

Reducing Rewrite Properties to Properties on Ground Terms^{*}

Alexander Lochmann

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Abstract

This AFP entry relates important rewriting properties between the set of terms and the set of ground terms induced by a given signature. The properties considered are confluence, strong/local confluence, the normal form property, unique normal forms with respect to reduction and conversion, commutation, conversion equivalence, and normalization equivalence.

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1 Introduction

Rewriting is an abstract model of computation. Among other things, it studies important properties including the following:

CR:	$\forall s \forall t \forall u (s \rightarrow^* t \wedge s \rightarrow^* u \implies t \downarrow u)$	confluence
SCR:	$\forall s \forall t \forall u (s \rightarrow t \wedge s \rightarrow u \implies \exists v (t \rightarrow^= v \wedge u \rightarrow^* v))$	strong confluence
WCR:	$\forall s \forall t \forall u (s \rightarrow t \wedge s \rightarrow u \implies t \downarrow u)$	local confluence
NFP:	$\forall s \forall t \forall u (s \rightarrow^* t \wedge s \rightarrow^! u \implies t \rightarrow^! u)$	normal form property
UNR:	$\forall s \forall t \forall u (s \rightarrow^! t \wedge s \rightarrow^! u \implies t = u)$	unique normal forms with respect to reduction
UNC:	$\forall t \forall u (t \leftrightarrow^* u \wedge \text{NF}(t) \wedge \text{NF}(u) \implies t = u)$	unique normal forms with respect to conversion

We also consider the following properties involving two TRSs \mathcal{R} and \mathcal{S} :

COM :	$\forall s \forall t \forall u (s \rightarrow_{\mathcal{R}}^* t \wedge s \rightarrow_{\mathcal{S}}^* u \implies \exists v (t \rightarrow_{\mathcal{S}}^* v \wedge u \rightarrow_{\mathcal{R}}^* v))$	commutation
CE :	$\forall s \forall t (s \leftrightarrow_{\mathcal{R}}^* t \iff s \leftrightarrow_{\mathcal{S}}^* t)$	conversion equivalence
NE :	$\forall s \forall t (s \rightarrow_{\mathcal{R}}^! t \iff s \rightarrow_{\mathcal{S}}^! t)$	normalization equivalence

An interesting observation is that for each of these properties there exists a rewrite system that satisfies the property when restricted to ground terms but not when arbitrary terms are allowed. Consider the left-linear right-ground TRS \mathcal{R} consisting of the rules

$$a \rightarrow b \quad f(a, x) \rightarrow b \quad f(b, b) \rightarrow b$$

over the signature $\mathcal{F} = \{a, b, f\}$. It is ground-confluent because every ground term in $\mathcal{T}(\mathcal{F})$ rewrites to b . Confluence does not hold; the term $f(a, x)$ rewrites to the different normal forms b and $f(b, x)$.

In this AFP entry, properties on arbitrary terms are reduced to the corresponding properties on ground terms, for left-linear right-ground rewrite systems and for linear variable-separated systems. To do this, I formalized fundamental term rewriting operations that include the root step and the one step rewriting relations. Also, I added definitions for conversion equivalence, normalization equivalence, strong confluence and the normal form property extending the list of important rewriting properties of the AFP entry “Abstract Rewriting” [1].

Rewrite sequences that contain a root step play an important role in the formalization. The table of contents should give the reader a good overview of the content of this entry.

2 Preliminaries

```
theory Terms-Positions
  imports Regular-Tree-Relations.Ground-Terms
begin
```

2.1 Additional operations on terms and positions

2.1.1 Linearity

```
fun linear-term :: ('f, 'v) term ⇒ bool where
  linear-term (Var _) = True |
  linear-term (Fun - ts) = (is-partition (map vars-term ts) ∧ (∀ t∈set ts. linear-term t))
abbreviation linear-sys R ≡ ∀ (l, r) ∈ R. linear-term l ∧ linear-term r
```

2.1.2 Positions induced by contexts, by variables and by given subterms

```
definition possC C = {p | p t. p ∈ poss C⟨t⟩}
definition varposs s = {p | p. p ∈ poss s ∧ is-Var (s |- p)}
definition poss-of-term u t = {p. p ∈ poss t ∧ t |- p = u}
```

2.1.3 Replacing functions symbols that aren't specified in the signature by variables

```
definition funas-rel R = (⋃ (l, r) ∈ R. funas-term l ∪ funas-term r)
```

```
fun term-to-sig where
  term-to-sig F v (Var x) = Var x
  | term-to-sig F v (Fun f ts) =
    (if (f, length ts) ∈ F then Fun f (map (term-to-sig F v) ts) else Var v)
```

```
fun ctxt-well-def-hole-path where
  ctxt-well-def-hole-path F Hole ↔ True
  | ctxt-well-def-hole-path F (More f ss C ts) ↔ (f, Suc (length ss + length ts)) ∈ F ∧ ctxt-well-def-hole-path F C
```

```
fun inv-const-ctxt where
  inv-const-ctxt F v Hole = Hole
  | inv-const-ctxt F v ((More f ss C ts))
    = (More f (map (term-to-sig F v) ss) (inv-const-ctxt F v C) (map (term-to-sig F v) ts))
```

```
fun inv-const-ctxt' where
  inv-const-ctxt' F v Hole = Var v
  | inv-const-ctxt' F v ((More f ss C ts))
    = (if (f, Suc (length ss + length ts)) ∈ F then Fun f (map (term-to-sig F v) ss @ inv-const-ctxt' F v C # map (term-to-sig F v) ts) else Var v)
```

2.1.4 Replace term at a given position in contexts

```

fun replace-term-context-at :: ('f, 'v) ctxt  $\Rightarrow$  pos  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  ('f, 'v) ctxt
  ( $\langle \cdot \leftarrow \cdot \rangle_C$  [1000, 0] 1000) where
    replace-term-context-at  $\square$  p u =  $\square$ 
  | replace-term-context-at (More f ss C ts) (i # ps) u =
    (if i < length ss then More f (ss[i := (ss ! i)[ps  $\leftarrow$  u]]) C ts
     else if i = length ss then More f ss (replace-term-context-at C ps u) ts
     else More f ss C (ts[(i - Suc (length ss)) := (ts ! (i - Suc (length ss)))[ps  $\leftarrow$  u]]))

```

abbreviation constT c \equiv Fun c []

2.1.5 Multihole context closure of a term relation as inductive set

definition all-ctxt-closed **where**

```

all-ctxt-closed F r  $\longleftrightarrow$  ( $\forall f ts ss. (f, length ss) \in F \rightarrow length ts = length ss \rightarrow$ 
 $\forall i. i < length ts \rightarrow (ts ! i, ss ! i) \in r \rightarrow$ 
 $(\forall i. i < length ts \rightarrow \text{funas-term } (ts ! i) \cup \text{funas-term } (ss ! i) \subseteq F) \rightarrow (Fun f ts, Fun f ss) \in r \wedge$ 
 $(\forall x. (\text{Var } x, \text{Var } x) \in r)$ 

```

2.2 Destruction and introduction of all-ctxt-closed

```

lemma all-ctxt-closedD: all-ctxt-closed F r  $\Rightarrow$  (f,length ss)  $\in$  F  $\Rightarrow$  length ts = length ss
 $\Rightarrow \llbracket \bigwedge i. i < length ts \Rightarrow (ts ! i, ss ! i) \in r \rrbracket$ 
 $\Rightarrow \llbracket \bigwedge i. i < length ts \Rightarrow \text{funas-term } (ts ! i) \subseteq F \rrbracket$ 
 $\Rightarrow \llbracket \bigwedge i. i < length ts \Rightarrow \text{funas-term } (ss ! i) \subseteq F \rrbracket$ 
 $\Rightarrow (Fun f ts, Fun f ss) \in r$ 
unfolding all-ctxt-closed-def by auto

```

```

lemma trans-ctxt-sig-imp-all-ctxt-closed: assumes tran: trans r
  and refl:  $\bigwedge t. \text{funas-term } t \subseteq F \Rightarrow (t,t) \in r$ 
  and ctxt:  $\bigwedge C s t. \text{funas-ctxt } C \subseteq F \Rightarrow \text{funas-term } s \subseteq F \Rightarrow \text{funas-term } t \subseteq F \Rightarrow (s,t) \in r \Rightarrow (C \langle s \rangle, C \langle t \rangle) \in r$ 
  shows all-ctxt-closed F r unfolding all-ctxt-closed-def
proof (rule, intro allI impI)
  fix f ts ss
  assume f: (f,length ss)  $\in$  F and
    l: length ts = length ss and
    steps:  $\forall i < length ts. (ts ! i, ss ! i) \in r$  and
    sig:  $\forall i < length ts. \text{funas-term } (ts ! i) \cup \text{funas-term } (ss ! i) \subseteq F$ 
  from sig have sig-ts:  $\bigwedge t. t \in \text{set ts} \Rightarrow \text{funas-term } t \subseteq F$  unfolding set-conv-nth
  by auto
  let ?p =  $\lambda ss. (Fun f ts, Fun f ss) \in r \wedge \text{funas-term } (Fun f ss) \subseteq F$ 
  let ?r =  $\lambda xsi ysi. (xsi, ysi) \in r \wedge \text{funas-term } ysi \subseteq F$ 
  have init: ?p ts by (rule conjI[OF refl], insert f sig-ts l, auto)
  have ?p ss

```

```

proof (rule parallel-list-update[where p = ?p and r = ?r, OF - HOL.refl init l[symmetric]])
  fix xs i y
  assume len: length xs = length ts
    and i: i < length ts
    and r: ?r (xs ! i) y
    and p: ?p xs
  let ?C = More f (take i xs) Hole (drop (Suc i) xs)
  have id1: Fun f xs = ?C ⟨ xs ! i ⟩ using id-take-nth-drop[OF i[folded len]] by
    simp
    have id2: Fun f (xs[i := y]) = ?C ⟨ y ⟩ using upd-conv-take-nth-drop[OF i[folded len]] by simp
    from p[unfolded id1] have C: funas-ctxt ?C ⊆ F and xi: funas-term (xs ! i) ⊆ F by auto
    from r have funas-term y ⊆ F (xs ! i, y) ∈ r by auto
    with ctxt[OF C xi this] C have r: (Fun f xs, Fun f (xs[i := y])) ∈ r
      and f: funas-term (Fun f (xs[i := y])) ⊆ F unfolding id1 id2 by auto
    from p r tran have (Fun f ts, Fun f (xs[i := y])) ∈ r unfolding trans-def by auto
    with f
    show ?p (xs[i := y]) by auto
    qed (insert sig steps, auto)
    then show (Fun f ts, Fun f ss) ∈ r ..
  qed (insert refl, auto)

```

2.3 Lemmas for poss and ordering of positions

lemma subst-poss-mono: poss s ⊆ poss (s · σ)
by (induct s) force+

lemma par-pos-prefix [simp]:
 $(i \# p) \perp (i \# q) \implies p \perp q$
by (simp add: par-Cons-iff)

lemma pos-diff-itself [simp]: $p -_p p = []$
by (simp add: pos-diff-def)

lemma pos-less-eq-append-diff [simp]:
 $p \leq_p q \implies p @ (q -_p p) = q$
by (metis option.sel pos-diff-def position-less-eq-def remove-prefix-append)

lemma pos-diff-append-itself [simp]: $(p @ q) -_p p = q$
by (simp add: pos-diff-def remove-prefix-append)

lemma poss-poss-diffI:
 $p \leq_p q \implies q \in poss s \implies q -_p p \in poss (s |- p)$
using poss-append-poss **by** fastforce

lemma less-eq-poss-append-itself [simp]: $p \leq_p (p @ q)$

```

using position-less-eq-def by blast

lemma poss_ctxt_apply [simp]:
  hole-pos C @ p ∈ poss C⟨s⟩  $\longleftrightarrow$  p ∈ poss s
  by (induct C) auto

lemma pos-replace-at-pres:
  p ∈ poss s  $\Longrightarrow$  p ∈ poss s[p ← t]
  proof (induct p arbitrary: s)
    case (Cons i p)
      show ?case using Cons(1)[of args s ! i] Cons(2–)
      by (cases s) auto
  qed auto

lemma par-pos-replace-pres:
  p ∈ poss s  $\Longrightarrow$  p ⊥ q  $\Longrightarrow$  p ∈ poss s[q ← t]
  proof (induct p arbitrary: s q)
    case (Cons i p)
      show ?case using Cons(1)[of args s ! i tl q] Cons(2–)
      by (cases s; cases q) (auto simp add: nth-list-update par-Cons-iff)
  qed auto

lemma poss-of-termE [elim]:
  assumes p ∈ poss-of-term u s
  and p ∈ poss s  $\Longrightarrow$  s |- p = u  $\Longrightarrow$  P
  shows P using assms unfolding poss-of-term-def
  by blast

lemma poss-of-term-Cons:
  i # p ∈ poss-of-term u (Fun f ts)  $\Longrightarrow$  p ∈ poss-of-term u (ts ! i)
  unfolding poss-of-term-def by auto

lemma poss-of-term-const ctxt-apply:
  assumes p ∈ poss-of-term (constT c) C⟨s⟩
  shows p ⊥ (hole-pos C)  $\vee$  (hole-pos C)  $\leq_p$  p using assms
  proof (induct p arbitrary: C)
    case Nil then show ?case
    by (cases C) auto
  next
    case (Cons i p) then show ?case
    by (cases C) (fastforce simp add: par-Cons-iff dest!: poss-of-term-Cons)+
  qed

```

2.4 Lemmas for (|-) and replace-term-at

```

lemma subt-at-append-dist:
  p @ q ∈ poss s  $\Longrightarrow$  s |- (p @ q) = (s |- p) |- q
  proof (induct p arbitrary: s)
    case (Cons i p) then show ?case

```

```

by (cases s) auto
qed auto

lemma ctxt-apply-term-subt-at-hole-pos [simp]:
   $C\langle s \rangle \mid\!- (\text{hole-pos } C @ q) = s \mid\!- q$ 
  by (induct C) auto

lemma subst-subt-at-dist:
   $p \in \text{poss } s \implies s \cdot \sigma \mid\!- p = s \mid\!- p \cdot \sigma$ 
proof (induct p arbitrary: s)
  case (Cons i p) then show ?case
    by (cases s) auto
  qed auto

lemma replace-term-at-subt-at-id [simp]:  $s[p \leftarrow (s \mid\!- p)] = s$ 
proof (induct p arbitrary: s)
  case (Cons i p) then show ?case
    by (cases s) auto
  qed auto

lemma replace-term-at-same-pos [simp]:
   $s[p \leftarrow u][p \leftarrow t] = s[p \leftarrow t]$ 
  using position-less-refl replace-term-at-above by blast

— Replacement at under substitution
lemma subt-at-vars-term:
   $p \in \text{poss } s \implies s \mid\!- p = \text{Var } x \implies x \in \text{vars-term } s$ 
  by (metis UnCI ctxt-at-pos-subt-at-id term.set-intros(3) vars-term-ctxt-apply)

lemma linear-term-varpos-subst-replace-term:
  linear-term s  $\implies p \in \text{varpos } s \implies p \leq_p q \implies$ 
   $(s \cdot \sigma)[q \leftarrow u] = s \cdot (\lambda x. \text{if Var } x = s \mid\!- p \text{ then } (\sigma x)[q \leftarrow u] \text{ else } (\sigma x))$ 
proof (induct q arbitrary: s p)
  case (Cons i q)
  show ?case using Cons(1)[of args s ! i tl p] Cons(2-)
    by (cases s) (auto simp: varpos-def nth-list-update term-subst-eq-conv
      is-partition-alt is-partition-alt-def disjoint-iff subt-at-vars-term intro!: nth-equalityI)
  qed (auto simp: varpos-def)

— Replacement at context parallel to the hole position
lemma par-hole-pos-replace-term-context-at:
   $p \perp \text{hole-pos } C \implies C\langle s \rangle[p \leftarrow u] = (C[p \leftarrow u]_C)\langle s \rangle$ 
proof (induct p arbitrary: C)
  case (Cons i p)
  from Cons(2) obtain f ss D ts where [simp]:  $C = \text{More } f \text{ ss } D \text{ ts}$  by (cases C)
  auto
  show ?case using Cons(1)[of D] Cons(2)
    by (auto simp: list-update-append nth-append-Cons minus-nat.simps(2) split:

```

nat.splits)
qed auto

lemma *par-pos-replace-term-at*:
 $p \in poss s \implies p \perp q \implies s[q \leftarrow t] \vdash p = s \vdash p$
proof (*induct p arbitrary: s q*)
case (*Cons i p*)
show ?case **using** *Cons(1)[of args s ! i tl q] Cons(2-)*
by (*cases s; cases q*) (*auto, metis nth-list-update par-Cons-iff*)
qed auto

lemma *less-eq-subt-at-replace*:
 $p \in poss s \implies p \leq_p q \implies s[q \leftarrow t] \vdash p = (s \vdash p)[q \dashv_p p \leftarrow t]$
proof (*induct p arbitrary: s q*)
case (*Cons i p*)
show ?case **using** *Cons(1)[of args s ! i tl q] Cons(2-)*
by (*cases s; cases q*) *auto*
qed auto

lemma *greater-eq-subt-at-replace*:
 $p \in poss s \implies q \leq_p p \implies s[q \leftarrow t] \vdash p = t \vdash (p \dashv_p q)$
proof (*induct p arbitrary: s q*)
case (*Cons i p*)
show ?case **using** *Cons(1)[of args s ! i tl q] Cons(2-)*
by (*cases s; cases q*) *auto*
qed auto

lemma *replace-subterm-at-itself [simp]*:
 $s[p \leftarrow (s \vdash p)[q \leftarrow t]] = s[p @ q \leftarrow t]$
proof (*induct p arbitrary: s*)
case (*Cons i p*)
show ?case **using** *Cons(1)[of args s ! i]*
by (*cases s*) *auto*
qed auto

lemma *hole-pos-replace-term-at [simp]*:
 $hole-pos C \leq_p p \implies C\langle s \rangle[p \leftarrow u] = C\langle s[p \dashv_p hole-pos C \leftarrow u] \rangle$
proof (*induct C arbitrary: p*)
case (*More f ss C ts*) **then show** ?case
by (*cases p*) *auto*
qed auto

lemma *ctxt-of-pos-term-apply-replace-at-ident*:
assumes $p \in poss s$
shows (*ctxt-at-pos s p*) $\langle t \rangle = s[p \leftarrow t]$
using *assms*

```

proof (induct p arbitrary: s)
  case (Cons i p)
    show ?case using Cons(1)[of args s ! i] Cons(2–)
      by (cases s) (auto simp: nth-append-Cons intro!: nth-equalityI)
  qed auto

lemma ctxt-apply-term-replace-term-hole-pos [simp]:
   $C\langle s \rangle[\text{hole-pos } C @ q \leftarrow u] = C\langle s[q \leftarrow u] \rangle$ 
  by (simp add: pos-diff-def position-less-eq-def remove-prefix-append)

lemma ctxt-apply-subt-at-hole-pos [simp]:  $C\langle s \rangle \vdash \text{hole-pos } C = s$ 
  by (induct C) auto

lemma subt-at-imp-supteq':
  assumes  $p \in \text{poss } s$  and  $s|_p = t$ 
  shows  $s \sqsupseteq t$  using assms
  proof (induct p arbitrary: s)
    case (Cons i p)
      from Cons(2–) show ?case using Cons(1)[of args s ! i]
        by (cases s) force+
  qed auto

lemma subt-at-imp-supteq:
  assumes  $p \in \text{poss } s$ 
  shows  $s \sqsupseteq s|_p$ 
  proof –
    have  $s|_p = s|_p$  by auto
    with assms show ?thesis by (rule subt-at-imp-supteq')
  qed

```

2.5 term-to-sig invariants and distributions

```

lemma fuans-term-term-to-sig [simp]: funas-term (term-to-sig F v t) ⊆ F
  by (induct t) auto

lemma term-to-sig-id [simp]:
  funas-term t ⊆ F ⇒ term-to-sig F v t = t
  by (induct t) (auto simp add: UN-subset-iff map-idI)

lemma term-to-sig-subst-sig [simp]:
  funas-term t ⊆ F ⇒ term-to-sig F v (t · σ) = t · (λ x. term-to-sig F v (σ x))
  by (induct t) auto

lemma funas-ctxt-ctxt-inv-const-ctxt-ind [simp]:
  funas-ctxt C ⊆ F ⇒ inv-const-ctxt F v C = C
  by (induct C) (auto simp add: UN-subset-iff intro!: nth-equalityI)

lemma term-to-sig-ctxt-apply [simp]:
  ctxt-well-def-hole-path F C ⇒ term-to-sig F v C\langle s \rangle = (inv-const-ctxt F v C)\langle term-to-sig F v s \rangle
  by (induct C) auto

```

```

lemma term-to-sig-ctxt-apply' [simp]:
   $\neg \text{ctxt-well-def-hole-path } \mathcal{F} C \implies \text{term-to-sig } \mathcal{F} v C \langle s \rangle = \text{inv-const-ctxt}' \mathcal{F} v C$ 
  by (induct C) auto

```

```

lemma funas-ctxt-ctxt-well-def-hole-path:
  funas-ctxt C  $\subseteq \mathcal{F} \implies \text{ctxt-well-def-hole-path } \mathcal{F} C$ 
  by (induct C) auto

```

2.6 Misc

```

lemma funas-term-subt-at:
   $(f, n) \in \text{funas-term } t \implies (\exists p \text{ ts. } p \in \text{poss } t \wedge t |- p = \text{Fun } f \text{ ts} \wedge \text{length } ts = n)$ 
  proof (induct t)
    case (Fun g ts) note IH = this
    show ?case
    proof (cases g = f  $\wedge$  length ts = n)
      case False
      then obtain i where i:  $i < \text{length } ts$   $(f, n) \in \text{funas-term } (ts ! i)$  using IH(2)
      using in-set-idx by force
      from IH(1)[OF nth-mem[OF this(1)] this(2)] show ?thesis using i(1)
      by (metis poss-Cons-poss subt-at.simps(2) term.sel(4))
    qed auto
  qed simp

```

```

lemma finite-poss: finite (poss s)
  proof (induct s)
    case (Fun f ts)
    have poss (Fun f ts) = insert [] ( $\bigcup$  (set (map2 ( $\lambda i p. ((\#) i) ` p$ ) [0.. $< \text{length } ts$ ] (map poss ts)))
    by (auto simp: image-iff set-zip split: prod.splits)
    then show ?case using Fun
    by (auto simp del: poss.simps dest!: set-zip-rightD)
  qed simp

```

```

lemma finite-varposs: finite (varposs s)
  by (intro finite-subset[of varposs s poss s]) (auto simp: varposs-def finite-poss)

```

```

lemma ground-linear [simp]: ground t  $\implies$  linear-term t
  by (induct t) (auto simp: is-partition-alt is-partition-alt-def)

```

```

declare ground-substI[intro, simp]

```

```

lemma ground-ctxt-substI:
   $(\bigwedge x. x \in \text{vars-ctxt } C \implies \text{ground } (\sigma x)) \implies \text{ground-ctxt } (C \cdot_c \sigma)$ 
  by (induct C) auto

```

```

lemma funas-ctxt-subst-apply-ctxt:
  funas-ctxt (C  $\cdot_c \sigma$ ) = funas-ctxt C  $\cup$  ( $\bigcup$  (funas-term `  $\sigma$  ` vars-ctxt C))

```

```

proof (induct C)
  case (More f ss C ts)
    then show ?case
      by (fastforce simp add: funas-term-subst)
qed simp

lemma varposs-Var[simp]:
  varposs (Var x) = {[]}
  by (auto simp: varposs-def)

lemma varposs-Fun[simp]:
  varposs (Fun f ts) = { i # p | i p. i < length ts  $\wedge$  p  $\in$  varposs (ts ! i) }
  by (auto simp: varposs-def)

lemma vars-term-varposs-iff:
  x  $\in$  vars-term s  $\longleftrightarrow$  ( $\exists$  p  $\in$  varposs s. s |- p = Var x)
proof (induct s)
  case (Fun f ts)
    show ?case using Fun[OF nth-mem]
      by (force simp: in-set-conv-nth Bex-def)
qed auto

lemma vars-term-empty-ground:
  vars-term s = {}  $\Longrightarrow$  ground s
  by (metis equals0D ground-substI subst-ident)

lemma ground-subst-apply: ground t  $\Longrightarrow$  t · σ = t
  by (induct t) (auto intro: nth-equalityI)

lemma varposs-imp-poss:
  p  $\in$  varposs s  $\Longrightarrow$  p  $\in$  poss s by (auto simp: varposs-def)

lemma varposs-empty-gound:
  varposs s = {}  $\longleftrightarrow$  ground s
  by (induct s) (fastforce simp: in-set-conv-nth)+

lemma funas-term-subterm-atI [intro]:
  p  $\in$  poss s  $\Longrightarrow$  funas-term s  $\subseteq$   $\mathcal{F}$   $\Longrightarrow$  funas-term (s |- p)  $\subseteq$   $\mathcal{F}$ 
  by (metis ctxt-at-pos-subt-at-id funas-ctxt-apply le-sup-iff)

lemma varposs-ground-replace-at:
  p  $\in$  varposs s  $\Longrightarrow$  ground u  $\Longrightarrow$  varposs s[p ← u] = varposs s - {p}
proof (induct p arbitrary: s)
  case Nil then show ?case
    by (cases s) (auto simp: varposs-empty-gound)
next
  case (Cons i p)
    from Cons(2) obtain f ts where [simp]: s = Fun f ts by (cases s) auto
    from Cons(2) have var: p  $\in$  varposs (ts ! i) by auto

```

```

from Cons(1)[OF var Cons(3)] have  $j < \text{length } ts \implies \{j \# q \mid q. q \in \text{varposs}$ 
 $(ts[i := (ts ! i)[p \leftarrow u]] ! j)\} =$ 
 $\{j \# q \mid q. q \in \text{varposs } (ts ! j)\} - \{i \# p\}$  for  $j$ 
by (cases  $j = i$ ) (auto simp add: nth-list-update)
then show ?case by auto blast
qed

lemma funas-term-replace-at-upper:
funas-term  $s[p \leftarrow t] \subseteq \text{funas-term } s \cup \text{funas-term } t$ 
proof (induct  $p$  arbitrary:  $s$ )
case (Cons  $i p$ )
show ?case using Cons(1)[of args  $s ! i$ ]
by (cases  $s$ ) (fastforce simp: in-set-conv-nth nth-list-update split!: if-splits) +
qed simp

lemma funas-term-replace-at-lower:
 $p \in \text{poss } s \implies \text{funas-term } t \subseteq \text{funas-term } (s[p \leftarrow t])$ 
proof (induct  $p$  arbitrary:  $s$ )
case (Cons  $i p$ )
show ?case using Cons(1)[of args  $s ! i$ ] Cons(2--)
by (cases  $s$ ) (fastforce simp: in-set-conv-nth nth-list-update split!: if-splits) +
qed simp

lemma poss-of-term-possI [intro!]:
 $p \in \text{poss } s \implies s \dashv p = u \implies p \in \text{poss-of-term } u s$ 
unfolding poss-of-term-def by blast

lemma poss-of-term-replace-term-at:
 $p \in \text{poss } s \implies p \in \text{poss-of-term } u s[p \leftarrow u]$ 
proof (induct  $p$  arbitrary:  $s$ )
case (Cons  $i p$ ) then show ?case
by (cases  $s$ ) (auto simp: poss-of-term-def)
qed auto

lemma constT-nfunas-term-poss-of-term-empty:
 $(c, 0) \notin \text{funas-term } t \longleftrightarrow \text{poss-of-term } (\text{constT } c) t = \{\}$ 
unfolding poss-of-term-def
using funas-term-subt-at[of  $c 0 t$ ]
using funas-term-subterm-atI[where ?F = funas-term  $t$  and ?s =  $t$ , THEN
subsetD]
by auto

lemma poss-of-term-poss-emptyD:
assumes poss-of-term  $u s = \{\}$ 
shows  $p \in \text{poss } s \implies s \dashv p \neq u$  using assms
unfolding poss-of-term-def by blast

lemma possc-subt-at-ctxt-apply:
 $p \in \text{possc } C \implies p \perp \text{hole-pos } C \implies C\langle s \rangle \dashv p = C\langle t \rangle \dashv p$ 

```

```

proof (induct p arbitrary: C)
  case (Cons i p)
    have [dest]: length ss # p ∈ possc (More f ss D ts)  $\implies$  p ∈ possc D for f ss D ts
      by (auto simp: possc-def)
    show ?case using Cons
      by (cases C) (auto simp: nth-append-Cons)
  qed simp

end

```

3 Rewriting

```

theory Rewriting
  imports Terms-Positions
begin

```

3.1 Basic rewrite definitions

3.1.1 Rewrite steps with implicit signature declaration (encoded in the type)

```

inductive-set rrstep ::  $('f, 'v) \text{ term rel} \Rightarrow ('f, 'v) \text{ term rel}$  for  $\mathcal{R}$  where
  [intro]:  $(l, r) \in \mathcal{R} \implies (l \cdot \sigma, r \cdot \sigma) \in rrstep \mathcal{R}$ 

```

```

inductive-set rstep ::  $('f, 'v) \text{ term rel} \Rightarrow ('f, 'v) \text{ term rel}$  for  $\mathcal{R}$  where
   $(s, t) \in rrstep \mathcal{R} \implies (C\langle s \rangle, C\langle t \rangle) \in rstep \mathcal{R}$ 

```

3.1.2 Restrict relations to terms induced by a given signature

```

definition sig-step  $\mathcal{F} \mathcal{R} = \text{Restr } \mathcal{R} (\text{Collect } (\lambda s. \text{funas-term } s \subseteq \mathcal{F}))$ 

```

3.1.3 Rewriting under a given signature/restricted to ground terms

```

abbreviation srrstep  $\mathcal{F} \mathcal{R} \equiv \text{sig-step } \mathcal{F} (rrstep \mathcal{R})$ 

```

```

abbreviation srstep  $\mathcal{F} \mathcal{R} \equiv \text{sig-step } \mathcal{F} (rstep \mathcal{R})$ 

```

```

abbreviation gsrstep  $\mathcal{F} \mathcal{R} \equiv \text{Restr} (\text{sig-step } \mathcal{F} (rstep \mathcal{R})) (\text{Collect ground})$ 

```

3.1.4 Rewriting sequences involving a root step

```

abbreviation (input) relto ::  $'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ rel}$  where
  relto R S  $\equiv$   $S^* O R O S^*$ 

```

```

definition srsteps-with-root-step  $\mathcal{F} \mathcal{R} \equiv \text{relto} (\text{sig-step } \mathcal{F} (rrstep \mathcal{R})) (\text{srstep } \mathcal{F} \mathcal{R})$ 

```

3.2 Monotonicity laws

```

lemma Restr-mono:  $\text{Restr } r A \subseteq r$  by auto

```

```

lemma Restr-trancl-mono-set:  $(\text{Restr } r A)^+ \subseteq A \times A$ 

```

```

by (simp add: trancl-subset-Sigma)

lemma rrstep-rstep-mono: rrstep  $\mathcal{R}$   $\subseteq$  rstep  $\mathcal{R}$ 
  by (auto intro: rstep.intros[where ?C =  $\square$ , simplified])

lemma sig-step-mono:
   $\mathcal{F} \subseteq \mathcal{G} \implies \text{sig-step } \mathcal{F} \mathcal{R} \subseteq \text{sig-step } \mathcal{G} \mathcal{R}$ 
  by (auto simp: sig-step-def)

lemma sig-step-mono2:
   $\mathcal{R} \subseteq \mathcal{L} \implies \text{sig-step } \mathcal{F} \mathcal{R} \subseteq \text{sig-step } \mathcal{F} \mathcal{L}$ 
  by (auto simp: sig-step-def)

lemma srrstep-monp:
   $\mathcal{F} \subseteq \mathcal{G} \implies \text{srrstep } \mathcal{F} \mathcal{R} \subseteq \text{srrstep } \mathcal{G} \mathcal{R}$ 
  by (simp add: sig-step-mono)

lemma srstep-monp:
   $\mathcal{F} \subseteq \mathcal{G} \implies \text{srstep } \mathcal{F} \mathcal{R} \subseteq \text{srstep } \mathcal{G} \mathcal{R}$ 
  by (simp add: sig-step-mono)

lemma srsteps-monp:
   $\mathcal{F} \subseteq \mathcal{G} \implies (\text{srstep } \mathcal{F} \mathcal{R})^+ \subseteq (\text{srstep } \mathcal{G} \mathcal{R})^+$ 
  by (simp add: sig-step-mono trancl-mono-set)

lemma srsteps-eq-monp:
   $\mathcal{F} \subseteq \mathcal{G} \implies (\text{srstep } \mathcal{F} \mathcal{R})^* \subseteq (\text{srstep } \mathcal{G} \mathcal{R})^*$ 
  by (meson rtrancl-mono sig-step-mono subrelI subsetD trancl-into-rtrancl)

lemma srsteps-with-root-step-sig-mono:
   $\mathcal{F} \subseteq \mathcal{G} \implies \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \subseteq \text{srsteps-with-root-step } \mathcal{G} \mathcal{R}$ 
  unfolding srsteps-with-root-step-def
  by (simp add: relcomp-mono srrstep-monp srsteps-eq-monp)

3.3 Introduction, elimination, and destruction rules for sig-step, rstep, rrstep, srrstep, and srstep

lemma sig-stepE [elim, consumes 1]:
   $(s, t) \in \text{sig-step } \mathcal{F} \mathcal{R} \implies [(s, t) \in \mathcal{R} \implies \text{funas-term } s \subseteq \mathcal{F} \implies \text{funas-term } t \subseteq \mathcal{F} \implies P] \implies P$ 
  by (auto simp: sig-step-def)

lemma sig-stepI [intro]:
   $\text{funas-term } s \subseteq \mathcal{F} \implies \text{funas-term } t \subseteq \mathcal{F} \implies (s, t) \in \mathcal{R} \implies (s, t) \in \text{sig-step } \mathcal{F} \mathcal{R}$ 
  by (auto simp: sig-step-def)

lemma rrstep-subst [elim, consumes 1]:
  assumes  $(s, t) \in \text{rrstep } \mathcal{R}$ 

```

```

obtains  $l \ r \ \sigma$  where  $(l, r) \in \mathcal{R}$   $s = l \cdot \sigma$   $t = r \cdot \sigma$  using assms
by (meson rrstep.simps)

lemma rstep-imp-C-s-r:
assumes  $(s, t) \in rstep \mathcal{R}$ 
shows  $\exists C \ \sigma \ l \ r. \ (l, r) \in \mathcal{R} \wedge s = C\langle l \cdot \sigma \rangle \wedge t = C\langle r \cdot \sigma \rangle$  using assms
by (metis rrstep.cases rstep.simps)

lemma rstep-imp-C-s-r' [elim, consumes 1]:
assumes  $(s, t) \in rstep \mathcal{R}$ 
obtains  $C \ l \ r \ \sigma$  where  $(l, r) \in \mathcal{R}$   $s = C\langle l \cdot \sigma \rangle$   $t = C\langle r \cdot \sigma \rangle$  using assms
using rstep-imp-C-s-r by blast

lemma rrstep-basicI [intro]:
 $(l, r) \in \mathcal{R} \implies (l, r) \in rrstep \mathcal{R}$ 
by (metis rrstepp.intros rrstepp-rrstep-eq subst-apply-term-empty)

lemma rstep-ruleI [intro]:
 $(l, r) \in \mathcal{R} \implies (l, r) \in rstep \mathcal{R}$ 
using rrstep-rstep-mono by blast

lemma rstepI [intro]:
 $(l, r) \in \mathcal{R} \implies s = C\langle l \cdot \sigma \rangle \implies t = C\langle r \cdot \sigma \rangle \implies (s, t) \in rstep \mathcal{R}$ 
by (simp add: rrstep.intros rstep.intros)

lemma rstep-substI [intro]:
 $(s, t) \in rstep \mathcal{R} \implies (s \cdot \sigma, t \cdot \sigma) \in rstep \mathcal{R}$ 
by (auto elim!: rstep-imp-C-s-r' simp flip: subst-subst-compose)

lemma rstep-ctxtI [intro]:
 $(s, t) \in rstep \mathcal{R} \implies (C\langle s \rangle, C\langle t \rangle) \in rstep \mathcal{R}$ 
by (auto elim!: rstep-imp-C-s-r' simp flip: ctxt-ctxt-compose)

lemma srrstepD:
 $(s, t) \in srrstep \mathcal{F} \mathcal{R} \implies (s, t) \in rrstep \mathcal{R} \wedge \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}$ 
by (auto simp: sig-step-def)

lemma srstepD:
 $(s, t) \in (srstep \mathcal{F} \mathcal{R}) \implies (s, t) \in rstep \mathcal{R} \wedge \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}$ 
by (auto simp: sig-step-def)

lemma srstepsD:
 $(s, t) \in (srstep \mathcal{F} \mathcal{R})^+ \implies (s, t) \in (rstep \mathcal{R})^+ \wedge \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}$ 
unfolding sig-step-def using trancl-mono-set[OF Restr-mono]
by (auto simp: sig-step-def dest: subsetD[OF Restr-trancl-mono-set])

```

3.3.1 Transitive and reflexive closure distribution over *sig-step*

lemma *funas-rel-converse*:

funas-rel $\mathcal{R} \subseteq \mathcal{F} \Rightarrow \text{funas-rel } (\mathcal{R}^{-1}) \subseteq \mathcal{F}$ unfolding *funas-rel-def*
by *auto*

lemma *rstep-term-to-sig-r*:

assumes $(s, t) \in \text{rstep } \mathcal{R}$ and *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ and *funas-term* $s \subseteq \mathcal{F}$
shows $(s, \text{term-to-sig } \mathcal{F} v t) \in \text{rstep } \mathcal{R}$

proof –

from *assms(1)* **obtain** $C l r \sigma$ **where**

*: $s = C\langle l \cdot \sigma \rangle t = C\langle r \cdot \sigma \rangle (l, r) \in \mathcal{R}$ by *auto*

from *assms(2, 3)* *(3) **have** *funas-ctxt* $C \subseteq \mathcal{F}$ *funas-term* $l \subseteq \mathcal{F}$ *funas-term* $r \subseteq \mathcal{F}$

by (auto simp: *(1) *funas-rel-def* *funas-term-subst* *subset-eq*)

then have (*term-to-sig* $\mathcal{F} v s$, *term-to-sig* $\mathcal{F} v t$) $\in \text{rstep } \mathcal{R}$ using *(3)

by (auto simp: *(1, 2) *funas-ctxt-ctxt-well-def-hole-path*)

then show ?*thesis* using *assms(3)* by *auto*

qed

lemma *rstep-term-to-sig-l*:

assumes $(s, t) \in \text{rstep } \mathcal{R}$ and *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ and *funas-term* $t \subseteq \mathcal{F}$
shows (*term-to-sig* $\mathcal{F} v s$, t) $\in \text{rstep } \mathcal{R}$

proof –

from *assms(1)* **obtain** $C l r \sigma$ **where**

*: $s = C\langle l \cdot \sigma \rangle t = C\langle r \cdot \sigma \rangle (l, r) \in \mathcal{R}$ by *auto*

from *assms(2, 3)* *(3) **have** *funas-ctxt* $C \subseteq \mathcal{F}$ *funas-term* $l \subseteq \mathcal{F}$ *funas-term* $r \subseteq \mathcal{F}$

by (auto simp: *(2) *funas-rel-def* *funas-term-subst* *subset-eq*)

then have (*term-to-sig* $\mathcal{F} v s$, *term-to-sig* $\mathcal{F} v t$) $\in \text{rstep } \mathcal{R}$ using *(3)

by (auto simp: *(1, 2) *funas-ctxt-ctxt-well-def-hole-path*)

then show ?*thesis* using *assms(3)* by *auto*

qed

lemma *rstep-trancl-sig-step-r*:

assumes $(s, t) \in (\text{rstep } \mathcal{R})^+$ and *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ and *funas-term* $s \subseteq \mathcal{F}$

shows $(s, \text{term-to-sig } \mathcal{F} v t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ using *assms*

proof (*induct*)

case (*base* t)

then show ?*case* using *subsetD*[*OF fuans-term-term-to-sig, of - F v*]

by (auto simp: *rstep-term-to-sig-r sig-step-def intro!*: *r-into-trancl*)

next

case (*step* $t u$)

then have *st*: $(s, \text{term-to-sig } \mathcal{F} v t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ by *auto*

from *step(2)* **obtain** $C l r \sigma$ **where**

*: $t = C\langle l \cdot \sigma \rangle u = C\langle r \cdot \sigma \rangle (l, r) \in \mathcal{R}$ by *auto*

show ?*case*

proof (*cases ctxt-well-def-hole-path F C*)

case *True*

from *(3) *step(4)* **have** *funas-term* $l \subseteq \mathcal{F}$ *funas-term* $r \subseteq \mathcal{F}$ by (auto simp:

```

funas-rel-def)
  then have (term-to-sig  $\mathcal{F}$  v t, term-to-sig  $\mathcal{F}$  v u)  $\in$  rstep  $\mathcal{R}$ 
    using True step(2) *(3) unfolding *
    by auto
  then have (term-to-sig  $\mathcal{F}$  v t, term-to-sig  $\mathcal{F}$  v u)  $\in$  srstep  $\mathcal{F} \mathcal{R}$ 
    by (auto simp:- sig-step-def)
  then show ?thesis using st by auto
next
  case False
  then have term-to-sig  $\mathcal{F}$  v t = term-to-sig  $\mathcal{F}$  v u unfolding * by auto
  then show ?thesis using st by auto
qed
qed

lemma rstep-trancl-sig-step-l:
  assumes (s, t)  $\in$  (rstep  $\mathcal{R}$ )+ and funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  and funas-term t  $\subseteq \mathcal{F}$ 
  shows (term-to-sig  $\mathcal{F}$  v s, t)  $\in$  (srstep  $\mathcal{F} \mathcal{R}$ )+ using assms
proof (induct rule: converse-trancl-induct)
  case (base t)
  then show ?case using subsetD[OF fuans-term-term-to-sig, of -  $\mathcal{F}$  v]
    by (auto simp: rstep-term-to-sig-l sig-step-def intro!: r-into-trancl)
next
  case (step s u)
  then have st: (term-to-sig  $\mathcal{F}$  v u, t)  $\in$  (srstep  $\mathcal{F} \mathcal{R}$ )+ by auto
  from step(1) obtain C l r σ where
    #: s = C⟨l · σ⟩ u = C⟨r · σ⟩ (l, r)  $\in$   $\mathcal{R}$  by auto
  show ?case
  proof (cases ctxt-well-def-hole-path  $\mathcal{F}$  C)
    case True
    from *(3) step(4) have funas-term l  $\subseteq \mathcal{F}$  funas-term r  $\subseteq \mathcal{F}$  by (auto simp:
      funas-rel-def)
    then have (term-to-sig  $\mathcal{F}$  v s, term-to-sig  $\mathcal{F}$  v u)  $\in$  rstep  $\mathcal{R}$ 
      using True step(2) *(3) unfolding *
      by auto
    then have (term-to-sig  $\mathcal{F}$  v s, term-to-sig  $\mathcal{F}$  v u)  $\in$  srstep  $\mathcal{F} \mathcal{R}$ 
      by (auto simp:- sig-step-def)
    then show ?thesis using st by auto
  next
    case False
    then have term-to-sig  $\mathcal{F}$  v s = term-to-sig  $\mathcal{F}$  v u unfolding * by auto
    then show ?thesis using st by auto
  qed
qed

lemma rstep-srstepI [intro]:
  funas-rel  $\mathcal{R} \subseteq \mathcal{F} \implies$  funas-term s  $\subseteq \mathcal{F} \implies$  funas-term t  $\subseteq \mathcal{F} \implies$  (s, t)  $\in$  rstep
   $\mathcal{R} \implies$  (s, t)  $\in$  srstep  $\mathcal{F} \mathcal{R}$ 
  by blast

```

lemma *rsteps-srstepsI* [intro]:
funas-rel $\mathcal{R} \subseteq \mathcal{F} \implies$ *funas-term* $s \subseteq \mathcal{F} \implies$ *funas-term* $t \subseteq \mathcal{F} \implies (s, t) \in (\text{rstep } \mathcal{R})^+ \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
using *rstep-trancl-sig-step-r*[of $s t \mathcal{R} \mathcal{F}$]
by *auto*

lemma *rsteps-eq-srsteps-eqI* [intro]:
funas-rel $\mathcal{R} \subseteq \mathcal{F} \implies$ *funas-term* $s \subseteq \mathcal{F} \implies$ *funas-term* $t \subseteq \mathcal{F} \implies (s, t) \in (\text{rstep } \mathcal{R})^* \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
by (*auto simp add: rtrancl-eq-or-trancl*)

lemma *rsteps-eq-relcomp-srsteps-eq-relcompI* [intro]:
assumes *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ *funas-rel* $\mathcal{S} \subseteq \mathcal{F}$
and *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$
and *steps*: $(s, t) \in (\text{rstep } \mathcal{R})^* O (\text{rstep } \mathcal{S})^*$
shows $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O (\text{srstep } \mathcal{F} \mathcal{S})^*$
proof –
from *steps obtain u where* $(s, u) \in (\text{rstep } \mathcal{R})^*$ $(u, t) \in (\text{rstep } \mathcal{S})^*$ **by** *auto*
then have $(s, \text{term-to-sig } \mathcal{F} v u) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ $(\text{term-to-sig } \mathcal{F} v u, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$
using *rstep-trancl-sig-step-l*[*OF - assms(2)* *funas(2)*, of $u v$]
using *rstep-trancl-sig-step-r*[*OF - assms(1)* *funas(1)*, of $u v$] *funas*
by (*auto simp: rtrancl-eq-or-trancl*)
then show ?thesis **by** *auto*
qed

3.3.2 Distributivity laws

lemma *rstep-smycl-dist*:
 $(\text{rstep } \mathcal{R})^{\leftrightarrow} = \text{rstep } (\mathcal{R}^{\leftrightarrow})$
by (*auto simp: sig-step-def*)

lemma *sig-step-symcl-dist*:
 $(\text{sig-step } \mathcal{F} \mathcal{R})^{\leftrightarrow} = \text{sig-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$
by (*auto simp: sig-step-def*)

lemma *srstep-symcl-dist*:
 $(\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow} = \text{srstep } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$
by (*auto simp: sig-step-def*)

lemma *Restr-smycl-dist*:
 $(\text{Restr } \mathcal{R} \mathcal{A})^{\leftrightarrow} = \text{Restr } (\mathcal{R}^{\leftrightarrow}) \mathcal{A}$
by *auto*

lemmas *rew-symcl-inwards* = *rstep-smycl-dist* *sig-step-symcl-dist* *srstep-symcl-dist*
Restr-smycl-dist
lemmas *rew-symcl-outwards* = *rew-symcl-inwards*[*symmetric*]

```

lemma rstep-converse-dist:
  (rstep  $\mathcal{R}$ ) $^{-1}$  = rstep ( $\mathcal{R}^{-1}$ )
  by auto

lemma srrstep-converse-dist:
  (srrstep  $\mathcal{F}$   $\mathcal{R}$ ) $^{-1}$  = srrstep  $\mathcal{F}$  ( $\mathcal{R}^{-1}$ )
  by (fastforce simp: sig-step-def)

lemma sig-step-converse-rstep:
  (srstep  $\mathcal{F}$   $\mathcal{R}$ ) $^{-1}$  = sig-step  $\mathcal{F}$  ((rstep  $\mathcal{R}$ ) $^{-1}$ )
  by (meson converse.simps set-eq-subset sig-stepE(1) sig-stepI subrelI)

lemma srstep-converse-dist:
  (srstep  $\mathcal{F}$   $\mathcal{R}$ ) $^{-1}$  = srstep  $\mathcal{F}$  ( $\mathcal{R}^{-1}$ )
  by (auto simp: sig-step-def)

lemma Restr-converse: (Restr  $\mathcal{R}$  A) $^{-1}$  = Restr ( $\mathcal{R}^{-1}$ ) A
  by auto

lemmas rew-converse-inwards = rstep-converse-dist srrstep-converse-dist sig-step-converse-rstep
  srstep-converse-dist Restr-converse trancl-converse[symmetric] rtrancl-converse[symmetric]
lemmas rew-converse-outwards = rew-converse-inwards[symmetric]

lemma sig-step-rsteps-dist:
  funas-rel  $\mathcal{R} \subseteq \mathcal{F} \implies$  sig-step  $\mathcal{F}$  ((rstep  $\mathcal{R}$ ) $^+$ ) = (srstep  $\mathcal{F}$   $\mathcal{R}$ ) $^+$ 
  by (auto elim!: sig-stepE dest: srstepsD)

lemma sig-step-rsteps-eq-dist:
  funas-rel  $\mathcal{R} \subseteq \mathcal{F} \implies$  sig-step  $\mathcal{F}$  ((rstep  $\mathcal{R}$ ) $^+$ )  $\cup$  Id = (srstep  $\mathcal{F}$   $\mathcal{R}$ ) $^*$ 
  by (auto simp: rtrancl-eq-or-trancl sig-step-rsteps-dist)

lemma sig-step-conversion-dist:
  (srstep  $\mathcal{F}$   $\mathcal{R}$ ) $^{\leftrightarrow *}$  = (srstep  $\mathcal{F}$  ( $\mathcal{R}^{\leftrightarrow}$ )) $^*$ 
  by (auto simp: rtrancl-eq-or-trancl sig-step-rsteps-dist conversion-def srstep-symcl-dist)

lemma gsrstep-conversion-dist:
  (gsrstep  $\mathcal{F}$   $\mathcal{R}$ ) $^{\leftrightarrow *}$  = (gsrstep  $\mathcal{F}$  ( $\mathcal{R}^{\leftrightarrow}$ )) $^*$ 
  by (auto simp: conversion-def rew-symcl-inwards)

lemma sig-step-grstep-dist:
  gsrstep  $\mathcal{F}$   $\mathcal{R}$  = sig-step  $\mathcal{F}$  (Restr (rstep  $\mathcal{R}$ ) (Collect ground))
  by (auto simp: sig-step-def)

```

3.4 Substitution closure of srstep

```

lemma srstep-subst-closed:
  assumes  $(s, t) \in srstep \mathcal{F} \mathcal{R} \wedge x. funas-term (\sigma x) \subseteq \mathcal{F}$ 
  shows  $(s \cdot \sigma, t \cdot \sigma) \in srstep \mathcal{F} \mathcal{R}$  using assms
  by (auto simp: sig-step-def funas-term-subst)

```

```

lemma srsteps-subst-closed:
  assumes  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \wedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F}$ 
  shows  $(s \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$  using assms(1)
  proof (induct rule: trancl.induct)
    case (r-into-trancl s t) show ?case
      using srstep-subst-closed[OF r-into-trancl assms(2)]
      by auto
  next
    case (trancl-into-trancl s t u)
    from trancl-into-trancl(2) show ?case
      using srstep-subst-closed[OF trancl-into-trancl(3) assms(2)]
      by (meson rtrancl-into-trancl1 trancl-into-rtrancl)
  qed

lemma srsteps-eq-subst-closed:
  assumes  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \wedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F}$ 
  shows  $(s \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$  using assms srsteps-subst-closed
  by (metis rtrancl-eq-or-trancl)

lemma srsteps-eq-subst-relcomp-closed:
  assumes  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O (\text{srstep } \mathcal{F} \mathcal{S})^* \wedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F}$ 
  shows  $(s \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
  proof –
    from assms(1) obtain u where  $(s, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^* (u, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
    by auto
    then have  $(s \cdot \sigma, u \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^* (u \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
    using assms srsteps-eq-subst-closed
    by metis+
    then show ?thesis by auto
  qed

```

3.5 Context closure of srstep

```

lemma srstep-ctxt-closed:
  assumes funas-ctxt C  $\subseteq \mathcal{F}$  and  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ 
  shows  $(C\langle s \rangle, C\langle t \rangle) \in \text{srstep } \mathcal{F} \mathcal{R}$  using assms
  by (intro sig-stepI) (auto dest: srstepD)

lemma srsteps-ctxt-closed:
  assumes funas-ctxt C  $\subseteq \mathcal{F}$  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ 
  shows  $(C\langle s \rangle, C\langle t \rangle) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$  using assms(2) srstep-ctxt-closed[OF assms(1)]
  by (induct) force+

lemma srsteps-eq-ctxt-closed:
  assumes funas-ctxt C  $\subseteq \mathcal{F}$  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
  shows  $(C\langle s \rangle, C\langle t \rangle) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$  using srsteps-ctxt-closed[OF assms(1)]
  assms(2)

```

by (metis rtrancl_eq_or_trancl)

```
lemma sig-steps-join ctxt-closed:
  assumes funas-ctxt  $C \subseteq \mathcal{F}$  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$ 
  shows  $(C\langle s \rangle, C\langle t \rangle) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$  using srsteps-eq-ctxt-closed[OF assms(1)]
  assms(2)
  unfolding join-def rew-converse-inwards
  by auto
```

The following lemma shows that every rewrite sequence either contains a root step or is root stable

```
lemma nsrsteps-with-root-step-step-on-args:
  assumes  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$   $(s, t) \notin \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ 
  shows  $\exists f ss ts. s = \text{Fun } f ss \wedge t = \text{Fun } f ts \wedge \text{length } ss = \text{length } ts \wedge$ 
     $(\forall i < \text{length } ts. (ss ! i, ts ! i) \in (\text{srstep } \mathcal{F} \mathcal{R})^*)$  using assms
proof (induct)
  case (base  $t$ )
  obtain  $C l r \sigma$  where [simp]:  $s = C\langle l \cdot \sigma \rangle$   $t = C\langle r \cdot \sigma \rangle$  and  $r: (l, r) \in \mathcal{R}$ 
    using base(1) unfolding sig-step-def
    by blast
  then have funas: funas-ctxt  $C \subseteq \mathcal{F}$  funas-term  $(l \cdot \sigma) \subseteq \mathcal{F}$  funas-term  $(r \cdot \sigma)$ 
   $\subseteq \mathcal{F}$ 
    using base(1) by (auto simp: sig-step-def)
  from funas(2-)  $r$  have  $(l \cdot \sigma, r \cdot \sigma) \in \text{srrstep } \mathcal{F} \mathcal{R}$ 
    by (auto simp: sig-step-def)
  then have  $C = \text{Hole} \implies \text{False}$  using base(2)  $r$ 
    by (auto simp: srsteps-with-root-step-def)
  then obtain  $f ss D ts$  where [simp]:  $C = \text{More } f ss D ts$  by (cases  $C$ ) auto
  have  $(D\langle l \cdot \sigma \rangle, D\langle r \cdot \sigma \rangle) \in (\text{srstep } \mathcal{F} \mathcal{R})$  using base(1)  $r$  funas
    by (auto simp: sig-step-def)
  then show ?case using funas by (auto simp: nth-append-Cons)
next
  case (step  $t u$ ) show ?case
    proof (cases  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \vee (t, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}))$ 
      case True then show ?thesis using step(1, 2, 4)
        by (auto simp add: relcomp3_I rtrancl.rtrancl_into_rtrancl srsteps-with-root-step-def)
    next
      case False
      obtain  $C l r \sigma$  where *[simp]:  $t = C\langle l \cdot \sigma \rangle$   $u = C\langle r \cdot \sigma \rangle$  and  $r: (l, r) \in \mathcal{R}$ 
        using step(2) unfolding sig-step-def by blast
      then have funas: funas-ctxt  $C \subseteq \mathcal{F}$  funas-term  $(l \cdot \sigma) \subseteq \mathcal{F}$  funas-term  $(r \cdot \sigma)$ 
       $\subseteq \mathcal{F}$ 
        using step(2) by (auto simp: sig-step-def)
      from False have  $C \neq \text{Hole}$  using funas  $r$  by (force simp: sig-step-def)
      then obtain  $f ss D ts$  where c[simp]:  $C = \text{More } f ss D ts$  by (cases  $C$ ) auto
      from step(3, 1) False obtain  $g sss tss$  where
        **[simp]:  $s = \text{Fun } g sss$   $t = \text{Fun } g tss$  and  $l: \text{length } sss = \text{length } tss$  and
        inv:  $\forall i < \text{length } tss. (sss ! i, tss ! i) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
```

```

by auto
have [simp]:  $g = f$  and  $lc: Suc (length ss + length ts) = length sss$ 
  using  $l * (1)$  unfolding  $c$  using  $*(2)$  by auto
  then have  $\forall i < Suc (length ss + length ts). ((ss @ D(l \cdot \sigma) \# ts) ! i, (ss @ D(r \cdot \sigma) \# ts) ! i) \in (srstep \mathcal{F} \mathcal{R})^*$ 
    using * funas  $r$  by (auto simp: nth-append-Cons r-into-rtrancr rstep.intros
rstepI sig-stepI)
    then have  $i < length tss \implies (sss ! i, (ss @ D(r \cdot \sigma) \# ts) ! i) \in (srstep \mathcal{F}$ 
 $\mathcal{R})^*$  for  $i$ 
      using inv * l lc funas **
      by (auto simp: nth-append-Cons simp del: ** * split!: if-splits)
      then show ?thesis using inv l lc * unfolding  $c$ 
        by auto
qed
qed

lemma rstep-to-pos-replace:
assumes  $(s, t) \in rstep \mathcal{R}$ 
shows  $\exists p l r \sigma. p \in poss s \wedge (l, r) \in \mathcal{R} \wedge s \dashv p = l \cdot \sigma \wedge t = s[p \leftarrow r \cdot \sigma]$ 
proof -
  from assms obtain  $C l r \sigma$  where st:  $(l, r) \in \mathcal{R} s = C \langle l \cdot \sigma \rangle t = C \langle r \cdot \sigma \rangle$ 
    using rstep-imp-C-s-r by fastforce
  from st(2, 3) have *:  $t = s[\text{hole-pos } C \leftarrow r \cdot \sigma]$  by simp
  from this st show ?thesis unfolding *
    by (intro exI[of - hole-pos C]) auto
qed

lemma pos-replace-to-rstep:
assumes  $p \in poss s (l, r) \in \mathcal{R}$ 
and  $s \dashv p = l \cdot \sigma t = s[p \leftarrow r \cdot \sigma]$ 
shows  $(s, t) \in rstep \mathcal{R}$ 
using assms(1, 3-) replace-term-at-subt-at-id [of s p]
by (intro rstepI[OF assms(2), of s ctxt-at-pos s p σ])
  (auto simp add: ctxt-of-pos-term-apply-replace-at-ident)

end
theory Replace-Constant
imports Rewriting
begin

```

3.6 Removing/Replacing constants in a rewrite sequence that do not appear in the rewrite system

```

lemma funas-term-const-subst-conv:
   $(c, 0) \notin \text{funas-term } l \longleftrightarrow \neg (l \sqsupseteq \text{constT } c)$ 
proof (induct l)
  case (Fun f ts) then show ?case
    by auto (metis Fun-supt supteq-supt-conv term.inject(2))+
qed (auto simp add: supteq-var-imp-eq)

```

```

lemma fresh-const-single-step-replace:
  assumes lin: linear-sys  $\mathcal{R}$  and fresh:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
    and occ:  $p \in \text{poss-of-term}(\text{constT } c)$  s and step:  $(s, t) \in \text{rstep } \mathcal{R}$ 
  shows  $(s[p \leftarrow u], t) \in \text{rstep } \mathcal{R} \vee$ 
     $(\exists q. q \in \text{poss-of-term}(\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in \text{rstep } \mathcal{R})$ 
proof -
  from occ have const:  $p \in \text{poss } s \wedge s \dashv p = \text{constT } c$  by auto
  from step obtain C l r σ where t [simp]:  $s = C \langle l \cdot \sigma \rangle$   $t = C \langle r \cdot \sigma \rangle$ 
    and rule:  $(l, r) \in \mathcal{R}$  by blast
  from rule lin have lin: linear-term l linear-term r by fastforce+
  from fresh rule have nt-lhs:  $(c, 0) \notin \text{funas-term } l$  by (auto simp: funas-rel-def)
  consider (par)  $p \perp (\text{hole-pos } C) \mid (\text{below}) \text{ hole-pos } C \leq_p p$  using occ
    by (auto dest: poss-of-term-const-ctxt-apply)
  then show ?thesis
  proof cases
    case par
    then have possc:  $p \in \text{possc } C$  using const t possc-def by blast
    then have  $p \in \text{poss-of-term}(\text{constT } c) t (s[p \leftarrow u], t[p \leftarrow u]) \in \text{rstep } \mathcal{R}$ 
      using const par-hole-pos-replace-term-context-at[OF par]
      using possc-subt-at-ctxt-apply[OF possc par, of  $r \cdot \sigma \mid l \cdot \sigma$ ] rule
      by auto (metis par par-pos-replace-pres replace-at-hole-pos)
    then show ?thesis by blast
  next
    case below
    then obtain q where [simp]:  $p = \text{hole-pos } C @ q$  and poss:  $q \in \text{poss}(l \cdot \sigma)$ 
      using const position-less-eq-def
    by (metis (full-types) ctxt-at-pos-hole-pos ctxt-at-pos-subt-at-pos poss-append-poss
      t(1))
    have const:  $l \cdot \sigma \dashv q = \text{constT } c$  using const by auto
    from nt-lhs have  $\exists r. r \in \text{varposs } l \wedge r \leq_p q$  using const poss
    proof (induct l arbitrary: q)
      case (Var x)
      then show ?case by auto
    next
      case (Fun f ts)
      from Fun(1)[OF nth-mem, of hd q tl q] Fun(2-) obtain r where
         $r \in \text{varposs } (ts ! \text{hd } q) \wedge r \leq_p tl q$ 
        by (cases q) auto
      then show ?case using Fun(2- 4)
        by (intro exI[of - hd q # r]) auto
    qed
    then obtain x v where varposs:  $v \in \text{varposs } l \ w \leq_p q \ l \dashv v = \text{Var } x$ 
      unfolding varposs-def by blast
    let ?τ =  $\lambda x. \text{if Var } x = l \dashv v \text{ then } (\sigma x)[q \ -_p v \leftarrow u] \text{ else } \sigma x$ 
    show ?thesis
    proof (cases x ∈ vars-term r)
      case True
      then obtain q' where varposs-r:  $q' \in \text{varposs } r \ r \dashv q' = \text{Var } x$ 

```

```

by (metis vars-term-varposs-iff)
have (s[p ← u], t[(hole-pos C) @ q' @ (q −p v) ← u]) ∈ rstep R
  using lin varposs rule varposs-r
  by (auto simp: linear-term-varposs-subst-replace-term intro!: rstep ctxtI)
    (smt (verit, ccfv-SIG) pos-diff-append-itself rrstep.intros rrstep-rstep-mono
subset-eq term-subst-eq)
moreover have (hole-pos C) @ q' @ q −p v ∈ poss-of-term (constT c) t
  using varposs-r varposs poss const poss-poss-diffI[OF varposs(2) poss]
  using subst-at-append-dist[of q' q −p v r · σ]
  by (auto simp: poss-append-poss varposs-imp-poss[THEN subst-subt-at-dist]
varposs-imp-poss[THEN substD[OF subst-poss-mono]])
    (metis pos-les-eq-append-diff eval-term.simps(1) subst-subt-at-dist subst-at-append-dist
varposs-imp-poss)
ultimately show ?thesis by auto
next
  case False
  then have [simp]: r · σ = r · ?τ using varposs
    by (auto simp add: term-subst-eq-conv)
  have (s[p ← u], t) ∈ rstep R using rule varposs lin
    by (auto simp: linear-term-varposs-subst-replace-term)
  then show ?thesis by auto
qed
qed
qed

lemma fresh-const-steps-replace:
assumes lin: linear-sys R and fresh: (c, 0) ∉ funas-rel R
  and occ: p ∈ poss-of-term (constT c) s and steps: (s, t) ∈ (rstep R)+
shows (s[p ← u], t) ∈ (rstep R)+ ∨
  (∃ q. q ∈ poss-of-term (constT c) t ∧ (s[p ← u], t[q ← u]) ∈ (rstep R)+)
using steps occ
proof (induct arbitrary: p rule: converse-trancl-induct)
  case (base s)
  from fresh-const-single-step-replace[OF lin fresh base(2, 1)] show ?case
    by (meson r-into-trancl')
next
  case (step s t)
  from fresh-const-single-step-replace[OF lin fresh step(4, 1)]
  consider (a) (s[p ← u], t) ∈ rstep R | (b) ∃ q. q ∈ poss-of-term (constT c) t ∧
(s[p ← u], t[q ← u]) ∈ rstep R by blast
  then show ?case
  proof cases
    case a then show ?thesis using step(2)
      by auto
  next
    case b
    then obtain q where q ∈ poss-of-term (constT c) t (s[p ← u], t[q ← u]) ∈
rstep R by blast
    from step(3)[OF this(1)] this(2) show ?thesis
  
```

```

    by (metis trancl_into_trancl2)
qed
qed

lemma remove-const-lhs-steps:
assumes lin: linear-sys  $\mathcal{R}$  and fresh:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
and const:  $(c, 0) \notin \text{funas-term } t$ 
and pos:  $p \in \text{poss-of-term} (\text{constT } c) s$ 
and steps:  $(s, t) \in (\text{rstep } \mathcal{R})^+$ 
shows  $(s[p \leftarrow u], t) \in (\text{rstep } \mathcal{R})^+$  using steps pos const fresh-const-steps-replace
by (metis fresh funas-term-const-subst-conv lin poss-of-termE subst-at-imp-supteq)

```

Now we can show that we may remove a constant substitution

```

definition const-replace-closed where
const-replace-closed  $c U = (\forall s t u p.$ 
 $p \in \text{poss-of-term} (\text{constT } c) s \rightarrow (s, t) \in U \rightarrow$ 
 $(\exists q. q \in \text{poss-of-term} (\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U) \vee (s[p \leftarrow u], t) \in U)$ 

```

```

lemma const-replace-closedD:
assumes const-replace-closed  $c U p \in \text{poss-of-term} (\text{constT } c) s (s, t) \in U$ 
shows  $(s[p \leftarrow u], t) \in U \vee (\exists q. q \in \text{poss-of-term} (\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U)$  using assms
unfolding const-replace-closed-def by blast

```

```

lemma const-replace-closedI:
assumes  $\bigwedge s t u p. p \in \text{poss-of-term} (\text{constT } c) s \implies (s, t) \in U \implies$ 
 $(\exists q. q \in \text{poss-of-term} (\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U) \vee (s[p \leftarrow u], t) \in U$ 
shows const-replace-closed  $c U$  using assms
unfolding const-replace-closed-def
by auto

```

```

abbreviation const-subst :: ' $f \Rightarrow v \Rightarrow (f, v)$  Term.term where
const-subst  $c \equiv (\lambda x. \text{Fun } c [])$ 

```

```

lemma lin-fresh-rstep-const-replace-closed:
linear-sys  $\mathcal{R} \implies (c, 0) \notin \text{funas-rel } \mathcal{R} \implies \text{const-replace-closed } c (\text{rstep } \mathcal{R})$ 
using fresh-const-single-step-replace[of  $\mathcal{R} c$ ]
by (intro const-replace-closedI) (auto simp: constT-nfunas-term-poss-of-term-empty,
blast)

```

```

lemma const-replace-closed-symcl:
const-replace-closed  $c U \implies \text{const-replace-closed } c (U^=)$ 
unfolding const-replace-closed-def
by (metis Un-iff pair-in-Id-conv)

```

```

lemma const-replace-closed-trancl:
const-replace-closed  $c U \implies \text{const-replace-closed } c (U^+)$ 

```

```

proof (intro const-replace-closedI)
  fix s t u p
  assume const: const-replace-closed c U and wit: p ∈ poss-of-term (constT c) s
  and steps :(s, t) ∈ U+
  show ( $\exists q. q \in \text{poss-of-term}(\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U^+ \vee (s[p \leftarrow u], t) \in U^+$ ) using steps wit
  proof (induct arbitrary: p rule: converse-trancl-induct)
    case (base s)
      show ?case using const-replace-closedD[OF const base(2, 1)]
      by blast
    next
      case (step s v)
        from const-replace-closedD[OF const step(4, 1)]
        consider (a) (s[p ← u], v) ∈ U | (b)  $\exists q. q \in \text{poss-of-term}(\text{constT } c) v \wedge (s[p \leftarrow u], v[q \leftarrow u]) \in U$  by auto
        then show ?case
        proof cases
          case a then show ?thesis using step(2)
          by (meson trancl-into-trancl2)
        next
          case b
          then show ?thesis using step(3, 4) by (meson trancl-into-trancl2)
        qed
      qed
    qed

```

lemma *const-replace-closed-rtrancl*:

$$\text{const-replace-closed } c \text{ } U \implies \text{const-replace-closed } c \text{ } (U^*)$$

```

proof (intro const-replace-closedI)
  fix s t u p
  assume const: const-replace-closed c U and wit: p ∈ poss-of-term (constT c) s
  and steps :(s, t) ∈ U*
  show ( $\exists q. q \in \text{poss-of-term}(\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U^* \vee (s[p \leftarrow u], t) \in U^*$ ) using const-replace-closed-trancl[OF const] wit steps
  by (metis const-replace-closedD rtrancl-eq-or-trancl)
qed

```

lemma *const-replace-closed-relcomp*:

$$\text{const-replace-closed } c \text{ } U \implies \text{const-replace-closed } c \text{ } V \implies \text{const-replace-closed } c \text{ } (U \text{ } O \text{ } V)$$

```

proof (intro const-replace-closedI)
  fix s t u p
  assume const: const-replace-closed c U const-replace-closed c V
  and wit: p ∈ poss-of-term (constT c) s and step: (s, t) ∈ U O V
  from step obtain w where w: (s, w) ∈ U (w, t) ∈ V by auto
  from const-replace-closedD[OF const(1) wit this(1)]
  consider (a) (s[p ← u], w) ∈ U | (b)  $(\exists q. q \in \text{poss-of-term}(\text{constT } c) w \wedge (s[p \leftarrow u], w[q \leftarrow u]) \in U)$ 

```

```

by auto
then show ( $\exists q. q \in \text{poss-of-term} (\text{const}T c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U O V$ )  $\vee (s[p \leftarrow u], t) \in U O V$ 
proof cases
  case a
    then show ?thesis using w(2) by auto
  next
    case b
      then show ?thesis using const-replace-closedD[OF const(2) - w(2)]
      by (meson relcomp.simps)
    qed
  qed

```

const-replace-closed allow the removal of a fresh constant substitution

```

lemma const-replace-closed-remove-subst-lhs:
  assumes repcl: const-replace-closed c U
  and const: (c, 0)  $\notin$  funas-term t
  and steps: ( $s \cdot \text{const-subst } c, t \in U$ )
  shows ( $s, t \in U$ ) using steps
proof (induct card (varpos s) arbitrary: s)
  case (Suc n)
  obtain p ps where vl: varpos s = insert p ps p  $\notin$  ps using Suc(2)
  by (metis card-le-Suc-iff dual-order.refl)
  let ?s =  $s[p \leftarrow \text{Fun } c []]$  have vp:  $p \in \text{varpos } s$  using vl by auto
  then have [simp]: ?s · const-subst c = s · const-subst c
  by (induct s arbitrary: p) (auto simp: nth-list-update map-update intro!: nth-equalityI)
  have varpos ?s = ps using vl varpos-ground-replace-at[of p s constT c]
  by auto
  then have n = card (varpos ?s) using vl Suc(2) by (auto simp: card-insert-if finite-varpos)
  from Suc(1)[OF this] have IH: ( $s[p \leftarrow \text{const}T c], t \in U$ )  $p \in \text{poss-of-term}$  ( $\text{const}T c$ )  $s[p \leftarrow \text{const}T c]$ 
  using Suc(2, 3) vl poss-of-term-replace-term-at varpos-imp-poss vp
  using  $\langle s[p \leftarrow \text{const}T c] \cdot \text{const-subst } c = s \cdot \text{const-subst } c \rangle$ 
  by fastforce+
  show ?case using const-replace-closedD[OF repcl] const IH(2, 1)
  by (metis constT-nfunas-term-poss-of-term-empty empty-iff replace-term-at-same-pos replace-term-at-subt-at-id)
  qed (auto simp: ground-subst-apply card-eq-0-iff finite-varpos varpos-empty-gound)

```

3.6.1 Removal lemma applied to various rewrite relations

```

lemma remove-const-subst-step-lhs:
  assumes lin: linear-sys R and fresh: (c, 0)  $\notin$  funas-rel R
  and const: (c, 0)  $\notin$  funas-term t
  and step: ( $s \cdot \text{const-subst } c, t \in (rstep \mathcal{R})$ )
  shows ( $s, t \in (rstep \mathcal{R})$ )
  using lin-fresh-rstep-const-replace-closed[OF lin fresh, THEN const-replace-closed-remove-subst-lhs]
  const step
  by blast

```

```

lemma remove-const-subst-steps-lhs:
  assumes lin: linear-sys  $\mathcal{R}$  and fresh:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
  and const:  $(c, 0) \notin \text{funas-term } t$ 
  and steps:  $(s \cdot \text{const-subst } c, t) \in (\text{rstep } \mathcal{R})^+$ 
  shows  $(s, t) \in (\text{rstep } \mathcal{R})^+$ 
  using lin-fresh-rstep-const-replace-closed[THEN const-replace-closed-trancl,
    OF lin fresh, THEN const-replace-closed-remove-subst-lhs]
  using const steps
  by blast

lemma remove-const-subst-steps-eq-lhs:
  assumes lin: linear-sys  $\mathcal{R}$  and fresh:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
  and const:  $(c, 0) \notin \text{funas-term } t$ 
  and steps:  $(s \cdot \text{const-subst } c, t) \in (\text{rstep } \mathcal{R})^*$ 
  shows  $(s, t) \in (\text{rstep } \mathcal{R})^*$  using steps const
  by (cases s = t) (auto simp: rtrancl-eq-or-trancl funas-term-subst ground-subst-apply
  vars-term-empty-ground
  dest: remove-const-subst-steps-lhs[OF lin fresh const] split: if-splits)

lemma remove-const-subst-steps-rhs:
  assumes lin: linear-sys  $\mathcal{R}$  and fresh:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
  and const:  $(c, 0) \notin \text{funas-term } s$ 
  and steps:  $(s, t \cdot \text{const-subst } c) \in (\text{rstep } \mathcal{R})^+$ 
  shows  $(s, t) \in (\text{rstep } \mathcal{R})^+$ 
  proof –
    from steps have revs:  $(t \cdot \text{const-subst } c, s) \in (\text{rstep } (\mathcal{R}^{-1}))^+$ 
    unfolding rew-converse-outwards by auto
    have  $(t, s) \in (\text{rstep } (\mathcal{R}^{-1}))^+$  using assms
    by (intro remove-const-subst-steps-lhs[OF --- revs]) (auto simp: funas-rel-def)
    then show ?thesis unfolding rew-converse-outwards by auto
  qed

lemma remove-const-subst-steps-eq-rhs:
  assumes lin: linear-sys  $\mathcal{R}$  and fresh:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
  and const:  $(c, 0) \notin \text{funas-term } s$ 
  and steps:  $(s, t \cdot \text{const-subst } c) \in (\text{rstep } \mathcal{R})^*$ 
  shows  $(s, t) \in (\text{rstep } \mathcal{R})^*$ 
  using steps const
  by (cases s = t) (auto simp: rtrancl-eq-or-trancl funas-term-subst ground-subst-apply
  vars-term-empty-ground
  dest!: remove-const-subst-steps-rhs[OF lin fresh const] split: if-splits)

```

Main lemmas

```

lemma const-subst-eq-ground-eq:
  assumes  $s \cdot \text{const-subst } c = t \cdot \text{const-subst } d$   $c \neq d$ 
  and  $(c, 0) \notin \text{funas-term } t$   $(d, 0) \notin \text{funas-term } s$ 
  shows  $s = t$  using assms
  proof (induct s arbitrary: t)

```

```

case (Var x) then show ?case by (cases t) auto
next
  case (Fun f ts)
    from Fun(2-) obtain g us where [simp]: t = Fun g us by (cases t) auto
    have [simp]: g = f and l: length ts = length us using Fun(2)
      by (auto intro: map-eq-imp-length-eq)
    have i < length ts  $\implies$  ts ! i = us ! i for i
      using Fun(1)[OF nth-mem, of i us ! i for i] Fun(2-) l
      by (auto simp: map-eq-conv')
    then show ?case using l
      by (auto intro: nth-equalityI)
qed

```

```

lemma remove-const-subst-steps:
  assumes linear-sys R and (c, 0)notin funas-rel R and (d, 0)notin funas-rel R
  and c neq d (c, 0)notin funas-term t (d, 0)notin funas-term s
  and (s · const-subst c, t · const-subst d) ∈ (rstep R)*
  shows (s, t) ∈ (rstep R)*
proof (cases s · const-subst c = t · const-subst d)
  case True
    from const-subst-eq-ground-eq[OF this] assms(4 – 6) show ?thesis by auto
  next
    case False
    then have step: (s · const-subst c, t · const-subst d) ∈ (rstep R)⁺ using assms(7)
      by (auto simp: rtrancl-eq-or-trancl)
    then have (s, t · const-subst d) ∈ (rstep R)⁺ using assms
      by (intro remove-const-subst-steps-lhs[OF --- step] (auto simp: funas-term-subst))
    from remove-const-subst-steps-rhs[OF --- this] show ?thesis using assms
      by auto
qed

```

```

lemma remove-const-subst-relcomp-lhs:
  assumes sys: linear-sys R linear-sys S
  and fr: (c, 0)notin funas-rel R and fs:(c, 0)notin funas-rel S
  and funas: (c, 0)notin funas-term t
  and seq: (s · const-subst c, t) ∈ (rstep R)* O (rstep S)*
  shows (s, t) ∈ (rstep R)* O (rstep S)* using seq
  using lin-fresh-rstep-const-replace-closed[OF sys(1) fr, THEN const-replace-closed-rtrancl]
  using lin-fresh-rstep-const-replace-closed[OF sys(2) fs, THEN const-replace-closed-rtrancl]
  using const-replace-closed-relcomp
  by (intro const-replace-closed-remove-subst-lhs[OF - funas seq]) force

```

```

lemma remove-const-subst-relcomp-rhs:
  assumes sys: linear-sys R linear-sys S
  and fr: (c, 0)notin funas-rel R and fs:(c, 0)notin funas-rel S
  and funas: (c, 0)notin funas-term s
  and seq: (s, t · const-subst c) ∈ (rstep R)* O (rstep S)*
  shows (s, t) ∈ (rstep R)* O (rstep S)*

```

```

proof -
  from seq have  $(t \cdot \text{const-subst } c, s) \in ((rstep \mathcal{R})^* O (rstep \mathcal{S})^*)^{-1}$ 
    by auto
  then have  $(t \cdot \text{const-subst } c, s) \in ((rstep \mathcal{S})^*)^{-1} O ((rstep \mathcal{R})^*)^{-1}$ 
    using converse-relcomp by blast
  note seq = this[unfolded rtrancl-converse[symmetric] rew-converse-inwards]
  from sys fr fs have linear-sys  $(\mathcal{S}^{-1})$  linear-sys  $(\mathcal{R}^{-1})$   $(c, 0) \notin \text{funas-rel } (\mathcal{S}^{-1})$ 
 $(c, 0) \notin \text{funas-rel } (\mathcal{R}^{-1})$ 
  by (auto simp: funas-rel-def)
  from remove-const-subst-relcomp-lhs[OF this funas seq]
  have  $(t, s) \in (rstep (\mathcal{S}^{-1}))^* O (rstep (\mathcal{R}^{-1}))^*$  by simp
  then show ?thesis
    unfolding rew-converse-outwards converse-relcomp[symmetric]
    by simp
  qed

```

```

lemma remove-const-subst-relcomp:
  assumes sys: linear-sys  $\mathcal{R}$  linear-sys  $\mathcal{S}$ 
  and fr:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$   $(d, 0) \notin \text{funas-rel } \mathcal{R}$ 
  and fs:  $(c, 0) \notin \text{funas-rel } \mathcal{S}$   $(d, 0) \notin \text{funas-rel } \mathcal{S}$ 
  and diff:  $c \neq d$  and funas:  $(c, 0) \notin \text{funas-term } t$   $(d, 0) \notin \text{funas-term } s$ 
  and seq:  $(s \cdot \text{const-subst } c, t \cdot \text{const-subst } d) \in (rstep \mathcal{R})^* O (rstep \mathcal{S})^*$ 
  shows  $(s, t) \in (rstep \mathcal{R})^* O (rstep \mathcal{S})^*$ 
proof -
  from diff funas(1) have  $*: (c, 0) \notin \text{funas-term } (t \cdot \text{const-subst } d)$ 
    by (auto simp: funas-term-subst)
  show ?thesis using remove-const-subst-relcomp-rhs[OF sys fr(2) fs(2) funas(2)
    remove-const-subst-relcomp-lhs[OF sys fr(1) fs(1) * seq]]
    by blast
  qed
end

```

4 Confluence related rewriting properties

```

theory Rewriting-Properties
  imports Rewriting
    Abstract-Rewriting.Abstract-Rewriting
  begin

```

4.1 Confluence related ARS properties

```

definition SCR-on  $r A \equiv (\forall a \in A. \forall b c. (a, b) \in r \wedge (a, c) \in r \longrightarrow$ 
 $(\exists d. (b, d) \in r^= \wedge (c, d) \in r^*))$ 

```

```

abbreviation SCR :: ' $a$  rel  $\Rightarrow$  bool' where SCR  $r \equiv$  SCR-on  $r$  UNIV

```

```

definition NFP-on :: ' $a$  rel  $\Rightarrow$  ' $a$  set  $\Rightarrow$  bool' where

```

$$NFP\text{-}on\ r\ A \longleftrightarrow (\forall a \in A. \forall b c. (a, b) \in r^* \wedge (a, c) \in r^! \longrightarrow (b, c) \in r^*)$$

abbreviation $NFP :: 'a rel \Rightarrow bool$ **where** $NFP\ r \equiv NFP\text{-}on\ r\ UNIV$

definition $CE\text{-}on :: 'a rel \Rightarrow 'a rel \Rightarrow 'a set \Rightarrow bool$ **where**
 $CE\text{-}on\ r\ s\ A \longleftrightarrow (\forall a \in A. \forall b. (a, b) \in r^{\leftrightarrow*} \longleftrightarrow (a, b) \in s^{\leftrightarrow*})$

abbreviation $CE :: 'a rel \Rightarrow 'a rel \Rightarrow bool$ **where** $CE\ r\ s \equiv CE\text{-}on\ r\ s\ UNIV$

definition $NE\text{-}on :: 'a rel \Rightarrow 'a rel \Rightarrow 'a set \Rightarrow bool$ **where**
 $NE\text{-}on\ r\ s\ A \longleftrightarrow (\forall a \in A. \forall b. (a, b) \in r^! \longleftrightarrow (a, b) \in s^!)$

abbreviation $NE :: 'a rel \Rightarrow 'a rel \Rightarrow bool$ **where** $NE\ r\ s \equiv NE\text{-}on\ r\ s\ UNIV$

4.2 Signature closure of relation to model multihole context closure

lemma $all\text{-}ctxt\text{-}closed\text{-}sig\text{-}rsteps$ [*intro*]:

fixes $\mathcal{R} :: ('f, 'v) term rel$

shows $all\text{-}ctxt\text{-}closed\ \mathcal{F} ((srstep\ \mathcal{F}\ \mathcal{R})^*)$ (**is** $all\text{-}ctxt\text{-}closed - (?R^*)$)

proof (*rule trans-ctxt-sig-imp-all-ctxt-closed*)

fix $C :: ('f, 'v) ctxt$ **and** $s\ t :: ('f, 'v) term$

assume $C : funas-ctxt C \subseteq \mathcal{F}$

and $s : funas-term s \subseteq \mathcal{F}$

and $t : funas-term t \subseteq \mathcal{F}$

and $steps : (s, t) \in ?R^*$

from $steps$

show $(C \langle s \rangle, C \langle t \rangle) \in ?R^*$

proof (*induct*)

case ($step\ t\ u$)

from $step(2)$ **have** $tu : (t, u) \in rstep\ \mathcal{R}$ **and** $t : funas-term t \subseteq \mathcal{F}$ **and** $u : funas-term u \subseteq \mathcal{F}$

by (*auto dest: srstepD*)

have $(C \langle t \rangle, C \langle u \rangle) \in ?R$ **by** (*rule sig-stepI[OF -- rstep-ctxtI[OF tu]], insert C t u, auto*)

with $step(3)$ **show** $?case$ **by** *auto*

qed auto

qed (*auto intro: trans-rtrancI*)

lemma $sigstep\text{-}trancI\text{-}funas$:

$(s, t) \in (srstep\ \mathcal{F}\ \mathcal{S})^* \implies s \neq t \implies funas-term s \subseteq \mathcal{F}$

$(s, t) \in (srstep\ \mathcal{F}\ \mathcal{S})^* \implies s \neq t \implies funas-term t \subseteq \mathcal{F}$

by (*auto simp: rtrancI-eq-or-trancI dest: srstepsD*)

lemma $srrstep\text{-}to\text{-}srestep$:

$(s, t) \in srrstep\ \mathcal{F}\ \mathcal{R} \implies (s, t) \in srstep\ \mathcal{F}\ \mathcal{R}$

by (*meson in-mono rrstep-rstep-mono sig-step-mono2*)

lemma $srsteps\text{-}with\text{-}root\text{-}step\text{-}srstepsD$:

$(s, t) \in srsteps\text{-with-root-step } \mathcal{F} \mathcal{R} \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^+$
by (auto dest: *srrstep-to-srestep simp: srsteps-with-root-step-def*)

lemma *srsteps-with-root-step-sresteps-eqD*:
 $(s, t) \in srsteps\text{-with-root-step } \mathcal{F} \mathcal{R} \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^*$
by (auto dest: *srrstep-to-srestep simp: srsteps-with-root-step-def*)

lemma *symcl-srstep-conversion*:
 $(s, t) \in srstep \mathcal{F} (\mathcal{R}^\leftrightarrow) \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
by (simp add: *conversion-def rstep-converse-dist srstep-symcl-dist*)

lemma *symcl-srsteps-conversion*:
 $(s, t) \in (srstep \mathcal{F} (\mathcal{R}^\leftrightarrow))^* \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
by (simp add: *conversion-def rstep-converse-dist srstep-symcl-dist*)

lemma *NF-srstep-args*:
assumes $\text{Fun } f \ ss \in NF \ (srstep \mathcal{F} \mathcal{R}) \ \text{funas-term } (\text{Fun } f \ ss) \subseteq \mathcal{F} \ i < \text{length } ss$
shows $ss ! i \in NF \ (srstep \mathcal{F} \mathcal{R})$
proof (rule ccontr)
assume $ss ! i \notin NF \ (srstep \mathcal{F} \mathcal{R})$
then obtain t **where** $\text{step}: (ss ! i, t) \in rstep \mathcal{R} \ \text{funas-term } t \subseteq \mathcal{F}$
by (auto simp: *NF-def sig-step-def*)
from *assms(3)* **have** [simp]: $\text{Suc}(\text{length } ss - \text{Suc } 0) = \text{length } ss$ **by** auto
from *rstep-ctxtI[OF step(1), where ?C = ctxt-at-pos (Fun f ss)[i]]*
have $(\text{Fun } f \ ss, \text{Fun } f \ (ss[i := t])) \in srstep \mathcal{F} \mathcal{R}$ **using** *step(2) assms(2, 3)*
by (auto simp: *sig-step-def upd-conv-take-nth-drop min-def UN-subset-iff*
dest: *in-set-takeD in-set-dropD simp flip: id-take-nth-drop*)
then show *False* **using** *assms(1)*
by (auto simp: *NF-def*)
qed

lemma *all-ctxt-closed-srstep-conversions* [simp]:
 $\text{all-ctxt-closed } \mathcal{F} ((srstep \mathcal{F} \mathcal{R})^{\leftrightarrow*})$
by (simp add: *all-ctxt-closed-sig-rsteps sig-step-conversion-dist*)

lemma *NFP-stepD*:
 $NFP \ r \implies (a, b) \in r^* \implies (a, c) \in r^* \implies c \in NF \ r \implies (b, c) \in r^*$
by (auto simp: *NFP-on-def*)

lemma *NE-symmetric*: $NE \ r \ s \implies NE \ s \ r$
unfolding *NE-on-def* **by** auto

lemma *CE-symmetric*: $CE \ r \ s \implies CE \ s \ r$
unfolding *CE-on-def* **by** auto

Reducing the quantification over rewrite sequences for properties *CR* ...

to rewrite sequences containing at least one root step

```

lemma all ctxt closed sig reflE:
  all ctxt closed  $\mathcal{F}$   $\mathcal{R} \implies$  funas term  $t \subseteq \mathcal{F} \implies (t, t) \in \mathcal{R}$ 
proof (induct  $t$ )
  case ( $\text{Fun } f \text{ ts}$ )
    from  $\text{Fun}(1)[\text{OF nth-mem } \text{Fun}(2)] \text{ Fun}(3)$ 
    have  $i < \text{length ts} \implies \text{funas-term } (\text{ts} ! i) \subseteq \mathcal{F} \quad i < \text{length ts} \implies (\text{ts} ! i, \text{ts} ! i) \in \mathcal{R}$  for  $i$ 
    by (auto simp: SUP-le-iff)
    then show ?case using all ctxt closedD[ $\text{OF Fun}(2)$ ]  $\text{Fun}(3)$ 
    by simp
  qed (simp add: all ctxt closed-def)

```

```

lemma all ctxt closed relcomp [intro]:
   $(\bigwedge s t. (s, t) \in \mathcal{R} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}) \implies$ 
   $(\bigwedge s t. (s, t) \in \mathcal{S} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}) \implies$ 
  all ctxt closed  $\mathcal{F}$   $\mathcal{R} \implies$  all ctxt closed  $\mathcal{F}$   $\mathcal{S} \implies$  all ctxt closed  $\mathcal{F}$  ( $\mathcal{R} O \mathcal{S}$ )
proof –
  assume funas:  $(\bigwedge s t. (s, t) \in \mathcal{R} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F})$ 
   $(\bigwedge s t. (s, t) \in \mathcal{S} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F})$ 
  and ctxt-cl: all ctxt closed  $\mathcal{F}$   $\mathcal{R}$  all ctxt closed  $\mathcal{F}$   $\mathcal{S}$ 
  {fix f ss ts assume ass:  $(f, \text{length ss}) \in \mathcal{F}$   $\text{length ss} = \text{length ts} \wedge i. i < \text{length ts} \implies (ss ! i, ts ! i) \in (\mathcal{R} O \mathcal{S})$ 
    $\wedge i. i < \text{length ts} \implies \text{funas-term } (\text{ts} ! i) \subseteq \mathcal{F} \wedge i. i < \text{length ts} \implies \text{funas-term } (ss ! i) \subseteq \mathcal{F}$ 
   from ass(2, 3) obtain us where us:  $\text{length us} = \text{length ts} \wedge i. i < \text{length ts} \implies (ss ! i, us ! i) \in \mathcal{R}$ 
    $\wedge i. i < \text{length ts} \implies (us ! i, ts ! i) \in \mathcal{S}$ 
   using Ex-list-of-length-P[ $\text{of length ts } \lambda x i. (ss ! i, x) \in \mathcal{R} \wedge (x, ts ! i) \in \mathcal{S}$ ]
   by auto
   from funas have fu:  $\bigwedge i. i < \text{length us} \implies \text{funas-term } (us ! i) \subseteq \mathcal{F}$  using
   us ass(4, 5)
   by (auto simp: funas-rel-def) (metis in-mono)
   have ( $\text{Fun } f \text{ ss}, \text{Fun } f \text{ us}$ )  $\in \mathcal{R}$  using ass(1, 2, 5) us(1, 2) fu
   by (intro all ctxt closedD[ $\text{OF ctxt-cl}(1), \text{of } f$ ]) auto
   moreover have ( $\text{Fun } f \text{ us}, \text{Fun } f \text{ ts}$ )  $\in \mathcal{S}$  using ass(1, 2, 4) us(1, 3) fu
   by (intro all ctxt closedD[ $\text{OF ctxt-cl}(2), \text{of } f$ ]) auto
   ultimately have ( $\text{Fun } f \text{ ss}, \text{Fun } f \text{ ts}$ )  $\in \mathcal{R} O \mathcal{S}$  by auto}
  moreover
  {fix x have ( $\text{Var } x, \text{Var } x$ )  $\in \mathcal{R}$  ( $\text{Var } x, \text{Var } x$ )  $\in \mathcal{S}$  using ctxt-cl
   by (auto simp: all ctxt closed-def)
   then have ( $\text{Var } x, \text{Var } x$ )  $\in \mathcal{R} O \mathcal{S}$  by auto}
  ultimately show ?thesis by (auto simp: all ctxt closed-def)
  qed

```

abbreviation prop-to-rel $P \equiv \{(s, t) | s t. P s t\}$

```

abbreviation prop-mctxt-cl  $\mathcal{F}$   $P \equiv$  all ctxt-closed  $\mathcal{F}$  (prop-to-rel  $P$ )

lemma prop-mctxt-cl-Var:
  prop-mctxt-cl  $\mathcal{F}$   $P \implies P (\text{Var } x) (\text{Var } x)$ 
  by (simp add: all ctxt-closed-def)

lemma prop-mctxt-cl-refl-on:
  prop-mctxt-cl  $\mathcal{F}$   $P \implies$  funas-term  $t \subseteq \mathcal{F} \implies P t t$ 
  using all ctxt-closed-sig-reflE by blast

lemma prop-mctxt-cl-reflcl-on:
  prop-mctxt-cl  $\mathcal{F}$   $P \implies$  funas-term  $s \subseteq \mathcal{F} \implies P s s$ 
  using all ctxt-closed-sig-reflE by blast

lemma reduction-relations-to-root-step:
  assumes  $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies P s t$ 
  and cl: prop-mctxt-cl  $\mathcal{F}$   $P$ 
  and well: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
  and steps:  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
  shows  $P s t$  using steps well
  proof (induct s arbitrary: t)
    case ( $\text{Var } x$ )
    have ( $\text{Var } x, t \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \implies (\text{Var } x, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ )
      using nsrsteps-with-root-step-step-on-args by blast
    from assms(1)[OF this] show ?case using Var cl
      by (auto simp: rtrancl-eq-or-trancl dest: all ctxt-closed-sig-reflE)
  next
    case ( $\text{Fun } f ss$ ) note IH = this show ?case
    proof (cases Fun f ss = t)
      case True show ?thesis using IH(2, 4) unfolding True
      by (intro prop-mctxt-cl-reflcl-on[OF cl]) auto
  next
    case False
    then have step:  $(\text{Fun } f ss, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$  using IH(2)
    by (auto simp: refl rtrancl-eq-or-trancl)
    show ?thesis
    proof (cases  $(\text{Fun } f ss, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ )
      case False
      from nsrsteps-with-root-step-step-on-args[OF step this] obtain ts
        where *[simp]:  $t = \text{Fun } f ts$  and inv:  $\text{length } ss = \text{length } ts$ 
         $\forall i < \text{length } ts. (ss ! i, ts ! i) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
        by auto
        have funas:  $(f, \text{length } ts) \in \mathcal{F} \quad \forall i < \text{length } ts. \text{funas-term } (ss ! i) \subseteq \mathcal{F} \wedge$ 
         $\text{funas-term } (ts ! i) \subseteq \mathcal{F}$ 
        using IH(3, 4) step inv(1) by (auto simp: UN-subset-iff)
        then have t:  $\forall i < \text{length } ts. P (ss ! i) (ts ! i)$ 
        using prop-mctxt-cl-reflcl-on[OF cl] IH(1) inv
        by (auto simp: rtrancl-eq-or-trancl)

```

```

then show ?thesis unfolding * using funas_inv(1) all ctxt-closedD[OF cl]
  by auto
qed (auto simp add: assms(1))
qed
qed

```

abbreviation *comp-rrstep-rel* $\mathcal{F} \mathcal{R} \mathcal{S} \equiv srsteps\text{-with}\text{-root}\text{-step } \mathcal{F} \mathcal{R} O(srstep \mathcal{F} \mathcal{S})^* \cup (srstep \mathcal{F} \mathcal{R})^* O srsteps\text{-with}\text{-root}\text{-step } \mathcal{F} \mathcal{S}$

abbreviation *comp-rrstep-rel'* $\mathcal{F} \mathcal{R} \mathcal{S} \equiv srsteps\text{-with}\text{-root}\text{-step } \mathcal{F} \mathcal{R} O(srstep \mathcal{F} \mathcal{S})^+ \cup (srstep \mathcal{F} \mathcal{R})^+ O srsteps\text{-with}\text{-root}\text{-step } \mathcal{F} \mathcal{S}$

lemma *reduction-join-relations-to-root-step*:

```

assumes ⋀ s t. (s, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S} \implies P s t$ 
  and cl: prop-mctxt-cl  $\mathcal{F} P$ 
  and well: funas-term s ⊆  $\mathcal{F}$  funas-term t ⊆  $\mathcal{F}$ 
  and steps: (s, t) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )* O (srstep  $\mathcal{F} \mathcal{S}$ )*
  shows P s t using steps well
proof (induct s arbitrary: t)
  case (Var x)
    have f: (Var x, t) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )+ ⟹ (Var x, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$ 
    using nsrsteps-with-root-step-step-on-args[of Var x -  $\mathcal{F} \mathcal{R}$ ] unfolding srsteps-with-root-step-def
    by (metis (no-types, lifting) Term.term.simps(4) UniI1 relcomp.relcompI rtrancI-eq-or-trancI)
    have s: (Var x, t) ∈ (srstep  $\mathcal{F} \mathcal{S}$ )+ ⟹ (Var x, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$ 
    using nsrsteps-with-root-step-step-on-args[of Var x -  $\mathcal{F} \mathcal{S}$ ] unfolding srsteps-with-root-step-def
    by (metis (no-types, lifting) Term.term.simps(4) UniI2 relcomp.simps rtrancI.simps)
    have t: (Var x, u) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )+ ⟹ (u, t) ∈ (srstep  $\mathcal{F} \mathcal{S}$ )+ ⟹ (Var x, t)
      ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$  for u
    using nsrsteps-with-root-step-step-on-args[of Var x u  $\mathcal{F} \mathcal{R}$ ] unfolding srsteps-with-root-step-def
    by auto (meson relcomp.simps trancI-into-rtrancI)
    show ?case using Var f[THEN assms(1)] s[THEN assms(1)] t[THEN assms(1)]
  cl
  by (auto simp: rtrancI-eq-or-trancI prop-mctxt-cl-Var)
next
  case (Fun f ss) note IH = this show ?case
  proof (cases Fun f ss = t)
    case True show ?thesis using IH(2, 3, 4) cl
    by (auto simp: True prop-mctxt-cl-refl-on)
  next
    case False
    obtain u where u: (Fun f ss, u) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )* (u, t) ∈ (srstep  $\mathcal{F} \mathcal{S}$ )* using
      IH(2) by auto
    show ?thesis
    proof (cases (Fun f ss, u) ∈ srsteps-with-root-step  $\mathcal{F} \mathcal{R}$ )
      case True

```

```

then have (Fun f ss, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$  using u
  by (auto simp: srsteps-with-root-step-def)
from assms(1)[OF this] show ?thesis by simp
next
  case False note nt-fst = this show ?thesis
  proof (cases (u, t) ∈ srsteps-with-root-step  $\mathcal{F} \mathcal{S}$ )
    case True
      then have (Fun f ss, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$  using u unfolding
        srsteps-with-root-step-def
      by blast
      from assms(1)[OF this] show ?thesis by simp
    next
      case False note no-root = False nt-fst
      show ?thesis
      proof (cases Fun f ss = u ∨ u = t)
        case True
        from assms(1) have f:  $\bigwedge s t. (s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{R} \implies P$ 
          s t
          and s:  $\bigwedge s t. (s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{S} \implies P s t$  unfolding
            srsteps-with-root-step-def
          by blast+
          have u = t  $\implies$  ?thesis using u cl IH(3, 4)
          by (intro reduction-relations-to-root-step[OF f]) auto
          moreover have Fun f ss = u  $\implies$  ?thesis using u cl IH(3, 4)
          by (intro reduction-relations-to-root-step[OF s]) auto
          ultimately show ?thesis using True by auto
        next
          case False
          then have steps: (Fun f ss, u) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )+ (u, t) ∈ (srstep  $\mathcal{F} \mathcal{S}$ )+
        using u
          by (auto simp: rtranci-eq-or-tranci)
          obtain ts us
            where [simp]: u = Fun f us and inv-u: length ss = length us  $\forall i < length ts. (ss ! i, us ! i) \in (srstep \mathcal{F} \mathcal{R})^*$ 
            and [simp]: t = Fun f ts and inv-t: length us = length ts  $\forall i < length ts. (us ! i, ts ! i) \in (srstep \mathcal{F} \mathcal{S})^*$ 
            using nsrsteps-with-root-step-step-on-args[OF steps(1) no-root(2)]
            using nsrsteps-with-root-step-step-on-args[OF steps(2) no-root(1)]
            by auto
            from inv-u inv-t cl IH(3, 4) have t:  $\forall i < length ts. P (ss ! i) (ts ! i)$ 
            by (auto simp: UN-subset-iff intro!: IH(1)[OF nth-mem, of i ts ! i for i])
            moreover have (f, length ts) ∈  $\mathcal{F}$  using IH(4) by auto
            ultimately show ?thesis using IH(3, 4) inv-u inv-t all-ctx-closedD[OF
              cl]
              by (auto simp: UN-subset-iff)
            qed
          qed
        qed
      qed
    qed
  qed

```

qed

— Reducing search space for *commute* to conversions involving root steps

definition *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{S} s t \longleftrightarrow (s, t) \in ((srstep \mathcal{F} \mathcal{S})^* O ((srstep \mathcal{F} \mathcal{R})^{-1})^*)$

```

declare subsetI[rule del]
lemma commute-redp-mctxt-cl:
  prop-mctxt-cl  $\mathcal{F}$  (commute-redp  $\mathcal{F} \mathcal{R} \mathcal{S}$ )
  by (auto simp: commute-redp-def rew-converse-inwards
    dest: sigstep-trancl-funas intro!: all ctxt-closed-relcomp)
declare subsetI[intro!]

lemma commute-rrstep-intro:
  assumes  $\bigwedge s t. (s, t) \in comp-rrstep-rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S} \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S}$ 
   $s t$ 
  shows commute (srstep  $\mathcal{F} \mathcal{R}$ ) (srstep  $\mathcal{F} \mathcal{S}$ )
proof -
  have [simp]:  $x \in srsteps-with-root-step \mathcal{F} \mathcal{U} \implies x \in (srstep \mathcal{F} \mathcal{U})^* O \mathcal{L}^*$  for  $x$ 
   $\mathcal{U} \mathcal{L}$ 
  by (cases  $x$ ) (auto dest!: srsteps-with-root-step-sresteps-eqD)
  have [simp]:  $x \in srsteps-with-root-step \mathcal{F} \mathcal{U} \implies x \in \mathcal{L}^* O (srstep \mathcal{F} \mathcal{U})^*$  for  $x$ 
   $\mathcal{U} \mathcal{L}$ 
  by (cases  $x$ ) (auto dest!: srsteps-with-root-step-sresteps-eqD)
  have red:  $\bigwedge s t. (s, t) \in comp-rrstep-rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S} \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S}$ 
   $s t$  using assms
  unfolding commute-redp-def srstep-converse-dist
  by (auto simp: rtrancl-eq-or-trancl) blast+
  have comI:  $(\bigwedge s t. (s, t) \in ((srstep \mathcal{F} (\mathcal{R}^{-1}))^*) O (srstep \mathcal{F} \mathcal{S})^* \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S} s t) \implies$ 
    commute (srstep  $\mathcal{F} \mathcal{R}$ ) (srstep  $\mathcal{F} \mathcal{S}$ )
  by (auto simp: commute-redp-def commute-def subsetD rew-converse-inwards)
  show ?thesis
  using reduction-join-relations-to-root-step[OF red commute-redp-mctxt-cl, of
   $\mathcal{R}^{-1} \mathcal{S}$ ]
  by (intro comI, auto) (metis (no-types, lifting) commute-redp-def relcompI
  rew-converse-inwards sigstep-trancl-funas srstep-converse-dist)
qed

lemma commute-to-rrstep:
  assumes commute (srstep  $\mathcal{F} \mathcal{R}$ ) (srstep  $\mathcal{F} \mathcal{S}$ )
  shows  $\bigwedge s t. (s, t) \in comp-rrstep-rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S} \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S} s t$ 
  using assms
  unfolding commute-def commute-redp-def srstep-converse-dist
  by (auto simp: srstep-converse-dist dest: srsteps-with-root-step-sresteps-eqD)

```

— Reducing search space for *CR* to conversions involving root steps

lemma *CR-Aux*:

```

assumes  $\bigwedge s t. (s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* O \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies$ 
commute-redp  $\mathcal{F} \mathcal{R} \mathcal{R} s t$ 
shows  $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel } \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{commute-redp } \mathcal{F} \mathcal{R} \mathcal{R} s t$ 
proof –
  have sym: commute-redp  $\mathcal{F} \mathcal{R} \mathcal{R} s t \implies \text{commute-redp } \mathcal{F} \mathcal{R} \mathcal{R} t s for  $s t$ 
    by (auto simp: commute-redp-def) (metis converseI relcompI rtransl-converse
      rtransl-converseD)
  {fix  $s t$  assume  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{-1})$ 
    then have commute-redp  $\mathcal{F} \mathcal{R} \mathcal{R} s t$  unfolding commute-redp-def
      by (auto simp: srsteps-with-root-step-def rew-converse-inwards dest!: srrstep-to-srestep)}
  note  $*$  = this
  {fix  $s t$  assume ass:  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{-1}) O (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
    have [dest!]:  $(u, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \implies (t, u) \in (\text{sig-step } \mathcal{F} ((\text{rstep } \mathcal{R})^{-1}))^*$ 
    for  $u$ 
      by (metis rew-converse-outwards rtransl-converseI srstep-converse-dist)
    from ass have  $(t, s) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* O \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ 
      unfolding srsteps-with-root-step-def rstep-converse-dist
      by (metis (mono-tags, lifting) O-assoc converse.simps converse-converse converse-inward(1) converse-relcomp rew-converse-outwards(1, 2) sig-step-converse-rstep)
    from assms[OF this] have commute-redp  $\mathcal{F} \mathcal{R} \mathcal{R} s t$  using sym by blast
    then show  $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel } \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{commute-redp } \mathcal{F} \mathcal{R}$ 
       $\mathcal{R} s t$  unfolding srsteps-with-root-step-def
      by (metis UnE assms srsteps-with-root-step-def)
  qed$ 
```

lemma *CR-rrstep-intro*:

```

assumes  $\bigwedge s t. (s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ O \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies$ 
commute-redp  $\mathcal{F} \mathcal{R} \mathcal{R} s t$ 
shows CR ( $\text{srstep } \mathcal{F} \mathcal{R}$ )
proof –
  {fix  $s u$  assume  $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* O \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ 
    then obtain  $t$  where a:  $(s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* (t, u) \in \text{srsteps-with-root-step }$ 
       $\mathcal{F} \mathcal{R}$  by blast
    have commute-redp  $\mathcal{F} \mathcal{R} \mathcal{R} s u$ 
    proof (cases  $s = t$ )
      case [simp]: True
        from srsteps-with-root-step-srstepsD[OF a(2)] show ?thesis
        by (auto simp: commute-redp-def)
    next
      case False
      then have  $(s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+$  using a(1) unfolding rtransl-eq-or-trancl
        by simp
      then show ?thesis using assms a(2) by blast
    qed}
    from commute-rrstep-intro[OF CR-Aux[OF this]]
    show ?thesis unfolding CR-iff-self-commute
      by (metis Un-iff reflcl-trancl relcomp-distrib relcomp-distrib2)
  qed
```

```

lemma CR-to-rrstep:
  assumes CR (srstep F R)
  shows  $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel } F (\mathcal{R}^{-1}) \mathcal{R} \implies \text{commute-redp } F \mathcal{R} \mathcal{R} s t$ 
  using assms
  using commute-to-rrstep[OF assms[unfolded CR-iff-self-commute]]
  by simp

```

— Reducing search space for *NFP* to conversions involving root steps

definition NFP-redp where

```
NFP-redp F R s t  $\longleftrightarrow$   $t \in NF \text{ (srstep } F \mathcal{R}) \longrightarrow (s, t) \in (\text{srstep } F \mathcal{R})^*$ 
```

lemma prop-mctxt-cl-NFP-redp:

```
prop-mctxt-cl F (NFP-redp F R)
```

proof —

```

{fix f ts ss assume sig:  $(f, \text{length } ss) \in F \text{ length } ts = \text{length } ss$ 
  and steps:  $\forall i < \text{length } ss. ss ! i \in NF \text{ (srstep } F \mathcal{R}) \longrightarrow (ts ! i, ss ! i) \in (\text{srstep } F \mathcal{R})^*$ 
  and funas:  $\forall i < \text{length } ss. \text{funas-term } (ts ! i) \subseteq F \wedge \text{funas-term } (ss ! i) \subseteq F$ 
  and NF:  $\text{Fun } f ss \in NF \text{ (srstep } F \mathcal{R})$ 
  from steps have steps:  $i < \text{length } ss \implies (ts ! i, ss ! i) \in (\text{srstep } F \mathcal{R})^*$  for i
  using sig funas NF-srstep-args[OF NF]
  by (auto simp: UN-subset-iff) (metis in-set-idx)
  then have (Fun f ts, Fun f ss)  $\in (\text{srstep } F \mathcal{R})^*$  using sig
  by (metis all-ctxt-closed-def all-ctxt-closed-sig-rsteps funas le-sup-iff)}
  then show ?thesis
  by (auto simp: NFP-redp-def all-ctxt-closed-def)
qed

```

lemma NFP-rrstep-intro:

```
assumes  $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel}' F (\mathcal{R}^{-1}) \mathcal{R} \implies NFP\text{-redp } F \mathcal{R} s t$ 
  shows NFP (srstep F R)
```

proof —

```

  from assms have red:  $\bigwedge t u. (t, u) \in \text{comp-rrstep-rel } F (\mathcal{R}^{-1}) \mathcal{R} \implies NFP\text{-redp}$ 
  F R t u
  apply (auto simp: NFP-redp-def rtrancI-eq-or-trancI)
  apply (metis NF-no-trancI-step converseD srstep-converse-dist srsteps-with-root-step-srstepsD
  trancI-converse)
  apply blast
  apply (meson NF-no-trancI-step srsteps-with-root-step-srstepsD)
  by blast
  have  $\bigwedge s t. (s, t) \in (\text{sig-step } F ((\text{rstep } \mathcal{R})^{-1}))^* O (\text{srstep } F \mathcal{R})^* \implies NFP\text{-redp}$ 
  F R s t
  using reduction-join-relations-to-root-step[OF red prop-mctxt-cl-NFP-redp, of
   $\mathcal{R}^{-1} \mathcal{R}$ ]
  by (auto simp: NFP-redp-def) (metis (no-types, lifting) relcompI rstep-converse-dist
  rtrancI srstepsD)

```

```

then show ?thesis unfolding NFP-on-def NFP-redp-def
  by (auto simp: normalizability-def) (metis meetI meet-def rstep-converse-dist
srstep-converse-dist)
qed

lemma NFP-lift-to-conversion:
  assumes NFP  $r$   $(s, t) \in (r^{\leftrightarrow})^*$  and  $t \in NF r$ 
  shows  $(s, t) \in r^*$  using assms(2, 3)
proof (induct rule: converse-rtrancl-induct)
  case (step  $s u$ )
    then have  $(u, t) \in r^!$  by auto
    then show ?case using assms(1) step(1) unfolding NFP-on-def
      by auto
qed simp

lemma NFP-to-rrstep:
  assumes NFP ( $srstep \mathcal{F} \mathcal{R}$ )
  shows  $\bigwedge s t. (s, t) \in srsteps\text{-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies NFP\text{-redp } \mathcal{F} \mathcal{R} s t$ 
using assms
  using NFP-lift-to-conversion[OF assms] unfolding NFP-redp-def srsteps-with-root-step-def
  by auto (metis (no-types, lifting) r-into-rtrancl rstep-converse-dist rtrancl-trans
srrstep-to-srestep srstep-symcl-dist)

```

— Reducing search space for *UNC* to conversions involving root steps

definition UN-redp $\mathcal{F} \mathcal{R} s t \longleftrightarrow s \in NF (srstep \mathcal{F} \mathcal{R}) \wedge t \in NF (srstep \mathcal{F} \mathcal{R})$
 $\longrightarrow s = t$

```

lemma prop-mctxt-cl-UN-redp:
  prop-mctxt-cl  $\mathcal{F}$  (UN-redp  $\mathcal{F} \mathcal{R}$ )
proof —
  {fix  $f ts ss$  assume sig:  $(f, length ss) \in \mathcal{F}$   $length ts = length ss$ 
   and steps:  $\forall i < length ss. ts ! i \in NF (srstep \mathcal{F} \mathcal{R}) \wedge ss ! i \in NF (srstep \mathcal{F} \mathcal{R}) \longrightarrow ts ! i = ss ! i$ 
   and funas:  $\forall i < length ss. funas-term (ts ! i) \subseteq \mathcal{F} \wedge funas-term (ss ! i) \subseteq \mathcal{F}$ 
   and NF:  $Fun f ts \in NF (srstep \mathcal{F} \mathcal{R}) Fun f ss \in NF (srstep \mathcal{F} \mathcal{R})$ 
   from steps have steps:  $i < length ss \implies ts ! i = ss ! i$  for  $i$ 
   using sig funas NF-srstep-args[OF NF(1)] NF-srstep-args[OF NF(2)]
   by (auto simp: UN-subset-iff) (metis in-set-idx)
   then have  $Fun f ts = Fun f ss$  using sig(2)
   by (simp add: nth-equalityI)}
  then show ?thesis
  by (auto simp: UN-redp-def all ctxt-closed-def)
qed

lemma UNC-rrstep-intro:
  assumes  $\bigwedge s t. (s, t) \in srsteps\text{-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies UN\text{-redp } \mathcal{F} \mathcal{R} s t$ 

```

```

shows UNC (srstep  $\mathcal{F}$   $\mathcal{R}$ )
proof —
  have  $\bigwedge s t. (s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^\leftrightarrow))^* \implies \text{UN-redp } \mathcal{F} \mathcal{R} s t$ 
    using reduction-relations-to-root-step[OF assms(1) prop-mctxt-cl-UN-redp, of
 $\mathcal{R}^\leftrightarrow]$ 
    by (auto simp: UN-redp-def) (meson rtranclD srstepsD)
  then show ?thesis unfolding UNC-def UN-redp-def
    by (auto simp: sig-step-conversion-dist)
qed

```

```

lemma UNC-to-rrstep:
  assumes UNC (srstep  $\mathcal{F}$   $\mathcal{R}$ )
  shows  $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^\leftrightarrow) \implies \text{UN-redp } \mathcal{F} \mathcal{R} s t$ 
  using assms unfolding UNC-def UN-redp-def srsteps-with-root-step-def
  by (auto dest!: srrstep-to-srestep symcl-srstep-conversion symcl-srsteps-conversion)
    (metis (no-types, opaque-lifting) conversion-def rtrancl-trans)

```

— Reducing search space for *UNF* to conversions involving root steps

```

lemma UNF-rrstep-intro:
  assumes  $\bigwedge t u. (t, u) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{UN-redp } \mathcal{F} \mathcal{R} t u$ 
  shows UNF (srstep  $\mathcal{F}$   $\mathcal{R}$ )
proof —
  from assms have red:  $\bigwedge t u. (t, u) \in \text{comp-rrstep-rel } \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{UN-redp}$ 
 $\mathcal{F} \mathcal{R} t u$ 
  apply (auto simp: UN-redp-def rtrancl-eq-or-trancl)
  apply (metis NF-no-trancl-step converseD srstep-converse-dist srsteps-with-root-step-srstepsD
  trancl-converse)
  apply blast
  apply (meson NF-no-trancl-step srsteps-with-root-step-srstepsD)
  by blast
  have  $\bigwedge s t. (s, t) \in (\text{sig-step } \mathcal{F} ((\text{rstep } \mathcal{R})^{-1}))^* O (\text{srstep } \mathcal{F} \mathcal{R})^* \implies \text{UN-redp}$ 
 $\mathcal{F} \mathcal{R} s t$ 
    using reduction-join-relations-to-root-step[OF red prop-mctxt-cl-UN-redp, of
 $\mathcal{R}^{-1} \mathcal{R}$ ]
    by (auto simp: UN-redp-def) (metis (no-types, lifting) relcomp_relcompI rstep-converse-dist
  rtranclD srstepsD)
  then show ?thesis unfolding UNF-on-def UN-redp-def
    by (auto simp: normalizability-def) (metis meetI meet-def rstep-converse-dist
  srstep-converse-dist)
qed

```

```

lemma UNF-to-rrstep:
  assumes UNF (srstep  $\mathcal{F}$   $\mathcal{R}$ )
  shows  $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel } \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{UN-redp } \mathcal{F} \mathcal{R} s t$ 
  using assms unfolding UNF-on-def UN-redp-def normalizability-def srsteps-with-root-step-def
  by (auto simp flip: srstep-converse-dist dest!: srrstep-to-srestep)
    (metis (no-types, lifting) rstep-converse-dist rtrancl_rtrancl_into_rtrancl rtrancl-converseD

```

rtrancl-idemp srstep-converse-dist) +

— Reducing search space for *CE* to conversions involving root steps

lemma *CE-rrstep-intro*:

```
assumes  $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ 
and  $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$ 
shows CE (srstep  $\mathcal{F} \mathcal{R}$ ) (srstep  $\mathcal{F} \mathcal{S}$ )
using reduction-relations-to-root-step[OF assms(1), where  $?s1 = \lambda s t. s$  and  $?t1 = \lambda s t. t$ , of  $\mathcal{F} \mathcal{R}^{\leftrightarrow}$ ]
using reduction-relations-to-root-step[OF assms(2), where  $?s1 = \lambda s t. s$  and  $?t1 = \lambda s t. t$ , of  $\mathcal{F} \mathcal{S}^{\leftrightarrow}$ ]
by (auto simp: CE-on-def)
(metis converseI conversion-converse rtrancl-eq-or-tranl sig-step-conversion-dist
sigstep-tranl-funas(1, 2)) +
```

lemma *CE-to-rrstep*:

```
assumes CE (srstep  $\mathcal{F} \mathcal{R}$ ) (srstep  $\mathcal{F} \mathcal{S}$ )
shows  $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ 
 $\quad \bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$ 
using assms unfolding CE-on-def srsteps-with-root-step-def
by (auto simp flip: srstep-converse-dist dest!: srstep-to-srstep symcl-srsteps-conversion
symcl-srstep-conversion)
(metis converse-rtrancl-into-rtrancl conversion-rtrancl) +
```

— Reducing search space for *NE* to conversions involving root steps

definition *NE-redp* where

```
NE-redp  $\mathcal{F} \mathcal{R} \mathcal{S} s t \longleftrightarrow t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \longrightarrow t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \longrightarrow (s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
```

lemma *prop-mctxt-cl-NE-redp*:

```
prop-mctxt-cl  $\mathcal{F}$  (NE-redp  $\mathcal{F} \mathcal{R} \mathcal{S}$ )
```

proof —

```
{fix f ts ss assume sig:  $(f, \text{length } ss) \in \mathcal{F}$   $\text{length } ts = \text{length } ss$ 
and steps:  $\forall i < \text{length } ss. ss ! i \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \longrightarrow (ts ! i, ss ! i) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
and funas:  $\forall i < \text{length } ss. \text{funas-term } (ts ! i) \subseteq \mathcal{F} \wedge \text{funas-term } (ss ! i) \subseteq \mathcal{F}$ 
and NF:  $\text{Fun } f ss \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$ 
from steps have steps:  $i < \text{length } ss \implies (ts ! i, ss ! i) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$  for i
using sig funas NF-srstep-args[OF NF]
by (auto simp: UN-subset-iff) (metis in-set-idx)
then have  $(\text{Fun } f ts, \text{Fun } f ss) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$  using sig
by (metis all ctxt-closed-def all ctxt-closed-sig-rsteps funas le-sup-iff)}
then show ?thesis
by (auto simp: all ctxt-closed-def NE-redp-def)
```

qed

lemma *NE-rrstep-intro*:

```
assumes ⋀ s t. (s, t) ∈ srsteps-with-root-step ℬ ℬ ⇒ NE-redp ℬ ℬ ℬ s t
and ⋀ s t. (s, t) ∈ srsteps-with-root-step ℬ ℬ ⇒ NE-redp ℬ ℬ ℬ s t
and NF(srstep ℬ ℬ) = NF(srstep ℬ ℬ)
shows NE(srstep ℬ ℬ) (srstep ℬ ℬ)
using assms(3)
using reduction-relations-to-root-step[OF assms(1) prop-mctxt-cl-NE-redp, of ℬ]
using reduction-relations-to-root-step[OF assms(2) prop-mctxt-cl-NE-redp, of ℬ]
by (auto simp: NE-on-def NE-redp-def normalizability-def)
(metis rtrancl.rtrancl-refl sigstep-trancl-funas)+
```

lemma *NE-to-rrstep*:

```
assumes NE(srstep ℬ ℬ) (srstep ℬ ℬ)
shows ⋀ s t. (s, t) ∈ srsteps-with-root-step ℬ ℬ ⇒ NE-redp ℬ ℬ ℬ s t
    ⋀ s t. (s, t) ∈ srsteps-with-root-step ℬ ℬ ⇒ NE-redp ℬ ℬ ℬ s t
using assms unfolding NE-on-def NE-redp-def srsteps-with-root-step-def
by (auto simp: normalizability-def simp flip: srstep-converse-dist
dest!: srrstep-to-srestep) (meson converse-rtrancl-into-rtrancl rtrancl-trans)+
```

lemma *NE-NF-eq*:

```
NE ℬ ℬ ⇒ NF ℬ ℬ = NF ℬ
by (auto simp: NE-on-def NF-def normalizability-def)
```

— Reducing search space for *SCR* and *WCR* involving root steps

abbreviation *SCRp* $\mathcal{F} \mathcal{R} t u \equiv \exists v. (t, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^= \wedge (u, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$

lemma *SCR-rrstep-intro*:

```
assumes ⋀ s t u. (s, t) ∈ sig-step ℬ (rrstep ℬ) ⇒ (s, u) ∈ srstep ℬ ℬ
SCRp ℬ ℬ t u
and ⋀ s t u. (s, t) ∈ srstep ℬ ℬ ⇒ (s, u) ∈ sig-step ℬ (rrstep ℬ) ⇒ SCRp
ℬ ℬ t u
shows SCR(srstep ℬ ℬ)
proof -
fix s t u assume step: (s, t) ∈ srstep ℬ ℬ (s, u) ∈ srstep ℬ ℬ
from step(1) obtain p l r σ where st: p ∈ poss s (l, r) ∈ ℬ s |- p = l · σ t
= s[p ← r · σ]
using rstep-to-pos-replace[of s t ℬ] unfolding sig-step-def by blast
from step(2) obtain q l2 r2 σ2 where su: q ∈ poss s (l2, r2) ∈ ℬ s |- q = l2 · σ2 u = s[q ← r2 · σ2]
using rstep-to-pos-replace[of s u ℬ] unfolding sig-step-def by blast
from step st su have funas: funas-term s ⊆ ℬ funas-term t ⊆ ℬ funas-term u
⊆ ℬ
by (auto dest: srstepD)
have funas2 : funas-term (r2 · σ2) ⊆ ℬ using funas-term-replace-at-lower[OF
```

```

 $su(1)]$ 
  using  $\text{funas}(3)$  unfolding  $su(4)$  by  $\text{blast}$ 
  consider (a)  $p \leq_p q \mid (b) q \leq_p p \mid (c) p \perp q$ 
    using  $\text{position-par-def}$  by  $\text{blast}$ 
  then have  $\text{SCRp } \mathcal{F} \mathcal{R} t u$ 
  proof cases
    case a
    from a have  $up: p \in \text{poss } u$  using  $st(1) su(1)$  unfolding  $st(4) su(4)$ 
      by ( $\text{metis pos-replace-at-pres position-less-eq-def poss-append-poss}$ )
    let  $?C = \text{ctxt-at-pos } s p$  have  $fc: \text{funas-ctxt } ?C \subseteq \mathcal{F}$  using  $\text{funas}(1) st(1)$ 
      by ( $\text{metis ctxt-at-pos-subt-at-id funas-ctxt-apply le-sup-iff}$ )
    from  $\text{funas}$  have  $\text{funas}: \text{funas-term } (s \mid\! p) \subseteq \mathcal{F}$   $\text{funas-term } (t \mid\! p) \subseteq \mathcal{F}$ 
       $\text{funas-term } (u \mid\! p) \subseteq \mathcal{F}$ 
      using a  $st(1)$   $\text{pos-replace-at-pres}[OF st(1)]$   $up$  unfolding  $st(4) su(4)$ 
      by ( $\text{intro funas-term-subterm-atI, blast+}+$ )
      have  $(s \mid\! p, t \mid\! p) \in \text{sig-step } \mathcal{F}$  ( $\text{rrstep } \mathcal{R}$ ) unfolding  $st(4) su(4)$  using
         $st(1 - 3) su(1 - 3)$   $\text{funas}$ 
        by ( $\text{metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI}$ 
           $st(4))$ 
      moreover have  $(s \mid\! p, u \mid\! p) \in \text{srstep } \mathcal{F} \mathcal{R}$  unfolding  $st(4) su(4)$  using
         $st(1 - 3) su(1 - 3)$   $\text{funas}$ 
        by ( $\text{smt (verit, best) a ctxt-at-pos-subt-at-pos ctxt-of-pos-term-apply-replace-at-ident}$ 
           $\text{position-less-eq-def}$ 
           $\text{poss-append-poss replace-subterm-at-itself replace-term-at-subt-at-id rstepI}$ 
           $\text{sig-stepI su(4))}$ 
      ultimately obtain v where  $(t \mid\! p, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^=$   $(u \mid\! p, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
        using  $\text{assms}(1)$  by  $\text{blast}$ 
        from  $this(1)$   $\text{srsteps-eq-ctxt-closed}[OF fc this(2)]$ 
        show  $?thesis$  using a  $st(1) su(1)$   $\text{srsteps-eq-ctxt-closed}[OF fc]$  unfolding
         $st(4) su(4)$ 
        apply ( $\text{intro exI}[of - ?C(v)]$ )
        apply ( $\text{auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace}$ )
        apply ( $\text{metis ctxt-of-pos-term-apply-replace-at-ident fc srstep-ctxt-closed}$ )
        done
    next
    case b
    then have  $up: q \in \text{poss } t$  using  $st(1) su(1)$  unfolding  $st(4) su(4)$ 
      by ( $\text{metis pos-replace-at-pres position-less-eq-def poss-append-poss}$ )
    let  $?C = \text{ctxt-at-pos } s q$  have  $fc: \text{funas-ctxt } ?C \subseteq \mathcal{F}$  using  $\text{funas}(1) su(1)$ 
      by ( $\text{metis Un-subset-iff ctxt-at-pos-subt-at-id funas-ctxt-apply}$ )
    from  $\text{funas}$  have  $\text{funas}: \text{funas-term } (s \mid\! q) \subseteq \mathcal{F}$   $\text{funas-term } (t \mid\! q) \subseteq \mathcal{F}$ 
       $\text{funas-term } (u \mid\! q) \subseteq \mathcal{F}$ 
      using  $su(1)$   $\text{pos-replace-at-pres}[OF su(1)]$   $up$  unfolding  $st(4) su(4)$ 
      by ( $\text{intro funas-term-subterm-atI, blast+}+$ )
      have  $(s \mid\! q, t \mid\! q) \in \text{srstep } \mathcal{F} \mathcal{R}$  unfolding  $st(4) su(4)$  using  $st(1 - 3)$ 
         $su(1 - 3)$   $\text{funas}$ 
        by ( $\text{smt (verit, del-insts) b ctxt-at-pos-subt-at-pos ctxt-of-pos-term-apply-replace-at-ident}$ 
           $\text{position-less-eq-def poss-append-poss replace-subterm-at-itself replace-term-at-subt-at-id}$ 

```

```

rstepI sig-stepI st(4))
  moreover have  $(s \vdash q, u \vdash q) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R})$  unfolding  $\text{st}(4)$ 
  su(4) using  $\text{st}(1 - 3)$  su(1 - 3) funas
    by (metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI
  su(4))
  ultimately obtain v where  $(t \vdash q, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^= (u \vdash q, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
    using assms(2) by blast
    from this(1) srsteps-eq-ctxt-closed[OF fc this(2)]
    show ?thesis using b st(1) su(1) srsteps-eq-ctxt-closed[OF fc] unfolding
  st(4) su(4)
      apply (intro exI[of - ?C(v)])
      apply (auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)
      apply (smt (verit, best) ctxt-of-pos-term-apply-replace-at-ident fc less-eq-subt-at-replace
  replace-term-at-above replace-term-at-subt-at-id srstep-ctxt-closed)
    done
  next
  case c
  define v where  $v = t[q \leftarrow r2 \cdot \sigma 2]$ 
  have funasv: funas-term  $v \subseteq \mathcal{F}$  using funas su(1) unfolding v-def su(4)
    using funas-term-replace-at-upper funas2 by blast
  from c have *:  $v = u[p \leftarrow r \cdot \sigma]$  unfolding v-def st(4) su(4) using st(1)
  su(1)
    using parallel-replace-term-commute by blast
  from c have  $(t, v) \in \text{rstep } \mathcal{R}$  unfolding st(4) v-def
    using su(1 - 3) par-pos-replace-pres[OF su(1)]
    by (metis par-pos-replace-term-at pos-replace-to-rstep position-par-def)
  moreover from c have  $(u, v) \in \text{rstep } \mathcal{R}$  unfolding su(4) *
    using st(1 - 3) par-pos-replace-pres[OF st(1)]
    by (intro pos-replace-to-rstep[of - - l]) (auto simp: par-pos-replace-term-at)
  ultimately show ?thesis using funas(2-) funasv
    by auto
  qed}
then show ?thesis unfolding SCR-on-def
  by blast
qed

lemma SCE-to-rrstep:
  assumes SCR (srstep  $\mathcal{F} \mathcal{R}$ )
  shows  $\bigwedge s t u. (s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies (s, u) \in \text{srstep } \mathcal{F} \mathcal{R} \implies \text{SCR}_p$ 
 $\mathcal{F} \mathcal{R} t u$ 
 $\bigwedge s t u. (s, t) \in \text{srstep } \mathcal{F} \mathcal{R} \implies (s, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies \text{SCR}_p$ 
 $\mathcal{F} \mathcal{R} t u$ 
  using assms unfolding SCR-on-def srsteps-with-root-step-def
  by (auto simp flip: srstep-converse-dist dest!: srrstep-to-srstep symcl-srsteps-conversion
  symcl-srstep-conversion)

lemma WCR-rrstep-intro:
  assumes  $\bigwedge s t u. (s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies (s, u) \in \text{srstep } \mathcal{F} \mathcal{R} \implies (t,$ 

```

$u) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$
shows $\text{WCR } (\text{srstep } \mathcal{F} \mathcal{R})$
proof –
{fix $s t u$ assume $\text{step}: (s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ $(s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$
from $\text{step}(1)$ obtain $p l r \sigma$ where $\text{st}: p \in \text{poss } s$ $(l, r) \in \mathcal{R}$ $s \dashv p = l \cdot \sigma t$
 $= s[p \leftarrow r \cdot \sigma]$
using $\text{rstep-to-pos-replace}[of s t \mathcal{R}]$ unfolding sig-step-def by blast
from $\text{step}(2)$ obtain $q l2 r2 \sigma2$ where $\text{su}: q \in \text{poss } s$ $(l2, r2) \in \mathcal{R}$ $s \dashv q = l2 \cdot \sigma2 u = s[q \leftarrow r2 \cdot \sigma2]$
using $\text{rstep-to-pos-replace}[of s u \mathcal{R}]$ unfolding sig-step-def by blast
from step st su have $\text{funas}: \text{funas-term } s \subseteq \mathcal{F}$ $\text{funas-term } t \subseteq \mathcal{F}$ $\text{funas-term } u \subseteq \mathcal{F}$
by (auto dest: srstepD)
have $\text{funas2} : \text{funas-term } (r2 \cdot \sigma2) \subseteq \mathcal{F}$ using $\text{funas-term-replace-at-lower}[OF \text{su}(1)]$
using $\text{funas}(3)$ unfolding $\text{su}(4)$ by blast
consider (a) $p \leq_p q$ | (b) $q \leq_p p$ | (c) $p \perp q$
using position-par-def by blast
then have $(t, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$
proof cases
case a
then have $up: p \in \text{poss } u$ using $\text{st}(1)$ $\text{su}(1)$ unfolding $\text{st}(4)$ $\text{su}(4)$
by (metis pos-replace-at-pres position-less-eq-def poss-append-poss)
let $?C = \text{ctxt-at-pos } s p$ have $fc: \text{funas-ctxt } ?C \subseteq \mathcal{F}$ using $\text{funas}(1)$ $\text{st}(1)$
by (metis Un-subset-iff ctxt-at-pos-subt-at-id funas-ctxt-apply)
from funas have $\text{funas}: \text{funas-term } (s \dashv p) \subseteq \mathcal{F}$ $\text{funas-term } (t \dashv p) \subseteq \mathcal{F}$
 $\text{funas-term } (u \dashv p) \subseteq \mathcal{F}$
using a $\text{st}(1)$ pos-replace-at-pres[$OF \text{st}(1)$] up unfolding $\text{st}(4)$ $\text{su}(4)$
by (intro funas-term-subterm-atI, blast+)+
have $(s \dashv p, t \dashv p) \in \text{sig-step } \mathcal{F}$ ($\text{rrstep } \mathcal{R}$) unfolding $\text{st}(4)$ $\text{su}(4)$ using
 $\text{st}(1 - 3)$ $\text{su}(1 - 3)$ funas
by (metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI
 $\text{st}(4)$)
moreover have $(s \dashv p, u \dashv p) \in \text{srstep } \mathcal{F} \mathcal{R}$ unfolding $\text{st}(4)$ $\text{su}(4)$ using
 $\text{st}(1 - 3)$ $\text{su}(1 - 3)$ funas
by (smt (verit, ccfv-threshold) a greater-eq-subt-at-replace less-eq-subt-at-replace
pos-diff-append-itself
pos-replace-to-rstep position-less-eq-def poss-append-poss replace-term-at-subt-at-id
sig-stepI $\text{su}(4)$)
ultimately have $(t \dashv p, u \dashv p) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$
using assms(1) by blast
from $\text{sig-steps-join-ctxt-closed}[OF fc \text{ this}(1)]$
show $?thesis$ using a $\text{st}(1)$ $\text{su}(1)$ $\text{srstep-ctxt-closed}[OF fc]$ unfolding $\text{st}(4)$
 $\text{su}(4)$
by (auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)
next
case b
then have $up: q \in \text{poss } t$ using $\text{st}(1)$ $\text{su}(1)$ unfolding $\text{st}(4)$ $\text{su}(4)$
by (metis pos-les-eq-append-diff pos-replace-at-pres poss-append-poss)

```

let ?C = ctxt-at-pos s q have fc: funas ctxt ?C ⊆ F using funas(1) su(1)
  by (metis Un-subset-iff ctxt-at-pos-subt-at-id funas ctxt-apply)
  from funas have funas: funas-term (s |- q) ⊆ F funas-term (t |- q) ⊆ F
    funas-term (u |- q) ⊆ F
    using su(1) pos-replace-at-pres[OF su(1)] up unfolding st(4) su(4)
    by (intro funas-term-subterm-atI, blast+)+
    have (s |- q, t |- q) ∈ srstep F R unfolding st(4) su(4) using st(1 - 3)
      su(1 - 3) funas
      by (smt (verit, ccfv-SIG) b greater-eq-subt-at-replace less-eq-subt-at-replace
        pos-diff-append-itself
        pos-replace-to-rstep position-less-eq-def poss-append-poss replace-term-at-subt-at-id
        sig-stepI st(4))
      moreover have (s |- q, u |- q) ∈ sig-step F (rrstep R) unfolding st(4)
      su(4) using st(1 - 3) su(1 - 3) funas
      by (metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI
        su(4))
      ultimately have (t |- q, u |- q) ∈ (srstep F R)†
      using assms(1) by blast
      from sig-steps-join-ctxt-closed[OF fc this(1)]
      show ?thesis using b st(1) su(1) srstep-ctxt-closed[OF fc] unfolding st(4)
        su(4)
      by (auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)
next
  case c
  define v where v = t[q ← r² · σ²]
  have funasv: funas-term v ⊆ F using funas su(1) unfolding v-def su(4)
    using funas-term-replace-at-upper funas2 by blast
  from c have *: v = u[p ← r · σ] unfolding v-def st(4) su(4) using st(1)
    su(1)
    using parallel-replace-term-commute by blast
  from c have (t, v) ∈ rstep R unfolding st(4) v-def
    using su(1 - 3) par-pos-replace-pres[OF su(1)]
    by (metis par-pos-replace-term-at pos-replace-to-rstep position-par-def)
  moreover from c have (u, v) ∈ rstep R unfolding su(4) *
    using st(1 - 3) par-pos-replace-pres[OF st(1)]
    by (metis par-pos-replace-term-at pos-replace-to-rstep)
  ultimately show ?thesis using funas(2-) funasv
    by auto
  qed}
  then show ?thesis unfolding WCR-on-def
    by blast
qed

end
theory Rewriting-LLRG-LV-Mondaic
imports Rewriting
Replace-Constant
begin

```

4.3 Specific results about rewriting under a linear variable-separated system

```

lemma card-varposs-ground:
  card (varposs s) = 0  $\longleftrightarrow$  ground s
  by (simp add: finite-varposs varposs-empty-gound)

lemma poss-of-term-subst-apply-varposs:
  assumes p ∈ poss-of-term (constT c) (s · σ) (c, 0) ∉ funas-term s
  shows ∃ q. q ∈ varposs s ∧ q ≤p p using assms
  proof (induct p arbitrary: s)
    case Nil
      then show ?case by (cases s) (auto simp: poss-of-term-def)
    next
      case (Cons i p)
      show ?case using Cons(1)[of args s ! i] Cons(2-)
      apply (cases s)
        apply (auto simp: poss-of-term-def)
        apply (metis position-less-eq-Cons)+
      done
    qed

lemma poss-of-term-hole-poss:
  assumes p ∈ poss-of-term t C⟨s⟩ and hole-pos C ≤p p
  shows p −p hole-pos C ∈ poss-of-term t s using assms
  proof (induct C arbitrary: p)
    case (More f ss C ts)
      from More(3) obtain ps where [simp]: p = length ss # ps and h: hole-pos C
      ≤p ps
      by (metis append-Cons hole-pos.simps(2) less-eq-poss-append-itself pos-less-eq-append-diff)
      show ?case using More(1)[OF - h] More(2)
        by (auto simp: poss-of-term-def)
    qed auto

lemma remove-const-subst-from-match:
  assumes s · const-subst c = C⟨l · σ⟩ (c, 0) ∉ funas-term l linear-term l
  shows ∃ D τ. s = D⟨l · τ⟩ using assms
  proof (induct card (varposs s) arbitrary: s)
    case (Suc x)
    from Suc(2) obtain p ps where varposs: varposs s = insert p ps p ∉ ps
      by (metis card-Suc-eq)
    let ?s = s[p ← Fun c []] have vp: p ∈ varposs s using varposs by auto
    then have *: ?s · const-subst c = s · const-subst c
      by (induct s arbitrary: p) (auto simp: nth-list-update map-update intro!: nth-equalityI)
    have varposs ?s = ps using varposs varposs-ground-replace-at[of p s constT c]
      by auto
    from Suc(1)[of ?s] Suc(2-) varposs obtain D τ where split: s[p ← constT c]
    = D⟨l · τ⟩
      by (metis * ‹varposs s[p ← constT c] = ps› card-insert-if diff-Suc-1 finite-varposs)
    have wit: s = D⟨l · τ⟩[p ← s |- p] unfolding arg-cong[OF split, of λ t. t[p ←

```

```

 $s \vdash p]$ , symmetric]
  using vp by simp
from vp split have cases:  $p \perp \text{hole-pos } D \vee \text{hole-pos } D \leq_p p$ 
  by auto (metis poss-of-term-const-ctx-apply poss-of-term-replace-term-at var-
poss-imp-poss)
show ?case
proof (cases  $p \perp \text{hole-pos } D$ )
  case True then show ?thesis using wit
    by (auto simp: par-hole-pos-replace-term-context-at)
next
  case False
  then have hole:  $\text{hole-pos } D \leq_p p$  using cases by auto
  from vp split have  $p \in \text{poss-of-term} (\text{constT } c) s[p \leftarrow \text{constT } c]$ 
    using poss-of-term-replace-term-at varposs-imp-poss by blast
  from poss-of-term-hole-poss[OF this[unfolded split] hole]
  have  $p \dashv_p \text{hole-pos } D \in \text{poss-of-term} (\text{constT } c) (l \cdot \tau)$ 
    by simp
  from poss-of-term-subst-apply-varposs[OF this Suc(4)] obtain q where
    q:  $q \in \text{varposs } l \quad q \leq_p (p \dashv_p (\text{hole-pos } D))$  by blast
  show ?thesis using wit Suc(5) hole
    using linear-term-varposs-subst-replace-term[OF Suc(5) q, of  $\tau$   $s \vdash p$ ]
    by auto
qed
qed (auto simp: card-varposs-ground ground-subst-apply)

```

definition llrg $\mathcal{R} \longleftrightarrow (\forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{ground } r)$

definition lv $\mathcal{R} \longleftrightarrow (\forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{linear-term } r \wedge \text{vars-term } l \cap \text{vars-term } r = \{\})$

definition monadic $\mathcal{F} \longleftrightarrow (\forall (f, n) \in \mathcal{F}. n \leq \text{Suc } 0)$

— NF of ground terms

lemma ground-NF-srstep-gsrstep:
 ground $s \implies s \in \text{NF}$ ($\text{srstep } \mathcal{F} \mathcal{R}$) $\implies s \in \text{NF}$ ($\text{gsrstep } \mathcal{F} \mathcal{R}$)
 by blast

lemma NF-to-fresh-const-subst-NF:
 assumes lin: linear-sys \mathcal{R} and fresh-const: $(c, 0) \notin \text{funas-rel } \mathcal{R}$ $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$
 and nf-f: funas-term $s \subseteq \mathcal{F}$ $s \in \text{NF}$ ($\text{srstep } \mathcal{F} \mathcal{R}$)
 shows $s \cdot \text{const-subst } c \in \text{NF}$ ($\text{gsrstep } \mathcal{H} \mathcal{R}$)
 proof (rule ccontr)

```

assume  $s \cdot \text{const-subst } c \notin \text{NF} (\text{Restr } (\text{srstep } \mathcal{H} \mathcal{R}) (\text{Collect ground}))$ 
then obtain  $C l r \sigma$  where  $\text{step}: (l, r) \in \mathcal{R}$   $s \cdot \text{const-subst } c = C(l \cdot \sigma)$  by
fastforce
from  $\text{step}(1)$  have  $l: (c, 0) \notin \text{funas-term } l$  linear-term  $l$  using  $\text{lin fresh-const}$ 
by (auto simp: funas-rel-def)
obtain  $D \tau$  where  $s = D(l \cdot \tau)$  using  $\text{remove-const-subst-from-match}[OF \text{ step}(2)]$ 
 $l]$  by blast
then show False using  $\text{step}(1) \text{ nf-f}$ 
by (meson NF-no-trancl-step fresh-const(2) r-into-trancl' rstepI rstep-trancl-sig-step-r)
qed

```

```

lemma fresh-const-subst-NF-pres:
assumes  $\text{fresh-const}: (c, 0) \notin \text{funas-rel } \mathcal{R}$   $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$ 
and  $\text{nf-f}: \text{funas-term } s \subseteq \mathcal{F}$   $\mathcal{F} \subseteq \mathcal{H}$   $(c, 0) \in \mathcal{H}$   $s \cdot \text{const-subst } c \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R})$ 
shows  $s \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$ 
proof (rule ccontr)
assume  $s \notin \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$ 
then obtain  $C l r \sigma$  where  $\text{step}: (l, r) \in \mathcal{R}$   $s = C(l \cdot \sigma)$  by fastforce
let  $\tau = \lambda x. \text{if } x \in \text{vars-term } l \text{ then } (\sigma x) \cdot \text{const-subst } c \text{ else } \text{Fun } c []$ 
define  $D$  where  $D = (C \cdot_c \text{const-subst } c)$ 
have  $s: s \cdot \text{const-subst } c = D(l \cdot \tau)$  unfolding  $D\text{-def}$   $\text{step}(2)$ 
by (auto simp: subst-compose simp flip: subst-subst-compose intro!: term-subst-eq)
have  $\text{funas}: \text{funas-ctxt } D \subseteq \mathcal{H}$   $\text{funas-term } (l \cdot \tau) \subseteq \mathcal{H}$   $\text{funas-term } (r \cdot \tau) \subseteq \mathcal{H}$ 
using  $\text{step nf-f}(1 - 3)$   $\text{fresh-const}(2)$  unfolding  $D\text{-def}$ 
by (auto simp: funas-ctxt-subst-apply-ctxt funas-term-subst funas-rel-def split: if-splits)
moreover have  $\text{ground-ctxt } D \text{ ground } (l \cdot \tau) \text{ ground } (r \cdot \tau)$  using  $\text{arg-cong}[OF s, \text{of ground}]$  unfolding  $D\text{-def}$ 
by (auto intro!: ground-substI)
ultimately have  $(D(l \cdot \tau), D(r \cdot \tau)) \in \text{gsrstep } \mathcal{H} \mathcal{R}$  using  $\text{step}(1)$ 
by (simp add: rstepI sig-stepI)
then show False using  $\text{nf-f}(4)$  unfolding  $s[\text{symmetric}]$ 
by blast
qed

```

```

lemma linear-sys-gNF-eq-NF-eq:
assumes  $\text{lin}: \text{linear-sys } \mathcal{R}$   $\text{linear-sys } \mathcal{S}$ 
and  $\text{well}: \text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$   $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$ 
and  $\text{fresh}: (c, 0) \notin \text{funas-rel } \mathcal{R}$   $(c, 0) \notin \text{funas-rel } \mathcal{S}$ 
and  $\text{lift}: \mathcal{F} \subseteq \mathcal{H}$   $(c, 0) \in \mathcal{H}$ 
and  $\text{nf}: \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) = \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{S})$ 
shows  $\text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) = \text{NF} (\text{srstep } \mathcal{F} \mathcal{S})$ 
proof –
have [simp]:  $\neg \text{funas-term } s \subseteq \mathcal{F} \implies s \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{U})$  for  $s \in \mathcal{U}$  by (meson NF-I sig-stepE(1))
have  $d1: s \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies s \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{S})$  for  $s$  using nf by auto

```

```

have d2:  $s \in NF(gsrstep \mathcal{H} \mathcal{S}) \implies s \in NF(gsrstep \mathcal{H} \mathcal{R})$  for  $s$  using  $nf$  by
auto
{fix  $s$  assume  $n: s \in NF(srstep \mathcal{F} \mathcal{R})$  then have  $s \in NF(srstep \mathcal{F} \mathcal{S})$ 
  using NF-to-fresh-const-subst-NF[ $OF lin(1) fresh(1) well(1) - n$ , THEN d1]
  using fresh-const-subst-NF-pres[ $OF fresh(2) well(2) - lift, of s$ ]
  by (cases funas-term  $s \subseteq \mathcal{F}$ ) simp-all}
moreover
{fix  $s$  assume  $n: s \in NF(srstep \mathcal{F} \mathcal{S})$  then have  $s \in NF(srstep \mathcal{F} \mathcal{R})$ 
  using NF-to-fresh-const-subst-NF[ $OF lin(2) fresh(2) well(2) - n$ , THEN d2]
  using fresh-const-subst-NF-pres[ $OF fresh(1) well(1) - lift, of s$ ]
  by (cases funas-term  $s \subseteq \mathcal{F}$ ) simp-all}
ultimately show ?thesis by blast
qed

```

— Steps of ground

lemma *gsrsteps-to-srsteps*:

```
( $s, t \in (gsrstep \mathcal{F} \mathcal{R})^+ \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^+$ )
by (meson inf-le1 trancl-mono)
```

lemma *gsrsteps-eq-to-srsteps-eq*:

```
( $s, t \in (gsrstep \mathcal{F} \mathcal{R})^* \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^*$ )
by (metis gsrsteps-to-srsteps rtrancl-eq-or-trancl)
```

lemma *gsrsteps-to-rsteps*:

```
( $s, t \in (gsrstep \mathcal{F} \mathcal{R})^+ \implies (s, t) \in (rstep \mathcal{R})^+$ )
using gsrsteps-to-srsteps srstepsD by blast
```

lemma *gsrsteps-eq-to-rsteps-eq*:

```
( $s, t \in (gsrstep \mathcal{F} \mathcal{R})^* \implies (s, t) \in (rstep \mathcal{R})^*$ )
by (metis gsrsteps-eq-to-srsteps-eq rtrancl-eq-or-trancl srstepsD)
```

lemma *gsrsteps-eq-relcomp-srsteps-relcompD*:

```
( $s, t \in (gsrstep \mathcal{F} \mathcal{R})^* \circ (gsrstep \mathcal{F} \mathcal{S})^* \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^* \circ (srstep \mathcal{F} \mathcal{S})^*$ )
using gsrsteps-eq-to-srsteps-eq by blast
```

lemma *gsrsteps-eq-relcomp-to-rsteps-relcomp*:

```
( $s, t \in (gsrstep \mathcal{F} \mathcal{R})^* \circ (gsrstep \mathcal{F} \mathcal{S})^* \implies (s, t) \in (rstep \mathcal{R})^* \circ (rstep \mathcal{S})^*$ )
using gsrsteps-eq-relcomp-srsteps-relcompD
using gsrsteps-eq-to-rsteps-eq by blast
```

lemma *ground-srsteps-gsrsteps*:

```
assumes ground  $s$  ground  $t$ 
and  $(s, t) \in (srstep \mathcal{F} \mathcal{R})^+$ 
shows  $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^+$ 
```

```

proof -
  let  $\sigma = \lambda \_. s$ 
  from assms(3) have  $f: \text{funas-term } s \subseteq \mathcal{F}$  using srstepsD by blast
  have  $(s \cdot \sigma, t \cdot \sigma) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^+$  using assms(3, 1)  $f$ 
  proof (induct)
    case (base t)
      then have  $(s \cdot \sigma, t \cdot \sigma) \in \text{gsrstep } \mathcal{F} \mathcal{R}$ 
        by (auto intro: srstep-subst-closed)
      then show ?case by auto
    next
      case (step t u)
        from step(2, 4, 5) have  $(t \cdot \sigma, u \cdot \sigma) \in \text{gsrstep } \mathcal{F} \mathcal{R}$ 
          by (auto intro: srstep-subst-closed)
        then show ?case using step(3 - 5)
          by (meson Transitive-Closure.trancl-into-trancl)
      qed
      then show ?thesis using assms(1, 2)
        by (simp add: ground-subst-apply)
    qed

lemma ground-srsteps-eq-gsrsteps-eq:
  assumes ground s ground t
  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
  shows  $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^*$ 
  using ground-srsteps-gsrsteps
  by (metis assms rtrancl-eq-or-trancl)

lemma srsteps-eq-relcomp-gsrsteps-relcomp:
  assumes  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \ O (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
  and ground s ground t
  shows  $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^* \ O (\text{gsrstep } \mathcal{F} \mathcal{S})^*$ 
  proof -
    from assms(1) obtain  $u$  where steps:  $(s, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$   $(u, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ 
    by blast
    let  $\sigma = \lambda x. s$ 
    have  $(s \cdot \sigma, u \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$   $(u \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$  using steps
      using srsteps-eq-subst-closed[OF steps(1), of  $\sigma$ ]
      using srsteps-eq-subst-closed[OF steps(2), of  $\sigma$ ]
      by (metis rtrancl-eq-or-trancl srstepsD)+
    then have  $(s \cdot \sigma, u \cdot \sigma) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^*$   $(u \cdot \sigma, t \cdot \sigma) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^*$ 
      using assms(2)
      by (auto intro: ground-srsteps-eq-gsrsteps-eq)
    then show ?thesis using assms(2, 3)
      by (auto simp: ground-subst-apply)
    qed

```

— Steps of llrg systems

```

lemma llrg-ground-rhs:
  llrg  $\mathcal{R} \Rightarrow (l, r) \in \mathcal{R} \Rightarrow \text{ground } r$ 
  unfolding llrg-def by auto

lemma llrg-rrsteps-groundness:
  assumes llrg  $\mathcal{R}$  and  $(s, t) \in (\text{srrstep } \mathcal{F} \mathcal{R})$ 
  shows ground  $t$  using assms(2) ground-vars-term-empty
  by (fastforce simp: llrg-def sig-step-def dest!: llrg-ground-rhs[OF assms(1)] split: prod.splits)

lemma llrg-rsteps-pres-groundness:
  assumes llrg  $\mathcal{R}$  ground  $s$ 
  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
  shows ground  $t$  using assms(3, 2)
  proof (induct rule: rtrancl.induct)
    case (rtrancl-into-rtrancl  $s t u$ )
    then have ground  $t$  by auto
    then show ?case using rtrancl-into-rtrancl(3)
    by (auto simp: sig-step-def vars-term ctxt-apply ground-vars-term-empty ground-subst-apply
           dest!: llrg-ground-rhs[OF assms(1)] rstep-imp-C-s-r split: prod.splits)
  qed simp

lemma llrg-srsteps-with-root-step-ground:
  assumes llrg  $\mathcal{R}$  and  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ 
  shows ground  $t$  using assms llrg-rrsteps-groundness llrg-rsteps-pres-groundness
  unfolding srsteps-with-root-step-def
  by blast

lemma llrg-srsteps-with-root-step-inv-ground:
  assumes llrg  $\mathcal{R}$  and  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{-1})$ 
  shows ground  $s$  using assms llrg-rrsteps-groundness llrg-rsteps-pres-groundness
  unfolding srsteps-with-root-step-def
  by (metis (no-types, lifting) converseD relcomp.cases rtrancl-converseD srrstep-converse-dist
        srstep-converse-dist)

lemma llrg-funas-term-step-pres:
  assumes llrg  $\mathcal{R}$  and  $(s, t) \in (\text{rstep } \mathcal{R})$ 
  shows funas-term  $t \subseteq \text{funas-rel } \mathcal{R} \cup \text{funas-term } s$ 
  proof -
    have [simp]:  $(l, r) \in \mathcal{R} \Rightarrow r \cdot \sigma = r$  for  $l r \sigma$  using assms(1) unfolding
    llrg-def
    by(auto split: prod.splits intro: ground-subst-apply)
    show ?thesis using assms
    by (auto simp: llrg-def funas-rel-def dest!: rstep-imp-C-s-r)
  qed

lemma llrg-funas-term-steps-pres:
  assumes llrg  $\mathcal{R}$  and  $(s, t) \in (\text{rstep } \mathcal{R})^*$ 

```

```

shows funas-term  $t \subseteq$  funas-rel  $\mathcal{R} \cup$  funas-term  $s$ 
using assms(2) llrg-funas-term-step-pres[OF assms(1)]
by (induct) auto

```

— Steps of monadic llrg systems

```

lemma monadic-ground-ctxt-apply:
monadic  $\mathcal{F} \implies$  funas-ctxt  $C \subseteq \mathcal{F} \implies$  ground  $r \implies$  ground  $C(r)$ 
by (induct  $C$ ) (auto simp: monadic-def)

```

```

lemma llrg-monadic-rstep-pres-groundness:
assumes llrg  $\mathcal{R}$  monadic  $\mathcal{F}$ 
and  $(s, t) \in srstep \mathcal{F} \mathcal{R}$ 
shows ground  $t$  using assms(3)
proof –
from assms(3) obtain  $C l r \sigma$  where  $r: (l, r) \in \mathcal{R}$  and  $t:t = C(r \cdot \sigma)$ 
using rstep-imp-C-s-r unfolding sig-step-def by blast
from assms(1, 3) have funas: funas-term  $t \subseteq \mathcal{F}$  ground  $r$ 
by (auto simp: llrg-ground-rhs[OF assms(1) r(1)] dest: srstepD)
then have  $*: r \cdot \sigma = r$  by (simp add: ground-subst-apply)
show ?thesis using funas assms(2) unfolding  $t *$ 
by (intro monadic-ground-ctxt-apply) auto
qed

```

```

lemma llrg-monadic-rsteps-groundness:
assumes llrg  $\mathcal{R}$  monadic  $\mathcal{F}$ 
and  $(s, t) \in (srstep \mathcal{F} \mathcal{R})^+$ 
shows ground  $t$  using assms(3)
using llrg-monadic-rstep-pres-groundness[OF assms(1, 2)]
by (induct rule: trancl.induct) auto

```

— Steps in monadic lv system

```

fun monadic-term where
monadic-term ( $Var x$ ) = True
| monadic-term ( $Fun f []$ ) = True
| monadic-term ( $Fun f ts$ ) = (length  $ts = Suc 0 \wedge$  monadic-term ( $hd ts$ ))

fun monadic-get-leave where
monadic-get-leave ( $Var x$ ) = ( $Var x$ )
| monadic-get-leave ( $Fun f []$ ) =  $Fun f []$ 
| monadic-get-leave ( $Fun f ts$ ) = monadic-get-leave ( $hd ts$ )

fun monadic-replace-leave where
monadic-replace-leave  $t$  ( $Var x$ ) =  $t$ 
| monadic-replace-leave  $t$  ( $Fun f []$ ) =  $t$ 
| monadic-replace-leave  $t$  ( $Fun f ts$ ) =  $Fun f [monadic-replace-leave t (hd ts)]$ 

```

```

lemma monadic-replace-leave-undo-const-subst:
  assumes monadic-term s
  shows monadic-replace-leave (monadic-get-leave s) (s · const-subst c) = s using
  assms
  proof (induct s)
    case (Fun f ts) then show ?case
      by (cases ts) auto
  qed auto

lemma monadic-replace-leave-context:
  assumes monadic-term C⟨s⟩
  shows monadic-replace-leave t C⟨s⟩ = C⟨monadic-replace-leave t s⟩ using assms
  proof (induct C)
    case (More f ss C ts) then show ?case
      by (cases ss; cases ts) auto
  qed simp

lemma monadic-replace-leave-subst:
  assumes monadic-term (s · σ) ⊢ ground s
  shows monadic-replace-leave t (s · σ) = s · (λ x. monadic-replace-leave t (σ x))
  using assms
  proof (induct s)
    case (Fun f ts) then show ?case
      by (cases ts) auto
  qed auto

lemma monadic-sig:
  monadic F  $\implies$  (f, length ts)  $\in$  F  $\implies$  length ts  $\leq$  Suc 0
  by (auto simp: monadic-def)

lemma monadic-sig-funas-term-mt:
  monadic F  $\implies$  funas-term s  $\subseteq$  F  $\implies$  monadic-term s
  proof (induct s)
    case (Fun f ts) then show ?case unfolding monadic-def
      by (cases ts) auto
  qed simp

lemma monadic-term-const-pres [intro]:
  monadic-term s  $\implies$  monadic-term (s · const-subst c)
  proof (induct s)
    case (Fun f ts) then show ?case
      by (cases ts) auto
  qed simp

lemma remove-const-lv-monadic-step-lhs:
  assumes lv: lv R and fresh: (c, 0)  $\notin$  funas-rel R
  and mon: monadic F
  and step: (s · const-subst c, t)  $\in$  (srstep F R)

```

```

shows  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})$ 
proof -
  from step obtain  $C l r \sigma$  where  $s: (l, r) \in \mathcal{R}$   $s \cdot \text{const-subst } c = C\langle l \cdot \sigma \rangle$   $t = C\langle r \cdot \sigma \rangle$ 
    by fastforce
  have  $lv: x \in \text{vars-term } l \implies x \notin \text{vars-term } r$  for  $x$  using  $s(1)$   $lv$ 
    by (auto simp: lv-def)
  from  $s(1)$  fresh have  $cl: (c, 0) \notin \text{funas-term } l$  by (auto simp: funas-rel-def)
  have  $\text{funas}: \text{funas-term } s \subseteq \mathcal{F}$   $(c, 0) \notin \text{funas-term } l$   $\text{funas-term } t \subseteq \mathcal{F}$  using
 $s(1)$  fresh
  using step mon funas-term-subst unfolding funas-rel-def
  by (auto dest!: srstepD) blast
  then have  $mt: \text{monadic-term } s \text{ monadic-term } (s \cdot \text{const-subst } c)$ 
  using monadic-sig-funas-term-mt[OF mon] by auto
  then have  $ml: \text{monadic-term } (l \cdot \sigma) \text{ unfolding } s(2)$ 
  by (metis funas ctxt-apply le-sup-iff step mon monadic-sig-funas-term-mt s(2)
sig-stepE(1))
  show ?thesis
  proof (cases ground s)
    case True then show ?thesis using step
    by (auto simp: ground-subst-apply)
  next
    case False note ng = this
    then have  $cs: (c, 0) \in \text{funas-term } (s \cdot \text{const-subst } c)$ 
    by (auto simp: funas-term-subst vars-term-empty-ground)
    have  $ngl: \neg \text{ground } l$  using  $s(2)$   $cs$   $mt$   $ng$ 
    proof (induct s arbitrary: C)
      case (Var x) then show ?case using cl cs
      by (cases C) (auto simp: funas-rel-def ground-subst-apply)
    next
      case (Fun f ts)
      from Fun(5-) obtain t where [simp]:  $ts = [t]$  by (cases ts) auto
      show ?case
      proof (cases C = Hole)
        case True then show ?thesis using Fun(2, 3) cl
        by (auto simp: ground-subst-apply)
      next
        case False
        from this Fun(2, 3) obtain D where [simp]:  $C = \text{More } f [] D []$ 
        by (cases C) (auto simp: Cons-eq-append-conv)
        show ?thesis using Fun(1)[of t D] Fun(2-)
        by simp
      qed
    qed
  qed
  let ?τ =  $\lambda x. \text{if } x \in \text{vars-term } l \text{ then monadic-replace-leave (monadic-get-leave } s) (\sigma x) \text{ else } (\sigma x)$ 
  have  $C\langle l \cdot (\lambda x. \text{monadic-replace-leave (monadic-get-leave } s) (\sigma x)) \rangle = C\langle l \cdot$  ?τ
  by (auto intro: term-subst-eq)

```

```

then have  $s = C\langle l \cdot ?\tau \rangle$  using arg-cong[OF s(2), of monadic-replace-leave
(monadic-get-leave s),
unfolded monadic-replace-leave-undo-const-subst[OF mt(1), of c],
unfolded monadic-replace-leave-context[OF mt(2)[unfolded s(2)]],
unfolded monadic-replace-leave-subst[OF ml ngrl]]
by presburger
moreover have  $t = C\langle r \cdot ?\tau \rangle$  using lv unfolding s(3)
by (auto intro!: term-subst-eq)
ultimately show ?thesis using s(1) funas(1, 3)
by blast
qed
qed

lemma remove-const-lv-mondaic-step-rhs:
assumes lv: lv R and fresh: (c, 0)notin funas-rel R
and mon: monadic F
and step: (s, t · const-subst c) ∈ (srstep F R)
shows  $(s, t) \in (\text{srstep } F \text{ } R)$ 
proof -
have inv-v: lv (R⁻¹)(c, 0)notin funas-rel (R⁻¹) using fresh lv
by (auto simp: funas-rel-def lv-def)
have  $(t \cdot \text{const-subst } c, s) \in (\text{srstep } F \text{ } (R^{-1}))$  using step
by (auto simp: rew-converse-outwards)
from remove-const-lv-mondaic-step-lhs[OF inv-v mon this]
have  $(t, s) \in (\text{srstep } F \text{ } (R^{-1}))$  by simp
then show ?thesis by (auto simp: rew-converse-outwards)
qed

lemma remove-const-lv-mondaic-steps-lhs:
assumes lv: lv R and fresh: (c, 0)notin funas-rel R
and mon: monadic F
and steps: (s · const-subst c, t) ∈ (srstep F R)⁺
shows  $(s, t) \in (\text{srstep } F \text{ } R)^+$ 
using remove-const-lv-mondaic-step-lhs[OF lv fresh mon] steps
by (meson converse-tranclE r-into-trancl trancl-into-trancl2)

lemma remove-const-lv-mondaic-steps-rhs:
assumes lv: lv R and fresh: (c, 0)notin funas-rel R
and mon: monadic F
and steps: (s, t · const-subst c) ∈ (srstep F R)⁺
shows  $(s, t) \in (\text{srstep } F \text{ } R)^+$ 
using remove-const-lv-mondaic-step-rhs[OF lv fresh mon] steps
by (meson trancl.simps)

lemma remove-const-lv-mondaic-steps:
assumes lv: lv R and fresh: (c, 0)notin funas-rel R
and mon: monadic F
and steps: (s · const-subst c, t · const-subst c) ∈ (srstep F R)⁺

```

```

shows  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ 
using remove-const-lv-mondaic-steps-rhs[OF lv fresh mon remove-const-lv-mondaic-steps-lhs[OF assms]]
by simp

```

— Steps on lv trs

```

lemma lv-root-step-idep-subst:
assumes lv  $\mathcal{R}$ 
and  $(s, t) \in \text{srrstep } \mathcal{F} \mathcal{R}$ 
and well:  $\bigwedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F} \wedge x. \text{funas-term } (\tau x) \subseteq \mathcal{F}$ 
shows  $(s \cdot \sigma, t \cdot \tau) \in \text{srrstep } \mathcal{F} \mathcal{R}$ 
proof -
from assms(2) obtain l r  $\gamma$  where mid:  $s = l \cdot \gamma$   $t = r \cdot \gamma$   $(l, r) \in \mathcal{R}$ 
by (auto simp: sig-step-def)
from mid(3) assms(1) have vs:  $x \in \text{vars-term } l \implies x \notin \text{vars-term } r$  for x
by (auto simp: lv-def)
let ? $\sigma = \lambda x. \text{if } x \in \text{vars-term } l \text{ then } (\gamma x) \cdot \sigma \text{ else } (\gamma x) \cdot \tau$ 
have subst:  $s \cdot \sigma = l \cdot ?\sigma$   $t \cdot \tau = r \cdot ?\sigma$ 
unfolding mid subst-subst-compose[symmetric]
unfolding term-subst-eq-conv
by (auto simp: subst-compose-def vs)
then show ?thesis unfolding subst
using assms(2) mid(3) well unfolding mid(1, 2)
by (auto simp: sig-step-def funas-term-subst)
qed

```

```

lemma lv-srsteps-with-root-step-idep-subst:
assumes lv  $\mathcal{R}$ 
and  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ 
and well:  $\bigwedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F} \wedge x. \text{funas-term } (\tau x) \subseteq \mathcal{F}$ 
shows  $(s \cdot \sigma, t \cdot \tau) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$  using assms(2)
using lv-root-step-idep-subst[OF assms(1) - well, where ?x1 = id and ?x2 = id]
using srsteps-eq-subst-closed[OF - well(1), where ?x1 = id and ? $\mathcal{R} = \mathcal{R}$ ]
using srsteps-eq-subst-closed[OF - well(2), where ?x1 = id and ? $\mathcal{R} = \mathcal{R}$ ]
by (auto simp: srsteps-with-root-step-def) (metis (full-types) relcomp3-I)

end
theory Rewriting-GTRS
imports Rewriting
Replace-Constant
begin

```

4.4 Specific results about rewriting under a ground system

abbreviation ground-sys $\mathcal{R} \equiv (\forall (s, t) \in \mathcal{R}. \text{ground } s \wedge \text{ground } t)$

```

lemma srrstep-ground:
  assumes ground-sys  $\mathcal{R}$ 
  and  $(s, t) \in \text{srrstep } \mathcal{F} \mathcal{R}$ 
  shows ground  $s$  ground  $t$  using assms
  by (auto simp: sig-step-def ground-subst-apply vars-term-subst elim!: rrstep-subst)

lemma srstep-pres-ground-l:
  assumes ground-sys  $\mathcal{R}$  ground  $s$ 
  and  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ 
  shows ground  $t$  using assms
  by (auto simp: sig-step-def ground-subst-apply dest!: rstep-imp-C-s-r)

lemma srstep-pres-ground-r:
  assumes ground-sys  $\mathcal{R}$  ground  $t$ 
  and  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ 
  shows ground  $s$  using assms
  by (auto simp: ground-vars-term-empty vars-term-subst sig-step-def vars-term-ctxt-apply
  ground-subst-apply dest!: rstep-imp-C-s-r)

lemma srsteps-pres-ground-l:
  assumes ground-sys  $\mathcal{R}$  ground  $s$ 
  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ 
  shows ground  $t$  using assms(3, 2) srstep-pres-ground-l[OF assms(1)]
  by (induct rule: converse-trancl-induct) auto

lemma srsteps-pres-ground-r:
  assumes ground-sys  $\mathcal{R}$  ground  $t$ 
  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ 
  shows ground  $s$  using assms(3, 2) srstep-pres-ground-r[OF assms(1)]
  by (induct rule: converse-trancl-induct) auto

lemma srsteps-eq-pres-ground-l:
  assumes ground-sys  $\mathcal{R}$  ground  $s$ 
  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
  shows ground  $t$  using srsteps-pres-ground-l[OF assms(1, 2)] assms(2, 3)
  by (auto simp: rtrancl-eq-or-trancl)

lemma srsteps-eq-pres-ground-r:
  assumes ground-sys  $\mathcal{R}$  ground  $t$ 
  and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
  shows ground  $s$  using srsteps-pres-ground-r[OF assms(1, 2)] assms(2, 3)
  by (auto simp: rtrancl-eq-or-trancl)

lemma srsteps-with-root-step-ground:
  assumes ground-sys  $\mathcal{R}$ 
  and  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ 
  shows ground  $s$  ground  $t$  using srrstep-ground[OF assms(1)]
  using srsteps-eq-pres-ground-l[OF assms(1)]

```

```

using srsteps-eq-pres-ground-r[OF assms(1)]
using assms(2) unfolding srsteps-with-root-step-def
by (meson relcomp.cases)+
```

4.5 funas

```

lemma srrstep-funas:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in \text{srrstep } \mathcal{F} \mathcal{R}$ 
shows funas-term  $s \subseteq \text{funas-rel } \mathcal{R}$  funas-term  $t \subseteq \text{funas-rel } \mathcal{R}$  using assms
by (auto simp: sig-step-def funas-term-subst ground-vars-term-empty funas-rel-def
split: prod.splits elim!: rrstep-subst)

lemma srstep-funas-l:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ 
shows funas-term  $t \subseteq \text{funas-term } s \cup \text{funas-rel } \mathcal{R}$  using assms
by (auto simp: ground-vars-term-empty vars-term-subst sig-step-def vars-term ctxt-apply
funas-term-subst funas-rel-def split: prod.splits dest!: rstep-imp-C-s-r)

lemma srstep-funas-r:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ 
shows funas-term  $s \subseteq \text{funas-term } t \cup \text{funas-rel } \mathcal{R}$  using assms
by (auto simp: ground-vars-term-empty vars-term-subst sig-step-def vars-term ctxt-apply
funas-term-subst funas-rel-def split: prod.splits dest!: rstep-imp-C-s-r)

lemma srsteps-funas-l:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ 
shows funas-term  $t \subseteq \text{funas-term } s \cup \text{funas-rel } \mathcal{R}$  using assms(2)
by (induct rule: converse-trancl-induct) (auto dest: srstep-funas-l[OF assms(1)])

lemma srsteps-funas-r:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$ 
shows funas-term  $s \subseteq \text{funas-term } t \cup \text{funas-rel } \mathcal{R}$  using assms(2)
by (induct rule: converse-trancl-induct) (auto dest: srstep-funas-r[OF assms(1)])

lemma srsteps-eq-funas-l:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
shows funas-term  $t \subseteq \text{funas-term } s \cup \text{funas-rel } \mathcal{R}$  using srsteps-funas-l[OF
assms(1)] assms(2)
by (auto simp: rtrancl-eq-or-trancl)

lemma srsteps-eq-funas-r:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
```

```

shows funas-term  $s \subseteq$  funas-term  $t \cup$  funas-rel  $\mathcal{R}$  using srsteps-funas-r[ $OF$ 
assms(1)] assms(2)
by (auto simp: rtrancl-eq-or-trancl)

lemma srsteps-with-root-step-funas:
assumes ground-sys  $\mathcal{R}$ 
and  $(s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{R}$ 
shows funas-term  $s \subseteq$  funas-rel  $\mathcal{R}$  funas-term  $t \subseteq$  funas-rel  $\mathcal{R}$ 
using srrstep-funas[ $OF$  assms(1)]
using srsteps-eq-funas-l[ $OF$  assms(1)]
using srsteps-eq-funas-r[ $OF$  assms(1)]
using assms(2) unfolding srsteps-with-root-step-def
by (metis relcompEpair sup-absorb2)+

end

```

5 Reducing Rewrite Properties to Properties on Ground Terms over Left-Linear Right-Ground Systems

```

theory Ground-Reduction-on-LLRG
imports
  Rewriting-Properties
  Rewriting-LLRG-LV-Mondaic
begin

```

```

lemma llrg-linear-sys:
  llrg  $\mathcal{R} \implies$  linear-sys  $\mathcal{R}$ 
  by (auto simp: llrg-def)

```

6 LLRG results

```

lemma llrg-commute:
assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  funas-rel  $\mathcal{S} \subseteq \mathcal{F}$ 
and fresh:  $(c, 0) \notin \mathcal{F}$ 
and llrg: llrg  $\mathcal{R}$  llrg  $\mathcal{S}$ 
and com: commute (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ ) (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{S}$ )
shows commute (srstep  $\mathcal{F}$   $\mathcal{R}$ ) (srstep  $\mathcal{F}$   $\mathcal{S}$ )
proof -
  let ? $\sigma$  =  $\lambda c. Fun c []$  let ? $\mathcal{H}$  = insert (c, 0)  $\mathcal{F}$ 
  from fresh have fresh-sys:  $(c, 0) \notin$  funas-rel  $\mathcal{S}$   $(c, 0) \notin$  funas-rel ( $\mathcal{R}^{-1}$ ) using
sig
    by (auto simp: funas-rel-def)
  have linear: linear-sys  $\mathcal{S}$  linear-sys ( $\mathcal{R}^{-1}$ ) using llrg unfolding llrg-def by auto
  have sig': funas-rel  $\mathcal{S} \subseteq \mathcal{F}$  funas-rel ( $\mathcal{R}^{-1}$ )  $\subseteq \mathcal{F}$  using sig by (auto simp:
funas-rel-def)
  have mono:  $\mathcal{F} \subseteq ?\mathcal{H}$  by auto

```

```

{fix s t assume ass:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S}$ 
from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
by (metis Un-iff relcomp.cases srstepsD srsteps-with-root-step-srstepsD)+
from ass have m:  $(s, t) \in (\text{srstep } ?\mathcal{H} (\mathcal{R}^{-1}))^* O (\text{srstep } ?\mathcal{H} \mathcal{S})^*$ 
using rtrancl-mono[ $O$  sig-step-mono[ $O$  mono]]
by (auto dest!: srsteps-with-root-step-srstepsD trancl-into-rtrancl)
have gr: ground  $s \vee$  ground  $t$  using ass llrg
unfolding srstep-converse-dist trancl-converse
by (auto simp: llrg-srsteps-with-root-step-inv-ground llrg-srsteps-with-root-step-ground)
have gstep:  $(s \cdot ?\sigma, t \cdot ?\sigma) \in (\text{gsrstep } ?\mathcal{H} \mathcal{S})^* O (\text{gsrstep } ?\mathcal{H} (\mathcal{R}^{-1}))^*$ 
using srsteps-eq-subst-relcomp-closed[ $O$  m, THEN srsteps-eq-relcomp-gsrsteps-relcomp,
of  $??\sigma$ ,
THEN subsetD[ $O$  com[unfolded commute-def], unfolded rew-converse-inwards]]
by (auto simp: ground-substI)
have [simp]: ground  $s \implies (c, 0) \notin$  funas-term  $s$  ground  $t \implies (c, 0) \notin$  funas-term
t
using funas fresh by auto
have  $(s, t) \in (\text{rstep } \mathcal{S})^* O (\text{rstep } (\mathcal{R}^{-1}))^*$ 
using remove-const-subst-relcomp-lhs[ $O$  linear fresh-sys, of  $t$   $s$ ]
using gsrsteps-eq-relcomp-to-rsteps-relcomp[ $O$  gstep] gr
using remove-const-subst-relcomp-lhs[ $O$  linear fresh-sys, of  $t$   $s$ ]
using remove-const-subst-relcomp-rhs[ $O$  linear fresh-sys, of  $s$   $t$ ]
by (cases ground  $s$ ) (simp-all add: ground-subst-apply)
from rsteps-eq-relcomp-srsteps-eq-relcompI[ $O$  sig' funas this]
have commute-redp  $\mathcal{F} \mathcal{R} \mathcal{S} s t$  unfolding commute-redp-def
by (simp add: rew-converse-inwards)}
then show ?thesis by (intro commute-rrstep-intro) simp
qed

```

```

lemma llrg-CR:
assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$ 
and fresh:  $(c, 0) \notin \mathcal{F}$ 
and llrg: llrg  $\mathcal{R}$ 
and com: CR (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ )
shows CR (srstep  $\mathcal{F} \mathcal{R}$ )
using assms llrg-commute unfolding CR-iff-self-commute
by metis

```

```

lemma llrg-SCR:
assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  and fresh:  $(c, 0) \notin \mathcal{F}$ 
and llrg: llrg  $\mathcal{R}$ 
and scr: SCR (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ )
shows SCR (srstep  $\mathcal{F} \mathcal{R}$ )
proof -
let  $??\sigma = \text{const-subst } c$  let  $??\mathcal{H} = \text{insert } (c, 0) \mathcal{F}$ 
from fresh have fresh-sys:  $(c, 0) \notin$  funas-rel  $\mathcal{R}$  using sig
by (auto simp: funas-rel-def)
have mono:  $\mathcal{F} \subseteq ?\mathcal{H}$  by auto note sig-trans = subsetD[ $O$  srstep-monop[ $O$ 

```

```

mono]]]
{fix s t u assume ass: (s, t) ∈ srstep F R (s, u) ∈ srstep F R
  and root: (s, t) ∈ sig-step F (rrstep R) ∨ (s, u) ∈ sig-step F (rrstep R)
  from ass have funas: funas-term s ⊆ F funas-term t ⊆ F funas-term u ⊆ F
    by (force dest: sig-stepE)+}
from root have gr: ground t ∨ ground u using ass llrg llrg-rrsteps-groundness
  unfolding srstep-converse-dist tranci-converse by blast
have *: ground (s · ?σ) ground (t · ?σ) ground (u · ?σ) by auto
from this scr obtain v where v: (t · ?σ, v) ∈ (gsrstep ?H R)= ∧ (u · ?σ, v)
  ∈ (gsrstep ?H R)*
  using srstep-subst-closed[OF sig-trans[OF ass(1)], of ?σ]
  using srstep-subst-closed[OF sig-trans[OF ass(2)], of ?σ]
  using ass unfolding SCR-on-def
  by auto (metis (no-types, lifting) *)
then have fv: funas-term v ⊆ F using gr llrg-funas-term-step-pres[OF llrg, of
- v]
  using llrg-funas-term-steps-pres[OF llrg, of - v] funas sig
  by (auto simp: ground-subst-apply elim!: sig-stepE dest!: gsrsteps-eq-to-rsteps-eq)
blast+
then have c-free: (c, 0) ∉ funas-term v using fresh by blast
then have v = t · const-subst c ==> ground t using arg-cong[of v t · ?σ
funas-term]
  by (auto simp: funas-term-subst vars-term-empty-ground split: if-splits)
from this v have (t, v) ∈ (srstep F R)= (u, v) ∈ (srstep F R)*
  using remove-const-subst-steps-eq-lhs[OF llrg-linear-sys[OF llrg] fresh-sys
c-free, of u,
  THEN rsteps-eq-srsteps-eqI[OF sig funas(3) fv]]
  using remove-const-subst-step-lhs[OF llrg-linear-sys[OF llrg] fresh-sys c-free,
of t,
  THEN sig-stepI[OF funas(2) fv]]
  by (auto simp: ground-subst-apply dest: srstepD gsrsteps-eq-to-rsteps-eq)
then have SCRp F R t u by blast}
then show ?thesis by (intro SCR-rrstep-intro) (metis srrstep-to-srestep)+qed

```

lemma llrg-WCR:

assumes sig: funas-rel R ⊆ F and fresh: (c, 0) ∉ F
 and llrg: llrg R
 and wcr: WCR (gsrstep (insert (c, 0) F) R)
 shows WCR (srstep F R)

proof –

let ?σ = const-subst c let ?H = insert (c, 0) F
 from fresh have fresh-sys: (c, 0) ∉ funas-rel R (c, 0) ∉ funas-rel (R⁻¹) using sig
 by (auto simp: funas-rel-def)
 have lin: linear-sys R linear-sys (R⁻¹) using llrg unfolding llrg-def by auto
 have mono: F ⊆ ?H by auto note sig-trans = subsetD[OF srstep-monp[OF
mono]]

{fix s t u assume ass: (s, t) ∈ sig-step F (rrstep R) (s, u) ∈ srstep F R

```

from ass have funas: funas-term s ⊆ F funas-term t ⊆ F funas-term u ⊆ F
  by blast+
then have c-free: (c, 0) ∉ funas-term t using fresh by blast
from ass have gr: ground t using ass llrg llrg-rrsteps-groundness by blast
have *: ground (s · ?σ) ground (u · ?σ) by auto
from this wcr have w: (t, u · ?σ) ∈ (gsrstep ?H R)↓
  using srstep-subst-closed[OF sig-trans[OF srrstep-to-srestep[OF ass(1)]], of
?σ]
  using srstep-subst-closed[OF sig-trans[OF ass(2)], of ?σ]
  using ass unfolding WCR-on-def
  by auto (metis * gr ground-subst-apply)
have (t, u) ∈ (srstep F R)↓ unfolding join-def
  using remove-const-subst-relcomp-rhs[OF lin fresh-sys c-free
gsrsteps-eq-relcomp-to-rsteps-relcomp[OF w[unfolded join-def rew-converse-inwards]],
THEN rsteps-eq-relcomp-srsteps-eq-relcompI[OF sig funas-rel-converse[OF
sig] funas(2-)]]
  by (metis (no-types, lifting) srstep-converse-dist)}
then show ?thesis by (intro WCR-rrstep-intro) simp
qed

```

```

lemma llrg-UNF:
assumes sig: funas-rel R ⊆ F and fresh: (c, 0) ∉ F
and llrg: llrg R
and unf: UNF (gsrstep (insert (c, 0) F) R)
shows UNF (srstep F R)
proof -
let ?σ = const-subst c let ?H = insert (c, 0) F
from fresh have fresh-sys: (c, 0) ∉ funas-rel R using sig
  by (auto simp: funas-rel-def)
have mono: F ⊆ ?H by auto
{fix s t assume ass: (s, t) ∈ comp-rrstep-rel' F (R⁻¹) R
from ass have funas: funas-term s ⊆ F funas-term t ⊆ F
  by (metis Un-iff relcomp.cases srstepsD srsteps-with-root-step-srstepsD)+
from ass have m: (s, t) ∈ (srstep ?H (R⁻¹))* O (srstep ?H R)*
  using rtrancl-mono[OF sig-step-mono[OF mono]]
  by (auto dest!: srsteps-with-root-step-sresteps-eqD trancl-into-rtrancl)
have gr: ground s ∨ ground t using ass llrg
  unfolding srstep-converse-dist trancl-converse
  by (auto simp: llrg-srsteps-with-root-step-inv-ground llrg-srsteps-with-root-step-ground)
have wit: s · ?σ ∈ NF (gsrstep ?H R) ⟹ t · ?σ ∈ NF (gsrstep ?H R) ⟹ s
· ?σ = t · ?σ using unf
  using srsteps-eq-subst-relcomp-closed[OF m, THEN srsteps-eq-relcomp-gsrsteps-relcomp,
of ?σ]
  by (auto simp: UNF-on-def rew-converse-outwards normalizability-def)
  from funas NF-to-fresh-const-subst-NF[OF llrg-linear-sys[OF llrg] fresh-sys
sig(1)]
  have s ∈ NF (srstep F R) ⟹ s · ?σ ∈ NF (gsrstep ?H R) t ∈ NF (srstep F
R) ⟹ t · ?σ ∈ NF (gsrstep ?H R)

```

```

    by auto
  moreover have  $s \cdot ?\sigma = t \cdot ?\sigma \implies s = t$  using gr funas fresh
    by (cases ground s) (auto simp: ground-subst-apply funas-term-subst vars-term-empty-ground
split: if-splits)
  ultimately have UN-redp  $\mathcal{F} \mathcal{R} s t$  using wit unfolding UN-redp-def
    by auto}
  then show ?thesis by (intro UNF-rrstep-intro) simp
qed

```

lemma llrg-NFP:

```

assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  and fresh:  $(c, 0) \notin \mathcal{F}$ 
and llrg: llrg  $\mathcal{R}$ 
and nfp: NFP (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ )
shows NFP (srstep  $\mathcal{F} \mathcal{R}$ )

```

proof –

```

let  $??\sigma = \text{const-subst } c$  let  $??\mathcal{H} = \text{insert } (c, 0) \mathcal{F}$ 
from fresh have fresh-sys:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$ 
  using sig by (auto simp: funas-rel-def)
have mono:  $\mathcal{F} \subseteq ??\mathcal{H}$  by auto
{fix s t assume ass:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$ 
  from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
    by (metis Un-iff relcomp.cases srstepsD srsteps-with-root-step-srstepsD)+
  from ass have m:  $(s, t) \in (\text{srstep } ??\mathcal{H} (\mathcal{R}^{-1}))^* O (\text{srstep } ??\mathcal{H} \mathcal{R})^*$ 
    using rtrancl-mono[OF sig-step-mono[OF mono]]
    by (auto dest!: srsteps-with-root-step-srsteps-eqD trancl-into-rtrancl)
  have gr: ground s  $\vee$  ground t using ass llrg
    unfolding srstep-converse-dist trancl-converse
    by (auto simp: llrg-srsteps-with-root-step-inv-ground llrg-srsteps-with-root-step-ground)
  have wit:  $t \cdot ?\sigma \in \text{NF } (\text{gsrstep } ??\mathcal{H} \mathcal{R}) \implies (s \cdot ?\sigma, t \cdot ?\sigma) \in (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{R})^*$ 
    using NFP-stepD[OF nfp]
    using srsteps-eq-subst-relcomp-closed[OF m, THEN srsteps-eq-relcomp-gsrsteps-relcomp,
of ?\sigma]
    by (auto simp: rew-converse-outwards)
  from funas NF-to-fresh-const-subst-NF[OF llrg-linear-sys[OF llrg] fresh-sys(1)
sig(1)]
  have t in NF (srstep  $\mathcal{F} \mathcal{R}$ )  $\implies t \cdot ?\sigma \in \text{NF } (\text{gsrstep } ??\mathcal{H} \mathcal{R})$  by auto
  moreover have  $(s \cdot ?\sigma, t \cdot ?\sigma) \in (\text{rstep } \mathcal{R})^* \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$  using
gr funas fresh
    using remove-const-subst-steps-eq-lhs[OF llrg-linear-sys[OF llrg] fresh-sys,
THEN rsteps-eq-srsteps-eqI[OF sig funas]]
    using remove-const-subst-steps-eq-rhs[OF llrg-linear-sys[OF llrg] fresh-sys,
THEN rsteps-eq-srsteps-eqI[OF sig funas]]
    by (cases ground s) (auto simp: ground-subst-apply)
  ultimately have NFP-redp  $\mathcal{F} \mathcal{R} s t$  using wit unfolding NFP-redp-def
    by (auto dest: gsrsteps-eq-to-rsteps-eq)}
  then show ?thesis by (intro NFP-rrstep-intro) simp
qed

```

lemma *llrg-NE-aux*:

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
and $\text{sig: funas-rel } \mathcal{R} \subseteq \mathcal{F}$ $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$ **and** $\text{fresh: } (c, 0) \notin \mathcal{F}$
and $\text{llrg: llrg } \mathcal{R} \text{ llrg } \mathcal{S}$
and $\text{ne: NE } (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{R}) (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{S})$
shows $\text{NE-redp } \mathcal{F} \mathcal{R} \mathcal{S} s t$

proof –

from fresh **have** $\text{fresh-sys: } (c, 0) \notin \text{funas-rel } \mathcal{R}$ $(c, 0) \notin \text{funas-rel } \mathcal{S}$

using sig **by** (auto simp: funas-rel-def)

let $?σ = \text{const-subst } c$ **let** $?H = \text{insert } (c, 0) \mathcal{F}$

let $?H = \text{insert } (c, 0) \mathcal{F}$ **have** $\text{mono: } \mathcal{F} \subseteq ?H$ **by** auto

from $\text{assms}(1)$ **have** $\text{gr: ground } t$ **and** $\text{funas: funas-term } s \subseteq \mathcal{F}$ $\text{funas-term } t \subseteq \mathcal{F}$

using $\text{llrg-srsteps-with-root-step-ground}[\text{OF llrg}(1)]$

by (meson srstepsD srsteps-with-root-step-srstepsD)+

from funas **have** $\text{fresh-t: } (c, 0) \notin \text{funas-term } t$ **using** fresh **by** auto

from $\text{srsteps-subst-closed}[\text{OF srsteps-monp}[\text{OF mono}, \text{THEN subsetD}, \text{OF srsteps-with-root-step-srstepsD}[\text{OF assms}(1)]]], \text{ of } ?σ$

have $(s \cdot ?σ, t) \in (\text{gsrstep } ?H \mathcal{R})^+$ **using** gr

by (auto simp: ground-subst-apply intro!: ground-srsteps-gsrsteps)

then have $t \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R}) \implies (s \cdot ?σ, t) \in (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{S})^*$

using $\text{NF-to-fresh-const-subst-NF}[\text{OF llrg-linear-sys}[\text{OF llrg}(1)] \text{ fresh-sys}(1)$

$\text{sig}(1) \text{ funas}(2), \text{ of } ?H]$

using $\text{gr NE-NF-eq}[\text{OF ne, symmetric}] \text{ ne unfolding NE-on-def}$

by (auto simp: normalizability-def ground-subst-apply dest!: trancl-into-rtrancl)

then show $\text{NE-redp } \mathcal{F} \mathcal{R} \mathcal{S} s t$ **unfolding** NE-redp-def

using $\text{remove-const-subst-steps-eq-lhs}[\text{OF llrg-linear-sys}[\text{OF llrg}(2)] \text{ fresh-sys}(2)$

$\text{fresh-t},$

$\text{THEN rsteps-eq-srsteps-eqI}[\text{OF sig}(2) \text{ funas}]$

by (auto dest: gsrsteps-eq-to-rsteps-eq)

qed

lemma *llrg-NE*:

assumes $\text{sig: funas-rel } \mathcal{R} \subseteq \mathcal{F}$ $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$ **and** $\text{fresh: } (c, 0) \notin \mathcal{F}$

and $\text{llrg: llrg } \mathcal{R} \text{ llrg } \mathcal{S}$

and $\text{ne: NE } (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{R}) (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{S})$

shows $\text{NE } (\text{srstep } \mathcal{F} \mathcal{R}) (\text{srstep } \mathcal{F} \mathcal{S})$

proof –

have $f: (c, 0) \notin \text{funas-rel } \mathcal{R}$ $(c, 0) \notin \text{funas-rel } \mathcal{S}$ **using** fresh sig

by (auto simp: funas-rel-def)

{fix $s t$ **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$

from $\text{llrg-NE-aux}[\text{OF this sig fresh llrg ne}]$ **have** $\text{NE-redp } \mathcal{F} \mathcal{R} \mathcal{S} s t$

by simp}

moreover

{fix $s t$ **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{S}$

from $\text{llrg-NE-aux}[\text{OF this sig}(2, 1) \text{ fresh llrg}(2, 1) \text{ NE-symmetric}[\text{OF ne}]]$

```

have NE-redp  $\mathcal{F} \mathcal{S} \mathcal{R} s t$  by simp}
ultimately show ?thesis using linear-sys-gNF-eq-NF-eq[OF llrg-linear-sys[OF
llrg(1)]]
llrg-linear-sys[OF llrg(2)] sig f -- NE-NF-eq[OF ne]
by (intro NE-rrstep-intro) auto
qed

```

6.1 Specialized for monadic signature

lemma monadic-commute:

```

assumes llrg  $\mathcal{R}$  llrg  $\mathcal{S}$  monadic  $\mathcal{F}$ 
and com: commute (gsrstep  $\mathcal{F} \mathcal{R}$ ) (gsrstep  $\mathcal{F} \mathcal{S}$ )
shows commute (srstep  $\mathcal{F} \mathcal{R}$ ) (srstep  $\mathcal{F} \mathcal{S}$ )
proof –
{fix s t assume ass:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S}$ 
then obtain u where steps:  $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+$   $(u, t) \in (\text{srstep } \mathcal{F}$ 
 $\mathcal{S})^+$ 
by (auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)
have gr: ground s ground t using steps assms(1 – 3)
unfolding rew-converse-outwards
by (auto simp: llrg-srsteps-with-root-step-ground llrg-monadic-rsteps-groundness)
from steps(1) have f: funas-term s  $\subseteq \mathcal{F}$  using srstepsD by blast
let ? $\sigma = \lambda \_. s$ 
from steps gr have  $(s, u \cdot ?\sigma) \in (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^+$   $(u \cdot ?\sigma, t) \in (\text{gsrstep } \mathcal{F}$ 
 $\mathcal{S})^+$ 
unfolding rew-converse-outwards
using srsteps-subst-closed[where ? $\sigma = ?\sigma$  and ?s = u, of -  $\mathcal{F}$ ] f
by (force simp: ground-subst-apply intro: ground-srsteps-gsrsteps)+
then have  $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^* O (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^*$  using com
unfolding commute-def rew-converse-inwards
by (meson relcomp.relcompI subsetD trancl-into-rtrancl)
from gsrsteps-eq-relcomp-srsteps-relcompD[OF this]
have commute-redp  $\mathcal{F} \mathcal{R} \mathcal{S} s t$  unfolding commute-redp-def
by (simp add: rew-converse-inwards)}
then show ?thesis by (intro commute-rrstep-intro) simp
qed

```

lemma monadic-CR:

```

assumes llrg  $\mathcal{R}$  monadic  $\mathcal{F}$ 
and CR (gsrstep  $\mathcal{F} \mathcal{R}$ )
shows CR (srstep  $\mathcal{F} \mathcal{R}$ ) using monadic-commute assms
unfolding CR-iff-self-commute
by blast

```

lemma monadic-SCR:

```

assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  monadic  $\mathcal{F}$ 
and llrg: llrg  $\mathcal{R}$ 
and scr: SCR (gsrstep  $\mathcal{F} \mathcal{R}$ )

```

shows $SCR(srstep \mathcal{F} \mathcal{R})$
proof –
 {fix $s t u$ **assume** $ass: (s, t) \in srstep \mathcal{F} \mathcal{R}$ $(s, u) \in srstep \mathcal{F} \mathcal{R}$
 and $root: (s, t) \in sig-step \mathcal{F} (rrstep \mathcal{R}) \vee (s, u) \in sig-step \mathcal{F} (rrstep \mathcal{R})$
 from ass **have** $funas: funas-term s \subseteq \mathcal{F}$ $funas-term t \subseteq \mathcal{F}$ $funas-term u \subseteq \mathcal{F}$
 by *blast+*
 from $root$ **have** $gr: ground t ground u$ **using** $ass sig(2) llrg$
 by (*metis llrg-monadic-rstep-pres-groundness*)
 let $?σ = λ -. t$ **have** $grs: ground (s ∙ ?σ)$ **using** gr **by** *auto*
 from *this* scr **obtain** v **where** $v: (t, v) \in (gsrstep \mathcal{F} \mathcal{R})^= \wedge (u, v) \in (gsrstep \mathcal{F} \mathcal{R})^*$
 using $srstep-subst-closed[OF ass(1), of ?σ]$
 using $srstep-subst-closed[OF ass(2), of ?σ]$
 using gr **unfolding** $SCR\text{-on-def}$
 by (*metis Int-iff UNIV-I funas(2) ground-subst-apply mem-Collect-eq mem-Sigma-iff*)
 then have $SCRp \mathcal{F} \mathcal{R} t u$
 by (*metis Int-iff Un-iff gsrsteps-eq-to-srsteps-eq*)
 then show $?thesis$ **by** (*intro SCR-rrstep-intro*) (*metis srrstep-to-srestep*)
 qed

lemma *monadic-WCR*:
assumes $sig: funas-rel \mathcal{R} \subseteq \mathcal{F}$ *monadic* \mathcal{F}
 and $llrg: llrg \mathcal{R}$
 and $wcr: WCR(gsrstep \mathcal{F} \mathcal{R})$
 shows $WCR(srstep \mathcal{F} \mathcal{R})$
proof –
 {fix $s t u$ **assume** $ass: (s, t) \in sig-step \mathcal{F} (rrstep \mathcal{R})$ $(s, u) \in srstep \mathcal{F} \mathcal{R}$
 from ass **have** $funas: funas-term s \subseteq \mathcal{F}$ $funas-term t \subseteq \mathcal{F}$ $funas-term u \subseteq \mathcal{F}$
 by *blast+*
 from $srrstep-to-srestep ass$ **have** $gr: ground t ground u$ **using** $ass sig(2) llrg$
 by (*metis llrg-monadic-rstep-pres-groundness*)
 let $?σ = λ -. t$ **have** $grs: ground (s ∙ ?σ)$ **using** gr **by** *auto*
 from *this* wcr **have** $w: (t, u) \in (gsrstep \mathcal{F} \mathcal{R})^↓$ **using** $gr ass(2) funas$
 using $srstep-subst-closed[OF srrstep-to-srestep[OF ass(1)], of ?σ]$
 using $srstep-subst-closed[OF ass(2), of ?σ]$
 unfolding $WCR\text{-on-def}$
 by (*metis IntI SigmaI UNIV-I ground-subst-apply mem-Collect-eq*)
 then have $(t, u) \in (srstep \mathcal{F} \mathcal{R})^↓$ **unfolding** $join\text{-def}$
 by (*metis gsrsteps-eq-to-srsteps-eq joinD joinI join-def*)
 then show $?thesis$ **by** (*intro WCR-rrstep-intro*) *simp*
 qed

lemma *monadic-UNF*:
assumes $llrg \mathcal{R}$ *monadic* \mathcal{F}
 and $unf: UNF(gsrstep \mathcal{F} \mathcal{R})$
 shows $UNF(srstep \mathcal{F} \mathcal{R})$
proof –
 {fix $s t$ **assume** $ass: (s, t) \in comp-rrstep-rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$
 then obtain u **where** $steps: (s, u) \in (srstep \mathcal{F} (\mathcal{R}^{-1}))^+ (u, t) \in (srstep \mathcal{F}$

```

 $\mathcal{R})^+$ 
  by (auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)
  have gr: ground s ground t using steps assms(1, 2)
    unfolding rew-converse-outwards
  by (auto simp: llrg-srsteps-with-root-step-ground llrg-monadic-rsteps-groundness)
  from steps(1) have f: funas-term s ⊆  $\mathcal{F}$  using srstepsD by blast
  let ?σ = λ -. s
  from steps gr have (s, u · ?σ) ∈ (gsrstep  $\mathcal{F}$  ( $\mathcal{R}^{-1}$ ))+ (u · ?σ, t) ∈ (gsrstep  $\mathcal{F}$ 
 $\mathcal{R})^+$ 
    unfolding rew-converse-outwards
    using srsteps-subst-closed[where ?σ = ?σ and ?s = u, of -  $\mathcal{F}$ ] f
    by (force simp: ground-subst-apply intro: ground-srsteps-gsrsteps)+
    then have UN-redp  $\mathcal{F}$   $\mathcal{R}$  s t using unf ground-NF-srstep-gsrstep[OF gr(1), of
 $\mathcal{F}$   $\mathcal{R}$ ]
      using ground-NF-srstep-gsrstep[OF gr(2), of  $\mathcal{F}$   $\mathcal{R}$ ]
      by (auto simp: UNF-on-def UN-redp-def normalizability-def rew-converse-outwards)
        (meson trancl-into-rtrancl)}
    then show ?thesis by (intro UNF-rrstep-intro) simp
qed

lemma monadic-UNC:
assumes llrg  $\mathcal{R}$  monadic  $\mathcal{F}$ 
and well: funas-rel  $\mathcal{R}$  ⊆  $\mathcal{F}$ 
and unc: UNC (gsrstep  $\mathcal{F}$   $\mathcal{R}$ )
shows UNC (srstep  $\mathcal{F}$   $\mathcal{R}$ )
proof -
  {fix s t assume ass: (s, t) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ )leftrightarrow* s ∈ NF (srstep  $\mathcal{F}$   $\mathcal{R}$ ) t ∈ NF
  (srstep  $\mathcal{F}$   $\mathcal{R}$ )
    then have s = t
    proof (cases s = t)
      case False
        then have ∃ s' t'. (s, t) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ )leftrightarrow* ∧ (s', s) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ ) ∧ (t',
        t) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ )
          using ass by (auto simp: conversion-def rtrancl-eq-or-trancl dest: tranclD
            tranclD2)
        then obtain s' t' where split: (s, t) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ )leftrightarrow* (s', s) ∈ (srstep  $\mathcal{F}$ 
 $\mathcal{R}$ ) (t', t) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ ) by blast
        from split(2, 3) have gr: ground s ground t using llrg-monadic-rstep-pres-groundness[OF
        assms(1, 2)]
          by blast+
        from ground-srsteps-eq-gsrsteps-eq[OF this ass(1)[unfolded sig-step-conversion-dist]]
        have (s, t) ∈ (gsrstep  $\mathcal{F}$   $\mathcal{R}$ )leftrightarrow*
          unfolding conversion-def Restr-smycl-dist
          by (simp add: rstep-converse-dist srstep-symcl-dist)
        then show ?thesis using ass(2-) unc gr
          by (auto simp: UNC-def ground-NF-srstep-gsrstep)
    qed auto}
  then show ?thesis by (auto simp: UNC-def UN-redp-def)

```

qed

```

lemma monadic-NFP:
  assumes llrg R monadic F
  and nfp: NFP (gsrstep F R)
  shows NFP (srstep F R)
proof -
  {fix s t u assume ass: (s, t) ∈ (srstep F R)* (s, u) ∈ (srstep F R)* u ∈ NF
  (srstep F R)
    have (t, u) ∈ (srstep F R)*
    proof (cases s = u ∨ s = t)
      case [simp]: True show ?thesis using ass
        by (metis NF-not-suc True rtrancr.rtrancr-refl)
    next
      case False
      then have steps:(s, t) ∈ (srstep F R)+ (s, u) ∈ (srstep F R)+ using ass(1,
      2)
        by (auto simp add: rtrancr-eq-or-trancr)
      then have gr: ground t ground u using assms(1, 2) llrg-monadic-rsteps-groundness
        by blast+
      let ?σ = λ -. t from steps gr have (s · ?σ, t) ∈ (gsrstep F R)+ (s · ?σ, u)
        ∈ (gsrstep F R)+
        by (auto intro!: ground-srsteps-gsrsteps)
          (metis ground-subst-apply srstepsD srsteps-subst-closed)+
      then have (t, u) ∈ (gsrstep F R)* using nfp ass(3) gr
        by (auto simp: NFP-on-def) (metis ground-NF-srstep-gsrstep normalizability-I trancr-into-rtrancr)
      then show ?thesis by (auto dest: gsrsteps-eq-to-srsteps-eq)
    qed}
  then show ?thesis unfolding NFP-on-def
    by auto
qed

end

```

7 Reducing Rewrite Properties to Properties on Ground Terms over Ground Systems

```

theory Ground-Reduction-on-GTRS
imports
  Rewriting-Properties
  Rewriting-GTRS
  Rewriting-LLRG-LV-Mondaic
begin

lemma ground-sys-nf-eq-lift:

```

```

fixes  $\mathcal{R} :: ('f, 'v) term rel$ 
assumes gtrs: ground-sys  $\mathcal{R}$  ground-sys  $\mathcal{S}$ 
  and nf: NF (gsrstep  $\mathcal{F}$   $\mathcal{R}$ ) = NF (gsrstep  $\mathcal{F}$   $\mathcal{S}$ )
shows NF (srstep  $\mathcal{F}$   $\mathcal{R}$ ) = NF (srstep  $\mathcal{F}$   $\mathcal{S}$ )
proof -
  {fix s  $\mathcal{U}$   $\mathcal{V}$  assume ass: ground-sys ( $\mathcal{U} :: ('f, 'v) term rel$ ) ground-sys  $\mathcal{V}$ 
   NF (gsrstep  $\mathcal{F}$   $\mathcal{U}$ ) = NF (gsrstep  $\mathcal{F}$   $\mathcal{V}$ )  $s \in NF (srstep \mathcal{F} \mathcal{U})$ 
   have  $s \in NF (srstep \mathcal{F} \mathcal{V})$ 
   proof (rule ccontr)
     assume  $s \notin NF (srstep \mathcal{F} \mathcal{V})$ 
     then obtain  $C l r \sigma$  where step:  $(l, r) \in \mathcal{V}$  and rep:  $s = C \langle l \cdot \sigma \rangle$ 
       and funas: funas-ctxt  $C \subseteq \mathcal{F}$  funas-term  $l \subseteq \mathcal{F}$  funas-term  $r \subseteq \mathcal{F}$  using
     ass(2)
       by (auto simp: funas-term-subst NF-def sig-step-def dest!: rstep-imp-C-s-r)
     blast
     from step ass(2) rep have rep:  $s = C \langle l \rangle$  ground  $l$  ground  $r$ 
       by (auto intro: ground-subst-apply)
     from step rep(2-) funas have  $l \notin NF (gsrstep \mathcal{F} \mathcal{V})$ 
       by (auto simp: NF-def sig-step-def Image-def)
     from this ass(3) have  $l \notin NF (srstep \mathcal{F} \mathcal{U})$  by auto
     then obtain t where  $(l, t) \in srstep \mathcal{F} \mathcal{U}$  by auto
     from srstep-ctxt-closed[OF funas(1) this, unfolded rep(1)[symmetric]]
     show False using ass(4)
       by auto
     qed}
   then show ?thesis using assms
     by (smt (verit, best) equalityI subsetI)
qed

lemma ground-sys-inv:
ground-sys  $\mathcal{R} \implies$  ground-sys  $(\mathcal{R}^{-1})$  by auto

lemma ground-sys-symcl:
ground-sys  $\mathcal{R} \implies$  ground-sys  $(\mathcal{R}^{\leftrightarrow})$  by auto

lemma ground-sys-comp-rrstep-rel'-ground:
assumes ground-sys  $\mathcal{R}$  ground-sys  $\mathcal{S}$ 
  and  $(s, t) \in comp-rrstep-rel' \mathcal{F} \mathcal{R} \mathcal{S}$ 
shows ground  $s$  ground  $t$ 
proof -
  from assms(3) consider (a)  $(s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{R} O (srstep \mathcal{F} \mathcal{S})^+$  |
    (b)  $(s, t) \in (srstep \mathcal{F} \mathcal{R})^+ O srsteps-with-root-step \mathcal{F} \mathcal{S}$ 
  by auto
  then have ground  $s \wedge$  ground  $t$ 
  proof cases
    case a
    then show ?thesis using srsteps-with-root-step-ground(1)[OF assms(1)]
      using srsteps-with-root-step-ground(2)[OF assms(1), THEN srsteps-pres-ground-l[OF

```

```

assms(2)]]
  by blast
next
case b
  then show ?thesis using srsteps-with-root-step-ground(2)[OF assms(2)]
  using srsteps-with-root-step-ground(1)[OF assms(2), THEN srsteps-pres-ground-r[OF
assms(1)]]
    by blast
qed
then show ground s ground t by simp-all
qed

lemma GTRS-commute:
  assumes ground-sys  $\mathcal{R}$  ground-sys  $\mathcal{S}$ 
  and com: commute (gsrstep  $\mathcal{F}$   $\mathcal{R}$ ) (gsrstep  $\mathcal{F}$   $\mathcal{S}$ )
  shows commute (srstep  $\mathcal{F}$   $\mathcal{R}$ ) (srstep  $\mathcal{F}$   $\mathcal{S}$ )
proof -
  {fix s t assume ass:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S}$ 
    then obtain u where steps:  $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u, t) \in (\text{srstep } \mathcal{F}$ 
 $\mathcal{S})^+$ 
      by (auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)
    have gr: ground s ground t ground u
      using ground-sys-comp-rrstep-rel'-ground[OF ground-sys-inv[OF assms(1)]]
assms(2) ass]
      using srsteps-pres-ground-r[OF assms(2) - steps(2)] by auto
    then have  $(s, u) \in (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^* (u, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^*$  using steps
      by (auto dest!: trancl-into-rtrancl intro: ground-srsteps-eq-gsrsteps-eq)
    then have  $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^* O (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^*$  using com steps
      by (auto simp: commute-def rew-converse-inwards)
    from gsrsteps-eq-relcomp-srsteps-relcompD[OF this]
    have commute-redp  $\mathcal{F}$   $\mathcal{R}$   $\mathcal{S}$  s t unfolding commute-redp-def
      by (simp add: rew-converse-inwards)}
    then show ?thesis by (intro commute-rrstep-intro) simp
qed

lemma GTRS-CR:
  assumes ground-sys  $\mathcal{R}$ 
  and CR (gsrstep  $\mathcal{F}$   $\mathcal{R}$ )
  shows CR (srstep  $\mathcal{F}$   $\mathcal{R}$ ) using GTRS-commute assms
  unfolding CR-iff-self-commute
  by blast

lemma GTRS-SCR:
  assumes gtrs: ground-sys  $\mathcal{R}$ 
  and scr: SCR (gsrstep  $\mathcal{F}$   $\mathcal{R}$ )
  shows SCR (srstep  $\mathcal{F}$   $\mathcal{R}$ )
proof -
  {fix s t u assume ass:  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R} (s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$ 

```

and *root*: $(s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \vee (s, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R})$
from *ass* **have** *funas*: $\text{funas-term } s \subseteq \mathcal{F}$ $\text{funas-term } t \subseteq \mathcal{F}$ $\text{funas-term } u \subseteq \mathcal{F}$
by *blast+*
from *root* **have** *gr*: $\text{ground } s \text{ ground } t \text{ ground } u$ **using** *ass gtrs*
 using *srrstep-ground srstep-pres-ground-l srstep-pres-ground-r*
 by *metis+*
from *scr obtain v where* $v: (t, v) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^= \wedge (u, v) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^*$
 using *gr unfolding SCR-on-def*
 by (*metis Int-iff UNIV-I ass mem-Collect-eq mem-Sigma-iff*)
then have *SCRp F R t u*
 by (*metis (full-types) Int-iff Un-iff gsrsteps-eq-to-srsteps-eq*)
then show ?*thesis* **by** (*intro SCR-rrstep-intro*) (*metis srrstep-to-srestep*)
qed

lemma *GTRS-WCR*:

assumes *gtrs*: $\text{ground-sys } \mathcal{R}$
and *wcr*: $\text{WCR } (\text{gsrstep } \mathcal{F} \mathcal{R})$
shows $\text{WCR } (\text{srstep } \mathcal{F} \mathcal{R})$
proof –
{fix *s t u assume* *ass*: $(s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R})$ $(s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$
from *ass* **have** *funas*: $\text{funas-term } s \subseteq \mathcal{F}$ $\text{funas-term } t \subseteq \mathcal{F}$ $\text{funas-term } u \subseteq \mathcal{F}$
by *blast+*
from *srrstep-ground[OF gtrs ass(1)] have* *gr*: $\text{ground } s \text{ ground } t \text{ ground } u$
 using *srstep-pres-ground-l[OF gtrs - ass(2)]*
 by *simp-all*
from *this wcr have* *w*: $(t, u) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^\perp$ **using** *ass funas*
 unfolding *WCR-on-def*
 by (*metis IntI SigmaI UNIV-I mem-Collect-eq srrstep-to-srestep*)
then have $(t, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^\perp$ **unfolding** *join-def*
 by (*metis (full-types) gsrsteps-eq-to-srsteps-eq joinD joinI join-def*)
then show ?*thesis* **by** (*intro WCR-rrstep-intro*) *simp*
qed

lemma *GTRS-UNF*:

assumes *gtrs*: $\text{ground-sys } \mathcal{R}$
and *unf*: $\text{UNF } (\text{gsrstep } \mathcal{F} \mathcal{R})$
shows $\text{UNF } (\text{srstep } \mathcal{F} \mathcal{R})$
proof –
{fix *s t assume* *ass*: $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$
 then obtain *u* **where** *steps*: $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
 by (*auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD*)
have *gr*: $\text{ground } s \text{ ground } t \text{ ground } u$
 using *ground-sys-comp-rrstep-rel'-ground[OF ground-sys-inv[OF gtrs] gtrs ass]*
 using *srsteps-pres-ground-r[OF gtrs - steps(2)]* **by** *auto*
from *steps(1)* **have** *f*: $\text{funas-term } s \subseteq \mathcal{F}$ **by** (*simp add: srstepsD*)
let $?{\sigma} = \lambda _. s$
from *steps gr have* $(s, u \cdot ?{\sigma}) \in (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u \cdot ?{\sigma}, t) \in (\text{gsrstep } \mathcal{F}$

```

 $\mathcal{R})^+$ 
unfolding srstep-converse-dist Restr-converse trancL-converse
using srsteps-subst-closed[where ? $\sigma$  = ? $\sigma$  and ? $s$  =  $u$ , of -  $\mathcal{F}$ ] f
by (force simp: ground-subst-apply intro: ground-srsteps-gsrsteps) +
then have UN-redp  $\mathcal{F} \mathcal{R} s t$  using unf ground-NF-srstep-gsrstep[OF gr(1), of
 $\mathcal{F} \mathcal{R}]$ 
using ground-NF-srstep-gsrstep[OF gr(2), of  $\mathcal{F} \mathcal{R}]$ 
by (auto simp: UNF-on-def UN-redp-def normalizability-def rew-converse-outwards)
      (meson trancL-into-rtrancL)}
then show ?thesis by (intro UNF-rrstep-intro) simp
qed

```

```

lemma GTRS-UNC:
assumes gtrs: ground-sys  $\mathcal{R}$ 
and unc: UNC (gsrstep  $\mathcal{F} \mathcal{R}$ )
shows UNC (srstep  $\mathcal{F} \mathcal{R}$ )
proof -
  {fix  $s t$  assume ass:  $(s, t) \in$  srsteps-with-root-step  $\mathcal{F} (\mathcal{R}^\leftrightarrow)$ 
   from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
   by (meson srstepsD srsteps-with-root-step-srstepsD) +
   from ass have ground  $s$  ground  $t$  using srsteps-with-root-step-ground[OF
   ground-sys-symcl[OF gtrs]]
   by auto
   then have UN-redp  $\mathcal{F} \mathcal{R} s t$  unfolding UN-redp-def using ass unc unfolding
   UNC-def
   by (simp add: ground-NF-srstep-gsrstep ground-srsteps-eq-gsrsteps-eq gsrstep-conversion-dist
   srsteps-with-root-step-srsteps-eqD)}
   then show ?thesis by (intro UNC-rrstep-intro) simp
qed

```

```

lemma GTRS-NFP:
assumes ground-sys  $\mathcal{R}$ 
and nfp: NFP (gsrstep  $\mathcal{F} \mathcal{R}$ )
shows NFP (srstep  $\mathcal{F} \mathcal{R}$ )
proof -
  {fix  $s t$  assume ass:  $(s, t) \in$  comp-rrstep-rel'  $\mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$ 
   from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
   by (meson Un-iff relcompEpair srstepsD srsteps-with-root-step-srstepsD) +
   from ass have ground  $s$  ground  $t$ 
   by (metis assms(1) ground-sys-comp-rrstep-rel'-ground ground-sys-inv) +
   from this ass have  $(s, t) \in (gsrstep \mathcal{F} (\mathcal{R}^{-1}))^* O (gsrstep \mathcal{F} \mathcal{R})^*$ 
   by (intro srsteps-eq-relcomp-gsrsteps-relcomp) (auto dest!: srsteps-with-root-step-srsteps-eqD)
   then have  $t \in NF (gsrstep \mathcal{F} \mathcal{R}) \implies (s, t) \in (gsrstep \mathcal{F} \mathcal{R})^*$  using
   NFP-stepD[OF nfp]
   by (auto simp: rew-converse-outwards)
   then have NFP-redp  $\mathcal{F} \mathcal{R} s t$  unfolding NFP-redp-def
   by (simp add: ground-NF-srstep-gsrstep gsrsteps-eq-to-srsteps-eq)}

```

```

then show ?thesis by (intro NFP-rrstep-intro) simp
qed

```

lemma GTRS-NE-aux:

```

assumes (s, t) ∈ srsteps-with-root-step F R
and gtrs: ground-sys R ground-sys S
and ne: NE (gsrstep F R) (gsrstep F S)
shows NE-redp F R S s t

proof –
  from assms(1) have gr: ground s ground t
    using srsteps-with-root-step-ground[OF gtrs(1)] by simp-all
  have (s, t) ∈ (gsrstep F R)⁺ using gr assms(1)
    by (auto dest: srsteps-with-root-step-srstepsD intro: ground-srsteps-gsrsteps)
  then have t ∈ NF (srstep F R) ==> (s, t) ∈ (gsrstep F S)*
    using gr ne unfolding NE-on-def
    by (auto simp: normalizability-def ground-subst-apply dest!: trancl-into-rtrancl)
  blast
  then show NE-redp F R S s t unfolding NE-redp-def
    by (simp add: gsrsteps-eq-to-srsteps-eq)
qed

```

lemma GTRS-NE:

```

assumes gtrs: ground-sys R ground-sys S
and ne: NE (gsrstep F R) (gsrstep F S)
shows NE (srstep F R) (srstep F S)

proof –
  {fix s t assume (s, t) ∈ srsteps-with-root-step F R
   from GTRS-NE-aux[OF this gtrs ne] have NE-redp F R S s t
   by simp}
  moreover
  {fix s t assume (s, t) ∈ srsteps-with-root-step F S
   from GTRS-NE-aux[OF this gtrs(2, 1) NE-symmetric[OF ne]]
   have NE-redp F S R s t by simp}
  ultimately show ?thesis
    using ground-sys-nf-eq-lift[OF gtrs NE-NF-eq[OF ne]]
    by (intro NE-rrstep-intro) auto
qed

```

lemma gtrs-CE-aux:

```

assumes step:(s, t) ∈ srsteps-with-root-step F (R↔)
and gtrs: ground-sys R ground-sys S
and ce: CE (gsrstep F R) (gsrstep F S)
shows (s, t) ∈ (srstep F S)↔*
proof –
  from step gtrs(1) have ground s ground t
    by (metis ground-sys-symcl srsteps-with-root-step-ground)+
  then have (s, t) ∈ (gsrstep F R)↔* using step

```

```

by (simp add: ground-srsteps-eq-gsrsteps-eq gsrstep-conversion-dist srsteps-with-root-step-srsteps-eqD)
then have  $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$  using ce unfolding CE-on-def
    by blast
then show  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ 
    by (simp add: gsrstep-conversion-dist gsrsteps-eq-to-srsteps-eq symcl-srsteps-conversion)
qed

```

lemma *gtrs-CE*:

```

assumes gtrs: ground-sys R ground-sys S
and ce: CE (gsrstep F R) (gsrstep F S)
shows CE (srstep F R) (srstep F S)
proof –
  {fix s t assume  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$ 
   from gtrs-CE-aux[OF this gtrs ce] have  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$  by simp}
  moreover
  {fix s t assume  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^{\leftrightarrow})$ 
   from gtrs-CE-aux[OF this gtrs(2, 1) CE-symmetric[OF ce]]
   have  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$  by simp}
  ultimately show ?thesis
    by (intro CE-rrstep-intro) auto
qed

```

end

8 Reducing Rewrite Properties to Properties on Ground Terms over Linear Variable-Separated Systems

theory *Ground-Reduction-on-LV*

imports

Rewriting-Properties

Rewriting-LLRG-LV-Mondaic

begin

lemma *lv-linear-sys*: $lv \mathcal{R} \implies linear\text{-sys } \mathcal{R}$
by (*auto simp: lv-def*)

lemma *comp-rrstep-rel'-sig-mono*:

$\mathcal{F} \subseteq \mathcal{G} \implies comp\text{-rrstep-rel}' \mathcal{F} \mathcal{R} \mathcal{S} \subseteq comp\text{-rrstep-rel}' \mathcal{G} \mathcal{R} \mathcal{S}$

by (*meson Un-mono relcomp-mono srsteps-monp srsteps-with-root-step-sig-mono*)

lemma *srsteps-eqD*: $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \implies (s, t) \in (\text{rstep } \mathcal{R})^*$

by (*metis rtrancl-eq-or-tranl srstepsD*)

9 Linear-variable separated results

```

locale open-terms-two-const-lv =
  fixes R :: ('f, 'v) term rel and F c d
  assumes lv: lv R and sig: funas-rel R ⊆ F
    and fresh: (c, 0) ∉ F (d, 0) ∉ F
    and diff: c ≠ d
begin

abbreviation H ≡ insert (c, 0) (insert (d, 0) F)
abbreviation σ_c ≡ const-subst c
abbreviation σ_d ≡ const-subst d

lemma sig-mono: F ⊆ H by auto
lemma fresh-sym-c: (c, 0) ∉ funas-rel R using sig fresh
  by (auto simp: funas-rel-def)
lemma fresh-sym-d: (d, 0) ∉ funas-rel R using sig fresh
  by (auto simp: funas-rel-def)
lemma fresh-sym-c-inv: (c, 0) ∉ funas-rel (R⁻¹) using sig fresh
  by (auto simp: funas-rel-def)
lemma fresh-sym-d-inv: (d, 0) ∉ funas-rel (R⁻¹) using sig fresh
  by (auto simp: funas-rel-def)

lemmas all-fresh = fresh-sym-c fresh-sym-d fresh-sym-c-inv fresh-sym-d-inv

lemma sig-inv: funas-rel (R⁻¹) ⊆ F using sig unfolding funas-rel-def by auto
lemma lv-inv: lv (R⁻¹) using lv unfolding lv-def by auto
lemma well-subst:
  ⋀ x. funas-term ((const-subst c) x) ⊆ H
  ⋀ x. funas-term ((const-subst d) x) ⊆ H
  by auto

lemma srsteps-with-root-step-to-grsteps:
  assumes (s, t) ∈ srsteps-with-root-step F R
  shows (s · σ_c, t · σ_d) ∈ (gsrstep H R)⁰
proof -
  from assms have lift: (s, t) ∈ srsteps-with-root-step H R
    using srsteps-with-root-step-sig-mono[OF sig-mono]
    by blast
  note [dest!] = lv-srsteps-with-root-step-idemp-subst[OF lv - well-subst, THEN srsteps-with-root-step-srsteps-eq]
  have (s · σ_c, t · σ_d) ∈ (srstep H R)⁰ using lift
    using srsteps-eq-subst-closed[OF - well-subst(1)]
    using srsteps-eq-subst-closed[OF - well-subst(2)]
    by (auto dest: trancl-into-rtrancl)
  then show ?thesis
    by (intro ground-srsteps-eq-gsrsteps-eq) auto
qed

```

```

lemma comp-rrstep-rel'-to-grsteps:
  assumes  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F}(\mathcal{R}^{-1}) \mathcal{R}$ 
  shows  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H}(\mathcal{R}^{-1}))^* O (\text{gsrstep } \mathcal{H} \mathcal{R})^*$ 
proof -
  from assms have lift:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{H}(\mathcal{R}^{-1}) \mathcal{R}$  using sig-mono
  by (meson in-mono relcomp-mono srsteps-mono srsteps-with-root-step-sig-mono
    sup-mono)
  note [dest!] = lv-srsteps-with-root-step-idep-subst[OF lv - well-subst, THEN srsteps-with-root-step-srsteps-eq]
  note [dest!] = lv-srsteps-with-root-step-idep-subst[OF lv-inv - well-subst, THEN
    srsteps-with-root-step-srsteps-eqD]
  have  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{srstep } \mathcal{H}(\mathcal{R}^{-1}))^* O (\text{srstep } \mathcal{H} \mathcal{R})^*$  using lift
  using srsteps-eq-subst-closed[OF - well-subst(1)]
  using srsteps-eq-subst-closed[OF - well-subst(2)]
  by (auto dest!: trancl-into-rtrancl) blast
  then show ?thesis
  by (intro srsteps-eq-relcomp-gsrsteps-relcomp) auto
qed

lemma gsrsteps-eq-to-srsteps:
  assumes  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^*$ 
  and funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
  shows  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
proof -
  from funas have d:  $(d, 0) \notin \text{funas-term } s$  and c:  $(c, 0) \notin \text{funas-term } (t \cdot \sigma_d)$ 
  using fresh diff by (auto simp: funas-term-subst)
  have  $(s, t) \in (\text{rstep } \mathcal{R})^*$  using c gsrsteps-eq-to-rsteps-eq[OF assms(1)]
  using remove-const-subst-steps-eq-lhs[OF lv-linear-sys[OF lv] fresh-sym-c,
    THEN remove-const-subst-steps-eq-rhs[OF lv-linear-sys[OF lv] fresh-sym-d
  d]]
  by auto
  then show ?thesis using funas sig by blast
qed

lemma convert-NF-to-GNF:
  funas-term  $t \subseteq \mathcal{F} \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \implies t \cdot \sigma_c \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R})$ 
  funas-term  $t \subseteq \mathcal{F} \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \implies t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R})$ 
  using NF-to-fresh-const-subst-NF[OF lv-linear-sys[OF lv] fresh-sym-c sig]
  using NF-to-fresh-const-subst-NF[OF lv-linear-sys[OF lv] fresh-sym-d sig]
  by blast+

```

```

lemma convert-GNF-to-NF:
  funas-term  $t \subseteq \mathcal{F} \implies t \cdot \sigma_c \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$ 
  funas-term  $t \subseteq \mathcal{F} \implies t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$ 
  using fresh-const-subst-NF-pres[OF fresh-sym-c sig]
  using fresh-const-subst-NF-pres[OF fresh-sym-d sig]
  using sig-mono by blast+

```

lemma *lv-CR*:

```

assumes cr: CR (gsrstep H R)
shows CR (srstep F R)
proof -
{fix s t assume ass:  $(s, t) \in (\text{srstep } F (\mathcal{R}^{-1}))^+ O \text{ srsteps-with-root-step } F \mathcal{R}$ 
from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
by (metis Pair-inject ass relcompE srstepsD srsteps-with-root-step-srstepsD)+
have  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } H (\mathcal{R}^{-1}))^* O (\text{gsrstep } H \mathcal{R})^*$ 
using ass comp-rrstep-rel'-to-grsteps by auto
then have s:  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } H \mathcal{R})^* O (\text{gsrstep } H (\mathcal{R}^{-1}))^*$ 
using cr unfolding CR-on-def
by (auto simp: join-def rew-converse-outwards)
from gsrsteps-eq-relcomp-to-rsteps-relcomp[OF this]
have commute-redp F R R s t unfolding commute-redp-def using funas fresh
using remove-const-subst-relcomp[OF lv-linear-sys[OF lv] lv-linear-sys[OF
lv-inv]]
all-fresh diff, THEN rsteps-eq-relcomp-srsteps-eq-relcompI[OF sig sig-inv
fanas]]
by (metis srstep-converse-dist subsetD)}
then show ?thesis by (intro CR-rrstep-intro) simp
qed

```

lemma *lv-WCR*:

```

assumes wcr: WCR (gsrstep H R)
shows WCR (srstep F R)
proof -
note sig-trans-root = subsetD[OF srrstep-monp[OF sig-mono]]
note sig-trans = subsetD[OF srstep-monp[OF sig-mono]]
{fix s t u assume ass:  $(s, t) \in \text{sig-step } F (\text{rrstep } \mathcal{R}) (s, u) \in \text{srstep } F \mathcal{R}$ 
from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$  funas-term  $u \subseteq \mathcal{F}$ 
by blast+
then have free:  $(d, 0) \notin \text{funas-term } u (c, 0) \notin \text{funas-term } t$  using fresh by
blast+
have *: ground  $(s \cdot \sigma_c)$  ground  $(t \cdot \sigma_d)$  ground  $(u \cdot \sigma_e)$  by auto
moreover have  $(s \cdot \sigma_c, t \cdot \sigma_d) \in \text{srstep } H \mathcal{R}$  using lv sig-trans-root[OF ass(1)]
by (intro lv-root-step-idep-subst[THEN srrstep-to-srestep]) auto
moreover have  $(s \cdot \sigma_c, u \cdot \sigma_c) \in \text{srstep } H \mathcal{R}$ 
using srstep-subst-closed[OF sig-trans[OF ass(2)], of  $\sigma_c$ ]
by auto
ultimately have w:  $(t \cdot \sigma_d, u \cdot \sigma_c) \in (\text{gsrstep } H \mathcal{R})^\perp$  using wcr unfolding
WCR-on-def
by auto (metis (no-types, lifting) *)
note join-unfolded = w[unfolded join-def rew-converse-inwards]
have  $(t, u) \in (\text{srstep } F \mathcal{R})^\perp$  unfolding join-def
using remove-const-subst-relcomp[OF lv-linear-sys[OF lv] lv-linear-sys[OF
lv-inv]]
fresh-sym-d fresh-sym-c fresh-sym-d-inv fresh-sym-c-inv diff[symmetric]
free
gsrsteps-eq-relcomp-to-rsteps-relcomp[OF join-unfolded],

```

```

THEN rsteps-eq-relcomp-srsteps-eq-relcompI[OF sig sig-inv funas(2-)]
by (metis (no-types, lifting) srstep-converse-dist)}
then show ?thesis by (intro WCR-rrstep-intro) simp
qed

```

lemma lv-NFP:

```

assumes nfp: NFP (gsrstep H R)
shows NFP (srstep F R)

```

proof –

```

{fix s t assume ass: (s, t) ∈ comp-rrstep-rel' F (R⁻¹) R
from ass have funas: funas-term s ⊆ F funas-term t ⊆ F
by (metis Un-iff ass relcompEpair srstepsD srsteps-with-root-step-srstepsD)+
from comp-rrstep-rel'-to-grsteps[OF ass]
have (s · σc, t · σd) ∈ (gsrstep H (R⁻¹))* O (gsrstep H R)* by simp
then have t · σd ∈ NF (gsrstep H R) ==> (s · σc, t · σd) ∈ (gsrstep H R)*
using NFP-stepD[OF nfp]
by (auto simp: rew-converse-outwards)
from gsrsteps-eq-to-srsteps[OF this funas]
have NFP-redp F R s t unfolding NFP-redp-def
using convert-NF-to-GNF(2)[OF funas(2)]
by simp}
then show ?thesis by (intro NFP-rrstep-intro) simp
qed

```

lemma lv-UNF:

```

assumes unf: UNF (gsrstep H R)
shows UNF (srstep F R)

```

proof –

```

{fix s t assume ass: (s, t) ∈ comp-rrstep-rel' F (R⁻¹) R
from ass have funas: funas-term s ⊆ F funas-term t ⊆ F
by (metis Un-iff ass relcompEpair srstepsD srsteps-with-root-step-srstepsD)+
from comp-rrstep-rel'-to-grsteps[OF ass]
have (s · σc, t · σd) ∈ (gsrstep H (R⁻¹))* O (gsrstep H R)* by simp
then have s · σc ∈ NF (gsrstep H R) ==> t · σd ∈ NF (gsrstep H R) ==> s ·
σc = t · σd
using unf unfolding UNF-on-def
by (auto simp: normalizability-def rew-converse-outwards)
then have UN-redp F R s t unfolding UN-redp-def
using convert-NF-to-GNF(1)[OF funas(1)]
using convert-NF-to-GNF(2)[OF funas(2)]
by (metis NF-not-suc funas gsrsteps-eq-to-srsteps rtrancleq-or-trancleq)}
then show ?thesis by (intro UNF-rrstep-intro) simp
qed

```

lemma lv-UNC:

```

assumes unc: UNC (gsrstep H R)
shows UNC (srstep F R)

```

proof –

```

have lv-conv: lv (R↔) using lv by (auto simp: lv-def)

```

```

{fix s t assume ass:  $(s, t) \in srsteps\text{-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$ 
  from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
    by (metis Un-iff ass relcompEpair srstepsD srsteps-with-root-step-srstepsD)+
  have  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep \mathcal{H} \mathcal{R})^{\leftrightarrow*}$ 
    using lv-srsteps-with-root-step-idep-subst[ $OF lv\text{-conv srsteps-with-root-step-sig-mono}[OF sig-mono]$ , THEN subsetD,  $OF ass$ ]
      well-subst, THEN srsteps-with-root-step-srsteps-eqD]
    unfolding conversion-def Restr-smycl-dist srstep-symcl-dist
    by (intro ground-srsteps-eq-gsrsteps-eq) auto
  then have  $s \cdot \sigma_c \in NF (gsrstep \mathcal{H} \mathcal{R}) \implies t \cdot \sigma_d \in NF (gsrstep \mathcal{H} \mathcal{R}) \implies s \cdot \sigma_c = t \cdot \sigma_d$ 
    using unc unfolding UNC-def
    by (auto simp: normalizability-def sig-step-converse-rstep rtranc1-converse Restr-converse)
  then have UN-redp  $\mathcal{F} \mathcal{R} s t$  unfolding UN-redp-def
    using convert-NF-to-GNF(1)[ $OF funas(1)$ ]
    using convert-NF-to-GNF(2)[ $OF funas(2)$ ]
    by (metis NF-not-suc funas gsrsteps-eq-to-srsteps rtranc1-eq-or-tranc1)}
  then show ?thesis by (intro UNC-rrstep-intro) simp
qed

```

lemma lv-SCR:

```

assumes scr: SCR ( $gsrstep \mathcal{H} \mathcal{R}$ )
shows SCR ( $srstep \mathcal{F} \mathcal{R}$ )
proof -
  note sig-trans-root = subsetD[ $OF srrstep\text{-monp}[OF sig-mono]$ ]
  note sig-trans = subsetD[ $OF srstep\text{-monp}[OF sig-mono]$ ]
  note cl-on-c = lin-fresh-rstep-const-replace-closed[ $OF lv\text{-linear-sys}[OF lv]$  fresh-sym-c]
    lin-fresh-rstep-const-replace-closed[ $OF lv\text{-linear-sys}[OF lv\text{-inv}]$  fresh-sym-c-inv]
  note cl-on-d = lin-fresh-rstep-const-replace-closed[ $OF lv\text{-linear-sys}[OF lv]$  fresh-sym-d]
    lin-fresh-rstep-const-replace-closed[ $OF lv\text{-linear-sys}[OF lv\text{-inv}]$  fresh-sym-d-inv]
{fix s t u assume ass:  $(s, t) \in srstep \mathcal{F} \mathcal{R}$   $(s, u) \in srstep \mathcal{F} \mathcal{R}$ 
  and root:  $(s, t) \in sig-step \mathcal{F} (rrstep \mathcal{R}) \vee (s, u) \in sig-step \mathcal{F} (rrstep \mathcal{R})$ 
  from ass have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$  funas-term  $u \subseteq \mathcal{F}$ 
    by (force dest: sig-stepE)+
  then have fresh-c-t:(c, 0)  $\notin$  funas-term  $(t \cdot \sigma_d)$  and fresh-d-u:(d, 0)  $\notin$  funas-term  $u$  using fresh diff
    by (auto simp: funas-term-subst)
  have *:  $(s, t) \in sig-step \mathcal{F} (rrstep \mathcal{R}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in gsrstep \mathcal{H} \mathcal{R} \wedge (s \cdot \sigma_c, u \cdot \sigma_c) \in gsrstep \mathcal{H} \mathcal{R}$ 
     $(s, u) \in sig-step \mathcal{F} (rrstep \mathcal{R}) \implies (s \cdot \sigma_d, t \cdot \sigma_d) \in gsrstep \mathcal{H} \mathcal{R} \wedge (s \cdot \sigma_d, u \cdot \sigma_c) \in gsrstep \mathcal{H} \mathcal{R}$ 
    using srstep-subst-closed[ $OF sig-trans[OF ass(2)]$  well-subst(1)]
    using srstep-subst-closed[ $OF sig-trans[OF ass(2)]$  well-subst(2)]
    using lv-root-step-idep-subst[ $OF lv$ ] sig-trans-root[of  $(s, t) \mathcal{R}$ ] sig-trans-root[of  $(s, u) \mathcal{R}$ ]
    by (simp-all add: ass(1) sig-trans srstep-subst-closed srrstep-to-srstep)
  from this scr have  $(u \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep \mathcal{H} \mathcal{R})^* O ((gsrstep \mathcal{H} \mathcal{R})^=)^{-1}$ 

```

```

    using root unfolding SCR-on-def by (meson UNIV-I converse-iff rel-
comp.simps)
then have v:  $(u \cdot \sigma_c, t \cdot \sigma_d) \in (\text{srstep } \mathcal{H} \mathcal{R})^* O ((\text{srstep } \mathcal{H} \mathcal{R})^=)^{-1}$ 
    by (auto dest: gsrsteps-eq-to-srsteps-eq)
have [simp]:  $\text{funas-term } v \subseteq \mathcal{F} \implies \text{term-to-sig } \mathcal{F} x v = v$  for x v
    by (simp add: subset-insertI2)
have  $(u, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O ((\text{srstep } \mathcal{F} \mathcal{R})^=)^{-1}$ 
proof -
from v have  $(u, t \cdot \sigma_d) \in (\text{rstep } \mathcal{R})^* O (\text{rstep } (\mathcal{R}^{-1}))^=$ 
using const-replace-closed-relcomp[THEN const-replace-closed-remove-subst-lhs,
OF
    const-replace-closed-rtrancl[OF cl-on-c(1)]
    const-replace-closed-symcl[OF cl-on-c(2)] fresh-c-t, of u]
by (auto simp: relcomp.relcompI srstepD simp flip: rstep-converse-dist dest:
srsteps-eqD)
then have  $(u, t) \in (\text{rstep } \mathcal{R})^* O (\text{rstep } (\mathcal{R}^{-1}))^=$ 
using const-replace-closed-relcomp[THEN const-replace-closed-remove-subst-lhs,
OF
    const-replace-closed-symcl[OF cl-on-d(1)]
    const-replace-closed-rtrancl[OF cl-on-d(2)] fresh-d-u, of t]
using converse-relcomp[where ?s =  $(\text{rstep } (\mathcal{R}^{-1}))^=$  and ?r =  $(\text{rstep } \mathcal{R})^*$ ]
    by (metis (no-types, lifting) converseD converse-Id converse-Un converse-converse rstep-converse-dist rtrancl-converse)
then obtain v where  $(u, v) \in (\text{rstep } \mathcal{R})^* (v, t) \in (\text{rstep } (\mathcal{R}^{-1}))^=$  by auto
then have  $(u, \text{term-to-sig } \mathcal{F} x v) \in (\text{srstep } \mathcal{F} \mathcal{R})^* (\text{term-to-sig } \mathcal{F} x v, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^=$ 
    using funas(2, 3) sig fresh
    by (auto simp: rtrancl-eq-or-trancl rstep-trancl-sig-step-r subset-insertI2
        rew-converse-outwards dest: fuans-term-term-to-sig[THEN subsetD]
        intro!: rstep-term-to-sig-r rstep-srstepI rsteps-eq-srsteps-eqI)
then show ?thesis
    by (metis converse-Id converse-Un relcomp.relcompI srstep-converse-dist)
qed
then have SCRp  $\mathcal{F} \mathcal{R} t u$ 
    by auto}
then show ?thesis by (intro SCR-rrstep-intro) (metis srrstep-to-srestep)+
qed

```

end

```

locale open-terms-two-const-lv-two-sys =
open-terms-two-const-lv  $\mathcal{R}$ 
for  $\mathcal{R} :: ('f, 'v) \text{ term rel} +$ 
fixes  $\mathcal{S} :: ('f, 'v) \text{ term rel}$ 
assumes lv-S:  $\text{lv } \mathcal{S}$  and sig-S:  $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$ 
begin

```

```

lemma fresh-sym-c-S: (c, 0) ∉ funas-rel S using sig-S fresh
  by (auto simp: funas-rel-def)
lemma fresh-sym-d-S: (d, 0) ∉ funas-rel S using sig-S fresh
  by (auto simp: funas-rel-def)

lemma lv-commute:
  assumes com: commute (gsrstep H R) (gsrstep H S)
  shows commute (srstep F R) (srstep F S)
proof -
  have linear: linear-sys S linear-sys (R⁻¹) using lv lv-S unfolding lv-def by auto
  {fix s t assume ass: (s, t) ∈ comp-rrstep-rel' F (R⁻¹) S
    from ass have funas: funas-term s ⊆ F funas-term t ⊆ F
      by (metis Un-iff ass relcompEpair srstepsD srsteps-with-root-step-srstepsD)+
    have (s · σc, t · σd) ∈ (gsrstep H (R⁻¹))* O (gsrstep H S)*
      using comp-rrstep-rel'-sig-mono[OF sig-mono, THEN subsetD, OF ass]
      using srsteps-eq-subst-closed[OF - well-subst(1)] srsteps-eq-subst-closed[OF - well-subst(2)]
      by (auto simp: rew-converse-inwards dest!: trancl-into-rtrancl
        lv-srsteps-with-root-step-idep-subst[OF lv-inv - well-subst, THEN srsteps-with-root-step-srsteps-eqD]
        lv-srsteps-with-root-step-idep-subst[OF lv-S - well-subst, THEN srsteps-with-root-step-srsteps-eqD]
        intro!: srsteps-eq-relcomp-gsrstep-relcomp) blast
    then have w: (s · σc, t · σd) ∈ (gsrstep H S)* O (gsrstep H (R⁻¹))* using com
      unfolding commute-def Restr-converse srstep-converse-dist
      by blast
    have (s, t) ∈ (srstep F S)* O (srstep F (R⁻¹))* using funas sig-S sig-inv
      fresh
      using remove-const-subst-relcomp[OF linear fresh-sym-c-S fresh-sym-d-S fresh-sym-c-inv
      fresh-sym-d-inv diff - -
        gsrsteps-eq-relcomp-to-rsteps-relcomp[OF w]]
      by (intro rsteps-eq-relcomp-srsteps-eq-relcompI) auto
    then have commute-redp F R S s t unfolding commute-redp-def
      by (simp add: rew-converse-inwards)}
    then show ?thesis by (intro commute-rrstep-intro) simp
  qed

```

```

lemma lv-NE:
  assumes ne: NE (gsrstep H R) (gsrstep H S)
  shows NE (srstep F R) (srstep F S)
proof -
  from NE-NF-eq[OF ne] have ne-eq: NF (srstep F R) = NF (srstep F S)
    using lv lv-S sig sig-S fresh-sym-c fresh-sym-c-S
    by (intro linear-sys-gNF-eq-NF-eq) (auto dest: lv-linear-sys)
  {fix s t assume step: (s, t) ∈ srsteps-with-root-step F R
    then have funas: funas-term s ⊆ F funas-term t ⊆ F
      by (metis Un-iff step relcompEpair srstepsD srsteps-with-root-step-srstepsD)+}

```

```

then have fresh:  $(c, 0) \notin \text{funas-term } t$   $(d, 0) \notin \text{funas-term } s$  using fresh by
auto
from srsteps-with-root-step-sig-mono[OF sig-mono, THEN subsetD, OF step]
have  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^*$ 
using lv-srsteps-with-root-step-idep-subst[OF lv - well-subst, THEN srsteps-with-root-step-srsteps-eqD]
by (intro ground-srsteps-eq-gsrsteps-eq) auto
then have  $t \cdot \sigma_d \in \text{NF } (\text{gsrstep } \mathcal{H} \mathcal{S}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{S})^*$ 
using ne NE-NF-eq[OF ne] unfolding NE-on-def
by (auto simp: normalizability-def)
from this[THEN gsrsteps-eq-to-rsteps-eq, THEN remove-const-subst-steps[OF
lv-linear-sys[OF lv-S] fresh-sym-c-S fresh-sym-d-S diff fresh]]
have NE-redp  $\mathcal{F} \mathcal{R} \mathcal{S}$   $s t$  using NF-to-fresh-const-subst-NF[OF lv-linear-sys[OF
lv-S] fresh-sym-d-S sig-S funas(2)]
using funas sig-S unfolding NE-redp-def ne-eq
by (auto intro: rsteps-eq-srsteps-eqI)}
moreover
{fix  $s t$  assume step:  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{S}$ 
then have funas: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
by (metis sig-S sig-stepE sig-step-rsteps-dist srsteps-with-root-step-srstepsD)+
then have fresh:  $(c, 0) \notin \text{funas-term } t$   $(d, 0) \notin \text{funas-term } s$  using fresh by
auto
from srsteps-with-root-step-sig-mono[OF sig-mono, THEN subsetD, OF step]
have  $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{S})^*$ 
using lv-srsteps-with-root-step-idep-subst[OF lv-S - well-subst, THEN srsteps-with-root-step-srsteps-eqD]
by (intro ground-srsteps-eq-gsrsteps-eq) auto
then have  $t \cdot \sigma_d \in \text{NF } (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^*$ 
using ne NE-NF-eq[OF ne] unfolding NE-on-def
by (auto simp: normalizability-def)
from this[THEN gsrsteps-eq-to-rsteps-eq, THEN remove-const-subst-steps[OF
lv-linear-sys[OF lv] fresh-sym-c fresh-sym-d diff fresh]]
have NE-redp  $\mathcal{F} \mathcal{R} \mathcal{S}$   $s t$  using NF-to-fresh-const-subst-NF[OF lv-linear-sys[OF
lv] fresh-sym-d sig funas(2), of H]
using funas sig unfolding NE-redp-def ne-eq
by (auto intro: rsteps-eq-srsteps-eqI)}
ultimately show ?thesis using ne-eq
by (intro NE-rrstep-intro) auto
qed

end

```

— CE is special as it only needs one additional constant therefore not included in the locale

lemma lv-CE-aux:

```

assumes  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$ 
and sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  funas-rel  $\mathcal{S} \subseteq \mathcal{F}$ 
and fresh:  $(c, 0) \notin \mathcal{F}$  and const:  $(a, 0) \in \mathcal{F}$ 
and lv: lv  $\mathcal{R}$  lv  $\mathcal{S}$ 
and ce: CE (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ ) (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{S}$ )
shows  $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ 

```

```

proof -
let ?H = insert (c, 0) F have mono: F ⊆ ?H by auto
have lv-conv: lv (R $\leftrightarrow$ ) lv (S $\leftrightarrow$ ) using lv by (auto simp: lv-def)
have sig-conv: funas-rel (S $\leftrightarrow$ ) ⊆ F using sig(2) by (auto simp: funas-rel-def)
from fresh have fresh-sys: (c, 0) ∉ funas-rel R (c, 0) ∉ funas-rel S (c, 0) ∉
funas-rel (S $\leftrightarrow$ )
using sig by (auto simp: funas-rel-def)
from assms(1) have lift: (s, t) ∈ srsteps-with-root-step ?H (R $\leftrightarrow$ )
unfolding srsteps-with-root-step-def
by (meson in-mono mono relcomp-mono rtrancl-mono srrstep-monp srstep-monp)
from assms(1) have funas: funas-term s ⊆ F funas-term t ⊆ F
by (meson srstepsD srsteps-with-root-step-srstepsD)+
from srsteps-with-root-step-srsteps-eqD[OF assms(1), THEN subsetD[OF srsteps-eq-monp[OF
mono]]]
have (s · const-subst c, t · const-subst c) ∈ (srstep ?H R) $\leftrightarrow$ *
by (auto simp: sig-step-conversion-dist intro: srsteps-eq-subst-closed)
moreover have (s · const-subst c, t · const-subst a) ∈ (srstep ?H R) $\leftrightarrow$ *
unfolding sig-step-conversion-dist using const
by (intro lv-srsteps-with-root-step-idep-subst[OF lv-conv(1) lift, THEN srsteps-with-root-step-srsteps-eqD])
auto
moreover have ground (s · const-subst c) ground (t · const-subst a) ground (t ·
const-subst a)
by auto
ultimately have toS:(s · const-subst c, t · const-subst c) ∈ (gsrstep ?H S) $\leftrightarrow$ *
(s · const-subst c, t · const-subst a) ∈ (gsrstep ?H S) $\leftrightarrow$ *
using ground-srsteps-eq-gsrsteps-eq[where ?F = ?H and ?R = R $\leftrightarrow$ ]
using ce unfolding CE-on-def
by (auto simp: Restr-smycl-dist conversion-def srstep-symcl-dist)
then have *: (t · const-subst a, t · const-subst c) ∈ (gsrstep ?H S) $\leftrightarrow$ *
by (metis (no-types, lifting) conversion-inv conversion-rtrancl rtrancl.rtrancl-into-rtrancl)
have (t · const-subst a, t) ∈ (srstep F S) $\leftrightarrow$ * using const
using funas(2) fresh
using remove-const-subst-steps-eq-lhs[OF lv-linear-sys[OF lv-conv(2)] fresh-sys(3)

-
gsrsteps-eq-to-rsteps-eq[OF *[unfolded gsrstep-conversion-dist]]]
by (cases vars-term t = {})
(auto simp: funas-term-subst sig-step-conversion-dist split: if-splits intro!:
rsteps-eq-srsteps-eqI[OF sig-conv])
moreover have (s, t · const-subst a) ∈ (srstep F S) $\leftrightarrow$ * using const
using funas fresh
using remove-const-subst-steps-eq-lhs[OF lv-linear-sys[OF lv-conv(2)] fresh-sys(3)

-
gsrsteps-eq-to-rsteps-eq[OF toS(2)[unfolded gsrstep-conversion-dist]]]
by (cases vars-term t = {})
(auto simp: sig-step-conversion-dist funas-term-subst split: if-splits intro!:
rsteps-eq-srsteps-eqI[OF sig-conv])
ultimately show (s, t) ∈ (srstep F S) $\leftrightarrow$ *
by (meson conversionE conversionI rtrancl-trans)
qed

```

lemma *lv-CE*:

assumes $\text{sig: funas-rel } \mathcal{R} \subseteq \mathcal{F}$ $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and $\text{fresh: } (c, 0) \notin \mathcal{F}$ and $\text{const: } (a, 0) \in \mathcal{F}$
and $\text{lv: } \text{lv } \mathcal{R} \text{ lv } \mathcal{S}$
and $\text{ce: } CE(\text{gsrstep}(\text{insert}(c, 0) \mathcal{F}) \mathcal{R}) (\text{gsrstep}(\text{insert}(c, 0) \mathcal{F}) \mathcal{S})$
shows $CE(\text{srstep } \mathcal{F} \mathcal{R}) (\text{srstep } \mathcal{F} \mathcal{S})$

proof –

{fix $s t$ assume $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^\leftrightarrow)$
from *lv-CE-aux*[*OF this assms*] have $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ by *simp*}

moreover

{fix $s t$ assume $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^\leftrightarrow)$
from *lv-CE-aux*[*OF this sig(2, 1) fresh const lv(2, 1) CE-symmetric[*OF ce*]*]
have $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$ by *simp*}

ultimately show ?thesis
by (*intro CE-rrstep-intro*) *auto*

qed

9.1 Specialized for monadic signature

lemma *lv-NE-aux*:

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$ and $\text{fresh: } (c, 0) \notin \mathcal{F}$
and $\text{sig: funas-rel } \mathcal{R} \subseteq \mathcal{F}$ $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and $\text{lv: } \text{lv } \mathcal{R} \text{ lv } \mathcal{S}$
and $\text{mon: monadic } \mathcal{F}$
and $\text{ne: } NE(\text{gsrstep}(\text{insert}(c, 0) \mathcal{F}) \mathcal{R}) (\text{gsrstep}(\text{insert}(c, 0) \mathcal{F}) \mathcal{S})$
shows $NE\text{-redp } \mathcal{F} \mathcal{R} \mathcal{S} s t$

proof –

let $?σ = \text{const-subst } c$ let $?H = \text{insert}(c, 0) \mathcal{F}$
have $\text{mono: } \mathcal{F} \subseteq ?H$ by *auto*
from *mon* have $\text{mh: monadic } ?H$ by (*auto simp: monadic-def*)
from *fresh* have $\text{fresh-sys: } (c, 0) \notin \text{funas-rel } \mathcal{R}$ $(c, 0) \notin \text{funas-rel } \mathcal{S}$ using *sig*
by (*auto simp: funas-rel-def*)
from *assms* have $\text{funas: funas-term } s \subseteq \mathcal{F}$ $\text{funas-term } t \subseteq \mathcal{F}$
by (*meson srstepsD srsteps-with-root-step-srstepsD*)
from *funas* have $\text{fresh-t: } (c, 0) \notin \text{funas-term } t$ using *fresh* by *auto*
from *srsteps-subst-closed*[*OF srsteps-monp[*OF mono, THEN subsetD, OF srsteps-with-root-step-srstepsD[*OF assms(1)*]*], of *?H*]
have $\text{gstep: } (s \cdot ?σ, t \cdot ?σ) \in (\text{gsrstep}(\text{insert}(c, 0) \mathcal{F}) \mathcal{R})^+$
by (*auto simp: ground-subst-apply intro!: ground-srsteps-gsrsteps*)
then have $\text{neq: } t \in NF(\text{srstep } \mathcal{F} \mathcal{R}) \implies s \cdot ?σ \neq t \cdot ?σ$
using *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys[*OF lv(1)*] fresh-sys(1) sig(1)*
funas(2), of ?H]
by (*metis NF-no-trancl-step*)
then have $t \in NF(\text{srstep } \mathcal{F} \mathcal{R}) \implies (s \cdot ?σ, t \cdot ?σ) \in (\text{gsrstep}(\text{insert}(c, 0) \mathcal{F}) \mathcal{S})^+$ using *gstep*
using *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys[*OF lv(1)*] fresh-sys(1) sig(1)*
funas(2), of ?H]*

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using NE-NF-eq[OF ne, symmetric] ne unfolding NE-on-def
by (auto simp: normalizability-def ground-subst-apply) (meson rtranc1-eq-or-tranc1)
then show ?thesis unfolding NE-redp-def
  using remove-const-lv-mondaic-steps[OF lv(2) fresh-sys(2) mh, THEN srstepsD[THEN
conjunction1],
  THEN rsteps-srstepsI[OF sig(2) funas]]
  by (auto dest!: gsrsteps-to-srsteps)
qed

lemma lv-NE:
assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  funas-rel  $\mathcal{S} \subseteq \mathcal{F}$ 
and mon: monadic  $\mathcal{F}$  and fresh:  $(c, 0) \notin \mathcal{F}$ 
and lv: lv  $\mathcal{R}$  lv  $\mathcal{S}$ 
and ne: NE (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ ) (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{S}$ )
shows NE (srstep  $\mathcal{F}$   $\mathcal{R}$ ) (srstep  $\mathcal{F}$   $\mathcal{S}$ )
proof -
  from fresh have fresh-sys:  $(c, 0) \notin$  funas-rel  $\mathcal{R}$   $(c, 0) \notin$  funas-rel  $\mathcal{S}$ 
  using sig by (auto simp: funas-rel-def)
  from NE-NF-eq[OF ne] have ne-eq: NF (srstep  $\mathcal{F}$   $\mathcal{R}$ ) = NF (srstep  $\mathcal{F}$   $\mathcal{S}$ ) using
lv sig fresh-sys
  by (intro linear-sys-gNF-eq-NF-eq) (auto dest: lv-linear-sys)
  {fix s t assume "(s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{R}"
    from lv-NE-aux[OF this fresh sig lv mon ne] have NE-redp  $\mathcal{F}$   $\mathcal{R}$   $\mathcal{S}$  s t by
simp}
  moreover
  {fix s t assume ass: "(s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{S}"
    from lv-NE-aux[OF this fresh sig(2, 1) lv(2, 1) mon NE-symmetric[OF ne]]
have NE-redp  $\mathcal{F}$   $\mathcal{S}$   $\mathcal{R}$  s t by simp}
  ultimately show ?thesis using ne-eq
  by (intro NE-rrstep-intro) auto
qed

end

```

References

- [1] C. Sternagel and R. Thiemann. Abstract rewriting. *Archive of Formal Proofs*, June 2010. <https://isa-afp.org/entries/Abstract-Rewriting.html>, Formal proof development.