# Secure information flow and program logics — Isabelle/HOL sources

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#### Abstract

We present interpretations of type systems for secure information flow in Hoare logic, complementing previous encodings in relational program logics. We first treat the imperative language IMP, extended by a simple procedure call mechanism. For this language we consider base-line non-interference in the style of Volpano et al. [8] and the flow-sensitive type system by Hunt and Sands [4]. In both cases, we show how typing derivations may be used to automatically generate proofs in the program logic that certify the absence of illicit flows. We then add instructions for object creation and manipulation, and derive appropriate proof rules for base-line non-interference. As a consequence of our work, standard verification technology may be used for verifying that a concrete program satisfies the non-interference property.

The present proof development represents an update of the formalisation underlying our paper [2] and is intended to resolve any ambiguities that may be present in the paper.

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theory IMP imports Main begin

# 1 The language IMP

In this section we define a simple imperative programming language. Syntax and operational semantics are as in [9], except that we enrich the language with a single unnamed, parameterless procedure. Both, this section and the following one merely set the basis for the development described in the later sections and largely follow the approach to formalize program logics advocated by Kleymann, Nipkow, and others - see for example [5, 6, 7].

### 1.1 Syntax

We start from unspecified categories of program variables and values.

```
typedecl Var
typedecl Val
```

Arithmetic expressions are inductively built up from variables, values, and binary operators which are modeled as meta-logical functions over values. Similarly, boolean expressions are built up from arithmetic expressions using binary boolean operators which are modeled as functions of the ambient logic HOL.

```
\begin{array}{l} \textbf{datatype} \; \textit{Expr} = \\ \textit{varE} \; \textit{Var} \\ \mid \textit{valE} \; \textit{Val} \\ \mid \textit{opE} \; \textit{Val} \Rightarrow \textit{Val} \Rightarrow \textit{Val} \; \textit{Expr} \; \textit{Expr} \\ \\ \textbf{datatype} \; \textit{BExpr} = \textit{compB} \; \textit{Val} \Rightarrow \textit{Val} \Rightarrow \textit{bool} \; \textit{Expr} \; \textit{Expr} \end{array}
```

Commands are the usual ones for an imperative language, plus the command *Call* which stands for the invocation of a single (unnamed, parameterless) procedure.

```
 \begin{array}{c} \textbf{datatype} \ IMP = \\ Skip \\ | \ Assign \ Var \ Expr \\ | \ Comp \ IMP \ IMP \\ | \ While \ BExpr \ IMP \\ | \ Iff \ BExpr \ IMP \ IMP \\ | \ Call \end{array}
```

The body of this procedure is identified by the following constant.

 $\mathbf{consts}\ body::IMP$ 

### 1.2 Dynamic semantics

States are given by stores - in our case, HOL functions mapping program variables to values.

```
type-synonym State = Var \Rightarrow Val
definition update :: State \Rightarrow Var \Rightarrow Val \Rightarrow State
where update \ s \ x \ v = (\lambda \ y \ . \ if \ x=y \ then \ v \ else \ s \ y)
```

The evaluation of expressions is defined inductively, as standard.

```
primrec evalE::Expr \Rightarrow State \Rightarrow Val where evalE \ (varE \ x) \ s = s \ x \mid evalE \ (valE \ v) \ s = v \mid evalE \ (opE \ f \ e1 \ e2) \ s = f \ (evalE \ e1 \ s) \ (evalE \ e2 \ s)
```

```
primrec\ evalB::BExpr \Rightarrow State \Rightarrow bool
where
evalB (compB f e1 e2) s = f (evalE e1 s) (evalE e2 s)
```

The operational semantics is a standard big-step relation, with a height index that facilitates the Kleymann-Nipkow-style [5, 6] soundness proof of the program logic.

```
inductive-set Semn :: (State \times IMP \times nat \times State) \ set \ where
 SemSkip: (s,Skip,1,s): Semn
| SemAssign:
 \llbracket t = update \ s \ x \ (evalE \ e \ s) \rrbracket \Longrightarrow (s, Assign \ x \ e, 1, t) : Semn
| SemComp:
  [(s,c1,n,r):Semn; (r,c2,m,t):Semn; k=(max \ n \ m)+1]
   \implies (s, Comp \ c1 \ c2, k, t) : Semn
\mid SemWhileT:
  [eval B\ b\ s;\ (s,c,n,r):Semn;\ (r,While\ b\ c,m,t):Semn;
       k=((max \ n \ m)+1)
   \implies (s, While b c,k,t):Semn
|SemWhileF: \llbracket \neg (evalB\ b\ s);\ t=s \rrbracket \implies (s, While\ b\ c, 1, t):Semn
  \llbracket evalB\ b\ s;\ (s,c1,n,t):Semn\ \rrbracket \Longrightarrow (s,Iff\ b\ c1\ c2,n+1,t):Semn
| SemFalse:
 \llbracket \neg (evalB\ b\ s); (s,c2,n,t):Semn \rrbracket \Longrightarrow (s,Iff\ b\ c1\ c2,n+1,t):Semn
| SemCall: (s,body,n,t):Semn \implies (s,Call,n+1,t):Semn
abbreviation
SemN :: [State, IMP, nat, State] \Rightarrow bool ( \langle -, - \rightarrow - - \rangle )
s,c \rightarrow_n t == (s,c,n,t) : Semn
     Often, the height index does not matter, so we define a notion hiding it.
definition Sem :: [State, IMP, State] \Rightarrow bool (\langle -, - \downarrow - \rangle 1000)
where s,c \downarrow t = (\exists n. s,c \rightarrow_n t)
    Inductive elimination rules for the (indexed) dynamic semantics:
inductive-cases Sem-eval-cases:
 s,Skip \rightarrow_n t
 s, (Assign \ x \ e) \rightarrow_n t
 s, (Comp\ c1\ c2) \rightarrow_n t
```

```
s, (While \ b \ c) \rightarrow_n t
s,(Iff\ b\ c1\ c2) \rightarrow_n t
```

```
s, Call \rightarrow_n t
\langle proof \rangle
```

An induction on c shows that no derivations of height 0 exist.

```
lemma Sem-no-zero-height-derivs: (s, c \rightarrow_0 t) ==> False\langle proof \rangle \langle proof \rangle
```

The proof of determinism is by induction on the (indexed) operational semantics.

```
lemma SemDeterm: [s, c \downarrow t; s, c \downarrow r] \implies r = t \langle proof \rangle
End of theory IMP
```

theory VDM imports IMP begin

# 2 Program logic

The program logic is a partial correctness logic in (precondition-less) VDM style. This means that assertions are binary predicates over states and relate the initial and final states of a terminating execution.

# 2.1 Assertions and their semantic validity

Assertions are binary predicates over states, i.e. are of type

```
type-synonym VDMAssn = State \Rightarrow State \Rightarrow bool
```

Command c satisfies assertion A if all (terminating) operational behaviours are covered by the assertion.

A variation of this property for the height-indexed operational semantics,...

... plus the obvious relationships.

```
\begin{array}{lll} \textbf{lemma} & VDM\text{-}valid\text{-}valid\text{n} : \models c:A \Longrightarrow \models_n c:A\langle proof \rangle \\ \textbf{lemma} & VDM\text{-}valid\text{n}\text{-}valid : (\forall n . \models_n c:A) \Longrightarrow \models c:A\langle proof \rangle \\ \textbf{lemma} & VDM\text{-}lowerm : [\![\models_n c:A; m \leq n]\!] \Longrightarrow \models_m c:A\langle proof \rangle \\ \end{array}
```

Proof contexts are simply sets of assertions – each entry represents an assumption for the unnamed procedure. In particular, a context is valid if each entry is satisfied by the method call instruction.

```
definition Ctxt-valid :: VDMAssn set \Rightarrow bool (\langle \models - \rangle [100] 100) where \models G = (\forall A : A \in G \longrightarrow (\models Call : A))
```

Again, a relativised sibling ...

satisfies the obvious properties.

```
lemma Ctxt-valid-validn: \models G \Longrightarrow \models_n G \langle proof \rangle
lemma Ctxt-valid-valid: (\forall n . \models_n G) \Longrightarrow \models G \langle proof \rangle
lemma Ctxt-lowerm: \llbracket \models_n G; m < n \rrbracket \Longrightarrow \models_m G \langle proof \rangle
```

A judgement is valid if the validity of the context implies that of the commmand-assertion pair.

```
definition valid :: (VDMAssn\ set) \Rightarrow IMP \Rightarrow VDMAssn \Rightarrow bool (\leftarrow \models -: - \rightarrow [100, 100, 100]\ 100) where G \models c: A = (\models G \longrightarrow \models c: A)
```

And, again, a relatived notion of judgement validity.

**definition** validn ::

```
(VDMAssn\ set) \Rightarrow nat \Rightarrow IMP \Rightarrow VDMAssn \Rightarrow bool
(\leftarrow \models \_ -: \rightarrow [100,100,100,100]\ 100)
where G \models_n c: A = (\models_n G \longrightarrow \models_n c: A)
```

lemma validn-valid: 
$$(\forall n . G \models_n c : A) \Longrightarrow G \models c : A \langle proof \rangle$$
  
lemma ctxt-consn:  $\llbracket \models_n G; \models_n Call : A \rrbracket \Longrightarrow \models_n (\lbrace A \rbrace \cup G) \langle proof \rangle$ 

# 2.2 Proof system

```
inductive-set
```

```
VDM	ext{-}proof:: (VDMAssn\ set 	imes IMP 	imes VDMAssn)\ set where
```

```
VDMSkip: (G, Skip, \lambda \ s \ t \ . \ t=s) : VDM-proof
```

| VDMAssign:

```
(G, Assign \ x \ e, \lambda \ s \ t \ . \ t = (update \ s \ x \ (evalE \ e \ s))) : VDM-proof
```

| VDMComp:

```
 \llbracket (G, c1, A1) : VDM\text{-}proof; (G, c2, A2) : VDM\text{-}proof \rrbracket \Longrightarrow (G, Comp \ c1 \ c2, \lambda \ s \ t \ . \exists \ r \ . A1 \ s \ r \land A2 \ r \ t) : VDM\text{-}proof
```

| VDMIff:

```
| VDMWhile:
```

#### | VDMCall:

$$(\{A\} \cup G, body, A): VDM\text{-}proof \Longrightarrow (G, Call, A): VDM\text{-}proof$$

$$\mid VDMAx: A \in G \Longrightarrow (G, Call, A): VDM-proof$$

### | VDMConseq:

```
 \llbracket (G, c, A): VDM\text{-}proof; \forall s t. A s t \longrightarrow B s t \rrbracket \Longrightarrow (G, c, B): VDM\text{-}proof
```

#### abbreviation

```
VDM-deriv :: [VDMAssn\ set,\ IMP,\ VDMAssn] \Rightarrow bool (\leftarrow \rhd -: -\rightarrow [100,100,100]\ 100) where G \rhd c : A == (G,c,A) \in VDM-proof
```

The while-rule is in fact inter-derivable with the following rule.

### lemma Hoare-While:

```
\begin{array}{c} G \rhd c: (\lambda \mathrel{s} \mathrel{s'}. \; \forall \; \; r \; . \; evalB \; b \; s \longrightarrow I \; s \; r \longrightarrow I \; s' \; r) \Longrightarrow \\ G \rhd \; While \; b \; c: (\lambda \mathrel{s} \mathrel{s'}. \; \forall \; \; r \; . \; I \; s \; r \longrightarrow (I \; s' \; r \; \land \; \neg \; evalB \; b \; s')) \\ \langle proof \rangle \end{array}
```

Here's the proof in the opposite direction.

lemma VDMWhile-derivable:

# 2.3 Soundness

 $\langle proof \rangle \langle proof \rangle$ 

An auxiliary lemma stating the soundness of the while rule. Its proof is by induction on n.

 $\mathbf{lemma} \ \mathit{SoundWhile}[\mathit{rule-format}] :$ 

```
(\forall m. \ G \models_m c : B) \xrightarrow{\circ} (\forall s. \ (\neg \ evalB \ b \ s) \longrightarrow A \ s \ s) \longrightarrow (\forall s. \ evalB \ b \ s \longrightarrow (\forall r. \ B \ s \ r \longrightarrow (\forall t. \ A \ r \ t \longrightarrow A \ s \ t))) \longrightarrow G \models_n (While \ b \ c) : (\lambda s \ t. \ A \ s \ t \land \neg \ evalB \ b \ t) \langle proof \rangle
```

Similarly, an auxiliary lemma for procedure invocations. Again, the proof proceeds by induction on n.

**lemma** SoundCall[rule-format]:

$$\llbracket \forall n. \models_n (\{A\} \cup G) \longrightarrow \models_n body : A \rrbracket \Longrightarrow \models_n G \longrightarrow \models_n Call : A \langle proof \rangle$$

The heart of the soundness proof is the following lemma which is proven by induction on the judgement  $G \triangleright c : A$ .

```
lemma VDM-Sound-n: G \triangleright c: A \Longrightarrow (\forall n . G \models_n c:A) \langle proof \rangle
```

Combining this result with lemma validn-valid, we obtain soundness in contexts,...

```
theorem VDM-Sound: G \triangleright c: A \Longrightarrow G \models c:A \langle proof \rangle
```

... and consequently soundness w.r.t. empty contexts.

**lemma** VDM-Sound-emptyCtxt: $\{\} \rhd c : A \Longrightarrow \models c : A \langle proof \rangle$ 

### 2.4 Admissible rules

A weakening rule and some cut rules are easily derived.

```
\begin{array}{l} \textbf{lemma} \ \ WEAK[rule\text{-}format]: \\ G \rhd c: A \Longrightarrow (\forall \ H \ . \ G \subseteq H \longrightarrow H \rhd c: A) \langle proof \rangle \langle proof \rangle \\ \textbf{lemma} \ \ CutAux: \\ \llbracket H \rhd c: A; \ H = insert \ P \ D; \ G \rhd \ Call \ :P; \ G \subseteq D \rrbracket \Longrightarrow D \rhd c: A \langle proof \rangle \end{array}
```

lemma  $Cut: [G \rhd Call : P ; (insert P G) \rhd c : A] \implies G \rhd c : A \langle proof \rangle$ 

We call context G verified if all entries are justified by derivations for the procedure body.

```
definition verified::VDMAssn\ set \Rightarrow bool where verified\ G = (\forall\ A\ .\ A:G \longrightarrow G \rhd body:A)
```

The property is preserved by sub-contexts

```
lemma verified-preserved: \llbracket verified \ G; A:G \rrbracket \Longrightarrow verified (G - \{A\}) \langle proof \rangle \langle proof \rangle
```

The Mutrec rule allows us to eliminate verified (finite) contexts. Its proof proceeds by induction on n.

```
theorem Mutrec:
```

```
\llbracket \text{ finite } G; \text{ card } G = n; \text{ verified } G; A:G \rrbracket \Longrightarrow \{\} \rhd \text{ Call:} A \langle \text{proof} \rangle
```

In particular, *Mutrec* may be used to show that verified finite contexts are valid.

 $\textbf{lemma } \textit{Ctxt-verified-valid:} ~ \llbracket \textit{verified } G; \textit{finite } G \rrbracket \Longrightarrow \ \models ~ G \langle \textit{proof} \rangle$ 

### 2.5 Completeness

Strongest specifications, given precisely by the operational behaviour.

```
definition SSpec::IMP \Rightarrow VDMAssn where SSpec\ c\ s\ t = s,c \downarrow t
```

Strongest specifications are valid ...

```
lemma SSpec\text{-}valid: \models c: (SSpec\ c)\langle proof \rangle
```

and imply any other valid assertion for the same program (hence their name).

```
lemma SSpec\text{-}strong: \models c : A \Longrightarrow \forall s \ t \ . \ SSpec \ c \ s \ t \longrightarrow A \ s \ t \langle proof \rangle
```

By induction on c we show the following.

```
\mathbf{lemma}\ \mathit{SSpec-derivable} : G \vartriangleright \mathit{Call} : \mathit{SSpec}\ \mathit{Call} \Longrightarrow \mathit{G} \vartriangleright \mathit{c} : \mathit{SSpec}\ \mathit{c} \langle \mathit{proof} \rangle
```

The (singleton) strong context contains the strongest specification of the procedure.

```
definition StrongG :: VDMAssn set

where StrongG = \{SSpec \ Call\}
```

By construction, the strongest specification of the procedure's body can be verified with respect to this context.

```
lemma StrongG-Body: StrongG > body: SSpec Call\langle proof \rangle
```

Thus, the strong context is verified.

```
lemma StrongG-verified: verified <math>StrongG \langle proof \rangle
```

Using this result and the rules *Cut* and *Mutrec*, we show that arbitrary commands satisfy their strongest specification with respect to the empty context.

```
lemma SSpec\text{-}derivable\text{-}empty:\{\} \triangleright c : SSpec \ c\langle proof \rangle
```

From this, we easily obtain (relative) completeness.

```
theorem VDM-Complete: \models c : A \Longrightarrow \{\} \triangleright c : A \langle proof \rangle
```

Finally, it is easy to show that valid contexts are verified.

```
lemma Ctxt-valid-verified: \models G \Longrightarrow verified \ G \langle proof \rangle
```

End of theory VDM

end

theory VS imports VDM begin

# 3 Base-line noninterference

We now show how to interprete the type system of Volpano, Smith and Irvine [8], as described in Section 3 of our paper [2].

### 3.1 Basic definitions

Muli-level security being treated in Section 5, we restrict our attention in the present section to the two-point security lattice.

```
datatype TP = low \mid high
```

A global context assigns a security type to each program variable.

```
consts CONTEXT :: Var \Rightarrow TP
```

Next, we define when two states are considered (low) equivalent.

```
definition twiddle::State \Rightarrow State \Rightarrow bool ( < - \approx - > [100,100] \ 100) where s \approx ss = (\forall x. \ CONTEXT \ x = low \longrightarrow s \ x = ss \ x)
```

A command c is *secure* if the low equivalence of any two initial states entails the equivalence of the corresponding final states.

```
definition secure::IMP \Rightarrow bool

where secure c = (\forall s \ t \ ss \ tt \ . \ s \approx t \longrightarrow (s, c \Downarrow ss) \longrightarrow (t, c \Downarrow tt) \longrightarrow ss \approx tt)
```

Here is the definition of the assertion transformer that is called Sec in the paper . . .

```
definition Sec :: ((State \times State) \Rightarrow bool) \Rightarrow VDMAssn

where Sec \ \Phi \ s \ t = ((\forall \ r \ . \ s \approx r \longrightarrow \Phi(t, r)) \land (\forall \ r \ . \ \Phi(r, s) \longrightarrow r \approx t))
```

... and the proofs of two directions of its characteristic property, Proposition 1.

```
lemma Prop1A:\models c:(Sec\ \Phi)\Longrightarrow secure\ c\langle proof\rangle
lemma Prop1B: secure\ c\Longrightarrow\models c:Sec\ (\lambda\ (r,\ t)\ .\ \exists\ s\ .\ (s\ ,\ c\Downarrow\ r)\ \land\ s\approx t)\langle proof\rangle
lemma Prop1BB:secure\ c\Longrightarrow\exists\ \Phi\ .\ \models c:Sec\ \Phi\langle proof\rangle
lemma Prop1: (secure\ c)=(\models c:Sec\ (\lambda\ (r,\ t)\ .\ \exists\ s\ .\ (s\ ,\ c\Downarrow\ r)\ \land\ s\approx t))\langle proof\rangle
```

## 3.2 Derivation of the LOW rules

We now derive the interpretation of the LOW rules of Volpano et al's paper according to the constructions given in the paper. (The rules themselves are given later, since they are not yet needed).

```
lemma CAST[rule-format]:
   G \rhd c : twiddle \longrightarrow G \rhd c : Sec (\lambda (s,t) . s \approx t) \langle proof \rangle
lemma SKIP: G \triangleright Skip : Sec (\lambda (s,t) . s \approx t) \langle proof \rangle
lemma ASSIGN:
  (\forall s ss. s \approx ss \longrightarrow evalE \ e \ s = evalE \ e \ ss) \Longrightarrow
    G \rhd (Assign \ x \ e)
      : (Sec (\lambda (s, t) . s \approx (update \ t \ x (evalE \ e \ t)))) \langle proof \rangle
lemma COMP:
   \llbracket G \rhd c1 : (Sec \Phi); G \rhd c2 : (Sec \Psi) \rrbracket \Longrightarrow
       G \rhd (Comp\ c1\ c2): (Sec\ (\lambda\ (s,t)\ .\ \exists\ r\ .\ \Phi(r,\ t)\ \land
                                     (\forall w : (r \approx w \longrightarrow \Psi(s, w)))) \rangle proof \rangle
lemma IFF:
   \llbracket (\forall s \ ss. \ s \approx ss \longrightarrow evalB \ b \ s = evalB \ b \ ss);
       G \rhd c1 : (Sec \Phi); G \rhd c2 : (Sec \Psi) \rrbracket \Longrightarrow
       G \rhd (Iff \ b \ c1 \ c2) : Sec \ (\lambda \ (s, \ t) \ . \ (evalB \ b \ t \longrightarrow \Phi(s,t)) \land 
                                                       ((\neg evalB \ b \ t) \longrightarrow \Psi(s,t)) \langle proof \rangle
```

We introduce an explicit fixed point construction over the type TT of the invariants  $\Phi$ .

```
type-synonym TT = (State \times State) \Rightarrow bool
```

We deliberately introduce a new type here since the agreement with VDMAssn (modulo currying) is purely coincidental. In particular, in the generalisation for objects in Section 6 the type of invariants will differ from the type of program logic assertions.

```
 \begin{split} & \mathbf{definition} \ FIX :: (TT \Rightarrow TT) \Rightarrow TT \\ & \mathbf{where} \ FIX \ \varphi = (\lambda \ (s,t). \ \forall \ \Phi. \ (\forall \ ss \ tt \ . \ \varphi \ \Phi \ (ss,tt) \longrightarrow \Phi \ (ss,tt)) \\ & \longrightarrow \Phi \ (s,t)) \\ & \mathbf{definition} \ Monotone :: (TT \Rightarrow TT) \Rightarrow bool \\ & \mathbf{where} \ Monotone \ \varphi = (\forall \ \Phi \ \Psi \ . \ (\forall \ s \ t \ . \ \Phi(s,t) \longrightarrow \Psi(s,t)) \longrightarrow \\ & (\forall \ s \ t \ . \ \varphi \ \Phi \ (s,t) \longrightarrow \varphi \ \Psi \ (s,t))) \\ & \langle proof \rangle \langle proof \rangle \\ \end{aligned}
```

For monotone invariant transformers  $\varphi$ , the construction indeed yields a fixed point.

```
lemma Fix-lemma:Monotone \varphi \Longrightarrow \varphi (FIX \varphi) = FIX \varphi\langle proof \rangle
```

In order to derive the while rule we define the following transfomer.

```
 \begin{array}{ll} \textbf{definition} \ PhiWhileOp::BExpr \Rightarrow TT \Rightarrow TT \\ \textbf{where} \ PhiWhileOp \ b \ \Phi = \\ & (\lambda \ \Psi \ . \ (\lambda(s,\ t). \\ & (evalB \ b \ t \longrightarrow (\exists \ r. \ \Phi \ (r,\ t) \ \land \\ & (\forall \ w. \ r \approx w \longrightarrow \Psi \ (s,\ w)))) \ \land \\ & (\neg \ evalB \ b \ t \longrightarrow s \approx t))) \end{array}
```

Since this operator is monotone, ...

**lemma**  $PhiWhileOp ext{-}Monotone: Monotone (PhiWhileOp b <math>\Phi$ ) $\langle proof \rangle$ 

we may define its fixed point,

```
definition PhiWhile::BExpr \Rightarrow TT \Rightarrow TT where PhiWhile b \Phi = FIX (PhiWhileOp b \Phi)
```

which we can use to derive the following rule.

### lemma WHILE:

The operator that given  $\Phi$  returns the invariant occurring in the conclusion of the rule is itself monotone - this is the property required for the rule for procedure invocations.

```
lemma PhiWhileMonotone: Monotone (<math>\lambda \Phi . PhiWhile b \Phi) \langle proof \rangle
```

We now derive an alternative while rule that employs an inductive formulation of a variant that replaces the fixed point construction. This version is given in the paper.

First, the inductive definition of the var relation.

```
inductive-set var::(BExpr \times TT \times State \times State) set where varFalse: \llbracket \neg \ evalB \ b \ t; \ s \approx t \rrbracket \implies (b,\Phi,s,t):var \\ | \ varTrue: \llbracket evalB \ b \ t; \ \Phi(r,t); \ \forall \ w \ . \ r \approx w \longrightarrow (b,\Phi,s,w): \ var \rrbracket \implies (b,\Phi,s,t):var
```

It is easy to prove the equivalence of var and the fixed point:

```
\langle proof \rangle \langle proof \rangle \langle proof \rangle

lemma FIXvarFIX: (PhiWhile\ b) = (\lambda\ \Phi\ .\ (\lambda\ (s,t)\ .\ (b,\Phi,s,t):var)) \langle proof \rangle
```

From this rule and the rule WHILE above, one may derive the while rule we gave in the paper.

```
lemma WHILE-IND:
```

Not suprisingly, the construction var can be shown to be monotone in  $\Phi$ .

```
\langle proof \rangle
```

```
lemma var-Monotone: Monotone (<math>\lambda \Phi . (\lambda (s,t) . (b,\Phi,s,t):var)) \langle proof \rangle \langle proof \rangle
```

The call rule is formulated for an arbitrary fixed point of a monotone transformer.

```
lemma CALL:
```

```
 \llbracket \ (\{Sec(FIX\ \Phi)\} \cup G) \rhd body : Sec(\Phi\ (FIX\ \Phi)); \ Monotone\ \Phi \rrbracket \Longrightarrow G \rhd Call : Sec(FIX\ \Phi) \langle proof \rangle
```

# 3.3 Derivation of the HIGH rules

The HIGH rules are easy.

```
\begin{array}{l} \mathbf{lemma} \ HIGH\text{-}SKIP \colon G \rhd Skip \colon twiddle \langle proof \rangle \\ \mathbf{lemma} \ HIGH\text{-}ASSIGN \colon \\ CONTEXT \ x = high \implies G \rhd (Assign \ x \ e) \colon twiddle \langle proof \rangle \\ \mathbf{lemma} \ HIGH\text{-}COMP \colon \\ \llbracket \ G \rhd c1 \colon twiddle \colon G \rhd c2 \colon twiddle \rrbracket \\ \implies G \rhd (Comp \ c1 \ c2) \colon twiddle \langle proof \rangle \\ \mathbf{lemma} \ HIGH\text{-}IFF \colon \\ \llbracket \ G \rhd c1 \colon twiddle \colon G \rhd c2 \colon twiddle \rrbracket \\ \implies G \rhd (Iff \ b \ c1 \ c2) \colon twiddle \langle proof \rangle \\ \mathbf{lemma} \ HIGH\text{-}WHILE \colon \\ \llbracket \ G \rhd c \colon twiddle \rrbracket \implies G \rhd (While \ b \ c) \colon twiddle \langle proof \rangle \\ \mathbf{lemma} \ HIGH\text{-}CALL \colon \\ (\{twiddle \} \cup G) \rhd body \colon twiddle \implies G \rhd Call \colon twiddle \langle proof \rangle \end{array}
```

# 3.4 The type system of Volpano, Smith and Irvine

We now give the type system of Volpano et al. and then prove its embedding into the system of derived rules. First, type systems for expressions and boolean expressions.

```
inductive-set VS-expr :: (Expr \times TP) set
where
VS-exprVar: CONTEXT x = t \Longrightarrow (varE x, t) : VS-expr
VS-exprVal: (valE\ v,\ low): VS-expr
VS-exprOp: \llbracket (e1,t) : VS-expr; (e2,t) : VS-expr\rrbracket
             \implies (opE f e1 e2,t) : VS-expr
|VS-exprHigh: (e, high): VS-expr
inductive-set VS-Bexpr :: (BExpr \times TP) set
where
VS-BexprOp: \llbracket (e1,t) : VS-expr; (e2,t): VS-expr\rrbracket
             \implies (compB f e1 e2,t) : VS-Bexpr
VS-BexprHigh: (e,high): VS-Bexpr
    Next, the core of the type system, the rules for commands.
inductive-set VS-com :: (TP \times IMP) set
where
VS-comSkip: (pc,Skip): VS-com
VS-comAssHigh:
  CONTEXT \ x = high \Longrightarrow (pc, Assign \ x \ e) : VS-com
| VS-comAssLow:
  [CONTEXT \ x = low; \ pc = low; \ (e,low): VS-expr] \Longrightarrow
   (pc, Assign \ x \ e) : VS-com
\mid VS\text{-}comComp:
  \llbracket (pc,c1): VS\text{-}com; (pc,c2): VS\text{-}com \rrbracket \Longrightarrow
   (pc, Comp \ c1 \ c2) : VS-com
\mid VS\text{-}comIf:
  [ (b,pc): VS-Bexpr; (pc,c1): VS-com; (pc,c2): VS-com ] \Longrightarrow
   (pc, Iff \ b \ c1 \ c2): VS-com
\mid VS\text{-}com\,While:
  \llbracket (b,pc): VS\text{-}Bexpr; (pc,c): VS\text{-}com \rrbracket \implies (pc,While \ b \ c): VS\text{-}com
|VS\text{-}comSub:(high,c):VS\text{-}com \Longrightarrow (low,c):VS\text{-}com
    We define the interpretation of expression typings...
primrec SemExpr::Expr \Rightarrow TP \Rightarrow bool
where
\mathit{SemExpr}\ e\ \mathit{low} = (\forall\ \mathit{s}\ \mathit{ss}.\ \mathit{s} \approx \mathit{ss} \longrightarrow \mathit{evalE}\ e\ \mathit{s} = \mathit{evalE}\ e\ \mathit{ss})\ |
SemExpr\ e\ high=True
     ...and show the soundness of the typing rules.
lemma ExprSound: (e,tp): VS-expr \Longrightarrow SemExpr e tp\langle proof \rangle
    Likewise for the boolean expressions.
```

```
primrec SemBExpr::BExpr \Rightarrow TP \Rightarrow bool where SemBExpr\ b\ low = (\forall\ s\ ss.\ s \approx ss \longrightarrow evalB\ b\ s = evalB\ b\ ss)\mid SemBExpr\ b\ high = True
```

**lemma** BExprSound: (e,tp): VS-Bexpr  $\Longrightarrow$  SemBExpr e  $tp\langle proof \rangle$ 

The proof of the main theorem (called Theorem 2 in our paper) proceeds by induction on  $(t, c) : VS\_com$ .

```
theorem VS\text{-}com\text{-}VDM[rule\text{-}format]: (t,c):VS\text{-}com \Longrightarrow (t=high \longrightarrow G \rhd c:twiddle) \land (t=low \longrightarrow (\exists A. G \rhd c:Sec A))\langle proof \rangle
```

The semantic of typing judgements for commands is now the expected one: HIGH commands require initial and final state be low equivalent (i.e. the low variables in the final state can't depend on the high variables of the initial state), while LOW commands must respect the above mentioned security property.

```
\begin{array}{c} \mathbf{primrec} \ SemCom :: TP \Rightarrow IMP \Rightarrow bool \\ \mathbf{where} \\ SemCom \ low \ c = (\forall \ s \ ss \ tt. \ s \approx ss \longrightarrow (s,c \Downarrow t) \longrightarrow \\ (ss,c \Downarrow tt) \longrightarrow t \approx tt) \mid \\ SemCom \ high \ c = (\forall \ s \ t \ . \ (s,c \Downarrow t) \longrightarrow s \approx t) \end{array}
```

Combining theorem VS-com-VDM with the soundness result of the program logic and the definition of validity yields the soundness of Volpano et al.'s type system.

```
theorem VS-SOUND: (t,c): VS-com \Longrightarrow SemCom t c \langle proof \rangle
```

As a further minor result, we prove that all judgements interpreting the low rules indeed yield assertions A of the form  $A = Sec(\Phi(FIX\Phi))$  for some monotone  $\Phi$ .

```
inductive-set Deriv :: (VDMAssn\ set \times IMP \times VDMAssn)\ set where D\text{-}CAST: (G,c,twiddle):Deriv \Longrightarrow (G,\ c,\ Sec\ (\lambda\ (s,t)\ .\ s \approx t)):Deriv |\ D\text{-}SKIP:\ (G,\ Skip,\ Sec\ (\lambda\ (s,t)\ .\ s \approx t)):Deriv |\ D\text{-}ASSIGN: (\forall\ s\ ss.\ s \approx ss \longrightarrow evalE\ e\ s = evalE\ e\ ss) \Longrightarrow (G,\ Assign\ x\ e,\ Sec\ (\lambda\ (s,t)\ .\ s \approx (update\ t\ x\ (evalE\ e\ t)))):Deriv |\ D\text{-}COMP: [\ (G,\ c1,\ Sec\ \Phi):Deriv;\ (G,\ c2,\ Sec\ \Psi):Deriv]] \Longrightarrow (G,\ Comp\ c1\ c2,\ Sec\ (\lambda\ (s,t)\ .\ \exists\ r\ .\ \Phi(r,\ t)\ \land (\forall\ w\ .\ (r \approx w \longrightarrow \Psi(s,\ w))))):Deriv
```

```
| C-IFF:
  \llbracket \ (\forall \ s \ ss. \ s \approx ss \longrightarrow evalB \ b \ s = evalB \ b \ ss);
      (\textit{G}, \textit{c1}, \textit{Sec } \Phi) \text{:} \textit{Deriv}; (\textit{G}, \textit{c2}, \textit{Sec } \Psi) \text{:} \textit{Deriv} \rrbracket \Longrightarrow
   (G, Iff b \ c1 \ c2, Sec \ (\lambda \ (s, t) \ . \ (evalB \ b \ t \longrightarrow \Phi(s,t)) \ \land
                                                      ((\neg evalB \ b \ t) \longrightarrow \Psi(s,t))):Deriv
\mid D\text{-}WHILE:
  \llbracket (\forall s \ ss. \ s \approx ss \longrightarrow evalB \ b \ s = evalB \ b \ ss);
      (G, c, Sec \Phi):Deriv \implies
   (G, While \ b \ c, Sec \ (PhiWhile \ b \ \Phi)):Deriv
\mid D\text{-}CALL:
  \llbracket \ (\{\mathit{Sec}(\mathit{FIX}\ \Phi)\}\ \cup\ \mathit{G},\ \mathit{body},\ \mathit{Sec}(\Phi(\mathit{FIX}\ \Phi))) : \mathit{Deriv};
       Monotone \Phi \rrbracket \Longrightarrow
   (G, Call, Sec(FIX \Phi)):Deriv
| D	ext{-}HighSKIP:(G, Skip, twiddle):Deriv
| D-HighASSIGN:
  CONTEXT \ x = high \Longrightarrow (G, Assign \ x \ e, \ twiddle):Deriv
\mid D\text{-}HighCOMP:
  \llbracket (G,c1,twiddle):Deriv; (G,c2,twiddle):Deriv \rrbracket \Longrightarrow
   (G, Comp c1 c2, twiddle):Deriv
| D-HighIFF:
  \llbracket (G,c1,twiddle):Deriv; (G,c2,twiddle):Deriv \rrbracket \Longrightarrow
   (G, Iff b c1 c2, twiddle):Deriv
\mid D\text{-}High\,WHILE:
  (G, c, twiddle):Deriv \Longrightarrow (G, While b c, twiddle):Deriv
| D-HighCALL:
  (\{twiddle\} \cup G, body, twiddle):Deriv \Longrightarrow (G, Call, twiddle):Deriv
\langle proof \rangle
lemma DerivMono:
 (X,c,A): Deriv \Longrightarrow \exists \Phi . A = Sec (\Phi (FIX \Phi)) \land Monotone \Phi \langle proof \rangle
     Also, all rules in the Deriv relation are indeed derivable in the program
logic.
lemma Deriv-derivable: (G,c,A):Deriv \Longrightarrow G \triangleright c: A\langle proof \rangle
     End of theory VS
end
theory ContextVS imports VS begin
```

### 3.5 Contextual closure

We show that the notion of security is closed w.r.t. low attacking contexts, i.e. contextual programs into which a secure program can be substituted and which itself employs only *obviously* low variables.

Contexts are **IMP** programs with (multiple) designated holes (represented by constructor *Ctxt Here*).

```
datatype CtxtProg =
Ctxt-Hole
| Ctxt-Skip
| Ctxt-Assign Var Expr
| Ctxt-Comp CtxtProg CtxtProg
| Ctxt-If BExpr CtxtProg CtxtProg
| Ctxt-While BExpr CtxtProg
| Ctxt-Call
```

We let C, D range over contextual programs. The substitution operation is defined by structural recursion.

```
primrec Fill::CtxtProg \Rightarrow IMP \Rightarrow IMP where Fill\ Ctxt-Hole c=c\mid Fill\ Ctxt-Skip c=Skip\mid Fill\ (Ctxt-Assign x\ e)\ c=Assign\ x\ e\mid Fill\ (Ctxt-Comp C1\ C2)\ c=Comp\ (Fill\ C1\ c)\ (Fill\ C2\ c)\mid Fill\ (Ctxt-If b\ C1\ C2)\ c=Iff\ b\ (Fill\ C1\ c)\ (Fill\ C2\ c)\mid Fill\ (Ctxt-While b\ C)\ c=While\ b\ (Fill\ C\ c)\mid Fill\ Ctxt-Call c=Call
```

Equally obvious are the definitions of the (syntactically) mentioned variables of arithmetic and boolean expressions.

```
primrec EVars::Expr \Rightarrow Var\ set where EVars\ (varE\ x) = \{x\} \mid EVars\ (valE\ v) = \{\} \mid EVars\ (opE\ f\ e1\ e2) = EVars\ e1\ \cup EVars\ e2 lemma low\text{-}Eval[rule\text{-}format]: (\forall\ x\ .\ x\in EVars\ e \longrightarrow CONTEXT\ x = low) \longrightarrow (\forall\ s\ t\ .\ s \approx t \longrightarrow evalE\ e\ s = evalE\ e\ t)\langle proof\rangle primrec BVars::BExpr \Rightarrow Var\ set where BVars\ (compB\ f\ e1\ e2) = EVars\ e1\ \cup EVars\ e2 lemma low\text{-}EvalB[rule\text{-}format]: (\forall\ x\ .\ x\in BVars\ b \longrightarrow CONTEXT\ x = low) \longrightarrow (\forall\ s\ t\ .\ s \approx t \longrightarrow evalB\ b\ s = evalB\ b\ t)\langle proof\rangle
```

The variables possibly read from during the evaluation of c are denoted

by Vars c. Note that in the clause for assignments the variable that is assigned to is not included in the set.

```
primrec Vars::IMP \Rightarrow Var\ set where Vars\ Skip = \{\} \mid Vars\ (Assign\ x\ e) = EVars\ e \mid Vars\ (Comp\ c\ d) = Vars\ c\ \cup Vars\ d \mid Vars\ (While\ b\ c) = BVars\ b\ \cup Vars\ c\ \cup Vars\ d \mid Vars\ Call = \{\}
```

For contexts, we define when a set X of variables is an upper bound for the variables read from.

```
primrec CtxtVars:: Var set ⇒ CtxtProg ⇒ bool
where
CtxtVars X Ctxt-Hole = True |
CtxtVars X Ctxt-Skip = True |
CtxtVars X (Ctxt-Assign x e) = (EVars e ⊆ X) |
CtxtVars X (Ctxt-Comp C1 C2) = (CtxtVars X C1 ∧ CtxtVars X C2) |
CtxtVars X (Ctxt-If b C1 C2) = (BVars b ⊆ X ∧ CtxtVars X C1 ∧ CtxtVars X C1 ∧ CtxtVars X C2) |
CtxtVars X (Ctxt-While b C) = (BVars b ⊆ X ∧ CtxtVars X C) |
CtxtVars X Ctxt-Call = True
⟨proof⟩⟨proof⟩⟨proof⟩⟨proof⟩⟨proof⟩⟨proof⟩⟨proof⟩⟨
```

A constant representing the procedure body with holes.

```
consts Ctxt-Body::CtxtProq
```

The following predicate expresses that all variables read from by a command c are contained in the set X of low variables.

```
definition LOW:: Var\ set \Rightarrow CtxtProg \Rightarrow bool where LOW\ X\ C = (CtxtVars\ X\ C\ \land\ (\forall\ x\ .\ x: X \longrightarrow CONTEXT\ x = low)) \langle proof \rangle
```

By induction on the maximal height of the operational judgement (hidden in the definition of secure) we can prove that the security of c implies that of  $Fill\ C\ c$ , provided that the context and the procedure-context satisfy the LOW predicate for some X, and that the "real" body is obtained by substituting c into the procedure context.

```
lemma secureI-secureFillI:

[secure c; LOW X C; LOW X Ctxt-Body; body = Fill Ctxt-Body c]

\implies secure (Fill C c)\langle proof \rangle
```

Consequently, a (low) variable representing the result of the attacking context does not leak any unwanted information.

```
\mathbf{consts}\ \mathit{res}{::}\mathit{Var}
```

#### theorem

theory Lattice imports Main begin

# 4 Lattices

In preparation of the encoding of the type system of Hunt and Sands, we define some abstract type of lattices, together with the operations  $\bot$ ,  $\sqsubseteq$  and  $\sqcup$ , and some obvious axioms.

# $\mathbf{typedecl}\ L$

```
axiomatization bottom :: L \text{ and}
LEQ :: L \Rightarrow L \Rightarrow bool \text{ and}
LUB :: L \Rightarrow L \Rightarrow L
where LAT1 : LEQ \text{ bottom } p \text{ and}
LAT2 : LEQ \text{ p1 } p2 \Longrightarrow LEQ \text{ p2 } p3 \Longrightarrow LEQ \text{ p1 } p3 \text{ and}
LAT3 : LEQ \text{ p } (LUB \text{ p } q) \text{ and}
LAT4 : LUB \text{ p } q = LUB \text{ q } p \text{ and}
LAT5 : LUB \text{ p } (LUB \text{ q } r) = LUB \text{ } (LUB \text{ p } q) \text{ r and}
LAT6 : LEQ \text{ x x and}
LAT7 : p = LUB \text{ p } p
End of theory Lattice
```

theory HuntSands imports VDM Lattice begin

# 5 Flow-sensitivity a la Hunt and Sands

<sup>1</sup> The paper [4] by Hunt and Sands presents a generalisation of the type system of Volpano et al. to flow-sensitivity. Thus, programs such as l := h; l := 5 are not rejected any longer by the type system. Following the description in Section 4 of our paper [2], we embed Hunt and Sands' type system into the program logic given in Section 2.

<sup>&</sup>lt;sup>1</sup>As the Isabelle theory representing this section is dependent only on VDM.thy and Lattice.thy, name conflicts with notions defined in Section 3 are avoided.

# 5.1 General $A; R \Rightarrow S$ -security

Again, we define the type TT of intermediate formulae  $\Phi$ , and an assertion operator Sec. The latter is now parametrised not only by the intermediate formulae but also by the (possibly differing) pre- and post-relations R and S (both instantiated to  $\approx$  in Section 3), and by a specification A that directly links pre- and post-states.

```
type-synonym \ TT = (State \times State) \Rightarrow bool
```

```
definition ARSsecure::VDMAssn \Rightarrow (State \Rightarrow State \Rightarrow bool) \Rightarrow (State \Rightarrow State \Rightarrow bool) \Rightarrow IMP \Rightarrow bool where ARSsecure \ A \ R \ S \ c = ((\models c : A) \land RSsecure \ R \ S \ c)
```

Definition 3 of our paper follows.

```
definition Sec :: VDMAssn \Rightarrow (State \Rightarrow State \Rightarrow bool) \Rightarrow (State \Rightarrow State \Rightarrow bool) \Rightarrow TT \Rightarrow VDMAssn

where Sec \ A \ R \ S \ \Phi \ s \ t = (A \ s \ t \land (\forall \ r \ . \ R \ s \ r \longrightarrow \Phi(t,r)) \land (\forall \ r \ . \ \Phi(r,s) \longrightarrow S \ r \ t))
```

With these definitions, we can prove Proposition 4 of our paper.

```
lemma Prop_4A: \models c : Sec \ A \ R \ S \ \Phi \Longrightarrow ARSsecure \ A \ R \ S \ c \langle proof \rangle
lemma Prop_4B: ARSsecure \ A \ R \ S \ c \Longrightarrow
\models c : Sec \ A \ R \ S \ (\lambda \ (r,t) \ . \ \exists \ s \ . \ (s \ , \ c \ \Downarrow \ r) \ \land \ R \ s \ t) \langle proof \rangle
```

### 5.2 Basic definitions

Contexts map program variables to lattice elements.

```
type-synonym CONTEXT = Var \Rightarrow L
```

```
definition upd :: CONTEXT \Rightarrow Var \Rightarrow L \Rightarrow CONTEXT where upd \ G \ x \ p = (\lambda \ y \ . \ if \ x=y \ then \ p \ else \ G \ y)
```

We also define the predicate EQ which expresses when two states agree on all variables whose entry in a given context is below a certain security level.

```
definition EQ:: CONTEXT \Rightarrow L \Rightarrow State \Rightarrow State \Rightarrow bool where EQ \ G \ p = (\lambda \ s \ t \ . \ \forall \ x \ . \ LEQ \ (G \ x) \ p \longrightarrow s \ x = t \ x)
```

```
lemma EQ\text{-}LEQ: \llbracket EQ \ G \ p \ s \ t; \ LEQ \ pp \ p \rrbracket \implies EQ \ G \ pp \ s \ t \langle proof \rangle
```

The assertion called Q in our paper:

```
definition Q::L \Rightarrow CONTEXT \Rightarrow VDMAssn
where Q p H = (\lambda s t . \forall x . (\neg LEQ p (H x)) \longrightarrow t x = s x)
```

Q expresses the preservation of values in a single execution, and corresponds to the first clause of Definition 3.2 in [4]. In accordance with this, the following definition of security instantiates the A position of A;  $R \Rightarrow S$ -security with Q, while the context-dependent binary state relations are plugged in as the R and S components.

```
definition secure :: L \Rightarrow CONTEXT \Rightarrow IMP \Rightarrow CONTEXT \Rightarrow bool where secure p \ G \ c \ H = (\forall \ q \ . \ ARSsecure \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q) \ c)
```

Indeed, one may show that this notion of security amounds to the conjunction of a unary (i.e. one-execution-) property and a binary (i.e. two-execution-) property, as expressed in Hunt & Sands' Definition 3.2.

```
definition secure 1 :: L \Rightarrow CONTEXT \Rightarrow IMP \Rightarrow CONTEXT \Rightarrow bool where secure 1 p G c H = (\forall s t . (s, c \downarrow t) \longrightarrow Q p H s t)
```

```
definition secure2 :: L \Rightarrow CONTEXT \Rightarrow IMP \Rightarrow CONTEXT \Rightarrow bool where secure2 \ p \ G \ c \ H = ((\forall \ s \ t \ ss \ tt \ . \ (s,c \Downarrow t) \longrightarrow (ss,c \Downarrow tt) \longrightarrow EQ \ G \ p \ s \ ss \longrightarrow EQ \ H \ p \ t \ tt))
```

```
lemma secureEQUIV:
secure p \ G \ c \ H = (\forall \ q \ . \ secure1 \ p \ G \ c \ H \land secure2 \ q \ G \ c \ H) \langle proof \rangle
```

# 5.3 Type system

The type system of Hunt and Sands – our language formalisation uses a concrete datatype of expressions, so we add the obvious typing rules for expressions and prove the expected evaluation lemmas.

```
inductive-set \mathit{HS-E}:: (\mathit{CONTEXT} \times \mathit{Expr} \times \mathit{L}) set
where
HS-E-var: (G, varE x, G x) : HS-E
\mid HS\text{-}E\text{-}val: (G, valE\ c, bottom) : HS\text{-}E
| \ \mathit{HS-E-op:} \ \llbracket (\mathit{G},\ e1,p1) : \mathit{HS-E}; \ (\mathit{G},\ e2,p2) : \mathit{HS-E}; \ \mathit{p} = \ \mathit{LUB} \ \mathit{p1} \ \mathit{p2} \rrbracket
               \implies (G, opE \ f \ e1 \ e2, p) : HS-E
| HS\text{-}E\text{-}sup: \llbracket (G,e,p):HS\text{-}E; LEQ \ p \ q \rrbracket \Longrightarrow (G,e,q):HS\text{-}E
lemma HS-E-eval[rule-format]:
(G, e, t) \in HS-E \Longrightarrow
 \forall r \ s \ q. \ EQ \ G \ q \ r \ s \longrightarrow LEQ \ t \ q \longrightarrow evalE \ e \ r = evalE \ e \ s \langle proof \rangle
      Likewise for boolean expressions:
inductive-set \textit{HS-B}:: (\textit{CONTEXT} \times \textit{BExpr} \times \textit{L}) set
where
HS-B-compB: \llbracket (G, e1, p1) : HS-E; (G, e2, p2) : HS-E; p = LUB p1 p2 \rrbracket
                 \implies (G, compB \ f \ e1 \ e2, p) : HS-B
\mid \mathit{HS-B-sup} \colon \llbracket (\mathit{G},b,p) : \mathit{HS-B} ; \ \mathit{LEQ} \ p \ q \rrbracket \Longrightarrow (\mathit{G},b,q) : \mathit{HS-B}
lemma HS-B-eval[rule-format]:
(G, b, t) \in HS-B \Longrightarrow
```

```
\forall r \ s \ pp \ . \ EQ \ G \ pp \ r \ s \longrightarrow LEQ \ t \ pp \longrightarrow evalB \ b \ r = evalB \ b \ s \langle proof \rangle
     The typing rules for commands follow.
inductive-set \mathit{HS}::(L \times \mathit{CONTEXT} \times \mathit{IMP} \times \mathit{CONTEXT}) set
where
HS-Skip: (p, G, Skip, G):HS
\mid HS-Assign:
  (G,e,t):HS-E \Longrightarrow (p,G,Assign\ x\ e,upd\ G\ x\ (LUB\ p\ t)):HS
\mid HS\text{-}Seq:
  \llbracket (p,G,c,K) : HS; \; (p,K,d,H) : HS \rrbracket \implies (p,G,\; Comp \;\; c \;\; d,H) : HS
  \llbracket (G,b,t):HS-B;\ (LUB\ p\ t,G,c,H):HS;\ (LUB\ p\ t,G,d,H):HS \rrbracket \Longrightarrow
   (p,G,Iff\ b\ c\ d,H):HS
\mid HS-If-alq:
  \llbracket (G,b,p) : HS - B; \ (p,G,c,H) : HS; \ (p,G,d,H) : HS \rrbracket \implies
   (p,G,Iff\ b\ c\ d,H):HS
| HS-While:
  \llbracket (G,b,t):HS-B; (LUB\ p\ t,G,c,H):HS;H=G \rrbracket \Longrightarrow
   (p,G,While\ b\ c,H):HS
\mid \mathit{HS}\text{-}\mathit{Sub}:
  \llbracket (pp,GG,c,HH):HS; LEQ \ p \ pp; \ \forall \ x \ . \ LEQ \ (G \ x) \ (GG \ x); 
       \forall x . LEQ (HH x) (H x)  \Longrightarrow
   (p,G,c,H):HS
     Using HS-Sub, rules If and If-alq are inter-derivable.
\mathbf{lemma}\ \mathit{IF-derivable-from-If-alg}:
  [(G,b,t):HS-B; (LUB\ p\ t,G,c1,H):HS; (LUB\ p\ t,G,c2,H):HS]
   \implies (p, G, Iff \ b \ c1 \ c2, H):HS
\langle proof \rangle
lemma IF-alg-derivable-from-If:
  [(G,b,p):HS-B; (p,G,c1,H):HS; (p,G,c2,H):HS]
  \implies (p, G, Iff \ b \ c1 \ c2, H):HS
\langle proof \rangle
     An easy induction on typing derivations shows the following property.
lemma HS-Aux1:
 (p,G,c,H):HS \Longrightarrow \forall x. \ LEQ(Gx)(Hx) \lor LEQp(Hx)\langle proof \rangle
```

# 5.4 Derived proof rules

In order to show the derivability of the properties given in Theorem 3.3 of Hunt and Sands' paper, we give the following derived proof rules. By

including the Q property in the A position of Sec, we prove both parts of theorem in one proof, and can exploit the first property (Q) in the proof of the second.

```
lemma SKIP:
 X \triangleright Skip : Sec (Q p H) (EQ G q) (EQ G q)
                    (\lambda (s,t) \cdot EQ G q s t) \langle proof \rangle
lemma ASSIGN:
  \llbracket H = upd \ G \ x \ (LUB \ p \ t);
    \forall s ss . EQ G t s ss \longrightarrow evalE e s = evalE e ss
  \implies X \rhd Assign \ x \ e : Sec \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q)
             (\lambda (s,t) : \exists r : s = update \ r \ x (evalE \ e \ r) \land EQ \ G \ q \ r \ t) \langle proof \rangle
lemma COMP:
  \llbracket X \rhd c1 : Sec (Q p K) (EQ G q) (EQ K q) \Phi;
     X \rhd c2 : Sec (Q p H) (EQ K q) (EQ H q) \Psi;
    \forall x . LEQ (G x) (K x) \lor LEQ p (K x);
    \forall x . LEQ (K x) (H x) \lor LEQ p (H x)
   \implies X \rhd Comp \ c1 \ c2 : Sec \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q)
         (\lambda (x, y) . \exists z . \Phi (z, y) \wedge
                             (\forall w . EQ \ K \ q \ z \ w \longrightarrow \Psi \ (x, \ w))) \langle proof \rangle
```

We distinguish, for any given q, parallel conditionals from diagonal ones. Speaking operationally (i.e. in terms of two executions), conditionals of the former kind evaluate the branch condition identically in both executions. The following rule expresses this condition explicitly, in the first side condition. The formula inside the Sec-operator of the conclusion resembles the conclusion of the VDM rule for conditionals in that the formula chosen depends on the outcome of the branch.

### lemma IF-PARALLEL:

An alternative formulation replaces the first side condition with a typing hypothesis on the branch condition, thus exploiting lemma HS\_B\_eval.

# lemma *IF-PARALLEL-tp*:

Diagonal conditionals, in contrast, capture cases where (from the perspective of an observer at level q) the two executions may evaluate the branch condition differently. In this case, the formula inside the Sec-operator in the conclusion cannot depend upon the branch outcome, so the least common denominator of the two branches must be taken, which is given by the equality condition w.r.t. the post-context H. A side condition (the first one given in the rule) ensures that indeed no information leaks during the execution of either branch, by relating G and H.

### lemma IF-DIAGONAL:

Again, the first side condition of the rule may be replaced by a typing condition, but now this condition is on the commands (instead of the branch condition) – in fact, a derivation for either branch suffices.

```
lemma IF-DIAGONAL-tp:
```

Obviously, given q, any conditional is either parallel or diagonal as the second side conditions of the diagonal rules and the parallel rules are exclusive.

lemma if-algorithmic:

```
\begin{bmatrix}
\exists x . LEQ p (H x) \land LEQ (H x) q; \\
\neg (\exists x . LEQ p (H x) \land LEQ (H x) q)
\end{bmatrix}

\Rightarrow False \langle proof \rangle
```

As in Section 3 we define a fixed point construction, useful for the (parallel) while rule.

```
definition FIX::(TT \Rightarrow TT) \Rightarrow TT

where FIX \varphi = (\lambda \ (s,t). \ \forall \ \Phi \ . \ (\forall \ ss \ tt \ . \ \varphi \ \Phi \ (ss, \ tt) \longrightarrow \Phi \ (ss, \ tt))

\longrightarrow \Phi \ (ss, \ t))
```

For monotone invariant transformers, the construction indeed yields a fixed point.

```
definition Monotone::(TT \Rightarrow TT) \Rightarrow bool
where Monotone \ \varphi = (\forall \ \Phi \ \Psi \ . \ (\forall \ s \ t \ . \ \Phi(s,t) \longrightarrow \Psi(s,t)) \longrightarrow (\forall \ s \ t \ . \ \varphi \ \Phi \ (s,t) \longrightarrow \varphi \ \Psi \ (s,t)))
\langle proof \rangle \langle proof \rangle lemma Fix-lemma:Monotone \ \varphi \Longrightarrow \varphi \ (FIX \ \varphi) = FIX \ \varphi \langle proof \rangle
```

Next, the definition of a while-operator.

**definition** PhiWhilePOp::

```
VDMAssn \Rightarrow BExpr \Rightarrow TT \Rightarrow TT where PhiWhilePOp \ A \ b \ \Phi = (\lambda \ \Psi \ . \ (\lambda(r, \ u). \ (evalB \ b \ u \longrightarrow (\exists \ z. \ \Phi \ (z, \ u) \ \land (\forall \ w. \ A \ z \ w \longrightarrow \Psi \ (r, \ w)))) \ \land ((\neg \ evalB \ b \ u) \longrightarrow A \ r \ u)))
```

This operator is monotone in  $\Phi$ .

lemma  $PhiWhilePOp-Monotone:Monotone (PhiWhilePOp\ A\ b\ \Phi)\langle proof \rangle$ 

Therefore, we can define the following fixed point.

```
definition PhiWhileP::VDMAssn \Rightarrow BExpr \Rightarrow TT \Rightarrow TT where PhiWhileP \ A \ b \ \Phi = FIX \ (PhiWhilePOp \ A \ b \ \Phi)
```

As as a function on  $\phi$ , this PhiWhileP is itself monotone in  $\phi$ :

lemma  $PhiWhilePMonotone: Monotone (\lambda \Phi . PhiWhileP A b \Phi) \langle proof \rangle$ 

Now the rule for parallel while loops, i.e. loops where the branch condition evaluates identically in both executions.

```
lemma WHILE-PARALLEL:
```

The side condition regarding the evalution of the branch condition may be replaced by a typing hypothesis, thanks to lemma *HS-B-eval*.

```
lemma WHILE-PARALLEL-tp:
```

One may also give an inductive formulation of FIX:

inductive-set  $var::(BExpr \times VDMAssn \times TT \times State \times State)$  set where

```
varFalse:
```

The inductive formulation and the fixed point formulation are equivalent.

```
\langle proof \rangle \langle proof \rangle lemma FIXvarFIX:
 PhiWhileP\ A\ b = (\lambda\ \Phi\ .\ (\lambda\ (s,t)\ .\ (b,A,\Phi,s,t):var)) \langle proof \rangle
```

Thus, the above while rule may also be written using the inductive formulation.

### lemma WHILE-PARALLEL-IND:

Again, we may replace the side condition regarding the branch condition by a typing hypothesis.

```
lemma WHILE-PARALLEL-IND-tp:
```

Of course, the inductive formulation is also monotone:

 $\mathbf{lemma}\ \mathit{var}\text{-}\mathit{MonotoneInPhi}\text{:}$ 

```
Monotone (\lambda \Phi . (\lambda (s,t) . (b,A, \Phi,s,t):var))\langle proof \rangle \langle proof \rangle
```

In order to derive a diagonal while rule, we directly define an inductive relation that calculates the transitive closure of relation A, such that all but the last state evaluate b to True.

```
inductive-set varD::(BExpr \times VDMAssn \times State \times State) set where
```

```
varDFalse: \llbracket \neg \ evalB \ b \ s; \ A \ s \ t \rrbracket \implies (b,A,s,t):varD \ | \ varDTrue: \llbracket evalB \ b \ s; \ A \ s \ w; \ (b,A,w,t): \ varD \ \rrbracket \implies (b,A,s,t):varD
```

Here is the obvious definition of transitivity for assertions.

```
definition transitive:: VDMAssn \Rightarrow bool where transitive P = (\forall x y z . P x y \longrightarrow P y z \longrightarrow P x z)
```

The inductive relation satisfies the following property.

```
lemma varD-transitive[rule-format]: (b,A,s,t):varD \Longrightarrow transitive A \longrightarrow A \ s \ t \langle proof \rangle
```

On the other hand, the assertion Q defined above is transitive,

```
lemma Q-transitive:transitive (Q \ q \ G)\langle proof \rangle
```

and is hence respected by the inductive closure:

```
lemma varDQ:(b,Q \ q \ G,s,t):varD \Longrightarrow Q \ q \ G \ s \ t\langle proof \rangle
```

The diagonal while rule has a conclusion that is independent of  $\phi$ .

### lemma WHILE-DIAGONAL:

```
[X \rhd c : Sec (Q p G) (EQ G q) (EQ G q) \Phi; \neg LEQ p q]]
\Longrightarrow X \rhd While b c : Sec (Q p G) (EQ G q) (EQ G q)
(\lambda (s,t). EQ G q s t) \langle proof \rangle
```

varD is monotone in the assertion position.

```
{\bf lemma}\ varDMonotone In Assertion [rule-format]:
```

```
\begin{array}{l} (b,\,A,\,s,\,t) \in varD \Longrightarrow \\ (\forall\,s\,\,t.\,\,A\,\,s\,\,t \longrightarrow B\,\,s\,\,t) \longrightarrow (b,\,B,\,s,\,t) \in varD\langle proof\rangle\langle proof\rangle \end{array}
```

Finally, the subsumption rule.

### lemma SUB:

### 5.5 Soundness results

 $\langle proof \rangle$ 

An induction on the typing rules now proves the main theorem which was called Theorem 4 in [2].

```
theorem Theorem4 [rule-format]:
```

```
\begin{array}{l} (p,G,c,H) : HS \Longrightarrow \\ (\exists \ \Phi \ . \ X \rhd \ c : (Sec \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q) \ \Phi)) \langle proof \rangle \end{array}
```

By the construction of the operator Sec (lemmas Prop 4A and Prop 4A in Section 5.1) we obtain the soundness property with respect to the operational semantics, i.e. the result stated as Theorem 3.3 in [4].

```
theorem HuntSands33: (p,G,c,H):HS \Longrightarrow secure\ p\ G\ c\ H\langle proof \rangle
```

Both parts of this theorem may also be shown individually. We factor both proofs by the program logic.

```
lemma Sec1-deriv: (p,G,c,H):HS \Longrightarrow X \rhd c: (Q p H)\langle proof \rangle \langle proof \rangle
theorem HuntSands33-1:(p,G,c,H):HS \Longrightarrow secure1 p G c H\langle proof \rangle
lemma Sec2-deriv:
(p,G,c,H):HS \Longrightarrow
(\exists A . X \rhd c: (Sec (Q p H) (EQ G q) (EQ H q) A))\langle proof \rangle \langle proof \rangle
theorem HuntSands33-2: (p,G,c,H):HS \Longrightarrow secure2 q G c H\langle proof \rangle
```

Again, the call rule is formulated for an arbitrary fixed point of a monotone transformer.

```
lemma CALL:
```

As in Section 3, we define a formal derivation system comprising all derived rules and show that all derivable judgements are of the for  $Sec(\Phi)$  for some monotone  $\Phi$ .

```
inductive-set Deriv:: (VDMAssn\ set \times IMP \times VDMAssn)\ set where D\text{-}SKIP:
```

```
\Omega = (\lambda (s,t), EQ G q s t)
 \implies (X, Skip, Sec (Q p H) (EQ G q) (EQ G q) \Omega) : Deriv
\mid D-ASSIGN:
  \llbracket H = upd \ G \ x \ (LUB \ p \ t);
    \forall s ss . EQ G t s ss \longrightarrow evalE \ e \ s = evalE \ e \ ss;
    \Omega = (\lambda\ (s,\ t)\ .\ \exists\ r\ .\ s = \mathit{update}\ r\ x\ (\mathit{evalE}\ e\ r)\ \land\ \mathit{EQ}\ \mathit{G}\ \mathit{q}\ r\ t)]
\implies (X, Assign \ x \ e, Sec \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q) \ \Omega) : Deriv
\mid D\text{-}COMP:
  \llbracket (X, c, Sec (Q p K) (EQ G q) (EQ K q) \Phi) : Deriv; \rrbracket
     (X, d, Sec (Q p H) (EQ K q) (EQ H q) \Psi) : Deriv;
    \forall x . LEQ (G x) (K x) \lor LEQ p (K x);
    \forall x . LEQ (K x) (H x) \lor LEQ p (H x);
    \Omega = (\lambda (x, y) : \exists z : \Phi(z,y) \land (\forall w : EQ K q z w \longrightarrow \Psi(x,w)))
 \implies (X, Comp \ c \ d, Sec \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q) \ \Omega) : Deriv
\mid D-IF-PARALLEL:
  \llbracket \forall s \ ss \ . \ EQ \ G \ p \ sss \longrightarrow evalB \ b \ s = evalB \ b \ ss;
     \forall x. LEQ (G x) (H x) \lor LEQ p (H x);
     \exists x . LEQ \ p \ (H \ x) \land LEQ \ (H \ x) \ q;
     (X, c, Sec (Q p H) (EQ G q) (EQ H q) \Phi) : Deriv;
     (X, d, Sec (Q p H) (EQ G q) (EQ H q) \Psi) : Deriv;
     \Omega = (\lambda \ (r, \ u) \ . \ (evalB \ b \ u \longrightarrow \Phi(r, u)) \ \land
                        ((\neg evalB \ b \ u) \longrightarrow \Psi(r,u))
  \implies (X, Iff b \ c \ d, Sec \ (Q \ p \ H) \ (EQ \ G \ q) \ (EQ \ H \ q) \ \Omega) : Deriv
| D-IF-DIAGONAL:
  \llbracket \forall x. \ LEQ \ (G \ x) \ (H \ x) \lor LEQ \ p \ (H \ x);
     \neg (\exists x. \ LEQ \ p \ (H \ x) \land LEQ \ (H \ x) \ q);
     (X, c, Sec (Q p H) (EQ G q) (EQ H q) \Phi) : Deriv;
     (X, d, Sec (Q p H) (EQ G q) (EQ H q) \Psi) : Deriv;
     \Omega = (\lambda (s,t) \cdot EQ H q s t)
   \implies (X, Iff b c d, Sec (Q p H) (EQ G q) (EQ H q) <math>\Omega) : Deriv
| D-WHILE-PARALLEL:
 \llbracket (X, c, Sec (Q p G) (EQ G q) (EQ G q) \Phi):Deriv; \rrbracket
    \forall s ss . EQ G p s ss \longrightarrow eval b s = eval b ss; LEQ p q;
    \Omega = (\lambda (s,t) \cdot (b,EQ G q,\Phi,s,t):var)
   \implies (X, While b c, Sec (Q p G) (EQ G q) (EQ G q) <math>\Omega):Deriv
| D-WHILE-DIAGONAL:
 \llbracket (X, c, Sec \ (Q \ p \ G) \ (EQ \ G \ q) \ (EQ \ G \ q) \ \Phi) : Deriv; \neg LEQ \ p \ q;
   \Omega = (\lambda (s,t) \cdot EQ G q s t)
 \implies (X, While \ b \ c, Sec \ (Q \ p \ G) \ (EQ \ G \ q) \ (EQ \ G \ q) \ \Omega) : Deriv
\mid D\text{-}SUB:
  \llbracket LEQ \ p \ pp; \ \forall x. \ LEQ \ (G \ x) \ (GG \ x); \ \forall x. \ LEQ \ (HH \ x) \ (H \ x);
     (X, c, Sec (Q pp HH) (EQ GG q) (EQ HH q) \Phi) : Deriv
```

theory OBJ imports Main begin

# 6 Base-line non-interference with objects

We now extend the encoding for base-line non-interference to a language with objects. The development follows the structure of Sections 1 to 3. Syntax and operational semantics are defined in Section 6.1, the axiomatic semantics in Section 6.2. The generalised definition of non-interference is given in 6.4, the derived proof rules in Section 6.5, and a type system in the style of Volpano et al. in Section 6.6. Finally, Section 6.7 concludes with results on contextual closure.

# 6.1 Syntax and operational semantics

```
First, some operations for association lists 

primrec lookup :: ('a \times 'b) \ list \Rightarrow 'a \Rightarrow 'b \ option
where lookup \ [] \ l = None \ |
lookup \ (h \# t) \ l = (if \ (fst \ h) = l \ then \ Some \ (snd \ h) \ else \ lookup \ t \ l)
definition Dom::('a \times 'b) \ list \Rightarrow 'a \ set
where Dom \ L = \{l \ . \ \exists \ a \ . \ lookup \ L \ l = Some \ a\}
\langle proof \rangle \langle proof \rangle \langle proof \rangle \langle proof \rangle \langle proof \rangle
Abstract types of variables, class names, field names, and locations.

typedecl Var
typedecl Class
typedecl Field
typedecl Location
```

References are either null or a location. Values are either integers or references.

```
\mathbf{datatype} \ \mathit{Ref} = \mathit{Nullref} \mid \mathit{Loc} \ \mathit{Location}
```

 $datatype Val = RVal Ref \mid IVal int$ 

The heap is a finite map from locations to objects. Objects have a dynamic class and a field map.

```
type-synonym Object = Class \times ((Field \times Val) \ list)
type-synonym Heap = (Location \times Object) \ list
```

Stores contain values for all variables, and states are pairs of stores and heaps.

```
type-synonym Store = Var \Rightarrow Val
definition update :: Store \Rightarrow Var \Rightarrow Val \Rightarrow Store
where update \ s \ x \ v = (\lambda \ y \ . \ if \ x=y \ then \ v \ else \ s \ y)
```

```
type-synonym State = Store \times Heap
```

Arithmetic and boolean expressions are as before.

```
\begin{array}{l} \textbf{datatype} \ Expr = \\ varE \ Var \\ | \ valE \ Val \\ | \ opE \ Val \Rightarrow Val \ Expr \ Expr \end{array}
```

 $\mathbf{datatype}\ BExpr = compB\ Val \Rightarrow Val \Rightarrow bool\ Expr\ Expr$ 

The same applies to their semantics.

```
primrec evalE::Expr \Rightarrow Store \Rightarrow Val where evalE \ (varE \ x) \ s = s \ x \mid evalE \ (valE \ v) \ s = v \mid evalE \ (opE \ f \ e1 \ e2) \ s = f \ (evalE \ e1 \ s) \ (evalE \ e2 \ s) primrec evalB::BExpr \Rightarrow Store \Rightarrow bool where evalB \ (compB \ f \ e1 \ e2) \ s = f \ (evalE \ e1 \ s) \ (evalE \ e2 \ s)
```

The category of commands is extended by instructions for allocating a fresh object, obtaining a value from a field and assigning a value to a field.

```
 \begin{array}{c} \textbf{datatype} \ \ OBJ = \\ Skip \\ \mid Assign \ Var \ Expr \\ \mid New \ Var \ Class \\ \mid Get \ Var \ Var \ Field \\ \mid Put \ Var \ Field \ Expr \\ \mid Comp \ OBJ \ OBJ \end{array}
```

```
Iff BExpr OBJ OBJ
  | Call
    The body of the procedure is identified by the same constant as before.
consts body :: OBJ
    The operational semantics is again a standard big-step relation.
inductive-set Semn :: (State \times OBJ \times nat \times State) \ set
SemSkip: s=t \implies (s,Skip,1, t):Semn
| SemAssign:
 \llbracket t = (update (fst s) \ x (evalE \ e (fst s)), snd \ s) \rrbracket
  \implies (s, Assign x e, 1, t):Semn
\mid SemNew:
  [l \notin Dom (snd s);
       t = (update (fst s) \ x \ (RVal \ (Loc \ l)), \ (l, (C, [])) \ \# \ (snd \ s))]
  \implies (s, New \ x \ C, 1, t):Semn
| SemGet:
  [(fst\ s)\ y = RVal(Loc\ l);\ lookup\ (snd\ s)\ l = Some(C,Flds);
      lookup \ Flds \ F = Some \ v; \ t = (update \ (fst \ s) \ x \ v, \ snd \ s)
  \implies (s, Get x y F, 1, t):Semn
| SemPut:
  [(fst\ s)\ x = RVal(Loc\ l);\ lookup\ (snd\ s)\ l = Some(C,Flds);
      t = (fst \ s, \ (l, (C, (F, evalE \ e \ (fst \ s)) \ \# \ Flds)) \ \# \ (snd \ s))
  \implies (s, Put \ x \ F \ e, 1, t):Semn
\mid SemComp:
  [(s, c, n, r): Semn; (r,d, m, t): Semn; k=(max n m)+1]
  \implies (s, Comp c d, k, t):Semn
\mid SemWhileT:
  \llbracket evalB\ b\ (fst\ s);\ (s,c,\ n,\ r):Semn;\ (r,\ While\ b\ c,\ m,\ t):Semn;\ k=((max\ n\ m)+1) \rrbracket
  \implies (s, While b c, k, t):Semn
| Sem While F:
  \llbracket \neg (evalB\ b\ (fst\ s));\ t=s \rrbracket \implies (s,\ While\ b\ c,\ 1,\ t):Semn
| SemTrue:
  \llbracket evalB\ b\ (fst\ s);\ (s,c1,\ n,\ t):Semn \rrbracket
  \implies (s, Iff b c1 c2, n+1, t):Semn
| SemFalse:
  \llbracket \neg (evalB\ b\ (fst\ s));\ (s,c2,\ n,\ t):Semn \rrbracket
```

While BExpr OBJ

 $\implies$  (s, Iff b c1 c2, n+1, t):Semn

```
| SemCall: [(s,body,n, t):Semn] \implies (s,Call,n+1, t):Semn

abbreviation
SemN :: [State, OBJ, nat, State] \Rightarrow bool \ (\langle -, - \rightarrow - - \rangle)
where
```

Often, the height index does not matter, so we define a notion hiding it.

### definition

```
Sem :: [State, OBJ, State] \Rightarrow bool (<-, - \Downarrow -> 1000) where s,c \Downarrow t = (\exists n. s,c \rightarrow_n t)
```

 ${\bf inductive\text{-}cases}\ \textit{Sem-eval-cases}:$ 

 $s,c \rightarrow_n t == (s,c,n,t) : Semn$ 

```
\begin{array}{l} s, Skip \rightarrow_n t \\ s, (Assign \ x \ e) \rightarrow_n t \\ s, (New \ x \ C) \rightarrow_n t \\ s, (Get \ x \ y \ F) \rightarrow_n t \\ s, (Put \ x \ F \ e) \rightarrow_n t \\ s, (Comp \ c1 \ c2) \rightarrow_n t \\ s, (While \ b \ c) \rightarrow_n t \\ s, (While \ b \ c) \rightarrow_n t \\ s, (Iff \ b \ c1 \ c2) \rightarrow_n t \\ s, Call \rightarrow_n t \\ \langle proof \rangle \mathbf{lemma} \ Sem-no-zero-height-derivs: \ (s, \ c \rightarrow_0 t) \Longrightarrow False \langle proof \rangle \end{array}
```

Determinism does not hold as allocation is nondeterministic.

End of theory OBJ

 $\mathbf{end}$ 

theory VDM-OBJ imports OBJ begin

# 6.2 Program logic

Apart from the addition of proof rules for the three new instructions, this section is essentially identical to Section 2.

# 6.2.1 Assertions and their semantic validity

 $(\langle \models -: - \rightarrow 50)$ 

Assertions are binary state predicates, as before.

$$type$$
-synonym  $Assn = State \Rightarrow State \Rightarrow bool$ 

**definition** 
$$VDM$$
- $validn :: nat \Rightarrow OBJ \Rightarrow Assn \Rightarrow bool$ 

$$( \langle \models_{-} - : - \rangle 50)$$
**where**  $(\models_{n} c : A) = (\forall m . m \leq n \longrightarrow (\forall s t . (s, c \rightarrow_{m} t) \longrightarrow A s t))$ 
**definition**  $VDM$ - $valid :: OBJ \Rightarrow Assn \Rightarrow bool$ 

```
where (\models c : A) = (\forall s \ t . (s,c \downarrow t) \longrightarrow A \ s \ t)
lemma VDM-valid-validn: \models c:A \Longrightarrow \models_n c:A\langle proof \rangle
lemma VDM-validn-valid: (\forall n : \models_n c:A) \Longrightarrow \models c:A\langle proof \rangle
lemma VDM-lowerm: \llbracket \models_n c:A; m < n \rrbracket \Longrightarrow \models_m c:A\langle proof \rangle
definition Ctxt-validn :: nat \Rightarrow (Assn set) \Rightarrow bool
                            (< ⊨- - → 50)
where (\models_n G) = (\forall m : m \leq n \longrightarrow (\forall A. A \in G \longrightarrow \models_n Call : A))
definition Ctxt-valid :: Assn set \Rightarrow bool (\langle \models - \rangle > 50)
where (\models G) = (\forall A . A \in G \longrightarrow \models Call : A)
lemma Ctxt-valid-validn: \models G \Longrightarrow \models_n G\langle proof \rangle
lemma Ctxt-validn-valid: (\forall n : \models_n G) \Longrightarrow \models G(proof)
lemma Ctxt-lowerm: \llbracket \models_n G; m < n \rrbracket \Longrightarrow \models_m G \langle proof \rangle
definition valid :: (Assn \ set) \Rightarrow OBJ \Rightarrow Assn \Rightarrow bool
                          (\langle - \models - : - \rangle 50)
where (G \models c : A) = (Ctxt\text{-}valid \ G \longrightarrow VDM\text{-}valid \ c \ A)
definition validn :: (Assn\ set) \Rightarrow nat \Rightarrow OBJ \Rightarrow Assn \Rightarrow bool
                         (⟨- |=_ -: -> 50)
where (G \models_n c : A) = (\models_n G \longrightarrow \models_n c : A)
lemma validn-valid: (\forall n . G \models_n c : A) \Longrightarrow G \models c : A \langle proof \rangle
lemma ctxt-consn: \llbracket \models_n G; \models_n Call:A \rrbracket \Longrightarrow \models_n \{A\} \cup G \langle proof \rangle
6.2.2
           Proof system
inductive-set VDM-proof :: (Assn\ set \times\ OBJ \times\ Assn)\ set
where
VDMSkip: (G, Skip, \lambda \ s \ t \ . \ t=s): VDM-proof
| VDMAssign:
  (G, Assign \ x \ e,
        \lambda \ s \ t \ . \ t = (update \ (fst \ s) \ x \ (evalE \ e \ (fst \ s)), \ snd \ s)): VDM-proof
| VDMNew:
  (G, New \ x \ C,
        \lambda \ s \ t \ . \ \exists \ l \ . \ l \notin Dom \ (snd \ s) \ \land
                              t = (update (fst s) x (RVal (Loc l)),
                                    (l,(C,[])) \# (snd s)): VDM-proof
\mid VDMGet:
  (G, Get x y F,
        \lambda \ s \ t \ . \ \exists \ l \ C \ Flds \ v. \ (fst \ s) \ y = RVal(Loc \ l) \ \land
                              lookup\ (snd\ s)\ l = Some(C,Flds) \land
                              lookup\ Flds\ F=Some\ v\ \land
                              t = (update (fst s) x v, snd s)): VDM-proof
```

```
\mid VDMPut:
  (G, Put \ x \ F \ e,
         \lambda \ s \ t \ . \ \exists \ l \ C \ Flds. \ (fst \ s) \ x = RVal(Loc \ l) \ \land
                                lookup (snd s) l = Some(C, Flds) \land
                                t = (fst \ s,
                                       (l,(C,(F,evalE\ e\ (fst\ s))\ \#\ Flds))
                                                        \# (snd \ s)): VDM-proof
| VDMComp:
   \llbracket (G, c, A): VDM\text{-}proof; (G, d, B): VDM\text{-}proof \rrbracket \Longrightarrow
  (G, Comp \ c \ d, \lambda \ s \ t \ . \ \exists \ r \ . \ A \ s \ r \land B \ r \ t): VDM-proof
| VDMIff:
  [(G, c, A): VDM\text{-}proof; (G, d, B): VDM\text{-}proof]] \Longrightarrow
  (G, Iff b c d,
      \lambda \ s \ t \ . \ (((evalB \ b \ (fst \ s)) \longrightarrow A \ s \ t) \ \land
                            ((\neg (evalB\ b\ (fst\ s)))\longrightarrow B\ s\ t))):VDM-proof
| VDMWhile:
  [ (G,c,B): VDM\text{-}proof; ]
          \forall s : (\neg evalB \ b \ (fst \ s)) \longrightarrow A \ s \ s;
          \forall s \ r \ t. \ evalB \ b \ (fst \ s) \longrightarrow B \ s \ r \longrightarrow A \ r \ t \longrightarrow A \ s \ t \ ]
 \implies (G, While \ b \ c, \lambda \ s \ t \ . \ A \ s \ t \land \neg (evalB \ b \ (fst \ t))): VDM-proof
| VDMCall:
  (\{A\} \cup G, body, A): VDM\text{-}proof \Longrightarrow (G, Call, A): VDM\text{-}proof
\mid VDMAx: A \in G \Longrightarrow (G, Call, A): VDM-proof
| VDMConseq:
  \llbracket \ (G,\ c,A) \colon VDM\text{-}proof; \ \forall \ \ s\ t.\ A\ s\ t \longrightarrow B\ s\ t \rrbracket \Longrightarrow
  (G, c, B): VDM-proof
abbreviation VDM-deriv :: [Assn set, OBJ, Assn] \Rightarrow bool
                        ( \langle - \triangleright - : - \rangle \ [100, 100] \ 50 )
where G \triangleright c : A == (G,c,A) \in VDM-proof
      The while-rule is in fact inter-derivable with the following rule.
lemma Hoare-While:
 G \rhd c : (\lambda \ s \ t \ . \ \forall \ r \ . \ evalB \ b \ (fst \ s) \longrightarrow I \ s \ r \longrightarrow I \ t \ r) \Longrightarrow
   G \triangleright While \ b \ c : (\lambda \ s \ t. \ \forall \ r \ . \ I \ s \ r \longrightarrow (I \ t \ r \land \neg \ evalB \ b \ (fst \ t)))
\langle proof \rangle
      Here's the proof in the opposite direction.
{\bf lemma}\ VDMWhile\text{-}derivable\text{:}
   \llbracket G \rhd c : B; \forall s . (\neg evalB \ b \ (fst \ s)) \longrightarrow A \ s \ s;
      \forall s \ r \ t. \ evalB \ b \ (fst \ s) \longrightarrow B \ s \ r \longrightarrow A \ r \ t \longrightarrow A \ s \ t \ ]
  \implies G \rhd (While \ b \ c) : (\lambda \ s \ t \ . \ A \ s \ t \land \neg (evalB \ b \ (fst \ t)))
\langle proof \rangle
```

#### 6.2.3 Soundness

```
\langle proof \rangle
```

The following auxiliary lemma for loops is proven by induction on n.

```
\mathbf{lemma}\ SoundWhile[rule-format]:
```

```
(\forall n. \ G \models_n c : B) \\ \longrightarrow (\forall s. \ (\neg \ evalB \ b \ (fst \ s)) \longrightarrow A \ s \ s) \\ \longrightarrow (\forall s. \ evalB \ b \ (fst \ s)) \\ \longrightarrow (\forall r. \ B \ s \ r \longrightarrow (\forall t. \ A \ r \ t \longrightarrow A \ s \ t))) \\ \longrightarrow G \models_n (While \ b \ c) : (\lambda s \ t. \ A \ s \ t \land \neg \ evalB \ b \ (fst \ t)) \langle proof \rangle
\mathbf{lemma} \ SoundCall[rule-format]: \\ \llbracket \forall n. \models_n (\{A\} \cup G) \longrightarrow \models_n \ body : A \rrbracket \Longrightarrow \models_n G \longrightarrow \models_n \ Call : A \langle proof \rangle
\mathbf{lemma} \ VDM\text{-}Sound-n: G \rhd c: A \Longrightarrow (\forall n. \ G \models_n c: A) \langle proof \rangle
```

A simple corollary is soundness w.r.t. an empty context.

**lemma** VDM-Sound-emptyCtxt: $\{\} \rhd c : A \Longrightarrow \models c : A \langle proof \rangle$ 

**theorem** VDM-Sound:  $G \triangleright c$ :  $A \Longrightarrow G \models c$ : $A \langle proof \rangle$ 

## 6.2.4 Derived rules

```
\begin{array}{l} \textbf{lemma} \ \textit{WEAK}[\textit{rule-format}] \colon \\ \textit{G} \vartriangleright c : \textit{A} \Longrightarrow (\forall \ \textit{H} \ . \ \textit{G} \subseteq \textit{H} \longrightarrow \textit{H} \vartriangleright c : \textit{A}) \langle \textit{proof} \rangle \\ \textbf{lemma} \ \textit{CutAux} \colon \\ \textit{(H} \vartriangleright c : \textit{A)} \Longrightarrow \\ (\forall \ \textit{GPD} \ . \ . \ (\textit{H} = (\textit{insert} \ \textit{PD}) \longrightarrow \textit{G} \vartriangleright \textit{Call} : \textit{P} \longrightarrow (\textit{G} \subseteq \textit{D}) \\ \longrightarrow \textit{D} \vartriangleright c : \textit{A})) \langle \textit{proof} \rangle \\ \textbf{lemma} \ \textit{Cut:} \llbracket \ \textit{G} \vartriangleright \textit{Call} : \textit{P} \ ; \ (\textit{insert} \ \textit{P} \ \textit{G}) \vartriangleright c : \textit{A} \ \rrbracket \Longrightarrow \textit{G} \vartriangleright c : \textit{A} \langle \textit{proof} \rangle \\ \textbf{definition} \ \textit{verified::} \textit{Assn} \ \textit{set} \Longrightarrow \textit{bool} \\ \textbf{where} \ \textit{verified} \ \textit{G} = (\forall \ \textit{A} \ . \ \textit{A:} \textit{G} \longrightarrow \textit{G} \vartriangleright \textit{body} : \textit{A}) \\ \textbf{lemma} \ \textit{verified-preserved:} \ \llbracket \textit{verified} \ \textit{G} ; \ \textit{A:} \textit{G} \rrbracket \Longrightarrow \textit{verified} \ (\textit{G} - \{\textit{A}\}) \langle \textit{proof} \rangle \langle \textit{proof} \rangle \langle \textit{proof} \rangle \rangle \\ \end{pmatrix}
```

**theorem** Mutrec:  $\llbracket \text{ finite } G; \text{ card } G = n; \text{ verified } G; A : G \rrbracket \Longrightarrow \{\} \rhd \text{ Call:} A \langle \text{proof} \rangle$ 

### 6.2.5 Completeness

```
definition SSpec::OBJ \Rightarrow Assn

where SSpec \ c \ s \ t = (s,c \Downarrow t)

lemma SSpec\text{-}valid: \models c: (SSpec \ c) \langle proof \rangle

lemma SSpec\text{-}strong: \models c: A \implies \forall \ s \ t \ . \ SSpec \ c \ s \ t \longrightarrow A \ s \ t \langle proof \rangle

lemma SSpec\text{-}derivable: G \rhd Call: SSpec \ Call \implies G \rhd c: SSpec \ c \langle proof \rangle

definition StrongG: Assn \ set

where StrongG = \{SSpec \ Call\}

lemma StrongG\text{-}Body: \ StrongG \rhd body: \ SSpec \ Call \langle proof \rangle

lemma StrongG\text{-}verified: \ verified \ StrongG \langle proof \rangle

lemma SSpec\text{-}derivable\text{-}empty: \{\} \rhd c: \ SSpec \ c \langle proof \rangle \langle proof \rangle \langle proof \rangle \rangle

theorem VDM\text{-}Complete: \models c: A \implies \{\} \rhd c: \ A \langle proof \rangle \langle proof \rangle \langle proof \rangle \rangle
```

## 6.3 Partial bijections

Partial bijections between locations will be used in the next section to define indistinguishability of objects and heaps. We define such bijections as sets of pairs which satisfy the obvious condition.

```
type-synonym PBij = (Location \times Location) set definition Pbij :: PBij set where Pbij = \{ \beta : \forall l1 l2 l3 l4 : (l1,l2): \beta \longrightarrow (l3,l4): \beta \longrightarrow ((l1 = l3) = (l2 = l4)) \}
```

Domain and codomain are defined as expected.

```
definition Pbij\text{-}Dom::PBij \Rightarrow (Location \ set)
where Pbij\text{-}Dom \ \beta = \{l \ . \ \exists \ ll \ .(l,ll):\beta\}
definition Pbij\text{-}Rng::PBij \Rightarrow (Location \ set)
where Pbij\text{-}Rng \ \beta = \{ll \ . \ \exists \ l \ .(l,ll):\beta\}
```

We also define the inverse operation, the composition, and a test deciding when one bijection extends another.

```
definition Pbij-inverse::PBij \Rightarrow PBij
where Pbij-inverse \beta = \{(l,ll) : (ll,l):\beta\} \langle proof \rangle \langle proof \rangle
definition Pbij-compose::PBij \Rightarrow PBij \Rightarrow PBij
where Pbij-compose \beta \gamma = \{(l,ll) : \exists l1 : (l,l1):\beta \land (l1,ll):\gamma\} \langle proof \rangle \langle proof \rangle
definition Pbij-extends ::PBij \Rightarrow PBij \Rightarrow bool
where Pbij-extends \gamma \beta = (\beta \subseteq \gamma)
```

These definitions satisfy the following properties.

```
lemma Pbij-insert:
  [\beta \in Pbij; l \notin Pbij-Rnq \beta; ll \notin Pbij-Dom \beta]
  \implies insert (ll, l) \beta \in Pbij\langle proof \rangle
lemma Pbij-injective:
  \beta:Pbij \Longrightarrow (\forall l \ l1 \ l2 \ . \ (l1,l):\beta \longrightarrow (l2,l):\beta \longrightarrow l1=l2)\langle proof \rangle
lemma Pbij-inverse-DomRng[rule-format]:
  \gamma = Pbij\text{-}inverse \ \beta \Longrightarrow
   (Pbij-Dom \ \beta = Pbij-Rng \ \gamma \land Pbij-Dom \ \gamma = Pbij-Rng \ \beta)\langle proof \rangle
lemma Pbij-inverse-Dom: Pbij-Dom \beta = Pbij-Rng (Pbij-inverse \beta)\langle proof \rangle
lemma Pbij-inverse-Rng: Pbij-Dom (Pbij-inverse \beta) = Pbij-Rng \beta(proof)
lemma Pbij-inverse-Pbij: \beta:Pbij \Longrightarrow (Pbij-inverse \beta): Pbij\langle proof \rangle
lemma Pbij-inverse-Inverse[rule-format]:
  \gamma = Pbij\text{-}inverse \ \beta \Longrightarrow (\forall \ l \ ll \ . ((l,ll):\beta) = ((ll,l):\gamma))\langle proof \rangle
lemma Pbij-compose-Dom:
  Pbij-Dom\ (Pbij-compose\ \beta\ \gamma)\subseteq Pbij-Dom\ \beta\langle proof\rangle
lemma Pbij-compose-Rnq:
  Pbij-Rng (Pbij-compose \beta \gamma) \subseteq Pbij-Rng \gamma \langle proof \rangle
lemma Pbij-compose-Pbij:
```

```
 \begin{split} & [\beta:Pbij;\gamma:Pbij] \Longrightarrow Pbij\text{-}compose \ \beta \ \gamma:Pbij\langle proof \rangle \\ & \textbf{lemma} \ Pbij\text{-}extends\text{-}inverse:} \\ & Pbij\text{-}extends \ \gamma \ (Pbij\text{-}inverse \ \beta) = Pbij\text{-}extends \ (Pbij\text{-}inverse \ \gamma) \ \beta \langle proof \rangle \\ & \textbf{lemma} \ Pbij\text{-}extends\text{-}reflexive:Pbij\text{-}extends \ \beta \ \beta \langle proof \rangle \\ & \textbf{lemma} \ Pbij\text{-}extends\text{-}transitive:} \\ & [Pbij\text{-}extends \ \beta \ \gamma; \ Pbij\text{-}extends \ \gamma \ \delta]] \Longrightarrow Pbij\text{-}extends \ \beta \ \delta \langle proof \rangle \langle proof \rangle \\ & \textbf{lemma} \ Pbij\text{-}inverse\text{-}extends\text{-}twice:} \\ & Pbij\text{-}extends \ (Pbij\text{-}inverse \ (Pbij\text{-}inverse \ \beta)) \ \beta \langle proof \rangle \end{aligned}
```

The identity bijection on a heap associates each element of the heap's domain with itself.

```
definition mkId::Heap \Rightarrow (Location \times Location) set where mkId \ h = \{(l1, l2) \ . \ l1 = l2 \land l1 : Dom \ h\}

lemma mkId1: (mkId \ h):Pbij\langle proof \rangle
lemma mkId2: Pbij-Dom \ (mkId \ h) = Dom \ h\langle proof \rangle
lemma mkId2b: Pbij-Rng \ (mkId \ h) = Dom \ h\langle proof \rangle
lemma mkId2b: Pbij-Rng \ (mkId \ h) = Dom \ h\langle proof \rangle
lemma mkId2b: (l,ll):(mkId \ h) \Rightarrow (l,l):(mkId \ h) \langle proof \rangle
End of theory PBIJ
end
```

theory VS-OBJ imports VDM-OBJ PBIJ begin

# 6.4 Non-interference

### 6.4.1 Indistinguishability relations

We have the usual two security types.

```
datatype TP = low \mid high
```

Global contexts assigns security types to program variables and field names.

```
consts CONTEXT :: Var \Rightarrow TP
consts GAMMA :: Field \Rightarrow TP
```

Indistinguishability of values depends on a partial bijection  $\beta$ .

```
\mathbf{inductive\text{-}set} \ \mathit{twiddleVal}\text{::}(\mathit{PBij} \times \mathit{Val} \times \mathit{Val}) \ \mathit{set} \mathbf{where}
```

```
twiddleVal-Null: (\beta, RVal \ Nullref, RVal \ Nullref): twiddleVal
```

```
 \begin{array}{c} \mid twiddleVal\text{-}Loc: \ (l1,l2): \beta \Longrightarrow \\ \qquad \qquad (\beta, \ RVal \ (Loc \ l1), \ RVal \ (Loc \ l2)): twiddleVal \\ \mid twiddleVal\text{-}IVal: \ i1 = i2 \Longrightarrow (\beta, \ IVal \ i1, \ IVal \ i2): twiddleVal \end{array}
```

For stores, indistinguishability is as follows.

```
definition twiddleStore::PBij \Rightarrow Store \Rightarrow Store \Rightarrow bool

where twiddleStore \ \beta \ s1 \ s2 =

(\forall \ x. \ CONTEXT \ x = low \longrightarrow (\beta, \ s1 \ x, \ s2 \ x) : twiddleVal)

abbreviation twiddleStore\text{-syntax} \ (\leftarrow \approx \rightarrow [100,100] \ 50)

where s \approx_{\beta} t == twiddleStore \ \beta \ s \ t
```

On objects, we require the values in low fields to be related, and the sets of defined low fields to be equal.

```
definition LowDom::((Field \times Val) \ list) \Rightarrow Field \ set where LowDom \ F = \{f \ . \ \exists \ v \ . \ lookup \ F \ f = Some \ v \land GAMMA \ f = low\} definition twiddleObj::PBij \Rightarrow Object \Rightarrow Object \Rightarrow bool where twiddleObj \ \beta \ o1 \ o2 = ((fst \ o1 = fst \ o2) \land LowDom \ (snd \ o1) = LowDom \ (snd \ o2) \land (\forall \ fv \ w \ . \ lookup \ (snd \ o1) \ f = Some \ v \longrightarrow lookup \ (snd \ o2) \ f = Some \ w \longrightarrow GAMMA \ f = low \longrightarrow (\beta, \ v, \ w) : twiddleVal))
```

On heaps, we require locations related by  $\beta$  to contain indistinguishable objects. Domain and codomain of the bijection should be subsets of the domains of the heaps, of course.

```
definition twiddleHeap::PBij \Rightarrow Heap \Rightarrow Heap \Rightarrow bool

where twiddleHeap \beta \ h1 \ h2 = (\beta:Pbij \land Pbij-Dom \ \beta \subseteq Dom \ h1 \land Pbij-Rng \ \beta \subseteq Dom \ h2 \land (\forall \ l \ ll \ v \ w \ . \ (l,ll):\beta \longrightarrow lookup \ h1 \ l = Some \ v \longrightarrow lookup \ h2 \ ll = Some \ w \longrightarrow twiddleObj \ \beta \ v \ w))
```

We also define a predicate which expresses when a state does not contain dangling pointers.

```
definition noLowDPs::State \Rightarrow bool

where noLowDPs S = (case\ S\ of\ (s,h) \Rightarrow

((\forall\ x\ l\ .\ CONTEXT\ x = low \longrightarrow s\ x = RVal(Loc\ l) \longrightarrow l:Dom\ h) \land

(\forall\ ll\ c\ F\ f\ l\ .\ lookup\ h\ ll = Some(c,F) \longrightarrow GAMMA\ f = low \longrightarrow

lookup\ F\ f = Some(RVal(Loc\ l)) \longrightarrow l:Dom\ h)))
```

The motivation for introducing this notion stems from the intended interpretation of the proof rule for skip, where the initial and final states should be low equivalent. However, in the presence of dangling pointers, indistinguishability does not hold as such a dangling pointer is not in the expected bijection mkId. In contrast, for the notion of indistinguishability we use (see the following definition), reflexivity indeed holds, as proven in lemma twiddle-mkId below. As a small improvement in comparison to our paper, we now allow dangling pointers in high variables and high fields since these are harmless.

```
definition twiddle::PBij \Rightarrow State \Rightarrow State \Rightarrow bool
where twiddle \ \beta \ s \ t = (noLowDPs \ s \land noLowDPs \ t \land
                      (fst \ s) \approx_{\beta} (fst \ t) \land twiddleHeap \ \beta \ (snd \ s) \ (snd \ t))
abbreviation twiddle-syntax (\langle - \equiv - \rangle [100, 100] 50)
   where s \equiv_{\beta} t == twiddle \beta s t
      The following properties are easily proven by unfolding the definitions.
lemma twiddleHeap-isPbij:twiddleHeap \beta h hh \Longrightarrow \beta:Pbij\langle proof \rangle
lemma isPBij:s \equiv_{\beta} t \Longrightarrow \beta:Pbij\langle proof \rangle
\mathbf{lemma}\ \mathit{twiddleVal-inverse} \colon
  (\beta, w, v) \in twiddleVal \Longrightarrow (Pbij-inverse \beta, v, w) \in twiddleVal\langle proof \rangle
lemma twiddleStore-inverse: s \approx_{\beta} t \Longrightarrow t \approx_{\ell} Pbij\text{-inverse } \beta) \ s\langle proof \rangle
lemma twiddleHeap-inverse:
   twiddleHeap \ \beta \ s \ t \Longrightarrow twiddleHeap \ (Pbij-inverse \ \beta) \ t \ s\langle proof \rangle
lemma Pbij-inverse-twiddle: [s \equiv_{\beta} t] \implies t \equiv_{\ell} Pbij\text{-inverse }\beta) \ s\langle proof \rangle
lemma twiddleVal-betaExtend[rule-format]:
   (\beta, v, w): twiddle Val \Longrightarrow \forall \gamma. Pbij-extends \gamma \beta \longrightarrow (\gamma, v, w): twiddle Val \langle proof \rangle
lemma twiddleObj-betaExtend[rule-format]:
   \llbracket twiddleObj \ \beta \ o1 \ o2; \ Pbij-extends \ \gamma \ \beta \rrbracket \implies twiddleObj \ \gamma \ o1 \ o2\langle proof \rangle
lemma twiddleVal-compose:
   [(\beta, v, u) \in twiddleVal; (\gamma, u, w) \in twiddleVal]
    \implies (Pbij\text{-}compose \ \beta \ \gamma, \ v, \ w) \in twiddleVal\langle proof \rangle
lemma twiddleHeap-compose:
   \llbracket twiddleHeap \ \beta \ h1 \ h2; \ twiddleHeap \ \gamma \ h2 \ h3; \ \beta \in Pbij; \ \gamma \in Pbij \rrbracket
    \implies twiddleHeap (Pbij-compose \beta \gamma) h1 h3 \langle proof \rangle
{\bf lemma}\ twiddle Store\text{-}compose:
   \llbracket s \approx_{\beta} r; r \approx_{\gamma} t \rrbracket \Longrightarrow s \approx_{\ell} Pbij\text{-}compose \ \beta \ \gamma) \ t \langle proof \rangle
lemma twiddle-compose:
   \llbracket s \equiv_{\beta} r; r \equiv_{\gamma} t \rrbracket \Longrightarrow s \equiv_{\ell} Pbij\text{-}compose \ \beta \ \gamma) \ t\langle proof \rangle
lemma twiddle-mkId: noLowDPs (s,h) \Longrightarrow (s,h) \equiv_{\ell} mkId\ h)\ (s,h)\langle proof \rangle
```

We call expressions (semantically) low if the following predicate is satisfied. In particular, this means that if e evaluates in s (respectively, t) to some location l, then  $l \in Pbij\_dom(\beta)$  ( $l \in Pbij\_cod(\beta)$ ) holds.

```
definition Expr-low::Expr \Rightarrow bool where Expr-low e = (\forall s \ t \ \beta. \ s \approx_{\beta} t \longrightarrow (\beta, \ evalE \ e \ s, \ evalE \ e \ t):twiddleVal)
```

A similar notion is defined for boolean expressions, but the fact that these evaluate to (meta-logical) boolean values allows us to replace indistinguishability by equality.

```
definition BExpr-low::BExpr \Rightarrow bool where BExpr-low\ b = (\forall s \ t \ \beta \ . \ s \approx_{\beta} t \longrightarrow evalB \ b \ s = evalB \ b \ t)
```

# 6.4.2 Definition and characterisation of security

Now, the notion of security, as defined in the paper. Banerjee and Naumann's paper [1] and the Mobius Deliverable 2.3 [3] contain similar notions.

```
definition secure:: OBJ \Rightarrow bool

where secure c = (\forall s ss t tt \beta .

s \equiv_{\beta} ss \longrightarrow (s, c \Downarrow t) \longrightarrow (ss, c \Downarrow tt) \longrightarrow

(\exists \gamma . t \equiv_{\gamma} tt \land Pbij\text{-extends } \gamma \beta))

\langle proof \rangle \langle proof \rangle \langle proof \rangle \langle proof \rangle
```

The type of invariants  $\Phi$  includes a component that holds a partial bijection.

```
type-synonym TT = (State \times State \times PBij) \Rightarrow bool
```

The operator constructing an assertion from an invariant.

```
 \begin{array}{l} \textbf{definition} \ \textit{Sec} :: \ TT \Rightarrow \textit{Assn} \\ \textbf{where} \ \textit{Sec} \ \Phi \ \textit{s} \ t = \\ ((\forall \ r \ \beta. \ s \equiv_{\beta} r \longrightarrow \Phi(t,r,\beta)) \ \land \\ (\forall \ r \ \beta \ . \ \Phi \ (r,s,\beta) \longrightarrow (\exists \ \gamma \ . \ r \equiv_{\gamma} t \ \land \ \textit{Pbij-extends} \ \gamma \ \beta))) \end{array}
```

The lemmas proving that the operator ensures security, and that secure programs satisfy an assertion formed by the operator, are proven in a similar way as in Section 3.

```
lemma Prop1A:\models c: Sec \ \Phi \Longrightarrow secure \ c\langle proof \rangle
lemma Prop1B:
secure \ c \Longrightarrow \models c: Sec \ (\lambda \ (r, \ t, \ \beta). \ \exists \ s. \ s \ , \ c \Downarrow r \land s \equiv_{\beta} t) \langle proof \rangle
lemma Prop1BB: secure \ c \Longrightarrow \exists \ \Phi \ . \models c: Sec \ \Phi \langle proof \rangle
lemma Prop1:
secure \ c = (\models c: Sec \ (\lambda \ (r, \ t, \beta) \ . \ \exists \ s. \ (s \ , \ c \Downarrow r) \land s \equiv_{\beta} t)) \langle proof \rangle
```

# 6.5 Derivation of proof rules

# 6.5.1 Low proof rules

```
\langle proof \rangle
lemma SKIP: G \triangleright Skip : Sec (\lambda (s, t, \beta) . s \equiv_{\beta} t) \langle proof \rangle
lemma ASSIGN:
   Expr-low e
   \implies G \rhd Assign \ x \ e : Sec \ (\lambda \ (s, \ t, \ \beta) \ .
              \exists r . s = (update (fst r) x (evalE e (fst r)), snd r)
                      \wedge r \equiv_{\beta} t) \langle proof \rangle \langle proof \rangle
lemma COMP:
  \llbracket G \rhd c1 : Sec \Phi; G \rhd c2 : Sec \Psi \rrbracket
  \implies G \rhd (Comp\ c1\ c2) : Sec\ (\lambda\ (s,\ t,\ \beta)\ .
               \exists r : \Phi(r, t, \beta) \land (\forall w \gamma. r \equiv_{\gamma} w \longrightarrow \Psi(s, w, \gamma))) \langle proof \rangle \langle proof \rangle
lemma \mathit{IFF}:
   \llbracket BExpr-low \ b; \ G \rhd c1 : Sec \ \Phi; \ G \rhd c2 : Sec \ \Psi \rrbracket
 \implies G \rhd (Iff \ b \ c1 \ c2) : Sec \ (\lambda \ (s,t,\beta) \ .
                                   (evalB\ b\ (fst\ t)\longrightarrow \Phi(s,t,\beta))\ \land
                                   ((\neg evalB \ b \ (fst \ t)) \longrightarrow \Psi(s,t,\beta)))\langle proof \rangle \langle proof \rangle
lemma NEW:
   CONTEXT \ x = low
   \implies G \rhd (New \ x \ C) : Sec \ (\lambda \ (s,t,\beta) \ .
```

```
\exists l r . l \notin Dom (snd r) \land r \equiv_{\beta} t \land
                             s = (update (fst \ r) \ x (RVal (Loc \ l)),
                                       (l,(C,[])) \# (snd r)) \land proof \rangle
lemma GET:
  [CONTEXT \ y = low; \ GAMMA \ f = low]
  \implies G \rhd Get \ x \ y \ f : Sec \ (\lambda \ (s,t,\beta) \ .
                 \exists r \ l \ C \ Flds \ v. \ (fst \ r) \ y = RVal(Loc \ l) \ \land
                                    lookup (snd r) l = Some(C, Flds) \wedge
                                    lookup\ Flds\ f = Some\ v \wedge r \equiv_{\beta} t \wedge
                                    s = (update (fst \ r) \ x \ v, \ snd \ r)) \langle proof \rangle
lemma PUT:
  [CONTEXT \ x = low; \ GAMMA \ f = low; \ Expr-low \ e]
  \implies G \rhd Put \ x \ f \ e: \ Sec \ (\lambda \ (s,t,\beta) \ .
             lookup\ (snd\ r)\ l = Some(C, Flds) \land
                             s = (fst \ r,
                                   (l,(C,(f,evalE\ e\ (fst\ r))\ \#\ Flds))\ \#\ (snd\ r)))\langle proof\rangle
     Again, we define a fixed point operator over invariants.
definition FIX::(TT \Rightarrow TT) \Rightarrow TT
where FIX \varphi = (\lambda (s,t,\beta).
      \forall \ \Phi \ . \ (\forall \ \mathit{ss} \ \mathit{tt} \ \gamma. \ \varphi \ \Phi \ (\mathit{ss}, \ \mathit{tt}, \gamma) \longrightarrow \Phi \ (\mathit{ss}, \ \mathit{tt}, \gamma)) \longrightarrow \Phi \ (\mathit{s}, \ \mathit{t}, \beta))
definition Monotone::(TT \Rightarrow TT) \Rightarrow bool
where Monotone \varphi =
   (\forall \Phi \Psi . (\forall s t \beta. \Phi(s,t,\beta) \longrightarrow \Psi(s,t,\beta)) \longrightarrow
                 (\forall s \ t \ \beta. \ \varphi \ \Phi \ (s,t,\beta) \longrightarrow \varphi \ \Psi \ (s,t,\beta)))
\langle proof \rangle \langle proof \rangle
     For monotone transformers, the construction indeed yields a fixed point.
lemma Fix-lemma: Monotone \varphi \Longrightarrow \varphi (FIX \varphi) = FIX \varphi(proof)
     The operator used in the while rule is defined by
definition PhiWhileOp::BExpr \Rightarrow TT \Rightarrow TT
where PhiWhileOp\ b\ \Phi = (\lambda\ \Psi\ .\ (\lambda\ (s,\ t,\ \beta).
  (evalB\ b\ (fst\ t) \longrightarrow
       (\exists \ r.\ \Phi\ (r,\ t,\ \beta)\ \land\ (\forall\ w\ \gamma.\ r\equiv_{\gamma} w\longrightarrow \Psi(s,\ w,\ \gamma))))\ \land
  (\neg evalB \ b \ (fst \ t) \longrightarrow s \equiv_{\beta} t)))
     and is monotone:
lemma PhiWhileOp-Monotone: Monotone (PhiWhileOp b <math>\Phi)\langle proof \rangle
     Hence, we can define its fixed point:
definition PhiWhile::BExpr \Rightarrow TT \Rightarrow TT
where PhiWhile\ b\ \Phi = FIX\ (PhiWhileOp\ b\ \Phi)
     The while rule may now be given as follows:
lemma WHILE:
  \llbracket BExpr-low \ b; \ G \rhd c : (Sec \ \Phi) \rrbracket
```

```
\implies G \rhd (While \ b \ c) : (Sec \ (PhiWhile \ b \ \Phi)) \langle proof \rangle
```

Operator *PhiWhile* is itself monotone in  $\Phi$ :

```
lemma PhiWhileMonotone: Monotone (\lambda \Phi . PhiWhile b \Phi) \langle proof \rangle
```

We now give an alternative formulation using an inductive relation equivalent to FIX. First, the definition of the variant.

```
inductive-set var::(BExpr \times TT \times PBij \times State \times State) set where varFalse: \llbracket \neg \ evalB \ b \ (fst \ t); \ s \equiv_{\beta} t \rrbracket \implies (b,\Phi,\beta,s,t):var \mid varTrue: \llbracket \ evalB \ b \ (fst \ t); \ \Phi(r,t,\beta); \ \forall \ w \ \gamma. \ r \equiv_{\gamma} w \longrightarrow (b,\Phi,\gamma,s,w): var \rrbracket \implies (b,\Phi,\beta,s,t):var
```

The equivalence of the invariant with the fixed point construction.

```
\langle proof \rangle \langle proof \rangle
```

```
lemma varFIXvar: (PhiWhile\ b\ \Phi\ (s,t,\beta)) = ((b,\Phi,\beta,s,t):var)\langle proof\rangle\langle proof\rangle\langle proof\rangle
```

Using this equivalence we can derive the while rule given in our paper from WHILE.

```
lemma WHILE-IND:
```

```
 \llbracket BExpr-low \ b; \ G \rhd c : (Sec \ \Phi) \rrbracket  \Longrightarrow G \rhd While \ b \ c: (Sec \ (\lambda(s,t,\gamma). \ (b,\Phi,\gamma,s,t):var)) \langle proof \rangle
```

We can also show the following property.

 $\langle proof \rangle$ 

```
lemma var-Monotone:
```

```
Monotone (\lambda \Phi . (\lambda (s,t,\beta) .(b,\Phi,\beta,s,t):var))\langle proof \rangle \langle proof \rangle
```

The call rule is formulated for an arbitrary fixed point of a monotone transformer.

```
lemma CALL:
```

# 6.5.2 High proof rules

```
definition HighSec::Assn where HighSec = (\lambda \ s \ t \ . \ noLowDPs \ s \longrightarrow s \equiv_{\ell} mkId \ (snd \ s)) \ t) lemma CAST[rule-format]: G \rhd c : HighSec \Longrightarrow G \rhd c : Sec \ (\lambda \ (s, \ t, \ \beta) \ . \ s \equiv_{\beta} t) \langle proof \rangle lemma SkipHigh: G \rhd Skip: HighSec \langle proof \rangle
```

We define a predicate expressing when locations obtained by evaluating an expression are non-dangling.

```
definition Expr-good::Expr \Rightarrow State \Rightarrow bool
```

```
where Expr-good e s =
  (\forall \ l \ . \ evalE \ e \ (\mathit{fst} \ s) = \mathit{RVal}(\mathit{Loc} \ l) \longrightarrow l : \mathit{Dom} \ (\mathit{snd} \ s))
lemma AssignHigh:
  \llbracket CONTEXT \ x = high; \ \forall \ s \ . \ noLowDPs \ s \longrightarrow Expr-good \ e \ s 
rbracket
  \implies G \rhd Assign \ x \ e: HighSec\langle proof \rangle
lemma NewHigh:
  CONTEXT \ x = high \Longrightarrow G \rhd New \ x \ C : HighSec\langle proof \rangle
lemma GetHigh:
\llbracket CONTEXT \ x = high \ \rrbracket \implies G \rhd Get \ x \ y \ f : HighSec\langle proof \rangle
lemma PutHigh:
\llbracket GAMMA \ f = high; \ \forall \ s \ . \ noLowDPs \ s \longrightarrow Expr-good \ e \ s \rrbracket
  \implies G \rhd Put \ x \ f \ e: HighSec\langle proof \rangle \langle proof \rangle \langle proof \rangle \langle proof \rangle
lemma CompHigh:
 \llbracket G \rhd c: HighSec; G \rhd d: HighSec \rrbracket \Longrightarrow G \rhd Comp \ c \ d: HighSec \langle proof \rangle
lemma IfHigh:
 \llbracket G \rhd c: HighSec; G \rhd d: HighSec \rrbracket \Longrightarrow G \rhd Iff b c d: HighSec \langle proof \rangle
lemma While High: [G \triangleright c: HighSec] \implies G \triangleright While b c: HighSec(proof)
lemma CallHigh:
  (\{HighSec\} \cup G) \triangleright body : HighSec \Longrightarrow G \triangleright Call : HighSec \langle proof \rangle
      We combine all rules to an inductive derivation system.
inductive-set Deriv::(Assn\ set \times\ OBJ \times\ Assn)\ set
where
D-CAST:
  (G, c, HighSec):Deriv \Longrightarrow
  (G, c, Sec (\lambda (s, t, \beta). s \equiv_{\beta} t)):Deriv
| D-SKIP: (G, Skip, Sec (\lambda (s, t, \beta) . s \equiv_{\beta} t)) : Deriv
\mid D-ASSIGN:
  Expr-low \ e \Longrightarrow
  (G, Assign \ x \ e, Sec \ (\lambda \ (s, \ t, \ \beta)).
                     \exists r . s = (update (fst r) x (evalE e (fst r)), snd r)
                            \wedge r \equiv_{\beta} t):Deriv
\mid D\text{-}COMP:
  \llbracket (G, c1, Sec \Phi): Deriv; (G, c2, Sec \Psi): Deriv \rrbracket \Longrightarrow
  (G, Comp \ c1 \ c2, Sec \ (\lambda \ (s, t, \beta)).
                  \exists r . \Phi(r, t, \beta) \land
                          (\forall w \gamma. r \equiv_{\gamma} w \longrightarrow \Psi(s, w, \gamma))):Deriv
| D-IFF:
 \llbracket BExpr-low\ b;\ (G,\ c1,\ Sec\ \Phi):Deriv;\ (G,\ c2,\ Sec\ \Psi):Deriv \rrbracket \Longrightarrow
 (G, Iff b c1 c2, Sec (\lambda (s,t,\beta)).
                             (evalB\ b\ (fst\ t)\longrightarrow \Phi(s,t,\beta))\ \land
                             ((\neg evalB \ b \ (fst \ t)) \longrightarrow \Psi(s,t,\beta))):Deriv
\mid D\text{-}NEW:
```

```
CONTEXT \ x = low \Longrightarrow
  (G, New \ x \ C, Sec \ (\lambda \ (s,t,\beta) \ .
                \exists l r . l \notin Dom (snd r) \land r \equiv_{\beta} t \land
                         s = (update (fst r) x (RVal (Loc l)),
                                  (l,(C,[])) \# (snd r))):Deriv
\mid D\text{-}GET:
  [\![ CONTEXT \ y = low; \ GAMMA \ f = low]\!] \Longrightarrow
  (G, Get \ x \ y \ f, Sec \ (\lambda \ (s,t,\beta) \ .
                \exists r \ l \ C \ Flds \ v. \ (fst \ r) \ y = RVal(Loc \ l) \ \land
                               lookup\ (snd\ r)\ l = Some(C, Flds)\ \land
                               lookup\ Flds\ f = Some\ v \wedge r \equiv_{\beta} t \wedge
                               s = (update (fst r) x v, snd r))):Deriv
\mid D\text{-}PUT:
  \llbracket CONTEXT \ x = low; \ GAMMA \ f = low; \ Expr-low \ e \rrbracket \Longrightarrow
  (G, Put \ x \ f \ e, Sec \ (\lambda \ (s,t,\beta)).
         lookup (snd r) l = Some(C,F) \land
                         h = (l, (C, (f, evalE\ e\ (fst\ r))\ \#\ F))\ \#\ (snd\ r)\ \land
                         s = (fst \ r, \ h)):Deriv
\mid D\text{-}WHILE:
 \llbracket BExpr-low \ b; \ (G, \ c, \ Sec \ \Phi):Deriv \rrbracket
  \implies (G, While b c, Sec (PhiWhile b \Phi)):Deriv
\mid D\text{-}CALL:
 [(\{Sec\ (FIX\ \varphi)\} \cup G,\ body,\ Sec\ (\varphi\ (FIX\ \varphi))):Deriv;\ Monotone\ \varphi]]
  \implies (G, Call, Sec (FIX \varphi)):Deriv
| D\text{-}SKIP\text{-}H: (G, Skip, HighSec):Deriv
\mid D\text{-}ASSIGN\text{-}H:
 \llbracket CONTEXT \ x = high; \ \forall \ s \ . \ noLowDPs \ s \longrightarrow Expr-good \ e \ s 
rbracket
  \implies (G, Assign x e, HighSec):Deriv
| D\text{-}NEW\text{-}H: CONTEXT \ x = high \Longrightarrow (G, New \ x \ C, HighSec):Deriv
\mid D\text{-}GET\text{-}H: CONTEXT \ x = high \Longrightarrow (G, Get \ x \ y \ f, HighSec):Deriv
| D-PUT-H:
 \llbracket GAMMA \ f = high; \ \forall \ s \ . \ noLowDPs \ s \longrightarrow Expr-good \ e \ s \rrbracket
  \implies (G, Put x f e, HighSec):Deriv
| D-COMP-H:
 [ (G, c, HighSec):Deriv; (G, d, HighSec):Deriv ]
 \implies (G, Comp c d, HighSec):Deriv
| D-IFF-H:
```

By construction, all derivations represent legal derivations in the program logic. Here's an explicit lemma to this effect.

**lemma** Deriv-derivable: (G,c,A):Deriv  $\Longrightarrow G \triangleright c$ :  $A\langle proof \rangle$ 

# 6.6 Type system

We now give a type system in the style of Volpano et al. and then prove its embedding into the system of derived rules. First, type systems for expressions and boolean expressions. These are similar to the ones in Section 3 but require some side conditions regarding the (semantically modelled) operators.

```
definition opEGood::(Val \Rightarrow Val \Rightarrow Val) \Rightarrow bool
where opEGood f = (\forall \beta v v' w w', (\beta, v, v') \in twiddleVal \longrightarrow
        (\beta, w, w') \in twiddleVal \longrightarrow (\beta, f v w, f v' w') \in twiddleVal)
definition compBGood::(Val \Rightarrow Val \Rightarrow bool) \Rightarrow bool
where compBGood\ f = (\forall \beta v v' w w', (\beta, v, v') \in twiddleVal \longrightarrow
        (\beta, w, w') \in twiddleVal \longrightarrow f v w = f v' w'
inductive-set VS-expr:: (Expr \times TP) set
where
VS-exprVar: CONTEXT x = t \Longrightarrow (varE x, t) : VS-expr
  \llbracket v = RVal \ Nullref \lor (\exists i . v = IVal \ i) \rrbracket \implies (valE \ v, \ low) : VS-expr
VS-exprOp:
  \llbracket (e1,t): VS\text{-}expr; (e2,t): VS\text{-}expr; opEGood f 
rbracket
   \implies (opE f e1 e2,t) : VS-expr
VS-exprHigh: (e, high): VS-expr
inductive-set VS-Bexpr:: (BExpr \times TP) set
where
VS-BexprOp:
  \llbracket (e1,t): VS-expr; (e2,t): VS-expr; compBGood f 
rbracket
   \implies (compB \ f \ e1 \ e2,t): VS\text{-}Bexpr
VS-BexprHigh: (e,high): VS-Bexpr
```

Next, the core of the type system, the rules for commands. The second side conditions of rules VS-comAssH and VS-comPutH could be strengthened to  $\forall s.\ Epxr\_good\ e\ s.$ 

```
inductive-set VS-com:: (TP \times OBJ) set
where
VS-comGetL:
  [CONTEXT \ y = low; \ GAMMA \ f = low]
  \implies (low, Get x y f): VS-com
|VS\text{-}comGetH: CONTEXT \ x = high \implies (high, Get \ x \ y \ f): VS\text{-}com
\mid VS\text{-}comPutL:
  \llbracket CONTEXT \ x = low; \ GAMMA \ f = low; \ (e, low) : VS-expr \rrbracket
  \implies (low, Put \ x \ f \ e): VS-com
\mid VS\text{-}comPutH:
  \llbracket GAMMA \ f = high; \ \forall \ s \ . \ noLowDPs \ s \longrightarrow Expr-good \ e \ s \rrbracket
  \implies (high, Put x f e): VS-com
\mid VS\text{-}comNewL:
  CONTEXT \ x = low \Longrightarrow (low, New \ x \ c) : VS-com
VS-comNewH:
  CONTEXT \ x = high \Longrightarrow (high, New \ x \ C): VS-com
\mid VS\text{-}comAssL:
  [CONTEXT \ x = low; (e,low): VS-expr]
  \implies (low, Assign \ x \ e) : VS-com
\mid VS\text{-}comAssH:
  [CONTEXT \ x = high; \ \forall \ s \ . \ noLowDPs \ s \longrightarrow Expr-good \ e \ s]
  \implies (high, Assign \ x \ e) : VS\text{-}com
VS-comSkip: (pc,Skip): VS-com
\mid VS\text{-}comComp:
  \llbracket (pc,c): VS\text{-}com; (pc,d): VS\text{-}com \rrbracket \implies (pc,Comp\ c\ d): VS\text{-}com
  [(b,pc): VS\text{-}Bexpr; (pc,c): VS\text{-}com; (pc,d): VS\text{-}com]
  \implies (pc, Iff \ b \ c \ d): VS-com
\mid VS\text{-}com\,While:
  \llbracket (b,pc): VS\text{-}Bexpr; (pc,c): VS\text{-}com \rrbracket \implies (pc,While \ b \ c): VS\text{-}com
VS-comSub: (high,c): VS-com \Longrightarrow (low,c): VS-com
```

In order to prove the type system sound, we first define the interpretation of expression typings...

```
primrec SemExpr::Expr \Rightarrow TP \Rightarrow bool
where
SemExpr\ e\ low = Expr-low\ e\ |
SemExpr\ e\ high = True
... and show the soundness of the typing rules.

lemma ExprSound:\ (e,tp):VS-expr \Longrightarrow SemExpr\ e\ tp\langle proof\rangle
Likewise for the boolean expressions.

primrec SemBExpr::BExpr \Rightarrow TP \Rightarrow bool
where
SemBExpr\ b\ low = BExpr-low\ b\ |
SemBExpr\ b\ high = True

lemma BExprSound:\ (e,tp):VS-Bexpr \Longrightarrow SemBExpr\ e\ tp\langle proof\rangle
```

Using these auxiliary lemmas we can prove the embedding of the type system for commands into the system of derived proof rules, by induction on the typing rules.

```
theorem VS\text{-}com\text{-}Deriv[rule\text{-}format]: (t,c):VS\text{-}com \Longrightarrow (t=high \longrightarrow (G, c, HighSec):Deriv) \land (t=low \longrightarrow (\exists \Phi . (G, c, Sec \Phi):Deriv)) \langle proof \rangle
```

Combining this result with the derivability of the derived proof system and the soundness theorem of the program logic yields non-interference of programs that are low typeable.

```
theorem VS-SOUND: (low,c): VS-com \Longrightarrow secure c\langle proof \rangle
End of theory VS-OBJ
end
```

theory ContextOBJ imports VS-OBJ begin

#### 6.7 Contextual closure

We first define contexts with multiple holes.

```
datatype CtxtProg =
Ctxt-Here
| Ctxt-Skip
| Ctxt-Assign Var Expr
| Ctxt-New Var Class
| Ctxt-Get Var Var Field
| Ctxt-Put Var Field Expr
| Ctxt-Comp CtxtProg CtxtProg
| Ctxt-If BExpr CtxtProg CtxtProg
| Ctxt-While BExpr CtxtProg
| Ctxt-Call
```

The definition of a procedure body with holes.

```
consts Ctxt-Body::CtxtProg
```

Next, the substitution of a command into a context:

```
primrec Fill::CtxtProg ⇒ OBJ ⇒ OBJ where

Fill Ctxt-Here J = J \mid
Fill Ctxt-Skip J = Skip \mid
Fill (Ctxt-Assign x e) J = Assign x e \mid
Fill (Ctxt-New x c) J = New x c \mid
Fill (Ctxt-Get x y f) J = Get x y f \mid
Fill (Ctxt-Put x f e) J = Put x f e \mid
Fill (Ctxt-Comp C D) J = Comp (Fill C J) (Fill D J) \mid
Fill (Ctxt-If b C D) J = Iff b (Fill C J) (Fill D J) \mid
Fill (Ctxt-While b C) J = While b (Fill C J) \mid
Fill Ctxt-Call J = Call
```

The variables mentioned by an expression:

```
primrec EVars::Expr \Rightarrow Var\ set

where

EVars\ (varE\ x) = \{x\} \mid

EVars\ (valE\ v) = \{\} \mid

EVars\ (opE\ f\ e1\ e2) = EVars\ e1\ \cup\ EVars\ e2

primrec BVars::BExpr \Rightarrow Var\ set

where

BVars\ (compB\ f\ e1\ e2) = EVars\ e1\ \cup\ EVars\ e2
```

The variables possibly read from during the evaluation of I are denoted by  $Vars\ I$ .

```
primrec Vars::OBJ \Rightarrow Var\ set where Vars\ Skip = \{\} \mid Vars\ (Assign\ x\ e) = EVars\ e \mid Vars\ (New\ x\ C) = \{\} \mid Vars\ (Get\ x\ y\ f) = \{y\} \mid Vars\ (Put\ x\ f\ e) = EVars\ e \mid Vars\ (Put\ x\ f\ e) = EVars\ e \mid Vars\ (Comp\ I\ J) = Vars\ I\ \cup Vars\ J\ \mid Vars\ (While\ b\ I) = BVars\ b\ \cup Vars\ I\ \cup Vars\ J\ \mid Vars\ (Iff\ b\ I\ J) = BVars\ b\ \cup Vars\ I\ \cup Vars\ J\ \mid Vars\ Call\ = \{\} \\ \langle proof \rangle \langle proof \rangle \langle proof \rangle \langle proof \rangle
```

An abbreviating definition saying when a value is not a constant location.

```
definition ValIsNoLoc :: Val => bool where ValIsNoLoc v = (v = RVal \ Nullref \lor (\exists i . v = IVal \ i))
```

Expressions satisfying the following predicate are guaranteed not to return a state-independent location.

```
primrec Expr-noLoc::Expr \Rightarrow bool
where
Expr-noLoc (varE x) = True \mid
Expr-noLoc (valE v) = ValIsNoLoc v
Expr-noLoc (opE f e1 e2) =
   (Expr-noLoc\ e1\ \land\ Expr-noLoc\ e2\ \land\ opEGood\ f)
primrec BExpr-noLoc::BExpr \Rightarrow bool
where
BExpr-noLoc (compB f e1 e2) =
   (Expr-noLoc\ e1\ \land\ Expr-noLoc\ e2\ \land\ compBGood\ f)
     By induction on e one may show the following three properties.
lemma Expr-lemma1 [rule-format]:
  Expr-noLoc\ e \longrightarrow EVars\ e \subseteq X
   (\forall x. \ x \in X \longrightarrow CONTEXT \ x = low) \longrightarrow Expr-low \ e\langle proof \rangle
lemma Expr-lemma2[rule-format]:
  noLowDPs (s, h) \longrightarrow
       EVars\ e\subseteq X\longrightarrow Expr-noLoc\ e\longrightarrow
       (\forall x. \ x \in X \longrightarrow CONTEXT \ x = low) \longrightarrow
       s \approx_{\beta} t \longrightarrow twiddleHeap \beta h k \longrightarrow
       noLowDPs\ (\lambda y.\ if\ x=y\ then\ evalE\ e\ s\ else\ s\ y,\ h)\langle proof\rangle
lemma Expr-lemma3[rule-format]:
 noLowDPs\ (s,h) \longrightarrow EVars\ e \subseteq X \longrightarrow Expr-noLoc\ e \longrightarrow
   lookup \ h \ l = Some \ (C, Flds) \longrightarrow
   (\forall x. \ x \in X \longrightarrow CONTEXT \ x = low) \longrightarrow
   s \approx_{\beta} t \longrightarrow twiddleHeap \beta h k \longrightarrow
   noLowDPs (s, (l, C, (f, evalE\ e\ s) \# Flds) \# h)\langle proof \rangle
     The first of these can be lifted to boolean expressions.
lemma BExpr-lemma:
\llbracket BVars\ b\subseteq X; \forall\ x.\ x\in X\longrightarrow CONTEXT\ x=low;\ BExpr-noLoc\ b
rbracket \Longrightarrow BExpr-low
```

For contexts, we define when a set X of variables is an upper bound for the variables read from. In addition, the noLoc condition is imposed on expressions occurring in assignments and field modifications in order to express that if these expressions evaluate to locations then these must stem from lookup operations in the state.

 $b\langle proof \rangle$ 

```
CtxtVars\ X\ (Ctxt-If\ b\ C\ D) = \\ (BVars\ b\subseteq X\ \land\ CtxtVars\ X\ C\ \land\ CtxtVars\ X\ D\ \land\ BExpr-noLoc\ b)\ | \\ CtxtVars\ X\ (Ctxt-While\ b\ C) = \\ (BVars\ b\subseteq X\ \land\ CtxtVars\ X\ C\ \land\ BExpr-noLoc\ b)\ | \\ CtxtVars\ X\ Ctxt-Call =\ True
```

A context is "obviously" low if all accessed variables are (contained in a set X whose members are) low.

```
definition LOW:: Var\ set \Rightarrow CtxtProg \Rightarrow bool where LOW\ X\ C = (CtxtVars\ X\ C\ \land\ (\forall\ x\ .\ x: X \longrightarrow CONTEXT\ x = low)) \langle proof \rangle
```

Finally, we obtain the following result by induction on an upper bound on the derivation heights of the two executions of  $Fill\ C\ I$ .

```
\textbf{theorem} \ \textit{secureI-secureFillI} \colon
```

For a variable

 ${f consts}\ \mathit{res}{::}\mathit{Var}$ 

representing the output of the attacking context, the result specialises to

```
theorem SecureForAttackingContext:
```

```
[ secure I; LOW X C; LOW X Ctxt-Body; s \equiv_{\beta} ss; s,(Fill C I)\Downarrowt; ss,(Fill C I)\Downarrowt; body = Fill Ctxt-Body I; CONTEXT res = low] \Rightarrow \exists \gamma . (\gamma,(fst\ t)\ res,(fst\ tt)\ res):twiddleVal \wedge Pbij-extends <math>\gamma \ \beta \langle proof \rangle
```

End of theory ContextObj

end

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