

A Formalization of Assumptions and Guarantees for Compositional Noninterference

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Abstract

Research in information-flow security aims at developing methods to identify undesired information leaks within programs from private (high) sources to public (low) sinks. For a concurrent system, it is desirable to have compositional analysis methods that allow for analyzing each thread independently and that nevertheless guarantee that the parallel composition of successfully analyzed threads satisfies a global security guarantee. However, such a compositional analysis should not be overly pessimistic about what an environment might do with shared resources. Otherwise, the analysis will reject many intuitively secure programs.

The paper "Assumptions and Guarantees for Compositional Noninterference" by Mantel et. al. [MSS11] presents one solution for this problem: an approach for compositionally reasoning about non-interference in concurrent programs via rely-guarantee-style reasoning. We present an Isabelle/HOL formalization of the concepts and proofs of this approach.

The formalization includes the following parts:

- Notion of SIFUM-security and preliminary concepts:
`Preliminaries.thy`, `Security.thy`
- Compositionality proof: `Compositionality.thy`
- Example language: `Language.thy`
- Type system for ensuring SIFUM-security and soundness proof:
`TypeSystem.thy`
- Type system for ensuring sound use of modes and soundness proof:
`LocallySoundUseOfModes.thy`

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1 Preliminaries

```
theory Preliminaries
imports Main
begin
```

```
unbundle lattice-syntax
```

Possible modes for variables:

```
datatype Mode = AsmNoRead | AsmNoWrite | GuarNoRead | GuarNoWrite
```

We consider a two-element security lattice:

```
datatype Sec = High | Low
```

notation

```
less-eq (infix  $\sqsubseteq$  50) and
less (infix  $\sqsubset$  50)
```

Sec forms a (complete) lattice:

```
instantiation Sec :: complete-lattice
begin
```

```
definition top-Sec-def:  $\top = \text{High}$ 
```

```
definition sup-Sec-def:  $d1 \sqcup d2 = (\text{if } (d1 = \text{High} \vee d2 = \text{High}) \text{ then High else Low})$ 
```

```
definition inf-Sec-def:  $d1 \sqcap d2 = (\text{if } (d1 = \text{Low} \vee d2 = \text{Low}) \text{ then Low else High})$ 
```

```

definition bot-Sec-def:  $\perp = \text{Low}$ 
definition less-eq-Sec-def:  $d1 \leq d2 = (d1 = d2 \vee d1 = \text{Low})$ 
definition less-Sec-def:  $d1 < d2 = (d1 = \text{Low} \wedge d2 = \text{High})$ 
definition Sup-Sec-def:  $\bigcup S = (\text{if } (\text{High} \in S) \text{ then High else Low})$ 
definition Inf-Sec-def:  $\bigcap S = (\text{if } (\text{Low} \in S) \text{ then Low else High})$ 

instance
apply (intro-classes)
apply (metis Sec.exhaust Sec.simps(2) less-Sec-def less-eq-Sec-def)
apply (metis less-eq-Sec-def)
apply (metis less-eq-Sec-def)
apply (metis less-eq-Sec-def)
apply (metis Sec.exhaust inf-Sec-def less-eq-Sec-def)
apply (metis Sec.exhaust inf-Sec-def less-eq-Sec-def)
apply (metis Sec.exhaust inf-Sec-def less-eq-Sec-def)
apply (metis Sec.exhaust less-eq-Sec-def sup-Sec-def)
apply (metis Sec.exhaust less-eq-Sec-def sup-Sec-def)
apply (metis Sec.exhaust Sec.simps(2) less-eq-Sec-def sup-Sec-def)
apply (metis (full-types) Inf-Sec-def Sec.exhaust less-eq-Sec-def)
apply (metis Inf-Sec-def Sec.exhaust less-eq-Sec-def)
apply (metis Sec.exhaust Sup-Sec-def less-eq-Sec-def)
apply (metis (full-types) Sup-Sec-def less-eq-Sec-def)
apply (metis (opaque-lifting, mono-tags) Inf-Sec-def empty-iff top-Sec-def)
by (metis (opaque-lifting, mono-tags) Sup-Sec-def bot-Sec-def empty-iff)
end

```

Memories are mappings from variables to values

```
type-synonym ('var, 'val) Mem = 'var  $\Rightarrow$  'val
```

A mode state maps modes to the set of variables for which the given mode is set.

```
type-synonym 'var Mds = Mode  $\Rightarrow$  'var set
```

Local configurations:

```
type-synonym ('com, 'var, 'val) LocalConf = ('com  $\times$  'var Mds)  $\times$  ('var, 'val)
Mem
```

Global configurations:

```
type-synonym ('com, 'var, 'val) GlobalConf = ('com  $\times$  'var Mds) list  $\times$  ('var,
'val) Mem
```

A locale to fix various parametric components in Mantel et. al, and assumptions about them:

```
locale sifum-security =
fixes dma :: 'Var  $\Rightarrow$  Sec
fixes stop :: 'Com
fixes eval :: ('Com, 'Var, 'Val) LocalConf rel
fixes some-val :: 'Val
```

```

fixes some-val' :: 'Val
assumes stop-no-eval:  $\neg (((stop, mds), mem), ((c', mds'), mem')) \in eval$ 
assumes deterministic:  $\llbracket (lc, lc') \in eval; (lc, lc'') \in eval \rrbracket \implies lc' = lc''$ 
assumes finite-memory: finite  $\{(x::'Var). True\}$ 
assumes different-values: some-val  $\neq$  some-val'

```

end

2 Definition of the SIFUM-Security Property

```

theory Security
imports Main Preliminaries
begin

```

```
context sifum-security begin
```

2.1 Evaluation of Concurrent Programs

```

abbreviation eval-abv :: ('Com, 'Var, 'Val) LocalConf  $\Rightarrow$  (-, -, -) LocalConf  $\Rightarrow$  bool
(infixl  $\rightsquigarrow$  70)
where
 $x \rightsquigarrow y \equiv (x, y) \in eval$ 

```

```

abbreviation conf-abv :: 'Com  $\Rightarrow$  'Var Mds  $\Rightarrow$  ('Var, 'Val) Mem  $\Rightarrow$  (-,-,-) LocalConf
( $\langle$  -, -, -  $\rangle$  [0, 0, 0] 1000)
where
 $\langle c, mds, mem \rangle \equiv ((c, mds), mem)$ 

```

```

inductive-set meval :: (-,-,-) GlobalConf rel
and meval-abv :: -  $\Rightarrow$  -  $\Rightarrow$  bool (infixl  $\rightarrow$  70)
where
 $conf \rightarrow conf' \equiv (conf, conf') \in meval \mid$ 
meval-intro [iff]:  $\llbracket (cms ! n, mem) \rightsquigarrow (cm', mem'); n < length cms \rrbracket \implies$ 
 $((cms, mem), (cms[n := cm'], mem')) \in meval$ 

```

```
inductive-cases meval-elim [elim!]: ((cms, mem), (cms', mem'))  $\in$  meval
```

```

abbreviation meval-clos :: -  $\Rightarrow$  -  $\Rightarrow$  bool (infixl  $\rightarrow^*$  70)
where
 $conf \rightarrow^* conf' \equiv (conf, conf') \in meval^*$ 

```

```

fun lc-set-var :: (-, -, -) LocalConf  $\Rightarrow$  'Var  $\Rightarrow$  'Val  $\Rightarrow$  (-, -, -) LocalConf
where
lc-set-var (c, mem) x v = (c, mem (x := v))

```

```

fun meval-k :: nat  $\Rightarrow$  ('Com, 'Var, 'Val) GlobalConf  $\Rightarrow$  (-, -, -) GlobalConf  $\Rightarrow$  bool
where
  meval-k 0 c c' = (c = c') |
  meval-k (Suc n) c c' = ( $\exists$  c''. meval-k n c c''  $\wedge$  c''  $\rightarrow$  c')

abbreviation meval-k-abv :: nat  $\Rightarrow$  (-, -, -) GlobalConf  $\Rightarrow$  (-, -, -) GlobalConf  $\Rightarrow$  bool
  (-  $\rightarrow_1$  - [100, 100] 80)
where
  gc  $\rightarrow_k$  gc'  $\equiv$  meval-k k gc gc'

```

2.2 Low-equivalence and Strong Low Bisimulations

```

definition low-eq :: ('Var, 'Val) Mem  $\Rightarrow$  (-, -) Mem  $\Rightarrow$  bool (infixl =l 80)
where
  mem1 =l mem2  $\equiv$  ( $\forall$  x. dma x = Low  $\longrightarrow$  mem1 x = mem2 x)

```

```

definition low-mds-eq :: 'Var Mds  $\Rightarrow$  ('Var, 'Val) Mem  $\Rightarrow$  (-, -) Mem  $\Rightarrow$  bool
  (- =1l - [100, 100] 80)
where
  (mem1 =mdsl mem2)  $\equiv$  ( $\forall$  x. dma x = Low  $\wedge$  x  $\notin$  mds AsmNoRead  $\longrightarrow$  mem1 x = mem2 x)

```

```

definition mdss :: 'Var Mds where
  mdss x = {}

```

```

lemma [simp]: mem =l mem'  $\Longrightarrow$  mem =mdsl mem'
by (simp add: low-mds-eq-def low-eq-def)

```

```

lemma [simp]: ( $\forall$  mds. mem =mdsl mem')  $\Longrightarrow$  mem =l mem'
by (auto simp: low-mds-eq-def low-eq-def)

```

```

definition closed-glob-consistent :: (('Com, 'Var, 'Val) LocalConf) rel  $\Rightarrow$  bool
where
  closed-glob-consistent R =
    ( $\forall$  c1 mds mem1 c2 mem2. ( $\langle$  c1, mds, mem1  $\rangle$ ,  $\langle$  c2, mds, mem2  $\rangle$ )  $\in$  R  $\longrightarrow$ 
     ( $\forall$  x. ((dma x = High  $\wedge$  x  $\notin$  mds AsmNoWrite)  $\longrightarrow$ 
      ( $\forall$  v1 v2. ( $\langle$  c1, mds, mem1 (x := v1)  $\rangle$ ,  $\langle$  c2, mds, mem2 (x := v2)  $\rangle$ )  $\in$  R))  $\wedge$ 
      ((dma x = Low  $\wedge$  x  $\notin$  mds AsmNoWrite)  $\longrightarrow$ 
       ( $\forall$  v. ( $\langle$  c1, mds, mem1 (x := v)  $\rangle$ ,  $\langle$  c2, mds, mem2 (x := v)  $\rangle$ )  $\in$  R)))
    )

```

```

definition strong-low-bisim-mm :: (('Com, 'Var, 'Val) LocalConf) rel  $\Rightarrow$  bool

```

where

strong-low-bisim-mm $\mathcal{R} \equiv$
sym $\mathcal{R} \wedge$
closed-glob-consistent $\mathcal{R} \wedge$
 $(\forall c_1 mds mem_1 c_2 mem_2. (\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R} \rightarrow$
 $(mem_1 =_{mds}^l mem_2) \wedge$
 $(\forall c_1' mds' mem_1'. \langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1' \rangle \rightarrow$
 $(\exists c_2' mem_2'. \langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge$
 $(\langle c_1', mds', mem_1' \rangle, \langle c_2', mds', mem_2' \rangle) \in \mathcal{R}))$

inductive-set *mm-equiv* :: ((*'Com*, *'Var*, *'Val*) *LocalConf*) *rel*
and *mm-equiv-abv* :: (*'Com*, *'Var*, *'Val*) *LocalConf* \Rightarrow
 $('Com, 'Var, 'Val) LocalConf \Rightarrow \text{bool}$ (**infix** \approx 60)
where
mm-equiv-abv $x y \equiv (x, y) \in \text{mm-equiv}$ |
mm-equiv-intro [*iff*]: $\llbracket \text{strong-low-bisim-mm } \mathcal{R} ; (lc_1, lc_2) \in \mathcal{R} \rrbracket \implies (lc_1, lc_2) \in \text{mm-equiv}$

inductive-cases *mm-equiv-elim* [*elim*]: $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle$

definition *low-indistinguishable* :: *'Var Mds* \Rightarrow *'Com* \Rightarrow *'Com* \Rightarrow *bool*
 $(- \sim_1 - [100, 100] 80)$
where $c_1 \sim_{mds} c_2 = (\forall mem_1 mem_2. mem_1 =_{mds}^l mem_2 \rightarrow$
 $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle)$

2.3 SIFUM-Security

definition *com-sifum-secure* :: *'Com* \Rightarrow *bool*
where *com-sifum-secure* $c = c \sim_{mds_s} c$

definition *add-initial-modes* :: *'Com list* \Rightarrow (*'Com* \times *'Var Mds*) *list*
where *add-initial-modes* $cmds = \text{zip } cmds (\text{replicate} (\text{length } cmds) mds_s)$

definition *no-assumptions-on-termination* :: *'Com list* \Rightarrow *bool*
where *no-assumptions-on-termination* $cmds =$
 $(\forall mem mem' cms'.$
 $(\text{add-initial-modes } cmds, mem) \rightarrow^* (cms', mem') \wedge$
 $\text{list-all } (\lambda c. c = \text{stop}) (\text{map } \text{fst } cms') \rightarrow$
 $(\forall mds' \in \text{set } (\text{map } \text{snd } cms'). mds' \text{ AsmNoRead} = \{\} \wedge mds' \text{ AsmNoWrite} = \{\})$)

definition *prog-sifum-secure* :: *'Com list* \Rightarrow *bool*
where *prog-sifum-secure* $cmds =$
 $(\text{no-assumptions-on-termination } cmds \wedge$
 $(\forall mem_1 mem_2. mem_1 =^l mem_2 \rightarrow$
 $(\forall k cms_1' mem_1'.$
 $(\text{add-initial-modes } cmds, mem_1) \rightarrow_k (cms_1', mem_1') \rightarrow$
 $(\exists cms_2' mem_2'. (\text{add-initial-modes } cmds, mem_2) \rightarrow_k (cms_2', mem_2')) \wedge$

```

map snd cms1' = map snd cms2' ∧
length cms2' = length cms1' ∧
(∀ x. dma x = Low ∧ (∀ i < length cms1'.
x ∉ snd (cms1' ! i) AsmNoRead) → mem1' x = mem2' x)))

```

2.4 Sound Mode Use

definition *doesnt-read* :: 'Com ⇒ 'Var ⇒ bool

where

```

doesnt-read c x = (forall mds mem c' mds' mem'.
⟨c, mds, mem⟩ ~> ⟨c', mds', mem'⟩ →
((forall v. ⟨c, mds, mem(x := v)⟩ ~> ⟨c', mds', mem'(x := v)⟩) ∨
(forall v. ⟨c, mds, mem(x := v)⟩ ~> ⟨c', mds', mem'⟩)))

```

definition *doesnt-modify* :: 'Com ⇒ 'Var ⇒ bool

where

```

doesnt-modify c x = (forall mds mem c' mds' mem'. (⟨c, mds, mem⟩ ~> ⟨c', mds', mem'⟩) →
mem x = mem' x)

```

inductive-set *loc-reach* :: ('Com, 'Var, 'Val) LocalConf ⇒ ('Com, 'Var, 'Val)

LocalConf set

for lc :: (-, -, -) LocalConf

where

```

refl : ⟨fst (fst lc), snd (fst lc), snd lc⟩ ∈ loc-reach lc |
step : [[⟨c', mds', mem'⟩ ∈ loc-reach lc;
⟨c', mds', mem'⟩ ~> ⟨c'', mds'', mem''⟩]] ⇒
⟨c'', mds'', mem''⟩ ∈ loc-reach lc |
mem-diff : [[⟨c', mds', mem'⟩ ∈ loc-reach lc;
(forall x ∈ mds'. AsmNoWrite. mem' x = mem'' x)] ⇒
⟨c', mds', mem''⟩ ∈ loc-reach lc]

```

definition *locally-sound-mode-use* :: (-, -, -) LocalConf ⇒ bool

where

```

locally-sound-mode-use lc =
(forall c' mds' mem'. ⟨c', mds', mem'⟩ ∈ loc-reach lc →
(forall x. (x ∈ mds' GuarNoRead → doesnt-read c' x) ∧
(x ∈ mds' GuarNoWrite → doesnt-modify c' x)))

```

definition *compatible-modes* :: ('Var Mds) list ⇒ bool

where

```

compatible-modes mdss = (forall (i :: nat) x. i < length mdss →
(x ∈ (mdss ! i) AsmNoRead →
(forall j < length mdss. j ≠ i → x ∈ (mdss ! j) GuarNoRead)) ∧
(x ∈ (mdss ! i) AsmNoWrite →
(forall j < length mdss. j ≠ i → x ∈ (mdss ! j) GuarNoWrite)))

```

definition *reachable-mode-states* :: ('Com, 'Var, 'Val) GlobalConf ⇒ (('Var Mds)

```

list) set
where reachable-mode-states gc =
{mdss. ( $\exists$  cms' mem'. gc  $\rightarrow^*$  (cms', mem')  $\wedge$  map snd cms' = mdss)}
```

definition globally-sound-mode-use :: ('Com, 'Var, 'Val) GlobalConf \Rightarrow bool
where globally-sound-mode-use gc =
 $(\forall$ mdss. mdss \in reachable-mode-states gc \longrightarrow compatible-modes mdss)

primrec sound-mode-use :: (-, -, -) GlobalConf \Rightarrow bool
where
sound-mode-use (cms, mem) =
(list-all (λ cm. locally-sound-mode-use (cm, mem)) cms \wedge
globally-sound-mode-use (cms, mem))

lemma mm-equiv-sym:
assumes equivalent: $\langle c_1, mds_1, mem_1 \rangle \approx \langle c_2, mds_2, mem_2 \rangle$
shows $\langle c_2, mds_2, mem_2 \rangle \approx \langle c_1, mds_1, mem_1 \rangle$
proof –
from equivalent **obtain** \mathcal{R}
where $\mathcal{R}\text{-bisim}:$ strong-low-bisim-mm $\mathcal{R} \wedge (\langle c_1, mds_1, mem_1 \rangle, \langle c_2, mds_2, mem_2 \rangle) \in \mathcal{R}$
by (metis mm-equiv.simps)
hence sym \mathcal{R}
by (auto simp: strong-low-bisim-mm-def)
hence $(\langle c_2, mds_2, mem_2 \rangle, \langle c_1, mds_1, mem_1 \rangle) \in \mathcal{R}$
by (metis \mathcal{R} -bisim symE)
thus ?thesis
by (metis \mathcal{R} -bisim mm-equiv.intros)
qed

lemma low-indistinguishable-sym: $lc \sim_{mds} lc' \implies lc' \sim_{mds} lc$
by (auto simp: mm-equiv-sym low-indistinguishable-def low-mds-eq-def)

lemma mm-equiv-glob-consistent: closed-glob-consistent mm-equiv
unfolding closed-glob-consistent-def
apply clarify
apply (erule mm-equiv-elim)
by (auto simp: strong-low-bisim-mm-def closed-glob-consistent-def)

lemma mm-equiv-strong-low-bisim: strong-low-bisim-mm mm-equiv
unfolding strong-low-bisim-mm-def
proof (auto)
show closed-glob-consistent mm-equiv **by** (rule mm-equiv-glob-consistent)
next
fix c1 mds mem1 c2 mem2 x
assume $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle$
then obtain \mathcal{R} **where**
strong-low-bisim-mm $\mathcal{R} \wedge (\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R}$

```

    by blast
  thus  $mem_1 =_{mds}^l mem_2$  by (auto simp: strong-low-bisim-mm-def)
next
  fix  $c_1 :: 'Com$ 
  fix  $mds\ mem_1\ c_2\ mem_2\ c_1'\ mds'\ mem_1'$ 
  let  $?lc_1 = \langle c_1, mds, mem_1 \rangle$  and
       $?lc_1' = \langle c_1', mds', mem_1' \rangle$  and
       $?lc_2 = \langle c_2, mds, mem_2 \rangle$ 
  assume  $?lc_1 \approx ?lc_2$ 
  then obtain  $\mathcal{R}$  where strong-low-bisim-mm  $\mathcal{R} \wedge (?lc_1, ?lc_2) \in \mathcal{R}$ 
    by (rule mm-equiv-elim, blast)
  moreover assume  $?lc_1 \rightsquigarrow ?lc_1'$ 
  ultimately show  $\exists c_2' mem_2'. ?lc_2 \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge ?lc_1' \approx \langle c_2', mds', mem_2' \rangle$ 
    by (simp add: strong-low-bisim-mm-def mm-equiv-sym, blast)
next
  show sym mm-equiv
    by (auto simp: sym-def mm-equiv-sym)
qed

end
end

```

3 Compositionality Proof for SIFUM-Security Property

```

theory Compositionality
imports Main Security
begin

context sifum-security
begin

definition differing-vars :: "('Var, 'Val) Mem \Rightarrow (-, -) Mem \Rightarrow 'Var set"
  where
  differing-vars  $mem_1\ mem_2 = \{x. mem_1\ x \neq mem_2\ x\}$ 

definition differing-vars-lists :: "('Var, 'Val) Mem \Rightarrow (-, -) Mem \Rightarrow ((-, -) Mem \times (-, -) Mem) list \Rightarrow nat \Rightarrow 'Var set"
  where
  differing-vars-lists  $mem_1\ mem_2\ mems\ i = (differing-vars\ mem_1\ (fst\ (mems\ !\ i)) \cup differing-vars\ mem_2\ (snd\ (mems\ !\ i)))$ 

lemma differing-finite: finite (differing-vars  $mem_1\ mem_2$ )
  by (metis UNIV-def Un-UNIV-left finite-Un finite-memory)

```

```

lemma differing-lists-finite: finite (differing-vars-lists mem1 mem2 mems i)
by (simp add: differing-finite differing-vars-lists-def)

definition subst :: ('a → 'b) ⇒ ('a ⇒ 'b) ⇒ ('a ⇒ 'b)
where
  subst f mem = (λ x. case f x of
    None ⇒ mem x |
    Some v ⇒ v)

abbreviation subst-abv :: ('a ⇒ 'b) ⇒ ('a → 'b) ⇒ ('a ⇒ 'b) (- [→-] [900, 0]
1000)
where
  f [→ σ] ≡ subst σ f

lemma subst-not-in-dom : [ x ∉ dom σ ] ⇒ mem [→ σ] x = mem x
by (simp add: domIff subst-def)

fun makes-compatible :: ('Com, 'Var, 'Val) GlobalConf ⇒
  ('Com, 'Var, 'Val) GlobalConf ⇒
  ((-, -) Mem × (-, -) Mem) list ⇒
  bool
where
  makes-compatible (cms1, mem1) (cms2, mem2) mems =
  (length cms1 = length cms2 ∧ length cms1 = length mems ∧
  (forall i. i < length cms1 →
    (forall σ. dom σ = differing-vars-lists mem1 mem2 mems i →
      (cms1 ! i, (fst (mems ! i)) [→ σ]) ≈ (cms2 ! i, (snd (mems ! i)) [→ σ]));
    (forall x. (mem1 x = mem2 x ∨ dma x = High) →
      x ∉ differing-vars-lists mem1 mem2 mems i)) ∧
    ((length cms1 = 0 ∧ mem1 =l mem2) ∨ (forall x. ∃ i. i < length cms1 ∧
      x ∉ differing-vars-lists mem1 mem2 mems i)))
  )

lemma makes-compatible-intro [intro]:
  [ length cms1 = length cms2 ∧ length cms1 = length mems;
  (forall i σ. [ i < length cms1; dom σ = differing-vars-lists mem1 mem2 mems i ] ]
  ==>
  (cms1 ! i, (fst (mems ! i)) [→ σ]) ≈ (cms2 ! i, (snd (mems ! i)) [→ σ]);
  (forall i x. [ i < length cms1; mem1 x = mem2 x ∨ dma x = High ] ==>
    x ∉ differing-vars-lists mem1 mem2 mems i);
  (length cms1 = 0 ∧ mem1 =l mem2) ∨
  (forall x. ∃ i. i < length cms1 ∧ x ∉ differing-vars-lists mem1 mem2 mems i) ]
  ==>
  makes-compatible (cms1, mem1) (cms2, mem2) mems
by auto

```

```

lemma compat-low:
   $\llbracket \text{makes-compatible } (\text{cms}_1, \text{mem}_1) (\text{cms}_2, \text{mem}_2) \text{ mems};$ 
   $i < \text{length } \text{cms}_1;$ 
   $x \in \text{differing-vars-lists } \text{mem}_1 \text{ mem}_2 \text{ mems } i \rrbracket \implies \text{dma } x = \text{Low}$ 
proof -
  assume  $i < \text{length } \text{cms}_1$  and  $*: x \in \text{differing-vars-lists } \text{mem}_1 \text{ mem}_2 \text{ mems } i$  and
   $\text{makes-compatible } (\text{cms}_1, \text{mem}_1) (\text{cms}_2, \text{mem}_2) \text{ mems}$ 
  then have
     $(\text{mem}_1 \ x = \text{mem}_2 \ x \vee \text{dma } x = \text{High}) \longrightarrow x \notin \text{differing-vars-lists } \text{mem}_1 \text{ mem}_2 \text{ mems } i$ 
  by (simp add: Let-def, blast)
  with * show  $\text{dma } x = \text{Low}$ 
  by (cases dma x) blast
qed

lemma compat-different:
   $\llbracket \text{makes-compatible } (\text{cms}_1, \text{mem}_1) (\text{cms}_2, \text{mem}_2) \text{ mems};$ 
   $i < \text{length } \text{cms}_1;$ 
   $x \in \text{differing-vars-lists } \text{mem}_1 \text{ mem}_2 \text{ mems } i \rrbracket \implies \text{mem}_1 \ x \neq \text{mem}_2 \ x \wedge \text{dma }$ 
 $x = \text{Low}$ 
by (cases dma x, auto)

lemma sound-modes-no-read :
   $\llbracket \text{sound-mode-use } (\text{cms}, \text{mem}); x \in (\text{map snd } \text{cms} ! i) \text{ GuarNoRead}; i < \text{length } \text{cms} \rrbracket \implies$ 
   $\text{doesnt-read } (\text{fst } (\text{cms} ! i)) \ x$ 
proof -
  fix cms mem x i
  assume sound-modes:  $\text{sound-mode-use } (\text{cms}, \text{mem})$  and  $i < \text{length } \text{cms}$ 
  hence locally-sound-mode-use (cms ! i, mem)
  by (auto simp: sound-mode-use-def list-all-length)
  moreover
  assume  $x \in (\text{map snd } \text{cms} ! i) \text{ GuarNoRead}$ 
  ultimately show  $\text{doesnt-read } (\text{fst } (\text{cms} ! i)) \ x$ 
  apply (simp add: locally-sound-mode-use-def)
  by (metis prod.exhaust <i < length cms> fst-conv loc-reach.refl nth-map snd-conv)
qed

lemma compat-different-vars:
   $\llbracket \text{fst } (\text{mems} ! i) \ x = \text{snd } (\text{mems} ! i) \ x;$ 
   $x \notin \text{differing-vars-lists } \text{mem}_1 \text{ mem}_2 \text{ mems } i \rrbracket \implies$ 
   $\text{mem}_1 \ x = \text{mem}_2 \ x$ 
proof -
  assume  $x \notin \text{differing-vars-lists } \text{mem}_1 \text{ mem}_2 \text{ mems } i$ 
  hence  $\text{fst } (\text{mems} ! i) \ x = \text{mem}_1 \ x \wedge \text{snd } (\text{mems} ! i) \ x = \text{mem}_2 \ x$ 
  by (simp add: differing-vars-lists-def differing-vars-def)
  moreover assume  $\text{fst } (\text{mems} ! i) \ x = \text{snd } (\text{mems} ! i) \ x$ 
  ultimately show  $\text{mem}_1 \ x = \text{mem}_2 \ x$  by auto
qed

```

```

lemma differing-vars-subst [rule-format]:
  assumes domσ: dom σ ⊇ differing-vars mem1 mem2
  shows mem1 [→ σ] = mem2 [→ σ]
  proof (rule ext)
    fix x
    from domσ show mem1 [→ σ] x = mem2 [→ σ] x
      unfolding subst-def differing-vars-def
      by (cases σ x, auto)
  qed

lemma mm-equiv-low-eq:
  [⟨ c1, mds, mem1 ⟩ ≈ ⟨ c2, mds, mem2 ⟩] ⇒ mem1 =mdsl mem2
  unfolding mm-equiv.simps strong-low-bisim-mm-def
  by fast

lemma globally-sound-modes-compatible:
  [⟨ globally-sound-mode-use (cms, mem) ⟩] ⇒ compatible-modes (map snd cms)
  by (simp add: globally-sound-mode-use-def reachable-mode-states-def, auto)

lemma compatible-different-no-read :
  assumes sound-modes: sound-mode-use (cms1, mem1)
    sound-mode-use (cms2, mem2)
  assumes compat: makes-compatible (cms1, mem1) (cms2, mem2) mems
  assumes modes-eq: map snd cms1 = map snd cms2
  assumes ile: i < length cms1
  assumes x: x ∈ differing-vars-lists mem1 mem2 mems i
  shows doesnt-read (fst (cms1 ! i)) x ∧ doesnt-read (fst (cms2 ! i)) x
  proof –
    from compat have len: length cms1 = length cms2
    by simp

  let ?Xi = differing-vars-lists mem1 mem2 mems i

  from compat ile x have a: dma x = Low
  by (metis compat-low)

  from compat ile x have b: mem1 x ≠ mem2 x
  by (metis compat-different)

  with a and compat ile x obtain j where
    jprop: j < length cms1 ∧ x ∉ differing-vars-lists mem1 mem2 mems j
  by fastforce

  let ?Xj = differing-vars-lists mem1 mem2 mems j
  obtain σ :: 'Var → 'Val where domσ: dom σ = ?Xj
  proof
    let ?σ = λ x. if (x ∈ ?Xj) then Some some-val else None

```

```

show dom ?σ = ?Xj unfolding dom-def by auto
qed
let ?mdss = map snd cms1 and
?mems1j = fst (mems ! j) and
?mems2j = snd (mems ! j)

from jprop domσ have subst-eq:
?mems1j [→ σ] x = ?mems1j x ∧ ?mems2j [→ σ] x = ?mems2j x
by (metis subst-not-in-dom)

from compat jprop domσ
have (cms1 ! j, ?mems1j [→ σ]) ≈ (cms2 ! j, ?mems2j [→ σ])
by (auto simp: Let-def)

hence low-eq: ?mems1j [→ σ] = ?mdss ! jl ?mems2j [→ σ] using modes-eq
by (metis (no-types) jprop len mm-equiv-low-eq nth-map surjective-pairing)

with jprop and b have x ∈ (?mdss ! j) AsmNoRead
proof -
{ assume x ∉ (?mdss ! j) AsmNoRead
then have mems-eq: ?mems1j x = ?mems2j x
using ⟨dma x = Low⟩ low-eq subst-eq
by (metis (full-types) low-mds-eq-def subst-eq)

hence mem1 x = mem2 x
by (metis compat-different-vars jprop)

hence False by (metis b)
}
thus ?thesis by metis
qed

hence x ∈ (?mdss ! i) GuarNoRead
using sound-modes jprop
by (metis compatible-modes-def globally-sound-modes-compatible
length-map sound-mode-use.simps x ile)

thus doesnt-read (fst (cms1 ! i)) x ∧ doesnt-read (fst (cms2 ! i)) x using
sound-modes ile
by (metis len modes-eq sound-modes-no-read)
qed

definition func-le :: ('a → 'b) ⇒ ('a → 'b) ⇒ bool (infixl ≤ 60)
where f ≤ g = ( ∀ x ∈ dom f. f x = g x)

fun change-respecting ::
('Com, 'Var, 'Val) LocalConf ⇒
('Com, 'Var, 'Val) LocalConf ⇒
'Var set ⇒

```

```

((('Var → 'Val) ⇒
 ('Var → 'Val)) ⇒ bool
where change-respecting (cms, mem) (cms', mem') X g =
 ((cms, mem) ~> (cms', mem') ∧
 ( ∀ σ. dom σ = X → g σ ⊑ σ) ∧
 ( ∀ σ σ'. dom σ = X ∧ dom σ' = X → dom (g σ) = dom (g σ')) ∧
 ( ∀ σ. dom σ = X → (cms, mem [↑ σ]) ~> (cms', mem' [↑ g σ])))

lemma change-respecting-dom-unique:
 [ change-respecting ⟨c, mds, mem⟩ ⟨c', mds', mem'⟩ X g ] ==>
   ∃ d. ∀ f. dom f = X → d = dom (g f)
by (metis change-respecting.simps)

lemma func-le-restrict: [ f ⊑ g; X ⊆ dom f ] ==> f ↾ X ⊑ g
by (auto simp: func-le-def)

definition to-partial :: ('a ⇒ 'b) ⇒ ('a → 'b)
where to-partial f = (λ x. Some (f x))

lemma func-le-dom: f ⊑ g ==> dom f ⊆ dom g
by (auto simp add: func-le-def, metis domIff option.simps(2))

lemma doesnt-read-mutually-exclusive:
assumes noread: doesnt-read c x
assumes eval: ⟨c, mds, mem⟩ ~> ⟨c', mds', mem'⟩
assumes unchanged: ∀ v. ⟨c, mds, mem (x := v)⟩ ~> ⟨c', mds', mem' (x := v)⟩
shows ¬ ( ∀ v. ⟨c, mds, mem (x := v)⟩ ~> ⟨c', mds', mem'⟩ )
using assms
apply (case-tac mem' x = some-val)
apply (metis (full-types) prod.inject deterministic different-values fun-upd-same)
by (metis (full-types) prod.inject deterministic fun-upd-same)

lemma doesnt-read-mutually-exclusive':
assumes noread: doesnt-read c x
assumes eval: ⟨c, mds, mem⟩ ~> ⟨c', mds', mem'⟩
assumes overwrite: ∀ v. ⟨c, mds, mem (x := v)⟩ ~> ⟨c', mds', mem'⟩
shows ¬ ( ∀ v. ⟨c, mds, mem (x := v)⟩ ~> ⟨c', mds', mem' (x := v)⟩ )
by (metis assms doesnt-read-mutually-exclusive)

lemma change-respecting-dom:
assumes cr: change-respecting (cms, mem) (cms', mem') X g
assumes domσ: dom σ = X
shows dom (g σ) ⊆ X
by (metis assms change-respecting.simps func-le-dom)

lemma change-respecting-intro [iff]:
 [ ⟨c, mds, mem⟩ ~> ⟨c', mds', mem'⟩ ;
   ⋀ f. dom f = X ==>
     g f ⊑ f ∧

```

```


$$(\forall f'. \text{dom } f' = X \longrightarrow \text{dom } (g f) = \text{dom } (g f') \wedge
((\langle c, mds, mem \mid\mapsto f \rangle \rightsquigarrow \langle c', mds', mem' \mid\mapsto g f \rangle)) \]
\implies \text{change-respecting } \langle c, mds, mem \rangle \langle c', mds', mem' \rangle X g
\text{unfolding } \text{change-respecting.simps}
\text{by blast}$$


```

```

lemma conjI3:  $\llbracket A; B; C \rrbracket \implies A \wedge B \wedge C$ 
by simp

```

```

lemma noread-exists-change-respecting:
assumes fin: finite ( $X :: \text{'Var set}$ )
assumes eval:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$ 
assumes noread:  $\forall x \in X. \text{doesnt-read } c x$ 
shows  $\exists (g :: (\text{'Var} \rightarrow \text{'Val}) \Rightarrow (\text{'Var} \rightarrow \text{'Val})). \text{ change-respecting } \langle c, mds, mem \rangle \langle c', mds', mem' \rangle X g$ 
proof -
  let ?lc =  $\langle c, mds, mem \rangle$  and ?lc' =  $\langle c', mds', mem' \rangle$ 
  from fin eval noread show  $\exists g. \text{ change-respecting } \langle c, mds, mem \rangle \langle c', mds', mem' \rangle X g$ 
  proof (induct X arbitrary: mem mem' rule: finite-induct)
    case empty
    let ?g =  $\lambda \sigma. \text{Map.empty}$ 
    have mem  $\mid\mapsto \text{Map.empty} = mem$  mem'  $\mid\mapsto ?g \text{Map.empty} = mem'$ 
      unfolding subst-def
      by auto
    hence change-respecting  $\langle c, mds, mem \rangle \langle c', mds', mem' \rangle \{\} ?g$ 
      using empty
      unfolding change-respecting.simps func-le-def subst-def
      by auto
    thus ?case by auto
  next
    case (insert x X)
    then obtain gx where IH: change-respecting  $\langle c, mds, mem \rangle \langle c', mds', mem' \rangle$ 
    X gx
    by (metis insert-iff)

    define g where g σ =
      (let σ' = σ |` X in
        (if ( $\forall v. \langle c, mds, mem \mid\mapsto \sigma' \rangle (x := v) \rightsquigarrow \langle c', mds', mem' \mid\mapsto gx \sigma' \rangle (x := v)$ ) then
          (λ y :: 'Var.
            if x = y
            then σ y
            else gx σ' y)
          else (λ y. gx σ' y)))
      for σ :: 'Var → 'Val
    have change-respecting  $\langle c, mds, mem \rangle \langle c', mds', mem' \rangle (\text{insert } x X) g$ 
    proof

```

show $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$ **using** *insert by auto*
next — We first show that property (2) is satisfied.
fix $\sigma :: 'Var \rightarrow 'Val$
let $?{\sigma}_X = \sigma |` X$
assume $\text{dom } \sigma = \text{insert } x X$
hence $\text{dom } ?{\sigma}_X = X$
by (*metis dom-restrict inf-absorb2 subset-insertI*)
from *insert* **have** *doesnt-read* $c x$ **by** *auto*
moreover
from *IH* **have** *eval_X*: $\langle c, mds, mem [\mapsto ?{\sigma}_X] \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] \rangle$
using $\langle \text{dom } ?{\sigma}_X = X \rangle$
unfolding *change-respecting.simps*
by *auto*
ultimately have
noread_x:
 $(\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] (x := v) \rangle) \vee$
 $(\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] \rangle)$
unfolding *doesnt-read-def* **by** *auto*
show $g \sigma \preceq \sigma \wedge$
 $(\forall \sigma'. \text{dom } \sigma' = \text{insert } x X \longrightarrow \text{dom } (g \sigma) = \text{dom } (g \sigma') \wedge$
 $\langle c, mds, mem [\mapsto \sigma] \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g \sigma] \rangle$
proof (*rule conjI3*)
from *noread_x* **show** $g \sigma \preceq \sigma$
proof
assume *nowrite*: $\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow$
 $\langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] (x := v) \rangle$
then have *g-simp* [*simp*]: $g \sigma = (\lambda y. \text{if } y = x \text{ then } \sigma y \text{ else } g_X ?{\sigma}_X y)$
unfolding *g-def*
by *auto*
thus $g \sigma \preceq \sigma$
using *IH*
unfolding *g-simp func-le-def*
by (*auto, metis <dom (σ |` X) = X> domI func-le-def restrict-in*)
next
assume *overwrites*: $\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow$
 $\langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] \rangle$
hence
 $\neg (\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] (x := v) \rangle)$
by (*metis <doesnt-read c x> doesnt-read-mutually-exclusive eval_X*)
hence *g-simp* [*simp*]: $g \sigma = g_X ?{\sigma}_X$
unfolding *g-def*
by (*auto simp: Let-def*)
also from *IH* **have** $g_X ?{\sigma}_X \preceq ?{\sigma}_X$
by (*metis <dom (σ |` X) = X> change-respecting.simps*)

ultimately show $g \sigma \preceq \sigma$
unfolding *func-le-def*

by (*auto*, *metis* $\langle \text{dom } (\sigma |' X) = X \rangle \text{ domI restrict-in}$)

qed

next — This part proves that the domain of the family is unique

{

fix $\sigma' :: 'Var \rightarrow 'Val$

assume $\text{dom } \sigma' = \text{insert } x X$

let $?{\sigma'}_X = \sigma' |' X$

have $\text{dom } ?{\sigma'}_X = X$

by (*metis* $\langle \text{dom } (\sigma |' X) = X \rangle \langle \text{dom } \sigma = \text{insert } x X \rangle \langle \text{dom } \sigma' = \text{insert } x X \rangle \text{ dom-restrict}$)

— We first show, that we are always in the same case of the no read assumption:

have *same-case*:

$((\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] (x := v) \rangle) \wedge$

$(\forall v. \langle c, mds, mem [\mapsto ?{\sigma'}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma'}_X] (x := v) \rangle))$

∨

$((\forall v. \langle c, mds, mem [\mapsto ?{\sigma}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma}_X] \rangle)$

∧

$(\forall v. \langle c, mds, mem [\mapsto ?{\sigma'}_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?{\sigma'}_X] \rangle))$

(is $(?N \wedge ?N') \vee (?O \wedge ?O')$ **)**

proof —

— By deriving a contradiction under the assumption that we are in different cases:

have *not-different*:

$\wedge h h'. \llbracket \text{dom } h = \text{insert } x X; \text{dom } h' = \text{insert } x X;$

$\forall v. \langle c, mds, mem [\mapsto h |' X] (x := v) \rangle \rightsquigarrow$

$\langle c', mds', mem' [\mapsto g_X (h |' X)] (x := v) \rangle;$

$\forall v. \langle c, mds, mem [\mapsto h' |' X] (x := v) \rangle \rightsquigarrow$

$\langle c', mds', mem' [\mapsto g_X (h' |' X)] \rangle \llbracket$

$\implies \text{False}$

proof —

— Introduce new names to avoid clashes with functions in the outer scope.

fix $h h' :: 'Var \rightarrow 'Val$

assume $\text{doms}: \text{dom } h = \text{insert } x X \text{ dom } h' = \text{insert } x X$

assume $\text{nowrite}: \forall v. \langle c, mds, mem [\mapsto h |' X] (x := v) \rangle \rightsquigarrow$

$\langle c', mds', mem' [\mapsto g_X (h |' X)] (x := v) \rangle$

assume $\text{overwrite}: \forall v. \langle c, mds, mem [\mapsto h' |' X] (x := v) \rangle \rightsquigarrow$

$\langle c', mds', mem' [\mapsto g_X (h' |' X)] \rangle$

let $?h_X = h |' X$

let $?h'_X = h' |' X$

have $\text{dom } ?h_X = X$

by (*metis* $\langle \text{dom } (\sigma |' X) = X \rangle \langle \text{dom } \sigma = \text{insert } x X \rangle \text{ dom-restrict}$)

```

 $\text{doms}(1))$ 
have  $\text{dom } ?h'_X = X$ 
by (metis  $\langle \text{dom } (\sigma \mid' X) = X \rangle \langle \text{dom } \sigma = \text{insert } x X \rangle \text{ dom-restrict}$ 
 $\text{doms}(2))$ 

with IH have  $\text{eval}_X': \langle c, mds, mem \mid\mapsto ?h'_X \rangle \rightsquigarrow \langle c', mds', mem' \mid\mapsto g_X ?h'_X \rangle$ 
unfolding change-respecting.simps
by auto
with  $\langle \text{doesnt-read } c x \rangle$  have  $\text{noread}_x':$ 
 $(\forall v. \langle c, mds, mem \mid\mapsto ?h'_X \rangle (x := v)) \rightsquigarrow \langle c', mds', mem' \mid\mapsto g_X ?h'_X \rangle$ 
 $(x := v)) \vee$ 
 $(\forall v. \langle c, mds, mem \mid\mapsto ?h'_X \rangle (x := v)) \rightsquigarrow \langle c', mds', mem' \mid\mapsto g_X$ 
 $?h'_X \rangle)$ 
unfolding doesnt-read-def
by auto

from overwrite obtain  $v$  where
 $\neg (\langle c, mds, mem \mid\mapsto h' \mid' X \rangle (x := v)) \rightsquigarrow$ 
 $\langle c', mds', mem' \mid\mapsto g_X (h' \mid' X) \rangle (x := v))$ 
by (metis  $\langle \text{doesnt-read } c x \rangle \text{ doesnt-read-mutually-exclusive fun-upd-triv}$ )
moreover

have  $x \notin \text{dom } (?h'_X)$ 
by (metis  $\langle \text{dom } (h' \mid' X) = X \rangle \text{ insert}(2)$ )
with IH have  $x \notin \text{dom } (g_X ?h'_X)$ 
by (metis  $\langle \text{dom } (h' \mid' X) = X \rangle \text{ change-respecting.simps func-le-dom}$ 
 $\text{rev-subsetD})$ 

ultimately have  $mem' x \neq v$ 
by (metis fun-upd-triv overwrite subst-not-in-dom)

let  $?mem_v = mem (x := v)$ 

obtain  $mem'_v$  where  $\langle c, mds, ?mem_v \rangle \rightsquigarrow \langle c', mds', mem'_v \rangle$ 
using insert  $\langle \text{doesnt-read } c x \rangle$ 
unfolding doesnt-read-def
by (auto, metis)
also have  $\forall x \in X. \text{doesnt-read } c x$ 
by (metis insert(5) insert-iff)

ultimately obtain  $g_v$  where
 $IH_v: \text{change-respecting } \langle c, mds, ?mem_v \rangle \langle c', mds', mem'_v \rangle X g_v$ 
by (metis insert(3))

hence  $\text{eval}_v: \langle c, mds, ?mem_v \mid\mapsto ?h_X \rangle \rightsquigarrow \langle c', mds', mem'_v \mid\mapsto g_v ?h_X \rangle$ 
 $\langle c, mds, ?mem_v \mid\mapsto ?h'_X \rangle \rightsquigarrow \langle c', mds', mem'_v \mid\mapsto g_v ?h'_X \rangle$ 
apply (metis  $\langle \text{dom } (h \mid' X) = X \rangle \text{ change-respecting.simps}$ )
by (metis  $IH_v \langle \text{dom } (h' \mid' X) = X \rangle \text{ change-respecting.simps}$ )

```

```

from evalv(1) have memv' x = v
proof -
  assume ⟨c, mds, mem (x := v) [→ ?hX]⟩ ~⟨c', mds', memv' [→ gv ?hX]⟩
  have ?memv [→ ?hX] = mem [→ ?hX] (x := v)
    apply (rule ext, rename-tac y)
    apply (case-tac y = x)
    apply (auto simp: subst-def)
    apply (metis (full-types) ‹dom (h |` X) = X› fun-upd-def
      insert(2) subst-def subst-not-in-dom)
    by (metis fun-upd-other)

  with nowrite have memv' [→ gv ?hX] = mem' [→ gX ?hX] (x := v)
  using deterministic
  by (erule-tac x = v in allE, auto, metis evalv(1))

  hence memv' [→ gv ?hX] x = v
    by simp
  also have x ∉ dom (gv ?hX)
    using IHv ‹dom ?hX = X› change-respecting-dom
    by (metis func-le-dom insert(2) rev-subsetD)
  ultimately show memv' x = v
    by (metis subst-not-in-dom)
qed
moreover
from evalv(2) have memv' x = mem' x
proof -
  assume ⟨c, mds, ?memv [→ ?h'X]⟩ ~⟨c', mds', memv' [→ gv ?h'X]⟩
  moreover
    from overwrite have
      ⟨c, mds, mem [→ ?h'X] (x := v)⟩ ~⟨c', mds', mem' [→ gX ?h'X]⟩
      by auto
    moreover
      have ?memv [→ ?h'X] = mem [→ ?h'X] (x := v)
        apply (rule ext, rename-tac y)
        apply (case-tac y = x)
        apply (metis ‹x ∉ dom (h' |` X)› fun-upd-apply subst-not-in-dom)
        apply (auto simp: subst-def)
        by (metis fun-upd-other)
      ultimately have mem' [→ gX ?h'X] = memv' [→ gv ?h'X]
        using deterministic
        by auto
      also have x ∉ dom (gv ?h'X)
        using IHv ‹dom ?h'X = X› change-respecting-dom
        by (metis func-le-dom insert(2) subsetD)
      ultimately show memv' x = mem' x
        using ‹x ∉ dom (gX ?h'X)›
        by (metis subst-not-in-dom)

```

```

qed
ultimately show False
  using ⟨mem' x ≠ v⟩
  by auto
qed

moreover
have dom ?σ'X = X
  by (metis ⟨dom (σ ∣‘ X) = X⟩ ⟨dom σ = insert x X⟩ ⟨dom σ' = insert
x X⟩ dom-restrict)
with IH have evalX': ⟨c, mds, mem [↪ ?σ'X]⟩ ~⟨ c', mds', mem' [↪ gX
?σ'X]⟩
  unfolding change-respecting.simps
  by auto
with ⟨doesnt-read c x⟩ have noread_x':
  ( ∀ v. ⟨c, mds, mem [↪ ?σ'X] (x := v)⟩ ~⟨ c', mds', mem' [↪ gX ?σ'X]
(x := v))) ∨
  ( ∀ v. ⟨c, mds, mem [↪ ?σ'X] (x := v)⟩ ~⟨ c', mds', mem' [↪ gX
?σ'X]⟩)
  unfolding doesnt-read-def
  by auto

ultimately show ?thesis
  using noread_x not-different ⟨dom σ = insert x X⟩ ⟨dom σ' = insert x X⟩
  by auto
qed
hence dom (g σ) = dom (g σ')
proof
  assume
    ( ∀ v. ⟨c, mds, mem [↪ ?σX] (x := v)⟩ ~⟨ c', mds', mem' [↪ gX ?σX]
(x := v))) ∧
    ( ∀ v. ⟨c, mds, mem [↪ ?σ'X] (x := v)⟩ ~⟨ c', mds', mem' [↪ gX ?σ'X]
(x := v)))
  hence g-simp [simp]: g σ = (λ y. if y = x then σ y else gX ?σX y) ∧
    g σ' = (λ y. if y = x then σ' y else gX ?σ'X y)
  unfolding g-def
  by auto
thus ?thesis
  using IH ⟨dom σ = insert x X⟩ ⟨dom σ' = insert x X⟩
  unfolding change-respecting.simps
  apply (auto simp: domD)
  apply (metis ⟨dom (σ ∣‘ X) = X⟩ ⟨dom (σ' ∣‘ X) = X⟩ domD domI)
  by (metis ⟨dom (σ ∣‘ X) = X⟩ ⟨dom (σ' ∣‘ X) = X⟩ domD domI)

next
assume
( ∀ v. ⟨c, mds, mem [↪ ?σX] (x := v)⟩ ~⟨ c', mds', mem' [↪ gX ?σX]⟩)
∧

```

```

 $(\forall v. \langle c, mds, mem [\mapsto ?\sigma'_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?\sigma'_X] \rangle)$ 
hence
 $\neg (\forall v. \langle c, mds, mem [\mapsto ?\sigma_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?\sigma_X] (x := v) \rangle)$ 
 $\wedge$ 
 $\neg (\forall v. \langle c, mds, mem [\mapsto ?\sigma'_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?\sigma'_X] (x := v) \rangle)$ 
by (metis `doesnt-read c x` `doesnt-read-mutually-exclusive' fun-upd-triv)

hence g-simp [simp]:  $g \sigma = g_X ?\sigma_X \wedge g \sigma' = g_X ?\sigma'_X$ 
unfolding g-def
by (auto simp: Let-def)
with IH show ?thesis
unfolding change-respecting.simps
by (metis `dom (σ |` X) = X` `dom (σ' |` X) = X`)
qed
}
thus  $\forall \sigma'. \text{dom } \sigma' = \text{insert } x X \longrightarrow \text{dom } (g \sigma) = \text{dom } (g \sigma')$  by blast
next

from noreadx show  $\langle c, mds, mem [\mapsto \sigma] \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g \sigma] \rangle$ 
proof
assume nowrite:
 $\forall v. \langle c, mds, mem [\mapsto ?\sigma_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?\sigma_X] (x := v) \rangle$ 
then have g-simp [simp]:  $g \sigma = (\lambda y. \text{if } y = x \text{ then } \sigma y \text{ else } g_X ?\sigma_X y)$ 
unfolding g-def
by auto
obtain v where  $\sigma x = \text{Some } v$ 
by (metis `dom σ = insert x X` domD insertI1)

from nowrite have
 $\langle c, mds, mem [\mapsto ?\sigma_X] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g_X ?\sigma_X] (x := v) \rangle$ 
by auto
moreover
have  $\text{mem} [\mapsto ?\sigma_X] (x := v) = \text{mem} [\mapsto \sigma]$ 
apply (rule ext, rename-tac y)
apply (case-tac y = x)
apply (auto simp: subst-def)
apply (metis `σ x = Some v` option.simps(5))
by (metis `dom (σ |` X) = X` `dom σ = insert x X` insertE
      restrict-in subst-def subst-not-in-dom)
moreover
have  $\text{mem}' [\mapsto g_X ?\sigma_X] (x := v) = \text{mem}' [\mapsto g \sigma]$ 
apply (rule ext, rename-tac y)
apply (case-tac y = x)
by (auto simp: subst-def option.simps `σ x = Some v`)
ultimately show ?thesis
by auto

```

```

next
assume overwrites:
 $\forall v. \langle c, mds, mem [ \mapsto ?\sigma_X ] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [ \mapsto g_X ?\sigma_X ] \rangle$ 
hence
 $\neg (\forall v. \langle c, mds, mem [ \mapsto ?\sigma_X ] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [ \mapsto g_X ?\sigma_X ] (x := v) \rangle)$ 
by (metis `doesnt-read c x` `doesnt-read-mutually-exclusive` evalX)
hence g-simp [simp]:  $g \sigma = g_X ?\sigma_X$ 
unfolding g-def
by (auto simp: Let-def)
obtain v where  $\sigma x = Some v$ 
by (metis `dom σ = insert x X` domD insertI1)
have mem [  $\mapsto ?\sigma_X$  ] (x := v) = mem [  $\mapsto \sigma$  ]
apply (rule ext, rename-tac y)
apply (case-tac y = x)
apply (auto simp: subst-def)
apply (metis `σ x = Some v` option.simps(5))
by (metis `dom (σ |` X) = X` `dom σ = insert x X` insertE
      restrict-in subst-def subst-not-in-dom)
moreover
from overwrites have  $\langle c, mds, mem [ \mapsto ?\sigma_X ] (x := v) \rangle \rightsquigarrow \langle c', mds', mem' [ \mapsto g \sigma ] \rangle$ 
by (metis g-simp)
ultimately show  $\langle c, mds, mem [ \mapsto \sigma ] \rangle \rightsquigarrow \langle c', mds', mem' [ \mapsto g \sigma ] \rangle$ 
by auto
qed
qed
qed
thus  $\exists g. change-respecting \langle c, mds, mem \rangle \langle c', mds', mem' \rangle (insert x X) g$ 
by metis
qed
qed

lemma differing-vars-neg:  $x \notin \text{differing-vars-lists } mem1 \text{ } mem2 \text{ } mems \text{ } i \implies$ 
 $(fst (mems ! i) x = mem1 x \wedge snd (mems ! i) x = mem2 x)$ 
by (simp add: differing-vars-lists-def differing-vars-def)

lemma differing-vars-neg-intro:
 $\llbracket mem1 x = fst (mems ! i) x; mem2 x = snd (mems ! i) x \rrbracket \implies x \notin \text{differing-vars-lists } mem1 \text{ } mem2 \text{ } mems \text{ } i$ 
by (auto simp: differing-vars-lists-def differing-vars-def)

lemma differing-vars-elim [elim]:
 $x \in \text{differing-vars-lists } mem1 \text{ } mem2 \text{ } mems \text{ } i \implies$ 
 $(fst (mems ! i) x \neq mem1 x) \vee (snd (mems ! i) x \neq mem2 x)$ 
by (auto simp: differing-vars-lists-def differing-vars-def)

lemma subst-overrides:  $\text{dom } \sigma = \text{dom } \tau \implies \text{mem} [ \mapsto \tau ] [ \mapsto \sigma ] = \text{mem} [ \mapsto \sigma ]$ 
unfolding subst-def

```

by (metis domIff option.exhaust option.simps(4) option.simps(5))

lemma dom-restrict-total: $\text{dom}(\text{to-partial } f \mid^{\epsilon} X) = X$
unfolding to-partial-def
by (metis Int-UNIV-left dom-const dom-restrict)

lemma update-nth-eq:
 $\llbracket xs = ys; n < \text{length } xs \rrbracket \implies xs = ys [n := xs ! n]$
by (metis list-update-id)

This property is obvious, so an unreadable apply-style proof is acceptable here:

lemma mm-equiv-step:
assumes bisim: $(\text{cms}_1, \text{mem}_1) \approx (\text{cms}_2, \text{mem}_2)$
assumes modes-eq: $\text{snd cms}_1 = \text{snd cms}_2$
assumes step: $(\text{cms}_1, \text{mem}_1) \rightsquigarrow (\text{cms}'_1, \text{mem}'_1)$
shows $\exists c_2' \text{mem}_2'. (\text{cms}_2, \text{mem}_2) \rightsquigarrow \langle c_2', \text{snd cms}'_1, \text{mem}'_2 \rangle \wedge$
 $(\text{cms}'_1, \text{mem}'_1) \approx \langle c_2', \text{snd cms}'_1, \text{mem}'_2 \rangle$
using assms mm-equiv-strong-low-bisim
unfolding strong-low-bisim-mm-def
apply auto
apply (erule-tac $x = \text{fst cms}_1$ in allE)
apply (erule-tac $x = \text{snd cms}_1$ in allE)
by (metis surjective-pairing)

lemma change-respecting-doesnt-modify:
assumes cr: change-respecting (cms, mem) (cms', mem') $X g$
assumes eval: $(\text{cms}, \text{mem}) \rightsquigarrow (\text{cms}', \text{mem}')$
assumes domf: $\text{dom } f = X$
assumes x-in-dom: $x \in \text{dom}(g f)$
assumes noread: doesnt-read (fst cms) x
shows $\text{mem } x = \text{mem}' x$
proof –
let $?f' = \text{to-partial } \text{mem} \mid^{\epsilon} X$
have domf': $\text{dom } ?f' = X$
by (metis dom-restrict-total)

from cr **and** eval **have** $\forall f. \text{dom } f = X \longrightarrow (\text{cms}, \text{mem} [\mapsto f]) \rightsquigarrow (\text{cms}', \text{mem}' [\mapsto g f])$
unfolding change-respecting.simps
by metis
hence eval': $(\text{cms}, \text{mem} [\mapsto ?f']) \rightsquigarrow (\text{cms}', \text{mem}' [\mapsto g ?f'])$
by (metis domf')

have mem-eq: $\text{mem} [\mapsto ?f'] = \text{mem}$
proof
fix x
show $\text{mem} [\mapsto ?f'] x = \text{mem } x$
unfolding subst-def

```

apply (cases  $x \in X$ )
  apply (metis option.simps(5) restrict-in to-partial-def)
  by (metis domf' subst-def subst-not-in-dom)
qed

then have mem'-eq: mem' [ $\mapsto g ?f'$ ] = mem'
  using eval eval' deterministic
  by (metis Pair-inject)

moreover
have dom (g ?f') = dom (g f)
  by (metis change-respecting.simps cr domf domf')
hence x-in-dom':  $x \in \text{dom} (g ?f')$ 
  by (metis x-in-dom)
have  $x \in X$ 
  by (metis change-respecting.simps cr domf func-le-dom in-mono x-in-dom)
hence ?f' x = Some (mem x)
  by (metis restrict-in to-partial-def)
hence g ?f' x = Some (mem x)
  using cr func-le-def
  by (metis change-respecting.simps domf' x-in-dom')

hence mem' [ $\mapsto g ?f'$ ] x = mem x
  using subst-def x-in-dom'
  by (metis option.simps(5))
thus mem x = mem' x
  by (metis mem'-eq)
qed

```

type-synonym ('var, 'val) adaptation = 'var \rightarrow ('val \times 'val)

```

definition apply-adaptation :: 
  bool  $\Rightarrow$  ('Var, 'Val) Mem  $\Rightarrow$  ('Var, 'Val) adaptation  $\Rightarrow$  ('Var, 'Val) Mem
  where apply-adaptation first mem A =
     $(\lambda x. \text{case } (A x) \text{ of}$ 
      Some ( $v_1, v_2$ )  $\Rightarrow$  if first then  $v_1$  else  $v_2$ 
      | None  $\Rightarrow$  mem x)

abbreviation apply-adaptation1 :: 
  ('Var, 'Val) Mem  $\Rightarrow$  ('Var, 'Val) adaptation  $\Rightarrow$  ('Var, 'Val) Mem
  (- [|1 -] [900, 0] 1000)
  where mem [|1 A]  $\equiv$  apply-adaptation True mem A

abbreviation apply-adaptation2 :: 
  ('Var, 'Val) Mem  $\Rightarrow$  ('Var, 'Val) adaptation  $\Rightarrow$  ('Var, 'Val) Mem
  (- [|2 -] [900, 0] 1000)
  where mem [|2 A]  $\equiv$  apply-adaptation False mem A

```

```

definition restrict-total :: ('a ⇒ 'b) ⇒ 'a set ⇒ 'a → 'b
  where restrict-total f A = to-partial f | ` A

lemma differing-empty-eq:
  [ differ-ing-vars mem mem' = {} ] ⇒ mem = mem'
  unfolding differ-ing-vars-def
  by auto

definition globally-consistent-var :: ('Var, 'Val) adaptation ⇒ 'Var Mds ⇒ 'Var
  ⇒ bool
  where globally-consistent-var A mds x ≡
    (case A x of
      Some (v, v') ⇒ x ∉ mds AsmNoWrite ∧ (dma x = Low → v = v')
      | None ⇒ True)

definition globally-consistent :: ('Var, 'Val) adaptation ⇒ 'Var Mds ⇒ bool
  where globally-consistent A mds ≡ finite (dom A) ∧
    ( ∀ x ∈ dom A. globally-consistent-var A mds x)

definition gc2 :: ('Var, 'Val) adaptation ⇒ 'Var Mds ⇒ bool
  where gc2 A mds = ( ∀ x ∈ dom A. globally-consistent-var A mds x)

lemma globally-consistent-dom:
  [ globally-consistent A mds; X ⊆ dom A ] ⇒ globally-consistent (A | ` X) mds
  unfolding globally-consistent-def globally-consistent-var-def
  by (metis (no-types) IntE dom-restrict inf-absorb2 restrict-in rev-finite-subset)

lemma globally-consistent-writable:
  [ x ∈ dom A; globally-consistent A mds ] ⇒ x ∉ mds AsmNoWrite
  unfolding globally-consistent-def globally-consistent-var-def
  by (metis (no-types) domD option.simps(5) split-part)

lemma globally-consistent-loweq:
  assumes globally-consistent: globally-consistent A mds
  assumes some: A x = Some (v, v')
  assumes low: dma x = Low
  shows v = v'
  proof –
    from some have x ∈ dom A
    by (metis domI)
    hence case A x of None ⇒ True | Some (v, v') ⇒ (dma x = Low → v = v')
    using globally-consistent
    unfolding globally-consistent-def globally-consistent-var-def
    by (metis option.simps(5) some split-part)
    with ⟨dma x = Low⟩ show ?thesis
    unfolding some
    by auto
  qed

```

```

lemma globally-consistent-adapt-bisim:
  assumes bisim:  $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle$ 
  assumes globally-consistent: globally-consistent A mds
  shows  $\langle c_1, mds, mem_1 [\parallel_1 A] \rangle \approx \langle c_2, mds, mem_2 [\parallel_2 A] \rangle$ 
proof -
  from globally-consistent have finite (dom A)
  by (auto simp: globally-consistent-def)
  thus ?thesis
    using globally-consistent
  proof (induct dom A arbitrary: A rule: finite-induct)
    case empty
    hence  $\bigwedge x. A x = \text{None}$ 
    by auto
    hence  $mem_1 [\parallel_1 A] = mem_1$  and  $mem_2 [\parallel_2 A] = mem_2$ 
    unfolding apply-adaptation-def
    by auto
    with bisim show ?case
    by auto
  next
    case (insert x X)
    define A' where  $A' = A \mid^x X$ 
    hence  $\text{dom } A' = X$ 
    by (metis Int-insert-left-if0 dom-restrict inf-absorb2 insert(2) insert(4) order-refl)
    moreover
    from insert have globally-consistent A' mds
    by (metis A'-def globally-consistent-dom subset-insertI)
    ultimately have bisim':  $\langle c_1, mds, mem_1 [\parallel_1 A'] \rangle \approx \langle c_2, mds, mem_2 [\parallel_2 A'] \rangle$ 
    using insert
    by metis
    with insert have writable:  $x \notin mds \text{ AsmNoWrite}$ 
    by (metis globally-consistent-writable insertI1)
    from insert obtain v v' where A x = Some (v, v')
    unfolding globally-consistent-def globally-consistent-var-def
    by (metis (no-types) domD insert-iff option.simps(5) case-prodE)

    have A-A':  $\bigwedge y. y \neq x \implies A y = A' y$ 
    unfolding A'-def
    by (metis domIff insert(4) insert-iff restrict-in restrict-out)

    have eq1:  $mem_1 [\parallel_1 A'] (x := v) = mem_1 [\parallel_1 A]$ 
    unfolding apply-adaptation-def A'-def
    apply (rule ext, rename-tac y)
    apply (case-tac x = y)
    apply auto
    apply (metis `A x = Some (v, v')` option.simps(5) split-conv)
    by (metis A'-def A-A')

```

```

have eq2:  $\text{mem}_2[\parallel_2 A](x := v') = \text{mem}_2[\parallel_2 A]$ 
  unfolding apply-adaptation-def  $A'$ -def
  apply (rule ext, rename-tac y)
  apply (case-tac  $x = y$ )
  apply auto
  apply (metis ‹A x = Some (v, v')› option.simps(5) split-conv)
  by (metis  $A'$ -def  $A$ - $A'$ )

show ?case
proof (cases dma x)
  assume dma x = High
  hence ‹c1, mds, mem1[\parallel_1 A](x := v) ≈ c2, mds, mem2[\parallel_2 A](x := v')›
    using mm-equiv-glob-consistent
    unfolding closed-glob-consistent-def
    by (metis bisim' ‹x ∉ mds AsmNoWrite›)
  thus ?case using eq1 eq2
    by auto
next
  assume dma x = Low
  hence v = v'
    by (metis ‹A x = Some (v, v')› globally-consistent-loweq insert.prems)
  moreover
  from writable and bisim have
    ‹c1, mds, mem1[\parallel_1 A](x := v) ≈ c2, mds, mem2[\parallel_2 A](x := v)›
    using mm-equiv-glob-consistent
    unfolding closed-glob-consistent-def
    by (metis ‹dma x = Low› bisim')
  ultimately show ?case using eq1 eq2
    by auto
qed
qed
qed

```

lemma makes-compatible-invariant:

```

assumes sound-modes: sound-mode-use (cms1, mem1)
           sound-mode-use (cms2, mem2)
assumes compat: makes-compatible (cms1, mem1) (cms2, mem2) mems
assumes modes-eq: map snd cms1 = map snd cms2
assumes eval: (cms1, mem1) → (cms1', mem1')
obtains cms2' mem2' mems' where
  map snd cms1' = map snd cms2' ∧
  (cms2, mem2) → (cms2', mem2') ∧
  makes-compatible (cms1', mem1') (cms2', mem2') mems'

proof –
  let ?X = λ i. differing-vars-lists mem1 mem2 mems i
  from sound-modes compat modes-eq have
    a: ∀ i < length cms1. ∀ x ∈ (?X i). doesnt-read (fst (cms1 ! i)) x ∧
      doesnt-read (fst (cms2 ! i)) x

```

```

by (metis compatible-different-no-read)
from eval obtain k where
  b:  $k < \text{length } cms_1 \wedge (cms_1 ! k, mem_1) \rightsquigarrow (cms_1' ! k, mem_1') \wedge$ 
     $cms_1' = cms_1 [k := cms_1' ! k]$ 
by (metis meval-elim nth-list-update-eq)

from modes-eq have equal-size: length cms_1 = length cms_2
by (metis length-map)

let ?mds_k = snd (cms_1 ! k) and
  ?mds_k' = snd (cms_1' ! k) and
  ?mems_1_k = fst (mems ! k) and
  ?mems_2_k = snd (mems ! k) and
  ?n = length cms_1

have finite (?X k)
by (metis differing-lists-finite)

then obtain g1 where
  c: change-respecting (cms_1 ! k, mem_1) (cms_1' ! k, mem_1') (?X k) g1
  using noread-exists-change-respecting b a
  by (metis surjective-pairing)

from compat have  $\bigwedge \sigma. \text{dom } \sigma = ?X k \implies ?mems_1_k [\mapsto \sigma] = mem_1 [\mapsto \sigma]$ 
by (metis (no-types) Un-upper1 differing-vars-lists-def differing-vars-subst)

with b and c have
  eval $\sigma$ :  $\bigwedge \sigma. \text{dom } \sigma = ?X k \implies (cms_1 ! k, ?mems_1_k [\mapsto \sigma]) \rightsquigarrow (cms_1' ! k, mem_1' [\mapsto g1 \sigma])$ 
  by auto

moreover
with b and compat have
  bisim $\sigma$ :  $\bigwedge \sigma. \text{dom } \sigma = ?X k \implies (cms_1 ! k, ?mems_1_k [\mapsto \sigma]) \approx (cms_2 ! k, ?mems_2_k [\mapsto \sigma])$ 
  by auto

moreover have  $\text{snd} (cms_1 ! k) = \text{snd} (cms_2 ! k)$ 
by (metis b equal-size modes-eq nth-map)

ultimately have d:  $\bigwedge \sigma. \text{dom } \sigma = ?X k \implies \exists c_f' mem_f'.$ 
   $(cms_2 ! k, ?mems_2_k [\mapsto \sigma]) \rightsquigarrow \langle c_f', ?mds_k', mem_f' \rangle \wedge$ 
   $(cms_1' ! k, mem_1' [\mapsto g1 \sigma]) \approx \langle c_f', ?mds_k', mem_f' \rangle$ 
by (metis mm-equiv-step)

obtain h :: 'Var -> 'Val where dom h = ?X k
by (metis dom-restrict-total)

```

then obtain $c_h \ mem_h$ **where** $h\text{-prop}$:
 $(cms_2 ! k, ?mems_2k [\mapsto h]) \rightsquigarrow \langle c_h, ?mds_k', mem_h \rangle \wedge$
 $(cms_1' ! k, mem_1' [\mapsto g1 h]) \approx \langle c_h, ?mds_k', mem_h \rangle$
using d
by *metis*

then obtain $g2$ **where** e :
change-respecting $(cms_2 ! k, ?mems_2k [\mapsto h]) \langle c_h, ?mds_k', mem_h \rangle (?X k) g2$
using $a b$ *noread-exists-change-respecting*
by (*metis differing-lists-finite surjective-pairing*)

- The following statements are universally quantified since they are reused later:

with $h\text{-prop}$ **have**

$$\forall \sigma. \ dom \sigma = ?X k \longrightarrow$$

$$(cms_2 ! k, ?mems_2k [\mapsto h] [\mapsto \sigma]) \rightsquigarrow \langle c_h, ?mds_k', mem_h [\mapsto g2 \sigma] \rangle$$

unfolding *change-respecting.simps*
by *auto*

with $domh$ **have** f :

$$\forall \sigma. \ dom \sigma = ?X k \longrightarrow$$

$$(cms_2 ! k, ?mems_2k [\mapsto \sigma]) \rightsquigarrow \langle c_h, ?mds_k', mem_h [\mapsto g2 \sigma] \rangle$$

by (*auto simp: subst-overrides*)

from d **and** f **have** $g: \bigwedge \sigma. \ dom \sigma = ?X k \implies$

$$(cms_2 ! k, ?mems_2k [\mapsto \sigma]) \rightsquigarrow \langle c_h, ?mds_k', mem_h [\mapsto g2 \sigma] \rangle \wedge$$

$$(cms_1' ! k, mem_1' [\mapsto g1 \sigma]) \approx \langle c_h, ?mds_k', mem_h [\mapsto g2 \sigma] \rangle$$

using $h\text{-prop}$
by (*metis deterministic*)

let $?{\sigma}\text{-mem}_2 = to\text{-partial} \ mem_2 \mid` ?X k$
define mem_2' **where** $mem_2' = mem_h [\mapsto g2 ?{\sigma}\text{-mem}_2]$
define c_2' **where** $c_2' = c_h$

have $dom{\sigma}\text{-mem}_2: dom ?{\sigma}\text{-mem}_2 = ?X k$
by (*metis dom-restrict-total*)

have $mem_2 = ?mems_2k [\mapsto ?{\sigma}\text{-mem}_2]$
proof (*rule ext*)

- fix** x
- show** $mem_2 x = ?mems_2k [\mapsto ?{\sigma}\text{-mem}_2] x$
- using** *domσ-mem2*
- unfolding** *to-partial-def subst-def*
- apply** (*cases* $x \in ?X k$)
- apply** *auto*
- by** (*metis differing-vars-neg*)

qed

with $f \ dom{\sigma}\text{-mem}_2$ **have** $i: (cms_2 ! k, mem_2) \rightsquigarrow \langle c_2', ?mds_k', mem_2' \rangle$
unfolding *mem2'-def c2'-def*
by *metis*

```

define cms2' where cms2' = cms2 [k := (c2', ?mdsk')]

with i b equal-size have (cms2, mem2) → (cms2', mem2)
  by (metis meval-intro)

moreover
from equal-size have new-length: length cms1' = length cms2'
  unfolding cms2'-def
  by (metis eval length-list-update meval-elim)

with modes-eq have map snd cms1' = map snd cms2'
  unfolding cms2'-def
  by (metis b map-update snd-conv)

moreover
from c and e obtain dom-g1 dom-g2 where
  dom-uniq:  $\bigwedge \sigma. \text{dom } \sigma = ?X k \implies \text{dom-g1} = \text{dom } (g1 \sigma)$ 
   $\bigwedge \sigma. \text{dom } \sigma = ?X k \implies \text{dom-g2} = \text{dom } (g2 \sigma)$ 
  by (metis change-respecting.simps domh)

— This is the complicated part of the proof.
obtain mems' where makes-compatible (cms1', mem1') (cms2', mem2') mems'
proof
  define mems'-k
    where mems'-k x =
      (if x ∈ ?X k
        then (mem1' x, mem2' x)
        else if (x ∉ dom-g1) ∨ (x ∉ dom-g2)
          then (mem1' x, mem2' x)
          else (?mems1k x, ?mems2k x)) for x
  — This is used in two of the following cases, so we prove it beforehand:
  have x-unchanged:  $\bigwedge x. \llbracket x \in ?X k; x \in \text{dom-g1}; x \in \text{dom-g2} \rrbracket \implies$ 
    mem1 x = mem1' x ∧ mem2 x = mem2' x
  proof
    fix x
    assume x ∈ ?X k and x ∈ dom-g1
    thus mem1 x = mem1' x
      using a b c
      by (metis change-respecting-doesnt-modify dom-uniq(1) domh)
  next
    fix x
    assume x ∈ ?X k and x ∈ dom-g2
    hence eq-mem2: ?σ-mem2 x = Some (mem2 x)
      by (metis restrict-in to-partial-def)
    hence ?mems2k [↑ h] [↑ ?σ-mem2] x = mem2 x
      by (auto simp: subst-def)

```

```

moreover
from < $x \in \text{dom-}g2\right> \text{dom-}uniq e \text{ have } g\text{-eq: } g2 \ ?\sigma\text{-mem}_2 x = \text{Some } (\text{mem}_2 x)$ 
```

unfolding *change-respecting.simps func-le-def*
by (*metis dom-restrict-total eq-mem₂*)
hence $\text{mem}_h [\mapsto g2 \ ?\sigma\text{-mem}_2] x = \text{mem}_2 x$
by (*auto simp: subst-def*)

ultimately have $?mems_2 k [\mapsto h] [\mapsto ?\sigma\text{-mem}_2] x = \text{mem}_h [\mapsto g2 \ ?\sigma\text{-mem}_2]$

x
by *auto*
thus $\text{mem}_2 x = \text{mem}_2' x$
by (*metis <mem₂ = ?mems₂ k [mapto ?σ-mem₂] domσ-mem₂ domh mem₂'-def subst-overrides*)
qed

define $\text{mems}'\text{-}i$
where $\text{mems}'\text{-}i i x =$
 $(if ((\text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x) \wedge$
 $(\text{mem}_1' x = \text{mem}_2' x \vee \text{dma } x = \text{High}))$
 $then (\text{mem}_1' x, \text{mem}_2' x)$
 $else if ((\text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x) \wedge$
 $(\text{mem}_1' x \neq \text{mem}_2' x \wedge \text{dma } x = \text{Low}))$
 $then (\text{some-val}, \text{some-val})$
 $else (\text{fst } (\text{mems} ! i) x, \text{snd } (\text{mems} ! i) x)) \text{ for } i x$

define mems'
where $\text{mems}' =$
 $\text{map } (\lambda i.$
 $if i = k$
 $then (\text{fst } \circ \text{mems}'\text{-}k, \text{snd } \circ \text{mems}'\text{-}k)$
 $else (\text{fst } \circ \text{mems}'\text{-}i i, \text{snd } \circ \text{mems}'\text{-}i i))$
 $[0..< \text{length } \text{cms}_1]$

from *b* **have** $\text{mems}'\text{-}k\text{-simp}: \text{mems}' ! k = (\text{fst } \circ \text{mems}'\text{-}k, \text{snd } \circ \text{mems}'\text{-}k)$
unfolding $\text{mems}'\text{-def}$
by *auto*

have $\text{mems}'\text{-simp2}: \llbracket i \neq k; i < \text{length } \text{cms}_1 \rrbracket \implies$
 $\text{mems}' ! i = (\text{fst } \circ \text{mems}'\text{-}i i, \text{snd } \circ \text{mems}'\text{-}i i)$
unfolding $\text{mems}'\text{-def}$
by *auto*

have $\text{mems}'\text{-k-1 [simp]}: \bigwedge x. \llbracket x \notin ?X k \rrbracket \implies$
 $\text{fst } (\text{mems}' ! k) x = \text{mem}_1' x \wedge \text{snd } (\text{mems}' ! k) x = \text{mem}_2' x$
unfolding $\text{mems}'\text{-k-simp mems}'\text{-k-def}$
by *auto*

have $\text{mems}'\text{-k-2 [simp]}: \bigwedge x. \llbracket x \in ?X k; x \notin \text{dom-}g1 \vee x \notin \text{dom-}g2 \rrbracket \implies$
 $\text{fst } (\text{mems}' ! k) x = \text{mem}_1' x \wedge \text{snd } (\text{mems}' ! k) x = \text{mem}_2' x$
unfolding $\text{mems}'\text{-k-simp mems}'\text{-k-def}$
by *auto*

```

have mems'-k-3 [simp]:  $\bigwedge x. \llbracket x \in ?X k; x \in \text{dom-}g1; x \in \text{dom-}g2 \rrbracket \implies$ 
 $\text{fst}(\text{mems}' ! k) x = \text{fst}(\text{mems} ! k) x \wedge \text{snd}(\text{mems}' ! k) x = \text{snd}(\text{mems} ! k) x$ 
unfolding mems'-k-simp mems'-k-def
by auto

have mems'-k-cases:
 $\bigwedge P x.$ 

$$\begin{aligned} &\llbracket \\ &\quad \llbracket x \notin ?X k \vee x \notin \text{dom-}g1 \vee x \notin \text{dom-}g2; \\ &\quad \quad \text{fst}(\text{mems}' ! k) x = \text{mem}_1' x; \\ &\quad \quad \text{snd}(\text{mems}' ! k) x = \text{mem}_2' x \rrbracket \implies P x; \\ &\llbracket x \in ?X k; x \in \text{dom-}g1; x \in \text{dom-}g2; \\ &\quad \text{fst}(\text{mems}' ! k) x = \text{fst}(\text{mems} ! k) x; \\ &\quad \text{snd}(\text{mems}' ! k) x = \text{snd}(\text{mems} ! k) x \rrbracket \implies P x \rrbracket \implies P x \end{aligned}$$

using mems'-k-1 mems'-k-2 mems'-k-3
by blast

have mems'-i-simp:
 $\bigwedge i. \llbracket i < \text{length } \text{cms}_1; i \neq k \rrbracket \implies \text{mems}' ! i = (\text{fst} \circ \text{mems}' -i, \text{snd} \circ \text{mems}' -i)$ 
unfolding mems'-def
by auto

have mems'-i-1 [simp]:
 $\bigwedge i x. \llbracket i \neq k; i < \text{length } \text{cms}_1;$ 
 $\quad \text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x;$ 
 $\quad \text{mem}_1' x = \text{mem}_2' x \vee \text{dma } x = \text{High} \rrbracket \implies$ 
 $\text{fst}(\text{mems}' ! i) x = \text{mem}_1' x \wedge \text{snd}(\text{mems}' ! i) x = \text{mem}_2' x$ 
unfolding mems'-i-def mems'-i-simp
by auto

have mems'-i-2 [simp]:
 $\bigwedge i x. \llbracket i \neq k; i < \text{length } \text{cms}_1;$ 
 $\quad \text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x;$ 
 $\quad \text{mem}_1' x \neq \text{mem}_2' x; \text{dma } x = \text{Low} \rrbracket \implies$ 
 $\text{fst}(\text{mems}' ! i) x = \text{some-val} \wedge \text{snd}(\text{mems}' ! i) x = \text{some-val}$ 
unfolding mems'-i-def mems'-i-simp
by auto

have mems'-i-3 [simp]:
 $\bigwedge i x. \llbracket i \neq k; i < \text{length } \text{cms}_1;$ 
 $\quad \text{mem}_1 x = \text{mem}_1' x; \text{mem}_2 x = \text{mem}_2' x \rrbracket \implies$ 
 $\text{fst}(\text{mems}' ! i) x = \text{fst}(\text{mems} ! i) x \wedge \text{snd}(\text{mems}' ! i) x = \text{snd}(\text{mems}$ 
 $\quad ! i) x$ 
unfolding mems'-i-def mems'-i-simp
by auto

have mems'-i-cases:
 $\bigwedge P i x.$ 

$$\llbracket i \neq k; i < \text{length } \text{cms}_1;$$


```

```

 $\llbracket mem_1 \neq mem_1' \vee mem_2 \neq mem_2' \vee$ 
 $mem_1' = mem_2' \vee dma = High;$ 
 $fst(mems' ! i) = mem_1' \wedge snd(mems' ! i) = mem_2' \Rightarrow P x;$ 
 $\llbracket mem_1 \neq mem_1' \vee mem_2 \neq mem_2' \vee$ 
 $mem_1' \neq mem_2' \vee dma = Low;$ 
 $fst(mems' ! i) = some-val \wedge snd(mems' ! i) = some-val \Rightarrow P x;$ 
 $\llbracket mem_1 = mem_1' \wedge mem_2 = mem_2' \wedge$ 
 $fst(mems' ! i) = fst(mems ! i) \wedge snd(mems' ! i) = snd(mems ! i) \wedge$ 
 $\] \Rightarrow P x \] \Rightarrow P x$ 
using mems'-i-1 mems'-i-2 mems'-i-3
by (metis (full-types) Sec.exhaust)

let ?X' =  $\lambda i. differing-vars-lists mem_1' mem_2' mems' i$ 
show makes-compatible (cms1', mem1') (cms2', mem2') mems'
proof
  have length cms1' = length cms1
    by (metis cms2'-def equal-size length-list-update new-length)
  then show length cms1' = length cms2'  $\wedge$  length cms1' = length mems'
    using compat new-length
    unfolding mems'-def
    by auto
next
  fix i
  fix  $\sigma :: 'Var \rightarrow 'Val$ 
  let ?mems1'i = fst(mems' ! i)
  let ?mems2'i = snd(mems' ! i)
  assume i-le:  $i < length cms_1'$ 
  assume dom $\sigma$ : dom  $\sigma = ?X' i$ 
  show (cms1' ! i, (fst(mems' ! i)) [→ σ]) ≈ (cms2' ! i, (snd(mems' ! i)) [→
 $\sigma])$ 
  proof (cases i = k)
    assume [simp]: i = k
    — We define another function from this and reuse the universally quantified
    statements from the first part of the proof.
    define  $\sigma'$ 
      where  $\sigma' x =$ 
        (if  $x \in ?X k$ 
         then if  $x \in ?X' k$ 
           then  $\sigma x$ 
           else if ( $x \in \text{dom } (g1 h)$ )
             then Some (?mems1'i x)
             else if ( $x \in \text{dom } (g2 h)$ )
               then Some (?mems2'i x)
               else Some some-val
           else None) for x
      then have  $\text{dom} \sigma' : \text{dom } \sigma' = ?X k$ 
        by (auto, metis domI domIff, metis ‹i = k› domD dom $\sigma$ )

```

```

have diff-vars-impl [simp]:  $\bigwedge x. x \in ?X' k \implies x \in ?X k$ 
proof (rule ccontr)
  fix x
  assume  $x \notin ?X k$ 
  hence  $mem_1 x = ?mems_1 k \wedge mem_2 x = ?mems_2 k$ 
    by (metis differing-vars-neg)
  from ⟨ $x \notin ?X k$ ⟩ have  $?mems_1'i x = mem_1' x \wedge ?mems_2'i x = mem_2' x$ 
    by auto
  moreover
  assume  $x \in ?X' k$ 
  hence  $mem_1' x \neq ?mems_1'i x \vee mem_2' x \neq ?mems_2'i x$ 
    by (metis ⟨ $i = k$ ⟩ differing-vars-elim)
  ultimately show False
    by auto
  qed

have differing-in-dom:  $\bigwedge x. [\![x \in ?X k; x \in ?X' k]\!] \implies x \in dom\text{-}g1 \wedge x \in dom\text{-}g2$ 
proof (rule ccontr)
  fix x
  assume  $x \in ?X k$ 
  assume  $\neg(x \in dom\text{-}g1 \wedge x \in dom\text{-}g2)$ 
  hence not-in-dom:  $x \notin dom\text{-}g1 \vee x \notin dom\text{-}g2$  by auto
  hence  $?mems_1'i x = mem_1' x \wedge ?mems_2'i x = mem_2' x$ 
    using ⟨ $i = k$ ⟩ ⟨ $x \in ?X k$ ⟩ mems'-k-2
    by auto

  moreover assume  $x \in ?X' k$ 
  ultimately show False
    by (metis ⟨ $i = k$ ⟩ differing-vars-elim)
  qed

have  $?mems_1'i [\mapsto \sigma] = mem_1' [\mapsto g1 \sigma']$ 
proof (rule ext)
  fix x

  show  $?mems_1'i [\mapsto \sigma] x = mem_1' [\mapsto g1 \sigma'] x$ 
  proof (cases  $x \in ?X' k$ )
    assume x-in-X':  $x \in ?X' k$ 

    then obtain v where  $\sigma x = Some v$ 
      by (metis domσ domD ⟨ $i = k$ ⟩)
    hence  $?mems_1'i [\mapsto \sigma] x = v$ 
      using ⟨ $x \in ?X' k$ ⟩ domσ
      by (auto simp: subst-def)
    moreover
    from c have le:  $g1 \sigma' \preceq \sigma'$ 
      using domσ'

```

```

by auto
from domσ' and ⟨x ∈ ?X' k⟩ have x ∈ dom (g1 σ')
  by (metis diff-vars-impl differing-in-dom dom-uniq(1))

hence mem1' [↪ g1 σ'] x = v
  using domσ' c le
  unfolding func-le-def subst-def
  by (metis σ'-def ⟨σ x = Some v⟩ diff-vars-impl option.simps(5) x-in-X'k)

ultimately show ?mems1'i [↪ σ] x = mem1' [↪ g1 σ'] x ..
next
  assume x ∉ ?X' k

  hence ?mems1'i [↪ σ] x = ?mems1'i x
    using domσ
    by (metis ⟨i = k⟩ subst-not-in-dom)
  show ?thesis
  proof (cases x ∈ dom-g1)
    assume x ∈ dom-g1
    hence x ∈ dom (g1 σ')
      using domσ' dom-uniq
      by auto
    hence g1 σ' x = σ' x
      using c domσ'
      by (metis change-respecting.simps func-le-def)
    then have σ' x = Some (?mems1'i x)
      unfolding σ'-def
      using domσ' domh
      by (metis ⟨g1 σ' x = σ' x⟩ ⟨x ∈ dom (g1 σ')⟩ ⟨x ∉ ?X' k⟩ domIff
dom-uniq(1))

    hence mem1' [↪ g1 σ'] x = ?mems1'i x
      unfolding subst-def
      by (metis ⟨g1 σ' x = σ' x⟩ option.simps(5))
    thus ?thesis
      by (metis ⟨?mems1'i [↪ σ] x = ?mems1'i x⟩)
  next
    assume x ∉ dom-g1
    then have mem1' [↪ g1 σ'] x = mem1' x
      by (metis domσ' dom-uniq(1) subst-not-in-dom)
    moreover
    have ?mems1'i x = mem1' x
      by (metis ⟨i = k⟩ ⟨x ∉ ?X' k⟩ differing-vars-neg)
    ultimately show ?thesis
      by (metis ⟨?mems1'i [↪ σ] x = ?mems1'i x⟩)
  qed
  qed
qed

```

```

moreover have ?mems2'i [↪ σ] = memh [↪ g2 σ]
proof (rule ext)
fix x

show ?mems2'i [↪ σ] x = memh [↪ g2 σ'] x
proof (cases x ∈ ?X' k)
assume x ∈ ?X' k

then obtain v where σ x = Some v
using domσ
by (metis domD ⟨i = k⟩)
hence ?mems2'i [↪ σ] x = v
using ⟨x ∈ ?X' k⟩ domσ
unfolding subst-def
by (metis option.simps(5))
moreover
from e have le: g2 σ' ⊢ σ'
using domσ'
by auto
from ⟨x ∈ ?X' k⟩ have x ∈ ?X k
by auto
hence x ∈ dom (g2 σ')
by (metis differing-in-dom domσ' dom-uniq(2) ⟨x ∈ ?X' k⟩)
hence mem2' [↪ g2 σ'] x = v
using domσ' c le
unfolding func-le-def subst-def
by (metis σ'-def ⟨σ x = Some v⟩ diff-vars-impl option.simps(5) ⟨x ∈
?X' k⟩)

ultimately show ?thesis
by (metis domσ' dom-restrict-total dom-uniq(2) mem2'-def subst-overrides)
next
assume x ∉ ?X' k

hence ?mems2'i [↪ σ] x = ?mems2'i x
using domσ
by (metis ⟨i = k⟩ subst-not-in-dom)
show ?thesis
proof (cases x ∈ dom-g2)
assume x ∈ dom-g2
hence x ∈ dom (g2 σ')
using domσ'
by (metis dom-uniq)
hence g2 σ' x = σ' x
using e domσ'
by (metis change-respecting.simps func-le-def)
then have σ' x = Some (?mems2'i x)
proof (cases x ∈ dom-g1)
— This can't happen, so derive a contradiction.

```

```

assume  $x \in \text{dom-}g1$ 

have  $x \notin ?X k$ 
proof (rule ccontr)
  assume  $\neg(x \notin ?X k)$ 
  hence  $x \in ?X k$  by auto
  have  $\text{mem}_1 x = \text{mem}_1' x \wedge \text{mem}_2 x = \text{mem}_2' x$ 
    by (metis  $\sigma'$ -def  $\langle g2 \sigma' x = \sigma' x \rangle \langle x \in \text{dom}(g2 \sigma') \rangle$ 
       $\langle x \in \text{dom-}g1 \rangle \langle x \in \text{dom-}g2 \rangle \text{ domIff } x\text{-unchanged}$ )
  moreover
  from  $\langle x \notin ?X' k \rangle$  have
     $?mems_1'i x = ?mems_1k x \wedge ?mems_2'i x = ?mems_2k x$ 
    using  $\langle x \in ?X k \rangle \langle x \in \text{dom-}g1 \rangle \langle x \in \text{dom-}g2 \rangle$ 
    by auto
  ultimately show False
    using  $\langle x \in ?X k \rangle \langle x \notin ?X' k \rangle$ 
    by (metis  $i = k$  differing-vars-elim differing-vars-neg)
  qed
  hence False
    by (metis  $\sigma'$ -def  $\langle g2 \sigma' x = \sigma' x \rangle \langle x \in \text{dom}(g2 \sigma') \rangle \text{ domIff}$ )
  thus ?thesis
    by blast
  next
    assume  $x \notin \text{dom-}g1$ 
    thus ?thesis
      unfolding  $\sigma'$ -def
      by (metis  $\langle g2 \sigma' x = \sigma' x \rangle \langle x \in \text{dom}(g2 \sigma') \rangle \langle x \notin ?X' k \rangle$ 
         $\text{domIff } \text{dom}\sigma' \text{ dom-uniq } \text{domh}$ )
    qed
    hence  $\text{mem}_2' [\mapsto g2 \sigma'] x = ?mems_2'i x$ 
      unfolding subst-def
      by (metis  $\langle g2 \sigma' x = \sigma' x \rangle \text{ option.simps(5)}$ )
    thus ?thesis
      using  $\langle x \notin ?X' k \rangle \text{ dom}\sigma \text{ dom}\sigma'$ 
      by (metis  $i = k$  dom-restrict-total dom-uniq(2)
         $\text{mem}_2'$ -def subst-not-in-dom subst-overrides)
    next
      assume  $x \notin \text{dom-}g2$ 
      then have  $\text{mem}_h [\mapsto g2 \sigma'] x = \text{mem}_h x$ 
        by (metis  $\text{dom}\sigma' \text{ dom-uniq(2)}$  subst-not-in-dom)
      moreover
      have  $?mems_2'i x = \text{mem}_2' x$ 
        by (metis  $i = k$   $\langle x \notin \text{dom-}g2 \rangle \text{ mems}'\text{-}k\text{-}1 \text{ mems}'\text{-}k\text{-}2$ )
      hence  $?mems_2'i x = \text{mem}_h x$ 
        unfolding  $\text{mem}_2'$ -def
        by (metis  $\langle x \notin \text{dom-}g2 \rangle \text{ dom}\sigma \text{-mem}_2 \text{ dom-uniq(2)}$  subst-not-in-dom)
      ultimately show ?thesis
        by (metis  $\langle ?mems_2'i [\mapsto \sigma] x = ?mems_2'i x \rangle$ )

```

```

qed
qed
qed

ultimately show
 $(cms_1' ! i, (fst (mems' ! i)) [ \rightarrow \sigma ]) \approx (cms_2' ! i, (snd (mems' ! i)) [ \rightarrow \sigma ])$ 
using dom $\sigma$  dom $\sigma'$  g b  $\langle i = k \rangle$ 
by (metis c2'-def cms2'-def equal-size nth-list-update-eq)

next
assume  $i \neq k$ 
define  $\sigma'$ 
where  $\sigma' x = (if x \in ?X i$ 
then  $if x \in ?X' i$ 
then  $\sigma x$ 
else Some (mem1' x)
else None) for x
let ?mems1i = fst (mems ! i) and
?mems2i = snd (mems ! i)
have dom  $\sigma' = ?X i$ 
unfolding  $\sigma'$ -def
apply auto
apply (metis option.simps(2))
by (metis domD dom $\sigma$ )
have o:  $\bigwedge x.$ 
 $(?mems_1'i [ \rightarrow \sigma ] x \neq ?mems_1'i [ \rightarrow \sigma' ] x \vee$ 
 $?mems_2'i [ \rightarrow \sigma ] x \neq ?mems_2'i [ \rightarrow \sigma' ] x)$ 
 $\longrightarrow (mem_1' x \neq mem_1 x \vee mem_2' x \neq mem_2 x)$ 
proof –
fix x
{
assume eq-mem: mem1' x = mem1 x  $\wedge$  mem2' x = mem2 x
hence mems'-simp [simp]: ?mems1i x = ?mems1i x  $\wedge$  ?mems2i x =
?mems2i x
using mems'-i-3
by (metis  $\langle i \neq k \rangle$  b i-le length-list-update)
have
?mems1i [ \rightarrow \sigma ] x = ?mems1i [ \rightarrow \sigma' ] x  $\wedge$  ?mems2i [ \rightarrow \sigma ] x = ?mems2i
[ \rightarrow \sigma' ] x
proof (cases  $x \in ?X' i$ )
assume  $x \in ?X' i$ 
hence ?mems1i x  $\neq$  mem1' x  $\vee$  ?mems2i x  $\neq$  mem2' x
by (metis differing-vars-neg-intro)
hence  $x \in ?X i$ 
using eq-mem mems'-simp
by (metis differing-vars-neg)
hence  $\sigma' x = \sigma x$ 
by (metis  $\sigma'$ -def  $\langle x \in ?X' i \rangle$ )
thus ?thesis

```

```

apply (auto simp: subst-def)
  apply (metis mems'-simp)
  by (metis mems'-simp)
next
  assume xnotin: ?X' i
  hence ?mems1'i x = mem1' x ∧ ?mems2'i x = mem2' x
    by (metis differing-vars-neg)
  hence xnotin: ?X i
    using eq-mem mems'-simp
    by (auto simp: differing-vars-neg-intro)
  thus ?thesis
    by (metis `dom σ' = ?X i` `xnotin ?X' i` domσ mems'-simp
      subst-not-in-dom)
qed
}
thus ?thesis x by blast
qed

from o have
  p: ∧ x. [| ?mems1'i [→ σ] x ≠ ?mems1'i [→ σ'] x ∨
             ?mems2'i [→ σ] x ≠ ?mems2'i [→ σ'] x |] ⇒
  xnotin snd (cms1 ! i) AsmNoWrite
proof
  fix x
  assume mems-neq:
    ?mems1'i [→ σ] x ≠ ?mems1'i [→ σ'] x ∨ ?mems2'i [→ σ] x ≠ ?mems2'i [→ σ'] x
  hence modified:
    ¬ (doesnt-modify (fst (cms1 ! k)) x) ∨ ¬ (doesnt-modify (fst (cms2 ! k)) x)
  using b i o
  unfolding doesnt-modify-def
  by (metis surjective-pairing)
moreover
from sound-modes have loc-modes:
  locally-sound-mode-use (cms1 ! k, mem1) ∧
  locally-sound-mode-use (cms2 ! k, mem2)
  unfolding sound-mode-use.simps
  by (metis b equal-size list-all-length)
moreover
have snd (cms1 ! k) = snd (cms2 ! k)
  by (metis b equal-size modes-eq nth-map)
have (cms1 ! k, mem1) ∈ loc-reach (cms1 ! k, mem1)
  by (metis loc-reach.refl prod.collapse)
hence guards:
  x ∈ snd (cms1 ! k) GuarNoWrite → doesnt-modify (fst (cms1 ! k))
  x ∧
  x ∈ snd (cms2 ! k) GuarNoWrite → doesnt-modify (fst (cms2 ! k)) x
using loc-modes

```

```

unfolding locally-sound-mode-use-def  $\langle \text{snd } (\text{cms}_1 ! k) = \text{snd } (\text{cms}_2 ! k) \rangle$ 
by (metis loc-reach.refl surjective-pairing)

hence  $x \notin \text{snd } (\text{cms}_1 ! k)$  GuarNoWrite
using modified loc-modes locally-sound-mode-use-def
by (metis ⟨ $\text{snd } (\text{cms}_1 ! k) = \text{snd } (\text{cms}_2 ! k)$ ⟩ loc-reach.refl prod.collapse)
moreover
from sound-modes have compatible-modes (map snd cms1)
by (metis globally-sound-modes-compatible sound-mode-use.simps)

ultimately show  $x \notin \text{snd } (\text{cms}_1 ! i)$  AsmNoWrite
unfolding compatible-modes-def
using ⟨ $i \neq k$ ⟩ i-le
by (metis (no-types) b length-list-update length-map nth-map)
qed

have q:

$$\wedge x. \llbracket \text{dma } x = \text{Low};$$


$$?mems_1'i [\mapsto \sigma] x \neq ?mems_1'i [\mapsto \sigma'] x \vee$$


$$?mems_2'i [\mapsto \sigma] x \neq ?mems_2'i [\mapsto \sigma'] x;$$


$$x \notin ?X' i \rrbracket \implies$$


$$mem_1' x = mem_2' x$$

by (metis ⟨ $i \neq k$ ⟩ b compat-different-vars i-le length-list-update mems'-i-2
o)

have  $i < \text{length cms}_1$ 
by (metis cms2'-def equal-size i-le length-list-update new-length)
with compat and ⟨ $\text{dom } \sigma' = ?X i$ ⟩ have
bisim:  $(\text{cms}_1 ! i, ?mems_1'i [\mapsto \sigma']) \approx (\text{cms}_2 ! i, ?mems_2'i [\mapsto \sigma'])$ 
by auto

let  $? \Delta = \text{differing-vars } (?mems_1'i [\mapsto \sigma']) (?mems_1'i [\mapsto \sigma]) \cup$ 
differing-vars  $(?mems_2'i [\mapsto \sigma']) (?mems_2'i [\mapsto \sigma])$ 

have  $\Delta$ -finite: finite  $? \Delta$ 
by (metis (no-types) differing-finite finite-UnI)
— We first define the adaptation, then prove that it does the right thing.
define A where  $A x =$ 

$$(if x \in ? \Delta$$


$$then if \text{dma } x = \text{High}$$


$$then \text{Some } (?mems_1'i [\mapsto \sigma] x, ?mems_2'i [\mapsto \sigma] x)$$


$$else if x \in ?X' i$$


$$then (case \sigma x of$$


$$Some v \Rightarrow Some (v, v)$$


$$| None \Rightarrow None)$$


$$else \text{Some } (mem_1' x, mem_2' x)$$


$$else None) \text{ for } x$$

have  $\text{dom } A = ? \Delta$ 
proof
show  $\text{dom } A \subseteq ? \Delta$ 

```

```

using A-def
apply (auto simp: domD)
by (metis option.simps(2))

next
show ?Δ ⊆ dom A
  unfolding A-def
  apply auto
  apply (metis (no-types) domIff domσ option.exhaust option.simps(5))
  by (metis (no-types) domIff domσ option.exhaust option.simps(5))

qed

have A-correct:
   $\bigwedge x.$ 
  globally-consistent-var A (snd (cms1 ! i)) x  $\wedge$ 
  ?mems1'i [ $\mapsto \sigma'$ ] [|1 A] x = ?mems1'i [ $\mapsto \sigma$ ] x  $\wedge$ 
  ?mems2'i [ $\mapsto \sigma'$ ] [|2 A] x = ?mems2'i [ $\mapsto \sigma$ ] x

proof -
fix x
show ?thesis x
(is ?A  $\wedge$  ?Eq1  $\wedge$  ?Eq2)
proof (cases x ∈ ?Δ)
assume x ∈ ?Δ
hence diff:
?mems1'i [ $\mapsto \sigma$ ] x ≠ ?mems1'i [ $\mapsto \sigma'$ ] x ∨ ?mems2'i [ $\mapsto \sigma$ ] x ≠ ?mems2'i [ $\mapsto \sigma'$ ] x
by (auto simp: differing-vars-def)
from p and diff have writable: x ∉ snd (cms1 ! i) AsmNoWrite
by auto
show ?thesis
proof (cases dma x)
assume dma x = High
from ⟨dma x = High⟩ have A-simp [simp]:
A x = Some (?mems1'i [ $\mapsto \sigma$ ] x, ?mems2'i [ $\mapsto \sigma$ ] x)
unfolding A-def
by (metis ⟨x ∈ ?Δ⟩)
from writable have ?A
unfolding globally-consistent-var-def A-simp
using ⟨dma x = High⟩
by auto
moreover
from A-simp have ?Eq1 ?Eq2
unfolding A-def apply-adaptation-def
by auto
ultimately show ?thesis
by auto
next
assume dma x = Low
show ?thesis
proof (cases x ∈ ?X' i)

```

```

assume  $x \in ?X' i$ 
then obtain  $v$  where  $\sigma x = Some v$ 
  by (metis domD domσ)
hence eq:  $?mems_1'i [→ σ] x = v \wedge ?mems_2'i [→ σ] x = v$ 
  unfolding subst-def
  by auto
moreover
from  $\langle x \in ?X' i \rangle$  and  $\langle dma x = Low \rangle$  have A-simp [simp]:
   $A x = (case \sigma x of$ 
     $Some v \Rightarrow Some (v, v)$ 
     $| None \Rightarrow None)$ 
  unfolding A-def
  by (metis Sec.simps(1)  $\langle x \in ?Δ \rangle$ )
with writable eq  $\langle \sigma x = Some v \rangle$  have ?A
  unfolding globally-consistent-var-def
  by auto
ultimately show ?thesis
  using domA  $\langle x \in ?Δ \rangle$   $\langle \sigma x = Some v \rangle$ 
  by (auto simp: apply-adaptation-def)

next
assume  $x \notin ?X' i$ 
hence A-simp [simp]:  $A x = Some (mem_1' x, mem_1' x)$ 
  unfolding A-def
  using  $\langle x \in ?Δ \rangle$   $\langle dma x = Low \rangle$ 
  by auto
from q have  $mem_1' x = mem_2' x$ 
  by (metis  $\langle dma x = Low \rangle$  diff  $\langle x \notin ?X' i \rangle$ )
with writable have ?A
  unfolding globally-consistent-var-def
  by auto

moreover
from  $\langle x \notin ?X' i \rangle$  have
   $?mems_1'i [→ σ] x = ?mems_1'i x \wedge ?mems_2'i [→ σ] x = ?mems_2'i x$ 
  by (metis domσ subst-not-in-dom)
moreover
  from  $\langle x \notin ?X' i \rangle$  have  $?mems_1'i x = mem_1' x \wedge ?mems_2'i x = mem_2' x$ 
    by (metis differing-vars-neg)
ultimately show ?thesis
  using  $\langle mem_1' x = mem_2' x \rangle$ 
  by (auto simp: apply-adaptation-def)
qed
qed
next
assume  $x \notin ?Δ$ 
hence  $A x = None$ 
  by (metis domA domIff)

```

```

hence globally-consistent-var A (snd (cms1 ! i)) x
    by (auto simp: globally-consistent-var-def)
moreover
from ⟨A x = None⟩ have x ∉ dom A
    by (metis domIff)
from ⟨x ∉ ?Δ⟩ have ?mems1i [↪ σ'] [|1 A] x = ?mems1'i [↪ σ] x ∧
    ?mems2i [↪ σ'] [|2 A] x = ?mems2'i [↪ σ] x
using ⟨A x = None⟩
unfolding differing-vars-def apply-adaptation-def
by auto

ultimately show ?thesis
    by auto
qed
qed
hence ?mems1i [↪ σ'] [|1 A] = ?mems1'i [↪ σ] ∧
    ?mems2i [↪ σ'] [|2 A] = ?mems2'i [↪ σ]
    by auto
moreover
from A-correct have globally-consistent A (snd (cms1 ! i))
    by (metis Δ-finite globally-consistent-def domA)

have snd (cms1 ! i) = snd (cms2 ! i)
    by (metis <i < length cms1> equal-size modes-eq nth-map)

with bisim have (cms1 ! i, ?mems1i [↪ σ'] [|1 A]) ≈ (cms2 ! i, ?mems2i [↪ σ'] [|2 A])
    using ⟨globally-consistent A (snd (cms1 ! i))⟩
    apply (subst surjective-pairing[of cms1 ! i])
    apply (subst surjective-pairing[of cms2 ! i])
    by (metis surjective-pairing globally-consistent-adapt-bisim)

ultimately show ?thesis
    by (metis <i ≠ k> b cms2'-def nth-list-update-neq)
qed
next
fix i x

let ?mems1'i = fst (mems' ! i)
let ?mems2'i = snd (mems' ! i)
assume i-le: i < length cms1'
assume mem-eq: mem1' x = mem2' x ∨ dma x = High
show x ∉ ?X'i
proof (cases i = k)
    assume i = k
    thus x ∉ ?X'i
        apply (cases x ∉ ?X' k ∨ x ∉ dom-g1 ∨ x ∉ dom-g2)
        apply (metis differing-vars-neg-intro mems'-k-1 mems'-k-2)
    by (metis Sec.simps(2) b compat compat-different mem-eq x-unchanged)

```

```

next
  assume  $i \neq k$ 
  thus  $x \notin ?X' i$ 
  proof (rule mems'-i-cases)
    from  $b$  i-le show  $i < \text{length } cms_1$ 
      by (metis length-list-update)
next
  assume  $\text{fst}(\text{mems}' ! i) x = \text{mem}_1' x$ 
   $\text{snd}(\text{mems}' ! i) x = \text{mem}_2' x$ 
  thus  $x \notin ?X' i$ 
    by (metis differing-vars-neg-intro)
next
  assume  $\text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x$ 
   $\text{mem}_1' x \neq \text{mem}_2' x$  and  $\text{dma } x = \text{Low}$ 
  — In this case, for example, the values of (mems' ! i) are not needed.
  thus  $x \notin ?X' i$ 
    by (metis Sec.simps(2) mem-eq)
next
  assume case3:  $\text{mem}_1 x = \text{mem}_1' x \text{ and } \text{mem}_2 x = \text{mem}_2' x$ 
   $\text{fst}(\text{mems}' ! i) x = \text{fst}(\text{mems} ! i) x$ 
   $\text{snd}(\text{mems}' ! i) x = \text{snd}(\text{mems} ! i) x$ 
  have  $x \in ?X' i \implies \text{mem}_1' x \neq \text{mem}_2' x \wedge \text{dma } x = \text{Low}$ 
  proof —
    assume  $x \in ?X' i$ 
    from case3 and  $\langle x \in ?X' i \rangle$  have  $x \in ?X i$ 
      by (metis differing-vars-neg differing-vars-elim)
    with case3 show ?thesis
      by (metis b compat compat-different i-le length-list-update)
  qed
  with  $\langle \text{mem}_1' x = \text{mem}_2' x \vee \text{dma } x = \text{High} \rangle$  show  $x \notin ?X' i$ 
    by auto
  qed
qed
next
  { fix  $x$ 
  have  $\exists i < \text{length } cms_1. x \notin ?X' i$ 
  proof (cases mems)
    cases  $\text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x$ 
    assume var-changed:  $\text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x$ 
    have  $x \notin ?X' k$ 
      apply (rule mems'-k-cases)
      apply (metis differing-vars-neg-intro)
      by (metis var-changed x-unchanged)
    thus ?thesis by (metis b)
next
  assume  $\neg (\text{mem}_1 x \neq \text{mem}_1' x \vee \text{mem}_2 x \neq \text{mem}_2' x)$ 
  hence assms:  $\text{mem}_1 x = \text{mem}_1' x \text{ and } \text{mem}_2 x = \text{mem}_2' x$  by auto

  have  $\text{length } cms_1 \neq 0$ 
    using  $b$ 

```

```

by (metis less-zeroE)
then obtain i where i-prop:  $i < \text{length } cms_1 \wedge x \notin ?X i$ 
  using compat
  by (auto, blast)
show ?thesis
proof (cases i = k)
  assume i = k
  have  $x \notin ?X' k$ 
    apply (rule mems'-k-cases)
    apply (metis differing-vars-neg-intro)
    by (metis i-prop ⟨i = k⟩)
  thus ?thesis
    by (metis b)
next
  assume i ≠ k
  hence fst (mems' ! i) x = fst (mems ! i) x
    snd (mems' ! i) x = snd (mems ! i) x
  using i-prop assms mems'-i-3
  by auto
  with i-prop have  $x \notin ?X' i$ 
    by (metis assms differing-vars-neg differing-vars-neg-intro)
  with i-prop show ?thesis
    by auto
qed
qed
}
thus ( $\text{length } cms_1' = 0 \wedge mem_1' =^l mem_2'$ ) ∨ (∀ x. ∃ i < length cms1'.  $x \notin ?X' i$ )
  by (metis cms2'-def equal-size length-list-update new-length)
qed
qed
ultimately show ?thesis using that by blast
qed

```

The Isar proof language provides a readable way of specifying assumptions while also giving them names for subsequent usage.

```

lemma compat-low-eq:
assumes compat: makes-compatible (cms1, mem1) (cms2, mem2) mems
assumes modes-eq: map snd cms1 = map snd cms2
assumes x-low: dma x = Low
assumes x-readable: ∀ i < length cms1.  $x \notin \text{snd } (cms_1 ! i)$  AsmNoRead
shows mem1 x = mem2 x
proof -
  let ?X = λ i. differing-vars-lists mem1 mem2 mems i
  from compat have ( $\text{length } cms_1 = 0 \wedge mem_1 =^l mem_2$ ) ∨
    (∀ x. ∃ j.  $j < \text{length } cms_1 \wedge x \notin ?X j$ )
    by auto
  thus mem1 x = mem2 x

```

```

proof
  assume  $\text{length } cms_1 = 0 \wedge mem_1 =^l mem_2$ 
  with  $x\text{-low}$  show ?thesis
    by (simp add: low-eq-def)
next
  assume  $\forall x. \exists j. j < \text{length } cms_1 \wedge x \notin ?X j$ 
  then obtain  $j$  where  $j\text{-prop}: j < \text{length } cms_1 \wedge x \notin ?X j$ 
    by auto
  let  $?mems_{1j} = fst (mems ! j)$  and
     $?mems_{2j} = snd (mems ! j)$ 

  obtain  $\sigma :: 'Var \rightarrow 'Val$  where  $\text{dom } \sigma = ?X j$ 
    by (metis dom-restrict-total)

  with compat and  $j\text{-prop}$  have  $(cms_1 ! j, ?mems_{1j} [\mapsto \sigma]) \approx (cms_2 ! j, ?mems_{2j} [\mapsto \sigma])$ 
    by auto

  moreover
  have  $snd (cms_1 ! j) = snd (cms_2 ! j)$ 
    using modes-eq
    by (metis j-prop length-map nth-map)

  ultimately have  $?mems_{1j} [\mapsto \sigma] =_{snd (cms_1 ! j)} ^l ?mems_{2j} [\mapsto \sigma]$ 
    using modes-eq j-prop
    by (metis prod.collapse mm-equiv-low-eq)
  hence  $?mems_{1j} x = ?mems_{2j} x$ 
    using x-low x-readable j-prop dom  $\sigma = ?X j$ 
    unfolding low-mds-eq-def
    by (metis subst-not-in-dom)

  thus ?thesis
    using j-prop
    by (metis compat-different-vars)
qed
qed

lemma loc-reach-subset:
  assumes eval:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$ 
  shows loc-reach  $\langle c', mds', mem' \rangle \subseteq \text{loc-reach } \langle c, mds, mem \rangle$ 
proof (clarify)
  fix  $c'' mds'' mem''$ 
  from eval have  $\langle c', mds', mem' \rangle \in \text{loc-reach } \langle c, mds, mem \rangle$ 
    by (metis loc-reach.refl loc-reach.step surjective-pairing)
  assume  $\langle c'', mds'', mem'' \rangle \in \text{loc-reach } \langle c', mds', mem' \rangle$ 
  thus  $\langle c'', mds'', mem'' \rangle \in \text{loc-reach } \langle c, mds, mem \rangle$ 
    apply induct
    apply (metis ⟨⟨c', mds', mem'⟩⟩ ∈ loc-reach ⟨c, mds, mem⟩ surjective-pairing)
    apply (metis loc-reach.step)

```

```

    by (metis loc-reach.mem-diff)
qed

lemma locally-sound-modes-invariant:
assumes sound-modes: locally-sound-mode-use ⟨c, mds, mem⟩
assumes eval: ⟨c, mds, mem⟩ ~⟨c', mds', mem'⟩
shows locally-sound-mode-use ⟨c', mds', mem'⟩
proof -
  from eval have ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c, mds, mem⟩
    by (metis fst-conv loc-reach.refl loc-reach.step snd-conv)
  thus ?thesis
    using sound-modes
    unfolding locally-sound-mode-use-def
    by (metis (no-types) Collect-empty-eq eval loc-reach-subset subsetD)
qed

lemma reachable-modes-subset:
assumes eval: (cms, mem) → (cms', mem')
shows reachable-mode-states (cms', mem') ⊆ reachable-mode-states (cms, mem)
proof
  fix mdss
  assume mdss ∈ reachable-mode-states (cms', mem')
  thus mdss ∈ reachable-mode-states (cms, mem)
    using reachable-mode-states-def
    apply auto
    by (metis (opaque-lifting, no-types) assms converse-rtrancl-into-rtrancl)
qed

lemma globally-sound-modes-invariant:
assumes globally-sound: globally-sound-mode-use (cms, mem)
assumes eval: (cms, mem) → (cms', mem')
shows globally-sound-mode-use (cms', mem')
using assms reachable-modes-subset
unfolding globally-sound-mode-use-def
by (metis (no-types) subsetD)

lemma loc-reach-mem-diff-subset:
assumes mem-diff: ∀ x. x ∈ mds AsmNoWrite → mem1 x = mem2 x
shows ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c, mds, mem1⟩ ⇒ ⟨c', mds', mem'⟩ ∈
loc-reach ⟨c, mds, mem2⟩
proof -
  let ?lc = ⟨c', mds', mem'⟩
  assume ?lc ∈ loc-reach ⟨c, mds, mem1⟩
  thus ?thesis
  proof (induct)
    case refl
    thus ?case
      by (metis fst-conv loc-reach.mem-diff loc-reach.refl mem-diff snd-conv)
  next

```

```

case step
thus ?case
  by (metis loc-reach.step)
next
  case mem-diff
  thus ?case
    by (metis loc-reach.mem-diff)
  qed
qed

lemma loc-reach-mem-diff-eq:
  assumes mem-diff:  $\forall x. x \in mds \text{ AsmNoWrite} \rightarrow \text{mem}' x = \text{mem } x$ 
  shows loc-reach  $\langle c, mds, \text{mem} \rangle = \text{loc-reach } \langle c, mds, \text{mem}' \rangle$ 
  using assms loc-reach-mem-diff-subset
  by (auto, metis)

lemma sound-modes-invariant:
  assumes sound-modes: sound-mode-use (cms, mem)
  assumes eval:  $(\text{cms}, \text{mem}) \rightarrow (\text{cms}', \text{mem}')$ 
  shows sound-mode-use (cms', mem')
  proof –
    from sound-modes and eval have globally-sound-mode-use (cms', mem')
    by (metis globally-sound-modes-invariant sound-mode-use.simps)
    moreover
      from sound-modes have loc-sound:  $\forall i < \text{length cms}. \text{locally-sound-mode-use}(\text{cms} ! i, \text{mem})$ 
      unfolding sound-mode-use-def
      by simp (metis list-all-length)
      from eval obtain k cmsk' where
        ev:  $(\text{cms} ! k, \text{mem}) \rightsquigarrow (\text{cms}_k', \text{mem}') \wedge k < \text{length cms} \wedge \text{cms}' = \text{cms} [k := \text{cms}_k']$ 
        by (metis meval-elim)
      hence length cms = length cms'
        by auto
      have  $\bigwedge i. i < \text{length cms}' \implies \text{locally-sound-mode-use}(\text{cms}' ! i, \text{mem}')$ 
      proof –
        fix i
        assume i-le:  $i < \text{length cms}'$ 
        thus ?thesis i
        proof (cases i = k)
          assume i = k
          thus ?thesis
            using i-le ev loc-sound
            by (metis (opaque-lifting, no-types) locally-sound-modes-invariant nth-list-update surj-pair)
        next
          assume i ≠ k
          hence cms' ! i = cms ! i
            by (metis ev nth-list-update-neq)

```

```

from sound-modes have compatible-modes (map snd cms)
  unfolding sound-mode-use.simps
  by (metis globally-sound-modes-compatible)
hence  $\bigwedge x. x \in \text{snd}(\text{cms} ! i) \text{ AsmNoWrite} \implies x \in \text{snd}(\text{cms} ! k) \text{ GuarNoWrite}$ 
  unfolding compatible-modes-def
  by (metis (no-types) ‹ $i \neq k$ › ‹length cms = length cms'› ev i-le length-map
nth-map)
hence  $\bigwedge x. x \in \text{snd}(\text{cms} ! i) \text{ AsmNoWrite} \longrightarrow \text{doesnt-modify}(\text{fst}(\text{cms} ! k))$ 
x
  using ev loc-sound
  unfolding locally-sound-mode-use-def
  by (metis loc-reach.refl surjective-pairing)
with eval have  $\bigwedge x. x \in \text{snd}(\text{cms} ! i) \text{ AsmNoWrite} \longrightarrow \text{mem } x = \text{mem}' x$ 
  by (metis (no-types) doesnt-modify-def ev prod.collapse)
then have loc-reach (cms ! i, mem') = loc-reach (cms ! i, mem)
  by (metis loc-reach-mem-diff-eq prod.collapse)
thus ?thesis
  using loc-sound i-le ‹length cms = length cms'›
  unfolding locally-sound-mode-use-def
  by (metis ‹cms' ! i = cms ! i›)
qed
qed
ultimately show ?thesis
  unfolding sound-mode-use.simps
  by (metis (no-types) list-all-length)
qed

lemma makes-compatible-eval-k:
assumes compat: makes-compatible (cms1, mem1) (cms2, mem2) mems
assumes modes-eq: map snd cms1 = map snd cms2
assumes sound-modes: sound-mode-use (cms1, mem1) sound-mode-use (cms2, mem2)
assumes eval: (cms1, mem1)  $\rightarrow_k$  (cms1', mem1')
shows  $\exists \text{cms}_2' \text{mem}_2' \text{mems}'. \text{sound-mode-use}(\text{cms}_1', \text{mem}_1') \wedge$ 
  sound-mode-use (cms2', mem2')  $\wedge$ 
  map snd cms1' = map snd cms2'  $\wedge$ 
  (cms2, mem2)  $\rightarrow_k$  (cms2', mem2')  $\wedge$ 
  makes-compatible (cms1', mem1) (cms2', mem2') mems'
proof –
  from eval show ?thesis
  proof (induct k arbitrary: cms1' mem1)
    case 0
    hence cms1' = cms1  $\wedge$  mem1' = mem1
      by (metis prod.inject meval-k.simps(1))
    thus ?case
      by (metis compat meval-k.simps(1) modes-eq sound-modes)
  next
    case (Suc k)

```

```

then obtain cms1'' mem1'' where eval'':
  (cms1, mem1) →k (cms1'', mem1'') ∧ (cms1'', mem1'') → (cms1', mem1)
  by (metis meval-k.simps(2) prod-cases3 snd-conv)
hence (cms1'', mem1'') → (cms1', mem1') ..
moreover
from eval'' obtain cms2'' mem2'' mems'' where IH:
  sound-mode-use (cms1'', mem1') ∧
  sound-mode-use (cms2'', mem2') ∧
  map snd cms1'' = map snd cms2'' ∧
  (cms2, mem2) →k (cms2'', mem2') ∧
  makes-compatible (cms1'', mem1') (cms2'', mem2') mems''
  using Suc
  by metis
ultimately obtain cms2' mem2' mems' where
  map snd cms1' = map snd cms2' ∧
  (cms2'', mem2') → (cms2', mem2') ∧
  makes-compatible (cms1', mem1') (cms2', mem2') mems'
  using makes-compatible-invariant
  by blast
thus ?case
  by (metis IH eval'' meval-k.simps(2) sound-modes-invariant)
qed
qed

lemma differing-vars-initially-empty:
i < n ==> x ∉ differing-vars-lists mem1 mem2 (zip (replicate n mem1) (replicate n mem2)) i
unfolding differing-vars-lists-def differing-vars-def
by auto

lemma compatible-refl:
assumes coms-secure: list-all com-sifum-secure cmds
assumes low-eq: mem1 =l mem2
shows makes-compatible (add-initial-modes cmds, mem1)
  (add-initial-modes cmds, mem2)
  (replicate (length cmds) (mem1, mem2))

proof -
let ?n = length cmds
let ?mems = replicate ?n (mem1, mem2) and
  ?mdss = replicate ?n mdss
let ?X = differing-vars-lists mem1 mem2 ?mems
have diff-empty: ∀ i < ?n. ?X i = {}
  by (metis differing-vars-initially-empty ex-in-conv min.idem zip-replicate)

show ?thesis
  unfolding add-initial-modes-def
proof
  show length (zip cmds ?mdss) = length (zip cmds ?mdss) ∧ length (zip cmds ?mdss) = length ?mems

```

```

    by auto
next
fix i σ
let ?mems1i = fst (?mems ! i) and ?mems2i = snd (?mems ! i)
assume i: i < length (zip cmds ?mdss)
with coms-secure have com-sifum-secure (cmds ! i)
    using coms-secure
    by (metis length-map length-replicate list-all-length map-snd-zip)
with i have  $\wedge$  mem1 mem2. mem1 =mdssl mem2  $\implies$ 
    (zip cmds (replicate ?n mdss) ! i, mem1)  $\approx$  (zip cmds (replicate ?n mdss) ! i,
mem2)
    using com-sifum-secure-def low-indistinguishable-def
    by auto

moreover
from i have ?mems1i = mem1 ?mems2i = mem2
    by auto
with low-eq have ?mems1i [ $\mapsto$  σ] =mdssl ?mems2i [ $\mapsto$  σ]
    by (auto simp: subst-def mdss-def low-mds-eq-def low-eq-def, case-tac σ x,
auto)

ultimately show (zip cmds ?mdss ! i, ?mems1i [ $\mapsto$  σ])  $\approx$  (zip cmds ?mdss !
i, ?mems2i [ $\mapsto$  σ])
    by simp
next
fix i x
assume i < length (zip cmds ?mdss)
with diff-empty show x  $\notin$  ?X i by auto
next
show (length (zip cmds ?mdss) = 0  $\wedge$  mem1 =l mem2)  $\vee$  ( $\forall$  x.  $\exists$  i < length
(zip cmds ?mdss). x  $\notin$  ?X i)
    using diff-empty
    by (metis bot-less bot-nat-def empty-iff length-zip low-eq min-0L)
qed
qed

```

theorem sifum-compositionality:

```

assumes com-secure: list-all com-sifum-secure cmds
assumes no-assms: no-assumptions-on-termination cmds
assumes sound-modes:  $\forall$  mem. sound-mode-use (add-initial-modes cmds, mem)
shows prog-sifum-secure cmds
unfolding prog-sifum-secure-def
using assms
proof (clarify)
fix mem1 mem2 :: 'Var  $\Rightarrow$  'Val
fix k cmd1' mem1'
let ?n = length cmds
let ?mems = zip (replicate ?n mem1) (replicate ?n mem2)
assume low-eq: mem1 =l mem2

```

```

with com-secure have compat:
  makes-compatible (add-initial-modes cmds, mem1) (add-initial-modes cmds, mem2) ?mems
  by (metis compatible-refl fst-conv length-replicate map-replicate snd-conv zip-eq-conv)

also assume eval: (add-initial-modes cmds, mem1) →k (cmds', mem1')

ultimately obtain cmds' mem2' mems'
  where p: map snd cmds' = map snd cmds' ∧
    (add-initial-modes cmds, mem2) →k (cmds', mem2') ∧
    makes-compatible (cmds', mem1') (cmds', mem2') mems'
  using sound-modes makes-compatible-eval-k
  by blast

thus ∃ cmds' mem2'. (add-initial-modes cmds, mem2) →k (cmds', mem2') ∧
  map snd cmds' = map snd cmds' ∧
  length cmds' = length cmds' ∧
  (∀ x. dma x = Low ∧ (∀ i < length cmds'. x ∉ snd (cmds' ! i))
  AsmNoRead)
  → mem1' x = mem2' x
  using p compat-low-eq
  by (metis length-map)
qed

end

end

```

4 Language for Instantiating the SIFUM-Security Property

```

theory Language
imports Main Preliminaries
begin

```

4.1 Syntax

```

datatype 'var ModeUpd = Acq 'var Mode (infix +=m 75)
  | Rel 'var Mode (infix -=m 75)

datatype ('var, 'aexp, 'bexp) Stmt = Assign 'var 'aexp (infix ← 130)
  | Skip
  | ModeDecl ('var, 'aexp, 'bexp) Stmt 'var ModeUpd (-@[-] [0, 0] 150)
  | Seq ('var, 'aexp, 'bexp) Stmt ('var, 'aexp, 'bexp) Stmt (infixr ;; 150)
  | If 'bexp ('var, 'aexp, 'bexp) Stmt ('var, 'aexp, 'bexp) Stmt
  | While 'bexp ('var, 'aexp, 'bexp) Stmt
  | Stop

```

```

type-synonym ('var, 'aexp, 'bexp) EvalCxt = ('var, 'aexp, 'bexp) Stmt list

locale sifum-lang =
  fixes evalA :: ('Var, 'Val) Mem  $\Rightarrow$  'AExp  $\Rightarrow$  'Val
  fixes evalB :: ('Var, 'Val) Mem  $\Rightarrow$  'BExp  $\Rightarrow$  bool
  fixes aexp-vars :: 'AExp  $\Rightarrow$  'Var set
  fixes bexp-vars :: 'BExp  $\Rightarrow$  'Var set
  fixes dma :: 'Var  $\Rightarrow$  Sec
  assumes Var-finite : finite {(x :: 'Var). True}
  assumes eval-vars-detA :  $\llbracket \forall x \in \text{aexp-vars } e. \text{mem}_1 x = \text{mem}_2 x \rrbracket \implies \text{eval}_A$ 
  mem1 e = evalA mem2 e
  assumes eval-vars-detB :  $\llbracket \forall x \in \text{bexp-vars } b. \text{mem}_1 x = \text{mem}_2 x \rrbracket \implies \text{eval}_B$ 
  mem1 b = evalB mem2 b

context sifum-lang
begin

```

```

notation (latex output)
  Seq (-; - 60)

notation (Rule output)
  Seq (- ; - 60)

notation (Rule output)
  If (if - then - else - fi 50)

notation (Rule output)
  While (while - do - done)

abbreviation confw-abv :: ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$ 
  'Var Mds  $\Rightarrow$  ('Var, 'Val) Mem  $\Rightarrow$  (-,-,-) LocalConf
  ( $\langle$  -, -, -  $\rangle_w$  [0, 120, 120] 100)
  where
     $\langle c, mds, mem \rangle_w \equiv ((c, mds), mem)$ 

```

4.2 Semantics

```

primrec update-modes :: 'Var ModeUpd  $\Rightarrow$  'Var Mds  $\Rightarrow$  'Var Mds
  where
    update-modes (Acq x m) mds = mds (m := insert x (mds m)) |
    update-modes (Rel x m) mds = mds (m := {y. y  $\in$  mds m  $\wedge$  y  $\neq$  x})

fun updated-var :: 'Var ModeUpd  $\Rightarrow$  'Var
  where
    updated-var (Acq x -) = x |
    updated-var (Rel x -) = x

```

```

fun updated-mode :: 'Var ModeUpd  $\Rightarrow$  Mode
where
  updated-mode (Acq - m) = m |
  updated-mode (Rel - m) = m

inductive-set evalw-simple :: (('Var, 'AExp, 'BExp) Stmt  $\times$  ('Var, 'Val) Mem)
rel
and evalw-simple-abv :: (('Var, 'AExp, 'BExp) Stmt  $\times$  ('Var, 'Val) Mem)  $\Rightarrow$ 
  ('Var, 'AExp, 'BExp) Stmt  $\times$  ('Var, 'Val) Mem  $\Rightarrow$  bool
  (infixr  $\rightsquigarrow_s$  60)
where
   $c \rightsquigarrow_s c' \equiv (c, c') \in \text{eval}_w\text{-simple}$  |
  assign:  $((x \leftarrow e, \text{mem}), (\text{Stop}, \text{mem} (x := \text{eval}_A \text{mem } e))) \in \text{eval}_w\text{-simple}$  |
  skip:  $((\text{Skip}, \text{mem}), (\text{Stop}, \text{mem})) \in \text{eval}_w\text{-simple}$  |
  seq-stop:  $((\text{Seq Stop } c, \text{mem}), (c, \text{mem})) \in \text{eval}_w\text{-simple}$  |
  if-true:  $\llbracket \text{eval}_B \text{mem } b \rrbracket \implies ((\text{If } b \text{ t } e, \text{mem}), (t, \text{mem})) \in \text{eval}_w\text{-simple}$  |
  if-false:  $\llbracket \neg \text{eval}_B \text{mem } b \rrbracket \implies ((\text{If } b \text{ t } e, \text{mem}), (e, \text{mem})) \in \text{eval}_w\text{-simple}$  |
  while:  $((\text{While } b \text{ c}, \text{mem}), (\text{If } b (c \text{ ;; } \text{While } b \text{ c}) \text{ Stop}, \text{mem})) \in \text{eval}_w\text{-simple}$ 

primrec ctxt-to-stmt :: ('Var, 'AExp, 'BExp) EvalCxt  $\Rightarrow$  ('Var, 'AExp, 'BExp)
  Stmt
   $\Rightarrow$  ('Var, 'AExp, 'BExp) Stmt
  where
    ctxt-to-stmt [] c = c |
    ctxt-to-stmt (c # cs) c' = Seq c' (ctxt-to-stmt cs c)

```

```

inductive-set evalw :: (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf rel
and evalw-abv :: (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf  $\Rightarrow$ 
  ('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf  $\Rightarrow$  bool
  (infixr  $\rightsquigarrow_w$  60)
where
   $c \rightsquigarrow_w c' \equiv (c, c') \in \text{eval}_w$  |
  unannotated:  $\llbracket (c, \text{mem}) \rightsquigarrow_s (c', \text{mem}') \rrbracket$ 
   $\implies ((\text{ctxt-to-stmt } E \text{ c}, \text{mds}, \text{mem})_w, \langle \text{ctxt-to-stmt } E \text{ c}', \text{mds}, \text{mem}' \rangle_w) \in \text{eval}_w$  |
  seq:  $\llbracket \langle c_1, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c_1', \text{mds}', \text{mem}' \rangle_w \rrbracket \implies ((c_1 \text{ ;; } c_2), \text{mds}, \text{mem})_w, \langle (c_1' \text{ ;; } c_2), \text{mds}', \text{mem}' \rangle_w \in \text{eval}_w$  |
  decl:  $\llbracket \langle c, \text{update-modes } mu \text{ mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w \rrbracket \implies$ 
     $\langle (\text{ctxt-to-stmt } E (\text{ModeDecl } c \text{ mu}), \text{mds}, \text{mem})_w, \langle \text{ctxt-to-stmt } E \text{ c}', \text{mds}', \text{mem}' \rangle_w \rangle \in \text{eval}_w$ 

```

4.3 Semantic Properties

The following lemmas simplify working with evaluation contexts in the soundness proofs for the type system(s).

inductive-cases eval-elim: $((c, \text{mds}, \text{mem}), (c', \text{mds}', \text{mem}')) \in \text{eval}_w$
inductive-cases stop-no-eval' [elim]: $((\text{Stop}, \text{mem}), (c', \text{mem}')) \in \text{eval}_w\text{-simple}$

inductive-cases *assign-elim'* [elim]: $((x \leftarrow e, \text{mem}), (c', \text{mem}')) \in \text{eval}_w\text{-simple}$
inductive-cases *skip-elim'* [elim]: $(\text{Skip}, \text{mem}) \rightsquigarrow_s (c', \text{mem}')$

lemma *cxt-inv*:

$\llbracket \text{cxt-to-stmt } E \ c = c' ; \bigwedge p \ q. \ c' \neq \text{Seq } p \ q \rrbracket \implies E = [] \wedge c' = c$
by (metis *cxt-to-stmt.simps(1)* *cxt-to-stmt.simps(2)* neq-Nil-conv)

lemma *cxt-inv-assign*:

$\llbracket \text{cxt-to-stmt } E \ c = x \leftarrow e \rrbracket \implies c = x \leftarrow e \wedge E = []$
by (metis *Stmt.simps(11)* *cxt-inv*)

lemma *cxt-inv-skip*:

$\llbracket \text{cxt-to-stmt } E \ c = \text{Skip} \rrbracket \implies c = \text{Skip} \wedge E = []$
by (metis *Stmt.simps(21)* *cxt-inv*)

lemma *cxt-inv-stop*:

$\text{cxt-to-stmt } E \ c = \text{Stop} \implies c = \text{Stop} \wedge E = []$
by (metis *Stmt.simps(40)* *cxt-inv*)

lemma *cxt-inv-if*:

$\text{cxt-to-stmt } E \ c = \text{If } e \ p \ q \implies c = \text{If } e \ p \ q \wedge E = []$
by (metis *Stmt.simps(37)* *cxt-inv*)

lemma *cxt-inv-while*:

$\text{cxt-to-stmt } E \ c = \text{While } e \ p \implies c = \text{While } e \ p \wedge E = []$
by (metis *Stmt.simps(39)* *cxt-inv*)

lemma *skip-elim* [elim]:

$\langle \text{Skip}, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w \implies c' = \text{Stop} \wedge \text{mds} = \text{mds}' \wedge \text{mem} = \text{mem}'$
apply (erule *eval-elim*)
 apply (metis (lifting) *cxt-inv-skip* *cxt-to-stmt.simps(1)* *skip-elim'*)
 apply (metis *Stmt.simps(20)*)
by (metis *Stmt.simps(18)* *cxt-inv-skip*)

lemma *assign-elim* [elim]:

$\langle x \leftarrow e, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w \implies c' = \text{Stop} \wedge \text{mds} = \text{mds}' \wedge \text{mem}' = \text{mem}$ ($x := \text{eval}_A \text{mem} \ e$)
apply (erule *eval-elim*)
 apply (rename-tac $c \ c'a \ E$)
 apply (subgoal-tac $c = x \leftarrow e \wedge E = []$)
 apply auto
 apply (metis *cxt-inv-assign*)
 apply (metis *cxt-inv-assign*)
 apply (metis *Stmt.simps(8)* *cxt-inv-assign*)
 apply (metis *Stmt.simps(8)* *cxt-inv-assign*)
by (metis *Stmt.simps(8)* *cxt-inv-assign*)

inductive-cases *if-elim'* [elim!]: $(\text{If } b \ p \ q, \text{mem}) \rightsquigarrow_s (c', \text{mem}')$

lemma *if-elim* [*elim*]:

$\bigwedge P.$
 $\llbracket \langle \text{If } b \ p \ q, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w ;$
 $\llbracket c' = p; mem' = mem ; mds' = mds ; eval_B \ mem \ b \rrbracket \implies P ;$
 $\llbracket c' = q; mem' = mem ; mds' = mds ; \neg eval_B \ mem \ b \rrbracket \implies P \rrbracket \implies P$

apply (*erule eval-elim*)
apply (*metis (no-types) ctxt-inv-if ctxt-to-stmt.simps(1) if-elim'*)
apply (*metis Stmt.simps(36)*)
by (*metis Stmt.simps(30) ctxt-inv-if*)

inductive-cases *while-elim'* [*elim!*]: $(\text{While } e \ c, mem) \rightsquigarrow_s (c', mem')$

lemma *while-elim* [*elim*]:

$\llbracket \langle \text{While } e \ c, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w \rrbracket \implies c' = \text{If } e \ (c \ ;\; \text{While } e \ c) \ \text{Stop} \wedge mds' = mds \wedge mem' = mem$

apply (*erule eval-elim*)
apply (*metis (no-types) ctxt-inv-while ctxt-to-stmt.simps(1) while-elim'*)
apply (*metis Stmt.simps(38)*)
by (*metis (lifting) Stmt.simps(33) ctxt-inv-while*)

inductive-cases *upd-elim'* [*elim*]: $(c @ [upd], mem) \rightsquigarrow_s (c', mem')$

lemma *upd-elim* [*elim*]:

$\langle c @ [upd], mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w \implies \langle c, \text{update-modes } upd \ mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w$

apply (*erule eval-elim*)
apply (*metis (lifting) Stmt.simps(28) ctxt-inv upd-elim'*)
apply (*metis Stmt.simps(29)*)
by (*metis (lifting) Stmt.simps(2) Stmt.simps(29) ctxt-inv ctxt-to-stmt.simps(1)*)

lemma *ctxt-seq-elim* [*elim*]:

$c_1 \ ;\; c_2 = \text{ctxt-to-stmt } E \ c \implies (E = [] \wedge c = c_1 \ ;\; c_2) \vee (\exists \ c' \ cs. \ E = c' \ # \ cs \wedge c = c_1 \wedge c_2 = \text{ctxt-to-stmt } cs \ c')$

apply (*cases E*)
apply (*metis ctxt-to-stmt.simps(1)*)
by (*metis Stmt.simps(3) ctxt-to-stmt.simps(2)*)

inductive-cases *seq-elim'* [*elim*]: $(c_1 \ ;\; c_2, mem) \rightsquigarrow_s (c', mem')$

lemma *stop-no-eval*: $\neg (\langle \text{Stop}, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w)$

apply *auto*
apply (*erule eval-elim*)
apply (*metis ctxt-inv-stop stop-no-eval'*)
apply (*metis Stmt.simps(41)*)
by (*metis Stmt.simps(35) ctxt-inv-stop*)

lemma *seq-stop-elim* [*elim*]:

$\langle \text{Stop} \ ;\; c, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w \implies c' = c \wedge mds' = mds \wedge mem' = mem$

```

= mem
apply (erule eval-elim)
apply clarify
apply (metis (no-types) ctxt-seq-elim ctxt-to-stmt.simps(1) seq-elim' stop-no-eval')
apply (metis Stmt.inject(3) stop-no-eval)
by (metis Stmt.distinct(23) Stmt.distinct(29) ctxt-seq-elim)

lemma ctxt-stmt-seq:
c ;; ctxt-to-stmt E c' = ctxt-to-stmt (c' # E) c
by (metis ctxt-to-stmt.simps(2))

lemma seq-elim [elim]:
[| < c1 ;; c2, mds, mem >_w ~~~>_w < c', mds', mem' >_w ; c1 ≠ Stop |] ==>
(∃ c1'. < c1, mds, mem >_w ~~~>_w < c1', mds', mem' >_w ∧ c' = c1' ;; c2)
apply (erule eval-elim)
apply clarify
apply (drule ctxt-seq-elim)
apply (erule disjE)
apply (metis seq-elim')
apply auto
apply (metis ctxt-to-stmt.simps(1) eval_w.unannotated)
apply (subgoal-tac c1 = (c@[mu]))
apply simp
apply (drule ctxt-seq-elim)
apply (metis Stmt.distinct(23) ctxt-stmt-seq ctxt-to-stmt.simps(1) eval_w.decl)
by (metis Stmt.distinct(23) ctxt-seq-elim)

lemma stop ctxt: Stop = ctxt-to-stmt E c ==> c = Stop
by (metis Stmt.simps(41) ctxt-to-stmt.simps(1) ctxt-to-stmt.simps(2) neq-Nil-conv)

end

end

```

5 Type System for Ensuring SIFUM-Security of Commands

```

theory TypeSystem
imports Main Preliminaries Security Language Compositionality
begin

```

5.1 Typing Rules

```
type-synonym Type = Sec
```

```
type-synonym 'Var TyEnv = 'Var → Type
```

```

locale sifum-types =
  sifum-lang evA evB + sifum-security dma Stop evalw
  for evA :: ('Var, 'Val) Mem  $\Rightarrow$  'AExp  $\Rightarrow$  'Val
  and evB :: ('Var, 'Val) Mem  $\Rightarrow$  'BExp  $\Rightarrow$  bool

context sifum-types
begin

abbreviation mm-equiv-abv2 :: (-, -, -) LocalConf  $\Rightarrow$  (-, -, -) LocalConf  $\Rightarrow$  bool
(infix  $\approx$  60)
  where mm-equiv-abv2 c c'  $\equiv$  mm-equiv-abv c c'

abbreviation eval-abv2 :: (-, 'Var, 'Val) LocalConf  $\Rightarrow$  (-, -, -) LocalConf  $\Rightarrow$  bool
(infixl  $\rightsquigarrow$  70)
  where
    x  $\rightsquigarrow$  y  $\equiv$  (x, y)  $\in$  evalw

abbreviation low-indistinguishable-abv :: 'Var Mds  $\Rightarrow$  ('Var, 'AExp, 'BExp) Stmt
 $\Rightarrow$  (-, -, -) Stmt  $\Rightarrow$  bool
(-  $\sim_1$  - [100, 100] 80)
  where
    c  $\sim_{mds}$  c'  $\equiv$  low-indistinguishable mds c c'

definition to-total :: 'Var TyEnv  $\Rightarrow$  'Var  $\Rightarrow$  Sec
  where to-total  $\Gamma$  v  $\equiv$  if v  $\in$  dom  $\Gamma$  then the ( $\Gamma$  v) else dma v

definition max-dom :: Sec set  $\Rightarrow$  Sec
  where max-dom xs  $\equiv$  if High  $\in$  xs then High else Low

inductive type-aexpr :: 'Var TyEnv  $\Rightarrow$  'AExp  $\Rightarrow$  Type  $\Rightarrow$  bool (-  $\vdash_a$  -  $\in$  - [120, 120, 120] 1000)
  where
    type-aexpr [intro!]:  $\Gamma \vdash_a e \in \text{max-dom} (\text{image} (\lambda x. \text{to-total} \Gamma x) (\text{aexp-vars} e))$ 

inductive-cases type-aexpr-elim [elim]:  $\Gamma \vdash_a e \in t$ 

inductive type-bexpr :: 'Var TyEnv  $\Rightarrow$  'BExp  $\Rightarrow$  Type  $\Rightarrow$  bool (-  $\vdash_b$  -  $\in$  - [120, 120, 120] 1000)
  where
    type-bexpr [intro!]:  $\Gamma \vdash_b e \in \text{max-dom} (\text{image} (\lambda x. \text{to-total} \Gamma x) (\text{bexp-vars} e))$ 

inductive-cases type-bexpr-elim [elim]:  $\Gamma \vdash_b e \in t$ 

definition mds-consistent :: 'Var Mds  $\Rightarrow$  'Var TyEnv  $\Rightarrow$  bool
  where mds-consistent mds  $\Gamma$   $\equiv$ 
    dom  $\Gamma$  = {(x :: 'Var). (dma x = Low  $\wedge$  x  $\in$  mds AsmNoRead)  $\vee$ 

```

```


$$(dma\ x = High \wedge x \in mds\ AsmNoWrite)\}$$


fun add-anno-dom :: 'Var TyEnv  $\Rightarrow$  'Var ModeUpd  $\Rightarrow$  'Var set
where
  add-anno-dom  $\Gamma$  (Acq v AsmNoRead) = (if dma v = Low then dom  $\Gamma$   $\cup$  {v} else dom  $\Gamma$ ) |
  add-anno-dom  $\Gamma$  (Acq v AsmNoWrite) = (if dma v = High then dom  $\Gamma$   $\cup$  {v} else dom  $\Gamma$ ) |
  add-anno-dom  $\Gamma$  (Acq v -) = dom  $\Gamma$  |
  add-anno-dom  $\Gamma$  (Rel v AsmNoRead) = (if dma v = Low then dom  $\Gamma$   $-$  {v} else dom  $\Gamma$ ) |
  add-anno-dom  $\Gamma$  (Rel v AsmNoWrite) = (if dma v = High then dom  $\Gamma$   $-$  {v} else dom  $\Gamma$ ) |
  add-anno-dom  $\Gamma$  (Rel v -) = dom  $\Gamma$ 

definition add-anno :: 'Var TyEnv  $\Rightarrow$  'Var ModeUpd  $\Rightarrow$  'Var TyEnv (infix  $\oplus$  60)
where
   $\Gamma \oplus upd = ((\lambda x. Some (to-total \Gamma x)) \mid` add-anno-dom \Gamma upd)$ 

definition context-le :: 'Var TyEnv  $\Rightarrow$  'Var TyEnv  $\Rightarrow$  bool (infixr  $\sqsubseteq_c$  100)
where
   $\Gamma \sqsubseteq_c \Gamma' \equiv (dom \Gamma = dom \Gamma') \wedge (\forall x \in dom \Gamma. the(\Gamma x) \sqsubseteq the(\Gamma' x))$ 

inductive has-type :: 'Var TyEnv  $\Rightarrow$  ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$  'Var TyEnv
 $\Rightarrow$  bool
  ( $\vdash \cdot \{-\} \cdot [120, 120, 120] 1000$ )
where
  stop-type [intro]:  $\vdash \Gamma \{ Stop \} \Gamma$  |
  skip-type [intro]:  $\vdash \Gamma \{ Skip \} \Gamma$  |
  assign1:  $\llbracket x \notin dom \Gamma ; \Gamma \vdash_a e \in t ; t \sqsubseteq dma x \rrbracket \implies \vdash \Gamma \{ x \leftarrow e \} \Gamma$  |
  assign2:  $\llbracket x \in dom \Gamma ; \Gamma \vdash_a e \in t \rrbracket \implies \text{has-type } \Gamma (x \leftarrow e) (\Gamma (x := Some t))$  |
  if-type [intro]:  $\llbracket \Gamma \vdash_b e \in High \longrightarrow ((\forall mds. mds-consistent mds \Gamma \longrightarrow (low-indistinguishable mds c1 c2)) \wedge (\forall x \in dom \Gamma'. \Gamma' x = Some High)) ; \vdash \Gamma \{ c1 \} \Gamma' ; \vdash \Gamma \{ c2 \} \Gamma' \rrbracket \implies \vdash \Gamma \{ If e c1 c2 \} \Gamma'$  |
  while-type [intro]:  $\llbracket \Gamma \vdash_b e \in Low ; \vdash \Gamma \{ c \} \Gamma \rrbracket \implies \vdash \Gamma \{ While e c \} \Gamma$  |
  anno-type [intro]:  $\llbracket \Gamma' = \Gamma \oplus upd ; \vdash \Gamma' \{ c \} \Gamma'' ; c \neq Stop ; \forall x. to-total \Gamma x \sqsubseteq to-total \Gamma' x \rrbracket \implies \vdash \Gamma \{ c @ [upd] \} \Gamma''$  |
  seq-type [intro]:  $\llbracket \vdash \Gamma \{ c_1 \} \Gamma' ; \vdash \Gamma' \{ c_2 \} \Gamma'' \rrbracket \implies \vdash \Gamma \{ c_1 ; c_2 \} \Gamma''$  |
  sub:  $\llbracket \vdash \Gamma_1 \{ c \} \Gamma_1' ; \Gamma_2 \sqsubseteq_c \Gamma_1 ; \Gamma_1' \sqsubseteq_c \Gamma_2' \rrbracket \implies \vdash \Gamma_2 \{ c \} \Gamma_2'$ 
```

5.2 Typing Soundness

The following predicate is needed to exclude some pathological cases, that abuse the *Stop* command which is not allowed to occur in actual programs.

```
fun has-annotated-stop :: ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$  bool
where
```

```

has-annotated-stop (c@[-]) = (if c = Stop then True else has-annotated-stop c) |
has-annotated-stop (Seq p q) = (has-annotated-stop p ∨ has-annotated-stop q) |
has-annotated-stop (If - p q) = (has-annotated-stop p ∨ has-annotated-stop q) |
has-annotated-stop (While - p) = has-annotated-stop p |
has-annotated-stop - = False

```

```

inductive-cases has-type-elim: ⊢ Γ { c } Γ'
inductive-cases has-type-stop-elim: ⊢ Γ { Stop } Γ'

```

```

definition tyenv-eq :: 'Var TyEnv ⇒ (('Var, 'Val) Mem ⇒ ('Var, 'Val) Mem ⇒
bool
(infix =1 60)
where mem1 =Γ mem2 ≡ ∀ x. (to-total Γ x = Low → mem1 x = mem2 x)

```

```

lemma tyenv-eq-sym: mem1 =Γ mem2 ⇒ mem2 =Γ mem1
by (auto simp: tyenv-eq-def)

```

```

inductive-set R1 :: 'Var TyEnv ⇒ (((Var, AExp, BExp) Stmt, Var, Val) LocalConf rel
and R1-abv :: 'Var TyEnv ⇒
((Var, AExp, BExp) Stmt, Var, Val) LocalConf ⇒
((Var, AExp, BExp) Stmt, Var, Val) LocalConf ⇒
bool (- R11 - [120, 120] 1000)
for Γ' :: 'Var TyEnv
where
x R1Γ y ≡ (x, y) ∈ R1 Γ |
intro [intro!]: [[ ⊢ Γ { c } Γ'; mds-consistent mds Γ ; mem1 =Γ mem2 ]] ⇒ ⟨c,
mds, mem11Γ' ⟨c, mds, mem2

```

```

inductive-set R2 :: 'Var TyEnv ⇒ (((Var, AExp, BExp) Stmt, Var, Val) LocalConf rel
and R2-abv :: 'Var TyEnv ⇒
((Var, AExp, BExp) Stmt, Var, Val) LocalConf ⇒
((Var, AExp, BExp) Stmt, Var, Val) LocalConf ⇒
bool (- R21 - [120, 120] 1000)
for Γ' :: 'Var TyEnv
where
x R2Γ y ≡ (x, y) ∈ R2 Γ |
intro [intro!]: [[ ⟨c1, mds, mem12, mds, mem21 { c1 } Γ' ; ⊢ Γ2 { c2 } Γ' ;
    mds-consistent mds Γ1 ; mds-consistent mds Γ2 ]] ⇒
⟨c1, mds, mem12Γ' ⟨c2, mds, mem2

```

```

inductive R3-aux :: 'Var TyEnv ⇒ (((Var, AExp, BExp) Stmt, Var, Val) LocalConf ⇒
((Var, AExp, BExp) Stmt, Var, Val) LocalConf ⇒

```

$\text{bool} (\text{- } \mathcal{R}^3_1 - [120, 120] 1000)$
and $\mathcal{R}_3 :: \text{'Var TyEnv} \Rightarrow ((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel}$
where
 $\mathcal{R}_3 \Gamma' \equiv \{(lc_1, lc_2), \mathcal{R}_3\text{-aux } \Gamma' lc_1 lc_2\} |$
 $\text{intro}_1 [\text{intro}] : \llbracket \langle c_1, mds, mem_1 \rangle \mathcal{R}^1_\Gamma \langle c_2, mds, mem_2 \rangle; \vdash \Gamma \{ c \} \Gamma' \rrbracket \implies$
 $\langle Seq c_1 c, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle Seq c_2 c, mds, mem_2 \rangle |$
 $\text{intro}_2 [\text{intro}] : \llbracket \langle c_1, mds, mem_1 \rangle \mathcal{R}^2_\Gamma \langle c_2, mds, mem_2 \rangle; \vdash \Gamma \{ c \} \Gamma' \rrbracket \implies$
 $\langle Seq c_1 c, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle Seq c_2 c, mds, mem_2 \rangle |$
 $\text{intro}_3 [\text{intro}] : \llbracket \langle c_1, mds, mem_1 \rangle \mathcal{R}^3_\Gamma \langle c_2, mds, mem_2 \rangle; \vdash \Gamma \{ c \} \Gamma' \rrbracket \implies$
 $\langle Seq c_1 c, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle Seq c_2 c, mds, mem_2 \rangle$

definition $\text{weak-bisim} :: ((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel} \Rightarrow$
 $((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel} \Rightarrow \text{bool}$
where $\text{weak-bisim } \mathcal{T}_1 \mathcal{T} \equiv \forall c_1 c_2 mds mem_1 mem_2 c_1' mds' mem_1'.$
 $((\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{T}_1 \wedge$
 $(\langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1' \rangle)) \longrightarrow$
 $(\exists c_2' mem_2'. \langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge$
 $(\langle c_1', mds', mem_1' \rangle, \langle c_2', mds', mem_2' \rangle) \in \mathcal{T})$

inductive-set $\mathcal{R} :: \text{'Var TyEnv} \Rightarrow$
 $((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel}$
and $\mathcal{R}\text{-abv} :: \text{'Var TyEnv} \Rightarrow$
 $((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow$
 $((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow$
 $\text{bool} (\text{- } \mathcal{R}^u_1 - [120, 120] 1000)$
for $\Gamma :: \text{'Var TyEnv}$
where
 $x \mathcal{R}^u_\Gamma y \equiv (x, y) \in \mathcal{R} \Gamma |$
 $\text{intro}_1: lc \mathcal{R}^1_\Gamma lc' \implies (lc, lc') \in \mathcal{R} \Gamma |$
 $\text{intro}_2: lc \mathcal{R}^2_\Gamma lc' \implies (lc, lc') \in \mathcal{R} \Gamma |$
 $\text{intro}_3: lc \mathcal{R}^3_\Gamma lc' \implies (lc, lc') \in \mathcal{R} \Gamma$

inductive-cases $\mathcal{R}_1\text{-elim} [\text{elim}]$: $\langle c_1, mds, mem_1 \rangle \mathcal{R}^1_\Gamma \langle c_2, mds, mem_2 \rangle$
inductive-cases $\mathcal{R}_2\text{-elim} [\text{elim}]$: $\langle c_1, mds, mem_1 \rangle \mathcal{R}^2_\Gamma \langle c_2, mds, mem_2 \rangle$
inductive-cases $\mathcal{R}_3\text{-elim} [\text{elim}]$: $\langle c_1, mds, mem_1 \rangle \mathcal{R}^3_\Gamma \langle c_2, mds, mem_2 \rangle$

inductive-cases $\mathcal{R}\text{-elim} [\text{elim}]$: $(\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R} \Gamma$
inductive-cases $\mathcal{R}\text{-elim}'$: $(\langle c_1, mds, mem_1 \rangle, \langle c_2, mds_2, mem_2 \rangle) \in \mathcal{R} \Gamma$
inductive-cases $\mathcal{R}_1\text{-elim}'$: $\langle c_1, mds, mem_1 \rangle \mathcal{R}^1_\Gamma \langle c_2, mds_2, mem_2 \rangle$
inductive-cases $\mathcal{R}_2\text{-elim}'$: $\langle c_1, mds, mem_1 \rangle \mathcal{R}^2_\Gamma \langle c_2, mds_2, mem_2 \rangle$
inductive-cases $\mathcal{R}_3\text{-elim}'$: $\langle c_1, mds, mem_1 \rangle \mathcal{R}^3_\Gamma \langle c_2, mds_2, mem_2 \rangle$

lemma $\mathcal{R}_1\text{-sym}$: $\text{sym } (\mathcal{R}_1 \Gamma)$
unfolding sym-def
apply auto

```

by (metis (no-types) R1.intro R1-elim' tyenv-eq-sym)

lemma R2-sym: sym (R2 Γ)
  unfolding sym-def
  apply clarify
  by (metis (no-types) R2.intro R2-elim' mm-equiv-sym)

lemma R3-sym: sym (R3 Γ)
  unfolding sym-def
  proof (clarify)
    fix c1 mds mem1 c2 mds' mem2
    assume asm: ⟨c1, mds, mem1⟩ R3Γ ⟨c2, mds', mem2⟩
    hence [simp]: mds' = mds
      using R3-elim' by blast
    from asm show ⟨c2, mds', mem2⟩ R3Γ ⟨c1, mds, mem1⟩
      apply auto
      apply (induct rule: R3-aux.induct)
        apply (metis (lifting) R1-sym R3-aux.intro1 symD)
        apply (metis (lifting) R2-sym R3-aux.intro2 symD)
        by (metis (lifting) R3-aux.intro3)
  qed

lemma R-mds [simp]: ⟨c1, mds, mem1⟩ RuΓ ⟨c2, mds', mem2⟩ ==> mds = mds'
  apply (rule R-elim')
  apply (auto)
  apply (metis R1-elim')
  apply (metis R2-elim')
  apply (insert R3-elim')
  by blast

lemma R-sym: sym (R Γ)
  unfolding sym-def
  proof (clarify)
    fix c1 mds mem1 c2 mds2 mem2
    assume asm: ((⟨c1, mds, mem1⟩, ⟨c2, mds2, mem2⟩) ∈ R Γ
    with R-mds have [simp]: mds2 = mds
      by blast
    from asm show ((⟨c2, mds2, mem2⟩, ⟨c1, mds, mem1⟩) ∈ R Γ
      using R.intro1 [of Γ] and R.intro2 [of Γ] and R.intro3 [of Γ]
      using R1-sym [of Γ] and R2-sym [of Γ] and R3-sym [of Γ]
      apply simp
      apply (erule R-elim)
        by (auto simp: sym-def)
  qed

lemma R1-closed-glob-consistent: closed-glob-consistent (R1 Γ')
  unfolding closed-glob-consistent-def

```

```

proof (clarify)
  fix  $c_1 \ mds \ mem_1 \ c_2 \ mem_2 \ x \ \Gamma'$ 
  assume  $R1: \langle c_1, mds, mem_1 \rangle \mathcal{R}^1_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
  hence [simp]:  $c_2 = c_1$  by blast
  from  $R1$  obtain  $\Gamma$  where  $\Gamma$ -props:  $\vdash \Gamma \{ c_1 \} \Gamma' \ mem_1 =_{\Gamma} mem_2$  mds-consistent
  mds  $\Gamma$ 
    by blast
  hence  $\bigwedge v. \langle c_1, mds, mem_1 (x := v) \rangle \mathcal{R}^1_{\Gamma'} \langle c_2, mds, mem_2 (x := v) \rangle$ 
    by (auto simp: tyenv-eq-def mds-consistent-def)
  moreover
    from  $\Gamma$ -props have  $\bigwedge v_1 \ v_2. \llbracket \text{dma } x = \text{High} ; x \notin mds \text{ AsmNoWrite } \rrbracket \implies$ 
       $\langle c_1, mds, mem_1(x := v_1) \rangle \mathcal{R}^1_{\Gamma'} \langle c_2, mds, mem_2(x := v_2) \rangle$ 
      apply (auto simp: mds-consistent-def tyenv-eq-def)
      by (metis (lifting, full-types Sec.simps(2)) mem-Collect-eq to-total-def)
  ultimately show
    ( $\text{dma } x = \text{High} \wedge x \notin mds \text{ AsmNoWrite} \longrightarrow$ 
      $(\forall v_1 \ v_2. \langle c_1, mds, mem_1(x := v_1) \rangle \mathcal{R}^1_{\Gamma'} \langle c_2, mds, mem_2(x := v_2) \rangle))$ 
     $\wedge$ 
    ( $\text{dma } x = \text{Low} \wedge x \notin mds \text{ AsmNoWrite} \longrightarrow$ 
      $(\forall v. \langle c_1, mds, mem_1(x := v) \rangle \mathcal{R}^1_{\Gamma'} \langle c_2, mds, mem_2(x := v) \rangle))$ 
  using intro1
  by auto
qed

```

```

lemma  $\mathcal{R}_2$ -closed-glob-consistent: closed-glob-consistent ( $\mathcal{R}_2 \ \Gamma'$ )
  unfolding closed-glob-consistent-def
  proof (clarify)
    fix  $c_1 \ mds \ mem_1 \ c_2 \ mem_2 \ x \ \Gamma'$ 
    assume  $R2: \langle c_1, mds, mem_1 \rangle \mathcal{R}^2_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
    then obtain  $\Gamma_1 \ \Gamma_2$  where  $\Gamma$ -prop:  $\vdash \Gamma_1 \{ c_1 \} \Gamma' \vdash \Gamma_2 \{ c_2 \} \Gamma'$ 
      mds-consistent mds  $\Gamma_1$  mds-consistent mds  $\Gamma_2$ 
      by blast
    from  $R2$  have bisim:  $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle$ 
      by blast
    then obtain  $\mathcal{R}'$  where  $\mathcal{R}'$ -prop:  $(\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R}' \wedge$ 
      strong-low-bisim-mm  $\mathcal{R}'$ 
      apply (rule mm-equiv-elim)
      by (auto simp: strong-low-bisim-mm-def)
    from  $\mathcal{R}'$ -prop have  $\mathcal{R}'$ -cons: closed-glob-consistent  $\mathcal{R}'$ 
      by (auto simp: strong-low-bisim-mm-def)
    moreover
      from  $\Gamma$ -prop and  $\mathcal{R}'$ -prop
      have  $\bigwedge mem_1 \ mem_2. (\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R}' \implies \langle c_1, mds,$ 
       $mem_1 \rangle \mathcal{R}^2_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
      using  $\mathcal{R}_2.intro$  [where  $\Gamma' = \Gamma'$  and  $\Gamma_1 = \Gamma_1$  and  $\Gamma_2 = \Gamma_2$ ]
      using mm-equiv-intro and R2
      by blast
    ultimately show
      ( $\text{dma } x = \text{High} \wedge x \notin mds \text{ AsmNoWrite} \longrightarrow$ 

```

```

 $(\forall v_1 v_2. \langle c_1, mds, mem_1(x := v_1) \rangle \mathcal{R}^2_{\Gamma'} \langle c_2, mds, mem_2(x := v_2) \rangle))$ 
 $\wedge$ 
 $(dma x = Low \wedge x \notin mds \text{ AsmNoWrite} \longrightarrow$ 
 $(\forall v. \langle c_1, mds, mem_1(x := v) \rangle \mathcal{R}^2_{\Gamma'} \langle c_2, mds, mem_2(x := v) \rangle))$ 
using  $\mathcal{R}$ -prop
unfolding closed-glob-consistent-def
by simp
qed

```

```

fun closed-glob-helper :: 'Var TyEnv  $\Rightarrow$  (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val)
LocalConf  $\Rightarrow$  (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf  $\Rightarrow$  bool
where
closed-glob-helper  $\Gamma'$   $\langle c_1, mds, mem_1 \rangle \langle c_2, mds_2, mem_2 \rangle =$ 
 $(\forall x. ((dma x = High \wedge x \notin mds \text{ AsmNoWrite}) \longrightarrow$ 
 $(\forall v_1 v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in$ 
 $\mathcal{R}_3 \Gamma')) \wedge$ 
 $((dma x = Low \wedge x \notin mds \text{ AsmNoWrite}) \longrightarrow$ 
 $(\forall v. (\langle c_1, mds, mem_1(x := v) \rangle, \langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R}_3 \Gamma')))$ 

```

lemma \mathcal{R}_3 -closed-glob-consistent:

```

assumes R3:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
shows  $\forall x.$ 
 $(dma x = High \wedge x \notin mds \text{ AsmNoWrite} \longrightarrow$ 
 $(\forall v_1 v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in \mathcal{R}_3 \Gamma'))$ 
 $\wedge$ 
 $(dma x = Low \wedge x \notin mds \text{ AsmNoWrite} \longrightarrow (\forall v. (\langle c_1, mds, mem_1(x := v) \rangle,$ 
 $\langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R}_3 \Gamma'))$ 

```

proof –

```

from R3 have closed-glob-helper  $\Gamma'$   $\langle c_1, mds, mem_1 \rangle \langle c_2, mds, mem_2 \rangle$ 
proof (induct rule:  $\mathcal{R}_3$ -aux.induct)

```

```

case (intro1  $\Gamma$  c1 mds mem1 c2 mem2 c  $\Gamma'$ )
thus ?case

```

```

using  $\mathcal{R}_1$ -closed-glob-consistent [of  $\Gamma$ ] and  $\mathcal{R}_3$ -aux.intro1
unfolding closed-glob-consistent-def
by (simp, blast)

```

next

```

case (intro2  $\Gamma$  c1 mds mem1 c2 mem2 c  $\Gamma'$ )
thus ?case

```

```

using  $\mathcal{R}_2$ -closed-glob-consistent [of  $\Gamma$ ] and  $\mathcal{R}_3$ -aux.intro2
unfolding closed-glob-consistent-def
by (simp, blast)

```

next

```

case intro3
thus ?case
using  $\mathcal{R}_3$ -aux.intro3
by (simp, blast)

```

qed

thus ?thesis **by** simp

qed

```
lemma  $\mathcal{R}$ -closed-glob-consistent: closed-glob-consistent ( $\mathcal{R} \Gamma'$ )
  unfolding closed-glob-consistent-def
  proof (clarify, erule  $\mathcal{R}$ -elim, simp-all)
    fix  $c_1 mds mem_1 c_2 mem_2 x$ 
    assume  $R1: \langle c_1, mds, mem_1 \rangle \mathcal{R}^1_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
    with  $\mathcal{R}_1$ -closed-glob-consistent show
      (dma  $x = High \wedge x \notin mds$  AsmNoWrite  $\longrightarrow$ 
        $(\forall v_1 v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in \mathcal{R} \Gamma')$ )  $\wedge$ 
       (dma  $x = Low \wedge x \notin mds$  AsmNoWrite  $\longrightarrow$ 
         $(\forall v. (\langle c_1, mds, mem_1(x := v) \rangle, \langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R} \Gamma')$ )
    unfolding closed-glob-consistent-def
    using intro1
    apply clarify
    by metis
  next
    fix  $c_1 mds mem_1 c_2 mem_2 x$ 
    assume  $R2: \langle c_1, mds, mem_1 \rangle \mathcal{R}^2_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
    with  $\mathcal{R}_2$ -closed-glob-consistent show
      (dma  $x = High \wedge x \notin mds$  AsmNoWrite  $\longrightarrow$ 
        $(\forall v_1 v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in \mathcal{R} \Gamma')$ )
       $\wedge$ 
      (dma  $x = Low \wedge x \notin mds$  AsmNoWrite  $\longrightarrow$ 
        $(\forall v. (\langle c_1, mds, mem_1(x := v) \rangle, \langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R} \Gamma')$ )
    unfolding closed-glob-consistent-def
    using intro2
    apply clarify
    by metis
  next
    fix  $c_1 mds mem_1 c_2 mem_2 x \Gamma'$ 
    assume  $R3: \langle c_1, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
    thus
      (dma  $x = High \wedge x \notin mds$  AsmNoWrite  $\longrightarrow$ 
        $(\forall v_1 v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in \mathcal{R} \Gamma')$ )
       $\wedge$ 
      (dma  $x = Low \wedge x \notin mds$  AsmNoWrite  $\longrightarrow$ 
        $(\forall v. (\langle c_1, mds, mem_1(x := v) \rangle, \langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R} \Gamma')$ )
    using  $\mathcal{R}_3$ -closed-glob-consistent
    apply auto
    apply (metis  $\mathcal{R}$ .intro3)
    by (metis (lifting)  $\mathcal{R}$ .intro3)
  qed
```

```
lemma type-low-vars-low:
  assumes typed:  $\Gamma \vdash_a e \in Low$ 
```

```

assumes mds-cons: mds-consistent mds  $\Gamma$ 
assumes x-in-vars:  $x \in aexp\text{-vars}$  e
shows to-total  $\Gamma x = Low$ 
using assms
by (metis (full-types) Sec.exhaust imageI max-dom-def type-aexpr-elim)

lemma type-low-vars-low-b:
assumes typed :  $\Gamma \vdash_b e \in Low$ 
assumes mds-cons: mds-consistent mds  $\Gamma$ 
assumes x-in-vars:  $x \in bexp\text{-vars}$  e
shows to-total  $\Gamma x = Low$ 
using assms
by (metis (full-types) Sec.exhaust imageI max-dom-def type-bexpr.simps)

lemma mode-update-add-anno:
mds-consistent mds  $\Gamma \implies$  mds-consistent (update-modes upd mds) ( $\Gamma \oplus upd$ )
apply (induct arbitrary:  $\Gamma$  rule: add-anno-dom.induct)
by (auto simp: add-anno-def mds-consistent-def)

lemma context-le-trans:  $\llbracket \Gamma \sqsubseteq_c \Gamma' ; \Gamma' \sqsubseteq_c \Gamma'' \rrbracket \implies \Gamma \sqsubseteq_c \Gamma''$ 
apply (auto simp: context-le-def)
by (metis domI order-trans option.sel)

lemma context-le-refl [simp]:  $\Gamma \sqsubseteq_c \Gamma$ 
by (metis context-le-def order-refl)

lemma stop-cxt :
 $\llbracket \vdash \Gamma \{ c \} \Gamma' ; c = Stop \rrbracket \implies \Gamma \sqsubseteq_c \Gamma'$ 
apply (induct rule: has-type.induct)
  apply auto
by (metis context-le-trans)

lemma preservation:
assumes typed:  $\vdash \Gamma \{ c \} \Gamma'$ 
assumes eval:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$ 
shows  $\exists \Gamma''. (\vdash \Gamma'' \{ c' \} \Gamma') \wedge (mds\text{-consistent } mds \Gamma \longrightarrow mds\text{-consistent } mds' \Gamma'')$ 
using typed eval
proof (induct arbitrary:  $c' mds$  rule: has-type.induct)
  case (anno-type  $\Gamma'' \Gamma$  upd  $c_1 \Gamma'$ )
    hence  $\langle c_1, update\text{-modes } upd mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$ 
    by (metis upd-elim)
  with anno-type(3) obtain  $\Gamma'''$  where
     $\vdash \Gamma''' \{ c' \} \Gamma' \wedge (mds\text{-consistent } (update\text{-modes } upd mds) \Gamma'' \longrightarrow mds\text{-consistent } mds' \Gamma''')$ 
    by auto
  moreover

```

```

have mds-consistent mds  $\Gamma \longrightarrow$  mds-consistent (update-modes upd mds)  $\Gamma''$ 
  using anno-type
  apply auto
  by (metis mode-update-add-anno)
ultimately show ?case
  by blast
next
  case stop-type
  with stop-no-eval show ?case ..
next
  case skip-type
  hence  $c' = \text{Stop} \wedge mds' = mds$ 
    by (metis skip-elim)
  thus ?case
    by (metis stop-type)
next
  case (assign1 x  $\Gamma$  e t c' mds)
  hence  $c' = \text{Stop} \wedge mds' = mds$ 
    by (metis assign-elim)
  thus ?case
    by (metis stop-type)
next
  case (assign2 x  $\Gamma$  e t c' mds)
  hence  $c' = \text{Stop} \wedge mds' = mds$ 
    by (metis assign-elim)
  thus ?case
    apply (rule-tac x =  $\Gamma$  (x  $\mapsto$  t) in exI)
    apply (auto simp: mds-consistent-def)
      apply (metis Sec.exhaust)
      apply (metis (lifting, full-types) CollectD domI assign2(1))
      apply (metis (lifting, full-types) CollectD domI assign2(1))
      apply (metis (lifting) CollectE domI assign2(1))
      apply (metis (lifting, full-types) domD mem-Collect-eq)
      by (metis (lifting, full-types) domD mem-Collect-eq)
next
  case (if-type  $\Gamma$  e th el  $\Gamma'$  c' mds)
  thus ?case
    apply (rule-tac x =  $\Gamma$  in exI)
    by force
next
  case (while-type  $\Gamma$  e c c' mds)
  hence [simp]:  $mds' = mds \wedge c' = \text{If } e (c ;; \text{While } e c) \text{ Stop}$ 
    by (metis while-elim)
  thus ?case
    apply (rule-tac x =  $\Gamma$  in exI)
    apply auto
    by (metis Sec.simps(2) has-type.while-type if-type while-type(1) while-type(2)
seq-type stop-type type-bexpr-elim)
next

```

```

case (seq-type  $\Gamma$   $c_1 \Gamma_1 c_2 \Gamma_2 c' mds$ )
thus ?case
proof (cases  $c_1 = Stop$ )
  assume [simp]:  $c_1 = Stop$ 
  with seq-type have [simp]:  $mds' = mds \wedge c' = c_2$ 
    by (metis seq-stop-elim)
  thus ?case
    apply auto
    by (metis (lifting) ‹ $c_1 = Stop$ › context-le-refl seq-type(1) seq-type(3) stop-cxt
sub)
next
  assume  $c_1 \neq Stop$ 
  then obtain  $c_1'$  where  $\langle c_1, mds, mem \rangle \rightsquigarrow \langle c_1', mds', mem' \rangle \wedge c' = (c_1';; c_2)$ 
    by (metis seq-elim seq-type.prem)
  then obtain  $\Gamma'''$  where  $\vdash \Gamma''' \{ c_1' \} \Gamma_1 \wedge$ 
    ( $mds$ -consistent  $mds \Gamma \longrightarrow mds$ -consistent  $mds' \Gamma''$ )
    using seq-type(2)
    by auto
  moreover
  from seq-type have  $\vdash \Gamma_1 \{ c_2 \} \Gamma_2$  by auto
  moreover
  ultimately show ?case
    apply (rule-tac  $x = \Gamma'''$  in exI)
    by (metis (lifting) ‹ $\langle c_1, mds, mem \rangle \rightsquigarrow \langle c_1', mds', mem' \rangle \wedge c' = c_1';; c_2$ ›
has-type.seq-type)
    qed
next
  case (sub  $\Gamma_1 c \Gamma_1' \Gamma_2 \Gamma_2' c' mds$ )
  then obtain  $\Gamma''$  where  $\vdash \Gamma'' \{ c' \} \Gamma_1' \wedge$ 
    ( $mds$ -consistent  $mds \Gamma_1 \longrightarrow mds$ -consistent  $mds' \Gamma''$ )
    by auto
  thus ?case
    apply (rule-tac  $x = \Gamma''$  in exI)
    apply (rule conjI)
      apply (metis (lifting) has-type.sub sub(4) stop-cxt stop-type)
    apply (simp add: mds-consistent-def)
      by (metis context-le-def sub.hyps(3))
  qed

lemma  $\mathcal{R}_1\text{-mem-eq}$ :  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_1^1 \langle c_2, mds, mem_2 \rangle \implies mem_1 =_{mds}^l mem_2$ 
apply (rule  $\mathcal{R}_1$ -elim)
apply (auto simp: tyenv-eq-def mds-consistent-def to-total-def)
by (metis (lifting) Sec.simps(1) low-mds-eq-def)

lemma  $\mathcal{R}_2\text{-mem-eq}$ :  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_2^2 \langle c_2, mds, mem_2 \rangle \implies mem_1 =_{mds}^l mem_2$ 
apply (rule  $\mathcal{R}_2$ -elim)
by (auto simp: mm-equiv-elim strong-low-bisim-mm-def)

```

```

fun bisim-helper :: (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf  $\Rightarrow$ 
  (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf  $\Rightarrow$  bool
  where
    bisim-helper  $\langle c_1, mds, mem_1 \rangle \langle c_2, mds_2, mem_2 \rangle = mem_1 =_{mds}^l mem_2$ 

lemma  $\mathcal{R}_3\text{-mem-eq}$ :  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_3^3 \Gamma' \langle c_2, mds, mem_2 \rangle \implies mem_1 =_{mds}^l mem_2$ 
   $mem_2$ 
    apply (subgoal-tac bisim-helper  $\langle c_1, mds, mem_1 \rangle \langle c_2, mds, mem_2 \rangle$ )
    apply simp
    apply (induct rule:  $\mathcal{R}_3\text{-aux.induct}$ )
    by (auto simp:  $\mathcal{R}_1\text{-mem-eq}$   $\mathcal{R}_2\text{-mem-eq}$ )

```

```

lemma  $\mathcal{R}_2\text{-bisim-step}$ :
  assumes case2:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_2^2 \Gamma' \langle c_2, mds, mem_2 \rangle$ 
  assumes eval:  $\langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1 \rangle$ 
  shows  $\exists c_2' mem_2'. \langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge \langle c_1', mds', mem_1 \rangle \mathcal{R}_2^2 \Gamma' \langle c_2', mds', mem_2' \rangle$ 
  proof –
    from case2 have aux:  $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle \forall x \in \text{dom } \Gamma'. \Gamma' x = \text{Some High}$ 
    by (rule  $\mathcal{R}_2\text{-elim}$ , auto)
    with eval obtain  $c_2' mem_2'$  where  $c_2'\text{-props}$ :
       $\langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge$ 
       $\langle c_1', mds', mem_1 \rangle \approx \langle c_2', mds', mem_2' \rangle$ 
      using mm-equiv-strong-low-bisim strong-low-bisim-mm-def
      by metis

```

```

from case2 obtain  $\Gamma_1 \Gamma_2$  where  $\vdash \Gamma_1 \{ c_1 \} \Gamma' \vdash \Gamma_2 \{ c_2 \} \Gamma'$ 
  mds-consistent mds  $\Gamma_1$  mds-consistent mds  $\Gamma_2$ 
  by (metis  $\mathcal{R}_2\text{-elim}'$ )
  with preservation and  $c_2'\text{-props}$  obtain  $\Gamma_1' \Gamma_2'$  where
     $\vdash \Gamma_1' \{ c_1 \} \Gamma' \text{ mds-consistent mds}' \Gamma_1'$ 
     $\vdash \Gamma_2' \{ c_2 \} \Gamma' \text{ mds-consistent mds}' \Gamma_2'$ 
    using eval
    by metis
  with  $c_2'\text{-props}$  show ?thesis
    using  $\mathcal{R}_2\text{.intro aux(2)}$   $c_2'\text{-props}$ 
    by blast
  qed

```

```

lemma  $\mathcal{R}_2\text{-weak-bisim}$ :
  weak-bisim ( $\mathcal{R}_2 \Gamma'$ ) ( $\mathcal{R} \Gamma'$ )

```

```

unfolding weak-bisim-def
using R.intro2
apply auto
by (metis R2-bisim-step)

lemma R2-bisim: strong-low-bisim-mm (R2 Γ')
  unfolding strong-low-bisim-mm-def
  by (auto simp: R2-sym R2-closed-glob-consistent R2-mem-eq R2-bisim-step)

lemma annotated-no-stop: [¬ has-annotated-stop (c@upd)] ⇒ ¬ has-annotated-stop c
  apply (cases c)
  by auto

lemma typed-no-annotated-stop:
  [⊢ Γ {c} Γ'] ⇒ ¬ has-annotated-stop c
  by (induct rule: has-type.induct, auto)

lemma not-stop-eval:
  [c ≠ Stop ; ¬ has-annotated-stop c] ⇒
  ∀ mds mem. ∃ c' mds' mem'. ⟨c, mds, mem⟩ ~~ ⟨c', mds', mem'⟩
  proof (induct)
    case (Assign x exp)
    thus ?case
      by (metis ctxt-to-stmt.simps(1) evalw-simplep.assign evalwp.unannotated evalwp-evalw-eq)
  next
    case Skip
    thus ?case
      by (metis ctxt-to-stmt.simps(1) evalw.unannotated evalw-simple.skip)
  next
    case (ModeDecl c mu)
    hence ¬ has-annotated-stop c ∧ c ≠ Stop
      by (metis has-annotated-stop.simps(1))
    with ModeDecl show ?case
      apply (clarify, rename-tac mds mem)
      apply simp
      apply (erule-tac x = update-modes mu mds in allE)
      apply (erule-tac x = mem in allE)
      apply (erule exE)+
      by (metis ctxt-to-stmt.simps(1) evalw.decl)
  next
    case (Seq c1 c2)
    thus ?case
      proof (cases c1 = Stop)
        assume c1 = Stop
        thus ?case
          by (metis ctxt-to-stmt.simps(1) evalw-simplep.seq-stop evalwp.unannotated)
      qed
  qed

```

```

eval_w p-eval_w-eq)
next
  assume c_1 ≠ Stop
  with Seq show ?case
    by (metis eval_w.seq has-annotated-stop.simps(2))
qed
next
  case (If bexp c_1 c_2)
  thus ?case
    apply (clarify, rename-tac mds mem)
    apply (case-tac ev_B mem bexp)
    apply (metis ctxt-to-stmt.simps(1) eval_w.unannotated eval_w-simple.if-true)
    by (metis ctxt-to-stmt.simps(1) eval_w.unannotated eval_w-simple.if-false)
next
  case (While bexp c)
  thus ?case
    by (metis ctxt-to-stmt.simps(1) eval_w-simplep.while eval_w.p.unannotated eval_w.p-eval_w-eq)
next
  case Stop
  thus ?case by blast
qed

lemma stop-bisim:
  assumes bisim: ⟨Stop, mds, mem_1⟩ ≈ ⟨c, mds, mem_2⟩
  assumes typeable: ⊢ Γ { c } Γ'
  shows c = Stop
proof (rule ccontr)
  let ?lc_1 = ⟨Stop, mds, mem_1⟩ and
    ?lc_2 = ⟨c, mds, mem_2⟩
  assume c ≠ Stop
  from typeable have ¬ has-annotated-stop c
    by (metis typed-no-annotated-stop)
  with ⟨c ≠ Stop⟩ obtain c' mds' mem_2' where ?lc_2 ~⟨c', mds', mem_2'⟩
    using not-stop-eval
    by blast
  moreover
  from bisim have ?lc_2 ≈ ?lc_1
    by (metis mm-equiv-sym)
  ultimately obtain c'_1 mds'_1 mem_1'
    where ⟨Stop, mds, mem_1⟩ ~⟨c'_1, mds'_1, mem_1'⟩
    using mm-equiv-strong-low-bisim
    unfolding strong-low-bisim-mm-def
    by blast
  thus False
    by (metis (lifting) stop-no-eval)
qed

```

lemma \mathcal{R} -typed-step:

$$\boxed{\vdash \Gamma \{ c_1 \} \Gamma' ; mds\text{-consistent } mds \in \Gamma ; mem_1 =_{\Gamma} mem_2 ; \langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1' \rangle} \implies (\exists c_2' \in \Gamma'. \langle c_1, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle) \wedge \langle c_1', mds', mem_1' \rangle \mathcal{R}_{\Gamma'}^u \langle c_2', mds', mem_2' \rangle)$$

proof (induct arbitrary: $mds \ c_1'$ rule: has-type.induct)

case (seq-type $\Gamma \ c_1 \Gamma'' \ c_2 \Gamma' \ mds$)
show ?case
proof (cases $c_1 = Stop$)
assume $c_1 = Stop$
hence [simp]: $c_1' = c_2 \ \text{and} \ mds' = mds \ \text{and} \ mem_1' = mem_1$
using seq-type
by (auto simp: seq-stop-elim)
from seq-type $\langle c_1 = Stop \rangle$ **have** $\Gamma \sqsubseteq_c \Gamma''$
by (metis stop-cxt)
hence $\vdash \Gamma \{ c_2 \} \Gamma'$
by (metis context-le-refl seq-type(3) sub)
have $\langle c_2, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^1 \langle c_2, mds, mem_2 \rangle$
apply (rule $\mathcal{R}_1.\text{intro}$ [of Γ])
by (auto simp: seq-type $\vdash \Gamma \{ c_2 \} \Gamma'$)
thus ?case
using $\mathcal{R}.\text{intro}_1$
apply clarify
apply (rule-tac $x = c_2$ in exI)
apply (rule-tac $x = mem_2$ in exI)
apply (auto simp: $\langle c_1 = Stop \rangle$)
by (metis ctxt-to-stmt.simps(1) eval_w-simplep.seq-stop eval_wp.unannotated eval_wp-eval_w-eq)
next
assume $c_1 \neq Stop$
with $\langle \langle c_1 ;; c_2, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1' \rangle \rangle$ **obtain** c_1'' **where**
 $c_1''\text{-props}:$
 $\langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1'', mds', mem_1' \rangle \wedge c_1' = c_1'' ;; c_2$
by (metis seq-elim)
with seq-type(2) **obtain** $c_2'' \ mem_2'$ **where** $c_2''\text{-props}:$
 $\langle c_1, mds, mem_2 \rangle \rightsquigarrow \langle c_2'', mds', mem_2' \rangle \wedge \langle c_1'', mds', mem_1' \rangle \mathcal{R}_{\Gamma''}^u \langle c_2'', mds', mem_2' \rangle$
by (metis seq-type(5) seq-type(6))
hence $\langle c_1'' ;; c_2, mds', mem_1' \rangle \mathcal{R}_{\Gamma'}^u \langle c_2'' ;; c_2, mds', mem_2' \rangle$
apply (rule conjE)
apply (erule \mathcal{R} -elim, auto)
apply (metis $\mathcal{R}.\text{intro}_3 \ \mathcal{R}_3\text{-aux}.\text{intro}_1$ seq-type(3))
apply (metis $\mathcal{R}.\text{intro}_3 \ \mathcal{R}_3\text{-aux}.\text{intro}_2$ seq-type(3))
by (metis $\mathcal{R}.\text{intro}_3 \ \mathcal{R}_3\text{-aux}.\text{intro}_3$ seq-type(3))
moreover
from $c_2''\text{-props}$ **have** $\langle c_1 ;; c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2'' ;; c_2, mds', mem_2' \rangle$
by (metis eval_w.seq)

```

ultimately show ?case
  by (metis c1"-props)
qed
next
  case (anno-type  $\Gamma'$   $\Gamma$  upd  $c$   $\Gamma''$  mds)
    have  $mem_1 =_{\Gamma'} mem_2$ 
      by (metis less-eq-Sec-def anno-type(5) anno-type(7) tyenv-eq-def)
    have mds-consistent (update-modes upd mds)  $\Gamma'$ 
      by (metis (lifting) anno-type(1) anno-type(6) mode-update-add-anno)
    then obtain  $c'_2$   $mem'_2$  where ( $\langle c, update\text{-}modes$  upd mds,  $mem_2 \rangle \rightsquigarrow \langle c'_2, mds', mem'_2 \rangle$ )  $\wedge$ 
       $\langle c'_2, mds', mem'_2 \rangle \mathcal{R}^u_{\Gamma''} \langle c'_2, mds', mem_2 \rangle$ 
      using anno-type
      apply auto
      by (metis <math>mem_1 =_{\Gamma'} mem_2</math> anno-type(1) upd-elim)
    thus ?case
      apply (rule-tac  $x = c'_2$  in exI)
      apply (rule-tac  $x = mem'_2$  in exI)
      apply auto
      by (metis ctxt-to-stmt.simps(1) eval_w.decl)
next
  case stop-type
  with stop-no-eval show ?case by auto
next
  case (skip-type  $\Gamma$  mds)
  moreover
    with skip-type have [simp]:  $mds' = mds$   $c'_1 = Stop$   $mem'_1 = mem_1$ 
    using skip-elim
    by (metis, metis, metis)
    with skip-type have  $\langle Stop, mds, mem_1 \rangle \mathcal{R}^1_{\Gamma} \langle Stop, mds, mem_2 \rangle$ 
    by auto
  thus ?case
    using R.intro1 and unannotated [where  $c = Skip$  and  $E = []$ ]
    apply auto
    by (metis eval_w-simple.skip)
next
  case (assign1 x  $\Gamma$  e t mds)
  hence [simp]:  $c'_1 = Stop$   $mds' = mds$   $mem'_1 = mem_1$  ( $x := ev_A mem_1 e$ )
  using assign-elim
  by (auto, metis)
  have  $mem_1 (x := ev_A mem_1 e) =_{\Gamma} mem_2 (x := ev_A mem_2 e)$ 
  proof (cases to-total  $\Gamma x$ )
    assume to-total  $\Gamma x = High$ 
    thus ?thesis
      using assign1_tyenv-eq-def
      by auto
  next
    assume to-total  $\Gamma x = Low$ 
    with assign1 have [simp]:  $t = Low$ 

```

```

    by (metis less-eq-Sec-def to-total-def)
hence  $dma\ x = Low$ 
      using  $assign_1 \langle to\text{-}total \Gamma x = Low \rangle$ 
      by (metis to-total-def)
with  $assign_1$  have  $\forall v \in aexp\text{-}vars\ e. to\text{-}total \Gamma v = Low$ 
      using type-low-vars-low
      by auto
thus ?thesis
      using eval-vars-detA
      apply (auto simp: tyenv-eq-def)
      apply (metis (no-types) assign1(5) tyenv-eq-def)
      by (metis assign1(5) tyenv-eq-def)
qed
hence  $\langle x \leftarrow e, mds, mem_2 \rangle \rightsquigarrow \langle Stop, mds', mem_2 (x := ev_A mem_2 e) \rangle$ 
       $\langle Stop, mds', mem_1 (x := ev_A mem_1 e) \rangle \mathcal{R}^u_\Gamma \langle Stop, mds', mem_2 (x := ev_A mem_2 e) \rangle$ 
      apply auto
      apply (metis ctxt-to-stmt.simps(1) evalw.unannotated evalw-simple.assign)
      by (rule R.intro1, auto simp: assign1)
thus ?case
  using  $\langle c_1' = Stop \rangle \text{ and } \langle mem_1' = mem_1 (x := ev_A mem_1 e) \rangle$ 
  by blast
next
case (assign2 x  $\Gamma$  e t mds)
hence [simp]:  $c_1' = Stop$   $mds' = mds$   $mem_1' = mem_1 (x := ev_A mem_1 e)$ 
  using assign-elim assign2
  by (auto, metis)
let ? $\Gamma'$  =  $\Gamma (x \mapsto t)$ 
have  $\langle x \leftarrow e, mds, mem_2 \rangle \rightsquigarrow \langle Stop, mds, mem_2 (x := ev_A mem_2 e) \rangle$ 
  using assign2
  by (metis ctxt-to-stmt.simps(1) evalw-simplep.assign evalwp.unannotated evalwp-evalw-eq)
moreover
have  $\langle Stop, mds, mem_1 (x := ev_A mem_1 e) \rangle \mathcal{R}^1_{\Gamma'} \langle Stop, mds, mem_2 (x := ev_A mem_2 e) \rangle$ 
  proof (auto)
    from assign2 show mds-consistent mds ? $\Gamma'$ 
      apply (simp add: mds-consistent-def)
      by (metis (lifting) insert-absorb assign2(1))
  next
show  $mem_1 (x := ev_A mem_1 e) =_{\Gamma'} mem_2 (x := ev_A mem_2 e)$ 
  unfolding tyenv-eq-def
  proof (auto)
    assume to-total ( $\Gamma(x \mapsto t)$ )  $x = Low$ 
    with  $\langle \Gamma \vdash_a e \in t \rangle$  have  $\bigwedge x. x \in aexp\text{-}vars\ e \implies to\text{-}total \Gamma x = Low$ 
      by (metis assign2.prems(1) domI fun-upd-same option.sel to-total-def type-low-vars-low)
    thus  $ev_A mem_1 e = ev_A mem_2 e$ 
      by (metis assign2.prems(2) eval-vars-detA tyenv-eq-def)
  next

```

```

fix y
assume y ≠ x and to-total (Γ (x ↦ t)) y = Low
thus mem1 y = mem2 y
  by (metis (full-types) assign2.prems(2) domD domI fun-upd-other to-total-def
tyenv-eq-def)
qed
qed
ultimately have ⟨x ← e, mds, mem2⟩ ~⟨Stop, mds', mem2 (x := evA mem2
e)⟩
  ⟨Stop, mds', mem1 (x := evA mem1 e)⟩ RuΓ(x ↦ t) ⟨Stop, mds', mem2 (x :=
evA mem2 e)⟩
using R.intro1
by auto
thus ?case
  using ⟨mds' = mds⟩ ⟨c1' = Stop⟩ ⟨mem1' = mem1 (x := evA mem1 e)⟩
  by blast
next
case (if-type Γ e th el Γ')
have ⟨c1', mds, mem1⟩, ⟨c1', mds, mem2⟩) ∈ R Γ'
apply (rule intro1)
apply clarify
apply (rule R1.intro [where Γ = Γ and Γ' = Γ'])
  apply (auto simp: if-type)
  by (metis (lifting) if-elim if-type(2) if-type(4) if-type(8))
have eq-condition: evB mem1 e = evB mem2 e ==> ?case
proof -
  assume evB mem1 e = evB mem2 e
  with if-type(8) have ⟨If e th el, mds, mem2⟩ ~⟨c1', mds, mem2⟩
    apply (cases evB mem1 e)
      apply (subgoal-tac c1' = th)
        apply clarify
        apply (metis ctxt-to-stmt.simps(1) evalw-simplep.if-true evalwp.unannotated
evalwp-evalw-eq if-type(8))
        apply (metis if-elim if-type(8))
        apply (subgoal-tac c1' = el)
          apply (metis (opaque-lifting, mono-tags) ctxt-to-stmt.simps(1) evalw.unannotated
evalw-simple.if-false if-type(8))
          by (metis if-elim if-type(8))
  thus ?thesis
    by (metis ⟨c1', mds, mem1⟩ RuΓ' ⟨c1', mds, mem2⟩ if-elim if-type(8))
qed
have mem1 =mdsl mem2
  apply (auto simp: low-mds-eq-def mds-consistent-def)
  apply (subgoal-tac x ∉ dom Γ)
    apply (metis if-type(7) to-total-def tyenv-eq-def)
  by (metis (lifting, mono-tags) CollectD Sec.simps(2) if-type(6) mds-consistent-def)
obtain t where Γ ⊢b e ∈ t
  by (metis type-bexpr.intros)
from if-type show ?case

```

```

proof (cases t)
  assume t = High
  with if-type show ?thesis
  proof (cases ev_B mem_1 e = ev_B mem_2 e)
    assume ev_B mem_1 e = ev_B mem_2 e
    with eq-condition show ?thesis by auto
  next
  assume neq: ev_B mem_1 e ≠ ev_B mem_2 e
  from if-type ‹t = High› have th ~ mds el
    by (metis ‹Γ ⊢_b e ∈ t›)
  from neq show ?thesis
  proof (cases ev_B mem_1 e)
    assume ev_B mem_1 e
    hence c_1' = th
      by (metis (lifting) if-elim if-type(8))
    hence ‹If e th el, mds, mem_2› ~› ‹el, mds, mem_2›
      by (metis ‹ev_B mem_1 e› ctxt-to-stmt.simps(1) eval_w.unannotated eval_w-simple.if-false
if-type(8) neq)
    moreover
    with ‹mem_1 =_mds^l mem_2› have ‹th, mds, mem_1› ≈ ‹el, mds, mem_2›
      by (metis low-indistinguishable-def ‹th ~ mds el›)
    have ∀ x ∈ dom Γ'. Γ' x = Some High
      using if-type ‹t = High›
      by (metis ‹Γ ⊢_b e ∈ t›)
    have ‹th, mds, mem_1› R_2^2 Γ' ‹el, mds, mem_2›
      by (metis (lifting) R_2.intro ‹∀ x ∈ dom Γ'. Γ' x = Some High› ‹th, mds,
mem_1› ≈ ‹el, mds, mem_2›) if-type(2) if-type(4) if-type(6))
    ultimately show ?thesis
      using R.intro2
      apply clarify
      by (metis ‹c_1' = th› if-elim if-type(8))
  next
  assume ¬ ev_B mem_1 e
  hence [simp]: c_1' = el
    by (metis (lifting) if-type(8) if-elim)
  hence ‹If e th el, mds, mem_2› ~› ‹th, mds, mem_2›
    by (metis (opaque-lifting, mono-tags) ‹¬ ev_B mem_1 e› ctxt-to-stmt.simps(1)
eval_w.unannotated eval_w-simple.if-true if-type(8) neq)
  moreover
  from ‹th ~ mds el› have el ~ mds th
    by (metis low-indistinguishable-sym)
  with ‹mem_1 =_mds^l mem_2› have ‹el, mds, mem_1› ≈ ‹th, mds, mem_2›
    by (metis low-indistinguishable-def)
  have ∀ x ∈ dom Γ'. Γ' x = Some High
    using if-type ‹t = High›
    by (metis ‹Γ ⊢_b e ∈ t›)
  have ‹el, mds, mem_1› R_2^2 Γ' ‹th, mds, mem_2›
    apply (rule R_2.intro [where Γ_1 = Γ and Γ_2 = Γ])
    by (auto simp: if-type ‹el, mds, mem_1› ≈ ‹th, mds, mem_2›) ‹∀ x ∈ dom

```

```

 $\Gamma'. \Gamma' x = Some\ High \rangle$ 
ultimately show ?thesis
  using R.intro2
  apply clarify
  by (metis `c1' = el` if-elim if-type(8))
qed
qed
next
assume t = Low
with if-type have ev_B mem_1 e = ev_B mem_2 e
  using eval-vars-det_B
  apply (simp add: tyenv-eq-def `Γ ⊢_b e ∈ t` type-low-vars-low-b)
  by (metis (lifting) `Γ ⊢_b e ∈ t` type-low-vars-low-b)
with eq-condition show ?thesis by auto
qed
next
case (while-type Γ e c)
hence [simp]: c1' = (If e (c ;; While e c) Stop) and
  [simp]: mds' = mds and
  [simp]: mem_1' = mem_1
  by (auto simp: while-elim)
with while-type have ⟨While e c, mds, mem_2⟩ ~~~ ⟨c1', mds, mem_2⟩
  by (metis ctxt-to-stmt.simps(1) eval_w-simplep.while eval_wp.unannotated eval_wp-eval_w-eq)
moreover
have ⟨c1', mds, mem_1⟩ R^1_Γ ⟨c1', mds, mem_2⟩
  apply (rule R1.intro [where Γ = Γ])
  apply (auto simp: while-type)
  apply (rule if-type)
  apply (metis (lifting) Sec.simps(1) while-type(1) type-bexpr-elim)
  apply (rule seq-type [where Γ' = Γ])
  by (auto simp: while-type)
ultimately show ?case
  using R.intro1 [of Γ]
  by (auto, blast)
next
case (sub Γ1 c Γ1' Γ Γ' mds c1')
hence dom Γ1 ⊆ dom Γ dom Γ' ⊆ dom Γ1'
  apply (metis (lifting) context-le-def equalityE)
  by (metis context-le-def sub(4) order-refl)
hence mds-consistent mds Γ1
  using sub
  apply (auto simp: mds-consistent-def)
  apply (metis (lifting, full-types) context-le-def domD mem-Collect-eq)
  by (metis (lifting, full-types) context-le-def domD mem-Collect-eq)
moreover have mem_1 =_Γ1 mem_2
  unfolding tyenv-eq-def
  by (metis (lifting, no-types) context-le-def less-eq-Sec-def sub.hyps(3) sub.prems(2)
    to-total-def tyenv-eq-def)
ultimately obtain c2' mem_2' where c2'-props: ⟨c, mds, mem_2⟩ ~~~ ⟨c2', mds',

```

```

 $\langle c_1', mds', mem_1 \rangle \mathcal{R}^u_{\Gamma_1'} \langle c_2', mds', mem_2 \rangle$ 
using sub
by blast
moreover
have  $\bigwedge c_1 mds mem_1 c_2 mem_2. \langle c_1, mds, mem_1 \rangle \mathcal{R}^u_{\Gamma_1'} \langle c_2, mds, mem_2 \rangle \implies \langle c_1, mds, mem_1 \rangle \mathcal{R}^u_{\Gamma'} \langle c_2, mds, mem_2 \rangle$ 
proof -
  fix  $c_1 mds mem_1 c_2 mem_2$ 
  let  $?lc_1 = \langle c_1, mds, mem_1 \rangle$  and  $?lc_2 = \langle c_2, mds, mem_2 \rangle$ 
  assume  $asm: ?lc_1 \mathcal{R}^u_{\Gamma_1'} ?lc_2$ 
  moreover
  have  $?lc_1 \mathcal{R}^1_{\Gamma_1'} ?lc_2 \implies ?lc_1 \mathcal{R}^1_{\Gamma'} ?lc_2$ 
    apply (erule  $\mathcal{R}_1$ -elim)
    apply auto
    by (metis (lifting) has-type.sub sub(4) stop-cxt stop-type)
  moreover
  have  $?lc_1 \mathcal{R}^2_{\Gamma_1'} ?lc_2 \implies ?lc_1 \mathcal{R}^2_{\Gamma'} ?lc_2$ 
  proof -
    assume  $r2: ?lc_1 \mathcal{R}^2_{\Gamma_1'} ?lc_2$ 
    then obtain  $\Lambda_1 \Lambda_2$  where  $\vdash \Lambda_1 \{ c_1 \} \Gamma_1' \vdash \Lambda_2 \{ c_2 \} \Gamma_1' mds\text{-consistent}$ 
     $mds \Lambda_1$ 
       $mds\text{-consistent } mds \Lambda_2$ 
      by (metis  $\mathcal{R}_2$ -elim)
      hence  $\vdash \Lambda_1 \{ c_1 \} \Gamma'$ 
        using sub(4)
        by (metis context-le-refl has-type.sub sub(4))
    moreover
    have  $\vdash \Lambda_2 \{ c_2 \} \Gamma'$ 
      by (metis  $\vdash \Lambda_2 \{ c_2 \} \Gamma_1' \text{ context-le-refl has-type.sub sub(4)}$ )
    moreover
    from  $r2$  have  $\forall x \in \text{dom } \Gamma_1'. \Gamma_1' x = \text{Some High}$ 
      apply (rule  $\mathcal{R}_2$ -elim)
      by auto
      hence  $\forall x \in \text{dom } \Gamma'. \Gamma' x = \text{Some High}$ 
      by (metis Sec.simps(2)  $\text{dom } \Gamma' \subseteq \text{dom } \Gamma_1'$  context-le-def domD less-eq-Sec-def
        sub(4) rev-subsetD option.sel)
      ultimately show ?thesis
      by (metis (no-types)  $\mathcal{R}_2$ .intro  $\mathcal{R}_2$ -elim'  $\langle mds\text{-consistent } mds \Lambda_1 \rangle \langle mds\text{-consistent } mds \Lambda_2 \rangle r2$ )
    qed
    moreover
    have  $?lc_1 \mathcal{R}^3_{\Gamma_1'} ?lc_2 \implies ?lc_1 \mathcal{R}^3_{\Gamma'} ?lc_2$ 
      apply (erule  $\mathcal{R}_3$ -elim)
      proof -
        fix  $\Gamma c_1'' c_2''' c$ 
        assume [simp]:  $c_1 = c_1'' ;; c c_2 = c_2''' ;; c$ 
        assume case1:  $\vdash \Gamma \{ c \} \Gamma_1' \langle c_1'', mds, mem_1 \rangle \mathcal{R}^1_{\Gamma} \langle c_2''', mds, mem_2 \rangle$ 
        hence  $\vdash \Gamma \{ c \} \Gamma'$ 

```

```

using context-le-refl has-type.sub sub.hyps(4)
by blast
with case1 show ⟨c1, mds, mem1⟩ R3Γ' ⟨c2, mds, mem2⟩
  using R3-aux.intro1 by simp
next
fix Γ c1'' c2''' c''
assume [simp]: c1 = c1'' ;; c'' c2 = c2''' ;; c''
assume ⟨c1'', mds, mem1⟩ R2Γ ⟨c2''', mds, mem2⟩ ⊢ Γ {c''} Γ1'
thus ⟨c1, mds, mem1⟩ R3Γ' ⟨c2, mds, mem2⟩
  using R3-aux.intro2
  apply simp
  apply (rule R3-aux.intro2 [where Γ = Γ])
  apply simp
  by (metis context-le-refl has-type.sub sub.hyps(4))
next
fix Γ c1'' c2''' c''
assume [simp]: c1 = c1'' ;; c'' c2 = c2''' ;; c''
assume ⟨c1'', mds, mem1⟩ R3Γ ⟨c2''', mds, mem2⟩ ⊢ Γ {c''} Γ1'
thus ⟨c1, mds, mem1⟩ R3Γ' ⟨c2, mds, mem2⟩
  using R3-aux.intro3
  apply auto
  by (metis (opaque-lifting, no-types) context-le-refl has-type.sub sub.hyps(4))
qed
ultimately show ?thesis c1 mds mem1 c2 mem2
  by (auto simp: R.intros)
qed
with c2'-props show ?case
  by blast
qed

```

lemma R₁-weak-bisim:

```

weak-bisim (R1 Γ') (R Γ')
unfolding weak-bisim-def
using R1-elim R-typed-step
by auto

```

lemma R-to-R₃: ⟦⟨c₁, mds, mem₁⟩ R^u_Γ ⟨c₂, mds, mem₂⟩ ; ⊢ Γ {c} Γ'⟧ ⟡ ⟹
 ⟨c₁ ;; c, mds, mem₁⟩ R³_{Γ'} ⟨c₂ ;; c, mds, mem₂⟩
 apply (erule R-elim)
 by auto

lemma R₂-implies-typeable: ⟨c₁, mds, mem₁⟩ R²_{Γ'} ⟨c₂, mds, mem₂⟩ ⟹ ∃ Γ₁. ⊢
 Γ₁ {c₂} Γ'
 apply (erule R₂-elim)
 by auto

lemma R₃-weak-bisim:

```

weak-bisim (R3 Γ') (R Γ')

```

```

proof -
{
  fix c1 mds mem1 c2 mem2 c1' mds' mem1'
  assume case3: (⟨c1, mds, mem1⟩, ⟨c2, mds, mem2⟩) ∈ R3 Γ'
  assume eval: ⟨c1, mds, mem1⟩ ↪ ⟨c1', mds', mem1'⟩
  have ∃ c2' mem2'. ⟨c2, mds, mem2⟩ ↪ ⟨c2', mds', mem2'⟩ ∧ ⟨c1', mds', mem1'⟩
  RuΓ' ⟨c2', mds', mem2'⟩
    using case3 eval
    apply simp

  proof (induct arbitrary: c1' rule: R3-aux.induct)
    case (intro1 Γ c1 mds mem1 c2 mem2 c Γ')
      hence [simp]: c2 = c1
        by (metis (lifting) R1-elim)
      thus ?case
        proof (cases c1 = Stop)
          assume [simp]: c1 = Stop
          from intro1(1) show ?thesis
            apply (rule R1-elim)
            apply simp
            apply (rule-tac x = c in exI)
            apply (rule-tac x = mem2 in exI)
            apply (rule conjI)
              apply (metis ⟨c1 = Stop⟩ ctxt-to-stmt.simps(1) evalw-simplep.seq-stop
evalwp.unannotated evalwp-evalw-eq intro1.prems seq-stop-elim)
              apply (rule R.intro1, clarify)
                by (metis (no-types) R1.intro ⟨c1 = Stop⟩ context-le-refl intro1.prems
intro1(2) seq-stop-elim stop-cxt sub)
            next
              assume c1 ≠ Stop
              from intro1
              obtain c1'' where ⟨c1, mds, mem1⟩ ↪ ⟨c1'', mds', mem1'⟩ ∧ c1' = (c1'' ;;
c)
                by (metis ⟨c1 ≠ Stop⟩ intro1.prems seq-elim)
                with intro1
                obtain c2'' mem2' where ⟨c2, mds, mem2⟩ ↪ ⟨c2'', mds', mem2'⟩ ⟨c1'', mds', mem1'⟩ RuΓ ⟨c2'', mds', mem2'⟩
                  using R1-weak-bisim and weak-bisim-def
                  by blast
                thus ?thesis
                  using intro1(2) R-to-R3
                  apply (rule-tac x = c2'' ;; c in exI)
                  apply (rule-tac x = mem2' in exI)
                  apply (rule conjI)
                  apply (metis evalw.seq)
                  apply auto
                  apply (rule R.intro3)
                  by (metis (opaque-lifting, no-types) ⟨⟨c1, mds, mem1⟩ ↪ ⟨c1'', mds', mem1'⟩
                    ∧ c1' = c1'' ;; c⟩)

```

```

qed
next
  case (intro2 Γ c1 mds mem1 c2 mem2 cn Γ')
  thus ?case
    proof (cases c1 = Stop)
      assume [simp]: c1 = Stop
      hence [simp]: c1' = cn mds' = mds mem1' = mem1
        using eval intro2 seq-stop-elim
        by auto
      from intro2 have bisim: ⟨c1, mds, mem1⟩ ≈ ⟨c2, mds, mem2⟩
        by (metis (lifting) R2-elim')
      from intro2 obtain Γ1 where ⊢ Γ1 { c2 } Γ
        by (metis R2-implies-typeable)
      with bisim have [simp]: c2 = Stop
        apply auto
        apply (rule stop-bisim [of mds mem1 c2 mem2 Γ1 Γ])
        by simp-all
      have ⟨c2 ;; cn, mds, mem2⟩ ~⟨ cn, mds', mem2 ⟩
        apply (auto simp: intro2)
        by (metis ctxt-to-stmt.simps(1) evalw-simplep.seq-stop evalwp.unannotated
evalwp-evalw-eq)
      moreover
      from intro2(1) have mds-consistent mds Γ
        apply auto
        apply (erule R2-elim)
        apply (simp add: mds-consistent-def)
        by (metis context-le-def stop-cxt)
      moreover
      from bisim have mem1 =mdsl mem2
        by (auto simp: mm-equiv.simps strong-low-bisim-mm-def)
      have ∀ x ∈ dom Γ. Γ x = Some High
        using intro2(1)
        by (metis R2-elim')
      hence mem1 =Γ mem2
        using ⟨mds-consistent mds Γ⟩ ⟨mem1 =mdsl mem2⟩
        apply (auto simp: tyenv-eq-def low-mds-eq-def mds-consistent-def)
        by (metis Sec.simps(1) ⟨∀ x ∈ dom Γ. Γ x = Some High⟩ ⟨mds' = mds⟩
domI option.sel to-total-def)
      ultimately have ⟨cn, mds, mem1⟩ R11 Γ' ⟨cn, mds, mem2⟩
        by (metis (lifting) R1.intro intro2(2))
      thus ?thesis
        using R.intro1
        apply auto
        by (metis ⟨⟨c2 ;; cn, mds, mem2⟩ ~⟨ cn, mds', mem2 ⟩⟩ ⟨c2 = Stop⟩ ⟨mds' = mds⟩)
      next
      assume c1 ≠ Stop
      then obtain c1''' where c1' = c1''' ; cn ⟨c1, mds, mem1⟩ ~⟨ c1''', mds',
mem1 ⟩

```

```

by (metis (no-types) intro2.prems seq-elim)
then obtain c2''' mem2' where c2'''-props:
  ⟨c2, mds, mem2⟩ ~⟨c2''', mds', mem2'⟩ ∧
  ⟨c1''', mds', mem1'⟩ R2Γ ⟨c2''', mds', mem2'⟩
  using R2-bisim-step intro2
  by blast
let ?c2' = c2''' ;; cn
from c2'''-props have ⟨c2 ;; cn, mds, mem2⟩ ~⟨?c2', mds', mem2'⟩
  by (metis (lifting) intro2 evalw.seq)
moreover
have ⟨⟨c1''' ;; cn, mds', mem1'⟩, ⟨?c2', mds', mem2'⟩⟩ ∈ R3 Γ'
  by (metis (lifting) R3-aux.intro2 c2'''-props intro2(2) mem-Collect-eq
case-prodI)
ultimately show ?thesis
  using R.intro3
  by (metis (lifting) R3-aux.intro2 ⟨c1' = c1''' ;; cn⟩ c2'''-props intro2(2))
qed
next
case (intro3 Γ c1 mds mem1 c2 mem2 c Γ')
thus ?case
  apply (cases c1 = Stop)
  apply blast
proof -
  assume c1 ≠ Stop
  then obtain c1'' where ⟨c1, mds, mem1⟩ ~⟨c1'', mds', mem1'⟩ c1' = (c1'')
;; c)
    by (metis intro3.prems seq-elim)
    then obtain c2'' mem2' where ⟨c2, mds, mem2⟩ ~⟨c2'', mds', mem2'⟩
    ⟨c1'', mds', mem1'⟩ RuΓ ⟨c2'', mds', mem2'⟩
    using intro3(2) mem-Collect-eq case-prodI
    by metis
  thus ?thesis
    apply (rule-tac x = c2'' ;; c in exI)
    apply (rule-tac x = mem2' in exI)
    apply (rule conjI)
    apply (metis evalw.seq)
    apply (erule R-elim)
    apply simp-all
    apply (metis R.intro3 R-to-R3 ⟨c1'', mds', mem1'⟩ RuΓ ⟨c2'', mds',
mem2'⟩) ⟨c1' = c1'' ;; c⟩ intro3(3))
    apply (metis (lifting) R.intro3 R-to-R3 ⟨c1'', mds', mem1'⟩ RuΓ ⟨c2'', mds', mem2'⟩) ⟨c1' = c1'' ;; c⟩ intro3(3))
    by (metis (lifting) R.intro3 R3-aux.intro3 ⟨c1' = c1'' ;; c⟩ intro3(3))
qed
qed
}
thus ?thesis
unfolding weak-bisim-def
by auto

```

qed

```

lemma  $\mathcal{R}$ -bisim: strong-low-bisim-mm ( $\mathcal{R} \Gamma'$ )
  unfolding strong-low-bisim-mm-def
proof (auto)
  from  $\mathcal{R}$ -sym show sym ( $\mathcal{R} \Gamma'$ ) .
next
  from  $\mathcal{R}$ -closed-glob-consistent show closed-glob-consistent ( $\mathcal{R} \Gamma'$ ) .
next
  fix  $c_1 mds mem_1 c_2 mem_2$ 
  assume  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^u \Gamma' \langle c_2, mds, mem_2 \rangle$ 
  thus  $mem_1 =_{mds}^l mem_2$ 
    apply (rule  $\mathcal{R}$ -elim)
    by (auto simp:  $\mathcal{R}_1$ -mem-eq  $\mathcal{R}_2$ -mem-eq  $\mathcal{R}_3$ -mem-eq)
next
  fix  $c_1 mds mem_1 c_2 mem_2 c_1' mds' mem_1'$ 
  assume eval:  $\langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1 \rangle$ 
  assume R:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^u \Gamma' \langle c_2, mds, mem_2 \rangle$ 
  from R show  $\exists c_2' mem_2'. \langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge$ 
     $\langle c_1', mds', mem_1 \rangle \mathcal{R}^u \Gamma' \langle c_2', mds', mem_2' \rangle$ 
    apply (rule  $\mathcal{R}$ -elim)
    apply (insert  $\mathcal{R}_1$ -weak-bisim  $\mathcal{R}_2$ -weak-bisim  $\mathcal{R}_3$ -weak-bisim eval weak-bisim-def)
      apply (clarify, blast) +
      by (metis mem-Collect-eq case-prodI)
qed

```

```

lemma Typed-in- $\mathcal{R}$ :
assumes typeable:  $\vdash \Gamma \{ c \} \Gamma'$ 
assumes mds-cons: mds-consistent mds  $\Gamma$ 
assumes mem-eq:  $\forall x. \text{to-total } \Gamma x = \text{Low} \longrightarrow mem_1 x = mem_2 x$ 
shows  $\langle c, mds, mem_1 \rangle \mathcal{R}^u \Gamma' \langle c, mds, mem_2 \rangle$ 
apply (rule  $\mathcal{R}$ .intro1 [of  $\Gamma$ ])
apply clarify
apply (rule  $\mathcal{R}_1$ .intro [of  $\Gamma$ ])
by (auto simp: assms tyenv-eq-def)

```

```

theorem type-soundness:
assumes well-typed:  $\vdash \Gamma \{ c \} \Gamma'$ 
assumes mds-cons: mds-consistent mds  $\Gamma$ 
assumes mem-eq:  $\forall x. \text{to-total } \Gamma x = \text{Low} \longrightarrow mem_1 x = mem_2 x$ 
shows  $\langle c, mds, mem_1 \rangle \approx \langle c, mds, mem_2 \rangle$ 
using  $\mathcal{R}$ -bisim Typed-in- $\mathcal{R}$ 
by (metis mds-cons mem-eq mm-equiv.simps well-typed)

```

```

definition  $\Gamma_0 :: 'Var TyEnv$ 
  where  $\Gamma_0 x = \text{None}$ 

```

```

inductive type-global :: ('Var, 'AExp, 'BExp) Stmt list  $\Rightarrow$  bool
  ( $\vdash$  - [120] 1000)
  where
     $\llbracket \text{list-all } (\lambda c. \vdash \Gamma_0 \{ c \} \Gamma_0) cs ;$ 
     $\forall \text{ mem. sound-mode-use (add-initial-modes cs, mem)} \rrbracket \implies$ 
    type-global cs

inductive-cases type-global-elim:  $\vdash cs$ 

lemma mdss-consistent: mds-consistent mdss  $\Gamma_0$ 
  by (auto simp: mdss-def mds-consistent-def  $\Gamma_0$ -def)

lemma typed-secure:
   $\llbracket \vdash \Gamma_0 \{ c \} \Gamma_0 \rrbracket \implies \text{com-sifum-secure } c$ 
  apply (auto simp: com-sifum-secure-def low-indistinguishable-def mds-consistent-def
type-soundness)
  apply (auto simp: low-mds-eq-def)
  apply (rule type-soundness [of  $\Gamma_0 c \Gamma_0$ ])
  apply (auto simp: mdss-consistent to-total-def  $\Gamma_0$ -def)
  by (metis empty-iff mdss-def)

lemma  $\llbracket \text{mds-consistent mds } \Gamma_0 ; \text{dma } x = \text{Low} \rrbracket \implies x \notin \text{mds AsmNoRead}$ 
  by (auto simp: mds-consistent-def  $\Gamma_0$ -def)

lemma list-all-set:  $\forall x \in \text{set xs}. P x \implies \text{list-all } P xs$ 
  by (metis (lifting) list-all-iff)

theorem type-soundness-global:
  assumes typeable:  $\vdash cs$ 
  assumes no-assms-term: no-assumptions-on-termination cs
  shows prog-sifum-secure cs
  using typeable
  apply (rule type-global-elim)
  apply (subgoal-tac  $\forall c \in \text{set cs}. \text{com-sifum-secure } c$ )
  apply (metis list-all-set no-assms-term sifum-compositionality sound-mode-use.simps)
  by (metis (lifting) list-all-iff typed-secure)

end
end

```

6 Type System for Ensuring Locally Sound Use of Modes

```

theory LocallySoundModeUse
imports Main Security Language
begin

```

6.1 Typing Rules

```

locale sifum-modes = sifum-lang ev_A ev_B +
  sifum-security dma Stop eval_w
  for ev_A :: ('Var, 'Val) Mem  $\Rightarrow$  'AExp  $\Rightarrow$  'Val
  and ev_B :: ('Var, 'Val) Mem  $\Rightarrow$  'BExp  $\Rightarrow$  bool

context sifum-modes
begin

abbreviation eval-abv-modes :: (-, 'Var, 'Val) LocalConf  $\Rightarrow$  (-, -, -) LocalConf  $\Rightarrow$ 
  bool
  (infixl  $\rightsquigarrow$  70)
  where
     $x \rightsquigarrow y \equiv (x, y) \in eval_w$ 

fun update-annos :: 'Var Mds  $\Rightarrow$  'Var ModeUpd list  $\Rightarrow$  'Var Mds
  (infix  $\oplus$  140)
  where
    update-annos mds [] = mds |
    update-annos mds (a # as) = update-annos (update-modes a mds) as

fun annotate :: ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$  'Var ModeUpd list  $\Rightarrow$  ('Var, 'AExp,
  'BExp) Stmt
  (infix  $\otimes$  140)
  where
    annotate c [] = c |
    annotate c (a # as) = (annotate c as)@[a]

inductive mode-type :: 'Var Mds  $\Rightarrow$ 
  ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$ 
  'Var Mds  $\Rightarrow$  bool ( $\vdash$  - { - } -)
  where
    skip:  $\vdash$  mds { Skip  $\otimes$  annos } (mds  $\oplus$  annos) |
    assign:  $\llbracket x \notin mds \text{ GuarNoWrite} ; aexp\text{-vars } e \cap mds \text{ GuarNoRead} = \{\} \rrbracket \implies$ 
       $\vdash$  mds { (x  $\leftarrow$  e)  $\otimes$  annos } (mds  $\oplus$  annos) |
    if-:  $\llbracket \vdash (mds \oplus annos) \{ c_1 \} mds'' ;$ 
       $\vdash (mds \oplus annos) \{ c_2 \} mds'' ;$ 
       $\vdash (bexp\text{-vars } e \cap mds \text{ GuarNoRead} = \{\}) \implies$ 
         $\vdash$  mds { If e c_1 c_2  $\otimes$  annos } mds'' |
    while:  $\llbracket mds' = mds \oplus annos ; \vdash mds' \{ c \} mds' ; bexp\text{-vars } e \cap mds' \text{ GuarNoRead} = \{\} \rrbracket \implies$ 
       $\vdash$  mds { While e c  $\otimes$  annos } mds' |
    seq:  $\llbracket \vdash mds \{ c_1 \} mds' ; \vdash mds' \{ c_2 \} mds'' \rrbracket \implies \vdash mds \{ c_1 ; c_2 \} mds'' |$ 
    sub:  $\llbracket \vdash mds_2 \{ c \} mds_2' ; mds_1 \leq mds_2 ; mds_2' \leq mds_1' \rrbracket \implies$ 
       $\vdash mds_1 \{ c \} mds_1'$ 

```

6.2 Soundness of the Type System

lemma ctxt-eval:

```

 $\llbracket \langle \text{cxt-to-stmt} [] c, mds, mem \rangle \rightsquigarrow \langle \text{cxt-to-stmt} [] c', mds', mem' \rangle \rrbracket \implies$ 
 $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$ 
by auto

```

```

lemma update-preserves-le:
   $mds_1 \leq mds_2 \implies (mds_1 \oplus annos) \leq (mds_2 \oplus annos)$ 
proof (induct annos arbitrary:  $mds_1$   $mds_2$ )
  case Nil
  thus ?case by simp
next
  case (Cons a annos  $mds_1$   $mds_2$ )
  hence update-modes a  $mds_1 \leq$  update-modes a  $mds_2$ 
    by (case-tac a, auto simp: le-fun-def)
  with Cons show ?case
    by auto
qed

```

```

lemma doesnt-read-annos:
  doesnt-read c x  $\implies$  doesnt-read ( $c \otimes annos$ ) x
unfolding doesnt-read-def
apply clarify
apply (induct annos)
apply simp
apply (metis (lifting))
apply auto
apply (rule ctxt-eval)
apply (rule eval_w.decl)
by (metis ctxt-eval eval_w.decl upd-elim)

```

```

lemma doesnt-modify-annos:
  doesnt-modify c x  $\implies$  doesnt-modify ( $c \otimes annos$ ) x
unfolding doesnt-modify-def
apply auto
apply (induct annos)
apply simp
apply auto
by (metis (lifting) upd-elim)

```

```

lemma stop-loc-reach:
 $\llbracket \langle c', mds', mem' \rangle \in \text{loc-reach } \langle \text{Stop}, mds, mem \rangle \rrbracket \implies$ 
 $c' = \text{Stop} \wedge mds' = mds$ 
apply (induct rule: loc-reach.induct)
by (auto simp: stop-no-eval)

```

```

lemma stop-doesnt-access:
  doesnt-modify Stop x  $\wedge$  doesnt-read Stop x

```

unfolding *doesnt-modify-def* **and** *doesnt-read-def*
using *stop-no-eval*
by *auto*

lemma *skip-eval-step*:

```

⟨Skip ⊗ annos, mds, mem⟩ ~> ⟨Stop, mds ⊕ annos, mem⟩
apply (induct annos arbitrary: mds)
apply simp
apply (metis ctxt-to-stmt.simps(1) eval_w.unannotated eval_w-simple.skip)
apply simp
apply (insert eval_w.decl)
apply (rule ctxt-eval)
apply (rule eval_w.decl)
by auto

```

lemma *skip-eval-elim*:

```

[| ⟨Skip ⊗ annos, mds, mem⟩ ~> ⟨c', mds', mem'⟩ |] ==> c' = Stop ∧ mds' = mds
⊕ annos ∧ mem' = mem
apply (rule ccontr)
apply (insert skip-eval-step deterministic)
apply clarify
apply auto
by metis+

```

lemma *skip-doesnt-read*:

```

doesnt-read (Skip ⊗ annos) x
apply (rule doesnt-read-annos)
apply (auto simp: doesnt-read-def)
by (metis annotate.simps(1) skip-elim skip-eval-step)

```

lemma *skip-doesnt-write*:

```

doesnt-modify (Skip ⊗ annos) x
apply (rule doesnt-modify-annos)
apply (auto simp: doesnt-modify-def)
by (metis skip-elim)

```

lemma *skip-loc-reach*:

```

[| ⟨c', mds', mem'⟩ ∈ loc-reach ⟨Skip ⊗ annos, mds, mem⟩ |] ==>
(c' = Stop ∧ mds' = (mds ⊕ annos)) ∨ (c' = Skip ⊗ annos ∧ mds' = mds)
apply (induct rule: loc-reach.induct)
apply (metis fst-conv snd-conv)
apply (metis skip-eval-elim stop-no-eval)
by metis

```

lemma *skip-doesnt-access*:

```

[| lc ∈ loc-reach ⟨Skip ⊗ annos, mds, mem⟩ ; lc = ⟨c', mds', mem'⟩ |] ==>
doesnt-read c' x ∧ doesnt-modify c' x
apply (subgoal-tac (c' = Stop ∧ mds' = (mds ⊕ annos)) ∨ (c' = Skip ⊗ annos
∧ mds' = mds))

```

```

apply (rule conjI, erule disjE)
  apply (simp add: doesnt-read-def stop-no-eval)
  apply (metis (lifting) annotate.simps skip-doesnt-read)
apply (erule disjE)
  apply (simp add: doesnt-modify-def stop-no-eval)
  apply (metis (lifting) annotate.simps skip-doesnt-write)
by (metis skip-loc-reach)

lemma assign-doesnt-modify:
 $\llbracket x \neq y \rrbracket \implies \text{doesnt-modify } ((x \leftarrow e) \otimes \text{annos}) y$ 
  apply (rule doesnt-modify-annos)
  apply (simp add: doesnt-modify-def)
  by (metis assign-elim fun-upd-apply)

lemma assign-annos-eval:
 $\langle (x \leftarrow e) \otimes \text{annos}, mds, mem \rangle \rightsquigarrow \langle \text{Stop}, mds \oplus \text{annos}, mem (x := ev_A \text{mem } e) \rangle$ 
  apply (induct annos arbitrary: mds)
  apply (simp only: annotate.simps update-annos.simps)
  apply (rule ctxt-eval)
  apply (rule eval_w.unannotated)
  apply (rule eval_w-simple.assign)
  apply (rule ctxt-eval)
  apply (simp del: ctxt-to-stmt.simps)
  apply (rule eval_w.decl)
  by auto

lemma assign-annos-eval-elim:
 $\llbracket \langle (x \leftarrow e) \otimes \text{annos}, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle \rrbracket \implies$ 
 $c' = \text{Stop} \wedge mds' = mds \oplus \text{annos}$ 
  apply (rule ccontr)
  apply (insert deterministic assign-annos-eval)
  apply auto
  apply (metis (lifting))
  by metis

lemma mem-upd-commute:
 $\llbracket x \neq y \rrbracket \implies \text{mem } (x := v_1, y := v_2) = \text{mem } (y := v_2, x := v_1)$ 
  by (metis fun-upd-twist)

lemma assign-doesnt-read:
 $\llbracket y \notin \text{aexp-vars } e \rrbracket \implies \text{doesnt-read } ((x \leftarrow e) \otimes \text{annos}) y$ 
  apply (rule doesnt-read-annos)
proof (cases x = y)
  assume y  $\notin$  aexp-vars e
  and [simp]: x = y
  show doesnt-read (x  $\leftarrow$  e) y
  unfolding doesnt-read-def
  apply (rule allI)+
  apply (rename-tac mds mem c' mds' mem')

```

```

apply (rule impI)
apply (subgoal-tac  $c' = \text{Stop} \wedge mds' = mds \wedge mem' = mem (x := ev_A mem e)$ )
  apply simp
  apply (rule disjI2)
  apply clarify
  apply (rule ctxt-eval)
  apply (rule eval_w.unannotated)
  apply simp
apply (metis (opaque-lifting, no-types) {x = y} {y ∉ aexp-vars e} eval_w-simple.assign eval-vars-det_A fun-upd-apply fun-upd-upd)
  by (metis assign-elim)
next
assume asms:  $y \notin aexp-vars e$   $x \neq y$ 
show doesnt-read ( $x \leftarrow e$ )  $y$ 
  unfolding doesnt-read-def
  apply (rule allI)+
  apply (rename-tac  $mds mem c' mds' mem'$ )
  apply (rule impI)
  apply (subgoal-tac  $c' = \text{Stop} \wedge mds' = mds \wedge mem' = mem (x := ev_A mem e)$ )
    apply simp
    apply (rule disjI1)
    apply (insert asms)
    apply clarify
    apply (subgoal-tac  $mem (x := ev_A mem e, y := v) = mem (y := v, x := ev_A mem e)$ )
      apply simp
      apply (rule ctxt-eval)
      apply (rule eval_w.unannotated)
      apply (metis eval_w-simple.assign eval-vars-det_A fun-upd-apply)
      apply (metis mem-upd-commute)
      by (metis assign-elim)
qed

lemma assign-loc-reach:
 $\llbracket \langle c', mds', mem' \rangle \in \text{loc-reach } \langle (x \leftarrow e) \otimes \text{annos}, mds, mem \rangle \rrbracket \implies$ 
 $(c' = \text{Stop} \wedge mds' = (mds \oplus \text{annos})) \vee (c' = (x \leftarrow e) \otimes \text{annos} \wedge mds' = mds)$ 
apply (induct rule: loc-reach.induct)
  apply simp-all
by (metis assign-annos-eval-elim stop-no-eval)

lemma if-doesnt-modify:
doesnt-modify (If e c_1 c_2 ⊗ annos)  $x$ 
apply (rule doesnt-modify-annos)
by (auto simp: doesnt-modify-def)

lemma vars-eval_B:
 $x \notin bexp-vars e \implies ev_B mem e = ev_B (mem (x := v)) e$ 

```

```

by (metis (lifting) eval-vars-detB fun-upd-other)

lemma if-doesnt-read:
  x ∉ bexp-vars e ⇒ doesn't-read (If e c1 c2 ⊗ annos) x
  apply (rule doesn't-read-annos)
  apply (auto simp: doesn't-read-def)
  apply (rename-tac mds mem c' mds' mem' v va)
  apply (case-tac evB mem e)
  apply (subgoal-tac c' = c1 ∧ mds' = mds ∧ mem' = mem)
  apply auto
  apply (rule ctxt-eval)
  apply (rule evalw.unannotated)
  apply (rule evalw.simple.if-true)
  apply (metis (lifting) vars-evalB)
  apply (subgoal-tac c' = c2 ∧ mds' = mds ∧ mem' = mem)
  apply auto
  apply (rule ctxt-eval)
  apply (rule evalw.unannotated)
  apply (rule evalw.simple.if-false)
  by (metis (lifting) vars-evalB)

lemma if-eval-true:
  [ evB mem e ] ⇒
  ⟨If e c1 c2 ⊗ annos, mds, mem⟩ ↪ ⟨c1, mds ⊕ annos, mem⟩
  apply (induct annos arbitrary: mds)
  apply simp
  apply (metis ctxt-eval evalw.unannotated evalw.simple.if-true)
  by (metis annotate.simps(2) ctxt-eval evalw.decl update-annos.simps(2))

lemma if-eval-false:
  [ ¬ evB mem e ] ⇒
  ⟨If e c1 c2 ⊗ annos, mds, mem⟩ ↪ ⟨c2, mds ⊕ annos, mem⟩
  apply (induct annos arbitrary: mds)
  apply simp
  apply (metis ctxt-eval evalw.unannotated evalw.simple.if-false)
  by (metis annotate.simps(2) ctxt-eval evalw.decl update-annos.simps(2))

lemma if-eval-elim:
  [ ⟨If e c1 c2 ⊗ annos, mds, mem⟩ ↪ ⟨c', mds', mem'⟩ ] ⇒
  ((c' = c1 ∧ evB mem e) ∨ (c' = c2 ∧ ¬ evB mem e)) ∧ mds' = mds ⊕ annos ∧
  mem' = mem
  apply (rule ccontr)
  apply (cases evB mem e)
  apply (insert if-eval-true deterministic)
  apply (metis prod.inject)
  by (metis prod.inject if-eval-false deterministic)

lemma if-eval-elim':
  [ ⟨If e c1 c2, mds, mem⟩ ↪ ⟨c', mds', mem'⟩ ] ⇒

```

```

((c' = c1 ∧ evB mem e) ∨ (c' = c2 ∧ ¬ evB mem e)) ∧ mds' = mds ∧ mem' =
mem
using if-eval-elim [where annos = []]
by auto

lemma loc-reach-refl':
⟨c, mds, mem⟩ ∈ loc-reach ⟨c, mds, mem⟩
apply (subgoal-tac ∃ lc. lc ∈ loc-reach lc ∧ lc = ⟨c, mds, mem⟩)
apply blast
by (metis loc-reach.refl fst-conv snd-conv)

lemma if-loc-reach:
[⟨c', mds', mem'⟩ ∈ loc-reach ⟨If e c1 c2 ⊗ annos, mds, mem⟩] ⇒
(c' = If e c1 c2 ⊗ annos ∧ mds' = mds) ∨
(∃ mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c1, mds ⊕ annos, mem''⟩) ∨
(∃ mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c2, mds ⊕ annos, mem''⟩)
apply (induct rule: loc-reach.induct)
apply (metis fst-conv snd-conv)
apply (erule disjE)
apply (erule conjE)
apply simp
apply (drule if-eval-elim)
apply (erule conjE)+
apply (erule disjE)
apply (erule conjE)
apply simp
apply (metis loc-reach-refl')
apply (metis loc-reach-refl')
apply (metis loc-reach.step)
by (metis loc-reach.mem-diff)

lemma if-loc-reach':
[⟨c', mds', mem'⟩ ∈ loc-reach ⟨If e c1 c2, mds, mem⟩] ⇒
(c' = If e c1 c2 ∧ mds' = mds) ∨
(∃ mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c1, mds, mem''⟩) ∨
(∃ mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c2, mds, mem''⟩)
using if-loc-reach [where annos = []]
by simp

lemma seq-loc-reach:
[⟨c', mds', mem'⟩ ∈ loc-reach ⟨c1 ;; c2, mds, mem⟩] ⇒
(∃ c''. c' = c'' ;; c2 ∧ ⟨c'', mds', mem'⟩ ∈ loc-reach ⟨c1, mds, mem⟩) ∨
(∃ c'' mds''. ⟨Stop, mds'', mem''⟩ ∈ loc-reach ⟨c1, mds, mem⟩ ∧
⟨c', mds', mem'⟩ ∈ loc-reach ⟨c2, mds'', mem''⟩)
apply (induct rule: loc-reach.induct)
apply simp
apply (metis loc-reach-refl')
apply simp
apply (metis (no-types) loc-reach.step loc-reach-refl' seq-elim seq-stop-elim)

```

```

by (metis (lifting) loc-reach.mem-diff)

lemma seq-doesnt-read:
  [] [doesnt-read c x] ==> doesnt-read (c ;; c') x
  apply (auto simp: doesnt-read-def)
  apply (rename-tac mds mem c'a mds' mem' v va)
  apply (case-tac c = Stop)
  apply auto
  apply (subgoal-tac c'a = c' ∧ mds' = mds ∧ mem' = mem)
  apply simp
  apply (metis ctxt-eval eval_w.unannotated eval_w-simple.seq-stop)
  apply (metis (lifting) seq-stop-elim)
  by (metis (lifting, no-types) eval_w.seq seq-elim)

lemma seq-doesnt-modify:
  [] [doesnt-modify c x] ==> doesnt-modify (c ;; c') x
  apply (auto simp: doesnt-modify-def)
  apply (case-tac c = Stop)
  apply auto
  apply (metis (lifting) seq-stop-elim)
  by (metis (no-types) seq-elim)

inductive-cases seq-stop-elim': ⟨Stop ;; c, mds, mem⟩ ~> ⟨c', mds', mem'⟩

lemma seq-stop-elim: ⟨Stop ;; c, mds, mem⟩ ~> ⟨c', mds', mem'⟩ ==>
  c' = c ∧ mds' = mds ∧ mem' = mem
  apply (erule seq-stop-elim')
  apply (metis eval_w.unannotated seq-stop-elim)
  apply (metis eval_w.seq seq-stop-elim)
  by (metis (lifting) Stmt.simps(28) Stmt.simps(34) ctxt-seq-elim)

lemma seq-split:
  [] [⟨Stop, mds', mem'⟩ ∈ loc-reach ⟨c₁ ;; c₂, mds, mem⟩] ==>
  ∃ mds'' mem''. ⟨Stop, mds'', mem''⟩ ∈ loc-reach ⟨c₁, mds, mem⟩ ∧
    ⟨Stop, mds', mem'⟩ ∈ loc-reach ⟨c₂, mds'', mem''⟩
  apply (drule seq-loc-reach)
  by (metis Stmt.simps(41))

lemma while-eval:
  ⟨While e c ⊗ annos, mds, mem⟩ ~> ⟨(If e (c ;; While e c) Stop), mds ⊕ annos,
  mem⟩
  apply (induct annos arbitrary: mds)
  apply simp
  apply (rule ctxt-eval)
  apply (rule eval_w.unannotated)
  apply (metis (lifting) eval_w-simple.while)
  apply simp
  by (metis ctxt-eval eval_w.decl)

```

```

lemma while-eval':
  ⟨While e c, mds, mem⟩ ~⇒ ⟨If e (c ;; While e c) Stop, mds, mem⟩
  using while-eval [where annos = []]
  by auto

lemma while-eval-elim:
  ⟦⟨While e c ⊗ annos, mds, mem⟩ ~⇒ ⟨c', mds', mem'⟩⟧ ⇒
  (c' = If e (c ;; While e c) Stop ∧ mds' = mds ⊕ annos ∧ mem' = mem)
  apply (rule ccontr)
  apply (insert while-eval deterministic)
  by blast

lemma while-eval-elim':
  ⟦⟨While e c, mds, mem⟩ ~⇒ ⟨c', mds', mem'⟩⟧ ⇒
  (c' = If e (c ;; While e c) Stop ∧ mds' = mds ∧ mem' = mem)
  using while-eval-elim [where annos = []]
  by auto

lemma while-doesnt-read:
  ⟦x ∉ bexp-vars e⟧ ⇒ doesnt-read (While e c ⊗ annos) x
  unfolding doesnt-read-def
  using while-eval while-eval-elim
  by metis

lemma while-doesnt-modify:
  doesnt-modify (While e c ⊗ annos) x
  unfolding doesnt-modify-def
  using while-eval-elim
  by metis

lemma disjE3:
  ⟦A ∨ B ∨ C ; A ⇒ P ; B ⇒ P ; C ⇒ P⟧ ⇒ P
  by auto

lemma disjE5:
  ⟦A ∨ B ∨ C ∨ D ∨ E ; A ⇒ P ; B ⇒ P ; C ⇒ P ; D ⇒ P ; E ⇒ P⟧ ⇒ P
  by auto

lemma if-doesnt-read':
  x ∉ bexp-vars e ⇒ doesnt-read (If e c1 c2) x
  using if-doesnt-read [where annos = []]
  by auto

theorem mode-type-sound:
  assumes typeable: ⊢ mds1 { c } mds'1
  assumes mode-le: mds2 ≤ mds1
  shows ∀ mem. ⟨Stop, mds'2, mem'⟩ ∈ loc-reach ⟨c, mds2, mem⟩ → mds'2 ≤

```

```

 $mds_1' \wedge$ 
    locally-sound-mode-use  $\langle c, mds_2, mem \rangle$ 
  using typeable mode-le
proof (induct arbitrary:  $mds_2$   $mds_2'$   $mem'$   $mem$  rule: mode-type.induct)
  case (skip  $mds$  annos)
  thus ?case
    apply auto
    apply (metis (lifting) skip-eval-step skip-loc-reach stop-no-eval update-preserves-le)
    apply (simp add: locally-sound-mode-use-def)
    by (metis annotate.simps skip-doesnt-access)
next
  case (assign  $x$   $mds$   $e$  annos)
  hence  $\forall mem. \text{locally-sound-mode-use } \langle (x \leftarrow e) \otimes annos, mds_2, mem \rangle$ 
    unfolding locally-sound-mode-use-def
  proof (clarify)
    fix  $mem$   $c'$   $mds'$   $mem'$   $y$ 
    assume  $asm: \langle c', mds', mem' \rangle \in \text{loc-reach } \langle (x \leftarrow e) \otimes annos, mds_2, mem \rangle$ 
    hence  $c' = (x \leftarrow e) \otimes annos \wedge mds' = mds_2 \vee c' = \text{Stop} \wedge mds' = mds_2 \oplus annos$ 
      using assign-loc-reach by blast
    thus  $(y \in mds' \text{ GuarNoRead} \longrightarrow \text{doesnt-read } c' y) \wedge$ 
       $(y \in mds' \text{ GuarNoWrite} \longrightarrow \text{doesnt-modify } c' y)$ 
  proof
    assume  $c' = (x \leftarrow e) \otimes annos \wedge mds' = mds_2$ 
    thus ?thesis
    proof (auto)
      assume  $y \in mds_2 \text{ GuarNoRead}$ 
      hence  $y \notin aexp-vars e$ 
        by (metis IntD2 IntI assign.hyps(2) assign.prems empty-iff inf-apply le-iff-inf)
      with assign-doesnt-read show doesnt-read  $((x \leftarrow e) \otimes annos) y$ 
        by blast
    next
      assume  $y \in mds_2 \text{ GuarNoWrite}$ 
      hence  $x \neq y$ 
        by (metis assign.hyps(1) assign.prems in-mono le-fun-def)
      with assign-doesnt-modify show doesnt-modify  $((x \leftarrow e) \otimes annos) y$ 
        by blast
    qed
  next
    assume  $c' = \text{Stop} \wedge mds' = mds_2 \oplus annos$ 
    with stop-doesnt-access show ?thesis by blast
  qed
  thus ?case
    apply auto
    by (metis assign.prems assign-annos-eval assign-loc-reach stop-no-eval update-preserves-le)
next

```

```

case (if- mds annos c1 mds'' c2 e)
  let ?c = (If e c1 c2) ⊗ annos
from if- have modes-le': mds2 ⊕ annos ≤ mds ⊕ annos
  by (metis (lifting) update-preserves-le)
from if- show ?case
  apply (simp add: locally-sound-mode-use-def)
  apply clarify
  apply (rule conjI)
  apply clarify
  prefer 2
  apply clarify
proof -
  fix mem
  assume ⟨Stop, mds2', mem'⟩ ∈ loc-reach ⟨If e c1 c2 ⊗ annos, mds2, mem⟩
  with modes-le' and if- show mds2' ≤ mds"
    by (metis if-eval-false if-eval-true if-loc-reach stop-no-eval)
next
  fix mem c' mds' mem' x
  assume ⟨c', mds', mem'⟩ ∈ loc-reach ⟨If e c1 c2 ⊗ annos, mds2, mem⟩
  hence (c' = If e c1 c2 ⊗ annos ∧ mds' = mds2) ∨
    (∃ mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c1, mds2 ⊕ annos, mem''⟩) ∨
    (∃ mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c2, mds2 ⊕ annos, mem''⟩)
    using if-loc-reach by blast
  thus (x ∈ mds' GuarNoRead → doesnt-read c' x) ∧
    (x ∈ mds' GuarNoWrite → doesnt-modify c' x)
proof
  assume c' = If e c1 c2 ⊗ annos ∧ mds' = mds2
  thus ?thesis
  proof (auto)
    assume x ∈ mds2 GuarNoRead
    with ⟨bexp-vars e ∩ mds GuarNoRead = {}⟩ and ⟨mds2 ≤ mds⟩ have x ∉
      bexp-vars e
      by (metis IntD2 disjoint-iff-not-equal inf-fun-def le-iff-inf)
      thus doesnt-read (If e c1 c2 ⊗ annos) x
        using if-doesnt-read by blast
  next
    assume x ∈ mds2 GuarNoWrite
    thus doesnt-modify (If e c1 c2 ⊗ annos) x
      using if-doesnt-modify by blast
  qed
next
  assume (exists mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c1, mds2 ⊕ annos, mem''⟩)
  ∨
    (exists mem''. ⟨c', mds', mem'⟩ ∈ loc-reach ⟨c2, mds2 ⊕ annos, mem''⟩)
  with if- show ?thesis
    by (metis locally-sound-mode-use-def modes-le')
  qed
qed
next

```

```

case (while mdsa mds annos c e)
hence mds2 ⊕ annos ≤ mds ⊕ annos
    by (metis (lifting) update-preserves-le)
have while-loc-reach:  $\bigwedge c' mds' mem' \text{mem}.$ 
     $\langle c', mds', mem' \rangle \in \text{loc-reach} \langle \text{While } e \text{ } c \otimes \text{annos}, mds_2, mem \rangle \implies$ 
     $c' = \text{While } e \text{ } c \otimes \text{annos} \wedge mds' = mds_2 \vee$ 
     $c' = \text{While } e \text{ } c \wedge mds' \leq mdsa \vee$ 
     $c' = \text{Stmt.If } e (c;; \text{While } e \text{ } c) \text{Stop} \wedge mds' \leq mdsa \vee$ 
     $c' = \text{Stop} \wedge mds' \leq mdsa \vee$ 
     $(\exists c'' mem'' mds_3.$ 
         $c' = c'';; \text{While } e \text{ } c \wedge$ 
         $mds_3 \leq mdsa \wedge \langle c'', mds', mem' \rangle \in \text{loc-reach} \langle c, mds_3, mem'' \rangle)$ 
proof -
    fix mem c' mds' mem'
    assume  $\langle c', mds', mem' \rangle \in \text{loc-reach} \langle \text{While } e \text{ } c \otimes \text{annos}, mds_2, mem \rangle$ 
    thus ?thesis c' mds' mem' mem
        apply (induct rule: loc-reach.induct)
            apply simp-all
            apply (erule disjE)
            apply simp
            apply (metis mds2 ⊕ annos ≤ mds ⊕ annos) while.hyps(1) while-eval-elim)
            apply (erule disjE)
            apply (metis while-eval-elim')
            apply (erule disjE)
            apply simp
            apply (metis if-eval-elim' loc-reach-refl')
            apply (erule disjE)
            apply (metis stop-no-eval)
            apply (erule exE)
            apply (rename-tac c' mds' mem' c'' mds'' mem'' c''a)
            apply (case-tac c''a = Stop)
            apply (insert while.hyps(3))
            apply (metis seq-stop-elim while.hyps(3))
            apply (metis loc-reach.step seq-elim)
            by (metis (full-types) loc-reach.mem-diff)
    qed
    from while show ?case
    proof (auto)
        fix mem
        assume  $\langle \text{Stop}, mds_2', mem' \rangle \in \text{loc-reach} \langle \text{While } e \text{ } c \otimes \text{annos}, mds_2, mem \rangle$ 
        thus mds2' ≤ mds ⊕ annos
            by (metis Stmt.distinct(35) stop-no-eval while.hyps(1) while-eval while-loc-reach)
    next
        fix mem
        from while have bexp-vars e ∩ (mds2 ⊕ annos) GuarNoRead = {}
            by (metis (lifting, no-types) Int-empty-right Int-left-commute mds2 ⊕ annos
                ≤ mds ⊕ annos) inf-fun-def le-iff-inf)
        show locally-sound-mode-use ⟨While e c ⊗ annos, mds2, mem⟩
            unfolding locally-sound-mode-use-def

```

```

apply (rule allI)+
apply (rule impI)
proof -
fix c' mds' mem'
define lc where lc = <While e c ⊗ annos, mds2, mem>
assume <c', mds', mem'> ∈ loc-reach lc
thus ∀ x. (x ∈ mds' GuarNoRead → doesnt-read c' x) ∧
          (x ∈ mds' GuarNoWrite → doesnt-modify c' x)
apply (simp add: lc-def)
apply (drule while-loc-reach)
apply (erule disjE5)
proof (auto del: conjI)
fix x
show (x ∈ mds2 GuarNoRead → doesnt-read (While e c ⊗ annos) x) ∧
      (x ∈ mds2 GuarNoWrite → doesnt-modify (While e c ⊗ annos) x)
unfolding doesnt-read-def and doesnt-modify-def
using while-eval and while-eval-elim
by blast
next
fix x
assume mds' ≤ mdsa
let ?c' = If e (c ;; While e c) Stop
have x ∈ mds' GuarNoRead → doesnt-read ?c' x
apply clarify
apply (rule if-doesnt-read')
by (metis IntI <mds' ≤ mdsa> empty-iff le-fun-def rev-subsetD while.hyps(1)
while.hyps(4))
moreover
have x ∈ mds' GuarNoWrite → doesnt-modify ?c' x
by (metis annotate.simps(1) if-doesnt-modify)
ultimately show (x ∈ mds' GuarNoRead → doesnt-read ?c' x) ∧
              (x ∈ mds' GuarNoWrite → doesnt-modify ?c' x) ..
next
fix x
assume mds' ≤ mdsa
show (x ∈ mds' GuarNoRead → doesnt-read Stop x) ∧
      (x ∈ mds' GuarNoWrite → doesnt-modify Stop x)
by (metis stop-doesnt-access)
next
fix c'' x mem'' mds3
assume mds3 ≤ mdsa
assume <c'', mds', mem'> ∈ loc-reach <c, mds3, mem''>
thus (x ∈ mds' GuarNoRead → doesnt-read (c'' ;; While e c) x) ∧
      (x ∈ mds' GuarNoWrite → doesnt-modify (c'' ;; While e c) x)
apply auto
apply (rule seq-doesnt-read)
apply (insert while(3))
apply (metis <mds3 ≤ mdsa> locally-sound-mode-use-def while.hyps(1))
apply (rule seq-doesnt-modify)

```

```

    by (metis ‹mds3 ≤ mdsA› locally-sound-mode-use-def while.hyps(1))
next
fix x
assume mds' ≤ mdsA
show (x ∈ mds' GuarNoRead → doesn't-read (While e c) x) ∧
      (x ∈ mds' GuarNoWrite → doesn't-modify (While e c) x)
  unfolding doesn't-read-def and doesn't-modify-def
  using while-eval' and while-eval-elim'
  by blast
qed
qed
qed
next
case (seq mds c1 mds' c2 mds'')
thus ?case
proof (auto)
fix mem
assume ‹Stop, mds2', mem'› ∈ loc-reach ‹c1 ;; c2, mds2, mem›
then obtain mds2'' mem'' where ‹Stop, mds2'', mem''› ∈ loc-reach ‹c1, mds2, mem› and
  ‹Stop, mds2', mem'› ∈ loc-reach ‹c2, mds2'', mem''›
  using seq-split by blast
thus mds2' ≤ mds''
  using seq by blast
next
fix mem
from seq show locally-sound-mode-use ‹c1 ;; c2, mds2, mem›
  apply (simp add: locally-sound-mode-use-def)
  apply clarify
  apply (drule seq-loc-reach)
  apply (erule disjE)
  apply (metis seq-doesnt-modify seq-doesnt-read)
  by metis
qed
next
case (sub mds2'' c mds2' mds1 mds1' c1)
thus ?case
  apply auto
  by (metis (opaque-lifting, no-types) inf-absorb2 le-infI1)
qed
end
end

```

References

- [MSS11] Heiko Mantel, David Sands, and Henning Sudbrock. Assumptions and Guarantees for Compositional Noninterference. In *CSF*, pages 218–232. IEEE Computer Society, 2011.