

A Comprehensive Framework for Saturation Theorem Proving

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Abstract

This Isabelle/HOL formalization is the companion of the technical report “A comprehensive framework for saturation theorem proving”, itself companion of the eponym IJCAR 2020 paper, written by Uwe Waldmann, Sophie Tourret, Simon Robillard and Jasmin Blanchette. It verifies a framework for formal refutational completeness proofs of abstract provers that implement saturation calculi, such as ordered resolution or superposition, and allows to model entire prover architectures in such a way that the static refutational completeness of a calculus immediately implies the dynamic refutational completeness of a prover implementing the calculus using a variant of the given clause loop.

The technical report “A comprehensive framework for saturation theorem proving” is available at http://matryoshka.gforge.inria.fr/pubs/satur_report.pdf. The names of the Isabelle lemmas and theorems corresponding to the results in the report are indicated in the margin of the report.

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1 Calculi Based on a Redundancy Criterion

This section introduces the most basic notions upon which the framework is built: consequence relations and inference systems. It also defines the notion of a family of consequence relations and of redundancy criteria. This corresponds to sections 2.1 and 2.2 of the report.

```
theory Calculus
imports
  Ordered-Resolution-Prover.Lazy-List-Liminf
  Ordered-Resolution-Prover.Lazy-List-Chain
begin
```

1.1 Consequence Relations

```
locale consequence-relation =
  fixes
    Bot :: 'f set and
    entails :: 'f set ⇒ 'f set ⇒ bool (infix ⊨ 50)
  assumes
    bot-not-empty: Bot ≠ {} and
    bot-entails-all: B ∈ Bot ⇒ {B} ⊨ N1 and
    subset-entailed: N2 ⊆ N1 ⇒ N1 ⊨ N2 and
    all-formulas-entailed: (∀ C ∈ N2. N1 ⊨ {C}) ⇒ N1 ⊨ N2 and
    entails-trans[trans]: N1 ⊨ N2 ⇒ N2 ⊨ N3 ⇒ N1 ⊨ N3
begin

lemma entail-set-all-formulas: N1 ⊨ N2 ↔ (∀ C ∈ N2. N1 ⊨ {C})
  by (meson all-formulas-entailed empty-subsetI insert-subset subset-entailed entails-trans)

lemma entail-union: N ⊨ N1 ∧ N ⊨ N2 ↔ N ⊨ N1 ∪ N2
  using entail-set-all-formulas[of N N1] entail-set-all-formulas[of N N2]
  entail-set-all-formulas[of N N1 ∪ N2] by blast

lemma entail-unions: (∀ i ∈ I. N ⊨ Ni i) ↔ N ⊨ ⋃ (Ni ` I)
  using entail-set-all-formulas[of N ⋃ (Ni ` I)] entail-set-all-formulas[of N]
  Complete-Lattices.UN-ball-bex-simps(2)[of Ni I λC. N ⊨ {C}, symmetric]
  by meson

lemma entail-all-bot: (∃ B ∈ Bot. N ⊨ {B}) ⇒ ∀ B' ∈ Bot. N ⊨ {B'}
  using bot-entails-all entails-trans by blast

lemma entails-trans-strong: N1 ⊨ N2 ⇒ N1 ∪ N2 ⊨ N3 ⇒ N1 ⊨ N3
  by (meson entail-union entails-trans order-refl subset-entailed)

end
```

1.2 Families of Consequence Relations

```
locale consequence-relation-family =
  fixes
```

```

Bot :: 'f set and
Q :: 'q set and
entails-q :: 'q  $\Rightarrow$  'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool
assumes
  Q-nonempty: Q  $\neq \{\}$  and
  q-cons-rel:  $\forall q \in Q.$  consequence-relation Bot (entails-q q)
begin

lemma bot-not-empty: Bot  $\neq \{\}$ 
  using Q-nonempty consequence-relation.bot-not-empty consequence-relation-family.q-cons-rel
  consequence-relation-family-axioms by blast

definition entails :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (infix  $\vdash Q$  50) where
  N1  $\vdash Q$  N2  $\longleftrightarrow$  ( $\forall q \in Q.$  entails-q q N1 N2)

lemma intersect-cons-rel-family: consequence-relation Bot entails
  unfolding consequence-relation-def entails-def
  by (intro conjI bot-not-empty) (metis consequence-relation-def q-cons-rel)+

end

```

1.3 Inference Systems

```

datatype 'f inference =
  Infer (prems-of: 'f list) (concl-of: 'f)

locale inference-system =
  fixes
    Inf :: ' inference set'
begin

definition Inf-from :: 'f set  $\Rightarrow$  'f inference set where
  Inf-from N = { $\iota \in \text{Inf}.$  set (prems-of  $\iota) \subseteq N\}$ 

definition Inf-between :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  'f inference set where
  Inf-between N M = Inf-from (N  $\cup$  M) - Inf-from (N - M)

lemma Inf-if-Inf-from:  $\iota \in \text{Inf-from } N \implies \iota \in \text{Inf}$ 
  unfolding Inf-from-def by simp

lemma Inf-if-Inf-between:  $\iota \in \text{Inf-between } N M \implies \iota \in \text{Inf}$ 
  unfolding Inf-between-def Inf-from-def by simp

lemma Inf-between-alt:
  Inf-between N M = { $\iota \in \text{Inf}.$   $\iota \in \text{Inf-from } (N \cup M) \wedge \text{set (prems-of } \iota) \cap M \neq \{\}\}$ 
  unfolding Inf-from-def Inf-between-def by auto

lemma Inf-from-mono: N  $\subseteq$  N'  $\implies$  Inf-from N  $\subseteq$  Inf-from N'
  unfolding Inf-from-def by auto

lemma Inf-between-mono: N  $\subseteq$  N'  $\implies$  M  $\subseteq$  M'  $\implies$  Inf-between N M  $\subseteq$  Inf-between N' M'
  unfolding Inf-between-alt using Inf-from-mono[of N  $\cup$  M N'  $\cup$  M'] by auto

end

```

1.4 Families of Inference Systems

```

locale inference-system-family =
  fixes
     $Q :: 'q \text{ set}$  and
     $\text{Inf-}q :: 'q \Rightarrow 'f \text{ inference set}$ 
  assumes
     $Q\text{-nonempty}: Q \neq \{\}$ 
begin

  definition Inf-from-q :: ' $q \Rightarrow 'f \text{ set} \Rightarrow 'f \text{ inference set}$  where
     $\text{Inf-}q q = \text{inference-system}.Inf\text{-from}(\text{Inf-}q q)$ 

  definition Inf-between-q :: ' $q \Rightarrow 'f \text{ set} \Rightarrow 'f \text{ set} \Rightarrow 'f \text{ inference set}$  where
     $\text{Inf-}between\text{-}q q = \text{inference-system}.Inf\text{-between}(\text{Inf-}q q)$ 

  lemma Inf-between-q-alt:
     $\text{Inf-}between\text{-}q q N M = \{\iota \in \text{Inf-}q q. \iota \in \text{Inf-}from\text{-}q q (N \cup M) \wedge \text{set}(\text{prems-of } \iota) \cap M \neq \{\}\}$ 
    unfolding Inf-from-q-def Inf-between-q-def inference-system.Inf-between-alt by auto

end

```

1.5 Calculi Based on a Single Redundancy Criterion

```

locale calculus = inference-system Inf + consequence-relation Bot entails
  for
    Bot :: ' $f \text{ set}$ ' and
    Inf :: ' $f \text{ inference set}$ ' and
    entails :: ' $f \text{ set} \Rightarrow 'f \text{ set} \Rightarrow \text{bool}$  (infix  $\triangleleft\models 50$ )
  + fixes
    Red-I :: ' $f \text{ set} \Rightarrow 'f \text{ inference set}$ ' and
    Red-F :: ' $f \text{ set} \Rightarrow 'f \text{ set}$ '
  assumes
    Red-I-to-Inf:  $\text{Red-}I N \subseteq \text{Inf}$  and
    Red-F-Bot:  $B \in \text{Bot} \implies N \models \{B\} \implies N - \text{Red-}F N \models \{B\}$  and
    Red-F-of-subset:  $N \subseteq N' \implies \text{Red-}F N \subseteq \text{Red-}F N'$  and
    Red-I-of-subset:  $N \subseteq N' \implies \text{Red-}I N \subseteq \text{Red-}I N'$  and
    Red-F-of-Red-F-subset:  $N' \subseteq \text{Red-}F N \implies \text{Red-}F N \subseteq \text{Red-}F (N - N')$  and
    Red-I-of-Red-F-subset:  $N' \subseteq \text{Red-}F N \implies \text{Red-}I N \subseteq \text{Red-}I (N - N')$  and
    Red-I-of-Inf-to-N:  $\iota \in \text{Inf} \implies \text{concl-of } \iota \in N \implies \iota \in \text{Red-}I N$ 
begin

  lemma Red-I-of-Inf-to-N-subset:  $\{\iota \in \text{Inf}. \text{concl-of } \iota \in N\} \subseteq \text{Red-}I N$ 
    using Red-I-of-Inf-to-N by blast

  lemma red-concl-to-red-inf:
    assumes
      i-in:  $\iota \in \text{Inf}$  and
      concl:  $\text{concl-of } \iota \in \text{Red-}F N$ 
    shows  $\iota \in \text{Red-}I N$ 
    proof -
      have  $\iota \in \text{Red-}I (\text{Red-}F N)$  by (simp add: Red-I-of-Inf-to-N i-in concl)
      then have i-in-Red:  $\iota \in \text{Red-}I (N \cup \text{Red-}F N)$  by (simp add: Red-I-of-Inf-to-N concl i-in)
      have red-n-subs:  $\text{Red-}F N \subseteq \text{Red-}F (N \cup \text{Red-}F N)$  by (simp add: Red-F-of-subset)
      then have  $\iota \in \text{Red-}I ((N \cup \text{Red-}F N) - (\text{Red-}F N - N))$  using Red-I-of-Red-F-subset i-in-Red

```

```

by (meson Diff-subset subsetCE subset-trans)
then show ?thesis by (metis Diff-cancel Diff-subset Un-Diff Un-Diff-cancel contra-subsetD
calculus.Red-I-of-subset calculus-axioms sup-bot.right-neutral)
qed

```

```

definition saturated :: 'f set ⇒ bool where
saturated N ←→ Inf-from N ⊆ Red-I N

```

```

definition reduc-saturated :: 'f set ⇒ bool where
reduc-saturated N ←→ Inf-from (N − Red-F N) ⊆ Red-I N

```

```

lemma Red-I-without-red-F:

```

```

Red-I (N − Red-F N) = Red-I N
using Red-I-of-subset [of N − Red-F N N]
and Red-I-of-Red-F-subset [of Red-F N N] by blast

```

```

lemma saturated-without-red-F:

```

```

assumes saturated: saturated N
shows saturated (N − Red-F N)

```

```

proof –

```

```

have Inf-from (N − Red-F N) ⊆ Inf-from N unfolding Inf-from-def by auto
also have Inf-from N ⊆ Red-I N using saturated unfolding saturated-def by auto
also have Red-I N ⊆ Red-I (N − Red-F N) using Red-I-of-Red-F-subset by auto
finally have Inf-from (N − Red-F N) ⊆ Red-I (N − Red-F N) by auto
then show ?thesis unfolding saturated-def by auto

```

```

qed

```

```

definition fair :: 'f set llist ⇒ bool where
fair Ns ←→ Inf-from (Liminf-lolist Ns) ⊆ Sup-lolist (lmap Red-I Ns)

```

```

inductive derive :: 'f set ⇒ 'f set ⇒ bool (infix <▷> 50) where
derive: M − N ⊆ Red-F N ⇒ M ▷ N

```

```

lemma gt-Max-notin: ⟨finite A ⇒ A ≠ {} ⇒ x > Max A ⇒ x ∉ A⟩ by auto

```

```

lemma equiv-Sup-Liminf:

```

```

assumes

```

```

in-Sup: C ∈ Sup-lolist Ns and
not-in-Liminf: C ∉ Liminf-lolist Ns

```

```

shows

```

```

∃ i ∈ {i. enat (Suc i) < llength Ns}. C ∈ lnth Ns i − lnth Ns (Suc i)

```

```

proof –

```

```

obtain i where C-D-i: C ∈ Sup-up-to-lolist Ns (enat i) and enat i < llength Ns
using elem-Sup-lolist-imp-Sup-up-to-lolist in-Sup by fast

```

```

then obtain j where j: j ≥ i ∧ enat j < llength Ns ∧ C ∉ lnth Ns j

```

```

using not-in-Liminf unfolding Sup-up-to-lolist-def chain-def Liminf-lolist-def by auto

```

```

obtain k where k: C ∈ lnth Ns k enat k < llength Ns k ≤ i using C-D-i

```

```

unfolding Sup-up-to-lolist-def by auto

```

```

let ?S = {i. i < j ∧ i ≥ k ∧ C ∈ lnth Ns i}

```

```

define l where l = Max ?S

```

```

have ⟨k ∈ {i. i < j ∧ k ≤ i ∧ C ∈ lnth Ns i}⟩ using k j by (auto simp: order.order-iff-strict)

```

```

then have nempty: {i. i < j ∧ k ≤ i ∧ C ∈ lnth Ns i} ≠ {} by auto

```

```

then have l-prop: l < j ∧ l ≥ k ∧ C ∈ lnth Ns l using Max-in[of ?S, OF - nempty]

```

```

unfolding l-def by auto

```

```

then have C ∈ lnth Ns l − lnth Ns (Suc l) using j gt-Max-notin[OF - nempty, of Suc l]

```

```

unfolding l-def[symmetric] by (auto intro: Suc-lessI)
then show ?thesis
proof (rule bexI[of - l])
  show l ∈ {i. enat (Suc i) < llength Ns}
    using l-prop j
    by (clarify, metis Suc-leI dual-order.order-iff-strict enat-ord-simps(2) less-trans)
qed
qed

```

```

lemma Red-in-Sup:
  assumes deriv: chain (▷) Ns
  shows Sup-llist Ns – Liminf-llist Ns ⊆ Red-F (Sup-llist Ns)
proof
  fix C
  assume C-in-subset: C ∈ Sup-llist Ns – Liminf-llist Ns
  {
    fix C i
    assume
      in-ith-elem: C ∈ lnth Ns i – lnth Ns (Suc i) and
      i: enat (Suc i) < llength Ns
    have lnth Ns i ▷ lnth Ns (Suc i) using i deriv in-ith-elem chain-lnth-rel by auto
    then have C ∈ Red-F (lnth Ns (Suc i)) using in-ith-elem derive.cases by blast
    then have C ∈ Red-F (Sup-llist Ns) using Red-F-of-subset
      by (meson contra-subsetD i lnth-subset-Sup-llist)
  }
  then show C ∈ Red-F (Sup-llist Ns) using equiv-Sup-Liminf[of C] C-in-subset by fast
qed

```

```

lemma Red-I-subset-Liminf:
  assumes deriv: ⟨chain (▷) Ns⟩ and
    i: ⟨enat i < llength Ns⟩
  shows ⟨Red-I (lnth Ns i) ⊆ Red-I (Liminf-llist Ns)⟩
proof –
  have Sup-in-diff: ⟨Red-I (Sup-llist Ns) ⊆ Red-I (Sup-llist Ns – (Sup-llist Ns – Liminf-llist Ns))⟩
    using Red-I-of-Red-F-subset[OF Red-in-Sup] deriv by auto
  also have ⟨Sup-llist Ns – (Sup-llist Ns – Liminf-llist Ns) = Liminf-llist Ns⟩
    by (simp add: Liminf-llist-subset-Sup-llist double-diff)
  then have Red-I-Sup-in-Liminf: ⟨Red-I (Sup-llist Ns) ⊆ Red-I (Liminf-llist Ns)⟩
    using Sup-in-diff by auto
  have ⟨lnth Ns i ⊆ Sup-llist Ns⟩ unfolding Sup-llist-def using i by blast
  then have Red-I (lnth Ns i) ⊆ Red-I (Sup-llist Ns) using Red-I-of-subset
    unfolding Sup-llist-def by auto
  then show ?thesis using Red-I-Sup-in-Liminf by auto
qed

```

```

lemma Red-F-subset-Liminf:
  assumes deriv: ⟨chain (▷) Ns⟩ and
    i: ⟨enat i < llength Ns⟩
  shows ⟨Red-F (lnth Ns i) ⊆ Red-F (Liminf-llist Ns)⟩
proof –
  have Sup-in-diff: ⟨Red-F (Sup-llist Ns) ⊆ Red-F (Sup-llist Ns – (Sup-llist Ns – Liminf-llist Ns))⟩
    using Red-F-of-Red-F-subset[OF Red-in-Sup] deriv by auto

```

```

also have <Sup-llist Ns - (Sup-llist Ns - Liminf-llist Ns) = Liminf-llist Ns>
  by (simp add: Liminf-llist-subset-Sup-llist double-diff)
then have Red-F-Sup-in-Liminf: <Red-F (Sup-llist Ns) ⊆ Red-F (Liminf-llist Ns)>
  using Sup-in-diff by auto
have <lnth Ns i ⊆ Sup-llist Ns> unfolding Sup-llist-def using i by blast
then have Red-F (lnth Ns i) ⊆ Red-F (Sup-llist Ns) using Red-F-of-subset
  unfolding Sup-llist-def by auto
then show ?thesis using Red-F-Sup-in-Liminf by auto
qed

```

```

lemma i-in-Liminf-or-Red-F:
assumes
  deriv: <chain (▷) Ns> and
  i: <enat i < llenght Ns>
shows <lnth Ns i ⊆ Red-F (Liminf-llist Ns) ∪ Liminf-llist Ns>
proof (rule,rule)
  fix C
  assume C: <C ∈ lnth Ns i>
  and C-not-Liminf: <C ∉ Liminf-llist Ns>
  have <C ∈ Sup-llist Ns> unfolding Sup-llist-def using C i by auto
  then obtain j where j: <C ∈ lnth Ns j - lnth Ns (Suc j)> <enat (Suc j) < llenght Ns>
    using equiv-Sup-Liminf[of C Ns] C-not-Liminf by auto
  then have <C ∈ Red-F (lnth Ns (Suc j))>
    using deriv by (meson chain-lnth-rel contra-subsetD derive.cases)
  then show <C ∈ Red-F (Liminf-llist Ns)> using Red-F-subset-Liminf[of Ns Suc j] deriv j(2) by blast
qed

```

```

lemma fair-implies-Liminf-saturated:
assumes
  deriv: <chain (▷) Ns> and
  fair: <fair Ns>
shows <saturated (Liminf-llist Ns)>
  unfolding saturated-def
proof
  fix i
  assume i: <i ∈ Inf-from (Liminf-llist Ns)>
  have <i ∈ Sup-llist (lmap Red-I Ns)> using fair i unfolding fair-def by auto
  then obtain j where j: <enat i < llenght Ns> <i ∈ Red-I (lnth Ns i)>
    unfolding Sup-llist-def by auto
  then show <i ∈ Red-I (Liminf-llist Ns)>
    using deriv i-in-Liminf-or-Red-F[of Ns i] Red-I-subset-Liminf by blast
qed

```

end

```

locale statically-complete-calculus = calculus +
  assumes statically-complete: B ∈ Bot ⇒ saturated N ⇒ N ⊨ {B} ⇒ ∃ B' ∈ Bot. B' ∈ N
begin

```

```

lemma dynamically-complete-Liminf:
fixes B Ns
assumes
  bot-elem: <B ∈ Bot> and

```

```

deriv: <chain (▷) Ns> and
fair: <fair Ns> and
unsat: <lhd Ns ⊨ {B}>
shows <∃ B'∈Bot. B' ∈ Liminf-llist Ns>
proof –
  note lhd-is = lhd-conv-lnth[OF chain-not-lnull[OF deriv]]
  have non-empty: <¬ lnull Ns> using chain-not-lnull[OF deriv] .
  have subs: <lhd Ns ⊆ Sup-llist Ns>
    using lhd-subset-Sup-llist[of Ns] non-empty by (simp add: lhd-conv-lnth)
  have <Sup-llist Ns ⊨ {B}>
    using unsat subset-entailed[OF subs] entails-trans[of Sup-llist Ns lhd Ns] by auto
  then have Sup-no-Red: <Sup-llist Ns – Red-F (Sup-llist Ns) ⊨ {B}>
    using bot-elem Red-F-Bot by auto
  have Sup-no-Red-in-Liminf: <Sup-llist Ns – Red-F (Sup-llist Ns) ⊆ Liminf-llist Ns>
    using deriv Red-in-Sup by auto
  have Liminf-entails-Bot: <Liminf-llist Ns ⊨ {B}>
    using Sup-no-Red subset-entailed[OF Sup-no-Red-in-Liminf] entails-trans by blast
  have <saturated (Liminf-llist Ns)>
    using deriv fair fair-implies-Liminf-saturated unfolding saturated-def by auto
  then show ?thesis
    using bot-elem statically-complete Liminf-entails-Bot by auto
qed

end

```

```

locale dynamically-complete-calculus = calculus +
assumes
  dynamically-complete: B ∈ Bot ⇒ chain (▷) Ns ⇒ fair Ns ⇒ lhd Ns ⊨ {B} ⇒
  ∃ i ∈ {i. enat i < llength Ns}. ∃ B'∈Bot. B' ∈ lnth Ns i
begin

```

```

sublocale statically-complete-calculus
proof
  fix B N
  assume
    bot-elem: <B ∈ Bot> and
    saturated-N: saturated N and
    refut-N: N ⊨ {B}
  define Ns where Ns = LCons N LNil
  have[simp]: <¬ lnull Ns> by (auto simp: Ns-def)
  have deriv-Ns: <chain (▷) Ns> by (simp add: chain-chain-singleton Ns-def)
  have liminf-is-N: Liminf-llist Ns = N by (simp add: Ns-def Liminf-llist-LCons)
  have head-Ns: N = lhd Ns by (simp add: Ns-def)
  have Sup-llist (lmap Red-I Ns) = Red-I N by (simp add: Ns-def)
  then have fair-Ns: fair Ns using saturated-N by (simp add: fair-def saturated-def liminf-is-N)
  obtain i B' where B'-is-bot: <B' ∈ Bot> and B'-in: B' ∈ lnth Ns i and <i < llength Ns>
    using dynamically-complete[of B Ns] bot-elem fair-Ns head-Ns saturated-N deriv-Ns refut-N
    by auto
  then have i = 0
    by (auto simp: Ns-def enat-0-iff)
  show <∃ B'∈Bot. B' ∈ N>
    using B'-is-bot B'-in unfolding <i = 0> head-Ns[symmetric] Ns-def by auto
qed

```

```

end

sublocale statically-complete-calculus  $\subseteq$  dynamically-complete-calculus
proof
  fix B Ns
  assume
     $\langle B \in Bot \rangle$  and
     $\langle \text{chain } (\triangleright) Ns \rangle$  and
     $\langle \text{fair } Ns \rangle$  and
     $\langle \text{lhd } Ns \models \{B\} \rangle$ 
  then have  $\langle \exists B' \in Bot. B' \in \text{Liminf}-llist Ns \rangle$ 
    by (rule dynamically-complete-Liminf)
  then show  $\langle \exists i \in \{i. \text{enat } i < \text{llength } Ns\}. \exists B' \in Bot. B' \in \text{lnth } Ns i \rangle$ 
    unfolding Liminf-llist-def by auto
  qed

end

```

2 Calculi Based on the Intersection of Redundancy Criteria

In this section, section 2.3 of the report is covered, on calculi equipped with a family of redundancy criteria.

```

theory Intersection-Calculus
imports
  Calculus
  Ordered-Resolution-Prover.Lazy-List-Liminf
  Ordered-Resolution-Prover.Lazy-List-Chain
begin

```

2.1 Calculi with a Family of Redundancy Criteria

```

locale intersection-calculus =
  inference-system Inf + consequence-relation-family Bot Q entails-q
  for
    Bot :: 'f set and
    Inf :: ' $f$  inference set' and
    Q :: 'q set and
    entails-q :: 'q  $\Rightarrow$  'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool
  + fixes
    Red-I-q :: 'q  $\Rightarrow$  'f set  $\Rightarrow$  'f inference set and
    Red-F-q :: 'q  $\Rightarrow$  'f set  $\Rightarrow$  'f set
  assumes
    Q-nonempty: Q  $\neq \{\}$  and
    all-red-crit:  $\forall q \in Q. \text{calculus } Bot \text{ Inf } (\text{entails-q } q) (\text{Red-I-q } q) (\text{Red-F-q } q)$ 
begin

```

```

definition Red-I :: 'f set  $\Rightarrow$  'f inference set where
  Red-I N = ( $\bigcap q \in Q. \text{Red-I-q } q N$ )
definition Red-F :: 'f set  $\Rightarrow$  'f set where
  Red-F N = ( $\bigcap q \in Q. \text{Red-F-q } q N$ )

```

```

sublocale calculus Bot Inf entails Red-I Red-F
  unfolding calculus-def calculus-axioms-def
proof (intro conjI)
  show consequence-relation Bot entails
    using intersect-cons-rel-family .
next
  show  $\forall N. Red-I N \subseteq Inf$ 
    unfolding Red-I-def
proof
  fix  $N$ 
  show  $(\bigcap q \in Q. Red-I-q q N) \subseteq Inf$ 
proof (intro Inter-subset)
  fix Red-Is
  assume one-red-inf: Red-Is  $\in (\lambda q. Red-I-q q N) ^{'} Q$ 
  show Red-Is  $\subseteq Inf$ 
    using one-red-inf all-red-crit calculus.Red-I-to-Inf by blast
next
  show  $(\lambda q. Red-I-q q N) ^{'} Q \neq \{\}$ 
    using Q-nonempty by blast
qed
qed
next
  show  $\forall B N. B \in Bot \longrightarrow N \models Q \{B\} \longrightarrow N - Red-F N \models Q \{B\}$ 
proof (intro allI impI)
  fix  $B N$ 
  assume
    B-in:  $B \in Bot$  and
    N-unsat:  $N \models Q \{B\}$ 
  show  $N - Red-F N \models Q \{B\}$  unfolding entails-def Red-F-def
proof
  fix  $qi$ 
  assume qi-in:  $qi \in Q$ 
  define entails-qi (infix  $\models_{qi}$  50) where entails-qi = entails-q qi
  have cons-rel-qi: consequence-relation Bot entails-qi
    unfolding entails-qi-def using qi-in all-red-crit calculus.axioms(1) by blast
  define Red-F-qi where Red-F-qi = Red-F-q qi
  have red-qi-in:  $Red-F N \subseteq Red-F-qi N$ 
    unfolding Red-F-def Red-F-qi-def using qi-in image-iff by blast
  then have  $N - Red-F-qi N \subseteq N - Red-F N$  by blast
  then have entails-1:  $N - Red-F N \models_{qi} N - Red-F-qi N$ 
    using qi-in all-red-crit
    unfolding calculus-def consequence-relation-def entails-qi-def by metis
  have N-unsat-qi:  $N \models_{qi} \{B\}$  using qi-in N-unsat unfolding entails-qi-def entails-def
    by simp
  then have N-unsat-qi:  $N - Red-F-qi N \models_{qi} \{B\}$ 
    using qi-in all-red-crit Red-F-qi-def calculus.Red-F-Bot[OF - B-in] entails-qi-def
    by fastforce
  show  $N - (\bigcap q \in Q. Red-F-q q N) \models_{qi} \{B\}$ 
    using consequence-relation.entails-trans[OF cons-rel-qi entails-1 N-unsat-qi]
    unfolding Red-F-def .
qed
qed
next
  show  $\forall N1 N2. N1 \subseteq N2 \longrightarrow Red-F N1 \subseteq Red-F N2$ 
proof (intro allI impI)

```

```

fix N1 :: 'f set
and N2 :: 'f set
assume
  N1-in-N2: N1 ⊆ N2
show Red-F N1 ⊆ Red-F N2
proof
  fix C
  assume C ∈ Red-F N1
  then have ∀ qi ∈ Q. C ∈ Red-F-q qi N1 unfolding Red-F-def by blast
  then have ∀ qi ∈ Q. C ∈ Red-F-q qi N2
    using N1-in-N2 all-red-crit calculus.axioms(2) calculus.Red-F-of-subset by blast
  then show C ∈ Red-F N2 unfolding Red-F-def by blast
qed
qed
next
show ∀ N1 N2. N1 ⊆ N2 —> Red-I N1 ⊆ Red-I N2
proof (intro allI impI)
  fix N1 :: 'f set
  and N2 :: 'f set
  assume
    N1-in-N2: N1 ⊆ N2
  show Red-I N1 ⊆ Red-I N2
  proof
    fix i
    assume i ∈ Red-I N1
    then have ∀ qi ∈ Q. i ∈ Red-I-q qi N1 unfolding Red-I-def by blast
    then have ∀ qi ∈ Q. i ∈ Red-I-q qi N2
      using N1-in-N2 all-red-crit calculus.axioms(2) calculus.Red-I-of-subset by blast
    then show i ∈ Red-I N2 unfolding Red-I-def by blast
  qed
  qed
next
show ∀ N2 N1. N2 ⊆ Red-F N1 —> Red-F N1 ⊆ Red-F (N1 – N2)
proof (intro allI impI)
  fix N2 N1
  assume N2-in-Red-N1: N2 ⊆ Red-F N1
  show Red-F N1 ⊆ Red-F (N1 – N2)
  proof
    fix C
    assume C ∈ Red-F N1
    then have ∀ qi ∈ Q. C ∈ Red-F-q qi N1 unfolding Red-F-def by blast
    moreover have ∀ qi ∈ Q. N2 ⊆ Red-F-q qi N1 using N2-in-Red-N1 unfolding Red-F-def by blast
    ultimately have ∀ qi ∈ Q. C ∈ Red-F-q qi (N1 – N2)
      using all-red-crit calculus.axioms(2) calculus.Red-F-of-Red-F-subset by blast
    then show C ∈ Red-F (N1 – N2) unfolding Red-F-def by blast
  qed
  qed
next
show ∀ N2 N1. N2 ⊆ Red-F N1 —> Red-I N1 ⊆ Red-I (N1 – N2)
proof (intro allI impI)
  fix N2 N1
  assume N2-in-Red-N1: N2 ⊆ Red-F N1
  show Red-I N1 ⊆ Red-I (N1 – N2)

```

```

proof
  fix  $\iota$ 
  assume  $\iota \in \text{Red-}I N1$ 
  then have  $\forall qi \in Q. \iota \in \text{Red-}I-q qi N1$  unfolding Red- $I$ -def by blast
  moreover have  $\forall qi \in Q. N2 \subseteq \text{Red-}F-q qi N1$  using N2-in-Red- $N1$  unfolding Red- $F$ -def by
  blast
  ultimately have  $\forall qi \in Q. \iota \in \text{Red-}I-q qi (N1 - N2)$ 
  using all-red-crit calculus.axioms(2) calculus.Red- $I$ -of-Red- $F$ -subset by blast
  then show  $\iota \in \text{Red-}I (N1 - N2)$  unfolding Red- $I$ -def by blast
  qed
  qed
next
  show  $\forall \iota N. \iota \in \text{Inf} \longrightarrow \text{concl-of } \iota \in N \longrightarrow \iota \in \text{Red-}I N$ 
  proof (intro allI impI)
    fix  $\iota N$ 
    assume
      i-in:  $\iota \in \text{Inf}$  and
      concl-in:  $\text{concl-of } \iota \in N$ 
    then have  $\forall qi \in Q. \iota \in \text{Red-}I-q qi N$ 
    using all-red-crit calculus.axioms(2) calculus.Red- $I$ -of-Inf-to- $N$  by blast
    then show  $\iota \in \text{Red-}I N$  unfolding Red- $I$ -def by blast
    qed
  qed

```

```

lemma sat-int-to-sat-qi: calculus.saturated Inf Red- $I N \longleftrightarrow$ 
  ( $\forall qi \in Q. \text{calculus.saturated Inf} (\text{Red-}I-q qi) N$ ) for  $N$ 
proof
  fix  $N$ 
  assume inter-sat: calculus.saturated Inf Red- $I N$ 
  show  $\forall qi \in Q. \text{calculus.saturated Inf} (\text{Red-}I-q qi) N$ 
  proof
    fix  $qi$ 
    assume qi-in:  $qi \in Q$ 
    then interpret one: calculus Bot Inf entails- $q qi$  Red- $I-q qi$  Red- $F-q qi$ 
    by (metis all-red-crit)
    show one.saturated  $N$ 
    using qi-in inter-sat
    unfolding one.saturated-def saturated-def Red- $I$ -def by blast
  qed
next
  fix  $N$ 
  assume all-sat:  $\forall qi \in Q. \text{calculus.saturated Inf} (\text{Red-}I-q qi) N$ 
  show saturated  $N$ 
  unfolding saturated-def Red- $I$ -def
proof
  fix  $\iota$ 
  assume i-in:  $\iota \in \text{Inf}$ .from  $N$ 
  have  $\forall \text{Red-}I-qi \in \text{Red-}I-q ` Q. \iota \in \text{Red-}I-qi N$ 
  proof
    fix Red- $I-qi$ 
    assume red-inf-in:  $\text{Red-}I-qi \in \text{Red-}I-q ` Q$ 
    then obtain  $qi$  where
      qi-in:  $qi \in Q$  and
      red-inf-qi-def:  $\text{Red-}I-qi = \text{Red-}I-q qi$  by blast

```

```

then interpret one: calculus Bot Inf entails-q qi Red-I-q qi Red-F-q qi
  by (metis all-red-crit)
have one.saturated N using qi-in all-sat red-inf-qi-def by blast
then show  $\iota \in \text{Red-I-qi } N$  unfolding one.saturated-def using  $\iota$ -in red-inf-qi-def by blast
qed
then show  $\iota \in (\bigcap q \in Q. \text{Red-I-q } q N)$  by blast
qed
qed

lemma stat-ref-comp-from-bot-in-sat:
 $(\forall N. \text{calculus.saturated Inf Red-I } N \wedge (\forall B \in \text{Bot}. B \notin N) \longrightarrow$ 
 $(\exists B \in \text{Bot}. \exists qi \in Q. \neg \text{entails-q } qi N \{B\})) \Longrightarrow$ 
  statically-complete-calculus Bot Inf entails Red-I Red-F
proof (rule ccontr)
assume
  N-saturated:  $\forall N. (\text{calculus.saturated Inf Red-I } N \wedge (\forall B \in \text{Bot}. B \notin N)) \longrightarrow$ 
   $(\exists B \in \text{Bot}. \exists qi \in Q. \neg \text{entails-q } qi N \{B\})$  and
  no-stat-ref-comp:  $\neg \text{statically-complete-calculus Bot Inf } (\models Q) \text{ Red-I Red-F}$ 
obtain N1 B1 where B1-in:
  B1 in Bot and N1-saturated: calculus.saturated Inf Red-I N1 and
  N1-unsat:  $N1 \models Q \{B1\}$  and no-B-in-N1:  $\forall B \in \text{Bot}. B \notin N1$ 
  using no-stat-ref-comp by (metis calculus-axioms statically-complete-calculus.intro
    statically-complete-calculus-axioms.intro)
obtain B2 qi where
  qi-in:  $qi \in Q$  and
  no-qi:  $\neg \text{entails-q } qi N1 \{B2\}$ 
  using N-saturated N1-saturated no-B-in-N1 by auto
have N1  $\models Q \{B2\}$  using N1-unsat B1-in intersect-cons-rel-family
  unfolding consequence-relation-def by metis
then have entails-q qi N1 {B2} unfolding entails-def using qi-in by blast
then show False using no-qi by simp
qed

end
end

```

3 Variations on a Theme

In this section, section 2.4 of the report is covered, demonstrating that various notions of redundancy are equivalent.

```

theory Calculus-Variations
  imports Calculus
begin

locale reduced-calculus = calculus Bot Inf entails Red-I Red-F
  for
    Bot :: 'f set and
    Inf :: '<'f inference set> and
    entails :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (infix  $\models$  50) and
    Red-I :: 'f set  $\Rightarrow$  'f inference set and
    Red-F :: 'f set  $\Rightarrow$  'f set
  + assumes

```

```

inf-in-red-inf: Inf-between UNIV (Red-F N) ⊆ Red-I N
begin

lemma sat-eq-reduc-sat: saturated N ↔ reduc-saturated N
proof
  fix N
  assume saturated N
  then show reduc-saturated N
    using Red-I-without-red-F saturated-without-red-F
    unfolding saturated-def reduc-saturated-def
    by blast
next
  fix N
  assume red-sat-n: reduc-saturated N
  show saturated N unfolding saturated-def
    using red-sat-n inf-in-red-inf unfolding reduc-saturated-def Inf-from-def Inf-between-def
    by blast
qed

end

locale reducedly-statically-complete-calculus = calculus +
  assumes reducedly-statically-complete:
    B ∈ Bot ⇒ reduc-saturated N ⇒ N ⊨ {B} ⇒ ∃ B'∈Bot. B' ∈ N

locale reducedly-statically-complete-reduced-calculus = reduced-calculus +
  assumes reducedly-statically-complete:
    B ∈ Bot ⇒ reduc-saturated N ⇒ N ⊨ {B} ⇒ ∃ B'∈Bot. B' ∈ N
begin

sublocale reducedly-statically-complete-calculus
  by (simp add: calculus-axioms reducedly-statically-complete
    reducedly-statically-complete-calculus-axioms.intro
    reducedly-statically-complete-calculus-def)

sublocale statically-complete-calculus
proof
  fix B N
  assume
    bot-elem: ‹B ∈ Bot› and
    saturated-N: saturated N and
    refut-N: N ⊨ {B}
  have reduc-saturated-N: reduc-saturated N using saturated-N sat-eq-reduc-sat by blast
  show ∃ B'∈Bot. B' ∈ N using reducedly-statically-complete[OF bot-elem reduc-saturated-N refut-N] .
qed

end

context reduced-calculus
begin

lemma stat-ref-comp-imp-red-stat-ref-comp:

```

statically-complete-calculus Bot Inf entails Red-I Red-F \implies
reducedly-statically-complete-calculus Bot Inf entails Red-I Red-F

proof

fix $B N$

assume

stat-ref-comp: statically-complete-calculus Bot Inf (\models) Red-I Red-F and
bot-elem: $\langle B \in \text{Bot} \rangle$ and
saturated-N: reduc-saturated N and
refut-N: $N \models \{B\}$

have *reduc-saturated-N: saturated N using saturated-N sat-eq-reduc-sat by blast*
show $\exists B' \in \text{Bot}. B' \in N$
using *statically-complete-calculus.statically-complete[OF stat-ref-comp
bot-elem reduc-saturated-N refut-N]*.

qed

end

context calculus

begin

definition Red-Red-I :: ' f set \Rightarrow ' f inference set where
 $\text{Red-Red-I } N = \text{Red-I } N \cup \text{Inf-between UNIV } (\text{Red-F } N)$

lemma reduced-calc-is-calc: calculus Bot Inf entails Red-Red-I Red-F

proof

fix N

show $\text{Red-Red-I } N \subseteq \text{Inf}$
unfolding Red-Red-I-def Inf-between-def Inf-from-def **using** Red-I-to-Inf **by auto**

next

fix $B N$

assume

b-in: $B \in \text{Bot}$ and
n-entails: $N \models \{B\}$

show $N - \text{Red-F } N \models \{B\}$
by (simp add: Red-F-Bot b-in n-entails)

next

fix $N N' :: 'f set$

assume $N \subseteq N'$
then show $\text{Red-F } N \subseteq \text{Red-F } N'$ **by** (simp add: Red-F-of-subset)

next

fix $N N' :: 'f set$

assume $n\text{-in: } N \subseteq N'$
then have $\text{Inf-from } (\text{UNIV} - (\text{Red-F } N')) \subseteq \text{Inf-from } (\text{UNIV} - (\text{Red-F } N))$
using Red-F-of-subset[OF n-in] **unfolding** Inf-from-def **by auto**

then have $\text{Inf-between UNIV } (\text{Red-F } N) \subseteq \text{Inf-between UNIV } (\text{Red-F } N')$
unfolding Inf-between-def **by auto**

then show $\text{Red-Red-I } N \subseteq \text{Red-Red-I } N'$
unfolding Red-Red-I-def **using** Red-I-of-subset[OF n-in] **by blast**

next

fix $N N' :: 'f set$

assume $N' \subseteq \text{Red-F } N$
then show $\text{Red-F } N \subseteq \text{Red-F } (N - N')$ **by** (simp add: Red-F-of-Red-F-subset)

next

fix $N N' :: 'f set$

assume np-subs: $N' \subseteq \text{Red-F } N$

```

have Red-F N ⊆ Red-F (N – N') by (simp add: Red-F-of-Red-F-subset np-subs)
then have Inf-from (UNIV – (Red-F (N – N'))) ⊆ Inf-from (UNIV – (Red-F N))
  by (metis Diff-subset Red-F-of-subset eq-iff)
then have Inf-between UNIV (Red-F N) ⊆ Inf-between UNIV (Red-F (N – N'))
  unfolding Inf-between-def by auto
then show Red-Red-I N ⊆ Red-Red-I (N – N')
  unfolding Red-Red-I-def using Red-I-of-Red-F-subset[OF np-subs] by blast
next
  fix  $\iota$  N
  assume  $\iota \in \text{Inf}$ 
    concl-of  $\iota \in N$ 
  then show  $\iota \in \text{Red-Red-I } N$ 
    by (simp add: Red-I-of-Inf-to-N Red-Red-I-def)
qed

```

```

lemma inf-subs-reduced-red-inf: Inf-between UNIV (Red-F N) ⊆ Red-Red-I N
  unfolding Red-Red-I-def by simp

```

The following is a lemma and not a sublocale as was previously used in similar cases. Here, a sublocale cannot be used because it would create an infinitely descending chain of sublocales.

```

lemma reduc-calc: reduced-calculus Bot Inf entails Red-Red-I Red-F
  using inf-subs-reduced-red-inf reduced-calc-is-calc
  by (simp add: reduced-calculus.intro reduced-calculus-axioms-def)

```

```

interpretation reduc-calc: reduced-calculus Bot Inf entails Red-Red-I Red-F
  by (fact reduc-calc)

```

```

lemma sat-imp-red-calc-sat: saturated N  $\implies$  reduc-calc.saturated N
  unfolding saturated-def reduc-calc.saturated-def Red-Red-I-def by blast

```

```

lemma red-sat-eq-red-calc-sat: reduc-saturated N  $\longleftrightarrow$  reduc-calc.saturated N
proof
  assume red-sat-n: reduc-saturated N
  show reduc-calc.saturated N
    unfolding reduc-calc.saturated-def
proof
  fix  $\iota$ 
  assume i-in:  $\iota \in \text{Inf-from } N$ 
  show  $\iota \in \text{Red-Red-I } N$ 
    using i-in red-sat-n
    unfolding reduc-saturated-def Inf-between-def Inf-from-def Red-Red-I-def by blast
qed
next
  assume red-sat-n: reduc-calc.saturated N
  show reduc-saturated N
    unfolding reduc-saturated-def
proof
  fix  $\iota$ 
  assume i-in:  $\iota \in \text{Inf-from } (N – \text{Red-F } N)$ 
  show  $\iota \in \text{Red-I } N$ 
    using i-in red-sat-n
    unfolding Inf-from-def reduc-calc.saturated-def Red-Red-I-def Inf-between-def by blast
qed

```

qed

lemma *red-sat-eq-sat*: *reduc-saturated N* \longleftrightarrow *saturated (N – Red-F N)*
unfolding *reduc-saturated-def saturated-def* **by** (*simp add: Red-I-without-red-F*)

theorem *stat-is-stat-red*: *statically-complete-calculus Bot Inf entails Red-I Red-F* \longleftrightarrow
statically-complete-calculus Bot Inf entails Red-Red-I Red-F

proof

assume
stat-ref1: statically-complete-calculus Bot Inf entails Red-I Red-F
show *statically-complete-calculus Bot Inf entails Red-Red-I Red-F*
using *reduc-calc.calculus-axioms*
unfolding *statically-complete-calculus-def statically-complete-calculus-axioms-def*

proof
show $\forall B N. B \in \text{Bot} \rightarrow \text{reduc-calc.saturated } N \rightarrow N \models \{B\} \rightarrow (\exists B' \in \text{Bot}. B' \in N)$
proof (*clarify*)
fix *B N*
assume
b-in: B ∈ Bot and
n-sat: reduc-calc.saturated N and
n-imp-b: N ⊨ {B}
have *saturated (N – Red-F N)* **using** *red-sat-eq-red-calc-sat[of N]* *red-sat-eq-sat[of N]* *n-sat* **by**
blast
moreover **have** *(N – Red-F N) ⊨ {B}* **using** *n-imp-b b-in* **by** (*simp add: reduc-calc.Red-F-Bot*)
ultimately show $\exists B' \in \text{Bot}. B' \in N$
using *stat-ref1* **by** (*meson DiffD1 b-in statically-complete-calculus.statically-complete*)

qed
qed
next

assume
stat-ref3: statically-complete-calculus Bot Inf entails Red-Red-I Red-F
show *statically-complete-calculus Bot Inf entails Red-I Red-F*
unfolding *statically-complete-calculus-def statically-complete-calculus-axioms-def*
using *calculus-axioms*

proof
show $\forall B N. B \in \text{Bot} \rightarrow \text{saturated } N \rightarrow N \models \{B\} \rightarrow (\exists B' \in \text{Bot}. B' \in N)$
proof *clarify*
fix *B N*
assume
b-in: B ∈ Bot and
n-sat: saturated N and
n-imp-b: N ⊨ {B}
then show $\exists B' \in \text{Bot}. B' \in N$
using *stat-ref3 sat-imp-red-calc-sat[OF n-sat]*
by (*meson statically-complete-calculus.statically-complete*)

qed
qed
qed

theorem *red-stat-red-is-stat-red*:

reducedly-statically-complete-calculus Bot Inf entails Red-Red-I Red-F \longleftrightarrow
statically-complete-calculus Bot Inf entails Red-Red-I Red-F

```

using reduc-calc.stat-ref-comp-imp-red-stat-ref-comp
by (metis reduc-calc.sat-eq-reduc-sat reducedly-statically-complete-calculus.axioms(2)
      reducedly-statically-complete-calculus-axioms-def reduced-calc-is-calc
      statically-complete-calculus.intro statically-complete-calculus-axioms.intro)

```

theorem red-stat-is-stat-red:

```

      reducedly-statically-complete-calculus Bot Inf entails Red-I Red-F  $\longleftrightarrow$ 
      statically-complete-calculus Bot Inf entails Red-Red-I Red-F
using reduc-calc.calculus-axioms calculus-axioms red-sat-eq-red-calc-sat
unfolding statically-complete-calculus-def statically-complete-calculus-axioms-def
      reducedly-statically-complete-calculus-def reducedly-statically-complete-calculus-axioms-def
by blast

```

lemma sup-red-f-in-red-liminf:

```
chain derive Ns  $\implies$  Sup-llist (lmap Red-F Ns)  $\subseteq$  Red-F (Liminf-llist Ns)
```

proof

```
fix N
```

assume

```
deriv: chain derive Ns and
```

```
n-in-sup: N  $\in$  Sup-llist (lmap Red-F Ns)
```

```
obtain i0 where i-smaller: enat i0 < llength Ns and n-in: N  $\in$  Red-F (lnth Ns i0)
```

```
using n-in-sup by (metis Sup-llist-imp-exists-index llength-lmap lnth-lmap)
```

```
have Red-F (lnth Ns i0)  $\subseteq$  Red-F (Liminf-llist Ns)
```

```
using i-smaller by (simp add: deriv Red-F-subset-Liminf)
```

```
then show N  $\in$  Red-F (Liminf-llist Ns)
```

```
using n-in by fast
```

qed

lemma sup-red-inf-in-red-liminf:

```
chain derive Ns  $\implies$  Sup-llist (lmap Red-I Ns)  $\subseteq$  Red-I (Liminf-llist Ns)
```

proof

```
fix i
```

assume

```
deriv: chain derive Ns and
```

```
i-in-sup: i  $\in$  Sup-llist (lmap Red-I Ns)
```

```
obtain i0 where i-smaller: enat i0 < llength Ns and n-in: i  $\in$  Red-I (lnth Ns i0)
```

```
using i-in-sup unfolding Sup-llist-def by auto
```

```
have Red-I (lnth Ns i0)  $\subseteq$  Red-I (Liminf-llist Ns)
```

```
using i-smaller by (simp add: deriv Red-I-subset-Liminf)
```

```
then show i  $\in$  Red-I (Liminf-llist Ns)
```

```
using n-in by fast
```

qed

definition reduc-fair :: 'f set llist \Rightarrow bool **where**

```
reduc-fair Ns  $\longleftrightarrow$ 
```

```
Inf-from (Liminf-llist Ns - Sup-llist (lmap Red-F Ns))  $\subseteq$  Sup-llist (lmap Red-I Ns)
```

lemma reduc-fair-imp-Liminf-reduc-sat:

```
chain derive Ns  $\implies$  reduc-fair Ns  $\implies$  reduc-saturated (Liminf-llist Ns)
```

unfolding reduc-saturated-def

proof –

```
fix Ns
```

assume

```

deriv: chain derive Ns and
red-fair: reduc-fair Ns
have Inf-from (Liminf-llist Ns = Red-F (Liminf-llist Ns))
   $\subseteq$  Inf-from (Liminf-llist Ns = Sup-llist (lmap Red-F Ns))
  using sup-red-f-in-red-liminf[OF deriv] unfolding Inf-from-def by blast
then have Inf-from (Liminf-llist Ns = Red-F (Liminf-llist Ns))  $\subseteq$  Sup-llist (lmap Red-I Ns)
  using red-fair unfolding reduc-fair-def by simp
then show Inf-from (Liminf-llist Ns = Red-F (Liminf-llist Ns))  $\subseteq$  Red-I (Liminf-llist Ns)
  using sup-red-inf-in-red-liminf[OF deriv] by fast
qed

end

locale reducedly-dynamically-complete-calculus = calculus +
assumes
reducedly-dynamically-complete:  $B \in \text{Bot} \implies \text{chain derive } Ns \implies \text{reduc-fair } Ns \implies$ 
  lhd Ns  $\models \{B\} \implies \exists i \in \{\text{enat } i < \text{llength } Ns\}. \exists B' \in \text{Bot}. B' \in \text{lnth } Ns \ i$ 
begin

sublocale reducedly-statically-complete-calculus
proof
  fix B N
  assume
    bot-elem:  $\langle B \in \text{Bot} \rangle$  and
    saturated-N: reduc-saturated N and
    refut-N:  $N \models \{B\}$ 
  define Ns where Ns = LCons N LNil
  have[simp]:  $\langle \neg \text{lnull } Ns \rangle$  by (auto simp: Ns-def)
  have deriv-D:  $\langle \text{chain } (\triangleright) Ns \rangle$  by (simp add: chain.chain-singleton Ns-def)
  have liminf-is-N: Liminf-llist Ns = N by (simp add: Ns-def Liminf-llist-LCons)
  have head-D: N = lhd Ns by (simp add: Ns-def)
  have Sup-llist (lmap Red-F Ns) = Red-F N by (simp add: Ns-def)
  moreover have Sup-llist (lmap Red-I Ns) = Red-I N by (simp add: Ns-def)
  ultimately have fair-D: reduc-fair Ns
    using saturated-N liminf-is-N unfolding reduc-fair-def reduc-saturated-def
    by (simp add: reduc-fair-def reduc-saturated-def liminf-is-N)
  obtain i B' where B'-is-bot:  $\langle B' \in \text{Bot} \rangle$  and B'-in:  $B' \in \text{lnth } Ns \ i$  and  $\langle i < \text{llength } Ns \rangle$ 
    using reducedly-dynamically-complete[of B Ns] bot-elem fair-D head-D saturated-N deriv-D refut-N
    by auto
  then have i = 0
    by (auto simp: Ns-def enat-0-iff)
  show  $\langle \exists B' \in \text{Bot}. B' \in N \rangle$ 
    using B'-is-bot B'-in unfolding  $\langle i = 0 \rangle$  head-D[symmetric] Ns-def by auto
  qed

end

sublocale reducedly-statically-complete-calculus  $\subseteq$  reducedly-dynamically-complete-calculus
proof
  fix B Ns
  assume
    bot-elem:  $\langle B \in \text{Bot} \rangle$  and
    deriv:  $\langle \text{chain } (\triangleright) Ns \rangle$  and
    fair:  $\langle \text{reduc-fair } Ns \rangle$  and
    unsat:  $\langle \text{lhd } Ns \models \{B\} \rangle$ 

```

```

have non-empty:  $\langle \neg lnull\ Ns \rangle$  using chain-not-lnull[OF deriv] .
have subs:  $\langle lhd\ Ns \subseteq Sup-llist\ Ns \rangle$ 
  using lhd-subset-Sup-llist[of Ns] non-empty by (simp add: lhd-conv-lnth)
have  $\langle Sup-llist\ Ns \models \{B\} \rangle$ 
  using unsat subset-entailed[OF subs] entails-trans[of Sup-llist Ns lhd Ns] by auto
then have Sup-no-Red:  $\langle Sup-llist\ Ns - Red-F\ (Sup-llist\ Ns) \models \{B\} \rangle$ 
  using bot-elem Red-F-Bot by auto
have Sup-no-Red-in-Liminf:  $\langle Sup-llist\ Ns - Red-F\ (Sup-llist\ Ns) \subseteq Liminf-llist\ Ns \rangle$ 
  using deriv Red-in-Sup by auto
have Liminf-entails-Bot:  $\langle Liminf-llist\ Ns \models \{B\} \rangle$ 
  using Sup-no-Red subset-entailed[OF Sup-no-Red-in-Liminf] entails-trans by blast
have  $\langle$  reduc-saturated (Liminf-llist Ns) $\rangle$ 
using deriv fair reduc-fair-imp-Liminf-reduc-sat unfolding reduc-saturated-def
  by auto
then have  $\langle \exists B' \in Bot. B' \in Liminf-llist\ Ns \rangle$ 
  using bot-elem reducedly-statically-complete Liminf-entails-Bot
  by auto
then show  $\langle \exists i \in \{i. enat\ i < llength\ Ns\}. \exists B' \in Bot. B' \in lngth\ Ns\ i \rangle$ 
  unfolding Liminf-llist-def by auto
qed

```

```

context calculus
begin

```

```

lemma dyn-equiv-stat: dynamically-complete-calculus Bot Inf entails Red-I Red-F =
  statically-complete-calculus Bot Inf entails Red-I Red-F

```

```

proof

```

```

  assume dynamically-complete-calculus Bot Inf entails Red-I Red-F
  then interpret dynamically-complete-calculus Bot Inf entails Red-I Red-F
    by simp
  show statically-complete-calculus Bot Inf entails Red-I Red-F
    by (simp add: statically-complete-calculus-axioms)

```

```

next

```

```

  assume statically-complete-calculus Bot Inf entails Red-I Red-F
  then interpret statically-complete-calculus Bot Inf entails Red-I Red-F
    by simp
  show dynamically-complete-calculus Bot Inf entails Red-I Red-F
    by (simp add: dynamically-complete-calculus-axioms)

```

```

qed

```

```

lemma red-dyn-equiv-red-stat:
  reducedly-dynamically-complete-calculus Bot Inf entails Red-I Red-F =
  reducedly-statically-complete-calculus Bot Inf entails Red-I Red-F

```

```

proof

```

```

  assume reducedly-dynamically-complete-calculus Bot Inf entails Red-I Red-F
  then interpret reducedly-dynamically-complete-calculus Bot Inf entails Red-I Red-F
    by simp
  show reducedly-statically-complete-calculus Bot Inf entails Red-I Red-F
    by (simp add: reducedly-statically-complete-calculus-axioms)

```

```

next

```

```

  assume reducedly-statically-complete-calculus Bot Inf entails Red-I Red-F
  then interpret reducedly-statically-complete-calculus Bot Inf entails Red-I Red-F
    by simp
  show reducedly-dynamically-complete-calculus Bot Inf entails Red-I Red-F
    by (simp add: reducedly-dynamically-complete-calculus-axioms)

```

qed

interpretation *reduc-calc*: *reduced-calculus Bot Inf entails Red-Red-I Red-F*
by (*fact reduc-calc*)

theorem *dyn-ref-eq-dyn-ref-red*:

dynamically-complete-calculus Bot Inf entails Red-I Red-F \longleftrightarrow
dynamically-complete-calculus Bot Inf entails Red-Red-I Red-F
using *dyn-equiv-stat stat-is-stat-red reduc-calc.dyn-equiv-stat* **by** *meson*

theorem *red-dyn-ref-red-eq-dyn-ref-red*:

reducedly-dynamically-complete-calculus Bot Inf entails Red-Red-I Red-F \longleftrightarrow
dynamically-complete-calculus Bot Inf entails Red-Red-I Red-F
using *red-dyn-equiv-red-stat dyn-equiv-stat red-stat-red-is-stat-red*
by (*simp add: reduc-calc.dyn-equiv-stat reduc-calc.red-dyn-equiv-red-stat*)

theorem *red-dyn-ref-eq-dyn-ref-red*:

reducedly-dynamically-complete-calculus Bot Inf entails Red-I Red-F \longleftrightarrow
dynamically-complete-calculus Bot Inf entails Red-Red-I Red-F
using *red-dyn-equiv-red-stat dyn-equiv-stat red-stat-red-is-stat-red*
reduc-calc.dyn-equiv-stat reduc-calc.red-dyn-equiv-red-stat
by *blast*

end

end

4 Lifting to Non-ground Calculi

The section 3.1 to 3.3 of the report are covered by the current section. Various forms of lifting are proven correct. These allow to obtain the dynamic refutational completeness of a non-ground calculus from the static refutational completeness of its ground counterpart.

theory *Lifting-to-Non-Ground-Calculi*

imports

Intersection-Calculus

Calculus-Variations

begin

4.1 Standard Lifting

locale *standard-lifting* = *inference-system Inf-F* +
ground: calculus Bot-G Inf-G entails-G Red-I-G Red-F-G
for
Inf-F :: 'f inference set **and**
Bot-G :: 'g set **and**
Inf-G :: 'g inference set **and**
entails-G :: 'g set \Rightarrow 'g set \Rightarrow bool (**infix** $\lhd\|=G\rhd$ 50) **and**
Red-I-G :: 'g set \Rightarrow 'g inference set **and**
Red-F-G :: 'g set \Rightarrow 'g set
+ **fixes**
Bot-F :: 'f set **and**

```

 $\mathcal{G}\text{-}F :: \langle f \Rightarrow 'g\ set \rangle \text{ and}$ 
 $\mathcal{G}\text{-}I :: \langle f\ inference \Rightarrow 'g\ inference\ set\ option \rangle$ 
assumes
   $\text{Bot-}F\text{-not-empty}: \text{Bot-}F \neq \{\} \text{ and}$ 
   $\text{Bot-map-not-empty}: \langle B \in \text{Bot-}F \implies \mathcal{G}\text{-}F\ B \neq \{\} \rangle \text{ and}$ 
   $\text{Bot-map}: \langle B \in \text{Bot-}F \implies \mathcal{G}\text{-}F\ B \subseteq \text{Bot-}G \rangle \text{ and}$ 
   $\text{Bot-cond}: \langle \mathcal{G}\text{-}F\ C \cap \text{Bot-}G \neq \{\} \implies C \in \text{Bot-}F \rangle \text{ and}$ 
   $\text{inf-map}: \langle \iota \in \text{Inf-}F \implies \mathcal{G}\text{-}I\ \iota \neq \text{None} \implies \text{the } (\mathcal{G}\text{-}I\ \iota) \subseteq \text{Red-}I\text{-}G\ (\mathcal{G}\text{-}F\ (\text{concl-of }\iota)) \rangle$ 
begin

```

```

abbreviation  $\mathcal{G}\text{-Fset} :: \langle f\ set \Rightarrow 'g\ set \rangle \text{ where}$ 
 $\mathcal{G}\text{-Fset}\ N \equiv \bigcup\ (\mathcal{G}\text{-}F\ 'N)$ 

```

```

lemma  $\mathcal{G}\text{-subset}: \langle N1 \subseteq N2 \implies \mathcal{G}\text{-Fset}\ N1 \subseteq \mathcal{G}\text{-Fset}\ N2 \rangle \text{ by auto}$ 

```

```

abbreviation  $\text{entails-}\mathcal{G} :: \langle f\ set \Rightarrow 'f\ set \Rightarrow \text{bool} \rangle \text{ (infix } \models_{\mathcal{G}} \text{ 50) where}$ 
 $\langle N1 \models_{\mathcal{G}} N2 \equiv \mathcal{G}\text{-Fset}\ N1 \models G\ \mathcal{G}\text{-Fset}\ N2 \rangle$ 

```

```

lemma  $\text{subs-}\text{Bot-}G\text{-entails}:$ 

```

```

assumes

```

```

   $\text{not-empty}: \langle sB \neq \{\} \rangle \text{ and}$ 

```

```

   $\text{in-bot}: \langle sB \subseteq \text{Bot-}G \rangle$ 

```

```

shows  $\langle sB \models G\ N \rangle$ 

```

```

proof –

```

```

  have  $\langle \exists B. B \in sB \rangle \text{ using not-empty by auto}$ 

```

```

  then obtain  $B$  where  $B\text{-in}: \langle B \in sB \rangle \text{ by auto}$ 

```

```

  then have  $r\text{-trans}: \langle \{B\} \models G\ N \rangle \text{ using ground.bot-entails-all in-bot by auto}$ 

```

```

  have  $l\text{-trans}: \langle sB \models G\ \{B\} \rangle \text{ using B-in ground.subset-entailed by auto}$ 

```

```

  then show ?thesis using  $r\text{-trans}$  ground.entails-trans[of  $sB\ \{B\}$ ] by auto

```

```

qed

```

```

sublocale consequence-relation  $\text{Bot-}F\ \text{entails-}\mathcal{G}$ 

```

```

proof

```

```

  show  $\text{Bot-}F \neq \{\} \text{ using Bot-}F\text{-not-empty}.$ 

```

```

next

```

```

  show  $\langle B \in \text{Bot-}F \implies \{B\} \models_{\mathcal{G}} N \rangle \text{ for } B\ N$ 

```

```

proof –

```

```

  assume  $\langle B \in \text{Bot-}F \rangle$ 

```

```

  then show  $\langle \{B\} \models_{\mathcal{G}} N \rangle$ 

```

```

    using  $\text{Bot-map}$  ground.bot-entails-all[of -  $\mathcal{G}\text{-Fset}\ N$ ]  $\text{subs-}\text{Bot-}G\text{-entails}$   $\text{Bot-map-not-empty}$ 

```

```

    by auto

```

```

qed

```

```

next

```

```

  fix  $N1\ N2 :: \langle f\ set \rangle$ 

```

```

  assume

```

```

   $\langle N2 \subseteq N1 \rangle$ 

```

```

  then show  $\langle N1 \models_{\mathcal{G}} N2 \rangle \text{ using } \mathcal{G}\text{-subset}$  ground.subset-entailed by auto

```

```

next

```

```

  fix  $N1\ N2$ 

```

```

  assume

```

```

   $\langle \forall C \in N2. N1 \models_{\mathcal{G}} \{C\} \rangle$ 

```

```

  show  $\langle N1 \models_{\mathcal{G}} N2 \rangle \text{ using }$  ground.all-formulas-entailed  $N1\text{-entails-}C$ 

```

```

    by (simp add: ground.entail-unions)

```

```

next

```

```

fix N1 N2 N3
assume
  <N1 ⊨ G N2> and <N2 ⊨ G N3>
then show <N1 ⊨ G N3> using ground.entails-trans by blast
qed

definition Red-I-G :: 'f set ⇒ 'f inference set where
  <Red-I-G N = {ι ∈ Inf-F. (G-I ι ≠ None ∧ the (G-I ι) ⊆ Red-I-G (G-Fset N)) ∨ (G-I ι = None ∧ G-F (concl-of ι) ⊆ G-Fset N ∪ Red-F-G (G-Fset N))}>

definition Red-F-G :: 'f set ⇒ 'f set where
  <Red-F-G N = {C. ∀ D ∈ G-F C. D ∈ Red-F-G (G-Fset N)}>
end

4.2 Strong Standard Lifting

locale strong-standard-lifting = inference-system Inf-F +
  ground: calculus Bot-G Inf-G entails-G Red-I-G Red-F-G
  for
    Inf-F :: <'f inference set> and
    Bot-G :: <'g set> and
    Inf-G :: <'g inference set> and
    entails-G :: <'g set ⇒ 'g set ⇒ bool> (infix ⊨ G 50) and
    Red-I-G :: <'g set ⇒ 'g inference set> and
    Red-F-G :: <'g set ⇒ 'g set>
  + fixes
    Bot-F :: <'f set> and
    G-F :: <'f ⇒ 'g set> and
    G-I :: <'f inference ⇒ 'g inference set option>
  assumes
    Bot-F-not-empty: Bot-F ≠ {} and
    Bot-map-not-empty: <B ∈ Bot-F ⇒ G-F B ≠ {}> and
    Bot-map: <B ∈ Bot-F ⇒ G-F B ⊆ Bot-G> and
    Bot-cond: <G-F C ∩ Bot-G ≠ {} → C ∈ Bot-F> and
    strong-inf-map: <ι ∈ Inf-F ⇒ G-I ι ≠ None ⇒ concl-of '(the (G-I ι)) ⊆ (G-F (concl-of ι))> and
    inf-map-in-Inf: <ι ∈ Inf-F ⇒ G-I ι ≠ None ⇒ the (G-I ι) ⊆ Inf-G>
begin

sublocale standard-lifting Inf-F Bot-G Inf-G (|=G) Red-I-G Red-F-G Bot-F G-F G-I
proof
  show Bot-F ≠ {} using Bot-F-not-empty .
  next
    fix B
    assume b-in: B ∈ Bot-F
    show G-F B ≠ {} using Bot-map-not-empty[OF b-in] .
  next
    fix B
    assume b-in: B ∈ Bot-F
    show G-F B ⊆ Bot-G using Bot-map[OF b-in] .
  next
    show ∀C. G-F C ∩ Bot-G ≠ {} → C ∈ Bot-F using Bot-cond .
  next
    fix ι
    assume i-in: ι ∈ Inf-F and
      some-g: G-I ι ≠ None
    show the (G-I ι) ⊆ Red-I-G (G-F (concl-of ι))

```

```

proof
  fix  $\iota G$ 
  assume  $ig\text{-in}1: \iota G \in \text{the } (\mathcal{G}\text{-}I \ \iota)$ 
  then have  $ig\text{-in}2: \iota G \in \text{Inf}\text{-}G$  using  $\text{inf-map-in-Inf}[\text{OF } i\text{-in some-}g]$  by  $\text{blast}$ 
    show  $\iota G \in \text{Red-}I\text{-}G (\mathcal{G}\text{-}F (\text{concl-of } \iota))$ 
      using  $\text{strong-inf-map}[\text{OF } i\text{-in some-}g]$   $\text{ground}.\text{Red-}I\text{-of-Inf-to-}N[\text{OF } ig\text{-in}2]$ 
         $ig\text{-in}1$  by  $\text{blast}$ 
  qed
qed

end

```

4.3 Lifting with a Family of Tiebreaker Orderings

```

locale tiebreaker-lifting =
  empty-ord?: standard-lifting Inf-F Bot-G Inf-G entails-G Red-I-G Red-F-G Bot-F G-F G-I
  for
    Bot-F ::  $\langle 'f \text{ set} \rangle$  and
    Inf-F ::  $\langle 'f \text{ inference set} \rangle$  and
    Bot-G ::  $\langle 'g \text{ set} \rangle$  and
    entails-G ::  $\langle 'g \text{ set} \Rightarrow 'g \text{ set} \Rightarrow \text{bool} \rangle$  (infix  $\trianglelefteq G$  50) and
    Inf-G ::  $\langle 'g \text{ inference set} \rangle$  and
    Red-I-G ::  $\langle 'g \text{ set} \Rightarrow 'g \text{ inference set} \rangle$  and
    Red-F-G ::  $\langle 'g \text{ set} \Rightarrow 'g \text{ set} \rangle$  and
    G-F ::  $'f \Rightarrow 'g \text{ set}$  and
    G-I ::  $'f \text{ inference} \Rightarrow 'g \text{ inference set option}$ 
  + fixes
    Prec-F-g ::  $\langle 'g \Rightarrow 'f \Rightarrow 'f \Rightarrow \text{bool} \rangle$ 
  assumes
    all-wf: wfp (Prec-F-g g) transp (Prec-F-g g)
begin

  definition Red-F-G ::  $'f \text{ set} \Rightarrow 'f \text{ set}$  where
     $\langle \text{Red-}F\text{-}G \ N = \{C. \forall D \in \mathcal{G}\text{-}F \ C. \ D \in \text{Red-}F\text{-}G \ (\mathcal{G}\text{-}F\text{set } N) \vee (\exists E \in N. \text{Prec-}F\text{-}g \ D \ E \ C \wedge D \in \mathcal{G}\text{-}F \ E)\} \rangle$ 

  lemma Prec-trans:
    assumes
       $\langle \text{Prec-}F\text{-}g \ D \ A \ B \rangle$  and
       $\langle \text{Prec-}F\text{-}g \ D \ B \ C \rangle$ 
    shows
       $\langle \text{Prec-}F\text{-}g \ D \ A \ C \rangle$ 
    using assms all-wf
    unfolding transp-on-def
    by  $\text{blast}$ 

  lemma prop-nested-in-set:  $D \in P \ C \implies C \in \{C. \forall D \in P \ C. \ A \ D \vee B \ C \ D\} \implies A \ D \vee B \ C \ D$ 
    by  $\text{blast}$ 

  lemma Red-F-G-equiv-def:
     $\langle \text{Red-}F\text{-}G \ N = \{C. \forall Di \in \mathcal{G}\text{-}F \ C. \ Di \in \text{Red-}F\text{-}G \ (\mathcal{G}\text{-}F\text{set } N) \vee (\exists E \in (N - \text{Red-}F\text{-}G \ N). \text{Prec-}F\text{-}g \ Di \ E \ C \wedge Di \in \mathcal{G}\text{-}F \ E)\} \rangle$ 
  proof (rule; clar simp)
    fix C D
    assume

```

$C\text{-in: } \langle C \in \text{Red-F-G } N \rangle$ **and**
 $D\text{-in: } \langle D \in \mathcal{G}\text{-F } C \rangle$ **and**
 $\text{not-sec-case: } \langle \forall E \in N - \text{Red-F-G } N. \text{ Prec-F-g } D E C \longrightarrow D \notin \mathcal{G}\text{-F } E \rangle$
have $C\text{-in-unfolded: } C \in \{C. \forall Di \in \mathcal{G}\text{-F } C. Di \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N)\} \vee$
 $(\exists E \in N. \text{ Prec-F-g } Di E C \wedge Di \in \mathcal{G}\text{-F } E)\}$
using $C\text{-in unfolding Red-F-G-def .}$
have $\text{neg-not-sec-case: } \neg (\exists E \in N - \text{Red-F-G } N. \text{ Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E)$
using $\text{not-sec-case by clarsimp}$
have $\text{unfol-C-D: } \langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \vee (\exists E \in N. \text{ Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E) \rangle$
using $\text{prop-nested-in-set[of } D \text{ } \mathcal{G}\text{-F } C \text{ } \lambda x. x \in \text{Red-F-G } (\bigcup (\mathcal{G}\text{-F } ' N))$
 $\lambda x y. \exists E \in N. \text{ Prec-F-g } y E x \wedge y \in \mathcal{G}\text{-F } E, \text{ OF } D\text{-in } C\text{-in-unfolded}]$ **by** blast
show $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \rangle$
proof (*rule ccontr*)
assume $\text{contrad: } \langle D \notin \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \rangle$
have $\text{non-empty: } \langle \exists E \in N. \text{ Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E \rangle$ **using** $\text{contrad unfol-C-D by auto}$
define B **where** $\langle B = \{E \in N. \text{ Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E\} \rangle$
then have $B\text{-non-empty: } \langle B \neq \{\} \rangle$ **using** non-empty by auto

obtain $F :: 'f$ **where** $F: F \in B \forall y. \text{ Prec-F-g } D y F \longrightarrow y \notin B$
using $\text{all-wf[of } D]$
by (*metis B-non-empty wfp-iff-ex-minimal*)

then have $D\text{-in-F: } \langle D \in \mathcal{G}\text{-F } F \rangle$
unfolding $B\text{-def using non-empty}$
by blast

have $F\text{-prec: } \langle \text{Prec-F-g } D F C \rangle$ **using** F **unfolding** $B\text{-def by auto}$
have $F\text{-not-in: } \langle F \notin \text{Red-F-G } N \rangle$
proof
assume $F\text{-in: } \langle F \in \text{Red-F-G } N \rangle$
have $\text{unfol-F-D: } \langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \vee (\exists G \in N. \text{ Prec-F-g } D G F \wedge D \in \mathcal{G}\text{-F } G) \rangle$
using $F\text{-in D-in-F unfolding Red-F-G-def by auto}$
then have $\langle \exists G \in N. \text{ Prec-F-g } D G F \wedge D \in \mathcal{G}\text{-F } G \rangle$ **using** $\text{contrad D-in unfolding Red-F-G-def}$
by auto
then obtain G **where** $G\text{-in: } \langle G \in N \rangle$ **and** $G\text{-prec: } \langle \text{Prec-F-g } D G F \rangle$ **and** $G\text{-map: } \langle D \in \mathcal{G}\text{-F } G \rangle$
by auto
have $\langle \text{Prec-F-g } D G C \rangle$ **using** $G\text{-prec F-prec Prec-trans by blast}$
then have $\langle G \in B \rangle$ **unfolding** $B\text{-def using G-in G-map by auto}$
then show $\langle \text{False} \rangle$ **using** $F G\text{-prec by auto}$
qed
have $\langle F \in N \rangle$ **using** $F B\text{-def by blast}$
then have $\langle F \in N - \text{Red-F-G } N \rangle$ **using** $F\text{-not-in by auto}$
then show $\langle \text{False} \rangle$
using $D\text{-in-F neg-not-sec-case F-prec by blast}$
qed
next
fix C
assume $\text{only-if: } \langle \forall D \in \mathcal{G}\text{-F } C. D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \vee (\exists E \in N - \text{Red-F-G } N. \text{ Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E) \rangle$
show $\langle C \in \text{Red-F-G } N \rangle$ **unfolding** $\text{Red-F-G-def using only-if by auto}$
qed

lemma $\text{not-red-map-in-map-not-red: } \langle \mathcal{G}\text{-Fset } N - \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \subseteq \mathcal{G}\text{-Fset } (N - \text{Red-F-G } N) \rangle$
proof

```

fix D
assume D-hyp: <D ∈ G-Fset N = Red-F-G (G-Fset N)>

have D-in: <D ∈ G-Fset N> using D-hyp by blast
have D-not-in: <D ∉ Red-F-G (G-Fset N)> using D-hyp by blast
have exist-C: <∃ C. C ∈ N ∧ D ∈ G-F C> using D-in by auto
define B where <B = {C ∈ N. D ∈ G-F C}>
obtain C where C: C ∈ B ∀ y. Prec-F-g D y C → y ∉ B
  by (metis (no-types, lifting) B-def all-wf(1) exist-C mem-Collect-eq wfp-eq-minimal)
have C-in-N: <C ∈ N>
  using B-def C by auto
have D-in-C: <D ∈ G-F C>
  using B-def C by auto
have C-not-in: <C ∉ Red-F-G N>
proof
  assume C-in: <C ∈ Red-F-G N>
  have <D ∈ Red-F-G (G-Fset N) ∨ (∃ E ∈ N. Prec-F-g D E C ∧ D ∈ G-F E)>
    using C-in D-in-C unfolding Red-F-G-def by auto
  then show <False>
    proof
      assume <D ∈ Red-F-G (G-Fset N)>
      then show <False> using D-not-in by simp
    next
      assume <∃ E ∈ N. Prec-F-g D E C ∧ D ∈ G-F E>
      then show <False>
        using C B-def by auto
    qed
  qed
  show <D ∈ G-Fset (N - Red-F-G N)> using D-in-C C-not-in C-in-N by blast
qed

lemma Red-F-Bot-F: <B ∈ Bot-F ⇒ N ⊨ G {B} ⇒ N - Red-F-G N ⊨ G {B}>
proof -
  fix B N
  assume
    B-in: <B ∈ Bot-F> and
    N-entails: <N ⊨ G {B}>
  then have to-bot: <G-Fset N - Red-F-G (G-Fset N) ⊨ G G-F B>
    using ground.Red-F-Bot Bot-map
    by (metis SUP-upper ground.entail-set-all-formulas in-mono singletonI)
  have from-f: <G-Fset (N - Red-F-G N) ⊨ G G-Fset N - Red-F-G (G-Fset N)>
    using ground.subset-entailed[OF not-red-map-in-map-not-red] by blast
  then have <G-Fset (N - Red-F-G N) ⊨ G G-F B> using to-bot ground.entails-trans by blast
  then show <N - Red-F-G N ⊨ G {B}> using Bot-map by simp
qed

lemma Red-F-of-subset-F: <N ⊆ N' ⇒ Red-F-G N ⊆ Red-F-G N'>
using ground.Red-F-of-subset unfolding Red-F-G-def by clarsimp (meson G-subset subsetD)

lemma Red-I-of-subset-F: <N ⊆ N' ⇒ Red-I-G N ⊆ Red-I-G N'>
using Collect-mono G-subset subset-iff ground.Red-I-of-subset unfolding Red-I-G-def

```

by (smt (verit, del-insts) UncI UnE ground.Red-F-of-subset subsetD)

lemma Red-F-of-Red-F-subset-F: $\langle N' \subseteq \text{Red-F-G } N \implies \text{Red-F-G } N \subseteq \text{Red-F-G } (N - N') \rangle$

proof

fix $N N' C$

assume

N' -in-Red-F-N: $\langle N' \subseteq \text{Red-F-G } N \rangle$ and

C -in-red-F-N: $\langle C \in \text{Red-F-G } N \rangle$

have lem8: $\langle \forall D \in \mathcal{G}\text{-F } C. D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \vee (\exists E \in (N - \text{Red-F-G } N). \text{Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E) \rangle$

using Red-F-G-equiv-def C-in-red-F-N **by** blast

show $\langle C \in \text{Red-F-G } (N - N') \rangle$ **unfolding** Red-F-G-def

proof (rule,rule)

fix D

assume $\langle D \in \mathcal{G}\text{-F } C \rangle$

then have $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \vee (\exists E \in (N - \text{Red-F-G } N). \text{Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E) \rangle$

using lem8 **by** auto

then show $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } (N - N')) \vee (\exists E \in (N - N'). \text{Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E) \rangle$

proof

assume $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N) \rangle$

then have $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } N - \text{Red-F-G } (\mathcal{G}\text{-Fset } N)) \rangle$

using ground.Red-F-of-Red-F-subset[of Red-F-G ($\mathcal{G}\text{-Fset } N$) $\mathcal{G}\text{-Fset } N$] **by** auto

then have $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } (N - \text{Red-F-G } N)) \rangle$

using ground.Red-F-of-subset[OF not-red-map-in-map-not-red[of N]] **by** auto

then have $\langle D \in \text{Red-F-G } (\mathcal{G}\text{-Fset } (N - N')) \rangle$

using N' -in-Red-F-N \mathcal{G} -subset[of $N - \text{Red-F-G } N$ $N - N'$]

by (meson Diff-mono ground.Red-F-of-subset subset-iff)

then show ?thesis **by** blast

next

assume $\langle \exists E \in (N - \text{Red-F-G } N). \text{Prec-F-g } D E C \wedge D \in \mathcal{G}\text{-F } E \rangle$

then obtain E **where**

E -in: $\langle E \in (N - \text{Red-F-G } N) \rangle$ and

E -prec-C: $\langle \text{Prec-F-g } D E C \rangle$ and

D -in: $\langle D \in \mathcal{G}\text{-F } E \rangle$

by auto

have $\langle E \in (N - N') \rangle$ **using** E -in N' -in-Red-F-N **by** blast

then show ?thesis **using** E -prec-C D -in **by** blast

qed

qed

qed

lemma Red-I-of-Red-F-subset-F: $\langle N' \subseteq \text{Red-F-G } N \implies \text{Red-I-G } N \subseteq \text{Red-I-G } (N - N') \rangle$

proof

fix $N N' \iota$

assume

N' -in-Red-F-N: $\langle N' \subseteq \text{Red-F-G } N \rangle$ and

i -in-Red-I-N: $\langle i \in \text{Red-I-G } N \rangle$

have i-in: $\langle i \in \text{Inf-F} \rangle$ **using** i-in-Red-I-N **unfolding** Red-I-G-def **by** blast

{

assume not-none: $\mathcal{G}\text{-I } \iota \neq \text{None}$

have $\langle \forall \iota' \in \text{the } (\mathcal{G}\text{-I } \iota). \iota' \in \text{Red-I-G } (\mathcal{G}\text{-Fset } N) \rangle$

using not-none i-in-Red-I-N **unfolding** Red-I-G-def **by** auto

then have $\langle \forall \iota' \in \text{the } (\mathcal{G}\text{-I } \iota). \iota' \in \text{Red-I-G } (\mathcal{G}\text{-Fset } N - \text{Red-F-G } (\mathcal{G}\text{-Fset } N)) \rangle$

```

using not-none ground.Red-I-of-Red-F-subset by blast
then have ip-in-Red-I-G: <math>\forall \iota' \in \text{the } (\mathcal{G}\text{-}I \ \iota). \ \iota' \in \text{Red-}I\text{-}G (\mathcal{G}\text{-}Fset (N - \text{Red-}F\text{-}\mathcal{G} N))>
  using not-none ground.Red-I-of-subset[OF not-red-map-in-map-not-red[of N]] by auto
then have not-none-in: <math>\forall \iota' \in \text{the } (\mathcal{G}\text{-}I \ \iota). \ \iota' \in \text{Red-}I\text{-}G (\mathcal{G}\text{-}Fset (N - N'))>
  using not-none N'-in-Red-F-N
    by (meson Diff-mono ground.Red-I-of-subset subset-iff subset-refl)
then have the (G-I i) ⊆ Red-I-G (G-Fset (N - N')) by blast
}

moreover {
  assume none: G-I i = None
  have ground-concl-subs: G-F (concl-of i) ⊆ (G-Fset N ∪ Red-F-G (G-Fset N))
    using none i-in-Red-I-N unfolding Red-I-G-def by blast
  then have d-in-imp12: D ∈ G-F (concl-of i) ⟹ D ∈ G-Fset N - Red-F-G (G-Fset N) ∨ D ∈ Red-F-G (G-Fset N)
    by blast
  have d-in-imp1: D ∈ G-Fset N - Red-F-G (G-Fset N) ⟹ D ∈ G-Fset (N - N')
    using not-red-map-in-map-not-red N'-in-Red-F-N by blast
  have d-in-imp-d-in: D ∈ Red-F-G (G-Fset N) ⟹ D ∈ Red-F-G (G-Fset N - Red-F-G (G-Fset N))
    using ground.Red-F-of-Red-F-subset[of Red-F-G (G-Fset N) G-Fset N] by blast
  have g-subs1: G-Fset N - Red-F-G (G-Fset N) ⊆ G-Fset (N - Red-F-G N)
    using not-red-map-in-map-not-red unfolding Red-F-G-def by auto
  have g-subs2: G-Fset (N - Red-F-G N) ⊆ G-Fset (N - N')
    using N'-in-Red-F-N by blast
  have d-in-imp2: D ∈ Red-F-G (G-Fset N) ⟹ D ∈ Red-F-G (G-Fset (N - N'))
    using ground.Red-F-of-subset ground.Red-F-of-subset[OF g-subs1]
      ground.Red-F-of-subset[OF g-subs2] d-in-imp-d-in by blast
  have G-F (concl-of i) ⊆ (G-Fset (N - N') ∪ Red-F-G (G-Fset (N - N')))
    using d-in-imp12 d-in-imp1 d-in-imp2
    by (smt (verit, ccfv-SIG) Un-Diff-cancel2 calculus.Red-F-of-subset g-subs1 g-subs2
      ground.Red-F-of-Red-F-subset ground.reduced-calc-is-calc ground-concl-subs order-eq-refl
      order-trans sup-mono)
}
ultimately show i ∈ Red-I-G (N - N') using i-in unfolding Red-I-G-def by auto
qed

```

```

lemma Red-I-of-Inf-to-N-F:
assumes
  i-in: i ∈ Inf-F and
  concl-i-in: concl-of i ∈ N
shows
  i ∈ Red-I-G N
proof -
  have i ∈ Inf-F ⟹ G-I i ≠ None ⟹ the (G-I i) ⊆ Red-I-G (G-F (concl-of i)) using inf-map by simp
  moreover have Red-I-G (G-F (concl-of i)) ⊆ Red-I-G (G-Fset N)
    using concl-i-in ground.Red-I-of-subset by blast
  moreover have i ∈ Inf-F ⟹ G-I i = None ⟹ concl-of i ∈ N ⟹ G-F (concl-of i) ⊆ G-Fset N
    by blast
  ultimately show ?thesis using i-in concl-i-in unfolding Red-I-G-def by auto
qed

```

```

sublocale calculus Bot-F Inf-F entails-G Red-I-G Red-F-G
proof

```

```

fix B N N'  $\iota$ 
show ‹Red-I- $\mathcal{G}$  N ⊆ Inf-F› unfolding Red-I- $\mathcal{G}$ -def by blast
show ‹B ∈ Bot-F ⟹ N ⊨ $\mathcal{G}$  {B} ⟹ N – Red-F- $\mathcal{G}$  N ⊨ $\mathcal{G}$  {B}› using Red-F-Bot-F by simp
show ‹N ⊆ N' ⟹ Red-F- $\mathcal{G}$  N ⊆ Red-F- $\mathcal{G}$  N'› using Red-F-of-subset-F by simp
show ‹N ⊆ N' ⟹ Red-I- $\mathcal{G}$  N ⊆ Red-I- $\mathcal{G}$  N'› using Red-I-of-subset-F by simp
show ‹N' ⊆ Red-F- $\mathcal{G}$  N ⟹ Red-F- $\mathcal{G}$  N ⊆ Red-F- $\mathcal{G}$  (N – N')› using Red-F-of-Red-F-subset-F by
simp
show ‹N' ⊆ Red-F- $\mathcal{G}$  N ⟹ Red-I- $\mathcal{G}$  N ⊆ Red-I- $\mathcal{G}$  (N – N')› using Red-I-of-Red-F-subset-F by
simp
show ‹ $\iota \in$  Inf-F ⟹ concl-of  $\iota \in$  N ⟹  $\iota \in$  Red-I- $\mathcal{G}$  N› using Red-I-of-Inf-to-N-F by simp
qed

```

end

lemma standard-empty-tiebreaker-equiv: standard-lifting Inf-F Bot-G Inf-G entails-G Red-I- \mathcal{G}
 $\text{Red-F-}G \text{ Bot-F } \mathcal{G}\text{-F } \mathcal{G}\text{-I} = \text{tiebreaker-lifting Bot-F Inf-F Bot-G entails-G Inf-G Red-I-}G$
 $\text{Red-F-}G \mathcal{G}\text{-F } \mathcal{G}\text{-I } (\lambda g C C'. \text{False})$

proof –

```

have tiebreaker-lifting-axioms ( $\lambda g C C'. \text{False}$ )
  unfolding tiebreaker-lifting-axioms-def
  by auto

```

then show ?thesis

unfolding standard-lifting-def tiebreaker-lifting-def by blast

qed

context standard-lifting

begin

interpretation empt-ord: tiebreaker-lifting Bot-F Inf-F Bot-G entails-G Inf-G Red-I- \mathcal{G}
 $\text{Red-F-}G \mathcal{G}\text{-F } \mathcal{G}\text{-I } \lambda g C C'. \text{False}$
 using standard-empty-tiebreaker-equiv using standard-lifting-axioms by blast

lemma red-f-equiv: empt-ord.Red-F- \mathcal{G} = Red-F- \mathcal{G}
 unfolding Red-F- \mathcal{G} -def empt-ord.Red-F- \mathcal{G} -def by simp

sublocale calc?: calculus Bot-F Inf-F entails- \mathcal{G} Red-I- \mathcal{G} Red-F- \mathcal{G}
 using empt-ord.calculus-axioms red-f-equiv by fastforce

lemma grounded-inf-in-ground-inf: $\iota \in$ Inf-F ⟹ $\mathcal{G}\text{-I } \iota \neq \text{None} \Rightarrow \text{the } (\mathcal{G}\text{-I } \iota) \subseteq \text{Inf-}G$
 using inf-map ground.Red-I-to-Inf by blast

abbreviation ground-Inf-overapproximated :: 'f set ⇒ bool **where**
 $\text{ground-Inf-overapproximated } N \equiv \text{ground.Inf-from } (\mathcal{G}\text{-Fset } N)$
 $\subseteq \{\iota. \exists \iota' \in \text{Inf-from } N. \mathcal{G}\text{-I } \iota' \neq \text{None} \wedge \iota \in \text{the } (\mathcal{G}\text{-I } \iota')\} \cup \text{Red-I-}G (\mathcal{G}\text{-Fset } N)$

lemma sat-inf-imp-ground-red:

assumes

```

  saturated N and
   $\iota' \in \text{Inf-from } N$  and
   $\mathcal{G}\text{-I } \iota' \neq \text{None} \wedge \iota \in \text{the } (\mathcal{G}\text{-I } \iota')$ 
shows  $\iota \in \text{Red-I-}G (\mathcal{G}\text{-Fset } N)$ 
  using assms Red-I- $\mathcal{G}$ -def unfolding saturated-def by auto

```

```

lemma sat-imp-ground-sat:
  saturated N  $\implies$  ground-Inf-overapproximated N  $\implies$  ground.saturated ( $\mathcal{G}$ -Fset N)
  unfolding ground.saturated-def using sat-inf-imp-ground-red by auto

theorem stat-ref-comp-to-non-ground:
  assumes
    stat-ref-G: statically-complete-calculus Bot-G Inf-G entails-G Red-I-G Red-F-G and
    sat-n-imp:  $\bigwedge N$ . saturated N  $\implies$  ground-Inf-overapproximated N
  shows
    statically-complete-calculus Bot-F Inf-F entails-G Red-I-G Red-F-G

proof
  fix B N
  assume
    b-in: B  $\in$  Bot-F and
    sat-n: saturated N and
    n-entails-bot: N  $\models_{\mathcal{G}} \{B\}$ 
  have ground-n-entails:  $\mathcal{G}$ -Fset N  $\models_{\mathcal{G}} \{B\}$ 
    using n-entails-bot by simp
  then obtain BG where bg-in1: BG  $\in$   $\mathcal{G}$ -F B
    using Bot-map-not-empty[OF b-in] by blast
  then have bg-in: BG  $\in$  Bot-G
    using Bot-map[OF b-in] by blast
  have ground-n-entails-bot:  $\mathcal{G}$ -Fset N  $\models_{\mathcal{G}} \{BG\}$ 
    using ground-n-entails bg-in1 ground.entail-set-all-formulas by blast
  have ground.Inf-from ( $\mathcal{G}$ -Fset N)  $\subseteq$ 
    { $\iota$ .  $\exists \iota' \in$  Inf-from N.  $\mathcal{G}$ -I  $\iota' \neq$  None  $\wedge$   $\iota \in$  the ( $\mathcal{G}$ -I  $\iota'$ )}  $\cup$  Red-I-G ( $\mathcal{G}$ -Fset N)
    using sat-n-imp[OF sat-n] .
  have ground.saturated ( $\mathcal{G}$ -Fset N)
    using sat-imp-ground-sat[OF sat-n sat-n-imp[OF sat-n]] .
  then have  $\exists B' \in$  Bot-G. BG'  $\in$  ( $\mathcal{G}$ -Fset N)
    using stat-ref-G ground.calculus-axioms bg-in ground-n-entails-bot
    unfolding statically-complete-calculus-def statically-complete-calculus-axioms-def
    by blast
  then show  $\exists B' \in$  Bot-F. B'  $\in$  N
    using bg-in Bot-cond Bot-map-not-empty Bot-cond
    by blast
  qed

end

context tiebreaker-lifting
begin

lemma saturated-empty-order-equiv-saturated:
  saturated N = calc.saturated N
  by (rule refl)

lemma static-empty-order-equiv-static:
  statically-complete-calculus Bot-F Inf-F entails-G Red-I-G Red-F-G =
  statically-complete-calculus Bot-F Inf-F entails-G Red-I-G empty-ord.Red-F-G
  unfolding statically-complete-calculus-def

```

```

by (rule iffI) (standard,(standard)[],simp)+

theorem static-to-dynamic:
  statically-complete-calculus Bot-F Inf-F entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  empty-ord.Red-F- $\mathcal{G}$  =
    dynamically-complete-calculus Bot-F Inf-F entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  Red-F- $\mathcal{G}$ 
  using dyn-equiv-stat static-empty-order-equiv-static
  by blast
end

```

4.4 Lifting with a Family of Redundancy Criteria

```

locale lifting-intersection = inference-system Inf-F +
  ground: inference-system-family Q Inf-G-q +
  ground: consequence-relation-family Bot-G Q entails-q
  for
    Inf-F :: 'f inference set and
    Bot-G :: 'g set and
    Q :: 'q set and
    Inf-G-q :: ' $q \Rightarrow 'g$  inference set> and
    entails-q :: ' $q \Rightarrow 'g$  set  $\Rightarrow 'g$  set  $\Rightarrow$  bool and
    Red-I-q :: ' $q \Rightarrow 'g$  set  $\Rightarrow 'g$  inference set and
    Red-F-q :: ' $q \Rightarrow 'g$  set  $\Rightarrow 'g$  set
  + fixes
    Bot-F :: 'f set and
    G-F-q :: ' $q \Rightarrow 'f \Rightarrow 'g$  set and
    G-I-q :: ' $q \Rightarrow 'f$  inference  $\Rightarrow 'g$  inference set option and
    Prec-F-g :: ' $g \Rightarrow 'f \Rightarrow 'f \Rightarrow$  bool
  assumes
    standard-lifting-family:
     $\forall q \in Q. \text{tiebreaker-lifting } \text{Bot-F } \text{Inf-F } \text{Bot-G } (\text{entails-q } q) (\text{Inf-G-q } q) (\text{Red-I-q } q)$ 
     $(\text{Red-F-q } q) (\text{G-F-q } q) (\text{G-I-q } q) \text{Prec-F-g}$ 
begin

```

```

abbreviation G-Fset-q :: ' $q \Rightarrow 'f$  set  $\Rightarrow 'g$  set where
  G-Fset-q q N  $\equiv \bigcup (\text{G-F-q } q ' N)$ 

```

```

definition Red-I- $\mathcal{G}$ -q :: ' $q \Rightarrow 'f$  set  $\Rightarrow 'f$  inference set where
  Red-I- $\mathcal{G}$ -q q N = { $\iota \in \text{Inf-F}. (\text{G-I-q } q \iota \neq \text{None} \wedge \text{the } (\text{G-I-q } q \iota) \subseteq \text{Red-I-q } q (\text{G-Fset-q } q N))$ 
   $\vee (\text{G-I-q } q \iota = \text{None} \wedge \text{G-F-q } q (\text{concl-of } \iota) \subseteq (\text{G-Fset-q } q N \cup \text{Red-F-q } q (\text{G-Fset-q } q N)))\}$ 

```

```

definition Red-F- $\mathcal{G}$ -empty-q :: ' $q \Rightarrow 'f$  set  $\Rightarrow 'f$  set where
  Red-F- $\mathcal{G}$ -empty-q q N = {C.  $\forall D \in \text{G-F-q } q C. D \in \text{Red-F-q } q (\text{G-Fset-q } q N)\}$ 

```

```

definition Red-F- $\mathcal{G}$ -q :: ' $q \Rightarrow 'f$  set  $\Rightarrow 'f$  set where
  Red-F- $\mathcal{G}$ -q q N =
  {C.  $\forall D \in \text{G-F-q } q C. D \in \text{Red-F-q } q (\text{G-Fset-q } q N) \vee (\exists E \in N. \text{Prec-F-g } D E C \wedge D \in \text{G-F-q } q E)\}$ 

```

```

abbreviation entails- $\mathcal{G}$ -q :: ' $q \Rightarrow 'f$  set  $\Rightarrow 'f$  set  $\Rightarrow$  bool where
  entails- $\mathcal{G}$ -q q N1 N2  $\equiv \text{entails-q } q (\text{G-Fset-q } q N1) (\text{G-Fset-q } q N2)$ 

```

```

lemma red-crit-lifting-family:
  assumes q-in:  $q \in Q$ 
  shows calculus Bot-F Inf-F ( $\text{entails-}\mathcal{G}\text{-q } q$ ) ( $\text{Red-I-}\mathcal{G}\text{-q } q$ ) ( $\text{Red-F-}\mathcal{G}\text{-q } q$ )

```

```

proof –
interpret wf-lift:
  tiebreaker-lifting Bot-F Inf-F Bot-G entails-q q Inf-G-q q Red-I-q q
  Red-F-q q G-F-q q G-I-q q Prec-F-g
  using standard-lifting-family q-in by metis
have Red-I-G-q q = wf-lift.Red-I-G
  unfolding Red-I-G-q-def wf-lift.Red-I-G-def by blast
moreover have Red-F-G-q q = wf-lift.Red-F-G
  unfolding Red-F-G-q-def wf-lift.Red-F-G-def by blast
ultimately show ?thesis
  using wf-lift.calculus-axioms by simp
qed

lemma red-crit-lifting-family-empty-ord:
assumes q-in: q ∈ Q
shows calculus Bot-F Inf-F (entails-G-q q) (Red-I-G-q q) (Red-F-G-empty-q q)
proof –
interpret wf-lift:
  tiebreaker-lifting Bot-F Inf-F Bot-G entails-q q Inf-G-q q Red-I-q q
  Red-F-q q G-F-q q G-I-q q Prec-F-g
  using standard-lifting-family q-in by metis
have Red-I-G-q q = wf-lift.Red-I-G
  unfolding Red-I-G-q-def wf-lift.Red-I-G-def by blast
moreover have Red-F-G-empty-q q = wf-lift.empty-ord.Red-F-G
  unfolding Red-F-G-empty-q-def wf-lift.empty-ord.Red-F-G-def by blast
ultimately show ?thesis
  using wf-lift.calc.calculus-axioms by simp
qed

sublocale consequence-relation-family Bot-F Q entails-G-q
proof (unfold-locales; (intro ballI)?)
  show Q ≠ {}
    by (rule ground.Q-nonempty)
next
  fix qi
  assume qi-in: qi ∈ Q

  interpret lift: tiebreaker-lifting Bot-F Inf-F Bot-G entails-q qi Inf-G-q qi
    Red-I-q qi Red-F-q qi G-F-q qi G-I-q qi Prec-F-g
    using qi-in by (metis standard-lifting-family)

  show consequence-relation Bot-F (entails-G-q qi)
    by unfold-locales
qed

sublocale intersection-calculus Bot-F Inf-F Q entails-G-q Red-I-G-q Red-F-G-q
  by unfold-locales (auto simp: Q-nonempty red-crit-lifting-family)

abbreviation entails-G :: 'f set ⇒ 'f set ⇒ bool (infix ‘|≠G’ 50) where
  (|≠G) ≡ entails

abbreviation Red-I-G :: 'f set ⇒ 'f inference set where
  Red-I-G ≡ Red-I

abbreviation Red-F-G :: 'f set ⇒ 'f set where

```

Red-F-G \equiv *Red-F*

lemmas *entails-G-def* = *entails-def*
lemmas *Red-I-G-def* = *Red-I-def*
lemmas *Red-F-G-def* = *Red-F-def*

sublocale *empty-ord*: intersection-calculus *Bot-F Inf-F Q entails-G-q Red-I-G-q Red-F-G-empty-q*
by unfold-locales (auto simp: *Q-nonempty red-crit-lifting-family-empty-ord*)

abbreviation *Red-F-G-empty* :: '*f set* \Rightarrow '*f set* **where**
Red-F-G-empty \equiv *empty-ord.Red-F*

lemmas *Red-F-G-empty-def* = *empty-ord.Red-F-def*

lemma *sat-inf-imp-ground-red-fam-inter*:

assumes

sat-n: saturated *N* **and**
i'-in: $\iota' \in \text{Inf-from } N$ **and**
q-in: $q \in Q$ **and**
grounding: $\mathcal{G}\text{-I-}q q \iota' \neq \text{None} \wedge \iota \in \text{the } (\mathcal{G}\text{-I-}q q \iota')$
shows $\iota \in \text{Red-I-}q q (\mathcal{G}\text{-Fset-}q q N)$

proof –

have $\iota' \in \text{Red-I-}q q N$
using *sat-n i'-in q-in all-red-crit calculus.saturated-def sat-int-to-sat-q*
by blast
then have $\text{the } (\mathcal{G}\text{-I-}q q \iota') \subseteq \text{Red-I-}q q (\mathcal{G}\text{-Fset-}q q N)$
by (simp add: *Red-I-G-q-def grounding*)
then show ?thesis
using *grounding* **by** blast

qed

abbreviation *ground-Inf-overapproximated* :: '*q* \Rightarrow '*f set* \Rightarrow *bool* **where**

ground-Inf-overapproximated q N \equiv
ground.Inf-from-q q ($\mathcal{G}\text{-Fset-}q q N$)
 $\subseteq \{\iota. \exists \iota' \in \text{Inf-from } N. \mathcal{G}\text{-I-}q q \iota' \neq \text{None} \wedge \iota \in \text{the } (\mathcal{G}\text{-I-}q q \iota')\} \cup \text{Red-I-}q q (\mathcal{G}\text{-Fset-}q q N)$

abbreviation *ground-saturated* :: '*q* \Rightarrow '*f set* \Rightarrow *bool* **where**

ground-saturated q N \equiv *ground.Inf-from-q q* ($\mathcal{G}\text{-Fset-}q q N$) $\subseteq \text{Red-I-}q q (\mathcal{G}\text{-Fset-}q q N)$

lemma *sat-imp-ground-sat-fam-inter*:

saturated N $\implies q \in Q \implies \text{ground-Inf-overapproximated q N} \implies \text{ground-saturated q N}$
using *sat-inf-imp-ground-red-fam-inter* **by** auto

theorem *stat-ref-comp-to-non-ground-fam-inter*:

assumes

stat-ref-G:
 $\forall q \in Q. \text{statically-complete-calculus Bot-G } (\text{Inf-G-}q q) (\text{entails-}q q) (\text{Red-I-}q q)$
 $(\text{Red-F-}q q)$ **and**

sat-n-imp: $\bigwedge N. \text{saturated } N \implies \exists q \in Q. \text{ground-Inf-overapproximated } q N$

shows

statically-complete-calculus Bot-F Inf-F entails-G Red-I-G Red-F-G-empty
using *empty-ord.calculus-axioms unfolding statically-complete-calculus-def*
 $\text{statically-complete-calculus-axioms-def}$

proof (standard, clarify)

```

fix B N
assume
  b-in:  $B \in \text{Bot-F}$  and
  sat-n: saturated  $N$  and
  entails-bot:  $N \models_{\cap} \mathcal{G} \{B\}$ 
then obtain q where
  q-in:  $q \in Q$  and
  inf-subs: ground.Inf-from-q q ( $\mathcal{G}\text{-Fset-}q q N$ )  $\subseteq$ 
     $\{\iota. \exists \iota' \in \text{Inf-from } N. \mathcal{G}\text{-I-}q q \iota' \neq \text{None} \wedge \iota \in \text{the } (\mathcal{G}\text{-I-}q q \iota')\}$ 
     $\cup \text{Red-I-}q q (\mathcal{G}\text{-Fset-}q q N)$ 
  using sat-n-imp[of N] by blast
interpret q-calc: calculus Bot-F Inf-F entails- $\mathcal{G}$ -q q Red-I- $\mathcal{G}$ -q q Red-F- $\mathcal{G}$ -q q
  using all-red-crit[rule-format, OF q-in] .
have n-q-sat: q-calc.saturated N
  using q-in sat-int-to-sat-q sat-n by simp
interpret lifted-q-calc:
  tiebreaker-lifting Bot-F Inf-F Bot-G entails-q q Inf-G-q q Red-I-q q
  Red-F-q q  $\mathcal{G}\text{-F-}q q \mathcal{G}\text{-I-}q q$ 
  using q-in by (simp add: standard-lifting-family)
have n-lift-sat: lifted-q-calc.calc.saturated N
  using n-q-sat unfolding Red-I- $\mathcal{G}$ -q-def lifted-q-calc.Red-I- $\mathcal{G}$ -def
  lifted-q-calc.saturated-def q-calc.saturated-def by auto
have ground-sat-n: lifted-q-calc.ground.saturated ( $\mathcal{G}\text{-Fset-}q q N$ )
  by (rule lifted-q-calc.sat-imp-ground-sat[OF n-lift-sat])
  (use n-lift-sat inf-subs ground.Inf-from-q-def in auto)
have ground-n-entails-bot: entails- $\mathcal{G}$ -q q N {B}
  using q-in entails-bot unfolding entails- $\mathcal{G}$ -def by simp
interpret statically-complete-calculus Bot-G Inf-G-q q entails-q q Red-I-q q
  Red-F-q q
  using stat-ref-G[rule-format, OF q-in] .
obtain BG where bg-in:  $BG \in \mathcal{G}\text{-F-}q q B$ 
  using lifted-q-calc.Bot-map-not-empty[OF b-in] by blast
then have BG ∈ Bot-G using lifted-q-calc.Bot-map[OF b-in] by blast
then have  $\exists BG' \in Bot-G. BG' \in \mathcal{G}\text{-Fset-}q q N$ 
  using ground-sat-n ground-n-entails-bot statically-complete[of BG, OF - ground-sat-n]
  bg-in lifted-q-calc.ground.entail-set-all-formulas[of  $\mathcal{G}\text{-Fset-}q q N \mathcal{G}\text{-Fset-}q q \{B\}$ ]
  by simp
then show  $\exists B' \in Bot-F. B' \in N$  using lifted-q-calc.Bot-cond by blast
qed

```

lemma sat-eq-sat-empty-order: saturated $N = \text{empty-ord.saturated } N$
by (rule refl)

lemma static-empty-ord-inter-equiv-static-inter:
 statically-complete-calculus Bot-F Inf-F entails Red-I Red-F =
 statically-complete-calculus Bot-F Inf-F entails Red-I Red-F- \mathcal{G} -empty
unfolding statically-complete-calculus-def
by (simp add: empty-ord.calculus-axioms calculus-axioms)

theorem stat-eq-dyn-ref-comp-fam-inter: statically-complete-calculus Bot-F Inf-F
 entails Red-I Red-F- \mathcal{G} -empty =
 dynamically-complete-calculus Bot-F Inf-F entails Red-I Red-F

```

using dyn-equiv-stat static-empty-ord-inter-equiv-static-inter
by blast

end

end

```

5 Labeled Lifting to Non-Ground Calculi

This section formalizes the extension of the lifting results to labeled calculi. This corresponds to section 3.4 of the report.

```

theory Labeled-Lifting-to-Non-Ground-Calculi
imports Lifting-to-Non-Ground-Calculi
begin

```

5.1 Labeled Lifting with a Family of Tiebreaker Orderings

```

locale labeled-tiebreaker-lifting = no-labels: tiebreaker-lifting Bot-F Inf-F
Bot-G entails-G Inf-G Red-I-G Red-F-G G-F G-I Prec-F
for
  Bot-F :: 'f set and
  Inf-F :: 'f inference set and
  Bot-G :: 'g set and
  entails-G :: 'g set => 'g set => bool (infix <|=G> 50) and
  Inf-G :: 'g inference set and
  Red-I-G :: 'g set => 'g inference set and
  Red-F-G :: 'g set => 'g set and
  G-F :: 'f => 'g set and
  G-I :: 'f inference => 'g inference set option and
  Prec-F :: 'g => 'f => 'f => bool (infix <□> 50)
+ fixes
  Inf-FL :: <('f × 'l) inference set>
assumes
  Inf-F-to-Inf-FL: <ιF ∈ Inf-F ==> length (Ll :: 'l list) = length (prems-of ιF) ==>
    ∃L0. Infer (zip (prems-of ιF) Ll) (concl-of ιF, L0) ∈ Inf-FL and
  Inf-FL-to-Inf-F: <ιFL ∈ Inf-FL ==> Infer (map fst (prems-of ιFL)) (fst (concl-of ιFL)) ∈ Inf-F
begin

```

```

definition to-F :: <('f × 'l) inference => 'f inference> where
  <to-F ιFL = Infer (map fst (prems-of ιFL)) (fst (concl-of ιFL))>

```

```

abbreviation Bot-FL :: <('f × 'l) set> where
  <Bot-FL ≡ Bot-F × UNIV>

```

```

abbreviation G-F-L :: <('f × 'l) => 'g set> where
  <G-F-L CL ≡ G-F (fst CL)>

```

```

abbreviation G-I-L :: <('f × 'l) inference => 'g inference set option> where
  <G-I-L ιFL ≡ G-I (to-F ιFL)>

```

```

sublocale standard-lifting Inf-FL Bot-G Inf-G (|=G) Red-I-G Red-F-G Bot-FL G-F-L G-I-L
proof
  show Bot-FL ≠ {}

```

```

using no-labels.Bot-F-not-empty by simp
next
  show  $B \in \text{Bot-FL} \implies \mathcal{G}\text{-F-L } B \neq \{\}$  for  $B$ 
    using no-labels.Bot-map-not-empty by auto
next
  show  $B \in \text{Bot-FL} \implies \mathcal{G}\text{-F-L } B \subseteq \text{Bot-G}$  for  $B$ 
    using no-labels.Bot-map by force
next
  fix  $CL$ 
  show  $\mathcal{G}\text{-F-L } CL \cap \text{Bot-G} \neq \{\} \longrightarrow CL \in \text{Bot-FL}$ 
    using no-labels.Bot-cond by (metis SigmaE UNIV-I UNIV-Times-UNIV mem-Sigma-iff prod.sel(1))
next
  fix  $\iota$ 
  assume
    i-in:  $\langle \iota \in \text{Inf-FL} \rangle$  and
    ground-not-none:  $\langle \mathcal{G}\text{-I-L } \iota \neq \text{None} \rangle$ 
    then show the  $(\mathcal{G}\text{-I-L } \iota) \subseteq \text{Red-I-G } (\mathcal{G}\text{-F-L } (\text{concl-of } \iota))$ 
    unfolding to-F-def using no-labels.inf-map Inf-FL-to-Inf-F by fastforce
qed

```

notation entails- \mathcal{G} (**infix** $\langle\models_{\mathcal{G}}\rangle$ 50)

lemma labeled-entailment-lifting: $\text{NL1} \models_{\mathcal{G}} \text{NL2} \longleftrightarrow \text{fst}^* \text{NL1} \models_{\mathcal{G}} \text{fst}^* \text{NL2}$
by simp

lemma red-inf-impl: $\iota \in \text{Red-I-G } \text{NL} \implies \text{to-F } \iota \in \text{no-labels.Red-I-G } (\text{fst}^* \text{NL})$
unfolding Red-I-G-def no-labels.Red-I-G-def **using** Inf-FL-to-Inf-F **by** (auto simp: to-F-def)

lemma labeled-saturation-lifting: saturated $\text{NL} \implies \text{no-labels.saturated } (\text{fst}^* \text{NL})$
unfolding saturated-def no-labels.saturated-def Inf-from-def no-labels.Inf-from-def
proof clarify
 fix ι
assume
 subs-Red-I: $\{\iota \in \text{Inf-FL}. \text{set } (\text{prems-of } \iota) \subseteq \text{NL}\} \subseteq \text{Red-I-G } \text{NL}$ **and**
 i-in: $\iota \in \text{Inf-F}$ **and**
 i-prems: $\text{set } (\text{prems-of } \iota) \subseteq \text{fst}^* \text{NL}$
define Lli where $Lli \ i = (\text{SOME } x. ((\text{prems-of } \iota)!i, x) \in \text{NL})$ **for** i
have [simp]: $((\text{prems-of } \iota)!i, Lli \ i) \in \text{NL}$ **if** $i < \text{length } (\text{prems-of } \iota)$ **for** i
using that i-prems **unfolding** Lli-def **by** (metis nth-mem someI-ex DomainE Domain-fst subset-eq)
 define Ll where $Ll = \text{map } Lli [0..<\text{length } (\text{prems-of } \iota)]$
have Ll-length: $\text{length } Ll = \text{length } (\text{prems-of } \iota)$ **unfolding** Ll-def **by** auto
 have subs-NL: $\text{set } (\text{zip } (\text{prems-of } \iota) \ Ll) \subseteq \text{NL}$ **unfolding** Ll-def **by** (auto simp:in-set-zip)
 obtain L0 where L0: $\text{Infer } (\text{zip } (\text{prems-of } \iota) \ Ll) (\text{concl-of } \iota, L0) \in \text{Inf-FL}$
using Inf-F-to-Inf-FL[OF i-in Ll-length] ..
 define i-FL where $i\text{-FL} = \text{Infer } (\text{zip } (\text{prems-of } \iota) \ Ll) (\text{concl-of } \iota, L0)$
then have set (prems-of i-FL) $\subseteq \text{NL}$ **using** subs-NL **by** simp
 then have i-FL $\in \{\iota \in \text{Inf-FL}. \text{set } (\text{prems-of } \iota) \subseteq \text{NL}\}$ **unfolding** i-FL-def **using** L0 **by** blast
 then have i-FL $\in \text{Red-I-G } \text{NL}$ **using** subs-Red-I **by** fast
 moreover have $\iota = \text{to-F } \iota\text{-FL}$ **unfolding** to-F-def i-FL-def **using** Ll-length **by** (cases ι) auto
 ultimately show $\iota \in \text{no-labels.Red-I-G } (\text{fst}^* \text{NL})$ **by** (auto intro: red-inf-impl)
qed

```

lemma stat-ref-comp-to-labeled-sta-ref-comp:
  assumes static:
    statically-complete-calculus Bot-F Inf-F ( $\models \mathcal{G}$ ) no-labels.Red-I $\mathcal{G}$  no-labels.Red-F $\mathcal{G}$ 
  shows statically-complete-calculus Bot-FL Inf-FL ( $\models \mathcal{GL}$ ) Red-I $\mathcal{G}$  Red-F $\mathcal{G}$ 
proof
  fix Bl ::  $\langle f \times l \rangle$  and Nl ::  $\langle (f \times l) \text{ set} \rangle$ 
  assume
    Bl-in:  $\langle Bl \in \text{Bot-FL} \rangle$  and
    Nl-sat:  $\langle \text{saturated } Nl \rangle$  and
    Nl-entails-Bl:  $\langle Nl \models \mathcal{GL} \{Bl\} \rangle$ 
  define B where B = fst Bl
  have B-in:  $B \in \text{Bot-F}$  using Bl-in B-def SigmaE by force
  define N where N = fst ` Nl
  have N-sat: no-labels.saturated N
    using N-def Nl-sat labeled-saturation-lifting by blast
  have N-entails-B:  $N \models \mathcal{G} \{B\}$ 
    using Nl-entails-Bl unfolding labeled-entailment-lifting N-def B-def by force
  have  $\exists B' \in \text{Bot-F}. B' \in N$  using B-in N-sat N-entails-B
    using static[unfolded statically-complete-calculus-def
      statically-complete-calculus-axioms-def] by blast
  then obtain B' where in-Bot:  $B' \in \text{Bot-F}$  and in-N:  $B' \in N$  by force
  then have B' ∈ fst ` Bot-FL by fastforce
  obtain Bl' where in-Nl:  $Bl' \in Nl$  and fst-Bl':  $\text{fst } Bl' = B'$ 
    using in-N unfolding N-def by blast
  have Bl' ∈ Bot-FL using fst-Bl' in-Bot vimage-fst by fastforce
  then show  $\langle \exists Bl' \in \text{Bot-FL}. Bl' \in Nl \rangle$  using in-Nl by blast
qed

end

```

5.2 Labeled Lifting with a Family of Redundancy Criteria

```

locale labeled-lifting-intersection = no-labels: lifting-intersection Inf-F
  Bot-G Q Inf-G-q entails-q Red-I-q Red-F-q Bot-F G-F-q G-I-q λg Cl Cl'. False
  for
    Bot-F :: 'f set and
    Inf-F :: 'f inference set and
    Bot-G :: 'g set and
    Q :: 'q set and
    entails-q :: 'q ⇒ 'g set ⇒ 'g set ⇒ bool and
    Inf-G-q :: 'q ⇒ 'g inference set and
    Red-I-q :: 'q ⇒ 'g set ⇒ 'g inference set and
    Red-F-q :: 'q ⇒ 'g set ⇒ 'g set and
    G-F-q :: 'q ⇒ 'f ⇒ 'g set and
    G-I-q :: 'q ⇒ 'f inference ⇒ 'g inference set option
  + fixes
    Inf-FL ::  $\langle (f \times l) \text{ inference set} \rangle$ 
  assumes
    Inf-F-to-Inf-FL:
       $\iota_F \in \text{Inf-F} \implies \text{length} (\text{Ll} :: l \text{ list}) = \text{length} (\text{prems-of } \iota_F) \implies$ 
       $\exists L0. \text{Infer} (\text{zip} (\text{prems-of } \iota_F) \text{ Ll}) (\text{concl-of } \iota_F, L0) \in \text{Inf-FL}$  and
    Inf-FL-to-Inf-F:  $\iota_{FL} \in \text{Inf-FL} \implies \text{Infer} (\text{map fst} (\text{prems-of } \iota_{FL})) (\text{fst} (\text{concl-of } \iota_{FL})) \in \text{Inf-F}$ 
begin

```

definition $to\text{-}F :: \langle ('f \times 'l) \text{ inference} \Rightarrow 'f \text{ inference} \rangle \text{ where}$
 $\langle to\text{-}F \iota_{FL} = Infer (\text{map fst} (\text{prems-of } \iota_{FL})) (\text{fst} (\text{concl-of } \iota_{FL})) \rangle$

abbreviation $Bot\text{-}FL :: \langle ('f \times 'l) \text{ set} \rangle \text{ where}$
 $\langle Bot\text{-}FL \equiv Bot\text{-}F \times UNIV \rangle$

abbreviation $\mathcal{G}\text{-}F\text{-}L\text{-}q :: \langle 'q \Rightarrow ('f \times 'l) \Rightarrow 'g \text{ set} \rangle \text{ where}$
 $\langle \mathcal{G}\text{-}F\text{-}L\text{-}q q CL \equiv \mathcal{G}\text{-}F\text{-}q q (\text{fst } CL) \rangle$

abbreviation $\mathcal{G}\text{-}I\text{-}L\text{-}q :: \langle 'q \Rightarrow ('f \times 'l) \text{ inference} \Rightarrow 'g \text{ inference set option} \rangle \text{ where}$
 $\langle \mathcal{G}\text{-}I\text{-}L\text{-}q q \iota_{FL} \equiv \mathcal{G}\text{-}I\text{-}q q (to\text{-}F \iota_{FL}) \rangle$

abbreviation $\mathcal{G}\text{-}Fset\text{-}L\text{-}q :: 'q \Rightarrow ('f \times 'l) \text{ set} \Rightarrow 'g \text{ set} \text{ where}$
 $\mathcal{G}\text{-}Fset\text{-}L\text{-}q q N \equiv \bigcup (\mathcal{G}\text{-}F\text{-}L\text{-}q q 'N)$

definition $Red\text{-}I\text{-}\mathcal{G}\text{-}L\text{-}q :: 'q \Rightarrow ('f \times 'l) \text{ set} \Rightarrow ('f \times 'l) \text{ inference set} \text{ where}$
 $Red\text{-}I\text{-}\mathcal{G}\text{-}L\text{-}q q N =$
 $\{\iota \in Inf\text{-}FL. (\mathcal{G}\text{-}I\text{-}L\text{-}q q \iota \neq None \wedge \text{the } (\mathcal{G}\text{-}I\text{-}L\text{-}q q \iota) \subseteq Red\text{-}I\text{-}q q (\mathcal{G}\text{-}Fset\text{-}L\text{-}q q N))$
 $\vee (\mathcal{G}\text{-}I\text{-}L\text{-}q q \iota = None \wedge \mathcal{G}\text{-}F\text{-}L\text{-}q q (\text{concl-of } \iota) \subseteq \mathcal{G}\text{-}Fset\text{-}L\text{-}q q N \cup Red\text{-}F\text{-}q q (\mathcal{G}\text{-}Fset\text{-}L\text{-}q q N))\}$

abbreviation $Red\text{-}I\text{-}\mathcal{G}\text{-}L :: ('f \times 'l) \text{ set} \Rightarrow ('f \times 'l) \text{ inference set} \text{ where}$
 $Red\text{-}I\text{-}\mathcal{G}\text{-}L N \equiv (\bigcap q \in Q. Red\text{-}I\text{-}\mathcal{G}\text{-}L\text{-}q q N)$

abbreviation $entails\text{-}\mathcal{G}\text{-}L\text{-}q :: 'q \Rightarrow ('f \times 'l) \text{ set} \Rightarrow ('f \times 'l) \text{ set} \Rightarrow bool \text{ where}$
 $entails\text{-}\mathcal{G}\text{-}L\text{-}q q N1 N2 \equiv entails\text{-}q q (\mathcal{G}\text{-}Fset\text{-}L\text{-}q q N1) (\mathcal{G}\text{-}Fset\text{-}L\text{-}q q N2)$

lemma $lifting\text{-}q:$
assumes $q \in Q$
shows $\text{labeled-tiebreaker-lifting } Bot\text{-}F Inf\text{-}F Bot\text{-}G (entails\text{-}q q) (Inf\text{-}G\text{-}q q) (Red\text{-}I\text{-}q q)$
 $(Red\text{-}F\text{-}q q) (\mathcal{G}\text{-}F\text{-}q q) (\mathcal{G}\text{-}I\text{-}q q) (\lambda g Cl Cl'. False) Inf\text{-}FL$
using $\text{assms no-labels.standard-lifting-family } Inf\text{-}F\text{-}to\text{-}Inf\text{-}FL Inf\text{-}FL\text{-}to\text{-}Inf\text{-}F$
by $(simp add: labeled-tiebreaker-lifting-axioms-def labeled-tiebreaker-lifting-def)$

lemma $lifted\text{-}q:$
assumes $q\text{-in: } q \in Q$
shows $\text{standard-lifting } Inf\text{-}FL Bot\text{-}G (Inf\text{-}G\text{-}q q) (entails\text{-}q q) (Red\text{-}I\text{-}q q) (Red\text{-}F\text{-}q q)$
 $Bot\text{-}FL (\mathcal{G}\text{-}F\text{-}L\text{-}q q) (\mathcal{G}\text{-}I\text{-}L\text{-}q q)$

proof –

interpret $q\text{-lifting: labeled-tiebreaker-lifting } Bot\text{-}F Inf\text{-}F Bot\text{-}G entails\text{-}q q Inf\text{-}G\text{-}q q$
 $Red\text{-}I\text{-}q q Red\text{-}F\text{-}q q \mathcal{G}\text{-}F\text{-}q q \mathcal{G}\text{-}I\text{-}q q \lambda g Cl Cl'. False Inf\text{-}FL$
using $lifting\text{-}q[OF q\text{-in}]$.

have $\mathcal{G}\text{-}I\text{-}L\text{-}q q = q\text{-lifting.}\mathcal{G}\text{-}I\text{-}L$
unfolding $to\text{-}F\text{-def } q\text{-lifting.}to\text{-}F\text{-def}$ **by** $simp$
then show $?thesis$
using $q\text{-lifting.standard-lifting-axioms}$ **by** $simp$

qed

lemma $ord\text{-fam-lifted}\text{-}q:$
assumes $q\text{-in: } q \in Q$
shows $\text{tiebreaker-lifting } Bot\text{-}FL Inf\text{-}FL Bot\text{-}G (entails\text{-}q q) (Inf\text{-}G\text{-}q q) (Red\text{-}I\text{-}q q)$
 $(Red\text{-}F\text{-}q q) (\mathcal{G}\text{-}F\text{-}L\text{-}q q) (\mathcal{G}\text{-}I\text{-}L\text{-}q q) (\lambda g Cl Cl'. False)$

proof –

interpret $\text{standard-}q\text{-lifting: standard-lifting } Inf\text{-}FL Bot\text{-}G Inf\text{-}G\text{-}q q entails\text{-}q q$
 $Red\text{-}I\text{-}q q Red\text{-}F\text{-}q q Bot\text{-}FL \mathcal{G}\text{-}F\text{-}L\text{-}q q \mathcal{G}\text{-}I\text{-}L\text{-}q q$
using $lifted\text{-}q[OF q\text{-in}]$.

```

show ?thesis
  by unfold-locales auto
qed

definition Red-F- $\mathcal{G}$ -empty-L-q :: ' $q \Rightarrow ('f \times 'l)$  set  $\Rightarrow ('f \times 'l)$  set where
  Red-F- $\mathcal{G}$ -empty-L-q q N = {C.  $\forall D \in \mathcal{G}$ -F-L-q q C.  $D \in$  Red-F-q q ( $\mathcal{G}$ -Fset-L-q q N)  $\vee$ 
    ( $\exists E \in N.$  False  $\wedge D \in \mathcal{G}$ -F-L-q q E)}
```

abbreviation Red-F- \mathcal{G} -empty-L :: ('f × 'l) set $\Rightarrow ('f \times 'l)$ set **where**
 Red-F- \mathcal{G} -empty-L N \equiv ($\bigcap q \in Q.$ Red-F- \mathcal{G} -empty-L-q q N)

lemma all-lifted-red-crit:
 assumes q-in: $q \in Q$
shows calculus Bot-FL Inf-FL (entails- \mathcal{G} -L-q q) (Red-I- \mathcal{G} -L-q q) (Red-F- \mathcal{G} -empty-L-q q)
 proof –
 interpret ord-q-lifting: tiebreaker-lifting Bot-FL Inf-FL Bot-G entails-q q
 Inf-G-q q Red-I-q q Red-F-q q \mathcal{G} -F-L-q q \mathcal{G} -I-L-q q $\lambda g Cl Cl'.$ False
 using ord-fam-lifted-q[OF q-in].
 have Red-I- \mathcal{G} -L-q q = ord-q-lifting.Red-I- \mathcal{G}
unfolding Red-I- \mathcal{G} -L-q-def ord-q-lifting.Red-I- \mathcal{G} -def **by** simp
 moreover have Red-F- \mathcal{G} -empty-L-q q = ord-q-lifting.Red-F- \mathcal{G}
unfolding Red-F- \mathcal{G} -empty-L-q-def ord-q-lifting.Red-F- \mathcal{G} -def **by** simp
 ultimately show ?thesis
 using ord-q-lifting.calculus-axioms **by** argo
qed
lemma all-lifted-cons-rel:
 assumes q-in: $q \in Q$
shows consequence-relation Bot-FL (entails- \mathcal{G} -L-q q)
 using all-lifted-red-crit calculus-def q-in **by** blast

sublocale consequence-relation-family Bot-FL Q entails- \mathcal{G} -L-q
 using all-lifted-cons-rel **by** (simp add: consequence-relation-family.intro no-labels.Q-nonempty)

sublocale intersection-calculus Bot-FL Inf-FL Q entails- \mathcal{G} -L-q Red-I- \mathcal{G} -L-q Red-F- \mathcal{G} -empty-L-q
 using intersection-calculus.intro[OF consequence-relation-family-axioms]
 by (simp add: all-lifted-red-crit intersection-calculus-axioms-def no-labels.Q-nonempty)

lemma in-Inf-FL-imp-to-F-in-Inf-F: $\iota \in$ Inf-FL \Longrightarrow to-F $\iota \in$ Inf-F
 by (simp add: Inf-FL-to-Inf-F to-F-def)

lemma in-Inf-from-imp-to-F-in-Inf-from: $\iota \in$ Inf-from N \Longrightarrow to-F $\iota \in$ no-labels.Inf-from (fst ` N)
 unfolding Inf-from-def no-labels.Inf-from-def to-F-def **by** (auto intro: Inf-FL-to-Inf-F)

notation no-labels.entails- \mathcal{G} (**infix** $\langle\models\rangle_{\mathcal{G}}$ 50)

abbreviation entails- \mathcal{G} -L :: ('f × 'l) set $\Rightarrow ('f \times 'l)$ set \Rightarrow bool (**infix** $\langle\models\rangle_{\mathcal{G}}$ 50) **where**
 ($\models_{\mathcal{G}}$) \equiv entails

lemmas entails- \mathcal{G} -L-def = entails-def

lemma labeled-entailment-lifting: NL1 $\models_{\mathcal{G}}$ NL2 \longleftrightarrow fst ` NL1 $\models_{\mathcal{G}}$ fst ` NL2
 unfolding no-labels.entails- \mathcal{G} -def entails- \mathcal{G} -L-def **by** force

lemma *red-inf-impl*: $\iota \in Red\text{-}I\ NL \implies to\text{-}F\ \iota \in no\text{-}labels.Red\text{-}I\mathcal{G}\ (fst\ ' NL)$

unfolding *no-labels.Red-I-G-def Red-I-def*

proof *clarify*

fix $X\ Xa\ q$

assume

q-in: $q \in Q$ **and**

i-in-inter: $\iota \in (\bigcap q \in Q. Red\text{-}I\mathcal{G}\text{-}L\text{-}q\ q\ NL)$

have *i-in-q*: $\iota \in Red\text{-}I\mathcal{G}\text{-}L\text{-}q\ q\ NL$ **using** *q-in i-in-inter image-eqI* **by** *blast*

then have *i-in*: $\iota \in Inf\text{-}FL$ **unfolding** *Red-I-G-L-q-def* **by** *blast*

have *to-F-in*: $to\text{-}F\ \iota \in Inf\text{-}F$ **unfolding** *to-F-def* **using** *Inf-FL-to-Inf-F[OF i-in]* .

have *rephrase1*: $(\bigcup CL \in NL. \mathcal{G}\text{-}F\text{-}q\ q\ (fst\ CL)) = (\bigcup (\mathcal{G}\text{-}F\text{-}q\ q\ ' fst\ ' NL))$ **by** *blast*

have *rephrase2*: $fst\ (concl\text{-}of\ \iota) = concl\text{-}of\ (to\text{-}F\ \iota)$

unfolding *concl-of-def to-F-def* **by** *simp*

have *subs-red*: $(\mathcal{G}\text{-}I\text{-}L\text{-}q\ q\ \iota \neq None \wedge the\ (\mathcal{G}\text{-}I\text{-}L\text{-}q\ q\ \iota) \subseteq Red\text{-}I\text{-}q\ q\ (\mathcal{G}\text{-}Fset\text{-}L\text{-}q\ q\ NL))$

$\vee (\mathcal{G}\text{-}I\text{-}L\text{-}q\ q\ \iota = None \wedge \mathcal{G}\text{-}F\text{-}L\text{-}q\ q\ (concl\text{-}of\ \iota) \subseteq \mathcal{G}\text{-}Fset\text{-}L\text{-}q\ q\ NL \cup Red\text{-}F\text{-}q\ q\ (\mathcal{G}\text{-}Fset\text{-}L\text{-}q\ q\ NL))$

using *i-in-q unfolding Red-I-G-L-q-def* **by** *blast*

then have *to-F-subs-red*: $(\mathcal{G}\text{-}I\text{-}q\ q\ (to\text{-}F\ \iota) \neq None \wedge$

the $(\mathcal{G}\text{-}I\text{-}q\ q\ (to\text{-}F\ \iota)) \subseteq Red\text{-}I\text{-}q\ q\ (no\text{-}labels.\mathcal{G}\text{-}Fset\text{-}q\ q\ (fst\ ' NL)))$

$\vee (\mathcal{G}\text{-}I\text{-}q\ q\ (to\text{-}F\ \iota) = None \wedge$

$\mathcal{G}\text{-}F\text{-}q\ q\ (concl\text{-}of\ (to\text{-}F\ \iota))$

$\subseteq no\text{-}labels.\mathcal{G}\text{-}Fset\text{-}q\ q\ (fst\ ' NL) \cup Red\text{-}F\text{-}q\ q\ (no\text{-}labels.\mathcal{G}\text{-}Fset\text{-}q\ q\ (fst\ ' NL)))$

using *rephrase1 rephrase2 by metis*

then show *to-F*: $to\text{-}F\ \iota \in no\text{-}labels.Red\text{-}I\mathcal{G}\text{-}q\ q\ (fst\ ' NL)$

using *to-F-in unfolding no-labels.Red-I-G-q-def* **by** *simp*

qed

lemma *labeled-family-saturation-lifting*: *saturated NL* $\implies no\text{-}labels.saturated\ (fst\ ' NL)$

unfolding *saturated-def no-labels.saturated-def Inf-from-def no-labels.Inf-from-def*

proof *clarify*

fix ιF

assume

labeled-sat: $\{\iota \in Inf\text{-}FL. set\ (prems\text{-}of\ \iota) \subseteq NL\} \subseteq Red\text{-}I\ NL$ **and**

iF-in: $\iota F \in Inf\text{-}F$ **and**

iF-prems: $set\ (prems\text{-}of\ \iota F) \subseteq fst\ ' NL$

define *Lli where Lli i* = $(SOME\ x. ((prems\text{-}of\ \iota F)!i, x) \in NL)$ **for** *i*

have [simp]: $((prems\text{-}of\ \iota F)!i, Lli\ i) \in NL$ **if** $i < length\ (prems\text{-}of\ \iota F)$ **for** *i*

using *that iF-prems nth-mem someI-ex unfolding Lli-def* **by** *(metis DomainE Domain-fst subset-eq)*

define *Ll where Ll* = $map\ Lli\ [0..<length\ (prems\text{-}of\ \iota F)]$

have *Ll-length*: $length\ Ll = length\ (prems\text{-}of\ \iota F)$ **unfolding** *Ll-def* **by** *auto*

have *subs-NL*: $set\ (zip\ (prems\text{-}of\ \iota F)\ Ll) \subseteq NL$ **unfolding** *Ll-def* **by** *(auto simp:in-set-zip)*

obtain *L0* **where** *L0*: $Infer\ (zip\ (prems\text{-}of\ \iota F)\ Ll)\ (concl\text{-}of\ \iota F, L0) \in Inf\text{-}FL$

using *Inf-F-to-Inf-FL[OF iF-in Ll-length]* ..

define *FL where FL* = $Infer\ (zip\ (prems\text{-}of\ \iota F)\ Ll)\ (concl\text{-}of\ \iota F, L0)$

then have *set (prems-of FL) ⊆ NL* **using** *subs-NL* **by** *simp*

then have *FL ∈ {FL ∈ Inf-FL. set (prems-of FL) ⊆ NL}* **unfolding** *FL-def* **using** *L0* **by** *blast*

then have *FL ∈ Red-I NL* **using** *labeled-sat* **by** *fast*

moreover have *FL = to-F FL* **unfolding** *to-F-def FL-def* **using** *Ll-length* **by** *(cases FL) auto*

ultimately show *FL ∈ no-labels.Red-I-G (fst ' NL)*

by *(auto intro: red-inf-impl)*

qed

theorem *labeled-static-ref*:

```

assumes calc: statically-complete-calculus Bot-F Inf-F ( $\models \cap \mathcal{G}$ ) no-labels.Red-I- $\mathcal{G}$ 
  no-labels.Red-F- $\mathcal{G}$ -empty
shows statically-complete-calculus Bot-FL Inf-FL ( $\models \cap \mathcal{GL}$ ) Red-I Red-F
proof
  fix Bl ::  $\langle f \times l \rangle$  and Nl ::  $\langle (f \times l) \text{ set} \rangle$ 
  assume
    Bl-in:  $\langle Bl \in \text{Bot-FL} \rangle$  and
    Nl-sat:  $\langle \text{saturated } Nl \rangle$  and
    Nl-entails-Bl:  $\langle Nl \models \cap \mathcal{GL} \{ Bl \} \rangle$ 
  define B where B = fst Bl
  have B-in: B ∈ Bot-F using Bl-in B-def SigmaE by force
  define N where N = fst ' Nl
  have N-sat: no-labels.saturated N
    using N-def Nl-sat labeled-family-saturation-lifting by blast
  have N-entails-B: N  $\models \cap \mathcal{G} \{ B \}$ 
    using Nl-entails-Bl unfolding labeled-entailment-lifting N-def B-def by force
  have  $\exists B' \in \text{Bot-F}. B' \in N$  using B-in N-sat N-entails-B
    calc[unfolded statically-complete-calculus-def
          statically-complete-calculus-axioms-def]
    by blast
  then obtain B' where in-Bot: B' ∈ Bot-F and in-N: B' ∈ N by force
  then have B' ∈ fst ' Bot-FL by fastforce
  obtain Bl' where in-Nl: Bl' ∈ Nl and fst-Bl': fst Bl' = B'
    using in-N unfolding N-def by blast
  have Bl' ∈ Bot-FL using fst-Bl' in-Bot vimage-fst by fastforce
  then show  $\langle \exists Bl' \in \text{Bot-FL}. Bl' \in Nl \rangle$  using in-Nl by blast
qed

end

end

```

6 Given Clause Prover Architectures

This section covers all the results presented in the section 4 of the report. This is where abstract architectures of provers are defined and proven dynamically refutationally complete.

```

theory Given-Clause-Architectures
  imports
    Lambda-Free-RPOs.Lambda-Free-Util
    Labeled-Lifting-to-Non-Ground-Calculi
begin

```

6.1 Basis of the Given Clause Prover Architectures

```

locale given-clause-basis = std?: labeled-lifting-intersection Bot-F Inf-F Bot-G Q
  entails-q Inf-G-q Red-I-q Red-F-q G-F-q G-I-q
  {ιFL ::  $(f \times l)$  inference. Infer (map fst (prems-of ιFL)) (fst (concl-of ιFL)) ∈ Inf-F}
  for
    Bot-F :: 'f set
    and Inf-F :: 'f inference set
    and Bot-G :: 'g set
    and Q :: 'q set
    and entails-q :: 'q ⇒ 'g set ⇒ 'g set ⇒ bool
    and Inf-G-q :: ' $q \Rightarrow g$  inference set

```

```

and Red-I-q :: ' $q \Rightarrow 'g$  set  $\Rightarrow 'g$  inference set
and Red-F-q :: ' $q \Rightarrow 'g$  set  $\Rightarrow 'g$  set
and G-F-q :: ' $q \Rightarrow 'f \Rightarrow 'g$  set
and G-I-q :: ' $q \Rightarrow 'f$  inference  $\Rightarrow 'g$  inference set option
+ fixes
  Equiv-F :: ' $f \Rightarrow 'f \Rightarrow \text{bool}$  (infix  $\dot{\equiv}$  50) and
  Prec-F :: ' $f \Rightarrow 'f \Rightarrow \text{bool}$  (infix  $\prec\cdot$  50) and
  Prec-L :: ' $l \Rightarrow 'l \Rightarrow \text{bool}$  (infix  $\sqsubset L$  50) and
  active :: ' $l$ 
assumes
  equiv-equiv-F:  $\text{equivp} (\dot{=})$  and
  wf-prec-F:  $\text{wfp} (\prec)$   $\text{transp} (\prec)$  and
  wf-prec-L:  $\text{wfp} (\sqsubset L)$   $\text{transp} (\sqsubset L)$  and
  compat-equiv-prec:  $C1 \dot{=} D1 \implies C2 \dot{=} D2 \implies C1 \prec D2 \implies D1 \prec D2$  and
  equiv-F-grounding:  $q \in Q \implies C1 \dot{=} C2 \implies \mathcal{G}\text{-F-}q q C1 \subseteq \mathcal{G}\text{-F-}q q C2$  and
  prec-F-grounding:  $q \in Q \implies C2 \prec C1 \implies \mathcal{G}\text{-F-}q q C1 \subseteq \mathcal{G}\text{-F-}q q C2$  and
  active-minimal:  $l2 \neq \text{active} \implies \text{active} \sqsubset L l2$  and
  at-least-two-labels:  $\exists l2. \text{active} \sqsubset L l2$  and
  static-ref-comp:  $\text{statically-complete-calculus Bot-F Inf-F} (\models \cap \mathcal{G})$ 
  no-labels.Red-I-G no-labels.Red-F-G-empty

```

begin

abbreviation Inf-FL :: (' $f \times 'l$) inference set **where**
 $\text{Inf-FL} \equiv \{\iota_{FL}. \text{Infer} (\text{map} \text{fst} (\text{prems-of } \iota_{FL})) (\text{fst} (\text{concl-of } \iota_{FL})) \in \text{Inf-F}\}$

abbreviation Prec-eq-F :: ' $f \Rightarrow 'f \Rightarrow \text{bool}$ (**infix** $\preceq\cdot$ 50) **where**
 $C \preceq D \equiv C \dot{=} D \vee C \prec D$

definition Prec-FL :: (' $f \times 'l$) $\Rightarrow ('f \times 'l) \Rightarrow \text{bool}$ (**infix** \sqsubset 50) **where**
 $Cl1 \sqsubset Cl2 \longleftrightarrow \text{fst } Cl1 \prec \text{fst } Cl2 \vee (\text{fst } Cl1 \dot{=} \text{fst } Cl2 \wedge \text{snd } Cl1 \sqsubset \text{snd } Cl2)$

lemma irrefl-prec-F: $\neg C \prec C$

using wf-prec-F

by (meson asympD wfp-imp-asymp)

lemma trans-prec-F: $C1 \prec C2 \implies C2 \prec C3 \implies C1 \prec C3$

using wf-prec-F

by (meson transpE)

lemma wf-prec-FL: $\text{wfp} (\sqsubset)$ $\text{transp} (\sqsubset)$

proof –

show $\text{transp} (\sqsubset)$ **unfolding** Prec-FL-def

proof (rule transpI)

fix $Cl1 Cl2 Cl3$

assume trans-hyps:

$(\text{fst } Cl1 \prec \text{fst } Cl2 \vee \text{fst } Cl1 \dot{=} \text{fst } Cl2 \wedge \text{snd } Cl1 \sqsubset \text{snd } Cl2)$

$(\text{fst } Cl2 \prec \text{fst } Cl3 \vee \text{fst } Cl2 \dot{=} \text{fst } Cl3 \wedge \text{snd } Cl2 \sqsubset \text{snd } Cl3)$

have $\text{fst } Cl1 \prec \text{fst } Cl2 \implies \text{fst } Cl2 \dot{=} \text{fst } Cl3 \implies \text{fst } Cl1 \prec \text{fst } Cl3$

using compat-equiv-prec **by** (metis equiv-equiv-F equivp-def)

moreover have $\text{fst } Cl1 \dot{=} \text{fst } Cl2 \implies \text{fst } Cl2 \prec \text{fst } Cl3 \implies \text{fst } Cl1 \prec \text{fst } Cl3$

using compat-equiv-prec **by** (metis equiv-equiv-F equivp-def)

moreover have $\text{snd } Cl1 \sqsubset \text{snd } Cl2 \implies \text{snd } Cl2 \sqsubset \text{snd } Cl3 \implies \text{snd } Cl1 \sqsubset \text{snd } Cl3$

using wf-prec-L **unfolding** transp-def **by** (meson UNIV-I)

moreover have $\text{fst } Cl1 \dot{=} \text{fst } Cl2 \implies \text{fst } Cl2 \dot{=} \text{fst } Cl3 \implies \text{fst } Cl1 \dot{=} \text{fst } Cl3$

using equiv-equiv-F **by** (meson equivp-transp)

```

ultimately show fst Cl1 <- fst Cl3 ∨ fst Cl1 ≡ fst Cl3 ∧ snd Cl1 ⊓ L snd Cl3
  using trans-hyps trans-prec-F by blast
qed
next
{
  assume contra: ∃f. ∀i. f (Suc i) ⊑ f i
  then obtain f where
    f-suc: ∀i. f (Suc i) ⊑ f i
    by blast

  define R :: (('f × 'l) × ('f × 'l)) set where
    R = {(Cl1, Cl2). fst Cl1 <- fst Cl2}
  define S :: (('f × 'l) × ('f × 'l)) set where
    S = {(Cl1, Cl2). fst Cl1 ≡ fst Cl2 ∧ snd Cl1 ⊓ L snd Cl2}

  obtain k where
    f-chain: ∀i. (f (Suc (i + k)), f (i + k)) ∈ S
  proof (atomize-elim, rule wf-infinite-down-chain-compatible[of R f S])
    show wf R
      using wfP-app wf-prec-F
      unfolding R-def wfp-def
      by auto
  next
    show ∀i. (f (Suc i), f i) ∈ R ∪ S
      using f-suc unfolding R-def S-def Prec-FL-def by blast
  next
    show R O S ⊆ R
      unfolding R-def S-def using compat-equiv-prec equiv-equiv-F equivp-reflp by fastforce
  qed

  define g where
    ⋀i. g i = f (i + k)

  have g-chain: ∀i. (g (Suc i), g i) ∈ S
    unfolding g-def using f-chain by simp
  have wf-s: wf S
    unfolding S-def
    by (rule wf-subset[OF wf-app[OF wf-prec-L(1)[ unfolded wfp-def], of snd]]) fast
  have False
    using g-chain[unfolded S-def] wf-s[unfolded S-def, folded wfp-def, unfolded wfp-on-def]
    by (meson g-chain wf-no-infinite-down-chainE wf-s)
  }

  then show wfp (⊑)
    unfolding infinite-chain-function-iff-infinite-chain-llist wfP-iff-no-infinite-down-chain-llist
    by argo
qed

definition active-subset :: ('f × 'l) set ⇒ ('f × 'l) set where
  active-subset M = {CL ∈ M. snd CL = active}

definition passive-subset :: ('f × 'l) set ⇒ ('f × 'l) set where
  passive-subset M = {CL ∈ M. snd CL ≠ active}

lemma active-subset-insert[simp]:

```

```

active-subset (insert Cl N) = (if snd Cl = active then {Cl} else {}) ∪ active-subset N
unfolding active-subset-def by auto

```

```

lemma active-subset-union[simp]: active-subset (M ∪ N) = active-subset M ∪ active-subset N
unfolding active-subset-def by auto

```

```

lemma passive-subset-insert[simp]:
passive-subset (insert Cl N) = (if snd Cl ≠ active then {Cl} else {}) ∪ passive-subset N
unfolding passive-subset-def by auto

```

```

lemma passive-subset-union[simp]: passive-subset (M ∪ N) = passive-subset M ∪ passive-subset N
unfolding passive-subset-def by auto

```

```

sublocale std?: statically-complete-calculus Bot-FL Inf-FL (|= GL) Red-I Red-F
using labeled-static-ref[OF static-ref-comp] .

```

```

lemma labeled-tiebreaker-lifting:
assumes q-in: q ∈ Q
shows tiebreaker-lifting Bot-FL Inf-FL Bot-G (entails-q q) (Inf-G-q q)
(Red-I-q q) (Red-F-q q) (G-F-L-q q) (G-I-L-q q) (λg. Prec-FL)

```

proof –

```

have tiebreaker-lifting Bot-FL Inf-FL Bot-G (entails-q q) (Inf-G-q q)
(Red-I-q q) (Red-F-q q) (G-F-L-q q) (G-I-L-q q) (λg Cl Cl'. False)
using ord-fam-lifted-q[OF q-in] .

```

```

then have standard-lifting Inf-FL Bot-G (Inf-G-q q) (entails-q q) (Red-I-q q)
(Red-F-q q) Bot-FL (G-F-L-q q) (G-I-L-q q)

```

using lifted-q[OF q-in] by blast

```

then show tiebreaker-lifting Bot-FL Inf-FL Bot-G (entails-q q) (Inf-G-q q)
(Red-I-q q) (Red-F-q q) (G-F-L-q q) (G-I-L-q q) (λg. Prec-FL)

```

using wf-prec-FL by (simp add: tiebreaker-lifting.intro tiebreaker-lifting-axioms.intro)

qed

```

sublocale lifting-intersection Inf-FL Bot-G Q Inf-G-q entails-q Red-I-q Red-F-q
Bot-FL G-F-L-q G-I-L-q λg. Prec-FL
using labeled-tiebreaker-lifting unfolding lifting-intersection-def
by (simp add: lifting-intersection-axioms.intro
no-labels.ground.consequence-relation-family-axioms
no-labels.ground.inference-system-family-axioms)

```

notation derive (infix $\lhd L \rhd$ 50)

```

lemma std-Red-I-eq: std.Red-I = Red-I-G
unfolding Red-I-G-q-def Red-I-G-L-q-def by simp

```

```

lemma std-Red-F-eq: std.Red-F = Red-F-G-empty
unfolding Red-F-G-empty-q-def Red-F-G-empty-L-q-def by simp

```

```

sublocale statically-complete-calculus Bot-FL Inf-FL (|= GL) Red-I Red-F
by unfold-locales (use statically-complete std-Red-I-eq in auto)

```

```

lemma labeled-red-inf-eq-red-inf:
assumes i-in: i ∈ Inf-FL
shows i ∈ Red-I N ↔ to-F i ∈ no-labels.Red-I-G (fst ` N)

```

```

assume i-in2:  $\iota \in \text{Red-}I\ N$ 
then have  $X \in \text{Red-}I\mathcal{G}\text{-}q\ ' Q \implies \iota \in X\ N$  for  $X$ 
  unfolding Red- $I$ -def by blast
obtain  $X0$  where  $X0 \in \text{Red-}I\mathcal{G}\text{-}q\ ' Q$ 
  using  $Q\text{-nonempty}$  by blast
then obtain  $q0$  where  $x0\text{-is}: X0\ N = \text{Red-}I\mathcal{G}\text{-}q\ q0\ N$  by blast
then obtain  $Y0$  where  $y0\text{-is}: Y0\ (\text{fst}\ ' N) = \text{to-}F\ ' (X0\ N)$  by auto
have  $Y0\ (\text{fst}\ ' N) = \text{no-labels.} \text{Red-}I\mathcal{G}\text{-}q\ q0\ (\text{fst}\ ' N)$ 
  unfolding  $y0\text{-is}$ 
proof
  show  $\text{to-}F\ ' X0\ N \subseteq \text{no-labels.} \text{Red-}I\mathcal{G}\text{-}q\ q0\ (\text{fst}\ ' N)$ 
proof
  fix  $\iota0$ 
  assume i0-in:  $\iota0 \in \text{to-}F\ ' X0\ N$ 
  then have i0-in2:  $\iota0 \in \text{to-}F\ ' \text{Red-}I\mathcal{G}\text{-}q\ q0\ N$ 
    using  $x0\text{-is}$  by argo
  then obtain  $\iota0\text{-FL}$  where  $\iota0\text{-FL-in}: \iota0\text{-FL} \in \text{Inf-}FL$  and  $\iota0\text{-to-}i0\text{-FL}: \iota0 = \text{to-}F\ \iota0\text{-FL}$  and
  subs1:  $((\mathcal{G}\text{-}I\text{-}L\text{-}q}\ q0\ \iota0\text{-FL}) \neq \text{None} \wedge$ 
     $\text{the}(\mathcal{G}\text{-}I\text{-}L\text{-}q}\ q0\ \iota0\text{-FL}) \subseteq \text{Red-}I\text{-}q}\ q0\ (\mathcal{G}\text{-}F\text{-set-}q}\ q0\ N))$ 
     $\vee ((\mathcal{G}\text{-}I\text{-}L\text{-}q}\ q0\ \iota0\text{-FL} = \text{None}) \wedge$ 
     $\mathcal{G}\text{-}F\text{-L-}q}\ q0\ (\text{concl-of}\ \iota0\text{-FL}) \subseteq \mathcal{G}\text{-}F\text{-set-}q}\ q0\ N \cup \text{Red-}F\text{-q}\ q0\ (\mathcal{G}\text{-}F\text{-set-}q}\ q0\ N))$ 
  unfolding Red- $I\mathcal{G}\text{-}q$ -def by blast
  have concl-swap:  $\text{fst}(\text{concl-of}\ \iota0\text{-FL}) = \text{concl-of}\ \iota0$ 
    unfolding concl-of-def i0-to-i0-FL to- $F$ -def by simp
  have i0-in3:  $\iota0 \in \text{Inf-}F$ 
    using i0-to-i0-FL Inf- $F$ -to-Inf- $F$ [OF i0-FL-in] unfolding to- $F$ -def by blast
  {
    assume
      not-none:  $\mathcal{G}\text{-}I\text{-}q}\ q0\ \iota0 \neq \text{None}$  and
      the( $\mathcal{G}\text{-}I\text{-}q}\ q0\ \iota0$ )  $\neq \{\}$ 
    then obtain  $\iota1$  where i1-in:  $\iota1 \in \text{the}(\mathcal{G}\text{-}I\text{-}q}\ q0\ \iota0)$  by blast
    have the( $\mathcal{G}\text{-}I\text{-}q}\ q0\ \iota0$ )  $\subseteq \text{Red-}I\text{-}q}\ q0\ (\text{no-labels.} \mathcal{G}\text{-}F\text{-set-}q}\ q0\ (\text{fst}\ ' N))$ 
      using subs1 i0-to-i0-FL not-none by auto
  }
  moreover {
    assume
      is-none:  $\mathcal{G}\text{-}I\text{-}q}\ q0\ \iota0 = \text{None}$ 
    then have  $\mathcal{G}\text{-}F\text{-q}\ q0\ (\text{concl-of}\ \iota0) \subseteq \text{no-labels.} \mathcal{G}\text{-}F\text{-set-}q}\ q0\ (\text{fst}\ ' N)$ 
       $\cup \text{Red-}F\text{-q}\ q0\ (\text{no-labels.} \mathcal{G}\text{-}F\text{-set-}q}\ q0\ (\text{fst}\ ' N))$ 
      using subs1 i0-to-i0-FL concl-swap by simp
  }
  ultimately show  $\iota0 \in \text{no-labels.} \text{Red-}I\mathcal{G}\text{-}q\ q0\ (\text{fst}\ ' N)$ 
    unfolding no-labels.Red- $I\mathcal{G}\text{-}q$ -def using i0-in3 by auto
qed
next
show  $\text{no-labels.} \text{Red-}I\mathcal{G}\text{-}q\ q0\ (\text{fst}\ ' N) \subseteq \text{to-}F\ ' X0\ N$ 
proof
  fix  $\iota0$ 
  assume i0-in:  $\iota0 \in \text{no-labels.} \text{Red-}I\mathcal{G}\text{-}q\ q0\ (\text{fst}\ ' N)$ 
  then have i0-in2:  $\iota0 \in \text{Inf-}F$ 
    unfolding no-labels.Red- $I\mathcal{G}\text{-}q$ -def by blast
  obtain  $\iota0\text{-FL}$  where  $\iota0\text{-FL-in}: \iota0\text{-FL} \in \text{Inf-}FL$  and  $\iota0\text{-to-}i0\text{-FL}: \iota0 = \text{to-}F\ \iota0\text{-FL}$ 
    using Inf- $F$ -to-Inf- $F$ [OF i0-in2] unfolding to- $F$ -def
    by (smt (verit) Ex-list-of-length fst-conv inference.exhaust-sel inference.sel(1)
      inference.sel(2) map-fst-zip)

```

```

have concl-swap:  $\text{fst}(\text{concl-of } \iota_0\text{-FL}) = \text{concl-of } \iota_0$ 
  unfolding concl-of-def  $\iota_0\text{-to-}\iota_0\text{-FL}$  to-F-def by simp
have subs1:  $((\mathcal{G}\text{-I-L-}q\ q_0\ \iota_0\text{-FL}) \neq \text{None} \wedge$ 
   $\text{the } (\mathcal{G}\text{-I-L-}q\ q_0\ \iota_0\text{-FL}) \subseteq \text{Red-}\mathcal{I}\text{-L-}q\ q_0\ (\mathcal{G}\text{-Fset-}q\ q_0\ N))$ 
   $\vee ((\mathcal{G}\text{-I-L-}q\ q_0\ \iota_0\text{-FL} = \text{None}) \wedge$ 
   $\mathcal{G}\text{-F-L-}q\ q_0\ (\text{concl-of } \iota_0\text{-FL}) \subseteq (\mathcal{G}\text{-Fset-}q\ q_0\ N \cup \text{Red-}\mathcal{F}\text{-L-}q\ q_0\ (\mathcal{G}\text{-Fset-}q\ q_0\ N)))$ )
  using  $\iota_0\text{-in } \iota_0\text{-to-}\iota_0\text{-FL}$  concl-swap unfolding no-labels.Red- $\mathcal{I}\text{-G-}q\text{-def}$  by simp
then have  $\iota_0\text{-FL} \in \text{Red-}\mathcal{I}\text{-G-}q\ q_0\ N$ 
  using  $\iota_0\text{-FL-in }$  unfolding Red- $\mathcal{I}\text{-G-}q\text{-def}$  by simp
then show  $\iota_0 \in \text{to-}\mathcal{F}^{\cdot} X_0\ N$ 
  using  $x_0\text{-is } \iota_0\text{-to-}\iota_0\text{-FL }$   $\iota_0\text{-in2}$  by blast
qed
qed
then have  $Y \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q^{\cdot} Q \implies \text{to-}\mathcal{F}\ \iota \in Y\ (\text{fst } N)$  for  $Y$ 
  using  $i\text{-in2 no-labels.Red-}\mathcal{I}\text{-def std-}\text{Red-}\mathcal{I}\text{-eq red-inf-impl}$  by force
then show  $\text{to-}\mathcal{F}\ \iota \in \text{no-labels.Red-}\mathcal{I}\text{-G}\ (\text{fst } N)$ 
  unfolding Red- $\mathcal{I}\text{-def no-labels.Red-}\mathcal{I}\text{-G-def}$  by blast
next
assume  $\text{to-}\mathcal{F}\text{-in: to-}\mathcal{F}\ \iota \in \text{no-labels.Red-}\mathcal{I}\text{-G}\ (\text{fst } N)$ 
have imp-to-F:  $X \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q^{\cdot} Q \implies \text{to-}\mathcal{F}\ \iota \in X\ (\text{fst } N)$  for  $X$ 
  using  $\text{to-}\mathcal{F}\text{-in }$  unfolding no-labels.Red- $\mathcal{I}\text{-G-def}$  by blast
then have to-F-in2:  $\text{to-}\mathcal{F}\ \iota \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q\ (\text{fst } N)$  if  $q \in Q$  for  $q$ 
  using that by auto
have Red- $\mathcal{I}\text{-G-}q\ q\ N = \{\iota_0\text{-FL} \in \text{Inf-FL. to-}\mathcal{F}\ \iota_0\text{-FL} \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q\ (\text{fst } N)\}$  for  $q$ 
proof
show  $\text{Red-}\mathcal{I}\text{-G-}q\ q\ N \subseteq \{\iota_0\text{-FL} \in \text{Inf-FL. to-}\mathcal{F}\ \iota_0\text{-FL} \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q\ (\text{fst } N)\}$ 
proof
fix  $q_0\ \iota_1$ 
assume
i1-in:  $\iota_1 \in \text{Red-}\mathcal{I}\text{-G-}q\ q_0\ N$ 
have i1-in2:  $\iota_1 \in \text{Inf-FL}$ 
  using i1-in unfolding Red- $\mathcal{I}\text{-G-}q\text{-def}$  by blast
then have to-F-i1-in:  $\text{to-}\mathcal{F}\ \iota_1 \in \text{Inf-}\mathcal{F}$ 
  using Inf-FL-to-Inf-F unfolding to-F-def by blast
have concl-swap:  $\text{fst}(\text{concl-of } \iota_1) = \text{concl-of } (\text{to-}\mathcal{F}\ \iota_1)$ 
  unfolding concl-of-def to-F-def by simp
then have i1-to-F-in:  $\text{to-}\mathcal{F}\ \iota_1 \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q_0\ (\text{fst } N)$ 
  using i1-in to-F-i1-in unfolding Red- $\mathcal{I}\text{-G-}q\text{-def no-labels.Red-}\mathcal{I}\text{-G-}q\text{-def}$  by force
show  $\iota_1 \in \{\iota_0\text{-FL} \in \text{Inf-FL. to-}\mathcal{F}\ \iota_0\text{-FL} \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q_0\ (\text{fst } N)\}$ 
  using i1-in2 i1-to-F-in by blast
qed
next
show  $\{\iota_0\text{-FL} \in \text{Inf-FL. to-}\mathcal{F}\ \iota_0\text{-FL} \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q\ (\text{fst } N)\} \subseteq \text{Red-}\mathcal{I}\text{-G-}q\ q\ N$ 
proof
fix  $q_0\ \iota_1$ 
assume
i1-in:  $\iota_1 \in \{\iota_0\text{-FL} \in \text{Inf-FL. to-}\mathcal{F}\ \iota_0\text{-FL} \in \text{no-labels.Red-}\mathcal{I}\text{-G-}q\ q_0\ (\text{fst } N)\}$ 
then have i1-in2:  $\iota_1 \in \text{Inf-FL}$  by blast
then have to-F-i1-in:  $\text{to-}\mathcal{F}\ \iota_1 \in \text{Inf-}\mathcal{F}$ 
  using Inf-FL-to-Inf-F unfolding to-F-def by blast
have concl-swap:  $\text{fst}(\text{concl-of } \iota_1) = \text{concl-of } (\text{to-}\mathcal{F}\ \iota_1)$ 
  unfolding concl-of-def to-F-def by simp
then have  $((\mathcal{G}\text{-I-L-}q\ q_0\ \iota_1) \neq \text{None} \wedge \text{the } (\mathcal{G}\text{-I-L-}q\ q_0\ \iota_1) \subseteq \text{Red-}\mathcal{I}\text{-L-}q\ q_0\ (\mathcal{G}\text{-Fset-}q\ q_0\ N))$ 
   $\vee (\mathcal{G}\text{-I-L-}q\ q_0\ \iota_1 = \text{None} \wedge$ 
   $\mathcal{G}\text{-F-L-}q\ q_0\ (\text{concl-of } \iota_1) \subseteq \mathcal{G}\text{-Fset-}q\ q_0\ N \cup \text{Red-}\mathcal{F}\text{-L-}q\ q_0\ (\mathcal{G}\text{-Fset-}q\ q_0\ N))$ 

```

```

using i1-in unfolding no-labels.Red-I-G-q-def by auto
then show  $\iota \in Red-I-G-q q0 N$ 
  using i1-in2 unfolding Red-I-G-q-def by blast
qed
qed
then have  $\iota \in Red-I-G-q q N$  if  $q \in Q$  for  $q$ 
  using that to-F-in2 i-in unfolding Red-I-G-q-def no-labels.Red-I-G-q-def by auto
then show  $\iota \in Red-I-G N$ 
  unfolding Red-I-G-def by blast
qed

```

lemma red-labeled-clauses:

```

assumes  $\langle C \in no-labels.Red-F-G-empty (fst ' N) \vee$ 
 $(\exists C' \in fst ' N. C' \prec C) \vee (\exists (C', L') \in N. L' \sqsubset L \wedge C' \preceq C)$ 
shows  $\langle (C, L) \in Red-F N \rangle$ 

```

proof –

```

note assms
moreover have i:  $\langle C \in no-labels.Red-F-G-empty (fst ' N) \implies (C, L) \in Red-F N \rangle$ 
proof –
assume  $C \in no-labels.Red-F-G-empty (fst ' N)$ 
then have  $C \in no-labels.Red-F-G-empty-q q (fst ' N)$  if  $q \in Q$  for  $q$ 
  unfolding no-labels.Red-F-G-empty-def using that by fast
then have g-in-red:  $G-F-q q C \subseteq Red-F-q q (no-labels.G-Fset-q q (fst ' N))$  if  $q \in Q$  for  $q$ 
  unfolding no-labels.Red-F-G-empty-q-def using that by blast
have  $G-F-L-q q (C, L) \subseteq Red-F-q q (G-Fset-q q N)$  if  $q \in Q$  for  $q$ 
  using that g-in-red by simp
then show ?thesis
  unfolding Red-F-def Red-F-G-q-def by blast
qed

```

moreover have ii: $\langle \exists C' \in fst ' N. C' \prec C \implies (C, L) \in Red-F N \rangle$

proof –

```

assume  $\exists C' \in fst ' N. C' \prec C$ 
then obtain C' where c'-in:  $C' \in fst ' N$  and c-prec-c':  $C' \prec C$  by blast
obtain L' where c'-l'-in:  $(C', L') \in N$  using c'-in by auto
have c'-l'-prec:  $(C', L') \sqsubset (C, L)$ 
  using c-prec-c' unfolding Prec-FL-def by simp
have c-in-c'-g:  $G-F-q q C \subseteq G-F-q q C'$  if  $q \in Q$  for  $q$ 
  using prec-F-grounding[OF that c-prec-c] by presburger
then have  $G-F-L-q q (C, L) \subseteq G-F-L-q q (C', L')$  if  $q \in Q$  for  $q$ 
  using that by auto
then have  $(C, L) \in Red-F-G-q q N$  if  $q \in Q$  for  $q$ 
  unfolding Red-F-G-q-def using that c'-l'-in c'-l'-prec by blast
then show ?thesis
  unfolding Red-F-def by blast
qed

```

moreover have iii: $\langle \exists (C', L') \in N. L' \sqsubset L \wedge C' \preceq C \implies (C, L) \in Red-F N \rangle$

proof –

```

assume  $\exists (C', L') \in N. L' \sqsubset L \wedge C' \preceq C$ 
then obtain C' L' where c'-l'-in:  $(C', L') \in N$  and l'-sub-l:  $L' \sqsubset L$  and c'-sub-c:  $C' \preceq C$ 
  by fast
have  $(C, L) \in Red-F N$  if  $C' \prec C$ 
  using that c'-l'-in ii by fastforce
moreover {
  assume equiv-c-c':  $C \doteq C'$ 

```

```

then have equiv-c'-c:  $C' \doteq C$ 
  using equiv-equiv-F by (simp add: equivp-symp)
then have c'-l'-prec:  $(C', L') \sqsubset (C, L)$ 
  using l'-sub-l unfolding Prec-FL-def by simp
have G-F-q q C = G-F-q q C' if  $q \in Q$  for q
  using that equiv-F-grounding equiv-c-c' equiv-c'-c by (simp add: set-eq-subset)
then have G-F-L-q q (C, L) = G-F-L-q q (C', L') if  $q \in Q$  for q
  using that by auto
then have (C, L) ∈ Red-F-G-q q N if  $q \in Q$  for q
  unfolding Red-F-G-q-def using that c'-l'-in c'-l'-prec by blast
then have ?thesis
  unfolding Red-F-def by blast
}
ultimately show ?thesis
  using c'-sub-c equiv-equiv-F equivp-symp by fastforce
qed
ultimately show ?thesis
  by blast
qed

end

```

6.2 Given Clause Procedure

```

locale given-clause = given-clause-basis Bot-F Inf-F Bot-G Q entails-q Inf-G-q Red-I-q
Red-F-q G-F-q G-I-q Equiv-F Prec-F Prec-L active
for
  Bot-F :: 'f set and
  Inf-F :: 'f inference set and
  Bot-G :: 'g set and
  Q :: 'q set and
  entails-q :: 'q ⇒ 'g set ⇒ 'g set ⇒ bool and
  Inf-G-q :: 'q ⇒ 'g inference set option and
  Red-I-q :: 'q ⇒ 'g set ⇒ 'g inference set and
  Red-F-q :: 'q ⇒ 'g set ⇒ 'g set and
  G-F-q :: 'q ⇒ 'f ⇒ 'g set and
  G-I-q :: 'q ⇒ 'f inference ⇒ 'g inference set option and
  Equiv-F :: 'f ⇒ 'f ⇒ bool (infix ⊲= 50) and
  Prec-F :: 'f ⇒ 'f ⇒ bool (infix ⊲-· 50) and
  Prec-L :: 'l ⇒ 'l ⇒ bool (infix ⊲□L 50) and
  active :: 'l +
assumes
  inf-have-prems:  $\iota F \in \text{Inf-F} \implies \text{prems-of } \iota F \neq []$ 
begin

lemma labeled-inf-have-prems:  $\iota \in \text{Inf-FL} \implies \text{prems-of } \iota \neq []$ 
  using inf-have-prems by fastforce

inductive step :: ('f × 'l) set ⇒ ('f × 'l) set ⇒ bool (infix ⊲~GC 50) where
  process:  $N1 = N \cup M \implies N2 = N \cup M' \implies M \subseteq \text{Red-F}(N \cup M) \implies$ 
  active-subset  $M' = \{\} \implies N1 \sim_{\text{GC}} N2$ 
| infer:  $N1 = N \cup \{(C, L)\} \implies N2 = N \cup \{(C, \text{active})\} \cup M \implies L \neq \text{active} \implies$ 
  active-subset  $M = \{\} \implies$ 
  no-labels.Inf-between (fst ` active-subset N) {C}
  ⊆ no-labels.Red-I (fst ` (N ∪ {(C, active)} ∪ M)) ⇒
  N1 ∼_{\text{GC}} N2

```

```

lemma one-step-equiv:  $N1 \rightsquigarrow GC N2 \implies N1 \triangleright L N2$ 
proof (cases  $N1 N2$  rule: step.cases)
  show  $N1 \rightsquigarrow GC N2 \implies N1 \rightsquigarrow GC N2$  by blast
next
  fix  $N M M'$ 
  assume
    gc-step:  $N1 \rightsquigarrow GC N2$  and
    n1-is:  $N1 = N \cup M$  and
    n2-is:  $N2 = N \cup M'$  and
    m-red:  $M \subseteq Red-F(N \cup M')$  and
    active-empty: active-subset  $M' = \{\}$ 
  have  $N1 - N2 \subseteq Red-F N2$ 
    using n1-is n2-is m-red by auto
  then show  $N1 \triangleright L N2$ 
    unfolding derive.simps by blast
next
  fix  $N C L M$ 
  assume
    gc-step:  $N1 \rightsquigarrow GC N2$  and
    n1-is:  $N1 = N \cup \{(C, L)\}$  and
    not-active:  $L \neq active$  and
    n2-is:  $N2 = N \cup \{(C, active)\} \cup M$  and
    active-empty: active-subset  $M = \{\}$ 
  have  $(C, active) \in N2$  using n2-is by auto
  moreover have  $C \preceq\cdot C$  using equiv-equiv-F by (metis equivp-def)
  moreover have active  $\sqsubset L L$  using active-minimal[OF not-active].
  ultimately have  $\{(C, L)\} \subseteq Red-F N2$ 
    using red-labeled-clauses by blast
  moreover have  $N1 - N2 = \{\} \vee N1 - N2 = \{(C, L)\}$  using n1-is n2-is by blast
  ultimately have  $N1 - N2 \subseteq Red-F N2$ 
    using std-Red-F-eq by blast
  then show  $N1 \triangleright L N2$ 
    unfolding derive.simps by blast
qed

```

```

lemma gc-to-red: chain ( $\rightsquigarrow GC$ )  $Ns \implies chain (\triangleright L) Ns$ 
  using one-step-equiv Lazy-List-Chain.chain-mono by blast

```

```

lemma (in-) all-ex-finite-set:  $(\forall (j::nat) \in \{0..<m\}. \exists (n::nat). P j n) \implies$ 
 $(\forall n1 n2. \forall j \in \{0..<m\}. P j n1 \longrightarrow P j n2 \longrightarrow n1 = n2) \implies finite \{n. \exists j \in \{0..<m\}. P j n\}$  for  $m$ 
P
proof -
  fix  $m::nat$  and  $P::nat \Rightarrow nat \Rightarrow bool$ 
  assume
    allj-exn:  $\forall j \in \{0..<m\}. \exists n. P j n$  and
    uniq-n:  $\forall n1 n2. \forall j \in \{0..<m\}. P j n1 \longrightarrow P j n2 \longrightarrow n1 = n2$ 
  have  $\{n. \exists j \in \{0..<m\}. P j n\} = (\bigcup ((\lambda j. \{n. P j n\}) ` \{0..<m\}))$  by blast
  then have imp-finite:  $(\forall j \in \{0..<m\}. finite \{n. P j n\}) \implies finite \{n. \exists j \in \{0..<m\}. P j n\}$ 
    using finite-UN[of  $\{0..<m\}$   $\lambda j. \{n. P j n\}$ ] by simp
  have  $\forall j \in \{0..<m\}. \exists! n. P j n$  using allj-exn uniq-n by blast
  then have  $\forall j \in \{0..<m\}. finite \{n. P j n\}$  by (metis bounded-nat-set-is-finite lessI mem-Collect-eq)
  then show  $finite \{n. \exists j \in \{0..<m\}. P j n\}$  using imp-finite by simp
qed

```

```

lemma gc-fair:
assumes
  deriv: chain ( $\sim GC$ ) Ns and
  init-state: active-subset (lhd Ns) = {} and
  final-state: passive-subset (Liminf-llist Ns) = {}
shows fair Ns
unfolding fair-def
proof
fix  $\iota$ 
assume i-in:  $\iota \in Inf\text{-from} (Liminf-llist Ns)$ 
note lhd-is = lhd-conv-lnth[OF chain-not-lnull[OF deriv]]
have i-in-inf-ft:  $\iota \in Inf\text{-FL}$  using i-in unfolding Inf-from-def by blast
have Liminf-llist Ns = active-subset (Liminf-llist Ns)
using final-state unfolding passive-subset-def active-subset-def by blast
then have i-in2:  $\iota \in Inf\text{-from} (active\text{-subset} (Liminf-llist Ns))$  using i-in by simp
define m where m = length (prems-of  $\iota$ )
then have m-def-F: m = length (prems-of (to-F  $\iota$ )) unfolding to-F-def by simp
have i-in-F: to-F  $\iota \in Inf\text{-F}$ 
using i-in Inf-FL-to-Inf-F unfolding Inf-from-def to-F-def by blast
then have m-pos: m > 0 using m-def-F using inf-have-prems by blast
have exist-nj:  $\forall j \in \{0..<m\}. (\exists nj. enat (Suc nj) < llenth Ns \wedge$ 
  prems-of  $\iota ! j \notin active\text{-subset} (lnth Ns nj) \wedge$ 
   $(\forall k. k > nj \rightarrow enat k < llenth Ns \rightarrow prems-of \iota ! j \in active\text{-subset} (lnth Ns k)))$ 
proof clarify
fix j
assume j-in:  $j \in \{0..<m\}$ 
then obtain C where c-is:  $(C, active) = prems-of \iota ! j$ 
using i-in2 unfolding m-def Inf-from-def active-subset-def
by (smt (verit, ccfv-threshold) atLeastLessThan-iff mem-Collect-eq nth-mem snd-conv subset-iff
surj-pair)
then have  $(C, active) \in Liminf-llist Ns$ 
using j-in i-in unfolding m-def Inf-from-def by force
then obtain nj where nj-is:  $enat nj < llenth Ns$  and
c-in2:  $(C, active) \in \bigcap (lnth Ns ' \{k. nj \leq k \wedge enat k < llenth Ns\})$ 
unfolding Liminf-llist-def using init-state by blast
then have c-in3:  $\forall k. k \geq nj \rightarrow enat k < llenth Ns \rightarrow (C, active) \in lnth Ns k$  by blast
have nj-pos: nj > 0 using init-state c-in2 nj-is
unfolding active-subset-def lhd-is by force
obtain nj-min where nj-min-is:  $nj\_min = (LEAST nj. enat nj < llenth Ns \wedge$ 
 $(C, active) \in \bigcap (lnth Ns ' \{k. nj \leq k \wedge enat k < llenth Ns\}))$  by blast
then have in-allk:  $\forall k. k \geq nj\_min \rightarrow enat k < llenth Ns \rightarrow (C, active) \in (lnth Ns k)$ 
using c-in3 nj-is c-in2
by (metis (mono-tags, lifting) INT-E LeastI-ex mem-Collect-eq)
have njm-smaller-D:  $enat nj\_min < llenth Ns$ 
using nj-min-is
by (metis (lifting) ext LeastI
  ' $\bigwedge thesis. (\bigwedge nj. enat nj < llenth Ns \Rightarrow (C, active) \in \bigcap (lnth Ns ' \{k. nj \leq k \wedge enat k < llenth Ns\}) \Rightarrow thesis) \Rightarrow thesis$ ')
have nj-min > 0
using nj-is c-in2 nj-pos nj-min-is lhd-is
by (metis (mono-tags, lifting) Collect-empty-eq ' $(C, active) \in Liminf-llist Ns \wedge$ 
 $Liminf-llist Ns = active\text{-subset} (Liminf-llist Ns)$ ' ' $\forall k \geq nj\_min. enat k < llenth Ns \rightarrow (C, active) \in lnth Ns k$ ' active-subset-def init-state

```

```

linorder-not-less mem-Collect-eq zero-enat-def chain-length-pos[OF deriv])
then obtain njm-prec where nj-prec-is: Suc njm-prec = nj-min using gr0-conv-Suc by auto
then have njm-prec-njm: njm-prec < nj-min by blast
then have njm-prec-njm-enat: enat njm-prec < enat nj-min by simp
have njm-prec-smaller-d: njm-prec < llength Ns
  by (rule less-trans[OF njm-prec-njm-enat njm-smaller-D])
have njm-prec-all-suc: ∀ k>njm-prec. enat k < llength Ns → (C, active) ∈ lnth Ns k
  using nj-prec-is in-allk by simp
have notin-njm-prec: (C, active) ∉ lnth Ns njm-prec
proof (rule ccontr)
  assume ¬ (C, active) ∈ lnth Ns njm-prec
  then have absurd-hyp: (C, active) ∈ lnth Ns njm-prec by simp
  have prec-smaller: enat njm-prec < llength Ns using nj-min-is nj-prec-is
    using njm-prec-smaller-d by fastforce
  have (C, active) ∈ ⋂ (lnth Ns ‘ {k. njm-prec ≤ k ∧ enat k < llength Ns})
  proof –
    {
      fix k
      assume k-in: njm-prec ≤ k ∧ enat k < llength Ns
      have k = njm-prec ==> (C, active) ∈ lnth Ns k using absurd-hyp by simp
      moreover have njm-prec < k ==> (C, active) ∈ lnth Ns k
        using nj-prec-is in-allk k-in by simp
      ultimately have (C, active) ∈ lnth Ns k using k-in by fastforce
    }
    then show (C, active) ∈ ⋂ (lnth Ns ‘ {k. njm-prec ≤ k ∧ enat k < llength Ns}) by blast
  qed
  then have enat njm-prec < llength Ns ∧
    (C, active) ∈ ⋂ (lnth Ns ‘ {k. njm-prec ≤ k ∧ enat k < llength Ns})
    using prec-smaller by blast
  then show False
    using nj-min-is nj-prec-is Orderings.wellorder-class.not-less-Least njm-prec-njm by blast
  qed
  then have notin-active-subset-njm-prec: (C, active) ∉ active-subset (lnth Ns njm-prec)
    unfolding active-subset-def by blast
  then show ∃ nj. enat (Suc nj) < llength Ns ∧ prems-of i ! j ∉ active-subset (lnth Ns nj) ∧
    (∀ k. k > nj → enat k < llength Ns → prems-of i ! j ∈ active-subset (lnth Ns k))
    using c-is njm-prec-all-suc njm-prec-smaller-d by (metis (mono-tags, lifting)
      active-subset-def mem-Collect-eq nj-prec-is njm-smaller-D snd-conv)
  qed
  define nj-set where nj-set = {nj. (∃ j ∈ {0... enat (Suc nj) < llength Ns ∧
    prems-of i ! j ∉ active-subset (lnth Ns nj) ∧
    (∀ k. k > nj → enat k < llength Ns → prems-of i ! j ∈ active-subset (lnth Ns k)))}
  then have nj-not-empty: nj-set ≠ {}
  proof –
    have zero-in: 0 ∈ {0..} using m-pos by simp
    then obtain n0 where enat (Suc n0) < llength Ns and
      prems-of i ! 0 ∉ active-subset (lnth Ns n0) and
      ∀ k>n0. enat k < llength Ns → prems-of i ! 0 ∈ active-subset (lnth Ns k)
      using exist-nj by fast
    then have n0 ∈ nj-set unfolding nj-set-def using zero-in by blast
    then show nj-set ≠ {} by auto
  qed
  have nj-finite: finite nj-set
    using all-ex-finite-set[OF exist-nj]
    by (metis (no-types, lifting) Suc-ile-eq dual-order.strict-implies-order

```

$\text{linorder-neqE-nat nj-set-def}$)

have $\exists n \in \text{nj-set}. \forall nj \in \text{nj-set}. nj \leq n$
using $\text{nj-not-empty nj-finite using Max-ge Max-in by blast}$
then obtain n **where** $n\text{-in}: n \in \text{nj-set}$ **and** $n\text{-bigger}: \forall nj \in \text{nj-set}. nj \leq n$ **by** blast
then obtain $j0$ **where** $j0\text{-in}: j0 \in \{0..<m\}$ **and** $\text{suc-n-length}: \text{enat}(\text{Suc } n) < \text{llength } Ns$ **and**
 $j0\text{-notin}: \text{prems-of } \iota ! j0 \notin \text{active-subset}(\text{lnth } Ns n)$ **and**
 $j0\text{-alln}: (\forall k. k > n \rightarrow \text{enat } k < \text{llength } Ns \rightarrow \text{prems-of } \iota ! j0 \in \text{active-subset}(\text{lnth } Ns k))$
unfolding nj-set-def **by** blast
obtain $C0$ **where** $C0\text{-is}: \text{prems-of } \iota ! j0 = (C0, \text{active})$ **using** $j0\text{-in}$
using $i\text{-in2 unfolding m-def Inf-from-def active-subset-def}$
by ($\text{metis (mono-tags, lifting)}$)
 $\langle \wedge \text{thesis}. (\bigwedge j0. j0 \in \{0..<m\} \Rightarrow \text{enat}(\text{Suc } n) < \text{llength } Ns \Rightarrow \text{prems-of } \iota ! j0 \notin \text{active-subset}(\text{lnth } Ns n) \Rightarrow \forall k > n. \text{enat } k < \text{llength } Ns \rightarrow \text{prems-of } \iota ! j0 \in \text{active-subset}(\text{lnth } Ns k) \Rightarrow \text{thesis})$
 $\Rightarrow \text{thesis}$
 $\text{active-subset-def } j0\text{-alln lessI mem-Collect-eq split-pairs})$
then have $C0\text{-prems-i}: (C0, \text{active}) \in \text{set}(\text{prems-of } \iota)$ **using** $\text{in-set-conv-nth } j0\text{-in m-def by force}$
have $C0\text{-in}: (C0, \text{active}) \in (\text{lenth } Ns (\text{Suc } n))$
using $C0\text{-is } j0\text{-alln suc-n-length by (simp add: active-subset-def)}$
have $C0\text{-notin}: (C0, \text{active}) \notin (\text{lenth } Ns n)$ **using** $C0\text{-is } j0\text{-notin unfolding active-subset-def by simp}$
have $\text{step-n}: \text{lenth } Ns n \rightsquigarrow \text{GC lenth } Ns (\text{Suc } n)$
using $\text{deriv chain-lenth-rel } n\text{-in unfolding nj-set-def by blast}$
have $\exists N C L M. (\text{lenth } Ns n = N \cup \{(C, L)\} \wedge$
 $\text{lenth } Ns (\text{Suc } n) = N \cup \{(C, \text{active})\} \cup M \wedge L \neq \text{active} \wedge \text{active-subset } M = \{\}) \wedge$
 $\text{no-labels.Inf-between}(\text{fst} ` \text{active-subset } N) \{C\}$
 $\subseteq \text{no-labels.Red-I}(\text{fst} ` (N \cup \{(C, \text{active})\} \cup M)))$
proof –
have $\text{proc-or-infer}: (\exists N1 N M N2 M'. \text{lenth } Ns n = N1 \wedge \text{lenth } Ns (\text{Suc } n) = N2 \wedge N1 = N \cup M \wedge$
 $N2 = N \cup M' \wedge M \subseteq \text{Red-F}(N \cup M') \wedge \text{active-subset } M' = \{\}) \vee$
 $(\exists N1 N C L N2 M. \text{lenth } Ns n = N1 \wedge \text{lenth } Ns (\text{Suc } n) = N2 \wedge N1 = N \cup \{(C, L)\} \wedge$
 $N2 = N \cup \{(C, \text{active})\} \cup M \wedge L \neq \text{active} \wedge \text{active-subset } M = \{\}) \wedge$
 $\text{no-labels.Inf-between}(\text{fst} ` \text{active-subset } N) \{C\} \subseteq$
 $\text{no-labels.Red-I}(\text{fst} ` (N \cup \{(C, \text{active})\} \cup M)))$
using $\text{step.simps}[of \text{lenth } Ns n \text{lenth } Ns (\text{Suc } n)] \text{ step-n by blast}$
show $? \text{thesis}$
using $C0\text{-in } C0\text{-notin proc-or-infer } j0\text{-in } C0\text{-is}$
by ($\text{smt (verit, ccfv-threshold) UnI1}$)
 $\langle \wedge \text{thesis}. (\bigwedge j0. j0 \in \{0..<m\} \Rightarrow \text{enat}(\text{Suc } n) < \text{llength } Ns \Rightarrow \text{prems-of } \iota ! j0 \notin \text{active-subset}(\text{lenth } Ns n) \Rightarrow \forall k > n. \text{enat } k < \text{llength } Ns \rightarrow \text{prems-of } \iota ! j0 \in \text{active-subset}(\text{lenth } Ns k) \Rightarrow \text{thesis})$
 $\Rightarrow \text{thesis}$
 $\text{active-subset-union lessI sup-bot.right-neutral})$
qed
then obtain $N M L$ **where** $\text{inf-from-subs}:$
 $\text{no-labels.Inf-between}(\text{fst} ` \text{active-subset } N) \{C0\}$
 $\subseteq \text{no-labels.Red-I}(\text{fst} ` (N \cup \{(C0, \text{active})\} \cup M))$ **and**
 $\text{nth-d-is}: \text{lenth } Ns n = N \cup \{(C0, L)\}$ **and**
 $\text{suc-nth-d-is}: \text{lenth } Ns (\text{Suc } n) = N \cup \{(C0, \text{active})\} \cup M$ **and**
 $\text{l-not-active}: L \neq \text{active}$
using $C0\text{-in } C0\text{-notin } j0\text{-in } C0\text{-is using active-subset-def by fastforce}$
have $j \in \{0..<m\} \Rightarrow \text{prems-of } \iota ! j \neq \text{prems-of } \iota ! j0 \Rightarrow \text{prems-of } \iota ! j \in (\text{active-subset } N)$ **for** j
proof –
fix j
assume $j\text{-in}: j \in \{0..<m\}$ **and**
 $j\text{-not-j0}: \text{prems-of } \iota ! j \neq \text{prems-of } \iota ! j0$
obtain nj **where** $nj\text{-len}: \text{enat}(\text{Suc } nj) < \text{llength } Ns$ **and**

$nj\text{-prems}$: $\text{prems-of } \iota ! j \notin \text{active-subset}(\text{lenth } Ns \ nj)$ **and**
 $nj\text{-greater}$: $(\forall k. k > nj \longrightarrow \text{enat } k < \text{llength } Ns \longrightarrow \text{prems-of } \iota ! j \in \text{active-subset}(\text{lenth } Ns \ k))$
using $\text{exist-}nj\ j\text{-in}$ **by** blast
then have $nj \in nj\text{-set}$ **unfolding** $nj\text{-set-def}$ **using** $j\text{-in}$ **by** blast
moreover have $nj \neq n$
proof
assume $nj = n$
then have $\text{prems-of } \iota ! j = (C0, \text{active})$
using $C0\text{-in } C0\text{-notin step.simps[of lenth } Ns \ n \ \text{lenth } Ns (\text{Suc } n)] \text{ step-n}$
 $nj\text{-greater } nj\text{-prems } snd\text{-conv}$
by $(\text{simp add: suc-n-length})$
 $(\text{smt (verit, del-insts) } C0\text{-notin Un-iff active-subset-def insertCI insertE lessI mem-Collect-eq}$
 $\text{snd-conv suc-n-length}$
 $\text{sup-bot.right-neutral})$
then show False **using** $j\text{-not-j0 } C0\text{-is}$ **by** simp
qed
ultimately have $nj < n$ **using** $n\text{-bigger}$ **by** force
then have $\text{prems-of } \iota ! j \in (\text{active-subset}(\text{lenth } Ns \ n))$
using $nj\text{-greater } n\text{-in Suc-ileq dual-order.strict-implies-order}$ **unfolding** $nj\text{-set-def}$ **by** blast
then show $\text{prems-of } \iota ! j \in (\text{active-subset } N)$
using $nth-d\text{-is l-not-active}$ **unfolding** active-subset-def **by** force
qed
then have $\text{set}(\text{prems-of } \iota) \subseteq \text{active-subset } N \cup \{(C0, \text{active})\}$
using $C0\text{-prems-i } C0\text{-is m-def}$
by $(\text{metis Un-iff atLeast0LessThan in-set-conv-nth insertCI lessThan-iff subrelI})$
moreover have $\neg (\text{set}(\text{prems-of } \iota) \subseteq \text{active-subset } N - \{(C0, \text{active})\})$ **using** $C0\text{-prems-i}$ **by** blast
ultimately have $\iota \in \text{Inf-between}(\text{active-subset } N) \{(C0, \text{active})\}$
using $i\text{-in-inf-fl}$ **unfolding** $\text{Inf-between-def Inf-from-def}$ **by** blast
then have $\text{to-F } \iota \in \text{no-labels.Inf-between}(\text{fst } ' \text{active-subset } N) \{C0\}$
unfolding $\text{to-F-def Inf-between-def Inf-from-def}$
 $\text{no-labels.Inf-between-def no-labels.Inf-from-def}$ **using** Inf-FL-to-Inf-F
by force
then have $\text{to-F } \iota \in \text{no-labels.Red-I}(\text{fst } ' (\text{lenth } Ns (\text{Suc } n)))$
using $suc-nth-d\text{-is inf-from-subs}$ **by** fastforce
then have $\forall q \in Q. (\mathcal{G}\text{-I-}q \ q (\text{to-F } \iota) \neq \text{None} \wedge$
 $\quad \quad \quad \text{the } (\mathcal{G}\text{-I-}q \ q (\text{to-F } \iota)) \subseteq \text{Red-I-}q \ q (\bigcup (\mathcal{G}\text{-F-}q \ q ' \text{fst } ' \text{lenth } Ns (\text{Suc } n)))$
 $\quad \quad \quad \vee (\mathcal{G}\text{-I-}q \ q (\text{to-F } \iota) = \text{None} \wedge$
 $\quad \quad \quad \mathcal{G}\text{-F-}q \ q (\text{concl-of } (\text{to-F } \iota)) \subseteq \bigcup (\mathcal{G}\text{-F-}q \ q ' \text{fst } ' \text{lenth } Ns (\text{Suc } n)) \cup$
 $\quad \quad \quad \text{Red-F-}q \ q (\bigcup (\mathcal{G}\text{-F-}q \ q ' \text{fst } ' \text{lenth } Ns (\text{Suc } n))))$
unfolding $\text{to-F-def no-labels.Red-I-def no-labels.Red-I-G-q-def}$ **by** blast
then have $\iota \in \text{Red-I-G}(\text{lenth } Ns (\text{Suc } n))$
using $i\text{-in-inf-fl}$ **unfolding** $\text{Red-I-G-def Red-I-G-q-def}$ **by** $(\text{simp add: to-F-def})$
then show $\iota \in \text{Sup-llist}(\text{lmap Red-I-G } Ns)$
unfolding Sup-llist-def **using** suc-n-length **by** auto
qed

theorem $gc\text{-complete-Liminf}$:

assumes

$\text{deriv: chain } (\sim GC) \ Ns$ **and**
 $\text{init-state: active-subset } (\text{lhd } Ns) = \{\}$ **and**
 $\text{final-state: passive-subset } (\text{Liminf-llist } Ns) = \{\}$ **and**
 $b\text{-in: } B \in \text{Bot-F}$ **and**
 $\text{bot-entailed: no-labels.entails-}\mathcal{G} \ (\text{fst } ' \text{lhd } Ns) \ \{B\}$
shows $\exists BL \in \text{Bot-FL}. BL \in \text{Liminf-llist } Ns$

proof –

```

note lhd-is = lhd-conv-lnth[OF chain-not-lnull[OF deriv]]
have labeled-b-in: (B, active) ∈ Bot-FL using b-in by simp
have labeled-bot-entailed: entails-G-L (lhd Ns) {(B, active)}
    using labeled-entailment-lifting bot-entailed lhd-is by fastforce
have fair: fair Ns using gc-fair[OF deriv init-state final-state].
then show ?thesis
    using dynamically-complete-Liminf[OF labeled-b-in gc-to-red[OF deriv] fair
        labeled-bot-entailed]
    by blast
qed

```

theorem *gc-complete*:

assumes

```

deriv: chain ( $\sim GC$ ) Ns and
init-state: active-subset (lhd Ns) = {} and
final-state: passive-subset (Liminf-llist Ns) = {} and
b-in: B ∈ Bot-F and
bot-entailed: no-labels.entails-G (fst` lhd Ns) {B}
shows  $\exists i. \text{enat } i < \text{llength } Ns \wedge (\exists BL \in \text{Bot-FL}. BL \in \text{lnth } Ns i)$ 

```

proof –

```

note lhd-is = lhd-conv-lnth[OF chain-not-lnull[OF deriv]]
have  $\exists BL \in \text{Bot-FL}. BL \in \text{Liminf-llist Ns}$ 
    using assms by (rule gc-complete-Liminf)
then show ?thesis
    unfolding Liminf-llist-def by auto
qed

```

end

6.3 Lazy Given Clause Procedure

```

locale lazy-given-clause = given-clause-basis Bot-F Inf-F Bot-G Q entails-q Inf-G-q Red-I-q
Red-F-q G-F-q G-I-q Equiv-F Prec-F Prec-L active
for

```

```

Bot-F :: 'f set and
Inf-F :: 'f inference set and
Bot-G :: 'g set and
Q :: 'q set and
entails-q :: 'q ⇒ 'g set ⇒ 'g set ⇒ bool and
Inf-G-q :: 'q ⇒ 'g inference set and
Red-I-q :: 'q ⇒ 'g set ⇒ 'g inference set and
Red-F-q :: 'q ⇒ 'g set ⇒ 'g set and
G-F-q :: 'q ⇒ 'f ⇒ 'g set and
G-I-q :: 'q ⇒ 'f inference ⇒ 'g inference set option and
Equiv-F :: 'f ⇒ 'f ⇒ bool (infix  $\leftrightarrow$  50) and
Prec-F :: 'f ⇒ 'f ⇒ bool (infix  $\prec\cdot$  50) and
Prec-L :: 'l ⇒ 'l ⇒ bool (infix  $\sqsubset L$  50) and
active :: 'l

```

begin

```

inductive step :: 'f inference set × ('f × 'l) set ⇒
    'f inference set × ('f × 'l) set ⇒ bool (infix  $\sim LGC$  50) where
process: N1 = N ∪ M  $\implies$  N2 = N ∪ M'  $\implies$  M ⊆ Red-F (N ∪ M')  $\implies$ 
    active-subset M' = {}  $\implies$  (T, N1)  $\sim LGC$  (T, N2) |
schedule-infer: T2 = T1 ∪ T'  $\implies$  N1 = N ∪ {(C, L)}  $\implies$  N2 = N ∪ {(C, active)}  $\implies$ 

```

$$\begin{aligned}
L \neq \text{active} \implies T' = \text{no-labels.Inf-between}(\text{fst} ` \text{active-subset } N) \{C\} \implies \\
(T1, N1) \sim LGC (T2, N2) | \\
\text{compute-infer: } T1 = T2 \cup \{\iota\} \implies N2 = N1 \cup M \implies \text{active-subset } M = \{\} \implies \\
\iota \in \text{no-labels.Red-}I(\text{fst} ` (N1 \cup M)) \implies (T1, N1) \sim LGC (T2, N2) | \\
\text{delete-orphan-infers: } T1 = T2 \cup T' \implies \\
T' \cap \text{no-labels.Inf-from}(\text{fst} ` \text{active-subset } N) = \{\} \implies (T1, N) \sim LGC (T2, N)
\end{aligned}$$

lemma premise-free-inf-always-from: $\iota \in \text{Inf-}F \implies \text{prems-of } \iota = [] \implies \iota \in \text{no-labels.Inf-from } N$
unfolding no-labels.Inf-from-def **by** simp

lemma one-step-equiv: $(T1, N1) \sim LGC (T2, N2) \implies N1 \triangleright L N2$

proof (cases $(T1, N1)$ $(T2, N2)$ rule: step.cases)

show $(T1, N1) \sim LGC (T2, N2) \implies (T1, N1) \sim LGC (T2, N2)$ **by** blast

next

fix $N M M'$

assume

n1-is: $N1 = N \cup M$ **and**

n2-is: $N2 = N \cup M'$ **and**

m-red: $M \subseteq \text{Red-}F(N \cup M')$

have $N1 - N2 \subseteq \text{Red-}F N2$

using n1-is n2-is m-red **by** auto

then show $N1 \triangleright L N2$

unfolding derive.simps **by** blast

next

fix $N C L M$

assume

n1-is: $N1 = N \cup \{(C, L)\}$ **and**

not-active: $L \neq \text{active}$ **and**

n2-is: $N2 = N \cup \{(C, \text{active})\}$

have $(C, \text{active}) \in N2$ using n2-is **by** auto

moreover have $C \preceq \cdot C$ **by** (metis equivp-def equiv-equiv- F)

moreover have active $\sqsubset L L$ using active-minimal[*OF not-active*].

ultimately have $\{(C, L)\} \subseteq \text{Red-}F N2$

using red-labeled-clauses **by** blast

then have $N1 - N2 \subseteq \text{Red-}F N2$

using std-Red- F -eq using n1-is n2-is **by** blast

then show $N1 \triangleright L N2$

unfolding derive.simps **by** blast

next

fix M

assume

n2-is: $N2 = N1 \cup M$

have $N1 - N2 \subseteq \text{Red-}F N2$

using n2-is **by** blast

then show $N1 \triangleright L N2$

unfolding derive.simps **by** blast

next

assume n2-is: $N2 = N1$

have $N1 - N2 \subseteq \text{Red-}F N2$

using n2-is **by** blast

then show $N1 \triangleright L N2$

unfolding derive.simps **by** blast

qed

lemma *lgc-to-red*: *chain* ($\sim LGC$) *Ns* \implies *chain* ($\triangleright L$) (*lmap snd Ns*)
using one-step-equiv Lazy-List-Chain.chain-mono
by (smt (verit) chain-lmap prod.exhaust-sel)

lemma *lgc-fair*:

assumes

deriv: *chain* ($\sim LGC$) *Ns* **and**
init-state: *active-subset* (*lhd Ns*) = {} **and**
final-state: *passive-subset* (*Liminf-llist* (*lmap snd Ns*)) = {} **and**
no-prems-init: $\forall \iota \in Inf\text{-}F$. *prems-of* $\iota = [] \longrightarrow \iota \in fst(lhd Ns)$ **and**
final-schedule: *Liminf-llist* (*lmap fst Ns*) = {}
shows *fair* (*lmap snd Ns*)
unfolding *fair-def*

proof

fix ι

assume *i-in*: $\iota \in Inf\text{-from}(Liminf-llist(lmap snd Ns))$

note *lhd-is* = *lhd-conv-lnth*[OF *chain-not-lnull*[OF *deriv*]]

have *i-in-inf-flt*: $\iota \in Inf\text{-FL}$ **using** *i-in* **unfolding** *Inf-from-def* **by** blast

have *Liminf-llist* (*lmap snd Ns*) = *active-subset* (*Liminf-llist* (*lmap snd Ns*))

using *final-state* **unfolding** *passive-subset-def* *active-subset-def* **by** blast

then have *i-in2*: $\iota \in Inf\text{-from}(\text{active-subset}(\text{Liminf-llist}(lmap snd Ns)))$

using *i-in* **by** simp

define *m* **where** *m* = *length* (*prems-of* ι)

then have *m-def-F*: *m* = *length* (*prems-of* (*to-F* ι)) **unfolding** *to-F-def* **by** simp

have *i-in-F*: *to-F* $\iota \in Inf\text{-}F$

using *i-in Inf-FL-to-Inf-F* **unfolding** *Inf-from-def* *to-F-def* **by** blast

have *exist-nj*: $\forall j \in \{0..<m\}$. ($\exists nj$. *enat* (*Suc nj*) < *llength Ns* \wedge

prems-of $\iota ! j \notin \text{active-subset}(\text{snd}(\text{l nth Ns } nj)) \wedge$

$(\forall k. k > nj \longrightarrow \text{enat } k < \text{llength Ns} \longrightarrow \text{prems-of } \iota ! j \in \text{active-subset}(\text{snd}(\text{l nth Ns } k)))$

proof clarify

fix j

assume *j-in*: $j \in \{0..<m\}$

then obtain *C* **where** *c-is*: (*C*, *active*) = *prems-of* $\iota ! j$

using *i-in2* **unfolding** *m-def* *Inf-from-def* *active-subset-def*

by (smt (verit) atLeastLessThan-iff mem-Collect-eq nth-mem snd-eqD subsetD surj-pair)

then have (*C*, *active*) $\in Liminf-llist(lmap snd Ns)$

using *j-in i-in* **unfolding** *m-def* *Inf-from-def* **by** force

then obtain *nj* **where** *nj-is*: *enat nj* < *llength Ns* **and**

c-in2: (*C*, *active*) $\in \bigcap (\text{snd } (\text{l nth Ns } \{k. nj \leq k \wedge \text{enat } k < \text{llength Ns}\}))$

unfolding *Liminf-llist-def* **using** *init-state* **by** fastforce

then have *c-in3*: $\forall k. k \geq nj \longrightarrow \text{enat } k < \text{llength Ns} \longrightarrow (C, \text{active}) \in \text{snd}(\text{l nth Ns } k)$ **by** blast

have *nj-pos*: *nj* > 0 **using** *init-state* *c-in2* *nj-is* **unfolding** *active-subset-def* *lhd-is* **by** fastforce

obtain *nj-min* **where** *nj-min-is*: *nj-min* = (LEAST *nj*. *enat nj* < *llength Ns* \wedge

$(C, \text{active}) \in \bigcap (\text{snd } (\text{l nth Ns } \{k. nj \leq k \wedge \text{enat } k < \text{llength Ns}\}))$ **by** blast

then have *in-allk*: $\forall k. k \geq nj\text{-min} \longrightarrow \text{enat } k < \text{llength Ns} \longrightarrow (C, \text{active}) \in \text{snd}(\text{l nth Ns } k)$

using *c-in3* *nj-is* *c-in2* INT-E LeastI-ex

[of $\lambda n. \text{enat } n < \text{llength Ns}$

$\wedge (C, \text{active}) \in \bigcap (\text{snd } (\text{l nth Ns } \{na. n \leq na \wedge \text{enat } na < \text{llength Ns}\}))]$

by blast

have *njm-smaller-D*: *enat nj-min* < *llength Ns*

using *nj-min-is*

by (metis (no-types, lifting) ext LeastI

$\langle \wedge \text{thesis}. (\wedge nj. \text{enat } nj < \text{llength Ns} \implies (C, \text{active}) \in \bigcap (\text{snd } (\text{l nth Ns } \{k. nj \leq k \wedge \text{enat } k < \text{llength Ns}\})) \implies \text{thesis}) \implies \text{thesis} \rangle$

```

have nj-min > 0
  using nj-is c-in2 nj-pos nj-min-is lhd-is
  by (metis (mono-tags, lifting) active-subset-def emptyE in-allk init-state mem-Collect-eq
       not-less snd-conv zero-enat-def chain-length-pos[OF deriv])
then obtain njm-prec where nj-prec-is: Suc njm-prec = nj-min using gr0-conv-Suc by auto
then have njm-prec-njm: njm-prec < nj-min by blast
then have njm-prec-njm-enat: enat njm-prec < enat nj-min by simp
have njm-prec-smaller-d: njm-prec < llength Ns
  by (rule less-trans[OF njm-prec-njm-enat njm-smaller-D])
have njm-prec-all-suc:  $\forall k > \text{njm-prec}.$  enat  $k < \text{llength } Ns \rightarrow (C, \text{active}) \in \text{snd } (\text{lnth } Ns \ k)$ 
  using nj-prec-is in-allk by simp
have notin-njm-prec:  $(C, \text{active}) \notin \text{snd } (\text{lnth } Ns \ \text{njm-prec})$ 
proof (rule ccontr)
  assume  $\neg (C, \text{active}) \notin \text{snd } (\text{lnth } Ns \ \text{njm-prec})$ 
  then have absurd-hyp:  $(C, \text{active}) \in \text{snd } (\text{lnth } Ns \ \text{njm-prec})$  by simp
  have prec-smaller: enat njm-prec < llength Ns using nj-min-is nj-prec-is
    using njm-prec-smaller-d by blast
  have  $(C, \text{active}) \in \bigcap (\text{snd } '(\text{lnth } Ns ' \{k. \text{njm-prec} \leq k \wedge \text{enat } k < \text{llength } Ns\}))$ 
  proof -
    {
      fix k
      assume k-in: njm-prec  $\leq k \wedge \text{enat } k < \text{llength } Ns$ 
      have k = njm-prec  $\Rightarrow (C, \text{active}) \in \text{snd } (\text{lnth } Ns \ k)$  using absurd-hyp by simp
      moreover have njm-prec < k  $\Rightarrow (C, \text{active}) \in \text{snd } (\text{lnth } Ns \ k)$ 
        using nj-prec-is in-allk k-in by simp
      ultimately have  $(C, \text{active}) \in \text{snd } (\text{lnth } Ns \ k)$  using k-in by fastforce
    }
    then show  $(C, \text{active}) \in \bigcap (\text{snd } '(\text{lnth } Ns ' \{k. \text{njm-prec} \leq k \wedge \text{enat } k < \text{llength } Ns\}))$ 
      by blast
  qed
  then have enat njm-prec < llength Ns  $\wedge$ 
     $(C, \text{active}) \in \bigcap (\text{snd } '(\text{lnth } Ns ' \{k. \text{njm-prec} \leq k \wedge \text{enat } k < \text{llength } Ns\}))$ 
    using prec-smaller by blast
  then show False
    using nj-min-is nj-prec-is Orderings.wellorder-class.not-less-Least njm-prec-njm by blast
  qed
  then have notin-active-subs-njm-prec:  $(C, \text{active}) \notin \text{active-subset } (\text{snd } (\text{lnth } Ns \ \text{njm-prec}))$ 
    unfolding active-subset-def by blast
  then show  $\exists nj. \text{enat } (\text{Suc } nj) < \text{llength } Ns \wedge \text{prems-of } \iota ! j \notin \text{active-subset } (\text{snd } (\text{lnth } Ns \ nj)) \wedge$ 
     $(\forall k. k > nj \rightarrow \text{enat } k < \text{llength } Ns \rightarrow \text{prems-of } \iota ! j \in \text{active-subset } (\text{snd } (\text{lnth } Ns \ k)))$ 
    using c-is njm-prec-all-suc njm-prec-smaller-d by (metis (mono-tags, lifting)
      active-subset-def mem-Collect-eq nj-prec-is njm-smaller-D snd-conv)
  qed
  define nj-set where nj-set = {nj.  $(\exists j \in \{0..<m\}. \text{enat } (\text{Suc } nj) < \text{llength } Ns \wedge$ 
     $\text{prems-of } \iota ! j \notin \text{active-subset } (\text{snd } (\text{lnth } Ns \ nj)) \wedge$ 
     $(\forall k. k > nj \rightarrow \text{enat } k < \text{llength } Ns \rightarrow \text{prems-of } \iota ! j \in \text{active-subset } (\text{snd } (\text{lnth } Ns \ k))))\}$ 
  assume m-null: m = 0
  then have enat 0 < llength Ns  $\wedge$  to-F  $\iota \in \text{fst } (\text{lhd } Ns)$ 
    using no-prems-init i-in-F m-def-F zero-enat-def chain-length-pos[OF deriv] by auto
  then have  $\exists n. \text{enat } n < \text{llength } Ns \wedge \text{to-F } \iota \in \text{fst } (\text{lnth } Ns \ n)$ 
    unfolding lhd-is by blast
  moreover {
    assume m-pos: m > 0

```

```

have nj-not-empty: nj-set ≠ {}
proof -
  have zero-in: 0 ∈ {0..} using m-pos by simp
  then obtain n0 where enat (Suc n0) < llength Ns and
    prems-of i ! 0 ∉ active-subset (snd (lnth Ns n0)) and
    ∀ k>n0. enat k < llength Ns → prems-of i ! 0 ∈ active-subset (snd (lnth Ns k))
    using exist-nj by fast
  then have n0 ∈ nj-set unfolding nj-set-def using zero-in by blast
  then show nj-set ≠ {} by auto
qed
have nj-finite: finite nj-set
  using all-ex-finite-set[OF exist-nj] by (metis (no-types, lifting) Suc-ile-eq
    dual-order.strict-implies-order linorder-neqE-nat nj-set-def)
have ∃ n ∈ nj-set. ∀ nj ∈ nj-set. nj ≤ n
  using nj-not-empty nj-finite using Max-ge Max-in by blast
then obtain n where n-in: n ∈ nj-set and n-bigger: ∀ nj ∈ nj-set. nj ≤ n by blast
then obtain j0 where j0-in: j0 ∈ {0..} and suc-n-length: enat (Suc n) < llength Ns and
  j0-notin: prems-of i ! j0 ∉ active-subset (snd (lnth Ns n)) and
  j0-allin: (∀ k. k > n → enat k < llength Ns →
    prems-of i ! j0 ∈ active-subset (snd (lnth Ns k)))
  unfolding nj-set-def by blast
obtain C0 where C0-is: prems-of i ! j0 = (C0, active)
  using j0-in i-in2 unfolding m-def Inf-from-def active-subset-def
    by (metis (mono-tags, lifting) active-subset-def j0-allin less-Suc-eq mem-Collect-eq split-pairs
suc-n-length)
  then have C0-prems-i: (C0, active) ∈ set (prems-of i) using in-set-conv-nth j0-in m-def by force
  have C0-in: (C0, active) ∈ (snd (lnth Ns (Suc n)))
    using C0-is j0-allin suc-n-length by (simp add: active-subset-def)
  have C0-notin: (C0, active) ∉ (snd (lnth Ns n))
    using C0-is j0-notin unfolding active-subset-def by simp
  have step-n: lnth Ns n ~ LGC lnth Ns (Suc n)
    using deriv chain-lnth-rel n-in unfolding nj-set-def by blast
  have is-scheduled: ∃ T2 T1 T' N1 N C L N2. lnth Ns n = (T1, N1) ∧ lnth Ns (Suc n) = (T2, N2)
  ∧
  T2 = T1 ∪ T' ∧ N1 = N ∪ {(C, L)} ∧ N2 = N ∪ {(C, active)} ∧ L ≠ active ∧
  T' = no-labels.Inf-between (fst ` active-subset N) {C}
  using step.simps[of lnth Ns n lnth Ns (Suc n)] step-n C0-in C0-notin
  unfolding active-subset-def by fastforce
then obtain T2 T1 T' N1 N L N2 where nth-d-is: lnth Ns n = (T1, N1) and
  suc-nth-d-is: lnth Ns (Suc n) = (T2, N2) and t2-is: T2 = T1 ∪ T' and
  n1-is: N1 = N ∪ {(C0, L)} N2 = N ∪ {(C0, active)} and
  l-not-active: L ≠ active and
  tp-is: T' = no-labels.Inf-between (fst ` active-subset N) {C0}
  using C0-in C0-notin j0-in C0-is using active-subset-def by fastforce
have j ∈ {0..} ⇒ prems-of i ! j ≠ prems-of i ! j0 ⇒ prems-of i ! j ∈ (active-subset N)
  for j
proof -
  fix j
  assume j-in: j ∈ {0..} and
    j-not-j0: prems-of i ! j ≠ prems-of i ! j0
  obtain nj where nj-len: enat (Suc nj) < llength Ns and
    nj-prems: prems-of i ! j ∉ active-subset (snd (lnth Ns nj)) and
    nj-greater: (∀ k. k > nj → enat k < llength Ns →
      prems-of i ! j ∈ active-subset (snd (lnth Ns k)))
    using exist-nj j-in by blast

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then have  $nj \in nj\text{-set}$  unfolding  $nj\text{-set-def}$  using  $j\text{-in}$  by blast
moreover have  $nj \neq n$ 
proof (rule ccontr)
  assume  $\neg nj \neq n$ 
  then have prems-of  $\iota ! j = (C0, \text{active})$ 
    using  $C0\text{-in } C0\text{-notin step.simps[of lnth Ns n lnth Ns (Suc n)] step-n}$ 
      active-subset-def is-scheduled  $nj\text{-greater } nj\text{-prems suc-n-length}$  by auto
  then show False using  $j\text{-not-}j0 C0\text{-is}$  by simp
qed
ultimately have  $nj < n$  using  $n\text{-bigger}$  by force
then have prems-of  $\iota ! j \in (\text{active-subset} (\text{snd} (\text{lnth Ns } n)))$ 
  using  $nj\text{-greater } n\text{-in Suc-ile-eq dual-order.strict-implies-order}$ 
  unfolding  $nj\text{-set-def}$  by blast
then show prems-of  $\iota ! j \in (\text{active-subset } N)$ 
  using  $nth\text{-d-is l-not-active } n1\text{-is unfolding active-subset-def}$  by force
qed
then have prems-i-active: set (prems-of  $\iota$ )  $\subseteq$  active-subset  $N \cup \{(C0, \text{active})\}$ 
  using  $C0\text{-prems-}i C0\text{-is m-def}$ 
  by (metis Un-iff atLeast0LessThan in-set-conv-nth insertCI lessThan-iff subrelI)
moreover have  $\neg (\text{set (prems-of } \iota) \subseteq \text{active-subset } N - \{(C0, \text{active})\})$  using  $C0\text{-prems-}i$  by blast
ultimately have  $\iota \in \text{Inf-between} (\text{active-subset } N) \{(C0, \text{active})\}$ 
  using  $i\text{-in-inf-fl prems-}i\text{-active unfolding Inf-between-def Inf-from-def}$  by blast
then have to-F  $\iota \in \text{no-labels.Inf-between} (\text{fst ' active-subset } N) \{C0\}$ 
  unfolding to-F-def Inf-between-def Inf-from-def
  no-labels.Inf-between-def no-labels.Inf-from-def
  using Inf-FL-to-Inf-F by force
then have i-in-t2: to-F  $\iota \in T2$  using tp-is t2-is by simp
have  $j \in \{0..<m\} \Rightarrow (\forall k. k > n \rightarrow \text{enat } k < \text{llength Ns} \rightarrow$ 
  prems-of  $\iota ! j \in \text{active-subset} (\text{snd} (\text{lnth Ns } k))$ ) for  $j$ 
proof (cases  $j = j0$ )
  case True
  assume  $j = j0$ 
  then show  $(\forall k. k > n \rightarrow \text{enat } k < \text{llength Ns} \rightarrow$ 
    prems-of  $\iota ! j \in \text{active-subset} (\text{snd} (\text{lnth Ns } k))$ ) using  $j0\text{-allin}$  by simp
next
  case False
  assume  $j\text{-in}: j \in \{0..<m\}$  and
     $j \neq j0$ 
  obtain  $nj$  where  $nj\text{-len}: \text{enat } (\text{Suc } nj) < \text{llength Ns}$  and
     $nj\text{-prems}: \text{prems-of } \iota ! j \notin \text{active-subset} (\text{snd} (\text{lnth Ns } nj))$  and
     $nj\text{-greater}: (\forall k. k > nj \rightarrow \text{enat } k < \text{llength Ns} \rightarrow$ 
      prems-of  $\iota ! j \in \text{active-subset} (\text{snd} (\text{lnth Ns } k))$ )
    using exist-nj j-in by blast
  then have  $nj \in nj\text{-set}$  unfolding  $nj\text{-set-def}$  using  $j\text{-in}$  by blast
  then show  $(\forall k. k > n \rightarrow \text{enat } k < \text{llength Ns} \rightarrow$ 
    prems-of  $\iota ! j \in \text{active-subset} (\text{snd} (\text{lnth Ns } k))$ )
    using  $nj\text{-greater } n\text{-bigger}$  by auto
qed
then have allj-allk:  $(\forall c \in \text{set (prems-of } \iota). (\forall k. k > n \rightarrow \text{enat } k < \text{llength Ns} \rightarrow$ 
   $c \in \text{active-subset} (\text{snd} (\text{lnth Ns } k)))$ )
  using m-def by (metis atLeast0LessThan in-set-conv-nth lessThan-iff)
have  $\forall c \in \text{set (prems-of } \iota). \text{snd } c = \text{active}$ 
  using prems-i-active unfolding active-subset-def by auto
then have ex-n-i-in:  $\exists n. \text{enat } (\text{Suc } n) < \text{llength Ns} \wedge \text{to-F } \iota \in \text{fst} (\text{lnth Ns } (\text{Suc } n)) \wedge$ 
   $(\forall c \in \text{set (prems-of } \iota). \text{snd } c = \text{active}) \wedge$ 

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 $(\forall c \in \text{set}(\text{prems-of } \iota). (\forall k. k > n \rightarrow \text{enat } k < \text{llength } Ns \rightarrow$ 
 $c \in \text{active-subset}(\text{snd}(\text{lnth } Ns \ k)))$ 
using allj-allk i-in-t2 suc-nth-d-is fstI n-in nj-set-def
by auto
then have  $\exists n. \text{enat } n < \text{llength } Ns \wedge \text{to-F } \iota \in \text{fst}(\text{lnth } Ns \ n) \wedge$ 
 $(\forall c \in \text{set}(\text{prems-of } \iota). \text{snd } c = \text{active}) \wedge (\forall c \in \text{set}(\text{prems-of } \iota). (\forall k. k \geq n \rightarrow$ 
 $\text{enat } k < \text{llength } Ns \rightarrow c \in \text{active-subset}(\text{snd}(\text{lnth } Ns \ k)))$ 
by auto
}
ultimately obtain n T2 N2 where i-in-suc-n: to-F  $\iota \in \text{fst}(\text{lnth } Ns \ n)$  and
all-prems-active-after:  $m > 0 \implies (\forall c \in \text{set}(\text{prems-of } \iota). (\forall k. k \geq n \rightarrow \text{enat } k < \text{llength } Ns \rightarrow$ 
 $c \in \text{active-subset}(\text{snd}(\text{lnth } Ns \ k)))$  and
suc-n-length:  $\text{enat } n < \text{llength } Ns$  and suc-nth-d-is:  $\text{lnth } Ns \ n = (T2, N2)$ 
by (metis less-antisym old.prod.exhaust zero-less-Suc)
then have i-in-t2: to-F  $\iota \in T2$  by simp
have  $\exists p \geq n. \text{enat } (\text{Suc } p) < \text{llength } Ns \wedge \text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ p)) \wedge \text{to-F } \iota \notin (\text{fst}(\text{lnth } Ns \ (\text{Suc } p)))$ 
proof (rule ccontr)
assume
contra:  $\neg (\exists p \geq n. \text{enat } (\text{Suc } p) < \text{llength } Ns \wedge \text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ p)) \wedge$ 
 $\text{to-F } \iota \notin (\text{fst}(\text{lnth } Ns \ (\text{Suc } p)))$ 
then have i-in-suc:  $p0 \geq n \implies \text{enat } (\text{Suc } p0) < \text{llength } Ns \implies \text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ p0)) \implies$ 
 $\text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ (\text{Suc } p0)))$  for p0
by blast
have  $p0 \geq n \implies \text{enat } p0 < \text{llength } Ns \implies \text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ p0))$  for p0
proof (induction rule: nat-induct-at-least)
case base
then show ?case using i-in-t2 suc-nth-d-is
by simp
next
case (Suc p0)
assume p-bigger-n:  $n \leq p0$  and
induct-hyp:  $\text{enat } p0 < \text{llength } Ns \implies \text{to-F } \iota \in \text{fst}(\text{lnth } Ns \ p0)$  and
sucsuc-smaller-d:  $\text{enat } (\text{Suc } p0) < \text{llength } Ns$ 
have suc-p-bigger-n:  $n \leq p0$  using p-bigger-n by simp
have suc-smaller-d:  $\text{enat } p0 < \text{llength } Ns$ 
using sucsuc-smaller-d Suc-ile-eq dual-order.strict-implies-order by blast
then have to-F  $\iota \in \text{fst}(\text{lnth } Ns \ p0)$  using induct-hyp by blast
then show ?case using i-in-suc[OF suc-p-bigger-n sucsuc-smaller-d] by blast
qed
then have i-in-all-bigger-n:  $\forall j. j \geq n \wedge \text{enat } j < \text{llength } Ns \rightarrow \text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ j))$ 
by presburger
have llength (lmap fst Ns) = llength Ns by force
then have to-F  $\iota \in \bigcap (\text{lenth}(\text{lmap } \text{fst } Ns) \setminus \{j. n \leq j \wedge \text{enat } j < \text{llength}(\text{lmap } \text{fst } Ns)\})$ 
using i-in-all-bigger-n using Suc-le-D by auto
then have to-F  $\iota \in \text{Liminf-llist}(\text{lmap } \text{fst } Ns)$ 
unfolding Liminf-llist-def using suc-n-length by auto
then show False using final-schedule by fast
qed
then obtain p where p-greater-n:  $p \geq n$  and p-smaller-d:  $\text{enat } (\text{Suc } p) < \text{llength } Ns$  and
i-in-p:  $\text{to-F } \iota \in (\text{fst}(\text{lnth } Ns \ p))$  and i-notin-suc-p:  $\text{to-F } \iota \notin (\text{fst}(\text{lnth } Ns \ (\text{Suc } p)))$ 
by blast
have p-neq-n:  $\text{Suc } p \neq n$  using i-notin-suc-p i-in-suc-n by blast
have step-p:  $\text{lenth } Ns \ p \sim \text{LGC } \text{lenth } Ns \ (\text{Suc } p)$  using deriv p-smaller-d chain-lnth-rel by blast
then have  $\exists T1 T2 \iota N2 N1 M. \text{lenth } Ns \ p = (T1, N1) \wedge \text{lenth } Ns \ (\text{Suc } p) = (T2, N2) \wedge$ 
 $T1 = T2 \cup \{\iota\} \wedge N2 = N1 \cup M \wedge \text{active-subset } M = \{\}$   $\wedge$ 

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$\iota \in \text{no-labels.Red-}I\mathcal{G} (\text{fst} ` (N1 \cup M))$
proof –
have ci-or-do: $(\exists T1 T2 \iota N2 N1 M. \text{lnth Ns } p = (T1, N1) \wedge \text{lnth Ns } (\text{Suc } p) = (T2, N2) \wedge T1 = T2 \cup \{\iota\} \wedge N2 = N1 \cup M \wedge \text{active-subset } M = \{\}) \wedge \iota \in \text{no-labels.Red-}I\mathcal{G} (\text{fst} ` (N1 \cup M)) \vee (\exists T1 T2 T' N. \text{lnth Ns } p = (T1, N) \wedge \text{lnth Ns } (\text{Suc } p) = (T2, N) \wedge T1 = T2 \cup T' \wedge T' \cap \text{no-labels.Inf-from } (\text{fst} ` \text{active-subset } N) = \{\})$
using step.simps[of lnth Ns p lnth Ns (Suc p)] step-p i-in-p i-notin-suc-p **by** fastforce
then have p-greater-n-strict: $n < \text{Suc } p$
using suc-nth-d-is p-greater-n i-in-t2 i-notin-suc-p le-eq-less-or-eq **by** force
have $m > 0 \implies j \in \{0..<m\} \implies \text{prems-of } (\text{to-}F \iota) ! j \in \text{fst} ` \text{active-subset} (\text{snd} (\text{lnth Ns } p))$
for j
proof –
fix j
assume
m-pos: $m > 0$ **and**
j-in: $j \in \{0..<m\}$
then have prems-of $\iota ! j \in (\text{active-subset} (\text{snd} (\text{lnth Ns } p)))$
using all-prems-active-after[OF m-pos] p-smaller-d m-def p-greater-n p-neq-n
by (meson Suc-ile-eq atLeastLessThan-iff dual-order.strict-implies-order nth-mem p-greater-n-strict)
then have fst (prems-of $\iota ! j \in \text{fst} ` \text{active-subset} (\text{snd} (\text{lnth Ns } p))$)
by blast
then show prems-of $(\text{to-}F \iota) ! j \in \text{fst} ` \text{active-subset} (\text{snd} (\text{lnth Ns } p))$
unfolding to-F-def **using** j-in m-def **by** simp
qed
then have prems-i-active-p: $m > 0 \implies$
to-F $\iota \in \text{no-labels.Inf-from } (\text{fst} ` \text{active-subset} (\text{snd} (\text{lnth Ns } p)))$
using i-in-F **unfolding** no-labels.Inf-from-def
by (simp add: atLeast0LessThan m-def-F) (metis in-set-conv-nth subsetI)
have $m = 0 \implies (\exists T1 T2 \iota N2 N1 M. \text{lnth Ns } p = (T1, N1) \wedge \text{lnth Ns } (\text{Suc } p) = (T2, N2) \wedge T1 = T2 \cup \{\iota\} \wedge N2 = N1 \cup M \wedge \text{active-subset } M = \{\}) \wedge \iota \in \text{no-labels.Red-}I\mathcal{G} (\text{fst} ` (N1 \cup M))$
using ci-or-do premise-free-inf-always-from[of to-F ι fst ` active-subset -, OF i-in-F]
m-def i-in-p i-notin-suc-p m-def-F **by** auto
then show $(\exists T1 T2 \iota N2 N1 M. \text{lnth Ns } p = (T1, N1) \wedge \text{lnth Ns } (\text{Suc } p) = (T2, N2) \wedge T1 = T2 \cup \{\iota\} \wedge N2 = N1 \cup M \wedge \text{active-subset } M = \{\}) \wedge \iota \in \text{no-labels.Red-}I\mathcal{G} (\text{fst} ` (N1 \cup M))$
using ci-or-do i-in-p i-notin-suc-p prems-i-active-p **unfolding** active-subset-def **by** force
qed
then obtain $T1p T2p N1p N2p Mp$ **where** $\text{lnth Ns } p = (T1p, N1p)$ **and**
suc-p-is: $\text{lnth Ns } (\text{Suc } p) = (T2p, N2p)$ **and** $T1p = T2p \cup \{\text{to-}F \iota\}$ **and** $T2p \cap \{\text{to-}F \iota\} = \{\}$ **and**
n2p-is: $N2p = N1p \cup Mp$ **and** active-subset $Mp = \{\}$ **and**
i-in-red-inf: $\text{to-}F \iota \in \text{no-labels.Red-}I\mathcal{G} (\text{fst} ` (N1p \cup Mp))$
using i-in-p i-notin-suc-p **by** fastforce
have to-F $\iota \in \text{no-labels.Red-}I (\text{fst} ` (\text{snd} (\text{lnth Ns } (\text{Suc } p))))$
using i-in-red-inf suc-p-is n2p-is **by** fastforce
then have $\forall q \in Q. (\mathcal{G}\text{-}I\text{-}q q (\text{to-}F \iota) \neq \text{None} \wedge$
the $(\mathcal{G}\text{-}I\text{-}q q (\text{to-}F \iota)) \subseteq \text{Red-}I\text{-}q q (\bigcup (\mathcal{G}\text{-}F\text{-}q q ` \text{fst} ` \text{snd} (\text{lnth Ns } (\text{Suc } p))))$
 $\vee (\mathcal{G}\text{-}I\text{-}q q (\text{to-}F \iota) = \text{None} \wedge$
 $\mathcal{G}\text{-}F\text{-}q q (\text{concl-of } (\text{to-}F \iota)) \subseteq \bigcup (\mathcal{G}\text{-}F\text{-}q q ` \text{fst} ` \text{snd} (\text{lnth Ns } (\text{Suc } p))) \cup$
 $\text{Red-}F\text{-}q q (\bigcup (\mathcal{G}\text{-}F\text{-}q q ` \text{fst} ` \text{snd} (\text{lnth Ns } (\text{Suc } p))))$)
unfolding to-F-def no-labels.Red-I-def no-labels.Red-I-q-def **by** blast
then have $\iota \in \text{Red-}I\mathcal{G} (\text{snd} (\text{lnth Ns } (\text{Suc } p)))$

```

using i-in-inf-fl unfolding Red-I-G-def Red-I-G-q-def by (simp add: to-F-def)
then show  $\iota \in \text{Sup-llist}(\text{lmap Red-I-G}(\text{lmap snd } Ns))$ 
unfolding Sup-llist-def using suc-n-length p-smaller-d by auto
qed

```

theorem lgc-complete-Liminf:

assumes

```

deriv: chain ( $\sim LGC$ ) Ns and
init-state: active-subset (snd (lhd Ns)) = {} and
final-state: passive-subset (Liminf-llist (lmap snd Ns)) = {} and
no-prems-init:  $\forall \iota \in \text{Inf-F}.$  prems-of  $\iota = [] \longrightarrow \iota \in \text{fst}(\text{lhd } Ns)$  and
final-schedule: Liminf-llist (lmap fst Ns) = {} and
b-in:  $B \in \text{Bot-F}$  and
bot-entailed: no-labels.entails- $\mathcal{G}$  (fst ` snd (lhd Ns)) {B}
shows  $\exists BL \in \text{Bot-FL}.$   $BL \in \text{Liminf-llist}(\text{lmap snd } Ns)$ 

```

proof –

```

have labeled-b-in:  $(B, \text{active}) \in \text{Bot-FL}$  using b-in by simp
have simp-snd-lmap:  $\text{lhd}(\text{lmap snd } Ns) = \text{snd}(\text{lhd } Ns)$ 
    by (rule llist.map sel(1)[OF chain-not-lnull[OF deriv]])
have labeled-bot-entailed: entails- $\mathcal{G}$ -L (snd (lhd Ns)) {(B, active)}
    using labeled-entailment-lifting bot-entailed by fastforce
have fair (lmap snd Ns)
using lgc-fair[OF deriv init-state final-state no-prems-init final-schedule].
then show ?thesis
using dynamically-complete-Liminf labeled-b-in lgc-to-red[OF deriv]
    labeled-bot-entailed simp-snd-lmap std-Red-I-eq
    by presburger

```

qed

theorem lgc-complete:

assumes

```

deriv: chain ( $\sim LGC$ ) Ns and
init-state: active-subset (snd (lhd Ns)) = {} and
final-state: passive-subset (Liminf-llist (lmap snd Ns)) = {} and
no-prems-init:  $\forall \iota \in \text{Inf-F}.$  prems-of  $\iota = [] \longrightarrow \iota \in \text{fst}(\text{lhd } Ns)$  and
final-schedule: Liminf-llist (lmap fst Ns) = {} and
b-in:  $B \in \text{Bot-F}$  and
bot-entailed: no-labels.entails- $\mathcal{G}$  (fst ` snd (lhd Ns)) {B}
shows  $\exists i.$  enat  $i < \text{llength } Ns \wedge (\exists BL \in \text{Bot-FL}.$   $BL \in \text{snd}(\text{lnth } Ns i))$ 

```

proof –

```

have  $\exists BL \in \text{Bot-FL}.$   $BL \in \text{Liminf-llist}(\text{lmap snd } Ns)$ 
    using assms by (rule lgc-complete-Liminf)
then show ?thesis
unfolding Liminf-llist-def by auto

```

qed

end

end