

# Transitive closure according to Roy-Floyd-Warshall

Makarius Wenzel

December 14, 2021

## Abstract

This formulation of the Roy-Floyd-Warshall algorithm for the transitive closure bypasses matrices and arrays, but uses a more direct mathematical model with adjacency functions for immediate predecessors and successors. This can be implemented efficiently in functional programming languages and is particularly adequate for sparse relations.

## Contents

<b>1</b>	<b>Transitive closure algorithm</b>	<b>1</b>
<b>2</b>	<b>Correctness proof</b>	<b>2</b>
2.1	Miscellaneous lemmas . . . . .	2
2.2	Bounded closure . . . . .	3
2.3	Main theorem . . . . .	5
<b>3</b>	<b>Alternative formulation</b>	<b>5</b>

## 1 Transitive closure algorithm

The Roy-Floyd-Warshall algorithm takes a finite relation as input and produces its transitive closure as output. It iterates over all elements of the field of the relation and maintains a cumulative approximation of the result: step 0 starts with the original relation, and step *Suc n* connects all paths over the intermediate element *n*. The final approximation coincides with the full transitive closure.

This algorithm is often named after “Floyd”, “Warshall”, or “Floyd-Warshall”, but the earliest known description is due to B. Roy [1].

Subsequently we use a direct mathematical model of the relation, bypassing matrices and arrays that are usually seen in the literature. This is more efficient for sparse relations: only the adjacency for immediate predecessors and successors needs to be maintained, not the square of all possible

combinations. Moreover we do not have to worry about mutable data structures in a multi-threaded environment. See also the graph implementation in the Isabelle sources `$ISABELLE_HOME/src/Pure/General/graph.ML` and `$ISABELLE_HOME/src/Pure/General/graph.scala`.

**type-synonym**  $relation = (nat \times nat) set$

**fun**  $steps :: relation \Rightarrow nat \Rightarrow relation$

**where**

$steps\ rel\ 0 = rel$   
 $| steps\ rel\ (Suc\ n) =$   
 $steps\ rel\ n \cup \{(x, y). (x, n) \in steps\ rel\ n \wedge (n, y) \in steps\ rel\ n\}$

Implementation view on the relation:

**definition**  $preds :: relation \Rightarrow nat \Rightarrow nat\ set$

**where**  $preds\ rel\ y = \{x. (x, y) \in rel\}$

**definition**  $succs :: relation \Rightarrow nat \Rightarrow nat\ set$

**where**  $succs\ rel\ x = \{y. (x, y) \in rel\}$

**lemma**

$steps\ rel\ (Suc\ n) =$   
 $steps\ rel\ n \cup \{(x, y). x \in preds\ (steps\ rel\ n)\ n \wedge y \in succs\ (steps\ rel\ n)\ n\}$   
**by**  $(simp\ add: preds-def\ succs-def)$

The main function requires an upper bound for the iteration, which is left unspecified here (via Hilbert's choice).

**definition**  $is-bound :: relation \Rightarrow nat \Rightarrow bool$

**where**  $is-bound\ rel\ n \longleftrightarrow (\forall m \in Field\ rel. m < n)$

**definition**  $transitive-closure\ rel = steps\ rel\ (SOME\ n. is-bound\ rel\ n)$

## 2 Correctness proof

### 2.1 Miscellaneous lemmas

**lemma**  $finite-bound:$

**assumes**  $finite\ rel$

**shows**  $\exists n. is-bound\ rel\ n$

**using**  $assms$

**proof**  $induct$

**case**  $empty$

**then show**  $?case$  **by**  $(simp\ add: is-bound-def)$

**next**

**case**  $(insert\ p\ rel)$

**then obtain**  $n$  **where**  $n: \forall m \in Field\ rel. m < n$

**unfolding**  $is-bound-def$  **by**  $blast$

**obtain**  $x\ y$  **where**  $p = (x, y)$  **by**  $(cases\ p)$

**then have**  $\forall m \in Field\ (insert\ p\ rel). m < \max\ (Suc\ x)\ (\max\ (Suc\ y)\ n)$

**using**  $n$  **by** *auto*  
**then show** *?case*  
**unfolding** *is-bound-def* **by** *blast*  
**qed**

**lemma** *steps-Suc*:  $(x, y) \in \text{steps rel } (\text{Suc } n) \longleftrightarrow$   
 $(x, y) \in \text{steps rel } n \vee (x, n) \in \text{steps rel } n \wedge (n, y) \in \text{steps rel } n$   
**by** *auto*

**lemma** *steps-cases*:  
**assumes**  $(x, y) \in \text{steps rel } (\text{Suc } n)$   
**obtains** *(copy)*  $(x, y) \in \text{steps rel } n$   
| *(step)*  $(x, n) \in \text{steps rel } n$  **and**  $(n, y) \in \text{steps rel } n$   
**using** *assms* **by** *auto*

**lemma** *steps-rel*:  $(x, y) \in \text{rel} \implies (x, y) \in \text{steps rel } n$   
**by** *(induct n) auto*

## 2.2 Bounded closure

The bounded closure connects all transitive paths over elements below a given bound. For an upper bound of the relation, this coincides with the full transitive closure.

**inductive-set** *Clos* :: *relation*  $\Rightarrow$  *nat*  $\Rightarrow$  *relation*

**for** *rel* :: *relation* **and** *n* :: *nat*

**where**

*base*:  $(x, y) \in \text{Clos rel } n$  **if**  $(x, y) \in \text{rel}$

| *step*:  $(x, y) \in \text{Clos rel } n$  **if**  $(x, z) \in \text{Clos rel } n$  **and**  $(z, y) \in \text{Clos rel } n$  **and**  $z < n$

**theorem** *Clos-closure*:

**assumes** *is-bound rel n*

**shows**  $(x, y) \in \text{Clos rel } n \longleftrightarrow (x, y) \in \text{rel}^+$

**proof**

**show**  $(x, y) \in \text{rel}^+$  **if**  $(x, y) \in \text{Clos rel } n$

**using** *that* **by** *induct simp-all*

**show**  $(x, y) \in \text{Clos rel } n$  **if**  $(x, y) \in \text{rel}^+$

**using** *that*

**proof** *(induct rule: trancl-induct)*

**case** *(base y)*

**then show** *?case* **by** *(rule Clos.base)*

**next**

**case** *(step y z)*

**from**  $\langle (y, z) \in \text{rel} \rangle$  **have** 1:  $(y, z) \in \text{Clos rel } n$  **by** *(rule base)*

**from**  $\langle (y, z) \in \text{rel} \rangle$  **and**  $\langle \text{is-bound rel } n \rangle$  **have** 2:  $y < n$

**unfolding** *is-bound-def Field-def* **by** *blast*

**from** *step(3)* 1 2 **show** *?case* **by** *(rule Clos.step)*

**qed**

**qed**

**lemma** *Clos-Suc*:  
**assumes**  $(x, y) \in \text{Clos rel } n$   
**shows**  $(x, y) \in \text{Clos rel } (\text{Suc } n)$   
**using** *assms* **by** *induct* (*auto intro: Clos.intros*)

In each step of the algorithm the approximated relation is exactly the bounded closure.

**theorem** *steps-Clos-equiv*:  $(x, y) \in \text{steps rel } n \longleftrightarrow (x, y) \in \text{Clos rel } n$

**proof** (*induct n arbitrary: x y*)

**case** 0

**show** *?case*

**proof**

**show**  $(x, y) \in \text{Clos rel } 0$  **if**  $(x, y) \in \text{steps rel } 0$

**proof** –

**from** *that* **have**  $(x, y) \in \text{rel}$  **by** *simp*

**then show** *?thesis* **by** (*rule Clos.base*)

**qed**

**show**  $(x, y) \in \text{steps rel } 0$  **if**  $(x, y) \in \text{Clos rel } 0$

**using** *that* **by** *cases simp-all*

**qed**

**next**

**case**  $(\text{Suc } n)$

**show** *?case*

**proof**

**show**  $(x, y) \in \text{Clos rel } (\text{Suc } n)$  **if**  $(x, y) \in \text{steps rel } (\text{Suc } n)$

**using** *that*

**proof** (*cases rule: steps-cases*)

**case** *copy*

**with** *Suc(1)* **have**  $(x, y) \in \text{Clos rel } n$  ..

**then show** *?thesis* **by** (*rule Clos-Suc*)

**next**

**case** *step*

**with** *Suc* **have**  $(x, n) \in \text{Clos rel } n$  **and**  $(n, y) \in \text{Clos rel } n$   
**by** *simp-all*

**then have**  $(x, n) \in \text{Clos rel } (\text{Suc } n)$  **and**  $(n, y) \in \text{Clos rel } (\text{Suc } n)$   
**by** (*simp-all add: Clos-Suc*)

**then show** *?thesis* **by** (*rule Clos.step*) *simp*

**qed**

**show**  $(x, y) \in \text{steps rel } (\text{Suc } n)$  **if**  $(x, y) \in \text{Clos rel } (\text{Suc } n)$

**using** *that*

**proof** *induct*

**case** (*base x y*)

**then show** *?case* **by** (*simp add: steps-rel*)

**next**

**case** (*step x z y*)

**with** *Suc* **show** *?case*

**by** (*auto simp add: steps-Suc less-Suc-eq intro: Clos.step*)

**qed**

**qed**

qed

### 2.3 Main theorem

The main theorem follows immediately from the key observations above. Note that the assumption of finiteness gives a bound for the iteration, although the details are left unspecified. A concrete implementation could choose the the maximum element + 1, or iterate directly over the data structures for the *preds* and *succs* implementation.

**theorem** *transitive-closure-correctness*:

**assumes** *finite rel*

**shows** *transitive-closure rel = rel<sup>+</sup>*

**proof** –

**let** *?N = SOME n. is-bound rel n*

**have** *is-bound: is-bound rel ?N*

**by** (*rule someI-ex*) (*rule finite-bound [OF <finite rel>]*)

**have**  $(x, y) \in \text{steps rel } ?N \longleftrightarrow (x, y) \in \text{rel}^+$  **for** *x y*

**proof** –

**have**  $(x, y) \in \text{steps rel } ?N \longleftrightarrow (x, y) \in \text{Clos rel } ?N$

**by** (*rule steps-Clos-equiv*)

**also have**  $\dots \longleftrightarrow (x, y) \in \text{rel}^+$

**using** *is-bound* **by** (*rule Clos-closure*)

**finally show** *?thesis* .

**qed**

**then show** *?thesis unfolding transitive-closure-def by auto*

qed

### 3 Alternative formulation

The core of the algorithm may be expressed more declaratively as follows, using an inductive definition to imitate a logic-program. This is equivalent to the function specification *steps* from above.

**inductive** *Steps :: relation  $\Rightarrow$  nat  $\Rightarrow$  nat  $\times$  nat  $\Rightarrow$  bool*

**for** *rel :: relation*

**where**

*base: Steps rel 0 (x, y) if (x, y)  $\in$  rel*

| *copy: Steps rel (Suc n) (x, y) if Steps rel n (x, y)*

| *step: Steps rel (Suc n) (x, y) if Steps rel n (x, n) and Steps rel n (n, y)*

**lemma** *steps-equiv: (x, y)  $\in$  steps rel n  $\longleftrightarrow$  Steps rel n (x, y)*

**proof**

**show** *Steps rel n (x, y) if (x, y)  $\in$  steps rel n*

**using** *that*

**proof** (*induct n arbitrary: x y*)

**case** 0

**then have**  $(x, y) \in \text{rel}$  **by** *simp*

**then show** *?case* **by** (*rule base*)

```

next
  case (Suc n)
  from Suc(2) show ?case
  proof (cases rule: steps-cases)
    case copy
    with Suc(1) have Steps rel n (x, y) .
    then show ?thesis by (rule Steps.copy)
  next
  case step
  with Suc(1) have Steps rel n (x, n) and Steps rel n (n, y)
    by simp-all
  then show ?thesis by (rule Steps.step)
qed
qed
show (x, y) ∈ steps rel n if Steps rel n (x, y)
  using that by induct simp-all
qed

```

## References

- [1] B. Roy. Transitivité et connexité. In *Extrait des comptes rendus des séances de l'Académie des Sciences*, pages 216–218. Gauthier-Villars, July 1959. <http://gallica.bnf.fr/ark:/12148/bpt6k3201c/f222.image.langFR>.