

# Signature-Based Gröbner Basis Algorithms

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## Abstract

This article formalizes signature-based algorithms for computing Gröbner bases. Such algorithms are, in general, superior to other algorithms in terms of efficiency, and have not been formalized in any proof assistant so far. The present development is both generic, in the sense that most known variants of signature-based algorithms are covered by it, and effectively executable on concrete input thanks to Isabelle's code generator. Sample computations of benchmark problems show that the verified implementation of signature-based algorithms indeed outperforms the existing implementation of Buchberger's algorithm in Isabelle/HOL.

Besides total correctness of the algorithms, the article also proves that under certain conditions they a-priori detect and avoid all useless zero-reductions, and always return ‘minimal’ (in some sense) Gröbner bases if an input parameter is chosen in the right way.

The formalization follows the recent survey article by Eder and Faugère.

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## 1 Introduction

Signature-based algorithms [3, 1] play a central role in modern computer algebra systems, as they allow to compute Gröbner bases of ideals of multivariate polynomials much more efficiently than other algorithms. Although they also belong to the class of critical-pair/completion algorithms, as almost all algorithms for computing Gröbner bases, they nevertheless possess some quite unique features that render a formal development in proof assistants challenging. In fact, this is the first formalization of signature-based algorithms in any proof assistant.

The formalization builds upon the existing formalization of Gröbner bases theory [4] and closely follows Sections 4–7 of the excellent survey article [1]. Some proofs were taken from [5, 2].

Summarizing, the main features of the formalization are as follows:

- It is *generic*, in the sense that it considers the computation of so-called *rewrite bases* and neither fixes the term order nor the rewrite-order.
- It is *efficient*, in the sense that all executable algorithms (e.g. *gb-sig*) operate on sig-poly-pairs rather than module elements, and that polynomials are represented efficiently using ordered associative lists.
- It proves that if the input is a regular sequence and the term order is a POT order, there are no useless zero-reductions (Theorem *gb-sig-no-zero-red*).
- It proves that the signature Gröbner bases computed w. r. t. the ‘ratio’ rewrite order are minimal (Theorem *gb-sig-z-is-min-sig-GB*).
- It features sample computations of benchmark problems to illustrate the practical usability of the verified algorithms.

## 2 Preliminaries

```
theory Prelims
  imports Polynomials_Utils Groebner_Bases.General
begin
```

### 2.1 Lists

#### 2.1.1 Sequences of Lists

```
lemma list-seq-length-mono:
  fixes seq :: nat ⇒ 'a list
  assumes ∀i. (∃x. seq (Suc i) = x # seq i) ∧ i < j
  shows length (seq i) < length (seq j)
  ⟨proof⟩
```

```

corollary list-seq-length-mono-weak:
  fixes seq :: nat  $\Rightarrow$  'a list
  assumes  $\bigwedge i. (\exists x. \text{seq}(\text{Suc } i) = x \# \text{seq } i)$  and  $i \leq j$ 
  shows length (seq i)  $\leq$  length (seq j)
  ⟨proof⟩

lemma list-seq-indexE-length:
  fixes seq :: nat  $\Rightarrow$  'a list
  assumes  $\bigwedge i. (\exists x. \text{seq}(\text{Suc } i) = x \# \text{seq } i)$ 
  obtains j where  $i < \text{length}(\text{seq } j)$ 
  ⟨proof⟩

lemma list-seq-nth:
  fixes seq :: nat  $\Rightarrow$  'a list
  assumes  $\bigwedge i. (\exists x. \text{seq}(\text{Suc } i) = x \# \text{seq } i)$  and  $i < \text{length}(\text{seq } j)$  and  $j \leq k$ 
  shows rev (seq k) ! i = rev (seq j) ! i
  ⟨proof⟩

corollary list-seq-nth':
  fixes seq :: nat  $\Rightarrow$  'a list
  assumes  $\bigwedge i. (\exists x. \text{seq}(\text{Suc } i) = x \# \text{seq } i)$  and  $i < \text{length}(\text{seq } j)$  and  $i < \text{length}(\text{seq } k)$ 
  shows rev (seq k) ! i = rev (seq j) ! i
  ⟨proof⟩

```

### 2.1.2 filter

```

lemma filter-merge-wrt-1:
  assumes  $\bigwedge y. y \in \text{set } ys \implies P y \implies \text{False}$ 
  shows filter P (merge-wrt rel xs ys) = filter P xs
  ⟨proof⟩

lemma filter-merge-wrt-2:
  assumes  $\bigwedge x. x \in \text{set } xs \implies P x \implies \text{False}$ 
  shows filter P (merge-wrt rel xs ys) = filter P ys
  ⟨proof⟩

lemma length-filter-le-1:
  assumes length (filter P xs)  $\leq 1$  and  $i < \text{length } xs$  and  $j < \text{length } xs$ 
  and  $P(xs ! i)$  and  $P(xs ! j)$ 
  shows i = j
  ⟨proof⟩

lemma length-filter-eq [simp]: length (filter ((=) x) xs) = count-list xs x
  ⟨proof⟩

```

### 2.1.3 drop

```

lemma nth-in-set-dropI:

```

```

assumes  $j \leq i$  and  $i < \text{length } xs$ 
shows  $xs ! i \in \text{set} (\text{drop } j xs)$ 
⟨proof⟩

```

#### 2.1.4 count-list

```

lemma count-list-up [simp]: count-list [ $a..<b]$   $x = (\text{if } a \leq x \wedge x < b \text{ then } 1 \text{ else } 0)$ 
⟨proof⟩

```

#### 2.1.5 sorted-wrt

```

lemma sorted-wrt-up iff: sorted-wrt rel [ $a..<b]$   $\longleftrightarrow (\forall i j. a \leq i \rightarrow i < j \rightarrow j < b \rightarrow \text{rel } i j)$ 
⟨proof⟩

```

#### 2.1.6 insort-wrt and merge-wrt

```

lemma map-insort-wrt:
assumes  $\bigwedge x. x \in \text{set } xs \implies r2(f y) (f x) \longleftrightarrow r1 y x$ 
shows  $\text{map } f (\text{insort-wrt } r1 y xs) = \text{insort-wrt } r2(f y) (\text{map } f xs)$ 
⟨proof⟩

```

```

lemma map-merge-wrt:
assumes  $f` \text{set } xs \cap f` \text{set } ys = \{\}$ 
and  $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } ys \implies r2(f x) (f y) \longleftrightarrow r1 x y$ 
shows  $\text{map } f (\text{merge-wrt } r1 xs ys) = \text{merge-wrt } r2 (\text{map } f xs) (\text{map } f ys)$ 
⟨proof⟩

```

## 2.2 Recursive Functions

```

locale recursive =
  fixes  $h' :: 'b \Rightarrow 'b$ 
  fixes  $b :: 'b$ 
  assumes  $b\text{-fixpoint: } h' b = b$ 
begin

context
  fixes  $Q :: 'a \Rightarrow \text{bool}$ 
  fixes  $g :: 'a \Rightarrow 'b$ 
  fixes  $h :: 'a \Rightarrow 'a$ 
begin

function (domintros) recfun-aux ::  $'a \Rightarrow 'b$  where
  recfun-aux  $x = (\text{if } Q x \text{ then } g x \text{ else } h' (\text{recfun-aux} (h x)))$ 
⟨proof⟩

lemmas [induct del] = recfun-aux.pinduct

definition dom ::  $'a \Rightarrow \text{bool}$ 

```

```

where  $\text{dom } x \longleftrightarrow (\exists k. Q ((h \wedge k) x))$ 

lemma  $\text{domI}:$ 
  assumes  $\neg Q x \implies \text{dom } (h x)$ 
  shows  $\text{dom } x$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{domD}:$ 
  assumes  $\text{dom } x \text{ and } \neg Q x$ 
  shows  $\text{dom } (h x)$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{recfun-aux-domI}:$ 
  assumes  $\text{dom } x$ 
  shows  $\text{recfun-aux-dom } x$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{recfun-aux-domD}:$ 
  assumes  $\text{recfun-aux-dom } x$ 
  shows  $\text{dom } x$ 
   $\langle \text{proof} \rangle$ 

corollary  $\text{recfun-aux-dom-alt}: \text{recfun-aux-dom} = \text{dom}$ 
   $\langle \text{proof} \rangle$ 

definition  $\text{fun} :: 'a \Rightarrow 'b$ 
  where  $\text{fun } x = (\text{if } \text{recfun-aux-dom } x \text{ then } \text{recfun-aux } x \text{ else } b)$ 

lemma  $\text{simps}: \text{fun } x = (\text{if } Q x \text{ then } g x \text{ else } h' (\text{fun } (h x)))$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{eq-fixpointI}: \neg \text{dom } x \implies \text{fun } x = b$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{pinduct}: \text{dom } x \implies (\bigwedge x. \text{dom } x \implies (\neg Q x \implies P (h x)) \implies P x) \implies$ 
   $P x$ 
   $\langle \text{proof} \rangle$ 

end

end

interpretation  $\text{tailrec}: \text{recursive } \lambda x. x \text{ undefined}$ 
   $\langle \text{proof} \rangle$ 

```

## 2.3 Binary Relations

```

lemma  $\text{almost-full-on-Int}:$ 
  assumes  $\text{almost-full-on } P1 A1 \text{ and } \text{almost-full-on } P2 A2$ 

```

```

shows almost-full-on ( $\lambda x y. P1 x y \wedge P2 x y$ ) ( $A1 \cap A2$ ) (is almost-full-on ?P
?A)
⟨proof⟩

corollary almost-full-on-same:
assumes almost-full-on  $P1 A$  and almost-full-on  $P2 A$ 
shows almost-full-on ( $\lambda x y. P1 x y \wedge P2 x y$ )  $A$ 
⟨proof⟩

context ord
begin

definition is-le-rel :: (' $a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow \text{bool}$ 
where is-le-rel rel = (rel = (=)  $\vee$  rel = ( $\leq$ )  $\vee$  rel = ( $<$ ))

lemma is-le-relI [simp]: is-le-rel (=) is-le-rel ( $\leq$ ) is-le-rel (<)
⟨proof⟩

lemma is-le-relE:
assumes is-le-rel rel
obtains rel = (=)  $|$  rel = ( $\leq$ )  $|$  rel = ( $<$ )
⟨proof⟩

end

context preorder
begin

lemma is-le-rel-le:
assumes is-le-rel rel
shows rel  $x y \implies x \leq y$ 
⟨proof⟩

lemma is-le-rel-trans:
assumes is-le-rel rel
shows rel  $x y \implies \text{rel } y z \implies \text{rel } x z$ 
⟨proof⟩

lemma is-le-rel-trans-le-left:
assumes is-le-rel rel
shows  $x \leq y \implies \text{rel } y z \implies x \leq z$ 
⟨proof⟩

lemma is-le-rel-trans-le-right:
assumes is-le-rel rel
shows rel  $x y \implies y \leq z \implies x \leq z$ 
⟨proof⟩

lemma is-le-rel-trans-less-left:

```

```

assumes is-le-rel rel
shows  $x < y \Rightarrow \text{rel } y z \Rightarrow x < z$ 
{proof}

lemma is-le-rel-trans-less-right:
assumes is-le-rel rel
shows  $\text{rel } x y \Rightarrow y < z \Rightarrow x < z$ 
{proof}

end

context order
begin

lemma is-le-rel-distinct:
assumes is-le-rel rel
shows  $\text{rel } x y \Rightarrow x \neq y \Rightarrow x < y$ 
{proof}

lemma is-le-rel-antisym:
assumes is-le-rel rel
shows  $\text{rel } x y \Rightarrow \text{rel } y x \Rightarrow x = y$ 
{proof}

end

end

```

### 3 More Properties of Power-Products and Multivariate Polynomials

```

theory More-MPoly
imports Prelims Polynomials.MPoly-Type-Class-Ordered
begin

```

#### 3.1 Power-Products

```

lemma (in comm-powerprod) minus-plus':  $s \text{ adds } t \Rightarrow u + (t - s) = (u + t) - s$ 
{proof}

context ulcs-powerprod
begin

lemma lcs-alt-2:
assumes  $a + x = b + y$ 
shows  $\text{lcs } x y = (b + y) - \text{gcs } a b$ 
{proof}

```

```

corollary lcs-alt-1:
  assumes  $a + x = b + y$ 
  shows  $\text{lcs } x \ y = (a + x) - \text{gcs } a \ b$ 
   $\langle \text{proof} \rangle$ 

corollary lcs-minus-1:
  assumes  $a + x = b + y$ 
  shows  $\text{lcs } x \ y - x = a - \text{gcs } a \ b$ 
   $\langle \text{proof} \rangle$ 

corollary lcs-minus-2:
  assumes  $a + x = b + y$ 
  shows  $\text{lcs } x \ y - y = b - \text{gcs } a \ b$ 
   $\langle \text{proof} \rangle$ 

lemma gcs-minus:
  assumes  $u \text{ adds } s \text{ and } u \text{ adds } t$ 
  shows  $\text{gcs } (s - u) \ (t - u) = \text{gcs } s \ t - u$ 
   $\langle \text{proof} \rangle$ 

corollary gcs-minus-gcs:  $\text{gcs } (s - (\text{gcs } s \ t)) \ (t - (\text{gcs } s \ t)) = 0$ 
   $\langle \text{proof} \rangle$ 

end

```

### 3.2 Miscellaneous

```

lemma poly-mapping-rangeE:
  assumes  $c \in \text{Poly-Mapping.range } p$ 
  obtains  $k \text{ where } k \in \text{keys } p \text{ and } c = \text{lookup } p \ k$ 
   $\langle \text{proof} \rangle$ 

lemma poly-mapping-range-nonzero:  $0 \notin \text{Poly-Mapping.range } p$ 
   $\langle \text{proof} \rangle$ 

lemma (in term-powerprod) Keys-range-vectorize-poly:  $\text{Keys } (\text{Poly-Mapping.range } (\text{vectorize-poly } p)) = \text{pp-of-term} \ ' \text{keys } p$ 
   $\langle \text{proof} \rangle$ 

```

### 3.3 ordered-term.lt and ordered-term.higher

```

context ordered-term
begin

```

```

lemma lt-lookup-vectorize:  $\text{punit.lt} \ (\text{lookup } (\text{vectorize-poly } p) \ (\text{component-of-term} \ (\text{lt } p))) = \text{lp } p$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma lower-higher-zeroI:  $u \preceq_t v \implies \text{lower } (\text{higher } p \ v) \ u = 0$ 

```

$\langle proof \rangle$

**lemma** *lookup-minus-higher*:  $lookup(p - higher p v) u = (lookup p u \text{ when } u \preceq_t v)$   
 $\langle proof \rangle$

**lemma** *keys-minus-higher*:  $keys(p - higher p v) = \{u \in keys p. u \preceq_t v\}$   
 $\langle proof \rangle$

**lemma** *lt-minus-higher*:  $v \in keys p \implies lt(p - higher p v) = v$   
 $\langle proof \rangle$

**lemma** *lc-minus-higher*:  $v \in keys p \implies lc(p - higher p v) = lookup p v$   
 $\langle proof \rangle$

**lemma** *tail-minus-higher*:  $v \in keys p \implies tail(p - higher p v) = lower p v$   
 $\langle proof \rangle$

**end**

### 3.4 gd-term.dgrad-p-set

**lemma** (**in** *gd-term*) *dgrad-p-set-closed-mult-scalar*:  
  **assumes** *dickson-grading d* **and**  $p \in punit.dgrad-p-set d m$  **and**  $r \in dgrad-p-set d m$   
  **shows**  $p \odot r \in dgrad-p-set d m$   
 $\langle proof \rangle$

### 3.5 Regular Sequences

**definition** *is-regular-sequence* ::  $('a::comm-powerprod \Rightarrow_0 'b::comm-ring-1) list \Rightarrow bool$   
  **where**  $is-regular-sequence fs \longleftrightarrow (\forall j < length fs. \forall q. q * fs ! j \in ideal (set (take j fs)) \longrightarrow q \in ideal (set (take j fs)))$

**lemma** *is-regular-sequenceD*:  
   $is-regular-sequence fs \implies j < length fs \implies q * fs ! j \in ideal (set (take j fs)) \implies q \in ideal (set (take j fs))$   
 $\langle proof \rangle$

**lemma** *is-regular-sequence-Nil*: *is-regular-sequence []*  
 $\langle proof \rangle$

**lemma** *is-regular-sequence-snocI*:  
  **assumes**  $\bigwedge q. q * f \in ideal (set fs) \implies q \in ideal (set fs)$  **and** *is-regular-sequence fs*  
  **shows** *is-regular-sequence (fs @ [f])*  
 $\langle proof \rangle$

```

lemma is-regular-sequence-snocD:
  assumes is-regular-sequence (fs @ [f])
  shows ⋀q. q * f ∈ ideal (set fs) ⟹ q ∈ ideal (set fs)
    and is-regular-sequence fs
  ⟨proof⟩

lemma is-regular-sequence-removeAll-zero:
  assumes is-regular-sequence fs
  shows is-regular-sequence (removeAll 0 fs)
  ⟨proof⟩

lemma is-regular-sequence-remdups:
  assumes is-regular-sequence fs
  shows is-regular-sequence (rev (remdups (rev fs)))
  ⟨proof⟩

end

```

## 4 Signature-Based Algorithms for Computing Gröbner Bases

```

theory Signature-Groebner
  imports More-MPoly Groebner-Bases.Syzygy Polynomials.Quasi-PM-Power-Products
begin

```

First, we develop the whole theory for elements of the module  $K[X]^r$ , i.e. objects of type ' $t \Rightarrow_0 'b$ '. Later, we transfer all algorithms defined on such objects to algorithms efficiently operating on sig-poly-pairs, i.e. objects of type ' $t \times ('a \Rightarrow_0 'b)$ '.

### 4.1 More Preliminaries

```

lemma (in gd-term) lt-spoly-less-lcs:
  assumes p ≠ 0 and q ≠ 0 and spoly p q ≠ 0
  shows lt (spoly p q) <_t term-of-pair (lcs (lp p) (lp q), component-of-term (lt p))
  ⟨proof⟩

```

### 4.2 Module Polynomials

```

locale qpm-inf-term =
  gd-term pair-of-term term-of-pair ord ord-strict ord-term ord-term-strict
  for pair-of-term::' $t \Rightarrow ('a :: quasi-pm-powerprod \times nat)$ 
  and term-of-pair::('a × nat) ⇒ ' $t$ 
  and ord::' $a \Rightarrow 'a \Rightarrow bool$  (infixl ⟨ $\preceq$ ⟩ 50)
  and ord-strict (infixl ⟨ $\prec$ ⟩ 50)
  and ord-term::' $t \Rightarrow 't \Rightarrow bool$  (infixl ⟨ $\preceq_t$ ⟩ 50)
  and ord-term-strict::' $t \Rightarrow 't \Rightarrow bool$  (infixl ⟨ $\prec_t$ ⟩ 50)
begin

```

```

lemma in-idealE-rep-dgrad-p-set:
  assumes hom-grading d and B ⊆ punit.dgrad-p-set d m and p ∈ punit.dgrad-p-set
  d m and p ∈ ideal B
  obtains r where keys r ⊆ B and Poly-Mapping.range r ⊆ punit.dgrad-p-set d
  m and p = ideal.rep r
  ⟨proof⟩

context fixes fs :: ('a ⇒₀ 'b::field) list
begin

definition sig-inv-set' :: nat ⇒ ('t ⇒₀ 'b) set
  where sig-inv-set' j = {r. keys (vectorize-poly r) ⊆ {0..<j}}
```

**abbreviation** sig-inv-set ≡ sig-inv-set' (length fs)

```

definition rep-list :: ('t ⇒₀ 'b) ⇒ ('a ⇒₀ 'b)
  where rep-list r = ideal.rep (pm-of-idx-pm fs (vectorize-poly r))

lemma sig-inv-setI: keys (vectorize-poly r) ⊆ {0..<j} ⇒ r ∈ sig-inv-set' j
  ⟨proof⟩

lemma sig-inv-setD: r ∈ sig-inv-set' j ⇒ keys (vectorize-poly r) ⊆ {0..<j}
  ⟨proof⟩

lemma sig-inv-setI':
  assumes ⋀v. v ∈ keys r ⇒ component-of-term v < j
  shows r ∈ sig-inv-set' j
  ⟨proof⟩

lemma sig-inv-setD':
  assumes r ∈ sig-inv-set' j and v ∈ keys r
  shows component-of-term v < j
  ⟨proof⟩

corollary sig-inv-setD-lt:
  assumes r ∈ sig-inv-set' j and r ≠ 0
  shows component-of-term (lt r) < j
  ⟨proof⟩

lemma sig-inv-set-mono:
  assumes i ≤ j
  shows sig-inv-set' i ⊆ sig-inv-set' j
  ⟨proof⟩

lemma sig-inv-set-zero: 0 ∈ sig-inv-set' j
  ⟨proof⟩

lemma sig-inv-set-closed-uminus: r ∈ sig-inv-set' j ⇒ - r ∈ sig-inv-set' j

```

```

⟨proof⟩

lemma sig-inv-set-closed-plus:
  assumes r ∈ sig-inv-set' j and s ∈ sig-inv-set' j
  shows r + s ∈ sig-inv-set' j
⟨proof⟩

lemma sig-inv-set-closed-minus:
  assumes r ∈ sig-inv-set' j and s ∈ sig-inv-set' j
  shows r - s ∈ sig-inv-set' j
⟨proof⟩

lemma sig-inv-set-closed-monom-mult:
  assumes r ∈ sig-inv-set' j
  shows monom-mult c t r ∈ sig-inv-set' j
⟨proof⟩

lemma sig-inv-set-closed-mult-scalar:
  assumes r ∈ sig-inv-set' j
  shows p ⊕ r ∈ sig-inv-set' j
⟨proof⟩

lemma rep-list-zero: rep-list 0 = 0
⟨proof⟩

lemma rep-list-uminus: rep-list (- r) = - rep-list r
⟨proof⟩

lemma rep-list-plus: rep-list (r + s) = rep-list r + rep-list s
⟨proof⟩

lemma rep-list-minus: rep-list (r - s) = rep-list r - rep-list s
⟨proof⟩

lemma vectorize-mult-scalar:
  vectorize-poly (p ⊕ q) = MPoly-Type-Class.punit.monom-mult p 0 (vectorize-poly
q)
⟨proof⟩

lemma rep-list-mult-scalar: rep-list (c ⊕ r) = c * rep-list r
⟨proof⟩

lemma rep-list-monom-mult: rep-list (monom-mult c t r) = punit.monom-mult c
t (rep-list r)
⟨proof⟩

lemma rep-list-monomial:
  assumes distinct fs
  shows rep-list (monomial c u) =

```

```

(punit.monom-mult c (pp-of-term u) (fs ! (component-of-term u))
when component-of-term u < length fs)
⟨proof⟩

lemma rep-list-in-ideal-sig-inv-set:
assumes r ∈ sig-inv-set' j
shows rep-list r ∈ ideal (set (take j fs))
⟨proof⟩

corollary rep-list-subset-ideal-sig-inv-set:
B ⊆ sig-inv-set' j ⇒ rep-list ` B ⊆ ideal (set (take j fs))
⟨proof⟩

lemma rep-list-in-ideal: rep-list r ∈ ideal (set fs)
⟨proof⟩

corollary rep-list-subset-ideal: rep-list ` B ⊆ ideal (set fs)
⟨proof⟩

lemma in-idealE-rep-list:
assumes p ∈ ideal (set fs)
obtains r where p = rep-list r and r ∈ sig-inv-set
⟨proof⟩

lemma keys-rep-list-subset:
assumes t ∈ keys (rep-list r)
obtains v s where v ∈ keys r and s ∈ Keys (set fs) and t = pp-of-term v + s
⟨proof⟩

lemma dgrad-p-set-le-rep-list:
assumes dickson-grading d and dgrad-set-le d (pp-of-term ` keys r) (Keys (set fs))
shows punit.dgrad-p-set-le d {rep-list r} (set fs)
⟨proof⟩

corollary dgrad-p-set-le-rep-list-image:
assumes dickson-grading d and dgrad-set-le d (pp-of-term ` Keys F) (Keys (set fs))
shows punit.dgrad-p-set-le d (rep-list ` F) (set fs)
⟨proof⟩
term Max

definition dgrad-max :: ('a ⇒ nat) ⇒ nat
where dgrad-max d = (Max (d ` (insert 0 (Keys (set fs)))))

abbreviation dgrad-max-set d ≡ dgrad-p-set d (dgrad-max d)
abbreviation punit-dgrad-max-set d ≡ punit.dgrad-p-set d (dgrad-max d)

lemma dgrad-max-0: d 0 ≤ dgrad-max d

```

$\langle proof \rangle$

**lemma** *dgrad-max-1*: set  $fs \subseteq punit\text{-}dgrad\text{-}max\text{-}set d$   
 $\langle proof \rangle$

**lemma** *dgrad-max-2*:  
  **assumes** *dickson-grading d* **and**  $r \in dgrad\text{-}max\text{-}set d$   
  **shows** *rep-list r*  $\in punit\text{-}dgrad\text{-}max\text{-}set d$   
 $\langle proof \rangle$

**corollary** *dgrad-max-3*:  
  **assumes** *dickson-grading d* **and**  $F \subseteq dgrad\text{-}max\text{-}set d$   
  **shows** *rep-list 'F*  $\subseteq punit\text{-}dgrad\text{-}max\text{-}set d$   
 $\langle proof \rangle$

**lemma** *punit-dgrad-max-set-subset-dgrad-p-set*:  
  **assumes** *dickson-grading d* **and** set  $fs \subseteq punit\text{-}dgrad\text{-}p\text{-}set d m$  **and**  $\neg set fs \subseteq \{0\}$   
  **shows** *punit-dgrad-max-set d*  $\subseteq punit\text{-}dgrad\text{-}p\text{-}set d m$   
 $\langle proof \rangle$

**definition** *dgrad-sig-set' :: nat  $\Rightarrow$  ('a  $\Rightarrow$  nat)  $\Rightarrow$  ('t  $\Rightarrow_0$  'b) set*  
  **where** *dgrad-sig-set' j d = dgrad-max-set d  $\cap$  sig-inv-set' j*

**abbreviation** *dgrad-sig-set*  $\equiv$  *dgrad-sig-set' (length fs)*

**lemma** *dgrad-sig-set-set-mono*:  $i \leq j \implies dgrad\text{-}sig\text{-}set' i d \subseteq dgrad\text{-}sig\text{-}set' j d$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-uminus*:  $r \in dgrad\text{-}sig\text{-}set' j d \implies -r \in dgrad\text{-}sig\text{-}set' j d$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-plus*:  
 $r \in dgrad\text{-}sig\text{-}set' j d \implies s \in dgrad\text{-}sig\text{-}set' j d \implies r + s \in dgrad\text{-}sig\text{-}set' j d$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-minus*:  
 $r \in dgrad\text{-}sig\text{-}set' j d \implies s \in dgrad\text{-}sig\text{-}set' j d \implies r - s \in dgrad\text{-}sig\text{-}set' j d$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-monom-mult*:  
  **assumes** *dickson-grading d* **and**  $d t \leq dgrad\text{-}max d$   
  **shows**  $p \in dgrad\text{-}sig\text{-}set' j d \implies \text{monom}\text{-}mult c t p \in dgrad\text{-}sig\text{-}set' j d$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-monom-mult-zero*:  
 $p \in dgrad\text{-}sig\text{-}set' j d \implies \text{monom}\text{-}mult c 0 p \in dgrad\text{-}sig\text{-}set' j d$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-mult-scalar*:  
*dickson-grading d*  $\implies p \in \text{punit-dgrad-max-set } d \implies r \in \text{dgrad-sig-set}' j d \implies$   
 $p \odot r \in \text{dgrad-sig-set}' j d$   
*(proof)*

**lemma** *dgrad-sig-set-closed-monomial*:  
**assumes** *d (pp-of-term u) ≤ dgrad-max d and component-of-term u < j*  
**shows** *monomial c u ∈ dgrad-sig-set' j d*  
*(proof)*

**lemma** *rep-list-in-ideal-dgrad-sig-set*:  
*r ∈ dgrad-sig-set' j d*  $\implies \text{rep-list } r \in \text{ideal} (\text{set} (\text{take } j fs))$   
*(proof)*

**lemma** *in-idealE-rep-list-dgrad-sig-set-take*:  
**assumes** *hom-grading d and p ∈ punit-dgrad-max-set d and p ∈ ideal (set (take j fs))*  
**obtains** *r where r ∈ dgrad-sig-set d and r ∈ dgrad-sig-set' j d and p = rep-list r*  
*r*  
*(proof)*

**corollary** *in-idealE-rep-list-dgrad-sig-set*:  
**assumes** *hom-grading d and p ∈ punit-dgrad-max-set d and p ∈ ideal (set fs)*  
**obtains** *r where r ∈ dgrad-sig-set d and p = rep-list r*  
*(proof)*

**lemma** *dgrad-sig-setD-lp*:  
**assumes** *p ∈ dgrad-sig-set' j d*  
**shows** *d (lp p) ≤ dgrad-max d*  
*(proof)*

**lemma** *dgrad-sig-setD-lt*:  
**assumes** *p ∈ dgrad-sig-set' j d and p ≠ 0*  
**shows** *component-of-term (lt p) < j*  
*(proof)*

**lemma** *dgrad-sig-setD-rep-list-lt*:  
**assumes** *dickson-grading d and p ∈ dgrad-sig-set' j d*  
**shows** *d (punit.lt (rep-list p)) ≤ dgrad-max d*  
*(proof)*

**definition** *spp-of :: ('t ⇒₀ 'b) ⇒ ('t × ('a ⇒₀ 'b))*  
**where** *spp-of r = (lt r, rep-list r)*

“spp” stands for “sig-poly-pair”.

**lemma** *fst-spp-of*: *fst (spp-of r) = lt r*  
*(proof)*

**lemma** *snd-spp-of*:  $\text{snd} (\text{spp-of } r) = \text{rep-list } r$   
 $\langle \text{proof} \rangle$

#### 4.2.1 Signature Reduction

**lemma** *term-is-le-rel-canc-left*:  
**assumes** *ord-term-lin.is-le-rel rel*  
**shows**  $\text{rel} (t \oplus u) (t \oplus v) \longleftrightarrow \text{rel } u v$   
 $\langle \text{proof} \rangle$

**lemma** *term-is-le-rel-minus*:  
**assumes** *ord-term-lin.is-le-rel rel and s adds t*  
**shows**  $\text{rel} ((t - s) \oplus u) v \longleftrightarrow \text{rel} (t \oplus u) (s \oplus v)$   
 $\langle \text{proof} \rangle$

**lemma** *term-is-le-rel-minus-minus*:  
**assumes** *ord-term-lin.is-le-rel rel and a adds t and b adds t*  
**shows**  $\text{rel} ((t - a) \oplus u) ((t - b) \oplus v) \longleftrightarrow \text{rel} (b \oplus u) (a \oplus v)$   
 $\langle \text{proof} \rangle$

**lemma** *pp-is-le-rel-canc-right*:  
**assumes** *ordered-powerprod-lin.is-le-rel rel*  
**shows**  $\text{rel} (s + u) (t + u) \longleftrightarrow \text{rel } s t$   
 $\langle \text{proof} \rangle$

**lemma** *pp-is-le-rel-canc-left*: *ordered-powerprod-lin.is-le-rel rel*  $\implies \text{rel} (t + u) (t + v) \longleftrightarrow \text{rel } u v$   
 $\langle \text{proof} \rangle$

**definition** *sig-red-single* ::  $('t \Rightarrow 't \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow 'a \Rightarrow \text{bool}$   
**where** *sig-red-single sing-reg top-tail p q f t*  $\longleftrightarrow$   
 $(\text{rep-list } f \neq 0 \wedge \text{lookup} (\text{rep-list } p) (t + \text{punit.lt} (\text{rep-list } f)) \neq 0 \wedge$   
 $q = p - \text{monom-mult} ((\text{lookup} (\text{rep-list } p) (t + \text{punit.lt} (\text{rep-list } f)))$   
 $/ \text{punit.lc} (\text{rep-list } f)) t f \wedge$   
 $\text{ord-term-lin.is-le-rel sing-reg} \wedge \text{ordered-powerprod-lin.is-le-rel top-tail}$   
 $\wedge$   
 $\text{sing-reg} (t \oplus \text{lt } f) (\text{lt } p) \wedge \text{top-tail} (t + \text{punit.lt} (\text{rep-list } f)) (\text{punit.lt} (\text{rep-list } p)))$

The first two parameters of *sig-red-single*, *sing-reg* and *top-tail*, specify whether the reduction is a singular/regular/arbitrary top/tail/arbitrary signature-reduction.

- If *sing-reg* is  $(=)$ , the reduction is singular.
- If *sing-reg* is  $(\prec_t)$ , the reduction is regular.
- If *sing-reg* is  $(\preceq_t)$ , the reduction is an arbitrary signature-reduction.

- If *top-tail* is  $(=)$ , it is a top reduction.
- If *top-tail* is  $(\prec)$ , it is a tail reduction.
- If *top-tail* is  $(\preceq)$ , the reduction is an arbitrary signature-reduction.

**definition** *sig-red* ::  $('t \Rightarrow 't \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('t \Rightarrow_0 'b) \text{ set} \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow \text{bool}$

**where** *sig-red sing-reg top-tail F p q*  $\longleftrightarrow (\exists f \in F. \exists t. \text{sig-red-single sing-reg top-tail } p q f t)$

**definition** *is-sig-red* ::  $('t \Rightarrow 't \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('t \Rightarrow_0 'b) \text{ set} \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow \text{bool}$

**where** *is-sig-red sing-reg top-tail F p*  $\longleftrightarrow (\exists q. \text{sig-red sing-reg top-tail } F p q)$

**lemma** *sig-red-singleI*:

**assumes** *rep-list f*  $\neq 0$  **and** *t + punit.lt (rep-list f)*  $\in \text{keys}(\text{rep-list } p)$   
**and** *q = p - monom-mult ((lookup (rep-list p)) (t + punit.lt (rep-list f))) / punit.lc (rep-list f)) t f*  
**and** *ord-term-lin.is-le-rel sing-reg* **and** *ordered-powerprod-lin.is-le-rel top-tail*  
**and** *sing-reg (t  $\oplus$  lt f) (lt p)*  
**and** *top-tail (t + punit.lt (rep-list f)) (punit.lt (rep-list p))*  
**shows** *sig-red-single sing-reg top-tail p q f t*  
 *$\langle proof \rangle$*

**lemma** *sig-red-singleD1*:

**assumes** *sig-red-single sing-reg top-tail p q f t*  
**shows** *rep-list f*  $\neq 0$   
 *$\langle proof \rangle$*

**lemma** *sig-red-singleD2*:

**assumes** *sig-red-single sing-reg top-tail p q f t*  
**shows** *t + punit.lt (rep-list f)*  $\in \text{keys}(\text{rep-list } p)$   
 *$\langle proof \rangle$*

**lemma** *sig-red-singleD3*:

**assumes** *sig-red-single sing-reg top-tail p q f t*  
**shows** *q = p - monom-mult ((lookup (rep-list p)) (t + punit.lt (rep-list f))) / punit.lc (rep-list f)) t f*  
 *$\langle proof \rangle$*

**lemma** *sig-red-singleD4*:

**assumes** *sig-red-single sing-reg top-tail p q f t*  
**shows** *ord-term-lin.is-le-rel sing-reg*  
 *$\langle proof \rangle$*

**lemma** *sig-red-singleD5*:

**assumes** *sig-red-single sing-reg top-tail p q f t*  
**shows** *ordered-powerprod-lin.is-le-rel top-tail*

```

⟨proof⟩

lemma sig-red-singleD6:
  assumes sig-red-single sing-reg top-tail p q f t
  shows sing-reg (t ⊕ lt f) (lt p)
  ⟨proof⟩

lemma sig-red-singleD7:
  assumes sig-red-single sing-reg top-tail p q f t
  shows top-tail (t + punit.lt (rep-list f)) (punit.lt (rep-list p))
  ⟨proof⟩

lemma sig-red-singleD8:
  assumes sig-red-single sing-reg top-tail p q f t
  shows t ⊕ lt f ⊢t lt p
  ⟨proof⟩

lemma sig-red-singleD9:
  assumes sig-red-single sing-reg top-tail p q f t
  shows t + punit.lt (rep-list f) ⊢ punit.lt (rep-list p)
  ⟨proof⟩

lemmas sig-red-singleD = sig-red-singleD1 sig-red-singleD2 sig-red-singleD3 sig-red-singleD4
          sig-red-singleD5 sig-red-singleD6 sig-red-singleD7 sig-red-singleD8
          sig-red-singleD9

lemma sig-red-single-red-single:
  sig-red-single sing-reg top-tail p q f t  $\implies$  punit.red-single (rep-list p) (rep-list q)
  (rep-list f) t
  ⟨proof⟩

lemma sig-red-single-regular-lt:
  assumes sig-red-single (⊲t) top-tail p q f t
  shows lt q = lt p
  ⟨proof⟩

lemma sig-red-single-regular-lc:
  assumes sig-red-single (⊲t) top-tail p q f t
  shows lc q = lc p
  ⟨proof⟩

lemma sig-red-single-lt:
  assumes sig-red-single sing-reg top-tail p q f t
  shows lt q ⊢t lt p
  ⟨proof⟩

lemma sig-red-single-lt-rep-list:
  assumes sig-red-single sing-reg top-tail p q f t
  shows punit.lt (rep-list q) ⊢ punit.lt (rep-list p)

```

$\langle proof \rangle$

**lemma** *sig-red-single-tail-lt-in-keys-rep-list*:  
  **assumes** *sig-red-single sing-reg* ( $\prec$ ) *p q f t*  
  **shows** *punit.lt* (*rep-list p*)  $\in$  *keys* (*rep-list q*)  
 $\langle proof \rangle$

**corollary** *sig-red-single-tail-lt-rep-list*:  
  **assumes** *sig-red-single sing-reg* ( $\prec$ ) *p q f t*  
  **shows** *punit.lt* (*rep-list q*) = *punit.lt* (*rep-list p*)  
 $\langle proof \rangle$

**lemma** *sig-red-single-tail-lc-rep-list*:  
  **assumes** *sig-red-single sing-reg* ( $\prec$ ) *p q f t*  
  **shows** *punit.lc* (*rep-list q*) = *punit.lc* (*rep-list p*)  
 $\langle proof \rangle$

**lemma** *sig-red-single-top-lt-rep-list*:  
  **assumes** *sig-red-single sing-reg* (=) *p q f t* **and** *rep-list q*  $\neq 0$   
  **shows** *punit.lt* (*rep-list q*)  $\prec$  *punit.lt* (*rep-list p*)  
 $\langle proof \rangle$

**lemma** *sig-red-single-monom-mult*:  
  **assumes** *sig-red-single sing-reg top-tail p q f t* **and** *c*  $\neq 0$   
  **shows** *sig-red-single sing-reg top-tail* (*monom-mult c s p*) (*monom-mult c s q*) *f*  
(*s + t*)  
 $\langle proof \rangle$

**lemma** *sig-red-single-sing-reg-cases*:  
  *sig-red-single* ( $\preceq_t$ ) *top-tail p q f t* = (*sig-red-single* (=) *top-tail p q f t*  $\vee$  *sig-red-single*  
( $\prec_t$ ) *top-tail p q f t*)  
 $\langle proof \rangle$

**corollary** *sig-red-single-sing-regI*:  
  **assumes** *sig-red-single sing-reg top-tail p q f t*  
  **shows** *sig-red-single* ( $\preceq_t$ ) *top-tail p q f t*  
 $\langle proof \rangle$

**lemma** *sig-red-single-top-tail-cases*:  
  *sig-red-single sing-reg* ( $\preceq$ ) *p q f t* = (*sig-red-single sing-reg* (=) *p q f t*  $\vee$  *sig-red-single*  
*sing-reg* ( $\prec$ ) *p q f t*)  
 $\langle proof \rangle$

**corollary** *sig-red-single-top-tailI*:  
  **assumes** *sig-red-single sing-reg top-tail p q f t*  
  **shows** *sig-red-single sing-reg* ( $\preceq$ ) *p q f t*  
 $\langle proof \rangle$

**lemma** *dgrad-max-set-closed-sig-red-single*:

**assumes** dickson-grading  $d$  **and**  $p \in dgrad\text{-max-set } d$  **and**  $f \in dgrad\text{-max-set } d$   
**and** sig-red-single sing-red top-tail  $p q f t$   
**shows**  $q \in dgrad\text{-max-set } d$   
 $\langle proof \rangle$

**lemma** sig-inv-set-closed-sig-red-single:  
**assumes**  $p \in sig\text{-inv-set}$  **and**  $f \in sig\text{-inv-set}$  **and** sig-red-single sing-red top-tail  
 $p q f t$   
**shows**  $q \in sig\text{-inv-set}$   
 $\langle proof \rangle$

**corollary** dgrad-sig-set-closed-sig-red-single:  
**assumes** dickson-grading  $d$  **and**  $p \in dgrad\text{-sig-set } d$  **and**  $f \in dgrad\text{-sig-set } d$   
**and** sig-red-single sing-red top-tail  $p q f t$   
**shows**  $q \in dgrad\text{-sig-set } d$   
 $\langle proof \rangle$

**lemma** sig-red-regular-lt: sig-red ( $\prec_t$ ) top-tail  $F p q \implies lt q = lt p$   
 $\langle proof \rangle$

**lemma** sig-red-regular-lc: sig-red ( $\prec_t$ ) top-tail  $F p q \implies lc q = lc p$   
 $\langle proof \rangle$

**lemma** sig-red-lt: sig-red sing-reg top-tail  $F p q \implies lt q \preceq_t lt p$   
 $\langle proof \rangle$

**lemma** sig-red-tail-lt-rep-list: sig-red sing-reg ( $\prec$ )  $F p q \implies punit.lt(rep\text{-list } q) = punit.lt(rep\text{-list } p)$   
 $\langle proof \rangle$

**lemma** sig-red-tail-lc-rep-list: sig-red sing-reg ( $\prec$ )  $F p q \implies punit.lc(rep\text{-list } q) = punit.lc(rep\text{-list } p)$   
 $\langle proof \rangle$

**lemma** sig-red-top-lt-rep-list:  
sig-red sing-reg (=)  $F p q \implies rep\text{-list } q \neq 0 \implies punit.lt(rep\text{-list } q) \prec punit.lt(rep\text{-list } p)$   
 $\langle proof \rangle$

**lemma** sig-red-lt-rep-list: sig-red sing-reg top-tail  $F p q \implies punit.lt(rep\text{-list } q) \preceq punit.lt(rep\text{-list } p)$   
 $\langle proof \rangle$

**lemma** sig-red-red: sig-red sing-reg top-tail  $F p q \implies punit.red(rep\text{-list } ` F)$   
 $(rep\text{-list } p) (rep\text{-list } q)$   
 $\langle proof \rangle$

**lemma** sig-red-monom-mult:  
sig-red sing-reg top-tail  $F p q \implies c \neq 0 \implies sig\text{-red sing-reg top-tail } F (monom\text{-mult}$

$c s p) \text{ (monom-mult } c s q)$   
 $\langle proof \rangle$

**lemma** *sig-red-sing-reg-cases*:

*sig-red* ( $\preceq_t$ ) *top-tail*  $F p q = (\text{sig-red } (=) \text{ top-tail } F p q \vee \text{sig-red } (\prec_t) \text{ top-tail } F p q)$   
 $\langle proof \rangle$

**corollary** *sig-red-sing-regI*: *sig-red sing-reg top-tail*  $F p q \implies \text{sig-red } (\preceq_t) \text{ top-tail } F p q$   
 $\langle proof \rangle$

**lemma** *sig-red-top-tail-cases*:

*sig-red sing-reg* ( $\preceq$ )  $F p q = (\text{sig-red sing-reg } (=) F p q \vee \text{sig-red sing-reg } (\prec) F p q)$   
 $\langle proof \rangle$

**corollary** *sig-red-top-tailI*: *sig-red sing-reg top-tail*  $F p q \implies \text{sig-red sing-reg } (\preceq) F p q$   
 $\langle proof \rangle$

**lemma** *sig-red-wf-dgrad-max-set*:

**assumes** *dickson-grading*  $d$  **and**  $F \subseteq \text{dgrad-max-set } d$   
**shows** *wfP* (*sig-red sing-reg top-tail*  $F$ ) $^{-1-1}$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-sig-red*:

**assumes** *dickson-grading*  $d$  **and**  $F \subseteq \text{dgrad-sig-set } d$  **and**  $p \in \text{dgrad-sig-set } d$   
**and** *sig-red sing-red top-tail*  $F p q$   
**shows**  $q \in \text{dgrad-sig-set } d$   
 $\langle proof \rangle$

**lemma** *sig-red-mono*: *sig-red sing-reg top-tail*  $F p q \implies F \subseteq F' \implies \text{sig-red sing-reg top-tail } F' p q$   
 $\langle proof \rangle$

**lemma** *sig-red-Un*:

*sig-red sing-reg top-tail* ( $A \cup B$ )  $p q \longleftrightarrow (\text{sig-red sing-reg top-tail } A p q \vee \text{sig-red sing-reg top-tail } B p q)$   
 $\langle proof \rangle$

**lemma** *sig-red-subset*:

**assumes** *sig-red sing-reg top-tail*  $F p q$  **and** *sing-reg*  $= (\preceq_t) \vee \text{sing-reg} = (\prec_t)$   
**shows** *sig-red sing-reg top-tail*  $\{f \in F. \text{sing-reg } (\text{lt } f) \text{ (lt } p)\} p q$   
 $\langle proof \rangle$

**lemma** *sig-red-regular-rtrancl-lt*:

**assumes** (*sig-red* ( $\prec_t$ ) *top-tail*  $F$ ) $^{**}$   $p q$   
**shows** *lt*  $q = \text{lt } p$

$\langle proof \rangle$

**lemma** *sig-red-regular-rtrancl-lc*:

**assumes**  $(sig\text{-}red (\prec_t) top\text{-}tail F)^{**} p q$   
**shows**  $lc q = lc p$   
 $\langle proof \rangle$

**lemma** *sig-red-rtrancl-lt*:

**assumes**  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} p q$   
**shows**  $lt q \preceq_t lt p$   
 $\langle proof \rangle$

**lemma** *sig-red-tail-rtrancl-lt-rep-list*:

**assumes**  $(sig\text{-}red sing\text{-}reg (\prec) F)^{**} p q$   
**shows**  $punit.lt (rep\text{-}list q) = punit.lt (rep\text{-}list p)$   
 $\langle proof \rangle$

**lemma** *sig-red-tail-rtrancl-lc-rep-list*:

**assumes**  $(sig\text{-}red sing\text{-}reg (\prec) F)^{**} p q$   
**shows**  $punit.lc (rep\text{-}list q) = punit.lc (rep\text{-}list p)$   
 $\langle proof \rangle$

**lemma** *sig-red-rtrancl-lt-rep-list*:

**assumes**  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} p q$   
**shows**  $punit.lt (rep\text{-}list q) \preceq punit.lt (rep\text{-}list p)$   
 $\langle proof \rangle$

**lemma** *sig-red-red-rtrancl*:

**assumes**  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} p q$   
**shows**  $(punit.red (rep\text{-}list 'F))^{**} (rep\text{-}list p) (rep\text{-}list q)$   
 $\langle proof \rangle$

**lemma** *sig-red-rtrancl-monom-mult*:

**assumes**  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} p q$   
**shows**  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} (monom\text{-}mult c s p) (monom\text{-}mult c s q)$   
 $\langle proof \rangle$

**lemma** *sig-red-rtrancl-sing-regI*:  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} p q \implies (sig\text{-}red (\preceq_i) top\text{-}tail F)^{**} p q$   
 $\langle proof \rangle$

**lemma** *sig-red-rtrancl-top-tailII*:  $(sig\text{-}red sing\text{-}reg top\text{-}tail F)^{**} p q \implies (sig\text{-}red sing\text{-}reg (\preceq) F)^{**} p q$   
 $\langle proof \rangle$

**lemma** *dgrad-sig-set-closed-sig-red-rtrancl*:

**assumes** *dickson-grading d* **and**  $F \subseteq dgrad\text{-}sig\text{-}set d$  **and**  $p \in dgrad\text{-}sig\text{-}set d$   
**and**  $(sig\text{-}red sing\text{-}red top\text{-}tail F)^{**} p q$   
**shows**  $q \in dgrad\text{-}sig\text{-}set d$

$\langle proof \rangle$

**lemma** *sig-red-rtrancI-mono*:

**assumes**  $(sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F)^{**}\ p\ q$  **and**  $F \subseteq F'$   
**shows**  $(sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F')^{**}\ p\ q$   
 $\langle proof \rangle$

**lemma** *sig-red-rtrancI-subset*:

**assumes**  $(sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F)^{**}\ p\ q$  **and**  $sing\text{-}reg = (\preceq_t) \vee sing\text{-}reg = (\prec_t)$   
**shows**  $(sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ \{f \in F. sing\text{-}reg (lt f) (lt p)\})^{**}\ p\ q$   
 $\langle proof \rangle$

**lemma** *is-sig-red-is-red*:  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ p \implies punit.is\text{-}red\ (rep\text{-}list\ 'F)\ (rep\text{-}list\ p)$   
 $\langle proof \rangle$

**lemma** *is-sig-red-monom-mult*:

**assumes**  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ p$  **and**  $c \neq 0$   
**shows**  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ (monom\text{-}mult\ c\ s\ p)$   
 $\langle proof \rangle$

**lemma** *is-sig-red-sing-reg-cases*:

$is\text{-}sig\text{-}red\ (\preceq_t)\ top\text{-}tail\ F\ p = (is\text{-}sig\text{-}red\ (=)\ top\text{-}tail\ F\ p \vee is\text{-}sig\text{-}red\ (\prec_t)\ top\text{-}tail\ F\ p)$   
 $\langle proof \rangle$

**corollary** *is-sig-red-sing-regI*:  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ p \implies is\text{-}sig\text{-}red\ (\preceq_t)\ top\text{-}tail\ F\ p$   
 $\langle proof \rangle$

**lemma** *is-sig-red-top-tail-cases*:

$is\text{-}sig\text{-}red\ sing\text{-}reg\ (\preceq)\ F\ p = (is\text{-}sig\text{-}red\ sing\text{-}reg\ (=)\ F\ p \vee is\text{-}sig\text{-}red\ sing\text{-}reg\ (\prec)\ F\ p)$   
 $\langle proof \rangle$

**corollary** *is-sig-red-top-tailI*:  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ p \implies is\text{-}sig\text{-}red\ sing\text{-}reg\ (\preceq)\ F\ p$   
 $\langle proof \rangle$

**lemma** *is-sig-red-singletonI*:

**assumes**  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ r$   
**obtains**  $f$  **where**  $f \in F$  **and**  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ \{f\}\ r$   
 $\langle proof \rangle$

**lemma** *is-sig-red-singletonD*:

**assumes**  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ \{f\}\ r$  **and**  $f \in F$   
**shows**  $is\text{-}sig\text{-}red\ sing\text{-}reg\ top\text{-}tail\ F\ r$   
 $\langle proof \rangle$

```

lemma is-sig-redD1:
  assumes is-sig-red sing-reg top-tail F p
  shows ord-term-lin.is-le-rel sing-reg
  ⟨proof⟩

lemma is-sig-redD2:
  assumes is-sig-red sing-reg top-tail F p
  shows ordered-powerprod-lin.is-le-rel top-tail
  ⟨proof⟩

lemma is-sig-red-addsI:
  assumes f ∈ F and t ∈ keys (rep-list p) and rep-list f ≠ 0 and punit.lt (rep-list f) adds t
    and ord-term-lin.is-le-rel sing-reg and ordered-powerprod-lin.is-le-rel top-tail
    and sing-reg (t ⊕ lt f) (punit.lt (rep-list f) ⊕ lt p) and top-tail t (punit.lt (rep-list p))
  shows is-sig-red sing-reg top-tail F p
  ⟨proof⟩

lemma is-sig-red-addsE:
  assumes is-sig-red sing-reg top-tail F p
  obtains f t where f ∈ F and t ∈ keys (rep-list p) and rep-list f ≠ 0
    and punit.lt (rep-list f) adds t
    and sing-reg (t ⊕ lt f) (punit.lt (rep-list f) ⊕ lt p)
    and top-tail t (punit.lt (rep-list p))
  ⟨proof⟩

lemma is-sig-red-top-addsI:
  assumes f ∈ F and rep-list f ≠ 0 and rep-list p ≠ 0
    and punit.lt (rep-list f) adds punit.lt (rep-list p) and ord-term-lin.is-le-rel sing-reg
    and sing-reg (punit.lt (rep-list p) ⊕ lt f) (punit.lt (rep-list f) ⊕ lt p)
  shows is-sig-red sing-reg (=) F p
  ⟨proof⟩

lemma is-sig-red-top-addsE:
  assumes is-sig-red sing-reg (=) F p
  obtains f where f ∈ F and rep-list f ≠ 0 and rep-list p ≠ 0
    and punit.lt (rep-list f) adds punit.lt (rep-list p)
    and sing-reg (punit.lt (rep-list p) ⊕ lt f) (punit.lt (rep-list f) ⊕ lt p)
  ⟨proof⟩

lemma is-sig-red-top-plusE:
  assumes is-sig-red sing-reg (=) F p and is-sig-red sing-reg (=) F q
    and lt p ⊢t lt (p + q) and lt q ⊢t lt (p + q) and sing-reg = (⊐t) ∨ sing-reg = (⊲t)
  assumes 1: is-sig-red sing-reg (=) F (p + q) ==> thesis
  assumes 2: punit.lt (rep-list p) = punit.lt (rep-list q) ==> punit.lc (rep-list p) +

```

```

punit.lc (rep-list q) = 0  $\implies$  thesis
  shows thesis
  ⟨proof⟩

lemma is-sig-red-singleton-monom-multD:
  assumes is-sig-red sing-reg top-tail {monom-mult c t f} p
  shows is-sig-red sing-reg top-tail {f} p
  ⟨proof⟩

lemma is-sig-red-top-singleton-monom-multI:
  assumes is-sig-red sing-reg (=) {f} p and c ≠ 0
    and t adds punit.lt (rep-list p) – punit.lt (rep-list f)
  shows is-sig-red sing-reg (=) {monom-mult c t f} p
  ⟨proof⟩

lemma is-sig-red-cong':
  assumes is-sig-red sing-reg top-tail F p and lt p = lt q and rep-list p = rep-list
  q
  shows is-sig-red sing-reg top-tail F q
  ⟨proof⟩

lemma is-sig-red-cong:
  lt p = lt q  $\implies$  rep-list p = rep-list q  $\implies$ 
    is-sig-red sing-reg top-tail F p  $\longleftrightarrow$  is-sig-red sing-reg top-tail F q
  ⟨proof⟩

lemma is-sig-red-top-cong:
  assumes is-sig-red sing-reg (=) F p and rep-list q ≠ 0 and lt p = lt q
    and punit.lt (rep-list p) = punit.lt (rep-list q)
  shows is-sig-red sing-reg (=) F q
  ⟨proof⟩

lemma sig-irredE-dgrad-max-set:
  assumes dickson-grading d and F ⊆ dgrad-max-set d
  obtains q where (sig-red sing-reg top-tail F)** p q and ¬ is-sig-red sing-reg
  top-tail F q
  ⟨proof⟩

lemma is-sig-red-mono:
  is-sig-red sing-reg top-tail F p  $\implies$  F ⊆ F'  $\implies$  is-sig-red sing-reg top-tail F' p
  ⟨proof⟩

lemma is-sig-red-Un:
  is-sig-red sing-reg top-tail (A ∪ B) p  $\longleftrightarrow$  (is-sig-red sing-reg top-tail A p ∨
  is-sig-red sing-reg top-tail B p)
  ⟨proof⟩

lemma is-sig-redD-lt:
  assumes is-sig-red (≤t) top-tail {f} p

```

**shows**  $lt f \preceq_t lt p$   
 $\langle proof \rangle$

**lemma** *is-sig-red-regularD-lt*:  
**assumes** *is-sig-red* ( $\prec_t$ ) *top-tail* {f} p  
**shows**  $lt f \prec_t lt p$   
 $\langle proof \rangle$

**lemma** *sig-irred-regular-self*:  $\neg is\text{-}sig\text{-}red (\prec_t) top\text{-}tail \{p\} p$   
 $\langle proof \rangle$

#### 4.2.2 Signature Gröbner Bases

**definition** *sig-red-zero* ::  $('t \Rightarrow 't \Rightarrow \text{bool}) \Rightarrow ('t \Rightarrow_0 'b) \text{ set} \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow \text{bool}$   
**where** *sig-red-zero sing-reg F r*  $\longleftrightarrow (\exists s. (\text{sig-red sing-reg } (\preceq) F)^{**} r s \wedge \text{rep-list } s = 0)$

**definition** *is-sig-GB-in* ::  $('a \Rightarrow \text{nat}) \Rightarrow ('t \Rightarrow_0 'b) \text{ set} \Rightarrow 't \Rightarrow \text{bool}$   
**where** *is-sig-GB-in d G u*  $\longleftrightarrow (\forall r. lt r = u \longrightarrow r \in \text{dgrad-sig-set } d \longrightarrow \text{sig-red-zero } (\preceq_t) G r)$

**definition** *is-sig-GB-upt* ::  $('a \Rightarrow \text{nat}) \Rightarrow ('t \Rightarrow_0 'b) \text{ set} \Rightarrow 't \Rightarrow \text{bool}$   
**where** *is-sig-GB-upt d G u*  $\longleftrightarrow (G \subseteq \text{dgrad-sig-set } d \wedge (\forall v. v \prec_t u \longrightarrow d (\text{pp-of-term } v) \leq \text{dgrad-max } d \longrightarrow \text{component-of-term } v < \text{length } fs \longrightarrow is\text{-sig\text{-}GB-in } d G v))$

**definition** *is-min-sig-GB* ::  $('a \Rightarrow \text{nat}) \Rightarrow ('t \Rightarrow_0 'b) \text{ set} \Rightarrow \text{bool}$   
**where** *is-min-sig-GB d G*  $\longleftrightarrow G \subseteq \text{dgrad-sig-set } d \wedge (\forall u. d (\text{pp-of-term } u) \leq \text{dgrad-max } d \longrightarrow \text{component-of-term } u < \text{length } fs \longrightarrow is\text{-sig\text{-}GB-in } d G u) \wedge (\forall g \in G. \neg is\text{-sig\text{-}red } (\preceq_t) (=) (G - \{g\}) g)$

**definition** *is-syz-sig* ::  $('a \Rightarrow \text{nat}) \Rightarrow 't \Rightarrow \text{bool}$   
**where** *is-syz-sig d u*  $\longleftrightarrow (\exists s \in \text{dgrad-sig-set } d. s \neq 0 \wedge lt s = u \wedge \text{rep-list } s = 0)$

**lemma** *sig-red-zeroI*:  
**assumes** *(sig-red sing-reg ) F*  $^{**} r s$  **and** *rep-list s = 0*  
**shows** *sig-red-zero sing-reg F r*  
 $\langle proof \rangle$

**lemma** *sig-red-zeroE*:  
**assumes** *sig-red-zero sing-reg F r*  
**obtains** *s* **where** *(sig-red sing-reg ) F*  $^{**} r s$  **and** *rep-list s = 0*  
 $\langle proof \rangle$

```

lemma sig-red-zero-monom-mult:
  assumes sig-red-zero sing-reg F r
  shows sig-red-zero sing-reg F (monom-mult c t r)
  ⟨proof⟩

lemma sig-red-zero-sing-regI:
  assumes sig-red-zero sing-reg G p
  shows sig-red-zero ( $\preceq_t$ ) G p
  ⟨proof⟩

lemma sig-red-zero-nonzero:
  assumes sig-red-zero sing-reg F r and rep-list r ≠ 0 and sing-reg = ( $\preceq_t$ ) ∨
  sing-reg = ( $\prec_t$ )
  shows is-sig-red sing-reg (=) F r
  ⟨proof⟩

lemma sig-red-zero-mono: sig-red-zero sing-reg F p  $\implies$  F ⊆ F'  $\implies$  sig-red-zero
sing-reg F' p
  ⟨proof⟩

lemma sig-red-zero-subset:
  assumes sig-red-zero sing-reg F p and sing-reg = ( $\preceq_t$ ) ∨ sing-reg = ( $\prec_t$ )
  shows sig-red-zero sing-reg {f ∈ F. sing-reg (lt f) (lt p)} p
  ⟨proof⟩

lemma sig-red-zero-idealI:
  assumes sig-red-zero sing-reg F p
  shows rep-list p ∈ ideal (rep-list ‘ F)
  ⟨proof⟩

lemma is-sig-GB-inI:
  assumes  $\bigwedge r. \text{lt } r = u \implies r \in \text{dgrad-sig-set } d \implies \text{sig-red-zero } (\preceq_t) G r$ 
  shows is-sig-GB-in d G u
  ⟨proof⟩

lemma is-sig-GB-inD:
  assumes is-sig-GB-in d G u and r ∈ dgrad-sig-set d and lt r = u
  shows sig-red-zero ( $\preceq_t$ ) G r
  ⟨proof⟩

lemma is-sig-GB-inI-triv:
  assumes  $\neg d \text{ (pp-of-term } u) \leq \text{dgrad-max } d \vee \neg \text{component-of-term } u < \text{length } fs$ 
  shows is-sig-GB-in d G u
  ⟨proof⟩

lemma is-sig-GB-in-mono: is-sig-GB-in d G u  $\implies$  G ⊆ G'  $\implies$  is-sig-GB-in d G'
u
  ⟨proof⟩

```

```

lemma is-sig-GB-uptI:
  assumes  $G \subseteq \text{dgrad-sig-set } d$ 
    and  $\bigwedge v. v \prec_t u \implies d(\text{pp-of-term } v) \leq \text{dgrad-max } d \implies \text{component-of-term}$ 
 $v < \text{length } fs \implies$ 
    is-sig-GB-in  $d G v$ 
  shows is-sig-GB-upt  $d G u$ 
  ⟨proof⟩

lemma is-sig-GB-uptD1:
  assumes is-sig-GB-upt  $d G u$ 
  shows  $G \subseteq \text{dgrad-sig-set } d$ 
  ⟨proof⟩

lemma is-sig-GB-uptD2:
  assumes is-sig-GB-upt  $d G u$  and  $v \prec_t u$ 
  shows is-sig-GB-in  $d G v$ 
  ⟨proof⟩

lemma is-sig-GB-uptD3:
  assumes is-sig-GB-upt  $d G u$  and  $r \in \text{dgrad-sig-set } d$  and  $\text{lt } r \prec_t u$ 
  shows sig-red-zero ( $\preceq_t$ )  $G r$ 
  ⟨proof⟩

lemma is-sig-GB-upt-le:
  assumes is-sig-GB-upt  $d G u$  and  $v \preceq_t u$ 
  shows is-sig-GB-upt  $d G v$ 
  ⟨proof⟩

lemma is-sig-GB-upt-mono:
  is-sig-GB-upt  $d G u \implies G \subseteq G' \implies G' \subseteq \text{dgrad-sig-set } d \implies$  is-sig-GB-upt  $d G' u$ 
  ⟨proof⟩

lemma is-sig-GB-upt-is-Groebner-basis:
  assumes dickson-grading  $d$  and hom-grading  $d$  and  $G \subseteq \text{dgrad-sig-set}' j d$ 
    and  $\bigwedge u. \text{component-of-term } u < j \implies$  is-sig-GB-in  $d G u$ 
  shows punit.is-Groebner-basis (rep-list ‘ $G$ )
  ⟨proof⟩

lemma is-sig-GB-is-Groebner-basis:
  assumes dickson-grading  $d$  and hom-grading  $d$  and  $G \subseteq \text{dgrad-max-set } d$  and
 $\bigwedge u. \text{is-sig-GB-in } d G u$ 
  shows punit.is-Groebner-basis (rep-list ‘ $G$ )
  ⟨proof⟩

lemma sig-red-zero-is-red:
  assumes sig-red-zero sing-reg  $F r$  and rep-list  $r \neq 0$ 
  shows is-sig-red sing-reg ( $\preceq$ )  $F r$ 

```

$\langle proof \rangle$

**lemma** *is-sig-red-sing-top-is-red-zero*:

assumes *dickson-grading d* and *is-sig-GB-upt d G u* and  $a \in dgrad-sig-set d$  and  $lt a = u$

and *is-sig-red (=) (=) G a* and  $\neg is-sig-red (\prec_t) (=) G a$

shows *sig-red-zero ( $\preceq_t$ ) G a*

$\langle proof \rangle$

**lemma** *sig-regular-reduced-unique*:

assumes *is-sig-GB-upt d G (lt q)* and  $p \in dgrad-sig-set d$  and  $q \in dgrad-sig-set d$

and  $lt p = lt q$  and  $lc p = lc q$  and  $\neg is-sig-red (\prec_t) (\preceq) G p$  and  $\neg is-sig-red (\prec_t) (\preceq) G q$

shows *rep-list p = rep-list q*

$\langle proof \rangle$

**corollary** *sig-regular-reduced-unique'*:

assumes *is-sig-GB-upt d G (lt q)* and  $p \in dgrad-sig-set d$  and  $q \in dgrad-sig-set d$

and  $lt p = lt q$  and  $\neg is-sig-red (\prec_t) (\preceq) G p$  and  $\neg is-sig-red (\prec_t) (\preceq) G q$

shows *punit.monom-mult (lc q) 0 (rep-list p) = punit.monom-mult (lc p) 0*

$(rep-list q)$

$\langle proof \rangle$

**lemma** *sig-regular-top-reduced-lt-lc-unique*:

assumes *dickson-grading d* and *is-sig-GB-upt d G (lt q)* and  $p \in dgrad-sig-set d$  and  $q \in dgrad-sig-set d$

and  $lt p = lt q$  and  $(p = 0) \longleftrightarrow (q = 0)$  and  $\neg is-sig-red (\prec_t) (=) G p$  and  $\neg is-sig-red (\prec_t) (=) G q$

shows *punit.lt (rep-list p) = punit.lt (rep-list q)  $\wedge$  lc q \* punit.lc (rep-list p) = lc p \* punit.lc (rep-list q)*

$\langle proof \rangle$

**corollary** *sig-regular-top-reduced-lt-unique*:

assumes *dickson-grading d* and *is-sig-GB-upt d G (lt q)* and  $p \in dgrad-sig-set d$

and  $q \in dgrad-sig-set d$  and  $lt p = lt q$  and  $p \neq 0$  and  $q \neq 0$

and  $\neg is-sig-red (\prec_t) (=) G p$  and  $\neg is-sig-red (\prec_t) (=) G q$

shows *punit.lt (rep-list p) = punit.lt (rep-list q)*

$\langle proof \rangle$

**corollary** *sig-regular-top-reduced-lc-unique*:

assumes *dickson-grading d* and *is-sig-GB-upt d G (lt q)* and  $p \in dgrad-sig-set d$  and  $q \in dgrad-sig-set d$

and  $lt p = lt q$  and  $lc p = lc q$  and  $\neg is-sig-red (\prec_t) (=) G p$  and  $\neg is-sig-red (\prec_t) (=) G q$

shows *punit.lc (rep-list p) = punit.lc (rep-list q)*

$\langle proof \rangle$

Minimal signature Gröbner bases are indeed minimal, at least up to sig-lead-pairs:

**lemma** *is-min-sig-GB-minimal*:

assumes *is-min-sig-GB d G and*  $G' \subseteq \text{dgrad-sig-set } d$   
**and**  $\bigwedge u. d(\text{pp-of-term } u) \leq \text{dgrad-max } d \implies \text{component-of-term } u < \text{length } fs \implies \text{is-sig-GB-in } d G' u$   
**and**  $g \in G \text{ and } \text{rep-list } g \neq 0$   
**obtains**  $g'$  **where**  $g' \in G' \text{ and } \text{rep-list } g' \neq 0 \text{ and } \text{lt } g' = \text{lt } g$   
**and**  $\text{punit.lt}(\text{rep-list } g') = \text{punit.lt}(\text{rep-list } g)$   
*(proof)*

**lemma** *sig-red-zero-regularI-adds*:

assumes *dickson-grading d and is-sig-GB-upd d G (lt q)*  
**and**  $p \in \text{dgrad-sig-set } d \text{ and } q \in \text{dgrad-sig-set } d \text{ and } p \neq 0 \text{ and } \text{sig-red-zero } (\prec_t) G p$   
**and**  $\text{lt } p \text{ addst } \text{lt } q$   
**shows** *sig-red-zero*  $(\prec_t) G q$   
*(proof)*

**lemma** *is-syz-sigI*:

assumes  $s \neq 0$  **and**  $\text{lt } s = u \text{ and } s \in \text{dgrad-sig-set } d \text{ and } \text{rep-list } s = 0$   
**shows** *is-syz-sig d u*  
*(proof)*

**lemma** *is-syz-sigE*:

assumes *is-syz-sig d u*  
**obtains**  $r$  **where**  $r \neq 0 \text{ and } \text{lt } r = u \text{ and } r \in \text{dgrad-sig-set } d \text{ and } \text{rep-list } r = 0$   
*(proof)*

**lemma** *is-syz-sig-adds*:

assumes *dickson-grading d and is-syz-sig d u and u addst v*  
**and**  $d(\text{pp-of-term } v) \leq \text{dgrad-max } d$   
**shows** *is-syz-sig d v*  
*(proof)*

**lemma** *szygy-crit*:

assumes *dickson-grading d and is-sig-GB-upd d G u and is-syz-sig d u*  
**and**  $p \in \text{dgrad-sig-set } d \text{ and } \text{lt } p = u$   
**shows** *sig-red-zero*  $(\prec_t) G p$   
*(proof)*

**lemma** *lemma-21*:

assumes *dickson-grading d and is-sig-GB-upd d G (lt p) and p in dgrad-sig-set d and g in G*  
**and**  $\text{rep-list } p \neq 0 \text{ and } \text{rep-list } g \neq 0 \text{ and } \text{lt } g \text{ addst } \text{lt } p$   
**and**  $\text{punit.lt}(\text{rep-list } g) \text{ addst } \text{punit.lt}(\text{rep-list } p)$   
**shows** *is-sig-red*  $(\preceq_t) (=) G p$   
*(proof)*

### 4.2.3 Rewrite Bases

**definition** *is-rewrite-ord* ::  $((t \times (a \Rightarrow_0 b)) \Rightarrow (t \times (a \Rightarrow_0 b)) \Rightarrow \text{bool}) \Rightarrow \text{bool}$

**where** *is-rewrite-ord rword*  $\longleftrightarrow$  (*reflp rword*  $\wedge$  *transp rword*  $\wedge$   $(\forall a b. rword a b \vee rword b a) \wedge$

$$(\forall a b. rword a b \longrightarrow rword b a \longrightarrow \text{fst } a = \text{fst } b) \wedge$$

$$(\forall d G a b. \text{dickson-grading } d \longrightarrow \text{is-sig-GB-upd } d G (lt b) \longrightarrow$$

$$a \in G \longrightarrow b \in G \longrightarrow a \neq 0 \longrightarrow b \neq 0 \longrightarrow lt a$$

*adds<sub>t</sub> lt b*  $\longrightarrow$

$$\neg \text{is-sig-red } (\prec_t) (=) G b \longrightarrow rword (\text{spp-of } a)$$

$$(\text{spp-of } b)))$$

**definition** *is-canon-rewriter* ::  $((t \times (a \Rightarrow_0 b)) \Rightarrow (t \times (a \Rightarrow_0 b)) \Rightarrow \text{bool}) \Rightarrow (t \Rightarrow_0 b) \text{ set} \Rightarrow t \Rightarrow (t \Rightarrow_0 b) \Rightarrow \text{bool}$

**where** *is-canon-rewriter rword A u p*  $\longleftrightarrow$

$$(p \in A \wedge p \neq 0 \wedge lt p \text{ adds}_t u \wedge (\forall a \in A. a \neq 0 \longrightarrow lt a \text{ adds}_t u \longrightarrow rword (\text{spp-of } a) (\text{spp-of } p)))$$

**definition** *is-RB-in* ::  $(a \Rightarrow \text{nat}) \Rightarrow ((t \times (a \Rightarrow_0 b)) \Rightarrow (t \times (a \Rightarrow_0 b)) \Rightarrow \text{bool}) \Rightarrow (t \Rightarrow_0 b) \text{ set} \Rightarrow t \Rightarrow \text{bool}$

**where** *is-RB-in d rword G u*  $\longleftrightarrow$

$$((\exists g. \text{is-canon-rewriter rword } G u g \wedge \neg \text{is-sig-red } (\prec_t) (=) G (monom-mult 1 (pp-of-term u - lp g) g)) \vee$$

$$\text{is-syz-sig } d u)$$

**definition** *is-RB-upd* ::  $(a \Rightarrow \text{nat}) \Rightarrow ((t \times (a \Rightarrow_0 b)) \Rightarrow (t \times (a \Rightarrow_0 b)) \Rightarrow \text{bool}) \Rightarrow (t \Rightarrow_0 b) \text{ set} \Rightarrow t \Rightarrow \text{bool}$

**where** *is-RB-upd d rword G u*  $\longleftrightarrow$

$$(G \subseteq \text{dgrad-sig-set } d \wedge (\forall v. v \prec_t u \longrightarrow d (\text{pp-of-term } v) \leq \text{dgrad-max } d \longrightarrow$$

$$\text{component-of-term } v < \text{length } fs \longrightarrow \text{is-RB-in } d \text{ rword } G v))$$

**lemma** *is-rewrite-ordI*:

**assumes** *reflp rword* **and** *transp rword* **and**  $\bigwedge a b. rword a b \vee rword b a$

**and**  $\bigwedge a b. rword a b \implies rword b a \implies \text{fst } a = \text{fst } b$

**and**  $\bigwedge d G a b. \text{dickson-grading } d \implies \text{is-sig-GB-upd } d G (lt b) \implies a \in G \implies$

$$b \in G \implies a \neq 0 \implies b \neq 0 \implies lt a \text{ adds}_t lt b \implies \neg \text{is-sig-red } (\prec_t) (=) G b$$

$$\implies rword (\text{spp-of } a) (\text{spp-of } b)$$

**shows** *is-rewrite-ord rword*

*{proof}*

**lemma** *is-rewrite-ordD1*: *is-rewrite-ord rword*  $\implies$  *rword a a*

*{proof}*

**lemma** *is-rewrite-ordD2*: *is-rewrite-ord rword*  $\implies$  *rword a b*  $\implies$  *rword b c*  $\implies$  *rword a c*

*{proof}*

```

lemma is-rewrite-ordD3:
  assumes is-rewrite-ord rword
    and rword a b  $\implies$  thesis
    and  $\neg$  rword a b  $\implies$  rword b a  $\implies$  thesis
  shows thesis
  ⟨proof⟩

lemma is-rewrite-ordD4:
  assumes is-rewrite-ord rword and rword a b and rword b a
  shows fst a = fst b
  ⟨proof⟩

lemma is-rewrite-ordD4':
  assumes is-rewrite-ord rword and rword (spp-of a) (spp-of b) and rword (spp-of
b) (spp-of a)
  shows lt a = lt b
  ⟨proof⟩

lemma is-rewrite-ordD5:
  assumes is-rewrite-ord rword and dickson-grading d and is-sig-GB-upd d G (lt
b)
  and a ∈ G and b ∈ G and a ≠ 0 and b ≠ 0 and lt a addst lt b
  and  $\neg$  is-sig-red (prec_t) (=) G b
  shows rword (spp-of a) (spp-of b)
  ⟨proof⟩

lemma is-canonical-rewriterI:
  assumes p ∈ A and p ≠ 0 and lt p addst u
  and  $\bigwedge a. a \in A \implies a \neq 0 \implies lt a addst u \implies rword (spp-of a) (spp-of p)$ 
  shows is-canonical-rewriter rword A u p
  ⟨proof⟩

lemma is-canonical-rewriterD1: is-canonical-rewriter rword A u p  $\implies$  p ∈ A
  ⟨proof⟩

lemma is-canonical-rewriterD2: is-canonical-rewriter rword A u p  $\implies$  p ≠ 0
  ⟨proof⟩

lemma is-canonical-rewriterD3: is-canonical-rewriter rword A u p  $\implies$  lt p addst u
  ⟨proof⟩

lemma is-canonical-rewriterD4:
  is-canonical-rewriter rword A u p  $\implies$  a ∈ A  $\implies$  a ≠ 0  $\implies$  lt a addst u  $\implies$  rword
(spp-of a) (spp-of p)
  ⟨proof⟩

lemmas is-canonical-rewriterD = is-canonical-rewriterD1 is-canonical-rewriterD2 is-canonical-rewriterD3
is-canonical-rewriterD4

```

```

lemma is-rewrite-ord-finite-canon-rewriterE:
  assumes is-rewrite-ord rword and finite A and  $a \in A$  and  $a \neq 0$  and lt a addst u
  obtains p where is-canon-rewriter rword A u p
  (proof)

lemma is-rewrite-ord-canon-rewriterD1:
  assumes is-rewrite-ord rword and is-canon-rewriter rword A u p and is-canon-rewriter rword A v q
    and lt p addst v and lt q addst u
  shows lt p = lt q
  (proof)

corollary is-rewrite-ord-canon-rewriterD2:
  assumes is-rewrite-ord rword and is-canon-rewriter rword A u p and is-canon-rewriter rword A u q
    shows lt p = lt q
  (proof)

lemma is-rewrite-ord-canon-rewriterD3:
  assumes is-rewrite-ord rword and dickson-grading d and is-canon-rewriter rword A u p
    and  $a \in A$  and  $a \neq 0$  and lt a addst u and is-sig-GB-upd d A (lt a)
    and lt p addst lt a and  $\neg \text{is-sig-red}(\prec_t) (=) A a$ 
  shows lt p = lt a
  (proof)

lemma is-RB-inI1:
  assumes is-canon-rewriter rword G u g and  $\neg \text{is-sig-red}(\prec_t) (=) G$  (monom-mult 1 (pp-of-term u - lp g) g)
  shows is-RB-in d rword G u
  (proof)

lemma is-RB-inI2:
  assumes is-syz-sig d u
  shows is-RB-in d rword G u
  (proof)

lemma is-RB-inE:
  assumes is-RB-in d rword G u
    and is-syz-sig d u  $\implies$  thesis
    and  $\bigwedge g. \neg \text{is-syz-sig} d u \implies \text{is-canon-rewriter rword G u g} \implies$ 
       $\neg \text{is-sig-red}(\prec_t) (=) G$  (monom-mult 1 (pp-of-term u - lp g) g)  $\implies$ 
  shows thesis
  (proof)

lemma is-RB-inD:

```

**assumes** *dickson-grading d and*  $G \subseteq \text{dgrad-sig-set } d$  **and** *is-RB-in d rword G u*  
**and**  $\neg \text{is-syz-sig } d u$  **and**  $d (\text{pp-of-term } u) \leq \text{dgrad-max } d$   
**and** *is-canonical-rewriter rword G u g*  
**shows** *rep-list g ≠ 0*

*{proof}*

**lemma** *is-RB-upI*:

**assumes**  $G \subseteq \text{dgrad-sig-set } d$   
**and**  $\bigwedge v. v \prec_t u \implies d (\text{pp-of-term } v) \leq \text{dgrad-max } d \implies \text{component-of-term } v < \text{length } fs \implies$   
*is-RB-in d canon G v*  
**shows** *is-RB-upI d canon G u*

*{proof}*

**lemma** *is-RB-upD1*:

**assumes** *is-RB-upI d canon G u*  
**shows**  $G \subseteq \text{dgrad-sig-set } d$

*{proof}*

**lemma** *is-RB-upD2*:

**assumes** *is-RB-upI d canon G u and*  $v \prec_t u$  **and**  $d (\text{pp-of-term } v) \leq \text{dgrad-max } d$   
**and** *component-of-term v < length fs*  
**shows** *is-RB-in d canon G v*

*{proof}*

**lemma** *is-RB-in-UnI*:

**assumes** *is-RB-in d rword G u and*  $\bigwedge h. h \in H \implies u \prec_t lt h$   
**shows** *is-RB-in d rword (H ∪ G) u*

*{proof}*

**corollary** *is-RB-in-insertI*:

**assumes** *is-RB-in d rword G u and*  $u \prec_t lt g$   
**shows** *is-RB-in d rword (insert g G) u*

*{proof}*

**corollary** *is-RB-upI-UnI*:

**assumes** *is-RB-upI d rword G u and*  $H \subseteq \text{dgrad-sig-set } d$  **and**  $\bigwedge h. h \in H \implies$   
 $u \preceq_t lt h$   
**shows** *is-RB-upI d rword (H ∪ G) u*

*{proof}*

**corollary** *is-RB-upI-insertI*:

**assumes** *is-RB-upI d rword G u and*  $g \in \text{dgrad-sig-set } d$  **and**  $u \preceq_t lt g$   
**shows** *is-RB-upI d rword (insert g G) u*

*{proof}*

**lemma** *is-RB-upI-is-sig-GB-upI*:

**assumes** *dickson-grading d and* *is-RB-upI d rword G u*

**shows** *is-sig-GB-up<sub>t</sub>* *d G u*  
*(proof)*

**corollary** *is-RB-up<sub>t</sub>-is-syz-sigD*:  
**assumes** *dickson-grading d* **and** *is-RB-up<sub>t</sub> d rword G u*  
**and** *is-syz-sig d u* **and** *p ∈ dgrad-sig-set d* **and** *lt p = u*  
**shows** *sig-red-zero (≾<sub>t</sub>) G p*  
*(proof)*

#### 4.2.4 S-Pairs

**definition** *spair* ::  $('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b)$   
**where** *spair p q = (let t1 = punit.lt (rep-list p); t2 = punit.lt (rep-list q); l = lcs t1 t2 in*  
 $(\text{monom-mult} (1 / \text{punit.lc} (\text{rep-list } p)) (l - t1) p) -$   
 $(\text{monom-mult} (1 / \text{punit.lc} (\text{rep-list } q)) (l - t2) q))$

**definition** *is-regular-spair* ::  $('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow \text{bool}$   
**where** *is-regular-spair p q ↔*  
 $(\text{rep-list } p \neq 0 \wedge \text{rep-list } q \neq 0 \wedge$   
 $(\text{let t1 = punit.lt (rep-list p); t2 = punit.lt (rep-list q); l = lcs t1 t2 in}$   
 $(l - t1) \oplus \text{lt } p \neq (l - t2) \oplus \text{lt } q))$

**lemma** *rep-list-spair*: *rep-list (spair p q) = punit.spoly (rep-list p) (rep-list q)*  
*(proof)*

**lemma** *spair-comm*: *spair p q = - spair q p*  
*(proof)*

**lemma** *dgrad-sig-set-closed-spair*:  
**assumes** *dickson-grading d* **and** *p ∈ dgrad-sig-set d* **and** *q ∈ dgrad-sig-set d*  
**shows** *spair p q ∈ dgrad-sig-set d*  
*(proof)*

**lemma** *lt-spair*:  
**assumes** *rep-list p ≠ 0* **and** *punit.lt (rep-list p) ⊕ lt q ≾<sub>t</sub> punit.lt (rep-list q) ⊕ lt p*  
**shows** *lt (spair p q) = (lcs (punit.lt (rep-list p)) (punit.lt (rep-list q)) - punit.lt (rep-list p)) ⊕ lt p*  
*(proof)*

**lemma** *lt-spair'*:  
**assumes** *rep-list p ≠ 0* **and** *a + punit.lt (rep-list p) = b + punit.lt (rep-list q)*  
**and** *b ⊕ lt q ≾<sub>t</sub> a ⊕ lt p*  
**shows** *lt (spair p q) = (a - gcs a b) ⊕ lt p*  
*(proof)*

**lemma** *lt-rep-list-spair*:

```

assumes rep-list p ≠ 0 and rep-list q ≠ 0 and rep-list (spair p q) ≠ 0
and a + punit.lt (rep-list p) = b + punit.lt (rep-list q)
shows punit.lt (rep-list (spair p q)) ≺ (a - gcs a b) + punit.lt (rep-list p)
⟨proof⟩

```

```

lemma is-regular-spair-sym: is-regular-spair p q ⇒ is-regular-spair q p
⟨proof⟩

```

```

lemma is-regular-spairI:
assumes rep-list p ≠ 0 and rep-list q ≠ 0
and punit.lt (rep-list q) ⊕ lt p ≠ punit.lt (rep-list p) ⊕ lt q
shows is-regular-spair p q
⟨proof⟩

```

```

lemma is-regular-spairI':
assumes rep-list p ≠ 0 and rep-list q ≠ 0
and a + punit.lt (rep-list p) = b + punit.lt (rep-list q) and a ⊕ lt p ≠ b ⊕ lt q
shows is-regular-spair p q
⟨proof⟩

```

```

lemma is-regular-spairD1: is-regular-spair p q ⇒ rep-list p ≠ 0
⟨proof⟩

```

```

lemma is-regular-spairD2: is-regular-spair p q ⇒ rep-list q ≠ 0
⟨proof⟩

```

```

lemma is-regular-spairD3:
fixes p q
defines t1 ≡ punit.lt (rep-list p)
defines t2 ≡ punit.lt (rep-list q)
assumes is-regular-spair p q
shows t2 ⊕ lt p ≠ t1 ⊕ lt q (is ?thesis1)
and lt (monom-mult (1 / punit.lc (rep-list p)) (lcs t1 t2 - t1) p) ≠
lt (monom-mult (1 / punit.lc (rep-list q)) (lcs t1 t2 - t2) q) (is ?l ≠ ?r)
⟨proof⟩

```

```

lemma is-regular-spair nonzero: is-regular-spair p q ⇒ spair p q ≠ 0
⟨proof⟩

```

```

lemma is-regular-spair-lt:
assumes is-regular-spair p q
shows lt (spair p q) = ord-term-lin.max
((lcs (punit.lt (rep-list p)) (punit.lt (rep-list q)) - punit.lt (rep-list p)))
⊕ lt p)
((lcs (punit.lt (rep-list p)) (punit.lt (rep-list q)) - punit.lt (rep-list q)))
⊕ lt q)
⟨proof⟩

```

```

lemma is-regular-spair-lt-ge-1:

```

**assumes** *is-regular-spair p q*  
**shows**  $\text{lt } p \preceq_t \text{lt } (\text{spair } p \ q)$   
 $\langle \text{proof} \rangle$

**corollary** *is-regular-spair-lt-ge-2*:  
**assumes** *is-regular-spair p q*  
**shows**  $\text{lt } q \preceq_t \text{lt } (\text{spair } p \ q)$   
 $\langle \text{proof} \rangle$

**lemma** *is-regular-spair-component-lt-cases*:  
**assumes** *is-regular-spair p q*  
**shows**  $\text{component-of-term } (\text{lt } (\text{spair } p \ q)) = \text{component-of-term } (\text{lt } p) \vee$   
 $\text{component-of-term } (\text{lt } (\text{spair } p \ q)) = \text{component-of-term } (\text{lt } q)$   
 $\langle \text{proof} \rangle$

**lemma** *lemma-9*:  
**assumes** *dickson-grading d and is-rewrite-ord rword and is-RB-upd d rword G u*  
**and** *inj-on lt G and  $\neg$  is-syz-sig d u and is-canonical-rewriter rword G u g1 and*  
 $h \in G$   
**and** *is-sig-red ( $\prec_t$ ) (=) {h} (monom-mult 1 (pp-of-term u - lp g1) g1)*  
**and** *d (pp-of-term u)  $\leq$  dgrad-max d*  
**shows**  $\text{lcs } (\text{punit.lt } (\text{rep-list } g1)) (\text{punit.lt } (\text{rep-list } h)) - \text{punit.lt } (\text{rep-list } g1) =$   
 $\text{pp-of-term } u - \text{lp } g1 \text{ (is ?thesis1)}$   
**and**  $\text{lcs } (\text{punit.lt } (\text{rep-list } g1)) (\text{punit.lt } (\text{rep-list } h)) - \text{punit.lt } (\text{rep-list } h) =$   
 $((\text{pp-of-term } u - \text{lp } g1) + \text{punit.lt } (\text{rep-list } g1)) - \text{punit.lt } (\text{rep-list } h)$   
**(is ?thesis2)**  
**and** *is-regular-spair g1 h (is ?thesis3)*  
**and** *lt (spair g1 h) = u (is ?thesis4)*  
 $\langle \text{proof} \rangle$

**lemma** *is-RB-upd-finite*:  
**assumes** *dickson-grading d and is-rewrite-ord rword and G  $\subseteq$  dgrad-sig-set d*  
**and** *inj-on lt G*  
**and** *finite G*  
**and**  $\bigwedge g1 \ g2. \ g1 \in G \implies g2 \in G \implies \text{is-regular-spair } g1 \ g2 \implies \text{lt } (\text{spair } g1 \ g2) \prec_t u \implies$   
*is-RB-in d rword G (lt (spair g1 g2))*  
**and**  $\bigwedge i. \ i < \text{length } fs \implies \text{term-of-pair } (0, i) \prec_t u \implies \text{is-RB-in } d \text{ rword } G$   
 $(\text{term-of-pair } (0, i))$   
**shows** *is-RB-upd d rword G u*  
 $\langle \text{proof} \rangle$

Note that the following lemma actually holds for *all* regularly reducible power-products in *rep-list p*, not just for the leading power-product.

**lemma** *lemma-11*:  
**assumes** *dickson-grading d and is-rewrite-ord rword and is-RB-upd d rword G (lt p)*  
**and** *p  $\in$  dgrad-sig-set d and is-sig-red ( $\prec_t$ ) (=) G p*

**obtains**  $u g$  **where**  $u \prec_t lt p$  **and**  $d (\text{pp-of-term } u) \leq d\text{grad-max } d$  **and**  $\text{component-of-term } u < \text{length } fs$   
**and**  $\neg \text{is-syz-sig } d u$  **and**  $\text{is-canonical-rewriter } rword G u g$   
**and**  $u = (punit.lt (\text{rep-list } p) - punit.lt (\text{rep-list } g)) \oplus lt g$  **and**  $\text{is-sig-red } (\prec_t)$   
 $(=) \{g\} p$   
 $\langle proof \rangle$

#### 4.2.5 Termination

**definition**  $\text{term-pp-rel} :: ('t \Rightarrow 't \Rightarrow \text{bool}) \Rightarrow ('t \times 'a) \Rightarrow ('t \times 'a) \Rightarrow \text{bool}$   
**where**  $\text{term-pp-rel } r a b \longleftrightarrow r (\text{snd } b \oplus \text{fst } a) (\text{snd } a \oplus \text{fst } b)$

**definition**  $\text{canon-term-pp-pair} :: ('t \times 'a) \Rightarrow \text{bool}$   
**where**  $\text{canon-term-pp-pair } a \longleftrightarrow (\text{gcs } (\text{pp-of-term } (\text{fst } a)) (\text{snd } a) = 0)$

**definition**  $\text{cancel-term-pp-pair} :: ('t \times 'a) \Rightarrow ('t \times 'a)$   
**where**  $\text{cancel-term-pp-pair } a = (\text{fst } a \ominus (\text{gcs } (\text{pp-of-term } (\text{fst } a)) (\text{snd } a)), \text{snd } a - (\text{gcs } (\text{pp-of-term } (\text{fst } a)) (\text{snd } a)))$

**lemma**  $\text{term-pp-rel-refl}: \text{reflp } r \implies \text{term-pp-rel } r a a$   
 $\langle proof \rangle$

**lemma**  $\text{term-pp-rel-irrefl}: \text{irreflp } r \implies \neg \text{term-pp-rel } r a a$   
 $\langle proof \rangle$

**lemma**  $\text{term-pp-rel-sym}: \text{symp } r \implies \text{term-pp-rel } r a b \implies \text{term-pp-rel } r b a$   
 $\langle proof \rangle$

**lemma**  $\text{term-pp-rel-trans}:$   
**assumes**  $\text{ord-term-lin.is-le-rel } r$  **and**  $\text{term-pp-rel } r a b$  **and**  $\text{term-pp-rel } r b c$   
**shows**  $\text{term-pp-rel } r a c$   
 $\langle proof \rangle$

**lemma**  $\text{term-pp-rel-trans-eq-left}:$   
**assumes**  $\text{ord-term-lin.is-le-rel } r$  **and**  $\text{term-pp-rel } (=) a b$  **and**  $\text{term-pp-rel } r b c$   
**shows**  $\text{term-pp-rel } r a c$   
 $\langle proof \rangle$

**lemma**  $\text{term-pp-rel-trans-eq-right}:$   
**assumes**  $\text{ord-term-lin.is-le-rel } r$  **and**  $\text{term-pp-rel } r a b$  **and**  $\text{term-pp-rel } (=) b c$   
**shows**  $\text{term-pp-rel } r a c$   
 $\langle proof \rangle$

**lemma**  $\text{canon-term-pp-cancel}: \text{canon-term-pp-pair } (\text{cancel-term-pp-pair } a)$   
 $\langle proof \rangle$

**lemma**  $\text{term-pp-rel-cancel}:$   
**assumes**  $\text{reflp } r$   
**shows**  $\text{term-pp-rel } r a (\text{cancel-term-pp-pair } a)$

$\langle proof \rangle$

**lemma** canon-term-pp-rel-id:

**assumes** term-pp-rel (=) a b **and** canon-term-pp-pair a **and** canon-term-pp-pair b  
  **shows** a = b

$\langle proof \rangle$

**lemma** min-set-finite:

**fixes** seq :: nat  $\Rightarrow$  ('t  $\Rightarrow_0$  'b::field)  
  **assumes** dickson-grading d **and** range seq  $\subseteq$  dgrad-sig-set d **and** 0  $\notin$  rep-list '  
range seq  
  **and**  $\bigwedge i. i < j \implies lt(\text{seq } i) \prec_t lt(\text{seq } j)$   
  **shows** finite {i.  $\neg (\exists j < i. lt(\text{seq } j) \text{ addst } lt(\text{seq } i)) \wedge$   
                  punit.lt(rep-list(seq j)) adds punit.lt(rep-list(seq i)))}

$\langle proof \rangle$

**lemma** rb-termination:

**fixes** seq :: nat  $\Rightarrow$  ('t  $\Rightarrow_0$  'b::field)  
  **assumes** dickson-grading d **and** range seq  $\subseteq$  dgrad-sig-set d **and** 0  $\notin$  rep-list '  
range seq  
  **and**  $\bigwedge i. i < j \implies lt(\text{seq } i) \prec_t lt(\text{seq } j)$   
  **and**  $\bigwedge i. \neg \text{is-sig-red}(\prec_t)(\preceq)(\text{seq } ' \{0..<i\})(\text{seq } i)$   
  **and**  $\bigwedge i. (\exists j < \text{length } fs. lt(\text{seq } i) = lt(\text{monomial}(1:'b)(\text{term-of-pair}(0, j))))$   
 $\wedge$   
                  punit.lt(rep-list(seq i))  $\preceq$  punit.lt(rep-list(monomial 1(term-of-pair(0, j))))  $\vee$   
                   $(\exists j k. \text{is-regular-spair}(\text{seq } j)(\text{seq } k) \wedge \text{rep-list}(\text{spair}(\text{seq } j)(\text{seq } k)) \neq 0 \wedge$   
                     $lt(\text{seq } i) = lt(\text{spair}(\text{seq } j)(\text{seq } k)) \wedge$   
                    punit.lt(rep-list(seq i))  $\preceq$  punit.lt(rep-list(spair(seq j)(seq k)))  
  **and**  $\bigwedge i. \text{is-sig-GB-upt } d(\text{seq } ' \{0..<i\})(lt(\text{seq } i))$   
  **shows** thesis

$\langle proof \rangle$

#### 4.2.6 Concrete Rewrite Orders

**definition** is-strict-rewrite-ord :: (('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\Rightarrow$  ('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\Rightarrow$  bool)  
 $\Rightarrow$  bool  
**where** is-strict-rewrite-ord rel  $\longleftrightarrow$  is-rewrite-ord ( $\lambda x y. \neg \text{rel } y x$ )

**lemma** is-strict-rewrite-ordI: is-rewrite-ord ( $\lambda x y. \neg \text{rel } y x$ )  $\implies$  is-strict-rewrite-ord rel  
 $\langle proof \rangle$

**lemma** is-strict-rewrite-ordD: is-strict-rewrite-ord rel  $\implies$  is-rewrite-ord ( $\lambda x y. \neg \text{rel } y x$ )  
 $\langle proof \rangle$

```

lemma is-strict-rewrite-ord-antisym:
  assumes is-strict-rewrite-ord rel and  $\neg \text{rel } x y$  and  $\neg \text{rel } y x$ 
  shows  $\text{fst } x = \text{fst } y$ 
   $\langle \text{proof} \rangle$ 

lemma is-strict-rewrite-ord-asym:
  assumes is-strict-rewrite-ord rel and  $\text{rel } x y$ 
  shows  $\neg \text{rel } y x$ 
   $\langle \text{proof} \rangle$ 

lemma is-strict-rewrite-ord-irrefl: is-strict-rewrite-ord rel  $\implies \neg \text{rel } x x$ 
   $\langle \text{proof} \rangle$ 

definition rw-rat ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow \text{bool}$ 
  where rw-rat p q  $\longleftrightarrow (\text{let } u = \text{punit.lt } (\text{snd } q) \oplus \text{fst } p; v = \text{punit.lt } (\text{snd } p) \oplus \text{fst } q \text{ in}$ 
     $u \prec_t v \vee (u = v \wedge \text{fst } p \preceq_t \text{fst } q)$ 

definition rw-rat-strict ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow \text{bool}$ 
  where rw-rat-strict p q  $\longleftrightarrow (\text{let } u = \text{punit.lt } (\text{snd } q) \oplus \text{fst } p; v = \text{punit.lt } (\text{snd } p) \oplus \text{fst } q \text{ in}$ 
     $u \prec_t v \vee (u = v \wedge \text{fst } p \prec_t \text{fst } q)$ 

definition rw-add ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow \text{bool}$ 
  where rw-add p q  $\longleftrightarrow (\text{fst } p \preceq_t \text{fst } q)$ 

definition rw-add-strict ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow \text{bool}$ 
  where rw-add-strict p q  $\longleftrightarrow (\text{fst } p \prec_t \text{fst } q)$ 

lemma rw-rat-alt:  $\text{rw-rat} = (\lambda p q. \neg \text{rw-rat-strict } q p)$ 
   $\langle \text{proof} \rangle$ 

lemma rw-rat-is-rewrite-ord: is-rewrite-ord rw-rat
   $\langle \text{proof} \rangle$ 

lemma rw-rat-strict-is-strict-rewrite-ord: is-strict-rewrite-ord rw-rat-strict
   $\langle \text{proof} \rangle$ 

lemma rw-add-alt:  $\text{rw-add} = (\lambda p q. \neg \text{rw-add-strict } q p)$ 
   $\langle \text{proof} \rangle$ 

lemma rw-add-is-rewrite-ord: is-rewrite-ord rw-add
   $\langle \text{proof} \rangle$ 

lemma rw-add-strict-is-strict-rewrite-ord: is-strict-rewrite-ord rw-add-strict
   $\langle \text{proof} \rangle$ 

```

#### 4.2.7 Preparations for Sig-Poly-Pairs

**context**

**fixes**  $dgrad :: 'a \Rightarrow nat$

**begin**

**definition**  $spp\text{-}rel :: ('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow bool$

**where**  $spp\text{-}rel sp r \longleftrightarrow (r \neq 0 \wedge r \in dgrad\text{-}sig\text{-}set dgrad \wedge lt r = fst sp \wedge rep\text{-}list r = snd sp)$

**definition**  $spp\text{-}inv :: ('t \times ('a \Rightarrow_0 'b)) \Rightarrow bool$

**where**  $spp\text{-}inv sp \longleftrightarrow Ex (spp\text{-}rel sp)$

**definition**  $vec\text{-}of :: ('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b)$

**where**  $vec\text{-}of sp = (if spp\text{-}inv sp then Eps (spp\text{-}rel sp) else 0)$

**lemma**  $spp\text{-}inv}\text{-}spp\text{-}of:$

**assumes**  $r \neq 0$  **and**  $r \in dgrad\text{-}sig\text{-}set dgrad$

**shows**  $spp\text{-}inv (spp\text{-}of r)$

$\langle proof \rangle$

**context**

**fixes**  $sp :: 't \times ('a \Rightarrow_0 'b)$

**assumes**  $spi: spp\text{-}inv sp$

**begin**

**lemma**  $sig\text{-}poly\text{-}rel\text{-}vec\text{-}of: spp\text{-}rel sp (vec\text{-}of sp)$

$\langle proof \rangle$

**lemma**  $vec\text{-}of\text{-}nonzero: vec\text{-}of sp \neq 0$

$\langle proof \rangle$

**lemma**  $lt\text{-}vec\text{-}of: lt (vec\text{-}of sp) = fst sp$

$\langle proof \rangle$

**lemma**  $rep\text{-}list\text{-}vec\text{-}of: rep\text{-}list (vec\text{-}of sp) = snd sp$

$\langle proof \rangle$

**lemma**  $spp\text{-}of\text{-}vec\text{-}of: spp\text{-}of (vec\text{-}of sp) = sp$

$\langle proof \rangle$

**end**

**lemma**  $map\text{-}spp\text{-}of\text{-}vec\text{-}of:$

**assumes**  $list\text{-}all spp\text{-}inv sps$

**shows**  $map (spp\text{-}of \circ vec\text{-}of) sps = sps$

$\langle proof \rangle$

**lemma**  $vec\text{-}of\text{-}dgrad\text{-}sig\text{-}set: vec\text{-}of sp \in dgrad\text{-}sig\text{-}set dgrad$

$\langle proof \rangle$

```

lemma spp-invD-fst:
  assumes spp-inv sp
  shows dgrad (pp-of-term (fst sp)) ≤ dgrad-max dgrad and component-of-term
  (fst sp) < length fs
  ⟨proof⟩

lemma spp-invD-snd:
  assumes dickson-grading dgrad and spp-inv sp
  shows snd sp ∈ punit-dgrad-max-set dgrad
  ⟨proof⟩

lemma vec-of-inj:
  assumes spp-inv sp and vec-of sp = vec-of sp'
  shows sp = sp'
  ⟨proof⟩

lemma spp-inv-alt: spp-inv sp  $\longleftrightarrow$  (vec-of sp ≠ 0)
⟨proof⟩

lemma spp-of-vec-of-spp-of:
  assumes p ∈ dgrad-sig-set dgrad
  shows spp-of (vec-of (spp-of p)) = spp-of p
  ⟨proof⟩

```

#### 4.2.8 Total Reduction

```

primrec find-sig-reducer :: ('t × ('a ⇒₀ 'b)) list ⇒ 't ⇒ 'a ⇒ nat ⇒ nat option
where
  find-sig-reducer [] - - - = None|
  find-sig-reducer (b # bs) u t i =
    (if snd b ≠ 0 ∧ punit.lt (snd b) adds t ∧ (t - punit.lt (snd b)) ⊕ fst b ≺ₜ
     u then Some i
     else find-sig-reducer bs u t (Suc i))

lemma find-sig-reducer-SomeD-aux:
  assumes find-sig-reducer bs u t i = Some j
  shows i ≤ j and j - i < length bs
  ⟨proof⟩

lemma find-sig-reducer-SomeD':
  assumes find-sig-reducer bs u t i = Some j and b = bs ! (j - i)
  shows b ∈ set bs and snd b ≠ 0 and punit.lt (snd b) adds t and (t - punit.lt
  (snd b)) ⊕ fst b ≺ₜ u
  ⟨proof⟩

corollary find-sig-reducer-SomeD:
  assumes find-sig-reducer (map spp-of bs) u t 0 = Some i
  shows i < length bs and rep-list (bs ! i) ≠ 0 and punit.lt (rep-list (bs ! i)) adds

```

$t$   
**and**  $(t - punit.lt (rep-list (bs ! i))) \oplus lt (bs ! i) \prec_t u$   
 $\langle proof \rangle$

**lemma** *find-sig-reducer-NoneE*:  
**assumes** *find-sig-reducer bs u t i = None* **and**  $b \in set bs$   
**assumes**  $snd b = 0 \implies thesis$  **and**  $snd b \neq 0 \implies \neg punit.lt (snd b) adds t \implies thesis$   
**and**  $snd b \neq 0 \implies punit.lt (snd b) adds t \implies \neg (t - punit.lt (snd b)) \oplus fst b$   
 $\prec_t u \implies thesis$   
**shows** *thesis*  
 $\langle proof \rangle$

**lemma** *find-sig-reducer-SomeD-red-single*:  
**assumes**  $t \in keys (rep-list p)$  **and** *find-sig-reducer (map spp-of bs) (lt p) t 0 = Some i*  
**shows** *sig-red-single ( $\prec_t$ ) ( $\preceq$ ) p (p - monom-mult (lookup (rep-list p) t / punit.lc (rep-list (bs ! i))))*  
 $(t - punit.lt (rep-list (bs ! i))) (bs ! i) (bs ! i) (t - punit.lt (rep-list (bs ! i)))$   
 $\langle proof \rangle$

**corollary** *find-sig-reducer-SomeD-red*:  
**assumes**  $t \in keys (rep-list p)$  **and** *find-sig-reducer (map spp-of bs) (lt p) t 0 = Some i*  
**shows** *sig-red ( $\prec_t$ ) ( $\preceq$ ) (set bs) p (p - monom-mult (lookup (rep-list p) t / punit.lc (rep-list (bs ! i))))*  
 $(t - punit.lt (rep-list (bs ! i))) (bs ! i)$   
 $\langle proof \rangle$

**context**  
**fixes**  $bs :: ('t \Rightarrow_0 'b) list$   
**begin**

**definition** *sig-trd-term* ::  $('a \Rightarrow nat) \Rightarrow (('a \times ('t \Rightarrow_0 'b)) \times ('a \times ('t \Rightarrow_0 'b)))$   
**set**  
**where**  $sig-trd-term d = \{(x, y). punit.dgrad-p-set-le d \{rep-list (snd x)\}$   
 $(insert (rep-list (snd y)) (rep-list 'set bs)) \wedge$   
 $fst x \in keys (rep-list (snd x)) \wedge fst y \in keys (rep-list (snd y)) \wedge$   
 $fst x \prec fst y\}$

**lemma** *sig-trd-term-wf*:  
**assumes** *dickson-grading d*  
**shows** *wf (sig-trd-term d)*  
 $\langle proof \rangle$

**function** (*domintros*) *sig-trd-aux* ::  $('a \times ('t \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b)$  **where**  
 $sig-trd-aux (t, p) =$

```

(let p' =
  (case find-sig-reducer (map spp-of bs) (lt p) t 0 of
    None ⇒ p
    | Some i ⇒ p - monom-mult (lookup (rep-list p) t / punit.lc (rep-list (bs !
      i)))
      (t - punit.lt (rep-list (bs ! i))) (bs ! i));
  p'' = punit.lower (rep-list p') t in
  if p'' = 0 then p' else sig-trd-aux (punit.lt p'', p'))
⟨proof⟩

lemma sig-trd-aux-domI:
  assumes fst args0 ∈ keys (rep-list (snd args0))
  shows sig-trd-aux-dom args0
⟨proof⟩

definition sig-trd :: ('t ⇒₀ 'b) ⇒ ('t ⇒₀ 'b)
  where sig-trd p = (if rep-list p = 0 then p else sig-trd-aux (punit.lt (rep-list p),
  p))

lemma sig-trd-aux-red-rtrancI:
  assumes fst args0 ∈ keys (rep-list (snd args0))
  shows (sig-red (≺_t) (≤) (set bs))** (snd args0) (sig-trd-aux args0)
⟨proof⟩

corollary sig-trd-red-rtrancI: (sig-red (≺_t) (≤) (set bs))** p (sig-trd p)
⟨proof⟩

lemma sig-trd-aux-irred:
  assumes fst args0 ∈ keys (rep-list (snd args0))
  and ∧ b. b ∈ set bs ⇒ rep-list b ≠ 0 ⇒ fst args0 ≺ s + punit.lt (rep-list
  b) ⇒
    s ⊕ lt b ≺_t lt (snd (args0)) ⇒ lookup (rep-list (snd args0)) (s +
  punit.lt (rep-list b)) = 0
  shows ¬ is-sig-red (≺_t) (≤) (set bs) (sig-trd-aux args0)
⟨proof⟩

corollary sig-trd-irred: ¬ is-sig-red (≺_t) (≤) (set bs) (sig-trd p)
⟨proof⟩

end

context
  fixes bs :: ('t × ('a ⇒₀ 'b)) list
begin

context
  fixes v :: 't
begin

```

```

fun sig-trd-spp-body :: (('a  $\Rightarrow_0$  'b)  $\times$  ('a  $\Rightarrow_0$  'b))  $\Rightarrow$  (('a  $\Rightarrow_0$  'b)  $\times$  ('a  $\Rightarrow_0$  'b))
where
  sig-trd-spp-body (p, r) =
    (case find-sig-reducer bs v (punit.lt p) 0 of
      None  $\Rightarrow$  (punit.tail p, r + monomial (punit.lc p) (punit.lt p))
      | Some i  $\Rightarrow$  let b = snd (bs ! i) in
        (punit.tail p - punit.monom-mult (punit.lc p / punit.lc b) (punit.lt p - punit.lt b) (punit.tail b), r))

definition sig-trd-spp-aux :: (('a  $\Rightarrow_0$  'b)  $\times$  ('a  $\Rightarrow_0$  'b))  $\Rightarrow$  ('a  $\Rightarrow_0$  'b)
  where sig-trd-spp-aux-def [code del]: sig-trd-spp-aux = tailrec.fun ( $\lambda x.$  fst x = 0) snd sig-trd-spp-body

lemma sig-trd-spp-aux-simps [code]:
  sig-trd-spp-aux (p, r) = (if p = 0 then r else sig-trd-spp-aux (sig-trd-spp-body (p, r)))
  ⟨proof⟩

end

fun sig-trd-spp :: ('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\Rightarrow$  ('t  $\times$  ('a  $\Rightarrow_0$  'b)) where
  sig-trd-spp (v, p) = (v, sig-trd-spp-aux v (p, 0))

```

We define function *sig-trd-spp*, operating on sig-poly-pairs, already here, to have its definition in the right context. Lemmas are proved about it below in Section *Sig-Poly-Pairs*.

**end**

#### 4.2.9 Koszul Syzygies

A *Koszul syzygy* of the list *fs* of scalar polynomials is a syzygy of the form *fs* ! *i*  $\odot$  monomial 1 (term-of-pair (0, *j*)) – *fs* ! *j*  $\odot$  monomial 1 (term-of-pair (0, *i*)), for *i* < *j* and *j* < length *fs*.

```

primrec Koszul-syz-sigs-aux :: ('a  $\Rightarrow_0$  'b) list  $\Rightarrow$  nat  $\Rightarrow$  't list where
  Koszul-syz-sigs-aux [] i = []
  Koszul-syz-sigs-aux (b # bs) i =
    map-idx ( $\lambda b' j.$  ord-term-lin.max (term-of-pair (punit.lt b, j)) (term-of-pair (punit.lt b', i))) bs (Suc i) @
    Koszul-syz-sigs-aux bs (Suc i)

definition Koszul-syz-sigs :: ('a  $\Rightarrow_0$  'b) list  $\Rightarrow$  't list
  where Koszul-syz-sigs bs = filter-min (addstt) (Koszul-syz-sigs-aux bs 0)

fun new-syz-sigs :: 't list  $\Rightarrow$  ('t  $\Rightarrow_0$  'b) list  $\Rightarrow$  (('t  $\Rightarrow_0$  'b)  $\times$  ('t  $\Rightarrow_0$  'b)) + nat  $\Rightarrow$  't list
  where
    new-syz-sigs ss bs (Inl (a, b)) = ss |
    new-syz-sigs ss bs (Inr j) =

```

```

(if is-pot-ord then
  filter-min-append (addst) ss (filter-min (addst) (map (λb. term-of-pair
(punit.lt (rep-list b), j)) bs))
else ss)

fun new-syz-sigs-spp :: 't list ⇒ ('t × ('a ⇒₀ 'b)) list ⇒ (('t × ('a ⇒₀ 'b)) × ('t
× ('a ⇒₀ 'b))) + nat ⇒ 't list
where
  new-syz-sigs-spp ss bs (Inl (a, b)) = ss |
  new-syz-sigs-spp ss bs (Inr j) =
    (if is-pot-ord then
      filter-min-append (addst) ss (filter-min (addst) (map (λb. term-of-pair
(punit.lt (snd b), j)) bs))
    else ss)

lemma Koszul-syz-sigs-auxI:
assumes i < j and j < length bs
shows ord-term-lin.max (term-of-pair (punit.lt (bs ! i), k + j)) (term-of-pair
(punit.lt (bs ! j), k + i)) ∈
  set (Koszul-syz-sigs-aux bs k)
⟨proof⟩

lemma Koszul-syz-sigs-auxE:
assumes v ∈ set (Koszul-syz-sigs-aux bs k)
obtains i j where i < j and j < length bs
and v = ord-term-lin.max (term-of-pair (punit.lt (bs ! i), k + j)) (term-of-pair
(punit.lt (bs ! j), k + i))
⟨proof⟩

lemma lt-Koszul-syz-comp:
assumes 0 ∉ set fs and i < length fs
shows lt ((fs ! i) ⊕ monomial 1 (term-of-pair (0, j))) = term-of-pair (punit.lt
(fs ! i), j)
⟨proof⟩

lemma Koszul-syz-nonzero-lt:
assumes rep-list a ≠ 0 and rep-list b ≠ 0 and component-of-term (lt a) <
component-of-term (lt b)
shows rep-list a ⊕ b - rep-list b ⊕ a ≠ 0 (is ?p - ?q ≠ 0)
and lt (rep-list a ⊕ b - rep-list b ⊕ a) =
  ord-term-lin.max (punit.lt (rep-list a) ⊕ lt b) (punit.lt (rep-list b) ⊕ lt a)
(is - = ?r)
⟨proof⟩

lemma Koszul-syz-is-syz: rep-list (rep-list a ⊕ b - rep-list b ⊕ a) = 0
⟨proof⟩

lemma dgrad-sig-set-closed-Koszul-syz:
assumes dickson-grading dgrad and a ∈ dgrad-sig-set dgrad and b ∈ dgrad-sig-set

```

*dgrad*

**shows** rep-list  $a \odot b - rep-list b \odot a \in dgrad$ -sig-set *dgrad*

$\langle proof \rangle$

**corollary** Koszul-syz-is-syz-sig:

**assumes** dickson-grading *dgrad* **and**  $a \in dgrad$ -sig-set *dgrad* **and**  $b \in dgrad$ -sig-set *dgrad*

**and** rep-list  $a \neq 0$  **and** rep-list  $b \neq 0$  **and** component-of-term (*lt a*) < component-of-term (*lt b*)

**shows** is-syz-sig *dgrad* (*ord-term-lin.max* (*punit.lt* (rep-list *a*)  $\oplus$  *lt b*) (*punit.lt* (rep-list *b*)  $\oplus$  *lt a*))

$\langle proof \rangle$

**corollary** lt-Koszul-syz-in-Koszul-syz-sigs-aux:

**assumes** distinct *fs* **and**  $0 \notin set fs$  **and**  $i < j$  **and**  $j < length fs$

**shows** *lt* ( $(fs ! i) \odot monomial 1 (term-of-pair (0, j)) - (fs ! j) \odot monomial 1 (term-of-pair (0, i)) \in$

$set (Koszul-syz-sigs-aux fs 0)$  (**is**  $?l \in ?K$ )

$\langle proof \rangle$

**corollary** lt-Koszul-syz-in-Koszul-syz-sigs:

**assumes**  $\neg is-pot-ord$  **and** distinct *fs* **and**  $0 \notin set fs$  **and**  $i < j$  **and**  $j < length fs$

**obtains** *v* **where**  $v \in set (Koszul-syz-sigs fs)$

**and** *v addst* *lt* ( $(fs ! i) \odot monomial 1 (term-of-pair (0, j)) - (fs ! j) \odot monomial 1 (term-of-pair (0, i))$ )

$\langle proof \rangle$

**lemma** lt-Koszul-syz-init:

**assumes**  $0 \notin set fs$  **and**  $i < j$  **and**  $j < length fs$

**shows** *lt* ( $(fs ! i) \odot monomial 1 (term-of-pair (0, j)) - (fs ! j) \odot monomial 1 (term-of-pair (0, i)) =$

$ord-term-lin.max (term-of-pair (punit.lt (fs ! i), j)) (term-of-pair (punit.lt (fs ! j), i))$

**(is** *lt* ( $?p - ?q$ )  $= ?r$ )

$\langle proof \rangle$

**corollary** Koszul-syz-sigs-auxE-lt-Koszul-syz:

**assumes**  $0 \notin set fs$  **and** *v*  $\in set (Koszul-syz-sigs-aux fs 0)$

**obtains** *i j* **where**  $i < j$  **and**  $j < length fs$

**and** *v*  $= lt ((fs ! i) \odot monomial 1 (term-of-pair (0, j)) - (fs ! j) \odot monomial 1 (term-of-pair (0, i)))$

$\langle proof \rangle$

**corollary** Koszul-syz-sigs-is-syz-sig:

**assumes** dickson-grading *dgrad* **and** distinct *fs* **and**  $0 \notin set fs$  **and** *v*  $\in set (Koszul-syz-sigs fs)$

**shows** is-syz-sig *dgrad v*

$\langle proof \rangle$

**lemma** *Koszul-syz-sigs-minimal*:  
**assumes**  $u \in \text{set } (\text{Koszul-syz-sigs } fs)$  **and**  $v \in \text{set } (\text{Koszul-syz-sigs } fs)$  **and**  $u \text{ adds}_t v$   
**shows**  $u = v$   
*(proof)*

**lemma** *Koszul-syz-sigs-distinct: distinct (Koszul-syz-sigs fs)*  
*(proof)*

#### 4.2.10 Algorithms

**definition** *spair-spp* ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b))$   
**where** *spair-spp*  $p q =$   $(\text{let } t1 = \text{punit.lt } (\text{snd } p); t2 = \text{punit.lt } (\text{snd } q); l = \text{lcs } t1 t2 \text{ in}$

$$(\text{ord-term-lin.max } ((l - t1) \oplus \text{fst } p) ((l - t2) \oplus \text{fst } q), \\ \text{punit.monom-mult } (1 / \text{punit.lc } (\text{snd } p)) (l - t1) (\text{snd } p) - \\ \text{punit.monom-mult } (1 / \text{punit.lc } (\text{snd } q)) (l - t2) (\text{snd } q)))$$

**definition** *is-regular-spair-spp* ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow \text{bool}$   
**where** *is-regular-spair-spp*  $p q \longleftrightarrow$   
 $(\text{snd } p \neq 0 \wedge \text{snd } q \neq 0 \wedge \text{punit.lt } (\text{snd } q) \oplus \text{fst } p \neq \text{punit.lt } (\text{snd } p) \oplus \text{fst } q)$

**definition** *spair-sigs* ::  $('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \times 't)$   
**where** *spair-sigs*  $p q =$   
 $(\text{let } t1 = \text{punit.lt } (\text{rep-list } p); t2 = \text{punit.lt } (\text{rep-list } q); l = \text{lcs } t1 t2 \text{ in}$   
 $((l - t1) \oplus \text{lt } p, (l - t2) \oplus \text{lt } q))$

**definition** *spair-sigs-spp* ::  $('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times ('a \Rightarrow_0 'b)) \Rightarrow ('t \times 't)$   
**where** *spair-sigs-spp*  $p q =$   
 $(\text{let } t1 = \text{punit.lt } (\text{snd } p); t2 = \text{punit.lt } (\text{snd } q); l = \text{lcs } t1 t2 \text{ in}$   
 $((l - t1) \oplus \text{fst } p, (l - t2) \oplus \text{fst } q))$

**fun** *poly-of-pair* ::  $((('t \Rightarrow_0 'b) \times ('t \Rightarrow_0 'b)) + \text{nat}) \Rightarrow ('t \Rightarrow_0 'b)$   
**where**  
*poly-of-pair* (*Inl* ( $p, q$ )) = *spair*  $p q$  |  
*poly-of-pair* (*Inr*  $j$ ) = *monomial* 1 (*term-of-pair* ( $0, j$ ))

**fun** *spp-of-pair* ::  $((('t \times ('a \Rightarrow_0 'b)) \times ('t \times ('a \Rightarrow_0 'b))) + \text{nat}) \Rightarrow ('t \times ('a \Rightarrow_0 'b))$   
**where**  
*spp-of-pair* (*Inl* ( $p, q$ )) = *spair-spp*  $p q$  |  
*spp-of-pair* (*Inr*  $j$ ) = (*term-of-pair* ( $0, j$ ), *fs ! j*)

**fun** *sig-of-pair* ::  $((('t \Rightarrow_0 'b) \times ('t \Rightarrow_0 'b)) + \text{nat}) \Rightarrow 't$   
**where**  
*sig-of-pair* (*Inl* ( $p, q$ )) = (*let* ( $u, v$ ) = *spair-sigs*  $p q$  *in* *ord-term-lin.max*  $u v$ ) |

```

sig-of-pair (Inr j) = term-of-pair (0, j)

fun sig-of-pair-spp :: (((t × ('a ⇒0 'b)) × (t × ('a ⇒0 'b))) + nat) ⇒ t
where
  sig-of-pair-spp (Inl (p, q)) = (let (u, v) = spair-sigs-spp p q in ord-term-lin.max
  u v) |
  sig-of-pair-spp (Inr j) = term-of-pair (0, j)

definition pair-ord :: (((t ⇒0 'b) × (t ⇒0 'b)) + nat) ⇒ (((t ⇒0 'b) × (t ⇒0 'b)) + nat) ⇒ bool
where pair-ord x y ←→ (sig-of-pair x ≤t sig-of-pair y)

definition pair-ord-spp :: (((t × ('a ⇒0 'b)) × (t × ('a ⇒0 'b))) + nat) ⇒
  (((t × ('a ⇒0 'b)) × (t × ('a ⇒0 'b))) + nat) ⇒ bool
where pair-ord-spp x y ←→ (sig-of-pair-spp x ≤t sig-of-pair-spp y)

primrec new-spairs :: (t ⇒0 'b) list ⇒ (t ⇒0 'b) ⇒ (((t ⇒0 'b) × (t ⇒0 'b))
+ nat) list where
  new-spairs [] p = [] |
  new-spairs (b # bs) p =
    (if is-regular-spair p b then insert-wrt pair-ord (Inl (p, b)) (new-spairs bs p) else
  new-spairs bs p)

primrec new-spairs-spp :: (t × ('a ⇒0 'b)) list ⇒ (t × ('a ⇒0 'b)) ⇒
  (((t × ('a ⇒0 'b)) × (t × ('a ⇒0 'b))) + nat) list where
  new-spairs-spp [] p = [] |
  new-spairs-spp (b # bs) p =
    (if is-regular-spair-spp p b then
      insert-wrt pair-ord-spp (Inl (p, b)) (new-spairs-spp bs p)
    else new-spairs-spp bs p)

definition add-spairs :: (((t ⇒0 'b) × (t ⇒0 'b)) + nat) list ⇒ (t ⇒0 'b) list ⇒
  (t ⇒0 'b) ⇒
  (((t ⇒0 'b) × (t ⇒0 'b)) + nat) list
where add-spairs ps bs p = merge-wrt pair-ord (new-spairs bs p) ps

definition add-spairs-spp :: (((t × ('a ⇒0 'b)) × (t × ('a ⇒0 'b))) + nat) list ⇒
  (t × ('a ⇒0 'b)) list ⇒ (t × ('a ⇒0 'b)) ⇒
  (((t × ('a ⇒0 'b)) × (t × ('a ⇒0 'b))) + nat) list
where add-spairs-spp ps bs p = merge-wrt pair-ord-spp (new-spairs-spp bs p) ps

lemma spair-alt-spair-sigs:
  spair p q = monom-mult (1 / punit.lc (rep-list p)) (pp-of-term (fst (spair-sigs p
q)) - lp p) p -
    monom-mult (1 / punit.lc (rep-list q)) (pp-of-term (snd (spair-sigs p
q)) - lp q) q
  ⟨proof⟩

lemma sig-of-spair:

```

**assumes** *is-regular-spair p q*  
**shows** *sig-of-pair (Inl (p, q)) = lt (spair p q)*  
*(proof)*

**lemma** *sig-of-spair-commute*: *sig-of-pair (Inl (p, q)) = sig-of-pair (Inl (q, p))*  
*(proof)*

**lemma** *in-new-spairsI*:  
**assumes** *b ∈ set bs and is-regular-spair p b*  
**shows** *Inl (p, b) ∈ set (new-spairs bs p)*  
*(proof)*

**lemma** *in-new-spairsD*:  
**assumes** *Inl (a, b) ∈ set (new-spairs bs p)*  
**shows** *a = p and b ∈ set bs and is-regular-spair p b*  
*(proof)*

**corollary** *in-new-spairs-iff*:  
*Inl (p, b) ∈ set (new-spairs bs p) ↔ (b ∈ set bs ∧ is-regular-spair p b)*  
*(proof)*

**lemma** *Inr-not-in-new-spairs*: *Inr j ∉ set (new-spairs bs p)*  
*(proof)*

**lemma** *sum-prodE*:  
**assumes**  $\bigwedge a b. p = Inl (a, b) \implies \text{thesis}$  and  $\bigwedge j. p = Inr j \implies \text{thesis}$   
**shows** *thesis*  
*(proof)*

**corollary** *in-new-spairsE*:  
**assumes** *q ∈ set (new-spairs bs p)*  
**obtains** *b where b ∈ set bs and is-regular-spair p b and q = Inl (p, b)*  
*(proof)*

**lemma** *new-spairs-sorted*: *sorted-wrt pair-ord (new-spairs bs p)*  
*(proof)*

**lemma** *sorted-add-spairs*:  
**assumes** *sorted-wrt pair-ord ps*  
**shows** *sorted-wrt pair-ord (add-spairs ps bs p)*  
*(proof)*

**context**  
**fixes** *rword-strict :: ('t × ('a ⇒₀ 'b)) ⇒ ('t × ('a ⇒₀ 'b)) ⇒ bool* — Must be a strict rewrite order.  
**begin**

**qualified definition** *rword :: ('t × ('a ⇒₀ 'b)) ⇒ ('t × ('a ⇒₀ 'b)) ⇒ bool*  
**where** *rword x y ↔ rword-strict y x*

```

definition is-pred-syz :: 't list  $\Rightarrow$  't  $\Rightarrow$  bool
  where is-pred-syz ss u = ( $\exists v \in set ss. v addst u$ )

definition is-rewritable :: ('t  $\Rightarrow_0$  'b) list  $\Rightarrow$  ('t  $\Rightarrow_0$  'b)  $\Rightarrow$  't  $\Rightarrow$  bool
  where is-rewritable bs p u = ( $\exists b \in set bs. b \neq 0 \wedge lt b addst u \wedge rword-strict (spp-of p) (spp-of b)$ )

definition is-rewritable-spp :: ('t  $\times$  ('a  $\Rightarrow_0$  'b)) list  $\Rightarrow$  ('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\Rightarrow$  't  $\Rightarrow$  bool
  where is-rewritable-spp bs p u = ( $\exists b \in set bs. fst b addst u \wedge rword-strict p b$ )

fun sig-crit :: ('t  $\Rightarrow_0$  'b) list  $\Rightarrow$  't list  $\Rightarrow$  ((('t  $\Rightarrow_0$  'b)  $\times$  ('t  $\Rightarrow_0$  'b)) + nat)  $\Rightarrow$  bool
  where
    sig-crit bs ss (Inl (p, q)) =
      (let (u, v) = spair-sigs p q in
        is-pred-syz ss u  $\vee$  is-pred-syz ss v  $\vee$  is-rewritable bs p u  $\vee$  is-rewritable bs q v) |
        sig-crit bs ss (Inr j) = is-pred-syz ss (term-of-pair (0, j))

fun sig-crit' :: ('t  $\Rightarrow_0$  'b) list  $\Rightarrow$  ((('t  $\Rightarrow_0$  'b)  $\times$  ('t  $\Rightarrow_0$  'b)) + nat)  $\Rightarrow$  bool
  where
    sig-crit' bs (Inl (p, q)) =
      (let (u, v) = spair-sigs p q in
        is-syz-sig dgrad u  $\vee$  is-syz-sig dgrad v  $\vee$  is-rewritable bs p u  $\vee$  is-rewritable bs q v) |
        sig-crit' bs (Inr j) = is-syz-sig dgrad (term-of-pair (0, j))

fun sig-crit-spp :: ('t  $\times$  ('a  $\Rightarrow_0$  'b)) list  $\Rightarrow$  't list  $\Rightarrow$  ((('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\times$  ('t  $\times$  ('a  $\Rightarrow_0$  'b))) + nat)  $\Rightarrow$  bool
  where
    sig-crit-spp bs ss (Inl (p, q)) =
      (let (u, v) = spair-sigs-spp p q in
        is-pred-syz ss u  $\vee$  is-pred-syz ss v  $\vee$  is-rewritable-spp bs p u  $\vee$  is-rewritable-spp bs q v) |
        sig-crit-spp bs ss (Inr j) = is-pred-syz ss (term-of-pair (0, j))

```

*sig-crit* is used in algorithms, *sig-crit'* is only needed for proving.

```

fun rb-spp-body :: ((('t  $\times$  ('a  $\Rightarrow_0$  'b)) list  $\times$  't list  $\times$  ((('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\times$  ('t  $\times$  ('a  $\Rightarrow_0$  'b))) + nat) list)  $\times$  nat)  $\Rightarrow$ 
  ((('t  $\times$  ('a  $\Rightarrow_0$  'b)) list  $\times$  't list  $\times$  ((('t  $\times$  ('a  $\Rightarrow_0$  'b))  $\times$  ('t  $\times$  ('a  $\Rightarrow_0$  'b))) + nat) list)  $\times$  nat)
  where
    rb-spp-body ((bs, ss, []), z) = ((bs, ss, []), z) |
    rb-spp-body ((bs, ss, p # ps), z) =
      (let ss' = new-syz-sigs-spp ss bs p in
        if sig-crit-spp bs ss' p then
          ((bs, ss', ps), z)

```

```

else
let p' = sig-trd-spp bs (spp-of-pair p) in
if snd p' = 0 then
  ((bs, fst p' # ss', ps), Suc z)
else
  ((p' # bs, ss', add-spairs-spp ps bs p'), z))

definition rb-spp-aux :: 
((('t × ('a ⇒0 'b)) list × 't list × (((('t × ('a ⇒0 'b)) × ('t × ('a ⇒0 'b))) + 
nat) list) × nat) ⇒
(((('t × ('a ⇒0 'b)) list × 't list × (((('t × ('a ⇒0 'b)) × ('t × ('a ⇒0 'b))) + 
nat) list) × nat)
where rb-spp-aux-def [code del]: rb-spp-aux = tailrec.fun (λx. snd (snd (fst x)))
= [] (λx. x) rb-spp-body

```

**lemma** rb-spp-aux-Nil [code]: rb-spp-aux ((bs, ss, []), z) = ((bs, ss, []), z)  
*⟨proof⟩*

**lemma** rb-spp-aux-Cons [code]:  
rb-spp-aux ((bs, ss, p # ps), z) = rb-spp-aux (rb-spp-body ((bs, ss, p # ps), z))  
*⟨proof⟩*

The last parameter / return value of *rb-spp-aux*, *z*, counts the number of zero-reductions. Below we will prove that this number remains 0 under certain conditions.

**context**  
**assumes** rword-is-strict-rewrite-ord: is-strict-rewrite-ord rword-strict  
**assumes** dgrad: dickson-grading dgrad  
**begin**

**lemma** rword: is-rewrite-ord rword  
*⟨proof⟩*

**lemma** sig-crit'-sym: sig-crit' bs (Inl (p, q)) ⇒ sig-crit' bs (Inl (q, p))  
*⟨proof⟩*

**lemma** is-rewritable-ConsD:  
**assumes** is-rewritable (b # bs) p u **and** u ≺<sub>t</sub> lt b  
**shows** is-rewritable bs p u  
*⟨proof⟩*

**lemma** sig-crit'-ConsD:  
**assumes** sig-crit' (b # bs) p **and** sig-of-pair p ≺<sub>t</sub> lt b  
**shows** sig-crit' bs p  
*⟨proof⟩*

**definition** rb-aux-inv1 :: ('t ⇒<sub>0</sub> 'b) list ⇒ bool  
**where** rb-aux-inv1 bs =  
 (set bs ⊆ dgrad-sig-set dgrad ∧ 0 ∉ rep-list ` set bs ∧

```

sorted-wrt ( $\lambda x y. lt y \prec_t lt x$ ) bs  $\wedge$ 
( $\forall i < length bs. \neg is\text{-sig-red} (\prec_t) (\preceq) (set (drop (Suc i) bs)) (bs ! i)$ )  $\wedge$ 
( $\forall i < length bs.$ 
(( $\exists j < length fs. lt (bs ! i) = lt (monomial (1::'b) (term-of-pair (0, j))) \wedge$ 
 $punit.lt (rep-list (bs ! i)) \preceq punit.lt (rep-list (monomial 1 (term-of-pair (0, j))))$ )  $\vee$ 
( $\exists p \in set bs. \exists q \in set bs. is\text{-regular-spair} p q \wedge rep-list (spair p q) \neq 0 \wedge$ 
 $lt (bs ! i) = lt (spair p q) \wedge punit.lt (rep-list (bs ! i)) \preceq punit.lt (rep-list (spair p q)))$ )  $\wedge$ 
( $\forall i < length bs. is\text{-RB-upt} dgrad rword (set (drop (Suc i) bs)) (lt (bs ! i)))$ )

```

**fun**  $rb\text{-aux}\text{-inv} :: ((t \Rightarrow_0 'b) list \times t list \times (((t \Rightarrow_0 'b) \times ('t \Rightarrow_0 'b)) + nat) list) \Rightarrow bool$

**where**  $rb\text{-aux}\text{-inv} (bs, ss, ps) =$

```

(rb-aux-inv1 bs  $\wedge$ 
( $\forall u \in set ss. is\text{-syz-sig} dgrad u$ )  $\wedge$ 
( $\forall p q. Inl (p, q) \in set ps \longrightarrow (is\text{-regular-spair} p q \wedge p \in set bs \wedge q \in set bs)$ )  $\wedge$ 
( $\forall j. Inr j \in set ps \longrightarrow (j < length fs \wedge (\forall b \in set bs. lt b \prec_t term-of-pair (0, j)))$ )  $\wedge$ 
length (filter ( $\lambda q. sig\text{-of-pair} q = term\text{-of-pair} (0, j)$ ) ps)
 $\leq 1$ )  $\wedge$ 
(sorted-wrt pair-ord ps)  $\wedge$ 
( $\forall p \in set ps. (\forall b1 \in set bs. \forall b2 \in set bs. is\text{-regular-spair} b1 b2 \longrightarrow$ 
 $sig\text{-of-pair} p \prec_t lt (spair b1 b2) \longrightarrow (Inl (b1, b2) \in set ps \vee$ 
 $Inl (b2, b1) \in set ps))$   $\wedge$ 
( $\forall j < length fs. sig\text{-of-pair} p \prec_t term\text{-of-pair} (0, j) \longrightarrow Inr j \in set ps$ )  $\wedge$ 
( $\forall b \in set bs. \forall p \in set ps. lt b \preceq_t sig\text{-of-pair} p$ )  $\wedge$ 
( $\forall a \in set bs. \forall b \in set bs. is\text{-regular-spair} a b \longrightarrow Inl (a, b) \notin set ps \longrightarrow$ 
 $Inl (b, a) \notin set ps \longrightarrow$ 
 $\neg is\text{-RB-in} dgrad rword (set bs) (lt (spair a b)) \longrightarrow$ 
( $\exists p \in set ps. sig\text{-of-pair} p = lt (spair a b) \wedge \neg sig\text{-crit}' bs p$ )  $\wedge$ 
( $\forall j < length fs. Inr j \notin set ps \longrightarrow (is\text{-RB-in} dgrad rword (set bs)$ 
 $(term-of-pair (0, j))) \wedge$ 
 $rep-list (monomial (1::'b) (term-of-pair (0, j))) \in ideal (rep-list ` set bs))$ )

```

**lemmas** [simp del] =  $rb\text{-aux}\text{-inv}.simp$ s

**lemma**  $rb\text{-aux}\text{-inv1-D1}: rb\text{-aux}\text{-inv1} bs \implies set bs \subseteq dgrad\text{-sig-set} dgrad$   
 $\langle proof \rangle$

**lemma**  $rb\text{-aux}\text{-inv1-D2}: rb\text{-aux}\text{-inv1} bs \implies 0 \notin rep-list ` set bs$   
 $\langle proof \rangle$

**lemma**  $rb\text{-aux}\text{-inv1-D3}: rb\text{-aux}\text{-inv1} bs \implies sorted\text{-wrt} (\lambda x y. lt y \prec_t lt x) bs$   
 $\langle proof \rangle$

**lemma** *rb-aux-inv1-D4*:

*rb-aux-inv1 bs*  $\implies i < \text{length } bs \implies \neg \text{is-sig-red} (\prec_t) (\preceq) (\text{set} (\text{drop} (\text{Suc } i) bs)) (bs ! i)$   
 $\langle \text{proof} \rangle$

**lemma** *rb-aux-inv1-D5*:

*rb-aux-inv1 bs*  $\implies i < \text{length } bs \implies \text{is-RB-upd dgrad rword} (\text{set} (\text{drop} (\text{Suc } i) bs)) (\text{lt} (bs ! i))$   
 $\langle \text{proof} \rangle$

**lemma** *rb-aux-inv1-E*:

**assumes** *rb-aux-inv1 bs* **and**  $i < \text{length } bs$   
**and**  $\bigwedge j. j < \text{length } fs \implies \text{lt} (bs ! i) = \text{lt} (\text{monomial} (1 :: 'b) (\text{term-of-pair} (0, j))) \implies$   
 $\text{punit.lt} (\text{rep-list} (bs ! i)) \preceq \text{punit.lt} (\text{rep-list} (\text{monomial} 1 (\text{term-of-pair} (0, j)))) \implies \text{thesis}$   
**and**  $\bigwedge p q. p \in \text{set } bs \implies q \in \text{set } bs \implies \text{is-regular-spair} p q \implies \text{rep-list} (\text{spair} p q) \neq 0 \implies$   
 $\text{lt} (bs ! i) = \text{lt} (\text{spair} p q) \implies \text{punit.lt} (\text{rep-list} (bs ! i)) \preceq \text{punit.lt} (\text{rep-list} (\text{spair} p q)) \implies \text{thesis}$   
**shows** *thesis*  
 $\langle \text{proof} \rangle$

**lemmas** *rb-aux-inv1-D = rb-aux-inv1-D1 rb-aux-inv1-D2 rb-aux-inv1-D3 rb-aux-inv1-D4 rb-aux-inv1-D5*

**lemma** *rb-aux-inv1-distinct-lt*:

**assumes** *rb-aux-inv1 bs*  
**shows** *distinct (map lt bs)*  
 $\langle \text{proof} \rangle$

**corollary** *rb-aux-inv1-lt-inj-on*:

**assumes** *rb-aux-inv1 bs*  
**shows** *inj-on lt (set bs)*  
 $\langle \text{proof} \rangle$

**lemma** *canon-rewriter-unique*:

**assumes** *rb-aux-inv1 bs* **and** *is-canonical-rewriter rword (set bs) u a*  
**and** *is-canonical-rewriter rword (set bs) u b*  
**shows** *a = b*  
 $\langle \text{proof} \rangle$

**lemma** *rb-aux-inv-D1*: *rb-aux-inv (bs, ss, ps)  $\implies$  rb-aux-inv1 bs*  
 $\langle \text{proof} \rangle$

**lemma** *rb-aux-inv-D2*: *rb-aux-inv (bs, ss, ps)  $\implies$  u  $\in$  set ss  $\implies$  is-syz-sig dgrad u*  
 $\langle \text{proof} \rangle$

**lemma** *rb-aux-inv-D3*:

**assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *Inl* (*p*, *q*)  $\in$  set *ps*  
**shows** *p*  $\in$  set *bs* **and** *q*  $\in$  set *bs* **and** *is-regular-spair p q*  
*{proof}*

**lemma** *rb-aux-inv-D4*:

**assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *Inr j*  $\in$  set *ps*  
**shows** *j*  $<$  length *fs* **and**  $\bigwedge b. b \in$  set *bs*  $\implies$  *lt b*  $\prec_t$  term-of-pair (0, *j*)  
**and** length (filter ( $\lambda q. sig\text{-}of\text{-}pair q = term\text{-}of\text{-}pair (0, j)$ ) *ps*)  $\leq 1$   
*{proof}*

**lemma** *rb-aux-inv-D5*: *rb-aux-inv* (*bs*, *ss*, *ps*)  $\implies$  sorted-wrt pair-ord *ps*  
*{proof}*

**lemma** *rb-aux-inv-D6-1*:

**assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *p*  $\in$  set *ps* **and** *b1*  $\in$  set *bs* **and** *b2*  $\in$  set *bs*  
**and** *is-regular-spair b1 b2* **and** *sig-of-pair p*  $\prec_t$  *lt (spair b1 b2)*  
**obtains** *Inl (b1, b2)*  $\in$  set *ps* **|** *Inl (b2, b1)*  $\in$  set *ps*  
*{proof}*

**lemma** *rb-aux-inv-D6-2*:

*rb-aux-inv* (*bs*, *ss*, *ps*)  $\implies$  *p*  $\in$  set *ps*  $\implies$  *j*  $<$  length *fs*  $\implies$  *sig-of-pair p*  $\prec_t$   
term-of-pair (0, *j*)  $\implies$   
*Inr j*  $\in$  set *ps*  
*{proof}*

**lemma** *rb-aux-inv-D7*: *rb-aux-inv* (*bs*, *ss*, *ps*)  $\implies$  *b*  $\in$  set *bs*  $\implies$  *p*  $\in$  set *ps*  $\implies$   
*lt b*  $\preceq_t$  *sig-of-pair p*  
*{proof}*

**lemma** *rb-aux-inv-D8*:

**assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *a*  $\in$  set *bs* **and** *b*  $\in$  set *bs* **and** *is-regular-spair a b*  
**and** *Inl (a, b)*  $\notin$  set *ps* **and** *Inl (b, a)*  $\notin$  set *ps* **and**  $\neg$  *is-RB-in dgrad rword (set bs) (lt (spair a b))*  
**obtains** *p* **where** *p*  $\in$  set *ps* **and** *sig-of-pair p* = *lt (spair a b)* **and**  $\neg$  *sig-crit' bs p*  
*{proof}*

**lemma** *rb-aux-inv-D9*:

**assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *j*  $<$  length *fs* **and** *Inr j*  $\notin$  set *ps*  
**shows** *is-RB-in dgrad rword (set bs) (term-of-pair (0, j))*  
**and** *rep-list (monomial (1::'b) (term-of-pair (0, j)))*  $\in$  ideal (*rep-list` set bs*)  
*{proof}*

**lemma** *rb-aux-inv-is-RB-up*:

**assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and**  $\bigwedge p. p \in$  set *ps*  $\implies$  *u*  $\preceq_t$  *sig-of-pair p*  
**shows** *is-RB-up dgrad rword (set bs) u*

$\langle proof \rangle$

**lemma** *rb-aux-inv-is-RB-up<sub>t</sub>-Cons*:  
  **assumes** *rb-aux-inv* (*bs*, *ss*, *p* # *ps*)  
  **shows** *is-RB-up<sub>t</sub>* *dgrad* *rword* (*set bs*) (*sig-of-pair p*)  
 $\langle proof \rangle$

**lemma** *Inr-in-tailD*:  
  **assumes** *rb-aux-inv* (*bs*, *ss*, *p* # *ps*) **and** *Inr j*  $\in$  *set ps*  
  **shows** *sig-of-pair p*  $\neq$  *term-of-pair (0, j)*  
 $\langle proof \rangle$

**lemma** *pair-list-aux*:  
  **assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *p*  $\in$  *set ps*  
  **shows** *sig-of-pair p* = *lt (poly-of-pair p)*  $\wedge$  *poly-of-pair p*  $\neq$  0  $\wedge$  *poly-of-pair p*  $\in$  *dgrad-sig-set dgrad*  
 $\langle proof \rangle$

**corollary** *pair-list-sig-of-pair*:  
  *rb-aux-inv* (*bs*, *ss*, *ps*)  $\implies$  *p*  $\in$  *set ps*  $\implies$  *sig-of-pair p* = *lt (poly-of-pair p)*  
 $\langle proof \rangle$

**corollary** *pair-list-nonzero*: *rb-aux-inv* (*bs*, *ss*, *ps*)  $\implies$  *p*  $\in$  *set ps*  $\implies$  *poly-of-pair p*  $\neq$  0  
 $\langle proof \rangle$

**corollary** *pair-list-dgrad-sig-set*:  
  *rb-aux-inv* (*bs*, *ss*, *ps*)  $\implies$  *p*  $\in$  *set ps*  $\implies$  *poly-of-pair p*  $\in$  *dgrad-sig-set dgrad*  
 $\langle proof \rangle$

**lemma** *is-rewritableI-is-canonical-rewriter*:  
  **assumes** *rb-aux-inv1* *bs* **and** *b*  $\in$  *set bs* **and** *b*  $\neq$  0 **and** *lt b addst u*  
    **and**  $\neg$  *is-canonical-rewriter rword (set bs) u b*  
  **shows** *is-rewritable* *bs b u*  
 $\langle proof \rangle$

**lemma** *is-rewritableD-is-canonical-rewriter*:  
  **assumes** *rb-aux-inv1* *bs* **and** *is-rewritable* *bs b u*  
  **shows**  $\neg$  *is-canonical-rewriter rword (set bs) u b*  
 $\langle proof \rangle$

**lemma** *lemma-12*:  
  **assumes** *rb-aux-inv* (*bs*, *ss*, *ps*) **and** *is-RB-up<sub>t</sub>* *dgrad* *rword* (*set bs*) *u*  
    **and** *dgrad (pp-of-term u) ≤ dgrad-max dgrad* **and** *is-canonical-rewriter rword (set bs) u a*  
      **and**  $\neg$  *is-syz-sig dgrad u* **and** *is-sig-red (¬t) (=) (set bs) (monom-mult 1 (pp-of-term u - lp a)) a*  
      **obtains** *p q* **where** *p*  $\in$  *set bs* **and** *q*  $\in$  *set bs* **and** *is-regular-spair p q* **and** *lt (spair p q) = u*

```

and  $\neg \text{sig-crit}' \text{ bs } (\text{Inl } (p, q))$ 
⟨proof⟩

lemma is-canonical-rewriterI-eq-sig:
assumes rb-aux-inv1 bs and  $b \in \text{set } \text{bs}$ 
shows is-canonical-rewriter rword (set bs) (lt b) b
⟨proof⟩

lemma not-sig-crit:
assumes rb-aux-inv (bs, ss, p # ps) and  $\neg \text{sig-crit} \text{ bs } (\text{new-syz-sigs ss bs } p) \text{ p}$ 
and  $b \in \text{set } \text{bs}$ 
shows lt b <sub>t</sub> sig-of-pair p
⟨proof⟩

context
assumes fs-distinct: distinct fs
assumes fs-nonzero:  $0 \notin \text{set } \text{fs}$ 
begin

lemma rep-list-monomial': rep-list (monomial 1 (term-of-pair (0, j))) = ((fs ! j)
when j < length fs)
⟨proof⟩

lemma new-syz-sigs-is-syz-sig:
assumes rb-aux-inv (bs, ss, p # ps) and  $v \in \text{set } (\text{new-syz-sigs ss bs } p)$ 
shows is-syz-sig dgrad v
⟨proof⟩

lemma new-syz-sigs-minimal:
assumes  $\bigwedge u' v'. u' \in \text{set } \text{ss} \implies v' \in \text{set } \text{ss} \implies u' \text{ addst } v' \implies u' = v'$ 
assumes  $u \in \text{set } (\text{new-syz-sigs ss bs } p) \text{ and } v \in \text{set } (\text{new-syz-sigs ss bs } p) \text{ and }$ 
u addst v
shows  $u = v$ 
⟨proof⟩

lemma new-syz-sigs-distinct:
assumes distinct ss
shows distinct (new-syz-sigs ss bs p)
⟨proof⟩

lemma sig-crit'I-sig-crit:
assumes rb-aux-inv (bs, ss, p # ps) and sig-crit bs (new-syz-sigs ss bs p) p
shows sig-crit' bs p
⟨proof⟩

lemma rb-aux-inv-preserved-0:
assumes rb-aux-inv (bs, ss, p # ps)
and  $\bigwedge s. s \in \text{set } \text{ss}' \implies \text{is-syz-sig dgrad } s$ 
and  $\bigwedge a b. a \in \text{set } \text{bs} \implies b \in \text{set } \text{bs} \implies \text{is-regular-spair } a b \implies \text{Inl } (a, b) \notin$ 

```

*set ps*  $\implies$   
 $Inl(b, a) \notin set ps \implies \neg is\text{-}RB\text{-}in dgrad rword (set bs) (lt (spair a b)) \implies$   
 $\exists q \in set ps. sig\text{-}of\text{-}pair q = lt (spair a b) \wedge \neg sig\text{-}crit' bs q$   
**and**  $\bigwedge j. j < length fs \implies p = Inr j \implies Inr j \notin set ps \implies is\text{-}RB\text{-}in dgrad$   
 $rword (set bs) (term\text{-}of\text{-}pair (0, j)) \wedge$   
 $rep\text{-}list (monomial 1 (term\text{-}of\text{-}pair (0, j))) \in ideal (rep\text{-}list ' set bs)$   
**shows**  $rb\text{-}aux\text{-}inv (bs, ss', ps)$   
*(proof)*

**lemma** *rb-aux-inv-preserved-1*:

**assumes**  $rb\text{-}aux\text{-}inv (bs, ss, p \# ps)$  **and**  $sig\text{-}crit bs (new\text{-}syz\text{-}sigs ss bs p) p$   
**shows**  $rb\text{-}aux\text{-}inv (bs, new\text{-}syz\text{-}sigs ss bs p, ps)$   
*(proof)*

**lemma** *rb-aux-inv-preserved-2*:

**assumes**  $rb\text{-}aux\text{-}inv (bs, ss, p \# ps)$  **and**  $rep\text{-}list (sig\text{-}trd bs (poly\text{-}of\text{-}pair p)) = 0$   
**shows**  $rb\text{-}aux\text{-}inv (bs, lt (sig\text{-}trd bs (poly\text{-}of\text{-}pair p)) \# new\text{-}syz\text{-}sigs ss bs p, ps)$   
*(proof)*

**lemma** *rb-aux-inv-preserved-3*:

**assumes**  $rb\text{-}aux\text{-}inv (bs, ss, p \# ps)$  **and**  $\neg sig\text{-}crit bs (new\text{-}syz\text{-}sigs ss bs p) p$   
**and**  $rep\text{-}list (sig\text{-}trd bs (poly\text{-}of\text{-}pair p)) \neq 0$   
**shows**  $rb\text{-}aux\text{-}inv ((sig\text{-}trd bs (poly\text{-}of\text{-}pair p)) \# bs, new\text{-}syz\text{-}sigs ss bs p,$   
 $add\text{-}spairs ps bs (sig\text{-}trd bs (poly\text{-}of\text{-}pair p)))$   
**and**  $lt (sig\text{-}trd bs (poly\text{-}of\text{-}pair p)) \notin lt ' set bs$   
*(proof)*

**lemma** *rb-aux-inv-init*:  $rb\text{-}aux\text{-}inv ([]), Koszul\text{-}syz\text{-}sigs fs, map Inr [0..<length fs])$   
*(proof)*

**corollary** *rb-aux-inv-init-fst*:

$rb\text{-}aux\text{-}inv (fst ([]), Koszul\text{-}syz\text{-}sigs fs, map Inr [0..<length fs]), z)$   
*(proof)*

**function** (*domintros*)  $rb\text{-}aux :: (((t \Rightarrow_0 b) list \times t list \times (((t \Rightarrow_0 b) \times (t \Rightarrow_0 b)) + nat) list) \times nat) \Rightarrow$   
 $(((t \Rightarrow_0 b) list \times t list \times (((t \Rightarrow_0 b) \times (t \Rightarrow_0 b)) + nat) list) \times nat)$   
**where**  
 $rb\text{-}aux ((bs, ss, []), z) = ((bs, ss, []), z) \mid$   
 $rb\text{-}aux ((bs, ss, p \# ps), z) =$   
 $(let ss' = new\text{-}syz\text{-}sigs ss bs p in$   
 $if sig\text{-}crit bs ss' p then$   
 $rb\text{-}aux ((bs, ss', ps), z)$   
 $else$   
 $let p' = sig\text{-}trd bs (poly\text{-}of\text{-}pair p) in$   
 $if rep\text{-}list p' = 0 then$   
 $rb\text{-}aux ((bs, lt p' \# ss', ps), Suc z)$   
 $else$

*rb-aux (( $p' \# bs$ ,  $ss'$ , add-spairs  $ps$   $bs$   $p'$ ),  $z$ )*  
*(proof)*

**definition**  $rb :: ('t \Rightarrow_0 'b) list \times nat$   
**where**  $rb = (let ((bs, -, -), z) = rb\text{-aux} ([], Koszul\text{-syz}\text{-sigs} fs, map Inr [0..<length fs]), 0) in (bs, z))$

$rb$  is only an auxiliary function used for stating some theorems about rewrite bases and their computation in a readable way. Actual computations (of Gröbner bases) are performed by function  $sig\text{-gb}$ , defined below. The second return value of  $rb$  is the number of zero-reductions. It is only needed for proving that under certain assumptions, there are no such zero-reductions.

Termination

**qualified definition**  $rb\text{-aux-term1} \equiv \{(x, y). \exists z. x = z \# y\}$

**qualified definition**  $rb\text{-aux-term2} \equiv \{(x, y). (fst x, fst y) \in rb\text{-aux-term1} \vee (fst x = fst y \wedge length (snd (snd x)) < length (snd (snd y)))\}$

**qualified definition**  $rb\text{-aux-term} \equiv rb\text{-aux-term2} \cap \{(x, y). rb\text{-aux-inv} x \wedge rb\text{-aux-inv} y\}$

**lemma**  $wfp\text{-on-}rb\text{-aux-term1}: wfp\text{-on} (\lambda x y. (x, y) \in rb\text{-aux-term1})$  (*Collect rb-aux-inv1*)  
*(proof)*

**lemma**  $wfp\text{-on-}rb\text{-aux-term2}: wfp\text{-on} (\lambda x y. (x, y) \in rb\text{-aux-term2})$  (*Collect rb-aux-inv*)  
*(proof)*

**corollary**  $wf\text{-}rb\text{-aux-term}: wf\ rb\text{-aux-term}$   
*(proof)*

**lemma**  $rb\text{-aux-dom}I:$   
**assumes**  $rb\text{-aux-inv} (fst args)$   
**shows**  $rb\text{-aux-dom} args$   
*(proof)*

Invariant

**lemma**  $rb\text{-aux-inv-invariant}:$   
**assumes**  $rb\text{-aux-inv} (fst args)$   
**shows**  $rb\text{-aux-inv} (fst (rb\text{-aux} args))$   
*(proof)*

**lemma**  $rb\text{-aux-inv-last-}Nil:$   
**assumes**  $rb\text{-aux-dom} args$   
**shows**  $snd (snd (fst (rb\text{-aux} args))) = []$   
*(proof)*

**corollary**  $rb\text{-aux-shape}:$   
**assumes**  $rb\text{-aux-dom} args$

**obtains**  $bs\ ss\ z$  **where**  $rb\text{-aux}\ args = ((bs, ss, []), z)$   
 $\langle proof \rangle$

**lemma**  $rb\text{-aux}\text{-is-}RB\text{-upt}$ :  
 $is\text{-}RB\text{-upt}\ dgrad\ rword\ (set\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z))))\ u$   
 $\langle proof \rangle$

**corollary**  $rb\text{-is-}RB\text{-upt}$ :  $is\text{-}RB\text{-upt}\ dgrad\ rword\ (set\ (fst\ rb))\ u$   
 $\langle proof \rangle$

**corollary**  $rb\text{-aux}\text{-is-sig-}GB\text{-upt}$ :  
 $is\text{-sig-}GB\text{-upt}\ dgrad\ (set\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z))))\ u$   
 $\langle proof \rangle$

**corollary**  $rb\text{-aux}\text{-is-sig-}GB\text{-in}$ :  
 $is\text{-sig-}GB\text{-in}\ dgrad\ (set\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z))))\ u$   
 $\langle proof \rangle$

**corollary**  $rb\text{-aux}\text{-is-Groebner-basis}$ :  
**assumes**  $hom\text{-grading}\ dgrad$   
**shows**  $punit\text{-is-Groebner-basis}\ (set\ (map\ rep\text{-list}\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z))))))$   
 $\langle proof \rangle$

**lemma**  $ideal\text{-rb}\text{-aux}$ :  
 $ideal\ (set\ (map\ rep\text{-list}\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z)))))) =$   
 $ideal\ (set\ fs)\ (\mathbf{is}\ ideal\ ?l = ideal\ ?r)$   
 $\langle proof \rangle$

**corollary**  $ideal\text{-rb}$ :  $ideal\ (rep\text{-list}\ ` set\ (fst\ rb)) = ideal\ (set\ fs)$   
 $\langle proof \rangle$

**lemma**  
**shows**  $dgrad\text{-max-set-closed-}rb\text{-aux}$ :  
 $set\ (map\ rep\text{-list}\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z)))) \subseteq$   
 $punit\text{-dgrad-max-set}\ dgrad\ (\mathbf{is}\ ?thesis1)$   
**and**  $rb\text{-aux-nonzero}$ :  
 $0 \notin set\ (map\ rep\text{-list}\ (fst\ (fst\ (rb\text{-aux}\ ([]\!, Koszul-syz-sigs\ fs, map\ Inr\ [0..<length\ fs]), z))))$   
 $(\mathbf{is}\ ?thesis2)$   
 $\langle proof \rangle$

#### 4.2.11 Minimality of the Computed Basis

```

lemma rb-aux-top-irred':
  assumes rword-strict = rw-rat-strict and rb-aux-inv (bs, ss, p # ps)
  and  $\neg$  sig-crit bs (new-syz-sigs ss bs p) p
  shows  $\neg$  is-sig-red ( $\preceq_t$ ) (=) (set bs) (sig-trd bs (poly-of-pair p))
  ⟨proof⟩

lemma rb-aux-top-irred:
  assumes rword-strict = rw-rat-strict and rb-aux-inv (fst args) and b ∈ set (fst
  (fst (rb-aux args)))
  and  $\bigwedge b_0. b_0 \in set (fst (fst args)) \implies \neg$  is-sig-red ( $\preceq_t$ ) (=) (set (fst (fst args))
  - {b_0}) b_0
  shows  $\neg$  is-sig-red ( $\preceq_t$ ) (=) (set (fst (fst (rb-aux args))) - {b}) b
  ⟨proof⟩

corollary rb-aux-is-min-sig-GB:
  assumes rword-strict = rw-rat-strict
  shows is-min-sig-GB dgrad (set (fst (fst (rb-aux ([]), Koszul-syz-sigs fs, map Inr
  [0..<length fs], z)))))  

  (is is-min-sig-GB - (set (fst (fst (rb-aux ?args)))))  

  ⟨proof⟩

corollary rb-is-min-sig-GB:
  assumes rword-strict = rw-rat-strict
  shows is-min-sig-GB dgrad (set (fst rb))
  ⟨proof⟩

```

#### 4.2.12 No Zero-Reductions

```

fun rb-aux-inv2 :: (('t ⇒_0 'b) list × 't list × ((('t ⇒_0 'b) × ('t ⇒_0 'b)) + nat)
list) ⇒ bool
  where rb-aux-inv2 (bs, ss, ps) =
    (rb-aux-inv (bs, ss, ps)  $\wedge$ 
     ( $\forall j < length fs. Inr j \notin set ps \implies$ 
      (fs ! j ∈ ideal (rep-list ` set (filter (λb. component-of-term (lt b) < Suc
j) bs))  $\wedge$ 
      ( $\forall b \in set bs. component-of-term (lt b) < j \implies$ 
       ( $\exists s \in set ss. s addst term-of-pair (punit.lt (rep-list b), j)))))))$ 
```

**lemma** rb-aux-inv2-D1: rb-aux-inv2 args  $\implies$  rb-aux-inv args  
 ⟨proof⟩

**lemma** rb-aux-inv2-D2:  
 rb-aux-inv2 (bs, ss, ps)  $\implies$  j < length fs  $\implies$  Inr j  $\notin$  set ps  $\implies$   
 fs ! j ∈ ideal (rep-list ` set (filter (λb. component-of-term (lt b) < Suc j) bs))  
 ⟨proof⟩

**lemma** rb-aux-inv2-E:  
**assumes** rb-aux-inv2 (bs, ss, ps) **and** j < length fs **and** Inr j  $\notin$  set ps **and** b ∈

```

set bs
  and component-of-term (lt b) < j
obtains s where s ∈ set ss and s addst term-of-pair (punit.lt (rep-list b), j)
⟨proof⟩

context
assumes pot: is-pot-ord
begin

lemma sig-red-zero-filter:
  assumes sig-red-zero ( $\preceq_t$ ) (set bs) r and component-of-term (lt r) < j
  shows sig-red-zero ( $\preceq_t$ ) (set (filter ( $\lambda b$ . component-of-term (lt b) < j) bs)) r
⟨proof⟩

lemma rb-aux-inv2-preserved-0:
  assumes rb-aux-inv2 (bs, ss, p # ps) and j < length fs and Inr j ∉ set ps
  and b ∈ set bs and component-of-term (lt b) < j
  shows  $\exists s \in \text{set}(\text{new-syz-sigs ss bs } p)$ . s addst term-of-pair (punit.lt (rep-list b),
j)
⟨proof⟩

lemma rb-aux-inv2-preserved-1:
  assumes rb-aux-inv2 (bs, ss, p # ps) and sig-crit bs (new-syz-sigs ss bs p) p
  shows rb-aux-inv2 (bs, new-syz-sigs ss bs p, ps)
⟨proof⟩

lemma rb-aux-inv2-preserved-3:
  assumes rb-aux-inv2 (bs, ss, p # ps) and  $\neg$  sig-crit bs (new-syz-sigs ss bs p) p
  and rep-list (sig-trd bs (poly-of-pair p)) ≠ 0
  shows rb-aux-inv2 (sig-trd bs (poly-of-pair p) # bs, new-syz-sigs ss bs p,
add-spairs ps bs (sig-trd bs (poly-of-pair p)))
⟨proof⟩

lemma rb-aux-inv2-ideal-subset:
  assumes rb-aux-inv2 (bs, ss, ps) and  $\bigwedge p0. p0 \in \text{set } ps \implies j \leq \text{component-of-term}(\text{sig-of-pair } p0)$ 
  shows ideal (set (take j fs)) ⊆ ideal (rep-list ` set (filter ( $\lambda b$ . component-of-term (lt b) < j) bs))
  (is ideal ?B ⊆ ideal ?A)
⟨proof⟩

lemma rb-aux-inv-is-Groebner-basis:
  assumes hom-grading dgrad and rb-aux-inv (bs, ss, ps)
  and  $\bigwedge p0. p0 \in \text{set } ps \implies j \leq \text{component-of-term}(\text{sig-of-pair } p0)$ 
  shows punit.is-Groebner-basis (rep-list ` set (filter ( $\lambda b$ . component-of-term (lt b) < j) bs))
  (is punit.is-Groebner-basis (rep-list ` set ?bs))
⟨proof⟩

```

```

lemma rb-aux-inv2-no-zero-red:
  assumes hom-grading dgrad and is-regular-sequence fs and rb-aux-inv2 (bs, ss,
  p # ps)
    and  $\neg$  sig-crit bs (new-syz-sigs ss bs p) p
  shows rep-list (sig-trd bs (poly-of-pair p))  $\neq$  0
   $\langle proof \rangle$ 

corollary rb-aux-no-zero-red':
  assumes hom-grading dgrad and is-regular-sequence fs and rb-aux-inv2 (fst args)
  shows snd (rb-aux args) = snd args
   $\langle proof \rangle$ 

corollary rb-aux-no-zero-red:
  assumes hom-grading dgrad and is-regular-sequence fs
  shows snd (rb-aux (([], Koszul-syz-sigs fs, map Inr [0..<length fs]), z)) = z
   $\langle proof \rangle$ 

corollary rb-no-zero-red:
  assumes hom-grading dgrad and is-regular-sequence fs
  shows snd rb = 0
   $\langle proof \rangle$ 

```

**end**

### 4.3 Sig-Poly-Pairs

We now prove that the algorithms defined for sig-poly-pairs (i. e. those whose names end with *-spp*) behave exactly as those defined for module elements. More precisely, if *A* is some algorithm defined for module elements, we prove something like *spp-of* (*A* *x*) = *A-spp* (*spp-of* *x*).

```

fun spp-inv-pair :: (((t × ('a ⇒₀ 'b)) × (t × ('a ⇒₀ 'b))) + nat) ⇒ bool where
  spp-inv-pair (Inl (p, q)) = (spp-inv p ∧ spp-inv q) |
  spp-inv-pair (Inr j) = True

```

```

fun app-pair :: ('x ⇒ 'y) ⇒ (('x × 'x) + nat) ⇒ (('y × 'y) + nat) where
  app-pair f (Inl (p, q)) = Inl (f p, f q) |
  app-pair f (Inr j) = Inr j

```

```

fun app-args :: ('x ⇒ 'y) ⇒ (('x list × 'z × (((x × 'x) + nat) list)) × nat) ⇒
  (('y list × 'z × (((y × 'y) + nat) list)) × nat) where
  app-args f ((as, bs, cs), n) = ((map f as, bs, map (app-pair f) cs), n)

```

```

lemma app-pair-spp-of-vec-of:
  assumes spp-inv-pair p
  shows app-pair spp-of (app-pair vec-of p) = p
   $\langle proof \rangle$ 

```

**lemma** map-app-pair-spp-of-vec-of:

```

assumes list-all spp-inv-pair ps
shows map (app-pair spp-of  $\circ$  app-pair vec-of) ps = ps
⟨proof⟩

lemma snd-app-args: snd (app-args f args) = snd args
⟨proof⟩

lemma new-syz-sigs-alt-spp:
new-syz-sigs ss bs p = new-syz-sigs-spp ss (map spp-of bs) (app-pair spp-of p)
⟨proof⟩

lemma is-rewritable-alt-spp:
assumes 0  $\notin$  set bs
shows is-rewritable bs p u = is-rewritable-spp (map spp-of bs) (spp-of p) u
⟨proof⟩

lemma spair-sigs-alt-spp: spair-sigs p q = spair-sigs-spp (spp-of p) (spp-of q)
⟨proof⟩

lemma sig-crit-alt-spp:
assumes 0  $\notin$  set bs
shows sig-crit bs ss p = sig-crit-spp (map spp-of bs) ss (app-pair spp-of p)
⟨proof⟩

lemma spair-alt-spp:
assumes is-regular-spair p q
shows spp-of (spair p q) = spair-spp (spp-of p) (spp-of q)
⟨proof⟩

lemma sig-trd-spp-body-alt-Some:
assumes find-sig-reducer (map spp-of bs) v (punit.lt p) 0 = Some i
shows sig-trd-spp-body (map spp-of bs) v (p, r) =
(punit.lower (p - local.punit.monom-mult (punit.lc p / punit.lc (rep-list (bs ! i)))) (punit.lt p - punit.lt (rep-list (bs ! i))) (rep-list (bs ! i))) (punit.lt p), r)
(is ?thesis1)
and sig-trd-spp-body (map spp-of bs) v (p, r) =
(p - local.punit.monom-mult (punit.lc p / punit.lc (rep-list (bs ! i)))) (punit.lt p - punit.lt (rep-list (bs ! i))) (rep-list (bs ! i)), r)
(is ?thesis2)
⟨proof⟩

lemma sig-trd-aux-alt-spp:
assumes fst args  $\in$  keys (rep-list (snd args))
shows rep-list (sig-trd-aux bs args) =
sig-trd-spp-aux (map spp-of bs) (lt (snd args))
(rep-list (snd args) - punit.higher (rep-list (snd args)) (fst args), punit.higher (rep-list (snd args)) (fst args)))

```

$\langle proof \rangle$

**lemma** *sig-trd-alt-spp*: *spp-of* (*sig-trd* *bs* *p*) = *sig-trd-spp* (*map spp-of* *bs*) (*spp-of* *p*)  
 $\langle proof \rangle$

**lemma** *is-regular-spair-alt-spp*: *is-regular-spair* *p* *q*  $\longleftrightarrow$  *is-regular-spair-spp* (*spp-of* *p*) (*spp-of* *q*)  
 $\langle proof \rangle$

**lemma** *sig-of-spair-alt-spp*: *sig-of-pair* *p* = *sig-of-pair-spp* (*app-pair spp-of* *p*)  
 $\langle proof \rangle$

**lemma** *pair-ord-alt-spp*: *pair-ord* *x* *y*  $\longleftrightarrow$  *pair-ord-spp* (*app-pair spp-of* *x*) (*app-pair spp-of* *y*)  
 $\langle proof \rangle$

**lemma** *new-spairs-alt-spp*:  
    *map* (*app-pair spp-of*) (*new-spairs* *bs* *p*) = *new-spairs-spp* (*map spp-of* *bs*) (*spp-of* *p*)  
 $\langle proof \rangle$

**lemma** *add-spairs-alt-spp*:  
    **assumes**  $\bigwedge x. x \in \text{set } bs \implies \text{Inl} (\text{spp-of } p, \text{spp-of } x) \notin \text{app-pair spp-of} \text{ set } ps$   
    **shows** *map* (*app-pair spp-of*) (*add-spairs* *ps* *bs* *p*) =  
        *add-spairs-spp* (*map* (*app-pair spp-of*) *ps*) (*map spp-of* *bs*) (*spp-of* *p*)  
 $\langle proof \rangle$

**lemma** *rb-aux-invD-app-args*:  
    **assumes** *rb-aux-inv* (*fst* (*app-args vec-of* ((*bs*, *ss*, *ps*), *z*)))  
    **shows** *list-all spp-inv* *bs* **and** *list-all spp-inv-pair* *ps*  
 $\langle proof \rangle$

**lemma** *app-args-spp-of-vec-of*:  
    **assumes** *rb-aux-inv* (*fst* (*app-args vec-of args*))  
    **shows** *app-args spp-of* (*app-args vec-of args*) = *args*  
 $\langle proof \rangle$

**lemma** *poly-of-pair-alt-spp*:  
    **assumes** *distinct fs and rb-aux-inv* (*bs*, *ss*, *p* # *ps*)  
    **shows** *spp-of* (*poly-of-pair* *p*) = *spp-of-pair* (*app-pair spp-of* *p*)  
 $\langle proof \rangle$

**lemma** *rb-aux-alt-spp*:  
    **assumes** *rb-aux-inv* (*fst args*)  
    **shows** *app-args spp-of* (*rb-aux args*) = *rb-spp-aux* (*app-args spp-of args*)  
 $\langle proof \rangle$

**corollary** *rb-spp-aux-alt*:

```

rb-aux-inv (fst (app-args vec-of args)) ==>
  rb-spp-aux args = app-args spp-of (rb-aux (app-args vec-of args))
  ⟨proof⟩

corollary rb-spp-aux:
  hom-grading dgrad ==>
    punit.is-Groebner-basis (set (map snd (fst (fst (rb-spp-aux ([]), Koszul-syz-sigs
      fs, map Inr [0..<length fs]), z)))))  

      (is - ==> ?thesis1)  

    ideal (set (map snd (fst (fst (rb-spp-aux ([]), Koszul-syz-sigs fs, map Inr [0..<length
      fs]), z))))) = ideal (set fs)  

      (is ?thesis2)  

    set (map snd (fst (fst (rb-spp-aux ([]), Koszul-syz-sigs fs, map Inr [0..<length
      fs]), z)))) ⊆ punit-dgrad-max-set dgrad  

      (is ?thesis3)  

    0 ∉ set (map snd (fst (fst (rb-spp-aux ([]), Koszul-syz-sigs fs, map Inr [0..<length
      fs]), z))))  

      (is ?thesis4)  

    hom-grading dgrad ==> is-pot-ord ==> is-regular-sequence fs ==>
      snd (rb-spp-aux ([]), Koszul-syz-sigs fs, map Inr [0..<length fs]), z) = z  

      (is - ==> - ==> - ==> ?thesis5)  

    rword-strict = rw-rat-strict ==> p ∈ set (fst (fst (rb-spp-aux ([]), Koszul-syz-sigs
      fs, map Inr [0..<length fs]), z))) ==>  

      q ∈ set (fst (fst (rb-spp-aux ([]), Koszul-syz-sigs fs, map Inr [0..<length fs]),
        z))) ==> p ≠ q ==>  

      punit.lt (snd p) adds punit.lt (snd q) ==> punit.lt (snd p) ⊕ fst q ≺t punit.lt
      (snd q) ⊕ fst p
  ⟨proof⟩

end  

end  

end  

end  

end  

definition gb-sig-z ::  

  (('t × ('a ⇒₀ 'b)) ⇒ ('t × ('a ⇒₀ 'b)) ⇒ bool) ⇒ ('a ⇒₀ 'b) list ⇒ (('t × ('a
  ⇒₀ 'b)::field) list × nat)
  where gb-sig-z rword-strict fs0 =  

    (let fs = rev (remdups (rev (removeAll 0 fs0)));  

     res = rb-spp-aux fs rword-strict ([]), Koszul-syz-sigs fs, map Inr
     [0..<length fs], 0) in  

    (fst (fst res), snd res))

```

The second return value of *gb-sig-z* is the total number of zero-reductions.

```

definition gb-sig :: (('t × ('a ⇒_0 'b)) ⇒ ('t × ('a ⇒_0 'b)) ⇒ bool) ⇒ ('a ⇒_0 'b)
list ⇒ ('a ⇒_0 'b::field) list
where gb-sig rword-strict fs0 = map snd (fst (gb-sig-z rword-strict fs0))

```

**theorem**

```

assumes ⋀fs. is-strict-rewrite-ord fs rword-strict
shows gb-sig-isGB: punit.is-Groebner-basis (set (gb-sig rword-strict fs)) (is ?thesis1)
and gb-sig-ideal: ideal (set (gb-sig rword-strict fs)) = ideal (set fs) (is ?thesis2)
and dgrad-p-set-closed-gb-sig:
    dickson-grading d ⇒ set fs ⊆ punit.dgrad-p-set d m ⇒ set (gb-sig
rword-strict fs) ⊆ punit.dgrad-p-set d m
    (is - ⇒ - ⇒ ?thesis3)
and gb-sig-nonzero: 0 ∉ set (gb-sig rword-strict fs) (is ?thesis4)
and gb-sig-no-zero-red: is-pot-ord ⇒ is-regular-sequence fs ⇒ snd (gb-sig-z
rword-strict fs) = 0
⟨proof⟩

```

**theorem** gb-sig-z-is-min-sig-GB:

```

assumes p ∈ set (fst (gb-sig-z rw-rat-strict fs)) and q ∈ set (fst (gb-sig-z
rw-rat-strict fs))
and p ≠ q and punit.lt (snd p) adds punit.lt (snd q)
shows punit.lt (snd p) ⊕ fst q ≺_t punit.lt (snd q) ⊕ fst p
⟨proof⟩

```

Summarizing, these are the four main results proved in this theory:

- $(\bigwedge \text{fs. is-strict-rewrite-ord fs } ?\text{rword-strict}) \Rightarrow \text{punit.is-Groebner-basis} (\text{set (gb-sig } ?\text{rword-strict } ?\text{fs}))$ ,
- $(\bigwedge \text{fs. is-strict-rewrite-ord fs } ?\text{rword-strict}) \Rightarrow \text{ideal} (\text{set (gb-sig } ?\text{rword-strict } ?\text{fs})) = \text{ideal} (\text{set } ?\text{fs})$ ,
- $\llbracket \bigwedge \text{fs. is-strict-rewrite-ord fs } ?\text{rword-strict; is-pot-ord; is-regular-sequence } ?\text{fs} \rrbracket \Rightarrow \text{snd (gb-sig-z } ?\text{rword-strict } ?\text{fs}) = 0$ , and
- $\llbracket ?p \in \text{set (fst (gb-sig-z rw-rat-strict } ?\text{fs))}; ?q \in \text{set (fst (gb-sig-z rw-rat-strict } ?\text{fs))}; ?p \neq ?q; \text{punit.lt (snd } ?p) \text{ adds punit.lt (snd } ?q) \rrbracket \Rightarrow \text{punit.lt} (\text{snd } ?p) \oplus \text{fst } ?q \prec_t \text{punit.lt (snd } ?q) \oplus \text{fst } ?p$ .

end

end

## 5 Sample Computations with Signature-Based Algorithms

**theory** Signature-Examples

**imports** Signature-Groebner Groebner-Bases.Benchmarks Groebner-Bases.Code-Target-Rat  
**begin**

## 5.1 Setup

```

lift-definition except-pp :: ('a, 'b) pp  $\Rightarrow$  'a set  $\Rightarrow$  ('a, 'b::zero) pp is except ⟨proof⟩

lemma hom-grading-varnum-pp: hom-grading (varnum-pp::('a::countable, 'b::add-wellorder)
pp  $\Rightarrow$  nat)
⟨proof⟩

instance pp :: (countable, add-wellorder) quasi-pm-powerprod
⟨proof⟩

```

### 5.1.1 Projections of Term Orders to Orders on Power-Products

```

definition proj-comp :: (('a::nat, 'b::nat) pp  $\times$  nat) nat-term-order  $\Rightarrow$  ('a, 'b) pp
 $\Rightarrow$  ('a, 'b) pp  $\Rightarrow$  order
where proj-comp cmp = ( $\lambda x y.$  nat-term-compare cmp (x, 0) (y, 0))

definition proj-ord :: (('a::nat, 'b::nat) pp  $\times$  nat) nat-term-order  $\Rightarrow$  ('a, 'b) pp
nat-term-order
where proj-ord cmp = Abs-nat-term-order (proj-comp cmp)

```

In principle, *proj-comp* and *proj-ord* could be defined more generally on type '*a*  $\times$  nat, but then '*a* would have to belong to some new type-class which is the intersection of *nat-pp-term* and *nat-pp-compare* and additionally requires *rep-nat-term* *x* = (*rep-nat-pp* *x*, 0).

```

lemma comparator-proj-comp: comparator (proj-comp cmp)
⟨proof⟩

lemma nat-term-comp-proj-comp: nat-term-comp (proj-comp cmp)
⟨proof⟩

corollary nat-term-compare-proj-ord: nat-term-compare (proj-ord cmp) = proj-comp
cmp
⟨proof⟩

lemma proj-ord-LEX [code]: proj-ord LEX = LEX
⟨proof⟩

lemma proj-ord-DRLEX [code]: proj-ord DRLEX = DRLEX
⟨proof⟩

lemma proj-ord-DEG [code]: proj-ord (DEG to) = DEG (proj-ord to)
⟨proof⟩

lemma proj-ord-POT [code]: proj-ord (POT to) = proj-ord to
⟨proof⟩

```

### 5.1.2 Locale Interpretation

```
locale qpm-nat-inf-term = gd-nat-term  $\lambda x.$  x  $\lambda x.$  x to
```

```

for to::(('a::nat, 'b::nat) pp × nat) nat-term-order
begin

sublocale aux: qpm-inf-term  $\lambda x. x \lambda x. x$ 
  le-of-nat-term-order (proj-ord to)
  lt-of-nat-term-order (proj-ord to)
  le-of-nat-term-order to
  lt-of-nat-term-order to
  ⟨proof⟩

end

We must define the following two constants outside the global interpretation,
since otherwise their types are too general.

definition splus-pprod :: ('a::nat, 'b::nat) pp  $\Rightarrow$  -
  where splus-pprod = pprod.splus

definition adds-term-pprod :: (('a::nat, 'b::nat) pp × -)  $\Rightarrow$  -
  where adds-term-pprod = pprod.adds-term

global-interpretation pprod': qpm-nat-inf-term to
  rewrites pprod.pp-of-term = fst
  and pprod.component-of-term = snd
  and pprod.splus = splus-pprod
  and pprod.adds-term = adds-term-pprod
  and punit.monom-mult = monom-mult-punit
  and pprod'.aux.punit.lt = lt-punit (proj-ord to)
  and pprod'.aux.punit.lc = lc-punit (proj-ord to)
  and pprod'.aux.punit.tail = tail-punit (proj-ord to)
  for to :: (('a::nat, 'b::nat) pp × nat) nat-term-order
  defines max-pprod = pprod'.ord-term-lin.max
  and Koszul-syz-sigs-aux-pprod = pprod'.aux.Koszul-syz-sigs-aux
  and Koszul-syz-sigs-pprod = pprod'.aux.Koszul-syz-sigs
  and find-sig-reducer-pprod = pprod'.aux.find-sig-reducer
  and sig-trd-spp-body-pprod = pprod'.aux.sig-trd-spp-body
  and sig-trd-spp-aux-pprod = pprod'.aux.sig-trd-spp-aux
  and sig-trd-spp-pprod = pprod'.aux.sig-trd-spp
  and spair-sigs-spp-pprod = pprod'.aux.spair-sigs-spp
  and is-pred-syz-pprod = pprod'.aux.is-pred-syz
  and is-rewritable-spp-pprod = pprod'.aux.is-rewritable-spp
  and sig-crit-spp-pprod = pprod'.aux.sig-crit-spp
  and spair-spp-pprod = pprod'.aux.spair-spp
  and spp-of-pair-pprod = pprod'.aux.spp-of-pair
  and pair-ord-spp-pprod = pprod'.aux.pair-ord-spp
  and sig-of-pair-spp-pprod = pprod'.aux.sig-of-pair-spp
  and new-spairs-spp-pprod = pprod'.aux.new-spairs-spp
  and is-regular-spairs-spp-pprod = pprod'.aux.is-regular-spairs-spp
  and add-spairs-spp-pprod = pprod'.aux.add-spairs-spp
  and is-pot-ord-pprod = pprod'.is-pot-ord

```

```

and new-syz-sigs-spp-pprod = pprod'.aux.new-syz-sigs-spp
and rb-spp-body-pprod = pprod'.aux.rb-spp-body
and rb-spp-aux-pprod = pprod'.aux.rb-spp-aux
and gb-sig-z-pprod' = pprod'.aux.gb-sig-z
and gb-sig-pprod' = pprod'.aux.gb-sig
and rw-rat-strict-pprod = pprod'.aux.rw-rat-strict
and rw-add-strict-pprod = pprod'.aux.rw-add-strict
⟨proof⟩

```

### 5.1.3 More Lemmas and Definitions

```

lemma compute-adds-term-pprod [code]:
  adds-term-pprod u v = (snd u = snd v ∧ adds-pp-add-linorder (fst u) (fst v))
  ⟨proof⟩

```

```

lemma compute-splus-pprod [code]: splus-pprod t (s, i) = (t + s, i)
  ⟨proof⟩

```

```

lemma compute-sig-trd-spp-body-pprod [code]:
  sig-trd-spp-body-pprod to bs v (p, r) =
    (case find-sig-reducer-pprod to bs v (lt-punit (proj-ord to) p) 0 of
      None ⇒ (tail-punit (proj-ord to) p, plus-monomial-less r (lc-punit (proj-ord
      to) p) (lt-punit (proj-ord to) p)))
    | Some i ⇒ let b = snd (bs ! i) in
      (tail-punit (proj-ord to) p - monom-mult-punit (lc-punit (proj-ord to) p /
      lc-punit (proj-ord to) b)
      (lt-punit (proj-ord to) p - lt-punit (proj-ord to) b) (tail-punit (proj-ord
      to) b), r))
  ⟨proof⟩

```

```

lemma compute-sig-trd-spp-pprod [code]:
  sig-trd-spp-pprod to bs (v, p) ≡ (v, sig-trd-spp-aux-pprod to bs v (p, change-ord
  (proj-ord to) 0))
  ⟨proof⟩

```

```

lemmas [code] = conversep-iff

```

```

lemma compute-is-pot-ord [code]:
  is-pot-ord-pprod (LEX::((‘a::nat, ‘b::nat) pp × nat) nat-term-order) = False
  (is is-pot-ord-pprod ?lex = -)
  is-pot-ord-pprod (DRLEX::((‘a::nat, ‘b::nat) pp × nat) nat-term-order) = False
  (is is-pot-ord-pprod ?drlex = -)
  is-pot-ord-pprod (DEG (to::((‘a::nat, ‘b::nat) pp × nat) nat-term-order)) = False
  is-pot-ord-pprod (POT (to::((‘a::nat, ‘b::nat) pp × nat) nat-term-order)) = True
  ⟨proof⟩

```

```

corollary is-pot-ord-POT: is-pot-ord-pprod (POT to)
  ⟨proof⟩

```

```

definition gb-sig-z-pprod to rword-strict fs ≡
  (let res = gb-sig-z-pprod' to (rword-strict to) (map (change-ord
  (proj-ord to)) fs) in
  (length (fst res), snd res))

definition gb-sig-pprod to rword-strict fs ≡ gb-sig-pprod' to (rword-strict to) (map
  (change-ord (proj-ord to)) fs)

lemma snd-gb-sig-z-pprod'-eq-gb-sig-z-pprod:
  snd (gb-sig-z-pprod' to (rword-strict to) fs) = snd (gb-sig-z-pprod to rword-strict
  fs)
  ⟨proof⟩

lemma gb-sig-pprod'-eq-gb-sig-pprod:
  gb-sig-pprod' to (rword-strict to) fs = gb-sig-pprod to rword-strict fs
  ⟨proof⟩

thm pprod'.aux.gb-sig-isGB[OF pprod'.aux.rw-rat-strict-is-strict-rewrite-ord, sim-
plified gb-sig-pprod'-eq-gb-sig-pprod]
thm pprod'.aux.gb-sig-no-zero-red[OF pprod'.aux.rw-rat-strict-is-strict-rewrite-ord
is-pot-ord-POT, simplified snd-gb-sig-z-pprod'-eq-gb-sig-z-pprod]

```

## 5.2 Computations

```
experiment begin interpretation trivariate0-rat ⟨proof⟩
```

```

lemma
  gb-sig-pprod DRLEX rw-rat-strict-pprod [X2 * Z ^ 3 + 3 * X2 * Y, X * Y * Z
  + 2 * Y2] =
  [C0 (3 / 4) * X ^ 3 * Y2 - 2 * Y ^ 4, - 4 * Y ^ 3 * Z - 3 * X2 * Y2, X
  * Y * Z + 2 * Y2, X2 * Z ^ 3 + 3 * X2 * Y]
  ⟨proof⟩

end

```

Recall that the first return value of *gb-sig-z-pprod* is the size of the computed Gröbner basis, and the second return value is the total number of useless zero-reductions:

```

lemma
  gb-sig-z-pprod (POT DRLEX) rw-rat-strict-pprod ((cyclic DRLEX 6)::(- ⇒0 rat)
list) = (155, 8)
  ⟨proof⟩

lemma
  gb-sig-z-pprod (POT DRLEX) rw-rat-strict-pprod ((katsura DRLEX 5)::(- ⇒0
rat) list) = (29, 0)
  ⟨proof⟩

lemma

```

```
lemma
```

```

 $gb\text{-}sig\text{-}z\text{-}pprod$  (POT DRLEX)  $rw\text{-}rat\text{-}strict\text{-}pprod$  ((eco DRLEX 8)::( $\lambda \Rightarrow_0 rat$ )
 $list = (76, 0)$ 
 $\langle proof \rangle$ 

lemma
 $gb\text{-}sig\text{-}z\text{-}pprod$  (POT DRLEX)  $rw\text{-}rat\text{-}strict\text{-}pprod$  ((noon DRLEX 5)::( $\lambda \Rightarrow_0 rat$ )
 $list = (83, 0)$ 
 $\langle proof \rangle$ 

end

```

## References

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