrictedvarsst S. t · I ⊑ I v")
  case True
  then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf"
    using ConsEq.IH by atomize_elim auto
  thus ?thesis by fastforce
next
case False
then obtain v where "v ∈ fv s" "t · I ⊑ I v" using ConsEq.psms * by auto
hence "t · I ⊑ s · I"
  using vars_iff_subtermeq[of v s] subst_mono[of "Var v" s I] term.order_trans
  by auto
thus ?thesis using False by fastforce
qed
next
case (ConsEq2 s s' S)
have "wfrestrictedvarsst (S@[Equality Check s s']) = wfrestrictedvarsst S" by auto
hence "∃ v ∈ wfrestrictedvarsst S. t · I ⊑ I v" using ConsEq2.psms by metis
then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf"
  using ConsEq2.IH by atomize_elim auto
thus ?case by fastforce
next
case (ConsIneq X F S)
hence "∃ v ∈ wfrestrictedvarsst S. t · I ⊑ I v" by fastforce
then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf"
  using ConsIneq.IH by atomize_elim auto
thus ?case by fastforce
qed simp

```

### 3.1.6 Constraint Semantics

context intruder\_model  
begin

#### Definitions

The constraint semantics in which the intruder is limited to composition only

```

fun strand_sem_c:"('fun,'var) terms ⇒ ('fun,'var) strand ⇒ ('fun,'var) subst ⇒ bool" (<[],_⟩)
where
  "[]; []⟩c = (λI. True)"
  | "[M; S]⟩c = (λI. (∀t ∈ set ts. M ⊑c t · I) ∧ [M; S]c I)"

```

```

| " $\llbracket M; \text{Receive } ts\#S \rrbracket_c = (\lambda \mathcal{I}. \llbracket (\text{set } ts \cdot_{\text{set}} \mathcal{I}) \cup M; S \rrbracket_c \mathcal{I})$ "
| " $\llbracket M; \text{Equality } t t' \#S \rrbracket_c = (\lambda \mathcal{I}. t \cdot \mathcal{I} = t' \cdot \mathcal{I} \wedge \llbracket M; S \rrbracket_c \mathcal{I})$ "
| " $\llbracket M; \text{Inequality } X F \#S \rrbracket_c = (\lambda \mathcal{I}. \text{ineq\_model } \mathcal{I} X F \wedge \llbracket M; S \rrbracket_c \mathcal{I})$ "
```

```

definition constr_sem_c ( $\langle \_, \_ \rangle_c \langle \_, \_ \rangle$ ) where " $\mathcal{I} \models_c \langle S, \vartheta \rangle \equiv (\vartheta \text{ supports } \mathcal{I} \wedge \llbracket \{\} ; S \rrbracket_c \mathcal{I})$ "
abbreviation constr_sem_c' ( $\langle \_, \_ \rangle_c \langle \_, \_ \rangle$ ) 90 where " $\mathcal{I} \models_c \langle S \rangle \equiv \mathcal{I} \models \langle S, \text{Var} \rangle$ "
```

The full constraint semantics

```

fun strand_sem_d :: "('fun, 'var) terms ⇒ ('fun, 'var) strand ⇒ ('fun, 'var) subst ⇒ bool" ( $\langle \_, \_ \rangle_d$ )
where
  " $\llbracket M; [] \rrbracket_d = (\lambda \mathcal{I}. \text{True})$ "
| " $\llbracket M; \text{Send } ts\#S \rrbracket_d = (\lambda \mathcal{I}. (\forall t \in \text{set } ts. M \vdash t \cdot \mathcal{I}) \wedge \llbracket M; S \rrbracket_d \mathcal{I})$ "
| " $\llbracket M; \text{Receive } ts\#S \rrbracket_d = (\lambda \mathcal{I}. \llbracket (\text{set } ts \cdot_{\text{set}} \mathcal{I}) \cup M; S \rrbracket_d \mathcal{I})$ "
| " $\llbracket M; \text{Equality } t t' \#S \rrbracket_d = (\lambda \mathcal{I}. t \cdot \mathcal{I} = t' \cdot \mathcal{I} \wedge \llbracket M; S \rrbracket_d \mathcal{I})$ "
| " $\llbracket M; \text{Inequality } X F \#S \rrbracket_d = (\lambda \mathcal{I}. \text{ineq\_model } \mathcal{I} X F \wedge \llbracket M; S \rrbracket_d \mathcal{I})$ "
```

```

definition constr_sem_d ( $\langle \_, \_ \rangle_c \langle \_, \_ \rangle$ ) where " $\mathcal{I} \models \langle S, \vartheta \rangle \equiv (\vartheta \text{ supports } \mathcal{I} \wedge \llbracket \{\} ; S \rrbracket_d \mathcal{I})$ "
abbreviation constr_sem_d' ( $\langle \_, \_ \rangle_c \langle \_, \_ \rangle$ ) 90 where " $\mathcal{I} \models \langle S \rangle \equiv \mathcal{I} \models \langle S, \text{Var} \rangle$ "
```

```
lemmas strand_sem_induct = strand_sem_c.induct[case_names Nil ConsSnd ConsRcv ConsEq ConsIneq]
```

## Lemmas

```
lemma strand_sem_d_if_c: " $\mathcal{I} \models_c \langle S, \vartheta \rangle \implies \mathcal{I} \models \langle S, \vartheta \rangle$ "
```

proof -

```

  assume *: " $\mathcal{I} \models_c \langle S, \vartheta \rangle$ "
  { fix M have " $\llbracket M; S \rrbracket_c \mathcal{I} \implies \llbracket M; S \rrbracket_d \mathcal{I}$ "
    proof (induction S rule: strand_sem_induct)
      case (ConsSnd M ts S)
        hence " $\forall t \in \text{set } ts. M \vdash_c t \cdot \mathcal{I}$ " " $\llbracket M; S \rrbracket_d \mathcal{I}$ " by auto
        thus ?case using strand_sem_d.simps(2)[of M _ S] by auto
      qed (auto simp add: ineq_model_def)
    }
    thus ?thesis using * by (simp add: constr_sem_c_def constr_sem_d_def)
  qed
```

```
lemma strand_sem_mono_ik:
```

```

  " $\llbracket M \subseteq M'; \llbracket M; S \rrbracket_c \vartheta \rrbracket \implies \llbracket M'; S \rrbracket_c \vartheta$ " (is " $\llbracket ?A'; ?A'' \rrbracket \implies ?A''$ ")
  " $\llbracket M \subseteq M'; \llbracket M; S \rrbracket_d \vartheta \rrbracket \implies \llbracket M'; S \rrbracket_d \vartheta$ " (is " $\llbracket ?B'; ?B'' \rrbracket \implies ?B''$ ")
```

proof -

```

  show " $\llbracket ?A'; ?A'' \rrbracket \implies ?A''$ "
  proof (induction M S arbitrary: M M' rule: strand_sem_induct)
    case (ConsRcv M ts S)
    thus ?case using ConsRcv.IH[of "(set ts ·_{set} \vartheta) \cup M" "(set ts ·_{set} \vartheta) \cup M'"] by auto
  next
```

```

    case (ConsSnd M ts S)
    hence " $\forall t \in \text{set } ts. M \vdash_c t \cdot \vartheta$ " " $\llbracket M; S \rrbracket_c \vartheta$ " by auto
    hence " $\forall t \in \text{set } ts. M' \vdash_c t \cdot \vartheta$ " using ideduct_synth_mono <M ⊆ M'> by metis
    thus ?case using < $\llbracket M'; S \rrbracket_c \vartheta$ > by simp
  qed auto
```

```
show " $\llbracket ?B'; ?B'' \rrbracket \implies ?B''$ "
```

```

  proof (induction M S arbitrary: M M' rule: strand_sem_induct)
    case (ConsRcv M ts S)
    thus ?case using ConsRcv.IH[of "(set ts ·_{set} \vartheta) \cup M" "(set ts ·_{set} \vartheta) \cup M'"] by auto
  next
```

```

    case (ConsSnd M ts S)
    hence " $\forall t \in \text{set } ts. M \vdash t \cdot \vartheta$ " " $\llbracket M'; S \rrbracket_d \vartheta$ " by auto
    hence " $\forall t \in \text{set } ts. M' \vdash t \cdot \vartheta$ " using ideduct_mono <M ⊆ M'> by metis
    thus ?case using < $\llbracket M'; S \rrbracket_d \vartheta$ > by simp
  qed auto

```

qed

```

context
begin
private lemma strand_sem_split_left:
  " $\llbracket M; S \otimes S' \rrbracket_c \vartheta \implies \llbracket M; S \rrbracket_c \vartheta$ " 
  " $\llbracket M; S \otimes S' \rrbracket_d \vartheta \implies \llbracket M; S \rrbracket_d \vartheta$ " 
proof (induct S arbitrary: M)
  case (Cons x S)
  { case 1 thus ?case using Cons by (cases x) simp_all }
  { case 2 thus ?case using Cons by (cases x) simp_all }
qed simp_all

private lemma strand_sem_split_right:
  " $\llbracket M; S \otimes S' \rrbracket_c \vartheta \implies \llbracket M \cup (ik_{st} S \cdot_{set} \vartheta); S' \rrbracket_c \vartheta$ " 
  " $\llbracket M; S \otimes S' \rrbracket_d \vartheta \implies \llbracket M \cup (ik_{st} S \cdot_{set} \vartheta); S' \rrbracket_d \vartheta$ " 
proof (induction S arbitrary: M rule: ik_{st}_induct)
  case (ConsRcv ts S)
  { case 1 thus ?case
    using ConsRcv.IH(1)[of "(set ts \cdot_{set} \vartheta) \cup M"]
    by (simp add: Un_commute Un_left_commute image_Un)
  }
  { case 2 thus ?case
    using ConsRcv.IH(2)[of "(set ts \cdot_{set} \vartheta) \cup M"]
    by (simp add: Un_commute Un_left_commute image_Un)
  }
qed simp_all

lemmas strand_sem_split[dest] =
  strand_sem_split_left(1) strand_sem_split_right(1)
  strand_sem_split_left(2) strand_sem_split_right(2)
end

lemma strand_sem_Send_split[dest]:
  " $\llbracket \llbracket M; map Send T \rrbracket_c \vartheta; ts \in set T \rrbracket \implies \llbracket M; [Send ts] \rrbracket_c \vartheta \text{ (is } \llbracket ?A'; ?A'' \rrbracket \implies ?A)$ " 
  " $\llbracket \llbracket M; map Send T \rrbracket_d \vartheta; ts \in set T \rrbracket \implies \llbracket M; [Send ts] \rrbracket_d \vartheta \text{ (is } \llbracket ?B'; ?B'' \rrbracket \implies ?B)$ " 
  " $\llbracket \llbracket M; map Send T \otimes S \rrbracket_c \vartheta; ts \in set T \rrbracket \implies \llbracket M; Send ts \# S \rrbracket_c \vartheta \text{ (is } \llbracket ?C'; ?C'' \rrbracket \implies ?C)$ " 
  " $\llbracket \llbracket M; map Send T \otimes S \rrbracket_d \vartheta; ts \in set T \rrbracket \implies \llbracket M; Send ts \# S \rrbracket_d \vartheta \text{ (is } \llbracket ?D'; ?D'' \rrbracket \implies ?D)$ " 
  " $\llbracket \llbracket M; map Send1 T' \rrbracket_c \vartheta; t \in set T' \rrbracket \implies \llbracket M; [Send1 t] \rrbracket_c \vartheta \text{ (is } \llbracket ?E'; ?E'' \rrbracket \implies ?E)$ " 
  " $\llbracket \llbracket M; map Send1 T' \rrbracket_d \vartheta; t \in set T' \rrbracket \implies \llbracket M; [Send1 t] \rrbracket_d \vartheta \text{ (is } \llbracket ?F'; ?F'' \rrbracket \implies ?F)$ " 
  " $\llbracket \llbracket M; map Send1 T' \otimes S \rrbracket_c \vartheta; t \in set T' \rrbracket \implies \llbracket M; Send1 t \# S \rrbracket_c \vartheta \text{ (is } \llbracket ?G'; ?G'' \rrbracket \implies ?G)$ " 
  " $\llbracket \llbracket M; map Send1 T' \otimes S \rrbracket_d \vartheta; t \in set T' \rrbracket \implies \llbracket M; Send1 t \# S \rrbracket_d \vartheta \text{ (is } \llbracket ?H'; ?H'' \rrbracket \implies ?H)$ " 
proof -
  show A: " $\llbracket ?A'; ?A'' \rrbracket \implies ?A$ " by (induct "map Send T" arbitrary: T rule: strand_sem_c.induct) auto
  show B: " $\llbracket ?B'; ?B'' \rrbracket \implies ?B$ " by (induct "map Send T" arbitrary: T rule: strand_sem_d.induct) auto
  show " $\llbracket ?C'; ?C'' \rrbracket \implies ?C$ " " $\llbracket ?D'; ?D'' \rrbracket \implies ?D$ "
    using list.set_map list.simps(8) set_empty ik_snd_empty sup_bot.right_neutral
    by (metis (no_types, lifting) A strand_sem_split(1,2) strand_sem_c.simps(2),
        metis (no_types, lifting) B strand_sem_split(3,4) strand_sem_d.simps(2))

  show " $\llbracket ?E'; ?E'' \rrbracket \implies ?E$ "
    by (induct "map Send1 T'" arbitrary: T' rule: strand_sem_c.induct) auto

  show " $\llbracket ?F'; ?F'' \rrbracket \implies ?F$ "
    by (induct "map Send1 T'" arbitrary: T' rule: strand_sem_c.induct) auto

  show " $\llbracket ?G'; ?G'' \rrbracket \implies ?G$ "
  proof (induction "map Send1 T'" arbitrary: T' rule: strand_sem_c.induct)
    case (2 M ts S')
      obtain t' T'' where ts: "ts = [t']" "T' = t' \# T''" "S' = map Send1 T''"
        using "2.hyps"(2) by blast
      thus ?case using "2.prems" "2.hyps"(1)
      proof (cases "t = t'") 
        case True
        have "ik_{st} (map Send1 T') \cdot_{set} \vartheta = \{\}" by force
      qed
  qed

```

```

hence "[M; [Send1 t]]_c ⊢" "[M; S]_c ⊢" using "2.prems"(1) unfolding ts(2) True by auto
thus ?thesis by simp
qed auto
qed auto

show "[?H'; ?H''] ==> ?H"
proof (induction "map Send1 T'" arbitrary: T' rule: strand_sem_c.induct)
  case (2 M ts S')
  obtain t' T'' where ts: "ts = [t']" "T' = t' # T''" "S' = map Send1 T''"
    using "2.hyps"(2) by blast
  thus ?case using "2.prems" "2.hyps"(1)
  proof (cases "t = t'")
    case True
    have "ikst (map Send1 T') .set ⊢ = {}" by force
    hence "[M; [Send1 t]]_d ⊢" "[M; S]_d ⊢" using "2.prems"(1) unfolding ts(2) True by auto
    thus ?thesis by simp
  qed auto
  qed auto
qed

lemma strand_sem_Send_map:
  "(∀ts. ts ∈ set T ==> [M; [Send ts]]_c I) ==> [M; map Send T]_c I"
  "(∀ts. ts ∈ set T ==> [M; [Send ts]]_d I) ==> [M; map Send T]_d I"
  "(∀t. t ∈ set T' ==> [M; [Send1 t]]_c I) ==> [M; map Send1 T']_c I"
  "(∀t. t ∈ set T' ==> [M; [Send1 t]]_d I) ==> [M; map Send1 T']_d I"
  "[M; map Send1 T']_c I <=> [M; [Send T']]_c I"
  "[M; map Send1 T']_d I <=> [M; [Send T']]_d I"
proof -
  show "(∀ts. ts ∈ set T ==> [M; [Send ts]]_c I) ==> [M; map Send T]_c I"
    "(∀ts. ts ∈ set T ==> [M; [Send ts]]_d I) ==> [M; map Send T]_d I"
    by (induct T) auto

  show "(∀t. t ∈ set T' ==> [M; [Send1 t]]_c I) ==> [M; map Send1 T']_c I"
    "(∀t. t ∈ set T' ==> [M; [Send1 t]]_d I) ==> [M; map Send1 T']_d I"
    by (induct T') auto

  show "[M; map Send1 T']_c I <=> [M; [Send T']]_c I"
    "[M; map Send1 T']_d I <=> [M; [Send T']]_d I"
    by (induct T') auto
qed

lemma strand_sem_Receive_map:
  "[M; map Receive T]_c I" "[M; map Receive T]_d I"
  "[M; map Receive1 T']_c I" "[M; map Receive1 T']_d I"
  "[M; [Receive T']]_c I" "[M; [Receive T']]_d I"
proof -
  show "[M; map Receive T]_c I" "[M; map Receive T]_d I" by (induct T arbitrary: M) auto
  show "[M; map Receive1 T']_c I" "[M; map Receive1 T']_d I" by (induct T' arbitrary: M) auto
  show "[M; [Receive T']]_c I" "[M; [Receive T']]_d I" by (induct T' arbitrary: M) auto
qed

lemma strand_sem_append[intro]:
  "[[M; S]]_c ⊢; [M ∪ (ikst S .set ⊢); S']_c ⊢] ==> [M; S ⊗ S']_c ⊢"
  "[[M; S]]_d ⊢; [M ∪ (ikst S .set ⊢); S']_d ⊢] ==> [M; S ⊗ S']_d ⊢"
proof (induction S arbitrary: M)
  case (Cons x S)
  { case 1 thus ?case using Cons
    proof (cases x)
      case (Receive ts) thus ?thesis
        using 1 Cons.IH(1)[of "(set ts .set ⊢) ∪ M"]
          strand_sem_c.simps(3)[of M ts] image_Un[of "λt. t . ⊢" "set ts" "ikst S"]
        by (metis (no_types, lifting) ikst.simps(2) Un_assoc Un_commute append_Cons)
    qed auto
  }

```

```

}

{ case 2 thus ?case using Cons
proof (cases x)
  case (Receive ts) thus ?thesis
    using 2 Cons.IH(2)[of "(set ts ·set θ) ∪ M"]
    strand_sem_d.simps(3)[of M ts] image_Un[of "λt. t · θ" "set ts" "ik_st S"]
    by (metis (no_types, lifting) ik_st.simps(2) Un_assoc Un_commute append_Cons)
  qed auto
}
qed simp_all

lemma ineq_model_subst:
fixes F::"('a,'b) term × ('a,'b) term) list"
assumes "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
and "ineq_model (δ o_s θ) X F"
shows "ineq_model θ X (F ·pairs δ)"
proof -
  { fix σ::"('a,'b) subst" and t t'
    assume σ: "subst_domain σ = set X" "ground (subst_range σ)"
    and *: "∃(s,t) ∈ set F. s · (σ o_s (δ o_s θ)) ≠ t · (σ o_s (δ o_s θ))"
    obtain f where f: "f ∈ set F" "fst f · σ o_s (δ o_s θ) ≠ snd f · σ o_s (δ o_s θ)"
      using * by (induct F) force+
    have "σ o_s (δ o_s θ) = δ o_s (σ o_s θ)"
      by (metis (no_types, lifting) σ subst_compose_assoc assms(1) inf_sup_aci(1)
          subst_comp_eq_if_disjoint_vars sup_inf_absorb range_vars_alt_def)
    hence "(fst f · δ) · σ o_s θ ≠ (snd f · δ) · σ o_s θ" using f by auto
    moreover have "(fst f · δ, snd f · δ) ∈ set (F ·pairs δ)"
      using f(1) by (auto simp add: subst_apply_pairs_def)
    ultimately have "∃(s,t) ∈ set (F ·pairs δ). s · (σ o_s θ) ≠ t · (σ o_s θ)"
      using f(1) Bex_set by fastforce
  }
  thus ?thesis using assms unfolding ineq_model_def by simp
qed

lemma ineq_model_subst':
fixes F::"('a,'b) term × ('a,'b) term) list"
assumes "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
and "ineq_model θ X (F ·pairs δ)"
shows "ineq_model (δ o_s θ) X F"
proof -
  { fix σ::"('a,'b) subst" and t t'
    assume σ: "subst_domain σ = set X" "ground (subst_range σ)"
    and *: "∃(s,t) ∈ set (F ·pairs δ). s · (σ o_s θ) ≠ t · (σ o_s θ)"
    obtain f where f: "f ∈ set (F ·pairs δ)" "fst f · σ o_s θ ≠ snd f · σ o_s θ"
      using * by (induct F) auto
    then obtain g where g: "g ∈ set F" "f = g ·_p δ" by (auto simp add: subst_apply_pairs_def)
    have "σ o_s (δ o_s θ) = δ o_s (σ o_s θ)"
      by (metis (no_types, lifting) σ subst_compose_assoc assms(1) inf_sup_aci(1)
          subst_comp_eq_if_disjoint_vars sup_inf_absorb range_vars_alt_def)
    hence "fst g · σ o_s (δ o_s θ) ≠ snd g · σ o_s (δ o_s θ)"
      using f(2) g by (simp add: prod.case_eq_if)
    hence "∃(s,t) ∈ set F. s · (σ o_s (δ o_s θ)) ≠ t · (σ o_s (δ o_s θ))"
      using g Bex_set by fastforce
  }
  thus ?thesis using assms unfolding ineq_model_def by simp
qed

lemma ineq_model_ground_subst:
fixes F::"('a,'b) term × ('a,'b) term) list"
assumes "fv_pairs F - set X ⊆ subst_domain δ"
and "ground (subst_range δ)"
and "ineq_model δ X F"
shows "ineq_model (δ o_s θ) X F"

```

```

proof -
{ fix σ::"('a,'b) subst" and t t'
  assume σ: "subst_domain σ = set X" "ground (subst_range σ)"
  and *: "∃(s,t) ∈ set F. s · (σ os δ) ≠ t · (σ os δ)"
  obtain f where f: "f ∈ set F" "fst f · σ os δ ≠ snd f · σ os δ"
    using * by (induct F) force+
  hence "fv (fst f) ⊆ fvpairs F" "fv (snd f) ⊆ fvpairs F" by auto
  hence "fv (fst f) - set X ⊆ subst_domain δ" "fv (snd f) - set X ⊆ subst_domain δ"
    using assms(1) by auto
  hence "fv (fst f · σ) ⊆ subst_domain δ" "fv (snd f · σ) ⊆ subst_domain δ"
    using σ by (simp_all add: range_vars_alt_def subst_fv_unfold_ground_img)
  hence "fv (fst f · σ os δ) = {}" "fv (snd f · σ os δ) = {}"
    using assms(2) by (simp_all add: subst_fv_dom_ground_if_ground_img)
  hence "fst f · σ os (δ os θ) ≠ snd f · σ os (δ os θ)" using f(2) subst_ground_ident by fastforce
  hence "∃(s,t) ∈ set F. s · (σ os (δ os θ)) ≠ t · (σ os (δ os θ))"
    using f(1) Bex_set by fastforce
}
thus ?thesis using assms unfolding ineq_model_def by simp
qed

context
begin
private lemma strand_sem_subst_c:
  assumes "(subst_domain δ ∪ range_vars δ) ∩ bvarsst S = {}"
  shows "[[M; S]]_c (δ os θ) ⟹ [[M; S ·st δ]]_c θ"
using assms
proof (induction S arbitrary: δ M rule: strand_sem_induct)
  case (ConsSnd M ts S)
  hence "[[M; S ·st δ]]_c θ" "∀t ∈ set ts. M ⊢c t · (δ os θ)" by auto
  hence "∀t ∈ set ts. M ⊢c (t · δ) · θ"
    using subst_comp_all[of δ θ M] subst_subst_compose[of _ δ θ] by simp
  hence "∀t ∈ set (ts ·list δ). M ⊢c t · θ" by fastforce
  thus ?case
    using <[[M; S ·st δ]]_c θ>
    unfolding subst_apply_strand_def
    by simp
next
  case (ConsRcv M ts S)
  have *: "[((set ts ·set δ os θ) ∪ M; S)]_c (δ os θ)" using ConsRcv.preds(1) by simp
  have "bvarsst (Receive ts#S) = bvarsst S" by auto
  hence **: "(subst_domain δ ∪ range_vars δ) ∩ bvarsst S = {}" using ConsRcv.preds(2) by blast
  have "[[M; Receive (ts ·list δ)#(S ·st δ)]]_c θ"
    using ConsRcv.IH[OF * **] by (metis (no_types) image_set strand_sem_c.simps(3) subst_comp_all)
  thus ?case by simp
next
  case (ConsIneq M X F S)
  hence *: "[[M; S ·st δ]]_c θ" and
    ***: "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
    unfolding bvarsst_def ineq_model_def by auto
  have **: "ineq_model (δ os θ) X F"
    using ConsIneq by (auto simp add: subst_compose_assoc ineq_model_def)
  have "∀γ. subst_domain γ = set X ∧ ground (subst_range γ)
    → (subst_domain δ ∪ range_vars δ) ∩ (subst_domain γ ∪ range_vars γ) = {}"
    using * *** unfolding range_vars_alt_def by auto
  hence "∀γ. subst_domain γ = set X ∧ ground (subst_range γ) → γ os δ = δ os γ"
    by (metis subst_comp_eq_if_disjoint_vars)
  hence "ineq_model θ X (F ·pairs δ)"
    using ineq_model_subst[OF ***]
    by blast
  moreover have "rm_vars (set X) δ = δ" using ConsIneq.preds(2) by force
  ultimately show ?case using * by auto
qed simp_all

```

```

private lemma strand_sem_subst_c':
  assumes "(subst_domain δ ∪ range_vars δ) ∩ bvarsst S = {}"
  shows "⟦M; S ·st δ⟧c θ ⟹ ⟦M; S⟧c (δ ∘s θ)"
using assms
proof (induction S arbitrary: δ M rule: strand_sem_induct)
  case (ConsSnd M ts S)
  hence "⟦M; [Send ts] ·st δ⟧c θ" "⟦M; S ·st δ⟧c θ" by auto
  hence "⟦M; S⟧c (δ ∘s θ)" using ConsSnd.IH[OF _] ConsSnd.prems(2) by auto
  moreover have "⟦M; [Send ts]⟧c (δ ∘s θ)"
  proof -
    have "⟦M; [send⟨ts · list δ⟩st]⟧c θ" using <⟦M; [Send ts] ·st δ⟧c θ> by simp
    hence "∀ t ∈ set (ts · list δ). M ⊢c t · θ" by simp
    hence "∀ t ∈ set ts. M ⊢c t · δ · θ" by auto
    hence "∀ t ∈ set ts. M ⊢c t · (δ ∘s θ)" using subst_subst_compose by metis
    thus "⟦M; [Send ts]⟧c (δ ∘s θ)" by auto
  qed
  ultimately show ?case by auto
next
  case (ConsRcv M ts S)
  hence "⟦((set ts ·set δ ·set θ) ∪ M); S ·st δ⟧c θ" by (simp add: subst_all_insert)
  hence "⟦((set ts ·set δ ∘s θ) ∪ M); S ·st δ⟧c θ" by (metis subst_comp_all)
  thus ?case using ConsRcv.IH ConsRcv.prems(2) by auto
next
  case (ConsIneq M X F S)
  have δ: "rm_vars (set X) δ = δ" using ConsIneq.prems(2) by force
  hence *: "⟦M; S⟧c (δ ∘s θ)"
  and ***: "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
  using ConsIneq unfolding bvarsst_def ineq_model_def by auto
  have **: "ineq_model θ X (F ·pairs δ)"
  using ConsIneq.prems(1) δ by (auto simp add: subst_compose_assoc ineq_model_def)
  have "∀ γ. subst_domain γ = set X ∧ ground (subst_range γ) → (subst_domain δ ∪ range_vars δ) ∩ (subst_domain γ ∪ range_vars γ) = {}"
  using * *** unfolding range_vars_alt_def by auto
  hence "∀ γ. subst_domain γ = set X ∧ ground (subst_range γ) → γ ∘s δ = δ ∘s γ"
  by (metis subst_comp_eq_if_disjoint_vars)
  hence "ineq_model (δ ∘s θ) X F"
  using ineq_model_subst'[OF *** **]
  by blast
  thus ?case using * by auto
next
  case ConsEq thus ?case unfolding bvarsst_def by auto
qed simp_all

private lemma strand_sem_subst_d:
  assumes "(subst_domain δ ∪ range_vars δ) ∩ bvarsst S = {}"
  shows "⟦M; S⟧d (δ ∘s θ) ⟹ ⟦M; S ·st δ⟧d θ"
using assms
proof (induction S arbitrary: δ M rule: strand_sem_induct)
  case (ConsSnd M ts S)
  hence "⟦M; S ·st δ⟧d θ" "∀ t ∈ set ts. M ⊢ t · (δ ∘s θ)" by auto
  hence "∀ t ∈ set ts. M ⊢ (t · δ) · θ"
  using subst_comp_all[of δ θ M] subst_subst_compose[of _ δ θ] by simp
  hence "∀ t ∈ set (ts · list δ). M ⊢ t · θ" by simp
  thus ?case using <⟦M; S ·st δ⟧d θ> by simp
next
  case (ConsRcv M ts S)
  have "⟦(set ts ·set δ ∘s θ) ∪ M; S⟧d (δ ∘s θ)" using ConsRcv.prems(1) by simp
  hence *: "⟦(set ts ·set δ ·set θ) ∪ M; S⟧d (δ ∘s θ)" by (metis subst_comp_all)
  have "bvarsst (Receive ts#S) = bvarsst S" by auto
  hence **: "(subst_domain δ ∪ range_vars δ) ∩ bvarsst S = {}" using ConsRcv.prems(2) by blast
  have "⟦M; Receive (ts · list δ) #(S ·st δ)⟧d θ" using ConsRcv.IH[OF **] by simp
  thus ?case by simp
next

```

```

case (ConsIneq M X F S)
hence *: " $\llbracket M; S \cdot_{st} \delta \rrbracket_d \vartheta$ " and
    ***: " $(\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap \text{set } X = \{\}$ "
unfolding bvarsst_def ineq_model_def by auto
have **: "ineq_model ( $\delta \circ_s \vartheta$ ) X F"
using ConsIneq by (auto simp add: subst_compose_assoc ineq_model_def)
have " $\forall \gamma. \text{subst\_domain } \gamma = \text{set } X \wedge \text{ground } (\text{subst\_range } \gamma)$ 
    $\longrightarrow (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap (\text{subst\_domain } \gamma \cup \text{range\_vars } \gamma) = \{\}$ "
using * ** *** unfolding range_vars_alt_def by auto
hence " $\forall \gamma. \text{subst\_domain } \gamma = \text{set } X \wedge \text{ground } (\text{subst\_range } \gamma) \longrightarrow \gamma \circ_s \delta = \delta \circ_s \gamma$ "
by (metis subst_comp_eq_if_disjoint_vars)
hence "ineq_model  $\vartheta$  X (F ·pairs  $\delta$ )"
using ineq_model_subst[OF *** **]
by blast
moreover have "rm_vars (set X)  $\delta = \delta$ " using ConsIneq.prems(2) by force
ultimately show ?case using * by auto
next
case ConsEq thus ?case unfolding bvarsst_def by auto
qed simp_all

private lemma strand_sem_subst_d':
assumes " $(\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap \text{bvars}_{st} S = \{\}$ "
shows " $\llbracket M; S \cdot_{st} \delta \rrbracket_d \vartheta \implies \llbracket M; S \rrbracket_d (\delta \circ_s \vartheta)$ "
using assms
proof (induction S arbitrary:  $\delta$  M rule: strand_sem_induct)
case (ConsSnd M ts S)
hence " $\llbracket M; [\text{Send ts}] \cdot_{st} \delta \rrbracket_d \vartheta$ " " $\llbracket M; S \cdot_{st} \delta \rrbracket_d \vartheta$ " by auto
hence " $\llbracket M; S \rrbracket_d (\delta \circ_s \vartheta)$ " using ConsSnd.IH[OF _] ConsSnd.prems(2) by auto
moreover have " $\llbracket M; [\text{Send ts}] \rrbracket_d (\delta \circ_s \vartheta)$ "
proof -
have " $\llbracket M; [\text{send}\langle ts \cdot_{list} \delta \rangle_{st}] \rrbracket_d \vartheta$ " using < $\llbracket M; [\text{Send ts}] \cdot_{st} \delta \rrbracket_d \vartheta$ > by simp
hence " $\forall t \in \text{set } (ts \cdot_{list} \delta). M \vdash t \cdot \vartheta$ " by simp
hence " $\forall t \in \text{set } ts. M \vdash t \cdot \delta \cdot \vartheta$ " by auto
hence " $\forall t \in \text{set } ts. M \vdash t \cdot (\delta \circ_s \vartheta)$ " using subst_subst_compose by metis
thus " $\llbracket M; [\text{Send ts}] \rrbracket_d (\delta \circ_s \vartheta)$ " by auto
qed
ultimately show ?case by auto
next
case (ConsRcv M ts S)
hence " $\llbracket ((\text{set } ts \cdot_{set} \delta \cdot_{set} \vartheta) \cup M); S \cdot_{st} \delta \rrbracket_d \vartheta$ " by (simp add: subst_all_insert)
hence " $\llbracket ((\text{set } ts \cdot_{set} \delta \circ_s \vartheta) \cup M); S \cdot_{st} \delta \rrbracket_d \vartheta$ " by (metis subst_comp_all)
thus ?case using ConsRcv.IH ConsRcv.prems(2) by auto
next
case (ConsIneq M X F S)
have  $\delta$ : "rm_vars (set X)  $\delta = \delta$ " using ConsIneq.prems(2) by force
hence *: " $\llbracket M; S \rrbracket_d (\delta \circ_s \vartheta)$ "
and ***: " $(\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap \text{set } X = \{\}$ "
using ConsIneq unfolding bvarsst_def ineq_model_def by auto
have **: "ineq_model  $\vartheta$  X (F ·pairs  $\delta$ )"
using ConsIneq.prems(1)  $\delta$  by (auto simp add: subst_compose_assoc ineq_model_def)
have " $\forall \gamma. \text{subst\_domain } \gamma = \text{set } X \wedge \text{ground } (\text{subst\_range } \gamma)$ 
    $\longrightarrow (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap (\text{subst\_domain } \gamma \cup \text{range\_vars } \gamma) = \{\}$ "
using * ** *** unfolding range_vars_alt_def by auto
hence " $\forall \gamma. \text{subst\_domain } \gamma = \text{set } X \wedge \text{ground } (\text{subst\_range } \gamma) \longrightarrow \gamma \circ_s \delta = \delta \circ_s \gamma$ "
by (metis subst_comp_eq_if_disjoint_vars)
hence "ineq_model ( $\delta \circ_s \vartheta$ ) X F"
using ineq_model_subst'[OF *** **]
by blast
thus ?case using * by auto
next
case ConsEq thus ?case unfolding bvarsst_def by auto
qed simp_all

```

```

lemmas strand_sem_subst =
  strand_sem_subst_c strand_sem_subst_c' strand_sem_subst_d strand_sem_subst_d'
end

lemma strand_sem_subst_subst_idem:
  assumes δ: "(subst_domain δ ∪ range_vars δ) ∩ bvarsst S = {}"
  shows "⟦[M; S]c δ⟧c (δ os θ); subst_idem δ ⟧ ⟶ ⟦[M; S]c (δ os θ)⟧"
using strand_sem_subst(2)[OF assms, of M "δ os θ"] subst_compose_assoc[of δ δ θ]
unfolding subst_idem_def by argo

lemma strand_sem_subst_comp:
  assumes "(subst_domain θ ∪ range_vars θ) ∩ bvarsst S = {}"
  and "[M; S]c δ" "subst_domain θ ∩ (varsst S ∪ fvset M) = {}"
  shows "[M; S]c (θ os δ)"
proof -
  from assms(3) have "subst_domain θ ∩ varsst S = {}" "subst_domain θ ∩ fvset M = {}" by auto
  hence "S ·st θ = S" "M ·set θ = M" using strand_substI set_subst_ident[of M θ] by (blast, blast)
  thus ?thesis using assms(2) by (auto simp add: strand_sem_subst(2)[OF assms(1)])
qed

lemma strand_sem_c_imp_ineqs_neq:
  assumes "[M; S]c I" "Inequality X [(t, t')] ∈ set S"
  shows "t ≠ t' ∧ (∀δ. subst_domain δ = set X ∧ ground (subst_range δ) → t · δ ≠ t' · δ ∧ t · δ · I ≠ t' · δ · I)"
using assms
proof (induction rule: strand_sem_induct)
  case (ConsIneq M Y F S) thus ?case
    proof (cases "Inequality X [(t, t')] ∈ set S")
      case False
      hence "X = Y" "F = [(t, t')]" using ConsIneq by auto
      hence *: "∀δ. subst_domain δ = set X ∧ ground (subst_range δ) → t · δ · I ≠ t' · δ · I"
        using ConsIneq by (auto simp add: ineq_model_def)
      then obtain δ where δ: "subst_domain δ = set X" "ground (subst_range δ)" "t · δ · I ≠ t' · δ · I"
        using interpretation_subst_exists'[of "set X"] by atomize_elim auto
      hence "t ≠ t'" by auto
      moreover have "¬(t · δ · I = t' · δ · I) → t · δ ≠ t' · δ" by auto
      ultimately show ?thesis using * by auto
    qed simp
  qed simp_all

lemma strand_sem_c_imp_ineq_model:
  assumes "[M; S]c I" "Inequality X F ∈ set S"
  shows "ineq_model I X F"
using assms by (induct S rule: strand_sem_induct) force+

lemma strand_sem_wf_simple_fv_sat:
  assumes "wfst {} S" "simple S" "⟦{}; S⟧c I"
  shows "¬(v. v ∈ wfrestrictedvarsst S) → ikst S ·set I ⊢c I v"
using assms
proof (induction S rule: wfst_simple_induct)
  case (ConsRcv t S)
  have "v ∈ wfrestrictedvarsst S"
    using ConsRcv.hyps(3) ConsRcv.prems(1) vars_snd_rcv_strand2
    by fastforce
  moreover have "⟦{}; S⟧c I" using <⟦{}; S@[Receive t]⟧c I> by blast
  moreover have "ikst S ·set I ⊆ ikst (S@[Receive t]) ·set I" by auto
  ultimately show ?case using ConsRcv.IH ideduct_synth_mono by meson
next
  case (ConsIneq X F S)
  hence "v ∈ wfrestrictedvarsst S" by fastforce
  moreover have "⟦{}; S⟧c I" using <⟦{}; S@[Inequality X F]⟧c I> by blast
  moreover have "ikst S ·set I ⊆ ikst (S@[Inequality X F]) ·set I" by auto
  ultimately show ?case using ConsIneq.IH ideduct_synth_mono by meson

```

```

next
  case (ConsSnd w S)
  hence *: "[]; S]_c I" "ikst S ·set I ⊢c I w" by auto
  have **: "ikst S ·set I ⊆ ikst (S@[Send [Var w]]) ·set I" by simp
  show ?case
  proof (cases "v = w")
    case True thus ?thesis using *(2) ideduct_synth_mono[OF _ **] by meson
  next
    case False
    hence "v ∈ wfrestrictedvarsst S" using ConsSnd.prems(1) by auto
    thus ?thesis using ConsSnd.IH[OF _ *(1)] ideduct_synth_mono[OF _ **] by metis
  qed
qed simp

lemma strand_sem_wf_ik_or_assignment_rhs_fun_subterm:
assumes "wfst {} A" "[]; A]_c I" "Var x ∈ ikst A" "I x = Fun f T"
          "ti ∈ set T" "¬ikst A ·set I ⊢c ti" "interpretationsubst I"
obtains S where
  "Fun f S ∈ subtermsset (ikst A) ∨ Fun f S ∈ subtermsset (assignment_rhsst A)"
  "Fun f T = Fun f S · I"
proof -
  have "x ∈ wfrestrictedvarsst A"
  by (metis (no_types) assms(3) set_rev_mp term.set_intro(3) vars_subset_if_in_strand_ik2)
  moreover have "Fun f T · I = Fun f T"
  by (metis subst_ground_ident interpretation_grounds_all assms(4,7))
  ultimately obtain Apre Asuf where *:
    "¬(∃w ∈ wfrestrictedvarsst Apre. Fun f T ⊑ I w)" ∨
    "(∃t. A = Apre@Send t#Asuf ∧ Fun f T ⊑set set t ·set I) ∨
     (∃t t'. A = Apre@Equality Assign t t'#Asuf ∧ Fun f T ⊑ t · I)"
  using wf_strand_first_Send_var_split[OF assms(1)] assms(4) subtermeqI' by metis
  moreover
  { fix ts assume **: "A = Apre@Send ts#Asuf" "Fun f T ⊑set set ts ·set I"
    hence ***: "∀t ∈ set ts. ikst Apre ·set I ⊢c t · I" "¬ikst Apre ·set I ⊢c ti"
      using assms(2,6) by (auto intro: ideduct_synth_mono)
    then obtain t where t: "t ∈ set ts" "Fun f T ⊑ t · I" "ikst Apre ·set I ⊢c t · I"
      using **(2) by blast
    obtain s where s: "s ∈ ikst Apre" "Fun f T ⊑ s · I"
      using t(3,2) ***(2) assms(5) by (induct rule: intruder_synth_induct) auto
    then obtain g S where gS: "Fun g S ⊑ s" "Fun f T = Fun g S · I"
      using subterm_subst_not_img_subterm[OF s(2)] *(1) by force
    hence ?thesis using that **(1) s(1) by force
  }
  moreover
  { fix t t' assume **: "A = Apre@Equality Assign t t'#Asuf" "Fun f T ⊑ t · I"
    with assms(2) have "t · I = t' · I" by auto
    hence "Fun f T ⊑ t' · I" using **(2) by auto
    from assms(1) **(1) have "fv t' ⊆ wfrestrictedvarsst Apre"
      using wf_eq_fv[of "{} Apre t t' Asuf] vars_snd_rcv_strand_subset2(4)[of Apre]
      by blast
    then obtain g S where gS: "Fun g S ⊑ t'" "Fun f T = Fun g S · I"
      using subterm_subst_not_img_subterm[OF <Fun f T ⊑ t' · I>] *(1) by fastforce
    hence ?thesis using that **(1) by auto
  }
  ultimately show ?thesis by auto
qed

lemma ineq_model_not_unif_is_sat_ineq:
assumes "¬θ. Unifier θ t t'"
shows "ineq_model I X [(t, t')]"
using assms list.set_intro(1)[of "(t, t')" "[]"]
unfolding ineq_model_def by blast

lemma strand_sem_not_unif_is_sat_ineq:

```

```

assumes "¬ ∃ θ. Unifier θ t t'"
shows "[M; [Inequality X [(t,t')]]]_c I" "[M; [Inequality X [(t,t')]]]_d I"
using ineq_model_not_unif_is_sat_ineq[OF assms]
strand_sem_c.simps(1,5)[of M] strand_sem_d.simps(1,5)[of M]
by presburger+

lemma ineq_model_singleI[intro]:
assumes "∀ δ. subst_domain δ = set X ∧ ground (subst_range δ) → t · δ · I ≠ t' · δ · I"
shows "ineq_model I X [(t,t')]"
using assms unfolding ineq_model_def by auto

lemma ineq_model_singleE:
assumes "ineq_model I X [(t,t')]"
shows "∀ δ. subst_domain δ = set X ∧ ground (subst_range δ) → t · δ · I ≠ t' · δ · I"
using assms unfolding ineq_model_def by auto

lemma ineq_model_single_iff:
fixes F::"((a,b) term × (a,b) term) list"
shows "ineq_model I X F ↔
      ineq_model I X [(Fun f (Fun c []#map fst F), Fun f (Fun c []#map snd F))]"
(is "?A ↔ ?B")
proof -
let ?P = "λδ f. fst f · (δ o_s I) ≠ snd f · (δ o_s I)"
let ?Q = "λδ t t'. t · (δ o_s I) ≠ t' · (δ o_s I)"
let ?T = "λg. Fun c []#map g F"
let ?S = "λδ g. map (λx. x · (δ o_s I)) (Fun c []#map g F)"
let ?t = "Fun f (?T fst)"
let ?t' = "Fun f (?T snd)"

have len: "¬ ∃ g h. length (?T g) = length (?T h)"
"¬ ∃ g h δ. length (?S δ g) = length (?T h)"
"¬ ∃ g h δ. length (?S δ g) = length (?T h)"
"¬ ∃ g h δ σ. length (?S δ g) = length (?S σ h)"
by simp_all

{ fix δ::"(a,b) subst"
assume δ: "subst_domain δ = set X" "ground (subst_range δ)"
have "list_ex (?P δ) F ↔ ?Q δ ?t ?t'"
proof
assume "list_ex (?P δ) F"
then obtain a where a: "a ∈ set F" "?P δ a" by (metis (mono_tags, lifting) Bex_set)
thus "?Q δ ?t ?t'" by auto
qed (fastforce simp add: Bex_set)
} thus ?thesis unfolding ineq_model_def case_prod unfold by auto
qed

```

### 3.1.7 Constraint Semantics (Alternative, Equivalent Version)

These are the constraint semantics used in the CSF 2017 paper

```

fun strand_sem_c'::"('fun,'var) terms ⇒ ('fun,'var) strand ⇒ ('fun,'var) subst ⇒ bool" (<[], [];
[]c'')
where
"[]c' = (λI. True)"
| "[M; []]c' = (λI. (∀t ∈ set ts. M ·set I ⊢c t · I) ∧ [M; S]c' I)"
| "[M; Send ts#S]c' = (λI. (M ·set I ⊢c t · I) ∧ [M; S]c' I)"
| "[M; Receive ts#S]c' = [set ts ∪ M; S]c''"
| "[M; Equality _ t t'#S]c' = (λI. t · I = t' · I ∧ [M; S]c' I)"
| "[M; Inequality X F#S]c' = (λI. ineq_model I X F ∧ [M; S]c' I)"

fun strand_sem_d'::"('fun,'var) terms ⇒ ('fun,'var) strand ⇒ ('fun,'var) subst ⇒ bool" (<[], [];
[]d'')
where
"[]d' = (λI. True)"
| "[M; []]d' = (λI. (∀t ∈ set ts. M ·set I ⊢ t · I) ∧ [M; S]d' I)"

```

### 3 The Typing Result for Non-Stateful Protocols

```

| "〔M; Receive ts#S〕_d' = 〔set ts ∪ M; S〕_d ''"
| "〔M; Equality _ t t' #S〕_d' = (λI. t · I = t' · I ∧ 〔M; S〕_d' I)"
| "〔M; Inequality X F#S〕_d' = (λI. ineq_model I X F ∧ 〔M; S〕_d' I)"

lemma strand_sem_eq_defs:
  "〔M; A〕_c' I = 〔M ·set I; A〕_c I"
  "〔M; A〕_d' I = 〔M ·set I; A〕_d I"
proof -
  have 1: "〔M; A〕_c' I ⟹ 〔M ·set I; A〕_c I"
  proof (induction A arbitrary: M rule: strand_sem.induct)
    case (ConsRcv M ts S) thus ?case by (fastforce simp add: image_Un[of "λt. t · I"])
  qed simp_all

  have 2: "〔M ·set I; A〕_c I ⟹ 〔M; A〕_c' I"
  proof (induction A arbitrary: M rule: strand_sem_c'.induct)
    case (3 M ts S) thus ?case by (fastforce simp add: image_Un[of "λt. t · I"])
  qed simp_all

  have 3: "〔M; A〕_d' I ⟹ 〔M ·set I; A〕_d I"
  proof (induction A arbitrary: M rule: strand_sem.induct)
    case (ConsRcv M ts S) thus ?case by (fastforce simp add: image_Un[of "λt. t · I"])
  qed simp_all

  have 4: "〔M ·set I; A〕_d I ⟹ 〔M; A〕_d' I"
  proof (induction A arbitrary: M rule: strand_sem_d'.induct)
    case (3 M ts S) thus ?case by (fastforce simp add: image_Un[of "λt. t · I"])
  qed simp_all

show "〔M; A〕_c' I = 〔M ·set I; A〕_c I" "〔M; A〕_d' I = 〔M ·set I; A〕_d I"
  by (metis 1 2, metis 3 4)
qed

lemma strand_sem_split'[dest]:
  "〔M; S@S'〕_c' θ ⟹ 〔M; S〕_c' θ"
  "〔M; S@S'〕_c' θ ⟹ 〔M ∪ ik_st S; S'〕_c' θ"
  "〔M; S@S'〕_d' θ ⟹ 〔M; S〕_d' θ"
  "〔M; S@S'〕_d' θ ⟹ 〔M ∪ ik_st S; S'〕_d' θ"
using strand_sem_eq_defs[of M "S@S'" θ]
  strand_sem_eq_defs[of M S θ]
  strand_sem_eq_defs[of "M ∪ ik_st S" S' θ]
  strand_sem_split(2,4)
by (auto simp add: image_Un)

lemma strand_sem_append'[intro]:
  "〔M; S〕_c' θ ⟹ 〔M ∪ ik_st S; S'〕_c' θ ⟹ 〔M; S@S'〕_c' θ"
  "〔M; S〕_d' θ ⟹ 〔M ∪ ik_st S; S'〕_d' θ ⟹ 〔M; S@S'〕_d' θ"
using strand_sem_eq_defs[of M "S@S'" θ]
  strand_sem_eq_defs[of M S θ]
  strand_sem_eq_defs[of "M ∪ ik_st S" S' θ]
by (auto simp add: image_Un)

end

```

#### 3.1.8 Dual Strands

```

fun dual_st :: "('a, 'b) strand ⇒ ('a, 'b) strand" where
  "dual_st [] = []"
| "dual_st (Receive t#S) = Send t#(dual_st S)"
| "dual_st (Send t#S) = Receive t#(dual_st S)"
| "dual_st (x#S) = x#(dual_st S)"

lemma dual_st_append: "dual_st (A@B) = (dual_st A)@(dual_st B)"
  by (induct A rule: dual_st.induct) auto

```

```

lemma dualst_self_inverse: "dualst (dualst S) = S"
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
qed simp

lemma dualst_trms_eq: "trmsst (dualst S) = trmsst S"
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
qed simp

lemma dualst_fv: "fvst (dualst A) = fvst A"
by (induct A rule: dualst.induct) auto

lemma dualst_bvars: "bvarsst (dualst A) = bvarsst A"
by (induct A rule: dualst.induct) fastforce+

end

```

## 3.2 The Lazy Intruder

```

theory Lazy_Intruder
imports Strands_and_Constraints Intruder_Deduction
begin

context intruder_model
begin

```

### 3.2.1 Definition of the Lazy Intruder

The lazy intruder constraint reduction system, defined as a relation on constraint states

```

inductive_set LI_rel::
  "((('fun', 'var) strand × (('fun', 'var) subst)) ×
   ('fun', 'var) strand × (('fun', 'var) subst)) set"
and LI_rel' (infix <~~> 50)
and LI_rel_tranc1 (infix <~~+> 50)
and LI_rel_rtranc1 (infix <~~*> 50)
where
  "A ~~> B ≡ (A,B) ∈ LI_rel"
  | "A ~~+> B ≡ (A,B) ∈ LI_rel+"
  | "A ~~*> B ≡ (A,B) ∈ LI_rel*"

  | Compose: "[simple S; length T = arity f; public f]
    ⇒ (S@Send [Fun f T]#S', θ) ~~> (S@map Send1 T)@S', θ)"
  | Unify: "[simple S; Fun f T' ∈ ikst S; Some δ = mgu (Fun f T) (Fun f T')]
    ⇒ (S@Send [Fun f T]#S', θ) ~~> ((S@S') ·st δ, θ ∘s δ)"
  | Equality: "[simple S; Some δ = mgu t t']
    ⇒ (S@Equality _ t t' #S', θ) ~~> ((S@S') ·st δ, θ ∘s δ)"

```

A "pre-processing step" to be applied before constraint reduction. It transforms constraints such that exactly one message is transmitted in each message transmission step. It is sound and complete and preserves the various well-formedness properties required by the lazy intruder.

```

fun LI_prepoc where
  "LI_prepoc [] = []"
  | "LI_prepoc (Send ts#S) = map Send1 ts@LI_prepoc S"
  | "LI_prepoc (Receive ts#S) = map Receive1 ts@LI_prepoc S"
  | "LI_prepoc (x#S) = x#LI_prepoc S"

definition LI_prepoc_prop where
  "LI_prepoc_prop S ≡ ∀ ts. Send ts ∈ set S ∨ Receive ts ∈ set S → (∃ t. ts = [t])"

```

### 3.2.2 Lemmata: Preprocessing

```

lemma LI_prepoc_prepoc_prop:
  "LI_prepoc_prop (LI_prepoc S)"
by (induct S rule: LI_prepoc.induct) (auto simp add: LI_prepoc_prop_def)

lemma LI_prepoc_sem_eq:
  "[[M; S]]_c I \longleftrightarrow [[M; LI_prepoc S]]_c I" (is "?A \longleftrightarrow ?B")
proof
  show "?A \Longrightarrow ?B"
  proof (induction S rule: strand_sem_induct)
    case (ConsSnd M ts S)
    hence "[[M; LI_prepoc S]]_c I" "[[M; map Send1 ts]]_c I" using strand_sem_Send_map(5) by auto
    moreover have "ikst (map Send1 ts) \cdot_set I = {}" unfolding ikst_is_rcv_set by fastforce
    ultimately show ?case using strand_sem_append(1) by simp
  next
    case (ConsRcv M ts S)
    hence "[[(set ts \cdot_set I) \cup M; LI_prepoc S]]_c I" "[[M; map Receive1 ts]]_c I"
      using strand_sem_Receive_map(3) by auto
    moreover have "ikst (map Receive1 ts) \cdot_set I = set ts \cdot_set I" unfolding ikst_is_rcv_set by force
    ultimately show ?case using strand_sem_append(1) by (simp add: Un_commute)
  qed simp_all

  show "?B \Longrightarrow ?A"
  proof (induction S arbitrary: M rule: LI_prepoc.induct)
    case (2 ts S)
    have "ikst (map Send1 ts) \cdot_set I = {}" unfolding ikst_is_rcv_set by fastforce
    hence "[[M; S]]_c I" "[[M; map Send1 ts]]_c I" using 2 strand_sem_append(1) by auto
    thus ?case using strand_sem_Send_map(5) by simp
  next
    case (3 ts S)
    have "ikst (map Receive1 ts) \cdot_set I = set ts \cdot_set I" unfolding ikst_is_rcv_set by force
    hence "[[M \cup (set ts \cdot_set I); S]]_c I" "[[M; map Receive1 ts]]_c I"
      using 3 strand_sem_append(1) by auto
    thus ?case using strand_sem_Receive_map(3) by (simp add: Un_commute)
  qed simp_all
qed

lemma LI_prepoc_sem_eq':
  "(I \models_c (S, \vartheta)) \longleftrightarrow (I \models_c (LI_prepoc S, \vartheta))"
using LI_prepoc_sem_eq unfolding constr_sem_c_def by simp

lemma LI_prepoc_vars_eq:
  "fvst (LI_prepoc S) = fvst S"
  "bvarsst (LI_prepoc S) = bvarsst S"
  "varsst (LI_prepoc S) = varsst S"
by (induct S rule: LI_prepoc.induct) auto

lemma LI_prepoc_trms_eq:
  "trmsst (LI_prepoc S) = trmsst S"
by (induct S rule: LI_prepoc.induct) auto

lemma LI_prepoc_wfst:
  assumes "wfst X S"
  shows "wfst X (LI_prepoc S)"
  using assms
proof (induction S arbitrary: X rule: wfst_induct)
  case (ConsRcv X ts S)
  hence "fvst (set ts) \subseteq X" "wfst X (LI_prepoc S)" by auto
  thus ?case using wf_Receive1_prefix by simp
next
  case (ConsSnd X ts S)
  hence "wfst (X \cup fvst (set ts)) (LI_prepoc S)" by simp

```

```

thus ?case using wf_Send1_prefix by simp
qed simp_all

lemma LI_preproc_preserves_wellformedness:
  assumes "wf_constr S θ"
  shows "wf_constr (LI_preproc S) θ"
using assms LI_preproc_vars_eq[of S] LI_preproc_wf_st[of "{}" S] unfolding wf_constr_def by argo

lemma LI_preproc_prop_SendE:
  assumes "LI_preproc_prop S"
  and "Send ts ∈ set S"
  shows "(∃x. ts = [Var x]) ∨ (∃f T. ts = [Fun f T])"
proof -
  obtain t where "ts = [t]" using assms unfolding LI_preproc_prop_def by auto
  thus ?thesis by (cases t) auto
qed

lemma LI_preproc_prop_split:
  "LI_preproc_prop (S@S') ⇔ LI_preproc_prop S ∧ LI_preproc_prop S'" (is "?A ⇔ ?B")
proof
  show "?A ⇒ ?B"
  proof (induction S)
    case (Cons x S) thus ?case unfolding LI_preproc_prop_def by (cases x) auto
  qed (simp add: LI_preproc_prop_def)

  show "?B ⇒ ?A"
  proof (induction S)
    case (Cons x S) thus ?case unfolding LI_preproc_prop_def by (cases x) auto
  qed (simp add: LI_preproc_prop_def)
qed

```

### 3.2.3 Lemma: The Lazy Intruder is Well-founded

```

context
begin
private lemma LI_compose_measure_lt:
  "((S@map Send1 T)@S', θ₁), (S@Send [Fun f T]#S', θ₂) ∈ measure_st"
using strand_fv_card_map_fun_eq[of S f T S'] strand_size_map_fun_lt[of T f]
by (simp add: measure_st_def size_st_def)

private lemma LI_unify_measure_lt:
  assumes "Some δ = mgu (Fun f T) t" "fv t ⊆ fv_st S"
  shows "(((S@S') ·st δ, θ₁), (S@Send [Fun f T]#S', θ₂)) ∈ measure_st"
proof (cases "δ = Var")
  assume "δ = Var"
  hence "(S@S') ·st δ = S@S'" by blast
  thus ?thesis
    using strand_fv_card_rm_fun_le[of S S' f T]
    by (auto simp add: measure_st_def size_st_def)
next
  assume "δ ≠ Var"
  then obtain v where "v ∈ fv (Fun f T) ∪ fv t" "subst_elim δ v"
  using mgu_eliminates[OF assms(1)[symmetric]] by metis
  hence v_in: "v ∈ fv_st (S@Send [Fun f T]#S')"
  using assms(2) by (auto simp add: measure_st_def size_st_def)

  have "range_vars δ ⊆ fv (Fun f T) ∪ fv_st S"
    using assms(2) mgu_vars_bounded[OF assms(1)[symmetric]] by auto
  hence img_bound: "range_vars δ ⊆ fv_st (S@Send [Fun f T]#S')" by auto

  have finite_fv: "finite (fv_st (S@Send [Fun f T]#S'))" by auto
  have "v ∉ fv_st ((S@Send [Fun f T]#S') ·st δ)"

```

### 3 The Typing Result for Non-Stateful Protocols

```

using strand fv subst subset_if subst elim[OF <subst_elim δ v>] v_in by metis
hence v_not_in: "v ∉ fv_st ((S@S') ·st δ)" by auto

have "fv_st ((S@S') ·st δ) ⊆ fv_st (S@Send [Fun f T]#S')"
  using strand subst fv bounded_if img bounded[OF img_bound] by simp
hence "fv_st ((S@S') ·st δ) ⊂ fv_st (S@Send [Fun f T]#S')" using v_in v_not_in by blast
hence "card (fv_st ((S@S') ·st δ)) < card (fv_st (S@Send [Fun f T]#S'))"
  using psubset_card_mono[OF finite_fv] by simp
thus ?thesis by (auto simp add: measure_st_def size_st_def)
qed

private lemma LI_equality_measure_lt:
  assumes "Some δ = mgu t t''"
  shows "(((S@S') ·st δ, θ₁), (S@Equality a t t' #S', θ₂)) ∈ measure_st"
proof (cases "δ = Var")
  assume "δ = Var"
  hence "(S@S') ·st δ = S@S'" by blast
  thus ?thesis
    using strand fv card rm_eq_le[of S S' a t t'] by simp
    by (auto simp add: measure_st_def size_st_def)
next
  assume "δ ≠ Var"
  then obtain v where "v ∈ fv t ∪ fv t'" "subst_elim δ v"
    using mgu eliminates[OF assms(1)[symmetric]] by metis
  hence v_in: "v ∈ fv_st (S@Equality a t t' #S')" using assms by auto

  have "range_vars δ ⊆ fv t ∪ fv t' ∪ fv_st S"
    using assms mgu vars bounded[OF assms(1)[symmetric]] by auto
  hence img_bound: "range_vars δ ⊆ fv_st (S@Equality a t t' #S')" by auto

  have finite_fv: "finite (fv_st (S@Equality a t t' #S'))" by auto

  have "v ∉ fv_st ((S@Equality a t t' #S') ·st δ)"
    using strand fv subst subset_if subst elim[OF <subst_elim δ v>] v_in by metis
  hence v_not_in: "v ∉ fv_st ((S@S') ·st δ)" by auto

  have "fv_st ((S@S') ·st δ) ⊆ fv_st (S@Equality a t t' #S')"
    using strand subst fv bounded_if img bounded[OF img_bound] by simp
  hence "fv_st ((S@S') ·st δ) ⊂ fv_st (S@Equality a t t' #S')" using v_in v_not_in by blast
  hence "card (fv_st ((S@S') ·st δ)) < card (fv_st (S@Equality a t t' #S'))"
    using psubset_card_mono[OF finite_fv] by simp
  thus ?thesis by (auto simp add: measure_st_def size_st_def)
qed

private lemma LI_in_measure: "(S₁, θ₁) ↦ (S₂, θ₂) ⇒ ((S₂, θ₂), (S₁, θ₁)) ∈ measure_st"
proof (induction rule: LI_rel.induct)
  case (Compose S T f S' θ) thus ?case using LI_compose_measure_lt[of S T S'] by metis
next
  case (Unify S f U δ T S' θ)
  hence "fv (Fun f U) ⊆ fv_st S"
    using fv_snd_rcv_strand_subset(2)[of S] by force
  thus ?case using LI_unify_measure_lt[OF Unify.hyps(3), of S S'] by metis
qed (metis LI_equality_measure_lt)

private lemma LI_in_measure_trans: "(S₁, θ₁) ↦⁺ (S₂, θ₂) ⇒ ((S₂, θ₂), (S₁, θ₁)) ∈ measure_st"
by (induction rule: trancl.induct, metis surjective_pairing LI_in_measure)
  (metis (no_types, lifting) surjective_pairing LI_in_measure measure_st_trans trans_def)

private lemma LI_converse_wellfounded_trans: "wf ((LI_rel⁺)⁻¹)"
proof -
  have "(LI_rel⁺)⁻¹ ⊆ measure_st" using LI_in_measure_trans by auto
  thus ?thesis using measure_st_wellfounded wf_subset by metis
qed

```

```

private lemma LI_acyclic_trans: "acyclic (LI_rel+)"
using wf_acyclic[OF LI_converse_wellfounded_trans] acyclic_converse by metis

private lemma LI_acyclic: "acyclic LI_rel"
using LI_acyclic_trans acyclic_subset by (simp add: acyclic_def)

lemma LI_no_infinite_chain: "\n(\exists f. \forall i. f i \rightsquigarrow+ f (Suc i))"
proof -
  have "\n(\exists f. \forall i. (f (Suc i), f i) \in (LI_rel+)\text{``}^{-1}\text{``})"
    using wf_iff_no_infinite_down_chain LI_converse_wellfounded_trans by metis
  thus ?thesis by simp
qed

private lemma LI_unify_finite:
  assumes "finite M"
  shows "finite {((S@Send [Fun f T]\#S',\vartheta), ((S@S') \cdot_{st} \delta,\vartheta \circ_s \delta)) | \delta T'}.
          simple S \wedge Fun f T' \in M \wedge Some \delta = mgu (Fun f T) (Fun f T')}"
using assms
proof (induction M rule: finite_induct)
  case (insert m M) thus ?case
    proof (cases m)
      case (Fun g U)
      let ?a = "\lambda\delta. ((S@Send [Fun f T]\#S',\vartheta), ((S@S') \cdot_{st} \delta,\vartheta \circ_s \delta))\delta"
      let ?A = "\lambda B. {?a \delta | \delta T'. simple S \wedge Fun f T' \in B \wedge Some \delta = mgu (Fun f T) (Fun f T')}"

      have "?A (insert m M) = (?A M) \cup (?A {m})" by auto
      moreover have "finite (?A {m})"
      proof (cases "\exists \delta. Some \delta = mgu (Fun f T) (Fun g U)")
        case True
        then obtain \delta where "\delta = mgu (Fun f T) (Fun g U)" by blast
        have A_m_eq: "\A \delta. ?a \delta \in ?A {m} \implies ?a \delta = ?a \delta'" by auto
        proof -
          fix \delta' assume "?a \delta' \in ?A {m}"
          hence "\exists \sigma. Some \sigma = mgu (Fun f T) (Fun g U) \wedge ?a \sigma = ?a \delta'" by auto
          using <m = Fun g U> by auto
          thus "?a \delta = ?a \delta'" by (metis \delta option.inject)
        qed
        have "?A {m} = {} \vee ?A {m} = {?a \delta}"
        proof (cases "simple S \wedge ?A {m} \neq {}")
          case True
          hence "simple S" "?A {m} \neq {}" by meson+
          hence "?A {m} = {?a \delta | \delta. Some \delta = mgu (Fun f T) (Fun g U)}" using <m = Fun g U> by auto
          hence "?a \delta \in ?A {m}" using \delta by auto
          show ?thesis
            proof (rule ccontr)
              assume "\(?A {m} = {} \vee ?A {m} = {?a \delta})"
              then obtain B where B: "?A {m} = insert (?a \delta) B" "?a \delta \notin B" "B \neq {}"
                using <>?A {m} \neq {}> <>?a \delta \in ?A {m}> by (metis (no_types, lifting) Set.set_insert)
              then obtain b where b: "?a \delta \neq b" "b \in B" by (metis (no_types, lifting) ex_in_conv)
              then obtain \delta' where \delta': "b = ?a \delta'" using B(1) by blast
              moreover have "?a \delta' \in ?A {m}" using B(1) b(2) \delta' by auto
              hence "?a \delta = ?a \delta'" by (blast dest!: A_m_eq)
              ultimately show False using b(1) by simp
            qed
            qed auto
            thus ?thesis by (metis (no_types, lifting) finite.emptyI finite_insert)
        next
          case False
          hence "?A {m} = {}" using <m = Fun g U> by blast
          thus ?thesis by (metis finite.emptyI)
        qed
      qed
    qed
  qed
qed

```

```

qed
ultimately show ?thesis using insert.IH by auto
qed simp
qed fastforce
end

```

### 3.2.4 Lemma: The Lazy Intruder Preserves Well-formedness

```

context
begin
private lemma LI_preserves_subst_wf_single:
assumes "(S1, $\vartheta$ 1) \sim (S2, $\vartheta$ 2)" "fvst S1 \cap bvarsst S1 = {}" "wfsubst  $\vartheta$ 1"
and "subst_domain  $\vartheta$ 1 \cap varsst S1 = {}" "range_vars  $\vartheta$ 1 \cap bvarsst S1 = {}"
shows "fvst S2 \cap bvarsst S2 = {}" "wfsubst  $\vartheta$ 2"
and "subst_domain  $\vartheta$ 2 \cap varsst S2 = {}" "range_vars  $\vartheta$ 2 \cap bvarsst S2 = {}"
using assms
proof (induction rule: LI_rel.induct)
case (Compose S X f S'  $\vartheta$ )
{ case 1 thus ?case using vars_st_snd_map by auto }
{ case 2 thus ?case using vars_st_snd_map by auto }
{ case 3 thus ?case using vars_st_snd_map by force }
{ case 4 thus ?case using vars_st_snd_map by auto }
next
case (Unify S f U  $\delta$  T S'  $\vartheta$ )
hence "fv (Fun f U) \subseteq fvst S'" using fv_subset_if_in_strand_ik' by blast
hence *: "subst_domain  $\delta \cup$  range_vars  $\delta \subseteq fv_{st} (S@Send [Fun f T]#S')"$ 
using mgu_vars_bounded[OF Unify.hyps(3)[symmetric]]
unfolding range_vars_alt_def by (fastforce simp del: subst_range.simps)

have "fvst (S@S') \subseteq fvst (S@Send [Fun f T]#S')" "varsst (S@S') \subseteq varsst (S@Send [Fun f T]#S')"
by auto
hence **: "fvst (S@S' \cdotst  $\delta$ ) \subseteq fvst (S@Send [Fun f T]#S')"
"varsst (S@S' \cdotst  $\delta$ ) \subseteq varsst (S@Send [Fun f T]#S')"
using subst_sends_strand_fv_to_img[of "S@S'"  $\delta$ ]
strand_subst_vars_union_bound[of "S@S'"  $\delta$ ] *
by blast+
have "wfsubst  $\delta$ " by (fact mgu_gives_wellformed_subst[OF Unify.hyps(3)[symmetric]])
{ case 1
have "bvarsst (S@S' \cdotst  $\delta$ ) = bvarsst (S@Send [Fun f T]#S')"
using bvars_subst_ident[of "S@S'"  $\delta$ ] by auto
thus ?case using 1 ** by blast
}
{ case 2
hence "subst_domain  $\vartheta \cap$  subst_domain  $\delta = {}" "subst_domain  $\vartheta \cap$  range_vars  $\delta = {}"$ 
using * by blast+
thus ?case by (metis wf_subst_compose[OF <wfsubst  $\vartheta$ > <wfsubst  $\delta$ >])
}
{ case 3
hence "subst_domain  $\vartheta \cap$  varsst (S@S' \cdotst  $\delta$ ) = {}" using ** by blast
moreover have "v \in fvst (S@Send [Fun f T]#S')" when "v \in subst_domain  $\delta$ " for v
using * that by blast
hence "subst_domain  $\delta \cap$  fvst (S@S' \cdotst  $\delta$ ) = {}"
using mgu_eliminates_dom[OF Unify.hyps(3)[symmetric]],
THEN strand_fv_subst_subset_if_subst_elim, of _ "S@Send [Fun f T]#S'"
unfolding subst_elim_def by auto
moreover have "bvarsst (S@S' \cdotst  $\delta$ ) = bvarsst (S@Send [Fun f T]#S')"
using bvars_subst_ident[of "S@S'"  $\delta$ ] by auto
hence "subst_domain  $\delta \cap$  bvarsst (S@S' \cdotst  $\delta$ ) = {}" using 3(1) * by blast
ultimately show ?case
using ** * subst_domain_compose[of  $\vartheta$   $\delta$ ] vars_st_is_fvst_bvars_st[of "S@S' \cdotst  $\delta$ "]
by blast$ 
```

```

}
{ case 4
  have ***: "bvarsst (S@S' ·st δ) = bvarsst (S@Send [Fun f T]#S')"
    using bvars_subst_ident[of "S@S'" δ] by auto
  hence "range_vars δ ∩ bvarsst (S@S' ·st δ) = {}" using 4(1) * by blast
  thus ?case using subst_img_comp_subset[of δ] 4(4) *** by blast
}
next
  case (Equality S δ t t' a S' δ)
  hence *: "subst_domain δ ∪ range_vars δ ⊆ fvst (S@Equality a t t'#S')"
    using mgu_vars_bounded[OF Equality.hyps(2)[symmetric]]
    unfolding range_vars_alt_def by fastforce

  have "fvst (S@S') ⊆ fvst (S@Equality a t t'#S')" "varsst (S@S') ⊆ varsst (S@Equality a t t'#S')"
    by auto
  hence **: "fvst (S@S' ·st δ) ⊆ fvst (S@Equality a t t'#S')"
    "varsst (S@S' ·st δ) ⊆ varsst (S@Equality a t t'#S')"
    using subst_sends_strand_fv_to_img[of "S@S'" δ]
    strand_subst_vars_union_bound[of "S@S'" δ] *
  by blast+
  have "wfsubst δ" by (fact mgu_gives_wellformed_subst[OF Equality.hyps(2)[symmetric]])
  { case 1
    have "bvarsst (S@S' ·st δ) = bvarsst (S@Equality a t t'#S')"
      using bvars_subst_ident[of "S@S'" δ] by auto
    thus ?case using 1 ** by blast
  }
  { case 2
    hence "subst_domain δ ∩ subst_domain δ = {}" "subst_domain δ ∩ range_vars δ = {}"
      using * by blast+
    thus ?case by (metis wf_subst_compose[OF <wfsubst δ> <wfsubst δ>])
  }
  { case 3
    hence "subst_domain δ ∩ varsst (S@S' ·st δ) = {}" using ** by blast
    moreover have "v ∈ fvst (S@Equality a t t'#S')" when "v ∈ subst_domain δ" for v
      using * that by blast
    hence "subst_domain δ ∩ fvst (S@S' ·st δ) = {}"
      using mgu_eliminates_dom[OF Equality.hyps(2)[symmetric],
        THEN strand_fv_subst_subset_if_subst_elim, of _ "S@Equality a t t'#S'"]
      unfolding subst_elim_def by auto
    moreover have "bvarsst (S@S' ·st δ) = bvarsst (S@Equality a t t'#S')"
      using bvars_subst_ident[of "S@S'" δ] by auto
    hence "subst_domain δ ∩ bvarsst (S@S' ·st δ) = {}" using 3(1) * by blast
    ultimately show ?case
      using ** * subst_domain_compose[of δ] varsst_is_fvst_bvarsst[of "S@S' ·st δ"]
      by blast
  }
  { case 4
    have ***: "bvarsst (S@S' ·st δ) = bvarsst (S@Equality a t t'#S')"
      using bvars_subst_ident[of "S@S'" δ] by auto
    hence "range_vars δ ∩ bvarsst (S@S' ·st δ) = {}" using 4(1) * by blast
    thus ?case using subst_img_comp_subset[of δ] 4(4) *** by blast
  }
qed

private lemma LI_preserves_subst_wf:
  assumes "(S1, δ1) ~~* (S2, δ2)" "fvst S1 ∩ bvarsst S1 = {}" "wfsubst δ1"
  and "subst_domain δ1 ∩ varsst S1 = {}" "range_vars δ1 ∩ bvarsst S1 = {}"
  shows "fvst S2 ∩ bvarsst S2 = {}" "wfsubst δ2"
  and "subst_domain δ2 ∩ varsst S2 = {}" "range_vars δ2 ∩ bvarsst S2 = {}"
using assms
proof (induction S2 δ2 rule: rtrancl_induct2)

```

```

case (step  $S_i \vartheta_i S_j \vartheta_j$ )
{ case 1 thus ?case using LI_preserves_subst_wf_single[ $\text{OF } \langle (S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j) \rangle$ ] step.IH by metis }
{ case 2 thus ?case using LI_preserves_subst_wf_single[ $\text{OF } \langle (S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j) \rangle$ ] step.IH by metis }
{ case 3 thus ?case using LI_preserves_subst_wf_single[ $\text{OF } \langle (S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j) \rangle$ ] step.IH by metis }
{ case 4 thus ?case using LI_preserves_subst_wf_single[ $\text{OF } \langle (S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j) \rangle$ ] step.IH by metis }
qed metis

lemma LI_preserves_wellformedness:
assumes "( $S_1, \vartheta_1$ ) \rightsquigarrow^* ( $S_2, \vartheta_2$ )" "wfconstr  $S_1 \vartheta_1$ "
shows "wfconstr  $S_2 \vartheta_2$ "
proof -
have *: "wfst {}  $S_j$ " 
when " $(S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j)$ " "wfconstr  $S_i \vartheta_i$ " for  $S_i \vartheta_i S_j \vartheta_j$ 
using that
proof (induction rule: LI_rel.induct)
case (Compose  $S T f S' \vartheta$ ) thus ?case by (metis wf_send_compose wfconstr_def)
next
case (Unify  $S f U \delta T S' \vartheta$ )
have "fv (Fun f T) \cup fv (Fun f U) \subseteq fvst (S@Send [Fun f T]#S')" using Unify.hyps(2) by force
hence "subst_domain  $\delta \cup \text{range\_vars } \delta \subseteq fv_{st} (S@Send [Fun f T]#S')"$ 
using mgu_vars_bounded[ $\text{OF Unify.hyps(3)[symmetric]}$ ] by (metis subset_trans)
hence "(subst_domain  $\delta \cup \text{range\_vars } \delta \cap bvars_{st} (S@Send [Fun f T]#S') = \{\}$ )"
using Unify.prems unfolding wfconstr_def by blast
thus ?case
using wf_unify[ $\text{OF } \text{Unify.hyps(2) MGU\_is\_Unifier[OF mgu\_gives\_MGU], of } \{\},$ 
 $\text{OF } \text{Unify.hyps(3)[symmetric], of } S' ]$  Unify.prems(1)
by (auto simp add: wfconstr_def)
next
case (Equality  $S \delta t t' a S' \vartheta$ )
have "fv t \cup fv t' \subseteq fvst (S@Equality a t t'#S')" using Equality.hyps(2) by force
hence "subst_domain  $\delta \cup \text{range\_vars } \delta \subseteq fv_{st} (S@Equality a t t'#S')"$ 
using mgu_vars_bounded[ $\text{OF Equality.hyps(2)[symmetric]}$ ] by (metis subset_trans)
hence "(subst_domain  $\delta \cup \text{range\_vars } \delta \cap bvars_{st} (S@Equality a t t'#S') = \{\}$ )"
using Equality.prems unfolding wfconstr_def by blast
thus ?case
using wf_equality[ $\text{OF } \text{Equality.hyps(2)[symmetric], of } \{\} S a S' ]$  Equality.prems(1)
by (auto simp add: wfconstr_def)
qed

show ?thesis using assms
proof (induction rule: rtrancl_induct2)
case (step  $S_i \vartheta_i S_j \vartheta_j$ ) thus ?case
using LI_preserves_subst_wf_single[ $\text{OF } \langle (S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j) \rangle * [\text{OF } \langle (S_i, \vartheta_i) \rightsquigarrow (S_j, \vartheta_j) \rangle]$ ]
by (metis wfconstr_def)
qed simp
qed

lemma LI_preserves_trm_wf:
assumes "( $S, \vartheta$ ) \rightsquigarrow^* ( $S', \vartheta'$ )" "wftrms (trmsst S)"
shows "wftrms (trmsst S')"
proof -
{ fix  $S \vartheta S' \vartheta'$ 
assume " $(S, \vartheta) \rightsquigarrow (S', \vartheta')$ " "wftrms (trmsst S)"
hence "wftrms (trmsst S')"
proof (induction rule: LI_rel.induct)
case (Compose  $S T f S' \vartheta$ )
hence "wftrm (Fun f T)"
and *: " $t \in \text{set } S \implies wf_{trms} (\text{trms}_{stp} t)$ " " $t \in \text{set } S' \implies wf_{trms} (\text{trms}_{stp} t)$ " for  $t$ 
by auto
hence "wftrm t" when " $t \in \text{set } T$ " for  $t$  using that unfolding wftrm_def by auto
hence "wftrms (trmsstp t)" when " $t \in \text{set } (\text{map Send1 } T)$ " for  $t$ 
using that unfolding wftrm_def by auto
thus ?case using * by force
}

```

```

next
  case (Unify S f U δ T S' θ)
  have "wftrm (Fun f T)" "wftrm (Fun f U)"
    using Unify.preds(1) Unify.hyps(2) wftrm_subterm[of _ "Fun f U"]
    by (simp, force)
  hence range_wf: "wftrms (subst_range δ)"
    using mgu_wf_trm[OF Unify.hyps(3)[symmetric]] by simp

{ fix s assume "s ∈ set (S@S' ·st δ)"
  hence "∃s' ∈ set (S@S'). s = s' ·stp δ ∧ wftrms (trmsstp s')"
    using Unify.preds(1) by (auto simp add: subst_apply_strand_def)
  moreover {
    fix s' assume s': "s = s' ·stp δ" "wftrms (trmsstp s')" "s' ∈ set (S@S')"
    from s'(2) have "trmsstp (s' ·stp δ) = trmsstp s' ·set (rm_vars (set (bvarsstp s')) δ)"
      proof (induction s')
        case (Inequality X F) thus ?case by (induct F) (auto simp add: subst_apply_pairs_def)
        qed auto
      hence "wftrms (trmsstp s)"
        using wftrm_subst[OF wftrms_subst_rm_vars'[OF range_wf]] <wftrms (trmsstp s')> s'(1)
        by simp
    }
    ultimately have "wftrms (trmsstp s)" by auto
  }
  thus ?case by auto
next
  case (Equality S δ t t' a S' θ)
  hence "wftrm t" "wftrm t'" by simp_all
  hence range_wf: "wftrms (subst_range δ)"
    using mgu_wf_trm[OF Equality.hyps(2)[symmetric]] by simp

{ fix s assume "s ∈ set (S@S' ·st δ)"
  hence "∃s' ∈ set (S@S'). s = s' ·stp δ ∧ wftrms (trmsstp s')"
    using Equality.preds(1) by (auto simp add: subst_apply_strand_def)
  moreover {
    fix s' assume s': "s = s' ·stp δ" "wftrms (trmsstp s')" "s' ∈ set (S@S')"
    from s'(2) have "trmsstp (s' ·stp δ) = trmsstp s' ·set (rm_vars (set (bvarsstp s')) δ)"
      proof (induction s')
        case (Inequality X F) thus ?case by (induct F) (auto simp add: subst_apply_pairs_def)
        qed auto
      hence "wftrms (trmsstp s)"
        using wftrm_subst[OF wftrms_subst_rm_vars'[OF range_wf]] <wftrms (trmsstp s')> s'(1)
        by simp
    }
    ultimately have "wftrms (trmsstp s)" by auto
  }
  thus ?case by auto
qed
}

with assms show ?thesis by (induction rule: rtrancl_induct2) metis+
qed

lemma LI_prepoc_prop_subst:
  "LI_prepoc_prop S ←→ LI_prepoc_prop (S ·st δ)"
proof (induction S)
  case (Cons x S) thus ?case unfolding LI_prepoc_prop_def by (cases x) auto
qed (simp add: LI_prepoc_prop_def)

lemma LI_preserves_LI_prepoc_prop:
  assumes "(S1, θ1) ~* (S2, θ2)" "LI_prepoc_prop S1"
  shows "LI_prepoc_prop S2"
using assms
proof (induction rule: rtrancl_induct2)
  case (step Si θi Sj θj)

```

```

hence "LI_prepoc_prop Si" by metis
with step.hyps(2) show ?case
proof (induction rule: LI_rel.induct)
  case (Unify S f T' δ T S' θ) thus ?case
    using LI_prepoc_prop_subst LI_prepoc_prop_split
    by (metis append.left_neutral append_Cons)
next
  case (Equality S δ t t' uu S' θ) thus ?case
    using LI_prepoc_prop_subst LI_prepoc_prop_split
    by (metis append.left_neutral append_Cons)
qed (auto simp add: LI_prepoc_prop_def)
qed simp
end

```

### 3.2.5 Theorem: Soundness of the Lazy Intruder

```

context
begin
private lemma LI_soundness_single:
  assumes "wfconstr S1 θ1" "(S1,θ1) ↪ (S2,θ2)" "I ⊨c ⟨S2,θ2⟩"
  shows "I ⊨c ⟨S1,θ1⟩"
using assms(2,1,3)
proof (induction rule: LI_rel.induct)
  case (Compose S T f S' θ)
  have "ikst (map Send1 T) ·set θ = {}" by fastforce
  hence *: "[{}; S]_c I" "[ikst S ·set I; map Send1 T]_c I" "[ikst S ·set I; S']_c I"
    using Compose unfolding constr_sem_c_def
    by (force, force, fastforce)

  have "ikst S ·set I ⊢c Fun f T · I"
    using *(2) Compose.hyps(2) ComposeC[OF _ Compose.hyps(3), of "map (λx. x · I) T"]
    unfolding subst_compose_def by force
  thus "I ⊨c ⟨S@Send [Fun f T]#S',θ⟩"
    using *(1,3) <I ⊨c ⟨S@map Send1 T@S',θ>
    by (auto simp add: constr_sem_c_def)
next
  case (Unify S f U δ T S' θ)
  have "(θ ∘s δ) supports I" "[{}; S@S' ·st δ]_c I"
    using Unify.prem(2) unfolding constr_sem_c_def by metis+
  then obtain σ where σ: "θ ∘s δ ∘s σ = I" unfolding subst_compose_def by auto

  have θfun_id: "Fun f U · θ = Fun f U" "Fun f T · θ = Fun f T"
    using Unify.prem(1) subst_apply_term_ident[of "Fun f U" θ]
    fv_subset_if_in_strand_ik[of "Fun f U" S] Unify.hyps(2)
    fv_snd_rcv_strand_subset(2)[of S]
    strand_vars_split(1)[of S "Send [Fun f T]#S'"]
  unfolding wfconstr_def apply blast
  using Unify.prem(1) subst_apply_term_ident[of "Fun f T" θ]
  unfolding wfconstr_def by fastforce
  hence θδ_disj:
    "subst_domain θ ∩ subst_domain δ = {}"
    "subst_domain θ ∩ range_vars δ = {}"
    "subst_domain θ ∩ range_vars θ = {}"
    using trm_subst_disj mgu_vars_bounded[OF Unify.hyps(3)[symmetric]] apply (blast,blast)
    using Unify.prem(1) unfolding wfconstr_def wfsubst_def by blast
  hence θδ_support: "θ supports I" "δ supports I"
    by (simp_all add: subst_support_comp_split[OF <(θ ∘s δ) supports I>])

  have "fv (Fun f T) ⊆ fvst (S@Send [Fun f T]#S')" "fv (Fun f U) ⊆ fvst (S@Send [Fun f T]#S')"
    using Unify.hyps(2) by force+
  hence δ_vars_bound: "subst_domain δ ∪ range_vars δ ⊆ fvst (S@Send [Fun f T]#S')"
    using mgu_vars_bounded[OF Unify.hyps(3)[symmetric]] by blast

```

```

have "⟦ikst S ·set I; [Send [Fun f T]]⟧c I"
proof -
  from Unify.hyps(2) have "Fun f U · I ∈ ikst S ·set I" by blast
  hence "Fun f U · I ∈ ikst S ·set I" by blast
  moreover have "Unifier δ (Fun f T) (Fun f U)"
    by (fact MGU_is_Unifier[OF mgu_gives_MGU[OF Unify.hyps(3)[symmetric]]])
  ultimately have "Fun f T · I ∈ ikst S ·set I"
    using σ by (metis vfun_id subst_subst_compose)
  thus ?thesis by simp
qed

have "⟦{}; S⟧c I" "⟦ikst S ·set I; S'⟧c I"
proof -
  have "(S@S' ·st δ) ·st θ = S@S' ·st δ" "(S@S') ·st θ = S@S'"
  proof -
    have "subst_domain θ ∩ varsst (S@S') = {}"
      using Unify.prems(1) by (auto simp add: wfconstr_def)
    hence "subst_domain θ ∩ varsst (S@S' ·st δ) = {}"
      using vδ_disj(2) strand_subst_vars_union_bound[of "S@S'" δ] by blast
    thus "(S@S' ·st δ) ·st θ = S@S' ·st δ" "(S@S') ·st θ = S@S'"
      using strand_subst_comp <subst_domain θ ∩ varsst (S@S') = {}> by (blast,blast)
  qed
  moreover have "subst_idem δ" by (fact mgu_gives_subst_idem[OF Unify.hyps(3)[symmetric]])
  moreover have
    "(subst_domain θ ∪ range_vars θ) ∩ bvarsst (S@S') = {}"
    "(subst_domain θ ∪ range_vars θ) ∩ bvarsst (S@S' ·st δ) = {}"
    "(subst_domain δ ∪ range_vars δ) ∩ bvarsst (S@S') = {}"
  using wf_constr_bvars_disj[OF Unify.prems(1)]
    wf_constr_bvars_disj'[OF Unify.prems(1) δ_vars_bound]
  by auto
  ultimately have "⟦{}; S@S'⟧c I"
    using <⟦{}; S@S' ·st δ⟧c I> σ
      strand_sem_subst(1)[of θ "S@S' ·st δ" "{}" "δ os σ"]
      strand_sem_subst(2)[of θ "S@S'" "{}" "δ os σ"]
      strand_sem_subst_idem[of δ "S@S'" "{}" σ]
  unfolding constr_sem_c_def
    by (metis subst_compose_assoc)
  thus "⟦{}; S⟧c I" "⟦ikst S ·set I; S'⟧c I" by auto
qed

show "I ⊨c ⟨S@Send [Fun f T]#S', θ⟩"
  using vδ_support(1) <⟦ikst S ·set I; [Send [Fun f T]]⟧c I> <⟦{}; S⟧c I> <⟦ikst S ·set I; S'⟧c I>
  by (auto simp add: constr_sem_c_def)

next
  case (Equality S δ t t' a S' θ)
  have "(θ os δ) supports I" "⟦{}; S@S' ·st δ⟧c I"
    using Equality.prems(2) unfolding constr_sem_c_def by metis+
  then obtain σ where σ: "θ os δ os σ = I" unfolding subst_compose_def by auto

  have "fv t ⊆ varsst (S@Equality a t t'#S')" "fv t' ⊆ varsst (S@Equality a t t'#S')"
    by auto
  moreover have "subst_domain θ ∩ varsst (S@Equality a t t'#S') = {}"
    using Equality.prems(1) unfolding wfconstr_def by auto
  ultimately have vfun_id: "t · θ = t" "t' · θ = t'" by auto
  hence vδ_disj:
    "subst_domain θ ∩ subst_domain δ = {}"
    "subst_domain θ ∩ range_vars δ = {}"
    "subst_domain θ ∩ range_vars δ = {}"
  using trm_subst_disj mgu_vars_bounded[OF Equality.hyps(2)[symmetric]] apply (blast,blast)
    using Equality.prems(1) unfolding wfconstr_def wfsubst_def by blast
  hence vδ_support: "θ supports I" "δ supports I"
    by (simp_all add: subst_support_comp_split[OF <(θ os δ) supports I>])

```

```

have "fv t ⊆ fvst (S@Equality a t t'#S')" "fv t' ⊆ fvst (S@Equality a t t'#S')" by auto
hence δvars_bound: "subst_domain δ ∪ range_vars δ ⊆ fvst (S@Equality a t t'#S')"
  using mguvars_bounded[OF Equality.hyps(2)[symmetric]] by blast

have "[ikst S ·set I; [Equality a t t']]c I"
proof -
  have "t · δ = t' · δ"
    using MGU_is_Unifier[OF mgu_gives_MGU[OF Equality.hyps(2)[symmetric]]]
    by metis
  hence "t · (θ os δ) = t' · (θ os δ)" by (metis θfun_id subst_subst_compose)
  hence "t · I = t' · I" by (metis σ subst_subst_compose)
  thus ?thesis by simp
qed

have "[{}; S]c I" "[ikst S ·set I; S']c I"
proof -
  have "(S@S' ·st δ) ·st θ = S@S' ·st δ" "(S@S') ·st θ = S@S''"
  proof -
    have "subst_domain θ ∩ varsst (S@S') = {}"
      using Equality.prems(1)
      by (fastforce simp add: wfconstr_def simp del: subst_range.simps)
    hence "subst_domain θ ∩ fvst (S@S') = {}" by blast
    hence "subst_domain θ ∩ fvst (S@S' ·st δ) = {}"
      using θδ_disj(2) subst_sends_strand_fv_to_img[of "S@S'' δ"] by blast
    thus "(S@S' ·st δ) ·st θ = S@S' ·st δ" "(S@S') ·st θ = S@S''"
      using strand_subst_comp <subst_domain θ ∩ varsst (S@S') = {}> by (blast,blast)
  qed
  moreover have
    "(subst_domain θ ∪ range_vars θ) ∩ bvarsst (S@S') = {}"
    "(subst_domain θ ∪ range_vars θ) ∩ bvarsst (S@S' ·st δ) = {}"
    "(subst_domain δ ∪ range_vars δ) ∩ bvarsst (S@S') = {}"
  using wfconstr_bvars_disj[OF Equality.prems(1)]
    wfconstr_bvars_disj'[OF Equality.prems(1) δvars_bound]
  by auto
  ultimately have "[{}; S@S']c I"
    using <[{}; S@S' ·st δ]>c I> σ
      strand_sem_subst(1)[of θ "S@S' ·st δ" "{}" "δ os σ"]
      strand_sem_subst(2)[of θ "S@S'" "{}" "δ os σ"]
      strand_sem_subst_subst_idem[of δ "S@S'" "{}" σ]
        mgu_gives_subst_idem[OF Equality.hyps(2)[symmetric]]
  unfolding constr_sem_c_def
  by (metis subst_compose_assoc)
  thus "[{}; S]c I" "[ikst S ·set I; S']c I" by auto
qed

show "I ⊨c ⟨S@Equality a t t'#S', θ⟩"
  using θδ_support(1) <[ikst S ·set I; [Equality a t t']]>c I> <[{}; S]c I> <[ikst S ·set I; S']>c I>
  by (auto simp add: constr_sem_c_def)
qed

theorem LI_soundness:
  assumes "wfconstr S1 θ1" "(LI_preproc S1, θ1) ~* (S2, θ2)" "I ⊨c ⟨S2, θ2⟩"
  shows "I ⊨c ⟨S1, θ1⟩"
using assms(2,1,3)
proof (induction S2 θ2 rule: rtrancl_induct2)
  case (step Si θi Sj θj) thus ?case
    using LI_preproc_preserves_wellformedness[OF <wfconstr S1 θ1>]
      LI_preserves_wellformedness[OF <(LI_preproc S1, θ1) ~* (Si, θi)>]
        LI_soundness_single[OF _ <(Si, θi) ~* (Sj, θj)> <I ⊨c ⟨Sj, θj⟩>]
    by metis
qed (metis LI_preproc_sem_eq')
end

```

### 3.2.6 Theorem: Completeness of the Lazy Intruder

```

context
begin
private lemma LI_completeness_single:
  assumes "wfconstr S1 θ1" " $\mathcal{I} \models_c \langle S_1, \theta_1 \rangle$ " " $\neg \text{simple } S_1$ " "LI_preproc_prop S1"
  shows " $\exists S_2 \theta_2. (S_1, \theta_1) \rightsquigarrow (S_2, \theta_2) \wedge (\mathcal{I} \models_c \langle S_2, \theta_2 \rangle)$ "
using not_simple_elim[OF  $\neg \text{simple } S_1$ ]
proof -
  { — In this case  $S_1$  isn't simple because it contains an equality constraint, so we can simply proceed with the reduction by computing the MGU for the equation
    assume " $\exists S' S'' a t t'. S_1 = S' @ Equality a t t' # S'' \wedge \text{simple } S''$ "
    then obtain S a t t' S' where S1: " $S_1 = S @ Equality a t t' # S''$ " " $\text{simple } S''$  by atomize_elim force
    hence *: " $wf_{st} \{ \} S'' \mathcal{I} \models_c \langle S, \theta_1 \rangle$ " " $\theta_1 \text{ supports } \mathcal{I}$ " " $t \cdot \mathcal{I} = t' \cdot \mathcal{I}$ "
    using < $\mathcal{I} \models_c \langle S_1, \theta_1 \rangle$ > < $wf_{constr} S_1 \theta_1$ > wf_eq_fv[of "{}" S t t' S']
      fv_snd_rcv_strand_subset(5)[of S]
    by (auto simp add: constr_sem_c_def wfconstr_def)

    from * have "Unifier  $\mathcal{I} t t'$ " by simp
    then obtain δ where δ:
      "Some δ = mgu t t'" " $\text{subst\_idem } δ$ " " $\text{subst\_domain } δ \cup \text{range\_vars } δ \subseteq \text{fv } t \cup \text{fv } t'$ "
      using mgu_always_unifies mgu_gives_subst_idem mgu_vars_bounded by metis+
    have "δ ⊑o  $\mathcal{I}$ "
      using mgu_gives_MGU[OF δ(1)[symmetric]]
      by (metis <Unifier  $\mathcal{I} t t'$ >)
    hence "δ supports  $\mathcal{I}$ " using subst_support_if_mgt_subst_idem[OF _ δ(2)] by metis
    hence " $(\theta_1 \circ_s δ) \text{ supports } \mathcal{I}$ " using subst_support_comp < $\theta_1 \text{ supports } \mathcal{I}$ > by metis

    have "[{}; S @ S' ·st δ]_c \mathcal{I}"
    proof -
      have "subst_domain δ ∪ range_vars δ ⊆ fvst S1" using δ(3) S1(1) by auto
      hence "[{}; S1 ·st δ]_c \mathcal{I}"
        using <subst_idem δ> <δ ⊑o  $\mathcal{I}$ > < $\mathcal{I} \models_c \langle S_1, \theta_1 \rangle$ > strand_sem_subst
          wfconstr_bvars_disj'(1)[OF assms(1)]
        unfolding subst_idem_def constr_sem_c_def
        by (metis (no_types) subst_compose_assoc)
      thus "[{}; S @ S' ·st δ]_c \mathcal{I}" using S1(1) by force
    qed
    moreover have "(S @ Equality a t t' # S', θ1) \rightsquigarrow (S @ S' ·st δ, θ1 ∘s δ)"
      using LI_rel.Equality[OF <simple S> δ(1)] S1 by metis
    ultimately have ?thesis
      using S1(1) <(θ1 ∘s δ) supports  $\mathcal{I}$ >
      by (auto simp add: constr_sem_c_def)
  } moreover {
    — In this case  $S_1$  isn't simple because it contains a deduction constraint for a composed term, so we must look at how this composed term is derived under the interpretation  $\mathcal{I}$ 
    assume " $\exists S' S'' ts. S_1 = S' @ Send ts # S'' \wedge (\nexists x. ts = [Var x]) \wedge \text{simple } S''$ "
    hence " $\exists S' S'' f T. S_1 = S' @ Send [Fun f T] # S'' \wedge \text{simple } S''$ "
      using LI_preproc_prop_SendE[OF <LI_preproc_prop S1>]
      by fastforce
    with assms obtain S f T S' where S1: " $S_1 = S @ Send [Fun f T] # S''$ " " $\text{simple } S''$  by atomize_elim auto
    hence " $wf_{st} \{ \} S'' \mathcal{I} \models_c \langle S, \theta_1 \rangle$ " " $\theta_1 \text{ supports } \mathcal{I}$ "
      using < $\mathcal{I} \models_c \langle S_1, \theta_1 \rangle$ > < $wf_{constr} S_1 \theta_1$ >
      by (auto simp add: constr_sem_c_def wfconstr_def)

    — Lemma for a common subcase
    have fun_sat: " $\mathcal{I} \models_c \langle S @ (map Send1 T) @ S', \theta_1 \rangle$ "
      when T: " $\bigwedge t. t \in set T \implies ik_{st} S \cdot_{set} \mathcal{I} \vdash_c t \cdot \mathcal{I}$ "
    proof -
      have " $\bigwedge t. t \in set T \implies [ik_{st} S \cdot_{set} \mathcal{I}; [Send1 t]]_c \mathcal{I}$ " using T by simp
      hence "[ik_{st} S \cdot_{set} \mathcal{I}; map Send1 T]_c \mathcal{I}"
        using < $\mathcal{I} \models_c \langle S_1, \theta_1 \rangle$ > strand_sem_Send_map by blast
    
```

```

moreover have "ikst (S@[Send1 (Fun f T)]) ·set I = ikst (S@(map Send1 T)) ·set I" by auto
hence "[ikst (S@(map Send1 T)) ·set I; S'] ·c I"
  using <I ⊨c {S1, ϑ1}> unfolding S1(1) constr_sem_c_def by force
ultimately show ?thesis
  using <I ⊨c {S, ϑ}> strand_sem_append(1)[of "{}" S I "map Send1 T"]
    strand_sem_append(1)[of "{}" "S@map Send1 T" I S']
  unfolding constr_sem_c_def by simp
qed

from S1 <I ⊨c {S1, ϑ1}> have "ikst S ·set I ⊢c Fun f T · I" by (auto simp add: constr_sem_c_def)
hence ?thesis
proof cases
  — Case 1: I(f(T)) has been derived using the AxiomC rule.
  case AxiomC
  hence ex_t: "∃t. t ∈ ikst S ∧ Fun f T · I = t · I" by auto
  show ?thesis
  proof (cases "∀T'. Fun f T' ∈ ikst S → Fun f T · I ≠ Fun f T' · I")
    — Case 1.1: f(T) is equal to a variable in the intruder knowledge under I. Hence there must exists a deduction constraint in the simple prefix of the constraint in which this variable occurs/"is sent" for the first time. Since this variable itself cannot have been derived from the AxiomC rule (because it must be equal under the interpretation to f(T), which is by assumption not in the intruder knowledge under I) it must be the case that we can derive it using the ComposeC rule. Hence we can apply the Compose rule of the lazy intruder to f(T).
    case True
    have "∃v. Var v ∈ ikst S ∧ Fun f T · I = I v"
    proof -
      obtain t where "t ∈ ikst S" "Fun f T · I = t · I" using ex_t by atomize_elim auto
      thus ?thesis
        using <∀T'. Fun f T' ∈ ikst S → Fun f T · I ≠ Fun f T' · I>
        by (cases t) auto
    qed
    hence "∃v ∈ wfrestrictedvarsst S. Fun f T · I = I v"
    using vars_subset_if_in_strand_ik2[of _ S] by fastforce
    then obtain v Spre Ssuf
      where S: "S = Spre@Send [Var v]#Ssuf" "Fun f T · I = I v"
            "¬(∃w ∈ wfrestrictedvarsst Spre. Fun f T · I = I w)"
      using <wfst {} S> wf_simple_strand_first_Send_var_split[OF _ <simple S>, of "Fun f T" I]
      by auto
    hence "∀w. Var w ∈ ikst Spre → I v ≠ Var w · I" by force
    moreover have "∀T'. Fun f T' ∈ ikst Spre → Fun f T · I ≠ Fun f T' · I"
      using <∀T'. Fun f T' ∈ ikst S → Fun f T · I ≠ Fun f T' · I> S(1)
      by (meson contra_subsetD ik_append_subset(1))
    hence "∀g T'. Fun g T' ∈ ikst Spre → I v ≠ Fun g T' · I" using S(2) by simp
    ultimately have "∀t ∈ ikst Spre. I v ≠ t · I" by (metis term.exhaust)
    hence "I v ∉ (ikst Spre) ·set I" by auto

    have "ikst Spre ·set I ⊢c I v"
      using S(1) S(1) <I ⊨c {S1, ϑ1}>
      by (auto simp add: constr_sem_c_def)
    hence "ikst Spre ·set I ⊢c Fun f T · I" using <Fun f T · I = I v> by metis
    hence "length T = arity f" "public f" "¬t ∈ set T ⇒ ikst Spre ·set I ⊢c t · I"
      using <Fun f T · I = I v> <I v ∉ ikst Spre ·set I>
      intruder_synth.simps[of "ikst Spre ·set I" "I v"]
      by auto
    hence *: "¬t ∈ set T ⇒ ikst S ·set I ⊢c t · I"
      using S(1) by (auto intro: ideduct_synth_mono)
    hence "I ⊨c (S@(map Send1 T)@S', ϑ1)" by (metis fun_sat)
    moreover have "(S@Send [Fun f T]#S', ϑ1) ↪ (S@map Send1 T@S', ϑ1)"
      by (metis LI_rel.Compose[OF <simple S> <length T = arity f> <public f>])
    ultimately show ?thesis using S1 by auto
  next
    — Case 1.2: I(f(T)) can be derived from an interpreted composed term in the intruder knowledge. Use the Unify rule on this composed term to further reduce the constraint.
    case False

```

```

then obtain T' where t: "Fun f T' ∈ ikst S" "Fun f T · I = Fun f T' · I"
  by auto
hence "fv (Fun f T') ⊆ fvst S1"
  using S1(1) fv_subset_if_in_strand_ik'[OF t(1)]
    fv_snd_rcv_strand_subset(2)[of S]
  by auto
from t have "Unifier I (Fun f T) (Fun f T')" by simp
then obtain δ where δ:
  "Some δ = mgu (Fun f T) (Fun f T')" "subst_idem δ"
  "subst_domain δ ∪ range_vars δ ⊆ fv (Fun f T) ∪ fv (Fun f T')"
  using mgu_always_unifies mgu_gives_subst_idem mgu_vars_bounded by metis+
have "δ ⊢ I"
  using mgu_gives_MGU[OF δ(1)[symmetric]]
  by (metis <Unifier I (Fun f T) (Fun f T')>)
hence "δ supports I" using subst_support_if_mgt_subst_idem[OF _ δ(2)] by metis
hence "(θ1 os δ) supports I" using subst_support_comp <θ1 supports I> by metis

have "[{}; S@S' ·st δ]c I"
proof -
  have "subst_domain δ ∪ range_vars δ ⊆ fvst S1"
    using δ(3) S1(1) <fv (Fun f T') ⊆ fvst S1>
    unfolding range_vars_alt_def by (fastforce simp del: subst_range.simps)
  hence "[{}; S1 ·st δ]c I"
    using <subst_idem δ> <δ ⊢ I> <I ⊢c ⟨S1, θ1>> strand_sem_subst
      wf_constr_bvars_disj'(1)[OF assms(1)]
    unfolding subst_idem_def constr_sem_c_def
    by (metis (no_types) subst_compose_assoc)
  thus "[{}; S@S' ·st δ]c I" using S1(1) by force
qed
moreover have "(S@Send [Fun f T]#S', θ1) ↣ (S@S' ·st δ, θ1 os δ)"
  using LI_rel.Unify[OF <simple S> t(1) δ(1)] S1 by metis
ultimately show ?thesis
  using S1(1) <(θ1 os δ) supports I>
  by (auto simp add: constr_sem_c_def)
qed
next
— Case 2: I(f(T)) has been derived using the ComposeC rule. Simply use the Compose rule of the lazy intruder to proceed with the reduction.
case (ComposeC T' g)
hence "f = g" "length T = arity f" "public f"
  and "A x. x ∈ set T ⇒ ikst S ·set I ⊢c x · I"
  by auto
hence "I ⊢c (S@map Send1 T)@S', θ1" using fun_sat by metis
moreover have "(S1, θ1) ↣ (S@map Send1 T)@S', θ1"
  using S1 LI_rel.Compose[OF <simple S> <length T = arity f> <public f>]
  by metis
ultimately show ?thesis by metis
qed
} moreover have "A B X F. S1 = A@Inequality X F#B ⇒ ineq_model I X F"
  using assms(2) by (auto simp add: constr_sem_c_def)
ultimately show ?thesis using not_simple_elim[OF <¬simple S1>] by metis
qed

theorem LI_completeness:
assumes "wfconstr S1 θ1" "I ⊢c ⟨S1, θ1>" "I ⊢c (S2, θ2)"
shows "∃ S3 θ3. (LI_preproc S1, θ1) ↣* (S3, θ3) ∧ simple S3 ∧ (I ⊢c ⟨S3, θ3>)" "I ⊢c (S2, θ2)"
proof (cases "simple (LI_preproc S1)")
  case False
  let ?Stuck = "λS2 θ2. ¬(∃ S3 θ3. (S2, θ2) ↣ (S3, θ3) ∧ (I ⊢c ⟨S3, θ3>))"
  let ?Sats = "f((S, θ), (S', θ')). (S, θ) ↣ (S', θ') ∧ (I ⊢c ⟨S, θ⟩) ∧ (I ⊢c ⟨S', θ'⟩)" "I ⊢c (S', θ')"
  have simple_if_stuck:

```

```

"\ $\bigwedge S_2 \vartheta_2. \llbracket (LI\_preproc S_1, \vartheta_1) \rightsquigarrow^+ (S_2, \vartheta_2); \mathcal{I} \models_c \langle S_2, \vartheta_2 \rangle; ?Stuck S_2 \vartheta_2 \rrbracket \implies simple S_2"$ 
using LI_preserves_wellformedness[OF <wfconstr S1 θ1>]
    LI_preserves_LI_preproc_prop[OF _ LI_preserves_preproc_prop]
    LI_completeness_single[OF LI_preserves_wellformedness]
    trancl_into_rtrancl
by metis

have base: "?Sats"
using LI_preserves_wellformedness[OF <wfconstr S1 θ1>]
    LI_completeness_single[OF _ False LI_preserves_preproc_prop]
    LI_preproc_sem_eq' <math>\mathcal{I} \models_c \langle S_1, \vartheta_1 \rangle>
by auto

have *: "?Sats"
proof -
fix S θ S' θ'
assume "((S, θ), (S', θ')) ∈ ?Sats"
thus "(S, θ) \rightsquigarrow^+ (S', θ') \wedge (\mathcal{I} \models_c \langle S', θ' \rangle)"
by (induct rule: trancl_induct2) auto
qed

have "?Sats"
proof (rule ccontr)
assume "\exists S_2 \vartheta_2. ((LI\_preproc S_1, \vartheta_1), (S_2, \vartheta_2)) \in ?Sats \wedge ?Stuck S_2 \vartheta_2"
hence sat_not_stuck: "\bigwedge S_2 \vartheta_2. ((LI\_preproc S_1, \vartheta_1), (S_2, \vartheta_2)) \in ?Sats \implies \neg ?Stuck S_2 \vartheta_2" by blast

have "?Sats"
proof (intro allI impI)
fix S θ assume a: "((LI\_preproc S_1, \vartheta_1), (S, \vartheta)) \in ?Sats"
have "\bigwedge b. ((LI\_preproc S_1, \vartheta_1), b) \in ?Sats \implies \exists c. b \rightsquigarrow c \wedge ((LI\_preproc S_1, \vartheta_1), c) \in ?Sats"
proof -
fix b assume in_sat: "((LI\_preproc S_1, \vartheta_1), b) \in ?Sats"
hence "\exists c. (b, c) \in ?Sats" using * sat_not_stuck by (cases b) blast
thus "\exists c. b \rightsquigarrow c \wedge ((LI\_preproc S_1, \vartheta_1), c) \in ?Sats"
using trancl_into_trancl[OF in_sat] by blast
qed
hence "?Sats" using a by auto
then obtain S' θ' where S'θ': "(S, \vartheta) \rightsquigarrow (S', \vartheta') \wedge ((LI\_preproc S_1, \vartheta_1), (S', \vartheta')) \in ?Sats" by
auto
hence "\mathcal{I} \models_c \langle S', \vartheta' \rangle" using * by blast
moreover have "(LI\_preproc S_1, \vartheta_1) \rightsquigarrow^+ (S, \vartheta)" using a trancl_mono by blast
ultimately have "((S, \vartheta), (S', \vartheta')) \in ?Sats" using S'θ'(1) * a by blast
thus "\exists b. ((S, \vartheta), b) \in ?Sats" using S'θ'(2) by blast
qed
hence "?Sats" using infinite_chain_intro'[OF base] by blast
moreover have "?Sats \subseteq LI\_rel^+" by auto
hence "\neg (\exists f. \forall i::nat. (f i, f (Suc i)) \in ?Sats)"
using LI_no_infinite_chain_infinite_chain_mono by blast
ultimately show False by auto
qed
hence "?Sats" using simple_if_stuck * by blast
thus ?thesis by (meson trancl_into_rtrancl)
qed (use LI_preproc_sem_eq' <math>\mathcal{I} \models_c \langle S_1, \vartheta_1 \rangle> in blast)
end

```

### 3.2.7 Corollary: Soundness and Completeness as a Single Theorem

```

corollary LI_soundness_and_completeness:
assumes "wfconstr S1 θ1"
shows "\mathcal{I} \models_c \langle S_1, \vartheta_1 \rangle \longleftrightarrow (\exists S_2 \vartheta_2. (LI\_preproc S_1, \vartheta_1) \rightsquigarrow^* (S_2, \vartheta_2) \wedge simple S_2 \wedge (\mathcal{I} \models_c \langle S_2, \vartheta_2 \rangle))"
by (metis LI_soundness[OF assms] LI_completeness[OF assms])

```

```
end
end
```

### 3.3 The Typed Model

```
theory Typed_Model
imports Lazy_Intruder
begin

Term types

type_synonym ('f, 'v) term_type = "('f, 'v) term"

Constructors for term types

abbreviation (input) TAtom:: "'v ⇒ ('f, 'v) term_type" where
  "TAtom a ≡ Var a"

abbreviation (input) TComp:: "[('f, 'v) term_type list] ⇒ ('f, 'v) term_type" where
  "TComp f ts ≡ Fun f ts"
```

The typed model extends the intruder model with a typing function  $\Gamma$  that assigns types to terms.

```
locale typed_model = intruder_model arity public Ana
for arity:: "'fun ⇒ nat"
and public:: "'fun ⇒ bool"
and Ana:: "('fun, 'var) term ⇒ (('fun, 'var) term list × ('fun, 'var) term list)"
+
fixes Γ:: "('fun, 'var) term ⇒ ('fun, 'atom::finite) term_type"
assumes const_type: "¬c. arity c = 0 ⇒ ∃a. ∀ts. Γ (Fun c ts) = TAtom a"
and fun_type: "¬f. arity f > 0 ⇒ Γ (Fun f ts) = TComp f (map Γ ts)"
and Γ_wf: "¬x. f. ts. TComp f ts ⊑ Γ (Var x) ⇒ arity f > 0"
  "¬x. wf_trm (Γ (Var x))"
```

```
begin
```

#### 3.3.1 Definitions

The set of atomic types

```
abbreviation "Ξ_a ≡ UNIV::('atom set)"
```

Well-typed substitutions

```
definition wt_subst where
  "wt_subst σ ≡ (∀v. Γ (Var v) = Γ (σ v))"
```

The set of sub-message patterns (SMP)

```
inductive_set SMP:: "('fun, 'var) terms ⇒ ('fun, 'var) terms" for M where
  MP[intro]: "t ∈ M ⇒ t ∈ SMP M"
  | Subterm[intro]: "[t ∈ SMP M; t' ⊑ t] ⇒ t' ∈ SMP M"
  | Substitution[intro]: "[t ∈ SMP M; wt_subst δ; wf_trms (subst_range δ)] ⇒ (t · δ) ∈ SMP M"
  | Ana[intro]: "[t ∈ SMP M; Ana t = (K, T); k ∈ set K] ⇒ k ∈ SMP M"
```

Type-flaw resistance for sets: Unifiable sub-message patterns must have the same type (unless they are variables)

```
definition tfr_set where
  "tfr_set M ≡ (∀s ∈ SMP M - (Var ` V). ∀t ∈ SMP M - (Var ` V). (∃δ. Unifier δ s t) → Γ s = Γ t)"
```

Type-flaw resistance for strand steps: - The terms in a satisfiable equality step must have the same types - Inequality steps must satisfy the conditions of the "inequality lemma"

```
fun tfr_stp where
  "tfr_stp (Equality a t t') = ((∃δ. Unifier δ t t') → Γ t = Γ t')"
  | "tfr_stp (Inequality X F) = (
```

```
( $\forall x \in fv_{pairs} F - set X. \exists a. \Gamma (Var x) = TAtom a \vee$ 
 $(\forall f T. Fun f T \in subterms_{set} (trms_{pairs} F) \longrightarrow T = [] \vee (\exists s \in set T. s \notin Var \setminus set X)))$ "
```

| "tfr<sub>stp</sub> \_ = True"

Type-flaw resistance for strands: - The set of terms in strands must be type-flaw resistant - The steps of strands must be type-flaw resistant

**definition** tfr<sub>st</sub> **where**

```
"tfrst S ≡ tfrset (trmsst S) ∧ list_all tfrstp S"
```

### 3.3.2 Small Lemmata

```
lemma tfrstp_list_all_alt_def:
"list_all tfrstp S  $\longleftrightarrow$ 
 (( $\forall a t t'. Equality a t t' \in set S \wedge (\exists \delta. Unifier \delta t t') \longrightarrow \Gamma t = \Gamma t'$ ) \wedge
 ( $\forall X F. Inequality X F \in set S \longrightarrow$ 
 ( $\forall x \in fv_{pairs} F - set X. \exists a. \Gamma (Var x) = TAtom a$ )
  $\vee (\forall f T. Fun f T \in subterms_{set} (trms_{pairs} F) \longrightarrow T = [] \vee (\exists s \in set T. s \notin Var \setminus set X)))$ "
```

(is "?P S  $\longleftrightarrow$  ?Q S")

**proof**

show "?P S  $\Longrightarrow$  ?Q S"

proof (induction S)

case (Cons x S) thus ?case by (cases x) auto

qed simp

show "?Q S  $\Longrightarrow$  ?P S"

proof (induction S)

case (Cons x S) thus ?case by (cases x) auto

qed simp

qed

lemma Γ\_wf'': "TComp f T ⊑ Γ t  $\Longrightarrow$  arity f > 0"

proof (induction t)

case (Var x) thus ?case using Γ\_wf(1)[of f T x] by blast

next

case (Fun g S) thus ?case

using fun\_type[of g S] const\_type[of g] by (cases "arity g") auto

qed

lemma Γ\_wf': "wf<sub>trm</sub> t  $\Longrightarrow$  wf<sub>trm</sub> (Γ t)"

proof (induction t)

case (Fun f T)

hence \*: "arity f = length T" " $\bigwedge t. t \in set T \Longrightarrow wf_{trm} (\Gamma t)$ " unfolding wf<sub>trm</sub>\_def by auto

{ assume "arity f = 0" hence ?case using const\_type[of f] by auto }

moreover

{ assume "arity f > 0" hence ?case using fun\_type[of f] \* by force }

ultimately show ?case by auto

qed (metis Γ\_wf(2))

lemma fun\_type\_inv: assumes "Γ t = TComp f T" shows "arity f > 0"

using Γ\_wf''(1)[of f T t] assms by simp\_all

lemma fun\_type\_inv\_wf: assumes "Γ t = TComp f T" "wf<sub>trm</sub> t" shows "arity f = length T"

using Γ\_wf'[OF assms(2)] assms(1) unfolding wf<sub>trm</sub>\_def by auto

lemma const\_type\_inv: "Γ (Fun c X) = TAtom a  $\Longrightarrow$  arity c = 0"

by (rule ccontr, simp add: fun\_type)

lemma const\_type\_inv\_wf: assumes "Γ (Fun c X) = TAtom a" and "wf<sub>trm</sub> (Fun c X)" shows "X = []"

by (metis assms const\_type\_inv length\_0\_conv subtermeqI' wf<sub>trm</sub>\_def)

lemma const\_type': " $\forall c \in \mathcal{C}. \exists a \in \mathfrak{T}_a. \forall X. \Gamma (Fun c X) = TAtom a$ " using const\_type by simp

lemma fun\_type': " $\forall f \in \Sigma_f. \forall X. \Gamma (Fun f X) = TComp f (map \Gamma X)$ " using fun\_type by simp

```

lemma fun_type_id_eq: " $\Gamma (\text{Fun } f X) = \text{TComp } g Y \implies f = g$ "
by (metis const_type fun_type neq0_conv "term.inject"(2) "term.simps"(4))

lemma fun_type_length_eq: " $\Gamma (\text{Fun } f X) = \text{TComp } g Y \implies \text{length } X = \text{length } Y$ "
by (metis fun_type fun_type_id_eq fun_type_inv(1) length_map term.inject(2))

lemma pgwt_type_map:
  assumes "public_ground_wf_term t"
  shows " $\Gamma t = \text{TAtom } a \implies \exists f. t = \text{Fun } f []$ " " $\Gamma t = \text{TComp } g Y \implies \exists X. t = \text{Fun } g X \wedge \text{map } \Gamma X = Y$ "
proof -
  let ?A = " $\Gamma t = \text{TAtom } a \implies (\exists f. t = \text{Fun } f [])$ "
  let ?B = " $\Gamma t = \text{TComp } g Y \implies (\exists X. t = \text{Fun } g X \wedge \text{map } \Gamma X = Y)$ "
  have "?A \wedge ?B"
  proof (cases "t")
    case (Var a)
    obtain f X where "t = Fun f X" "arity f = length X"
      using pgwt_fun[OF assms(1)] pgwt_arithy[OF assms(1)] by fastforce+
    thus ?thesis using const_type_inv <math>\langle\Gamma t = \text{TAtom } a\rangle</math> by auto
  next
    case (Fun g Y)
    obtain f X where *: "t = Fun f X" using pgwt_fun[OF assms(1)] by force
    hence "f = g" "map \Gamma X = Y"
      using fun_type_id_eq <math>\langle\Gamma t = \text{TComp } g Y\rangle</math> fun_type[OF fun_type_inv(1)[OF <math>\langle\Gamma t = \text{TComp } g Y\rangle</math>]]</math>
      by fastforce+
    thus ?thesis using *(1) <math>\langle\Gamma t = \text{TComp } g Y\rangle</math> by auto
  qed
  thus " $\Gamma t = \text{TAtom } a \implies \exists f. t = \text{Fun } f []$ " " $\Gamma t = \text{TComp } g Y \implies \exists X. t = \text{Fun } g X \wedge \text{map } \Gamma X = Y$ "
    by auto
qed

lemma wt_subst_Var[simp]: "wt_subst Var" by (metis wt_subst_def)

lemma wt_subst_trm: " $(\bigwedge v. v \in fv t \implies \Gamma (Var v) = \Gamma (\vartheta v)) \implies \Gamma t = \Gamma (t \cdot \vartheta)$ "
proof (induction t)
  case (Fun f X)
  hence *: " $\bigwedge x. x \in set X \implies \Gamma x = \Gamma (x \cdot \vartheta)$ " by auto
  show ?case
  proof (cases "f \in \Sigma_f")
    case True
    hence "\forall X. \Gamma (Fun f X) = \text{TComp } f (\text{map } \Gamma X)" using fun_type' by auto
    thus ?thesis using * by auto
  next
    case False
    hence "\exists a \in \mathfrak{T}_a. \forall X. \Gamma (Fun f X) = \text{TAtom } a" using const_type' by auto
    thus ?thesis by auto
  qed
qed auto

lemma wt_subst_trm': "[[wt_subst \sigma; \Gamma s = \Gamma t]] \implies \Gamma (s \cdot \sigma) = \Gamma (t \cdot \sigma)"
by (metis wt_subst_trm wt_subst_def)

lemma wt_subst_trm'': "wt_subst \sigma \implies \Gamma t = \Gamma (t \cdot \sigma)"
by (metis wt_subst_trm wt_subst_def)

lemma wt_subst_compose:
  assumes "wt_subst \vartheta" "wt_subst \delta" shows "wt_subst (\vartheta \circ_s \delta)"
proof -
  have "\forall v. \Gamma (\vartheta v) = \Gamma (\vartheta v \cdot \delta)" using wt_subst_trm <math>\langle\text{wt_subst } \delta\rangle</math> unfolding wt_subst_def by metis
  moreover have "\forall v. \Gamma (Var v) = \Gamma (\vartheta v)" using <math>\langle\text{wt_subst } \vartheta\rangle</math> unfolding wt_subst_def by metis
  ultimately have "\forall v. \Gamma (Var v) = \Gamma (\vartheta v \cdot \delta)" by metis
  thus ?thesis unfolding wt_subst_def subst_compose_def by metis
qed

```

```

lemma wt_subst_TAtom_Var_cases:
assumes "wt_subst ⦃" "wf_trms (subst_range ⦃)"
and x: "Γ (Var x) = TAtom a"
shows "(∃y. ⦃ x = Var y) ∨ (∃c. ⦃ x = Fun c [])"
proof (cases "(∃y. ⦃ x = Var y)")
case False
then obtain c T where c: "⦃ x = Fun c T"
by (cases "⦃ x") simp_all
hence "wf_trm (Fun c T)"
using ⦃(2) by fastforce
hence "T = []"
using const_type_inv_wf[of c T a] x c wt_subst_trm'[OF ⦃(1), of "Var x"]
by fastforce
thus ?thesis
using c by blast
qed simp

lemma wt_subst_TAtom_fv:
assumes "wt_subst ⦃" "∀x. wf_trm (⦃ x)"
and "∀x ∈ fv t - X. ∃a. Γ (Var x) = TAtom a"
shows "∀x ∈ fv (t · ⦃) - fv_set (⦃ ` X). ∃a. Γ (Var x) = TAtom a"
using assms(3)
proof (induction t)
case (Var x) thus ?case
proof (cases "x ∈ X")
case False
with Var obtain a where "Γ (Var x) = TAtom a" by atomize_elim auto
hence *: "Γ (⦃ x) = TAtom a" "wf_trm (⦃ x)" using ⦃ unfolding wt_subst_def by auto
show ?thesis
proof (cases "⦃ x")
case (Var y) thus ?thesis using * by auto
next
case (Fun f T)
hence "T = []" using * const_type_inv[of f T a] unfolding wf_trm_def by auto
thus ?thesis using Fun by auto
qed
qed auto
qed fastforce

lemma wt_subst_TAtom_subterms_subst:
assumes "wt_subst ⦃" "∀x ∈ fv t. ∃a. Γ (Var x) = TAtom a" "wf_trms (⦃ ` fv t)"
shows "subterms (t · ⦃) = subterms t ·set ⦃"
using assms(2,3)
proof (induction t)
case (Var x)
obtain a where a: "Γ (Var x) = TAtom a" using Var.prems(1) by atomize_elim auto
hence "Γ (⦃ x) = TAtom a" using wt_subst_trm'[OF assms(1), of "Var x"] by simp
hence "(∃y. ⦃ x = Var y) ∨ (∃c. ⦃ x = Fun c [])"
using const_type_inv_wf Var.prems(2) by (cases "⦃ x") auto
thus ?case by auto
next
case (Fun f T)
have "subterms (t · ⦃) = subterms t ·set ⦃" when "t ∈ set T" for t
using that Fun.prems(1,2) Fun.IH[OF that]
by auto
thus ?case by auto
qed

lemma wt_subst_TAtom_subterms_set_subst:
assumes "wt_subst ⦃" "∀x ∈ fv_set M. ∃a. Γ (Var x) = TAtom a" "wf_trms (⦃ ` fv_set M)"
shows "subterms_set (M ·set ⦃) = subterms_set M ·set ⦃"
proof
show "subterms_set (M ·set ⦃) ⊆ subterms_set M ·set ⦃"

```

```

proof
fix t assume "t ∈ subtermsset (M ·set θ)"
then obtain s where "s ∈ M" "t ∈ subterms (s · θ)" by auto
thus "t ∈ subtermsset M ·set θ"
  using assms(2,3) wt_subst_TAtom_subterms_subst[OF assms(1), of s]
  by auto
qed

show "subtermsset M ·set θ ⊆ subtermsset (M ·set θ)"
proof
fix t assume "t ∈ subtermsset M ·set θ"
then obtain s where "s ∈ M" "t ∈ subterms s ·set θ" by auto
thus "t ∈ subtermsset (M ·set θ)"
  using assms(2,3) wt_subst_TAtom_subterms_subst[OF assms(1), of s]
  by auto
qed
qed

lemma wt_subst_subst_upd:
assumes "wtsubst θ"
  and "Γ (Var x) = Γ t"
shows "wtsubst (θ(x := t))"
using assms unfolding wtsubst_def
by (metis fun_upd_other fun_upd_same)

lemma wt_subst_const_fv_type_eq:
assumes "∀x ∈ fv t. ∃a. Γ (Var x) = TAtom a"
  and δ: "wtsubst δ" "wftrms (subst_range δ)"
shows "∀x ∈ fv (t · δ). ∃y ∈ fv t. Γ (Var x) = Γ (Var y)"
using assms(1)
proof (induction t)
case (Var x)
then obtain a where a: "Γ (Var x) = TAtom a" by atomize_elim auto
show ?case
proof (cases "δ x")
case (Fun f T)
hence "wftrm (Fun f T)" "Γ (Fun f T) = TAtom a"
  using a wtsubst_trm''[OF δ(1), of "Var x"] δ(2) by fastforce+
thus ?thesis using const_type_inv_wf Fun by fastforce
qed (use a wtsubst_trm''[OF δ(1), of "Var x"] in simp)
qed fastforce

lemma TComp_term_cases:
assumes "wftrm t" "Γ t = TComp f T"
shows "(∃v. t = Var v) ∨ (∃T'. t = Fun f T' ∧ T = map Γ T' ∧ T' ≠ [])"
proof (cases "∃v. t = Var v")
case False
then obtain T' where T': "t = Fun f T'" "T = map Γ T'"
  using assms fun_type[OF fun_type_inv(1)[OF assms(2)]] fun_type_id_eq
  by (cases t) force+
thus ?thesis using assms fun_type_inv(1) fun_type_inv_wf by fastforce
qed metis

lemma TAtom_term_cases:
assumes "wftrm t" "Γ t = TAtom τ"
shows "(∃v. t = Var v) ∨ (∃f. t = Fun f [])"
using assms const_type_inv unfolding wftrm_def by (cases t) auto

lemma subtermeq_imp_subtermtypeeq:
assumes "wftrm t" "s ⊑ t"
shows "Γ s ⊑ Γ t"
using assms(2,1)
proof (induction t)

```

```

case (Fun f T) thus ?case
proof (cases "s = Fun f T")
  case False
    then obtain x where "x ∈ set T" "s ⊑ x" using Fun.prems(1) by auto
    hence "wftrm x" using wftrm_subtermeq[OF Fun.prems(2)] Fun_param_is_subterm[of _ T f] by auto
    hence "Γ s ⊑ Γ x" using Fun.IH[OF x] by simp
    moreover have "arity f > 0" using x fun_type_inv_wf Fun.prems
      by (metis length_pos_if_in_set term.order_refl wftrm_def)
    ultimately show ?thesis using x Fun.prems fun_type[of f T] by auto
qed simp
qed simp

lemma subterm_funs_term_in_type:
  assumes "wftrm t" "Fun f T ⊑ t" "Γ (Fun f T) = TComp f (map Γ T)"
  shows "f ∈ funs_term (Γ t)"
using assms(2,1,3)
proof (induction t)
  case (Fun f' T')
  hence [simp]: "wftrm (Fun f T)" by (metis wftrm_subtermeq)
  { fix a assume τ: "Γ (Fun f' T') = TAtom a"
    hence "Fun f T = Fun f' T'" using Fun.TAtom_term_cases subtermeq_Var_const by metis
    hence False using Fun.prems(3) τ by simp
  }
  moreover
  { fix g S assume τ: "Γ (Fun f' T') = TComp g S"
    hence "g = f'" "S = map Γ T'"
      using Fun.prems(2) fun_type_id_eq[OF τ] fun_type[OF fun_type_inv(1)[OF τ]]
      by auto
    hence τ': "Γ (Fun f' T') = TComp f' (map Γ T')" using τ by auto
    hence "g ∈ funs_term (Γ (Fun f' T'))" using τ by auto
    moreover {
      assume "Fun f T ≠ Fun f' T'"
      then obtain x where "x ∈ set T'" "Fun f T ⊑ x" using Fun.prems(1) by auto
      hence "f ∈ funs_term (Γ x)"
        using Fun.IH[OF _ _ _ Fun.prems(3), of x] wftrm_subtermeq[OF <wftrm (Fun f' T'), of x]
        by force
      moreover have "Γ x ∈ set (map Γ T')" using τ' <x ∈ set T'> by auto
      ultimately have "f ∈ funs_term (Γ (Fun f' T'))" using τ' by auto
    }
    ultimately have ?case by (cases "Fun f T = Fun f' T'") (auto simp add: <g = f'>)
  }
  ultimately show ?case by (cases "Γ (Fun f' T')") auto
qed simp

lemma wt_subst_fv_termin_type_subterm:
  assumes "x ∈ fv (θ y)"
  and "wtsubst θ"
  and "wftrm (θ y)"
  shows "Γ (Var x) ⊑ Γ (Var y)"
using subtermeq_imp_subtermtypeeq[OF assms(3) var_is_subterm[OF assms(1)]]
      wtsubst_trm''[OF assms(2), of "Var y"]
by auto

lemma wt_subst_fv_set_termin_type_subterm:
  assumes "x ∈ fvset (θ ` Y)"
  and "wtsubst θ"
  and "wftrm (subst_range θ)"
  shows "∃y ∈ Y. Γ (Var x) ⊑ Γ (Var y)"
using wtsubst_fv_termin_type_subterm[OF _ assms(2), of x] assms(1,3)
by fastforce

lemma funs_term_type_iff:
  assumes t: "wftrm t"

```

```

and f: "arity f > 0"
shows "f ∈ funs_term (Γ t) ↔ (f ∈ funs_term t ∨ (∃ x ∈ fv t. f ∈ funs_term (Γ (Var x))))"
(is "?P t ↔ ?Q t")
using t
proof (induction t)
  case (Fun g T)
  hence IH: "?P s ↔ ?Q s" when "s ∈ set T" for s
    using that wf_trm_subterm[OF _ Fun_param_is_subterm]
    by blast
  have 0: "arity g = length T" using Fun.prems unfolding wf_trm_def by auto
  show ?case
    proof (cases "f = g")
      case True thus ?thesis using fun_type[OF f] by simp
    next
      case False
      have "?P (Fun g T) ↔ (∃ s ∈ set T. ?P s)"
      proof
        assume *: "?P (Fun g T)"
        hence "Γ (Fun g T) = TComp g (map Γ T)"
          using const_type[of g] fun_type[of g] by force
        thus "∃ s ∈ set T. ?P s" using False * by force
      next
        assume *: "∃ s ∈ set T. ?P s"
        hence "Γ (Fun g T) = TComp g (map Γ T)"
          using 0 const_type[of g] fun_type[of g] by force
        thus "?P (Fun g T)" using False * by force
      qed
      thus ?thesis using False f IH by auto
    qed
  qed simp

lemma funs_term_type_iff':
assumes M: "wf_trms M"
  and f: "arity f > 0"
shows "f ∈ ∪(funs_term ` Γ ` M) ↔
      (f ∈ ∪(funs_term ` M) ∨ (∃ x ∈ fvset M. f ∈ funs_term (Γ (Var x))))" (is "?A ↔ ?B")
proof
  assume ?A
  then obtain t where "t ∈ M" "wf_trm t" "f ∈ funs_term (Γ t)" using M by atomize_elim auto
  thus ?B using funs_term_type_iff[OF _ f, of t] by auto
next
  assume ?B
  then obtain t where "t ∈ M" "wf_trm t" "f ∈ funs_term t ∨ (∃ x ∈ fv t. f ∈ funs_term (Γ (Var x)))"
    using M by auto
  thus ?A using funs_term_type_iff[OF _ f, of t] by blast
qed

lemma Ana_subterm_type:
assumes "Ana t = (K,M)"
  and "wf_trm t"
  and "m ∈ set M"
shows "Γ m ⊑ Γ t"
proof -
  have "m ⊑ t" using Ana_subterm[OF assms(1)] assms(3) by auto
  thus ?thesis using subtermeq_imp_subtermtypeeq[OF assms(2)] by simp
qed

lemma wf_trm_TAtom_subterms:
assumes "wf_trm t" "Γ t = TAtom τ"
shows "subterms t = {t}"
using assms const_type_inv unfolding wf_trm_def by (cases t) force+
lemma wf_trm_TComp_subterm:

```

```

assumes "wftrm s" "t ⊑ s"
obtains f T where "Γ s = TComp f T"
proof (cases s)
  case (Var x) thus ?thesis using <t ⊑ s> by simp
next
  case (Fun g S)
    hence "length S > 0" using assms Fun_subterm_inside_params[of t g S] by auto
    hence "arity g > 0" by (metis <wftrm s> <s = Fun g S> term.order_refl wftrm_def)
    thus ?thesis using fun_type <s = Fun g S> that by auto
qed

lemma SMP_empty[simp]: "SMP {} = {}"
proof (rule ccontr)
  assume "SMP {} ≠ {}"
  then obtain t where "t ∈ SMP {}" by auto
  thus False by (induct t rule: SMP.induct) auto
qed

lemma SMP_I:
  assumes "s ∈ M" "wtsubst δ" "t ⊑ s · δ" "¬ ∃ v. wftrm (δ v)"
  shows "t ∈ SMP M"
using SMP.Substitution[OF SMP.MP[OF assms(1)] assms(2)] SMP.Subterm[of "s · δ" M t] assms(3,4)
by (cases "t = s · δ") simp_all

lemma SMP_wf_trm:
  assumes "t ∈ SMP M" "wftrms M"
  shows "wftrm t"
using assms(1)
by (induct t rule: SMP.induct)
  (use assms(2) in blast,
   use wftrm_subtereq in blast,
   use wftrm_subst in blast,
   use Ana_keys_wf' in blast)

lemma SMP_ikI[intro]: "t ∈ ikst S ⇒ t ∈ SMP (trmsst S)" by force

lemma MP_setI[intro]: "x ∈ set S ⇒ trmsstp x ⊆ trmsst S" by force

lemma SMP_setI[intro]: "x ∈ set S ⇒ trmsstp x ⊆ SMP (trmsst S)" by force

lemma SMP_subset_I:
  assumes M: "∀ t ∈ M. ∃ s δ. s ∈ N ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ t = s · δ"
  shows "SMP M ⊆ SMP N"
proof
  fix t show "t ∈ SMP M ⇒ t ∈ SMP N"
  proof (induction t rule: SMP.induct)
    case (MP t)
    then obtain s δ where s: "s ∈ N" "wtsubst δ" "wftrms (subst_range δ)" "t = s · δ"
      using M by atomize_elim auto
    show ?case using SMP_I[OF s(1,2), of "s · δ"] s(3,4) wftrm_subst_range_iff by fast
  qed (auto intro!: SMP.Substitution[of _ N])
qed

lemma SMP_union: "SMP (A ∪ B) = SMP A ∪ SMP B"
proof
  show "SMP (A ∪ B) ⊆ SMP A ∪ SMP B"
  proof
    fix t assume "t ∈ SMP (A ∪ B)"
    thus "t ∈ SMP A ∪ SMP B" by (induct rule: SMP.induct) blast+
  qed
  { fix t assume "t ∈ SMP A" hence "t ∈ SMP (A ∪ B)" by (induct rule: SMP.induct) blast+ }
  moreover { fix t assume "t ∈ SMP B" hence "t ∈ SMP (A ∪ B)" by (induct rule: SMP.induct) blast+ }

```

```

ultimately show "SMP A ∪ SMP B ⊆ SMP (A ∪ B)" by blast
qed

lemma SMP_append[simp]: "SMP (trmsst (S@S')) = SMP (trmsst S) ∪ SMP (trmsst S')" (is "?A = ?B")
using SMP_union by simp

lemma SMP_mono: "A ⊆ B ⟹ SMP A ⊆ SMP B"
proof -
  assume "A ⊆ B"
  then obtain C where "B = A ∪ C" by atomize_elim auto
  thus "SMP A ⊆ SMP B" by (simp add: SMP_union)
qed

lemma SMP_Union: "SMP (∪ m ∈ M. f m) = (∪ m ∈ M. SMP (f m))"
proof
  show "SMP (∪ m ∈ M. f m) ⊆ (∪ m ∈ M. SMP (f m))"
  proof
    fix t assume "t ∈ SMP (∪ m ∈ M. f m)"
    thus "t ∈ (∪ m ∈ M. SMP (f m))" by (induct t rule: SMP.induct) force+
  qed
  show "(\cup m ∈ M. SMP (f m)) ⊆ SMP (\cup m ∈ M. f m)"
  proof
    fix t assume "t ∈ (\cup m ∈ M. SMP (f m))"
    then obtain m where "m ∈ M" "t ∈ SMP (f m)" by atomize_elim auto
    thus "t ∈ SMP (\cup m ∈ M. f m)" using SMP_mono[of "f m" "\cup m ∈ M. f m"] by auto
  qed
qed

lemma SMP_singleton_ex:
  "t ∈ SMP M ⟹ (∃ m ∈ M. t ∈ SMP {m})"
  "m ∈ M ⟹ t ∈ SMP {m} ⟹ t ∈ SMP M"
using SMP_Union[of "λt. {t}" M] by auto

lemma SMP_Cons: "SMP (trmsst (x#S)) = SMP (trmsst [x]) ∪ SMP (trmsst S)"
using SMP_append[of "[x]" S] by auto

lemma SMP_Nil[simp]: "SMP (trmsst []) = {}"
proof -
  { fix t assume "t ∈ SMP (trmsst [])" hence False by induct auto }
  thus ?thesis by blast
qed

lemma SMP_subset_union_eq: assumes "M ⊆ SMP N" shows "SMP N = SMP (M ∪ N)"
proof -
  { fix t assume "t ∈ SMP (M ∪ N)" hence "t ∈ SMP N"
    using assms by (induction rule: SMP.induct) blast+
  }
  thus ?thesis using SMP_union by auto
qed

lemma SMP_subterms_subset: "subtermsset M ⊆ SMP M"
proof
  fix t assume "t ∈ subtermsset M"
  then obtain m where "m ∈ M" "t ⊑ m" by auto
  thus "t ∈ SMP M" using SMP_I[of _ _ Var] by auto
qed

lemma SMP_SMP_subset: "N ⊆ SMP M ⟹ SMP N ⊆ SMP M"
by (metis SMP_mono SMP_subset_union_eq Un_commute Un_upper2)

lemma wt_subst_rm_vars: "wtsubst δ ⟹ wtsubst (rmvars X δ)"
using rmvars_dom unfolding wtsubst_def by auto

```

### 3 The Typing Result for Non-Stateful Protocols

```

lemma wt_subst_SMP_subset:
  assumes "trmsst S ⊆ SMP S'" "wtsubst δ" "wftrms (subst_range δ)"
  shows "trmsst (S ·st δ) ⊆ SMP S'"
proof
  fix t assume *: "t ∈ trmsst (S ·st δ)"
  show "t ∈ SMP S'" using trm_strand_subst_cong(2)[OF *]
  proof
    assume "∃ t'. t = t' · δ ∧ t' ∈ trmsst S"
    thus "t ∈ SMP S'" using assms SMP.Substitution by auto
  next
    assume "∃ X F. Inequality X F ∈ set S ∧ (∃ t' ∈ trmspairs F. t = t' · rm_vars (set X) δ)"
    then obtain X F t' where **:
      "Inequality X F ∈ set S" "t' ∈ trmspairs F" "t = t' · rm_vars (set X) δ"
      by force
    then obtain s where s: "s ∈ trmsstp (Inequality X F)" "t = s · rm_vars (set X) δ" by atomize_elim
  auto
    hence "s ∈ SMP (trmsst S)" using **(1) by force
    hence "t ∈ SMP (trmsst S)"
      using SMP.Substitution[OF _ wt_subst_rm_vars[OF assms(2)] wf_trms_subst_rm_vars'[OF assms(3)]] unfolding s(2) by blast
    thus "t ∈ SMP S'" by (metis SMP_union SMP_subset_union_eq UnCI assms(1))
  qed
qed

lemma MP_subset_SMP: "⋃ (trmsstp ` set S) ⊆ SMP (trmsst S)" "trmsst S ⊆ SMP (trmsst S)" "M ⊆ SMP M"
by auto

lemma SMP_fun_map_snd_subset: "SMP (trmsst (map Send1 X)) ⊆ SMP (trmsst [Send1 (Fun f X)])"
proof
  fix t assume "t ∈ SMP (trmsst (map Send1 X))" thus "t ∈ SMP (trmsst [Send1 (Fun f X)])"
  proof (induction t rule: SMP.induct)
    case (MP t)
    hence "t ∈ set X" by auto
    hence "t ⊑ Fun f X" by (metis subtermI')
    thus ?case using SMP.Subterm[of "Fun f X" "trmsst [Send1 (Fun f X)]" t] using SMP.MP by auto
  qed blast+
qed

lemma SMP_wt_subst_subset:
  assumes "t ∈ SMP (M ·set I)" "wtsubst I" "wftrms (subst_range I)"
  shows "t ∈ SMP M"
using assms wf_trm_subst_range_iff[of I] by (induct t rule: SMP.induct) blast+

lemma SMP_wt_instances_subset:
  assumes "∀ t ∈ M. ∃ s ∈ N. ∃ δ. t = s · δ ∧ wtsubst δ ∧ wftrms (subst_range δ)"
  and "t ∈ SMP M"
  shows "t ∈ SMP N"
proof -
  obtain m where m: "m ∈ M" "t ∈ SMP {m}" using SMP_singleton_ex(1)[OF assms(2)] by blast
  then obtain n δ where n: "n ∈ N" "m = n · δ" "wtsubst δ" "wftrms (subst_range δ)"
    using assms(1) by fast
  have "t ∈ SMP (N ·set δ)" using n(1,2) SMP_singleton_ex(2)[of m "N ·set δ", OF _ m(2)] by fast
  thus ?thesis using SMP_wt_subst_subset[OF _ n(3,4)] by blast
qed

lemma SMP_consts:
  assumes "∀ t ∈ M. ∃ c. t = Fun c []"
  and "∀ t ∈ M. Ana t = ([] , [])"
  shows "SMP M = M"
proof
  show "SMP M ⊆ M"
  proof

```

```

fix t show "t ∈ SMP M ⇒ t ∈ M"
  apply (induction t rule: SMP.induct)
  by (use assms in auto)
qed
qed auto

lemma SMP_subterms_eq:
  "SMP (subtermsset M) = SMP M"
proof
  show "SMP M ⊆ SMP (subtermsset M)" using SMP_mono[of M "subtermsset M"] by blast
  show "SMP (subtermsset M) ⊆ SMP M"
  proof
    fix t show "t ∈ SMP (subtermsset M) ⇒ t ∈ SMP M" by (induction t rule: SMP.induct) blast+
  qed
qed

lemma SMP_funs_term:
  assumes t: "t ∈ SMP M" "f ∈ funs_term t ∨ (∃ x ∈ fv t. f ∈ funs_term (Γ (Var x)))"
  and f: "arity f > 0"
  and M: "wftrms M"
  and Ana_f: "¬∃ s K T. Ana s = (K, T) ⇒ f ∈ ∪(funsterm ` set K) ⇒ f ∈ funs_term s"
  shows "f ∈ ∪(funsterm ` M) ∨ (∃ x ∈ fvset M. f ∈ funs_term (Γ (Var x)))"
using t
proof (induction t rule: SMP.induct)
  case (Subterm t t')
  thus ?case by (metis UN_I vars_iff_subtermeq funs_term_subterms_eq(1) term.order_trans)
next
  case (Substitution t δ)
  show ?case
    using M SMP_wf_trm[OF Substitution.hyps(1)] wf_trm_subst[of δ t, OF Substitution.hyps(3)]
      funs_term_type_iff[OF _ f] wt_subst_trm'[OF Substitution.hyps(2), of t]
        Substitution.preds Substitution.IH
    by metis
next
  case (Ana t K T t')
  thus ?case
    using Ana_f[OF Ana.hyps(2)] Ana_keys_fv[OF Ana.hyps(2)]
    by fastforce
qed auto

lemma id_type_eq:
  assumes "Γ (Fun f X) = Γ (Fun g Y)"
  shows "f ∈ C ⇒ g ∈ C" "f ∈ Σf ⇒ g ∈ Σf"
using assms const_type' fun_type' id_union_univ(1)
by (metis UNIV_I UnE "term.distinct"(1))+

lemma fun_type_arg_cong:
  assumes "f ∈ Σf" "g ∈ Σf" "Γ (Fun f (x#X)) = Γ (Fun g (y#Y))"
  shows "Γ x = Γ y" "Γ (Fun f X) = Γ (Fun g Y)"
using assms fun_type' by auto

lemma fun_type_arg_cong':
  assumes "f ∈ Σf" "g ∈ Σf" "Γ (Fun f (X@x#X')) = Γ (Fun g (Y@y#Y'))" "length X = length Y"
  shows "Γ x = Γ y"
using assms
proof (induction X arbitrary: Y)
  case Nil thus ?case using fun_type_arg_cong(1)[of f g x X' y Y'] by auto
next
  case (Cons x' X Y')
  then obtain y' Y where "Y' = y'#Y" by (metis length_Suc_conv)
  hence "Γ (Fun f (X@x#X')) = Γ (Fun g (Y@y#Y'))" "length X = length Y"
    using Cons.preds(3,4) fun_type_arg_cong(2)[OF Cons.preds(1,2), of x' "X@x#X'"] by auto
  thus ?thesis using Cons.IH[OF Cons.preds(1,2)] by auto

```

qed

```

lemma fun_type_param_idx: " $\Gamma \text{ (Fun } f \text{ } T) = \text{Fun } g \text{ } S \implies i < \text{length } T \implies \Gamma \text{ (T ! } i) = S ! i$ "
by (metis fun_type fun_type_id_eq fun_type_inv(1) nth_map term.inject(2))

lemma fun_type_param_ex:
assumes " $\Gamma \text{ (Fun } f \text{ } T) = \text{Fun } g \text{ (map } \Gamma \text{ } S)$ " " $t \in \text{set } S$ "
shows " $\exists s \in \text{set } T. \Gamma s = \Gamma t$ "
using fun_type_length_eq[OF assms(1)] length_map[of  $\Gamma \text{ } S$ ] assms(2)
fun_type_param_idx[OF assms(1)] nth_map in_set_conv_nth
by metis

lemma tfr_stp_all_split:
"list_all tfr_{stp} (x#S) \implies list_all tfr_{stp} [x]"
"list_all tfr_{stp} (x#S) \implies list_all tfr_{stp} S"
"list_all tfr_{stp} (S@S') \implies list_all tfr_{stp} S"
"list_all tfr_{stp} (S@S') \implies list_all tfr_{stp} S'"
"list_all tfr_{stp} (S@x#S') \implies list_all tfr_{stp} (S@S')"
by fastforce+

lemma tfr_stp_all_append:
assumes "list_all tfr_{stp} S" "list_all tfr_{stp} S'"
shows "list_all tfr_{stp} (S@S')"
using assms by fastforce

lemma tfr_stp_all_wt_subst_apply:
assumes "list_all tfr_{stp} S"
and  $\vartheta: \text{wt}_{\text{subst}} \vartheta$  "wf_{trms} (\text{subst\_range } \vartheta)"
"bvars_{st} S \cap \text{range\_vars } \vartheta = \{\}""
shows "list_all tfr_{stp} (S \cdot_{st} \vartheta)"
using assms(1,4)
proof (induction S)
case (Cons x S)
hence IH: "list_all tfr_{stp} (S \cdot_{st} \vartheta)"
using tfr_stp_all_split(2)[of x S]
unfolding range_vars_alt_def by fastforce
thus ?case
proof (cases x)
case (Equality a t t')
hence " $(\exists \delta. \text{Unifier } \delta t t') \rightarrow \Gamma t = \Gamma t'$ " using Cons.prems by auto
hence " $(\exists \delta. \text{Unifier } \delta (t \cdot \vartheta) (t' \cdot \vartheta)) \rightarrow \Gamma (t \cdot \vartheta) = \Gamma (t' \cdot \vartheta)$ "
by (metis Unifier_comp' wt_subst_trm'[OF assms(2)])
moreover have "(x#S) \cdot_{st} \vartheta = Equality a (t \cdot \vartheta) (t' \cdot \vartheta) \# (S \cdot_{st} \vartheta)"
using <x = Equality a t t'> by auto
ultimately show ?thesis using IH by auto
next
case (Inequality X F)
let ? $\sigma$  = "rm_vars (set X) \vartheta"
let ?G = "F \cdot_{pairs} ? $\sigma$ "
let ?P = " $\lambda F X. \forall x \in \text{fv}_{pairs} F - \text{set } X. \exists a. \Gamma (\text{Var } x) = \text{TAtom } a$ "
let ?Q = " $\lambda F X.$ 
 $\forall f T. \text{Fun } f T \in \text{subterms}_{set} (\text{trms}_{pairs} F) \rightarrow T = [] \vee (\exists s \in \text{set } T. s \notin \text{Var} \setminus \text{set } X)$ "
have 0: "set X \cap \text{range\_vars } ?\sigma = \{\}"
using Cons.prems(2) Inequality rm_vars_img_subset[of "set X"]
by (auto simp add: subst_domain_def range_vars_alt_def)
have 1: "?P F X \vee ?Q F X" using Inequality Cons.prems by simp
have 2: "fv_{set} (? $\sigma$  \setminus set X) = set X" by auto
have "?P ?G X" when "?P F X" using that

```

```

proof (induction F)
  case (Cons g G)
    obtain t t' where g: "g = (t,t')" by (metis surj_pair)

    have "∀x ∈ (fv (t · ?σ) ∪ fv (t' · ?σ)) - set X. ∃a. Γ (Var x) = Var a"
    proof -
      have *: "∀x ∈ fv t - set X. ∃a. Γ (Var x) = Var a"
        "∀x ∈ fv t' - set X. ∃a. Γ (Var x) = Var a"
      using g Cons.preds by simp_all

      have **: "∀x. wf_trm (?σ x)"
        using θ(2) wf_trm_subst_range_iff[of θ] wf_trm_subst_rm_vars'[of θ _ "set X"] by simp

      show ?thesis
        using wt_subst_TAtom_fv[OF wt_subst_rm_vars[OF θ(1)]] ** *(1)
        wt_subst_TAtom_fv[OF wt_subst_rm_vars[OF θ(1)]] ** *(2)
        wt_subst_trm'[OF wt_subst_rm_vars[OF θ(1)], of "set X"] 2
      by blast
    qed
    moreover have "∀x∈fv_pairs (G ·pairs ?σ) - set X. ∃a. Γ (Var x) = Var a"
      using Cons by auto
    ultimately show ?case using g by (auto simp add: subst_apply_pairs_def)
  qed (simp add: subst_apply_pairs_def)
  hence "?P ?G X ∨ ?Q ?G X"
    using 1 ineq_subterm_inj_cond_subst[OF 0, of "trms_pairs F"] trms_pairs_subst[of F ?σ]
    by presburger
  moreover have "(x#S) ·st θ = Inequality X (F ·pairs ?σ) # (S ·st θ)"
    using <x = Inequality X F> by auto
  ultimately show ?thesis using IH by simp
qed auto
qed simp

```

**lemma tfr\_stp\_all\_same\_type:**

```

"list_all tfr_stp (S@Equality a t t' # S') ⟹ Unifier δ t t' ⟹ Γ t = Γ t'"
by force+

```

**lemma tfr\_subset:**

```

"¬ A B. tfr_set (A ∪ B) ⟹ tfr_set A"
"¬ A B. tfr_set B ⟹ A ⊆ B ⟹ tfr_set A"
"¬ A B. tfr_set B ⟹ SMP A ⊆ SMP B ⟹ tfr_set A"

```

**proof -**

```

show 1: "tfr_set (A ∪ B) ⟹ tfr_set A" for A B
  using SMP_union[of A B] unfolding tfr_set_def by simp

fix A B assume B: "tfr_set B"

show "A ⊆ B ⟹ tfr_set A"
proof -
  assume "A ⊆ B"
  then obtain C where "B = A ∪ C" by atomize_elim auto
  thus ?thesis using B 1 by blast
qed

show "SMP A ⊆ SMP B ⟹ tfr_set A"
proof -
  assume "SMP A ⊆ SMP B"
  then obtain C where "SMP B = SMP A ∪ C" by atomize_elim auto
  thus ?thesis using B unfolding tfr_set_def by blast
qed

```

**qed**

**lemma tfr\_empty[simp]: "tfr\_set {}"**

```

unfolding tfr_set_def by simp

```

```

lemma tfr_consts_mono:
assumes "\forall t \in M. \exists c. t = Fun c []"
and "\forall t \in M. Ana t = ([] , [])"
and "tfr_set N"
shows "tfr_set (N \cup M)"
proof -
{ fix s t
assume *: "s \in SMP (N \cup M) - range Var" "t \in SMP (N \cup M) - range Var" "\exists \delta. Unifier \delta s t"
hence **: "is_Fun s" "is_Fun t" "s \in SMP N \vee s \in M" "t \in SMP N \vee t \in M"
using assms(3) SMP_consts[OF assms(1,2)] SMP_union[of N M] by auto
moreover have "\Gamma s = \Gamma t" when "s \in SMP N" "t \in SMP N"
using that assms(3) *(3) **(1,2) unfolding tfr_set_def by blast
moreover have "\Gamma s = \Gamma t" when st: "s \in M" "t \in M"
proof -
obtain c d where "s = Fun c []" "t = Fun d []" using st assms(1) by atomize_elim auto
hence "s = t" using *(3) by fast
thus ?thesis by metis
qed
moreover have "\Gamma s = \Gamma t" when st: "s \in SMP N" "t \in M"
proof -
obtain c where "t = Fun c []" using st assms(1) by atomize_elim auto
hence "s = t" using *(3) **(1,2) by auto
thus ?thesis by metis
qed
moreover have "\Gamma s = \Gamma t" when st: "s \in M" "t \in SMP N"
proof -
obtain c where "s = Fun c []" using st assms(1) by atomize_elim auto
hence "s = t" using *(3) **(1,2) by auto
thus ?thesis by metis
qed
ultimately have "\Gamma s = \Gamma t" by metis
} thus ?thesis by (metis tfr_set_def)
qed

lemma dual_st_tfr_stp: "list_all tfr_stp S \implies list_all tfr_stp (dual_st S)"
proof (induction S)
case (Cons x S)
have "list_all tfr_stp S" using Cons.preds by simp
hence IH: "list_all tfr_stp (dual_st S)" using Cons.IH by metis
from Cons show ?case
proof (cases x)
case (Equality a t t')
hence "(\exists \delta. Unifier \delta t t') \implies \Gamma t = \Gamma t'" using Cons by auto
thus ?thesis using Equality IH by fastforce
next
case (Inequality X F)
have "set (dual_st (x#S)) = insert x (set (dual_st S))" using Inequality by auto
moreover have "(\forall x \in fv_{pairs} F - set X. \exists a. \Gamma (Var x) = Var a) \vee
(\forall f T. Fun f T \in subterms_{set} (trms_{pairs} F) \longrightarrow T = [] \vee (\exists s \in set T. s \notin Var ` set X))"
using Cons.preds Inequality by auto
ultimately show ?thesis using Inequality IH by auto
qed auto
qed simp

lemma subst_var_inv_wt:
assumes "wt_{subst} \delta"
shows "wt_{subst} (subst_var_inv \delta X)"
using assms f_inv_into_f[of _ \delta X]
unfolding wt_{subst}_def subst_var_inv_def
by presburger

lemma subst_var_inv_wf_trms:

```

```

"wftrms (subst_range (subst_var_inv δ X))" 
using f_inv_into_f[of _ δ X]
unfolding wtsubst_def subst_var_inv_def
by auto

lemma unify_list_wt_if_same_type:
assumes "Unification.unify E B = Some U" "∀ (s,t) ∈ set E. wftrm s ∧ wftrm t ∧ Γ s = Γ t"
and "∀ (v,t) ∈ set B. Γ (Var v) = Γ t"
shows "∀ (v,t) ∈ set U. Γ (Var v) = Γ t"
using assms
proof (induction E B arbitrary: U rule: Unification.unify.induct)
case (2 f X g Y E B U)
hence "wftrm (Fun f X)" "wftrm (Fun g Y)" "Γ (Fun f X) = Γ (Fun g Y)" by auto

from "2.prem" (1) obtain E' where *: "decompose (Fun f X) (Fun g Y) = Some E'" 
and [simp]: "f = g" "length X = length Y" "E' = zip X Y"
and **: "Unification.unify (E'@E) B = Some U"
by (auto split: option.splits)

have "∀ (s,t) ∈ set E'. wftrm s ∧ wftrm t ∧ Γ s = Γ t"
proof -
{ fix s t assume "(s,t) ∈ set E'"
then obtain X' X'' Y' Y'' where "X = X'@s#X''" "Y = Y'@t#Y''" "length X' = length Y''"
using zip_arg_subterm_split[of s t X Y] <E' = zip X Y> by metis
hence "Γ (Fun f (X'@s#X'')) = Γ (Fun g (Y'@t#Y''))" by (metis <Γ (Fun f X) = Γ (Fun g Y)>)

from <E' = zip X Y> have "∀ (s,t) ∈ set E'. s ⊑ Fun f X ∧ t ⊑ Fun g Y"
using zip_arg_subterm[of _ _ X Y] by blast
with <(s,t) ∈ set E'> have "wftrm s" "wftrm t"
using wf_trm_subterm <wftrm (Fun f X)> <wftrm (Fun g Y)> by (blast,blast)
moreover have "f ∈ Σf"
proof (rule ccontr)
assume "f ∉ Σf"
hence "f ∈ C" "arity f = 0" using const_arity_eq_zero[of f] by simp_all
thus False using <wftrm (Fun f X)> * <(s,t) ∈ set E'> unfolding wftrm_def by auto
qed
hence "Γ s = Γ t"
using fun_type_arg_cong' <f ∈ Σf> <Γ (Fun f (X'@s#X'')) = Γ (Fun g (Y'@t#Y''))>
<length X' = length Y'> <f = g>
by metis
ultimately have "wftrm s" "wftrm t" "Γ s = Γ t" by metis+
}
thus ?thesis by blast
qed
moreover have "∀ (s,t) ∈ set E. wftrm s ∧ wftrm t ∧ Γ s = Γ t" using "2.prem" (2) by auto
ultimately show ?case using "2.IH" [OF * ** _ "2.prem" (3)] by fastforce
next
case (3 v t E B U)
hence "Γ (Var v) = Γ t" "wftrm t" by auto
hence "wtsubst (subst v t)"
and *: "∀ (v, t) ∈ set ((v,t)#B). Γ (Var v) = Γ t"
"λ t t'. (t,t') ∈ set E ⇒ Γ t = Γ t''"
using "3.prem" (2,3) unfolding wtsubst_def subst_def by auto

show ?case
proof (cases "t = Var v")
assume "t = Var v" thus ?case using 3 by auto
next
assume "t ≠ Var v"
hence "v ∉ fv t" using "3.prem" (1) by auto
hence **: "Unification.unify (subst_list (subst v t) E) ((v, t)#B) = Some U"
using Unification.unify.simps(3)[of v t E B] "3.prem" (1) <t ≠ Var v> by auto

```

```

have "∀(s, t) ∈ set (subst_list (subst v t) E). wftrm s ∧ wftrm t"
  using wf_trm_subst_singleton[OF _ <wftrm t>] "3.prems"(2)
  unfolding subst_list_def subst_def by auto
moreover have "∀(s, t) ∈ set (subst_list (subst v t) E). Γ s = Γ t"
  using *(2)[THEN wt_subst_trm'[OF <wtsubst (subst v t)>]] by (simp add: subst_list_def)
ultimately show ?thesis using "3.IH"(2)[OF <t ≠ Var v> <v ∉ fv t> ** _ *(1)] by auto
qed
next
case (4 f X v E B U)
hence "Γ (Var v) = Γ (Fun f X)" "wftrm (Fun f X)" by auto
hence "wtsubst (subst v (Fun f X))" and *: "∀(v, t) ∈ set ((v, (Fun f X))#B). Γ (Var v) = Γ t"
  "¬∃t t'. (t, t') ∈ set E ⇒ Γ t = Γ t'"
using "4.prems"(2,3) unfolding wtsubst_def subst_def by auto

have "v ∉ fv (Fun f X)" using "4.prems"(1) by force
hence **: "Unification.unify (subst_list (subst v (Fun f X)) E) ((v, (Fun f X))#B) = Some U"
  using Unification.unify.simps(3)[of v "Fun f X" E B] "4.prems"(1) by auto

have "∀(s, t) ∈ set (subst_list (subst v (Fun f X)) E). wftrm s ∧ wftrm t"
  using wf_trm_subst_singleton[OF _ <wftrm (Fun f X)>] "4.prems"(2)
  unfolding subst_list_def subst_def by auto
moreover have "∀(s, t) ∈ set (subst_list (subst v (Fun f X)) E). Γ s = Γ t"
  using *(2)[THEN wt_subst_trm'[OF <wtsubst (subst v (Fun f X))>]] by (simp add: subst_list_def)
ultimately show ?case using "4.IH"[OF <v ∉ fv (Fun f X)> ** _ *(1)] by auto
qed auto

lemma mgu_wt_if_same_type:
assumes "mgu s t = Some σ" "wftrm s" "wftrm t" "Γ s = Γ t"
shows "wtsubst σ"
proof -
let ?fv_disj = "λv t S. ¬(∃(v',t') ∈ S - {(v,t)} . (insert v (fv t)) ∩ (insert v' (fv t')) ≠ {})"
from assms(1) obtain σ' where "Unification.unify [(s,t)] [] = Some σ'" "subst_of σ' = σ"
  by (auto simp: mgu_def split: option.splits)
hence "∀(v,t) ∈ set σ'. Γ (Var v) = Γ t" "distinct (map fst σ')"
  using assms(2,3,4) unify_list_wt_if_same_type unify_list_distinct[of "[(s,t)]"] by auto
thus "wtsubst σ" using <subst_of σ' = σ> unfolding wtsubst_def
proof (induction σ' arbitrary: σ rule: List.rev_induct)
  case (snoc tt σ' σ)
  then obtain v t where tt: "tt = (v,t)" by (metis surj_pair)
  hence σ: "σ = subst v t o_s subst_of σ'" using snoc.prems(3) by simp
  have "∀(v,t) ∈ set σ'. Γ (Var v) = Γ t" "distinct (map fst σ')" using snoc.prems(1,2) by auto
  then obtain σ'': "subst_of σ' = σ''" "¬∃v. Γ (Var v) = Γ (σ'' v)" by (metis snoc.IH)
  hence "Γ t = Γ (t · σ'')" for t using wt_subst_trm by blast
  hence "Γ (Var v) = Γ (σ'' v)" "Γ t = Γ (t · σ'')" using σ''(2) by auto
  moreover have "Γ (Var v) = Γ t" using snoc.prems(1) tt by simp
  moreover have σ2: "σ = Var(v := t) o_s σ''" using σ σ''(1) unfolding subst_def by simp
  ultimately have "Γ (Var v) = Γ (σ v)" unfolding subst_compose_def by simp

  have "subst_domain (subst v t) ⊆ {v}" unfolding subst_def by (auto simp add: subst_domain_def)
  hence *: "subst_domain σ ⊆ insert v (subst_domain σ '')"
    using tt σ σ''(1) snoc.prems(2) subst_domain_compose[of _ σ''] by auto
  have "v ∉ set (map fst σ')" using tt snoc.prems(2) by auto
  hence "v ∉ subst_domain σ''" using σ''(1) subst_of_dom_subset[of σ'] by auto
  { fix w assume "w ∈ subst_domain σ''"
    hence "σ w = σ'' w" using σ2 σ''(1) <v ∉ subst_domain σ''> unfolding subst_compose_def by auto
    hence "Γ (Var w) = Γ (σ w)" using σ''(2) by simp
  }

```

```

}
thus ?case using < $\Gamma (Var v) = \Gamma (\sigma v)$ > * by force
qed simp
qed

lemma wt_Unifier_if_Unifier:
assumes s_t: "wf_trm s" "wf_trm t" " $\Gamma s = \Gamma t$ "
and  $\delta$ : "Unifier  $\delta$  s t"
shows " $\exists \vartheta$ . Unifier  $\vartheta$  s t  $\wedge$  wt_subst  $\vartheta$   $\wedge$  wf_trms (subst_range  $\vartheta$ )"
using mgu_always_unifies[OF  $\delta$ ] mgu_gives_MGU[THEN MGU_is_Unifier[of s _ t]]
mgu_wt_if_same_type[OF _ s_t] mgu_wf_trm[OF _ s_t(1,2)] wf_trm_subst_range_iff
by fast

end

```

### 3.3.3 Automatically Proving Type-Flaw Resistance

#### Definitions: Variable Renaming

```

abbreviation "max_var t ≡ Max (insert 0 (snd ` fv t))"
abbreviation "max_var_set X ≡ Max (insert 0 (snd ` X))"

definition "var_rename n v ≡ Var (fst v, snd v + Suc n)"
definition "var_rename_inv n v ≡ Var (fst v, snd v - Suc n)"

```

#### Definitions: Computing a Finite Representation of the Sub-Message Patterns

A sufficient requirement for a term to be a well-typed instance of another term

```

definition is_wt_instance_of_cond where
"is_wt_instance_of_cond  $\Gamma t s$  ≡ (
 $\Gamma t = \Gamma s \wedge (\text{case mgu } t s \text{ of}$ 
None  $\Rightarrow$  False
| Some  $\delta \Rightarrow$  inj_on  $\delta$  (fv t)  $\wedge$  ( $\forall x \in fv t$ . is_Var ( $\delta x$ ))))"

definition has_all_wt_instances_of where
"has_all_wt_instances_of  $\Gamma N M$  ≡ \mathit{All} t \in N. \mathit{Ex} s \in M. is_wt_instance_of_cond  $\Gamma t s$ "

This function computes a finite representation of the set of sub-message patterns

definition SMP0 where
"SMP0 Ana  $\Gamma M$  ≡ let
f =  $\lambda t$ . Fun (the_Fun ( $\Gamma t$ )) (map Var (zip (args ( $\Gamma t$ )) [0..<length (args ( $\Gamma t$ ))]));
g =  $\lambda M'$ . map f (filter ( $\lambda t$ . is_Var t  $\wedge$  is_Fun ( $\Gamma t$ )) M') @
concat (map (fst o Ana) M') @ concat (map subterms_list M');
h = remdups o g
in while ( $\lambda A$ . set (h A)  $\neq$  set A) h M"

```

These definitions are useful to refine an SMP representation set

```

fun generalize_term where
"generalize_term _ _ n (Var x) = (Var x, n)"
| "generalize_term  $\Gamma p n$  (Fun f T) = (let  $\tau = \Gamma (Fun f T)$ 
in if p  $\tau$  then (Var ( $\tau$ , n), Suc n)
else let (T',n') = foldr ( $\lambda t (S,m)$ . let (t',m') = generalize_term  $\Gamma p m t$  in (t' # S, m')) T ([] ,n)
in (Fun f T', n'))"

definition generalize_terms where
"generalize_terms  $\Gamma p$  ≡ map (fst o generalize_term  $\Gamma p 0$ )"

definition remove_superfluous_terms where
"remove_superfluous_terms  $\Gamma T$  ≡
let
f =  $\lambda S t R$ .  $\exists s \in set S - R$ . s  $\neq$  t  $\wedge$  is_wt_instance_of_cond  $\Gamma t s$ ;
g =  $\lambda S t (U,R)$ . if f S t R then (U, insert t R) else (t # U, R);"

```

```

h = λS. remdups (fst (foldr (g S) S ([] , {})))
in while (λS. h S ≠ S) h T"

```

### Definitions: Checking Type-Flaw Resistance

```

definition is_TComp_var_instance_closed where
"is_TComp_var_instance_closed Γ M ≡ ∀x ∈ fvset M. is_Fun (Γ (Var x)) →
(∃t ∈ M. is_Fun t ∧ Γ t = Γ (Var x)) ∧ list_all is_Var (args t) ∧ distinct (args t))"

definition finite_SMP_representation where
"finite_SMP_representation arity Ana Γ M ≡
(M = {} ∨ card M > 0) ∧
wftrms' arity M ∧
has_all_wt_instances_of Γ (subtermsset M) M ∧
has_all_wt_instances_of Γ ((set ∘ fst ∘ Ana) ` M) M ∧
is_TComp_var_instance_closed Γ M"

definition comp_tfrset where
"comp_tfrset arity Ana Γ M ≡
finite_SMP_representation arity Ana Γ M ∧
(let δ = var_rename (max_var_set (fvset M))
in ∀s ∈ M. ∀t ∈ M. is_Fun s ∧ is_Fun t ∧ Γ s ≠ Γ t → mgu s (t · δ) = None)"

fun comp_tfrstp where
"comp_tfrstp Γ (⟨_ : t ≈ t'⟩st) = (mgu t t' ≠ None → Γ t = Γ t')"
| "comp_tfrstp Γ (⟨X : F⟩st) = (
(∀x ∈ fvpairs F - set X. is_Var (Γ (Var x))) ∨
(∀u ∈ subtermsset (trmspairs F).
is_Fun u → (args u = [] ∨ (∃s ∈ set (args u). s ∉ Var ` set X)))"
| "comp_tfrstp _ _ = True"

definition comp_tfrst where
"comp_tfrst arity Ana Γ M S ≡
list_all (comp_tfrstp Γ) S ∧
list_all (wftrm' arity) (trms_listst S) ∧
has_all_wt_instances_of Γ (trmsst S) M ∧
comp_tfrset arity Ana Γ M"

```

### Small Lemmata

```

lemma max_var_set_mono:
assumes "finite N"
and "M ⊆ N"
shows "max_var_set M ≤ max_var_set N"
by (meson assms Max.substring_finite.insertI finite_imageI image_mono insert_mono insert_not_empty)

```

```

lemma less_Suc_max_var_set:
assumes z: "z ∈ X"
and X: "finite X"
shows "snd z < Suc (max_var_set X)"
proof -
have "snd z ∈ snd ` X" using z by simp
hence "snd z ≤ Max (insert 0 (snd ` X))" using X by simp
thus ?thesis using X by simp
qed

```

```

lemma (in typed_model) finite_SMP_representationD:
assumes "finite_SMP_representation arity Ana Γ M"
shows "wftrms M"
and "has_all_wt_instances_of Γ (subtermsset M) M"
and "has_all_wt_instances_of Γ ((set ∘ fst ∘ Ana) ` M) M"
and "is_TComp_var_instance_closed Γ M"
and "finite M"

```

```

using assms wftrms_code[of M] unfolding finite_SMP_representation_def list_all_iff card_gt_0_iff
by blast+

lemma (in typed_model) is_wt_instance_of_condD:
  assumes t_instance_s: "is_wt_instance_of_cond Γ t s"
  obtains δ where
    "Γ t = Γ s" "mgu t s = Some δ"
    "inj_on δ (fv t)" "δ ` (fv t) ⊆ range Var"
using t_instance_s unfolding is_wt_instance_of_cond_def Let_def by (cases "mgu t s") fastforce+

lemma (in typed_model) is_wt_instance_of_condD':
  assumes t_wf_trm: "wftrm t"
  and s_wf_trm: "wftrm s"
  and t_instance_s: "is_wt_instance_of_cond Γ t s"
  shows "∃ δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t = s · δ"
proof -
  obtain δ where s:
    "Γ t = Γ s" "mgu t s = Some δ"
    "inj_on δ (fv t)" "δ ` (fv t) ⊆ range Var"
    by (metis is_wt_instance_of_condD[OF t_instance_s])
  have 0: "wftrm t" "wftrm s" using s(1) t_wf_trm s_wf_trm by auto
  note 1 = mgu_wf_if_same_type[OF s(2) 0 s(1)]
  note 2 = conjunct1[OF mgu_gives_MGU[OF s(2)]]
  show ?thesis
    using s(1) inj_var_ran_unifiable_has_subst_match[OF 2 s(3,4)]
      wt_subst_compose[OF 1 subst_var_inv_wf[OF 1, of "fv t"]]
      wf_trms_subst_compose[OF mgu_wf_trms[OF s(2) 0] subst_var_inv_wf_trms[of δ "fv t"]]
    by auto
qed

lemma (in typed_model) is_wt_instance_of_condD'':
  assumes s_wf_trm: "wftrm s"
  and t_instance_s: "is_wt_instance_of_cond Γ t s"
  and t_var: "t = Var x"
  shows "∃ y. s = Var y ∧ Γ (Var y) = Γ (Var x)"
proof -
  obtain δ where δ: "wtsubst δ" and s: "Var x = s · δ"
    using is_wt_instance_of_condD'[OF _ s_wf_trm t_instance_s] t_var by auto
  obtain y where y: "s = Var y" using s by (cases s) auto
  show ?thesis using wt_subst_trm''[OF δ] s y by metis
qed

lemma (in typed_model) has_all_wt_instances_ofD:
  assumes N_instance_M: "has_all_wt_instances_of Γ N M"
  and t_in_N: "t ∈ N"
  obtains s δ where
    "s ∈ M" "Γ t = Γ s" "mgu t s = Some δ"
    "inj_on δ (fv t)" "δ ` (fv t) ⊆ range Var"
  by (metis t_in_N N_instance_M is_wt_instance_of_condD has_all_wt_instances_of_def)

lemma (in typed_model) has_all_wt_instances_ofD':
  assumes N_wf_trms: "wftrms N"
  and M_wf_trms: "wftrms M"
  and N_instance_M: "has_all_wt_instances_of Γ N M"
  and t_in_N: "t ∈ N"
  shows "∃ δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t ∈ M ·set δ"
using assms is_wt_instance_of_condD' unfolding has_all_wt_instances_of_def by fast

lemma (in typed_model) has_all_wt_instances_ofD'':

```

```

assumes N_wf_trms: "wftrms N"
and M_wf_trms: "wftrms M"
and N_instance_M: "has_all_wt_instances_of Γ N M"
and t_in_N: "Var x ∈ N"
shows "∃ y. Var y ∈ M ∧ Γ (Var y) = Γ (Var x)"
using assms is_wt_instance_of_condD unfolding has_all_wt_instances_of_def by fast

lemma (in typed_model) has_all_instances_of_if_subset:
assumes "N ⊆ M"
shows "has_all_wt_instances_of Γ N M"
unfolding has_all_wt_instances_of_def
proof
fix t assume t: "t ∈ N"
hence "is_wt_instance_of_cond Γ t t"
using inj_onI[of "fv t"] mgu_same_empty[of t]
unfolding is_wt_instance_of_cond_def by force
thus "∃ s ∈ M. is_wt_instance_of_cond Γ t s" using t assms by blast
qed

lemma (in typed_model) SMP_I':
assumes N_wf_trms: "wftrms N"
and M_wf_trms: "wftrms M"
and N_instance_M: "has_all_wt_instances_of Γ N M"
and t_in_N: "t ∈ N"
shows "t ∈ SMP M"
using has_all_wt_instances_ofD'[OF N_wf_trms M_wf_trms N_instance_M t_in_N]
SMP.Substitution[OF SMP.MP[of _ M]]
by blast

```

### Lemma: Proving Type-Flaw Resistance

```

locale typed_model' = typed_model arity public Ana Γ
for arity::"fun ⇒ nat"
and public::"fun ⇒ bool"
and Ana::"('fun, ('atom,:finite) term_type × nat)) term
⇒ ((('fun, ('atom) term_type × nat)) term list
× (('fun, ('atom) term_type × nat)) term list)"
and Γ::"('fun, ('atom) term_type × nat)) term ⇒ ('fun, 'atom) term_type"
+
assumes Γ_Var fst: "¬ ∃ τ n m. Γ (Var (τ, n)) = Γ (Var (τ, m))"
and Ana_const: "¬ ∃ c T. arity c = 0 ⇒ Ana (Fun c T) = ([] , [])"
and Ana_subst'_orAna_keys_subterm:
"(¬ ∃ f T δ K R. Ana (Fun f T) = (K, R) ⇒ Ana (Fun f T · δ) = (K · list δ, R · list δ)) ∨
(¬ ∃ t K R k. Ana t = (K, R) ⇒ k ∈ set K ⇒ k ⊂ t)"
begin

lemma var_rename_inv_comp: "t · (var_rename n os var_rename_inv n) = t"
proof (induction t)
case (Fun f T)
hence "map (λt. t · var_rename n os var_rename_inv n) T = T" by (simp add: map_idI)
thus ?case by (metis eval_term.simps(2))
qed (simp add: var_rename_def var_rename_inv_def subst_compose)

lemma var_rename_fv_disjoint:
"fv s ∩ fv (t · var_rename (max_var s)) = {}"
proof -
have 1: "¬ ∃ v ∈ fv s. snd v ≤ max_var s" by simp
have 2: "¬ ∃ v ∈ fv (t · var_rename n). snd v > n" for n unfolding var_rename_def by (induct t) auto
show ?thesis using 1 2 by force
qed

lemma var_rename_fv_set_disjoint:
assumes "finite M" "s ∈ M"

```

```

shows "fv s ∩ fv (t · var_rename (max_var_set (fvset M))) = {}"
proof -
  have 1: "∀ v ∈ fv s. snd v ≤ max_var_set (fvset M)" using assms
  proof (induction M rule: finite_induct)
    case (insert t M) thus ?case
      proof (cases "t = s")
        case False
        hence "∀ v ∈ fv s. snd v ≤ max_var_set (fvset M)" using insert by simp
        moreover have "max_var_set (fvset M) ≤ max_var_set (fvset (insert t M))" using insert.hyps(1) insert.prems by force
        ultimately show ?thesis by auto
      qed simp
    qed simp
  have 2: "∀ v ∈ fv (t · var_rename n). snd v > n" for n unfolding var_rename_def by (induct t) auto
  show ?thesis using 1 2 by force
qed

lemma var_rename_fv_set_disjoint':
  assumes "finite M"
  shows "fvset M ∩ fvset (N · set var_rename (max_var_set (fvset M))) = {}"
  using var_rename_fv_set_disjoint[OF assms] by auto

lemma var_rename_is_renaming[simp]:
  "subst_range (var_rename n) ⊆ range Var"
  "subst_range (var_rename_inv n) ⊆ range Var"
  unfolding var_rename_def var_rename_inv_def by auto

lemma var_rename_wt[simp]:
  "wt_subst (var_rename n)"
  "wt_subst (var_rename_inv n)"
  by (auto simp add: var_rename_def var_rename_inv_def wt_subst_def Γ_Var fst)

lemma var_rename_wt':
  assumes "wt_subst δ" "s = m · δ"
  shows "wt_subst (var_rename_inv n ∘ s δ)" "s = m · var_rename n · var_rename_inv n ∘ s δ"
  using assms(2) wt_subst_compose[OF var_rename_wt(2)[of n] assms(1)] var_rename_inv_comp[of m n] by force+
  
lemma var_rename_wftrms_range[simp]:
  "wftrms (subst_range (var_rename n))"
  "wftrms (subst_range (var_rename_inv n))"
  using var_rename_is_renaming by fastforce+

lemma Fun_range_case:
  "(∀ f T. Fun f T ∈ M → P f T) ↔ (∀ u ∈ M. case u of Fun f T ⇒ P f T | _ ⇒ True)"
  "(∀ f T. Fun f T ∈ M → P f T) ↔ (∀ u ∈ M. is_Fun u → P (the_Fun u) (args u))"
  by (auto split: "term.splits")

lemma is_TComp_var_instance_closedD:
  assumes x: "∃ y ∈ fvset M. Γ (Var x) = Γ (Var y)" "Γ (Var x) = TComp f T"
  and closed: "is_TComp_var_instance_closed Γ M"
  shows "∃ g U. Fun g U ∈ M ∧ Γ (Fun g U) = Γ (Var x) ∧ (∀ u ∈ set U. is_Var u) ∧ distinct U"
  using assms unfolding is_TComp_var_instance_closed_def list_all_iff list_ex_iff by fastforce

lemma is_TComp_var_instance_closedD':
  assumes "∃ y ∈ fvset M. Γ (Var x) = Γ (Var y)" "TComp f T ⊑ Γ (Var x)"
  and closed: "is_TComp_var_instance_closed Γ M"
  and wf: "wftrms M"
  shows "∃ g U. Fun g U ∈ M ∧ Γ (Fun g U) = TComp f T ∧ (∀ u ∈ set U. is_Var u) ∧ distinct U"
  using assms(1,2)

```

```

proof (induction "Γ (Var x)" arbitrary: x)
  case (Fun g U)
  note IH = Fun.hyps(1)
  have g: "arity g > 0" using Fun.hyps(2) fun_type_inv[of "Var x"] Γ_Var_fst by simp_all
  then obtain V where V:
    "Fun g V ∈ M" "Γ (Fun g V) = Γ (Var x)" "∀ v ∈ set V. ∃ x. v = Var x"
    "distinct V" "length U = length V"
    using is_TComp_var_instance_closedD[OF Fun.preds(1) Fun.hyps(2)[symmetric] closed(1)]
    by (metis Fun.hyps(2) fun_type_id_eq fun_type_length_eq is_VarE)
  hence U: "U = map Γ V" using fun_type[OF g(1), of V] Fun.hyps(2) by simp
  hence 1: "Γ v ∈ set U" when v: "v ∈ set V" for v using v by simp

  have 2: "∃ y ∈ fv_set M. Γ (Var z) = Γ (Var y)" when z: "Var z ∈ set V" for z
    using V(1) fv_subset_subterms Fun_param_in_subterms[OF z] by fastforce

  show ?case
  proof (cases "TComp f T = Γ (Var x)")
    case False
    then obtain u where u: "u ∈ set U" "TComp f T ⊑ u"
      using Fun.preds(2) Fun.hyps(2) by atomize_elim auto
    then obtain y where y: "Var y ∈ set V" "Γ (Var y) = u" using U V(3) Γ_Var_fst by auto
    show ?thesis using IH[OF _ 2[OF y(1)]] u y(2) by metis
  qed (use V in fastforce)
qed simp

lemma TComp_var_instance_wt_subst_exists:
  assumes gT: "Γ (Fun g T) = TComp g (map Γ U)" "wf_trm (Fun g T)"
  and U: "∀ u ∈ set U. ∃ y. u = Var y" "distinct U"
  shows "∃ θ. wt_subst θ ∧ wf_trms (subst_range θ) ∧ Fun g T = Fun g U · θ"
proof -
  define the_i where "the_i ≡ λy. THE x. x < length U ∧ U ! x = Var y"
  define θ where "θ ≡ λy. if Var y ∈ set U then T ! the_i y else Var y"

  have g: "arity g > 0" using gT(1,2) fun_type_inv(1) by blast

  have UT: "length U = length T" using fun_type_length_eq gT(1) by fastforce

  have 1: "the_i y < length U ∧ U ! the_i y = Var y" when y: "Var y ∈ set U" for y
    using theI'[OF distinct_Ex1[OF U(2) y]] unfolding the_i_def by simp

  have 2: "wt_subst θ"
    using θ 1 gT(1) fun_type[OF g] UT
    unfolding wt_subst_def
    by (metis (no_types, lifting) nth_map term.inject(2))

  have "∀ i < length T. U ! i · θ = T ! i"
    using θ 1 U(1) UT distinct_Ex1[OF U(2)] in_set_conv_nth
    by (metis (no_types, lifting) eval_term.simps(1))
  hence "T = map (λt. t · θ) U" by (simp add: UT nth_equalityI)
  hence 3: "Fun g T = Fun g U · θ" by simp

  have "subst_range θ ⊆ set T" using θ 1 U(1) UT by (auto simp add: subst_domain_def)
  hence 4: "wf_trms (subst_range θ)" using gT(2) wf_trm_param by auto

  show ?thesis by (metis 2 3 4)
qed

lemma TComp_var_instance_closed_has_Var:
  assumes closed: "is_TComp_var_instance_closed Γ M"
  and wf_M: "wf_trms M"
  and wf_δx: "wf_trm (δ x)"
  and y_ex: "∃ y ∈ fv_set M. Γ (Var x) = Γ (Var y)"
  and t: "t ⊑ δ x"

```

```

and  $\delta_{\text{wt}}$ : " $\text{wt}_{\text{subst}} \delta$ "
shows " $\exists y \in \text{fv}_{\text{set}} M. \Gamma (\text{Var } y) = \Gamma t$ "
proof (cases " $\Gamma (\text{Var } x)$ ")
  case ( $\text{Var } a$ )
    hence " $t = \delta x$ "
      using  $t \text{ wf\_}\delta x \delta_{\text{wt}}$ 
      by (metis (full_types) const_type_inv_wf fun_if_subterm subtermeq_Var_const(2) wt_subst_def)
    thus ?thesis using y_ex wt_subst_trm''[OF  $\delta_{\text{wt}}$ , of "Var x"] by fastforce
next
  case ( $\text{Fun } f T$ )
  hence  $\Gamma_{\delta x}$ : " $\Gamma (\delta x) = \text{TComp } f T$ " using wt_subst_trm''[OF  $\delta_{\text{wt}}$ , of "Var x"] by auto
show ?thesis
proof (cases " $t = \delta x$ ")
  case False
  hence  $t_{\text{subt\_}\delta x}$ : " $t \sqsubseteq \delta x$ " using t(1)  $\Gamma_{\delta x}$  by fastforce
  obtain  $T'$  where  $T'$ : " $\delta x = \text{Fun } f T'$ " using  $\Gamma_{\delta x} t_{\text{subt\_}\delta x} \text{ fun\_type\_id\_eq}$  by (cases " $\delta x$ ") auto
  obtain  $g S$  where  $gS$ : " $\text{Fun } g S \sqsubseteq \delta x$ " " $t \in \text{set } S$ " using Fun_ex_if_subterm[OF  $t_{\text{subt\_}\delta x}$ ] by blast
  have  $gS_{\text{wf}}$ : " $\text{wf}_{\text{trm}} (\text{Fun } g S)$ " by (rule wf_trm_subtermeq[OF wf_δx gS(1)])
  hence "arity  $g > 0$ " using gS(2) by (metis length_pos_if_in_set wf_trm_arity)
  hence  $gS_{\Gamma}$ : " $\Gamma (\text{Fun } g S) = \text{TComp } g (\text{map } \Gamma S)$ " using fun_type by blast
  obtain  $h U$  where  $hU$ :
    " $\text{Fun } h U \in M$ " " $\Gamma (\text{Fun } h U) = \text{Fun } g (\text{map } \Gamma S)$ " " $\forall u \in \text{set } U. \text{ is\_Var } u$ "
    using is_TComp_var_instance_closedD'[OF y_ex _ closed wf_M]
    subtermeq_imp_subtermtypeeq[OF wf_δx] gS  $\Gamma_{\delta x} \text{ Fun } gS_{\Gamma}$ 
    by metis
  obtain  $y$  where  $y$ : " $\text{Var } y \in \text{set } U$ " " $\Gamma (\text{Var } y) = \Gamma t$ "
    using hU(3) fun_type_param_ex[OF hU(2) gS(2)] by fast
  have " $y \in \text{fv}_{\text{set}} M$ " using hU(1) y(1) by force
  thus ?thesis using y(2) closed by metis
qed (metis y_ex Fun  $\Gamma_{\delta x}$ )
qed

lemma TComp_var_instance_closed_has_Fun:
assumes closed: "is_TComp_var_instance_closed  $\Gamma M$ "
  and wf_M: " $\text{wf}_{\text{trms}} M$ "
  and wf_δx: " $\text{wf}_{\text{trm}} (\delta x)$ "
  and y_ex: " $\exists y \in \text{fv}_{\text{set}} M. \Gamma (\text{Var } x) = \Gamma (\text{Var } y)$ "
  and t: " $t \sqsubseteq \delta x$ "
  and δ_wt: " $\text{wt}_{\text{subst}} \delta$ "
  and t_Γ: " $\Gamma t = \text{TComp } g T$ "
  and t_fun: "is_Fun t"
shows " $\exists m \in M. \exists \vartheta. \text{wt}_{\text{subst}} \vartheta \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \vartheta) \wedge t = m \cdot \vartheta \wedge \text{is\_Fun } m$ "
proof -
  obtain  $T''$  where  $T''$ : " $t = \text{Fun } g T''$ " using t_Γ t_fun fun_type_id_eq by blast
  have g: "arity  $g > 0$ " using t_Γ fun_type_inv[of t] by simp_all
  have "TComp g T ⊑ \Gamma (\text{Var } x)" using δ_wt t t_Γ
    by (metis wf_δx subtermeq_imp_subtermtypeeq wt_subst_def)
  then obtain U where U:
    " $\text{Fun } g U \in M$ " " $\Gamma (\text{Fun } g U) = \text{TComp } g T$ " " $\forall u \in \text{set } U. \exists y. u = \text{Var } y$ "
    "distinct  $U$ " " $\text{length } T'' = \text{length } U$ "
    using is_TComp_var_instance_closedD'[OF y_ex _ closed wf_M]
    by (metis t_Γ T'' fun_type_id_eq fun_type_length_eq is_VarE)
  hence UT': " $T = \text{map } \Gamma U$ " using fun_type[OF g, of U] by simp

```

```

show ?thesis
using TComp_var_instance_wt_subst_exists UT' T'' U(1,3,4) t t_Γ wf_δx wf_trm_subtermeq
by (metis term.disc(2))
qed

lemma TComp_var_and_subterm_instance_closed_has_subterms_instances:
assumes M_var_inst_cl: "is_TComp_var_instance_closed Γ M"
and M_subterms_cl: "has_all_wt_instances_of Γ (subtermsset M) M"
and M_wf: "wftrms M"
and t: "t ⊑set M"
and s: "s ⊑ t · δ"
and δ: "wtsubst δ" "wftrms (subst_range δ)"
shows "∃m ∈ M. ∃θ. wtsubst θ ∧ wftrms (subst_range θ) ∧ s = m · θ"
using subterm_subst_unfold[OF s]
proof
assume "∃s'. s' ⊑ t ∧ s = s' · δ"
then obtain s' where s': "s' ⊑ t" "s = s' · δ" by blast
then obtain θ where θ: "wtsubst θ" "wftrms (subst_range θ)" "s' ∈ M ·set θ"
using t has_all_wt_instances_ofD'[OF wf_trms_subterms[OF M_wf] M_wf M_subterms_cl]
term.order_trans[of s' t]
by blast
then obtain m where m: "m ∈ M" "s' = m · θ" by blast
have "s = m · (θ o_s δ)" using s'(2) m(2) by simp
thus ?thesis
using m(1) wt_subst_compose[OF θ(1) δ(1)] wf_trms_subst_compose[OF θ(2) δ(2)] by blast
next
assume "∃x ∈ fv t. s ⊑ δ x"
then obtain x where x: "x ∈ fv t" "s ⊑ δ x" "s ⊑ δ x" by blast
note 0 = TComp_var_instance_closed_has_Var[OF M_var_inst_cl M_wf]
note 1 = has_all_wt_instances_ofD'[OF wf_trms_subterms[OF M_wf] M_wf M_subterms_cl]

have δx_wf: "wftrm (δ x)" and s_wf_trm: "wftrm s"
using δ(2) wf_trm_subterm[OF _ x(2)] by fastforce+
have x_fv_ex: "∃y ∈ fvset M. Γ (Var x) = Γ (Var y)"
using x(1) s fv_subset_subterms[OF t] by auto
obtain y where y: "y ∈ fvset M" "Γ (Var y) = Γ s"
using 0[of δ x s, OF δx_wf x_fv_ex x(3) δ(1)] by metis
then obtain z where z: "Var z ∈ M" "Γ (Var z) = Γ s"
using 1[of y] vars_iff_subtermeq_set[of y M] by metis
define θ where "θ ≡ Var(z := s)::('fun, 'fun, 'atom) term × nat subst"
have "wtsubst θ" "wftrms (subst_range θ)" "s = Var z · θ"
using z(2) s_wf_trm unfolding θ_def wtsubst_def by force+
thus ?thesis using z(1) by blast
qed

context
begin
private lemma SMP_D_aux1:
assumes "t ∈ SMP M"
and closed: "has_all_wt_instances_of Γ (subtermsset M) M"
"is_TComp_var_instance_closed Γ M"
and wf_M: "wftrms M"
shows "∀x ∈ fv t. ∃y ∈ fvset M. Γ (Var y) = Γ (Var x)"
using assms(1)
proof (induction t rule: SMP.induct)
case (MP t) show ?case
proof

```

```

fix x assume x: "x ∈ fv t"
hence "Var x ∈ subtermsset M" using MP.hyps vars_iff_subtermeq by fastforce
then obtain δ s where δ: "wtsubst δ" "wftrms (subst_range δ)"
  and s: "s ∈ M" "Var x = s · δ"
  using has_all_wt_instances_ofD'[OF wf_trms_subterms[OF wf_M] wf_M closed(1)] by blast
then obtain y where y: "s = Var y" by (cases s) auto
thus "∃y ∈ fvset M. Γ (Var y) = Γ (Var x)"
  using s wt_subst_trm'[OF δ(1), of "Var y"] by force
qed
next
case (Subterm t t')
hence "fv t' ⊆ fv t" using subtermeq_vars_subset by auto
thus ?case using Subterm.IH by auto
next
case (Substitution t δ)
note IH = Substitution.IH
show ?case
proof
fix x assume x: "x ∈ fv (t · δ)"
then obtain y where y: "y ∈ fv t" "Γ (Var x) ⊑ Γ (Var y)"
  using Substitution.hyps(2,3)
  by (metis subst_apply_img_var subtermeqI' subtermeq_imp_subtermtypeeq
      vars_iff_subtermeq wtsubst_def wf_trm_subst_rangeD)
let ?P = "λx. ∃y ∈ fvset M. Γ (Var y) = Γ (Var x)"
show "?P x" using y IH
proof (induction "Γ (Var y)" arbitrary: y t)
  case (Var a)
  hence "Γ (Var x) = Γ (Var y)" by auto
  thus ?case using Var(2,4) by auto
next
case (Fun f T)
obtain z where z: "∃w ∈ fvset M. Γ (Var z) = Γ (Var w)" "Γ (Var z) = Γ (Var y)"
  using Fun.prem(1,3) by blast
show ?case
proof (cases "Γ (Var x) = Γ (Var y)")
  case True thus ?thesis using Fun.prem by auto
next
case False
then obtain τ where τ: "τ ∈ set T" "Γ (Var x) ⊑ τ" using Fun.prem(2) Fun.hyps(2) by auto
then obtain U where U:
  "Fun f U ∈ M" "Γ (Fun f U) = Γ (Var z)" "∀u ∈ set U. ∃v. u = Var v" "distinct U"
  using is_TComp_var_instance_closedD'[OF z(1) _ closed(2) wf_M] Fun.hyps(2) z(2)
  by (metis fun_type_id_eq subtermeqI' is_VarE)
hence 1: "∀x ∈ fv (Fun f U). ∃y ∈ fvset M. Γ (Var y) = Γ (Var x)" by force
have "arity f > 0" using U(2) z(2) Fun.hyps(2) fun_type_inv(1) by metis
hence "Γ (Fun f U) = TComp f (map Γ U)" using fun_type by auto
then obtain u where u: "Var u ∈ set U" "Γ (Var u) = τ"
  using τ(1) U(2,3) z(2) Fun.hyps(2) by auto
show ?thesis
  using Fun.hyps(1)[of u "Fun f U"] u τ 1
  by force
qed
qed
qed
next
case (Ana t K T k)
have "fv k ⊆ fv t" using Ana_keys_fv[OF Ana.hyps(2)] Ana.hyps(3) by auto
thus ?case using Ana.IH by auto
qed

private lemma SMP_D_aux2:
  fixes t:: "('fun, ('fun, 'atom) term × nat) term"

```

```

assumes t_SMP: "t ∈ SMP M"
and t_Var: "∃x. t = Var x"
and M_SMP_repr: "finite_SMP_representation arity Ana Γ M"
shows "∃m ∈ M. ∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t = m · δ"
proof -
have M_wf: "wftrms M"
and M_var_inst_cl: "is_TComp_var_instance_closed Γ M"
and M_subterms_cl: "has_all_wt_instances_of Γ (subtermsset M) M"
and M_Ana_cl: "has_all_wt_instances_of Γ ((set ∘ fst ∘ Ana) ` M) M"
using finite_SMP_representationD[OF M_SMP_repr] by blast+
have M_Ana_wf: "wftrms ((set ∘ fst ∘ Ana) ` M)"
proof
fix k assume "k ∈ ((set ∘ fst ∘ Ana) ` M)"
then obtain m where "m ∈ M" "k ∈ set (fst (Ana m))" by force
thus "wftrm k" using M_wf Ana_keys_wf'[of m "fst (Ana m)" _ k] surjective_pairing by blast
qed
note 0 = has_all_wt_instances_ofD'[OF wf_trms_subterms[OF M_wf] M_wf M_subterms_cl]
note 1 = has_all_wt_instances_ofD'[OF M_Ana_wf M_wf M_Ana_cl]

obtain x y where x: "t = Var x" and y: "y ∈ fvset M" "Γ (Var y) = Γ (Var x)"
using t_Var SMP_D_aux1[OF t_SMP M_subterms_cl M_var_inst_cl M_wf] by fastforce
then obtain m δ where m: "m ∈ M" "m · δ = Var y" and δ: "wtsubst δ"
using 0[of "Var y"] vars_iff_subtermeq_set[of y M] by fastforce
obtain z where z: "m = Var z" using m(2) by (cases m) auto

define θ where "θ ≡ Var(z := Var x)::('fun, ('fun, 'atom) term × nat) subst"

have "Γ (Var z) = Γ (Var x)" using y(2) m(2) z wtsubst_trm'[OF δ, of m] by argo
hence "wtsubst θ" "wftrms (subst_range θ)" unfolding θ_def wtsubst_def by force+
moreover have "t = m · θ" using x z unfolding θ_def by simp
ultimately show ?thesis using m(1) by blast
qed

private lemma SMP_D_aux3:
assumes hyps: "t' ⊑ t" and wf_t: "wftrm t" and prems: "is_Fun t'"
and IH:
"((∃f. t = Fun f []) ∧ (∃m ∈ M. ∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t = m · δ)) ∨
 (∃m ∈ M. ∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t = m · δ ∧ is_Fun m))"
and M_SMP_repr: "finite_SMP_representation arity Ana Γ M"
shows "((∃f. t' = Fun f []) ∧ (∃m ∈ M. ∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t' = m · δ)) ∨
 (∃m ∈ M. ∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t' = m · δ ∧ is_Fun m))"
proof (cases "∃f. t = Fun f [] ∨ t' = Fun f []")
case True
have M_wf: "wftrms M"
and M_var_inst_cl: "is_TComp_var_instance_closed Γ M"
and M_subterms_cl: "has_all_wt_instances_of Γ (subtermsset M) M"
and M_Ana_cl: "has_all_wt_instances_of Γ ((set ∘ fst ∘ Ana) ` M) M"
using finite_SMP_representationD[OF M_SMP_repr] by blast+
note 0 = has_all_wt_instances_ofD'[OF wf_trms_subterms[OF M_wf] M_wf M_subterms_cl]
note 1 = TComp_var_instance_closed_has_Fun[OF M_var_inst_cl M_wf]
note 2 = TComp_var_and_subterm_instance_closed_has_subterms_instances[
    OF M_var_inst_cl M_subterms_cl M_wf]

have wf_t': "wftrm t'" using hyps wf_t wf_trm_subterm by blast

obtain c where "t = Fun c [] ∨ t' = Fun c []" using True by atomize_elim auto
thus ?thesis
proof
assume c: "t' = Fun c []"
show ?thesis

```

```

proof (cases "∃f. t = Fun f []")
  case True
    hence "t = t'" using c hyps by force
    thus ?thesis using IH by fast
  next
    case False
    note F = this
    then obtain m δ where m: "m ∈ M" "t = m · δ"
      and δ: "wtsubst δ" "wftrms (subst_range δ)"
      using IH by blast

    show ?thesis using subterm_subst_unfold[OF hyps[unfolded m(2)]]
    proof
      assume "∃m'. m' ⊑ m ∧ t' = m' · δ"
      then obtain m' where m': "m' ⊑ m" "t' = m' · δ" by atomize_elim auto
      obtain n ϑ where n: "n ∈ M" "m' = n · ϑ" and ϑ: "wtsubst ϑ" "wftrms (subst_range ϑ)"
        using O[of m'] m'(1) by blast
      have "t' = n · (ϑ os δ)" using m'(2) n(2) by auto
      thus ?thesis
        using c n(1) wt_subst_compose[OF ϑ(1) δ(1)] wf_trms_subst_compose[OF ϑ(2) δ(2)] by blast
    next
      assume "∃x ∈ fv m. t' ⊑ δ x"
      then obtain x where x: "x ∈ fv m" "t' ⊑ δ x" "t' ⊑ δ x" by atomize_elim auto
      have δx_wf: "wftrm (δ x)" using δ(2) by fastforce

      have x_fv_ex: "∃y ∈ fvset M. Γ (Var x) = Γ (Var y)" using x m by auto

      show ?thesis
      proof (cases "Γ t' ")
        case (Var a)
        show ?thesis
          using c m 2[OF _ hyps[unfolded m(2)] δ]
          by fast
      next
        case (Fun g S)
        show ?thesis
          using c 1[of δ x t', OF δx_wf x_fv_ex x(3) δ(1) Fun]
          by blast
        qed
      qed
    qed
  qed (use IH hyps in simp)
next
  case False
  note F = False
  then obtain m δ where m:
    "m ∈ M" "wtsubst δ" "t = m · δ" "is_Fun m" "wftrms (subst_range δ)"
    using IH by atomize_elim auto
  obtain f T where fT: "t' = Fun f T" "arity f > 0" "Γ t' = TComp f (map Γ T)"
    using F prems fun_type wf_trm_subtermeq[OF wf_t hyps]
    by (metis is_FunE length_greater_0_conv subtermeqI' wf_trm_def)

  have closed: "has_all_wt_instances_of Γ (subtermsset M) M"
    "is_TComp_var_instance_closed Γ M"
    using M_SMP_repr unfolding finite_SMP_representation_def by metis+

  have M_wf: "wftrms M"
    using finite_SMP_representationD[OF M_SMP_repr] by blast

  show ?thesis
  proof (cases "∃x ∈ fv m. t' ⊑ δ x")
    case True
    then obtain x where x: "x ∈ fv m" "t' ⊑ δ x" by atomize_elim auto

```

```

have 1: " $x \in fv_{set} M$ " using  $m(1) x(1)$  by auto
have 2: " $is\_Fun (\delta x)$ " using  $prems x(2)$  by auto
have 3: " $wf_{trm} (\delta x)$ " using  $m(5)$  by (simp add:  $wf_{trm\_subst\_rangeD}$ )
have " $\neg(\exists f. \delta x = Fun f [] )$ " using  $F x(2)$  by auto
hence " $\exists f T. \Gamma (Var x) = TComp f T$ " using 2 3  $m(2)$ 
    by (metis (no_types) fun_type is_FunE length_greater_0_conv subtermeqI' wf_trm_def wt_subst_def)
moreover have " $\exists f T. \Gamma t' = Fun f T$ "
    using False  $prems wf_{trm\_subst\_not\_img\_subterm}$ 
    by (metis (no_types) fun_type is_FunE length_greater_0_conv subtermeqI' wf_trm_def)
ultimately show ?thesis
    using TComp_var_instance_closed_has_Fun 1 x(2)  $m(2)$  prems closed 3 M_wf
    by metis
next
case False
then obtain  $m'$  where  $m' \sqsubseteq m$  " $t' = m' \cdot \delta$ " " $is\_Fun m'$ "
    using hyps  $m(3)$  subterm_subst_not_img_subterm
    by blast
then obtain  $\vartheta m''$  where  $\vartheta: "wt_{subst} \vartheta" "wf_{trms} (subst\_range \vartheta)" "m'' \in M" "m' = m'' \cdot \vartheta"$ 
    using  $m(1)$  has_all_wt_instances_ofD'[OF wf_trms_subterms[OF M_wf] M_wf closed(1)] by blast
hence  $t' \cdot m'': "t' = m'' \cdot \vartheta \circ_s \delta$ " using  $m'(2)$  by fastforce

note  $\vartheta\delta = wt_{subst\_compose}[OF \vartheta(1) m(2)] wf_{trms\_subst\_compose}[OF \vartheta(2) m(5)]$ 

show ?thesis
proof (cases "is_Fun m''")
case True thus ?thesis using  $\vartheta(3,4) m'(2,3) m(4) fT t' \cdot m'' \vartheta\delta$  by blast
next
case False
then obtain  $x$  where  $x: "m'' = Var x"$  by atomize_elim auto
hence " $\exists y \in fv_{set} M. \Gamma (Var x) = \Gamma (Var y)" "t' \sqsubseteq (\vartheta \circ_s \delta) x"$ 
    " $\Gamma (Var x) = Fun f (map \Gamma T)" "wf_{trm} ((\vartheta \circ_s \delta) x)"$ 
    using  $\vartheta\delta t' \cdot m'' \vartheta(3) fv_{subset}[OF \vartheta(3)] fT(3) eval\_term.simps(1)[of _ "\vartheta \circ_s \delta"]$ 
    "wt_{subst\_trm}'[OF \vartheta\delta(1), of "Var x"]"
    by force+
thus ?thesis
    using x TComp_var_instance_closed_has_Fun[
        of M " $\vartheta \circ_s \delta$ " x t' f "map \Gamma T", OF closed(2) M_wf _ _ _ \vartheta\delta(1) fT(3) prems]
    by blast
qed
qed
qed

lemma SMP_D:
assumes "t \in SMP M" "is_Fun t"
and M_SMP_repr: "finite_SMP_representation arity Ana \Gamma M"
shows " $(\exists f. t = Fun f []) \wedge (\exists m \in M. \exists \delta. wt_{subst} \delta \wedge wf_{trms} (subst\_range \delta) \wedge t = m \cdot \delta) \vee$ 
 $(\exists m \in M. \exists \delta. wt_{subst} \delta \wedge wf_{trms} (subst\_range \delta) \wedge t = m \cdot \delta \wedge is\_Fun m)$ "
proof -
have wf_M: " $wf_{trms} M$ "
and closed: "has_all_wt_instances_of \Gamma (subterms_set M) M"
    "has_all_wt_instances_of \Gamma (\bigcup ((set \circ fst \circ Ana) ` M)) M"
    "is_TComp_var_instance_closed \Gamma M"
using finite_SMP_representationD[OF M_SMP_repr] by blast+

show ?thesis using assms(1,2)
proof (induction t rule: SMP.induct)
case (MP t)
moreover have " $wt_{subst} Var" "wf_{trms} (subst\_range Var)" "t = t \cdot Var$ " by simp_all
ultimately show ?case by blast
next
case (Subterm t t')
hence t_fun: "is_Fun t" by auto
note * = Subterm.hyps(2) SMP_wf_trm[OF Subterm.hyps(1) wf_M(1)]

```

```

Subterm.prems Subterm.IH[OF t_fun] M_SMP_repr
show ?case by (rule SMP_D_aux3[OF *])
next
  case (Substitution t δ)
    have wf: "wftrm t" by (metis Substitution.hyps(1) wf_M(1) SMP_wf_trm)
    hence wf': "wftrm (t · δ)" using Substitution.hyps(3) wf_trm_subst by blast
    show ?case
    proof (cases "Γ t")
      case (Var a)
        hence 1: "Γ (t · δ) = TAtom a" using Substitution.hyps(2) by (metis wt_subst_trm'')
        then obtain c where c: "t · δ = Fun c []"
          using TAtom_term_cases[OF wf' 1] Substitution.prems by fastforce
        hence "(∃x. t = Var x) ∨ t = t · δ" by (cases t) auto
        thus ?thesis
        proof
          assume t_Var: "∃x. t = Var x"
          then obtain x where x: "t = Var x" "δ x = Fun c []" "Γ (Var x) = TAtom a"
            using c 1 wt_subst_trm''[OF Substitution.hyps(2), of t] by force

          obtain m θ where m: "m ∈ M" "t = m · θ" and θ: "wtsubst θ" "wftrms (subst_range θ)"
            using SMP_D_aux2[OF Substitution.hyps(1) t_Var M_SMP_repr] by atomize_elim auto

          have "m · (θ os δ) = Fun c []" using c m(2) by auto
          thus ?thesis
            using c m(1) wt_subst_compose[OF θ(1) Substitution.hyps(2)]
              wf_trms_subst_compose[OF θ(2) Substitution.hyps(3)]
            by metis
        qed (use c Substitution.IH in auto)
    next
      case (Fun f T)
        hence 1: "Γ (t · δ) = TComp f T" using Substitution.hyps(2) by (metis wt_subst_trm'')
        have 2: "¬(∃f. t = Fun f [])" using Fun TComp_term_cases[OF wf] by auto
        obtain T'' where T'': "t · δ = Fun f T''"
          using 1 2 fun_type_id_eq Fun Substitution.prems
          by fastforce
        have f: "arity f > 0" using fun_type_inv[OF 1] by metis

        show ?thesis
        proof (cases t)
          case (Fun g U)
            then obtain m θ where m:
              "m ∈ M" "wtsubst θ" "t = m · θ" "is_Fun m" "wftrms (subst_range θ)"
              using Substitution.IH Fun 2 by atomize_elim auto
            have "wtsubst (θ os δ)" "t · δ = m · (θ os δ)" "wftrms (subst_range (θ os δ))"
              using wt_subst_compose[OF m(2) Substitution.hyps(2)] m(3)
                wf_trms_subst_compose[OF m(5) Substitution.hyps(3)]
              by auto
            thus ?thesis using m(1,4) by metis
        next
          case (Var x)
            then obtain y where y: "y ∈ fvset M" "Γ (Var y) = Γ (Var x)"
              using SMP_D_aux1[OF Substitution.hyps(1) closed(1,3) wf_M] Fun
              by atomize_elim auto
            hence 3: "Γ (Var y) = TComp f T" using Var Fun Γ_Var_fst by simp

            obtain h V where V:
              "Fun h V ∈ M" "Γ (Fun h V) = Γ (Var y)" "∀u ∈ set V. ∃z. u = Var z" "distinct V"
              by (metis is_VarE is_TComp_var_instance_closedD[OF _ 3 closed(3)] y(1))
            moreover have "length T'' = length V" using 3 V(2) fun_type_length_eq 1 T'' by metis
            ultimately have TV: "T = map Γ V"
              by (metis fun_type[OF f(1)] 3 fun_type_id_eq term.inject(2))

            obtain θ where θ: "wtsubst θ" "wftrms (subst_range θ)" "t · δ = Fun h V · θ"

```

```

using TComp_var_instance_wt_subst_exists 1 3 T'' TV V(2,3,4) wf'
by (metis fun_type_id_eq)

have 9: " $\Gamma(Fun h V) = \Gamma(\delta x)$ " using y(2) Substitution.hyps(2) V(2) 1 3 Var by auto

show ?thesis using Var v 9 V(1) by force
qed
next
case (Ana t K T k)
have 1: "is_Fun t" using Ana.hyps(2,3) by auto
then obtain f U where U: "t = Fun f U" by atomize_elim auto

have 2: "fv k ⊆ fv t" using Ana_keys_fv[OF Ana.hyps(2)] Ana.hyps(3) by auto

have wf_t: "wf_trm t"
  using SMP_wf_trm[OF Ana.hyps(1)] wf_trm_code wf_M
  by auto
hence wf_k: "wf_trm k"
  using Ana_keys_wf'[OF Ana.hyps(2)] wf_trm_code Ana.hyps(3)
  by auto

have wf_M_keys: "wf_trms ((\bigcup ((set o fst o Ana) ` M)))"
proof
fix t assume "t ∈ ((\bigcup ((set o fst o Ana) ` M)))"
then obtain s where s: "s ∈ M" "t ∈ (set o fst o Ana) s" by blast
obtain K R where KR: "Ana s = (K,R)" by (metis surj_pair)
hence "t ∈ set K" using s(2) by simp
thus "wf_trm t" using Ana_keys_wf'[OF KR] wf_M s(1) by blast
qed

show ?case using Ana_subst'_or_Anasubterm_subterm
proof
assume "∀ t K T k. Ana t = (K, T) → k ∈ set K → k ⊂ t"
hence *: "k ⊂ t" using Ana.hyps(2,3) by auto
show ?thesis by (rule SMP_D_aux3[OF * wf_t Ana.preds Ana.IH[OF 1] M_SMP_repr])
next
assume Ana_subst':
"∀ f T δ K M. Ana (Fun f T) = (K, M) → Ana (Fun f T · δ) = (K · list δ, M · list δ)"

have "arity f > 0" using Ana_const[of f U] U Ana.hyps(2,3) by fastforce
hence "U ≠ []" using wf_t U unfolding wf_trm_def by force
then obtain m δ where m: "m ∈ M" "wt_subst δ" "wf_trms (subst_range δ)" "t = m · δ" "is_Fun m"
  using Ana.IH[OF 1] U by auto
hence "Ana (t · δ) = (K · list δ, T · list δ)" using Ana_subst' U Ana.hyps(2) by auto
obtain Km Tm where Ana_m: "Ana m = (Km, Tm)" by atomize_elim auto
hence "Ana (m · δ) = (Km · list δ, Tm · list δ)"
  using Ana_subst' U m(4) is_FunE[OF m(5)] Ana.hyps(2)
  by metis
then obtain km where km: "km ∈ set Km" "k = km · δ" using Ana.hyps(2,3) m(4) by auto
then obtain θ km' where θ: "wt_subst θ" "wf_trms (subst_range θ)"
  and km': "km' ∈ M" "km = km' · θ"
  using Ana_m m(1) has_all_wt_instances_ofD'[OF wf_M_keys wf_M closed(2), of km] by force

have kθδ: "k = km' · θ o_s δ" "wt_subst (θ o_s δ)" "wf_trms (subst_range (θ o_s δ))"
  using km(2) km' wt_subst_compose[OF θ(1) m(2)] wf_trms_subst_compose[OF θ(2) m(3)]
  by auto

show ?case
proof (cases "is_Fun km'")
  case True thus ?thesis using kθδ km'(1) by blast
next
  case False

```

```

note F = False
then obtain x where x: "km' = Var x" by auto
hence 3: "x ∈ fv_set M" using fv_subset[OF km'(1)] by auto
obtain kf kT where kf: "k = Fun kf kT" using Ana.prems by auto
show ?thesis
proof (cases "kT = []")
  case True thus ?thesis using kδ(1) kδ(2) kδ(3) kf km'(1) by blast
next
  case False
  hence 4: "arity kf > 0" using wf_k kf TAtom_term_cases const_type by fastforce
  then obtain kT' where kT': "Γ k = TComp kf kT'" by (simp add: fun_type kf)
  then obtain V where V:
    "Fun kf V ∈ M" "Γ (Fun kf V) = Γ (Var x)" "∀ u ∈ set V. ∃ v. u = Var v"
    "distinct V" "is_Fun (Fun kf V)"
    using is_TComp_var_instance_closedD[OF _ _ closed(3), of x]
    x m(2) kδ(1) 3 wt_subst_trm''[OF kδ(2)]
    by (metis fun_type_id_eq term.disc(2) is_VarE)
  have 5: "kT' = map Γ V"
    using fun_type[OF 4] x kT' kδ m(2) V(2)
    by (metis term.inject(2) wt_subst_trm'')
  thus ?thesis
    using TComp_var_instance_wt_subst_exists wf_k kf 4 V(3,4) kT' V(1,5)
    by metis
qed
qed
qed
qed
qed

lemma SMP_D':
fixes M
defines "δ ≡ var_rename (max_var_set (fv_set M))"
assumes M_SMP_repr: "finite_SMP_representation arity Ana Γ M"
  and s: "s ∈ SMP M" "is_Fun s" "¬ f. s = Fun f []"
  and t: "t ∈ SMP M" "is_Fun t" "¬ f. t = Fun f []"
obtains σ s0 θ t0
where "wt_subst σ" "wf_trms (subst_range σ)" "s0 ∈ M" "is_Fun s0" "s = s0 · σ" "Γ s = Γ s0"
  and "wt_subst θ" "wf_trms (subst_range θ)" "t0 ∈ M" "is_Fun t0" "t = t0 · δ · θ" "Γ t = Γ t0"
proof -
  obtain σ s0 where
    σ: "wt_subst σ" "wf_trms (subst_range σ)" "s0 ∈ M" "s = s0 · σ" "is_Fun s0"
    using s(3) SMP_D[OF s(1,2) M_SMP_repr] unfolding δ_def by metis

  obtain θ t0 where t0:
    "wt_subst θ" "wf_trms (subst_range θ)" "t0 ∈ M" "t = t0 · δ · θ" "is_Fun t0"
    using t(3) SMP_D[OF t(1,2) M_SMP_repr] var_rename_wt'[of _ t]
    wf_trms_subst_compose_Var_range(1)[OF _ var_rename_is_renaming(2)]
    unfolding δ_def by metis

  have "Γ s = Γ s0" "Γ t = Γ (t0 · δ)" "Γ (t0 · δ) = Γ t0"
    using s0 t0 wt_subst_trm'' by (metis, metis, metis δ_def var_rename_wt(1))
  thus ?thesis using s0 t0 that by simp
qed

lemma SMP_D'':
fixes t::"('fun, ('fun, 'atom) term × nat) term"
assumes t_SMP: "t ∈ SMP M"
  and M_SMP_repr: "finite_SMP_representation arity Ana Γ M"
shows "∃ m ∈ M. ∃ δ. wt_subst δ ∧ wf_trms (subst_range δ) ∧ t = m · δ"
proof (cases "(∃ x. t = Var x) ∨ (∃ c. t = Fun c [])")
  case True
  have M_wf: "wf_trms M"
    and M_var_inst_cl: "is_TComp_var_instance_closed Γ M"

```

```

and M_subterms_cl: "has_all_wf_instances_of Γ (subterms_set M) M"
and M_Ana_cl: "has_all_wf_instances_of Γ ((set ∘ fst ∘ Ana) ` M)) M"
using finite_SMP_representationD[OF M_SMP_repr] by blast+
have M_Ana_wf: "wf_trms ((set ∘ fst ∘ Ana) ` M))"
proof
fix k assume "k ∈ ((set ∘ fst ∘ Ana) ` M)"
then obtain m where "m ∈ M" "k ∈ set (fst (Ana m))" by force
thus "wf_trm k" using M_wf Ana_keys_wf'[of m "fst (Ana m)" _ k] surjective_pairing by blast
qed

show ?thesis using True
proof
assume "∃ x. t = Var x"
then obtain x y where x: "t = Var x" and y: "y ∈ fv_set M" "Γ (Var y) = Γ (Var x)"
using SMP_D_aux1[OF t_SMP M_subterms_cl M_var_inst_cl M_wf] by fastforce
then obtain m δ where m: "m ∈ M" "m · δ = Var y" and δ: "wt_subst δ"
using has_all_wf_instances_ofD'[OF wf_trms_subterms[OF M_wf] M_wf M_subterms_cl, of "Var y"]
vars_iff_subtermeq_set[of y M]
by fastforce

obtain z where z: "m = Var z" using m(2) by (cases m) auto
define θ where "θ ≡ Var(z := Var x)::('fun, ('fun, 'atom) term × nat) subst"

have "Γ (Var z) = Γ (Var x)" using y(2) m(2) z wt_subst_trm'[OF δ, of m] by argo
hence "wt_subst θ" "wf_trms (subst_range θ)" unfolding θ_def wt_subst_def by force+
moreover have "t = m · θ" using x z unfolding θ_def by simp
ultimately show ?thesis using m(1) by blast
qed (use SMP_D[OF t_SMP _ M_SMP_repr] in blast)
qed (use SMP_D[OF t_SMP _ M_SMP_repr] in blast)
end

lemma tfr_set_if_comp_tfr_set:
assumes "comp_tfr_set arity Ana Γ M"
shows "tfr_set M"
proof -
let ?δ = "var_rename (max_var_set (fv_set M))"
have M_SMP_repr: "finite_SMP_representation arity Ana Γ M"
by (metis comp_tfr_set_def assms)

have M_finite: "finite M"
using assms card_gt_0_iff unfolding comp_tfr_set_def finite_SMP_representation_def by blast

show ?thesis
proof (unfold tfr_set_def; intro ballI impI)
fix s t assume "s ∈ SMP M - Var`V" "t ∈ SMP M - Var`V"
hence st: "s ∈ SMP M" "is_Fun s" "t ∈ SMP M" "is_Fun t" by auto
have "¬(∃δ. Unifier δ s t)" when st_type_neq: "Γ s ≠ Γ t"
proof (cases "∃f. s = Fun f [] ∨ t = Fun f []")
case False
then obtain σ s0 θ t0 where
s0: "s0 ∈ M" "is_Fun s0" "s = s0 · σ" "Γ s = Γ s0"
and t0: "t0 ∈ M" "is_Fun t0" "t = t0 · ?δ · θ" "Γ t = Γ t0"
using SMP_D'[OF M_SMP_repr st(1,2) _ st(3,4)] by metis
hence "¬(∃δ. Unifier δ s0 (t0 · ?δ))"
using assms mgv_None_is_subst_neq st_type_neq wt_subst_trm'[OF var_rename_wt(1)]
unfolding comp_tfr_set_def Let_def by metis
thus ?thesis
using vars_term_disjoint_imp_unifier[OF var_rename_fv_set_disjoint[OF M_finite]] s0(1) t0(1)
unfolding s0(3) t0(3) by (metis (no_types, opaque_lifting) subst_subst_compose)
qed (use st_type_neq st(2,4) in auto)
thus "Γ s = Γ t" when "∃δ. Unifier δ s t" by (metis that)

```

```

qed
qed

lemma tfr_set_if_comp_tfr_set':
  assumes "let N = SMPO Ana Γ M in set M ⊆ set N ∧ comp_tfr_set arity Ana Γ (set N)"
  shows "tfr_set (set M)"
by (rule tfr_subset(2)[
  OF tfr_set_if_comp_tfr_set[OF conjunct2[OF assms[unfolded Let_def]]]
  conjunct1[OF assms[unfolded Let_def]]])

lemma tfr_stp_is_comp_tfr_stp: "tfr_stp a = comp_tfr_stp Γ a"
proof (cases a)
  case (Equality ac t t')
  thus ?thesis
    using mgu_always_unifies[of t _ t'] mgu_gives_MGU[of t t']
    by auto
next
  case (Inequality X F)
  thus ?thesis
    using tfr_stp.simps(2)[of X F]
    comp_tfr_stp.simps(2)[of Γ X F]
    Fun_range_case(2)[of "subterms_set (trms_pairs F)"]
    unfolding is_Var_def
    by auto
qed auto

lemma tfr_st_if_comp_tfr_st:
  assumes "comp_tfr_st arity Ana Γ M S"
  shows "tfr_st S"
unfolding tfr_st_def
proof
  have comp_tfr_set_M: "comp_tfr_set arity Ana Γ M"
    using assms unfolding comp_tfr_st_def by blast

  have wf_trms_M: "wf_trms M"
    and wf_trms_S: "wf_trms (trms_st S)"
    and S_trms_instance_M: "has_all_wt_instances_of Γ (trms_st S) M"
    using assms wf_trm_code wf_trms_code trms_list_st_is_trms_st
    unfolding comp_tfr_st_def comp_tfr_set_def finite_SMP_representation_def list_all_iff
    by blast+

  show "tfr_set (trms_st S)"
    using tfr_subset(3)[OF tfr_set_if_comp_tfr_set[OF comp_tfr_set_M] SMP_SMP_subset]
    SMP_I'[OF wf_trms_S wf_trms_M S_trms_instance_M]
    by blast

  have "list_all (comp_tfr_stp Γ) S" by (metis assms comp_tfr_st_def)
  thus "list_all tfr_stp S" by (induct S) (simp_all add: tfr_stp_is_comp_tfr_stp)
qed

lemma tfr_st_if_comp_tfr_st':
  assumes "comp_tfr_st arity Ana Γ (set (SMPO Ana Γ (trms_list_st S))) S"
  shows "tfr_st S"
by (rule tfr_st_if_comp_tfr_st[OF assms])

```

### Lemmata for Checking Ground SMP (GSMP) Disjointness

```

context
begin
private lemma ground_SMP_disjointI_aux1:
  fixes M:: "('fun, ('fun, 'atom) term × nat) term set"
  assumes f_def: "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ fv (t · δ) = {}}"

```

```

and g_def: "g ≡ λM. {t ∈ M. fv t = {}}"
shows "f (SMP M) = g (SMP M)"
proof
have "t ∈ f (SMP M)" when t: "t ∈ SMP M" "fv t = {}" for t
proof -
define δ where "δ ≡ Var::('fun, ('fun, 'atom) term × nat) subst"
have "wt_subst δ" "wf_trms (subst_range δ)" "t = t · δ"
using subst_apply_term_empty[of t] that(2) wt_subst_Var wf_trm_subst_range_Var
unfolding δ_def by auto
thus ?thesis using SMP.Substitution[OF t(1), of δ] t(2) unfolding f_def by fastforce
qed
thus "g (SMP M) ⊆ f (SMP M)" unfolding g_def by blast
qed (use f_def g_def in blast)

private lemma ground_SMP_disjointI_aux2:
fixes M::("fun, ('fun, 'atom) term × nat) term set"
assumes f_def: "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wt_subst δ ∧ wf_trms (subst_range δ) ∧ fv (t · δ) = {}}"
and M_SMP_repr: "finite_SMP_representation arity Ana Γ M"
shows "f M = f (SMP M)"
proof
have M_wf: "wf_trms M"
and M_var_inst_cl: "is_TComp_var_instance_closed Γ M"
and M_subterms_cl: "has_all_wt_instances_of Γ (subterms_set M) M"
and M_AnA_cl: "has_all_wt_instances_of Γ ((set ∘ fst ∘ Ana) ` M) M"
using finite_SMP_representationD[OF M_SMP_repr] by blast+
show "f (SMP M) ⊆ f M"
proof
fix t assume "t ∈ f (SMP M)"
then obtain s δ where s: "t = s · δ" "s ∈ SMP M" "fv (s · δ) = {}"
and δ: "wt_subst δ" "wf_trms (subst_range δ)"
unfolding f_def by blast
have t_wf: "wf_trm t" using SMP_wf_trm[OF s(2) M_wf] s(1) wf_trm_subst[OF δ(2)] by blast
obtain m ϑ where m: "m ∈ M" "s = m · ϑ" and ϑ: "wt_subst ϑ" "wf_trms (subst_range ϑ)"
using SMP_D''[OF s(2) M_SMP_repr] by blast
have "t = m · (ϑ ∘ s δ)" "fv (m · (ϑ ∘ s δ)) = {}" using s(1,3) m(2) by simp_all
thus "t ∈ f M"
using m(1) wt_subst_compose[OF ϑ(1) δ(1)] wf_trms_subst_compose[OF ϑ(2) δ(2)]
unfolding f_def by blast
qed
qed (auto simp add: f_def)

private lemma ground_SMP_disjointI_aux3:
fixes A B C::("fun, ('fun, 'atom) term × nat) term set"
defines "P ≡ λt s. ∃δ. wt_subst δ ∧ wf_trms (subst_range δ) ∧ Unifier δ t s"
assumes f_def: "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wt_subst δ ∧ wf_trms (subst_range δ) ∧ fv (t · δ) = {}}"
and Q_def: "Q ≡ λt. intruder_synth' public arity {} t"
and R_def: "R ≡ λt. ∃u ∈ C. is_wt_instance_of_cond Γ t u"
and AB: "wf_trms A" "wf_trms B" "fv_set A ∩ fv_set B = {}"
and C: "wf_trms C"
and ABC: "∀t ∈ A. ∀s ∈ B. P t s → Q t ∨ R t"
shows "f A ∩ f B ⊆ f C ∪ {m. {} ⊢_c m}"
proof
fix t assume "t ∈ f A ∩ f B"
then obtain ta tb δa δb where
ta: "t = ta · δa" "ta ∈ A" "wt_subst δa" "wf_trms (subst_range δa)" "fv (ta · δa) = {}"
and tb: "t = tb · δb" "tb ∈ B" "wt_subst δb" "wf_trms (subst_range δb)" "fv (tb · δb) = {}"
unfolding f_def by blast

```

```

have ta_tb_wf: "wftrm ta" "wftrm tb" "fv ta ∩ fv tb = {}" "Γ ta = Γ tb"
  using ta(1,2) tb(1,2) AB fv_subset_subterms
    wt_subst_trm''[OF ta(3), of ta] wt_subst_trm''[OF tb(3), of tb]
  by (fast, fast, blast, simp)

obtain θ where θ: "Unifier θ ta tb" "wtsubst θ" "wftrms (subst_range θ)"
  using vars_term_disjoint_imp_unifier[OF ta_tb_wf(3), of δa δb]
    ta(1) tb(1) wt_Unifier_if_Unifier[OF ta_tb_wf(1,2,4)]
  by blast
hence "Q ta ∨ R ta" using ABC ta(2) tb(2) unfolding P_def by blast+
thus "t ∈ f C ∪ {m. {} ⊢c m}"
proof
  show "Q ta ⟹ ?thesis"
    using ta(1) pgwt_ground[of ta] pgwt_is_empty_synth[of ta] subst_ground_ident[of ta δa]
    unfolding Q_def f_def intruder_synth_code[symmetric] by simp
next
  assume "R ta"
  then obtain ua σa where ua: "ta = ua · σa" "ua ∈ C" "wtsubst σa" "wftrms (subst_range σa)"
    using θ ABC ta_tb_wf(1,2) ta(2) tb(2) C is_wt_instance_of_condD'
    unfolding P_def R_def by metis

  have "t = ua · (σa os δa)" "fv t = {}"
    using ua(1) ta(1,5) tb(1,5) by auto
  thus ?thesis
    using ua(2) wt_subst_compose[OF ua(3) ta(3)] wf_trms_subst_compose[OF ua(4) ta(4)]
    unfolding f_def by blast
qed
qed

lemma ground_SMP_disjointI:
fixes A B::("fun, ('fun, 'atom) term × nat) term set" and C
defines "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ fv (t · δ) = {}}"
  and "g ≡ λM. {t ∈ M. fv t = {}}"
  and "Q ≡ λt. intruder_synth' public arity {} t"
  and "R ≡ λt. ∃u ∈ C. is_wt_instance_of_cond Γ t u"
assumes AB_fv_disj: "fvset A ∩ fvset B = {}"
  and A_SMP_repr: "finite_SMP_representation arity Ana Γ A"
  and B_SMP_repr: "finite_SMP_representation arity Ana Γ B"
  and C_wf: "wftrms C"
  and ABC: "∀t ∈ A. ∀s ∈ B. Γ t = Γ s ∧ mgu t s ≠ None ⟶ Q t ∨ R t"
shows "g (SMP A) ∩ g (SMP B) ⊆ f C ∪ {m. {} ⊢c m}"
proof -
  have AB_wf: "wftrms A" "wftrms B"
    using A_SMP_repr B_SMP_repr finite_SMP_representationD(1)
    by (blast, blast)

  let ?P = "λt s. ∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ Unifier δ t s"
  have ABC': "∀t ∈ A. ∀s ∈ B. ?P t s ⟶ Q t ∨ R t"
    by (metis (no_types) ABC mgu_None_is_subst_neq wt_subst_trm'')
  
  show ?thesis
    using ground_SMP_disjointI_aux1[OF f_def g_def, of A]
      ground_SMP_disjointI_aux1[OF f_def g_def, of B]
      ground_SMP_disjointI_aux2[OF f_def A_SMP_repr]
      ground_SMP_disjointI_aux2[OF f_def B_SMP_repr]
      ground_SMP_disjointI_aux3[OF f_def Q_def R_def AB_wf AB_fv_disj C_wf ABC']
    by argo
qed
end
end

```

```
end
```

## 3.4 The Typing Result

```
theory Typing_Result
imports Typed_Model
begin

locale typing_result = typed_model arity public Ana Γ
for arity::"fun ⇒ nat"
and public::"fun ⇒ bool"
and Ana::"('fun, 'var) term ⇒ (('fun, 'var) term list × ('fun, 'var) term list)"
and Γ::"('fun, 'var) term ⇒ ('fun, 'atom::finite) term_type"
+
assumes infinite_typed_consts: "A. infinite {c. Γ (Fun c []) = TAtom a ∧ public c}"
and no_private_funcs[simp]: "f. arity f > 0 ⇒ public f"
begin
```

### Minor Lemmata

```
lemma fun_type_inv': assumes "Γ t = TComp f T" shows "arity f > 0" "public f"
using assms fun_type_inv by simp_all
```

```
lemma infinite_public_consts[simp]: "infinite {c. public c ∧ arity c = 0}"
proof -
```

```
fix a::'atom
define A where "A ≡ {c. Γ (Fun c []) = TAtom a ∧ public c}"
define B where "B ≡ {c. public c ∧ arity c = 0}"
```

```
have "arity c = 0" when c: "c ∈ A" for c
  using c const_type_inv unfolding A_def by blast
hence "A ⊆ B" unfolding A_def B_def by blast
hence "infinite B"
  using infinite_typed_consts[of a, unfolded A_def[symmetric]]
  by (metis infinite_super)
thus ?thesis unfolding B_def by blast
```

```
qed
```

```
lemma infinite_fun_syms[simp]:
"infinite {c. public c ∧ arity c > 0} ⇒ infinite Σ_f"
"infinite C" "infinite C_pub" "infinite (UNIV::'fun set)"
by (metis Σ_f_unfold finite_Collect_conjI,
  metis infinite_public_consts finite_Collect_conjI,
  use infinite_public_consts Cpub_unfold in <force simp add: Collect_conj_eq>,
  metis UNIV_I finite_subset subsetI infinite_public_consts(1))
```

```
lemma id_univ_proper_subset[simp]: "Σ_f ⊂ UNIV" "(∃f. arity f > 0) ⇒ C ⊂ UNIV"
by (metis finite.emptyI inf_top.right_neutral top.not_eq_extremum disjoint_fun_syms
  infinite_fun_syms(2) inf_commute)
  (metis top.not_eq_extremum UNIV_I const_arity_eq_zero less_irrefl)
```

```
lemma exists_fun_notin_funcs_term: "∃f::'fun. f ∉ funs_term t"
by (metis UNIV_eq_I finite_fun_symbols infinite_fun_syms(4))
```

```
lemma exists_fun_notin_funcs_terms:
assumes "finite M" shows "∃f::'fun. f ∉ ⋃ (funs_term ` M)"
by (metis assms finite_fun_symbols infinite_fun_syms(4) ex_new_if_finite finite_UN)
```

```
lemma exists_notin_funcs_st: "∃f. f ∉ funs_st (S::('fun, 'var) strand)"
```

```

by (metis UNIV_eq_I finite_funcs_st infinite_fun_syms(4))

lemma infinite_typed_consts': "infinite {c. Γ (Fun c []) = TAtom a ∧ public c ∧ arity c = 0}"
proof -
  { fix c assume "Γ (Fun c []) = TAtom a" "public c"
    hence "arity c = 0" using const_type[of c] fun_type[of c "[]"] by auto
  } hence "{c. Γ (Fun c []) = TAtom a ∧ public c ∧ arity c = 0} = {c. Γ (Fun c []) = TAtom a ∧ public c}"
    by auto
  thus "infinite {c. Γ (Fun c []) = TAtom a ∧ public c ∧ arity c = 0}"
    using infinite_typed_consts[of a] by metis
qed

lemma atypes_inhabited: "∃ c. Γ (Fun c []) = TAtom a ∧ wf_trm (Fun c []) ∧ public c ∧ arity c = 0"
proof -
  obtain c where "Γ (Fun c []) = TAtom a" "public c" "arity c = 0"
    using infinite_typed_consts'(1)[of a] not_finite_existsD by blast
  thus ?thesis using const_type_inv[OF <Γ (Fun c []) = TAtom a>] unfolding wf_trm_def by auto
qed

lemma atype_ground_term_ex: "∃ t. fv t = {} ∧ Γ t = TAtom a ∧ wf_trm t"
using atypes_inhabited[of a] by force

lemma type_ground_inhabited: "∃ t'. fv t' = {} ∧ Γ t = Γ t''"
proof -
  { fix τ :: "('fun, 'atom) term_type" assume "∀ f T. Fun f T ⊑ τ ⇒ 0 < arity f"
    hence "∃ t'. fv t' = {} ∧ τ = Γ t''"
    proof (induction τ)
      case (Fun f T)
      hence "arity f > 0" by auto
      from Fun.IH Fun.prem(1) have "∃ Y. map Γ Y = T ∧ (∀ x ∈ set Y. fv x = {})"
        proof (induction T)
          case (Cons x X)
          hence "∀ g Y. Fun g Y ⊑ Fun f X ⇒ 0 < arity g" by auto
          hence "∃ Y. map Γ Y = X ∧ (∀ x ∈ set Y. fv x = {})" using Cons by auto
          moreover have "∃ t'. fv t' = {} ∧ x = Γ t'" using Cons by auto
          ultimately obtain y Y where
            "fv y = {}" "Γ y = x" "map Γ Y = X" "∀ x ∈ set Y. fv x = {}"
            using Cons by atomize_elim auto
          hence "map Γ (y#Y) = x#X ∧ (∀ x ∈ set (y#Y). fv x = {})" by auto
          thus ?case by meson
        qed simp
        then obtain Y where "map Γ Y = T" "∀ x ∈ set Y. fv x = {}" by metis
        hence "fv (Fun f Y) = {}" "Γ (Fun f Y) = TComp f T" using fun_type[OF <arity f > 0>] by auto
        thus ?case by (metis exI[of "λ t. fv t = {} ∧ Γ t = TComp f T" "Fun f Y"])
      qed (metis atype_ground_term_ex)
    }
    thus ?thesis by (metis Γ_wf '')
  qed
}

lemma type_wf_type_inhabited:
  assumes "∀ f T. Fun f T ⊑ τ ⇒ 0 < arity f" "wf_trm τ"
  shows "∃ t. Γ t = τ ∧ wf_trm t"
using assms
proof (induction τ)
  case (Fun f Y)
  have IH: "∃ t. Γ t = y ∧ wf_trm t" when y: "y ∈ set Y" for y
  proof -
    have "wf_trm y"
      using Fun y unfolding wf_trm_def
      by (metis Fun_param_is_subterm term.le_less_trans)
    moreover have "Fun g Z ⊑ y ⇒ 0 < arity g" for g Z
  qed

```

```

using Fun y by auto
ultimately show ?thesis using Fun.IH[OF y] by auto
qed

from Fun have "arity f = length Y" "arity f > 0" unfolding wf_trm_def by force+
moreover from IH have " $\exists X. \text{map } \Gamma X = Y \wedge (\forall x \in \text{set } X. \text{wf}_{\text{trm}} x)$ ""
by (induct Y, simp_all, metis list.simps(9) set_ConsD)
ultimately show ?case by (metis fun_type length_map wf_trmI)
qed (use atypes_inhabited wf_trm_def in blast)

lemma type_pgwt_inhabited: "wf_{trm} t \(\Rightarrow\) \(\exists t'\). \(\Gamma t = \Gamma t' \wedge \text{public\_ground\_wf\_term } t'")"
proof -
  assume "wf_{trm} t"
  { fix \(\tau\) assume "\(\Gamma t = \tau)"
    hence "\(\exists t'. \(\Gamma t = \Gamma t' \wedge \text{public\_ground\_wf\_term } t')"\) using <wf_{trm} t>
    proof (induction \(\tau\) arbitrary: t)
      case (Var a t)
      then obtain c where "\(\Gamma t = \Gamma (\text{Fun } c [])\)" "arity c = 0" "public c"
        using const_type_inv[of _ [] a] infinite_typed_consts(1)[of a] not_finite_existsD
        by force
      thus ?case using PGWT[OF <public c>, of []] by auto
    next
      case (Fun f Y t)
      have *: "arity f > 0" "public f" "arity f = length Y"
        using fun_type_inv[OF <\(\Gamma t = TComp f Y)\>] fun_type_inv_wf[OF <\(\Gamma t = TComp f Y\), <wf_{trm} t>]
        by auto
      have "\(\forall y. y \in \text{set } Y \(\Rightarrow\) \(\exists t'. y = \Gamma t' \wedge \text{public\_ground\_wf\_term } t')\)"
        using Fun.prems(1) Fun.IH \(\Gamma\)-wf'[of _ _ t] \(\Gamma\)-wf'[OF <wf_{trm} t>] type_wftype_inhabited
        by (metis Fun_param_is_subterm term.order_trans wf_trm_subtermeq)
      hence "\(\exists X. \text{map } \Gamma X = Y \wedge (\forall x \in \text{set } X. \text{public\_ground\_wf\_term } x)\)"
        by (induct Y, simp_all, metis list.simps(9) set_ConsD)
      then obtain X where X: "\text{map } \Gamma X = Y" "\(\forall x. x \in \text{set } X \(\Rightarrow\) \text{public\_ground\_wf\_term } x)" by
      atomize_elim auto
      hence "arity f = length X" using *(3) by auto
      have "\(\Gamma t = \Gamma (\text{Fun } f X)\)" "public_ground_wf_term (\text{Fun } f X)"
        using fun_type[OF *(1), of X] Fun.prems(1) X(1) apply simp
        using PGWT[OF *(2) <arity f = length X> X(2)] by metis
      thus ?case by metis
    qed
  }
  thus ?thesis using <wf_{trm} t> by auto
qed

end

```

### 3.4.2 The Typing Result for the Composition-Only Intruder

```

context typing_result
begin

```

#### Well-typedness and Type-Flaw Resistance Preservation

```

context
begin

```

```

private lemma LI_preserves_tfr_stp_all_single:
  assumes "(S, \(\vartheta\)) \(\rightsquigarrow\) (S', \(\vartheta'\))" "wf_{constr} S \(\vartheta\)" "wt_{subst} \(\vartheta\)"
  and "list_all tfr_{stp} S" "tfr_{set} (trms_{st} S)" "wf_{trms} (trms_{st} S)"
  shows "list_all tfr_{stp} S'"
using assms
proof (induction rule: LI_rel.induct)
  case (Compose S X f S' \(\vartheta\))
  hence "list_all tfr_{stp} S" "list_all tfr_{stp} S'" by simp_all

```

```

moreover have "list_all tfrstp (map Send1 X)" by (induct X) auto
ultimately show ?case by simp
next
  case (Unify S f Y δ X S' θ)
  hence "list_all tfrstp (S@S')" by simp

  have "fvst (S@Send1 (Fun f X)#S') ∩ bvarsst (S@S') = {}"
    using Unify.prems(1) by (auto simp add: wfconstr_def)
  moreover have "fv (Fun f X) ⊆ fvst (S@Send1 (Fun f X)#S')" by auto
  moreover have "fv (Fun f Y) ⊆ fvst (S@Send1 (Fun f X)#S')"
    using Unify.hyps(2) fv_subset_if_in_strand_ik'[of "Fun f Y" S] by force
  ultimately have bvars_disj:
    "bvarsst (S@S') ∩ fv (Fun f X) = {}" "bvarsst (S@S') ∩ fv (Fun f Y) = {}"
    by blast+
  have "wftrm (Fun f X)" using Unify.prems(5) by simp
  moreover have "wftrm (Fun f Y)"
  proof -
    obtain x where "x ∈ set S" "Fun f Y ∈ subtermsset (trmsstp x)" "wftrms (trmsstp x)"
      using Unify.hyps(2) Unify.prems(5) by force+
    thus ?thesis using wf_trm_subterm by auto
  qed
  moreover have
    "Fun f X ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))"
    "Fun f Y ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))"
  using SMP_append[of S "Send1 (Fun f X)#S'"] SMP_Cons[of "Send1 (Fun f X)" S']
    SMP_ikI[OF Unify.hyps(2)]
  by auto
  hence "Γ (Fun f X) = Γ (Fun f Y)"
    using Unify.prems(4) mgu_gives_MGU[OF Unify.hyps(3)[symmetric]]
    unfolding tfrset_def by blast
  ultimately have "wtsubst δ" using mgu_wt_if_same_type[OF Unify.hyps(3)[symmetric]] by metis
  moreover have "wftrms (subst_range δ)"
    using mgu_wf_trm[OF Unify.hyps(3)[symmetric]] <wftrm (Fun f X)> <wftrm (Fun f Y)>
    by (metis wf_trm_subst_range_iff)
  moreover have "bvarsst (S@S') ∩ range_vars δ = {}"
    using mgu_vars_bounded[OF Unify.hyps(3)[symmetric]] bvars_disj by fast
  ultimately show ?case using tfrstp_all_wt_subst_apply[OF <list_all tfrstp (S@S')>] by metis
next
  case (Equality S δ t t' a S' θ)
  have "list_all tfrstp (S@S')" "Γ t = Γ t''"
    using tfrstp_all_same_type[of S a t t' S']
      tfrstp_all_split(5)[of S _ S']
        MGU_is_Unifier[OF mgu_gives_MGU[OF Equality.hyps(2)[symmetric]]]
          Equality.prems(3)
    by blast+
  moreover have "wftrm t" "wftrm t'" using Equality.prems(5) by auto
  ultimately have "wtsubst δ"
    using mgu_wt_if_same_type[OF Equality.hyps(2)[symmetric]]
    by metis
  moreover have "wftrms (subst_range δ)"
    using mgu_wf_trm[OF Equality.hyps(2)[symmetric]] <wftrm t> <wftrm t'>
    by (metis wf_trm_subst_range_iff)
  moreover have "fvst (S@Equality a t t'#S') ∩ bvarsst (S@Equality a t t'#S') = {}"
    using Equality.prems(1) by (auto simp add: wfconstr_def)
  hence "bvarsst (S@S') ∩ fv t = {}" "bvarsst (S@S') ∩ fv t' = {}" by auto
  hence "bvarsst (S@S') ∩ range_vars δ = {}"
    using mgu_vars_bounded[OF Equality.hyps(2)[symmetric]] by fast
  ultimately show ?case using tfrstp_all_wt_subst_apply[OF <list_all tfrstp (S@S')>] by metis
qed

private lemma LI_in_SMP_subset_single:
  assumes "(S, θ) ~~ (S', θ')" "wfconstr S θ" "wtsubst θ"

```

```

    "tfr_set (trmsst S)" "wftrms (trmsst S)" "list_all tfrstp S"
and "trmsst S ⊆ SMP M"
shows "trmsst S' ⊆ SMP M"
using assms
proof (induction rule: LI_rel.induct)
  case (Compose S X f S' θ)
  hence "SMP (trmsst [Send1 (Fun f X)]) ⊆ SMP M"
  proof -
    have "SMP (trmsst [Send1 (Fun f X)]) ⊆ SMP (trmsst (S@Send1 (Fun f X)#S'))"
    using trmsst_append SMP_mono by auto
    thus ?thesis
      using SMP_union[of "trmsst (S@Send1 (Fun f X)#S')"] M
      SMP_subset_union_eq[OF Compose.prems(6)]
      by auto
  qed
  thus ?case using Compose.prems(6) by auto
next
  case (Unify S f Y δ X S' θ)
  have "Fun f X ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))" by auto
  moreover have "MGU δ (Fun f X) (Fun f Y)"
    by (metis mgu_gives_MGU[OF Unify.hyps(3)[symmetric]])
  moreover have
    "¬ ∃x. x ∈ set S ⇒ wftrms (trmsstp x)" "wftrm (Fun f X)"
    using Unify.prems(4) by force+
  moreover have "Fun f Y ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))"
    by (meson SMP_ikI Unify.hyps(2) contra_subsetD ik_append_subset(1))
  ultimately have "wftrm (Fun f Y)" "Γ (Fun f X) = Γ (Fun f Y)"
    using ikst_subterm_exD[OF <Fun f Y ∈ ikst S>] <tfr_set (trmsst (S@Send1 (Fun f X)#S'))>
    unfolding tfr_set_def by (metis (full_types) SMP_wf_trm Unify.prems(4), blast)
  hence "wtsubst δ" by (metis mgu_wt_if_same_type[OF Unify.hyps(3)[symmetric] <wftrm (Fun f X)>])
  moreover have "wftrms (subst_range δ)"
    using mgu_wf_trm[OF Unify.hyps(3)[symmetric] <wftrm (Fun f X)> <wftrm (Fun f Y)>] by simp
  ultimately have "trmsst ((S@Send1 (Fun f X)#S') ·st δ) ⊆ SMP M"
    using SMP.Substitution Unify.prems(6) wt_subst_SMP_subset by metis
  thus ?case by auto
next
  case (Equality S δ t t' a S' θ)
  hence "Γ t = Γ t'"
    using tfr_stp_all_same_type MGU_is_Unifier[OF mgu_gives_MGU[OF Equality.hyps(2)[symmetric]]]
    by metis
  moreover have "t ∈ SMP (trmsst (S@Equality a t t'#S'))" "t' ∈ SMP (trmsst (S@Equality a t t'#S'))"
    using Equality.prems(1) by auto
  moreover have "MGU δ t t'" using mgu_gives_MGU[OF Equality.hyps(2)[symmetric]] by metis
  moreover have "¬ ∃x. x ∈ set S ⇒ wftrms (trmsstp x)" "wftrm t" "wftrm t'"
    using Equality.prems(4) by force+
  ultimately have "wtsubst δ" by (metis mgu_wt_if_same_type[OF Equality.hyps(2)[symmetric] <wftrm t>])
  moreover have "wftrms (subst_range δ)"
    using mgu_wf_trm[OF Equality.hyps(2)[symmetric] <wftrm t> <wftrm t'>] by simp
  ultimately have "trmsst ((S@Equality a t t'#S') ·st δ) ⊆ SMP M"
    using SMP.Substitution Equality.prems wt_subst_SMP_subset by metis
  thus ?case by auto
qed

private lemma LI_preserves_tfr_single:
  assumes "(S, θ) ~> (S', θ')"
  shows "wfconstr S θ" "wtsubst θ" "wftrms (subst_range θ)"
    "tfr_set (trmsst S)" "wftrms (trmsst S)"
    "list_all tfrstp S"
  using assms
proof (induction rule: LI_rel.induct)
  case (Compose S X f S' θ)
  let ?SMPmap = "SMP (trmsst (S@map Send1 X@S')) - (Var`V)"
  have "?SMPmap ⊆ SMP (trmsst (S@Send1 (Fun f X)#S')) - (Var`V)"

```

```

using SMP_fun_map_snd_subset[of X f]
  SMP_append[of "map Send1 X" S'] SMP_Cons[of "Send1 (Fun f X)" S']
  SMP_append[of S "Send1 (Fun f X)#S'"] SMP_append[of S "map Send1 X@S'"]
by auto
hence " $\forall s \in ?SMPmap. \forall t \in ?SMPmap. (\exists \delta. Unifier \delta s t) \rightarrow \Gamma s = \Gamma t"$ 
  using Compose unfolding tfrset_def by (meson subsetCE)
thus ?case
  using LI_preserves_trm_wf[OF r_into_rtrancl[OF LI_rel.Compose[OF Compose.hyps]], of S']
    Compose.prems(5)
  unfolding tfrset_def by blast
next
  case (Unify S f Y δ X S' θ)
  let ?SMPδ = "SMP (trmsst (S@S' ·st δ)) - (Var `V)"

  have "SMP (trmsst (S@S' ·st δ)) ⊆ SMP (trmsst (S@Send1 (Fun f X)#S'))"
proof
  fix s assume "s ∈ SMP (trmsst (S@S' ·st δ))" thus "s ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))"
    using LI_in_SMP_subset_single[
      OF LI_rel.Unify[OF Unify.hyps] Unify.prems(1,2,4,5,6)
      MP_subset_SMP(2)[of "S@Send1 (Fun f X)#S'"]]
    by (metis SMP_union SMP_subset_union_eq Un_iff)
qed
hence " $\forall s \in ?SMP\delta. \forall t \in ?SMP\delta. (\exists \delta. Unifier \delta s t) \rightarrow \Gamma s = \Gamma t"$ 
  using Unify.prems(4) unfolding tfrset_def by (meson Diff_iff subsetCE)
thus ?case
  using LI_preserves_trm_wf[OF r_into_rtrancl[OF LI_rel.Unify[OF Unify.hyps]], of S']
    Unify.prems(5)
  unfolding tfrset_def by blast
next
  case (Equality S δ t t' a S' θ)
  let ?SMPδ = "SMP (trmsst (S@S' ·st δ)) - (Var `V)"

  have "SMP (trmsst (S@S' ·st δ)) ⊆ SMP (trmsst (S@Equality a t t'#S'))"
proof
  fix s assume "s ∈ SMP (trmsst (S@S' ·st δ))" thus "s ∈ SMP (trmsst (S@Equality a t t'#S'))"
    using LI_in_SMP_subset_single[
      OF LI_rel.Equality[OF Equality.hyps] Equality.prems(1,2,4,5,6)
      MP_subset_SMP(2)[of "S@Equality a t t'#S'"]]
    by (metis SMP_union SMP_subset_union_eq Un_iff)
qed
hence " $\forall s \in ?SMP\delta. \forall t \in ?SMP\delta. (\exists \delta. Unifier \delta s t) \rightarrow \Gamma s = \Gamma t"$ 
  using Equality.prems unfolding tfrset_def by (meson Diff_iff subsetCE)
thus ?case
  using LI_preserves_trm_wf[OF r_into_rtrancl[OF LI_rel.Equality[OF Equality.hyps]], of _ S']
    Equality.prems
  unfolding tfrset_def by blast
qed

private lemma LI_preserves_welltypedness_single:
  assumes "(S, θ) ~> (S', θ')"
  assumes "wfconstr S θ" "wtsubst θ" "wftrms (subst_range θ)"
  and "tfrset (trmsst S)" "wftrms (trmsst S)" "list_all tfrstp S"
  shows "wtsubst θ' ∧ wftrms (subst_range θ')"
using assms
proof (induction rule: LI_rel.induct)
  case (Unify S f Y δ X S' θ)
  have "wftrm (Fun f X)" using Unify.prems(5) unfolding tfrset_def by simp
  moreover have "wftrm (Fun f Y)"
  proof -
    obtain x where "x ∈ set S" "Fun f Y ∈ subtermsset (trmsstp x)" "wftrms (trmsstp x)"
      using Unify.hyps(2) Unify.prems(5) unfolding tfrset_def by force
    thus ?thesis using wf_trm_subterm by auto
  qed
  moreover have

```

### 3 The Typing Result for Non-Stateful Protocols

```

"Fun f X ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))" "Fun f Y ∈ SMP (trmsst (S@Send1 (Fun f X)#S'))"
using SMP_append[of S "Send1 (Fun f X)#S'"] SMP_Cons[of "Send1 (Fun f X)" S']
      SMP_ikI[OF Unify.hyps(2)]
by auto
hence " $\Gamma (Fun f X) = \Gamma (Fun f Y)$ "
  using Unify.preds(4) mgu_gives_MGU[OF Unify.hyps(3)[symmetric]]
  unfolding tfrset_def by blast
ultimately have "wtsubst δ" using mgu_wt_if_same_type[OF Unify.hyps(3)[symmetric]] by metis

have "wftrms (subst_range δ)"
  by (meson mgu_wf_trm[OF Unify.hyps(3)[symmetric]] <wftrm (Fun f X)> <wftrm (Fun f Y)>]
      wf_trm_subst_range_iff)
hence "wftrms (subst_range (θ os δ))"
  using wf_trm_subst_range_iff wf_trm_subst <wftrms (subst_range θ)>
  unfolding subst_compose_def
  by (metis (no_types, lifting))
thus ?case by (metis wt_subst_compose[OF <wtsubst θ> <wtsubst δ>])
next
case (Equality S δ t t' a S' θ)
have "wftrm t" "wftrm t'" using Equality.preds(5) by simp_all
moreover have " $\Gamma t = \Gamma t'$ "
  using <list_all tfrstp (S@Equality a t t'#S')>
      MGU_is_Unifier[OF mgu_gives_MGU[OF Equality.hyps(2)[symmetric]]]
  by auto
ultimately have "wtsubst δ" using mgu_wt_if_same_type[OF Equality.hyps(2)[symmetric]] by metis

have "wftrms (subst_range δ)"
  by (meson mgu_wf_trm[OF Equality.hyps(2)[symmetric]] <wftrm t> <wftrm t'>] wf_trm_subst_range_iff)
hence "wftrms (subst_range (θ os δ))"
  using wf_trm_subst_range_iff wf_trm_subst <wftrms (subst_range θ)>
  unfolding subst_compose_def
  by (metis (no_types, lifting))
thus ?case by (metis wt_subst_compose[OF <wtsubst θ> <wtsubst δ>])
qed metis

lemma LI_preserves_welltypedness:
assumes "(S, θ) ~* (S', θ')"
  "wfconstr S θ"
  "wtsubst θ"
  "wftrms (subst_range θ)"
  and "tfrset (trmsst S)" "wftrms (trmsst S)" "list_all tfrstp S"
shows "wtsubst θ'" (is "?A θ'")"
  and "wftrms (subst_range θ'') (is "?B θ'")"

proof -
  have "?A θ' ∧ ?B θ''" using assms
  proof (induction S θ rule: converse_rtrancl_induct2)
    case (step S1 θ1 S2 θ2)
    hence "?A θ2 ∧ ?B θ2" using LI_preserves_welltypedness_single by presburger
    moreover have "wfconstr S2 θ2"
      by (fact LI_preserves_wellformedness[OF r_into_rtrancl[OF step.hyps(1)] step.preds(1)])
    moreover have "tfrset (trmsst S2)" "wftrms (trmsst S2)"
      using LI_preserves_tfr_single[OF step.hyps(1)] step.preds by presburger+
    moreover have "list_all tfrstp S2"
      using LI_preserves_tfr_stp_all_single[OF step.hyps(1)] step.preds by fastforce
    ultimately show ?case using step.IH by presburger
  qed simp
  thus "?A θ''" "?B θ''" by simp_all
qed

lemma LI_preserves_tfr:
assumes "(S, θ) ~* (S', θ')"
  "wfconstr S θ"
  "wtsubst θ"
  "wftrms (subst_range θ)"
  and "tfrset (trmsst S)" "wftrms (trmsst S)" "list_all tfrstp S"
shows "tfrset (trmsst S') (is "?A S'")"
  and "wftrms (trmsst S') (is "?B S'")"
  and "list_all tfrstp S' (is "?C S'")"

proof -

```

```

have "?A S' ∧ ?B S' ∧ ?C S'" using assms
proof (induction S ⋘ rule: converse_rtrancl_induct2)
  case (step S1 ⋘1 S2 ⋘2)
  have "wfconstr S2 ⋘2" "tfrset (trmsst S2)" "wftrms (trmsst S2)" "list_all tfrstp S2"
    using LI_preserves_wellformedness[OF r_into_rtrancl[OF step.hyps(1)] step.prems(1)]
      LI_preserves_tfr_single[OF step.hyps(1) step.prems(1,2)]
        LI_preserves_tfr_stp_all_single[OF step.hyps(1) step.prems(1,2)]
          step.prems(3,4,5,6)
    by metis+
  moreover have "wtsubst ⋘2" "wftrms (subst_range ⋘2)"
    using LI_preserves_welltypedness[OF r_into_rtrancl[OF step.hyps(1)] step.prems]
    by simp_all
  ultimately show ?case using step.IH by presburger
qed blast
thus "?A S'" "?B S'" "?C S'" by simp_all
qed

lemma LI_preproc_preserves_tfr:
  assumes "tfrst S"
  shows "tfrst (LI_preproc S)"
unfolding tfrst_def
proof
  have S: "tfrset (trmsst S)" "list_all tfrstp S" using assms unfolding tfrst_def by metis+
  show "tfrset (trmsst (LI_preproc S))" by (metis S(1) LI_preproc_trms_eq)
  show "list_all tfrstp (LI_preproc S)" using S(2)
  proof (induction S)
    case (Cons x S)
    have IH: "list_all tfrstp (LI_preproc S)" using Cons by simp
    have x: "tfrstp x" using Cons.prems by simp
    show ?case using x IH unfolding list_all_iff by (cases x) auto
  qed simp
qed
end

```

### Simple Constraints are Well-typed Satisfiable

Proving the existence of a well-typed interpretation

context  
begin

```

lemma wt_interpretation_exists:
  obtains I::"('fun, 'var) subst"
  where "interpretationsubst I" "wtsubst I" "subst_range I ⊆ public_ground_wf_terms"
proof
  define I where "I = (λx. (SOME t. Γ (Var x) = Γ t ∧ public_ground_wf_term t))"
  { fix x t assume "I x = t"
    hence "Γ (Var x) = Γ t ∧ public_ground_wf_term t"
      using someI_ex[of "λt. Γ (Var x) = Γ t ∧ public_ground_wf_term t",
        OF type_pgwt_inhabited[of "Var x"]]
    unfolding I_def wftrm_def by simp
  } hence props: "I v = t ⟹ Γ (Var v) = Γ t ∧ public_ground_wf_term t" for v t by metis
  have "I v ≠ Var v" for v using props pgwt_ground by fastforce
  hence "subst_domain I = UNIV" by auto
  moreover have "ground (subst_range I)" by (simp add: props pgwt_ground)
  ultimately show "interpretationsubst I" by metis
  show "wtsubst I" unfolding wtsubst_def using props by simp
  show "subst_range I ⊆ public_ground_wf_terms" by (auto simp add: props)
qed

```

```

lemma wt_grounding_subst_exists:
  " $\exists \vartheta. \text{wt}_{\text{subst}} \vartheta \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \vartheta) \wedge \text{fv} (t \cdot \vartheta) = \{\})$ "
proof -
  obtain  $\vartheta$  where  $\vartheta: \text{"interpretation}_{\text{subst}} \vartheta" \text{ "wt}_{\text{subst}} \vartheta" \text{ "subst\_range } \vartheta \subseteq \text{public\_ground\_wf\_terms}"$ 
    using  $\text{wt\_interpretation\_exists}$  by blast
  show ?thesis using pgwt_wellformed interpretation_grounds[OF  $\vartheta(1)$ ]  $\vartheta(2,3)$  by blast
qed

private fun fresh_pgwt::"'fun set ⇒ ('fun,'atom) term_type ⇒ ('fun,'var) term" where
  "fresh_pgwt S (TAtom a) =
   Fun (SOME c. c ∉ S ∧ Γ (Fun c []) = TAtom a ∧ public c) []"
  | "fresh_pgwt S (TComp f T) = Fun f (map (fresh_pgwt S) T)"

private lemma fresh_pgwt_same_type:
  assumes "finite S" "wf_{trm} t"
  shows "Γ (fresh_pgwt S (Γ t)) = Γ t"
proof -
  let ?P = " $\lambda \tau ::= ('fun, 'atom) \text{ term\_type}. \text{wf}_{\text{trm}} \tau \wedge (\forall f T. \text{TComp } f T \sqsubseteq \tau \longrightarrow 0 < \text{arity } f)$ "
  { fix  $\tau$  assume "?P  $\tau$ " hence "Γ (fresh_pgwt S  $\tau$ ) =  $\tau$ " proof (induction  $\tau$ )
    case (Var a)
      let ?P = " $\lambda c. c \notin S \wedge \Gamma (\text{Fun } c []) = \text{Var } a \wedge \text{public } c$ "
      let ?Q = " $\lambda c. \Gamma (\text{Fun } c []) = \text{Var } a \wedge \text{public } c$ "
      have " {c. ?Q c} - S = {c. ?P c}" by auto
      hence "infinite {c. ?P c}"
        using Diff_infinite_finite[OF assms(1) infinite_typed_consts[of a]]
        by metis
      hence "∃ c. ?P c" using not_finite_existsD by blast
      thus ?case using someI_ex[of ?P] by auto
    next
    case (Fun f T)
      have f: "0 < arity f" using Fun.prems fun_type_inv by auto
      have "A t. t ∈ set T ⟹ ?P t"
        using Fun.prems wf_trm_subtermeq term.le_less_trans Fun_param_is_subterm
        by metis
      hence "A t. t ∈ set T ⟹ Γ (fresh_pgwt S t) = t" using Fun.prems Fun.IH by auto
      hence "map Γ (map (fresh_pgwt S) T) = T" by (induct T) auto
      thus ?case using fun_type[OF f] by simp
  qed
  } thus ?thesis using assms(1) Γ_wf'[OF assms(2)] Γ_wf'' by auto
qed

private lemma fresh_pgwt_empty_synth:
  assumes "finite S" "wf_{trm} t"
  shows "{} ⊢_c fresh_pgwt S (Γ t)"
proof -
  let ?P = " $\lambda \tau ::= ('fun, 'atom) \text{ term\_type}. \text{wf}_{\text{trm}} \tau \wedge (\forall f T. \text{TComp } f T \sqsubseteq \tau \longrightarrow 0 < \text{arity } f)$ "
  { fix  $\tau$  assume "?P  $\tau$ " hence "{} ⊢_c fresh_pgwt S  $\tau$ " proof (induction  $\tau$ )
    case (Var a)
      let ?P = " $\lambda c. c \notin S \wedge \Gamma (\text{Fun } c []) = \text{Var } a \wedge \text{public } c$ "
      let ?Q = " $\lambda c. \Gamma (\text{Fun } c []) = \text{Var } a \wedge \text{public } c$ "
      have " {c. ?Q c} - S = {c. ?P c}" by auto
      hence "infinite {c. ?P c}"
        using Diff_infinite_finite[OF assms(1) infinite_typed_consts[of a]]
        by metis
      hence "∃ c. ?P c" using not_finite_existsD by blast
      thus ?case
        using someI_ex[of ?P] intruder_synth.ComposeC[of "[]" _ "{}"] const_type_inv
        by auto
    next
    case (Fun f T)
  
```

```

have f: "0 < arity f" "length T = arity f" "public f"
  using Fun.prems fun_type_inv unfolding wftrm_def by auto
have "?t. t ∈ set T ==> ?P t"
  using Fun.prems wf_trm_subtermeq term.le_less_trans Fun_param_is_subterm
  by metis
hence "?t. t ∈ set T ==> {} ⊢c fresh_pgwt S t" using Fun.prems Fun.IH by auto
moreover have "length (map (fresh_pgwt S) T) = arity f" using f(2) by auto
ultimately show ?case using intruder_synth.ComposeC[of "map (fresh_pgwt S) T" f] f by auto
qed
} thus ?thesis using assms(1) Γ_wf'[OF assms(2)] Γ_wf'' by auto
qed

private lemma fresh_pgwt_has_fresh_const:
assumes "finite S" "wftrm t"
obtains c where "Fun c [] ⊑ fresh_pgwt S (Γ t)" "c ∉ S"
proof -
let ?P = "λτ::('fun, 'atom) term_type. wftrm τ ∧ (∀f T. TComp f T ⊑ τ → 0 < arity f)"
{ fix τ assume "?P τ" hence "∃c. Fun c [] ⊑ fresh_pgwt S τ ∧ c ∉ S"
proof (induction τ)
case (Var a)
let ?P = "λc. c ∉ S ∧ Γ (Fun c []) = Var a ∧ public c"
let ?Q = "λc. Γ (Fun c []) = Var a ∧ public c"
have "{c. ?Q c} - S = {c. ?P c}" by auto
hence "infinite {c. ?P c}"
  using Diff_infinite_finite[OF assms(1) infinite_typed_consts[of a]]
  by metis
hence "∃c. ?P c" using not_finite_existsD by blast
thus ?case using someI_ex[of ?P] by auto
next
case (Fun f T)
have f: "0 < arity f" "length T = arity f" "public f" "T ≠ []"
  using Fun.prems fun_type_inv unfolding wftrm_def by auto
obtain t' where t': "t' ∈ set T" by (meson all_not_in_conv f(4) set_empty)
have "?t. t ∈ set T ==> ?P t"
  using Fun.prems wf_trm_subtermeq term.le_less_trans Fun_param_is_subterm
  by metis
hence "?t. t ∈ set T ==> ∃c. Fun c [] ⊑ fresh_pgwt S t ∧ c ∉ S"
  using Fun.prems Fun.IH by auto
then obtain c where c: "Fun c [] ⊑ fresh_pgwt S t'" "c ∉ S" using t' by metis
thus ?case using t' by auto
qed
} thus ?thesis using that assms Γ_wf'[OF assms(2)] Γ_wf'' by blast
qed

private lemma fresh_pgwt_subterm_fresh:
assumes "finite S" "wftrm t" "wftrm s" "funst_term s ⊑ S"
shows "s ∉ subterms (fresh_pgwt S (Γ t))"
proof -
let ?P = "λτ::('fun, 'atom) term_type. wftrm τ ∧ (∀f T. TComp f T ⊑ τ → 0 < arity f)"
{ fix τ assume "?P τ" hence "s ∉ subterms (fresh_pgwt S τ)"
proof (induction τ)
case (Var a)
let ?P = "λc. c ∉ S ∧ Γ (Fun c []) = Var a ∧ public c"
let ?Q = "λc. Γ (Fun c []) = Var a ∧ public c"
have "{c. ?Q c} - S = {c. ?P c}" by auto
hence "infinite {c. ?P c}"
  using Diff_infinite_finite[OF assms(1) infinite_typed_consts[of a]]
  by metis
hence "∃c. ?P c" using not_finite_existsD by blast
thus ?case using someI_ex[of ?P] assms(4) by auto
next
case (Fun f T)
have f: "0 < arity f" "length T = arity f" "public f"

```



```

"subtermsset (subst_range σ) ⊆ {t. {} ⊢c t} - T"
"∀s ∈ subst_range σ. ∀u ∈ subst_range σ. (∃v. v ⊑ s ∧ v ⊑ u) → s = u"
"wtsubst σ" "wftrms (subst_range σ)"
by (auto simp del: subst_range.simps)

have *: "finite (T ∪ subst_range σ)"
  using insert.prems(1) insert.hyps(1) σ(1) by simp
have **: "wftrm (Var x)" by simp
have ***: "wftrms (T ∪ subst_range σ)" using assms(3) σ(6) by blast
obtain t where t:
  "Γ t = Γ (Var x)" "{} ⊢c t"
  "∀s ∈ T ∪ subst_range σ. ∀u ∈ substems s. u ∉ substems t"
  using wt_fresh_pgwt_term_exists[OF * ** ***] by auto

obtain θ where θ: "θ ≡ λy. if x = y then t else σ y" by simp

have t_ground: "fv t = {}" using t(2) pgwt_ground[of t] pgwt_is_empty_synth[of t] by auto
hence x_dom: "x ∉ subst_domain σ" "x ∈ subst_domain θ" using insert.hyps(2) σ(1) θ by auto
moreover have "subst_range σ ⊆ substemsset (subst_range σ)" by auto
hence ground_imgs: "ground (subst_range σ)"
  using σ(3) pgwt_ground pgwt_is_empty_synth
  by force
ultimately have x_img: "σ x ∉ subst_range σ"
  using ground_subst_dom_iff_img
  by (auto simp add: subst_domain_def)

have "ground (insert t (subst_range σ))"
  using ground_imgs x_dom t_ground
  by auto
have θ_dom: "subst_domain θ = insert x (subst_domain σ)"
  using θ t_ground by (auto simp add: subst_domain_def)
have θ_img: "subst_range θ = insert t (subst_range σ)"
proof
  show "subst_range θ ⊆ insert t (subst_range σ)"
  proof
    fix t' assume "t' ∈ subst_range θ"
    then obtain y where "y ∈ subst_domain θ" "t' = θ y" by auto
    thus "t' ∈ insert t (subst_range σ)" using θ by (auto simp add: subst_domain_def)
  qed
  show "insert t (subst_range σ) ⊆ subst_range θ"
  proof
    fix t' assume t': "t' ∈ insert t (subst_range σ)"
    hence "fv t' = {}" using ground_imgs x_img t_ground by auto
    hence "t' ≠ Var x" by auto
    show "t' ∈ subst_range θ"
    proof (cases "t' = t")
      case False
      hence "t' ∈ subst_range σ" using t' by auto
      then obtain y where "σ y ∈ subst_range σ" "t' = σ y" by auto
      hence "y ∈ subst_domain σ" "t' ≠ Var y"
        using ground_subst_dom_iff_img[OF ground_imgs(1)]
        by (auto simp add: subst_domain_def simp del: subst_range.simps)
      hence "x ≠ y" using x_dom by auto
      hence "θ y = σ y" unfolding θ by auto
      thus ?thesis using ‹t' ≠ Var y› ‹t' = σ y› subst_imgI[of θ y] by auto
    qed (metis subst_imgI θ ‹t' ≠ Var x›)
  qed
qed
hence θ_ground_img: "ground (subst_range θ)"
  using ground_imgs t_ground
  by auto

have "subst_domain θ = insert x S" using θ_dom σ(1) by auto

```

```

moreover have "bij_betw  $\vartheta$  (subst_domain  $\vartheta$ ) (subst_range  $\vartheta$ )"
proof (intro bij_betwI')
fix y z assume *: "y ∈ subst_domain  $\vartheta$ " "z ∈ subst_domain  $\vartheta$ "
hence "fv ( $\vartheta$  y) = {}" "fv ( $\vartheta$  z) = {}" using  $\vartheta$ _ground_img by auto
{ assume " $\vartheta$  y =  $\vartheta$  z" hence "y = z"
proof (cases " $\vartheta$  y ∈ subst_range  $\sigma$  ∧  $\vartheta$  z ∈ subst_range  $\sigma$ ")
case True
hence **: "y ∈ subst_domain  $\sigma$ " "z ∈ subst_domain  $\sigma$ "
using  $\vartheta$   $\vartheta$ _dom True * t(3) by (metis Un_iff term.order_refl insertE)+
hence "y ≠ x" "z ≠ x" using x_dom by auto
hence " $\vartheta$  y =  $\sigma$  y" " $\vartheta$  z =  $\sigma$  z" using  $\vartheta$  by auto
thus ?thesis using < $\vartheta$  y =  $\vartheta$  z>  $\sigma$ (2) ** unfolding bij_betw_def inj_on_def by auto
qed (metis  $\vartheta$  * < $\vartheta$  y =  $\vartheta$  z>  $\vartheta$ _dom ground_imgs(1) ground_subst_dom_iff_img insertE)
}
thus " $\vartheta$  y =  $\vartheta$  z = (y = z)" by auto
next
fix y assume "y ∈ subst_domain  $\vartheta$ " thus " $\vartheta$  y ∈ subst_range  $\vartheta$ " by auto
next
fix t assume "t ∈ subst_range  $\vartheta$ " thus " $\exists z ∈ subst_domain \vartheta. t = \vartheta z$ " by auto
qed
moreover have "subterms_set (subst_range  $\vartheta$ ) ⊆ {t. {} ⊢_c t} - T"
proof -
{ fix s assume "s ⊑ t"
hence "s ∈ {t. {} ⊢_c t} - T"
using t(2,3)
by (metis Diff_eq_empty_iff Diff_iff Un_upper1 term.order_refl
deduct_synth_subterm mem_Collect_eq)
} thus ?thesis using  $\sigma$ (3)  $\vartheta$   $\vartheta$ _img by auto
qed
moreover have "wt_{subst}  $\vartheta$ " using  $\vartheta$  t(1)  $\sigma$ (5) unfolding wt_{subst}_def by auto
moreover have "wf_{trms} (subst_range  $\vartheta$ )"
using  $\vartheta$   $\sigma$ (6) t(2) pgwt_is_empty_synth pgwt_wellformed
wf_trm_subst_range_iff[of  $\sigma$ ] wf_trm_subst_range_iff[of  $\vartheta$ ]
by metis
moreover have " $\forall s ∈ subst_range \vartheta. \forall u ∈ subst_range \vartheta. (\exists v. v ⊑ s \wedge v ⊑ u) \longrightarrow s = u$ "
using  $\sigma$ (4)  $\vartheta$ _img t(3) by (auto simp del: subst_range.simps)
ultimately show ?case by blast
qed

private lemma wt_bij_finite_tatom_subst_exists_single:
assumes "finite (S::'var set)" "finite (T::('fun,'var) terms)"
and " $\bigwedge x. x ∈ S \implies \Gamma (Var x) = TAtom a$ "
shows " $\exists \sigma::('fun,'var) subst. subst_domain \sigma = S$ 
 $\wedge$  bij_betw  $\sigma$  (subst_domain  $\sigma$ ) (subst_range  $\sigma$ )
 $\wedge$  subst_range  $\sigma$  ⊆ (( $\lambda c. Fun c []$ ) ` {c.  $\Gamma (Fun c []) = TAtom a \wedge$ 
public c  $\wedge$  arity c = 0}) - T
 $\wedge$  wt_{subst}  $\sigma$ 
 $\wedge$  wf_{trms} (subst_range  $\sigma$ )"
proof -
let ?U = "{c.  $\Gamma (Fun c []) = TAtom a \wedge$  public c  $\wedge$  arity c = 0}"
obtain  $\sigma$  where  $\sigma$ :
"subst_domain  $\sigma$  = S" "bij_betw  $\sigma$  (subst_domain  $\sigma$ ) (subst_range  $\sigma$ )"
"subst_range  $\sigma$  ⊆ (( $\lambda c. Fun c []$ ) ` ?U) - T"
using bij_finite_const_subst_exists'[OF assms(1,2) infinite_typed_consts'[of a]]
by auto
{ fix x assume "x ∉ subst_domain  $\sigma$ " hence " $\Gamma (Var x) = \Gamma (\sigma x)$ " by auto }
moreover
{ fix x assume "x ∈ subst_domain  $\sigma$ "
hence " $\exists c ∈ ?U. \sigma x = Fun c [] \wedge$  arity c = 0" using  $\sigma$  by auto
hence " $\Gamma (\sigma x) = TAtom a$ " "wf_{trm} (\sigma x)" using assms(3) const_type wf_trmI[of "[]"] by auto
hence " $\Gamma (Var x) = \Gamma (\sigma x)$ " "wf_{trm} (\sigma x)" using assms(3)  $\sigma$ (1) by force+

```

```

}

ultimately have "wtsubst σ" "wftrms (subst_range σ)"
  using wf_trm_subst_range_iff[of σ]
  unfolding wtsubst_def
  by force+
thus ?thesis using σ by auto
qed

lemma wt_bij_finite_tatom_subst_exists:
  assumes "finite (S::'var set)" "finite (T::('fun,'var) terms)"
  and "∀x. x ∈ S ⇒ ∃a. Γ (Var x) = TAtom a"
  shows "∃σ::('fun,'var) subst. subst_domain σ = S
    ∧ bij_betw σ (subst_domain σ) (subst_range σ)
    ∧ subst_range σ ⊆ ((λc. Fun c []) ` Cpub) - T
    ∧ wtsubst σ
    ∧ wftrms (subst_range σ)"

using assms
proof (induction rule: finite_induct)
  case empty
  have "subst_domain Var = {}"
    "bij_betw Var (subst_domain Var) (subst_range Var)"
    "subst_range Var ⊆ ((λc. Fun c []) ` Cpub) - T"
    "wtsubst Var"
    "wftrms (subst_range Var)"
  unfolding bij_betw_def
  by auto
  thus ?case by (auto simp add: subst_domain_def)
next
  case (insert x S)
  then obtain a where a: "Γ (Var x) = TAtom a" by fastforce
  from insert obtain σ where σ:
    "subst_domain σ = S" "bij_betw σ (subst_domain σ) (subst_range σ)"
    "subst_range σ ⊆ ((λc. Fun c []) ` Cpub) - T" "wtsubst σ"
    "wftrms (subst_range σ)"
  by auto
  let ?S' = "{y ∈ S. Γ (Var y) = TAtom a}"
  let ?T' = "T ∪ subst_range σ"
  have *: "finite (insert x ?S')" using insert by simp
  have **: "finite ?T'" using insert.prems(1) insert.hyps(1) σ(1) by simp
  have ***: "∀y. y ∈ insert x ?S' ⇒ Γ (Var y) = TAtom a" using a by auto
  obtain δ where δ:
    "subst_domain δ = insert x ?S'" "bij_betw δ (subst_domain δ) (subst_range δ)"
    "subst_range δ ⊆ ((λc. Fun c []) ` Cpub) - ?T'" "wtsubst δ" "wftrms (subst_range δ)"
    using wt_bij_finite_tatom_subst_exists_single[OF * ** ***] const_type_inv[of _ "[] a]
    by blast
  obtain θ where θ: "θ ≡ λy. if x = y then δ y else σ y" by simp
  have x_dom: "x ∉ subst_domain σ" "x ∈ subst_domain δ" "x ∈ subst_domain θ"
    using insert.hyps(2) σ(1) δ(1) θ by (auto simp add: subst_domain_def)
  moreover have ground_imgs: "ground (subst_range σ)" "ground (subst_range δ)"
    using pgwt_ground σ(3) δ(3) by auto
  ultimately have x_img: "σ x ∉ subst_range σ" "δ x ∈ subst_range δ"
    using ground_subst_dom_iff_img by (auto simp add: subst_domain_def)
  have "ground (insert (δ x) (subst_range σ))" using ground_imgs x_dom by auto
  have θ_dom: "subst_domain θ = insert x (subst_domain σ)"
    using δ(1) θ by (auto simp add: subst_domain_def)
  have θ_img: "subst_range θ = insert (δ x) (subst_range σ)"

```

```

proof
show "subst_range  $\vartheta \subseteq \text{insert}(\delta x) (\text{subst\_range } \sigma)"$ 
proof
fix t assume "t ∈ subst_range  $\vartheta$ "
then obtain y where "y ∈ subst_domain  $\vartheta$ " " $t = \vartheta y$ " by auto
thus "t ∈ \text{insert}(\delta x) (\text{subst\_range } \sigma)" using  $\vartheta$  by (auto simp add: subst_domain_def)
qed
show "\text{insert}(\delta x) (\text{subst\_range } \sigma) \subseteq subst_range  $\vartheta$ "
```

proof

```

fix t assume t: "t ∈ \text{insert}(\delta x) (\text{subst\_range } \sigma)"
hence "fv t = {}" using ground_imgs x_img(2) by auto
hence "t ≠ Var x" by auto
show "t ∈ subst_range  $\vartheta$ "
```

proof (cases "t = δ x")

```

case True thus ?thesis using subst_imgI  $\vartheta < t \neq \text{Var } x >$  by metis
next
case False
hence "t ∈ subst_range  $\sigma$ " using t by auto
then obtain y where " $\sigma y \in subst\_range \sigma$ " " $t = \sigma y$ " by auto
hence "y ∈ subst_domain  $\sigma$ " " $t \neq \text{Var } y$ "
```

using ground\_subst\_dom\_iff\_img[OF ground\_imgs(1)]

```

by (auto simp add: subst_domain_def simp del: subst_range.simps)
hence "x ≠ y" using x_dom by auto
hence " $\vartheta y = \sigma y$ " unfolding  $\vartheta$  by auto
thus ?thesis using  $\langle t \neq \text{Var } y \rangle \langle t = \sigma y \rangle$  subst_imgI[of  $\vartheta y$ ] by auto
qed
qed
qed
```

have "subst\_domain  $\vartheta = \text{insert } x S$ " using  $\vartheta\_dom \sigma(1)$  by auto

moreover have "bij\_betw  $\vartheta$  (subst\_domain  $\vartheta$ ) (\text{subst\\_range } \vartheta)"

proof (intro bij\_betwI')

```

fix y z assume *: "y ∈ subst_domain  $\vartheta$ " "z ∈ subst_domain  $\vartheta$ "
hence "fv ( $\vartheta y$ ) = {}" "fv ( $\vartheta z$ ) = {}" using  $\vartheta\_ground\_img$  by auto
{ assume " $\vartheta y = \vartheta z$ " hence "y = z"
proof (cases " $\vartheta y \in subst\_range \sigma \wedge \vartheta z \in subst\_range \sigma$ ")
case True
hence **: "y ∈ subst_domain  $\sigma$ " "z ∈ subst_domain  $\sigma$ "
```

using  $\vartheta \vartheta\_dom x\_img(2) \delta(3)$  True

```

by (metis (no_types) *(1) DiffE Un_upper2 insertE subsetCE,
      metis (no_types) *(2) DiffE Un_upper2 insertE subsetCE)
hence "y ≠ x" "z ≠ x" using x_dom by auto
hence " $\vartheta y = \sigma y$ " " $\vartheta z = \sigma z$ " using  $\vartheta$  by auto
thus ?thesis using  $\langle \vartheta y = \vartheta z \rangle \sigma(2)$  ** unfolding bij_betw_def inj_on_def by auto
qed (metis  $\vartheta * \langle \vartheta y = \vartheta z \rangle \vartheta\_dom$  ground_imgs(1) ground_subst_dom_iff_img insertE)
}
thus " $(\vartheta y = \vartheta z) = (y = z)$ " by auto
next
fix y assume "y ∈ subst_domain  $\vartheta$ " thus " $\vartheta y \in subst\_range \vartheta$ " by auto
next
fix t assume "t ∈ subst_range  $\vartheta$ " thus " $\exists z \in subst\_domain \vartheta. t = \vartheta z$ " by auto
qed
moreover have "subst_range  $\vartheta \subseteq (\lambda c. \text{Fun } c []) \setminus \mathcal{C}_{pub} - T"$ 
using  $\sigma(3) \delta(3) \vartheta$  by (auto simp add: subst_domain_def)
moreover have "wt_{subst}  $\vartheta$ " using  $\sigma(4) \delta(4) \vartheta$  unfolding wt_subst_def by auto
moreover have "wf_{trms} (subst_range  $\vartheta$ )"
using  $\vartheta \sigma(5) \delta(5) wf\_trm\_subst\_range\_iff[of \delta]$ 
 $wf\_trm\_subst\_range\_iff[of \sigma] wf\_trm\_subst\_range\_iff[of \vartheta]$ 
by presburger
ultimately show ?case by blast
qed

```

```

theorem wt_sat_if_simple:
  assumes "simple S" "wfconstr S θ" "wtsubst θ" "wftrms (subst_range θ)" "wftrms (trmsst S)"
  and I': "∀X F. Inequality X F ∈ set S → ineq_model I' X F"
    "ground (subst_range I')"
    "subst_domain I' = {x ∈ varsst S. ∃X F. Inequality X F ∈ set S ∧ x ∈ fvpairs F - set X}"
  and tfr_stp_all: "list_all tfrstp S"
  shows "∃I. interpretationsubst I ∧ (I ⊢c ⟨S, θ⟩) ∧ wtsubst I ∧ wftrms (subst_range I)"
proof -
  from <wfconstr S θ> have "wfst {} S" "subst_idem θ" and S_θ_disj: "∀v ∈ varsst S. θ v = Var v"
    using subst_idemI[of θ] unfolding wfconstr_def wfsubst_def by force+
  obtain I::("fun, 'var) subst"
    where I: "interpretationsubst I" "wtsubst I" "subst_range I ⊆ public_ground_wf_terms"
      using wt_interpretation_exists by blast
  hence I_deduct: "Λx M. M ⊢c I x" and I_wf_trm: "wftrms (subst_range I)"
    using pgwt_deducible pgwt_wellformed by fastforce+
  let ?P = "λδ X. subst_domain δ = set X ∧ ground (subst_range δ)"
  let ?Sineqvars = "{x ∈ varsst S. ∃X F. Inequality X F ∈ set S ∧ x ∈ fvpairs F ∧ x ∉ set X}"
  let ?Strms = "subtermsset (trmsst S)"

  have finite_vars: "finite ?Sineqvars" "finite ?Strms" "wftrms ?Strms"
    using wf_trm_subtermeq assms(5) by fastforce+

  define Q1 where "Q1 = (λ(F::('fun, 'var) term × ('fun, 'var) term) list) X.
    ∀x ∈ fvpairs F - set X. ∃a. Γ (Var x) = TAtom a)"

  define Q2 where "Q2 = (λ(F::('fun, 'var) term × ('fun, 'var) term) list) X.
    ∀f T. Fun f T ∈ subtermsset (trmspairs F) → T = [] ∨ (∃s ∈ set T. s ∉ Var ` set X))"

  define Q1' where "Q1' = (λ(t::('fun, 'var) term) (t'::('fun, 'var) term) X.
    ∀x ∈ (fv t ∪ fv t') - set X. ∃a. Γ (Var x) = TAtom a)"

  define Q2' where "Q2' = (λ(t::('fun, 'var) term) (t'::('fun, 'var) term) X.
    ∀f T. Fun f T ∈ subterms t ∪ subterms t' → T = [] ∨ (∃s ∈ set T. s ∉ Var ` set X))"

  have ex_P: "∀X. ∃δ. ?P δ X" using interpretation_subst_exists' by blast

  have tfr_ineq: "∀X F. Inequality X F ∈ set S → Q1 F X ∨ Q2 F X"
    using tfr_stp_all Q1_def Q2_def tfrstp_list_all_alt_def[of S] by blast

  have S_fv_bvars_disj: "fvst S ∩ bvarsst S = {}" using <wfconstr S θ> unfolding wfconstr_def by metis
  hence ineqs_vars_not_bound: "∀X F x. Inequality X F ∈ set S → x ∈ ?Sineqvars → x ∉ set X"
    using strand_fv_bvars_disjoint_unfold by blast

  have θ_vars_S_bvars_disj: "(subst_domain θ ∪ range_vars θ) ∩ set X = {}"
    when "Inequality X F ∈ set S" for F X
    using wf_constr_bvars_disj[OF <wfconstr S θ>]
      strand_fv_bvars_disjointD(1)[OF S_fv_bvars_disj that]
    by blast

  obtain σ::("fun, 'var) subst"
    where σ_fv_dom: "subst_domain σ = ?Sineqvars"
    and σ_subterm_inj: "subterm_inj_on σ (subst_domain σ)"
    and σ_fresh_pub_img: "subtermsset (subst_range σ) ⊆ {t. {} ⊢c t} - ?Strms"
    and σ_wt: "wtsubst σ"
    and σ_wf_trm: "wftrms (subst_range σ)"
    using wt_bij_finite_subst_exists[OF finite_vars]
      subst_inj_on_is_bij_betw subterm_inj_on_alt_def'
    by atomize_elim auto

  have σ_bij_dom_img: "bij_betw σ (subst_domain σ) (subst_range σ)"
    by (metis σ_subterm_inj subst_inj_on_is_bij_betw subterm_inj_on_alt_def)

```

```

have "finite (subst_domain σ)" by (metis σ_fv_dom finite_vars(1))
hence σ_finite_img: "finite (subst_range σ)" using σ_bij_dom_img bij_betw_finite by blast

have σ_img_subterms: "∀s ∈ subst_range σ. ∀u ∈ subst_range σ. (∃v. v ⊑ s ∧ v ⊑ u) → s = u"
  by (metis σ_subterm_inj subterm_inj_on_alt_def')

have "subst_range σ ⊆ subterms_set (subst_range σ)" by auto
hence "subst_range σ ⊆ public_ground_wf_terms - ?Strms"
  and σ_pgwt_img:
    "subst_range σ ⊆ public_ground_wf_terms"
    "subterms_set (subst_range σ) ⊆ public_ground_wf_terms"
  using σ_fresh_pub_img pgwt_is_empty_synth by blast+

have σ_img_ground: "ground (subst_range σ)"
  using σ_pgwt_img pgwt_ground by auto
hence σ_inj: "inj σ"
  using σ_bij_dom_img subst_inj_is_bij_betw_dom_img_if_ground_img by auto

have σ_ineqs_fv_dom: "¬X F. Inequality X F ∈ set S ⇒ fv_pairs F - set X ⊆ subst_domain σ"
  using σ_fv_dom by fastforce

have σ_dom_bvars_disj: "¬X F. Inequality X F ∈ set S → subst_domain σ ∩ set X = {}"
  using ineqs_vars_not_bound σ_fv_dom by fastforce

have I'1: "¬X F δ. Inequality X F ∈ set S → fv_pairs F - set X ⊆ subst_domain I'"
  using I'(3) ineqs_vars_not_bound by fastforce

have I'2: "¬X F. Inequality X F ∈ set S → subst_domain I' ∩ set X = {}"
  using I'(3) ineqs_vars_not_bound by blast

have doms_eq: "subst_domain I' = subst_domain σ" using I'(3) σ_fv_dom by simp

have σ_ineqs_neq: "ineq_model σ X F" when "Inequality X F ∈ set S" for X F
proof -
  obtain a::"fun" where a: "a ∈ ∪(fun_terms ` subterms_set (subst_range σ))"
    using exists_fun_notin_fun_terms[OF subterms_union_finite[OF σ_finite_img]]
    by atomize_elim auto
  hence a': "¬T. Fun a T ∉ subterms_set (subst_range σ)"
    "¬S. Fun a [] ∈ set (Fun a []#S)" "Fun a [] ∉ Var ` set X"
    by (meson a UN_I term.set_intro(1), auto)

  define t where "t ≡ Fun a (Fun a []#map fst F)"
  define t' where "t' ≡ Fun a (Fun a []#map snd F)"

  note F_in = that

  have t_fv: "fv t ∪ fv t' ⊆ fv_pairs F"
    unfolding t_def t'_def by force

  have t_subterms: "subterms t ∪ subterms t' ⊆ subterms_set (trms_pairs F) ∪ {t, t', Fun a []}"
    unfolding t_def t'_def by force

  have "t · δ · σ ≠ t' · δ · σ" when "?P δ X" for δ
  proof -
    have tfr_assms: "Q1 F X ∨ Q2 F X" using tfr_inet F_in by metis

    have "Q1 F X ⇒ ∀x ∈ fv_pairs F - set X. ∃c. σ x = Fun c []"
      proof
        fix x assume "Q1 F X" and x: "x ∈ fv_pairs F - set X"
        then obtain a where "Γ (Var x) = TAtom a" unfolding Q1_def by atomize_elim auto
        hence a: "Γ (σ x) = TAtom a" using σ_wt unfolding wtsubst_def by simp
      qed
  qed

```

```

have "x ∈ subst_domain σ" using σ_ineqs_fv_dom x F_in by auto
then obtain f T where ft: "σ x = Fun f T" by (meson σ_img_ground ground_img_obtain_fun)
hence "T = []" using σ_wf_trm a TAtom_term_cases by fastforce
thus "∃c. σ x = Fun c []" using ft by metis
qed
hence 1: "Q1 F X ⟹ ∀x ∈ (fv t ∪ fv t') - set X. ∃c. σ x = Fun c []"
using t_fv by auto

have 2: "¬Q1 F X ⟹ Q2 F X" by (metis tfr_assms)

have 3: "subst_domain σ ∩ set X = {}" using σ_dom_bvars_disj F_in by auto

have 4: "subterms_set (subst_range σ) ∩ (subterms t ∪ subterms t') = {}"
proof -
define M1 where "M1 ≡ {t, t', Fun a []}"
define M2 where "M2 ≡ ?Strms"

have "subterms_set (trms_pairs F) ⊆ M2"
using F_in unfolding M2_def by force
moreover have "subterms t ∪ subterms t' ⊆ subterms_set (trms_pairs F) ∪ M1"
using t_subterms unfolding M1_def by blast
ultimately have *: "subterms t ∪ subterms t' ⊆ M2 ∪ M1"
by auto

have "subterms_set (subst_range σ) ∩ M1 = {}"
"subterms_set (subst_range σ) ∩ M2 = {}"
using a' σ_fresh_pub_img
unfolding t_def t'_def M1_def M2_def
by blast+
thus ?thesis using * by blast
qed

have 5: "(fv t ∪ fv t') - subst_domain σ ⊆ set X"
using σ_ineqs_fv_dom[OF F_in] t_fv
by auto

have 6: "?P δ X → t · δ · I' ≠ t' · δ · I''"
by (metis t_def t'_def I'(1) F_in ineq_model_singleE ineq_model_single_iff)

have 7: "fv t ∪ fv t' - set X ⊆ subst_domain I'" using I'1 F_in t_fv by force

have 8: "subst_domain I' ∩ set X = {}" using I'2 F_in by auto

have 9: "Q1' t t' X" when "Q1 F X"
using that t_fv
unfolding Q1_def Q1'_def t_def t'_def
by blast

have 10: "Q2' t t' X" when "Q2 F X" unfolding Q2'_def
proof (intro allI impI)
fix f T assume "Fun f T ∈ subterms t ∪ subterms t'"
moreover {
assume "Fun f T ∈ subterms_set (trms_pairs F)"
hence "T = [] ∨ (∃s∈set T. s ∉ Var ` set X)" by (metis Q2_def that)
} moreover {
assume "Fun f T = t" hence "T = [] ∨ (∃s∈set T. s ∉ Var ` set X)"
unfolding t_def using a'(2,3) by simp
} moreover {
assume "Fun f T = t'" hence "T = [] ∨ (∃s∈set T. s ∉ Var ` set X)"
unfolding t'_def using a'(2,3) by simp
} moreover {
assume "Fun f T = Fun a []" hence "T = [] ∨ (∃s∈set T. s ∉ Var ` set X)" by simp
} ultimately show "T = [] ∨ (∃s∈set T. s ∉ Var ` set X)" using t_subterms by blast

```

```

qed

note 11 = σ_subterm_inj σ_img_ground 3 4 5

note 12 = 6 7 8 I'(2) doms_eq

show "t · δ · σ ≠ t' · δ · σ"
  using 1 2 9 10 that sat_ineq_subterm_inj_subst[OF 11 _ 12]
  unfolding Q1'_def Q2'_def by metis
qed
thus ?thesis by (metis t_def t'_def ineq_model_singleI ineq_model_single_iff)
qed

have σ_ineqs_fv_dom': "fv_pairs (F ·pairs δ) ⊆ subst_domain σ"
  when "Inequality X F ∈ set S" and "?P δ X" for F δ X
  using σ_ineqs_fv_dom[OF that(1)]
proof (induction F)
  case (Cons g G)
  obtain t t' where g: "g = (t, t')" by (metis surj_pair)
  hence "fv_pairs (g#G ·pairs δ) = fv (t · δ) ∪ fv (t' · δ) ∪ fv_pairs (G ·pairs δ)"
    "fv_pairs (g#G) = fv t ∪ fv t' ∪ fv_pairs G"
    by (simp_all add: subst_apply_pairs_def)
  moreover have "fv (t · δ) = fv t - subst_domain δ" "fv (t' · δ) = fv t' - subst_domain δ"
    using g that(2) by (simp_all add: subst_fv_unfold_ground_img range_vars_alt_def)
  moreover have "fv_pairs (G ·pairs δ) ⊆ subst_domain σ" using Cons by auto
  ultimately show ?case using Cons.prems that(2) by auto
qed (simp add: subst_apply_pairs_def)

have σ_ineqs_ground: "fv_pairs ((F ·pairs δ) ·pairs σ) = {}"
  when "Inequality X F ∈ set S" and "?P δ X" for F δ X
  using σ_ineqs_fv_dom'[OF that]
proof (induction F)
  case (Cons g G)
  obtain t t' where g: "g = (t, t')" by (metis surj_pair)
  hence "fv (t · δ) ⊆ subst_domain σ" "fv (t' · δ) ⊆ subst_domain σ"
    using Cons.prems by (auto simp add: subst_apply_pairs_def)
  hence "fv (t · δ · σ) = {}" "fv (t' · δ · σ) = {}"
    using subst_fv_dom_ground_if_ground_img[OF _ σ_img_ground] by metis+
  thus ?case using g Cons by (auto simp add: subst_apply_pairs_def)
qed (simp add: subst_apply_pairs_def)

from σ_pgwt_img σ_ineqs_neq have σ_deduct: "M ⊢c σ x" when "x ∈ subst_domain σ" for x M
  using that pgwt_deducible by fastforce

{ fix M :: "('fun, 'var) terms"
  have "[M; S]c (ϑ os σ os I)"
    using <wfst {} S> <simple S> S_ϑ_disj σ_ineqs_neq σ_ineqs_fv_dom' ϑ_vars_S_bvars_disj
  proof (induction S arbitrary: M rule: wfst_simple_induct)
    case (ConsSnd v S)
    hence S_sat: "[M; S]c (ϑ os σ os I)" and "ϑ v = Var v" by auto
    hence *: "A M. M ⊢c Var v · (ϑ os σ os I)"
      using I_deduct σ_deduct
      by (metis ideduct_synth_subst_apply eval_term.simps(1)
          subst_subst_compose trm_subst_ident')
  define M' where "M' ≡ M ∪ (ikst S ·set ϑ os σ os I)"

  have "∀ t ∈ set [Var v]. M' ⊢c t · (ϑ os σ os I)" using *[of M'] by simp
  thus ?case
    using strand_sem_append(1)[OF S_sat, of "[Send1 (Var v)]", unfolded M'_def[symmetric]]
    strand_sem_c.simps(1)[of M'] strand_sem_c.simps(2)[of M' "[Var v]" "[]"]
    by presburger
next

```

```

case (ConsIneq X F S)
have dom_disj: "subst_domain  $\vartheta \cap \text{fv}_{\text{pairs}} F = \{\}$ "
  using ConsIneq.prems(1) subst_dom_vars_in_subst
  by force
hence *: " $F \cdot_{\text{pairs}} \vartheta = F$ " by blast

have **: "ineq_model  $\sigma X F$ " by (meson ConsIneq.prems(2) in_set_conv_decomp)

have " $\bigwedge x. x \in \text{vars}_{\text{st}} S \implies x \in \text{vars}_{\text{st}} (\text{S@}[Inequality X F])$ "
  " $\bigwedge x. x \in \text{set } S \implies x \in \text{set } (\text{S@}[Inequality X F])$ " by auto
hence IH: " $\llbracket M; S \rrbracket_c (\vartheta \circ_s \sigma \circ_s \mathcal{I})$ " by (metis ConsIneq.IH ConsIneq.prems(1,2,3,4))

have "ineq_model ( $\sigma \circ_s \mathcal{I}$ ) X F"
proof -
  have "fv_{\text{pairs}} (F \cdot_{\text{pairs}} \delta) \subseteq \text{subst\_domain } \sigma" when "?P \delta X" for  $\delta$ 
    using ConsIneq.prems(3)[OF _ that] by simp
  hence "fv_{\text{pairs}} F - \text{set } X \subseteq \text{subst\_domain } \sigma"
    using fv_{\text{pairs}}_subst_subset ex_P
    by (metis Diff_subset_conv Un_commute)
  thus ?thesis by (metis ineq_model_ground_subst[OF _ sigma_img_ground **])
qed
hence "ineq_model ( $\vartheta \circ_s \sigma \circ_s \mathcal{I}$ ) X F"
  using * ineq_model_subst' subst_compose_assoc ConsIneq.prems(4)
  by (metis UnCI list.set_intro(1) set_append)
thus ?case using IH by (auto simp add: ineq_model_def)
qed auto
}
moreover have "wt_{\text{subst}} (\vartheta \circ_s \sigma \circ_s \mathcal{I})" "wf_{\text{trms}} (\text{subst\_range } (\vartheta \circ_s \sigma \circ_s \mathcal{I}))"
  by (metis wt_subst_compose <wt_{\text{subst}} \vartheta> <wt_{\text{subst}} \sigma> <wt_{\text{subst}} \mathcal{I}>,
       metis assms(4) I_wf_trm sigma_wf_trm wf_trm_subst subst_img_comp_subset')
ultimately show ?thesis
  using interpretation_comp(1)[OF <interpretation_{\text{subst}} \mathcal{I}>, of "\vartheta \circ_s \sigma"]
        subst_idem_support[OF <subst_idem \vartheta>, of "\sigma \circ_s \mathcal{I}"] subst_compose_assoc
  unfolding constr_sem_c_def by metis
qed
end

```

### Theorem: Type-flaw resistant constraints are well-typed satisfiable (composition-only)

There exists well-typed models of satisfiable type-flaw resistant constraints in the semantics where the intruder is limited to composition only (i.e., he cannot perform decomposition/analysis of deducible messages).

```

theorem wt_attack_if_tfr_attack:
assumes "interpretation_{\text{subst}} \mathcal{I}"
  and "\mathcal{I} \models_c \langle S, \vartheta \rangle"
  and "wf_{\text{constr}} S \vartheta"
  and "wt_{\text{subst}} \vartheta"
  and "tfr_{\text{st}} S"
  and "wf_{\text{trms}} (\text{trms}_{\text{st}} S)"
  and "wf_{\text{trms}} (\text{subst\_range } \vartheta)"
obtains \mathcal{I}_\tau where "interpretation_{\text{subst}} \mathcal{I}_\tau"
  and "\mathcal{I}_\tau \models_c \langle S, \vartheta \rangle"
  and "wt_{\text{subst}} \mathcal{I}_\tau"
  and "wf_{\text{trms}} (\text{subst\_range } \mathcal{I}_\tau)"
proof -
have tfr: "tfr_{\text{set}} (\text{trms}_{\text{st}} (\text{LI\_preproc } S))" "wf_{\text{trms}} (\text{trms}_{\text{st}} (\text{LI\_preproc } S))"
  "list_all tfr_{\text{stp}} (\text{LI\_preproc } S)"
  using assms(5,6) LI_preproc_preserves_tfr
  unfolding tfr_{\text{st}}_def by (metis, metis LI_preproc_trms_eq, metis)
have wf_constr: "wf_{\text{constr}} (\text{LI\_preproc } S) \vartheta" by (metis LI_preproc_preserves_wellformedness assms(3))
obtain S' \vartheta' where *: "simple S'" "(LI\_preproc S, \vartheta) \rightsquigarrow^* (S', \vartheta')" "\{\}; S' \rrbracket_c \mathcal{I}"
  using LI_completeness[OF assms(3,2)] unfolding constr_sem_c_def
  by (meson term.order_refl)

```

```

have **: "wfconstr S' θ'" "wtsubst θ'" "list_all tfrstp S'" "wftrms (trmsst S')" "wftrms (subst_range θ')"
using LI_preserves_welltypedness[OF *(2) wfconstr assms(4,7) tfr]
LI_preserves_wellformedness[OF *(2) wfconstr]
LI_preserves_tfr[OF *(2) wfconstr assms(4,7) tfr]
by metis+

```

```

define A where "A ≡ {x ∈ varsst S'. ∃ X F. Inequality X F ∈ set S' ∧ x ∈ fvpairs F ∧ x ∉ set X}"
define B where "B ≡ UNIV - A"

```

```

let ?I = "rm_vars B I"

```

```

have grI: "ground (subst_range I)" "ground (subst_range ?I)"
using assms(1) rm_vars_img_subset[of B I] by (auto simp add: subst_domain_def)

```

```

{ fix X F
assume "Inequality X F ∈ set S'"
hence *: "ineq_model I X F"
using strand_sem_c_imp_ineq_model[OF *(3)]
by (auto simp del: subst_range.simps)
hence "ineq_model ?I X F"
proof -
{ fix δ
assume 1: "subst_domain δ = set X" "ground (subst_range δ)"
and 2: "list_ex (λf. fst f · δ os I ≠ snd f · δ os I) F"
have "list_ex (λf. fst f · δ os rm_vars B I ≠ snd f · δ os rm_vars B I) F" using 2
proof (induction F)
case (Cons g G)
obtain t t' where g: "g = (t, t')" by (metis surj_pair)
thus ?case
using Cons Unifier_ground_rm_vars[OF grI(1), of "t · δ" B "t' · δ"]
by auto
qed simp
} thus ?thesis using * unfolding ineq_model_def list_ex_iff case_prod_unfold by simp
qed
} moreover have "subst_domain I = UNIV" using assms(1) by metis
hence "subst_domain ?I = A" using rm_vars_dom[of B I] B_def by blast
ultimately obtain Iτ where
"interpretationsubst Iτ" "Iτ ⊨c ⟨S', θ'⟩" "wtsubst Iτ" "wftrms (subst_range Iτ)"
using wt_sat_if_simple[OF *(1)**(1,2,5,4) _ grI(2) _ **(3)] A_def
by (auto simp del: subst_range.simps)
thus ?thesis using that LI_soundness[OF assms(3)*(2)] by metis
qed

```

Contra-positive version: if a type-flaw resistant constraint does not have a well-typed model then it is unsatisfiable

```

corollary secure_if_wt_secure:
assumes "¬(∃Iτ. interpretationsubst Iτ ∧ (Iτ ⊨c ⟨S, θ⟩) ∧ wtsubst Iτ)"
and "wfconstr S θ" "wtsubst θ" "tfrst S"
and "wftrms (trmsst S)" "wftrms (subst_range θ)"
shows "¬(∃I. interpretationsubst I ∧ (I ⊨c ⟨S, θ⟩))"
using wt_attack_if_tfr_attack[OF _ _ assms(2,3,4,5,6)] assms(1) by metis
end

```

### 3.4.3 Lifting the Composition-Only Typing Result to the Full Intruder Model

```

context typing_result
begin

```

#### Analysis Invariance

```

definition (in typed_model) Ana_invar_subst where

```

```

"Ana_invar_subst  $\mathcal{M}$   $\equiv$ 
 $(\forall f T K M \delta. \text{Fun } f T \in (\text{subterms}_{\text{set}} \mathcal{M}) \longrightarrow$ 
 $\text{Ana}(\text{Fun } f T) = (K, M) \longrightarrow \text{Ana}(\text{Fun } f T \cdot \delta) = (K \cdot \text{list } \delta, M \cdot \text{list } \delta))"$ 

lemma (in typed_model) Ana_invar_subst_subset:
assumes "Ana_invar_subst  $\mathcal{M}$ " " $N \subseteq M$ "
shows "Ana_invar_subst  $N$ "
using assms unfolding Ana_invar_subst_def by blast

lemma (in typed_model) Ana_invar_substD:
assumes "Ana_invar_subst  $\mathcal{M}$ "
and "Fun  $f T \in \text{subterms}_{\text{set}} \mathcal{M}$ " "Ana(Fun  $f T$ ) = (K, M)"
shows "Ana(Fun  $f T \cdot I$ ) = (K \cdot \text{list } I, M \cdot \text{list } I)"
using assms Ana_invar_subst_def by blast

end

```

## Preliminary Definitions

Strands extended with "decomposition steps"

```

datatype (funstep: 'a, varstep: 'b) extstrand_step =
Step "('a, 'b) strand_step"
| Decomp "('a, 'b) term"

context typing_result
begin

context
begin
private fun trmsstep where
"trmsstep (Step x) = trmsstep x"
| "trmsstep (Decomp t) = {t}"

private abbreviation trmsest where "trmsest S  $\equiv \bigcup (\text{trms}_{\text{est}}` \text{set } S)"$ 

private type_synonym ('a, 'b) extstrand = "('a, 'b) extstrand_step list"
private type_synonym ('a, 'b) extstrands = "('a, 'b) extstrand set"

private definition decomp::"('fun, 'var) term  $\Rightarrow$  ('fun, 'var) strand" where
"decomp t  $\equiv$  (\text{case } (\text{Ana } t) \text{ of } (K, T)  $\Rightarrow$  [\text{send}\langle [t]\rangle_{\text{st}}, \text{send}\langle K\rangle_{\text{st}}, \text{receive}\langle T\rangle_{\text{st}}])"

private fun to_st where
"to_st [] = []"
| "to_st (Step x#S) = x#(to_st S)"
| "to_st (Decomp t#S) = (decomp t)@(to_st S)"

private fun to_est where
"to_est [] = []"
| "to_est (x#S) = Step x#to_est S"

private abbreviation ikest A  $\equiv$  ikst(to_st A)
private abbreviation wfest V A  $\equiv$  wfst V (to_st A)
private abbreviation assignment_rhsest A  $\equiv$  assignment_rhsst (to_st A)
private abbreviation varsest A  $\equiv$  varsst (to_st A)
private abbreviation wfrestrictedvarsest A  $\equiv$  wfrestrictedvarsst (to_st A)
private abbreviation bvarsest A  $\equiv$  bvarsst (to_st A)
private abbreviation fvest A  $\equiv$  fvst (to_st A)
private abbreviation funsest A  $\equiv$  funsst (to_st A)

private definition wfsts'::"('fun, 'var) strands  $\Rightarrow$  ('fun, 'var) extstrand  $\Rightarrow$  bool" where
"wfsts' S A  $\equiv$  (\mathcal{A} \in S. wfst(wfrestrictedvarsest A) (dualst S)) \wedge
 $(\forall S \in S. \forall S' \in S. fvst S \cap bvarsst S' = \{\}) \wedge$ 
 $(\forall S \in S. fvst S \cap bvarsest A = \{\}) \wedge$ 

```

```

 $(\forall S \in \mathcal{S}. \text{fv}_{st}(\text{to\_st } \mathcal{A}) \cap \text{bvars}_{st} S = \{\})"$ 

private definition wfsts:: "('fun, 'var) strands  $\Rightarrow$  bool" where
  "wfsts S  $\equiv$  (\forall S \in \mathcal{S}. \text{wf}_{st} \{\} (\text{dual}_{st} S)) \wedge (\forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\})"
```

```

private inductive well_analyzed:: "('fun, 'var) extstrand  $\Rightarrow$  bool" where
  Nil[simp]: "well_analyzed []"
  | Step: "well_analyzed A  $\Longrightarrow$  well_analyzed (A@[Step x])"
  | Decomps: "[well_analyzed A; t \in \text{subterms}_{set} (\text{ik}_{est} A \cup \text{assignment_rhs}_{est} A) - (\text{Var} \setminus \mathcal{V})] \Longrightarrow well_analyzed (A@[Decomp t])"
```

```

private fun subst_apply_extstrandstep (infix <·estp> 51) where
  "subst_apply_extstrandstep (Step x) \vartheta = Step (x ·estp \vartheta)"
  | "subst_apply_extstrandstep (Decomp t) \vartheta = Decomp (t · \vartheta)"
```

```

private lemma subst_apply_extstrandstep'_simp[simp]:
  "(Step (send<(ts)>_{st})) ·estp \vartheta = Step (send<(ts ·list \vartheta)>_{st})"
  "(Step (receive<(ts)>_{st})) ·estp \vartheta = Step (receive<(ts ·list \vartheta)>_{st})"
  "(Step ((a: t \doteq t')_{st})) ·estp \vartheta = Step ((a: (t · \vartheta) \doteq (t' · \vartheta))_{st})"
  "(Step (\forall X \langle \forall \neq: F \rangle_{st})) ·estp \vartheta = Step (\forall X \langle \forall \neq: (F ·pairs \text{rm}_\text{vars} (\text{set } X) \vartheta) \rangle_{st})"
by simp_all
```

```

private lemma varsestp_subst_apply_simps[simp]:
  "varsestp ((Step (send<(ts)>_{st})) ·estp \vartheta) = fvset (\text{set } ts ·set \vartheta)"
  "varsestp ((Step (receive<(ts)>_{st})) ·estp \vartheta) = fvset (\text{set } ts ·set \vartheta)"
  "varsestp ((Step ((a: t \doteq t')_{st})) ·estp \vartheta) = fv (t · \vartheta) \cup fv (t' · \vartheta)"
  "varsestp ((Step (\forall X \langle \forall \neq: F \rangle_{st})) ·estp \vartheta) = set X \cup fvpairs (F ·pairs \text{rm}_\text{vars} (\text{set } X) \vartheta)"
by auto
```

```

private definition subst_apply_extstrand (infix <·est> 51) where "S ·est \vartheta \equiv \text{map } (\lambda x. x ·estp \vartheta) S"
```

```

private abbreviation updatest:: "('fun, 'var) strands  $\Rightarrow$  ('fun, 'var) strand  $\Rightarrow$  ('fun, 'var) strands" where
  "updatest S S  $\equiv$  (case S of Nil  $\Rightarrow$  S - \{S\} | Cons _ S'  $\Rightarrow$  insert S' (S - \{S\}))"
```

```

private inductive_set decompsest:: "('fun, 'var) terms  $\Rightarrow$  ('fun, 'var) terms  $\Rightarrow$  ('fun, 'var) subst  $\Rightarrow$  ('fun, 'var) extstrands"
```

for  $\mathcal{M}$  and  $\mathcal{N}$  and  $\mathcal{I}$  where

```

  Nil: "[] \in decompsest \mathcal{M} \mathcal{N} \mathcal{I}"
  | Decomps: "[D \in decompsest \mathcal{M} \mathcal{N} \mathcal{I}; \text{Fun } f T \in \text{subterms}_{set} (\mathcal{M} \cup \mathcal{N}); \text{Ana } (\text{Fun } f T) = (K, M); M \neq []; (\mathcal{M} \cup \text{ik}_{est} D) ·set \mathcal{I} \vdash_c \text{Fun } f T · \mathcal{I}; \bigwedge k. k \in \text{set } K \Longrightarrow (\mathcal{M} \cup \text{ik}_{est} D) ·set \mathcal{I} \vdash_c k · \mathcal{I}] \Longrightarrow D@[\text{Decomp } (\text{Fun } f T)] \in decompsest \mathcal{M} \mathcal{N} \mathcal{I}"
```

```

private fun decomp_rmest:: "('fun, 'var) extstrand  $\Rightarrow$  ('fun, 'var) extstrand" where
  "decomp_rmest [] = []"
  | "decomp_rmest (Decomp t#S) = decomp_rmest S"
  | "decomp_rmest (Step x#S) = Step x#(decomp_rmest S)"
```

```

private inductive semest_d:: "('fun, 'var) terms  $\Rightarrow$  ('fun, 'var) subst  $\Rightarrow$  ('fun, 'var) extstrand  $\Rightarrow$  bool" where
  Nil[simp]: "semest_d M0 \mathcal{I} []"
  | Send: "semest_d M0 \mathcal{I} S \Longrightarrow \forall t \in \text{set } ts. (\text{ik}_{est} S \cup M0) ·set \mathcal{I} \vdash t · \mathcal{I} \Longrightarrow semest_d M0 \mathcal{I} (S@[\text{Step } (\text{send}<(ts)>_{st})])"
  | Receive: "semest_d M0 \mathcal{I} S \Longrightarrow semest_d M0 \mathcal{I} (S@[\text{Step } (\text{receive}<(t)>_{st})])"
  | Equality: "semest_d M0 \mathcal{I} S \Longrightarrow t · \mathcal{I} = t' · \mathcal{I} \Longrightarrow semest_d M0 \mathcal{I} (S@[\text{Step } ((a: t \doteq t')_{st})])"
  | Inequality: "semest_d M0 \mathcal{I} S \Longrightarrow \text{ineq\_model } \mathcal{I} X F \Longrightarrow semest_d M0 \mathcal{I} (\text{S@}[\text{Step } (\forall X \langle \forall \neq: F \rangle_{st})])"
  | Decompose: "semest_d M0 \mathcal{I} S \Longrightarrow (\text{ik}_{est} S \cup M0) ·set \mathcal{I} \vdash t · \mathcal{I} \Longrightarrow \text{Ana } t = (K, M) \Longrightarrow (\bigwedge k. k \in \text{set } K \Longrightarrow (\text{ik}_{est} S \cup M0) ·set \mathcal{I} \vdash k · \mathcal{I}) \Longrightarrow semest_d M0 \mathcal{I} (S@[\text{Decomp } t])"
```

```

private inductive semest_c::"('fun,'var) terms ⇒ ('fun,'var) subst ⇒ ('fun,'var) extstrand ⇒ bool"
where
| Nil[simp]: "semest_c M0 I []"
| Send: "semest_c M0 I S ⇒ ∀ t ∈ set ts. (ikest S ∪ M0) ·set I ⊢c t · I
  ⇒ semest_c M0 I (S@[Step (send⟨ts⟩st)])"
| Receive: "semest_c M0 I S ⇒ semest_c M0 I (S@[Step (receive⟨t⟩st)])"
| Equality: "semest_c M0 I S ⇒ t · I = t' · I ⇒ semest_c M0 I (S@[Step ((a: t ≡ t')st)])"
| Inequality: "semest_c M0 I S
  ⇒ ineq_model I X F
  ⇒ semest_c M0 I (S@[Step (∀X(¬= F)st)])"
| Decompose: "semest_c M0 I S ⇒ (ikest S ∪ M0) ·set I ⊢c t · I ⇒ Ana t = (K, M)
  ⇒ (∀k. k ∈ set K ⇒ (ikest S ∪ M0) ·set I ⊢c k · I) ⇒ semest_c M0 I (S@[Decomp t])"

```

## Preliminary Lemmata

```

private lemma wfsts_wfsts':
  "wfsts S = wfsts' S []"
by (simp add: wfsts_def wfsts'_def)

private lemma decomp_ik:
  assumes "Ana t = (K,M)"
  shows "ikst (decomp t) = set M"
using ik_rcv_map ik_rcv_map'
by (auto simp add: decomp_def inv_def assms)

private lemma decomp_assignment_rhs_empty:
  assumes "Ana t = (K,M)"
  shows "assignment_rhsst (decomp t) = {}"
by (auto simp add: decomp_def inv_def assms)

private lemma decomp_tfrstp:
  "list_all tfrstp (decomp t)"
by (auto simp add: decomp_def list_all_def)

private lemma trmsest_ikI:
  "t ∈ ikest A ⇒ t ∈ subtermsset (trmsest A)"
proof (induction A rule: to_st.induct)
  case (2 x S) thus ?case by (cases x) auto
next
  case (3 t' A)
  obtain K M where Ana: "Ana t' = (K,M)" by (metis surj_pair)
  show ?case using 3 decomp_ik[OF Ana] Ana_subterm[OF Ana] by auto
qed simp

private lemma trmsest_ik_assignment_rhsI:
  "t ∈ ikest A ∪ assignment_rhsest A ⇒ t ∈ subtermsset (trmsest A)"
proof (induction A rule: to_st.induct)
  case (2 x S) thus ?case
    proof (cases x)
      case (Equality ac t t') thus ?thesis using 2 by (cases ac) auto
    qed auto
next
  case (3 t' A)
  obtain K M where Ana: "Ana t' = (K,M)" by (metis surj_pair)
  show ?case
    using 3 decomp_ik[OF Ana] decomp_assignment_rhs_empty[OF Ana] Ana_subterm[OF Ana]
    by auto
qed simp

private lemma trmsest_ik_subtermsI:
  assumes "t ∈ subtermsset (ikest A)"
  shows "t ∈ subtermsset (trmsest A)"

```

```

proof -
  obtain t' where "t' ∈ ikest A" "t ⊑ t'" using trmsest_ikI assms by auto
  thus ?thesis by (meson contra_subsetD in_subterms_subset_Union trmsest_ikI)
qed

private lemma trmsestD:
  assumes "t ∈ trmsest A"
  shows "t ∈ trmsst (to_st A)"
using assms
proof (induction A)
  case (Cons a A)
  obtain K M where Ana: "Ana t = (K,M)" by (metis surj_pair)
  hence "t ∈ trmsst (decomp t)" unfolding decomp_def by force
  thus ?case using Cons.IH Cons.preds by (cases a) auto
qed simp

private lemma subst_apply_extstrand_nil[simp]:
  "[] ·est θ = []"
by (simp add: subst_apply_extstrand_def)

private lemma subst_apply_extstrand_singleton[simp]:
  "[Step (receive⟨ts⟩st)] ·est θ = [Step (Receive (ts ·list θ))]"
  "[Step (send⟨ts⟩st)] ·est θ = [Step (Send (ts ·list θ))]"
  "[Step ((a: t ≡ t')st)] ·est θ = [Step (Equality a (t · θ) (t' · θ))]"
  "[Decomp t] ·est θ = [Decomp (t · θ)]"
unfolding subst_apply_extstrand_def by auto

private lemma extstrand_subst_hom:
  "(S@S') ·est θ = (S ·est θ)@(S' ·est θ)" "(x#S) ·est θ = (x ·estp θ)#(S ·est θ)"
unfolding subst_apply_extstrand_def by auto

private lemma decomp_vars:
  "wfrestrictedvarsst (decomp t) = fv t" "varsst (decomp t) = fv t" "bvarsst (decomp t) = {}"
  "fvst (decomp t) = fv t"
proof -
  obtain K M where Ana: "Ana t = (K,M)" by (metis surj_pair)
  hence "decomp t = [send⟨[t]⟩st, Send K, Receive M]"
    unfolding decomp_def by simp
  moreover have "∪(set (map fv K)) = fvset (set K)" "∪(set (map fv M)) = fvset (set M)" by auto
  moreover have "fvset (set K) ⊆ fv t" "fvset (set M) ⊆ fv t"
    using Ana_subterm[OF Ana(1)] Ana_keys_fv[OF Ana(1)]
    by (simp_all add: UN_least psubsetD subtermeq_vars_subset)
  ultimately show
    "wfrestrictedvarsst (decomp t) = fv t" "varsst (decomp t) = fv t" "bvarsst (decomp t) = {}"
    "fvst (decomp t) = fv t"
    by auto
qed

private lemma bvarsest_cons: "bvarsest (x#X) = bvarsest [x] ∪ bvarsest X"
by (cases x) auto

private lemma bvarsest_append: "bvarsest (A@B) = bvarsest A ∪ bvarsest B"
proof (induction A)
  case (Cons x A) thus ?case using bvarsest_cons[of x "A@B"] bvarsest_cons[of x A] by force
qed simp

private lemma fvest_cons: "fvest (x#X) = fvest [x] ∪ fvest X"
by (cases x) auto

private lemma fvest_append: "fvest (A@B) = fvest A ∪ fvest B"
proof (induction A)
  case (Cons x A) thus ?case using fvest_cons[of x "A@B"] fvest_cons[of x A] by auto
qed simp

```

```

private lemma bvars_decomp: "bvarsest (A@[Decomp t]) = bvarsest A" "bvarsest (Decomp t#A) = bvarsest A"
using bvarsest_append decomp_vars(3) by fastforce+

private lemma bvars_decomp_rm: "bvarsest (decomp_rmest A) = bvarsest A"
using bvars_decomp by (induct A rule: decomp_rmest.induct) simp_all+

private lemma fv_decomp_rm: "fvest (decomp_rmest A) ⊆ fvest A"
by (induct A rule: decomp_rmest.induct) auto

private lemma ik_assignment_rhs_decomp_fv:
  assumes "t ∈ subtermsset (ikest A ∪ assignment_rhsest A)"
  shows "fvest (A@[Decomp t]) = fvest A"
proof -
  have "fvest (A@[Decomp t]) = fvest A ∪ fv t" using fvest_append decomp_vars by simp
  moreover have "fvest (ikest A ∪ assignment_rhsest A) ⊆ fvest A" by force
  moreover have "fv t ⊆ fvest (ikest A ∪ assignment_rhsest A)"
    using fv_subset_subterms[OF assms(1)] by simp
  ultimately show ?thesis by blast
qed

private lemma wfrestrictedvarsest_decomp_rmest_subset:
  "wfrestrictedvarsest (decomp_rmest A) ⊆ wfrestrictedvarsest A"
by (induct A rule: decomp_rmest.induct) auto+

private lemma wfrestrictedvarsest_eq_wfrestrictedvarsst:
  "wfrestrictedvarsest A = wfrestrictedvarsst (to_st A)"
by simp

private lemma decomp_set_unfold:
  assumes "A nfa t = (K, M)"
  shows "set (decomp t) = {send⟨[t]⟩st, send⟨K⟩st, receive⟨M⟩st}"
using assms unfolding decomp_def by auto

private lemma ikest_finite: "finite (ikest A)"
by (rule finite_ikst)

private lemma assignment_rhsest_finite: "finite (assignment_rhsest A)"
by (rule finite_assignment_rhsst)

private lemma to_est_append: "to_est (A@B) = to_est A@to_est B"
by (induct A rule: to_est.induct) auto

private lemma to_st_to_est_inv: "to_st (to_est A) = A"
by (induct A rule: to_est.induct) auto

private lemma to_st_append: "to_st (A@B) = (to_st A)@(to_st B)"
by (induct A rule: to_st.induct) auto

private lemma to_st_cons: "to_st (a#B) = (to_st [a])@(to_st B)"
using to_st_append[of "[a]" B] by simp

private lemma wfrestrictedvarsest_split:
  "wfrestrictedvarsest (x#S) = wfrestrictedvarsest [x] ∪ wfrestrictedvarsest S"
  "wfrestrictedvarsest (S#S') = wfrestrictedvarsest S ∪ wfrestrictedvarsest S'"
using to_st_cons[of x S] to_st_append[of S S'] by auto

private lemma ikest_append: "ikest (A@B) = ikest A ∪ ikest B"
by (metis ik_append to_st_append)

private lemma assignment_rhsest_append:
  "assignment_rhsest (A@B) = assignment_rhsest A ∪ assignment_rhsest B"
by (metis assignment_rhs_append to_st_append)

```

```

private lemma ikest_cons: "ikest (a#A) = ikest [a] ∪ ikest A"
by (metis ik_append to_st_cons)

private lemma ikest_append_subst:
  "ikest (A@B ·est θ) = ikest (A ·est θ) ∪ ikest (B ·est θ)"
  "ikest (A@B) ·set θ = (ikest A ·set θ) ∪ (ikest B ·set θ)"
by (metis ikest_append extstrand_subst_hom(1), simp add: image_Union to_st_append)

private lemma assignment_rhsest_append_subst:
  "assignment_rhsest (A@B ·est θ) = assignment_rhsest (A ·est θ) ∪ assignment_rhsest (B ·est θ)"
  "assignment_rhsest (A@B) ·set θ = (assignment_rhsest A ·set θ) ∪ (assignment_rhsest B ·set θ)"
by (metis assignment_rhsest_append extstrand_subst_hom(1), use assignment_rhsest_append in blast)

private lemma ikest_cons_subst:
  "ikest (a#A ·est θ) = ikest ([a ·estp θ]) ∪ ikest (A ·est θ)"
  "ikest (a#A) ·set θ = (ikest [a] ·set θ) ∪ (ikest A ·set θ)"
by (metis ikest_cons extstrand_subst_hom(2), metis image_Union ikest_cons)

private lemma decomp_rmest_append: "decomp_rmest (S@S') = (decomp_rmest S) @ (decomp_rmest S')"
by (induct S rule: decomp_rmest.induct) auto

private lemma decomp_rmest_single[simp]:
  "decomp_rmest [Step (send(ts)st)] = [Step (send(ts)st)]"
  "decomp_rmest [Step (receive(ts)st)] = [Step (receive(ts)st)]"
  "decomp_rmest [Decomp t] = []"
by auto

private lemma decomp_rmest_ik_subset: "ikest (decomp_rmest S) ⊆ ikest S"
proof (induction S rule: decomp_rmest.induct)
  case (3 x S) thus ?case by (cases x) auto
qed auto

private lemma decompest_ik_subset: "D ∈ decompest M N I ⇒ ikest D ⊆ subtermsset (M ∪ N)"
proof (induction D rule: decompest.induct)
  case (Decomp D f T K M')
    have "ikst (decomp (Fun f T)) ⊆ subterms (Fun f T)"
      "ikst (decomp (Fun f T)) = ikest [Decomp (Fun f T)]"
      using decomp_ik[OF Decomp.hyps(3)] Ana_subterm[OF Decomp.hyps(3)]
      by auto
    hence "ikst (to_st [Decomp (Fun f T)]) ⊆ subtermsset (M ∪ N)"
      using in_subterms_subset_Union[OF Decomp.hyps(2)]
      by blast
    thus ?case using ikest_append[of D "[Decomp (Fun f T)]"] using Decomp.IH by auto
qed simp

private lemma decompest_decomp_rmest_empty: "D ∈ decompest M N I ⇒ decomp_rmest D = []"
by (induct D rule: decompest.induct) (auto simp add: decomp_rmest_append)

private lemma decompest_append:
  assumes "A ∈ decompest S N I" "B ∈ decompest S N I"
  shows "A@B ∈ decompest S N I"
using assms(2)
proof (induction B rule: decompest.induct)
  case Nil show ?case using assms(1) by simp
next
  case (Decomp B f X K T)
  hence "S ∪ ikest B ·set I ⊆ S ∪ ikest (A@B) ·set I" using ikest_append by auto
  thus ?case
    using decompest.Decomp[OF Decomp.IH(1) Decomp.hyps(2,3,4)]
      ideduct_synth_mono[OF Decomp.hyps(5)]
      ideduct_synth_mono[OF Decomp.hyps(6)]
    by auto

```

```

qed

private lemma decompset_subterms:
  assumes "A' ∈ decompset M N I"
  shows "subtermsset (ikest A') ⊆ subtermsset (M ∪ N)"
using assms
proof (induction A' rule: decompset.induct)
  case (Decomp D f X K T)
  hence "Fun f X ∈ subtermsset (M ∪ N)" by auto
  hence "subtermsset (set X) ⊆ subtermsset (M ∪ N)"
    using in_subterms_subset_Union[of "Fun f X" "M ∪ N"] params_subterms_Union[of X f]
    by blast
  moreover have "ikst (to_st [Decomp (Fun f X)]) = set T" using Decomp.hyps(3) decomp_ik by simp
  hence "subtermsset (ikst (to_st [Decomp (Fun f X)])) ⊆ subtermsset (set X)"
    using Ana_fun_subterm[OF Decomp.hyps(3)] by auto
  ultimately show ?case
    using ikest_append[of D "[Decomp (Fun f X)]"] Decomp.IH
    by auto
qed simp

private lemma decompset_assignment_rhs_empty:
  assumes "A' ∈ decompset M N I"
  shows "assignment_rhs A' = {}"
using assms
by (induction A' rule: decompset.induct)
  (simp_all add: decomp_assignment_rhs_empty assignment_rhs_append)

private lemma decompset_finite_ik_append:
  assumes "finite M" "M ⊆ decompset A N I"
  shows "∃ D ∈ decompset A N I. ikest D = (⋃ m ∈ M. ikest m)"
using assms
proof (induction M rule: finite.induct)
  case empty
  moreover have "[] ∈ decompset A N I" "ikst (to_st []) = {}" using decompset.Nil by auto
  ultimately show ?case by blast
next
  case (insert m M)
  then obtain D where "D ∈ decompset A N I" "ikest D = (⋃ m ∈ M. ikst (to_st m))" by atomize_elim auto
  moreover have "m ∈ decompset A N I" using insert.preds(1) by blast
  ultimately show ?case using decompset_append[of D A N I m] ikest_append[of D m] by blast
qed

private lemma decomp_snd_exists[simp]: "∃ D. decomp t = send⟨[t]⟩_{st}#D"
by (metis (mono_tags, lifting) decomp_def prod.case surj_pair)

private lemma decomp_nonnil[simp]: "decomp t ≠ []"
using decomp_snd_exists[of t] by fastforce

private lemma to_st_nil_inv[dest]: "to_st A = [] ⟹ A = []"
by (induct A rule: to_st.induct) auto

private lemma well_analyzedD:
  assumes "well_analyzed A" "Decomp t ∈ set A"
  shows "∃ f T. t = Fun f T"
using assms
proof (induction A rule: well_analyzed.induct)
  case (Decomp A t')
  hence "∃ f T. t' = Fun f T" by (cases t') auto
  moreover have "Decomp t ∈ set A ∨ t = t'" using Decomp by auto
  ultimately show ?case using Decomp.IH by auto
qed auto

private lemma well_analyzed_inv:

```

### 3 The Typing Result for Non-Stateful Protocols

```

assumes "well_analyzed (A@[Decomp t])"
shows "t ∈ subtermsset (ikest A ∪ assignment_rhsest A) - (Var ` V)"
using assms well_analyzed.cases[of "A@[Decomp t]"] by fastforce

private lemma well_analyzed_split_left_single: "well_analyzed (A@[a]) ⇒ well_analyzed A"
by (induction "A@[a]" rule: well_analyzed.induct) auto

private lemma well_analyzed_split_left: "well_analyzed (A@B) ⇒ well_analyzed A"
proof (induction B rule: List.rev_induct)
  case (snoc b B) thus ?case using well_analyzed_split_left_single[of "A@B" b] by simp
qed simp

private lemma well_analyzed_append:
  assumes "well_analyzed A" "well_analyzed B"
  shows "well_analyzed (A@B)"
using assms(2,1)
proof (induction B rule: well_analyzed.induct)
  case (Step B x) show ?case using well_analyzed.Step[OF Step.IH[OF Step.prem]] by simp
next
  case (Decomp B t) thus ?case
    using well_analyzed.Decomp[OF Decomp.IH[OF Decomp.prem]] ikest_append assignment_rhsest_append
    by auto
qed simp_all

private lemma well_analyzed_singleton:
  "well_analyzed [Step (send⟨ts⟩st)]" "well_analyzed [Step (receive⟨ts⟩st)]"
  "well_analyzed [Step ⟨a: t ≡ t'⟩st]" "well_analyzed [Step (∀X⟨V ≠ F⟩st)]"
  "¬well_analyzed [Decomp t]"
proof -
  show "well_analyzed [Step (send⟨ts⟩st)]" "well_analyzed [Step (receive⟨ts⟩st)]"
  "well_analyzed [Step ⟨a: t ≡ t'⟩st]" "well_analyzed [Step (∀X⟨V ≠ F⟩st)]"
  using well_analyzed.Step[OF well_analyzed.Nil]
  by simp_all

  show "¬well_analyzed [Decomp t]" using well_analyzed.cases[of "[Decomp t]"] by auto
qed

private lemma well_analyzed_decomp_rmest_fv: "well_analyzed A ⇒ fvest (decomp_rmest A) = fvest A"
proof
  assume "well_analyzed A" thus "fvest A ⊆ fvest (decomp_rmest A)"
  proof (induction A rule: well_analyzed.induct)
    case DecomP thus ?case using ik_assignment_rhs_decomp_fv decomp_rmest_append by auto
  next
    case (Step A x)
    have "fvest (A@[Step x]) = fvest A ∪ fvstp x"
      "fvest (decomp_rmest (A@[Step x])) = fvest (decomp_rmest A) ∪ fvstp x"
    using fvest_append decomp_rmest_append by auto
    thus ?case using Step by auto
  qed simp
qed (rule fv_decomp_rm)

private lemma semest_d_split_left: assumes "semest_d M0 I (A@A')" shows "semest_d M0 I A"
using assms semest_d.cases by (induction A' rule: List.rev_induct) fastforce+

private lemma semest_d_eq_sem_st: "semest_d M0 I A = [[M0; to_st A]]d' I"
proof
  show "[[M0; to_st A]]d' I ⇒ semest_d M0 I A"
  proof (induction A arbitrary: M0 rule: List.rev_induct)
    case Nil show ?case using to_st_nil_inv by simp
  next
    case (snoc a A)
    hence IH: "semest_d M0 I A" and *: "[[ikest A ∪ M0; to_st [a]]]d' I"
    using to_st_append by (auto simp add: sup.commute)
  qed

```

```

thus ?case using snoc
proof (cases a)
  case (Step b) thus ?thesis
  proof (cases b)
    case (Send t) thus ?thesis using semest_d.Send[OF IH] * Step by auto
  next
    case (Receive t) thus ?thesis using semest_d.Receive[OF IH] Step by auto
  next
    case (Equality a t t') thus ?thesis using semest_d.Equality[OF IH] * Step by auto
  next
    case (Inequality X F) thus ?thesis using semest_d.Inequality[OF IH] * Step by auto
  qed
next
  case (Decomp t)
  obtain K M where Ana: "Ana t = (K,M)" by atomize_elim auto
  have "to_st [a] = decomp t" using Decomp by auto
  hence "to_st [a] = [send⟨[t]⟩st, Send K, Receive M]"
    using Ana unfolding decomp_def by auto
  hence **: "ikest A ∪ M0 ·set I ⊢ t · I" and "[ikest A ∪ M0; [Send K]]d' I"
    using * by auto
  hence "¬k. k ∈ set K ⇒ ikest A ∪ M0 ·set I ⊢ k · I"
    using * strand_sem_Send_split(2) strand_sem_d.simps(2)
    unfolding strand_sem_eq_defs(2) list_all_iff
    by meson
  thus ?thesis using Decomp semest_d.Decompose[OF IH ** Ana] by metis
qed
qed

show "semest_d M0 I A ⇒ [M0; to_st A]d' I"
proof (induction rule: semest_d.induct)
  case Nil thus ?case by simp
next
  case (Send M0 I A ts) thus ?case
    using strand_sem_append'[of M0 "to_st A" I "[send⟨ts⟩st]"]
      to_st_append[of A "[Step (send⟨ts⟩st)]"] by (simp add: sup.commute)
next
  case (Receive M0 I A ts) thus ?case
    using strand_sem_append'[of M0 "to_st A" I "[receive⟨ts⟩st]"]
      to_st_append[of A "[Step (receive⟨ts⟩st)]"] by (simp add: sup.commute)
next
  case (Equality M0 I A t t' a) thus ?case
    using strand_sem_append'[of M0 "to_st A" I "[⟨a: t = t'⟩st]"]
      to_st_append[of A "[Step (⟨a: t = t'⟩st)]"] by (simp add: sup.commute)
next
  case (Inequality M0 I A X F) thus ?case
    using strand_sem_append'[of M0 "to_st A" I "[¬X(¬F)st]"]
      to_st_append[of A "[Step (¬X(¬F)st)]"] by (simp add: sup.commute)
next
  case (Decompose M0 I A t K M)
  have "[M0 ∪ ikst (to_st A); decomp t]d' I"
  proof -
    have "[M0 ∪ ikst (to_st A); [send⟨[t]⟩st]]d' I"
      using Decompose.hyps(2) by (auto simp add: sup.commute)
    moreover have "¬k. k ∈ set K ⇒ M0 ∪ ikst (to_st A) ·set I ⊢ k · I"
      using Decompose by (metis sup.commute)
    hence "¬k. k ∈ set K ⇒ [M0 ∪ ikst (to_st A); [Send k]]d' I" by auto
    hence "[M0 ∪ ikst (to_st A); [Send K]]d' I"
      using strand_sem_Send_map(4)[of _ "M0 ∪ ikst (to_st A) ·set I" I] strand_sem_Send_map(6)
      unfolding strand_sem_eq_defs(2) by auto
  qed

```

```

moreover have " $\llbracket M_0 \cup ik_{st}(\text{to\_st } \mathcal{A}); [\text{Receive } M] \rrbracket_d' \mathcal{I}$ "
  by (metis strand_sem_Receive_map(6) strand_sem_eq_defs(2))
ultimately have
  " $\llbracket M_0 \cup ik_{st}(\text{to\_st } \mathcal{A}); [send\langle t \rangle_{st}, send\langle K \rangle_{st}, receive\langle M \rangle_{st}] \rrbracket_d' \mathcal{I}$ "
  by auto
thus ?thesis using Decompose.hyps(3) unfolding decomp_def by auto
qed
hence " $\llbracket M_0; \text{to\_st } \mathcal{A} @ decomp t \rrbracket_d' \mathcal{I}$ "
  using strand_sem_append'[of  $M_0$  "to_st  $\mathcal{A}$ "  $\mathcal{I}$  "decomp t"] Decompose.IH
  by simp
thus ?case using to_st_append[of  $\mathcal{A}$  "[decomp t]"] by simp
qed
qed

private lemma semest_c_eq_sem_st: "semest_c M_0 \mathcal{I} \mathcal{A} = \llbracket M_0; \text{to\_st } \mathcal{A} \rrbracket_c' \mathcal{I}"
proof
  show " $\llbracket M_0; \text{to\_st } \mathcal{A} \rrbracket_c' \mathcal{I} \implies semest_c M_0 \mathcal{I} \mathcal{A}$ "
  proof (induction  $\mathcal{A}$  arbitrary:  $M_0$  rule: List.rev_induct)
    case Nil show ?case using to_st_nil_inv by simp
    next
      case (snoc a  $\mathcal{A}$ )
      hence IH: "semest_c M_0 \mathcal{I} \mathcal{A}" and *: " $\llbracket ik_{est} \mathcal{A} \cup M_0; \text{to\_st } [a] \rrbracket_c' \mathcal{I}$ "
        using to_st_append
        by (auto simp add: sup.commute)
      thus ?case using snoc
      proof (cases a)
        case (Step b) thus ?thesis
        proof (cases b)
          case (Send t) thus ?thesis using semest_c.Send[OF IH] * Step by auto
          next
          case (Receive t) thus ?thesis using semest_c.Receive[OF IH] Step by auto
          next
          case (Equality t) thus ?thesis using semest_c.Equality[OF IH] * Step by auto
          next
          case (Inequality t) thus ?thesis using semest_c.Inequality[OF IH] * Step by auto
        qed
      next
        case (Decomp t)
        obtain K M where Ana: "Ana t = (K, M)" by atomize_elim auto
        have "to_st [a] = decomp t" using Decomp by auto
        hence "to_st [a] = [send\langle t \rangle_{st}, send\langle K \rangle_{st}, receive\langle M \rangle_{st}]"
          using Ana unfolding decomp_def by auto
        hence **: " $ik_{est} \mathcal{A} \cup M_0 \cdot_{set} \mathcal{I} \vdash_c t \cdot \mathcal{I}$ " and " $\llbracket ik_{est} \mathcal{A} \cup M_0; [send\langle K \rangle_{st}] \rrbracket_c' \mathcal{I}$ "
          using * by auto
        hence " $ik_{est} \mathcal{A} \cup M_0 \cdot_{set} \mathcal{I} \vdash_c k \cdot \mathcal{I}$ " when k: " $k \in set K$ " for k
          using * strand_sem_Send_split(5)[OF _ k] strand_sem_Send_map(5)
          unfolding strand_sem_eq_defs(1) by auto
        thus ?thesis using Decomp semest_c.Decompose[OF IH ** Ana] by metis
      qed
    qed
  show "semest_c M_0 \mathcal{I} \mathcal{A} \implies \llbracket M_0; \text{to\_st } \mathcal{A} \rrbracket_c' \mathcal{I}"
  proof (induction rule: semest_c.induct)
    case Nil thus ?case by simp
    next
      case (Send M0 I A ts) thus ?case
        using strand_sem_append'[of M0 "to_st \mathcal{A}" I "[send\langle ts \rangle_{st}]"]
          to_st_append[of A "[Step (send\langle ts \rangle_{st})]"]
        by (simp add: sup.commute)
    next
      case (Receive M0 I A ts) thus ?case
        using strand_sem_append'[of M0 "to_st \mathcal{A}" I "[receive\langle ts \rangle_{st}]"]
          to_st_append[of A "[Step (receive\langle ts \rangle_{st})]"]

```

```

by (simp add: sup.commute)
next
  case (Equality M0 I A t t' a) thus ?case
    using strand_sem_append'[of M0 "to_st A" I "[⟨a: t ≡ t'⟩_st]"]
      to_st_append[of A "[Step ⟨a: t ≡ t'⟩_st]"]
    by (simp add: sup.commute)
next
  case (Inequality M0 I A X F) thus ?case
    using strand_sem_append'[of M0 "to_st A" I "[∀X⟨V ≠: F⟩_st]"]
      to_st_append[of A "[Step ⟨V ≠: F⟩_st]"]
    by (auto simp add: sup.commute)
next
  case (Decompose M0 I A t K M)
  have "[M0 ∪ ikst (to_st A); decomp t]c' I"
  proof -
    have "[M0 ∪ ikst (to_st A); [send⟨t⟩_st]]c' I"
      using Decompose.hyps(2) by (auto simp add: sup.commute)
    moreover have "¬k. k ∈ set K ⇒ M0 ∪ ikst (to_st A) ·set I ⊢c k · I"
      using Decompose by (metis sup.commute)
    hence "[M0 ∪ ikst (to_st A); [Send1 k]]c' I" by auto
    hence "[M0 ∪ ikst (to_st A); [Send K]]c' I"
      using strand_sem_Send_map(3)[of K, of "M0 ∪ ikst (to_st A) ·set I"] I
        strand_sem_Send_map(5)
      unfolding strand_sem_eq_defs(1)
      by auto
    moreover have "[M0 ∪ ikst (to_st A); [Receive M]]c' I"
      by (metis strand_sem_Receive_map(5) strand_sem_eq_defs(1))
    ultimately have
      "[M0 ∪ ikst (to_st A); [send⟨t⟩_st, send⟨K⟩_st, receive⟨M⟩_st]]c' I"
      by auto
    thus ?thesis using Decompose.hyps(3) unfolding decomp_def by auto
  qed
  hence "[M0; to_st A @decomp t]c' I"
    using strand_sem_append'[of M0 "to_st A" I "decomp t"] Decompose.IH
    by simp
  thus ?case using to_st_append[of A "[Decomp t]"] by simp
qed
qed

private lemma semest_c_decomp_rmest_deduct_aux:
  assumes "semest_c M0 I A" "t ∈ ikest A ·set I" "t ∉ ikest (decomp_rmest A) ·set I"
  shows "ikest (decomp_rmest A) ∪ M0 ·set I ⊢ t"
using assms
proof (induction M0 I A arbitrary: t rule: semest_c.induct)
  case (Send M0 I A t') thus ?case using decomp_rmest_append ikest_append by auto
next
  case (Receive M0 I A t')
  hence "t ∈ ikest A ·set I" "t ∉ ikest (decomp_rmest A) ·set I"
    using decomp_rmest_append ikest_append by auto
  hence IH: "ikest (decomp_rmest A) ∪ M0 ·set I ⊢ t" using Receive.IH by auto
  show ?case
    using ideduct_mono[OF IH] decomp_rmest_append ikest_append
    by (metis Un_subset_iff Un_upper1 Un_upper2 image_mono)
next
  case (Equality M0 I A t') thus ?case using decomp_rmest_append ikest_append by auto
next
  case (Inequality M0 I A t') thus ?case using decomp_rmest_append ikest_append by auto
next
  case (Decompose M0 I A t' K M t)
  have *: "ikest (decomp_rmest A) ∪ M0 ·set I ⊢ t' · I" using Decompose.hyps(2)
  proof (induction rule: intruder_synth_induct)
    case (AxiomC t'')
    moreover {

```

### 3 The Typing Result for Non-Stateful Protocols

```

assume "t'' ∈ ikest A ·set I" "t'' ∉ ikest (decomp_rmest A) ·set I"
hence ?case using Decompose.IH by auto
}
ultimately show ?case by force
qed simp

{ fix k assume "k ∈ set K"
hence "ikest A ∪ M0 ·set I ⊢c k · I" using Decompose.hyps by auto
hence "ikest (decomp_rmest A) ∪ M0 ·set I ⊢ k · I"
proof (induction rule: intruder_synth_induct)
case (AxiomC t'')
moreover {
assume "t'' ∈ ikest A ·set I" "t'' ∉ ikest (decomp_rmest A) ·set I"
hence ?case using Decompose.IH by auto
}
ultimately show ?case by force
qed simp
}
hence **: "∀k. k ∈ set (K ·list I) ⇒ ikest (decomp_rmest A) ∪ M0 ·set I ⊢ k" by auto

show ?case
proof (cases "t ∈ ikest A ·set I")
case True thus ?thesis using Decompose.IH Decompose.preds(2) decomp_rmest_append by auto
next
case False
hence "t ∈ ikst (decomp t') ·set I" using Decompose.preds(1) ikest_append by auto
hence ***: "t ∈ set (M ·list I)" using Decompose.hyps(3) decomp_ik by auto
hence "M ≠ []" by auto
hence ****: "Ana (t' · I) = (K ·list I, M ·list I)" using Ana_subst[OF Decompose.hyps(3)] by auto

have "ikest (decomp_rmest A) ∪ M0 ·set I ⊢ t" by (rule intruder_deduct.Decompose[OF **** * * *])
thus ?thesis using ideduct_mono decomp_rmest_append by auto
qed
qed simp

private lemma semest_c_decomp_rmest_deduct:
assumes "semest_c M0 I A" "ikest A ∪ M0 ·set I ⊢c t"
shows "ikest (decomp_rmest A) ∪ M0 ·set I ⊢ t"
using assms(2)
proof (induction t rule: intruder_synth_induct)
case (AxiomC t)
hence "t ∈ ikest A ·set I ∨ t ∈ M0 ·set I" by auto
moreover {
assume "t ∈ ikest A ·set I" "t ∈ ikest (decomp_rmest A) ·set I"
hence ?case using ideduct_mono[OF intruder_deduct.Axiom] by auto
}
moreover {
assume "t ∈ ikest A ·set I" "t ∉ ikest (decomp_rmest A) ·set I"
hence ?case using semest_c_decomp_rmest_deduct_aux[OF assms(1)] by auto
}
ultimately show ?case by auto
qed simp

private lemma semest_d_decomp_rmest_if_semest_c: "semest_c M0 I A ⇒ semest_d M0 I (decomp_rmest A)"
proof (induction M0 I A rule: semest_c.induct)
case (Send M0 I A t)
thus ?case
using decomp_rmest_append semest_d.Send[OF Send.IH] semest_c_decomp_rmest_deduct
unfolding list_all_iff by auto
next
case (Receive t) thus ?case using decomp_rmest_append semest_d.Receive by auto
next
case (Equality M0 I A t)

```

```

thus ?case
  using decomp_rmest_append semest_d.Equality[OF Equality.IH] semest_c_decomp_rmest_deduct
  by auto
next
  case (Inequality M0 I A t)
  thus ?case
    using decomp_rmest_append semest_d.Inequality[OF Inequality.IH] semest_c_decomp_rmest_deduct
    by auto
next
  case Decompose thus ?case using decomp_rmest_append by auto
qed auto

private lemma semest_c_decompsest_append:
  assumes "semest_c {} I A" "D ∈ decompsest (ikest A) (assignment_rhsest A) I"
  shows "semest_c {} I (A@D)"
using assms(2,1)
proof (induction D rule: decompsest.induct)
  case (Decomp D f T K M)
  hence *: "semest_c {} I (A @ D)" "ikest (A@D) ∪ {} ·set I ⊢c Fun f T · I"
    "¬ k. k ∈ set K ⇒ ikest (A @ D) ∪ {} ·set I ⊢c k · I"
  using ikest_append by auto
  show ?case using semest_c.Decompose[OF *(1,2) Decompose.hyps(3) *(3)] by simp
qed auto

private lemma decompsest_preserves_wf:
  assumes "D ∈ decompsest (ikest A) (assignment_rhsest A) I" "wfest V A"
  shows "wfest V (A@D)"
using assms
proof (induction D rule: decompsest.induct)
  case (Decomp D f T K M)
  have "wfrestrictedvarsst (decomp (Fun f T)) ⊆ fvset (ikest A ∪ assignment_rhsest A)"
    using decomp_vars fv_subset_subterms[OF Decompose.hyps(2)] by fast
  hence "wfrestrictedvarsst (decomp (Fun f T)) ⊆ wfrestrictedvarsst (to_st (A@D)) ∪ V"
    using ikst_assignment_rhsst_wfrestrictedvars_subset[of "to_st A"] by blast
  hence "wfrestrictedvarsst (decomp (Fun f T)) ⊆ wfrestrictedvarsst (to_st (A@D)) ∪ V"
    using to_st_append[of A D] strand_vars_split(2)[of "to_st A" "to_st D"]
    by (metis le_supI1)
  thus ?case
    using wf_append_suffix[OF Decompose.IH[OF Decompose.prems], of "decomp (Fun f T)"]
      to_st_append[of "A@D" "[Decomp (Fun f T)]"] by auto
  qed auto

private lemma decompsest_preserves_model_c:
  assumes "D ∈ decompsest (ikest A) (assignment_rhsest A) I" "semest_c M0 I A"
  shows "semest_c M0 I (A@D)"
using assms
proof (induction D rule: decompsest.induct)
  case (Decomp D f T K M) show ?case
    using semest_c.Decompose[OF Decompose.IH[OF Decompose.prems] _ Decompose.hyps(3)]
      Decompose.hyps(5,6) ideduct_synth_mono ikest_append
    by (metis (mono_tags, lifting) List.append_assoc image_Un sup_ge1)
qed auto

private lemma decompsest_exist_aux:
  assumes "D ∈ decompsest M N I" "M ∪ ikest D ⊢ t" "¬(M ∪ (ikest D) ⊢ t)"
  obtains D' where
    "D@D' ∈ decompsest M N I" "M ∪ ikest (D@D') ⊢ t" "M ∪ ikest D ⊂ M ∪ ikest (D@D')"
proof -
  have "∃ D' ∈ decompsest M N I. M ∪ ikest D' ⊢ t" using assms(2)
  proof (induction t rule: intruder_deduct_induct)
    case (Compose X f)
    from Compose.IH have "∃ D ∈ decompsest M N I. ∀ x ∈ set X. M ∪ ikest D ⊢ t"

```

```

proof (induction X)
  case (Cons t X)
  then obtain D' D'' where
    D': "D' ∈ decompest M N I" "M ∪ ikest D' ⊢c t" and
    D'': "D'' ∈ decompest M N I" "∀x ∈ set X. M ∪ ikest D'' ⊢c x"
    by atomize_elim force
  hence "M ∪ ikest (D'@D'') ⊢c t" "∀x ∈ set X. M ∪ ikest (D'@D'') ⊢c x"
    by (auto intro: ideduct_synth_mono simp add: ikest_append)
  thus ?case using decompest_append[OF D'(1) D''(1)] by (metis set_ConsD)
qed (auto intro: decompest.Nil)
thus ?case using intruder_synth.ComposeC[OF Compose.hyps(1,2)] by metis
next
  case (Decompose t K T ti)
  have "∃D ∈ decompest M N I. ∀k ∈ set K. M ∪ ikest D ⊢c k" using Decompose.IH
  proof (induction K)
    case (Cons t X)
    then obtain D' D'' where
      D': "D' ∈ decompest M N I" "M ∪ ikest D' ⊢c t" and
      D'': "D'' ∈ decompest M N I" "∀x ∈ set X. M ∪ ikest D'' ⊢c x"
      using assms(1) by atomize_elim force
    hence "M ∪ ikest (D'@D'') ⊢c t" "∀x ∈ set X. M ∪ ikest (D'@D'') ⊢c x"
      by (auto intro: ideduct_synth_mono simp add: ikest_append)
    thus ?case using decompest_append[OF D'(1) D''(1)] by auto
  qed auto
  then obtain D' where D': "D' ∈ decompest M N I" "∀k. k ∈ set K ⇒ M ∪ ikest D' ⊢c k" by metis
  obtain D'' where D'': "D'' ∈ decompest M N I" "M ∪ ikest D'' ⊢c t" by (metis Decompose.IH(1))
  obtain f X where fX: "t = Fun f X" "ti ∈ set X"
    using Decompose.hyps(2,4) by (cases t) (auto dest: Ana_fun_subterm)

from decompest_append[OF D'(1) D''(1)] D'(2) D''(2) have *:
  "D'@D'' ∈ decompest M N I" "∀k. k ∈ set K ⇒ M ∪ ikest (D'@D'') ⊢c k"
  "M ∪ ikest (D'@D'') ⊢c t"
  by (auto intro: ideduct_synth_mono simp add: ikest_append)
hence **: "∀k. k ∈ set K ⇒ M ∪ ikest (D'@D'') ·set I ⊢c k · I"
  using ideduct_synth_subst by auto

have "ti ∈ ikst (decomp t)" using Decompose.hyps(2,4) ik_rcv_map unfolding decomp_def by auto
with *(3) fX(1) Decompose.hyps(2) show ?case
proof (induction t rule: intruder_synth_induct)
  case (AxiomC t)
  hence t_in_subterms: "t ∈ subtermsset (M ∪ N)"
    using decompest_ik_subset[OF *(1)] subset_subterms_Union
    by auto
  have "M ∪ ikest (D'@D'') ·set I ⊢c t · I"
    using ideduct_synth_subst[OF intruder_synth.AxiomC[OF AxiomC.hyps(1)]] by metis
  moreover have "T ≠ []" using decomp_ik[OF <Ana t = (K,T)>] <ti ∈ ikst (decomp t)> by auto
  ultimately have "D'@D''@[Decomp (Fun f X)] ∈ decompest M N I"
    using AxiomC decompest.Decomp[OF *(1) _ _ _ _ **] subset_subterms_Union t_in_subterms
    by (simp add: subset_eq)
  moreover have "decomp t = to_st [Decomp (Fun f X)]" using AxiomC.prems(1,2) by auto
  ultimately show ?case
    by (metis AxiomC.prems(3) UnCI intruder_synth.AxiomC ikest_append to_st_append)
qed (auto intro!: fX(2) *(1))
qed (fastforce intro: intruder_synth.AxiomC assms(1))
hence "∃D' ∈ decompest M N I. M ∪ ikest (D@D') ⊢c t"
  by (auto intro: ideduct_synth_mono simp add: ikest_append)
thus thesis using that[OF decompest_append[OF assms(1)]] assms ikest_append by atomize_elim auto
qed

private lemma decompest_ik_max_exist:
  assumes "finite A" "finite N"
  shows "∃D ∈ decompest A N I. ∀D' ∈ decompest A N I. ikest D' ⊆ ikest D"
proof -

```

```

let ?IK = " $\lambda M. \bigcup D \in M. ik_{est} D$ "
have "?IK (decomp_{est} A N \mathcal{I}) \subseteq (\bigcup t \in A \cup N. subterms t)" by (auto dest!: decomp_{est}_ik_subset)
hence "?finite (?IK (decomp_{est} A N \mathcal{I}))"
  using subterms_union_finite[OF assms(1)] subterms_union_finite[OF assms(2)] infinite_super
  by auto
then obtain M where M: "?finite M" "M \subseteq decomp_{est} A N \mathcal{I}" "?IK M = ?IK (decomp_{est} A N \mathcal{I})"
  using finite_subset_Union by atomize_elim auto
show ?thesis using decomp_{est}_finite_ik_append[OF M(1,2)] M(3) by auto
qed

private lemma decomp_{est}_exist:
  assumes "?finite A" "?finite N"
  shows "?D \in decomp_{est} A N \mathcal{I}. \forall t. A \vdash t \longrightarrow A \cup ik_{est} D \vdash_c t"
proof (rule ccontr)
  assume neg: "?not (?D \in decomp_{est} A N \mathcal{I}. \forall t. A \vdash t \longrightarrow A \cup ik_{est} D \vdash_c t)"
  obtain D where D: "?D \in decomp_{est} A N \mathcal{I}" "?D' \in decomp_{est} A N \mathcal{I}. ik_{est} D' \subseteq ik_{est} D"
    using decomp_{est}_ik_max_exist[OF assms] by atomize_elim force
  then obtain t where t: "?A \cup ik_{est} D \vdash t" "?not (?A \cup ik_{est} D \vdash_c t)"
    using neg by (fastforce intro: ideduct_mono)

  obtain D' where D':
    "?D \in decomp_{est} A N \mathcal{I}" "?A \cup ik_{est} (D \otimes D') \vdash_c t"
    "?A \cup ik_{est} D \subset A \cup ik_{est} (D \otimes D')"
    by (metis decomp_{est}_exist_aux t D(1))
  hence "?ik_{est} D \subset ik_{est} (D \otimes D')" using ik_{est}_append by auto
  moreover have "?ik_{est} (D \otimes D') \subseteq ik_{est} D" using D(2) D'(1) by auto
  ultimately show False by simp
qed

private lemma decomp_{est}_exist_subst:
  assumes "?ik_{est} A \cdot_{set} \mathcal{I} \vdash t \cdot \mathcal{I}"
  and "?semest_c {} \mathcal{I} A" "?wfest {} A" "?interpretation_{subst} \mathcal{I}"
  and "?Ana_invar_subst (ik_{est} A \cup assignment_{rhs_{est}} A)"
  and "?well_analyzed A"
  shows "?D \in decomp_{est} (ik_{est} A) (assignment_{rhs_{est}} A) \mathcal{I}. ik_{est} (A \otimes D) \cdot_{set} \mathcal{I} \vdash_c t \cdot \mathcal{I}"
proof -
  have ik_eq: "?ik_{est} (A \cdot_{est} \mathcal{I}) = ?ik_{est} A \cdot_{set} \mathcal{I}" using assms(5,6)
  proof (induction A rule: List.rev_induct)
    case (snoc a A)
    hence "?Ana_invar_subst (ik_{est} A \cup assignment_{rhs_{est}} A)"
      using Ana_invar_subst_subset[OF snoc.preds(1)] ik_{est}_append assignment_{rhs_{est}}_append
      unfolding Ana_invar_subst_def by simp
    with snoc have IH:
      "?ik_{est} (A @ [a] \cdot_{est} \mathcal{I}) = (ik_{est} A \cdot_{set} \mathcal{I}) \cup ik_{est} ([a] \cdot_{est} \mathcal{I})"
      "?ik_{est} (A @ [a]) \cdot_{set} \mathcal{I} = (ik_{est} A \cdot_{set} \mathcal{I}) \cup (ik_{est} [a] \cdot_{set} \mathcal{I})"
      using well_analyzed_split_left[OF snoc.preds(2)]
      by (auto simp add: to_st_append ik_{est}_append_subst)

    have "?ik_{est} [a \cdot_{estp} \mathcal{I}] = ik_{est} [a] \cdot_{set} \mathcal{I}"
    proof (cases a)
      case (Step b) thus ?thesis by (cases b) auto
    next
      case (Decomp t)
      then obtain f T where t: "t = Fun f T" using well_analyzedD[OF snoc.preds(2)] by force
      obtain K M where Ana_t: "?Ana (Fun f T) = (K, M)" by (metis surj_pair)
      moreover have "?Fun f T \in subterms_{set} ((ik_{est} (A @ [a])) \cup assignment_{rhs_{est}} (A @ [a])))"
        using t Decomp snoc.preds(2)
        by (auto dest: well_analyzed_inv simp add: ik_{est}_append assignment_{rhs_{est}}_append)
      hence "?Ana (Fun f T \cdot \mathcal{I}) = (K \cdot_{list} \mathcal{I}, M \cdot_{list} \mathcal{I})"
        using Ana_t snoc.preds(1) unfolding Ana_invar_subst_def by blast
      ultimately show ?thesis using Decomp t by (auto simp add: decomp_ik)
    qed
  qed

```

```

thus ?case using IH unfolding subst_apply_extstrand_def by simp
qed simp
moreover have assignment_rhs_eq: "assignment_rhsest (A ·est I) = assignment_rhsest A ·set I"
  using assms(5,6)
proof (induction A rule: List.rev_induct)
  case (snoc a A)
  hence "Ana_invar_subst (ikest A ∪ assignment_rhsest A)"
    using Ana_invar_subst_subset[OF snoc.prems(1)] ikest_append assignment_rhsest_append
    unfolding Ana_invar_subst_def by simp
  hence "assignment_rhsest (A ·est I) = assignment_rhsest A ·set I"
    using snoc.IH well_analyzed_split_left[OF snoc.prems(2)]
    by simp
  hence IH:
    "assignment_rhsest (A@[a] ·est I) = (assignment_rhsest A ·set I) ∪ assignment_rhsest ([a] ·est I)"
    "assignment_rhsest (A@[a]) ·set I = (assignment_rhsest A ·set I) ∪ (assignment_rhsest [a] ·set I)"
  by (metis assignment_rhsest_append_subst(1), metis assignment_rhsest_append_subst(2))

have "assignment_rhsest [a ·estp I] = assignment_rhsest [a] ·set I"
proof (cases a)
  case (Step b) thus ?thesis by (cases b) auto
next
  case (Decomp t)
  then obtain f T where t: "t = Fun f T" using well_analyzedD[OF snoc.prems(2)] by force
  obtain K M where Ana_t: "Ana (Fun f T) = (K,M)" by (metis surj_pair)
  moreover have "Fun f T ∈ subtermsset ((ikest (A@[a]) ∪ assignment_rhsest (A@[a])))"
    using t Decomp snoc.prems(2)
    by (auto dest: well_analyzed_inv simp add: ikest_append assignment_rhsest_append)
  hence "Ana (Fun f T · I) = (K ·list I, M ·list I)"
    using Ana_t snoc.prems(1) unfolding Ana_invar_subst_def by blast
  ultimately show ?thesis using Decomp t by (auto simp add: decomp_assignment_rhs_empty)
qed
thus ?case using IH unfolding subst_apply_extstrand_def by simp
qed simp
ultimately obtain D where D:
  "D ∈ decompsest (ikest A ·set I) (assignment_rhsest A ·set I) Var"
  "(ikest A ·set I) ∪ (ikest D) ⊢c t · I"
  using decompsest_exist[OF ikest_finite assignment_rhsest_finite, of "A ·est I" "A ·est I"]
  ikest_append assignment_rhsest_append assms(1)
  by force

let ?P = " $\lambda D D'. \forall t. (ikest A ·set I) \cup (ikest D) \vdash_c t \rightarrow (ikest A ·set I) \cup (ikest D' ·set I) \vdash_c t$ " 

have " $\exists D' \in decompsest (ikest A) (assignment_rhsest A) I. ?P D D'$ " using D(1)
proof (induction D rule: decompsest.induct)
  case Nil
  have "ikest [] = ikest [] ·set I" by auto
  thus ?case by (metis decompsest.Nil)
next
  case (Decomp D f T K M)
  obtain D' where D': "D' ∈ decompsest (ikest A) (assignment_rhsest A) I" "?P D D'" 
    using Decomp.IH by auto
  hence IH: " $\bigwedge k. k \in set K \implies (ikest A ·set I) \cup (ikest D' ·set I) \vdash_c k$ "
    " $(ikest A ·set I) \cup (ikest D' ·set I) \vdash_c Fun f T$ "
    using Decomp.hyps(5,6) by auto

  have D'_ik: "ikest D' ·set I ⊆ subtermsset ((ikest A ∪ assignment_rhsest A)) ·set I"
    "ikest D' ⊆ subtermsset (ikest A ∪ assignment_rhsest A)"
    using decompsest_ik_subset[OF D'(1)] by (metis subst_all_mono, metis)

  show ?case using IH(2,1) Decomp.hyps(2,3,4)
  proof (induction "Fun f T" arbitrary: f T K M rule: intruder_synth_induct)
    case (AxiomC f T)
    then obtain s where s: "s ∈ ikest A ∪ ikest D'" "Fun f T = s · I" using AxiomC.prems by blast

```

```

hence fT_s_in: "Fun f T ∈ (subtermsset (ikest A ∪ assignmentrhsest A)) ·set I"
  "s ∈ subtermsset (ikest A ∪ assignmentrhsest A)"
  using AxiomC D' _ik subset_subterms_Union[of "ikest A ∪ assignmentrhsest A"]
    subst_all_mono[OF subset_subterms_Union, of I]
  by (metis (no_types) Un_iff image_eqI subset_Un_eq, metis (no_types) Un_iff subset_Un_eq)
obtain Ks Ms where Ana_s: "Ana s = (Ks,Ms)" by atomize_elim auto

have AD'_props: "wfest {} (A@D')" "[{}]; to_st (A@D')]c I"
  using decompest_preserves_model_c[OF D'(1) assms(2)]
    decompest_preserves_wf[OF D'(1) assms(3)]
      semest_c_eq_sem_st strand_sem_eq_defs(1)
  by auto

show ?case
proof (cases s)
  case (Var x)
  — In this case  $I$   $x$  (is a subterm of something that) was derived from an "earlier intruder knowledge" because  $A$  is well-formed and has  $I$  as a model. So either the intruder composed  $Fun f T$  himself (making  $Decomp$  ( $Fun f T$ ) unnecessary) or  $Fun f T$  is an instance of something else in the intruder knowledge (in which case the "something" can be used in place of  $Fun f T$ )
  hence "Var x ∈ ikest (A@D')" "I x = Fun f T" using s ikest_append by auto

  show ?thesis
  proof (cases "∀ m ∈ set M. ikest A ∪ ikest D' ·set I ⊢c m")
    case True
    — All terms acquired by decomposing  $Fun f T$  are already derivable. Hence there is no need to consider decomposition of  $Fun f T$  at all.
    have *: "(ikest A ·set I) ∪ ikest (D@[Decomp (Fun f T)]) = (ikest A ·set I) ∪ ikest D ∪ set M"
      using decomp_ik[OF <Ana (Fun f T) = (K,M)>] ikest_append[of D "[Decomp (Fun f T)]"] by auto

    { fix t' assume "(ikest A ·set I) ∪ ikest D ∪ set M ⊢c t'"
      hence "(ikest A ·set I) ∪ (ikest D' ·set I) ⊢c t''"
      proof (induction t' rule: intruder_synth_induct)
        case (AxiomC t') thus ?case
        proof
          assume "t' ∈ set M"
          moreover have "(ikest A ·set I) ∪ (ikest D' ·set I) = ikest A ∪ ikest D' ·set I" by auto
          ultimately show ?case using True by auto
          qed (metis D'(2) intruder_synth.AxiomC)
        qed auto
      }
      thus ?thesis using D'(1) * by metis
    next
      case False
      — Some term acquired by decomposition of  $Fun f T$  cannot be derived in  $\vdash_c$ .  $Fun f T$  must therefore be an instance of something else in the intruder knowledge, because of well-formedness.
      then obtain ti where ti: "ti ∈ set T" "¬ikest (A@D') ·set I ⊢c ti"
        using Ana_fun_subterm[OF <Ana (Fun f T) = (K,M)>] by (auto simp add: ikest_append)
      obtain S where fS:
        "Fun f S ∈ subtermsset (ikest (A@D')) ∨
        Fun f S ∈ subtermsset (assignmentrhsest (A@D'))"
        "I x = Fun f S · I"
      using strand_sem_wf_ik_or_assignment_rhs_fun_subterm[
        OF AD'_props <Var x ∈ ikest (A@D')> _ ti <interpretationsubst I>]
        <I x = Fun f T>
      by atomize_elim metis
      hence fS_in: "Fun f S · I ∈ ikest A ∪ ikest D' ·set I"
        "Fun f S ∈ subtermsset (ikest A ∪ assignmentrhsest A)"
      using imageI[OF s(1), of "λx. x · I"] Var
        ikest_append[of A D'] assignment_rhsest_append[of A D']
        decompest_subterms[OF D'(1)] decompest_assignment_rhs_empty[OF D'(1)]
      by auto
    
```

```

obtain KS MS where Ana_fS: "Ana (Fun f S) = (KS, MS)" by atomize_elim auto
hence "K = KS ·list I" "M = MS ·list I"
  using Ana_invar_substD[OF assms(5) fS_in(2)]
    s(2) fS(2) <s = Var x> <Ana (Fun f T) = (K,M)>
  by simp_all
hence "MS ≠ []" using <M ≠ []> by simp
have "¬ k ∈ set KS ⟹ ikest A ∪ ikest D' ·set I ⊢c k · I"
  using AxiomC.prems(1) <K = KS ·list I> by (simp add: image_Un)
hence D'': "D'@[Decomp (Fun f S)] ∈ decompsest (ikest A) (assignment_rhsest A) I"
  using decompsest.Decomp[OF D'(1) fS_in(2) Ana_fS <MS ≠ []>] AxiomC.prems(1)
    intruder_synth.AxiomC[OF fS_in(1)]
  by simp
moreover {
fix t' assume "(ikest A ·set I) ∪ ikest (D@[Decomp (Fun f T)]) ⊢c t''"
hence "(ikest A ·set I) ∪ (ikest (D'@[Decomp (Fun f S)]) ·set I) ⊢c t''"
proof (induction t' rule: intruder_synth_induct)
  case (AxiomC t')
    hence "t' ∈ (ikest A ·set I) ∪ ikest D ∨ t' ∈ ikest [Decomp (Fun f T)]"
      by (simp add: ikest_append)
    thus ?case
    proof
      assume "t' ∈ ikest [Decomp (Fun f T)]"
      hence "t' ∈ ikest [Decomp (Fun f S)] ·set I"
        using decomp_ik <Ana (Fun f T) = (K,M)> <Ana (Fun f S) = (KS,MS)> <M = MS ·list I>
        by simp
      thus ?case
        using ideduct_synth_mono[
          OF intruder_synth.AxiomC[of t' "ikest [Decomp (Fun f S)] ·set I"],
          of "(ikest A ·set I) ∪ (ikest (D'@[Decomp (Fun f S)]) ·set I)"]
        by (auto simp add: ikest_append)
    qed
    qed auto
  next
    assume "t' ∈ (ikest A ·set I) ∪ ikest D"
    hence "(ikest A ·set I) ∪ (ikest D' ·set I) ⊢c t''"
      by (metis D'(2) intruder_synth.AxiomC)
    hence "(ikest A ·set I) ∪ (ikest D' ·set I) ∪ (ikest [Decomp (Fun f S)] ·set I) ⊢c t''"
      by (simp add: ideduct_synth_mono)
    thus ?case
      using ikest_append[of D' "[Decomp (Fun f S)]"]
        image_Un[of "λx. x · I" "ikest D'" "ikest [Decomp (Fun f S)]"]
      by (simp add: sup_aci(2))
    qed
  qed auto
}
ultimately show ?thesis using D'' by auto
qed
next
case (Fun g S) — Hence  $\text{Decomp}(\text{Fun } f \text{ } T)$  can be substituted for  $\text{Decomp}(\text{Fun } g \text{ } S)$ 
hence KM: "K = Ks ·list I" "M = Ms ·list I" "set K = set Ks ·set I" "set M = set Ms ·set I"
  using fT_s_in(2) <Ana (Fun f T) = (K,M)> Ana_s s(2)
    Ana_invar_substD[OF assms(5), of g S]
  by auto
hence Ms_nonempty: "Ms ≠ []" using <M ≠ []> by auto
{ fix t' assume "(ikest A ·set I) ∪ ikest (D@[Decomp (Fun f T)]) ⊢c t''"
  hence "(ikest A ·set I) ∪ (ikest (D'@[Decomp (Fun g S)]) ·set I) ⊢c t''" using AxiomC
  proof (induction t' rule: intruder_synth_induct)
    case (AxiomC t')
      hence "t' ∈ ikest A ·set I ∨ t' ∈ ikest D ∨ t' ∈ set M"
        by (simp add: decomp_ik ikest_append)
      thus ?case
      proof (elim disjE)
        assume "t' ∈ ikest D"
        hence *: "(ikest A ·set I) ∪ (ikest D' ·set I) ⊢c t''" using D'(2) by simp
        show ?case by (auto intro: ideduct_synth_mono[OF *] simp add: ikest_append_subst(2))
      qed
    qed
  qed
}

```

```

next
  assume "t' ∈ set M"
  hence "t' ∈ ikest [Decomp (Fun g S)] ·set I"
    using KM(2) Fun decomp_ik[OF Ana_s] by auto
    thus ?case by (simp add: image_Un ikest_append)
  qed (simp add: ideduct_synth_mono[OF intruder_synth.AxiomC])
qed auto
}

thus ?thesis
  using s Fun Ana_s AxiomC.prems(1) KM(3) fT_s_in
  decompsest.Decomp[OF D'(1) _ _ Ms_nonempty, of g S Ks]
  by (metis AxiomC.hyps image_Un image_eqI intruder_synth.AxiomC)
qed

next
  case (ComposeC T f)
  have *: "A m. m ∈ set M ⇒ (ikest A ·set I) ∪ (ikest D' ·set I) ⊢c m"
    using Ana_fun_subterm[OF <Ana (Fun f T) = (K, M)>] ComposeC.hyps(3)
    by auto

  have **: "ikest (D@[Decomp (Fun f T)]) = ikest D ∪ set M"
    using decomp_ik[OF <Ana (Fun f T) = (K, M)>] ikest_append by auto

  { fix t' assume "(ikest A ·set I) ∪ ikest (D@[Decomp (Fun f T)]) ⊢c t'"
    hence "(ikest A ·set I) ∪ (ikest D' ·set I) ⊢c t''"
      by (induct rule: intruder_synth_induct) (auto simp add: D'(2) * **)
  }
  thus ?case using D'(1) by auto
qed

qed
thus ?thesis using D(2) assms(1) by (auto simp add: ikest_append_subst(2))
qed

private lemma decompsest_exist_subst_list:
assumes "A t ∈ set ts. ikest A ·set I ⊢ t · I"
  and "semest_c {} I A" "wfest {} A" "interpretationsubst I"
  and "Ana_invar_subst (ikest A ∪ assignment_rhsest A)"
  and "well_analyzed A"
shows "A D ∈ decompsest (ikest A) (assignment_rhsest A) I.
  A t ∈ set ts. ikest (A@D) ·set I ⊢c t · I"
  (is "A D ∈ ?A. ?B D ts")

proof -
  note 0 = decompsest_exist_subst[OF _ assms(2-6)]

  show ?thesis using assms(1)
  proof (induction ts)
    case (Cons t ts)
    have 1: "ikest A ·set I ⊢ t · I" and 2: "A t ∈ set ts. ikest A ·set I ⊢ t · I"
      using Cons.prems by auto

    obtain D where D: "D ∈ ?A" "ikest (A@D) ·set I ⊢c t · I"
      using 0[OF 1] by blast

    obtain D' where D': "D' ∈ ?A" "?B D' ts"
      using Cons.IH[OF 2] by auto

    have "ikest (A@D@D') ·set I ⊢c t · I"
      using ideduct_synth_mono[OF D(2)] ikest_append_subst(2)[of I "A@D" D'] by fastforce
    hence "?B (D@D') (t#ts)"
      using D'(2) ideduct_synth_mono[of "ikest (A@D') ·set I" _ "ikest (A@D@D') ·set I"]
        ikest_append_subst(2)[of I]
      by auto
    thus ?case
      using decompsest_append[OF D(1) D'(1)] by blast
  qed

```

```

qed (fastforce intro: decompest.Nil)
qed

private lemma wfsts'_updatest_nil: assumes "wfsts' S A" shows "wfsts' (updatest S []) A"
using assms unfolding wfsts'_def by auto

private lemma wfsts'_updatest_snd:
  assumes "wfsts' S A" "send(ts)st#S ∈ S"
  shows "wfsts' (updatest S (send(ts)st#S)) (A@[Step (receive(ts)st)])"
unfolding wfsts'_def
proof (intro conjI)
  let ?S = "send(ts)st#S"
  let ?A = "A@[Step (receive(ts)st)]"

  have S: " $\bigwedge S'. S' \in \text{update}_{st} S \Rightarrow S' = S \vee S' \in S$ " by auto

  have 1: " $\forall S \in S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} A) (\text{dual}_{st} S)$ " using assms unfolding wfsts'_def by auto
  moreover have 2: " $\text{wfrestrictedvars}_{est} ?A = \text{wfrestrictedvars}_{est} A \cup \text{fv}_{set} (\text{set } ts)$ "
    using wfrestrictedvarsest_split(2) by (auto simp add: Un_assoc)
  ultimately have 3: " $\forall S \in S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by (metis wf_vars_mono)

  have 4: " $\forall S \in S. \forall S' \in S. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " using assms unfolding wfsts'_def by simp
  have "wfst (wfrestrictedvarsest ?A) (\text{dual}_{st} S)" using 1 2 3 assms(2) by auto
  thus " $\forall S \in \text{update}_{st} S ?S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by (metis 3 S)

  have "fvst S ∩ bvarsst S = {}"
    " $\forall S' \in S. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ "
    " $\forall S' \in S. \text{fv}_{st} S' \cap \text{bvars}_{st} S = \{\}$ "
    using 4 assms(2) unfolding wfsts'_def by force+
  thus " $\forall S \in \text{update}_{st} S ?S. \forall S' \in \text{update}_{st} S ?S. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " by (metis 4 S)

  have " $\forall S' \in S. \text{fv}_{st} ?S \cap \text{bvars}_{st} S' = \{\}$ " " $\forall S' \in S. \text{fv}_{st} S' \cap \text{bvars}_{st} ?S = \{\}$ "
    using assms unfolding wfsts'_def by metis+
  hence 5: "fvest ?A = fvest A ∪ fvset (set ts)" "bvarsest ?A = bvarsest A"
    " $\forall S' \in S. \text{fv}_{set} (\text{set } ts) \cap \text{bvars}_{st} S' = \{\}$ "
    using to_st_append by fastforce+
  have *: " $\forall S \in S. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ "
    using 5 assms(1) unfolding wfsts'_def by fast
  hence "fvst ?S ∩ bvarsest ?A = {}" using assms(2) by metis
  hence "fvst S ∩ bvarsest ?A = {}" by auto
  thus " $\forall S \in \text{update}_{st} S ?S. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ " by (metis * S)

  have **: " $\forall S \in S. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ "
    using 5 assms(1) unfolding wfsts'_def by fast
  hence "fvest ?A ∩ bvarsst ?S = {}" using assms(2) by metis
  hence "fvest ?A ∩ bvarsst S = {}" by fastforce
  thus " $\forall S \in \text{update}_{st} S ?S. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ " by (metis ** S)
qed

private lemma wfsts'_updatest_rcv:
  assumes "wfsts' S A" "receive(ts)st#S ∈ S"
  shows "wfsts' (updatest S (receive(ts)st#S)) (A@[Step (send(ts)st)])"
unfolding wfsts'_def
proof (intro conjI)
  let ?S = "receive(ts)st#S"
  let ?A = "A@[Step (send(ts)st)]"

  have S: " $\bigwedge S'. S' \in \text{update}_{st} S ?S \Rightarrow S' = S \vee S' \in S$ " by auto

  have 1: " $\forall S \in S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} A) (\text{dual}_{st} S)$ " using assms unfolding wfsts'_def by auto
  moreover have 2: " $\text{wfrestrictedvars}_{est} ?A = \text{wfrestrictedvars}_{est} A \cup \text{fv}_{set} (\text{set } ts)$ "
```

```

using wfrestrictedvarsest_split(2) by (auto simp add: Un_assoc)
ultimately have 3: " $\forall S \in \mathcal{S}. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by (metis wf_vars_mono)

have 4: " $\forall S \in \mathcal{S}. \forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " using assms unfolding wfsts'_def by simp

have " $\text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " using 1 2 3 assms(2) by auto
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by (metis 3 S)

have " $\text{fv}_{st} S \cap \text{bvars}_{st} S = \{\}$ "
  " $\forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ "
  " $\forall S' \in \mathcal{S}. \text{fv}_{st} S' \cap \text{bvars}_{st} S = \{\}$ "
  using 4 assms(2) unfolding wfsts'_def by force+
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \forall S' \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " by (metis 4 S)

have " $\forall S' \in \mathcal{S}. \text{fv}_{st} ?S \cap \text{bvars}_{st} S' = \{\}$ " " $\forall S' \in \mathcal{S}. \text{fv}_{st} S' \cap \text{bvars}_{st} ?S = \{\}$ "
  using assms unfolding wfsts'_def by metis+
hence 5: " $\text{fv}_{est} ?A = \text{fv}_{est} A \cup \text{fv}_{set} (\text{set ts})$ " " $\text{bvars}_{est} ?A = \text{bvars}_{est} A$ "
  " $\forall S' \in \mathcal{S}. \text{fv}_{set} (\text{set ts}) \cap \text{bvars}_{st} S' = \{\}$ "
  using to_st_append by fastforce+
have *: " $\forall S \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ "
  using 5 assms(1) unfolding wfsts'_def by fast
hence " $\text{fv}_{st} ?S \cap \text{bvars}_{est} ?A = \{\}$ " using assms(2) by metis
hence " $\text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ " by auto
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ " by (metis * S)

have **: " $\forall S \in \mathcal{S}. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ "
  using 5 assms(1) unfolding wfsts'_def by fast
hence " $\text{fv}_{est} ?A \cap \text{bvars}_{st} ?S = \{\}$ " using assms(2) by metis
hence " $\text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ " by fastforce
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ " by (metis ** S)
qed

private lemma wfsts'_updatest_eq:
assumes "wfsts' S A" " $\langle a: t \doteq t' \rangle_{st} # S \in \mathcal{S}$ "
shows "wfsts' (updatest S ( $\langle a: t \doteq t' \rangle_{st} # S$ )) (A@[Step ( $\langle a: t \doteq t' \rangle_{st}$ )])"
unfolding wfsts'_def
proof (intro conjI)
let ?S = " $\langle a: t \doteq t' \rangle_{st} # S$ "
let ?A = "A@[Step ( $\langle a: t \doteq t' \rangle_{st}$ )]"

have S: " $\bigwedge S'. S' \in \text{update}_{st} \mathcal{S} ?S \implies S' = S \vee S' \in \mathcal{S}$ " by auto

have 1: " $\forall S \in \mathcal{S}. \text{wf}_{st} (\text{wfrestrictedvars}_{est} A) (\text{dual}_{st} S)$ " using assms unfolding wfsts'_def by auto
moreover have 2:
  "a = Assign  $\implies \text{wfrestrictedvars}_{est} ?A = \text{wfrestrictedvars}_{est} A \cup \text{fv } t \cup \text{fv } t'$ "
  "a = Check  $\implies \text{wfrestrictedvars}_{est} ?A = \text{wfrestrictedvars}_{est} A$ "
  using wfrestrictedvarsest_split(2) by (auto simp add: Un_assoc)
ultimately have 3: " $\forall S \in \mathcal{S}. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ "
  by (cases a) (metis wf_vars_mono, metis)

have 4: " $\forall S \in \mathcal{S}. \forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " using assms unfolding wfsts'_def by simp

have " $\text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " using 1 2 3 assms(2) by (cases a) auto
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by (metis 3 S)

have " $\text{fv}_{st} S \cap \text{bvars}_{st} S = \{\}$ "
  " $\forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ "
  " $\forall S' \in \mathcal{S}. \text{fv}_{st} S' \cap \text{bvars}_{st} S = \{\}$ "
  using 4 assms(2) unfolding wfsts'_def by force+
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \forall S' \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " by (metis 4 S)

have " $\forall S' \in \mathcal{S}. \text{fv}_{st} ?S \cap \text{bvars}_{st} S' = \{\}$ " " $\forall S' \in \mathcal{S}. \text{fv}_{st} S' \cap \text{bvars}_{st} ?S = \{\}$ "

```

```

using assms unfolding wfsts'_def by metis+
hence 5: "fvest ?A = fvest A ∪ fv t ∪ fv t'" "bvarsest ?A = bvarsest A"
    " $\forall S' \in \mathcal{S}. \text{fv } t \cap \text{bvars}_{st} S' = \{\}$ " " $\forall S' \in \mathcal{S}. \text{fv } t' \cap \text{bvars}_{st} S' = \{\}$ "
using to_st_append by fastforce+
have *: " $\forall S \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ "
    using 5 assms(1) unfolding wfsts'_def by fast
hence "fvst ?S ∩ bvarsest ?A = {}" using assms(2) by metis
hence "fvst S ∩ bvarsest ?A = {}" by auto
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ " by (metis * S)

have **: " $\forall S \in \mathcal{S}. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ "
    using 5 assms(1) unfolding wfsts'_def by fast
hence "fvest ?A ∩ bvarsst ?S = {}" using assms(2) by metis
hence "fvest ?A ∩ bvarsst S = {}" by fastforce
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ " by (metis ** S)
qed

private lemma wfsts'_updatest_ineq:
assumes "wfsts' S A" " $\forall X(\forall \neq: F)_{st}\#S \in \mathcal{S}$ "
shows "wfsts' (updatest S ( $\forall X(\forall \neq: F)_{st}\#S$ )) (A@[Step ( $\forall X(\forall \neq: F)_{st}$ )])"
unfolding wfsts'_def
proof (intro conjI)
let ?S = " $\forall X(\forall \neq: F)_{st}\#S$ "
let ?A = "A@[Step ( $\forall X(\forall \neq: F)_{st}$ )]"

have S: " $\bigwedge S'. S' \in \text{update}_{st} \mathcal{S} ?S \implies S' = S \vee S' \in \mathcal{S}$ " by auto

have 1: " $\forall S \in \mathcal{S}. \text{wf}_{st} (\text{wfrestrictedvars}_{est} A) (\text{dual}_{st} S)$ " using assms unfolding wfsts'_def by auto
moreover have 2: "wfrestrictedvarsest ?A = wfrestrictedvarsest A"
    using wfrestrictedvarsest_split(2) by (auto simp add: Un_assoc)
ultimately have 3: " $\forall S \in \mathcal{S}. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by metis

have 4: " $\forall S \in \mathcal{S}. \forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " using assms unfolding wfsts'_def by simp
have "wfst (wfrestrictedvarsest ?A) (\text{dual}_{st} S)" using 1 2 3 assms(2) by auto
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{wf}_{st} (\text{wfrestrictedvars}_{est} ?A) (\text{dual}_{st} S)$ " by (metis 3 S)

have "fvst S ∩ bvarsst S = {}"
    " $\forall S' \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ "
    " $\forall S' \in \mathcal{S}. \text{fv}_{st} S' \cap \text{bvars}_{st} S = \{\}$ "
using 4 assms(2) unfolding wfsts'_def by force+
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \forall S' \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{st} S \cap \text{bvars}_{st} S' = \{\}$ " by (metis 4 S)

have " $\forall S' \in \mathcal{S}. \text{fv}_{st} ?S \cap \text{bvars}_{st} S' = \{\}$ " " $\forall S' \in \mathcal{S}. \text{fv}_{st} S' \cap \text{bvars}_{st} ?S = \{\}$ "
    using assms unfolding wfsts'_def by metis+
moreover have "fvpairs F - set X ⊆ fvst ( $\forall X(\forall \neq: F)_{st} \# S$ )" by auto
ultimately have 5:
    " $\forall S' \in \mathcal{S}. (\text{fv}_{pairs} F - set X) \cap \text{bvars}_{st} S' = \{\}$ "
    "fvest ?A = fvest A ∪ (fvpairs F - set X)" "bvarsest ?A = set X ∪ bvarsest A"
    " $\forall S \in \mathcal{S}. \text{fv}_{st} S \cap \text{set } X = \{\}$ "
using to_st_append
by (blast, force, force, force)

have *: " $\forall S \in \mathcal{S}. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ " using 5(3,4) assms(1) unfolding wfsts'_def by blast
hence "fvst ?S ∩ bvarsest ?A = {}" using assms(2) by metis
hence "fvst S ∩ bvarsest ?A = {}" by auto
thus " $\forall S \in \text{update}_{st} \mathcal{S} ?S. \text{fv}_{st} S \cap \text{bvars}_{est} ?A = \{\}$ " by (metis * S)

have **: " $\forall S \in \mathcal{S}. \text{fv}_{est} ?A \cap \text{bvars}_{st} S = \{\}$ "
    using 5(1,2) assms(1) unfolding wfsts'_def by fast
hence "fvest ?A ∩ bvarsst ?S = {}" using assms(2) by metis
hence "fvest ?A ∩ bvarsst S = {}" by auto

```

```

thus " $\forall S \in \text{update}_{st} \mathcal{S} \ ?S. \ \text{fv}_{est} \ ?A \cap \text{bvars}_{st} S = \{\}$ " by (metis ** S)
qed

private lemma  $\text{trms}_{st\_update}_{st\_eq}$ :
assumes "x#S ∈  $\mathcal{S}$ "
shows " $\bigcup (\text{trms}_{st} \setminus \text{update}_{st} \mathcal{S} (x#S)) \cup \text{trms}_{stp} x = \bigcup (\text{trms}_{st} \setminus \mathcal{S})$ " (is "?A = ?B")
proof
show "?B ⊆ ?A"
proof
have " $\text{trms}_{stp} x \subseteq \text{trms}_{st} (x#S)$ " by auto
hence " $\bigwedge t'. t' \in ?B \implies t' \in \text{trms}_{stp} x \implies t' \in ?A$ " by simp
moreover {
fix t' assume t': "t' ∈ ?B" "t' ∉  $\text{trms}_{stp} x$ "
then obtain S' where S': "t' ∈  $\text{trms}_{st} S'$ " "S' ∈  $\mathcal{S}$ " by auto
hence " $S' = x#S \vee S' \in \text{update}_{st} \mathcal{S} (x#S)$ " by auto
moreover {
assume "S' = x#S"
hence "t' ∈  $\text{trms}_{st} S$ " using S' t' by simp
hence "t' ∈ ?A" by auto
}
ultimately have "t' ∈ ?A" using t' S' by auto
}
ultimately show " $\bigwedge t'. t' \in ?B \implies t' \in ?A$ " by metis
qed

show "?A ⊆ ?B"
proof
have " $\bigwedge t'. t' \in ?A \implies t' \in \text{trms}_{stp} x \implies \text{trms}_{stp} x \subseteq ?B$ "
using assms by force+
moreover {
fix t' assume t': "t' ∈ ?A" "t' ∉  $\text{trms}_{stp} x$ "
then obtain S' where "t' ∈  $\text{trms}_{st} S'$ " "S' ∈  $\text{update}_{st} \mathcal{S} (x#S)$ " by auto
hence " $S' = S \vee S' \in \mathcal{S}$ " by auto
moreover have " $\text{trms}_{st} S \subseteq ?B$ " using assms  $\text{trms}_{st\_cons}[\text{of } x \ S]$  by blast
ultimately have "t' ∈ ?B" using t' by fastforce
}
ultimately show " $\bigwedge t'. t' \in ?A \implies t' \in ?B$ " by blast
qed
qed

private lemma  $\text{trms}_{st\_update}_{st\_eq\_snd}$ :
assumes "send⟨ts⟩_{st}#S ∈  $\mathcal{S}$ " " $\mathcal{S}' = \text{update}_{st} \mathcal{S} (\text{send}(ts)_{st}#S)$ " " $\mathcal{A}' = \mathcal{A} @ [\text{Step} (\text{receive}(ts)_{st})]$ "
shows " $(\bigcup (\text{trms}_{st} \setminus \mathcal{S})) \cup (\text{trms}_{est} \mathcal{A}) = (\bigcup (\text{trms}_{st} \setminus \mathcal{S}')) \cup (\text{trms}_{est} \mathcal{A}')$ "
proof -
have " $(\text{trms}_{est} \mathcal{A}') = (\text{trms}_{est} \mathcal{A}) \cup \text{set } ts$ " " $\bigcup (\text{trms}_{st} \setminus \mathcal{S}') \cup \text{set } ts = \bigcup (\text{trms}_{st} \setminus \mathcal{S})$ "
using to_st_append  $\text{trms}_{st\_update}_{st\_eq}[\text{OF assms}(1)]$  assms(2,3) by auto
thus ?thesis
by (metis (no_types, lifting) Un_commute Un_left_commute)
qed

private lemma  $\text{trms}_{st\_update}_{st\_eq\_rcv}$ :
assumes "receive⟨ts⟩_{st}#S ∈  $\mathcal{S}$ " " $\mathcal{S}' = \text{update}_{st} \mathcal{S} (\text{receive}(ts)_{st}#S)$ " " $\mathcal{A}' = \mathcal{A} @ [\text{Step} (\text{send}(ts)_{st})]$ "
shows " $(\bigcup (\text{trms}_{st} \setminus \mathcal{S})) \cup (\text{trms}_{est} \mathcal{A}) = (\bigcup (\text{trms}_{st} \setminus \mathcal{S}')) \cup (\text{trms}_{est} \mathcal{A}')$ "
proof -
have " $(\text{trms}_{est} \mathcal{A}') = (\text{trms}_{est} \mathcal{A}) \cup \text{set } ts$ " " $\bigcup (\text{trms}_{st} \setminus \mathcal{S}') \cup \text{set } ts = \bigcup (\text{trms}_{st} \setminus \mathcal{S})$ "
using to_st_append  $\text{trms}_{st\_update}_{st\_eq}[\text{OF assms}(1)]$  assms(2,3) by auto
thus ?thesis
by (metis (no_types, lifting) Un_commute Un_left_commute)
qed

private lemma  $\text{trms}_{st\_update}_{st\_eq\_eq}$ :
assumes " $\langle a: t \doteq t' \rangle_{st}#S \in \mathcal{S}$ " " $\mathcal{S}' = \text{update}_{st} \mathcal{S} (\langle a: t \doteq t' \rangle_{st}#S)$ " " $\mathcal{A}' = \mathcal{A} @ [\text{Step} (\langle a: t \doteq t' \rangle_{st})]$ "
shows " $(\bigcup (\text{trms}_{st} \setminus \mathcal{S})) \cup (\text{trms}_{est} \mathcal{A}) = (\bigcup (\text{trms}_{st} \setminus \mathcal{S}')) \cup (\text{trms}_{est} \mathcal{A}')$ "

```

```

proof -
have "(trmsest A') = (trmsest A) ∪ {t, t'}" "⋃(trmsst ` S') ∪ {t, t'} = ⋃(trmsst ` S)"
  using to_st_append trmsst_updatest_eq[OF assms(1)] assms(2,3) by auto
thus ?thesis
  by (metis (no_types, lifting) Un_insert_left Un_insert_right sup_bot.right_neutral)
qed

private lemma trmsst_updatest_eq_ineq:
assumes "∀X⟨V ≠: F⟩st#S ∈ S" "S' = updatest S (∀X⟨V ≠: F⟩st#S)" "A' = A@[Step (∀X⟨V ≠: F⟩st)]"
shows "(⋃(trmsst ` S)) ∪ (trmsest A) = (⋃(trmsst ` S')) ∪ (trmsest A')"
proof -
have "(trmsest A') = (trmsest A) ∪ trmspairs F" "⋃(trmsst ` S') ∪ trmspairs F = ⋃(trmsst ` S)"
  using to_st_append trmsst_updatest_eq[OF assms(1)] assms(2,3) by auto
thus ?thesis by (simp add: Un_commute sup_left_commute)
qed

private lemma ikst_updatest_subset:
assumes "x#S ∈ S"
shows "⋃(ikst ` dualst ` (updatest S (x#S))) ⊆ ⋃(ikst ` dualst ` S)" (is ?A)
  "⋃(assignment_rhsst ` (updatest S (x#S))) ⊆ ⋃(assignment_rhsst ` S)" (is ?B)
proof -
{ fix t assume "t ∈ ⋃(ikst ` dualst ` (updatest S (x#S)))"
  then obtain S' where "S' ∈ updatest S (x#S)" "t ∈ ikst (dualst S')" by auto

  have *: "ikst (dualst S) ⊆ ikst (dualst (x#S))"
    using ik_append[of "dualst [x]" "dualst S"] dualst_append[of "[x]" S]
    by auto

  hence "t ∈ ⋃(ikst ` dualst ` S)"
  proof (cases "S' = S")
    case True thus ?thesis using * assms S' by auto
  next
    case False thus ?thesis using S' by auto
  qed
}
moreover
{ fix t assume "t ∈ ⋃(assignment_rhsst ` (updatest S (x#S)))"
  then obtain S' where "S' ∈ updatest S (x#S)" "t ∈ assignment_rhsst S'" by auto

  have "assignment_rhsst S ⊆ assignment_rhsst (x#S)"
    using assignment_rhs_append[of "[x]" S] by simp
  hence "t ∈ ⋃(assignment_rhsst ` S)"
    using assms S' by (cases "S' = S") auto
}
ultimately show ?A ?B by (metis subsetI)+
qed

private lemma ikst_updatest_subset_snd:
assumes "send(ts)st#S ∈ S"
  "S' = updatest S (send(ts)st#S)"
  "A' = A@[Step (receive(ts)st)]"
shows "(⋃(ikst ` dualst ` S')) ∪ (ikest A') ⊆
  (⋃(ikst ` dualst ` S)) ∪ (ikest A)" (is ?A)
  "(⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A') ⊆
  (⋃(assignment_rhsst ` S)) ∪ (assignment_rhsest A)" (is ?B)
proof -
{ fix t' assume t'_in: "t' ∈ (⋃(ikst ` dualst ` S')) ∪ (ikest A')"
  hence "t' ∈ (⋃(ikst ` dualst ` S')) ∪ (ikest A) ∪ set ts" using assms ikest_append by auto
  moreover have "set ts ⊆ ⋃(ikst ` dualst ` S)" using assms(1) by force
  ultimately have "t' ∈ (⋃(ikst ` dualst ` S)) ∪ (ikest A)"
    using ikst_updatest_subset[OF assms(1)] assms(2) by auto
}
moreover

```

```

{ fix t' assume t'_in: "t' ∈ (⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A')"
  hence "t' ∈ (⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A)"
    using assms assignment_rhsest_append by auto
  hence "t' ∈ (⋃(assignment_rhsst ` S)) ∪ (assignment_rhsest A)"
    using ikst_updatest_subset[OF assms(1)] assms(2) by auto
}
ultimately show ?A ?B by (metis subsetI)+
qed

private lemma ikst_updatest_subset_rcv:
assumes "receive(t)st#S ∈ S"
  "S' = updatest S (receive(t)st#S)"
  "A' = A@[Step (send(t)st)]"
shows "(⋃(ikst ` dualst ` S')) ∪ (ikest A') ⊆
  (⋃(ikst ` dualst ` S)) ∪ (ikest A)" (is ?A)
  "(⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A') ⊆
  (⋃(assignment_rhsst ` S)) ∪ (assignment_rhsest A)" (is ?B)
proof -
{ fix t' assume t'_in: "t' ∈ (⋃(ikst ` dualst ` S')) ∪ (ikest A')"
  hence "t' ∈ (⋃(ikst ` dualst ` S')) ∪ (ikest A)" using assms ikest_append by auto
  hence "t' ∈ (⋃(ikst ` dualst ` S)) ∪ (ikest A)"
    using ikst_updatest_subset[OF assms(1)] assms(2) by auto
}
moreover
{ fix t' assume t'_in: "t' ∈ (⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A')"
  hence "t' ∈ (⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A)"
    using assms assignment_rhsest_append by auto
  hence "t' ∈ (⋃(assignment_rhsst ` S)) ∪ (assignment_rhsest A)"
    using ikst_updatest_subset[OF assms(1)] assms(2) by auto
}
ultimately show ?A ?B by (metis subsetI)+
qed

private lemma ikst_updatest_subset_eq:
assumes " $\langle a: t \doteq t' \rangle_{st}#S \in S$ "
  "S' = updatest S ( $\langle a: t \doteq t' \rangle_{st}#S$ )"
  "A' = A@[Step ( $\langle a: t \doteq t' \rangle_{st}$ )]"
shows "(⋃(ikst ` dualst ` S')) ∪ (ikest A') ⊆
  (⋃(ikst ` dualst ` S)) ∪ (ikest A)" (is ?A)
  "(⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A') ⊆
  (⋃(assignment_rhsst ` S)) ∪ (assignment_rhsest A)" (is ?B)
proof -
have 1: "t' ∈ (⋃(ikst ` dualst ` S)) ∪ (ikest A)"
when "t' ∈ (⋃(ikst ` dualst ` S')) ∪ (ikest A')"
for t'
proof -
have "t' ∈ (⋃(ikst ` dualst ` S)) ∪ (ikest A)" using that assms ikest_append by auto
thus ?thesis using ikst_updatest_subset[OF assms(1)] assms(2) by auto
qed

have 2: "t'' ∈ (⋃(assignment_rhsst ` S)) ∪ (assignment_rhsest A)"
when "t'' ∈ (⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A'" "a = Assign"
for t''
proof -
have "t'' ∈ (⋃(assignment_rhsst ` S')) ∪ (assignment_rhsest A) ∪ {t'}"
using that assms assignment_rhsest_append by auto
moreover have "t' ∈ ⋃(assignment_rhsst ` S)" using assms(1) that by force
ultimately show ?thesis using ikst_updatest_subset[OF assms(1)] assms(2) that by auto
qed

have 3: "assignment_rhsest A' = assignment_rhsest A" (is ?C)
  "(⋃(assignment_rhsst ` S')) ⊆ (⋃(assignment_rhsst ` S))" (is ?D)
when "a = Check"

```

```

proof -
show ?C using that assms(2,3) by (simp add: assignment_rhsest_append)
show ?D using assms(1,2,3) ikst_updatest_subset(2) by auto
qed

show ?A using 1 2 by (metis subsetI)
show ?B using 1 2 3 by (cases a) blast+
qed

private lemma ikst_updatest_subset_ineq:
assumes " $\forall X \langle \forall F : F \rangle_{st} \# S \in \mathcal{S}$ "
shows " $\mathcal{S}' = update_{st} \mathcal{S} (\forall X \langle \forall F : F \rangle_{st} \# S)$ " (is ?A)
" $\mathcal{A}' = \mathcal{A} @ [Step (\forall X \langle \forall F : F \rangle_{st})]$ " (is ?B)
shows "( $\bigcup (ikst \cdot dual_{st} \cdot \mathcal{S}')$ ) \cup (ikest \mathcal{A}') \subseteq
( $\bigcup (ikst \cdot dual_{st} \cdot \mathcal{S})$ ) \cup (ikest \mathcal{A})" (is ?A)
"( $\bigcup (assignment\_rhs_{st} \cdot \mathcal{S}')$ ) \cup (assignment_rhsest \mathcal{A}') \subseteq
( $\bigcup (assignment\_rhs_{st} \cdot \mathcal{S})$ ) \cup (assignment_rhsest \mathcal{A})" (is ?B)

proof -
{ fix t' assume t'_in: "t' \in ( $\bigcup (ikst \cdot dual_{st} \cdot \mathcal{S}')$ ) \cup (ikest \mathcal{A}')" "
hence "t' \in ( $\bigcup (ikst \cdot dual_{st} \cdot \mathcal{S}')$ ) \cup (ikest \mathcal{A})" using assms ikest_append by auto
hence "t' \in ( $\bigcup (ikst \cdot dual_{st} \cdot \mathcal{S})$ ) \cup (ikest \mathcal{A})"
using ikst_updatest_subset[OF assms(1)] assms(2) by auto
}
moreover
{ fix t' assume t'_in: "t' \in ( $\bigcup (assignment\_rhs_{st} \cdot \mathcal{S}')$ ) \cup (assignment_rhsest \mathcal{A}')" "
hence "t' \in ( $\bigcup (assignment\_rhs_{st} \cdot \mathcal{S}')$ ) \cup (assignment_rhsest \mathcal{A})" using assms assignment_rhsest_append by auto
hence "t' \in ( $\bigcup (assignment\_rhs_{st} \cdot \mathcal{S})$ ) \cup (assignment_rhsest \mathcal{A})"
using ikst_updatest_subset[OF assms(1)] assms(2) by auto
}
ultimately show ?A ?B by (metis subsetI)+
qed

```

## Transition Systems Definitions

```

inductive pts_symbolic::
"((fun, var) strands \times (fun, var) strand) \Rightarrow
 ((fun, var) strands \times (fun, var) strand) \Rightarrow bool"
(infix <=>• 50) where
Nil[simp]: "[] \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet} (update_{st} \mathcal{S} [], \mathcal{A})"
| Send[simp]: "send(t)_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet} (update_{st} \mathcal{S} (send(t)_{st} \# S), \mathcal{A} @ [receive(t)_{st}])"
| Receive[simp]: "receive(t)_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet} (update_{st} \mathcal{S} (receive(t)_{st} \# S), \mathcal{A} @ [send(t)_{st}])"
| Equality[simp]: " $\langle a : t \doteq t' \rangle_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet} (update_{st} \mathcal{S} (\langle a : t \doteq t' \rangle_{st} \# S), \mathcal{A} @ [\langle a : t \doteq t' \rangle_{st}])$ "
| Inequality[simp]: " $\forall X \langle \forall F : F \rangle_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet} (update_{st} \mathcal{S} (\forall X \langle \forall F : F \rangle_{st} \# S), \mathcal{A} @ [\forall X \langle \forall F : F \rangle_{st}])$ "

private inductive pts_symbolic_c::
"((fun, var) strands \times (fun, var) extstrand) \Rightarrow
 ((fun, var) strands \times (fun, var) extstrand) \Rightarrow bool"
(infix <=>•c 50) where
Nil[simp]: "[] \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet}_c (update_{st} \mathcal{S} [], \mathcal{A})"
| Send[simp]: "send(t)_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet}_c (update_{st} \mathcal{S} (send(t)_{st} \# S), \mathcal{A} @ [Step (receive(t)_{st})])"
| Receive[simp]: "receive(t)_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet}_c (update_{st} \mathcal{S} (receive(t)_{st} \# S), \mathcal{A} @ [Step (send(t)_{st})])"
| Equality[simp]: " $\langle a : t \doteq t' \rangle_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet}_c (update_{st} \mathcal{S} (\langle a : t \doteq t' \rangle_{st} \# S), \mathcal{A} @ [Step (\langle a : t \doteq t' \rangle_{st})])$ "
| Inequality[simp]: " $\forall X \langle \forall F : F \rangle_{st} \# S \in \mathcal{S} \Rightarrow (\mathcal{S}, \mathcal{A}) \Rightarrow^{\bullet}_c (update_{st} \mathcal{S} (\forall X \langle \forall F : F \rangle_{st} \# S), \mathcal{A} @ [Step (\forall X \langle \forall F : F \rangle_{st})])$ "
| Decompose[simp]: "Fun f T \in subterms_{set} (ikest \mathcal{A} \cup assignment_rhsest \mathcal{A}) \Rightarrow^{\bullet}_c (\mathcal{S}, \mathcal{A} @ [Decomp (Fun f T)])"

abbreviation pts_symbolic_rtranc1 (infix <=>•* 50) where "a =>•* b \equiv pts_symbolic** a b"
private abbreviation pts_symbolic_c_rtranc1 (infix <=>•c* 50) where "a =>•c* b \equiv pts_symbolic_c** a b"

```

```

b"

lemma pts_symbolic_induct[consumes 1, case_names Nil Send Receive Equality Inequality]:
assumes "(S,A) ⇒• (S',A')"
and "[] ∈ S; S' = updatest S []; A' = A] ⇒ P"
and "∀t. [send(t)st#S ∈ S; S' = updatest S (send(t)st#S); A' = A@[receive(t)st]]] ⇒ P"
and "∀t. [receive(t)st#S ∈ S; S' = updatest S (receive(t)st#S); A' = A@[send(t)st]]] ⇒ P"
and "∀a t t'. [(a: t ≈ t')st#S ∈ S; S' = updatest S ((a: t ≈ t')st#S); A' = A@[(a: t ≈ t')st]]]
⇒ P"
and "∀X F S. [∀X⟨V ≠: F⟩st#S ∈ S; S' = updatest S (∀X⟨V ≠: F⟩st#S); A' = A@[∀X⟨V ≠: F⟩st]]] ⇒ P"
shows "P"
apply (rule pts_symbolic.cases[OF assms(1)])
using assms(2,3,4,5,6) by simp_all

private lemma pts_symbolic_c_induct[consumes 1, case_names Nil Send Receive Equality Inequality Decompose]:
assumes "(S,A) ⇒•c (S',A')"
and "[] ∈ S; S' = updatest S []; A' = A] ⇒ P"
and "∀t. [send(t)st#S ∈ S; S' = updatest S (send(t)st#S); A' = A@[Step (receive(t)st)]]] ⇒ P"
and "∀t. [receive(t)st#S ∈ S; S' = updatest S (receive(t)st#S); A' = A@[Step (send(t)st)]]] ⇒ P"
and "∀a t t'. [(a: t ≈ t')st#S ∈ S; S' = updatest S ((a: t ≈ t')st#S); A' = A@[Step ((a: t ≈ t')st)]]] ⇒ P"
and "∀X F S. [∀X⟨V ≠: F⟩st#S ∈ S; S' = updatest S (∀X⟨V ≠: F⟩st#S); A' = A@[Step (∀X⟨V ≠: F⟩st)]]] ⇒ P"
shows "P"
apply (rule pts_symbolic_c.cases[OF assms(1)])
using assms(2,3,4,5,6,7) by simp_all

private lemma pts_symbolic_c_preserves_wf_prot:
assumes "(S,A) ⇒•c* (S',A')" "wfsts' S A"
shows "wfsts' S' A'"
using assms
proof (induction rule: rtranclp_induct2)
case (step S1 A1 S2 A2)
from step.hyps(2) step.IH[OF step.prems] show ?case
proof (induction rule: pts_symbolic_c_induct)
case Decompose
hence "fvest A2 = fvest A1" "bvarsest A2 = bvarsest A1"
using bvars_decomp ik_assignment_rhs_decomp_fv by metis+
thus ?case using Decompose unfolding wfsts'_def
by (metis wf_vars_mono wf_restrictedvarsest_split(2))
qed (metis wfsts'_updatest_nil, metis wfsts'_updatest_snd,
metis wfsts'_updatest_rcv, metis wfsts'_updatest_eq,
metis wfsts'_updatest_ineq)
qed metis

private lemma pts_symbolic_c_preserves_wf_is:
assumes "(S,A) ⇒•c* (S',A')" "wfsts' S A" "wfst V (to_st A)"
shows "wfst V (to_st A')"
using assms
proof (induction rule: rtranclp_induct2)
case (step S1 A1 S2 A2)
hence "(S, A) ⇒•c* (S2, A2)" by auto
hence *: "wfsts' S1 A1" "wfsts' S2 A2"
using pts_symbolic_c_preserves_wf_prot[OF _ step.prems(1)] step.hyps(1)
by auto

from step.hyps(2) step.IH[OF step.prems] show ?case
proof (induction rule: pts_symbolic_c_induct)
case Nil thus ?case by auto
next

```

```

case (Send ts S)
hence "wfst (wfrestrictedvarsest A1) (receive⟨ts⟩st#(dualst S))"
  using *(1) unfolding wfsts'_def by fastforce
hence "fvset (set ts) ⊆ wfrestrictedvarsst (tost A1) ∪ V"
  using wfrestrictedvarsest_eq_wfrestrictedvarsst by auto
thus ?case using Send wf_rcv_append''' tost_append by simp
next
  case (Receive ts) thus ?case using wf_snd_append tost_append by simp
next
  case (Equality a t t' S)
  hence "wfst (wfrestrictedvarsest A1) ((a: t = t')st#(dualst S))"
    using *(1) unfolding wfsts'_def by fastforce
  hence "fv t' ⊆ wfrestrictedvarsst (tost A1) ∪ V" when "a = Assign"
    using wfrestrictedvarsest_eq_wfrestrictedvarsst that by auto
  thus ?case using Equality wf_eq_append''' tost_append by (cases a) auto
next
  case (Inequality t t' S) thus ?case using wf_ineq_append''' tost_append by simp
next
  case (Decompose f T)
  hence "fv (Fun f T) ⊆ wfrestrictedvarsest A1"
    by (metis fv_subterms_set fv_subset subset_trans
        ikst_assignment_rhsst_wfrestrictedvars_subset)
  hence "varsst (decomp (Fun f T)) ⊆ wfrestrictedvarsst (tost A1) ∪ V"
    using decomp_vars[of "Fun f T"] wfrestrictedvarsest_eq_wfrestrictedvarsst[of A1] by auto
  thus ?case
    using tost_append[of A1 "[Decomp (Fun f T)]"]
    wf_append_suffix[OF Decompose.hyps] Decompose.hyps(3)
    by (metis append_Nil2 decomp_vars(1,2) tost.simp(1,3))
qed
qed metis

private lemma pts_symbolic_c_preserves_tfrset:
assumes "(S,A) ⇒c* (S',A')"
  and "tfrset ((∪(trmsst ` S)) ∪ (trmsest A))"
  and "wftrms ((∪(trmsst ` S)) ∪ (trmsest A))"
shows "tfrset ((∪(trmsst ` S')) ∪ (trmsest A')) ∧ wftrms ((∪(trmsst ` S')) ∪ (trmsest A'))"
using assms
proof (induction rule: rtranclp_induct2)
  case (step S1 A1 S2 A2)
  from step.hyps(2) step.IH[OF step.preds] show ?case
  proof (induction rule: pts_symbolic_c_induct)
    case Nil
    hence "∪(trmsst ` S1) = ∪(trmsst ` S2)" by force
    thus ?case using Nil by metis
  next
    case (Decompose f T)
    obtain t where t: "t ∈ ikest A1 ∪ assignment_rhsest A1" "Fun f T ⊑ t"
      using Decompose.hyps(1) by auto
    have t_wf: "wftrm t"
      using Decompose.preds wf_trm_subterm[of _ t]
      trmsest_ik_assignment_rhsI[OF t(1)]
    unfolding tfrset_def
    by (metis UN_E Un_iff)
    have "t ∈ subtermsset (trmsest A1)" using trmsest_ik_assignment_rhsI t by auto
    hence "Fun f T ∈ SMP (trmsest A1)"
      by (metis (no_types) SMP_MP SMP_Subterm UN_E t(2))
    hence "{Fun f T} ⊆ SMP (trmsest A1)" using SMP_Subterm[of "Fun f T"] by auto
    moreover have "trmsest A2 = insert (Fun f T) (trmsest A1)"
      using Decompose.hyps(3) by auto
    ultimately have *: "SMP (trmsest A1) = SMP (trmsest A2)"
      using SMP_subset_union_eq[of "{Fun f T}"]
      by (simp add: Un_commute)
    hence "SMP ((∪(trmsst ` S1)) ∪ (trmsest A1)) = SMP ((∪(trmsst ` S2)) ∪ (trmsest A2))"
  qed

```

```

using Decompose.hyps(2) SMP_union by auto
moreover have " $\forall t \in \text{trms}_{\text{est}} \mathcal{A}_1. \text{wf}_{\text{trm}} t \wedge \text{wf}_{\text{trm}} (\text{Fun } f T)$ " unfolding  $\text{tfr}_{\text{set\_def}}$  by auto
using Decompose.prem  $\text{wf}_{\text{trm}} \text{subterm } t(2) \text{ } t\text{-wf}$  unfolding  $\text{tfr}_{\text{set\_def}}$  by auto
hence " $\forall t \in \text{trms}_{\text{est}} \mathcal{A}_2. \text{wf}_{\text{trm}} t$ " by (metis * SMP_MP SMP_wf_trm)
hence " $\forall t \in (\bigcup (\text{trms}_{\text{st}} \setminus S_2)) \cup (\text{trms}_{\text{est}} \mathcal{A}_2). \text{wf}_{\text{trm}} t$ " unfolding  $\text{tfr}_{\text{set\_def}}$  by force
ultimately show ?thesis using Decompose.prem unfolding  $\text{tfr}_{\text{set\_def}}$  by presburger
qed (metis  $\text{trms}_{\text{st}}\text{-update}_{\text{st}}\text{-eq}\text{-snd}$ , metis  $\text{trms}_{\text{st}}\text{-update}_{\text{st}}\text{-eq}\text{-rcv}$ ,
      metis  $\text{trms}_{\text{st}}\text{-update}_{\text{st}}\text{-eq}\text{-eq}$ , metis  $\text{trms}_{\text{st}}\text{-update}_{\text{st}}\text{-eq}\text{-ineq}$ )
qed metis

private lemma pts_symbolic_c_preserves_tfrstp:
  assumes " $(\mathcal{S}, \mathcal{A}) \Rightarrow_c^* (\mathcal{S}', \mathcal{A}')$ " " $\forall S \in \mathcal{S} \cup \{\text{to\_st } \mathcal{A}\}. \text{list\_all } \text{tfr}_{\text{stp}} S$ "
  shows " $\forall S \in \mathcal{S}' \cup \{\text{to\_st } \mathcal{A}'\}. \text{list\_all } \text{tfr}_{\text{stp}} S$ "
using assms
proof (induction rule: rtranclp_induct2)
  case (step S1 A1 S2 A2)
  from step.hyps(2) step.IH[OF step.prem] show ?case
  proof (induction rule: pts_symbolic_c_induct)
    case Nil
    have 1: " $\forall S \in \{\text{to\_st } \mathcal{A}_2\}. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Nil by simp
    have 2: " $S_2 = S_1 - \{\langle \rangle\}$ " " $\forall S \in S_1. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Nil by simp_all
    have " $\forall S \in S_2. \text{list\_all } \text{tfr}_{\text{stp}} S$ " proof
      fix S assume "S ∈ S2"
      hence "S ∈ S1" using 2(1) by simp
      thus "list_all tfrstp S" using 2(2) by simp
    qed
    thus ?case using 1 by auto
  next
    case (Send t S)
    have 1: " $\forall S \in \{\text{to\_st } \mathcal{A}_2\}. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Send by (simp add: to_st_append)
    have 2: " $S_2 = \text{insert } S (S_1 - \{\langle \rangle\})$ " " $\forall S \in S_1. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Send by simp_all
    have 3: " $\forall S \in S_2. \text{list\_all } \text{tfr}_{\text{stp}} S$ " proof
      fix S' assume "S' ∈ S2"
      hence "S' ∈ S1 ∨ S' = S" using 2(1) by auto
      moreover have "list_all tfrstp S" using Send.hyps 2(2) by auto
      ultimately show "list_all tfrstp S'" using 2(2) by blast
    qed
    thus ?case using 1 by auto
  next
    case (Receive t S)
    have 1: " $\forall S \in \{\text{to\_st } \mathcal{A}_2\}. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Receive by (simp add: to_st_append)
    have 2: " $S_2 = \text{insert } S (S_1 - \{\langle \rangle\})$ " " $\forall S \in S_1. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Receive by simp_all
    have 3: " $\forall S \in S_2. \text{list\_all } \text{tfr}_{\text{stp}} S$ " proof
      fix S' assume "S' ∈ S2"
      hence "S' ∈ S1 ∨ S' = S" using 2(1) by auto
      moreover have "list_all tfrstp S" using Receive.hyps 2(2) by auto
      ultimately show "list_all tfrstp S'" using 2(2) by blast
    qed
    show ?case using 1 3 by auto
  next
    case (Equality a t t' S)
    have 1: " $\text{to\_st } \mathcal{A}_2 = \text{to\_st } \mathcal{A}_1 @ [\langle a: t \doteq t' \rangle_{\text{st}}]$ " " $\text{list\_all } \text{tfr}_{\text{stp}} (\text{to\_st } \mathcal{A}_1)$ " using Equality by (simp_all add: to_st_append)
    have 2: " $\text{list\_all } \text{tfr}_{\text{stp}} [\langle a: t \doteq t' \rangle_{\text{st}}]$ " using Equality by fastforce
    have 3: " $\text{list\_all } \text{tfr}_{\text{stp}} (\text{to\_st } \mathcal{A}_2)" using tfrstp_all_append[of "to_st A1" "[⟨a: t ≈ t'⟩st]"] 1 2 by metis
    hence 4: " $\forall S \in \{\text{to\_st } \mathcal{A}_2\}. \text{list\_all } \text{tfr}_{\text{stp}} S$ " using Equality by simp
    have 5: " $S_2 = \text{insert } S (S_1 - \{\langle a: t \doteq t' \rangle_{\text{st}}\})$ " " $\forall S \in S_1. \text{list\_all } \text{tfr}_{\text{stp}} S$ "$ 
```

```

using Equality by simp_all
have 6: " $\forall S \in S2. \text{list\_all tfr}_{stp} S$ "
proof
fix  $S'$  assume " $S' \in S2$ "
hence " $S' \in S1 \vee S' = S$ " using 5(1) by auto
moreover have " $\text{list\_all tfr}_{stp} S$ " using Equality.hyps 5(2) by auto
ultimately show " $\text{list\_all tfr}_{stp} S'$ " using 5(2) by blast
qed
thus ?case using 4 by auto
next
case (Inequality  $X F S$ )
have 1: " $\text{to\_st } A2 = \text{to\_st } A1 @ [\forall X \langle \neq : F \rangle_{st}]$ " " $\text{list\_all tfr}_{stp} (\text{to\_st } A1)$ "
  using Inequality by (simp_all add: to_st_append)
have " $\text{list\_all tfr}_{stp} (\forall X \langle \neq : F \rangle_{st} \# S)$ " using Inequality(1,4) by blast
hence 2: " $\text{list\_all tfr}_{stp} [\forall X \langle \neq : F \rangle_{st}]$ " by simp
have 3: " $\text{list\_all tfr}_{stp} (\text{to\_st } A2)$ "
  using tfr_stp_all_append[of "to_st A1" "[\forall X \langle \neq : F \rangle_{st}]" 1 2] by metis
hence 4: " $\forall S \in \{\text{to\_st } A2\}. \text{list\_all tfr}_{stp} S$ " using Inequality by simp
have 5: " $S2 = \text{insert } S (S1 - \{\forall X \langle \neq : F \rangle_{st} \# S\})$ " " $\forall S \in S1. \text{list\_all tfr}_{stp} S$ "
  using Inequality by simp_all
have 6: " $\forall S \in S2. \text{list\_all tfr}_{stp} S$ "
proof
fix  $S'$  assume " $S' \in S2$ "
hence " $S' \in S1 \vee S' = S$ " using 5(1) by auto
moreover have " $\text{list\_all tfr}_{stp} S$ " using Inequality.hyps 5(2) by auto
ultimately show " $\text{list\_all tfr}_{stp} S'$ " using 5(2) by blast
qed
thus ?case using 4 by auto
next
case (Decompose  $f T$ )
hence 1: " $\forall S \in S2. \text{list\_all tfr}_{stp} S$ " by blast
have 2: " $\text{list\_all tfr}_{stp} (\text{to\_st } A1)$ " " $\text{list\_all tfr}_{stp} (\text{to\_st } [\text{Decomp } (\text{Fun } f T)])$ "
  using Decompose.preds decomp_tfr_stp by auto
hence " $\text{list\_all tfr}_{stp} (\text{to\_st } A1 @ \text{to\_st } [\text{Decomp } (\text{Fun } f T)])$ " by auto
hence " $\text{list\_all tfr}_{stp} (\text{to\_st } A2)$ "
  using Decompose.hyps(3) to_st_append[of A1 "[Decomp (Fun f T)]"]
  by auto
thus ?case using 1 by blast
qed
qed

```

```

private lemma pts_symbolic_c_preserves_well_analyzed:
assumes "( $S, A \Rightarrow^*_{c^*} S', A'$ )" "well_analyzed A"
shows "well_analyzed A'"
using assms
proof (induction rule: rtranclp_induct2)
case (step  $S1 A1 S2 A2$ )
from step.hyps(2) step.IH[OF step.preds] show ?case
proof (induction rule: pts_symbolic_c_induct)
case Receive thus ?case by (metis well_analyzed_singleton(1) well_analyzed_append)
next
case Send thus ?case by (metis well_analyzed_singleton(2) well_analyzed_append)
next
case Equality thus ?case by (metis well_analyzed_singleton(3) well_analyzed_append)
next
case Inequality thus ?case by (metis well_analyzed_singleton(4) well_analyzed_append)
next
case (Decompose  $f T$ )
hence "Fun f T \in \text{subterms}_{set} (\text{ik}_{est} A1 \cup \text{assignment}_{rhs}_{est} A1) - (\text{Var} \setminus V)" by auto
thus ?case by (metis well_analyzed.Decomp Decompose.preds Decompose.hyps(3))
qed simp
qed metis

```

```

private lemma pts_symbolic_c_preserves_AnA_invar_subst:
  assumes "(S,A) ⇒•c* (S',A')"
  and "Ana_invar_subst (
    (U (ikst ` dualst ` S) ∪ (ikest A)) ∪
    (U (assignment_rhsst ` S) ∪ (assignment_rhsest A)))"
  shows "Ana_invar_subst (
    (U (ikst ` dualst ` S') ∪ (ikest A')) ∪
    (U (assignment_rhsst ` S') ∪ (assignment_rhsest A')))"
using assms
proof (induction rule: rtranclp_induct2)
  case (step S1 A1 S2 A2)
  from step.hyps(2) step.IH[0F step.prems] show ?case
  proof (induction rule: pts_symbolic_c_induct)
    case Nil
    hence "U (ikst ` dualst ` S1) = U (ikst ` dualst ` S2)"
      "U (assignment_rhsst ` S1) = U (assignment_rhsst ` S2)"
      by force+
    thus ?case using Nil by metis
  next
    case Send show ?case
    using ikst_updatest_subset_snd[0F Send.hyps]
      Ana_invar_subst_subset[0F Send.prems]
    by (metis Un_mono)
  next
    case Receive show ?case
    using ikst_updatest_subset_rcv[0F Receive.hyps]
      Ana_invar_subst_subset[0F Receive.prems]
    by (metis Un_mono)
  next
    case Equality show ?case
    using ikst_updatest_subset_eq[0F Equality.hyps]
      Ana_invar_subst_subset[0F Equality.prems]
    by (metis Un_mono)
  next
    case Inequality show ?case
    using ikst_updatest_subset_ineq[0F Inequality.hyps]
      Ana_invar_subst_subset[0F Inequality.prems]
    by (metis Un_mono)
  next
    case (Decompose f T)
    let ?X = "U (assignment_rhsst ` S2) ∪ assignment_rhsest A2"
    let ?Y = "U (assignment_rhsst ` S1) ∪ assignment_rhsest A1"
    obtain K M where Ana: "Ana (Fun f T) = (K,M)" by atomize_elim auto
    hence *: "ikest A2 = ikest A1 ∪ set M" "assignment_rhsest A2 = assignment_rhsest A1"
      using ikest_append assignment_rhsest_append decompose_ik
        decompose_assignment_rhs_empty Decompose.hyps(3)
      by auto
    { fix g S assume "Fun g S ∈ subtermsset ((U (ikst ` dualst ` S2) ∪ ikest A2 ∪ ?X))"
      hence "Fun g S ∈ subtermsset ((U (ikst ` dualst ` S1) ∪ ikest A1 ∪ set M ∪ ?X))"
        using * Decompose.hyps(2) by auto
      hence "Fun g S ∈ subtermsset ((U (ikst ` dualst ` S1))
        ∨ Fun g S ∈ subtermsset (ikest A1)
        ∨ Fun g S ∈ subtermsset (set M)
        ∨ Fun g S ∈ subtermsset ((U (assignment_rhsst ` S1)))
        ∨ Fun g S ∈ subtermsset (assignment_rhsest A1))"
        using Decompose * Ana_fun_subterm[0F Ana] by auto
      moreover have "Fun f T ∈ subtermsset (ikest A1 ∪ assignment_rhsest A1)"
        using trmsest_ik_subtermsI Decompose.hyps(1) by auto
      hence "subterms (Fun f T) ⊆ subtermsset (ikest A1 ∪ assignment_rhsest A1)"
        by (metis in_subterms_subset_Union)
      hence "subtermsset (set M) ⊆ subtermsset (ikest A1 ∪ assignment_rhsest A1)"
        by (meson Un_upper2 Ana_subterm[0F Ana] subterms_subset_set psubsetE subset_trans)
      ultimately have "Fun g S ∈ subtermsset ((U (ikst ` dualst ` S1) ∪ ikest A1 ∪ ?Y))"
    }
  
```

```

    by auto
}
thus ?case using Decompose unfolding Ana_invar_subst_def by metis
qed
qed

private lemma pts_symbolic_c_preserves_constr_disj_vars:
  assumes "(S,A) ⇒•c (S',A')" "wfsts' S A" "fvest A ∩ bvarsest A = {}"
  shows "fvest A' ∩ bvarsest A' = {}"
using assms
proof (induction rule: rtranclp_induct2)
  case (step S1 A1 S2 A2)
  have *: "¬S. S ∈ S1 ⇒ fvst S ∩ bvarsest A1 = {}" "¬S. S ∈ S1 ⇒ fvest A1 ∩ bvarsst S = {}"
    using pts_symbolic_c_preserves_wf_prot[OF step.hyps(1) step.prems(1)]
    unfolding wfsts'_def by auto
  from step.hyps(2) step.IH[OF step.prems]
  show ?case
  proof (induction rule: pts_symbolic_c_induct)
    case Nil thus ?case by auto
  next
    case (Send ts S)
    hence "fvest A2 = fvest A1 ∪ fvset (set ts)" "bvarsest A2 = bvarsest A1"
      "fvst (send⟨ts⟩st#S) = fvset (set ts) ∪ fvst S"
      using fvest_append bvarsest_append by simp+
    thus ?case using *(1)[OF Send(1)] Send(4) by auto
  next
    case (Receive ts S)
    hence "fvest A2 = fvest A1 ∪ fvset (set ts)" "bvarsest A2 = bvarsest A1"
      "fvst (receive⟨ts⟩st#S) = fvset (set ts) ∪ fvst S"
      using fvest_append bvarsest_append by simp+
    thus ?case using *(1)[OF Receive(1)] Receive(4) by auto
  next
    case (Equality a t t' S)
    hence "fvest A2 = fvest A1 ∪ fv t ∪ fv t'" "bvarsest A2 = bvarsest A1"
      "fvst ((a: t ≡ t')st#S) = fv t ∪ fv t' ∪ fvst S"
      using fvest_append bvarsest_append by fastforce+
    thus ?case using *(1)[OF Equality(1)] Equality(4) by auto
  next
    case (Inequality X F S)
    hence "fvest A2 = fvest A1 ∪ (fvpairs F - set X)" "bvarsest A2 = bvarsest A1 ∪ set X"
      "fvst (∀X⟨\Vdash F⟩st#S) = (fvpairs F - set X) ∪ fvst S"
      using fvest_append bvarsest_append strand_vars_split(3)[of "¬X⟨\Vdash F⟩st" S]
      by auto+
    moreover have "fvest A1 ∩ set X = {}" using *(2)[OF Inequality(1)] by auto
    ultimately show ?case using *(1)[OF Inequality(1)] Inequality(4) by auto
  next
    case (Decompose f T)
    thus ?case
      using Decompose(3,4) bvars_decomp ik_assignment_rhs_decomp_fv[OF Decompose(1)] by auto
  qed
qed

```

### Theorem: The Typing Result Lifted to the Transition System Level

```

private lemma wfsts'_decomp_rm:
  assumes "well_analyzed A" "wfsts' S (decomp_rmest A)" shows "wfsts' S A"
  unfolding wfsts'_def
proof (intro conjI)
  show "¬S. wfst (wfrestrictedvarsest A) (dualst S)"
    by (metis (no_types) assms(2) wfsts'_def wfrestrictedvarsest_decomp_rmest_subset
        wf_vars_mono le_iff_sup)
  show "¬S. ∀S'. wfst S ∩ bvarsst S' = {}" by (metis assms(2) wfsts'_def)

```

```

show " $\forall S \in S. \text{fv}_{st} S \cap \text{bvars}_{est} A = \{\}$ " by (metis assms(2) wfsts'_def bvars_decomp_rm)
show " $\forall S \in S. \text{fv}_{est} A \cap \text{bvars}_{st} S = \{\}$ " by (metis assms wfsts'_def well_analyzed_decomp_rmest_fv)
qed

private lemma decomppts_symbolic_c:
  assumes "D ∈ decomp_{est} (ik_{est} A) (assignment_rhs_{est} A) I"
  shows "(S, A) ⇒_c^* (S, A ⊕ D)"
using assms(1)
proof (induction D rule: decomp_{est}.induct)
  case (Decomp B f X K T)
  have "subterms_{set} (ik_{est} A ∪ assignment_rhs_{est} A) ⊆
    subterms_{set} (ik_{est} (A ⊕ B) ∪ assignment_rhs_{est} (A ⊕ B))"
    using ik_{est}_append[of A B] assignment_rhs_{est}_append[of A B]
    by auto
  hence "Fun f X ∈ subterms_{set} (ik_{est} (A ⊕ B) ∪ assignment_rhs_{est} (A ⊕ B))" using Decomp.hyps by auto
  hence "(S, A ⊕ B) ⇒_c^* (S, A ⊕ B @ [Decomp (Fun f X)])"
    using pts_symbolic_c.Decompose[of f X "A ⊕ B"]
    by simp
  thus ?case
    using Decomp.IH rtrancl_into_rtrancl
      rtranclp_rtrancl_eq[of pts_symbolic_c "(S, A)" "(S, A ⊕ B)"]
    by auto
qed simp

private lemma pts_symbolic_to_pts_symbolic_c:
  assumes "(S, to_st (decomp_rm_{est} A_d)) ⇒_c^* (S', A')" "sem_{est\_d} {} I (to_est A')" "sem_{est\_c} {} I A_d"
  and wf: "wfsts' S (decomp_rm_{est} A_d)" "wf_{est} {} A_d"
  and tar: "Ana_invar_subst ((\bigcup (ik_{st} ` dual_{st} ` S) ∪ (ik_{est} A_d))
    ∪ (\bigcup (assignment_rhs_{st} ` S) ∪ (assignment_rhs_{est} A_d)))"
  and wa: "well_analyzed A_d"
  and I: "interpretation_{subst} I"
  shows "∃ A_d'. A' = to_st (decomp_rm_{est} A_d') ∧ (S, A_d) ⇒_c^* (S', A_d') ∧ sem_{est\_c} {} I A_d'"
using assms(1,2)
proof (induction rule: rtranclp_induct2)
  case refl thus ?case using assms by auto
next
  case (step S1 A1 S2 A2)
  have "sem_{est\_d} {} I (to_est A1)" using step.hyps(2) step.prems
    by (induct rule: pts_symbolic_induct, metis, (metis sem_{est\_d}_split_left to_est_append)+)
  then obtain A1d where
    A1d: "A1 = to_st (decomp_rm_{est} A1d)" "(S, A_d) ⇒_c^* (S1, A1d)" "sem_{est\_c} {} I A1d"
    using step.IH by atomize_elim auto

  show ?case using step.hyps(2)
  proof (induction rule: pts_symbolic_induct)
    case Nil
    hence "(S, A_d) ⇒_c^* (S2, A1d)" using A1d pts_symbolic_c.Nil[OF Nil.hyps(1), of A1d] by simp
    thus ?case using A1d Nil by auto
  next
    case (Send t S)
    hence "sem_{est\_c} {} I (A1d @ [Step (receive(t)_{st})])" using sem_{est\_c}.Receive[OF A1d(3)] by simp
    moreover have "(S1, A1d) ⇒_c^* (S2, A1d @ [Step (receive(t)_{st})])"
      using Send.hyps(2) pts_symbolic_c.Send[OF Send.hyps(1), of A1d] by simp
    moreover have "to_st (decomp_rm_{est} (A1d @ [Step (receive(t)_{st})])) = A2"
      using Send.hyps(3) decomp_rm_{est}_append A1d(1) by (simp add: to_st_append)
    ultimately show ?case using A1d(2) by auto
  next
    case (Equality a t t' S)
    hence "t · I = t' · I"
      using step.prems sem_{est\_d}_eq_sem_{est}[of "{}" I "to_est A2"]
        to_st_append to_est_append to_st_to_est_inv

```

```

by auto
hence "semest_c {} I (A1d@[Step ((a: t ≡ t')st)])" using semest_c.Equality[OF A1d(3)] by simp
moreover have "(S1, A1d) ⇒*_c (S2, A1d@[Step ((a: t ≡ t')st)])"
  using Equality.hyps(2) pts_symbolic_c.Equality[OF Equality.hyps(1), of A1d] by simp
moreover have "to_st (decomp_rmest (A1d@[Step ((a: t ≡ t')st)])) = A2"
  using Equality.hyps(3) decomp_rmest_append A1d(1) by (simp add: to_st_append)
ultimately show ?case using A1d(2) by auto
next
  case (Inequality X F S)
  hence "ineq_model I X F"
    using step.prems semest_d_eq_sem_st[of "{}" I "to_est A2"]
      to_st_append to_est_append to_st_to_est_inv
    by auto
  hence "semest_c {} I (A1d@[Step (∀X⟨≠: F⟩st)])" using semest_c.Inequality[OF A1d(3)] by simp
  moreover have "(S1, A1d) ⇒*_c (S2, A1d@[Step (∀X⟨≠: F⟩st)])"
    using Inequality.hyps(2) pts_symbolic_c.Inequality[OF Inequality.hyps(1), of A1d] by simp
  moreover have "to_st (decomp_rmest (A1d@[Step (∀X⟨≠: F⟩st)])) = A2"
    using Inequality.hyps(3) decomp_rmest_append A1d(1) by (simp add: to_st_append)
  ultimately show ?case using A1d(2) by auto
next
  case (Receive ts S)
  hence "∀t ∈ set ts. ikst A1 ·set I ⊢ t · I"
    using step.prems semest_d_eq_sem_st[of "{}" I "to_est A2"]
      strand_sem_split(4)[of "{}" A1 "[send(ts)st]" I]
        to_st_append to_est_append to_st_to_est_inv
    by auto
  moreover have "ikst A1 ·set I ⊆ ikest A1d ·set I" using A1d(1) decomp_rmest_ik_subset by auto
  ultimately have *: "∀t ∈ set ts. ikest A1d ·set I ⊢ t · I"
    using ideduct_mono by auto

have "wfsts' S A_d" by (rule wfsts'_decomp_rm[OF wa assms(4)])
hence **: "wfest {} A1d" by (rule pts_symbolic_c_preserves_wf_is[OF A1d(2) _ assms(5)])

have "Ana_invar_subst ((ikst dualst `S1) ∪ (ikest A1d) ∪
  (∐(assignment_rhsst `S1) ∪ (assignment_rhsest A1d)))"
  using tar A1d(2) pts_symbolic_c_preserves_Ana_invar_subst by metis
hence "Ana_invar_subst (ikest A1d)" "Ana_invar_subst (assignment_rhsest A1d)"
  using Ana_invar_subst_subset by blast+
moreover have "well_analyzed A1d"
  using pts_symbolic_c_preserves_well_analyzed[OF A1d(2) wa] by metis
ultimately obtain D where D:
  "D ∈ decompsest (ikest A1d) (assignment_rhsest A1d) I"
  "∀t ∈ set ts. ikest (A1d@D) ·set I ⊢c t · I"
  using decompsest_exist_subst_list[OF * A1d(3) ** assms(8)]
  unfolding Ana_invar_subst_def by auto

have "(S, A_d) ⇒*_c (S1, A1d@D)" using A1d(2) decompsest_pts_symbolic_c[OF D(1), of S1] by auto
hence "(S, A_d) ⇒*_c (S2, A1d@D@[Step (send(ts)st)])"
  using Receive(2) pts_symbolic_c.Receive[OF Receive.hyps(1), of "A1d@D"] by auto
moreover have "A2 = to_st (decomp_rmest (A1d@D@[Step (send(ts)st)]))"
  using Receive.hyps(3) A1d(1) decompsest_decomp_rmest_empty[OF D(1)]
    decomp_rmest_append to_st_append
  by auto
moreover have "semest_c {} I (A1d@D@[Step (send(ts)st)])"
  using D(2) semest_c.Send[OF semest_c_decompsest_append[OF A1d(3) D(1)]] by simp
ultimately show ?case by auto
qed
qed

private lemma pts_symbolic_c_to_pts_symbolic:
assumes "(S,A) ⇒*_c (S',A')"
shows "(S,to_st (decomp_rmest A)) ⇒* (S',to_st (decomp_rmest A'))"
"semest_d {} I (decomp_rmest A')"

```

```

proof -
  show "(S,to_st (decomp_rmest A)) ⇒* (S',to_st (decomp_rmest A'))" using assms(1)
  proof (induction rule: rtranclp_induct2)
    case (step S1 A1 S2 A2) show ?case using step.hyps(2,1) step.IH
    proof (induction rule: pts_symbolic_c_induct)
      case Nil thus ?case
        using pts_symbolic.Nil[OF Nil.hyps(1), of "to_st (decomp_rmest A1)"] by simp
    next
      case (Send t S) thus ?case
        using pts_symbolic.Send[OF Send.hyps(1), of "to_st (decomp_rmest A1)"]
        by (simp add: decomp_rmest_append to_st_append)
    next
      case (Receive t S) thus ?case
        using pts_symbolic.Receive[OF Receive.hyps(1), of "to_st (decomp_rmest A1)"]
        by (simp add: decomp_rmest_append to_st_append)
    next
      case (Equality a t t' S) thus ?case
        using pts_symbolic.Equality[OF Equality.hyps(1), of "to_st (decomp_rmest A1)"]
        by (simp add: decomp_rmest_append to_st_append)
    next
      case (Inequality t t' S) thus ?case
        using pts_symbolic.Inequality[OF Inequality.hyps(1), of "to_st (decomp_rmest A1)"]
        by (simp add: decomp_rmest_append to_st_append)
    next
      case (Decompose t) thus ?case using decomp_rmest_append by simp
    qed
  qed simp
qed (rule semest_d_decomp_rmest_if_semest_c[OF assms(2)])

```

**private lemma pts\_symbolic\_to\_pts\_symbolic\_c\_from\_initial:**

```

assumes "(S₀,[]) ⇒* (S,A)" "I ⊨c ⟨A⟩" "wfsts' S₀ []"
and "Ana_invar_subst (⋃(ikst ` dualst ` S₀) ∪ ⋃(assignment_rhsst ` S₀))" "interpretationsubst I"
shows "∃A_d. A = to_st (decomp_rmest A_d) ∧ (S₀,[]) ⇒*_c (S,A_d) ∧ (I ⊨c ⟨to_st A_d⟩)"
using assms pts_symbolic_to_pts_symbolic_c[of S₀ "[]" S A I]
  semest_c_eq_sem_st[of "{}" I] semest_d_eq_sem_st[of "{}" I]
  to_st_to_est_inv[of A] strand_sem_eq_defs
by (auto simp add: constr_sem_c_def constr_sem_d_def simp del: subst_range.simps)

```

**private lemma pts\_symbolic\_c\_to\_pts\_symbolic\_from\_initial:**

```

assumes "(S₀,[]) ⇒*_c (S,A)" "I ⊨c ⟨to_st A⟩"
shows "(S₀,[]) ⇒* (S,to_st (decomp_rmest A))" "I ⊨c ⟨to_st (decomp_rmest A)⟩"
using assms pts_symbolic_c_to_pts_symbolic[of S₀ "[]" S A I]
  semest_c_eq_sem_st[of "{}" I] semest_d_eq_sem_st[of "{}" I] strand_sem_eq_defs
by (auto simp add: constr_sem_c_def constr_sem_d_def)

```

**private lemma to\_st\_trms\_wf:**

```

assumes "wftrms (trmsest A)"
shows "wftrms (trmsst (to_st A))"
using assms
proof (induction A)
  case (Cons x A)
  hence IH: "∀t ∈ trmsst (to_st A). wftrm t" by auto
  with Cons show ?case
  proof (cases x)
    case (Decomp t)
    hence "wftrm t" using Cons.preds by auto
    obtain K T where Ana_t: "Ana t = (K,T)" by atomize_elim auto
    hence "trmsst (decomp t) ⊆ {t} ∪ set K ∪ set T" using decomp_set_unfold[OF Ana_t] by force
    moreover have "∀t ∈ set T. wftrm t" using Ana_subterm[OF Ana_t] <wftrm t> wf_trm_subterm by auto
    ultimately have "∀t ∈ trmsst (decomp t). wftrm t" using Ana_keys_wf'[OF Ana_t] <wftrm t> by auto
    thus ?thesis using IH Decomp by auto
  qed auto

```

```

qed simp

private lemma to_st_trms_SMP_subset: "trmsst (to_st A) ⊆ SMP (trmsest A)"
proof
fix t assume "t ∈ trmsst (to_st A)" thus "t ∈ SMP (trmsest A)"
proof (induction A)
case (Cons x A)
hence *: "t ∈ trmsst (to_st [x]) ∪ trmsst (to_st A)" using to_st_append[of "[x]" A] by auto
have **: "trmsst (to_st A) ⊆ trmsst (to_st (x#A))" "trmsest A ⊆ trmsest (x#A)"
using to_st_append[of "[x]" A] by auto
show ?case
proof (cases "t ∈ trmsst (to_st A)")
case True thus ?thesis using Cons.IH SMP_mono[OF **(2)] by auto
next
case False
hence ***: "t ∈ trmsst (to_st [x])" using * by auto
thus ?thesis
proof (cases x)
case (Decomp t')
hence ****: "t ∈ trmsst (decomp t')" "t' ∈ trmsest (x#A)" using *** by auto
obtain K T where Ana_t': "Ana t' = (K, T)" by atomize_elim auto
hence "t ∈ {t'} ∪ set K ∪ set T" using decomp_set_unfold[OF Ana_t'] ****(1) by force
moreover
{ assume "t = t'" hence ?thesis using SMP_MP[OF ****(2)] by simp }
moreover
{ assume "t ∈ set K" hence ?thesis using SMP_Ana[OF SMP_MP[OF ****(2)] Ana_t'] by auto }
moreover
{ assume "t ∈ set T" "t ≠ t'"
hence "t ⊂ t'" using Ana_subterm[OF Ana_t'] by blast
hence ?thesis using SMP_Subterm[OF SMP_MP[OF ****(2)]] by auto
}
ultimately show ?thesis using Decomp by auto
qed auto
qed
qed simp
qed

```

```

private lemma to_st_trms_tfr_set:
assumes "tfr_set (trmsest A)"
shows "tfr_set (trmsst (to_st A))"
proof -
have *: "trmsst (to_st A) ⊆ SMP (trmsest A)"
using to_st_trms_wf to_st_trms_SMP_subset assms unfolding tfr_set_def by auto
have "trmsst (to_st A) = trmsst (to_st A) ∪ trmsest A" by (blast dest!: trmsestD)
hence "SMP (trmsest A) = SMP (trmsst (to_st A))" using SMP_subset_union_eq[OF *] by auto
thus ?thesis using * assms unfolding tfr_set_def by presburger
qed

theorem wt_attack_if_tfr_attack_pts:
assumes "wfsts S0" "tfr_set ((trmsst ` S0))" "wftrms ((trmsst ` S0))" "∀ S ∈ S0. list_all tfrstp S"
and "Ana_invar_subst ((ikst ` dualst ` S0) ∪ (assignment_rhsst ` S0))"
and "(S0, []) ⇒* (S, A)" "interpretationsubst I" "I ⊨ (A, Var)"
shows "∃ Iτ. interpretationsubst Iτ ∧ (Iτ ⊨ (A, Var)) ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ)"
proof -
have "((trmsst ` S0) ∪ (trmsest [])) = (trmsst ` S0)" "to_st [] = []" "list_all tfrstp []"
using assms by simp_all
hence *: "tfr_set ((trmsst ` S0) ∪ (trmsest []))" "wftrms ((trmsst ` S0) ∪ (trmsest []))"
"wfsts' S0 []" "∀ S ∈ S0 ∪ {to_st []}. list_all tfrstp S"
using assms wfsts_wfsts' by (metis, metis, metis, simp)

obtain Ad where Ad: "A = to_st (decomp_rmest Ad)" "(S0, []) ⇒* (S, Ad)" "I ⊨ (to_st Ad)"

```

```

using pts_symbolic_to_pts_symbolic_c_from_initial assms *(3) by metis
hence "tfr_set (∪(trmsst ` S) ∪ (trmsest Ad))" "wftrms (∪(trmsst ` S) ∪ (trmsest Ad))"
  using pts_symbolic_c_preserves_tfr_set[OF _ *(1,2)] by blast+
hence "tfr_set (trmsest Ad)" "wftrms (trmsest Ad)"
  unfolding tfr_set_def by (metis DiffE DiffI SMP_union UnCI, metis UnCI)
hence "tfr_set (trmsst (to_st Ad))" "wftrms (trmsst (to_st Ad))"
  by (metis to_st_trms_tfr_set, metis to_st_trms_wf)
moreover have "wfconstr (to_st Ad) Var"
proof -
  have "wtsubst Var" "wftrms (subst_range Var)" "subst_domain Var ∩ varsest Ad = {}"
    "range_vars Var ∩ bvarsest Ad = {}"
    by (simp_all add: range_vars_alt_def)
  moreover have "wfest {} Ad"
    using pts_symbolic_c_preserves_wf_is[OF Ad(2) *(3), of "{}"]
    by auto
  moreover have "fvst (to_st Ad) ∩ bvarsest Ad = {}"
    using pts_symbolic_c_preserves_constr_disj_vars[OF Ad(2)] assms(1) wfsts_wfsts''
    by fastforce
  ultimately show ?thesis unfolding wfconstr_def wfsubst_def by simp
qed
moreover have "list_all tfrstp (to_st Ad)"
  using pts_symbolic_c_preserves_tfrstp[OF Ad(2) *(4)] by blast
moreover have "wtsubst Var" "wftrms (subst_range Var)" by simp_all
ultimately obtain Iτ where Iτ:
  "interpretationsubst Iτ" "Iτ ⊨c ⟨to_st Ad, Var⟩" "wtsubst Iτ" "wftrms (subst_range Iτ)"
  using wt_attack_if_tfr_attack[OF assms(7) Ad(3)]
  <tfr_set (trmsst (to_st Ad))> <list_all tfrstp (to_st Ad)>
  unfolding tfrstp_def by metis
hence "Iτ ⊨ ⟨A, Var⟩" using pts_symbolic_c_to_pts_symbolic_from_initial Ad by metis
thus ?thesis using Iτ(1,3,4) by metis
qed

```

### Corollary: The Typing Result on the Level of Constraints

There exists well-typed models of satisfiable type-flaw resistant constraints

```

corollary wt_attack_if_tfr_attack_d:
  assumes "wfst {} A" "fvst A ∩ bvarsst A = {}" "tfrst A" "wftrms (trmsst A)"
  and "Ana_invar_subst (ikst A ∪ assignment_rhsst A)"
  and "interpretationsubst I" "I ⊨ ⟨A⟩"
  shows "∃ Iτ. interpretationsubst Iτ ∧ (Iτ ⊨ ⟨A⟩) ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ)"
proof -
  { fix S A have "({S}, A) ⇒•* ({}, A@dualst S)"
    proof (induction S arbitrary: A)
      case Nil thus ?case using pts_symbolic.Nil[of "{}"] by auto
      next
      case (Cons x S)
      hence "({S}, A@dualst [x]) ⇒•* ({}, A@dualst (x#S))"
        by (metis dualst_append List.append_assoc List.append_Nil List.append_Cons)
      moreover have "({x#S}, A) ⇒* ({S}, A@dualst [x])"
        using pts_symbolic.Send[of _ S "{x#S}"] pts_symbolic.Receive[of _ S "{x#S}"]
        pts_symbolic.Equality[of _ _ S "{x#S}"] pts_symbolic.Inequality[of _ _ S "{x#S}"]
        by (cases x) auto
      ultimately show ?case by simp
    qed
  }
  hence 0: "({dualst A}, []) ⇒•* ({}, A)" using dualst_self_inverse by (metis List.append_Nil)

  have "fvst (dualst A) ∩ bvarsst (dualst A) = {}" using assms(2) dualst_fv dualst_bvars by metis+
  hence 1: "wfsts {dualst A}" using assms(1,2) dualst_self_inverse[of A] unfolding wfsts_def by auto

  have "∪(trmsst ` {A}) = trmsst A" "∪(trmsst ` {dualst A}) = trmsst (dualst A)" by auto
  hence "tfr_set (∪(trmsst ` {A}))" "wftrms (∪(trmsst ` {A}))"
    "∪(trmsst ` {A}) = ∪(trmsst ` {dualst A})"

```

### 3 The Typing Result for Non-Stateful Protocols

```

using assms(3,4) unfolding tfrst_def
by (metis, metis, metis dualst_trms_eq)
hence 2: "tfrset ((\bigcup (trmsst ` {dualst A})))" and 3: "wftrms ((\bigcup (trmsst ` {dualst A})))" by metis+
have 4: "\forall S \in {dualst A}. list_all tfrstp S"
  using dualst_tfrstp assms(3) unfolding tfrst_def by blast
have "assignment_rhsst A = assignment_rhsst (dualst A)"
  by (induct A rule: assignment_rhsst.induct) auto
hence 5: "Ana_invar_subst ((\bigcup (ikst ` dualst ` {dualst A})) \cup (\bigcup (assignment_rhsst ` {dualst A})))"
  using assms(5) dualst_self_inverse[of A] by auto
show ?thesis by (rule wt_attack_if_tfr_attack_pts[OF 1 2 3 4 5 0 assms(6,7)])
qed
end
end
end

```

# 4 The Typing Result for Stateful Protocols

In this chapter, we lift the typing result to stateful protocols. For more details, we refer the reader to [3] and [1, chapter 4].

## 4.1 Stateful Strands

```

theory Stateful_Strands
imports Strands_and_Constraints
begin

4.1.1 Stateful Constraints

datatype (funssstp: 'a, varsstt: 'b) stateful_strand_step =
  Send (the_msgs: "('a,'b) term list") (<send(_)> 80)
| Receive (the_msgs: "('a,'b) term list") (<receive(_)> 80)
| Equality (the_check: poscheckvariant) (the_lhs: "('a,'b) term") (the_rhs: "('a,'b) term")
  (<(_:_=_)> [80,80])
| Insert (the_elem_term: "('a,'b) term") (the_set_term: "('a,'b) term") (<insert(_,_)> 80)
| Delete (the_elem_term: "('a,'b) term") (the_set_term: "('a,'b) term") (<delete(_,_)> 80)
| InSet (the_check: poscheckvariant) (the_elem_term: "('a,'b) term") (the_set_term: "('a,'b) term")
  (<(_:_ ∈ _)> [80,80])
| NegChecks (bvarsstt: "'b list")
  (the_eqs: "((('a,'b) term × ('a,'b) term) list")
  (the_ins: "((('a,'b) term × ('a,'b) term) list")
  (<∀_⟨∨≠: _ ∨∉: _⟩> [80,80])
where
  "bvarsstt (Send _) = []"
| "bvarsstt (Receive _) = []"
| "bvarsstt (Equality _ _ _) = []"
| "bvarsstt (Insert _ _) = []"
| "bvarsstt (Delete _ _) = []"
| "bvarsstt (InSet _ _ _) = []"

type_synonym ('a,'b) stateful_strand = "('a,'b) stateful_strand_step list"
type_synonym ('a,'b) dbstatelist = "((('a,'b) term × ('a,'b) term) list"
type_synonym ('a,'b) dbstate = "((('a,'b) term × ('a,'b) term) set"

abbreviation
  "is_Assignment x ≡ (is_Equality x ∨ is_InSet x) ∧ the_check x = Assign"

abbreviation
  "is_Check x ≡ ((is_Equality x ∨ is_InSet x) ∧ the_check x = Check) ∨ is_NegChecks x"

abbreviation
  "is_Check_or_Assignment x ≡ is_Equality x ∨ is_InSet x ∨ is_NegChecks x"

abbreviation
  "is_Update x ≡ is_Insert x ∨ is_Delete x"

abbreviation InSet_select (<select(_,_)>) where "select(t,s) ≡ InSet Assign t s"
abbreviation InSet_check (<(_ in _)>) where "(t in s) ≡ InSet Check t s"
abbreviation Equality_assign (<(_ := _)>) where "(t := s) ≡ Equality Assign t s"
abbreviation Equality_check (<(_ == _)>) where "(t == s) ≡ Equality Check t s"

abbreviation NegChecks_Inequality1 (<(_ != _)>) where

```

```

" $\langle t \neq s \rangle \equiv \text{NegChecks} [] [(t,s)] []$ " 

abbreviation NegChecks_Inequality2 ( $\langle \forall \_ \langle \_ \neq \_ \rangle \rangle$ ) where
  " $\forall x \langle t \neq s \rangle \equiv \text{NegChecks} [x] [(t,s)] []$ " 

abbreviation NegChecks_Inequality3 ( $\langle \forall \_, \_ \langle \_ \neq \_ \rangle \rangle$ ) where
  " $\forall x,y \langle t \neq s \rangle \equiv \text{NegChecks} [x,y] [(t,s)] []$ " 

abbreviation NegChecks_Inequality4 ( $\langle \forall \_, \_, \_ \langle \_ \neq \_ \rangle \rangle$ ) where
  " $\forall x,y,z \langle t \neq s \rangle \equiv \text{NegChecks} [x,y,z] [(t,s)] []$ " 

abbreviation NegChecks_NotInSet1 ( $\langle \langle \_ \text{ not in } \_ \rangle \rangle$ ) where
  " $\langle t \text{ not in } s \rangle \equiv \text{NegChecks} [] [] [(t,s)]$ " 

abbreviation NegChecks_NotInSet2 ( $\langle \forall \_ \langle \_ \text{ not in } \_ \rangle \rangle$ ) where
  " $\forall x \langle t \text{ not in } s \rangle \equiv \text{NegChecks} [x] [] [(t,s)]$ " 

abbreviation NegChecks_NotInSet3 ( $\langle \forall \_, \_ \langle \_ \text{ not in } \_ \rangle \rangle$ ) where
  " $\forall x,y \langle t \text{ not in } s \rangle \equiv \text{NegChecks} [x,y] [] [(t,s)]$ " 

abbreviation NegChecks_NotInSet4 ( $\langle \forall \_, \_, \_ \langle \_ \text{ not in } \_ \rangle \rangle$ ) where
  " $\forall x,y,z \langle t \text{ not in } s \rangle \equiv \text{NegChecks} [x,y,z] [] [(t,s)]$ " 

fun trmssstp where
  "trmssstp (Send ts) = set ts"
  | "trmssstp (Receive ts) = set ts"
  | "trmssstp (Equality _ t t') = {t,t'}"
  | "trmssstp (Insert t t') = {t,t'}"
  | "trmssstp (Delete t t') = {t,t'}"
  | "trmssstp (InSet _ t t') = {t,t'}"
  | "trmssstp (NegChecks _ F F') = trmspairs F ∪ trmspairs F'" 

definition trmssst where "trmssst S ≡ ∪ (trmssstp ` set S)" 
declare trmssst_def[simp]

fun trms_listsstp where
  "trms_listsstp (Send ts) = ts"
  | "trms_listsstp (Receive ts) = ts"
  | "trms_listsstp (Equality _ t t') = [t,t']"
  | "trms_listsstp (Insert t t') = [t,t']"
  | "trms_listsstp (Delete t t') = [t,t']"
  | "trms_listsstp (InSet _ t t') = [t,t']"
  | "trms_listsstp (NegChecks _ F F') = concat (map (λ(t,t'). [t,t']) (F@F'))" 

definition trms_listsst where "trms_listsst S ≡ remdups (concat (map trms_listsstp S))" 

definition iksst where "iksst A ≡ {t | t ts. Receive ts ∈ set A ∧ t ∈ set ts}" 

definition bvarssst::"('a,'b) stateful_strand ⇒ 'b set" where
  "bvarssst S ≡ ∪ (set (map (set o bvarssstp) S))" 

fun fvsstp::"('a,'b) stateful_strand_step ⇒ 'b set" where
  "fvsstp (Send ts) = fvset (set ts)"
  | "fvsstp (Receive ts) = fvset (set ts)"
  | "fvsstp (Equality _ t t') = fv t ∪ fv t'"
  | "fvsstp (Insert t t') = fv t ∪ fv t'"
  | "fvsstp (Delete t t') = fv t ∪ fv t'"
  | "fvsstp (InSet _ t t') = fv t ∪ fv t'"
  | "fvsstp (NegChecks X F F') = fvpairs F ∪ fvpairs F' - set X" 

definition fvsst::"('a,'b) stateful_strand ⇒ 'b set" where
  "fvsst S ≡ ∪ (set (map fvsstp S))" 

```

```

fun fv_listsstp where
  "fv_listsstp (send⟨ts⟩) = concat (map fv_list ts)"
  | "fv_listsstp (receive⟨ts⟩) = concat (map fv_list ts)"
  | "fv_listsstp (⟨_ : t ≡ s⟩) = fv_list t@fv_list s"
  | "fv_listsstp (insert⟨t,s⟩) = fv_list t@fv_list s"
  | "fv_listsstp (delete⟨t,s⟩) = fv_list t@fv_list s"
  | "fv_listsstp (⟨_ : t ∈ s⟩) = fv_list t@fv_list s"
  | "fv_listsstp (⟨_ : t ≠ F⟩) = filter (λx. x ∉ set X) (fv_listpairs (F@F'))"

definition fv_listsst where
  "fv_listsst S ≡ remdups (concat (map fv_listsstp S))"

declare bvarssst_def[simp]
declare fvsst_def[simp]

definition varssst::"('a,'b) stateful_strand ⇒ 'b set" where
  "varssst S ≡ ⋃(set (map varssstp S))"

abbreviation wfrestrictedvarssstp::"('a,'b) stateful_strand_step ⇒ 'b set" where
  "wfrestrictedvarssstp x ≡
    case x of
      NegChecks _ _ _ ⇒ {}
      | Equality Check _ _ ⇒ {}
      | InSet Check _ _ ⇒ {}
      | Delete _ _ ⇒ {}
      | _ ⇒ varssstp x"

definition wfrestrictedvarssst::"('a,'b) stateful_strand ⇒ 'b set" where
  "wfrestrictedvarssst S ≡ ⋃(set (map wfrestrictedvarssstp S))"

abbreviation wfvarsoccssstp where
  "wfvarsoccssstp x ≡
    case x of
      Send ts ⇒ fvset (set ts)
      | Equality Assign s t ⇒ fv s
      | InSet Assign s t ⇒ fv s ∪ fv t
      | _ ⇒ {}"

definition wfvarsoccssst where
  "wfvarsoccssst S ≡ ⋃(set (map wfvarsoccssstp S))"

fun wf'sst::"'b set ⇒ ('a,'b) stateful_strand ⇒ bool" where
  "wf'sst V [] = True"
  | "wf'sst V (Receive ts#S) = (fvset (set ts) ⊆ V ∧ wf'sst V S)"
  | "wf'sst V (Send ts#S) = wf'sst (V ∪ fvset (set ts)) S"
  | "wf'sst V (Equality Assign t t'#S) = (fv t' ⊆ V ∧ wf'sst (V ∪ fv t) S)"
  | "wf'sst V (Equality Check _ _#S) = wf'sst V S"
  | "wf'sst V (Insert t s#S) = (fv t ⊆ V ∧ fv s ⊆ V ∧ wf'sst V S)"
  | "wf'sst V (Delete _ _#S) = wf'sst V S"
  | "wf'sst V (InSet Assign t s#S) = wf'sst (V ∪ fv t ∪ fv s) S"
  | "wf'sst V (InSet Check _ _#S) = wf'sst V S"
  | "wf'sst V (NegChecks _ _#S) = wf'sst V S"

abbreviation "wfsst S ≡ wf'sst {} S ∧ fvsst S ∩ bvarssst S = {}"

fun subst_apply_stateful_strand_step::
  "('a,'b) stateful_strand_step ⇒ ('a,'b) subst ⇒ ('a,'b) stateful_strand_step"
  (infix <.sstp> 51) where
  "send⟨ts⟩ .sstp θ = send⟨ts · list θ⟩"
  | "receive⟨ts⟩ .sstp θ = receive⟨ts · list θ⟩"
  | "<a: t ≡ s⟩ .sstp θ = <a: (t · θ) ≡ (s · θ)⟩"
  | "<a: t ∈ s⟩ .sstp θ = <a: (t · θ) ∈ (s · θ)⟩"
  | "insert⟨t,s⟩ .sstp θ = insert⟨t · θ, s · θ⟩"

```

```

| "delete(t,s) ·sstp θ = delete(t · θ, s · θ)"
| "∀X(∀≠: F ∨∉: G) ·sstp θ = ∀X(∀≠: (F ·pairs rm_vars (set X) θ) ∨∉: (G ·pairs rm_vars (set X) θ))"

definition subst_apply_stateful_strand::
  "('a,'b) stateful_strand ⇒ ('a,'b) subst ⇒ ('a,'b) stateful_strand"
  (infix <·sst> 51) where
  "S ·sst θ ≡ map (λx. x ·sstp θ) S"

fun dbupdsst:: "('f,'v) stateful_strand ⇒ ('f,'v) subst ⇒ ('f,'v) dbstate ⇒ ('f,'v) dbstate"
where
  "dbupdsst [] I D = D"
| "dbupdsst (Insert t s#A) I D = dbupdsst A I (insert ((t,s) ·p I) D)"
| "dbupdsst (Delete t s#A) I D = dbupdsst A I (D - {((t,s) ·p I)})"
| "dbupdsst (_#A) I D = dbupdsst A I D"

fun db'sst:: "('f,'v) stateful_strand ⇒ ('f,'v) subst ⇒ ('f,'v) dbstatelist ⇒ ('f,'v) dbstatelist"
where
  "db'sst [] I D = D"
| "db'sst (Insert t s#A) I D = db'sst A I (List.insert ((t,s) ·p I) D)"
| "db'sst (Delete t s#A) I D = db'sst A I (List.removeAll ((t,s) ·p I) D)"
| "db'sst (_#A) I D = db'sst A I D"

definition dbsst where
  "dbsst S I ≡ db'sst S I []"

```

fun setops<sub>sstp</sub> where

```

  "setopssstp (Insert t s) = {(t,s)}"
| "setopssstp (Delete t s) = {(t,s)}"
| "setopssstp (InSet _ t s) = {(t,s)}"
| "setopssstp (NegChecks _ _ F') = set F'"
| "setopssstp _ = {}"

```

The set-operations of a stateful strand

definition setops<sub>sst</sub> where

```

  "setopssst S ≡ ∪ (setopssstp ` set S)"

```

fun setops\_list<sub>sstp</sub> where

```

  "setops_listsstp (Insert t s) = [(t,s)]"
| "setops_listsstp (Delete t s) = [(t,s)]"
| "setops_listsstp (InSet _ t s) = [(t,s)]"
| "setops_listsstp (NegChecks _ _ F') = F'"
| "setops_listsstp _ = []"

```

The set-operations of a stateful strand (list variant)

definition setops\_list<sub>sst</sub> where

```

  "setops_listsst S ≡ remdups (concat (map setops_listsstp S))"

```

### 4.1.2 Small Lemmata

lemma is\_Check\_or\_Assignment\_iff[simp]:

```

  "is_Check x ∨ is_Assignment x ↔ is_Check_or_Assignment x"
by (cases x) (blast intro: poscheckvariant.exhaust)+
```

lemma subst\_apply\_stateful\_strand\_step\_Inequality[simp]:

```

  " $\langle t \neq s \rangle \cdot_{sstp} \theta = \langle t \cdot \theta \neq s \cdot \theta \rangle"$ 
  " $\forall x \langle t \neq s \rangle \cdot_{sstp} \theta = \forall x \langle t \cdot \text{rm\_vars } \{x\} \theta \neq s \cdot \text{rm\_vars } \{x\} \theta \rangle$ "
  " $\forall x,y \langle t \neq s \rangle \cdot_{sstp} \theta = \forall x,y \langle t \cdot \text{rm\_vars } \{x,y\} \theta \neq s \cdot \text{rm\_vars } \{x,y\} \theta \rangle$ "
  " $\forall x,y,z \langle t \neq s \rangle \cdot_{sstp} \theta = \forall x,y,z \langle t \cdot \text{rm\_vars } \{x,y,z\} \theta \neq s \cdot \text{rm\_vars } \{x,y,z\} \theta \rangle$ "
by simp_all
```

lemma subst\_apply\_stateful\_strand\_step\_NotInSet[simp]:

```

  " $\langle t \text{ not in } s \rangle \cdot_{sstp} \theta = \langle t \cdot \theta \text{ not in } s \cdot \theta \rangle"$ 
  " $\forall x \langle t \text{ not in } s \rangle \cdot_{sstp} \theta = \forall x \langle t \cdot \text{rm\_vars } \{x\} \theta \text{ not in } s \cdot \text{rm\_vars } \{x\} \theta \rangle$ "
```

```

"!x,y(t not in s) ·sstp ϑ = !x,y(t · rm_vars {x,y} ϑ not in s · rm_vars {x,y} ϑ)"
"!x,y,z(t not in s) ·sstp ϑ = !x,y,z(t · rm_vars {x,y,z} ϑ not in s · rm_vars {x,y,z} ϑ)"
by simp_all

lemma trms_listsst_is_trmssst: "trmssst S = set (trms_listsst S)"
unfolding trmssst_def trms_listsst_def
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
qed simp

lemma setops_listsst_is_setopssst: "setopssst S = set (setops_listsst S)"
unfolding setopssst_def setops_listsst_def
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
qed simp

lemma fv_listsstp_is_fvsst: "fvsstp a = set (fv_listsstp a)"
proof (cases a)
  case (NegChecks X F G) thus ?thesis
    using fvpairs_append[of F G] fv_listpairs_append[of F G]
      fv_listpairs_is_fvpairs[of "F@G"]
    by auto
qed (simp_all add: fv_listpairs_is_fvpairs fv_list_is_fv)

lemma fv_listsst_is_fvsst: "fvsst S = set (fv_listsst S)"
unfolding fvsst_def fv_listsst_def by (induct S) (simp_all add: fv_listsstp_is_fvsst)

lemma trmssstp_finite[simp]: "finite (trmssstp x)"
by (cases x) auto

lemma trmssst_finite[simp]: "finite (trmssst S)"
using trmssstp_finite unfolding trmssst_def by (induct S) auto

lemma varssstp_finite[simp]: "finite (varssstp x)"
by (cases x) auto

lemma varssst_finite[simp]: "finite (varssst S)"
using varssstp_finite unfolding varssst_def by (induct S) auto

lemma fvsstp_finite[simp]: "finite (fvsstp x)"
by (cases x) auto

lemma fvsst_finite[simp]: "finite (fvsst S)"
using fvsstp_finite unfolding fvsst_def by (induct S) auto

lemma bvarssstp_finite[simp]: "finite (set (bvarssstp x))"
by (rule finite_set)

lemma bvarssst_finite[simp]: "finite (bvarssst S)"
using bvarssstp_finite unfolding bvarssst_def by (induct S) auto

lemma substsst_nil[simp]: "[] ·sst δ = []"
by (simp add: subst_apply_stateful_strand_def)

lemma dbsst_nil[simp]: "dbsst [] I = []"
by (simp add: dbsst_def)

lemma iksst_nil[simp]: "iksst [] = {}"
by (simp add: iksst_def)

lemma in_iksst_iff: "t ∈ iksst A ↔ (∃ts. receive(ts) ∈ set A ∧ t ∈ set ts)"
unfolding iksst_def by blast

```

```

lemma iksst_append[simp]: "iksst (A@B) = iksst A ∪ iksst B"
by (auto simp add: iksst_def)

lemma iksst_concat: "iksst (concat xs) = ∪ (iksst ` set xs)"
by (induct xs) auto

lemma iksst_subst: "iksst (A ·sst δ) = iksst A ·set δ"
proof (induction A)
  case (Cons a A)
  have "iksst ([a] ·sst δ) = iksst [a] ·set δ"
  proof (cases a)
    case (Receive ts) thus ?thesis
      using in_iksst_iff[of _ "[a]" ] in_iksst_iff[of _ "[a] ·sst δ"]
      unfolding subst_apply_stateful_strand_def by auto
  qed (simp_all add: iksst_def subst_apply_stateful_strand_def)
  thus ?case
    using Cons.IH iksst_append
    by (metis append_Cons append_Nil image_Un map_append subst_apply_stateful_strand_def)
qed simp

lemma iksst_set_subset:
  "set A ⊆ set B ⟹ iksst A ⊆ iksst B"
unfolding iksst_def by blast

lemma iksst_prefix_subset:
  "prefix A B ⟹ iksst A ⊆ iksst B" (is "?P A B ⟹ ?P' A B")
  "prefix A (C@D) ⟹ ¬prefix A C ⟹ iksst C ⊆ iksst A" (is "?Q ⟹ ?Q' ⟹ ?Q''")
proof -
  show "?P A B ⟹ ?P' A B" for A B by (metis set_mono_prefix iksst_set_subset)
  thus "?Q ⟹ ?Q' ⟹ ?Q''" by (metis prefixI prefix_same_cases)
qed

lemma iksst_snoc_no_receive_empty:
  assumes "¬ ∀ a ∈ set A. ¬ is_Receive a"
  shows "iksst A ·set I = {}"
using assms in_iksst_iff[of _ A] by fastforce

lemma iksst_snoc_no_receive_eq:
  assumes "¬ ∃ s. a = receive(s)"
  shows "iksst (A@[a]) ·set I = iksst A ·set I"
using assms iksst_snoc_no_receive_empty[of "[a]" I] iksst_append[of A "[a]"]
unfolding is_Receive_def by auto

lemma dbsst_set_is_dbupdsst: "set (db'sst A I D) = dbupdsst A I (set D)" (is "?A = ?B")
proof
  show "?A ⊆ ?B"
  proof
    fix t s show "(t,s) ∈ ?A ⟹ (t,s) ∈ ?B" by (induct rule: db'sst.induct) auto
  qed

  show "?B ⊆ ?A"
  proof
    fix t s show "(t,s) ∈ ?B ⟹ (t,s) ∈ ?A" by (induct arbitrary: D rule: dbupdsst.induct) auto
  qed
qed

lemma dbsst_no_upd:
  assumes "¬ ∀ a ∈ set A. ¬ is_Insert a ∧ ¬ is_Delete a"
  shows "db'sst A I D = D"
using assms
proof (induction A)
  case (Cons a A) thus ?case by (cases a) auto
qed simp

```

```

lemma dbsst_no_upd_append:
  assumes " $\forall b \in \text{set } B. \neg \text{is\_Insert } b \wedge \neg \text{is\_Delete } b$ "
  shows "db'sst A = db'sst (A@B)"
  using assms
proof (induction A)
  case Nil thus ?case by (simp add: dbsst_no_upd)
next
  case (Cons a A) thus ?case by (cases a) simp_all
qed

lemma dbsst_append:
  "db'sst (A@B) I D = db'sst B I (db'sst A I D)"
proof (induction A arbitrary: D)
  case (Cons a A) thus ?case by (cases a) auto
qed simp

lemma dbsst_in_cases:
  assumes "(t,s) ∈ set (db'sst A I D)"
  shows "(t,s) ∈ set D ∨ (∃ t' s'. insert(t',s') ∈ set A ∧ t = t' · I ∧ s = s' · I)"
  using assms
proof (induction A arbitrary: D)
  case (Cons a A) thus ?case by (cases a) fastforce+
qed simp

lemma dbsst_in_cases':
  assumes "(t,s) ∈ set (db'sst A I D)"
  and "(t,s) ∉ set D"
  shows "∃ B C t' s'. A = B@insert(t',s')#C ∧ t = t' · I ∧ s = s' · I ∧
            (∀ t'' s''. delete(t'',s'') ∈ set C → t ≠ t'' · I ∨ s ≠ s'' · I)"
  using assms(1)
proof (induction A rule: List.rev_induct)
  case (snoc a A)
    note * = snoc dbsst_append[of A "[a]" I D]
    thus ?case
      proof (cases a)
        case (Insert t' s')
          thus ?thesis using * by (cases "(t,s) ∈ set (db'sst A I D)") force+
      next
        case (Delete t' s')
          hence **: "t ≠ t' · I ∨ s ≠ s' · I" using * by simp
      have "(t,s) ∈ set (db'sst A I D)" using * Delete by force
      then obtain B C u v where B:
        "A = B@insert(u,v)#C" "t = u · I" "s = v · I"
        " $\forall t' s'. \text{delete}(t',s') \in \text{set } C \rightarrow t \neq t' \cdot I \vee s \neq s' \cdot I$ "
        using snoc.IH by atomize_elim auto
      have "A@[a] = B@insert(u,v)#[C@[a]]"
        " $\forall t' s'. \text{delete}(t',s') \in \text{set } (C@[a]) \rightarrow t \neq t' \cdot I \vee s \neq s' \cdot I$ "
        using B(1,4) Delete ** by auto
      thus ?thesis using B(2,3) by blast
    qed force+
  qed (simp add: assms(2))

lemma dbsst_filter:
  "db'sst A I D = db'sst (filter is_Update A) I D"
  by (induct A I D rule: db'sst.induct) simp_all

lemma dbsst_subst_swap:
  assumes " $\forall x \in fv_{sst} A. I x = J x$ "
  shows "db'sst A I D = db'sst A J D"
  using assms

```

```

proof (induction A arbitrary: D)
  case (Cons a A)
  hence " $\forall x \in fv_{sstp} a. I x = J x$ " " $\bigwedge D. db'_{sst} A I D = db'_{sst} A J D$ " by auto
  thus ?case by (cases a) (simp_all add: term_subst_eq[of _ I J])
qed simp

lemma dbupdsst_no_upd:
  assumes " $\forall a \in set A. \neg is\_Insert a \wedge \neg is\_Delete a$ "
  shows "dbupdsst A I D = D"
using assms
proof (induction A)
  case (Cons a A) thus ?case by (cases a) auto
qed simp

lemma dbupdsst_no_deletes:
  assumes "list_all (\lambda a. \neg is_Delete a) A"
  shows "dbupdsst A I D = D \cup \{ (t \cdot I, s \cdot I) | t s. insert(t,s) \in set A \}" (is "?Q A D")
using assms
proof (induction A arbitrary: D)
  case (Cons a A)
  hence IH: "?Q A D" for D by auto
  have "\neg is_Delete a" using Cons.prems by simp
  thus ?case using IH by (cases a) auto
qed simp

lemma dbupdsst_append:
  "dbupdsst (A@B) I D = dbupdsst B I (dbupdsst A I D)"
proof (induction A arbitrary: D)
  case (Cons a A) thus ?case by (cases a) auto
qed simp

lemma dbupdsst_filter:
  "dbupdsst A I D = dbupdsst (filter is_Update A) I D"
by (induct A I D rule: dbupdsst.induct) simp_all

lemma dbupdsst_in_cases:
  assumes "(t,s) \in dbupdsst A I D"
  shows "(t,s) \in D \vee (\exists t' s'. insert(t',s') \in set A \wedge t = t' \cdot I \wedge s = s' \cdot I)" (is ?P)
  and "\forall u v B. suffix (delete(u,v)#B) A \wedge (t,s) = (u,v) \cdot_p I \longrightarrow
    (\exists u' v'. (t,s) = (u',v') \cdot_p I \wedge insert(u',v') \in set B)" (is ?Q)
proof -
  show ?P using assms
  proof (induction A arbitrary: D)
    case (Cons a A) thus ?case by (cases a) fastforce+
  qed simp

  show ?Q using assms
  proof (induction A arbitrary: D rule: List.rev_induct)
    case (snoc a A)
    note 0 = snoc.IH snoc.prems
    note 1 = suffix_snoc[of _ A a]

    have 2: "dbupdsst (A@[a]) I D = dbupdsst A I D" when "\neg is_Update a"
      using that dbupdsst_append[of A "[a]" I D] by (cases a) auto

    have 3: "suffix (delete(u,v)#B) A \Longrightarrow suffix (delete(u,v)#B@[a]) (A@[a])"
      when "\neg is_Update a" for u v B
      using that by simp

    have 4: "\exists C. B = C@[a] \wedge suffix (delete(u,v)#C) A"
      when a: "\neg is_Delete a" "suffix (delete(u,v)#B) (A@[a])" for u v B
    proof -
      have a': "a \neq delete(u,v)" using a(1) by force

```

```

obtain C where C: "delete(u,v) #B = C @ [a]" "suffix C A" using 1 a(2) by blast
show ?thesis using a' C by (cases C) auto
qed

note 5 = dbupdsst_append[of A "[a]" I]

show ?case
proof (cases "is_Update a")
  case True
  then obtain u v where "a = insert(u,v) ∨ a = delete(u,v)" by (cases a) auto
  thus ?thesis
  proof
    assume a: "a = insert(u,v)"
    hence a': "¬is_Delete a" by simp

    have 6: "insert(u,v) ∈ set B"
      when B: "suffix (delete(u',v') #B) (A @ [a])" for u' v' B
      using 4[OF a' B] unfolding a by fastforce

    have 7: "(t,s) = (u,v) ·p I ∨ (t,s) ∈ dbupdsst A I D" using snoc.prems 5 a by auto
    show ?thesis
    proof (cases "(t,s) = (u,v) ·p I")
      case True
      have "insert(u,v) ∈ set B"
        when B: "suffix (delete(u',v') #B) (A @ [a])" for u' v' B
        using 4[OF a' B] unfolding a by fastforce
        thus ?thesis using True by blast
    next
      case False
      hence 8: "(t,s) ∈ dbupdsst A I D" using 7 by blast
      have "∃ u'' v''. (t,s) = (u'',v'') ·p I ∧ insert(u'',v'') ∈ set B"
        when B: "suffix (delete(u',v') #B) (A @ [a])" "(t,s) = (u',v') ·p I" for u' v' B
      proof -
        obtain C where C: "B = C @ [a]" "suffix (delete(u',v') #C) A" using 4[OF a' B(1)] by blast
        thus ?thesis using snoc.IH[OF 8] B(2) unfolding a by fastforce
      qed
      thus ?thesis by blast
    qed
  qed
next
  assume a: "a = delete(u,v)"
  hence "(t,s) ∈ dbupdsst A I D - {((u,v) ·p I)}" using snoc.prems 5 by auto
  hence 6: "(t,s) ∈ dbupdsst A I D" "(t,s) ≠ (u,v) ·p I" by (blast,blast)

  have "(∃ C. B = C @ [a] ∧ suffix (delete(u',v') #C) A) ∨ (B = [] ∧ u' = u ∧ v' = v)"
    when B: "suffix (delete(u',v') #B) (A @ [a])" for B u' v'
  proof -
    obtain C where C: "delete(u',v') #B = C @ [a]" "suffix C A" using B 1 by blast
    show ?thesis
    proof (cases "B = []")
      case True thus ?thesis using C unfolding a by simp
    next
      case False
      then obtain b B' where B': "B = B' @ [b]" by (meson rev_exhaust)
      show ?thesis using C unfolding a B' by auto
    qed
  qed
  hence "∃ C. B = C @ [a] ∧ suffix (delete(u',v') #C) A"
    when "suffix (delete(u',v') #B) (A @ [a])" "(t,s) = (u',v') ·p I" for B u' v'
    using that 6 by blast
  thus ?thesis using snoc.IH[OF 6(1)] unfolding a by fastforce
qed
next
  case False

```

```

have " $\exists u' v'. (t,s) = (u',v') \cdot_p I \wedge insert(u',v') \in set B$ "
  when B: "suffix (delete(u,v)\#B) (A@[a])" "(t,s) = (u,v) \cdot_p I" for u v B
proof -
  obtain C where C: "B = C@[a]" "suffix (delete(u,v)\#C) A" using 4[OF _ B(1)] False by blast
  show ?thesis using B(2) snoc.IH[OF snoc.prems[unfolded 2[OF False]]] C by fastforce
qed
thus ?thesis by blast
qed
qed simp
qed

lemma dbupdsst_in_iff:
"(t,s) ∈ dbupdsst A I D ↔
((∀ u v B. suffix (delete(u,v)\#B) A ∧ (t,s) = (u,v) ·p I →
  (∃ u' v'. (t,s) = (u',v') ·p I ∧ insert(u',v') ∈ set B)) ∧
  ((t,s) ∈ D ∨ (∃ u v. (t,s) = (u,v) ·p I ∧ insert(u,v) ∈ set A)))"
(is "?P A D ↔ ?Q1 A ∧ ?Q2 A D")
proof
show "?P A D ⇒ ?Q1 A ∧ ?Q2 A D" using dbupdsst_in_cases by fast
show "?Q1 A ∧ ?Q2 A D ⇒ ?P A D"
proof (induction A arbitrary: D)
  case (Cons a A)
  have Q1: "?Q1 A" using Cons.prems suffix_Cons[of _ a A] by blast
  show ?case
  proof (cases "is_Update a")
    case False thus ?thesis using Q1 Cons.IH Cons.prems by (cases a) auto
  next
    case True
    then obtain t' s' where "a = insert(t',s') ∨ a = delete(t',s')" by (cases a) auto
    thus ?thesis
    proof
      assume a: "a = insert(t',s')"
      hence "?Q2 A (insert ((t',s') ·p I) D)" using Cons.prems by auto
      thus ?thesis using Q1 Cons.IH unfolding a by auto
    next
      assume a: "a = delete(t',s')"
      hence "?Q2 A (D - {(t',s') ·p I})" using Cons.prems by auto
      thus ?thesis using Q1 Cons.IH unfolding a by auto
    qed
  qed
qed simp
qed

lemma dbupdsst_in_cases':
fixes A::"('a,'b) stateful_strand"
assumes "(t,s) ∈ dbupdsst A I D"
  and "(t,s) ∉ D"
shows " $\exists B C t' s'. A = B@insert(t',s')\#C \wedge t = t' \cdot I \wedge s = s' \cdot I \wedge$ 
   $(\forall t'' s''. delete(t'',s'') \in set C \rightarrow t \neq t'' \cdot I \vee s \neq s'' \cdot I)$ "
using assms(1)
proof (induction A rule: List.rev_induct)
  case (snoc a A)
  note 0 = dbupdsst_append[of A "[a]" I D]
  have 1: "(t,s) ∈ dbupdsst A I D" when "¬is_Update a" using that snoc.prems 0 by (cases a) auto
  show ?case
  proof (cases "is_Update a")
    case False
    obtain B C t' s' where B:
      "A = B@insert(t',s')\#C" "t = t' · I" "s = s' · I"
      "¬\forall t'' s''. delete(t'',s'') \in set C \rightarrow t \neq t'' · I \vee s \neq s'' · I"
    using snoc.IH[OF 1[OF False]] by blast
  qed
qed

```

```

have "A@[a] = B@insert(t',s')#(C@[a])"
  " $\forall t' s'. \text{delete}(t',s') \in \text{set } (C@[a]) \longrightarrow t' \neq t' \cdot I \vee s' \neq s' \cdot I$ "
  using False B(1,4) by auto
  thus ?thesis using B(2,3) by blast
next
  case True
  then obtain t' s' where "a = insert(t',s') \vee a = delete(t',s')" by (cases a) auto
  thus ?thesis
  proof
    assume a: "a = insert(t',s')"
    hence "dbupdsst (A@[a]) I D = insert ((t',s') ·p I) (dbupdsst A I D)" using 0 by simp
    hence "(t,s) = (t',s') ·p I \vee (t,s) \in dbupdsst A I D" using snoc.prems by blast
    thus ?thesis
    proof
      assume 2: "(t,s) \in dbupdsst A I D" show ?thesis using snoc.IH[OF 2] unfolding a by force
      qed (force simp add: a)
    next
    assume a: "a = delete(t',s')"
    hence 2: "t' \neq t' \cdot I \vee s' \neq s' \cdot I" using 0 snoc.prems by simp

    have "(t,s) \in dbupdsst A I D" using 0 snoc.prems a by force
    then obtain B C u v where B:
      "A = B@insert(u,v)#C" "t = u \cdot I" "s = v \cdot I"
      " $\forall t' s'. \text{delete}(t',s') \in \text{set } C \longrightarrow t' \neq t' \cdot I \vee s' \neq s' \cdot I$ "
      using snoc.IH by atomize_elim auto

    have "A@[a] = B@insert(u,v)#(C@[a])"
      " $\forall t' s'. \text{delete}(t',s') \in \text{set } (C@[a]) \longrightarrow t' \neq t' \cdot I \vee s' \neq s' \cdot I$ "
      using B(1,4) a 2 by auto
      thus ?thesis using B(2,3) by blast
    qed
  qed
qed (simp add: assms(2))

lemma dbupdsst_mono:
  assumes "D ⊆ E"
  shows "dbupdsst A I D ⊆ dbupdsst A I E"
using assms
proof (induction A arbitrary: D E)
  case (Cons a A) thus ?case
  proof (cases a)
    case (Insert t s)
    have "insert ((t,s) ·p I) D ⊆ insert ((t,s) ·p I) E" using Cons.prems by fast
    thus ?thesis using Cons.IH unfolding Insert by simp
  next
    case (Delete t s)
    have "D - {(t,s) ·p I} ⊆ E - {(t,s) ·p I}" using Cons.prems by fast
    thus ?thesis using Cons.IH unfolding Delete by simp
  qed auto
qed simp

lemma dbupdsst_db_narrow:
  assumes "(t,s) \in dbupdsst A I (D \cup E)"
  and "(t,s) \notin D"
  shows "(t,s) \in dbupdsst A I E"
using assms
proof (induction A arbitrary: D E)
  case (Cons a A) thus ?case
  proof (cases a)
    case (Delete t' s') thus ?thesis
      using Cons.prems Cons.IH[of "D - {(t',s') ·p I}" "E - {(t',s') ·p I}"] by (simp add: Un_Diff)
  qed auto

```

```

qed simp

lemma dbupdsst_set_term_neq_in_iff:
assumes f: "f ≠ k"
and A: "∀ t s. insert(t,s) ∈ set A → (∃ g ts. s = Fun g ts)"
shows "(t,Fun f ts) ∈ dbupdsst A I D ↔
      (t,Fun f ts) ∈ dbupdsst (filter (λa. ∉ s ss. a = insert(s,Fun k ss)) A) I D"
(is "?P A D ↔ ?P (?f A) D")
proof
show "?P A D → ?P (?f A) D" using A
proof (induction A arbitrary: D)
case (Cons a A)
have IH: "?P A D → ?P (?f A) D" for D
using Cons.prems(2) Cons.IH by simp

show ?thesis
proof (cases "is_Update a")
case True
then obtain u s where "a = insert(u,s) ∨ a = delete(u,s)" by (cases a) auto
thus ?thesis
proof
assume a: "a = insert(u,s)"
obtain g ss where s: "s = Fun g ss" using a Cons.prems(2) by fastforce

have 0: "?P A (insert ((u, s) ·p I) D)" using a Cons.prems(1) by fastforce
show ?thesis
proof (cases "g = k")
case g: True
have "?f (a#A) = ?f A" unfolding a s g by force
moreover have "(t,Fun f ts) ≠ (u, Fun g ss) ·p I" using f unfolding g by auto
ultimately show ?thesis
using IH[OF 0] dbupdsst_db_narrow[of t "Fun f ts" "?f A" I "{(u, s) ·p I}" D]
unfolding a s g by force
next
case g: False
have "?f (a#A) = a#?f A" using g unfolding a s by force
thus ?thesis using Cons.prems Cons.IH g unfolding a s by force
qed
next
assume a: "a = delete(u,s)"
hence "?f (a#A) = a#?f A" by auto
thus ?thesis using Cons.prems Cons.IH unfolding a by fastforce
qed
next
case a: False
hence "?P A D" using Cons.prems(1) by (cases a) auto
hence "?P (?f A) D" using Cons.IH Cons.prems(2) a by fastforce
thus ?thesis using a by (cases a) auto
qed
qed simp

have "dbupdsst (?f A) I D ⊆ dbupdsst A I D"
proof (induction A arbitrary: D)
case (Cons a A) show ?case
proof (cases a)
case (Insert t s)
have "?f (a#A) = a#?f A ∨ ?f (a#A) = ?f A" unfolding Insert by force
hence "dbupdsst (?f (a#A)) I D ⊆ dbupdsst (?f A) I (insert ((t,s) ·p I) D)"
using dbupdsst_mono[of D "insert ((t, s) ·p I) D"] unfolding Insert by auto
thus ?thesis using Cons.IH unfolding Insert by fastforce
qed (use Cons.prems Cons.IH in auto)
qed simp
thus "?P (?f A) D → ?P A D" by blast

```

qed

```

lemma dbupdsst_subst_const_swap:
fixes t s
defines "fvs ≡ λA D. fvsst A ∪ fv t ∪ fv s ∪ ⋃(fvpair ` D)"
assumes "(t · δ, s · δ) ∈ dbupdsst A δ (D · pset δ)" (is "?in δ A D")
and "∀x ∈ fvs A D.
  δ x = θ x ∨
  (¬(δ x ⊑ t) ∧ ¬(δ x ⊑ s) ∧ ¬(θ x ⊑ t) ∧ ¬(θ x ⊑ s)) ∧
  (∀(u,v) ∈ D. ¬(δ x ⊑ u) ∧ ¬(δ x ⊑ v) ∧ ¬(θ x ⊑ u) ∧ ¬(θ x ⊑ v)) ∧
  (∀u v. insert(u,v) ∈ set A ∨ delete(u,v) ∈ set A →
    ¬(δ x ⊑ u) ∧ ¬(δ x ⊑ v) ∧ ¬(θ x ⊑ u) ∧ ¬(θ x ⊑ v)))"
(is "?A δ θ D")
and "∀x ∈ fvs A D. ∃c. δ x = Fun c []" (is "?B δ")
and "∀x ∈ fvs A D. ∃c. θ x = Fun c []" (is "?B θ")
and "∀x ∈ fvs A D. ∀y ∈ fvs A D. δ x = δ y ↔ θ x = θ y" (is "?C δ θ A D")
shows "(t · θ, s · θ) ∈ dbupdsst A θ (D · pset θ)" (is "?in θ A D")
using assms(2-)
proof (induction A arbitrary: D rule: List.rev_induct)
case Nil
then obtain u v where u: "(u,v) ∈ D" "t · δ = u · δ" "s · δ = v · δ" by auto
let ?X = "fv t ∪ fv u"
let ?Y = "fv s ∪ fv v"
have 0: "fv u ⊑ fvs [] D" "fv v ⊑ fvs [] D" "fv t ⊑ fvs [] D" "fv s ⊑ fvs [] D"
  using u(1) unfolding fvs_def by (blast, blast, blast, blast)
have 1: "∀x ∈ ?X. δ x = θ x ∨ (¬(δ x ⊑ t) ∧ ¬(δ x ⊑ u))" "¬(δ x ⊑ s) ∧ ¬(δ x ⊑ v))"
  using Nil.prems(2) u(1) unfolding fvs_def by (blast, blast)
have 2: "¬(δ x ⊑ t) ∧ ¬(δ x ⊑ u)" "¬(δ x ⊑ s) ∧ ¬(δ x ⊑ v)"
  using Nil.prems(3,4) 0 by (blast, blast, blast, blast)
have 3: "¬(δ x ⊑ t) ∧ ¬(δ x ⊑ u)" "¬(δ x ⊑ s) ∧ ¬(δ x ⊑ v)"
  using Nil.prems(5) 0 by (blast, blast)
have "t · θ = u · θ" "s · θ = v · θ"
  using subst_const_swap_eq'[OF u(2) 1(1) 2(1,2) 3(1)]
        subst_const_swap_eq'[OF u(3) 1(2) 2(3,4) 3(2)]
  by argo+
thus ?case using u(1) by force
next
case (snoc a A)
have 0: "fvs A D ⊑ fvs (A@[a]) D" "set A ⊑ set (A@[a])" unfolding fvs_def by auto
note 1 = dbupdsst_append[of A "[a]"]
have IH: "(t · δ, s · δ) ∈ dbupdsst A δ (D · pset δ) ⟹ (t · θ, s · θ) ∈ dbupdsst A θ (D · pset θ)"
  using snoc.IH[of D] snoc.prems(2-) 0 by blast
let ?q0 = "λt s δ θ. ∀x ∈ fv t ∪ fv s. δ x = θ x ∨ (¬(δ x ⊑ t) ∧ ¬(δ x ⊑ s))"
let ?q1 = "λt s δ. ∀x ∈ fv t ∪ fv s. ∃c. δ x = Fun c []"
let ?q2 = "λt s δ θ. ∀x ∈ fv t ∪ fv s. ∀y ∈ fv t ∪ fv s. δ x = δ y ↔ θ x = θ y"
show ?case
proof (cases "is_Update a")
  case False
  hence "dbupdsst (A@[a]) δ (D · pset δ) = dbupdsst A δ (D · pset δ)"
        "dbupdsst (A@[a]) θ (D · pset θ) = dbupdsst A θ (D · pset θ)"

```

```

using 1 by (cases a; auto) +
thus ?thesis using IH snoc.prems(1) by blast
next
case True
then obtain u v where u: "a = insert(u,v) ∨ a = delete(u,v)" by (cases a) auto

have uv_in: "insert(u,v) ∈ set (A@[a]) ∨ delete(u,v) ∈ set (A@[a])" using u by force
hence fv_uv: "fv u ⊆ fvs (A@[a]) D" "fv v ⊆ fvs (A@[a]) D" unfolding fvs_def by (force,force)

have fv_ts: "fv t ⊆ fvs (A@[a]) D" "fv s ⊆ fvs (A@[a]) D" unfolding fvs_def by (blast,blast)

have q0: "?q0 t u δ ϑ" "?q0 s v δ ϑ"
"?q0 t u ϑ δ" "?q0 s v ϑ δ"
proof -
show "?q0 t u δ ϑ" "?q0 s v δ ϑ"
using snoc.prems(2) 0 fv_ts fv_uv uv_in by (blast,blast)

show "?q0 t u ϑ δ"
proof
fix x assume "x ∈ fv t ∪ fv u"
hence "x ∈ fvs (A@[a]) D" using fv_ts(1) fv_uv(1) by blast
thus "ϑ x = δ x ∨ (¬(ϑ x ⊆ t) ∧ ¬(ϑ x ⊆ u))" using snoc.prems(2) uv_in by auto
qed

show "?q0 s v ϑ δ"
proof
fix x assume "x ∈ fv s ∪ fv v"
hence "x ∈ fvs (A@[a]) D" using fv_ts(2) fv_uv(2) by blast
thus "ϑ x = δ x ∨ (¬(ϑ x ⊆ s) ∧ ¬(ϑ x ⊆ v))" using snoc.prems(2) uv_in by auto
qed
qed

have q1: "?q1 t u δ" "?q1 t u ϑ"
"?q1 s v δ" "?q1 s v ϑ"
using snoc.prems(3,4) 0 fv_ts fv_uv by (blast,blast,blast,blast)

have q2: "?q2 t u δ ϑ" "?q2 s v δ ϑ"
"?q2 t u ϑ δ" "?q2 s v ϑ δ"
using snoc.prems(5) 0 fv_ts fv_uv by (blast,blast,blast,blast,blast)

from u show ?thesis
proof
assume a: "a = insert(u,v)"
show ?thesis
proof (cases "(t · δ, s · δ) = (u,v) ·p δ")
case True
hence "(t · ϑ, s · ϑ) = (u,v) ·p ϑ"
using subst_const_swap_eq'[OF _ q0(1) q1(1,2) q2(1)]
subst_const_swap_eq'[OF _ q0(2) q1(3,4) q2(2)]
by fast
thus ?thesis using 1 unfolding a by simp
next
case False
hence "(t · δ, s · δ) ∈ dbupdsst A δ (D ·pset δ)"
using snoc.prems(1) 1 unfolding a by force
hence "(t · ϑ, s · ϑ) ∈ dbupdsst A ϑ (D ·pset ϑ)"
using IH by blast
thus ?thesis using 1 unfolding a by simp
qed
next
assume a: "a = delete(u,v)"

```

```

have "(t · δ, s · δ) ≠ (u,v) ·p δ"
  using snoc.prems(1) dbupdsst_append[of A "[a]"] unfolding a by fastforce
hence 2: "(t · θ, s · θ) ≠ (u,v) ·p θ"
  using subst_const_swap_eq'[OF _ q0(3) q1(2,1) q2(3)]
    subst_const_swap_eq'[OF _ q0(4) q1(4,3) q2(4)]
  by fast

have "(t · δ, s · δ) ∈ dbupdsst A δ (D ·pset δ)"
  using snoc.prems(1) 1 unfolding a by fastforce
hence 3: "(t · θ, s · θ) ∈ dbupdsst A θ (D ·pset θ)"
  using IH by blast

show ?thesis using 2 3 dbupdsst_append[of A "[a]"] unfolding a by auto
qed
qed
qed

lemma subst_sst_cons: "a#A ·sst δ = (a ·sstp δ)#{(A ·sst δ)}"
by (simp add: subst_apply_stateful_strand_def)

lemma subst_sst_snoc: "A@[a] ·sst δ = (A ·sst δ)@[a ·sstp δ]"
by (simp add: subst_apply_stateful_strand_def)

lemma subst_sst_append[simp]: "A@B ·sst δ = (A ·sst δ)@(B ·sst δ)"
by (simp add: subst_apply_stateful_strand_def)

lemma subst_sst_list_all:
"list_all is_Send S ↔ list_all is_Send (S ·sst δ)"
"list_all is_Receive S ↔ list_all is_Receive (S ·sst δ)"
"list_all is_Equality S ↔ list_all is_Equality (S ·sst δ)"
"list_all is_Insert S ↔ list_all is_Insert (S ·sst δ)"
"list_all is_Delete S ↔ list_all is_Delete (S ·sst δ)"
"list_all is_InSet S ↔ list_all is_InSet (S ·sst δ)"
"list_all is_NegChecks S ↔ list_all is_NegChecks (S ·sst δ)"
"list_all is_Assignment S ↔ list_all is_Assignment (S ·sst δ)"
"list_all is_Check S ↔ list_all is_Check (S ·sst δ)"
"list_all is_Update S ↔ list_all is_Update (S ·sst δ)"
"list_all is_Check_or_Assignment S ↔ list_all is_Check_or_Assignment (S ·sst δ)"

proof (induction S)
  case (Cons x S)
  note * = list_all_def subst_apply_stateful_strand_def
  { case 1 thus ?case using Cons.IH(1) unfolding * by (cases x) auto }
  { case 2 thus ?case using Cons.IH(2) unfolding * by (cases x) auto }
  { case 3 thus ?case using Cons.IH(3) unfolding * by (cases x) auto }
  { case 4 thus ?case using Cons.IH(4) unfolding * by (cases x) auto }
  { case 5 thus ?case using Cons.IH(5) unfolding * by (cases x) auto }
  { case 6 thus ?case using Cons.IH(6) unfolding * by (cases x) auto }
  { case 7 thus ?case using Cons.IH(7) unfolding * by (cases x) auto }
  { case 8 thus ?case using Cons.IH(8) unfolding * by (cases x) fastforce+ }
  { case 9 thus ?case using Cons.IH(9) unfolding * by (cases x) auto }
  { case 10 thus ?case using Cons.IH(10) unfolding * by (cases x) auto }
  { case 11 thus ?case using Cons.IH(11) unfolding * by (cases x) auto }

qed simp_all

lemma subst_sstp_id_subst: "a ·sstp Var = a"
by (cases a) auto

lemma subst_sst_id_subst: "A ·sst Var = A"
by (induct A) (simp, metis subst_sstp_id_subst subst_sst_cons)

lemma sst_vars_append_subset:
"fvsst A ⊆ fvsst (A@B)" "bvarssst A ⊆ bvarssst (A@B)"
"fvsst B ⊆ fvsst (A@B)" "bvarssst B ⊆ bvarssst (A@B)"

```

```

by auto

lemma sst_vars_disj_cons[simp]: "fvsst (a#A) ∩ bvarssst (a#A) = {} ==> fvsst A ∩ bvarssst A = {}"
unfolding fvsst_def bvarssst_def by auto

lemma fvsst_cons_subset[simp]: "fvsst A ⊆ fvsst (a#A)"
by auto

lemma fvsstp_subst_cases[simp]:
  "fvsstp (send(ts) ·sstp θ) = fvset (set ts ·set θ)"
  "fvsstp (receive(ts) ·sstp θ) = fvset (set ts ·set θ)"
  "fvsstp ((c: t ≡ s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "fvsstp (insert(t,s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "fvsstp (delete(t,s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "fvsstp ((c: t ∈ s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "fvsstp (∀X⟨∨≠: F ∨∉: G⟩ ·sstp θ) =
    fvpairs (F ·pairs rmvars (set X) θ) ∪ fvpairs (G ·pairs rmvars (set X) θ) - set X"
by simp_all

lemma varssstp_cases[simp]:
  "varssstp (send(ts)) = fvset (set ts)"
  "varssstp (receive(ts)) = fvset (set ts)"
  "varssstp ((c: t ≡ s)) = fv t ∪ fv s"
  "varssstp (insert(t,s)) = fv t ∪ fv s"
  "varssstp (delete(t,s)) = fv t ∪ fv s"
  "varssstp ((c: t ∈ s)) = fv t ∪ fv s"
  "varssstp (∀X⟨∨≠: F ∨∉: G⟩) = fvpairs F ∪ fvpairs G ∪ set X" (is ?A)
  "varssstp (∀X⟨∨≠: [(t,s)] ∨∉: []⟩) = fv t ∪ fv s ∪ set X" (is ?B)
  "varssstp (∀X⟨∨≠: [] ∨∉: [(t,s)]⟩) = fv t ∪ fv s ∪ set X" (is ?C)
proof
  show ?A ?B ?C by auto
qed simp_all

lemma varssstp_subst_cases[simp]:
  "varssstp (send(ts) ·sstp θ) = fvset (set ts ·set θ)"
  "varssstp (receive(ts) ·sstp θ) = fvset (set ts ·set θ)"
  "varssstp ((c: t ≡ s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "varssstp (insert(t,s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "varssstp (delete(t,s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "varssstp ((c: t ∈ s) ·sstp θ) = fv (t · θ) ∪ fv (s · θ)"
  "varssstp (∀X⟨∨≠: F ∨∉: G⟩ ·sstp θ) =
    fvpairs (F ·pairs rmvars (set X) θ) ∪ fvpairs (G ·pairs rmvars (set X) θ) ∪ set X" (is ?A)
  "varssstp (∀X⟨∨≠: [(t,s)] ∨∉: []⟩ ·sstp θ) =
    fv (t · rmvars (set X) θ) ∪ fv (s · rmvars (set X) θ) ∪ set X" (is ?B)
  "varssstp (∀X⟨∨≠: [] ∨∉: [(t,s)]⟩ ·sstp θ) =
    fv (t · rmvars (set X) θ) ∪ fv (s · rmvars (set X) θ) ∪ set X" (is ?C)
proof
  show ?A ?B ?C by auto
qed simp_all

lemma bvarssst_cons_subset: "bvarssst A ⊆ bvarssst (a#A)"
by auto

lemma bvarssstp_subst: "bvarssstp (a ·sstp δ) = bvarssstp a"
by (cases a) auto

lemma bvarssst_subst: "bvarssst (A ·sst δ) = bvarssst A"
using bvarssstp_subst[of _ δ]
by (induct A) (simp_all add: subst_apply_stateful_strand_def)

lemma bvarssstp_set_cases[simp]:
  "set (bvarssstp (send(ts))) = {}"
  "set (bvarssstp (receive(ts))) = {}"

```

```

"set (bvarssstp ((c: t ≡ s))) = {}"
"set (bvarssstp (insert(t,s))) = {}"
"set (bvarssstp (delete(t,s))) = {}"
"set (bvarssstp ((c: t ∈ s))) = {}"
"set (bvarssstp (∀X(¬F ∨ G))) = set X"
by simp_all

lemma bvarssstp_NegChecks: "¬is_NegChecks a ==> bvarssstp a = []"
by (cases a) simp_all

lemma bvarssst_NegChecks: "bvarssst A = bvarssst (filter is_NegChecks A)"
proof (induction A)
  case (Cons a A) thus ?case by (cases a) fastforce+
qed simp

lemma varssst_append[simp]: "varssst (A @ B) = varssst A ∪ varssst B"
by (simp add: varssst_def)

lemma varssst_Nil[simp]: "varssst [] = {}"
by (simp add: varssst_def)

lemma varssst_Cons: "varssst (a # A) = varssstp a ∪ varssst A"
by (simp add: varssst_def)

lemma fvsst_Cons: "fvsst (a # A) = fvsstp a ∪ fvsst A"
unfolding fvsst_def by simp

lemma bvarssst_Cons: "bvarssst (a # A) = set (bvarssstp a) ∪ bvarssst A"
unfolding bvarssst_def by auto

lemma varssst_Cons'[simp]:
  "varssst (send(ts) # A) = varssstp (send(ts)) ∪ varssst A"
  "varssst (receive(ts) # A) = varssstp (receive(ts)) ∪ varssst A"
  "varssst ((a: t ≡ s) # A) = varssstp ((a: t ≡ s)) ∪ varssst A"
  "varssst (insert(t,s) # A) = varssstp (insert(t,s)) ∪ varssst A"
  "varssst (delete(t,s) # A) = varssstp (delete(t,s)) ∪ varssst A"
  "varssst ((a: t ∈ s) # A) = varssstp ((a: t ∈ s)) ∪ varssst A"
  "varssst (∀X(¬F ∨ G) # A) = varssstp (∀X(¬F ∨ G)) ∪ varssst A"
by (simp_all add: varssst_def)

lemma fvsstp_subst_if_no_bvars:
  assumes a: "bvarssstp a = []"
  shows "fvsstp (a ·sstp θ) = fvset (θ ` fvsstp a)"
proof (cases a)
  case (NegChecks X F G)
  hence "set X = {}" using a by fastforce
  thus ?thesis using fvpairs_subst[of _ θ] unfolding NegChecks by simp
qed (auto simp add: subst_list_set_fv subst_apply_fv_unfold)

lemma fvsst_subst_if_no_bvars:
  assumes A: "bvarssst A = {}"
  shows "fvsst (A ·sst θ) = fvset (θ ` fvsst A)"
using assms
proof (induction A)
  case (Cons a A) thus ?case
    using fvsstp_subst_if_no_bvars[of a θ] fvsst_Cons[of a A] bvarssst_Cons[of a A]
    subst_sst_cons[of a A θ]
    by simp
qed simp

lemma varssstp_is_fvsstp_bvarssstp:
  fixes x::"('a,'b) stateful_strand_step"
  shows "varssstp x = fvsstp x ∪ set (bvarssstp x)"

```

```

proof (cases x)
  case (NegChecks X F G) thus ?thesis by (induct F) force+
qed simp_all

lemma varssst_is_fvsst_bvarssst:
  fixes S::"('a,'b) stateful_strand"
  shows "varssst S = fvsst S ∪ bvarssst S"
proof (induction S)
  case (Cons x S) thus ?case
    using varssstp_is_fvsstp_bvarssstp [of x]
    by (auto simp add: varssst_def)
qed simp

lemma varssstp_NegCheck[simp]:
  "varssstp (¬X(¬=: F ∨=: G)) = set X ∪ fvpairs F ∪ fvpairs G"
by (simp_all add: sup_commute sup_left_commute varssstp_is_fvsstp_bvarssstp)

lemma bvarssstp_NegCheck[simp]:
  "bvarssstp (¬X(¬=: F ∨=: G)) = X"
  "set (bvarssstp (¬[](¬=: F ∨=: G))) = {}"
by simp_all

lemma fvsstp_NegCheck[simp]:
  "fvsstp (¬X(¬=: F ∨=: G)) = fvpairs F ∪ fvpairs G - set X"
  "fvsstp (¬[](¬=: F ∨=: G)) = fvpairs F ∪ fvpairs G"
  "fvsstp ((t != s)) = fv t ∪ fv s"
  "fvsstp ((t not in s)) = fv t ∪ fv s"
by simp_all

lemma fvsst_append[simp]: "fvsst (A@B) = fvsst A ∪ fvsst B"
by simp

lemma bvarssst_append[simp]: "bvarssst (A@B) = bvarssst A ∪ bvarssst B"
by auto

lemma fvsst_mono: "set A ⊆ set B ⟹ fvsst A ⊆ fvsst B"
by auto

lemma fvsstp_is_subterm_trmssstp:
  assumes "x ∈ fvsstp a"
  shows "Var x ∈ subtermsset (trmssstp a)"
using assms var_is_subterm
proof (cases a)
  case (NegChecks X F F')
  hence "x ∈ fvpairs F ∪ fvpairs F' - set X" using assms by simp
  thus ?thesis using NegChecks var_is_subterm by fastforce
qed force+

lemma fvsst_is_subterm_trmssst: "x ∈ fvsst A ⟹ Var x ∈ subtermsset (trmssst A)"
proof (induction A)
  case (Cons a A) thus ?case using fvsstp_is_subterm_trmssstp by (cases "x ∈ fvsst A") auto
qed simp

lemma var_subterm_trmssstp_is_varssstp:
  assumes "Var x ∈ subtermsset (trmssstp a)"
  shows "x ∈ varssstp a"
using assms vars_iff_subtermeq
proof (cases a)
  case (NegChecks X F F')
  hence "Var x ∈ subtermsset (trmspairs F ∪ trmspairs F')" using assms by simp
  thus ?thesis using NegChecks vars_iff_subtermeq by force
qed force+

```

```

lemma var_subterm_trmssst_is_varsst: "Var x ∈ subtermsset (trmssst A) ⟹ x ∈ varsst A"
proof (induction A)
  case (Cons a A)
  show ?case
  proof (cases "Var x ∈ subtermsset (trmssst A)")
    case True thus ?thesis using Cons.IH by (simp add: varsst_def)
  next
    case False thus ?thesis
      using Cons.preds var_subterm_trmssstp_is_varsstp
      by (fastforce simp add: varsst_def)
  qed
qed simp

lemma var_trmssst_is_varsst: "Var x ∈ trmssst A ⟹ x ∈ varsst A"
by (meson var_subterm_trmssst_is_varsst UN_I term.order_refl)

lemma iksst_trmssst_subset: "iksst A ⊆ trmssst A"
by (force simp add: iksst_def)

lemma var_subterm_iksst_is_varsst: "Var x ∈ subtermsset (iksst A) ⟹ x ∈ varsst A"
using var_subterm_trmssst_is_varsst iksst_trmssst_subset by fast

lemma var_subterm_iksst_is_fvst:
  assumes "Var x ∈ subtermsset (iksst A)"
  shows "x ∈ fvst A"
proof -
  obtain ts where ts: "Receive ts ∈ set A" "Var x ⊑set set ts"
    using assms unfolding iksst_def by atomize_elim auto
  hence "fvst (set ts) ⊆ fvst A" unfolding fvst_def by force
  thus ?thesis using ts(2) subterm_is_var by fastforce
qed

lemma fv_iksst_is_fvst:
  assumes "x ∈ fvst (iksst A)"
  shows "x ∈ fvst A"
using var_subterm_iksst_is_fvst assms var_is_subterm by fastforce

lemma fv_trmssst_subset:
  "fvst (trmssst S) ⊆ varsst S"
  "fvst S ⊆ fvst (trmssst S)"
proof (induction S)
  case (Cons x S)
  have *: "fvst (trmssst (x#S)) = fvst (trmssstp x) ∪ fvst (trmssst S)"
    "fvst (x#S) = fvstp x ∪ fvst S" "varsst (x#S) = varsstp x ∪ varsst S"
    unfolding trmssst_def fvst_def varsst_def
    by auto
  { case 1
    show ?case using Cons.IH(1)
    proof (cases x)
      case (NegChecks X F G)
      hence "trmssstp x = trmspairs F ∪ trmspairs G"
        "varsstp x = fvpairs F ∪ fvpairs G ∪ set X"
        by (simp, meson varsstp_cases(7))
      hence "fvst (trmssstp x) ⊆ varsstp x"
        using fv_trmspairs_is_fvpairs[of F] fv_trmspairs_is_fvpairs[of G]
        by auto
      thus ?thesis
        using Cons.IH(1) *(1,3)
        by blast
    qed auto
  }

```

```

{ case 2
  show ?case using Cons.IH(2)
  proof (cases x)
    case (NegChecks X F G)
    hence "trmssstp x = trmspairs F ∪ trmspairs G"
      "fvsstp x = (fvpairs F ∪ fvpairs G) - set X"
    by auto
    hence "fvsstp x ⊆ fvset (trmssstp x)"
      using fv_trmspairs_is_fvpairs[of F] fv_trmspairs_is_fvpairs[of G]
      by auto
    thus ?thesis
      using Cons.IH(2) *(1,2)
      by blast
  qed auto
}
qed simp_all

lemma fv_ik_subset_fv_sst'[simp]: "fvset (iksst S) ⊆ fvsst S"
unfolding iksst_def by (induct S) auto

lemma fv_ik_subset_vars_sst'[simp]: "fvset (iksst S) ⊆ varssst S"
using fv_ik_subset_fv_sst' fv_trmssst_subset by fast

lemma iksst_var_is_fv: "Var x ∈ subtermssst (iksst A) ⟹ x ∈ fvsst A"
by (meson fv_ik_subset_fv_sst'[of A] fv_subset_subterms subsetCE term.set_intro(3))

lemma varssstp_subst_cases':
assumes x: "x ∈ varssstp (s ·sstp θ)"
shows "x ∈ varssstp s ∨ x ∈ fvset (θ ` varssstp s)"
using x vars_term_subst[of _ θ] varssstp_cases(1,2,3,4,5,6) varssstp_subst_cases(1,2)[of _ θ]
      varssstp_subst_cases(3,6)[of _ _ _ θ] varssstp_subst_cases(4,5)[of _ _ θ]
proof (cases s)
  case (NegChecks X F G)
  let ?θ' = "rm_vars (set X) θ"
  have "x ∈ fvpairs (F ·pairs ?θ') ∨ x ∈ fvpairs (G ·pairs ?θ') ∨ x ∈ set X"
    using varssstp_subst_cases(7)[of X F G θ] x NegChecks by simp
  hence "x ∈ fvset (?θ' ` fvpairs F) ∨ x ∈ fvset (?θ' ` fvpairs G) ∨ x ∈ set X"
    using fvpairs_subst[of _ ?θ'] by blast
  hence "x ∈ fvset (θ ` fvpairs F) ∨ x ∈ fvset (θ ` fvpairs G) ∨ x ∈ set X"
    using rm_vars_fvset_subst by fast
  thus ?thesis
    using NegChecks varssstp_cases(7)[of X F G]
    by auto
qed simp_all

lemma varssst_subst_cases:
assumes x: "x ∈ varssst (S ·sst θ)"
shows "x ∈ varssst S ∨ x ∈ fvset (θ ` varssst S)"
using assms
proof (induction S)
  case (Cons s S) thus ?case
    proof (cases "x ∈ varssst (S ·sst θ)")
      case False
        note * = substsst_cons[of s S θ] varssst_Cons[of "s ·sstp θ" "S ·sst θ"] varssst_Cons[of s S]
        have **: "x ∈ varssstp (s ·sstp θ)" using Cons.preds False * by simp
        show ?thesis using varssstp_subst_cases'[OF **] * by auto
    qed (auto simp add: varssst_def)
  qed simp

lemma subset_subst_pairs_diff_exists:
fixes I:: "('a,'b) subst" and D D':: "('a,'b) dbstate"
shows "∃ Di. Di ⊆ D ∧ Di ·pset I = (D ·pset I) - D''"
by (metis (no_types, lifting) Diff_subset subset_image_iff)

```

```

lemma subset_subst_pairs_diff_exists':
  fixes I :: "('a, 'b) subst" and D :: "('a, 'b) dbstate"
  assumes "finite D"
  shows "?Di. Di ⊆ D ∧ Di ·_pset I ⊆ {d ·_p I} ∧ d ·_p I ∉ (D - Di) ·_pset I"
using assms
proof (induction D rule: finite_induct)
  case (insert d' D)
  then obtain Di where IH: "Di ⊆ D" "Di ·_pset I ⊆ {d ·_p I}" "d ·_p I ∉ (D - Di) ·_pset I" by atomize_elim auto
  show ?case
    proof (cases "d' ·_p I = d ·_p I")
      case True
      hence "insert d' Di ⊆ insert d' D" "insert d' Di ·_pset I ⊆ {d ·_p I}"
        "d ·_p I ∉ (insert d' D - insert d' Di) ·_pset I"
        using IH by auto
      thus ?thesis by metis
    next
      case False
      hence "Di ⊆ insert d' D" "Di ·_pset I ⊆ {d ·_p I}"
        "d ·_p I ∉ (insert d' D - Di) ·_pset I"
        using IH by auto
      thus ?thesis by metis
    qed
  qed simp

```

```

lemma stateful_strand_step_subst_inI[intro]:
  "send⟨ts⟩ ∈ set A ⇒ send⟨ts ·_list θ⟩ ∈ set (A ·_sst θ)"
  "receive⟨ts⟩ ∈ set A ⇒ receive⟨ts ·_list θ⟩ ∈ set (A ·_sst θ)"
  "(c: t ⊢ s) ∈ set A ⇒ (c: (t · θ) ⊢ (s · θ)) ∈ set (A ·_sst θ)"
  "insert⟨t, s⟩ ∈ set A ⇒ insert⟨t · θ, s · θ⟩ ∈ set (A ·_sst θ)"
  "delete⟨t, s⟩ ∈ set A ⇒ delete⟨t · θ, s · θ⟩ ∈ set (A ·_sst θ)"
  "(c: t ∈ s) ∈ set A ⇒ (c: (t · θ) ∈ (s · θ)) ∈ set (A ·_sst θ)"
  "∀X(¬(F ∨ G) ∈ set A
    ⇒ ∀X(¬(F ·_pairs rm_vars (set X) θ) ∨ ¬(G ·_pairs rm_vars (set X) θ)) ∈ set (A ·_sst θ))"
  "(t ≠ s) ∈ set A ⇒ (t · θ ≠ s · θ) ∈ set (A ·_sst θ)"
  "(t not in s) ∈ set A ⇒ (t · θ not in s · θ) ∈ set (A ·_sst θ)"
proof (induction A)
  case (Cons a A)
  note * = subst_sst_cons[of a A θ]
  { case 1 thus ?case using Cons.IH(1) * by (cases a) auto }
  { case 2 thus ?case using Cons.IH(2) * by (cases a) auto }
  { case 3 thus ?case using Cons.IH(3) * by (cases a) auto }
  { case 4 thus ?case using Cons.IH(4) * by (cases a) auto }
  { case 5 thus ?case using Cons.IH(5) * by (cases a) auto }
  { case 6 thus ?case using Cons.IH(6) * by (cases a) auto }
  { case 7 thus ?case using Cons.IH(7) * by (cases a) auto }
  { case 8 thus ?case using Cons.IH(8) * by (cases a) auto }
  { case 9 thus ?case using Cons.IH(9) * by (cases a) auto }
qed simp_all

```

```

lemma stateful_strand_step_cases_subst:
  "is_Send a = is_Send (a ·_sstp θ)"
  "is_Receive a = is_Receive (a ·_sstp θ)"
  "is_Equality a = is_Equality (a ·_sstp θ)"
  "is_Insert a = is_Insert (a ·_sstp θ)"
  "is_Delete a = is_Delete (a ·_sstp θ)"
  "is_InSet a = is_InSet (a ·_sstp θ)"
  "is_NegChecks a = is_NegChecks (a ·_sstp θ)"
  "is_Assignment a = is_Assignment (a ·_sstp θ)"
  "is_Check a = is_Check (a ·_sstp θ)"
  "is_Update a = is_Update (a ·_sstp θ)"
  "is_Check_or_Assignment a = is_Check_or_Assignment (a ·_sstp θ)"

```

```

by (cases a; simp_all)+

lemma stateful_strand_step_substD:
  "a ·sstp σ = send⟨ts⟩ ⟹ ∃ts'. ts = ts' ·list σ ∧ a = send⟨ts'⟩"
  "a ·sstp σ = receive⟨ts⟩ ⟹ ∃ts'. ts = ts' ·list σ ∧ a = receive⟨ts'⟩"
  " $\langle c: t \doteq s \rangle \in set S \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge a = \langle c: t' \doteq s' \rangle$ "
  "a ·sstp σ = insert⟨t,s⟩ ⟹ ∃t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge a = insert(t',s')"
  "a ·sstp σ = delete⟨t,s⟩ ⟹ ∃t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge a = delete(t',s')"
  "a ·sstp σ =  $\langle c: t \in s \rangle \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge a = \langle c: t' \in s' \rangle$ "
  "a ·sstp σ =  $\forall X \langle \forall \neq: F \vee \notin: G \rangle \Rightarrow$ 
     $\exists F' G'. F = F' \cdot pairs rm\_vars (set X) \wedge G = G' \cdot pairs rm\_vars (set X) \wedge \sigma \wedge$ 
     $a = \forall X \langle \forall \neq: F' \vee \notin: G' \rangle$ "
  " $\langle t \neq s \rangle \in set S \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge a = \langle t' \neq s' \rangle$ "
  " $\langle t \text{ not in } s \rangle \in set S \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge a = \langle t' \text{ not in } s' \rangle$ "
by (cases a; auto simp add: subst_apply_pairs_def; fail)+

lemma stateful_strand_step_mem_substD:
  "send⟨ts⟩ ∈ set (S ·sst σ) ⟹ ∃ts'. ts = ts' ·list σ ∧ send⟨ts'⟩ ∈ set S"
  "receive⟨ts⟩ ∈ set (S ·sst σ) ⟹ ∃ts'. ts = ts' ·list σ ∧ receive⟨ts'⟩ ∈ set S"
  " $\langle c: t \doteq s \rangle \in set (S ·sst \sigma) \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge \langle c: t' \doteq s' \rangle \in set S$ "
  "insert⟨t,s⟩ ∈ set (S ·sst σ) ⟹ ∃t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge insert(t',s') ∈ set S"
  "delete⟨t,s⟩ ∈ set (S ·sst σ) ⟹ ∃t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge delete(t',s') ∈ set S"
  " $\langle c: t \in s \rangle \in set (S ·sst \sigma) \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge \langle c: t' \in s' \rangle \in set S$ "
  " $\forall X \langle \forall \neq: F \vee \notin: G \rangle \in set (S ·sst \sigma) \Rightarrow$ 
     $\exists F' G'. F = F' \cdot pairs rm\_vars (set X) \wedge G = G' \cdot pairs rm\_vars (set X) \wedge \sigma \wedge$ 
     $\forall X \langle \forall \neq: F' \vee \notin: G' \rangle \in set S$ "
  " $\langle t \neq s \rangle \in set (S ·sst \sigma) \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge \langle t' \neq s' \rangle \in set S$ "
  " $\langle t \text{ not in } s \rangle \in set (S ·sst \sigma) \Rightarrow \exists t' s'. t = t' \cdot \sigma \wedge s = s' \cdot \sigma \wedge \langle t' \text{ not in } s' \rangle \in set S$ "
proof (induction S)
  case (Cons a S)
  have *: "x ∈ set (S ·sst σ)"
    when "x ∈ set (a#S ·sst σ)" "x ≠ a ·sstp σ" for x
    using that by (simp add: subst_apply_stateful_strand_def)

  { case 1 thus ?case using Cons.IH(1)[OF *] by (cases a) auto }
  { case 2 thus ?case using Cons.IH(2)[OF *] by (cases a) auto }
  { case 3 thus ?case using Cons.IH(3)[OF *] by (cases a) auto }
  { case 4 thus ?case using Cons.IH(4)[OF *] by (cases a) auto }
  { case 5 thus ?case using Cons.IH(5)[OF *] by (cases a) auto }
  { case 6 thus ?case using Cons.IH(6)[OF *] by (cases a) auto }
  { case 7 thus ?case using Cons.IH(7)[OF *] by (cases a) auto }
  { case 8 show ?case
    proof (cases a)
      case (NegChecks Y F' G') thus ?thesis
      proof (cases " $\langle t \neq s \rangle = a \cdotsstp \sigma$ ")
        case True thus ?thesis using NegChecks stateful_strand_step_substD(8)[of a σ t s] by force
        qed (use 8 Cons.IH(8)[OF *] in auto)
        qed (use 8 Cons.IH(8)[OF *] in simp_all)
    }
  { case 9 show ?case
    proof (cases a)
      case (NegChecks Y F' G') thus ?thesis
      proof (cases " $\langle t \text{ not in } s \rangle = a \cdotsstp \sigma$ ")
        case True thus ?thesis using NegChecks stateful_strand_step_substD(9)[of a σ t s] by force
        qed (use 9 Cons.IH(9)[OF *] in auto)
        qed (use 9 Cons.IH(9)[OF *] in simp_all)
    }
  qed simp_all

lemma stateful_strand_step_fv_subset_cases:
  "send⟨ts⟩ ∈ set S ⟹ fv_set (set ts) ⊆ fv_sst S"
  "receive⟨ts⟩ ∈ set S ⟹ fv_set (set ts) ⊆ fv_sst S"
  " $\langle c: t \doteq s \rangle \in set S \Rightarrow fv t \cup fv s \subseteq fv_sst S$ "

```

```

"insert(t,s) ∈ set S ⇒ fv t ∪ fv s ⊆ fvsst S"
"delete(t,s) ∈ set S ⇒ fv t ∪ fv s ⊆ fvsst S"
"(c: t ∈ s) ∈ set S ⇒ fv t ∪ fv s ⊆ fvsst S"
"∀X(∀≠: F ∀∉: G) ∈ set S ⇒ fvpairs F ∪ fvpairs G - set X ⊆ fvsst S"
"(t != s) ∈ set S ⇒ fv t ∪ fv s ⊆ fvsst S"
"(t not in s) ∈ set S ⇒ fv t ∪ fv s ⊆ fvsst S"
proof (induction S)
  case (Cons a S)
    { case 1 thus ?case using Cons.IH(1) by auto }
    { case 2 thus ?case using Cons.IH(2) by auto }
    { case 3 thus ?case using Cons.IH(3) by auto }
    { case 4 thus ?case using Cons.IH(4) by auto }
    { case 5 thus ?case using Cons.IH(5) by auto }
    { case 6 thus ?case using Cons.IH(6) by auto }
    { case 7 thus ?case using Cons.IH(7) by fastforce }
    { case 8 thus ?case using Cons.IH(8) by fastforce }
    { case 9 thus ?case using Cons.IH(9) by fastforce }
qed simp_all

lemma trmssst_nil[simp]:
  "trmssst [] = {}"
unfolding trmssst_def by simp

lemma trmssst_mono:
  "set M ⊆ set N ⇒ trmssst M ⊆ trmssst N"
by auto

lemma trmssst_memI[intro?]:
  "send(ts) ∈ set S ⇒ t ∈ set ts ⇒ t ∈ trmssst S"
  "receive(ts) ∈ set S ⇒ t ∈ set ts ⇒ t ∈ trmssst S"
  "(ac: t ≈ s) ∈ set S ⇒ t ∈ trmssst S"
  "(ac: t ≈ s) ∈ set S ⇒ s ∈ trmssst S"
  "insert(t,s) ∈ set S ⇒ t ∈ trmssst S"
  "insert(t,s) ∈ set S ⇒ s ∈ trmssst S"
  "delete(t,s) ∈ set S ⇒ t ∈ trmssst S"
  "delete(t,s) ∈ set S ⇒ s ∈ trmssst S"
  "∀X(∀≠: F ∀∉: G) ∈ set S ⇒ t ∈ trmspairs F ⇒ t ∈ trmssst S"
  "∀X(∀≠: F ∀∉: G) ∈ set S ⇒ t ∈ trmspairs G ⇒ t ∈ trmssst S"
unfolding trmssst_def by fastforce+
unfolding trmssst_def by fastforce

lemma trmssst_in:
  assumes "t ∈ trmssst S"
  shows "?a ∈ set S. t ∈ trmssstp a"
using assms unfolding trmssst_def by simp

lemma trmssst_cons: "trmssst (a#A) = trmssstp a ∪ trmssst A"
unfolding trmssst_def by force

lemma trmssst_append[simp]: "trmssst (A@B) = trmssst A ∪ trmssst B"
unfolding trmssst_def by force

lemma trmssst_subst:
  assumes "set (bvarssstp a) ∩ subst_domain θ = {}"
  shows "trmssstp (a ·sstp θ) = trmssstp a ·set θ"
proof (cases a)
  case (NegChecks X F G)
  hence "rm_vars (set X) θ = θ" using assms rm_vars_apply'[of θ "set X"] by auto
  hence "trmssstp (a ·sstp θ) = trmspairs (F ·pairs θ) ∪ trmspairs (G ·pairs θ)"
    "trmssstp a ·set θ = (trmspairs F ·set θ) ∪ (trmspairs G ·set θ)"
    using NegChecks image_Un by simp_all
  thus ?thesis by (metis trmspairs_subst)
qed simp_all

```

```

lemma trmssstp_subst':
  assumes "¬is_NegChecks a"
  shows "trmssstp (a ·sstp δ) = trmssstp a ·set δ"
using assms by (cases a) simp_all

lemma trmssstp_subst'':
  fixes t::("a,'b) term" and δ::("a,'b) subst"
  assumes "t ∈ trmssstp (b ·sstp δ)"
  shows "∃s ∈ trmssstp b. t = s · rm_vars (set (bvarssstp b)) δ"
proof (cases "is_NegChecks b")
  case True
  then obtain X F G where *: "b = NegChecks X F G" by (cases b) auto
  thus ?thesis using assms trmspairs_subst[of _ "rm_vars (set X) δ"] by auto
next
  case False
  hence "trmssstp (b ·sstp δ) = trmssstp b ·set rm_vars (set (bvarssstp b)) δ"
    using trmssstp_subst' bvarssstp_NegChecks
    by fastforce
  thus ?thesis using assms by fast
qed

lemma trmssstp_subst''':
  fixes t::("a,'b) term" and δ δ::("a,'b) subst"
  assumes "t ∈ trmssstp (b ·sstp δ) ·set δ"
  shows "∃s ∈ trmssstp b. t = s · rm_vars (set (bvarssstp b)) δ os δ"
proof -
  obtain s where s: "s ∈ trmssstp (b ·sstp δ)" "t = s · δ" using assms by atomize_elim auto
  show ?thesis using trmssstp_subst'''[OF s(1)] s(2) by auto
qed

lemma trmssst_subst:
  assumes "bvarssst S ∩ subst_domain δ = {}"
  shows "trmssst (S ·sst δ) = trmssst S ·set δ"
using assms
proof (induction S)
  case (Cons a S)
  hence IH: "trmssst (S ·sst δ) = trmssst S ·set δ" and *: "set (bvarssstp a) ∩ subst_domain δ = {}"
    by auto
  show ?case using trmssstp_subst[OF *] IH by (auto simp add: subst_apply_stateful_strand_def)
qed simp

lemma trmssst_subst_cons:
  "trmssst (a#A ·sst δ) = trmssstp (a ·sstp δ) ∪ trmssst (A ·sst δ)"
using substsst_cons[of a A δ] trmssst_cons[of a A] trmssst_append by simp

lemma (in intruder_model) wftrms_trmssstp_subst:
  assumes "wftrms (trmssstp a ·set δ)"
  shows "wftrms (trmssstp (a ·sstp δ))"
  using assms
proof (cases a)
  case (NegChecks X F G)
  hence *: "trmssstp (a ·sstp δ) =
    (trmspairs (F ·pairs rm_vars (set X) δ)) ∪ (trmspairs (G ·pairs rm_vars (set X) δ))"
    by simp
  have "trmssstp a ·set δ = (trmspairs F ·set δ) ∪ (trmspairs G ·set δ)"
    using NegChecks image_Un by simp
  hence "wftrms (trmspairs F ·set δ)" "wftrms (trmspairs G ·set δ)" using * assms by auto
  hence "wftrms (trmspairs F ·set rm_vars (set X) δ)"
    "wftrms (trmspairs G ·set rm_vars (set X) δ)"
    using wftrms_subst_rm_vars[of δ "trmspairs F" "set X"]
    wftrms_subst_rm_vars[of δ "trmspairs G" "set X"]
  by fast+

```

```

thus ?thesis
  using * trmspairs_subst[of _ "rm_vars (set X) δ"]
  by auto
qed auto

lemma trmssst_fv_varssst_subset: "t ∈ trmssst A ⇒ fv t ⊆ varssst A"
proof (induction A)
  case (Cons a A) thus ?case by (cases a) auto
qed simp

lemma trmssst_fv_subst_subset:
  assumes "t ∈ trmssst S" "subst_domain δ ∩ bvarssst S = {}"
  shows "fv (t · δ) ⊆ varssst (S ·sst δ)"
using assms
proof (induction S)
  case (Cons s S) show ?case
  proof (cases "t ∈ trmssst S")
    case True
    hence "fv (t · δ) ⊆ varssst (S ·sst δ)" using Cons.IH Cons.prems by auto
    thus ?thesis using substsst_cons[of s S δ] unfolding varssst_def by auto
  next
    case False
    hence *: "t ∈ trmssstp s" "subst_domain δ ∩ set (bvarssstp s) = {}" using Cons.prems by auto
    hence "fv (t · δ) ⊆ varssstp (s ·sstp δ)"
    proof (cases s)
      case (NegChecks X F G)
      hence **: "t ∈ trmspairs F ∨ t ∈ trmspairs G" using *(1) by auto
      have ***: "rm_vars (set X) δ = δ" using *(2) NegChecks rm_vars_apply' by auto
      have "fv (t · δ) ⊆ fvpairs (F ·pairs rm_vars (set X) δ) ∪ fvpairs (G ·pairs rm_vars (set X) δ)"
        using *** trmspairs_fv_subst_subset[of t · δ] by auto
      thus ?thesis using *(2) using NegChecks varssstp_subst_cases(7)[of X F G δ] by blast
    qed auto
    thus ?thesis using substsst_cons[of s S δ] unfolding varssst_def by auto
  qed
qed simp

lemma trmssst_fv_subst_subset':
  assumes "t ∈ subtermsset (trmssst S)" "fv t ∩ bvarssst S = {}" "fv (t · δ) ∩ bvarssst S = {}"
  shows "fv (t · δ) ⊆ fvsst (S ·sst δ)"
using assms
proof (induction S)
  case (Cons s S) show ?case
  proof (cases "t ∈ subtermsset (trmssst S)")
    case True
    hence "fv (t · δ) ⊆ fvsst (S ·sst δ)" using Cons.IH Cons.prems by auto
    thus ?thesis using substsst_cons[of s S δ] unfolding varssst_def by auto
  next
    case False
    hence 0: "t ∈ subtermsset (trmssstp s)" "fv t ∩ set (bvarssstp s) = {}"
      "fv (t · δ) ∩ set (bvarssstp s) = {}"
      using Cons.prems by auto
    note 1 = UN_Un UN_insert fvset.simp subst_apply_fv_subset subst_apply_fv_unfold
    note 2 = subst_apply_fv_union
    have "fv (t · δ) ⊆ fvsstp (s ·sstp δ)"
    proof (cases s)
      case (Send ts)
      have "fv t ⊆ fvset (set ts)" using fv_subset[OF 0(1)] unfolding Send fv_subterms_set by simp
      hence "fv (t · δ) ⊆ fvset (set ts ·set δ)"
        by (metis subst_apply_fv_subset subst_apply_fv_unfold_set)
    qed
  qed
qed

```

```

thus ?thesis using Send by simp
next
  case (Receive ts)
  have "fv t ⊆ fv_set (set ts)" using fv_subset[OF 0(1)] unfolding Receive fv_subterms_set by simp
  hence "fv (t · θ) ⊆ fv_set (set ts · set θ)"
    by (metis subst_apply_fv_subset subst_apply_fv_unfold_set)
  thus ?thesis using Receive by simp
next
  case (NegChecks X F G)
  hence 3: "t ∈ subterms_set (trms_pairs F) ∨ t ∈ subterms_set (trms_pairs G)" using 0(1) by auto
  have "t · rm_vars (set X) θ = t · θ" using 0(2) NegChecks rm_vars_ident[of t] by auto
  hence "fv (t · θ) ⊆ fv_pairs (F · pairs rm_vars (set X) θ) ∪ fv_pairs (G · pairs rm_vars (set X) θ)"
    using 3 trms_pairs_fv_subst_subset'[of t _ "rm_vars (set X) θ"] by fastforce
  thus ?thesis using 0(2,3) NegChecks fv_sstp_subst_cases(7)[of X F G θ] by auto
qed (metis (no_types, lifting) 1 2 trms_sstp.simps(3) fv_sstp_subst_cases(3),
      metis (no_types, lifting) 1 2 trms_sstp.simps(4) fv_sstp_subst_cases(4),
      metis (no_types, lifting) 1 2 trms_sstp.simps(5) fv_sstp_subst_cases(5),
      metis (no_types, lifting) 1 2 trms_sstp.simps(6) fv_sstp_subst_cases(6))
thus ?thesis using subst_sst_cons[of s S θ] unfolding fv_sst_def by auto
qed
qed simp

lemma trms_sstp_funs_term_cases:
assumes "t ∈ trms_sstp (s · sstp θ)" "f ∈ funs_term t"
shows "(∃u ∈ trms_sstp s. f ∈ funs_term u) ∨ (∃x ∈ fv_sstp s. f ∈ funs_term (θ x))"
using assms
proof (cases s)
  case (NegChecks X F G)
  hence "t ∈ trms_pairs (F · pairs rm_vars (set X) θ) ∨ t ∈ trms_pairs (G · pairs rm_vars (set X) θ)"
    using assms(1) by auto
  hence "(∃u ∈ trms_pairs F. f ∈ funs_term u) ∨ (∃x ∈ fv_pairs F. f ∈ funs_term (rm_vars (set X) θ x)) ∨
        (∃u ∈ trms_pairs G. f ∈ funs_term u) ∨ (∃x ∈ fv_pairs G. f ∈ funs_term (rm_vars (set X) θ x))"
    using trms_pairs_funs_term_cases[OF _ assms(2), of _ "rm_vars (set X) θ"] by meson
  hence "(∃u ∈ trms_pairs F ∪ trms_pairs G. f ∈ funs_term u) ∨
        (∃x ∈ fv_pairs F ∪ fv_pairs G. f ∈ funs_term (rm_vars (set X) θ x))"
    by blast
  thus ?thesis
proof
  assume "∃x ∈ fv_pairs F ∪ fv_pairs G. f ∈ funs_term (rm_vars (set X) θ x)"
  then obtain x where x: "x ∈ fv_pairs F ∪ fv_pairs G" "f ∈ funs_term (rm_vars (set X) θ x)"
    by auto
  hence "x ∉ set X" "rm_vars (set X) θ x = θ x" by auto
  thus ?thesis using x by (auto simp add: assms NegChecks)
qed (auto simp add: assms NegChecks)
qed (use assms funs_term_subst[of _ θ] in auto)

lemma trms_sst_funs_term_cases:
assumes "t ∈ trms_sst (S · sst θ)" "f ∈ funs_term t"
shows "(∃u ∈ trms_sst S. f ∈ funs_term u) ∨ (∃x ∈ fv_sst S. f ∈ funs_term (θ x))"
using assms(1)
proof (induction S)
  case (Cons s S) thus ?case
  proof (cases "t ∈ trms_sst (S · sst θ)")
    case False
    hence "t ∈ trms_sstp (S · sstp θ)" using Cons.preds(1) subst_sst_cons[of s S θ] trms_sst_cons by auto
    thus ?thesis using trms_sstp_funs_term_cases[OF _ assms(2)] by fastforce
  qed auto
qed simp

lemma fv_sst_is_subterm_trms_sst_subst:
assumes "x ∈ fv_sst T"
  and "bvars_sst T ∩ subst_domain θ = {}"
shows "θ x ∈ subterms_set (trms_sst (T · sst θ))"
```

```

using trmssst_subst[OF assms(2)] subterms_subst_subset'[of  $\vartheta$  "trmssst T"]
  fvsst_is_subterm_trmssst[OF assms(1)]
by (metis (no_types, lifting) image_iff subset_iff eval_term.simps(1))

lemma fvsst_subst_fv_subset:
  assumes "x ∈ fvsst S" "x ∉ bvarssst S" "fv (θ x) ∩ bvarssst S = {}"
  shows "fv (θ x) ⊆ fvsst (S ·sst θ)"
using assms
proof (induction S)
  case (Cons a S)
  note 1 = fv_subst_subset[of _ _  $\vartheta$ ]
  note 2 = subst_apply_fv_union subst_apply_fv_unfold[of _  $\vartheta$ ] fv_subset image_eqI
  note 3 = fvsstp_subst_cases
  note 4 = fvsstp.simp
  from Cons show ?case
  proof (cases "x ∈ fvsst S")
    case False
    hence 5: "x ∈ fvsstp a" "fv (θ x) ∩ set (bvarssstp a) = {}" "x ∉ set (bvarssstp a)"
      using Cons.prems by auto
    hence "fv (θ x) ⊆ fvsstp (a ·sstp θ)"
    proof (cases a)
      case (Send ts) thus ?thesis using 1 5(1) 3(1) 4(1) by auto
    next
      case (Receive ts) thus ?thesis using 1 5(1) 3(2) 4(2) by auto
    next
      case (NegChecks X F G)
      let ?δ = "rm_vars (set X) θ"
      have *: "x ∈ fvpairs F ∪ fvpairs G" using NegChecks 5(1) by auto
      have **: "fv (θ x) ∩ set X = {}" using NegChecks 5(2) by simp
      have ***: "θ x = ?δ x" using NegChecks 5(3) by auto
      have "fv (θ x) ⊆ fvpairs (F ·pairs ?δ) ∪ fvpairs (G ·pairs ?δ)"
        using fvpairs_subst_fv_subset[of x _ ?δ] * *** by auto
      thus ?thesis using NegChecks ** by auto
    qed (metis (full_types) 2 5(1) 3(3) 4(3), metis (full_types) 2 5(1) 3(4) 4(4),
      metis (full_types) 2 5(1) 3(5) 4(5), metis (full_types) 2 5(1) 3(6) 4(6))
    thus ?thesis by (auto simp add: substsst_cons[of a S θ])
  qed (auto simp add: substsst_cons[of a S θ])
qed simp

lemma (in intruder_model) wftrms_trmssst_subst:
  assumes "wftrms (trmssst A ·set δ)"
  shows "wftrms (trmssst (A ·sst δ))"
  using assms
proof (induction A)
  case (Cons a A)
  hence IH: "wftrms (trmssst (A ·sst δ))" and *: "wftrms (trmssstp a ·set δ)" by auto
  have "wftrms (trmssstp (a ·sstp δ))" by (rule wftrms_trmssstp_subst[OF *])
  thus ?case using IH trmssst_subst_cons[of a A δ] by blast
qed simp

lemma fvsst_subst_obtain_var:
  assumes "x ∈ fvsst (S ·sst δ)"
  shows "∃ y ∈ fvsst S. x ∈ fv (δ y)"
  using assms
proof (induction S)
  case (Cons s S)
  hence "x ∈ fvsst (S ·sst δ) ⟹ ∃ y ∈ fvsst S. x ∈ fv (δ y)"
    using bvarssst_cons_subset[of S s]
    by blast
  thus ?case
  proof (cases "x ∈ fvsst (S ·sst δ)")
    case False
    hence *: "x ∈ fvsstp (s ·sstp δ)"

```

```

using Cons.prems(1) subst_sst_cons[of s S δ]
by fastforce

have "∃y ∈ fvsstp s. x ∈ fv (δ y)"
proof (cases s)
  case (NegChecks X F G)
  hence "x ∈ fvpairs (F · pairs rm_vars (set X) δ) ∨ x ∈ fvpairs (G · pairs rm_vars (set X) δ)"
    and **: "x ∉ set X"
    using * by simp_all
  then obtain y where y: "y ∈ fvpairs F ∨ y ∈ fvpairs G" "x ∈ fv ((rm_vars (set X) δ) y)"
    using fvpairs_subst_obtain_var[of _ _ "rm_vars (set X) δ"]
    by blast
  have "y ∉ set X"
  proof
    assume y_in: "y ∈ set X"
    hence "(rm_vars (set X) δ) y = Var y" by auto
    hence "x = y" using y(2) by simp
    thus False using ** y_in by metis
  qed
  thus ?thesis using NegChecks y by auto
qed (use * fv_subst_obtain_var in force)+
thus ?thesis by auto
qed auto
qed simp

lemma fvsst_subst_subset_range_vars_if_subset_domain:
assumes "fvsst S ⊆ subst_domain σ"
shows "fvsst (S ·sst σ) ⊆ range_vars σ"
using assms fvsst_subst_obtain_var[of _ S σ] subst_dom_vars_in_subst[of _ σ] subst_fv_imgI[of σ]
by (metis (no_types) in_mono subsetI)

lemma fvsst_in_fv_trmssst: "x ∈ fvsst S ⇒ x ∈ fvset (trmssst S)"
proof (induction S)
  case (Cons s S) thus ?case
  proof (cases "x ∈ fvsst S")
    case False
    hence *: "x ∈ fvsstp s" using Cons.prems by simp
    hence "x ∈ fvset (trmssstp s)"
    proof (cases s)
      case (NegChecks X F G)
      hence "x ∈ fvpairs F ∨ x ∈ fvpairs G" using * by simp_all
      thus ?thesis using * fvpairs_in_fv_trmspairs[of x] NegChecks by auto
    qed auto
    thus ?thesis by simp
  qed simp
qed simp

lemma fvsstp_ground_subst_compose:
assumes "subst_domain δ = subst_domain σ"
  and "range_vars δ = {}" "range_vars σ = {}"
shows "fvsstp (a ·sstp δ os θ) = fvsstp (a ·sstp σ os θ)"
proof -
  note 0 = fv_ground_subst_compose

  have 1: "range_vars δ ∩ set (bvarssstp a) = {}" "range_vars σ ∩ set (bvarssstp a) = {}"
    using assms(2,3) by (blast,blast)

  note 2 = 0[OF assms, of _ θ]

  show ?thesis
  proof (cases a)
    case (NegChecks X F G)
    have 3: "range_vars δ ∩ set X = {}" "range_vars (rm_vars (set X) δ) = {}"
  
```

```

"range_vars  $\sigma \cap \text{set } X = \{\}$ " "range_vars (rm_vars (set X)  $\sigma$ ) = \{\}"
using assms(2,3) rm_vars_img_fv_subset[of "set X"] by auto

have 4: "subst_domain (rm_vars (set X)  $\delta$ ) = subst_domain (rm_vars (set X)  $\sigma$ )"
using assms(1) rm_vars_dom[of "set X"] by blast

have 5: "fv (t · rm_vars (set X) ( $\delta \circ_s \vartheta$ )) = fv (t · rm_vars (set X) ( $\sigma \circ_s \vartheta$ ))" for t
using 2[of t] rm_vars_comp[OF 3(1), of t  $\vartheta$ ] rm_vars_comp[OF 3(3), of t  $\vartheta$ ]
0[OF 4 3(2,4), of t "rm_vars (set X)  $\vartheta$ "]
by argo

have 6: "fv_{pairs} (H ·_{pairs} rm_vars (set X) ( $\delta \circ_s \vartheta$ )) = fv_{pairs} (H ·_{pairs} rm_vars (set X) ( $\sigma \circ_s \vartheta$ ))"
for H
proof -
have "fv_{pair} (h ·_p rm_vars (set X) ( $\delta \circ_s \vartheta$ )) = fv_{pair} (h ·_p rm_vars (set X) ( $\sigma \circ_s \vartheta$ ))" for h
proof -
obtain s t where h: "h = (s,t)" by (metis surj_pair)
show ?thesis using 5[of s] 5[of t] unfolding h by fast
qed
thus ?thesis unfolding subst_apply_pairs_def by auto
qed

show ?thesis using 5 6 unfolding NegChecks by simp
qed (use 2 in auto)
qed

lemma fv_{sst}_ground_subst_compose:
assumes "subst_domain  $\delta$  = subst_domain  $\sigma$ "
and "range_vars  $\delta = \{\}$ " "range_vars  $\sigma = \{\}$ "
shows "fv_{sst} (S ·_{sst}  $\delta \circ_s \vartheta$ ) = fv_{sst} (S ·_{sst}  $\sigma \circ_s \vartheta$ )"
by (induct S) (auto simp add: fv_{sst}_ground_subst_compose[OF assms] fv_{sst}_Cons subst_{sst}_cons)

lemma stateful_strand_step_subst_comp:
assumes "range_vars  $\delta \cap \text{set } (\text{bvars}_{sstp} x) = \{\}$ "
shows "x ·_{sstp}  $\delta \circ_s \vartheta = (x ·_{sstp} \delta) ·_{sstp} \vartheta"
proof (cases x)
case (NegChecks X F G)
hence *: "range_vars  $\delta \cap \text{set } X = \{\}$ " using assms by simp
have "H ·_{pairs} rm_vars (set X) ( $\delta \circ_s \vartheta$ ) = (H ·_{pairs} rm_vars (set X)  $\delta$ ) ·_{pairs} rm_vars (set X)  $\vartheta$ " for H
using pairs_subst_comp rm_vars_comp[OF *] by (induct H) (auto simp add: subst_apply_pairs_def)
thus ?thesis using NegChecks by simp
qed simp_all

lemma stateful_strand_subst_comp:
assumes "range_vars  $\delta \cap \text{bvars}_{sst} S = \{\}$ "
shows "S ·_{sst}  $\delta \circ_s \vartheta = (S ·_{sst} \delta) ·_{sst} \vartheta"
using assms
proof (induction S)
case (Cons s S)
hence IH: "S ·_{sst}  $\delta \circ_s \vartheta = (S ·_{sst} \delta) ·_{sst} \vartheta" using Cons by auto
have "s ·_{sstp}  $\delta \circ_s \vartheta = (s ·_{sstp} \delta) ·_{sstp} \vartheta"
using Cons.prems stateful_strand_step_subst_comp[of  $\delta$  s  $\vartheta$ ]
unfolding range_vars_alt_def by auto
thus ?case using IH by (simp add: subst_apply_stateful_strand_def)
qed simp

lemma subst_apply_bvars_disj_NegChecks:
assumes "set X \cap \text{subst\_domain } \vartheta = \{\}"
shows "NegChecks X F G ·_{sstp} \vartheta = NegChecks X (F ·_{pairs} \vartheta) (G ·_{pairs} \vartheta)"
proof -
have "rm_vars (set X) \vartheta = \vartheta" using assms rm_vars_apply'[of  $\vartheta$  "set X"] by auto
thus ?thesis by simp$$$$ 
```

qed

```

lemma subst_apply_NegChecks_no_bvars[simp]:
  " $\forall \square \langle \vee \neq: F \vee \notin: F' \rangle \cdot_{sstp} \vartheta = \forall \square \langle \vee \neq: (F \cdot_{pairs} \vartheta) \vee \notin: (F' \cdot_{pairs} \vartheta) \rangle$ " 
  " $\forall \square \langle \vee \neq: [] \vee \notin: F' \rangle \cdot_{sstp} \vartheta = \forall \square \langle \vee \neq: [] \vee \notin: (F' \cdot_{pairs} \vartheta) \rangle$ " 
  " $\forall \square \langle \vee \neq: F \vee \notin: [] \rangle \cdot_{sstp} \vartheta = \forall \square \langle \vee \neq: (F \cdot_{pairs} \vartheta) \vee \notin: [] \rangle$ " 
  " $\forall \square \langle \vee \neq: [] \vee \notin: [(t,s)] \rangle \cdot_{sstp} \vartheta = \forall \square \langle \vee \neq: [] \vee \notin: (([t \cdot \vartheta, s \cdot \vartheta])) \rangle$ " 
  " $\forall \square \langle \vee \neq: [(t,s)] \vee \notin: [] \rangle \cdot_{sstp} \vartheta = \forall \square \langle \vee \neq: (([t \cdot \vartheta, s \cdot \vartheta])) \vee \notin: [] \rangle$ " 
by simp_all

lemma setops_sst_mono:
  "set M ⊆ set N ⟹ setops_sst M ⊆ setops_sst N"
by (auto simp add: setops_sst_def)

lemma setops_sst_nil[simp]: "setops_sst [] = {}"
by (simp add: setops_sst_def)

lemma setops_sst_cons[simp]: "setops_sst (a#A) = setops_sstp a ∪ setops_sst A"
by (simp add: setops_sst_def)

lemma setops_sst_cons_subset[simp]: "setops_sst A ⊆ setops_sst (a#A)"
using setops_sst_cons[of a A] by blast

lemma setops_sst_append: "setops_sst (A@B) = setops_sst A ∪ setops_sst B"
proof (induction A)
  case (Cons a A) thus ?case by (cases a) (auto simp add: setops_sst_def)
qed (simp add: setops_sst_def)

lemma setops_sstp_member_iff:
  " $(t,s) \in setops_sstp x \iff$   

    $(x = Insert t s \vee x = Delete t s \vee (\exists ac. x = InSet ac t s) \vee$   

    $(\exists X F F'. x = NegChecks X F F' \wedge (t,s) \in set F'))$ "  

by (cases x) auto

lemma setops_sst_member_iff:
  " $(t,s) \in setops_sst A \iff$   

    $(Insert t s \in set A \vee Delete t s \in set A \vee (\exists ac. InSet ac t s \in set A) \vee$   

    $(\exists X F F'. NegChecks X F F' \in set A \wedge (t,s) \in set F'))$ "  

(is "?P \iff ?Q")
proof (induction A)
  case (Cons a A) thus ?case
    proof (cases "(t, s) ∈ setops_sstp a")
      case True thus ?thesis using setops_sstp_member_iff[of t s a] by auto
    qed auto
  qed simp

lemma setops_sstp_subst:
  assumes "set (bvars_sstp a) ∩ subst_domain θ = {}"
  shows "setops_sstp (a ·sstp θ) = setops_sstp a ·pset θ"
proof (cases a)
  case (NegChecks X F G)
  hence "rm_vars (set X) θ = θ" using assms rm_vars_apply'[of θ "set X"] by auto
  hence "setops_sstp (a ·sstp θ) = set (G ·pairs θ)"
  "setops_sstp a ·pset θ = set G ·pset θ"
  using NegChecks image_Un by simp_all
  thus ?thesis by (simp add: subst_apply_pairs_def)
qed simp_all

lemma setops_sstp_subst':
  assumes "¬is_NegChecks a"
  shows "setops_sstp (a ·sstp θ) = setops_sstp a ·pset θ"
using assms by (cases a) auto

```

```

lemma setopssstp_subst'':
  fixes t::("a,'b) term × ("a,'b) term" and δ::("a,'b) subst"
  assumes t: "t ∈ setopssstp (b ·sstp δ)"
  shows "∃s ∈ setopssstp b. t = s ·p rm_vars (set (bvarssstp b)) δ"
proof (cases "is_NegChecks b")
  case True
  then obtain X F G where b: "b = NegChecks X F G" by (cases b) auto
  hence "setopssstp b = set G" "setopssstp (b ·sstp δ) = set (G ·pairs rm_vars (set (bvarssstp b)) δ)"
    by simp_all
  thus ?thesis using t subst_apply_pairs_pset_subst[of G] by blast
next
  case False
  hence "setopssstp (b ·sstp δ) = setopssstp b ·pset rm_vars (set (bvarssstp b)) δ"
    using setopssstp_subst' bvarssstp_NegChecks by fastforce
  thus ?thesis using t by blast
qed

lemma setopssst_subst:
  assumes "bvarssst S ∩ subst_domain θ = {}"
  shows "setopssst (S ·sst θ) = setopssst S ·pset θ"
using assms
proof (induction S)
  case (Cons a)
  have "bvarssst S ∩ subst_domain θ = {}" and *: "set (bvarssstp a) ∩ subst_domain θ = {}"
    using Cons.prems by auto
  hence IH: "setopssst (S ·sst θ) = setopssst S ·pset θ"
    using Cons.IH by auto
  show ?case
    using setopssstp_subst[OF *] IH unfolding setopssst_def
    by (auto simp add: subst_apply_stateful_strand_def)
qed (simp add: setopssst_def)

lemma setopssst_subst':
  fixes p::("a,'b) term × ("a,'b) term" and δ::("a,'b) subst"
  assumes "p ∈ setopssst (S ·sst δ)"
  shows "∃s ∈ setopssst S. ∃X. set X ⊆ bvarssst S ∧ p = s ·p rm_vars (set X) δ"
using assms
proof (induction S)
  case (Cons a S)
  note 0 = setopssst_cons[of a S] bvarssst_Cons[of a S]
  note 1 = setopssst_cons[of "a ·sstp δ" "S ·sst δ"] substsst_cons[of a S δ]
  have "p ∈ setopssst (S ·sst δ) ∨ p ∈ setopssstp (a ·sstp δ)" using Cons.prems 1 by auto
  thus ?case
    proof
      assume *: "p ∈ setopssstp (a ·sstp δ)"
      show ?thesis using setopssstp_subst''[OF *] 0 by blast
    next
      assume *: "p ∈ setopssst (S ·sst δ)"
      show ?thesis using Cons.IH[OF *] 0 by blast
    qed
  qed simp

```

### 4.1.3 Stateful Constraint Semantics

```

context intruder_model
begin

definition negchecks_model where
  "negchecks_model (I::('a,'b) subst) (D::('a,'b) dbstate) X F G ≡
  (∀δ. subst_domain δ = set X ∧ ground (subst_range δ) →
  (∃(t,s) ∈ set F. t · δ os I ≠ s · δ os I) ∨
  (∃(t,s) ∈ set G. (t,s) ·p δ os I ∉ D))"

```

```

fun strand_sem_stateful::
  "('fun,'var) terms ⇒ ('fun,'var) dbstate ⇒ ('fun,'var) stateful_strand ⇒ ('fun,'var) subst ⇒
  bool"
  (<[_; _; _]>)
where
  " $\llbracket M; D; [] \rrbracket_s = (\lambda \mathcal{I}. \text{True})$ "
  " $\llbracket M; D; Send ts\#S \rrbracket_s = (\lambda \mathcal{I}. (\forall t \in \text{set } ts. M \vdash t \cdot \mathcal{I}) \wedge \llbracket M; D; S \rrbracket_s \mathcal{I})$ "
  " $\llbracket M; D; Receive ts\#S \rrbracket_s = (\lambda \mathcal{I}. \llbracket (\text{set } ts \cdot_{\text{set}} \mathcal{I}) \cup M; D; S \rrbracket_s \mathcal{I})$ "
  " $\llbracket M; D; Equality _ t t' \#S \rrbracket_s = (\lambda \mathcal{I}. t \cdot \mathcal{I} = t' \cdot \mathcal{I} \wedge \llbracket M; D; S \rrbracket_s \mathcal{I})$ "
  " $\llbracket M; D; Insert t s\#S \rrbracket_s = (\lambda \mathcal{I}. \llbracket M; insert ((t,s) \cdot_p \mathcal{I}) D; S \rrbracket_s \mathcal{I})$ "
  " $\llbracket M; D; Delete t s\#S \rrbracket_s = (\lambda \mathcal{I}. \llbracket M; D - \{(t,s) \cdot_p \mathcal{I}\}; S \rrbracket_s \mathcal{I})$ "
  " $\llbracket M; D; InSet _ t s\#S \rrbracket_s = (\lambda \mathcal{I}. (t,s) \cdot_p \mathcal{I} \in D \wedge \llbracket M; D; S \rrbracket_s \mathcal{I})$ "
  " $\llbracket M; D; NegChecks X F F' \#S \rrbracket_s = (\lambda \mathcal{I}. \text{negchecks\_model } \mathcal{I} D X F F' \wedge \llbracket M; D; S \rrbracket_s \mathcal{I})$ "

lemmas strand_sem_stateful_induct =
  strand_sem_stateful.induct[case_names Nil ConsSnd ConsRcv ConsEq
                            ConsIns ConsDel ConsIn ConsNegChecks]

abbreviation constr_sem_stateful (infix <|=s> 91) where " $\mathcal{I} \models_s A \equiv \llbracket \{\}; \{\}; A \rrbracket_s \mathcal{I}$ "

lemma stateful_strand_sem_NegChecks_no_bvars:
  " $\llbracket M; D; \langle t \text{ not in } s \rangle \rrbracket_s \mathcal{I} \longleftrightarrow (t \cdot \mathcal{I}, s \cdot \mathcal{I}) \notin D$ "
  " $\llbracket M; D; \langle t \neq s \rangle \rrbracket_s \mathcal{I} \longleftrightarrow t \cdot \mathcal{I} \neq s \cdot \mathcal{I}$ "
by (simp_all add: negchecks_model_def empty_dom_iff_empty_subst)

lemma strand_sem_ik_mono_stateful:
  " $\llbracket M; D; A \rrbracket_s \mathcal{I} \implies \llbracket M \cup M'; D; A \rrbracket_s \mathcal{I}'$ "
proof (induction A arbitrary: M M' D rule: strand_sem_stateful.induct)
  case (2 M D ts S)
  hence " $\forall t \in \text{set } ts. M \vdash t \cdot \mathcal{I}$ " " $\llbracket M \cup M'; D; S \rrbracket_s \mathcal{I}$ " by auto
  thus ?case
    using ideduct_mono[of M _ "M ∪ M'"] strand_sem_stateful.simps(2)[of "M ∪ M'" D ts S]
    by fastforce
next
  case (3 M D ts S)
  hence " $\llbracket (\text{set } ts \cdot_{\text{set}} \mathcal{I}) \cup M; D; S \rrbracket_s \mathcal{I}$ " by simp
  hence " $\llbracket (\text{set } ts \cdot_{\text{set}} \mathcal{I}) \cup (M \cup M'); D; S \rrbracket_s \mathcal{I}$ " by (metis Un_assoc)
  thus ?case by simp
qed simp_all

lemma strand_sem_append_stateful:
  " $\llbracket M; D; A @ B \rrbracket_s \mathcal{I} \longleftrightarrow \llbracket M; D; A \rrbracket_s \mathcal{I} \wedge \llbracket M \cup (ik_{sst} A \cdot_{\text{set}} \mathcal{I}); dbupd_{sst} A \mathcal{I} D; B \rrbracket_s \mathcal{I}$ "
(is "?P \longleftrightarrow ?Q \wedge ?R")
proof -
  have 1: "?P \implies ?Q" by (induct A rule: strand_sem_stateful.induct) auto
  have 2: "?P \implies ?R"
  proof (induction A arbitrary: M D B)
    case (Cons a A) thus ?case
      proof (cases a)
        case (Receive ts)
        have "(set ts \cdot_{\text{set}} \mathcal{I}) \cup (M \cup (ik_{sst} A \cdot_{\text{set}} \mathcal{I})) = M \cup (ik_{sst} (a@a) \cdot_{\text{set}} \mathcal{I})"
          "dbupd_{sst} A \mathcal{I} D = dbupd_{sst} (a@a) \mathcal{I} D"
        using Receive by (auto simp add: ik_sst_def)
        thus ?thesis
          using Cons Receive
          by (metis (no_types, lifting) Un_assoc append_Cons strand_sem_stateful.simps(3))
      qed (auto simp add: ik_sst_def)
      qed (simp add: ik_sst_def)

    have 3: "?Q \implies ?R \implies ?P"
    proof (induction A arbitrary: M D)

```

```

case (Cons a A) thus ?case
proof (cases a)
  case (Receive ts)
    have "(set ts ·set I) ∪ (M ∪ (iksst A ·set I)) = M ∪ (iksst (a#A) ·set I)"
      "dbupdsst A I D = dbupdsst (a#A) I D"
      using Receive by (auto simp add: iksst_def)
    thus ?thesis
      using Cons Receive
      by (metis (no_types, lifting) Un_assoc append_Cons strand_sem_stateful.simps(3))
    qed (auto simp add: iksst_def)
  qed (simp add: iksst_def)

  show ?thesis by (metis 1 2 3)
qed

lemma negchecks_model_db_subset:
  fixes F F'::"((a,b) term × (a,b) term) list"
  assumes "D' ⊆ D"
  and "negchecks_model I D X F F'"
  shows "negchecks_model I D' X F F'"

proof -
  have "∃ (s,t) ∈ set F'. (s,t) ·p δ ∘s I ∉ D''"
    when "∃ (s,t) ∈ set F'. (s,t) ·p δ ∘s I ∉ D"
    for δ::"(a,b) subst"
    using that assms(1) by blast
  thus ?thesis using assms(2) unfolding negchecks_model_def by meson
qed

lemma negchecks_model_db_supset:
  fixes F F'::"((a,b) term × (a,b) term) list"
  assumes "D' ⊆ D"
  and "∀ f ∈ set F'. ∀ δ. subst_domain δ = set X ∧ ground (subst_range δ) → f ·p (δ ∘s I) ∉ D - D''"
  and "negchecks_model I D' X F F'"
  shows "negchecks_model I D X F F'"

proof -
  have "∃ (s,t) ∈ set F'. (s,t) ·p δ ∘s I ∉ D"
    when "∃ (s,t) ∈ set F'. (s,t) ·p δ ∘s I ∉ D'" "subst_domain δ = set X ∧ ground (subst_range δ)"
    for δ::"(a,b) subst"
    using that assms(1,2) by blast
  thus ?thesis using assms(3) unfolding negchecks_model_def by meson
qed

lemma negchecks_model_subst:
  fixes F F'::"((a,b) term × (a,b) term) list"
  assumes "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
  shows "negchecks_model (δ ∘s θ) D X F F' ↔ negchecks_model θ D X (F ·pairs δ) (F' ·pairs δ)"

proof -
  have 0: "σ ∘s (δ ∘s θ) = δ ∘s (σ ∘s θ)"
    when σ: "subst_domain σ = set X" "ground (subst_range σ)" for σ
    by (metis (no_types, lifting) σ subst_compose_assoc assms(1) inf_sup_aci(1)
        subst_comp_eq_if_disjoint_vars sup_inf_absorb range_vars_alt_def)

  { fix σ::"(a,b) subst" and t t'
    assume σ: "subst_domain σ = set X" "ground (subst_range σ)"
    and *: "∃ (s,t) ∈ set F. s · (σ ∘s (δ ∘s θ)) ≠ t · (σ ∘s (δ ∘s θ))"
    obtain f where f: "f ∈ set F" "fst f · σ ∘s (δ ∘s θ) ≠ snd f · σ ∘s (δ ∘s θ)"
      using * unfolding case_prod_unfold by (induct F) auto
    hence "(fst f · δ) · σ ∘s θ ≠ (snd f · δ) · σ ∘s θ" using 0[OF σ] by simp
    moreover have "(fst f · δ, snd f · δ) ∈ set (F ·pairs δ)"
      using f(1) by (auto simp add: subst_apply_pairs_def)
    ultimately have "∃ (s,t) ∈ set (F ·pairs δ). s · (σ ∘s θ) ≠ t · (σ ∘s θ)"
      using f(1) by fastforce
  }

```

```

} moreover {
  fix  $\sigma ::= ('a, 'b) \text{ subst}$  and  $t t'$ 
  assume  $\sigma: \text{"subst\_domain } \sigma = \text{set } X" \text{ "ground (subst\_range } \sigma)"$ 
    and  $*: \exists (s, t) \in \text{set } F'. (s, t) \cdot_p \sigma \circ_s (\delta \circ_s \vartheta) \notin D"$ 
  obtain  $f$  where  $f: "f \in \text{set } F'" \text{ "f} \cdot_p \sigma \circ_s (\delta \circ_s \vartheta) \notin D"$ 
    using  $*$  by (induct  $F'$ ) auto
  hence " $f \cdot_p \delta \cdot_p \sigma \circ_s \vartheta \notin D$ " using  $0[\text{OF } \sigma]$  by (metis subst_pair_compose)
  moreover have " $f \cdot_p \delta \in \text{set } (F' \cdot_{\text{pairs}} \delta)$ "
    using  $f(1)$  by (auto simp add: subst_apply_pairs_def)
  ultimately have " $\exists (s, t) \in \text{set } (F' \cdot_{\text{pairs}} \delta). (s, t) \cdot_p \sigma \circ_s \vartheta \notin D"$ 
    using  $f(1)$  by (metis (no_types, lifting) case_prodI2)
} moreover {
  fix  $\sigma ::= ('a, 'b) \text{ subst}$  and  $t t'$ 
  assume  $\sigma: \text{"subst\_domain } \sigma = \text{set } X" \text{ "ground (subst\_range } \sigma)"$ 
    and  $*: \exists (s, t) \in \text{set } (F' \cdot_{\text{pairs}} \delta). s \cdot (\sigma \circ_s \vartheta) \neq t \cdot (\sigma \circ_s \vartheta)"$ 
  obtain  $f$  where  $f: "f \in \text{set } (F' \cdot_{\text{pairs}} \delta)" \text{ "fst } f \cdot \sigma \circ_s \vartheta \neq snd f \cdot \sigma \circ_s \vartheta"$ 
    using  $*$  by (induct  $F$ ) auto
  then obtain  $g$  where  $g: "g \in \text{set } F" \text{ "f} = g \cdot_p \delta"$  by (auto simp add: subst_apply_pairs_def)
  have " $\text{fst } g \cdot \sigma \circ_s (\delta \circ_s \vartheta) \neq \text{snd } g \cdot \sigma \circ_s (\delta \circ_s \vartheta)"$ 
    using  $f(2) g 0[\text{OF } \sigma]$  by (simp add: prod.case_eq_if)
  hence " $\exists (s, t) \in \text{set } F. s \cdot (\sigma \circ_s (\delta \circ_s \vartheta)) \neq t \cdot (\sigma \circ_s (\delta \circ_s \vartheta))"$ 
    using  $g$  by fastforce
} moreover {
  fix  $\sigma ::= ('a, 'b) \text{ subst}$  and  $t t'$ 
  assume  $\sigma: \text{"subst\_domain } \sigma = \text{set } X" \text{ "ground (subst\_range } \sigma)"$ 
    and  $*: \exists (s, t) \in \text{set } (F' \cdot_{\text{pairs}} \delta). (s, t) \cdot_p (\sigma \circ_s \vartheta) \notin D"$ 
  obtain  $f$  where  $f: "f \in \text{set } (F' \cdot_{\text{pairs}} \delta)" \text{ "f} \cdot_p \sigma \circ_s \vartheta \notin D"$ 
    using  $*$  by (induct  $F'$ ) auto
  then obtain  $g$  where  $g: "g \in \text{set } F'" \text{ "f} = g \cdot_p \delta"$  by (auto simp add: subst_apply_pairs_def)
  have " $g \cdot_p \sigma \circ_s (\delta \circ_s \vartheta) \notin D$ "
    using  $f(2) g 0[\text{OF } \sigma]$  by (simp add: prod.case_eq_if)
  hence " $\exists (s, t) \in \text{set } F'. (s, t) \cdot_p (\sigma \circ_s (\delta \circ_s \vartheta)) \notin D"$ 
    using  $g$  by (metis (no_types, lifting) case_prodI2)
} ultimately show ?thesis
  using assms unfolding negchecks_model_def by meson
qed

```

```

lemma strand_sem_subst_stateful:
  fixes  $\delta ::= ('fun, 'var) \text{ subst}$ 
  assumes "(subst_domain  $\delta \cup \text{range\_vars } \delta) \cap \text{bvars}_{sst} S = \{\}"$ 
  shows " $\llbracket M; D; S \rrbracket_s (\delta \circ_s \vartheta) \longleftrightarrow \llbracket M; D; S \cdot_{sst} \delta \rrbracket_s \vartheta$ "
```

**proof**

```

  note [simp] = subst_sst_cons[of _ _  $\delta$ ] subst_subst_compose[of _  $\delta \circ_s \vartheta$ ]

  have "(subst_domain  $\delta \cup \text{range\_vars } \delta) \cap (subst_domain \gamma \cup \text{range\_vars } \gamma) = \{\}"
    when  $\delta: (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap \text{set } X = \{\}$ 
      and  $\gamma: \text{subst\_domain } \gamma = \text{set } X" \text{ "ground (subst\_range } \gamma)"$ 
    for  $X$  and  $\gamma ::= ('fun, 'var) \text{ subst}$ 
    using  $\delta \gamma$  unfolding range_vars_alt_def by auto
  hence  $0: \gamma \circ_s \delta = \delta \circ_s \gamma$ 
    when  $\delta: (\text{subst\_domain } \delta \cup \text{range\_vars } \delta) \cap \text{set } X = \{\}$ 
      and  $\gamma: \text{subst\_domain } \gamma = \text{set } X" \text{ "ground (subst\_range } \gamma)"$ 
    for  $\gamma X$ 
    by (metis  $\delta \gamma$  subst_comp_eq_if_disjoint_vars)

  show " $\llbracket M; D; S \rrbracket_s (\delta \circ_s \vartheta) \implies \llbracket M; D; S \cdot_{sst} \delta \rrbracket_s \vartheta$ " using assms
  proof (induction S arbitrary: M D rule: strand_sem_stateful_induct)
    case (ConsSnd M D ts S)
    hence " $\forall t \in \text{set } ts. M \vdash t \cdot \delta \cdot \vartheta$ " and IH: " $\llbracket M; D; S \cdot_{sst} \delta \rrbracket_s \vartheta$ " by auto
    hence " $\forall t \in \text{set } (ts \cdot_{\text{list}} \delta). M \vdash t \cdot \vartheta$ " by simp
    thus ?case using IH by simp
  next
    case (ConsRcv M D ts S)$ 
```

```

hence "[(set ts ·set δ os θ) ∪ M; D; S ·sst δ]_s θ" by simp
hence "[(set (ts ·list δ) ·set θ) ∪ M; D; S ·sst δ]_s θ" by (metis list.set_map subst_comp_all)
thus ?case by simp
next
  case (ConsNegChecks M D X F F' S)
    hence *: "[M; D; S ·sst δ]_s θ" and **: "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
      unfolding bvarssst_def negchecks_model_def by (force, auto)
    have "negchecks_model (δ os θ) D X F F'" using ConsNegChecks by auto
    hence "negchecks_model θ D X (F ·pairs δ) (F' ·pairs δ)"
      using O[OF **] negchecks_model_subst[OF **] by blast
    moreover have "rm_vars (set X) δ = δ" using ConsNegChecks.preds(2) by force
    ultimately show ?case using * by auto
qed simp_all

show "[M; D; S ·sst δ]_s θ ⟹ [M; D; S]_s (δ os θ)" using assms
proof (induction S arbitrary: M D rule: strand_sem_stateful_induct)
  case (ConsSnd M D ts S)
    hence "∀ t ∈ set (ts ·list δ). M ⊢ t · θ" and IH: "[M; D; S]_s (δ os θ)" by auto
    hence "∀ t ∈ set ts. M ⊢ t · δ · θ" by simp
    thus ?case using IH by simp
next
  case (ConsRcv M D ts S)
    hence "[(set (ts ·list δ) ·set θ) ∪ M; D; S]_s (δ os θ)" by simp
    hence "[(set ts ·set δ os θ) ∪ M; D; S]_s (δ os θ)" by (metis list.set_map subst_comp_all)
    thus ?case by simp
next
  case (ConsNegChecks M D X F F' S)
    have δ: "rm_vars (set X) δ = δ" using ConsNegChecks.preds(2) by force
    hence *: "[M; D; S]_s (δ os θ)" and **: "(subst_domain δ ∪ range_vars δ) ∩ set X = {}"
      using ConsNegChecks unfolding bvarssst_def negchecks_model_def by auto
    have "negchecks_model θ D X (F ·pairs δ) (F' ·pairs δ)"
      using ConsNegChecks.preds(1) δ by (auto simp add: subst_compose_assoc negchecks_model_def)
    hence "negchecks_model (δ os θ) D X F F'"
      using O[OF **] negchecks_model_subst[OF **] by blast
    thus ?case using * by auto
qed simp_all
qed

lemma strand_sem_receive_prepend_stateful:
  assumes "[M; D; S]_s θ"
    and "list_all is_Receive S'"
  shows "[M; D; S@S']_s θ"
using assms(2)
proof (induction S' rule: List.rev_induct)
  case (snoc x S')
    hence "∃ t. x = receive⟨t⟩" "[M; D; S@S']_s θ"
      unfolding list_all_iff is_Receive_def by auto
    thus ?case using strand_sem_append_stateful[of M D "S@S'" "[x]" θ] by auto
qed (simp add: assms(1))

lemma negchecks_model_model_swap:
  fixes I J::("a","b) subst"
  assumes "∀ x ∈ (fvpairs F ∪ fvpairs G) - set X. I x = J x"
    and "negchecks_model I D X F G"
  shows "negchecks_model J D X F G"
proof -
  have 0: "∀ x ∈ (fvpairs F ∪ fvpairs G). (δ os I) x = (δ os J) x"
    when "subst_domain δ = set X" "ground (subst_range δ)"
    for δ::("a","b) subst"
    using that assms(1)
    by (metis DiffI ground_subst_range_empty_fv subst_comp_notin_dom_eq
        subst_ground_ident_compose(1))

```

```

have 1: " $s \cdot (\delta \circ_s J) \neq t \cdot (\delta \circ_s J)$ "
  when " $s \cdot (\delta \circ_s I) \neq t \cdot (\delta \circ_s I)$ " " $(s, t) \in \text{set } F$ "
    "subst_domain  $\delta = \text{set } X$ " "ground (subst_range  $\delta$ )"
  for  $\delta ::= ('a, 'b) \text{ subst}$  and  $s t$ 
  using that(1,2) 0[ $\text{OF that}(3,4)$ ] term_subst_eq_conv[of _ " $\delta \circ_s I$ " " $\delta \circ_s J$ "]
    UnCI fv_pairs_inI(4,5)[ $\text{OF that}(2)$ ]
  by metis

have 2: " $(s, t) \cdot_p (\delta \circ_s J) \notin D$ "
  when " $(s, t) \cdot_p (\delta \circ_s I) \notin D$ " " $(s, t) \in \text{set } G$ "
    "subst_domain  $\delta = \text{set } X$ " "ground (subst_range  $\delta$ )"
  for  $\delta ::= ('a, 'b) \text{ subst}$  and  $s t$ 
  using that(1,2) 0[ $\text{OF that}(3,4)$ ] fv_pairs_inI(4,5)[of s t G]
    term_subst_eq_conv[of s " $\delta \circ_s I$ " " $\delta \circ_s J$ "]
    term_subst_eq_conv[of t " $\delta \circ_s I$ " " $\delta \circ_s J$ "]
  by simp

have 3: " $(\exists (s, t) \in \text{set } F. s \cdot \delta \circ_s J \neq t \cdot \delta \circ_s J) \vee (\exists (s, t) \in \text{set } G. (s, t) \cdot_p \delta \circ_s J \notin D)$ "
  when "subst_domain  $\delta = \text{set } X$ " "ground (subst_range  $\delta$ )"
    " $(\exists (s, t) \in \text{set } F. s \cdot \delta \circ_s I \neq t \cdot \delta \circ_s I) \vee (\exists (s, t) \in \text{set } G. (s, t) \cdot_p \delta \circ_s I \notin D)$ "
  for  $\delta ::= ('a, 'b) \text{ subst}$ 
  using 1[ $\text{OF } \dots \text{ that}(1,2)$ ] 2[ $\text{OF } \dots \text{ that}(1,2)$ ] that(3) by blast
thus ?thesis
  using assms(2) unfolding negchecks_model_def by simp
qed

lemma strand_sem_model_swap:
  assumes " $\forall x \in \text{fv}_{sst} S. I x = J x$ "
  and " $\llbracket M; D; S \rrbracket_s I$ "
  shows " $\llbracket M; D; S \rrbracket_s J$ "
using assms(2,1)
proof (induction S arbitrary: M D rule: strand_sem_stateful_induct)
  case (ConsSnd M D ts S)
  hence *: " $\llbracket M; D; S \rrbracket_s J$ " " $\forall t \in \text{set } ts. M \vdash t \cdot I$ " " $\forall x \in \text{fv}_{set} (\text{set } ts). I x = J x$ "
    by (fastforce, fastforce, fastforce)

  have " $\forall t \in \text{set } ts. M \vdash t \cdot J$ "
    using *(2,3) term_subst_eq_conv[of _ I J]
    by (metis fv_subset subsetD)
  thus ?case using *(1) by auto
next
  case (ConsRcv M D ts S)
  hence *: " $\llbracket (\text{set } ts \cdot_{set} I) \cup M; D; S \rrbracket_s J$ " " $\forall x \in \text{fv}_{set} (\text{set } ts). I x = J x$ "
    by (fastforce, fastforce)

  have "set ts \cdot_{set} I = set ts \cdot_{set} J"
    using *(2) term_subst_eq_conv[of _ I J]
    by (meson fv_subset image_cong subsetD)
  thus ?case using *(1) by simp
next
  case (ConsEq M D ac t t' S) thus ?case
    using term_subst_eq_conv[of t I J] term_subst_eq_conv[of t' I J] by force
next
  case (ConsIns M D t s S) thus ?case
    using term_subst_eq_conv[of t I J] term_subst_eq_conv[of s I J] by force
next
  case (ConsDel M D t s S) thus ?case
    using term_subst_eq_conv[of t I J] term_subst_eq_conv[of s I J] by force
next
  case (ConsIn M D uv t s S) thus ?case
    using term_subst_eq_conv[of t I J] term_subst_eq_conv[of s I J] by force
next
  case (ConsNegChecks M D X F F' S)

```

```

hence "⟦M; D; S⟧s J" "negchecks_model I D X F F'" "∀x∈fvpairs F ∪ fvpairs F' - set X. I x = J x"
  by (fastforce, fastforce, fastforce)
thus ?case using negchecks_model_model_swap[of F F' X I J D] by fastforce
qed simp

lemma strand_sem_receive_send_append:
  assumes A: "⟦M; D; A⟧s I"
  shows "⟦M; D; A@[receive⟨[t]⟩, send⟨[t]⟩]⟧s I"
proof -
  have "M ∪ (iksst (A@[receive⟨[t]⟩]) ·set I) ⊢ t · I"
    using in_iksst_iff[of t "A@[receive⟨[t]⟩]"] by auto
  thus ?thesis
    using A strand_sem_append_stateful[of M D A "[receive⟨[t]⟩]" I]
      strand_sem_append_stateful[of M D "A@[receive⟨[t]⟩]" "[send⟨[t]⟩]" I]
    by force
qed

lemma strand_sem_stateful_if_no_send_or_check:
  assumes A: "list_all (λa. ¬is_Send a ∧ ¬is_Check_or_Assignment a) A"
  shows "⟦M; D; A⟧s I"
using A
proof (induction A rule: List.rev_induct)
  case (snoc a A)
  hence IH: "⟦M; D; A⟧s I" and a: "¬is_Send a" "¬is_Check_or_Assignment a" by auto
  from a have "⟦M; D; [a]⟧s I" for M D by (cases a) auto
  thus ?case using IH strand_sem_append_stateful[of M D A "[a]" I] by blast
qed simp

lemma strand_sem_stateful_if_sends_deduct:
  assumes "list_all is_Send A"
  and "∀ts. send⟨ts⟩ ∈ set A → (∀t ∈ set ts. M ⊢ t · I)"
  shows "⟦M; D; A⟧s I"
using assms
proof (induction A rule: List.rev_induct)
  case (snoc a A)
  hence IH: "⟦M; D; A⟧s I" by auto
  obtain ts where a: "a = send⟨ts⟩" "∀t ∈ set ts. M ⊢ t · I" using snoc.prems by (cases a) auto

  have "⟦M ∪ (iksst A ·set I); D; [a]⟧s I" for D
    using ideduct_mono[of M _ "M ∪ (iksst A ·set I)"] a by auto
  thus ?case using IH strand_sem_append_stateful[of M D A "[a]" I] by blast
qed simp

lemma strand_sem_stateful_if_checks:
  assumes "list_all is_Check_or_Assignment A"
  and "∀ac t s. (ac: t ≡ s) ∈ set A → t · I = s · I"
  and "∀ac t s. (ac: t ∈ s) ∈ set A → (t · I, s · I) ∈ D"
  and "∀X F G. ∀X⟨V≠: F V∉: G⟩ ∈ set A → negchecks_model I D X F G"
  shows "⟦M; D; A⟧s I"
using assms
proof (induction A rule: List.rev_induct)
  case (snoc a A)
  hence IH: "⟦M; D; A⟧s I" and a: "is_Check_or_Assignment a" by auto

  have O: "dbupdsst A I D = D"
    using snoc.prems(1) dbupdsst_no_upd[of A I D] unfolding list_all_iff by auto

  have "⟦M; D; [a]⟧s I" for M using a snoc.prems(2,3,4) by (cases a) auto
  thus ?case using IH strand_sem_append_stateful[of M D A "[a]" I] unfolding O by blast
qed simp

lemma strand_sem_stateful_sends_deduct:
  assumes A: "⟦M; D; A⟧s I"

```

```

and ts: "send(ts) ∈ set A"
and t: "t ∈ set ts"
shows "M ∪ (iksst A ·set I) ⊢ t · I"
using A ts
proof (induction A arbitrary: M D rule: List.rev_induct)
  case (snoc a A)
  have 0: "[[M; D; A]]s I"
    using strand_sem_append_stateful snoc.prems(1) by fast

  have 1: "M ∪ (iksst A ·set I) ⊆ M ∪ (iksst (A@[a]) ·set I)"
    by auto

  have 2: "M ∪ (iksst (A@[send(ts)])) ·set I = M ∪ (iksst A ·set I)"
    using in_iksst_iff[of _ A] in_iksst_iff[of _ "A@[send(ts)]"] by fastforce

  show ?case
  proof (cases "send(ts) ∈ set A")
    case True show ?thesis by (rule ideduct_mono[OF snoc.IH[OF 0 True] 1])
  next
    case False
    hence a: "a = send(ts)" using snoc.prems(2) by force
    show ?thesis
      using strand_sem_append_stateful[of M D A "[a]" I] snoc.prems(1) t
      unfolding a 2 by auto
  qed
qed simp
end

```

#### 4.1.4 Well-Formedness Lemmata

```

lemma wfvarsoccsst_subset_wfrestrictedvarssst [simp]:
  "wfvarsoccsst S ⊆ wfrestrictedvarssst S"
by (induction S)
  (auto simp add: wfrestrictedvarssst_def wfvarsoccsst_def
    split: stateful_strand_step.split poscheckvariant.split)

lemma wfvarsoccsst_append: "wfvarsoccsst (S@S') = wfvarsoccsst S ∪ wfvarsoccsst S'"
by (simp add: wfvarsoccsst_def)

lemma wfrestrictedvarssst_union [simp]:
  "wfrestrictedvarssst (S@T) = wfrestrictedvarssst S ∪ wfrestrictedvarssst T"
by (simp add: wfrestrictedvarssst_def)

lemma wfrestrictedvarssst_singleton:
  "wfrestrictedvarssst [s] = wfrestrictedvarssst s"
by (simp add: wfrestrictedvarssst_def)

lemma iksst_fv_subset_wfrestrictedvarssst [simp]:
  "fvset (iksst S) ⊆ wfrestrictedvarssst S"
using in_iksst_iff[of _ S] unfolding wfrestrictedvarssst_def by force

lemma wfsst_prefix[dest]: "wf'sst V (S@S') ⟹ wf'sst V S"
by (induct S rule: wf'sst.induct) auto

lemma wfsst_vars_mono: "wf'sst V S ⟹ wf'sst (V ∪ W) S"
proof (induction S arbitrary: V)
  case (Cons x S) thus ?case
  proof (cases x)
    case (Send ts)
    have "wf'sst (V ∪ W ∪ fvset (set ts)) S"
      using Cons.prems(1) Cons.IH Send by (metis sup_assoc sup_commute wf'sst.simp(3))
    thus ?thesis by (simp add: Send)
  qed
qed

```

```

next
  case (Equality a t t')
  show ?thesis
  proof (cases a)
    case Assign
    hence "wf'_{sst} (V \cup fv t \cup W) S" "fv t' \subseteq V \cup W" using Equality.Cons.prems(1) Cons.IH by auto
    thus ?thesis using Equality.Assign by (simp add: sup_commute sup_left_commute)
next
  case Check thus ?thesis using Equality.Cons by auto
qed
next
  case (InSet a t t')
  show ?thesis
  proof (cases a)
    case Assign
    hence "wf'_{sst} (V \cup fv t \cup fv t' \cup W) S" using InSet.Cons.prems(1) Cons.IH by auto
    thus ?thesis using InSet.Assign by (simp add: sup_commute sup_left_commute)
next
  case Check thus ?thesis using InSet.Cons by auto
qed
qed auto
qed simp

```

**lemma**  $\text{wf}_{sst}I[\text{intro}]$ : " $\text{wf}_{\text{restrictedvars}_{sst}} S \subseteq V \implies \text{wf}'_{sst} V S$ "

```

proof (induction S)
  case (Cons x S) thus ?case
  proof (cases x)
    case (Send ts)
    hence "wf'_{sst} V S" "V \cup fv_{set} (\text{set } ts) = V"
      using Cons
      unfolding wf_restrictedvars_sst_def
      by auto
    thus ?thesis using Send by simp
  next
    case (Equality a t t')
    show ?thesis
    proof (cases a)
      case Assign
      hence "wf'_{sst} V S" "fv t' \subseteq V"
        using Equality.Cons
        unfolding wf_restrictedvars_sst_def
        by auto
      thus ?thesis using wf_sst_vars_mono Equality.Assign by simp
    next
      case Check
      thus ?thesis
        using Equality.Cons
        unfolding wf_restrictedvars_sst_def
        by auto
    qed
  next
    case (InSet a t t')
    show ?thesis
    proof (cases a)
      case Assign
      hence "wf'_{sst} V S" "fv t \cup fv t' \subseteq V"
        using InSet.Cons
        unfolding wf_restrictedvars_sst_def
        by auto
      thus ?thesis using wf_sst_vars_mono InSet.Assign by (simp add: Un_assoc)
    next
      case Check
      thus ?thesis
    qed
  qed

```

```

using InSet Cons
unfolding wfrestrictedvarssst_def
by auto
qed
qed (simp_all add: wfrestrictedvarssst_def)
qed (simp add: wfrestrictedvarssst_def)

lemma wfsstI'[intro]:
assumes " $\bigcup ((\lambda x. \text{case } x \text{ of }$ 
  Receive  $ts \Rightarrow fv_{set} (\text{set } ts)$ 
  | Equality Assign _  $t' \Rightarrow fv t'$ 
  | Insert  $t t' \Rightarrow fv t \cup fv t'$ 
  | _  $\Rightarrow \{\}) \setminus \text{set } S \subseteq V$ "
shows "wf'sst V S"
using assms
proof (induction S)
  case (Cons x S) thus ?case
    proof (cases x)
      case (Equality a t t')
      thus ?thesis using Cons by (cases a) (auto simp add: wfsst_vars_mono)
    next
      case (InSet a t t')
      thus ?thesis using Cons by (cases a) (auto simp add: wfsst_vars_mono Un_assoc)
    qed (simp add: wfsst_vars_mono)
  qed simp

lemma wfsst_append_exec: "wf'sst V (S@S')  $\implies$  wf'sst (V  $\cup$  wfvarsoccssst S) S''"
proof (induction S arbitrary: V)
  case (Cons x S V) thus ?case
    proof (cases x)
      case (Send ts)
      hence "wf'sst (V  $\cup$  fvset (set ts)  $\cup$  wfvarsoccssst S) S'" using Cons.prems Cons.IH by simp
      thus ?thesis using Send unfolding wfvarsoccssst_def by (auto simp add: sup_assoc)
    next
      case (Equality a t t') show ?thesis
      proof (cases a)
        case Assign
        hence "wf'sst (V  $\cup$  fv t  $\cup$  wfvarsoccssst S) S'" using Equality Cons.prems Cons.IH by auto
        thus ?thesis using Equality Assign unfolding wfvarsoccssst_def by (auto simp add: sup_assoc)
      next
        case Check
        hence "wf'sst (V  $\cup$  wfvarsoccssst S) S'" using Equality Cons.prems Cons.IH by auto
        thus ?thesis using Equality Check unfolding wfvarsoccssst_def by (auto simp add: sup_assoc)
      qed
    next
      case (InSet a t t') show ?thesis
      proof (cases a)
        case Assign
        hence "wf'sst (V  $\cup$  fv t  $\cup$  fv t'  $\cup$  wfvarsoccssst S) S'" using InSet Cons.prems Cons.IH by auto
        thus ?thesis using InSet Assign unfolding wfvarsoccssst_def by (auto simp add: sup_assoc)
      next
        case Check
        hence "wf'sst (V  $\cup$  wfvarsoccssst S) S'" using InSet Cons.prems Cons.IH by auto
        thus ?thesis using InSet Check unfolding wfvarsoccssst_def by (auto simp add: sup_assoc)
      qed
    qed (auto simp add: wfvarsoccssst_def)
  qed (simp add: wfvarsoccssst_def)

lemma wfsst_append:
"wf'sst X S  $\implies$  wf'sst Y T  $\implies$  wf'sst (X  $\cup$  Y) (S@T)"
proof (induction X S rule: wf'sst.induct)
  case 1 thus ?case by (metis wfsst_vars_mono Un_commute append_Nil)
next

```

```

case 3 thus ?case by (metis append_Cons Un_commute Un_assoc wf'_{sst}.simp(3))
next
  case (4 V t t' S)
    hence *: "fv t' ⊆ V" and "wf'_{sst} (V ∪ fv t ∪ Y) (S @ T)" by simp_all
    hence "wf'_{sst} (V ∪ Y ∪ fv t) (S @ T)" by (metis Un_commute Un_assoc)
    thus ?case using * by auto
next
  case (8 V t t' S)
    hence "wf'_{sst} (V ∪ fv t ∪ fv t' ∪ Y) (S @ T)" by simp_all
    hence "wf'_{sst} (V ∪ Y ∪ fv t ∪ fv t') (S @ T)" by (metis Un_commute Un_assoc)
    thus ?case by auto
qed auto

lemma wf_{sst}_append_suffix:
  "wf'_{sst} V S ⟹ wfrestrictedvars_{sst} S' ⊆ wfrestrictedvars_{sst} S ∪ V ⟹ wf'_{sst} V (S@S')"
proof (induction V S rule: wf'_{sst}.induct)
  case (2 V ts S)
    hence *: "fv_{set} (set ts) ⊆ V" "wf'_{sst} V S" by simp_all
    hence "wfrestrictedvars_{sst} S' ⊆ wfrestrictedvars_{sst} S ∪ V"
      using "2.prems"(2) unfolding wfrestrictedvars_{sst}_def by fastforce
    thus ?case using "2.IH" * by simp
next
  case (3 V ts S)
    hence *: "wf'_{sst} (V ∪ fv_{set} (set ts)) S" by simp_all
    hence "wfrestrictedvars_{sst} S' ⊆ wfrestrictedvars_{sst} S ∪ (V ∪ fv_{set} (set ts))"
      using "3.prems"(2) unfolding wfrestrictedvars_{sst}_def by auto
    thus ?case using "3.IH" * by simp
next
  case (4 V t t' S)
    hence *: "fv t' ⊆ V" "wf'_{sst} (V ∪ fv t) S" by simp_all
    moreover have "vars_{sstp} (⟨t := t'⟩) = fv t ∪ fv t'"
      by simp
    moreover have "wfrestrictedvars_{sst} (⟨t := t'⟩#S) = fv t ∪ fv t' ∪ wfrestrictedvars_{sst} S"
      unfolding wfrestrictedvars_{sst}_def by auto
    ultimately have "wfrestrictedvars_{sst} S' ⊆ wfrestrictedvars_{sst} S ∪ (V ∪ fv t)"
      using "4.prems"(2) by blast
    thus ?case using "4.IH" * by simp
next
  case (6 V t t' S)
    hence *: "fv t ∪ fv t' ⊆ V" "wf'_{sst} V S" by simp_all
    moreover have "vars_{sstp} (insert⟨t,t'⟩) = fv t ∪ fv t'"
      by simp
    moreover have "wfrestrictedvars_{sst} (insert⟨t,t'⟩#S) = fv t ∪ fv t' ∪ wfrestrictedvars_{sst} S"
      unfolding wfrestrictedvars_{sst}_def by auto
    ultimately have "wfrestrictedvars_{sst} S' ⊆ wfrestrictedvars_{sst} S ∪ V"
      using "6.prems"(2) by blast
    thus ?case using "6.IH" * by simp
next
  case (8 V t t' S)
    hence *: "wf'_{sst} (V ∪ fv t ∪ fv t') S" by simp_all
    moreover have "vars_{sstp} (select⟨t,t'⟩) = fv t ∪ fv t'"
      by simp
    moreover have "wfrestrictedvars_{sst} (select⟨t,t'⟩#S) = fv t ∪ fv t' ∪ wfrestrictedvars_{sst} S"
      unfolding wfrestrictedvars_{sst}_def by auto
    ultimately have "wfrestrictedvars_{sst} S' ⊆ wfrestrictedvars_{sst} S ∪ (V ∪ fv t ∪ fv t')"
      using "8.prems"(2) by blast
    thus ?case using "8.IH" * by simp
qed (simp_all add: wf_{sst}I wfrestrictedvars_{sst}_def)

lemma wf_{sst}_append_suffix':
  assumes "wf'_{sst} V S"
  and "⋃ ((λx. case x of
    Receive ts ⇒ fv_{set} (set ts)

```

```

| Equality Assign _ t' ⇒ fv t'
| Insert t t' ⇒ fv t ∪ fv t'
| _ ⇒ {} ) ` set S') ⊆ wfvarsoccsst S ∪ V"
shows "wf'sst V (S@S')"
using assms
by (induction V S rule: wf'sst.induct)
  (auto simp add: wfsstI' wfsst_vars_mono wfvarsoccsst_def)

lemma wfsst_subst_apply:
  "wf'sst V S ⇒ wf'sst (fvset (δ ` V)) (S ·sst δ)"
proof (induction S arbitrary: V rule: wf'sst.induct)
  case (2 V ts S)
    hence "wf'sst V S" "fvset (set ts) ⊆ V" by simp_all
    hence "wf'sst (fvset (δ ` V)) (S ·sst δ)" "fvset (set ts ·set δ) ⊆ fvset (δ ` V)"
      using "2.IH" subst_apply_fv_subset by (simp, force)
    thus ?case by (simp add: subst_apply_stateful_strand_def)
  next
    case (3 V ts S)
      hence "wf'sst (V ∪ fvset (set ts)) S" by simp
      hence "wf'sst (fvset (δ ` (V ∪ fvset (set ts)))) (S ·sst δ)" using "3.IH" by metis
      hence "wf'sst (fvset (δ ` V) ∪ fvset (set ts ·set δ)) (S ·sst δ)"
        using subst_apply_fv_unfold_set[of δ ts] by fastforce
      thus ?case by (simp add: subst_apply_stateful_strand_def)
  next
    case (4 V t t' S)
      hence "wf'sst (V ∪ fv t) S" "fv t' ⊆ V" by auto
      hence "wf'sst (fvset (δ ` (V ∪ fv t))) (S ·sst δ)" and *: "fv (t' · δ) ⊆ fvset (δ ` V)"
        using "4.IH" subst_apply_fv_subset by force+
      hence "wf'sst (fvset (δ ` V) ∪ fv (t · δ)) (S ·sst δ)" by (metis subst_apply_fv_union)
      thus ?case using * by (simp add: subst_apply_stateful_strand_def)
  next
    case (6 V t t' S)
      hence "wf'sst V S" "fv t ∪ fv t' ⊆ V" by auto
      hence "wf'sst (fvset (δ ` (V ∪ fv t ∪ fv t'))) (S ·sst δ)"
        using "6.IH" subst_apply_fv_subset by force+
      thus ?case by (simp add: sup_assoc subst_apply_stateful_strand_def)
  next
    case (8 V t t' S)
      hence "wf'sst (V ∪ fv t ∪ fv t') S" by auto
      hence "wf'sst (fvset (δ ` (V ∪ fv t ∪ fv t'))) (S ·sst δ)"
        using "8.IH" subst_apply_fv_subset by force
      hence "wf'sst (fvset (δ ` V) ∪ fv (t · δ) ∪ fv (t' · δ)) (S ·sst δ)" by (metis subst_apply_fv_union)
      thus ?case by (simp add: subst_apply_stateful_strand_def)
qed (auto simp add: subst_apply_stateful_strand_def)

lemma wfsst_induct[consumes 1,
  case_names Nil ConsSnd ConsRcv ConsEq ConsEq2 ConsIn ConsIns ConsDel
  ConsNegChecks]:
fixes S:::"('a,'b) stateful_strand"
assumes "wf'sst V S"
  "P []"
  "¬(ts S. [wf'sst V S; P S] ⇒ P (S@[Send ts]))"
  "¬(ts S. [wf'sst V S; P S; fvset (set ts) ⊆ V ∪ wfvarsoccsst S] ⇒ P (S@[Receive ts]))"
  "¬(t t' S. [wf'sst V S; P S; fv t' ⊆ V ∪ wfvarsoccsst S] ⇒ P (S@[Equality Assign t t']))"
  "¬(t t' S. [wf'sst V S; P S] ⇒ P (S@[Equality Check t t']))"
  "¬(ac t t' S. [wf'sst V S; P S] ⇒ P (S@[InSet ac t t']))"
  "¬(t t' S. [wf'sst V S; P S; fv t ∪ fv t' ⊆ V ∪ wfvarsoccsst S] ⇒ P (S@[Insert t t']))"
  "¬(t t' S. [wf'sst V S; P S] ⇒ P (S@[Delete t t']))"
  "¬(X F G S. [wf'sst V S; P S] ⇒ P (S@[NegChecks X F G]))"
shows "P S"
using assms
proof (induction S rule: List.rev_induct)
  case (snoc x S)

```

```

hence *: "wf'_{sst} V S" "wf'_{sst} (V \cup wfvarsocc_{sst} S) [x]"
  by (metis wf_{sst}_prefix, metis wf_{sst}_append_exec)
have IH: "P S" using snoc.IH[OF *(1)] snoc.prems by auto
note ** = snoc.prems(3-) [OF *(1) IH] *(2)
show ?case
proof (cases x)
  case (Send ts) thus ?thesis using **(1) by blast
next
  case (Receive ts) thus ?thesis using **(2,9) by simp
next
  case (Equality ac t t') thus ?thesis using **(3,4,9) by (cases ac) auto
next
  case (Insert t t') thus ?thesis using **(6,9) by force
next
  case (Delete t t') thus ?thesis using **(7) by presburger
next
  case (NegChecks X F G) thus ?thesis using **(8) by presburger
next
  case (InSet ac t t') thus ?thesis using **(5) by (cases ac) auto
qed
qed simp

lemma wf_{sst}_strand_first_Send_var_split:
assumes "wf'_{sst} {} S" "\exists v \in wfrestrictedvars_{sst} S. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} v"
shows "\exists S_{pre} S_{suf}. \neg(\exists w \in wfrestrictedvars_{sst} S_{pre}. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} w) \wedge (
  (\exists ts. S = S_{pre}@send(ts)\#S_{suf} \wedge t \cdot \mathcal{I} \sqsubseteq_{set} set ts \cdot_{set} \mathcal{I}) \vee
  (\exists s u. S = S_{pre}@assign: s \doteq u)\#S_{suf} \wedge t \cdot \mathcal{I} \sqsubseteq s \cdot \mathcal{I} \wedge \neg(t \cdot \mathcal{I} \sqsubseteq_{set} \mathcal{I} \cdot fv u)) \vee
  (\exists s u. S = S_{pre}@assign: s \in u)\#S_{suf} \wedge (t \cdot \mathcal{I} \sqsubseteq s \cdot \mathcal{I} \vee t \cdot \mathcal{I} \sqsubseteq u \cdot \mathcal{I}))"
(is "\exists S_{pre} S_{suf}. ?P S_{pre} \wedge ?Q S S_{pre} S_{suf}")
using assms
proof (induction S rule: wf_{sst}_induct)
  case (ConsSnd ts' S) show ?case
    proof (cases "\exists w \in wfrestrictedvars_{sst} S. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} w")
      case True
        then obtain S_{pre} S_{suf} where "?P S_{pre}" "?Q S S_{pre} S_{suf}"
          using ConsSnd.IH by atomize_elim auto
        thus ?thesis by fastforce
      next
      case False
        then obtain v where v: "v \in fv_{set} (set ts')" "t \cdot \mathcal{I} \sqsubseteq \mathcal{I} v"
          using ConsSnd.prems unfolding wfrestrictedvars_{sst}_def by auto
        then obtain t' where t': "t' \in set ts'" "v \in fv t'" by auto
        have "t \cdot \mathcal{I} \sqsubseteq t' \cdot \mathcal{I}"
          using v(2) t'(2) subst_mono[of "Var v" t' \mathcal{I}] vars_iff_subtermeq[of v] term.order_trans
          by auto
        hence "t \cdot \mathcal{I} \sqsubseteq_{set} set ts' \cdot_{set} \mathcal{I}" using v(1) t'(1) by blast
        thus ?thesis using False v by blast
    qed
  next
  case (ConsRcv t' S)
    have "fv_{set} (set t') \subseteq wfrestrictedvars_{sst} S"
      using ConsRcv.hyps wfvarsocc_{sst}_subset_wfrestrictedvars_{sst} [of S] by blast
    hence "\exists v \in wfrestrictedvars_{sst} S. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} v"
      using ConsRcv.prems unfolding wfrestrictedvars_{sst}_def by fastforce
    then obtain S_{pre} S_{suf} where "?P S_{pre}" "?Q S S_{pre} S_{suf}"
      using ConsRcv.IH by atomize_elim auto
    thus ?case by fastforce
  next
  case (ConsEq s s' S)
    have *: "fv s' \subseteq wfrestrictedvars_{sst} S"
      using ConsEq.hyps wfvarsocc_{sst}_subset_wfrestrictedvars_{sst} [of S] by blast
    show ?case
    proof (cases "\exists v \in wfrestrictedvars_{sst} S. t \cdot \mathcal{I} \sqsubseteq \mathcal{I} v")

```

```

case True
then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf"
  using ConsEq.IH by atomize_elim auto
thus ?thesis by fastforce
next
  case False
  then obtain v where "v ∈ fv s" "t · I ⊑ I v" and **: "fv s' ⊑ wfrestrictedvarssst S"
    using ConsEq.preds * unfolding wfrestrictedvarssst_def by auto
  hence "t · I ⊑ s · I"
    using vars_iff_subtermeq[of v s] subst_mono[of "Var v" s I] term.order_trans by auto
  thus ?thesis using False ** by fastforce
qed
next
  case (ConsEq2 s s' S)
  have "wfrestrictedvarssst (S@[Equality Check s s']) = wfrestrictedvarssst S"
    unfolding wfrestrictedvarssst_def by auto
  hence "∃v ∈ wfrestrictedvarssst S. t · I ⊑ I v" using ConsEq2.preds by metis
  then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf" using ConsEq2.IH by atomize_elim auto
  thus ?case by fastforce
next
  case (ConsNegChecks X F G S)
  hence "∃v ∈ wfrestrictedvarssst S. t · I ⊑ I v" unfolding wfrestrictedvarssst_def by simp
  then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf" using ConsNegChecks.IH by atomize_elim auto
  thus ?case by fastforce
next
  case (ConsIn ac s s' S)
  show ?case
  proof (cases "∃v ∈ wfrestrictedvarssst S. t · I ⊑ I v")
    case True
    then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf"
      using ConsIn.IH by atomize_elim auto
    thus ?thesis by fastforce
  next
    case False
    hence ac: "ac = assign" using ConsIn.preds unfolding wfrestrictedvarssst_def by (cases ac) auto
    obtain v where "v ∈ fv s ∪ fv s'" "t · I ⊑ I v"
      using ConsIn.preds False unfolding wfrestrictedvarssst_def ac by auto
    hence *: "t · I ⊑ s · I ∨ t · I ⊑ s' · I"
      using vars_iff_subtermeq[of v s] vars_iff_subtermeq[of v s']
        subst_mono[of "Var v" s I] subst_mono[of "Var v" s' I] term.order_trans
      by auto
    show ?thesis using * False unfolding ac by fast
  qed
next
  case (ConsIns s s' S)
  have "fv s ∪ fv s' ⊑ wfrestrictedvarssst S"
    using ConsIns.hyps wfvarsoccsst_subset_wfrestrictedvarssst[of S] by blast
  hence "∃v ∈ wfrestrictedvarssst S. t · I ⊑ I v"
    using ConsIns.preds unfolding wfrestrictedvarssst_def by fastforce
  then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf" using ConsIns.IH by atomize_elim auto
  thus ?case by fastforce
next
  case (ConsDel s s' S)
  hence "∃v ∈ wfrestrictedvarssst S. t · I ⊑ I v" unfolding wfrestrictedvarssst_def by simp
  then obtain Spre Ssuf where "?P Spre" "?Q S Spre Ssuf" using ConsDel.IH by atomize_elim auto
  thus ?case by fastforce
qed (simp add: wfrestrictedvarssst_def)

lemma wfsst_vars_mono': "wf'sst V S ==> V ⊑ W ==> wf'sst W S"
by (metis Diff_partition wfsst_vars_mono)

lemma wfrestrictedvarssst_receives_only_eq:
  assumes "list_all is_Receive S"

```

```

shows "wfrestrictedvarssst S = fvsst S"
using assms
proof (induction S)
  case (Cons a A)
    obtain ts where a: "a = receive(ts)" using Cons.prems by (cases a) auto
    have IH: "wfrestrictedvarssst A = fvsst A" using Cons.prems Cons.IH by simp
    show ?case using IH unfolding a wfrestrictedvarssst_def by simp
qed (simp add: wfrestrictedvarssst_def)

lemma wfvarsoccssst_receives_only_empty:
  assumes "list_all is_Receive S"
  shows "wfvarsoccssst S = {}"
using assms
proof (induction S)
  case (Cons a A)
    obtain ts where a: "a = receive(ts)" using Cons.prems by (cases a) auto
    have IH: "wfvarsoccssst A = {}" using Cons.prems Cons.IH by simp
    show ?case using IH unfolding a wfvarsoccssst_def by simp
qed (simp add: wfvarsoccssst_def)

lemma wfsst_sends_only:
  assumes "list_all is_Send S"
  shows "wf'sst V S"
using assms
proof (induction S arbitrary: V)
  case (Cons s S) thus ?case by (cases s) auto
qed simp

lemma wfsst_sends_only_prepend:
  assumes "wf'sst V S"
  and "list_all is_Send S'"
  shows "wf'sst V (S'@S)"
using wfsst_append[OF wfsst_sends_only[OF assms(2), of "{}"] assms(1)] by simp

lemma wfsst_receives_only_fv_subset:
  assumes "wf'sst V S"
  and "list_all is_Receive S"
  shows "fvsst S ⊆ V"
using assms
proof (induction rule: wfsst_induct)
  case (ConsRcv ts S) thus ?case using wfvarsoccssst_receives_only_empty[of S] by auto
qed auto

lemma wfsst_append_suffix'':
  assumes "wf'sst V S"
  and "wfrestrictedvarssst S' ⊆ wfvarsoccssst S ∪ V"
  shows "wf'sst V (S@S')"
using assms
by (induction V S rule: wf'sst.induct)
  (auto simp add: wfsstI' wfsst_vars_mono wfvarsoccssst_def)

end

```

## 4.2 Extending the Typing Result to Stateful Constraints

```

theory Stateful_Typing
imports Typing_Result Stateful_Strands
begin

Locale setup

locale stateful_typed_model = typed_model arity public Ana Γ

```

```

for arity:::"'fun ⇒ nat"
  and public:::"'fun ⇒ bool"
  and Ana:::"('fun, 'var) term ⇒ (('fun, 'var) term list × ('fun, 'var) term list)"
  and Γ:::"('fun, 'var) term ⇒ ('fun, 'atom::finite) term_type"
+
fixes Pair:::"'fun"
assumes Pair_arity: "arity Pair = 2"
and Ana_subst': " $\bigwedge f T \delta K M. \text{Ana} (\text{Fun } f T) = (K, M) \implies \text{Ana} (\text{Fun } f T \cdot \delta) = (K \cdot \text{list } \delta, M \cdot \text{list } \delta)$ "
begin

lemma Ana_invar_subst'[simp]: "Ana_invar_subst S"
using Ana_subst' unfolding Ana_invar_subst_def by force

definition pair where
"pair d ≡ case d of (t,t') ⇒ Fun Pair [t,t']"

fun tr_pairs:::
"((('fun, 'var) term × ('fun, 'var) term) list ⇒
 ('fun, 'var) dbstatelist ⇒
 ((('fun, 'var) term × ('fun, 'var) term) list list)"

where
"tr_pairs [] D = [[]]"
| "tr_pairs ((s,t)#F) D =
 concat (map (λd. map ((#) (pair (s,t), pair d)) (tr_pairs F D)) D)"

```

A translation/reduction  $\text{tr}$  from stateful constraints to (lists of) "non-stateful" constraints. The output represents a finite disjunction of constraints whose models constitute exactly the models of the input constraint. The typing result for "non-stateful" constraints is later lifted to the stateful setting through this reduction procedure.

```

fun tr:::"('fun, 'var) stateful_strand ⇒ ('fun, 'var) dbstatelist ⇒ ('fun, 'var) strand list"
where
"tr [] D = [[]]"
| "tr (send(ts)#A) D = map ((#) (send(ts)st)) (tr A D)"
| "tr (receive(ts)#A) D = map ((#) (receive(ts)st)) (tr A D)"
| "tr ((ac: t ≈ t')#A) D = map ((#) ((ac: t ≈ t')st)) (tr A D)"
| "tr (insert(t,s)#A) D = tr A (List.insert (t,s) D)"
| "tr (delete(t,s)#A) D =
 concat (map (λDi. map (λB. (map (λd. ⟨check: (pair (t,s)) ≈ (pair d)st⟩ Di)@
 (map (λd. ∀ []⟨v ≠: [(pair (t,s), pair d)]st⟩ [d ← D. d ∉ set Di])@B)
 (tr A [d ← D. d ∉ set Di]))))
 (subseqs D))"
| "tr ((ac: t ∈ s)#A) D =
 concat (map (λB. map (λd. ⟨ac: (pair (t,s)) ≈ (pair d)st#B⟩ D) (tr A D)))"
| "tr ((∀ X⟨v ≠: F ∨ ∉: F')#A) D =
 map ((@) (map (λG. ∀ X⟨v ≠: (F@G)st⟩ (tr_pairs F' D))) (tr A D))"

```

Type-flaw resistance of stateful constraint steps

```

fun tfrsstp where
"tfrsstp (Equality _ t t') = ((∃ δ. Unifier δ t t') → Γ t = Γ t')"
| "tfrsstp (NegChecks X F F') = (
  (F' = [] ∧ (∀ x ∈ fvpairs F-set X. ∃ a. Γ (Var x) = TAtom a)) ∨
  (∀ f T. Fun f T ∈ subtermsset (trmspairs F ∪ pair ` set F') →
    T = [] ∨ (∃ s ∈ set T. s ∉ Var ` set X)))"
| "tfrsstp _ = True"

```

Type-flaw resistance of stateful constraints

```
definition tfrsst where "tfrsst S ≡ tfrset (trmssst S ∪ pair ` setopssst S) ∧ list_all tfrsstp S"
```

#### 4.2.1 Minor Lemmata

```

lemma pair_in_pair_image_iff:
"pair (s,t) ∈ pair ` P ↔ (s,t) ∈ P"

```

```

unfolding pair_def by fast

lemma subst_apply_pairs_pair_image_subst:
  "pair ` set (F ·pairs· θ) = pair ` set F ·set· θ"
  unfolding subst_apply_pairs_def pair_def by (induct F) auto

lemma Ana_subst_subterms_cases:
  fixes θ :: "('fun, 'var) subst"
  assumes t: "t ⊑set M ·set· θ"
  and s: "s ∈ set (snd (Ana t))"
  shows "(∃u ∈ subtermsset M. t = u · θ ∧ s ∈ set (snd (Ana u)) ·set· θ) ∨ (∃x ∈ fvset M. t ⊑set θ x)"
proof (cases "t ∈ subtermsset M ·set· θ")
  case True
  then obtain u where u: "u ∈ subtermsset M" "t = u · θ" by blast
  show ?thesis
  proof (cases u)
    case (Var x)
    hence "x ∈ fvset M" using fv_subset_subterms[OF u(1)] by simp
    thus ?thesis using u(2) Var by fastforce
  next
    case (Fun f T)
    hence "set (snd (Ana t)) = set (snd (Ana u)) ·set· θ"
      using Ana_subst'[of f T _ _ θ] u(2) by (cases "Ana u") auto
    thus ?thesis using s u by blast
  qed
qed (use s t subtermsset_subst in blast)

lemma Ana_snd_subst_nth_inv:
  fixes θ :: "('fun, 'var) subst" and f ts
  defines "R ≡ snd (Ana (Fun f ts · θ))"
  assumes r: "r = R ! i" "i < length R"
  shows "r = snd (Ana (Fun f ts)) ! i · θ"
proof -
  obtain K R where "Ana (Fun f ts) = (K, R)" by (metis surj_pair)
  thus ?thesis using Ana_subst'[of f ts K R θ] r unfolding R_def by auto
qed

lemma Ana_snd_subst_inv:
  fixes θ :: "('fun, 'var) subst"
  assumes r: "r ∈ set (snd (Ana (Fun f ts · θ)))"
  shows "∃t ∈ set (snd (Ana (Fun f ts))). r = t · θ"
proof -
  obtain K R where "Ana (Fun f ts) = (K, R)" by (metis surj_pair)
  thus ?thesis using Ana_subst'[of f ts K R θ] r by auto
qed

lemma fun_pair_eq[dest]: "pair d = pair d' ⟹ d = d''"
proof -
  obtain t s t' s' where "d = (t, s)" "d' = (t', s')" by atomize_elim auto
  thus "pair d = pair d' ⟹ d = d''" unfolding pair_def by simp
qed

lemma fun_pair_subst: "pair d · δ = pair (d ·p δ)"
using surj_pair[of d] unfolding pair_def by force

lemma fun_pair_subst_set: "pair ` M ·set· δ = pair ` (M ·pset δ)"
proof
  show "pair ` M ·set· δ ⊑ pair ` (M ·pset δ)"
    using fun_pair_subst[of _ δ] by fastforce
  show "pair ` (M ·pset δ) ⊑ pair ` M ·set· δ"
  proof

```

```

fix t assume t: "t ∈ pair ` (M ·pset δ)"
then obtain p where p: "p ∈ M" "t = pair (p ·p δ)" by blast
thus "t ∈ pair ` M ·set δ" using fun_pair_subst[of p δ] by force
qed
qed

lemma fun_pair_eq_subst: "pair d · δ = pair d' · θ ↔ d ·p δ = d' ·p θ"
by (metis fun_pair_subst fun_pair_eq[of "d ·p δ" "d' ·p θ"])

lemma setopssst_pair_image_cons[simp]:
"pair ` setopssst (x#S) = pair ` setopssstp x ∪ pair ` setopssst S"
"pair ` setopssst (send(ts)#S) = pair ` setopssst S"
"pair ` setopssst (receive(ts)#S) = pair ` setopssst S"
"pair ` setopssst ((ac: t ≡ t')#S) = pair ` setopssst S"
"pair ` setopssst (insert(t,s)#S) = {pair (t,s)} ∪ pair ` setopssst S"
"pair ` setopssst (delete(t,s)#S) = {pair (t,s)} ∪ pair ` setopssst S"
"pair ` setopssst ((ac: t ∈ s)#S) = {pair (t,s)} ∪ pair ` setopssst S"
"pair ` setopssst (∀X(∀≠: F ∀≠: G)#S) = pair ` set G ∪ pair ` setopssst S"
unfolding setopssst_def by auto

lemma setopssst_pair_image_subst_cons[simp]:
"pair ` setopssst (x#S ·sst θ) = pair ` setopssstp (x ·sstp θ) ∪ pair ` setopssst (S ·sst θ)"
"pair ` setopssst (send(ts)#S ·sst θ) = pair ` setopssst (S ·sst θ)"
"pair ` setopssst (receive(ts)#S ·sst θ) = pair ` setopssst (S ·sst θ)"
"pair ` setopssst ((ac: t ≡ t')#S ·sst θ) = pair ` setopssst (S ·sst θ)"
"pair ` setopssst (insert(t,s)#S ·sst θ) = {pair (t,s) · θ} ∪ pair ` setopssst (S ·sst θ)"
"pair ` setopssst (delete(t,s)#S ·sst θ) = {pair (t,s) · θ} ∪ pair ` setopssst (S ·sst θ)"
"pair ` setopssst ((ac: t ∈ s)#S ·sst θ) = {pair (t,s) · θ} ∪ pair ` setopssst (S ·sst θ)"
"pair ` setopssst (∀X(∀≠: F ∀≠: G)#S ·sst θ) =
  pair ` set (G ·pairs rm_vars (set X) θ) ∪ pair ` setopssst (S ·sst θ)"
using substsst_cons[of _ S θ] unfolding setopssst_def pair_def by auto

lemma setopssst_are_pairs: "t ∈ pair ` setopssst A ⇒ ∃s s'. t = pair (s,s')"
proof (induction A)
  case (Cons a A) thus ?case
    by (cases a) (auto simp add: setopssst_def)
qed (simp add: setopssst_def)

lemma fun_pair_wftrm: "wftrm t ⇒ wftrm t' ⇒ wftrm (pair (t,t'))"
using Pair_arity unfolding wftrm_def pair_def by auto

lemma wftrms_pairs: "wftrms (trmspairs F) ⇒ wftrms (pair ` set F)"
using fun_pair_wftrm by blast

lemma wf_fun_pair_ineqs_map:
assumes "wfst X A"
shows "wfst X (map (λd. ∀Y(∀≠: [(pair (t, s), pair d)]st) D@A)"
using assms by (induct D) auto

lemma wf_fun_pair_negchecks_map:
assumes "wfst X A"
shows "wfst X (map (λG. ∀Y(∀≠: (F@G))st) M@A)"
using assms by (induct M) auto

lemma wf_fun_pair_eqs_ineqs_map:
fixes A::("fun", "var") strand"
assumes "wfst X A" "Di ∈ set (subseqs D)" "∀(t,t') ∈ set D. fv t ∪ fv t' ⊆ X"
shows "wfst X ((map (λd. (check: (pair (t,s)) ≡ (pair d))st) Di)@
  (map (λd. ∀[](∀≠: [(pair (t,s), pair d)]st) [d←D. d ∉ set Di])@A))"
proof -
  let ?c1 = "map (λd. (check: (pair (t,s)) ≡ (pair d))st) Di"
  let ?c2 = "map (λd. ∀[](∀≠: [(pair (t,s), pair d)]st) [d←D. d ∉ set Di])"
  have 1: "wfst X (?c2@A)" using wf_fun_pair_ineqs_map[OF assms(1)] by simp

```

```

have 2: " $\forall (t, t') \in \text{set } Di. \text{fv } t \cup \text{fv } t' \subseteq X$ "
  using assms(2,3) by (meson contra_subsetD subseqs_set_subset(1))
have "wfst X (?c1@B)" when "wfst X B" for B::("fun", "var") strand"
  using 2 that by (induct Di) auto
thus ?thesis using 1 by simp
qed

lemma trmssst_wt_subst_ex:
  assumes  $\vartheta$ : "wtsubst  $\vartheta$ " "wftrms (subst_range  $\vartheta$ )"
  and t: " $t \in \text{trms}_{sst} (S \cdot_{sst} \vartheta)$ "
  shows " $\exists s \delta. s \in \text{trms}_{sst} S \wedge \text{wt}_{subst} \delta \wedge \text{wf}_{trms} (\text{subst_range} \delta) \wedge t = s \cdot \delta$ "
using t
proof (induction S)
  case (Cons s S) thus ?case
    proof (cases "t \in \text{trms}_{sst} (S \cdot_{sst} \vartheta)")
      case False
        hence " $t \in \text{trms}_{sstp} (s \cdot_{sstp} \vartheta)$ "
          using Cons.prems trmssst_subst_cons[of s S  $\vartheta$ ]
          by auto
        then obtain u where u: " $u \in \text{trms}_{sstp} s$ " " $t = u \cdot \text{rm}_\text{vars} (\text{set} (\text{bvars}_{sstp} s)) \vartheta$ "
          using trmssstp_subst'' by blast
        thus ?thesis
          using trmssst_subst_cons[of s S  $\vartheta$ ]
            wt_subst_rm_vars[OF  $\vartheta(1)$ , of "set (bvars_{sstp} s)"]
            wf_trms_subst_rm_vars'[OF  $\vartheta(2)$ , of "set (bvars_{sstp} s)"]
          by fastforce
    qed auto
  qed simp
qed

lemma setopssst_wt_subst_ex:
  assumes  $\vartheta$ : "wt_{subst} \vartheta" "wf_{trms} (\text{subst\_range} \vartheta)"
  and t: " $t \in \text{pair} \cdot \text{setops}_{sst} (S \cdot_{sst} \vartheta)$ "
  shows " $\exists s \delta. s \in \text{pair} \cdot \text{setops}_{sst} S \wedge \text{wt}_{subst} \delta \wedge \text{wf}_{trms} (\text{subst\_range} \delta) \wedge t = s \cdot \delta$ "
using t
proof (induction S)
  case (Cons x S) thus ?case
    proof (cases x)
      case (Insert t' s)
        hence " $t = \text{pair} (t', s) \cdot \vartheta \vee t \in \text{pair} \cdot \text{setops}_{sst} (S \cdot_{sst} \vartheta)$ "
          using Cons.prems subst_sst_cons[of _ S  $\vartheta$ ]
          unfolding pair_def by (force simp add: setopssst_def)
        thus ?thesis
          using Insert Cons.IH  $\vartheta$  by (cases "t = \text{pair} (t', s) \cdot \vartheta") (fastforce, auto)
    next
      case (Delete t' s)
        hence " $t = \text{pair} (t', s) \cdot \vartheta \vee t \in \text{pair} \cdot \text{setops}_{sst} (S \cdot_{sst} \vartheta)$ "
          using Cons.prems subst_sst_cons[of _ S  $\vartheta$ ]
          unfolding pair_def by (force simp add: setopssst_def)
        thus ?thesis
          using Delete Cons.IH  $\vartheta$  by (cases "t = \text{pair} (t', s) \cdot \vartheta") (fastforce, auto)
    next
      case (InSet ac t' s)
        hence " $t = \text{pair} (t', s) \cdot \vartheta \vee t \in \text{pair} \cdot \text{setops}_{sst} (S \cdot_{sst} \vartheta)$ "
          using Cons.prems subst_sst_cons[of _ S  $\vartheta$ ]
          unfolding pair_def by (force simp add: setopssst_def)
        thus ?thesis
          using InSet Cons.IH  $\vartheta$  by (cases "t = \text{pair} (t', s) \cdot \vartheta") (fastforce, auto)
    next
      case (NegChecks X F F')
        hence " $t \in \text{pair} \cdot \text{set} (F' \cdot_{pairs} \text{rm}_\text{vars} (\text{set } X) \vartheta) \vee t \in \text{pair} \cdot \text{setops}_{sst} (S \cdot_{sst} \vartheta)$ "
          using Cons.prems subst_sst_cons[of _ S  $\vartheta$ ]
          unfolding pair_def by (force simp add: setopssst_def)
        thus ?thesis
    qed
  qed

```

```

proof
  assume "t ∈ pair ` set (F' ·pairs rm_vars (set X) δ)"
  then obtain s where s: "t = s · rm_vars (set X) δ" "s ∈ pair ` set F'"
    using subst_apply_pairs_pair_image_subst[of F' "rm_vars (set X) δ"] by auto
  thus ?thesis
    using NegChecks setops_sst_pair_image_cons(8)[of X F F' S]
      wt_subst_rm_vars[OF δ(1), of "set X"]
      wf_trms_subst_rm_vars'[OF δ(2), of "set X"]
    by fast
  qed (use Cons.IH in auto)
  qed (auto simp add: setops_sst_def subst_sst_cons[of _ S δ])
qed (simp add: setops_sst_def)

lemma setops_sst_wf_trms:
  "wf_trms (trms_sst A) ⟹ wf_trms (pair ` setops_sst A)"
  "wf_trms (trms_sst A) ⟹ wf_trms (trms_sst A ∪ pair ` setops_sst A)"
proof -
  show "wf_trms (trms_sst A) ⟹ wf_trms (pair ` setops_sst A)"
  proof (induction A)
    case (Cons a A)
    hence 0: "wf_trms (trms_sstp a)" "wf_trms (pair ` setops_sst A)" by auto
    thus ?case
      proof (cases a)
        case (NegChecks X F F')
        hence "wf_trms (trms_sstp F')" using 0 by simp
        thus ?thesis using NegChecks wf_trms_pairs[of F'] 0 by (auto simp add: setops_sst_def)
      qed (auto simp add: setops_sst_def dest: fun_pair_wf_trm)
    qed (auto simp add: setops_sst_def)
    thus "wf_trms (trms_sst A) ⟹ wf_trms (trms_sst A ∪ pair ` setops_sst A)" by fast
  qed

lemma SMP_MP_split:
  assumes "t ∈ SMP M"
  and M: "∀m ∈ M. is_Fun m"
  shows "(∃δ. wt_subst δ ∧ wf_trms (subst_range δ) ∧ t ∈ M ·set δ) ∨
         t ∈ SMP ((subtermset M ∪ ⋃((set o fst o Ana) ` M)) - M)"
  (is "?P t ∨ ?Q t")
using assms(1)
proof (induction t rule: SMP.induct)
  case (MP t)
  have "wt_subst Var" "wf_trms (subst_range Var)" "M ·set Var = M" by simp_all
  thus ?case using MP by metis
next
  case (Subterm t t')
  show ?case using Subterm.IH
  proof
    assume "?P t"
    then obtain s δ where s: "s ∈ M" "t = s · δ" and δ: "wt_subst δ" "wf_trms (subst_range δ)" by auto
    then obtain f T where fT: "s = Fun f T" using M by fast
    have "(∃s'. s' ⊑ s ∧ t' = s' · δ) ∨ (∃x ∈ fv s. t' ⊑ δ x)"
      using subterm_subst_unfold[OF Subterm.hyps(2)[unfolded s(2)]] by blast
    thus ?thesis
      proof
        assume "∃s'. s' ⊑ s ∧ t' = s' · δ"
        then obtain s' where s': "s' ⊑ s" "t' = s' · δ" by fast
        show ?thesis
          proof (cases "s' ∈ M")
            case True thus ?thesis using s' δ by blast
          next
            case False
            hence "s' ∈ (subtermset M ∪ ⋃((set o fst o Ana) ` M)) - M" using s'(1) s(1) by force
            thus ?thesis using SMP.Substitution[OF SMP_MP[of s'] δ] s' by presburger
          qed
      qed
  qed

```

```

qed
next
assume "?x ∈ fv s. t' ⊑ δ x"
then obtain x where "x ∈ fv s" "t' ⊑ δ x" by fast
have "Var x ∉ M" using M by blast
hence "Var x ∈ (subtermsset M ∪ ∪((set o fst o Ana) ` M)) - M"
using s(1) var_is_subterm[OF x(1)] by blast
hence "?δ x ∈ SMP ((subtermsset M ∪ ∪((set o fst o Ana) ` M)) - M)"
using SMP.Substitution[OF SMP.MP[of "Var x"] δ] by auto
thus ?thesis using SMP.Subterm x(2) by presburger
qed
qed (metis SMP.Subterm[OF _ Subterm.hyps(2)])
next
case (Substitution t δ)
show ?case using Substitution.IH
proof
assume "?P t"
then obtain θ where "wtsubst θ" "wftrms (subst_range θ)" "t ∈ M ·set θ" by fast
hence "wtsubst (θ os δ)" "wftrms (subst_range (θ os δ))" "t · δ ∈ M ·set (θ os δ)"
using wt_subst_compose[of θ, OF _ Substitution.hyps(2)]
wf_trm_subst_compose[of θ _ δ, OF _ wf_trm_subst_rangeD[OF Substitution.hyps(3)]]
wf_trm_subst_range_iff
by (argo, blast, auto)
thus ?thesis by blast
next
assume "?Q t" thus ?thesis using SMP.Substitution[OF _ Substitution.hyps(2,3)] by meson
qed
next
case (Ana t K T k)
show ?case using Ana.IH
proof
assume "?P t"
then obtain θ where θ: "wtsubst θ" "wftrms (subst_range θ)" "t ∈ M ·set θ" by fast
then obtain s where s: "s ∈ M" "t = s · θ" by auto
then obtain f S where fT: "s = Fun f S" using M by (cases s) auto
obtain K' T' where sAna: "Ana s = (K', T')" by (metis surj_pair)
hence "set K = set K' ·set θ" "set T = set T' ·set θ"
using Ana_subst'[of f S K' T'] fT Ana.hyps(2) s(2) by auto
then obtain k' where k': "k' ∈ set K'" "k = k' · θ" using Ana.hyps(3) by fast
show ?thesis
proof (cases "k' ∈ M")
case True thus ?thesis using k' θ(1,2) by blast
next
case False
hence "k' ∈ (subtermsset M ∪ ∪((set o fst o Ana) ` M)) - M" using k'(1) sAna s(1) by force
thus ?thesis using SMP.Substitution[OF SMP.MP[of k'] θ(1,2)] k'(2) by presburger
qed
next
assume "?Q t" thus ?thesis using SMP.AnA[OF _ Ana.hyps(2,3)] by meson
qed
qed

lemma setops_subterm_trms:
assumes t: "t ∈ pair ` setopssst S"
and s: "s ⊑ t"
shows "s ∈ subtermsset (trmssst S)"
proof -
obtain u u' where u: "pair (u,u') ∈ pair ` setopssst S" "t = pair (u,u')"
using t setopssst_are_pairs[of _ S] by blast
hence "s ⊑ u ∨ s ⊑ u'" using s unfolding pair_def by auto
thus ?thesis using u setopssst_member_iff[of u u' S] unfolding trmssst_def by force
qed

```

```

lemma setops_subterms_cases:
assumes t: "t ∈ subtermsset (pair ` setopssst S)"
shows "t ∈ subtermsset (trmssst S) ∨ t ∈ pair ` setopssst S"
proof -
obtain s s' where s: "pair (s,s') ∈ pair ` setopssst S" "t ⊑ pair (s,s')"
using t setopssst_are_pairs[of _ S] by blast
hence "t ∈ pair ` setopssst S ∨ t ⊑ s ∨ t ⊑ s'" unfolding pair_def by auto
thus ?thesis using s setopssst_member_iff[of s s' S] unfolding trmssst_def by force
qed

lemma setops_SMP_cases:
assumes "t ∈ SMP (pair ` setopssst S)"
and "∀p. Ana (pair p) = ([] , [])"
shows "(∃δ. wtsubst δ ∧ wftrms (subst_range δ) ∧ t ∈ pair ` setopssst S ·set δ) ∨ t ∈ SMP (trmssst S)"
proof -
have 0: "⋃((set ∘ fst ∘ Ana) ` pair ` setopssst S) = {}"
proof (induction S)
case (Cons x S) thus ?case
using assms(2) by (cases x) (auto simp add: setopssst_def)
qed (simp add: setopssst_def)

have 1: "∀m ∈ pair ` setopssst S. is_Fun m"
proof (induction S)
case (Cons x S) thus ?case
unfolding pair_def by (cases x) (auto simp add: assms(2) setopssst_def)
qed (simp add: setopssst_def)

have 2:
"subtermsset (pair ` setopssst S) ∪
⋃((set ∘ fst ∘ Ana) ` (pair ` setopssst S)) - pair ` setopssst S
⊆ subtermsset (trmssst S)"
using 0 setops_subterms_cases by fast

show ?thesis
using SMP_MP_split[OF assms(1) 1] SMP_mono[OF 2] SMP_subterms_eq[of "trmssst S"]
by blast
qed

lemma constraint_model_priv_const_in_constr_prefix:
assumes A: "wfsst A"
and I: "I ⊨s A"
"interpretationsubst I"
"wftrms (subst_range I)"
"wtsubst I"
and c: "¬public c"
"arity c = 0"
"Fun c [] ⊑set iksst A ·set I"
shows "Fun c [] ⊑set trmssst A"
using const_subterms_subst_cases[OF c(3)]
proof
assume "Fun c [] ⊑set iksst A" thus ?thesis using iksst_trmssst_subset by blast
next
assume "∃x ∈ fvset (iksst A). x ∈ subst_domain I ∧ Fun c [] ⊑ I x"
then obtain x where x: "x ∈ fvset (iksst A)" "Fun c [] ⊑ I x" by blast
have 0: "wftrm (I x)" "wf'sst {} A" "Fun c [] · I = Fun c []"
using I A by simp_all

have 1: "x ∈ wfrestrictedvarssst A"
using x(1) in_iksst_iff[of _ A] unfolding wfrestrictedvarssst_def by force
hence 2: "∃v ∈ wfrestrictedvarssst A. Fun c [] · I ⊑ I v" using 0(3) x(2) by force

```

```

obtain Apre Asuf where A': " $\neg(\exists w \in \text{wfrestrictedvars}_{sst} A_{pre}. \text{Fun } c [] \sqsubseteq I w)$ "  

  " $(\exists ts. A = A_{pre}@\text{send}(ts)\#A_{suf} \wedge \text{Fun } c [] \sqsubseteq_{set} \text{set } ts \cdot_{set} I) \vee$   

    $(\exists s u. A = A_{pre}@\langle\text{assign}: s \doteq u\rangle\#A_{suf} \wedge \text{Fun } c [] \sqsubseteq s \cdot I \wedge \neg(\text{Fun } c [] \sqsubseteq_{set} (I \setminus \text{fv } u))) \vee$   

    $(\exists s u. A = A_{pre}@\langle\text{assign}: s \in u\rangle\#A_{suf} \wedge (\text{Fun } c [] \sqsubseteq s \cdot I \vee \text{Fun } c [] \sqsubseteq u \cdot I))$ "  

(is "?X \vee ?Y \vee ?Z")  

using wfsst_strand_first_Send_var_split[OF 0(2) 2] by force

show ?thesis using A'(2)
proof (elim disjE)
  assume ?X
  then obtain ts where ts: "A = Apre@send(ts)\#Asuf" "Fun c [] \sqsubseteq_{set} \text{set } ts \cdot_{set} I" by blast
  hence "I \models_s (A_{pre}@[\text{send}(ts)])"
    using I(1) strand_sem_append_stateful[of "{}" "{}" "A_{pre}@[\text{send}(ts)]" Asuf I] by auto
  hence "(iksst Apre) \cdot_{set} I \vdash t \cdot I" when "t \in \text{set } ts" for t
    using that strand_sem_append_stateful[of "{}" "{}" Apre "[\text{send}(ts)]" I]
      strand_sem_stateful.simps(2)[of _ _ ts []]
    unfolding list_all_iff by force
  hence "Fun c [] \sqsubseteq_{set} iksst Apre \cdot_{set} I"
    using ts(2) c(1) private_fun_deduct_in_ik by fast
  hence "Fun c [] \sqsubseteq_{set} iksst Apre"
    using A'(1) const_subterms_subst_cases[of c I "iksst Apre"]
      iksst_fv_subset_wfrestrictedvarssst[of Apre]
    by fast
  thus ?thesis
    using iksst_trmssst_subset[of "Apre"] unfolding ts(1) by fastforce
next
  assume ?Y
  then obtain s u where su:
    "A = A_{pre}@\langle\text{assign}: s \doteq u\rangle\#A_{suf}" "Fun c [] \sqsubseteq s \cdot I" " $\neg(\text{Fun } c [] \sqsubseteq_{set} I \setminus \text{fv } u)$ "  

    by fast
  hence "s \cdot I = u \cdot I"
    using I(1) strand_sem_append_stateful[of "{}" "{}" "A_{pre}@\langle\text{assign}: s \doteq u\rangle" Asuf I]
      strand_sem_append_stateful[of _ _ Apre "[\langle\text{assign}: s \doteq u\rangle]" I]
    by auto
  hence "Fun c [] \sqsubseteq u" using su(2,3) const_subterm_subst_var_obtain[of c u I] by auto
  thus ?thesis unfolding su(1) by auto
next
  assume ?Z
  then obtain s u where su:
    "A = A_{pre}@\langle\text{assign}: s \in u\rangle\#A_{suf}" "Fun c [] \sqsubseteq s \cdot I \vee \text{Fun } c [] \sqsubseteq u \cdot I"
    by fast
  hence "(s,u) \cdot_p I \in dbupdsst Apre I {}"
    using I(1) strand_sem_append_stateful[of "{}" "{}" "A_{pre}@\langle\text{assign}: s \in u\rangle" Asuf I]
      strand_sem_append_stateful[of _ _ Apre "[\langle\text{assign}: s \in u\rangle]" I]
    unfolding dbsst_def by auto
  then obtain s' u' where su': "insert(s',u') \in \text{set } A_{pre}" "s \cdot I = s' \cdot I" "u \cdot I = u' \cdot I"  

    using dbsst_in_cases[of "s \cdot I" "u \cdot I" Apre I []] dbsst_set_is_dbupdsst[of Apre I []]
    by fastforce

  have "fv s' \cup fv u' \subseteq \text{wfrestrictedvars}_{sst} A_{pre}"
    using su'(1) unfolding wfrestrictedvarssst_def by force
  hence "Fun c [] \sqsubseteq s' \vee \text{Fun } c [] \sqsubseteq u'"
    using su(2) A'(1) su'(2,3)
      const_subterm_subst_cases[of c s' I]
      const_subterm_subst_cases[of c u' I]
    by auto
  thus ?thesis using su'(1) unfolding su(1) by force
qed
qed

lemma trpairs_empty_case:
  assumes "trpairs F D = []"

```

```

shows "D = []" "F ≠ []"
proof -
  show "F ≠ []" using assms by (auto intro: ccontr)

  have "trpairs F (a#A) ≠ []" for a A
    by (induct F "a#A" rule: trpairs.induct) fastforce+
  thus "D = []" using assms by (cases D) simp_all
qed

lemma trpairs_elem_length_eq:
  assumes "G ∈ set (trpairs F D)"
  shows "length G = length F"
using assms by (induct F D arbitrary: G rule: trpairs.induct) auto

lemma trpairs_index:
  assumes "G ∈ set (trpairs F D)" "i < length F"
  shows "∃ d ∈ set D. G ! i = (pair (F ! i), pair d)"
using assms
proof (induction F D arbitrary: i G rule: trpairs.induct)
  case (2 s t F D)
  obtain d G' where G:
    "d ∈ set D" "G' ∈ set (trpairs F D)"
    "G = (pair (s,t), pair d)#G'"
    using "2.prems"(1) by atomize_elim auto
  show ?case
    using "2.IH"[OF G(1,2)] "2.prems"(2) G(1,3)
    by (cases i) auto
qed simp

lemma trpairs_cons:
  assumes "G ∈ set (trpairs F D)" "d ∈ set D"
  shows "(pair (s,t), pair d)#G ∈ set (trpairs ((s,t)#F) D)"
using assms by auto

lemma trpairs_has_pair_lists:
  assumes "G ∈ set (trpairs F D)" "g ∈ set G"
  shows "∃ f ∈ set F. ∃ d ∈ set D. g = (pair f, pair d)"
using assms
proof (induction F D arbitrary: G rule: trpairs.induct)
  case (2 s t F D)
  obtain d G' where G:
    "d ∈ set D" "G' ∈ set (trpairs F D)"
    "G = (pair (s,t), pair d)#G'"
    using "2.prems"(1) by atomize_elim auto
  show ?case
    using "2.IH"[OF G(1,2)] "2.prems"(2) G(1,3)
    by (cases "g ∈ set G') auto
qed simp

lemma trpairs_is_pair_lists:
  assumes "f ∈ set F" "d ∈ set D"
  shows "∃ G ∈ set (trpairs F D). (pair f, pair d) ∈ set G"
  (is "?P F D f d")
proof -
  have "∀ f ∈ set F. ∀ d ∈ set D. ?P F D f d"
  proof (induction F D rule: trpairs.induct)
    case (2 s t F D)
    hence IH: "∀ f ∈ set F. ∀ d ∈ set D. ?P F D f d" by metis
    moreover have "∀ d ∈ set D. ?P ((s,t)#F) D (s,t) d"
    proof
      fix d assume d: "d ∈ set D"
      then obtain G where G: "G ∈ set (trpairs F D)"
        using trpairs_empty_case(1) by force

```

```

hence "(pair (s, t), pair d) #G ∈ set (trpairs ((s,t)#F) D)"
  using d by auto
thus "?P ((s,t)#F) D (s,t) d" using d G by auto
qed
ultimately show ?case by fastforce
qed simp
thus ?thesis by (metis assms)
qed

lemma trpairs_db_append_subset:
  "set (trpairs F D) ⊆ set (trpairs F (D@E))" (is ?A)
  "set (trpairs F E) ⊆ set (trpairs F (D@E))" (is ?B)
proof -
  show ?A
  proof (induction F D rule: trpairs.induct)
    case (2 s t F D)
    show ?case
    proof
      fix G assume "G ∈ set (trpairs ((s,t)#F) D)"
      then obtain d G' where G':
        "d ∈ set D" "G' ∈ set (trpairs F D)" "G = (pair (s,t), pair d) #G'"
        by atomize_elim auto
      have "d ∈ set (D@E)" "G' ∈ set (trpairs F (D@E))" using "2.IH"[OF G'(1)] G'(1,2) by auto
      thus "G ∈ set (trpairs ((s,t)#F) (D@E))" using G'(3) by auto
    qed
  qed simp
  show ?B
  proof (induction F E rule: trpairs.induct)
    case (2 s t F E)
    show ?case
    proof
      fix G assume "G ∈ set (trpairs ((s,t)#F) E)"
      then obtain d G' where G':
        "d ∈ set E" "G' ∈ set (trpairs F E)" "G = (pair (s,t), pair d) #G'"
        by atomize_elim auto
      have "d ∈ set (D@E)" "G' ∈ set (trpairs F (D@E))" using "2.IH"[OF G'(1)] G'(1,2) by auto
      thus "G ∈ set (trpairs ((s,t)#F) (D@E))" using G'(3) by auto
    qed
  qed simp
qed

lemma trpairs_trms_subset:
  "G ∈ set (trpairs F D) ==> trmspairs G ⊆ pair ` set F ∪ pair ` set D"
proof (induction F D arbitrary: G rule: trpairs.induct)
  case (2 s t F D G)
  obtain d G' where G:
    "d ∈ set D" "G' ∈ set (trpairs F D)" "G = (pair (s,t), pair d) #G'"
    using "2.prem" by atomize_elim auto

  show ?case using "2.IH"[OF G(1,2)] G(1,3) by auto
qed simp

lemma trpairs_trms_subset':
  "Union (trmspairs ` set (trpairs F D)) ⊆ pair ` set F ∪ pair ` set D"
using trpairs_trms_subset by blast

lemma trpairs_vars_subset:
  "G ∈ set (trpairs F D) ==> fvpairs G ⊆ fvpairs F ∪ fvpairs D"
proof (induction F D arbitrary: G rule: trpairs.induct)
  case (2 s t F D G)
  obtain d G' where G:
    "d ∈ set D" "G' ∈ set (trpairs F D)" "G = (pair (s,t), pair d) #G'"
    
```

```

using "2.prem" (1) by atomize_elim auto

show ?case using "2.IH" [OF G(1,2) G(1,3)] unfolding pair_def by auto
qed simp

lemma tr_pairs_vars_subset': " $\bigcup (\text{fv}_{\text{pairs}} \setminus \text{set}(\text{tr}_{\text{pairs}} F D)) \subseteq \text{fv}_{\text{pairs}} F \cup \text{fv}_{\text{pairs}} D$ "
using tr_pairs_vars_subset[of _ F D] by blast

lemma tr_trms_subset:
  " $A' \in \text{set}(\text{tr } A D) \implies \text{trms}_{st} A' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set } D$ "
proof (induction A D arbitrary: A' rule: tr.induct)
  case 1 thus ?case by simp
next
  case (2 t A D)
  then obtain A'' where A'': "A' = \text{send}\langle t \rangle_{st} # A'" "A'' \in \text{set}(\text{tr } A D)" by atomize_elim auto
  hence "trms_{st} A'' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set } D" by (metis "2.IH")
  thus ?case using A'' by (auto simp add: setops_sst_def)
next
  case (3 t A D)
  then obtain A'' where A'': "A' = \text{receive}\langle t \rangle_{st} # A'" "A'' \in \text{set}(\text{tr } A D)" by atomize_elim auto
  hence "trms_{st} A'' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set } D" by (metis "3.IH")
  thus ?case using A'' by (auto simp add: setops_sst_def)
next
  case (4 ac t t' A D)
  then obtain A'' where A'': "A' = \langle ac: t \doteq t' \rangle_{st} # A'" "A'' \in \text{set}(\text{tr } A D)" by atomize_elim auto
  hence "trms_{st} A'' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set } D" by (metis "4.IH")
  thus ?case using A'' by (auto simp add: setops_sst_def)
next
  case (5 t s A D)
  hence "A' \in \text{set}(\text{tr } A (\text{List.insert}(t, s) D))" by simp
  hence "trms_{st} A' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set}(\text{List.insert}(t, s) D)"
    by (metis "5.IH")
  thus ?case by (auto simp add: setops_sst_def)
next
  case (6 t s A D)
  from 6 obtain Di A'' B C where A'':
    "Di \in \text{set}(\text{subseqs } D)" "A'' \in \text{set}(\text{tr } A [d \leftarrow D. d \notin \text{set } Di])" "A' = (B @ C) @ A''"
    "B = \text{map}(\lambda d. \text{check}(\text{pair}(t, s)) \doteq \text{pair}(d)_{st}) Di"
    "C = \text{map}(\lambda d. \text{Inequality}[] [(pair(t, s), pair d)]) [d \leftarrow D. d \notin \text{set } Di]"
  by atomize_elim auto
  hence "trms_{st} A'' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set}[d \leftarrow D. d \notin \text{set } Di]"
    by (metis "6.IH")
  hence "trms_{st} A'' \subseteq \text{trms}_{sst} (\text{Delete } t s \# A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{Delete } t s \# A) \cup \text{pair} \setminus \text{set } D"
    by (auto simp add: setops_sst_def)
  moreover have "trms_{st} (B @ C) \subseteq \text{insert}(\text{pair}(t, s)) (\text{pair} \setminus \text{set } D)"
    using A''(4,5) subseqs_set_subset[OF A''(1)] by auto
  moreover have "pair(t, s) \in \text{pair} \setminus \text{setops}_{sst} (\text{Delete } t s \# A)" by (simp add: setops_sst_def)
  ultimately show ?case using A''(3) trms_st_append[of "B @ C" A'] by auto
next
  case (7 ac t s A D)
  from 7 obtain d A'' where A'':
    "d \in \text{set } D" "A'' \in \text{set}(\text{tr } A D)"
    "A' = \langle ac: (\text{pair}(t, s)) \doteq (\text{pair } d)_{st} # A''"
  by atomize_elim auto
  hence "trms_{st} A'' \subseteq \text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} A \cup \text{pair} \setminus \text{set } D" by (metis "7.IH")
  moreover have "trms_{st} A' = \{\text{pair}(t, s), \text{pair } d\} \cup \text{trms}_{st} A''"
    using A''(1,3) by auto
  ultimately show ?case using A''(1) by (auto simp add: setops_sst_def)
next
  case (8 X F F' A D)
  from 8 obtain A'' where A'':
    "A'' \in \text{set}(\text{tr } A D)" "A' = (\text{map}(\lambda G. \forall X \langle \vee \neq: (F @ G)_{st} \rangle) (\text{tr}_{\text{pairs}} F' D)) @ A''"

```

```

by atomize_elim auto

define B where "B ≡ ∪(trmspairs ` set (trpairs F' D))"

have "trmsst A'' ⊆ trmssst A ∪ pair ` setopssst A ∪ pair ` set D" by (metis A''(1) "8.IH")
hence "trmsst A' ⊆ B ∪ trmspairs F ∪ trmssst A ∪ pair ` setopssst A ∪ pair ` set D"
  using A'' B_def by auto
moreover have "B ⊆ pair ` set F' ∪ pair ` set D"
  using trpairs.trms_subset'[of F' D] B_def by simp
moreover have "pair ` setopssst (∀X⟨V≠: F Vnotin: F'⟩#A) = pair ` set F' ∪ pair ` setopssst A"
  by (auto simp add: setopssst_def)
ultimately show ?case by auto
qed

lemma tr_vars_subset:
assumes "A' ∈ set (tr A D)"
shows "fvst A' ⊆ fvsst A ∪ (∪(t,t') ∈ set D. fv t ∪ fv t')" (is ?P)
and "bvarsst A' ⊆ bvarssst A" (is ?Q)

proof -
  show ?P using assms
  proof (induction A arbitrary: A' D rule: strand_sem_stateful_induct)
    case (ConsIn A' D ac t s A)
    then obtain A'' d where *:
      "d ∈ set D" "A' = (ac: (pair (t,s)) ≡ (pair d))st#A''"
      "A'' ∈ set (tr A D)"
      by atomize_elim auto
    hence "fvst A'' ⊆ fvsst A ∪ (∪(t,t') ∈ set D. fv t ∪ fv t')" by (metis ConsIn.IH)
    thus ?case using * unfolding pair_def by auto
  next
    case (ConsDel A' D t s A)
    define Dfv where "Dfv ≡ λD::('fun,'var) dbstatelist. (∪(t,t') ∈ set D. fv t ∪ fv t')"
    define fltD where "fltD ≡ λDi. filter (λd. d ∉ set Di) D"
    define constr where
      "constr ≡ λDi. (map (λd. (check: (pair (t,s)) ≡ (pair d))st Di)@
        (map (λd. ∀ []⟨V≠: [(pair (t,s), pair d)]⟩st) (fltD Di)))"
    from ConsDel obtain A'' Di where *:
      "Di ∈ set (subseqs D)" "A' = (constr Di)@A''" "A'' ∈ set (tr A (fltD Di))"
    unfolding constr_def fltD_def by atomize_elim auto
    hence "fvst A'' ⊆ fvsst A ∪ Dfv (fltD Di)"
    unfolding Dfv_def constr_def fltD_def by (metis ConsDel.IH)
    moreover have "Dfv (fltD Di) ⊆ Dfv D" unfolding Dfv_def constr_def fltD_def by auto
    moreover have "Dfv Di ⊆ Dfv D"
      using subseqs_set_subset(1)[OF *(1)] unfolding Dfv_def constr_def fltD_def by fast
    moreover have "fvst (constr Di) ⊆ fv t ∪ fv s ∪ (Dfv Di ∪ Dfv (fltD Di))"
      unfolding Dfv_def constr_def fltD_def pair_def by auto
    moreover have "fvsst (Delete t s#A) = fv t ∪ fv s ∪ fvsst A" by auto
    moreover have "fvst A' = fvst (constr Di) ∪ fvst A''" using * by force
    ultimately have "fvst A' ⊆ fvsst (Delete t s#A) ∪ Dfv D" by auto
    thus ?case unfolding Dfv_def fltD_def constr_def by simp
  next
    case (ConsNegChecks A' D X F F' A)
    then obtain A'' where A'':
      "A'' ∈ set (tr A D)" "A' = (map (λG. ∀X⟨V≠: (F@G)⟩st) (trpairs F' D))@A''"
      by atomize_elim auto

    define B where "B ≡ ∪(fvpairs ` set (trpairs F' D))"

    have 1: "fvst (map (λG. ∀X⟨V≠: (F@G)⟩st) (trpairs F' D)) ⊆ (B ∪ fvpairs F) - set X"
      unfolding B_def by auto

    have 2: "B ⊆ fvpairs F' ∪ fvpairs D"
      using trpairs.vars_subset'[of F' D]
      unfolding B_def by simp
  
```

```

have "fvst A' ⊆ ((fvpairs F' ∪ fvpairs D ∪ fvpairs F) - set X) ∪ fvst A''"
  using 1 2 A''(2) by fastforce
  thus ?case using ConsNegChecks.IH[OF A''(1)] by auto
qed fastforce+

show ?Q using assms by (induct A arbitrary: A' D rule: strand_sem_stateful_induct) fastforce+
qed

lemma tr_vars_disj:
  assumes "A' ∈ set (tr A D)" "∀ (t, t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
    and "fvsst A ∩ bvarssst A = {}"
  shows "fvst A' ∩ bvarssst A' = {}"
using assms tr_vars_subset by fast

lemma tfrsstp_alt_def:
  "list_all tfrsstp S =
  (∀ ac t t'. Equality ac t t' ∈ set S ∧ (∃ δ. Unifier δ t t') → Γ t = Γ t') ∧
  (∀ X F F'. NegChecks X F F' ∈ set S → (
  (F' = [] ∧ (∀ x ∈ fvpairs F-set X. ∃ a. Γ (Var x) = TAtom a)) ∨
  (∀ f T. Fun f T ∈ subtermsset (trmspairs F ∪ pair ` set F') →
  T = [] ∨ (∃ s ∈ set T. s ∉ Var ` set X))))"
  (is "?P S = ?Q S")
proof
  show "?P S ⇒ ?Q S"
  proof (induction S)
    case (Cons x S) thus ?case by (cases x) auto
  qed simp
qed

show "?Q S ⇒ ?P S"
proof (induction S)
  case (Cons x S) thus ?case by (cases x) auto
  qed simp
qed

lemma tfrsst_Nil[simp]: "tfrsst []"
by (simp add: tfrsst_def setopssst_def)

lemma tfrsst_append: "tfrsst (A@B) ⇒ tfrsst A"
proof -
  assume assms: "tfrsst (A@B)"
  let ?M = "trmssst A ∪ pair ` setopssst A"
  let ?N = "trmssst (A@B) ∪ pair ` setopssst (A@B)"
  let ?P = "λ t t'. ∀ x ∈ fv t ∪ fv t'. ∃ a. Γ (Var x) = Var a"
  let ?Q = "λ X t t'. X = [] ∨ (∀ x ∈ (fv t ∪ fv t')-set X. ∃ a. Γ (Var x) = Var a)"
  have *: "SMP ?M - Var`V ⊆ SMP ?N - Var`V" "?M ⊆ ?N"
    using SMP_mono[of ?M ?N] setopssst_append[of A B]
    by auto
  { fix s t assume **: "tfrset ?N" "s ∈ SMP ?M - Var`V" "t ∈ SMP ?M - Var`V" "(∃ δ. Unifier δ s t)"
    hence "s ∈ SMP ?N - Var`V" "t ∈ SMP ?N - Var`V" using * by auto
    hence "Γ s = Γ t" using **(1,4) unfolding tfrset_def by blast
  } moreover have "∀ t ∈ ?N. wftrm t ⇒ ∀ t ∈ ?M. wftrm t" using * by blast
  ultimately have "tfrset ?N ⇒ tfrset ?M" unfolding tfrset_def by blast
  hence "tfrset ?M" using assms unfolding tfrsst_def by metis
  thus "tfrsst A" using assms unfolding tfrsst_def by simp
qed

lemma tfrsst_append': "tfrsst (A@B) ⇒ tfrsst B"
proof -
  assume assms: "tfrsst (A@B)"
  let ?M = "trmssst B ∪ pair ` setopssst B"
  let ?N = "trmssst (A@B) ∪ pair ` setopssst (A@B)"

```

```

let ?P = " $\lambda t t'. \forall x \in fv t \cup fv t'. \exists a. \Gamma (Var x) = Var a$ "
let ?Q = " $\lambda X t t'. X = [] \vee (\forall x \in (fv t \cup fv t')\text{-set } X. \exists a. \Gamma (Var x) = Var a)$ "
have *: " $SMP ?M - Var`V \subseteq SMP ?N - Var`V$ " "?M \subseteq ?N"
  using  $SMP\_mono[of ?M ?N]$   $setops_{sst\_append}[of A B]$ 
  by auto
{ fix s t assume **: "tfr_{set} ?N" "s \in SMP ?M - Var`V" "t \in SMP ?M - Var`V" "( $\exists \delta. Unifier \delta s t$ )"
  hence "s \in SMP ?N - Var`V" "t \in SMP ?N - Var`V" using * by auto
  hence " $\Gamma s = \Gamma t$ " using **(1,4) unfolding  $tfr_{set\_def}$  by blast
} moreover have " $\forall t \in ?N. wf_{trm} t \implies \forall t \in ?M. wf_{trm} t$ " using * by blast
ultimately have " $tfr_{set} ?N \implies tfr_{set} ?M$ " unfolding  $tfr_{set\_def}$  by blast
hence " $tfr_{set} ?M$ " using assms unfolding  $tfr_{sst\_def}$  by metis
thus " $tfr_{sst} B$ " using assms unfolding  $tfr_{sst\_def}$  by simp
qed

lemma tfr_{sst\_cons}: " $tfr_{sst} (a#A) \implies tfr_{sst} A$ "
using  $tfr_{sst\_append}'[of "[a]" A]$  by simp

lemma tfr_{sstp\_subst}:
assumes s: " $tfr_{sstp} s$ "
and  $\vartheta: wt_{subst} \vartheta$ " " $wf_{trms} (subst\_range \vartheta)$ " " $set (bvars_{sstp} s) \cap range\_vars \vartheta = \{\}$ "
shows " $tfr_{sstp} (s \cdot_{sstp} \vartheta)$ "
proof (cases s)
case (Equality a t t')
thus ?thesis
proof (cases " $\exists \delta. Unifier \delta (t \cdot \vartheta) (t' \cdot \vartheta)$ ")
case True
hence " $\exists \delta. Unifier \delta t t'$ " by (metis subst_subst_compose[of _  $\vartheta$ ])
moreover have " $\Gamma t = \Gamma (t \cdot \vartheta)$ " " $\Gamma t' = \Gamma (t' \cdot \vartheta)$ " by (metis wt_subst_trm'[OF assms(2)])+
ultimately have " $\Gamma (t \cdot \vartheta) = \Gamma (t' \cdot \vartheta)$ " using s Equality by simp
thus ?thesis using Equality True by simp
qed simp
next
case (NegChecks X F G)
let ?P = " $\lambda F G. G = [] \wedge (\forall x \in fv_{pairs} F\text{-set } X. \exists a. \Gamma (Var x) = TAtom a)$ "
let ?Q = " $\lambda F G. \forall f T. Fun f T \in subterms_{set} (trms_{pairs} F \cup pair` set G) \longrightarrow$ 
 $T = [] \vee (\exists s \in set T. s \notin Var` set X)$ "
let ? $\vartheta$  = "rm_vars (set X)  $\vartheta$ "
have "?P F G \vee ?Q F G" using NegChecks assms(1) by simp
hence "?P (F \cdot_{pairs} ? $\vartheta$ ) (G \cdot_{pairs} ? $\vartheta$ ) \vee ?Q (F \cdot_{pairs} ? $\vartheta$ ) (G \cdot_{pairs} ? $\vartheta$ )"
proof
assume *: "?P F G"
have " $G \cdot_{pairs} ?\vartheta = []$ " using * by simp
moreover have " $\exists a. \Gamma (Var x) = TAtom a$ " when x: " $x \in fv_{pairs} (F \cdot_{pairs} ?\vartheta) - set X$ " for x
proof -
obtain t t' where t: " $(t, t') \in set (F \cdot_{pairs} ?\vartheta)$ " " $x \in fv t \cup fv t' - set X$ "
using x(1) by auto
then obtain u u' where u: " $(u, u') \in set F$ " " $u \cdot ?\vartheta = t$ " " $u' \cdot ?\vartheta = t'$ "
unfolding subst_apply_pairs_def by auto
obtain y where y: " $y \in fv u \cup fv u' - set X$ " " $x \in fv (?\vartheta y)$ "
using t(2) u(2,3) rm_vars_fv_obtain by fast
hence a: " $\exists a. \Gamma (Var y) = TAtom a$ " using u * by auto
have a': " $\Gamma (Var y) = \Gamma (? $\vartheta$  y)"
using wt_subst_trm'[OF wt_subst_rm_vars[OF  $\vartheta$ (1), of "set X"], of "Var y"] by simp
have " $(\exists z. ?\vartheta y = Var z) \vee (\exists c. ?\vartheta y = Fun c [])$ "
proof (cases "?\vartheta y \in subst\_range  $\vartheta$ ")
case True thus ?thesis
using a a'  $\vartheta$ (2) const_type_inv_wf
by (cases "?\vartheta y") fastforce+
qed fastforce$ 
```

```

hence "?θ y = Var x" using y(2) by fastforce
hence "Γ (Var x) = Γ (Var y)" using a' by simp
thus ?thesis using a by presburger
qed
ultimately show ?thesis by simp
next
assume *: "?Q F G"
have **: "set X ∩ range_vars ?θ = {}"
  using θ(3) NegChecks rm_vars_img_fv_subset[of "set X" θ] by auto
have "?Q (F ·pairs ?θ) (G ·pairs ?θ)"
  using ineq_subterm_inj_cond_subst[OF ** *]
    trmspairs_subst[of F "rm_vars (set X) θ"]
    subst_apply_pairs_pair_image_subst[of G "rm_vars (set X) θ"]
  by (metis (no_types, lifting) image_Un)
thus ?thesis by simp
qed
thus ?thesis using NegChecks by simp
qed simp_all

lemma tfr_sstp_all_wt_subst_apply:
assumes S: "list_all tfr_sstp S"
and θ: "wtsubst θ" "wftrms (subst_range θ)" "bvars_sst S ∩ range_vars θ = {}"
shows "list_all tfr_sstp (S ·sst θ)"
proof -
have "set (bvars_sstp s) ∩ range_vars θ = {}" when "s ∈ set S" for s
  using that θ(3) unfolding bvars_sst_def range_vars_alt_def by fastforce
thus ?thesis
  using tfr_sstp_subst[OF _ θ(1,2)] S
  unfolding list_all_iff
  by (auto simp add: subst_apply_stateful_strand_def)
qed

lemma tfr_setops_if_tfr_trms:
assumes "Pair ∉ ∪(funsterm ` SMP (trms_sst S))"
and "∀p. Ana (pair p) = ([], [])"
and "∀s ∈ pair ` setops_sst S. ∀t ∈ pair ` setops_sst S. (∃δ. Unifier δ s t) → Γ s = Γ t"
and "∀s ∈ pair ` setops_sst S. ∀t ∈ pair ` setops_sst S.
  (∃σ θ. wtsubst σ ∧ wtsubst θ ∧ wftrms (subst_range σ) ∧ wftrms (subst_range θ) ∧
    Unifier θ (s · σ) (t · θ))
  → (∃δ. Unifier δ s t)"
and tfr: "tfr_set (trms_sst S)"
shows "tfr_set (trms_sst S ∪ pair ` setops_sst S)"
proof -
have 0: "t ∈ SMP (trms_sst S) - range Var ∨ t ∈ SMP (pair ` setops_sst S) - range Var"
  when "t ∈ SMP (trms_sst S ∪ pair ` setops_sst S) - range Var" for t
  using that SMP_union by blast

have 1: "s ∈ SMP (trms_sst S) - range Var"
  when st: "s ∈ SMP (pair ` setops_sst S) - range Var"
    "t ∈ SMP (trms_sst S) - range Var"
    "∃δ. Unifier δ s t"
    for s t
proof -
have "(∃δ. s ∈ pair ` setops_sst S ·set δ) ∨ s ∈ SMP (trms_sst S) - range Var"
  using st setops_SMP_cases[of s S] assms(2) by blast
moreover {
fix δ assume δ: "s ∈ pair ` setops_sst S ·set δ"
then obtain s' where s': "s' ∈ pair ` setops_sst S" "s = s' · δ" by blast
then obtain u u' where u: "s' = Fun Pair [u,u']"
  using setops_sst_are_pairs[of s'] unfolding pair_def by fast
hence *: "s = Fun Pair [u · δ, u' · δ]" using δ s' by simp
obtain f T where fT: "t = Fun f T" using st(2) by (cases t) auto

```

```

hence "f ≠ Pair" using st(2) assms(1) by auto
hence False using st(3) * fT s' u by fast
} ultimately show ?thesis by meson
qed

have 2: " $\Gamma \ s = \Gamma \ t$ "
when "s ∈ SMP (trmssst S) - range Var"
"t ∈ SMP (trmssst S) - range Var"
"∃δ. Unifier δ s t"
for s t
using that tfr unfolding tfrset_def by blast

have 3: " $\Gamma \ s = \Gamma \ t$ "
when st: "s ∈ SMP (pair ` setopssst S) - range Var"
"t ∈ SMP (pair ` setopssst S) - range Var"
"∃δ. Unifier δ s t"
for s t
proof -
let ?P = " $\lambda s \delta. \text{wt}_{\text{subst}} \delta \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \delta) \wedge s \in \text{pair} ` \text{setops}_{\text{sst}} S \cdot_{\text{set}} \delta$ "
have "(∃δ. ?P s δ) ∨ s ∈ SMP (trmssst S) - range Var"
"(∃δ. ?P t δ) ∨ t ∈ SMP (trmssst S) - range Var"
using setops_SMP_cases[of _ S] assms(2) st(1,2) by auto
hence "(∃δ δ'. ?P s δ ∧ ?P t δ') ∨  $\Gamma \ s = \Gamma \ t$ " by (metis 1 2 st)
moreover {
fix δ δ' assume *: "?P s δ" "?P t δ'"
then obtain s' t' where **:
"s' ∈ pair ` setopssst S" "t' ∈ pair ` setopssst S" "s = s' · δ" "t = t' · δ'"
by blast
hence "∃θ. Unifier θ s' t'" using st(3) assms(4) * by blast
hence " $\Gamma \ s' = \Gamma \ t'$ " using assms(3) ** by blast
hence " $\Gamma \ s = \Gamma \ t$ " using * **(3,4) wt_subst_trm'[of δ s'] wt_subst_trm'[of δ' t'] by argo
} ultimately show ?thesis by blast
qed

show ?thesis using 0 1 2 3 unfolding tfrset_def by metis
qed

end

```

## 4.2.2 The Typing Result for Stateful Constraints

### Correctness of the Constraint Reduction

```

context stateful_typed_model
begin

context
begin
private lemma tr_wf':
assumes "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
and "∀ (t,t') ∈ set D. fv t ∪ fv t' ⊆ X"
and "wf'sst X A" "fvsst A ∩ bvarssst A = {}"
and "A' ∈ set (tr A D)"
shows "wfsst X A'"
proof -
define P where
"P = ( $\lambda(D::('fun,'var) dbstate) (A::('fun,'var) stateful_strand).$ 
 $(\forall (t,t') \in set D. (fv t \cup fv t') \cap bvars_{sst} A = \{}) \wedge fv_{sst} A \cap bvars_{sst} A = \{}")$ 
have "P D A" using assms(1,4) by (simp add: P_def)
with assms(5,3,2) show ?thesis
proof (induction A arbitrary: A' D X rule: wf'sst.induct)
case 1 thus ?case by simp

```

```

next
case (2 X ts A A')
then obtain A'' where A'': "A' = receive⟨ts⟩st#A'''" "A'' ∈ set (tr A D)" "fvset (set ts) ⊆ X"
  by atomize_elim auto
have *: "wf'sst X A" "∀(s,s') ∈ set D. fv s ∪ fv s' ⊆ X" "P D A"
  using 2(1,2,3,4) apply (force, force)
  using 2(5) unfolding P_def by force
show ?case using "2.IH"[OF A''(2) *] A''(1,3) by simp
next
case (3 X ts A A')
then obtain A'' where A'': "A' = send⟨ts⟩st#A'''" "A'' ∈ set (tr A D)"
  by atomize_elim auto
have *: "wf'sst (X ∪ fvset (set ts)) A"
  "∀(s,s') ∈ set D. fv s ∪ fv s' ⊆ X ∪ fvset (set ts)" "P D A"
  using 3(1,2,3,4) apply (force, force)
  using 3(5) unfolding P_def by force
show ?case using "3.IH"[OF A''(2) *] A''(1) by simp
next
case (4 X t t' A A')
then obtain A'' where A'': "A' = ⟨assign: t ≡ t'⟩st#A'''" "A'' ∈ set (tr A D)" "fv t' ⊆ X"
  by atomize_elim auto
have *: "wf'sst (X ∪ fv t) A" "∀(s,s') ∈ set D. fv s ∪ fv s' ⊆ X ∪ fv t" "P D A"
  using 4(1,2,3,4) apply (force, force)
  using 4(5) unfolding P_def by force
show ?case using "4.IH"[OF A''(2) *] A''(1,3) by simp
next
case (5 X t t' A A')
then obtain A'' where A'': "A' = ⟨check: t ≡ t'⟩st#A'''" "A'' ∈ set (tr A D)"
  by atomize_elim auto
have *: "wf'sst X A" "P D A"
  using 5(3) apply force
  using 5(5) unfolding P_def by force
show ?case using "5.IH"[OF A''(2) *(1) 5(4) *(2)] A''(1) by simp
next
case (6 X t s A A')
hence A': "A' ∈ set (tr A (List.insert (t,s) D))" "fv t ⊆ X" "fv s ⊆ X" by auto
have *: "wf'sst X A" "∀(s,s') ∈ set (List.insert (t,s) D). fv s ∪ fv s' ⊆ X" using 6 by auto
have **: "P (List.insert (t,s) D) A" using 6(5) unfolding P_def by force
show ?case using "6.IH"[OF A'(1) * **] A'(2,3) by simp
next
case (7 X t s A A')
let ?constr = "λDi. (map (λd. ⟨check: (pair (t,s)) ≡ (pair d)⟩st) Di)@"
  "(map (λd. ∀ [](v ≠: [(pair (t,s), pair d)])st) [d ← D. d ∉ set Di])"
from 7 obtain Di A'' where A'':
  "A' = ?constr Di@A'''" "A'' ∈ set (tr A [d ← D. d ∉ set Di])"
  "Di ∈ set (subseqs D)"
  by atomize_elim force
have *: "wf'sst X A" "∀(t',s') ∈ set [d ← D. d ∉ set Di]. fv t' ∪ fv s' ⊆ X"
  using 7 by auto
have **: "P [d ← D. d ∉ set Di] A" using 7 unfolding P_def by force
have ***: "∀(t, t') ∈ set D. fv t ∪ fv t' ⊆ X" using 7 by auto
show ?case
  using "7.IH"[OF A''(2) * **] A''(1) wf_fun_pair_eqs_ineqs_map[OF _ A''(3) ***]
  by simp
next
case (8 X t s A A')
then obtain d A'' where A'':
  "A' = ⟨assign: (pair (t,s)) ≡ (pair d)⟩st#A'''" "A'' ∈ set (tr A D)" "d ∈ set D"
  by atomize_elim auto
have *: "wf'sst (X ∪ fv t ∪ fv s) A" "∀(t',s') ∈ set D. fv t' ∪ fv s' ⊆ X ∪ fv t ∪ fv s" "P D A"
  using 8(1,2,3,4) apply (force, force)
  using 8(5) unfolding P_def by force

```

```

have **: "fv (pair d) ⊆ X" using A''(3) "8.prems"(3) unfolding pair_def by fastforce
have ***: "fv (pair (t,s)) = fv s ∪ fv t" unfolding pair_def by auto
show ?case using "8.IH"[OF A''(2) *] A''(1) ** *** unfolding pair_def by (simp add: Un_assoc)
next
  case (9 X t s A A')
  then obtain d A'' where A'':
    "A' = (check: (pair (t,s)) ≡ (pair d))_{st}#A''"
    "A'' ∈ set (tr A D)" "d ∈ set D"
  by atomize_elim auto
  have *: "wf'_sst X A""P D A"
    using 9(3) apply force
    using 9(5) unfolding P_def by force
  have **: "fv (pair d) ⊆ X" using A''(3) "9.prems"(3) unfolding pair_def by fastforce
  have ***: "fv (pair (t,s)) = fv s ∪ fv t" unfolding pair_def by auto
  show ?case using "9.IH"[OF A''(2) *(1) 9(4) *(2)] A''(1) ** *** by (simp add: Un_assoc)
next
  case (10 X Y F F' A A')
  from 10 obtain A'' where A'':
    "A' = (map (λG. ∀Y⟨Y ≠: (F@G)⟩_{st}) (tr_{pairs} F' D))@A''"
    "A'' ∈ set (tr A D)"
  by atomize_elim auto
  have *: "wf'_sst X A" "∀(t',s') ∈ set D. fv t' ∪ fv s' ⊆ X" using 10 by auto
  have "bvars_{sst} A ⊆ bvars_{sst} (∀Y⟨Y ≠: F ∨ Y ∉: F'⟩#A)" "fv_{sst} A ⊆ fv_{sst} (∀Y⟨Y ≠: F ∨ Y ∉: F'⟩#A)" by
  auto
  hence **: "P D A" using 10 unfolding P_def by blast
  show ?case using "10.IH"[OF A''(2) * **] A''(1) wf_fun_pair_negchecks_map by simp
qed
qed

private lemma tr_wf_{trms}:
  assumes "A' ∈ set (tr A [])" "wf_{trms} (trms_{sst} A)"
  shows "wf_{trms} (trms_{sst} A')"
using tr_trms_subset[OF assms(1)] setops_{sst}_wf_{trms}(2)[OF assms(2)]
by auto

lemma tr_wf:
  assumes "A' ∈ set (tr A [])"
    and "wf_{sst} A"
    and "wf_{trms} (trms_{sst} A)"
  shows "wf_{st} {} A'"
    and "wf_{trms} (trms_{st} A')"
    and "fv_{st} A' ∩ bvars_{st} A' = {}"
using tr_wf'[OF _ _ _ _ assms(1)]
  tr_wf_{trms}[OF assms(1,3)]
  tr_vars_disj[OF assms(1)]
  assms(2)
by fastforce+

```

**private lemma fun\_pair\_ineqs:**

```

  assumes "d ·_p δ ·_p ϑ ≠ d' ·_p I"
  shows "pair d · δ · ϑ ≠ pair d' · I"
proof -
  have "d ·_p (δ o_s ϑ) ≠ d' ·_p I" using assms subst_pair_compose by metis
  hence "pair d · (δ o_s ϑ) ≠ pair d' · I" using fun_pair_eq_subst by metis
  thus ?thesis by simp
qed

```

**private lemma tr\_Delete\_constr\_ifff\_aux1:**

```

  assumes "∀d ∈ set Di. (t,s) ·_p I = d ·_p I"
  and "∀d ∈ set D - set Di. (t,s) ·_p I ≠ d ·_p I"
  shows "[M; (map (λd. (check: (pair (t,s)) ≡ (pair d))_{st}) Di)@"

```

```

(map (λd. ∀ []⟨v≠: [(pair (t,s), pair d)]⟩st) [d←D. d ∉ set Di])]_d I"
proof -
  from assms(2) have
    "⟦M; map (λd. ∀ []⟨v≠: [(pair (t,s), pair d)]⟩st) [d←D. d ∉ set Di]⟧_d I"
  proof (induction D)
    case (Cons d D)
      hence IH: "⟦M; map (λd. ∀ []⟨v≠: [(pair (t,s), pair d)]⟩st) [d←D . d ∉ set Di]⟧_d I" by auto
      thus ?case
        proof (cases "d ∈ set Di")
          case False
            hence "(t,s) ·p I ≠ d ·p I" using Cons by simp
            hence "pair (t,s) · I ≠ pair d · I" using fun_pair_eq_subst by metis
            moreover have "¬t (δ::('fun,'var) subst). subst_domain δ = {} ⇒ t · δ = t" by auto
            ultimately have "¬δ. subst_domain δ = {} → pair (t,s) · δ · I ≠ pair d · δ · I" by metis
            thus ?thesis using IH by (simp add: ineq_model_def)
        qed simp
      qed simp
      moreover {
        fix B assume "⟦M; B⟧_d I"
        with assms(1) have "⟦M; (map (λd. ⟨check: (pair (t,s)) ≡ (pair d)⟩st) Di) @ B⟧_d I"
        unfolding pair_def by (induction Di) auto
      } ultimately show ?thesis by metis
    qed
  private lemma tr_Delete_constr_iff_aux2:
    assumes "ground M"
    and "⟦M; (map (λd. ⟨check: (pair (t,s)) ≡ (pair d)⟩st) Di) @
           (map (λd. ∀ []⟨v≠: [(pair (t,s), pair d)]⟩st) [d←D. d ∉ set Di])⟧_d I"
    shows "(∀ d ∈ set Di. (t,s) ·p I = d ·p I) ∧ (∀ d ∈ set D - set Di. (t,s) ·p I ≠ d ·p I)"
  proof -
    let ?c1 = "map (λd. ⟨check: (pair (t,s)) ≡ (pair d)⟩st) Di"
    let ?c2 = "map (λd. ∀ []⟨v≠: [(pair (t,s), pair d)]⟩st) [d←D. d ∉ set Di]"
    have "M ·set I = M" using assms(1) subst_all_ground_ident by metis
    moreover have "ikst ?c1 = {}" by auto
    ultimately have *:
      "⟦M; map (λd. ⟨check: (pair (t,s)) ≡ (pair d)⟩st) Di⟧_d I"
      "⟦M; map (λd. ∀ []⟨v≠: [(pair (t,s), pair d)]⟩st) [d←D. d ∉ set Di]⟧_d I"
    using strand_sem_split(3,4)[of M ?c1 ?c2 I] assms(2) by auto
    from *(1) have 1: "∀ d ∈ set Di. (t,s) ·p I = d ·p I" unfolding pair_def by (induct Di) auto
    from *(2) have 2: "∀ d ∈ set D - set Di. (t,s) ·p I ≠ d ·p I"
    proof (induction D arbitrary: Di)
      case (Cons d D) thus ?case
        proof (cases "d ∈ set Di")
          case False
            hence IH: "∀ d ∈ set D - set Di. (t,s) ·p I ≠ d ·p I" using Cons by force
            have "¬t (δ::('fun,'var) subst). subst_domain δ = {} ∧ ground (subst_range δ) ↔ δ = Var" by auto
            moreover have "ineq_model I [] [(pair (t,s)), (pair d)]"
              using False Cons.preds by simp
            ultimately have "pair (t,s) · I ≠ pair d · I" by (simp add: ineq_model_def)
            thus ?thesis using IH unfolding pair_def by force
        qed simp
      qed simp
    qed
    show ?thesis by (metis 1 2)
  qed
private lemma tr_Delete_constr_iff:
  fixes I::("fun","var) subst"
  assumes "ground M"
  shows "set Di ·pset I ⊆ {(t,s) ·p I} ∧ (t,s) ·p I ∉ (set D - set Di) ·pset I ↔"

```

```

 $\llbracket M; (\text{map } (\lambda d. \langle \text{check}: (\text{pair } (t,s)) \doteq (\text{pair } d) \rangle_{st}) Di) @$ 
 $(\text{map } (\lambda d. \forall [] \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) [d \leftarrow D. d \notin \text{set } Di]) \rrbracket_d \mathcal{I}$ 

proof -
let ?constr = "(map (\lambda d. \langle \text{check}: (\text{pair } (t,s)) \doteq (\text{pair } d) \rangle_{st}) Di) @"
  "(map (\lambda d. \forall [] \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) [d \leftarrow D. d \notin \text{set } Di])"
{ assume "set Di \cdot_{pset} \mathcal{I} \subseteq \{(t,s) \cdot_p \mathcal{I}\}" "(t,s) \cdot_p \mathcal{I} \notin (\text{set } D - \text{set } Di) \cdot_{pset} \mathcal{I}"
  hence "\forall d \in \text{set } Di. (t,s) \cdot_p \mathcal{I} = d \cdot_p \mathcal{I}" "\forall d \in \text{set } D - \text{set } Di. (t,s) \cdot_p \mathcal{I} \neq d \cdot_p \mathcal{I}"
    by auto
  hence "\llbracket M; ?constr \rrbracket_d \mathcal{I}" using tr_Delete_constr_iff_aux1 by simp
} moreover {
  assume "\llbracket M; ?constr \rrbracket_d \mathcal{I}"
  hence "\forall d \in \text{set } Di. (t,s) \cdot_p \mathcal{I} = d \cdot_p \mathcal{I}" "\forall d \in \text{set } D - \text{set } Di. (t,s) \cdot_p \mathcal{I} \neq d \cdot_p \mathcal{I}"
    using assms tr_Delete_constr_iff_aux2 by auto
  hence "set Di \cdot_{pset} \mathcal{I} \subseteq \{(t,s) \cdot_p \mathcal{I}\} \wedge (t,s) \cdot_p \mathcal{I} \notin (\text{set } D - \text{set } Di) \cdot_{pset} \mathcal{I}" by force
} ultimately show ?thesis by metis
qed

private lemma tr_NotInSet_constr_iff:
fixes  $\mathcal{I} ::= ('fun, 'var) subst$ 
assumes "\forall (t,t') \in \text{set } D. (\text{fv } t \cup \text{fv } t') \cap \text{set } X = \{\}"
shows "\langle \forall \delta. \text{subst\_domain } \delta = \text{set } X \wedge \text{ground } (\text{subst\_range } \delta) \longrightarrow (t,s) \cdot_p \delta \cdot_p \mathcal{I} \notin \text{set } D \cdot_{pset} \mathcal{I} \rangle"
  \longleftrightarrow \llbracket M; map (\lambda d. \forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) D \rrbracket_d \mathcal{I}"

proof -
{ assume "\forall \delta. \text{subst\_domain } \delta = \text{set } X \wedge \text{ground } (\text{subst\_range } \delta) \longrightarrow (t,s) \cdot_p \delta \cdot_p \mathcal{I} \notin \text{set } D \cdot_{pset} \mathcal{I}"
  with assms have "\llbracket M; map (\lambda d. \forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) D \rrbracket_d \mathcal{I}"
  proof (induction D)
    case (Cons d D)
    obtain t' s' where d: "d = (t',s')" by atomize_elim auto
    have "\llbracket M; map (\lambda d. \forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) D \rrbracket_d \mathcal{I}"
      "map (\lambda d. \forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) (d#D) ="
      "\forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st} \# map (\lambda d. \forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) D"
    using Cons by auto
    moreover have "\forall \delta. \text{subst\_domain } \delta = \text{set } X \wedge \text{ground } (\text{subst\_range } \delta) \longrightarrow \text{pair } (t, s) \cdot \delta \cdot \mathcal{I} \neq \text{pair } d \cdot \mathcal{I}"
      using fun_pair_ineqs[of \mathcal{I} _ "(t,s)" \mathcal{I} d] Cons.prems(2) by auto
    moreover have "(\text{fv } t' \cup \text{fv } s') \cap \text{set } X = \{\}" using Cons.prems(1) d by auto
    hence "\forall \delta. \text{subst\_domain } \delta = \text{set } X \longrightarrow \text{pair } d \cdot \delta = \text{pair } d" using d unfolding pair_def by auto
    ultimately show ?case by (simp add: ineq_model_def)
  qed simp
} moreover {
  fix  $\delta ::= ('fun, 'var) subst$ 
  assume "\llbracket M; map (\lambda d. \forall X \langle \vee \neq: [(\text{pair } (t,s), \text{pair } d)] \rangle_{st}) D \rrbracket_d \mathcal{I}"
    and  $\delta: \text{subst\_domain } \delta = \text{set } X \wedge \text{ground } (\text{subst\_range } \delta)$ 
  with assms have "(t,s) \cdot_p \delta \cdot_p \mathcal{I} \notin \text{set } D \cdot_{pset} \mathcal{I}"
  proof (induction D)
    case (Cons d D)
    obtain t' s' where d: "d = (t',s')" by atomize_elim auto
    have "(t,s) \cdot_p \delta \cdot_p \mathcal{I} \notin \text{set } D \cdot_{pset} \mathcal{I}"
      "pair (t,s) \cdot \delta \cdot \mathcal{I} \neq \text{pair } d \cdot \delta \cdot \mathcal{I}"
    using Cons d by (auto simp add: ineq_model_def simp del: subst_range.simps)
    moreover have "pair d \cdot \delta = \text{pair } d"
      using Cons.prems(1) fun_pair_subst[of d \delta] d \delta(1) unfolding pair_def by auto
    ultimately show ?case unfolding pair_def by force
  qed simp
} ultimately show ?thesis by metis
qed

lemma tr_NegChecks_constr_iff:
"\langle \forall G \in \text{set } L. \text{ineq\_model } \mathcal{I} X (F \otimes G) \rangle \longleftrightarrow \llbracket M; map (\lambda G. \forall X \langle \vee \neq: (F \otimes G) \rangle_{st}) L \rrbracket_d \mathcal{I}" (is ?A)
"\langle \negchecks\_model \mathcal{I} D X F F' \rangle \longleftrightarrow \llbracket M; D; [\forall X \langle \vee \neq: F \vee \notin: F'] \rrbracket_s \mathcal{I}" (is ?B)

proof -
show ?A by (induct L) auto
show ?B by simp

```

```

qed

lemma tr_pairs_sem_equiv:
  fixes I ::= "('fun', 'var') subst"
  assumes "∀ (t, t') ∈ set D. (fv t ∪ fv t') ∩ set X = {}"
  shows "negchecks_model I (set D · pset I) X F F' ←→
         (∀ G ∈ set (tr_pairs F' D). ineq_model I X (F @ G))"

proof -
  define P where
    "P ≡ λδ ::= ('fun', 'var') subst. subst_domain δ = set X ∧ ground (subst_range δ)"

  define Ineq where
    "Ineq ≡ λ(δ ::= ('fun', 'var') subst) F. ∃ (s, t) ∈ set F. s · δ ∘s I ≠ t · δ ∘s I"

  define Ineq' where
    "Ineq' ≡ λ(δ ::= ('fun', 'var') subst) F. ∃ (s, t) ∈ set F. s · δ ∘s I ≠ t · I"

  define Notin where
    "Notin ≡ λ(δ ::= ('fun', 'var') subst) D F'. ∃ (s, t) ∈ set F'. (s, t) ·p δ ∘s I ∉ set D · pset I"

  have sublmm:
    "((s, t) ·p δ ∘s I ∉ set D · pset I) ←→ (list_all (λd. Ineq' δ [(pair (s, t), pair d)]) D)"
    for s t δ D
    unfolding pair_def by (induct D) (auto simp add: Ineq'_def)

  have "Notin δ D F' ←→ (∀ G ∈ set (tr_pairs F' D). Ineq' δ G)"
    (is "?A ←→ ?B")
    when "P δ" for δ
  proof
    show "?A ⟹ ?B"
    proof (induction F' D rule: tr_pairs.induct)
      case (2 s t F' D)
      show ?case
      proof (cases "Notin δ D F'")
        case False
        hence "(s, t) ·p δ ∘s I ∉ set D · pset I"
          using "2.prems"
          by (auto simp add: Notin_def)
        hence "pair (s, t) · δ ∘s I ≠ pair d · I" when "d ∈ set D" for d
          using that sublmm Ball_set[of D "λd. Ineq' δ [(pair (s, t), pair d)]"]
          by (simp add: Ineq'_def)
        moreover have "∃ d ∈ set D. ∃ G'. G = (pair (s, t), pair d) # G'"
          when "G ∈ set (tr_pairs ((s, t) # F') D)" for G
          using that tr_pairs_index[OF that, of 0] by force
        ultimately show ?thesis by (simp add: Ineq'_def)
      qed (auto dest: "2.IH" simp add: Ineq'_def)
    qed (simp add: Notin_def)

    have "¬?A ⟹ ¬?B"
    proof (induction F' D rule: tr_pairs.induct)
      case (2 s t F' D)
      hence "¬Notin δ D F'" "D ≠ []" unfolding Notin_def by auto
      then obtain G where G: "G ∈ set (tr_pairs F' D)" "¬Ineq' δ G"
        using "2.IH" by (cases D) auto

      obtain d where d: "d ∈ set D" "pair (s, t) · δ ∘s I = pair d · I"
        using "2.prems"
        unfolding pair_def by (auto simp add: Notin_def)
      thus ?case
        using G(2) tr_pairs_cons[OF G(1) d(1)]
        by (auto simp add: Ineq'_def)
    qed (simp add: Ineq'_def)
    thus "?B ⟹ ?A" by metis
  qed

```

```

qed
hence *: " $(\forall \delta. P \delta \rightarrow \text{Ineq } \delta F \vee \text{Notin } \delta D F') \longleftrightarrow$ 
 $(\forall G \in \text{set } (\text{tr}_{\text{pairs}} F' D). \forall \delta. P \delta \rightarrow \text{Ineq } \delta F \vee \text{Ineq}' \delta G)$ " by auto

have "t . δ = t"
  when "G ∈ set (tr_{pairs} F' D)" "(s,t) ∈ set G" "P δ"
  for δ s t G
  using assms that(3) tr_{pairs}_has_pair_lists[OF that(1,2)]
  unfolding pair_def by (fastforce simp add: P_def)
hence **: "Ineq' δ G = Ineq δ G"
  when "G ∈ set (tr_{pairs} F' D)" "P δ"
  for δ G
  using that unfolding Ineq_def Ineq'_def by force

have ***: "negchecks_model I (set D ·_pset I) X F F' \longleftrightarrow (\forall \delta. P \delta \rightarrow \text{Ineq } \delta F \vee \text{Notin } \delta D F')"
  unfolding P_def Ineq_def Notin_def negchecks_model_def by blast

have "ineq_model I X (F@G) \longleftrightarrow (\forall \delta. P \delta \rightarrow \text{Ineq } \delta (F@G))" for G
  unfolding P_def Ineq_def ineq_model_def by blast
hence ****: "ineq_model I X (F@G) \longleftrightarrow (\forall \delta. P \delta \rightarrow \text{Ineq } \delta F \vee \text{Ineq } \delta G)" for G
  unfolding Ineq_def by fastforce

show ?thesis
  using * ** *** **** by simp
qed

lemma tr_sem_equiv':
  assumes "\forall (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvars_{sst} A = {}"
  and "fv_{sst} A ∩ bvars_{sst} A = {}"
  and "ground M"
  and I: "interpretation_{subst} I"
  shows "[M; set D ·_pset I; A]_s I \longleftrightarrow (\exists A' ∈ set (tr A D). [M; A']_d I)" (is "?P \longleftrightarrow ?Q")
proof
  have I_grounds: "\forall t. fv (t . I) = {}" by (rule interpretation_grounds[OF I])
  have "\exists A' ∈ set (tr A D). [M; A']_d I" when ?P using that assms(1,2,3)
  proof (induction A arbitrary: D rule: strand_sem_stateful_induct)
    case (ConsRcv M D ts A)
    have "[((set ts ·_set I) ∪ M; set D ·_pset I; A)]_s I"
      "\forall (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvars_{sst} A = {}"
      "fv_{sst} A ∩ bvars_{sst} A = {}" "ground ((set ts ·_set I) ∪ M)"
      using I ConsRcv.preds unfolding fv_{sst}_def bvars_{sst}_def by force+
    then obtain A' where A': "A' ∈ set (tr A D)" "[((set ts ·_set I) ∪ M; A')]_d I" by (metis ConsRcv.IH)
    thus ?case by auto
  next
    case (ConsSnd M D ts A)
    have "[M; set D ·_pset I; A]_s I"
      "\forall (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvars_{sst} A = {}"
      "fv_{sst} A ∩ bvars_{sst} A = {}" "ground M"
      and *: "\forall t ∈ set ts. M ⊢ t . I"
      using I ConsSnd.preds unfolding fv_{sst}_def bvars_{sst}_def by force+
    then obtain A' where A': "A' ∈ set (tr A D)" "[M; A']_d I" by (metis ConsSnd.IH)
    thus ?case using * by auto
  next
    case (ConsEq M D ac t t' A)
    have "[M; set D ·_pset I; A]_s I"
      "\forall (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvars_{sst} A = {}"
      "fv_{sst} A ∩ bvars_{sst} A = {}" "ground M"
      and *: "t . I = t' . I"
      using I ConsEq.preds unfolding fv_{sst}_def bvars_{sst}_def by force+
    then obtain A' where A': "A' ∈ set (tr A D)" "[M; A']_d I" by (metis ConsEq.IH)
    thus ?case using * by auto
  next

```

```

case (ConsIns M D t s A)
have "[[M; set (List.insert (t,s) D) ·pset I; A]]s I"
    "∀ (t,t') ∈ set (List.insert (t,s) D). (fv t ∪ fv t') ∩ bvarssst A = {}"
    "fvsst A ∩ bvarssst A = {}" "ground M"
using ConsIns.preds unfolding fvsst_def bvarssst_def by force+
then obtain A' where A': "A' ∈ set (tr A (List.insert (t,s) D))" "[[M; A']]d I"
    by (metis ConsIns.IH)
thus ?case by auto
next
case (ConsDel M D t s A)
have *: "[[M; (set D ·pset I) - {(t,s) ·p I}; A]]s I"
    "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
    "fvsst A ∩ bvarssst A = {}" "ground M"
using ConsDel.preds unfolding fvsst_def bvarssst_def by force+
then obtain Di where Di:
    "Di ⊆ set D" "Di ·pset I ⊆ {(t,s) ·p I}" "(t,s) ·p I ∉ (set D - Di) ·pset I"
    using subset_subst_pairs_diff_exists'[of "set D"] by atomize_elim auto
hence **: "(set D ·pset I) - {(t,s) ·p I} = (set D - Di) ·pset I" by blast
obtain Di' where Di': "set Di' = Di" "Di' ∈ set (subseqs D)"
    using subset_sublist_exists[OF Di(1)] by atomize_elim auto
hence ***: "(set D ·pset I) - {(t,s) ·p I} = (set [d←D. d ∉ set Di']) ·pset I"
    using Di ** by auto
define constr where "constr ≡
    map (λd. ⟨check: (pair (t,s)) ≈ (pair d)⟩st) Di'@"
    map (λd. ∀ []⟨∨≠: [(pair (t,s), pair d)]⟩st) [d←D. d ∉ set Di']")
have ****: "∀ (t,t') ∈ set [d←D. d ∉ set Di']. (fv t ∪ fv t') ∩ bvarssst A = {}"
    using *(2) Di(1) Di'(1) subseqs_set_subset[OF Di'(2)] by simp
have "set D - Di = set [d←D. d ∉ set Di']" using Di Di' by auto
hence *****: "[[M; set [d←D. d ∉ set Di']] ·pset I; A]]s I"
    using *(1) ** by metis
obtain A' where A': "A' ∈ set (tr A [d←D. d ∉ set Di'])" "[[M; A']]d I"
    using ConsDel.IH[OF ***** *** *(3,4)] by atomize_elim auto
hence constr_sat: "[[M; constr]]d I"
    using Di Di' *(1) *** tr_Delete_constr_iff[OF *(4), of I Di' t s D]
    unfolding constr_def by auto
have "constr@A' ∈ set (tr (Delete t s#A) D)" using A'(1) Di' unfolding constr_def by auto
moreover have "ikst constr = {}" unfolding constr_def by auto
hence "[[M ·set I; constr]]d I" "[[M ∪ (ikst constr ·set I); A']]d I"
    using constr_sat A'(2) subst_all_ground_ident[OF *(4)] by simp_all
ultimately show ?case
    using strand_sem_append(2)[of _ _ I]
        subst_all_ground_ident[OF *(4), of I]
    by metis
next
case (ConsIn M D ac t s A)
have "[[M; set D ·pset I; A]]s I"
    "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
    "fvsst A ∩ bvarssst A = {}" "ground M"
and *: "(t,s) ·p I ∈ set D ·pset I"
    using I ConsIn.preds unfolding fvsst_def bvarssst_def by force+
then obtain A' where A': "A' ∈ set (tr A D)" "[[M; A']]d I" by (metis ConsIn.IH)
moreover obtain d where "d ∈ set D" "pair (t,s) · I = pair d · I"
    using * unfolding pair_def by auto
ultimately show ?case using * by auto
next
case (ConsNegChecks M D X F F' A)
let ?ineqs = "(map (λG. ∀ X⟨∨≠: (F@G)⟩st) (trpairs F' D))"
have 1: "[[M; set D ·pset I; A]]s I" "ground M" using ConsNegChecks by auto
have 2: "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}" "fvsst A ∩ bvarssst A = {}"

```

```

using ConsNegChecks.prems(2,3) I unfolding fvsst_def bvarssst_def by fastforce+
have 3: "negchecks_model I (set D ·pset I) X F F'" using ConsNegChecks.prems(1) by simp
from 1 2 obtain A': "A' ∈ set (tr A D)" "[M; A']d I" by (metis ConsNegChecks.IH)

have 4: "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ set X = {}"
  using ConsNegChecks.prems(2) unfolding bvarssst_def by auto

have "[M; ?ineqs]d I"
  using 3 trpairs_sem_equiv[OF 4] tr_NegChecks_constr_if
  by metis
moreover have "ikst ?ineqs = {}" by auto
moreover have "M ·set I = M'" using 1(2) I by (simp add: subst_all_ground_ident)
ultimately show ?case
  using strand_sem_append(2)[of M ?ineqs I A'] A'
  by force
qed simp
thus "?P ⟹ ?Q" by metis

have "(∃ A' ∈ set (tr A D). [M; A']d I) ⟹ ?P" using assms(1,2,3)
proof (induction A arbitrary: D rule: strand_sem_stateful_induct)
  case (ConsRcv M D ts A)
    have "∃ A' ∈ set (tr A D). ([set ts ·set I] ∪ M; A')d I"
      "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
      "fvsst A ∩ bvarssst A = {}" "ground ((set ts ·set I) ∪ M)"
      using I ConsRcv.prems unfolding fvst_def bvarssst_def by force+
    hence "[([set ts ·set I] ∪ M; set D ·pset I; A)]s I" by (metis ConsRcv.IH)
    thus ?case by auto
  next
    case (ConsSnd M D ts A)
      have "∃ A' ∈ set (tr A D). [M; A']d I"
        "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
        "fvsst A ∩ bvarssst A = {}" "ground M"
        and *: "∀ t ∈ set ts. M ⊢ t · I"
        using I ConsSnd.prems unfolding fvsst_def bvarssst_def by force+
      hence "[M; set D ·pset I; A]s I" by (metis ConsSnd.IH)
      thus ?case using * by auto
  next
    case (ConsEq M D ac t t' A)
      have "∃ A' ∈ set (tr A D). [M; A']d I"
        "∀ (t,t') ∈ set D. (fv t ∪ fv t') ∩ bvarssst A = {}"
        "fvsst A ∩ bvarssst A = {}" "ground M"
        and *: "t · I = t' · I"
        using I ConsEq.prems unfolding fvsst_def bvarssst_def by force+
      hence "[M; set D ·pset I; A]s I" by (metis ConsEq.IH)
      thus ?case using * by auto
  next
    case (ConsIns M D t s A)
      hence "∃ A' ∈ set (tr A (List.insert (t,s) D)). [M; A']d I"
        "∀ (t,t') ∈ set (List.insert (t,s) D). (fv t ∪ fv t') ∩ bvarssst A = {}"
        "fvsst A ∩ bvarssst A = {}" "ground M"
        unfolding fvsst_def bvarssst_def by auto+
      hence "[M; set (List.insert (t,s) D) ·pset I; A]s I" by (metis ConsIns.IH)
      thus ?case by auto
  next
    case (ConsDel M D t s A)
      define constr where "constr ≡
        λDi. map (λd. ⟨check: (pair (t,s)) ≈ (pair d)st) Di@)
          map (λd. ∀ []\Vneq: [(pair (t,s), pair d)]st) [d←D. d ∉ set Di]"
      let ?flt = "λDi. filter (λd. d ∉ set Di) D"

      have "∃ Di ∈ set (subseqs D). ∃ B' ∈ set (tr A (?flt Di)). B = constr Di@B''"
        when "B ∈ set (tr (delete(t,s)#A) D)" for B

```

```

using that unfolding constr_def by auto
then obtain A' Di where A':
  "constr Di@A' ∈ set (tr (Delete t s#A) D)"
  "A' ∈ set (tr A (?flt Di))"
  "Di ∈ set (subseqs D)"
  "[M; constr Di@A']_d I"
using ConsDel.prems(1) by blast

have 1: " $\forall (t,t') \in \text{set} (\text{?flt } Di). (fv t \cup fv t') \cap bvars_{sst} A = \{\}$ " using ConsDel.prems(2) by auto
have 2: " $fv_{sst} A \cap bvars_{sst} A = \{\}$ " using ConsDel.prems(3) by force+
have "ik_{st} (constr Di) = \{\}" unfolding constr_def by auto
hence 3: "[M; A']_d I"
  using subst_all_ground_ident[OF ConsDel.prems(4)] A'(4)
  strand_sem_split(4)[of M "constr Di" A' I]
  by simp
have IH: "[M; set (?flt Di) · pset I; A]_s I"
  by (metis ConsDel.IH[OF _ 1 2 ConsDel.prems(4)] 3 A'(2))

have "[M; constr Di]_d I"
  using subst_all_ground_ident[OF ConsDel.prems(4)] strand_sem_split(3) A'(4)
  by metis
hence *: "set Di · pset I ⊆ {(t,s) · p I}" "(t,s) · p I ∉ (set D - set Di) · pset I"
  using tr_Delete_constr_iff[OF ConsDel.prems(4), of I Di t s D] unfolding constr_def by auto
have 4: "set (?flt Di) · pset I = (set D · pset I) - {((t,s) · p I)}"
proof
  show "set (?flt Di) · pset I ⊆ (set D · pset I) - {((t,s) · p I)}"
  proof
    fix u u' assume u: "(u,u') ∈ set (?flt Di) · pset I"
    then obtain v v' where v: "(v,v') ∈ set D - set Di" "(v,v') · p I = (u,u')" by auto
    hence "(u,u') ≠ (t,s) · p I" using * by force
    thus "(u,u') ∈ (set D · pset I) - {((t,s) · p I)}"
      using u v * subseqs_set_subset[OF A'(3)] by auto
  qed
  show "(set D · pset I) - {((t,s) · p I)} ⊆ set (?flt Di) · pset I"
  using * subseqs_set_subset[OF A'(3)] by force
qed

show ?case using 4 IH by simp
next
case (ConsIn M D ac t s A)
have "∃ A' ∈ set (tr A D). [M; A']_d I"
  " $\forall (t,t') \in \text{set } D. (fv t \cup fv t') \cap bvars_{sst} A = \{\}$ " " $fv_{sst} A \cap bvars_{sst} A = \{\}$ " "ground M"
and *: "(t,s) · p I ∈ set D · pset I"
using ConsIn.prems(1,2,3,4) apply (fastforce, fastforce, fastforce, fastforce)
using ConsIn.prems(1) tr.simps(7)[of ac t s A D] unfolding pair_def by fastforce
hence "[M; set D · pset I; A]_s I" by (metis ConsIn.IH)
moreover obtain d where "d ∈ set D" "pair (t,s) · I = pair d · I"
  using * unfolding pair_def by auto
ultimately show ?case using * by auto
next
case (ConsNegChecks M D X F F' A)
let ?ineqs = "(map (λG. ∀X⟨V≠: (F@G)⟩_{st}) (tr_pairs F' D))"

obtain B where B:
  "?ineqs@B ∈ set (tr (NegChecks X F F' #A) D)" "[M; ?ineqs@B]_d I" "B ∈ set (tr A D)"
  using ConsNegChecks.prems(1) by atomize_elim auto
moreover have "M · set I = M"
  using ConsNegChecks.prems(4) I by (simp add: subst_all_ground_ident)
moreover have "ik_{st} ?ineqs = \{\}" by auto
ultimately have "[M; B]_d I" using strand_sem_split(4)[of M ?ineqs B I] by simp
moreover have " $\forall (t,t') \in \text{set } D. (fv t \cup fv t') \cap bvars_{sst} A = \{\}$ " " $fv_{sst} A \cap bvars_{sst} A = \{\}$ "
  using ConsNegChecks.prems(2,3) unfolding fv_sst_def bvars_sst_def by force+

```

```

ultimately have "⟦M; set D ·pset I; A⟧_s I"
  by (metis ConsNegChecks.IH B(3) ConsNegChecks.prems(4))
moreover have "∀ (t, t') ∈ set D. (fv t ∪ fv t') ∩ set X = {}"
  using ConsNegChecks.prems(2) unfolding bvarsst_def by force
ultimately show ?case
  using tr_pairs_sem_equiv tr_NegChecks_constr_iff
    B(2) strand_sem_split(3)[of M ?ineqs B I] <M ·set I = M>
  by simp
qed simp
thus "?Q ⇒ ?P" by metis
qed

lemma tr_sem_equiv:
  assumes "fvst A ∩ bvarsst A = {}" and "interpretationst I"
  shows "I ⊨ A ↔ (∃ A' ∈ set (tr A []). (I ⊨ ⟨A'⟩))"
using tr_sem_equiv'[OF _ assms(1) _ assms(2), of "[]" "{}"]
unfolding constr_sem_d_def
by auto
end
end

```

### Typing Result Locale Definition

```

locale stateful_typing_result =
  stateful_typed_model arity public Ana Γ Pair
+ typing_result arity public Ana Γ
  for arity::"fun ⇒ nat"
  and public::"fun ⇒ bool"
  and Ana::"('fun,'var) term ⇒ (('fun,'var) term list × ('fun,'var) term list)"
  and Γ::"('fun,'var) term ⇒ ('fun,'atom::finite) term_type"
  and Pair::"fun"

```

### Type-Flaw Resistance Preservation of the Constraint Reduction

```

context stateful_typing_result
begin

context
begin

private lemma tr_tfrst:
  assumes "A' ∈ set (tr A D)" "list_all tfrst A"
  and "fvst A ∩ bvarsst A = {}" (is "?P0 A D")
  and "∀ (t,s) ∈ set D. (fv t ∪ fv s) ∩ bvarsst A = {}" (is "?P1 A D")
  and "∀ t ∈ pair ` setopsst A ∪ pair ` set D. ∀ t' ∈ pair ` setopsst A ∪ pair ` set D.
    (exists δ. Unifier δ t t') → Γ t = Γ t'" (is "?P3 A D")
  shows "list_all tfrst A"
proof -
  have sublmm: "list_all tfrst A" "?P0 A D" "?P1 A D" "?P3 A D"
    when p: "list_all tfrst (a#A)" "?P0 (a#A) D" "?P1 (a#A) D" "?P3 (a#A) D"
    for a A D
    using p(1) apply (simp add: tfrst_def)
    using p(2) fvst_cons_subset bvarsst_cons_subset apply fast
    using p(3) bvarsst_cons_subset apply fast
    using p(4) setopsst_cons_subset by fast

  show ?thesis using assms
  proof (induction A D arbitrary: A' rule: tr.induct)
    case 1 thus ?case by simp
  next
    case (2 t A D)
  
```

```

note prems = "2.prems"
note IH = "2.IH"
from prems(1) obtain A'' where A'': "A' = send⟨t⟩st#A'''' "A'' ∈ set (tr A D)"
  by atomize_elim auto
have "list_all tfrstp A'''' using IH[OF A''(2)] prems(5) sublmm[OF prems(2,3,4,5)] by meson
thus ?case using A''(1) by simp
next
  case (3 t A D)
  note prems = "3.prems"
  note IH = "3.IH"
  from prems(1) obtain A'' where A'': "A' = receive⟨t⟩st#A'''' "A'' ∈ set (tr A D)"
    by atomize_elim auto
  have "list_all tfrstp A'''' using IH[OF A''(2)] prems(5) sublmm[OF prems(2,3,4,5)] by meson
  thus ?case using A''(1) by simp
next
  case (4 ac t t' A D)
  note prems = "4.prems"
  note IH = "4.IH"
  from prems(1) obtain A'' where A'':
    "A' = ⟨ac: t ≈ t'⟩st#A'''' "A'' ∈ set (tr A D)"
  by atomize_elim auto
  have "list_all tfrstp A'''' using IH[OF A''(2)] prems(5) sublmm[OF prems(2,3,4,5)] by meson
  moreover have "(∃δ. Unifier δ t t') ⇒ Γ t = Γ t'" using prems(2) by (simp add: tfrsst_def)
  ultimately show ?case using A''(1) by auto
next
  case (5 t s A D)
  note prems = "5.prems"
  note IH = "5.IH"
  from prems(1) have A': "A' ∈ set (tr A (List.insert (t,s) D))" by simp
  have 1: "list_all tfrsst A" using sublmm[OF prems(2,3,4,5)] by simp
  have "pair ` setopssst (Insert t s#A) ∪ pair`set D =
    pair ` setopssst A ∪ pair`set (List.insert (t,s) D)"
    by (simp add: setopssst_def)
  hence 3: "?P3 A (List.insert (t,s) D)" using prems(5) by metis
  moreover have "?P1 A (List.insert (t, s) D)" using prems(3,4) bvarssst_cons_subset[of A] by auto
  ultimately have "list_all tfrstp A'" using IH[OF A' sublmm(1,2)[OF prems(2,3,4,5)] _ 3] by metis
  thus ?case using A'(1) by auto
next
  case (6 t s A D)
  note prems = "6.prems"
  note IH = "6.IH"
  define constr where constr:
    "constr ≡ (λDi. (map (λd. ⟨check: (pair (t,s)) ≈ (pair d)⟩st) Di)@
      (map (λd. ∀ [](v ≠: [(pair (t,s), pair d)]st) [d ← D. d ∉ set Di])))"
  from prems(1) obtain Di A'' where A'':
    "A' = constr Di@A'''' "A'' ∈ set (tr A [d ← D. d ∉ set Di])"
    "Di ∈ set (subseqs D)"
  unfolding constr by auto
  define Q1 where "Q1 ≡ (λ(F::((fun, var) term × ('fun, 'var) term) list) X.
    ∀ x ∈ (fvpairs F) - set X. ∃ a. Γ (Var x) = TAtom a)"
  define Q2 where "Q2 ≡ (λ(F::((fun, var) term × ('fun, 'var) term) list) X.
    ∀ f T. Fun f T ∈ subtermsset (trmspairs F) → T = [] ∨ (∃ s ∈ set T. s ∉ Var ` set X))"
  have "set [d ← D. d ∉ set Di] ⊆ set D"
    "pair ` setopssst A ∪ pair ` set [d ← D. d ∉ set Di]
      ⊆ pair ` setopssst (Delete t s#A) ∪ pair ` set D"
  by (auto simp add: setopssst_def)

```

```

hence *: "?P3 A [d←D. d ∉ set Di]" using prems(5) by blast
have **: "?P1 A [d←D. d ∉ set Di]" using prems(4,5) by auto
have 1: "list_all tfrstp A''"
  using IH[OF A''(3,2) sublmm(1,2)[OF prems(2,3,4,5)] ** *]
  by metis

have 2: "(ac: u ≈ u')st ∈ set A'' ∨
  (∃d ∈ set Di. u = pair (t,s) ∧ u' = pair d)"
when "(ac: u ≈ u')st ∈ set A''" for ac u u'
  using that A''(1) unfolding constr by force
have 3: "Inequality X U ∈ set A' ⇒ Inequality X U ∈ set A'' ∨
  (∃d ∈ set [d←D. d ∉ set Di]. U = [(pair (t,s), pair d)] ∧ Q2 [(pair (t,s), pair d)] X)"
  for X U
  using A''(1) unfolding Q2_def constr by force
have 4:
  "∀d∈set D. (∃δ. Unifier δ (pair (t,s)) (pair d)) → Γ (pair (t,s)) = Γ (pair d)"
  using prems(5) by (simp add: setopsst_def)

{ fix ac u u'
  assume a: "(ac: u ≈ u')st ∈ set A''" "∃δ. Unifier δ u u''"
  hence "(ac: u ≈ u')st ∈ set A'' ∨ (∃d ∈ set Di. u = pair (t,s) ∧ u' = pair d)"
    using 2 by metis
  hence "Γ u = Γ u''"
    using 1(1) 4 subseqs_set_subset[OF A''(3)] a(2) tfrstp_list_all_alt_def[of A'']
    by blast
} moreover {
  fix u U
  assume "∀U(∀≠: u)st ∈ set A''"
  hence "∀U(∀≠: u)st ∈ set A'' ∨
    (∃d ∈ set [d←D. d ∉ set Di]. u = [(pair (t,s), pair d)] ∧ Q2 u U)"
    using 3 by metis
  hence "Q1 u U ∨ Q2 u U"
    using 1 4 subseqs_set_subset[OF A''(3)] tfrstp_list_all_alt_def[of A'']
    unfolding Q1_def Q2_def
    by blast
} ultimately show ?case using tfrstp_list_all_alt_def[of A'] unfolding Q1_def Q2_def by blast
next
  case (7 ac t s A D)
  note prems = "7.prems"
  note IH = "7.IH"

  from prems(1) obtain d A'' where A'':
    "A' = (ac: (pair (t,s)) ≈ (pair d))st#A''"
    "A'' ∈ set (tr A D)" "d ∈ set D"
    by atomize_elim auto

  have "list_all tfrstp A''"
    using IH[OF A''(2) sublmm(1,2,3)[OF prems(2,3,4,5)] sublmm(4)[OF prems(2,3,4,5)]]
    by metis
  moreover have "(\∃δ. Unifier δ (pair (t,s)) (pair d)) ⇒ Γ (pair (t,s)) = Γ (pair d)"
    using prems(2,5) A''(3) unfolding tfrsst_def by (simp add: setopsst_def)
  ultimately show ?case using A''(1) by fastforce
next
  case (8 X F F' A D)
  note prems = "8.prems"
  note IH = "8.IH"

  define constr where "constr = (map (λG. ∀X(∀≠: (F@G))st) (trpairs F' D))"
  define Q1 where "Q1 ≡ (λ(F::('fun,'var) term × ('fun,'var) term) list) X.
    ∀x ∈ (fv_pairs F) - set X. ∃a. Γ (Var x) = TAtom a"

```

```

define Q2 where "Q2 ≡ (λ(M::('fun,'var) terms) X.
  ∀f T. Fun f T ∈ subtermsset M → T = [] ∨ (∃s ∈ set T. s ∉ Var ` set X))"

have Q2_subset: "Q2 M' X" when "M' ⊆ M" "Q2 M X" for X M M'
  using that unfolding Q2_def by auto

have Q2_supset: "Q2 (M ∪ M') X" when "Q2 M X" "Q2 M' X" for X M M'
  using that unfolding Q2_def by auto

from prems(1) obtain A'' where A'': "A' = constr@A''''" "A'' ∈ set (tr A D)"
  using constr_def by atomize_elim auto

have 0: "F' = [] ⇒ constr = [∀X⟨∨≠: F⟩st]" unfolding constr_def by simp

have 1: "list_all tfrstp A''''"
  using IH[OF A''(2) sublmm(1,2,3)[OF prems(2,3,4,5)] sublmm(4)[OF prems(2,3,4,5)]]]
  by metis

have 2: "(F' = [] ∧ Q1 F X) ∨ Q2 (trmspairs F ∪ pair ` set F') X"
  using prems(2) unfolding Q1_def Q2_def by simp

have 3: "list_all tfrstp constr" when "F' = []" "Q1 F X"
  using that 0 2 tfrstp_list_all_alt_def[of constr] unfolding Q1_def by auto

{ fix c assume "c ∈ set constr"
  hence "∃G ∈ set (trpairs F' D). c = ∀X⟨∨≠: (F@G)⟩st" unfolding constr_def by force
} moreover {
  fix G
  assume G: "G ∈ set (trpairs F' D)"
  and c: "∀X⟨∨≠: (F@G)⟩st ∈ set constr"
  and e: "Q2 (trmspairs F ∪ pair ` set F') X"

  have d_Q2: "Q2 (pair ` set D) X" unfolding Q2_def
  proof (intro allI impI)
    fix f T assume "Fun f T ∈ subtermsset (pair ` set D)"
    then obtain d where d: "d ∈ set D" "Fun f T ∈ subterms (pair d)" by auto
    hence "fv (pair d) ∩ set X = {}" using prems(4) unfolding pair_def by force
    thus "T = [] ∨ (∃s ∈ set T. s ∉ Var ` set X)"
      by (metis fv_disj_Fun_subterm_param_cases d(2))
  qed

  have "trmspairs (F@G) ⊆ trmspairs F ∪ pair ` set F' ∪ pair ` set D"
    using trpairs_trms_subset[OF G] by auto
  hence "Q2 (trmspairs (F@G)) X" using Q2_subset[OF _ Q2_supset[OF e d_Q2]] by metis
  hence "tfrstp (∀X⟨∨≠: (F@G)⟩st)" by (metis Q2_def tfrstp.simp(2))
} ultimately have 4: "list_all tfrstp constr" when "Q2 (trmspairs F ∪ pair ` set F') X"
  using that Ball_set by blast

have 5: "list_all tfrstp constr" using 2 3 4 by metis

show ?case using 1 5 A''(1) by simp
qed
qed

lemma tr_tfr:
  assumes "A' ∈ set (tr A [])" and "tfrsst A" and "fvsst A ∩ bvarssst A = {}"
  shows "tfrstp A''"
proof -
  have *: "trmssst A' ⊆ trmssst A ∪ pair ` setopssst A" using tr_trms_subset[OF assms(1)] by simp
  hence "SMP (trmssst A') ⊆ SMP (trmssst A ∪ pair ` setopssst A)" using SMP_mono by simp
  moreover have "tfrsst (trmssst A ∪ pair ` setopssst A)" using assms(2) unfolding tfrsst_def by fast
  ultimately have 1: "tfrsst (trmssst A')" by (metis tfr_subset(2)[OF _ *])

```

```

have **: "list_all tfrsstp A" using assms(2) unfolding tfrsst_def by fast
have "pair ` setopssst A ⊆ SMP (trmssst A ∪ pair ` setopssst A) - Var`V"
  using setopssst_are_pairs unfolding pair_def by auto
hence ***: "∀t ∈ pair`setopssst A. ∀t' ∈ pair`setopssst A. (∃δ. Unifier δ t t') → Γ t = Γ t''"
  using assms(2) unfolding tfrsst_def tfrset_def by blast
have 2: "list_all tfrstp A''"
  using tr_tfrsstp[OF assms(1) ** assms(3)] *** unfolding pair_def by fastforce

show ?thesis by (metis 1 2 tfrst_def)
qed

end
end

```

### Theorem: The Stateful Typing Result

```

context stateful_typing_result
begin

theorem stateful_typing_result:
assumes "wfsst A"
  and "tfrsst A"
  and "wftrms (trmssst A)"
  and "interpretationsubst I"
  and "I ⊨s A"
obtains Iτ
  where "interpretationsubst Iτ"
    and "Iτ ⊨s A"
    and "wtsubst Iτ"
    and "wftrms (subst_range Iτ)"
proof -
  obtain A' where A':
    "A' ∈ set (tr A [])" "I ⊨ ⟨A'⟩"
    using tr_sem_equiv[of A] assms(1,4,5)
    by auto

  have *: "wfst {} A'"
    "fvst A' ∩ bvarsst A' = {}"
    "tfrst A'" "wftrms (trmsst A')"
    using tr_wf[OF A'(1) assms(1,3)]
      tr_tfr[OF A'(1) assms(2)] assms(1)
    by metis+

  obtain Iτ where Iτ:
    "interpretationsubst Iτ" "[]{}; A'[]d Iτ"
    "wtsubst Iτ" "wftrms (subst_range Iτ)"
    using wt_attack_if_tfr_attack_d
      * Ana_invar_subst' assms(4)
      A'(2)
    unfolding constr_sem_d_def
    by atomize_elim auto

  thus ?thesis
    using that tr_sem_equiv[of A] assms(1,3) A'(1)
    unfolding constr_sem_d_def
    by auto
qed

end

```

### 4.2.3 Proving Type-Flaw Resistance Automatically

```

definition pair' where
  "pair' pair_fun d ≡ case d of (t,t') ⇒ Fun pair_fun [t,t']"

fun comp_tfrsstp where
  "comp_tfrsstp Γ pair_fun ((_: t ≈ t')) = (mgu t t' ≠ None → Γ t = Γ t')"
  | "comp_tfrsstp Γ pair_fun (∀X(∀≠: F ∨∉: F')) = (
    (F' = []) ∧ (∀x ∈ fvpairs F - set X. is_Var (Γ (Var x))) ∨
    (∀u ∈ subtermsset (trmspairs F ∪ pair' pair_fun ` set F')). is_Fun u → (args u = [] ∨ (∃s ∈ set (args u). s ∉ Var ` set X)))"
  | "comp_tfrsstp _ _ _ = True"

definition comp_tfrsst where
  "comp_tfrsst arity Ana Γ pair_fun M S ≡
    list_all (comp_tfrsstp Γ pair_fun) S ∧
    list_all (wftrm' arity) (trmslistsst S) ∧
    has_all_wt_instances_of Γ (trmssst S ∪ pair' pair_fun ` setopssst S) M ∧
    comp_tfrset arity Ana Γ M"

locale stateful_typed_model' = stateful_typed_model arity public Ana Γ Pair
for arity::"fun ⇒ nat"
  and public::"fun ⇒ bool"
  and Ana::"('fun,((('fun,'atom)::finite) term_type × nat)) term
            ⇒ ((('fun,('atom) term_type × nat)) term list
            × ('fun,((('fun,'atom) term_type × nat)) term list))"
  and Γ::"('fun,((('fun,'atom) term_type × nat)) term ⇒ ('fun,'atom) term_type"
  and Pair::"'fun"
+
assumes Γ_Var_fst': "¬∃τ n m. Γ (Var (τ,n)) = Γ (Var (τ,m))"
  and Ana_const': "¬∃c T. arity c = 0 ⇒ Ana (Fun c T) = ([] , [])"
begin

sublocale typed_model'
by (unfold_locales, rule Γ_Var_fst', metis Ana_const', metis Ana_subst')

lemma pair_code:
  "pair d = pair' Pair d"
by (simp add: pair_def pair'_def)

end

locale stateful_typing_result' =
stateful_typed_model' arity public Ana Γ Pair + stateful_typing_result arity public Ana Γ Pair
for arity::"fun ⇒ nat"
  and public::"fun ⇒ bool"
  and Ana::"('fun,((('fun,'atom)::finite) term_type × nat)) term
            ⇒ ((('fun,('atom) term_type × nat)) term list
            × ('fun,((('fun,'atom) term_type × nat)) term list))"
  and Γ::"('fun,((('fun,'atom) term_type × nat)) term ⇒ ('fun,'atom) term_type"
  and Pair::"'fun"
begin

lemma tfrsstp_is_comp_tfrsstp: "tfrsstp a = comp_tfrsstp Γ Pair a"
proof (cases a)
  case (Equality ac t t')
  thus ?thesis
    using mgu_always_unifies[of t _ t'] mgu_gives_MGU[of t t']
    by auto
next
  case (NegChecks X F F')
  thus ?thesis
    using tfrsstp.simp(2)[of X F F']

```

```

comp_tfrsstp.simps(2)[of Γ Pair X F F']
Fun_range_case(2)[of "subterms_set (trmspairs F ∪ pair ` set F')"]
unfolding is_Var_def pair_code[symmetric]
by auto
qed auto

lemma tfrsst_if_comp_tfrsst:
assumes "comp_tfrsst arity Ana Γ Pair M S"
shows "tfrsst S"
unfolding tfrsst_def
proof
have comp_tfrset_M: "comp_tfrset arity Ana Γ M"
using assms unfolding comp_tfrsst_def by blast

have SMP_repr_M: "finite_SMP_representation arity Ana Γ M"
using comp_tfrset_M unfolding comp_tfrset_def by blast

have wftrms_M: "wftrms M"
and wftrms_S: "wftrms (trmssst S ∪ pair ` setopssst S)"
and S_trms_instance_M: "has_all_wt_instances_of Γ (trmssst S ∪ pair ` setopssst S) M"
using assms setopssst_wftrms(2)[of S] trmslistsst_is_trmssst[of S]
finite_SMP_representationD[OF SMP_repr_M]
unfolding comp_tfrsst_def comp_tfrset_def list_all_iff pair_code[symmetric] wftrm_code[symmetric]
finite_SMP_representation_def
by (meson, argo, argo)

show "tfrset (trmssst S ∪ pair ` setopssst S)"
using tfr_subset(3)[OF tfrsst_if_comp_tfrset[OF comp_tfrset_M] SMP_SMP_subset]
SMP_I'[OF wftrms_S wftrms_M S_trms_instance_M]
by blast

have "list_all (comp_tfrsstp Γ Pair) S" by (metis assms comp_tfrsst_def)
thus "list_all tfrsstp S" by (induct S) (simp_all add: tfrsstp_is_comp_tfrsstp)
qed

lemma tfrsst_if_comp_tfrsst':
fixes S
defines "M ≡ SMPO Ana Γ (trmslistsst S @ map pair (setopslistsst S))"
assumes comp_tfr: "comp_tfrsst arity Ana Γ Pair (set M) S"
shows "tfrsst S"
by (rule tfrsst_if_comp_tfrsst[OF comp_tfr[unfolded M_def]]))

end
end

```



# 5 The Parallel Composition Result for Non-Stateful Protocols

In this chapter, we formalize and prove a compositionality result for security protocols. This work is an extension of the work described in [4] and [1, chapter 5].

## 5.1 Labeled Strands

```
theory Labeled_Strands
imports Strands_and_Constraints
begin
```

### 5.1.1 Definitions: Labeled Strands and Constraints

```
datatype 'l strand_label =
  LabelN (the_LabelN: "'l") (<ln _>)
  | LabelS (<*>)

Labeled strands are strands whose steps are equipped with labels

type_synonym ('a, 'b, 'c) labeled_strand_step = "'c strand_label × ('a, 'b) strand_step"
type_synonym ('a, 'b, 'c) labeled_strand = "('a, 'b, 'c) labeled_strand_step list"

abbreviation has_LabelN where "has_LabelN n x ≡ fst x = ln n"
abbreviation has_LabelS where "has_LabelS x ≡ fst x = *"

definition unlabel where "unlabel S ≡ map snd S"
definition proj where "proj n S ≡ filter (λs. has_LabelN n s ∨ has_LabelS s) S"
abbreviation proj_unl where "proj_unl n S ≡ unlabel (proj n S)"

abbreviation wfrestrictedvarslst where "wfrestrictedvarslst S ≡ wfrestrictedvarsst (unlabel S)"

abbreviation subst_apply_labeled_strand_step (infix <·lstp> 51) where
  "x ·lstp θ ≡ (case x of (l, s) ⇒ (l, s ·stp θ))"

abbreviation subst_apply_labeled_strand (infix <·lst> 51) where
  "S ·lst θ ≡ map (λx. x ·lstp θ) S"

abbreviation trmslst where "trmslst S ≡ trmsst (unlabel S)"
abbreviation trms_projlst where "trms_projlst n S ≡ trmsst (proj_unl n S)"

abbreviation varslst where "varslst S ≡ varsst (unlabel S)"
abbreviation vars_projlst where "vars_projlst n S ≡ varsst (proj_unl n S)"

abbreviation bvarslst where "bvarslst S ≡ bvarsst (unlabel S)"
abbreviation fvlst where "fvlst S ≡ fvst (unlabel S)"

abbreviation wflst where "wflst V S ≡ wfst V (unlabel S)"
```

### 5.1.2 Lemmata: Projections

```
lemma has_LabelS_proj_iff_not_has_LabelN:
  "list_all has_LabelS (proj l A) ↔ ¬list_ex (has_LabelN l) A"
by (induct A) (auto simp add: proj_def)

lemma proj_subset_if_no_label:
```

```

assumes "\-list_ex (has_LabelN l) A"
shows "set (proj l A) \subseteq set (proj l' A)"
  and "set (proj_unl l A) \subseteq set (proj_unl l' A)"
using assms by (induct A) (auto simp add: unlabel_def proj_def)

lemma proj_in_setD:
  assumes a: "a \in set (proj l A)"
  obtains k b where "a = (k, b)" "k = (ln l) \vee k = \star"
using that a unfolding proj_def by (cases a) auto

lemma proj_set_mono:
  assumes "set A \subseteq set B"
  shows "set (proj n A) \subseteq set (proj n B)"
  and "set (proj_unl n A) \subseteq set (proj_unl n B)"
using assms unfolding proj_def unlabel_def by auto

lemma unlabel_nil[simp]: "unlabel [] = []"
by (simp add: unlabel_def)

lemma unlabel_mono: "set A \subseteq set B \implies set (unlabel A) \subseteq set (unlabel B)"
by (auto simp add: unlabel_def)

lemma unlabel_in: "(l, x) \in set A \implies x \in set (unlabel A)"
unfolding unlabel_def by force

lemma unlabel_mem_has_label: "x \in set (unlabel A) \implies \exists l. (l, x) \in set A"
unfolding unlabel_def by auto

lemma proj_ident:
  assumes "list_all (\$s. has_LabelN l s \vee has_LabelS s) S"
  shows "proj l S = S"
using assms unfolding proj_def list_all_iff by fastforce

lemma proj_elims_label:
  assumes "k \neq l"
  shows "\-list_ex (has_LabelN l) (proj k S)"
using assms unfolding proj_def list_ex_iff by force

lemma proj_nil[simp]: "proj n [] = []" "proj_unl n [] = []"
unfolding unlabel_def proj_def by auto

lemma singleton_lst_proj[simp]:
  "proj_unl l [(ln l, a)] = [a]"
  "l \neq l' \implies proj_unl l' [(ln l, a)] = []"
  "proj_unl l [(\star, a)] = [a]"
  "unlabel [(l', a)] = [a]"
unfolding proj_def unlabel_def by simp_all

lemma unlabel_nil_only_if_nil[simp]: "unlabel A = [] \implies A = []"
unfolding unlabel_def by auto

lemma unlabel_Cons[simp]:
  "unlabel ((l, a)\#A) = a\#unlabel A"
  "unlabel (b\#A) = snd b\#unlabel A"
unfolding unlabel_def by simp_all

lemma unlabel_append[simp]: "unlabel (A@B) = unlabel A @ unlabel B"
unfolding unlabel_def by auto

lemma proj_Cons[simp]:
  "proj n ((ln n, a)\#A) = (ln n, a)\#proj n A"
  "proj n ((\star, a)\#A) = (\star, a)\#proj n A"
  "m \neq n \implies proj n ((ln m, a)\#A) = proj n A"

```

```

"l = (ln n) ==> proj n ((l,a)#A) = (l,a)#proj n A"
"l = * ==> proj n ((l,a)#A) = (l,a)#proj n A"
"fst b ≠ * ==> fst b ≠ (ln n) ==> proj n (b#A) = proj n A"
unfolding proj_def by auto

lemma proj_append[simp]:
  "proj l (A@B') = proj l A @ proj l B'"
  "proj_unl l (A@B) = proj_unl l A @ proj_unl l B"
unfolding proj_def unlabeled_def by auto

lemma proj_unl_cons[simp]:
  "proj_unl l ((ln 1, a)#A) = a # proj_unl l A"
  "l ≠ 1' ==> proj_unl l' ((ln 1, a)#A) = proj_unl l' A"
  "proj_unl l ((*, a)#A) = a # proj_unl l A"
unfolding proj_def unlabeled_def by simp_all

lemma trms_unlabel_proj[simp]:
  "trms_stp (snd (ln 1, x)) ⊆ trms_projlst 1 [(ln 1, x)]"
by auto

lemma trms_unlabel_star[simp]:
  "trms_stp (snd (*, x)) ⊆ trms_projlst 1 [(*, x)]"
by auto

lemma trms_lst_union[simp]: "trms_lst A = (⋃ l. trms_projlst l A)"
proof (induction A)
  case (Cons a A)
  obtain l s where ls: "a = (l,s)" by atomize_elim auto
  have "trms_lst [a] = (⋃ l. trms_projlst l [a])"
  proof -
    have *: "trms_lst [a] = trms_stp s" using ls by simp
    show ?thesis
    proof (cases l)
      case (LabelN n)
      hence "trms_projlst n [a] = trms_stp s" using ls by simp
      moreover have "∀ m. n ≠ m → trms_projlst m [a] = {}" using ls LabelN by auto
      ultimately show ?thesis using * ls by fastforce
    next
      case Labels
      hence "∀ l. trms_projlst l [a] = trms_stp s" using ls by auto
      thus ?thesis using * ls by fastforce
    qed
  qed
  moreover have "∀ l. trms_projlst l (a#A) = trms_projlst l [a] ∪ trms_projlst l A"
  unfolding unlabeled_def proj_def by auto
  hence "(⋃ l. trms_projlst l (a#A)) = (⋃ l. trms_projlst l [a]) ∪ (⋃ l. trms_projlst l A)" by auto
  ultimately show ?case using Cons.IH ls by auto
qed simp

lemma trms_lst_append[simp]: "trms_lst (A@B) = trms_lst A ∪ trms_lst B"
by (metis trms_stp_append unlabeled_append)

lemma trms_projlst_append[simp]: "trms_projlst 1 (A@B) = trms_projlst 1 A ∪ trms_projlst 1 B"
by (metis (no_types, lifting) filter_append proj_def trms_stp_append)

lemma trms_projlst_subset[simp]:
  "trms_projlst 1 A ⊆ trms_projlst 1 (A@B)"
  "trms_projlst 1 B ⊆ trms_projlst 1 (A@B)"
using trms_stp_append[of 1] by blast+

lemma trms_lst_subset[simp]:
  "trms_lst A ⊆ trms_lst (A@B)"
  "trms_lst B ⊆ trms_lst (A@B)"

```

```

proof (induction A)
  case (Cons a A)
  obtain l s where *: "a = (l,s)" by atomize_elim auto
  { case 1 thus ?case using Cons * by auto }
  { case 2 thus ?case using Cons * by auto }
qed simp_all

lemma vars lst union: "vars lst A = (UNION l. vars proj lst l A)"
proof (induction A)
  case (Cons a A)
  obtain l s where ls: "a = (l,s)" by atomize_elim auto
  have "vars lst [a] = (UNION l. vars proj lst l [a])"
  proof -
    have *: "vars lst [a] = vars stp s" using ls by auto
    show ?thesis
    proof (cases l)
      case (LabelN n)
      hence "vars proj lst n [a] = vars stp s" using ls by simp
      moreover have "forall m. n != m implies vars proj lst m [a] = {}" using ls LabelN by auto
      ultimately show ?thesis using * ls by fast
    next
      case Labels
      hence "forall l. vars proj lst l [a] = vars stp s" using ls by auto
      thus ?thesis using * ls by fast
    qed
  qed
  moreover have "forall l. vars proj lst l (a#A) = vars proj lst l [a] UNION vars proj lst l A"
  unfolding unlabel_def proj_def by auto
  hence "(UNION l. vars proj lst l (a#A)) = (UNION l. vars proj lst l [a]) UNION (UNION l. vars proj lst l A)"
  using strand_vars_split(1) by auto
  ultimately show ?case using Cons.IH ls strand_vars_split(1) by auto
qed simp

lemma unlabel_Cons_inv:
  "unlabel A = b#B ==> ? A'. (? n. A = (ln n, b)#A') ∨ A = (*, b)#A'"
proof -
  assume *: "unlabel A = b#B"
  then obtain l A' where "A = (l,b)#A'" unfolding unlabel_def by atomize_elim auto
  thus "? A'. (? l. A = (ln l, b)#A') ∨ A = (*, b)#A'" by (metis strand_label.exhaust)
qed

lemma unlabel_snoc_inv:
  "unlabel A = B@[b] ==> ? A'. (? n. A = A'@[(ln n, b)]) ∨ A = A'@[(*, b)]"
proof -
  assume *: "unlabel A = B@[b]"
  then obtain A' l where "A = A'@[(l,b)]"
  unfolding unlabel_def by (induct A rule: List.rev_induct) auto
  thus "? A'. (? n. A = A'@[(ln n, b)]) ∨ A = A'@[(*, b)]" by (cases l) auto
qed

lemma proj_idem[simp]: "proj l (proj l A) = proj l A"
unfolding proj_def by auto

lemma proj_ikst_is_proj_rcv_set:
  "ikst (proj_unl n A) = {t. ∃ ts. ((ln n, Receive ts) ∈ set A ∨ (*, Receive ts) ∈ set A) ∧ t ∈ set ts}"
using ikst_is_rcv_set unfolding unlabel_def proj_def by force

lemma unlabel_ikst_is_rcv_set:
  "ikst (unlabel A) = {t | l t ts. (l, Receive ts) ∈ set A ∧ t ∈ set ts}"
using ikst_is_rcv_set unfolding unlabel_def by force

lemma proj_ik_union_is_unlabel_ik:

```

```

"ikst (unlabel A) = (⋃ l. ikst (proj_unl l A))"
proof
  show "(⋃ l. ikst (proj_unl l A)) ⊆ ikst (unlabel A)"
    using unlabel_ikst_is_rcv_set[of A] proj_ikst_is_proj_rcv_set[of _ A] by auto

  show "ikst (unlabel A) ⊆ (⋃ l. ikst (proj_unl l A))"
  proof
    fix t assume "t ∈ ikst (unlabel A)"
    then obtain l ts where "(l, Receive ts) ∈ set A" "t ∈ set ts"
      using ikst_is_rcv_set unlabel_mem_has_label[of _ A]
      by atomize_elim blast
    thus "t ∈ (⋃ l. ikst (proj_unl l A))" using proj_ikst_is_proj_rcv_set[of _ A] by (cases l) auto
  qed
qed

lemma proj_ik_append[simp]:
  "ikst (proj_unl l (A@B)) = ikst (proj_unl l A) ∪ ikst (proj_unl l B)"
using proj_append(2)[of l A B] ik_append by auto

lemma proj_ik_append_subst_all:
  "ikst (proj_unl l (A@B)) ·set I = (ikst (proj_unl l A) ·set I) ∪ (ikst (proj_unl l B) ·set I)"
using proj_ik_append[of l] by auto

lemma ik_proj_subset[simp]: "ikst (proj_unl n A) ⊆ trms_projst n A"
by auto

lemma prefix_unlabel:
  "prefix A B ⟹ prefix (unlabel A) (unlabel B)"
unfolding prefix_def unlabel_def by auto

lemma prefix_proj:
  "prefix A B ⟹ prefix (proj n A) (proj n B)"
  "prefix A B ⟹ prefix (proj_unl n A) (proj_unl n B)"
unfolding prefix_def proj_def by auto

lemma suffix_unlabel:
  "suffix A B ⟹ suffix (unlabel A) (unlabel B)"
unfolding suffix_def unlabel_def by auto

lemma suffix_proj:
  "suffix A B ⟹ suffix (proj n A) (proj n B)"
  "suffix A B ⟹ suffix (proj_unl n A) (proj_unl n B)"
unfolding suffix_def proj_def by auto

```

### 5.1.3 Lemmata: Well-formedness

```

lemma wfvarsoccsst_proj_union:
  "wfvarsoccsst (unlabel A) = (⋃ l. wfvarsoccsst (proj_unl l A))"
proof (induction A)
  case (Cons a A)
  obtain l s where ls: "a = (l,s)" by atomize_elim auto
  have "wfvarsoccsst (unlabel [a]) = (⋃ l. wfvarsoccsst (proj_unl l [a]))"
  proof -
    have *: "wfvarsoccsst (unlabel [a]) = wfvarsoccsstp s" using ls by auto
    show ?thesis
    proof (cases l)
      case (LabelN n)
      hence "wfvarsoccsst (proj_unl n [a]) = wfvarsoccsstp s" using ls by simp
      moreover have "∀ m. n ≠ m → wfvarsoccsst (proj_unl m [a]) = {}" using ls LabelN by auto
      ultimately show ?thesis using * ls by fast
    next
      case Labels
      hence "∀ l. wfvarsoccsst (proj_unl l [a]) = wfvarsoccsstp s" using ls by auto
    qed
  qed
qed

```

```

thus ?thesis using * ls by fast
qed
qed
moreover have
  "wfvarsoccst (proj_unl 1 (a#A)) =
   wfvarsoccst (proj_unl 1 [a]) ∪ wfvarsoccst (proj_unl 1 A)"
for 1
  unfolding unlabeled_def proj_def by auto
hence "( $\bigcup$  1. wfvarsoccst (proj_unl 1 (a#A))) =
  ( $\bigcup$  1. wfvarsoccst (proj_unl 1 [a])) ∪ ( $\bigcup$  1. wfvarsoccst (proj_unl 1 A))"
  using strand_vars_split(1) by auto
ultimately show ?case using Cons.IH ls strand_vars_split(1) by auto
qed simp

lemma wf_if_wf_proj:
  assumes "? $\forall$  1. wfst V (proj_unl 1 A)"
  shows "wfst V (unlabel A)"
using assms
proof (induction A arbitrary: V rule: List.rev_induct)
  case (snoc a A)
    hence IH: "wfst V (unlabel A)" using proj_append(2)[of _ A] by auto
    obtain b 1 where b: "a = (ln 1, b) ∨ a = (*, b)" by (cases a, metis strand_label.exhaust)
    hence *: "wfst V (proj_unl 1 A@[b])"
      by (metis snoc.preds proj_append(2) singleton_1st_proj(1) proj_unl_cons(1,3))
    thus ?case using IH b snoc.preds proj_append(2)[of 1 A "[a]" unlabeled_append[of A "[a]"]]
    proof (cases b)
      case (Receive ts)
        have "fvset (set ts) ⊆ wfvarsoccst (unlabel A) ∪ V"
        proof
          fix x assume "x ∈ fvset (set ts)"
          hence "x ∈ V ∪ wfvarsoccst (proj_unl 1 A)" using wf_append_exec[OF *] b Receive by auto
          thus "x ∈ wfvarsoccst (unlabel A) ∪ V" using wfvarsoccst_proj_union[of A] by auto
        qed
        hence "fvset (set ts) ⊆ wfrestrictedvarsst (unlabel A) ∪ V"
          using vars_snd_rcv_strand_subset2(4)[of "unlabel A"] by blast
        hence "wfst V (unlabel A@[Receive ts])" by (rule wf_rcv_append'''[OF IH])
        thus ?thesis using b Receive unlabeled_append[of A "[a]"] by auto
      next
        case (Equality ac s t)
          have "fv t ⊆ wfvarsoccst (unlabel A) ∪ V" when "ac = Assign"
          proof
            fix x assume "x ∈ fv t"
            hence "x ∈ V ∪ wfvarsoccst (proj_unl 1 A)" using wf_append_exec[OF *] b Equality that by auto
            thus "x ∈ wfvarsoccst (unlabel A) ∪ V" using wfvarsoccst_proj_union[of A] by auto
          qed
          hence "fv t ⊆ wfrestrictedvarslst A ∪ V" when "ac = Assign"
            using vars_snd_rcv_strand_subset2(4)[of "unlabel A"] that by blast
          hence "wfst V (unlabel A@[Equality ac s t])"
            by (cases ac) (metis wf_eq_append'''[OF IH], metis wf_eq_check_append'''[OF IH])
          thus ?thesis using b Equality unlabeled_append[of A "[a]"] by auto
        qed auto
      qed simp
    qed
end

```

## 5.2 Parallel Compositionality of Security Protocols

```

theory Parallel_Compositionality
imports Typing_Result Labeled_Strands
begin

```

### 5.2.1 Definitions: Labeled Typed Model Locale

```

locale labeled_typed_model = typed_model arity public Ana Γ
for arity:::"fun ⇒ nat"
  and public:::"fun ⇒ bool"
  and Ana:::"('fun, 'var) term ⇒ (('fun, 'var) term list × ('fun, 'var) term list)"
  and Γ:::"('fun, 'var) term ⇒ ('fun, 'atom::finite) term_type"
+
fixes label_witness1 and label_witness2:::"lbl"
assumes at_least_2_labels: "label_witness1 ≠ label_witness2"
begin

```

The Ground Sub-Message Patterns (GSMP)

```

definition GSMP:::"('fun, 'var) terms ⇒ ('fun, 'var) terms" where
"GSMP P ≡ {t ∈ SMP P. fv t = {}}"

```

```

definition typing_cond where
"typing_cond A ≡
wf_st {} A ∧
fv_st A ∩ bvars_st A = {} ∧
tfr_st A ∧
wf_trms (trms_st A) ∧
Ana_invar_subst (ik_st A ∪ assignment_rhs_st A)"

```

### 5.2.2 Definitions: GSMP Disjointness and Parallel Composability

```

definition GSMP_disjoint where
"GSMP_disjoint P1 P2 Secrets ≡ GSMP P1 ∩ GSMP P2 ⊆ Secrets ∪ {m. {} ⊢_c m}"

definition declassified_lst where
"declassified_lst (A::('fun, 'var, 'lbl) labeled_strand) I ≡
{ s. ∪ {set ts | ts. (*, Receive ts) ∈ set (A .lst I)} ⊢ s}"

definition par_comp where
"par_comp (A::('fun, 'var, 'lbl) labeled_strand) (Secrets::('fun, 'var) terms) ≡
(∀ 11 12. 11 ≠ 12 → GSMP_disjoint (trms_projlst 11 A) (trms_projlst 12 A) Secrets) ∧
(∀ s ∈ Secrets. ¬{} ⊢_c s) ∧
ground Secrets"

definition strand_leaks_lst where
"strand_leaks_lst A Sec I ≡ (∃ t ∈ Sec - declassified_lst A I. ∃ 1. (I ⊨ ⟨proj_unl 1 A@[Send1 t]⟩))"

```

### 5.2.3 Definitions: GSMP-Restricted Intruder Deduction Variant

```

definition intruder_deduct_hom::
"('fun, 'var) terms ⇒ ('fun, 'var, 'lbl) labeled_strand ⇒ ('fun, 'var) term ⇒ bool"
⟨⟨_, _⟩⟩ ⊢_{GSMP} _ > 50
where

```

```
"⟨M; A⟩ ⊢_{GSMP} t ≡ ⟨M; λt. t ∈ GSMP (trmslst A)⟩ ⊢_r t"
```

```

lemma intruder_deduct_hom_AxiomH[simp]:
assumes "t ∈ M"
shows "⟨M; A⟩ ⊢_{GSMP} t"
using intruder_deduct_restricted.AxiomR[of t M] assms
unfolding intruder_deduct_hom_def
by blast

```

```

lemma intruder_deduct_hom_ComposeH[simp]:
assumes "length X = arity f" "public f" "¬∃x. x ∈ set X ⇒ ⟨M; A⟩ ⊢_{GSMP} x"
and "Fun f X ∈ GSMP (trmslst A)"
shows "⟨M; A⟩ ⊢_{GSMP} Fun f X"
using intruder_deduct_restricted.ComposeR[of X f M "λt. t ∈ GSMP (trmslst A)"] assms
unfolding intruder_deduct_hom_def

```

by blast

```

lemma intruder_deduct_hom_DecomposeH:
  assumes "(M; A) ⊢GSMP t" "Ana t = (K, T)" "¬ k ∈ set K ⇒ (M; A) ⊢GSMP k" "ti ∈ set T"
  shows "(M; A) ⊢GSMP ti"
using intruder_deduct_restricted.DecomposeR[of M "λt. t ∈ GSMP (trmslst A)" t] assms
unfolding intruder_deduct_hom_def
by blast

lemma intruder_deduct_hom_induct[consumes 1, case_names AxiomH ComposeH DecomposeH]:
  assumes "(M; A) ⊢GSMP t" "¬ t ∈ M ⇒ P M t"
    "¬ X f. [length X = arity f; public f;
      ¬ x. x ∈ set X ⇒ (M; A) ⊢GSMP x;
      ¬ x. x ∈ set X ⇒ P M x;
      Fun f X ∈ GSMP (trmslst A)
    ] ⇒ P M (Fun f X)"
    "¬ t K T ti. [(M; A) ⊢GSMP t; P M t; Ana t = (K, T);
      ¬ k. k ∈ set K ⇒ (M; A) ⊢GSMP k;
      ¬ k. k ∈ set K ⇒ P M k; ti ∈ set T] ⇒ P M ti"
  shows "P M t"
using intruder_deduct_restricted_induct[of M "λt. t ∈ GSMP (trmslst A)" t "λM Q t. P M t"] assms
unfolding intruder_deduct_hom_def
by blast

```

```

lemma ideduct_hom_mono:
  "[(M; A) ⊢GSMP t; M ⊆ M'] ⇒ (M'; A) ⊢GSMP t"
using ideduct_restricted_mono[of M _ t M']
unfolding intruder_deduct_hom_def
by fast

```

### 5.2.4 Lemmata: GSMP

```

lemma GSMP_disjoint_empty[simp]:
  "GSMP_disjoint {} A Sec" "GSMP_disjoint A {} Sec"
unfolding GSMP_disjoint_def GSMP_def by fastforce+

lemma GSMP_mono:
  assumes "N ⊆ M"
  shows "GSMP N ⊆ GSMP M"
using SMP_mono[OF assms] unfolding GSMP_def by fast

lemma GSMP_SMP_mono:
  assumes "SMP N ⊆ SMP M"
  shows "GSMP N ⊆ GSMP M"
using assms unfolding GSMP_def by fast

lemma GSMP_subterm:
  assumes "t ∈ GSMP M" "t' ⊑ t"
  shows "t' ∈ GSMP M"
using SMP.Subterm[of t M t'] ground_subterm[of t t'] assms unfolding GSMP_def by auto

lemma GSMP_subterms: "subtermsset (GSMP M) = GSMP M"
using GSMP_subterm[of _ M] by blast

lemma GSMP_AnA_key:
  assumes "t ∈ GSMP M" "Ana t = (K, T)" "k ∈ set K"
  shows "k ∈ GSMP M"
using SMP.AnA[of t M K T k] Ana_keys_fv[of t K T] assms unfolding GSMP_def by auto

lemma GSMP_union: "GSMP (A ∪ B) = GSMP A ∪ GSMP B"
using SMP_union[of A B] unfolding GSMP_def by auto

lemma GSMP_Union: "GSMP (trmslst A) = (⋃ i. GSMP (trmsprojlst i A))"

```

```

proof -
define P where "P ≡ (λ1. trms_projlst 1 A)"
define Q where "Q ≡ trmslst A"
have "SMP (UNION 1 P 1) = (UNION 1 SMP (P 1))" "Q = (UNION 1 P 1)"
  unfolding P_def Q_def by (metis SMP_Union, metis trmslst_union)
hence "GSMP Q = (UNION 1 GSMP (P 1))" unfolding GSMP_def by auto
thus ?thesis unfolding P_def Q_def by metis
qed

lemma in_GSMP_in_proj: "t ∈ GSMP (trmslst A) ⇒ ∃n. t ∈ GSMP (trms_projlst n A)"
using GSMP_Union[of A] by blast

lemma in_proj_in_GSMP: "t ∈ GSMP (trms_projlst n A) ⇒ t ∈ GSMP (trmslst A)"
using GSMP_Union[of A] by blast

lemma GSMP_disjointE:
assumes A: "GSMP_disjoint (trms_projlst n A) (trms_projlst m A) Sec"
shows "GSMP (trms_projlst n A) ∩ GSMP (trms_projlst m A) ⊆ Sec ∪ {t. {} ⊢c m}"
using assms unfolding GSMP_disjoint_def by auto

lemma GSMP_disjoint_term:
assumes "GSMP_disjoint (trms_projlst 1 A) (trms_projlst 1' A) Sec"
shows "t ∉ GSMP (trms_projlst 1 A) ∨ t ∉ GSMP (trms_projlst 1' A) ∨ t ∈ Sec ∨ {} ⊢c t"
using assms unfolding GSMP_disjoint_def by blast

lemma GSMP_wt_subst_subset:
assumes "t ∈ GSMP (M ·set I)" "wtsubst I" "wftrms (subst_range I)"
shows "t ∈ GSMP M"
using SMP_wt_subst_subset[OF _ assms(2,3), of t M] assms(1) unfolding GSMP_def by simp

lemma GSMP_wt_substI:
assumes "t ∈ M" "wtsubst I" "wftrms (subst_range I)" "interpretationsubst I"
shows "t · I ∈ GSMP M"
proof -
have "t ∈ SMP M" using assms(1) by auto
hence *: "t · I ∈ SMP M" using SMP.Substitution assms(2,3) wf_trm_subst_range_iff[of I] by simp
moreover have "fv (t · I) = {}"
  using assms(1) interpretation_grounds_all'[OF assms(4)]
  by auto
ultimately show ?thesis unfolding GSMP_def by simp
qed

lemma GSMP_disjoint_subset:
assumes "GSMP_disjoint L R S" "L' ⊆ L" "R' ⊆ R"
shows "GSMP_disjoint L' R' S"
using assms(1) SMP_mono[OF assms(2)] SMP_mono[OF assms(3)]
by (auto simp add: GSMP_def GSMP_disjoint_def)

```

### 5.2.5 Lemmata: Intruder Knowledge and Declassification

```

lemma declassifiedlst_alt_def:
"declassifiedlst A I = {s. (∪ {set ts | ts. (*, Receive ts) ∈ set A}) ·set I ⊢ s}"
proof -
have 0:
  "(l, receive⟨ts⟩st) ∈ set (A ·lst I) = (∃ ts'. (l, receive⟨ts'⟩st) ∈ set A ∧ ts = ts' ·list I)"
  (is "?A A = ?B A")
  for ts l
proof
show "?A A ⇒ ?B A"
proof (induction A)
case (Cons a A)
obtain k b where a: "a = (k,b)" by (metis surj_pair)
show ?case
qed
qed

```

```

proof (cases "?A A")
  case False
  hence "(l, receive(ts)st) = a ·lst I" using Cons.preds by auto
  thus ?thesis unfolding a by (cases b) auto
qed (use Cons.IH in auto)
qed simp

show "?B A ==> ?A A"
proof (induction A)
  case (Cons a A)
  obtain k b where a: "a = (k, b)" by (metis surj_pair)
  show ?case
  proof (cases "?B A")
    case False
    hence "∃ ts'. a = (l, receive(ts')st) ∧ ts = ts' ·list I" using Cons.preds by auto
    thus ?thesis unfolding a by (cases b) auto
  qed (use Cons.IH in auto)
  qed simp
qed

```

let ?M = " $\lambda A. \bigcup \{set ts \mid ts. (\star, receive(ts)_{st}) \in set A\}$ "

have 1: "?M (A ·<sub>lst</sub> I) = ?M A ·<sub>set</sub> I" (is "?A = ?B")

proof

```

show "?A ⊆ ?B"
proof
  fix t assume t: "t ∈ ?A"
  then obtain ts where ts: "t ∈ set ts" "(\star, receive(ts)_{st}) ∈ set (A ·lst I)" by blast
  thus "t ∈ ?B" using 0[of ∗ ts] by fastforce
qed
show "?B ⊆ ?A"
proof
  fix t assume t: "t ∈ ?B"
  then obtain ts where ts: "t ∈ set ts ·set I" "(\star, receive(ts)_{st}) ∈ set A" by blast
  hence "(\star, receive(ts ·list I)_{st}) ∈ set (A ·lst I)" using 0[of ∗ "ts ·list I"] by blast
  thus "t ∈ ?A" using ts(1) by force
qed
qed
```

show ?thesis using 1 unfolding declassified<sub>lst</sub>\_def by argo

qed

lemma declassified<sub>lst</sub>\_star\_receive\_supset:

```

"{}t \mid t ts. (\star, Receive ts) ∈ set A ∧ t ∈ set ts} ·set I ⊆ declassifiedlst A I"
unfolding declassifiedlst_alt_def by (fastforce intro: intruder_deduct.Axiom)
```

lemma ik\_proj\_subst\_GSMP\_subset:

```

assumes I: "wtsubst I" "wftrms (subst_range I)" "interpretationsubst I"
shows "ikst (proj_unl n A) ·set I ⊆ GSMP (trms_projlst n A)"
proof
  fix t assume "t ∈ ikst (proj_unl n A) ·set I"
  hence ∗: "t ∈ trms_projlst n A ·set I" by auto
  then obtain s where "s ∈ trms_projlst n A" "t = s · I" by auto
  hence "t ∈ SMP (trms_projlst n A)" using SMP_I I(1,2) wf_trm_subst_range_iff[of I] by simp
  moreover have "fv t = {}"
    using ∗ interpretation_grounds_all'[OF I(3)]
    by auto
  ultimately show "t ∈ GSMP (trms_projlst n A)" unfolding GSMP_def by simp
qed
```

lemma ik\_proj\_subst\_subterms\_GSMP\_subset:

```

assumes I: "wtsubst I" "wftrms (subst_range I)" "interpretationsubst I"
```

```

shows "subtermsset (ikst (proj_unl n A) ·set I) ⊆ GSMP (trmsprojst n A)" (is "?A ⊆ ?B")
proof
fix t assume "t ⊑set ikst (proj_unl n A) ·set I"
then obtain s where "s ∈ ikst (proj_unl n A) ·set I" "t ⊑ s" by fast
thus "t ∈ ?B"
using ik_proj_subst_GSMP_subset[OF I, of n A] ground_subterm[of s t]
SMP.Subterm[of s "trmslst (proj n A)" t]
unfolding GSMP_def
by blast
qed

lemma declassified_proj_eq: "declassifiedlst A I = declassifiedlst (proj n A) I"
unfolding declassifiedlst_alt_def proj_def by auto

lemma declassified_prefix_subset:
assumes AB: "prefix A B"
shows "declassifiedlst A I ⊆ declassifiedlst B I"
proof
fix t assume t: "t ∈ declassifiedlst A I"
obtain C where C: "B = A @ C" using prefixE[OF AB] by metis
show "t ∈ declassifiedlst B I"
using t ideduct_mono[of
"Union{set ts | ts. (*, receive(ts)st) ∈ set A} ·set I" t
"Union{set ts | ts. (*, receive(ts)st) ∈ set B} ·set I"]
unfolding C declassifiedlst_alt_def by auto
qed

lemma declassified_proj_ik_subset:
"declassifiedlst A I ⊆ {s. ikst (proj_unl n A) ·set I ⊢ s}"
(is "?A A ⊆ ?P A A")
proof -
have *: "Union{set ts | ts. (*, receive(ts)st) ∈ set A} ·set I ⊆ ikst (proj_unl n A) ·set I"
using proj_ikst_is_proj_rcv_set by fastforce
show ?thesis
using ideduct_mono[OF _ *] unfolding declassifiedlst_alt_def by blast
qed

lemma deduct_proj_priv_term_prefix_ex:
assumes A: "ikst (proj_unl l A) ·set I ⊢ t"
and t: "¬{f} ⊢c t"
shows "∃ B k s. (k = * ∨ k = ln l) ∧ prefix (B@[(k, receive(s)st)])) A ∧
declassifiedlst ((B@[(k, receive(s)st)])) I = declassifiedlst A I ∧
ikst (proj_unl l (B@[(k, receive(s)st)])) = ikst (proj_unl l A)"
using A
proof (induction A rule: List.rev_induct)
case Nil
have "ikst (proj_unl l []) ·set I = {}" by auto
thus ?case using Nil t deducts_eq_if_empty_ik[of t] by argo
next
case (snoc a A)
obtain k b where a: "a = (k, b)" by (metis surj_pair)
let ?P = "k = * ∨ k = (ln l)"
let ?Q = "∃ ts. b = receive(ts)st"
have 0: "ikst (proj_unl l (A@[a])) = ikst (proj_unl l A)" when "?P ⟹ ¬?Q"
using that ikst_snoc_no_receive_eq[OF that, of I "proj_unl l A"]
unfolding ikst_is_rcv_set a by (cases "k = * ∨ k = (ln l)") auto
have 1: "declassifiedlst (A@[a]) I = declassifiedlst A I" when "?P ⟹ ¬?Q"
using that snoc.prems unfolding declassifiedlst_alt_def a
by (metis (no_types, lifting) UnCI UnE empty_iff insert_iff list.set prod.inject set_append)

note 2 = snoc.prems snoc.IH 0 1

```

```

show ?case
proof (cases ?P)
  case True
  note T = this
  thus ?thesis
  proof (cases ?Q)
    case True thus ?thesis using T unfolding a by blast
    qed (use 2 in auto)
  qed (use 2 in auto)
qed

```

### 5.2.6 Lemmata: Homogeneous and Heterogeneous Terms (Deprecated Theory)

The following theory is no longer needed for the compositionality result

```

context
begin
private definition proj_specific where
  "proj_specific n t A Secrets ≡ t ∈ GSMP (trms_projlst n A) - (Secrets ∪ {m. {} ⊢c m})"

private definition heterogeneouslst where
  "heterogeneouslst t A Secrets ≡ (
    ∃l1 l2. ∃s1 ∈ subterms t. ∃s2 ∈ subterms t.
    l1 ≠ l2 ∧ proj_specific l1 s1 A Secrets ∧ proj_specific l2 s2 A Secrets))"

private abbreviation homogeneouslst where
  "homogeneouslst t A Secrets ≡ ¬heterogeneouslst t A Secrets"

private definition intruder_deduct_hom'':
  "('fun, 'var) terms ⇒ ('fun, 'var, 'lbl) labeled_strand ⇒ ('fun, 'var) terms ⇒ ('fun, 'var) term
  ⇒ bool" (<_ ; _ ; _> ⊢hom _ > 50)
where
  " $\langle M; A; Sec \rangle \vdash_{hom} t \equiv \langle M; \lambda t. homogeneouslst t A Sec \wedge t \in GSMP (trmslst A) \rangle \vdash_r t$ "
```

```

private lemma GSMP_disjoint fst_specific_not_snd_specific:
  assumes "GSMP_disjoint (trms_projlst 1 A) (trms_projlst 1' A) Sec" "1 ≠ 1'"
  and "proj_specific 1 m A Sec"
  shows "¬proj_specific 1' m A Sec"
using assms by (fastforce simp add: GSMP_disjoint_def proj_specific_def)

private lemma GSMP_disjoint snd_specific_not_fst_specific:
  assumes "GSMP_disjoint (trms_projlst 1 A) (trms_projlst 1' A) Sec"
  and "proj_specific 1' m A Sec"
  shows "¬proj_specific 1 m A Sec"
using assms by (auto simp add: GSMP_disjoint_def proj_specific_def)

private lemma GSMP_disjoint_intersection_not_specific:
  assumes "GSMP_disjoint (trms_projlst 1 A) (trms_projlst 1' A) Sec"
  and "t ∈ Sec ∨ {} ⊢c t"
  shows "¬proj_specific 1 t A Sec" "¬proj_specific 1' t A Sec"
using assms by (auto simp add: GSMP_disjoint_def proj_specific_def)

private lemma proj_specific_secrets_anti_mono:
  assumes "proj_specific 1 t A Sec" "Sec' ⊆ Sec"
  shows "proj_specific 1 t A Sec'"
using assms unfolding proj_specific_def by fast

private lemma heterogeneous_secrets_anti_mono:
  assumes "heterogeneouslst t A Sec" "Sec' ⊆ Sec"
  shows "heterogeneouslst t A Sec'"
using assms proj_specific_secrets_anti_mono unfolding heterogeneouslst_def by metis

```

```

private lemma homogeneous_secrets_mono:
  assumes "homogeneouslst t A Sec'" "Sec' ⊆ Sec"
  shows "homogeneouslst t A Sec"
using assms heterogeneous_secrets_anti_mono by blast

private lemma heterogeneous_supterm:
  assumes "heterogeneouslst t A Sec" "t ⊑ t''"
  shows "heterogeneouslst t' A Sec"
proof -
  obtain l1 l2 s1 s2 where *:
    "l1 ≠ l2"
    "s1 ⊑ t" "proj_specific l1 s1 A Sec"
    "s2 ⊑ t" "proj_specific l2 s2 A Sec"
  using assms(1) unfolding heterogeneouslst_def by fast
  thus ?thesis
    using term.order_trans[OF *(2) assms(2)] term.order_trans[OF *(4) assms(2)]
    by (auto simp add: heterogeneouslst_def)
qed

private lemma homogeneous_subterm:
  assumes "homogeneouslst t A Sec" "t' ⊑ t"
  shows "homogeneouslst t' A Sec"
by (metis assms heterogeneous_supterm)

private lemma proj_specific_subterm:
  assumes "t ⊑ t'" "proj_specific l t' A Sec"
  shows "proj_specific l t A Sec ∨ t ∈ Sec ∨ {} ⊢c t"
using GSMP_subterm[OF _ assms(1)] assms(2) by (auto simp add: proj_specific_def)

private lemma heterogeneous_term_is_Fun:
  assumes "heterogeneouslst t A S" shows "∃ f T. t = Fun f T"
using assms by (cases t) (auto simp add: GSMP_def heterogeneouslst_def proj_specific_def)

private lemma proj_specific_is_homogeneous:
  assumes A: "∀ l l'. l ≠ l' → GSMP_disjoint (trms_projlst l A) (trms_projlst l' A) Sec"
  and t: "proj_specific l m A Sec"
  shows "homogeneouslst m A Sec"
proof
  assume "heterogeneouslst m A Sec"
  then obtain s l' where s: "s ∈ subterms m" "proj_specific l' s A Sec" "l ≠ l'"
    unfolding heterogeneouslst_def by atomize_elim auto
  hence "s ∈ GSMP (trms_projlst l A)" "s ∈ GSMP (trms_projlst l' A)"
    using t by (auto simp add: GSMP_def proj_specific_def)
  hence "s ∈ Sec ∨ {} ⊢c s"
    using A s(3) by (auto simp add: GSMP_disjoint_def)
  thus False using s(2) by (auto simp add: proj_specific_def)
qed

private lemma deduct_synth_homogeneous:
  assumes "{} ⊢c t"
  shows "homogeneouslst t A Sec"
proof -
  have "∀ s ∈ subterms t. {} ⊢c s" using deduct_synth_subterm[OF assms] by auto
  thus ?thesis unfolding heterogeneouslst_def proj_specific_def by auto
qed

private lemma GSMP_proj_is_homogeneous:
  assumes "∀ l l'. l ≠ l' → GSMP_disjoint (trms_projlst l A) (trms_projlst l' A) Sec"
  and "t ∈ GSMP (trms_projlst l A)" "t ∉ Sec"
  shows "homogeneouslst t A Sec"
proof
  assume "heterogeneouslst t A Sec"
  then obtain s l' where s: "s ∈ subterms t" "proj_specific l' s A Sec" "l ≠ l'"
    
```

```

unfolding heterogeneouslst_def by atomize_elim auto
hence "s ∈ GSMP (trmsprojlst l A)" "s ∈ GSMP (trmsprojlst l' A)"
  using assms by (auto simp add: GSMP_def proj_specific_def)
hence "s ∈ Sec ∨ {} ⊢c s" using assms(1) s(3) by (auto simp add: GSMP_disjoint_def)
thus False using s(2) by (auto simp add: proj_specific_def)
qed

private lemma homogeneous_is_not_proj_specific:
  assumes "homogeneouslst m A Sec"
  shows "∃ l::'lbl. ¬proj_specific l m A Sec"
proof -
  let ?P = "λl s. proj_specific l s A Sec"
  have "∀ l1 l2. ∀ s1∈subterms m. ∀ s2∈subterms m. (l1 ≠ l2 → (¬?P l1 s1 ∨ ¬?P l2 s2))"
    using assms heterogeneouslst_def by metis
  then obtain l1 l2 where "l1 ≠ l2" "¬?P l1 m ∨ ¬?P l2 m"
    by (metis term.order_refl at_least_2_labels)
  thus ?thesis by metis
qed

private lemma secrets_are_homogeneous:
  assumes "∀ s ∈ Sec. P s → (∀ s' ∈ subterms s. {} ⊢c s' ∨ s' ∈ Sec)" "s ∈ Sec" "P s"
  shows "homogeneouslst s A Sec"
using assms by (auto simp add: heterogeneouslst_def proj_specific_def)

private lemma GSMP_is_homogeneous:
  assumes A: "∀ l l'. l ≠ l' → GSMP_disjoint (trmsprojlst l A) (trmsprojlst l' A) Sec"
  and t: "t ∈ GSMP (trmsprojlst A)" "t ∉ Sec"
  shows "homogeneouslst t A Sec"
proof -
  obtain n where n: "t ∈ GSMP (trmsprojlst n A)" using in_GSMP_in_proj[OF t(1)] by atomize_elim auto
  show ?thesis using GSMP_proj_is_homogeneous[OF A n t(2)] by metis
qed

private lemma GSMP_intersection_is_homogeneous:
  assumes A: "∀ l l'. l ≠ l' → GSMP_disjoint (trmsprojlst l A) (trmsprojlst l' A) Sec"
  and t: "t ∈ GSMP (trmsprojlst l A) ∩ GSMP (trmsprojlst l' A)" "l ≠ l'"
  shows "homogeneouslst t A Sec"
proof -
  define M where "M ≡ GSMP (trmsprojlst l A)"
  define M' where "M' ≡ GSMP (trmsprojlst l' A)"

  have t_in: "t ∈ M ∩ M'" "t ∈ GSMP (trmsprojlst A)"
    using t(1) in_proj_in_GSMP[of t _ A]
    unfolding M_def M'_def by blast+

  have "M ∩ M' ⊆ Sec ∪ {m. {} ⊢c m}"
    using A GSMP_disjointE[of l A l' Sec] t(2)
    unfolding M_def M'_def by presburger
  moreover have "subtermsset (M ∩ M') = M ∩ M'"
    using GSMP_subterms unfolding M_def M'_def by blast
  ultimately have *: "subtermsset (M ∩ M') ⊆ Sec ∪ {m. {} ⊢c m}"
    by blast

  show ?thesis
  proof (cases "t ∈ Sec")
    case True thus ?thesis
      using * secrets_are_homogeneous[of Sec "λt. t ∈ M ∩ M'", OF _ _ t_in(1)]
      by fast
    qed (metis GSMP_is_homogeneous[OF A t_in(2)])
  qed

private lemma GSMP_is_homogeneous':
  assumes A: "∀ l l'. l ≠ l' → GSMP_disjoint (trmsprojlst l A) (trmsprojlst l' A) Sec"

```

```

and t: " $t \in GSMP(\text{trms}_{lst} A)$ "
  " $t \notin \text{Sec} - \bigcup\{\text{GSMP}(\text{trms}_{proj_{lst}} 11 A) \cap \text{GSMP}(\text{trms}_{proj_{lst}} 12 A) \mid 11 \neq 12\}$ " 
shows "homogeneouslst t A Sec"
using GSMP_is_homogeneous[OF A t(1)] GSMP_intersection_is_homogeneous[OF A] t(2)
by blast

private lemma Ana_keys_homogeneous:
  assumes A: " $\forall 1 1'. 1 \neq 1' \longrightarrow GSMP_{disjoint}(\text{trms}_{proj_{lst}} 1 A) (\text{trms}_{proj_{lst}} 1' A) \text{ Sec}$ "
  and t: " $t \in GSMP(\text{trms}_{lst} A)$ "
  and k: "Ana t = (K, T)" "k \in set K"
    " $k \notin \text{Sec} - \bigcup\{\text{GSMP}(\text{trms}_{proj_{lst}} 11 A) \cap \text{GSMP}(\text{trms}_{proj_{lst}} 12 A) \mid 11 \neq 12\}$ " 
  shows "homogeneouslst k A Sec"
proof (cases "k \in \bigcup\{\text{GSMP}(\text{trms}_{proj_{lst}} 11 A) \cap \text{GSMP}(\text{trms}_{proj_{lst}} 12 A) \mid 11 \neq 12\}")
  case False
  hence "k \notin \text{Sec}" using k(3) by fast
  moreover have "k \in GSMP(\text{trms}_{lst} A)"
    using t SMP.Ana[OF _ k(1,2)] Ana_keys_fv[OF k(1)] k(2)
    unfolding GSMP_def by auto
  ultimately show ?thesis using GSMP_is_homogeneous[OF A, of k] by metis
qed (use GSMP_intersection_is_homogeneous[OF A] in blast)

end

```

### 5.2.7 Lemmata: Intruder Deduction Equivalences

```

lemma deduct_if_hom_deduct: " $\langle M; A \rangle \vdash_{GSMP} m \implies M \vdash m$ "
using deduct_if_restricted_deduct unfolding intruder_deduct_hom_def by blast

```

```

lemma hom_deduct_if_hom_ik:
  assumes " $\langle M; A \rangle \vdash_{GSMP} m$ " " $\forall m \in M. m \in GSMP(\text{trms}_{lst} A)$ "
  shows "m \in GSMP(\text{trms}_{lst} A)"
proof -
  let ?Q = " $\lambda m. m \in GSMP(\text{trms}_{lst} A)$ "
  have "?Q t'" when "?Q t" "t' \sqsubseteq t" for t t'
    using GSMP_subterm[OF _ that(2)] that(1)
    by blast
  thus ?thesis
    using assms(1) restricted_deduct_if_restricted_ik[OF _ assms(2)]
    unfolding intruder_deduct_hom_def
    by blast
qed

```

```

lemma deduct_hom_if_synth:
  assumes hom: "m \in GSMP(\text{trms}_{lst} A)"
  and m: "M \vdash_c m"
  shows " $\langle M; A \rangle \vdash_{GSMP} m$ "
proof -
  let ?Q = " $\lambda m. m \in GSMP(\text{trms}_{lst} A)$ "
  have "?Q t'" when "?Q t" "t' \sqsubseteq t" for t t'
    using GSMP_subterm[OF _ that(2)] that(1)
    by blast
  thus ?thesis
    using assms deduct_restricted_if_synth[of ?Q]
    unfolding intruder_deduct_hom_def
    by blast
qed

```

```

lemma hom_deduct_if_deduct:
  assumes M: " $\forall m \in M. m \in GSMP(\text{trms}_{lst} A)$ "
  and m: "M \vdash m" "m \in GSMP(\text{trms}_{lst} A)"
  shows " $\langle M; A \rangle \vdash_{GSMP} m$ "
proof -
  let ?P = " $\lambda x. x \in GSMP(\text{trms}_{lst} A)$ "

```

```

have P_Ana: "?P k" when "?P t" "Ana t = (K, T)" "k ∈ set K" for t K T k
  using GSMP_Ana_key[OF _ that(2,3), of "trmslst A"] that
  by presburger

have P_subterm: "?P t'" when "?P t" "t' ⊑ t" for t t'
  using GSMP_subterm[of _ "trmslst A"] that
  by blast

have P_m: "?P m"
  using m(2)
  by metis

show ?thesis
  using restricted_deduct_if_deduct'[OF M _ _ m(1) P_m] P_Ana P_subterm
  unfolding intruder_deduct_hom_def
  by fast
qed

```

### 5.2.8 Lemmata: Deduction Reduction of Parallel Composable Constraints

```

lemma par_comp_hom_deduct:
  assumes A: "par_comp A Sec"
  and M: "∀l. M l ⊑ GSMP (trmslst l A)"
    "∀l. Discl ⊑ {s. M l ⊢ s}"
  and Sec: "∀l. ∀s ∈ Sec - Discl. ¬(⟨M l; A⟩ ⊢_{GSMP} s)"
  and t: "⟨Union l. M l; A⟩ ⊢_{GSMP} t"
  shows "t ∉ Sec - Discl" (is ?A)
    "∀l. t ∈ GSMP (trmslst l A) → ⟨M l; A⟩ ⊢_{GSMP} t" (is ?B)

proof -
  have M': "∀l. ∀m ∈ M l. m ∈ GSMP (trmslst A)"
  proof (intro allI ballI)
    fix l m show "m ∈ M l ⇒ m ∈ GSMP (trmslst A)" using M(1) in_proj_in_GSMP[of m l A] by blast
  qed

  have Discl_hom_deduct: "⟨M l; A⟩ ⊢_{GSMP} u" when u: "u ∈ Discl" "u ∈ GSMP (trmslst A)" for l u
  proof-
    have "M l ⊢ u" using M(2) u by auto
    thus ?thesis using hom_deduct_if_deduct[of "M l" A u] M(1) M' u by auto
  qed

  show ?A ?B using t
  proof (induction t rule: intruder_deduct_hom_induct)
    case (AxiomH t)
    then obtain lt where t_in_proj_ik: "t ∈ M lt" by atomize_elim auto
    show t_not_Sec: "t ∉ Sec - Discl"
      proof
        assume "t ∈ Sec - Discl"
        hence "∀l. ¬(⟨M l; A⟩ ⊢_{GSMP} t)" using Sec by auto
        thus False using intruder_deduct_hom_AxiomH[OF t_in_proj_ik] by metis
      qed

    have 1: "∀l. t ∈ M l → t ∈ GSMP (trmslst l A)"
      using M(1,2) AxiomH by auto

    have 3: "{} ⊢c t ∨ t ∈ Discl"
      when "l1 ≠ l2" "t ∈ GSMP (trmslst l1 A) ∩ GSMP (trmslst l2 A)" for l1 l2
      using A t_not_Sec that by (auto simp add: par_comp_def GSMP_disjoint_def)

    have 4: "t ∈ GSMP (trmslst A)" using M(1) M' t_in_proj_ik by auto
  
```

```

show " $\forall l. t \in GSMP (\text{trms\_proj}_l \ A) \longrightarrow \langle M l; A \rangle \vdash_{GSMP} t$ "
by (metis (lifting) Int_iff empty_subsetI
    1 3 4 Discl_hom_deduct t_in_proj_ik
    intruder_deduct_hom_AxiomH[of t _ A]
    deduct_hom_if_synth[of t A "{}"]
    ideduct_hom_mono[of "{} A t"])

next
  case (ComposeH T f)
  show " $\forall l. \text{Fun } f \in GSMP (\text{trms\_proj}_l \ A) \longrightarrow \langle M l; A \rangle \vdash_{GSMP} \text{Fun } f \ T$ "
  proof (intro allI impI)
    fix l
    assume "Fun f T \in GSMP (\text{trms\_proj}_l \ A)"
    hence "t \in GSMP (\text{trms\_proj}_l \ A)" when "t \in set T" for t
      using that GSMP_subterm[OF _ subtermeqI''] by auto
    thus "\langle M l; A \rangle \vdash_{GSMP} \text{Fun } f \ T"
      using ComposeH.IH(2) intruder_deduct_hom_CombineH[OF ComposeH.hyps(1,2) _ ComposeH.hyps(4)]
      by simp
  qed
  thus "Fun f T \notin Sec - Discl"
    using Sec ComposeH.hyps(4) trmslst_union[of A] GSMP_Union[of A] by blast
next
  case (DecomposeH t K T ti)
  have ti_subt: "ti \sqsubseteq t" using Ana_subterm[OF DecomposeH.hyps(2)] <ti \in set T> by auto
  have t: "t \in GSMP (\text{trms}_l \ A)" using DecomposeH.hyps(1) hom_deduct_if_hom_ik M(1) M' by auto
  have ti: "ti \in GSMP (\text{trms}_l \ A)"
    using intruder_deduct_hom_DecomposeH[OF DecomposeH.hyps] hom_deduct_if_hom_ik M(1) M' by auto
  obtain l where l: "t \in GSMP (\text{trms}_l \ A)" using in_GSMP_in_proj[of A] ti t by presburger
  have K_IH: "\langle M l; A \rangle \vdash_{GSMP} k" when "k \in set K" for k
    using that GSMPAna_key[OF _ DecomposeH.hyps(2)] DecomposeH.IH(4) l by auto
  have ti_IH: "\langle M l; A \rangle \vdash_{GSMP} ti"
    using K_IH DecomposeH.IH(2) l
    intruder_deduct_hom_DecomposeH[OF _ DecomposeH.hyps(2) _ <ti \in set T>]
    by blast
  thus ti_not_Sec: "ti \notin Sec - Discl" using Sec by blast
  have "{} \vdash_c ti \vee ti \in Discl" when "ti \in GSMP (\text{trms}_l \ A)" "l \neq l'" for l'
  proof -
    have "GSMP_disjoint (\text{trms}_l \ A) (\text{trms}_l \ A) Sec"
      using that(2) A by (simp add: par_comp_def)
    thus ?thesis
      using ti_not_Sec GSMP_subterm[OF l ti_subt] that(1) by (auto simp add: GSMP_disjoint_def)
  qed
  hence "\langle M l'; A \rangle \vdash_{GSMP} ti" when "ti \in GSMP (\text{trms}_l \ A)" "l' \neq l" for l'
    using that Discl_hom_deduct[OF _ ti]
    deduct_hom_if_synth[OF ti, THEN ideduct_hom_mono[OF _ empty_subsetI]]
    by (cases "ti \in Discl") simp_all
  thus "\forall l. ti \in GSMP (\text{trms}_l \ A) \longrightarrow \langle M l; A \rangle \vdash_{GSMP} ti"
    using ti_IH by blast
qed
qed

lemma par_comp_deduct_proj:
  assumes A: "par_comp A Sec"
  and M: "\forall l. M l \subseteq GSMP (\text{trms}_l \ A)"
  and t: "\forall l. Discl \subseteq \{s. M l \vdash s\}"
  and t: "(\bigcup l. M l) \vdash t" "t \in GSMP (\text{trms}_l \ A)"
  shows "M l \vdash t \vee (\exists s \in Sec - Discl. \exists l. M l \vdash s)"

using t
proof (induction t rule: intruder_deduct_induct)

```

```

case (Axiom t)
then obtain l' where t_in_ik_proj: "t ∈ M l'" by atomize_elim auto
show ?case
proof (cases "t ∈ Sec - Discl ∨ {} ⊢c t")
  case True thus ?thesis
    by (cases "t ∈ Sec - Discl")
      (metis intruder_deduct.Axiom[OF t_in_ik_proj],
       use ideduct_mono[of "{}" t "M l"] in blast)
next
  case False
  hence "t ∉ Sec - Discl" "¬{} ⊢c t" "t ∈ GSMP (trms_projst 1 A)" using Axiom by auto
  hence "(∀l'. l ≠ l' → t ∉ GSMP (trms_projst l' A)) ∨ t ∈ Discl"
    using A unfolding GSMP_disjoint_def par_comp_def by auto
  hence "(∀l'. l ≠ l' → t ∉ GSMP (trms_projst l' A)) ∨ M l ⊢ t ∨ {} ⊢c t"
    using M by blast
  thus ?thesis
    by (cases "∃s ∈ M l. t ⊑ s ∧ {s} ⊢ t")
      (blast intro: ideduct_mono[of _ t "M l"],
       metis (no_types, lifting) False M(1) intruder_deduct.Axiom subsetCE t_in_ik_proj)
qed
next
  case (Compose T f)
  hence "Fun f T ∈ GSMP (trms_projst 1 A)" using Compose.preds by auto
  hence "t ∈ GSMP (trms_projst 1 A)" when "t ∈ set T" for t using that unfolding GSMP_def by auto
  hence IH: "M l ⊢ t ∨ (∃s ∈ Sec - Discl. ∃l. M l ⊢ s)" when "t ∈ set T" for t
    using that Compose.IH by auto
  show ?case
    by (cases "∀t ∈ set T. M l ⊢ t")
      (metis intruder_deduct.Compose[OF Compose.hyps(1,2)], metis IH)
next
  case (Decompose t K T ti)
  have hom_ik: "m ∈ GSMP (trms_st A)" when m: "m ∈ M l" for m l
    using in_proj_in_GSMP[of m l A] M(1) m by blast

  have "⟨ ∪ l. M l; A ⟩ ⊢_{GSMP} ti"
    using intruder_deduct.Decompose[OF Decompose.hyps]
      hom_deduct_if_deduct[of "⟨ ∪ l. M l ⟩" hom_ik in_proj_in_GSMP[OF Decompose.preds(1)]]
    by blast
  hence "⟨⟨ M l; A ⟩ ⊢_{GSMP} ti⟩ ∨ (∃s ∈ Sec-Discl. ∃l. ⟨ M l; A ⟩ ⊢_{GSMP} s)"
    using par_comp_hom_deduct(2)[OF A M] Decompose.preds(1)
    by blast
  thus ?case using deduct_if_hom_deduct[of _ A] by auto
qed

```

### 5.2.9 Theorem: Parallel Compositionality for Labeled Constraints

```

lemma par_comp_prefix: assumes "par_comp (A@B) M" shows "par_comp A M"
proof -
  let ?L = "λl. trms_projst l A ∪ trms_projst l B"
  have "GSMP_disjoint (?L 11) (?L 12) M" when "11 ≠ 12" for 11 12
    using that assms unfolding par_comp_def
    by (metis trms_st_append proj_append(2) unlabel_append)
  hence "GSMP_disjoint (trms_projst 11 A) (trms_projst 12 A) M" when "11 ≠ 12" for 11 12
    using that SMP_union by (auto simp add: GSMP_def GSMP_disjoint_def)
  thus ?thesis using assms unfolding par_comp_def by blast
qed

theorem par_comp_constr_typed:
  assumes A: "par_comp A Sec"
  and I: "I ⊨ ⟨ unlabel A ⟩" "interpretation_subst I" "wt_subst I" "wf_trms (subst_range I)"
  shows "(∀l. (I ⊨ ⟨ proj_unl l A ⟩)) ∨
         (∃A' l' t. prefix A' A ∧ suffix [(l', receive(t)_st)] A' ∧ (strand_leaks_st A' Sec I))"
proof -

```

```

let ?sem = " $\lambda A. [\{\}; A]_d \mathcal{I}$ "
let ?Q = " $\lambda A. \forall l. ?sem (\text{proj\_unl } l A)$ "
let ?L = " $\lambda A. \exists t \in \text{Sec} - \text{declassified}_{lst} A' \mathcal{I}. \exists l. ?sem (\text{proj\_unl } l A' @[\text{send}([t])_{st}])$ "
let ?P = " $\lambda A. A' l' ts. \text{prefix} (A' @[(l', \text{receive}(ts)_{st})]) A \wedge ?L (A' @[(l', \text{receive}(ts)_{st})])$ ""

have "[\{\}; unlabeled A]_d \mathcal{I}" using \mathcal{I} by (simp add: constr_sem_d_def)
with A have aux: "?Q A \vee (\exists A'. \text{prefix} A' A \wedge ?L A')"
proof (induction "unlabel A" arbitrary: A rule: List.rev_induct)
  case Nil
  hence "A = []" using unlabeled_nil_only_if_nil by simp
  thus ?case by auto
next
  case (snoc b B A)
  hence disj: "GSMP_disjoint (\text{trms_proj}_{lst} 11 A) (\text{trms_proj}_{lst} 12 A) \text{Sec}"
    when "11 \neq 12" for 11 12
    using that by (auto simp add: par_comp_def)

  obtain a A n where a: "A = A @ [a]" "a = (ln n, b) \vee a = (*, b)"
    using unlabeled_snoc_inv[OF snoc.hyps(2)[symmetric]] by atomize_elim auto
  hence A: "A = A @ [(ln n, b)] \vee A = A @ [(*, b)]" by metis

  have 1: "B = unlabel A" using a snoc.hyps(2) unlabeled_append[of A "[a]"] by auto
  have 2: "par_comp A \text{Sec}" using par_comp_prefix snoc.prems(1) a by metis
  have 3: "[\{\}; unlabeled A]_d \mathcal{I}" by (metis 1 snoc.prems(2) snoc.hyps(2) strand_sem_split(3))
  have IH: "(\forall l. [\{\}; proj_unl l A]_d \mathcal{I}) \vee (\exists A'. \text{prefix} A' A \wedge ?L A')"
    by (rule snoc.hyps(1)[OF 1 2 3])

  show ?case
  proof (cases "(\forall l. [\{\}; proj_unl l A]_d \mathcal{I})")
    case False
    then obtain A' where A': "prefix A' A" "?L A'" by (metis IH)
    hence "prefix A' (A @ [a])" using a prefix_prefix[of _ A "[a]"] by simp
    thus ?thesis using A'(2) a by auto
  next
    case True
    note IH' = True
    show ?thesis
    proof (cases b)
      case (Send ts)
      hence "\forall t \in \text{set } ts. ik_{st} (\text{unlabel } A) \cdot_{set} \mathcal{I} \vdash t \cdot \mathcal{I}"
        using a <[\{\}; unlabeled A]_d \mathcal{I}> strand_sem_split(2)[of "{}" "unlabel A" "unlabel [a]" \mathcal{I}]
          unlabeled_append[of A "[a]"]
        by auto
      hence *: "\forall t \in \text{set } ts. (\bigcup l. (ik_{st} (\text{proj\_unl } l A) \cdot_{set} \mathcal{I})) \vdash t \cdot \mathcal{I}"
        using proj_ik_union_is_unlabel_ik image_UN by metis

      have "ik_{st} (\text{proj\_unl } l A) = ik_{st} (\text{proj\_unl } l A)" for l
        using Send A
        by (metis append_Nil2 ik_st.simps(3) proj_unl_cons(3) proj_nil(2)
          singleton_lst_proj(1,2) proj_ik_append)
      hence **: "ik_{st} (\text{proj\_unl } l A) \cdot_{set} \mathcal{I} \subseteq GSMP (\text{trms_proj}_{lst} 1 A)" for l
        using ik_proj_subst_GSMP_subset[OF \mathcal{I}(3,4,2), of _ A]
        by auto

      note Discl =
        declassified_proj_ik_subset(1)[of A \mathcal{I}]

      have Sec: "ground Sec"
        using A by (auto simp add: par_comp_def)

      have "\forall m \in ik_{st} (\text{proj\_unl } l A) \cdot_{set} \mathcal{I}. m \in GSMP (\text{trms}_{lst} A)"
        ...
    qed
  qed

```

```

"ikst (proj_unl 1 A) ·set I ⊆ GSMP (trms_projlst 1 A)"
for 1
using ik_proj_subst_GSMP_subset[OF I(3,4,2), of _ A] GSMP_Union[of A] by auto
moreover have "ikst (proj_unl 1 [a]) = {}" for 1
using Send proj_ikst_is_proj_rcv_set[of _ "[a]"] a(2) by auto
ultimately have M: "∀1. ikst (proj_unl 1 A) ·set I ⊆ GSMP (trms_projlst 1 A)"
using a(1) proj_ik_append[of _ A "[a]"] by auto

have prefix_A: "prefix A A" using A by auto

have "s · I = s"
when "s ∈ Sec" for s
using that Sec by auto
hence leakage_case: "[{}; proj_unl 1 A@[Send1 s]]_d I"
when "s ∈ Sec - declassifiedlst A I" "ikst (proj_unl 1 A) ·set I ⊢ s" for 1 s
using that strand_sem_append(2) IH' by auto

have proj_deduct_case_n:
"∀m. m ≠ n → [{}; proj_unl m (A@[a])]_d I"
"∀t ∈ set ts. ikst (proj_unl n A) ·set I ⊢ t · I ⇒ [{}; proj_unl n (A@[a])]_d I"
when "a = (ln n, Send ts)"
using that IH' proj_append(2)[of _ A] by auto

have proj_deduct_case_star:
"[{}; proj_unl 1 (A@[a])]_d I"
when "a = (*, Send ts)" "∀t ∈ set ts. ikst (proj_unl 1 A) ·set I ⊢ t · I" for 1
using that IH' proj_append(2)[of _ A] by auto

show ?thesis
proof (cases "∃1. ∃m ∈ ikst (proj_unl 1 A) ·set I. m ∈ Sec - declassifiedlst A I")
case True
then obtain 1 s where ls: "s ∈ Sec - declassifiedlst A I" "ikst (proj_unl 1 A) ·set I ⊢ s"
using intruder_deduct.Axiom by metis
thus ?thesis using leakage_case prefix_A by blast
next
case False
have A_decl_subset:
"∀1. declassifiedlst A I ⊆ {s. ikst (proj_unl 1 A) ·set I ⊢ s}"
using Discl unfolding a(1) by auto

note deduct_proj_lemma = par_comp_deduct_proj[OF snoc.prems(1) M A_decl_subset]

from a(2) show ?thesis
proof
assume "a = (ln n, b)"
hence "a = (ln n, Send ts)" "∀t ∈ set ts. t · I ∈ GSMP (trms_projlst n A)"
using Send a(1) trms_projlst_append[of n A "[a]"]
GSMP_wt_substI[OF _ I(3,4,2)]
by (metis, force)
hence
"a = (ln n, Send ts)"
"∀m. m ≠ n → [{}; proj_unl m (A@[a])]_d I"
"∀t ∈ set ts. ikst (proj_unl n A) ·set I ⊢ t · I ⇒ [{}; proj_unl n (A@[a])]_d I"
"∀t ∈ set ts. t · I ∈ GSMP (trms_projlst n A)"
using proj_deduct_case_n
by auto
hence "(∀1. [{}; proj_unl 1 A]_d I) ∨
      (∃s ∈ Sec-declassifiedlst A I. ∃1. ikst (proj_unl 1 A) ·set I ⊢ s)"
using deduct_proj_lemma * unfolding a(1) list_all_iff by metis
thus ?thesis using leakage_case prefix_A by metis
next
assume "a = (*, b)"
hence ***: "a = (*, Send ts)" "list_all (λt. t · I ∈ GSMP (trms_projlst 1 A)) ts" for 1

```

```

using Send a(1) GSMP_wt_substI[OF _ I(3,4,2)] unfolding list_all_iff by (metis, force)
hence "t · I ∈ Sec - declassifiedlst A I ∨
      t · I ∈ declassifiedlst A I ∨
      t · I ∈ {m. {} ⊢c m}"
when "t ∈ set ts" for t
using that snoc.prems(1) a(1) at_least_2_labels
unfolding par_comp_def GSMP_disjoint_def list_all_iff
by blast
hence "(∃t ∈ set ts. t · I ∈ Sec - declassifiedlst A I) ∨
      (∀t ∈ set ts. t · I ∈ declassifiedlst A I ∨ t · I ∈ {m. {} ⊢c m})"
by blast
thus ?thesis
proof
  assume "∃t ∈ set ts. t · I ∈ Sec - declassifiedlst A I"
  then obtain t where t:
    "t ∈ set ts" "t · I ∈ Sec - declassifiedlst A I"
    "(⋃l. ikst (proj_unl l A) ·set I) ⊢ t · I"
    using * unfolding list_all_iff by blast
  have "∃s ∈ Sec - declassifiedlst A I. ∃l. ikst (proj_unl l A) ·set I ⊢ s"
  using t(1,2) deduct_proj_lemma[OF t(3)] ***(2) A a Discl
  unfolding list_all_iff by blast
  thus ?thesis using prefix_A leakage_case by blast
next
  assume t: "∀t ∈ set ts. t · I ∈ declassifiedlst A I ∨ t · I ∈ {m. {} ⊢c m}"
  moreover {
    fix t l assume "t ∈ set ts" "t · I ∈ declassifiedlst A I"
    hence "ikst (proj_unl l A) ·set I ⊢ t · I"
    using intruder_deduct.Axiom Discl(1)[of l]
    ideduct_mono[of _ "t · I" "ikst (proj_unl l A) ·set I"]
    by blast
  } moreover {
    fix t l assume "t ∈ set ts" "t · I ∈ {m. {} ⊢c m}"
    hence "ikst (proj_unl l A) ·set I ⊢ t · I"
    using ideduct_mono[OF deduct_if_synth] by blast
  } ultimately have "∀t ∈ set ts. ikst (proj_unl l A) ·set I ⊢ t · I" for l
  by blast
  thus ?thesis using proj_deduct_case_star[OF ***(1)] a(1) by fast
qed
qed
qed
qed
next
case (Receive t)
hence "[]; proj_unl 1 A]_d I" for l
  using IH' a proj_append(2)[of l A "[a]"]
  unfolding unlabel_def proj_def by auto
  thus ?thesis by metis
next
case (Equality ac t t')
hence *: "[M; [Equality ac t t']]_d I" for M
  using a <[]; unlabel A]_d I> unlabel_append[of A "[a]"]
  by auto
show ?thesis
  using a proj_append(2)[of _ A "[a]"] Equality
  strand_sem_append(2)[OF _ *] IH'
  unfolding unlabel_def proj_def by auto
next
case (Inequality X F)
hence *: "[M; [Inequality X F]]_d I" for M
  using a <[]; unlabel A]_d I> unlabel_append[of A "[a]"]
  by auto
show ?thesis
  using a proj_append(2)[of _ A "[a]"] Inequality
  strand_sem_append(2)[OF _ *] IH'

```

```

unfolding unlabeled_def proj_def by auto
qed
qed
qed

from aux have "?Q A ∨ (∃A' 1' t. ?P A A' 1' t)"
proof
  assume "?A'. prefix A' A ∧ ?L A'"
  then obtain A' t 1 where A':
    "prefix A' A" "t ∈ Sec - declassifiedlst A' I" "?sem (proj_unl 1 A'@[send⟨t⟩st])"
  by blast

  have *: "ikst (proj_unl 1 A') ·set I ⊢ t · I" "¬{} ⊢c t · I"
  using A'(2) A subst_ground_ident[of t I] strand_sem_split(4)[OF A'(3)]
  unfolding par_comp_def by (simp, fastforce)

  obtain B k s where B:
    "k = * ∨ k = ln 1" "prefix (B@[(k, receive⟨s⟩st)])) A''"
    "declassifiedlst (B@[(k, receive⟨s⟩st)])) I = declassifiedlst A' I"
    "ikst (proj_unl 1 (B@[(k, receive⟨s⟩st)]))) = ikst (proj_unl 1 A')"
  using deduct_proj_priv_term_prefix_ex[OF *] by force

  have "prefix (B@[(k, receive⟨s⟩st)])) A" using B(2) A'(1) unfolding prefix_def by force
  moreover have "t ∈ Sec - declassifiedlst (B@[(k, receive⟨s⟩st)])) I" using B(3) A'(2) by blast
  moreover have "?sem (proj_unl 1 (B@[(k, receive⟨s⟩st)]))@[send⟨t⟩st])"
  using *(1)[unfolded B(4)[symmetric]]
  prefix_proj(2)[OF B(2), of 1, unfolded prefix_def]
  strand_sem_split(3)[OF A'(3)]
  strand_sem_append(2)[
    of _ _ I "[send⟨t⟩st]",
    OF strand_sem_split(3)[of "{}" "proj_unl 1 (B@[(k, receive⟨s⟩st)])]"]
  ]
  by force
  ultimately show ?thesis by blast
qed simp
thus ?thesis
  using I(1) unfolding strand_leakslst_def suffix_def constr_sem_d_def by blast
qed

end

locale labeled_typing =
  labeled_typed_model arity public Ana Γ label_witness1 label_witness2
+ typing_result arity public Ana Γ
  for arity::"fun ⇒ nat"
    and public::"fun ⇒ bool"
    and Ana::"('fun, 'var) term ⇒ (('fun, 'var) term list × ('fun, 'var) term list)"
    and Γ::"('fun, 'var) term ⇒ ('fun, 'atom::finite) term_type"
    and label_witness1::"'lbl"
    and label_witness2::"'lbl"
begin

theorem par_comp_constr:
  assumes A: "par_comp A Sec" "typing_cond (unlabel A)"
  and I: "I ⊢⟨unlabel A⟩" "interpretationsubst I"
  shows "?Iτ. interpretationsubst Iτ ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ) ∧ (Iτ ⊢⟨unlabel A⟩) ∧
    ((∀1. (Iτ ⊢⟨proj_unl 1 A⟩)) ∨
     (∃A' 1' t. prefix A' A ∧ suffix [(1', receive⟨t⟩st)] A' ∧
       (strand_leakslst A' Sec Iτ)))"
proof -
  from A(2) have *:
    "wfst {} (unlabel A)"
    "fvst (unlabel A) ∩ bvarsst (unlabel A) = {}"
    "tfrst (unlabel A)"

```

```

"wftrms (trmsst (unlabel A))"
"Ana_invar_subst (ikst (unlabel A) ∪ assignment_rhsst (unlabel A))"
unfolding typing_cond_def tfrst_def by metis+
obtain Iτ where Iτ: "Iτ ⊨ ⟨unlabel A⟩" "interpretationsubst Iτ" "wtsubst Iτ" "wftrms (subst_range Iτ)"
using wt_attack_if_tfr_attack_d[OF * I(2,1)] by metis
show ?thesis using par_comp_constr_typed[OF A(1) Iτ] Iτ by auto
qed
end

```

### 5.2.10 Automated GSMP Disjointness

```

locale labeled_typed_model' = typed_model' arity public Ana Γ +
labeled_typed_model arity public Ana Γ label_witness1 label_witness2
for arity::"fun ⇒ nat"
and public::"fun ⇒ bool"
and Ana::"('fun, ('atom::finite) term_type × nat) term
          ⇒ (('fun, ('atom) term_type × nat) term list
              × ('fun, ('fun, 'atom) term_type × nat) term list)"
and Γ::"('fun, ('atom) term_type × nat) term ⇒ ('fun, 'atom) term_type"
and label_witness1 label_witness2::'lbl
begin

lemma GSMP_disjointI:
fixes A' A B B'::"('fun, 'atom) term × nat) terms"
defines "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ fv (t · δ) = {}}"
and "δ ≡ var_rename (max_var_set (fvset A))"
assumes A'_wf: "wftrms' arity A'"
and B'_wf: "wftrms' arity B'"
and A_inst: "has_all_wt_instances_of Γ A' A"
and B_inst: "has_all_wt_instances_of Γ B' (B ·set δ)"
and A_SMP_repr: "finite_SMP_representation arity Ana Γ A"
and B_SMP_repr: "finite_SMP_representation arity Ana Γ (B ·set δ)"
and AB_trms_disj:
"∀t ∈ A. ∀s ∈ B ·set δ. Γ t = Γ s ∧ mgu t s ≠ None →
(intruder_synth' public arity {} t) ∨ (∃u ∈ Sec. is_wt_instance_of_cond Γ t u))"
and Sec_wf: "wftrms Sec"
shows "GSMP_disjoint A' B' ((f Sec) - {m. {} ⊢c m})"
proof -
have A_wf: "wftrms A" and B_wf: "wftrms (B ·set δ)"
and A'_wf': "wftrms A'" and B'_wf': "wftrms B'"
and A_finite: "finite A" and B_finite: "finite (B ·set δ)"
using finite_SMP_representationD[OF A_SMP_repr]
finite_SMP_representationD[OF B_SMP_repr]
A'_wf B'_wf
unfolding wftrms_code[symmetric] wftrm_code[symmetric] list_all_iff by blast+
have AB_fv_disj: "fvset A ∩ fvset (B ·set δ) = {}"
using var_rename_fv_set_disjoint'[of A B, unfolded δ_def[symmetric]] A_finite by simp
have "GSMP_disjoint A (B ·set δ) ((f Sec) - {m. {} ⊢c m})"
using ground_SMP_disjointI[OF AB_fv_disj A_SMP_repr B_SMP_repr Sec_wf AB_trms_disj]
unfolding GSMP_def GSMP_disjoint_def f_def by blast
moreover have "SMP A' ⊆ SMP A" "SMP B' ⊆ SMP (B ·set δ)"
using SMP_I'[OF A'_wf' A_wf A_inst] SMP_SMP_subset[of A' A]
SMP_I'[OF B'_wf' B_wf B_inst] SMP_SMP_subset[of B' "B ·set δ"]
by (blast, blast)
ultimately show ?thesis unfolding GSMP_def GSMP_disjoint_def by auto
qed

```

**end**

**end**

# 6 The Stateful Protocol Composition Result

In this chapter, we extend the compositionality result to stateful security protocols. This work is an extension of the work described in [4] and [1, chapter 5].

## 6.1 Labeled Stateful Strands

```
theory Labeled_Stateful_Strands
imports Stateful_Strands Labeled_Strands
begin
```

### 6.1.1 Definitions

Syntax for stateful strand labels

```
abbreviation Star_step (<<*, _>>) where
  "<*, (s::('a,'b) stateful_strand_step)> ≡ (*, s)"
```

```
abbreviation LabelN_step (<<_, _>>) where
  "<(l::'a), (s::('b,'c) stateful_strand_step)> ≡ (ln l, s)"
```

Database projection

```
definition dbproj where "dbproj l D ≡ filter (λd. fst d = l) D"
```

The type of labeled strands

```
type_synonym ('a,'b,'c) labeled_stateful_strand_step = "'c strand_label × ('a,'b)
stateful_strand_step"
type_synonym ('a,'b,'c) labeled_stateful_strand = "('a,'b,'c) labeled_stateful_strand_step list"
```

Dual strands

```
fun dual_lsstp :: "('a,'b,'c) labeled_stateful_strand_step ⇒ ('a,'b,'c) labeled_stateful_strand_step"
where
  "dual_lsstp (l,send(t)) = (l,receive(t))"
  | "dual_lsstp (l,receive(t)) = (l,send(t))"
  | "dual_lsstp x = x"
```

```
definition dual_lsst :: "('a,'b,'c) labeled_stateful_strand ⇒ ('a,'b,'c) labeled_stateful_strand"
where
```

```
  "dual_lsst ≡ map dual_lsstp"
```

Substitution application

```
fun subst_apply_labeled_stateful_strand_step :: 
  "('a,'b,'c) labeled_stateful_strand_step ⇒ ('a,'b) subst ⇒
  ('a,'b,'c) labeled_stateful_strand_step"
  (infix <·lsstp> 51) where
  "(l,s) ·lsstp θ = (l,s ·sstp θ)"
```

```
definition subst_apply_labeled_stateful_strand :: 
  "('a,'b,'c) labeled_stateful_strand ⇒ ('a,'b) subst ⇒ ('a,'b,'c) labeled_stateful_strand"
  (infix <·lsst> 51) where
  "S ·lsst θ ≡ map (λx. x ·sstp θ) S"
```

Definitions lifted from stateful strands

```
abbreviation wfrestrictedvars_lsst where "wfrestrictedvars_lsst S ≡ wfrestrictedvars_sst (unlabel S)"
```

```
abbreviation ik_lsst where "ik_lsst S ≡ ik_sst (unlabel S)"
```

```

abbreviation dblsst where "dblsst S ≡ dbsst (unlabel S)"
abbreviation db'lsst where "db'lsst S ≡ db'sst (unlabel S)"

abbreviation trmslsst where "trmslsst S ≡ trmssst (unlabel S)"
abbreviation trms_projlsst where "trms_projlsst n S ≡ trmssst (proj_unl n S)"

abbreviation varslsst where "varslsst S ≡ varssst (unlabel S)"
abbreviation vars_projlsst where "vars_projlsst n S ≡ varssst (proj_unl n S)"

abbreviation bvarslsst where "bvarslsst S ≡ bvarssst (unlabel S)"
abbreviation fvlsst where "fvlsst S ≡ fvsst (unlabel S)"

```

Labeled set-operations

```

fun setopslsstp where
  "setopslsstp (i,insert(t,s)) = {(i,t,s)}"
| "setopslsstp (i,delete(t,s)) = {(i,t,s)}"
| "setopslsstp (i,⟨_ : t ∈ s⟩) = {(i,t,s)}"
| "setopslsstp (i,∀_⟨_ ≠ : _ ∉ F⟩) = ((λ(t,s). (i,t,s)) ` set F)"
| "setopslsstp _ = {}"

definition setopslsst where
  "setopslsst S ≡ ⋃ (setopslsstp ` set S)"

```

### 6.1.2 Minor Lemmata

```

lemma in_iklsst_iff: "t ∈ iklsst A ↔ (∃ l ts. (l,receive⟨ts⟩) ∈ set A ∧ t ∈ set ts)"
  unfolding unlabel_def iksst_def by force

```

```

lemma iklsst_concat: "iklsst (concat xs) = ⋃ (iklsst ` set xs)"
  by (induct xs) auto

```

```

lemma iklsst_Cons[simp]:
  "iklsst ((l,send⟨ts⟩)#A) = iklsst A" (is ?A)
  "iklsst ((l,receive⟨ts⟩)#A) = set ts ∪ iklsst A" (is ?B)
  "iklsst ((l,(ac: t ≡ s))#A) = iklsst A" (is ?C)
  "iklsst ((l,insert⟨t,s⟩)#A) = iklsst A" (is ?D)
  "iklsst ((l,delete⟨t,s⟩)#A) = iklsst A" (is ?E)
  "iklsst ((l,(ac: t ∈ s))#A) = iklsst A" (is ?F)
  "iklsst ((l,∀X⟨_ ≠ : F ∨ _ ∉ G⟩)#A) = iklsst A" (is ?G)

```

proof -

```

note 0 = iksst_append[of _ "unlabel A"]
note 1 = in_iklsst_iff
show ?A using 0[of "[send⟨ts⟩]"] 1[of _ "[send⟨ts⟩]"] by auto
show ?B using 0[of "[receive⟨ts⟩]"] 1[of _ "[receive⟨ts⟩]"] by auto
show ?C using 0[of "[ac: t ≡ s]"] 1[of _ "[ac: t ≡ s]"] by auto
show ?D using 0[of "[insert⟨t,s⟩]"] 1[of _ "[insert⟨t,s⟩]"] by auto
show ?E using 0[of "[delete⟨t,s⟩]"] 1[of _ "[delete⟨t,s⟩]"] by auto
show ?F using 0[of "[ac: t ∈ s]"] 1[of _ "[ac: t ∈ s]"] by auto
show ?G using 0[of "[∀X⟨_ ≠ : F ∨ _ ∉ G⟩]"] 1[of _ "[∀X⟨_ ≠ : F ∨ _ ∉ G⟩]"] by auto
qed

```

```

lemma subst_lsstp_fst_eq:
  "fst (a ·lsstp δ) = fst a"
  by (cases a) auto

```

```

lemma subst_lsst_map_fst_eq:
  "map fst (S ·lsst δ) = map fst S"
using subst_lsstp_fst_eq unfolding subst_apply_labeled_stateful_strand_def by auto

```

```

lemma subst_lsst_nil[simp]: "[] ·lsst δ = []"
  by (simp add: subst_apply_labeled_stateful_strand_def)

```

```

lemma subst_lsst_cons: "a#A ·lsst δ = (a ·lsstp δ) #(A ·lsst δ)"
by (simp add: subst_apply_labeled_stateful_strand_def)

lemma subst_lsstp_id_subst: "a ·lsstp Var = a"
proof -
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  show ?thesis unfolding a by (cases b) auto
qed

lemma subst_lsst_id_subst: "A ·lsst Var = A"
by (induct A) (simp, metis subst_lsstp_id_subst subst_lsst_cons)

lemma subst_lsst_singleton: "[(1,s)] ·lsst δ = [(1,s ·sstp δ)]"
by (simp add: subst_apply_labeled_stateful_strand_def)

lemma subst_lsst_append: "A@B ·lsst δ = (A ·lsst δ)@(B ·lsst δ)"
by (simp add: subst_apply_labeled_stateful_strand_def)

lemma subst_lsst_append_inv:
  assumes "A ·lsst δ = B1@B2"
  shows "?thesis"
using assms
proof (induction A arbitrary: B1 B2)
  case (Cons a A)
  note prems = Cons.prems
  note IH = Cons.IH
  show ?case
  proof (cases B1)
    case Nil
    then obtain b B3 where "B2 = b#B3" "a ·lsstp δ = b" "A ·lsst δ = B3"
    using prems subst_lsst_cons by fastforce
    thus ?thesis by (simp add: Nil subst_apply_labeled_stateful_strand_def)
  next
    case (Cons b B3)
    hence "a ·lsstp δ = b" "A ·lsst δ = B3@B2"
    using prems by (simp_all add: subst_lsst_cons)
    thus ?thesis by (metis Cons_eq_appendI Cons IH subst_lsst_cons)
  qed
qed (metis append_is_Nil_conv subst_lsst_nil)

lemma subst_lsst_memI[intro]: "x ∈ set A ⇒ x ·lsstp δ ∈ set (A ·lsst δ)"
by (metis image_eqI set_map subst_apply_labeled_stateful_strand_def)

lemma subst_lsstpD:
  "a ·lsstp σ = (n,send(ts)) ⇒ ∃ ts'. ts = ts' ·list σ ∧ a = (n,send(ts'))"
  (is "?A ⇒ ?A'")
  "a ·lsstp σ = (n,receive(ts)) ⇒ ∃ ts'. ts = ts' ·list σ ∧ a = (n,receive(ts'))"
  (is "?B ⇒ ?B'")
  "a ·lsstp σ = (n,(c: t ≈ s)) ⇒ ∃ t' s'. t = t' ·σ ∧ s = s' ·σ ∧ a = (n,(c: t' ≈ s'))"
  (is "?C ⇒ ?C'")
  "a ·lsstp σ = (n,insert(t,s)) ⇒ ∃ t' s'. t = t' ·σ ∧ s = s' ·σ ∧ a = (n,insert(t',s'))"
  (is "?D ⇒ ?D'")
  "a ·lsstp σ = (n,delete(t,s)) ⇒ ∃ t' s'. t = t' ·σ ∧ s = s' ·σ ∧ a = (n,delete(t',s'))"
  (is "?E ⇒ ?E'")
  "a ·lsstp σ = (n,(c: t ∈ s)) ⇒ ∃ t' s'. t = t' ·σ ∧ s = s' ·σ ∧ a = (n,(c: t' ∈ s'))"
  (is "?F ⇒ ?F'")
  "a ·lsstp σ = (n,∀ X(≠: F ∨ ≠: G)) ⇒
   ∃ F' G'. F = F' ·pairs rm_vars (set X) σ ∧ G = G' ·pairs rm_vars (set X) σ ∧
   a = (n,∀ X(≠: F' ∨ ≠: G'))"
  (is "?G ⇒ ?G'")
  "a ·lsstp σ = (n,(t != s)) ⇒ ∃ t' s'. t = t' ·σ ∧ s = s' ·σ ∧ a = (n,(t' != s'))"
  (is "?H ⇒ ?H'")
  "a ·lsstp σ = (n,(t not in s)) ⇒ ∃ t' s'. t = t' ·σ ∧ s = s' ·σ ∧ a = (n,(t' not in s'))"

```

```

(is "?I ==> ?I'")  

proof -  

  obtain m b where a: "a = (m,b)" by (metis surj_pair)  

  show "?A ==> ?A'" "?B ==> ?B'" "?C ==> ?C'" "?D ==> ?D'" "?E ==> ?E'" "?F ==> ?F'" "?G ==> ?G'"  

    "?H ==> ?H'" "?I ==> ?I'"  

  by (cases b; auto simp add: subst_apply_pairs_def; fail)+  

qed

lemma subst_lsst_memD:  

  "(n, receive(ts)) ∈ set (S ·lsst σ) ==>  

   ∃ us. (n, receive(us)) ∈ set S ∧ ts = us ·list σ"  

  "(n, send(ts)) ∈ set (S ·lsst σ) ==>  

   ∃ us. (n, send(us)) ∈ set S ∧ ts = us ·list σ"  

  "(n, ⟨ac: t ≈ s⟩) ∈ set (S ·lsst σ) ==>  

   ∃ u v. (n, ⟨ac: u ≈ v⟩) ∈ set S ∧ t = u · σ ∧ s = v · σ"  

  "(n, insert(t, s)) ∈ set (S ·lsst σ) ==>  

   ∃ u v. (n, insert(u, v)) ∈ set S ∧ t = u · σ ∧ s = v · σ"  

  "(n, delete(t, s)) ∈ set (S ·lsst σ) ==>  

   ∃ u v. (n, delete(u, v)) ∈ set S ∧ t = u · σ ∧ s = v · σ"  

  "(n, ⟨ac: t ∈ s⟩) ∈ set (S ·lsst σ) ==>  

   ∃ u v. (n, ⟨ac: u ∈ v⟩) ∈ set S ∧ t = u · σ ∧ s = v · σ"  

  "(n, ∀X⟨∀≠: F ∨notin: G⟩) ∈ set (S ·lsst σ) ==>  

   ∃ F' G'. (n, ∀X⟨∀≠: F' ∨notin: G'⟩) ∈ set S ∧  

    F = F' ·pairs rm_vars (set X) σ ∧  

    G = G' ·pairs rm_vars (set X) σ"  

  "(n, ⟨t != s⟩) ∈ set (S ·lsst σ) ==>  

   ∃ u v. (n, ⟨u != v⟩) ∈ set S ∧ t = u · σ ∧ s = v · σ"  

  "(n, ⟨t not in s⟩) ∈ set (S ·lsst σ) ==>  

   ∃ u v. (n, ⟨u not in v⟩) ∈ set S ∧ t = u · σ ∧ s = v · σ"  

proof (induction S)  

  case (Cons b S)  

  obtain m a where a: "b = (m,a)" by (metis surj_pair)  

  have *: "x ∈ set (S ·lsst σ)"  

    when "x ∈ set (b#S ·lsst σ)" "x ≠ b ·lsstp σ" for x  

    using that by (simp add: subst_apply_labeled_stateful_strand_def)

{ case 1 thus ?case using Cons.IH(1)[OF *] a by (cases a) auto }
{ case 2 thus ?case using Cons.IH(2)[OF *] a by (cases a) auto }
{ case 3 thus ?case using Cons.IH(3)[OF *] a by (cases a) auto }
{ case 4 thus ?case using Cons.IH(4)[OF *] a by (cases a) auto }
{ case 5 thus ?case using Cons.IH(5)[OF *] a by (cases a) auto }
{ case 6 thus ?case using Cons.IH(6)[OF *] a by (cases a) auto }
{ case 7 thus ?case using Cons.IH(7)[OF *] a by (cases a) auto }
{ case 8 show ?case
  proof (cases a)
    case (NegChecks Y F' G') thus ?thesis
    proof (cases "(n, ⟨t != s⟩) = b ·lsstp σ")
      case True thus ?thesis using subst_lsstpD(8)[of b σ n t s] by auto
      qed (use 8 Cons.IH(8)[OF *] a in auto)
    qed (use 8 Cons.IH(8)[OF *] a in simp_all)
  }
{ case 9 show ?case
  proof (cases a)
    case (NegChecks Y F' G') thus ?thesis
    proof (cases "(n, ⟨t not in s⟩) = b ·lsstp σ")
      case True thus ?thesis using subst_lsstpD(9)[of b σ n t s] by auto
      qed (use 9 Cons.IH(9)[OF *] a in auto)
    qed (use 9 Cons.IH(9)[OF *] a in simp_all)
  }
qed simp_all

lemma subst_lsst_unlabel_cons: "unlabel ((l,b)#A ·lsst ϑ) = (b ·sstp ϑ) #(unlabel (A ·lsst ϑ))"  

by (simp add: subst_apply_labeled_stateful_strand_def)

```

```

lemma subst_lsst_unlabel: "unlabel (A ·lsst δ) = unlabel A ·sst δ"
proof (induction A)
  case (Cons a A)
  then obtain l b where "a = (l,b)" by (metis surj_pair)
  thus ?case
    using Cons
    by (simp add: subst_apply_labeled_stateful_strand_def subst_apply_stateful_strand_def)
qed simp

lemma subst_lsst_unlabel_member[intro]:
  assumes "x ∈ set (unlabel A)"
  shows "x ·sstp δ ∈ set (unlabel (A ·lsst δ))"
proof -
  obtain l where x: "(l,x) ∈ set A" using assms unfolding unlabel_def by atomize_elim auto
  thus ?thesis
    using subst_lsst_memI
    by (metis unlabel_def in_set_zipE subst_apply_labeled_stateful_step.simps zip_map_fst_snd)
qed

lemma subst_lsst_prefix:
  assumes "prefix B (A ·lsst δ)"
  shows "∃ C. C ·lsst δ = B ∧ prefix C A"
using assms
proof (induction A rule: List.rev_induct)
  case (snoc a A) thus ?case
  proof (cases "B = A@[a] ·lsst δ")
    case False thus ?thesis
      using snoc by (auto simp add: subst_lsst_append[of A] subst_lsst_cons)
    qed auto
  qed simp

lemma subst_lsst_tl:
  "tl (S ·lsst δ) = tl S ·sst δ"
by (metis map_tl subst_apply_labeled_stateful_strand_def)

lemma dual_lsst_tl:
  "tl (dual_lsst S) = dual_lsst (tl S)"
by (metis map_tl dual_lsst_def)

lemma dual_lsstp_fst_eq:
  "fst (dual_lsstp a) = fst a"
proof -
  obtain l b where "a = (l,b)" by (metis surj_pair)
  thus ?thesis by (cases b) auto
qed

lemma dual_lsst_map_fst_eq:
  "map fst (dual_lsst S) = map fst S"
using dual_lsstp_fst_eq unfolding dual_lsst_def by auto

lemma dual_lsst_nil[simp]: "dual_lsst [] = []"
by (simp add: dual_lsst_def)

lemma dual_lsst_Cons[simp]:
  "dual_lsst ((1,send⟨ts⟩)#A) = (1,receive⟨ts⟩)#(dual_lsst A)"
  "dual_lsst ((1,receive⟨ts⟩)#A) = (1,send⟨ts⟩)#(dual_lsst A)"
  "dual_lsst ((1,⟨a: t ≈ s⟩)#A) = (1,⟨a: t ≈ s⟩)#(dual_lsst A)"
  "dual_lsst ((1,insert⟨t,s⟩)#A) = (1,insert⟨t,s⟩)#(dual_lsst A)"
  "dual_lsst ((1,delete⟨t,s⟩)#A) = (1,delete⟨t,s⟩)#(dual_lsst A)"
  "dual_lsst ((1,⟨a: t ∈ s⟩)#A) = (1,⟨a: t ∈ s⟩)#(dual_lsst A)"
  "dual_lsst ((1,∀X(∨≠: F ∨∉: G))#A) = (1,∀X(∨≠: F ∨∉: G))#{dual_lsst A}"
by (simp_all add: dual_lsst_def)

```

```

lemma duallsst_append[simp]: "duallsst (A@B) = duallsst A@duallsst B"
by (simp add: duallsst_def)

lemma duallsstp_subst: "duallsstp (s ·lsstp δ) = (duallsstp s) ·lsstp δ"
proof -
  obtain l x where s: "s = (l,x)" by atomize_elim auto
  thus ?thesis by (cases x) (auto simp add: subst_apply_labeled_stateful_strand_def)
qed

lemma duallsst_subst: "duallsst (S ·lsst δ) = (duallsst S) ·lsst δ"
proof (induction S)
  case (Cons s S) thus ?case
    using Cons duallsstp_subst[of s δ]
    by (simp add: duallsst_def subst_apply_labeled_stateful_strand_def)
qed (simp add: duallsst_def subst_apply_labeled_stateful_strand_def)

lemma duallsst_subst_unlabel: "unlabel (duallsst (S ·lsst δ)) = unlabel (duallsst S) ·sst δ"
by (metis duallsst_subst subst_lsst_unlabel)

lemma duallsst_subst_cons: "duallsst (a#A ·lsst σ) = (duallsstp a ·lsstp σ) #(duallsst (A ·lsst σ))"
by (metis duallsst_subst list.simps(9) duallsst_def subst_apply_labeled_stateful_strand_def)

lemma duallsst_subst_append: "duallsst (A@B ·lsst σ) = (duallsst A@duallsst B) ·lsst σ"
by (metis (no_types) duallsst_subst duallsst_append)

lemma duallsst_subst_snoc: "duallsst (A@[a] ·lsst σ) = (duallsst A ·lsst σ)@[duallsstp a ·lsstp σ]"
by (metis duallsst_def duallsst_subst duallsst_subst_cons list.map(1) map_append
      subst_apply_labeled_stateful_strand_def)

lemma duallsst_memberD:
  assumes "(1,a) ∈ set (duallsst A)"
  shows "∃ b. (1,b) ∈ set A ∧ duallsstp (1,b) = (1,a)"
  using assms
proof (induction A)
  case (Cons c A)
  hence "(1,a) ∈ set (duallsst A) ∨ duallsstp c = (1,a)" unfolding duallsst_def by force
  thus ?case
    proof
      assume "(1,a) ∈ set (duallsst A)" thus ?case using Cons.IH by auto
      next
        assume a: "duallsstp c = (1,a)"
        obtain i b where b: "c = (i,b)" by (metis surj_pair)
        thus ?case using a by (cases b) auto
    qed
  qed simp
  qed

lemma duallsst_memberD':
  assumes a: "a ∈ set (duallsst A ·lsst δ)"
  obtains b where "b ∈ set A" "a = duallsstp b ·lsstp δ" "fst a = fst b"
proof -
  obtain l a' where a': "a = (l,a')" by (metis surj_pair)
  then obtain b' where b': "(l,b') ∈ set A" "(l,a') = duallsstp ((l,b') ·lsstp δ)"
    using a duallsst_subst[of A δ] duallsst_memberD[of l a' "A ·lsst δ"]
    unfolding subst_apply_labeled_stateful_strand_def by auto

  show thesis
    using that[OF b'(1) b'(2)[unfolded duallsstp_subst[of "(l,b') δ"] a'[symmetric]], unfolded a']
    by auto
qed

lemma duallsstp_inv:
  assumes "duallsstp (l, a) = (k, b)"

```

```

shows "l = k"
and "a = receive(t) ==> b = send(t)"
and "a = send(t) ==> b = receive(t)"
and "(#t. a = receive(t) ∨ a = send(t)) ==> b = a"
proof -
  show "l = k" using assms by (cases a) auto
  show "a = receive(t) ==> b = send(t)" using assms by (cases a) auto
  show "a = send(t) ==> b = receive(t)" using assms by (cases a) auto
  show "(#t. a = receive(t) ∨ a = send(t)) ==> b = a" using assms by (cases a) auto
qed

lemma dualsst_self_inverse: "dualsst (dualsst A) = A"
proof (induction A)
  case (Cons a A)
  obtain l b where "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons by (cases b) auto
qed simp

lemma dualsst_unlabel_cong:
  assumes "unlabel S = unlabel S'"
  shows "unlabel (dualsst S) = unlabel (dualsst S')"
using assms
proof (induction S arbitrary: S')
  case (Cons x S S')
  obtain y S'' where y: "S' = y#S''" unfolding Cons.prems unfolding unlabel_def by force
  hence IH: "unlabel (dualsst S) = unlabel (dualsst S'')" using Cons by (simp add: unlabel_def)
  have "snd x = snd y" using Cons y by simp
  then obtain lx ly a where a: "x = (lx,a)" "y = (ly,a)" by (metis prod.exhaust_sel)
  have "snd (dualsst x) = snd (dualsst y)" unfolding a by (cases a) simp_all
  thus ?case using IH unfolding unlabel_def dualsst_def y by force
qed (simp add: unlabel_def dualsst_def)

lemma varsst_unlabel_dualsst_eq: "varsst (dualsst A) = varsst A"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons.IH by (cases b) auto
qed simp

lemma fvstt_unlabel_dualsst_eq: "fvstt (dualsst A) = fvstt A"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons.IH by (cases b) auto
qed simp

lemma bvarsst_unlabel_dualsst_eq: "bvarsst (dualsst A) = bvarsst A"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons.IH by (cases b) simp+
qed simp

lemma varsst_unlabel_Cons: "varsst ((1,b)#A) = varsst b ∪ varsst A"
by (metis unlabel_Cons(1) varsst_Cons)

lemma fvstt_unlabel_Cons: "fvstt ((1,b)#A) = fvstt b ∪ fvstt A"
by (metis unlabel_Cons(1) fvstt_Cons)

lemma bvarsst_unlabel_Cons: "bvarsst ((1,b)#A) = set (bvarsst b) ∪ bvarsst A"
by (metis unlabel_Cons(1) bvarsst_Cons)

```

```

lemma bvarslsst_subst: "bvarslsst (A ·lsst δ) = bvarslsst A"
by (metis subst_lsst_unlabel bvarsssst_subst)

lemma duallsst_member:
assumes "(l,x) ∈ set A"
and "¬is_Receive x" "¬is_Send x"
shows "(l,x) ∈ set (duallsst A)"
using assms
proof (induction A)
case (Cons a A) thus ?case using assms(2,3) by (cases x) (auto simp add: duallsst_def)
qed simp

lemma duallsst_unlabel_member:
assumes "x ∈ set (unlabel A)"
and "¬is_Receive x" "¬is_Send x"
shows "x ∈ set (unlabel (duallsst A))"
using assms duallsst_member[of _ _ A]
by (meson unlabel_in unlabel_mem_has_label)

lemma duallsst_steps_iff:
"(l,send(ts)) ∈ set A ↔ (l,receive(ts)) ∈ set (duallsst A)"
"(l,receive(ts)) ∈ set A ↔ (l,send(ts)) ∈ set (duallsst A)"
"(l,⟨c: t ≈ s⟩) ∈ set A ↔ (l,⟨c: t ≈ s⟩) ∈ set (duallsst A)"
"(l,insert(t,s)) ∈ set A ↔ (l,insert(t,s)) ∈ set (duallsst A)"
"(l,delete(t,s)) ∈ set A ↔ (l,delete(t,s)) ∈ set (duallsst A)"
"(l,⟨c: t ∈ s⟩) ∈ set A ↔ (l,⟨c: t ∈ s⟩) ∈ set (duallsst A)"
"(l,∀X⟨V≠: F V∉: G⟩) ∈ set A ↔ (l,∀X⟨V≠: F V∉: G⟩) ∈ set (duallsst A)"
proof (induction A)
case (Cons a A)
obtain j b where a: "a = (j,b)" by (metis surj_pair)
{ case 1 thus ?case by (cases b) (simp_all add: Cons.IH(1) a duallsst_def) }
{ case 2 thus ?case by (cases b) (simp_all add: Cons.IH(2) a duallsst_def) }
{ case 3 thus ?case by (cases b) (simp_all add: Cons.IH(3) a duallsst_def) }
{ case 4 thus ?case by (cases b) (simp_all add: Cons.IH(4) a duallsst_def) }
{ case 5 thus ?case by (cases b) (simp_all add: Cons.IH(5) a duallsst_def) }
{ case 6 thus ?case by (cases b) (simp_all add: Cons.IH(6) a duallsst_def) }
{ case 7 thus ?case by (cases b) (simp_all add: Cons.IH(7) a duallsst_def) }
qed (simp_all add: duallsst_def)

lemma duallsst_unlabel_steps_iff:
"send(ts) ∈ set (unlabel A) ↔ receive(ts) ∈ set (unlabel (duallsst A))"
"receive(ts) ∈ set (unlabel A) ↔ send(ts) ∈ set (unlabel (duallsst A))"
"⟨c: t ≈ s⟩ ∈ set (unlabel A) ↔ ⟨c: t ≈ s⟩ ∈ set (unlabel (duallsst A))"
"insert(t,s) ∈ set (unlabel A) ↔ insert(t,s) ∈ set (unlabel (duallsst A))"
"delete(t,s) ∈ set (unlabel A) ↔ delete(t,s) ∈ set (unlabel (duallsst A))"
"⟨c: t ∈ s⟩ ∈ set (unlabel A) ↔ ⟨c: t ∈ s⟩ ∈ set (unlabel (duallsst A))"
"∀X⟨V≠: F V∉: G⟩ ∈ set (unlabel A) ↔ ∀X⟨V≠: F V∉: G⟩ ∈ set (unlabel (duallsst A))"
using duallsst_steps_iff(1,2)[of _ ts A]
duallsst_steps_iff(3,6)[of _ c t s A]
duallsst_steps_iff(4,5)[of _ t s A]
duallsst_steps_iff(7)[of _ X F G A]
by (meson unlabel_in unlabel_mem_has_label)+

lemma duallsst_list_all:
"list_all is_Receive (unlabel A) ↔ list_all is_Send (unlabel (duallsst A))"
"list_all is_Send (unlabel A) ↔ list_all is_Receive (unlabel (duallsst A))"
"list_all is_Equality (unlabel A) ↔ list_all is_Equality (unlabel (duallsst A))"
"list_all is_Insert (unlabel A) ↔ list_all is_Insert (unlabel (duallsst A))"
"list_all is_Delete (unlabel A) ↔ list_all is_Delete (unlabel (duallsst A))"
"list_all is_InSet (unlabel A) ↔ list_all is_InSet (unlabel (duallsst A))"
"list_all is_NegChecks (unlabel A) ↔ list_all is_NegChecks (unlabel (duallsst A))"
"list_all is_Assignment (unlabel A) ↔ list_all is_Assignment (unlabel (duallsst A))"
```

```

"list_all is_Check (unlabel A) <=> list_all is_Check (unlabel (dualsst A))"
"list_all is_Update (unlabel A) <=> list_all is_Update (unlabel (dualsst A))"
"list_all is_Check_or_Assignment (unlabel A) <=>
  list_all is_Check_or_Assignment (unlabel (dualsst A))"
proof (induct A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  { case 1 thus ?case using Cons.hyps(1) a by (cases b) auto }
  { case 2 thus ?case using Cons.hyps(2) a by (cases b) auto }
  { case 3 thus ?case using Cons.hyps(3) a by (cases b) auto }
  { case 4 thus ?case using Cons.hyps(4) a by (cases b) auto }
  { case 5 thus ?case using Cons.hyps(5) a by (cases b) auto }
  { case 6 thus ?case using Cons.hyps(6) a by (cases b) auto }
  { case 7 thus ?case using Cons.hyps(7) a by (cases b) auto }
  { case 8 thus ?case using Cons.hyps(8) a by (cases b) auto }
  { case 9 thus ?case using Cons.hyps(9) a by (cases b) auto }
  { case 10 thus ?case using Cons.hyps(10) a by (cases b) auto }
  { case 11 thus ?case using Cons.hyps(11) a by (cases b) auto }
qed simp_all

lemma dualsst_list_all_same:
  "list_all is_Equality (unlabel A) ==> dualsst A = A"
  "list_all is_Insert (unlabel A) ==> dualsst A = A"
  "list_all is_Delete (unlabel A) ==> dualsst A = A"
  "list_all is_InSet (unlabel A) ==> dualsst A = A"
  "list_all is_NegChecks (unlabel A) ==> dualsst A = A"
  "list_all is_Assignment (unlabel A) ==> dualsst A = A"
  "list_all is_Check (unlabel A) ==> dualsst A = A"
  "list_all is_Update (unlabel A) ==> dualsst A = A"
  "list_all is_Check_or_Assignment (unlabel A) ==> dualsst A = A"
proof (induct A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  { case 1 thus ?case using Cons.hyps(1) a by (cases b) auto }
  { case 2 thus ?case using Cons.hyps(2) a by (cases b) auto }
  { case 3 thus ?case using Cons.hyps(3) a by (cases b) auto }
  { case 4 thus ?case using Cons.hyps(4) a by (cases b) auto }
  { case 5 thus ?case using Cons.hyps(5) a by (cases b) auto }
  { case 6 thus ?case using Cons.hyps(6) a by (cases b) auto }
  { case 7 thus ?case using Cons.hyps(7) a by (cases b) auto }
  { case 8 thus ?case using Cons.hyps(8) a by (cases b) auto }
  { case 9 thus ?case using Cons.hyps(9) a by (cases b) auto }
qed simp_all

lemma dualsst_in_set_prefix_obtain:
  assumes "s ∈ set (unlabel (dualsst A))"
  shows "∃ l B s'. (l,s) = dualsstp (l,s') ∧ prefix (B@[l,s']) A"
  using assms
proof (induction A rule: List.rev_induct)
  case (snoc a A)
  obtain i b where a: "a = (i,b)" by (metis surj_pair)
  show ?case using snoc
  proof (cases "s ∈ set (unlabel (dualsst A))")
    case False thus ?thesis
      using a snoc.preds unlabel_append[of "dualsst A" "dualsst [a]"] dualsst_append[of A "[a]"]
      by (cases b) (force simp add: unlabel_def dualsst_def)+
  qed auto
qed simp

lemma dualsst_in_set_prefix_obtain_subst:
  assumes "s ∈ set (unlabel (dualsst (A `lsst θ)))"
  shows "∃ l B s'. (l,s) = dualsstp ((l,s') `lsstp θ) ∧ prefix ((B `lsst θ)@[l,s') `lsstp θ]) (A `lsst θ)"
proof -

```

```

obtain B l s' where B: "(l,s) = duallsst (l,s')" "prefix (B@[(l,s')]) (A ·lsst δ)"  

  using duallsst_in_set_prefix_obtain[OF assms] by atomize_elim auto

obtain C where C: "C ·lsst δ = B@[(l,s')]"  

  using substlsst_prefix[OF B(2)] by atomize_elim auto

obtain D u where D: "C = D@[(l,u)]" "D ·lsst δ = B" "[l, u] ·lsst δ = [(l, s')]"  

  using substlsst_prefix[OF B(2)] substlsst_append_inv[OF C(1)]  

  by (auto simp add: subst_apply_labeled_stateful_strand_def)

show ?thesis
  using B D substlsst_cons substlsst_singleton
  by (metis (no_types, lifting) nth_append_length)
qed

lemma trmssst_unlabel_duallsst_eq: "trmslsst (duallsst A) = trmslsst A"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons.IH by (cases b) auto
qed simp

lemma trmssst_unlabel_subst_cons:
  "trmslsst ((l,b)#A ·lsst δ) = trmssstp (b ·sstp δ) ∪ trmslsst (A ·lsst δ)"
  by (metis substlsst_unlabel trmssst_subst_cons unlabel_Cons(1))

lemma trmssst_unlabel_subst:
  assumes "bvarslsst S ∩ subst_domain δ = {}"
  shows "trmslsst (S ·lsst δ) = trmslsst S ·set δ"
  by (metis trmssst_subst[OF assms] substlsst_unlabel)

lemma trmssst_unlabel_subst':
  fixes t::("a,'b) term" and δ::("a,'b) subst"
  assumes "t ∈ trmslsst (S ·lsst δ)"
  shows "∃s ∈ trmslsst S. ∃X. set X ⊆ bvarslsst S ∧ t = s · rm_vars (set X) δ"
using assms
proof (induction S)
  case (Cons a S)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  hence "t ∈ trmslsst (S ·lsst δ) ∨ t ∈ trmssstp (b ·sstp δ)"
    using Cons.prems trmssst_unlabel_subst_cons by fast
  thus ?case
  proof
    assume *: "t ∈ trmssstp (b ·sstp δ)"
    show ?thesis using trmssstp_subst'[OF *] a by auto
  next
    assume *: "t ∈ trmslsst (S ·lsst δ)"
    show ?thesis using Cons.IH[OF *] a by auto
  qed
qed simp

lemma trmssst_unlabel_subst'':
  fixes t::("a,'b) term" and δ δ::("a,'b) subst"
  assumes "t ∈ trmslsst (S ·lsst δ) ·set δ"
  shows "∃s ∈ trmslsst S. ∃X. set X ⊆ bvarslsst S ∧ t = s · rm_vars (set X) δ os δ"
proof -
  obtain s where s: "s ∈ trmslsst (S ·lsst δ)" "t = s · δ" using assms by atomize_elim auto
  show ?thesis using trmssst_unlabel_subst'[OF s(1)] s(2) by auto
qed

lemma trmssst_unlabel_dual_subst_cons:
  "trmslsst (duallsst (a#A ·lsst σ)) = (trmssstp (snd a ·sstp σ)) ∪ (trmslsst (duallsst (A ·lsst σ)))"
proof -

```

```

obtain l b where a: "a = (l,b)" by (metis surj_pair)
thus ?thesis using a dualsst_subst_cons[of a A σ] by (cases b) auto
qed

lemma dualsst_funs_term:
  " $\bigcup (\text{fun}_\text{term} \setminus (\text{trms}_{sst} (\text{unlabel} (\text{dualsst } S)))) = \bigcup (\text{fun}_\text{term} \setminus (\text{trms}_{sst} (\text{unlabel } S)))$ "
using trmssst_unlabel_dualsst_eq by fast

lemma dualsst_dbsst:
  "db'_{sst} (\text{dualsst } A) = db'_{sst} A"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons by (cases b) auto
qed simp

lemma dbsst_unlabel_append:
  "db'_{sst} (A @ B) I D = db'_{sst} B I (db'_{sst} A I D)"
by (metis dbsst_append unlabel_append)

lemma dbsst_dualsst:
  "db'_{sst} (\text{unlabel} (\text{dualsst } (T \cdot_{sst} δ))) I D = db'_{sst} (\text{unlabel } (T \cdot_{sst} δ)) I D"
proof (induction T arbitrary: D)
  case (Cons x T)
  obtain l s where "x = (l,s)" by atomize_elim auto
  thus ?case
    using Cons
    by (cases s) (simp_all add: unlabel_def dualsst_def subst_apply_labeled_stateful_strand_def)
qed (simp add: unlabel_def dualsst_def subst_apply_labeled_stateful_strand_def)

lemma labeled_list_insert_eq_cases:
  " $d \notin \text{set } (\text{unlabel } D) \implies \text{List.insert } d (\text{unlabel } D) = \text{unlabel } (\text{List.insert } (i,d) D)$ "  

  " $(i,d) \in \text{set } D \implies \text{List.insert } d (\text{unlabel } D) = \text{unlabel } (\text{List.insert } (i,d) D)$ "  

unfolding unlabel_def  

by (metis (no_types, opaque_lifting) List.insert_def image_eqI list.simps(9) set_map snd_conv,  

    metis in_set_insert set_zip_rightD zip_map_fst_snd)

lemma labeled_list_insert_eq_ex_cases:
  " $\text{List.insert } d (\text{unlabel } D) = \text{unlabel } (\text{List.insert } (i,d) D) \vee$   

 $(\exists j. (j,d) \in \text{set } D \wedge \text{List.insert } d (\text{unlabel } D) = \text{unlabel } (\text{List.insert } (j,d) D))$ "  

using labeled_list_insert_eq_cases unfolding unlabel_def  

by (metis in_setImpl_in_set_zip2 length_map zip_map_fst_snd)

lemma in_proj_set:
  assumes "(l,r) ∈ set A"
  shows "(l,r) ∈ set (proj l A)"
using assms unfolding proj_def by force

lemma proj_subst: "proj l (A \cdot_{sst} δ) = proj l A \cdot_{sst} δ"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons unfolding proj_def subst_apply_labeled_stateful_strand_def by force
qed simp

lemma proj_set_subset[simp]:
  "set (proj n A) ⊆ set A"
unfolding proj_def by auto

lemma proj_proj_set_subset[simp]:
  "set (proj n (proj m A)) ⊆ set (proj n A)"
  "set (proj n (proj m A)) ⊆ set (proj m A)"
  "set (proj_{unl} n (proj m A)) ⊆ set (proj_{unl} n A)"

```

```

"set (proj_unl n (proj m A)) ⊆ set (proj_unl m A)"
unfolding unlabeled_def proj_def by auto

lemma proj_mem_iff:
  "(ln i, d) ∈ set D ↔ (ln i, d) ∈ set (proj i D)"
  "(*, d) ∈ set D ↔ (*, d) ∈ set (proj i D)"
unfolding proj_def by auto

lemma proj_list_insert:
  "proj i (List.insert (ln i, d) D) = List.insert (ln i, d) (proj i D)"
  "proj i (List.insert (*, d) D) = List.insert (*, d) (proj i D)"
  "i ≠ j ⇒ proj i (List.insert (ln j, d) D) = proj i D"
unfolding List.insert_def proj_def by auto

lemma proj_filter: "proj i [d ← D. d ∉ set Di] = [d ← proj i D. d ∉ set Di]"
by (simp_all add: proj_def conj_commute)

lemma proj_list_Cons:
  "proj i ((ln i, d) # D) = (ln i, d) # proj i D"
  "proj i ((*, d) # D) = (*, d) # proj i D"
  "i ≠ j ⇒ proj i ((ln j, d) # D) = proj i D"
unfolding List.insert_def proj_def by auto

lemma proj_dualsst:
  "proj 1 (dualsst A) = dualsst (proj 1 A)"
proof (induction A)
  case (Cons a A)
  obtain k b where "a = (k, b)" by (metis surj_pair)
  thus ?case using Cons unfolding dualsst_def proj_def by (cases b) auto
qed simp

lemma proj_instance_ex:
  assumes B: "∀b ∈ set B. ∃a ∈ set A. ∃δ. b = a ·lsstp δ ∧ P δ"
  and b: "b ∈ set (proj 1 B)"
  shows "∃a ∈ set (proj 1 A). ∃δ. b = a ·lsstp δ ∧ P δ"
proof -
  obtain a δ where a: "a ∈ set A" "b = a ·lsstp δ" "P δ" using B b proj_set_subset by fast
  obtain k b' where b': "b = (k, b')" "k = (ln 1) ∨ k = *" using b proj_in_setD by metis
  obtain a' where a': "a = (k, a')" using b'(1) a(2) by (cases a) simp_all
  show ?thesis using a' b'(2) unfolding proj_def by auto
qed

lemma proj_dbproj:
  "dbproj (ln i) (proj i D) = dbproj (ln i) D"
  "dbproj * (proj i D) = dbproj * D"
  "i ≠ j ⇒ dbproj (ln j) (proj i D) = []"
unfolding proj_def dbproj_def by (induct D) auto

lemma dbproj_Cons:
  "dbproj i ((i, d) # D) = (i, d) # dbproj i D"
  "i ≠ j ⇒ dbproj j ((i, d) # D) = dbproj j D"
unfolding dbproj_def by auto

lemma dbproj_subset[simp]:
  "set (unlabel (dbproj i D)) ⊆ set (unlabel D)"
unfolding unlabel_def dbproj_def by auto

lemma dbproj_subseq:
  assumes "Di ∈ set (subseqs (dbproj k D))"
  shows "dbproj k Di = Di" (is ?A)
  and "i ≠ k ⇒ dbproj i Di = []" (is "i ≠ k ⇒ ?B")
proof -
  have ?: "set Di ⊆ set (dbproj k D)" using subseqs_powset[of "dbproj k D"] assms by auto

```

```

thus ?A by (metis dbproj_def filter_True filter_set member_filter subsetCE)
have " $\bigwedge j d. (j,d) \in set Di \implies j = k$ " using * unfolding dbproj_def by auto
moreover have " $\bigwedge j d. (j,d) \in set (dbproj i Di) \implies j = i$ " unfolding dbproj_def by auto
moreover have " $\bigwedge j d. (j,d) \in set (dbproj i Di) \implies (j,d) \in set Di$ " unfolding dbproj_def by auto
ultimately show "i \neq k \implies ?B" by (metis set_empty subrelI subset_empty)
qed

lemma dbproj_subseq_subset:
  assumes "Di \in set (subseqs (dbproj i D))"
  shows "set Di \subseteq set D"
using assms unfolding dbproj_def
by (metis Pow_iff filter_set image_eqI member_filter subseqs_powset subsetCE subsetI)

lemma dbproj_subseq_in_subseqs:
  assumes "Di \in set (subseqs (dbproj i D))"
  shows "Di \in set (subseqs D)"
using assms in_set_subseqs subseq_filter_left subseq_order.dual_order.trans
unfolding dbproj_def by blast

lemma proj_subseq:
  assumes "Di \in set (subseqs (dbproj (ln j) D))" "j \neq i"
  shows "[d \leftarrow proj i D. d \notin set Di] = proj i D"
proof -
  have "set Di \subseteq set (dbproj (ln j) D)" using subseqs_powset[of "dbproj (ln j) D"] assms by auto
  hence " $\bigwedge k d. (k,d) \in set Di \implies k = ln j$ " unfolding dbproj_def by auto
  moreover have " $\bigwedge k d. (k,d) \in set (proj i D) \implies k \neq ln j$ "
    using assms(2) unfolding proj_def by auto
  ultimately have " $\bigwedge d. d \in set (proj i D) \implies d \notin set Di$ " by auto
  thus ?thesis by simp
qed

lemma unlabel_subseqsD:
  assumes "A \in set (subseqs (unlabel B))"
  shows "\exists C \in set (subseqs B). unlabel C = A"
using assms map_subseqs unfolding unlabel_def by (metis imageE set_map)

lemma unlabel_filter_eq:
  assumes "\forall (j, p) \in set A \cup B. \forall (k, q) \in set A \cup B. p = q \longrightarrow j = k" (is "?P (set A)")
  shows "[d \leftarrow unlabel A. d \notin snd ` B] = unlabel [d \leftarrow A. d \notin B]"
using assms unfolding unlabel_def
proof (induction A)
  case (Cons a A)
  have "set A \subseteq set (a#A)" "{a} \subseteq set (a#A)" by auto
  hence *: "?P (set A)" "?P {a}" using Cons.preds by fast+
  hence IH: "[d \leftarrow map snd A . d \notin snd ` B] = map snd [d \leftarrow A . d \notin B]" using Cons.IH by auto
  { assume "snd a \in snd ` B"
    then obtain b where b: "b \in B" "snd a = snd b" by atomize_elim auto
    hence "fst a = fst b" using *(2) by auto
    hence "a \in B" using b by (metis surjective_pairing)
  } hence **: "a \notin B \implies snd a \notin snd ` B" by metis
  show ?case by (cases "a \in B") (simp add: ** IH)+
qed simp

lemma subseqs_mem_dbproj:
  assumes "Di \in set (subseqs D)" "list_all (\lambda d. fst d = i) Di"
  shows "Di \in set (subseqs (dbproj i D))"
using assms
proof (induction D arbitrary: Di)
  case (Cons di D)
  obtain d j where di: "di = (j,d)" by (metis surj_pair)

```

```

show ?case
proof (cases "Di ∈ set (subseqs D)")
  case True
    hence "Di ∈ set (subseqs (dbproj i D))" using Cons.IH Cons.prems by auto
    thus ?thesis using subseqs_Cons unfolding dbproj_def by auto
next
  case False
  then obtain Di' where Di': "Di = di#Di'" using Cons.prems(1)
    by (metis (mono_tags, lifting) Un_iff imageE set_append set_map subseqs.simps(2))
  hence "Di' ∈ set (subseqs D)" using Cons.prems(1) False
    by (metis (no_types, lifting) UnE imageE list.inject set_append set_map subseqs.simps(2))
  hence "Di' ∈ set (subseqs (dbproj i D))" using Cons.IH Cons.prems Di' by auto
  moreover have "i = j" using Di' di Cons.prems(2) by auto
  hence "dbproj i (di#D) = di#dbproj i D" unfolding dbproj_def by (simp add: di)
  ultimately show ?thesis using Di'
    by (metis (no_types, lifting) UnCI image_eqI set_append set_map subseqs.simps(2))
qed
qed simp

lemma unlabel_subst: "unlabel S ·sst δ = unlabel (S ·lsst δ)"
unfolding unlabel_def subst_apply_stateful_strand_def subst_apply_labeled_stateful_strand_def
by auto

lemma subterms_subst_lsst:
  assumes "∀x ∈ fvset (trmslsst S). (∃f. σ x = Fun f []) ∨ (∃y. σ x = Var y)"
  and "bvarslsst S ∩ subst_domain σ = {}"
  shows "subtermsset (trmslsst (S ·lsst σ)) = subtermsset (trmslsst S) ·set σ"
using subterms_subst'[OF assms(1)] trmssst_subst[OF assms(2)] unlabel_subst[of S σ]
by simp

lemma subterms_subst_lsst_ik:
  assumes "∀x ∈ fvset (iklsst S). (∃f. σ x = Fun f []) ∨ (∃y. σ x = Var y)"
  shows "subtermsset (iklsst (S ·lsst σ)) = subtermsset (iklsst S) ·set σ"
using subterms_subst'[OF assms(1)] iksst_subst[of "unlabel S" σ] unlabel_subst[of S σ]
by simp

lemma labeled_stateful_strand_subst_comp:
  assumes "range_vars δ ∩ bvarslsst S = {}"
  shows "S ·lsst δ ∘s θ = (S ·lsst δ) ·lsst θ"
using assms
proof (induction S)
  case (Cons s S)
  obtain l x where s: "s = (l,x)" by (metis surj_pair)
  hence IH: "S ·lsst δ ∘s θ = (S ·lsst δ) ·lsst θ" using Cons by auto

  have "x ·sst δ ∘s θ = (x ·sst δ) ·sst θ"
    using s Cons.prems stateful_strand_step_subst_comp[of δ x θ] by auto
  thus ?case using s IH by (simp add: subst_apply_labeled_stateful_strand_def)
qed simp

lemma sst_vars_proj_subset[simp]:
  "fvsst (proj_unl n A) ⊆ fvsst (unlabel A)"
  "bvarssst (proj_unl n A) ⊆ bvarssst (unlabel A)"
  "varssst (proj_unl n A) ⊆ varssst (unlabel A)"
using varssst_is_fvsst_bvarssst[of "unlabel A"]
  varssst_is_fvsst_bvarssst[of "proj_unl n A"]
unfolding unlabel_def proj_def by auto

lemma trmssst_proj_subset[simp]:
  "trmssst (proj_unl n A) ⊆ trmssst (unlabel A)" (is ?A)
  "trmssst (proj_unl m (proj n A)) ⊆ trmssst (proj_unl n A)" (is ?B)
  "trmssst (proj_unl m (proj n A)) ⊆ trmssst (proj_unl m A)" (is ?C)
proof -

```

```

show ?A unfolding unlabeled_def proj_def by auto
show ?B using trmssst_mono[OF proj_proj_set_subset(4)] by metis
show ?C using trmssst_mono[OF proj_proj_set_subset(3)] by metis
qed

lemma trmssst_unlabel_prefix_subset:
  "trmssst (unlabel A) ⊆ trmssst (unlabel (A@B))" (is ?A)
  "trmssst (proj_unl n A) ⊆ trmssst (proj_unl n (A@B))" (is ?B)
using trmssst_mono[of "proj_unl n A" "proj_unl n (A@B)"]
unfolding unlabeled_def proj_def by auto

lemma trmssst_unlabel_suffix_subset:
  "trmssst (unlabel B) ⊆ trmssst (unlabel (A@B))"
  "trmssst (proj_unl n B) ⊆ trmssst (proj_unl n (A@B))"
using trmssst_mono[of "proj_unl n B" "proj_unl n (A@B)"]
unfolding unlabeled_def proj_def by auto

lemma setopslsstpD:
  assumes p: "p ∈ setopslsstp a"
  shows "fst p = fst a" (is ?P)
  and "is_Update (snd a) ∨ is_InSet (snd a) ∨ is_NegChecks (snd a)" (is ?Q)
proof -
  obtain l k p' a' where a: "p = (l,p')" "a = (k,a')" by (metis surj_pair)
  show ?P using p a by (cases a') auto
  show ?Q using p a by (cases a') auto
qed

lemma setopslsst_nil[simp]:
  "setopslsst [] = {}"
by (simp add: setopslsst_def)

lemma setopslsst_cons[simp]:
  "setopslsst (x#S) = setopslsstp x ∪ setopslsst S"
by (simp add: setopslsst_def)

lemma setopssst_proj_subset:
  "setopssst (proj_unl n A) ⊆ setopssst (unlabel A)"
  "setopssst (proj_unl m (proj n A)) ⊆ setopssst (proj_unl n A)"
  "setopssst (proj_unl m (proj n A)) ⊆ setopssst (proj_unl m A)"
unfolding unlabeled_def proj_def
proof (induction A)
  case (Cons a A)
  obtain l b where lb: "a = (l,b)" by atomize_elim auto
  { case 1 thus ?case using Cons.IH(1) unfolding lb by (cases b) (auto simp add: setopssst_def) }
  { case 2 thus ?case using Cons.IH(2) unfolding lb by (cases b) (auto simp add: setopssst_def) }
  { case 3 thus ?case using Cons.IH(3) unfolding lb by (cases b) (auto simp add: setopssst_def) }
qed simp_all

lemma setopssst_unlabel_prefix_subset:
  "setopssst (unlabel A) ⊆ setopssst (unlabel (A@B))"
  "setopssst (proj_unl n A) ⊆ setopssst (proj_unl n (A@B))"
unfolding unlabeled_def proj_def
proof (induction A)
  case (Cons a A)
  obtain l b where lb: "a = (l,b)" by atomize_elim auto
  { case 1 thus ?case using Cons.IH lb by (cases b) (auto simp add: setopssst_def) }
  { case 2 thus ?case using Cons.IH lb by (cases b) (auto simp add: setopssst_def) }
qed (simp_all add: setopssst_def)

lemma setopssst_unlabel_suffix_subset:
  "setopssst (unlabel B) ⊆ setopssst (unlabel (A@B))"
  "setopssst (proj_unl n B) ⊆ setopssst (proj_unl n (A@B))"
unfolding unlabeled_def proj_def

```

```

proof (induction A)
  case (Cons a A)
  obtain l b where "l = (l,b)" by atomize_elim auto
  { case 1 thus ?case using Cons.IH l b by (cases b) (auto simp add: setopssst_def) }
  { case 2 thus ?case using Cons.IH l b by (cases b) (auto simp add: setopssst_def) }
qed simp_all

lemma setopslsst_proj_subset:
  "setopslsst (proj n A) ⊆ setopslsst A"
  "setopslsst (proj m (proj n A)) ⊆ setopslsst (proj n A)"
unfolding proj_def setopslsst_def by auto

lemma setopslsst_prefix_subset:
  "setopslsst A ⊆ setopslsst (A@B)"
  "setopslsst (proj n A) ⊆ setopslsst (proj n (A@B))"
unfolding proj_def setopslsst_def by auto

lemma setopslsst_suffix_subset:
  "setopslsst B ⊆ setopslsst (A@B)"
  "setopslsst (proj n B) ⊆ setopslsst (proj n (A@B))"
unfolding proj_def setopslsst_def by auto

lemma setopslsst_mono:
  "set M ⊆ set N ⟹ setopslsst M ⊆ setopslsst N"
by (auto simp add: setopslsst_def)

lemma trmssst_unlabel_subset_if_no_label:
  "¬list_ex (has_LabelN 1) A ⟹ trmslsst (proj 1 A) ⊆ trmslsst (proj 1' A)"
by (rule trmssst_mono[OF proj_subset_if_no_label(2)[of 1 A 1']])

lemma setopssst_unlabel_subset_if_no_label:
  "¬list_ex (has_LabelN 1) A ⟹ setopssst (proj_unl 1 A) ⊆ setopssst (proj_unl 1' A)"
by (rule setopssst_mono[OF proj_subset_if_no_label(2)[of 1 A 1']])

lemma setopslsst_proj_subset_if_no_label:
  "¬list_ex (has_LabelN 1) A ⟹ setopslsst (proj 1 A) ⊆ setopslsst (proj 1' A)"
by (rule setopslsst_mono[OF proj_subset_if_no_label(1)[of 1 A 1']])

lemma setopslsstp_subst_cases[simp]:
  "setopslsstp ((l,send⟨ts⟩) ·lsstp δ) = {}"
  "setopslsstp ((l,receive⟨ts⟩) ·lsstp δ) = {}"
  "setopslsstp ((l,⟨ac: s ≈ t⟩) ·lsstp δ) = {}"
  "setopslsstp ((l,insert⟨t,s⟩) ·lsstp δ) = {(l,t · δ,s · δ)}"
  "setopslsstp ((l,delete⟨t,s⟩) ·lsstp δ) = {(l,t · δ,s · δ)}"
  "setopslsstp ((l,⟨ac: t ∈ s⟩) ·lsstp δ) = {(l,t · δ,s · δ)}"
  "setopslsstp ((l,∀X⟨V ≠ F ∨ F'⟩) ·lsstp δ) =
    ((λ(t,s). (l,t · rm_vars (set X) δ,s · rm_vars (set X) δ)) ` set F')" (is "?A = ?B")
proof -
  have "?A = (λ(t,s). (l,t,s)) ` set (F' ·pairs rm_vars (set X) δ)" by auto
  thus "?A = ?B" unfolding subst_apply_pairs_def by auto
qed simp_all

lemma setopslsstp_subst:
  assumes "set (bvarsssstp (snd a)) ∩ subst_domain δ = {}"
  shows "setopslsstp (a ·lsstp δ) = (λp. (fst a, snd p ·p δ)) ` setopsssstp a"
proof -
  obtain l a' where a: "a = (l,a')" by (metis surj_pair)
  show ?thesis
  proof (cases a')
    case (NegChecks X F G)
    hence *: "rm_vars (set X) δ = δ" using a assms rm_vars_apply'[of δ "set X"] by auto
    have "setopslsstp (a ·lsstp δ) = (λp. (fst a, p)) ` set (G ·pairs δ)"
      using * NegChecks a by auto
  qed

```

```

moreover have "setopslsstp a = (λp. (fst a, p)) ` set G" using NegChecks a by simp
hence "(λp. (fst a, snd p ·p θ)) ` setopslsstp a = (λp. (fst a, p ·p θ)) ` set G"
by (metis (mono_tags, lifting) image_cong image_image snd_conv)
hence "(λp. (fst a, snd p ·p θ)) ` setopslsstp a = (λp. (fst a, p)) ` (set G ·pset θ)"
unfolding case_prod_unfold by auto
ultimately show ?thesis by (simp add: subst_apply_pairs_def)
qed (use a in simp_all)
qed

lemma setopslsstp_subst':
assumes "set (bvarssstp (snd a)) ∩ subst_domain θ = {}"
shows "setopslsstp (a ·lsstp θ) = (λ(i,p). (i,p ·p θ)) ` setopslsstp a"
using setopslsstp_subst[OF assms] setopslsstpD(1) unfolding case_prod_unfold
by (metis (mono_tags, lifting) image_cong)

lemma setopslsst_subst:
assumes "bvarslsst S ∩ subst_domain θ = {}"
shows "setopslsst (S ·lsst θ) = (λp. (fst p, snd p ·p θ)) ` setopslsst S"
using assms
proof (induction S)
case (Cons a S)
have "bvarslsst S ∩ subst_domain θ = {}" and *: "set (bvarssstp (snd a)) ∩ subst_domain θ = {}"
using Cons.prems by auto
hence IH: "setopslsst (S ·lsst θ) = (λp. (fst p, snd p ·p θ)) ` setopslsst S"
using Cons.IH by auto
show ?case
using setopslsstp_subst'[OF *] IH
unfolding setopslsst_def case_prod_unfold subst_lsst_cons
by auto
qed (simp add: setopsssst_def)

lemma setopslsstp_in_subst:
assumes p: "p ∈ setopslsstp (a ·lsstp δ)"
shows "∃q ∈ setopslsstp a. fst p = fst q ∧ snd p = snd q ·p rm_vars (set (bvarssstp (snd a))) δ"
(is "∃q ∈ setopslsstp a. ?P q")
proof -
obtain l b where a: "a = (l,b)" by (metis surj_pair)

show ?thesis
proof (cases b)
case (NegChecks X F F')
hence "p ∈ (λ(t, s). (l, t · rm_vars (set X) δ, s · rm_vars (set X) δ)) ` set F'"
using p a setopslsstp_subst_cases(7)[of l X F F' δ] by blast
then obtain s t where st:
"(t,s) ∈ set F'" "p = (l, t · rm_vars (set X) δ, s · rm_vars (set X) δ)"
by auto
hence "(l,t,s) ∈ setopslsstp a" "fst p = fst (l,t,s)"
" snd p = snd (l,t,s) ·p rm_vars (set X) δ"
using a NegChecks by fastforce+
moreover have "bvarssstp (snd a) = X" using NegChecks a by auto
ultimately show ?thesis by blast
qed (use p a in auto)
qed

lemma setopslsst_in_subst:
assumes p: "p ∈ setopslsst (A ·lsst δ)"
shows "∃q ∈ setopslsst A. fst p = fst q ∧ (∃X ⊆ bvarslsst A. snd p = snd q ·p rm_vars X δ)"
(is "∃q ∈ setopslsst A. ?P A q")
using assms
proof (induction A)
case (Cons a A)
note 0 = unlabel_Cons(2)[of a A] bvarsssst_Cons[of "snd a" "unlabel A"]
show ?case

```

```

proof (cases "p ∈ setopslsst (A ·lsst δ)")
  case False
  hence "p ∈ setopslsstp (a ·lsstp δ)"
    using Cons.prems setopslsst_cons[of "a ·lsstp δ" "A ·lsst δ"] substlsst_cons[of a A δ] by auto
    moreover have "(set (bvarsssstp (snd a))) ⊆ bvarslsst (a#A)" using 0 by simp
    ultimately have "?q ∈ setopslsstp a. ?P (a#A) q" using setopslsstp_in_subst[of p a δ] by blast
    thus ?thesis by auto
  qed (use Cons.IH 0 in auto)
qed simp

lemma setopslsst_duallsst_eq:
  "setopslsst (duallsst A) = setopslsst A"
proof (induction A)
  case (Cons a A)
  obtain l b where "a = (l,b)" by (metis surj_pair)
  thus ?case using Cons unfolding setopslsst_def duallsst_def by (cases b) auto
qed simp

end

```

## 6.2 Stateful Protocol Compositionality

```

theory Stateful_Compositionality
imports Stateful_Typing Parallel_Compositionality Labeled_Stateful_Strands
begin

```

### 6.2.1 Small Lemmata

```

lemma (in typed_model) wtsubstsstpvars_type_subset:
  fixes a::("fun", "var") stateful_strand_step"
  assumes "wtsubst δ"
  and "∀ t ∈ subst_range δ. fv t = {} ∨ (∃ x. t = Var x)"
  shows "Γ ` Var ` fvssstp (a ·ssstp δ) ⊆ Γ ` Var ` fvssstp a" (is ?A)
  and "Γ ` Var ` set (bvarsssstp (a ·ssstp δ)) = Γ ` Var ` set (bvarsssstp a)" (is ?B)
  and "Γ ` Var ` varsssstp (a ·ssstp δ) ⊆ Γ ` Var ` varsssstp a" (is ?C)
proof -
  show ?A
  proof
    fix τ assume τ: "τ ∈ Γ ` Var ` fvssstp (a ·ssstp δ)"
    then obtain x where x: "x ∈ fvssstp (a ·ssstp δ)" "Γ (Var x) = τ" by atomize_elim auto
    show "τ ∈ Γ ` Var ` fvssstp a"
    proof (cases "x ∈ fvssstp a")
      case False
      hence "∃ y ∈ fvssstp a. δ y = Var x"
      proof (cases a)
        case (NegChecks X F G)
        hence *: "x ∈ fvpairs (F ·pairs rmvars (set X) δ) ∪ fvpairs (G ·pairs rmvars (set X) δ)"
          "x ∉ set X"
        using fvssstp_NegCheck(1)[of X "F ·pairs rmvars (set X) δ" "G ·pairs rmvars (set X) δ"]
          fvssstp_NegCheck(1)[of X F G] False x(1)
        by fastforce+
        obtain y where y: "y ∈ fvpairs F ∪ fvpairs G" "x ∈ fv (rmvars (set X) δ y)"
        using fvpairs_subst_obtain_var[of _ _ "rmvars (set X) δ"]
          fvpairs_subst_obtain_var[of _ _ "rmvars (set X) δ"]
          *(1)
        by blast
        have "fv (rmvars (set X) δ z) = {} ∨ (∃ u. rmvars (set X) δ z = Var u)" for z
        using assms(2) rmvars_img_subset[of "set X" δ] by blast
      qed
    qed
  qed
  show ?B
  proof
    fix x assume x: "x ∈ set (bvarsssstp (a ·ssstp δ))"
    then obtain y where y: "y ∈ set (bvarsssstp a)" "x = y"
    proof (cases a)
      case (NegChecks X F G)
      hence *: "x ∈ fvpairs (F ·pairs rmvars (set X) δ) ∪ fvpairs (G ·pairs rmvars (set X) δ)"
        "x ∉ set X"
      using fvssstp_NegCheck(1)[of X "F ·pairs rmvars (set X) δ" "G ·pairs rmvars (set X) δ"]
        fvssstp_NegCheck(1)[of X F G] False x(1)
      by fastforce+
      obtain y where y: "y ∈ fvpairs F ∪ fvpairs G" "x = y"
      using fvpairs_subst_obtain_var[of _ _ "rmvars (set X) δ"]
        fvpairs_subst_obtain_var[of _ _ "rmvars (set X) δ"]
        *(1)
      by blast
      have "fv (rmvars (set X) δ z) = {} ∨ (∃ u. rmvars (set X) δ z = Var u)" for z
      using assms(2) rmvars_img_subset[of "set X" δ] by blast
    qed
  qed
  show ?C
  proof
    fix x assume x: "x ∈ varsssstp (a ·ssstp δ)"
    then obtain y where y: "y ∈ varsssstp a" "x = y"
    proof (cases a)
      case (NegChecks X F G)
      hence *: "x ∈ fvpairs (F ·pairs rmvars (set X) δ) ∪ fvpairs (G ·pairs rmvars (set X) δ)"
        "x ∉ set X"
      using fvssstp_NegCheck(1)[of X "F ·pairs rmvars (set X) δ" "G ·pairs rmvars (set X) δ"]
        fvssstp_NegCheck(1)[of X F G] False x(1)
      by fastforce+
      obtain y where y: "y ∈ fvpairs F ∪ fvpairs G" "x = y"
      using fvpairs_subst_obtain_var[of _ _ "rmvars (set X) δ"]
        fvpairs_subst_obtain_var[of _ _ "rmvars (set X) δ"]
        *(1)
      by blast
      have "fv (rmvars (set X) δ z) = {} ∨ (∃ u. rmvars (set X) δ z = Var u)" for z
      using assms(2) rmvars_img_subset[of "set X" δ] by blast
    qed
  qed
qed

```

```

hence "rm_vars (set X) δ y = Var x" using y(2) by fastforce
hence "∃y ∈ fvsstp a. rm_vars (set X) δ y = Var x"
  using y fvsstp_NegCheck(1)[of X F G] NegChecks *(2) by fastforce
  thus ?thesis by (metis (full_types) *(2) term.inject(1))
qed (use assms(2) x(1) subst_apply_img_var'[of x _ δ] in fastforce)+
then obtain y where y: "y ∈ fvsstp a" "δ y = Var x" by atomize_elim auto
hence "Γ (Var y) = τ" using x(2) assms(1) by (simp add: wtsubst_def)
thus ?thesis using y(1) by auto
qed (use x in auto)
qed

show ?B by (metis bvarssstp_subst)

show ?C
proof
fix τ assume τ: "τ ∈ Γ ` Var ` varssstp (a · sstp δ)"
then obtain x where x: "x ∈ varssstp (a · sstp δ)" "Γ (Var x) = τ" by atomize_elim auto

show "τ ∈ Γ ` Var ` varssstp a"
proof (cases "x ∈ varssstp a")
case False
hence "∃y ∈ varssstp a. δ y = Var x"
proof (cases a)
case (NegChecks X F G)
hence *: "x ∈ fvpairs (F · pairs rm_vars (set X) δ) ∪ fvpairs (G · pairs rm_vars (set X) δ)"
  "x ∉ set X"
using varssstp_NegCheck[of X "F · pairs rm_vars (set X) δ" "G · pairs rm_vars (set X) δ"]
  varssstp_NegCheck[of X F G] False x(1)
by (fastforce, blast)

obtain y where y: "y ∈ fvpairs F ∪ fvpairs G" "x ∈ fv (rm_vars (set X) δ y)"
using fvpairs_subst_obtain_var[of _ _ "rm_vars (set X) δ"]
  fvpairs_subst_obtain_var[of _ _ "rm_vars (set X) δ"]
  *(1)
by blast

have "fv (rm_vars (set X) δ z) = {} ∨ (∃u. rm_vars (set X) δ z = Var u)" for z
  using assms(2) rm_vars_img_subset[of "set X" δ] by blast
hence "rm_vars (set X) δ y = Var x" using y(2) by fastforce
hence "∃y ∈ varssstp a. rm_vars (set X) δ y = Var x"
  using y varssstp_NegCheck[of X F G] NegChecks by blast
  thus ?thesis by (metis (full_types) *(2) term.inject(1))
qed (use assms(2) x(1) subst_apply_img_var'[of x _ δ] in fastforce)+
then obtain y where y: "y ∈ varssstp a" "δ y = Var x" by atomize_elim auto
hence "Γ (Var y) = τ" using x(2) assms(1) by (simp add: wtsubst_def)
thus ?thesis using y(1) by auto
qed (use x in auto)
qed
qed

lemma (in typed_model) wtsubst_lsst_vars_type_subset:
fixes A::"('fun, 'var, 'a) labeled_stateful_strand"
assumes "wtsubst δ"
  and "∀t ∈ subst_range δ. fv t = {} ∨ (∃x. t = Var x)"
shows "Γ ` Var ` fvlsst (A · lsst δ) ⊆ Γ ` Var ` fvlsst A" (is ?A)
  and "Γ ` Var ` bvarslsst (A · lsst δ) = Γ ` Var ` bvarslsst A" (is ?B)
  and "Γ ` Var ` varslsst (A · lsst δ) ⊆ Γ ` Var ` varslsst A" (is ?C)
proof -
have "varslsst (a#A · lsst δ) = varssstp (b · sstp δ) ∪ varslsst (A · lsst δ)"
  "varslsst (a#A) = varssstp b ∪ varslsst A"
  "fvlsst (a#A · lsst δ) = fvsstp (b · sstp δ) ∪ fvlsst (A · lsst δ)"
  "fvlsst (a#A) = fvsstp b ∪ fvlsst A"
  "bvarslsst (a#A · lsst δ) = set (bvarssstp (b · sstp δ)) ∪ bvarslsst (A · lsst δ)"

```

```

"bvarslsst (a#A) = set (bvarssstp b) ∪ bvarslsst A"
when "a = (l,b)" for a l b and A::("fun,'var,'a) labeled_stateful_strand"
using that unlabeled_Cons(1)[of l b A] unlabeled_subst[of "a#A" δ]
    subst_lsst_cons[of a A δ] subst_sst_cons[of b "unlabel A" δ]
    subst_apply_labeled_stateful_step.simps(1)[of l b δ]
    varssst_unlabel_Cons[of l b A] varssst_unlabel_Cons[of l "b ·sstp δ" "A ·lsst δ"]
    fvsst_unlabel_Cons[of l b A] fvsst_unlabel_Cons[of l "b ·sstp δ" "A ·lsst δ"]
    bvarssst_unlabel_Cons[of l b A] bvarssst_unlabel_Cons[of l "b ·sstp δ" "A ·lsst δ"]
by simp_all
hence *: " $\Gamma \cdot \text{Var} \cdot \text{vars}_{\text{lsst}} (\text{a}\#\text{A} \cdot_{\text{lsst}} \delta) =$ 
 $\Gamma \cdot \text{Var} \cdot \text{vars}_{\text{sstp}} (\text{b} \cdot_{\text{sstp}} \delta) \cup \Gamma \cdot \text{Var} \cdot \text{vars}_{\text{lsst}} (\text{A} \cdot_{\text{lsst}} \delta)$ "
" $\Gamma \cdot \text{Var} \cdot \text{vars}_{\text{lsst}} (\text{a}\#\text{A}) = \Gamma \cdot \text{Var} \cdot \text{vars}_{\text{sstp}} \text{b} \cup \Gamma \cdot \text{Var} \cdot \text{vars}_{\text{lsst}} \text{A}$ "
" $\Gamma \cdot \text{Var} \cdot \text{fv}_{\text{lsst}} (\text{a}\#\text{A} \cdot_{\text{lsst}} \delta) =$ 
 $\Gamma \cdot \text{Var} \cdot \text{fv}_{\text{sstp}} (\text{b} \cdot_{\text{sstp}} \delta) \cup \Gamma \cdot \text{Var} \cdot \text{fv}_{\text{lsst}} (\text{A} \cdot_{\text{lsst}} \delta)$ "
" $\Gamma \cdot \text{Var} \cdot \text{fv}_{\text{lsst}} (\text{a}\#\text{A}) = \Gamma \cdot \text{Var} \cdot \text{fv}_{\text{sstp}} \text{b} \cup \Gamma \cdot \text{Var} \cdot \text{fv}_{\text{lsst}} \text{A}$ "
" $\Gamma \cdot \text{Var} \cdot \text{bvars}_{\text{lsst}} (\text{a}\#\text{A} \cdot_{\text{lsst}} \delta) =$ 
 $\Gamma \cdot \text{Var} \cdot \text{set} (\text{bvars}_{\text{sstp}} (\text{b} \cdot_{\text{sstp}} \delta)) \cup \Gamma \cdot \text{Var} \cdot \text{bvars}_{\text{lsst}} (\text{A} \cdot_{\text{lsst}} \delta)$ "
" $\Gamma \cdot \text{Var} \cdot \text{bvars}_{\text{lsst}} (\text{a}\#\text{A}) = \Gamma \cdot \text{Var} \cdot \text{set} (\text{bvars}_{\text{sstp}} \text{b}) \cup \Gamma \cdot \text{Var} \cdot \text{bvars}_{\text{lsst}} \text{A}$ "
when "a = (l,b)" for a l b and A::("fun,'var,'a) labeled_stateful_strand"
using that by fast+
have "?A ∧ ?B ∧ ?C"
proof (induction A)
  case (Cons a A)
  obtain l b where a: "a = (l,b)" by (metis surj_pair)
  show ?case
    using Cons.IH wt_subst_sstp_vars_type_subset[OF assms, of b] *[OF a, of A]
    by (metis Un_mono)
qed simp
thus ?A ?B ?C by metis+
qed

lemma (in stateful_typed_model) fv_pair_fvpairs_subset:
assumes "d ∈ set D"
shows "fv (pair (snd d)) ⊆ fvpairs (unlabel D)"
using assms unfolding pair_def by (induct D) (auto simp add: unlabel_def)

lemma (in stateful_typed_model) labeled_sat_ineq_lift:
assumes "[[M; map (λd. ∀X(∀≠: [(pair (t,s), pair (snd d))])st) [d ← dbproj i D. d ∉ set Di]]]d I"
(is "?R1 D")
and "∀(j,p) ∈ {(i,t,s)} ∪ set D ∪ set Di. ∀(k,q) ∈ {(i,t,s)} ∪ set D ∪ set Di.
  (exists δ. Unifier δ (pair p) (pair q)) → j = k" (is "?R2 D")
shows "[[M; map (λd. ∀X(∀≠: [(pair (t,s), pair (snd d))])st) [d ← D. d ∉ set Di]]]d I"
using assms
proof (induction D)
  case (Cons dl D)
  obtain d l where dl: "dl = (l,d)" by (metis surj_pair)
  have 1: "?R1 D"
  proof (cases "i = l")
    case True thus ?thesis
      using Cons.prems(1) dl by (cases "dl ∈ set Di") (auto simp add: dbproj_def)
  next
    case False thus ?thesis using Cons.prems(1) dl by (auto simp add: dbproj_def)
  qed
  have "set D ⊆ set (dl#D)" by auto
  hence 2: "?R2 D" using Cons.prems(2) by blast
  have "i ≠ l ∨ dl ∈ set Di ∨ [[M; ∀X(∀≠: [(pair (t,s), pair (snd dl))])]st]]d I"
  using Cons.prems(1) dl by (auto simp add: ineq_model_def dbproj_def)
  moreover have "∃δ. Unifier δ (pair (t,s)) (pair d) ⇒ i = l"

```

```

using Cons.prems(2) dl by force
ultimately have 3: "dl ∈ set Di ∨ [[M; ∀X⟨V≠: [(pair (t,s), pair (snd dl))]⟩st]]d I"
  using strand_sem_not_unif_is_sat_ineq[of "pair (t,s)" "pair d"] dl by fastforce

show ?case using Cons.IH[OF 1 2] 3 dl by auto
qed simp

lemma (in stateful_typed_model) labeled_sat_ineq_dbproj:
assumes "[[M; map (λd. ∀X⟨V≠: [(pair (t,s), pair (snd d))]⟩st) [d←D. d ∉ set Di]]d I"
(is "?P D")
shows "[[M; map (λd. ∀X⟨V≠: [(pair (t,s), pair (snd d))]⟩st) [d←dbproj i D. d ∉ set Di]]d I"
(is "?Q D")
using assms
proof (induction D)
  case (Cons di D)
  obtain d j where di: "di = (j,d)" by (metis surj_pair)
  have "?P D" using Cons.prems by (cases "di ∈ set Di") auto
  hence IH: "?Q D" by (metis Cons.IH)

  show ?case using di IH
  proof (cases "i = j ∧ di ∉ set Di")
    case True
    have 1: "[[M; ∀X⟨V≠: [(pair (t,s), pair (snd di))]⟩st]]d I"
      using Cons.prems True by auto
    have 2: "dbproj i (di#D) = di#dbproj i D" using True dbproj_Cons(1) di by auto
    show ?thesis using 1 2 IH by auto
  qed (auto simp add: dbproj_def)
  qed (simp add: dbproj_def)

lemma (in stateful_typed_model) labeled_sat_ineq_dbproj_sem_equiv:
assumes "∀ (j,p) ∈ ((λ(t, s). (i, t, s)) ` set F') ∪ set D.
          ∀ (k,q) ∈ ((λ(t, s). (i, t, s)) ` set F') ∪ set D.
          (∃δ. Unifier δ (pair p) (pair q)) → j = k"
and "fvpairs (map snd D) ∩ set X = {}"
shows "[[M; map (λG. ∀X⟨V≠: (F@G)⟩st) (trpairs F' (map snd D))]d I ←→
       [[M; map (λG. ∀X⟨V≠: (F@G)⟩st) (trpairs F' (map snd (dbproj i D)))]]d I"
proof -
  let ?A = "set (map snd D) ·pset I"
  let ?B = "set (map snd (dbproj i D)) ·pset I"
  let ?C = "set (map snd D) - set (map snd (dbproj i D))"
  let ?F = "(λ(t, s). (i, t, s)) ` set F'"
  let ?P = "λδ. subst_domain δ = set X ∧ ground (subst_range δ)"

  have 1: "∀ (t, t') ∈ set (map snd D). (fv t ∪ fv t') ∩ set X = {}"
    "∀ (t, t') ∈ set (map snd (dbproj i D)). (fv t ∪ fv t') ∩ set X = {}"
    using assms(2) dbproj_subset[of i D] unfolding unlabel_def by force+
  have 2: "?B ⊆ ?A" unfolding dbproj_def by auto

  have 3: "¬Unifier δ (pair f) (pair d)"
    when f: "f ∈ set F'" and d: "d ∈ set (map snd D) - set (map snd (dbproj i D))"
    for f d and δ::"(fun, var) subst"
  proof -
    obtain k where k: "(k,d) ∈ set D - set (dbproj i D)"
      using d by force

    have "(i,f) ∈ ((λ(t, s). (i, t, s)) ` set F') ∪ set D"
      "(k,d) ∈ ((λ(t, s). (i, t, s)) ` set F') ∪ set D"
      using f k by auto
    hence "i = k" when "Unifier δ (pair f) (pair d)" for δ
      using assms(1) that by blast
    moreover have "k ≠ i" using k d unfolding dbproj_def by simp
  qed

```

```

ultimately show ?thesis by metis
qed

have "f ·p δ ≠ d ·p δ"
  when "f ∈ set F'" "d ∈ ?C" for f d and δ::("fun, 'var) subst"
    by (metis fun_pair_eq_subst 3[OF that])
  hence "f ·p (δ ∘s I) ≠ ?C ·pset (δ ∘s I)"
    when "f ∈ set F'" for f and δ::("fun, 'var) subst"
      using that by blast
  moreover have "?C ·pset δ ·pset I = ?C ·pset I"
    when "?P δ" for δ
      using assms(2) that pairs_substI[of δ "(set (map snd D) - set (map snd (dbproj i D)))]"
        by blast
  ultimately have 4: "f ·p (δ ∘s I) ≠ ?C ·pset I"
    when "f ∈ set F'" "?P δ" for f and δ::("fun, 'var) subst"
      by (metis that subst_pairs_compose)

{ fix f and δ::("fun, 'var) subst"
  assume "f ∈ set F'" "?P δ"
  hence "f ·p (δ ∘s I) ≠ ?C ·pset I" by (metis 4)
  hence "f ·p (δ ∘s I) ≠ ?A - ?B" by force
} hence 5: "∀ f ∈ set F'. ∀ δ. ?P δ → f ·p (δ ∘s I) ≠ ?A - ?B" by metis

show ?thesis
  using negchecks_model_db_subset[OF 2]
    negchecks_model_db_supset[OF 2 5]
    tr_pairs_sem_equiv[OF 1(1)]
    tr_pairs_sem_equiv[OF 1(2)]
    tr_NegChecks_constr_iff(1)
    strand_sem_eq_defs(2)
    by (metis (no_types, lifting))
qed

lemma (in stateful_typed_model) labeled_sat_eqs_list_all:
  assumes "∀ (j, p) ∈ {(i, t, s)} ∪ set D. ∀ (k, q) ∈ {(i, t, s)} ∪ set D.
    (∃ δ. Unifier δ (pair p) (pair q)) → j = k" (is "?P D")
  and "⟦M; map (λd. ac: (pair (t, s)) ≈ (pair (snd d)))_{st} D⟧_d I" (is "?Q D")
  shows "list_all (λd. fst d = i) D"
using assms
proof (induction D rule: List.rev_induct)
  case (snoc di D)
  obtain d j where di: "di = (j, d)" by (metis surj_pair)
  have "pair (t, s) · I = pair d · I" using di snoc.prems(2) by auto
  hence "∃ δ. Unifier δ (pair (t, s)) (pair d)" by auto
  hence 1: "i = j" using snoc.prems(1) di by fastforce

  have "set D ⊆ set (D@[di])" by auto
  hence 2: "?P D" using snoc.prems(1) by blast

  have 3: "?Q D" using snoc.prems(2) by auto

  show ?case using di 1 snoc.IH[OF 2 3] by simp
qed simp

lemma (in stateful_typed_model) labeled_sat_eqs_subseqs:
  assumes "Di ∈ set (subseqs D)"
  and "∀ (j, p) ∈ {(i, t, s)} ∪ set D. ∀ (k, q) ∈ {(i, t, s)} ∪ set D.
    (∃ δ. Unifier δ (pair p) (pair q)) → j = k" (is "?P D")
  and "⟦M; map (λd. ac: (pair (t, s)) ≈ (pair (snd d)))_{st} Di⟧_d I"
  shows "Di ∈ set (subseqs (dbproj i D))"
proof -
  have "set Di ⊆ set D" by (rule subseqs_subset[OF assms(1)])
  hence "?P Di" using assms(2) by blast

```

```

thus ?thesis using labeled_sat_eqs_list_all[OF _ assms(3)] subseqs_mem_dbproj[OF assms(1)] by simp
qed

lemma (in stateful_typing_result) dualsst_tfrsstp:
assumes "list_all tfrsstp (unlabel S)"
shows "list_all tfrsstp (unlabel (dualsst S))"
using assms
proof (induction S)
case (Cons a S)
have prems: "tfrsstp (snd a)" "list_all tfrsstp (unlabel S)"
using Cons.prems unlabel_Cons(2)[of a S] by simp_all
hence IH: "list_all tfrsstp (unlabel (dualsst S))" by (metis Cons.IH)

obtain l b where a: "a = (l,b)" by (metis surj_pair)
with Cons show ?case
proof (cases b)
case (Equality c t t')
hence "dualsst (a#S) = a#dualsst S" by (metis dualsst_Cons(3) a)
thus ?thesis using a IH prems by fastforce
next
case (NegChecks X F G)
hence "dualsst (a#S) = a#dualsst S" by (metis dualsst_Cons(7) a)
thus ?thesis using a IH prems by fastforce
qed auto
qed simp

lemma (in stateful_typed_model) setopssst_unlabel_dualsst_eq:
"setopssst (unlabel (dualsst A)) = setopssst (unlabel A)"
proof (induction A)
case (Cons a A)
obtain l b where a: "a = (l,b)" by (metis surj_pair)
thus ?case using Cons.IH by (cases b) (simp_all add: setopssst_def)
qed simp

```

## 6.2.2 Locale Setup and Definitions

```

locale labeled_stateful_typed_model =
stateful_typed_model arity public Ana Γ Pair
+ labeled_typed_model arity public Ana Γ label_witness1 label_witness2
for arity::"'fun ⇒ nat"
and public::"'fun ⇒ bool"
and Ana::"('fun,'var) term ⇒ (('fun,'var) term list × ('fun,'var) term list)"
and Γ::"('fun,'var) term ⇒ ('fun,'atom::finite) term_type"
and Pair::"'fun"
and label_witness1::"'lbl"
and label_witness2::"'lbl"
begin

definition lpair where
"lpair lp ≡ case lp of (i,p) ⇒ (i,pair p)"

lemma setopssst_pair_image[simp]:
"lpair ` (setopssst (i,send(ts))) = {}"
"lpair ` (setopssst (i,receive(ts))) = {}"
"lpair ` (setopssst (i,⟨ac: t ≈ t'⟩)) = {}"
"lpair ` (setopssst (i,insert(t,s))) = {(i, pair (t,s))}"
"lpair ` (setopssst (i,delete(t,s))) = {(i, pair (t,s))}"
"lpair ` (setopssst (i,⟨ac: t ∈ s⟩)) = {(i, pair (t,s))}"
"lpair ` (setopssst (i,∀X⟨V≠: F ∨≠: F'⟩)) = ((λ(t,s). (i, pair (t,s))) ` set F)"
unfolding lpair_def by force+

definition par_complsst where
"par_complsst (A::('fun,'var,'lbl) labeled_stateful_strand) (Secrets::('fun,'var) terms) ≡

```

```


$$\begin{aligned}
& (\forall 11 12. 11 \neq 12 \longrightarrow \\
& \quad GSMP\_disjoint (\text{trms}_{sst} (\text{proj\_unl } 11 \mathcal{A}) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 11 \mathcal{A})) \\
& \quad \quad (\text{trms}_{sst} (\text{proj\_unl } 12 \mathcal{A}) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 12 \mathcal{A})) \text{ Secrets}) \wedge \\
& \quad (\forall s \in \text{Secrets}. \neg \{\} \vdash_c s) \wedge \text{ground Secrets} \wedge \\
& \quad (\forall (i,p) \in \text{setops}_{sst} \mathcal{A}. \forall (j,q) \in \text{setops}_{sst} \mathcal{A}. \\
& \quad \quad (\exists \delta. \text{Unifier } \delta (\text{pair } p) (\text{pair } q)) \longrightarrow i = j)
\end{aligned}$$


definition declassifiedsst where  

"declassifiedsst A I ≡ {s. ∪ {set ts | ts. ⟨*, receive⟨ts⟩⟩ ∈ set (A ·sst I)} ⊢ s}"

definition strand_leakssst (<_ leaks _ under _>) where  

"(A::('fun, 'var, 'lbl) labeled_stateful_strand) leaks Secrets under I ≡  

(∃ t ∈ Secrets - declassifiedsst A I. ∃ n. I ⊢s (proj_unl n A@[send⟨[t]⟩]))"

type_synonym ('a, 'b, 'c) labeleddbstate = "('c strand_label × (('a, 'b) term × ('a, 'b) term)) set"  

type_synonym ('a, 'b, 'c) labeleddbstatelist = "('c strand_label × (('a, 'b) term × ('a, 'b) term)) list"

definition typing_condsst where  

"typing_condsst A ≡ wfsst A ∧ wftrms (trmssst A) ∧ tfrsst A"

For proving the compositionality theorem for stateful constraints the idea is to first define a variant of the reduction technique that was used to establish the stateful typing result. This variant performs database-state projections, and it allows us to reduce the compositionality problem for stateful constraints to ordinary constraints.

fun trpc::  

"('fun, 'var, 'lbl) labeled_stateful_strand ⇒ ('fun, 'var, 'lbl) labeleddbstatelist  

⇒ ('fun, 'var, 'lbl) labeled_strand list"  

where  

"trpc [] D = [[]]"  

| "trpc ((i, send⟨ts⟩)#A) D = map ((#) (i, send⟨ts⟩st)) (trpc A D)"  

| "trpc ((i, receive⟨ts⟩)#A) D = map ((#) (i, receive⟨ts⟩st)) (trpc A D)"  

| "trpc ((i, ⟨ac: t ≈ t'⟩)#A) D = map ((#) (i, ⟨ac: t ≈ t'⟩st)) (trpc A D)"  

| "trpc ((i, insert⟨t, s⟩)#A) D = trpc A (List.insert (i, (t, s)) D)"  

| "trpc ((i, delete⟨t, s⟩)#A) D = (  

  concat (map (λDi. map (λB. (map (λd. (i, ⟨check: (pair (t, s)) ≈ (pair (snd d))⟩st)) Di)@  

    (map (λd. (i, ∀ [](v ≠: [(pair (t, s), pair (snd d))])st))  

      [d ← dbproj i D. d ∉ set Di]@B)  

    (trpc A [d ← D. d ∉ set Di]))  

  (subseqs (dbproj i D))))"  

| "trpc ((i, ⟨ac: t ∈ s⟩)#A) D =  

  concat (map (λB. map (λd. (i, ⟨ac: (pair (t, s)) ≈ (pair (snd d))⟩st)#B) (dbproj i D)) (trpc A D))"  

| "trpc ((i, ∀ X(v ≠: F ∨ ∉: F'))#A) D =  

  map ((@) (map (λG. (i, ∀ X(v ≠: (F@G))st)) (trpairs F' (map snd (dbproj i D)))))) (trpc A D)"  

end  

locale labeled_stateful_typing =  

  labeled_stateful_typed_model arity public Ana Γ Pair label_witness1 label_witness2  

+ stateful_typing_result arity public Ana Γ Pair  

  for arity::"fun ⇒ nat"  

  and public::"fun ⇒ bool"  

  and Ana::"('fun, 'var) term ⇒ (('fun, 'var) term list × ('fun, 'var) term list)"  

  and Γ::"('fun, 'var) term ⇒ ('fun, 'atom::finite) term_type"  

  and Pair::"fun"  

  and label_witness1::"lbl"  

  and label_witness2::"lbl"  

begin  

sublocale labeled_typing  

by unfold_locales  

end

```

### 6.2.3 Small Lemmata

```

context labeled_stateful_typed_model
begin

lemma declassified_lsst_alt_def:
  "declassified_lsst A I = {s. ∪ {set ts | ts. ⟨*, receive(ts)⟩ ∈ set A} ·set I ⊢ s}"
proof -
  have 0: "(l, receive(ts)) ∈ set (A ·lsst I) = (∃ts'. (l, receive(ts')) ∈ set A ∧ ts = ts' ·list I)"
    (is "?A A = ?B A")
    for ts l
  proof
    show "?A A ⟷ ?B A"
    proof (induction A)
      case (Cons a A)
      obtain k b where a: "a = (k,b)" by (metis surj_pair)
      show ?case
      proof (cases "?A A")
        case False
        hence "(l,receive(ts)) = a ·lsstp I" using Cons.preds subst_lsst_cons[of a A I] by auto
        thus ?thesis unfolding a by (cases b) auto
      qed (use Cons.IH in auto)
    qed simp
  qed

  show "?B A ⟷ ?A A"
  proof (induction A)
    case (Cons a A)
    obtain k b where a: "a = (k,b)" by (metis surj_pair)
    show ?case
    proof (cases "?B A")
      case False
      hence "∃ts'. a = (l, receive(ts')) ∧ ts = ts' ·list I" using Cons.preds by auto
      thus ?thesis using subst_lsst_cons[of a A I] unfolding a by (cases b) auto
    qed (use Cons.IH subst_lsst_cons[of a A I] in auto)
  qed simp
qed

let ?M = "λA. ∪ {set ts | ts. ⟨*, receive(ts)⟩ ∈ set A}"
have 1: "?M (A ·lsst I) = ?M A ·set I" (is "?A = ?B")
proof
  show "?A ⊆ ?B"
  proof
    fix t assume t: "t ∈ ?A"
    then obtain ts where ts: "t ∈ set ts" "⟨*, receive(ts)⟩ ∈ set (A ·lsst I)" by blast
    thus "t ∈ ?B" using 0[of * ts] by fastforce
  qed
  show "?B ⊆ ?A"
  proof
    fix t assume t: "t ∈ ?B"
    then obtain ts where ts: "t ∈ set ts ·set I" "⟨*, receive(ts)⟩ ∈ set A" by blast
    hence "⟨*, receive(ts ·list I)⟩ ∈ set (A ·lsst I)" using 0[of * "ts ·list I"] by blast
    thus "t ∈ ?A" using ts(1) by force
  qed
qed

show ?thesis using 1 unfolding declassified_lsst_def by argo
qed

lemma declassified_lsst_prefix_subset:
  assumes AB: "prefix A B"
  shows "declassified_lsst A I ⊆ declassified_lsst B I"
proof

```

```

fix t assume t: "t ∈ declassifiedlsst A I"
obtain C where C: "B = A @ C" using prefixE[OF AB] by metis
show "t ∈ declassifiedlsst B I"
  using t ideduct_mono[of
    "UNION{set ts | ts. (*, receive(ts)) ∈ set A} ·set I" t
    "UNION{set ts | ts. (*, receive(ts)) ∈ set B} ·set I"]
  unfolding C declassifiedlsst_alt_def by auto
qed

lemma declassifiedlsst_star_receive_supset:
  "{t | t ts. (*, receive(ts)) ∈ set A ∧ t ∈ set ts} ·set I ⊆ declassifiedlsst A I"
unfolding declassifiedlsst_alt_def by (fastforce intro: intruder_deduct.Axiom)

lemma declassifiedlsst_proj_eq:
  "declassifiedlsst A I = declassifiedlsst (proj n A) I"
using proj_mem_iff(2)[of _ A] unfolding declassifiedlsst_alt_def by simp

lemma par_complsst_nil:
  assumes "ground Sec" "∀s ∈ Sec. ∀s' ∈ subterms s. {} ⊢c s' ∨ s' ∈ Sec" "∀s ∈ Sec. ¬{} ⊢c s"
  shows "par_complsst [] Sec"
using assms unfolding par_complsst_def by simp

lemma par_complsst_subset:
  assumes A: "par_complsst A Sec"
  and BA: "set B ⊆ set A"
  shows "par_complsst B Sec"
proof -
  let ?L = "λn A. trmssst (proj_unl n A) ∪ pair ` setopssst (proj_unl n A)"

  have "?L n B ⊆ ?L n A" for n
    using trmssst_mono[OF proj_set_mono(2)[OF BA]] setopssst_mono[OF proj_set_mono(2)[OF BA]]
    by blast
  hence "GSMP_disjoint (?L m B) (?L n B) Sec" when nm: "m ≠ n" for n m::'lbl
    using GSMP_disjoint_subset[of "?L m A" "?L n A" Sec "?L m B" "?L n B"] A nm
    unfolding par_complsst_def by simp
  thus "par_complsst B Sec"
    using A setopssst_mono[OF BA]
    unfolding par_complsst_def by blast
qed

lemma par_complsst_split:
  assumes "par_complsst (A @ B) Sec"
  shows "par_complsst A Sec" "par_complsst B Sec"
using par_complsst_subset[OF assms] by simp_all

lemma par_complsst_proj:
  assumes "par_complsst A Sec"
  shows "par_complsst (proj n A) Sec"
using par_complsst_subset[OF assms] by simp

lemma par_complsst_dualsst:
  assumes A: "par_complsst A S"
  shows "par_complsst (dualsst A) S"
proof (unfold par_complsst_def case_prod unfold; intro conjI)
  show "ground S" "∀s ∈ S. ¬{} ⊢c s"
    using A unfolding par_complsst_def by fast+
  let ?M = "λ1 B. (trmssst (proj 1 B) ∪ pair ` setopssst (proj_unl 1 B))"
  let ?P = "λB. ∀11 12. 11 ≠ 12 → GSMP_disjoint (?M 11 B) (?M 12 B) S"
  let ?Q = "λB. ∀p ∈ setopssst B. ∀q ∈ setopssst B.
    (exists δ. Unifier δ (pair (snd p)) (pair (snd q))) → fst p = fst q"

  have "?P A" "?Q A" using A unfolding par_complsst_def case_prod unfold by blast+

```

```

thus "?P (duallsst A)" "?Q (duallsst A)"
  by (metis setopssst_unlabel_duallsst_eq trmssst_unlabel_duallsst_eq proj_duallsst,
       metis setopslsst_duallsst_eq)
qed

lemma par_complsst_subst:
  assumes A: "par_complsst A S"
    and δ: "wts δ" "wftrms (subst_range δ)" "subst_domain δ ∩ bvarslsst A = {}"
  shows "par_complsst (A ·lsst δ) S"
proof (unfold par_complsst_def case_prod_unfold; intro conjI)
  show "ground S" "∀ s ∈ S. ¬{} ⊢c s"
    using A unfolding par_complsst_def by fast+
  let ?N = "λ1 B. trmslsst (proj 1 B) ∪ pair ` setopssst (proj_unl 1 B)"
  define M where "M ≡ λ1 (B::('fun, 'var, 'lbl) labeled_stateful_strand). ?N 1 B"
  let ?P = "λp q. ∃δ. Unifier δ (pair (snd p)) (pair (snd q))"
  let ?Q = "λB. ∀p ∈ setopslsst B. ∀q ∈ setopslsst B. ?P p q → fst p = fst q"
  let ?R = "λB. ∀11 12. 11 ≠ 12 → GSMP_disjoint (?N 11 B) (?N 12 B) S"
  have d: "bvarslsst (proj 1 A) ∩ subst_domain δ = {}" for 1
    using δ(3) unfolding proj_def bvarssst_def unlabeled_def by auto
  have "GSMP_disjoint (M 11 A) (M 12 A) S" when 1: "11 ≠ 12" for 11 12
    using 1 A unfolding par_complsst_def M_def by presburger
  moreover have "M 1 (A ·lsst δ) = (M 1 A) ·set δ" for 1
    using fun_pair_subst_set[of δ "setopssst (proj_unl 1 A)", symmetric]
      trmssst_subst[OF d[of 1]] setopssst_subst[OF d[of 1]] proj_subst[of 1 A δ]
    unfolding M_def unlabeled_subst by auto
  ultimately have "GSMP_disjoint (M 11 (A ·lsst δ)) (M 12 (A ·lsst δ)) S" when 1: "11 ≠ 12" for 11 12
    using 1 GSMP_wt_subst_subset[OF _ δ(1,2), of _ "M 11 A"]
      GSMP_wt_subst_subset[OF _ δ(1,2), of _ "M 12 A"]
    unfolding GSMP_disjoint_def by fastforce
  thus "?R (A ·lsst δ)" unfolding M_def by blast
  have "?Q A" using A unfolding par_complsst_def by force
  thus "?Q (A ·lsst δ)" using δ(3)
  proof (induction A)
    case (Cons a A)
    obtain 1 b where a: "a = (1,b)" by (metis surj_pair)
    have 0: "bvarslsst (a#A) = set (bvarssst (snd a)) ∪ bvarslsst A"
      unfolding bvarssst_def unlabeled_def by simp
    have "?Q A" "subst_domain δ ∩ bvarslsst A = {}"
      using Cons.prems 0 unfolding setopslsst_def by auto
    hence IH: "?Q (A ·lsst δ)" using Cons.IH unfolding setopslsst_def by blast
    have 1: "fst p = fst q"
      when p: "p ∈ setopslsst (a ·lsst δ)"
        and q: "q ∈ setopslsst (a ·lsst δ)"
        and pq: "?P p q"
      for p q
      using a p q pq by (cases b) auto
    have 2: "fst p = fst q"
      when p: "p ∈ setopslsst (A ·lsst δ)"
        and q: "q ∈ setopslsst (A ·lsst δ)"
        and pq: "?P p q"
      for p q
      proof -
        obtain p' X where p':
          "p' ∈ setopslsst A" "fst p = fst p'"
          "X ⊆ bvarslsst (a#A)" "snd p = snd p' ·p rm_vars X δ"

```

```

using setopslsst_in_subst[OF p] 0 by blast

obtain q' Y where q':
  "q' ∈ setopslsstp a" "fst q = fst q''"
  "Y ⊆ bvarslsst (a#A)" "snd q = snd q' ·p rm_vars Y δ"
  using setopslsstp_in_subst[OF q] 0 by blast

have "pair (snd p) = pair (snd p') · δ"
  "pair (snd q) = pair (snd q') · δ"
  using fun_pair_subst[of "snd p'" "rm_vars X δ"] fun_pair_subst[of "snd q'" "rm_vars Y δ"]
    p'(3,4) q'(3,4) Cons.prems(2) rm_vars_apply'[of δ X] rm_vars_apply'[of δ Y]
  by fastforce+
hence "∃δ. Unifier δ (pair (snd p')) (pair (snd q'))"
  using pq Unifier_comp' by metis
thus ?thesis using Cons.prems p'(1,2) q'(1,2) by simp
qed

show ?case by (metis 1 2 IH Un_iff setopslsst_cons substlsst_cons)
qed simp
qed

lemma wf_pair_negchecks_map':
  assumes "wfst X (unlabel A)"
  shows "wfst X (unlabel (map (λG. (i, ∀ Y (V ≠: (F@G))st) M@A)))"
using assms by (induct M) auto

lemma wf_pair_eqs_ineqs_map':
  fixes A::("fun", "var", "lbl") labeled_strand"
  assumes "wfst X (unlabel A)"
    "Di ∈ set (subseqs (dbproj i D))"
    "fvpairs (unlabel D) ⊆ X"
  shows "wfst X (unlabel (
    map (λd. (i, check: (pair (t,s)) ≈ (pair (snd d))st)) Di)@
    (map (λd. (i, ∀ [] (V ≠: [(pair (t,s), pair (snd d))]st)) [d ← dbproj i D. d ∉ set Di])@A))"
proof -
  let ?f = "[d ← dbproj i D. d ∉ set Di]"
  define c1 where c1: "c1 = map (λd. (i, check: (pair (t,s)) ≈ (pair (snd d))st)) Di"
  define c2 where c2: "c2 = map (λd. (i, ∀ [] (V ≠: [(pair (t,s), pair (snd d))]st)) ?f)"
  define c3 where c3: "c3 = map (λd. (check: (pair (t,s)) ≈ (pair d))st) (unlabel Di)"
  define c4 where c4: "c4 = map (λd. ∀ [] (V ≠: [(pair (t,s), pair d)]st)) (unlabel ?f)"
  have ci_eqs: "c3 = unlabel c1" "c4 = unlabel c2" unfolding c1 c2 c3 c4 unlabel_def by auto
  have 1: "wfst X (unlabel (c2@A))"
    using wf_fun_pair_ineqs_map[OF assms(1)] ci_eqs(2) unlabel_append[of c2 A] c4
    by metis
  have 2: "fvpairs (unlabel Di) ⊆ X"
    using assms(3) subseqs_set_subset(1)[OF assms(2)]
    unfolding unlabel_def dbproj_def
    by fastforce
  { fix B::("fun", "var") strand" assume "wfst X B"
    hence "wfst X (unlabel c1@B)" using 2 unfolding c1 unlabel_def by (induct Di) auto
  } thus ?thesis using 1 unfolding c1 c2 unlabel_def by simp
qed

lemma trmssst_setopssst_wt_instance_ex:
  defines "M ≡ λA. trmssst A ∪ pair ` setopssst (unlabel A)"
  assumes B: "∀ b ∈ set B. ∃ a ∈ set A. ∃ δ. b = a ·lsstp δ ∧ wtsubst δ ∧ wftrms (subst_range δ)"
  shows "∀ t ∈ M B. ∃ s ∈ M A. ∃ δ. t = s · δ ∧ wtsubst δ ∧ wftrms (subst_range δ)"
proof
  let ?P = "λδ. wtsubst δ ∧ wftrms (subst_range δ)"

  fix t assume "t ∈ M B"
  then obtain b where b: "b ∈ set B" "t ∈ trmssst (snd b) ∪ pair ` setopssst (snd b)"
    unfolding M_def unfolding unlabel_def trmssst_def setopssst_def by auto

```

then obtain a  $\delta$  where a: " $a \in \text{set } A$ " " $b = a \cdot_{lsstp} \delta$ " and  $\delta$ : " $\text{wt}_{\text{subst}} \delta$ " " $\text{wf}_{\text{trms}} (\text{subst\_range } \delta)$ " using B by meson

note  $\delta' = \text{wt}_{\text{subst}} \text{rm\_vars}[\text{OF } \delta(1)] \text{ wf}_{\text{trms}} \text{subst\_rm\_vars}'[\text{OF } \delta(2)]$

have " $t \in M (A \cdot_{lsst} \delta)$ "  
 using b(2) a  
 unfolding M\_def subst\_apply\_labeled\_stateful\_strand\_def unlabel\_def trmssst\_def setopssst\_def by auto  
 moreover have " $\exists s \in M A. \exists \delta. t = s \cdot \delta \wedge ?P \delta$ " when " $t \in \text{trms}_{lsst} (A \cdot_{lsst} \delta)$ "  
 using trmssst\_unlabel\_subst'[OF that]  $\delta'$  unfolding M\_def by blast  
 moreover have " $\exists s \in M A. \exists \delta. t = s \cdot \delta \wedge ?P \delta$ " when t: " $t \in \text{pair} \cdot \text{setops}_{sst} (\text{unlabel } A \cdot_{sst} \delta)$ "  
 proof -  
 obtain p where p: " $p \in \text{setops}_{sst} (\text{unlabel } A \cdot_{sst} \delta)$ " " $t = \text{pair } p$ " using t by blast  
 then obtain q X where q: " $q \in \text{setops}_{sst} (\text{unlabel } A)$ " " $p = q \cdot_p \text{rm\_vars} (\text{set } X) \delta$ "  
 using setopssst\_subst'[OF p(1)] by blast  
 hence " $t = \text{pair } q \cdot \text{rm\_vars} (\text{set } X) \delta$ "  
 using fun\_pair\_subst[of q "rm\_vars (set X) \delta"] p(2) by presburger  
 thus ?thesis using  $\delta'$ [of "set X"] q(1) unfolding M\_def by blast  
 qed  
 ultimately show " $\exists s \in M A. \exists \delta. t = s \cdot \delta \wedge ?P \delta$ " unfolding M\_def unlabel\_subst by fast  
 qed

lemma setopssst\_wt\_instance\_ex:  
 assumes B: " $\forall b \in \text{set } B. \exists a \in \text{set } A. \exists \delta. b = a \cdot_{lsstp} \delta \wedge \text{wt}_{\text{subst}} \delta \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \delta)$ "  
 shows " $\forall p \in \text{setops}_{sst} B. \exists q \in \text{setops}_{sst} A. \exists \delta.$   
 $\text{fst } p = \text{fst } q \wedge \text{snd } p = \text{snd } q \cdot_p \delta \wedge \text{wt}_{\text{subst}} \delta \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \delta)$ "  
 proof  
 let ?P = " $\lambda \delta. \text{wt}_{\text{subst}} \delta \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \delta)$ "  
 fix p assume " $p \in \text{setops}_{sst} B$ "  
 then obtain b where b: " $b \in \text{set } B$ " " $p \in \text{setops}_{sst} b$ " unfolding setopssst\_def by blast  
 then obtain a  $\delta$  where a: " $a \in \text{set } A$ " " $b = a \cdot_{lsstp} \delta$ " and  $\delta$ : " $\text{wt}_{\text{subst}} \delta$ " " $\text{wf}_{\text{trms}} (\text{subst\_range } \delta)$ "  
 using B by meson  
 hence p: " $p \in \text{setops}_{sst} (A \cdot_{lsst} \delta)$ "  
 using b(2) unfolding setopssst\_def subst\_apply\_labeled\_stateful\_strand\_def by auto  
 obtain X q where q:  
 " $q \in \text{setops}_{sst} A$ " " $\text{fst } p = \text{fst } q$ " " $\text{snd } p = \text{snd } q \cdot_p \text{rm\_vars } X \delta$ "  
 using setopssst\_in\_subst[OF p] by blast  
 show " $\exists q \in \text{setops}_{sst} A. \exists \delta. \text{fst } p = \text{fst } q \wedge \text{snd } p = \text{snd } q \cdot_p \delta \wedge ?P \delta$ "  
 using q wt\_subst\_rm\_vars[OF δ(1)] wf\_trms\_subst\_rm\_vars'[OF δ(2)] by blast  
 qed

lemma deduct\_proj\_priv\_term\_prefix\_ex\_stateful:  
 assumes A: "iksst (proj\_unl 1 A) \cdot\_{set} I \vdash t"  
 and t: " $\neg \{\} \vdash_c t$ "  
 shows " $\exists B k s. (k = \star \vee k = \ln 1) \wedge \text{prefix } (B @ [(k, \text{receive}(s))]) A \wedge$   
 $\text{declassified}_{lsst} ((B @ [(k, \text{receive}(s))])) I = \text{declassified}_{lsst} A I \wedge$   
 $\text{iksst } (\text{proj\_unl } 1 (B @ [(k, \text{receive}(s))])) = \text{iksst } (\text{proj\_unl } 1 A)$ "  
 using A

proof (induction A rule: List.rev\_induct)  
 case Nil  
 have "iksst (proj\_unl 1 []) \cdot\_{set} I = \{\}" by auto  
 thus ?case using Nil t deducts\_eq\_if\_empty\_ik[of t] by argo  
 next  
 case (snoc a A)  
 obtain k b where a: " $a = (k, b)$ " by (metis surj\_pair)  
 let ?P = " $k = \star \vee k = (\ln 1)$ "  
 let ?Q = " $\exists s. b = \text{receive}(s)$ "  
 have 0: " $\text{iksst } (\text{proj\_unl } 1 (A @ [a])) = \text{iksst } (\text{proj\_unl } 1 A)$ " when "?P \implies \neg ?Q"

```

using that iksst_snoc_no_receive_eq[OF that, of I "proj_unl 1 A"]
unfolding iksst_def a by (cases "k = ∗ ∨ k = (ln 1)") auto

have 1: "declassifiedlsst (A@[a]) I = declassifiedlsst A I" when "?P ⟹ ¬?Q"
  using that snoc.prems unfolding declassifiedlsst_alt_def a
  by (metis (no_types, lifting) UnCI UnE empty_iff insert_iff list.set.prod.inject set_append)

note 2 = snoc.prems snoc.IH 0 1

show ?case
proof (cases ?P)
  case True
  note T = this
  thus ?thesis
    proof (cases ?Q)
      case True thus ?thesis using T unfolding a by blast
    qed (use 2 in auto)
  qed (use 2 in auto)
qed

lemma constr_sem_stateful_proj_priv_term_prefix_obtain:
  assumes A': "prefix A' A" "constr_sem_stateful Iτ (proj_unl n A'@[send([t])])"
  and t: "t ∈ Sec - declassifiedlsst A' Iτ" "¬{} ⊢c t" "t · Iτ = t"
  obtains B k' s where
    "k' = ∗ ∨ k' = ln n" "prefix B A'" "suffix [(k', receive(s))] B"
    "declassifiedlsst B Iτ = declassifiedlsst A' Iτ"
    "iklsst (proj n B) = iklsst (proj n A')"
    "constr_sem_stateful Iτ (proj_unl n B@[send([t])])"
    "prefix (proj n B) (proj n A)" "suffix [(k', receive(s))] (proj n B)"
    "t ∈ Sec - declassifiedlsst (proj n B) Iτ"
proof -
  have "iklsst (proj n A') ·set Iτ ⊢ t"
    using A'(2) t(3) strand_sem_append_stateful[of "{}" "{}" "proj_unl n A'" "[send([t])]" Iτ]
    by simp
  then obtain B k' s where B:
    "k' = ∗ ∨ k' = ln n" "prefix B A'" "suffix [(k', receive(s))] B"
    "declassifiedlsst B Iτ = declassifiedlsst A' Iτ"
    "iklsst (proj n B) = iklsst (proj n A')"
    using deduct_proj_priv_term_prefix_ex_stateful[OF _ t(2), of Iτ n A']
    unfolding suffix_def by blast

  have B': "constr_sem_stateful Iτ (proj_unl n B@[send([t])])"
    using B(5) A'(2) strand_sem_append_stateful[of "{}" "{}" "proj_unl n A'" "[send([t])]" Iτ]
    strand_sem_append_stateful[of "{}" "{}" "proj_unl n B" _ Iτ]
    prefix_proj(2)[OF B(2), of n]
    by (metis (no_types, lifting) append_Nil2 prefix_def strand_sem_stateful.simps(2))

  have B'': "prefix (proj n B) (proj n A)" "suffix [(k', receive(s))] (proj n B)"
    "t ∈ Sec - declassifiedlsst (proj n B) Iτ"
    using A' t B(1-4) declassifiedlsst_proj_eq[of B Iτ n]
    unfolding suffix_def prefix_def proj_def by auto

  show ?thesis by (rule that[OF B B' B''])
qed

lemma constr_sem_stateful_star_proj_no_leakage:
  fixes Sec P lbls k
  defines "no_leakage ≡ λA. #Iτ B s.
    prefix B A ∧ s ∈ Sec - declassifiedlsst B Iτ ∧ Iτ ⊢s (unlabel B@[send([s])])"
  assumes Sec: "ground Sec"
  and A: "∀ (l,a) ∈ set A. l = ∗"
  shows "no_leakage A"
proof (rule ccontr)

```

```

assume " $\neg \text{no\_leakage } \mathcal{A}$ "
then obtain  $I B s$  where  $B$ :
  "prefix  $B \mathcal{A}$ " " $s \in \text{Sec} - \text{declassified}_{\text{sst}} B I$ " " $I \models_s (\text{unlabel } B @ [\text{send}(s)])$ "
  unfolding no_leakage_def by blast

have 1: " $\neg (\bigcup \{\text{set } ts \mid ts. \langle \star, \text{receive}(ts) \rangle \in \text{set } (B \cdot_{\text{sst}} I)\} \vdash s)$ "
  using B(2) unfolding declassified_sst_def by fast

have 2: " $\text{ik}_{\text{sst}} (B \cdot_{\text{sst}} I) \vdash s$ "
  using B(2,3) Sec strand_sem_append_stateful[of "{}" "{}" "unlabel B" "[send(s)]" I]
    subst_apply_term_ident[of s I] unlabel_subst[of B] ik_sst_subst[of "unlabel B"]
  by force

have " $l = \star$ " when " $(l, c) \in \text{set } B$ " for  $l c$ 
  using that  $\mathcal{A} B(1)$  set_mono_prefix by blast
hence " $l = \star$ " when " $(l, c) \in \text{set } (B \cdot_{\text{sst}} I)$ " for  $l c$ 
  using that unfolding subst_apply_labeled_stateful_strand_def by auto
hence 3: " $\text{ik}_{\text{sst}} (B \cdot_{\text{sst}} I) = (\bigcup \{\text{set } ts \mid ts. \langle \star, \text{receive}(ts) \rangle \in \text{set } (B \cdot_{\text{sst}} I)\})$ "
  using in_ik_sst_iff[of _ "B \cdot_{\text{sst}} I"] unfolding ik_sst_def unlabel_def by auto

show False using 1 2 3 by force
qed

end

```

#### 6.2.4 Lemmata: Properties of the Constraint Translation Function

```

context labeled_stateful_typed_model
begin

lemma tr_par_labeled_rcv_iff:
  " $B \in \text{set } (\text{tr}_{\text{pc}} A D) \implies (i, \text{receive}(t)_{\text{st}}) \in \text{set } B \iff (i, \text{receive}(t)) \in \text{set } A$ "
  by (induct A D arbitrary: B rule: tr_pc.induct) auto

lemma tr_par_declassified_eq:
  " $B \in \text{set } (\text{tr}_{\text{pc}} A D) \implies \text{declassified}_{\text{st}} B I = \text{declassified}_{\text{sst}} A I$ "
  using tr_par_labeled_rcv_iff unfolding declassified_st_alt_def declassified_sst_alt_def by simp

lemma tr_par_ik_eq:
  assumes " $B \in \text{set } (\text{tr}_{\text{pc}} A D)$ "
  shows " $\text{ik}_{\text{st}} (\text{unlabel } B) = \text{ik}_{\text{sst}} (\text{unlabel } A)$ "
proof -
  have " $\{t. \exists i. (i, \text{receive}(t)_{\text{st}}) \in \text{set } B\} = \{t. \exists i. (i, \text{receive}(t)) \in \text{set } A\}$ "
    using tr_par_labeled_rcv_iff[OF assms] by simp
  moreover have
    " $\bigwedge C. \{t. \exists i. (i, \text{receive}(t)_{\text{st}}) \in \text{set } C\} = \{t. \text{receive}(t)_{\text{st}} \in \text{set } (\text{unlabel } C)\}$ "
    " $\bigwedge C. \{t. \exists i. (i, \text{receive}(t)) \in \text{set } C\} = \{t. \text{receive}(t) \in \text{set } (\text{unlabel } C)\}$ "
    unfolding unlabel_def by force+
  ultimately show ?thesis unfolding ik_sst_def ik_st_is_rcv_set by fast
qed

lemma tr_par_deduct_iff:
  assumes " $B \in \text{set } (\text{tr}_{\text{pc}} A D)$ "
  shows " $\text{ik}_{\text{st}} (\text{unlabel } B) \cdot_{\text{set}} I \vdash t \iff \text{ik}_{\text{sst}} (\text{unlabel } A) \cdot_{\text{set}} I \vdash t$ "
  using tr_par_ik_eq[OF assms] by metis

lemma tr_par_vars_subset:
  assumes " $A' \in \text{set } (\text{tr}_{\text{pc}} A D)$ "
  shows " $\text{fv}_{\text{st}} A' \subseteq \text{fv}_{\text{sst}} (\text{unlabel } A) \cup \text{fv}_{\text{pairs}} (\text{unlabel } D)$  (is ?P)
  and " $\text{bvars}_{\text{st}} A' \subseteq \text{bvars}_{\text{sst}} (\text{unlabel } A)$  (is ?Q)"
proof -
  show ?P using assms
  proof (induction "unlabel A" arbitrary: A A' D rule: strand_sem_stateful_induct)

```

```

case (ConsIn A' D ac t s AA A A')
then obtain i B where iB: "A = (i,ac: t ∈ s)#B" "AA = unlabel B"
  unfolding unlabel_def by atomize_elim auto
then obtain A'' d where *:
  "d ∈ set (dbproj i D)"
  "A' = (i,ac: (pair (t,s)) ≈ (pair (snd d)))#A''"
  "A'' ∈ set (trpc B D)"
  using ConsIn.preds(1) by atomize_elim force
hence "fvlst A'' ⊆ fvsst (unlabel B) ∪ fvpairs (unlabel D)"
  "fv (pair (snd d)) ⊆ fvpairs (unlabel D)"
apply (metis ConsIn.hyps(1)[OF iB(2)])
using fvpairs_mono[OF dbproj_subset[of i D]]
  fv_pair_fvpairs_subset[OF *(1)]
by blast
thus ?case using * iB unfolding pair_def by auto
next
case (ConsDel A' D t s AA A A')
then obtain i B where iB: "A = (i,delete(t,s))#B" "AA = unlabel B"
  unfolding unlabel_def by atomize_elim auto

define fltD1 where "fltD1 = (λDi. filter (λd. d ∉ set Di) D)"
define fltD2 where "fltD2 = (λDi. filter (λd. d ∉ set Di) (dbproj i D))"
define constr where "constr =
  (λDi. (map (λd. (i, check: (pair (t,s)) ≈ (pair (snd d)))#st)) Di)@
  (map (λd. (i, ∀ []⟨V≠: [(pair (t,s), pair (snd d))]#st)) (fltD2 Di)))"

from iB obtain A'' Di where *:
  "Di ∈ set (subseqs (dbproj i D))" "A' = (constr Di)@A''" "A'' ∈ set (trpc B (fltD1 Di))"
  using ConsDel.preds(1) unfolding constr_def fltD1_def fltD2_def by atomize_elim auto
hence "fvlst A'' ⊆ fvsst AA ∪ fvpairs (unlabel (fltD1 Di))"
  unfolding constr_def fltD1_def by (metis ConsDel.hyps(1) iB(2))
hence 1: "fvlst A'' ⊆ fvsst AA ∪ fvpairs (unlabel D)"
  using fvpairs_mono[OF "unlabel (fltD1 Di)" "unlabel D"]
  unfolding unlabel_def fltD1_def by force

have 2: "fvpairs (unlabel Di) ∪ fvpairs (unlabel (fltD1 Di)) ⊆ fvpairs (unlabel D)"
  using subseqs_set_subset(1)[OF *(1)]
  unfolding fltD1_def unlabel_def dbproj_def
  by auto

have 5: "fvlst A' = fvlst (constr Di) ∪ fvlst A''" using * unfolding unlabel_def by force

have "fvlst (constr Di) ⊆ fv t ∪ fv s ∪ fvpairs (unlabel Di) ∪ fvpairs (unlabel (fltD1 Di))"
  unfolding unlabel_def constr_def fltD1_def fltD2_def pair_def dbproj_def by auto
hence 3: "fvlst (constr Di) ⊆ fv t ∪ fv s ∪ fvpairs (unlabel D)" using 2 by blast

have 4: "fvsst (unlabel A) = fv t ∪ fv s ∪ fvsst AA" using iB by auto

have "fvsst (unlabel A') ⊆ fvsst (unlabel A) ∪ fvpairs (unlabel D)" using 1 3 4 5 by blast
thus ?case by metis
next
case (ConsNegChecks A' D X F F' AA A A')
then obtain i B where iB: "A = (i,NegChecks X F F')#B" "AA = unlabel B"
  unfolding unlabel_def by atomize_elim auto

define D' where "D' ≡ ∪ (fvpairs ` set (trpairs F' (unlabel (dbproj i D))))"
define constr where "constr = map (λG. (i, ∀ X⟨V≠: (F@G)⟩#st)) (trpairs F' (map snd (dbproj i D)))"

from iB obtain A'' where *: "A'' ∈ set (trpc B D)" "A' = constr@A''"
  using ConsNegChecks.preds(1) unfolding constr_def by atomize_elim auto
hence "fvlst A'' ⊆ fvsst AA ∪ fvpairs (unlabel D)"
  by (metis ConsNegChecks.hyps(1) iB(2))
hence **: "fvlst A'' ⊆ fvsst AA ∪ fvpairs (unlabel D)" by auto

```

```

have 1: "fvlst constr ⊆ (D' ∪ fvpairs F) - set X"
  unfolding D'_def constr_def unlabeled_def by auto

have "set (unlabel (dbproj i D)) ⊆ set (unlabel D)" unfolding unlabeled_def dbproj_def by auto
hence 2: "D' ⊆ fvpairs F' ∪ fvpairs (unlabel D)"
  using trpairs_vars_subset'[of F' "unlabel (dbproj i D)"] fvpairs_mono
  unfolding D'_def by blast

have 3: "fvlst A' ⊆ ((fvpairs F' ∪ fvpairs F) - set X) ∪ fvpairs (unlabel D) ∪ fvlst A''"
  using 1 2 *(2) unfolding unlabeled_def by fastforce

have 4: "fvsst AA ⊆ fvsst (unlabel A)" by (metis ConsNegChecks.hyps(2) fvsst_cons_subset)

have 5: "fvpairs F' ∪ fvpairs F - set X ⊆ fvsst (unlabel A)"
  using ConsNegChecks.hyps(2) unfolding unlabeled_def by force

show ?case using ** 3 4 5 by blast
qed (fastforce simp add: unlabeled_def)+

show ?Q using assms
  apply (induct "unlabel A" arbitrary: A A' D rule: strand_sem_stateful_induct)
  by (fastforce simp add: unlabeled_def)+
qed

lemma trpar_vars_disj:
  assumes "A' ∈ set (trpc A D)" "fvpairs (unlabel D) ∩ bvarssst (unlabel A) = {}"
  and "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}"
  shows "fvlst A' ∩ bvarslst A' = {}"
using assms trpar_vars_subset by fast

lemma trpar_trms_subset:
  assumes "A' ∈ set (trpc A D)"
  shows "trmslst A' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
using assms
proof (induction A D arbitrary: A' rule: trpc.induct)
  case 1 thus ?case by simp
next
  case (2 i t A D)
  then obtain A'' where A'': "A' = (i, send(t)st)#A''" "A'' ∈ set (trpc A D)" by atomize_elim auto
  hence "trmslst A'' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
    by (metis "2.IH")
  thus ?case using A'' by (auto simp add: setopssst_def)
next
  case (3 i t A D)
  then obtain A'' where A'': "A' = (i, receive(t)st)#A''" "A'' ∈ set (trpc A D)"
    by atomize_elim auto
  hence "trmslst A'' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
    by (metis "3.IH")
  thus ?case using A'' by (auto simp add: setopssst_def)
next
  case (4 i ac t t' A D)
  then obtain A'' where A'': "A' = (i, ac: t = t')#A''" "A'' ∈ set (trpc A D)"
    by atomize_elim auto
  hence "trmslst A'' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
    by (metis "4.IH")
  thus ?case using A'' by (auto simp add: setopssst_def)
next
  case (5 i t s A D)
  hence "A' ∈ set (trpc A (List.insert (i, t, s) D))" by simp
  hence "trmslst A' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪
    pair ` snd ` set (List.insert (i, t, s) D)"
    by (metis "5.IH")

```

```

thus ?case by (auto simp add: setopssst_def)
next
  case (6 i t s A D)
  from 6 obtain Di A'' B C where A'':
    "Di ∈ set (subseqs (dbproj i D))" "A'' ∈ set (trpc A [d←D. d ∉ set Di])" "A' = (B@C)@A''"
    "B = map (λd. (i, check: (pair (t,s)) ≈ (pair (snd d))st)) Di"
    "C = map (λd. (i, ∀ [](v≠: [(pair (t,s), pair (snd d))]st)) [d←dbproj i D. d ∉ set Di]"
    by atomize_elim auto
  hence "trmslst A'' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪
        pair ` snd ` set [d←D. d ∉ set Di]"
    by (metis "6.IH")
  moreover have "set [d←D. d ∉ set Di] ⊆ set D" using set_filter by auto
  ultimately have
    "trmslst A'' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
    by blast
  hence "trmslst A'' ⊆ trmssst (unlabel ((i, delete(t,s))#A)) ∪
        pair ` setopssst (unlabel ((i, delete(t,s))#A)) ∪
        pair ` snd ` set D"
    using setopssst_cons_subset trmssst_cons
    by (auto simp add: setopssst_def)
  moreover have "set Di ⊆ set D" "set [d←dbproj i D . d ∉ set Di] ⊆ set D"
    using subseqs_set_subset[OF A''(1)] unfolding dbproj_def by auto
  hence "trmsst (unlabel B) ⊆ insert (pair (t, s)) (pair ` snd ` set D)"
    "trmsst (unlabel C) ⊆ insert (pair (t, s)) (pair ` snd ` set D)"
    using A''(4,5) unfolding unlabel_def by auto
  hence "trmsst (unlabel (B@C)) ⊆ insert (pair (t,s)) (pair ` snd ` set D)"
    using unlabel_append[of B C] by auto
  moreover have "pair (t,s) ∈ pair ` setopssst (delete(t,s)#unlabel A)" by (simp add: setopssst_def)
  ultimately show ?case
    using A''(3) trmsst_append[of "unlabel (B@C)" "unlabel A'"] unlabel_append[of "B@C" A'']
    by (auto simp add: setopssst_def)
next
  case (7 i ac t s A D)
  from 7 obtain d A'' where A'':
    "d ∈ set (dbproj i D)" "A'' ∈ set (trpc A D)"
    "A' = (i,⟨ac: (pair (t,s)) ≈ (pair (snd d))st)#A''"
    by atomize_elim force
  hence "trmslst A'' ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪
        pair ` snd ` set D"
    by (metis "7.IH")
  moreover have "trmsst (unlabel A') = {pair (t,s), pair (snd d)} ∪ trmsst (unlabel A'')"
    using A''(1,3) by auto
  ultimately show ?case using A''(1) unfolding dbproj_def by (auto simp add: setopssst_def)
next
  case (8 i X F F' A D)
  define constr where "constr = map (λG. (i, ∀ X(v≠: (F@G))st)) (trpairs F' (map snd (dbproj i D)))"
  define B where "B ≡ ∪ (trpairs ` set (trpairs F' (map snd (dbproj i D))))"
  from 8 obtain A'' where A'':
    "A'' ∈ set (trpc A D)" "A' = constr@A''"
    unfolding constr_def by atomize_elim auto
  have "trmsst (unlabel A'') ⊆ trmssst (unlabel A) ∪ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
    by (metis A''(1) "8.IH")
  moreover have "trmsst (unlabel constr) ⊆ B ∪ trmspairs F ∪ pair ` snd ` set D"
    unfolding unlabel_def constr_def B_def by auto
  ultimately have "trmsst (unlabel A') ⊆ B ∪ trmspairs F ∪ trmssst (unlabel A) ∪
                pair ` setopssst (unlabel A) ∪ pair ` snd ` set D"
    using A'' unlabel_append[of constr A''] by auto
  moreover have "set (dbproj i D) ⊆ set D" unfolding dbproj_def by auto
  hence "B ⊆ pair ` set F' ∪ pair ` snd ` set D"
    using trpairs_trms_subset'[of F' "map snd (dbproj i D)"]
    unfolding B_def by force

```

```

moreover have
  "pair ` setopssst (unlabel ((i,  $\forall X(\nexists F \in F' \wedge F \in F')$ )#A)) =
   pair ` set F'  $\cup$  pair ` setopssst (unlabel A)"
  by auto
ultimately show ?case by (auto simp add: setopssst_def)
qed

```

**lemma** *tr\_par\_wf\_trms*:

```

assumes "A'  $\in$  set (trpc A [])" "wftrms (trmssst (unlabel A))"
shows "wftrms (trmslst A')"
using tr_par_trms_subset[OF assms(1)] setopssst_wftrms(2)[OF assms(2)]
by auto

```

**lemma** *tr\_par\_wf'*:

```

assumes "fvpairs (unlabel D)  $\cap$  bvarssst (unlabel A) = {}"
and "fvpairs (unlabel D)  $\subseteq$  X"
and "wf'sst X (unlabel A)" "fvsst (unlabel A)  $\cap$  bvarssst (unlabel A) = {}"
and "A'  $\in$  set (trpc A D)"
shows "wflst X A'"

```

**proof -**

```

define P where
  "P = ( $\lambda(D:(('fun,'var,'lbl) labeledbstatelist) (A:(('fun,'var,'lbl) labeled_stateful_strand)).$ 
     $(fv_{pairs} (unlabel D) \cap bvars_{sst} (unlabel A) = \{\}) \wedge$ 
     $fv_{sst} (unlabel A) \cap bvars_{sst} (unlabel A) = \{\})$ "
```

have "P D A" using assms(1,4) by (simp add: P\_def)

with assms(5,3,2) show ?thesis

**proof** (induction A arbitrary: X A' D)

```

  case Nil thus ?case by simp
next
  case (Cons a A)
    obtain i s where i: "a = (i,s)" by (metis surj_pair)
    note prems = Cons.prems
    note IH = Cons.IH
    show ?case
      proof (cases s)
        case (Receive ts)
          note si = Receive i
          then obtain A'' where A'':=
            "A' = (i, receive(ts)st)#A'''" "A'''  $\in$  set (trpc A D)" "fvset (set ts)  $\subseteq$  X"
            using prems unlabel_Cons(1)[of i s A] by atomize_elim auto
          have *: "wf'sst X (unlabel A)"
            "fvpairs (unlabel D)  $\subseteq$  X"
            "P D A"
            using prems si apply (force, force)
            using prems(4) si unfolding P_def by fastforce
          show ?thesis using IH[OF A''(2) *] A''(1,3) by simp
      next
        case (Send ts)
          note si = Send i
          then obtain A'' where A'':= "A' = (i, send(ts)st)#A'''" "A'''  $\in$  set (trpc A D)"
            using prems by atomize_elim auto
          have *: "wfsst (X  $\cup$  fvset (set ts)) (unlabel A)"
            "fvpairs (unlabel D)  $\subseteq$  X  $\cup$  fvset (set ts)"
            "P D A"
            using prems si apply (force, force)
            using prems(4) si unfolding P_def by fastforce
          show ?thesis using IH[OF A''(2) *] A''(1) by simp
      next
        case (Equality ac t t')
        note si = Equality i
        then obtain A'' where A'':=
          "A' = (i, (ac: t  $\doteq$  t')st)#A'''" "A'''  $\in$  set (trpc A D)"

```

```

"ac = Assign ==> fv t' ⊆ X"
using prems unlabel_Cons(1)[of i s] by atomize_elim force
have *: "ac = Assign ==> wf'sst (X ∪ fv t) (unlabel A)"
"ac = Check ==> wf'sst X (unlabel A)"
"ac = Assign ==> fv_pairs (unlabel D) ⊆ X ∪ fv t"
"ac = Check ==> fv_pairs (unlabel D) ⊆ X"
"P D A"

using prems si apply (force, force, force, force)
using prems(4) si unfolding P_def by fastforce
show ?thesis
using IH[OF A''(2)*(1,3,5)] IH[OF A''(2)*(2,4,5)] A''(1,3)
by (cases ac) simp_all
next
case (Insert t t')
note si = Insert i
hence A': "A' ∈ set (tr_pc A (List.insert (i,t,t') D))" "fv t ⊆ X" "fv t' ⊆ X"
  using prems by auto
have *: "wf'sst X (unlabel A)" "fv_pairs (unlabel (List.insert (i,t,t') D)) ⊆ X"
  using prems si by (auto simp add: unlabel_def)
have **: "P (List.insert (i,t,t') D) A"
  using prems(4) si
  unfolding P_def unlabel_def
  by fastforce
show ?thesis using IH[OF A'(1) ***] A'(2,3) by simp
next
case (Delete t t')
note si = Delete i
define constr where "constr = (λDi.
  (map (λd. (i,⟨check: (pair (t,t')) ≐ (pair (snd d)))⟩st)) Di) @
  (map (λd. (i,∀[]⟨v ≠: [(pair (t,t'), pair (snd d))]⟩st)) [d ← dbproj i D. d ∉ set Di]))"
from prems si obtain Di A'' where A'':
  "A' = constr Di @ A''" "A'' ∈ set (tr_pc A [d ← D. d ∉ set Di])"
  "Di ∈ set (subseqs (dbproj i D))"
  unfolding constr_def by auto
have *: "wf'sst X (unlabel A)"
  "fv_pairs (unlabel (filter (λd. d ∉ set Di) D)) ⊆ X"
  using prems si apply simp
  using prems si by (fastforce simp add: unlabel_def)

have "fv_pairs (unlabel (filter (λd. d ∉ set Di) D)) ⊆ fv_pairs (unlabel D)"
  by (auto simp add: unlabel_def)
hence **: "P [d ← D. d ∉ set Di] A"
  using prems si unfolding P_def
  by fastforce

have ***: "fv_pairs (unlabel D) ⊆ X" using prems si by auto
show ?thesis
using IH[OF A''(2) ***] A''(1) wf_pair_eqs_ineqs_map'[OF _ A''(3) ***]
unfolding constr_def by simp
next
case (InSet ac t t')
note si = InSet i
then obtain d A'' where A'':
  "A' = (i,⟨ac: (pair (t,t')) ≐ (pair (snd d)))⟩st) # A''"
  "A'' ∈ set (tr_pc A D)"
  "d ∈ set D"
  using prems by (auto simp add: dbproj_def)
have *:
  "ac = Assign ==> wf'sst (X ∪ fv t ∪ fv t') (unlabel A)"
  "ac = Check ==> wf'sst X (unlabel A)"
  "ac = Assign ==> fv_pairs (unlabel D) ⊆ X ∪ fv t ∪ fv t'"
  "ac = Check ==> fv_pairs (unlabel D) ⊆ X"
  "P D A"

```

```

using prems si apply (force, force, force, force)
using prems(4) si unfolding P_def by fastforce
have **: "fv (pair (snd d)) ⊆ X"
  using A''(3) prems(3) fv_pair_fv_pairs_subset
  by fast
have ***: "fv (pair (t,t')) = fv t ∪ fv t'" unfolding pair_def by auto
show ?thesis
  using IH[OF A''(2) *(1,3,5)] IH[OF A''(2) *(2,4,5)] A''(1) ** ***
  by (cases ac) (simp_all add: Un_assoc)
next
case (NegChecks Y F F')
note si = NegChecks i
then obtain A'' where A'':
  "A' = (map (λG. (i, ∀Y(¬=: (F@G))_st)) (tr_pairs F' (map snd (dbproj i D))))@A''"
  "A'' ∈ set (tr_pc A D)"
  using prems by atomize_elim auto

have *: "wf'_sst X (unlabel A)" "fv_pairs (unlabel D) ⊆ X" using prems si by auto

have "bvars_sst (unlabel A) ⊆ bvars_sst (unlabel ((i, ∀Y(¬=: F ∨¬=: F'))#A))"
  "fv_sst (unlabel A) ⊆ fv_sst (unlabel ((i, ∀Y(¬=: F ∨¬=: F'))#A))"
  by auto
hence **: "P D A" using prems si unfolding P_def by blast

show ?thesis using IH[OF A''(2) **] A''(1) wf_pair_negchecks_map' by simp
qed
qed
qed

lemma tr_par_wf:
assumes "A' ∈ set (tr_pc A [])"
  and "wf_sst (unlabel A)"
  and "wf_trms (trms_sst A)"
shows "wf_lst {} A'"
  and "wf_trms (trms_lst A')"
  and "fv_lst A' ∩ bvars_lst A' = {}"
using tr_par_wf[OF _ _ _ assms(1)]
  tr_par_wf_trms[OF assms(1,3)]
  tr_par_vars_disj[OF assms(1)]
  assms(2)
by fastforce+

```

```

lemma tr_par_proj:
assumes "B ∈ set (tr_pc A D)"
shows "proj n B ∈ set (tr_pc (proj n A) (proj n D))"
using assms
proof (induction A D arbitrary: B rule: tr_pc.induct)
  case (5 i t s S D)
  note prems = "5.prems"
  note IH = "5.IH"
  have IH': "proj n B ∈ set (tr_pc (proj n S) (proj n (List.insert (i,t,s) D)))"
    using prems IH by auto
  show ?case
  proof (cases "(i = ln n) ∨ (i = ∗)")
    case True thus ?thesis
      using IH' proj_list_insert(1,2)[of n "(t,s)" D] proj_list_Cons(1,2)[of n _ S]
      by auto
  next
    case False
    then obtain m where "i = ln m" "n ≠ m" by (cases i) simp_all
    thus ?thesis
      using IH' proj_list_insert(3)[of n _ "(t,s)" D] proj_list_Cons(3)[of n _ "insert(t,s)" S]
      by auto
  qed

```

```

qed
next
  case (6 i t s S D)
  note prems = "6.prems"
  note IH = "6.IH"
  define constr where "constr = (λDi D.
    (map (λd. (i,⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st)) Di) @
    (map (λd. (i,∀ []⟨≠: [(pair (t,s), pair (snd d))⟩st])) [d←dbproj i D. d ∉ set Di]))"

obtain Di B' where B':
  "B = constr Di D@B'"
  "Di ∈ set (subseqs (dbproj i D))"
  "B' ∈ set (trpc S [d←D. d ∉ set Di])"
  using prems constr_def by fastforce
hence "proj n B' ∈ set (trpc (proj n S) (proj n [d←D. d ∉ set Di]))" using IH by simp
hence IH': "proj n B' ∈ set (trpc (proj n S) [d←proj n D. d ∉ set Di])" by (metis proj_filter)
show ?case
proof (cases "(i = ln n) ∨ (i = *)")
  case True
  hence "proj n B = constr Di D@proj n B'" "Di ∈ set (subseqs (dbproj i (proj n D)))"
    using B'(1,2) proj_dbproj(1,2)[of n D] unfolding constr_def by auto
  moreover have "constr Di (proj n D) = constr Di D"
    using True proj_dbproj(1,2)[of n D] unfolding constr_def by presburger
  ultimately have "proj n B ∈ set (trpc ((i, delete⟨t,s⟩) # proj n S) (proj n D))"
    using IH' unfolding constr_def by force
  thus ?thesis by (metis proj_list_Cons(1,2) True)
next
  case False
  then obtain m where m: "i = ln m" "n ≠ m" by (cases i) simp_all
  hence *: "(ln n) ≠ i" by simp
  have "proj n B = proj n B'" using B'(1) False unfolding constr_def proj_def by auto
  moreover have "[d←proj n D. d ∉ set Di] = proj n D"
    using proj_subseq[OF _ m(2)[symmetric]] m(1) B'(2) by simp
  ultimately show ?thesis using m(1) IH' proj_list_Cons(3)[OF m(2), of _ S] by auto
qed
next
  case (7 i ac t s S D)
  note prems = "7.prems"
  note IH = "7.IH"
  define constr where "constr = (
    λd::'lbl strand_label × ('fun, 'var) term × ('fun, 'var) term.
    (i,⟨ac: (pair (t,s)) ≡ (pair (snd d))⟩st))"

obtain d B' where B':
  "B = constr d#B'"
  "d ∈ set (dbproj i D)"
  "B' ∈ set (trpc S D)"
  using prems constr_def by fastforce
hence IH': "proj n B' ∈ set (trpc (proj n S) (proj n D))" using IH by auto

show ?case
proof (cases "(i = ln n) ∨ (i = *)")
  case True
  hence "proj n B = constr d#proj n B'" "d ∈ set (dbproj i (proj n D))"
    using B' proj_list_Cons(1,2)[of n _ B'] unfolding constr_def by (force, metis proj_dbproj(1,2))
  hence "proj n B ∈ set (trpc ((i, InSet ac t s) # proj n S) (proj n D))"
    using IH' unfolding constr_def by auto
  thus ?thesis using proj_list_Cons(1,2)[of n _ S] True by metis
next
  case False
  then obtain m where m: "i = ln m" "n ≠ m" by (cases i) simp_all

```

```

hence "proj n B = proj n B'" using B'(1) proj_list_Cons(3) unfolding constr_def by auto
thus ?thesis
  using IH' m proj_list_Cons(3)[OF m(2), of "InSet ac t s" S]
  unfolding constr_def
  by auto
qed
next
case (8 i X F F' S D)
note prems = "8.prems"
note IH = "8.IH"

define constr where
"constr = ( $\lambda D. \text{map } (\lambda G. (i, \forall X \langle \forall \neq: (F @ G) \rangle_{st})) (\text{tr}_\text{pairs} F' (\text{map } \text{snd} (\text{dbproj} i D))))")"

obtain B' where B':
  "B = constr D @ B''"
  "B' \in \text{set } (\text{tr}_\text{pc} S D)"
  using prems constr_def by fastforce
hence IH': "proj n B' \in \text{set } (\text{tr}_\text{pc} (\text{proj} n S) (\text{proj} n D))" using IH by auto

show ?case
proof (cases "(i = ln n) \vee (i = *)")
  case True
  hence "proj n B = constr (\text{proj} n D) @ \text{proj} n B''"
    using B'(1,2) proj_dbproj(1,2)[of n D] unfolding proj_def constr_def by auto
  hence "proj n B \in \text{set } (\text{tr}_\text{pc} ((i, \text{NegChecks} X F F') \# \text{proj} n S) (\text{proj} n D))"
    using IH' unfolding constr_def by auto
  thus ?thesis using proj_list_Cons(1,2)[of n _ S] True by metis
next
  case False
  then obtain m where m: "i = ln m" "n \neq m" by (cases i) simp_all
  hence "proj n B = proj n B'" using B'(1) unfolding constr_def proj_def by auto
  thus ?thesis
    using IH' m proj_list_Cons(3)[OF m(2), of "NegChecks X F F'" S]
    unfolding constr_def
    by auto
qed
qed (force simp add: proj_def)+

lemma tr_par_preserves_par_comp:
  assumes "par_complsst A Sec" "A' \in \text{set } (\text{tr}_\text{pc} A [])"
  shows "par_comp A' Sec"
proof -
  let ?M = "\lambda l. \text{trms}_{sst} (\text{proj\_unl} l A) \cup \text{pair} ` \text{setops}_{sst} (\text{proj\_unl} l A)"
  let ?N = "\lambda l. \text{trms}_{projlst} l A'"

  have 0: "\forall l1 l2. l1 \neq l2 \longrightarrow GSMP_disjoint (?M l1) (?M l2) Sec"
    using assms(1) unfolding par_complsst_def by simp_all

  { fix l1 l2 :: 'lbl assume *: "l1 \neq l2"
    hence "GSMP_disjoint (?M l1) (?M l2) Sec" using 0(1) by metis
    moreover have "pair ` snd ` set (\text{proj} n []) = {}" for n :: 'lbl unfolding proj_def by simp
    hence "?N l1 \subseteq ?M l1" "?N l2 \subseteq ?M l2"
      using tr_par_trms_subset[OF tr_par_proj[OF assms(2)]] by (metis Un_empty_right)+
    ultimately have "GSMP_disjoint (?N l1) (?N l2) Sec"
      using GSMP_disjoint_subset by presburger
  } hence 1: "\forall l1 l2. l1 \neq l2 \longrightarrow GSMP_disjoint (\text{trms}_{projlst} l1 A') (\text{trms}_{projlst} l2 A') Sec"
    using 0(1) by metis

  have 2: "ground Sec" "\forall s \in Sec. \neg \{} \vdash_c s"
    using assms(1) unfolding par_complsst_def by metis+

  show ?thesis using 1 2 unfolding par_comp_def by metis$ 
```

qed

```

lemma tr_preserves_receives:
  assumes "E ∈ set (trpc F D)" "(l, receive(t)) ∈ set F"
  shows "(l, receive(t)st) ∈ set E"
  using assms by (induct F D arbitrary: E rule: trpc.induct) auto

lemma tr_preserves_last_receive:
  assumes "E ∈ set (trpc F D)" "suffix [(l, receive(t)st)] E"
  shows "∃ G. suffix ((l, receive(t))#G) F ∧ list_all (Not ∘ is_Receive ∘ snd) G"
    (is "∃ G. ?P G F ∧ ?Q G")
  using assms
proof (induction F D arbitrary: E rule: trpc.induct)
  case (1 D) thus ?case by simp
next
  case (2 i t' S D)
  note prems = "2.prems"
  note IH = "2.IH"
  obtain E' where E': "E = (i, send(t')st)#E'" "E' ∈ set (trpc S D)"
    using prems(1) by auto
  obtain G where G: "?P G S" "?Q G"
    using suffix_Cons'[OF prems(2)[unfolded E'(1)]] IH[OF E'(2)] by blast
  show ?case by (metis suffix_Cons G)
next
  case (3 i t' S D)
  note prems = "3.prems"
  note IH = "3.IH"
  obtain E' where E': "E = (i, receive(t')st)#E'" "E' ∈ set (trpc S D)"
    using prems(1) by auto
  show ?case using suffix_Cons'[OF prems(2)[unfolded E'(1)]]
  proof
    assume "suffix [(l, receive(t)st)] E'"
    then obtain G where G: "?P G S" "?Q G"
      using IH[OF E'(2)] by blast
    show ?thesis by (metis suffix_Cons G)
  next
    assume "(i, receive(t')st) = (l, receive(t)st) ∧ E' = []"
    hence *: "i = l" "t' = t" "E' = []" by simp_all
    show ?thesis
      using tr_preserves_receives[OF E'(2)]
      unfolding * list_all_iff is_Receive_def by fastforce
  qed
next
  case (4 i ac t' t'' S D)
  note prems = "4.prems"
  note IH = "4.IH"
  obtain E' where E': "E = (i, (ac: t' ≡ t'')st)#E'" "E' ∈ set (trpc S D)"
    using prems(1) by auto
  obtain G where G: "?P G S" "?Q G"
    using suffix_Cons'[OF prems(2)[unfolded E'(1)]] IH[OF E'(2)] by blast
  show ?case by (metis suffix_Cons G)
next
  case (5 i t' s S D)
  note prems = "5.prems"
  note IH = "5.IH"

```

```

have "E ∈ set (trpc S (List.insert (i,t',s) D))" using prems(1) by auto
thus ?case by (metis IH[OF _ prems(2)] suffix_Cons)
next
  case (6 i t' s S D)
  note prems = "6.prems"
  note IH = "6.IH"

  define constr where "constr = (λDi.
    (map (λd. (i,⟨check: (pair (t',s)) ≈ (pair (snd d))⟩st)) Di)@
    (map (λd. (i,∀ []⟨≠: [(pair (t',s), pair (snd d))]⟩st))
      (filter (λd. d ∉ set Di) (dbproj i D))))"

  obtain E' Di where E':
    "E = constr Di@E'" "E' ∈ set (trpc S (filter (λd. d ∉ set Di) D))"
    "Di ∈ set (subseqs (dbproj i D))"
  using prems(1) unfolding constr_def by auto

  have "receive(t)st ∉ snd ` set (constr Di)" unfolding constr_def by force
  hence "¬suffix [(1, receive(t)st)] (constr Di)" unfolding suffix_def by auto
  hence "1 ≤ length E'" using prems(2) E'(1) by (cases E') auto
  hence "suffix [(1, receive(t)st)] E'"
    using suffix_length_suffix[OF prems(2) suffixI[OF E'(1)]] by simp
  thus ?case by (metis IH[OF E'(3,2)] suffix_Cons)
next
  case (7 i ac t' s S D)
  note prems = "7.prems"
  note IH = "7.IH"

  define constr where "constr = (
    λd:((lbl strand_label × ('fun,'var) term × ('fun,'var) term)).
    (i,⟨ac: (pair (t',s)) ≈ (pair (snd d))⟩st))"

  obtain E' d where E': "E = constr d#E'" "E' ∈ set (trpc S D)" "d ∈ set (dbproj i D)"
  using prems(1) unfolding constr_def by auto

  have "receive(t)st ≠ snd (constr d)" unfolding constr_def by force
  hence "suffix [(1, receive(t)st)] E'" using prems(2) E'(1) suffix_Cons' by fastforce
  thus ?case by (metis IH[OF E'(2)] suffix_Cons)
next
  case (8 i X G G' S D)
  note prems = "8.prems"
  note IH = "8.IH"

  define constr where
    "constr = map (λH. (i,∀ X⟨≠: (G@H)⟩st)) (trpairs G' (map snd (dbproj i D)))"

  obtain E' where E': "E = constr@E'" "E' ∈ set (trpc S D)"
  using prems(1) constr_def by auto

  have "receive(t)st ∉ snd ` set constr" unfolding constr_def by force
  hence "¬suffix [(1, receive(t)st)] constr" unfolding suffix_def by auto
  hence "1 ≤ length E'" using prems(2) E'(1) by (cases E') auto
  hence "suffix [(1, receive(t)st)] E'"
    using suffix_length_suffix[OF prems(2) suffixI[OF E'(1)]] by simp
  thus ?case by (metis IH[OF E'(2)] suffix_Cons)
qed

lemma tr_leaking_prefix_exists:
  assumes "A' ∈ set (trpc A [])" "prefix B A'" "ikst (proj_unl n B) ·set I ⊢ t"
  shows "∃ C D. prefix C B ∧ prefix D A ∧ C ∈ set (trpc D []) ∧ (ikst (proj_unl n C) ·set I ⊢ t) ∧
    (¬{} ⊢c t → (∃ l s G. suffix ((1, receive(s))#G) D ∧ list_all (Not ∘ is_Receive ∘
    snd) G))"

```

```

proof -
let ?P = " $\lambda B C C'. B = C @ C' \wedge (\forall n t. (n, receive(t)_{st}) \notin set C') \wedge$ 
           $(C = [] \vee (\exists n t. suffix [(n, receive(t)_{st})] C))$ "
```

have " $\exists C C'. ?P B C C'$ "

proof (induction B)

case (Cons b B)

then obtain C C' n s where \*: "?P B C C'" "b = (n, s)" by atomize\_elim auto

show ?thesis

proof (cases "C = [])")

case True

note T = True

show ?thesis

proof (cases "exists t. s = receive(t)\_{st}")

case True

hence "?P (b#B) [b] C'" using \* T by auto

thus ?thesis by metis

next

case False

hence "?P (b#B) [] (b#C')" using \* T by auto

thus ?thesis by metis

qed

next

case False

hence "?P (b#B) (b#C) C'" using \* unfolding suffix\_def by auto

thus ?thesis by metis

qed

qed simp

then obtain C C' where C:

$B = C @ C' \wedge \forall n t. (n, receive(t)_{st}) \notin set C'$

$C = [] \vee (\exists n t. suffix [(n, receive(t)_{st})] C)$

by atomize\_elim auto

hence 1: "prefix C B" by simp

hence 2: "prefix C A'" using assms(2) by simp

have " $\bigwedge m t. (m, receive(t)_{st}) \in set B \implies (m, receive(t)_{st}) \in set C$ " using C by auto

hence " $\bigwedge t. receive(t)_{st} \in set (proj_unl n B) \implies receive(t)_{st} \in set (proj_unl n C)$ "

unfolding unlabeled\_def proj\_def by force

hence "ik\_{st} (proj\_unl n B) \subseteq ik\_{st} (proj\_unl n C)" using ik\_st\_is\_rcv\_set by blast

hence 3: "ik\_{st} (proj\_unl n C) \cdot set I \vdash t" by (metis ideduct\_mono[OF assms(3)] subst\_all\_mono)

have " $\exists F. prefix F A \wedge E \in set (tr_{pc} F D)$ "

when "suffix [(m, receive(t)\_{st})] E" "prefix E A'" "A' \in set (tr\_{pc} A D)" for D E m t

using that

proof (induction A D arbitrary: A' E rule: tr\_pc.induct)

case (1 D) thus ?case by simp

next

case (2 i t' S D)

note prems = "2.prems"

note IH = "2.IH"

obtain A'' where \*: "A' = (i, send(t')\_{st}) \# A''" "A'' \in set (tr\_{pc} S D)"

using prems(3) by auto

have "E \neq []" using prems(1) by auto

then obtain E' where \*\*: "E = (i, send(t')\_{st}) \# E'"

using \*(1) prems(2) by (cases E) auto

hence "suffix [(m, receive(t)\_{st})] E'" "prefix E' A''"

using \*(1) prems(1,2) suffix\_Cons[of \_ \_ E'] by auto

then obtain F where "prefix F S" "E' \in set (tr\_{pc} F D)"

using \*(2) \*\* IH by metis

hence "prefix ((i, Send t') \# F) ((i, Send t') \# S)" "E \in set (tr\_{pc} ((i, Send t') \# F) D)"

using \*\* by auto

thus ?case by metis

next

```

case (3 i t' S D)
note prems = "3.prems"
note IH = "3.IH"
obtain A'' where *: "A' = (i, receive(t')st)#A'" "A'' ∈ set (trpc S D)"
  using prems(3) by auto
have "E ≠ []" using prems(1) by auto
then obtain E' where **: "E = (i, receive(t')st)#E'"
  using *(1) prems(2) by (cases E) auto
show ?case
proof (cases "(m, receive(t)st) = (i, receive(t')st)")
  case True
    note T = True
    show ?thesis
    proof (cases "suffix [(m, receive(t)st)] E'")
      case True
        hence "suffix [(m, receive(t)st)] E'" "prefix E' A''"
          using ** *(1) prems(1,2) by auto
      then obtain F where "prefix F S" "E' ∈ set (trpc F D)"
        using *(2) ** IH by metis
        hence "prefix ((i, receive(t'))#F) ((i, receive(t'))#S)"
          "E ∈ set (trpc ((i, receive(t'))#F) D)"
        using ** by auto
        thus ?thesis by metis
    next
      case False
        hence "E' = []"
        using **(1) T prems(1)
        suffix_Cons[of "[(m, receive(t)st)]" "(m, receive(t)st)" E']
        by auto
        hence "prefix [(i, receive(t'))] ((i, receive(t')) # S) ∧ E ∈ set (trpc [(i, receive(t'))] D)"
          using * ** prems by auto
        thus ?thesis by metis
    qed
  next
  case False
    hence "suffix [(m, receive(t)st)] E'" "prefix E' A''"
      using ** *(1) prems(1,2) suffix_Cons[of _ _ E'] by auto
    then obtain F where "prefix F S" "E' ∈ set (trpc F D)" using *(2) ** IH by metis
    hence "prefix ((i, receive(t'))#F) ((i, receive(t'))#S)" "E ∈ set (trpc ((i, receive(t'))#F) D)"
      using ** by auto
    thus ?thesis by metis
  qed
next
case (4 i ac t' t'' S D)
note prems = "4.prems"
note IH = "4.IH"
obtain A'' where *: "A' = (i, ac: t' ≡ t'')st#A'" "A'' ∈ set (trpc S D)"
  using prems(3) by auto
have "E ≠ []" using prems(1) by auto
then obtain E' where **: "E = (i, ac: t' ≡ t'')st#E'"
  using *(1) prems(2) by (cases E) auto
hence "suffix [(m, receive(t)st)] E'" "prefix E' A''"
  using *(1) prems(1,2) suffix_Cons[of _ _ E'] by auto
then obtain F where "prefix F S" "E' ∈ set (trpc F D)"
  using *(2) ** IH by metis
hence "prefix ((i, Equality ac t' t'')#F) ((i, Equality ac t' t'')#S)"
  "E ∈ set (trpc ((i, Equality ac t' t'')#F) D)"
  using ** by auto
thus ?case by metis
next
case (5 i t' s S D)
note prems = "5.prems"
note IH = "5.IH"

```

```

have *: "A' ∈ set (trpc S (List.insert (i,t',s) D))" using prems(3) by auto
have "E ≠ []" using prems(1) by auto
hence "suffix [(m, receive(t)st)] E" "prefix E A'"
  using *(1) prems(1,2) suffix_Cons[of _ _ E] by auto
then obtain F where "prefix F S" "E ∈ set (trpc F (List.insert (i,t',s) D))"
  using * IH by metis
hence "prefix ((i,insert(t',s))#F) ((i,insert(t',s))#S)"
  "E ∈ set (trpc ((i,insert(t',s))#F) D)"
  by auto
thus ?case by metis
next
  case (6 i t' s S D)
  note prems = "6.prems"
  note IH = "6.IH"

  define constr where "constr = (λDi.
    (map (λd. (i,⟨check: (pair (t',s)) ≈ (pair (snd d))⟩st)) Di) @
    (map (λd. (i,∀ []\Vdash: [(pair (t',s), pair (snd d))])st))
    (filter (λd. d ∉ set Di) (dbproj i D))))"

  obtain A'' Di where *:
    "A' = constr Di @ A'" "A'' ∈ set (trpc S (filter (λd. d ∉ set Di) D))"
    "Di ∈ set (subseqs (dbproj i D))"
    using prems(3) constr_def by auto
  have ***: "(m, receive(t)st) ∉ set (constr Di)" using constr_def by auto
  have "E ≠ []" using prems(1) by auto
  then obtain E' where **: "E = constr Di @ E''"
    using *(1) prems(1,2) ***
    by (metis (mono_tags, lifting) Un_iff list.set_intro(1) prefixI prefix_def
      prefix_same_cases set_append suffix_def)
  hence "suffix [(m, receive(t)st)] E'" "prefix E' A''"
    using *(1) prems(1,2) suffix_append[of "[m,receive(t)st]"] "constr Di" E' ] ***
    by (metis (no_types, opaque_lifting) Nil_suffix_append_Nil2 in_set_conv_decomp rev_exhaust
      snoc_suffix_snoc suffix_appendD,
      auto)
  then obtain F where "prefix F S" "E' ∈ set (trpc F (filter (λd. d ∉ set Di) D))"
    using *(2,3) ** IH by metis
  hence "prefix ((i,delete(t',s))#F) ((i,delete(t',s))#S)"
    "E' ∈ set (trpc ((i,delete(t',s))#F) D)"
    using *(3) ** constr_def by auto
  thus ?case by metis
next
  case (7 i ac t' s S D)
  note prems = "7.prems"
  note IH = "7.IH"

  define constr where "constr = (
    λd::((lbl strand_label × ('fun,'var) term × ('fun,'var) term)).
    (i,⟨ac: (pair (t',s)) ≈ (pair (snd d))⟩st))"

  obtain A'' d where *: "A' = constr d # A'" "A'' ∈ set (trpc S D)" "d ∈ set (dbproj i D)"
    using prems(3) constr_def by auto
  have "E ≠ []" using prems(1) by auto
  then obtain E' where **: "E = constr d # E'" using *(1) prems(2) by (cases E) auto
  hence "suffix [(m, receive(t)st)] E'" "prefix E' A''"
    using *(1) prems(1,2) suffix_Cons[of _ _ E'] using constr_def by auto
  then obtain F where "prefix F S" "E' ∈ set (trpc F D)" using *(2) ** IH by metis
  hence "prefix ((i,InSet ac t' s)#F) ((i,InSet ac t' s)#S)"
    "E' ∈ set (trpc ((i,InSet ac t' s)#F) D)"
    using *(3) ** unfolding constr_def by auto
  thus ?case by metis
next
  case (8 i X G G' S D)

```

```

note prems = "8.prems"
note IH = "8.IH"

define constr where
  "constr = map (λH. (i, ∀X(⟨V≠: (G@H)⟩st)) (trpairs G' (map snd (dbproj i D))))"

obtain A'' where *: "A' = constr@A''" "A'' ∈ set (trpc S D)"
  using prems(3) constr_def by auto
have ***: "(m, receive(t)st) ∉ set constr" using constr_def by auto
have "E ≠ []" using prems(1) by auto
then obtain E' where **: "E = constr@E''"
  using *(1) prems(1,2) ***
  by (metis (mono_tags, lifting) Un_iff list.set_intro(1) prefixI prefix_def
      prefix_same_cases set_append suffix_def)
hence "suffix [(m, receive(t)st)] E'" "prefix E' A''"
  using *(1) prems(1,2) suffix_append[of "[(m, receive(t)st)]" constr E'] ***
  by (metis (no_types, opaque_lifting) Nil_suffix_append Nil2_in_set_conv_decomp rev_exhaust
      snoc_suffix_snoc suffix_appendD,
      auto)
then obtain F where "prefix F S" "E' ∈ set (trpc F D)" using *(2) ** IH by metis
hence "prefix ((i, NegChecks X G G')#F) ((i, NegChecks X G G')#S)"
  "E ∈ set (trpc ((i, NegChecks X G G')#F) D)"
  using ** constr_def by auto
thus ?case by metis
qed

moreover have "prefix [] A" "[] ∈ set (trpc [] [])" by auto
moreover have "{} ⊢c t" when "C = []" using 3 by (simp add: deducts_eq_if_empty_ik that)
ultimately have 4:
  "∃D. prefix D A ∧ C ∈ set (trpc D []) ∧
    (¬{} ⊢c t → (∃l s G. suffix ((l, receive(s))#G) D ∧
      list_all (Not ∘ is_Receive ∘ snd) G))"
using C(3) assms(1) 2 by (meson tr_preserves_last_receive)

show ?thesis by (metis 1 3 4)
qed

end

context labeled_stateful_typing
begin

lemma tr_par_tfrsstp:
  assumes "A' ∈ set (trpc A D)" "list_all tfrsstp (unlabel A)"
  and "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}" (is "?P0 A D")
  and "fvpairs (unlabel D) ∩ bvarssst (unlabel A) = {}" (is "?P1 A D")
  and "∀t ∈ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D.
    ∀t' ∈ pair ` setopssst (unlabel A) ∪ pair ` snd ` set D.
    (∃δ. Unifier δ t t') → Γ t = Γ t'" (is "?P3 A D")
  shows "list_all tfrsstp (unlabel A')"
proof -
  have sublmm: "list_all tfrsstp (unlabel A)" "?P0 A D" "?P1 A D" "?P3 A D"
    when p: "list_all tfrsstp (unlabel (a#a))" "?P0 (a#a) D" "?P1 (a#a) D" "?P3 (a#a) D"
    for a A D
  proof -
    show "list_all tfrsstp (unlabel A)" using p(1) by (simp add: unlabel_def tfrsstp_def)
    show "?P0 A D" using p(2) fvsst_cons_subset unfolding unlabel_def by fastforce
    show "?P1 A D" using p(3) bvarssst_cons_subset unfolding unlabel_def by fastforce
    have "setopssst (unlabel A) ⊆ setopssst (unlabel (a#a))"
      using setopssst_cons_subset unfolding unlabel_def by auto
    thus "?P3 A D" using p(4) by blast
  qed
qed

```

```

show ?thesis using assms
proof (induction A D arbitrary: A' rule: trpc.induct)
  case 1 thus ?case by simp
next
  case (2 i t A D)
    note prems = "2.prems"
    note IH = "2.IH"
    from prems(1) obtain A'' where A'': "A' = (i, send(t)st)#A'''" "A''' ∈ set (trpc A D)" by
atomize_elim auto
    have "list_all tfrstp (unlabel A'')"
      using IH[OF A''(2)] prems(5) sublmm[OF prems(2,3,4,5)]
      by meson
    thus ?case using A''(1) by simp
next
  case (3 i t A D)
    note prems = "3.prems"
    note IH = "3.IH"
    from prems(1) obtain A'' where A'': "A' = (i, receive(t)st)#A'''" "A''' ∈ set (trpc A D)" by
atomize_elim auto
    have "list_all tfrstp (unlabel A'')"
      using IH[OF A''(2)] prems(5) sublmm[OF prems(2,3,4,5)]
      by meson
    thus ?case using A''(1) by simp
next
  case (4 i ac t t' A D)
    note prems = "4.prems"
    note IH = "4.IH"
    from prems(1) obtain A'' where A'': "A' = (i, ac: t ≡ t')st#A'''" "A''' ∈ set (trpc A D)" by
atomize_elim auto
    have "list_all tfrstp (unlabel A'')"
      using IH[OF A''(2)] prems(5) sublmm[OF prems(2,3,4,5)]
      by meson
    thus ?case using A''(1) prems(2) by simp
next
  case (5 i t s A D)
    note prems = "5.prems"
    note IH = "5.IH"
    from prems(1) have A': "A' ∈ set (trpc A (List.insert (i, t, s) D))" by simp

    have 1: "list_all tfrsstp (unlabel A)" using sublmm[OF prems(2,3,4,5)] by simp

    have "pair ` setopssst (unlabel ((i, insert(t,s))#A)) ∪ pair ` snd ` set D =
      pair ` setopssst (unlabel A) ∪ pair ` snd ` set (List.insert (i, t, s) D)"
      by (auto simp add: setopssst_def)
    hence 3: "?P3 A (List.insert (i, t, s) D)" using prems(5) by metis
    moreover have "?P1 A (List.insert (i, t, s) D)"
      using prems(3,4) bvarssst_cons_subset[of "unlabel A" "insert(t,s)"]
      unfolding unlabel_def
      by fastforce
    ultimately have "list_all tfrstp (unlabel A')"
      using IH[OF A'] sublmm(1,2)[OF prems(2,3,4,5)] _ 3] by metis
    thus ?case using A'(1) by auto
next
  case (6 i t s A D)
    note prems = "6.prems"
    note IH = "6.IH"

    define constr where constr: "constr ≡ (λDi.
      (map (λd. (i, check: (pair (t,s)) ≡ (pair (snd d))st)) Di) @
      (map (λd. (i, ∀ []\vDash: [(pair (t,s), pair (snd d))]st)) (filter (λd. d ∉ set Di) (dbproj i
      D))))"
    from prems(1) obtain Di A'' where A'':

```

```

"A' = constr Di@A'''" "A'' ∈ set (trpc A (filter (λd. d ∉ set Di) D))"
"Di ∈ set (subseqs (dbproj i D))"
unfolding constr by fastforce

define Q1 where "Q1 ≡ (λ(F::((fun, var) term × ('fun, var) term) list) X.
  ∀x ∈ (fvpairs F) - set X. ∃a. Γ (Var x) = TAtom a)"
define Q2 where "Q2 ≡ (λ(F::((fun, var) term × ('fun, var) term) list) X.
  ∀f T. Fun f T ∈ subtermsset (trmspairs F) → T = [] ∨ (∃s ∈ set T. s ∉ Var ` set X))"

have "pair ` setopssst (unlabel A) ∪ pair ` snd ` set [d ← D. d ∉ set Di]
  ⊆ pair ` setopssst (unlabel ((i, delete(t, s))#A)) ∪ pair ` snd ` set D"
using subseqs_set_subset[OF A''(3)] by (force simp add: setopssst_def)
moreover have "∀a ∈ M. ∀b ∈ M. P a b"
  when "M ⊆ N" "∀a ∈ N. ∀b ∈ N. P a b"
  for M N:: "('fun, var) terms" and P
  using that by blast
ultimately have *: "?P3 A (filter (λd. d ∉ set Di) D)"
using prems(5) by presburger

have **: "?P1 A (filter (λd. d ∉ set Di) D)"
using prems(4) bvarssst_cons_subset[of "unlabel A" "delete(t, s)"]
unfolding unlabel_def by fastforce

have 1: "list_all tfrstp (unlabel A'')"
using IH[OF A''(3,2) sublmm(1,2)[OF prems(2,3,4,5)] ** *]
by metis

have 2: " $\langle ac: u \doteq u' \rangle_{st} \in set (unlabel A'')$  ∨
  ( $\exists d \in set Di. u = pair (t, s) \wedge u' = pair (snd d)$ )"
when " $\langle ac: u \doteq u' \rangle_{st} \in set (unlabel A'')$ " for ac u u'
using that A''(1) unfolding constr unlabel_def by force
have 3:
  " $\forall X (\forall \neq: u \rangle_{st} \in set (unlabel A'') \vee
    (\exists d \in set (filter (\lambda d. d \notin set Di) D). u = [(pair (t, s), pair (snd d))] \wedge Q2 u X))$ "
when " $\forall X (\forall \neq: u \rangle_{st} \in set (unlabel A''))$  for X u
using that A''(1) unfolding Q2_def constr unlabel_def dbproj_def by force
have 4: " $\forall d \in set D. (\exists \delta. Unifier \delta (pair (t, s)) (pair (snd d)))$ 
  → Γ (pair (t, s)) = Γ (pair (snd d))"
using prems(5) by (simp add: setopssst_def)

{ fix ac u u'
assume a: " $\langle ac: u \doteq u' \rangle_{st} \in set (unlabel A'')$ " " $\exists \delta. Unifier \delta u u'$ "
hence " $\langle ac: u \doteq u' \rangle_{st} \in set (unlabel A'') \vee (\exists d \in set Di. u = pair (t, s) \wedge u' = pair (snd d))$ "
  using 2 by metis
moreover {
  assume " $\langle ac: u \doteq u' \rangle_{st} \in set (unlabel A'')$ "
  hence "tfrstp ((ac: u \doteq u')st)"
    using 1 Ball_set_list_all[of "unlabel A''' tfrstp"]
    by fast
}
moreover {
  fix d assume "d ∈ set Di" "u = pair (t, s)" "u' = pair (snd d)"
  hence " $\exists \delta. Unifier \delta u u' \implies \Gamma u = \Gamma u'$ "
    using 4 dbproj_subseq_subset A''(3)
    by fast
  hence "tfrstp ((ac: u \doteq u')st)"
    using Ball_set_list_all[of "unlabel A''' tfrstp"]
    by simp
  hence " $\Gamma u = \Gamma u'$ " using tfrstp_list_all_alt_def[of "unlabel A'''"]
    using a(2) unfolding unlabel_def by auto
}
ultimately have " $\Gamma u = \Gamma u'$ "
  using tfrstp_list_all_alt_def[of "unlabel A'''"] a(2)
  unfolding unlabel_def by auto
}
moreover {

```

```

fix u U
assume " $\forall U \langle \forall \neq : u \rangle_{st} \in \text{set}(\text{unlabel } A')$ "
hence " $\forall U \langle \forall \neq : u \rangle_{st} \in \text{set}(\text{unlabel } A'') \vee$ 
       $(\exists d \in \text{set}(\text{filter } (\lambda d. d \notin \text{set } Di) D). u = [(pair(t,s), pair(snd d))] \wedge Q2 u U)$ "
using 3 by metis
hence "Q1 u U \vee Q2 u U"
using 1 4 subseqs_set_subset[OF A''(3)] tfrstp_list_all_alt_def[of "unlabel A'']"
unfolding Q1_def Q2_def
by blast
} ultimately show ?case
using tfrstp_list_all_alt_def[of "unlabel A'"] unfolding Q1_def Q2_def unlabel_def by blast
next
case (7 i ac t s A D)
note prems = "7.prems"
note IH = "7.IH"

from prems(1) obtain d A'' where A'':
  "A' = (i,⟨ac: (pair(t,s)) ≈ (pair(snd d))⟩_{st})#A''"
  "A'' ∈ set(trpc A D)"
  "d ∈ set(dbproj i D)"
by atomize_elim force

have 1: "list_all tfrstp (unlabel A'')"
  using IH[OF A''(2) sublmm(1,2,3)[OF prems(2,3,4,5)] sublmm(4)[OF prems(2,3,4,5)]]]
  by metis

have 2: " $\Gamma(pair(t,s)) = \Gamma(pair(snd d))$ "
when " $\exists \delta. \text{Unifier } \delta (pair(t,s)) (pair(snd d))$ "
using that prems(2,5) A''(3) unfolding tfrsst_def by (simp add: setopssst_def dbproj_def)

show ?case using A''(1) 1 2 by fastforce
next
case (8 i X F F' A D)
note prems = "8.prems"
note IH = "8.IH"

define constr where
  "constr = map (λG. (i, ∀ X ⟨\neq : (F@G)⟩_{st})) (trpairs F' (map snd (dbproj i D)))"

define Q1 where "Q1 ≡ (λ(F::('fun,'var) term × ('fun,'var) term) list) X.
  ∀ x ∈ (fvpairs F) - set X. ∃ a. Γ(Var x) = TAtom a)"

define Q2 where "Q2 ≡ (λ(M::('fun,'var) terms) X.
  ∀ f T. Fun f T ∈ subtermsset M → T = [] ∨ (∃ s ∈ set T. s ∉ Var ` set X))"

have Q2_subset: "Q2 M' X" when "M' ⊆ M" "Q2 M X" for X M M'
  using that unfolding Q2_def by auto

have Q2_supset: "Q2 (M ∪ M') X" when "Q2 M X" "Q2 M' X" for X M M'
  using that unfolding Q2_def by auto

from prems obtain A'' where A'': "A' = constr@A''" "A'' ∈ set(trpc A D)"
  using constr_def by atomize_elim auto

have 0: "constr = [(i, ∀ X ⟨\neq : F⟩_{st})]" when "F' = []" using that unfolding constr_def by simp

have 1: "list_all tfrstp (unlabel A'')"
  using IH[OF A''(2) sublmm(1,2,3)[OF prems(2,3,4,5)] sublmm(4)[OF prems(2,3,4,5)]]]
  by metis

have 2: "(F' = [] ∧ Q1 F X) ∨ Q2 (trmspairs F ∪ pair ` set F') X"
  using prems(2) unfolding Q1_def Q2_def by simp

```

```

have 3: " $F' = [] \implies Q1 F X \implies \text{list\_all } tfr_{stp} (\text{unlabel constr})$ "
using 0 2 tfr_{stp}_list_all_alt_def[of "unlabel constr"] unfolding Q1_def by auto

{ fix c assume "c \in \text{set (unlabel constr)}"
  hence "\exists G \in \text{set (tr}_{pairs} F' (\text{map snd (dbproj i D)})). c = \forall X (\forall \neq: (F @ G))_{st}"
    unfolding constr_def unlabel_def by force
} moreover {
fix G
assume G: " $G \in \text{set (tr}_{pairs} F' (\text{map snd (dbproj i D)})$ )"
and c: " $\forall X (\forall \neq: (F @ G))_{st} \in \text{set (unlabel constr)}$ "
and e: " $Q2 (\text{trms}_{pairs} F \cup \text{pair} ` \text{set } F') X$ "}

have d_Q2: " $Q2 (\text{pair} ` \text{set (map snd D)}) X$ " unfolding Q2_def
proof (intro allI impI)
fix f T assume "Fun f T \in \text{subterms}_{set} (\text{pair} ` \text{set (map snd D)})"
then obtain d where d: " $d \in \text{set (map snd D)}$ " "Fun f T \in \text{subterms (pair d)}" by force
hence "fv (pair d) \cap \text{set } X = \{\}" using prems(4) unfolding pair_def by (force simp add: unlabel_def)
thus "T = [] \vee (\exists s \in \text{set } T. s \notin \text{Var} ` \text{set } X)"
by (metis fv_disj_Fun_subterm_param_cases d(2))
qed

have "trms_{pairs} (F @ G) \subseteq \text{trms}_{pairs} F \cup \text{pair} ` \text{set } F' \cup \text{pair} ` \text{set (map snd D)}"
using tr_{pairs}_trms_subset[OF G] unfolding dbproj_def by force
hence "Q2 (\text{trms}_{pairs} (F @ G)) X" using Q2_subset[OF _ Q2_supset[OF e d_Q2]] by metis
hence "tfr_{stp} (\forall X (\forall \neq: (F @ G))_{st})" by (metis Q2_def tfr_{stp}.simp(2))
} ultimately have 4:
"Q2 (\text{trms}_{pairs} F \cup \text{pair} ` \text{set } F') X \implies \text{list\_all } tfr_{stp} (\text{unlabel constr})"
using Ball_set by blast

have 5: " $\text{list\_all } tfr_{stp} (\text{unlabel constr})$ " using 2 3 4 by metis
show ?case using 1 5 A''(1) by (simp add: unlabel_def)
qed
qed

lemma tr_par_tfr:
assumes "A' \in \text{set (tr}_{pc} A [])" and "tfr_{sst} (\text{unlabel } A)"
and "fv_{sst} (\text{unlabel } A) \cap bvars_{sst} (\text{unlabel } A) = \{}"
shows "tfr_{st} (\text{unlabel } A')"
proof -
have *: " $\text{trms}_{lst} A' \subseteq \text{trms}_{sst} (\text{unlabel } A) \cup \text{pair} ` \text{setops}_{sst} (\text{unlabel } A)$ "
using tr_par_trms_subset[OF assms(1)] by simp
hence "SMP (\text{trms}_{lst} A') \subseteq SMP (\text{trms}_{sst} (\text{unlabel } A) \cup \text{pair} ` \text{setops}_{sst} (\text{unlabel } A))"
using SMP_mono by simp
moreover have "tfr_{set} (\text{trms}_{sst} (\text{unlabel } A) \cup \text{pair} ` \text{setops}_{sst} (\text{unlabel } A))"
using assms(2) unfolding tfr_{sst}_def by fast
ultimately have 1: "tfr_{set} (\text{trms}_{lst} A')" by (metis tfr_subset(2)[OF _ *])

have **: " $\text{list\_all } tfr_{sst} (\text{unlabel } A)$ " using assms(2) unfolding tfr_{sst}_def by fast
have "pair ` \text{setops}_{sst} (\text{unlabel } A) \subseteq \text{SMP} (\text{trms}_{sst} (\text{unlabel } A) \cup \text{pair} ` \text{setops}_{sst} (\text{unlabel } A)) - \text{Var} ` \mathcal{V}"
using setops_{sst}_are_pairs unfolding pair_def by auto
hence "\Gamma t = \Gamma t'"
when "\exists \delta. Unifier \delta t t'" "t \in \text{pair} ` \text{setops}_{sst} (\text{unlabel } A)" "t' \in \text{pair} ` \text{setops}_{sst} (\text{unlabel } A)"
for t t'
using that assms(2) unfolding tfr_{sst}_def tfr_{set}_def by blast
moreover have "fv_{pairs} (\text{unlabel } []) = \{}" "pair ` \text{snd} ` \text{set } [] = \{}" by auto
ultimately have 2: " $\text{list\_all } tfr_{stp} (\text{unlabel } A')$ " using tr_par_tfr_{sstp}[OF assms(1) ** assms(3)] by simp

show ?thesis by (metis 1 2 tfr_{st}_def)
qed

```

```

lemma tr_par_preserves_typing_cond:
  assumes "par_complsst A Sec" "typing_condsst (unlabel A)" "A' ∈ set (trpc A [])"
  shows "typing_cond (unlabel A')"
proof -
  have "wf'sst {} (unlabel A)"
    "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}"
    "wftrms (trmssst (unlabel A))"
  using assms(2) unfolding typing_condsst_def by simp_all
  hence 1: "wfst {} (unlabel A')"
    "fvst (unlabel A') ∩ bvarsst (unlabel A') = {}"
    "wftrms (trmsst (unlabel A'))"
    "Ana_invar_subst (ikst (unlabel A') ∪ assignment_rhsst (unlabel A'))"
  using tr_par_wf[OF assms(3)] Ana_invar_subst' by metis+
  have 2: "tfrst (unlabel A')" by (metis tr_par_tfr assms(2,3) typing_condsst_def)
  show ?thesis by (metis 1 2 typing_cond_def)
qed
end

```

### 6.2.5 Theorem: Semantic Equivalence of Translation

```

context labeled_stateful_typed_model
begin

```

```

context
begin

```

An alternative version of the translation that does not perform database-state projections. It is used as an intermediate step in the proof of semantic equivalence/correctness.

```

private fun tr'pc::
  "('fun, 'var, 'lbl) labeled_stateful_strand ⇒ ('fun, 'var, 'lbl) labeled_dbstate_list
  ⇒ ('fun, 'var, 'lbl) labeled_strand list"
where
  "tr'pc [] D = [[]]"
| "tr'pc ((i, send(ts))#A) D = map ((#) (i, send(ts)st)) (tr'pc A D)"
| "tr'pc ((i, receive(ts))#A) D = map ((#) (i, receive(ts)st)) (tr'pc A D)"
| "tr'pc ((i, (ac: t ≈ t'))#A) D = map ((#) (i, (ac: t ≈ t')st)) (tr'pc A D)"
| "tr'pc ((i, insert(t, s))#A) D = tr'pc A (List.insert (i, (t, s)) D)"
| "tr'pc ((i, delete(t, s))#A) D =
  concat (map (λDi. map (λB. (map (λd. (i, (check: (pair (t, s)) ≈ (pair (snd d))st)) Di)@
    (map (λd. (i, ∀ [](v ≠: [(pair (t, s), pair (snd d))]st))@
      [d ← D. d ∉ set Di])@B)
    (tr'pc A [d ← D. d ∉ set Di]))))
  (subseqs D)))"
| "tr'pc ((i, (ac: t ∈ s))#A) D =
  concat (map (λB. map (λd. (i, (ac: (pair (t, s)) ≈ (pair (snd d))st)#B) D) (tr'pc A D)))"
| "tr'pc ((i, ∀ X(v ≠: F ∨ ≠: F'))#A) D =
  map ((@) (map (λG. (i, ∀ X(v ≠: (F@G))st)) (trpairs F' (map snd D)))) (tr'pc A D)"

```

#### Part 1

```

private lemma tr'_par_iff_unlabel_tr:
  assumes "∀ (i, p) ∈ setopslsst A ∪ set D.
            ∀ (j, q) ∈ setopslsst A ∪ set D.
            p = q → i = j"
  shows "(∃ C ∈ set (tr'pc A D). B = unlabel C) ↔ B ∈ set (tr (unlabel A) (unlabel D))"
  (is "?A ↔ ?B")
proof
  { fix C have "C ∈ set (tr'pc A D) ⇒ unlabel C ∈ set (tr (unlabel A) (unlabel D))" using assms
    proof (induction A D arbitrary: C rule: tr'pc.induct)

```

```

case (5 i t s S D)
hence "unlabel C ∈ set (tr (unlabel S) (unlabel (List.insert (i, t, s) D)))"
  by (auto simp add: setops_isst_def)
moreover have
  "insert (i,t,s) (set D) ⊆ setops_isst ((i,insert(t,s))#S) ∪ set D"
  by (auto simp add: setops_isst_def)
hence "∀ (j,p) ∈ insert (i,t,s) (set D). ∀ (k,q) ∈ insert (i,t,s) (set D). p = q → j = k"
  using "5.prems"(2) by blast
hence "unlabel (List.insert (i, t, s) D) = (List.insert (t, s) (unlabel D))"
  using map_snd_list_insert_distrib[of "(i,t,s)" D] unfolding unlabel_def by simp
ultimately show ?case by auto
next
case (6 i t s S D)
let ?f1 = "λd. ⟨check: (pair (t,s)) ≡ (pair d)⟩_st"
let ?g1 = "λd. ∀ []\Vdash: [(pair (t,s), pair d)]⟩_st"
let ?f2 = "λd. (i, ?f1 (snd d))"
let ?g2 = "λd. (i, ?g1 (snd d))"

define constr1 where "constr1 = (λDi. (map ?f1 Di) @ (map ?g1 [d←unlabel D. d ∉ set Di]))"
define constr2 where "constr2 = (λDi. (map ?f2 Di) @ (map ?g2 [d←D. d ∉ set Di]))"

obtain C' Di where C':
  "Di ∈ set (subseqs D)"
  "C = constr2 Di @ C'"
  "C' ∈ set (tr'_pc S [d←D. d ∉ set Di])"
  using "6.prems"(1) unfolding constr2_def by atomize_elim auto

have 0: "set [d←D. d ∉ set Di] ⊆ set D"
  "setops_isst S ⊆ setops_isst ((i, delete(t,s))#S)"
  by (auto simp add: setops_isst_def)
hence 1:
  "∀ (j, p) ∈ setops_isst S ∪ set [d←D. d ∉ set Di]."
  "∀ (k, q) ∈ setops_isst S ∪ set [d←D. d ∉ set Di]."
  "p = q → j = k"
  using "6.prems"(2) by blast

have "∀ (i,p) ∈ set D ∪ set Di. ∀ (j,q) ∈ set D ∪ set Di. p = q → i = j"
  using "6.prems"(2) subseqs_set_subset(1)[OF C'(1)] by blast
hence 2: "unlabel [d←D. d ∉ set Di] = [d←unlabel D. d ∉ set (unlabel Di)]"
  using unlabel_filter_eq[of D "set Di"] unfolding unlabel_def by simp

have 3:
  "¬f g::('a × 'a ⇒ 'c). ¬A B::((b × 'a × 'a) list).
    map snd ((map (λd. (i, f (snd d))) A) @ (map (λd. (i, g (snd d))) B)) =
    map f (map snd A) @ map g (map snd B)"
  by simp
have "unlabel (constr2 Di) = constr1 (unlabel Di)"
  using 2 3[of ?f1 Di ?g1 "[d←D. d ∉ set Di]"]
  by (simp add: constr1_def constr2_def unlabel_def)
hence 4: "unlabel C = constr1 (unlabel Di) @ unlabel C'"
  using C'(2) unlabel_append by metis

have "unlabel Di ∈ set (map unlabel (subseqs D))"
  using C'(1) unfolding unlabel_def by simp
hence 5: "unlabel Di ∈ set (subseqs (unlabel D))"
  using map_subseqs[of snd D] unfolding unlabel_def by simp

show ?case using "6.IH"[OF C'(1,3) 1] 2 4 5 unfolding constr1_def by auto
next
case (7 i ac t s S D)
obtain C' d where C':
  "C = (i,⟨ac: (pair (t,s)) ≡ (pair (snd d))⟩#C'')"
  "C' ∈ set (tr'_pc S D)" "d ∈ set D"

```

```

using "7.prems"(1) by atomize_elim force

have "setopslsst S ∪ set D ⊆ setopslsst ((i,InSet ac t s)#S) ∪ set D"
  by (auto simp add: setopslsst_def)
hence "∀ (j, p) ∈ setopslsst S ∪ set D.
  ∀ (k, q) ∈ setopslsst S ∪ set D.
  p = q → j = k"
  using "7.prems"(2) by blast
hence "unlabel C' ∈ set (tr (unlabel S) (unlabel D))" using "7.IH"[OF C'(2)] by auto
thus ?case using C' unfolding unlabel_def by force
next
  case (8 i X F F' S D)
  obtain C' where C':
    "C = map (λG. (i, ∀ X (¬ (F @ G))st)) (trpairs F' (map snd D)) @ C''"
    "C' ∈ set (tr'pc S D)"
    using "8.prems"(1) by atomize_elim auto

have "setopslsst S ∪ set D ⊆ setopslsst ((i,NegChecks X F F')#S) ∪ set D"
  by (auto simp add: setopslsst_def)
hence "∀ (j, p) ∈ setopslsst S ∪ set D.
  ∀ (k, q) ∈ setopslsst S ∪ set D.
  p = q → j = k"
  using "8.prems"(2) by blast
hence "unlabel C' ∈ set (tr (unlabel S) (unlabel D))" using "8.IH"[OF C'(2)] by auto
thus ?case using C' unfolding unlabel_def by auto
qed (auto simp add: setopslsst_def)
} thus "?A ⇒ ?B" by blast

show "?B ⇒ ?A" using assms
proof (induction A arbitrary: B D)
  case (Cons a A)
  obtain ia sa where a: "a = (ia,sa)" by atomize_elim auto

  have "setopslsst A ⊆ setopslsst (a#A)" using a by (cases sa) (auto simp add: setopslsst_def)
  hence 1: "∀ (j, p) ∈ setopslsst A ∪ set D.
    ∀ (k, q) ∈ setopslsst A ∪ set D.
    p = q → j = k"
    using Cons.prems(2) by blast

  show ?case
  proof (cases sa)
    case (Send t)
    then obtain B' where B':
      "B = send⟨t⟩st#B''"
      "B' ∈ set (tr (unlabel A) (unlabel D))"
      using Cons.prems(1) a by auto
    thus ?thesis using Cons.IH[OF B'(2) 1] a B'(1) Send by auto
  next
    case (Receive t)
    then obtain B' where B':
      "B = receive⟨t⟩st#B''"
      "B' ∈ set (tr (unlabel A) (unlabel D))"
      using Cons.prems(1) a by auto
    thus ?thesis using Cons.IH[OF B'(2) 1] a B'(1) Receive by auto
  next
    case (Equality ac t t')
    then obtain B' where B':
      "B = ⟨ac: t ≡ t'⟩st#B''"
      "B' ∈ set (tr (unlabel A) (unlabel D))"
      using Cons.prems(1) a by auto
    thus ?thesis using Cons.IH[OF B'(2) 1] a B'(1) Equality by auto
  next
    case (Insert t s)
  
```

```

hence B: " $B \in \text{set}(\text{tr}(\text{unlabel } A) (\text{List.insert}(t, s) (\text{unlabel } D)))$ "
  using Cons.prems(1) a by auto

let ?P = " $\lambda i. \text{List.insert}(t, s) (\text{unlabel } D) = \text{unlabel}(\text{List.insert}(i, t, s) D)$ " 

{ obtain j where j: "?P j" "j = ia  $\vee (j, t, s) \in \text{set } D"$ 
  using labeled_list_insert_eq_ex_cases[of "(t, s)" D ia] by atomize_elim auto
  hence "j = ia" using Cons.prems(2) a Insert by (auto simp add: setops_isst_def)
  hence "?P ia" using j(1) by metis
} hence j: "?P ia" by metis

have 2: " $\forall (k_1, p) \in \text{setops_isst } A \cup \text{set}(\text{List.insert}(ia, t, s) D).$ 
 $\forall (k_2, q) \in \text{setops_isst } A \cup \text{set}(\text{List.insert}(ia, t, s) D).$ 
 $p = q \longrightarrow k_1 = k_2$ "
  using Cons.prems(2) a Insert by (auto simp add: setops_isst_def)

show ?thesis using Cons.IH[OF _ 2] j(1) B Insert a by auto
next
case (Delete t s)
define c where "c ≡ (\lambda(i::'lbl strand_label). Di.
  map (\lambda d. (i, check: (pair(t, s)) ≈ (pair(snd d))_{st})) Di@)
  map (\lambda d. (i, \forall []\Vdash: [(pair(t, s), pair(snd d))]_{st}) [d ← D. d ∉ set Di])"

define d where "d ≡ (\lambda Di.
  map (\lambda d. (check: (pair(t, s)) ≈ (pair d))_{st}) Di@)
  map (\lambda d. \forall []\Vdash: [(pair(t, s), pair d)]_{st}) [d ← unlabel D. d ∉ set Di])"

obtain B' Di where B':
  "B = d Di @ B'" "Di ∈ set(subseqs(unlabel D))"
  "B' ∈ set(tr(unlabel A) [d ← unlabel D. d ∉ set Di])"
  using Cons.prems(1) a Delete unfolding d_def by auto

obtain Di' where Di': "Di' ∈ set(subseqs D)" "unlabel Di' = Di"
  using unlabel_subseqsD[OF B'(2)] by atomize_elim auto

have 2: " $\forall (j, p) \in \text{setops_isst } A \cup \text{set}([d \leftarrow D. d \notin \text{set } Di']).$ 
 $\forall (k, q) \in \text{setops_isst } A \cup \text{set}([d \leftarrow D. d \notin \text{set } Di']).$ 
 $p = q \longrightarrow j = k$ "
  using 1 subseqs_subset[OF Di'(1)]
    filter_is_subset[of "\lambda d. d \notin \text{set } Di'"]
  by blast

have "set Di' ⊆ set D" by (rule subseqs_subset[OF Di'(1)])
hence " $\forall (j, p) \in \text{set } D \cup \text{set } Di'. \forall (k, q) \in \text{set } D \cup \text{set } Di'. p = q \longrightarrow j = k$ "
  using Cons.prems(2) by blast
hence 3: "[d ← unlabel D. d ∉ set Di] = unlabel [d ← D. d ∉ set Di]"
  using Di'(2) unlabel_filter_eq[of D "set Di"] unfolding unlabel_def by auto

obtain C where C: "C ∈ set(tr'_pc A [d ← D. d ∉ set Di'])" "B' = unlabel C"
  using 3 Cons.IH[OF _ 2] B'(3) by auto
hence 4: "c ia Di' @ C ∈ set(tr'_pc (a#A) D)" using Di'(1) a Delete unfolding c_def by auto

have "unlabel(c ia Di') = d Di" using Di' 3 unfolding c_def d_def unlabel_def by auto
hence 5: "B = unlabel(c ia Di' @ C)" using B'(1) C(2) unlabel_append[of "c ia Di'" C] by simp

show ?thesis using 4 5 by blast
next
case (InSet ac t s)
then obtain B' d where B':
  "B = (ac: (pair(t, s)) ≈ (pair d))_{st} # B'"
  "B' ∈ set(tr(unlabel A) (unlabel D))"
  "d ∈ set(unlabel D)"
  using Cons.prems(1) a by auto

```

```

thus ?thesis using Cons.IH[OF _ 1] a InSet unfolding unlabel_def by auto
next
case (NegChecks X F F')
then obtain B' where B':
  "B = map (λG. ∀X⟨V≠: (F@G)⟩st) (trpairs F' (unlabel D))@B''"
  "B' ∈ set (tr (unlabel A) (unlabel D))"
  using Cons.prems(1) a by auto
thus ?thesis using Cons.IH[OF _ 1] a NegChecks unfolding unlabel_def by auto
qed
qed simp
qed

```

## Part 2

```

private lemma tr_par_iff_tr'_par:
assumes "∀(i,p) ∈ setopslsst A ∪ set D. ∀(j,q) ∈ setopslsst A ∪ set D.
  (exists δ. Unifier δ (pair p) (pair q)) → i = j"
(is "?R3 A D")
and "∀(l,t,s) ∈ set D. (fv t ∪ fv s) ∩ bvarssst (unlabel A) = {}" (is "?R4 A D")
and "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}" (is "?R5 A D")
shows "(∃B ∈ set (trpc A D). [M; unlabel B]d I) ↔ (∃C ∈ set (tr'pc A D). [M; unlabel C]d I)"
(is "?P ↔ ?Q")
proof
{ fix B assume "B ∈ set (trpc A D)" "[M; unlabel B]d I"
  hence ?Q using assms
  proof (induction A D arbitrary: B M rule: trpc.induct)
    case (1 D) thus ?case by simp
  next
    case (2 i ts S D)
    note prems = "2.prems"
    note IH = "2.IH"
    obtain B' where B': "B = (i,send⟨ts⟩st)#B'" "B' ∈ set (trpc S D)"
      using prems(1) by atomize_elim auto
    have 1: "[M; unlabel B']d I" using prems(2) B'(1) by simp
    have 4: "?R3 S D" using prems(3) by (auto simp add: setopslsst_def)
    have 5: "?R4 S D" using prems(4) by force
    have 6: "?R5 S D" using prems(5) by force
    have 7: "∀t ∈ set ts. M ⊢ t · I" using prems(2) B'(1) by simp
    obtain C where C: "C ∈ set (tr'pc S D)" "[M; unlabel C]d I"
      using IH[OF B'(2) 1 4 5 6] by atomize_elim auto
    hence "((i,send⟨ts⟩st)#C) ∈ set (tr'pc ((i,Send ts)#S) D)" "[M; unlabel ((i,send⟨ts⟩st)#C)]d I"
      using 7 by auto
    thus ?case by metis
  next
    case (3 i ts S D)
    note prems = "3.prems"
    note IH = "3.IH"
    obtain B' where B': "B = (i,receive⟨ts⟩st)#B'" "B' ∈ set (trpc S D)" using prems(1) by atomize_elim auto
    have 1: "[((set ts ·set I) ∪ M; unlabel B')]d I" using prems(2) B'(1) by simp
    have 4: "?R3 S D" using prems(3) by (auto simp add: setopslsst_def)
    have 5: "?R4 S D" using prems(4) by force
    have 6: "?R5 S D" using prems(5) by force
    obtain C where C: "C ∈ set (tr'pc S D)" "[((set ts ·set I) ∪ M; unlabel C)]d I"
      using IH[OF B'(2) 1 4 5 6] by atomize_elim auto
    hence "((i,receive⟨ts⟩st)#C) ∈ set (tr'pc ((i,receive⟨ts⟩)st)#S D)"
  
```

```

"(set ts ·set I) ∪ M; unlabel ((i, receive(ts)st)#C)]_d I"
by auto
thus ?case by auto
next
case (4 i ac t t' S D)
note prems = "4.prems"
note IH = "4.IH"

obtain B' where B': "B = (i,⟨ac: t ≡ t'⟩st)#B'" "B' ∈ set (trpc S D)"
  using prems(1) by atomize_elim auto

have 1: "[M; unlabel B']_d I" using prems(2) B'(1) by simp
have 4: "?R3 S D" using prems(3) by (auto simp add: setopssst_def)
have 5: "?R4 S D" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force

have 7: "t · I = t' · I" using prems(2) B'(1) by simp

obtain C where C: "C ∈ set (tr'pc S D)" "[M; unlabel C]_d I"
  using IH[OF B'(2) 1 4 5 6] by atomize_elim auto
hence "((i,⟨ac: t ≡ t'⟩st)#C) ∈ set (tr'pc ((i, Equality ac t t')#S) D)"
  "[M; unlabel ((i,⟨ac: t ≡ t'⟩st)#C)]_d I"
  using 7 by auto
thus ?case by metis
next
case (5 i t s S D)
note prems = "5.prems"
note IH = "5.IH"

have B: "B ∈ set (trpc S (List.insert (i,t,s) D))" using prems(1) by simp

have 1: "[M; unlabel B]_d I" using prems(2) B(1) by simp
have 4: "?R3 S (List.insert (i,t,s) D)" using prems(3) by (auto simp add: setopssst_def)
have 5: "?R4 S (List.insert (i,t,s) D)" using prems(4,5) by force
have 6: "?R5 S D" using prems(5) by force

show ?case using IH[OF B(1) 1 4 5 6] by simp
next
case (6 i t s S D)
note prems = "6.prems"
note IH = "6.IH"

let ?cl1 = "λDi. map (λd. (i,⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st)) Di"
let ?cu1 = "λDi. map (λd. ⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st Di"
let ?cl2 = "λDi. map (λd. (i, ∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st)) [d←dbproj i D. d∉set Di]"
let ?cu2 = "λDi. map (λd. ∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st [d←dbproj i D. d∉set Di]"

let ?dl1 = "λDi. map (λd. (i,⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st)) Di"
let ?du1 = "λDi. map (λd. ⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st Di"
let ?dl2 = "λDi. map (λd. (i, ∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st)) [d←D. d∉set Di]"
let ?du2 = "λDi. map (λd. ∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st [d←D. d∉set Di]"

define c where c: "c = (λDi. ?cl1 Di @ ?cl2 Di)"
define d where d: "d = (λDi. ?dl1 Di @ ?dl2 Di)"

obtain B' Di where B':
  "Di ∈ set (subseqs (dbproj i D))" "B = c Di @ B'" "B' ∈ set (trpc S [d←D. d ∉ set Di])"
  using prems(1) c by atomize_elim auto

have 0: "ikst (unlabel (c Di)) = {}" "ikst (unlabel (d Di)) = {}"
  "unlabel (?cl1 Di) = ?cu1 Di" "unlabel (?cl2 Di) = ?cu2 Di"
  "unlabel (?dl1 Di) = ?du1 Di" "unlabel (?dl2 Di) = ?du2 Di"

```

```

unfolding c d unlabeled_def by force+
have 1: "⟦M; unlabeled B'⟧_d I" using prems(2) B'(2) 0(1) unfolding unlabeled_def by auto
{ fix j p k q
  assume "(j, p) ∈ setops_{sst} S ∪ set [d ← D. d ∉ set Di]"
    "(k, q) ∈ setops_{sst} S ∪ set [d ← D. d ∉ set Di]"
  hence "(j, p) ∈ setops_{sst} ((i, delete(t,s))#S) ∪ set D"
    "(k, q) ∈ setops_{sst} ((i, delete(t,s))#S) ∪ set D"
  using dbproj_subseq_subset[OF B'(1)] by (auto simp add: setops_{sst}_def)
  hence "(∃ δ. Unifier δ (pair p) (pair q)) ⇒ j = k" using prems(3) by blast
} hence 4: "?R3 S [d ← D. d ∉ set Di]" by blast

have 5: "?R4 S (filter (λd. d ∉ set Di) D)" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force

obtain C where C: "C ∈ set (tr'_{pc} S [d ← D . d ∉ set Di])" "⟦M; unlabeled C⟧_d I"
  using IH[OF B'(1,3) 1 4 5 6] by atomize_elim auto

have 7: "⟦M; unlabeled (c Di)⟧_d I" "⟦M; unlabeled B'⟧_d I"
  using prems(2) B'(2) 0(1) strand_sem_split(3,4)[of M "unlabeled (c Di)" "unlabeled B'"]
  unfolding c unlabeled_def by auto

have "⟦M; unlabeled (?cl2 Di)⟧_d I" using 7(1) 0(1) unfolding c unlabeled_def by auto
hence "⟦M; ?cu2 Di⟧_d I" by (metis 0(4))
moreover {
  fix j p k q
  assume "(j, p) ∈ {(i, t, s)} ∪ set D ∪ set Di"
    "(k, q) ∈ {(i, t, s)} ∪ set D ∪ set Di"
  hence "(j, p) ∈ setops_{sst} ((i, delete(t,s))#S) ∪ set D"
    "(k, q) ∈ setops_{sst} ((i, delete(t,s))#S) ∪ set D"
  using dbproj_subseq_subset[OF B'(1)] by (auto simp add: setops_{sst}_def)
  hence "(∃ δ. Unifier δ (pair p) (pair q)) ⇒ j = k" using prems(3) by blast
} hence "∀ (j, p) ∈ {(i, t, s)} ∪ set D ∪ set Di.
  ∀ (k, q) ∈ {(i, t, s)} ∪ set D ∪ set Di.
  (∃ δ. Unifier δ (pair p) (pair q)) → j = k"
  by blast
ultimately have "⟦M; ?du2 Di⟧_d I" using labeled_sat_ineq_lift by simp
hence "⟦M; unlabeled (?dl2 Di)⟧_d I" by (metis 0(6))
moreover have "⟦M; unlabeled (?cl1 Di)⟧_d I" using 7(1) unfolding c unlabeled_def by auto
hence "⟦M; unlabeled (?dl1 Di)⟧_d I" by (metis 0(3,5))
ultimately have "⟦M; unlabeled (d Di)⟧_d I" using 0(2) unfolding c d unlabeled_def by force
hence 8: "⟦M; unlabeled (d Di@C)⟧_d I" using 0(2) C(2) unfolding unlabeled_def by auto

have 9: "d Di@C ∈ set (tr'_{pc} ((i, delete(t,s))#S) D)"
  using C(1) dbproj_subseq_in_subseqs[OF B'(1)]
  unfolding d unlabeled_def by auto

show ?case by (metis 8 9)
next
  case (7 i ac t s S D)
  note prems = "7.prems"
  note IH = "7.IH"

  obtain B' d where B':
    "B = (i,⟨ac: (pair (t,s)) ≈ (pair (snd d))⟩_{st})#B''"
    "B' ∈ set (tr_{pc} S D)" "d ∈ set (dbproj i D)"
    using prems(1) by atomize_elim force

  have 1: "⟦M; unlabeled B'⟧_d I" using prems(2) B'(1) by simp
  { fix j p k q
    assume "(j,p) ∈ setops_{sst} S ∪ set D"

```

```

    " $(k, q) \in \text{setops}_{\text{lsst}} S \cup \text{set } D$ "
  hence " $(j, p) \in \text{setops}_{\text{lsst}} ((i, \text{InSet ac } t s)\#S) \cup \text{set } D$ "
    " $(k, q) \in \text{setops}_{\text{lsst}} ((i, \text{InSet ac } t s)\#S) \cup \text{set } D$ "
    by (auto simp add: setops_{lsst}_def)
  hence " $(\exists \delta. \text{Unifier } \delta (\text{pair } p) (\text{pair } q)) \implies j = k$ " using prems(3) by blast
} hence 4: "?R3 S D" by blast

have 5: "?R4 S D" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force
have 7: "pair (t,s) . \mathcal{I} = pair (snd d) . \mathcal{I}" using prems(2) B'(1) by simp

obtain C where C: " $C \in \text{set} (\text{tr}'_{pc} S D)$ " " $[\![M; \text{unlabel } C]\!]_d \mathcal{I}$ "
  using IH[OF B'(2) 1 4 5 6] by atomize_elim auto
hence " $((i, \langle \text{ac: } (\text{pair } (t,s)) \rangle \doteq (\text{pair } (\text{snd } d))_{st}) \# C) \in \text{set} (\text{tr}'_{pc} ((i, \text{InSet ac } t s)\#S) D)$ " " $[\![M; \text{unlabel } ((i, \langle \text{ac: } (\text{pair } (t,s)) \rangle \doteq (\text{pair } (\text{snd } d))_{st}) \# C)]\!]_d \mathcal{I}$ "
  using 7 B'(3) unfolding dbproj_def by auto
thus ?case by metis
next
case (8 i X F F' S D)
note prems = "8.prems"
note IH = "8.IH"

let ?cl = "map (\lambda G. (i, \forall X \langle \vee \neq: (F @ G)_{st} \rangle) (\text{tr}_\text{pairs} F' (\text{map } \text{snd } (\text{dbproj } i D))))"
let ?cu = "map (\lambda G. \forall X \langle \vee \neq: (F @ G)_{st} \rangle (\text{tr}_\text{pairs} F' (\text{map } \text{snd } (\text{dbproj } i D))))"

let ?dl = "map (\lambda G. (i, \forall X \langle \vee \neq: (F @ G)_{st} \rangle) (\text{tr}_\text{pairs} F' (\text{map } \text{snd } D)))"
let ?du = "map (\lambda G. \forall X \langle \vee \neq: (F @ G)_{st} \rangle (\text{tr}_\text{pairs} F' (\text{map } \text{snd } D)))"

define c where c: "c = ?cl"
define d where d: "d = ?dl"

obtain B' where B': " $B = c @ B'$ " " $B' \in \text{set} (\text{tr}_{pc} S D)$ " using prems(1) c by atomize_elim auto

have 0: "ik_{st} (\text{unlabel } c) = \{\}" "ik_{st} (\text{unlabel } d) = \{\}"
  "unlabel ?cl = ?cu" "unlabel ?dl = ?du"
  unfolding c d unlabel_def by force+
have "ik_{st} (\text{unlabel } c) = \{\}" unfolding c unlabel_def by force
hence 1: " $[\![M; \text{unlabel } B']\!]_d \mathcal{I}$ " using prems(2) B'(1) unfolding unlabel_def by auto

have "setops_{\text{lsst}} S \subseteq \text{setops}_{\text{lsst}} ((i, \text{NegChecks } X F F')\#S)" by (auto simp add: setops_{lsst}_def)
hence 4: "?R3 S D" using prems(3) by blast

have 5: "?R4 S D" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force

obtain C where C: " $C \in \text{set} (\text{tr}'_{pc} S D)$ " " $[\![M; \text{unlabel } C]\!]_d \mathcal{I}$ "
  using IH[OF B'(2) 1 4 5 6] by atomize_elim auto

have 7: " $[\![M; \text{unlabel } c]\!]_d \mathcal{I}$ " " $[\![M; \text{unlabel } B']\!]_d \mathcal{I}$ "
  using prems(2) B'(1) 0(1) strand_sem_split(3,4)[of M "unlabel c" "unlabel B'"]
  unfolding c unlabel_def by auto

have 8: " $d @ C \in \text{set} (\text{tr}'_{pc} ((i, \text{NegChecks } X F F')\#S) D)$ "
  using C(1) unfolding d unlabel_def by auto

have " $[\![M; \text{unlabel } ?cl]\!]_d \mathcal{I}$ " using 7(1) unfolding c unlabel_def by auto
hence " $[\![M; ?cu]\!]_d \mathcal{I}$ " by (metis 0(3))
moreover {
  fix j p k q
  assume " $(j, p) \in ((\lambda(t,s). (i,t,s)) \setminus \text{set } F') \cup \text{set } D$ "
    " $(k, q) \in ((\lambda(t,s). (i,t,s)) \setminus \text{set } F') \cup \text{set } D$ "
  hence " $(j, p) \in \text{setops}_{\text{lsst}} ((i, \text{NegChecks } X F F')\#S) \cup \text{set } D$ "
}

```

```

    "(k, q) ∈ setopslsst ((i, NegChecks X F F')#S) ∪ set D"
    by (auto simp add: setopslsst_def)
    hence "(∃δ. Unifier δ (pair p) (pair q)) ⇒ j = k" using prems(3) by blast
  } hence "∀(j, p) ∈ ((λ(t,s). (i,t,s)) ` set F') ∪ set D.
    ∀(k, q) ∈ ((λ(t,s). (i,t,s)) ` set F') ∪ set D.
      (∃δ. Unifier δ (pair p) (pair q)) → j = k"
    by blast
  moreover have "fvpairs (map snd D) ∩ set X = {}"
    using prems(4) by fastforce
  ultimately have "[[M; ?du]]_d I" using labeled_sat_ineq_dbproj_sem_equiv[of i] by simp
  hence "[[M; unlabeled ?dl]]_d I" by (metis 0(4))
  hence "[[M; unlabeled d]]_d I" using 0(2) unfolding c d unlabeled_def by force
  hence 9: "[[M; unlabeled (d@C)]_d I" using 0(2) C(2) unfolding unlabeled_def by auto

  show ?case by (metis 8 9)
qed
} thus "?P ⇒ ?Q" by metis

{ fix C assume "C ∈ set (tr'pc A D)" "[[M; unlabeled C]]_d I"
  hence ?P using assms
  proof (induction A D arbitrary: C M rule: tr'pc.induct)
    case (1 D) thus ?case by simp
  next
    case (2 i ts S D)
    note prems = "2.prems"
    note IH = "2.IH"

    obtain C' where C': "C = (i,send(ts)st)#C'" "C' ∈ set (tr'pc S D)"
      using prems(1) by atomize_elim auto

    have 1: "[[M; unlabeled C']]_d I" using prems(2) C'(1) by simp
    have 4: "?R3 S D" using prems(3) by (auto simp add: setopslsst_def)
    have 5: "?R4 S D" using prems(4) by force
    have 6: "?R5 S D" using prems(5) by force

    have 7: "∀t ∈ set ts. M ⊢ t · I" using prems(2) C'(1) by simp

    obtain B where B: "B ∈ set (trpc S D)" "[[M; unlabeled B]]_d I"
      using IH[OF C'(2) 1 4 5 6] by atomize_elim auto
    hence "((i,send(ts)st)#B) ∈ set (trpc ((i,Send ts)#S) D)"
      "[[M; unlabeled ((i,send(ts)st)#B)]_d I"
      using 7 by auto
    thus ?case by metis
  next
    case (3 i ts S D)
    note prems = "3.prems"
    note IH = "3.IH"

    obtain C' where C': "C = (i,receive(ts)st)#C'" "C' ∈ set (tr'pc S D)"
      using prems(1) by atomize_elim auto

    have 1: "[((set ts · set I) ∪ M; unlabeled C')]_d I" using prems(2) C'(1) by simp
    have 4: "?R3 S D" using prems(3) by (auto simp add: setopslsst_def)
    have 5: "?R4 S D" using prems(4) by force
    have 6: "?R5 S D" using prems(5) by force

    obtain B where B: "B ∈ set (trpc S D)" "[((set ts · set I) ∪ M; unlabeled B)]_d I"
      using IH[OF C'(2) 1 4 5 6] by atomize_elim auto
    hence "((i,receive(ts)st)#B) ∈ set (trpc ((i,receive(ts)st)#S) D)"
      "[((set ts · set I) ∪ M; unlabeled ((i,receive(ts)st)#B))]_d I"
      by auto
    thus ?case by auto
  next
}

```

```

case (4 i ac t t' S D)
note prems = "4.prems"
note IH = "4.IH"

obtain C' where C': "C = (i,⟨ac: t ≡ t'⟩st)#C'" "C' ∈ set (tr'pc S D)"
  using prems(1) by atomize_elim auto

have 1: "[M; unlabel C']_d I" using prems(2) C'(1) by simp
have 4: "?R3 S D" using prems(3) by (auto simp add: setopssst_def)
have 5: "?R4 S D" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force

have 7: "t · I = t' · I" using prems(2) C'(1) by simp

obtain B where B: "B ∈ set (trpc S D)" "[M; unlabel B]_d I"
  using IH[OF C'(2) 1 4 5 6] by atomize_elim auto
hence "((i,⟨ac: t ≡ t'⟩st)#B) ∈ set (trpc ((i,Equality ac t t')#S) D)"
  "[M; unlabel ((i,⟨ac: t ≡ t'⟩st)#B)]_d I"
  using 7 by auto
thus ?case by metis
next
  case (5 i t s S D)
  note prems = "5.prems"
  note IH = "5.IH"

  have C: "C ∈ set (tr'pc S (List.insert (i,t,s) D))" using prems(1) by simp

  have 1: "[M; unlabel C]_d I" using prems(2) C(1) by simp
  have 4: "?R3 S (List.insert (i,t,s) D)" using prems(3) by (auto simp add: setopssst_def)
  have 5: "?R4 S (List.insert (i,t,s) D)" using prems(4,5) by force
  have 6: "?R5 S (List.insert (i,t,s) D)" using prems(5) by force

  show ?case using IH[OF C(1) 1 4 5 6] by simp
next
  case (6 i t s S D)
  note prems = "6.prems"
  note IH = "6.IH"

  let ?dl1 = "λDi. map (λd. (i,⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st)) Di"
  let ?du1 = "λDi. map (λd. ⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st) Di"
  let ?dl2 = "λDi. map (λd. (i,∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st)) [d←dbproj i D. d∉set
Di]"
  let ?du2 = "λDi. map (λd. ∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st) [d←dbproj i D. d∉set Di]"

  let ?cl1 = "λDi. map (λd. (i,⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st)) Di"
  let ?cu1 = "λDi. map (λd. ⟨check: (pair (t,s)) ≡ (pair (snd d))⟩st) Di"
  let ?cl2 = "λDi. map (λd. (i,∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st)) [d←D. d∉set Di]"
  let ?cu2 = "λDi. map (λd. ∀ []⟨∨≠: [(pair (t,s), pair (snd d))]⟩st) [d←D. d∉set Di]"

  define c where c: "c = (λDi. ?cl1 Di@?cl2 Di)"
  define d where d: "d = (λDi. ?dl1 Di@?dl2 Di)"

  obtain C' Di where C':
    "Di ∈ set (subseqs D)" "C = c Di@C'" "C' ∈ set (tr'pc S [d←D. d ∉ set Di])"
    using prems(1) c by atomize_elim auto

  have 0: "ikst (unlabel (c Di)) = {}" "ikst (unlabel (d Di)) = {}"
    "unlabel (?cl1 Di) = ?cu1 Di" "unlabel (?cl2 Di) = ?cu2 Di"
    "unlabel (?dl1 Di) = ?du1 Di" "unlabel (?dl2 Di) = ?du2 Di"
    unfolding c d unlabel_def by force+
  have 1: "[M; unlabel C']_d I" using prems(2) C'(2) 0(1) unfolding unlabel_def by auto

```

```

{ fix j p k q
  assume "(j, p) ∈ setopslsst S ∪ set [d ← D. d ∉ set Di]"
    "(k, q) ∈ setopslsst S ∪ set [d ← D. d ∉ set Di]"
  hence "(j, p) ∈ setopslsst ((i, delete(t,s))#S) ∪ set D"
    "(k, q) ∈ setopslsst ((i, delete(t,s))#S) ∪ set D"
  by (auto simp add: setopslsst_def)
  hence "∃δ. Unifier δ (pair p) (pair q) ⇒ j = k" using prems(3) by blast
} hence 4: "?R3 S [d ← D. d ∉ set Di]" by blast

have 5: "?R4 S (filter (λd. d ∉ set Di) D)" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force

obtain B where B: "B ∈ set (trpc S [d ← D. d ∉ set Di])" "[M; unlabel B]d I"
  using IH[OF C'(1,3) 1 4 5 6] by atomize_elim auto

have 7: "[M; unlabel (c Di)]d I" "[M; unlabel C']d I"
  using prems(2) C'(2) 0(1) strand_sem_split(3,4)[of M "unlabel (c Di)" "unlabel C'"]
  unfolding c unlabel_def by auto

{ fix j p k q
  assume "(j, p) ∈ {(i, t, s)} ∪ set D"
    "(k, q) ∈ {(i, t, s)} ∪ set D"
  hence "(j, p) ∈ setopslsst ((i, delete(t,s))#S) ∪ set D"
    "(k, q) ∈ setopslsst ((i, delete(t,s))#S) ∪ set D"
  by (auto simp add: setopslsst_def)
  hence "∃δ. Unifier δ (pair p) (pair q) ⇒ j = k" using prems(3) by blast
} hence "∀(j, p) ∈ {(i, t, s)} ∪ set D.
  ∀(k, q) ∈ {(i, t, s)} ∪ set D.
  (∃δ. Unifier δ (pair p) (pair q)) → j = k"
  by blast
moreover have "[M; unlabel (?cl1 Di)]d I" using 7(1) unfolding c unlabel_append by auto
hence "[M; ?cu1 Di]d I" by (metis 0(3))
ultimately have *: "Di ∈ set (subseqs (dbproj i D))"
  using labeled_sat_eqs_subseqs[OF C'(1)] by simp
hence 8: "d Di@B ∈ set (trpc ((i, delete(t,s))#S) D)"
  using B(1) unfolding d unlabel_def by auto

have "[M; unlabel (?cl2 Di)]d I" using 7(1) 0(1) unfolding c unlabel_def by auto
hence "[M; ?cu2 Di]d I" by (metis 0(4))
hence "[M; ?du2 Di]d I" by (metis labeled_sat_ineq_dbproj)
hence "[M; unlabel (?dl1 Di)]d I" by (metis 0(6))
moreover have "[M; unlabel (?cl1 Di)]d I" using 7(1) unfolding c unlabel_def by auto
hence "[M; unlabel (?dl1 Di)]d I" by (metis 0(3,5))
ultimately have "[M; unlabel (d Di)]d I" using 0(2) unfolding c d unlabel_def by force
hence 9: "[M; unlabel (d Di@B)]d I" using 0(2) B(2) unfolding unlabel_def by auto

show ?case by (metis 8 9)
next
  case (7 i ac t s S D)
  note prems = "7.prems"
  note IH = "7.IH"

  obtain C' d where C':
    "C = (i, ac: (pair (t,s)) ≈ (pair (snd d)))st#C''"
    "C' ∈ set (tr'pc S D)" "d ∈ set D"
  using prems(1) by atomize_elim force

  have 1: "[M; unlabel C']d I" using prems(2) C'(1) by simp

  { fix j p k q
    assume "(j,p) ∈ setopslsst S ∪ set D"
      "(k,q) ∈ setopslsst S ∪ set D"
    hence "(j,p) ∈ setopslsst ((i, InSet ac t s)#S) ∪ set D"
  
```

```

    "(k,q) ∈ setopslsst ((i, InSet ac t s)#S) ∪ set D"
    by (auto simp add: setopslsst_def)
    hence "(∃δ. Unifier δ (pair p) (pair q)) ⇒ j = k" using prems(3) by blast
} hence 4: "?R3 S D" by blast

have 5: "?R4 S D" using prems(4) by force
have 6: "?R5 S D" using prems(5) by force

obtain B where B: "B ∈ set (trpc S D)" "[M; unlabeled B]d I"
  using IH[OF C'(2) 1 4 5 6] by atomize_elim auto

have 7: "pair (t,s) · I = pair (snd d) · I" using prems(2) C'(1) by simp

have "(i,t,s) ∈ setopslsst ((i, InSet ac t s)#S) ∪ set D"
  "(fst d, snd d) ∈ setopslsst ((i, InSet ac t s)#S) ∪ set D"
  using C'(3) by (auto simp add: setopslsst_def)
hence "∃δ. Unifier δ (pair (t,s)) (pair (snd d)) ⇒ i = fst d"
  using prems(3) by blast
hence "fst d = i" using 7 by auto
hence 8: "d ∈ set (dbproj i D)" using C'(3) unfolding dbproj_def by auto

have 9: "((i,⟨ac: (pair (t,s)) ≈ (pair (snd d))⟩st)#B) ∈ set (trpc ((i, InSet ac t s)#S) D)"
  using B 8 by auto
have 10: "[M; unlabeled ((i,⟨ac: (pair (t,s)) ≈ (pair (snd d))⟩st)#B)]d I"
  using B 7 8 by auto

show ?case by (metis 9 10)
next
  case (8 i X F F' S D)
  note prems = "8.prems"
  note IH = "8.IH"

  let ?dl = "map (λG. (i, ∀X⟨V ≠: (F@G)⟩st)) (trpairs F' (map snd (dbproj i D)))"
  let ?du = "map (λG. ∀X⟨V ≠: (F@G)⟩st) (trpairs F' (map snd (dbproj i D)))"

  let ?cl = "map (λG. (i, ∀X⟨V ≠: (F@G)⟩st)) (trpairs F' (map snd D))"
  let ?cu = "map (λG. ∀X⟨V ≠: (F@G)⟩st) (trpairs F' (map snd D))"

  define c where c: "c = ?cl"
  define d where d: "d = ?dl"

  obtain C' where C': "C = c@C'" "C' ∈ set (tr'pc S D)" using prems(1) c by atomize_elim auto

  have 0: "ikst (unlabel c) = {}" "ikst (unlabel d) = {}"
    "unlabel ?cl = ?cu" "unlabel ?dl = ?du"
    unfolding c d unlabeled_def by force+
  have "ikst (unlabel c) = {}" unfolding c unlabeled_def by force
  hence 1: "[M; unlabeled C']d I" using prems(2) C'(1) unfolding unlabeled_def by auto

  have "setopslsst S ⊆ setopslsst ((i, NegChecks X F F')#S)" by (auto simp add: setopslsst_def)
  hence 4: "?R3 S D" using prems(3) by blast

  have 5: "?R4 S D" using prems(4) by force
  have 6: "?R5 S D" using prems(5) by force

  obtain B where B: "B ∈ set (trpc S D)" "[M; unlabeled B]d I"
    using IH[OF C'(2) 1 4 5 6] by atomize_elim auto

  have 7: "[M; unlabeled c]d I" "[M; unlabeled C']d I"
    using prems(2) C'(1) O(1) strand_sem_split(3,4)[of M "unlabel c" "unlabel C'"]
    unfolding c unlabeled_def by auto

```

```

have 8: "d@B ∈ set (trpc ((i, NegChecks X F F')#S) D)"
  using B(1) unfolding d unlabeled_def by auto

have "[M; unlabeled ?cl]d I" using 7(1) unfolding c unlabeled_def by auto
hence "[M; ?cu]d I" by (metis 0(3))
moreover {
  fix j p k q
  assume "(j, p) ∈ ((λ(t,s). (i,t,s)) ` set F') ∪ set D"
  "(k, q) ∈ ((λ(t,s). (i,t,s)) ` set F') ∪ set D"
  hence "(j, p) ∈ setopslsst ((i, NegChecks X F F')#S) ∪ set D"
  "(k, q) ∈ setopslsst ((i, NegChecks X F F')#S) ∪ set D"
  by (auto simp add: setopslsst_def)
  hence "(∃δ. Unifier δ (pair p) (pair q)) ⇒ j = k" using prems(3) by blast
} hence "∀(j, p) ∈ ((λ(t,s). (i,t,s)) ` set F') ∪ set D.
  ∀(k, q) ∈ ((λ(t,s). (i,t,s)) ` set F') ∪ set D.
  (∃δ. Unifier δ (pair p) (pair q)) → j = k"
  by blast
moreover have "fvpairs (map snd D) ∩ set X = {}"
  using prems(4) by fastforce
ultimately have "[M; ?du]d I" using labeled_sat_ineq_dbproj_sem_equiv[of i] by simp
hence "[M; unlabeled ?dl]d I" by (metis 0(4))
hence "[M; unlabeled d]d I" using 0(2) unfolding c d unlabeled_def by force
hence 9: "[M; unlabeled (d@B)]d I" using 0(2) B(2) unfolding unlabeled_def by auto

show ?case by (metis 8 9)
qed
} thus "?Q ⇒ ?P" by metis
qed

```

### Part 3

```

private lemma tr'_par_sem_equiv:
  assumes "∀(l,t,s) ∈ set D. (fv t ∪ fv s) ∩ bvarssst (unlabel A) = {}"
  and "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}" "ground M"
  and "∀(i,p) ∈ setopslsst A ∪ set D. ∀(j,q) ∈ setopslsst A ∪ set D.
    (∃δ. Unifier δ (pair p) (pair q)) → i = j" (is "?R A D")
  and I: "interpretationsubst I"
  shows "[M; set (unlabel D) ·pset I; unlabeled A]s I ↔ (∃B ∈ set (tr'pc A D). [M; unlabeled B]d I)"
    (is "?P ↔ ?Q")
proof -
  have 1: "∀(t,s) ∈ set (unlabel D). (fv t ∪ fv s) ∩ bvarssst (unlabel A) = {}"
    using assms(1) unfolding unlabeled_def by force

  have 2: "∀(i,p) ∈ setopslsst A ∪ set D. ∀(j,q) ∈ setopslsst A ∪ set D. p = q → i = j"
    using assms(4) subst_apply_term_empty by blast

  show ?thesis by (metis tr_sem_equiv'[OF 1 assms(2,3) I] tr'_par_iff_unlabel_tr[OF 2])
qed

```

### Part 4

```

lemma tr_par_sem_equiv:
  assumes "∀(l,t,s) ∈ set D. (fv t ∪ fv s) ∩ bvarssst (unlabel A) = {}"
  and "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}" "ground M"
  and "∀(i,p) ∈ setopslsst A ∪ set D. ∀(j,q) ∈ setopslsst A ∪ set D.
    (∃δ. Unifier δ (pair p) (pair q)) → i = j"
  and I: "interpretationsubst I"
  shows "[M; set (unlabel D) ·pset I; unlabeled A]s I ↔ (∃B ∈ set (trpc A D). [M; unlabeled B]d I)"
    (is "?P ↔ ?Q")
using tr_par_iff_tr'_par[OF assms(4,1,2), of M I] tr'_par_sem_equiv[OF assms] by metis
end

```

end

### 6.2.6 Theorem: The Stateful Compositionality Result, on the Constraint Level

theorem (in labeled\_stateful\_typed\_model) par\_comp\_constr\_stateful\_typed:

assumes  $\mathcal{A}$ : "par\_complsst  $\mathcal{A}$  Sec" " $fv_{sst} \mathcal{A} \cap bvars_{sst} \mathcal{A} = \{\}$ "  
and  $\mathcal{I}$ : " $\mathcal{I} \models_s unlabel \mathcal{A}$ " "interpretation<sub>subst</sub>  $\mathcal{I}$ " " $wts_{sst} \mathcal{I}$ " " $wf_{trms} (\text{subst\_range } \mathcal{I})$ "  
shows " $(\forall n. \mathcal{I} \models_s proj_{unl} n \mathcal{A}) \vee$   
 $(\exists \mathcal{A}' l' ts. prefix \mathcal{A}' \mathcal{A} \wedge suffix [(l', receive(ts))] \mathcal{A}' \wedge (\mathcal{A}' \text{ leaks Sec under } \mathcal{I}))$ "

proof -

let ?P = " $\lambda n A D$ ".  
 $\forall (i, p) \in setops_{sst} (proj n \mathcal{A}) \cup set D$ .  
 $\forall (j, q) \in setops_{sst} (proj n \mathcal{A}) \cup set D$ .  
 $(\exists \delta. Unifier \delta (pair p) (pair q)) \longrightarrow i = j$ "

have 1: " $\forall (l, t, t') \in set [] . (fv t \cup fv t') \cap bvars_{sst} (unlabel \mathcal{A}) = \{\}$ "  
" $fv_{sst} (unlabel \mathcal{A}) \cap bvars_{sst} (unlabel \mathcal{A}) = \{\}$ " "ground {}"  
using  $\mathcal{A}(2)$  by simp\_all

have 2: " $\bigwedge n. \forall (l, t, t') \in set [] . (fv t \cup fv t') \cap bvars_{sst} (proj_{unl} n \mathcal{A}) = \{\}$ "  
" $\bigwedge n. fv_{sst} (proj_{unl} n \mathcal{A}) \cap bvars_{sst} (proj_{unl} n \mathcal{A}) = \{\}$ "  
using 1 sst\_vars\_proj\_subset[of \_  $\mathcal{A}$ ] by fast+

note 3 = par\_complsst\_proj[OF  $\mathcal{A}(1)$ ]

have 4:

" $[\{\}; set (unlabel []) \cdot_{pset} \mathcal{I}'; unlabeled \mathcal{A}]_s \mathcal{I}' \longleftrightarrow$   
 $(\exists B \in set (tr_{pc} \mathcal{A} [])). [\{\}; unlabeled B]_d \mathcal{I}')$ "  
when  $\mathcal{I}'$ : "interpretation<sub>subst</sub>  $\mathcal{I}'$ " for  $\mathcal{I}'$   
using tr\_par\_sem\_equiv[OF 1 \_  $\mathcal{I}'$ ]  $\mathcal{A}(1)$   
unfolding par\_complsst\_def constr\_sem\_d\_def by auto

obtain  $\mathcal{A}'$  where  $\mathcal{A}'$ : " $\mathcal{A}' \in set (tr_{pc} \mathcal{A} [])$ " " $\mathcal{I} \models \langle unlabel \mathcal{A}' \rangle$ "  
using 4[OF  $\mathcal{I}(2)$ ]  $\mathcal{I}(1)$  unfolding constr\_sem\_d\_def by atomize\_elim auto

have  $\mathcal{I}'$ :

" $(\forall n. (\mathcal{I} \models \langle proj_{unl} n \mathcal{A}' \rangle) \vee$   
 $(\exists \mathcal{A}'' l' ts. prefix \mathcal{A}'' \mathcal{A}' \wedge suffix [(l', receive(ts)) \mathcal{A}'' \wedge$   
 $(strand_leaks_{sst} \mathcal{A}'' Sec \mathcal{I}))$ "  
using par\_comp\_constr\_typed[OF tr\_par\_preserves\_par\_comp[OF  $\mathcal{A}(1) \mathcal{A}'(1)$ ]  $\mathcal{A}'(2) \mathcal{I}(2-)$ ] by blast

show ?thesis

proof (cases " $\forall n. (\mathcal{I} \models \langle proj_{unl} n \mathcal{A}' \rangle)$ ")

case True

{ fix n assume " $\mathcal{I} \models \langle proj_{unl} n \mathcal{A}' \rangle$ "  
hence " $[\{\}; \{\}; unlabeled (proj n \mathcal{A})]_s \mathcal{I}$ "  
using tr\_par\_proj[OF  $\mathcal{A}'(1)$ , of n]  
tr\_par\_sem\_equiv[OF 2(1,2) 1(3) \_  $\mathcal{I}(2)$ , of n] 3(1)  
unfolding par\_complsst\_def proj\_def constr\_sem\_d\_def by force  
} thus ?thesis using True  $\mathcal{I}(1,2,3) \mathcal{I}(1)$  by metis

next

case False

then obtain  $\mathcal{A}'''$ : "('fun', 'var', 'lbl') labeled\_strand" where  $\mathcal{A}'''$ :  
"prefix  $\mathcal{A}''' \mathcal{A}''$ " "strand\_leaks\_{sst}  $\mathcal{A}''' Sec \mathcal{I}$ "  
using  $\mathcal{I}'$  by blast

have " $\exists t \in Sec - declassified_{sst} \mathcal{A}''' \mathcal{I}. \exists l.$   
 $(\mathcal{I} \models \langle unlabel (proj l \mathcal{A}''') \rangle) \wedge ik_{sst} (unlabel (proj l \mathcal{A}''')) \cdot_{set} \mathcal{I} \vdash t \cdot \mathcal{I}$ "

proof -

obtain s m where sm:  
"s  $\in Sec - declassified_{sst} \mathcal{A}''' \mathcal{I}$ " " $[\{\}; proj_{unl} m \mathcal{A}''' @ [send([s])_{sst}]_d \mathcal{I}$ "  
using  $\mathcal{A}'''$  unfolding strand\_leaks\_{sst}\_def constr\_sem\_d\_def by blast

```

show ?thesis using strand_sem_split(3,4)[OF sm(2)] sm(1) unfolding constr_sem_d_def by auto
qed
then obtain s m where sm:
  "s ∈ Sec - declassifiedlst A'' I"
  "I ⊨ ⟨unlabel (proj m A'')⟩"
  "ikst (unlabel (proj m A'')) ·set I ⊢ s · I"
by atomize_elim auto
hence s': "¬{} ⊢c s · I" "s · I = s"
  using A(1) subst_ground_ident[of s I] unfolding par_complsst_def by auto

— We now need to show that there is some prefix B of A'' that also leaks and where B ∈ set (tr C D) for some
prefix C of A
obtain B:: "('fun, 'var, 'lbl) labeled_strand"
  and C G:: "('fun, 'var, 'lbl) labeled_stateful_strand"
  where BC:
    "prefix B A'" "prefix C A" "B ∈ set (trpc C [])"
    "ikst (unlabel (proj m B)) ·set I ⊢ s · I"
    "prefix B A''" "∃l t. suffix ((l, receive(t))#G) C"
  and G: "list_all (Not ∘ is_Receive ∘ snd) G"
  using tr_leaking_prefix_exists[OF A'(1) A''(1) sm(3)]
    prefix_order.order_trans[OF _ A''(1)] s'
  by blast

obtain C' where C': "C = C'@G" "∃l t. suffix [(l, receive(t))] C'"
  using BC(6) unfolding suffix_def by (metis append_Cons append_assoc append_self_conv2)

have "[]; unlabel (proj m B)]_d I"
  using sm(2) BC(5) unfolding prefix_def unlabel_def proj_def constr_sem_d_def by auto
hence BC': "I ⊨ ⟨proj_unl m B@[send{[s]}st]⟩"
  using BC(4) unfolding constr_sem_d_def by auto
have BC'': "s ∈ Sec - declassifiedlst B I"
  using BC(5) sm(1) declassified_prefix_subset by auto

have "∃n. I ⊢s (proj_unl n C'@[Send [s]])"
proof -
  have 5: "par_complsst (proj n C) Sec" for n
    using A(1) BC(2) par_complsst_split(1)[THEN par_complsst_proj]
      unfolding prefix_def by auto

  have "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}"
    "fvsst (unlabel C) ⊆ fvsst (unlabel A)"
    "bvarssst (unlabel C) ⊆ bvarssst (unlabel A)"
    using A(2) BC(2) sst_vars_append_subset(1,2)[of "unlabel C"]
      unfolding prefix_def unlabel_def by auto
  hence "fvsst (proj_unl n C) ∩ bvarssst (proj_unl n C) = {}" for n
    using sst_vars_proj_subset[of _ C] sst_vars_proj_subset[of _ A]
    by blast
  hence 6:
    "∀(l, t, t') ∈ set []. (fv t ∪ fv t') ∩ bvarssst (proj_unl n C) = {}"
    "fvsst (proj_unl n C) ∩ bvarssst (proj_unl n C) = {}"
    "ground {}"
    for n
  using 2 by auto

have 7: "?P n C []" for n using 5 unfolding par_complsst_def by simp

obtain n where n: "I ⊢s proj_unl n C" "iksst (proj_unl n C) ·set I ⊢ s · I"
  using s'(2) tr_par_proj[OF BC(3), of m] BC'(1)
    tr_par_sem_equiv[OF 6 7 I(2), of m]
    tr_par_deduct_iff[OF tr_par_proj(1)[OF BC(3)], of I m s]
  unfolding proj_def constr_sem_d_def by auto

have "iksst (proj_unl n C) = iksst (proj_unl n C')"

```

```

using C'(1) G unfolding iksst_def unlabeled_def proj_def list_all_iff by fastforce
hence 8: "iksst (proj_unl n C') ·set I ⊢ s · I" using n(2) by simp

have 9: "I ⊨ proj_unl n C'"
  using n(1) C'(1) strand_sem_append_stateful by simp

show ?thesis using 8 9 strand_sem_append_stateful by auto
qed

moreover have "s ∈ Sec - declassifiedlsst C I" by (metis tr_par_declassified_eq BC(3) BC'')
hence "s ∈ Sec - declassifiedlsst C' I"
  using ideduct_mono[of
    "U{set ts |ts. ⟨*, receive(ts)⟩ ∈ set C'} ·set I" -
    "U{set ts |ts. ⟨*, receive(ts)⟩ ∈ set (C'@G)} ·set I"]
unfolding declassifiedlsst_alt_def C'(1) by auto
moreover have "prefix C' A" using BC(2) C' unfolding prefix_def by auto
ultimately show ?thesis using C'(2) unfolding strand_leakslsst_def by meson
qed
qed

theorem (in labeled_stateful_typing) par_comp_constr_stateful:
assumes A: "par_compsst A Sec" "typing_condsst (unlabel A)"
and I: "I ⊨ unlabeled A" "interpretationsubst I"
shows "∃ Iτ. interpretationsubst Iτ ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ) ∧ (Iτ ⊨ unlabeled A) ∧
      ((∀n. Iτ ⊨ proj_unl n A) ∨
       (∃A' l' ts. prefix A' A ∧ suffix [(l', receive(ts))] A' ∧ (A' leaks Sec under
      Iτ)))"
proof -
  let ?P = "λn A D.
    ∀(i, p) ∈ setopslsst (proj n A) ∪ set D.
    ∀(j, q) ∈ setopslsst (proj n A) ∪ set D.
    (exists δ. Unifier δ (pair p) (pair q)) → i = j"

  have 1: "∀(l, t, t') ∈ set []. (fv t ∪ fv t') ∩ bvarssst (unlabel A) = {}"
    "fvsst (unlabel A) ∩ bvarssst (unlabel A) = {}" "ground {}"
  using A(2) unfolding typing_condsst_def by simp_all

  have 2: "∀n. ∀(l, t, t') ∈ set []. (fv t ∪ fv t') ∩ bvarssst (proj_unl n A) = {}"
    "fvsst (proj_unl n A) ∩ bvarssst (proj_unl n A) = {}"
  using 1(1,2) sst_vars_proj_subset[of _ A] by fast+
  have 3: "∀n. par_compsst (proj n A) Sec"
    using par_compsst_proj[OF A(1)] by metis

  have 4:
    "[[{}]; set (unlabel []) ·pset I'; unlabeled A]_s I' ↔
     (exists B ∈ set (trpc A []). [[{}]; unlabeled B]_d I')"
    when I': "interpretationsubst I'" for I'
    using tr_par_sem_equiv[OF 1 _ I'] A(1)
    unfolding par_compsst_def constr_sem_d_def by auto

  obtain A' where A': "A' ∈ set (trpc A [])" "I ⊨ ⟨unlabel A'⟩"
    using 4[OF I(2)] I(1) unfolding constr_sem_d_def by atomize_elim auto

  obtain Iτ where Iτ:
    "interpretationsubst Iτ" "wtsubst Iτ" "wftrms (subst_range Iτ)" "Iτ ⊨ ⟨unlabel A'⟩"
    "((∀n. (Iτ ⊨ proj_unl n A')) ∨
     (∃A'' l' ts. prefix A'' A' ∧ suffix [(l', receive(ts)st)] A'' ∧
     (strand_leakslsst A'' Sec Iτ)))"
  using par_comp_constr[OF tr_par_preserves_par_comp[OF A(1) A'(1)]]
    tr_par_preserves_typing_cond[OF A A'(1)]
    A'(2) I(2)
  by atomize_elim auto

```

```

have  $\mathcal{I}_\tau'': \mathcal{I}_\tau \models_s \text{unlabel } \mathcal{A}''$  using 4[ $\text{OF } \mathcal{I}_\tau(1)$ ]  $\mathcal{A}'(1) \mathcal{I}_\tau(4)$  unfolding constr_sem_d_def by auto
show ?thesis
proof (cases " $\forall n. (\mathcal{I}_\tau \models \langle \text{proj\_unl } n \mathcal{A}' \rangle)$ ")
  case True
  { fix n assume " $\mathcal{I}_\tau \models \langle \text{proj\_unl } n \mathcal{A}' \rangle$ "
    hence " $\llbracket \{\}; \{ \}; \text{unlabel } (\text{proj } n \mathcal{A}) \rrbracket_s \mathcal{I}_\tau$ " using tr_par_proj[ $\text{OF } \mathcal{A}'(1)$ , of n]
       $\text{tr\_par\_sem\_equiv[ $\text{OF } 2(1,2)$ ] } 1(3) \_ \mathcal{I}_\tau(1), \text{of } n] 3(1)$ 
      unfolding par_complsst_def proj_def constr_sem_d_def by force
    } thus ?thesis using True  $\mathcal{I}_\tau(1,2,3)$   $\mathcal{I}_\tau'$  by metis
next
  case False
  then obtain  $\mathcal{A}'''::("(\text{fun}, \text{var}, \text{lbl}) \text{ labeled\_strand}")$  where  $\mathcal{A}'''$ :
    "prefix  $\mathcal{A}''' \mathcal{A}''$ " "strand_leakslst  $\mathcal{A}''' \text{ Sec } \mathcal{I}_\tau$ " using  $\mathcal{I}_\tau$  by blast
  moreover {
    fix ts l assume *: " $\llbracket \{\}; \text{unlabel } (\text{proj } l \mathcal{A}') \otimes [\text{send}(ts)_{st}] \rrbracket_d \mathcal{I}_\tau$ "
    have " $\mathcal{I}_\tau \models \langle \text{unlabel } (\text{proj } l \mathcal{A}') \rangle$ " " $\forall t \in \text{set } ts. \text{ik}_{st} (\text{unlabel } (\text{proj } l \mathcal{A}')) \cdot_{\text{set}} \mathcal{I}_\tau \vdash t \cdot \mathcal{I}_\tau$ " using strand_sem_split(3,4)[ $\text{OF } *$ ] unfolding constr_sem_d_def by auto
  } ultimately have " $\exists t \in \text{Sec - declassified}_{lst} \mathcal{A}''' \mathcal{I}_\tau. \exists l.$   $(\mathcal{I}_\tau \models \langle \text{unlabel } (\text{proj } l \mathcal{A}') \rangle) \wedge \text{ik}_{st} (\text{unlabel } (\text{proj } l \mathcal{A}')) \cdot_{\text{set}} \mathcal{I}_\tau \vdash t \cdot \mathcal{I}_\tau$ " unfolding strand_leakslst_def constr_sem_d_def by force
  then obtain s m where sm:
    " $s \in \text{Sec - declassified}_{lst} \mathcal{A}''' \mathcal{I}_\tau$ " " $\mathcal{I}_\tau \models \langle \text{unlabel } (\text{proj } m \mathcal{A}') \rangle$ " " $\text{ik}_{st} (\text{unlabel } (\text{proj } m \mathcal{A}')) \cdot_{\text{set}} \mathcal{I}_\tau \vdash s \cdot \mathcal{I}_\tau$ " by atomize_elim auto
  hence s': " $\neg \{\} \vdash_c s \cdot \mathcal{I}_\tau$ " " $s \cdot \mathcal{I}_\tau = s$ " using  $\mathcal{A}(1)$  subst_ground_ident[of s  $\mathcal{I}_\tau$ ] unfolding par_complsst_def by auto
  — We now need to show that there is some prefix B of  $\mathcal{A}'''$  that also leaks and where  $B \in \text{set } (\text{tr } C D)$  for some prefix C of  $\mathcal{A}$ 
  obtain B::("(\text{fun}, \text{var}, \text{lbl}) \text{ labeled\_strand}")
    and C G::("(\text{fun}, \text{var}, \text{lbl}) \text{ labeled\_stateful\_strand}")
    where BC:
      "prefix B  $\mathcal{A}'''$ " "prefix C  $\mathcal{A}'''$ " " $B \in \text{set } (\text{tr}_p C [] )$ " " $\text{ik}_{st} (\text{unlabel } (\text{proj } m B)) \cdot_{\text{set}} \mathcal{I}_\tau \vdash s \cdot \mathcal{I}_\tau$ " " $\text{prefix } B \mathcal{A}''' \exists l t. \text{suffix } ((l, \text{receive}(t)) \# G) C''$ " and G: "list_all (Not o is_Receive o snd) G"
      using tr_leaking_prefix_exists[ $\text{OF } \mathcal{A}'(1) \mathcal{A}'''(1) \text{ sm}(3)$ ] prefix_order.order_trans[ $\text{OF } \mathcal{A}'''(1)$ ] s' by blast
  obtain C' where C': " $C = C' \otimes G$ " " $\exists l t. \text{suffix } ((l, \text{receive}(t)) \# G) C''$ " using BC(6) unfolding suffix_def by (metis append_Cons append_assoc append_self_conv2)
  have " $\llbracket \{\}; \text{unlabel } (\text{proj } m B) \rrbracket_d \mathcal{I}_\tau$ " using sm(2) BC(5) unfolding prefix_def unlabeled_def proj_def constr_sem_d_def by auto
  hence BC': " $\mathcal{I}_\tau \models \langle \text{proj\_unl } m B \otimes [\text{send}([s]_{st})] \rangle$ " using BC(4) unfolding constr_sem_d_def by auto
  have BC'': " $s \in \text{Sec - declassified}_{lst} B \mathcal{I}_\tau$ " using BC(5) sm(1) declassified_prefix_subset by auto
  have " $\exists n. \mathcal{I}_\tau \models_s (\text{proj\_unl } n C' \otimes [\text{Send } [s]])$ " proof -
    have 5: " $\text{par\_complsst } (\text{proj } n C) \text{ Sec}$ " for n using  $\mathcal{A}(1)$  BC(2) par_complsst_split(1)[THEN par_complsst_proj] unfolding prefix_def by auto
    have "fvsst (unlabel  $\mathcal{A}$ ) \cap bvarssst (unlabel  $\mathcal{A}$ ) = {}" " $\text{fv}_{sst} (\text{unlabel } C) \subseteq \text{fv}_{sst} (\text{unlabel } \mathcal{A})$ "
```

```

"bvarssst (unlabel C) ⊆ bvarssst (unlabel A)"
using A(2) BC(2) sst_vars_append_subset(1,2)[of "unlabel C"]
unfold typing_condsst_def prefix_def unlabel_def by auto
hence "fvsst (proj_unl n C) ∩ bvarssst (proj_unl n C) = {}" for n
  using sst_vars_proj_subset[of _ C] sst_vars_proj_subset[of _ A]
  by blast
hence 6:
  " $\forall (l, t, t') \in set \{ \}. (fv t \cup fv t') \cap bvars_{sst} (proj\_unl n C) = \{ \}$ "
  " $fv_{sst} (proj\_unl n C) \cap bvars_{sst} (proj\_unl n C) = \{ \}$ "
  "ground {}"
  for n
  using 2 by auto

have 7: "?P n C []" for n using 5 unfolding par_complsst_def by simp

obtain n where n: " $\mathcal{I}_\tau \models_s proj\_unl n C$ " " $ik_{sst} (proj\_unl n C) \cdot_{set} \mathcal{I}_\tau \vdash s \cdot \mathcal{I}_\tau$ "
  using s'(2) tr_par_proj[OF BC(3), of m] BC'(1)
    tr_par_sem_equiv[OF 6 7 Iτ(1), of m]
    tr_par_deduct_iff[OF tr_par_proj(1)[OF BC(3)], of Iτ m s]
  unfolding proj_def constr_sem_d_def by auto

have "iksst (proj_unl n C) = iksst (proj_unl n C')"
  using C'(1) G unfolding iksst_def unlabel_def proj_def list_all_iff by fastforce
hence 8: "iksst (proj_unl n C') \cdot_{set} \mathcal{I}_\tau \vdash s \cdot \mathcal{I}_\tau" using n(2) by simp

have 9: " $\mathcal{I}_\tau \models_s proj\_unl n C'$ "
  using n(1) C'(1) strand_sem_append_stateful by simp

show ?thesis using 8 9 strand_sem_append_stateful by auto
qed

moreover have "s ∈ Sec - declassifiedlsst C \mathcal{I}_\tau" by (metis tr_par_declassified_eq BC(3) BC'')
hence "s ∈ Sec - declassifiedlsst C' \mathcal{I}_\tau"
  using ideduct_mono[of
    " $\bigcup \{set ts | ts. \langle \star, receive(ts) \rangle \in set C'\} \cdot_{set} \mathcal{I}_\tau$ " ]
    " $\bigcup \{set ts | ts. \langle \star, receive(ts) \rangle \in set (C' \ominus G)\} \cdot_{set} \mathcal{I}_\tau$ "]
  unfolding declassifiedlsst_alt_def C'(1) by auto
moreover have "prefix C' A" using BC(2) C' unfolding prefix_def by auto
ultimately show ?thesis
  using Iτ(1,2,3) Iτ' C'(2) unfolding strand_leakslsst_def by meson
qed
qed

```

### 6.2.7 Theorem: The Stateful Compositionality Result, on the Protocol Level

```
context labeled_stateful_typing
begin
```

```
context
begin
```

#### Definitions: Labeled Protocols

We state our result on the level of protocol traces (i.e., the constraints reachable in a symbolic execution of the actual protocol). Hence, we do not need to convert protocol strands to intruder constraints in the following well-formedness definitions.

```

private definition wflst:::"('fun, 'var, 'lbl) labeled_strand set ⇒ bool" where
  "wflst S ≡ (∀A ∈ S. wflst { } A) ∧ (∀A ∈ S. ∀A' ∈ S. fvlst A ∩ bvarslst A' = {})"

private definition wflst':::
  "('fun, 'var, 'lbl) labeled_strand set ⇒ ('fun, 'var, 'lbl) labeled_strand ⇒ bool"
where
  "wflst' S A ≡ (∀A' ∈ S. wflst (wf_restrictedvarslst A) (unlabel A')) ∧
```

$$\begin{aligned} & (\forall \mathcal{A}' \in \mathcal{S}. \forall \mathcal{A}'' \in \mathcal{S}. \text{fv}_{\text{lst}} \mathcal{A}' \cap \text{bvars}_{\text{lst}} \mathcal{A}'' = \{\}) \wedge \\ & (\forall \mathcal{A}' \in \mathcal{S}. \text{fv}_{\text{lst}} \mathcal{A}' \cap \text{bvars}_{\text{lst}} \mathcal{A} = \{\}) \wedge \\ & (\forall \mathcal{A}' \in \mathcal{S}. \text{fv}_{\text{lst}} \mathcal{A} \cap \text{bvars}_{\text{lst}} \mathcal{A}' = \{\}) \end{aligned}$$

```

private definition typing_cond_prot where
  "typing_cond_prot  $\mathcal{P}$   $\equiv$ 
    wflst  $\mathcal{P}$   $\wedge$ 
    tfrset ( $\bigcup$  (trmslst `  $\mathcal{P}$ ))  $\wedge$ 
    wftrms ( $\bigcup$  (trmslst `  $\mathcal{P}$ ))  $\wedge$ 
    ( $\forall \mathcal{A} \in \mathcal{P}$ . list_all tfrstp (unlabel  $\mathcal{A}$ ))  $\wedge$ 
    Ana_invar_subst (( $\bigcup$  (ikst ` unlabel `  $\mathcal{P}$ )  $\cup$  ( $\bigcup$  (assignment_rhsst ` unlabel `  $\mathcal{P}$ )))")
```

```

private definition par_comp_prot where
  "par_comp_prot  $\mathcal{P}$  Sec  $\equiv$ 
    ( $\forall 11 12$ .  $11 \neq 12 \longrightarrow$ 
      GSMP_disjoint ( $\bigcup \mathcal{A} \in \mathcal{P}$ . trmsprojlst 11  $\mathcal{A}$ ) ( $\bigcup \mathcal{A} \in \mathcal{P}$ . trmsprojlst 12  $\mathcal{A}$ ) Sec)  $\wedge$ 
    ground Sec  $\wedge$  ( $\forall s \in \text{Sec}$ .  $\neg \{\} \vdash_c s$ )  $\wedge$ 
    typing_cond_prot  $\mathcal{P}$ "
```

**Lemmata: Labeled Protocols**

```

private lemma wflst_eqs_wflst'': "wflst S = wflst' S []"
unfolding wflst_def wflst'_def unlabel_def by auto
```

```

private lemma par_comp_prot_impl_par_comp:
  assumes "par_comp_prot  $\mathcal{P}$  Sec" " $\mathcal{A} \in \mathcal{P}$ "
  shows "par_comp  $\mathcal{A}$  Sec"
proof -
  have *: " $\forall 11 12$ .  $11 \neq 12 \longrightarrow$ 
    GSMP_disjoint ( $\bigcup \mathcal{A} \in \mathcal{P}$ . trmsprojlst 11  $\mathcal{A}$ ) ( $\bigcup \mathcal{A} \in \mathcal{P}$ . trmsprojlst 12  $\mathcal{A}$ ) Sec"
    using assms(1) unfolding par_comp_prot_def by metis
  { fix 11 12 :: 'lbl assume **: "11 \neq 12"
    hence ***: "GSMP_disjoint ( $\bigcup \mathcal{A} \in \mathcal{P}$ . trmsprojlst 11  $\mathcal{A}$ ) ( $\bigcup \mathcal{A} \in \mathcal{P}$ . trmsprojlst 12  $\mathcal{A}$ ) Sec"
      using * by auto
    have "GSMP_disjoint (trmsprojlst 11  $\mathcal{A}$ ) (trmsprojlst 12  $\mathcal{A}$ ) Sec"
      using GSMP_disjoint_subset[OF ***] assms(2) by auto
  } hence " $\forall 11 12$ .  $11 \neq 12 \longrightarrow$  GSMP_disjoint (trmsprojlst 11  $\mathcal{A}$ ) (trmsprojlst 12  $\mathcal{A}$ ) Sec" by metis
  thus ?thesis using assms unfolding par_comp_prot_def par_comp_def by metis
qed
```

```

private lemma typing_cond_prot_impl_typing_cond:
  assumes "typing_cond_prot  $\mathcal{P}$ " " $\mathcal{A} \in \mathcal{P}$ "
  shows "typing_cond (unlabel  $\mathcal{A}$ )"
proof -
  have 1: "wfst {} (unlabel  $\mathcal{A}$ )" "fvlst  $\mathcal{A}$   $\cap$  bvarslst  $\mathcal{A}$  = {}"
    using assms unfolding typing_cond_prot_def wflst_def by auto
  have "tfrset ( $\bigcup$  (trmslst `  $\mathcal{P}$ ))"
    "wftrms ( $\bigcup$  (trmslst `  $\mathcal{P}$ ))"
    "trmslst  $\mathcal{A}$   $\subseteq$   $\bigcup$  (trmslst `  $\mathcal{P}$ )"
    "SMP (trmslst  $\mathcal{A}$ ) - Var` $\mathcal{V}$   $\subseteq$  SMP ( $\bigcup$  (trmslst `  $\mathcal{P}$ )) - Var` $\mathcal{V}$ "
    using assms SMP_mono[of "trmslst  $\mathcal{A}$ " " $\bigcup$  (trmslst `  $\mathcal{P}$ )"]
    unfolding typing_cond_prot_def
    by (metis, metis, auto)
  hence 2: "tfrset (trmslst  $\mathcal{A}$ )" and 3: "wftrms (trmslst  $\mathcal{A}$ )"
    unfolding tfrset_def by (meson subsetD)+
  have 4: "list_all tfrstp (unlabel  $\mathcal{A}$ )" using assms unfolding typing_cond_prot_def by auto
  have "subtermsset (ikst (unlabel  $\mathcal{A}$ )  $\cup$  assignment_rhsst (unlabel  $\mathcal{A}$ ))  $\subseteq$ 
    subtermsset (( $\bigcup$  (ikst ` unlabel `  $\mathcal{P}$ )  $\cup$  ( $\bigcup$  (assignment_rhsst ` unlabel `  $\mathcal{P}$ )))"
    using assms(2) by auto
  hence 5: "Ana_invar_subst (ikst (unlabel  $\mathcal{A}$ )  $\cup$  assignment_rhsst (unlabel  $\mathcal{A}$ ))"
```

```

using assms SMP_mono unfolding typing_cond_prot_def Ana_invar_subst_def by (meson subsetD)

show ?thesis using 1 2 3 4 5 unfolding typing_cond_def tfrst_def by blast
qed

```

**Theorem: Parallel Compositionality for Labeled Protocols**

```

private definition component_prot where
  "component_prot n P ≡ (∀ l ∈ P. ∀ s ∈ set l. has_LabelN n s ∨ has_LabelS s)"

private definition composed_prot where
  "composed_prot P ≡ {A. ∀ n. proj n A ∈ P n}"

private definition component_secure_prot where
  "component_secure_prot n P Sec attack ≡ (∀ A ∈ P. suffix [(ln n, Send1 (Fun attack []))] A →
    (∀ Iτ. interpretationsubst Iτ ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ)) →
    ¬(Iτ ⊨ ⟨proj_unl n A⟩) ∧
    (∀ A'. prefix A' A →
      (∀ t ∈ Sec-declassifiedlst A' Iτ. ¬(Iτ ⊨ ⟨proj_unl n A'@[Send1 t]⟩))))"

private definition component_leaks where
  "component_leaks n A Sec ≡ (∃ A' Iτ. interpretationsubst Iτ ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ) ∧
    prefix A' A ∧ (∃ t ∈ Sec - declassifiedlst A' Iτ. (Iτ ⊨ ⟨proj_unl n A'@[Send1 t]⟩))))"

private definition unsat where
  "unsat A ≡ (∀ I. interpretationsubst I → ¬(I ⊨ ⟨unlabel A⟩))"

private theorem par_comp_constr_prot:
  assumes P: "P = composed_prot Pi" "par_comp_prot P Sec" "¬∀ n. component_prot n (Pi n)"
  and left_secure: "component_secure_prot n (Pi n) Sec attack"
  shows "¬∀ A ∈ P. suffix [(ln n, Send1 (Fun attack []))] A →
    unsat A ∨ (∃ m. n ≠ m ∧ component_leaks m A Sec)"

proof -
  { fix A A' assume A: "A = A'@[(ln n, Send1 (Fun attack []))]" "A ∈ P"
    let ?P = "∃ A' Iτ. interpretationsubst Iτ ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ) ∧ prefix A' A ∧
      (∃ t ∈ Sec - declassifiedlst A' Iτ. ∃ m. n ≠ m ∧ (Iτ ⊨ ⟨proj_unl m A'@[Send1 t]⟩))"
    have tcp: "typing_cond_prot P" using P(2) unfolding par_comp_prot_def by simp
    have par_comp: "par_comp A Sec" "typing_cond (unlabel A)"
      using par_comp_prot_impl_par_comp[OF P(2) A(2)]
      typing_cond_prot_impl_typing_cond[OF tcp A(2)]
      by metis+
    have "unlabel (proj n A) = proj_unl n A" "proj_unl n A = proj_unl n (proj n A)"
      "¬∀ A. A ∈ Pi n ⇒ proj n A = A"
      "proj n A = (proj n A')@[(ln n, Send1 (Fun attack []))]"
      using P(1,3) A by (auto simp add: proj_def unlabel_def component_prot_def composed_prot_def)
    moreover have "proj n A ∈ Pi n"
      using P(1) A unfolding composed_prot_def by blast
    moreover {
      fix A assume "prefix A A"
      hence *: "prefix (proj n A) (proj n A)" unfolding proj_def prefix_def by force
      hence "proj_unl n A = proj_unl n (proj n A)"
        "¬∀ I. declassifiedlst A I = declassifiedlst (proj n A) I"
        unfolding proj_def declassifiedlst_alt_def by auto
      hence "¬∃ B. prefix B (proj n A) ∧ proj_unl n A = proj_unl n B ∧
        (¬∀ I. declassifiedlst A I = declassifiedlst B I)"
        using * by metis
    }
    ultimately have *:
      "¬∀ Iτ. interpretationsubst Iτ ∧ wtsubst Iτ ∧ wftrms (subst_range Iτ) →
        (¬(Iτ ⊨ ⟨proj_unl n A⟩) ∧
        (∃ m. n ≠ m ∧ (Iτ ⊨ ⟨proj_unl m A'@[Send1 m]⟩)))"
  }

```

```

 $\neg(\mathcal{I}_\tau \models \langle proj\_unl \ n \ A \rangle) \wedge (\forall A'. \ prefix \ A' \ A \longrightarrow$ 
 $(\forall t \in Sec - declassified_{lst} \ A' \ \mathcal{I}_\tau. \ \neg(\mathcal{I}_\tau \models \langle proj\_unl \ n \ A' @ [Send1 \ t] \rangle)))$ 
using left_secure unfolding component_secure_prot_def composed_prot_def suffix_def by metis
{ fix  $\mathcal{I}$  assume  $\mathcal{I}$ : "interpretation_{subst} \mathcal{I}" " $\mathcal{I} \models \langle unlabel \ A \rangle$ " obtain  $\mathcal{I}_\tau$  where  $\mathcal{I}_\tau$ :
  "interpretation_{subst} \mathcal{I}_\tau" "wt_{subst} \mathcal{I}_\tau" "wf_{trms} (subst_range \mathcal{I}_\tau)""
  " $\exists A'. \ prefix \ A' \ A \wedge (strand_leaks_{lst} \ A' \ Sec \ \mathcal{I}_\tau)"$ 
  using par_comp_constr[OF par_comp I(2,1)] * by atomize_elim auto
  hence " $\exists A'. \ prefix \ A' \ A \wedge (\exists t \in Sec - declassified_{lst} \ A' \ \mathcal{I}_\tau. \ \exists m.$ 
   $n \neq m \wedge (\mathcal{I}_\tau \models \langle proj\_unl \ m \ A' @ [Send1 \ t] \rangle))$ " using  $\mathcal{I}_\tau(4)$  * unfolding strand_leaks_{lst}_def by metis
  hence ?P using  $\mathcal{I}_\tau(1,2,3)$  by auto
  } hence "unsat \ A \vee (\exists m. \ n \neq m \wedge component_leaks \ m \ A \ Sec)" by (metis unsat_def component_leaks_def)
  } thus ?thesis unfolding suffix_def by metis
qed

```

**Theorem: Parallel Compositionality for Stateful Protocols**

```

private abbreviation wf_{sst} where
  "wf_{sst} V \ A \equiv wf'_{sst} V (unlabel \ A)"

```

We state our result on the level of protocol traces (i.e., the constraints reachable in a symbolic execution of the actual protocol). Hence, we do not need to convert protocol strands to intruder constraints in the following well-formedness definitions.

```

private definition wf_{ssts}:: "('fun, 'var, 'lbl) labeled_stateful_strand set \Rightarrow bool" where
  "wf_{ssts} \ S \equiv (\forall A \in S. \ wf_{sst} \ {} \ A) \wedge (\forall A \in S. \ \forall A' \in S. \ fv_{sst} \ A \cap bvars_{sst} \ A' = \{})"

```

```

private definition wf_{ssts}':: "('fun, 'var, 'lbl) labeled_stateful_strand set \Rightarrow ('fun, 'var, 'lbl) labeled_stateful_strand \Rightarrow bool" where
  "wf_{ssts}' \ S \ A \equiv (\forall A' \in S. \ wf'_{sst} (wf_restrictedvars_{sst} \ A) (unlabel \ A')) \wedge
  (\forall A' \in S. \ \forall A'' \in S. \ fv_{sst} \ A' \cap bvars_{sst} \ A'' = \{}) \wedge
  (\forall A' \in S. \ fv_{sst} \ A' \cap bvars_{sst} \ A = \{}) \wedge
  (\forall A' \in S. \ fv_{sst} \ A \cap bvars_{sst} \ A' = \{})"

```

```

private definition typing_cond_prot_stateful where
  "typing_cond_prot_stateful \ P \equiv
  wf_{ssts} \ P \wedge
  tfr_{set} (\bigcup (trms_{sst} ` P) \cup pair ` \bigcup (setops_{sst} ` unlabel ` P)) \wedge
  wf_{trms} (\bigcup (trms_{sst} ` P)) \wedge
  (\forall S \in P. \ list_all tfr_{sstp} (unlabel \ S))"

```

```

private definition par_comp_prot_stateful where
  "par_comp_prot_stateful \ P \ Sec \equiv
  (\forall 11 12. \ 11 \neq 12 \longrightarrow
  GSMP_Disjoint (\bigcup A \in P. \ trms_{sst} (proj_unl 11 \ A) \cup pair ` setops_{sst} (proj_unl 11 \ A))
  (\bigcup A \in P. \ trms_{sst} (proj_unl 12 \ A) \cup pair ` setops_{sst} (proj_unl 12 \ A)) \ Sec) \wedge
  ground Sec \wedge (\forall s \in Sec. \ \neg \{} \vdash_c \ s \ \}) \wedge
  (\forall (i,p) \in \bigcup A \in P. \ setops_{sst} \ A. \ \forall (j,q) \in \bigcup A \in P. \ setops_{sst} \ A.
  (\exists \delta. \ Unifier \ \delta (pair \ p) (pair \ q)) \longrightarrow i = j) \wedge
  typing_cond_prot_stateful \ P"

```

```

private definition component_secure_prot_stateful where
  "component_secure_prot_stateful \ n \ P \ Sec \ attack \equiv
  (\forall A \in P. \ suffix [(ln \ n, Send [Fun attack []])] \ A \longrightarrow
  (\forall \mathcal{I}_\tau. \ (interpretation_{subst} \ \mathcal{I}_\tau \wedge wt_{subst} \ \mathcal{I}_\tau \wedge wf_{trms} (subst_range \ \mathcal{I}_\tau)) \longrightarrow
  (\neg(\mathcal{I}_\tau \models_s \langle proj\_unl \ n \ A \rangle) \wedge
  (\forall A'. \ prefix \ A' \ A \longrightarrow
  (\forall t \in Sec - declassified_{sst} \ A' \ \mathcal{I}_\tau. \ \neg(\mathcal{I}_\tau \models_s \langle proj\_unl \ n \ A' @ [Send \ t] \rangle))))))"

```

```

private definition component_leaks_stateful where
  "component_leaks_stateful \ n \ A \ Sec \equiv

```

```


$$\exists \mathcal{A}' \mathcal{I}_\tau. \text{interpretation}_{\text{subst}} \mathcal{I}_\tau \wedge \text{wt}_{\text{subst}} \mathcal{I}_\tau \wedge \text{wf}_{\text{trms}} (\text{subst\_range } \mathcal{I}_\tau) \wedge \text{prefix } \mathcal{A}' \mathcal{A} \wedge$$


$$(\exists t \in \text{Sec} - \text{declassified}_{\text{sst}} \mathcal{A}' \mathcal{I}_\tau. (\mathcal{I}_\tau \models_s (\text{proj\_unl } n \mathcal{A}' @ [\text{Send } [t]])))$$


private definition unsat_stateful where
  "unsat_stateful A ≡ (∀ I. interpretation_{subst} I → ¬(I ⊨_s unlabeled A))"

private lemma wf_{sst_eqs_wf_{sst}} : "wf_{sst} S = wf_{sst}' S []"
  unfolding wf_{sst_def} wf_{sst}'_def unlabeled_def wf_restrictedvars_{sst_def} by simp

private lemma par_comp_prot_impl_par_comp_stateful:
  assumes "par_comp_prot_stateful P Sec" "A ∈ P"
  shows "par_compsst A Sec"
proof -
have *:
  " $\forall 11 12. 11 \neq 12 \rightarrow$ 
   GSMP_disjoint ( $\bigcup A \in P. \text{trms}_{sst} (\text{proj\_unl } 11 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 11 A)$ )
                  ( $\bigcup A \in P. \text{trms}_{sst} (\text{proj\_unl } 12 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 12 A)$ ) Sec"
using assms(1) unfolding par_comp_prot_stateful_def by argo
fix 11 12 :: 'lbl' assume **: "11 ≠ 12"
hence ***:
  "GSMP_disjoint ( $\bigcup A \in P. \text{trms}_{sst} (\text{proj\_unl } 11 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 11 A)$ )
                  ( $\bigcup A \in P. \text{trms}_{sst} (\text{proj\_unl } 12 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 12 A)$ ) Sec"
using * by auto
have "GSMP_disjoint ( $\text{trms}_{sst} (\text{proj\_unl } 11 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 11 A)$ )
                  ( $\text{trms}_{sst} (\text{proj\_unl } 12 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 12 A)$ ) Sec"
using GSMP_disjoint_subset[OF ***] assms(2) by auto
} hence " $\forall 11 12. 11 \neq 12 \rightarrow$ 
   GSMP_disjoint ( $\text{trms}_{sst} (\text{proj\_unl } 11 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 11 A)$ )
                  ( $\text{trms}_{sst} (\text{proj\_unl } 12 A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{proj\_unl } 12 A)$ ) Sec"
by metis
moreover have " $\forall (i, p) \in \text{setops}_{sst} A. \forall (j, q) \in \text{setops}_{sst} A.$ 
   $(\exists \delta. \text{Unifier } \delta (pair p) (pair q)) \rightarrow i = j$ "
using assms(1,2) unfolding par_comp_prot_stateful_def by blast
ultimately show ?thesis
using assms
unfolding par_comp_prot_stateful_def par_compsst_def
by fast
qed

private lemma typing_cond_prot_impl_typing_cond_stateful:
  assumes "typing_cond_prot_stateful P" "A ∈ P"
  shows "typing_condsst (unlabel A)"
proof -
have 1: "wf_{sst} {} (unlabel A)" "fv_{sst} A ∩ bvars_{sst} A = {}"
  using assms unfolding typing_cond_prot_stateful_def wf_{sst_def} by auto
have "tfr_{set} ( $\bigcup (\text{trms}_{sst} \setminus P) \cup \text{pair} \setminus \bigcup (\text{setops}_{sst} \setminus \text{unlabel} \setminus P)$ )"
  "wf_{trms} ( $\bigcup (\text{trms}_{sst} \setminus P)$ )"
  " $\text{trms}_{sst} A \subseteq \bigcup (\text{trms}_{sst} \setminus P)$ "
  "SMP (\mathcal{U} (\text{trms}_{sst} \setminus A) \cup \text{pair} \setminus \text{setops}_{sst} (\text{unlabel } A)) - \text{Var} \setminus \mathcal{V} \subseteq
   SMP ( $\bigcup (\text{trms}_{sst} \setminus P) \cup \text{pair} \setminus \bigcup (\text{setops}_{sst} \setminus \text{unlabel} \setminus P)$ ) - \text{Var} \setminus \mathcal{V}"
using assms SMP_mono[of "trms_{sst} A ∪ pair \setminus setops_{sst} (unlabel A)"]
  "[ $\bigcup (\text{trms}_{sst} \setminus P) \cup \text{pair} \setminus \bigcup (\text{setops}_{sst} \setminus \text{unlabel} \setminus P)$ ]"
unfolding typing_cond_prot_stateful_def
by (metis, metis, auto)
hence 2: "tfr_{set} (\text{trms}_{sst} A \cup \text{pair} \setminus \text{setops}_{sst} (\text{unlabel } A))" and 3: "wf_{trms} (\text{trms}_{sst} A)"
  unfolding tfr_{set_def} by (meson subsetD)+

have 4: "list_all tfr_{sstp} (unlabel A)" using assms unfolding typing_cond_prot_stateful_def by auto
show ?thesis using 1 2 3 4 unfolding typing_condsst_def tfr_{sst_def} by blast
qed

```

```

private theorem par_comp_constr_prot_stateful:
  assumes P: "P = composed_prot Pi" "par_comp_prot_stateful P Sec" " $\forall n. component\_prot n (Pi n)$ "
  and left_secure: "component_secure_prot_stateful n (Pi n) Sec attack"
  shows " $\forall A \in P. suffix [(In n, Send [Fun attack []])] A \rightarrow$ 
         $\text{unsat\_stateful } A \vee (\exists m. n \neq m \wedge component\_leaks\_stateful m A Sec)$ "
proof -
  { fix A A' assume A: " $A = A' @ [(In n, Send [Fun attack []])]$ " " $A \in P$ "
    let ?P = " $\exists A'. \mathcal{I}_\tau. interpretation_{subst} \mathcal{I}_\tau \wedge wt_{subst} \mathcal{I}_\tau \wedge wf_{trms} (\text{subst\_range } \mathcal{I}_\tau) \wedge prefix A' A \wedge$ 
               $(\exists t \in \text{Sec-declassified}_{lsst} A'. \mathcal{I}_\tau. \exists m. n \neq m \wedge (\mathcal{I}_\tau \models_s (\text{proj\_unl } m A' @ [Send [t]])))$ "
    have tcp: "typing_cond_prot_stateful P" using P(2) unfolding par_comp_prot_stateful_def by simp
    have par_comp: "par_complsst A Sec" "typing_condsst (unlabel A)"
      using par_comp_prot_impl_par_comp_stateful[OF P(2) A(2)]
      typing_cond_prot_impl_typing_cond_stateful[OF tcp A(2)]
    by metis+
    have "unlabel (proj n A) = proj_unl n A" "proj_unl n A = proj_unl n (proj n A)"
      " $\wedge A. A \in Pi n \implies proj n A = A'$ "
      "proj n A = (proj n A') @ [(In n, Send [Fun attack []])]"
    using P(1,3) A by (auto simp add: proj_def unlabeled_def component_prot_def composed_prot_def)
    moreover have "proj n A \in Pi n"
      using P(1) A unfolding composed_prot_def by blast
    moreover {
      fix A assume "prefix A A"
      hence *: "prefix (proj n A) (proj n A)" unfolding proj_def prefix_def by force
      hence "proj_unl n A = proj_unl n (proj n A)"
        " $\forall I. \text{declassified}_{lsst} A I = \text{declassified}_{lsst} (\text{proj } n A) I$ "
      by (simp, metis declassified_lsst_proj_eq)
      hence " $\exists B. prefix B (\text{proj } n A) \wedge \text{proj\_unl } n A = \text{proj\_unl } n B \wedge$ 
             $(\forall I. \text{declassified}_{lsst} A I = \text{declassified}_{lsst} B I)$ "
      using * by metis
    }
    ultimately have *:
      " $\forall \mathcal{I}_\tau. interpretation_{subst} \mathcal{I}_\tau \wedge wt_{subst} \mathcal{I}_\tau \wedge wf_{trms} (\text{subst\_range } \mathcal{I}_\tau) \rightarrow$ 
        $\neg(\mathcal{I}_\tau \models_s (\text{proj\_unl } n A)) \wedge (\forall A'. \text{prefix } A' A \rightarrow$ 
        $(\forall t \in \text{Sec - declassified}_{lsst} A'. \mathcal{I}_\tau. \neg(\mathcal{I}_\tau \models_s (\text{proj\_unl } n A' @ [Send [t]]))))$ "
    using left_secure
    unfolding component_secure_prot_stateful_def composed_prot_def suffix_def
    by metis
  { fix I assume I: "interpretation_{subst} I" " $\mathcal{I} \models_s \text{unlabel } A$ "
    obtain Iτ where Iτ:
      "interpretation_{subst} I_\tau" "wt_{subst} I_\tau" "wf_{trms} (\text{subst\_range } \mathcal{I}_\tau)"
      " $\exists A'. \text{prefix } A' A \wedge (A' \text{ leaks Sec under } \mathcal{I}_\tau)""
    using par_comp_constr_stateful[OF par_comp I(2,1)] * by atomize_elim auto
    hence " $\exists A'. \text{prefix } A' A \wedge (\exists t \in \text{Sec - declassified}_{lsst} A'. \mathcal{I}_\tau. \exists m.$ 
           $n \neq m \wedge (\mathcal{I}_\tau \models_s (\text{proj\_unl } m A' @ [Send [t]])))$ "
    using Iτ(4) * unfolding strand_leaks_lsst_def by metis
    hence ?P using Iτ(1,2,3) by auto
  } hence "unsat_stateful A \vee ( $\exists m. n \neq m \wedge component\_leaks\_stateful m A Sec$ )"
    by (metis unsat_stateful_def component_leaks_stateful_def)
  } thus ?thesis unfolding suffix_def by metis
qed

end
end$ 
```

### 6.2.8 Automated Compositionality Conditions

```

definition comp_GSMP_disjoint where
  "comp_GSMP_disjoint public arity Ana  $\Gamma A' B' A B C \equiv$ 
   let  $B\delta = B \cdot \text{set var\_rename} (\text{max\_var\_set } (\text{fv}_{\text{set}} A))$ 
   in has_all_wt_instances_of  $\Gamma A' A \wedge$ 

```

```

has_all_wt_instances_of  $\Gamma B' B\delta \wedge$ 
finite_SMP_representation arity Ana  $\Gamma A \wedge$ 
finite_SMP_representation arity Ana  $\Gamma B\delta \wedge$ 
 $(\forall t \in A. \forall s \in B\delta. \Gamma t = \Gamma s \wedge \text{mgu } t s \neq \text{None} \longrightarrow$ 
 $(\text{intruder\_synth}' \text{ public arity } \{\} t) \vee (\exists u \in C. \text{is\_wt\_instance\_of\_cond } \Gamma t u))"$ 

definition comp_par_comp'lsst where
"comp_par_comp'lsst public arity Ana  $\Gamma$  pair_fun A M C ≡
wftrms' arity C ∧
 $(\forall (i,p) \in \text{setops}_{lsst} A. \forall (j,q) \in \text{setops}_{lsst} A. \text{if } i = j \text{ then True else}$ 
 $\text{let } s = \text{pair}' \text{ pair\_fun } p; t = \text{pair}' \text{ pair\_fun } q$ 
 $\text{in mgu } s (t \cdot \text{var\_rename } (\text{max\_var } s)) = \text{None}))"$ 

definition comp_par_complsst where
"comp_par_complsst public arity Ana  $\Gamma$  pair_fun A M C ≡
let L = remdups (map (the_LabelN o fst) (filter (Not o has_LabelS) A));
MPO =  $\lambda B. \text{trms}_{sst} B \cup (\text{pair}' \text{ pair\_fun})` \text{setops}_{sst} B$ ;
pr =  $\lambda l. MPO (\text{proj\_unl } l A)$ 
in length L > 1 ∧
comp_par_comp'lsst public arity Ana  $\Gamma$  pair_fun A M C ∧
wftrms' arity (MPO (unlabel A)) ∧
 $(\forall i \in \text{set } L. \forall j \in \text{set } L. \text{if } i = j \text{ then True else}$ 
comp_GSMP_disjoint public arity Ana  $\Gamma$  (pr i) (pr j) (M i) (M j) C)"

lemma comp_par_complsstI:
fixes pair_fun A MPO pr
defines "MPO ≡  $\lambda B. \text{trms}_{sst} B \cup (\text{pair}' \text{ pair\_fun})` \text{setops}_{sst} B$ "
and "pr ≡  $\lambda l. MPO (\text{proj\_unl } l A)"$ 
assumes L_def: "L = remdups (map (the_LabelN o fst) (filter (Not o has_LabelS) A))"
and L_gt: "length L > 1"
and cpc': "comp_par_comp'lsst public arity Ana  $\Gamma$  pair_fun A M C"
and MPO_wf: "wftrms' arity (MPO (unlabel A))"
and GSMP_disj: " $\forall i \in \text{set } L. \forall j \in \text{set } L. \text{if } i = j \text{ then True else}$ 
comp_GSMP_disjoint public arity Ana  $\Gamma$  (pr i) (pr j) (M i) (M j) C"
shows "comp_par_complsst public arity Ana  $\Gamma$  pair_fun A M C"
using assms unfolding comp_par_complsst_def by presburger

lemma comp_par_complsstI':
fixes pair_fun A MPO pr Ms
defines "MPO ≡  $\lambda B. \text{trms}_{sst} B \cup (\text{pair}' \text{ pair\_fun})` \text{setops}_{sst} B$ "
and "pr ≡  $\lambda l. MPO (\text{proj\_unl } l A)"$ 
and "M ≡  $\lambda l. \text{case find } ((=) l \circ \text{fst}) Ms \text{ of Some } M \Rightarrow \text{set } (\text{snd } M) \mid \text{None} \Rightarrow \{\}$ ""
assumes L_def: "map fst Ms = remdups (map (the_LabelN o fst) (filter (Not o has_LabelS) A))"
and L_gt: "length (map fst Ms) > 1"
and cpc': "comp_par_comp'lsst public arity Ana  $\Gamma$  pair_fun A M C"
and MPO_wf: "wftrms' arity (MPO (unlabel A))"
and GSMP_disj: " $\forall i \in \text{set } (\text{map } \text{fst } Ms). \forall j \in \text{set } (\text{map } \text{fst } Ms). \text{if } i = j \text{ then True else}$ 
comp_GSMP_disjoint public arity Ana  $\Gamma$  (pr i) (pr j) (M i) (M j) C"
shows "comp_par_complsst public arity Ana  $\Gamma$  pair_fun A M C"
by (rule comp_par_complsstI[OF L_def L_gt cpc' MPO_wf[unfolded MPO_def]
GSMP_disj[unfolded pr_def MPO_def]])

locale labeled_stateful_typed_model' =
labeled_typed_model' arity public Ana  $\Gamma$  label_witness1 label_witness2
+ stateful_typed_model' arity public Ana  $\Gamma$  Pair
for arity::"fun ⇒ nat"
and public::"fun ⇒ bool"
and Ana::"('fun, ('fun, 'atom):finite) term_type × nat) term
⇒ (('fun, ('fun, 'atom) term_type × nat) term list
× ('fun, ('fun, 'atom) term_type × nat) term list)"
and Γ::"('fun, ('fun, 'atom) term_type × nat) term ⇒ ('fun, 'atom) term_type"
and Pair::"fun"

```

```

and label_witness1::"lbl"
and label_witness2::"lbl"
begin

sublocale labeled_stateful_typed_model
by unfold_locales

lemma GSMP_disjoint_if_comp_GSMP_disjoint:
defines "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ fv (t · δ) = {}}"
assumes AB'_wf: "wftrms' arity A'" "wftrms' arity B'"
and C_wf: "wftrms' arity C"
and AB'_disj: "comp_GSMP_disjoint public arity Ana Γ A' B' A B C"
shows "GSMP_disjoint A' B' (f C - {m. {} ⊢c m})"
using GSMP_disjointI[of A' B' A B] AB'_wf AB'_disj C_wf
unfolding wftrms'_def comp_GSMP_disjoint_def f_def wftrm_code list_all_iff Let_def by blast

lemma par_complsst_if_comp_par_complsst:
defines "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ fv (t · δ) = {}}"
assumes A: "comp_par_complsst public arity Ana Γ Pair A M C"
shows "par_complsst A (f C - {m. {} ⊢c m})"
proof (unfold par_complsst_def; intro conjI)
let ?Sec = "f C - {m. {} ⊢c m}"
let ?L = "remdups (map (the_LabelN ∘ fst) (filter (Not ∘ has_Labels) A))"
let ?N1 = "λB. trmssst B ∪ (pair' Pair) ` setopssst B"
let ?N2 = "λB. trmssst B ∪ pair ` setopssst B"
let ?pr = "λl. ?N1 (proj_unl l A)"
let ?α = "λp. var_rename (max_var (pair p))"

note defs = pair_code wftrm_code wftrms'_def list_all_iff
trmssst_is_trmssst setopssst_is_setopssst

have 0:
"length ?L > 1"
"wftrms' arity (?N1 (unlabel A))"
"wftrms' arity C"

"∀ i ∈ set ?L. ∀ j ∈ set ?L. i ≠ j →
  comp_GSMP_disjoint public arity Ana Γ (?pr i) (?pr j) (M i) (M j) C"
"∀ (i,p) ∈ setopssst A. ∀ (j,q) ∈ setopssst A. i ≠ j → mgu (pair p) (pair q · ?α p) = None"
using A unfolding comp_par_complsst_def comp_par_comp'sst_def pair_code
by meson+

have L_in_iff: "l ∈ set ?L ↔ (∃ a ∈ set A. has_LabelN l a)" for l by force

have A_wf_trms: "wftrms (trmssst A ∪ pair ` setopssst (unlabel A))"
using 0(2) unfolding defs by auto
hence A_proj_wf_trms: "wftrms (trmssst (proj 1 A) ∪ pair ` setopssst (proj_unl 1 A))" for 1
  using trmssst_proj_subset(1)[of 1 A] setopssst_proj_subset(1)[of 1 A] by blast
hence A_proj_wf_trms': "wftrms' arity (?N1 (proj_unl 1 A))" for 1
  unfolding defs by auto

note C_wf_trms = 0(3)[unfolded list_all_iff wftrms'_def wftrm_code[symmetric]]

have 2: "GSMP (?N2 (proj_unl 1 A)) ⊆ GSMP (?N2 (proj_unl 1' A))" when "l ∉ set ?L" for l 1'
using that L_in_iff GSMP_mono[of "?N2 (proj_unl 1 A)" "?N2 (proj_unl 1' A)"]
trmssst_unlabel_subset_if_no_label[of 1 A]
setopssst_unlabel_subset_if_no_label[of 1 A]
unfolding list_ex iff by fast

have 3: "GSMP_disjoint (?N2 (proj_unl 11 A)) (?N2 (proj_unl 12 A)) ?Sec"

```

```

when "l1 ∈ set ?L" "l2 ∈ set ?L" "l1 ≠ l2" for l1 l2
proof -
  have "GSMP_disjoint (?N1 (proj_unl l1 A)) (?N1 (proj_unl l2 A)) ?Sec"
    using 0(4) that
      GSMP_disjoint_if_comp_GSMP_disjoint[
        OF A_proj_wf_trms'[of l1] A_proj_wf_trms'[of l2] 0(3),
        of "M l1" "M l2"]
    unfolding f_def by blast
  thus ?thesis
    unfolding pair_code trms_listsst_is_trmssst setops_listsst_is_setopssst
    by simp
qed

obtain a1 a2 where a: "a1 ∈ set ?L" "a2 ∈ set ?L" "a1 ≠ a2"
  using remdups_ex2[OF 0(1)] by atomize_elim auto

show "ground ?Sec" unfolding f_def by fastforce

{ fix i p j q
  assume p: "(i,p) ∈ setops_isst A" and q: "(j,q) ∈ setops_isst A"
  and pq: "∃δ. Unifier δ (pair p) (pair q)"

  have "∃δ. Unifier δ (pair p) (pair q · ?α p)"
    using pq vars_term_disjoint_imp_unifier[OF var_rename_fv_disjoint[of "pair p"], of _ "pair q"]
    by (metis (no_types, lifting) subst_subst_compose var_rename_inv_comp)
  hence "i = j" using 0(5) mgu_None_is_subst_neq[of "pair p" "pair q · ?α p"] p q by fast
  } thus "∀(i,p) ∈ setops_isst A. ∀(j,q) ∈ setops_isst A. (∃δ. Unifier δ (pair p) (pair q)) → i = j"
    by blast

show "∀l1 l2. l1 ≠ l2 → GSMP_disjoint (?N2 (proj_unl l1 A)) (?N2 (proj_unl l2 A)) ?Sec"
  using 2 3 3[OF a] unfolding GSMP_disjoint_def by blast

show "∀s ∈ ?Sec. ¬{} ⊢c s" by simp
qed

end

locale labeled_stateful_typing' =
  labeled_stateful_typed_model' arity public Ana Γ Pair label_witness1 label_witness2
+ stateful_typing_result' arity public Ana Γ Pair
  for arity::"'fun ⇒ nat"
  and public::"'fun ⇒ bool"
  and Ana::"('fun,'atom):finite term_type × nat) term
    ⇒ (('fun,('fun,'atom) term_type × nat)) term list
    × ('fun,((('fun,'atom) term_type × nat)) term list)"
  and Γ::"('fun,((('fun,'atom) term_type × nat)) term ⇒ ('fun,'atom) term_type"
  and Pair::"'fun"
  and label_witness1::"'lbl"
  and label_witness2::"'lbl"
begin

sublocale labeled_stateful_typing
  by unfold_locales

lemma par_complsst_if_comp_par_complsst':
  defines "f ≡ λM. {t · δ | t δ. t ∈ M ∧ wtsubst δ ∧ wftrms (subst_range δ) ∧ fv (t · δ) = {}}"
  assumes a: "comp_par_complsst public arity Ana Γ Pair A M C"
  and B: "∀b ∈ set B. ∃a ∈ set A. ∃δ. b = a ·lsstp δ ∧ wtsubst δ ∧ wftrms (subst_range δ)"
    (is "∀b ∈ set B. ∃a ∈ set A. ∃δ. b = a ·lsstp δ ∧ ?D δ")
  shows "par_complsst B (f C - {m. {} ⊢c m})"
proof (unfold par_complsst_def; intro conjI)

```

```

define N1 where "N1 ≡ λB::('fun, ('fun,'atom) term_type × nat) stateful_strand.
  trmssst B ∪ (pair' Pair) ` setopssst B"

define N2 where "N2 ≡ λB::('fun, ('fun,'atom) term_type × nat) stateful_strand.
  trmssst B ∪ pair ` setopssst B"

define L where "L ≡ λA::('fun, ('fun,'atom) term_type × nat, 'lbl) labeled_stateful_strand.
  remdups (map (the_LabelN o fst) (filter (Not o has_LabelS) A))"

define α where "α ≡ λp. var_rename (max_var (pair p::('fun, ('fun,'atom) term_type × nat) term))
  ::('fun, ('fun,'atom) term_type × nat) subst"

let ?Sec = "f C - {m. {} ⊢c m}"

have 0:
  "length (L A) > 1"
  "wftrms' arity (N1 (unlabel A))"
  "wftrms' arity C"

"∀ i ∈ set (L A). ∀ j ∈ set (L A). i ≠ j →
  comp_GSMP_disjoint public arity Ana Γ (N1 (proj_unl i A)) (N1 (proj_unl j A)) (M i) (M j) C"
"∀ (i,p) ∈ setopslsst A. ∀ (j,q) ∈ setopslsst A. i ≠ j → mgu (pair p) (pair q · α p) = None"
using a unfolding comp_par_compsst_def comp_par_comp'lsst_def pair_code L_def N1_def α_def
by meson+

note 1 = trmssst_proj_subset(1) setopssst_proj_subset(1)

have N1_iff_N2: "N1 A = N2 A" for A
  unfolding pair_code trms_listssst_is_trmssst setops_listssst_is_setopssst N1_def N2_def by simp

have N2_proj_subset: "N2 (proj_unl 1 A) ⊆ N2 (unlabel A)"
  for l::'lbl and A::"('fun, ('fun,'atom) term_type × nat, 'lbl) labeled_stateful_strand"
  using 1(1)[of 1 A] image_mono[OF 1(2)[of 1 A], of pair] unfolding N2_def by blast

have L_in_iff: "l ∈ set (L A) ↔ (∃ a ∈ set A. has_LabelN l a)" for l A
  unfolding L_def by force

have L_B_subset_A: "l ∈ set (L A)" when l: "l ∈ set (L B)" for l
  using L_in_iff[of l B] L_in_iff[of l A] B l by fastforce

note B_setops = setopslsst_wt_instance_ex[OF B]

have B_proj: "∀ b ∈ set (proj 1 B). ∃ a ∈ set (proj 1 A). ∃ δ. b = a ·lsst δ ∧ ?D δ" for l
  using proj_instance_ex[OF B] by fast

have B': "∀ t ∈ N2 (unlabel B). ∃ s ∈ N2 (unlabel A). ∃ δ. t = s · δ ∧ ?D δ"
  using trmssst_setopslsst_wt_instance_ex[OF B] unfolding N2_def by blast

have B'_proj: "∀ t ∈ N2 (proj_unl 1 B). ∃ s ∈ N2 (proj_unl 1 A). ∃ δ. t = s · δ ∧ ?D δ" for l
  using trmssst_setopslsst_wt_instance_ex[OF B_proj] unfolding N2_def by presburger

have A_wf_trms: "wftrms (N2 (unlabel A))"
  using N1_iff_N2[of "unlabel A"] O(2) unfolding wftrm_code wftrms'_def list_all_iff by auto
hence A_proj_wf_trms: "wftrms (N2 (proj_unl 1 A))" for l
  using 1[of 1] unfolding N2_def by blast
hence A_proj_wf_trms': "wftrms' arity (N1 (proj_unl 1 A))" for l
  using N1_iff_N2[of "proj_unl 1 A"] unfolding wftrm_code wftrms'_def list_all_iff by presburger

note C_wf_trms = O(3)[unfolded list_all_iff wftrms'_def wftrm_code[symmetric]]

have 2: "GSMP (N2 (proj_unl 1 A)) ⊆ GSMP (N2 (proj_unl 1' A))"
  when "l ∉ set (L A)" for l l'

```

```

and A:: "('fun, ('fun,'atom) term_type × nat, 'lbl) labeled_stateful_strand"
using that L_in_iff[of _ A] GSMP_mono[of "N2 (proj_unl 1 A)" "N2 (proj_unl 1' A)"]
trmssst_unlabel_subset_if_no_label[of 1 A]
setopssst_unlabel_subset_if_no_label[of 1 A]
unfolding list_ex_iff N2_def by fast

have 3: "GSMP (N2 (proj_unl 1 B)) ⊆ GSMP (N2 (proj_unl 1 A))" (is "?X ⊆ ?Y") for 1
proof
fix t assume "t ∈ ?X"
hence t: "t ∈ SMP (N2 (proj_unl 1 B))" "fv t = {}" unfolding GSMP_def by simp_all
have "t ∈ SMP (N2 (proj_unl 1 A))" using t(1) B'_proj[of 1] SMP_wt_instances_subset[of "N2 (proj_unl 1 B)" "N2 (proj_unl 1 A)"]
by metis
thus "t ∈ ?Y" using t(2) unfolding GSMP_def by fast
qed

have "GSMP_disjoint (N2 (proj_unl 11 A)) (N2 (proj_unl 12 A)) ?Sec"
when "11 ∈ set (L A)" "12 ∈ set (L A)" "11 ≠ 12" for 11 12
proof -
have "GSMP_disjoint (N1 (proj_unl 11 A)) (N1 (proj_unl 12 A)) ?Sec"
using 0(4) that
GSMP_disjoint_if_comp_GSMP_disjoint[
OF A_proj_wf_trms'[of 11] A_proj_wf_trms'[of 12] 0(3),
of "M 11" "M 12"]
unfolding f_def by blast
thus ?thesis using N1_iff_N2 by simp
qed

have 4: "GSMP_disjoint (N2 (proj_unl 11 B)) (N2 (proj_unl 12 B)) ?Sec"
when "11 ∈ set (L A)" "12 ∈ set (L A)" "11 ≠ 12" for 11 12
using that 3 unfolding GSMP_disjoint_def by blast

{ fix i p j q
assume p: "(i,p) ∈ setopssst B" and q: "(j,q) ∈ setopssst B"
and pq: "∃δ. Unifier δ (pair p) (pair q)"

obtain p' δp where p': "(i,p') ∈ setopssst A" "p = p' ·p δp" "pair p = pair p' · δp"
using p B_setops unfolding pair_def by auto

obtain q' δq where q': "(j,q') ∈ setopssst A" "q = q' ·p δq" "pair q = pair q' · δq"
using q B_setops unfolding pair_def by auto

obtain θ where "Unifier θ (pair p) (pair q)" using pq by blast
hence "∃δ. Unifier δ (pair p') (pair q' · α p')"
using p'(3) q'(3) var_rename_inv_comp[of "pair q'"] subst_subst_compose
vars_term_disjoint_imp_unifier[
OF var_rename_fv_disjoint[of "pair p'"],
of "δp ∘s θ" "pair q'" "var_rename_inv (max_var_set (fv (pair p'))) ∘s δq ∘s θ"]
unfolding α_def by fastforce
hence "i = j"
using mgu_None_is_subst_neq[of "pair p'" "pair q' · α p'"] p'(1) q'(1) 0(5)
unfolding α_def by fast
} thus "∀(i,p) ∈ setopssst B. ∀(j,q) ∈ setopssst B. (∃δ. Unifier δ (pair p) (pair q)) → i = j"
by blast

obtain a1 a2 where a: "a1 ∈ set (L A)" "a2 ∈ set (L A)" "a1 ≠ a2"
using remdups_ex2[OF 0(1)[unfolded L_def]] unfolding L_def by atomize_elim auto

show "∀11 12. 11 ≠ 12 → GSMP_disjoint (N2 (proj_unl 11 B)) (N2 (proj_unl 12 B)) ?Sec"
using 2[of _ B] 4 4[OF a] L_B_subset_A unfolding GSMP_disjoint_def by blast

show "ground ?Sec" unfolding f_def by fastforce

```

## 6 The Stateful Protocol Composition Result

```
show " $\forall s \in ?Sec. \neg\{ \} \vdash_c s$ " by simp
qed

end

end
```

# 7 Examples

In this chapter, we present two examples illustrating our results: In section 7.1 we show that the TLS example from [2] is type-flaw resistant. In section 7.2 we show that the keyserver examples from [3, 4] are also type-flaw resistant and that the steps of the composed keyserver protocol from [4] satisfy our conditions for protocol composition.

## 7.1 Proving Type-Flaw Resistance of the TLS Handshake Protocol

```
theory Example_TLS
imports "../Typed_Model"
begin

declare [[code_timing]]

7.1.1 TLS example: Datatypes and functions setup

datatype ex_atom = PrivKey | SymKey | PubConst | Agent | Nonce | Bot

datatype ex_fun =
  clientHello | clientKeyExchange | clientFinished
| serverHello | serverCert | serverHelloDone
| finished | changeCipher | x509 | prfun | master | pmsForm
| sign | hash | crypt | pub | concat | privkey nat
| pubconst ex_atom nat

type_synonym ex_type = "(ex_fun, ex_atom) term_type"
type_synonym ex_var = "ex_type × nat"

instance ex_atom::finite
proof
  let ?S = "UNIV::ex_atom set"
  have "?S = {PrivKey, SymKey, PubConst, Agent, Nonce, Bot}" by (auto intro: ex_atom.exhaust)
  thus "finite ?S" by (metis finite.emptyI finite.insertI)
qed

type_synonym ex_term = "(ex_fun, ex_var) term"
type_synonym ex_terms = "(ex_fun, ex_var) terms"

primrec arity::"ex_fun ⇒ nat" where
  "arity changeCipher = 0"
| "arity clientFinished = 4"
| "arity clientHello = 5"
| "arity clientKeyExchange = 1"
| "arity concat = 5"
| "arity crypt = 2"
| "arity finished = 1"
| "arity hash = 1"
| "arity master = 3"
| "arity pmsForm = 1"
| "arity prfun = 1"
| "arity (privkey _) = 0"
| "arity pub = 1"
| "arity (pubconst _ _) = 0"
| "arity serverCert = 1"
| "arity serverHello = 5"
```

## 7 Examples

```

| "arity serverHelloDone = 0"
| "arity sign = 2"
| "arity x509 = 2"

fun public::"ex_fun ⇒ bool" where
  "public (privkey _) = False"
  | "public _ = True"

fun Anacrypt::"ex_term list ⇒ (ex_term list × ex_term list)" where
  "Anacrypt [Fun pub [k],m] = ([k], [m])"
  | "Anacrypt _ = ([] , [])"

fun Anasign::"ex_term list ⇒ (ex_term list × ex_term list)" where
  "Anasign [k,m] = ([] , [m])"
  | "Anasign _ = ([] , [] )"

fun Ana::"ex_term ⇒ (ex_term list × ex_term list)" where
  "Ana (Fun crypt T) = Anacrypt T"
  | "Ana (Fun finished T) = ([] , T)"
  | "Ana (Fun master T) = ([] , T)"
  | "Ana (Fun pmsForm T) = ([] , T)"
  | "Ana (Fun serverCert T) = ([] , T)"
  | "Ana (Fun serverHello T) = ([] , T)"
  | "Ana (Fun sign T) = Anasign T"
  | "Ana (Fun x509 T) = ([] , T)"
  | "Ana _ = ([] , [])"

```

### 7.1.2 TLS example: Locale interpretation

```

lemma assm1:
  "Ana t = (K,M) ⟹ fvset (set K) ⊆ fv t"
  "Ana t = (K,M) ⟹ (∀g S'. Fun g S' ⊑ t ⟹ length S' = arity g)
    ⟹ k ∈ set K ⟹ Fun f T' ⊑ k ⟹ length T' = arity f"
  "Ana t = (K,M) ⟹ K ≠ [] ∨ M ≠ [] ⟹ Ana (t · δ) = (K · list δ, M · list δ)"
by (rule Ana.cases[of "t"], auto elim!: Anacrypt.elims Anasign.elims)+

lemma assm2: "Ana (Fun f T) = (K, M) ⟹ set M ⊆ set T"
by (rule Ana.cases[of "Fun f T"]) (auto elim!: Anacrypt.elims Anasign.elims)

lemma assm6: "0 < arity f ⟹ public f" by (cases f) simp_all

global_interpretation im: intruder_model arity public Ana
  defines wftrm = "im.wftrm"
  and wftrms = "im.wftrms"
by unfold_locales (metis assm1(1), metis assm1(2), rule Ana.simps, metis assm2, metis assm1(3))

```

### 7.1.3 TLS Example: Typing function

```

definition Γv::"ex_var ⇒ ex_type" where
  "Γv v = (if ∀t ∈ subterms (fst v). case t of
    (TComp f T) ⇒ arity f > 0 ∧ arity f = length T
    | _ ⇒ True)
  then fst v else TAtom Bot)"

fun Γ::"ex_term ⇒ ex_type" where
  "Γ (Var v) = Γv v"
  | "Γ (Fun (privkey _) _) = TAtom PrivKey"
  | "Γ (Fun changeCipher _) = TAtom PubConst"
  | "Γ (Fun serverHelloDone _) = TAtom PubConst"
  | "Γ (Fun (pubconst τ _) _) = TAtom τ"
  | "Γ (Fun f T) = TComp f (map Γ T)"

```

### 7.1.4 TLS Example: Locale interpretation (typed model)

```

lemma assm7: "arity c = 0 ==> ∃a. ∀X. Γ (Fun c X) = TAtom a" by (cases c) simp_all

lemma assm8: "0 < arity f ==> Γ (Fun f X) = TComp f (map Γ X)" by (cases f) simp_all

lemma assm9: "infinite {c. Γ (Fun c []) = TAtom a ∧ public c}"
proof -
  let ?T = "(range (pubconst a))::ex_fun set"
  have *:
    "¬(x y::nat. x ∈ UNIV ==> y ∈ UNIV ==> (pubconst a x = pubconst a y) = (x = y))"
    "¬(x::nat. x ∈ UNIV ==> pubconst a x ∈ ?T)"
    "¬(y::ex_fun. y ∈ ?T ==> ∃x ∈ UNIV. y = pubconst a x)"
  by auto
  have "?T ⊆ {c. Γ (Fun c []) = TAtom a ∧ public c}" by auto
  moreover have "¬(f::nat ⇒ ex_fun. bij_betw f UNIV ?T)"
    using bij_betwI'[OF *] by blast
  hence "infinite ?T" by (metis nat_not_finite bij_betw_finite)
  ultimately show ?thesis using infinite_super by blast
qed

lemma assm10:
  assumes "TComp f T ⊑ Γ (Var x)"
  shows "arity f > 0"
proof -
  have *: "TComp f T ⊑ Γ_v x" using assms by simp
  hence "Γ_v x ≠ TAtom Bot" unfolding Γ_v_def by force
  hence "¬(t ∈ subterms (fst x). case t of
    (TComp f T) => arity f > 0 ∧ arity f = length T
    | _ => True)"
  unfolding Γ_v_def by argo
  thus ?thesis using * unfolding Γ_v_def by fastforce
qed

lemma assm11: "im.wftrm (Γ (Var x))"
proof -
  have "im.wftrm (Γ_v x)" unfolding Γ_v_def im.wftrm_def by auto
  thus ?thesis by simp
qed

lemma assm12: "Γ (Var (τ, n)) = Γ (Var (τ, m))"
apply (cases "¬(t ∈ subterms τ. case t of
  (TComp f T) => arity f > 0 ∧ arity f = length T
  | _ => True)")
by (auto simp add: Γ_v_def)

lemma Ana_const: "arity c = 0 ==> Ana (Fun c T) = ([][], [])"
by (cases c) simp_all

lemma Ana_keys_subterm: "Ana t = (K, T) ==> k ∈ set K ==> k ⊂ t"
proof (induct t rule: Ana.induct)
  case (1 U)
  then obtain m where "U = [Fun pub [k], m]" "K = [k]" "T = [m]"
    by (auto elim!: Ana_crypt.elims Ana_sign.elims)
  thus ?case using Fun_subterm_inside_params[of k crypt U] by auto
qed (auto elim!: Ana_crypt.elims Ana_sign.elims)

global_interpretation tm: typed_model' arity public Ana Γ
  by (unfold_locales, unfold wftrm_def[symmetric])
  (metis assm7, metis assm8, metis assm10, metis assm11, metis assm12, metis Ana_const,
  metis Ana_keys_subterm)

```

### 7.1.5 TLS example: Proving type-flaw resistance

```

abbreviation  $\Gamma_v\_clientHello$  where
  " $\Gamma_v\_clientHello \equiv$ 
    $TComp\ clientHello [TAtom\ Nonce, TAtom\ Nonce, TAtom\ Nonce, TAtom\ Nonce, TAtom\ Nonce]''$ 

abbreviation  $\Gamma_v\_serverHello$  where
  " $\Gamma_v\_serverHello \equiv$ 
    $TComp\ serverHello [TAtom\ Nonce, TAtom\ Nonce, TAtom\ Nonce, TAtom\ Nonce, TAtom\ Nonce]''$ 

abbreviation  $\Gamma_v\_pub$  where
  " $\Gamma_v\_pub \equiv TComp\ pub [TAtom\ PrivKey]''$ 

abbreviation  $\Gamma_v\_x509$  where
  " $\Gamma_v\_x509 \equiv TComp\ x509 [TAtom\ Agent, \Gamma_v\_pub]''$ 

abbreviation  $\Gamma_v\_sign$  where
  " $\Gamma_v\_sign \equiv TComp\ sign [TAtom\ PrivKey, \Gamma_v\_x509]''$ 

abbreviation  $\Gamma_v\_serverCert$  where
  " $\Gamma_v\_serverCert \equiv TComp\ serverCert [\Gamma_v\_sign]''$ 

abbreviation  $\Gamma_v\_pmsForm$  where
  " $\Gamma_v\_pmsForm \equiv TComp\ pmsForm [TAtom\ SymKey]''$ 

abbreviation  $\Gamma_v\_crypt$  where
  " $\Gamma_v\_crypt \equiv TComp\ crypt [\Gamma_v\_pub, \Gamma_v\_pmsForm]''$ 

abbreviation  $\Gamma_v\_clientKeyExchange$  where
  " $\Gamma_v\_clientKeyExchange \equiv$ 
    $TComp\ clientKeyExchange [\Gamma_v\_crypt]''$ 

abbreviation  $\Gamma_v\_HSMsgs$  where
  " $\Gamma_v\_HSMsgs \equiv TComp\ concat [$ 
    $\Gamma_v\_clientHello,$ 
    $\Gamma_v\_serverHello,$ 
    $\Gamma_v\_serverCert,$ 
    $TAtom\ PubConst,$ 
    $\Gamma_v\_clientKeyExchange]''$ 

abbreviation " $T_1\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $T_2\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $R_A\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $R_B\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $S\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $Cipher\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $Comp\ n \equiv Var\ (TAtom\ Nonce,n)$ "
abbreviation " $B\ n \equiv Var\ (TAtom\ Agent,n)$ "
abbreviation " $Pr_{ca}\ n \equiv Var\ (TAtom\ PrivKey,n)$ "
abbreviation " $PMS\ n \equiv Var\ (TAtom\ SymKey,n)$ "
abbreviation " $P_B\ n \equiv Var\ (TComp\ pub [TAtom\ PrivKey],n)$ "
abbreviation " $HSMsgs\ n \equiv Var\ (\Gamma_v\_HSMsgs,n)$ "

```

#### Defining the over-approximation set

```

abbreviation  $clientHello_{trm}$  where
  " $clientHello_{trm} \equiv Fun\ clientHello [T_1\ 0, R_A\ 1, S\ 2, Cipher\ 3, Comp\ 4]''$ 

abbreviation  $serverHello_{trm}$  where
  " $serverHello_{trm} \equiv Fun\ serverHello [T_2\ 0, R_B\ 1, S\ 2, Cipher\ 3, Comp\ 4]''$ 

abbreviation  $serverCert_{trm}$  where

```

```

"serverCerttrm ≡ Fun serverCert [Fun sign [Prca 0, Fun x509 [B 1, PB 2]]]""

abbreviation serverHelloDonetrm where
"serverHelloDonetrm ≡ Fun serverHelloDone []"

abbreviation clientKeyExchangetrm where
"clientKeyExchangetrm ≡ Fun clientKeyExchange [Fun crypt [PB 0, Fun pmsForm [PMS 1]]]""

abbreviation changeCiphertrm where
"changeCiphertrm ≡ Fun changeCipher []"

abbreviation finishedtrm where
"finishedtrm ≡ Fun finished [Fun prfun [
  Fun clientFinished [
    Fun prfun [Fun master [PMS 0, RA 1, RB 2]], 
    RA 3, RB 4, Fun hash [HSMsgs 5]
  ]
]]"

definition MTLS::"ex_term list" where
"MTLS ≡ [
  clientHellotrm,
  serverHellotrm,
  serverCerttrm,
  serverHelloDonetrm,
  clientKeyExchangetrm,
  changeCiphertrm,
  finishedtrm
]"

```

### 7.1.6 Theorem: The TLS handshake protocol is type-flaw resistant

```

theorem "tm.tfrset (set MTLS)"
by (rule tm.tfrset_if_comp_tfrset) eval
end

```

## 7.2 The Keyserver Example

```

theory Example_Keyserver
imports "../Stateful_Compositionality"
begin

declare [[code_timing]]

7.2.1 Setup

Datatypes and functions setup

datatype ex_lbl = Label1 (<1>) | Label2 (<2>)

datatype ex_atom =
  Agent | Value | Attack | PrivFunSec
| Bot

datatype ex_fun =
  ring | valid | revoked | events | beginauth nat | endauth nat | pubkeys | seen
| invkey | tuple | tuple' | attack nat
| sign | crypt | update | pw
| encodingsecret | pubkey nat
| pubconst ex_atom nat

type_synonym ex_type = "(ex_fun, ex_atom) term_type"

```

## 7 Examples

```

type_synonym ex_var = "ex_type × nat"

lemma ex_atom_UNIV:
  "(UNIV::ex_atom set) = {Agent, Value, Attack, PrivFunSec, Bot}"
by (auto intro: ex_atom.exhaust)

instance ex_atom::finite
by intro_classes (metis ex_atom_UNIV finite.emptyI finite.insertI)

lemma ex_lbl_UNIV:
  "(UNIV::ex_lbl set) = {Label1, Label2}"
by (auto intro: ex_lbl.exhaust)

type_synonym ex_term = "(ex_fun, ex_var) term"
type_synonym ex_terms = "(ex_fun, ex_var) terms"

primrec arity::"ex_fun ⇒ nat" where
  "arity ring = 2"
| "arity valid = 3"
| "arity revoked = 3"
| "arity events = 1"
| "arity (beginauth _) = 3"
| "arity (endauth _) = 3"
| "arity pubkeys = 2"
| "arity seen = 2"
| "arity invkey = 2"
| "arity tuple = 2"
| "arity tuple' = 2"
| "arity (attack _) = 0"
| "arity sign = 2"
| "arity crypt = 2"
| "arity update = 4"
| "arity pw = 2"
| "arity (pubkey _) = 0"
| "arity encodingsecret = 0"
| "arity (pubconst _ _) = 0"

fun public::"ex_fun ⇒ bool" where
  "public (pubkey _) = False"
| "public encodingsecret = False"
| "public _ = True"

fun Anacrypt::"ex_term list ⇒ (ex_term list × ex_term list)" where
  "Anacrypt [k,m] = ([Fun invkey [Fun encodingsecret [], k]], [m])"
| "Anacrypt _ = ([] , [])"

fun Anasign::"ex_term list ⇒ (ex_term list × ex_term list)" where
  "Anasign [k,m] = ([], [m])"
| "Anasign _ = ([] , [])"

fun Ana::"ex_term ⇒ (ex_term list × ex_term list)" where
  "Ana (Fun tuple T) = ([], T)"
| "Ana (Fun tuple' T) = ([], T)"
| "Ana (Fun sign T) = Anasign T"
| "Ana (Fun crypt T) = Anacrypt T"
| "Ana _ = ([] , [])"

```

### Keyserver example: Locale interpretation

```

lemma assm1:
  "Ana t = (K,M) ⟹ fvset (set K) ⊆ fv t"
  "Ana t = (K,M) ⟹ (∀g S'. Fun g S' ⊑ t ⟹ length S' = arity g)
    ⟹ k ∈ set K ⟹ Fun f T' ⊑ k ⟹ length T' = arity f"

```

```

"Ana t = (K,M) ==> K ≠ [] ∨ M ≠ [] ==> Ana (t · δ) = (K ·list δ, M ·list δ)"
by (rule Ana.cases[of "t"], auto elim!: Anacrypt.elims Anasign.elims)+

lemma assm2: "Ana (Fun f T) = (K, M) ==> set M ⊆ set T"
by (rule Ana.cases[of "Fun f T"]) (auto elim!: Anacrypt.elims Anasign.elims)

lemma assm6: "0 < arity f ==> public f" by (cases f) simp_all

global_interpretation im: intruder_model arity public Ana
  defines wftrm = "im.wftrm"
by unfold_locales (metis assm1(1), metis assm1(2), rule Ana.simps, metis assm2, metis assm1(3))

type_synonym ex_strand_step = "(ex_fun,ex_var) strand_step"
type_synonym ex_strand = "(ex_fun,ex_var) strand"

```

### Typing function

```

definition Γv::"ex_var ⇒ ex_type" where
"Γv v = (if (∀ t ∈ subterms (fst v). case t of
          (TComp f T) ⇒ arity f > 0 ∧ arity f = length T
          | _ ⇒ True)
         then fst v else TAtom Bot)"

fun Γ::"ex_term ⇒ ex_type" where
"Γ (Var v) = Γv v"
| "Γ (Fun (attack _) _) = TAtom Attack"
| "Γ (Fun (pubkey _) _) = TAtom Value"
| "Γ (Fun encodingsecret _) = TAtom PrivFunSec"
| "Γ (Fun (pubconst τ _) _) = TAtom τ"
| "Γ (Fun f T) = TComp f (map Γ T)"

```

### Locale interpretation: typed model

```

lemma assm7: "arity c = 0 ==> ∃ a. ∀ X. Γ (Fun c X) = TAtom a" by (cases c) simp_all

lemma assm8: "0 < arity f ==> Γ (Fun f X) = TComp f (map Γ X)" by (cases f) simp_all

```

```

lemma assm9: "infinite {c. Γ (Fun c []) = TAtom a ∧ public c}"
proof -

```

```

  let ?T = "(range (pubconst a))::ex_fun set"
  have *:
    "¬(x y::nat. x ∈ UNIV ==> y ∈ UNIV ==> (pubconst a x = pubconst a y) = (x = y))"
    "¬(x::nat. x ∈ UNIV ==> pubconst a x ∈ ?T)"
    "¬(y::ex_fun. y ∈ ?T ==> ∃ x ∈ UNIV. y = pubconst a x)"
  by auto
  have "?T ⊆ {c. Γ (Fun c []) = TAtom a ∧ public c}" by auto
  moreover have "¬(f::nat ⇒ ex_fun. bij_betw f UNIV ?T)"
    using bij_betwI'[OF *] by blast
  hence "infinite ?T" by (metis nat_not_finite bij_betw_finite)
  ultimately show ?thesis using infinite_super by blast
qed

```

```

lemma assm10: "TComp f T ⊑ Γ t ==> arity f > 0"
proof (induction rule: Γ.induct)
  case (1 x)
  hence *: "TComp f T ⊑ Γv x" by simp
  hence "Γv x ≠ TAtom Bot" unfolding Γv_def by force
  hence "¬(t ∈ subterms (fst x). case t of
          (TComp f T) ⇒ arity f > 0 ∧ arity f = length T
          | _ ⇒ True)"
    unfolding Γv_def by argo
  thus ?case using * unfolding Γv_def by fastforce
qed auto

```

```

lemma assm11: "im.wftrm (Γ (Var x))"
proof -
  have "im.wftrm (Γv x)" unfolding Γv_def im.wftrm_def by auto
  thus ?thesis by simp
qed

lemma assm12: "Γ (Var (τ, n)) = Γ (Var (τ, m))"
apply (cases "∀ t ∈ subterms τ. case t of
  (TComp f T) ⇒ arity f > 0 ∧ arity f = length T
  | _ ⇒ True")
by (auto simp add: Γv_def)

lemma Ana_const: "arity c = 0 ⇒ Ana (Fun c T) = ([][], [])"
by (cases c) simp_all

lemma Ana_subst': "Ana (Fun f T) = (K, M) ⇒ Ana (Fun f T · δ) = (K ·list δ, M ·list δ)"
by (cases f) (auto elim!: Anacrypt.elims Anasign.elims)

global_interpretation tm: typing_result arity public Ana Γ
  apply (unfold_locales, unfold wftrm_def[symmetric])
  by
    (metis assm7, metis assm8, metis assm10, metis assm11, metis assm9, metis assm6)

```

#### Locale interpretation: labeled stateful typed model

```

global_interpretation stm: labeled_stateful_typing' arity public Ana Γ tuple 1 2
by unfold_locales
  (metis assm12, metis Ana_const, metis Ana_subst', fast, rule arity.simps, metis Ana_subst')

type_synonym ex_stateful_strand_step = "(ex_fun, ex_var) stateful_strand_step"
type_synonym ex_stateful_strand = "(ex_fun, ex_var) stateful_strand"

type_synonym ex_labeled_stateful_strand_step =
  "(ex_fun, ex_var, ex_lbl) labeled_stateful_strand_step"

type_synonym ex_labeled_stateful_strand =
  "(ex_fun, ex_var, ex_lbl) labeled_stateful_strand"

```

#### 7.2.2 Theorem: Type-flaw resistance of the keyserver example from the CSF18 paper

```

abbreviation "PK n ≡ Var (TAtom Value, n)"
abbreviation "A n ≡ Var (TAtom Agent, n)"
abbreviation "X n ≡ (TAtom Agent, n)"

abbreviation "ringset t ≡ Fun ring [Fun encodingsecret [], t]"
abbreviation "validset t t' ≡ Fun valid [Fun encodingsecret [], t, t']"
abbreviation "revokedset t t' ≡ Fun revoked [Fun encodingsecret [], t, t']"
abbreviation "eventsset ≡ Fun events [Fun encodingsecret []]"

```

```

abbreviation Sks::"(ex_fun, ex_var) stateful_strand_step list" where
  "Sks ≡ [
    insert(Fun (attack 0) [], eventsset),
    delete(PK 0, validset (A 0) (A 0)),
    ∀ (TAtom Agent, 0)⟨PK 0 not in revokedset (A 0) (A 0)⟩,
    ∀ (TAtom Agent, 0)⟨PK 0 not in validset (A 0) (A 0)⟩,
    insert(PK 0, validset (A 0) (A 0)),
    insert(PK 0, ringset (A 0)),
    insert(PK 0, revokedset (A 0) (A 0)),
    select(PK 0, validset (A 0) (A 0)),
    select(PK 0, ringset (A 0)),
    receive⟨[Fun invkey [Fun encodingsecret [], PK 0]]⟩,
  ]"

```

```

receive([Fun sign [Fun invkey [Fun encodingsecret [], PK 0], Fun tuple' [A 0, PK 0]]],  

send([Fun invkey [Fun encodingsecret [], PK 0]]],  

send([Fun sign [Fun invkey [Fun encodingsecret [], PK 0], Fun tuple' [A 0, PK 0]]])  

]

theorem "stmt.tfrsst Sks"
proof -
  let ?M = "concat (map subterms_list (trms_listsst Sks @map (pair' tuple) (setops_listsst Sks)))"
  have "comp_tfrsst arity Ana Γ tuple (set ?M) Sks" by eval
  thus ?thesis by (rule stmt.tfrsst_if_comp_tfrsst)
qed



### 7.2.3 Theorem: Type-flaw resistance of the keyserver examples from the ESORIC paper


abbreviation "signmsg t t' ≡ Fun sign [t, t']"
abbreviation "cryptmsg t t' ≡ Fun crypt [t, t']"
abbreviation "invkeymsg t ≡ Fun invkey [Fun encodingsecret [], t]"
abbreviation "updatemsg a b c d ≡ Fun update [a,b,c,d]"
abbreviation "pwmsg t t' ≡ Fun pw [t, t']"

abbreviation "beginauthset n t t' ≡ Fun (beginauth n) [Fun encodingsecret [], t, t']"
abbreviation "endauthset n t t' ≡ Fun (endauth n) [Fun encodingsecret [], t, t']"
abbreviation "pubkeysset t ≡ Fun pubkeys [Fun encodingsecret [], t]"
abbreviation "seenset t ≡ Fun seen [Fun encodingsecret [], t]"

declare [[coercion "Var::ex_var ⇒ ex_term"]]
declare [[coercion_enabled]]

definition S'ks:::"ex_labeled_stateful_strand_step list" where
  "S'ks ≡ [
    ⟨1, send⟨[invkeymsg (PK 0)]⟩⟩,  

    ⟨*, ⟨PK 0 in validset (A 0) (A 1)⟩⟩,  

    ⟨1, receive⟨[Fun (attack 0) []]⟩⟩,  

    ⟨1, send⟨[signmsg (invkeymsg (PK 0)) (Fun tuple' [A 0, PK 0])]⟩⟩,  

    ⟨*, ⟨PK 0 in validset (A 0) (A 1)⟩⟩,  

    ⟨*, ∀X 0, X 1⟨PK 0 not in validset (Var (X 0)) (Var (X 1))⟩⟩,  

    ⟨1, ∀X 0, X 1⟨PK 0 not in revokedset (Var (X 0)) (Var (X 1))⟩⟩,  

    ⟨*, ⟨PK 0 not in beginauthset 0 (A 0) (A 1)⟩⟩,  

    ⟨*, ⟨PK 0 in beginauthset 0 (A 0) (A 1)⟩⟩,  

    ⟨*, ⟨PK 0 in endauthset 0 (A 0) (A 1)⟩⟩,  

    ⟨1, receive⟨[PK 0]⟩⟩,  

    ⟨*, receive⟨[invkeymsg (PK 0)]⟩⟩,  

    ⟨1, insert⟨PK 0, ringset (A 0)⟩⟩,  

    ⟨*, insert⟨PK 0, validset (A 0) (A 1)⟩⟩,  

    ⟨*, insert⟨PK 0, beginauthset 0 (A 0) (A 1)⟩⟩,  

    ⟨*, insert⟨PK 0, endauthset 0 (A 0) (A 1)⟩⟩,  

    ⟨1, select⟨PK 0, ringset (A 0)⟩⟩,  

    ⟨1, delete⟨PK 0, ringset (A 0)⟩⟩
  ]"

```

## 7 Examples

```

#A#//#T#/#
⟨*, ⟨PK 0 not in endauthset 0 (A 0) (A 1)⟩⟩,
⟨*, delete⟨PK 0, validset (A 0) (A 1)⟩⟩,
⟨1, insert⟨PK 0, revokedset (A 0) (A 1)⟩⟩,

#A#//#T#/#
#O#M#I#H#//#H#H#

#A#//#T#/#
⟨1, send⟨[PK 0]⟩⟩,

#A#//#T#/#
⟨1, send⟨[Fun (attack 0) []]⟩⟩,

#O#M#I#H#//#H#H#
⟨2, send⟨[invkeymsg (PK 0)]⟩⟩,
⟨*, ⟨PK 0 in validset (A 0) (A 1)⟩⟩,
⟨2, receive⟨[Fun (attack 1) []]⟩⟩,

#A#//#T#/#
⟨2, send⟨[cryptmsg (PK 0) (updatemsg (A 0) (A 1) (PK 1) (pwmsg (A 0) (A 1)))]⟩⟩,
⟨2, select⟨PK 0, pubkeysset (A 0)⟩⟩,
⟨2, ∀ X 0⟨PK 0 not in pubkeysset (Var (X 0))⟩⟩,
⟨2, ∀ X 0⟨PK 0 not in seenset (Var (X 0))⟩⟩,

#A#//#T#/#
⟨*, ⟨PK 0 in beginauthset 1 (A 0) (A 1)⟩⟩,
⟨*, ⟨PK 0 in endauthset 1 (A 0) (A 1)⟩⟩,

#A#//#T#/#
⟨*, receive⟨[PK 0]⟩⟩,
⟨*, receive⟨[invkeymsg (PK 0)]⟩⟩,

#A#//#T#/#
⟨2, select⟨PK 0, pubkeysset (A 0)⟩⟩,
⟨*, insert⟨PK 0, beginauthset 1 (A 0) (A 1)⟩⟩,
⟨2, receive⟨[cryptmsg (PK 0) (updatemsg (A 0) (A 1) (PK 1) (pwmsg (A 0) (A 1)))]⟩⟩,

#A#//#T#/#
⟨*, ⟨PK 0 not in endauthset 1 (A 0) (A 1)⟩⟩,
⟨*, insert⟨PK 0, validset (A 0) (A 1)⟩⟩,
⟨*, insert⟨PK 0, endauthset 1 (A 0) (A 1)⟩⟩,
⟨2, insert⟨PK 0, seenset (A 0)⟩⟩,

#A#//#T#/#
⟨2, receive⟨[pwmsg (A 0) (A 1)]⟩⟩,

#A#//#T#/#
#O#M#I#H#//#H#H#

#A#//#T#/#
⟨2, insert⟨PK 0, pubkeysset (A 0)⟩⟩,

#A#//#T#/#
⟨2, send⟨[Fun (attack 1) []]⟩⟩
]"

theorem "stmt.tfrsst (unlabel S'ks)"
proof -
  let ?S = "unlabel S'ks"
  let ?M = "concat (map subterms_listsst ?S@map (pair' tuple) (setops_listsst ?S))"

```

```

have "comp_tfrsst arity Ana Γ tuple (set ?M) ?S" by eval
thus ?thesis by (rule stm.tfrsst_if_comp_tfrsst)
qed

```

### 7.2.4 Theorem: The steps of the keyserver protocols from the ESORICS18 paper satisfy the conditions for parallel composition

```

theorem
fixes S f
defines "S ≡ [PK 0, invkeymsg (PK 0), Fun encodingsecret []]@concat (
  map (λs. [s, Fun tuple [PK 0, s]]) [
    validset (A 0) (A 1), beginauthset 0 (A 0) (A 1), endauthset 0 (A 0) (A 1),
    beginauthset 1 (A 0) (A 1), endauthset 1 (A 0) (A 1)])@
  [A 0]"
and "f ≡ λM. {t · δ | t δ. t ∈ M ∧ tm.wtsubst δ ∧ im.wftrms (subst_range δ) ∧ fv (t · δ) = {}}"
and "Sec ≡ (f (set S)) - {m. im.intruder_synth {} m}"
shows "stm.par_complsst S'ks Sec"
proof -
let ?N = "λP. set (concat (map subterms_list (trms_listsst P@map (pair' tuple) (setops_listsst P))))"
let ?M = "λ1. ?N (proj_unl 1 S'ks)"
have "comp_par_complsst public arity Ana Γ tuple S'ks ?M (set S)"
  unfolding S_def by eval
thus ?thesis
  using stm.par_complsst_if_comp_par_complsst[of S'ks ?M "set S"]
  unfolding Sec_def f_def wftrm_def[symmetric] by blast
qed
end

```



# Bibliography

- [1] A. V. Hess. *Typing and Compositionality for Stateful Security Protocols*. PhD thesis, 2019. URL <https://orbit.dtu.dk/en/publications/typing-and-compositionality-for-stateful-security-protocols>.
- [2] A. V. Hess and S. Mödersheim. Formalizing and Proving a Typing Result for Security Protocols in Isabelle/HOL. In *30th IEEE Computer Security Foundations Symposium, CSF 2017, Santa Barbara, CA, USA, August 21-25, 2017*, pages 451–463. IEEE Computer Society, 2017. doi: 10.1109/CSF.2017.27.
- [3] A. V. Hess and S. Mödersheim. A Typing Result for Stateful Protocols. In *31st IEEE Computer Security Foundations Symposium, CSF 2018, Oxford, United Kingdom, July 9-12, 2018*, pages 374–388. IEEE Computer Society, 2018. doi: 10.1109/CSF.2018.00034.
- [4] A. V. Hess, S. Mödersheim, and A. D. Brucker. Stateful Protocol Composition. In J. López, J. Zhou, and M. Soriano, editors, *Computer Security - 23rd European Symposium on Research in Computer Security, ESORICS 2018, Barcelona, Spain, September 3-7, 2018, Proceedings, Part I*, volume 11098 of *Lecture Notes in Computer Science*, pages 427–446. Springer, 2018. doi: 10.1007/978-3-319-99073-6\_21.
- [5] A. V. Hess, S. A. Mödersheim, and A. D. Brucker. Stateful protocol composition in isabelle/hol. *ACM Transactions on Privacy and Security*, 2023. URL <https://www.brucker.ch/bibliography/abstract/hess-ea-stateful-protocol-composition-2023>.