

# Extensions to the Comprehensive Framework for Saturation Theorem Proving

Jasmin Blanchette      Sophie Tourret

March 19, 2025

## Abstract

This Isabelle/HOL formalization extends the `Saturation_Framework` entry of the *Archive of Formal Proofs* with the following contributions:

- an application of the framework to prove Bachmair and Ganzinger’s resolution prover `RP` refutationally complete, which was formalized in a more ad hoc fashion by Schlichtkrull et al. in the *AFP* entry `Ordered_Resultion_Prover`;
- generalizations of various basic concepts formalized by Schlichtkrull et al., which were needed to verify `RP` and could be useful to formalize other calculi, such as superposition;
- alternative proofs of fairness (and hence saturation and ultimately refutational completeness) for the eager and lazy given clause procedures (`GC` and `LGC`) based on invariance.

## Contents

<b>1 Soundness</b>	<b>1</b>
<b>2 Counterexample-Reducing Inference Systems and the Standard Redundancy Criterion</b>	<b>2</b>
2.1 Counterexample-Reducing Inference Systems . . . . .	2
2.2 Compactness . . . . .	3
2.3 The Finitary Standard Redundancy Criterion . . . . .	4
2.4 The Standard Redundancy Criterion . . . . .	6
2.5 Refutational Completeness . . . . .	8
<b>3 Clausal Calculi</b>	<b>9</b>
3.1 Setup . . . . .	9
3.2 Consequence Relation . . . . .	9
3.3 Counterexample-Reducing Inference Systems . . . . .	10
3.4 Counterexample-Reducing Calculi Equipped with a Standard Redundancy Criterion . . . . .	10
<b>4 Application of the Saturation Framework to Bachmair and Ganzinger’s RP</b>	<b>11</b>
4.1 Setup . . . . .	11
4.2 Library . . . . .	11
4.3 Ground Layer . . . . .	12
4.4 First-Order Layer . . . . .	12
4.5 Labeled First-Order or Given Clause Layer . . . . .	13

4.6	Resolution Prover Layer . . . . .	14
4.7	Alternative Derivation of Previous RP Results . . . . .	16
<b>5</b>	<b>New Fairness Proofs for the Given Clause Prover Architectures</b>	<b>17</b>
5.1	Given Clause Procedure . . . . .	17
5.2	Lazy Given Clause . . . . .	18

## 1 Soundness

```
theory Soundness
  imports Saturation-Framework.Calculus
begin
```

Although consistency-preservation usually suffices, soundness is a more precise concept and is sometimes useful.

```
locale sound-inference-system = inference-system + consequence-relation +
  assumes
    sound:  $\iota \in \text{Inf} \implies \text{set}(\text{prems-of } \iota) \models \{\text{concl-of } \iota\}$ 
begin
```

```
lemma Inf-consist-preserving:
  assumes n-cons:  $\neg N \models \text{Bot}$ 
  shows  $\neg N \cup \text{concl-of} \text{ 'Inf-from } N \models \text{Bot}$ 
⟨proof⟩
```

```
end
```

The limit of a derivation based on a redundancy criterion is satisfiable if and only if the initial set is satisfiable. This material is partly based on Section 4.1 of Bachmair and Ganzinger's *Handbook* chapter, but adapted to the saturation framework of Waldmann et al.

```
context calculus
begin
```

The next three lemmas correspond to Lemma 4.2:

```
lemma Red-F-Sup-subset-Red-F-Liminf:
  chain ( $\triangleright$ )  $Ns \implies \text{Red-F}(\text{Sup-llist } Ns) \subseteq \text{Red-F}(\text{Liminf-llist } Ns)$ 
⟨proof⟩
```

```
lemma Red-I-Sup-subset-Red-I-Liminf:
  chain ( $\triangleright$ )  $Ns \implies \text{Red-I}(\text{Sup-llist } Ns) \subseteq \text{Red-I}(\text{Liminf-llist } Ns)$ 
⟨proof⟩
```

Proof idea due to Uwe Waldmann:

```
lemma unsat-limit-iff:
  assumes
    chain-red: chain ( $\triangleright$ )  $Ns$  and
    chain-ent: chain ( $\models$ )  $Ns$ 
  shows Liminf-llist  $Ns \models \text{Bot} \longleftrightarrow \text{lhd } Ns \models \text{Bot}$ 
⟨proof⟩
```

Some easy consequences:

```
lemma Red-F-limit-Sup: chain ( $\triangleright$ )  $Ns \implies \text{Red-F}(\text{Liminf-llist } Ns) = \text{Red-F}(\text{Sup-llist } Ns)$ 
⟨proof⟩
```

```

lemma Red-I-limit-Sup: chain ( $\triangleright$ )  $Ns \implies$  Red-I (Liminf-llist  $Ns$ ) = Red-I (Sup-llist  $Ns$ )
  ⟨proof⟩

```

```
end
```

```
end
```

## 2 Counterexample-Reducing Inference Systems and the Standard Redundancy Criterion

```
theory Standard-Redundancy-Criterion
```

```
imports
```

```
  Saturation-Framework-Calculus
```

```
  HOL-Library.Multiset-Order
```

```
begin
```

The standard redundancy criterion can be defined uniformly for all inference systems equipped with a compact consequence relation. The essence of the refutational completeness argument can be carried out abstractly for counterexample-reducing inference systems, which enjoy a “smallest counterexample” property. This material is partly based on Section 4.2 of Bachmair and Ganzinger’s *Handbook* chapter, but adapted to the saturation framework of Waldmann et al.

### 2.1 Counterexample-Reducing Inference Systems

```
abbreviation main-prem-of :: 'f inference  $\Rightarrow$  'f where
  main-prem-of  $\iota \equiv$  last (prems-of  $\iota$ )
```

```
abbreviation side-prems-of :: 'f inference  $\Rightarrow$  'f list where
  side-prems-of  $\iota \equiv$  butlast (prems-of  $\iota$ )
```

```
lemma set-prems-of:
```

```
  set (prems-of  $\iota$ ) = (if prems-of  $\iota$  = [] then {} else {main-prem-of  $\iota$ }  $\cup$  set (side-prems-of  $\iota$ ))
  ⟨proof⟩
```

```
locale counterex-reducing-inference-system = inference-system Inf + consequence-relation
```

```
  for Inf :: 'f inference set +
```

```
  fixes
```

```
    I-of :: 'f set  $\Rightarrow$  'f set and
```

```
    less :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (infix  $\triangleleft$  50)
```

```
  assumes
```

```
    wfp-less: wfp ( $\triangleleft$ ) and
```

```
    Inf-counterex-reducing:
```

```
     $N \cap Bot = \{\} \implies D \in N \implies \neg I\text{-of } N \models \{D\} \implies$ 
```

```
     $(\bigwedge C. C \in N \implies \neg I\text{-of } N \models \{C\} \implies D \triangleleft C \vee D = C) \implies$ 
```

```
     $\exists \iota \in Inf. \text{prems-of } \iota \neq [] \wedge \text{main-prem-of } \iota = D \wedge \text{set} (\text{side-prems-of } \iota) \subseteq N \wedge$ 
```

```
     $I\text{-of } N \models \text{set} (\text{side-prems-of } \iota) \wedge \neg I\text{-of } N \models \{\text{concl-of } \iota\} \wedge \text{concl-of } \iota \triangleleft D$ 
```

```
begin
```

```
lemma ex-min-counterex:
```

```
  fixes N :: 'f set
```

```
  assumes  $\neg I \models N$ 
```

```

shows  $\exists C \in N. \neg I \models \{C\} \wedge (\forall D \in N. D \prec C \longrightarrow I \models \{D\})$ 
⟨proof⟩

```

```
end
```

Theorem 4.4 (generalizes Theorems 3.9 and 3.16):

```

locale counterex-reducing-inference-system-with-trivial-redundancy =
  counterex-reducing-inference-system - - Inf + calculus - Inf - λ-. {} λ-. {}
  for Inf :: 'f inference set +
  assumes less-total:  $\bigwedge C D. C \neq D \implies C \prec D \vee D \prec C$ 
begin

```

```
theorem saturated-model:
```

```
assumes
```

```
  satur: saturated N and
  bot-ni-n:  $N \cap Bot = \{\}$ 
```

```
shows I-of N  $\models N$ 
```

```
⟨proof⟩
```

An abstract version of Corollary 3.10 does not hold without some conditions, according to Nitpick:

```
corollary saturated-complete:
```

```
assumes
```

```
  satur: saturated N and
  unsat:  $N \models Bot$ 
```

```
shows  $N \cap Bot \neq \{\}$ 
```

```
⟨proof⟩
```

```
end
```

## 2.2 Compactness

```

locale concl-compact-consequence-relation = consequence-relation +
  assumes
    entails-concl-compact: finite EE  $\implies CC \models EE \implies \exists CC' \subseteq CC. \text{finite } CC' \wedge CC' \models EE$ 
begin

```

```
lemma entails-concl-compact-union:
```

```
assumes
```

```
  fin-e: finite EE and
  cd-ent:  $CC \cup DD \models EE$ 
```

```
shows  $\exists CC' \subseteq CC. \text{finite } CC' \wedge CC' \cup DD \models EE$ 
```

```
⟨proof⟩
```

```
end
```

## 2.3 The Finitary Standard Redundancy Criterion

```

locale finitary-standard-formula-redundancy =
  consequence-relation Bot ( $\models$ )
  for
    Bot :: 'f set and
    entails :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (infix  $\trianglelefteq 50$ ) +
  fixes
    less :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (infix  $\prec 50$ )

```

```

assumes
  transp-less: transp ( $\prec$ ) and
  wfp-less: wfp ( $\prec$ )
begin

```

**definition** Red-F :: ' $f$  set  $\Rightarrow$  ' $f$  set **where**

$$\text{Red-F } N = \{C. \exists DD \subseteq N. \text{finite } DD \wedge DD \models \{C\} \wedge (\forall D \in DD. D \prec C)\}$$

The following results correspond to Lemma 4.5. The lemma *wlog-non-Red-F* generalizes the core of the argument.

**lemma** Red-F-of-subset:  $N \subseteq N' \implies \text{Red-F } N \subseteq \text{Red-F } N'$   
 $\langle \text{proof} \rangle$

**lemma** wlog-non-Red-F:

**assumes**

dd0-fin: finite DD0 **and**  
 dd0-sub: DD0  $\subseteq$  N **and**  
 dd0-ent: DD0  $\cup$  CC  $\models \{E\}$  **and**  
 dd0-lt:  $\forall D' \in DD0. D' \prec D$

**shows**  $\exists DD \subseteq N - \text{Red-F } N. \text{finite } DD \wedge DD \cup CC \models \{E\} \wedge (\forall D' \in DD. D' \prec D)$   
 $\langle \text{proof} \rangle$

**lemma** Red-F-imp-ex-non-Red-F:

**assumes** c-in:  $C \in \text{Red-F } N$

**shows**  $\exists CC \subseteq N - \text{Red-F } N. \text{finite } CC \wedge CC \models \{C\} \wedge (\forall C' \in CC. C' \prec C)$   
 $\langle \text{proof} \rangle$

**lemma** Red-F-subs-Red-F-diff-Red-F:  $\text{Red-F } N \subseteq \text{Red-F } (N - \text{Red-F } N)$   
 $\langle \text{proof} \rangle$

**lemma** Red-F-eq-Red-F-diff-Red-F:  $\text{Red-F } N = \text{Red-F } (N - \text{Red-F } N)$   
 $\langle \text{proof} \rangle$

The following results correspond to Lemma 4.6.

**lemma** Red-F-of-Red-F-subset:  $N' \subseteq \text{Red-F } N \implies \text{Red-F } N \subseteq \text{Red-F } (N - N')$   
 $\langle \text{proof} \rangle$

**lemma** Red-F-model:  $M \models N - \text{Red-F } N \implies M \models N$   
 $\langle \text{proof} \rangle$

**lemma** Red-F-Bot:  $B \in \text{Bot} \implies N \models \{B\} \implies N - \text{Red-F } N \models \{B\}$   
 $\langle \text{proof} \rangle$

**end**

**locale** calculus-with-finitary-standard-redundancy =  
*inference-system Inf + finitary-standard-formula-redundancy Bot* ( $\models$ ) ( $\prec$ )  
**for**

Inf :: ' $f$  inference set **and**  
 Bot :: ' $f$  set **and**  
 entails :: ' $f$  set  $\Rightarrow$  ' $f$  set  $\Rightarrow$  bool (**infix**  $\triangleleft\models$  50) **and**  
 less :: ' $f$   $\Rightarrow$  ' $f$   $\Rightarrow$  bool (**infix**  $\prec$  50) +

**assumes**

Inf-has-prem:  $\iota \in \text{Inf} \implies \text{prems-of } \iota \neq []$  **and**  
 Inf-reductive:  $\iota \in \text{Inf} \implies \text{concl-of } \iota \prec \text{main-prem-of } \iota$

```

begin

definition redundant-infer :: 'f set  $\Rightarrow$  'f inference  $\Rightarrow$  bool where
  redundant-infer  $N \iota \longleftrightarrow$ 
     $(\exists DD \subseteq N. \text{finite } DD \wedge DD \cup \text{set}(\text{side-prems-of } \iota) \models \{\text{concl-of } \iota\} \wedge (\forall D \in DD. D \prec \text{main-prem-of } \iota))$ 

```

```

definition Red-I :: 'f set  $\Rightarrow$  'f inference set where
  Red-I  $N = \{\iota \in \text{Inf}. \text{redundant-infer } N \iota\}$ 

```

The following results correspond to Lemma 4.6. It also uses *wlog-non-Red-F*.

```

lemma Red-I-of-subset:  $N \subseteq N' \implies \text{Red-I } N \subseteq \text{Red-I } N'$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Red-I-subs-Red-I-diff-Red-F:  $\text{Red-I } N \subseteq \text{Red-I } (N - \text{Red-F } N)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Red-I-eq-Red-I-diff-Red-F:  $\text{Red-I } N = \text{Red-I } (N - \text{Red-F } N)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Red-I-to-Inf:  $\text{Red-I } N \subseteq \text{Inf}$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Red-I-of-Red-F-subset:  $N' \subseteq \text{Red-F } N \implies \text{Red-I } N \subseteq \text{Red-I } (N - N')$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma Red-I-of-Inf-to-N:

```

```

  assumes
    in- $\iota$ :  $\iota \in \text{Inf}$  and
    concl-in:  $\text{concl-of } \iota \in N$ 
  shows  $\iota \in \text{Red-I } N$ 
   $\langle \text{proof} \rangle$ 

```

The following corresponds to Theorems 4.7 and 4.8:

```

sublocale calculus Bot Inf ( $\models$ ) Red-I Red-F
   $\langle \text{proof} \rangle$ 

```

```

end

```

## 2.4 The Standard Redundancy Criterion

```

locale standard-formula-redundancy =
  concl-compact-consequence-relation Bot ( $\models$ )
  for
    Bot :: 'f set and
    entails :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (infix  $\lhdashv 50$ ) +
  fixes
    less :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (infix  $\precv 50$ )
  assumes
    transp-less: transp ( $\prec$ ) and
    wfp-less: wfp ( $\prec$ )
begin

```

```

definition Red-F :: 'f set  $\Rightarrow$  'f set where
  Red-F  $N = \{C. \exists DD \subseteq N. DD \models \{C\} \wedge (\forall D \in DD. D \prec C)\}$ 

```

Compactness of ( $\models$ ) implies that  $Red\text{-}F$  is equivalent to its finitary counterpart.

**interpretation** *fin-std-red-F*: *finitary-standard-formula-redundancy Bot* ( $\models$ ) ( $\prec$ )  
 $\langle proof \rangle$

**lemma** *Red-F-conv*:  $Red\text{-}F = fin\text{-}std\text{-}red\text{-}F.Red\text{-}F$   
 $\langle proof \rangle$

The results from *finitary-standard-formula-redundancy* can now be lifted.

The following results correspond to Lemma 4.5.

**lemma** *Red-F-of-subset*:  $N \subseteq N' \implies Red\text{-}F N \subseteq Red\text{-}F N'$   
 $\langle proof \rangle$

**lemma** *Red-F-imp-ex-non-Red-F*:  $C \in Red\text{-}F N \implies \exists CC \subseteq N - Red\text{-}F N. CC \models \{C\} \wedge (\forall C' \in CC. C' \prec C)$   
 $\langle proof \rangle$

**lemma** *Red-F-subs-Red-F-diff-Red-F*:  $Red\text{-}F N \subseteq Red\text{-}F (N - Red\text{-}F N)$   
 $\langle proof \rangle$

**lemma** *Red-F-eq-Red-F-diff-Red-F*:  $Red\text{-}F N = Red\text{-}F (N - Red\text{-}F N)$   
 $\langle proof \rangle$

The following results correspond to Lemma 4.6.

**lemma** *Red-F-of-Red-F-subset*:  $N' \subseteq Red\text{-}F N \implies Red\text{-}F N \subseteq Red\text{-}F (N - N')$   
 $\langle proof \rangle$

**lemma** *Red-F-model*:  $M \models N - Red\text{-}F N \implies M \models N$   
 $\langle proof \rangle$

**lemma** *Red-F-Bot*:  $B \in Bot \implies N \models \{B\} \implies N - Red\text{-}F N \models \{B\}$   
 $\langle proof \rangle$

**end**

**locale** *calculus-with-standard-redundancy* =  
*inference-system Inf* + *standard-formula-redundancy Bot* ( $\models$ ) ( $\prec$ )  
**for**

*Inf* :: '*f inference set* **and**  
*Bot* :: '*f set* **and**  
*entails* :: '*f set*  $\Rightarrow$  '*f set*  $\Rightarrow$  bool (**infix**  $\trianglelefteq$  50) **and**  
*less* :: '*f*  $\Rightarrow$  '*f*  $\Rightarrow$  bool (**infix**  $\prec$  50) +

**assumes**

*Inf-has-prem*:  $\iota \in Inf \implies prems\text{-}of \iota \neq []$  **and**  
*Inf-reductive*:  $\iota \in Inf \implies concl\text{-}of \iota \prec main\text{-}prem\text{-}of \iota$

**begin**

**definition** *redundant-infer* :: '*f set*  $\Rightarrow$  '*f inference*  $\Rightarrow$  bool **where**  
*redundant-infer*  $N \iota \longleftrightarrow$   
 $(\exists DD \subseteq N. DD \cup set(side\text{-}prems\text{-}of \iota) \models \{concl\text{-}of \iota\} \wedge (\forall D \in DD. D \prec main\text{-}prem\text{-}of \iota))$

**definition** *Red-I* :: '*f set*  $\Rightarrow$  '*f inference set* **where**  
 $Red\text{-}I N = \{\iota \in Inf. redundant\text{-}infer N \iota\}$

Compactness of ( $\models$ ) implies that  $Red\text{-}I$  is equivalent to its finitary counterpart.

**interpretation** *fin-std-red*: calculus-with-finitary-standard-redundancy Inf Bot ( $\models$ )  
 $\langle proof \rangle$

**lemma** *redundant-infer-conv*: redundant-infer = *fin-std-red*.redundant-infer  
 $\langle proof \rangle$

**lemma** *Red-I-conv*: Red-I = *fin-std-red*.Red-I  
 $\langle proof \rangle$

The results from *calculus-with-finitary-standard-redundancy* can now be lifted.

The following results correspond to Lemma 4.6.

**lemma** *Red-I-of-subset*:  $N \subseteq N' \implies \text{Red-I } N \subseteq \text{Red-I } N'$   
 $\langle proof \rangle$

**lemma** *Red-I-subs-Red-I-diff-Red-F*:  $\text{Red-I } N \subseteq \text{Red-I } (N - \text{Red-F } N)$   
 $\langle proof \rangle$

**lemma** *Red-I-eq-Red-I-diff-Red-F*:  $\text{Red-I } N = \text{Red-I } (N - \text{Red-F } N)$   
 $\langle proof \rangle$

**lemma** *Red-I-to-Inf*:  $\text{Red-I } N \subseteq \text{Inf}$   
 $\langle proof \rangle$

**lemma** *Red-I-of-Red-F-subset*:  $N' \subseteq \text{Red-F } N \implies \text{Red-I } N \subseteq \text{Red-I } (N - N')$   
 $\langle proof \rangle$

**lemma** *Red-I-of-Inf-to-N*:  
 $\iota \in \text{Inf} \implies \text{concl-of } \iota \in N \implies \iota \in \text{Red-I } N$   
 $\langle proof \rangle$

The following corresponds to Theorems 4.7 and 4.8:

**sublocale** *calculus Bot Inf* ( $\models$ ) Red-I Red-F  
 $\langle proof \rangle$

**end**

## 2.5 Refutational Completeness

**locale** *calculus-with-standard-inference-redundancy* = *calculus Bot Inf* ( $\models$ ) Red-I Red-F  
**for** Bot :: 'f set **and** Inf **and** entails (infix  $\triangleleft\models$  50) **and** Red-I **and** Red-F +  
**fixes**  
 $less :: 'f \Rightarrow 'f \Rightarrow \text{bool}$  (infix  $\triangleleft\prec$  50)  
**assumes**  
*Inf-has-prem*:  $\iota \in \text{Inf} \implies \text{prems-of } \iota \neq []$  **and**  
*Red-I-imp-redundant-infer*:  $\iota \in \text{Red-I } N \implies$   
 $(\exists DD \subseteq N. DD \cup \text{set}(\text{side-prems-of } \iota) \models \{\text{concl-of } \iota\} \wedge (\forall C \in DD. C \prec \text{main-prem-of } \iota))$

**sublocale** *calculus-with-finitary-standard-redundancy*  $\subseteq$   
*calculus-with-standard-inference-redundancy* Bot Inf ( $\models$ ) Red-I Red-F  
 $\langle proof \rangle$

**sublocale** *calculus-with-standard-redundancy*  $\subseteq$   
*calculus-with-standard-inference-redundancy* Bot Inf ( $\models$ ) Red-I Red-F  
 $\langle proof \rangle$

```

locale counterex-reducing-calculus-with-standard-inference-redundancy =
calculus-with-standard-inference-redundancy Bot Inf ( $\models$ ) Red-I Red-F ( $\prec$ ) +
counterex-reducing-inference-system Bot ( $\models$ ) Inf I-of ( $\prec$ )
for
  Bot :: 'f set and
  Inf :: 'f inference set and
  entails :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (infix  $\triangleleft\models 50$ ) and
  Red-I :: 'f set  $\Rightarrow$  'f inference set and
  Red-F :: 'f set  $\Rightarrow$  'f set and
  I-of :: 'f set  $\Rightarrow$  'f set and
  less :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (infix  $\triangleleft\prec 50$ ) +
assumes less-total:  $\bigwedge C D. C \neq D \implies C \prec D \vee D \prec C$ 
begin

```

The following result loosely corresponds to Theorem 4.9.

**lemma** saturated-model:

```

assumes
  satur: saturated N and
  bot-ni-n:  $N \cap \text{Bot} = \{\}$ 
shows I-of N  $\models N$ 
⟨proof⟩

```

A more faithful abstract version of Theorem 4.9 does not hold without some conditions, according to Nitpick:

**corollary** saturated-complete:

```

assumes
  satur: saturated N and
  unsat:  $N \models \text{Bot}$ 
shows  $N \cap \text{Bot} \neq \{\}$ 
⟨proof⟩

```

**end**

**end**

### 3 Clausal Calculi

```

theory Clausal-Calculus
imports
  Ordered-Resolution-Prover Unordered-Ground-Resolution
  Soundness
  Standard-Redundancy-Criterion
begin

```

Various results about consequence relations, counterexample-reducing inference systems, and the standard redundancy criteria are specialized and customized for clauses as opposed to arbitrary formulas.

#### 3.1 Setup

To avoid confusion, we use the symbol  $\models$  (with or without subscripts) for the “models” and entailment relations on clauses and  $\models$  for the abstract concept of consequence.

**abbreviation** true-lit-thick :: 'a interp  $\Rightarrow$  'a literal  $\Rightarrow$  bool (**infix**  $\triangleleft\models l 50$ ) **where**

$I \Vdash l L \equiv I \models l L$

**abbreviation** *true-cls-thick* :: 'a interp  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\langle \Vdash l \rangle$  50) **where**  
 $I \Vdash C \equiv I \models C$

**abbreviation** *true-clss-thick* :: 'a interp  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\langle \Vdash s \rangle$  50) **where**  
 $I \Vdash s \mathcal{C} \equiv I \models s \mathcal{C}$

**abbreviation** *true-cls-mset-thick* :: 'a interp  $\Rightarrow$  'a clause multiset  $\Rightarrow$  bool (**infix**  $\langle \Vdash m \rangle$  50) **where**  
 $I \Vdash m \mathcal{C} \equiv I \models m \mathcal{C}$

**no-notation** *true-lit* (**infix**  $\langle \models l \rangle$  50)  
**no-notation** *true-cls* (**infix**  $\langle \models \rangle$  50)  
**no-notation** *true-clss* (**infix**  $\langle \models s \rangle$  50)  
**no-notation** *true-cls-mset* (**infix**  $\langle \models m \rangle$  50)

### 3.2 Consequence Relation

**abbreviation** *entails-clss* :: 'a clause set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\langle \Vdash e \rangle$  50) **where**  
 $N1 \Vdash e N2 \equiv \forall I. I \models s N1 \longrightarrow I \models s N2$

**lemma** *entails-iff-unsatisfiable-single*:

$CC \Vdash e \{E\} \longleftrightarrow \neg \text{satisfiable}(CC \cup \{\#\# L\# | L. L \in \# E\})$  (**is** -  $\longleftrightarrow$  - (-  $\cup$  ?NegD))  
 $\langle \text{proof} \rangle$

**lemma** *entails-iff-unsatisfiable*:

$CC \Vdash e EE \longleftrightarrow (\forall E \in EE. \neg \text{satisfiable}(CC \cup \{\#\# L\# | L. L \in \# E\}))$  (**is** ?lhs = ?rhs)  
 $\langle \text{proof} \rangle$

**interpretation** *consequence-relation*  $\{\#\}$  ( $\Vdash e$ )  
 $\langle \text{proof} \rangle$

**interpretation** *concl-compact-consequence-relation*  $\{\#\}$  :: ('a :: wellorder) clause set ( $\Vdash e$ )  
 $\langle \text{proof} \rangle$

### 3.3 Counterexample-Reducing Inference Systems

**definition** *clss-of-interp* :: 'a set  $\Rightarrow$  'a literal multiset set **where**  
 $\text{clss-of-interp } I = \{\#\text{(if } A \in I \text{ then Pos else Neg)} A\# | A. \text{True}\}$

**lemma** *true-clss-of-interp-iff-equal[simp]*:  $J \Vdash s \text{ clss-of-interp } I \longleftrightarrow J = I$   
 $\langle \text{proof} \rangle$

**lemma** *entails-iff-models[simp]*:  $\text{clss-of-interp } I \Vdash e CC \longleftrightarrow I \models s CC$   
 $\langle \text{proof} \rangle$

**locale** *clausal-counterex-reducing-inference-system* = *inference-system* Inf  
**for** Inf :: ('a :: wellorder) clause inference set +  
**fixes** *J-of* :: 'a clause set  $\Rightarrow$  'a interp  
**assumes** *clausal-Inf-counterex-reducing*:  
 $\{\#\} \notin N \Rightarrow D \in N \Rightarrow \neg J\text{-of } N \models D \Rightarrow (\bigwedge C. C \in N \Rightarrow \neg J\text{-of } N \models C \Rightarrow D \leq C) \Rightarrow$   
 $\exists \iota \in \text{Inf}. \text{prems-of } \iota \neq [] \wedge \text{main-prem-of } \iota = D \wedge \text{set(side-prems-of } \iota) \subseteq N \wedge$   
 $J\text{-of } N \models \text{set(side-prems-of } \iota) \wedge \neg J\text{-of } N \models \text{concl-of } \iota \wedge \text{concl-of } \iota < D$   
**begin**

**abbreviation** *I-of* :: 'a clause set  $\Rightarrow$  'a clause set **where**

```

I-of N ≡ clss-of-interp (J-of N)

lemma Inf-counterex-reducing:
assumes
  bot-ni-n: N ∩ {{#}} = {} and
  d-in-n: D ∈ N and
  n-ent-d: ¬ I-of N ⊨e {D} and
  d-min: ⋀ C. C ∈ N ⇒ ¬ I-of N ⊨e {C} ⇒ D ≤ C
shows ∃ i ∈ Inf. prems-of i ≠ [] ∧ main-prem-of i = D ∧ set (side-prems-of i) ⊆ N
  ∧ I-of N ⊨e set (side-prems-of i) ∧ ¬ I-of N ⊨e {concl-of i} ∧ concl-of i < D
  ⟨proof⟩

```

```

sublocale counterex-reducing-inference-system {{#}} (⊨e) Inf I-of
  (<) :: 'a clause ⇒ 'a clause ⇒ bool
  ⟨proof⟩

```

end

### 3.4 Counterexample-Reducing Calculi Equipped with a Standard Redundancy Criterion

```

locale clausal-counterex-reducing-calculus-with-standard-redundancy =
calculus-with-standard-redundancy Inf {{#}} (⊨e) (<) :: 'a clause ⇒ 'a clause ⇒ bool +
clausal-counterex-reducing-inference-system Inf J-of
for
  Inf :: ('a :: wellorder) clause inference set and
  J-of :: 'a clause set ⇒ 'a set
begin
  sublocale counterex-reducing-calculus-with-standard-inference-redundancy {{#}} Inf (⊨e) Red-I
    Red-F I-of (<) :: 'a clause ⇒ 'a clause ⇒ bool
    ⟨proof⟩
  lemma clausal-saturated-model: saturated N ⇒ {#} ∉ N ⇒ J-of N ⊨s N
    ⟨proof⟩
  corollary clausal-saturated-complete: saturated N ⇒ (∀ I. ¬ I ⊨s N) ⇒ {#} ∈ N
    ⟨proof⟩
  end
  end

```

## 4 Application of the Saturation Framework to Bachmair and Ganzinger's RP

```

theory FO-Ordered-Resolution-Prover-Revisited
imports
  Ordered-Resolution-Prover.FO-Ordered-Resolution-Prover
  Saturation-Framework.Given-Clause-Architectures
  Clausal-Calculus
  Soundness
begin

```

The main results about Bachmair and Ganzinger's RP prover, as established in Section 4.3

of their *Handbook* chapter and formalized by Schlichtkrull et al., are re-proved here using the saturation framework of Waldmann et al.

## 4.1 Setup

```
no-notation true-lit (infix `|=l` 50)
no-notation true-cls (infix `|=l` 50)
no-notation true-cls (infix `|=s` 50)
no-notation true-cls-mset (infix `|=m` 50)
```

hide-type (**open**) *Inference-System.inference*

hide-const (**open**) *Inference-System.Infer* *Inference-System.main-prem-of*  
*Inference-System.side-prems-of* *Inference-System.prem-of* *Inference-System.concl-of*  
*Inference-System.concls-of* *Inference-System.infer-from*

type-synonym 'a old-inference = 'a *Inference-System.inference*

```
abbreviation old-Infer ≡ Inference-System.Infer
abbreviation old-side-prems-of ≡ Inference-System.side-prems-of
abbreviation old-main-prem-of ≡ Inference-System.main-prem-of
abbreviation old-concl-of ≡ Inference-System.concl-of
abbreviation old-prems-of ≡ Inference-System.prem-of
abbreviation old-concls-of ≡ Inference-System.concls-of
abbreviation old-infer-from ≡ Inference-System.infer-from
```

lemmas old-infer-from-def = *Inference-System.infer-from-def*

## 4.2 Library

```
lemma set-zip-replicate-right[simp]:
  set (zip xs (replicate (length xs) y)) = (λx. (x, y)) ` set xs
  ⟨proof⟩
```

## 4.3 Ground Layer

context *FO-resolution-prover*  
begin

```
no-notation RP (infix `~~` 50)
notation RP (infix `~~RP` 50)
```

interpretation gr: *ground-resolution-with-selection S-M S M*  
⟨proof⟩

definition G-Inf :: 'a clause set ⇒ 'a clause inference set where  
 $G\text{-}Inf\ M = \{Infer\ (CAs @ [DA])\ E \mid CAs\ DA\ AAs\ As\ E.\ gr.\text{ord-resolve}\ M\ CAs\ DA\ AAs\ As\ E\}$

lemma G-Inf-have-prems:  $\iota \in G\text{-}Inf\ M \implies \text{prems-of }\iota \neq []$   
⟨proof⟩

lemma G-Inf-reductive:  $\iota \in G\text{-}Inf\ M \implies \text{concl-of }\iota < \text{main-prem-of }\iota$   
⟨proof⟩

interpretation G: *sound-inference-system G-Inf M {#} (||=e)*

$\langle proof \rangle$

**interpretation**  $G$ : clausal-counterex-reducing-inference-system  $G\text{-Inf } M \text{ gr.INTERP } M$   
 $\langle proof \rangle$

**interpretation**  $G$ : clausal-counterex-reducing-calculus-with-standard-redundancy  $G\text{-Inf } M$   
 $\text{gr.INTERP } M$   
 $\langle proof \rangle$

**interpretation**  $G$ : statically-complete-calculus  $\{\{\#\}\}$   $G\text{-Inf } M \ (\models e) \ G.\text{Red-}I \ M \ G.\text{Red-}F$   
 $\langle proof \rangle$

#### 4.4 First-Order Layer

**abbreviation**  $\mathcal{G}\text{-}F :: \langle 'a clause \Rightarrow 'a clause set \rangle \text{ where}$   
 $\langle \mathcal{G}\text{-}F \equiv \text{grounding-of-cls} \rangle$

**abbreviation**  $\mathcal{G}\text{-}Fset :: \langle 'a clause set \Rightarrow 'a clause set \rangle \text{ where}$   
 $\langle \mathcal{G}\text{-}Fset \equiv \text{grounding-of-clss} \rangle$

**lemmas**  $\mathcal{G}\text{-}F\text{-def} = \text{grounding-of-cls-def}$   
**lemmas**  $\mathcal{G}\text{-}Fset\text{-def} = \text{grounding-of-clss-def}$

**definition**  $\mathcal{G}\text{-}I :: \langle 'a clause set \Rightarrow 'a clause inference \Rightarrow 'a clause inference set \rangle \text{ where}$   
 $\langle \mathcal{G}\text{-}I \ M \ i = \{ \text{Infer} \ (\text{prems-of } i \cdot \cdot \text{cl } \varrho s) \ (\text{concl-of } i \cdot \varrho) \mid \varrho \varrho s.$   
 $\quad \text{is-ground-subst-list } \varrho s \wedge \text{is-ground-subst } \varrho$   
 $\quad \wedge \text{Infer} \ (\text{prems-of } i \cdot \cdot \text{cl } \varrho s) \ (\text{concl-of } i \cdot \varrho) \in G\text{-Inf } M \} \rangle$

**abbreviation**

$\mathcal{G}\text{-}I\text{-opt} :: \langle 'a clause set \Rightarrow 'a clause inference \Rightarrow 'a clause inference set option \rangle$   
**where**  
 $\langle \mathcal{G}\text{-}I\text{-opt } M \ i \equiv \text{Some} \ (\mathcal{G}\text{-}I \ M \ i) \rangle$

**definition**  $F\text{-Inf} :: 'a clause inference set \text{ where}$

$F\text{-Inf} = \{ \text{Infer} \ (\text{CAs} @ [\text{DA}]) \ E \mid \text{CAs DA AAs As } \sigma \ E. \text{ ord-resolve-rename } S \text{ CAs DA AAs As } \sigma \ E \}$

**lemma**  $F\text{-Inf-have-prems}: i \in F\text{-Inf} \implies \text{prems-of } i \neq []$   
 $\langle proof \rangle$

**interpretation**  $F$ : lifting-intersection  $F\text{-Inf } \{\{\#\}\} \text{ UNIV } G\text{-Inf } \lambda N. (\models e) \ G.\text{Red-}I \ \lambda N. \ G.\text{Red-}F$   
 $\{\{\#\}\} \ \lambda N. \mathcal{G}\text{-}F \ \mathcal{G}\text{-}I\text{-opt } \lambda D \ C \ C'. \text{ False}$   
 $\langle proof \rangle$

**notation**  $F\text{.entails-}\mathcal{G}$  (infix  $\models_{\mathcal{G}} 50$ )

**lemma**  $F\text{-entails-}\mathcal{G}\text{-iff}: N1 \models_{\mathcal{G}} N2 \longleftrightarrow \bigcup (\mathcal{G}\text{-}F ' N1) \models e \bigcup (\mathcal{G}\text{-}F ' N2)$   
 $\langle proof \rangle$

**lemma** true-Union-grounding-of-cls-iff:  
 $I \models_s (\bigcup C \in N. \{C \cdot \sigma \mid \sigma. \text{ is-ground-subst } \sigma\}) \longleftrightarrow (\forall \sigma. \text{ is-ground-subst } \sigma \longrightarrow I \models_s N \cdot cs \ \sigma)$   
 $\langle proof \rangle$

**interpretation**  $F$ : sound-inference-system  $F\text{-Inf } \{\{\#\}\} (\models_{\mathcal{G}} e)$   
 $\langle proof \rangle$

**lemma**  $G\text{-Inf-overapprox-}F\text{-Inf}: i_0 \in G\text{-Inf-from } M \ (\bigcup (\mathcal{G}\text{-}F ' M)) \implies \exists i \in F\text{-Inf-from } M. \ i_0 \in \mathcal{G}\text{-}I$

$M \vdash$   
 $\langle proof \rangle$

**interpretation**  $F$ : statically-complete-calculus  $\{\{\#\}\}$   $F\text{-Inf}$  ( $\Vdash_{\mathcal{G}e}$ )  $F\text{.Red-}I\text{-}\mathcal{G}$   $F\text{.Red-}F\text{-}\mathcal{G}\text{-empty}$   
 $\langle proof \rangle$

## 4.5 Labeled First-Order or Given Clause Layer

**datatype**  $label = New \mid Processed \mid Old$

**abbreviation**  $F\text{-Equiv} :: 'a clause \Rightarrow 'a clause \Rightarrow bool$  (**infix**  $\doteqdot 50$ ) **where**  
 $C \doteqdot D \equiv generalizes C D \wedge generalizes D C$

**abbreviation**  $F\text{-Prec} :: 'a clause \Rightarrow 'a clause \Rightarrow bool$  (**infix**  $\prec\cdot\cdot 50$ ) **where**  
 $C \prec\cdot D \equiv strictly-generalizes C D$

**fun**  $L\text{-Prec} :: label \Rightarrow label \Rightarrow bool$  (**infix**  $\sqsubset l \cdot 50$ ) **where**  
 $Old \sqsubset l \cdot l \longleftrightarrow l \neq Old$   
 $| Processed \sqsubset l \cdot l \longleftrightarrow l = New$   
 $| New \sqsubset l \cdot l \longleftrightarrow False$

**lemma**  $irrefl\text{-}L\text{-Prec}: \neg l \sqsubset l \cdot l$   
 $\langle proof \rangle$

**lemma**  $trans\text{-}L\text{-Prec}: l_1 \sqsubset l \cdot l_2 \implies l_2 \sqsubset l \cdot l_3 \implies l_1 \sqsubset l \cdot l_3$   
 $\langle proof \rangle$

**lemma**  $wf\text{-}L\text{-Prec}: wfP (\sqsubset l)$   
 $\langle proof \rangle$

**interpretation**  $FL$ : given-clause  $\{\{\#\}\}$   $F\text{-Inf}$   $\{\{\#\}\}$  UNIV  $\lambda N.$  ( $\Vdash e$ )  $G\text{-Inf}$   $G\text{.Red-}I$   
 $\lambda N.$   $G\text{.Red-}F$   $\lambda N.$   $\mathcal{G}\text{-}F$   $\mathcal{G}\text{-}I\text{-opt} (\doteq) (\prec\cdot) (\sqsubset l)$   $Old$   
 $\langle proof \rangle$

**notation**  $FL\text{.Prec-}FL$  (**infix**  $\sqsubset\cdot\cdot 50$ )  
**notation**  $FL\text{.entails-}\mathcal{G}\text{-}L$  (**infix**  $\Vdash_{\mathcal{G}Le} 50$ )  
**notation**  $FL\text{.derive}$  (**infix**  $\triangleright L \cdot 50$ )  
**notation**  $FL\text{.step}$  (**infix**  $\rightsquigarrow GC \cdot 50$ )

**lemma**  $FL\text{-Red-}F\text{-eq}:$   
 $FL\text{.Red-}F N =$   
 $\{C. \forall D \in \mathcal{G}\text{-}F (fst C). D \in G\text{.Red-}F (\bigcup (\mathcal{G}\text{-}F ' fst ' N)) \vee (\exists E \in N. E \sqsubset C \wedge D \in \mathcal{G}\text{-}F (fst E))\}$   
 $\langle proof \rangle$

**lemma**  $mem\text{-}FL\text{-Red-}F\text{-because-}G\text{-Red-}F:$   
 $(\forall D \in \mathcal{G}\text{-}F (fst Cl). D \in G\text{.Red-}F (\bigcup (\mathcal{G}\text{-}F ' fst ' N))) \implies Cl \in FL\text{.Red-}F N$   
 $\langle proof \rangle$

**lemma**  $mem\text{-}FL\text{-Red-}F\text{-because-Prec-}FL:$   
 $(\forall D \in \mathcal{G}\text{-}F (fst Cl). \exists El \in N. El \sqsubset Cl \wedge D \in \mathcal{G}\text{-}F (fst El)) \implies Cl \in FL\text{.Red-}F N$   
 $\langle proof \rangle$

## 4.6 Resolution Prover Layer

**interpretation**  $sq$ : selection  $S\text{-}Q$   $Sts$   
 $\langle proof \rangle$

**interpretation** *gd*: ground-resolution-with-selection  $S\text{-}Q\text{-}Sts$   
 $\langle proof \rangle$

**interpretation** *src*: standard-redundancy-criterion-counterex-reducing  $gd.\text{ord}\text{-}\Gamma\text{-}Sts$   
ground-resolution-with-selection.INTERP ( $S\text{-}Q\text{-}Sts$ )  
 $\langle proof \rangle$

**definition** *lclss-of-state* :: '*a state*  $\Rightarrow$  ('*a clause*  $\times$  *label*) set **where**  
*lclss-of-state St* =  
 $(\lambda C. (C, \text{New}))`N\text{-of-state St} \cup (\lambda C. (C, \text{Processed}))`P\text{-of-state St}$   
 $\cup (\lambda C. (C, \text{Old}))`Q\text{-of-state St}$

**lemma** *image-hd-lclss-of-state[simp]*:  $\text{fst}`lclss-of-state St = clss-of-state St$   
 $\langle proof \rangle$

**lemma** *insert-lclss-of-state[simp]*:  
 $\text{insert}(C, \text{New})(lclss-of-state(N, P, Q)) = lclss-of-state(N \cup \{C\}, P, Q)$   
 $\text{insert}(C, \text{Processed})(lclss-of-state(N, P, Q)) = lclss-of-state(N, P \cup \{C\}, Q)$   
 $\text{insert}(C, \text{Old})(lclss-of-state(N, P, Q)) = lclss-of-state(N, P, Q \cup \{C\})$   
 $\langle proof \rangle$

**lemma** *union-lclss-of-state[simp]*:  
 $lclss-of-state(N_1, P_1, Q_1) \cup lclss-of-state(N_2, P_2, Q_2) =$   
 $lclss-of-state(N_1 \cup N_2, P_1 \cup P_2, Q_1 \cup Q_2)$   
 $\langle proof \rangle$

**lemma** *mem-lclss-of-state[simp]*:  
 $(C, \text{New}) \in lclss-of-state(N, P, Q) \longleftrightarrow C \in N$   
 $(C, \text{Processed}) \in lclss-of-state(N, P, Q) \longleftrightarrow C \in P$   
 $(C, \text{Old}) \in lclss-of-state(N, P, Q) \longleftrightarrow C \in Q$   
 $\langle proof \rangle$

**lemma** *lclss-Liminf-commute*:  
 $\text{Liminf-llist}(\text{lmap } lclss-of-state Sts) = lclss-of-state(\text{Liminf-state Sts})$   
 $\langle proof \rangle$

**lemma** *GC-tautology-step*:  
**assumes** *tauto*:  $\text{Neg } A \in \# C$   $\text{Pos } A \in \# C$   
**shows**  $lclss-of-state(N \cup \{C\}, P, Q) \rightsquigarrow_{GC} lclss-of-state(N, P, Q)$   
 $\langle proof \rangle$

**lemma** *GC-subsumption-step*:  
**assumes**  
*d-in*:  $Dl \in N$  **and**  
*d-sub-c*: strictly-subsumes  $(\text{fst } Dl)(\text{fst } Cl) \vee \text{subsumes}(\text{fst } Dl)(\text{fst } Cl) \wedge \text{snd } Dl \sqsubset_l \text{snd } Cl$   
**shows**  $N \cup \{Cl\} \rightsquigarrow_{GC} N$   
 $\langle proof \rangle$

**lemma** *GC-reduction-step*:  
**assumes**  
*young*:  $\text{snd } Dl \neq Old$  **and**  
*d-sub-c*:  $\text{fst } Dl \subset \# \text{fst } Cl$   
**shows**  $N \cup \{Cl\} \rightsquigarrow_{GC} N \cup \{Dl\}$   
 $\langle proof \rangle$

**lemma** *GC-processing-step*:  $N \cup \{(C, \text{New})\} \xrightarrow{\text{GC}} N \cup \{(C, \text{Processed})\}$   
*(proof)*

**lemma** *old-inferences-between-eq-new-inferences-between*:  
*old-concl-of* ‘*inference-system.inferences-between* (*ord-FO-Γ S*)  $N C =$   
*concl-of* ‘*F.Inf-between*  $N \{C\}$  (**is**  $?rp = ?f$ )  
*(proof)*

**lemma** *GC-inference-step*:  
**assumes**  
*young*:  $l \neq \text{Old}$  **and**  
*no-active*: *FL.active-subset M = {} and*  
*m-sup*:  $\text{fst } 'M \supseteq \text{old-concl-of } ' \text{inference-system.inferences-between } (\text{ord-FO-Γ } S)$   
 $(\text{fst } ' \text{FL.active-subset } N) C$   
**shows**  $N \cup \{(C, l)\} \xrightarrow{\text{GC}} N \cup \{(C, \text{Old})\} \cup M$   
*(proof)*

**lemma** *RP-step-imp-GC-step*:  $St \xrightarrow{\text{RP}} St' \implies \text{lclss-of-state } St \xrightarrow{\text{GC}} \text{lclss-of-state } St'$   
*(proof)*

**lemma** *RP-derivation-imp-GC-derivation*: *chain* ( $\sim RP$ )  $Sts \implies \text{chain } (\sim GC) (\text{lmap lclss-of-state } Sts)$   
*(proof)*

**lemma** *RP-step-imp-derive-step*:  $St \xrightarrow{\text{RP}} St' \implies \text{lclss-of-state } St \triangleright L \text{lclss-of-state } St'$   
*(proof)*

**lemma** *RP-derivation-imp-derive-derivation*:  
*chain* ( $\sim RP$ )  $Sts \implies \text{chain } (\triangleright L) (\text{lmap lclss-of-state } Sts)$   
*(proof)*

**theorem** *RP-sound-new-statement*:

**assumes**  
*deriv*: *chain* ( $\sim RP$ )  $Sts$  **and**  
*bot-in*:  $\{\#\} \in \text{clss-of-state } (\text{Liminf-state } Sts)$   
**shows** *clss-of-state* (*lhd Sts*)  $\models_{\mathcal{G}} \{\#\}$   
*(proof)*

**theorem** *RP-saturated-if-fair-new-statement*:

**assumes**  
*deriv*: *chain* ( $\sim RP$ )  $Sts$  **and**  
*init*: *FL.active-subset* (*lclss-of-state* (*lhd Sts*)) = {} **and**  
*final*: *FL.passive-subset* (*Liminf-llist* (*lmap lclss-of-state Sts*)) = {}  
**shows** *FL.saturated* (*Liminf-llist* (*lmap lclss-of-state Sts*))  
*(proof)*

**corollary** *RP-complete-if-fair-new-statement*:

**assumes**  
*deriv*: *chain* ( $\sim RP$ )  $Sts$  **and**  
*init*: *FL.active-subset* (*lclss-of-state* (*lhd Sts*)) = {} **and**  
*final*: *FL.passive-subset* (*Liminf-llist* (*lmap lclss-of-state Sts*)) = {} **and**  
*unsat*: *grounding-of-state* (*lhd Sts*)  $\models_e \{\#\}$   
**shows**  $\{\#\} \in Q\text{-of-state } (\text{Liminf-state } Sts)$   
*(proof)*

## 4.7 Alternative Derivation of Previous RP Results

**lemma** *old-fair-imp-new-fair*:

**assumes**

*nnul*:  $\neg lnull Sts$  **and**

*fair*: *fair-state-seq Sts* **and**

*empty-Q0*: *Q-of-state (lhd Sts)* = {}

**shows**

*FL.active-subset (lclss-of-state (lhd Sts))* = {} **and**

*FL.passive-subset (Liminf-llist (lmap lclss-of-state Sts))* = {}

*(proof)*

**lemma** *old-redundant-infer-iff*:

*src.redundant-infer N γ*  $\longleftrightarrow$

$(\exists DD. DD \subseteq N \wedge DD \cup set-mset (old-side-prems-of \gamma) \models_e \{old-concl-of \gamma\})$

$\wedge (\forall D \in DD. D < old-main-prem-of \gamma)$

**(is** ?lhs  $\longleftrightarrow$  ?rhs)

*(proof)*

**definition** *old-infer-of* :: 'a clause inference  $\Rightarrow$  'a old-inference **where**

*old-infer-of i* = *old-Infer (mset (side-prems-of i)) (main-prem-of i) (concl-of i)*

**lemma** *new-redundant-infer-imp-old-redundant-infer*:

*G.redundant-infer N i*  $\implies$  *src.redundant-infer N (old-infer-of i)*

*(proof)*

**lemma** *saturated-imp-saturated-RP*:

**assumes**

*satur*: *FL.saturated (Liminf-llist (lmap lclss-of-state Sts))* **and**

*no-passive*: *FL.passive-subset (Liminf-llist (lmap lclss-of-state Sts))* = {}

**shows** *src.saturated-upto Sts (grounding-of-state (Liminf-state Sts))*

*(proof)*

**theorem** *RP-sound-old-statement*:

**assumes**

*deriv*: *chain ( $\sim RP$ ) Sts* **and**

*bot-in*: {#}  $\in clss-of-state (Liminf-state Sts)$

**shows**  $\neg satisfiable (grounding-of-state (lhd Sts))$

*(proof)*

The theorem below is stated differently than the original theorem in RP: The grounding of the limit might be a strict subset of the limit of the groundings. Because saturation is neither monotone nor antimonotone, the two results are incomparable. See also *grounding-of-state-Liminf-state-subseteq*.

**theorem** *RP-saturated-if-fair-old-statement-altered*:

**assumes**

*deriv*: *chain ( $\sim RP$ ) Sts* **and**

*fair*: *fair-state-seq Sts* **and**

*empty-Q0*: *Q-of-state (lhd Sts)* = {}

**shows** *src.saturated-upto Sts (grounding-of-state (Liminf-state Sts))*

*(proof)*

**corollary** *RP-complete-if-fair-old-statement*:

**assumes**

*deriv*: *chain ( $\sim RP$ ) Sts* **and**

*fair*: *fair-state-seq Sts* **and**

*empty-Q0*: *Q-of-state (lhd Sts)* = {} **and**

```

unsat:  $\neg \text{satisfiable}(\text{grounding-of-state}(\text{lhd } Sts))$ 
shows  $\{\#\} \in Q\text{-of-state}(\text{Liminf-state } Sts)$ 
(proof)

```

```
end
```

```
end
```

## 5 New Fairness Proofs for the Given Clause Prover Architectures

```

theory Given-Clause-Architectures-Revisited
  imports Saturation-Framework.Given-Clause-Architectures
begin

```

The given clause and lazy given clause procedures satisfy key invariants. This provides an alternative way to prove fairness and hence saturation of the limit.

### 5.1 Given Clause Procedure

```

context given-clause
begin

```

```

definition gc-invar ::  $('f \times 'l) \text{ set llist} \Rightarrow \text{enat} \Rightarrow \text{bool}$  where
  gc-invar  $Ns i \longleftrightarrow$ 
     $\text{Inf-from}(\text{active-subset}(\text{Liminf-upto-llist } Ns i)) \subseteq \text{Sup-upto-llist}(\text{lmap Red-I-G } Ns) i$ 

```

```
lemma gc-invar-infinity:
```

```

assumes
  nnil:  $\neg \text{lnull } Ns$  and
  invar:  $\forall i. \text{enat } i < \text{llength } Ns \longrightarrow \text{gc-invar } Ns (\text{enat } i)$ 
shows gc-invar  $Ns \infty$ 
(proof)

```

```
lemma gc-invar-gc-init:
```

```

assumes
   $\neg \text{lnull } Ns$  and
   $\text{active-subset}(\text{lhd } Ns) = \{\}$ 
shows gc-invar  $Ns 0$ 
(proof)

```

```
lemma gc-invar-gc-step:
```

```

assumes
  Si-lt:  $\text{enat}(\text{Suc } i) < \text{llength } Ns$  and
  invar: gc-invar  $Ns i$  and
  step:  $\text{lnth } Ns i \rightsquigarrow \text{GC lnth } Ns (\text{Suc } i)$ 
shows gc-invar  $Ns (\text{Suc } i)$ 
(proof)

```

```
lemma gc-invar-gc:
```

```

assumes
  gc:  $\text{chain}(\sim \text{GC}) Ns$  and
  init:  $\text{active-subset}(\text{lhd } Ns) = \{\}$  and
  i-lt:  $i < \text{llength } Ns$ 

```

```

shows gc-invar Ns i
⟨proof⟩

lemma gc-fair-new-proof:
assumes
  gc: chain ( $\sim GC$ ) Ns and
  init: active-subset (lhd Ns) = {} and
  lim: passive-subset (Liminf-llist Ns) = {}
shows fair Ns
⟨proof⟩

```

end

## 5.2 Lazy Given Clause

**context** lazy-given-clause  
**begin**

**definition** from-F :: 'f inference  $\Rightarrow$  ('f  $\times$  'l) inference set **where**  

$$\text{from-F } \iota = \{\iota' \in Inf\text{-FL. to-F } \iota' = \iota\}$$

**definition** lgc-invar :: ('f inference set  $\times$  ('f  $\times$  'l) set) llist  $\Rightarrow$  enat  $\Rightarrow$  bool **where**  

$$\begin{aligned} lgc\text{-invar } TNs \ i &\longleftrightarrow \\ &Inf\text{-from (active-subset (Liminf-up-to-llist (lmap snd TNs) i))} \\ &\subseteq \bigcup (\text{from-F} ` Liminf-up-to-llist (lmap fst TNs) i) \cup Sup\text{-up-to-llist (lmap (Red-I-G } \circ \text{snd) TNs) i} \end{aligned}$$

**lemma** lgc-invar-infinity:
**assumes**
 nnil:  $\neg lnull TNs$  **and**
 invar:  $\forall i. enat i < llengh TNs \rightarrow lgc\text{-invar } TNs (enat i)$ 
**shows** lgc-invar TNs  $\infty$ 
⟨proof⟩

**lemma** lgc-invar-lgc-init:
**assumes**
 nnil:  $\neg lnull TNs$  **and**
 n-init: active-subset (snd (lhd TNs)) = {} **and**
 t-init:  $\forall \iota \in Inf\text{-F. prems-of } \iota = [] \rightarrow \iota \in fst (lhd TNs)$ 
**shows** lgc-invar TNs 0
⟨proof⟩

**lemma** lgc-invar-lgc-step:
**assumes**
 Si-lt:  $enat (Suc i) < llengh TNs$  **and**
 invar: lgc-invar TNs i **and**
 step:  $lnth TNs i \sim LGC lnth TNs (Suc i)$ 
**shows** lgc-invar TNs (Suc i)
⟨proof⟩

**lemma** lgc-invar-lgc:
**assumes**
 lgc: chain ( $\sim LGC$ ) TNs **and**
 n-init: active-subset (snd (lhd TNs)) = {} **and**
 t-init:  $\forall \iota \in Inf\text{-F. prems-of } \iota = [] \rightarrow \iota \in fst (lhd TNs)$  **and**
 i-lt:  $i < llengh TNs$ 
**shows** lgc-invar TNs i

$\langle proof \rangle$

**lemma** *lgc-fair-new-proof*:

**assumes**

*lgc*: *chain* ( $\sim LGC$ ) *TNs* **and**

*n-init*: *active-subset* (*snd* (*lhd TNs*)) = {} **and**

*n-lim*: *passive-subset* (*Liminf-llist* (*lmap snd TNs*)) = {} **and**

*t-init*:  $\forall \iota \in Inf\text{-}F. \ prems\text{-}of \iota = [] \longrightarrow \iota \in fst (lhd TNs)$  **and**

*t-lim*: *Liminf-llist* (*lmap fst TNs*) = {}

**shows** *fair* (*lmap snd TNs*)

$\langle proof \rangle$

**end**

**end**