# Ribbon Proofs for Separation Logic (Isabelle Formalisation)

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## Abstract

This document concerns the theory of *ribbon proofs*: a diagrammatic proof system, based on separation logic, for verifying program correctness. We include the syntax, proof rules, and soundness results for two alternative formalisations of ribbon proofs.

Compared to traditional 'proof outlines', ribbon proofs emphasise the structure of a proof, so are intelligible and pedagogical. Because they contain less redundancy than proof outlines, and allow each proof step to be checked locally, they may be more scalable. Where proof outlines are cumbersome to modify, ribbon proofs can be visually manoeuvred to yield proofs of variant programs.

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## 1 Introduction

Ribbon proofs are a diagrammatic approach for proving program correctness, based on separation logic. They are due to Wickerson, Dodds and Parkinson [4], and are also described in Wickerson's PhD dissertation [3]. An early version of the proof system, for proving entailments between quantifierfree separation logic assertions, was introduced by Bean [1].

Compared to traditional 'proof outlines', ribbon proofs emphasise the structure of a proof, so are intelligible and pedagogical. Because they contain less redundancy than proof outlines, and allow each proof step to be checked locally, they may be more scalable. Where proof outlines are cumbersome to modify, ribbon proofs can be visually manoeuvred to yield proofs of variant programs. In this document, we formalise a two-dimensional graphical syntax for ribbon proofs, provide proof rules, and show that any provable ribbon proof can be recreated using the ordinary rules of separation logic.

In fact, we provide two different formalisations. Our "stratified" formalisation sees a ribbon proof as a sequence of rows, with each row containing one step of the proof. This formalisation is very simple, but it does not reflect the visual intuition of ribbon proofs, which suggests that some proof steps can be slid up or down without affecting the validity of the overall proof. Our "graphical" formalisation sees a ribbon proof as a graph; specifically, as a directed acyclic nested graph. Ribbon proofs formalised in this way are more manoeuvrable, but proving soundness is trickier, and requires the assumption that separation logic's Frame rule has no side-condition (an assumption that can be validated by using, for instance, variables-as-resource [2]).

## 2 Finite partial functions

theory More-Finite-Map imports HOL-Library.Finite-Map begin

unbundle *lifting-syntax* unbundle *fmap.lifting* 

type-notation fmap (infix  $\langle \rightharpoonup_f \rangle$  9)

## 2.1 Difference

```
definition

map-diff :: ('k \rightarrow 'v) \Rightarrow 'k \ fset \Rightarrow ('k \rightarrow 'v)

where

map-diff \ f \ ks = restrict-map \ f \ (-fset \ ks)
```

#### lift-definition

 $\begin{array}{l} \textit{fmap-diff} :: ('k \rightharpoonup_f 'v) \Rightarrow 'k \textit{ fset} \Rightarrow ('k \rightharpoonup_f 'v) (\texttt{infix} \iff 110) \\ \texttt{is } \textit{map-diff} \\ \langle \textit{proof} \rangle \end{array}$ 

## 2.2 Comprehension

**definition** make-map :: 'k fset  $\Rightarrow$  'v  $\Rightarrow$  ('k  $\rightharpoonup$  'v) **where** make-map ks  $v \equiv \lambda k$ . if  $k \in$  fset ks then Some v else None **lemma** make-map-transfer[transfer-rule]: (rel-fset (=) ===> A ===> rel-map A) make-map make-map  $\langle proof \rangle$ 

**lemma** dom-make-map: dom (make-map ks v) = fset ks  $\langle proof \rangle$ 

#### lift-definition

 $\begin{array}{l} make-fmap ::: \ 'k \ fset \Rightarrow \ 'v \Rightarrow (\ 'k \rightharpoonup_f \ 'v) \ (\langle [ \ - \ |=> \ - \ ] \rangle) \\ \textbf{is } make-map \ \textbf{parametric} \ make-map-transfer} \\ \langle proof \rangle \end{array}$ 

**lemma** make-fmap-empty[simp]: [ {||} |=> f ] = fmempty  $\langle proof \rangle$ 

## 2.3 Domain

**lemma** fmap-add-commute: **assumes** fmdom  $A |\cap|$  fmdom  $B = \{||\}$  **shows**  $A + +_f B = B + +_f A$   $\langle proof \rangle$  **including** fset.lifting  $\langle proof \rangle$ 

**lemma** make-fmap-union: [  $xs \mid => v$  ] ++<sub>f</sub> [  $ys \mid => v$ ] = [  $xs \mid \cup \mid ys \mid => v$  ]  $\langle proof \rangle$ 

**lemma** fdom-make-fmap: fmdom  $[ks \mid => v] = ks$ 

 $\langle proof \rangle$ 

## 2.4 Lookup

**lift-definition** lookup ::  $('k \rightarrow_f 'v) \Rightarrow 'k \Rightarrow 'v$ is ( $\circ$ ) the  $\langle proof \rangle$ 

**lemma** lookup-make-fmap: **assumes**  $k \in fset \ ks$  **shows** lookup [  $ks \mid => v$  ] k = v $\langle proof \rangle$ 

**lemma** lookup-make-fmap1: lookup [  $\{|k|\} \mid => v$  ] k = v $\langle proof \rangle$ 

**lemma** lookup-union1: **assumes**  $k \in fmdom ys$ **shows** lookup (xs + fys) k = lookup ys k

```
\langle proof \rangle including fset.lifting

\langle proof \rangle

lemma lookup-union2:

assumes k |\notin| fmdom ys

shows lookup (xs ++f ys) k = lookup xs k

\langle proof \rangle including fset.lifting

\langle proof \rangle

lemma lookup-union3:

assumes k |\notin| fmdom xs

shows lookup (xs ++f ys) k = lookup ys k

\langle proof \rangle including fset.lifting

\langle proof \rangle
```

 $\mathbf{end}$ 

## **3** General purpose definitions and lemmas

```
theory JHelper imports
  Main
begin
lemma Collect-iff:
  a \in \{x \cdot P x\} \equiv P a
\langle proof \rangle
lemma diff-diff-eq:
  assumes C \subseteq B
  shows (A - C) - (B - C) = A - B
\langle proof \rangle
lemma nth-in-set:
  \llbracket i < length xs ; xs ! i = x \rrbracket \Longrightarrow x \in set xs \langle proof \rangle
lemma disjI [intro]:
  assumes \neg P \Longrightarrow Q
  shows P \lor Q
\langle proof \rangle
lemma empty-eq-Plus-conv:
  (\{\} = A <+> B) = (A = \{\} \land B = \{\})
\langle proof \rangle
```

## **3.1** Projection functions on triples

**definition**  $fst3 :: 'a \times 'b \times 'c \Rightarrow 'a$ where  $fst3 \equiv fst$  definition snd3 :: 'a × 'b × 'c  $\Rightarrow$  'b where  $snd3 \equiv fst \circ snd$ **definition** thd3 ::  $'a \times 'b \times 'c \Rightarrow 'c$ where  $thd3 \equiv snd \circ snd$ lemma *fst3-simp*:  $\bigwedge a \ b \ c. \ fst \Im \ (a,b,c) = a$  $\langle proof \rangle$ lemma snd3-simp:  $\bigwedge a \ b \ c. \ snd\beta \ (a,b,c) = b$  $\langle proof \rangle$ lemma thd3-simp:  $\bigwedge a \ b \ c. \ thd\beta \ (a,b,c) = c$  $\langle proof \rangle$ lemma tripleI: fixes T Uassumes fst3 T = fst3 Uand snd3 T = snd3 Uand thd3 T = thd3 Ushows T = U $\langle proof \rangle$ 

 $\mathbf{end}$ 

## 4 Proof chains

theory Proofchain imports JHelper begin

An ('a, 'c) chain is a sequence of alternating 'a's and 'c's, beginning and ending with an 'a. Usually 'a represents some sort of assertion, and 'c represents some sort of command. Proof chains are useful for stating the SMain proof rule, and for conducting the proof of soundness.

For example,  $\{a \mid e \text{ roof } \cdot \{chain \mid e \text{ might } \cdot \{chain \mid e \text{ rook } e \text{ rook }$ 

## 4.1 Projections

Project first assertion.

fun pre :: ('a,'c) chain  $\Rightarrow$  'a where pre { P } = P | pre ({ P }  $\cdots$ -) = P

Project final assertion.

fun

 $post :: ('a,'c) chain \Rightarrow 'a$ where  $post \{ P \} = P$   $| post (\{ - \} \cdot - \cdot \Pi) = post \Pi$ 

Project list of commands.

fun comlist :: ('a,'c) chain  $\Rightarrow$  'c list where comlist  $\{ \ - \ \} = []$ | comlist  $\{ \ - \ \} \cdot x \cdot \Pi ) = x \ \# \ (comlist \ \Pi)$ 

## 4.2 Chain length

fun chainlen :: ('a,'c) chain  $\Rightarrow$  nat where chainlen  $\{\!\!\{ \ - \ \!\} = 0$ | chainlen ( $\{\!\!\{ \ - \ \!\} \ - \cdot \ \Pi$ ) = 1 + (chainlen  $\Pi$ )

**lemma** len-comlist-chainlen: length (comlist  $\Pi$ ) = chainlen  $\Pi$  $\langle proof \rangle$ 

### 4.3 Extracting triples from chains

*nthtriple*  $\Pi$  *n* extracts the *n*th triple of  $\Pi$ , counting from 0. The function is well-defined when  $n < chainlen \Pi$ .

**fun**  *nthtriple* :: ('a,'c) *chain*  $\Rightarrow$  *nat*  $\Rightarrow$  ('a \* 'c \* 'a) **where**  *nthtriple* ( $\{ P \} \cdot x \cdot \Pi \} \ 0 = (P, x, pre \Pi)$ | *nthtriple* ( $\{ P \} \cdot x \cdot \Pi \} \ (Suc \ n) = nthtriple \Pi \ n$ 

The list of middle components of  $\Pi$ 's triples is the list of  $\Pi$ 's commands.

lemma snds-of-triples-form-comlist: fixes  $\Pi$  *i* shows *i* < chainlen  $\Pi \implies$  snd3 (nthtriple  $\Pi$  *i*) = (comlist  $\Pi$ )!*i*  $\langle proof \rangle$ 

### 4.4 Evaluating a predicate on each triple of a chain

chain-all  $\varphi$  holds of  $\Pi$  iff  $\varphi$  holds for each of  $\Pi$ 's individual triples.

**fun**  *chain-all* ::  $(('a \times 'c \times 'a) \Rightarrow bool) \Rightarrow ('a, 'c)$  *chain*  $\Rightarrow$  *bool*  **where**  *chain-all*  $\varphi \{ \sigma \} = True$ | *chain-all*  $\varphi (\{ \sigma \} \cdot x \cdot \Pi) = (\varphi (\sigma, x, pre \Pi) \land chain-all \varphi \Pi)$ 

lemma chain-all-mono [mono]:

 $\begin{array}{c} x \leq y \Longrightarrow \ chain-all \ x \leq \ chain-all \ y \\ \langle proof \rangle \end{array}$ 

**lemma** chain-all-nthtriple: (chain-all  $\varphi \Pi$ ) = ( $\forall i <$  chainlen  $\Pi$ .  $\varphi$  (nthtriple  $\Pi$  i)) (proof)

## 4.5 A map function for proof chains

chainmap f g  $\Pi$  maps f over each of  $\Pi$  's assertions, and g over each of  $\Pi$  's commands.

fun

chainmap ::  $('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'd) \Rightarrow ('a, 'c) \ chain \Rightarrow ('b, 'd) \ chain$ where chainmap  $fg \{ P \} = \{ fP \}$ | chainmap  $fg (\{ P \} : x \cdot \Pi) = \{ fP \} \cdot g x \cdot chainmap fg \Pi$ 

Mapping over a chain preserves its length.

```
lemma chainmap-preserves-length:
chainlen (chainmap f g \Pi) = chainlen \Pi \langle proof \rangle
```

**lemma** pre-chainmap: pre (chainmap  $f g \Pi$ ) = f (pre  $\Pi$ )  $\langle proof \rangle$ 

**lemma** post-chainmap: post (chainmap  $f g \Pi$ ) = f (post  $\Pi$ )  $\langle proof \rangle$ 

```
lemma nthtriple-chainmap:

assumes i < chainlen \Pi

shows nthtriple (chainmap f g \Pi) i

= (\lambda t. (f (fst3 t), g (snd3 t), f (thd3 t))) (nthtriple \Pi i)

\langle proof \rangle
```

## 4.6 Extending a chain on its right-hand side

fun

```
cSnoc :: ('a, 'c) \ chain \Rightarrow 'c \Rightarrow 'a \Rightarrow ('a, 'c) \ chain
where
  cSnoc \{ \sigma \} y \tau = \{ \sigma \} \cdot y \cdot \{ \tau \}
| cSnoc (\{ \sigma \} \cdot x \cdot \Pi) y \tau = \{ \sigma \} \cdot x \cdot (cSnoc \Pi y \tau)
lemma len-snoc:
  fixes \Pi x P
  shows chainlen (cSnoc \Pi x P) = 1 + (chainlen \Pi)
\langle proof \rangle
lemma pre-snoc:
  pre (cSnoc \Pi x P) = pre \Pi
\langle proof \rangle
lemma post-snoc:
  post (cSnoc \Pi x P) = P
\langle proof \rangle
lemma comlist-snoc:
  comlist \ (cSnoc \ \Pi \ x \ p) = comlist \ \Pi \ @ \ [x]
\langle proof \rangle
```

## $\mathbf{end}$

## 5 Assertions, commands, and separation logic proof rules

theory Ribbons-Basic imports Main begin

We define a command language, assertions, and the rules of separation logic, plus some derived rules that are used by our tool. This is the only theory file that is loaded by the tool. We keep it as small as possible.

## 5.1 Assertions

The language of assertions includes (at least) an emp constant, a staroperator, and existentially-quantified logical variables.

typedecl assertion

**axiomatization** *Emp* :: *assertion* 

### axiomatization

```
Star :: assertion \Rightarrow assertion \Rightarrow assertion (infixr (*) 55)
where
star-comm: p \star q = q \star p and
star-assoc: (p \star q) \star r = p \star (q \star r) and
star-emp: p \star Emp = p and
emp-star: Emp \star p = p
```

```
lemma star-rot:

q \star p \star r = p \star q \star r

\langle proof \rangle
```

```
axiomatization
Exists :: string \Rightarrow assertion \Rightarrow assertion
```

Extracting the set of program variables mentioned in an assertion.

#### axiomatization

 $rd\text{-}ass :: assertion \Rightarrow string set$ where  $rd\text{-}emp: rd\text{-}ass \ Emp = \{\}$ and  $rd\text{-}star: rd\text{-}ass \ (p \star q) = rd\text{-}ass \ p \cup rd\text{-}ass \ q$ and  $rd\text{-}exists: rd\text{-}ass \ (Exists \ x \ p) = rd\text{-}ass \ p$ 

## 5.2 Commands

The language of commands comprises (at least) non-deterministic choice, non-deterministic looping, skip and sequencing.

## typedecl command

```
axiomatization
Choose :: command \Rightarrow command \Rightarrow command
```

axiomatization Loop :: command  $\Rightarrow$  command

axiomatization Skip :: command

#### axiomatization

Seq ::: command  $\Rightarrow$  command  $\Rightarrow$  command (infixr  $\langle ;; \rangle$  55) where seq-assoc: c1 ;; (c2 ;; c3) = (c1 ;; c2) ;; c3 and seq-skip: c ;; Skip = c and skip-seq: Skip ;; c = c

Extracting the set of program variables read by a command.

#### axiomatization

 $\begin{array}{l} \textit{rd-com}:: \textit{command} \Rightarrow \textit{string set} \\ \textbf{where } \textit{rd-com-choose: } \textit{rd-com} (\textit{Choose } c1 \ c2) = \textit{rd-com } c1 \cup \textit{rd-com } c2 \\ \textbf{and } \textit{rd-com-loop: } \textit{rd-com} (\textit{Loop } c) = \textit{rd-com } c \\ \textbf{and } \textit{rd-com-skip: } \textit{rd-com} (\textit{Skip}) = \{\} \end{array}$ 

and rd-com-seq: rd-com (c1 ;; c2) = rd-com c1  $\cup$  rd-com c2

Extracting the set of program variables written by a command.

axiomatization

 $wr\text{-}com :: command \Rightarrow string set$ where  $wr\text{-}com\text{-}choose: wr\text{-}com (Choose c1 c2) = wr\text{-}com c1 \cup wr\text{-}com c2$ and wr-com-loop: wr-com (Loop c) = wr-com cand  $wr\text{-}com\text{-}skip: wr\text{-}com (Skip) = \{\}$ and  $wr\text{-}com\text{-}seq: wr\text{-}com (c1 ;; c2) = wr\text{-}com c1 \cup wr\text{-}com c2$ 

## 5.3 Separation logic proof rules

Note that the frame rule has a side-condition concerning program variables. When proving the soundness of our graphical formalisation of ribbon proofs, we shall omit this side-condition.

#### inductive

 $\begin{array}{l} prov-triple :: assertion \times command \times assertion \Rightarrow bool\\ \textbf{where}\\ exists: prov-triple (p, c, q) \Longrightarrow prov-triple (Exists x p, c, Exists x q)\\ | choose: [ prov-triple (p, c1, q); prov-triple (p, c2, q) ]]\\ \Longrightarrow prov-triple (p, Choose c1 c2, q)\\ | loop: prov-triple (p, c, p) \Longrightarrow prov-triple (p, Loop c, p)\\ | frame: [ prov-triple (p, c, q); wr-com(c) \cap rd-ass(r) = \{ \} ]]\\ \Longrightarrow prov-triple (p \times r, c, q \times r)\\ | skip: prov-triple (p, c1, q); prov-triple (q, c2, r) ]]\\ \Longrightarrow prov-triple (p, c1; ; c2, r)\end{array}$ 

Here are some derived proof rules, which are used in our ribbon-checking tool.

```
lemma choice-lemma:
  assumes prov-triple (p1, c1, q1) and prov-triple (p2, c2, q2)
  and p = p1 and p1 = p2 and q = q1 and q1 = q2
  shows prov-triple (p, Choose c1 c2, q)
  ⟨proof⟩
lemma loop-lemma:
```

```
assumes prov-triple (p1, c, q1) and p = p1 and p1 = q1 and q1 = q shows prov-triple (p, Loop c, q) \langle proof \rangle
```

```
lemma seq-lemma:

assumes prov-triple (p1, c1, q1) and prov-triple (p2, c2, q2)

and q1 = p2

shows prov-triple (p1, c1 ;; c2, q2)

\langle proof \rangle
```

 $\mathbf{end}$ 

## 6 Ribbon proof interfaces

theory Ribbons-Interfaces imports Ribbons-Basic Proofchain HOL-Library.FSet begin

Interfaces are the top and bottom boundaries through which diagrams can be connected into a surrounding context. For instance, when composing two diagrams vertically, the bottom interface of the upper diagram must match the top interface of the lower diagram.

We define a datatype of concrete interfaces. We then quotient by the associativity, commutativity and unity properties of our horizontal-composition operator.

## 6.1 Syntax of interfaces

```
\begin{array}{l} \textbf{datatype conc-interface} = \\ Ribbon-conc assertion \\ \mid HComp-int-conc conc-interface conc-interface (infix < \otimes_c > 50) \\ \mid Emp-int-conc (< \varepsilon_c >) \\ \mid Exists-int-conc string conc-interface \end{array}
```

We define an equivalence on interfaces. The first three rules make this an equivalence relation. The next three make it a congruence. The next two identify interfaces up to associativity and commutativity of  $(\otimes_c)$  The final two make  $\varepsilon_c$  the left and right unit of  $(\otimes_c)$ .

#### inductive

 $\begin{array}{l} equiv-int :: \ conc-interface \Rightarrow \ conc-interface \Rightarrow \ bool \ (infix \ (\simeq) \ 45) \end{array}$ where  $\begin{array}{l} refl: \ P \simeq P \\ | \ sym: \ P \simeq Q \Longrightarrow Q \simeq P \\ | \ trans: \ \llbracket P \simeq Q; \ Q \simeq R \rrbracket \Longrightarrow P \simeq R \\ | \ cong-hcomp1: \ P \simeq Q \Longrightarrow P' \otimes_c \ P \simeq P' \otimes_c \ Q \\ | \ cong-hcomp2: \ P \simeq Q \Longrightarrow P \otimes_c \ P' \simeq Q \otimes_c \ P' \\ | \ cong-exists: \ P \simeq Q \Longrightarrow Exists-int-conc \ x \ P \simeq Exists-int-conc \ x \ Q \\ | \ hcomp-conc-assoc: \ P \otimes_c \ Q \simeq Q \otimes_c \ P \\ | \ hcomp-conc-unit1: \ \varepsilon_c \ \otimes_c \ P \simeq P \\ | \ hcomp-conc-unit2: \ P \otimes_c \ \varepsilon_c \simeq P \end{array}$ 

**lemma** equiv-int-cong-hcomp:

 $\llbracket P \simeq Q ; P' \simeq Q' \rrbracket \Longrightarrow P \otimes_c P' \simeq Q \otimes_c Q'$  $\langle proof \rangle$ 

**quotient-type** interface = conc-interface / equiv-int  $\langle proof \rangle$ 

#### lift-definition

 $\begin{array}{l} \textit{Ribbon}:: \textit{assertion} \Rightarrow \textit{interface} \\ \textbf{is } \textit{Ribbon-conc} \ \langle \textit{proof} \rangle \end{array}$ 

## **lift-definition** *Emp-int* :: *interface* ( $\langle \varepsilon \rangle$ ) **is** $\varepsilon_c \langle proof \rangle$

### lift-definition

Exists-int :: string  $\Rightarrow$  interface  $\Rightarrow$  interface is Exists-int-conc  $\langle proof \rangle$ 

#### lift-definition

*HComp-int* :: *interface*  $\Rightarrow$  *interface* (infix  $\langle \otimes \rangle$  50) is *HComp-int-conc*  $\langle proof \rangle$ 

**lemma** hcomp-comm:  $(P \otimes Q) = (Q \otimes P)$  $\langle proof \rangle$ 

**lemma** hcomp-assoc:  $(P \otimes (Q \otimes R)) = ((P \otimes Q) \otimes R)$  $\langle proof \rangle$ 

```
lemma emp-hcomp:

\varepsilon \otimes P = P

\langle proof \rangle
```

**lemma** hcomp-emp:  $P \otimes \varepsilon = P$  $\langle proof \rangle$ 

**lemma** comp-fun-commute-hcomp: comp-fun-commute ( $\otimes$ )  $\langle proof \rangle$ 

## 6.2 An iterated horizontal-composition operator

**definition** *iter-hcomp* :: ('a *fset*)  $\Rightarrow$  ('a  $\Rightarrow$  *interface*)  $\Rightarrow$  *interface*  **where**  *iter-hcomp*  $X f \equiv ffold$  (( $\otimes$ )  $\circ$  f)  $\varepsilon$  X**syntax** *iter-hcomp-syntax* ::

 translations  $\bigotimes x \in M$ . e = CONST iter-hcomp  $M(\lambda x. e)$ 

term  $\bigotimes P \in |Ps. fP$  — this is eta-expanded, so prints in expanded form term  $\bigotimes P \in |Ps. f$  — this isn't eta-expanded, so prints as written

**lemma** iter-hcomp-cong: **assumes**  $\forall v \in fset vs. \varphi v = \varphi' v$  **shows**  $(\bigotimes v|\in|vs. \varphi v) = (\bigotimes v|\in|vs. \varphi' v)$  $\langle proof \rangle$ 

**lemma** *iter-hcomp-empty*: **shows**  $(\bigotimes x |\in| \{||\}, p x) = \varepsilon$  $\langle proof \rangle$ 

**lemma** iter-hcomp-insert: **assumes**  $v |\notin| ws$  **shows**  $(\bigotimes x |\in| finsert v ws. p x) = (p v \otimes (\bigotimes x |\in| ws. p x))$  $\langle proof \rangle$ 

**lemma** iter-hcomp-union: **assumes**  $vs \mid \cap \mid ws = \{\mid \mid \}$  **shows**  $(\bigotimes x \mid \in \mid vs \mid \cup \mid ws. p x) = ((\bigotimes x \mid \in \mid vs. p x) \otimes (\bigotimes x \mid \in \mid ws. p x))$  $\langle proof \rangle$ 

## 6.3 Semantics of interfaces

The semantics of an interface is an assertion.

```
fun

conc-asn :: conc-interface \Rightarrow assertion

where

conc-asn (Ribbon-conc p) = p

| conc-asn (P \otimes_c Q) = (conc-asn P) \star (conc-asn Q)

| conc-asn (\varepsilon_c) = Emp

| conc-asn (Exists-int-conc x P) = Exists x (conc-asn P)
```

#### lift-definition

 $asn :: interface \Rightarrow assertion$ is conc-asn $\langle proof \rangle$ 

**lemma** asn-simps [simp]: asn (Ribbon p) = pasn ( $P \otimes Q$ ) = (asn P)  $\star$  (asn Q) asn  $\varepsilon$  = Emp asn (Exists-int x P) = Exists x (asn P)  $\langle proof \rangle$ 

### 6.4 Program variables mentioned in an interface.

#### fun

 $\begin{array}{l} \textit{rd-conc-int} :: \textit{conc-interface} \Rightarrow \textit{string set} \\ \textbf{where} \\ \textit{rd-conc-int} (\textit{Ribbon-conc } p) = \textit{rd-ass } p \\ \mid \textit{rd-conc-int} (P \otimes_c Q) = \textit{rd-conc-int } P \cup \textit{rd-conc-int } Q \\ \mid \textit{rd-conc-int} (\varepsilon_c) = \{\} \\ \mid \textit{rd-conc-int} (\textit{Exists-int-conc } x P) = \textit{rd-conc-int } P \end{array}$ 

#### lift-definition

 $\begin{array}{l} \textit{rd-int} :: \textit{interface} \Rightarrow \textit{string set} \\ \textbf{is } \textit{rd-conc-int} \\ \langle \textit{proof} \rangle \end{array}$ 

The program variables read by an interface are the same as those read by its corresponding assertion.

**lemma** rd-int-is-rd-ass: rd-ass (asn P) = rd-int P $\langle proof \rangle$ 

Here is an iterated version of the Hoare logic sequencing rule.

```
lemma seq-fold:
```

 $\begin{array}{l} & \left[ I \ length \ cs \ = \ chainlen \ \Pi \ ; \ p1 \ = \ asn \ (pre \ \Pi) \ ; \ p2 \ = \ asn \ (post \ \Pi) \ ; \\ & \left( i \ < \ chainlen \ \Pi \ \Longrightarrow \ prov-triple \\ (asn \ (fst3 \ (nthtriple \ \Pi \ i)), \ cs \ ! \ i, \ asn \ (thd3 \ (nthtriple \ \Pi \ i))) \ \right] \\ & \implies prov-triple \ (p1, \ foldr \ (;;) \ cs \ Skip, \ p2) \\ & \left( proof \right) \end{array}$ 

end

## 7 Syntax and proof rules for stratified diagrams

theory Ribbons-Stratified imports Ribbons-Interfaces Proofchain begin

We define the syntax of stratified diagrams. We give proof rules for stratified diagrams, and prove them sound with respect to the ordinary rules of separation logic.

## 7.1 Syntax of stratified diagrams

datatype sdiagram = SDiagram (cell × interface) list
and cell =
Filler interface
| Basic interface command interface

Exists-sdia string sdiagram Choose-sdia interface sdiagram sdiagram interface Loop-sdia interface sdiagram interface

datatype-compat sdiagram cell

type-synonym  $row = cell \times interface$ 

Extracting the command from a stratified diagram.

#### fun

 $\begin{array}{l} com-sdia :: sdiagram \Rightarrow command \ \mathbf{and} \\ com-cell :: cell \Rightarrow command \\ \mathbf{where} \\ com-sdia \ (SDiagram \ \varrho s) = foldr \ (;;) \ (map \ (com-cell \ \circ \ fst) \ \varrho s) \ Skip \\ | \ com-cell \ (Filler \ P) = Skip \\ | \ com-cell \ (Basic \ P \ c \ Q) = c \\ | \ com-cell \ (Exists-sdia \ x \ D) = com-sdia \ D \\ | \ com-cell \ (Choose-sdia \ P \ D \ E \ Q) = Choose \ (com-sdia \ D) \ (com-sdia \ E) \\ | \ com-cell \ (Loop-sdia \ P \ D \ Q) = Loop \ (com-sdia \ D) \end{array}$ 

Extracting the program variables written by a stratified diagram.

#### fun

 $\begin{array}{l} wr\text{-}sdia :: sdiagram \Rightarrow string set \ \mathbf{and} \\ wr\text{-}cell :: cell \Rightarrow string set \\ \mathbf{where} \\ wr\text{-}sdia \ (SDiagram \ \varrho s) = (\bigcup r \in set \ \varrho s. \ wr\text{-}cell \ (fst \ r)) \\ | \ wr\text{-}cell \ (Filler \ P) = \{\} \\ | \ wr\text{-}cell \ (Basic \ P \ c \ Q) = wr\text{-}com \ c \\ | \ wr\text{-}cell \ (Exists\text{-}sdia \ x \ D) = wr\text{-}sdia \ D \\ | \ wr\text{-}cell \ (Choose\text{-}sdia \ P \ D \ E \ Q) = wr\text{-}sdia \ D \cup wr\text{-}sdia \ E \\ | \ wr\text{-}cell \ (Loop\text{-}sdia \ P \ D \ Q) = wr\text{-}sdia \ D \end{array}$ 

The program variables written by a stratified diagram correspond to those written by the commands therein.

#### **lemma** *wr-sdia-is-wr-com*:

fixes  $\rho s :: row$  list and  $\rho :: row$ shows (wr-sdia D = wr-com (com-sdia D)) and (wr-cell  $\gamma = wr-com (com-cell \gamma)$ ) and ( $\bigcup \rho \in set \rho s. wr-cell (fst \rho)$ )  $= wr-com (foldr (;;) (map (<math>\lambda(\gamma, F)$ ). com-cell  $\gamma) \rho s$ ) Skip) and wr-cell (fst  $\rho$ ) = wr-com (com-cell (fst  $\rho)$ )  $\langle proof \rangle$ 

## 7.2 Proof rules for stratified diagrams

#### inductive

prov-sdia :: [sdiagram, interface, interface]  $\Rightarrow$  bool and prov-row :: [row, interface, interface]  $\Rightarrow$  bool and  $\begin{array}{l} prov-cell :: [cell, interface, interface] \Rightarrow bool\\ \textbf{where}\\ SRibbon: prov-cell (Filler P) P P\\ | SBasic: prov-triple (asn P, c, asn Q) \Longrightarrow prov-cell (Basic P c Q) P Q\\ | SExists: prov-sdia D P Q\\ \implies prov-cell (Exists-sdia x D) (Exists-int x P) (Exists-int x Q)\\ | SChoice: [ prov-sdia D P Q ; prov-sdia E P Q ]]\\ \implies prov-cell (Choose-sdia P D E Q) P Q\\ | SLoop: prov-sdia D P P \Longrightarrow prov-cell (Loop-sdia P D P) P P\\ | SRow: [ prov-cell \gamma P Q ; wr-cell \gamma \cap rd-int F = \{ \} ]]\\ \implies prov-row (\gamma, F) (P \otimes F) (Q \otimes F)\\ | SMain: [ chain-all (\lambda(P, \varrho, Q). prov-row \varrho P Q) \Pi ; 0 < chainlen \Pi ]]\\ \implies prov-sdia (SDiagram (comlist \Pi)) (pre \Pi) (post \Pi) \end{array}$ 

## 7.3 Soundness

**lemma** soundness-strat-helper:

 $\begin{array}{l}(prov\text{-}sdia\ D\ P\ Q \longrightarrow prov\text{-}triple\ (asn\ P,\ com\text{-}sdia\ D,\ asn\ Q)) \land \\(prov\text{-}row\ \varrho\ P\ Q \longrightarrow prov\text{-}triple\ (asn\ P,\ com\text{-}cell\ (fst\ \varrho),\ asn\ Q)) \land \\(prov\text{-}cell\ \gamma\ P\ Q \longrightarrow prov\text{-}triple\ (asn\ P,\ com\text{-}cell\ \gamma,\ asn\ Q)) \\\langle proof \rangle\end{array}$ 

```
corollary soundness-strat:

assumes prov-sdia D P Q

shows prov-triple (asn P, com-sdia D, asn Q)

\langle proof \rangle
```

end

## 8 Syntax and proof rules for graphical diagrams

theory Ribbons-Graphical imports Ribbons-Interfaces begin

We introduce a graphical syntax for diagrams, describe how to extract commands and interfaces, and give proof rules for graphical diagrams.

## 8.1 Syntax of graphical diagrams

Fix a type for node identifiers

typedecl node

Note that this datatype is necessarily an overapproximation of syntacticallywellformed diagrams, for the reason that we can't impose the well-formedness constraints while maintaining admissibility of the datatype declarations. So, we shall impose well-formedness in a separate definition.  $\begin{array}{l} \textbf{datatype} \ assertion-gadget = \\ Rib \ assertion \\ | \ Exists-dia \ string \ diagram \\ \textbf{and} \ command-gadget = \\ Com \ command \\ | \ Choose-dia \ diagram \ diagram \\ | \ Loop-dia \ diagram \\ \textbf{and} \ diagram = \ Graph \\ node \ fset \\ node \ fset \\ (node \ fset \times \ command-gadget \times \ node \ fset) \ list \\ \textbf{type-synonym} \ labelling = \ node \ sasertion-gadget \\ \textbf{type-synonym} \ edge = \ node \ fset \times \ command-gadget \times \ node \ fset \\ \textbf{type-synonym} \ edge = \ node \ fset \times \ command-gadget \times \ node \ fset \\ \end{array}$ 

Projecting components from a graph

**fun** vertices :: diagram  $\Rightarrow$  node fset (<- $^V$ ) [1000] 1000) where (Graph  $V \land E$ ) $^V = V$ 

#### term this $(is \hat{V}) = (a \text{ test}) \hat{V}$

**fun** labelling :: diagram  $\Rightarrow$  labelling ( $\langle -\Lambda \rangle$  [1000] 1000) where (Graph V  $\Lambda$  E)  $\Lambda = \Lambda$ 

**fun** edges :: diagram  $\Rightarrow$  edge list (<- $^{E}$  [1000] 1000) where (Graph V  $\Lambda$  E)  $^{E}$  = E

## 8.2 Well formedness of graphical diagrams

```
definition acyclicity :: edge list \Rightarrow bool
where
  acyclicity E \equiv acyclic (\bigcup e \in set E. fset (fst3 e) \times fset (thd3 e))
definition linearity :: edge list \Rightarrow bool
where
  linearity E \equiv
    distinct E \land (\forall e \in set \ E. \ \forall f \in set \ E. \ e \neq f \longrightarrow
    fst3 \ e \ |\cap| \ fst3 \ f = \{||\} \land
    thd3 \ e \ |\cap| \ thd3 \ f = \{||\})
lemma linearityD:
  assumes linearity E
  shows distinct E
  and \bigwedge e f. \llbracket e \in set E ; f \in set E ; e \neq f \rrbracket \Longrightarrow
    fst3 \ e \mid \cap \mid fst3 \ f = \{\mid\mid\} \land
    thd3 \ e \ |\cap| \ thd3 \ f = \{||\}
\langle proof \rangle
lemma linearityD2:
```

 $linearity \ E \Longrightarrow (\forall \ e \ f. \ e \in set \ E \land f \in set \ E \land e \neq f \longrightarrow$ 

 $\begin{array}{l} fst3 \ e \ |\cap| \ fst3 \ f = \{||\} \land \\ thd3 \ e \ |\cap| \ thd3 \ f = \{||\} ) \\ \langle proof \rangle \end{array}$ 

#### inductive

 $\begin{array}{l} \textit{wf-ass}:: \textit{assertion-gadget} \Rightarrow \textit{bool} ~ \textbf{and} \\ \textit{wf-com}:: \textit{command-gadget} \Rightarrow \textit{bool} ~ \textbf{and} \\ \textit{wf-dia}:: \textit{diagram} \Rightarrow \textit{bool} \\ \textbf{where} \\ \textit{wf-rib:} \textit{wf-ass} (\textit{Rib } p) \\ | \textit{wf-exists:} \textit{wf-dia} ~ G \Longrightarrow \textit{wf-ass} (\textit{Exists-dia} ~ x ~ G) \\ | \textit{wf-com:} \textit{wf-com} (\textit{Com} ~ c) \\ | \textit{wf-choice:} [\!\![ \textit{wf-dia} ~ G ; \textit{wf-dia} ~ H ]\!\!] \Longrightarrow \textit{wf-com} (\textit{Choose-dia} ~ G ~ H) \\ | \textit{wf-choice:} [\!\![ \textit{wf-dia} ~ G ; \textit{wf-dia} ~ H ]\!\!] \Longrightarrow \textit{wf-com} (\textit{Choose-dia} ~ G ~ H) \\ | \textit{wf-loop:} \textit{wf-dia} ~ G \Longrightarrow \textit{wf-com} (\textit{Loop-dia} ~ G) \\ | \textit{wf-dia:} [\!\![ ~\forall e \in set ~ E. ~ wf-com} (\textit{snd3} ~ e) ; ~\forall v \in fset ~ V. ~ wf-ass} (\Lambda ~ v) ; \\ \textit{acyclicity} ~ E ; \textit{linearity} ~ E ; ~\forall e \in set ~ E. ~ fst3 ~ e ~ |\cup| ~ thd3 ~ e ~ |\subseteq| ~ V ~ ]\!\!] \Longrightarrow \\ \textit{wf-dia} (\textit{Graph} ~ V ~ \Lambda ~ E) \\ \end{array}$ 

inductive-cases wf-dia-inv': wf-dia (Graph V  $\Lambda$  E)

## 8.3 Initial and terminal nodes

```
definition

initials :: diagram \Rightarrow node fset

where

initials G = ffilter (\lambda v. (\forall e \in set G^{E}. v | \notin | thd3 e)) G^{V}

definition

terminals :: diagram \Rightarrow node fset

where

terminals G = ffilter (\lambda v. (\forall e \in set G^{E}. v | \notin | fst3 e)) G^{V}

lemma no-edges-imp-all-nodes-initial:

initials (Graph V \Lambda []) = V

(proof)

lemma no-edges-imp-all-nodes-terminal:

terminals (Graph V \Lambda []) = V

(proof)
```

**lemma** initials-in-vertices: initials  $G \mid \subseteq \mid G^V$  $\langle proof \rangle$ 

**lemma** terminals-in-vertices: terminals  $G \mid \subseteq \mid G^V$  $\langle proof \rangle$ 

### 8.4 Top and bottom interfaces

#### primrec

top-ass :: assertion-gadget  $\Rightarrow$  interface and top-dia :: diagram  $\Rightarrow$  interface where top-dia (Graph V  $\Lambda$  E) = ( $\bigotimes v \in |i|$  initials (Graph V  $\Lambda$  E). top-ass ( $\Lambda v$ )) | top-ass (Rib p) = Ribbon p | top-ass (Exists-dia x G) = Exists-int x (top-dia G)

### primrec

bot-ass :: assertion-gadget  $\Rightarrow$  interface and bot-dia :: diagram  $\Rightarrow$  interface where bot-dia (Graph V  $\Lambda$  E) = ( $\bigotimes v \in |$  terminals (Graph V  $\Lambda$  E). bot-ass ( $\Lambda$  v)) | bot-ass (Rib p) = Ribbon p | bot-ass (Exists-dia x G) = Exists-int x (bot-dia G)

## 8.5 Proof rules for graphical diagrams

#### inductive

 $\begin{array}{l} prov-dia:: [diagram, interface, interface] \Rightarrow bool \ \mathbf{and} \\ prov-com:: [command-gadget, interface, interface] \Rightarrow bool \ \mathbf{and} \\ prov-ass:: assertion-gadget \Rightarrow bool \\ \mathbf{where} \\ Skip: prov-ass (Rib p) \\ | Exists: prov-dia \ G \ - \ \Longrightarrow \ prov-ass (Exists-dia \ x \ G) \\ | Basic: prov-triple (asn \ P, \ c, \ asn \ Q) \ \Longrightarrow \ prov-com \ (Com \ c) \ P \ Q \\ | Choice: [ [ prov-dia \ G \ P \ Q \ ; prov-dia \ H \ P \ Q \ ]] \\ \implies prov-com \ (Choose-dia \ G \ H) \ P \ Q \\ | Loop: prov-dia \ G \ P \ P \ \Longrightarrow \ prov-com \ (Loop-dia \ G) \ P \ P \\ | Main: [ wf-dia \ G \ ; \ \wedge v \ \in fset \ G^{\frown}V \ \Longrightarrow \ prov-ass \ (G^{\frown}\Lambda \ v); \\ \land e. \ e \ \in set \ G^{\frown}E \ \Longrightarrow \ prov-com \ (snd3 \ e) \\ (\bigotimes v \ |\in| \ fst3 \ e. \ bot-ass \ (G^{\frown}\Lambda \ v))] \\ \implies prov-dia \ G \ (top-dia \ G) \ (bot-dia \ G) \end{array}$ 

inductive-cases main-inv: prov-dia (Graph V  $\Lambda$  E) P Q inductive-cases loop-inv: prov-com (Loop-dia G) P Q inductive-cases choice-inv: prov-com (Choose-dia G H) P Q inductive-cases basic-inv: prov-com (Com c) P Q inductive-cases exists-inv: prov-ass (Exists-dia x G) inductive-cases *skip-inv*: *prov-ass* (*Rib p*)

#### 8.6 Extracting commands from diagrams

type-synonym lin = (node + edge) list

A linear extension (lin) of a diagram is a list of its nodes and edges which respects the order of those nodes and edges. That is, if an edge e goes from node v to node w, then v and e and w must have strictly increasing positions in the list.

 $\begin{array}{l} \textbf{definition } lins :: diagram \Rightarrow lin \; set \\ \textbf{where} \\ lins \; G \equiv \{\pi :: lin. \\ (distinct \; \pi) \\ \land \; (set \; \pi = (fset \; G^{\frown}V) <+> \; (set \; G^{\frown}E)) \\ \land \; (\forall \; i \; j \; v \; e. \; i < length \; \pi \land j < length \; \pi \land \pi! i = Inl \; v \land \pi! j = Inr \; e \\ \land \; v \; |\in| \; fst3 \; e \longrightarrow i < j) \\ \land \; (\forall \; j \; k \; w \; e. \; j < length \; \pi \land k < length \; \pi \land \pi! j = Inr \; e \land \pi! k = Inl \; w \\ \land \; w \; |\in| \; thd3 \; e \longrightarrow j < k) \; \} \end{array}$ 

```
lemma linsD:
```

```
assumes \pi \in lins \ G

shows (distinct \ \pi)

and (set \ \pi = (fset \ G^V) <+> (set \ G^E))

and (\forall i \ j \ v \ e. \ i < length \ \pi \land j < length \ \pi

\land \pi! i = Inl \ v \land \pi! j = Inr \ e \land v \ |\in| \ fst3 \ e \longrightarrow i < j)

and (\forall j \ k \ w \ e. \ j < length \ \pi \land k < length \ \pi

\land \pi! j = Inr \ e \land \pi! k = Inl \ w \land w \ |\in| \ thd3 \ e \longrightarrow j < k)

\langle proof \rangle
```

The following lemma enables the inductive definition below to be proved monotonic. It does this by showing how one of the premises of the *coms-main* rule can be rewritten in a form that is more verbose but easier to prove monotonic.

```
lemma coms-mono-helper:
```

 $\begin{array}{l} (\forall i < length \ \pi. \ case-sum \ (coms-ass \ \circ \ \Lambda) \ (coms-com \ \circ \ snd3) \ (\pi!i) \ (cs!i)) \\ = \\ ((\forall i. \ i < length \ \pi \ \land \ (\exists v. \ (\pi!i) = Inl \ v) \longrightarrow \\ coms-ass \ (\Lambda \ (projl \ (\pi!i))) \ (cs!i)) \ \land \\ (\forall i. \ i < length \ \pi \ \land \ (\exists e. \ (\pi!i) = Inr \ e) \longrightarrow \\ coms-com \ (snd3 \ (projr \ (\pi!i))) \ (cs!i))) \\ \langle proof \rangle \end{array}$ 

The coms-dia function extracts a set of commands from a diagram. Each command in coms-dia G is obtained by extracting a command from each of G's nodes and edges (using coms-ass or coms-com respectively), then picking a linear extension  $\pi$  of these nodes and edges (using lins), and composing the extracted commands in accordance with  $\pi$ .

#### inductive

 $\begin{array}{l} coms-dia :: [diagram, command] \Rightarrow bool \ \mathbf{and}\\ coms-ass :: [assertion-gadget, command] \Rightarrow bool \ \mathbf{and}\\ coms-com :: [command-gadget, command] \Rightarrow bool \ \mathbf{where}\\ coms-com :: [command-gadget, command] \Rightarrow bool \ \mathbf{where}\\ coms-skip: coms-ass (Rib p) Skip\\ | \ coms-exists: coms-dia \ G \ c \implies coms-ass (Exists-dia \ x \ G) \ c\\ | \ coms-basic: coms-com \ (Com \ c) \ c\\ | \ coms-choice: [[ \ coms-dia \ G \ c; \ coms-dia \ H \ d \ ]] \implies\\ coms-com \ (Choose-dia \ G \ H) \ (Choose \ c \ d)\\ | \ coms-loop: \ coms-dia \ G \ c \implies coms-com \ (Loop-dia \ G) \ (Loop \ c)\\ | \ coms-main: [[ \ \pi \in lins \ (Graph \ V \ \Lambda \ E); \ length \ cs = length \ \pi;\\ \forall \ i < length \ \pi. \ case-sum \ (coms-ass \ \circ \ \Lambda) \ (coms-com \ \circ \ snd3) \ (\pi!i) \ (cs!i) \ ]]\\ \implies coms-dia \ (Graph \ V \ \Lambda \ E) \ (foldr \ (;;) \ cs \ Skip)\\ \mathbf{monos}\\ coms-mono-helper\end{array}$ 

```
inductive-cases coms-skip-inv: coms-ass (Rib p) c
inductive-cases coms-exists-inv: coms-ass (Exists-dia x G) c
inductive-cases coms-basic-inv: coms-com (Com c') c
inductive-cases coms-choice-inv: coms-com (Choose-dia G H) c
inductive-cases coms-loop-inv: coms-com (Loop-dia G) c
inductive-cases coms-main-inv: coms-dia G c
```

end

## 9 Soundness for graphical diagrams

```
theory Ribbons-Graphical-Soundness imports
Ribbons-Graphical
More-Finite-Map
begin
```

We prove that the proof rules for graphical ribbon proofs are sound with respect to the rules of separation logic.

We impose an additional assumption to achieve soundness: that the Frame rule has no side-condition. This assumption is reasonable because there are several separation logics that lack such a side-condition, such as "variablesas-resource".

We first describe how to extract proofchains from a diagram. This process is similar to the process of extracting commands from a diagram, which was described in *Ribbon-Proofs.Ribbons-Graphical*. When we extract a proofchain, we don't just include the commands, but the assertions in between them. Our main lemma for proving soundness says that each of these proofchains corresponds to a valid separation logic proof.

### 9.1 Proofstate chains

When extracting a proofchain from a diagram, we need to keep track of which nodes we have processed and which ones we haven't. A proofstate, defined below, maps a node to "Top" if it hasn't been processed and "Bot" if it has.

datatype  $topbot = Top \mid Bot$ 

#### **type-synonym** $proofstate = node \rightarrow_f topbot$

A proofstate chain contains all the nodes and edges of a graphical diagram, interspersed with proofstates that track which nodes have been processed at each point.

**type-synonym** ps-chain = (proofstate, node + edge) chain

The next-ps  $\sigma$  function processes one node or one edge in a diagram, given the current proofstate  $\sigma$ . It processes a node v by replacing the mapping from v to Top with a mapping from v to Bot. It processes an edge e (whose source and target nodes are vs and ws respectively) by removing all the mappings from vs to Bot, and adding mappings from ws to Top.

**fun** *next-ps* :: *proofstate*  $\Rightarrow$  *node* + *edge*  $\Rightarrow$  *proofstate* **where** *next-ps*  $\sigma$  (*Inl* v) =  $\sigma \ominus \{|v|\} ++_f [\{|v|\}| => Bot]$ 

 $| next-ps \sigma (Inr e) = \sigma \ominus fst3 e ++_f [thd3 e |=> Top]$ 

The function mk-ps-chain  $\Pi \pi$  generates from  $\pi$ , which is a list of nodes and edges, a proofstate chain, by interspersing the elements of  $\pi$  with the appropriate proofstates. The first argument  $\Pi$  is the part of the chain that has already been converted.

#### definition

 $mk\text{-}ps\text{-}chain :: [ps\text{-}chain, (node + edge) list] \Rightarrow ps\text{-}chain$ where  $mk\text{-}ps\text{-}chain \equiv foldl (\lambda\Pi x. cSnoc \Pi x (next\text{-}ps (post \Pi) x))$ lemma mk-ps-chain-preserves-length:fixes  $\pi \Pi$ shows chainlen ( $mk\text{-}ps\text{-}chain \Pi \pi$ ) = chainlen  $\Pi$  + length  $\pi$   $\langle proof \rangle$ 

Distributing mk-ps-chain over (#).

**lemma** mk-ps-chain-cons: mk-ps-chain  $\Pi$  ( $x \# \pi$ ) = mk-ps-chain (cSnoc  $\Pi x$  (next-ps (post  $\Pi$ ) x))  $\pi$  (proof)

Distributing *mk-ps-chain* over *snoc*.

lemma mk-ps-chain-snoc:

 $\begin{array}{l} mk\text{-}ps\text{-}chain \ \Pi \ (\pi \ @ \ [x]) \\ = cSnoc \ (mk\text{-}ps\text{-}chain \ \Pi \ \pi) \ x \ (next\text{-}ps \ (post \ (mk\text{-}ps\text{-}chain \ \Pi \ \pi)) \ x) \\ \langle proof \rangle \end{array}$ 

Distributing *mk-ps-chain* over *cCons*.

```
lemma mk-ps-chain-ccons:
fixes \pi \Pi
shows mk-ps-chain ({ \sigma } \cdot x \cdot \Pi) \pi = { \sigma } \cdot x \cdot mk-ps-chain \Pi \pi
\langle proof \rangle
```

```
lemma pre-mk-ps-chain:
fixes \Pi \pi
shows pre (mk-ps-chain \Pi \pi) = pre \Pi
\langle proof \rangle
```

A chain which is obtained from the list  $\pi$ , has  $\pi$  as its list of commands. The following lemma states this in a slightly more general form, that allows for part of the chain to have already been processed.

**lemma** comlist-mk-ps-chain: comlist (mk-ps-chain  $\Pi \pi$ ) = comlist  $\Pi @ \pi \langle proof \rangle$ 

In order to perform induction over our diagrams, we shall wish to obtain "smaller" diagrams, by removing nodes or edges. However, the syntax and well-formedness constraints for diagrams are such that although we can always remove an edge from a diagram, we cannot (in general) remove a node – the resultant diagram would not be a well-formed if an edge connected to that node.

Hence, we consider "partially-processed diagrams" (G, S), which comprise a diagram G and a set S of nodes. S denotes the subset of G's initial nodes that have already been processed, and can be thought of as having been removed from G.

We now give an updated version of the *lins* G function. This was originally defined in *Ribbon-Proofs.Ribbons-Graphical*. We provide an extra parameter, S, which denotes the subset of G's initial nodes that shouldn't be included in the linear extensions.

**definition** lins2 ::  $[node fset, diagram] \Rightarrow lin set$ where

 $\begin{array}{l} lins2 \ S \ G \equiv \{\pi :: lin \ . \\ (distinct \ \pi) \\ \land (set \ \pi = (fset \ G^V - fset \ S) <+> \ set \ G^E) \\ \land (\forall \ i \ j \ v \ e. \ i < length \ \pi \land j < length \ \pi \\ \land \ \pi! i = Inl \ v \land \pi! j = Inr \ e \land v \ |\in| \ fst3 \ e \longrightarrow i < j) \\ \land (\forall \ j \ k \ w \ e. \ j < length \ \pi \land k < length \ \pi \\ \land \ \pi! j = Inr \ e \land \pi! k = Inl \ w \land w \ |\in| \ thd3 \ e \longrightarrow j < k) \ \} \end{array}$ 

```
\begin{array}{l} \textbf{lemma lins2D:} \\ \textbf{assumes } \pi \in lins2 \; S \; G \\ \textbf{shows distinct } \pi \\ \textbf{and set } \pi = (fset \; G^{V} - fset \; S) <+> \; set \; G^{E} \\ \textbf{and } \wedge i \; j \; v \; e. \; \llbracket \; i < length \; \pi \; ; \; j < length \; \pi \; ; \\ \pi! i = \; Inl \; v \; ; \; \pi! j = \; Inr \; e \; ; \; v \; |e| \; fst3 \; e \; \rrbracket \Longrightarrow \; i < j \\ \textbf{and } \wedge i \; k \; w \; e. \; \llbracket \; j < length \; \pi \; ; \; k < length \; \pi \; ; \\ \pi! j = \; Inr \; e \; ; \; \pi! k = \; Inl \; w \; ; \; w \; |e| \; thd3 \; e \; \rrbracket \Longrightarrow \; j < k \\ \langle proof \rangle \end{array}
```

```
lemma lins2I:

assumes distinct \pi

and set \pi = (fset \ G^V - fset \ S) <+> set G^E

and \bigwedge i \ j \ v \ e. \ [ i < length <math>\pi \ ; j < length \ \pi \ ;

\pi!i = Inl \ v \ ; \ \pi!j = Inr \ e \ ; v \ |\in| \ fst3 \ e \ ] \implies i < j

and \bigwedge j \ k \ w \ e. \ [ j < length \ \pi \ ; k < length \ \pi \ ;

\pi!j = Inr \ e \ ; \ \pi!k = Inl \ w \ ; w \ |\in| \ thd3 \ e \ ] \implies j < k

shows \pi \in lins2 \ S \ G

\langle proof \rangle
```

\prooj /

When S is empty, the two definitions coincide.

**lemma** lins-is-lins2-with-empty-S: lins G = lins2 {||}  $G \langle proof \rangle$ 

The first proofstate for a diagram G is obtained by mapping each of its initial nodes to Top.

#### definition

initial-ps :: diagram  $\Rightarrow$  proofstate where initial-ps  $G \equiv [$  initials  $G \mid =>$  Top ]

The first proofstate for the partially-processed diagram G is obtained by mapping each of its initial nodes to Top, except those in S, which are mapped to *Bot*.

#### definition

```
initial-ps2 :: [node fset, diagram] \Rightarrow proofstate

where

initial-ps2 S G \equiv [ initials G - S |=> Top ] ++<sub>f</sub> [ S |=> Bot ]
```

When S is empty, the above two definitions coincide.

```
lemma initial-ps-is-initial-ps2-with-empty-S:
initial-ps = initial-ps2 \{||\}
\langle proof \rangle
```

The following function extracts the set of proofstate chains from a diagram.

### definition

ps-chains ::  $diagram \Rightarrow ps$ -chain set

where

```
ps-chains G \equiv mk-ps-chain (cNil (initial-ps G)) ' lins G
```

The following function extracts the set of proofstate chains from a partiallyprocessed diagram. Nodes in S are excluded from the resulting chains.

definition

ps-chains2 :: [node fset, diagram]  $\Rightarrow$  ps-chain set where ps-chains2  $S \ G \equiv mk$ -ps-chain (cNil (initial- $ps2 \ S \ G$ )) ' lins2  $S \ G$ 

When S is empty, the above two definitions coincide.

**lemma** ps-chains-is-ps-chains2-with-empty-S: ps-chains = ps-chains2 {||}  $\langle proof \rangle$ 

We now wish to describe proofstates chain that are well-formed. First, let us say that  $f ++_f disjoint g$  is defined, when f and g have disjoint domains, as  $f ++_f g$ . Then, a well-formed proofstate chain consists of triples of the form  $(\sigma ++_f disjoint [ \{|v|\} |=> Top ]$ ,  $Inl v, \sigma ++_f disjoint [ \{|v|\} |=> Bot ]$ ), where v is a node, or of the form  $(\sigma ++_f disjoint [ \{|vs|\} |=> Bot ]$ ,  $Inr e, \sigma ++_f disjoint [ \{|ws|\} |=> Top ]$ ), where e is an edge with source and target nodes vs and ws respectively.

The definition below describes a well-formed triple; we then lift this to complete chains shortly.

#### definition

wf-ps-triple :: proofstate × (node + edge) × proofstate ⇒ bool where wf-ps-triple T = (case snd3 T of  $Inl v \Rightarrow (\exists \sigma. v |\notin| fmdom \sigma$   $\land fst3 T = [ \{ |v| \} |=> Top ] ++_f \sigma$   $\land thd3 T = [ \{ |v| \} |=> Bot ] ++_f \sigma$   $| Inr e \Rightarrow (\exists \sigma. (fst3 e |\cup| thd3 e) |\cap| fmdom \sigma = \{ || \}$   $\land fst3 T = [ fst3 e |=> Bot ] ++_f \sigma$   $\land thd3 T = [ thd3 e |=> Top ] ++_f \sigma$   $\land thd3 T = [ thd3 e |=> Top ] ++_f \sigma$  | entropy = triple-nodeI:assumes  $\exists \sigma. v |\notin| fmdom \sigma \land$ 

 $\sigma 1 = [ \{ |v| \} |=> Top ] ++_f \sigma \land \\ \sigma 2 = [ \{ |v| \} |=> Bot ] ++_f \sigma \\ \text{shows } wf-ps-triple (\sigma 1, Inl v, \sigma 2) \\ \langle proof \rangle \end{cases}$ 

 $\langle proof \rangle$ 

```
definition
  wf-ps-chain :: ps-chain \Rightarrow bool
where
  wf-ps-chain \equiv chain-all wf-ps-triple
lemma next-initial-ps2-vertex:
  initial-ps2 (\{|v|\} |\cup| S) G
  = initial - ps 2 S G \ominus \{|v|\} + +_f [\{|v|\}| => Bot]
\langle proof \rangle
lemma next-initial-ps2-edge:
  assumes G = Graph \ V \ \Lambda \ E and G' = Graph \ V' \ \Lambda \ E' and
    V' = V - fst3 \ e \ and \ E' = removeAll \ e \ E \ and \ e \in set \ E \ and
    fst3 e \mid \subseteq \mid S and S \mid \subseteq \mid initials G and wf-dia G
  shows initial-ps2 (S - fst3 \ e) \ G' =
  initial-ps2 S G \ominus fst3 e ++<sub>f</sub> [ thd3 e |=> Top ]
\langle proof \rangle
lemma next-lins2-vertex:
  assumes Inl v \# \pi \in lins2 \ S \ G
 assumes v \notin S
  shows \pi \in lins2 ({|v|} |\cup| S) G
\langle proof \rangle
lemma next-lins2-edge:
  assumes Inr e \# \pi \in lins 2 S (Graph V \Lambda E)
      and vs |\subseteq| S
      and e = (vs, c, ws)
 shows \pi \in lins2 (S - vs) (Graph (V - vs) \Lambda (removeAll \ e \ E))
\langle proof \rangle
```

We wish to prove that every proofstate chain that can be obtained from a linear extension of G is well-formed and has as its final proofstate that state in which every terminal node in G is mapped to *Bot*.

We first prove this for partially-processed diagrams, for then the result for ordinary diagrams follows as an easy corollary.

We use induction on the size of the partially-processed diagram. The size of a partially-processed diagram (G, S) is defined as the number of nodes in G, plus the number of edges, minus the number of nodes in S.

lemma wf-chains2: fixes k assumes  $S \mid \subseteq \mid$  initials Gand wf-dia Gand  $\Pi \in ps$ -chains2  $S \ G$ and fcard  $G^{V} + length \ G^{E} = k + fcard \ S$ shows wf-ps-chain  $\Pi \land (post \ \Pi = [terminals \ G \mid => Bot ])$   $\langle proof \rangle$ 

```
corollary wf-chains:

assumes wf-dia G

assumes \Pi \in ps-chains G

shows wf-ps-chain \Pi \land post \Pi = [ terminals G | = > Bot ]

\langle proof \rangle
```

## 9.2 Interface chains

type-synonym int-chain = (interface, assertion-gadget + command-gadget) chain

An interface chain is similar to a proofstate chain. However, where a proofstate chain talks about nodes and edges, an interface chain talks about the assertion-gadgets and command-gadgets that label those nodes and edges in a diagram. And where a proofstate chain talks about proofstates, an interface chain talks about the interfaces obtained from those proofstates.

The following functions convert a proofstate chain into an interface chain.

#### definition

ps-to-int :: [diagram, proofstate]  $\Rightarrow$  interface where ps-to-int  $G \sigma \equiv$  $\bigotimes v \mid \in \mid fmdom \sigma. case-topbot top-ass bot-ass (lookup \sigma v) (G^{\Lambda} v)$ 

#### definition

ps-chain-to-int-chain :: [diagram, ps-chain]  $\Rightarrow$  int-chain where ps-chain-to-int-chain  $G \Pi \equiv$ chainmap (ps-to-int G) ((case-sum (Inl  $\circ G \Lambda)$  (Inr  $\circ snd3$ )))  $\Pi$ 

**lemma** *ps-chain-to-int-chain-simp*:

ps-chain-to-int-chain (Graph V  $\Lambda$  E)  $\Pi$  =

chainmap (ps-to-int (Graph  $V \Lambda E$ )) ((case-sum (Inl  $\circ \Lambda$ ) (Inr  $\circ$  snd3)))  $\Pi$  (proof)

## 9.3 Soundness proof

We assume that wr-com always returns {}. This is equivalent to changing our axiomatization of separation logic such that the frame rule has no sidecondition. One way to obtain a separation logic lacking a side-condition on its frame rule is to use variables-as- resource.

We proceed by induction on the proof rules for graphical diagrams. We show that: (1) if a diagram G is provable w.r.t. interfaces P and Q, then P and Q are the top and bottom interfaces of G, and that the Hoare triple  $(asn \ P, \ c, \ asn \ Q)$  is provable for each command c that can be extracted from G; (2) if a command-gadget C is provable w.r.t. interfaces P and Q, then the Hoare triple  $(asn \ P, \ c, \ asn \ Q)$  is provable for each command c that can be extracted from G; (2) if a command-gadget C is provable w.r.t. interfaces P and Q, then the Hoare triple  $(asn \ P, \ c, \ asn \ Q)$  is provable for each command c that

can be extracted from C; and (3) if an assertion-gadget A is provable, and if the top and bottom interfaces of A are P and Q respectively, then the Hoare triple (asn P, c, asn Q) is provable for each command c that can be extracted from A.

```
lemma soundness-graphical-helper:
```

```
assumes no-var-interference: \land c. wr-com c = \{\}

shows

(prov-dia G \ P \ Q \longrightarrow

(P = top-dia G \land Q = bot-dia G \land

(\forall c. coms-dia G \ c \longrightarrow prov-triple (asn P, c, asn \ Q))))

\land (prov-com C \ P \ Q \longrightarrow

(\forall c. coms-com C \ c \longrightarrow prov-triple (asn P, c, asn \ Q))))

\land (prov-ass A \longrightarrow

(\forall c. coms-ass A \ c \longrightarrow prov-triple (asn (top-ass A), c, asn (bot-ass A)))))

(proof)
```

The soundness theorem states that any diagram provable using the proof rules for ribbons can be recreated as a valid proof in separation logic.

```
corollary soundness-graphical:

assumes \bigwedge c. wr-com c = \{\}

assumes prov-dia G P Q

shows \forall c. coms-dia G c \longrightarrow prov-triple (asn P, c, asn Q)

\langle proof \rangle
```

 $\mathbf{end}$ 

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