

SCL(FOL) Can Simulate Ground Nonredundant Ordered Resolution

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Abstract

SCL(FOL) (i.e., Simple Clause Learning for First-Order Logic without equality) is known to be able to simulate the derivation of nonredundant clauses by the ground ordered resolution calculus [1]. Due to the space constraints of a 16-pages paper, the published proof is monolithic and hard to comprehend. In this work, we reuse the existing strategy for ground ordered resolution and present a new, simpler strategy for SCL(FOL). We prove a stronger bisimulation theorem between these two strategies (i.e., they both simulate each other). Our proof is modular: it consists of ten refinement steps focusing on different aspects of the two strategies.

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| primrec <i>mset-lit :: 'a literal \Rightarrow 'a multiset where</i> | |
| <i>mset-lit (Pos A) = {#A#} </i> | |

$mset\text{-}lit (Neg A) = \{\#A, A\#\}$

type-synonym $'t\ atom = 't$

1 Ground Resolution Calculus

locale *ground-ordered-resolution-calculus* =

fixes

$less\text{-}trm :: 'f\ gterm \Rightarrow 'f\ gterm \Rightarrow bool$ (**infix** \prec_t 50) **and**

$select :: 'f\ gterm\ atom\ clause \Rightarrow 'f\ gterm\ atom\ clause$

assumes

$transp\text{-}less\text{-}trm[simp]: transp (\prec_t)$ **and**

$asympt\text{-}less\text{-}trm[intro]: asympt (\prec_t)$ **and**

$wfP\text{-}less\text{-}trm[intro]: wfP (\prec_t)$ **and**

$totalp\text{-}less\text{-}trm[intro]: totalp (\prec_t)$ **and**

$less\text{-}trm\text{-}compatible\text{-}with\text{-}gctxt[simp]: \bigwedge\ ctxt\ t\ t'. t \prec_t t' \Longrightarrow ctxt\langle t \rangle_G \prec_t ctxt\langle t' \rangle_G$

and

$less\text{-}trm\text{-}if\text{-}subterm[simp]: \bigwedge\ t\ ctxt. ctxt \neq \square_G \Longrightarrow t \prec_t ctxt\langle t \rangle_G$ **and**

$select\text{-}subset: \bigwedge\ C. select\ C \subseteq\# C$ **and**

$select\text{-}negative\text{-}lits: \bigwedge\ C\ L. L \in\# select\ C \Longrightarrow is\text{-}neg\ L$

begin

lemma *irreflp-on-less-trm[simp]: irreflp-on A* (\prec_t)

<proof>

abbreviation *lesseq-trm* (**infix** \preceq_t 50) **where**

$lesseq\text{-}trm \equiv (\prec_t)^{==}$

lemma *lesseq-trm-if-subtermeq: t* $\preceq_t ctxt\langle t \rangle_G$

<proof>

definition *less-lit* ::

$'f\ gterm\ atom\ literal \Rightarrow 'f\ gterm\ atom\ literal \Rightarrow bool$ (**infix** \prec_l 50) **where**

$less\text{-}lit\ L1\ L2 \equiv multp (\prec_t) (mset\text{-}lit\ L1) (mset\text{-}lit\ L2)$

abbreviation *lesseq-lit* (**infix** \preceq_l 50) **where**

$lesseq\text{-}lit \equiv (\prec_l)^{==}$

abbreviation *less-cls* ::

$'f\ gterm\ atom\ clause \Rightarrow 'f\ gterm\ atom\ clause \Rightarrow bool$ (**infix** \prec_c 50) **where**

$less\text{-}cls \equiv multp (\prec_l)$

abbreviation *lesseq-cls* (**infix** \preceq_c 50) **where**

$lesseq\text{-}cls \equiv (\prec_c)^{==}$

lemma *transp-on-less-lit[simp]: transp-on A* (\prec_l)

<proof>

corollary *transp-less-lit: transp* (\prec_l)

<proof>

lemma *transp-less-cls[simp]*: *transp* (\prec_c)
<proof>

lemma *asympt-on-less-lit[simp]*: *asympt-on* A (\prec_l)
<proof>

corollary *asympt-less-lit[simp]*: *asympt* (\prec_l)
<proof>

lemma *asympt-less-cls[simp]*: *asympt* (\prec_c)
<proof>

lemma *irreflp-on-less-lit[simp]*: *irreflp-on* A (\prec_l)
<proof>

lemma *wfP-less-lit[simp]*: *wfP* (\prec_l)
<proof>

lemma *wfP-less-cls[simp]*: *wfP* (\prec_c)
<proof>

lemma *totalp-on-less-lit[simp]*: *totalp-on* A (\prec_l)
<proof>

corollary *totalp-less-lit*: *totalp* (\prec_l)
<proof>

lemma *totalp-less-cls[simp]*: *totalp* (\prec_c)
<proof>

interpretation *term-order*: *linorder lesseq-trm less-trm*
<proof>

interpretation *literal-order*: *linorder lesseq-lit less-lit*
<proof>

interpretation *clause-order*: *linorder lesseq-cls less-cls*
<proof>

lemma *less-lit-simps[simp]*:
 $Pos\ A_1\ \prec_l\ Pos\ A_2\ \longleftrightarrow\ A_1\ \prec_t\ A_2$
 $Pos\ A_1\ \prec_l\ Neg\ A_2\ \longleftrightarrow\ A_1\ \preceq_t\ A_2$
 $Neg\ A_1\ \prec_l\ Neg\ A_2\ \longleftrightarrow\ A_1\ \prec_t\ A_2$
 $Neg\ A_1\ \prec_l\ Pos\ A_2\ \longleftrightarrow\ A_1\ \prec_t\ A_2$
<proof>

1.1 Ground Rules

abbreviation *is-maximal-lit* :: 'f gterm literal \Rightarrow 'f gterm clause \Rightarrow bool **where**
is-maximal-lit $L M \equiv$ *is-maximal-in-mset-wrt* (\prec_l) $M L$

abbreviation *is-strictly-maximal-lit* :: 'f gterm literal \Rightarrow 'f gterm clause \Rightarrow bool
where

is-strictly-maximal-lit $L M \equiv$ *is-greatest-in-mset-wrt* (\prec_l) $M L$

inductive *ground-resolution* ::

'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow bool

where

ground-resolutionI:

$P_1 = \text{add-mset } (\text{Neg } t) P_1' \Longrightarrow$

$P_2 = \text{add-mset } (\text{Pos } t) P_2' \Longrightarrow$

$P_2 \prec_c P_1 \Longrightarrow$

select $P_1 = \{\#\} \wedge$ *is-maximal-lit* ($\text{Neg } t$) $P_1 \vee \text{Neg } t \in \#$ *select* $P_1 \Longrightarrow$

select $P_2 = \{\#\} \Longrightarrow$

is-strictly-maximal-lit ($\text{Pos } t$) $P_2 \Longrightarrow$

$C = P_1' + P_2' \Longrightarrow$

ground-resolution $P_1 P_2 C$

inductive *ground-factoring* :: 'f gterm atom clause \Rightarrow 'f gterm atom clause \Rightarrow bool
where

ground-factoringI:

$P = \text{add-mset } (\text{Pos } t) (\text{add-mset } (\text{Pos } t) P') \Longrightarrow$

select $P = \{\#\} \Longrightarrow$

is-maximal-lit ($\text{Pos } t$) $P \Longrightarrow$

$C = \text{add-mset } (\text{Pos } t) P' \Longrightarrow$

ground-factoring $P C$

1.2 Ground Layer

definition *G-Inf* :: 'f gterm atom clause inference set **where**

G-Inf =

$\{\text{Infer } [P_2, P_1] C \mid P_2 P_1 C. \text{ground-resolution } P_1 P_2 C\} \cup$

$\{\text{Infer } [P] C \mid P C. \text{ground-factoring } P C\}$

abbreviation *G-Bot* :: 'f gterm atom clause set **where**

G-Bot \equiv $\{\{\#\}\}$

definition *G-entails* :: 'f gterm atom clause set \Rightarrow 'f gterm atom clause set \Rightarrow bool
where

G-entails $N_1 N_2 \longleftrightarrow (\forall (I :: \text{'f gterm set}). I \models_s N_1 \longrightarrow I \models_s N_2)$

1.3 Correctness

lemma *soundness-ground-resolution*:

assumes

step: *ground-resolution* $P_1 P_2 C$

shows G -entails $\{P1, P2\} \{C\}$
<proof>

lemma *soundness-ground-factoring:*
assumes *step: ground-factoring* $P C$
shows G -entails $\{P\} \{C\}$
<proof>

interpretation G : *sound-inference-system* G -Inf G -Bot G -entails
<proof>

1.4 Redundancy Criterion

lemma *ground-resolution-smaller-conclusion:*
assumes
 step: ground-resolution $P1 P2 C$
shows $C \prec_c P1$
<proof>

lemma *ground-factoring-smaller-conclusion:*
assumes *step: ground-factoring* $P C$
shows $C \prec_c P$
<proof>

interpretation G : *calculus-with-finitary-standard-redundancy* G -Inf G -Bot G -entails
 (\prec_c)
<proof>

1.5 Refutational Completeness

context
 fixes $N :: 'f$ *gterm atom clause set*
begin

function *production* $:: 'f$ *gterm atom clause* $\Rightarrow 'f$ *gterm set* **where**
 production $C = \{A \mid A C'\}.$
 $C \in N \wedge$
 $C = \text{add-mset } (Pos A) C' \wedge$
 select $C = \{\#\} \wedge$
 is-strictly-maximal-lit $(Pos A) C \wedge$
 $\neg (\bigcup D \in \{D \in N. D \prec_c C\}. \text{production } D) \models C$
<proof>

termination *production*
<proof>

declare *production.simps*[*simp del*]

end

lemma *Uniq-strictly-maximal-lit-in-ground-clc*:

$\exists_{\leq 1} L. \text{is-strictly-maximal-lit } L \ C$

$\langle \text{proof} \rangle$

lemma *production-eq-empty-or-singleton*:

$\text{production } N \ C = \{\} \vee (\exists A. \text{production } N \ C = \{A\})$

$\langle \text{proof} \rangle$

lemma *production-eq-singleton-if-atom-in-production*:

assumes $A \in \text{production } N \ C$

shows $\text{production } N \ C = \{A\}$

$\langle \text{proof} \rangle$

definition *interp where*

$\text{interp } N \ C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \text{production } N \ D)$

lemma *interp-mempty[simp]*: $\text{interp } N \ \{\#\} = \{\}$

$\langle \text{proof} \rangle$

lemma *production-unfold*: $\text{production } N \ C = \{A \mid A \ C'\}$

$C \in N \wedge$

$C = \text{add-mset } (\text{Pos } A) \ C' \wedge$

$\text{select } C = \{\#\} \wedge$

$\text{is-strictly-maximal-lit } (\text{Pos } A) \ C \wedge$

$\neg \text{interp } N \ C \models C$

$\langle \text{proof} \rangle$

lemma *production-unfold'*: $\text{production } N \ C = \{A \mid A.$

$C \in N \wedge$

$\text{select } C = \{\#\} \wedge$

$\text{is-strictly-maximal-lit } (\text{Pos } A) \ C \wedge$

$\neg \text{interp } N \ C \models C$

$\langle \text{proof} \rangle$

lemma *mem-productionE*:

assumes $C\text{-prod}: A \in \text{production } N \ C$

obtains C' **where**

$C \in N$ **and**

$C = \text{add-mset } (\text{Pos } A) \ C'$ **and**

$\text{select } C = \{\#\}$ **and**

$\text{is-strictly-maximal-lit } (\text{Pos } A) \ C$ **and**

$\neg \text{interp } N \ C \models C$

$\langle \text{proof} \rangle$

lemma *production-subset-if-less-clc*: $C \prec_c D \implies \text{production } N \ C \subseteq \text{interp } N \ D$

$\langle \text{proof} \rangle$

lemma *Uniq-production-eq-singleton*: $\exists_{\leq 1} C. \text{production } N \ C = \{A\}$

$\langle \text{proof} \rangle$

lemma *singleton-eq-CollectD*: $\{x\} = \{y. P y\} \implies P x$
 ⟨proof⟩

lemma *subset-Union-mem-CollectI*: $P x \implies f x \subseteq (\bigcup y \in \{z. P z\}. f y)$
 ⟨proof⟩

lemma *interp-subset-if-less-cls*: $C \prec_c D \implies \text{interp } N C \subseteq \text{interp } N D$
 ⟨proof⟩

lemma *interp-subset-if-less-cls'*: $C \prec_c D \implies \text{interp } N C \subseteq \text{interp } N D \cup \text{production } N D$
 ⟨proof⟩

lemma *split-Union-production*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{production } N C) =$

$\text{interp } N D \cup \text{production } N D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{production } N C)$

⟨proof⟩

lemma *split-Union-production'*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{production } N C) = \text{interp } N D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{production } N C)$

⟨proof⟩

lemma *split-interp*:

assumes $C \in N$ **and** *D-in*: $D \in N$ **and** $D \prec_c C$

shows $\text{interp } N C = \text{interp } N D \cup (\bigcup C' \in \{C' \in N. D \preceq_c C' \wedge C' \prec_c C\}. \text{production } N C')$

⟨proof⟩

lemma *less-imp-Interp-subseteq-interp*: $C \prec_c D \implies \text{interp } N C \cup \text{production } N C \subseteq \text{interp } N D$

⟨proof⟩

lemma *not-interp-to-Interp-imp-le*: $A \notin \text{interp } N C \implies A \in \text{interp } N D \cup \text{production } N D \implies C \preceq_c D$

⟨proof⟩

lemma *produces-imp-in-interp*:

assumes *Neg A* $A \in \# C$ **and** *D-prod*: $A \in \text{production } N D$

shows $A \in \text{interp } N C$

⟨proof⟩

lemma *neg-notin-Interp-not-produce*:

$\text{Neg } A \in \# C \implies A \notin \text{interp } N D \cup \text{production } N D \implies C \preceq_c D \implies A \notin \text{production } N D''$

⟨proof⟩

lemma *lift-interp-entails*:

assumes

D-in: $D \in N$ **and**

D-entailed: $\text{interp } N D \models D$ **and**

C-in: $C \in N$ **and**

D-lt-C: $D \prec_c C$

shows $\text{interp } N C \models D$

<proof>

lemma *lift-interp-entails-to-interp-production-entails*:

assumes

C-in: $C \in N$ **and**

D-in: $D \in N$ **and**

C-lt-D: $D \prec_c C$ **and**

D-entailed: $\text{interp } N C \models D$

shows $\text{interp } N C \cup \text{production } N C \models D$

<proof>

lemma *lift-entailment-to-Union*:

fixes $N D$

assumes

D-in: $D \in N$ **and**

R_D-entails-D: $\text{interp } N D \models D$

shows

$(\bigcup C \in N. \text{production } N C) \models D$

<proof>

lemma

assumes

$D \preceq_c C$ **and**

C-prod: $A \in \text{production } N C$ **and**

L-in: $L \in \# D$

shows

lesseq-trm-if-pos: $\text{is-pos } L \implies \text{atm-of } L \preceq_t A$ **and**

less-trm-if-neg: $\text{is-neg } L \implies \text{atm-of } L \prec_t A$

<proof>

lemma *less-trm-iff-less-cls-if-mem-production*:

assumes *C-prod*: $A_C \in \text{production } N C$ **and** *D-prod*: $A_D \in \text{production } N D$

shows $A_C \prec_t A_D \iff C \prec_c D$

<proof>

lemma *false-cls-if-productive-production*:

assumes *C-prod*: $A \in \text{production } N C$ **and** $D \in N$ **and** $C \prec_c D$

shows $\neg \text{interp } N D \models C - \{\#Pos A\}$

<proof>

lemma *production-subset-Union-production:*

$\bigwedge C N. C \in N \implies \text{production } N C \subseteq (\bigcup D \in N. \text{production } N D)$
 $\langle \text{proof} \rangle$

lemma *interp-subset-Union-production:*

$\bigwedge C N. C \in N \implies \text{interp } N C \subseteq (\bigcup D \in N. \text{production } N D)$
 $\langle \text{proof} \rangle$

lemma *model-construction:*

fixes

$N :: 'f \text{ gterm atom clause set}$ **and**

$C :: 'f \text{ gterm atom clause}$

assumes $G.\text{saturated } N$ **and** $\{\#\} \notin N$ **and** $C\text{-in: } C \in N$

shows

$\text{production } N C = \{\}$ \longleftrightarrow $\text{interp } N C \models C$

$(\bigcup D \in N. \text{production } N D) \models C$

$D \in N \implies C \prec_c D \implies \text{interp } N D \models C$

$\langle \text{proof} \rangle$

lemma

assumes $\text{clause-order.is-least-in-fset } N C$ **and** $A \in \text{production } (\text{fset } N) C$

shows $\bigwedge A'. A' \prec_t A \implies A' \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

$\langle \text{proof} \rangle$

lemma *lesser-atoms-not-in-previous-interp-are-not-in-final-interp-if-productive:*

assumes $A \in \text{production } (\text{fset } N) C$

shows $\bigwedge A'. A' \prec_t A \implies A' \notin \text{interp } (\text{fset } N) C \implies A' \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

$\langle \text{proof} \rangle$

lemma *lesser-atoms-not-in-previous-interp-are-not-in-final-interp-if-not-productive:*

assumes $\text{literal-order.is-maximal-in-mset } C L$ **and** $\text{production } (\text{fset } N) C = \{\}$

shows $\bigwedge A'. A' \prec_t \text{atm-of } L \implies A' \notin \text{interp } (\text{fset } N) C \implies A' \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

$\langle \text{proof} \rangle$

lemma *lesser-atoms-not-in-previous-interp-are-not-in-final-interp:*

fixes A

assumes

$L\text{-max: literal-order.is-maximal-in-mset } C L$ **and**

$A\text{-less: } A \prec_t \text{atm-of } L$ **and**

$A\text{-no-in: } A \notin \text{interp } (\text{fset } N) C$

shows $A \notin (\bigcup D \in \text{fset } N. \text{production } (\text{fset } N) D)$

$\langle \text{proof} \rangle$

lemma *lesser-atoms-in-previous-interp-are-in-final-interp:*

fixes A

assumes

$L\text{-max: literal-order.is-maximal-in-mset } C L$ **and**

A-less: $A \prec_t \text{atm-of } L$ **and**
A-in: $A \in \text{interp } N C$
shows $A \in (\bigcup D \in N. \text{production } N D)$
 ⟨proof⟩

lemma *interp-fixed-for-smaller-literals*:

fixes A
assumes
L-max: *literal-order.is-maximal-in-mset* $C L$ **and**
A-less: $A \prec_t \text{atm-of } L$ **and**
 $C \prec_c D$
shows $A \in \text{interp } N C \longleftrightarrow A \in \text{interp } N D$
 ⟨proof⟩

lemma *neg-lits-not-in-model-stay-out-of-model*:

assumes
L-in: $L \in \# C$ **and**
L-neg: *is-neg* L **and**
atm-L-not-in: $\text{atm-of } L \notin \text{interp } N C$
shows $\text{atm-of } L \notin (\bigcup D \in N. \text{production } N D)$
 ⟨proof⟩

lemma *neg-lits-already-in-model-stay-in-model*:

assumes
L-in: $L \in \# C$ **and**
L-neg: *is-neg* L **and**
atm-L-not-in: $\text{atm-of } L \in \text{interp } N C$
shows $\text{atm-of } L \in (\bigcup D \in N. \text{production } N D)$
 ⟨proof⟩

lemma *image-eq-imageI*:

assumes $\bigwedge x. x \in X \implies f x = g x$
shows $f \text{' } X = g \text{' } X$
 ⟨proof⟩

lemma *production-swap-clause-set*:

assumes
agree: $\{D \in N1. D \preceq_c C\} = \{D \in N2. D \preceq_c C\}$
shows $\text{production } N1 C = \text{production } N2 C$
 ⟨proof⟩

lemma *interp-swap-clause-set*:

assumes *agree*: $\{D \in N1. D \prec_c C\} = \{D \in N2. D \prec_c C\}$
shows $\text{interp } N1 C = \text{interp } N2 C$
 ⟨proof⟩

definition *interp'* **where**

interp' $N \equiv (\bigcup C \in N. \text{production } N C)$

lemma *interp-eq-interp'*: $\text{interp } N D = \text{interp}' \{C \in N. C \prec_c D\}$
 ⟨proof⟩

lemma *production-unfold''*: $\text{production } N C = \{A \mid A.$
 $C \in N \wedge \text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal-lit } (\text{Pos } A) C \wedge$
 $\neg \text{interp}' \{B \in N. B \prec_c C\} \models C\}$
 ⟨proof⟩

lemma *Interp-swap-clause-set*:
assumes *agree*: $\{D \in N1. D \preceq_c C\} = \{D \in N2. D \preceq_c C\}$
shows $\text{interp } N1 C \cup \text{production } N1 C = \text{interp } N2 C \cup \text{production } N2 C$
 ⟨proof⟩

lemma *production-insert-greater-clause*:
assumes $C \prec_c D$
shows $\text{production } (\text{insert } D N) C = \text{production } N C$
 ⟨proof⟩

lemma *interp-insert-greater-clause-strong*:
assumes $C \preceq_c D$
shows $\text{interp } (\text{insert } D N) C = \text{interp } N C$
 ⟨proof⟩

lemma *interp-insert-greater-clause*:
assumes $C \prec_c D$
shows $\text{interp } (\text{insert } D N) C = \text{interp } N C$
 ⟨proof⟩

lemma *Interp-insert-greater-clause*:
assumes $C \prec_c D$
shows $\text{interp } (\text{insert } D N) C \cup \text{production } (\text{insert } D N) C = \text{interp } N C \cup$
 $\text{production } N C$
 ⟨proof⟩

lemma *production-add-irrelevant-clause-to-set0*:
assumes
 $\text{fin: finite } N$ **and**
 $D\text{-irrelevant: } E \in N \ E \subset \# D \ \text{set-mset } D = \text{set-mset } E$ **and**
 $\text{no-select: select } E = \{\#\}$
shows $\text{production } (\text{insert } D N) D = \{\}$
 ⟨proof⟩

lemma *production-add-irrelevant-clause-to-set*:
assumes
 $\text{fin: finite } N$ **and**
 $C\text{-in: } C \in N$ **and**
 $D\text{-irrelevant: } \exists E \in N. E \subset \# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
 $\text{no-select: } \bigwedge C. \text{select } C = \{\#\}$

shows $\text{production } (\text{insert } D \ N) \ C = \text{production } N \ C$
 ⟨proof⟩

lemma *production-add-irrelevant-clauses-to-set0*:

assumes

fin: $\text{finite } N \ \text{finite } N'$ **and**

D-in: $D \in N'$ **and**

irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**

no-select: $\bigwedge C. \text{select } C = \{\#\}$

shows $\text{production } (N \cup N') \ D = \{\}$

⟨proof⟩

lemma *production-add-irrelevant-clauses-to-set*:

assumes

fin: $\text{finite } N \ \text{finite } N'$ **and**

C-in: $C \in N$ **and**

irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**

no-select: $\bigwedge C. \text{select } C = \{\#\}$

shows $\text{production } (N \cup N') \ C = \text{production } N \ C$

⟨proof⟩

lemma *interp-add-irrelevant-clauses-to-set*:

assumes

fin: $\text{finite } N \ \text{finite } N'$ **and**

C-in: $C \in N$ **and**

irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**

no-select: $\bigwedge C. \text{select } C = \{\#\}$

shows $\text{interp } (N \cup N') \ C = \text{interp } N \ C$

⟨proof⟩

lemma *interp-add-irrelevant-clauses-to-set'*:

assumes

fin: $\text{finite } N \ \text{finite } N'$ **and**

C-in: $C \in N$ **and**

irrelevant: $\forall D \in N'. \exists E \in N. E \subseteq\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**

no-select: $\bigwedge C. \text{select } C = \{\#\}$

shows $\text{interp } (N \cup N') \ C = \text{interp } N \ C$

⟨proof⟩

lemma *lesser-entailed-clause-stays-entailed'*:

assumes $C \preceq_c D$ **and** *D-lt*: $D \prec_c E$ **and** *C-entailed*: $\text{interp } N \ D \cup \text{production } N \ D \models C$

shows $\text{interp } N \ E \models C$

⟨proof⟩

lemma *lesser-entailed-clause-stays-entailed*:

assumes *C-le*: $C \preceq_c D$ **and** *D-lt*: $D \prec_c E$ **and** *C-entailed*: $\text{interp } N \ D \cup \text{production } N \ D \models C$

shows $\text{interp } N \ E \cup \text{production } N \ E \models C$

<proof>

lemma *entailed-clause-stays-entailed'*:

assumes *C-lt*: $C \prec_c D$ **and** *C-entailed*: $\text{interp } N C \cup \text{production } N C \models C$

shows $\text{interp } N D \models C$

<proof>

lemma *entailed-clause-stays-entailed*:

assumes *C-lt*: $C \prec_c D$ **and** *C-entailed*: $\text{interp } N C \cup \text{production } N C \models C$

shows $\text{interp } N D \cup \text{production } N D \models C$

<proof>

lemma *multp-if-all-left-smaller*: $M2 \neq \{\#\} \implies \forall k \in \#M1. \exists j \in \#M2. R k j \implies$
multp $R M1 M2$

<proof>

lemma

fixes

P1 :: 'f gterm **and**

C1 :: 'f gterm clause **and**

N :: 'f gterm clause set

defines

C1 $\equiv \{\#Neg P1\#$ **and**

N $\equiv \{C1\}$

assumes

no-select: $\bigwedge C. \text{select } C = \{\#\}$

shows

False

<proof>

lemma

fixes

P1 P2 :: 'f gterm **and**

C1 :: 'f gterm clause **and**

N :: 'f gterm clause set

defines

C1 $\equiv \{\#Pos P1, Neg P2\#$ **and**

N $\equiv \{C1\}$

assumes

term-order: $P1 \prec_t P2$ **and**

no-select: $\bigwedge C. \text{select } C = \{\#\}$

shows *False*

<proof>

lemma

fixes

```

    P1 P2 P3 P4 :: 'f gterm and
    C1 C2 C3 C4 C5 :: 'f gterm clause and
    N :: 'f gterm clause set
defines
    C1 ≡ {#Neg P1, Neg P2#} and
    C2 ≡ {#Pos P2, Neg P3#} and
    C3 ≡ {#Pos P1, Pos P2, Pos P4#} and
    C4 ≡ {#Pos P2, Pos P3, Pos P4#} and
    C5 ≡ {#Pos P2, Neg P4#} and
    N ≡ {C1, C2, C3, C4, C5}
assumes
    term-order: P1 <t P2 P2 <t P3 P3 <t P4 and
    no-select:  $\bigwedge C. \text{select } C = \{\#\}$ 
shows
    C1 <c C2 C2 <c C3 C3 <c C4 C4 <c C5
⟨proof⟩

interpretation G: statically-complete-calculus G-Bot G-Inf G-entails G.Red-I G.Red-F
⟨proof⟩

end

end
theory Lower-Set
  imports Main
begin

definition is-lower-set-wrt :: ('a ⇒ 'a ⇒ bool) ⇒ 'a set ⇒ 'a set ⇒ bool where
  transp-on X R ⇒ asymp-on X R ⇒
  is-lower-set-wrt R L X ⇔ L ⊆ X ∧ (∀ l ∈ L. ∀ x ∈ X. R x l → x ∈ L)

definition is-strict-lower-set-wrt :: ('a ⇒ 'a ⇒ bool) ⇒ 'a set ⇒ 'a set ⇒ bool
where
  transp-on X R ⇒ asymp-on X R ⇒
  is-strict-lower-set-wrt R L X ⇔ L ⊂ X ∧ (∀ l ∈ L. ∀ x ∈ X. R x l → x ∈ L)

lemma is-lower-set-wrt-empty:
  fixes X :: 'a set and R :: 'a ⇒ 'a ⇒ bool
  assumes transp-on X R and asymp-on X R
  shows is-lower-set-wrt R {} X
  ⟨proof⟩

lemma is-lower-set-wrt-refl:
  fixes X :: 'a set and R :: 'a ⇒ 'a ⇒ bool
  assumes transp-on X R and asymp-on X R
  shows is-lower-set-wrt R X X
  ⟨proof⟩

```

lemma *is-lower-set-wrt-trans*:

fixes $X Y Z :: 'a \text{ set}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes

transp-on $Z R$ **and** *asypm-on* $Z R$ **and**

is-lower-set-wrt $R X Y$ **and** *is-lower-set-wrt* $R Y Z$

shows *is-lower-set-wrt* $R X Z$

<proof>

lemma *is-lower-set-wrt-antisym*:

fixes $X Y :: 'a \text{ set}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes

transp-on $Y R$ **and** *asypm-on* $Y R$ **and**

is-lower-set-wrt $R X Y$ **and** *is-lower-set-wrt* $R Y X$

shows $X = Y$

<proof>

lemma *order-is-lower-set-wrt*:

fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes *transp* R **and** *asypm* R

shows *class.order* (*is-lower-set-wrt* R) (*is-strict-lower-set-wrt* R)

<proof>

lemma *is-lower-set-wrt-insertI*:

assumes *transp-on* (*insert* $x X$) R **and** *asypm-on* (*insert* $x X$) R **and**

$x \in X$ **and** $\forall w \in X. R w x \longrightarrow w \in L$ **and** *is-lower-set-wrt* $R L X$

shows *is-lower-set-wrt* R (*insert* $x L$) X

<proof>

lemma *lower-set-wrt-appendI*:

assumes

trans: *transp-on* (*set* ($xs @ ys$)) R **and**

asym: *asypm-on* (*set* ($xs @ ys$)) R **and**

sorted: *sorted-wrt* R ($xs @ ys$)

shows *is-lower-set-wrt* R (*set* xs) (*set* ($xs @ ys$))

<proof>

lemma *sorted-and-lower-set-wrt-appendD-left*:

assumes *transp-on* $A R$ **and** *asypm-on* $A R$ **and**

sorted-wrt R ($xs @ ys$) **and** *is-lower-set-wrt* R (*set* ($xs @ ys$)) A

shows *sorted-wrt* R xs **and** *is-lower-set-wrt* R (*set* xs) A

<proof>

lemma *sorted-and-lower-set-wrt-appendD-right*:

assumes *transp-on* $A R$ **and** *asypm-on* $A R$ **and**

sorted-wrt $(\lambda x y. R y x)$ ($xs @ ys$) **and** *is-lower-set-wrt* R (*set* ($xs @ ys$)) A

shows *sorted-wrt* $(\lambda x y. R y x)$ ys **and** *is-lower-set-wrt* R (*set* ys) A

<proof>

lemma *not-in-lower-set-wrtI*:

```

fixes  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ 
assumes  $\text{trans: trans-on } Y \ R$  and  $\text{asym: asymp-on } Y \ R$ 
shows  $\text{is-lower-set-wrt } R \ X \ Y \Longrightarrow y \notin X \Longrightarrow y \in Y \Longrightarrow R \ y \ z \Longrightarrow z \notin X$ 
<proof>

abbreviation (in preorder)  $\text{is-lower-set where}$ 
 $\text{is-lower-set} \equiv \text{is-lower-set-wrt } (<)$ 

lemmas (in preorder)  $\text{is-lower-set-iff} =$ 
 $\text{is-lower-set-wrt-def}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

context  $\text{linorder begin}$ 

sublocale  $\text{is-lower-set: order is-lower-set-wrt } (<) \ \text{is-strict-lower-set-wrt } (<)$ 
<proof>

end

lemmas (in preorder)  $\text{is-lower-set-empty}[simp] =$ 
 $\text{is-lower-set-wrt-empty}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

lemmas (in preorder)  $\text{is-lower-set-insertI} =$ 
 $\text{is-lower-set-wrt-insertI}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

lemmas (in preorder)  $\text{lower-set-appendI} =$ 
 $\text{lower-set-wrt-appendI}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

lemmas (in preorder)  $\text{sorted-and-lower-set-appendD-left} =$ 
 $\text{sorted-and-lower-set-wrt-appendD-left}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

lemmas (in preorder)  $\text{sorted-and-lower-set-appendD-right} =$ 
 $\text{sorted-and-lower-set-wrt-appendD-right}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

lemmas (in preorder)  $\text{not-in-lower-setI} =$ 
 $\text{not-in-lower-set-wrtI}[OF \ \text{trans-on-less} \ \text{asymp-on-less}]$ 

end
theory  $\text{HOL-Extra-Extra}$ 
imports  $\text{First-Order-Clause.HOL-Extra}$ 
begin

no-notation  $\text{restrict-map (infixl } |' \ 110)$ 

lemma
assumes  $\exists_{\leq 1} x. P \ x$ 
shows  $\text{finite } \{x. P \ x\}$ 
<proof>

```

lemma *finite-if-Uniq-Uniq*:

assumes

$\exists_{\leq 1} x. P x$

$\forall x. \exists_{\leq 1} y. Q x y$

shows $\text{finite } \{y. \exists x. P x \wedge Q x y\}$

<proof>

lemma *finite-if-finite-finite*:

assumes

$\text{finite } \{x. P x\}$

$\forall x. \text{finite } \{y. Q x y\}$

shows $\text{finite } \{y. \exists x. P x \wedge Q x y\}$

<proof>

lemma (in *order*) *greater-wfp-on-finite-set*: $\text{finite } \mathcal{X} \implies \text{Wellfounded.wfp-on } \mathcal{X}$

($>$)

<proof>

lemma (in *order*) *less-wfp-on-finite-set*: $\text{finite } \mathcal{X} \implies \text{Wellfounded.wfp-on } \mathcal{X}$ ($<$)

<proof>

lemma *sorted-wrt-dropWhile*: $\text{sorted-wrt } R \text{ } xs \implies \text{sorted-wrt } R \text{ } (\text{dropWhile } P \text{ } xs)$

<proof>

lemma *sorted-wrt-takeWhile*: $\text{sorted-wrt } R \text{ } xs \implies \text{sorted-wrt } R \text{ } (\text{takeWhile } P \text{ } xs)$

<proof>

lemma *distinct-if-sorted-wrt-asymp*:

assumes *asymp-on* (set *xs*) *R* **and** *sorted-wrt* *R* *xs*

shows *distinct* *xs*

<proof>

lemma *dropWhile-append-eq-rhs*:

fixes *xs ys* :: 'a list **and** *P* :: 'a \Rightarrow bool

assumes

$\bigwedge x. x \in \text{set } xs \implies P x$ **and**

$\bigwedge y. y \in \text{set } ys \implies \neg P y$

shows $\text{dropWhile } P \text{ } (xs @ ys) = ys$

<proof>

lemma *mem-set-dropWhile-conv-if-list-sorted-and-pred-monotone*:

fixes *R* :: 'a \Rightarrow 'a \Rightarrow bool **and** *xs* :: 'a list **and** *P* :: 'a \Rightarrow bool

assumes *sorted-wrt* *R* *xs* **and** *monotone-on* (set *xs*) *R* (\geq) *P*

shows $x \in \text{set } (\text{dropWhile } P \text{ } xs) \iff \neg P x \wedge x \in \text{set } xs$

<proof>

lemma *ball-set-dropWhile-if-sorted-wrt-and-monotone-on*:

fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **and** $xs :: 'a \text{ list}$ **and** $P :: 'a \Rightarrow \text{bool}$
assumes *sorted-wrt* R xs **and** *monotone-on* $(\text{set } xs)$ R (\geq) P
shows $\forall x \in \text{set } (\text{dropWhile } P \ xs). \neg P \ x$
 $\langle \text{proof} \rangle$

lemma *filter-set-eq-filter-set-minus-singleton*:
assumes $\neg P \ y$
shows $\{x \in X. P \ x\} = \{x \in X - \{y\}. P \ x\}$
 $\langle \text{proof} \rangle$

lemma *ex1-subset-eq-image-if-bij-betw*:
fixes $f :: 'a \Rightarrow 'b$ **and** $X :: 'a \text{ set}$ **and** $Y :: 'b \text{ set}$
assumes *bij-betw* f X Y **and** $Y' \subseteq Y$
shows $\exists! X'. X' \subseteq X \wedge Y' = f \ ` \ X'$
 $\langle \text{proof} \rangle$

lemma *Collect-eq-image-filter-Collect-if-bij-betw*:
fixes $f :: 'a \Rightarrow 'b$ **and** $X :: 'a \text{ set}$ **and** $Y :: 'b \text{ set}$
assumes *bij*: *bij-betw* f X Y **and** *sub*: $\{y. P \ y\} \subseteq Y$
shows $\{y. P \ y\} = f \ ` \ \{x. x \in X \wedge P \ (f \ x)\}$
 $\langle \text{proof} \rangle$

lemma (**in** *linorder*) *ex1-sorted-list-for-set-if-finite*:
 $\text{finite } X \Longrightarrow \exists! xs. \text{sorted-wrt } (<) \ xs \wedge \text{set } xs = X$
 $\langle \text{proof} \rangle$

lemma *restrict-map-ident-if-dom-subset*: $\text{dom } \mathcal{M} \subseteq A \Longrightarrow \text{restrict-map } \mathcal{M} \ A = \mathcal{M}$
 $\langle \text{proof} \rangle$

lemma *dropWhile-ident-if-pred-always-false*:
assumes $\bigwedge x. x \in \text{set } xs \Longrightarrow \neg P \ x$
shows $\text{dropWhile } P \ xs = xs$
 $\langle \text{proof} \rangle$

1.6 Move to HOL.Transitive-Closure

lemma *relpowp-right-unique*:
fixes $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ **and** $n :: \text{nat}$ **and** $x \ y \ z :: 'a$
assumes *runique*: $\bigwedge x \ y \ z. R \ x \ y \Longrightarrow R \ x \ z \Longrightarrow y = z$
shows $(R \ \overset{\sim}{\sim} \ n) \ x \ y \Longrightarrow (R \ \overset{\sim}{\sim} \ n) \ x \ z \Longrightarrow y = z$
 $\langle \text{proof} \rangle$

lemma *Uniq-relpowp*:
fixes $n :: \text{nat}$ **and** $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
assumes *runiq*: $\forall x. \exists_{\leq 1} y. R \ x \ y$
shows $\exists_{\leq 1} y. (R \ \overset{\sim}{\sim} \ n) \ x \ y$
 $\langle \text{proof} \rangle$

lemma *relpowp-plus-of-right-unique*:

assumes

right-unique R

$(R \rightsquigarrow m) x y$ **and**

$(R \rightsquigarrow (m + n)) x z$

shows $(R \rightsquigarrow n) y z$

<proof>

lemma *relpowp-plusD*:

assumes $(R \rightsquigarrow (m + n)) x z$

shows $\exists y. (R \rightsquigarrow m) x y \wedge (R \rightsquigarrow n) y z$

<proof>

lemma *relpowp-Suc-of-right-unique*:

assumes

right-unique R

$R x y$ **and**

$(R \rightsquigarrow \text{Suc } n) x z$

shows $(R \rightsquigarrow n) y z$

<proof>

lemma *tranclp-if-relpowp*: $n \neq 0 \implies (R \rightsquigarrow n) x y \implies R^{++} x y$

<proof>

lemma *transp-on-singleton[simp]*: *transp-on* $\{x\} R$

<proof>

lemma *rtranclp-rtranclp-compose-if-right-unique*:

assumes *runique*: *right-unique* R **and** $R^{**} a b$ **and** $R^{**} a c$

shows $R^{**} a b \wedge R^{**} b c \vee R^{**} a c \wedge R^{**} c b$

<proof>

lemma *right-unique-terminating-rtranclp*:

assumes *right-unique* R

shows *right-unique* $(\lambda x y. R^{**} x y \wedge (\nexists z. R y z))$

<proof>

end

theory *The-Optional*

imports *Main*

begin

definition *The-optional* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ option}$ **where**

The-optional $P = (\text{if } \exists! x. P x \text{ then } \text{Some } (\text{THE } x. P x) \text{ else } \text{None})$

lemma *The-optional-eq-SomeD*: *The-optional* $P = \text{Some } x \implies P x$

<proof>

lemma *Some-eq-The-optionalD*: *Some* $x = \text{The-optional } P \implies P x$

<proof>

lemma *The-optional-eq-NoneD: The-optional $P = \text{None} \implies \nexists!x. P x$*
<proof>

lemma *None-eq-The-optionalD: $\text{None} = \text{The-optional } P \implies \nexists!x. P x$*
<proof>

lemma *The-optional-eq-SomeI:*
assumes $\exists_{\leq 1}x. P x$ **and** $P x$
shows *The-optional $P = \text{Some } x$*
<proof>

end

theory *Full-Run*

imports

VeriComp.Transfer-Extras

HOL-Extra-Extra

begin

definition *full-run where*

*full-run $\mathcal{R} x y \longleftrightarrow \mathcal{R}^{**} x y \wedge (\nexists z. \mathcal{R} y z)$*

lemma *Uniq-full-run:*

assumes *Uniq-R: $\bigwedge x. \exists_{\leq 1}y. R x y$*

shows $\exists_{\leq 1}y. \text{full-run } R x y$

<proof>

lemma *ex1-full-run:*

assumes *Uniq-R: $\bigwedge x. \exists_{\leq 1}y. R x y$* **and** *wfP-R: $\text{wfP } R^{-1-1}$*

shows $\exists!y. \text{full-run } R x y$

<proof>

lemma *full-run-preserves-invariant:*

assumes

run: full-run $R x y$ **and**

P-init: $P x$ **and**

R-preserves-P: $\bigwedge x y. R x y \implies P x \implies P y$

shows $P y$

<proof>

end

theory *Background*

imports

Simple-Clause-Learning.SCL-FOL

Simple-Clause-Learning.Correct-Termination

Simple-Clause-Learning.Initial-Literals-Generalize-Learned-Literals

Simple-Clause-Learning.Termination

Ground-Ordered-Resolution

Min-Max-Least-Greatest.Min-Max-Least-Greatest-FSet
First-Order-Clause.Multiset-Extra
VeriComp.Compiler
HOL-ex.Sketch-and-Explore
HOL-Library.FuncSet
Lower-Set
HOL-Extra-Extra
The-Optional
Full-Run

begin

lemma $I \models l L \longleftrightarrow (is-pos L \longleftrightarrow atm-of L \in I)$
 <proof>

2 Move to *HOL-Library.Multiset*

lemmas *strict-subset-implies-multp = subset-implies-multp*

hide-fact *subset-implies-multp*

lemma *subset-implies-reflclp-multp*: $A \subseteq\# B \implies (multp R)^{==} A B$
 <proof>

lemma *member-mset-repeat-msetD*: $L \in\# repeat-mset n M \implies L \in\# M$
 <proof>

lemma *member-mset-repeat-mset-Suc[simp]*: $L \in\# repeat-mset (Suc n) M \longleftrightarrow L \in\# M$
 <proof>

lemma *image-msetI*: $x \in\# M \implies f x \in\# image-mset f M$
 <proof>

lemma *inj-image-mset-mem-iff*: $inj f \implies f x \in\# image-mset f M \longleftrightarrow x \in\# M$
 <proof>

3 Move to *HOL-Library.FSet*

declare *wfP-pfsubset[intro]*

syntax

-FFilter :: *pttrn* \Rightarrow *'a fset* \Rightarrow *bool* \Rightarrow *'a fset* $((1\{-|\in| -/ -\})$)

translations

$\{|x|\in| X. P|\} == CONST ffilter (\lambda x. P) X$

lemma *fimage-ffUnion*: $f \upharpoonright\{ fffUnion SS = fffUnion ((\upharpoonright\{) f \upharpoonright\{ SS)$
 <proof>

lemma *ffilter-eq-ffilter-minus-singleton*:

assumes $\neg P y$

shows $\{|x | \in| X. P x|\} = \{|x | \in| X - \{|y|\}. P x|\}$

<proof>

lemma *fun-upd-fimage*: $f(x := y) | \cdot | A = (\text{if } x | \in| A \text{ then } \text{finsert } y (f | \cdot | (A - \{|x|\})) \text{ else } f | \cdot | A)$

<proof>

lemma *ffilter-fempty[simp]*: $\text{ffilter } P \{\}\} = \{\}\}$

<proof>

lemma *fstrict-subset-iff-fset-strict-subset-fset*:

fixes $\mathcal{X} \ \mathcal{Y} :: \text{-fset}$

shows $\mathcal{X} | \subset | \mathcal{Y} \longleftrightarrow \text{fset } \mathcal{X} \subset \text{fset } \mathcal{Y}$

<proof>

lemma (in *linorder*) *ex1-sorted-list-for-fset*:

$\exists ! xs. \text{sorted-wrt } (<) \ xs \wedge \text{fset-of-list } xs = X$

<proof>

lemma (in *linorder*) *is-least-in-fset-ffilterD*:

assumes *is-least-in-fset-wrt* ($<$) (*ffilter* P X) x

shows $x | \in| X \ P \ x$

<proof>

4 Move to *VeriComp.Simulation*

locale *forward-simulation-with-measuring-function* =

L1: *semantics step1 final1* +

L2: *semantics step2 final2*

for

step1 :: $'state1 \Rightarrow 'state1 \Rightarrow \text{bool}$ **and**

step2 :: $'state2 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and**

final1 :: $'state1 \Rightarrow \text{bool}$ **and**

final2 :: $'state2 \Rightarrow \text{bool}$ +

fixes

match :: $'state1 \Rightarrow 'state2 \Rightarrow \text{bool}$ **and**

measure :: $'state1 \Rightarrow 'index$ **and**

order :: $'index \Rightarrow 'index \Rightarrow \text{bool}$ (**infix** \square 70)

assumes

wfp-order:

wfp (\square) **and**

match-final:

match $s1 \ s2 \Longrightarrow \text{final1 } s1 \Longrightarrow \text{final2 } s2$ **and**

simulation:

match $s1 \ s2 \Longrightarrow \text{step1 } s1 \ s1' \Longrightarrow$

$(\exists s2'. \text{step2}^{++} \ s2 \ s2' \wedge \text{match } s1' \ s2') \vee (\text{match } s1' \ s2 \wedge \text{measure } s1' \square$

measure $s1)$

begin

sublocale *forward-simulation* **where**

step1 = *step1* **and** *step2* = *step2* **and** *final1* = *final1* **and** *final2* = *final2* **and**
order = *order* **and**

match = $\lambda i x y. i = \text{measure } x \wedge \text{match } x y$
(*proof*)

end

locale *backward-simulation-with-measuring-function* =

L1: *semantics step1 final1* +

L2: *semantics step2 final2*

for

step1 :: 'state1 \Rightarrow 'state1 \Rightarrow bool **and**

step2 :: 'state2 \Rightarrow 'state2 \Rightarrow bool **and**

final1 :: 'state1 \Rightarrow bool **and**

final2 :: 'state2 \Rightarrow bool +

fixes

match :: 'state1 \Rightarrow 'state2 \Rightarrow bool **and**

measure :: 'state2 \Rightarrow 'index **and**

order :: 'index \Rightarrow 'index \Rightarrow bool (**infix** \square 70)

assumes

wfp-order:

wfp (\square) **and**

match-final:

match s1 s2 \implies *final2 s2* \implies *final1 s1* **and**

simulation:

match s1 s2 \implies *step2 s2 s2'* \implies

$(\exists s1'. \text{step1}^{++} s1 s1' \wedge \text{match } s1' s2') \vee (\text{match } s1 s2' \wedge \text{measure } s2' \square$

measure s2)

begin

sublocale *backward-simulation* **where**

step1 = *step1* **and** *step2* = *step2* **and** *final1* = *final1* **and** *final2* = *final2* **and**
order = *order* **and**

match = $\lambda i x y. i = \text{measure } y \wedge \text{match } x y$
(*proof*)

end

5 Move to *Simple-Clause-Learning.SCL-FOL*

definition *trail-true-lit* :: (- literal \times - option) list \Rightarrow - literal \Rightarrow bool **where**

trail-true-lit $\Gamma L \longleftrightarrow L \in \text{fst } \text{' set } \Gamma$

definition *trail-false-lit* :: (- literal \times - option) list \Rightarrow - literal \Rightarrow bool **where**

trail-false-lit $\Gamma L \longleftrightarrow \neg L \in \text{fst } \text{' set } \Gamma$

definition *trail-true-cls* :: (- literal × - option) list ⇒ - clause ⇒ bool **where**
trail-true-cls Γ C ↔ (∃ L ∈ # C. *trail-true-lit* Γ L)

definition *trail-false-cls* :: (- literal × - option) list ⇒ - clause ⇒ bool **where**
trail-false-cls Γ C ↔ (∀ L ∈ # C. *trail-false-lit* Γ L)

lemma *trail-false-cls-mempty[simp]*: *trail-false-cls* Γ {#}
 ⟨proof⟩

definition *trail-defined-lit* :: (- literal × - option) list ⇒ - literal ⇒ bool **where**
trail-defined-lit Γ L ↔ (L ∈ fst ' set Γ ∨ - L ∈ fst ' set Γ)

lemma *trail-defined-lit-iff*: *trail-defined-lit* Γ L ↔ atm-of L ∈ atm-of ' fst ' set Γ
 ⟨proof⟩

definition *trail-defined-cls* :: (- literal × - option) list ⇒ - clause ⇒ bool **where**
trail-defined-cls Γ C ↔ (∀ L ∈ # C. *trail-defined-lit* Γ L)

lemma *trail-defined-lit-iff-true-or-false*:
trail-defined-lit Γ L ↔ *trail-true-lit* Γ L ∨ *trail-false-lit* Γ L
 ⟨proof⟩

lemma *trail-true-or-false-cls-if-defined*:
trail-defined-cls Γ C ⇒ *trail-true-cls* Γ C ∨ *trail-false-cls* Γ C
 ⟨proof⟩

lemma *subtrail-falseI*:
assumes *tr-false*: *trail-false-cls* ((L, Cl) # Γ) C **and** *L-not-in*: -L ∉ # C
shows *trail-false-cls* Γ C
 ⟨proof⟩

inductive *trail-consistent* :: ('a literal × 'b option) list ⇒ bool **where**
Nil[simp]: *trail-consistent* [] |
Cons: ¬ *trail-defined-lit* Γ L ⇒ *trail-consistent* Γ ⇒ *trail-consistent* ((L, u) # Γ)

lemma *distinct-lits-if-trail-consistent*:
trail-consistent Γ ⇒ *distinct* (map fst Γ)
 ⟨proof⟩

lemma *trail-true-lit-if-trail-true-suffix*:
suffix Γ' Γ ⇒ *trail-true-lit* Γ' K ⇒ *trail-true-lit* Γ K
 ⟨proof⟩

lemma *trail-true-cls-if-trail-true-suffix*:
suffix Γ' Γ ⇒ *trail-true-cls* Γ' C ⇒ *trail-true-cls* Γ C
 ⟨proof⟩

lemma *trail-false-lit-if-trail-false-suffix*:

suffix $\Gamma' \Gamma \implies \text{trail-false-lit } \Gamma' K \implies \text{trail-false-lit } \Gamma K$

<proof>

lemma *trail-false-cls-if-trail-false-suffix*:

suffix $\Gamma' \Gamma \implies \text{trail-false-cls } \Gamma' C \implies \text{trail-false-cls } \Gamma C$

<proof>

lemma *trail-defined-lit-if-trail-defined-suffix*:

suffix $\Gamma' \Gamma \implies \text{trail-defined-lit } \Gamma' K \implies \text{trail-defined-lit } \Gamma K$

<proof>

lemma *trail-defined-cls-if-trail-defined-suffix*:

suffix $\Gamma' \Gamma \implies \text{trail-defined-cls } \Gamma' C \implies \text{trail-defined-cls } \Gamma C$

<proof>

lemma *not-trail-true-lit-and-trail-false-lit*:

fixes $\Gamma :: ('a \text{ literal} \times 'b \text{ option}) \text{ list}$ **and** $L :: 'a \text{ literal}$

shows $\text{trail-consistent } \Gamma \implies \neg (\text{trail-true-lit } \Gamma L \wedge \text{trail-false-lit } \Gamma L)$

<proof>

lemma *not-trail-true-cls-and-trail-false-cls*:

fixes $\Gamma :: ('a \text{ literal} \times 'b \text{ option}) \text{ list}$ **and** $C :: 'a \text{ clause}$

shows $\text{trail-consistent } \Gamma \implies \neg (\text{trail-true-cls } \Gamma C \wedge \text{trail-false-cls } \Gamma C)$

<proof>

lemma *not-lit-and-comp-lit-false-if-trail-consistent*:

assumes $\text{trail-consistent } \Gamma$

shows $\neg (\text{trail-false-lit } \Gamma L \wedge \text{trail-false-lit } \Gamma (\neg L))$

<proof>

lemma *not-both-lit-and-comp-lit-in-false-clause-if-trail-consistent*:

assumes $\Gamma\text{-consistent: trail-consistent } \Gamma$ **and** $C\text{-false: trail-false-cls } \Gamma C$

shows $\neg (L \in\# C \wedge \neg L \in\# C)$

<proof>

6 Move to ground ordered resolution

lemma (*in ground-ordered-resolution-calculus*) *unique-ground-resolution*:

shows $\exists_{\leq 1} C. \text{ground-resolution } P1 P2 C$

<proof>

lemma (*in ground-ordered-resolution-calculus*) *unique-ground-factoring*:

shows $\exists_{\leq 1} C. \text{ground-factoring } P C$

<proof>

lemma (*in ground-ordered-resolution-calculus*) *termination-ground-factoring*:

shows $wfP \text{ground-factoring}^{-1-1}$

<proof>

lemma (in *ground-ordered-resolution-calculus*) *atms-of-concl-subset-if-ground-resolution*:
assumes *ground-resolution* $P_1 P_2 C$
shows $\text{atms-of } C \subseteq \text{atms-of } P_1 \cup \text{atms-of } P_2$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *strict-subset-mset-if-ground-factoring*:
assumes *ground-factoring* $P C$
shows $C \subset\# P$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *set-mset-eq-set-mset-if-ground-factoring*:
assumes *ground-factoring* $P C$
shows $\text{set-mset } P = \text{set-mset } C$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *atms-of-concl-eq-if-ground-factoring*:
assumes *ground-factoring* $P C$
shows $\text{atms-of } C = \text{atms-of } P$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *ground-factoring-preserves-maximal-literal*:
assumes *ground-factoring* $P C$
shows $\text{is-maximal-lit } L P = \text{is-maximal-lit } L C$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *ground-factorings-preserves-maximal-literal*:
assumes *ground-factoring*** $P C$
shows $\text{is-maximal-lit } L P = \text{is-maximal-lit } L C$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *ground-factoring-reduces-maximal-pos-lit*:
assumes *ground-factoring* $P C$ **and** *is-pos* L **and**
is-maximal-lit $L P$ **and** $\text{count } P L = \text{Suc } (\text{Suc } n)$
shows *is-maximal-lit* $L C$ **and** $\text{count } C L = \text{Suc } n$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *ground-factorings-reduces-maximal-pos-lit*:
assumes (*ground-factoring* $\hat{\sim} m$) $P C$ **and** $m \leq \text{Suc } n$ **and** *is-pos* L **and**
is-maximal-lit $L P$ **and** $\text{count } P L = \text{Suc } (\text{Suc } n)$
shows *is-maximal-lit* $L C$ **and** $\text{count } C L = \text{Suc } (\text{Suc } n - m)$
<proof>

lemma (in *ground-ordered-resolution-calculus*) *full-ground-factorings-reduces-maximal-pos-lit*:
assumes *steps*: (*ground-factoring* $\hat{\sim} \text{Suc } n$) $P C$ **and** *L-pos*: *is-pos* L **and**
L-max: *is-maximal-lit* $L P$ **and** *L-count*: $\text{count } P L = \text{Suc } (\text{Suc } n)$
shows *is-maximal-lit* $L C$ **and** $\text{count } C L = \text{Suc } 0$
<proof>

7 Move somewhere?

lemma *true-cls-imp-neq-empty*: $\mathcal{I} \models C \implies C \neq \{\#\}$
 ⟨proof⟩

lemma *lift-tranclp-to-pairs-with-constant-fst*:
 $(R\ x)^{++}\ y\ z \implies (\lambda(x, y)\ (x', z).\ x = x' \wedge R\ x\ y\ z)^{++}\ (x, y)\ (x, z)$
 ⟨proof⟩

abbreviation (in *preorder*) *is-lower-fset* **where**
is-lower-fset $X\ Y \equiv \text{is-lower-set-wrt } (<)\ (\text{fset } X)\ (\text{fset } Y)$

lemma *lower-set-wrt-prefixI*:
assumes
trans: *transp-on* (set *zs*) *R* **and**
asym: *asyp-on* (set *zs*) *R* **and**
sorted: *sorted-wrt* *R* *zs* **and**
prefix: *prefix* *xs* *zs*
shows *is-lower-set-wrt* *R* (set *xs*) (set *zs*)
 ⟨proof⟩

lemmas (in *preorder*) *lower-set-prefixI* =
lower-set-wrt-prefixI[OF *transp-on-less* *asyp-on-less*]

lemma *lower-set-wrt-suffixI*:
assumes
trans: *transp-on* (set *zs*) *R* **and**
asym: *asyp-on* (set *zs*) *R* **and**
sorted: *sorted-wrt* R^{-1-1} *zs* **and**
suffix: *suffix* *ys* *zs*
shows *is-lower-set-wrt* *R* (set *ys*) (set *zs*)
 ⟨proof⟩

lemmas (in *preorder*) *lower-set-suffixI* =
lower-set-wrt-suffixI[OF *transp-on-less* *asyp-on-less*]

lemma *true-cls-repeat-mset-Suc[simp]*: $I \models \text{repeat-mset } (\text{Suc } n)\ C \longleftrightarrow I \models C$
 ⟨proof⟩

lemma (in *backward-simulation*)
assumes *match* *i* *S1* *S2* **and** $\neg L1.\text{inf-step } S1$
shows $\neg L2.\text{inf-step } S2$
 ⟨proof⟩

lemma (in *scf-fol-calculus*) *grounding-of-cls-ground*:
assumes *is-ground-cls* *N*
shows *grounding-of-cls* $N = N$
 ⟨proof⟩

lemma (in *scl-fol-calculus*) *propagateI'*:

$C \in N \mid U \implies C = \text{add-mset } L \ C' \implies \text{is-ground-cls } (C \cdot \gamma) \implies$
 $\forall K \in \# \ C \cdot \gamma. \text{atm-of } K \preceq_B \beta \implies$
 $C_0 = \{\#K \in \# \ C'. K \cdot l \ \gamma \neq L \cdot l \ \gamma\} \implies C_1 = \{\#K \in \# \ C'. K \cdot l \ \gamma = L \cdot l \ \gamma\}$
 \implies
 $\text{SCL-FOL.trail-false-cls } \Gamma \ (C_0 \cdot \gamma) \implies \neg \text{SCL-FOL.trail-defined-lit } \Gamma \ (L \cdot l \ \gamma)$
 \implies
 $\text{is-ingu } \mu \ \{\text{atm-of ' set-mset (add-mset } L \ C_1)\} \implies$
 $\Gamma' = \text{trail-propagate } \Gamma \ (L \cdot l \ \mu) \ (C_0 \cdot \mu) \ \gamma \implies$
 $\text{propagate } N \ \beta \ (\Gamma, U, \text{None}) \ (\Gamma', U, \text{None})$
<proof>

lemma (in *scl-fol-calculus*) *decideI'*:

$\text{is-ground-lit } (L \cdot l \ \gamma) \implies \neg \text{SCL-FOL.trail-defined-lit } \Gamma \ (L \cdot l \ \gamma) \implies \text{atm-of } L \cdot a$
 $\gamma \preceq_B \beta \implies$
 $\Gamma' = \text{trail-decide } \Gamma \ (L \cdot l \ \gamma) \implies$
 $\text{decide } N \ \beta \ (\Gamma, U, \text{None}) \ (\Gamma', U, \text{None})$
<proof>

lemma *ground-iff-vars-term-empty*: $\text{ground } t \iff \text{vars-term } t = \{\}$
<proof>

lemma *is-ground-atm-eq-ground[iff]*: $\text{is-ground-atm} = \text{ground}$
<proof>

definition *lit-of-glit* :: 'f gterm literal \Rightarrow ('f, 'v) term literal **where**
 $\text{lit-of-glit} = \text{map-literal term-of-gterm}$

definition *glit-of-lit* **where**
 $\text{glit-of-lit} = \text{map-literal gterm-of-term}$

definition *cls-of-gcls* **where**
 $\text{cls-of-gcls} = \text{image-mset lit-of-glit}$

definition *gcls-of-cls* **where**
 $\text{gcls-of-cls} = \text{image-mset glit-of-lit}$

lemma *inj-lit-of-glit*: inj lit-of-glit
<proof>

lemma *atm-of-lit-of-glit-conv*: $\text{atm-of } (\text{lit-of-glit } L) = \text{term-of-gterm } (\text{atm-of } L)$
<proof>

lemma *ground-atm-of-lit-of-glit[simp]*: $\text{Term-Context.ground } (\text{atm-of } (\text{lit-of-glit } L))$
<proof>

lemma *is-ground-lit-lit-of-glit[simp]*: $\text{is-ground-lit } (\text{lit-of-glit } L)$
<proof>

lemma *is-ground-cls-cls-of-gcls[simp]*: *is-ground-cls* (*cls-of-gcls* *C*)
 ⟨*proof*⟩

lemma *glit-of-lit-lit-of-glit[simp]*: *glit-of-lit* (*lit-of-glit* *L*) = *L*
 ⟨*proof*⟩

lemma *gcls-of-cls-cls-of-gcls[simp]*: *gcls-of-cls* (*cls-of-gcls* *L*) = *L*
 ⟨*proof*⟩

lemma *lit-of-glit-glit-of-lit-ident[simp]*: *is-ground-lit* *L* \implies *lit-of-glit* (*glit-of-lit* *L*)
 = *L*
 ⟨*proof*⟩

lemma *cls-of-gcls-gcls-of-cls-ident[simp]*: *is-ground-cls* *D* \implies *cls-of-gcls* (*gcls-of-cls*
D) = *D*
 ⟨*proof*⟩

lemma *vars-lit-lit-of-glit[simp]*: *vars-lit* (*lit-of-glit* *L*) = {}
 ⟨*proof*⟩

lemma *vars-cls-cls-of-gcls[simp]*: *vars-cls* (*cls-of-gcls* *C*) = {}
 ⟨*proof*⟩

definition *atms-of-cls* :: 'a clause \Rightarrow 'a fset **where**
atms-of-cls *C* = *atm-of* | \uparrow | *fset-mset* *C*

definition *atms-of-clss* :: 'a clause fset \Rightarrow 'a fset **where**
atms-of-clss *N* = *ffUnion* (*atms-of-cls* | \uparrow | *N*)

lemma *atms-of-clss-empty[simp]*: *atms-of-clss* {} = {}
 ⟨*proof*⟩

lemma *atms-of-clss-finsert[simp]*:
atms-of-clss (*finsert* *C* *N*) = *atms-of-cls* *C* | \cup | *atms-of-clss* *N*
 ⟨*proof*⟩

definition *lits-of-clss* :: 'a clause fset \Rightarrow 'a literal fset **where**
lits-of-clss *N* = *ffUnion* (*fset-mset* | \uparrow | *N*)

definition *lit-occures-in-clss* **where**
lit-occures-in-clss *L* *N* \longleftrightarrow *fBex* *N* ($\lambda C. L \in \# C$)

inductive *constant-context* **for** *R* **where**
R *C* *D* *D'* \implies *constant-context* *R* (*C*, *D*) (*C*, *D'*)

lemma *rtranclp-constant-context*: (*R* *C*)** *D* *D'* \implies (*constant-context* *R*)** (*C*, *D*)
 (*C*, *D'*)
 ⟨*proof*⟩

lemma *trancpl-constant-context*: $(R\ C)^{++}\ \mathcal{D}\ \mathcal{D}' \implies (\text{constant-context } R)^{++}\ (\mathcal{C}, \mathcal{D})\ (\mathcal{C}, \mathcal{D}')$
 ⟨proof⟩

lemma *right-unique-constant-context*:
assumes $R\text{-ru}$: $\bigwedge C. \text{right-unique } (R\ C)$
shows $\text{right-unique } (\text{constant-context } R)$
 ⟨proof⟩

lemma *safe-state-constant-context-if-invars*:
fixes $N\ s$
assumes
 $\mathcal{R}\text{-preserves-}\mathcal{I}$:
 $\bigwedge N\ s\ s'. \mathcal{R}\ N\ s\ s' \implies \mathcal{I}\ N\ s \implies \mathcal{I}\ N\ s'$ **and**
 $\text{ex-}\mathcal{R}\text{-if-not-final}$:
 $\bigwedge N\ s. \neg \mathcal{F}\ (N, s) \implies \mathcal{I}\ N\ s \implies \exists s'. \mathcal{R}\ N\ s\ s'$
assumes invars : $\mathcal{I}\ N\ s$
shows $\text{safe-state } (\text{constant-context } \mathcal{R})\ \mathcal{F}\ (N, s)$
 ⟨proof⟩

primrec *trail-atms* :: $(- \text{ literal } \times -) \text{ list } \Rightarrow - \text{ fset}$ **where**
 $\text{trail-atms } [] = \{\}\}$ |
 $\text{trail-atms } (Ln\ \# \Gamma) = \text{finsert } (\text{atm-of } (\text{fst } Ln))\ (\text{trail-atms } \Gamma)$

lemma *fset-trail-atms*: $\text{fset } (\text{trail-atms } \Gamma) = \text{atm-of } ' \text{fst } ' \text{ set } \Gamma$
 ⟨proof⟩

lemma *trail-defined-lit-iff-trail-defined-atm*:
 $\text{trail-defined-lit } \Gamma\ L \longleftrightarrow \text{atm-of } L\ |\in|\ \text{trail-atms } \Gamma$
 ⟨proof⟩

lemma *trail-atms-subset-if-suffix*:
assumes $\text{suffix } \Gamma'\ \Gamma$
shows $\text{trail-atms } \Gamma'\ |\subseteq|\ \text{trail-atms } \Gamma$
 ⟨proof⟩

lemma *dom-model-eq-trail-interp*:
assumes
 $\forall A\ C. \mathcal{M}\ A = \text{Some } C \longleftrightarrow \text{map-of } \Gamma\ (\text{Pos } A) = \text{Some } (\text{Some } C)$ **and**
 $\forall Ln \in \text{set } \Gamma. \forall L. Ln = (L, \text{None}) \longrightarrow \text{is-neg } L$
shows $\text{dom } \mathcal{M} = \text{trail-interp } \Gamma$
 ⟨proof⟩

type-synonym $'f\ \text{gliteral} = 'f\ \text{gterm}\ \text{literal}$
type-synonym $'f\ \text{gclause} = 'f\ \text{gterm}\ \text{clause}$

locale *simulation-SCLFOL-ground-ordered-resolution* =
renaming-apart renaming-vars
for *renaming-vars* :: 'v set \Rightarrow 'v \Rightarrow 'v +
fixes
less-trm :: 'f gterm \Rightarrow 'f gterm \Rightarrow bool (**infix** \prec_t 50)
assumes
transp-less-trm[simp]: *transp* (\prec_t) **and**
asympt-less-trm[intro]: *asympt* (\prec_t) **and**
wfP-less-trm[intro]: *wfP* (\prec_t) **and**
totalp-less-trm[intro]: *totalp* (\prec_t) **and**
finite-less-trm: $\bigwedge \beta. \text{finite } \{x. x \prec_t \beta\}$ **and**
less-trm-compatible-with-gctxt[simp]: $\bigwedge \text{ctxt } t t'. t \prec_t t' \implies \text{ctxt } \langle t \rangle_G \prec_t \text{ctxt } \langle t' \rangle_G$
and
less-trm-if-subterm[simp]: $\bigwedge t \text{ctxt}. \text{ctxt} \neq \square_G \implies t \prec_t \text{ctxt } \langle t \rangle_G$

8 Ground ordered resolution for ground terms

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

sublocale *ord-res: ground-ordered-resolution-calculus* (\prec_t) $\lambda\cdot$. {#}
<proof>

sublocale *linorder-trm: linorder* (\preceq_t) (\prec_t)
<proof>

sublocale *linorder-lit: linorder* (\preceq_l) (\prec_l)
<proof>

sublocale *linorder-cls: linorder* (\preceq_c) (\prec_c)
<proof>

declare *linorder-trm.is-least-in-fset-ffilterD[no-atp]*

declare *linorder-lit.is-least-in-fset-ffilterD[no-atp]*

declare *linorder-cls.is-least-in-fset-ffilterD[no-atp]*

end

9 Common definitions and lemmas

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

abbreviation *ord-res-Interp* **where**

ord-res-Interp $N C \equiv \text{ord-res.interp } N C \cup \text{ord-res.production } N C$

definition *is-least-false-clause* **where**

is-least-false-clause $N C \longleftrightarrow$

linorder-cls.is-least-in-fset $\{|C \mid \in N. \neg \text{ord-res-Interp } (fset N) C \models C|\} C$

lemma *is-least-false-clause-finsert-smaller-false-clause*:

assumes

D-least: *is-least-false-clause* $N D$ **and**

$C \prec_c D$ **and**

C-false: $\neg \text{ord-res-Interp } (fset (finsert C N)) C \models C$

shows *is-least-false-clause* $(finsert C N) C$

<proof>

lemma *is-least-false-clause-swap-swap-compatible-fsets*:

assumes $\{|x \mid \in N1. x \preceq_c C|\} = \{|x \mid \in N2. x \preceq_c C|\}$

shows *is-least-false-clause* $N1 C \longleftrightarrow \text{is-least-false-clause } N2 C$

<proof>

lemma *Uniq-is-least-false-clause*: $\exists_{\leq 1} C. \text{is-least-false-clause } N C$

<proof>

lemma *mempty-lesseq-cls[simp]*: $\{\#\} \preceq_c C$ **for** C

<proof>

lemma *is-least-false-clause-mempty*: $\{\#\} \mid \in N \implies \text{is-least-false-clause } N \{\#\}$

<proof>

lemma *production-union-unproductive-strong*:

assumes

fin: *finite* $N1$ *finite* $N2$ **and**

N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$ **and**

C-in: $C \in N1$

shows $\text{ord-res.production } (N1 \cup N2) C = \text{ord-res.production } N1 C$

<proof>

lemma *production-union-unproductive*:

assumes

fin: *finite* $N1$ *finite* $N2$ **and**

N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$ **and**

C-in: $C \in N1$

shows $\text{ord-res.production } (N1 \cup N2) C = \text{ord-res.production } N1 C$

<proof>

lemma *interp-union-unproductive*:

assumes

fin: *finite* $N1$ *finite* $N2$ **and**

N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$

shows $\text{ord-res.interp } (N1 \cup N2) = \text{ord-res.interp } N1$

<proof>

lemma *Interp-union-unproductive*:

assumes

fin: finite $N1$ finite $N2$ **and**
N2-unproductive: $\forall x \in N2. \text{ord-res.production } (N1 \cup N2) x = \{\}$
shows $\text{ord-res-Interp } (N1 \cup N2) C = \text{ord-res-Interp } N1 C$
<proof>

lemma *Interp-insert-unproductive*:

assumes
fin: finite $N1$ **and**
x-unproductive: $\text{ord-res.production } (\text{insert } x N1) x = \{\}$
shows $\text{ord-res-Interp } (\text{insert } x N1) C = \text{ord-res-Interp } N1 C$
<proof>

lemma *extended-partial-model-entails-iff-partial-model-entails*:

assumes
fin: finite N finite N' **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
C-in: $C \in N$
shows $\text{ord-res-Interp } (N \cup N') C \models C \longleftrightarrow \text{ord-res-Interp } N C \models C$
<proof>

lemma *nex-strictly-maximal-pos-lit-if-factorizable*:

assumes $\text{ord-res.ground-factoring } C C'$
shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$
<proof>

lemma *unproductive-if-nex-strictly-maximal-pos-lit*:

assumes $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$
shows $\text{ord-res.production } N C = \{\}$
<proof>

lemma *ball-unproductive-if-nex-strictly-maximal-pos-lit*:

assumes $\forall C \in N'. \nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$
shows $\forall C \in N'. \text{ord-res.production } (N \cup N') C = \{\}$
<proof>

lemma *is-least-false-clause-finsert-cancel*:

assumes
C-unproductive: $\text{ord-res.production } (\text{fset } (\text{finsert } C N)) C = \{\}$ **and**
C-entailed-by-smaller: $\exists D \in N. D \prec_c C \wedge \{D\} \models_e \{C\}$
shows $\text{is-least-false-clause } (\text{finsert } C N) = \text{is-least-false-clause } N$
<proof>

lemma *is-least-false-clause-funion-cancel-right-strong*:

assumes
 $\forall C \in N2 - N1. \forall U. \text{ord-res.production } U C = \{\}$ **and**
 $\forall C \in N2 - N1. \exists D \in N1. D \prec_c C \wedge \{D\} \models_e \{C\}$
shows $\text{is-least-false-clause } (N1 \cup N2) = \text{is-least-false-clause } N1$
<proof>

lemma *is-least-false-clause-union-cancel-right*:

assumes

$\forall C \in N2. \forall U. \text{ord-res.production } U \ C = \{\}$ **and**

$\forall C \in N2. \exists D \in N1. D \prec_c C \wedge \{D\} \models_e \{C\}$

shows *is-least-false-clause* $(N1 \cup N2) = \text{is-least-false-clause } N1$

<proof>

definition *ex-false-clause* **where**

$\text{ex-false-clause } N = (\exists C \in N. \neg \text{ord-res.interp } N \ C \cup \text{ord-res.production } N \ C \models C)$

lemma *obtains-least-false-clause-if-ex-false-clause*:

assumes *ex-false-clause* $(\text{fset } N)$

obtains C **where** *is-least-false-clause* $N \ C$

<proof>

lemma *ex-false-clause-if-least-false-clause*:

assumes *is-least-false-clause* $N \ C$

shows *ex-false-clause* $(\text{fset } N)$

<proof>

lemma *ex-false-clause-iff*: *ex-false-clause* $(\text{fset } N) \longleftrightarrow (\exists C. \text{is-least-false-clause } N \ C)$

<proof>

definition *ord-res-model* **where**

$\text{ord-res-model } N = (\bigcup D \in N. \text{ord-res.production } N \ D)$

lemma *ord-res-model-eq-interp-union-production-of-greatest-clause*:

assumes *C-greatest*: *linorder-cls.is-greatest-in-set* $N \ C$

shows $\text{ord-res-model } N = \text{ord-res.interp } N \ C \cup \text{ord-res.production } N \ C$

<proof>

lemma *ord-res-model-entails-clauses-if-nex-false-clause*:

assumes *finite* N **and** $N \neq \{\}$ **and** $\neg \text{ex-false-clause } N$

shows $\text{ord-res-model } N \models_s N$

<proof>

lemma *pos-lit-not-greatest-if-maximal-in-least-false-clause*:

assumes

C-least: *linorder-cls.is-least-in-fset* $\{ \mid C \in N. \neg \text{ord-res.interp } (\text{fset } N) \ C \models C \} \ C$ **and**

C-max-lit: *ord-res.is-maximal-lit* $(\text{Pos } A) \ C$

shows $\neg \text{ord-res.is-strictly-maximal-lit } (\text{Pos } A) \ C$

<proof>

lemma *ex-ground-factoringI*:

assumes

C-max-lit: *ord-res.is-maximal-lit* $(\text{Pos } A) \ C$ **and**

C-not-max-lit: $\neg \text{ord-res.is-strictly-maximal-lit } (\text{Pos } A) \ C$
shows $\exists C'. \text{ord-res.ground-factoring } C \ C'$
<proof>

lemma *true-cls-if-true-lit-in*: $L \in\# \ C \implies I \models_l L \implies I \models C$
<proof>

lemma *bex-smaller-productive-clause-if-least-false-clause-has-negative-max-lit*:
assumes
C-least-false: *is-least-false-clause* $N \ C$ **and**
Neg-A-max: *ord-res.is-maximal-lit* $(\text{Neg } A) \ C$
shows *fBex* $N \ (\lambda D. D \prec_c C \wedge \text{ord-res.is-strictly-maximal-lit } (\text{Pos } A) \ D \wedge$
ord-res.production $(\text{fset } N) \ D = \{A\})$
<proof>

lemma *bex-smaller-productive-clause-if-least-false-clause-has-negative-max-lit'*:
assumes
C-least-false: *is-least-false-clause* $N \ C$ **and**
L-max: *ord-res.is-maximal-lit* $L \ C$ **and**
L-neg: *is-neg* L
shows *fBex* $N \ (\lambda D. D \prec_c C \wedge \text{ord-res.is-strictly-maximal-lit } (- \ L) \ D \wedge$
ord-res.production $(\text{fset } N) \ D = \{\text{atm-of } L\})$
<proof>

lemma *ex-ground-resolutionI*:
assumes
C-max-lit: *ord-res.is-maximal-lit* $(\text{Neg } A) \ C$ **and**
D-lt: $D \prec_c C$ **and**
D-max-lit: *ord-res.is-strictly-maximal-lit* $(\text{Pos } A) \ D$
shows $\exists CD. \text{ord-res.ground-resolution } C \ D \ CD$
<proof>

lemma
fixes $N \ N'$
assumes
fin: *finite* N *finite* N' **and**
irrelevant: $\forall D \in N'. \exists E \in N. E \subset\# \ D \wedge \text{set-mset } D = \text{set-mset } E$ **and**
C-in: $C \in N$ **and**
C-not-entailed: $\neg \text{ord-res.interp } N \ C \cup \text{ord-res.production } N \ C \models C$
shows $\neg \text{ord-res.interp } (N \cup N') \ C \cup \text{ord-res.production } (N \cup N') \ C \models C$
<proof>

lemma *trail-consistent-if-sorted-wrt-atoms*:
assumes *sorted-wrt* $(\lambda x \ y. \text{atm-of } (\text{fst } y) \prec_t \text{atm-of } (\text{fst } x)) \ \Gamma$
shows *trail-consistent* Γ
<proof>

lemma *mono-atms-lt*: *monotone-on* $(\text{set } \Gamma) \ (\lambda x \ y. \text{atm-of } (\text{fst } y) \prec_t \text{atm-of } (\text{fst } x))$

$x)) (\lambda x y. y \leq x)$
 $(\lambda x. \text{atm-of } K \preceq_t \text{atm-of } (\text{fst } x)) \text{ for } K$
 $\langle \text{proof} \rangle$

lemma *in-trail-atms-dropWhileI*:

assumes

sorted-wrt $R \Gamma$ **and**

monotone-on $(\text{set } \Gamma) R (\geq) (\lambda x. P (\text{atm-of } (\text{fst } x)))$ **and**

$\neg P A$ **and**

$A \in \text{trail-atms } \Gamma$

shows $A \in \text{trail-atms } (\text{dropWhile } (\lambda Ln. P (\text{atm-of } (\text{fst } Ln)))) \Gamma$

$\langle \text{proof} \rangle$

lemma *trail-defined-lit-dropWhileI*:

assumes

sorted-wrt $R \Gamma$ **and**

monotone-on $(\text{set } \Gamma) R (\geq) (\lambda x. P (\text{fst } x))$ **and**

$\neg P L \wedge \neg P (\neg L)$ **and**

trail-defined-lit ΓL

shows *trail-defined-lit* $(\text{dropWhile } (\lambda Ln. P (\text{fst } Ln))) \Gamma L$

$\langle \text{proof} \rangle$

lemma *trail-defined-cls-dropWhileI*:

assumes

sorted-wrt $R \Gamma$ **and**

monotone-on $(\text{set } \Gamma) R (\geq) (\lambda x. P (\text{fst } x))$ **and**

$\forall L \in \# C. \neg P L \wedge \neg P (\neg L)$ **and**

trail-defined-cls ΓC

shows *trail-defined-cls* $(\text{dropWhile } (\lambda Ln. P (\text{fst } Ln))) \Gamma C$

$\langle \text{proof} \rangle$

lemma *nbex-less-than-least-in-fset*: $\neg (\exists w \in X. w \prec_c x)$

if *linorder-cls.is-least-in-fset* $X x$ **for** $X x$

$\langle \text{proof} \rangle$

lemma *clause-le-if-lt-least-greater*:

fixes $N U_{er} \mathcal{F} C D$

defines

$C \equiv \text{The-optional } (\text{linorder-cls.is-least-in-fset } (\text{ffilter } ((\prec_c) D) N))$

assumes

C-lt: $\bigwedge E. C = \text{Some } E \implies C \prec_c E$ **and**

C-in: $C \in N$

shows $C \preceq_c D$

$\langle \text{proof} \rangle$

end

10 Lemmas about going between ground and first-order terms

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

lemma *ex1-gterm-of-term*:

fixes $t :: 'f \text{ gterm}$

shows $\exists!(t' :: ('f, 'v) \text{ term}). \text{ground } t' \wedge t = \text{gterm-of-term } t'$

<proof>

lemma *binj-betw-gterm-of-term*: *bij-betw gterm-of-term {t. ground t} UNIV*

<proof>

end

11 SCL(FOL) for first-order terms

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *less-B* **where**

$\text{less-B } x \ y \longleftrightarrow \text{ground } x \wedge \text{ground } y \wedge \text{gterm-of-term } x \prec_t \text{gterm-of-term } y$

sublocale *order-less-B*: *order less-B⁼⁼ less-B*

<proof>

sublocale *scl-fol*: *scl-fol-calculus renaming-vars less-B*

<proof>

end

lemma *trail-atms-eq-trail-atms-if-same-lits*:

assumes *list-all2* $(\lambda x \ y. \text{fst } x = \text{fst } y) \Gamma_9 \ \Gamma_{10}$

shows $\text{trail-atms } \Gamma_9 = \text{trail-atms } \Gamma_{10}$

<proof>

lemma *trail-false-lit-eq-trail-false-lit-if-same-lits*:

assumes *list-all2* $(\lambda x \ y. \text{fst } x = \text{fst } y) \Gamma_9 \ \Gamma_{10}$

shows $\text{trail-false-lit } \Gamma_9 = \text{trail-false-lit } \Gamma_{10}$

<proof>

lemma *trail-false-cls-eq-trail-false-cls-if-same-lits*:

assumes *list-all2* $(\lambda x \ y. \text{fst } x = \text{fst } y) \Gamma_9 \ \Gamma_{10}$

shows $\text{trail-false-cls } \Gamma_9 = \text{trail-false-cls } \Gamma_{10}$

<proof>

lemma *trail-defined-lit-eq-trail-defined-lit-if-same-lits*:

assumes *list-all2* $(\lambda x \ y. \text{fst } x = \text{fst } y) \Gamma_9 \ \Gamma_{10}$

shows *trail-defined-lit* $\Gamma_9 = \text{trail-defined-lit } \Gamma_{10}$
 ⟨*proof*⟩

lemma *trail-defined-cls-eq-trail-defined-cls-if-same-lits*:
assumes *list-all2* $(\lambda x y. \text{fst } x = \text{fst } y) \Gamma_9 \Gamma_{10}$
shows *trail-defined-cls* $\Gamma_9 = \text{trail-defined-cls } \Gamma_{10}$
 ⟨*proof*⟩

end
theory *ORD-RES*
imports *Background*
begin

12 ORD-RES

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

lemma *ex-false-clause* $N \longleftrightarrow \neg (\forall C \in N. \text{ord-res-Interp } N C \models C)$
 ⟨*proof*⟩

lemma $\neg \text{ex-false-clause } N \longleftrightarrow (\forall C \in N. \text{ord-res-Interp } N C \models C)$
 ⟨*proof*⟩

definition *ord-res-final* **where**
ord-res-final $N \longleftrightarrow \{\#\} \mid \in \mid N \vee \neg \text{ex-false-clause } (\text{fset } N)$

inductive *ord-res* **where**
factoring: $\{\#\} \mid \notin \mid N \implies \text{ex-false-clause } (\text{fset } N) \implies$
 — Maybe write $\neg \text{ord-res-final } N$ instead?
 $P \mid \in \mid N \implies \text{ord-res.ground-factoring } P C \implies$
 $N' = \text{finsert } C N \implies$
 $\text{ord-res } N N' \mid$
resolution: $\{\#\} \mid \notin \mid N \implies \text{ex-false-clause } (\text{fset } N) \implies$
 $P1 \mid \in \mid N \implies P2 \mid \in \mid N \implies \text{ord-res.ground-resolution } P1 P2 C \implies$
 $N' = \text{finsert } C N \implies$
 $\text{ord-res } N N'$

inductive *ord-res-load* **where**
 $N \neq \{\mid\} \implies \text{ord-res-load } N N$

sublocale *ord-res-antics: semantics* **where**
step = *ord-res* **and**
final = *ord-res-final*
 ⟨*proof*⟩

sublocale *ord-res-language: language* **where**
step = *ord-res* **and**
final = *ord-res-final* **and**

load = ord-res-load
 ⟨*proof*⟩

end

end

theory *ORD-RES-1*
imports *ORD-RES*
begin

13 ORD-RES-1 (deterministic)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-1* **where**

factoring:

is-least-false-clause $N C \implies$
linorder-lit.is-maximal-in-mset $C L \implies$
is-pos $L \implies$
ord-res.ground-factoring $C C' \implies$
 $N' = \text{finsert } C' N \implies$
ord-res-1 $N N' \mid$

resolution:

is-least-false-clause $N C \implies$
linorder-lit.is-maximal-in-mset $C L \implies$
is-neg $L \implies$
 $D \mid \in \mid N \implies$
 $D \prec_c C \implies$
ord-res.production $(\text{fset } N) D = \{\text{atm-of } L\} \implies$
ord-res.ground-resolution $C D CD \implies$
 $N' = \text{finsert } CD N \implies$
ord-res-1 $N N'$

lemma

assumes *ord-res.ground-resolution* $C D CD$
shows $D \prec_c C$
 ⟨*proof*⟩

lemma *right-unique-ord-res-1: right-unique ord-res-1*
 ⟨*proof*⟩

definition *ord-res-1-final* **where**

ord-res-1-final $N \longleftrightarrow \text{ord-res-final } N$

inductive *ord-res-1-load* **where**

$N \neq \{\mid\} \implies \text{ord-res-1-load } N N$

sublocale *ord-res-1-semantic: semantics* **where**

$step = ord-res-1$ **and**
 $final = ord-res-1-final$
 ⟨proof⟩

sublocale *ord-res-1-language*: *language* **where**
 $step = ord-res-1$ **and**
 $final = ord-res-1-final$ **and**
 $load = ord-res-1-load$
 ⟨proof⟩

lemma *ex-ord-res-1-if-not-final*:
assumes $\neg ord-res-1-final\ N$
shows $\exists N'. ord-res-1\ N\ N'$
 ⟨proof⟩

corollary *ord-res-1-safe*: $ord-res-1-final\ N \vee (\exists N'. ord-res-1\ N\ N')$
 ⟨proof⟩

end

end

theory *Exhaustive-Factorization*
imports *Background*
begin

14 Function for full factorization

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *efac* :: '*f gterm clause* \Rightarrow '*f gterm clause* **where**
 $efac\ C = (THE\ C'. ord-res.ground-factoring^{**}\ C\ C' \wedge (\exists C''. ord-res.ground-factoring\ C'\ C''))$

The function *efac* performs exhaustive factorization of its input clause.

lemma *ex1-efac-eq-factoring-chain*:
 $\exists! C'. efac\ C = C' \wedge ord-res.ground-factoring^{**}\ C\ C' \wedge (\exists C''. ord-res.ground-factoring\ C'\ C'')$
 ⟨proof⟩

lemma *efac-eq-disj*:
 $efac\ C = C \vee (\exists! C'. efac\ C = C' \wedge ord-res.ground-factoring^{**}\ C\ C' \wedge (\exists C''. ord-res.ground-factoring\ C'\ C''))$
 ⟨proof⟩

lemma *member-mset-if-count-eq-Suc*: $count\ X\ x = Suc\ n \Longrightarrow x \in\# X$
 ⟨proof⟩

lemmas *member-fsetE = mset-add*

lemma *ord-res-ground-factoring-iff*: $\text{ord-res.ground-factoring } C \ C' \longleftrightarrow$
 $(\exists A. \text{ord-res.is-maximal-lit } (Pos \ A) \ C \wedge (\exists n. \text{count } C \ (Pos \ A) = \text{Suc } (\text{Suc } n))$
 $\wedge C' = C - \{\#Pos \ A\#})$
 $\langle \text{proof} \rangle$

lemma *tranclp-ord-res-ground-factoring-iff*:
 $\text{ord-res.ground-factoring}^{++} \ C \ C' \wedge (\exists C''. \text{ord-res.ground-factoring } C' \ C'') \longleftrightarrow$
 $(\exists A. \text{ord-res.is-maximal-lit } (Pos \ A) \ C \wedge (\exists n. \text{count } C \ (Pos \ A) = \text{Suc } (\text{Suc } n) \wedge$
 $C' = C - \text{replicate-mset } (\text{Suc } n) \ (Pos \ A)))$
 $\langle \text{proof} \rangle$

lemma *minus-mset-replicate-mset-eq-add-mset-filter-mset*:
assumes $\text{count } X \ x = \text{Suc } n$
shows $X - \text{replicate-mset } n \ x = \text{add-mset } x \ \{\#y \in\# \ X. \ y \neq x\#}$
 $\langle \text{proof} \rangle$

lemma *minus-mset-replicate-mset-eq-add-mset-add-mset-filter-mset*:
assumes $\text{count } X \ x = \text{Suc } (\text{Suc } n)$
shows $X - \text{replicate-mset } n \ x = \text{add-mset } x \ (\text{add-mset } x \ \{\#y \in\# \ X. \ y \neq x\#})$
 $\langle \text{proof} \rangle$

lemma *rtrancl-ground-factoring-iff*:
shows $\text{ord-res.ground-factoring}^{**} \ C \ C' \wedge (\exists C''. \text{ord-res.ground-factoring } C' \ C'')$
 \longleftrightarrow
 $((\exists A. \text{ord-res.is-maximal-lit } (Pos \ A) \ C \wedge \text{count } C \ (Pos \ A) \geq 2) \wedge C = C' \vee$
 $(\exists A. \text{ord-res.is-maximal-lit } (Pos \ A) \ C \wedge C' = \text{add-mset } (Pos \ A) \ \{\#L \in\# \ C.$
 $L \neq Pos \ A\#}))$
 $\langle \text{proof} \rangle$

lemma *efac-spec*: $\text{efac } C = C \vee$
 $(\exists A. \text{ord-res.is-maximal-lit } (Pos \ A) \ C \wedge \text{efac } C = \text{add-mset } (Pos \ A) \ \{\#L \in\#$
 $C. \ L \neq Pos \ A\#})$
 $\langle \text{proof} \rangle$

lemma *efac-spec-if-pos-lit-is-maximal*:
assumes $L\text{-pos}: \text{is-pos } L$ **and** $L\text{-max}: \text{ord-res.is-maximal-lit } L \ C$
shows $\text{efac } C = \text{add-mset } L \ \{\#K \in\# \ C. \ K \neq L\#}$
 $\langle \text{proof} \rangle$

lemma *efac-empty[simp]*: $\text{efac } \{\#\} = \{\#\}$
 $\langle \text{proof} \rangle$

lemma *set-mset-efac[simp]*: $\text{set-mset } (\text{efac } C) = \text{set-mset } C$
 $\langle \text{proof} \rangle$

lemma *efac-subset*: $\text{efac } C \subseteq\# \ C$
 $\langle \text{proof} \rangle$

lemma *true-cls-efac-iff[simp]*:

fixes $\mathcal{I} :: 'f\ gterm\ set$ **and** $C :: 'f\ gclause$
shows $\mathcal{I} \models_{efac} C \longleftrightarrow \mathcal{I} \models C$
 $\langle proof \rangle$

lemma *obtains-positive-greatest-lit-if-efac-not-ident:*
assumes $efac\ C \neq C$
obtains L **where** $is_pos\ L$ **and** $linorder_lit.is_greatest_in_mset\ (efac\ C)\ L$
 $\langle proof \rangle$

lemma *mempty-in-image-efac-iff[simp]:* $\{\#\} \in_{efac} 'N \longleftrightarrow \{\#\} \in N$
 $\langle proof \rangle$

lemma *greatest-literal-in-efacI:*
assumes $is_pos\ L$ **and** $C_max_lit: linorder_lit.is_maximal_in_mset\ C\ L$
shows $linorder_lit.is_greatest_in_mset\ (efac\ C)\ L$
 $\langle proof \rangle$

lemma $linorder_lit.is_maximal_in_mset\ (efac\ C)\ L \longleftrightarrow linorder_lit.is_maximal_in_mset\ C\ L$
 $\langle proof \rangle$

lemma
assumes $is_pos\ L$
shows $linorder_lit.is_greatest_in_mset\ (efac\ C)\ L \longleftrightarrow linorder_lit.is_maximal_in_mset\ C\ L$
 $\langle proof \rangle$

lemma *factorizable-if-neq-efac:*
assumes $C \neq_{efac} C$
shows $\exists C'. ord_res.ground_factoring\ C\ C'$
 $\langle proof \rangle$

lemma *nex-strictly-maximal-pos-lit-if-neq-efac:*
assumes $C \neq_{efac} C$
shows $\nexists L. is_pos\ L \wedge ord_res.is_strictly_maximal_lit\ L\ C$
 $\langle proof \rangle$

lemma *efac-properties-if-not-ident:*
assumes $efac\ C \neq C$
shows $efac\ C \prec_c C$ **and** $\{efac\ C\} \models_e \{C\}$
 $\langle proof \rangle$

end

end

theory *ORD-RES-2*
imports
ORD-RES
Exhaustive-Factorization

begin

15 ORD-RES-2 (full factorization)

context *simulation-SCLFOL-ground-ordered-resolution* begin

inductive *ord-res-2* where

factoring:

$is\text{-least-false-clause } (N \mid \cup \mid U_r \mid \cup \mid U_{ef}) C \implies$
 $linorder\text{-lit.is-maximal-in-mset } C L \implies$
 $is\text{-pos } L \implies$
 $U_{ef}' = \text{finsert } (efac C) U_{ef} \implies$
 $ord\text{-res-2 } N (U_r, U_{ef}) (U_r, U_{ef}') \mid$

resolution:

$is\text{-least-false-clause } (N \mid \cup \mid U_r \mid \cup \mid U_{ef}) C \implies$
 $linorder\text{-lit.is-maximal-in-mset } C L \implies$
 $is\text{-neg } L \implies$
 $D \mid \in \mid N \mid \cup \mid U_r \mid \cup \mid U_{ef} \implies$
 $D \prec_c C \implies$
 $ord\text{-res.production } (fset (N \mid \cup \mid U_r \mid \cup \mid U_{ef})) D = \{atm\text{-of } L\} \implies$
 $ord\text{-res.ground-resolution } C D CD \implies$
 $U_r' = \text{finsert } CD U_r \implies$
 $ord\text{-res-2 } N (U_r, U_{ef}) (U_r', U_{ef})$

inductive *ord-res-2-step* where

$ord\text{-res-2 } N S S' \implies ord\text{-res-2-step } (N, S) (N, S')$

inductive *ord-res-2-final* where

$ord\text{-res-final } (N \mid \cup \mid U_r \mid \cup \mid U_{ef}) \implies ord\text{-res-2-final } (N, (U_r, U_{ef}))$

inductive *ord-res-2-load* where

$N \neq \{\mid\} \implies ord\text{-res-2-load } N (N, (\{\mid\}, \{\mid\}))$

sublocale *ord-res-2-semantic: semantics* where

$step = ord\text{-res-2-step}$ and

$final = ord\text{-res-2-final}$

$\langle proof \rangle$

sublocale *ord-res-2-language: language* where

$step = ord\text{-res-2-step}$ and

$final = ord\text{-res-2-final}$ and

$load = ord\text{-res-2-load}$

$\langle proof \rangle$

lemma *is-least-in-fset-with-irrelevant-clauses-if-is-least-in-fset:*

assumes

$irrelevant: \forall D \mid \in \mid N'. \exists E \mid \in \mid N. E \subset \# D \wedge set\text{-mset } D = set\text{-mset } E$ and
 $C\text{-least: } linorder\text{-cls.is-least-in-fset } \{ \mid C \mid \in \mid N. \neg ord\text{-res-Interp } (fset N) C \mid \models$

$C\}$ C
shows *linorder-cls.is-least-in-fset* $\{C \mid \in N \mid \cup N'. \neg \text{ord-res-Interp} (fset (N \mid \cup N'))\} C \models C\}$ C
 $\langle \text{proof} \rangle$

primrec *fset-upto* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat fset}$ **where**
fset-upto i $0 = (\text{if } i = 0 \text{ then } \{0\} \text{ else } \{\})$ |
fset-upto i (*Suc* n) = (*if* $i \leq \text{Suc } n$ *then* *finsert* (*Suc* n) (*fset-upto* i n) *else* $\{\}$)

lemma
fset-upto 0 $0 = \{0\}$
fset-upto 0 $1 = \{0, 1\}$
fset-upto 0 $2 = \{0, 1, 2\}$
fset-upto 0 $3 = \{0, 1, 2, 3\}$
fset-upto 1 $3 = \{1, 2, 3\}$
fset-upto 2 $3 = \{2, 3\}$
fset-upto 3 $3 = \{3\}$
fset-upto 4 $3 = \{\}$
 $\langle \text{proof} \rangle$

lemma $i \leq 1 + j \implies \text{List.upto } i (1 + j) = \text{List.upto } i j @ [1 + j]$
 $\langle \text{proof} \rangle$

lemma *fset-of-append-singleton*: *fset-of-list* ($xs @ [x]$) = *finsert* x (*fset-of-list* xs)
 $\langle \text{proof} \rangle$

lemma *fset-of-list* (*List.upto* (*int* i) (*int* j)) = *int* $|^{\cdot}$ *fset-upto* i j
 $\langle \text{proof} \rangle$

lemma *fset-fset-upto[simp]*: *fset* (*fset-upto* m n) = $\{m..n\}$
 $\langle \text{proof} \rangle$

lemma *minus-mset-replicate-strict-subset-minus-msetI*:
assumes $m < n$ **and** $n < \text{count } C L$
shows $C - \text{replicate-mset } n L \subset\# C - \text{replicate-mset } m L$
 $\langle \text{proof} \rangle$

lemma *factoring-all-is-between-efac-and-original-clause*:
fixes z
assumes
is-pos L **and** *ord-res.is-maximal-lit* $L C$ **and** $\text{count } C L = \text{Suc } (\text{Suc } n)$
 $m' \leq n$ **and**
 $z\text{-in}$: $z \mid \in (\lambda i. C - \text{replicate-mset } i L) \mid^{\cdot} \text{fset-upto } 0 m'$
shows *efac* $C \subset\# z$ **and** $z \subseteq\# C$
 $\langle \text{proof} \rangle$

lemma
assumes
linorder-cls.is-least-in-fset $\{x \mid \in N1. P N1 x\}$ x **and**

linorder-cls.is-least-in-fset $N2$ y **and**
 $\forall z \in | N2. z \preceq_c x$ **and**
 $P (N1 \mid \cup \mid N2)$ y **and**
 $\forall z \in | N1. z \prec_c x \longrightarrow \neg P (N1 \mid \cup \mid N2) z$
shows *linorder-cls.is-least-in-fset* $\{x \in | N1 \mid \cup \mid N2. P (N1 \mid \cup \mid N2) x\}$ y
 ⟨*proof*⟩

lemma *ground-factorizing-preserves-efac*:
assumes *ord-res.ground-factorizing* P C
shows *efac* $P = \text{efac } C$
 ⟨*proof*⟩

lemma *ground-factorings-preserves-efac*:
assumes *ord-res.ground-factorizing*** P C
shows *efac* $P = \text{efac } C$
 ⟨*proof*⟩

lemma *ex-ord-res-2-if-not-final*:
assumes $\neg \text{ord-res-2-final } S$
shows $\exists S'. \text{ord-res-2-step } S S'$
 ⟨*proof*⟩

corollary *ord-res-2-step-safe*: *ord-res-2-final* $S \vee (\exists S'. \text{ord-res-2-step } S S')$
 ⟨*proof*⟩

lemma *is-least-false-clause-if-is-least-false-clause-in-union-unproductive*:
assumes
 $N2\text{-unproductive}: \forall C \in | N2. \text{ord-res.production } (\text{fset } (N1 \mid \cup \mid N2)) C = \{\}$
and
 $C\text{-in}: C \in | N1$ **and**
 $C\text{-least-false}: \text{is-least-false-clause } (N1 \mid \cup \mid N2) C$
shows *is-least-false-clause* $N1$ C
 ⟨*proof*⟩

lemma *ground-factorizing-replicate-max-pos-lit*:
ord-res.ground-factorizing
 $(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$
 $(C_0 + \text{replicate-mset } (\text{Suc } n) (\text{Pos } A))$
if *ord-res.is-maximal-lit* $(\text{Pos } A) (C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$
for A C_0 n
 ⟨*proof*⟩

lemma *ground-factorings-replicate-max-pos-lit*:
assumes
 $\text{ord-res.is-maximal-lit } (\text{Pos } A) (C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$
shows $m \leq \text{Suc } n \implies (\text{ord-res.ground-factorizing } \widetilde{\sim} m)$
 $(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n)) (\text{Pos } A))$
 $(C_0 + \text{replicate-mset } (\text{Suc } (\text{Suc } n - m)) (\text{Pos } A))$

<proof>

lemma *ord-res-Interp-entails-if-greatest-lit-is-pos:*

assumes *C-in: $C \in N$ and L-greatest: linorder-lit.is-greatest-in-mset C L and L-pos: is-pos L*

shows *ord-res-Interp N C \models C*

<proof>

lemma *right-unique-ord-res-2: right-unique (ord-res-2 N)*

<proof>

lemma *right-unique-ord-res-2-step: right-unique ord-res-2-step*

<proof>

end

end

theory *Exhaustive-Resolution*

imports *Background*

begin

16 Function for full resolution

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *ground-resolution where*

ground-resolution D C CD = ord-res.ground-resolution C D CD

lemma *Uniq-ground-resolution: $\exists_{\leq 1} DC$. ground-resolution D C DC*

<proof>

lemma *ground-resolution-terminates: wfP (ground-resolution D)⁻¹⁻¹*

<proof>

lemma *not-ground-resolution-mempty-left: \neg ground-resolution {#} C x*

<proof>

lemma *not-ground-resolution-mempty-right: \neg ground-resolution C {#} x*

<proof>

lemma *not-tranclp-ground-resolution-mempty-left: \neg (ground-resolution {#})⁺⁺*

C x

<proof>

lemma *not-tranclp-ground-resolution-mempty-right: \neg (ground-resolution C)⁺⁺ {#}*

x

<proof>

lemma *left-premise-lt-right-premise-if-ground-resolution:*

ground-resolution $D C DC \implies D \prec_c C$
 ⟨proof⟩

lemma *left-premise-lt-right-premise-if-tranclp-ground-resolution:*
 (*ground-resolution* D)⁺⁺ $C DC \implies D \prec_c C$
 ⟨proof⟩

lemma *resolvent-lt-right-premise-if-ground-resolution:*
ground-resolution $D C DC \implies DC \prec_c C$
 ⟨proof⟩

lemma *resolvent-lt-right-premise-if-tranclp-ground-resolution:*
 (*ground-resolution* D)⁺⁺ $C DC \implies DC \prec_c C$
 ⟨proof⟩

Exhaustive resolution

definition *eres where*

eres $D C = (THE DC. full-run (ground-resolution D) C DC)$

The function *eres* performs exhaustive resolution between its two input clauses. The first clause is repeatedly used, while the second clause is only use to start the resolution chain.

lemma *eres-ident-iff:* $eres D C = C \iff (\nexists DC. ground-resolution D C DC)$
 ⟨proof⟩

lemma

assumes

step1: *ground-resolution* $D C DC$ **and**
stuck: $\nexists DDC. ground-resolution D DC DDC$

shows $eres D C = DC$

⟨proof⟩

lemma

assumes

step1: *ground-resolution* $D C DC$ **and**
step2: *ground-resolution* $D DC DDC$ **and**
stuck: $\nexists DDDC. ground-resolution D DDC DDDC$

shows $eres D C = DDC$

⟨proof⟩

lemma

assumes

step1: *ground-resolution* $D C DC$ **and**
step2: *ground-resolution* $D DC DDC$ **and**
step3: *ground-resolution* $D DDC DDDC$ **and**
stuck: $\nexists DDDDC. ground-resolution D DDDC DDDDC$

shows $eres D C = DDDC$

⟨proof⟩

lemma *eres-mempty-left[simp]*: $\text{eres } \{\#\} C = C$
<proof>

lemma *eres-mempty-right[simp]*: $\text{eres } C \{\#\} = \{\#\}$
<proof>

lemma *ex1-eres-eq-full-run-ground-resolution*: $\exists! DC. \text{eres } D C = DC \wedge \text{full-run}$
(ground-resolution D) C DC
<proof>

lemma *eres-le*: $\text{eres } D C \preceq_c C$
<proof>

lemma *clause-lt-clause-if-max-lit-comp*:
assumes *E-max-lit*: *linorder-lit.is-maximal-in-mset E L* **and** *L-neg*: *is-neg L* **and**
D-max-lit: *linorder-lit.is-maximal-in-mset D (- L)*
shows $D \prec_c E$
<proof>

lemma *eres-lt-if*:
assumes *E-max-lit*: *ord-res.is-maximal-lit L E* **and** *L-neg*: *is-neg L* **and**
D-max-lit: *linorder-lit.is-greatest-in-mset D (- L)*
shows $\text{eres } D E \prec_c E$
<proof>

lemma *eres-eq-after-ground-resolution*:
assumes *ground-resolution D C DC*
shows $\text{eres } D C = \text{eres } D DC$
<proof>

lemma *eres-eq-after-rtranclp-ground-resolution*:
assumes $(\text{ground-resolution } D)^{**} C DC$
shows $\text{eres } D C = \text{eres } D DC$
<proof>

lemma *eres-eq-after-tranclp-ground-resolution*:
assumes $(\text{ground-resolution } D)^{++} C DC$
shows $\text{eres } D C = \text{eres } D DC$
<proof>

lemma *resolvable-if-neq-eres*:
assumes $C \neq \text{eres } D C$
shows $\exists! DC. \text{ground-resolution } D C DC$
<proof>

lemma *nex-maximal-pos-lit-if-resolvable*:
assumes *ground-resolution D C DC*
shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-maximal-lit } L C$
<proof>

corollary *nex-strictly-maximal-pos-lit-if-resolvable*:

assumes *ground-resolution* $D C DC$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$

<proof>

corollary *nex-maximal-pos-lit-if-neq-eres*:

assumes $C \neq \text{eres } D C$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-maximal-lit } L C$

<proof>

corollary *nex-strictly-maximal-pos-lit-if-neq-eres*:

assumes $C \neq \text{eres } D C$

shows $\nexists L. \text{is-pos } L \wedge \text{ord-res.is-strictly-maximal-lit } L C$

<proof>

lemma *ground-resolutionD*:

assumes *ground-resolution* $D C DC$

shows $\exists m A D' C'.$

linorder-lit.is-greatest-in-mset $D (\text{Pos } A) \wedge$

linorder-lit.is-maximal-in-mset $C (\text{Neg } A) \wedge$

$D = \text{add-mset } (\text{Pos } A) D' \wedge$

$C = \text{replicate-mset } (\text{Suc } m) (\text{Neg } A) + C' \wedge \text{Neg } A \notin \# C' \wedge$

$DC = D' + \text{replicate-mset } m (\text{Neg } A) + C'$

<proof>

lemma *relpowp-ground-resolutionD*:

assumes $n \neq 0$ **and** (*ground-resolution* $D \rightsquigarrow n$) $C DnC$

shows $\exists m A D' C'. \text{Suc } m \geq n \wedge$

linorder-lit.is-greatest-in-mset $D (\text{Pos } A) \wedge$

linorder-lit.is-maximal-in-mset $C (\text{Neg } A) \wedge$

$D = \text{add-mset } (\text{Pos } A) D' \wedge$

$C = \text{replicate-mset } (\text{Suc } m) (\text{Neg } A) + C' \wedge \text{Neg } A \notin \# C' \wedge$

$DnC = \text{repeat-mset } n D' + \text{replicate-mset } (\text{Suc } m - n) (\text{Neg } A) + C'$

<proof>

lemma *tranclp-ground-resolutionD*:

assumes (*ground-resolution* D)⁺⁺ $C DnC$

shows $\exists n m A D' C'. \text{Suc } m \geq \text{Suc } n \wedge$

linorder-lit.is-greatest-in-mset $D (\text{Pos } A) \wedge$

linorder-lit.is-maximal-in-mset $C (\text{Neg } A) \wedge$

$D = \text{add-mset } (\text{Pos } A) D' \wedge$

$C = \text{replicate-mset } (\text{Suc } m) (\text{Neg } A) + C' \wedge \text{Neg } A \notin \# C' \wedge$

$DnC = \text{repeat-mset } (\text{Suc } n) D' + \text{replicate-mset } (\text{Suc } m - \text{Suc } n) (\text{Neg } A) +$

C'

<proof>

lemma *eres-not-identD*:

assumes $\text{eres } D \ C \neq C$
shows $\exists m \ A \ D' \ C'$.
 $\text{linorder-lit.is-greatest-in-mset } D \ (\text{Pos } A) \wedge$
 $\text{linorder-lit.is-maximal-in-mset } C \ (\text{Neg } A) \wedge$
 $D = \text{add-mset } (\text{Pos } A) \ D' \wedge$
 $C = \text{replicate-mset } (\text{Suc } m) \ (\text{Neg } A) + C' \wedge \text{Neg } A \notin\# C' \wedge$
 $\text{eres } D \ C = \text{repeat-mset } (\text{Suc } m) \ D' + C'$
 <proof>

lemma *lit-in-one-of-resolvents-if-in-eres:*
fixes $L :: 'f \ \text{gterm literal}$ **and** $C \ D :: 'f \ \text{gclause}$
assumes $L \in\# \text{eres } C \ D$
shows $L \in\# C \vee L \in\# D$
 <proof>

lemma *strong-lit-in-one-of-resolvents-if-in-eres:*
fixes $L :: 'f \ \text{gterm literal}$ **and** $C \ D :: 'f \ \text{gclause}$
assumes
 $D\text{-max-lit: linorder-lit.is-maximal-in-mset } D \ L$ **and**
 $K\text{-in: } K \in\# \text{eres } C \ D$
shows $K \in\# C \wedge K \neq -L \vee K \in\# D$
 <proof>

lemma *stronger-lit-in-one-of-resolvents-if-in-eres:*
fixes $K \ L :: 'f \ \text{gterm literal}$ **and** $C \ D :: 'f \ \text{gclause}$
assumes $\text{eres } C \ D \neq D$ **and**
 $D\text{-max-lit: linorder-lit.is-maximal-in-mset } D \ L$ **and**
 $K\text{-in-eres: } K \in\# \text{eres } C \ D$
shows $K \in\# C \wedge K \neq -L \vee K \in\# D \wedge K \neq L$
 <proof>

lemma *lit-in-eres-lt-greatest-lit-in-greatest-resolvent:*
fixes $K \ L :: 'f \ \text{gterm literal}$ **and** $C \ D :: 'f \ \text{gclause}$
assumes $\text{eres } C \ D \neq D$ **and**
 $D\text{-max-lit: linorder-lit.is-maximal-in-mset } D \ L$ **and**
 $-L \notin\# D$ **and**
 $K\text{-in-eres: } K \in\# \text{eres } C \ D$
shows $\text{atm-of } K \prec_t \text{atm-of } L$
 <proof>

lemma *eres-entails-resolvent:*
fixes $C \ D :: 'f \ \text{gterm clause}$
assumes $(\text{ground-resolution } C)^{++} \ D_0 \ D$
shows $\{\text{eres } C \ D_0\} \models_e \{D\}$
 <proof>

lemma *clause-true-if-resolved-true:*

assumes
 (ground-resolution D)⁺⁺ C DC **and**
 D -productive: ord-res.production N $D \neq \{\}$ **and**
 C -true: ord-res-Interp N $DC \models DC$
shows ord-res-Interp N $C \models C$
 ⟨proof⟩

lemma clause-true-if-eres-true:

assumes
 (ground-resolution $D1$)⁺⁺ $D2$ C **and**
 $C \neq \text{eres } D1$ C **and**
 eres- C -true: ord-res-Interp N (eres $D1$ C) $\models \text{eres } D1$ C
shows ord-res-Interp N $C \models C$
 ⟨proof⟩

end

end

theory ORD-RES-3

imports

ORD-RES

Exhaustive-Factorization

Exhaustive-Resolution

begin

17 ORD-RES-3 (full resolve)

context simulation-SCLFOL-ground-ordered-resolution **begin**

inductive ord-res-3 **where**

factoring:

is-least-false-clause ($N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}$) $C \implies$

linorder-lit.is-maximal-in-mset C $L \implies$

is-pos $L \implies$

$U_{ef}' = \text{finsert } (\text{efac } C) U_{ef} \implies$

ord-res-3 $N (U_{er}, U_{ef}) (U_{er}, U_{ef}') \mid$

resolution:

is-least-false-clause ($N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}$) $C \implies$

linorder-lit.is-maximal-in-mset C $L \implies$

is-neg $L \implies$

$D \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef} \implies$

$D \prec_c C \implies$

ord-res.production (fset ($N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}$)) $D = \{\text{atm-of } L\} \implies$

$U_{er}' = \text{finsert } (\text{eres } D) C U_{er} \implies$

ord-res-3 $N (U_{er}, U_{ef}) (U_{er}', U_{ef})$

inductive ord-res-3-step **where**

ord-res-3 N s $s' \implies \text{ord-res-3-step } (N, s) (N, s')$

inductive *ord-res-3-final* **where**

ord-res-final ($N \mid \cup \mid U_{rr} \mid \cup \mid U_{ef}$) \implies *ord-res-3-final* ($N, (U_{rr}, U_{ef})$)

inductive *ord-res-3-load* **where**

$N \neq \{\mid\} \implies$ *ord-res-3-load* N ($N, (\{\mid\}, \{\mid\})$)

sublocale *ord-res-3-semantic: semantics* **where**

step = *ord-res-3-step* **and**

final = *ord-res-3-final*

<proof>

sublocale *ord-res-3-language: language* **where**

step = *ord-res-3-step* **and**

final = *ord-res-3-final* **and**

load = *ord-res-3-load*

<proof>

lemma *is-least-false-clause-conv-if-partial-resolution-invariant:*

assumes $\forall C \mid \in \mid U_{pr}. \exists D1 \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}. \exists D2 \mid \in \mid N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}.$

$(\text{ground-resolution } D1)^{++} D2 C \wedge C \neq \text{eres } D1 D2 \wedge \text{eres } D1 D2 \mid \in \mid U_{er}$

shows *is-least-false-clause* ($N \mid \cup \mid U_{pr} \mid \cup \mid U_{er} \mid \cup \mid U_{ef}$) = *is-least-false-clause* ($N \mid \cup \mid U_{er} \mid \cup \mid U_{ef}$)

<proof>

lemma *right-unique-ord-res-3: right-unique* (*ord-res-3* N)

<proof>

lemma *right-unique-ord-res-3-step: right-unique ord-res-3-step*

<proof>

lemma *ex-ord-res-3-if-not-final:*

assumes \neg *ord-res-3-final* S

shows $\exists S'. \text{ord-res-3-step } S S'$

<proof>

corollary *ord-res-3-step-safe: ord-res-3-final* $S \vee (\exists S'. \text{ord-res-3-step } S S')$

<proof>

end

end

theory *Implicit-Exhaustive-Factorization*

imports

Exhaustive-Factorization

Exhaustive-Resolution

begin

18 Function for implicit full factorization

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *iefac* **where**

$iefac \mathcal{F} C = (if\ C\ |\in|\ \mathcal{F}\ then\ efac\ C\ else\ C)$

lemma *iefac-mempty*[*simp*]:

fixes $\mathcal{F} :: 'f\ gclause\ fset$

shows $iefac\ \mathcal{F}\ \{\#\} = \{\#\}$

<proof>

lemma *fset-mset-iefac*[*simp*]:

fixes $\mathcal{F} :: 'f\ gclause\ fset$ **and** $C :: 'f\ gclause$

shows $fset-mset\ (iefac\ \mathcal{F}\ C) = fset-mset\ C$

<proof>

lemma *atms-of-cls-iefac*[*simp*]:

fixes $\mathcal{F} :: 'f\ gclause\ fset$ **and** $C :: 'f\ gclause$

shows $atms-of-cls\ (iefac\ \mathcal{F}\ C) = atms-of-cls\ C$

<proof>

lemma *iefac-le*:

fixes $\mathcal{F} :: 'f\ gclause\ fset$ **and** $C :: 'f\ gclause$

shows $iefac\ \mathcal{F}\ C \preceq_c\ C$

<proof>

lemma *true-cls-iefac-iff*[*simp*]:

fixes $\mathcal{I} :: 'f\ gterm\ set$ **and** $\mathcal{F} :: 'f\ gclause\ fset$ **and** $C :: 'f\ gclause$

shows $\mathcal{I} \models iefac\ \mathcal{F}\ C \iff \mathcal{I} \models C$

<proof>

lemma *funion-funion-eq-funion-funion-fimage-iefac-if*:

assumes $U_{ef}\text{-eq}: U_{ef} = iefac\ \mathcal{F}\ |\uparrow\ \{\{C\ |\in|\ N\ |\cup|\ U_{er}. iefac\ \mathcal{F}\ C \neq C\}\}$

shows $N\ |\cup|\ U_{er}\ |\cup|\ U_{ef} = N\ |\cup|\ U_{er}\ |\cup|\ (iefac\ \mathcal{F}\ |\uparrow\ (N\ |\cup|\ U_{er}))$

<proof>

lemma *clauses-for-iefac-are-unproductive*:

$\forall C\ |\in|\ N\ \text{---}\ iefac\ \mathcal{F}\ |\uparrow\ N. \forall U. ord\text{-res.}\text{production}\ U\ C = \{\}$

<proof>

lemma *clauses-for-iefac-have-smaller-entailing-clause*:

$\forall C\ |\in|\ N\ \text{---}\ iefac\ \mathcal{F}\ |\uparrow\ N. \exists D\ |\in|\ iefac\ \mathcal{F}\ |\uparrow\ N. D \prec_c\ C \wedge \{D\} \models_e \{C\}$

<proof>

lemma *is-least-false-clause-with-iefac-conv*:

$is\text{-least-false-clause}\ (N\ |\cup|\ U_{er}\ |\cup|\ iefac\ \mathcal{F}\ |\uparrow\ (N\ |\cup|\ U_{er})) =$

is-least-false-clause (*iefac* \mathcal{F} | \uparrow | (N | \cup | U_{er}))
 <proof>

lemma *MAGIC₄*:

fixes N \mathcal{F} \mathcal{F}' U_{er} U_{er}'

defines

$N1 \equiv \text{iefac } \mathcal{F} \text{ |}\uparrow\text{| } (N \text{ |}\cup\text{| } U_{er})$ **and**

$N2 \equiv \text{iefac } \mathcal{F}' \text{ |}\uparrow\text{| } (N \text{ |}\cup\text{| } U_{er}')$

assumes

subsets-agree: $\{|x \mid \in N1. x \prec_c C|\} = \{|x \mid \in N2. x \prec_c C|\}$ **and**

is-least-false-clause $N1$ D **and**

is-least-false-clause $N2$ E **and**

$C \prec_c D$

shows $C \preceq_c E$

<proof>

lemma *atms-of-clss-fimage-iefac[simp]*:

atms-of-clss (*iefac* \mathcal{F} | \uparrow | N) = *atms-of-clss* N

<proof>

lemma *atm-of-in-atms-of-clssI*:

assumes *L-in*: $L \in \# C$ **and** *C-in*: $C \mid \in \mid \text{iefac } \mathcal{F} \text{ |}\uparrow\text{| } N$

shows *atm-of* $L \mid \in \mid \text{atms-of-clss } N$

<proof>

lemma *clause-almost-almost-definedI*:

fixes Γ D K

assumes

D-in: $D \mid \in \mid \text{iefac } \mathcal{F} \text{ |}\uparrow\text{| } (N \text{ |}\cup\text{| } U_{er})$ **and**

D-max-lit: *ord-res.is-maximal-lit* K D **and**

no-undef-atm: $\neg (\exists A \mid \in \mid \text{atms-of-clss } (N \text{ |}\cup\text{| } U_{er}). A \prec_t \text{atm-of } K \wedge A \notin$

trail-atms Γ)

shows *trail-defined-cls* $\Gamma \{\#L \in \# D. L \neq K \wedge L \neq -K\#$

<proof>

lemma *clause-almost-definedI*:

fixes Γ D K

assumes

D-in: $D \mid \in \mid \text{iefac } \mathcal{F} \text{ |}\uparrow\text{| } (N \text{ |}\cup\text{| } U_{er})$ **and**

D-max-lit: *ord-res.is-maximal-lit* K D **and**

no-undef-atm: $\neg (\exists A \mid \in \mid \text{atms-of-clss } (N \text{ |}\cup\text{| } U_{er}). A \prec_t \text{atm-of } K \wedge A \notin$

trail-atms Γ) **and**

K-defined: *trail-defined-lit* Γ K

shows *trail-defined-cls* $\Gamma \{\#Ka \in \# D. Ka \neq K\#$

<proof>

lemma *eres-not-in-known-clauses-if-trail-false-cls*:

fixes

$\mathcal{F} :: \text{'f gclause fset}$ **and**

$\Gamma :: ('f\ gliteral \times 'f\ gclause\ option)\ list$
assumes
 Γ -consistent: trail-consistent Γ **and**
clauses-lt-E-true: $\forall C \in |iefac\ \mathcal{F}\ |\uparrow (N \cup U_{er}). C \prec_c E \longrightarrow trail\ true\ cls\ \Gamma$
C and
eres $D\ E \prec_c E$ **and**
trail-false-cls Γ (eres $D\ E$)
shows eres $D\ E \notin |N \cup U_{er}$
<proof>

lemma no-undefined-atom-le-max-lit-of-false-clause:

assumes
 Γ -lower-set: linorder-trm.is-lower-fset (trail-atms Γ) (atms-of-clss ($N \cup U_{er}$))
and
D-in: $D \in |iefac\ \mathcal{F}\ |\uparrow (N \cup U_{er})$ **and**
D-false: trail-false-cls $\Gamma\ D$ **and**
D-max-lit: linorder-lit.is-maximal-in-mset $D\ L$
shows $\neg (\exists A \in |atms\ of\ clss\ (N \cup U_{er}). A \preceq_t atm\ of\ L \wedge A \notin |trail\ atms\ \Gamma)$
<proof>

lemma trail-defined-if-no-undef-atom-le-max-lit:

assumes
C-in: $C \in |iefac\ \mathcal{F}\ |\uparrow (N \cup U_{er})$ **and**
C-max-lit: linorder-lit.is-maximal-in-mset $C\ K$ **and**
no-undef-atom-le-K:
 $\neg (\exists A \in |atms\ of\ clss\ (N \cup U_{er}). A \preceq_t atm\ of\ K \wedge A \notin |trail\ atms\ \Gamma)$
shows trail-defined-cls $\Gamma\ C$
<proof>

lemma no-undef-atom-le-max-lit-if-lt-false-clause:

assumes
 Γ -lower-set: linorder-trm.is-lower-fset (trail-atms Γ) (atms-of-clss ($N \cup U_{er}$))
and
D-in: $D \in |iefac\ \mathcal{F}\ |\uparrow (N \cup U_{er})$ **and**
D-false: trail-false-cls $\Gamma\ D$ **and**
D-max-lit: linorder-lit.is-maximal-in-mset $D\ L$ **and**
C-in: $C \in |iefac\ \mathcal{F}\ |\uparrow (N \cup U_{er})$ **and**
C-max-lit: linorder-lit.is-maximal-in-mset $C\ K$ **and**
C-lt: $C \prec_c D$
shows $\neg (\exists A \in |atms\ of\ clss\ (N \cup U_{er}). A \preceq_t atm\ of\ K \wedge A \notin |trail\ atms\ \Gamma)$
<proof>

lemma bex-trail-false-cls-simp:

fixes $\mathcal{F}\ N\ \Gamma$
shows $fBex\ (iefac\ \mathcal{F}\ |\uparrow\ N)\ (trail\ false\ cls\ \Gamma) \longleftrightarrow fBex\ N\ (trail\ false\ cls\ \Gamma)$
<proof>

end

```

end
theory ORD-RES-4
  imports
    ORD-RES
    Implicit-Exhaustive-Factorization
    Exhaustive-Resolution
begin

```

19 ORD-RES-4 (implicit factorization)

```

context simulation-SCLFOL-ground-ordered-resolution begin

```

inductive *ord-res-4* **where**

factoring:

$$\begin{aligned}
& NN = \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}) \implies \\
& \text{is-least-false-clause } NN \ C \implies \\
& \text{linorder-lit.is-maximal-in-mset } C \ L \implies \\
& \text{is-pos } L \implies \\
& \mathcal{F}' = \text{finsert } C \ \mathcal{F} \implies \\
& \text{ord-res-4 } N \ (U_{er}, \mathcal{F}) \ (U_{er}, \mathcal{F}') \mid
\end{aligned}$$

resolution:

$$\begin{aligned}
& NN = \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}) \implies \\
& \text{is-least-false-clause } NN \ C \implies \\
& \text{linorder-lit.is-maximal-in-mset } C \ L \implies \\
& \text{is-neg } L \implies \\
& D \mid \in \mid NN \implies \\
& D \prec_c C \implies \\
& \text{ord-res.production (fset } NN) \ D = \{\text{atm-of } L\} \implies \\
& U_{er}' = \text{finsert } (\text{eres } D \ C) \ U_{er} \implies \\
& \text{ord-res-4 } N \ (U_{er}, \mathcal{F}) \ (U_{er}', \mathcal{F})
\end{aligned}$$

inductive *ord-res-4-step* **where**

$$\text{ord-res-4 } N \ s \ s' \implies \text{ord-res-4-step } (N, s) \ (N, s')$$

inductive *ord-res-4-final* **where**

$$\text{ord-res-final } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) \implies \text{ord-res-4-final } (N, U_{er}, \mathcal{F})$$

sublocale *ord-res-4-semantics: semantics* **where**

step = *ord-res-4-step* **and**

final = *ord-res-4-final*

<proof>

lemma *right-unique-ord-res-4: right-unique (ord-res-4 N)*

<proof>

lemma *right-unique-ord-res-4-step: right-unique ord-res-4-step*

<proof>

lemma *ex-ord-res-4-if-not-final*:
assumes $\neg \text{ord-res-4-final } S$
shows $\exists S'. \text{ord-res-4-step } S S'$
<proof>

corollary *ord-res-4-step-safe*: $\text{ord-res-4-final } S \vee (\exists S'. \text{ord-res-4-step } S S')$
<proof>

end

end

theory *ORD-RES-5*

imports

Background

Implicit-Exhaustive-Factorization

Exhaustive-Resolution

begin

20 ORD-RES-5 (explicit model construction)

type-synonym *'f ord-res-5* = *'f gclause fset* \times *'f gclause fset* \times *'f gclause fset* \times
'f gterm \Rightarrow *'f gclause option* \times *'f gclause option*

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-5* **where**

skip:

$(\text{dom } \mathcal{M}) \models C \Longrightarrow$
 $C' = \text{The-optional} (\text{linorder-cls.is-least-in-fset } \{|D | \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}).$
 $C \prec_c D | \}) \Longrightarrow$
 $\text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}, C') |$

production:

$\neg (\text{dom } \mathcal{M}) \models C \Longrightarrow$
 $\text{linorder-lit.is-maximal-in-mset } C L \Longrightarrow$
 $\text{is-pos } L \Longrightarrow$
 $\text{linorder-lit.is-greatest-in-mset } C L \Longrightarrow$
 $\mathcal{M}' = \mathcal{M}(\text{atm-of } L := \text{Some } C) \Longrightarrow$
 $C' = \text{The-optional} (\text{linorder-cls.is-least-in-fset } \{|D | \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}).$
 $C \prec_c D | \}) \Longrightarrow$
 $\text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}', C') |$

factoring:

$\neg (\text{dom } \mathcal{M}) \models C \Longrightarrow$
 $\text{linorder-lit.is-maximal-in-mset } C L \Longrightarrow$
 $\text{is-pos } L \Longrightarrow$
 $\neg \text{linorder-lit.is-greatest-in-mset } C L \Longrightarrow$
 $\mathcal{F}' = \text{finsert } C \mathcal{F} \Longrightarrow$
 $\mathcal{M}' = (\lambda-. \text{None}) \Longrightarrow$

$C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } (\text{iefac } \mathcal{F}' \mid \uparrow (N \mid \cup \mid U_{er}))) \implies$
 $\text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}', \mathcal{M}', C') \mid$

resolution:

$\neg (\text{dom } \mathcal{M}) \Vdash C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\text{is-neg } L \implies$
 $\mathcal{M} (\text{atm-of } L) = \text{Some } D \implies$
 $U_{er}' = \text{finsert } (\text{eres } D C) U_{er} \implies$
 $\mathcal{M}' = (\lambda\cdot. \text{None}) \implies$
 $C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))) \implies$
 $\text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}', \mathcal{F}, \mathcal{M}', C')$

inductive $\text{ord-res-5-step} :: 'f \text{ ord-res-5} \Rightarrow 'f \text{ ord-res-5} \Rightarrow \text{bool}$ **where**
 $\text{ord-res-5 } N s s' \implies \text{ord-res-5-step } (N, s) (N, s')$

lemma $\text{tranclp-ord-res-5-step-if-tranclp-ord-res-5}$:
 $(\text{ord-res-5 } N)^{++} s s' \implies \text{ord-res-5-step}^{++} (N, s) (N, s')$
 $\langle \text{proof} \rangle$

inductive $\text{ord-res-5-final} :: 'f \text{ ord-res-5} \Rightarrow \text{bool}$ **where**
model-found:
 $\text{ord-res-5-final } (N, U_{er}, \mathcal{F}, \mathcal{M}, \text{None}) \mid$

contradiction-found:
 $\text{ord-res-5-final } (N, U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } \{\#\})$

sublocale ord-res-5-antics : *semantics* **where**
 $\text{step} = \text{ord-res-5-step}$ **and**
 $\text{final} = \text{ord-res-5-final}$
 $\langle \text{proof} \rangle$

lemma $\text{right-unique-ord-res-5}$: $\text{right-unique } (\text{ord-res-5 } N)$
 $\langle \text{proof} \rangle$

lemma $\text{right-unique-ord-res-5-step}$: $\text{right-unique } \text{ord-res-5-step}$
 $\langle \text{proof} \rangle$

definition $\text{next-clause-in-factorized-clause}$ **where**
 $\text{next-clause-in-factorized-clause } N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \mathcal{M} C. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) \longrightarrow C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))$

lemma $\text{next-clause-in-factorized-clause}$:
assumes $\text{step: ord-res-5 } N s s'$
shows $\text{next-clause-in-factorized-clause } N s'$
 $\langle \text{proof} \rangle$

definition $\text{implicitly-factorized-clauses-subset}$ **where**
 $\text{implicitly-factorized-clauses-subset } N s \longleftrightarrow$

$$(\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \longrightarrow \mathcal{F} \sqsubseteq N \mid \cup U_{er})$$

lemma *ord-res-5-preserves-implicitly-factorized-clauses-subset*:

assumes

step: *ord-res-5* N s s' **and**

invars:

implicitly-factorized-clauses-subset N s **and**

next-clause-in-factorized-clause N s

shows *implicitly-factorized-clauses-subset* N s'

<proof>

lemma *interp-eq-Interp-if-least-greater*:

assumes

C-in: $C \in NN$ **and**

D-least-gt-C: *linorder-cls.is-least-in-fset* (*ffilter* (\prec_c) C) NN) D

shows *ord-res.interp* (*fset* NN) $D = \text{ord-res.interp}$ (*fset* NN) $C \cup \text{ord-res.production}$ (*fset* NN) C

<proof>

lemma *interp-eq-empty-if-least-in-set*:

assumes *linorder-cls.is-least-in-set* N C

shows *ord-res.interp* N $C = \{\}$

<proof>

definition *model-eq-interp-upto-next-clause* **where**

model-eq-interp-upto-next-clause N $s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) \longrightarrow$

$\text{dom } \mathcal{M} = \text{ord-res.interp}$ (*fset* (*iefac* $\mathcal{F} \mid \uparrow$ ($N \mid \cup U_{er}$))) C)

lemma *model-eq-interp-upto-next-clause*:

assumes *step*: *ord-res-5* N s s' **and**

invars:

model-eq-interp-upto-next-clause N s

next-clause-in-factorized-clause N s

shows *model-eq-interp-upto-next-clause* N s'

<proof>

definition *all-smaller-clauses-true-wrt-respective-Interp* **where**

all-smaller-clauses-true-wrt-respective-Interp N $s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \longrightarrow$

$(\forall C \in \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$

ord-res.Interp (*fset* (*iefac* $\mathcal{F} \mid \uparrow$ ($N \mid \cup U_{er}$))) $C \models C$)

lemma *all-smaller-clauses-true-wrt-respective-Interp*:

assumes *step*: *ord-res-5* N s s' **and**

invars:

all-smaller-clauses-true-wrt-respective-Interp N s

model-eq-interp-upto-next-clause N s

next-clause-in-factorized-clause N s

shows *all-smaller-clauses-true-wrt-respective-Interp* $N s'$
 ⟨*proof*⟩

lemma *all-smaller-clauses-true-wrt-model*:

assumes

invars:

all-smaller-clauses-true-wrt-respective-Interp $N s$

model-eq-interp-upto-next-clause $N s$

shows $\forall U_{er} \mathcal{F} \mathcal{M} D. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } D) \longrightarrow$

$(\forall C \in | \text{iefac } \mathcal{F} \uparrow | (N \cup U_{er}). C \prec_c D \longrightarrow \text{dom } \mathcal{M} \models C)$

⟨*proof*⟩

definition *model-eq-sublocale* **where**

model-eq-sublocale $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \mathcal{M}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{None}) \longrightarrow$

$(\text{let } NN = \text{fset } (\text{iefac } \mathcal{F} \uparrow | (N \cup U_{er})) \text{ in } \text{dom } \mathcal{M} = \bigcup (\text{ord-res.production } NN \text{ ' } NN)))$

lemma *all-smaller-clauses-true-wrt-model-strong*:

assumes

invars:

all-smaller-clauses-true-wrt-respective-Interp $N s$

model-eq-interp-upto-next-clause $N s$

model-eq-sublocale $N s$

shows $\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \longrightarrow$

$(\forall C \in | \text{iefac } \mathcal{F} \uparrow | (N \cup U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow \text{dom } \mathcal{M}$

$\models C)$

⟨*proof*⟩

lemma *next-clause-lt-least-false-clause*:

assumes

invars:

all-smaller-clauses-true-wrt-respective-Interp $N s$

model-eq-interp-upto-next-clause $N s$

shows $\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } \mathcal{C}) \longrightarrow$

$(\forall D. \text{is-least-false-clause } (\text{iefac } \mathcal{F} \uparrow | (N \cup U_{er})) D \longrightarrow C \preceq_c D)$

⟨*proof*⟩

definition *atoms-in-model-were-produced* **where**

atoms-in-model-were-produced $N s \longleftrightarrow$

$(\forall U_{er} \mathcal{F} \mathcal{M} \mathcal{C}. s = (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \longrightarrow (\forall A \in \mathcal{C}. \mathcal{M} A = \text{Some } C \longrightarrow$

$A \in \text{ord-res.production } (\text{fset } (\text{iefac } \mathcal{F} \uparrow | (N \cup U_{er}))) C))$

lemma *atoms-in-model-were-produced*:

assumes *step*: *ord-res-5* $N s s'$ **and**

invars:

atoms-in-model-were-produced $N s$

model-eq-interp-upto-next-clause $N s$

next-clause-in-factorized-clause $N s$

shows *atoms-in-model-were-produced* $N s'$
 ⟨*proof*⟩

definition *all-produced-atoms-in-model* **where**

all-produced-atoms-in-model $N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \mathcal{M} D. s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } D) \longrightarrow (\forall C A. C \prec_c D \longrightarrow$
 $A \in \text{ord-res.production (fset (iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}))) C \longrightarrow \mathcal{M} A = \text{Some}$
 $C))$

lemma *all-produced-atoms-in-model*:

assumes *step*: *ord-res-5* $N s s'$ **and**

invars:

all-produced-atoms-in-model $N s$
model-eq-interp-upto-next-clause $N s$
next-clause-in-factorized-clause $N s$

shows *all-produced-atoms-in-model* $N s'$

⟨*proof*⟩

definition *ord-res-5-invars* **where**

ord-res-5-invars $N s \longleftrightarrow$
next-clause-in-factorized-clause $N s \wedge$
implicitly-factorized-clauses-subset $N s \wedge$
model-eq-interp-upto-next-clause $N s \wedge$
all-smaller-clauses-true-wrt-respective-Interp $N s \wedge$
atoms-in-model-were-produced $N s \wedge$
all-produced-atoms-in-model $N s$

lemma *ord-res-5-invars-initial-state*:

assumes

F-subset: $\mathcal{F} \mid \subseteq \mid N \mid \cup \mid U_{er}$ **and**

C-least: *linorder-cls.is-least-in-fset (iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) C*

shows *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \text{Map.empty}, \text{Some } C)$

⟨*proof*⟩

lemma *ord-res-5-preserves-invars*:

assumes *step*: *ord-res-5* $N s s'$ **and** *invars*: *ord-res-5-invars* $N s$

shows *ord-res-5-invars* $N s'$

⟨*proof*⟩

lemma *rtranclp-ord-res-5-preserves-invars*:

assumes *steps*: $(\text{ord-res-5 } N)^{**} s s'$ **and** *invars*: *ord-res-5-invars* $N s$

shows *ord-res-5-invars* $N s'$

⟨*proof*⟩

lemma *tranclp-ord-res-5-preserves-invars*:

assumes *steps*: $(\text{ord-res-5 } N)^{++} s s'$ **and** *invars*: *ord-res-5-invars* $N s$

shows *ord-res-5-invars* $N s'$

⟨*proof*⟩

lemma *le-least-false-clause*:

fixes $N s U_{er} \mathcal{F} \mathcal{M} C D$

assumes

invars: *ord-res-5-invars* $N s$ **and**

s-def: $s = (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)$ **and**

D-least-false: *is-least-false-clause* (*iefac* $\mathcal{F} \mid^{\dagger} (N \mid \cup \mid U_{er})$) D

shows $C \preceq_c D$

<proof>

lemma *ex-ord-res-5-if-not-final*:

assumes

not-final: $\neg \text{ord-res-5-final } S$ **and**

invars: $\forall N s. S = (N, s) \longrightarrow \text{ord-res-5-invars } N s$

shows $\exists S'. \text{ord-res-5-step } S S'$

<proof>

lemma *ord-res-5-safe-state-if-invars*:

fixes $N s$

assumes *invars*: *ord-res-5-invars* $N s$

shows *safe-state* *ord-res-5-step* *ord-res-5-final* (N, s)

<proof>

lemma *MAGIC1*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$

shows $\exists \mathcal{M}' \mathcal{C}'. (\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}') \wedge$
 $(\nexists \mathcal{M}'' \mathcal{C}''. \text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}') (U_{er}, \mathcal{F}, \mathcal{M}'', \mathcal{C}''))$

<proof>

lemma *MAGIC2*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C)$

assumes $C \neq \{\#\}$

shows $\exists s'. \text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) s'$

<proof>

lemma *MAGIC3*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$ **and**

steps: $(\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}')$ **and**

no-more-steps: $(\nexists \mathcal{M}'' \mathcal{C}''. \text{ord-res-5 } N (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}') (U_{er}, \mathcal{F}, \mathcal{M}'', \mathcal{C}''))$

shows $(\forall C. C' = \text{Some } C \longleftrightarrow \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid^{\dagger} (N \mid \cup \mid U_{er})) C)$

<proof>

lemma *ord-res-5-construct-model-upto-least-false-clause*:

assumes *invars*: *ord-res-5-invars* $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$

shows $\exists \mathcal{M}' \mathcal{C}'. (\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (U_{er}, \mathcal{F}, \mathcal{M}', \mathcal{C}') \wedge$

$(\forall C. C' = \text{Some } C \longleftrightarrow \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid^{\dagger} (N \mid \cup \mid U_{er})) C)$

<proof>

end

```

end
theory ORD-RES-6
  imports
    ORD-RES-5
begin

```

21 ORD-RES-6 (model backjump)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-6* **where**

skip:

$$\begin{aligned}
& (\text{dom } \mathcal{M}) \models C \implies \\
& C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D | \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}). \\
C \prec_c D\}) \implies \\
& \text{ord-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}, C') |
\end{aligned}$$

production:

$$\begin{aligned}
& \neg (\text{dom } \mathcal{M}) \models C \implies \\
& \text{linorder-lit.is-maximal-in-mset } C L \implies \\
& \text{is-pos } L \implies \\
& \text{linorder-lit.is-greatest-in-mset } C L \implies \\
& \mathcal{M}' = \mathcal{M}(\text{atm-of } L := \text{Some } C) \implies \\
& C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D | \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}). \\
C \prec_c D\}) \implies \\
& \text{ord-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}', C') |
\end{aligned}$$

factoring:

$$\begin{aligned}
& \neg (\text{dom } \mathcal{M}) \models C \implies \\
& \text{linorder-lit.is-maximal-in-mset } C L \implies \\
& \text{is-pos } L \implies \\
& \neg \text{linorder-lit.is-greatest-in-mset } C L \implies \\
& \mathcal{F}' = \text{finsert } C \mathcal{F} \implies \\
& \text{ord-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}, \mathcal{F}', \mathcal{M}, \text{Some } (\text{efac } C)) |
\end{aligned}$$

resolution-bot:

$$\begin{aligned}
& \neg (\text{dom } \mathcal{M}) \models C \implies \\
& \text{linorder-lit.is-maximal-in-mset } C L \implies \\
& \text{is-neg } L \implies \\
& \mathcal{M} (\text{atm-of } L) = \text{Some } D \implies \\
& U_{er}' = \text{finsert } (\text{eres } D C) U_{er} \implies \\
& \text{eres } D C = \{\#\} \implies \\
& \mathcal{M}' = (\lambda-. \text{None}) \implies \\
& \text{ord-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } C) (U_{er}', \mathcal{F}, \mathcal{M}', \text{Some } \{\#\}) |
\end{aligned}$$

resolution-pos:

$$\begin{aligned}
& \neg (\text{dom } \mathcal{M}) \models C \implies \\
& \text{linorder-lit.is-maximal-in-mset } C L \implies
\end{aligned}$$

$is\text{-neg } L \implies$
 $\mathcal{M} (atm\text{-of } L) = Some\ D \implies$
 $U_{er}' = finsert (eres\ D\ C)\ U_{er} \implies$
 $eres\ D\ C \neq \{\#\} \implies$
 $\mathcal{M}' = restrict\text{-map } \mathcal{M} \{A. A \prec_t atm\text{-of } K\} \implies$
 $linorder\text{-lit.is-maximal-in-mset} (eres\ D\ C)\ K \implies$
 $is\text{-pos } K \implies$
 $ord\text{-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, Some\ C) (U_{er}', \mathcal{F}, \mathcal{M}', Some\ (eres\ D\ C)) \mid$

resolution-neg:
 $\neg (dom\ \mathcal{M}) \models C \implies$
 $linorder\text{-lit.is-maximal-in-mset } C\ L \implies$
 $is\text{-neg } L \implies$
 $\mathcal{M} (atm\text{-of } L) = Some\ D \implies$
 $U_{er}' = finsert (eres\ D\ C)\ U_{er} \implies$
 $eres\ D\ C \neq \{\#\} \implies$
 $\mathcal{M}' = restrict\text{-map } \mathcal{M} \{A. A \prec_t atm\text{-of } K\} \implies$
 $linorder\text{-lit.is-maximal-in-mset} (eres\ D\ C)\ K \implies$
 $is\text{-neg } K \implies$
 $\mathcal{M} (atm\text{-of } K) = Some\ E \implies$
 $ord\text{-res-6 } N (U_{er}, \mathcal{F}, \mathcal{M}, Some\ C) (U_{er}', \mathcal{F}, \mathcal{M}', Some\ E)$

inductive ord-res-6-step where

$ord\text{-res-6 } N\ s\ s' \implies ord\text{-res-6-step } (N, s) (N, s')$

lemma tranclp-ord-res-6-step-if-tranclp-ord-res-6:

$(ord\text{-res-6 } N)^{++}\ s\ s' \implies ord\text{-res-6-step}^{++} (N, s) (N, s')$
 $\langle proof \rangle$

lemma right-unique-ord-res-6: right-unique (ord-res-6 N)

$\langle proof \rangle$

lemma right-unique-ord-res-6-step: right-unique ord-res-6-step

$\langle proof \rangle$

inductive ord-res-6-final where

model-found:

$ord\text{-res-6-final } (N, U_{er}, \mathcal{F}, \mathcal{M}, None) \mid$

contradiction-found:

$ord\text{-res-6-final } (N, U_{er}, \mathcal{F}, \mathcal{M}, Some\ \{\#\})$

sublocale ord-res-6-antics: semantics where

step = ord-res-6-step and

final = ord-res-6-final

$\langle proof \rangle$

lemma ord-res-6-preserves-invars:

assumes step: ord-res-6 N s s' and invars: ord-res-5-invars N s

shows *ord-res-5-invars* $N s'$
 ⟨*proof*⟩

lemma *rtranclp-ord-res-6-preserves-invars*:
assumes *steps*: $(\text{ord-res-6 } N)^{**} s s'$ **and** *invars*: *ord-res-5-invars* $N s$
shows *ord-res-5-invars* $N s'$
 ⟨*proof*⟩

lemma *ex-ord-res-6-if-not-final*:
assumes
not-final: $\neg \text{ord-res-6-final } S$ **and**
invars: $\forall N s. S = (N, s) \longrightarrow \text{ord-res-5-invars } N s$
shows $\exists S'. \text{ord-res-6-step } S S'$
 ⟨*proof*⟩

lemma *ord-res-6-safe-state-if-invars*:
safe-state ord-res-6-step ord-res-6-final (N, s) **if** *invars*: *ord-res-5-invars* $N s$ **for**
 $N s$
 ⟨*proof*⟩

lemma *ex-model-build-from-least-clause-to-any-less-than-least-false*:
assumes
F-subset: $\mathcal{F} \mid\subseteq\mid N \mid\cup\mid U_{er}$ **and**
C-least: *linorder-cls.is-least-in-fset* $(\text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er})) C$ **and**
D-in: $D \mid\in\mid \text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er})$ **and**
D-lt-least-false: $\forall E. \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er})) E \longrightarrow D \preceq_c$
 E **and**
 $C \preceq_c D$
shows $\exists \mathcal{M}. (\text{ord-res-5 } N)^{**} (U_{er}, \mathcal{F}, \text{Map.empty}, \text{Some } C) (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } D)$
 ⟨*proof*⟩

lemma *full-rtranclp-ord-res-5-run-upto*:
assumes
ord-res-6 $N (U_{er}, \mathcal{F}, \mathcal{M}, \text{Some } E) (U_{er}', \mathcal{F}', \mathcal{M}', \text{Some } D)$ **and**
invars: *ord-res-5-invars* $N (U_{er}', \mathcal{F}', \mathcal{M}', \text{Some } D)$ **and**
M'-def: $\mathcal{M}' = \text{restrict-map } \mathcal{M} \{A. \exists K. \text{linorder-lit.is-maximal-in-mset } D K \wedge$
 $A \prec_t \text{atm-of } K\}$ **and**
C-least: *linorder-cls.is-least-in-fset* $(\text{iefac } \mathcal{F}' \mid\uparrow\mid (N \mid\cup\mid U_{er}')) C$
shows $(\text{ord-res-5 } N)^{**} (U_{er}', \mathcal{F}', \text{Map.empty}, \text{Some } C) (U_{er}', \mathcal{F}', \mathcal{M}', \text{Some } D)$
 ⟨*proof*⟩

end

end

theory *ORD-RES-7*

imports

Background

Implicit-Exhaustive-Factorization

begin

22 ORD-RES-7 (clause-guided literal trail construction)

context *simulation-SCLFOL-ground-ordered-resolution* begin

inductive *ord-res-7* where

decide-neg:

$$\begin{aligned} & \neg \text{trail-false-cls } \Gamma \ C \implies \\ & \text{linorder-lit.is-maximal-in-mset } C \ L \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A| \in | \text{atms-of-clss } (N \cup U_{er})\}. \\ & A \prec_t \text{atm-of } L \wedge A \notin | \text{trail-atms } \Gamma \} \ A \implies \\ & \Gamma' = (\text{Neg } A, \text{None}) \# \Gamma \implies \\ & \text{ord-res-7 } N \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) \ (U_{er}, \mathcal{F}, \Gamma', \text{Some } C) \ | \end{aligned}$$

skip-defined:

$$\begin{aligned} & \neg \text{trail-false-cls } \Gamma \ C \implies \\ & \text{linorder-lit.is-maximal-in-mset } C \ L \implies \\ & \neg(\exists A \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } L \wedge A \notin | \text{trail-atms } \Gamma) \implies \\ & \text{trail-defined-lit } \Gamma \ L \implies \\ & C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D| \in | \text{iefac } \mathcal{F} \mid \uparrow (N \cup U_{er}). \\ & C \prec_c D\}) \implies \\ & \text{ord-res-7 } N \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) \ (U_{er}, \mathcal{F}, \Gamma, C') \ | \end{aligned}$$

skip-undefined-neg:

$$\begin{aligned} & \neg \text{trail-false-cls } \Gamma \ C \implies \\ & \text{linorder-lit.is-maximal-in-mset } C \ L \implies \\ & \neg(\exists A \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } L \wedge A \notin | \text{trail-atms } \Gamma) \implies \\ & \neg \text{trail-defined-lit } \Gamma \ L \implies \\ & \text{is-neg } L \implies \\ & \Gamma' = (L, \text{None}) \# \Gamma \implies \\ & C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D| \in | \text{iefac } \mathcal{F} \mid \uparrow (N \cup U_{er}). \\ & C \prec_c D\}) \implies \\ & \text{ord-res-7 } N \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) \ (U_{er}, \mathcal{F}, \Gamma', C') \ | \end{aligned}$$

skip-undefined-pos:

$$\begin{aligned} & \neg \text{trail-false-cls } \Gamma \ C \implies \\ & \text{linorder-lit.is-maximal-in-mset } C \ L \implies \\ & \neg(\exists A \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } L \wedge A \notin | \text{trail-atms } \Gamma) \implies \\ & \neg \text{trail-defined-lit } \Gamma \ L \implies \\ & \text{is-pos } L \implies \\ & \neg \text{trail-false-cls } \Gamma \ \{\#K \in \# C. K \neq L\# \} \implies \\ & \text{linorder-cls.is-least-in-fset } \{|D| \in | \text{iefac } \mathcal{F} \mid \uparrow (N \cup U_{er}). C \prec_c D\} \ D \implies \\ & \text{ord-res-7 } N \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } D) \ | \end{aligned}$$

skip-undefined-pos-ultimate:

$\neg \text{trail-false-cls } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } L \wedge A | \notin | \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\neg \text{trail-false-cls } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\Gamma' = (- L, \text{None}) \# \Gamma \implies$
 $\neg(\exists D | \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}). C \prec_c D) \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma', \text{None}) |$

production:

$\neg \text{trail-false-cls } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } L \wedge A | \notin | \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\text{trail-false-cls } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\text{linorder-lit.is-greatest-in-mset } C L \implies$
 $\Gamma' = (L, \text{Some } C) \# \Gamma \implies$
 $C' = \text{The-optional } (\text{linorder-cls.is-least-in-fset } \{|D | \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}).$
 $C \prec_c D\}) \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}, \Gamma', C') |$

factoring:

$\neg \text{trail-false-cls } \Gamma C \implies$
 $\text{linorder-lit.is-maximal-in-mset } C L \implies$
 $\neg(\exists A | \in | \text{atms-of-clss } (N \cup U_{er}). A \prec_t \text{atm-of } L \wedge A | \notin | \text{trail-atms } \Gamma) \implies$
 $\neg \text{trail-defined-lit } \Gamma L \implies$
 $\text{is-pos } L \implies$
 $\text{trail-false-cls } \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\neg \text{linorder-lit.is-greatest-in-mset } C L \implies$
 $\mathcal{F}' = \text{finsert } C \mathcal{F} \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } C) (U_{er}, \mathcal{F}', \Gamma, \text{Some } (\text{efac } C)) |$

resolution-bot:

$\text{trail-false-cls } \Gamma E \implies$
 $\text{linorder-lit.is-maximal-in-mset } E L \implies$
 $\text{is-neg } L \implies$
 $\text{map-of } \Gamma (- L) = \text{Some } (\text{Some } D) \implies$
 $U_{er}' = \text{finsert } (\text{eres } D E) U_{er} \implies$
 $\text{eres } D E = \{\#\} \implies$
 $\Gamma' = [] \implies$
 $\text{ord-res-}\gamma N (U_{er}, \mathcal{F}, \Gamma, \text{Some } E) (U_{er}', \mathcal{F}, \Gamma', \text{Some } \{\#\}) |$

resolution-pos:

$\text{trail-false-cls } \Gamma E \implies$
 $\text{linorder-lit.is-maximal-in-mset } E L \implies$
 $\text{is-neg } L \implies$
 $\text{map-of } \Gamma (- L) = \text{Some } (\text{Some } D) \implies$

$U_{er}' = \text{finsert } (\text{eres } D \ E) \ U_{er} \implies$
 $\text{eres } D \ E \neq \{\#\} \implies$
 $\Gamma' = \text{dropWhile } (\lambda Ln. \text{atm-of } K \preceq_t \text{atm-of } (\text{fst } Ln)) \ \Gamma \implies$
 $\text{linorder-lit.is-maximal-in-mset } (\text{eres } D \ E) \ K \implies$
 $\text{is-pos } K \implies$
 $\text{ord-res-7 } N \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } E) \ (U_{er}', \mathcal{F}, \Gamma', \text{Some } (\text{eres } D \ E)) \ |$

resolution-neg:

$\text{trail-false-cls } \Gamma \ E \implies$
 $\text{linorder-lit.is-maximal-in-mset } E \ L \implies$
 $\text{is-neg } L \implies$
 $\text{map-of } \Gamma \ (- \ L) = \text{Some } (\text{Some } D) \implies$
 $U_{er}' = \text{finsert } (\text{eres } D \ E) \ U_{er} \implies$
 $\text{eres } D \ E \neq \{\#\} \implies$
 $\Gamma' = \text{dropWhile } (\lambda Ln. \text{atm-of } K \preceq_t \text{atm-of } (\text{fst } Ln)) \ \Gamma \implies$
 $\text{linorder-lit.is-maximal-in-mset } (\text{eres } D \ E) \ K \implies$
 $\text{is-neg } K \implies$
 $\text{map-of } \Gamma \ (- \ K) = \text{Some } (\text{Some } C) \implies$
 $\text{ord-res-7 } N \ (U_{er}, \mathcal{F}, \Gamma, \text{Some } E) \ (U_{er}', \mathcal{F}, \Gamma', \text{Some } C)$

lemma *right-unique-ord-res-7:*

fixes $N :: 'f \text{ gclause } fset$
shows *right-unique* ($\text{ord-res-7 } N$)
 $\langle \text{proof} \rangle$

inductive *ord-res-7-final* **where**

model-found:
 $\text{ord-res-7-final } (N, U_{er}, \mathcal{F}, \Gamma, \text{None}) \ |$

contradiction-found:
 $\text{ord-res-7-final } (N, U_{er}, \mathcal{F}, \Gamma, \text{Some } \{\#\})$

sublocale *ord-res-7-antics: semantics* **where**

$\text{step} = \text{constant-context } \text{ord-res-7}$ **and**
 $\text{final} = \text{ord-res-7-final}$
 $\langle \text{proof} \rangle$

inductive *ord-res-7-invars* **for** N **where**

$\text{ord-res-7-invars } N \ (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$ **if**
 $\mathcal{F} \ | \subseteq \ N \ | \cup \ U_{er}$ **and**
 $(\forall C. \mathcal{C} = \text{Some } C \longrightarrow C \ | \in \ | \text{iefac } \mathcal{F} \ | \uparrow \ (N \ | \cup \ U_{er}))$ **and**
 $(\forall D. \mathcal{C} = \text{Some } D \longrightarrow$
 $(\forall C \ | \in \ | \text{iefac } \mathcal{F} \ | \uparrow \ (N \ | \cup \ U_{er}). C \prec_c D \longrightarrow$
 $(\forall L_C. \text{linorder-lit.is-maximal-in-mset } C \ L_C \longrightarrow$
 $\neg \text{trail-defined-lit } \Gamma \ L_C \longrightarrow (\text{trail-true-cls } \Gamma \ \{\#K \in \# \ C. K \neq L_C \#\}$
 $\wedge \text{is-pos } L_C))))$ **and**
 $(\forall C \ | \in \ | \text{iefac } \mathcal{F} \ | \uparrow \ (N \ | \cup \ U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$
 $\text{trail-true-cls } \Gamma \ C)$ **and**

$(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$
 $(\forall K. \text{linorder-lit.is-maximal-in-mset } C K \longrightarrow$
 $\neg (\exists A \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } K \wedge A \notin | \text{trail-atms}$
 $\Gamma))) \text{ and}$
 $\text{sorted-wrt } (\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x)) \Gamma \text{ and}$
 $\text{linorder-trm.is-lower-fset } (\text{trail-atms } \Gamma) (\text{atms-of-clss } (N \mid \cup \mid U_{er})) \text{ and}$
 $(\mathcal{C} = \text{None} \longrightarrow \text{trail-atms } \Gamma = \text{atms-of-clss } (N \mid \cup \mid U_{er})) \text{ and}$
 $(\forall C. \mathcal{C} = \text{Some } C \longrightarrow$
 $(\forall A \in | \text{trail-atms } \Gamma. \exists L. \text{linorder-lit.is-maximal-in-mset } C L \wedge A \preceq_t \text{atm-of}$
 $L)) \text{ and}$
 $(\forall Ln \in \text{set } \Gamma. \text{is-neg } (fst Ln) \longleftrightarrow \text{snd } Ln = \text{None}) \text{ and}$
 $(\forall Ln \in \text{set } \Gamma. \text{snd } Ln = \text{None} \longrightarrow$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). C \prec_c \{\#fst Ln\} \longrightarrow \text{trail-true-cls } \Gamma C))$
and
 $(\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{linorder-lit.is-greatest-in-mset } C$
 $(fst Ln)) \text{ and}$
 $(\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) \text{ and}$
 $(\forall \Gamma_1 L C \Gamma_0. \Gamma = \Gamma_1 @ (L, \text{Some } C) \# \Gamma_0 \longrightarrow \text{trail-false-cls } \Gamma_0 \{\#K \in \#$
 $C. K \neq L\# \}) \text{ and}$
 $(\forall \Gamma_1 L D \Gamma_0. \Gamma = \Gamma_1 @ (L, \text{Some } D) \# \Gamma_0 \longrightarrow$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). C \prec_c D \longrightarrow \text{trail-true-cls } \Gamma_0 C))$

lemma *clause-almost-defined-if-lt-next-clause:*

assumes $\text{ord-res-}\gamma\text{-invars } N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$
shows $\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$
 $(\forall K. \text{linorder-lit.is-maximal-in-mset } C K \longrightarrow \text{trail-defined-cls } \Gamma \{\#L \in \# C. L$
 $\neq K\# \})$
<proof>

lemma *ord-res-}\gamma\text{-invars-def:}*

$\text{ord-res-}\gamma\text{-invars } N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \Gamma \mathcal{C}. s = (U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) \longrightarrow$
 $\mathcal{F} \mid \subseteq \mid N \mid \cup \mid U_{er} \wedge$
 $(\forall C. \mathcal{C} = \text{Some } C \longrightarrow C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) \wedge$
 $(\forall D. \mathcal{C} = \text{Some } D \longrightarrow$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). C \prec_c D \longrightarrow$
 $(\forall L_C. \text{linorder-lit.is-maximal-in-mset } C L_C \longrightarrow$
 $\neg \text{trail-defined-lit } \Gamma L_C \longrightarrow (\text{trail-true-cls } \Gamma \{\#K \in \# C. K \neq L_C\# \}$
 $\wedge \text{is-pos } L_C)))) \wedge$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$
 $\text{trail-true-cls } \Gamma C) \wedge$
 $(\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). (\forall D. \mathcal{C} = \text{Some } D \longrightarrow C \prec_c D) \longrightarrow$
 $(\forall K. \text{linorder-lit.is-maximal-in-mset } C K \longrightarrow$
 $\neg (\exists A \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \prec_t \text{atm-of } K \wedge A \notin | \text{trail-atms}$
 $\Gamma))) \wedge$
 $\text{sorted-wrt } (\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x)) \Gamma \wedge$
 $\text{linorder-trm.is-lower-fset } (\text{trail-atms } \Gamma) (\text{atms-of-clss } (N \mid \cup \mid U_{er})) \wedge$
 $(\mathcal{C} = \text{None} \longrightarrow \text{trail-atms } \Gamma = \text{atms-of-clss } (N \mid \cup \mid U_{er})) \wedge$
 $(\forall C. \mathcal{C} = \text{Some } C \longrightarrow$

$(\forall A \in | \text{trail-atms } \Gamma. \exists L. \text{linorder-lit.is-maximal-in-mset } C L \wedge A \preceq_t \text{atm-of } L)) \wedge$
 $(\forall Ln \in \text{set } \Gamma. \text{is-neg } (fst Ln) \longleftrightarrow \text{snd } Ln = \text{None}) \wedge$
 $(\forall Ln \in \text{set } \Gamma. \text{snd } Ln = \text{None} \longrightarrow$
 $\quad (\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). C \prec_c \{\#fst Ln\} \longrightarrow \text{trail-true-cls } \Gamma C))$
 \wedge
 $(\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{linorder-lit.is-greatest-in-mset } C$
 $(fst Ln)) \wedge$
 $(\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) \wedge$
 $(\forall \Gamma_1 L C \Gamma_0. \Gamma = \Gamma_1 @ (L, \text{Some } C) \# \Gamma_0 \longrightarrow \text{trail-false-cls } \Gamma_0 \{\#K \in \#$
 $C. K \neq L\# \}) \wedge$
 $(\forall \Gamma_1 L D \Gamma_0. \Gamma = \Gamma_1 @ (L, \text{Some } D) \# \Gamma_0 \longrightarrow$
 $\quad (\forall C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). C \prec_c D \longrightarrow \text{trail-true-cls } \Gamma_0 C))$
 $(\text{is } ?NICE N s \longleftrightarrow ?UGLY N s)$
 $\langle \text{proof} \rangle$

lemma *ord-res-7-invars-implies-trail-consistent*:
assumes *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, C)$
shows *trail-consistent* Γ
 $\langle \text{proof} \rangle$

lemma *ord-res-7-invars-implies-propagated-clause-almost-false*:
assumes *invars*: *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, C)$ **and** $(L, \text{Some } C) \in \text{set } \Gamma$
shows *trail-false-cls* $\Gamma \{\#K \in \# C. K \neq L\# \}$
 $\langle \text{proof} \rangle$

lemma *ord-res-7-preserves-invars*:
assumes *step*: *ord-res-7* $N s s'$ **and** *invar*: *ord-res-7-invars* $N s$
shows *ord-res-7-invars* $N s'$
 $\langle \text{proof} \rangle$

lemma *rtranclp-ord-res-7-preserves-ord-res-7-invars*:
assumes
 $\text{step: } (ord-res-7 N)^{**} s s' \text{ and}$
 $\text{invars: } ord-res-7-invars N s$
shows *ord-res-7-invars* $N s'$
 $\langle \text{proof} \rangle$

lemma *tranclp-ord-res-7-preserves-ord-res-7-invars*:
assumes
 $\text{step: } (ord-res-7 N)^{++} s s' \text{ and}$
 $\text{invars: } ord-res-7-invars N s$
shows *ord-res-7-invars* $N s'$
 $\langle \text{proof} \rangle$

lemma *propagating-clause-almost-false*:
assumes *invars*: *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, C)$ **and** $(L, \text{Some } C) \in \text{set } \Gamma$
shows *trail-false-cls* $\Gamma \{\#K \in \# C. K \neq L\# \}$
 $\langle \text{proof} \rangle$

lemma *ex-ord-res- γ -if-not-final*:

assumes

not-final: \neg *ord-res- γ -final* (N, s) **and**

invars: *ord-res- γ -invars* $N s$

shows $\exists s'. \text{ord-res-}\gamma N s s'$

<proof>

lemma *ord-res- γ -safe-state-if-invars*:

fixes $N :: 'f \text{ gclause fset}$ **and** s

assumes *invars*: *ord-res- γ -invars* $N s$

shows *safe-state* (*constant-context ord-res- γ*) *ord-res- γ -final* (N, s)

<proof>

end

end

theory *Clause-Could-Propagate*

imports

Background

Implicit-Exhaustive-Factorization

begin

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

definition *clause-could-propagate* **where**

clause-could-propagate $\Gamma C L \longleftrightarrow \neg \text{trail-defined-lit } \Gamma L \wedge$

linorder-lit.is-maximal-in-mset $C L \wedge \text{trail-false-cls } \Gamma \{\#K \in\# C. K \neq L\# \}$

lemma *trail-false-if-could-have-propagated*:

clause-could-propagate $\Gamma C L \implies \text{trail-false-cls } ((- L, n) \# \Gamma) C$

<proof>

lemma *atoms-of-trail-lt-atom-of-propagatable-literal*:

assumes

Γ -*lower*: *linorder-trm.is-lower-set* (*fset* (*trail-atms* Γ)) \mathcal{A} **and**

C-prop: *clause-could-propagate* $\Gamma C L$ **and**

atm-of $L \in \mathcal{A}$

shows $\forall A \in \text{trail-atms } \Gamma. A \prec_t \text{atm-of } L$

<proof>

lemma *trail-false-cls-filter-mset-iff*:

trail-false-cls $\Gamma \{\#Ka \in\# C. Ka \neq K\# \} \longleftrightarrow (\forall L \in\# C. L \neq K \longrightarrow \text{trail-false-lit } \Gamma L)$

<proof>

lemma *clause-could-propagate-iff*: *clause-could-propagate* $\Gamma C K \longleftrightarrow$

$\neg \text{trail-defined-lit } \Gamma K \wedge \text{ord-res.is-maximal-lit } K C \wedge (\forall L \in\# C. L \neq K \longrightarrow \text{trail-false-lit } \Gamma L)$

<proof>

lemma *clause-could-propagate-efac*: *clause-could-propagate* Γ (*efac* C) = *clause-could-propagate* Γ C
<proof>

lemma *bex-clause-could-propagate-simp*:
fixes \mathcal{F} N Γ L
shows *fBex* (*iefac* \mathcal{F} | \uparrow N) (λC . *clause-could-propagate* Γ C L) \longleftrightarrow
 fBex N (λC . *clause-could-propagate* Γ C L)
sketch (*rule iffI*; *elim bexE*)
<proof>

end

end

theory *ORD-RES-8*

imports

Background

Implicit-Exhaustive-Factorization

Exhaustive-Resolution

Clause-Could-Propagate

begin

23 ORD-RES-8 (atom-guided literal trail construction)

type-synonym *'f ord-res-8-state* =
'f gclause fset \times *'f gclause fset* \times *'f gclause fset* \times (*'f gliteral* \times *'f gclause option*)
list

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-8* **where**

decide-neg:

$\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C) \implies$
linorder-trm.is-least-in-fset $\{|A_2 \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}).$
 $\forall A_1 \in | \text{trail-atms } \Gamma. A_1 \prec_t A_2\}$ $A \implies$
 $\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{clause-could-propagate } \Gamma C (\text{Pos } A)) \implies$
 $\Gamma' = (\text{Neg } A, \text{None}) \# \Gamma \implies$
ord-res-8 $N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid$

propagate:

$\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C) \implies$
linorder-trm.is-least-in-fset $\{|A_2 \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}).$
 $\forall A_1 \in | \text{trail-atms } \Gamma. A_1 \prec_t A_2\}$ $A \implies$
linorder-cls.is-least-in-fset $\{|C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$
 clause-could-propagate $\Gamma C (\text{Pos } A)\}$ $C \implies$

$linorder-lit.is-greatest-in-mset\ C\ (Pos\ A) \implies$
 $\Gamma' = (Pos\ A, Some\ C) \# \Gamma \implies$
 $ord-res-8\ N\ (U_{er}, \mathcal{F}, \Gamma)\ (U_{er}, \mathcal{F}, \Gamma')\ |$

factorize:

$\neg (\exists C\ |\in| iefac\ \mathcal{F}\ |\uparrow| (N\ |\cup| U_{er}).\ trail-false-cls\ \Gamma\ C) \implies$
 $linorder-trm.is-least-in-fset\ \{|A_2\ |\in| atms-of-clss\ (N\ |\cup| U_{er}).$
 $\quad \forall A_1\ |\in| trail-atms\ \Gamma.\ A_1\ \prec_t\ A_2\ |\}$ $A \implies$
 $linorder-cls.is-least-in-fset\ \{|C\ |\in| iefac\ \mathcal{F}\ |\uparrow| (N\ |\cup| U_{er}).$
 $\quad clause-could-propagate\ \Gamma\ C\ (Pos\ A)\ |\}$ $C \implies$
 $\neg linorder-lit.is-greatest-in-mset\ C\ (Pos\ A) \implies$
 $\mathcal{F}' = finsert\ C\ \mathcal{F} \implies$
 $ord-res-8\ N\ (U_{er}, \mathcal{F}, \Gamma)\ (U_{er}, \mathcal{F}', \Gamma')\ |$

resolution:

$linorder-cls.is-least-in-fset\ \{|D\ |\in| iefac\ \mathcal{F}\ |\uparrow| (N\ |\cup| U_{er}).\ trail-false-cls\ \Gamma\ D\ |\}$
 $D \implies$
 $linorder-lit.is-maximal-in-mset\ D\ (Neg\ A) \implies$
 $map-of\ \Gamma\ (Pos\ A) = Some\ (Some\ C) \implies$
 $U_{er}' = finsert\ (eres\ C\ D)\ U_{er} \implies$
 $\Gamma' = dropWhile\ (\lambda Ln.\ \forall K.$
 $\quad linorder-lit.is-maximal-in-mset\ (eres\ C\ D)\ K \longrightarrow atm-of\ K\ \preceq_t\ atm-of\ (fst$
 $Ln))\ \Gamma \implies$
 $ord-res-8\ N\ (U_{er}, \mathcal{F}, \Gamma)\ (U_{er}', \mathcal{F}, \Gamma')$

lemma *right-unique-ord-res-8:*

fixes $N :: 'f\ gclause\ fset$
shows *right-unique* ($ord-res-8\ N$)
 $\langle proof \rangle$

inductive *ord-res-8-final* :: $'f\ ord-res-8-state \Rightarrow bool$ **where**

model-found:

$\neg (\exists A\ |\in| atms-of-clss\ (N\ |\cup| U_{er}).\ A\ |\notin| trail-atms\ \Gamma) \implies$
 $\neg (\exists C\ |\in| iefac\ \mathcal{F}\ |\uparrow| (N\ |\cup| U_{er}).\ trail-false-cls\ \Gamma\ C) \implies$
 $ord-res-8-final\ (N,\ U_{er}, \mathcal{F}, \Gamma)\ |$

contradiction-found:

$\{\#\}\ |\in| iefac\ \mathcal{F}\ |\uparrow| (N\ |\cup| U_{er}) \implies$
 $ord-res-8-final\ (N,\ U_{er}, \mathcal{F}, \Gamma)$

sublocale *ord-res-8-semantic: semantics* **where**

step = *constant-context ord-res-8* **and**

final = *ord-res-8-final*

$\langle proof \rangle$

definition *trail-is-sorted* **where**

trail-is-sorted $N\ s \longleftrightarrow$

$(\forall U_{er}\ \mathcal{F}\ \Gamma.\ s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow$

$sorted-wrt\ (\lambda x\ y.\ atm-of\ (fst\ y)\ \prec_t\ atm-of\ (fst\ x))\ \Gamma)$

lemma *ord-res-8-preserves-trail-is-sorted*:

assumes

step: *ord-res-8* N s s' **and**

invar: *trail-is-sorted* N s

shows *trail-is-sorted* N s'

<proof>

inductive *trail-annotations-invars*

for $N :: 'f$ *gterm literal multiset fset*

where

Nil:

trail-annotations-invars N (U_{er} , \mathcal{F} , $[]$) |

Cons-None:

trail-annotations-invars N (U_{er} , \mathcal{F} , (L , *None*) $\#$ Γ)

if *trail-annotations-invars* N (U_{er} , \mathcal{F} , Γ) |

Cons-Some:

trail-annotations-invars N (U_{er} , \mathcal{F} , (L , *Some* D) $\#$ Γ)

if *linorder-lit.is-greatest-in-mset* D L **and**

D $|\in|$ *iefac* \mathcal{F} $|\uparrow|$ (N $|\cup|$ U_{er}) **and**

trail-false-cls Γ $\{\#K \in\# D. K \neq L\#$ **and**

linorder-cls.is-least-in-fset

$\{D$ $|\in|$ *iefac* \mathcal{F} $|\uparrow|$ (N $|\cup|$ U_{er}). *clause-could-propagate* Γ D $L\}$ D **and**

trail-annotations-invars N (U_{er} , \mathcal{F} , Γ)

lemma

assumes

linorder-lit.is-greatest-in-mset C L **and**

trail-false-cls Γ $\{\#K \in\# C. K \neq L\#$ **and**

\neg *trail-defined-cls* Γ C

shows *clause-could-propagate* Γ C L

<proof>

lemma *propagating-clause-in-clauses*:

assumes *trail-annotations-invars* N (U_{er} , \mathcal{F} , Γ) **and** *map-of* Γ $L = \text{Some} (\text{Some } C)$

shows C $|\in|$ *iefac* \mathcal{F} $|\uparrow|$ (N $|\cup|$ U_{er})

<proof>

lemma *trail-annotations-invars-mono-wrt-trail-suffix*:

assumes *suffix* $\Gamma' \Gamma$ *trail-annotations-invars* N (U_{er} , \mathcal{F} , Γ)

shows *trail-annotations-invars* N (U_{er} , \mathcal{F} , Γ')

<proof>

lemma *ord-res-8-preserves-trail-annotations-invars*:

assumes

step: *ord-res-8* N s s' **and**

invars:

trail-annotations-invars N s

trail-is-sorted $N s$
shows *trail-annotations-invars* $N s'$
 ⟨*proof*⟩

definition *trail-is-lower-set* **where**

trail-is-lower-set $N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow$
 $linorder-trm.is-lower-fset (trail-atms \Gamma) (atms-of-clss (N \mid\cup\mid U_{er})))$

lemma *atoms-not-in-clause-set-undefined-if-trail-is-sorted-lower-set:*

assumes *invar: trail-is-lower-set* $N (U_{er}, \mathcal{F}, \Gamma)$
shows $\forall A. A \notin atms-of-clss (N \mid\cup\mid U_{er}) \longrightarrow A \notin trail-atms \Gamma$
 ⟨*proof*⟩

lemma *ord-res-8-preserves-atoms-in-trail-lower-set:*

assumes
step: ord-res-8 $N s s'$ **and**
invars:
trail-is-lower-set $N s$
trail-annotations-invars $N s$
trail-is-sorted $N s$
shows *trail-is-lower-set* $N s'$
 ⟨*proof*⟩

definition *false-cls-is-mempty-or-has-neg-max-lit* **where**

false-cls-is-mempty-or-has-neg-max-lit $N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow (\forall C \mid\in\mid iefac \mathcal{F} \mid\uparrow\mid (N \mid\cup\mid U_{er}).$
 $trail-false-cls \Gamma C \longrightarrow C = \{\#\} \vee (\exists A. linorder-lit.is-maximal-in-mset C$
 $(Neg A))))$

lemma *ord-res-8-preserves-false-cls-is-mempty-or-has-neg-max-lit:*

assumes
step: ord-res-8 $N s s'$ **and**
invars:
false-cls-is-mempty-or-has-neg-max-lit $N s$
trail-is-lower-set $N s$
trail-is-sorted $N s$
shows *false-cls-is-mempty-or-has-neg-max-lit* $N s'$
 ⟨*proof*⟩

definition *decided-literals-all-neg* **where**

decided-literals-all-neg $N s \longleftrightarrow$
 $(\forall U_{er} \mathcal{F} \Gamma. s = (U_{er}, \mathcal{F}, \Gamma) \longrightarrow$
 $(\forall Ln \in set \Gamma. \forall L. Ln = (L, None) \longrightarrow is-neg L))$

lemma *ord-res-8-preserves-decided-literals-all-neg:*

assumes
step: ord-res-8 $N s s'$ **and**

invar: decided-literals-all-neg N s
shows *decided-literals-all-neg N s'*
 ⟨*proof*⟩

definition *ord-res-8-invars* **where**

ord-res-8-invars N s \longleftrightarrow
trail-is-sorted N s \wedge
trail-is-lower-set N s \wedge
false-cls-is-mempty-or-has-neg-max-lit N s \wedge
trail-annotations-invars N s \wedge
decided-literals-all-neg N s

lemma *ord-res-8-preserves-invars*:

assumes
step: ord-res-8 N s s' **and**
invars: ord-res-8-invars N s
shows *ord-res-8-invars N s'*
 ⟨*proof*⟩

lemma *rtranclp-ord-res-8-preserves-invars*:

assumes
*step: (ord-res-8 N)** s s'* **and**
invars: ord-res-8-invars N s
shows *ord-res-8-invars N s'*
 ⟨*proof*⟩

lemma *tranclp-ord-res-8-preserves-invars*:

assumes
step: (ord-res-8 N)⁺⁺ s s' **and**
invars: ord-res-8-invars N s
shows *ord-res-8-invars N s'*
 ⟨*proof*⟩

lemma *ex-ord-res-8-if-not-final*:

assumes
not-final: \neg ord-res-8-final (N, s) **and**
invars: ord-res-8-invars N s
shows $\exists s'. \text{ord-res-8 } N s s'$
 ⟨*proof*⟩

lemma *ord-res-8-safe-state-if-invars*:

fixes *N s*
assumes *invars: ord-res-8-invars N s*
shows *safe-state (constant-context ord-res-8) ord-res-8-final (N, s)*
 ⟨*proof*⟩

end

```

end
theory ORD-RES-9
  imports
    ORD-RES-8
begin

```

24 ORD-RES-9 (factorize when propagating)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-9* **where**

decide-neg:

$$\begin{aligned}
& \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\
& \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\
& \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\
& \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ clause-could-propagate } \Gamma C (Pos A)) \implies \\
& \Gamma' = (Neg A, None) \# \Gamma \implies \\
& \text{ord-res-9 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid
\end{aligned}$$

propagate:

$$\begin{aligned}
& \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\
& \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\
& \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\
& \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\
& \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\
& \Gamma' = (Pos A, Some (efac C)) \# \Gamma \implies \\
& \mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies \\
& \text{ord-res-9 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}', \Gamma') \mid
\end{aligned}$$

resolution:

$$\begin{aligned}
& \text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma D\} \\
& D \implies \\
& \text{linorder-lit.is-maximal-in-mset } D (Neg A) \implies \\
& \text{map-of } \Gamma (Pos A) = Some (Some C) \implies \\
& U_{er}' = \text{finsert } (eres C D) U_{er} \implies \\
& \Gamma' = \text{dropWhile } (\lambda Ln. \forall K. \\
& \quad \text{linorder-lit.is-maximal-in-mset } (eres C D) K \longrightarrow \text{atm-of } K \preceq_t \text{atm-of } (\text{fst} \\
& \text{Ln})) \Gamma \implies \\
& \text{ord-res-9 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}', \mathcal{F}, \Gamma')
\end{aligned}$$

lemma *right-unique-ord-res-9:*

```

fixes N :: 'f gclause fset
shows right-unique (ord-res-9 N)
<proof>

```

lemma *ord-res-9-is-one-or-two-ord-res-9-steps:*

```

fixes N s s'
assumes step: ord-res-9 N s s'
shows ord-res-8 N s s'  $\vee$  (ord-res-8 N OO ord-res-8 N) s s'

```

<proof>

lemma *ord-res-9-preserves-invars*:

assumes

step: *ord-res-9* *N s s'* **and**

invars: *ord-res-8-invars* *N s*

shows *ord-res-8-invars* *N s'*

<proof>

lemma *rtranclp-ord-res-9-preserves-ord-res-8-invars*:

assumes

step: (*ord-res-9* *N*)** *s s'* **and**

invars: *ord-res-8-invars* *N s*

shows *ord-res-8-invars* *N s'*

<proof>

lemma *ex-ord-res-9-if-not-final*:

assumes

not-final: \neg *ord-res-8-final* (*N, s*) **and**

invars: *ord-res-8-invars* *N s*

shows $\exists s'. \text{ord-res-9 } N s s'$

<proof>

lemma *ord-res-9-safe-state-if-invars*:

fixes *N s*

assumes *invars*: *ord-res-8-invars* *N s*

shows *safe-state* (*constant-context ord-res-9*) *ord-res-8-final* (*N, s*)

<proof>

sublocale *ord-res-9-antics: semantics* **where**

step = *constant-context ord-res-9* **and**

final = *ord-res-8-final*

<proof>

end

end

theory *ORD-RES-10*

imports *ORD-RES-8*

begin

25 ORD-RES-10 (propagate iff a conflict is produced)

type-synonym *'f ord-res-10-state* =

'f gclause fset \times *'f gclause fset* \times *'f gclause fset* \times (*'f gliteral* \times *'f gclause option*)
list

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-10* **where**

decide-neg:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ clause-could-propagate } \Gamma C (Pos A)) \implies \\ & \Gamma' = (\text{Neg } A, \text{None}) \# \Gamma \implies \\ & \text{ord-res-10 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}, \Gamma') \mid \end{aligned}$$

decide-pos:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\ & \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\ & \Gamma' = (\text{Pos } A, \text{None}) \# \Gamma \implies \\ & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma' C) \implies \\ & \mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies \\ & \text{ord-res-10 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}', \Gamma') \mid \end{aligned}$$

propagate:

$$\begin{aligned} & \neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma C) \implies \\ & \text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). \\ & \quad \forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies \\ & \text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \\ & \quad \text{clause-could-propagate } \Gamma C (Pos A)\} C \implies \\ & \Gamma' = (\text{Pos } A, \text{Some } (\text{efac } C)) \# \Gamma \implies \\ & (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma' C) \implies \\ & \mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies \\ & \text{ord-res-10 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}, \mathcal{F}', \Gamma') \mid \end{aligned}$$

resolution:

$$\begin{aligned} & \text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{ trail-false-cls } \Gamma D\} \\ & D \implies \\ & \text{linorder-lit.is-maximal-in-mset } D (\text{Neg } A) \implies \\ & \text{map-of } \Gamma (Pos A) = \text{Some } (\text{Some } C) \implies \\ & U_{er}' = \text{finsert } (\text{eres } C D) U_{er} \implies \\ & \Gamma' = \text{dropWhile } (\lambda Ln. \forall K. \\ & \quad \text{linorder-lit.is-maximal-in-mset } (\text{eres } C D) K \longrightarrow \text{atm-of } K \preceq_t \text{atm-of } (\text{fst} \\ & \quad Ln)) \Gamma \implies \\ & \text{ord-res-10 } N (U_{er}, \mathcal{F}, \Gamma) (U_{er}', \mathcal{F}, \Gamma') \end{aligned}$$

lemma *right-unique-ord-res-10:*

fixes $N :: 'f \text{ gclause fset}$

shows *right-unique* (*ord-res-10* N)

<proof>

sublocale *ord-res-10-semantics: semantics* **where**

step = *constant-context ord-res-10* **and**

final = *ord-res-8-final*

<proof>

inductive *ord-res-10-invars* **for** N **where**

ord-res-10-invars $N (U_{er}, \mathcal{F}, \Gamma)$ **if**

sorted-wrt $(\lambda x y. \text{atm-of } (fst y) \prec_t \text{atm-of } (fst x)) \Gamma$ **and**

$\forall Ln \in \text{set } \Gamma. \forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{linorder-lit.is-greatest-in-mset } C (fst Ln)$ **and**

linorder-trm.is-lower-fset $(\text{trail-atms } \Gamma) (\text{atms-of-cls } (N \cup U_{er}))$ **and**

$\forall Ln \Gamma'. \Gamma = Ln \# \Gamma' \longrightarrow$

$(\text{snd } Ln \neq \text{None} \longleftrightarrow (\exists C \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}). \text{trail-false-cls } \Gamma C))$

\wedge

$(\text{snd } Ln \neq \text{None} \longrightarrow \text{is-pos } (fst Ln)) \wedge$

$(\forall C. \text{snd } Ln = \text{Some } C \longrightarrow C \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er})) \wedge$

$(\forall C. \text{snd } Ln = \text{Some } C \longrightarrow \text{clause-could-propagate } \Gamma' C (fst Ln)) \wedge$

$(\forall x \in \text{set } \Gamma'. \text{snd } x = \text{None})$ **and**

$\forall \Gamma_1 Ln \Gamma_0. \Gamma = \Gamma_1 @ Ln \# \Gamma_0 \longrightarrow$

$\text{snd } Ln = \text{None} \longrightarrow \neg(\exists C \in | \text{iefac } \mathcal{F} | \uparrow (N \cup U_{er}). \text{trail-false-cls } (Ln \# \Gamma_0) C)$

lemma *ord-res-10-preserves-invars*:

assumes

step: *ord-res-10* $N s s'$ **and**

invars: *ord-res-10-invars* $N s$

shows *ord-res-10-invars* $N s'$

<proof>

lemma *rtranclp-ord-res-10-preserves-invars*:

assumes

step: $(\text{ord-res-10 } N)^{**} s s'$ **and**

invars: *ord-res-10-invars* $N s$

shows *ord-res-10-invars* $N s'$

<proof>

lemma *ex-ord-res-10-if-not-final*:

assumes

not-final: $\neg \text{ord-res-8-final } (N, s)$ **and**

invars: *ord-res-10-invars* $N s$

shows $\exists s'. \text{ord-res-10 } N s s'$

<proof>

lemma *ord-res-10-safe-state-if-invars*:

fixes $N s$

assumes *invars*: *ord-res-10-invars* $N s$

shows *safe-state* (*constant-context ord-res-10*) *ord-res-8-final* (N, s)

<proof>

end

end

theory *ORD-RES-11*
 imports *ORD-RES-10*
begin

26 ORD-RES-11 (SCL strategy)

type-synonym *'f ord-res-11-state* =
 'f gclause fset × *'f gclause fset* × *'f gclause fset* × (*'f gliteral* × *'f gclause option*)
 list ×
 'f gclause option

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

lemma

fixes $N U_{er} \mathcal{F} \Gamma A$

assumes

no-false-cls: $\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C)$ **and**

A-least: *linorder-trm.is-least-in-fset* $\{|A_2 \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}).$

$\forall A_1 \in | \text{trail-atms } \Gamma. A_1 \prec_t A_2\}$ *A* **and**

C-least: *linorder-cls.is-least-in-fset* $\{|C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$

clause-could-propagate $\Gamma C (Pos A)\}$ *C*

defines

$\Gamma' \equiv (Pos A, None) \# \Gamma$ **and**

$\mathcal{F}' \equiv (\text{if } \text{linorder-lit.is-greatest-in-mset } C (Pos A) \text{ then } \mathcal{F} \text{ else } \text{finsert } C \mathcal{F})$

shows

$(\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma' C) \longleftrightarrow$

$(\exists C \in | \text{iefac } \mathcal{F}' \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma' C)$

<proof>

inductive *ord-res-11* **where**

decide-neg:

$\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C) \implies$

linorder-trm.is-least-in-fset $\{|A_2 \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}).$

$\forall A_1 \in | \text{trail-atms } \Gamma. A_1 \prec_t A_2\}$ *A* \implies

$\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{clause-could-propagate } \Gamma C (Pos A)) \implies$

$\Gamma' = (Neg A, None) \# \Gamma \implies$

ord-res-11 $N (U_{er}, \mathcal{F}, \Gamma, None) (U_{er}, \mathcal{F}, \Gamma', None) \mid$

decide-pos:

$\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma C) \implies$

linorder-trm.is-least-in-fset $\{|A_2 \in | \text{atms-of-clss } (N \mid \cup \mid U_{er}).$

$\forall A_1 \in | \text{trail-atms } \Gamma. A_1 \prec_t A_2\}$ *A* \implies

linorder-cls.is-least-in-fset $\{|C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}).$

clause-could-propagate $\Gamma C (Pos A)\}$ *C* \implies

$\Gamma' = (Pos A, None) \# \Gamma \implies$

$\neg (\exists C \in | \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma' C) \implies$

$\mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C \text{ (Pos } A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies$
 $\text{ord-res-11 } N \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{None) (} U_{er}, \mathcal{F}', \Gamma', \text{None) |}$

propagate:

$\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma \ C) \implies$
 $\text{linorder-trm.is-least-in-fset } \{|A_2 \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}).$
 $\forall A_1 \mid \in \mid \text{trail-atms } \Gamma. A_1 \prec_t A_2\} A \implies$
 $\text{linorder-cls.is-least-in-fset } \{|C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}).$
 $\text{clause-could-propagate } \Gamma \ C \text{ (Pos } A)\} C \implies$
 $\Gamma' = (\text{Pos } A, \text{Some (efac } C)) \# \Gamma \implies$
 $(\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma' \ C) \implies$
 $\mathcal{F}' = (\text{if linorder-lit.is-greatest-in-mset } C \text{ (Pos } A) \text{ then } \mathcal{F} \text{ else finsert } C \mathcal{F}) \implies$
 $\text{ord-res-11 } N \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{None) (} U_{er}, \mathcal{F}', \Gamma', \text{None) |}$

conflict:

$\text{linorder-cls.is-least-in-fset } \{|D \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma \ D\}$
 $D \implies$
 $\text{ord-res-11 } N \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{None) (} U_{er}, \mathcal{F}, \Gamma, \text{Some } D) |$

skip: $- L \notin \# C \implies$

$\text{ord-res-11 } N \text{ (} U_{er}, \mathcal{F}, (L, n) \# \Gamma, \text{Some } C) \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{Some } C) |$

resolution:

$\Gamma = (L, \text{Some } D) \# \Gamma' \implies - L \in \# C \implies$
 $\text{ord-res-11 } N \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{Some } C) \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{Some } ((C - \{\#- L\# \}) + (D$
 $- \{\#L\# \}))) |$

backtrack:

$\Gamma = (L, \text{None}) \# \Gamma' \implies - L \in \# C \implies$
 $\text{ord-res-11 } N \text{ (} U_{er}, \mathcal{F}, \Gamma, \text{Some } C) \text{ (finsert } C \ U_{er}, \mathcal{F}, \Gamma', \text{None)}$

lemma *right-unique-ord-res-11:*

fixes $N :: 'f \text{ gclause fset}$

shows *right-unique (ord-res-11 N)*

<proof>

inductive *ord-res-11-final* $:: 'f \text{ ord-res-11-state} \Rightarrow \text{bool}$ **where**
model-found:

$\neg (\exists A \mid \in \mid \text{atms-of-clss } (N \mid \cup \mid U_{er}). A \mid \notin \mid \text{trail-atms } \Gamma) \implies$
 $\neg (\exists C \mid \in \mid \text{iefac } \mathcal{F} \mid \uparrow \mid (N \mid \cup \mid U_{er}). \text{trail-false-cls } \Gamma \ C) \implies$
 $\text{ord-res-11-final } (N, U_{er}, \mathcal{F}, \Gamma, \text{None) |}$

contradiction-found:

$\text{ord-res-11-final } (N, U_{er}, \mathcal{F}, [], \text{Some } \{\#\})$

sublocale *ord-res-11-semantics: semantics* **where**

step = constant-context ord-res-11 **and**
final = ord-res-11-final
 ⟨proof⟩

inductive ord-res-11-invars **where**

ord-res-11-invars $N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$ **if**
 ord-res-10-invars $N (U_{er}, \mathcal{F}, \Gamma)$ **and**
 $\{\#\} \mid \in \mid N \mid \cup \mid U_{er} \longrightarrow \Gamma = []$ **and**
 $\forall C. \mathcal{C} = \text{Some } C \longrightarrow \text{atms-of-cls } C \mid \subseteq \mid \text{atms-of-clss } (N \mid \cup \mid U_{er})$ **and**
 $\forall C. \mathcal{C} = \text{Some } C \longrightarrow \text{trail-false-cls } \Gamma \ C$ **and**
 atms-of-clss $U_{er} \mid \subseteq \mid \text{atms-of-clss } N$ **and**
 $\forall C \mid \in \mid \mathcal{F}. \exists L. \text{is-pos } L \wedge \text{linorder-lit.is-maximal-in-mset } C \ L$

lemma ord-res-11-invars-initial-state: ord-res-11-invars $N (\{\|\}, \{\|\}, [], \text{None})$
 ⟨proof⟩

lemma mempty-in-fimage-iefac[simp]: $\{\#\} \mid \in \mid \text{iefac } \mathcal{F} \mid \mid N \longleftrightarrow \{\#\} \mid \in \mid N$
 ⟨proof⟩

lemma ord-res-11-preserves-invars:

assumes
step: ord-res-11 $N \ s \ s'$ **and**
invars: ord-res-11-invars $N \ s$
shows ord-res-11-invars $N \ s'$
 ⟨proof⟩

lemma rtranclp-ord-res-11-preserves-invars:

assumes
step: $(\text{ord-res-11 } N)^{**} \ s \ s'$ **and**
invars: ord-res-11-invars $N \ s$
shows ord-res-11-invars $N \ s'$
 ⟨proof⟩

lemma tranclp-ord-res-11-preserves-invars:

assumes
step: $(\text{ord-res-11 } N)^{++} \ s \ s'$ **and**
invars: ord-res-11-invars $N \ s$
shows ord-res-11-invars $N \ s'$
 ⟨proof⟩

lemma ex-ord-res-11-if-not-final:

assumes
not-final: $\neg \text{ord-res-11-final } (N, s)$ **and**
invars: ord-res-11-invars $N \ s$
shows $\exists s'. \text{ord-res-11 } N \ s \ s'$
 ⟨proof⟩

lemma ord-res-11-safe-state-if-invars:

fixes $N \ s$

```

assumes invars: ord-res-11-invars  $N$   $s$ 
shows safe-state (constant-context ord-res-11) ord-res-11-final ( $N$ ,  $s$ )
<proof>

lemma rtrancl-ord-res-11-all-resolution-steps:
assumes C-max-lit: ord-res.is-strictly-maximal-lit  $K$   $C$ 
shows (ord-res-11  $N$ )** ( $U$ ,  $\mathcal{F}$ , ( $K$ , Some  $C$ ) #  $\Gamma$ , Some  $D$ ) ( $U$ ,  $\mathcal{F}$ , ( $K$ , Some
 $C$ ) #  $\Gamma$ , Some (eres  $C$   $D$ ))
<proof>

lemma rtrancl-ord-res-11-all-skip-steps:
(ord-res-11  $N$ )** ( $U$ ,  $\mathcal{F}$ ,  $\Gamma$ , Some  $C$ ) ( $U$ ,  $\mathcal{F}$ , dropWhile ( $\lambda Ln. - \text{fst } Ln \notin C$ )
 $\Gamma$ , Some  $C$ )
<proof>

end

end

theory Simulation-SCLFOL-ORDRES
imports
  Background
  ORD-RES
  ORD-RES-1
  ORD-RES-2
  ORD-RES-3
  ORD-RES-4
  ORD-RES-5
  ORD-RES-6
  ORD-RES-7
  ORD-RES-8
  ORD-RES-9
  ORD-RES-10
  ORD-RES-11
  Clause-Could-Propagate
begin

```

27 ORD-RES-1 (deterministic)

```

type-synonym 'f ord-res-1-state = 'f gclause fset

```

```

context simulation-SCLFOL-ground-ordered-resolution begin

```

```

sublocale backward-simulation-with-measuring-function where
  step1 = ord-res and
  step2 = ord-res-1 and
  final1 = ord-res-final and
  final2 = ord-res-1-final and
  order =  $\lambda - . \text{False}$  and
  match = (=) and

```

measure = $\lambda\cdot. ()$
 <proof>

end

28 ORD-RES-2 (full factorization)

type-synonym 'f ord-res-2-state = 'f gclause fset × 'f gclause fset × 'f gclause fset

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

fun *ord-res-1-matches-ord-res-2*

:: 'f ord-res-1-state \Rightarrow - \Rightarrow bool **where**

ord-res-1-matches-ord-res-2 $S1 (N, (U_r, U_{ef})) \longleftrightarrow (\exists U_f.$

$S1 = N \mid\cup\mid U_r \mid\cup\mid U_{ef} \mid\cup\mid U_f \wedge$

$(\forall C_f \mid\in\mid U_f. \exists C \mid\in\mid N \mid\cup\mid U_r \mid\cup\mid U_{ef}. \text{ord-res.ground-factoring}^{++} C C_f \wedge$

$C_f \neq \text{efac } C_f \wedge$

$(\text{efac } C_f \mid\in\mid U_{ef} \vee \text{is-least-false-clause } (N \mid\cup\mid U_r \mid\cup\mid U_{ef}) C)))$

lemma *ord-res-1-matches-ord-res-2-simps'*:

ord-res-1-matches-ord-res-2 $S1 (N, (U_r, U_{ef})) \longleftrightarrow$

$(\exists U_f. S1 = N \mid\cup\mid U_r \mid\cup\mid U_{ef} \mid\cup\mid U_f \wedge$

$(\forall C_f \mid\in\mid U_f. C_f \neq \text{efac } C_f \wedge (\exists C \mid\in\mid N \mid\cup\mid U_r \mid\cup\mid U_{ef}. \text{ord-res.ground-factoring}^{++}$

$C C_f \wedge$

$(\text{efac } C_f \mid\in\mid U_{ef} \vee \text{is-least-false-clause } (N \mid\cup\mid U_r \mid\cup\mid U_{ef}) C)))$

<proof>

lemma *ord-res-1-matches-ord-res-2-simps''*:

ord-res-1-matches-ord-res-2 $S1 (N, (U_r, U_{ef})) \longleftrightarrow$

$(\exists U_f. S1 = N \mid\cup\mid U_r \mid\cup\mid U_{ef} \mid\cup\mid U_f \wedge$

$(\forall C_f \mid\in\mid U_f. C_f \neq \text{efac } C_f \wedge (\exists C \mid\in\mid N \mid\cup\mid U_r \mid\cup\mid U_{ef}. \text{ord-res.ground-factoring}^{++}$

$C C_f \wedge$

$(\text{efac } C \mid\in\mid U_{ef} \vee \text{is-least-false-clause } (N \mid\cup\mid U_r \mid\cup\mid U_{ef}) C)))$

<proof>

lemma *ord-res-1-final-iff-ord-res-2-final*:

assumes *match*: *ord-res-1-matches-ord-res-2* $S_1 S_2$

shows *ord-res-1-final* $S_1 \longleftrightarrow$ *ord-res-2-final* S_2

<proof>

lemma *safe-states-if-ord-res-1-matches-ord-res-2*:

assumes *match*: *ord-res-1-matches-ord-res-2* $S_1 S_2$

shows *safe-state ord-res-1 ord-res-1-final* $S_1 \wedge$ *safe-state ord-res-2-step ord-res-2-final*

S_2

<proof>

definition *ord-res-1-measure* **where**

ord-res-1-measure $s1 =$

(if $\exists C$. is-least-false-clause $s1$ C then
 The (is-least-false-clause $s1$)
 else
 {#}))

lemma forward-simulation:

assumes match: ord-res-1-matches-ord-res-2 $s1$ $s2$ **and**

step1: ord-res-1 $s1$ $s1'$

shows ($\exists s2'$. ord-res-2-step⁺⁺ $s2$ $s2' \wedge$ ord-res-1-matches-ord-res-2 $s1' s2'$) \vee
 ord-res-1-matches-ord-res-2 $s1' s2 \wedge$ ord-res-1-measure $s1' \subset\#$ ord-res-1-measure
 $s1$

\langle proof \rangle

theorem bisimulation-ord-res-1-ord-res-2:

defines match $\equiv \lambda i s1 s2. i =$ ord-res-1-measure $s1 \wedge$ ord-res-1-matches-ord-res-2
 $s1 s2$

shows \exists (MATCH :: nat \times nat \Rightarrow 'f ord-res-1-state \Rightarrow 'f ord-res-2-state \Rightarrow bool)
 $\mathcal{R}_f \mathcal{R}_b$.

bisimulation ord-res-1 ord-res-1-final ord-res-2-step ord-res-2-final MATCH \mathcal{R}_f

\mathcal{R}_b

\langle proof \rangle

end

29 ORD-RES-3 (full resolve)

type-synonym 'f ord-res-3-state = 'f gclause fset \times 'f gclause fset \times 'f gclause
 fset

context simulation-SCLFOL-ground-ordered-resolution **begin**

inductive ord-res-2-matches-ord-res-3 :: - \Rightarrow 'f ord-res-3-state \Rightarrow bool **where**

($\forall C$ | \in | U_{pr} . $\exists D1$ | \in | N | \cup | U_{er} | \cup | U_{ef} . $\exists D2$ | \in | N | \cup | U_{er} | \cup | U_{ef} .

(ground-resolution $D1$)⁺⁺ $D2$ $C \wedge C \neq$ eres $D1 D2 \wedge$ eres $D1 D2$ | \in | U_{er})

\Rightarrow

ord-res-2-matches-ord-res-3 ($N, (U_{pr} \cup U_{er}, U_{ef})$) ($N, (U_{er}, U_{ef})$)

lemma ord-res-2-final-iff-ord-res-3-final:

assumes match: ord-res-2-matches-ord-res-3 $S_2 S_3$

shows ord-res-2-final $S_2 \longleftrightarrow$ ord-res-3-final S_3

\langle proof \rangle

definition ord-res-2-measure **where**

ord-res-2-measure $S1 =$

(let ($N, (U_r, U_{ef})$) = $S1$ in

(if $\exists C$. is-least-false-clause (N | \cup | U_r | \cup | U_{ef}) C then

The (is-least-false-clause (N | \cup | U_r | \cup | U_{ef}))

else

{#}))

definition *resolvent-at where*

resolvent-at $C D i = (THE CD. (ground-resolution C \rightsquigarrow i) D CD)$

lemma *resolvent-at-0[simp]*: *resolvent-at* $C D 0 = D$

<proof>

lemma *resolvent-at-less-cls-resolvent-at*:

assumes *reso-at*: $(ground-resolution C \rightsquigarrow n) D CD$

assumes $i < j$ **and** $j \leq n$

shows *resolvent-at* $C D j \prec_c$ *resolvent-at* $C D i$

<proof>

lemma

assumes *reso-at*: $(ground-resolution C \rightsquigarrow n) D CD$ **and** $i < n$

shows

left-premise-lt-resolvent-at: $C \prec_c$ *resolvent-at* $C D i$ **and**

max-lit-resolvent-at:

ord-res.is-maximal-lit $L D \implies$ *ord-res.is-maximal-lit* $L (resolvent-at C D i)$

and

nex-pos-strictly-max-lit-in-resolvent-at:

$\nexists L. is-pos L \wedge ord-res.is-strictly-maximal-lit L (resolvent-at C D i)$ **and**

ground-resolution-resolvent-at-resolvent-at-Suc:

ground-resolution $C (resolvent-at C D i) (resolvent-at C D (Suc i))$ **and**

relpowp-to-resolvent-at: $(ground-resolution C \rightsquigarrow i) D (resolvent-at C D i)$

<proof>

definition *resolvents-upto where*

resolvents-upto $C D n = resolvent-at C D |\cdot| fset-upto (Suc 0) n$

lemma *resolvents-upto-0[simp]*:

resolvents-upto $C D 0 = \{\cdot\}$

<proof>

lemma *resolvents-upto-Suc[simp]*:

resolvents-upto $C D (Suc n) = finsert (resolvent-at C D (Suc n)) (resolvents-upto C D n)$

<proof>

lemma *resolvent-at-fmember-resolvents-upto*:

assumes $k \neq 0$

shows *resolvent-at* $C D k \in$ *resolvents-upto* $C D k$

<proof>

lemma *backward-simulation-2-to-3*:

fixes *match* *measure* *less*

defines *match* $\equiv ord-res-2-matches-ord-res-3$

assumes

match: *match* $S2 S3$ **and**

step2: ord-res-3-step S3 S3'
shows $(\exists S2'. \text{ord-res-2-step}^{++} S2 S2' \wedge \text{match } S2' S3')$
<proof>

lemma *safe-states-if-ord-res-2-matches-ord-res-3:*
assumes *match: ord-res-2-matches-ord-res-3 S2 S3*
shows
safe-state ord-res-2-step ord-res-2-final S2
safe-state ord-res-3-step ord-res-3-final S3
<proof>

theorem *bisimulation-ord-res-2-ord-res-3:*
defines *match* $\equiv \lambda S2 S3. \text{ord-res-2-matches-ord-res-3 } S2 S3$
shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-2-state} \Rightarrow 'f \text{ord-res-3-state} \Rightarrow \text{bool})$
 $\mathcal{R}_f \mathcal{R}_b.$
bisimulation ord-res-2-step ord-res-2-final ord-res-3-step ord-res-3-final MATCH
 $\mathcal{R}_f \mathcal{R}_b$
<proof>

end

30 ORD-RES-4 (implicit factorization)

type-synonym *'f ord-res-4-state* = *'f gclause fset* \times *'f gclause fset* \times *'f gclause fset*

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-3-matches-ord-res-4* :: *'f ord-res-3-state* \Rightarrow *'f ord-res-4-state* \Rightarrow *bool* **where**
 $\mathcal{F} \mid \subseteq \mid N \mid \cup \mid U_{er} \implies U_{ef} = \text{iefac } \mathcal{F} \mid \uparrow \{ \mid C \mid \in \mid N \mid \cup \mid U_{er}. \text{iefac } \mathcal{F} \ C \neq C \mid \} \implies$
ord-res-3-matches-ord-res-4 $(N, (U_{er}, U_{ef})) (N, U_{er}, \mathcal{F})$

lemma *ord-res-3-final-iff-ord-res-4-final:*
assumes *match: ord-res-3-matches-ord-res-4 S3 S4*
shows *ord-res-3-final S3* \longleftrightarrow *ord-res-4-final S4*
<proof>

lemma *forward-simulation-between-3-and-4:*
assumes
match: ord-res-3-matches-ord-res-4 S3 S4 **and**
step: ord-res-3-step S3 S3'
shows $(\exists S4'. \text{ord-res-4-step}^{++} S4 S4' \wedge \text{ord-res-3-matches-ord-res-4 } S3' S4')$
<proof>

theorem *bisimulation-ord-res-3-ord-res-4:*
defines *match* $\equiv \lambda S3 S4. \text{ord-res-3-matches-ord-res-4 } S3 S4$
shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-3-state} \Rightarrow 'f \text{ord-res-4-state} \Rightarrow \text{bool})$
 $\mathcal{R}_f \mathcal{R}_b.$

bisimulation ord-res-3-step ord-res-3-final ord-res-4-step ord-res-4-final MATCH
 $\mathcal{R}_f \mathcal{R}_b$
 <proof>

end

31 ORD-RES-5 (explicit model construction)

type-synonym 'f ord-res-5-state = 'f gclause fset × 'f gclause fset × 'f gclause fset ×
 ('f gterm ⇒ 'f gclause option) × 'f gclause option

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-4-matches-ord-res-5* :: 'f ord-res-4-state ⇒ 'f ord-res-5-state ⇒
 bool **where**

ord-res-5-invars $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \implies$
 $(\forall C. C = \text{Some } C \longleftrightarrow \text{is-least-false-clause } (\text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er})) C) \implies$
ord-res-4-matches-ord-res-5 $(N, U_{er}, \mathcal{F}) (N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$

lemma *ord-res-4-final-iff-ord-res-5-final*:

assumes *match*: *ord-res-4-matches-ord-res-5* $S_4 S_5$

shows *ord-res-4-final* $S_4 \longleftrightarrow$ *ord-res-5-final* S_5

<proof>

lemma *forward-simulation-between-4-and-5*:

fixes $S_4 S_4' S_5$

assumes *match*: *ord-res-4-matches-ord-res-5* $S_4 S_5$ **and** *step*: *ord-res-4-step* $S_4 S_4'$

shows $\exists S_5'. \text{ord-res-5-step}^{++} S_5 S_5' \wedge \text{ord-res-4-matches-ord-res-5 } S_4' S_5'$

<proof>

theorem *bisimulation-ord-res-4-ord-res-5*:

defines *match* $\equiv \lambda-. \text{ord-res-4-matches-ord-res-5}$

shows $\exists (MATCH :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-4-state} \Rightarrow 'f \text{ord-res-5-state} \Rightarrow \text{bool})$

$\mathcal{R}_f \mathcal{R}_b.$

bisimulation ord-res-4-step ord-res-4-final ord-res-5-step ord-res-5-final MATCH

$\mathcal{R}_f \mathcal{R}_b$

<proof>

end

32 ORD-RES-6 (model backjump)

type-synonym 'f ord-res-6-state = 'f gclause fset × 'f gclause fset × 'f gclause fset ×
 ('f gterm ⇒ 'f gclause option) × 'f gclause option

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-5-matches-ord-res-6* :: 'f *ord-res-5-state* \Rightarrow 'f *ord-res-6-state* \Rightarrow *bool* **where**
ord-res-5-invars $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \Longrightarrow$
ord-res-5-matches-ord-res-6 $(N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C})$

lemma *ord-res-5-final-iff-ord-res-6-final*:
fixes $i S5 S6$
assumes *match*: *ord-res-5-matches-ord-res-6* $S5 S6$
shows *ord-res-5-final* $S5 \longleftrightarrow$ *ord-res-6-final* $S6$
<proof>

lemma *backward-simulation-between-5-and-6*:
fixes $S5 S6 S6'$
assumes *match*: *ord-res-5-matches-ord-res-6* $S5 S6$ **and** *step*: *ord-res-6-step* $S6 S6'$
shows $\exists S5'. \text{ord-res-5-step}^{++} S5 S5' \wedge \text{ord-res-5-matches-ord-res-6} S5' S6'$
<proof>

theorem *bisimulation-ord-res-5-ord-res-6*:
defines *match* $\equiv \lambda-. \text{ord-res-5-matches-ord-res-6}$
shows $\exists (MATCH :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-5-state} \Rightarrow 'f \text{ord-res-6-state} \Rightarrow \text{bool})$
 $\mathcal{R}_f \mathcal{R}_b.$
bisimulation ord-res-5-step ord-res-5-final ord-res-6-step ord-res-6-final MATCH
 $\mathcal{R}_f \mathcal{R}_b$
<proof>

end

33 ORD-RES-7 (clause-guided literal trail construction)

type-synonym 'f *ord-res-7-state* =
'f *gclause fset* \times 'f *gclause fset* \times 'f *gclause fset* \times ('f *gliteral* \times 'f *gclause option*)
list \times
'f *gclause option*

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-6-matches-ord-res-7* ::
'f *gterm fset* \Rightarrow 'f *ord-res-6-state* \Rightarrow 'f *ord-res-7-state* \Rightarrow *bool* **where**
ord-res-5-invars $N (U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) \Longrightarrow$
ord-res-7-invars $N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) \Longrightarrow$
 $(\forall A C. \mathcal{M} A = \text{Some } C \longleftrightarrow \text{map-of } \Gamma (\text{Pos } A) = \text{Some } (\text{Some } C)) \Longrightarrow$
 $(\forall A. \mathcal{M} A = \text{None} \longleftrightarrow \text{map-of } \Gamma (\text{Neg } A) \neq \text{None} \vee A \notin \text{trail-atms } \Gamma) \Longrightarrow$
 $i = \text{atms-of-cls} (N \cup U_{er}) - \text{trail-atms } \Gamma \Longrightarrow$
ord-res-6-matches-ord-res-7 $i (N, U_{er}, \mathcal{F}, \mathcal{M}, \mathcal{C}) (N, U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$

$linorder-lit.is-maximal-in-mset C L \implies$
 $\neg(\exists A | \in | atms-of-clss (N \cup U_{er}). A \prec_t atm-of L \wedge A | \notin | trail-atms \Gamma) \implies$
 $\neg trail-defined-lit \Gamma L \implies$
 $is-pos L \implies$
 $\neg trail-false-cls \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\neg(\exists D | \in | iefac \mathcal{F} | \uparrow (N \cup U_{er}). C \prec_c D) \implies$
 $ord-res-8-can-skip-undefined-pos-ultimate N U_{er} \mathcal{F} \Gamma C$

inductive ord-res-8-can-produce where

$\neg trail-false-cls \Gamma C \implies$
 $linorder-lit.is-maximal-in-mset C L \implies$
 $\neg(\exists A | \in | atms-of-clss (N \cup U_{er}). A \prec_t atm-of L \wedge A | \notin | trail-atms \Gamma) \implies$
 $\neg trail-defined-lit \Gamma L \implies$
 $is-pos L \implies$
 $trail-false-cls \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $linorder-lit.is-greatest-in-mset C L \implies$
 $ord-res-8-can-produce N U_{er} \mathcal{F} \Gamma C$

inductive ord-res-8-can-factorize where

$\neg trail-false-cls \Gamma C \implies$
 $linorder-lit.is-maximal-in-mset C L \implies$
 $\neg(\exists A | \in | atms-of-clss (N \cup U_{er}). A \prec_t atm-of L \wedge A | \notin | trail-atms \Gamma) \implies$
 $\neg trail-defined-lit \Gamma L \implies$
 $is-pos L \implies$
 $trail-false-cls \Gamma \{\#K \in \# C. K \neq L\# \} \implies$
 $\neg linorder-lit.is-greatest-in-mset C L \implies$
 $ord-res-8-can-factorize N U_{er} \mathcal{F} \Gamma C$

definition is-least-nonskipped-clause where

$is-least-nonskipped-clause N U_{er} \mathcal{F} \Gamma C \iff$
 $linorder-cls.is-least-in-fset \{C | \in | iefac \mathcal{F} | \uparrow (N \cup U_{er}).$
 $trail-false-cls \Gamma C \vee$
 $ord-res-8-can-decide-neg N U_{er} \mathcal{F} \Gamma C \vee$
 $ord-res-8-can-skip-undefined-neg N U_{er} \mathcal{F} \Gamma C \vee$
 $ord-res-8-can-skip-undefined-pos-ultimate N U_{er} \mathcal{F} \Gamma C \vee$
 $ord-res-8-can-produce N U_{er} \mathcal{F} \Gamma C \vee$
 $ord-res-8-can-factorize N U_{er} \mathcal{F} \Gamma C\} C$

lemma is-least-nonskipped-clause-empty:

assumes $bot-in: \{\#\} | \in | iefac \mathcal{F} | \uparrow (N \cup U_{er})$
shows $is-least-nonskipped-clause N U_{er} \mathcal{F} \Gamma \{\#\}$
 $\langle proof \rangle$

lemma nex-is-least-nonskipped-clause-if:

assumes
 $no-undef-atom: \neg(\exists A | \in | atms-of-clss (N \cup U_{er}). A | \notin | trail-atms \Gamma)$ **and**
 $no-false-clause: \neg fBex (iefac \mathcal{F} | \uparrow (N \cup U_{er})) (trail-false-cls \Gamma)$
shows $\# C. is-least-nonskipped-clause N U_{er} \mathcal{F} \Gamma C$
 $\langle proof \rangle$

lemma *MAGIC5*:

assumes *invars*: *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$ **and**

no-more-steps: $\nexists C'. \text{ord-res-7 } N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) (U_{er}, \mathcal{F}, \Gamma, C')$

shows $(\forall C. C = \text{Some } C \longleftrightarrow \text{is-least-nonskipped-clause } N U_{er} \mathcal{F} \Gamma C)$

<proof>

lemma *MAGIC6*:

assumes *invars*: *ord-res-7-invars* $N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C})$

shows $\exists C'. (\text{ord-res-7 } N)^{**} (U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) (U_{er}, \mathcal{F}, \Gamma, C') \wedge$

$(\nexists C''. \text{ord-res-7 } N (U_{er}, \mathcal{F}, \Gamma, C') (U_{er}, \mathcal{F}, \Gamma, C''))$

<proof>

inductive *ord-res-7-matches-ord-res-8* :: '*f* *ord-res-7-state* \Rightarrow '*f* *ord-res-8-state* \Rightarrow *bool* **where**

ord-res-7-invars $N (U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) \Longrightarrow$

ord-res-8-invars $N (U_{er}, \mathcal{F}, \Gamma) \Longrightarrow$

$(\forall C. C = \text{Some } C \longleftrightarrow \text{is-least-nonskipped-clause } N U_{er} \mathcal{F} \Gamma C) \Longrightarrow$

ord-res-7-matches-ord-res-8 $(N, U_{er}, \mathcal{F}, \Gamma, \mathcal{C}) (N, U_{er}, \mathcal{F}, \Gamma)$

lemma *ord-res-7-final-iff-ord-res-8-final*:

fixes *S7 S8*

assumes *match*: *ord-res-7-matches-ord-res-8* *S7 S8*

shows *ord-res-7-final* *S7* \longleftrightarrow *ord-res-8-final* *S8*

<proof>

lemma *backward-simulation-between-7-and-8*:

fixes *i S7 S8 S8'*

assumes *match*: *ord-res-7-matches-ord-res-8* *S7 S8* **and** *step*: *constant-context* *ord-res-8* *S8 S8'*

shows $\exists S7'. (\text{constant-context } \text{ord-res-7})^{++} S7 S7' \wedge \text{ord-res-7-matches-ord-res-8 } S7' S8'$

<proof>

theorem *bisimulation-ord-res-7-ord-res-8*:

defines *match* $\equiv \lambda-. \text{ord-res-7-matches-ord-res-8}$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow \text{'f } \text{ord-res-7-state} \Rightarrow \text{'f } \text{ord-res-8-state} \Rightarrow \text{bool})$
 $\mathcal{R}_f \mathcal{R}_b.$

bisimulation

(constant-context ord-res-7) *ord-res-7-final*

(constant-context ord-res-8) *ord-res-8-final*

MATCH $\mathcal{R}_f \mathcal{R}_b$

<proof>

end

35 ORD-RES-9 (factorize when propagating)

type-synonym '*f* *ord-res-9-state* =

'f gclause fset × 'f gclause fset × 'f gclause fset × ('f gliteral × 'f gclause option)
list

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-8-matches-ord-res-9* :: 'f ord-res-8-state ⇒ 'f ord-res-9-state ⇒
bool **where**

ord-res-8-invars $N (U_{er}, \mathcal{F}, \Gamma) \implies$
ord-res-8-matches-ord-res-9 $(N, U_{er}, \mathcal{F}, \Gamma) (N, U_{er}, \mathcal{F}, \Gamma)$

lemma *ord-res-8-final-iff-ord-res-9-final*:

fixes $S8\ S9$
assumes *match*: *ord-res-8-matches-ord-res-9* $S8\ S9$
shows *ord-res-8-final* $S8 \longleftrightarrow$ *ord-res-8-final* $S9$
{proof}

lemma *backward-simulation-between-8-and-9*:

fixes $S8\ S9\ S9'$
assumes *match*: *ord-res-8-matches-ord-res-9* $S8\ S9$ **and** *step*: *constant-context*
ord-res-9 $S9\ S9'$
shows $\exists S8'. (\text{constant-context ord-res-8})^{++} S8\ S8' \wedge$ *ord-res-8-matches-ord-res-9*
 $S8'\ S9'$
{proof}

theorem *bisimulation-ord-res-8-ord-res-9*:

defines *match* $\equiv \lambda-. \text{ord-res-8-matches-ord-res-9}$
shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-8-state} \Rightarrow 'f \text{ord-res-9-state} \Rightarrow \text{bool})$
 $\mathcal{R}_f\ \mathcal{R}_b.$
bisimulation
(constant-context ord-res-8) *ord-res-8-final*
(constant-context ord-res-9) *ord-res-8-final*
MATCH $\mathcal{R}_f\ \mathcal{R}_b$
{proof}

end

36 ORD-RES-10 (propagate iff a conflict is produced)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-9-matches-ord-res-10* :: 'f ord-res-9-state ⇒ 'f ord-res-10-state
⇒ bool **where**

ord-res-8-invars $N (U_{er}, \mathcal{F}, \Gamma_9) \implies$
ord-res-10-invars $N (U_{er}, \mathcal{F}, \Gamma_{10}) \implies$
list-all2 $(\lambda x\ y. \text{fst } x = \text{fst } y) \Gamma_9\ \Gamma_{10} \implies$
list-all2 $(\lambda x\ y. \text{snd } y \neq \text{None} \longrightarrow x = y) \Gamma_9\ \Gamma_{10} \implies$
ord-res-9-matches-ord-res-10 $(N, U_{er}, \mathcal{F}, \Gamma_9) (N, U_{er}, \mathcal{F}, \Gamma_{10})$

lemma *ord-res-9-final-iff-ord-res-10-final*:

fixes $S9\ S10$

assumes *match: ord-res-9-matches-ord-res-10* $S9\ S10$

shows *ord-res-8-final* $S9 \longleftrightarrow \text{ord-res-8-final } S10$

<proof>

lemma *backward-simulation-between-9-and-10*:

fixes $S9\ S10\ S10'$

assumes

match: ord-res-9-matches-ord-res-10 $S9\ S10$ **and**

step: constant-context ord-res-10 $S10\ S10'$

shows $\exists S9'. (\text{constant-context ord-res-9})^{++} S9\ S9' \wedge \text{ord-res-9-matches-ord-res-10 } S9'\ S10'$

<proof>

theorem *bisimulation-ord-res-9-ord-res-10*:

defines *match* $\equiv \lambda-. \text{ord-res-9-matches-ord-res-10}$

shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-8-state} \Rightarrow 'f \text{ord-res-9-state} \Rightarrow \text{bool})$
 $\mathcal{R}_f\ \mathcal{R}_b.$

bisimulation

(constant-context ord-res-9) ord-res-8-final

(constant-context ord-res-10) ord-res-8-final

MATCH $\mathcal{R}_f\ \mathcal{R}_b$

<proof>

end

37 ORD-RES-11 (SCL strategy)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

inductive *ord-res-10-matches-ord-res-11* $:: 'f \text{ord-res-10-state} \Rightarrow 'f \text{ord-res-11-state} \Rightarrow \text{bool}$ **where**

ord-res-10-invars $N\ (U_{er10}, \mathcal{F}, \Gamma) \Longrightarrow$

ord-res-11-invars $N\ (U_{er11}, \mathcal{F}, \Gamma, \mathcal{C}) \Longrightarrow$

$U_{er11} = U_{er10} - \{\{\#\}\} \Longrightarrow$

if $\{\#\} \in \text{iefac } \mathcal{F} \mid \uparrow (N \mid \cup \mid U_{er10})$ *then* $\Gamma = [] \wedge \mathcal{C} = \text{Some } \{\#\}$ *else* $\mathcal{C} = \text{None} \Longrightarrow$

ord-res-10-matches-ord-res-11 $(N, U_{er10}, \mathcal{F}, \Gamma)\ (N, U_{er11}, \mathcal{F}, \Gamma, \mathcal{C})$

lemma *ord-res-10-final-iff-ord-res-11-final*:

fixes $S10\ S11$

assumes *match: ord-res-10-matches-ord-res-11* $S10\ S11$

shows *ord-res-8-final* $S10 \longleftrightarrow \text{ord-res-11-final } S11$

<proof>

lemma *forward-simulation-between-10-and-11*:

fixes $S10\ S11\ S10'$

assumes
match: *ord-res-10-matches-ord-res-11 S10 S11* **and**
step: *constant-context ord-res-10 S10 S10'*
shows $\exists S11'. (\text{constant-context ord-res-11})^{++} S11 S11' \wedge \text{ord-res-10-matches-ord-res-11 } S10' S11'$
<proof>

theorem *bisimulation-ord-res-10-ord-res-11*:
defines *match* $\equiv \lambda-. \text{ord-res-10-matches-ord-res-11}$
shows $\exists (\text{MATCH} :: \text{nat} \times \text{nat} \Rightarrow 'f \text{ord-res-10-state} \Rightarrow 'f \text{ord-res-11-state} \Rightarrow \text{bool}) \mathcal{R}_f \mathcal{R}_b.$
bisimulation
(constant-context ord-res-10) ord-res-8-final
(constant-context ord-res-11) ord-res-11-final
MATCH $\mathcal{R}_f \mathcal{R}_b$
<proof>

end

type-synonym *bisim-index-1-2* = *nat* \times *nat*
type-synonym *bisim-index-1-3* = *bisim-index-1-2* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-4* = *bisim-index-1-3* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-5* = *bisim-index-1-4* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-6* = *bisim-index-1-5* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-7* = *bisim-index-1-6* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-8* = *bisim-index-1-7* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-9* = *bisim-index-1-8* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-10* = *bisim-index-1-9* \times (*nat* \times *nat*)
type-synonym *bisim-index-1-11* = *bisim-index-1-10* \times (*nat* \times *nat*)

context *simulation-SCLFOL-ground-ordered-resolution* **begin**

theorem *bisimulation-ord-res-1-ord-res-11*:
obtains
MATCH $:: \text{bisim-index-1-11} \Rightarrow 'f \text{ord-res-1-state} \Rightarrow 'f \text{ord-res-11-state} \Rightarrow \text{bool}$
and
 $\mathcal{R}_f \mathcal{R}_b :: \text{bisim-index-1-11} \Rightarrow \text{bisim-index-1-11} \Rightarrow \text{bool}$
where
bisimulation
ord-res-1 ord-res-1-final
(constant-context ord-res-11) ord-res-11-final
MATCH $\mathcal{R}_f \mathcal{R}_b$
<proof>

theorem
obtains
MATCH $:: \text{bisim-index-1-11} \Rightarrow 'f \text{ord-res-1-state} \Rightarrow 'f \text{ord-res-11-state} \Rightarrow \text{bool}$
and
 $\mathcal{R}_f \mathcal{R}_b :: \text{bisim-index-1-11} \Rightarrow \text{bisim-index-1-11} \Rightarrow \text{bool}$

where

bisimulation

ord-res-1 ord-res-1-final

(constant-context ord-res-11) ord-res-11-final

MATCH $\mathcal{R}_f \mathcal{R}_b$ and

$\bigwedge j S1 S11. \text{MATCH } j S1 S11 \implies \text{ord-res-1-final } S1 \longleftrightarrow \text{ord-res-11-final } S11$
<proof>

38 ORD-RES-11 is a regular SCL strategy

definition *gtrailelem-of-trailelem* **where**

gtrailelem-of-trailelem $\equiv \lambda(L, \text{opt}).$

(lit-of-glit L, map-option ($\lambda C. (\text{cls-of-gcls } \{\#K \in \# C. K \neq L\# \}, \text{lit-of-glit } L, \text{Var})) \text{opt})$

fun *state-of-gstate* $:: - \Rightarrow ('f, 'v) \text{SCL-FOL.state}$ **where**

state-of-gstate ($U_G, -, \Gamma_G, \mathcal{C}_G$) =

(let

$\Gamma = \text{map } \text{gtrailelem-of-trailelem } \Gamma_G;$

$U = \text{cls-of-gcls } |\cdot| U_G;$

$\mathcal{C} = \text{map-option } (\lambda C_G. (\text{cls-of-gcls } C_G, \text{Var})) \mathcal{C}_G$

in (Γ, U, \mathcal{C})

lemma *fst-case-prod-simp*: $\text{fst } (\text{case } p \text{ of } (x, y) \Rightarrow (f x, g x y)) = f (\text{fst } p)$

<proof>

lemma *trail-false-cls-nonground-iff-trail-false-cls-ground*:

fixes Γ_G **and** $D_G :: 'f \text{gclause}$

fixes $\Gamma :: ('f, 'v) \text{SCL-FOL.trail}$ **and** $D :: ('f, 'v) \text{term clause}$

defines $\Gamma \equiv \text{map } \text{gtrailelem-of-trailelem } \Gamma_G$ **and** $D \equiv \text{cls-of-gcls } D_G$

shows $\text{trail-false-cls } \Gamma D \longleftrightarrow \text{trail-false-cls } \Gamma_G D_G$

<proof>

theorem *ord-res-11-is-strategy-for-regular-scl*:

fixes

$N_G :: 'f \text{gclause fset}$ **and**

$N :: ('f, 'v) \text{term clause fset}$ **and**

$\beta_G :: 'f \text{gterm}$ **and**

$\beta :: ('f, 'v) \text{term}$ **and**

$S_G S_G' :: 'f \text{gclause fset} \times 'f \text{gclause fset} \times ('f \text{gliteral} \times 'f \text{gclause option}) \text{list}$

$\times 'f \text{gclause option}$ **and**

$S S' :: ('f, 'v) \text{SCL-FOL.state}$

defines

$N \equiv \text{cls-of-gcls } |\cdot| N_G$ **and**

$\beta \equiv \text{term-of-gterm } \beta_G$ **and**

$S \equiv \text{state-of-gstate } S_G$ **and**

$S' \equiv \text{state-of-gstate } S_G'$

assumes

ball-le- β_G : $\forall A_G \mid \in \mid$ *atms-of-cls* N_G . $A_G \preceq_t \beta_G$ **and**
run: (*ord-res-11* N_G)** ($\{\mid\}$, $\{\mid\}$, \mid , *None*) S_G **and**
step: *ord-res-11* $N_G S_G S'_G$
shows
scl-fol.regular-scl $N \beta S S'$
 \langle *proof* \rangle
end

lemma *wfp-on-antimono-stronger*:

fixes
 $A :: 'a \text{ set}$ **and** $B :: 'b \text{ set}$ **and**
 $f :: 'a \Rightarrow 'b$ **and**
 $R :: 'b \Rightarrow 'b \Rightarrow \text{bool}$ **and** $Q :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
assumes
wf: *wfp-on* $B R$ **and**
sub: $f ' A \subseteq B$ **and**
mono: $\bigwedge x y. x \in A \Longrightarrow y \in A \Longrightarrow Q x y \Longrightarrow R (f x) (f y)$
shows *wfp-on* $A Q$
 \langle *proof* \rangle

For AFP-devel, delete \llbracket *wfp-on* $?B ?R$; $?f ' ?A \subseteq ?B$; $\bigwedge x y. \llbracket x \in ?A$; $y \in ?A$; $?Q x y \rrbracket \Longrightarrow ?R (?f x) (?f y) \rrbracket \Longrightarrow$ *wfp-on* $?A ?Q$ as it is available in *HOL.Wellfounded*.

corollary (in *scl-fol-calculus*) *termination-projectable-strategy*:

fixes
 $N :: ('f, 'v) \text{ Term.term clause fset}$ **and**
 $\beta :: ('f, 'v) \text{ Term.term}$ **and**
strategy **and** *strategy-init* **and** *proj*
assumes *strategy-restricts-regular-scl*:
 $\bigwedge S S'. \text{strategy}^{**} \text{strategy-init } S \Longrightarrow \text{strategy } S S' \Longrightarrow \text{regular-scl } N \beta (\text{proj } S)$
 $(\text{proj } S')$ **and**
initial-state: *proj strategy-init* = *initial-state*
shows *wfp-on* $\{S. \text{strategy}^{**} \text{strategy-init } S\} \text{strategy}^{-1-1}$
 \langle *proof* \rangle

For AFP-devel, delete \llbracket *scl-fol-calculus* *renaming-vars* *?less-B*; $\bigwedge S S'. \llbracket ?\text{strategy}^{**} ?\text{strategy-init } S$; $?\text{strategy } S S' \rrbracket \Longrightarrow$ *scl-fol-calculus.regular-scl* *?less-B* $?N ?\beta (?proj S) (?proj S')$; $?proj ?\text{strategy-init} = \text{initial-state} \rrbracket \Longrightarrow$ *wfp-on* $\{S. ?\text{strategy}^{**} ?\text{strategy-init } S\} ?\text{strategy}^{-1-1}$ as it is available in *Simple-Clause-Learning.Termination*.

corollary (in *simulation-SCLFOL-ground-ordered-resolution*) *ord-res-11-termination*:

fixes $N :: 'f \text{ gclause fset}$
shows *wfp-on* $\{S. (\text{ord-res-11 } N)^{**} (\{\mid\}, \{\mid\}, \mid, \text{None}) S\} (\text{ord-res-11 } N)^{-1-1}$
 \langle *proof* \rangle

corollary (in *scl-fol-calculus*) *static-non-subsumption-projectable-strategy*:

fixes *strategy* **and** *strategy-init* **and** *proj*

assumes
run: *strategy*** *strategy-init* *S* **and**
step: *backtrack* *N* β (*proj* *S*) *S'* **and**
strategy-restricts-regular-scl:
 $\bigwedge S S'. \text{strategy}^{**} \text{strategy-init } S \implies \text{strategy } S S' \implies \text{regular-scl } N \beta (\text{proj } S) (\text{proj } S')$ **and**
initial-state: *proj strategy-init* = *initial-state*
defines
 $U \equiv \text{state-learned } (\text{proj } S)$
shows $\exists C \gamma. \text{state-conflict } (\text{proj } S) = \text{Some } (C, \gamma) \wedge \neg (\exists D |\in| N |\cup| U. \text{subsumes } D C)$
<proof>

For AFP-devel, delete $\llbracket \text{scl-fol-calculus } ?\text{renaming-vars } ?\text{less-B}; ?\text{strategy}^{**} ?\text{strategy-init } ?S; \text{scl-fol-calculus.backtrack } ?N ?\beta (? \text{proj } ?S) ?S'; \bigwedge S S'. \llbracket ?\text{strategy}^{**} ?\text{strategy-init } S; ?\text{strategy } S S' \rrbracket \implies \text{scl-fol-calculus.regular-scl } ?\text{less-B } ?N ?\beta (? \text{proj } S) (? \text{proj } S'); ? \text{proj } ?\text{strategy-init} = \text{initial-state} \rrbracket \implies \exists C \gamma. \text{state-conflict } (? \text{proj } ?S) = \text{Some } (C, \gamma) \wedge \neg (\exists D |\in| ?N |\cup| \text{state-learned } (? \text{proj } ?S). \text{subsumes } D C)$ as it is available in *Simple-Clause-Learning.Non-Redundancy*.

corollary (in *simulation-SCLFOL-ground-ordered-resolution*) *ord-res-11-non-subsumption*:

fixes $N_G :: 'f \text{ gclause fset}$ **and** $s :: - \times - \times - \times -$
defines
 $\beta \equiv (\text{THE } A. \text{linorder-trm.is-greatest-in-fset } (\text{atms-of-cls } N_G) A)$
assumes
run: (*ord-res-11* N_G)** ($\{\{\}\}, \{\|\}, [], \text{None}$) *s* **and**
step: *scl-fol.backtrack* (*cls-of-gcls* $|^| N_G$) (*term-of-gterm* β) (*state-of-gstate* *s*)
s'
shows $\exists U_{er} \mathcal{F} \Gamma D. s = (U_{er}, \mathcal{F}, \Gamma, \text{Some } D) \wedge \neg (\exists C |\in| N_G |\cup| U_{er}. C \subseteq \# D)$
<proof>

end

References

- [1] M. Bromberger, C. Jain, and C. Weidenbach. SCL(FOL) can simulate non-redundant superposition clause learning. In B. Pientka and C. Tinelli, editors, *Automated Deduction – CADE 29*, pages 134–152, Cham, 2023. Springer Nature Switzerland.