# The Incompatibility of SD-Efficiency and SD-Strategy-Proofness

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#### Abstract

This formalisation contains the proof that there is no anonymous and neutral Social Decision Scheme for at least four voters and alternatives that fulfils both SD-Efficiency and SD-Strategy-Proofness. The proof is a fully structured and quasi-human-redable one. It was derived from the (unstructured) SMT proof of the case for exactly four voters and alternatives by Brandl  $et\ al.\ [1]$ .

Their proof relies on an unverified translation of the original problem to SMT, and the proof that lifts the argument for exactly four voters and alternatives to the general case is also not machine-checked.

In this Isabelle proof, on the other hand, all of these steps are also fully proven and machine-checked. This is particularly important seeing as a previously published informal proof of a weaker statement contained a mistake in precisely this lifting step. [2]

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#### Incompatibility of SD-Efficiency and SD-Strategy-1 **Proofness**

```
theory SDS-Impossibility
imports
  Randomised	ext{-}Social	ext{-}Choice.SDS	ext{-}Automation
  Randomised	ext{-}Social	ext{-}Choice. Randomised	ext{-}Social	ext{-}Choice
begin
```

## 1.1

```
Preliminary Definitions
locale sds-impossibility =
  anonymous-sds agents alts sds +
  neutral-sds agents alts sds +
  sd-efficient-sds agents alts sds +
  strategyproof-sds agents alts sds
 for agents :: 'agent set and alts :: 'alt set and sds +
 assumes agents-ge-4: card agents <math>\geq 4
     and alts-ge-4: card alts \ge 4
{\bf locale}\ sds\text{-}impossibility\text{-}4\text{-}4\ =\ sds\text{-}impossibility\ agents\ alts\ sds
  for agents :: 'agent set and alts :: 'alt set and sds +
 fixes A1 A2 A3 A4 :: 'agent and a b c d :: 'alt
 assumes distinct-agents: distinct [A1, A2, A3, A4]
     and distinct-alts: distinct [a, b, c, d]
     and agents: agents = \{A1, A2, A3, A4\}
     and alts: alts = \{a, b, c, d\}
begin
lemma an-sds: an-sds agents alts sds by unfold-locales
lemma ex-post-efficient-sds: ex-post-efficient-sds agents alts sds by unfold-locales
lemma sd-efficient-sds: sd-efficient-sds agents alts sds by unfold-locales
lemma strategyproof-an-sds: strategyproof-an-sds agents alts sds by unfold-locales
lemma distinct-agents' [simp]:
  A1 \neq A2 \ A1 \neq A3 \ A1 \neq A4 \ A2 \neq A1 \ A2 \neq A3 \ A2 \neq A4
 A3 \neq A1 \ A3 \neq A2 \ A3 \neq A4 \ A4 \neq A1 \ A4 \neq A2 \ A4 \neq A3
 using distinct-agents by auto
lemma distinct-alts' [simp]:
  a \neq b \ a \neq c \ a \neq d \ b \neq a \ b \neq c \ b \neq d
  c \neq a c \neq b c \neq d d \neq a d \neq b d \neq c
  using distinct-alts by auto
lemma card-agents [simp]: card agents = 4 and card-alts [simp]: card alts = 4
 using distinct-agents distinct-alts by (simp-all add: agents alts)
lemma in-agents [simp]: A1 \in agents \ A2 \in agents \ A3 \in agents \ A4 \in agents
 by (simp-all add: agents)
```

```
lemma in-alts [simp]: a \in alts b \in alts c \in alts d \in alts by (simp-all\ add:\ alts)
```

lemma agent-iff: 
$$x \in agents \longleftrightarrow x \in \{A1, A2, A3, A4\}$$
  
 $(\forall x \in agents. \ P\ x) \longleftrightarrow P\ A1 \land P\ A2 \land P\ A3 \land P\ A4$   
 $(\exists x \in agents. \ P\ x) \longleftrightarrow P\ A1 \lor P\ A2 \lor P\ A3 \lor P\ A4$   
by (auto simp add: agents)

```
lemma alt-iff: x \in alts \longleftrightarrow x \in \{a,b,c,d\}

(\forall x \in alts. \ P \ x) \longleftrightarrow P \ a \land P \ b \land P \ c \land P \ d

(\exists x \in alts. \ P \ x) \longleftrightarrow P \ a \lor P \ b \lor P \ c \lor P \ d

by (auto simp add: alts)
```

# 1.2 Definition of Preference Profiles and Fact Gathering

## preference-profile

```
agents: agents
 alts: alts
where R1 = A1: [c, d], [a, b] A2: [b, d], a, c
                                                     A3: a, b, [c, d]
                                                                           A4: [a,
[c], [b, d]
                                                     A3: [b, d], a, c
                                                                         A4: a, b,
 and R2 = A1: [a, c], [b, d] A2: [c, d], [a, b]
 and R3 = A1: [a, b], [c, d] A2: [c, d], [a, b]
                                                     A3: d, [a, b], c
                                                                         A4: c, a,
 and R4 = A1: [a, b], [c, d]
                                 A2: [a, d], [b, c]
                                                     A3: c, [a, b], d
                                                                         A4: d, c,
[a, b]
 and R5 = A1: [c, d], [a, b]
                                                     A3: [a, c], d, b
                                                                         A4: d, [a,
                                 A2: [a, b], [c, d]
 and R6 = A1: [a, b], [c, d]
                                 A2: [c, d], [a, b]
                                                     A3: [a, c], [b, d]
                                                                         A4: d, b,
 and R7 = A1: [a, b], [c, d]
                                 A2: [c, d], [a, b]
                                                    A3: a, c, d, b
                                                                         A4: d, [a,
 and R8 = A1: [a, b], [c, d]
                                 A2: [a, c], [b, d]
                                                     A3: d, [a, b], c
                                                                         A4: d, c,
[a, b]
 and R9 = A1: [a, b], [c, d]
                                                     A3: d, c, [a, b]
                                 A2: [a, d], c, b
                                                                         A4: [a, b,
 and R10 = A1: [a, b], [c, d]
                                 A2: [c, d], [a, b]
                                                     A3: [a, c], d, b
                                                                           A4: [b,
d], a, c
                                                     A3: d, [a, b], c
 and R11 = A1: [a, b], [c, d]
                                  A2: [c, d], [a, b]
                                                                         A4: c, a,
 and R12 = A1: [c, d], [a, b]
                                                     A3: [a, c], d, b
                                                                         A4: [a, b,
                                 A2: [a, b], [c, d]
d, c
 and R13 = A1: [a, c], [b, d]
                                  A2: [c, d], a, b
                                                     A3: [b, d], a, c
                                                                         A4: a, b,
 and R14 = A1: [a, b], [c, d]
                                 A2: d, c, [a, b]
                                                     A3: [a, b, c], d
                                                                         A4: a, d,
 and R15 = A1: [a, b], [c, d]
                                A2: [c, d], [a, b]
                                                     A3: [b, d], a, c
                                                                         A4: a, c,
d, b
```

```
and R16 = A1: [a, b], [c, d]
                                   A2: [c, d], [a, b]
                                                       A3: a, c, d, b
                                                                             A4: [a,
[b, d], c
 and R17 = A1: [a, b], [c, d]
                                   A2: [c, d], [a, b]
                                                       A3: [a, c], [b, d]
                                                                           A_4: d, [a,
                                                                            A4: d, c,
 and R18 = A1: [a, b], [c, d]
                                   A2: [a, d], [b, c]
                                                       A3: [a, b, c], d
 and R19 = A1: [a, b], [c, d]
                                   A2: [c, d], [a, b]
                                                       A3: [b, d], a, c
                                                                             A4: [a,
[c], [b, d]
 and R20 = A1: [b, d], a, c
                                   A2: b, a, [c, d]
                                                       A3: a, c, [b, d]
                                                                            A4: d, c,
[a, b]
 and R21 = A1: [a, d], c, b
                                   A2: d, c, [a, b]
                                                       A3: c, [a, b], d
                                                                            A4: a, b,
 and R22 = A1: [a, c], d, b
                                   A2: d, c, [a, b]
                                                       A3: d, [a, b], c
                                                                            A4: a, b,
[c, d]
 and R23 = A1: [a, b], [c, d]
                                   A2: [c, d], [a, b]
                                                       A3: [a, c], [b, d]
                                                                           A4: [a, b,
 and R24 = A1: [c, d], [a, b]
                                   A2: d, b, a, c
                                                       A3: c, a, [b, d]
                                                                            A4: b, a,
 and R25 = A1: [c, d], [a, b]
                                   A2: [b, d], a, c
                                                       A3: a, b, [c, d]
                                                                            A4: a, c,
                                                                            A4: a, c,
 and R26 = A1: [b, d], [a, c]
                                   A2: [c, d], [a, b]
                                                       A3: a, b, [c, d]
[b, d]
 and R27 = A1: [a, b], [c, d]
                                    A2: [b, d], a, c
                                                        A3: [a, c], [b, d]
                                                                              A4: [c,
d], a, b
 and R28 = A1: [c, d], a, b
                                   A2: [b, d], a, c
                                                       A3: a, b, [c, d]
                                                                            A4: a, c,
                                                       A3: a, b, [c, d]
 and R29 = A1: [a, c], d, b
                                   A2: [b, d], a, c
                                                                            A4: d, c,
[a, b]
 and R30 = A1: [a, d], c, b
                                   A2: d, c, [a, b]
                                                        A3: c, [a, b], d
                                                                             A4: [a,
b|, d, c
                                   A2: [a, c], d, b
                                                        A3: c, d, [a, b]
 and R31 = A1: [b, d], a, c
                                                                              A4: [a,
b], c, d
 and R32 = A1: [a, c], d, b
                                   A2: d, c, [a, b]
                                                        A3: d, [a, b], c
                                                                             A4: [a,
b], d, c
 and R33 = A1: [c, d], [a, b]
                                    A2: [a, c], d, b
                                                         A3: a, b, [c, d]
                                                                              A4: d,
[a, b], c
                                                       A3: b, [a, d], c
 and R34 = A1: [a, b], [c, d]
                                   A2: a, c, d, b
                                                                            A4: c, d,
 and R35 = A1: [a, d], c, b
                                                       A3: [a, b, c], d
                                   A2: a, b, [c, d]
                                                                            A4: d, c,
[a, b]
 and R36 = A1: [c, d], [a, b]
                                                       A3: [b, d], a, c
                                   A2: [a, c], d, b
                                                                            A4: a, b,
 and R37 = A1: [a, c], [b, d]
                                   A2: [b, d], [a, c]
                                                       A3: a, b, [c, d]
                                                                            A4: c, d,
[a, b]
 and R38 = A1: [c, d], a, b
                                   A2: [b, d], a, c
                                                       A3: a, b, [c, d]
                                                                             A4: [a,
c], b, d
 and R39 = A1: [a, c], d, b
                                   A2: [b, d], a, c
                                                        A3: a, b, [c, d]
                                                                              A4: [c,
d], a, b
 and R40 = A1: [a, d], c, b
                                   A2: [a, b], c, d
                                                       A3: [a, b, c], d
                                                                            A4: d, c,
```

```
[a, b]
 and R41 = A1: [a, d], c, b A2: [a, b], d, c
                                                   A3: [a, b, c], d \qquad A4: d, c,
 and R42 = A1: [c, d], [a, b] A2: [a, b], [c, d]
                                                   A3: d, b, a, c
                                                                     A4: c, a,
 and R43 = A1: [a, b], [c, d] A2: [c, d], [a, b]
                                                   A3: d, [a, b], c A4: a, [c, b]
 and R44 = A1: [c, d], [a, b] A2: [a, c], d, b
                                                   A3: [a, b], d, c \qquad A4: [a, b, b]
d], c
 and R45 = A1: [a, c], d, b
                               A2: [b, d], a, c A3: [a, b], c, d A4: [c, d]
d], b, a
 and R46 = A1: [b, d], a, c
                                A2: d, c, [a, b]
                                                   A3: [a, c], [b, d] \quad A4: b, a,
 and R47 = A1: [a, b], [c, d]
                                 A2: [a, d], c, b
                                                   A3: d, c, [a, b]
                                                                        A4: c,
[a, b], d
 by (simp-all add: agents alts)
```

## derive-orbit-equations (an-sds)

R10 R26 R27 R28 R29 R43 R45 by simp-all

```
prove-inefficient-supports (ex-post-efficient-sds sd-efficient-sds)
```

R3 [b] and R4 [b] and R5 [b] and R7 [b] and R8 [b] and R9 [b] and R11 [b] and R12 [b] and R14 [b] and R16 [b] and R17 [b] and R18 [b] and R21 [b] and R22 [b] and R23 [b] and R30 [b] and R32 [b] and R33 [b] and R35 [b] and R40 [b] and R41 [b] and R43 [b] and R44 [b] and R47 [b] and R41 [b] and R43 [b] and R44 [b] and R47 [b] and R10 [c, b] witness: [a: 1 / 2, b: 0, c: 0, d: 1 / 2] and R15 [c, b] witness: [a: 1 / 2, b: 0, c: 0, d: 1 / 2] and R25 [b, c] witness: [c: 0, d: 1 / 2, a: 1 / 2, b: 0] and R26 [c, b] witness: [b: 0, d: 1 / 2, a: 1 / 2, c: 0] and R27 [c, b] witness: [a: 1 / 2, b: 0, c: 0, d: 1 / 2] and R28 [b, c] witness: [c: 0, d: 1 / 2, a: 1 / 2, b: 0] and R29 [b, c] witness: [a: 1 / 2, c: 0, d: 1 / 2, b: 0] and R39 [b, c] witness: [a: 1 / 2, c: 0, d: 1 / 2, b: 0] by (simp-all add: agent-iff alt-iff)

#### derive-strategyproofness-conditions (strategyproof-an-sds)

distance: 2

R1 R2 R3 R4 R5 R6 R7 R8 R9 R10 R11 R12 R13 R14 R15 R16 R17 R18 R19 R20

R21 R22 R23 R24 R25 R26 R27 R28 R29 R30 R31 R32 R33 R34 R35 R36 R37 R38 R39 R40

R41 R42 R43 R44 R45 R46 R47 **by** (simp-all add: agent-iff alt-iff)

 ${\bf lemma}\ lottery\text{-}conditions:$ 

assumes is-pref-profile R

```
shows pmf (sds R) a \ge 0 pmf (sds R) b \ge 0 pmf (sds R) c \ge 0 pmf (sds R) d \ge 0 pmf (sds R) a + pmf (sds R) b + pmf (sds R) c + pmf (sds R) d = 1 using lottery-prob-alts[OF sds-wf[OF assms]] by (simp-all \ add: \ alts \ pmf-nonneg \ measure-measure-pmf-finite)
```

## 1.3 Main Proof

```
lemma R45 [simp]: pmf (sds R45) a = 1/4 pmf (sds R45) b = 1/4 pmf (sds R45) c = 1/4 pmf (sds R45) d = 1/4 using R45.orbits lottery-conditions[OF R45.wf] by simp-all
```

```
lemma R10-bc [simp]: pmf (sds R10) b = 0 pmf (sds R10) c = 0 using R10.support R10.orbits by auto
```

lemma R10-ad [simp]: 
$$pmf$$
 (sds R10)  $a = 1/2$   $pmf$  (sds R10)  $d = 1/2$  using  $lottery-conditions[OF R10.wf]$  R10-bc R10.orbits by  $simp-all$ 

lemma 
$$R26$$
-bc  $[simp]$ :  $pmf$   $(sds\ R26)$   $b=0$   $pmf$   $(sds\ R26)$   $c=0$  using  $R26$ .support  $R26$ .orbits by auto

lemma 
$$R26$$
- $d$  [simp]:  $pmf$  (sds  $R26$ )  $d = 1 - pmf$  (sds  $R26$ )  $a$  using lottery-conditions[OF  $R26$ .wf]  $R26$ - $bc$  by  $simp$ 

lemma 
$$R27$$
-bc  $[simp]$ :  $pmf$   $(sds R27)$   $b=0$   $pmf$   $(sds R27)$   $c=0$  using  $R27$ .support  $R27$ .orbits by auto

lemma 
$$R27$$
- $d$  [ $simp$ ]:  $pmf$  ( $sds$   $R27$ )  $d = 1 - pmf$  ( $sds$   $R27$ )  $a$  using  $lottery-conditions[OF  $R27.wf$ ]  $R27$ - $bc$  by  $simp$$ 

lemma 
$$R28$$
-bc [simp]: pmf (sds  $R28$ )  $b=0$  pmf (sds  $R28$ )  $c=0$  using  $R28$ .support  $R28$ .orbits by auto

```
lemma R28-d [simp]: pmf (sds R28) d = 1 - pmf (sds R28) a using lottery-conditions[OF R28.wf] R28-bc by simp
```

lemma 
$$R29$$
-bc  $[simp]$ :  $pmf$   $(sds R29)$   $b=0$   $pmf$   $(sds R29)$   $c=0$  using  $R29$ .support  $R29$ .orbits by auto

lemma 
$$R29$$
-ac  $[simp]$ :  $pmf$   $(sds R29)$   $a = 1/2$   $pmf$   $(sds R29)$   $d = 1/2$  using  $lottery$ -conditions  $[OF R29.wf]$   $R29$ -bc  $R29.orbits$  by  $simp$ -all

**lemmas** R43-bc [simp] = R43.support

```
lemma R43-ad [simp]: pmf (sds R43) a = 1/2 pmf (sds R43) d = 1/2
 using lottery-conditions [OF R43.wf] R43-bc R43.orbits by simp-all
lemma R39-b [simp]: pmf (sds R39) b = 0
proof -
 {
  assume [simp]: pmf (sds R39) c = 0
  with R29-R39.strategyproofness(1)
    have pmf (sds R39) d \le 1/2 by auto
  with R39-R29.strategyproofness(1) lottery-conditions[OF R39.wf]
    have pmf (sds R39) b = 0 by auto
 }
 with R39.support show ?thesis by blast
qed
lemma R36-a [simp]: pmf (sds R36) a = 1/2 and R36-b [simp]: pmf (sds R36)
b = 0
proof
 from R10-R36.strategyproofness(1) lottery-conditions[OF R36.wf]
  have pmf (sds R36) a + pmf (sds R36) b \le 1/2 by auto
 with R36-R10.strategyproofness(1) lottery-conditions[OF R36.wf]
  show pmf (sds R36) a = 1/2 pmf (sds R36) b = 0 by auto
qed
lemma R36-d [simp]: pmf (sds R36) d = 1/2 - pmf (sds R36) c
 using lottery-conditions[OF R36.wf] by simp
lemma R39-a [simp]: pmf (sds R39) a = 1/2
proof -
 from R36-R39.strategyproofness(1) lottery-conditions[OF R39.wf]
  have pmf (sds R39) a \ge 1/2 by auto
 with R39-R36.strategyproofness(1) lottery-conditions[OF R39.wf]
  show ?thesis by auto
qed
lemma R39-d [simp]: pmf (sds R39) d = 1/2 - pmf (sds R39) c
 using lottery-conditions[OF R39.wf] by simp
lemmas R12-b [simp] = R12.support
lemma R12-c [simp]: pmf (sds R12) c = 0
 using R12-R10.strategyproofness(1) lottery-conditions[OF R12.wf]
 by (auto simp del: pmf-nonneg)
lemma R12-d [simp]: pmf (sds R12) d = 1 - pmf (sds R12) a
```

```
using lottery-conditions[OF R12.wf] by simp
lemma R12-a-ge-one-half: pmf (sds R12) a \ge 1/2
 using R10-R12.strategyproofness(1) lottery-conditions[OF R12.wf]
 by auto
lemma R44 [simp]:
 pmf (sds R44) a = pmf (sds R12) a pmf (sds R44) d = 1 - pmf (sds R12) a
 pmf (sds R44) b = 0 pmf (sds R44) c = 0
proof -
 from R12-R44.strategyproofness(1) R44.support have pmf (sds R44) a \leq pmf
(sds R12) a by simp
 with R44-R12.strategyproofness(1) R44.support lottery-conditions[OF R44.wf]
   show pmf (sds R44) a = pmf (sds R12) a pmf (sds R44) c = 0
      pmf (sds R44) d = 1 - pmf (sds R12) a by (auto simp del: pmf-nonneg)
qed (insert R44.support, simp-all)
lemma R9-a [simp]: pmf (sds R9) a = pmf (sds R35) a
proof -
 from R9-R35.strategyproofness(1) R35.support R9.support
   have pmf (sds R35) a \leq pmf (sds R9) a by simp
  with R35-R9.strategyproofness(1) R9.support R35.support show ?thesis by
simp
\mathbf{qed}
lemma R18-c [simp]: pmf (sds R18) c = pmf (sds R9) c
proof -
 from R18-R9.strategyproofness(1) R18.support R9.support
  have pmf (sds R18) d + pmf (sds R18) a \ge pmf (sds R9) d + pmf (sds R9)
a by auto
 with R9-R18.strategyproofness(1) R18.support R9.support
      lottery-conditions[OF R9.wf] lottery-conditions[OF R18.wf]
   show ?thesis by auto
qed
lemma R5-d-ge-one-half: pmf (sds R5) d \ge 1/2
 using R5-R10.strategyproofness(1) R5.support lottery-conditions[OF R5.wf] by
auto
lemma R7 [simp]: pmf (sds R7) a = 1/2 pmf (sds R7) b = 0 pmf (sds R7) c = 0
0 pmf (sds R7) d = 1/2
proof -
 from R5-d-ge-one-half have 1/2 \le pmf \ (sds \ R5) \ d by simp
also from R5-R17.strategyproofness(1) R17.support lottery-conditions[OF R5.wf]
lottery-conditions[OF R17.wf]
   have \dots < pmf (sds R17) d by (auto simp del: pmf-nonneg)
 also from R17-R7. strategyproofness(1) lottery-conditions [OF R7. wf] lottery-conditions [OF
R17.wf] R7.support
```

```
have pmf (sds R17) d \leq pmf (sds R7) d by (auto simp del: pmf-nonneg)
 finally have pmf (sds R7) d \ge 1/2.
 with R7-R43.strategyproofness(1) lottery-conditions[OF R7.wf] R7.support
   show pmf (sds R7) a = 1/2 pmf (sds R7) b = 0 pmf (sds R7) c = 0 pmf
(sds \ R7) \ d = 1/2
   by auto
qed
lemma R5 [simp]: pmf (sds R5) a = 1/2 pmf (sds R5) b = 0 pmf (sds R5) c =
0 pmf (sds R5) d = 1/2
proof -
 from R5-R7.strategyproofness(1) lottery-conditions[OF R5.wf] R5.support
  have pmf (sds R5) d \le 1/2 by auto
 with R5-d-ge-one-half show d: pmf (sds R5) d = 1 / 2 by simp
 with R5-R10.strategyproofness(1) lottery-conditions[OF R5.wf] R5.support
   show pmf (sds R5) c = 0 pmf (sds R5) a = 1/2 by simp-all
ged (simp-all add: R5.support)
lemma R15 [simp]: pmf (sds R15) a = 1/2 pmf (sds R15) b = 0 pmf (sds R15)
c = 0 \ pmf \ (sds \ R15) \ d = 1/2
proof -
 {
   assume pmf (sds R15) b = 0
   with R10-R15.strategyproofness(1) lottery-conditions[OF R15.wf]
    have pmf (sds R15) a + pmf (sds R15) c \le 1/2 by auto
   with R15-R10.strategyproofness(1) lottery-conditions[OF R15.wf]
    have pmf (sds R15) c = 0 by auto
 }
 with R15.support show [simp]: pmf (sds R15) c = 0 by blast
 with R15-R5.strategyproofness(1) lottery-conditions[OF R15.wf]
   have pmf (sds R15) a \ge 1/2 by auto
 moreover from R15-R7.strategyproofness(1) lottery-conditions[OF R15.wf]
   have pmf (sds R15) b + pmf (sds R15) d \ge 1/2 by auto
 ultimately show pmf (sds R15) a = 1/2 using lottery-conditions [OF R15.wf]
by auto
 with R15-R5.strategyproofness(1) lottery-conditions[OF R15.wf]
   show pmf (sds R15) d = 1/2 pmf (sds R15) b = 0 by auto
qed
lemma R13-aux: pmf (sds R13) b = 0 pmf (sds R13) c = 0 pmf (sds R13) d = 0
1 - pmf (sds R13) a
 and R27-R13 [simp]: pmf (sds R27) a = pmf (sds R13) a
using R27-R13. strategy proofness(1) R13-R27. strategy proofness(1) lottery-conditions[OF]
R13.wf] by auto
lemma R13 [simp]: pmf (sds R13) a = 1/2 pmf (sds R13) b = 0 pmf (sds R13)
c = 0 \ pmf \ (sds \ R13) \ d = 1/2
 using R15-R13.strategyproofness(1) R13-R15.strategyproofness(1) R13-aux by
simp-all
```

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lemma R27 [simp]: pmf (sds R27) a = 1/2 pmf (sds R27) b = 0 pmf (sds R27)
c = 0 \ pmf \ (sds \ R27) \ d = 1/2
 by simp-all
lemma R19 [simp]: pmf (sds R19) a = 1/2 pmf (sds R19) b = 0 pmf (sds R19)
c = 0 \ pmf \ (sds \ R19) \ d = 1/2
proof -
 have pmf (sds R19) a = 1/2 \land pmf (sds R19) b = 0 \land pmf (sds R19) c = 0
\wedge pmf (sds R19) d = 1/2
 proof (rule disjE[OF\ R19.support];\ safe)
   assume [simp]: pmf (sds R19) b = 0
   from R10-R19.strategyproofness(1) lottery-conditions[OF R19.wf]
    have pmf (sds R19) a + pmf (sds R19) c \le 1/2 by auto
   moreover from R19-R10.strategyproofness(1)
    have pmf (sds R19) a + pmf (sds R19) c > 1/2 by simp
  ultimately show pmf (sds R19) d = 1/2 using lottery-conditions[OF R19.wf]
by simp
   with R27-R19.strategyproofness(1) lottery-conditions[OF R19.wf]
    show pmf (sds R19) a = 1/2 pmf (sds R19) c = 0 by auto
   assume [simp]: pmf (sds R19) c = 0
   from R19-R10.strategyproofness(1) have pmf (sds R19) a \ge 1/2 by auto
   moreover from R19-R27.strategyproofness(1) have pmf (sds R19) d \ge 1/2
by auto
   ultimately show pmf (sds R19) a = 1/2 pmf (sds R19) d = 1/2 pmf (sds
R19) b = 0
    using lottery-conditions[OF R19.wf] by (auto simp del: pmf-nonneg)
 thus pmf (sds R19) a = 1/2 pmf (sds R19) b = 0 pmf (sds R19) c = 0 pmf
(sds \ R19) \ d = 1/2
   by blast+
\mathbf{qed}
lemma R1 [simp]: pmf (sds R1) a = 1/2 pmf (sds R1) b = 0
proof -
 from R19-R1.strategyproofness(1) lottery-conditions[OF R1.wf]
   have pmf (sds R1) a + pmf (sds R1) b \le 1/2 by simp
 with R1-R19.strategyproofness(1) lottery-conditions[OF R1.wf]
   show pmf (sds R1) a = 1/2 pmf (sds R1) b = 0 by auto
qed
lemma R22 [simp]: pmf (sds R22) a = 1/2 pmf (sds R22) b = 0 pmf (sds R22)
c = 0 \ pmf \ (sds \ R22) \ d = 1/2
proof -
 from R33-R5.strategyproofness(1) R33.support
   have 1/2 < pmf (sds R33) a by auto
 also from R33-R22.strategyproofness(1) R22.support R33.support
   lottery-conditions[OF R22.wf] lottery-conditions[OF R33.wf]
```

```
have \dots \leq pmf \ (sds \ R22) \ a \ by \ simp
 finally show pmf (sds R22) a = 1/2 pmf (sds R22) b = 0 pmf (sds R22) c =
0 pmf (sds R22) d = 1/2
   using R22-R29.strategyproofness(1) lottery-conditions[OF R22.wf] by (auto
simp del: pmf-nonneg)
qed
lemma R28 [simp]: pmf (sds R28) a = 1/2 pmf (sds R28) b = 0 pmf (sds R28)
c = 0 \ pmf \ (sds \ R28) \ d = 1/2
proof -
 have pmf (sds R28) a \le pmf (sds R32) d
   using R32-R28.strategyproofness(1) lottery-conditions[OF R32.wf] by auto
 hence R32-d: pmf (sds R32) d = pmf (sds R28) a
   using R28-R32.strategyproofness(1) lottery-conditions[OF R32.wf] by auto
 from R22-R32.strategyproofness(1) lottery-conditions[OF R32.wf] R32.support
   have pmf (sds R32) a \le 1/2 by auto
 with R32-R22.strategyproofness(1) lottery-conditions[OF R32.wf] R32.support
   show pmf (sds R28) a = 1/2 pmf (sds R28) b = 0 pmf (sds R28) c = 0 pmf
(sds \ R28) \ d = 1/2
   by (auto simp: R32-d simp del: pmf-nonneg)
qed
lemma R39 [simp]: pmf (sds R39) a = 1/2 pmf (sds R39) b = 0 pmf (sds R39)
c = 0 \ pmf \ (sds \ R39) \ d = 1/2
proof -
 from R28-R39.strategyproofness(1) show pmf (sds R39) c = 0 by simp
 thus pmf (sds R39) a = 1/2 pmf (sds R39) b = 0 pmf (sds R39) d = 1/2
   by simp-all
\mathbf{qed}
lemma R2 [simp]: pmf (sds R2) a = 1/2 pmf (sds R2) b = 0 pmf (sds R2) c =
0 pmf (sds R2) d = 1/2
proof -
{f from}\ R1\text{-}R2.strategy proofness (1)\ R2\text{-}R1.strategy proofness (1)\ lottery-conditions [OF]
R2.wf lottery-conditions [OF R1.wf]
   have pmf (sds R2) a = 1/2 pmf (sds R2) c + pmf (sds R2) d = 1/2
   by (auto simp: algebra-simps simp del: pmf-nonneg)
 with R39-R2.strategyproofness(1) lottery-conditions[OF R2.wf]
   show pmf (sds R2) a = 1/2 pmf (sds R2) b = 0 pmf (sds R2) c = 0 pmf
(sds \ R2) \ d = 1/2
  by auto
qed
lemma R42 [simp]: pmf (sds R42) a = 0 pmf (sds R42) b = 0 pmf (sds R42) c
= 1/2 \ pmf \ (sds \ R42) \ d = 1/2
proof -
 from R17-R5.strategyproofness(1) lottery-conditions[OF R17.wf] R17.support
   have pmf (sds R17) d \le 1/2 by auto
```

```
moreover from R5-R17.strategyproofness(1) R17.support lottery-conditions[OF]
R17.wf
   have pmf (sds R17) d \ge 1/2 by auto
 ultimately have R17-d: pmf (sds R17) d = 1/2 by simp
 from R6-R42.strategyproofness(1)
   have pmf (sds R42) a + pmf (sds R42) c \le pmf (sds R6) a + pmf (sds R6)
c by simp
 also from R6-R19.strategyproofness(1) lottery-conditions[OF R6.wf]
  have pmf (sds R6) a + pmf (sds R6) c \le 1/2 by (auto simp del: pmf-nonneg)
 finally have pmf (sds R42) a + pmf (sds R42) c \le 1 / 2.
 moreover from R17-R11.strategyproofness(1) R11.support R17.support
     lottery-conditions[OF R11.wf] lottery-conditions[OF R17.wf]
   have pmf (sds R11) d \ge 1/2 by (auto simp: R17-d)
 ultimately have pmf (sds R42) a + pmf (sds R42) c < pmf (sds R11) d by
 with R42-R11.strategyproofness(1) R11.support
   have E: pmf (sds R11) d \leq pmf (sds R42) c by auto
 with \langle pmf \ (sds \ R11) \ d \geq 1/2 \rangle have pmf \ (sds \ R42) \ c \geq 1/2 by simp
 moreover from R17-R3.strategyproofness(1) R3.support R17.support
     lottery-conditions[OF R17.wf] lottery-conditions[OF R3.wf]
   have pmf (sds R3) d \ge 1/2 by (auto simp: R17-d)
 ultimately show pmf (sds R42) a = 0 pmf (sds R42) b = 0 pmf (sds R42) c
= 1/2 \ pmf \ (sds \ R42) \ d = 1/2
  \mathbf{using}\ R42\text{-}R3.strategy proofness (1)\ lottery-conditions [OF\ R3.wf]\ lottery-conditions [OF\ R3.wf]
R42.wf
   by linarith+
ged
lemma R37 [simp]: pmf (sds R37) a = 1/2 pmf (sds R37) b = 0 pmf (sds R37)
c = 1/2 \ pmf \ (sds \ R37) \ d = 0
proof -
 from R37-R42.strategyproofness(1) lottery-conditions[OF R37.wf]
   have pmf (sds R37) a = 1/2 \lor pmf (sds R37) a + pmf (sds R37) b > 1/2
   by (auto simp del: pmf-nonneg)
 moreover from R37-R42.strategyproofness(2) lottery-conditions[OF R37.wf]
   have pmf (sds R37) c = 1/2 \vee pmf (sds R37) c + pmf (sds R37) d > 1/2
   by (auto simp del: pmf-nonneg)
 ultimately show pmf (sds R37) a = 1/2 pmf (sds R37) b = 0 pmf (sds R37)
c = 1/2 \ pmf \ (sds \ R37) \ d = 0
   using lottery-conditions[OF R37.wf] by (auto simp del: pmf-nonneg)
qed
lemma R24 [simp]: pmf (sds R24) a = 0 pmf (sds R24) b = 0 pmf (sds R24) d
= 1 - pmf (sds R24) c
using R42-R24. strategyproofness(1) lottery-conditions [OF R24. wf] by (auto simp
del: pmf-nonneg)
lemma R34 [simp]:
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pmf (sds R34) a = 1 - pmf (sds R24) c pmf (sds R34) b = pmf (sds R24) c
 pmf (sds R34) c = 0 pmf (sds R34) d = 0
proof -
 from R24-R34.strategyproofness(1) lottery-conditions[OF R34.wf]
  have pmf (sds R34) b \le pmf (sds R24) c by (auto simp del: pmf-nonneg)
 moreover from R34-R24.strategyproofness(1) lottery-conditions[OF R34.wf]
  have pmf (sds R34) b \ge pmf (sds R24) c by auto
 ultimately show bc: pmf (sds R34) b = pmf (sds R24) c by simp
 from R34-R24.strategyproofness(1) bc lottery-conditions[OF R34.wf]
  show pmf (sds R34) c = 0 by auto
 moreover from R24-R34.strategyproofness(1) be show pmf (sds R34) d=0
 ultimately show pmf (sds R34) a = 1 - pmf (sds R24) c
  using bc lottery-conditions[OF R34.wf] by auto
lemma R14 [simp]: pmf (sds R14) b = 0 pmf (sds R14) d = 0 pmf (sds R14) c
= 1 - pmf (sds R14) a
 using R14-R34.strategyproofness(1) R14.support lottery-conditions[OF R14.wf]
 by (auto simp del: pmf-nonneg)
lemma R46 [simp]: pmf (sds R46) a = 0 pmf (sds R46) c = 0 pmf (sds R46) d
= 1 - pmf (sds R46) b
 using R46-R37.strategyproofness(1) lottery-conditions[OF R46.wf] by auto
lemma R20 [simp]: pmf (sds R20) a = 0 pmf (sds R20) c = 0 pmf (sds R20) d
= 1 - pmf (sds R20) b
using R46-R20.strategyproofness(1) lottery-conditions[OF R20.wf] by (auto simp
del: pmf-nonneg)
lemma R21 [simp]: pmf (sds R21) d = 1 - pmf (sds R21) a pmf (sds R21) b
= 0 pmf (sds R21) c = 0
 using R20-R21.strategyproofness(1) lottery-conditions[OF R21.wf] by auto
lemma R16-R12: pmf (sds R16) c + pmf (sds R16) a \le pmf (sds R12) a
 using R12-R16.strategyproofness(1) R16.support lottery-conditions[OF R16.wf]
by auto
lemma R16 [simp]: pmf (sds R16) b = 0 pmf (sds R16) c = 0 pmf (sds R16) d
= 1 - pmf (sds R16) a
proof -
 from R16-R12 have pmf (sds R16) c + pmf (sds R16) a \le pmf (sds R12) a
by simp
also from R44-R40.strategyproofness(1) lottery-conditions[OF R40.wf] R40.support
  have pmf (sds R12) a \le pmf (sds R40) a by auto
 also from R9-R40.strategyproofness(1) R9.support R40.support
  have pmf (sds R40) a \le pmf (sds R9) a by auto
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finally have pmf (sds R16) c + pmf (sds R16) a \le pmf (sds R9) a by simp
 moreover\ from\ R14-R16.strategyproofness(1) R16.support\ lottery-conditions[OF]
R16.wf
  have pmf (sds R16) a \ge pmf (sds R14) a by auto
 ultimately have pmf (sds R16) c \le pmf (sds R9) a - pmf (sds R14) a by
 also from R14-R9.strategyproofness(1) R9.support lottery-conditions[OF R9.wf]
  have pmf (sds R9) a - pmf (sds R14) a \le 0 by (auto simp del: pmf-nonneg)
 finally show pmf (sds R16) b = 0 pmf (sds R16) c = 0 pmf (sds R16) d = 1
- pmf (sds R16) a
   using lottery-conditions[OF R16.wf] R16.support by auto
lemma R12-R14: pmf (sds R14) a \le pmf (sds R12) a
 using R14-R16.strategyproofness(1) R16-R12 by auto
lemma R12-a [simp]: pmf (sds R12) a = pmf (sds R9) a
proof -
 from R44-R40.strategyproofness(1) R40.support lottery-conditions[OF R40.wf]
   have pmf (sds R12) a \leq pmf (sds R40) a by auto
 also from R9-R40.strategyproofness(1) R9.support R40.support
   have pmf (sds R40) a \le pmf (sds R9) a by auto
 finally have B: pmf (sds R12) a \leq pmf (sds R9) a by simp
 moreover from R14-R9.strategyproofness(1) lottery-conditions[OF R9.wf] R9.support
   have pmf (sds R9) a \le pmf (sds R14) a by (auto simp del: pmf-nonneg)
 with R12-R14 have pmf (sds R9) a \leq pmf (sds R12) a by simp
 ultimately show pmf (sds R12) a = pmf (sds R9) a by simp
qed
lemma R9 [simp]: pmf (sds R9) b = 0 pmf (sds R9) d = 0 pmf (sds R14) a =
pmf (sds R35) a pmf (sds R9) c = 1 - pmf (sds R35) a
using R12-R14 R14-R9.strategyproofness(1) lottery-conditions[OF R9.wf] R9.support
 by auto
lemma R23 [simp]: pmf (sds R23) b = 0 pmf (sds R23) c = 0 pmf (sds R23) d
= 1 - pmf (sds R23) a
 using R23-R19.strategyproofness(1) lottery-conditions[OF R23.wf] R23.support
 by (auto simp del: pmf-nonneg)
lemma R35 [simp]: pmf (sds R35) a = pmf (sds R21) a pmf (sds R35) b = 0
pmf (sds R35) c = 0 pmf (sds R35) d = 1 - pmf (sds R21) a
proof -
 from R35-R21.strategyproofness(1) R35.support
   have pmf (sds R21) a \leq pmf (sds R35) a + pmf (sds R35) c by auto
 with R21-R35.strategyproofness(1) R35.support lottery-conditions[OF R35.wf]
   show pmf (sds R35) a = pmf (sds R21) a pmf (sds R35) b = 0
      pmf (sds R35) c = 0 pmf (sds R35) d = 1 - pmf (sds R21) a by simp-all
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qed
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lemma R18 [simp]: pmf (sds R18) a = pmf (sds R14) a pmf (sds R18) b = 0
             pmf (sds R18) d = 0 pmf (sds R18) c = 1 - pmf (sds R14) a
proof -
from R23-R12.strategyproofness(1)
   have R21-R23: pmf (sds R21) a \le pmf (sds R23) a by simp
 from R23-R18.strategyproofness(1)
   have pmf (sds R18) d \leq pmf (sds R21) a - pmf (sds R23) a by simp
 also from R21-R23 have ... \leq \theta by simp
 finally show pmf (sds R18) d = 0 by simp
 with lottery-conditions[OF R18.wf] R18.support
   show pmf (sds R18) a = pmf (sds R14) a
       pmf (sds R18) c = 1 - pmf (sds R14) a by auto
qed (insert R18.support, simp-all)
lemma R4 [simp]: pmf (sds R4) a = pmf (sds R21) a pmf (sds R4) b = 0
             pmf (sds R4) c = 1 - pmf (sds R4) a pmf (sds R4) d = 0
proof -
 {\bf from}\ R30\text{-}R21.strategy proofness (1)\ R30.support\ lottery-conditions [OF\ R30.wf]
   have pmf (sds R4) c + pmf (sds R21) a \le pmf (sds R4) c + pmf (sds R30)
a by auto
 also {
   have pmf (sds R30) a \le pmf (sds R47) a
    using R47-R30.strategyproofness(1) R30.support R47.support
          lottery-conditions[OF R4.wf] lottery-conditions[OF R47.wf] by auto
   moreover from R4-R47.strategyproofness(1) R4.support R47.support
        lottery-conditions[OF R4.wf] lottery-conditions[OF R47.wf]
    have pmf (sds R4) c \leq pmf (sds R47) c by simp
   ultimately have pmf (sds R4) c + pmf (sds R30) a \le 1 - pmf (sds R47) d
    using lottery-conditions [OF R47.wf] R47.support by simp
 finally have pmf (sds R4) c + pmf (sds R14) a \le 1
   using lottery-conditions[OF R47.wf] by (auto simp del: pmf-nonneg)
 with R4-R18.strategyproofness(1) lottery-conditions[OF R4.wf] R4.support
   show pmf (sds R4) a = pmf (sds R21) a pmf (sds R4) b = 0
      pmf (sds R4) c = 1 - pmf (sds R4) a pmf (sds R4) d = 0 by auto
qed
lemma R8-d [simp]: pmf (sds R8) d = 1 - pmf (sds R8) a
 and R8-c [simp]: pmf (sds R8) c = 0
 and R26-a [simp]: pmf (sds R26) a = 1 - pmf (sds R8) a
proof -
 from R8-R26.strategyproofness(2) R8.support lottery-conditions[OF R8.wf]
   have pmf (sds R26) a \leq pmf (sds R8) d by auto
 with R26-R8.strategyproofness(2) R8.support lottery-conditions[OF R8.wf]
   have pmf (sds R26) a = pmf (sds R8) d by auto
 with R8-R26.strategyproofness(2) R8.support lottery-conditions[OF R8.wf]
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show pmf (sds R8) c = 0 pmf (sds R8) d = 1 - pmf (sds R8) a
      pmf (sds R26) a = 1 - pmf (sds R8) a by (auto simp del: pmf-nonneg)
qed
lemma R21-R47: pmf (sds R21) d \leq pmf (sds R47) c
 using R4-R47.strategyproofness(1) R4.support R47.support
      lottery-conditions[OF R4.wf] lottery-conditions[OF R47.wf]
 by auto
lemma R30 [simp]: pmf (sds R30) a = pmf (sds R47) a pmf (sds R30) b = 0
 pmf (sds R30) c = 0 pmf (sds R30) d = 1 - pmf (sds R47) a
proof -
 have A: pmf (sds R30) a \le pmf (sds R47) a
   using R47-R30.strategyproofness(1) R30.support R47.support
        lottery-conditions[OF R4.wf] lottery-conditions[OF R47.wf] by auto
 with R21-R47 R30-R21.strategyproofness(1)
   lottery-conditions[OF R30.wf] lottery-conditions[OF R47.wf]
   show pmf (sds R30) a = pmf (sds R47) a pmf (sds R30) b = 0
      pmf (sds R30) c = 0 pmf (sds R30) d = 1 - pmf (sds R47) a
    by (auto simp: R30.support R47.support simp del: pmf-nonneg)
qed
lemma R31-c-ge-one-half: pmf (sds R31) c \ge 1/2
 from R25.support have pmf (sds R25) a \ge 1/2
 proof
   assume pmf (sds R25) c = 0
   with R25-R36.strategyproofness(1) lottery-conditions[OF R36.wf]
     show pmf (sds R25) a \ge 1/2 by (auto simp del: pmf-nonneg)
 next
   assume [simp]: pmf (sds R25) b = 0
   from R36-R25.strategyproofness(1) lottery-conditions[OF R25.wf]
    have pmf (sds R25) c + pmf (sds R25) a \leq pmf (sds R36) c + 1 / 2 by
auto
   with R25-R36.strategyproofness(1) show pmf (sds R25) a \ge 1/2 by auto
 hence pmf (sds R26) a \ge 1/2
   using R25-R26.strategyproofness(1) lottery-conditions[OF R25.wf] by (auto
simp del: pmf-nonneg)
 with lottery-conditions[OF R47.wf]
   have 1/2 \le pmf (sds R26) a + pmf (sds R47) d by (simp del: pmf-nonneg)
 also have ... = 1 - pmf (sds R8) a + pmf (sds R47) d by simp
 also from R4-R8.strategyproofness(1)
   have 1 - pmf (sds R8) a \le pmf (sds R21) d by auto
 also note R21-R47
 also from R30-R41.strategyproofness(1) R41.support
         lottery-conditions[OF R41.wf] lottery-conditions[OF R47.wf]
   have pmf (sds R47) c + pmf (sds R47) d \leq pmf (sds R41) d by (auto simp
del: pmf-nonneg)
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also from R41-R31.strategyproofness(1) R41.support lottery-conditions[OF R31.wf]
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lottery-conditions[OF\ R41.wf]
   have pmf (sds R41) d \leq pmf (sds R31) c by auto
 finally show pmf (sds R31) c \ge 1/2 by simp
qed
lemma R31: pmf (sds R31) a = 0 pmf (sds R31) c = 1/2 pmf (sds R31) b + 1/2
pmf (sds R31) d = 1/2
proof -
 from R2-R38.strategyproofness(1) lottery-conditions[OF R38.wf]
   have A: pmf (sds R38) b + pmf (sds R38) d \ge 1/2 by auto
 with R31-c-ge-one-half R31-R38.strategyproofness(1)
      lottery-conditions[OF R31.wf] lottery-conditions[OF R38.wf]
 have pmf (sds R38) b + pmf (sds R38) d = pmf (sds R31) d + pmf (sds R31)
b by auto
 with R31-c-ge-one-half A lottery-conditions[OF R31.wf] lottery-conditions[OF
R38.wf
   show pmf (sds R31) a = 0 pmf (sds R31) c = 1/2 pmf (sds R31) b + pmf
(sds \ R31) \ d = 1/2
   by linarith+
\mathbf{qed}
lemma absurd: False
 using R31 R45-R31.strategyproofness(2) by simp
```

end

#### 1.4 Lifting to more than 4 agents and alternatives

```
lemma finite-list':
   assumes finite A
   obtains xs where A = set xs distinct xs length xs = card A

proof -
   from assms obtain xs where set xs = A using finite-list by blast
   thus ?thesis using distinct\text{-}card[of\ remdups\ xs]
   by (intro\ that[of\ remdups\ xs])\ simp-all

qed

lemma finite\text{-}list\text{-}subset:
   assumes finite\ A\ card\ A \ge n
   obtains xs where set\ xs \subseteq A\ distinct\ xs\ length\ xs = n

proof -
   obtain xs where A = set\ xs\ distinct\ xs\ length\ xs = card\ A
```

```
using finite-list'[OF\ assms(1)] by blast
 with assms show ?thesis
   by (intro that of take n xs) (simp-all add: set-take-subset)
qed
lemma card-ge-4E:
 assumes finite A card A \geq 4
 obtains a b c d where distinct [a,b,c,d] \{a,b,c,d\} \subseteq A
proof -
 from assms obtain xs where xs: set xs \subseteq A distinct xs length xs = 4
   by (rule finite-list-subset)
 then obtain a \ b \ c \ d where xs = [a, \ b, \ c, \ d]
   by (auto simp: eval-nat-numeral length-Suc-conv)
 with xs show ?thesis by (intro that[of a b c d]) simp-all
context sds-impossibility
begin
lemma absurd: False
proof -
 from card-ge-4E[OF finite-agents agents-ge-4]
 obtain A1 A2 A3 A4 where agents: distinct [A1, A2, A3, A4] {A1, A2, A3,
A4\} \subseteq agents.
 from card-ge-4E[OF finite-alts alts-ge-4]
 obtain a b c d where alts: distinct [a, b, c, d] \{a, b, c, d\} \subseteq alts.
 define agents' alts' where agents' = \{A1,A2,A3,A4\} and alts' = \{a,b,c,d\}
 from agents alts
  interpret sds-lowering-anonymous-neutral-sdeff-stratproof agents alts sds agents'
   unfolding agents'-def alts'-def by unfold-locales simp-all
 from agents alts
   interpret sds-impossibility-4-4 agents' alts' lowered A1 A2 A3 A4 a b c d
   by unfold-locales (simp-all add: agents'-def alts'-def)
 from absurd show False.
qed
end
end
```

# References

[1] F. Brandl, F. Brandt, and C. Geist. Proving the incompatibility of Efficiency and Strategyproofness via SMT solving. *Proceedings of the 25th International Joint Conference on Artificial Intelligence (IJCAI)*, 2016. Forthcoming.

[2] F. Brandl, F. Brandt, and W. Suksompong. The impossibility of extending Random Dictatorship to weak preferences. *Economics Letters*, 141:pp. 44-47, 2016.