

# Sorted Terms\*

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## Abstract

This entry provides a basic library for many-sorted terms and algebras. We view sorted sets just as partial maps from elements to sorts, and define sorted set of terms reusing the data type from the existing library of (unsorted) first order terms. All the existing functionality, such as substitutions and contexts, can be reused without any modifications. We provide predicates stating what substitutions or contexts are considered sorted, and prove facts that they preserve sorts as expected.

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## 1 Introduction

This entry extends the First-Order Terms [1] entry with many-sorted terms. Instead of defining a new datatype for sorted terms, we just define sorted sets over the existing datatype of unsorted terms. We do not even introduce our type for sorted sets: we just view sorted sets as partial maps from elements to their sorts.

Part of the entry is presented in [2].

```
theory Sorted-Sets
imports
  Main
  HOL-Library.FuncSet
  HOL-Library.Monad-Syntax
  Complete-Non-Orders.Binary-Relations
begin
```

## 2 Auxiliary Lemmas

**lemma** *ex-set-conv-ex-nth*:

$$(\exists x \in \text{set } xs. P x) = (\exists i. i < \text{length } xs \wedge P (xs ! i))$$

*⟨proof⟩*

**lemma** *Ball-Pair-conv*:  $(\forall (x,y) \in R. P x y) \longleftrightarrow (\forall x y. (x,y) \in R \longrightarrow P x y)$  *⟨proof⟩*

**lemma** *Some-eq-bind-conv*:  $(\text{Some } x = f \gg g) = (\exists y. f = \text{Some } y \wedge g y = \text{Some } x)$   
*⟨proof⟩*

**lemma** *length-le-nth-append*:  $\text{length } xs \leq n \implies (xs @ ys)!n = ys!(n - \text{length } xs)$   
*⟨proof⟩*

**lemma** *list-all2-same-left*:

$$\forall a' \in \text{set } as. a' = a \implies \text{list-all2 } r \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall b \in \text{set } bs. r a b)$$

*⟨proof⟩*

**lemma** *list-all2-same-leftI*:

$$\forall a' \in \text{set } as. a' = a \implies \text{length } as = \text{length } bs \implies \forall b \in \text{set } bs. r a b \implies \text{list-all2 } r \text{ as } bs$$

*⟨proof⟩*

**lemma** *list-all2-same-right*:

$$\forall b' \in \text{set } bs. b' = b \implies \text{list-all2 } r \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall a \in \text{set } as. r a b)$$

*⟨proof⟩*

**lemma** *list-all2-same-rightI*:

$$\forall b' \in \text{set } bs. b' = b \implies \text{length } as = \text{length } bs \implies \forall a \in \text{set } as. r a b \implies \text{list-all2 } r \text{ as } bs$$

*⟨proof⟩*

**lemma** *list-all2-all-all*:

$$\forall a \in \text{set } as. \forall b \in \text{set } bs. r a b \implies \text{list-all2 } r \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs$$

*⟨proof⟩*

**lemma** *list-all2-indep1*:

$$\text{list-all2 } (\lambda a b. P b) \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall b \in \text{set } bs. P b)$$

*⟨proof⟩*

**lemma** *list-all2-indep2*:

$$\text{list-all2 } (\lambda a b. P a) \text{ as } bs \longleftrightarrow \text{length } as = \text{length } bs \wedge (\forall a \in \text{set } as. P a)$$

*⟨proof⟩*

**lemma** *list-all2-replicate[simp]*:

$$\begin{aligned} \text{list-all2 } r \text{ (replicate } n x) \text{ ys} &\longleftrightarrow \text{length } ys = n \wedge (\forall y \in \text{set } ys. r x y) \\ \text{list-all2 } r \text{ xs } (\text{replicate } n y) &\longleftrightarrow \text{length } xs = n \wedge (\forall x \in \text{set } xs. r x y) \end{aligned}$$

*⟨proof⟩*

**lemma** *list-all2-choice-nth*: **assumes**  $\forall i < \text{length } xs. \exists y. r (xs[i]) y$  **shows**  $\exists ys.$

$$\text{list-all2 } r \text{ xs } ys$$

*⟨proof⟩*

**lemma** *list-all2-choice*:  $\forall x \in \text{set } xs. \exists y. r x y \implies \exists ys. \text{list-all2 } r \text{ xs } ys$

*⟨proof⟩*

**lemma** *list-all2-concat*:

$$\text{list-all2 } (\text{list-all2 } r) \text{ ass } bss \implies \text{list-all2 } r \text{ (concat ass) } (\text{concat } bss)$$

*⟨proof⟩*

```

lemma those-eq-None[simp]: those as = None  $\longleftrightarrow$  None  $\in$  set as  $\langle proof \rangle$ 

lemma those-eq-Some[simp]: those xs = Some xs  $\longleftrightarrow$  xs = map Some xs
 $\langle proof \rangle$ 

lemma those-map-Some[simp]: those (map Some xs) = Some xs  $\langle proof \rangle$ 

lemma those-append:
those (as @ bs) = do {xs  $\leftarrow$  those as; ys  $\leftarrow$  those bs; Some (xs@ys)}
 $\langle proof \rangle$ 

lemma those-Cons:
those (a#as) = do {x  $\leftarrow$  a; xs  $\leftarrow$  those as; Some (x # xs)}
 $\langle proof \rangle$ 

lemma map-singleton-o[simp]: ( $\lambda x.$  [x])  $\circ$  f = ( $\lambda x.$  [f x])  $\langle proof \rangle$ 

lemmas list-3-cases = remdups-adj.cases

lemma in-set-updateD: x  $\in$  set (xs[n := y])  $\implies$  x  $\in$  set xs  $\vee$  x = y
 $\langle proof \rangle$ 

lemma map-nth': length xs = n  $\implies$  map (nth xs) [0..<n] = xs
 $\langle proof \rangle$ 

lemma product-lists-map-map: product-lists (map (map f) xss) = map (map f)
(product-lists xss)
 $\langle proof \rangle$ 

lemma (in monoid-add) sum-list-concat: sum-list (concat xs) = sum-list (map
sum-list xs)
 $\langle proof \rangle$ 

context semiring-1 begin

lemma prod-list-map-sum-list-distrib:
shows prod-list (map sum-list xss) = sum-list (map prod-list (product-lists xss))
 $\langle proof \rangle$ 

lemma prod-list-sum-list-distrib:
( $\prod$  xs  $\leftarrow$  xss.  $\sum$  x  $\leftarrow$  xs. f x) = ( $\sum$  xs  $\leftarrow$  product-lists xss.  $\prod$  x  $\leftarrow$  xs. f x)
 $\langle proof \rangle$ 

end

lemma ball-set-bex-set-distrib:
( $\forall$  xs  $\in$  set xss.  $\exists$  x  $\in$  set xs. f x)  $\longleftrightarrow$  ( $\exists$  xs  $\in$  set (product-lists xss).  $\forall$  x  $\in$  set xs. f x)
 $\langle proof \rangle$ 

```

```

lemma bex-set-ball-set-distrib:
  ( $\exists xs \in set xss. \forall x \in set xs. f x \longleftrightarrow (\forall xs \in set (product-lists xss). \exists x \in set xs. f x)$ )
   $\langle proof \rangle$ 

declare upt-Suc[simp del]

lemma map-nth-Cons: map (nth (x#xs)) [0..<n] = (case n of 0  $\Rightarrow$  [] | Suc n  $\Rightarrow$ 
  x # map (nth xs) [0..<n])
   $\langle proof \rangle$ 

lemma upt-0-Suc-Cons: [0..<Suc i] = 0 # map Suc [0..<i]
   $\langle proof \rangle$ 

lemma upt-map-add:  $i \leq j \implies [i..<j] = map (\lambda k. k + i) [0..<j-i]$ 
   $\langle proof \rangle$ 

lemma map-nth-append:
  map (nth (xs @ ys)) [0..<n] =
  (if  $n < length xs$  then map (nth xs) [0..<n] else xs @ map (nth ys) [0..<n-length xs])
   $\langle proof \rangle$ 

lemma all-dom: ( $\forall x \in dom f. P x \longleftrightarrow (\forall x y. f x = Some y \longrightarrow P x)$ )  $\langle proof \rangle$ 

lemma trancl-Collect:  $\{(x,y). r x y\}^+ = \{(x,y). tranclp r x y\}$ 
   $\langle proof \rangle$ 

lemma restrict-submap[intro!]:  $A \restriction S \subseteq_m A$ 
   $\langle proof \rangle$ 

lemma restrict-map-mono-left:  $A \subseteq_m A' \implies A \restriction S \subseteq_m A' \restriction S$ 
and restrict-map-mono-right:  $S \subseteq S' \implies A \restriction S \subseteq_m A \restriction S'$ 
   $\langle proof \rangle$ 

```

### 3 Sorted Sets and Maps

**declare** domIff[iff del]

We view sorted sets just as partial maps from elements to their sorts. We just introduce the following notation:

**definition** hastype ( $\langle \langle (-) :/ (-) in/ (-) \rangle \rangle$ ) [50,61,51]50  
**where**  $a : \sigma$  in  $A \equiv A a = Some \sigma$

**abbreviation** all-hastype  $\sigma A P \equiv \forall a. a : \sigma$  in  $A \longrightarrow P a$   
**abbreviation** ex-hastype  $\sigma A P \equiv \exists a. a : \sigma$  in  $A \wedge P a$

#### syntax

all-hastype :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\langle \forall - :/ - in/ - \rangle \rightarrow [50,51,51,10]10$ )  
 ex-hastype :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\langle \exists - :/ - in/ - \rangle \rightarrow [50,51,51,10]10$ )

**syntax-consts**

*all-hastype*  $\Rightarrow$  *all-hastype* **and**  
*ex-hastype*  $\Rightarrow$  *ex-hastype*

**translations**

$\forall a : \sigma \text{ in } A. e \Rightarrow \text{CONST all-hastype } \sigma A (\lambda a. e)$   
 $\exists a : \sigma \text{ in } A. e \Rightarrow \text{CONST ex-hastype } \sigma A (\lambda a. e)$

**lemmas** *hastypeI* = *hastype-def*[unfolded atomize-eq, THEN iffD2]  
**lemmas** *hastypeD[dest]* = *hastype-def*[unfolded atomize-eq, THEN iffD1]  
**lemmas** *eq-Some-iff-hastype* = *hastype-def*[symmetric]

**lemma** *has-same-type*: **assumes**  $a : \sigma \text{ in } A$  **shows**  $a : \sigma' \text{ in } A \longleftrightarrow \sigma' = \sigma$   
 $\langle \text{proof} \rangle$

**lemma** *sset-eqI*: **assumes**  $(\bigwedge a \in \sigma. a : \sigma \text{ in } A \longleftrightarrow a : \sigma \text{ in } B)$  **shows**  $A = B$   
 $\langle \text{proof} \rangle$

**lemma** *in-dom-iff-ex-type*:  $a \in \text{dom } A \longleftrightarrow (\exists \sigma. a : \sigma \text{ in } A)$   $\langle \text{proof} \rangle$

**lemma** *in-dom-hastypeE*:  $a \in \text{dom } A \implies (\bigwedge \sigma. a : \sigma \text{ in } A \implies \text{thesis}) \implies \text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma** *hastype-imp-dom[simp]*:  $a : \sigma \text{ in } A \implies a \in \text{dom } A$   $\langle \text{proof} \rangle$

**lemma** *untyped-imp-not-hastype*:  $A a = \text{None} \implies \neg a : \sigma \text{ in } A$   $\langle \text{proof} \rangle$

**lemma** *nex-hastype-iff*:  $(\nexists \sigma. a : \sigma \text{ in } A) \longleftrightarrow A a = \text{None}$   $\langle \text{proof} \rangle$

**lemma** *all-dom-iff-all-hastype*:  $(\forall x \in \text{dom } A. P x) \longleftrightarrow (\forall x \in \sigma. x : \sigma \text{ in } A \longrightarrow P x)$   
 $\langle \text{proof} \rangle$

Explicitly sorted sets:

**abbreviation** *sort-annotated*  $\equiv$  *Some*  $\circ$  *snd*

**lemma** *hastype-in-Some[simp]*:  $a : \sigma \text{ in } (\lambda x. \text{Some } (f x)) \longleftrightarrow \sigma = f a$   
 $\langle \text{proof} \rangle$

Listwise type judgement:

**abbreviation** *hastype-list* ( $(((-) :_l (-) \text{ in } (-)) \text{ [50,61,51] } 50)$ )  
**where**  $\text{as} :_l \sigma s \text{ in } A \equiv \text{list-all2 } (\lambda a \in \sigma. a : \sigma \text{ in } A) \text{ as } \sigma s$

**lemma** *has-same-type-list*:  
 $\text{as} :_l \sigma s \text{ in } A \implies \text{as} :_l \sigma s' \text{ in } A \longleftrightarrow \sigma s' = \sigma s$   
 $\langle \text{proof} \rangle$

**lemma** *hastype-list-iff-those*:  $\text{as} :_l \sigma s \text{ in } A \longleftrightarrow \text{those } (\text{map } A \text{ as}) = \text{Some } \sigma s$

$\langle proof \rangle$

**lemmas** *hastype-list-imp-those*[simp] = *hastype-list-iff-those*[THEN iffD1]

**lemma** *hastype-list-imp-lists-dom*:  $xs :_l \sigma s \text{ in } A \implies xs \in \text{lists}(\text{dom } A)$   
 $\langle proof \rangle$

**lemma** *subsset*:  $A \subseteq_m A' \longleftrightarrow (\forall a \in \sigma. a : \sigma \text{ in } A \implies a : \sigma \text{ in } A')$   
 $\langle proof \rangle$

**lemmas** *subssetI* = *subsset*[THEN iffD2, rule-format]  
**lemmas** *subssetD* = *subsset*[THEN iffD1, rule-format]

**lemma** *subsset-hastype-listD*:  $A \subseteq_m A' \implies as :_l \sigma s \text{ in } A \implies as :_l \sigma s \text{ in } A'$   
 $\langle proof \rangle$

**lemma** *has-same-type-in-subsset*:  
 $a : \sigma \text{ in } A' \implies A \subseteq_m A' \implies a : \sigma' \text{ in } A \implies \sigma' = \sigma$   
 $\langle proof \rangle$

**lemma** *has-same-type-in-dom-subsset*:  
 $a : \sigma \text{ in } A' \implies A \subseteq_m A' \implies a \in \text{dom } A \longleftrightarrow a : \sigma \text{ in } A$   
 $\langle proof \rangle$

Restriction of partial map, also depending on the value.

**definition** *restrict-sset*  $A P a \equiv$   
 $\text{do } \{ \sigma \leftarrow A a; \text{if } P a \sigma \text{ then Some } \sigma \text{ else None } \}$

**syntax** *restrict-sset* :: 'pttrn  $\Rightarrow$  'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a  
( $\langle \{ - : - \text{ in } -./ - \} \rangle [50, 51, 50, 0] 1000$ )

**translations**  $\{a : \sigma \text{ in } A. P\} \Leftarrow \text{CONST restrict-sset } A (\lambda a. \sigma. P)$

**lemma** *hastype-in-restrict-sset*[simp]:  
 $a : \sigma \text{ in } \{a : \sigma \text{ in } A. P a \sigma\} \longleftrightarrow a : \sigma \text{ in } A \wedge P a \sigma$   
 $\langle proof \rangle$

**lemma** *restrict-sset-cong*:  
**assumes**  $A = A'$   
**and**  $\bigwedge a. \sigma. a : \sigma \text{ in } A \implies P a \sigma \longleftrightarrow P' a \sigma$   
**shows**  $\{a : \sigma \text{ in } A. P a \sigma\} = \{a : \sigma \text{ in } A'. P' a \sigma\}$   
 $\langle proof \rangle$

**lemma** *restrict-sset-True*[simp]:  $\{a : \sigma \text{ in } A. \text{True}\} = A$   
 $\langle proof \rangle$

**lemma** *dom-restrict-sset*:  $\text{dom } \{a : \sigma \text{ in } A. P a \sigma\} = \{a. \exists \sigma. a : \sigma \text{ in } A \wedge P a \sigma\}$   
 $\langle proof \rangle$

**lemma** *hastype-restrict*:  $a : \sigma \text{ in } A \mid 'S \longleftrightarrow a \in S \wedge a : \sigma \text{ in } A$   
 $\langle proof \rangle$

**lemma** *restrict-map-eq-restrict-sset*:  $A \mid 'S = \{x : \sigma \text{ in } A. x \in S\}$   
 $\langle proof \rangle$

**lemma** *hastype-the-simp[simp]*:  $a : \sigma \text{ in } A \implies \text{the}(A a) = \sigma$   
 $\langle proof \rangle$

**lemma** *hastype-in-upd[simp]*:  $x : \sigma \text{ in } A (y \mapsto \tau) \longleftrightarrow (\text{if } x = y \text{ then } \sigma = \tau \text{ else } x : \sigma \text{ in } A)$   
 $\langle proof \rangle$

**lemma** *all-set-hastype-iff-those*:  $\forall a \in \text{set as}. a : \sigma \text{ in } A \implies$   
 $\text{those}(\text{map } A \text{ as}) = \text{Some}(\text{replicate}(\text{length as}) \sigma)$   
 $\langle proof \rangle$

The partial version of list nth:

```
primrec safe-nth where
  safe-nth [] - = None
  | safe-nth (a#as) n = (case n of 0 => Some a | Suc n => safe-nth as n)
```

**lemma** *safe-nth-simp[simp]*:  $i < \text{length as} \implies \text{safe-nth as } i = \text{Some}(\text{as} ! i)$   
 $\langle proof \rangle$

**lemma** *safe-nth-None[simp]*:  
 $\text{length as} \leq i \implies \text{safe-nth as } i = \text{None}$   
 $\langle proof \rangle$

**lemma** *safe-nth*:  $\text{safe-nth as } i = (\text{if } i < \text{length as} \text{ then } \text{Some}(\text{as} ! i) \text{ else } \text{None})$   
 $\langle proof \rangle$

**lemma** *safe-nth-eq-SomeE*:  
 $\text{safe-nth as } i = \text{Some } a \implies (i < \text{length as} \implies \text{as} ! i = a \implies \text{thesis}) \implies \text{thesis}$   
 $\langle proof \rangle$

**lemma** *dom-safe-nth[simp]*:  $\text{dom}(\text{safe-nth as}) = \{0..<\text{length as}\}$   
 $\langle proof \rangle$

**lemma** *safe-nth-replicate[simp]*:  
 $\text{safe-nth}(\text{replicate } n a) i = (\text{if } i < n \text{ then } \text{Some } a \text{ else } \text{None})$   
 $\langle proof \rangle$

**lemma** *safe-nth-append*:  
 $\text{safe-nth}(\text{ls} @ \text{rs}) i = (\text{if } i < \text{length ls} \text{ then } \text{Some}(\text{ls} ! i) \text{ else } \text{safe-nth rs}(i - \text{length ls}))$   
 $\langle proof \rangle$

**lemma** *hastype-in-safe-nth[simp]*:  $i : \sigma \text{ in } \text{safe-nth } \sigma s \longleftrightarrow i < \text{length } \sigma s \wedge \sigma =$

$\sigma s!i$   
 $\langle proof \rangle$

**lemmas** *hastype-in-safe-nthE* = *safe-nth-eq-SomeE*[folded *hastype-def*]

**lemma** *hastype-in-o*[*simp*]:  $a : \sigma$  in  $A \circ f \longleftrightarrow f a : \sigma$  in  $A$   $\langle proof \rangle$

**definition** *o-sset* (**infix**  $\circ s$ ) 55 **where**  
 $f \circ s A \equiv map\text{-option } f \circ A$

**lemma** *hastype-in-o-sset*:  $a : \sigma' \text{ in } f \circ s A \longleftrightarrow (\exists \sigma. a : \sigma \text{ in } A \wedge \sigma' = f \sigma)$   
 $\langle proof \rangle$

**lemma** *hastype-in-o-ssetI*:  $a : \sigma \text{ in } A \implies f \sigma = \sigma' \implies a : \sigma' \text{ in } f \circ s A$   
 $\langle proof \rangle$

**lemma** *hastype-in-o-ssetD*:  $a : \tau \text{ in } f \circ s A \implies \exists \sigma. a : \sigma \text{ in } A \wedge \tau = f \sigma$   
 $\langle proof \rangle$

**lemma** *hastype-in-o-ssetE*:  $a : \tau \text{ in } f \circ s A \implies (\bigwedge \sigma. a : \sigma \text{ in } A \implies \tau = f \sigma \implies thesis) \implies thesis$   
 $\langle proof \rangle$

**lemma** *o-sset-restrict-sset-assoc*[*simp*]:  $f \circ s (A \mid^c X) = (f \circ s A) \mid^c X$   
 $\langle proof \rangle$

**lemma** *id-o-sset*[*simp*]:  $id \circ s A = A$   
**and** *identity-o-sset*[*simp*]:  $(\lambda x. x) \circ s A = A$   
 $\langle proof \rangle$

**lemma** *o-ssetI*:  $A x = Some y \implies z = f y \implies (f \circ s A) x = Some z \langle proof \rangle$

**lemma** *o-ssetE*:  $(f \circ s A) x = Some z \implies (\bigwedge y. A x = Some y \implies z = f y \implies thesis) \implies thesis$   
 $\langle proof \rangle$

**lemma** *dom-o-sset*[*simp*]:  $dom (f \circ s A) = dom A$   
 $\langle proof \rangle$

**lemma** *safe-nth-map*:  $safe-nth (map f as) = f \circ s safe-nth as$   
 $\langle proof \rangle$

**notation** *Map.empty* ( $\langle \emptyset \rangle$ )  
**lemma** *safe-nth-Nil*[*simp*]:  $safe-nth [] = \emptyset \langle proof \rangle$

**lemma** *o-sset-empty*[*simp*]:  $f \circ s \emptyset = \emptyset \langle proof \rangle$

**lemma** *hastype-in-empty*[*simp*]:  $\neg x : \sigma \text{ in } \emptyset \langle proof \rangle$

### 3.1 Maps between Sorted Sets

```

locale sort-preserving = fixes f :: ' $a \Rightarrow b$ ' and A :: ' $a \rightarrow s$ '  

  assumes same-value-imp-same-type:  $a : \sigma$  in A  $\Rightarrow b : \tau$  in A  $\Rightarrow f a = f b \Rightarrow$   

 $\sigma = \tau$   

begin  

  lemma same-value-imp-in-dom-iff:  

    assumes fafa':  $f a = f a'$  and  $a : \sigma$  in A shows  $a' : a' \in \text{dom } A \longleftrightarrow a' : \sigma$   

    in A  

    ⟨proof⟩  

  end  

  lemma sort-preserving-cong:  

    A = A'  $\Rightarrow (\bigwedge a. a : \sigma \text{ in } A \Rightarrow f a = f' a) \Rightarrow \text{sort-preserving } f A \longleftrightarrow$   

    sort-preserving f' A'  

    ⟨proof⟩  

  lemma inj-on-dom-imp-sort-preserving:  

    assumes inj-on f (dom A) shows sort-preserving f A  

    ⟨proof⟩  

  lemma inj-imp-sort-preserving:  

    assumes inj f shows sort-preserving f A  

    ⟨proof⟩  

  locale sorted-map =  

    fixes f :: ' $a \Rightarrow b$ ' and A :: ' $a \rightarrow s$ ' and B :: ' $b \rightarrow s$ '  

    assumes sorted-map:  $\bigwedge a. a : \sigma \text{ in } A \Rightarrow f a : \sigma \text{ in } B$   

begin  

  lemma target-has-same-type:  $a : \sigma$  in A  $\Rightarrow f a : \tau$  in B  $\longleftrightarrow \sigma = \tau$   

  ⟨proof⟩  

  lemma target-dom-iff-hastype:  

     $a : \sigma$  in A  $\Rightarrow f a \in \text{dom } B \longleftrightarrow f a : \sigma$  in B  

  ⟨proof⟩  

  lemma source-dom-iff-hastype:  

     $f a : \sigma$  in B  $\Rightarrow a \in \text{dom } A \longleftrightarrow a : \sigma$  in A  

  ⟨proof⟩  

  lemma elim:  

    assumes a:  $(\bigwedge a. a : \sigma \text{ in } A \Rightarrow f a : \sigma \text{ in } B) \Rightarrow P$   

    shows P  

  ⟨proof⟩  

  sublocale sort-preserving
  ⟨proof⟩

```

```

lemma funcset-dom:  $f : \text{dom } A \rightarrow \text{dom } B$ 
  ⟨proof⟩

lemma sorted-map-list:  $\text{as} :_l \sigma s \text{ in } A \implies \text{map } f \text{ as} :_l \sigma s \text{ in } B$ 
  ⟨proof⟩

lemma in-dom:  $a \in \text{dom } A \implies f a \in \text{dom } B$  ⟨proof⟩

end

notation sorted-map ( $\langle - :_s / - \rightarrow / - \rangle$ ) [50,51,51]50

abbreviation all-sorted-map  $A B P \equiv \forall f. f :_s A \rightarrow B \longrightarrow P f$ 
abbreviation ex-sorted-map  $A B P \equiv \exists f. f :_s A \rightarrow B \wedge P f$ 

syntax
all-sorted-map :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\langle \forall - :_s / - \rightarrow / - \rangle$ ) /  $\rightarrow$  [50,51,51,10]10)
ex-sorted-map :: 'pttrn  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\langle \exists - :_s / - \rightarrow / - \rangle$ ) /  $\rightarrow$  [50,51,51,10]10)

translations
 $\forall f :_s A \rightarrow B. e \Leftarrow \text{CONST all-sorted-map } A B (\lambda f. e)$ 
 $\exists f :_s A \rightarrow B. e \Leftarrow \text{CONST ex-sorted-map } A B (\lambda f. e)$ 

lemmas sorted-mapI = sorted-map.intro

lemma sorted-mapD:  $f :_s A \rightarrow B \implies a : \sigma \text{ in } A \implies f a : \sigma \text{ in } B$ 
  ⟨proof⟩

lemmas sorted-mapE = sorted-map.elim

lemma assumes  $f :_s A \rightarrow B$ 
shows sorted-map-o:  $g :_s B \rightarrow C \implies g \circ f :_s A \rightarrow C$ 
and sorted-map-cmono:  $A' \subseteq_m A \implies f :_s A' \rightarrow B$ 
and sorted-map-mono:  $B \subseteq_m B' \implies f :_s A \rightarrow B'$ 
  ⟨proof⟩

lemma sorted-map-cong:
 $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a = f' a) \implies$ 
 $A = A' \implies$ 
 $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a : \sigma \text{ in } B \longleftrightarrow f a : \sigma \text{ in } B') \implies$ 
 $f :_s A \rightarrow B \longleftrightarrow f' :_s A' \rightarrow B'$ 
  ⟨proof⟩

lemma sorted-choice:
assumes  $\forall a \sigma. a : \sigma \text{ in } A \longrightarrow (\exists b : \sigma \text{ in } B. P a b)$ 
shows  $\exists f :_s A \rightarrow B. (\forall a \in \text{dom } A. P a (f a))$ 
  ⟨proof⟩

```

```

lemma sorted-map-empty[simp]:  $f :_s \emptyset \rightarrow A$ 
   $\langle proof \rangle$ 

lemma sorted-map-comp-nth:
   $\alpha :_s (f \circ s \text{safe-nth} (a\#as)) \rightarrow A \longleftrightarrow \alpha 0 : f a \text{ in } A \wedge (\alpha \circ \text{Suc} :_s (f \circ s \text{safe-nth} as) \rightarrow A)$ 
  (is ?l  $\longleftrightarrow$  ?r)
   $\langle proof \rangle$ 

```

### 3.1.1 Sorted bijection

```

locale sorted-surjection = sorted-map +
  assumes surj:  $f` \text{dom } A = \text{dom } B$ 
begin

lemma hastype-in-target-iff:  $b : \sigma \text{ in } B \longleftrightarrow (\exists a : \sigma \text{ in } A. b = f a)$ 
   $\langle proof \rangle$ 

lemma image-of-sort:  $f` \{a. a : \sigma \text{ in } A\} = \{b. b : \sigma \text{ in } B\}$ 
   $\langle proof \rangle$ 

lemma all-in-target-iff:  $(\forall b : \sigma \text{ in } B. P b) \longleftrightarrow (\forall a : \sigma \text{ in } A. P (f a))$ 
   $\langle proof \rangle$ 

end

locale sorted-bijection = sorted-map +
  assumes bij:  $\text{bij-betw } f (\text{dom } A) (\text{dom } B)$ 
begin

lemma inj: inj-on f (dom A)
   $\langle proof \rangle$ 

sublocale sorted-surjection
   $\langle proof \rangle$ 

thm inj-on-subset[OF inj]

lemma bij-betw-sort: bij-betw f {a. a : σ in A} {b. b : σ in B}
   $\langle proof \rangle$ 

end

locale inhabited = fixes A
  assumes inhabited:  $\bigwedge \sigma. \exists a. a : \sigma \text{ in } A$ 
begin

lemma ex-sorted-map:  $\exists \alpha. \alpha :_s V \rightarrow A$ 
   $\langle proof \rangle$ 

```

end

### 3.2 Sorted Images

The partial version of *The* operator.

**definition** *safe-The*  $P \equiv \text{if } \exists !x. P x \text{ then } \text{Some } (\text{The } P) \text{ else } \text{None}$

**lemma** *safe-The-cong*[*cong*]:  
  **assumes** *eq*:  $\bigwedge x. P x \longleftrightarrow Q x$   
  **shows** *safe-The*  $P = \text{safe-The } Q$   
  *<proof>*

**lemma** *safe-The-eq-Some*: *safe-The*  $P = \text{Some } x \longleftrightarrow P x \wedge (\forall x'. P x' \rightarrow x' = x)$   
  *<proof>*

**lemma** *safe-The-eq-None*: *safe-The*  $P = \text{None} \longleftrightarrow \neg(\exists !x. P x)$   
  *<proof>*

**lemma** *safe-The-False*[*simp*]: *safe-The*  $(\lambda x. \text{False}) = \text{None}$   
  *<proof>*

**definition** *sorted-image* ::  $('a \Rightarrow 'b) \Rightarrow ('a \multimap 's) \Rightarrow 'b \multimap 's$  (**infixr**  $\langle ^s \rangle$  90) **where**  
 $(f `` A) b \equiv \text{safe-The } (\lambda \sigma. \exists a : \sigma \text{ in } A. f a = b)$

**lemma** *hastype-in-imageE*:  
  **assumes**  $fx : \sigma \text{ in } f `` X$   
  **and**  $\bigwedge x. x : \sigma \text{ in } X \implies fx = f x \implies \text{thesis}$   
  **shows** *thesis*  
  *<proof>*

**lemma** *in-dom-imageE*:  
   $b \in \text{dom } (f `` A) \implies (\bigwedge a \sigma. a : \sigma \text{ in } A \implies b = f a \implies \text{thesis}) \implies \text{thesis}$   
  *<proof>*

**context** *sort-preserving* **begin**

**lemma** *hastype-in-imageI*:  $a : \sigma \text{ in } A \implies b = f a \implies b : \sigma \text{ in } f `` A$   
  *<proof>*

**lemma** *hastype-in-imageI2*:  $a : \sigma \text{ in } A \implies f a : \sigma \text{ in } f `` A$   
  *<proof>*

**lemma** *hastype-in-image*:  $b : \sigma \text{ in } f `` A \longleftrightarrow (\exists a : \sigma \text{ in } A. f a = b)$   
  *<proof>*

**lemma** *in-dom-imageI*:  $a \in \text{dom } A \implies b = f a \implies b \in \text{dom } (f `` A)$   
  *<proof>*

```

lemma in-dom-imageI2:  $a \in \text{dom } A \implies f a \in \text{dom } (f `` A)$ 
   $\langle \text{proof} \rangle$ 

lemma has-type-list-in-image:  $bs :_l \sigma s \text{ in } f `` A \longleftrightarrow (\exists as. as :_l \sigma s \text{ in } A \wedge \text{map } f as = bs)$ 
   $\langle \text{proof} \rangle$ 

lemma dom-image[simp]:  $\text{dom } (f `` A) = f ` \text{dom } A$ 
   $\langle \text{proof} \rangle$ 

sublocale to-image: sorted-map f A f `` A
   $\langle \text{proof} \rangle$ 

lemma sorted-map-iff-image-subset:
   $f :_s A \rightarrow B \longleftrightarrow f `` A \subseteq_m B$ 
   $\langle \text{proof} \rangle$ 

end

lemma sort-preserving-o:
  assumes f: sort-preserving f A and g: sort-preserving g (f `` A)
  shows sort-preserving (g o f) A
   $\langle \text{proof} \rangle$ 

lemma sorted-image-image:
  assumes f: sort-preserving f A and g: sort-preserving g (f `` A)
  shows g `` f `` A = (g o f) `` A
   $\langle \text{proof} \rangle$ 

context sorted-map begin

lemma image-subset[intro!]:  $f `` A \subseteq_m B$ 
   $\langle \text{proof} \rangle$ 

lemma dom-image-subset[intro!]:  $f ` \text{dom } A \subseteq \text{dom } B$ 
   $\langle \text{proof} \rangle$ 

end

lemma sorted-image-cong:  $(\bigwedge a \sigma. a : \sigma \text{ in } A \implies f a = f' a) \implies f `` A = f' `` A$ 
   $\langle \text{proof} \rangle$ 

lemma inj-on-dom-imp-sort-preserving-inv-into:
  assumes inj: inj-on f (dom A) shows sort-preserving (inv-into (dom A) f) (f `` A)
   $\langle \text{proof} \rangle$ 

lemma inj-imp-sort-preserving-inv:

```

```

assumes inj: inj f shows sort-preserving (inv f) (f `` A)
⟨proof⟩

lemma inj-on-dom-imp-inv-into-image-cancel:
assumes inj: inj-on f (dom A)
shows inv-into (dom A) f `` f `` A = A
⟨proof⟩

lemma inj-imp-inv-image-cancel:
assumes inj: inj f
shows inv f `` f `` A = A
⟨proof⟩

definition sorted-Imagep (infixr <``> 90)
where ((≤) `` A) b ≡ safe-The (λσ. ∃ a : σ in A. a ≤ b) for r (infix <=⟩ 50)

lemma untyped-hastypeE: A a = None  $\implies$  a : σ in A  $\implies$  thesis
⟨proof⟩

end

```

## 4 Sorted Terms

```

theory Sorted-Terms
imports Sorted-Sets First-Order-Terms.Term
begin

```

### 4.1 Overloaded Notations

```
consts vars :: 'a ⇒ 'b set
```

```
adhoc-overloading vars == vars-term
```

```
consts map-vars :: ('a ⇒ 'b) ⇒ 'c ⇒ 'd
```

```
adhoc-overloading map-vars == map-term (λx. x)
```

```

lemma map-term-eq-Var: map-term F V s = Var y  $\longleftrightarrow$  (∃ x. s = Var x  $\wedge$  y = V
x)
⟨proof⟩

```

```

lemma map-vars-id-iff: map-vars f s = s  $\longleftrightarrow$  (∀ x ∈ vars-term s. f x = x)
⟨proof⟩

```

```
lemma map-var-term-id[simp]: map-term (λx. x) id = id ⟨proof⟩
```

```

lemma map-term-eq-Fun:
map-term F V s = Fun g ts  $\longleftrightarrow$  (∃ f ss. s = Fun f ss  $\wedge$  g = F f  $\wedge$  ts = map
(map-term F V) ss)

```

$\langle proof \rangle$

**declare** *domIff*[*iff del*]

## 4.2 Sorted Signatures and Sorted Sets of Terms

We view a sorted signature as a partial map that assigns an output sort to the pair of a function symbol and a list of input sorts.

**type-synonym**  $('f, 's) ssig = 'f \times 's list \multimap 's$

**definition** *fun-hastype* ::  $'f \Rightarrow 's \Rightarrow 't \Rightarrow ('f \times 's \multimap 't) \Rightarrow bool$   
 $((\lambda f : /- / \rightarrow /- in / -) [50, 61, 61, 50] 50)$   
**where**  $f : \sigma \rightarrow \tau$  in *F*  $\equiv F(f, \sigma) = Some \tau$

**lemmas** *fun-hastypeI* = *fun-hastype-def*[*unfolded atomize-eq*, THEN *iffD2*]  
**lemmas** *fun-hastypeD* = *fun-hastype-def*[*unfolded atomize-eq*, THEN *iffD1*]

**lemma** *fun-hastype-imp-dom*[*simp*]:  
**assumes**  $f : \sigma \rightarrow \tau$  in *F* **shows**  $(f, \sigma) \in \text{dom } F$   
 $\langle proof \rangle$

**lemma** *in-dom-fun-hastypeE*:  
**assumes**  $(f, \sigma) \in \text{dom } F$  **and**  $\bigwedge \tau. f : \sigma \rightarrow \tau$  in *F*  $\implies \text{thesis}$  **shows** *thesis*  
 $\langle proof \rangle$

**lemma** *fun-has-same-type*:  
**assumes**  $f : \sigma \rightarrow \tau$  in *F* **and**  $f : \sigma \rightarrow \tau'$  in *F* **shows**  $\tau = \tau'$   
 $\langle proof \rangle$

**lemma** *fun-hastype-empty*[*simp*]:  $\neg f : \sigma \rightarrow \tau$  in  $\emptyset$   
 $\langle proof \rangle$

**lemma** *fun-hastype-upd*:  $f : \sigma \rightarrow \tau$  in *F*  $((f', \sigma') \mapsto \tau') \longleftrightarrow$   
 $(if f = f' \wedge \sigma = \sigma' then \tau = \tau' else f : \sigma \rightarrow \tau$  in *F*)  
 $\langle proof \rangle$

**lemma** *fun-hastype-restrict*:  $f : \sigma \rightarrow \tau$  in *F*  $|` S \longleftrightarrow (f, \sigma) \in S \wedge f : \sigma \rightarrow \tau$  in *F*  
 $\langle proof \rangle$

**lemma** *subssigI*: **assumes**  $\bigwedge f \sigma \tau. f : \sigma \rightarrow \tau$  in *F*  $\implies f : \sigma \rightarrow \tau$  in *F'*  
**shows**  $F \subseteq_m F'$   
 $\langle proof \rangle$

**lemma** *subssigD*: **assumes** *FF*:  $F \subseteq_m F'$  **and**  $f : \sigma \rightarrow \tau$  in *F* **shows**  $f : \sigma \rightarrow \tau$  in *F'*  
 $\langle proof \rangle$

The sorted set of terms:

**primrec** *Term* ( $\langle \mathcal{T}'(-, -) \rangle$ ) **where**

$$\begin{aligned} \mathcal{T}(F, V) (\text{Var } v) &= V v \\ | \quad \mathcal{T}(F, V) (\text{Fun } f ss) &= \\ &(\text{case those (map } \mathcal{T}(F, V) \text{ ss) of None } \Rightarrow \text{None} \mid \text{Some } \sigma s \Rightarrow F (f, \sigma s)) \end{aligned}$$

**lemma** *Var-hastype[simp]*:  $\text{Var } v : \sigma \text{ in } \mathcal{T}(F, V) \longleftrightarrow v : \sigma \text{ in } V$   
*(proof)*

**lemma** *Fun-hastype*:

$$\text{Fun } f ss : \tau \text{ in } \mathcal{T}(F, V) \longleftrightarrow (\exists \sigma s. f : \sigma s \rightarrow \tau \text{ in } F \wedge ss :_l \sigma s \text{ in } \mathcal{T}(F, V))$$

**lemma** *Fun-in-dom-imp-arg-in-dom*:  $\text{Fun } f ss \in \text{dom } \mathcal{T}(F, V) \implies s \in \text{set } ss \implies$   
 $s \in \text{dom } \mathcal{T}(F, V)$   
*(proof)*

**lemma** *Fun-hastypeI*:  $f : \sigma s \rightarrow \tau \text{ in } F \implies ss :_l \sigma s \text{ in } \mathcal{T}(F, V) \implies \text{Fun } f ss : \tau \text{ in } \mathcal{T}(F, V)$   
*(proof)*

**lemma** *hastype-in-Term-induct[case-names Var Fun, induct pred]*:

**assumes**  $s: s : \sigma \text{ in } \mathcal{T}(F, V)$   
**and**  $V: \bigwedge v \sigma. v : \sigma \text{ in } V \implies P (\text{Var } v) \sigma$   
**and**  $F: \bigwedge f ss \sigma s \tau.$   
 $f : \sigma s \rightarrow \tau \text{ in } F \implies ss :_l \sigma s \text{ in } \mathcal{T}(F, V) \implies \text{list-all2 } P ss \sigma s \implies P (\text{Fun } f ss) \tau$   
**shows**  $P s \sigma$   
*(proof)*

**lemma** *in-dom-Term-induct[case-names Var Fun, induct pred]*:

**assumes**  $s: s \in \text{dom } \mathcal{T}(F, V)$   
**assumes**  $V: \bigwedge v \sigma. v : \sigma \text{ in } V \implies P (\text{Var } v)$   
**assumes**  $F: \bigwedge f ss \sigma s \tau.$   
 $f : \sigma s \rightarrow \tau \text{ in } F \implies ss :_l \sigma s \text{ in } \mathcal{T}(F, V) \implies \forall s \in \text{set } ss. P s \implies P (\text{Fun } f ss)$   
**shows**  $P s$   
*(proof)*

**lemma** *Term-mono-left*: **assumes**  $FF: F \subseteq_m F'$  **shows**  $\mathcal{T}(F, V) \subseteq_m \mathcal{T}(F', V)$   
*(proof)*

**lemmas** *hastype-in-Term-mono-left* = *Term-mono-left[THEN subsetD]*

**lemmas** *dom-Term-mono-left* = *Term-mono-left[THEN map-le-implies-dom-le]*

**lemma** *Term-mono-right*: **assumes**  $VV: V \subseteq_m V'$  **shows**  $\mathcal{T}(F, V) \subseteq_m \mathcal{T}(F, V')$   
*(proof)*

**lemmas** *hastype-in-Term-mono-right* = *Term-mono-right[THEN subsetD]*

**lemmas** *dom-Term-mono-right* = *Term-mono-right[THEN map-le-implies-dom-le]*

```

lemmas Term-mono = map-le-trans[OF Term-mono-left Term-mono-right]

lemmas hastype-in-Term-mono = Term-mono[THEN subsetD]

lemmas dom-Term-mono = Term-mono[THEN map-le-implies-dom-le]

lemma hastype-in-Term-restrict-vars:  $s : \sigma$  in  $\mathcal{T}(F, V)$  |c vars  $s$   $\longleftrightarrow s : \sigma$  in  $\mathcal{T}(F, V)$   

  (is ?l  $s \longleftrightarrow ?r s$ )  

  ⟨proof⟩

lemma hastype-in-Term-imp-vars:  $s : \sigma$  in  $\mathcal{T}(F, V) \implies v \in \text{vars } s \implies v \in \text{dom } V$   

  ⟨proof⟩

lemma in-dom-Term-imp-vars:  $s \in \text{dom } \mathcal{T}(F, V) \implies v \in \text{vars } s \implies v \in \text{dom } V$   

  ⟨proof⟩

lemma hastype-in-Term-imp-vars-subset:  $t : s$  in  $\mathcal{T}(F, V) \implies \text{vars } t \subseteq \text{dom } V$   

  ⟨proof⟩

interpretation Var: sorted-map Var V  $\mathcal{T}(F, V)$  for F V ⟨proof⟩

```

### 4.3 Sorted Algebras

```

locale sorted-algebra-syntax =
  fixes F :: ('f,'s) ssig and A :: 'a → 's and I :: 'f ⇒ 'a list ⇒ 'a

locale sorted-algebra = sorted-algebra-syntax +
  assumes sort-matches:  $f : \sigma s \rightarrow \tau$  in F  $\implies as :_l \sigma s$  in A  $\implies If as : \tau$  in A
  begin

    context
      fixes α V
      assumes α: α :s V → A
    begin

      lemma eval-hastype:
        assumes s:  $s : \sigma$  in  $\mathcal{T}(F, V)$  shows I[s]α : σ in A
        ⟨proof⟩

      interpretation eval: sorted-map λs. I[s]α  $\mathcal{T}(F, V)$  A
      ⟨proof⟩

      lemmas eval-sorted-map = eval.sorted-map-axioms
      lemmas eval-dom = eval.in-dom
      lemmas map-eval-hastype = eval.sorted-map-list
      lemmas eval-has-same-type = eval.target-has-same-type

```

```

lemmas eval-dom-iff-hastype = eval.target-dom-iff-hastype
lemmas dom-iff-hastype = eval.source-dom-iff-hastype

end

lemmas eval-hastype-vars =
eval-hastype[OF - hastype-in-Term-restrict-vars[THEN iffD2]]

lemmas eval-has-same-type-vars =
eval-has-same-type[OF - hastype-in-Term-restrict-vars[THEN iffD2]]

end

lemma sorted-algebra-cong:
assumes F = F' and A = A'
and  $\bigwedge f \sigma s \tau \text{ as. } f : \sigma s \rightarrow \tau \text{ in } F' \implies \text{as} :_l \sigma s \text{ in } A' \implies I f \text{ as} = I' f \text{ as}$ 
shows sorted-algebra F A I = sorted-algebra F' A' I'
⟨proof⟩

```

#### 4.3.1 Term Algebras

The sorted set of terms constitutes a sorted algebra, in which evaluation is substitution.

**interpretation** *term*: sorted-algebra *F*  $\mathcal{T}(F, V)$  **Fun for** *F V*  
⟨*proof*⟩

Sorted substitution preserves type:

**lemma** subst-hastype:  $\vartheta :_s X \rightarrow \mathcal{T}(F, V) \implies s : \sigma \text{ in } \mathcal{T}(F, X) \implies s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, V)$   
⟨*proof*⟩

**lemmas** subst-hastype-imp-dom-iff = term.dom-iff-hastype
**lemmas** subst-hastype-vars = term.eval-hastype-vars
**lemmas** subst-has-same-type = term.eval-has-same-type
**lemmas** subst-same-vars = eval-same-vars[*of* - - - Fun]
**lemmas** subst-map-vars = eval-map-vars[*of* Fun]
**lemmas** subst-o = eval-o[*of* Fun]
**lemmas** subst-sorted-map = term.eval-sorted-map
**lemmas** map-subst-hastype = term.map-eval-hastype

**lemma** subst-compose-sorted-map:
**assumes**  $\vartheta :_s X \rightarrow \mathcal{T}(F, Y) \text{ and } \varrho :_s Y \rightarrow \mathcal{T}(F, Z)$ 
**shows**  $\vartheta \circ_s \varrho :_s X \rightarrow \mathcal{T}(F, Z)$ 
⟨*proof*⟩

**lemma** subst-hastype-iff-vars:
**assumes**  $\forall x \in \text{vars } s. \forall \sigma. \vartheta x : \sigma \text{ in } \mathcal{T}(F, W) \longleftrightarrow x : \sigma \text{ in } V$ 
**shows**  $s \cdot \vartheta : \sigma \text{ in } \mathcal{T}(F, W) \longleftrightarrow s : \sigma \text{ in } \mathcal{T}(F, V)$

$\langle proof \rangle$

**lemma** *subst-in-dom-imp-var-in-dom*:  
**assumes**  $s \cdot \vartheta \in \text{dom } \mathcal{T}(F, V)$  **and**  $x \in \text{vars } s$  **shows**  $\vartheta x \in \text{dom } \mathcal{T}(F, V)$   
 $\langle proof \rangle$

**lemma** *subst-sorted-map-restrict-vars*:  
**assumes**  $\vartheta : \vartheta :_s X \rightarrow \mathcal{T}(F, V)$  **and**  $WV : W \subseteq_m V$  **and**  $s\vartheta : s \cdot \vartheta \in \text{dom } \mathcal{T}(F, W)$   
**shows**  $\vartheta :_s X \restriction \text{vars } s \rightarrow \mathcal{T}(F, W)$   
 $\langle proof \rangle$

#### 4.3.2 Homomorphisms

**locale** *sorted-distributive* =  
*sort-preserving*  $\varphi A + \text{source}$ : *sorted-algebra*  $F A I$  **for**  $F \varphi A I J +$   
**assumes**  $\text{distrib}$ :  $f : \sigma s \rightarrow \tau$  *in*  $F \implies as :_l \sigma s$  *in*  $A \implies \varphi (I f as) = J f (\text{map } \varphi as)$   
**begin**

**lemma** *distrib-eval*:  
**assumes**  $\alpha : \alpha :_s V \rightarrow A$  **and**  $s : s : \sigma$  *in*  $\mathcal{T}(F, V)$   
**shows**  $\varphi (I[s]\alpha) = J[s](\varphi \circ \alpha)$   
 $\langle proof \rangle$

The image of a distributive map forms a sorted algebra.

**sublocale** *image*: *sorted-algebra*  $F \varphi `` A J$   
 $\langle proof \rangle$

**end**

**lemma** *sorted-distributive-cong*:  
**fixes**  $A A' :: 'a \multimap 's$  **and**  $\varphi :: 'a \Rightarrow 'b$  **and**  $I :: 'f \Rightarrow 'a \text{ list} \Rightarrow 'a$   
**assumes**  $\varphi : \bigwedge a \sigma. a : \sigma \text{ in } A \implies \varphi a = \varphi' a$   
**and**  $A : A = A'$   
**and**  $I : \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies I f as = I' f as$   
**and**  $J : \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies J f (\text{map } \varphi as) = J' f (\text{map } \varphi as)$   
**shows** *sorted-distributive*  $F \varphi A I J = \text{sorted-distributive } F \varphi' A' I' J'$   
 $\langle proof \rangle$

**lemma** *sorted-distributive-o*:  
**assumes** *sorted-distributive*  $F \varphi A I J$  **and** *sorted-distributive*  $F \psi (\varphi `` A) J K$   
**shows** *sorted-distributive*  $F (\psi \circ \varphi) A I K$   
 $\langle proof \rangle$

**locale** *sorted-homomorphism* = *sorted-distributive*  $F \varphi A I J + \text{sorted-map } \varphi A B +$   
*target*: *sorted-algebra*  $F B J$  **for**  $F \varphi A I B J$   
**begin**

```

end

lemma sorted-homomorphism-o:
  assumes sorted-homomorphism  $F \varphi A I B J$  and sorted-homomorphism  $F \psi B J C K$ 
  shows sorted-homomorphism  $F (\psi \circ \varphi) A I C K$ 
   $\langle proof \rangle$ 

context sorted-algebra begin

context fixes  $\alpha : V$  assumes sorted:  $\alpha :_s V \rightarrow A$ 
begin

The term algebra is free in all  $F$ -algebras; that is, every assignment  $\alpha :_s V \rightarrow A$  is extended to a homomorphism  $\lambda s. I[s]\alpha$ .

interpretation sorted-map  $\alpha : V A$   $\langle proof \rangle$ 

interpretation eval: sorted-map  $\langle \lambda s. I[s]\alpha \rangle : \mathcal{T}(F, V) \rightarrow A$   $\langle proof \rangle$ 

interpretation eval: sorted-homomorphism  $F \langle \lambda s. I[s]\alpha \rangle : \mathcal{T}(F, V) \rightarrow \text{Fun } A I$ 
 $\langle proof \rangle$ 

lemmas eval-sorted-homomorphism = eval.sorted-homomorphism-axioms

end

end

lemma sorted-homomorphism-cong:
  fixes  $A A' : 'a \rightharpoonup 's$  and  $\varphi : 'a \Rightarrow 'b$  and  $I : 'f \Rightarrow 'a$  list  $\Rightarrow 'a$ 
  assumes  $\varphi : \bigwedge a \sigma. a : \sigma \text{ in } A \Rightarrow \varphi a = \varphi' a$ 
  and  $A : A = A'$ 
  and  $I : \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \Rightarrow as :_l \sigma s \text{ in } A \Rightarrow If as = I' f as$ 
  and  $B : B = B'$ 
  and  $J : \bigwedge f \sigma s \tau. f : \sigma s \rightarrow \tau \text{ in } F \Rightarrow bs :_l \sigma s \text{ in } B \Rightarrow Jf bs = J' f bs$ 
  shows sorted-homomorphism  $F \varphi A I B J =$  sorted-homomorphism  $F \varphi' A' I' B' J'$  (is  $?l \longleftrightarrow ?r$ )
   $\langle proof \rangle$ 

context sort-preserving begin

lemma sort-preserving-map-vars: sort-preserving (map-vars  $f$ )  $\mathcal{T}(F, A)$ 
 $\langle proof \rangle$ 

lemma map-vars-image-Term: map-vars  $f `` \mathcal{T}(F, A) = \mathcal{T}(F, f `` A)$  (is  $?L = ?R$ )
 $\langle proof \rangle$ 

end

```

```

context sorted-map begin

lemma sorted-map-map-vars: map-vars  $f :_s \mathcal{T}(F, A) \rightarrow \mathcal{T}(F, B)$ 
   $\langle proof \rangle$ 

end

```

#### 4.4 Lifting Sorts

By ‘uni-sorted’ we mean the situation where there is only one sort  $()$ . This situation is isomorphic to sets.

**definition** unisorted  $A$   $a \equiv$  if  $a \in A$  then Some  $()$  else None

```

lemma unisorted-eq-Some[simp]: unisorted  $A$   $a =$  Some  $\sigma \longleftrightarrow a \in A$ 
and unisorted-eq-None[simp]: unisorted  $A$   $a =$  None  $\longleftrightarrow a \notin A$ 
and hastype-in-unisorted[simp]:  $a : \sigma$  in unisorted  $A \longleftrightarrow a \in A$ 
   $\langle proof \rangle$ 

```

```

lemma hastype-list-in-unisorted[simp]: as :l  $\sigma s$  in unisorted  $A \longleftrightarrow length as =$ 
  length  $\sigma s \wedge set as \subseteq A$ 
   $\langle proof \rangle$ 

```

```

lemma dom-unisorted[simp]: dom (unisorted  $A$ ) =  $A$ 
   $\langle proof \rangle$ 

```

```

lemma unisorted-map[simp]:
   $f :_s$  unisorted  $A \rightarrow \tau \longleftrightarrow f : A \rightarrow dom \tau$ 
   $f :_s \sigma \rightarrow$  unisorted  $B \longleftrightarrow f : dom \sigma \rightarrow B$ 
   $\langle proof \rangle$ 

```

```

lemma image-unisorted[simp]:  $f ``$  unisorted  $A =$  unisorted  $(f ` A)$ 
   $\langle proof \rangle$ 

```

```

definition unisorted-sig :: ('f × nat) set  $\Rightarrow$  ('f, unit) ssig
where unisorted-sig  $F \equiv \lambda(f, \sigma s).$  if  $(f, length \sigma s) \in F$  then Some  $()$  else None

```

```

lemma in-unisorted-sig[simp]:  $f : \sigma s \rightarrow \tau$  in unisorted-sig  $F \longleftrightarrow (f, length \sigma s) \in$ 
   $F$ 
   $\langle proof \rangle$ 

```

```

inductive-set uTerm ( $\langle \mathfrak{T}'(-,-) \rangle [1,1] 1000$ ) for  $F V$  where
  Var  $v \in \mathfrak{T}(F, V)$  if  $v \in V$ 
  |  $\forall s \in set ss. s \in \mathfrak{T}(F, V) \implies Fun f ss \in \mathfrak{T}(F, V)$  if  $(f, length ss) \in F$ 

```

```

lemma Var-in-Term[simp]: Var  $x \in \mathfrak{T}(F, V) \longleftrightarrow x \in V$ 
   $\langle proof \rangle$ 

```

```

lemma Fun-in-Term[simp]: Fun  $f ss \in \mathfrak{T}(F, V) \longleftrightarrow (f, length ss) \in F \wedge set ss \subseteq$ 
   $\mathfrak{T}(F, V)$ 

```

```

⟨proof⟩

lemma hastype-in-unisorted-Term[simp]:
   $s : \sigma \text{ in } \mathcal{T}(\text{unisorted-sig } F, \text{ unisorted } V) \longleftrightarrow s \in \mathfrak{T}(F, V)$ 
⟨proof⟩

lemma unisorted-Term:  $\mathcal{T}(\text{unisorted-sig } F, \text{ unisorted } V) = \text{unisorted } \mathfrak{T}(F, V)$ 
⟨proof⟩

locale algebra =
  fixes  $F :: ('f \times \text{nat}) \text{ set}$  and  $A :: 'a \text{ set}$  and  $I$ 
  assumes closed:  $(f, \text{length } as) \in F \implies \text{set } as \subseteq A \implies I f as \in A$ 
begin
end

lemma unisorted-algebra: sorted-algebra (unisorted-sig  $F$ ) (unisorted  $A$ )  $I \longleftrightarrow$ 
algebra  $F A I$ 
  (is  $?l \longleftrightarrow ?r$ )
⟨proof⟩

context algebra begin

interpretation unisorted: sorted-algebra ⟨unisorted-sig  $F$ ⟩ ⟨unisorted  $A$ ⟩  $I$ 
⟨proof⟩

lemma eval-closed:  $\alpha : V \rightarrow A \implies s \in \mathfrak{T}(F, V) \implies I[s]\alpha \in A$ 
⟨proof⟩

end

locale distributive =
  source: algebra  $F A I$  for  $F \varphi A I J +$ 
  assumes distrib:  $(f, \text{length } as) \in F \implies \text{set } as \subseteq A \implies \varphi(I f as) = J f (\text{map } \varphi \text{ as})$ 

lemma unisorted-distributive:
  sorted-distributive (unisorted-sig  $F$ )  $\varphi$  (unisorted  $A$ )  $I J \longleftrightarrow$ 
    distributive  $F \varphi A I J$  (is  $?l \longleftrightarrow ?r$ )
⟨proof⟩

locale homomorphism =
  distributive  $F \varphi A I J +$  target: algebra  $F B J$  for  $F \varphi A I B J +$ 
  assumes funcset:  $\varphi : A \rightarrow B$ 

lemma unisorted-homomorphism:
  sorted-homomorphism (unisorted-sig  $F$ )  $\varphi$  (unisorted  $A$ )  $I$  (unisorted  $B$ )  $J \longleftrightarrow$ 
    homomorphism  $F \varphi A I B J$  (is  $?l \longleftrightarrow ?r$ )
⟨proof⟩

```

```

lemma homomorphism-cong:
  assumes  $\varphi: \bigwedge a. a \in A \implies \varphi a = \varphi' a$ 
  and  $A: A = A'$ 
  and  $I: \bigwedge f as. (f, \text{length } as) \in F \implies I f as = I' f as$ 
  and  $B: B = B'$ 
  and  $J: \bigwedge f bs. (f, \text{length } bs) \in F \implies J f bs = J' f bs$ 
  shows homomorphism  $F \varphi A I B J = \text{homomorphism } F \varphi' A' I' B' J'$ 
   $\langle proof \rangle$ 

```

```
context algebra begin
```

```

interpretation unisorted: sorted-algebra <unisorted-sig F> <unisorted A> I
   $\langle proof \rangle$ 

```

```

lemma eval-homomorphism:  $\alpha: V \rightarrow A \implies \text{homomorphism } F (\lambda s. I[s]\alpha) \mathfrak{T}(F, V)$ 
  Fun A I
   $\langle proof \rangle$ 

```

```
end
```

```
context homomorphism begin
```

```

interpretation unisorted: sorted-homomorphism <unisorted-sig F>  $\varphi$  <unisorted A> I <unisorted B> J
   $\langle proof \rangle$ 

```

```

lemma distrib-eval:  $\alpha: V \rightarrow A \implies s \in \mathfrak{T}(F, V) \implies \varphi (I[s]\alpha) = J[s](\varphi \circ \alpha)$ 
   $\langle proof \rangle$ 

```

```
end
```

By ‘unsorted’ we mean the situation where any element has the unique type  $()$ .

```

lemma Term-UNIV[simp]:  $\mathfrak{T}(\text{UNIV}, \text{UNIV}) = \text{UNIV}$ 
   $\langle proof \rangle$ 

```

When the carrier is unsorted, any interpretation forms an algebra.

```

interpretation unsorted: algebra UNIV UNIV I

```

```

  rewrites  $\bigwedge a. a \in \text{UNIV} \longleftrightarrow \text{True}$ 
  and  $\bigwedge P0. (\text{True} \implies P0) \equiv \text{Trueprop } P0$ 
  and  $\bigwedge P0. (\text{True} \implies \text{PROP } P0) \equiv \text{PROP } P0$ 
  and  $\bigwedge P0 P1. (\text{True} \implies \text{PROP } P1 \implies P0) \equiv (\text{PROP } P1 \implies P0)$ 
  for F I
   $\langle proof \rangle$ 

```

```

interpretation unsorted.eval: homomorphism UNIV  $\lambda s. I[s]\alpha$  UNIV Fun UNIV I

```

```

  rewrites  $\bigwedge a. a \in \text{UNIV} \longleftrightarrow \text{True}$ 
  and  $\bigwedge X. X \subseteq \text{UNIV} \longleftrightarrow \text{True}$ 

```

```

and  $\bigwedge P_0. (\text{True} \implies P_0) \equiv \text{Trueprop } P_0$ 
and  $\bigwedge P_0. (\text{True} \implies \text{PROP } P_0) \equiv \text{PROP } P_0$ 
and  $\bigwedge P_0 P_1. (\text{True} \implies \text{PROP } P_1 \implies P_0) \equiv (\text{PROP } P_1 \implies P_0)$ 
for  $I$ 
⟨proof⟩

```

Evaluation distributes over evaluations in the term algebra, i.e., substitutions.

```

lemma subst-eval:  $I[\![s \cdot \vartheta]\!] \alpha = I[\![s]\!](\lambda x. I[\!\vartheta\! x]\!] \alpha)$ 
    ⟨proof⟩

```

## 4.5 Collecting Variables via Evaluation

```

definition var-list-term  $t \equiv (\lambda f. \text{concat})[\![t]\!](\lambda v. [v])$ 

```

```

lemma var-list-Fun[simp]: var-list-term ( $\text{Fun } f ss$ ) =  $\text{concat}(\text{map } \text{var-list-term} ss)$ 
and var-list-Var[simp]: var-list-term ( $\text{Var } x$ ) =  $[x]$ 
    ⟨proof⟩

```

```

lemma set-var-list[simp]: set (var-list-term  $s$ ) = vars  $s$ 
    ⟨proof⟩

```

```

lemma eval-subset-Un-vars:
assumes  $\forall f as. \text{foo}(I f as) \subseteq \bigcup(\text{foo} ` \text{set as})$ 
shows  $\text{foo}(I[\![s]\!] \alpha) \subseteq (\bigcup_{x \in \text{vars-term } s} \text{foo}(\alpha x))$ 
    ⟨proof⟩

```

## 4.6 Ground Terms

```

lemma hastype-in-Term-empty-imp-vars:  $s : \sigma$  in  $\mathcal{T}(F, \emptyset) \implies \text{vars } s = \{\}$ 
    ⟨proof⟩

```

```

lemma hastype-in-Term-empty-imp-vars-subst:  $s : \sigma$  in  $\mathcal{T}(F, \emptyset) \implies \text{vars } (s \cdot \vartheta) = \{\}$ 
    ⟨proof⟩

```

```

lemma ground-Term-iff:  $s : \sigma$  in  $\mathcal{T}(F, V) \wedge \text{ground } s \longleftrightarrow s : \sigma$  in  $\mathcal{T}(F, \emptyset)$ 
    ⟨proof⟩

```

```

lemma hatype-imp-ground:  $s : \sigma$  in  $\mathcal{T}(F, \emptyset) \implies \text{ground } s$ 
    ⟨proof⟩

```

```

lemma hastype-in-Term-empty-imp-subst:
s : σ in  $\mathcal{T}(F, \emptyset) \implies s \cdot \vartheta : \sigma$  in  $\mathcal{T}(F, V)$ 
    ⟨proof⟩

```

```

lemma hastype-in-Term-empty-imp-subst-eq:
s : σ in  $\mathcal{T}(F, \emptyset) \implies s \cdot \vartheta = s \cdot \varrho$ 
    ⟨proof⟩

```

```

lemma hastype-in-Term-empty-imp-subst-id:
  assumes  $s : s : \sigma$  in  $\mathcal{T}(F, \emptyset)$  shows  $s \cdot \vartheta = s$ 
   $\langle proof \rangle$ 

lemma hastype-in-Term-empty-imp-subst-subst:
   $s : \sigma$  in  $\mathcal{T}(F, \emptyset) \implies s \cdot \vartheta \cdot \varrho = s \cdot \text{undefined}$ 
   $\langle proof \rangle$ 

lemma in-dom-Term-empty-imp-subst-id:
   $s \in \text{dom } \mathcal{T}(F, \emptyset) \implies s \cdot \vartheta = s$ 
   $\langle proof \rangle$ 

lemma in-dom-Term-empty-imp-subst:
   $s \in \text{dom } \mathcal{T}(F, \emptyset) \implies s \cdot \vartheta \in \text{dom } \mathcal{T}(F, V)$ 
   $\langle proof \rangle$ 

lemma hastype-in-Term-empty-imp-map-subst-eq:
   $ss :_l \sigma s$  in  $\mathcal{T}(F, \emptyset) \implies [s \cdot \vartheta. s \leftarrow ss] = [s \cdot \varrho. s \leftarrow ss]$ 
   $\langle proof \rangle$ 

lemma hastype-in-Term-empty-imp-map-subst-id:
  assumes  $ss : ss :_l \sigma s$  in  $\mathcal{T}(F, \emptyset)$  shows  $[s \cdot \vartheta. s \leftarrow ss] = ss$ 
   $\langle proof \rangle$ 

lemma hastype-in-Term-empty-imp-map-subst-subst:
   $ss :_l \sigma s$  in  $\mathcal{T}(F, \emptyset) \implies [s \cdot \vartheta \cdot \varrho. s \leftarrow ss] = [s \cdot \text{undefined}. s \leftarrow ss]$ 
   $\langle proof \rangle$ 

context fixes  $\vartheta :: 'v \Rightarrow ('f, 'w)$  term begin

interpretation sorted-bijection  $\lambda s. s \cdot \vartheta$   $\mathcal{T}(F, \emptyset)$   $\mathcal{T}(F, \emptyset)$ 
   $\langle proof \rangle$ 

lemmas sorted-bijection-Term-empty = sorted-bijection-axioms

lemmas bij-betw-dom-Term-empty = bij

lemmas bij-betw-sort-Term-empty = bij-betw-sort

lemma all-in-Term-empty-subst-iff:
   $(\forall s : \sigma \text{ in } \mathcal{T}(F, \emptyset). P(s \cdot \vartheta)) \longleftrightarrow (\forall s : \sigma \text{ in } \mathcal{T}(F, \emptyset). P s)$ 
   $\langle proof \rangle$ 

end

Canonically, let us use unit as the type of variables for ground terms.

abbreviation gTerm ( $\langle \mathcal{T}'(-) \rangle$ ) where  $\mathcal{T}(F) \equiv \mathcal{T}(F, \lambda x : \text{unit}. \text{None})$ 

```

### 4.6.1 Cardinality of Sorts

The emptiness, finiteness, and cardinality of a sort w.r.t. a signature is those of the set of ground terms of that sort.

**definition** *empty-sort where*

$$\text{empty-sort } F \sigma \longleftrightarrow \{s. s : \sigma \text{ in } \mathcal{T}(F)\} = \{\}$$

**definition** *finite-sort where*

$$\text{finite-sort } F \sigma \longleftrightarrow \text{finite } \{s. s : \sigma \text{ in } \mathcal{T}(F)\}$$

**definition** *card-of-sort where*

$$\text{card-of-sort } F \sigma = \text{card } \{s. s : \sigma \text{ in } \mathcal{T}(F)\}$$

The definitions fix the type of the variables (that never occur) to unit. We prove that the choice of the type is irrelevant.

**lemma** *finite-sort: finite {s. s : σ in T(F,∅)} ↔ finite-sort F σ*  
*⟨proof⟩*

**lemma** *card-of-sort: card {s. s : σ in T(F,∅)} = card-of-sort F σ*  
*⟨proof⟩*

**lemma** *empty-sort: {s. s : σ in T(F,∅)} = {} ↔ empty-sort F σ*  
*⟨proof⟩*

**lemma** *empty-sortD[simp]: empty-sort F σ ⇒ ¬ s : σ in T(F,∅)*  
*⟨proof⟩*

**lemma** *empty-sort-imp-card[simp]: empty-sort F σ ⇒ card-of-sort F σ = 0*  
*⟨proof⟩*

**lemma** *empty-sort-imp-finite[simp]: empty-sort F σ ⇒ finite-sort F σ*  
*⟨proof⟩*

**lemma** *empty-sortI: (¬ s : σ in T(F,∅)) ⇒ empty-sort F σ*  
*⟨proof⟩*

**lemma** *not-empty-sortE: ¬ empty-sort F σ ⇒ (¬ s : σ in T(F,∅)) ⇒ thesis*  
*⇒ thesis*  
*⟨proof⟩*

**lemma** *finite-sort-bij:*  
**assumes** *fin: finite-sort F σ*  
**shows** *∃f. bij-betw f {s. s : σ in T(F,∅)} {0..< card-of-sort F σ}*  
*⟨proof⟩*

### 4.6.2 Enumerating Ground Terms

**definition** *index-of-term F =*

$(\text{SOME } f. \forall \sigma. \text{finite-sort } F \sigma \longrightarrow \text{bij-betw } f \{t. t : \sigma \text{ in } \mathcal{T}(F, \emptyset)\} \{0..<\text{card-of-sort } F \sigma\})$

**definition**  $\text{term-of-index } F \sigma = \text{inv-into } \{t. t : \sigma \text{ in } \mathcal{T}(F, \emptyset)\} (\text{index-of-term } F)$

**lemma**  $\text{index-of-term-bij}:$

**assumes**  $\text{fin: finite-sort } F \sigma$

**shows**  $\text{bij-betw } (\text{index-of-term } F) \{t. t : \sigma \text{ in } \mathcal{T}(F, \emptyset)\} \{0..<\text{card-of-sort } F \sigma\}$

**(is**  $\text{bij-betw - } (?T \sigma) (?I \sigma)$ )

$\langle \text{proof} \rangle$

**lemma**  $\text{term-of-index-of-term}:$

**assumes**  $t: t : \sigma \text{ in } \mathcal{T}(F, \emptyset) \text{ and } \text{fin: finite-sort } F \sigma$

**shows**  $\text{term-of-index } F \sigma (\text{index-of-term } F t) = t$

$\langle \text{proof} \rangle$

**lemma**  $\text{index-of-term-of-index}:$

**assumes**  $\text{fin: finite-sort } F \sigma \text{ and } n < \text{card-of-sort } F \sigma$

**shows**  $\text{index-of-term } F (\text{term-of-index } F \sigma n) = n$

$\langle \text{proof} \rangle$

**lemma**  $\text{term-of-index-bij}:$

**assumes**  $\text{fin: finite-sort } F \sigma$

**shows**  $\text{bij-betw } (\text{term-of-index } F \sigma) \{0..<\text{card-of-sort } F \sigma\} \{t. t : \sigma \text{ in } \mathcal{T}(F, \emptyset)\}$

$\langle \text{proof} \rangle$

## 4.7 Subsignatures

**locale**  $\text{subsignature} = \text{fixes } F G :: ('f, 's) \text{ ssig}$  **assumes**  $F \subseteq_m G$   
**begin**

**lemmas**  $\text{Term-subset} = \text{Term-mono-left}[OF \text{ subsig}]$

**lemmas**  $\text{hastype-in-Term-sub} = \text{Term-subset}[THEN \text{ subsetD}]$

**lemma**  $\text{subsignature}: f : \sigma s \rightarrow \tau \text{ in } F \implies f : \sigma s \rightarrow \tau \text{ in } G$   
 $\langle \text{proof} \rangle$

**end**

**locale**  $\text{subsignature-algebra} = \text{subsignature} + \text{super: sorted-algebra } G$   
**begin**

**sublocale**  $\text{sorted-algebra } F A I$   
 $\langle \text{proof} \rangle$

**end**

**locale**  $\text{subalgebra} = \text{sorted-algebra } F A I + \text{super: sorted-algebra } G B J +$   
 $\text{subsignature } F G$

```

for  $F :: ('f,'s) \text{ ssig}$  and  $A :: 'a \multimap 's$  and  $I$ 
and  $G :: ('f,'s) \text{ ssig}$  and  $B :: 'a \multimap 's$  and  $J +$ 
assumes  $\text{subcar}: A \subseteq_m B$ 
assumes  $\text{subintp}: f : \sigma s \rightarrow \tau \text{ in } F \implies as :_l \sigma s \text{ in } A \implies I f as = J f as$ 
begin

lemma  $\text{subcarrier}: a : \sigma \text{ in } A \implies a : \sigma \text{ in } B$ 
     $\langle \text{proof} \rangle$ 

lemma  $\text{subeval}:$ 
    assumes  $s: s : \sigma \text{ in } \mathcal{T}(F,V)$  and  $\alpha: \alpha :_s V \rightarrow A$  shows  $J[s]\alpha = I[s]\alpha$ 
     $\langle \text{proof} \rangle$ 

end

lemma  $\text{term-subalgebra}:$ 
    assumes  $FG: F \subseteq_m G$  and  $VW: V \subseteq_m W$ 
    shows  $\text{subalgebra } F \mathcal{T}(F,V) \text{ Fun } G \mathcal{T}(G,W) \text{ Fun}$ 
     $\langle \text{proof} \rangle$ 

```

An algebra where every element has a representation:

```

locale  $\text{sorted-algebra-constant} = \text{sorted-algebra-syntax} +$ 
    fixes  $\text{const}$ 
    assumes  $\text{vars-const[simp]}: \bigwedge d. \text{vars}(\text{const } d) = \{\}$ 
    assumes  $\text{eval-const[simp]}: \bigwedge d. \alpha. I[\text{const } d]\alpha = d$ 
begin

lemma  $\text{eval-subst-const[simp]}: I[e \cdot (\text{const}\circ\alpha)]\beta = I[e]\alpha$ 
     $\langle \text{proof} \rangle$ 

lemma  $\text{eval-upd-as-subst}: I[e]\alpha(x:=a) = I[e \cdot \text{Var}(x:=\text{const } a)]\alpha$ 
     $\langle \text{proof} \rangle$ 

end

context  $\text{sorted-algebra-syntax}$  begin

definition  $\text{constant-at } f \sigma s i \equiv$ 
     $\forall as b. as :_l \sigma s \text{ in } A \longrightarrow A b = A (as!i) \longrightarrow I f (as[i:=b]) = I f as$ 

lemma  $\text{constant-atI[intro]}:$ 
    assumes  $\bigwedge as b. as :_l \sigma s \text{ in } A \implies A b = A (as!i) \implies I f (as[i:=b]) = I f as$ 
    shows  $\text{constant-at } f \sigma s i \langle \text{proof} \rangle$ 

lemma  $\text{constant-atD}:$ 
     $\text{constant-at } f \sigma s i \implies as :_l \sigma s \text{ in } A \implies A b = A (as!i) \implies I f (as[i:=b]) = I f$ 
     $as$ 
     $\langle \text{proof} \rangle$ 

```

```

lemma constant-atE[elim]:
  assumes constant-at f σs i
  and  $(\bigwedge as\ b.\ as :_l \sigma s \text{ in } A \implies A\ b = A) \ (as!i) \implies I\ f\ (as[i:=b]) = I\ f\ as$   $\implies$ 
  thesis
  shows thesis  $\langle proof \rangle$ 

definition constant-term-on s x  $\equiv \forall \alpha\ a.\ I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha$ 

lemma constant-term-onI:
  assumes  $\bigwedge \alpha\ a.\ I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha$  shows constant-term-on s x
   $\langle proof \rangle$ 

lemma constant-term-onD:
  assumes constant-term-on s x shows  $I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha$ 
   $\langle proof \rangle$ 

lemma constant-term-onE:
  assumes constant-term-on s x and  $(\bigwedge \alpha\ a.\ I[\![s]\!] \alpha(x:=a) = I[\![s]\!] \alpha) \implies$  thesis
  shows thesis  $\langle proof \rangle$ 

lemma constant-term-on-extra-var:  $x \notin vars\ s \implies$  constant-term-on s x
   $\langle proof \rangle$ 

lemma constant-term-on-eq:
  assumes  $st: I[\![s]\!] = I[\![t]\!]$  and s: constant-term-on s x shows constant-term-on t x
   $\langle proof \rangle$ 

definition constant-term s  $\equiv \forall x.\ constant-term-on s x$ 

lemma constant-termI: assumes  $\bigwedge x.\ constant-term-on s x$  shows constant-term s
   $\langle proof \rangle$ 

lemma ground-imp-constant:  $vars\ s = \{\} \implies$  constant-term s
   $\langle proof \rangle$ 

end

end

```

## 5 Sorted Contexts

```

theory Sorted-Contexts
  imports
    First-Order-Terms.Subterm-and-Context
    Sorted-Terms
begin

```

```

fun aContext where
  aContext F A (Hole, $\sigma$ ) = Some  $\sigma$ 
  | aContext F A (More f ls C rs,  $\sigma$ ) = do {
     $\varrho s \leftarrow$  those (map A ls);
     $\mu \leftarrow$  aContext F A (C, $\sigma$ );
     $\nu s \leftarrow$  those (map A rs);
    F (f,  $\varrho s @ \mu \# \nu s$ )
  }

lemma Hole-hastype[simp]: Hole :  $\sigma \rightarrow \tau$  in aContext F A  $\longleftrightarrow \sigma = \tau$ 
and More-hastype: More f ls C rs :  $\sigma \rightarrow \tau$  in aContext F A  $\longleftrightarrow (\exists \varrho s \mu \nu s.$ 
  f :  $\varrho s @ \mu \# \nu s \rightarrow \tau$  in F  $\wedge$ 
  ls :l  $\varrho s$  in A  $\wedge$ 
  C :  $\sigma \rightarrow \mu$  in aContext F A  $\wedge$ 
  rs :l  $\nu s$  in A)
   $\langle proof \rangle$ 

lemma More-hastypeI:
assumes f :  $\varrho s @ \mu \# \nu s \rightarrow \tau$  in F
and ls :l  $\varrho s$  in A
and C :  $\sigma \rightarrow \mu$  in aContext F A
and rs :l  $\nu s$  in A
shows More f ls C rs :  $\sigma \rightarrow \tau$  in aContext F A
 $\langle proof \rangle$ 

lemma hastype-aContext-induct[consumes 1, case-names Hole More]:
assumes C: C :  $\sigma \rightarrow \tau$  in aContext F A
and hole: P  $\Box \sigma$ 
and more:  $\bigwedge f \mu s \varrho \nu s \tau$  ls C rs.
  f :  $\mu s @ \varrho \# \nu s \rightarrow \tau$  in F  $\implies$ 
  ls :l  $\mu s$  in A  $\implies$ 
  C :  $\sigma \rightarrow \varrho$  in aContext F A  $\implies$ 
  P C  $\varrho \implies$ 
  rs :l  $\nu s$  in A  $\implies$ 
  P (More f ls C rs)  $\tau$ 
shows P C  $\tau$ 
 $\langle proof \rangle$ 

context sorted-algebra begin

lemma intp-ctxt-hastype:
assumes C: C :  $\sigma \rightarrow \tau$  in aContext F A and a: a :  $\sigma$  in A
shows I(C;a) :  $\tau$  in A
 $\langle proof \rangle$ 

lemma ctxt-has-same-type:
assumes C: C :  $\sigma \rightarrow \tau$  in aContext F A and a :  $\sigma$  in A
shows I(C;a) :  $\tau'$  in A  $\longleftrightarrow \tau' = \tau$ 
 $\langle proof \rangle$ 

```

**end**

**lemma** *subst-in-dom*:

**assumes**  $s: s \in \text{dom } \mathcal{T}(F, V)$  **and**  $st: s \sqsupseteq t$  **shows**  $t \in \text{dom } \mathcal{T}(F, V)$   
 $\langle proof \rangle$

Term contexts are abstract contexts in the term algebra.

**abbreviation** *Context* ( $\langle\langle 2\mathcal{C}'(-,-)\rangle\rangle$  [1,1]50) **where**

$\mathcal{C}(F, V) \equiv a\text{Context } F \ \mathcal{T}(F, V)$

**lemmas** *hastype-context-apply* = *term.intp-ctxt-hastype*

**lemma** *hastype-context-decompose*:

**assumes**  $C\langle t \rangle : \tau$  **in**  $\mathcal{T}(F, V)$   
**shows**  $\exists \sigma. C : \sigma \rightarrow \tau$  **in**  $\mathcal{C}(F, V)$   $\wedge t : \sigma$  **in**  $\mathcal{T}(F, V)$   
 $\langle proof \rangle$

**lemma** *apply-ctxt-in-dom-imp-in-dom*:

**assumes**  $C\langle t \rangle \in \text{dom } \mathcal{T}(F, V)$   
**shows**  $t \in \text{dom } \mathcal{T}(F, V)$   
 $\langle proof \rangle$

**lemma** *apply-ctxt-hastype-imp-hastype-context*:

**assumes**  $C: C\langle t \rangle : \tau$  **in**  $\mathcal{T}(F, V)$  **and**  $t: t : \sigma$  **in**  $\mathcal{T}(F, V)$   
**shows**  $C : \sigma \rightarrow \tau$  **in**  $\mathcal{C}(F, V)$   
 $\langle proof \rangle$

**lemma** *subst-apply-ctxt-sorted*:

**assumes**  $C : \sigma \rightarrow \tau$  **in**  $\mathcal{C}(F, X)$  **and**  $\vartheta :_s X \rightarrow \mathcal{T}(F, V)$   
**shows**  $C \cdot_c \vartheta : \sigma \rightarrow \tau$  **in**  $\mathcal{C}(F, V)$   
 $\langle proof \rangle$

**end**

## References

- [1] C. Sternagel and R. Thiemann. First-order terms. *Archive of Formal Proofs*, February 2018. [https://isa-afp.org/entries/First\\_Order\\_Terms.html](https://isa-afp.org/entries/First_Order_Terms.html), Formal proof development.
- [2] R. Thiemann and A. Yamada. A verified algorithm for deciding pattern completeness. In J. Rehof, editor, *9th International Conference on Formal Structures for Computation and Deduction, FSCD 2024, July 10-13, 2024, Tallinn, Estonia*, LIPIcs. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2024. To appear.