

# Residuated Transition Systems

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## Abstract

A *residuated transition system* (RTS) is a transition system that is equipped with a certain partial binary operation, called *residuation*, on transitions. Using the residuation operation, one can express nuances, such as a distinction between nondeterministic and concurrent choice, as well as partial commutativity relationships between transitions, which are not captured by ordinary transition systems. A version of residuated transition systems was introduced by the author in [10], where they were called “concurrent transition systems” in view of the original motivation for their definition from the study of concurrency. In the first part of the present article, we give a formal development that generalizes and subsumes the original presentation. We give an axiomatic definition of residuated transition systems that assumes only a single partial binary operation as given structure. From the axioms, we derive notions of “arrow” (transition), “source”, “target”, “identity”, as well as “composition” and “join” of transitions; thereby recovering structure that in the previous work was assumed as given. We formalize and generalize the result, that residuation extends from transitions to transition paths, and we systematically develop the properties of this extension. A significant generalization made in the present work is the identification of a general notion of congruence on RTS’s, along with an associated quotient construction.

In the second part of this article, we use the RTS framework to formalize several results in the theory of reduction in Church’s  $\lambda$ -calculus. Using a de Bruijn index-based syntax in which terms represent parallel reduction steps, we define residuation on terms and show that it satisfies the axioms for an RTS. An application of the results on paths from the first part of the article allows us to prove the classical Church-Rosser Theorem with little additional effort. We then use residuation to define the notion of “development” and we prove the Finite Developments Theorem, that every development is finite, formalizing and adapting to de Bruijn indices a proof by de Vrijer. We also use residuation to define the notion of a “standard reduction path”, and we prove the Standardization Theorem: that every reduction path is congruent to a standard one. As a corollary of the Standardization Theorem, we obtain the Leftmost Reduction Theorem: that leftmost reduction is a normalizing strategy.

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# Chapter 1

## Introduction

A *transition system* is a graph used to represent the dynamics of a computational process. It consists simply of nodes, called *states*, and edges, called *transitions*. Paths through a transition system correspond to possible computations. A *residuated transition system* is a transition system that is equipped with a partial binary operation, called *residuation*, on transitions, subject to certain axioms. Among other things, these axioms imply that if residuation is defined for transitions  $t$  and  $u$ , then  $t$  and  $u$  must be *coinitial*; that is, they must have a common source state. If the residuation is defined for coinitial transitions  $t$  and  $u$ , then we regard transitions  $t$  and  $u$  as *consistent*, otherwise they are *in conflict*. The residuation  $t \setminus u$  of  $t$  along  $u$  can be thought of as what remains of transition  $t$  after the portion that it has in common with  $u$  has been cancelled.

A version of residuated transition systems was introduced in [10], where I called them “concurrent transition systems”, because my motivation for the definition was to be able to have a way of representing information about concurrency and nondeterministic choice. Indeed, transitions that are in conflict can be thought of as representing a nondeterministic choice between steps that cannot occur in a single computation, whereas consistent transitions represent steps that can so occur and are therefore in some sense concurrent with each other. Whereas performing a product construction on ordinary transition system results in a transition system that records no information about commutativity of concurrent steps, with residuated transition systems the residuation operation makes it possible to represent such information.

In [10], concurrent transition systems were defined in terms of graphs, consisting of states, transitions, and a pair of functions that assign to each transition a *source* (or domain) state and a *target* (or codomain) state. In addition, the presence of transitions that are *identities* for the residuation was assumed. Identity transitions had the same source and target state, and they could be thought of as representing empty computational steps. The key axiom for concurrent transition systems is the “cube axiom”, which is a parallel moves property stating that the same result is achieved when transporting a transition by residuation along the two paths from the base to the apex of a “commuting diamond”. Using the residuation operation and the associated cube axiom, it becomes possible to define notions of “join” and “composition” of transitions. The residuation also

induces a notion of congruence of transitions; namely, transitions  $t$  and  $u$  are congruent whenever they are coinitial and both  $t \setminus u$  and  $u \setminus t$  are identities. In [10], the basic definition of concurrent transition system included an axiom, called “extensionality”, which states that the congruence relation is trivial (*i.e.* coincides with equality). An advantage of the extensionality axiom is that, in its presence, joins and composites of transitions are uniquely defined when they exist. It was shown in [10] that a concurrent transition system could always be quotiented by congruence to achieve extensionality.

A focus of the basic theory developed in [10] was to show that the residuation operation  $\setminus$  on individual transitions extended in a natural way to a residuation operation  $\setminus^*$  on paths, so that a concurrent transition system could be completed to one having a composite for each “composable” pair of transitions. The construction involved quotienting by the congruence on paths obtained by declaring paths  $T$  and  $U$  to be congruent if they are coinitial and both  $T \setminus^* U$  and  $U \setminus^* T$  are paths consisting only of identities. Besides collapsing paths of identities, this congruence reflects permutation relations induced by the residuation. In particular, if  $t$  and  $u$  are consistent, then the paths  $t(u \setminus t)$  and  $u(t \setminus u)$  are congruent.

Imposing the extensionality requirement as part of the basic definition of concurrent transition systems does not end up being particularly desirable, since natural examples of situations where there is a residuation on transitions (such as on reductions in the  $\lambda$ -calculus) often do not naturally satisfy the extensionality condition and can only be made to do so if a quotient construction is applied. Also, the treatment of identity transitions and quotienting in [10] was not entirely satisfactory. The definition of “strong congruence” given there was somewhat awkward and basically existed to capture the specific congruence that was induced on paths by the underlying residuation. It was clear that a more general quotient construction ought to be possible than the one used in [10], but it was not clear what the right general definition ought to be.

In the present article we revisit the notion of transition systems equipped with a residuation operation, with the idea of developing a more general theory that does not require the assumption of extensionality as part of the basic axioms, and of clarifying the general notion of congruence that applies to such structures. We use the term “residuated transition systems” to refer to the more general structures defined here, as the name is perhaps more suggestive of what the theory is about and it does not seem to limit the interpretation of the residuation operation only to settings that have something to do with concurrency.

Rather than starting out by assuming source, target, and identities as basic structure, here we develop residuated transition systems purely as a theory about a partial binary operation (residuation) that is subject to certain axioms. The axioms will allow us to introduce sources, targets, and identities as defined notions, and we will be able to recover the properties of this additional structure that in [10] were taken as axiomatic. This idea of defining residuated transition systems purely in terms of a partial binary operation of residuation is similar to the approach taken in [11], where we formalized categories purely in terms of a partial binary operation of composition.

This article comprises two parts. In the first part, we give the definition of residuated transition systems and systematically develop the basic theory. We show how sources,

composites, and identities can be defined in terms of the residuation operation. We also show how residuation can be used to define the notions of join and composite of transitions, as well as the simple notion of congruence that relates transitions  $t$  and  $u$  whenever both  $t \setminus u$  and  $u \setminus t$  are identities. We then present a much more general notion of congruence, based a definition of “coherent normal sub-RTS”, which abstracts the properties enjoyed by the sub-RTS of identity transitions. After defining this general notion of congruence, we show that it admits a quotient construction, which yields a quotient RTS having the extensionality property. After studying congruences and quotients, we consider paths in an RTS, represented as nonempty lists of transitions whose sources and targets match up in the expected “domino fashion”. We show that the residuation operation of an RTS lifts to a residuation on its paths, yielding an “RTS of paths” in which composites of paths are given by list concatenation. The collection of paths that consist entirely of identity transitions is then shown to form a coherent normal sub-RTS of the RTS of paths. The associated congruence on paths can be seen as “permutation congruence”: the least congruence respecting composition that relates the two-element lists  $[t, t \setminus u]$  and  $[u, u \setminus t]$  whenever  $t$  and  $u$  are consistent, and that relates  $[t, b]$  and  $[t]$  whenever  $b$  is an identity transition that is a target of  $t$ . Quotienting by the associated congruence results in a free “composite completion” of the original RTS. The composite completion has a composite for each pair of “composable” transitions, and it will in general exhibit nontrivial equations between composites, as a result of the congruence induced on paths by the underlying residuation. In summary, the first part of this article can be seen as a significant generalization and more satisfactory development of the results originally presented in [10].

The second part of this article applies the formal framework developed in the first part to prove various results about reduction in Church’s  $\lambda$ -calculus. Although many of these results have had machine-checked proofs given by other authors (*e.g.* the basic formalization of residuation in the  $\lambda$ -calculus given by Huet [7]), the presentation here develops a number of such results in a single formal framework: that of residuated transition systems. For the presentation of the  $\lambda$ -calculus given here we employ (as was also done in [7]) the device of de Bruijn indices [4], in order to avoid having to treat the issue of  $\alpha$ -convertibility. The terms in our syntax represent reductions in which multiple redexes are contracted in parallel; this is done to deal with the well-known fact that contractions of single redexes are not preserved by residuation, in general. We treat only  $\beta$ -reduction here; leaving the extension to the  $\beta\eta$ -calculus for future work. We define residuation on terms essentially as is done in [7] and we develop a similar series of lemmas concerning residuation, substitution, and de Bruijn indices, culminating in Lévy’s “Cube Lemma” [8], which is the key property needed to show that a residuated transition system is obtained. In this residuated transition system, the identities correspond to the usual  $\lambda$ -terms, and transitions correspond to parallel reductions, represented by  $\lambda$ -terms with “marked redexes”. The source of a transition is obtained by erasing the markings on the redexes; the target is obtained by contracting all the marked redexes.

Once having obtained an RTS whose transitions represent parallel reductions, we exploit the general results proved in the first part of this article to extend the residuation to sequences of reductions. It is then possible to prove the Church-Rosser Theorem

with very little additional effort. After that, we turn our attention to the notion of a “development”, which is a reduction sequence in which the only redexes contracted are those that are residuals of redexes in some originally marked set. We give a formal proof of the Finite Developments Theorem ([9, 6]), which states that all developments are finite. The proof here follows the one by de Vrijer [5], with the difference that here we are using de Bruijn indices, whereas de Vrijer used a classical  $\lambda$ -calculus syntax. The modifications of de Vrijer’s proof required for de Bruijn indices were not entirely straightforward to find. We then proceed to define the notion of “standard reduction path”, which is a reduction sequence that in some sense contracts redexes in a left-to-right fashion, perhaps with some jumps. We give a formal proof of the Standardization Theorem ([3]), stated in the strong form which asserts that every reduction is permutation congruent to a standard reduction. The proof presented here proceeds by stating and proving correct the definition of a recursive function that transforms a given path of parallel reductions into a standard reduction path, using a technique roughly analogous to insertion sort. Finally, as a corollary of the Standardization Theorem, we prove the Leftmost Reduction Theorem, which is the well-known result that the leftmost (or normal-order) reduction strategy is normalizing.

## Chapter 2

# Residuated Transition Systems

```
theory ResiduatedTransitionSystem
imports Main HOL-Library.FuncSet
begin
```

## 2.1 Basic Definitions and Properties

### 2.1.1 Partial Magmas

A *partial magma* consists simply of a partial binary operation. We represent the partiality by assuming the existence of a unique value *null* that behaves as a zero for the operation.

```
locale partial-magma =
fixes OP :: 'a ⇒ 'a ⇒ 'a
assumes ex-un-null: ∃!n. ∀t. OP n t = n ∧ OP t n = n
begin

definition null :: 'a
where null = (THE n. ∀t. OP n t = n ∧ OP t n = n)

lemma null-eqI:
assumes ∀t. OP n t = n ∧ OP t n = n
shows n = null
  using assms null-def ex-un-null the1-equality [of λn. ∀t. OP n t = n ∧ OP t n = n]
  by auto

lemma null-is-zero [simp]:
shows OP null t = null ∧ OP t null = null
  using null-def ex-un-null theI' [of λn. ∀t. OP n t = n ∧ OP t n = n]
  by auto

end
```

### 2.1.2 Residuation

A *residuation* is a partial magma subject to three axioms. The first, *con-sym-ax*, states that the domain of a residuation is symmetric. The second, *con-imp-arr-resid*, constrains the results of residuation either to be *null*, which indicates inconsistency, or something that is self-consistent, which we will define below to be an “arrow”. The “cube axiom”, *cube-ax*, states that if  $v$  can be transported by residuation around one side of the “commuting square” formed by  $t$  and  $u \setminus t$ , then it can also be transported around the other side, formed by  $u$  and  $t \setminus u$ , with the same result.

```

type-synonym 'a resid = 'a ⇒ 'a ⇒ 'a

locale residuation = partial-magma resid
for resid :: 'a resid (infix `\ $\setminus\backslash$ ` 70) +
assumes con-sym-ax:  $t \setminus u \neq \text{null} \implies u \setminus t \neq \text{null}$ 
and con-imp-arr-resid:  $t \setminus u \neq \text{null} \implies (t \setminus u) \setminus (t \setminus u) \neq \text{null}$ 
and cube-ax:  $(v \setminus t) \setminus (u \setminus t) \neq \text{null} \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
begin
```

The axiom *cube-ax* is equivalent to the following unconditional form. The locale assumptions use the weaker form to avoid having to treat the case  $(v \setminus t) \setminus (u \setminus t) = \text{null}$  specially for every interpretation.

```

lemma cube:
shows  $(v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
using cube-ax by metis
```

We regard  $t$  and  $u$  as *consistent* if the residuation  $t \setminus u$  is defined. It is convenient to make this a definition, with associated notation.

```

definition con (infix `\ $\setminus\curvearrowright$ ` 50)
where  $t \setminus u \equiv t \setminus u \neq \text{null}$ 
```

```

lemma conI [intro]:
assumes  $t \setminus u \neq \text{null}$ 
shows  $t \setminus u$ 
using assms con-def by blast
```

```

lemma conE [elim]:
assumes  $t \setminus u$ 
and  $t \setminus u \neq \text{null} \implies T$ 
shows  $T$ 
using assms con-def by simp
```

```

lemma con-sym:
assumes  $t \setminus u$ 
shows  $u \setminus t$ 
using assms con-def con-sym-ax by blast
```

We call  $t$  an *arrow* if it is self-consistent.

```
definition arr
```

**where**  $\text{arr } t \equiv t \rightsquigarrow t$

**lemma**  $\text{arrI}$  [*intro*]:

**assumes**  $t \rightsquigarrow t$

**shows**  $\text{arr } t$

**using** *assms arr-def* **by** *simp*

**lemma**  $\text{arrE}$  [*elim*]:

**assumes**  $\text{arr } t$

**and**  $t \rightsquigarrow t \implies T$

**shows**  $T$

**using** *assms arr-def* **by** *simp*

**lemma**  $\text{not-arr-null}$  [*simp*]:

**shows**  $\neg \text{arr null}$

**by** (*auto simp add: con-def*)

**lemma**  $\text{con-implies-arr}$ :

**assumes**  $t \rightsquigarrow u$

**shows**  $\text{arr } t \text{ and } \text{arr } u$

**using** *assms*

**by** (*metis arrI con-def con-imp-arr-resid cube null-is-zero(2)*) +

**lemma**  $\text{arr-resid}$  [*simp*]:

**assumes**  $t \rightsquigarrow u$

**shows**  $\text{arr } (t \setminus u)$

**using** *assms con-imp-arr-resid* **by** *blast*

**lemma**  $\text{arr-resid-iff-con}$ :

**shows**  $\text{arr } (t \setminus u) \longleftrightarrow t \rightsquigarrow u$

**by** *auto*

**lemma**  $\text{con-arr-self}$  [*simp*]:

**assumes**  $\text{arr } f$

**shows**  $f \rightsquigarrow f$

**using** *assms arrE* **by** *auto*

**lemma**  $\text{not-con-null}$  [*simp*]:

**shows**  $\text{con null } t = \text{False} \text{ and } \text{con } t \text{ null} = \text{False}$

**by** *auto*

The residuation of an arrow along itself is the *canonical target* of the arrow.

**definition**  $\text{trg}$

**where**  $\text{trg } t \equiv t \setminus t$

**lemma**  $\text{resid-arr-self}$ :

**shows**  $t \setminus t = \text{trg } t$

**using** *trg-def* **by** *auto*

```

lemma arr-trg-iff-arr:
shows arr (trg t)  $\longleftrightarrow$  arr t
  by (metis arrI arrE arr-resid-iff-con resid-arr-self)

An identity is an arrow that is its own target.

definition ide
where ide a  $\equiv$  a  $\frown$  a  $\wedge$  a \ a = a

lemma ideI [intro]:
assumes a  $\frown$  a and a \ a = a
shows ide a
  using assms ide-def by auto

lemma ideE [elim]:
assumes ide a
and [a  $\frown$  a; a \ a = a]  $\implies$  T
shows T
  using assms ide-def by blast

lemma ide-implies-arr [simp]:
assumes ide a
shows arr a
  using assms by blast

lemma not-ide-null [simp]:
shows ide null = False
  by auto

end

```

### 2.1.3 Residuated Transition System

A *residuated transition system* consists of a residuation subject to additional axioms that concern the relationship between identities and residuation. These axioms make it possible to sensibly associate with each arrow certain nonempty sets of identities called the *sources* and *targets* of the arrow. Axiom *ide-trg* states that the canonical target *trg t* of an arrow *t* is an identity. Axiom *resid-arr-ide* states that identities are right units for residuation, when it is defined. Axiom *resid-ide-arr* states that the residuation of an identity along an arrow is again an identity, assuming that the residuation is defined. Axiom *con-imp-coinitial-ax* states that if arrows *t* and *u* are consistent, then there is an identity that is consistent with both of them (*i.e.* they have a common source). Axiom *con-target* states that an identity of the form *t \ u* (which may be regarded as a “target” of *u*) is consistent with any other arrow *v \ u* obtained by residuation along *u*. We note that replacing the premise *ide* (*t \ u*) in this axiom by either *arr* (*t \ u*) or *t \ u* would result in a strictly stronger statement.

```

locale rts = residuation +
assumes ide-trg [simp]: arr t  $\implies$  ide (trg t)

```

```

and resid-arr-ide:  $\llbracket \text{ide } a; t \sim a \rrbracket \implies t \setminus a = t$ 
and resid-ide-arr [simp]:  $\llbracket \text{ide } a; a \sim t \rrbracket \implies \text{ide } (a \setminus t)$ 
and con-imp-coinitial-ax:  $t \sim u \implies \exists a. \text{ide } a \wedge a \sim t \wedge a \sim u$ 
and con-target:  $\llbracket \text{ide } (t \setminus u); u \sim v \rrbracket \implies t \setminus u \sim v \setminus u$ 
begin

```

We define the *sources* of an arrow  $t$  to be the identities that are consistent with  $t$ .

```

definition sources
where sources  $t = \{a. \text{ide } a \wedge t \sim a\}$ 

```

We define the *targets* of an arrow  $t$  to be the identities that are consistent with the canonical target  $\text{trg } t$ .

```

definition targets
where targets  $t = \{b. \text{ide } b \wedge \text{trg } t \sim b\}$ 

```

```

lemma in-sourcesI [intro, simp]:
assumes ide  $a$  and  $t \sim a$ 
shows  $a \in \text{sources } t$ 
using assms sources-def by simp

```

```

lemma in-sourcesE [elim]:
assumes  $a \in \text{sources } t$ 
and  $\llbracket \text{ide } a; t \sim a \rrbracket \implies T$ 
shows  $T$ 
using assms sources-def by auto

```

```

lemma in-targetsI [intro, simp]:
assumes ide  $b$  and  $\text{trg } t \sim b$ 
shows  $b \in \text{targets } t$ 
using assms targets-def resid-arr-self by simp

```

```

lemma in-targetsE [elim]:
assumes  $b \in \text{targets } t$ 
and  $\llbracket \text{ide } b; \text{trg } t \sim b \rrbracket \implies T$ 
shows  $T$ 
using assms targets-def resid-arr-self by force

```

```

lemma trg-in-targets:
assumes arr  $t$ 
shows  $\text{trg } t \in \text{targets } t$ 
using assms
by (meson ideE ide-trg in-targetsI)

```

```

lemma source-is-ide:
assumes  $a \in \text{sources } t$ 
shows  $\text{ide } a$ 
using assms by blast

```

```

lemma target-is-ide:

```

```

assumes  $a \in \text{targets } t$ 
shows  $\text{ide } a$ 
  using assms by blast

```

Consistent arrows have a common source.

```

lemma con-imp-common-source:
assumes  $t \sim u$ 
shows  $\text{sources } t \cap \text{sources } u \neq \{\}$ 
  using assms
  by (meson disjoint-iff in-sourcesI con-imp-coinitial-ax con-sym)

```

Arrows are characterized by the property of having a nonempty set of sources, or equivalently, by that of having a nonempty set of targets.

```

lemma arr-iff-has-source:
shows  $\text{arr } t \longleftrightarrow \text{sources } t \neq \{\}$ 
  using con-imp-common-source con-implies-arr(1) sources-def by blast

lemma arr-iff-has-target:
shows  $\text{arr } t \longleftrightarrow \text{targets } t \neq \{\}$ 
  using trg-def trg-in-targets by fastforce

```

The residuation of a source of an arrow along that arrow gives a target of the same arrow. However, it is *not* true that every target of an arrow  $t$  is of the form  $u \setminus t$  for some  $u$  with  $t \sim u$ .

```

lemma resid-source-in-targets:
assumes  $a \in \text{sources } t$ 
shows  $a \setminus t \in \text{targets } t$ 
  by (metis arr-resid assms con-target con-sym resid-arr-ide ide-trg
    in-sourcesE resid-ide-arr in-targetsI resid-arr-self)

```

Residuation along an identity reflects identities.

```

lemma ide-backward-stable:
assumes  $\text{ide } a \text{ and } \text{ide } (t \setminus a)$ 
shows  $\text{ide } t$ 
  by (metis assms ideE resid-arr-ide arr-resid-iff-con)

```

```

lemma resid-reflects-con:
assumes  $t \sim v \text{ and } u \sim v \text{ and } t \setminus v \sim u \setminus v$ 
shows  $t \sim u$ 
  using assms cube
  by (elim conE) auto

```

```

lemma con-transitive-on-ide:
assumes  $\text{ide } a \text{ and } \text{ide } b \text{ and } \text{ide } c$ 
shows  $[a \sim b; b \sim c] \implies a \sim c$ 
  using assms
  by (metis resid-arr-ide con-target con-sym)

```

```

lemma sources-are-con:

```

```

assumes  $a \in \text{sources } t$  and  $a' \in \text{sources } t$ 
shows  $a \sim a'$ 
  using assms
  by (metis (no-types, lifting) CollectD con-target con-sym resid-ide-arr
      sources-def resid-reflects-con)

lemma sources-con-closed:
assumes  $a \in \text{sources } t$  and ide  $a'$  and  $a \sim a'$ 
shows  $a' \in \text{sources } t$ 
  using assms
  by (metis (no-types, lifting) con-target con-sym resid-arr-ide
      mem-Collect-eq sources-def)

lemma sources-eqI:
assumes  $\text{sources } t \cap \text{sources } t' \neq \{\}$ 
shows  $\text{sources } t = \text{sources } t'$ 
  using assms sources-def sources-are-con sources-con-closed by blast

lemma targets-are-con:
assumes  $b \in \text{targets } t$  and  $b' \in \text{targets } t$ 
shows  $b \sim b'$ 
  using assms sources-are-con sources-def targets-def by blast

lemma targets-con-closed:
assumes  $b \in \text{targets } t$  and ide  $b'$  and  $b \sim b'$ 
shows  $b' \in \text{targets } t$ 
  using assms sources-con-closed sources-def targets-def by blast

lemma targets-eqI:
assumes  $\text{targets } t \cap \text{targets } t' \neq \{\}$ 
shows  $\text{targets } t = \text{targets } t'$ 
  using assms targets-def targets-are-con targets-con-closed by blast

```

Arrows are *coinitial* if they have a common source, and *coterminal* if they have a common target.

```

definition coinitial
where coinitial  $t u \equiv \text{sources } t \cap \text{sources } u \neq \{\}$ 

definition coterminal
where coterminal  $t u \equiv \text{targets } t \cap \text{targets } u \neq \{\}$ 

lemma coinitialI [intro]:
assumes arr  $t$  and  $\text{sources } t = \text{sources } u$ 
shows coinitial  $t u$ 
  using assms coinitial-def arr-iff-has-source by simp

lemma coinitialE [elim]:
assumes coinitial  $t u$ 
and  $\llbracket \text{arr } t; \text{arr } u; \text{sources } t = \text{sources } u \rrbracket \implies T$ 

```

```

shows  $T$ 
using assms coinitial-def sources-eqI arr-iff-has-source by auto

lemma con-imp-coinitial:
assumes  $t \sim u$ 
shows coinitial  $t u$ 
using assms
by (simp add: coinitial-def con-imp-common-source)

lemma coinitial-iff:
shows coinitial  $t t' \longleftrightarrow \text{arr } t \wedge \text{arr } t' \wedge \text{sources } t = \text{sources } t'$ 
by (metis arr-iff-has-source coinitial-def inf-idem sources-eqI)

lemma coterminal-iff:
shows coterminal  $t t' \longleftrightarrow \text{arr } t \wedge \text{arr } t' \wedge \text{targets } t = \text{targets } t'$ 
by (metis arr-iff-has-target coterminal-def inf-idem targets-eqI)

lemma coterminal-iff-con-trg:
shows coterminal  $t u \longleftrightarrow \text{trg } t \sim \text{trg } u$ 
by (metis coinitial-iff con-imp-coinitial coterminal-iff in-targetsE trg-in-targets
resid-arr-self arr-resid-iff-con sources-def targets-def)

lemma coterminall [intro]:
assumes arr  $t$  and targets  $t = \text{targets } u$ 
shows coterminal  $t u$ 
using assms coterminal-iff arr-iff-has-target by auto

lemma coterminale [elim]:
assumes coterminal  $t u$ 
and  $\llbracket \text{arr } t; \text{arr } u; \text{targets } t = \text{targets } u \rrbracket \implies T$ 
shows  $T$ 
using assms coterminal-iff by auto

lemma sources-resid [simp]:
assumes  $t \sim u$ 
shows sources  $(t \setminus u) = \text{targets } u$ 
unfolding targets-def trg-def
using assms conI conE
by (metis con-imp-arr-resid assms coinitial-iff con-imp-coinitial
cube ex-un-null sources-def)

lemma targets-resid-sym:
assumes  $t \sim u$ 
shows targets  $(t \setminus u) = \text{targets } (u \setminus t)$ 
using assms
apply (intro targets-eqI)
by (metis (no-types, opaque-lifting) assms cube inf-idem arr-iff-has-target arr-def
arr-resid-iff-con sources-resid)

```

Arrows  $t$  and  $u$  are *sequential* if the set of targets of  $t$  equals the set of sources of  $u$ .

```

definition seq
where seq t u ≡ arr t ∧ arr u ∧ targets t = sources u

lemma seqI [intro]:
shows [arr t; targets t = sources u] ⇒ seq t u
and [arr u; targets t = sources u] ⇒ seq t u
using seq-def arr-iff-has-source arr-iff-has-target by metis+

lemma seqE [elim]:
assumes seq t u
and [arr t; arr u; targets t = sources u] ⇒ T
shows T
using assms seq-def by blast

```

## Congruence of Transitions

Residuation induces a preorder  $\lesssim$  on transitions, defined by  $t \lesssim u$  if and only if  $t \setminus u$  is an identity.

```

abbreviation prfx (infix <math>\lesssim</math> 50)
where t  $\lesssim$  u ≡ ide (t \ u)

lemma prfxE:
assumes t  $\lesssim$  u
and ide (t \ u) ⇒ T
shows T
using assms by fastforce

lemma prfx-implies-con:
assumes t  $\lesssim$  u
shows t ∼ u
using assms arr-resid-iff-con by blast

lemma prfx-reflexive:
assumes arr t
shows t  $\lesssim$  t
by (simp add: assms resid-arr-self)

lemma prfx-transitive [trans]:
assumes t  $\lesssim$  u and u  $\lesssim$  v
shows t  $\lesssim$  v
using assms con-target resid-ide-arr ide-backward-stable cube conI
by metis

lemma source-is-prfx:
assumes a ∈ sources t
shows a  $\lesssim$  t
using assms resid-source-in-targets by blast

```

The equivalence  $\sim$  associated with  $\lesssim$  is substitutive with respect to residuation.

```

abbreviation cong (infix  $\sim$  50)
where  $t \sim u \equiv t \lesssim u \wedge u \lesssim t$ 

lemma congE:
assumes  $t \sim u$ 
and  $\llbracket t \sim u; ide(t \setminus u); ide(u \setminus t) \rrbracket \implies T$ 
shows  $T$ 
using assmss prfx-implies-con by blast

lemma cong-reflexive:
assumes arr t
shows  $t \sim t$ 
using assmss prfx-reflexive by simp

lemma cong-symmetric:
assumes  $t \sim u$ 
shows  $u \sim t$ 
using assmss by simp

lemma cong-transitive [trans]:
assumes  $t \sim u$  and  $u \sim v$ 
shows  $t \sim v$ 
using assmss prfx-transitive by auto

lemma cong-subst-left:
assumes  $t \sim t'$  and  $t \sim u$ 
shows  $t' \sim u$  and  $t \setminus u \sim t' \setminus u$ 
apply (meson assmss con-sym con-target prfx-implies-con resid-reflects-con)
by (metis assmss con-sym con-target cube prfx-implies-con resid-ide-arr resid-reflects-con)

lemma cong-subst-right:
assumes  $u \sim u'$  and  $t \sim u$ 
shows  $t \sim u'$  and  $t \setminus u \sim t \setminus u'$ 
proof -
have 1:  $t \sim u' \wedge t \setminus u' \sim u \setminus u' \wedge$ 
 $(t \setminus u) \setminus (u' \setminus u) = (t \setminus u') \setminus (u \setminus u')$ 
using assmss cube con-sym con-target cong-subst-left(1) by meson
show  $t \sim u'$ 
using 1 by simp
show  $t \setminus u \sim t \setminus u'$ 
by (metis 1 arr-resid-iff-con assmss(1) cong-reflexive resid-arr-ide)
qed

lemma cong-implies-coinitial:
assumes  $u \sim u'$ 
shows coinitial u u'
using assmss con-imp-coinitial prfx-implies-con by simp

lemma cong-implies-coterminal:

```

```

assumes  $u \sim u'$ 
shows coterminal  $u u'$ 
using assms
by (metis con-implies-arr(1) coterminall ideE prfx-implies-con sources-resid
targets-resid-sym)

lemma ide-imp-con-iff-cong:
assumes ide  $t$  and ide  $u$ 
shows  $t \sim u \longleftrightarrow t \sim u$ 
using assms
by (metis con-sym resid-ide-arr prfx-implies-con)

lemma sources-are-cong:
assumes  $a \in \text{sources } t$  and  $a' \in \text{sources } t$ 
shows  $a \sim a'$ 
using assms sources-are-con
by (metis CollectD ide-imp-con-iff-cong sources-def)

lemma sources-cong-closed:
assumes  $a \in \text{sources } t$  and  $a \sim a'$ 
shows  $a' \in \text{sources } t$ 
using assms sources-def
by (meson in-sourcesE in-sourcesI cong-subst-right(1) ide-backward-stable)

lemma targets-are-cong:
assumes  $b \in \text{targets } t$  and  $b' \in \text{targets } t$ 
shows  $b \sim b'$ 
using assms(1–2) sources-are-cong sources-def targets-def by blast

lemma targets-cong-closed:
assumes  $b \in \text{targets } t$  and  $b \sim b'$ 
shows  $b' \in \text{targets } t$ 
using assms targets-def sources-cong-closed sources-def by blast

lemma targets-char:
shows targets  $t = \{b. \text{arr } t \wedge t \setminus t \sim b\}$ 
unfolding targets-def
by (metis (no-types, lifting) con-def con-implies-arr(2) con-sym cong-reflexive
ide-def resid-arr-ide trg-def)

lemma coinitial-ide-are-cong:
assumes ide  $a$  and ide  $a'$  and coinitial  $a a'$ 
shows  $a \sim a'$ 
using assms coinitial-def
by (metis ideE in-sourcesI coinitialE sources-are-cong)

lemma cong-respects-seq:
assumes seq  $t u$  and cong  $t t'$  and cong  $u u'$ 
shows seq  $t' u'$ 

```

**by** (*metis assms coterminale coinitialE cong-implies-coinitial  
cong-implies-coterminal seqE seqI(1)*)

## Chosen Sources

In a general RTS, sources are not unique and (in contrast to the case for targets) there isn't even any canonical source. However, it is useful to choose an arbitrary source for each transition. Once we have at least weak extensionality, this will be the unique source and stronger things can be proved about it.

```

definition src
where src t ≡ if arr t then SOME a. a ∈ sources t else null

lemma src-in-sources:
assumes arr t
shows src t ∈ sources t
using assms someI-ex [of λa. a ∈ sources t] arr-iff-has-source src-def
by auto

lemma src-congI:
assumes ide a and a ∼ t
shows src t ∼ a
using assms src-in-sources sources-are-cong
by (metis arr-iff-has-source con-sym emptyE in-sourcesI)

lemma arr-src-iff-arr:
shows arr (src t) ↔ arr t
by (metis arrI conE null-is-zero(2) sources-are-con arrE src-def src-in-sources)

lemma arr-src-if-arr [simp]:
assumes arr t
shows arr (src t)
using assms arr-src-iff-arr by blast

lemma sources-charCS:
shows sources t = {a. arr t ∧ src t ∼ a}
unfolding sources-def
by (meson con-implies-arr(1) con-sym in-sourcesE sources-cong-closed
src-congI src-in-sources)

lemma targets-char':
shows targets t = {b. arr t ∧ trg t ∼ b}
unfolding targets-def
using targets-char targets-def trg-def by presburger

lemma con-imp-cong-src:
assumes t ∼ u
shows src t ∼ src u
using assms con-imp-coinitial-ax cong-transitive src-congI by blast

```

```

lemma ide-src [simp]:
assumes arr t
shows ide (src t)
using assms src-in-sources by blast

lemma src-resid:
assumes t ∼ u
shows src (t \ u) ∼ trg u
using assms
by (metis con-implies-arr(2) con-sym con-target ide-trg src-congI trg-def)

lemma apex-arr-prfx':
assumes prfx t u
shows trg (u \ t) ∼ trg u
and trg (t \ u) ∼ trg u
using assms
apply (metis (no-types, lifting) ide-def mem-Collect-eq prfx-implies-con
sources-resid targets-resid-sym sources-def targets-char' trg-in-targets)
by (metis assms ideE prfx-transitive arr-resid-iff-con src-resid)

lemma seqICS [intro, simp]:
shows [[arr t; trg t ∼ src u]] ⇒ seq t u
and [[arr u; trg t ∼ src u]] ⇒ seq t u
apply (metis ide-trg in-sourcesE not-ide-null prfx-implies-con resid-arr-ide
seqI(1) sources-resid src-def src-in-sources trg-def)
by (metis in-sourcesE prfx-implies-con resid-arr-ide seqI(2) sources-resid
src-in-sources trg-def)

lemma seqECS [elim]:
assumes seq t u
and [[arr u; arr t; trg t ∼ src u]] ⇒ T
shows T
using assms
by (metis seq-def sources-are-cong src-in-sources trg-in-targets)

lemma coinitial-iff':
shows coinitial t u ⇔ arr t ∧ arr u ∧ src t ∼ src u
by (metis (full-types) arr-resid-iff-con coinitialE coinitialI ide-implies-arr
resid-arr-ide con-imp-coinitial in-sourcesE src-in-sources)

lemma coterminal-iff':
shows coterminal t u ⇔ arr t ∧ arr u ∧ trg t ∼ trg u
by (meson coterminalE ide-imp-con-iff-cong coterminal-iff-con-trg ide-trg)

lemma coinitialI' [intro]:
assumes arr t and src t ∼ src u
shows coinitial t u
by (metis assms(2) coinitial-iff' not-ide-null null-is-zero(2) src-def)

```

```

lemma coinitialE' [elim]:
assumes coinitial t u
and [[arr t; arr u; src t ~ src u]] ==> T
shows T
  using assms coinitial-iff' by blast

lemma coterminall' [intro]:
assumes arr t and trg t ~ trg u
shows coterminall t u
  by (simp add: assms(2) prfx-implies-con coterminall-iff-con-trg)

lemma coterminale' [elim]:
assumes coterminale t u
and [[arr t; arr u; trg t ~ trg u]] ==> T
shows T
  using assms coterminale-iff' by blast

lemma src-cong-ide:
assumes ide a
shows src a ~ a
  using arrI assms src-congI by blast

lemma trg-ide [simp]:
assumes ide a
shows trg a = a
  using assms resid-arr-self by auto

lemma ide-iff-src-cong-self:
assumes arr a
shows ide a <=> src a ~ a
  by (metis assms ide-backward-stable in-sourcesE src-cong-ide src-in-sources)

lemma ide-iff-trg-cong-self:
assumes arr a
shows ide a <=> trg a ~ a
  by (metis assms ideE ide-backward-stable ide-trg trg-def)

lemma src-src-cong-src:
assumes arr t
shows src (src t) ~ src t
  using assms src-cong-ide src-in-sources by blast

lemma trg-trg-cong-trg:
assumes arr t
shows trg (trg t) ~ trg t
  using assms by fastforce

lemma src-trg-cong-trg:
assumes arr t

```

```

shows src (trg t) ~ trg t
using assms ide-trg src-cong-ide by blast

lemma trg-src-cong-src:
assumes arr t
shows trg (src t) ~ src t
using assms
by (metis in-sourcesE resid-arr-self trg-ide src-in-sources)

lemma resid-ide-cong:
assumes ide a and coinitial a t
shows t \ a ~ t and a \ t ~ trg t
using assms
apply (metis coinitialE cong-reflexive ideE in-sourcesE in-sourcesI resid-arr-ide)
by (metis apex-arr-prfx'(2) assms coinitialE ideE in-sourcesI resid-arr-self
source-is-prfx)

lemma con-arr-src [simp]:
assumes arr f
shows f ~ src f and src f ~ f
using assms src-in-sources con-sym by blast+

lemma resid-src-arr-cong:
assumes arr f
shows src f \ f ~ trg f
using assms
by (meson resid-source-in-targets src-in-sources trg-in-targets targets-are-cong)

lemma resid-arr-src-cong:
assumes arr f
shows f \ src f ~ f
using assms
by (metis cong-reflexive in-sourcesE resid-arr-ide src-in-sources)

end

```

#### 2.1.4 Weakly Extensional RTS

A *weakly extensional* RTS is an RTS that satisfies the additional condition that identity arrows have trivial congruence classes. This axiom has a number of useful consequences, including that each arrow has a unique source and target.

```

locale weakly-extensional-rts = rts +
assumes weak-extensionality: [|t ~ u; ide t; ide u|] ==> t = u
begin

lemma con-ide-are-eq:
assumes ide a and ide a' and a ~ a'
shows a = a'
using assms ide-imp-con-iff-cong weak-extensionality by blast

```

```

lemma coinitial-ide-are-eq:
assumes ide a and ide a' and coinitial a a'
shows a = a'
using assms coinitial-def con-ide-are-eq by blast

lemma arr-has-un-source:
assumes arr t
shows  $\exists!a. a \in \text{sources } t$ 
using assms
by (meson arr-iff-has-source con-ide-are-eq ex-in-conv in-sourcesE sources-are-con)

lemma arr-has-un-target:
assumes arr t
shows  $\exists!b. b \in \text{targets } t$ 
using assms
by (metis arrE arr-has-un-source arr-resid sources-resid)

lemma src-eqI:
assumes ide a and a  $\rightsquigarrow$  t
shows src t = a
using assms src-in-sources
by (metis arr-has-un-source resid-arr-ide in-sourcesI arr-resid-iff-con con-sym)

lemma sources-charWE:
shows sources t = {a. arr t  $\wedge$  src t = a}
using arr-iff-has-source con-sym src-eqI by auto

lemma targets-charWE:
shows targets t = {b. arr t  $\wedge$  trg t = b}
using trg-in-targets arr-has-un-target arr-iff-has-target by auto

lemma con-imp-eq-src:
assumes t  $\rightsquigarrow$  u
shows src t = src u
using assms
by (metis con-imp-coinitial-ax src-eqI)

lemma src-residWE [simp]:
assumes t  $\rightsquigarrow$  u
shows src (t \ u) = trg u
using assms
by (metis arr-resid-iff-con con-implies-arr(2) arr-has-un-source trg-in-targets
sources-resid src-in-sources)

lemma apex-sym:
shows trg (t \ u) = trg (u \ t)
by (metis arr-has-un-target con-sym-ax arr-resid-iff-con conI targets-resid-sym
trg-in-targets)

```

```

lemma apex-arr-prfxWE:
assumes prfx t u
shows trg (u \ t) = trg u
and trg (t \ u) = trg u
  using assms
  apply (metis apex-sym arr-resid-iff-con ideE src-residWE)
  by (metis arr-resid-iff-con assms ideE src-residWE)

lemma seqIWE [intro, simp]:
shows [[arr t; trg t = src u]]  $\implies$  seq t u
and [[arr u; trg t = src u]]  $\implies$  seq t u
  by (metis arrE arr-src-iff-arr arr-trg-iff-arr in-sourcesE resid-arr-ide
       seqI(1) sources-resid src-in-sources trg-def)+

lemma seqEWE [elim]:
assumes seq t u
and [[arr u; arr t; trg t = src u]]  $\implies$  T
shows T
  using assms
  by (metis arr-has-un-source seq-def src-in-sources trg-in-targets)

lemma coinitial-iffWE:
shows coinitial t u  $\longleftrightarrow$  arr t  $\wedge$  arr u  $\wedge$  src t = src u
  by (metis arr-has-un-source coinitial-def coinitial-iff disjoint-iff-not-equal
       src-in-sources)

lemma coterminal-iffWE:
shows coterminal t u  $\longleftrightarrow$  arr t  $\wedge$  arr u  $\wedge$  trg t = trg u
  by (metis arr-has-un-target coterminal-iff-con-trg coterminal-iff trg-in-targets)

lemma coinitialIWE [intro]:
assumes arr t and src t = src u
shows coinitial t u
  using assms coinitial-iffWE by (metis arr-src-iff-arr)

lemma coinitialEWE [elim]:
assumes coinitial t u
and [[arr t; arr u; src t = src u]]  $\implies$  T
shows T
  using assms coinitial-iffWE by blast

lemma coterminalIWE [intro]:
assumes arr t and trg t = trg u
shows coterminal t u
  using assms coterminal-iffWE by (metis arr-trg-iff-arr)

lemma coterminalEWE [elim]:
assumes coterminal t u

```

```

and  $\llbracket \text{arr } t; \text{ arr } u; \text{ trg } t = \text{trg } u \rrbracket \implies T$ 
shows  $T$ 
  using assms coterminal-iffWE by blast

lemma src-ide [simp]:
assumes ide a
shows src a = a
  using arrI assms src-eqI by blast

lemma ide-iff-src-self:
assumes arr a
shows ide a \longleftrightarrow src a = a
  using assms by (metis ide-src src-ide)

lemma ide-iff-trg-self:
assumes arr a
shows ide a \longleftrightarrow trg a = a
  using assms ide-def resid-arr-self ide-trg by metis

lemma src-src [simp]:
shows src (src t) = src t
  using ide-src src-def src-ide by auto

lemma trg-trg [simp]:
shows trg (trg t) = trg t
  by (metis con-def con-implies-arr(2) cong-reflexive ide-def null-is-zero(2) resid-arr-self)

lemma src-trg [simp]:
shows src (trg t) = trg t
  by (metis con-def not-arr-null src-def src-residWE trg-def)

lemma trg-src [simp]:
shows trg (src t) = src t
  by (metis ide-src null-is-zero(2) resid-arr-self src-def trg-ide)

lemma resid-ide:
assumes ide a and coinitial a t
shows t \ a = t and a \ t = trg t
  using assms resid-arr-ide apply blast
  using assms
  by (metis con-def con-sym-ax ideE in-sourcesE in-sourcesI resid-ide-arr coinitialE src-ide src-residWE)

lemma resid-src-arr [simp]:
assumes arr f
shows src f \ f = trg f
  using assms
  by (simp add: con-imp-coinitial resid-ide(2))

```

```

lemma resid-arr-src [simp]:
assumes arr f
shows f \ src f = f
  using assms
  by (simp add: resid-arr-ide)

end

```

### 2.1.5 Extensional RTS

An *extensional* RTS is an RTS in which all arrows have trivial congruence classes; that is, congruent arrows are equal.

```

locale extensional-rts = rts +
assumes extensionality:  $t \sim u \implies t = u$ 
begin

sublocale weakly-extensional-rts
  using extensionality
  by unfold-locales auto

lemma cong-char:
shows  $t \sim u \longleftrightarrow \text{arr } t \wedge t = u$ 
  by (metis arrI cong-reflexive prfx-implies-con extensionality)

end

```

### 2.1.6 Composites of Transitions

Residuation can be used to define a notion of composite of transitions. Composites are not unique, but they are unique up to congruence.

```

context rts
begin

definition composite-of
where composite-of  $u \ t \ v \equiv u \lesssim v \wedge v \setminus u \sim t$ 

lemma composite-ofI [intro]:
assumes  $u \lesssim v$  and  $v \setminus u \sim t$ 
shows composite-of  $u \ t \ v$ 
  using assms composite-of-def by blast

lemma composite-ofE [elim]:
assumes composite-of  $u \ t \ v$ 
and  $\llbracket u \lesssim v; v \setminus u \sim t \rrbracket \implies T$ 
shows  $T$ 
  using assms composite-of-def by auto

lemma arr-composite-of:

```

```

assumes composite-of  $u t v$ 
shows arr  $v$ 
  using assms
  by (meson composite-of-def con-implies-arr(2) prfx-implies-con)

lemma composite-of-unq-upto-cong:
assumes composite-of  $u t v$  and composite-of  $u t v'$ 
shows  $v \sim v'$ 
  using assms cube ide-backward-stable prfx-transitive
  by (elim composite-ofE) metis

lemma composite-of-ide-arr:
assumes ide  $a$ 
shows composite-of  $a t t \longleftrightarrow t \sim a$ 
  using assms
  by (metis composite-of-def con-implies-arr(1) con-sym resid-arr-ide resid-ide-arr
    prfx-implies-con prfx-reflexive)

lemma composite-of-arr-ide:
assumes ide  $b$ 
shows composite-of  $t b t \longleftrightarrow t \setminus t \sim b$ 
  using assms
  by (metis arr-resid-iff-con composite-of-def ide-imp-con-iff-cong con-implies-arr(1)
    prfx-implies-con prfx-reflexive)

lemma composite-of-source-arr:
assumes arr  $t$  and  $a \in \text{sources } t$ 
shows composite-of  $a t t$ 
  using assms composite-of-ide-arr sources-def by auto

lemma composite-of-arr-target:
assumes arr  $t$  and  $b \in \text{targets } t$ 
shows composite-of  $t b t$ 
  by (metis arrE assms composite-of-arr-ide in-sourcesE sources-resid)

lemma composite-of-ide-self:
assumes ide  $a$ 
shows composite-of  $a a a$ 
  using assms composite-of-ide-arr by blast

lemma con-prfx-composite-of:
assumes composite-of  $t u w$ 
shows  $t \sim w$  and  $w \sim v \implies t \sim v$ 
  using assms apply force
  using assms composite-of-def con-target prfx-implies-con
    resid-reflects-con con-sym
  by meson

lemma sources-composite-of:

```

```

assumes composite-of u t v
shows sources v = sources u
  using assms
  by (meson arr-resid-iff-con composite-of-def con-imp-coinitial cong-implies-coinitial
       coinitial-iff)

lemma targets-composite-of:
assumes composite-of u t v
shows targets v = targets t
proof -
  have targets t = targets (v \ u)
    using assms composite-of-def
    by (meson cong-implies-coterminal coterminal-iff)
  also have ... = targets (u \ v)
    using assms targets-resid-sym con-prfx-composite-of by metis
  also have ... = targets v
    using assms composite-of-def
    by (metis prfx-implies-con sources-resid ideE)
  finally show ?thesis by auto
qed

lemma resid-composite-of:
assumes composite-of t u w and w ∼ v
shows v \ t ∼ w \ t
and v \ t ∼ u
and v \ w ∼ (v \ t) \ u
and composite-of (t \ v) (u \ (v \ t)) (w \ v)
proof -
  show 0: v \ t ∼ w \ t
    using assms con-def
    by (metis con-target composite-ofE conE con-sym cube)
  show 1: v \ w ∼ (v \ t) \ u
  proof -
    have v \ w = (v \ w) \ (t \ w)
      using assms composite-of-def
      by (metis (no-types, opaque-lifting) con-target con-sym resid-arr-ide)
    also have ... = (v \ t) \ (w \ t)
      using assms cube by metis
    also have ... ∼ (v \ t) \ u
      using assms 0 cong-subst-right(2) [of w \ t u v \ t] by blast
    finally show ?thesis by blast
  qed
  show 2: v \ t ∼ u
    using assms 1 by force
  show composite-of (t \ v) (u \ (v \ t)) (w \ v)
  proof (unfold composite-of-def, intro conjI)
    show t \ v ∼ w \ v
      using assms cube con-target composite-of-def resid-ide-arr by metis
    show (w \ v) \ (t \ v) ∼ u \ (v \ t)
  qed

```

```

by (metis assms(1) 2 composite-ofE con-sym cong-subst-left(2) cube)
thus  $u \setminus (v \setminus t) \lesssim (w \setminus v) \setminus (t \setminus v)$ 
using assms
by (metis composite-of-def con-implies-arr(2) cong-subst-left(2)
      prfx-implies-con arr-resid-iff-con cube)
qed
qed

lemma con-composite-of-iff:
assumes composite-of t u v
shows  $w \sim v \longleftrightarrow w \setminus t \sim u$ 
by (meson arr-resid-iff-con assms composite-ofE con-def con-implies-arr(1)
      con-sym-ax cong-subst-right(1) resid-composite-of(2) resid-reflects-con)

definition composable
where composable t u  $\equiv \exists v. \text{composite-of } t u v$ 

lemma composableD [dest]:
assumes composable t u
shows arr t and arr u and targets t = sources u
using assms arr-composite-of arr-iff-has-source composable-def sources-composite-of
      arr-composite-of arr-iff-has-target composable-def targets-composite-of
apply auto[2]
by (metis assms composable-def composite-ofE con-prfx-composite-of(1) con-sym
      cong-implies-coinitial coinitial-iff sources-resid)

lemma composable-imp-seq:
assumes composable t u
shows seq t u
using assms by blast

lemma composable-permute:
shows composable t (u \ t)  $\longleftrightarrow$  composable u (t \ u)
unfolding composable-def
by (metis cube ide-backward-stable ide-imp-con-iff-cong prfx-implies-con
      composite-ofE composite-ofI)

lemma diamond-commutes-upto-cong:
assumes composite-of t (u \ t) v and composite-of u (t \ u) v'
shows v ~ v'
using assms cube ide-backward-stable prfx-transitive
by (elim composite-ofE) metis

lemma bounded-imp-con:
assumes composite-of t u v and composite-of t' u' v
shows con t t'
by (meson assms composite-of-def con-prfx-composite-of prfx-implies-con
      arr-resid-iff-con con-implies-arr(2))

```

```

lemma composite-of-cancel-left:
assumes composite-of t u v and composite-of t u' v
shows u ~ u'
  using assms composite-of-def cong-transitive by blast
end

```

## RTS with Composites

```

locale rts-with-composites = rts +
assumes has-composites: seq t u ==> composable t u
begin

lemma composable-iff-seq:
shows composable g f <=> seq g f
  using composable-imp-seq has-composites by blast

lemma composableI [intro]:
assumes seq g f
shows composable g f
  using assms composable-iff-seq by auto

lemma composableE [elim]:
assumes composable g f and seq g f ==> T
shows T
  using assms composable-iff-seq by blast

lemma obtains-composite-of:
assumes seq g f
obtains h where composite-of g f h
  using assms has-composites composable-def by blast
end

```

### 2.1.7 Joins of Transitions

```

context rts
begin

```

Transition  $v$  is a *join* of  $u$  and  $v$  when  $v$  is the diagonal of the square formed by  $u$ ,  $v$ , and their residuals. As was the case for composites, joins in an RTS are not unique, but they are unique up to congruence.

```

definition join-of
where join-of t u v ≡ composite-of t (u \ t) v ∧ composite-of u (t \ u) v

lemma join-ofI [intro]:
assumes composite-of t (u \ t) v and composite-of u (t \ u) v
shows join-of t u v
  using assms join-of-def by simp

```

```

lemma join-ofE [elim]:
assumes join-of t u v
and [[composite-of t (u \ t) v; composite-of u (t \ u) v]] ==> T
shows T
  using assms join-of-def by simp

definition joinable
where joinable t u ≡ ∃ v. join-of t u v

lemma joinable-implies-con:
assumes joinable t u
shows t ∼ u
  by (meson assms bounded-imp-con join-of-def joinable-def)

lemma joinable-implies-coinitial:
assumes joinable t u
shows coinitial t u
  using assms
  by (simp add: con-imp-coinitial joinable-implies-con)

lemma joinable-iff-composable:
shows joinable t u ↔ composable t (u \ t)
proof
  show joinable t u ==> composable t (u \ t)
    unfolding joinable-def join-of-def composable-def by auto
  show composable t (u \ t) ==> joinable t u
  proof -
    assume 1: composable t (u \ t)
    obtain v where v: composite-of t (u \ t) v
      using 1 composable-def by blast
    have composite-of u (t \ u) v
    proof
      show u ≤ v
        by (metis v composite-ofE cube ide-backward-stable)
      show v \ u ∼ t \ u
        by (metis v <u ≤ v> coinitial-ide-are-cong composite-ofE
            con-imp-coinitial cube prfx-implies-con)
    qed
    thus joinable t u
      using v joinable-def by auto
  qed
qed
qed

lemma join-of-un upto-cong:
assumes join-of t u v and join-of t u v'
shows v ∼ v'
  using assms join-of-def composite-of-unq upto-cong by auto

```

```

lemma join-of-symmetric:
assumes join-of t u v
shows join-of u t v
  using assms join-of-def by simp

lemma join-of-arr-self:
assumes arr t
shows join-of t t t
  by (meson assms composite-of-arr-ide ideE join-of-def prfx-reflexive)

lemma join-of-arr-src:
assumes arr t and a ∈ sources t
shows join-of a t t and join-of t a t
proof –
  show join-of a t t
  by (meson assms composite-of-arr-target composite-of-def composite-of-source-arr join-of-def
    prfx-transitive resid-source-in-targets)
  thus join-of t a t
    using join-of-symmetric by blast
qed

lemma sources-join-of:
assumes join-of t u v
shows sources t = sources v and sources u = sources v
  using assms join-of-def sources-composite-of by blast+

lemma targets-join-of:
assumes join-of t u v
shows targets (t \ u) = targets v and targets (u \ t) = targets v
  using assms join-of-def targets-composite-of by blast+

lemma join-of-resid:
assumes join-of t u w and con v w
shows join-of (t \ v) (u \ v) (w \ v)
  using assms con-sym cube join-of-def resid-composite-of(4) by fastforce

lemma con-with-join-of-iff:
assumes join-of t u w
shows u ∼ v ∧ v \ u ∼ t \ u  $\implies$  w ∼ v
and w ∼ v  $\implies$  t ∼ v ∧ v \ t ∼ u \ t
proof –
  have *: t ∼ v ∧ v \ t ∼ u \ t  $\iff$  u ∼ v ∧ v \ u ∼ t \ u
    by (metis arr-resid-iff-con con-implies-arr(1) con-sym cube)
  show u ∼ v ∧ v \ u ∼ t \ u  $\implies$  w ∼ v
    by (meson assms con-composite-of-iff con-sym join-of-def)
  show w ∼ v  $\implies$  t ∼ v ∧ v \ t ∼ u \ t
    by (meson assms con-prfx-composite-of join-of-def resid-composite-of(2))
qed

```

```

lemma join-of-respects-cong-left:
assumes join-of t u v and cong t t'
shows join-of t' u v
  using assms prfx-transitive cong-subst-left(2) cong-subst-right(2)
  apply (elim join-ofE composite-ofE, intro join-ofI composite-ofI)
  by (meson con-sym con-with-join-of-iff(2) prfx-implies-con)+

lemma join-of-respects-cong-right:
assumes join-of t u v and cong u u'
shows join-of t u' v
  using assms join-of-respects-cong-left join-of-symmetric
  by meson

end

```

## RTS with Joins

```

locale rts-with-joins = rts +
assumes has-joins:  $t \sim u \Rightarrow \text{joinable } t u$ 

```

### 2.1.8 Joins and Composites in a Weakly Extensional RTS

```

context weakly-extensional-rts
begin

lemma src-composite-of:
assumes composite-of u t v
shows src v = src u
  using assms
  by (metis con-imp-eq-src con-prfx-composite-of(1))

lemma trg-composite-of:
assumes composite-of u t v
shows trg v = trg t
  by (metis arr-composite-of arr-has-un-target arr-iff-has-target assms
targets-composite-of trg-in-targets)

lemma src-join-of:
assumes join-of t u v
shows src t = src v and src u = src v
  by (metis assms join-ofE src-composite-of)+

lemma trg-join-of:
assumes join-of t u v
shows trg (t \ u) = trg v and trg (u \ t) = trg v
  by (metis assms join-of-def trg-composite-of)+

end

```

## 2.1.9 Joins and Composites in an Extensional RTS

```

context extensional-rts
begin

lemma composite-of-unique:
assumes composite-of t u v and composite-of t u v'
shows v = v'
using assms composite-of-unq-up-to-cong extensionality by fastforce

lemma divisors-of-ide:
assumes composite-of t u v and ide v
shows ide t and ide u
proof -
  show ide t
    using assms ide-backward-stable by blast
  show ide u
    by (metis assms(1-2) composite-ofE con-ide-are-eq con-prfx-composite-of(1)
          ide-backward-stable)
qed

```

Here we define composition of transitions. Note that we compose transitions in diagram order, rather than in the order used for function composition. This may eventually lead to confusion, but here (unlike in the case of a category) transitions are typically not functions, so we don't have the constraint of having to conform to the order of function application and composition, and diagram order seems more natural.

```

definition comp (infixr  $\leftrightarrow$  55)
where t  $\cdot$  u  $\equiv$  if composable t u then THE v. composite-of t u v else null

lemma comp-is-composite-of:
shows composable t u  $\implies$  composite-of t u (t  $\cdot$  u)
and composite-of t u v  $\implies$  t  $\cdot$  u = v
proof -
  show composable t u  $\implies$  composite-of t u (t  $\cdot$  u)
    using comp-def composite-of-unique the1I2 [of composite-of t u composite-of t u]
          composable-def
    by metis
  thus composite-of t u v  $\implies$  t  $\cdot$  u = v
    using composite-of-unique composable-def by auto
qed

lemma comp-null [simp]:
shows null  $\cdot$  t = null and t  $\cdot$  null = null
by (meson composableD not-arr-null comp-def)+

lemma composable-iff-arr-comp:
shows composable t u  $\longleftrightarrow$  arr (t  $\cdot$  u)
by (metis arr-composite-of comp-is-composite-of(2) composable-def comp-def not-arr-null)

```

```

lemma composable-iff-comp-not-null:
shows composable  $t \cdot u \longleftrightarrow t \cdot u \neq \text{null}$ 
by (metis composable-iff-arr-comp comp-def not-arr-null)

lemma comp-src-arr [simp]:
assumes arr  $t$  and src  $t = a$ 
shows  $a \cdot t = t$ 
using assms comp-is-composite-of(2) composite-of-source-arr src-in-sources by blast

lemma comp-arr-trg [simp]:
assumes arr  $t$  and trg  $t = b$ 
shows  $t \cdot b = t$ 
using assms comp-is-composite-of(2) composite-of-arr-target trg-in-targets by blast

lemma comp-ide-self:
assumes ide  $a$ 
shows  $a \cdot a = a$ 
using assms comp-is-composite-of(2) composite-of-ide-self by fastforce

lemma arr-comp [intro, simp]:
assumes composable  $t \cdot u$ 
shows arr  $(t \cdot u)$ 
using assms composable-iff-arr-comp by blast

lemma trg-comp [simp]:
assumes composable  $t \cdot u$ 
shows trg  $(t \cdot u) = \text{trg } u$ 
by (metis arr-has-un-target assms comp-is-composite-of(2) composable-def
      composable-imp-seq arr-iff-has-target seq-def targets-composite-of trg-in-targets)

lemma src-comp [simp]:
assumes composable  $t \cdot u$ 
shows src  $(t \cdot u) = \text{src } t$ 
using assms comp-is-composite-of arr-iff-has-source sources-composite-of src-def
      composable-def
by auto

lemma con-comp-iff:
shows  $w \curvearrowright t \cdot u \longleftrightarrow \text{composable } t \cdot u \wedge w \setminus t \curvearrowright u$ 
by (meson comp-is-composite-of(1) composable-iff-arr-comp con-composite-of-iff
      con-implies-arr(2))

lemma con-compI [intro]:
assumes composable  $t \cdot u$  and  $w \setminus t \curvearrowright u$ 
shows  $w \curvearrowright t \cdot u$  and  $t \cdot u \curvearrowright w$ 
using assms con-comp-iff con-sym by blast+

lemma resid-comp:
assumes  $t \cdot u \curvearrowright w$ 

```

```

shows  $w \setminus (t \cdot u) = (w \setminus t) \setminus u$ 
and  $(t \cdot u) \setminus w = (t \setminus w) \cdot (u \setminus (w \setminus t))$ 
proof -
  have 1: composable  $t \cdot u$ 
  using assms composable-iff-comp-not-null by force
  show  $w \setminus (t \cdot u) = (w \setminus t) \setminus u$ 
    using 1
  by (meson assms cong-char composable-def resid-composite-of(3) comp-is-composite-of(1))
  show  $(t \cdot u) \setminus w = (t \setminus w) \cdot (u \setminus (w \setminus t))$ 
    using assms 1 composable-def comp-is-composite-of(2) resid-composite-of
    by metis
qed

lemma prfx-decomp:
assumes  $t \lesssim u$ 
shows  $t \cdot (u \setminus t) = u$ 
by (meson assms arr-resid-iff-con comp-is-composite-of(2) composite-of-def con-sym
  cong-reflexive prfx-implies-con)

lemma prfx-comp:
assumes arr  $u$  and  $t \cdot v = u$ 
shows  $t \lesssim u$ 
by (metis assms comp-is-composite-of(2) composable-def composable-iff-arr-comp
  composite-of-def)

lemma comp-eqI:
assumes  $t \lesssim v$  and  $u = v \setminus t$ 
shows  $t \cdot u = v$ 
by (metis assms prfx-decomp)

lemma comp-assoc:
assumes composable  $(t \cdot u) \cdot v$ 
shows  $t \cdot (u \cdot v) = (t \cdot u) \cdot v$ 
proof -
  have 1:  $t \lesssim (t \cdot u) \cdot v$ 
  by (meson assms composable-iff-arr-comp composableD prfx-comp
    prfx-transitive)
  moreover have  $((t \cdot u) \cdot v) \setminus t = u \cdot v$ 
proof -
  have  $((t \cdot u) \cdot v) \setminus t = ((t \cdot u) \setminus t) \cdot (v \setminus (t \setminus (t \cdot u)))$ 
  by (meson assms calculation con-sym prfx-implies-con resid-comp(2))
  also have ... =  $u \cdot v$ 
proof -
  have 2:  $(t \cdot u) \setminus t = u$ 
  by (metis assms comp-is-composite-of(2) composable-def composable-iff-arr-comp
    composable-imp-seq composite-of-def extensionality seqE)
  moreover have  $v \setminus (t \setminus (t \cdot u)) = v$ 
  using assms
  by (meson 1 con-comp-iff con-sym composable-imp-seq resid-arr-ide

```

```

prfx-implies-con prfx-comp seqE)
ultimately show ?thesis by simp
qed
finally show ?thesis by blast
qed
ultimately show t · (u · v) = (t · u) · v
by (metis comp-eqI)
qed

```

We note the following assymmetry: *composable*  $(t \cdot u) \cdot v \implies \text{composable } u \cdot v$  is true, but *composable*  $t \cdot (u \cdot v) \implies \text{composable } t \cdot u$  is not.

```

lemma comp-cancel-left:
assumes arr (t · u) and t · u = t · v
shows u = v
using assms
by (metis composable-def composable-iff-arr-comp composite-of-cancel-left extensionality
comp-is-composite-of(2))

lemma comp-resid-prfx [simp]:
assumes arr (t · u)
shows (t · u) \ t = u
using assms
by (metis comp-cancel-left comp-eqI prfx-comp)

lemma bounded-imp-conE:
assumes t · u ~ t' · u'
shows t ∼ t'
by (metis arr-resid-iff-con assms con-comp-iff con-implies-arr(2) prfx-implies-con
con-sym)

lemma join-of-unique:
assumes join-of t u v and join-of t u v'
shows v = v'
using assms join-of-def composite-of-unique by blast

definition join (infix `⊓` 52)
where t ⊓ u ≡ if joinable t u then THE v. join-of t u v else null

lemma join-is-join-of:
assumes joinable t u
shows join-of t u (t ⊓ u)
using assms joinable-def join-def join-of-unique
the1I2 [of join-of t u join-of t u]
by force

lemma joinable-iff-arr-join:
shows joinable t u ↔ arr (t ⊓ u)
by (metis cong-char join-is-join-of join-of-un-up-to-cong not-arr-null join-def)

```

```

lemma joinable-iff-join-not-null:
shows joinable t u  $\longleftrightarrow$   $t \sqcup u \neq \text{null}$ 
by (metis join-def joinable-iff-arr-join not-arr-null)

lemma join-sym:
shows  $t \sqcup u = u \sqcup t$ 
by (metis join-def join-of-unique join-is-join-of join-of-symmetric joinable-def)

lemma src-join:
assumes joinable t u
shows  $\text{src}(t \sqcup u) = \text{src } t$ 
using assms
by (metis con-imp-eq-src con-prfx-composite-of(1) join-is-join-of join-of-def)

lemma trg-join:
assumes joinable t u
shows  $\text{trg}(t \sqcup u) = \text{trg}(t \setminus u)$ 
using assms
by (metis arr-resid-iff-con join-is-join-of joinable-iff-arr-join
joinable-implies-con in-targetsE src-eqI targets-join-of(1) trg-in-targets)

lemma resid-join_E [simp]:
assumes joinable t u and  $v \smile t \sqcup u$ 
shows  $v \setminus (t \sqcup u) = (v \setminus u) \setminus (t \setminus u)$ 
and  $v \setminus (t \sqcup u) = (v \setminus t) \setminus (u \setminus t)$ 
and  $(t \sqcup u) \setminus v = (t \setminus v) \sqcup (u \setminus v)$ 
proof -
show 1:  $v \setminus (t \sqcup u) = (v \setminus u) \setminus (t \setminus u)$ 
by (meson assms con-sym join-of-def resid-composite-of(3) extensionality
join-is-join-of)
show  $v \setminus (t \sqcup u) = (v \setminus t) \setminus (u \setminus t)$ 
by (metis 1 cube)
show  $(t \sqcup u) \setminus v = (t \setminus v) \sqcup (u \setminus v)$ 
using assms joinable-def join-of-resid join-is-join-of extensionality
by (meson join-of-unique)
qed

lemma join-eqI:
assumes  $t \lesssim v$  and  $u \lesssim v$  and  $v \setminus u = t \setminus u$  and  $v \setminus t = u \setminus t$ 
shows  $t \sqcup u = v$ 
using assms composite-of-def cube ideE join-of-def joinable-def join-of-unique
join-is-join-of trg-def
by metis

lemma comp-join:
assumes joinable  $(t \cdot u)$   $(t \cdot u')$ 
shows composable  $t (u \sqcup u')$ 
and  $t \cdot (u \sqcup u') = t \cdot u \sqcup t \cdot u'$ 
proof -

```

```

have  $t \lesssim t \cdot u \sqcup t \cdot u'$ 
  using assms
  by (metis composable-def composite-of-def join-of-def join-is-join-of
       joinable-implies-con prfx-transitive comp-is-composite-of(2) con-comp-iff)
moreover have  $(t \cdot u \sqcup t \cdot u') \setminus t = u \sqcup u'$ 
  by (metis arr-resid-iff-con assms calculation comp-resid-prfx con-implies-arr(2)
       joinable-implies-con resid-join_E(3) con-implies-arr(1) ide-implies-arr)
ultimately show  $t \cdot (u \sqcup u') = t \cdot u \sqcup t \cdot u'$ 
  by (metis comp-eqI)
thus composable  $t (u \sqcup u')$ 
  by (metis assms joinable-iff-join-not-null comp-def)
qed

lemma join-src:
assumes arr t
shows src t  $\sqcup t = t$ 
  using assms joinable-def join-of-arr-src join-is-join-of join-of-unique src-in-sources
  by meson

lemma join-arr-self:
assumes arr t
shows  $t \sqcup t = t$ 
  using assms joinable-def join-of-arr-self join-is-join-of join-of-unique by blast

lemma arr-prfx-join-self:
assumes joinable t u
shows  $t \lesssim t \sqcup u$ 
  using assms
  by (meson composite-of-def join-is-join-of join-of-def)

lemma con-prfx:
shows  $\llbracket t \sim u; v \lesssim u \rrbracket \implies t \sim v$ 
and  $\llbracket t \sim u; v \lesssim t \rrbracket \implies v \sim u$ 
  apply (metis arr-resid con-arr-src(1) ide-iff-src-self prfx-implies-con resid-reflects-con
        src-resid_W_E)
  by (metis arr-resid-iff-con comp-eqI con-comp-iff con-implies-arr(1) con-sym)

lemma join-prfx:
assumes  $t \lesssim u$ 
shows  $t \sqcup u = u$  and  $u \sqcup t = u$ 
proof -
  show  $t \sqcup u = u$ 
    using assms
    by (metis (no-types, lifting) join-eqI ide-iff-src-self ide-implies-arr resid-arr-self
         prfx-implies-con src-resid_W_E)
  thus  $u \sqcup t = u$ 
    by (metis join-sym)
qed

```

```

lemma con-with-join-if [intro, simp]:
assumes joinable t u and u ⊖ v and v ⊖ u ⊖ t ⊖ u
shows t ⊔ u ⊖ v
and v ⊖ t ⊔ u
proof -
  show t ⊔ u ⊖ v
  using assms con-with-join-of-iff [of t u join t u v] join-is-join-of by simp
  thus v ⊖ t ⊔ u
    using assms con-sym by blast
qed

lemma join-assocE:
assumes arr ((t ⊔ u) ⊔ v) and arr (t ⊔ (u ⊔ v))
shows (t ⊔ u) ⊔ v = t ⊔ (u ⊔ v)
proof (intro join-eqI)
  have tu: joinable t u
    by (metis arr-src-iff-arr assms(1) joinable-iff-arr-join src-join)
  have uv: joinable u v
    by (metis assms(2) joinable-iff-arr-join joinable-iff-join-not-null joinable-implies-con
        not-con-null(2))
  have tu-v: joinable (t ⊔ u) v
    by (simp add: assms(1) joinable-iff-arr-join)
  have t-uv: joinable t (u ⊔ v)
    by (simp add: assms(2) joinable-iff-arr-join)
  show 0: t ⊔ u ⪯ t ⊔ (u ⊔ v)
  proof -
    have (t ⊔ u) \ (t ⊔ (u ⊔ v)) = ((u \ t) \ (u \ t)) \ ((v \ t) \ (u \ t))
    proof -
      have (t ⊔ u) \ (t ⊔ (u ⊔ v)) = ((t ⊔ u) \ t) \ ((u ⊔ v) \ t)
        by (metis t-uv tu arr-prfx-join-self conI con-with-join-if(2)
             join-sym joinable-iff-join-not-null not-ide-null resid-join_E(2))
      also have ... = (t \ t ⊔ u \ t) \ ((u ⊔ v) \ t)
        by (simp add: tu con-sym joinable-implies-con)
      also have ... = (t \ t ⊔ u \ t) \ (u \ t ⊔ v \ t)
        by (simp add: t-uv uv joinable-implies-con)
      also have ... = (u \ t) \ join (u \ t) (v \ t)
        by (metis tu con-implies-arr(1) cong-subst-left(2) cube join-eqI join-sym
             joinable-iff-join-not-null joinable-implies-con prfx-reflexive trg-def trg-join)
      also have ... = ((u \ t) \ (u \ t)) \ ((v \ t) \ (u \ t))
      proof -
        have 1: joinable (u \ t) (v \ t)
          by (metis t-uv uv con-sym joinable-iff-join-not-null joinable-implies-con
              resid-join_E(3) conE)
        moreover have u \ t ⊖ u \ t ⊔ v \ t
          using arr-prfx-join-self 1 prfx-implies-con by blast
        ultimately show ?thesis
          using resid-join_E(2) [of u \ t v \ t u \ t] by blast
      qed
      finally show ?thesis by blast
    qed
  qed

```

```

qed
moreover have ide ...
  by (metis tu-v tu arr-resid-iff-con con-sym cube joinable-implies-con prfx-reflexive
       resid-join_E(2))
ultimately show ?thesis by simp
qed
show 1:  $v \lesssim t \sqcup (u \sqcup v)$ 
  by (metis arr-prfx-join-self join-sym joinable-iff-join-not-null prfx-transitive t-uv uv)
show  $(t \sqcup (u \sqcup v)) \setminus v = (t \sqcup u) \setminus v$ 
proof -
  have  $(t \sqcup (u \sqcup v)) \setminus v = t \setminus v \sqcup (u \sqcup v) \setminus v$ 
    by (metis 1 assms(2) join-def not-arr-null resid-join_E(3) prfx-implies-con)
  also have ... =  $t \setminus v \sqcup (u \setminus v \sqcup v \setminus v)$ 
    by (metis 1 conE conI con-sym join-def resid-join_E(1) resid-join_E(3) null-is-zero(2)
         prfx-implies-con)
  also have ... =  $t \setminus v \sqcup u \setminus v$ 
    by (metis arr-resid-iff-con con-sym cube cong-char join-prfx(2) joinable-implies-con uv)
  also have ... =  $(t \sqcup u) \setminus v$ 
    by (metis 0 1 con-implies-arr(1) con-prfx(1) joinable-iff-arr-join resid-join_E(3)
         prfx-implies-con)
finally show ?thesis by blast
qed
show  $(t \sqcup (u \sqcup v)) \setminus (t \sqcup u) = v \setminus (t \sqcup u)$ 
proof -
  have 2:  $(t \sqcup (u \sqcup v)) \setminus (t \sqcup u) = t \setminus (t \sqcup u) \sqcup (u \sqcup v) \setminus (t \sqcup u)$ 
    by (metis 0 assms(2) join-def not-arr-null resid-join_E(3) prfx-implies-con)
  also have 3: ... =  $(t \setminus t) \setminus (u \setminus t) \sqcup (u \sqcup v) \setminus (t \sqcup u)$ 
    by (metis tu arr-prfx-join-self prfx-implies-con resid-join_E(2))
  also have 4: ... =  $(u \sqcup v) \setminus (t \sqcup u)$ 
  proof -
    have  $(t \setminus t) \setminus (u \setminus t) = \text{src}((u \sqcup v) \setminus (t \sqcup u))$ 
      using src-resid_W_E trg-join
    by (metis (full-types) t-uv tu 0 arr-resid-iff-con con-implies-arr(1) con-sym
        cube prfx-implies-con resid-join_E(1) trg-def)
    thus ?thesis
      by (metis tu arr-prfx-join-self conE join-src prfx-implies-con resid-join_E(2) src-def)
  qed
  also have ... =  $u \setminus (t \sqcup u) \sqcup v \setminus (t \sqcup u)$ 
    by (metis 0 2 3 4 uv conI con-sym-ax not-ide-null resid-join_E(3))
  also have ... =  $(u \setminus u) \setminus (t \setminus u) \sqcup v \setminus (t \sqcup u)$ 
    by (metis tu arr-prfx-join-self join-sym joinable-iff-join-not-null prfx-implies-con
         resid-join_E(1))
  also have ... =  $v \setminus (t \sqcup u)$ 
  proof -
    have  $(u \setminus u) \setminus (t \setminus u) = \text{src}(v \setminus (t \sqcup u))$ 
      by (metis tu-v tu con-sym cube joinable-implies-con src-resid_W_E trg-def trg-join
           apex-sym)
    thus ?thesis
      using tu-v arr-resid-iff-con con-sym join-src joinable-implies-con

```

```

    by presburger
qed
finally show ?thesis by blast
qed
qed

lemma join-prfx-monotone:
assumes t ⪯ u and u ⊔ v ∼ t ⊔ v
shows t ⊔ v ⪯ u ⊔ v
proof -
have (t ⊔ v) \ (u ⊔ v) = (t \ u) \ (v \ u)
proof -
have (t ⊔ v) \ (u ⊔ v) = t \ (u ⊔ v) ⊔ v \ (u ⊔ v)
  using assms join-sym resid-joinE(3) [of t v join u v] joinable-iff-join-not-null
  by fastforce
also have ... = (t \ u) \ (v \ u) ⊔ (v \ u) \ (v \ u)
  by (metis (full-types) assms(2) conE conI joinable-iff-join-not-null null-is-zero(1)
       resid-joinE(1-2) con-sym-ax)
also have ... = (t \ u) \ (v \ u) ⊔ trg (v \ u)
  using trg-def by fastforce
also have ... = (t \ u) \ (v \ u) ⊔ src ((t \ u) \ (v \ u))
  by (metis assms(1-2) con-implies-arr(1) con-target joinable-iff-arr-join
       joinable-implies-con src-residWE)
also have ... = (t \ u) \ (v \ u)
  by (metis arr-resid-iff-con assms(2) con-implies-arr(1) con-sym join-def
       join-src join-sym not-arr-null resid-joinE(2))
finally show ?thesis by blast
qed
moreover have ide ...
  by (metis arr-resid-iff-con assms(1-2) calculation con-sym resid-ide-arr)
ultimately show ?thesis by presburger
qed

lemma join-eqI':
assumes t ⪯ v and u ⪯ v and v \ u = t \ u and v \ t = u \ t
shows v = t ⊔ u
using assms composite-of-def cube ideE join-of-def joinable-def join-of-unique
  join-is-join-of trg-def
by metis

```

We note that it is not the case that the existence of either of  $t \sqcup (u \sqcup v)$  or  $(t \sqcup u) \sqcup v$  implies that of the other. For example, if  $(t \sqcup u) \sqcup v \neq \text{null}$ , then it is not necessarily the case that  $u \sqcup v \neq \text{null}$ .

```

lemma join-expansion:
assumes joinable t u
shows t ⊔ u = t · (u \ t) and seq t (u \ t)
  apply (metis assms comp-is-composite-of(2) join-is-join-of join-of-def)
  using assms joinable-iff-composable by auto

```

```

lemma join3-expansion:
assumes joinable (t ⊔ u) v
shows (t ⊔ u) ⊔ v = (t · (u \ t)) · ((v \ t) \ (u \ t))
by (metis assms con-implies-arr(1) join-expansion(1) joinable-iff-arr-join
joinable-implies-con resid-comp(1))

lemma join-comp:
assumes joinable (t · u) v
shows (t · u) ⊔ v = t · (v \ t) · (u \ (v \ t))
by (metis assms composable-iff-comp-not-null extensional-rts.comp-assoc
extensional-rts-axioms join-expansion(1) join-sym joinable-iff-composable
joinable-iff-join-not-null joinable-implies-con resid-comp(1))

end

```

## Extensional RTS with Joins

```

locale extensional-rts-with-joins =
rts-with-joins +
extensional-rts
begin

lemma joinable-iff-con [iff]:
shows joinable t u  $\longleftrightarrow$  t ∘ u
by (meson has-joins joinable-implies-con)

lemma joinableE [elim]:
assumes joinable t u and t ∘ u  $\Longrightarrow$  T
shows T
using assms joinable-iff-con by blast

lemma src-joinEJ [simp]:
assumes t ∘ u
shows src (t ⊔ u) = src t
using assms
by (meson has-joins src-join)

lemma trg-joinEJ:
assumes t ∘ u
shows trg (t ⊔ u) = trg (t \ u)
using assms
by (meson has-joins trg-join)

lemma resid-joinEJ [simp]:
assumes t ∘ u and v ∘ t ⊔ u
shows v \ (t ⊔ u) = (v \ t) \ (u \ t)
and (t ⊔ u) \ v = (t \ v) ⊔ (u \ v)
using assms has-joins resid-joinE [of t u v] by blast+

```

```

lemma join-assoc:
shows  $t \sqcup (u \sqcup v) = (t \sqcup u) \sqcup v$ 
proof -
  have *:  $\bigwedge t u v. \text{con } (t \sqcup u) v \implies t \sqcup (u \sqcup v) = (t \sqcup u) \sqcup v$ 
  proof -
    fix  $t u v$ 
    assume 1:  $\text{con } (t \sqcup u) v$ 
    have vt-ut:  $v \setminus t \sim u \setminus t$ 
      using 1
      by (metis con-with-join-of-iff(2) join-def join-is-join-of not-con-null(1))
    have tv-uv:  $t \setminus v \sim u \setminus v$ 
      using vt-ut cube con-sym
      by (metis arr-resid-iff-con)
    have 2:  $(t \sqcup u) \sqcup v = (t \cdot (u \setminus t)) \cdot (v \setminus (t \cdot (u \setminus t)))$ 
      using 1
      by (metis comp-is-composite-of(2) con-implies-arr(1) has-joins join-is-join-of
           join-of-def joinable-iff-arr-join)
    also have ... =  $t \cdot ((u \setminus t) \cdot (v \setminus (t \cdot (u \setminus t))))$ 
      using 1
      by (metis calculation has-joins joinable-iff-join-not-null comp-assoc comp-def)
    also have ... =  $t \cdot ((u \setminus t) \cdot ((v \setminus t) \setminus (u \setminus t)))$ 
      using 1
      by (metis 2 comp-null(2) con-compI(2) con-comp-iff has-joins resid-comp(1)
           conI joinable-iff-join-not-null)
    also have ... =  $t \cdot ((v \setminus t) \sqcup (u \setminus t))$ 
      by (metis vt-ut comp-is-composite-of(2) has-joins join-of-def join-is-join-of)
    also have ... =  $t \cdot ((u \setminus t) \sqcup (v \setminus t))$ 
      using join-sym by metis
    also have ... =  $t \cdot ((u \sqcup v) \setminus t)$ 
      by (metis tv-uv vt-ut con-implies-arr(2) con-sym con-with-join-of-iff(1) has-joins
           join-is-join-of arr-resid-iff-con resid-join_E(3))
    also have ... =  $t \sqcup (u \sqcup v)$ 
      by (metis comp-is-composite-of(2) comp-null(2) conI has-joins join-is-join-of
           join-of-def joinable-iff-join-not-null)
    finally show  $t \sqcup (u \sqcup v) = (t \sqcup u) \sqcup v$ 
      by simp
  qed
  thus ?thesis
    by (metis (full-types) has-joins joinable-iff-join-not-null joinable-implies-con con-sym)
  qed

lemma join-is-lub:
assumes  $t \lesssim v$  and  $u \lesssim v$ 
shows  $t \sqcup u \lesssim v$ 
proof -
  have  $(t \sqcup u) \setminus v = (t \setminus v) \sqcup (u \setminus v)$ 
  using assms resid-join_E(3) [of  $t u v$ ]
  by (metis arr-prfx-join-self con-target con-sym join-assoc joinable-iff-con
       joinable-iff-join-not-null prfx-implies-con resid-reflects-con)

```

```

also have ... = trg v ∪ trg v
  using assms
  by (metis ideE prfx-implies-con src-residWE trg-ide)
also have ... = trg v
  by (metis assms(2) ide-iff-src-self ide-implies-arr join-arr-self prfx-implies-con
       src-residWE)
finally have (t ∪ u) \ v = trg v by blast
moreover have ide (trg v)
  using assms
  by (metis con-implies-arr(2) prfx-implies-con cong-char trg-def)
ultimately show ?thesis by simp
qed
end

```

### Extensional RTS with Composites

If an extensional RTS is assumed to have composites for all composable pairs of transitions, then the “semantic” property of transitions being composable can be replaced by the “syntactic” property of transitions being sequential. This results in simpler statements of a number of properties.

```

locale extensional-rts-with-composites =
  rts-with-composites +
  extensional-rts
begin

  lemma seq-implies-arr-comp:
    assumes seq t u
    shows arr (t · u)
    using assms
    by (meson composable-iff-arr-comp composable-iff-seq)

  lemma arr-compEC [intro, simp]:
    assumes arr t and trg t = src u
    shows arr (t · u)
    using assms
    by (simp add: seq-implies-arr-comp)

  lemma arr-compEEC [elim]:
    assumes arr (t · u)
    and [|arr t; arr u; trg t = src u|] ==> T
    shows T
    using assms composable-iff-arr-comp composable-iff-seq by blast

  lemma trg-compEC [simp]:
    assumes seq t u
    shows trg (t · u) = trg u
    by (meson assms has-composites trg-comp)

```

```

lemma src-compEC [simp]:
assumes seq t u
shows src (t · u) = src t
  using assms src-comp has-composites by simp

lemma con-comp-iffEC [simp]:
shows w ∘ t · u ↔ seq t u ∧ u ∘ w \ t
and t · u ∘ w ↔ seq t u ∧ u ∘ w \ t
  using composable-iff-seq con-comp-iff con-sym by meson+

lemma comp-assocEC:
shows t · (u · v) = (t · u) · v
  apply (cases seq t u)
    apply (metis arr-comp comp-assoc comp-def not-arr-null arr-compEC arr-compEC
      seq-implies-arr-comp trg-compEC)
  by (metis comp-def composable-iff-arr-comp seqIWE(1) src-comp arr-compEC)

lemma diamond-commutes:
shows t · (u \ t) = u · (t \ u)
proof (cases t ∘ u)
  show ¬ t ∘ u ==> ?thesis
    by (metis comp-null(2) conI con-sym)
  assume con: t ∘ u
  have (t · (u \ t)) \ u = (t \ u) · ((u \ t) \ (u \ t))
    using con
    by (metis (no-types, lifting) arr-resid-iff-con con-compI(2) con-implies-arr(1)
      resid-comp(2) con-imp-arr-resid con-sym comp-def arr-compEC src-residWE conI)
  moreover have u ≈ t · (u \ t)
    by (metis arr-resid-iff-con calculation con cong-reflexive comp-arr-trg
      resid-arr-self resid-comp(1) apex-sym)
  ultimately show ?thesis
    by (metis comp-eqI con comp-arr-trg resid-arr-self arr-resid apex-sym)
qed

lemma mediating-transition:
assumes t · v = u · w
shows v \ (u \ t) = w \ (t \ u)
proof (cases seq t v)
  assume 1: seq t v
  hence 2: arr (u · w)
    using assms by (metis arr-compEC seqEWE)
  have 3: v \ (u \ t) = ((t · v) \ t) \ (u \ t)
    by (metis 2 assms comp-resid-prfx)
  also have ... = (t · v) \ (t · (u \ t))
    by (metis (no-types, lifting) 2 assms con-comp-iffEC(2) con-imp-eq-src
      con-sym comp-resid-prfx prfx-comp resid-comp(1) arr-compEC arr-compEC
      prfx-implies-con)
  also have ... = (u · w) \ (u · (t \ u))
    using assms diamond-commutes by presburger

```

```

also have ... = ((u · w) \ u) \ (t \ u)
  by (metis 3 assms calculation cube)
also have ... = w \ (t \ u)
  using 2 by simp
finally show ?thesis by blast
next
assume 1: ¬ seq t v
have v \ (u \ t) = null
  using 1
  by (metis (mono-tags, lifting) arr-resid-iff-con coinitial-iffWE con-imp-coinitial
    seqIWE(2) src-residWE conI)
also have ... = w \ (t \ u)
  by (metis (no-types, lifting) 1 arr-compEC assms composable-imp-seq con-imp-eq-src
    con-implies-arr(2) comp-def not-arr-null conI src-residWE)
finally show ?thesis by blast
qed

lemma induced-arrow:
assumes seq t u and t · u = t' · u'
shows (t' \ t) · (u \ (t' \ t)) = u
and (t \ t') · (u \ (t' \ t)) = u'
and (t' \ t) · v = u ==> v = u \ (t' \ t)
apply (metis assms comp-eqI arr-compEC prfx-comp resid-comp(1) arr-resid-iff-con
  seq-implies-arr-comp)
apply (metis assms comp-resid-prfx arr-compEC resid-comp(2) arr-resid-iff-con
  seq-implies-arr-comp)
by (metis assms(1) comp-resid-prfx seq-def)

```

If an extensional RTS has composites, then it automatically has joins.

```

sublocale extensional-rts-with-joins
proof
fix t u
assume con: t ⪻ u
have 1: con u (t · (u \ t))
  using con-compI(1) [of t u \ t u]
  by (metis con con-implies-arr(1) con-sym diamond-commutes prfx-implies-con
    prfx-comp src-residWE arr-compEC)
have t ⊔ u = t · (u \ t)
proof (intro join-eqI)
show t ⪯ t · (u \ t)
  by (metis 1 composable-def comp-is-composite-of(2) composite-of-def
    con-comp-iff)
moreover show 2: u ⪯ t · (u \ t)
  using 1 arr-resid con con-sym prfx-reflexive resid-comp(1) by metis
moreover show (t · (u \ t)) \ u = t \ u
  using 1 diamond-commutes induced-arrow(2) resid-comp(2) by force
ultimately show (t · (u \ t)) \ t = u \ t
  by (metis con-comp-iffEC(1) con-sym prfx-implies-con resid-comp(2)
    induced-arrow(1))

```

```

qed
thus joinable t u
  by (metis 1 con-implies-arr(2) joinable-iff-join-not-null not-arr-null)
qed

lemma comp-joinEC:
assumes composable t u and joinable u u'
shows composable t (u ⊒ u')
and t · (u ⊒ u') = t · u ⊒ t · u'
proof -
  have 1: u ⊒ u' = u · (u' \ u) ∧ u ⊒ u' = u' · (u \ u')
    using assms joinable-implies-con diamond-commutes
    by (metis comp-is-composite-of(2) join-is-join-of join-ofE)
  show 2: composable t (u ⊒ u')
    using assms 1 composable-iff-seq arr-comp src-join arr-compEC
      joinable-iff-arr-join seqIWE(1)
    by metis
  have con (t · u) (t · u')
    using 1 2 arr-comp arr-compEC assms(2) comp-assocEC comp-resid-prfx
      con-comp-iff joinable-implies-con comp-def not-arr-null
    by metis
  thus t · (u ⊒ u') = t · u ⊒ t · u'
    using assms comp-join(2) joinable-iff-con by blast
qed

lemma resid-common-prefix:
assumes t · u ⊂ t · v
shows (t · u) \ (t · v) = u \ v
using assms
by (metis con-comp-iff con-sym con-comp-iffEC(2) con-implies-arr(2)
  induced-arrow(1) resid-comp(1-2) arr-resid-iff-con)

end

```

### 2.1.10 Confluence

An RTS is *confluent* if every coinitial pair of transitions is consistent.

```

locale confluent-rts = rts +
assumes confluence: coinitial t u ==> con t u

```

## 2.2 Simulations

*Simulations* are morphisms of residuated transition systems. They are assumed to preserve consistency and residuation.

```

locale simulation =
A: rts A +
B: rts B
for A :: 'a resid    (infix `\ $\setminus_A`' 70)$ 
```

```

and  $B :: 'b \text{ resid} \quad (\text{infix } \langle \setminus_B \rangle \text{ 70})$ 
and  $F :: 'a \Rightarrow 'b +$ 
assumes extensionality:  $\neg A.\text{arr } t \implies F t = B.\text{null}$ 
and preserves-con [simp]:  $A.\text{con } t u \implies B.\text{con } (F t) (F u)$ 
and preserves-resid [simp]:  $A.\text{con } t u \implies F (t \setminus_A u) = F t \setminus_B F u$ 
begin

  notation  $A.\text{con} \quad (\text{infix } \langle \frown_A \rangle \text{ 50})$ 
  notation  $A.\text{prfx} \quad (\text{infix } \langle \lesssim_A \rangle \text{ 50})$ 
  notation  $A.\text{cong} \quad (\text{infix } \langle \sim_A \rangle \text{ 50})$ 

  notation  $B.\text{con} \quad (\text{infix } \langle \frown_B \rangle \text{ 50})$ 
  notation  $B.\text{prfx} \quad (\text{infix } \langle \lesssim_B \rangle \text{ 50})$ 
  notation  $B.\text{cong} \quad (\text{infix } \langle \sim_B \rangle \text{ 50})$ 

  lemma preserves-reflects-arr [iff]:
  shows  $B.\text{arr } (F t) \longleftrightarrow A.\text{arr } t$ 
    by (metis A.arr-def B.con-implies-arr(2) B.not-arr-null extensionality preserves-con)

  lemma preserves-ide [simp]:
  assumes  $A.\text{ide } a$ 
  shows  $B.\text{ide } (F a)$ 
    by (metis A.ideE assms preserves-con preserves-resid B.ideI)

  lemma preserves-sources:
  shows  $F ` A.\text{sources } t \subseteq B.\text{sources } (F t)$ 
    using A.sources-def B.sources-def preserves-con preserves-ide by auto

  lemma preserves-targets:
  shows  $F ` A.\text{targets } t \subseteq B.\text{targets } (F t)$ 
    by (metis A.arrE B.arrE A.sources-resid B.sources-resid equals0D image-subset-iff
      A.arr-iff-has-target preserves-reflects-arr preserves-resid preserves-sources)

  lemma preserves-trg [simp]:
  assumes  $A.\text{arr } t$ 
  shows  $B.\text{trg } (F t) = F (A.\text{trg } t)$ 
    using assms A.trg-def B.trg-def by auto

  lemma preserves-seq:
  shows  $A.\text{seq } t u \implies B.\text{seq } (F t) (F u)$ 
    by (metis A.in-sourcesE A.seqE B.seqI(1) B.targets-composite-of preserves-con
      preserves-reflects-arr preserves-resid B.composite-of-arr-target
      A.resid-arr-ide B.sources-resid A.trg-in-targets B.trg-in-targets
      simulation.preserves-trg simulation-axioms)

  lemma preserves-composites:
  assumes  $A.\text{composite-of } t u v$ 
  shows  $B.\text{composite-of } (F t) (F u) (F v)$ 
    using assms

```

```

by (metis A.composite-ofE A.prfx-implies-con B.composite-of-def preserves-ide
preserves-resid A.con-sym)

lemma preserves-joins:
assumes A.join-of t u v
shows B.join-of (F t) (F u) (F v)
  using assms A.join-of-def B.join-of-def A.joinable-def
  by (metis A.joinable-implies-con preserves-composites preserves-resid)

lemma preserves-prfx:
assumes t ⪻A u
shows F t ⪻B F u
  using assms
  by (metis A.prfx-implies-con preserves-ide preserves-resid)

lemma preserves-cong:
assumes t ~A u
shows F t ~B F u
  using assms preserves-prfx by simp

end

```

### 2.2.1 Identity Simulation

```

locale identity-simulation =
  rts
begin

abbreviation map
where map ≡ λt. if arr t then t else null

sublocale simulation resid resid map
  using con-implies-arr con-sym arr-resid-iff-con
  by unfold-locales auto

end

```

### 2.2.2 Composite of Simulations

```

lemma simulation-comp [intro]:
assumes simulation A B F and simulation B C G
shows simulation A C (G o F)
proof -
  interpret F: simulation A B F using assms(1) by auto
  interpret G: simulation B C G using assms(2) by auto
  show simulation A C (G o F)
    using F.extensionality G.extensionality by unfold-locales auto
qed

locale composite-simulation =

```

```

F: simulation A B F +
G: simulation B C G
for A :: 'a resid
and B :: 'b resid
and C :: 'c resid
and F :: 'a ⇒ 'b
and G :: 'b ⇒ 'c
begin

abbreviation map
where map ≡ G o F

sublocale simulation A C map
using F.simulation-axioms G.simulation-axioms by blast

lemma is-simulation:
shows simulation A C map
using F.simulation-axioms G.simulation-axioms by blast

end

```

### 2.2.3 Simulations into a Weakly Extensional RTS

```

locale simulation-to-weakly-extensional-rts =
simulation +
B: weakly-extensional-rts B
begin

lemma preserves-src [simp]:
shows a ∈ A.sources t ⇒ B.src (F t) = F a
by (metis equals0D image-subset-iff B.arr-iff-has-source
preserves-sources B.arr-has-un-source B.src-in-sources)

lemma preserves-trg [simp]:
shows b ∈ A.targets t ⇒ B.trg (F t) = F b
by (metis equals0D image-subset-iff B.arr-iff-has-target
preserves-targets B.arr-has-un-target B.trg-in-targets)

end

```

### 2.2.4 Simulations into an Extensional RTS

```

locale simulation-to-extensional-rts =
simulation +
B: extensional-rts B
begin

notation B.comp (infixr ⋅B 55)
notation B.join (infixr ⋄B 52)

```

```

lemma preserves-comp:
assumes A.composite-of t u v
shows F v = F t ·B F u
using assms
by (metis preserves-composites B.comp-is-composite-of(2))

```

```

lemma preserves-join:
assumes A.join-of t u v
shows F v = F t ∪B F u
using assms preserves-joins
by (meson B.join-is-join-of B.join-of-unique B.joinable-def)

```

**end**

### 2.2.5 Simulations between Weakly Extensional RTS's

```

locale simulation-between-weakly-extensional-rts =
  simulation-to-weakly-extensional-rts +
  A: weakly-extensional-rts A
begin

  lemma preserves-src [simp]:
  shows B.src (F t) = F (A.src t)
  by (metis A.arr-src-iff-arr A.src-in-sources extensionality image-subset-iff
       preserves-reflects-arr preserves-sources B.arr-has-un-source B.src-def
       B.src-in-sources)

  lemma preserves-trg [simp]:
  shows B.trg (F t) = F (A.trg t)
  by (metis A.arr-trg-iff-arr A.trg-def B.null-is-zero(2) B.trg-def
       extensionality preserves-resid A.arrE)

end

```

### 2.2.6 Simulations between Extensional RTS's

```

locale simulation-between-extensional-rts =
  simulation-to-extensional-rts +
  A: extensional-rts A
begin

  sublocale simulation-between-weakly-extensional-rts ..

  notation A.comp (infixr ·A 55)
  notation A.join (infixr ∪A 52)

  lemma preserves-comp:
  assumes A.composable t u
  shows F (t ·A u) = F t ·B F u
  using assms

```

```

by (metis A.arr-comp A.comp-resid-prfx A.composableD(2) A.not-arr-null
A.prfx-comp B.comp-eqI preserves-prfx preserves-resid A.conI)

```

```

lemma preserves-join:
assumes A.joinable t u
shows F (t ⊔_A u) = F t ⊔_B F u
using assms
by (meson A.join-is-join-of B.joinable-def preserves-joins B.join-is-join-of
B.join-of-unique)

```

```
end
```

### 2.2.7 Transformations

A *transformation* is a morphism of simulations, analogously to how a natural transformation is a morphism of functors, except the normal commutativity condition for that “naturality squares” is replaced by the requirement that the arrows at the apex of such a square are given by residuation of the arrows at the base. If the codomain RTS is extensional, then this condition implies the commutativity of the square with respect to composition, as would be the case for a natural transformation between functors.

The proper way to define a transformation when the domain and codomain are general RTS’s is not yet clear to me. However, if the codomain is weakly extensional, then we have unique sources and targets, so there is no problem. The definition below is limited to that case. I do not make any attempt here to develop facts about transformations. My main reason for including this definition here is so that in the subsequent application to the  $\lambda$ -calculus, I can exhibit  $\beta$ -reduction as an example of a transformation.

```

locale transformation =
A: rts A +
B: weakly-extensional-rts B +
F: simulation A B F +
G: simulation A B G
for A :: 'a resid      (infix `\\_A` 70)
and B :: 'b resid      (infix `\\_B` 70)
and F :: 'a ⇒ 'b
and G :: 'a ⇒ 'b
and τ :: 'a ⇒ 'b +
assumes extensionality: ¬ A.arr f ⇒ τ f = B.null
and respects-cong-ide: [A.ide a; A.cong a a] ⇒ τ a = τ a'
and preserves-src: A.ide f ⇒ B.src (τ f) = F f
and preserves-trg: A.ide f ⇒ B.trg (τ f) = G f
and naturality1-ax: a ∈ A.sources f ⇒ τ a \\_B F f = τ (a \\_A f)
and naturality2-ax: a ∈ A.sources f ⇒ F f \\_B τ a = G f
and naturality3: a ∈ A.sources f ⇒ B.join-of (τ a) (F f) (τ f)
begin
  notation A.con    (infix `~~_A` 50)
  notation A.prfx  (infix `≤_A` 50)

```

```

notation  $B.con$     (infix  $\hookrightarrow_B$  50)
notation  $B.prfx$    (infix  $\lesssim_B$  50)

lemma naturality1:
shows  $\tau(A.src f) \setminus_B F f = \tau(A.trg f)$ 
by (metis A.arr-trg-iff-arr A.ide-trg A.resid-src-arr-cong
      A.src-in-sources B.null-is-zero(2) F.extensionality extensionality
      naturality1-ax respects-cong-ide)

lemma naturality2:
shows  $F f \setminus_B \tau(A.src f) = G f$ 
by (metis A.src-in-sources B.null-is-zero(1) F.extensionality G.extensionality
      naturality2-ax)

lemma respects-cong:
assumes  $A.cong u u'$ 
shows  $B.cong(\tau u)(\tau u')$ 
proof -
  obtain a where  $a: a \in A.sources u \cap A.sources u'$ 
  using assms
  by (meson A.con-imp-common-source A.prfx-implies-con ex-in-conv)
  have  $B.cong(F u)(F u')$ 
  using assms F.preserves-cong by blast
  thus ?thesis
  using a naturality3 B.join-of-respects-cong-right
  by (metis A.con-imp-common-source A.prfx-implies-con A.sources-eqI
       B.join-of-un-up-to-cong assms inf.idem)
qed

end

```

## 2.3 Normal Sub-RTS's and Congruence

We now develop a general quotient construction on an RTS. We define a *normal sub-RTS* of an RTS to be a collection of transitions  $\mathfrak{N}$  having certain “local” closure properties. A normal sub-RTS induces an equivalence relation  $\approx_0$ , which we call *semi-congruence*, by defining  $t \approx_0 u$  to hold exactly when  $t \setminus u$  and  $u \setminus t$  are both in  $\mathfrak{N}$ . This relation generalizes the relation  $\sim$  defined for an arbitrary RTS, in the sense that  $\sim$  is obtained when  $\mathfrak{N}$  consists of all and only the identity transitions. However, in general the relation  $\approx_0$  is fully substitutive only in the left argument position of residuation; for the right argument position, a somewhat weaker property is satisfied. We then coarsen  $\approx_0$  to a relation  $\approx$ , by defining  $t \approx u$  to hold exactly when  $t$  and  $u$  can be transported by residuation along transitions in  $\mathfrak{N}$  to a common source, in such a way that the residuals are related by  $\approx_0$ . To obtain full substitutivity of  $\approx$  with respect to residuation, we need to impose an additional condition on  $\mathfrak{N}$ . This condition, which we call *coherence*, states that transporting a transition  $t$  along parallel transitions  $u$  and  $v$  in  $\mathfrak{N}$  always yields residuals  $t \setminus u$  and  $u \setminus t$  that are related by  $\approx_0$ . We show that, under the assumption

of coherence, the relation  $\approx$  is fully substitutive, and the quotient of the original RTS by this relation is an extensional RTS which has the  $\mathfrak{N}$ -connected components of the original RTS as identities. Although the coherence property has a somewhat *ad hoc* feel to it, we show that, in the context of the other conditions assumed for  $\mathfrak{N}$ , coherence is in fact equivalent to substitutivity for  $\approx$ .

### 2.3.1 Normal Sub-RTS's

```

locale normal-sub-rts =
  R: rts +
  fixes  $\mathfrak{N} :: 'a\ set$ 
  assumes elements-are-arr:  $t \in \mathfrak{N} \implies R.\text{arr}\ t$ 
  and ide-closed:  $R.\text{ide}\ a \implies a \in \mathfrak{N}$ 
  and forward-stable:  $\llbracket u \in \mathfrak{N}; R.\text{coinitial}\ t\ u \rrbracket \implies u \setminus t \in \mathfrak{N}$ 
  and backward-stable:  $\llbracket u \in \mathfrak{N}; t \setminus u \in \mathfrak{N} \rrbracket \implies t \in \mathfrak{N}$ 
  and composite-closed-left:  $\llbracket u \in \mathfrak{N}; R.\text{seq}\ u\ t \rrbracket \implies \exists v. R.\text{composite-of}\ u\ t\ v$ 
  and composite-closed-right:  $\llbracket u \in \mathfrak{N}; R.\text{seq}\ t\ u \rrbracket \implies \exists v. R.\text{composite-of}\ t\ u\ v$ 
  begin

    lemma prfx-closed:
    assumes  $u \in \mathfrak{N}$  and  $R.\text{prfx}\ t\ u$ 
    shows  $t \in \mathfrak{N}$ 
      using assms backward-stable ide-closed by blast

    lemma composite-closed:
    assumes  $t \in \mathfrak{N}$  and  $u \in \mathfrak{N}$  and  $R.\text{composite-of}\ t\ u\ v$ 
    shows  $v \in \mathfrak{N}$ 
      using assms backward-stable R.composite-of-def prfx-closed by blast

    lemma factor-closed:
    assumes  $R.\text{composite-of}\ t\ u\ v$  and  $v \in \mathfrak{N}$ 
    shows  $t \in \mathfrak{N}$  and  $u \in \mathfrak{N}$ 
      apply (metis assms R.composite-of-def prfx-closed)
      by (meson assms R.composite-of-def R.con-imp-coinitial forward-stable prfx-closed
            R.prfx-implies-con)

    lemma resid-along-elem-preserves-con:
    assumes  $t \sim t'$  and  $R.\text{coinitial}\ t\ u$  and  $u \in \mathfrak{N}$ 
    shows  $t \setminus u \sim t' \setminus u$ 
    proof -
      have  $R.\text{coinitial}\ (t \setminus t') (u \setminus t')$ 
      by (metis assms R.arr-resid-iff-con R.coinitialII R.con-imp-common-source forward-stable
            elements-are-arr R.con-implies-arr(2) R.sources-resid R.sources-eqI)
      hence  $t \setminus t' \sim u \setminus t'$ 
      by (metis assms(3) R.coinitial-iff R.con-imp-coinitial R.con-sym elements-are-arr
            forward-stable R.arr-resid-iff-con)
      thus ?thesis
        using assms R.cube forward-stable by fastforce
    qed

```

end

## Normal Sub-RTS's of an Extensional RTS with Composites

```

locale normal-in-extensional-rts-with-composites =
  R: extensional-rts +
  R: rts-with-composites +
  normal-sub-rts
begin

  lemma factor-closedEC:
    assumes t · u ∈ ℙ
    shows t ∈ ℙ and u ∈ ℙ
    using assms factor-closed
    by (metis R.arrE R.composable-def R.comp-is-composite-of(2) R.con-comp-iff
      elements-are-arr)+

  lemma comp-in-normal-iff:
    shows t · u ∈ ℙ  $\longleftrightarrow$  t ∈ ℙ  $\wedge$  u ∈ ℙ  $\wedge$  R.seq t u
    by (metis R.comp-is-composite-of(2) composite-closed elements-are-arr
      factor-closed(1–2) R.composable-def R.has-composites R.rts-with-composites-axioms
      R.extensional-rts-axioms extensional-rts-with-composites.arr-compEEC
      extensional-rts-with-composites-def R.seqIWE(1))

end

```

### 2.3.2 Semi-Congruence

```

context normal-sub-rts
begin

```

We will refer to the elements of  $\mathfrak{N}$  as *normal transitions*. Generalizing identity transitions to normal transitions in the definition of congruence, we obtain the notion of *semi-congruence* of transitions with respect to a normal sub-RTS.

```

abbreviation Cong0 (infix  $\approx_0$  50)
  where t  $\approx_0$  t'  $\equiv$  t \ t' ∈ ℙ  $\wedge$  t' \ t ∈ ℙ

  lemma Cong0-reflexive:
    assumes R.arr t
    shows t  $\approx_0$  t
    using assms R.cong-reflexive ide-closed by simp

  lemma Cong0-symmetric:
    assumes t  $\approx_0$  t'
    shows t'  $\approx_0$  t
    using assms by simp

  lemma Cong0-transitive [trans]:

```

```

assumes  $t \approx_0 t'$  and  $t' \approx_0 t''$ 
shows  $t \approx_0 t''$ 
    by (metis (full-types) R.arr-resid-iff-con assms backward-stable forward-stable
        elements-are-arr R.coinitialI R.cube R.sources-resid)

```

```

lemma Cong0-imp-con:
assumes  $t \approx_0 t'$ 
shows R.con t t'
    using assms R.arr-resid-iff-con elements-are-arr by blast

```

```

lemma Cong0-imp-coinitial:
assumes  $t \approx_0 t'$ 
shows R.sources t = R.sources t'
    using assms by (meson Cong0-imp-con R.coinitial-iff R.con-imp-coinitial)

```

Semi-congruence is preserved and reflected by residuation along normal transitions.

```

lemma Resid-along-normal-preserves-Congo:
assumes  $t \approx_0 t'$  and  $u \in \mathfrak{N}$  and R.sources t = R.sources u
shows  $t \setminus u \approx_0 t' \setminus u$ 
    by (metis Congo-imp-coinitial R.arr-resid-iff-con R.coinitialI R.coinitial-def
        R.cube R.sources-resid assms elements-are-arr forward-stable)

```

```

lemma Resid-along-normal-reflects-Congo:
assumes  $t \setminus u \approx_0 t' \setminus u$  and  $u \in \mathfrak{N}$ 
shows  $t \approx_0 t'$ 
    using assms
    by (metis backward-stable R.con-imp-coinitial R.cube R.null-is-zero(2)
        forward-stable R.conI)

```

Semi-congruence is substitutive for the left-hand argument of residuation.

```

lemma Cong0-subst-left:
assumes  $t \approx_0 t'$  and  $t \sim u$ 
shows  $t' \sim u$  and  $t \setminus u \approx_0 t' \setminus u$ 
proof –
    have 1:  $t \sim u \wedge t \sim t' \wedge u \setminus t \sim t' \setminus t$ 
    using assms
    by (metis Resid-along-normal-preserves-Congo Congo-imp-con Congo-reflexive R.con-sym
        R.null-is-zero(2) R.arr-resid-iff-con R.sources-resid R.conI)
    hence 2:  $t' \sim u \wedge u \setminus t \sim t' \setminus t \wedge$ 
         $(t \setminus u) \setminus (t' \setminus u) = (t \setminus t') \setminus (u \setminus t') \wedge$ 
         $(t' \setminus u) \setminus (t \setminus u) = (t' \setminus t) \setminus (u \setminus t)$ 
    by (meson R.con-sym R.cube R.resid-reflects-con)
    show  $t' \sim u$ 
        using 2 by simp
    show  $t \setminus u \approx_0 t' \setminus u$ 
        using assms 1 2
        by (metis R.arr-resid-iff-con R.con-imp-coinitial R.cube forward-stable)
qed

```

Semi-congruence is not exactly substitutive for residuation on the right. Instead, the

following weaker property is satisfied. Obtaining exact substitutivity on the right is the motivation for defining a coarser notion of congruence below.

```

lemma Cong0-subst-right:
assumes u ≈0 u' and t ⊣ u
shows t ⊣ u' and (t \ u) \ (u' \ u) ≈0 (t \ u') \ (u \ u')
  using assms
    apply (meson Cong0-subst-left(1) R.con-sym)
    using assms
by (metis R.sources-resid Cong0-imp-con Cong0-reflexive Resid-along-normal-preserves-Cong0
  R.arr-resid-iff-con residuation.cube R.residuation-axioms)

lemma Cong0-subst-Con:
assumes t ≈0 t' and u ≈0 u'
shows t ⊣ u ↔ t' ⊣ u'
  using assms
by (meson Cong0-subst-left(1) Cong0-subst-right(1))

lemma Cong0-cancel-left:
assumes R.composite-of t u v and R.composite-of t u' v' and v ≈0 v'
shows u ≈0 u'
proof -
  have u ≈0 v \ t
    using assms(1) ide-closed by blast
  also have v \ t ≈0 v' \ t
  by (meson assms(1,3) Cong0-subst-left(2) R.composite-of-def R.con-sym R.prfx-implies-con)
  also have v' \ t ≈0 u'
    using assms(2) ide-closed by blast
  finally show ?thesis by auto
qed

lemma Cong0-iff:
shows t ≈0 t' ↔
  (Ǝ u u' v v'. u ∈ ℙ ∧ u' ∈ ℙ ∧ v ≈0 v' ∧
   R.composite-of t u v ∧ R.composite-of t' u' v')
proof (intro iffI)
  show Ǝ u u' v v'. u ∈ ℙ ∧ u' ∈ ℙ ∧ v ≈0 v' ∧
    R.composite-of t u v ∧ R.composite-of t' u' v'
     $\implies$  t ≈0 t'
  by (meson Cong0-transitive R.composite-of-def ide-closed prfx-closed)
  show t ≈0 t'  $\implies$  Ǝ u u' v v'. u ∈ ℙ ∧ u' ∈ ℙ ∧ v ≈0 v' ∧
    R.composite-of t u v ∧ R.composite-of t' u' v'
  by (metis Cong0-imp-con Cong0-transitive R.composite-of-def R.prfx-reflexive
    R.arrI R.ideE)
qed

lemma diamond-commutes-upto-Cong0:
assumes t ⊣ u and R.composite-of t (u \ t) v and R.composite-of u (t \ u) v'
shows v ≈0 v'
proof -

```

```

have  $v \setminus v \approx_0 v' \setminus v \wedge v' \setminus v' \approx_0 v \setminus v'$ 
proof –
  have 1:  $(v \setminus t) \setminus (u \setminus t) \approx_0 (v' \setminus u) \setminus (t \setminus u)$ 
  using assms(2–3) R(cube [of v t u])
  by (metis R.con-target R.composite-ofE R.ide-imp-con-iff-cong ide-closed
    R.conI)
  have 2:  $v \setminus v \approx_0 v' \setminus v$ 
  proof –
    have  $v \setminus v \approx_0 (v \setminus t) \setminus (u \setminus t)$ 
    using assms R.composite-of-def ide-closed
    by (meson R.composite-of-unq-up-to-cong R.prfx-implies-con R.resid-composite-of(3))
    also have  $(v \setminus t) \setminus (u \setminus t) \approx_0 (v' \setminus u) \setminus (t \setminus u)$ 
    using 1 by simp
    also have  $(v' \setminus u) \setminus (t \setminus u) \approx_0 (v' \setminus t) \setminus (u \setminus t)$ 
    by (metis 1 Congo-transitive R(cube))
    also have  $(v' \setminus t) \setminus (u \setminus t) \approx_0 v' \setminus v$ 
    using assms R.composite-of-def ide-closed
    by (metis 1 R.conI R.con-sym-ax R(cube) R.null-is-zero(2) R.resid-composite-of(3))
    finally show ?thesis by auto
  qed
  moreover have  $v' \setminus v' \approx_0 v \setminus v'$ 
  proof –
    have  $v' \setminus v' \approx_0 (v' \setminus u) \setminus (t \setminus u)$ 
    using assms R.composite-of-def ide-closed
    by (meson R.composite-of-unq-up-to-cong R.prfx-implies-con R.resid-composite-of(3))
    also have  $(v' \setminus u) \setminus (t \setminus u) \approx_0 (v \setminus t) \setminus (u \setminus t)$ 
    using 1 by simp
    also have  $(v \setminus t) \setminus (u \setminus t) \approx_0 (v \setminus u) \setminus (t \setminus u)$ 
    using R(cube [of v t u]) ide-closed
    by (metis Congo-reflexive R.arr-resid-iff-con assms(2) R.composite-of-def
      R.prfx-implies-con)
    also have  $(v \setminus u) \setminus (t \setminus u) \approx_0 v \setminus v'$ 
    using assms R.composite-of-def ide-closed
    by (metis 2 R.conI elements-are-arr R.not-arr-null R.null-is-zero(2)
      R.resid-composite-of(3))
    finally show ?thesis by auto
  qed
  ultimately show ?thesis by blast
qed
thus ?thesis
  by (metis assms(2–3) R.composite-of-unq-up-to-cong R.resid-arr-ide Congo-imp-con)
qed

```

### 2.3.3 Congruence

We use semi-congruence to define a coarser relation as follows.

**definition** Cong (infix  $\approx$  50)  
**where** Cong  $t t' \equiv \exists u u'. u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge t \setminus u \approx_0 t' \setminus u'$

```

lemma CongI [intro]:
assumes  $u \in \mathfrak{N}$  and  $u' \in \mathfrak{N}$  and  $t \setminus u \approx_0 t' \setminus u'$ 
shows Cong  $t t'$ 
using assms Cong-def by auto

lemma CongE [elim]:
assumes  $t \approx t'$ 
obtains  $u u'$ 
where  $u \in \mathfrak{N}$  and  $u' \in \mathfrak{N}$  and  $t \setminus u \approx_0 t' \setminus u'$ 
using assms Cong-def by auto

lemma Cong-imp-arr:
assumes  $t \approx t'$ 
shows R.arr  $t$  and R.arr  $t'$ 
using assms Cong-def
by (meson R.arr-resid-iff-con R.con-implies-arr(2) R.con-sym elements-are-arr)+

lemma Cong-reflexive:
assumes R.arr  $t$ 
shows  $t \approx t$ 
by (metis CongI Congo-reflexive assms R.con-imp-coinitial-ax ide-closed
      R.resid-arr-ide R.arrE R.con-sym)

lemma Cong-symmetric:
assumes  $t \approx t'$ 
shows  $t' \approx t$ 
using assms Cong-def by auto

```

The existence of composites of normal transitions is used in the following.

```

lemma Cong-transitive [trans]:
assumes  $t \approx t''$  and  $t'' \approx t'$ 
shows  $t \approx t'$ 
proof –
  obtain  $u u''$  where  $uu'': u \in \mathfrak{N} \wedge u'' \in \mathfrak{N} \wedge t \setminus u \approx_0 t'' \setminus u''$ 
  using assms Cong-def by blast
  obtain  $v' v''$  where  $v'v'': v' \in \mathfrak{N} \wedge v'' \in \mathfrak{N} \wedge t'' \setminus v'' \approx_0 t' \setminus v'$ 
  using assms Cong-def by blast
  let ?w =  $(t \setminus u) \setminus (v'' \setminus u'')$ 
  let ?w' =  $(t' \setminus v') \setminus (u'' \setminus v'')$ 
  let ?w'' =  $(t'' \setminus v'') \setminus (u'' \setminus v'')$ 
  have  $w'': ?w'' = (t'' \setminus u'') \setminus (v'' \setminus u'')$ 
  by (metis R.cube)
  have  $u''v'': R.coinitial u'' v''$ 
  by (metis (full-types) R.coinitial-iff elements-are-arr R.con-imp-coinitial
        R.arr-resid-iff-con uu'' v'v'')
  hence  $v''u'': R.coinitial v'' u''$ 
  by (meson R.con-imp-coinitial elements-are-arr forward-stable R.arr-resid-iff-con v'v'')
  have 1:  $?w \setminus ?w'' \in \mathfrak{N}$ 
proof –

```

```

have  $(v'' \setminus u'') \setminus (t'' \setminus u'') \in \mathfrak{N}$ 
  by (metis Congo-transitive R.con-imp-coinitial forward-stable Congo-imp-con
       resid-along-elem-preserves-con R.arrI R.arr-resid-iff-con  $u''v'' uu'' v'v''$ )
thus ?thesis
  by (metis Congo-subst-left(2) R.con-sym R.null-is-zero(1)  $uu'' w'' R.conI$ )
qed
have 2:  $?w'' \setminus ?w \in \mathfrak{N}$ 
  by (metis 1 Congo-subst-left(2)  $uu'' w'' R.conI$ )
have 3:  $R.seq\ u\ (v'' \setminus u'')$ 
  by (metis (full-types) 2 Congo-imp-coinitial R.sources-resid
       Congo-imp-con R.arr-resid-iff-con R.con-implies-arr(2) R.seqI(1)  $uu'' R.conI$ )
have 4:  $R.seq\ v'\ (u'' \setminus v'')$ 
  by (metis 1 Congo-imp-coinitial Congo-imp-con R.arr-resid-iff-con
       R.con-implies-arr(2) R.seq-def R.sources-resid  $v'v'' R.conI$ )
obtain x where x:  $R.composite-of\ u\ (v'' \setminus u'')\ x$ 
  using 3 composite-closed-left  $uu''$  by blast
obtain x' where x':  $R.composite-of\ v'\ (u'' \setminus v'')\ x'$ 
  using 4 composite-closed-left  $v'v''$  by presburger
have  $?w \approx_0 ?w'$ 
proof -
  have  $?w \approx_0 ?w'' \wedge ?w' \approx_0 ?w''$ 
    using 1 2
    by (metis Congo-subst-left(2) R.null-is-zero(2)  $v'v'' R.conI$ )
  thus ?thesis
    using Congo-transitive by blast
qed
moreover have  $x \in \mathfrak{N} \wedge ?w \approx_0 t \setminus x$ 
  apply (intro conjI)
  apply (meson composite-closed forward-stable  $u''v'' uu'' v'v'' x$ )
  apply (metis (full-types) R.arr-resid-iff-con R.con-implies-arr(2) R.con-sym
        ide-closed forward-stable R.composite-of-def R.resid-composite-of(3)
        Congo-subst-right(1) prfx-closed  $u''v'' uu'' v'v'' x R.conI$ )
  by (metis (no-types, lifting) 1 R.con-composite-of-iff ide-closed
      R.resid-composite-of(3) R.arr-resid-iff-con R.con-implies-arr(1) R.con-sym x R.conI)
moreover have  $x' \in \mathfrak{N} \wedge ?w' \approx_0 t' \setminus x'$ 
  apply (intro conjI)
  apply (meson composite-closed forward-stable  $uu'' v''u'' v'v'' x'$ )
  apply (metis (full-types) Congo-subst-right(1) R.composite-ofE R.con-sym
        ide-closed forward-stable R.con-imp-coinitial prfx-closed
        R.resid-composite-of(3) R.arr-resid-iff-con R.con-implies-arr(1)  $uu'' v'v'' x' R.conI$ )
  by (metis (full-types) Congo-subst-left(1) R.composite-ofE R.con-sym ide-closed
      forward-stable R.con-imp-coinitial prfx-closed R.resid-composite-of(3)
      R.arr-resid-iff-con R.con-implies-arr(1)  $uu'' v'v'' x' R.conI$ )
ultimately show  $t \approx t'$ 
  using Cong-def Congo-transitive by metis
qed

```

lemma Cong-closure-props:  
shows  $t \approx u \implies u \approx t$

```

and  $\llbracket t \approx u; u \approx v \rrbracket \implies t \approx v$ 
and  $t \approx_0 u \implies t \approx u$ 
and  $\llbracket u \in \mathfrak{N}; R.\text{sources } t = R.\text{sources } u \rrbracket \implies t \approx t \setminus u$ 
proof -
  show  $t \approx u \implies u \approx t$ 
  using Cong-symmetric by blast
  show  $\llbracket t \approx u; u \approx v \rrbracket \implies t \approx v$ 
  using Cong-transitive by blast
  show  $t \approx_0 u \implies t \approx u$ 
  by (metis Congo-subst-left(2) Cong-def Cong-reflexive R.con-implies-arr(1)
       R.null-is-zero(2) R.conI)
  show  $\llbracket u \in \mathfrak{N}; R.\text{sources } t = R.\text{sources } u \rrbracket \implies t \approx t \setminus u$ 
  proof -
    assume  $u: u \in \mathfrak{N}$  and coinitial:  $R.\text{sources } t = R.\text{sources } u$ 
    obtain  $a$  where  $a: a \in R.\text{targets } u$ 
    by (meson elements-are-arr empty-subsetI R.arr-iff-has-target subsetI subset-antisym u)
    have  $t \setminus u \approx_0 (t \setminus u) \setminus a$ 
    proof -
      have  $R.\text{arr } t$ 
      using R.arr-iff-has-source coinitial elements-are-arr u by presburger
      thus ?thesis
      by (meson u a R.arr-resid-iff-con coinitial ide-closed forward-stable
           elements-are-arr R.coinitial-iff R.composite-of-arr-target R.resid-composite-of(3))
    qed
    thus ?thesis
    using Cong-def
    by (metis a R.composite-of-arr-target elements-are-arr factor-closed(2) u)
  qed
qed

lemma Cong0-implies-Cong:
assumes  $t \approx_0 t'$ 
shows  $t \approx t'$ 
using assms Cong-closure-props(3) by simp

lemma in-sources-respects-Cong:
assumes  $t \approx t'$  and  $a \in R.\text{sources } t$  and  $a' \in R.\text{sources } t'$ 
shows  $a \approx a'$ 
proof -
  obtain  $u u'$  where  $uu': u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge t \setminus u \approx_0 t' \setminus u'$ 
  using assms Cong-def by blast
  show  $a \approx a'$ 
  proof
    show  $u \in \mathfrak{N}$ 
    using uu' by simp
    show  $u' \in \mathfrak{N}$ 
    using uu' by simp
    show  $a \setminus u \approx_0 a' \setminus u'$ 
  proof -

```

```

have  $a \setminus u \in R.\text{targets } u$ 
  by (metis Cong0-imp-con R.arr-resid-iff-con assms(2) R.con-imp-common-source
       R.con-implies-arr(1) R.resid-source-in-targets R.sources-eqI uu')
moreover have  $a' \setminus u' \in R.\text{targets } u'$ 
  by (metis Cong0-imp-con R.arr-resid-iff-con assms(3) R.con-imp-common-source
       R.resid-source-in-targets R.con-implies-arr(1) R.sources-eqI uu')
moreover have  $R.\text{targets } u = R.\text{targets } u'$ 
  by (metis Cong0-imp-coinitial Cong0-imp-con R.arr-resid-iff-con
       R.con-implies-arr(1) R.sources-resid uu')
ultimately show ?thesis
  using ide-closed R.targets-are-cong by presburger
qed
qed
qed

```

```

lemma in-targets-respects-Cong:
assumes  $t \approx t'$  and  $b \in R.\text{targets } t$  and  $b' \in R.\text{targets } t'$ 
shows  $b \approx b'$ 
proof -
  obtain  $u u'$  where  $uu': u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge t \setminus u \approx_0 t' \setminus u'$ 
    using assms Cong-def by blast
  have seq:  $R.\text{seq } (u \setminus t) ((t' \setminus u') \setminus (t \setminus u)) \wedge R.\text{seq } (u' \setminus t') ((t \setminus u) \setminus (t' \setminus u'))$ 
    by (metis R.arr-iff-has-source R.arr-iff-has-target R.conI elements-are-arr R.not-arr-null
        R.seqI(2) R.sources-resid R.targets-resid-sym uu')
  obtain  $v$  where  $v: R.\text{composite-of } (u \setminus t) ((t' \setminus u') \setminus (t \setminus u)) v$ 
    using seq composite-closed-right uu' by presburger
  obtain  $v'$  where  $v': R.\text{composite-of } (u' \setminus t') ((t \setminus u) \setminus (t' \setminus u')) v'$ 
    using seq composite-closed-right uu' by presburger
  show  $b \approx b'$ 
proof
  show  $v\text{-in-}\mathfrak{N}: v \in \mathfrak{N}$ 
    by (metis composite-closed R.con-imp-coinitial R.con-implies-arr(1) forward-stable
         R.composite-of-def R.prfx-implies-con R.arr-resid-iff-con R.con-sym uu' v)
  show  $v'\text{-in-}\mathfrak{N}: v' \in \mathfrak{N}$ 
    by (metis backward-stable R.composite-of-def R.con-imp-coinitial forward-stable
         R.null-is-zero(2) prfx-closed uu' v' R.conI)
  show  $b \setminus v \approx_0 b' \setminus v'$ 
    using assms uu' v v'
  by (metis R.arr-resid-iff-con ide-closed R.seq-def R.sources-resid R.targets-resid-sym
      R.resid-source-in-targets seq R.sources-composite-of R.targets-are-cong
      R.targets-composite-of)
qed
qed

```

```

lemma sources-are-Cong:
assumes  $a \in R.\text{sources } t$  and  $a' \in R.\text{sources } t$ 
shows  $a \approx a'$ 
using assms
by (simp add: ide-closed R.sources-are-cong Cong-closure-props(3))

```

```

lemma targets-are-Cong:
assumes  $b \in R.\text{targets } t$  and  $b' \in R.\text{targets } t$ 
shows  $b \approx b'$ 
using assms
by (simp add: ide-closed R.targets-are-cong Cong-closure-props(3))

```

It is *not* the case that sources and targets are  $\approx$ -closed; *i.e.*  $t \approx t' \implies \text{sources } t = \text{sources } t'$  and  $t \approx t' \implies \text{targets } t = \text{targets } t'$  do not hold, in general.

```

lemma Resid-along-normal-preserves-reflects-con:
assumes  $u \in \mathfrak{N}$  and  $R.\text{sources } t = R.\text{sources } u$ 
shows  $t \setminus u \sim t' \setminus u \longleftrightarrow t \sim t'$ 
by (metis R.arr-resid-iff-con assms R.con-implies-arr(1–2) elements-are-arr R.coinitial-iff
      R.resid-reflects-con resid-along-elem-preserves-con)

```

We can alternatively characterize  $\approx$  as the least symmetric and transitive relation on transitions that extends  $\approx_0$  and has the property of being preserved by residuation along transitions in  $\mathfrak{N}$ .

```

inductive Cong'
where  $\bigwedge t u. \text{Cong}' t u \implies \text{Cong}' u t$ 
  |  $\bigwedge t u v. [\![\text{Cong}' t u; \text{Cong}' u v]\!] \implies \text{Cong}' t v$ 
  |  $\bigwedge t u. t \approx_0 u \implies \text{Cong}' t u$ 
  |  $\bigwedge t u. [\![R.\text{arr } t; u \in \mathfrak{N}; R.\text{sources } t = R.\text{sources } u]\!] \implies \text{Cong}' t (t \setminus u)$ 

```

```

lemma Cong'-if:
shows  $[\![u \in \mathfrak{N}; u' \in \mathfrak{N}; t \setminus u \approx_0 t' \setminus u']!] \implies \text{Cong}' t t'$ 
proof –
  assume  $u: u \in \mathfrak{N}$  and  $u': u' \in \mathfrak{N}$  and  $1: t \setminus u \approx_0 t' \setminus u'$ 
  show  $\text{Cong}' t t'$ 
    using  $u u' 1$ 
    by (metis (no-types, lifting) Cong'.simp Cong0-imp-con R.arr-resid-iff-con
          R.coinitial-iff R.con-imp-coinitial)
qed

```

```

lemma Cong-char:
shows  $\text{Cong } t t' \longleftrightarrow \text{Cong}' t t'$ 
proof –
  have  $\text{Cong } t t' \implies \text{Cong}' t t'$ 
  using Cong-def Cong'-if by blast
  moreover have  $\text{Cong}' t t' \implies \text{Cong } t t'$ 
    apply (induction rule: Cong'.induct)
    using Cong-symmetric apply simp
    using Cong-transitive apply simp
    using Cong-closure-props(3) apply simp
    using Cong-closure-props(4) by simp
  ultimately show ?thesis
    using Cong-def by blast
qed

```

```

lemma normal-is-Cong-closed:
assumes  $t \in \mathfrak{N}$  and  $t \approx t'$ 
shows  $t' \in \mathfrak{N}$ 
using assms
by (metis (full-types) CongE R.con-imp-coinitial forward-stable
    R.null-is-zero(2) backward-stable R.conI)

```

### 2.3.4 Congruence Classes

Here we develop some notions relating to the congruence classes of  $\approx$ .

```

definition Cong-class ( $\{\cdot\}$ )
where Cong-class  $t \equiv \{t'. t \approx t'\}$ 

```

```

definition is-Cong-class
where is-Cong-class  $\mathcal{T} \equiv \exists t. t \in \mathcal{T} \wedge \mathcal{T} = \{t\}$ 

```

```

definition Cong-class-rep
where Cong-class-rep  $\mathcal{T} \equiv \text{SOME } t. t \in \mathcal{T}$ 

```

```

lemma Cong-class-is-nonempty:
assumes is-Cong-class  $\mathcal{T}$ 
shows  $\mathcal{T} \neq \{\}$ 
using assms is-Cong-class-def Cong-class-def by auto

```

```

lemma rep-in-Cong-class:
assumes is-Cong-class  $\mathcal{T}$ 
shows Cong-class-rep  $\mathcal{T} \in \mathcal{T}$ 
using assms is-Cong-class-def Cong-class-rep-def someI-ex [of  $\lambda t. t \in \mathcal{T}$ ]
by metis

```

```

lemma arr-in-Cong-class:
assumes R.arr  $t$ 
shows  $t \in \{t\}$ 
using assms Cong-class-def Cong-reflexive by simp

```

```

lemma is-Cong-classI:
assumes R.arr  $t$ 
shows is-Cong-class  $\{t\}$ 
using assms Cong-class-def is-Cong-class-def Cong-reflexive by blast

```

```

lemma is-Cong-classI' [intro]:
assumes  $\mathcal{T} \neq \{\}$ 
and  $\bigwedge t t'. \llbracket t \in \mathcal{T}; t' \in \mathcal{T} \rrbracket \implies t \approx t'$ 
and  $\bigwedge t t'. \llbracket t \in \mathcal{T}; t' \approx t \rrbracket \implies t' \in \mathcal{T}$ 
shows is-Cong-class  $\mathcal{T}$ 
proof -
  obtain  $t$  where  $t: t \in \mathcal{T}$ 
  using assms by auto
  have  $\mathcal{T} = \{t\}$ 

```

```

unfolding Cong-class-def
using assms(2–3) t by blast
thus ?thesis
  using is-Cong-class-def t by blast
qed

lemma Cong-class-memb-is-arr:
assumes is-Cong-class  $\mathcal{T}$  and  $t \in \mathcal{T}$ 
shows  $R.\text{arr } t$ 
  using assms Cong-class-def is-Cong-class-def Cong-imp-arr(2) by force

lemma Cong-class-mems-are-Cong:
assumes is-Cong-class  $\mathcal{T}$  and  $t \in \mathcal{T}$  and  $t' \in \mathcal{T}$ 
shows Cong  $t t'$ 
  using assms Cong-class-def is-Cong-class-def
    by (metis CollectD Cong-closure-props(2) Cong-symmetric)

lemma Cong-class-eqI:
assumes  $t \approx t'$ 
shows  $\{t\} = \{t'\}$ 
  using assms Cong-class-def
    by (metis (full-types) Collect-cong Cong'.intros(1–2) Cong-char)

lemma Cong-class-eqI':
assumes is-Cong-class  $\mathcal{T}$  and is-Cong-class  $\mathcal{U}$  and  $\mathcal{T} \cap \mathcal{U} \neq \{\}$ 
shows  $\mathcal{T} = \mathcal{U}$ 
  using assms is-Cong-class-def Cong-class-eqI Cong-class-mems-are-Cong Int-emptyI
    by (metis (no-types, lifting))

lemma is-Cong-classE [elim]:
assumes is-Cong-class  $\mathcal{T}$ 
and  $\llbracket \mathcal{T} \neq \{\}; \bigwedge t t'. \llbracket t \in \mathcal{T}; t' \in \mathcal{T} \rrbracket \implies t \approx t'; \bigwedge t t'. \llbracket t \in \mathcal{T}; t' \approx t \rrbracket \implies t' \in \mathcal{T} \rrbracket \implies T$ 
shows  $T$ 
proof –
  have  $\mathcal{T}: \mathcal{T} \neq \{\}$ 
    using assms Cong-class-is-nonempty by simp
  moreover have 1:  $\bigwedge t t'. \llbracket t \in \mathcal{T}; t' \in \mathcal{T} \rrbracket \implies t \approx t'$ 
    using assms Cong-class-mems-are-Cong by metis
  moreover have  $\bigwedge t t'. \llbracket t \in \mathcal{T}; t' \approx t \rrbracket \implies t' \in \mathcal{T}$ 
    using assms Cong-class-def
      by (metis 1 Cong-class-eqI Cong-imp-arr(1) is-Cong-class-def arr-in-Cong-class)
  ultimately show ?thesis
    using assms by blast
qed

lemma Cong-class-rep [simp]:
assumes is-Cong-class  $\mathcal{T}$ 
shows  $\{ \text{Cong-class-rep } \mathcal{T} \} = \mathcal{T}$ 
by (metis Cong-class-mems-are-Cong Cong-class-eqI assms is-Cong-class-def rep-in-Cong-class)

```

```

lemma Cong-class-memb-Cong-rep:
assumes is-Cong-class T and t ∈ T
shows Cong t (Cong-class-rep T)
using assms Cong-class-memb-s-are-Cong rep-in-Cong-class by simp

lemma composite-of-normal-arr:
shows [ R.arr t; u ∈ Ω; R.composite-of u t t' ] ⇒ t' ≈ t
by (meson Cong'.intros(3) Cong-char R.composite-of-def R.con-implies-arr(2)
ide-closed R.prfx-implies-con Cong-closure-props(2,4) R.sources-composite-of)

lemma composite-of-arr-normal:
shows [ arr t; u ∈ Ω; R.composite-of t u t' ] ⇒ t' ≈₀ t
by (meson Cong-closure-props(3) R.composite-of-def ide-closed prfx-closed)

end

```

### 2.3.5 Coherent Normal Sub-RTS's

A *coherent* normal sub-RTS is one that satisfies a parallel moves property with respect to arbitrary transitions. The congruence  $\approx$  induced by a coherent normal sub-RTS is fully substitutive with respect to consistency and residuation, and in fact coherence is equivalent to substitutivity in this context.

```

locale coherent-normal-sub-rts = normal-sub-rts +
assumes coherent: [ R.arr t; u ∈ Ω; u' ∈ Ω; R.sources u = R.sources u';
R.targets u = R.targets u'; R.sources t = R.sources u ]
⇒ t \ u ≈₀ t \ u'

```

```

context normal-sub-rts
begin

```

The above “parallel moves” formulation of coherence is equivalent to the following formulation, which involves “opposing spans”.

```

lemma coherent-iff:
shows ( ∀ t u u'. R.arr t ∧ u ∈ Ω ∧ u' ∈ Ω ∧ R.sources t = R.sources u ∧
R.sources u = R.sources u' ∧ R.targets u = R.targets u'
→ t \ u ≈₀ t \ u')
↔
( ∀ t t' v v' w w'. v ∈ Ω ∧ v' ∈ Ω ∧ w ∈ Ω ∧ w' ∈ Ω ∧
R.sources v = R.sources w ∧ R.sources v' = R.sources w' ∧
R.targets w = R.targets w' ∧ t \ v ≈₀ t' \ v'
→ t \ w ≈₀ t' \ w')

```

**proof**

```

assume 1: ∀ t t' v v' w w'. v ∈ Ω ∧ v' ∈ Ω ∧ w ∈ Ω ∧ w' ∈ Ω ∧
R.sources v = R.sources w ∧ R.sources v' = R.sources w' ∧
R.targets w = R.targets w' ∧ t \ v ≈₀ t' \ v'

```

$\longrightarrow t \setminus w \approx_0 t' \setminus w'$   
**show**  $\forall t u u'. R.arr t \wedge u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge R.sources t = R.sources u \wedge R.sources u = R.sources u' \wedge R.targets u = R.targets u'$   
 $\longrightarrow t \setminus u \approx_0 t \setminus u'$   
**proof** (intro allI impI, elim conjE)  
fix  $t u u'$   
**assume**  $t: R.arr t$  and  $u: u \in \mathfrak{N}$  and  $u': u' \in \mathfrak{N}$   
**and**  $tu: R.sources t = R.sources u$  and  $sources: R.sources u = R.sources u'$   
**and**  $targets: R.targets u = R.targets u'$   
**show**  $t \setminus u \approx_0 t \setminus u'$   
**by** (metis 1 Cong0-reflexive Resid-along-normal-preserves-Cong0 sources t targets tu u u')  
**qed**  
**next**  
**assume** 1:  $\forall t u u'. R.arr t \wedge u \in \mathfrak{N} \wedge u' \in \mathfrak{N} \wedge R.sources t = R.sources u \wedge R.sources u = R.sources u' \wedge R.targets u = R.targets u'$   
 $\longrightarrow t \setminus u \approx_0 t \setminus u'$   
**show**  $\forall t t' v v' w w'. v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge R.sources v = R.sources w \wedge R.sources v' = R.sources w' \wedge R.targets w = R.targets w' \wedge t \setminus v \approx_0 t' \setminus v'$   
 $\longrightarrow t \setminus w \approx_0 t' \setminus w'$   
**proof** (intro allI impI, elim conjE)  
fix  $t t' v v' w w'$   
**assume**  $v: v \in \mathfrak{N}$  and  $v': v' \in \mathfrak{N}$  and  $w: w \in \mathfrak{N}$  and  $w': w' \in \mathfrak{N}$   
**and**  $vw: R.sources v = R.sources w$  and  $v'w': R.sources v' = R.sources w'$   
**and**  $ww': R.targets w = R.targets w'$   
**and**  $tvt'v': (t \setminus v) \setminus (t' \setminus v') \in \mathfrak{N}$  and  $t'v'tv: (t' \setminus v') \setminus (t \setminus v) \in \mathfrak{N}$   
**show**  $t \setminus w \approx_0 t' \setminus w'$   
**proof** –  
**have** 3:  $R.sources t = R.sources v \wedge R.sources t' = R.sources v'$   
**using** R.con-imp-coinitial  
**by** (meson Cong0-imp-con tvt'v' t'v'tv  
R.coinitial-iff R.arr-resid-iff-con)  
**have** 2:  $t \setminus w \approx t' \setminus w'$   
**using** Cong-closure-props  
**by** (metis tvt'v' t'v'tv 3 vw v'w' v v' w w')  
**obtain**  $z z'$  **where**  $zz': z \in \mathfrak{N} \wedge z' \in \mathfrak{N} \wedge (t \setminus w) \setminus z \approx_0 (t' \setminus w') \setminus z'$   
**using** 2 **by** auto  
**have**  $(t \setminus w) \setminus z \approx_0 (t \setminus w) \setminus z'$   
**proof** –  
**have**  $R.coinitial ((t \setminus w) \setminus z) ((t \setminus w) \setminus z')$   
**proof** –  
**have**  $R.targets z = R.targets z'$   
**using** ww' zz'  
**by** (metis Cong0-imp-coinitial Cong0-imp-con R.con-sym-ax  
R.null-is-zero(2) R.sources-resid R.conI)  
**moreover have**  $R.sources ((t \setminus w) \setminus z) = R.targets z$   
**using** ww' zz'  
**by** (metis R.con-def R.not-arr-null R.null-is-zero(2))

```

R.sources-resid elements-are-arr)
moreover have R.sources ((t \ w) \ z') = R.targets z'
  using ww' zz'
  by (metis Cong-closure-props(4) Cong-imp-arr(2) R.arr-resid-iff-con
    R.coinitial-iff R.con-imp-coinitial R.sources-resid)
ultimately show ?thesis
  using ww' zz'
  apply (intro R.coinitialI)
  apply auto
  by (meson R.arr-resid-iff-con R.con-implies-arr(2) elements-are-arr)
qed
thus ?thesis
  apply (intro conjI)
  by (metis 1 R.coinitial-iff R.con-imp-coinitial R.arr-resid-iff-con
    R.sources-resid zz') +
qed
hence (t \ w) \ z' ≈₀ (t' \ w') \ z'
  using zz' Congo-transitive Congo-symmetric by blast
thus ?thesis
  using zz' Resid-along-normal-reflects-Congo by metis
qed
qed
qed
end

```

**context** *coherent-normal-sub-rts*  
**begin**

The proof of the substitutivity of  $\approx$  with respect to residuation only uses coherence in the “opposing spans” form.

**lemma** *coherent'*:  
**assumes**  $v \in \mathfrak{N}$  **and**  $v' \in \mathfrak{N}$  **and**  $w \in \mathfrak{N}$  **and**  $w' \in \mathfrak{N}$   
**and** *R.sources v = R.sources w and R.sources v' = R.sources w'*  
**and** *R.targets w = R.targets w' and t \ v ≈₀ t' \ v'*  
**shows** *t \ w ≈₀ t' \ w'*  
**proof**  
**show** *(t \ w) \ (t' \ w') \in \mathfrak{N}*  
**using** *assms coherent coherent-iff by meson*  
**show** *(t' \ w') \ (t \ w) \in \mathfrak{N}*  
**using** *assms coherent coherent-iff by meson*  
**qed**

The relation  $\approx$  is substitutive with respect to both arguments of residuation.

**lemma** *Cong-subst*:  
**assumes**  $t \approx t'$  **and**  $u \approx u'$  **and**  $t \frown u$  **and** *R.sources t' = R.sources u'*  
**shows** *t' \ u' and t \ u ≈₀ t' \ u'*  
**proof** –  
**obtain**  $v v'$  **where** *vv': v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge t \ \backslash \ v \approx\_0 t' \ \backslash \ v'*

```

using assms by auto
obtain w w' where ww': w ∈ Ω ∧ w' ∈ Ω ∧ u \ w ≈₀ u' \ w'
  using assms by auto
let ?x = t \ v and ?x' = t' \ v'
let ?y = u \ w and ?y' = u' \ w'
have xx': ?x ≈₀ ?x'
  using assms vv' by blast
have yy': ?y ≈₀ ?y'
  using assms ww' by blast
have 1: t \ w ≈₀ t' \ w'
proof -
  have R.sources v = R.sources w
    by (metis (no-types, lifting) Congo-imp-con R.arr-resid-iff-con assms(3)
        R.con-imp-common-source R.con-implies-arr(2) R.sources-eqI ww' xx')
  moreover have R.sources v' = R.sources w'
    by (metis (no-types, lifting) assms(4) R.coinitial-iff R.con-imp-coinitial
        Congo-imp-con R.arr-resid-iff-con ww' xx')
  moreover have R.targets w = R.targets w'
    by (metis Congo-implies-Cong Congo-imp-coinitial Cong-imp-arr(1)
        R.arr-resid-iff-con R.sources-resid ww')
  ultimately show ?thesis
    using assms vv' ww'
    by (intro coherent' [of v v' w w' t]) auto
qed
have 2: t' \ w' ∼ u' \ w'
  using assms 1 ww'
  by (metis Cong0-subst-left(1) Cong0-subst-right(1)
      Resid-along-normal-preserves-reflects-con R.arr-resid-iff-con
      R.coinitial-iff R.con-imp-coinitial elements-are-arr)
thus 3: t' ∼ u'
  using ww' R.cube by force
have t \ u ≈ ((t \ u) \ (w \ u)) \ (?y' \ ?y)
proof -
  have t \ u ≈ (t \ u) \ (w \ u)
    by (metis Cong-closure-props(4) assms(3) R.con-imp-coinitial
        elements-are-arr forward-stable R.arr-resid-iff-con R.con-implies-arr(1)
        R.sources-resid ww')
  also have ... ≈ ((t \ u) \ (w \ u)) \ (?y' \ ?y)
    by (metis Congo-imp-con Cong-closure-props(4) Cong-imp-arr(2)
        R.arr-resid-iff-con calculation R.con-implies-arr(2) R.targets-resid-sym
        R.sources-resid ww')
  finally show ?thesis by simp
qed
also have ... ≈ (((t \ w) \ ?y) \ (?y' \ ?y))
  using ww'
  by (metis Cong-imp-arr(2) Cong-reflexive calculation R.cube)
also have ... ≈ (((t' \ w') \ ?y) \ (?y' \ ?y))
  using 1 Cong0-subst-left(2) [of t \ w (t' \ w') ?y]
  Cong0-subst-left(2) [of (t \ w) \ ?y (t' \ w') \ ?y ?y' \ ?y]

```

```

by (meson 2 Cong0-implies-Cong Congo-subst-Con Cong-imp-arr(2)
      R.arr-resid-iff-con calculation ww')
also have ... ≈ ((t' \ w') \ ?y') \ (?y \ ?y')
  using 2 Cong0-implies-Cong Congo-subst-right(2) ww' by presburger
also have 4: ... ≈ (t' \ u') \ (w' \ u')
  using 2 ww'
by (metis Cong0-imp-con Cong-closure-props(4) Cong-symmetric R.cube R.sources-resid)
also have ... ≈ t' \ u'
  using ww' 3 4
by (metis Cong-closure-props(4) Cong-imp-arr(2) Cong-symmetric R.con-imp-coinitial
      R.con-implies-arr(2) forward-stable R.sources-resid R.arr-resid-iff-con)
finally show t \ u ≈ t' \ u' by simp
qed

lemma Cong-subst-con:
assumes R.sources t = R.sources u and R.sources t' = R.sources u'
and t ≈ t' and u ≈ u'
shows t ∼ u ↔ t' ∼ u'
  using assms by (meson Cong-subst(1) Cong-symmetric)

lemma Cong0-composite-of-arr-normal:
assumes R.composite-of t u t' and u ∈ ℙ
shows t' ≈₀ t
  using assms backward-stable R.composite-of-def ide-closed by blast

lemma Cong-composite-of-normal-arr:
assumes R.composite-of u t t' and u ∈ ℙ
shows t' ≈ t
  using assms
  by (meson Cong-closure-props(2–4) R.arr-composite-of ide-closed R.composite-of-def
      R.sources-composite-of)

end

context normal-sub-rts
begin

  Coherence is not an arbitrary property: here we show that substitutivity of congruence
  in residuation is equivalent to the “opposing spans” form of coherence.

  lemma Cong-subst-iff-coherent':
    shows (∀ t t' u u'. t ≈ t' ∧ u ≈ u' ∧ t ∼ u ∧ R.sources t' = R.sources u'
          → t' ∼ u' ∧ t \ u ≈ t' \ u')
    ↔
    (∀ t t' v v' w w'. v ∈ ℙ ∧ v' ∈ ℙ ∧ w ∈ ℙ ∧ w' ∈ ℙ ∧
     R.sources v = R.sources w ∧ R.sources v' = R.sources w' ∧
     R.targets w = R.targets w' ∧ t \ v ≈₀ t' \ v'
     → t \ w ≈₀ t' \ w')

  proof
    assume 1: ∀ t t' u u'. t ≈ t' ∧ u ≈ u' ∧ t ∼ u ∧ R.sources t' = R.sources u'

```

$\longrightarrow t' \smallfrown u' \wedge t \setminus u \approx_0 t' \setminus u'$   
**show**  $\forall t t' v v' w w'. v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge$   
 $R.sources\ v = R.sources\ w \wedge R.sources\ v' = R.sources\ w' \wedge$   
 $R.targets\ w = R.targets\ w' \wedge t \setminus v \approx_0 t' \setminus v'$   
 $\longrightarrow t \setminus w \approx_0 t' \setminus w'$   
**proof** (*intro allI impI, elim conjE*)  
**fix**  $t t' v v' w w'$   
**assume**  $v: v \in \mathfrak{N}$  **and**  $v': v' \in \mathfrak{N}$  **and**  $w: w \in \mathfrak{N}$  **and**  $w': w' \in \mathfrak{N}$   
**and**  $sources\text{-}vw: R.sources\ v = R.sources\ w$   
**and**  $sources\text{-}v'w': R.sources\ v' = R.sources\ w'$   
**and**  $targets\text{-}ww': R.targets\ w = R.targets\ w'$   
**and**  $tt': (t \setminus v) \setminus (t' \setminus v') \in \mathfrak{N}$  **and**  $t't: (t' \setminus v') \setminus (t \setminus v) \in \mathfrak{N}$   
**show**  $t \setminus w \approx_0 t' \setminus w'$   
**proof** –  
**have** 2:  $\bigwedge t t' u u'. [t \approx t'; u \approx u'; t \smallfrown u; R.sources\ t' = R.sources\ u]$   
 $\implies t' \smallfrown u' \wedge t \setminus u \approx_0 t' \setminus u'$   
**using** 1 **by** *blast*  
**have** 3:  $t \setminus w \approx_0 t \setminus v \wedge t' \setminus w' \approx_0 t' \setminus v'$   
**by** (*metis tt' t't sources-vw sources-v'w' Cong0-subst-right(2)*  
*Cong-closure-props(4) Cong-def R.arr-resid-iff-con Cong-closure-props(3)*  
*Cong-imp-arr(1) normal-is-Cong-closed v w v' w'*)  
**have**  $(t \setminus w) \setminus (t' \setminus w') \approx_0 (t \setminus v) \setminus (t' \setminus v')$   
**using** 2 [*of t \setminus w t \setminus v t' \setminus w' t' \setminus v'*] 3  
**by** (*metis tt' t't targets-ww' 1 Cong0-imp-con Cong-imp-arr(1) Cong-symmetric*  
*R.arr-resid-iff-con R.sources-resid*)  
**moreover have**  $(t' \setminus w') \setminus (t \setminus w) \approx_0 (t' \setminus v') \setminus (t \setminus v)$   
**using** 2 3  
**by** (*metis tt' t't targets-ww' Cong0-imp-con Cong-symmetric*  
*Cong-imp-arr(1) R.arr-resid-iff-con R.sources-resid*)  
**ultimately show** ?thesis  
**by** (*meson tt' t't normal-is-Cong-closed Cong-symmetric*)  
**qed**  
**qed**  
**next**  
**assume** 1:  $\forall t t' v v' w w'. v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge$   
 $R.sources\ v = R.sources\ w \wedge R.sources\ v' = R.sources\ w' \wedge$   
 $R.targets\ w = R.targets\ w' \wedge t \setminus v \approx_0 t' \setminus v'$   
 $\longrightarrow t \setminus w \approx_0 t' \setminus w'$   
**show**  $\forall t t' u u'. t \approx t' \wedge u \approx u' \wedge t \smallfrown u \wedge R.sources\ t' = R.sources\ u'$   
 $\longrightarrow t' \smallfrown u' \wedge t \setminus u \approx_0 t' \setminus u'$   
**proof** (*intro allI impI, elim conjE, intro conjI*)  
**have** \*:  $\bigwedge t t' v v' w w'. [v \in \mathfrak{N}; v' \in \mathfrak{N}; w \in \mathfrak{N}; w' \in \mathfrak{N};$   
 $R.sources\ v = R.sources\ w; R.sources\ v' = R.sources\ w';$   
 $R.targets\ v = R.targets\ v'; R.targets\ w = R.targets\ w';$   
 $t \setminus v \approx_0 t' \setminus v']$   
 $\implies t \setminus w \approx_0 t' \setminus w'$   
**using** 1 **by** *metis*  
**fix**  $t t' u u'$   
**assume**  $tt': t \approx t'$  **and**  $uu': u \approx u'$  **and**  $con: t \smallfrown u$

```

and  $t'u' : R.sources t' = R.sources u'$ 
obtain  $v v' \text{ where } vv' : v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge t \setminus v \approx_0 t' \setminus v'$ 
  using  $tt' \text{ by auto}$ 
obtain  $w w' \text{ where } ww' : w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge u \setminus w \approx_0 u' \setminus w'$ 
  using  $uu' \text{ by auto}$ 
let  $?x = t \setminus v \text{ and } ?x' = t' \setminus v'$ 
let  $?y = u \setminus w \text{ and } ?y' = u' \setminus w'$ 
have  $xx' : ?x \approx_0 ?x'$ 
  using  $tt' vv' \text{ by blast}$ 
have  $yy' : ?y \approx_0 ?y'$ 
  using  $uu' ww' \text{ by blast}$ 
have  $1 : t \setminus w \approx_0 t' \setminus w'$ 
proof -
  have  $R.sources v = R.sources w \wedge R.sources v' = R.sources w'$ 
  proof
    show  $R.sources v' = R.sources w'$ 
    using  $Congo\_imp-con R.arr-resid-iff-con R.coinitial-iff R.con-imp-coinitial$ 
           $t'u' vv' ww'$ 
    by metis
    show  $R.sources v = R.sources w$ 
    by (metis con elements-are-arr R.not-arr-null R.null-is-zero(2) R.conI
        R.con-imp-common-source rts.sources-eqI R.rts-axioms vv' ww')
  qed
  moreover have  $R.targets v = R.targets v' \wedge R.targets w = R.targets w'$ 
  by (metis Congo\_imp-coinitial Congo\_imp-con R.arr-resid-iff-con
      R.con-implies-arr(2) R.sources-resid vv' ww')
  ultimately show ?thesis
    using  $vv' ww' xx'$ 
    by (intro * [of v v' w w' t t']) auto
qed
have  $\mathcal{Q} : t' \setminus w' \succsim u' \setminus w'$ 
  using 1  $tt' ww'$ 
  by (meson Congo\_imp-con Congo\_subst-Con R.arr-resid-iff-con con R.con-imp-coinitial
      R.con-implies-arr(2) resid-along-elem-preserves-con)
thus  $\beta : t' \succsim u'$ 
  using  $ww' R.cube$  by force
have  $t \setminus u \approx (t \setminus u) \setminus (w \setminus u)$ 
  by (metis Cong-closure-props(4) R.arr-resid-iff-con con R.con-imp-coinitial
      elements-are-arr forward-stable R.con-implies-arr(2) R.sources-resid ww')
also have  $(t \setminus u) \setminus (w \setminus u) \approx ((t \setminus u) \setminus (w \setminus u)) \setminus (?y' \setminus ?y)$ 
  using  $yy'$ 
  by (metis Congo\_imp-con Cong-closure-props(4) Cong-imp-arr(2)
      R.arr-resid-iff-con calculation R.con-implies-arr(2) R.sources-resid R.targets-resid-sym)
also have ...  $\approx (((t \setminus w) \setminus ?y) \setminus (?y' \setminus ?y))$ 
  using  $ww'$ 
  by (metis Cong-imp-arr(2) Cong-reflexive calculation R(cube))
also have ...  $\approx (((t' \setminus w') \setminus ?y) \setminus (?y' \setminus ?y))$ 
proof -
  have  $((t \setminus w) \setminus ?y) \setminus (?y' \setminus ?y) \approx_0 ((t' \setminus w') \setminus ?y) \setminus (?y' \setminus ?y)$ 

```

```

using 1 2 Congo-subst-left(2)
by (meson Congo-subst-Con calculation Cong-imp-arr(2) R.arr-resid-iff-con ww')
thus ?thesis
  using Congo-implies-Cong by presburger
qed
also have ... ≈ ((t' \ w') \ ?y') \ (?y \ ?y')
  by (meson 2 Congo-implies-Cong Congo-subst-right(2) ww')
also have 4: ... ≈ (t' \ u') \ (w' \ u')
  using 2 ww'
by (metis Congo-imp-con Cong-closure-props(4) Cong-symmetric R.cube R.sources-resid)
also have ... ≈ t' \ u'
  using ww' 2 3 4
by (metis Cong'.intros(1) Cong'.intros(4) Cong-char Cong-imp-arr(2)
      R.arr-resid-iff-con forward-stable R.con-imp-coinitial R.sources-resid
      R.con-implies-arr(2))
finally show t \ u ≈ t' \ u' by simp
qed
qed

end

```

### 2.3.6 Quotient by Coherent Normal Sub-RTS

We now define the quotient of an RTS by a coherent normal sub-RTS and show that it is an extensional RTS.

```

locale quotient-by-coherent-normal =
  R: rts +
  N: coherent-normal-sub-rts
begin

definition Resid (infix `{|}` 70)
where T `{|}` U ≡
  if N.is-Cong-class T ∧ N.is-Cong-class U ∧ (∃ t u. t ∈ T ∧ u ∈ U ∧ t ∼ u)
  then N.Cong-class
    (fst (SOME tu. fst tu ∈ T ∧ snd tu ∈ U ∧ fst tu ∼ snd tu) \
     snd (SOME tu. fst tu ∈ T ∧ snd tu ∈ U ∧ fst tu ∼ snd tu))
  else {}

sublocale partial-magma Resid
  using N.Cong-class-is-nonempty Resid-def
  by unfold-locales metis

lemma is-partial-magma:
shows partial-magma Resid
..

lemma null-char:
shows null = {}
  using N.Cong-class-is-nonempty Resid-def

```

by (metis null-is-zero(2))

**lemma** Resid-by-members:

**assumes** N.is-Cong-class  $\mathcal{T}$  **and** N.is-Cong-class  $\mathcal{U}$  **and**  $t \in \mathcal{T}$  **and**  $u \in \mathcal{U}$  **and**  $t \sim u$   
**shows**  $\mathcal{T} \setminus \mathcal{U} = \{t \setminus u\}$

using assms Resid-def someI-ex [of  $\lambda tu. fst tu \in \mathcal{T} \wedge snd tu \in \mathcal{U} \wedge fst tu \sim snd tu$ ]  
apply simp

by (meson N.Cong-class-mems-are-Cong N.Cong-class-eqI N.Cong-subst(2)  
R.coinitial-iff R.con-imp-coinitial)

**abbreviation** Con (infix  $\setminus$  50)

**where**  $\mathcal{T} \setminus \mathcal{U} \equiv \mathcal{T} \setminus \mathcal{U} \neq \{\}$

**lemma** Con-char:

**shows**  $\mathcal{T} \setminus \mathcal{U} \longleftrightarrow$

N.is-Cong-class  $\mathcal{T}$  **and** N.is-Cong-class  $\mathcal{U}$  **and** ( $\exists t u. t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u$ )

by (metis (no-types, opaque-lifting) N.Cong-class-is-nonempty N.is-Cong-classI  
Resid-def Resid-by-members R.arr-resid-iff-con)

**lemma** Con-sym:

**assumes** Con  $\mathcal{T} \mathcal{U}$

**shows** Con  $\mathcal{U} \mathcal{T}$

using assms Con-char R.con-sym by meson

**lemma** is-Cong-class-Resid:

**assumes**  $\mathcal{T} \setminus \mathcal{U}$

**shows** N.is-Cong-class ( $\mathcal{T} \setminus \mathcal{U}$ )

using assms Con-char Resid-by-members R.arr-resid-iff-con N.is-Cong-classI by auto

**lemma** Con-witnesses:

**assumes**  $\mathcal{T} \setminus \mathcal{U}$  **and**  $t \in \mathcal{T}$  **and**  $u \in \mathcal{U}$

**shows**  $\exists v w. v \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge t \setminus v \sim u \setminus w$

**proof** –

have 1: N.is-Cong-class  $\mathcal{T}$  **and** N.is-Cong-class  $\mathcal{U}$  **and** ( $\exists t u. t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u$ )

using assms Con-char by simp

obtain  $t' u'$  where  $t' u': t' \in \mathcal{T} \wedge u' \in \mathcal{U} \wedge t' \sim u'$

using 1 by auto

have 2:  $t' \approx t \wedge u' \approx u$

using assms 1 t'u' N.Cong-class-mems-are-Cong by auto

obtain  $v v'$  where  $v v': v \in \mathfrak{N} \wedge v' \in \mathfrak{N} \wedge t' \setminus v \approx_0 t \setminus v'$

using 2 by auto

obtain  $w w'$  where  $w w': w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge u' \setminus w \approx_0 u \setminus w'$

using 2 by auto

have 3:  $w \sim v$

by (metis R.arr-resid-iff-con R.con-def R.con-imp-coinitial R.ex-un-null

N.elements-are-arr R.null-is-zero(2) N.resid-along-elem-preserves-con t'u' vv' ww')

have R.seq v (w \ v)

by (simp add: N.elements-are-arr R.seq-def 3 vv')

obtain x where x: R.composite-of v (w \ v) x

```

using N.composite-closed-left <R.seq v (w \ v)> vv' by blast
obtain x' where x': R.composite-of v' (w \ v) x'
  using x vv' N.composite-closed-left
  by (metis N.Congo-implies-Cong N.Congo-imp-coinitial N.Cong-imp-arr(1)
      R.composable-def R.composable-imp-seq R.con-implies-arr(2)
      R.seq-def R.sources-resid R.arr-resid-iff-con)
have *: t' \ x ≈₀ t \ x'
  by (metis N.coherent' N.composite-closed N.forward-stable R.con-imp-coinitial
      R.targets-composite-of 3 R.con-sym R.sources-composite-of vv' ww' x x')
obtain y where y: R.composite-of w (v \ w) y
  using x vv' ww'
  by (metis R.arr-resid-iff-con R.composable-def R.composable-imp-seq
      R.con-imp-coinitial R.seq-def R.sources-resid N.elements-are-arr
      N.forward-stable N.composite-closed-left)
obtain y' where y': R.composite-of w' (v \ w) y'
  using y ww'
  by (metis N.Congo-imp-coinitial N.Cong-closure-props(3) N.Cong-imp-arr(1)
      R.composable-def R.composable-imp-seq R.con-implies-arr(2) R.seq-def
      R.sources-resid N.composite-closed-left R.arr-resid-iff-con)
have **: u' \ y ≈₀ u \ y'
  by (metis N.composite-closed N.forward-stable R.con-imp-coinitial R.targets-composite-of
      <w ∘ v> N.coherent' R.sources-composite-of vv' ww' y y')
have 4: x ∈ Ω ∧ y ∈ Ω
  using x y vv' ww' ** *
  by (metis 3 N.composite-closed N.forward-stable R.con-imp-coinitial R.con-sym)
have t \ x' ∘ u \ y'
proof -
  have t \ x' ≈₀ t' \ x
    using * by simp
  moreover have t' \ x ∘ u' \ y
  proof -
    have t' \ x ∘ u' \ x
    using t'u' vv' ww' 4 *
    by (metis N.Resid-along-normal-preserves-reflects-con N.elements-are-arr
        R.coinitial-iff R.con-imp-coinitial R.arr-resid-iff-con)
  moreover have u' \ x ≈₀ u' \ y
    using ww' x y
    by (metis 4 N.Congo-imp-coinitial N.Congo-imp-con N.Congo-transitive
        N.coherent' N.factor-closed(2) R.sources-composite-of
        R.targets-composite-of R.targets-resid-sym)
  ultimately show ?thesis
    using N.Congo-subst-right by blast
qed
moreover have u' \ y ≈₀ u \ y'
  using ** R.con-sym by simp
ultimately show ?thesis
  using N.Congo-subst-Con by auto
qed
moreover have x' ∈ Ω ∧ y' ∈ Ω

```

```

using  $x' y' vv' ww'$ 
by (metis N.Cong-composite-of-normal-arr N.Cong-imp-arr(2) N.composite-closed
R.con-imp-coinitial N.forward-stable R.arr-resid-iff-con)
ultimately show ?thesis by auto
qed

abbreviation Arr
where Arr  $\mathcal{T} \equiv \text{Con } \mathcal{T} \mathcal{T}$ 

lemma Arr-Resid:
assumes Con  $\mathcal{T} \mathcal{U}$ 
shows Arr  $(\mathcal{T} \setminus \mathcal{U})$ 
by (metis Con-char N.Cong-class-memb-is-arr R.arrE N.rep-in-Cong-class
assms is-Cong-class-Resid)

lemma Cube:
assumes Con  $(\mathcal{V} \setminus \mathcal{T}) (\mathcal{U} \setminus \mathcal{T})$ 
shows  $(\mathcal{V} \setminus \mathcal{T}) \setminus (\mathcal{U} \setminus \mathcal{T}) = (\mathcal{V} \setminus \mathcal{U}) \setminus (\mathcal{T} \setminus \mathcal{U})$ 
proof –
  obtain  $t u$  where  $tu: t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \succ u \wedge \mathcal{T} \setminus \mathcal{U} = \{t \setminus u\}$ 
    using assms
    by (metis Con-char N.Cong-class-is-nonempty R.con-sym Resid-by-members)
  obtain  $t' v$  where  $t'v: t' \in \mathcal{T} \wedge v \in \mathcal{V} \wedge t' \succ v \wedge \mathcal{T} \setminus \mathcal{V} = \{t' \setminus v\}$ 
    using assms
    by (metis Con-char N.Cong-class-is-nonempty Resid-by-members Con-sym)
  have  $tt': t \approx t'$ 
    using assms
    by (metis N.Cong-class-mems-are-Cong N.Cong-class-is-nonempty Resid-def t'v tu)
  obtain  $w w'$  where  $ww': w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge t \setminus w \approx_0 t' \setminus w'$ 
    using  $tu t'v tt'$  by auto
  have  $1: \mathcal{U} \setminus \mathcal{T} = \{u \setminus t\} \wedge \mathcal{V} \setminus \mathcal{T} = \{v \setminus t'\}$ 
    by (metis Con-char N.Cong-class-is-nonempty R.con-sym Resid-by-members assms t'v tu)
  obtain  $x x'$  where  $xx': x \in \mathfrak{N} \wedge x' \in \mathfrak{N} \wedge (u \setminus t) \setminus x \succ (v \setminus t') \setminus x'$ 
    using 1 Con-witnesses [of  $\mathcal{U} \setminus \mathcal{T} \mathcal{V} \setminus \mathcal{T} u \setminus t v \setminus t'$ ]
    by (metis N.arr-in-Cong-class R.con-sym t'v tu assms Con-sym R.arr-resid-iff-con)
  have  $R.seq t x$ 
    by (metis R.arr-resid-iff-con R.coinitial-iff R.con-imp-coinitial R.seqI(2)
R.sources-resid xx')
  have  $R.seq t' x'$ 
    by (metis R.arr-resid-iff-con R.sources-resid R.coinitialE R.con-imp-coinitial
R.seqI(2) xx')
  obtain  $tx$  where  $tx: R.composite-of t x tx$ 
    using  $xx' \langle R.seq t x \rangle N.composite-closed-right [of x t] R.composable-def by auto
  obtain  $t'x'$  where  $t'x': R.composite-of t' x' t'x'$ 
    using  $xx' \langle R.seq t' x' \rangle N.composite-closed-right [of x' t'] R.composable-def by auto
  let  $?tx-w = tx \setminus w$  and  $?t'x'-w' = t'x' \setminus w'$ 
  let  $?w-tx = (w \setminus t) \setminus x$  and  $?w'-t'x' = (w' \setminus t') \setminus x'$ 
  let  $?u-tx = (u \setminus t) \setminus x$  and  $?v-t'x' = (v \setminus t') \setminus x'$ 
  let  $?u-w = u \setminus w$  and  $?v-w' = v \setminus w'$$$ 
```

```

let ?w-u = w \ u and ?w'-v = w' \ v
have w-tx-in- $\mathfrak{N}$ : ?w-tx  $\in$   $\mathfrak{N}$ 
  using tx ww' xx' R.con-composite-of-iff [of t x tx w]
  by (metis (full-types) N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
    N.forward-stable R.null-is-zero(2) R.con-imp-coinitial R.conI R.con-sym)
have w'-t'x'-in- $\mathfrak{N}$ : ?w'-t'x'  $\in$   $\mathfrak{N}$ 
  using t'x' ww' xx' R.con-composite-of-iff [of t' x' t'x' w]
  by (metis (full-types) N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
    R.con-sym N.forward-stable R.null-is-zero(2) R.con-imp-coinitial R.conI)
have 2: ?tx-w  $\approx_0$  ?t'x'-w'
proof -
  have ?tx-w  $\approx_0$  t \ w
    using t'x' tx ww' xx' N.Congo-composite-of-arr-normal [of t x tx] N.Congo-subst-left(2)
    by (metis N.Congo-transitive R.conI)
  also have t \ w  $\approx_0$  t' \ w'
    using ww' by blast
  also have t' \ w'  $\approx_0$  ?t'x'-w'
    using t'x' tx ww' xx' N.Congo-composite-of-arr-normal [of t' x' t'x'] N.Congo-subst-left(2)
    by (metis N.Congo-transitive R.conI)
  finally show ?thesis by blast
qed
obtain z where z: R.composite-of ?tx-w (?t'x'-w' \ ?tx-w) z
  by (metis 2 R.arr-resid-iff-con R.con-implies-arr(2) N.elements-are-arr
    N.composite-closed-right R.seqI(1) R.sources-resid)
obtain z' where z': R.composite-of ?t'x'-w' (?tx-w \ ?t'x'-w') z'
  by (metis 2 R.arr-resid-iff-con R.con-implies-arr(2) N.elements-are-arr
    N.composite-closed-right R.seqI(1) R.sources-resid)
have 3: z  $\approx_0$  z'
  using 2 N.diamond-commutes-up-to-Congo N.Congo-imp-con z z' by blast
have R.targets z = R.targets z'
  by (metis R.targets-resid-sym z z' R.targets-composite-of R.conI)
have Con-z-uw: z  $\curvearrowright$  ?u-w
proof -
  have ?tx-w  $\curvearrowright$  ?u-w
    by (meson 3 N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
      R.bounded-imp-con R.con-implies-arr(1) R.con-imp-coinitial
      N.resid-along-elem-preserves-con tu tx ww' xx' z z' R.arr-resid-iff-con)
  thus ?thesis
    using 2 N.Congo-composite-of-arr-normal N.Congo-subst-left(1) z by blast
qed
moreover have Con-z'-vw': z'  $\curvearrowright$  ?v-w'
proof -
  have ?t'x'-w'  $\curvearrowright$  ?v-w'
    by (meson 3 N.Congo-composite-of-arr-normal N.Congo-subst-left(1)
      R.bounded-imp-con t'v t'x' ww' xx' z z' R.con-imp-coinitial
      N.resid-along-elem-preserves-con R.arr-resid-iff-con R.con-implies-arr(1))
  thus ?thesis
    by (meson 2 N.Congo-composite-of-arr-normal N.Congo-subst-left(1) z')
qed

```

```

moreover have Con-z-vw':  $z \sim ?v-w'$ 
  using 3 Con-z'-vw' N.Congo-subst-left(1) by blast
moreover have *:  $?u-w \setminus z \sim ?v-w' \setminus z$ 
proof -
  obtain y where y: R.composite-of ( $w \setminus tx$ ) ( $?t'x'-w' \setminus ?tx-w$ ) y
    by (metis 2 R.arr-resid-iff-con R.composable-def R.composable-imp-seq
        R.con-imp-coinitial N.elements-are-arr N.composite-closed-right
        R.seq-def R.targets-resid-sym ww' z N.forward-stable)
  obtain y' where y': R.composite-of ( $w' \setminus t'x'$ ) ( $?tx-w \setminus ?t'x'-w'$ ) y'
    by (metis 2 R.arr-resid-iff-con R.composable-def R.composable-imp-seq
        R.con-imp-coinitial N.elements-are-arr N.composite-closed-right
        R.targets-resid-sym ww' z' R.seq-def N.forward-stable)
  have y-comp: R.composite-of ( $w \setminus tx$ ) (( $t'x' \setminus w'$ ) \ ( $tx \setminus w$ )) y
    using y by simp
  have y-in-normal:  $y \in \mathfrak{N}$ 
    by (metis 2 Con-z-uw R.arr-iff-has-source R.arr-resid-iff-con N.composite-closed
        R.con-imp-coinitial R.con-implies-arr(1) N.forward-stable
        R.sources-composite-of ww' y-comp z)
  have y-coinitial: R.coinitial y ( $u \setminus tx$ )
    using y R.arr-composite-of R.sources-composite-of
    apply (intro R.coinitialI)
    apply auto
    apply (metis N.Congo-composite-of-arr-normal N.Congo-subst-right(1)
            R.composite-of-cancel-left R.con-sym R.not-ide-null R.null-is-zero(2)
            R.sources-resid R.conI tu tx xx')
    by (metis R.arr-iff-has-source R.not-arr-null R.sources-resid empty-iff R.conI)
  have y-con:  $y \sim u \setminus tx$ 
    using y-in-normal y-coinitial
    by (metis R.coinitial-iff N.elements-are-arr N.forward-stable
        R.arr-resid-iff-con)
  have A:  $?u-w \setminus z \sim (u \setminus tx) \setminus y$ 
  proof -
    have ( $u \setminus tx$ ) \ y ~ (( $u \setminus tx$ ) \ ( $w \setminus tx$ )) \ ( $?t'x'-w' \setminus ?tx-w$ )
      using y-comp y-con
      R.resid-composite-of(3) [of  $w \setminus tx ?t'x'-w' \setminus ?tx-w y u \setminus tx$ ]
      by simp
    also have (( $u \setminus tx$ ) \ ( $w \setminus tx$ )) \ ( $?t'x'-w' \setminus ?tx-w$ ) ~  $?u-w \setminus z$ 
      by (metis Con-z-uw R.resid-composite-of(3) z R.cube)
    finally show ?thesis by blast
  qed
  have y'-comp: R.composite-of ( $w' \setminus t'x'$ ) ( $?tx-w \setminus ?t'x'-w'$ ) y'
    using y' by simp
  have y'-in-normal:  $y' \in \mathfrak{N}$ 
    by (metis 2 Con-z'-vw' R.arr-iff-has-source R.arr-resid-iff-con
        N.composite-closed R.con-imp-coinitial R.con-implies-arr(1)
        N.forward-stable R.sources-composite-of ww' y'-comp z')
  have y'-coinitial: R.coinitial y' ( $v \setminus t'x'$ )
    using y' R.coinitial-def
    by (metis Con-z'-vw' R.arr-resid-iff-con R.composite-ofE R.con-imp-coinitial)

```

$R.con\text{-implies-arr}(1) R.\text{cube} R.\text{prfx-implies-con} R.\text{resid-composite-of}(1)$   
 $R.\text{sources-resid } z')$   
**have**  $y'\text{-con: } y' \sim v \setminus t'x'$   
**using**  $y'\text{-in-normal } y'\text{-coinitial}$   
**by** (metis  $R.\text{coinitial-iff } N.\text{elements-are-arr} N.\text{forward-stable}$   
 $R.\text{arr-resid-iff-con}$ )  
**have**  $B: ?v\text{-}w' \setminus z' \sim (v \setminus t'x') \setminus y'$   
**proof** –  
**have**  $(v \setminus t'x') \setminus y' \sim ((v \setminus t'x') \setminus (w' \setminus t'x')) \setminus (?tx\text{-}w \setminus ?t'x'\text{-}w')$   
**using**  $y'\text{-comp } y'\text{-con}$   
 $R.\text{resid-composite-of}(3) [\text{of } w' \setminus t'x' ?tx\text{-}w \setminus ?t'x'\text{-}w' y' v \setminus t'x']$   
**by** blast  
**also have**  $((v \setminus t'x') \setminus (w' \setminus t'x')) \setminus (?tx\text{-}w \setminus ?t'x'\text{-}w') \sim ?v\text{-}w' \setminus z'$   
**by** (metis  $Con\text{-}z'\text{-}vw' R.\text{cube} R.\text{resid-composite-of}(3) z')$   
**finally show**  $?thesis$  by blast  
**qed**  
**have**  $C: u \setminus tx \sim v \setminus t'x'$   
**using**  $tx\text{ }t'x'\text{ }xx' R.\text{con-sym} R.\text{cong-subst-right}(1) R.\text{resid-composite-of}(3)$   
**by** (meson  $R.\text{coinitial-iff } R.\text{arr-resid-iff-con } y'\text{-coinitial } y\text{-coinitial}$ )  
**have**  $D: y \approx_0 y'$   
**proof** –  
**have**  $y \approx_0 w \setminus tx$   
**using** 2  $N.\text{Cong}_0\text{-composite-of-arr-normal } y\text{-comp}$  by blast  
**also have**  $w \setminus tx \approx_0 w' \setminus t'x'$   
**proof** –  
**have**  $w \setminus tx \in \mathfrak{N} \wedge w' \setminus t'x' \in \mathfrak{N}$   
**using**  $N.\text{factor-closed}(1) y\text{-comp } y\text{-in-normal } y'\text{-comp } y'\text{-in-normal}$  by blast  
**moreover have**  $R.\text{coinitial } (w \setminus tx) (w' \setminus t'x')$   
**by** (metis  $C R.\text{coinitial-def } R.\text{con-implies-arr}(2) N.\text{elements-are-arr}$   
 $R.\text{sources-resid calculation } R.\text{con-imp-coinitial } R.\text{arr-resid-iff-con } y\text{-con}$ )  
**ultimately show**  $?thesis$   
**by** (meson  $R.\text{arr-resid-iff-con } R.\text{con-imp-coinitial } N.\text{forward-stable}$   
 $N.\text{elements-are-arr}$ )  
**qed**  
**also have**  $w' \setminus t'x' \approx_0 y'$   
**using** 2  $N.\text{Cong}_0\text{-composite-of-arr-normal } y'\text{-comp}$  by blast  
**finally show**  $?thesis$  by blast  
**qed**  
**have**  $\text{par-}y\text{-}y': R.\text{sources } y = R.\text{sources } y' \wedge R.\text{targets } y = R.\text{targets } y'$   
**using**  $D N.\text{Cong}_0\text{-imp-coinitial } R.\text{targets-composite-of } y'\text{-comp } y\text{-comp } z z'$   
 $\langle R.\text{targets } z = R.\text{targets } z' \rangle$   
**by** presburger  
**have**  $E: (u \setminus tx) \setminus y \sim (v \setminus t'x') \setminus y'$   
**proof** –  
**have**  $(u \setminus tx) \setminus y \sim (v \setminus t'x') \setminus y$   
**using** C  $N.\text{Resid-along-normal-preserves-reflects-con } R.\text{coinitial-iff}$   
 $y\text{-coinitial } y\text{-in-normal}$   
**by** presburger  
**moreover have**  $(v \setminus t'x') \setminus y \approx_0 (v \setminus t'x') \setminus y'$

```

using par-y-y' N.coherent R.coinitial-iff y'-coinitial y'-in-normal y-in-normal
by presburger
ultimately show ?thesis
  using N.Cong0-subst-right(1) by blast
qed
hence ?u-w \ z ∼ ?v-w' \ z'
proof -
  have (u \ tx) \ y ∼ ?u-w \ z
    using A by simp
  moreover have (u \ tx) \ y ∼ (v \ t'x') \ y'
    using E by blast
  moreover have (v \ t'x') \ y' ∼ ?v-w' \ z'
    using B R.cong-symmetric by blast
  moreover have R.sources ((u \ w) \ z) = R.sources ((v \ w') \ z')
    by (simp add: Con-z'-vw' Con-z-uw R.con-sym ‹R.targets z = R.targets z'›)
  ultimately show ?thesis
    by (meson N.Cong0-subst-Con N.ide-closed)
qed
moreover have ?v-w' \ z' ≈ ?v-w' \ z
  by (meson 3 Con-z-vw' N.CongI N.Cong0-subst-right(2) R.con-sym)
moreover have R.sources ((v \ w') \ z) = R.sources ((u \ w) \ z)
  by (metis R.con-implies-arr(1) R.sources-resid calculation(1) calculation(2)
      N.Cong-imp-arr(2) R.arr-resid-iff-con)
ultimately show ?thesis
  by (metis N.Cong-reflexive N.Cong-subst(1) R.con-implies-arr(1))
qed
ultimately have **: ?v-w' \ z ∼ ?u-w \ z ∧
  (?v-w' \ z) \ (?u-w \ z) = (?v-w' \ ?u-w) \ (z \ ?u-w)
  by (meson R.con-sym R(cube))
have Cong-t-z: t ≈ z
  by (metis 2 N.Cong0-composite-of-arr-normal N.Cong-closure-props(2-3)
      N.Cong-closure-props(4) N.Cong-imp-arr(2) R.coinitial-iff R.con-imp-coinitial
      tx ww' xx' z R.arr-resid-iff-con)
have Cong-u-uw: u ≈ ?u-w
  by (meson Con-z-uw N.Cong-closure-props(4) R.coinitial-iff R.con-imp-coinitial
      ww' R.arr-resid-iff-con)
have Cong-v-vw': v ≈ ?v-w'
  by (meson Con-z-vw' N.Cong-closure-props(4) R.coinitial-iff ww' R.con-imp-coinitial
      R.arr-resid-iff-con)
have T: N.is-Cong-class T ∧ z ∈ T
  by (metis (no-types, lifting) Cong-t-z N.Cong-class-eqI N.Cong-class-is-nonempty
      N.Cong-class-memb-Cong-rep N.Cong-class-rep N.Cong-imp-arr(2) N.arr-in-Cong-class
      tu assms Con-char)
have U: N.is-Cong-class U ∧ ?u-w ∈ U
  by (metis Con-char Con-z-uw Cong-u-uw Int-iff N.Cong-class-eqI' N.Cong-class-eqI
      N.arr-in-Cong-class R.con-implies-arr(2) N.is-Cong-classI tu assms empty-iff)
have V: N.is-Cong-class V ∧ ?v-w' ∈ V
  by (metis Con-char Con-z-vw' Cong-v-vw' Int-iff N.Cong-class-eqI' N.Cong-class-eqI
      N.arr-in-Cong-class R.con-implies-arr(2) N.is-Cong-classI t'v assms empty-iff)

```

```

show ( $\mathcal{V} \setminus \mathcal{T}$ )  $\setminus$  ( $\mathcal{U} \setminus \mathcal{T}$ ) = ( $\mathcal{V} \setminus \mathcal{U}$ )  $\setminus$  ( $\mathcal{T} \setminus \mathcal{U}$ )
proof –
  have ( $\mathcal{V} \setminus \mathcal{T}$ )  $\setminus$  ( $\mathcal{U} \setminus \mathcal{T}$ ) =  $\{(\mathcal{V} \setminus \mathcal{T}) \setminus (\mathcal{U} \setminus \mathcal{T})\}$ 
    using  $\mathcal{T} \mathcal{U} \mathcal{V} * \text{Resid-by-members}$ 
  by (metis ** Con-char N.arr-in-Cong-class R.arr-resid-iff-con assms R.con-implies-arr(2))
  moreover have ( $\mathcal{V} \setminus \mathcal{U}$ )  $\setminus$  ( $\mathcal{T} \setminus \mathcal{U}$ ) =  $\{(\mathcal{V} \setminus \mathcal{U}) \setminus (\mathcal{T} \setminus \mathcal{U})\}$ 
    using Resid-by-members [of  $\mathcal{V} \mathcal{U} \mathcal{V} \setminus \mathcal{U}$ ] Resid-by-members [of  $\mathcal{T} \mathcal{U} \mathcal{T} \setminus \mathcal{U}$ ]
    Resid-by-members [of  $\mathcal{V} \setminus \mathcal{U}$ ] Resid-by-members [of  $\mathcal{T} \setminus \mathcal{U}$ ]
    by (metis  $\mathcal{T} \mathcal{U} \mathcal{V} * \text{N.arr-in-Cong-class R.con-implies-arr(2) N.is-Cong-classI}$ 
      R.resid-reflects-con R.arr-resid-iff-con)
  ultimately show ?thesis
    using ** by simp
  qed
qed

sublocale residuation Resid
  using null-char Con-sym Arr-Resid Cube
  by unfold-locales metis+

lemma is-residuation:
shows residuation Resid
  ..
lemma arr-char:
shows arr  $\mathcal{T} \longleftrightarrow \text{N.is-Cong-class } \mathcal{T}$ 
by (metis N.is-Cong-class-def arrI not-arr-null null-char N.Cong-class-memb-is-arr
  Con-char R.arrE arrE arr-resid conI)

lemma ide-char:
shows ide  $\mathcal{U} \longleftrightarrow \text{arr } \mathcal{U} \wedge \mathcal{U} \cap \mathfrak{N} \neq \{\}$ 
proof
  show ide  $\mathcal{U} \implies \text{arr } \mathcal{U} \wedge \mathcal{U} \cap \mathfrak{N} \neq \{\}$ 
    apply (elim ideE)
    by (metis Con-char N.Cong0-reflexive Resid-by-members disjoint-iff null-char
      N.arr-in-Cong-class R.arrE R.arr-resid arr-resid conE)
  show arr  $\mathcal{U} \wedge \mathcal{U} \cap \mathfrak{N} \neq \{\} \implies \text{ide } \mathcal{U}$ 
proof –
  assume  $\mathcal{U}: \text{arr } \mathcal{U} \wedge \mathcal{U} \cap \mathfrak{N} \neq \{\}$ 
  obtain  $u$  where  $u: \text{R.arr } u \wedge u \in \mathcal{U} \cap \mathfrak{N}$ 
    using  $\mathcal{U}$  arr-char
    by (metis IntI N.Cong-class-memb-is-arr disjoint-iff)
  show ?thesis
    by (metis IntD1 IntD2 N.Cong-class-eqI N.Cong-closure-props(4) N.arr-in-Cong-class
      N.is-Cong-classI Resid-by-members  $\mathcal{U}$  arrE arr-char disjoint-iff ideI
      N.Cong-class-eqI' R.arrE u)
  qed
qed

lemma ide-char':

```

**shows**  $\text{ide } \mathcal{A} \longleftrightarrow \text{arr } \mathcal{A} \wedge \mathcal{A} \subseteq \mathfrak{N}$   
**by** (metis Int-absorb2 Int-emptyI N.Cong-class-memb-Cong-rep N.Cong-closure-props(1)  
 $\text{ide-char not-arr-null null-char N.normal-is-Cong-closed arr-char subsetI}$ )

**lemma**  $\text{con-char}_{QCN}$ :  
**shows**  $\text{con } \mathcal{T} \mathcal{U} \longleftrightarrow$   
 $N.\text{is-Cong-class } \mathcal{T} \wedge N.\text{is-Cong-class } \mathcal{U} \wedge (\exists t u. t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u)$   
**by** (metis Con-char coneE conI null-char)

**lemma**  $\text{con-imp-coinitial-members-are-con}$ :  
**assumes**  $\text{con } \mathcal{T} \mathcal{U}$  **and**  $t \in \mathcal{T}$  **and**  $u \in \mathcal{U}$  **and**  $R.\text{sources } t = R.\text{sources } u$   
**shows**  $t \sim u$   
**by** (meson assms N.Cong-subst(1) N.is-Cong-classE con-char<sub>QCN</sub>)

**sublocale**  $rts \text{ Resid}$   
**proof**  
**show** 1:  $\bigwedge \mathcal{A} \mathcal{T}. [\text{ide } \mathcal{A}; \text{con } \mathcal{T} \mathcal{A}] \implies \mathcal{T} \setminus \mathcal{A} = \mathcal{T}$   
**proof** –  
  fix  $\mathcal{A} \mathcal{T}$   
  **assume**  $\mathcal{A}: \text{ide } \mathcal{A}$  **and**  $\text{con}: \text{con } \mathcal{T} \mathcal{A}$   
  **obtain**  $t a$  **where**  $ta: t \in \mathcal{T} \wedge a \in \mathcal{A} \wedge R.\text{con } t a \wedge \mathcal{T} \setminus \mathcal{A} = \{t \setminus a\}$   
    **using**  $\text{con-char}_{QCN}$  Resid-by-members **by** auto  
  **have**  $a \in \mathfrak{N}$   
    **using**  $\mathcal{A} ta \text{ ide-char}'$  **by** auto  
  **hence**  $t \setminus a \approx t$   
    **by** (meson N.Cong-closure-props(4) N.Cong-symmetric R.coinitialE R.con-imp-coinitial  
       $ta$ )  
  **thus**  $\mathcal{T} \setminus \mathcal{A} = \mathcal{T}$   
    **using**  $ta$   
  **by** (metis N.Cong-class-eqI N.Cong-class-memb-Cong-rep N.Cong-class-rep con char<sub>QCN</sub>)  
**qed**  
**show**  $\bigwedge \mathcal{T}. \text{arr } \mathcal{T} \implies \text{ide } (\text{trg } \mathcal{T})$   
**by** (metis N.Cong0-reflexive Resid-by-members disjoint-iff ide-char N.Cong-class-memb-is-arr  
   $N.\text{arr-in-Cong-class } N.\text{is-Cong-class-def arr-char } R.\text{arrE } R.\text{arr-resid resid-arr-self})$   
**show**  $\bigwedge \mathcal{A} \mathcal{T}. [\text{ide } \mathcal{A}; \text{con } \mathcal{A} \mathcal{T}] \implies \text{ide } (\mathcal{A} \setminus \mathcal{T})$   
  **by** (metis 1 arrE arr-resid con-sym ideE cube)  
**show**  $\bigwedge \mathcal{T} \mathcal{U}. \text{con } \mathcal{T} \mathcal{U} \implies \exists \mathcal{A}. \text{ide } \mathcal{A} \wedge \text{con } \mathcal{A} \mathcal{T} \wedge \text{con } \mathcal{A} \mathcal{U}$   
**proof** –  
  fix  $\mathcal{T} \mathcal{U}$   
  **assume**  $\mathcal{T} \mathcal{U}: \text{con } \mathcal{T} \mathcal{U}$   
  **obtain**  $t u$  **where**  $tu: \mathcal{T} = \{t\} \wedge \mathcal{U} = \{u\} \wedge t \sim u$   
    **using**  $\mathcal{T} \mathcal{U}$  con-char<sub>QCN</sub> arr-char  
    **by** (metis N.Cong-class-memb-Cong-rep N.Cong-class-eqI N.Cong-class-rep)  
  **obtain**  $a$  **where**  $a: a \in R.\text{sources } t$   
    **using**  $\mathcal{T} \mathcal{U} tu R.\text{con-implies-arr}(1) R.\text{arr-iff-has-source}$  **by** blast  
  **have**  $\text{ide } \{a\} \wedge \text{con } \{a\} \mathcal{T} \wedge \text{con } \{a\} \mathcal{U}$   
  **proof** (intro conjI)

```

have 2:  $a \in \mathfrak{N}$ 
  using  $\mathcal{T}\mathcal{U} tu a \text{ arr-char } N.\text{ide-closed } R.\text{sources-def}$  by force
show 3:  $\text{ide } \{a\}$ 
  using  $\mathcal{T}\mathcal{U} tu 2 a \text{ ide-char arr-char } \text{con-char}_{QCN}$ 
  by (metis IntI N.arr-in-Cong-class N.is-Cong-classI empty-iff N.elements-are-arr)
show  $\text{con } \{a\} \mathcal{T}$ 
  using  $\mathcal{T}\mathcal{U} tu 2 3 a \text{ ide-char arr-char } \text{con-char}_{QCN}$ 
  by (metis N.arr-in-Cong-class R.composite-of-source-arr
      R.composite-of-def R.prfx-implies-con R.con-implies-arr(1))
show  $\text{con } \{a\} \mathcal{U}$ 
  using  $\mathcal{T}\mathcal{U} tu a \text{ ide-char arr-char } \text{con-char}_{QCN}$ 
  by (metis N.arr-in-Cong-class R.composite-of-source-arr R.con-prfx-composite-of
      N.is-Cong-classI R.con-implies-arr(1) R.con-implies-arr(2))
qed
thus  $\exists \mathcal{A}. \text{ide } \mathcal{A} \wedge \text{con } \mathcal{A} \mathcal{T} \wedge \text{con } \mathcal{A} \mathcal{U}$  by auto
qed
show  $\bigwedge \mathcal{T} \mathcal{U} \mathcal{V}. [\text{ide } (\mathcal{T} \setminus \mathcal{U}); \text{con } \mathcal{U} \mathcal{V}] \implies \text{con } (\mathcal{T} \setminus \mathcal{U}) (\mathcal{V} \setminus \mathcal{U})$ 
proof -
  fix  $\mathcal{T} \mathcal{U} \mathcal{V}$ 
  assume  $\mathcal{T}\mathcal{U}: \text{ide } (\mathcal{T} \setminus \mathcal{U})$ 
  assume  $\mathcal{U}\mathcal{V}: \text{con } \mathcal{U} \mathcal{V}$ 
  obtain  $t u$  where  $tu: t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u \wedge \mathcal{T} \setminus \mathcal{U} = \{t \setminus u\}$ 
    using  $\mathcal{T}\mathcal{U}$ 
    by (meson Resid-by-members ide-implies-arr con-charQCN arr-resid-iff-con)
  obtain  $v u'$  where  $vu': v \in \mathcal{V} \wedge u' \in \mathcal{U} \wedge v \sim u' \wedge \mathcal{V} \setminus \mathcal{U} = \{v \setminus u'\}$ 
    by (meson R.con-sym Resid-by-members  $\mathcal{U}\mathcal{V}$  con-charQCN)
  have 1:  $u \approx u'$ 
    using  $\mathcal{U}\mathcal{V} tu vu'$ 
    by (meson N.Cong-class-mems-are-Cong con-charQCN)
  obtain  $w w'$  where  $ww': w \in \mathfrak{N} \wedge w' \in \mathfrak{N} \wedge u \setminus w \approx_0 u' \setminus w'$ 
    using 1 by auto
  have 2:  $((t \setminus u) \setminus (w \setminus u)) \setminus ((u' \setminus w') \setminus (u \setminus w)) \sim$ 
     $((v \setminus u') \setminus (w' \setminus u')) \setminus ((u \setminus w) \setminus (u' \setminus w'))$ 
proof -
  have  $((t \setminus u) \setminus (w \setminus u)) \setminus ((u' \setminus w') \setminus (u \setminus w)) \in \mathfrak{N}$ 
  proof -
    have  $t \setminus u \in \mathfrak{N}$ 
    using  $tu N.\text{arr-in-Cong-class } R.\text{arr-resid-iff-con } \mathcal{T}\mathcal{U} \text{ ide-char}'$  by blast
    hence  $(t \setminus u) \setminus (w \setminus u) \in \mathfrak{N}$ 
    by (metis N.Cong-closure-props(4) N.forward-stable R.null-is-zero(2)
        R.con-imp-coinitial R.sources-resid N.Cong-imp-arr(2) R.arr-resid-iff-con
        tu ww' R.conI)
    thus ?thesis
    by (metis N.Cong-closure-props(4) N.normal-is-Cong-closed R.sources-resid
        R.targets-resid-sym N.elements-are-arr R.arr-resid-iff-con ww' R.conI)
  qed
  moreover have  $R.\text{sources } (((t \setminus u) \setminus (w \setminus u)) \setminus ((u' \setminus w') \setminus (u \setminus w))) =$ 
     $R.\text{sources } (((v \setminus u') \setminus (w' \setminus u')) \setminus ((u \setminus w) \setminus (u' \setminus w')))$ 
  proof -

```

```

have R.sources (((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w))) =
  R.targets ((u' \ w') \ (u \ w))
  using R.arr-resid-iff-con N.elements-are-arr R.sources-resid calculation by blast
also have ... = R.targets ((u \ w) \ (u' \ w'))
  by (metis R.targets-resid-sym R.conI)
also have ... = R.sources (((v \ u') \ (w' \ u')) \ ((u \ w) \ (u' \ w')))
  using R.arr-resid-iff-con N.elements-are-arr R.sources-resid
  by (metis N.Cong-closure-props(4) N.Cong-imp-arr(2) R.con-implies-arr(1)
      R.con-imp-coinitial N.forward-stable R.targets-resid-sym vu' ww')
finally show ?thesis by simp
qed
ultimately show ?thesis
  by (metis (no-types, lifting) N.Congo-imp-con N.Cong-closure-props(4)
      N.Cong-imp-arr(2) R.arr-resid-iff-con R.con-imp-coinitial N.forward-stable
      R.null-is-zero(2) R.conI)
qed
moreover have t \ u ≈ ((t \ u) \ (w \ u)) \ ((u' \ w') \ (u \ w))
  by (metis (no-types, opaque-lifting) N.Cong-closure-props(4) N.Cong-transitive
      N.forward-stable R.arr-resid-iff-con R.con-imp-coinitial R.rts-axioms calculation
      rts.coinitial-iff ww')
moreover have v \ u' ≈ ((v \ u') \ (w' \ u')) \ ((u \ w) \ (u' \ w'))
proof -
  have w' \ u' ∈ ℙ
    by (meson R.con-implies-arr(2) R.con-imp-coinitial N.forward-stable
        ww' N.Congo-imp-con R.arr-resid-iff-con)
  moreover have (u \ w) \ (u' \ w') ∈ ℙ
    using ww' by blast
  ultimately show ?thesis
    by (meson 2 N.Cong-closure-props(2) N.Cong-closure-props(4) R.arr-resid-iff-con
        R.coinitial-iff R.con-imp-coinitial)
qed
ultimately show con (T { \ } U) (V { \ } U)
  using con-charQCN N.Cong-class-def N.is-Cong-classI tu vu' R.arr-resid-iff-con
  by auto
qed
qed

lemma is-rts:
shows rts Resid
..

sublocale extensional-rts Resid
proof
  fix T U
  assume TU: cong T U
  show T = U
  proof -
    obtain t u where tu: T = {t} ∧ U = {u} ∧ t ∘ u
      by (metis Con-char N.Cong-class-eqI N.Cong-class-memb-Cong-rep N.Cong-class-rep)
  qed
qed

```

```

 $\mathcal{T}\mathcal{U}$  ide-char not-arr-null null-char)
have  $t \approx_0 u$ 
proof
show  $t \setminus u \in \mathfrak{N}$ 
using tu  $\mathcal{T}\mathcal{U}$  Resid-by-members [of  $\mathcal{T}\mathcal{U} t u$ ]
by (metis (full-types) N.arr-in-Cong-class R.con-implies-arr(1–2)
      N.is-Cong-classI ide-char' R.arr-resid-iff-con subset-iff)
show  $u \setminus t \in \mathfrak{N}$ 
using tu  $\mathcal{T}\mathcal{U}$  Resid-by-members [of  $\mathcal{U} \mathcal{T} u t$ ] R.con-sym
by (metis (full-types) N.arr-in-Cong-class R.con-implies-arr(1–2)
      N.is-Cong-classI ide-char' R.arr-resid-iff-con subset-iff)
qed
hence  $t \approx u$ 
using N.Cong0-implies-Cong by simp
thus  $\mathcal{T} = \mathcal{U}$ 
by (simp add: N.Cong-class-eqI tu)
qed
qed

theorem is-extensional-rts:
shows extensional-rts Resid
..

lemma sources-charQCN:
shows sources  $\mathcal{T} = \{\mathcal{A}. arr \mathcal{T} \wedge \mathcal{A} = \{a. \exists t a'. t \in \mathcal{T} \wedge a' \in R.sources t \wedge a' \approx a\}\}$ 
proof –
let ?A = {a.  $\exists t a'. t \in \mathcal{T} \wedge a' \in R.sources t \wedge a' \approx a\}$ 
have 1: arr  $\mathcal{T} \implies$  ide ?A
proof (unfold ide-char', intro conjI)
assume  $\mathcal{T}: arr \mathcal{T}$ 
show ?A ⊆  $\mathfrak{N}$ 
using N.ide-closed N.normal-is-Cong-closed by blast
show arr ?A
proof –
have N.is-Cong-class ?A
proof
show ?A ≠ {}
by (metis (mono-tags, lifting) Collect-empty-eq N.Cong-class-def N.Cong-imp-arr(1)
      N.is-Cong-class-def N.sources-are-Cong R.arr-iff-has-source R.sources-def
       $\mathcal{T}$  arr-char mem-Collect-eq)
show  $\bigwedge a a'. \llbracket a \in ?A; a' \approx a \rrbracket \implies a' \in ?A$ 
using N.Cong-transitive by blast
show  $\bigwedge a a'. \llbracket a \in ?A; a' \in ?A \rrbracket \implies a \approx a'$ 
proof –
fix a a'
assume a:  $a \in ?A$  and a':  $a' \in ?A$ 
obtain t b where b:  $t \in \mathcal{T} \wedge b \in R.sources t \wedge b \approx a$ 
using a by blast
obtain t' b' where b':  $t' \in \mathcal{T} \wedge b' \in R.sources t' \wedge b' \approx a'$ 

```

```

using a' by blast
have b ≈ b'
  using T arr-char b b'
    by (meson IntD1 N.Cong-class-membs-are-Cong N.in-sources-respects-Cong)
  thus a ≈ a'
    by (meson N.Cong-symmetric N.Cong-transitive b b')
qed
qed
thus ?thesis
  using arr-char by auto
qed
qed
moreover have arr T ==> con T ?A
proof -
  assume T: arr T
  obtain t a where a: t ∈ T ∧ a ∈ R.sources t
    using T arr-char
    by (metis N.Cong-class-is-nonempty R.arr-iff-has-source empty-subsetI
        N.Cong-class-memb-is-arr subsetI subset-antisym)
  have t ∈ T ∧ a ∈ {a. ∃ t a'. t ∈ T ∧ a' ∈ R.sources t ∧ a' ≈ a} ∧ t ∼ a
    using a N.Cong-reflexive R.sources-def R.con-implies-arr(2) by fast
  thus ?thesis
    using T 1 arr-char con-charQCN [of T ?A] by auto
qed
ultimately have arr T ==> ?A ∈ sources T
  using sources-def by blast
thus ?thesis
  using 1 ide-char sources-charWE by auto
qed

lemma targets-charQCN:
shows targets T = {B. arr T ∧ B = T \setminus T}
proof -
  have targets T = {B. ide B ∧ con (T \setminus T) B}
    by (simp add: targets-def trg-def)
  also have ... = {B. arr T ∧ ide B ∧ (∃ t u. t ∈ T \setminus T ∧ u ∈ B ∧ t ∼ u)}
    using arr-resid-iff-con con-charQCN arr-char arr-def by auto
  also have ... = {B. arr T ∧ ide B ∧
    (∃ t t' b u. t ∈ T ∧ t' ∈ T ∧ t ∼ t' ∧ b ∈ {t \setminus t'} ∧ u ∈ B ∧ b ∼ u)}
    apply auto
    apply (metis (full-types) Resid-by-members cong-char not-ide-null null-char Con-char)
    by (metis Resid-by-members arr-char)
  also have ... = {B. arr T ∧ ide B ∧
    (∃ t t' b. t ∈ T ∧ t' ∈ T ∧ t ∼ t' ∧ b ∈ {t \setminus t'} ∧ b ∈ B)}
    apply auto
    apply (metis (full-types) Resid-by-members cong-char not-ide-null null-char Con-char)
    by (metis Resid-by-members arr-char)
  proof -
    have ⋀ B t t' b. [|arr T; ide B; t ∈ T; t' ∈ T; t ∼ t'; b ∈ {t \setminus t'}|]
      ==> (∃ u. u ∈ B ∧ b ∼ u) ↔ b ∈ B
  proof -
    fix B t t' b

```

```

assume  $\mathcal{T}$ : arr  $\mathcal{T}$  and  $\mathcal{B}$ : ide  $\mathcal{B}$  and  $t: t \in \mathcal{T}$  and  $t': t' \in \mathcal{T}$ 
      and  $tt': t \sim t'$  and  $b: b \in \{t \setminus t'\}$ 
have  $\theta: b \in \mathfrak{N}$ 
      by (metis Resid-by-members  $\mathcal{T}$  b ide-char' ide-trg arr-char subsetD t t' trg-def tt')
show  $(\exists u. u \in \mathcal{B} \wedge b \sim u) \longleftrightarrow b \in \mathcal{B}$ 
      using  $\theta$ 
      by (meson N.Cong-closure-props(3) N.forward-stable N.elements-are-arr
             $\mathcal{B}$  arr-char R.con-imp-coinitial N.is-Cong-classE ide-char' R.arrE
            R.con-sym subsetD)
qed
thus ?thesis
      using ide-char arr-char
      by (metis (no-types, lifting))
qed
also have ... = { $\mathcal{B}$ . arr  $\mathcal{T}$  and ide  $\mathcal{B}$  and  $(\exists t t'. t \in \mathcal{T} \wedge t' \in \mathcal{T} \wedge t \sim t' \wedge \{t \setminus t'\} \subseteq \mathcal{B})$ }
proof -
  have  $\bigwedge \mathcal{B} t t' b. [\![\text{arr } \mathcal{T}; \text{ide } \mathcal{B}; t \in \mathcal{T}; t' \in \mathcal{T}; t \sim t']\!]$ 
     $\implies (\exists b. b \in \{t \setminus t'\} \wedge b \in \mathcal{B}) \longleftrightarrow \{t \setminus t'\} \subseteq \mathcal{B}$ 
  using ide-char arr-char
  apply (intro iffI)
  apply (metis IntI N.Cong-class-eqI' R.arr-resid-iff-con N.is-Cong-classI empty-iff
        set-eq-subset)
  by (meson N.arr-in-Cong-class R.arr-resid-iff-con subsetD)
  thus ?thesis
    using ide-char arr-char
    by (metis (no-types, lifting))
qed
also have ... = { $\mathcal{B}$ . arr  $\mathcal{T}$  and ide  $\mathcal{B}$  and  $\mathcal{T} \setminus \mathcal{T} \subseteq \mathcal{B}$ }
  using arr-char ide-char Resid-by-members [of  $\mathcal{T}$   $\mathcal{T}$ ]
  by (metis (no-types, opaque-lifting) arrE con-char_QCN)
also have ... = { $\mathcal{B}$ . arr  $\mathcal{T}$  and  $\mathcal{B} = \mathcal{T} \setminus \mathcal{T}$ }
  by (metis (no-types, lifting) arr-has-un-target calculation con-ide-are-eq
        cong-reflexive mem-Collect-eq targets-def trg-def)
finally show ?thesis by blast
qed

```

**lemma** src-char<sub>QCN</sub>:

**shows** src  $\mathcal{T} = \{a. \text{arr } \mathcal{T} \wedge (\exists t a'. t \in \mathcal{T} \wedge a' \in R.\text{sources } t \wedge a' \approx a)\}$

**using** sources-char<sub>QCN</sub> [of  $\mathcal{T}$ ]

**by** (simp add: null-char src-def)

**lemma** trg-char<sub>QCN</sub>:

**shows** trg  $\mathcal{T} = \mathcal{T} \setminus \mathcal{T}$

**unfolding** trg-def **by** blast

## Quotient Map

**abbreviation** quot

**where** quot  $t \equiv \{t\}$

```

sublocale quot: simulation-to-extensional-rts resid Resid quot
proof
  show  $\bigwedge t. \neg R.\text{arr } t \implies \{t\} = \text{null}$ 
    using N.Cong-class-def N.Cong-imp-arr(1) null-char by force
  show  $\bigwedge t u. t \sim u \implies \text{con } \{t\} \{u\}$ 
    by (meson N.arr-in-Cong-class N.is-Cong-classI R.con-implies-arr(1-2) con-charQCN)
  show  $\bigwedge t u. t \sim u \implies \{t \setminus u\} = \{t\} \setminus \{u\}$ 
    by (metis N.arr-in-Cong-class N.is-Cong-classI R.con-implies-arr(1-2) Resid-by-members)
qed

```

```

lemma quotient-is-simulation:
shows simulation resid Resid quot
..

```

```

lemma ide-quot-normal:
assumes  $t \in \mathfrak{N}$ 
shows ide (quot t)
using assms
by (metis IntI N.arr-in-Cong-class N.elements-are-arr empty-iff
quot.preserves-reflects-arr ide-char)

```

If a simulation  $F$  from  $R$  to an extensional RTS  $B$  maps every element of  $\mathfrak{N}$  to an identity, then it has a unique extension along the quotient map.

```

lemma is-couniversal:
assumes extensional-rts B
and simulation resid B F
and  $\bigwedge t. t \in \mathfrak{N} \implies \text{residuation.ide } B (F t)$ 
shows  $\exists !F'. \text{simulation Resid } B F' \wedge F' \circ \text{quot} = F$ 
proof -
  interpret B: extensional-rts B
    using assms(1) simulation.axioms(2) by blast
  interpret F: simulation resid B F
    using assms by blast
  have 1:  $\bigwedge t u. t \approx u \implies F t = F u$ 
  proof -
    fix t u
    assume Cong:  $t \approx u$ 
    obtain v w where vw:  $v \in \mathfrak{N} \wedge w \in \mathfrak{N} \wedge t \setminus v \approx_0 u \setminus w$ 
      using Cong by blast
    have B.cong (F t) (F u)
      by (metis assms(3) vw B.cong-char F.preserves-reflects-arr F.preserves-resid
N.elements-are-arr R.arr-resid-iff-con B.arr-resid-iff-con B.resid-arr-ide)
    thus F t = F u
      using B.extensionality by blast
  qed
  let ?F' =  $\lambda T. \text{if arr } T \text{ then } F (\text{N.Cong-class-rep } T) \text{ else } B.\text{null}$ 
  interpret F': simulation Resid B ?F'
  proof

```

```

show  $\bigwedge \mathcal{T}. \neg arr \mathcal{T} \implies ?F' \mathcal{T} = B.\text{null}$ 
  by argo
fix  $\mathcal{T} \mathcal{U}$ 
assume  $con: con \mathcal{T} \mathcal{U}$ 
show  $B.con (?F' \mathcal{T}) (?F' \mathcal{U})$ 
  using  $con$ 
  by (metis (full-types) 1 F.preserves-con N.Cong-class-memb-Cong-rep arr-char
    con-charQCN)
show  $?F' (\mathcal{T} \setminus \mathcal{U}) = B (?F' \mathcal{T}) (?F' \mathcal{U})$ 
proof -
  have  $\exists: N.\text{is-Cong-class } \mathcal{T} \wedge N.\text{is-Cong-class } \mathcal{U}$ 
    using  $con$   $con\text{-char}_{QCN}$  by auto
  obtain  $t u$  where  $tu: t \in \mathcal{T} \wedge u \in \mathcal{U} \wedge t \sim u$ 
    using  $con$   $con\text{-char}_{QCN}$  by force
  have  $?F' (\mathcal{T} \setminus \mathcal{U}) = ?F' \{t \setminus u\}$ 
    using  $tu$  2 Resid-by-members by force
  also have ... =  $F (t \setminus u)$ 
    by (metis tu N.Cong-class-memb-Cong-rep N.arr-in-Cong-class N.is-Cong-classI
      R.arr-resid  $\langle \bigwedge y x. x \approx y \implies F x = F y \rangle$  quot.preserves-reflects-arr)
  also have ... =  $B (F t) (F u)$ 
    by (simp add: tu)
  also have ... =  $B (?F' \mathcal{T}) (?F' \mathcal{U})$ 
    by (metis (full-types) tu 1 2 N.Cong-class-memb-Cong-rep con
      con-implies-arr(1-2))
  finally show ?thesis by blast
qed
qed
have simulation Resid B ?F'  $\wedge$  ?F'  $\circ$  quot = F
proof -
  have ?F'  $\circ$  quot = F
  proof
    fix t
    have ?F' (quot t) = F t
      by (metis 1 F.extensionality N.Cong-class-memb-Cong-rep N.arr-in-Cong-class
        arr-char quot.preserves-reflects-arr)
    thus (?F'  $\circ$  quot) t = F t
      by auto
  qed
  thus ?thesis
    using F'.simulation-axioms by blast
qed
moreover have  $\bigwedge F''. [\text{simulation Resid } B F''; F'' \circ \text{quot} = F] \implies F'' = ?F'$ 
  using simulation.extensionality arr-char by force
ultimately show ?thesis by blast
qed

definition ext-to-quotient
where ext-to-quotient B F ≡ THE F'. simulation Resid B F'  $\wedge$  F'  $\circ$  quot = F

```

```

lemma ext-to-quotient-props:
assumes extensional-rts B
and simulation resid B F
and  $\bigwedge t. t \in \mathfrak{N} \implies \text{residuation.ide } B (F t)$ 
shows simulation Resid B (ext-to-quotient B F) and ext-to-quotient B F o quot = F
proof -
  have simulation Resid B (ext-to-quotient B F)  $\wedge$  ext-to-quotient B F o quot = F
  unfolding ext-to-quotient-def
  using assms is-couniversal [of B F]
    theI' [of  $\lambda F'. \text{simulation } (\{\setminus\}) B F' \wedge F' \circ \text{quot} = F$ ]
    by fastforce
  thus simulation Resid B (ext-to-quotient B F) and ext-to-quotient B F o quot = F
    by auto
qed
end

```

### 2.3.7 Identities form a Coherent Normal Sub-RTS

We now show that the collection of identities of an RTS form a coherent normal sub-RTS, and that the associated congruence  $\approx$  coincides with  $\sim$ . Thus, every RTS can be factored by the relation  $\sim$  to obtain an extensional RTS. Although we could have shown that fact much earlier, we have delayed proving it so that we could simply obtain it as a special case of our general quotient result without redundant work.

```

context rts
begin

interpretation normal-sub-rts resid <Collect ide>
proof
  show  $\bigwedge t. t \in \text{Collect ide} \implies \text{arr } t$ 
    by blast
  show 1:  $\bigwedge a. \text{ide } a \implies a \in \text{Collect ide}$ 
    by blast
  show  $\bigwedge u t. [u \in \text{Collect ide}; \text{coinitial } t u] \implies u \setminus t \in \text{Collect ide}$ 
    by (metis 1 CollectD arr-def coinitial-iff
      con-sym in-sourcesE in-sourcesI resid-ide-arr)
  show  $\bigwedge u t. [u \in \text{Collect ide}; t \setminus u \in \text{Collect ide}] \implies t \in \text{Collect ide}$ 
    using ide-backward-stable by blast
  show  $\bigwedge u t. [u \in \text{Collect ide}; \text{seq } u t] \implies \exists v. \text{composite-of } u t v$ 
    by (metis composite-of-source-arr ide-def in-sourcesI mem-Collect-eq seq-def
      resid-source-in-targets)
  show  $\bigwedge u t. [u \in \text{Collect ide}; \text{seq } t u] \implies \exists v. \text{composite-of } t u v$ 
    by (metis arrE composite-of-arr-target in-sourcesI seqE mem-Collect-eq)
qed

lemma identities-form-normal-sub-rts:
shows normal-sub-rts resid (Collect ide)
..

```

```

interpretation coherent-normal-sub-rts resid <Collect ide>
  apply unfold-locales
  by (metis CollectD Cong0-reflexive Cong-closure-props(4) Cong-imp-arr(2)
    arr-resid-iff-con resid-arr-ide)

lemma identities-form-coherent-normal-sub-rts:
  shows coherent-normal-sub-rts resid (Collect ide)
  ..

lemma Cong-iff-cong:
  shows Cong t u  $\longleftrightarrow$  t  $\sim$  u
  by (metis CollectD Cong-def ide-closed resid-arr-ide
    Cong-closure-props(3) Cong-imp-arr(2) arr-resid-iff-con)

end

```

## 2.4 Paths

A *path* in an RTS is a nonempty list of arrows such that the set of targets of each arrow suitably matches the set of sources of its successor. The residuation on the given RTS extends inductively to a residuation on paths, so that paths also form an RTS. The append operation on lists yields a composite for each pair of compatible paths.

```

locale paths-in-rts =
  R: rts
begin

  type-synonym 'b arr = 'b list

  fun Srcs
  where Srcs [] = {}
    | Srcs [t] = R.sources t
    | Srcs (t # T) = R.sources t

  fun Trgs
  where Trgs [] = {}
    | Trgs [t] = R.targets t
    | Trgs (t # T) = Trgs T

  fun Arr
  where Arr [] = False
    | Arr [t] = R.arr t
    | Arr (t # T) = (R.arr t  $\wedge$  Arr T  $\wedge$  R.targets t  $\subseteq$  Srcs T)

  fun Ide
  where Ide [] = False
    | Ide [t] = R.ide t
    | Ide (t # T) = (R.ide t  $\wedge$  Ide T  $\wedge$  R.targets t  $\subseteq$  Srcs T)

```

```

lemma Arr-induct:
assumes  $\bigwedge t. \text{Arr} [t] \implies P [t]$ 
and  $\bigwedge t U. [\text{Arr} (t \# U); U \neq []; P U] \implies P (t \# U)$ 
shows  $\text{Arr } T \implies P T$ 
proof (induct T)
  show  $\text{Arr } [] \implies P []$ 
    using Arr.simps(1) by blast
  show  $\bigwedge t U. [\text{Arr } U \implies P U; \text{Arr} (t \# U)] \implies P (t \# U)$ 
    by (metis assms Arr.simps(2-3) list.exhaust)
qed

lemma Ide-induct:
assumes  $\bigwedge t. R.\text{ide } t \implies P [t]$ 
and  $\bigwedge t T. [R.\text{ide } t; R.\text{targets } t \subseteq \text{Srcs } T; P T] \implies P (t \# T)$ 
shows  $\text{Ide } T \implies P T$ 
proof (induct T)
  show  $\text{Ide } [] \implies P []$ 
    using Ide.simps(1) by blast
  show  $\bigwedge t T. [\text{Ide } T \implies P T; \text{Ide} (t \# T)] \implies P (t \# T)$ 
    by (metis assms Ide.simps(2-3) list.exhaust)
qed

lemma set-Arr-subset-arr:
assumes  $\text{Arr } T$ 
shows  $\text{set } T \subseteq \text{Collect } R.\text{arr}$ 
apply (induct T rule: Arr-induct)
using assms Arr.elims(2) by auto

lemma Arr-imp-arr-hd [simp]:
assumes  $\text{Arr } T$ 
shows  $R.\text{arr} (\text{hd } T)$ 
using assms
by (metis Arr.simps(1) CollectD hd-in-set set-Arr-subset-arr subset-code(1))

lemma Arr-imp-arr-last [simp]:
assumes  $\text{Arr } T$ 
shows  $R.\text{arr} (\text{last } T)$ 
using assms
by (metis Arr.simps(1) CollectD in-mono last-in-set set-Arr-subset-arr)

lemma Arr-imp-Arr-tl [simp]:
assumes  $\text{Arr } T$  and  $\text{tl } T \neq []$ 
shows  $\text{Arr} (\text{tl } T)$ 
using assms
by (metis Arr.simps(3) list.exhaust-sel list.sel(2))

lemma set-Ide-subset-ide:
assumes  $\text{Ide } T$ 

```

```

shows set T ⊆ Collect R.ide
  apply (induct T rule: Ide-induct)
  using assms by auto

lemma Ide-imp-Ide-hd [simp]:
assumes Ide T
shows R.ide (hd T)
using assms
by (metis Ide.simps(1) CollectD hd-in-set set-Ide-subset-ide subset-code(1))

lemma Ide-imp-Ide-last [simp]:
assumes Ide T
shows R.ide (last T)
using assms
by (metis Ide.simps(1) CollectD in-mono last-in-set set-Ide-subset-ide)

lemma Ide-imp-Ide-tl [simp]:
assumes Ide T and tl T ≠ []
shows Ide (tl T)
using assms
by (metis Ide.simps(3) list.exhaust-sel list.sel(2))

lemma Ide-implies-Arr:
assumes Ide T
shows Arr T
  apply (induct T rule: Ide-induct)
  using assms
  apply auto[3]
  by (metis Arr.elims(2) Arr.simps(3) R.ide-implies-arr)

lemma const-ide-is-Ide:
shows [| T ≠ []; R.ide (hd T); set T ⊆ {hd T}|] ==> Ide T
  apply (induct T)
  apply auto[2]
  by (metis Ide.simps(2-3) R.ideE R.sources-resid Srcs.simps(2-3) empty-iff insert-iff
      list.exhaust-sel list.set-sel(1) order-refl subset-singletonD)

lemma Ide-char:
shows Ide T ↔ Arr T ∧ set T ⊆ Collect R.ide
  apply (induct T)
  apply auto[1]
  by (metis Arr.simps(3) Ide.simps(2-3) Ide-implies-Arr empty-subsetI
      insert-subset list.simps(15) mem-Collect-eq neq-Nil-conv set-empty)

lemma IdeI [intro]:
assumes Arr T and set T ⊆ Collect R.ide
shows Ide T
  using assms Ide-char by force

```

```

lemma Arr-has-Src:
  shows Arr T  $\implies$  Srcs T  $\neq \{\}$ 
    apply (cases T)
    apply simp
    by (metis R.arr-iff-has-source Srcs.elims Arr.elims(2) list.distinct(1) list.sel(1))

lemma Arr-has-Trg:
  shows Arr T  $\implies$  Trgs T  $\neq \{\}$ 
    using R.arr-iff-has-target
    apply (induct T)
    apply simp
    by (metis Arr.simps(2) Arr.simps(3) Trgs.simps(2–3) list.exhaust-sel)

lemma Srcs-are-ide:
  shows Srcs T  $\subseteq$  Collect R.ide
    apply (cases T)
    apply simp
    by (metis (no-types, lifting) Srcs.elims list.distinct(1) mem-Collect-eq
          R.sources-def subsetI)

lemma Trgs-are-ide:
  shows Trgs T  $\subseteq$  Collect R.ide
    apply (induct T)
    apply simp
    by (metis R.arr-iff-has-target R.sources-resid Srcs.simps(2) Trgs.simps(2–3)
          Srcs-are-ide empty-subsetI list.exhaust R.arrE)

lemma Srcs-are-con:
  assumes a  $\in$  Srcs T and a'  $\in$  Srcs T
  shows a  $\sim$  a'
    using assms
    by (metis Srcs.elims empty-iff R.sources-are-con)

lemma Srcs-con-closed:
  assumes a  $\in$  Srcs T and R.ide a' and a  $\sim$  a'
  shows a'  $\in$  Srcs T
    using assms R.sources-con-closed
    apply (cases T, auto)
    by (metis Srcs.simps(2–3) neq-Nil-conv)

lemma Srcs-eqI:
  assumes Srcs T  $\cap$  Srcs T'  $\neq \{\}$ 
  shows Srcs T = Srcs T'
    using assms R.sources-eqI
    apply (cases T; cases T')
      apply auto
      apply (metis IntI Srcs.simps(2–3) empty-iff neq-Nil-conv)
    by (metis Srcs.simps(2–3) assms neq-Nil-conv)

```

```

lemma Trgs-are-con:
shows  $\llbracket b \in \text{Trgs } T; b' \in \text{Trgs } T \rrbracket \implies b \sim b'$ 
  apply (induct T)
  apply auto
  by (metis R.targets-are-con Trgs.simps(2–3) list.exhaustsel)

lemma Trgs-con-closed:
shows  $\llbracket b \in \text{Trgs } T; R.\text{ide } b'; b \sim b' \rrbracket \implies b' \in \text{Trgs } T$ 
  apply (induct T)
  apply auto
  by (metis R.targets-con-closed Trgs.simps(2–3) neqNilconv)

lemma Trgs-eqI:
assumes  $\text{Trgs } T \cap \text{Trgs } T' \neq \{\}$ 
shows  $\text{Trgs } T = \text{Trgs } T'$ 
  using assms Trgs-are-ide Trgs-are-con Trgs-con-closed by blast

lemma Srcs-simpP:
assumes Arr T
shows Srcs T = R.sources (hd T)
  using assms
  by (metis Arr-has-Src Srcs.simps(1) Srcs.simps(2) Srcs.simps(3) list.exhaustsel)

lemma Trgs-simpP:
shows Arr T  $\implies$  Trgs T = R.targets (last T)
  apply (induct T)
  apply simp
  by (metis Arr.simps(3) Trgs.simps(2) Trgs.simps(3) lastConsL lastConsR neqNilconv)

```

#### 2.4.1 Residuation on Paths

It was more difficult than I thought to get a correct formal definition for residuation on paths and to prove things from it. Straightforward attempts to write a single recursive definition ran into problems with being able to prove termination, as well as getting the cases correct so that the domain of definition was symmetric. Eventually I found the definition below, which simplifies the termination proof to some extent through the use of two auxiliary functions, and which has a symmetric form that makes symmetry easier to prove. However, there was still some difficulty in proving the recursive expansions with respect to cons and append that I needed.

The following defines residuation of a single transition along a path, yielding a transition.

```

fun Resid1x (infix <1\*> 70)
where t 1\* [] = R.null
  | t 1\* [u] = t \ u
  | t 1\* (u # U) = (t \ u) 1\* U

```

Next, we have residuation of a path along a single transition, yielding a path.

```
fun Residx1 (infix <*\1> 70)
```

```

where [] *`^1 u = []
| [t] *`^1 u = (if t ∼ u then [t \ u] else [])
| (t # T) *`^1 u =
  (if t ∼ u ∧ T *`^1 (u \ t) ≠ [] then (t \ u) # T *`^1 (u \ t) else [])

```

Finally, residuation of a path along a path, yielding a path.

```

function (sequential) Resid (infix `*`^*) 70
where [] *`^* - = []
| - *`^* [] = []
| [t] *`^* [u] = (if t ∼ u then [t \ u] else [])
| [t] *`^* (u # U) =
  (if t ∼ u ∧ (t \ u) ^1\* U ≠ R.null then [(t \ u) ^1\* U] else [])
| (t # T) *`^* [u] =
  (if t ∼ u ∧ T *`^1 (u \ t) ≠ [] then (t \ u) # (T *`^1 (u \ t)) else [])
| (t # T) *`^* (u # U) =
  (if t ∼ u ∧ (t \ u) ^1\* U ≠ R.null ∧
    (T *`^1 (u \ t)) *`^* (U *`^1 (t \ u)) ≠ []
   then (t \ u) ^1\* U # (T *`^1 (u \ t)) *`^* (U *`^1 (t \ u))
   else [])
by pat-completeness auto

```

Residuation of a path along a single transition is length non-increasing. Actually, it is length-preserving, except in case the path and the transition are not consistent. We will show that later, but for now this is what we need to establish termination for (\).

```

lemma length-Residx1:
shows length (T *`^1 u) ≤ length T
proof (induct T arbitrary: u)
  show ∀u. length ([] *`^1 u) ≤ length []
  by simp
  fix t T u
  assume ind: ∀u. length (T *`^1 u) ≤ length T
  show length ((t # T) *`^1 u) ≤ length (t # T)
  using ind
  by (cases T, cases t ∼ u, cases T *`^1 (u \ t)) auto
qed

```

```

termination Resid
proof (relation measure (λ(T, U). length T + length U))
  show wf (measure (λ(T, U). length T + length U))
  by simp
  fix t t' T u U
  have length ((t' # T) *`^1 (u \ t)) + length (U *`^1 (t \ u))
    < length (t # t' # T) + length (u # U)
  using length-Residx1
  by (metis add-less-le-mono impossible-Cons le-neq-implies-less list.size(4) trans-le-add1)
  thus 1: (((t' # T) *`^1 (u \ t), U *`^1 (t \ u)), t # t' # T, u # U)
    ∈ measure (λ(T, U). length T + length U)
  by simp
  show (((t' # T) *`^1 (u \ t), U *`^1 (t \ u)), t # t' # T, u # U)

```

```

 $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
using 1 length-Residx1 by blast
have length (T *`^1 (u \ t)) + length (U *`^1 (t \ u))  $\leq$  length T + length U
using length-Residx1 by (simp add: add-mono)
thus 2: ((T *`^1 (u \ t), U *`^1 (t \ u)), t # T, u # U)
 $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
by simp
show ((T *`^1 (u \ t), U *`^1 (t \ u)), t # T, u # U)
 $\in \text{measure } (\lambda(T, U). \text{length } T + \text{length } U)$ 
using 2 length-Residx1 by blast
qed

```

```

lemma Resid1x-null:
shows R.null ^* T = R.null
apply (induct T)
apply auto
by (metis R.null-is-zero(1) Resid1x.simps(2–3) list.exhaust)

```

```

lemma Resid1x-ide:
shows [R.ide a; a ^* T  $\neq$  R.null]  $\implies$  R.ide (a ^* T)
proof (induct T arbitrary: a)
show  $\bigwedge a. a ^* [] \neq R.\text{null} \implies R.\text{ide} (a ^* [])$ 
by simp
fix a t T
assume a: R.ide a
assume ind:  $\bigwedge a. [R.\text{ide} a; a ^* T \neq R.\text{null}] \implies R.\text{ide} (a ^* T)$ 
assume con: a ^* (t # T)  $\neq$  R.null
have 1: a  $\leadsto$  t
using con
by (metis R.con-def Resid1x.simps(2–3) Resid1x-null list.exhaust)
show R.ide (a ^* (t # T))
using a 1 con ind R.resid-ide-arr
by (metis Resid1x.simps(2–3) list.exhaust)
qed

```

```

abbreviation Con (infix  $\text{*}\sim\text{*}$  ) 50
where T *~* U  $\equiv$  T *`^* U  $\neq$  []
lemma Con-sym1:
shows T *`^1 u  $\neq$  []  $\longleftrightarrow$  u ^* T  $\neq$  R.null
proof (induct T arbitrary: u)
show  $\bigwedge u. [] ^*`^1 u \neq [] \longleftrightarrow u ^* T \neq R.\text{null}$ 
by simp
show  $\bigwedge t T u. (\bigwedge u. T *`^1 u \neq [] \longleftrightarrow u ^* T \neq R.\text{null})$ 
 $\implies (t \# T) *`^1 u \neq [] \longleftrightarrow u ^* (t \# T) \neq R.\text{null}$ 
proof –
fix t T u
assume ind:  $\bigwedge u. T *`^1 u \neq [] \longleftrightarrow u ^* T \neq R.\text{null}$ 

```

```

show (t # T) *`\\ 1 u ≠ [] ↔ u `\\ 1 (t # T) ≠ R.null
proof
  show (t # T) *`\\ 1 u ≠ [] ⇒ u `\\ 1 (t # T) ≠ R.null
    by (metis R.con-sym Resid1x.simps(2–3) Resid1x.simps(2–3)
         ind neq-Nil-conv R.conE)
  show u `\\ 1 (t # T) ≠ R.null ⇒ (t # T) *`\\ 1 u ≠ []
    using ind R.con-sym
    apply (cases T)
      apply auto
    by (metis R.conI Resid1x-null)
qed
qed
qed

```

**lemma** *Con-sym-ind*:

shows  $\text{length } T + \text{length } U \leq n \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

**proof** (*induct n arbitrary: T U*)

show  $\bigwedge T U. \text{length } T + \text{length } U \leq 0 \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

by *simp*

fix *n* and *T U :: 'a list*

assume *ind:*  $\bigwedge T U. \text{length } T + \text{length } U \leq n \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

assume *1: length T + length U ≤ Suc n*

show  $T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

using *R.con-sym Con-sym1*

apply (cases *T; cases U*)

apply *auto[3]*

**proof –**

fix *t u T' U'*

assume *T: T = t # T' and U: U = u # U'*

show  $T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

proof (cases *T' = []*)

show  $T' = [] \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

using *T U Con-sym1 R.con-sym*

by (cases *U'*) *auto*

show  $T' ≠ [] \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

proof (cases *U' = []*)

show  $\llbracket T' ≠ []; U' = [] \rrbracket \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

using *T U R.con-sym Con-sym1*

by (cases *T'*) *auto*

show  $\llbracket T' ≠ []; U' ≠ [] \rrbracket \Rightarrow T *`\\ 1 U \leftrightarrow U *`\\ 1 T$

proof –

assume *T': T' ≠ [] and U': U' ≠ []*

have *2: length (U' \*`\\ 1 (t \\ u)) + length (T' \*`\\ 1 (u \\ t)) ≤ n*

proof –

have  $\text{length } (U' *`\\ 1 (t \\ u)) + \text{length } (T' *`\\ 1 (u \\ t))$   
 $\leq \text{length } U' + \text{length } T'$

by (simp add: add-le-mono length-Resid1x)

also have ...  $\leq \text{length } T' + \text{length } U'$

using *T' add.commute not-less-eq-eq* by *auto*

```

also have ... ≤ n
  using 1 T U by simp
  finally show ?thesis by blast
qed
show T *¬∞ U ↔ U *¬∞ T
proof
  assume Con: T *¬∞ U
  have 3: t ∼ u ∧ T' *\^1 (u \ t) ≠ [] ∧ (t \ u) ^1\* U' ≠ R.null ∧
    (T' *\^1 (u \ t)) *\* (U' *\^1 (t \ u)) ≠ []
    using Con T U T' U' Con-sym1
    apply (cases T'; cases U')
      apply simp-all
    by (metis Resid.simps(1) Resid.simps(6) neq-Nil-conv)
  hence u ∼ t ∧ U' *\^1 (t \ u) ≠ [] ∧ (u \ t) ^1\* T' ≠ R.null
    using T' U' R.con-sym Con-sym1 by simp
  moreover have (U' *\^1 (t \ u)) *\* (T' *\^1 (u \ t)) ≠ []
    using 2 3 ind by simp
  ultimately show U *¬∞ T
    using T U T' U'
    by (cases T'; cases U') auto
next
assume Con: U *¬∞ T
have 3: u ∼ t ∧ U' *\^1 (t \ u) ≠ [] ∧ (u \ t) ^1\* T' ≠ R.null ∧
  (U' *\^1 (t \ u)) *\* (T' *\^1 (u \ t)) ≠ []
  using Con T U T' U' Con-sym1
  apply (cases T'; cases U')
    apply auto
    apply argo
    by force
  hence t ∼ u ∧ T' *\^1 (u \ t) ≠ [] ∧ (t \ u) ^1\* U' ≠ R.null
    using T' U' R.con-sym Con-sym1 by simp
  moreover have (T' *\^1 (u \ t)) *\* (U' *\^1 (t \ u)) ≠ []
    using 2 3 ind by simp
  ultimately show T *¬∞ U
    using T U T' U'
    by (cases T'; cases U') auto
qed
qed
qed
qed
qed
qed

lemma Con-sym:
shows T *¬∞ U ↔ U *¬∞ T
  using Con-sym-ind by blast

lemma Residx1-as-Resid:
shows T *\^1 u = T *\* [u]

```

```

proof (induct T)
  show  $\emptyset * \setminus^1 u = \emptyset * \setminus^* [u]$  by simp
  fix  $t T$ 
  assume ind:  $T * \setminus^1 u = T * \setminus^* [u]$ 
  show  $(t \# T) * \setminus^1 u = (t \# T) * \setminus^* [u]$ 
    by (cases T) auto
qed

lemma Resid1x-as-Resid':
shows  $t^1 \setminus^* U = (\text{if } [t] * \setminus^* U \neq \emptyset \text{ then } \text{hd} ([t] * \setminus^* U) \text{ else } R.\text{null})$ 
proof (induct U)
  show  $t^1 \setminus^* \emptyset = (\text{if } [t] * \setminus^* \emptyset \neq \emptyset \text{ then } \text{hd} ([t] * \setminus^* \emptyset) \text{ else } R.\text{null})$  by simp
  fix  $u U$ 
  assume ind:  $t^1 \setminus^* U = (\text{if } [t] * \setminus^* U \neq \emptyset \text{ then } \text{hd} ([t] * \setminus^* U) \text{ else } R.\text{null})$ 
  show  $t^1 \setminus^* (u \# U) = (\text{if } [t] * \setminus^* (u \# U) \neq \emptyset \text{ then } \text{hd} ([t] * \setminus^* (u \# U)) \text{ else } R.\text{null})$ 
    using Resid1x-null
    by (cases U) auto
qed

```

The following recursive expansion for consistency of paths is an intermediate result that is not yet quite in the form we really want.

```

lemma Con-rec:
shows  $[t] * \setminus^* [u] \longleftrightarrow t \setminus u$ 
and  $T \neq \emptyset \implies t \# T * \setminus^* [u] \longleftrightarrow t \setminus u \wedge T * \setminus^* [u \setminus t]$ 
and  $U \neq \emptyset \implies [t] * \setminus^* (u \# U) \longleftrightarrow t \setminus u \wedge [t \setminus u] * \setminus^* U$ 
and  $\llbracket T \neq \emptyset; U \neq \emptyset \rrbracket \implies$ 
   $t \# T * \setminus^* u \# U \longleftrightarrow t \setminus u \wedge T * \setminus^* [u \setminus t] \wedge [t \setminus u] * \setminus^* U \wedge$ 
   $T * \setminus^* [u \setminus t] * \setminus^* U * \setminus^* [t \setminus u]$ 
proof –
  show  $[t] * \setminus^* [u] \longleftrightarrow t \setminus u$ 
    by simp
  show  $T \neq \emptyset \implies t \# T * \setminus^* [u] \longleftrightarrow t \setminus u \wedge T * \setminus^* [u \setminus t]$ 
    using Resid1x-as-Resid
    by (cases T) auto
  show  $U \neq \emptyset \implies [t] * \setminus^* (u \# U) \longleftrightarrow t \setminus u \wedge [t \setminus u] * \setminus^* U$ 
    using Resid1x-as-Resid' Con-sym Con-sym1 Resid1x.simps(3) Resid1x-as-Resid
    by (cases U) auto
  show  $\llbracket T \neq \emptyset; U \neq \emptyset \rrbracket \implies$ 
     $t \# T * \setminus^* u \# U \longleftrightarrow t \setminus u \wedge T * \setminus^* [u \setminus t] \wedge [t \setminus u] * \setminus^* U \wedge$ 
     $T * \setminus^* [u \setminus t] * \setminus^* U * \setminus^* [t \setminus u]$ 
    using Resid1x-as-Resid Resid1x-as-Resid' Con-sym1 Con-sym R.con-sym
    by (cases T; cases U) auto
qed

```

This version is a more appealing form of the previously proved fact *Resid1x-as-Resid'*.

```

lemma Resid1x-as-Resid:
assumes  $[t] * \setminus^* U \neq \emptyset$ 
shows  $[t] * \setminus^* U = [t^1 \setminus^* U]$ 
using assms Con-rec(2,4)

```

```

apply (cases U; cases tl U)
  apply auto
by argo+

```

The following is an intermediate version of a recursive expansion for residuation, to be improved subsequently.

```

lemma Resid-rec:
shows [simp]:  $[t] * \sim^* [u] \implies [t] * \setminus^* [u] = [t \setminus u]$ 
and  $\llbracket T \neq [] ; t \# T * \sim^* [u] \rrbracket \implies (t \# T) * \setminus^* [u] = (t \setminus u) \# (T * \setminus^* [u \setminus t])$ 
and  $\llbracket U \neq [] ; Con [t] (u \# U) \rrbracket \implies [t] * \setminus^* (u \# U) = [t \setminus u] * \setminus^* U$ 
and  $\llbracket T \neq [] ; U \neq [] ; Con (t \# T) (u \# U) \rrbracket \implies$ 
 $(t \# T) * \setminus^* (u \# U) = ([t \setminus u] * \setminus^* U) @ ((T * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u]))$ 
proof -
  show  $[t] * \sim^* [u] \implies Resid [t] [u] = [t \setminus u]$ 
    by (meson Resid.simps(3))
  show  $\llbracket T \neq [] ; t \# T * \sim^* [u] \rrbracket \implies (t \# T) * \setminus^* [u] = (t \setminus u) \# (T * \setminus^* [u \setminus t])$ 
    using Residx1-as-Resid
    by (metis Residx1.simps(3) list.exhaust-sel)
  show 1:  $\llbracket U \neq [] ; [t] * \sim^* u \# U \rrbracket \implies [t] * \setminus^* (u \# U) = [t \setminus u] * \setminus^* U$ 
    by (metis Con-rec(3) Resid1x.simps(3) Resid1x-as-Resid list.exhaust)
  show  $\llbracket T \neq [] ; U \neq [] ; t \# T * \sim^* u \# U \rrbracket \implies$ 
 $(t \# T) * \setminus^* (u \# U) = ([t \setminus u] * \setminus^* U) @ ((T * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u]))$ 
proof -
  assume  $T: T \neq []$  and  $U: U \neq []$  and  $Con: Con (t \# T) (u \# U)$ 
  have  $tu: t \sim u$ 
    using Con Con-rec by metis
  have  $(t \# T) * \setminus^* (u \# U) = ((t \setminus u) ^1 \setminus^* U) \# ((T * \setminus^1 (u \setminus t)) * \setminus^* (U * \setminus^1 (t \setminus u)))$ 
    using T U Con tu
    by (cases T; cases U) auto
  also have ... =  $([t \setminus u] * \setminus^* U) @ ((T * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u]))$ 
    using T U Con tu Con-rec(4) Resid1x-as-Resid Residx1-as-Resid by force
  finally show ?thesis by simp
qed
qed

```

For consistent paths, residuation is length-preserving.

```

lemma length-Resid-ind:
shows  $\llbracket length T + length U \leq n ; T * \sim^* U \rrbracket \implies length (T * \setminus^* U) = length T$ 
  apply (induct n arbitrary: T U)
  apply simp
proof -
  fix n T U
  assume ind:  $\bigwedge T U. \llbracket length T + length U \leq n ; T * \sim^* U \rrbracket$ 
 $\implies length (T * \setminus^* U) = length T$ 
  assume Con:  $T * \sim^* U$ 
  assume len:  $length T + length U \leq Suc n$ 
  show  $length (T * \setminus^* U) = length T$ 
    using Con len ind Resid1x-as-Resid length-Cons Con-rec(2) Resid-rec(2)
    apply (cases T; cases U)

```

```

apply auto
apply (cases tl T = [] ; cases tl U = [])
  apply auto
  apply metis
  apply fastforce
proof -
  fix t T' u U'
  assume T: T = t # T' and U: U = u # U'
  assume T': T' ≠ [] and U': U' ≠ []
  show length ((t # T') *＼* (u # U')) = Suc (length T')
    using Con Con-rec(4) Con-sym Resid-rec(4) T T' U U' ind len by auto
qed
qed

lemma length-Resid:
assumes T *＼* U
shows length (T *＼* U) = length T
  using assms length-Resid-ind by auto

lemma Con-initial-left:
shows t # T *＼* U ==> [t] *＼* U
  apply (induct U)
  apply simp
  by (metis Con-rec(1-4))

lemma Con-initial-right:
shows T *＼* u # U ==> T *＼* [u]
  apply (induct T)
  apply simp
  by (metis Con-rec(1-4))

lemma Resid-cons-ind:
shows [| T ≠ [] ; U ≠ [] ; length T + length U ≤ n |] ==>
  (forall t. t # T *＼* U <=> [t] *＼* U ∧ T *＼* U *＼* [t]) ∧
  (forall u. T *＼* u # U <=> T *＼* [u] ∧ T *＼* [u] *＼* U) ∧
  (forall t. t # T *＼* U ==> (t # T) *＼* U = [t] *＼* U @ T *＼* (U *＼* [t])) ∧
  (forall u. T *＼* u # U ==> T *＼* (u # U) = (T *＼* [u]) *＼* U)
proof (induct n arbitrary: T U)
  show [| T U. [| T ≠ [] ; U ≠ [] ; length T + length U ≤ 0 |] ==>
    (forall t. t # T *＼* U <=> [t] *＼* U ∧ T *＼* U *＼* [t]) ∧
    (forall u. T *＼* u # U <=> T *＼* [u] ∧ T *＼* [u] *＼* U) ∧
    (forall t. t # T *＼* U ==> (t # T) *＼* U = [t] *＼* U @ T *＼* (U *＼* [t])) ∧
    (forall u. T *＼* u # U ==> T *＼* (u # U) = (T *＼* [u]) *＼* U)
    by simp
  fix n and T U :: 'a list
  assume ind: [| T U. [| T ≠ [] ; U ≠ [] ; length T + length U ≤ n |] ==>
    (forall t. t # T *＼* U <=> [t] *＼* U ∧ T *＼* U *＼* [t]) ∧
    (forall u. T *＼* u # U <=> T *＼* [u] ∧ T *＼* [u] *＼* U) ∧
    (forall t. t # T *＼* U ==> (t # T) *＼* U = [t] *＼* U @ T *＼* (U *＼* [t])) ∧
    (forall u. T *＼* u # U ==> T *＼* (u # U) = (T *＼* [u]) *＼* U)

```

```

 $(\forall u. T * \sim^* u \# U \longrightarrow T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U)$ 
assume  $T: T \neq []$  and  $U: U \neq []$ 
assume  $len: length T + length U \leq Suc n$ 
show  $(\forall t. t \# T * \sim^* U \longleftrightarrow [t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]) \wedge$ 
 $(\forall u. T * \sim^* u \# U \longleftrightarrow T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U) \wedge$ 
 $(\forall t. t \# T * \sim^* U \longrightarrow (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])) \wedge$ 
 $(\forall u. T * \sim^* u \# U \longrightarrow T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U)$ 
proof (intro allI conjI iffI impI)
  fix  $t$ 
  show  $1: t \# T * \sim^* U \implies (t \# T) * \setminus^* U = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])$ 
  proof (cases U)
    show  $U = [] \implies ?thesis$ 
    using  $U$  by simp
    fix  $u U'$ 
    assume  $U: U = u \# U'$ 
    assume  $Con: t \# T * \sim^* U$ 
    show  $?thesis$ 
    proof (cases  $U' = []$ )
      show  $U' = [] \implies ?thesis$ 
      using  $T U Con R.con-sym Con-rec(2) Resid-rec(2)$  by auto
      assume  $U': U' \neq []$ 
      have  $(t \# T) * \setminus^* U = [t \setminus u] * \setminus^* U' @ (T * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])$ 
      using  $T U U' Con Resid-rec(4)$  by fastforce
      also have  $1: ... = [t] * \setminus^* U @ (T * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])$ 
      using  $T U U' Con Con-rec(3-4) Resid-rec(3)$  by auto
      also have  $... = [t] * \setminus^* U @ T * \setminus^* ((u \setminus t) \# (U' * \setminus^* [t \setminus u]))$ 
      proof -
        have  $T * \setminus^* ((u \setminus t) \# (U' * \setminus^* [t \setminus u])) = (T * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])$ 
        using  $T U U' ind [of T U' * \setminus^* [t \setminus u]] Con Con-rec(4) Con-sym len length-Resid$ 
        by fastforce
        thus  $?thesis$  by auto
      qed
      also have  $... = [t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t])$ 
      using  $T U U' 1 Con Con-rec(4) Con-sym1 Residx1-as-Resid$ 
         $Resid1x-as-Resid Resid-rec(2) Con-sym Con-initial-left$ 
      by auto
      finally show  $?thesis$  by simp
    qed
    qed
  show  $t \# T * \sim^* U \implies [t] * \sim^* U$ 
    by (simp add: Con-initial-left)
  show  $t \# T * \sim^* U \implies T * \sim^* (U * \setminus^* [t])$ 
    by (metis 1 Suc-inject T append-Nil2 length-0-conv length-Cons length-Resid)
  show  $[t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t] \implies t \# T * \sim^* U$ 
  proof (cases U)
    show  $\llbracket [t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]; U = [] \rrbracket \implies t \# T * \sim^* U$ 
    using  $U$  by simp
    fix  $u U'$ 
    assume  $U: U = u \# U'$ 

```

```

assume Con:  $[t] * \sim^* U \wedge T * \sim^* U * \setminus^* [t]$ 
show  $t \# T * \sim^* U$ 
proof (cases  $U' = []$ )
  show  $U' = [] \implies ?thesis$ 
    using  $T U$  Con
    by (metis Con-rec(2) Resid.simps(3) R.con-sym)
assume  $U': U' \neq []$ 
show ?thesis
proof -
  have  $t \sim u$ 
  using  $T U U'$  Con Con-rec(3) by blast
  moreover have  $T * \sim^* [u \setminus t]$ 
  using  $T U U'$  Con Con-initial-right Con-sym1 Residx1-as-Resid
    Resid1x-as-Resid Resid-rec(2)
  by (metis Con-sym)
  moreover have  $[t \setminus u] * \sim^* U'$ 
  using  $T U U'$  Con Resid-rec(3) by force
  moreover have  $T * \setminus^* [u \setminus t] * \sim^* U' * \setminus^* [t \setminus u]$ 
  by (metis (no-types, opaque-lifting) Con Con-sym Resid-rec(2) Suc-le-mono
    T U U' add-Suc-right calculation(3) ind len length-Cons length-Resid)
  ultimately show ?thesis
    using  $T U U'$  Con-rec(4) by simp
  qed
  qed
  qed
next
fix  $u$ 
show 1:  $T * \sim^* u \# U \implies T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U$ 
proof (cases  $T$ )
  show 2:  $[(T * \sim^* u \# U; T = [])] \implies T * \setminus^* (u \# U) = (T * \setminus^* [u]) * \setminus^* U$ 
  using  $T$  by simp
  fix  $t T'$ 
  assume  $T: T = t \# T'$ 
  assume Con:  $T * \sim^* u \# U$ 
  show ?thesis
  proof (cases  $T' = []$ )
    show  $T' = [] \implies ?thesis$ 
    using  $T U$  Con Con-rec(3) Resid1x-as-Resid Resid-rec(3) by force
    assume  $T': T' \neq []$ 
    have  $T * \setminus^* (u \# U) = [t \setminus u] * \setminus^* U @ (T' * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u])$ 
    using  $T U T'$  Con Resid-rec(4) [of  $T' U t u$ ] by simp
    also have ... =  $((t \setminus u) \# (T' * \setminus^* [u \setminus t])) * \setminus^* U$ 
  proof -
    have  $length (T' * \setminus^* [u \setminus t]) + length U \leq n$ 
    by (metis (no-types, lifting) Con Con-rec(4) One-nat-def Suc-eq-plus1 Suc-leI
      T T' U add-Suc le-less-trans len length-Resid lessI list.size(4)
      not-le)
  thus ?thesis
  using ind [of  $T' * \setminus^* [u \setminus t] U$ ] Con Con-rec(4) T T' U by auto

```

```

qed
also have ... = ( $T * \setminus^* [u]$ ) * \setminus^*  $U$ 
  using  $T U T' Con\ Con\text{-}rec(2,4)$  Resid\text{-}rec(2) by force
  finally show ?thesis by simp
qed
show  $T * \sim^* u \# U \implies T * \sim^* [u]$ 
  using 1 by force
show  $T * \sim^* u \# U \implies T * \setminus^* [u] * \sim^* U$ 
  using 1 by fastforce
show  $T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U \implies T * \sim^* u \# U$ 
proof (cases  $T$ )
  show  $\llbracket T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U; T = [] \rrbracket \implies T * \sim^* u \# U$ 
    using  $T$  by simp
  fix  $t T'$ 
  assume  $T: T = t \# T'$ 
  assume  $Con: T * \sim^* [u] \wedge T * \setminus^* [u] * \sim^* U$ 
  show  $Con\ T\ (u \# U)$ 
  proof (cases  $T' = []$ )
    show  $T' = [] \implies ?thesis$ 
      using  $Con\ T\ U\ Con\text{-}rec(1,3)$  by auto
    assume  $T': T' \neq []$ 
    have  $t \sim u$ 
      using  $Con\ T\ U\ T'\ Con\text{-}rec(2)$  by blast
    moreover have  $2: T' * \sim^* [u \setminus t]$ 
      using  $Con\ T\ U\ T'\ Con\text{-}rec(2)$  by blast
    moreover have  $[t \setminus u] * \sim^* U$ 
      using  $Con\ T\ U\ T'$ 
      by (metis Con-initial-left Resid\text{-}rec(2))
    moreover have  $T' * \setminus^* [u \setminus t] * \sim^* U * \setminus^* [t \setminus u]$ 
  proof -
    have 0:  $length\ (U * \setminus^* [t \setminus u]) = length\ U$ 
      using  $Con\ T\ U\ T'\ length\text{-}Resid\ Con\text{-}sym\ calculation(3)$  by blast
    hence 1:  $length\ T' + length\ (U * \setminus^* [t \setminus u]) \leq n$ 
      using  $Con\ T\ U\ T'\ len\ length\text{-}Resid\ Con\text{-}sym$  by simp
    have  $length\ ((T * \setminus^* [u]) * \setminus^* U) =$ 
       $length\ ([t \setminus u] * \setminus^* U) + length\ ((T' * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u]))$ 
  proof -
    have  $(T * \setminus^* [u]) * \setminus^* U =$ 
       $[t \setminus u] * \setminus^* U @ (T' * \setminus^* [u \setminus t]) * \setminus^* (U * \setminus^* [t \setminus u])$ 
      by (metis 0 1 2 Con Resid\text{-}rec(2) T T' U ind length\text{-}Resid)
    thus ?thesis
      using  $Con\ T\ U\ T'\ length\text{-}Resid$  by simp
  qed
  moreover have  $length\ ((T * \setminus^* [u]) * \setminus^* U) = length\ T$ 
    using  $Con\ T\ U\ T'\ length\text{-}Resid$  by metis
  moreover have  $length\ ([t \setminus u] * \setminus^* U) \leq 1$ 
    using  $Con\ T\ U\ T'\ Resid1x\text{-}as\text{-}Resid$ 
    by (metis One-nat-def length\text{-}Cons list.size(3) order-refl zero-le)

```

```

ultimately show ?thesis
  using Con T U T' length-Resid by auto
qed
ultimately show T *¬* u # U
  using T Con-rec(4) [of T' U t u] by fastforce
qed
qed
qed
qed

```

The following are the final versions of recursive expansion for consistency and residuation on paths. These are what I really wanted the original definitions to look like, but if this is tried, then *Con* and *Resid* end up having to be mutually recursive, expressing the definitions so that they are single-valued becomes an issue, and proving termination is more problematic.

```

lemma Con-cons:
assumes T ≠ [] and U ≠ []
shows t # T *¬* U ↔ [t] *¬* U ∧ T *¬* U *¬* [t]
and T *¬* u # U ↔ T *¬* [u] ∧ T *¬* [u] *¬* U
  using assms Resid-cons-ind [of T U] by blast+

```

```

lemma Con-consI [intro, simp]:
shows [| T ≠ []; U ≠ []; [t] *¬* U; T *¬* U *¬* [t]] ⇒ t # T *¬* U
and [| T ≠ []; U ≠ []; T *¬* [u]; T *¬* [u] *¬* U] ⇒ T *¬* u # U
  using Con-cons by auto

```

```

lemma Resid-cons:
assumes U ≠ []
shows t # T *¬* U ⇒ (t # T) *¬* U = ([t] *¬* U) @ (T *¬* (U *¬* [t]))
and T *¬* u # U ⇒ T *¬* (u # U) = (T *¬* [u]) *¬* U
  using assms Resid-cons-ind [of T U] Resid.simps(1)
  by blast+

```

The following expansion of residuation with respect to the first argument is stated in terms of the more primitive cons, rather than list append, but as a result  ${}^1\backslash^*$  has to be used.

```

lemma Resid-cons':
assumes T ≠ []
shows t # T *¬* U ⇒ (t # T) *¬* U = (t  ${}^1\backslash^*$  U) # (T *¬* (U *¬* [t]))
  using assms
  by (metis Con-sym Resid.simps(1) Resid1x-as-Resid Resid-cons(1)
      append-Cons append-Nil)

```

```

lemma Srcs-Resid-Arr-single:
assumes T *¬* [u]
shows Srcs (T *¬* [u]) = R.targets u
proof (cases T)
  show T = [] ⇒ Srcs (T *¬* [u]) = R.targets u

```

```

using assms by simp
fix t T'
assume T: T = t # T'
show Srcs (T *` [u]) = R.targets u
proof (cases T' = [])
  show T' = []  $\implies$  ?thesis
    using assms T R.sources-resid by auto
    assume T': T'  $\neq$  []
    have Srcs (T *` [u]) = Srcs ((t # T') *` [u])
      using T by simp
    also have ... = Srcs ((t \ u) # (T' *` ([u] *` T')))
      using assms T
      by (metis Resid-rec(2) Srcs.elims T' list.distinct(1) list.sel(1))
    also have ... = R.sources (t \ u)
      using Srcs.elims by blast
    also have ... = R.targets u
      using assms Con-rec(2) T T' R.sources-resid by force
    finally show ?thesis by blast
  qed
qed

```

```

lemma Srcs-Resid-single-Arr:
shows [u] *` T  $\implies$  Srcs ([u] *` T) = Trgs T
proof (induct T arbitrary: u)
  show  $\bigwedge u$ . [u] *` []  $\implies$  Srcs ([u] *` []) = Trgs []
    by simp
  fix t u T
  assume ind:  $\bigwedge u$ . [u] *` T  $\implies$  Srcs ([u] *` T) = Trgs T
  assume Con: [u] *` t  $\neq$  T
  show Srcs ([u] *` (t # T)) = Trgs (t # T)
  proof (cases T = [])
    show T = []  $\implies$  ?thesis
      using Con Srcs-Resid-Arr-single Trgs.simps(2) by presburger
    assume T: T  $\neq$  []
    have Srcs ([u] *` (t # T)) = Srcs ([u \ t] *` T)
      using Con Resid-rec(3) T by force
    also have ... = Trgs T
      using Con ind Con-rec(3) T by auto
    also have ... = Trgs (t # T)
      by (metis T Trgs.elims Trgs.simps(3))
    finally show ?thesis by simp
  qed
qed

```

```

lemma Trgs-Resid-sym-Arr-single:
shows T *` [u]  $\implies$  Trgs (T *` [u]) = Trgs ([u] *` T)
proof (induct T arbitrary: u)
  show  $\bigwedge u$ . [] *` [u]  $\implies$  Trgs ([] *` [u]) = Trgs ([u] *` [])
    by simp

```

```

fix t u T
assume ind:  $\bigwedge u. T * \sim^* [u] \implies \text{Trgs}(T * \setminus^* [u]) = \text{Trgs}([u] * \setminus^* T)$ 
assume Con:  $t \# T * \sim^* [u]$ 
show  $\text{Trgs}((t \# T) * \setminus^* [u]) = \text{Trgs}([u] * \setminus^* (t \# T))$ 
proof (cases  $T = []$ )
  show  $T = [] \implies ?\text{thesis}$ 
    using R.targets-resid-sym
    by (simp add: R.con-sym)
  assume  $T: T \neq []$ 
  show  $??\text{thesis}$ 
  proof -
    have  $\text{Trgs}((t \# T) * \setminus^* [u]) = \text{Trgs}((t \setminus u) \# (T * \setminus^* [u \setminus t]))$ 
      using Con Resid-rec(2) T by auto
    also have ... =  $\text{Trgs}(T * \setminus^* [u \setminus t])$ 
      using T Con Con-rec(2) [of T t u]
      by (metis Trgs.elims Trgs.simps(3))
    also have ... =  $\text{Trgs}([u \setminus t] * \setminus^* T)$ 
      using T Con ind Con-sym by metis
    also have ... =  $\text{Trgs}([u] * \setminus^* (t \# T))$ 
      using T Con Con-sym Resid-rec(3) by presburger
    finally show  $??\text{thesis}$  by blast
  qed
qed
qed

```

```

lemma Srcs-Resid [simp]:
shows  $T * \sim^* U \implies \text{Srcs}(T * \setminus^* U) = \text{Trgs}(U)$ 
proof (induct U arbitrary: T)
  show  $\bigwedge T. T * \sim^* [] \implies \text{Srcs}(T * \setminus^* []) = \text{Trgs}([])$ 
    using Con-sym Resid.simps(1) by blast
  fix u U T
  assume ind:  $\bigwedge T. T * \sim^* U \implies \text{Srcs}(T * \setminus^* U) = \text{Trgs}(U)$ 
  assume Con:  $T * \sim^* u \# U$ 
  show  $\text{Srcs}(T * \setminus^* (u \# U)) = \text{Trgs}(u \# U)$ 
    by (metis Con Resid-cons(2) Srcs-Resid-Arr-single Trgs.simps(2-3) ind
        list.exhaustsel)
qed

```

```

lemma Trgs-Resid-sym [simp]:
shows  $T * \sim^* U \implies \text{Trgs}(T * \setminus^* U) = \text{Trgs}(U * \setminus^* T)$ 
proof (induct U arbitrary: T)
  show  $\bigwedge T. T * \sim^* [] \implies \text{Trgs}(T * \setminus^* []) = \text{Trgs}([] * \setminus^* T)$ 
    by (meson Con-sym Resid.simps(1))
  fix u U T
  assume ind:  $\bigwedge T. T * \sim^* U \implies \text{Trgs}(T * \setminus^* U) = \text{Trgs}(U * \setminus^* T)$ 
  assume Con:  $T * \sim^* u \# U$ 
  show  $\text{Trgs}(T * \setminus^* (u \# U)) = \text{Trgs}((u \# U) * \setminus^* T)$ 
  proof (cases  $U = []$ )
    show  $U = [] \implies ?\text{thesis}$ 

```

```

using Con Trgs-Resid-sym-Arr-single by blast
assume U: U ≠ []
show ?thesis
proof -
  have Trgs (T *` (u # U)) = Trgs ((T *` [u]) *` U)
    using U by (metis Con Resid-cons(2))
  also have ... = Trgs (U *` (T *` [u]))
    using U Con by (metis Con-sym ind)
  also have ... = Trgs ((u # U) *` T)
    by (metis (no-types, opaque-lifting) Con-cons(1) Con-sym Resid.simps(1) Resid-cons'
      Trgs.simps(3) U neq-Nil-conv)
  finally show ?thesis by simp
qed
qed
qed

lemma img-Resid-Srcs:
shows Arr T ==> (λa. [a] *` T) ` Srcs T ⊆ (λb. [b]) ` Trgs T
proof (induct T)
  show Arr [] ==> (λa. [a] *` []) ` Srcs [] ⊆ (λb. [b]) ` Trgs []
    by simp
  fix t :: 'a and T :: 'a list
  assume tT: Arr (t # T)
  assume ind: Arr T ==> (λa. [a] *` T) ` Srcs T ⊆ (λb. [b]) ` Trgs T
  show (λa. [a] *` (t # T)) ` Srcs (t # T) ⊆ (λb. [b]) ` Trgs (t # T)
  proof
    fix B
    assume B: B ∈ (λa. [a] *` (t # T)) ` Srcs (t # T)
    show B ∈ (λb. [b]) ` Trgs (t # T)
    proof (cases T = [])
      assume T: T = []
      obtain a where a: a ∈ R.sources t ∧ [a \ t] = B
        by (metis (no-types, lifting) B R.composite-of-source-arr R.con-prfx-composite-of(1)
          Resid-rec(1) Srcs.simps(2) T Arr.simps(2) Con-rec(1) imageE tT)
      have a \ t ∈ Trgs (t # T)
        using tT T a
        by (simp add: R.resid-source-in-targets)
      thus ?thesis
        using B a image-iff by fastforce
    next
      assume T: T ≠ []
      obtain a where a: a ∈ R.sources t ∧ [a] *` (t # T) = B
        using tT T B Srcs.elims by blast
      have [a \ t] *` T = B
        using tT T B a
        by (metis Con-rec(3) R.arrI R.resid-source-in-targets R.targets-are-cong
          Resid-rec(3) R.arr-resid-iff-con R.ide-implies-arr)
      moreover have a \ t ∈ Srcs T
        using a tT
    qed
  qed
qed

```

```

by (metis Arr.simps(3) R.resid-source-in-targets T neq-Nil-conv subsetD)
ultimately show ?thesis
  using T tT ind
    by (metis Trgs.simps(3) Arr.simps(3) image-iff list.exhaust-sel subsetD)
qed
qed
qed

lemma Resid-Arr-Src:
shows [[Arr T; a ∈ Srcs T] ⇒ T *＼* [a] = T]
proof (induct T arbitrary: a)
  show ∀a. [[Arr []; a ∈ Srcs []]] ⇒ [] *＼* [a] = []
    by simp
  fix a t T
  assume ind: ∀a. [[Arr T; a ∈ Srcs T] ⇒ T *＼* [a] = T]
  assume Arr: Arr (t # T)
  assume a: a ∈ Srcs (t # T)
  show (t # T) *＼* [a] = t # T
  proof (cases T = [])
    show T = [] ⇒ ?thesis
      using a R.resid-arr-ide R.sources-def by auto
    assume T: T ≠ []
    show (t # T) *＼* [a] = t # T
    proof -
      have 1: R.arr t ∧ Arr T ∧ R.targets t ⊆ Srcs T
        using Arr T
        by (metis Arr.elims(2) list.sel(1) list.sel(3))
      have 2: t # T *＼* [a]
        using T a Arr Con-rec(2)
        by (metis (no-types, lifting) img-Resid-Srcs Con-sym imageE image-subset-iff
            list.distinct(1))
      have (t # T) *＼* [a] = (t \ a) # (T *＼* [a \ t])
        using 2 T Resid-rec(2) by simp
      moreover have t \ a = t
        using Arr a R.sources-def
        by (metis 2 CollectD Con-rec(2) T Srcs-are-ide in-mono R.resid-arr-ide)
      moreover have T *＼* [a \ t] = T
        by (metis 1 2 R.in-sourcesI R.resid-source-in-targets Srcs-are-ide T a
            Con-rec(2) in-mono ind mem-Collect-eq)
      ultimately show ?thesis by simp
    qed
  qed
qed

```

```

lemma Con-single-ide-ind:
shows R.ide a ⇒ [a] *＼* T ←→ Arr T ∧ a ∈ Srcs T
proof (induct T arbitrary: a)
  show ∀a. [a] *＼* [] ←→ Arr [] ∧ a ∈ Srcs []
    by simp

```

```

fix a t T
assume ind:  $\bigwedge a. R.ide a \implies [a] * \sim^* T \longleftrightarrow Arr T \wedge a \in Srcs T$ 
assume a: R.ide a
show  $[a] * \sim^* (t \# T) \longleftrightarrow Arr (t \# T) \wedge a \in Srcs (t \# T)$ 
proof (cases T = [])
  show T = []  $\implies ?thesis$ 
    using a Con-sym
    by (metis Arr.simps(2) Resid-Arr-Src Srcs.simps(2) R.arr-iff-has-source
        Con-rec(1) empty-iff R.in-sourcesI list.distinct(1))
  assume T: T  $\neq []$ 
  have 1:  $[a] * \sim^* (t \# T) \longleftrightarrow a \sim t \wedge [a \setminus t] * \sim^* T$ 
    using a T Con-cons(2) [of [a] T t] by simp
  also have 2: ...  $\longleftrightarrow a \sim t \wedge Arr T \wedge a \setminus t \in Srcs T$ 
    using a T ind R.resid-ide-arr by blast
  also have ...  $\longleftrightarrow Arr (t \# T) \wedge a \in Srcs (t \# T)$ 
    using a T Con-sym R.con-sym Resid-Arr-Src R.con-implies-arr Srcs-are-ide
    apply (cases T)
    apply simp
    by (metis Arr.simps(3) R.resid-arr-ide R.targets-resid-sym Srcs.simps(3)
        Srcs-Resid-Arr-single calculation dual-order.eq-iff list.distinct(1)
        R.in-sourcesI)
  finally show ?thesis by simp
qed
qed

lemma Con-single-ide-iff:
assumes R.ide a
shows  $[a] * \sim^* T \longleftrightarrow Arr T \wedge a \in Srcs T$ 
using assms Con-single-ide-ind by simp

lemma Con-single-ideI [intro]:
assumes R.ide a and Arr T and a  $\in Srcs T$ 
shows  $[a] * \sim^* T \text{ and } T * \sim^* [a]$ 
using assms Con-single-ide-iff Con-sym by auto

lemma Resid-single-ide:
assumes R.ide a and  $[a] * \sim^* T$ 
shows  $[a] * \setminus^* T \in (\lambda b. [b])`Trgs T \text{ and } [simp]: T * \setminus^* [a] = T$ 
using assms Con-single-ide-ind img-Resid-Srcs Resid-Arr-Src Con-sym
by blast+

lemma Resid-Arr-Ide-ind:
shows  $\llbracket Ide A; T * \sim^* A \rrbracket \implies T * \setminus^* A = T$ 
proof (induct A)
  show  $\llbracket Ide []; T * \sim^* [] \rrbracket \implies T * \setminus^* [] = T$ 
    by simp
  fix a A
  assume ind:  $\llbracket Ide A; T * \sim^* A \rrbracket \implies T * \setminus^* A = T$ 
  assume Ide: Ide (a  $\# A$ )

```

```

assume Con:  $T * \sim^* a \# A$ 
show  $T * \setminus^* (a \# A) = T$ 
    by (metis (no-types, lifting) Con Con-initial-left Con-sym Ide Ide.elims(2)
        Resid-cons(2) Resid-single-ide(2) ind list.inject)
qed

lemma Resid-Ide-Arr-ind:
shows  $\llbracket \text{Ide } A; A * \sim^* T \rrbracket \implies \text{Ide} (A * \setminus^* T)$ 
proof (induct A)
    show  $\llbracket \text{Ide } []; [] * \sim^* T \rrbracket \implies \text{Ide} ([] * \setminus^* T)$ 
        by simp
    fix a A
    assume ind:  $\llbracket \text{Ide } A; A * \sim^* T \rrbracket \implies \text{Ide} (A * \setminus^* T)$ 
    assume Ide:  $\text{Ide} (a \# A)$ 
    assume Con:  $a \# A * \sim^* T$ 
    have T: Arr T
        using Con Ide Con-single-ide-ind Con-initial-left Ide.elims(2)
        by blast
    show  $\text{Ide} ((a \# A) * \setminus^* T)$ 
    proof (cases A = [])
        show  $A = [] \implies ?\text{thesis}$ 
            by (metis Con Con-sym1 Ide Ide.simps(2) Resid1x-as-Resid Resid1x-ide
                Resid1x-as-Resid Con-sym)
        assume A:  $A \neq []$ 
        show ?thesis
        proof –
            have Ide ([a] * \setminus^* T)
                by (metis Con Con-initial-left Con-sym Con-sym1 Ide Ide.simps(3)
                    Resid1x-as-Resid Resid1x-as-Resid Ide.simps(2) Resid1x-ide
                    list.exhaustsel)
            moreover have Trgs ([a] * \setminus^* T)  $\subseteq$  Srcs (A * \setminus^* T)
                using A T Ide Con
                by (metis (no-types, lifting) Con-sym Ide.elims(2) Ide.simps(2) Resid-Arr-Ide-ind
                    Srcs-Resid Trgs-Resid-sym Con-cons(2) dual-order.eq-iff list.inject)
            moreover have Ide (A * \setminus^* (T * \setminus^* [a]))
                by (metis A Con Con-cons(1) Con-sym Ide Ide.simps(3) Resid-Arr-Ide-ind
                    Resid-single-ide(2) ind list.exhaustsel)
            moreover have Ide ((a # A) * \setminus^* T)  $\longleftrightarrow$ 
                Ide ([a] * \setminus^* T)  $\wedge$  Ide (A * \setminus^* (T * \setminus^* [a]))  $\wedge$ 
                Trgs ([a] * \setminus^* T)  $\subseteq$  Srcs (A * \setminus^* T)
            using calculation(1–3)
            by (metis Arr.simps(1) Con Ide Ide.simps(3) Resid1x-as-Resid Resid-cons'
                Trgs.simps(2) Con-single-ide-iff Ide.simps(2) Ide-implies-Arr Resid-Arr-Src
                list.exhaustsel)
            ultimately show ?thesis by blast
        qed
    qed
qed

```

```

lemma Resid-Ide:
assumes Ide A and A *¬* T
shows T *¬* A = T and Ide (A *¬* T)
using assms Resid-Ide-Arr-ind Resid-Arr-Ide-ind Con-sym by auto

lemma Con-Ide-iff:
shows Ide A ==> A *¬* T <=> Arr T ∧ Srcs T = Srcs A
proof (induct A)
show Ide [] ==> [] *¬* T <=> Arr T ∧ Srcs T = Srcs []
by simp
fix a A
assume ind: Ide A ==> A *¬* T <=> Arr T ∧ Srcs T = Srcs A
assume Ide: Ide (a # A)
show a # A *¬* T <=> Arr T ∧ Srcs T = Srcs (a # A)
proof (cases A = [])
show A = [] ==> ?thesis
using Con-single-ide-ind Ide
by (metis Arr.simps(2) Con-sym Ide.simps(2) Ide-implies-Arr R.arrE
Resid-Arr-Src Srcs.simps(2) Srcs-Resid R.in-sourcesI)
assume A: A ≠ []
have a # A *¬* T <=> [a] *¬* T ∧ A *¬* T *¬* [a]
using A Ide Con-cons(1) [of A T a] by fastforce
also have ... <=> Arr T ∧ a ∈ Srcs T
by (metis A Arr-has-Src Con-single-ide-ind Ide Ide.elims(2) Resid-Arr-Src
Srcs-Resid-Arr-single Con-sym Srcs-eqI ind inf.absorb-iff2 list.inject)
also have ... <=> Arr T ∧ Srcs T = Srcs (a # A)
by (metis A 1 Con-sym Ide Ide.simps(3) R.ideE
R.sources-resid Resid-Arr-Src Srcs.simps(3) Srcs-Resid-Arr-single
list.exhaust-sel R.in-sourcesI)
finally show a # A *¬* T <=> Arr T ∧ Srcs T = Srcs (a # A)
by blast
qed
qed

lemma Con-IdeI:
assumes Ide A and Arr T and Srcs T = Srcs A
shows A *¬* T and T *¬* A
using assms Con-Ide-iff Con-sym by auto

lemma Con-Arr-self:
shows Arr T ==> T *¬* T
proof (induct T)
show Arr [] ==> [] *¬* []
by simp
fix t T
assume ind: Arr T ==> T *¬* T
assume Arr: Arr (t # T)
show t # T *¬* t # T
proof (cases T = [])

```

```

show  $T = [] \implies ?thesis$ 
  using Arr R.arrE by simp
assume  $T: T \neq []$ 
have  $t \sim t \wedge T * \sim^* [t \setminus t] \wedge [t \setminus t] * \sim^* T \wedge T * \setminus^* [t \setminus t] * \sim^* T * \setminus^* [t \setminus t]$ 
proof -
  have  $t \sim t$ 
    using Arr Arr.elims(1) by auto
  moreover have  $T * \sim^* [t \setminus t]$ 
  proof -
    have Ide  $[t \setminus t]$ 
      by (simp add: R.arr-def R.prfx-reflexive calculation)
    moreover have Srcs  $[t \setminus t] = Srcs T$ 
      by (metis Arr Arr.simps(2) Arr-has-Trg R.arrE R.sources-resid Srcs.simps(2)
          Srcs-eqI T Trgs.simps(2) Arr.simps(3) inf.absorb-iff2 list.exhaust)
    ultimately show ?thesis
      by (metis Arr Con-sym T Arr.simps(3) Con-Ide-iff neq-Nil-conv)
  qed
  ultimately show ?thesis
    by (metis Con-single-ide-ind Con-sym R.prfx-reflexive
        Resid-single-ide(2) ind R.con-implies-arr(1))
qed
thus ?thesis
  using Con-rec(4) [of T T t t] by force
qed
qed

```

**lemma Resid-Arr-self:**

shows  $Arr T \implies Ide (T * \setminus^* T)$

proof (induct T)

show  $Arr [] \implies Ide ([] * \setminus^* [])$

by simp

fix  $t T$

assume  $ind: Arr T \implies Ide (T * \setminus^* T)$

assume  $Arr: Arr (t \# T)$

show  $Ide ((t \# T) * \setminus^* (t \# T))$

proof (cases  $T = []$ )

show  $T = [] \implies ?thesis$

using Arr R.prfx-reflexive by auto

assume  $T: T \neq []$

have  $1: (t \# T) * \setminus^* (t \# T) = t ^1 \setminus^* (t \# T) \# T * \setminus^* ((t \# T) * \setminus^* [t])$

using Arr T Resid-cons' [of T t t # T] Con-Arr-self by presburger

also have ... =  $(t \setminus t) ^1 \setminus^* T \# T * \setminus^* (t ^1 \setminus^* [t]) \# T * \setminus^* ([t] * \setminus^* [t])$

using Arr T Resid-cons' [of T t [t]]

by (metis Con-initial-right Resid1x.simps(3) calculation neq-Nil-conv)

also have ... =  $(t \setminus t) ^1 \setminus^* T \# (T * \setminus^* ([t] * \setminus^* [t])) * \setminus^* (T * \setminus^* ([t] * \setminus^* [t]))$

by (metis 1 Resid1x.simps(2) Residx1.simps(2) Residx1-as-Resid T calculation
 Con-cons(1) Con-rec(4) Resid-cons(2) list.distinct(1) list.inject)

finally have  $2: (t \# T) * \setminus^* (t \# T) =$

$(t \setminus t) ^1 \setminus^* T \# (T * \setminus^* ([t] * \setminus^* [t])) * \setminus^* (T * \setminus^* ([t] * \setminus^* [t]))$

```

by blast
moreover have Ide ...
proof -
have R.ide ((t \ t) ^\* T)
  using Arr T
  by (metis Con-initial-right Con-rec(2) Con-sym1 R.con-implies-arr(1)
       Resid1x-ide Con-Arr-self Residx1-as-Resid R.prfx-reflexive)
moreover have Ide ((T ^\* ([t] ^\* [t])) ^\* (T ^\* ([t] ^\* [t])))
  using Arr T
  by (metis Con-Arr-self Con-rec(4) Resid-single-ide(2) Con-single-ide-ind
       Resid.simps(3) ind R.prfx-reflexive R.con-implies-arr(2))
moreover have R.targets ((t \ t) ^\* T) ⊆
  Srcs ((T ^\* ([t] ^\* [t])) ^\* (T ^\* ([t] ^\* [t])))
  by (metis (no-types, lifting) 1 2 Con-cons(1) Resid1x-as-Resid T Trgs.simps(2)
       Trgs-Resid-sym Srcs-Resid dual-order.eq-iff list.discI list.inject)
ultimately show ?thesis
  using Arr T
  by (metis Ide.simps(1,3) list.exhaust-sel)
qed
ultimately show ?thesis by auto
qed
qed

lemma Con-imp-eq-Srcs:
assumes T *~ U
shows Srcs T = Srcs U
proof (cases T)
  show T = [] ==> ?thesis
    using assms by simp
  fix t T'
  assume T: T = t # T'
  show Srcs T = Srcs U
  proof (cases U)
    show U = [] ==> ?thesis
      using assms T by simp
    fix u U'
    assume U: U = u # U'
    show Srcs T = Srcs U
      by (metis Con-initial-right Con-rec(1) Con-sym R.con-imp-common-source
           Srcs.simps(2-3) Srcs-eqI T Trgs.cases U assms)
  qed
qed

lemma Arr-iff-Con-self:
shows Arr T <=> T *~ T
proof (induct T)
  show Arr [] <=> [] *~ []
    by simp
  fix t T

```

```

assume ind: Arr T  $\longleftrightarrow$  T * $\frown^*$  T
show Arr (t # T)  $\longleftrightarrow$  t # T * $\frown^*$  t # T
proof (cases T = [])
  show T = []  $\implies$  ?thesis
    by auto
  assume T: T  $\neq$  []
  show ?thesis
proof
  show Arr (t # T)  $\implies$  t # T * $\frown^*$  t # T
    using Con-Arr-self by simp
  show t # T * $\frown^*$  t # T  $\implies$  Arr (t # T)
proof -
  assume Con: t # T * $\frown^*$  t # T
  have R.arr t
  using T Con Con-rec(4) [of T T t t] by blast
  moreover have Arr T
  using T Con Con-rec(4) [of T T t t] ind R.arrI
  by (meson R.prfx-reflexive Con-single-ide-ind)
  moreover have R.targets t  $\subseteq$  Srcs T
  using T Con
  by (metis Con-cons(2) Con-imp-eq-Srcs Trgs.simps(2)
      Srcs-Resid list.distinct(1) subsetI)
  ultimately show ?thesis
    by (cases T) auto
  qed
  qed
  qed
  qed

lemma Arr-Resid-single:
shows T * $\frown^*$  [u]  $\implies$  Arr (T * $\setminus^*$  [u])
proof (induct T arbitrary: u)
  show  $\bigwedge$  u. [] * $\frown^*$  [u]  $\implies$  Arr ([] * $\setminus^*$  [u])
    by simp
  fix t u T
  assume ind:  $\bigwedge$  u. T * $\frown^*$  [u]  $\implies$  Arr (T * $\setminus^*$  [u])
  assume Con: t # T * $\frown^*$  [u]
  show Arr ((t # T) * $\setminus^*$  [u])
proof (cases T = [])
  show T = []  $\implies$  ?thesis
    using Con Arr-iff-Con-self R.con-imp-arr-resid Con-rec(1) by fastforce
  assume T: T  $\neq$  []
  have Arr ((t # T) * $\setminus^*$  [u])  $\longleftrightarrow$  Arr ((t \ u) # (T * $\setminus^*$  [u \ t]))
    using Con T Resid-rec(2) by auto
  also have ...  $\longleftrightarrow$  R.arr (t \ u)  $\wedge$  Arr (T * $\setminus^*$  [u \ t])  $\wedge$ 
    R.targets (t \ u)  $\subseteq$  Srcs (T * $\setminus^*$  [u \ t])
  using Con T
  by (metis Arr.simps(3) Con-rec(2) neq-Nil-conv)
  also have ...  $\longleftrightarrow$  R.con t u  $\wedge$  Arr (T * $\setminus^*$  [u \ t])

```

```

using Con T
by (metis Srcs-Resid-Arr-single Con-rec(2) R.arr-resid-iff-con subsetI
      R.targets-resid-sym)
also have ... ⟷ True
  using Con ind T Con-rec(2) by blast
finally show ?thesis by auto
qed
qed

lemma Con-imp-Arr-Resid:
shows  $T^* \sim^* U \implies \text{Arr}(T^*\setminus^* U)$ 
proof (induct U arbitrary: T)
  show  $\bigwedge T. T^* \sim^* [] \implies \text{Arr}(T^*\setminus^* [])$ 
    by (meson Con-sym Resid.simps(1))
  fix u U T
  assume ind:  $\bigwedge T. T^* \sim^* U \implies \text{Arr}(T^*\setminus^* U)$ 
  assume Con:  $T^* \sim^* u \# U$ 
  show  $\text{Arr}(T^*\setminus^* (u \# U))$ 
    by (metis Arr-Resid-single Con Resid-cons(2) ind)
qed

lemma Cube-ind:
shows  $\llbracket T^* \sim^* U; V^* \sim^* T; \text{length } T + \text{length } U + \text{length } V \leq n \rrbracket \implies$ 
 $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longleftrightarrow V^*\setminus^* U^* \sim^* T^*\setminus^* U) \wedge$ 
 $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longrightarrow$ 
 $(V^*\setminus^* T)^*\setminus^* (U^*\setminus^* T) = (V^*\setminus^* U)^*\setminus^* (T^*\setminus^* U))$ 
proof (induct n arbitrary: T U V)
  show  $\bigwedge T U V. \llbracket T^* \sim^* U; V^* \sim^* T; \text{length } T + \text{length } U + \text{length } V \leq 0 \rrbracket \implies$ 
     $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longleftrightarrow V^*\setminus^* U^* \sim^* T^*\setminus^* U) \wedge$ 
     $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longrightarrow$ 
     $(V^*\setminus^* T)^*\setminus^* (U^*\setminus^* T) = (V^*\setminus^* U)^*\setminus^* (T^*\setminus^* U))$ 
    by simp
  fix n and T U V :: 'a list
  assume Con-TU:  $T^* \sim^* U$  and Con-VT:  $V^* \sim^* T$ 
  have T:  $T \neq []$ 
    using Con-TU by auto
  have U:  $U \neq []$ 
    using Con-TU Con-sym Resid.simps(1) by blast
  have V:  $V \neq []$ 
    using Con-VT by auto
  assume len:  $\text{length } T + \text{length } U + \text{length } V \leq \text{Suc } n$ 
  assume ind:  $\bigwedge T U V. \llbracket T^* \sim^* U; V^* \sim^* T; \text{length } T + \text{length } U + \text{length } V \leq n \rrbracket \implies$ 
     $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longleftrightarrow V^*\setminus^* U^* \sim^* T^*\setminus^* U) \wedge$ 
     $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longrightarrow$ 
     $(V^*\setminus^* T)^*\setminus^* (U^*\setminus^* T) = (V^*\setminus^* U)^*\setminus^* (T^*\setminus^* U))$ 
  show  $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longleftrightarrow V^*\setminus^* U^* \sim^* T^*\setminus^* U) \wedge$ 
     $(V^*\setminus^* T^* \sim^* U^*\setminus^* T \longrightarrow (V^*\setminus^* T)^*\setminus^* (U^*\setminus^* T) = (V^*\setminus^* U)^*\setminus^* (T^*\setminus^* U))$ 
  proof (cases V)
    show  $V = [] \implies ?\text{thesis}$ 

```

using  $V$  by *simp*

```

fix v V'
assume V:  $V = v \# V'$ 
show ?thesis
proof (cases U)
  show  $U = [] \implies ?thesis$ 
    using U by simp
  fix u U'
  assume U:  $U = u \# U'$ 
  show ?thesis
  proof (cases T)
    show  $T = [] \implies ?thesis$ 
      using T by simp
    fix t T'
    assume T:  $T = t \# T'$ 
    show ?thesis
    proof (cases  $V' = []$ , cases  $U' = []$ , cases  $T' = []$ )
      show  $\llbracket V' = [] ; U' = [] ; T' = [] \rrbracket \implies ?thesis$ 
        using T U V R.cube Con-TU Resid.simps(2-3) R.arr-resid-iff-con
          R.con-implies-arr Con-sym
        by metis
      assume T':  $T' \neq []$  and V':  $V' = []$  and U':  $U' = []$ 
      have 1:  $U * \sim^* [t]$ 
        using T Con-TU Con-cons(2) Con-sym Resid.simps(2) by metis
      have 2:  $V * \sim^* [t]$ 
        using V Con-VT Con-initial-right T by blast
      show ?thesis
    proof (intro conjI impI)
      have 3:  $\text{length } [t] + \text{length } U + \text{length } V \leq n$ 
        using T T' le-Suc-eq len by fastforce
      show  $*: V * \setminus T * \sim^* U * \setminus T \longleftrightarrow V * \setminus U * \sim^* T * \setminus U$ 
      proof -
        have  $V * \setminus T * \sim^* U * \setminus T \longleftrightarrow (V * \setminus [t]) * \setminus T' * \sim^* (U * \setminus [t]) * \setminus T'$ 
          using Con-TU Con-VT Con-sym Resid-cons(2) T T' by force
        also have ...  $\longleftrightarrow V * \setminus [t] * \sim^* U * \setminus [t] \wedge$ 
           $(V * \setminus [t]) * \setminus (U * \setminus [t]) * \sim^* T' * \setminus (U * \setminus [t])$ 
        proof (intro iffI conjI)
          show  $(V * \setminus [t]) * \setminus T' * \sim^* (U * \setminus [t]) * \setminus T' \implies V * \setminus [t] * \sim^* U * \setminus [t]$ 
            using T U V T' U' V' 1 ind [of T'] len Con-TU Con-rec(2) Resid-rec(1)
              Resid.simps(1) length-Cons Suc-le-mono add-Suc
            by (metis (no-types))
          show  $(V * \setminus [t]) * \setminus T' * \sim^* (U * \setminus [t]) * \setminus T' \implies$ 
             $(V * \setminus [t]) * \setminus (U * \setminus [t]) * \sim^* T' * \setminus (U * \setminus [t])$ 
            using T U V T' U' V'
            by (metis Con-sym Resid.simps(1) Resid-rec(1) Suc-le-mono ind len
              length-Cons list.size(3-4))
          show  $V * \setminus [t] * \sim^* U * \setminus [t] \wedge$ 
             $(V * \setminus [t]) * \setminus (U * \setminus [t]) * \sim^* T' * \setminus (U * \setminus [t]) \implies$ 

```

```


$$(V * \ [t]) * \ T' * \ (U * \ [t]) * \ T'$$

using  $T U V T' U' V' 1$  ind  $\text{len Con-TU Con-VT Con-rec(1-3)}$ 
by (metis (no-types, lifting) One-nat-def Resid-rec(1) Suc-le-mono add.commute list.size(3) list.size(4) plus-1-eq-Suc)
qed
also have ...  $\longleftrightarrow (V * \ U) * \ ([t] * \ U) * \ T' * \ (U * \ [t])$ 
by (metis 2 3 Con-sym ind Resid.simps(1))
also have ...  $\longleftrightarrow V * \ U * \ T * \ U$ 
using  $\text{Con-rec(2) [of } T' t]$ 
by (metis (no-types, lifting) 1 Con-TU Con-cons(2) Resid.simps(1) Resid.simps(3) Resid-rec(2) T T' U U')
finally show ?thesis by simp
qed
assume  $\text{Con: } V * \ T * \ U * \ T$ 
show  $(V * \ T) * \ (U * \ T) = (V * \ U) * \ (T * \ U)$ 
proof –
  have  $(V * \ T) * \ (U * \ T) = ((V * \ [t]) * \ T') * \ ((U * \ [t]) * \ T')$ 
  using  $\text{Con-TU Con-VT Con-sym Resid-cons(2) T T' by force}$ 
  also have ...  $= ((V * \ [t]) * \ (U * \ [t])) * \ (T' * \ (U * \ [t]))$ 
  using  $T U V T' U' V' 1$  Con ind [of  $T'$  Resid U [t] Resid V [t]]
  by (metis One-nat-def add.commute calculation len length-0-conv length-Resid list.size(4) nat-add-left-cancel-le Con-sym plus-1-eq-Suc)
  also have ...  $= ((V * \ U) * \ ([t] * \ U)) * \ (T' * \ (U * \ [t]))$ 
  by (metis 1 2 3 Con-sym ind)
  also have ...  $= (V * \ U) * \ (T * \ U)$ 
  using  $T U T' U' \text{Con } *$ 
  by (metis Con-sym Resid-rec(1-2) Resid.simps(1) Resid-cons(2))
  finally show ?thesis by simp
qed
qed
next
assume  $U': U' \neq []$  and  $V': V' = []$ 
show ?thesis
proof (intro conjI impI)
  show  $*: V * \ T * \ U * \ T \longleftrightarrow V * \ U * \ T * \ U$ 
proof (cases T' = [])
  assume  $T': T' = []$ 
  show ?thesis
  proof –
    have  $V * \ T * \ U * \ T \longleftrightarrow V * \ [t] * \ (u \ t) \# (U' * \ [t \ u])$ 
    using  $\text{Con-TU Con-sym Resid-rec(2) T T' U U' by auto}$ 
    also have ...  $\longleftrightarrow (V * \ [t]) * \ [u \ t] * \ U' * \ [t \ u]$ 
    by (metis Con-TU Con-cons(2) Con-rec(3) Con-sym Resid.simps(1) T U U')
    also have ...  $\longleftrightarrow (V * \ [u]) * \ [t \ u] * \ U' * \ [t \ u]$ 
    using  $T U V V' R.\text{cube-ax}$ 
    apply simp
    by (metis R.con-implies-arr(1) R.not-arr-null R.con-def)
    also have ...  $\longleftrightarrow (V * \ [u]) * \ U' * \ [t \ u] * \ U'$ 
proof –

```

```

have length [t \ u] + length U' + length (V *`[u]) ≤ n
  using T U V V' len by force
thus ?thesis
  by (metis Con-sym Resid.simps(1) add.commute ind)
qed
also have ... ←→ V *` U *` T *` U
  by (metis Con-TU Resid-cons(2) Resid-rec(3) T T' U U' Con-cons(2)
       length-Resid length-0-conv)
finally show ?thesis by simp
qed
next
assume T': T' ≠ []
show ?thesis
proof -
  have V *` T *` U *` T ←→ (V *` [t]) *` T' *` ((U *` [t]) *` T')
    using Con-TU Con-VT Con-sym Resid-cons(2) T T' by force
  also have ... ←→ (V *` [t]) *` (U *` [t]) *` T' *` (U *` [t])
  proof -
    have length T' + length (U *` [t]) + length (V *` [t]) ≤ n
      by (metis (no-types, lifting) Con-TU Con-VT Con-initial-right Con-sym
           One-nat-def Suc-eq-plus1 T ab-semigroup-add-class.add-ac(1)
           add-le-imp-le-left len length-Resid list.size(4) plus-1-eq-Suc)
    thus ?thesis
      by (metis Con-TU Con-VT Con-cons(1) Con-cons(2) T T' U V ind list.discI)
  qed
  also have ... ←→ (V *` U) *` ([t] *` U) *` T' *` (U *` [t])
  proof -
    have length [t] + length U + length V ≤ n
      using T T' le-Suc-eq len by fastforce
    thus ?thesis
      by (metis Con-TU Con-VT Con-initial-left Con-initial-right T ind)
  qed
  also have ... ←→ V *` U *` T *` U
    by (metis Con-cons(2) Con-sym Resid.simps(1) Resid1x-as-Resid
         Residx1-as-Resid Resid-cons' T T')
  finally show ?thesis by blast
qed
qed
show V *` T *` U *` T ==>
  (V *` T) *` (U *` T) = (V *` U) *` (T *` U)
proof -
  assume Con: V *` T *` U *` T
  show ?thesis
  proof (cases T' = [])
    assume T': T' = []
    show ?thesis
    proof -
      have 1: (V *` T) *` (U *` T) =
        (V *` T) *` ((u \ t) # (U' *` [t \ u]))
      ...
    qed
  qed

```

**using** Con-TU Con-sym Resid-rec(2) T T' U U' **by force**  
**also have** ... = ((V \*` [t]) \*` [u \ t]) \*` (U' \*` [t \ u])  
**by** (metis Con Con-TU Con-rec(2) Con-sym Resid-cons(2) T T' U U'  
*calculation*)  
**also have** ... = ((V \*` [u]) \*` [t \ u]) \*` (U' \*` [t \ u])  
**by** (metis \* Con Con-rec(3) R.cube Resid.simps(1,3) T T' U V V'  
*calculation* R.conI R.conE)  
**also have** ... = ((V \*` [u]) \*` U') \*` ([t \ u] \*` U')  
**proof** –  
**have** length [t \ u] + length (U' \*` [t \ u]) + length (V \*` [u]) ≤ n  
**by** (metis (no-types, lifting) Nat.le-diff-conv2 One-nat-def T U V V'  
*add.commute add-diff-cancel-left' add-leD2 len length-Cons*  
*length-Resid list.size(3) plus-1-eq-Suc)*

**thus** ?thesis  
**by** (metis Con-sym add.commute Resid.simps(1) *ind length-Resid*)  
**qed**

**also have** ... = (V \*` U) \*` (T \*` U)  
**by** (metis Con-TU Con-cons(2) Resid-cons(2) T T' U U'  
*Resid-rec(3) length-0-conv length-Resid*)  
**finally show** ?thesis **by** blast

**qed**

**next**  
**assume** T': T' ≠ []  
**show** ?thesis  
**proof** –  
**have** (V \*` T) \*` (U \*` T) =  
 ((V \*` T) \*` ([u] \*` T)) \*` (U' \*` (T \*` [u]))  
**by** (metis Con Con-TU Resid.simps(2) Resid1x-as-Resid U U'  
*Con-cons(2) Con-sym Resid-cons' Resid-cons(2)*)  
**also have** ... = ((V \*` [u]) \*` (T \*` [u])) \*` (U' \*` (T \*` [u]))  
**proof** –  
**have** length T + length [u] + length V ≤ n  
**using** U U' antisym-conv len not-less-eq-eq **by** fastforce  
**thus** ?thesis  
**by** (metis Con-TU Con-VT Con-initial-right U *ind*)  
**qed**

**also have** ... = ((V \*` [u]) \*` U') \*` ((T \*` [u]) \*` U')  
**proof** –  
**have** length (T \*` [u]) + length U' + length (V \*` [u]) ≤ n  
**using** Con-TU Con-initial-right U V V' len length-Resid **by** force  
**thus** ?thesis  
**by** (metis Con Con-TU Con-cons(2) U U' *calculation* *ind length-0-conv*  
*length-Resid*)  
**qed**

**also have** ... = (V \*` U) \*` (T \*` U)  
**by** (metis \* Con Con-TU Resid-cons(2) U U' *length-Resid length-0-conv*)  
**finally show** ?thesis **by** blast

**qed**

**qed**

```

qed
qed
next
assume  $V': V' \neq []$ 
show ?thesis
proof (cases  $U' = []$ )
  assume  $U': U' = []$ 
  show ?thesis
  proof (cases  $T' = []$ )
    assume  $T': T' = []$ 
    show ?thesis
    proof (intro conjI impI)
      show  $*: V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U$ 
      proof -
        have  $V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow (v \setminus t) \# (V' * \setminus^* [t \setminus v]) * \setminus^* [u \setminus t]$ 
        using Con-TU Con-VT Con-sym Resid-rec(1-2) T T' U U' V V'
        by metis
        also have ...  $\longleftrightarrow [v \setminus t] * \setminus^* [u \setminus t] \wedge$ 
                       $V' * \setminus^* [t \setminus v] * \setminus^* [u \setminus v] * \setminus^* [t \setminus v]$ 
      proof -
        have  $V' * \setminus^* [t \setminus v]$ 
        using T T' V V' Con-VT Con-rec(2) by blast
        thus ?thesis
        using R.con-def R.con-sym R.cube
          Con-rec(2) [of  $V' * \setminus^* [t \setminus v]$ ] v \setminus t u \setminus t
        by auto
      qed
      also have ...  $\longleftrightarrow [v \setminus t] * \setminus^* [u \setminus t] \wedge$ 
                     $V' * \setminus^* [u \setminus v] * \setminus^* [t \setminus v] * \setminus^* [u \setminus v]$ 
    proof -
      have length  $[t \setminus v] + length [u \setminus v] + length V' \leq n$ 
      using T U V len by fastforce
      thus ?thesis
      by (metis Con-imp-Arr-Resid Arr-has-Src Con-VT T T' Trgs.simps(1)
           Trgs-Resid-sym V V' Con-rec(2) Srcs-Resid ind)
    qed
    also have ...  $\longleftrightarrow [v \setminus t] * \setminus^* [u \setminus t] \wedge$ 
                   $V' * \setminus^* [u \setminus v] * \setminus^* [t \setminus u] * \setminus^* [v \setminus u]$ 
    by (simp add: R.con-def R.cube)
    also have ...  $\longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U$ 
    proof
      assume 1:  $V * \setminus^* U * \setminus^* T * \setminus^* U$ 
      have tu-vu:  $t \setminus u \sim v \setminus u$ 
      by (metis (no-types, lifting) 1 T T' U U' V V' Con-rec(3)
           Resid-rec(1-2) Con-sym length-Resid length-0-conv)
      have vt-ut:  $v \setminus t \sim u \setminus t$ 
      using 1
      by (metis R.con-def R.con-sym R.cube tu-vu)
      show  $[v \setminus t] * \setminus^* [u \setminus t] \wedge V' * \setminus^* [u \setminus v] * \setminus^* [t \setminus u] * \setminus^* [v \setminus u]$ 
    qed
  qed
qed

```

```

by (metis (no-types, lifting) 1 Con-TU Con-cons(1) Con-rec(1-2)
    Resid-rec(1) T T' U U' V V' Resid-rec(2) length-Resid
    length-0-conv vt-ut)
next
assume 1: [v \ t] *¬* [u \ t] ∧
    V' *¬* [u \ v] *¬* [t \ u] *¬* [v \ u]
have tu-vu: t \ u ∼ v \ u ∧ v \ t ∼ u \ t
by (metis 1 Con-sym Resid.simps(1) Residx1.simps(2)
    Residx1-as-Resid)
have tu: t ∼ u
using Con-TU Con-rec(1) T T' U U' by blast
show V *¬* U *¬* T *¬* U
by (metis (no-types, opaque-lifting) 1 Con-rec(2) Con-sym
    R.con-implies-arr(2) Resid.simps(1,3) T T' U U' V V'
    Resid-rec(2) R.arr-resid-iff-con)
qed
finally show ?thesis by simp
qed
show V *¬* T *¬* U *¬* T ==>
    (V *¬* T) *¬* (U *¬* T) = (V *¬* U) *¬* (T *¬* U)
proof -
assume Con: V *¬* T *¬* U *¬* T
have (V *¬* T) *¬* (U *¬* T) = ((v \ t) # (V' *¬* [t \ v])) *¬* [u \ t]
using Con-TU Con-VT Con-sym Resid-rec(1-2) T T' U U' V V' by metis
also have 1: ... = ((v \ t) \ (u \ t)) #
    (V' *¬* [t \ v]) *¬* ([u \ v] *¬* [t \ v])
apply simp
by (metis Con Con-VT Con-rec(2) R.conE R.conI R.con-sym R.cube
    Resid-rec(2) T T' V V' calculation(1))
also have ... = ((v \ t) \ (u \ t)) #
    (V' *¬* [u \ v]) *¬* ([t \ v] *¬* [u \ v])
proof -
have length [t \ v] + length [u \ v] + length V' ≤ n
using T U V len by fastforce
moreover have u \ v ∼ t \ v
by (metis 1 Con-VT Con-rec(2) R.con-sym-ax T T' V V' list.discI
    R.conE R.conI R.cube)
moreover have t \ v ∼ u \ v
using R.con-sym calculation(2) by blast
ultimately show ?thesis
by (metis Con-VT Con-rec(2) T T' V V' Con-rec(1) ind)
qed
also have ... = ((v \ t) \ (u \ t)) #
    ((V' *¬* [u \ v]) *¬* ([t \ u] *¬* [v \ u]))
using R.cube by fastforce
also have ... = ((v \ u) \ (t \ u)) #
    ((V' *¬* [u \ v]) *¬* ([t \ u] *¬* [v \ u]))
by (metis R.cube)
also have ... = (V *¬* U) *¬* (T *¬* U)

```

**proof –**

have  $(V * \setminus^* U) * \setminus^* (T * \setminus^* U) = ((v \setminus u) \# ((V' * \setminus^* [u \setminus v]))) * \setminus^* [t \setminus u]$   
 using  $T T' U U' V$  Resid-cons(1) [of  $[u] v V$ ]  
 by (metis \* Con Con-TU Resid-cons(1) Resid-rec(1) Resid-rec(2))  
 also have ... =  $((v \setminus u) \setminus (t \setminus u)) \# ((V' * \setminus^* [u \setminus v]) * \setminus^* ([t \setminus u] * \setminus^* [v \setminus u]))$   
 by (metis \* Con Con-initial-left calculation Con-sym Resid-cons(1) Resid-rec(1–2))  
 finally show ?thesis by simp  
**qed**  
 finally show ?thesis by simp  
**qed**  
**qed**  
**next**  
 assume  $T': T' \neq []$   
 show ?thesis  
**proof (intro conjI impI)**  
 show  $*: V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U$   
**proof –**  
 have  $V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow (V * \setminus^* [t]) * \setminus^* T' * \setminus^* [u \setminus t] * \setminus^* T'$   
 using Con-TU Con-VT Con-sym Resid-cons(2) Resid-rec(3)  $T T' U U'$   
 by force  
 also have ...  $\longleftrightarrow (V * \setminus^* [t]) * \setminus^* [u \setminus t] * \setminus^* T' * \setminus^* [u \setminus t]$   
**proof –**  
 have length  $[u \setminus t] + \text{length } T' + \text{length } (V * \setminus^* [t]) \leq n$   
 using Con-VT Con-initial-right  $T U$  length-Resid len by fastforce  
 thus ?thesis  
 by (metis Con-TU Con-VT Con-rec(2)  $T T' U V$  add.commute Con-cons(2)  
 ind list.discI)  
**qed**  
 also have ...  $\longleftrightarrow (V * \setminus^* [u]) * \setminus^* [t \setminus u] * \setminus^* T' * \setminus^* [u \setminus t]$   
**proof –**  
 have length  $[t] + \text{length } [u] + \text{length } V \leq n$   
 using  $T T' U$  le-Suc-eq len by fastforce  
 hence  $(V * \setminus^* [t]) * \setminus^* ([u] * \setminus^* [t]) = (V * \setminus^* [u]) * \setminus^* ([t] * \setminus^* [u])$   
 using ind [of  $[t] [u] V$ ]  
 by (metis Con-TU Con-VT Con-initial-left Con-initial-right  $T U$ )  
 thus ?thesis  
 by (metis (full-types) Con-TU Con-initial-left Con-sym Resid-rec(1)  $T U$ )  
**qed**  
 also have ...  $\longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U$   
 by (metis Con-TU Con-cons(2) Con-rec(2) Resid-cons(1) Resid-rec(2)  
 $T T' U U'$ )  
 finally show ?thesis by simp  
**qed**  
 show  $V * \setminus^* T * \setminus^* U * \setminus^* T \implies (V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U)$   
**proof –**  
 assume Con:  $V * \setminus^* T * \setminus^* U * \setminus^* T$

```

have  $(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = ((V * \setminus^* [t]) * \setminus^* T') * \setminus^* ([u \setminus t] * \setminus^* T')$ 
  using Con-TU Con-VT Con-sym Resid-cons(2) Resid-rec(3) T T' U U'
  by force
also have ... =  $((V * \setminus^* [t]) * \setminus^* [u \setminus t]) * \setminus^* (T' * \setminus^* [u \setminus t])$ 
proof -
  have length  $[u \setminus t] + \text{length } T' + \text{length } (\text{Resid } V [t]) \leq n$ 
  using Con-VT Con-initial-right T U length-Resid len by fastforce
  thus ?thesis
  by (metis Con-TU Con-VT Con-cons(2) Con-rec(2) T T' U V add.commute
      ind list.discI)
qed
also have ... =  $((V * \setminus^* [u]) * \setminus^* [t \setminus u]) * \setminus^* (T' * \setminus^* [u \setminus t])$ 
proof -
  have length  $[t] + \text{length } [u] + \text{length } V \leq n$ 
  using T T' U le-Suc-eq len by fastforce
  thus ?thesis
  using ind [of [t] [u] V]
  by (metis Con-TU Con-VT Con-initial-left Con-sym Resid-rec(1) T U)
qed
also have ... =  $(V * \setminus^* U) * \setminus^* (T * \setminus^* U)$ 
  using * Con Con-TU Con-rec(2) Resid-cons(2) Resid-rec(2) T T' U U'
  by auto
finally show ?thesis by simp
qed
qed
qed
next
assume  $U': U' \neq []$ 
show ?thesis
proof (cases  $T' = []$ )
  assume  $T': T' = []$ 
  show ?thesis
  proof (intro conjI impI)
    show  $*: V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* U * \setminus^* T * \setminus^* U$ 
    proof -
      have  $V * \setminus^* T * \setminus^* U * \setminus^* T \longleftrightarrow V * \setminus^* [t] * \setminus^* (u \setminus t) \# (U' * \setminus^* [t \setminus u])$ 
        using T U V T' U' V' Con-TU Con-VT Con-sym Resid-rec(2) by auto
      also have ...  $\longleftrightarrow V * \setminus^* [t] * \setminus^* [u \setminus t] \wedge$ 
         $(V * \setminus^* [t]) * \setminus^* [u \setminus t] * \setminus^* U' * \setminus^* [t \setminus u]$ 
        by (metis Con-TU Con-VT Con-cons(2) Con-initial-right
            Con-rec(2) Con-sym T U U')
      also have ...  $\longleftrightarrow V * \setminus^* [t] * \setminus^* [u \setminus t] \wedge$ 
         $(V * \setminus^* [u]) * \setminus^* [t \setminus u] * \setminus^* U' * \setminus^* [t \setminus u]$ 
    proof -
      have length  $[u] + \text{length } [t] + \text{length } V \leq n$ 
      using T U V T' U' V' len not-less-eq-eq order-trans by fastforce
      thus ?thesis
      using ind [of [t] [u] V]
      by (metis Con-TU Con-VT Con-initial-right Resid-rec(1) T U

```

*Con-sym length-Cons)*

**qed**

**also have** ...  $\longleftrightarrow V * \setminus^* [u] * \frown^* [t \setminus u] \wedge (V * \setminus^* [u]) * \setminus^* [t \setminus u] * \frown^* U' * \setminus^* [t \setminus u]$

**proof –**

**have**  $length [t] + length [u] + length V \leq n$

**using**  $T U V T' U' V'$  len antisym-conv not-less-eq-eq **by** fastforce

**thus** ?thesis

**using** ind [of  $[t]$ ]

**by** (metis (full-types) Con-TU Con-VT Con-initial-right Con-sym Resid-rec(1) T U)

**qed**

**also have** ...  $\longleftrightarrow (V * \setminus^* [u]) * \setminus^* U' * \frown^* [t \setminus u] * \setminus^* U'$

**proof –**

**have**  $length [t \setminus u] + length U' + length (V * \setminus^* [u]) \leq n$

**by** (metis T T' U add.assoc add.right-neutral add-leD1 add-le-cancel-left length-Resid len length-Cons list.size(3) plus-1-eq-Suc)

**thus** ?thesis

**by** (metis (no-types, opaque-lifting) Con-sym Resid.simps(1) add.commute ind)

**qed**

**also have** ...  $\longleftrightarrow V * \setminus^* U * \frown^* T * \setminus^* U$

**by** (metis Con-TU Resid-cons(2) Resid-rec(3) T T' U U' Con-cons(2) length-Resid length-0-conv)

**finally show** ?thesis **by** blast

**qed**

**show**  $V * \setminus^* T * \frown^* U * \setminus^* T \implies (V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* U) * \setminus^* (T * \setminus^* U)$

**proof –**

**assume**  $Con: V * \setminus^* T * \frown^* U * \setminus^* T$

**have**  $(V * \setminus^* T) * \setminus^* (U * \setminus^* T) = (V * \setminus^* [t]) * \setminus^* ((u \setminus t) \# (U' * \setminus^* [t \setminus u]))$

**using** Con-TU Con-sym Resid-rec(2) T T' U U' **by** auto

**also have** ...  $= ((V * \setminus^* [t]) * \setminus^* [u \setminus t]) * \setminus^* (U' * \setminus^* [t \setminus u])$

**by** (metis Con Con-TU Con-rec(2) Con-sym T T' U U' calculation Resid-cons(2))

**also have** ...  $= ((V * \setminus^* [u]) * \setminus^* [t \setminus u]) * \setminus^* (U' * \setminus^* [t \setminus u])$

**proof –**

**have**  $length [t] + length [u] + length V \leq n$

**using** T U U' le-Suc-eq len **by** fastforce

**thus** ?thesis

**using** T U Con-TU Con-VT Con-sym ind [of  $[t]$   $[u]$   $V$ ]

**by** (metis (no-types, opaque-lifting) Con-initial-right Resid.simps(3))

**qed**

**also have** ...  $= ((V * \setminus^* [u]) * \setminus^* U') * \setminus^* ([t \setminus u] * \setminus^* U')$

**proof –**

**have**  $length [t \setminus u] + length U' + length (V * \setminus^* [u]) \leq n$

**by** (metis (no-types, opaque-lifting) T T' U add.left-commute)

$\text{add.right-neutral add-leD2 add-le-cancel-left len length-Cons}$   
 $\text{length-Resid list.size(3) plus-1-eq-Suc}$   
**thus** ?thesis  
**by** (metis Con Con-TU Con-rec(3) T T' U U' calculation  
 ind length-0-conv length-Resid)  
**qed**  
**also have** ... = (V \* \\* U) \* \\* (T \* \\* U)  
**by** (metis \* Con Con-TU Resid-rec(3) T T' U U' Resid-cons(2)  
 length-Resid length-0-conv)  
**finally show** ?thesis **by** blast  
**qed**  
**qed**  
**next**  
**assume** T': T' ≠ []  
**show** ?thesis  
**proof** (intro conjI impI)  
**have** 1: U \* \\* [t]  
**using** T Con-TU  
**by** (metis Con-cons(2) Con-sym Resid.simps(2))  
**have** 2: V \* \\* [t]  
**using** V Con-VT Con-initial-right T **by** blast  
**have** 3: length T' + length (U \* \\* [t]) + length (V \* \\* [t]) ≤ n  
**using** 1 2 T len length-Resid **by** force  
**have** 4: length [t] + length U + length V ≤ n  
**using** T T' len antisym-conv not-less-eq-eq **by** fastforce  
**show** \*: V \* \\* T \* \\* U \* \\* T ↔ V \* \\* U \* \\* T \* \\* U  
**proof** –  
**have** V \* \\* T \* \\* U \* \\* T ↔ (V \* \\* [t]) \* \\* T' \* \\* (U \* \\* [t]) \* \\* T'  
**using** Con-TU Con-VT Con-sym Resid-cons(2) T T' **by** force  
**also have** ... ↔ (V \* \\* [t]) \* \\* (U \* \\* [t]) \* \\* T' \* \\* (U \* \\* [t])  
**by** (metis 3 Con-TU Con-VT Con-cons(1) Con-cons(2) T T' U V ind  
 list.discI)  
**also have** ... ↔ (V \* \\* U) \* \\* ([t] \* \\* U) \* \\* T' \* \\* (U \* \\* [t])  
**by** (metis 1 2 4 Con-sym ind)  
**also have** ... ↔ V \* \\* U \* \\* hd ([t] \* \\* U) # T' \* \\* (U \* \\* [t])  
**by** (metis 1 Con-TU Con-cons(1) Con-cons(2) Resid.simps(1)  
 Resid1x-as-Resid T T' list.sel(1))  
**also have** ... ↔ V \* \\* U \* \\* T \* \\* U  
**using** 1 Resid-cons' [of T' t U] Con-TU T T' Resid1x-as-Resid  
 Con-sym  
**by** force  
**finally show** ?thesis **by** simp  
**qed**  
**show** (V \* \\* T) \* \\* (U \* \\* T) = (V \* \\* U) \* \\* (T \* \\* U)  
**proof** –  
**have** (V \* \\* T) \* \\* (U \* \\* T) =  
 ((V \* \\* [t]) \* \\* T') \* \\* ((U \* \\* [t]) \* \\* T')  
**using** Con-TU Con-VT Con-sym Resid-cons(2) T T' **by** force  
**also have** ... = ((V \* \\* [t]) \* \\* (U \* \\* [t])) \* \\* (T' \* \\* (U \* \\* [t]))



```

by unfold-locales auto

lemma is-residuation:
shows residuation Resid
..

lemma arr-char:
shows arr T  $\longleftrightarrow$  Arr T
using null-char Arr-iff-Con-self by fastforce

lemma arrIP [intro]:
assumes Arr T
shows arr T
using assms arr-char by auto

lemma ide-char:
shows ide T  $\longleftrightarrow$  Ide T
by (metis Con-Arr-self Ide-implies-Arr Resid-Arr-Ide-ind Resid-Arr-self arr-char ide-def
arr-def)

lemma con-char:
shows con T U  $\longleftrightarrow$  Con T U
using null-char by auto

lemma conIP [intro]:
assumes Con T U
shows con T U
using assms con-char by auto

sublocale rts Resid
proof
show  $\bigwedge A T. \llbracket \text{ide } A; \text{con } T A \rrbracket \implies T * \setminus^* A = T$ 
using Resid-Arr-Ide-ind ide-char null-char by auto
show  $\bigwedge T. \text{arr } T \implies \text{ide} (\text{trg } T)$ 
by (metis arr-char Resid-Arr-self ide-char resid-arr-self)
show  $\bigwedge A T. \llbracket \text{ide } A; \text{con } A T \rrbracket \implies \text{ide} (A * \setminus^* T)$ 
by (simp add: Resid-Ide-Arr-ind con-char ide-char)
show  $\bigwedge T U. \text{con } T U \implies \exists A. \text{ide } A \wedge \text{con } A T \wedge \text{con } A U$ 
proof -
fix T U
assume TU: con T U
have 1: Srcs T = Srcs U
using TU Con-imp-eq-Srcs con-char by force
obtain a where a: a ∈ Srcs T ∩ Srcs U
using 1
by (metis Int-absorb Int-emptyI TU arr-char Arr-has-Src con-implies-arr(1))
show  $\exists A. \text{ide } A \wedge \text{con } A T \wedge \text{con } A U$ 
using a 1
by (metis (full-types) Ball-Collect Con-single-ide-ind Ide.simps(2) Int-absorb TU

```

```

Srcs-are-ide arr-char con-char con-implies-arr(1-2) ide-char)
qed
show  $\bigwedge T U V. \llbracket \text{ide } (\text{Resid } T U); \text{con } U V \rrbracket \implies \text{con } (T * \setminus^* U) (V * \setminus^* U)$ 
  using null-char ide-char
  by (metis Con-imp-Arr-Resid Con-Ide-iff Srcs-Resid con-char con-sym arr-resid-iff-con
       ide-implies-arr)
qed

theorem is-rts:
shows rts Resid
..

notation cong (infix  $\langle * \sim * \rangle$  50)
notation prfx (infix  $\langle * \lesssim * \rangle$  50)

lemma sources-charP:
shows sources T = {A. Ide A  $\wedge$  Arr T  $\wedge$  Srcs A = Srcs T}
  using Con-Ide-iff Con-sym con-char ide-char sources-def by fastforce

lemma sources-cons:
shows Arr (t # T)  $\implies$  sources (t # T) = sources [t]
  apply (induct T)
  apply simp
  using sources-charP by auto

lemma targets-charP:
shows targets T = {B. Ide B  $\wedge$  Arr T  $\wedge$  Srcs B = Trgs T}
  unfolding targets-def
  by (metis (no-types, lifting) trg-def Arr.simps(1) Ide-implies-Arr Resid-Arr-self
       arr-char Con-Ide-iff Srcs-Resid con-char ide-char con-implies-arr(1))

lemma seq-char':
shows seq T U  $\longleftrightarrow$  Arr T  $\wedge$  Arr U  $\wedge$  Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
proof
  show seq T U  $\implies$  Arr T  $\wedge$  Arr U  $\wedge$  Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
    unfolding seq-def
    using Arr-has-Trg arr-char Con-Arr-self sources-charP trg-def trg-in-targets
    by fastforce
  assume 1: Arr T  $\wedge$  Arr U  $\wedge$  Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
  have targets T = sources U
  proof -
    obtain a where a: R.ide a  $\wedge$  a  $\in$  Trgs T  $\wedge$  a  $\in$  Srcs U
      using 1 Trgs-are-ide by blast
    have Trgs [a] = Trgs T
      using a 1
      by (metis Con-single-ide-ind Con-sym Resid-Arr-Src Srcs-Resid Trgs-eqI)
    moreover have Srcs [a] = Srcs U
      using a 1 Con-single-ide-ind Con-imp-eq-Srcs by blast
    moreover have Trgs [a] = Srcs [a]
  
```

```

using a
by (metis R.sources-resid Srcs.simps(2) Trgs.simps(2) R.ideE)
ultimately show ?thesis
  using 1 sources-charP targets-charP by auto
qed
thus seq T U
  using 1 by blast
qed

lemma seq-char:
shows seq T U  $\longleftrightarrow$  Arr T  $\wedge$  Arr U  $\wedge$  Trgs T = Srcs U
  by (metis Int-absorb Srcs-Resid Arr-has-Src Arr-iff-Con-self Srcs-eqI seq-char')

lemma seqIP [intro]:
assumes Arr T and Arr U and Trgs T  $\cap$  Srcs U  $\neq \{\}$ 
shows seq T U
  using assms seq-char' by auto

lemma coinitial-char:
shows coinitial T U  $\implies$  Arr T  $\wedge$  Arr U  $\wedge$  Srcs T = Srcs U
and Arr T  $\wedge$  Arr U  $\wedge$  Srcs T  $\cap$  Srcs U  $\neq \{\}$   $\implies$  coinitial T U
proof -
  show coinitial T U  $\implies$  Arr T  $\wedge$  Arr U  $\wedge$  Srcs T = Srcs U
    unfolding seq-def
    by (metis Con-imp-eq-Srcs arr-char coinitial-iff
        con-char prfx-implies-con source-is-prfx src-in-sources)
  assume 1: Arr T  $\wedge$  Arr U  $\wedge$  Srcs T  $\cap$  Srcs U  $\neq \{\}$ 
  have sources T = sources U
  proof -
    obtain a where a: R.ide a  $\wedge$  a  $\in$  Srcs T  $\wedge$  a  $\in$  Srcs U
      using 1 Srcs-are-ide by blast
    have Srcs [a] = Srcs T
      using a 1
      by (metis Arr.simps(1) Con-imp-eq-Srcs Resid-Arr-Src)
    moreover have Srcs [a] = Srcs U
      using a 1 Con-single-ide-ind Con-imp-eq-Srcs by blast
    ultimately show ?thesis
      using 1 sources-charP targets-charP by auto
  qed
  thus coinitial T U
    using 1 by blast
qed

lemma coinitialIP [intro]:
assumes Arr T and Arr U and Srcs T  $\cap$  Srcs U  $\neq \{\}$ 
shows coinitial T U
  using assms coinitial-char(2) by auto

lemma Ide-imp-sources-eq-targets:

```

```

assumes Ide T
shows sources T = targets T
  using assms
  by (metis Resid-Arr-Ide-ind arr-iff-has-source arr-iff-has-target con-char
    arr-def sources-resid)

```

### 2.4.2 Inclusion Map

Inclusion of an RTS to the RTS of its paths.

```

abbreviation incl
where incl ≡ λt. if R.arr t then [t] else null

sublocale incl: simulation resid Resid incl
  using R.con-implies-arr(1–2) con-char R.arr-resid-iff-con null-char
  by unfold-locales auto

lemma incl-is-simulation:
shows simulation resid Resid incl
  ..
lemma incl-is-injective:
shows inj-on incl (Collect R.arr)
  by (intro inj-onI) simp

lemma reflects-con:
assumes incl t * ↪* incl u
shows t ↪ u
  using assms
  by (metis (full-types) Arr.simps(1) Con-implies-Arr(1–2) Con-rec(1) null-char)

end

```

### 2.4.3 Composites of Paths

The RTS of paths has composites, given by the append operation on lists.

```

context paths-in-rts
begin

lemma Srcs-append [simp]:
assumes T ≠ []
shows Srcs (T @ U) = Srcs T
  by (metis Nil-is-append-conv Srcs.simps(2) Srcs.simps(3) assms hd-append list.exhaust-sel)

lemma Trgs-append [simp]:
shows U ≠ [] ==> Trgs (T @ U) = Trgs U
proof (induct T)
  show U ≠ [] ==> Trgs ([] @ U) = Trgs U
    by auto
  show ⋀t T. [U ≠ [] ==> Trgs (T @ U) = Trgs U; U ≠ []]

```

```

 $\implies \text{Trgs}((t \# T) @ U) = \text{Trgs } U$ 
by (metis Nil-is-append-conv Trgs.simps(3) append-Cons list.exhaust)
qed

lemma seq-implies-Trgs-eq-Srcs:
shows  $\llbracket \text{Arr } T; \text{Arr } U; \text{Trgs } T \subseteq \text{Srcs } U \rrbracket \implies \text{Trgs } T = \text{Srcs } U$ 
by (metis inf.orderE Arr-has-Trg seqI_P seq-char)

lemma Arr-append-iff_P:
shows  $\llbracket T \neq []; U \neq [] \rrbracket \implies \text{Arr } (T @ U) \longleftrightarrow \text{Arr } T \wedge \text{Arr } U \wedge \text{Trgs } T \subseteq \text{Srcs } U$ 
proof (induct T arbitrary: U)
show  $\bigwedge U. \llbracket [] \neq []; U \neq [] \rrbracket \implies \text{Arr } ([] @ U) = (\text{Arr } [] \wedge \text{Arr } U \wedge \text{Trgs } [] \subseteq \text{Srcs } U)$ 
by simp
fix t T and U :: 'a list
assume ind:  $\bigwedge U. \llbracket T \neq []; U \neq [] \rrbracket \implies \text{Arr } (T @ U) = (\text{Arr } T \wedge \text{Arr } U \wedge \text{Trgs } T \subseteq \text{Srcs } U)$ 
assume U:  $U \neq []$ 
show  $\text{Arr } ((t \# T) @ U) \longleftrightarrow \text{Arr } (t \# T) \wedge \text{Arr } U \wedge \text{Trgs } (t \# T) \subseteq \text{Srcs } U$ 
proof (cases T = [])
show T = []  $\implies$  ?thesis
using Arr.elims(1) U by auto
assume T:  $T \neq []$ 
have  $\text{Arr } ((t \# T) @ U) \longleftrightarrow \text{Arr } (t \# (T @ U))$ 
by simp
also have ...  $\longleftrightarrow R.\text{arr } t \wedge \text{Arr } (T @ U) \wedge R.\text{targets } t \subseteq \text{Srcs } (T @ U)$ 
using T U
by (metis Arr.simps(3) Nil-is-append-conv neq-Nil-conv)
also have ...  $\longleftrightarrow R.\text{arr } t \wedge \text{Arr } T \wedge \text{Arr } U \wedge \text{Trgs } T \subseteq \text{Srcs } U \wedge R.\text{targets } t \subseteq \text{Srcs } T$ 
using T U ind by auto
also have ...  $\longleftrightarrow \text{Arr } (t \# T) \wedge \text{Arr } U \wedge \text{Trgs } (t \# T) \subseteq \text{Srcs } U$ 
using T U
by (metis Arr.simps(3) Trgs.simps(3) neq-Nil-conv)
finally show ?thesis by auto
qed
qed

lemma Arr-consI_P [intro, simp]:
assumes R.arr t and Arr U and R.targets t  $\subseteq \text{Srcs } U$ 
shows Arr (t # U)
using assms Arr.elims(3) by blast

lemma Arr-appendI_P [intro, simp]:
assumes Arr T and Arr U and Trgs T  $\subseteq \text{Srcs } U$ 
shows Arr (T @ U)
using assms
by (metis Arr.simps(1) Arr-append-iff_P)

lemma Arr-appendE_P [elim]:
assumes Arr (T @ U) and T  $\neq []$  and U  $\neq []$ 

```

and  $\llbracket \text{Arr } T; \text{Arr } U; \text{Trgs } T = \text{Srcs } U \rrbracket \implies \text{thesis}$   
**shows** *thesis*  
**using** *assms* *Arr-append-iff<sub>P</sub>* *seq-implies-Trgs-eq-Srcs* **by** *force*

**lemma** *Ide-append-iff<sub>P</sub>*:  
**shows**  $\llbracket T \neq []; U \neq [] \rrbracket \implies \text{Ide } (T @ U) \longleftrightarrow \text{Ide } T \wedge \text{Ide } U \wedge \text{Trgs } T \subseteq \text{Srcs } U$   
**using** *Ide-char* **by** *auto*

**lemma** *Ide-appendI<sub>P</sub>* [*intro, simp*]:  
**assumes** *Ide T* **and** *Ide U* **and** *Trgs T ⊆ Srcs U*  
**shows** *Ide (T @ U)*  
**using** *assms*  
**by** (*metis Ide.simps(1) Ide-append-iff<sub>P</sub>*)

**lemma** *Resid-append-ind*:  
**shows**  $\llbracket T \neq []; U \neq []; V \neq [] \rrbracket \implies$   
 $(V @ T * \sim^* U \longleftrightarrow V * \sim^* U \wedge T * \sim^* U * \setminus^* V) \wedge$   
 $(T * \sim^* V @ U \longleftrightarrow T * \sim^* V \wedge T * \setminus^* V * \sim^* U) \wedge$   
 $(V @ T * \sim^* U \longrightarrow (V @ T) * \setminus^* U = V * \setminus^* U @ T * \setminus^* (U * \setminus^* V)) \wedge$   
 $(T * \sim^* V @ U \longrightarrow T * \setminus^* (V @ U) = (T * \setminus^* V) * \setminus^* U)$

**proof** (*induct V arbitrary: T U*)  
**show**  $\bigwedge T U. \llbracket T \neq []; U \neq []; [] \neq [] \rrbracket \implies$   
 $([] @ T * \sim^* U \longleftrightarrow [] * \sim^* U \wedge T * \sim^* U * \setminus^* []) \wedge$   
 $(T * \sim^* [] @ U \longleftrightarrow T * \sim^* [] \wedge T * \setminus^* [] * \sim^* U) \wedge$   
 $([] @ T * \sim^* U \longrightarrow ([] @ T) * \setminus^* U = [] * \setminus^* U @ T * \setminus^* (U * \setminus^* [])) \wedge$   
 $(T * \sim^* [] @ U \longrightarrow T * \setminus^* ([] @ U) = (T * \setminus^* []) * \setminus^* U)$   
**by** *simp*

**fix** *v :: 'a* **and** *T U V :: 'a list*  
**assume** *ind*:  $\bigwedge T U. \llbracket T \neq []; U \neq []; V \neq [] \rrbracket \implies$   
 $(V @ T * \sim^* U \longleftrightarrow V * \sim^* U \wedge T * \sim^* U * \setminus^* V) \wedge$   
 $(T * \sim^* V @ U \longleftrightarrow T * \sim^* V \wedge T * \setminus^* V * \sim^* U) \wedge$   
 $(V @ T * \sim^* U \longrightarrow (V @ T) * \setminus^* U = V * \setminus^* U @ T * \setminus^* (U * \setminus^* V)) \wedge$   
 $(T * \sim^* V @ U \longrightarrow T * \setminus^* (V @ U) = (T * \setminus^* V) * \setminus^* U)$

**assume** *T: T ≠ [] and U: U ≠ []*  
**show**  $((v \# V) @ T * \sim^* U \longleftrightarrow (v \# V) * \sim^* U \wedge T * \sim^* U * \setminus^* (v \# V)) \wedge$   
 $(T * \sim^* (v \# V) @ U \longleftrightarrow T * \sim^* (v \# V) \wedge T * \setminus^* (v \# V) * \sim^* U) \wedge$   
 $((v \# V) @ T * \sim^* U \longrightarrow ((v \# V) @ T) * \setminus^* U = (v \# V) * \setminus^* U @ T * \setminus^* (U * \setminus^* (v \# V))) \wedge$   
 $(T * \sim^* (v \# V) @ U \longrightarrow T * \setminus^* ((v \# V) @ U) = (T * \setminus^* (v \# V)) * \setminus^* U)$

**proof** (*intro conjI iffI impI*)  
**show** 1:  $(v \# V) @ T * \sim^* U \implies$   
 $((v \# V) @ T) * \setminus^* U = (v \# V) * \setminus^* U @ T * \setminus^* (U * \setminus^* (v \# V))$

**proof** (*cases V = []*)  
**show** *V = []*  $\implies (v \# V) @ T * \sim^* U \implies ?\text{thesis}$   
**using** *T U Resid-cons(1) U* **by** *auto*

**assume** *V: V ≠ []*  
**assume** *Con: (v # V) @ T \* \sim^\* U*  
**have**  $((v \# V) @ T) * \setminus^* U = (v \# (V @ T)) * \setminus^* U$   
**by** *simp*

```

also have ... = [v] *` U @ (V @ T) *` (U *` [v])
  using T U Con Resid-cons by simp
also have ... = [v] *` U @ V *` (U *` [v]) @ T *` ((U *` [v]) *` V)
  using T U V Con ind Resid-cons
  by (metis Con-sym Cons-eq-appendI append-is-Nil-conv Con-cons(1))
also have ... = (v # V) *` U @ T *` (U *` (v # V))
  using ind[of T]
  by (metis Con Con-cons(2) Cons-eq-appendI Resid-cons(1) Resid-cons(2) T U V
      append.assoc append-is-Nil-conv Con-sym)
finally show ?thesis by simp
qed
show 2: T *` (v # V) @ U ==> T *` ((v # V) @ U) = (T *` (v # V)) *` U
proof (cases V = [])
  show V = [] ==> T *` (v # V) @ U ==> ?thesis
  using Resid-cons(2) T U by auto
  assume V: V ≠ []
  assume Con: T *` (v # V) @ U
  have T *` ((v # V) @ U) = T *` (v # (V @ U))
    by simp
  also have 1: ... = (T *` [v]) *` (V @ U)
    using V Con Resid-cons(2) T by force
  also have ... = ((T *` [v]) *` V) *` U
    using T U V 1 Con ind
    by (metis Con-initial-right Cons-eq-appendI)
  also have ... = (T *` (v # V)) *` U
    using T V Con
    by (metis Con-cons(2) Con-initial-right Cons-eq-appendI Resid-cons(2))
  finally show ?thesis by blast
qed
show (v # V) @ T *` U ==> v # V *` U
  by (metis 1 Con-sym Resid.simps(1) append-Nil)
show (v # V) @ T *` U ==> T *` U *` (v # V)
  using T U Con-sym
  by (metis 1 Con-initial-right Resid-cons(1-2) append.simps(2) ind self-append-conv)
show T *` (v # V) @ U ==> T *` v # V
  using 2 by fastforce
show T *` (v # V) @ U ==> T *` (v # V) *` U
  using 2 by fastforce
show T *` v # V ∧ T *` (v # V) *` U ==> T *` (v # V) @ U
proof -
  assume Con: T *` v # V ∧ T *` (v # V) *` U
  have T *` (v # V) @ U ↔ T *` v # (V @ U)
    by simp
  also have ... ↔ T *` [v] ∧ T *` [v] *` V @ U
    using T U Con-cons(2) by simp
  also have ... ↔ T *` [v] *` V @ U
    by fastforce
  also have ... ↔ True
    using Con ind

```

```

by (metis Con-cons(2) Resid-cons(2) T U self-append-conv2)
finally show ?thesis by blast
qed
show v # V *¬* U ∧ T *¬* U *\* (v # V) ==> (v # V) @ T *¬* U
proof -
  assume Con: v # V *¬* U ∧ T *¬* U *\* (v # V)
  have (v # V) @ T *¬* U ↔ v # (V @ T) *¬* U
    by simp
  also have ... ↔ [v] *¬* U ∧ V @ T *¬* U *\* [v]
    using T U Con-cons(1) by simp
  also have ... ↔ V @ T *¬* U *\* [v]
    by (metis Con Cons(1) U)
  also have ... ↔ True
    using Con ind
  by (metis Con-cons(1) Con-sym Resid-cons(2) T U append-self-conv2)
finally show ?thesis by blast
qed
qed
qed

```

**lemma** Con-append:

assumes  $T \neq []$  and  $U \neq []$  and  $V \neq []$   
shows  $T @ U *¬* V \leftrightarrow T *¬* V \wedge U *¬* V *\* T$   
and  $T *¬* U @ V \leftrightarrow T *¬* U \wedge T *\* U *¬* V$   
using assms Resid-append-ind by blast+

**lemma** Con-appendI [intro]:

shows  $\llbracket T *¬* V; U *¬* V *\* T \rrbracket \Rightarrow T @ U *¬* V$   
and  $\llbracket T *¬* U; T *\* U *¬* V \rrbracket \Rightarrow T *¬* U @ V$   
by (metis Con-append(1) Con-sym Resid.simps(1))+

**lemma** Resid-append [intro, simp]:

shows  $\llbracket T \neq []; T @ U *¬* V \rrbracket \Rightarrow (T @ U) *\* V = (T *\* V) @ (U *\* (V *\* T))$   
and  $\llbracket U \neq []; V \neq []; T *¬* U @ V \rrbracket \Rightarrow T *\* (U @ V) = (T *\* U) *\* V$   
using Resid-append-ind  
apply (metis Con-sym Resid.simps(1) append-self-conv)  
using Resid-append-ind  
by (metis Resid.simps(1))

**lemma** Resid-append2 [simp]:

assumes  $T \neq []$  and  $U \neq []$  and  $V \neq []$  and  $W \neq []$   
and  $T @ U *¬* V @ W$   
shows  $(T @ U) *\* (V @ W) =$   
 $(T *\* V) *\* W @ (U *\* (V *\* T)) *\* (W *\* (T *\* V))$   
using assms Resid-append  
by (metis Con-append(1-2) append-is-nil-conv)

**lemma** append-is-composite-of:

assumes seq T U

```

shows composite-of T U (T @ U)
  unfolding composite-of-def
  using assms
  apply (intro conjI)
    apply (metis Arr.simps(1) Resid-Arr-self Resid-Ide-Arr-ind Arr-appendI_P
      Resid-append-ind ide-char order-refl seq-char)
  apply (metis Arr.simps(1) Arr-appendI_P Con-Arr-self Resid-Arr-self Resid-append-ind
    ide-char seq-char order-refl)
  by (metis Arr.simps(1) Con-Arr-self Con-append(1) Resid-Arr-self Arr-appendI_P
    Ide-append-iff_P Resid-append(1) ide-char seq-char order-refl)

sublocale rts-with-composites Resid
  using append-is-composite-of composable-def by unfold-locales blast

theorem is-rts-with-composites:
shows rts-with-composites Resid
..

lemma arr-append [intro, simp]:
assumes seq T U
shows arr (T @ U)
using assms arrI_P seq-char by simp

lemma arr-append-imp-seq:
assumes T ≠ [] and U ≠ [] and arr (T @ U)
shows seq T U
using assms arr-char seq-char Arr-append-iff_P seq-implies-Trgs-eq-Srcs by simp

lemma sources-append [simp]:
assumes seq T U
shows sources (T @ U) = sources T
using assms
by (meson append-is-composite-of sources-composite-of)

lemma targets-append [simp]:
assumes seq T U
shows targets (T @ U) = targets U
using assms
by (meson append-is-composite-of targets-composite-of)

lemma cong-respects-seq_P:
assumes seq T U and T *~* T' and U *~* U'
shows seq T' U'
by (meson assms cong-respects-seq)

lemma cong-append [intro]:
assumes seq T U and T *~* T' and U *~* U'
shows T @ U *~* T' @ U'

```

```

proof
have 1:  $\bigwedge T U T' U'. \llbracket \text{seq } T U; T * \sim^* T'; U * \sim^* U' \rrbracket \implies \text{seq } T' U'$ 
  using assms cong-respects-seqP by simp
have 2:  $\bigwedge T U T' U'. \llbracket \text{seq } T U; T * \sim^* T'; U * \sim^* U' \rrbracket \implies T @ U * \lesssim^* T' @ U'$ 
proof -
  fix T U T' U'
  assume TU: seq T U and TT': T * \sim^* T' and UU': U * \sim^* U'
  have T'U': seq T' U'
    using TU TT' UU' cong-respects-seqP by simp
  have 3: Ide(T * \setminus^* T') \wedge Ide(T' * \setminus^* T) \wedge Ide(U * \setminus^* U') \wedge Ide(U' * \setminus^* U)
    using TU TT' UU' ide-char by blast
  have (T @ U) * \setminus^* (T' @ U') =
    ((T * \setminus^* T') * \setminus^* U') @ U * \setminus^* ((T' * \setminus^* T) @ U' * \setminus^* (T * \setminus^* T'))
  proof -
    have 4: T \neq [] \wedge U \neq [] \wedge T' \neq [] \wedge U' \neq []
      using TU TT' UU' Arr.simps(1) seq-char ide-char by auto
    moreover have (T @ U) * \setminus^* (T' @ U') \neq []
      proof (intro Con-appendI)
        show T * \setminus^* T' \neq []
          using 3 by force
        show (T * \setminus^* T') * \setminus^* U' \neq []
          using 3 T'U' \langle T * \setminus^* T' \neq [] \rangle Con-Ide-iff seq-char by fastforce
        show U * \setminus^* ((T' @ U') * \setminus^* T) \neq []
        proof -
          have U * \setminus^* ((T' @ U') * \setminus^* T) = U * \setminus^* ((T' * \setminus^* T) @ U' * \setminus^* (T * \setminus^* T'))
            by (metis Con-appendI(1) Resid-append(1) \langle (T * \setminus^* T') * \setminus^* U' \neq [] \rangle
                \langle T * \setminus^* T' \neq [] \rangle calculation Con-sym)
          also have ... = (U * \setminus^* (T' * \setminus^* T)) * \setminus^* (U' * \setminus^* (T * \setminus^* T'))
            by (metis Arr.simps(1) Con-append(2) Resid-append(2) \langle (T * \setminus^* T') * \setminus^* U' \neq [] \rangle
                Con-implies-Arr(1) Con-sym)
          also have ... = U * \setminus^* U'
            by (metis (mono-tags, lifting) 3 Ide.simps(1) Resid-Ide(1) Srcs-Resid TU
                \langle (T * \setminus^* T') * \setminus^* U' \neq [] \rangle Con-Ide-iff seq-char)
          finally show ?thesis
            using 3 UU' by force
        qed
      qed
      ultimately show ?thesis
        using Resid-append2 [of T U T' U] seq-char
        by (metis Con-append(2) Con-sym Resid-append(2) Resid.simps(1))
    qed
    moreover have Ide ...
    proof
      have 3: Ide(T * \setminus^* T') \wedge Ide(T' * \setminus^* T) \wedge Ide(U * \setminus^* U') \wedge Ide(U' * \setminus^* U)
        using TU TT' UU' ide-char by blast
      show 4: Ide((T * \setminus^* T') * \setminus^* U')
        using TU T'U' TT' UU' 1 3
        by (metis (full-types) Srcs-Resid Con-Ide-iff Resid-Ide-Arr-ind seq-char)
      show 5: Ide(U * \setminus^* ((T' * \setminus^* T) @ U' * \setminus^* (T * \setminus^* T)))
        by (metis (full-types) Srcs-Resid Con-Ide-iff Resid-Ide-Arr-ind seq-char)
    qed
  qed

```

```

proof -
  have  $U * \setminus^* (T' * \setminus^* T) = U$ 
    by (metis (full-types) 3 TT' TU Con-Ide-iff Resid-Ide(1) Srcs-Resid
      con-char seq-char prfx-implies-con)
  moreover have  $U' * \setminus^* (T * \setminus^* T') = U'$ 
    by (metis 3 4 Ide.simps(1) Resid-Ide(1))
  ultimately show ?thesis
    by (metis 3 4 Arr.simps(1) Con-append(2) Ide.simps(1) Resid-append(2)
      TU Con-sym seq-char)
  qed
  show  $\text{Trgs}((T * \setminus^* T') * \setminus^* U') \subseteq \text{Srcs}(U * \setminus^* (T' * \setminus^* T @ U' * \setminus^* (T * \setminus^* T')))$ 
    by (metis 4 5 Arr-append-iffP Ide.simps(1) Nil-is-append-conv
      calculation Con-imp-Arr-Resid)
  qed
  ultimately show  $T @ U * \lesssim^* T' @ U'$ 
    using ide-char by presburger
  qed
  show  $T @ U * \lesssim^* T' @ U'$ 
    using assms 2 by simp
  show  $T' @ U' * \lesssim^* T @ U$ 
    using assms 1 2 cong-symmetric by blast
  qed

lemma cong-cons [intro]:
assumes seq [t] U and t ~ t' and U *~* U'
shows t # U *~* t' # U'
  using assms cong-append [of [t] U [t'] U']
  by (simp add: R.prfx-implies-con ide-char)

lemma cong-append-ideI [intro]:
assumes seq T U
shows ide T ==> T @ U *~* U and ide U ==> T @ U *~* T
and ide T ==> U *~* T @ U and ide U ==> T *~* T @ U
proof -
  show 1: ide T ==> T @ U *~* U
    using assms
    by (metis append-is-composite-of composite-ofE resid-arr-ide prfx-implies-con
      con-sym)
  show 2: ide U ==> T @ U *~* T
    by (meson assms append-is-composite-of composite-ofE ide-backward-stable)
  show ide T ==> U *~* T @ U
    using 1 cong-symmetric by auto
  show ide U ==> T *~* T @ U
    using 2 cong-symmetric by auto
qed

lemma cong-cons-ideI [intro]:
assumes seq [t] U and R.ide t
shows t # U *~* U and U *~* t # U

```

```

using assms cong-append-ideI [of [t] U]
by (auto simp add: ide-char)

lemma prfx-decomp:
assumes [t] *~* [u]
shows [t] @ [u \ t] *~* [u]
proof
show 1: [u] *~* [t] @ [u \ t]
using assms
by (metis Con-imp-Arr-Resid Con-rec(3) Resid.simps(3) Resid-rec(3) R.con-sym
append.left-neutral append-Cons arr-char cong-reflexive list.distinct(1))
show [t] @ [u \ t] *~* [u]
proof -
have ([t] @ [u \ t]) *~* [u] = ([t] *~* [u]) @ ([u \ t] *~* [u \ t])
using assms
by (metis Arr-Resid-single Con-Arr-self Con-appendI(1) Con-sym Resid-append(1)
Resid-rec(1) con-char list.discI prfx-implies-con)
moreover have Ide ...
using assms
by (metis 1 Con-sym append-Nil2 arr-append-imp-seq calculation cong-append-ideI(4)
ide-backward-stable Con-implies-Arr(2) Resid-Arr-self con-char ide-char
prfx-implies-con arr-resid-iff-con)
ultimately show ?thesis
using ide-char by presburger
qed
qed

lemma composite-of-single-single:
assumes R.composite-of t u v
shows composite-of [t] [u] ([t] @ [u])
proof
show [t] *~* [t] @ [u]
proof -
have [t] *~* ([t] @ [u]) = ([t] *~* [t]) *~* [u]
using assms by auto
moreover have Ide ...
by (metis (no-types, lifting) Con-implies-Arr(2) R.bounded-imp-con
R.con-composite-of-iff R.con-prfx-composite-of(1) assms resid-ide-arr
Con-rec(1) Resid.simps(3) Resid-Arr-self con-char ide-char)
ultimately show ?thesis
using ide-char by presburger
qed
show ([t] @ [u]) *~* [t] *~* [u]
using assms
by (metis <prfx [t] ([t] @ [u])> append-is-composite-of arr-append-imp-seq
composite-ofE con-def not-Cons-self2 Con-implies-Arr(2) arr-char null-char
prfx-implies-con)
qed

```

end

#### 2.4.4 Paths in a Weakly Extensional RTS

```

locale paths-in-weakly-extensional-rts =
  R: weakly-extensional-rts +
    paths-in-rts
begin

  lemma ex-un-Src:
    assumes Arr T
    shows  $\exists !a. a \in \text{Srcs } T$ 
      using assms
      by (simp add: Srcs-simpP R.arr-has-un-source)

  fun Src
    where Src T = R.src (hd T)

  lemma Srcs-simpPWE:
    assumes Arr T
    shows Srcs T = {Src T}
    proof -
      have [R.src (hd T)] ∈ sources T
        by (metis Arr-imp-arr-hd Con-single-ide-ind Ide.simps(2) Srcs-simpP assms
          con-char ide-char in-sourcesI con-sym R.ide-src R.src-in-sources)
      hence R.src (hd T) ∈ Srcs T
        using assms
        by (metis Srcs.elims Arr-has-Src list.sel(1) R.arr-iff-has-source R.src-in-sources)
      thus ?thesis
        using assms ex-un-Src by auto
    qed

  lemma ex-un-Trg:
    assumes Arr T
    shows  $\exists !b. b \in \text{Trgs } T$ 
      using assms
      apply (induct T)
        apply auto[1]
      by (metis Con-Arr-self Ide-implies-Arr Resid-Arr-self Srcs-Resid ex-un-Src)

  fun Trg
    where Trg [] = R.null
      | Trg [t] = R.trg t
      | Trg (t # T) = Trg T

  lemma Trg-simp [simp]:
    shows T ≠ []  $\Longrightarrow$  Trg T = R.trg (last T)
      apply (induct T)

```

```

apply auto
by (metis Trg.simps(3) list.exhaustsel)

lemma Trgs-simpPWE [simp]:
assumes Arr T
shows Trgs T = {Trg T}
using assms
by (metis Arr-imp-arr-last Con-Arr-self Con-imp-Arr-Resid R.trg-in-targets
Srcs.simps(1) Srcs-Resid Srcs-simpPWE Trg-simp insertE insert-absorb insert-not-empty
Trgs-simpP)

lemma Src-resid [simp]:
assumes T *` U
shows Src (T *\\ U) = Trg U
using assms Con-imp-Arr-Resid Con-implies-Arr(2) Srcs-Resid Srcs-simpPWE by force

lemma Trg-resid-sym:
assumes T *` U
shows Trg (T *\\ U) = Trg (U *\\ T)
using assms Con-imp-Arr-Resid Con-sym Trgs-Resid-sym by auto

lemma Src-append [simp]:
assumes seq T U
shows Src (T @ U) = Src T
using assms
by (metis Arr.simps(1) Src.simps hd-append seq-char)

lemma Trg-append [simp]:
assumes seq T U
shows Trg (T @ U) = Trg U
using assms
by (metis Ide.simps(1) Resid.simps(1) Trg-simp append-is-Nil-conv ide-char ide-trg
last-appendR seqE trg-def)

lemma Arr-append-iffPWE:
assumes T ≠ [] and U ≠ []
shows Arr (T @ U) ↔ Arr T ∧ Arr U ∧ Trg T = Src U
using assms Arr-appendEP Srcs-simpPWE by auto

lemma Arr-consIPWE [intro, simp]:
assumes R.arr t and Arr U and R.trg t = Src U
shows Arr (t # U)
using assms
by (metis Arr.simps(2) Srcs-simpPWE Trg.simps(2) Trgs.simps(2) Trgs-simpPWE
dual-order.eq-iff Arr-consIP)

lemma Arr-consE [elim]:
assumes Arr (t # U)
and [|R.arr t; U ≠ []| ⇒ Arr U; U ≠ []| ⇒ R.trg t = Src U|] ⇒ thesis

```

```

shows thesis
  using assms
  by (metis Arr-append-iffPWE Trg.simps(2) append-Cons append-Nil list.distinct(1)
       Arr.simps(2))

lemma Arr-appendIPWE [intro, simp]:
assumes Arr T and Arr U and Trg T = Src U
shows Arr (T @ U)
  using assms
  by (metis Arr.simps(1) Arr-append-iffPWE)

lemma Arr-appendEPWE [elim]:
assumes Arr (T @ U) and T ≠ [] and U ≠ []
and [|Arr T; Arr U; Trg T = Src U|] ==> thesis
shows thesis
  using assms Arr-append-iffPWE seq-implies-Trgs-eq-Srcs by force

lemma Ide-append-iffPWE:
assumes T ≠ [] and U ≠ []
shows Ide (T @ U) ↔ Ide T ∧ Ide U ∧ Trg T = Src U
  using assms Ide-char
  apply (intro iffI)
  by force auto

lemma Ide-appendIPWE [intro, simp]:
assumes Ide T and Ide U and Trg T = Src U
shows Ide (T @ U)
  using assms
  by (metis Ide.simps(1) Ide-append-iffPWE)

lemma Ide-appendE [elim]:
assumes Ide (T @ U) and T ≠ [] and U ≠ []
and [|Ide T; Ide U; Trg T = Src U|] ==> thesis
shows thesis
  using assms Ide-append-iffPWE by metis

lemma Ide-consI [intro, simp]:
assumes R.ide t and Ide U and R.trg t = Src U
shows Ide (t # U)
  using assms
  by (simp add: Ide-char)

lemma Ide-consE [elim]:
assumes Ide (t # U)
and [|R.ide t; U ≠ [] ==> Ide U; U ≠ [] ==> R.trg t = Src U|] ==> thesis
shows thesis
  using assms
  by (metis Con-rec(4) Ide.simps(2) Ide-imp-Ide-hd Ide-imp-Ide-tl R.trg-def R.trg-ide
       Resid-Arr-Ide-ind Trg.simps(2) ide-char list.sel(1) list.sel(3) list.simps(3))

```

```

  Src-resid ide-def)

lemma Ide-imp-Src-eq-Trg:
assumes Ide T
shows Src T = Trg T
  using assms
  by (metis Ide.simps(1) Src-resid ide-char ide-def)
end

```

#### 2.4.5 Paths in a Confluent RTS

Here we show that confluence of an RTS extends to confluence of the RTS of its paths.

```

locale paths-in-confluent-rts =
  paths-in-rts +
  R: confluent-rts
begin

lemma confluence-single:
assumes ⋀t u. R.coinitial t u ⟹ t ∼ u
shows [[R.arr t; Arr U; R.sources t = Srcs U]] ⟹ [t] * ∼* U
proof (induct U arbitrary: t)
  show ⋀t. [[R.arr t; Arr []; R.sources t = Srcs []]] ⟹ [t] * ∼* []
    by simp
  fix t u U
  assume ind: ⋀t. [[R.arr t; Arr U; R.sources t = Srcs U]] ⟹ [t] * ∼* U
  assume t: R.arr t
  assume uU: Arr (u # U)
  assume coinitial: R.sources t = Srcs (u # U)
  hence 1: R.coinitial t u
    using t uU
    by (metis Arr.simps(2) Con-implies-Arr(1) Con-imp-eq-Srcs Con-initial-left
        Srcs.simps(2) Con-Arr-self R.coinitial-iff)
  show [t] * ∼* u # U
  proof (cases U = [])
    show U = [] ⟹ ?thesis
      using assms t uU coinitial R.coinitial-iff by fastforce
    assume U: U ≠ []
    show ?thesis
    proof –
      have 2: Arr [t \ u] ∧ Arr U ∧ Srcs [t \ u] = Srcs U
      using assms 1 t uU U R.arr-resid-iff-con
      apply (intro conjI)
      apply simp
      apply (metis Con-Arr-self Con-implies-Arr(2) Resid-cons(2))
      by (metis (full-types) Con-cons(2) Srcs.simps(2) Srcs-Resid Trgs.simps(2)
          Con-Arr-self Con-imp-eq-Srcs list.simps(3) R.sources-resid)
      have [t] * ∼* u # U ⟷ t ∼ u ∧ [t \ u] * ∼* U
      using U Con-rec(3) [of U t u] by simp
    qed
  qed
qed

```

```

also have ...  $\longleftrightarrow$  True
  using assms t uU U 1 2 ind by force
  finally show ?thesis by blast
qed
qed
qed

lemma confluence-ind:
shows  $\llbracket \text{Arr } T; \text{Arr } U; \text{Srcs } T = \text{Srcs } U \rrbracket \implies T * \sim^* U$ 
proof (induct T arbitrary: U)
  show  $\bigwedge U. \llbracket \text{Arr } []; \text{Arr } U; \text{Srcs } [] = \text{Srcs } U \rrbracket \implies [] * \sim^* U$ 
    by simp
  fix t T U
  assume ind:  $\bigwedge U. \llbracket \text{Arr } T; \text{Arr } U; \text{Srcs } T = \text{Srcs } U \rrbracket \implies T * \sim^* U$ 
  assume tT: Arr (t # T)
  assume U: Arr U
  assume coinitial: Srcs (t # T) = Srcs U
  show t # T *  $\sim^*$  U
  proof (cases T = [])
    show T = []  $\implies$  ?thesis
      using U tT coinitial confluence-single [of t U] R.confluence by simp
    assume T: T  $\neq$  []
    show ?thesis
    proof -
      have 1:  $[t] * \sim^* U$ 
        using tT U coinitial R.confluence
        by (metis R.arr-def Srcs.simps(2) T Con-Arr-self Con-imp-eq-Srcs
            Con-initial-right Con-rec(4) confluence-single)
      moreover have T *  $\sim^*$  U * \ $\setminus^*$  [t]
        using 1 tT U T coinitial ind [of U * \ $\setminus^*$  [t]]
        by (metis (full-types) Con-imp-Arr-Resid Arr-iff-Con-self Con-implies-Arr(2)
            Con-imp-eq-Srcs Con-sym R.sources-resid Srcs.simps(2) Srcs-Resid
            Trgs.simps(2) Con-rec(4))
      ultimately show ?thesis
        using Con-cons(1) [of T U t] by fastforce
    qed
  qed
qed

lemma confluenceP:
assumes coinitial T U
shows con T U
  using assms confluence-ind sources-charP coinitial-def con-char by auto

sublocale confluent-rts Resid
  apply (unfold-locales)
  using confluenceP by simp

lemma is-confluent-rts:

```

```
shows confluent-rts Resid
```

```
..
```

```
end
```

#### 2.4.6 Simulations Lift to Paths

In this section we show that a simulation from RTS  $A$  to RTS  $B$  determines a simulation from the RTS of paths in  $A$  to the RTS of paths in  $B$ . In other words, the path-RTS construction is functorial with respect to simulation.

```
context simulation
begin

interpretation P_A: paths-in-rts A
..
interpretation P_B: paths-in-rts B
..

lemma map-Resid-single:
shows P_A.con T [u] ==> map F (P_A.Resid T [u]) = P_B.Resid (map F T) [F u]
  apply (induct T arbitrary: u)
  apply simp
proof -
  fix t u T
  assume ind:  $\bigwedge u. P_A.con T [u] \implies \text{map } F (P_A.Resid T [u]) = P_B.Resid (\text{map } F T) [F u]$ 
  assume 1: P_A.con (t # T) [u]
  show map F (P_A.Resid (t # T) [u]) = P_B.Resid (map F (t # T)) [F u]
  proof (cases T = [])
    show T = [] ==> ?thesis
    using 1 P_A.null-char by fastforce
    assume T: T ≠ []
    show ?thesis
    using T 1 ind P_A.con-def P_A.null-char P_A.Con-rec(2) P_A.Resid-rec(2) P_B.Con-rec(2)
          P_B.Resid-rec(2)
    apply simp
    by (metis A.con-sym Nil-is-map-conv preserves-con preserves-resid)
  qed
qed

lemma map-Resid:
shows P_A.con T U ==> map F (P_A.Resid T U) = P_B.Resid (map F T) (map F U)
  apply (induct U arbitrary: T)
  using P_A.Resid.simps(1) P_A.con-char P_A.con-sym
  apply blast
proof -
  fix u U T
  assume ind:  $\bigwedge T. P_A.con T U \implies$ 
             map F (P_A.Resid T U) = P_B.Resid (map F T) (map F U)
  assume 1: P_A.con T (u # U)
```

```

show map F (PA.Resid T (u # U)) = PB.Resid (map F T) (map F (u # U))
proof (cases U = [])
  show U = [] ==> ?thesis
    using 1 map-Resid-single by force
  assume U: U ≠ []
  have PB.Resid (map F T) (map F (u # U)) =
    PB.Resid (PB.Resid (map F T) [F u]) (map F U)
    using U 1 PB.Resid-cons(2)
    apply simp
    by (metis PB.Arr.simps(1) PB.Con-consI(2) PB.Con-implies-Arr(1) list.map-disc-iff)
  also have ... = map F (PA.Resid (PA.Resid T [u]) U)
    using U 1 ind
    by (metis PA.Con-initial-right PA.Resid-cons(2) PA.con-char map-Resid-single)
  also have ... = map F (PA.Resid T (u # U))
    using 1 PA.Resid-cons(2) PA.con-char U by auto
  finally show ?thesis by simp
qed
qed

lemma preserves-paths:
shows PA.Arr T ==> PB.Arr (map F T)
  by (metis PA.Con-Arr-self PA.conIP PB.Arr-iff-Con-self map-Resid map-is-Nil-conv)

interpretation Fx: simulation PA.Resid PB.Resid <λT. if PA.Arr T then map F T else []>
proof
  let ?Fx = λT. if PA.Arr T then map F T else []
  show ▲T. ¬ PA.arr T ==> ?Fx T = PB.null
    by (simp add: PA.arr-char PB.null-char)
  show ▲T U. PA.con T U ==> PB.con (?Fx T) (?Fx U)
    using PA.Con-implies-Arr(1) PA.Con-implies-Arr(2) PA.con-char map-Resid by fastforce
  show ▲T U. PA.con T U ==> ?Fx (PA.Resid T U) = PB.Resid (?Fx T) (?Fx U)
    by (simp add: PA.Con-imp-Arr-Resid PA.Con-implies-Arr(1) PA.Con-implies-Arr(2)
      PA.con-char map-Resid)
qed

lemma lifts-to-paths:
shows simulation PA.Resid PB.Resid (λT. if PA.Arr T then map F T else [])
  ..
end

```

#### 2.4.7 Normal Sub-RTS's Lift to Paths

Here we show that a normal sub-RTS  $N$  of an RTS  $R$  lifts to a normal sub-RTS of the RTS of paths in  $N$ , and that it is coherent if  $N$  is.

```

locale paths-in-rts-with-normal =
  R: rts +
  N: normal-sub-rts +
  paths-in-rts

```

**begin**

We define a “normal path” to be a path that consists entirely of normal transitions. We show that the collection of all normal paths is a normal sub-RTS of the RTS of paths.

**definition** *NPath*

**where** *NPath*  $T \equiv (\text{Arr } T \wedge \text{set } T \subseteq \mathfrak{N})$

**lemma** *Ide-implies-NPath*:

**assumes** *Ide*  $T$

**shows** *NPath*  $T$

**using** *assms*

**by** (*metis Ball-Collect NPath-def Ide-implies-Arr N.ide-closed set-Ide-subset-ide subsetI*)

**lemma** *NPath-implies-Arr*:

**assumes** *NPath*  $T$

**shows** *Arr*  $T$

**using** *assms NPath-def* **by** *simp*

**lemma** *NPath-append*:

**assumes**  $T \neq []$  **and**  $U \neq []$

**shows** *NPath*  $(T @ U) \longleftrightarrow NPath T \wedge NPath U \wedge \text{Trgs } T \subseteq \text{Srcs } U$

**using** *assms NPath-def* **by** *auto*

**lemma** *NPath-appendI* [*intro, simp*]:

**assumes** *NPath*  $T$  **and** *NPath*  $U$  **and**  $\text{Trgs } T \subseteq \text{Srcs } U$

**shows** *NPath*  $(T @ U)$

**using** *assms NPath-def* **by** *simp*

**lemma** *NPath-Resid-single-Arr*:

**shows**  $\llbracket t \in \mathfrak{N}; \text{Arr } U; R.\text{sources } t = \text{Srcs } U \rrbracket \implies NPath (\text{Resid } [t] U)$

**proof** (*induct*  $U$  *arbitrary*:  $t$ )

**show**  $\bigwedge t. \llbracket t \in \mathfrak{N}; \text{Arr } []; R.\text{sources } t = \text{Srcs } [] \rrbracket \implies NPath (\text{Resid } [t] [])$

**by** *simp*

**fix**  $t u U$

**assume** *ind*:  $\bigwedge t. \llbracket t \in \mathfrak{N}; \text{Arr } U; R.\text{sources } t = \text{Srcs } U \rrbracket \implies NPath (\text{Resid } [t] U)$

**assume**  $t: t \in \mathfrak{N}$

**assume**  $uU: \text{Arr } (u \# U)$

**assume**  $src: R.\text{sources } t = \text{Srcs } (u \# U)$

**show** *NPath*  $(\text{Resid } [t] (u \# U))$

**proof** (*cases*  $U = []$ )

**show**  $U = [] \implies ?thesis$

**using** *NPath-def t src*

**apply** *simp*

**by** (*metis Arr.simps(2) R.arr-resid-iff-con R.coinitialI N.forward-stable N.elements-are-arr uU*)

**assume**  $U: U \neq []$

**show** *?thesis*

**proof** –

```

have  $NPath(Resid[t](u \# U)) \longleftrightarrow NPath(Resid[t \setminus u] U)$ 
  using  $t U uU src$ 
by (metis Arr.simps(2) Con-implies-Arr(1) Resid-rec(3) Con-rec(3) R.arr-resid-iff-con)
also have ...  $\longleftrightarrow True$ 
proof -
  have  $t \setminus u \in \mathfrak{N}$ 
    using  $t U uU src N.forward-stable [of t u]$ 
    by (metis Con-Arr-self Con-imp-eq-Srcs Con-initial-left
         Srcs.simps(2) inf.idem Arr-has-Src R.coinitial-def)
moreover have  $Arr U$ 
  using  $U uU$ 
  by (metis Arr.simps(3) neq-Nil-conv)
moreover have  $R.sources(t \setminus u) = Srcs U$ 
  using  $t uU src$ 
  by (metis Con-Arr-self Srcs.simps(2) U_calculation(1) Con-imp-eq-Srcs
       Con-rec(4) N.elements-are-arr R.sources-resid R.arr-resid-iff-con)
ultimately show ?thesis
  using ind [of  $t \setminus u$ ] by simp
qed
finally show ?thesis by blast
qed
qed
qed

lemma  $NPath\text{-}Resid\text{-}Arr\text{-}single$ :
shows  $\llbracket NPath T; R.arr u; Srcs T = R.sources u \rrbracket \implies NPath(Resid T [u])$ 
proof (induct T arbitrary: u)
  show  $\bigwedge u. \llbracket NPath []; R.arr u; Srcs [] \rrbracket = R.sources u \rrbracket \implies NPath(Resid [] [u])$ 
    by simp
  fix t u T
  assume ind:  $\bigwedge u. \llbracket NPath T; R.arr u; Srcs T = R.sources u \rrbracket \implies NPath(Resid T [u])$ 
  assume tT:  $NPath(t \# T)$ 
  assume u:  $R.arr u$ 
  assume src:  $Srcs(t \# T) = R.sources u$ 
  show  $NPath(Resid(t \# T) [u])$ 
  proof (cases  $T = []$ )
    show  $T = [] \implies ?thesis$ 
      using tT u src NPath-def
      by (metis Arr.simps(2) NPath-Resid-single-Arr Srcs.simps(2) list.set-intros(1) subsetD)
    assume T:  $T \neq []$ 
    have  $R.coinitial u t$ 
      by (metis R.coinitialI Srcs.simps(3) T_list.exhaust-sel src u)
    hence con:  $t \sim u$ 
      using tT T u src R.con-sym NPath-def
      by (metis N.forward-stable N.elements-are-arr R.not-arr-null
           list.set-intros(1) R.conI subsetD)
    have 1:  $NPath(Resid(t \# T) [u]) \longleftrightarrow NPath((t \setminus u) \# Resid T [u \setminus t])$ 
    proof -
      have t #:  $T * \sim^* [u]$ 

```

```

proof -
  have 2:  $[t] * \sim^* [u]$ 
    by (simp add: Con-rec(1) con)
  moreover have  $T * \sim^* \text{Resid } [u] [t]$ 
proof -
  have NPath T
    using tT T NPath-def
    by (metis NPath-append append-Cons append-Nil)
  moreover have 3:  $R.\text{arr} (u \setminus t)$ 
    using con by (meson R.arr-resid-iff-con R.con-sym)
  moreover have Srcs T = R.sources (u \ t)
    using tT T u src con
    by (metis 3 Arr-iff-Con-self Con-cons(2) Con-imp-eq-Srcs
      R.sources-resid Srcs-Resid Trgs.simps(2) NPath-implies-Arr list.discI
      R.arr-resid-iff-con)
  ultimately show ?thesis
    using 2 ind [of u \ t] NPath-def by auto
  qed
  ultimately show ?thesis
    using tT T u src Con-cons(1) [of T [u] t] by simp
  qed
  thus ?thesis
    using tT T u src Resid-cons(1) [of T t [u]] Resid-rec(2) by presburger
  qed
  also have ...  $\longleftrightarrow$  True
proof -
  have 2:  $t \setminus u \in \mathfrak{N} \wedge R.\text{arr} (u \setminus t)$ 
    using tT u src con NPath-def
    by (meson R.arr-resid-iff-con R.con-sym N.forward-stable <R.coinitial u t>
      list.set-intros(1) subsetD)
  moreover have 3:  $\text{NPath} (T * \setminus^* [u \setminus t])$ 
    using tT ind [of u \ t] NPath-def
    by (metis Con-Arr-self Con-imp-eq-Srcs Con-rec(4) R.arr-resid-iff-con
      R.sources-resid Srcs.simps(2) T calculation insert-subset list.exhaust
      list.simps(15) Arr.simps(3))
  moreover have R.targets (t \ u)  $\subseteq$  Srcs (Resid T [u \ t])
    using tT T u src NPath-def
    by (metis 3 Arr.simps(1) R.targets-resid-sym Srcs-Resid-Arr-single con subset-refl)
  ultimately show ?thesis
    using NPath-def
    by (metis Arr-consIP N.elements-are-arr insert-subset list.simps(15))
  qed
  finally show ?thesis by blast
  qed
qed

lemma NPath-Resid [simp]:
shows  $\llbracket \text{NPath } T; \text{Arr } U; \text{Srcs } T = \text{Srcs } U \rrbracket \implies \text{NPath} (T * \setminus^* U)$ 
proof (induct T arbitrary: U)

```

```

show  $\bigwedge U. \llbracket NPath [] ; Arr U ; Srcs [] = Srcs U \rrbracket \implies NPath ([] * \setminus^* U)$ 
  by simp
fix t T U
assume ind:  $\bigwedge U. \llbracket NPath T ; Arr U ; Srcs T = Srcs U \rrbracket \implies NPath (T * \setminus^* U)$ 
assume tT:  $NPath (t \# T)$ 
assume U:  $Arr U$ 
assume Coinitial:  $Srcs (t \# T) = Srcs U$ 
show  $NPath ((t \# T) * \setminus^* U)$ 
proof (cases T = [])
  show  $T = [] \implies ?thesis$ 
    using tT U Coinitial NPath-Resid-single-Arr [of t U] NPath-def by force
  assume T:  $T \neq []$ 
  have 0:  $NPath ((t \# T) * \setminus^* U) \longleftrightarrow NPath ([t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t]))$ 
  proof -
    have U  $\neq []$ 
      using U by auto
    moreover have  $(t \# T) * \setminus^* U$ 
    proof -
      have  $t \in \mathfrak{N}$ 
        using tT NPath-def by auto
      moreover have R.sources t = Srcs U
        using Coinitial
        by (metis Srcs.elims U list.sel(1) Arr-has-Src)
      ultimately have 1:  $[t] * \setminus^* U$ 
        using U NPath-Resid-single-Arr [of t U] NPath-def by auto
      moreover have  $T * \setminus^* (U * \setminus^* [t])$ 
      proof -
        have Srcs T = Srcs (U * \setminus^* [t])
          using tT U Coinitial 1
          by (metis Con-Arr-self Con-cons(2) Con-imp-eq-Srcs Con-sym Srcs-Resid-Arr-single
              T list.discI NPath-implies-Arr)
        hence  $NPath (T * \setminus^* (U * \setminus^* [t]))$ 
          using tT U Coinitial 1 Con-sym ind [of Resid U [t]] NPath-def
          by (metis Con-imp-Arr-Resid Srcs.elims T insert-subset list.simps(15)
              Arr.simps(3))
        thus ?thesis
          using NPath-def by auto
      qed
      ultimately show ?thesis
        using Con-cons(1) [of T U t] by fastforce
    qed
    ultimately show ?thesis
      using tT U T Coinitial Resid-cons(1) by auto
  qed
  also have ...  $\longleftrightarrow True$ 
  proof (intro iffI, simp-all)
    have 1:  $NPath ([t] * \setminus^* U)$ 
      by (metis Coinitial NPath-Resid-single-Arr Srcs-simp_P U insert-subset
          list.sel(1) list.simps(15) NPath-def tT)
  
```

```

moreover have 2:  $NPath(T * \setminus^* (U * \setminus^* [t]))$ 
  by (metis 0 Arr.simps(1) Con-cons(1) Con-imp-eq-Srcs Con-implies-Arr(1-2)
       NPath-def T append-Nil2 calculation ind insert-subset list.simps(15) tT)
moreover have  $Trgs([t] * \setminus^* U) \subseteq Srcs(T * \setminus^* (U * \setminus^* [t]))$ 
  by (metis Arr.simps(1) NPath-def Srcs-Resid Trgs-Resid-sym calculation(2)
       dual-order.refl)
ultimately show  $NPath([t] * \setminus^* U @ T * \setminus^* (U * \setminus^* [t]))$ 
  using NPath-append [of  $T * \setminus^* (U * \setminus^* [t])$ ]  $[t] * \setminus^* U$  by fastforce
qed
finally show ?thesis by blast
qed
qed

lemma Backward-stable-single:
shows  $\llbracket NPath U; NPath([t] * \setminus^* U) \rrbracket \implies NPath[t]$ 
proof (induct U arbitrary: t)
  show  $\bigwedge t. \llbracket NPath[]; NPath([t] * \setminus^* []) \rrbracket \implies NPath[t]$ 
    using NPath-def by simp
  fix t u U
  assume ind:  $\bigwedge t. \llbracket NPath U; NPath([t] * \setminus^* U) \rrbracket \implies NPath[t]$ 
  assume uU:  $NPath(u \# U)$ 
  assume resid:  $NPath([t] * \setminus^* (u \# U))$ 
  show  $NPath[t]$ 
    using uU ind NPath-def
    by (metis Arr.simps(1) Arr.simps(2) Con-implies-Arr(2) N.backward-stable
         N.elements-are-arr Resid-rec(1) Resid-rec(3) insert-subset list.simps(15) resid)
qed

lemma Backward-stable:
shows  $\llbracket NPath U; NPath(T * \setminus^* U) \rrbracket \implies NPath T$ 
proof (induct T arbitrary: U)
  show  $\bigwedge U. \llbracket NPath U; NPath([] * \setminus^* U) \rrbracket \implies NPath[]$ 
    by simp
  fix t T U
  assume ind:  $\bigwedge U. \llbracket NPath U; NPath(T * \setminus^* U) \rrbracket \implies NPath T$ 
  assume U:  $NPath U$ 
  assume resid:  $NPath((t \# T) * \setminus^* U)$ 
  show  $NPath(t \# T)$ 
  proof (cases T = [])
    show  $T = [] \implies ?thesis$ 
      using U resid Backward-stable-single by blast
    assume T:  $T \neq []$ 
    have 1:  $NPath([t] * \setminus^* U) \wedge NPath(T * \setminus^* (U * \setminus^* [t]))$ 
      using T U NPath-append resid NPath-def
      by (metis Arr.simps(1) Con-cons(1) Resid-cons(1))
    have 2:  $t \in \mathfrak{N}$ 
      using 1 U Backward-stable-single NPath-def by simp
    moreover have  $NPath T$ 
      using 1 U resid ind
  qed

```

```

by (metis 2 Arr.simps(2) Con-imp-eq-Srcs NPath-Resid N.elements-are-arr)
moreover have R.targets t ⊆ Srcs T
  using resid 1 Con-imp-eq-Srcs Con-sym Srcs-Resid-Arr-single NPath-def
  by (metis Arr.simps(1) dual-order.eq-iff)
ultimately show ?thesis
  using NPath-def
  by (simp add: N.elements-are-arr)
qed
qed

sublocale normal-sub-rts Resid ⌜Collect NPath⌝
  using Ide-implies-NPath NPath-implies-Arr arr-char ide-char coinitial-def
    sources-charP append-is-composite-of
  apply unfold-locales
    apply auto
  using Backward-stable
  by metis+

theorem normal-extends-to-paths:
shows normal-sub-rts Resid (Collect NPath)
..

lemma Resid-NPath-preserves-reflects-Con:
assumes NPath U and Srcs T = Srcs U
shows T *＼* U *＼* T' *＼* U ↔ T *＼* T'
using assms NPath-def NPath-Resid con-char con-imp-coinitial resid-along-elem-preserves-con
  Con-implies-Arr(2) Con-sym Cube(1)
  by (metis Arr.simps(1) mem-Collect-eq)

notation Cong0 (infix ≈*0 50)
notation Cong (infix ≈* 50)

lemma Cong0-cancel-leftCS:
assumes T @ U ≈*0 T @ U' and T ≠ [] and U ≠ [] and U' ≠ []
shows U ≈*0 U'
using assms Cong0-cancel-left [of T U T @ U U' T @ U'] Cong0-reflexive
  append-is-composite-of
  by (metis Cong0-implies-Cong Cong-imp-arr(1) arr-append-imp-seq)

lemma Srcs-respects-Cong:
assumes T ≈* T' and a ∈ Srcs T and a' ∈ Srcs T'
shows [a] ≈* [a']
proof -
  obtain U U' where UU': NPath U ∧ NPath U' ∧ T *＼* U ≈*0 T' *＼* U'
    using assms(1) by blast
  show ?thesis
  proof
    show U ∈ Collect NPath
  qed
qed

```

```

using  $UU'$  by simp
show  $U' \in \text{Collect } NPath$ 
  using  $UU'$  by simp
  show  $[a] * \setminus^* U \approx^*_0 [a'] * \setminus^* U'$ 
  proof -
    have  $NPath ([a] * \setminus^* U) \wedge NPath ([a'] * \setminus^* U')$ 
    by (metis Arr.simps(1) Con-imp-eq-Srcs Con-implies-Arr(1) Con-single-ide-ind
         NPath-implies-Arr N.ide-closed R.in-sourcesE Srcs.simps(2) Srcs-simpP
         UU' assms(2-3) elements-are-arr not-arr-null null-char NPath-Resid-single-Arr)
    thus ?thesis
      using  $UU'$ 
      by (metis Con-imp-eq-Srcs Cong0-imp-con NPath-Resid Srcs-Resid
           con-char NPath-implies-Arr mem-Collect-eq arr-resid-iff-con con-implies-arr(2))
  qed
qed
qed
qed

lemma Trgs-respects-Cong:
assumes  $T \approx^* T'$  and  $b \in \text{Trgs } T$  and  $b' \in \text{Trgs } T'$ 
shows  $[b] \approx^* [b']$ 
proof -
  have  $[b] \in \text{targets } T \wedge [b'] \in \text{targets } T'$ 
  proof -
    have 1:  $\text{Ide } [b] \wedge \text{Ide } [b']$ 
    using assms
    by (metis Ball-Collect Trgs-are-ide Ide.simps(2))
    moreover have Srcs  $[b] = \text{Trgs } T$ 
    using assms
    by (metis 1 Con-imp-Arr-Resid Con-imp-eq-Srcs Cong-imp-arr(1) Ide.simps(2)
         Srcs-Resid Con-single-ide-ind con-char arrE)
    moreover have Srcs  $[b'] = \text{Trgs } T'$ 
    using assms
    by (metis Con-imp-Arr-Resid Con-imp-eq-Srcs Cong-imp-arr(2) Ide.simps(2)
         Srcs-Resid 1 Con-single-ide-ind con-char arrE)
    ultimately show ?thesis
      unfolding targets-charP
      using assms Cong-imp-arr(2) arr-char by blast
  qed
thus ?thesis
  using assms targets-char in-targets-respects-Cong [of  $T T' [b] [b']$ ] by simp
qed

lemma Cong0-append-resid-NPath:
assumes  $NPath (T * \setminus^* U)$ 
shows  $\text{Cong}_0 (T @ (U * \setminus^* T)) U$ 
proof (intro conjI)
  show 0:  $(T @ U * \setminus^* T) * \setminus^* U \in \text{Collect } NPath$ 
  proof -
    have 1:  $(T @ U * \setminus^* T) * \setminus^* U = T * \setminus^* U @ (U * \setminus^* T) * \setminus^* (U * \setminus^* T)$ 
  qed

```

```

by (metis Arr.simps(1) NPath-implies-Arr assms Con-append(1) Con-implies-Arr(2)
      Con-sym Resid-append(1) con-imp-arr-resid null-char)
moreover have NPath ...
  using assms
  by (metis 1 Arr-append-iffP NPath-append NPath-implies-Arr Ide-implies-NPath
      Nil-is-append-conv Resid-Arr-self arr-char con-char arr-resid-iff-con
      self-append-conv)
ultimately show ?thesis by simp
qed
show U *＼* (T @ U *＼* T) ∈ Collect NPath
  using assms 0
  by (metis Arr.simps(1) Con-implies-Arr(2) Congo-reflexive Resid-append(2)
      append.right-neutral arr-char Con-sym)
qed
end

locale paths-in-rts-with-coherent-normal =
  R: rts +
  N: coherent-normal-sub-rts +
  paths-in-rts
begin

  sublocale paths-in-rts-with-normal resid Η ..

  notation Congo (infix <≈*0> 50)
  notation Cong (infix <≈*> 50)

```

Since composites of normal transitions are assumed to exist, normal paths can be “folded” by composition down to single transitions.

```

lemma NPath-folding:
shows NPath U ==> ∃ u. u ∈ Η ∧ R.sources u = Srcs U ∧ R.targets u = Trgs U ∧
  (∀ t. con [t] U —> [t] *＼* U ≈*0 [t \ u])
proof (induct U)
  show NPath [] ==> ∃ u. u ∈ Η ∧ R.sources u = Srcs [] ∧ R.targets u = Trgs [] ∧
    (∀ t. con [t] [] —> [t] *＼* [] ≈*0 [t \ u])
    using NPath-def by auto
  fix v U
  assume ind: NPath U ==> ∃ u. u ∈ Η ∧ R.sources u = Srcs U ∧ R.targets u = Trgs U ∧
    (∀ t. con [t] U —> [t] *＼* U ≈*0 [t \ u])
  assume vU: NPath (v # U)
  show ∃ vU. vU ∈ Η ∧ R.sources vU = Srcs (v # U) ∧ R.targets vU = Trgs (v # U) ∧
    (∀ t. con [t] (v # U) —> [t] *＼* (v # U) ≈*0 [t \ vU])
  proof (cases U = [])
    show U = [] ==> ∃ vU. vU ∈ Η ∧ R.sources vU = Srcs (v # U) ∧
      R.targets vU = Trgs (v # U) ∧
      (∀ t. con [t] (v # U) —> [t] *＼* (v # U) ≈*0 [t \ vU])
    using vU Resid-rec(1) con-char
    by (metis Congo-reflexive NPath-def Srcs.simps(2) Trgs.simps(2) arr-resid-iff-con

```

```

insert-subset list.simps(15))
assume U ≠ []
hence U: NPath U
  using vU by (metis NPath-append append-Cons append-Nil)
obtain u where u: u ∈ Ι ∧ R.sources u = Srcs U ∧ R.targets u = Trgs U ∧
  ( ∀ t. con [t] U → [t] *＼* U ≈*₀ [t \ u])
  using U ind by blast
have seq: R.seq v u
proof
  show R.arr u
    by (simp add: N.elements-are-arr u)
  show R.trg v ~ R.src u
  proof –
    have R.targets v = R.sources u
      by (metis (full-types) NPath-implies-Arr R.sources-resid Srcs.simps(2)
          ‘U ≠ []’ Con-Arr-self Con-imp-eq-Srcs Con-initial-right Con-rec(2)
          u vU)
    thus ?thesis
      using R.seqI(2) ‘R.arr u’ by blast
  qed
qed
obtain vu where vu: R.composite-of v u vu
  using N.composite-closed-right seq u by presburger
have vu ∈ Ι ∧ R.sources vu = Srcs (v # U) ∧ R.targets vu = Trgs (v # U) ∧
  ( ∀ t. con [t] (v # U) → [t] *＼* (v # U) ≈*₀ [t \ vu])
proof (intro conjI allI)
  show vu ∈ Ι
    by (meson NPath-def N.composite-closed list.set-intros(1) subsetD u vU vu)
  show R.sources vu = Srcs (v # U)
    by (metis Con-imp-eq-Srcs Con-initial-right NPath-implies-Arr
        R.sources-composite-of Srcs.simps(2) Arr-iff-Con-self vU vu)
  show R.targets vu = Trgs (v # U)
    by (metis R.targets-composite-of Trgs.simps(3) ‘U ≠ []’ list.exhaust-sel u vu)
  fix t
  show con [t] (v # U) → [t] *＼* (v # U) ≈*₀ [t \ vu]
  proof (intro impI)
    assume t: con [t] (v # U)
    have 1: [t] *＼* (v # U) = [t \ v] *＼* U
      using t Resid-rec(3) ‘U ≠ []’ con-char by force
    also have ... ≈*₀ [(t \ v) \ u]
      using 1 t u by force
    also have [(t \ v) \ u] ≈*₀ [t \ vu]
    proof –
      have (t \ v) \ u ~ t \ vu
        using vu R.resid-composite-of
      by (metis (no-types, lifting) N.Cong₀-composite-of-arr-normal N.Cong₀-subst-right(1)
          ‘U ≠ []’ Con-rec(3) con-char R.con-sym t u)
    thus ?thesis
      using Ide.simps(2) R.prfx-implies-con Resid.simps(3) ide-char ide-closed
  qed
qed

```

```

    by presburger
qed
finally show [t] * \* (v # U) ≈*0 [t \ vu] by blast
qed
qed
thus ?thesis by blast
qed
qed
qed

```

Coherence for single transitions extends inductively to paths.

**lemma** *Coherent-single*:

```

assumes R.arr t and NPath U and NPath U'
and R.sources t = Srcs U and Srcs U = Srcs U' and Trgs U = Trgs U'
shows [t] * \* U ≈*0 [t] * \* U'
proof -
have 1: con [t] U ∧ con [t] U'
  using assms
  by (metis Arr.simps(1-2) Arr-iff-Con-self Resid-NPath-preserves-reflects-Con
      Srcs.simps(2) con-char)
obtain u where u: u ∈ Ι ∧ R.sources u = Srcs U ∧ R.targets u = Trgs U ∧
  ( ∀ t. con [t] U → [t] * \* U ≈*0 [t \ u])
  using assms NPath-folding by metis
obtain u' where u': u' ∈ Ι ∧ R.sources u' = Srcs U' ∧ R.targets u' = Trgs U' ∧
  ( ∀ t. con [t] U' → [t] * \* U' ≈*0 [t \ u'])
  using assms NPath-folding by metis
have [t] * \* U ≈*0 [t \ u]
  using u 1 by blast
also have [t \ u] ≈*0 [t \ u']
  using assms(1,4-6) N.Congo-imp-con N.coherent u u' NPath-def by simp
also have [t \ u'] ≈*0 [t] * \* U'
  using u' 1 by simp
finally show ?thesis by simp
qed

```

**lemma** *Coherent*:

```

shows [| Arr T; NPath U; NPath U'; Srcs T = Srcs U;
        Srcs U = Srcs U'; Trgs U = Trgs U' |]
  ⇒ T * \* U ≈*0 T * \* U'
proof (induct T arbitrary: U U')
  show ⋀ U U'. [| Arr []; NPath U; NPath U'; Srcs [] = Srcs U;
                Srcs U = Srcs U'; Trgs U = Trgs U' |]
    ⇒ [] * \* U ≈*0 [] * \* U'
    by (simp add: arr-char)
  fix t T U U'
  assume tT: Arr (t # T) and U: NPath U and U': NPath U'
  and Srcs1: Srcs (t # T) = Srcs U and Srcs2: Srcs U = Srcs U'
  and Trgs: Trgs U = Trgs U'
  and ind: ⋀ U U'. [| Arr T; NPath U; NPath U'; Srcs T = Srcs U;
                    Srcs U = Srcs U'; Trgs U = Trgs U' |]

```

```

 $\implies T * \setminus^* U \approx_0^* T * \setminus^* U'$ 
have  $t: R.arr t$ 
  using  $tT$  by (metis Arr.simps(2) Con-Arr-self Con-rec(4) R.arrI)
show  $(t \# T) * \setminus^* U \approx_0^* (t \# T) * \setminus^* U'$ 
proof (cases  $T = []$ )
  show  $T = [] \implies ?thesis$ 
    by (metis Srcs.simps(2) Srcs1 Srcs2 Trgs U U' Coherent-single Arr.simps(2) tT)
  assume  $T: T \neq []$ 
  let  $?t = [t] * \setminus^* U$  and  $?t' = [t] * \setminus^* U'$ 
  let  $?T = T * \setminus^* (U * \setminus^* [t])$ 
  let  $?T' = T * \setminus^* (U' * \setminus^* [t])$ 
  have  $0: (t \# T) * \setminus^* U = ?t @ ?T \wedge (t \# T) * \setminus^* U' = ?t' @ ?T'$ 
    using  $tT U U' Srcs1 Srcs2$ 
    by (metis Arr-has-Src Arr-iff-Con-self Resid-cons(1) Srcs.simps(1)
        Resid-NPath-preserves-reflects-Con)
  have  $1: ?t \approx_0^* ?t'$ 
    by (metis Srcs1 Srcs2 Srcs-simpP Trgs U U' list.sel(1) Coherent-single t tT)
  have  $A: ?T * \setminus^* (?t' * \setminus^* ?t) = T * \setminus^* ((U * \setminus^* [t]) @ (?t' * \setminus^* ?t))$ 
    using 1 Arr.simps(1) Con-append(2) Con-sym Resid-append(2) Con-implies-Arr(1)
          NPath-def
    by (metis arr-char elements-are-arr)
  have  $B: ?T' * \setminus^* (?t * \setminus^* ?t') = T * \setminus^* ((U' * \setminus^* [t]) @ (?t * \setminus^* ?t'))$ 
    by (metis 1 Con-appendI(2) Con-sym Resid.simps(1) Resid-append(2) elements-are-arr
        not-arr-null null-char)
  have  $E: ?T * \setminus^* (?t' * \setminus^* ?t) \approx_0^* ?T' * \setminus^* (?t * \setminus^* ?t')$ 
proof -
  have  $Arr T$ 
    using Arr.elims(1) T tT by blast
  moreover have  $NPath (U * \setminus^* [t] @ ([t] * \setminus^* U') * \setminus^* ([t] * \setminus^* U))$ 
    using 1 U t tT Srcs1 Srcs-simpP
    apply (intro NPath-appendI)
    apply auto
    by (metis Arr.simps(1) NPath-def Srcs-Resid Trgs-Resid-sym)
  moreover have  $NPath (U' * \setminus^* [t] @ ([t] * \setminus^* U) * \setminus^* ([t] * \setminus^* U'))$ 
    using t U' 1 Con-imp-eq-Srcs Trgs-Resid-sym
    apply (intro NPath-appendI)
    apply auto
    apply (metis Arr.simps(2) NPath-Resid Resid.simps(1))
    by (metis Arr.simps(1) NPath-def Srcs-Resid)
  moreover have  $Srcs T = Srcs (U * \setminus^* [t] @ ([t] * \setminus^* U') * \setminus^* ([t] * \setminus^* U))$ 
    using A B
    by (metis (full-types) 0 1 Arr-has-Src Con-cons(1) Con-implies-Arr(1)
        Srcs.simps(1) Srcs-append T elements-are-arr not-arr-null null-char
        Con-imp-eq-Srcs)
  moreover have  $Srcs (U * \setminus^* [t] @ ([t] * \setminus^* U') * \setminus^* ([t] * \setminus^* U)) =$ 
     $Srcs (U' * \setminus^* [t] @ ([t] * \setminus^* U) * \setminus^* ([t] * \setminus^* U'))$ 
    by (metis 1 Con-implies-Arr(2) Con-sym Congo-imp-con Srcs-Resid Srcs-append
        arr-char con-char arr-resid-iff-con)
  moreover have  $Trgs (U * \setminus^* [t] @ ([t] * \setminus^* U') * \setminus^* ([t] * \setminus^* U)) =$ 

```

```

Trgs (U' * \* [t] @ ([t] * \* U) * \* ([t] * \* U'))
using 1 Congo-imp-con con-char by force
ultimately show ?thesis
  using A B ind [of (U * \* [t]) @ (?t' * \* ?t) (U' * \* [t]) @ (?t * \* ?t')]
    by simp
qed
have C: NPath ((?T * \* (?t' * \* ?t)) * \* (?T' * \* (?t * \* ?t')))
  using E by blast
have D: NPath ((?T' * \* (?t * \* ?t')) * \* (?T * \* (?t' * \* ?t)))
  using E by blast
show ?thesis
proof
  have 2: ((t # T) * \* U) * \* ((t # T) * \* U') =
    ((?t * \* ?t') * \* ?T') @ ((?T * \* (?t' * \* ?t)) * \* (?T' * \* (?t * \* ?t')))
  proof -
    have ((t # T) * \* U) * \* ((t # T) * \* U') = (?t @ ?T) * \* (?t' @ ?T')
      using 0 by fastforce
    also have ... = ((?t @ ?T) * \* ?t') * \* ?T'
      using tT T U U' Srcs1 Srcs2 0
      by (metis Con-appendI(2) Con-cons(1) Con-sym Resid.simps(1) Resid-append(2))
    also have ... = ((?t * \* ?t') @ (?T * \* (?t' * \* ?t))) * \* ?T'
      by (metis (no-types, lifting) Arr.simps(1) Con-appendI(1) Con-implies-Arr(1)
          D NPath-def Resid-append(1) null-is-zero(2))
    also have ... = ((?t * \* ?t') * \* ?T') @
      ((?T * \* (?t' * \* ?t)) * \* (?T' * \* (?t * \* ?t')))
  proof -
    have ?t * \* ?t' @ ?T * \* (?t' * \* ?t) * \* ?T'
      using C D E Con-sym
      by (metis Con-append(2) Congo-imp-con con-char arr-resid-iff-con
          con-implies-arr(2))
    thus ?thesis
      using Resid-append(1)
      by (metis Con-sym append.right-neutral Resid.simps(1))
  qed
  finally show ?thesis by simp
qed
moreover have 3: NPath ...
proof -
  have NPath ((?t * \* ?t') * \* ?T')
    using 0 1 E
    by (metis Con-imp-Arr-Resid Con-imp-eq-Srcs NPath-Resid Resid.simps(1)
        ex-un-null mem-Collect-eq)
  moreover have Trgs ((?t * \* ?t') * \* ?T') =
    Srcs ((?T * \* (?t' * \* ?t)) * \* (?T' * \* (?t * \* ?t')))
    using C
    by (metis NPath-implies-Arr Srcs.simps(1) Srcs-Resid
        Trgs-Resid-sym Arr-has-Src)
  ultimately show ?thesis
    using C by blast

```

```

qed
ultimately show ((t # T) *` U) *` ((t # T) *` U') ∈ Collect NPath
  by simp

have 4: ((t # T) *` U) *` ((t # T) *` U) =
  ((?t' *` ?t) *` ?T) @ ((?T' *` (?t *` ?t')) *` (?T *` (?t' *` ?t)))
by (metis 0 2 3 Arr.simps(1) Con-implies-Arr(1) Con-sym D NPath-def Resid-append2)
moreover have NPath ...
proof -
  have NPath ((?t' *` ?t) *` ?T)
    by (metis 1 CollectD Cong0-imp-con E con-imp-coinitial forward-stable
        arr-resid-iff-con con-implies-arr(2))
  moreover have NPath ((?T' *` (?t *` ?t')) *` (?T *` (?t' *` ?t)))
    using U U' 1 D ind Coherent-single [of t U' U] by blast
  moreover have Trgs ((?t' *` ?t) *` ?T) =
    Srcs ((?T' *` (?t *` ?t')) *` (?T *` (?t' *` ?t)))
    by (metis Arr.simps(1) NPath-def Srcs-Resid Trgs-Resid-sym calculation(2))
  ultimately show ?thesis by blast
qed
ultimately show ((t # T) *` U') *` ((t # T) *` U) ∈ Collect NPath
  by simp
qed
qed
qed

sublocale rts-with-composites Resid
  using is-rts-with-composites by simp

sublocale coherent-normal-sub-rts Resid ⊤Collect NPath
proof
  fix T U U'
  assume T: arr T and U: U ∈ Collect NPath and U': U' ∈ Collect NPath
  assume sources-UU': sources U = sources U' and targets-UU': targets U = targets U'
  and TU: sources T = sources U
  have Srcs T = Srcs U
    using TU sources-charP T arr-iff-has-source by auto
  moreover have Srcs U = Srcs U'
    by (metis Con-imp-eq-Srcs T TU con-char con-imp-coinitial-ax con-sym in-sourcesE
        in-sourcesI arr-def sources-UU')
  moreover have Trgs U = Trgs U'
    using U U' targets-UU' targets-char
    by (metis (full-types) arr-iff-has-target composable-def composable-iff-seq
        composite-of-arr-target elements-are-arr equals0I seq-char)
  ultimately show T *` U ≈0 T *` U'
    using T U U' Coherent [of T U U'] arr-char by blast
qed

theorem coherent-normal-extends-to-paths:
shows coherent-normal-sub-rts Resid (Collect NPath)

```

```

 $\dots$ 

lemma Cong0-append-Arr-NPath:
assumes  $T \neq []$  and Arr ( $T @ U$ ) and NPath  $U$ 
shows Cong0 ( $T @ U$ )  $T$ 
using assms
by (metis Arr.simps(1) Arr-appendEP NPath-implies-Arr append-is-composite-of arrIP
arr-append-imp-seq composite-of-arr-normal mem-Collect-eq)

lemma Cong-append-NPath-Arr:
assumes  $T \neq []$  and Arr ( $U @ T$ ) and NPath  $U$ 
shows  $U @ T \approx^* T$ 
using assms
by (metis (full-types) Arr.simps(1) Con-Arr-self Con-append(2) Con-implies-Arr(2)
Con-imp-eq-Srcs composite-of-normal-arr Srcs-Resid append-is-composite-of arr-char
NPath-implies-Arr mem-Collect-eq seq-char)

```

## Permutation Congruence

Here we show that  $\approx^*$  coincides with “permutation congruence”: the least congruence respecting composition that relates  $[t, u \setminus t]$  and  $[u, t \setminus u]$  whenever  $t \sim u$  and that relates  $T @ [b]$  and  $T$  whenever  $b$  is an identity such that  $\text{seq } T [b]$ .

```

inductive PCong
where Arr  $T \implies PCong T T$ 
|  $PCong T U \implies PCong U T$ 
|  $[\![PCong T U; PCong U V]\!] \implies PCong T V$ 
|  $[\![\text{seq } T U; PCong T T'; PCong U U']]\!] \implies PCong (T @ U) (T' @ U')$ 
|  $[\![\text{seq } T [b]; R.ide b]\!] \implies PCong (T @ [b]) T$ 
|  $t \sim u \implies PCong [t, u \setminus t] [u, t \setminus u]$ 

```

**lemmas** PCong.intros(3) [trans]

```

lemma PCong-append-Ide:
shows  $[\![\text{seq } T B; Ide B]\!] \implies PCong (T @ B) T$ 
proof (induct B)
  show  $[\![\text{seq } T []; Ide []]\!] \implies PCong (T @ []) T$ 
    by auto
  fix  $b B T$ 
  assume ind:  $[\![\text{seq } T B; Ide B]\!] \implies PCong (T @ B) T$ 
  assume seq:  $\text{seq } T (b \# B)$ 
  assume Ide:  $Ide (b \# B)$ 
  have  $T @ (b \# B) = (T @ [b]) @ B$ 
    by simp
  also have  $PCong \dots (T @ B)$ 
    apply (cases  $B = []$ )
    using Ide PCong.intros(5) seq apply force
    using seq Ide PCong.intros(4) [of  $T @ [b] B T B$ ]
  by (metis Arr.simps(1) Ide-imp-Ide-hd PCong.intros(1) PCong.intros(5)
append-is-Nil-conv arr-append arr-append-imp-seq arr-char calculation)

```

```

list.distinct(1) list.sel(1) seq-char)
also have PCong (T @ B) T
proof (cases B = [])
show B = [] ==> ?thesis
using PCong.intros(1) seq seq-char by force
assume B: B ≠ []
have seq T B
using B seq Ide
by (metis Con-imp-eq-Srcs Ide-imp-Ide-hd Trgs-append ‹T @ b # B = (T @ [b]) @ B›
append-is-Nil-conv arr-append arr-append-imp-seq arr-char cong-cons-ideI(2)
list.distinct(1) list.sel(1) not-arr-null null-char seq-char ide-implies-arr)
thus ?thesis
using seq Ide ind
by (metis Arr.simps(1) Ide.elims(3) Ide.simps(3) seq-char)
qed
finally show PCong (T @ (b # B)) T by blast
qed

lemma PCong-imp-Cong:
shows PCong T U ==> T *~* U
proof (induct rule: PCong.induct)
show ⋀ T. Arr T ==> T *~* T
using cong-reflexive by blast
show ⋀ T U. [PCong T U; T *~* U] ==> U *~* T
by blast
show ⋀ T U V. [PCong T U; T *~* U; PCong U V; U *~* V] ==> T *~* V
using cong-transitive by blast
show ⋀ T U U' T'. [seq T U; PCong T T'; T *~* T'; PCong U U'; U *~* U'] ==>
T @ U *~* T' @ U'
using cong-append by simp
show ⋀ T b. [seq T [b]; R.ide b] ==> T @ [b] *~* T
using cong-append-ideI(4) ide-char by force
show ⋀ t u. t ∘ u ==> [t, u \ t] *~* [u, t \ u]
proof -
have ⋀ t u. t ∘ u ==> [t, u \ t] *~* [u, t \ u]
proof -
fix t u
assume con: t ∘ u
have ([t] @ [u \ t]) *~* ([u] @ [t \ u]) =
[(t \ u) \ (t \ u), ((u \ t) \ (u \ t)) \ ((t \ u) \ (t \ u))]
using con Resid-append2 [of [t] [u \ t] [u] [t \ u]]
apply simp
by (metis R.arr-resid-iff-con R.con-target R.conE R.con-sym
R.prfx-implies-con R.prfx-reflexive R.cube)
moreover have Ide ...
using con
by (metis Arr.simps(2) Arr.simps(3) Ide.simps(2) Ide.simps(3) R.arr-resid-iff-con
R.con-sym R.resid-ide-arr R.prfx-reflexive calculation Con-imp-Arr-Resid)
ultimately show [t, u \ t] *~* [u, t \ u]

```

```

    using ide-char by auto
qed
thus  $\bigwedge t u. t \sim u \implies [t, u \setminus t] * \sim^* [u, t \setminus u]$ 
    using R.con-sym by blast
qed
qed

lemma PCong-permute-single:
shows  $[t] * \sim^* U \implies PCong([t] @ (U * \setminus^* [t])) (U @ ([t] * \setminus^* U))$ 
proof (induct U arbitrary: t)
show  $\bigwedge t. [t] * \sim^* [] \implies PCong([t] @ [] * \setminus^* [t]) ([] @ [t] * \setminus^* [])$ 
    by auto
fix t u U
assume ind:  $\bigwedge t. [t] * \setminus^* U \neq [] \implies PCong([t] @ (U * \setminus^* [t])) (U @ ([t] * \setminus^* U))$ 
assume con:  $[t] * \sim^* u \# U$ 
show PCong([t] @ (u # U) * \setminus^* [t]) ((u # U) @ [t] * \setminus^* (u # U))
proof (cases U = [])
show U = []  $\implies ?thesis$ 
    by (metis PCong.intros(6) Resid.simps(3) append-Cons append-eq-append-conv2
        append-self-conv con-char con-def con-con-sym-ax)
assume U:  $U \neq []$ 
show PCong([t] @ ((u # U) * \setminus^* [t])) ((u # U) @ ([t] * \setminus^* (u # U)))
proof -
have [t] @ ((u # U) * \setminus^* [t]) = [t] @ ([u \setminus t] @ (U * \setminus^* [t \setminus u]))
    using Con-sym Resid-rec(2) U con by auto
also have ... = ([t] @ [u \setminus t]) @ (U * \setminus^* [t \setminus u])
    by auto
also have PCong ... (([u] @ [t \setminus u]) @ (U * \setminus^* [t \setminus u]))
proof -
have PCong([t] @ [u \setminus t]) ([u] @ [t \setminus u])
    using con
    by (simp add: Con-rec(3) PCong.intros(6) U)
thus ?thesis
    by (metis Arr-Resid-single Con-implies-Arr(1) Con-rec(2) Con-sym
        PCong.intros(1,4) Srcs-Resid U append-is-Nil-conv append-is-composite-of
        arr-append-imp-seq arr-char calculation composite-of-unq-up-to-cong
        con not-arr-null null-char ide-implies-arr seq-char)
qed
also have ([u] @ [t \setminus u]) @ (U * \setminus^* [t \setminus u]) = [u] @ ([t \setminus u] @ (U * \setminus^* [t \setminus u]))
    by simp
also have PCong ... ([u] @ (U @ ([t \setminus u] * \setminus^* U)))
proof -
have PCong([t \setminus u] @ (U * \setminus^* [t \setminus u])) (U @ ([t \setminus u] * \setminus^* U))
    using ind
    by (metis Resid-rec(3) U con)
moreover have seq [u] ([t \setminus u] @ U * \setminus^* [t \setminus u])
proof
show Arr [u]
    using Con-implies-Arr(2) Con-initial-right con by blast

```

```

show Arr ([t \ u] @ U *`[t \ u])
  using Con-implies-Arr(1) U con Con-imp-Arr-Resid Con-rec(3) Con-sym
  by fastforce
show Trgs [u] ∩ Srcs ([t \ u] @ U *`[t \ u]) ≠ {}
  by (metis Arr.simps(1) Arr.simps(2) Arr-has-Trg Con-implies-Arr(1)
       Int-absorb R.arr-resid-iff-con R.sources-resid Resid-rec(3)
       Srcs.simps(2) Srcs-append Trgs.simps(2) U `Arr [u]` con)
qed
moreover have PCong [u] [u]
  using PCong.intros(1) calculation(2) seq-char by force
ultimately show ?thesis
  using U arr-append arr-char con seq-char
    PCong.intros(4) [of [u] [t \ u] @ (U *`[t \ u])
                  [u] U @ ([t \ u] *` U)]
  by blast
qed
also have ([u] @ (U @ ([t \ u] *` U))) = ((u # U) @ [t] *` (u # U))
  by (metis Resid-rec(3) U append-Cons append-Nil con)
finally show ?thesis by blast
qed
qed
qed

lemma PCong-permute:
shows T *` U ⟹ PCong (T @ (U *` T)) (U @ (T *` U))
proof (induct T arbitrary: U)
  show ⋀ U. [] *` U ≠ [] ⟹ PCong ([] @ U *` []) (U @ [] *` U)
    by simp
  fix t T U
  assume ind: ⋀ U. T *` U ⟹ PCong (T @ (U *` T)) (U @ (T *` U))
  assume con: t # T *` U
  show PCong ((t # T) @ (U *` (t # T))) (U @ ((t # T) *` U))
  proof (cases T = [])
    assume T: T = []
    have (t # T) @ (U *` (t # T)) = [t] @ (U *` [t])
      using con T by simp
    also have PCong ... (U @ ([t] *` U))
      using PCong-permute-single T con by blast
    finally show ?thesis
      using T by fastforce
  next
    assume T: T ≠ []
    have (t # T) @ (U *` (t # T)) = [t] @ (T @ (U *` (t # T)))
      by simp
    also have PCong ... ([t] @ (U *` [t]) @ (T *` (U *` [t])))
      using ind [of U *` [t]]
    by (metis Arr.simps(1) Con-imp-Arr-Resid Con-implies-Arr(2) Con-sym
        PCong.intros(1,4) Resid-cons(2) Srcs-Resid T arr-append arr-append-imp-seq
        calculation con not-arr-null null-char seq-char)
  qed
qed

```

```

also have [t] @ (U *` [t]) @ (T *` (U *` [t])) =
  ([t] @ (U *` [t])) @ (T *` (U *` [t]))
by simp
also have PCong (([t] @ (U *` [t])) @ (T *` (U *` [t])))
  (((U @ ([t] *` U)) @ (T *` (U *` [t]))))
by (metis Arr.simps(1) Con-cons(1) Con-imp-Arr-Resid Con-implies-Arr(2)
    PCong.intros(1,4) PCong-permute-single Srcs-Resid T Trgs-append arr-append
    arr-char con seq-char)
also have (U @ ([t] *` U)) @ (T *` (U *` [t])) = U @ ((t # T) *` U)
by (metis Resid.simps(2) Resid-cons(1) append.assoc con)
finally show ?thesis by blast
qed
qed

lemma Cong-imp-PCong:
assumes T *~* U
shows PCong T U
proof -
have PCong T (T @ (U *` T))
using assms PCong.intros(2) PCong-append-Ide
by (metis Con-implies-Arr(1) Ide.simps(1) Srcs-Resid ide-char Con-imp-Arr-Resid
    seq-char)
also have PCong (T @ (U *` T)) (U @ (T *` U))
using PCong-permute assms con-char prfx-implies-con by presburger
also have PCong (U @ (T *` U)) U
using assms PCong-append-Ide
by (metis Con-imp-Arr-Resid Con-implies-Arr(1) Srcs-Resid arr-resid-iff-con
    ide-implies-arr con-char ide-char seq-char)
finally show ?thesis by blast
qed

lemma Cong-iff-PCong:
shows T *~* U  $\longleftrightarrow$  PCong T U
using PCong-imp-Cong Cong-imp-PCong by blast
end

```

## 2.5 Composite Completion

The RTS of paths in an RTS factors via the coherent normal sub-RTS of identity paths into an extensional RTS with composites, which can be regarded as a “composite completion” of the original RTS.

```

locale composite-completion =
R: rts R
for R :: 'a resid
begin

type-synonym 'b arr = 'b list set

```

```

interpretation N: coherent-normal-sub-rts R <Collect R.ide>
  using R.rts-axioms R.identities-form-coherent-normal-sub-rts by auto
sublocale P: paths-in-rts-with-coherent-normal R <Collect R.ide> ..
sublocale Q: quotient-by-coherent-normal P.Resid <Collect P.NPath> ..

definition resid (infix  $\langle\{\cdot\}\rangle$  70)
where resid  $\equiv$  Q.Resid

sublocale extensional-rts resid
  unfolding resid-def
  using Q.extensional-rts-axioms by simp

notation con (infix  $\langle\{\cdot\}\rangle$  50)
notation prfx (infix  $\langle\{\cdot\}\rangle$  50)

notation P.Resid (infix  $\langle\{\cdot\}\rangle$  70)
notation P.Con (infix  $\langle\{\cdot\}\rangle$  50)
notation P.Cong (infix  $\langle\{\cdot\}\rangle$  50)
notation P.Congo (infix  $\langle\{\cdot\}\rangle$  50)
notation P.Cong-class ( $\langle\{\cdot\}\rangle$ )

lemma P-ide-iff-NPath:
shows P.ide T  $\longleftrightarrow$  P.NPath T
  using P.NPath-def P.ide-char P.ide-closed by auto

lemma Cong-eq-Congo:
shows T  $\approx^*$  T'  $\longleftrightarrow$  T  $\approx_0^*$  T'
  by (metis Collect-cong P.Cong-iff-cong P-ide-iff-NPath mem-Collect-eq)

lemma Srcs-respects-Cong:
assumes T  $\approx^*$  T'
shows P.Srcs T = P.Srcs T'
  using assms
  by (meson P.Con-imp-eq-Srcs P.Congo-imp-con P.con-char Cong-eq-Congo)

lemma sources-respects-Cong:
assumes T  $\approx^*$  T'
shows P.sources T = P.sources T'
  using assms
  by (meson P.Congo-imp-coinitial Cong-eq-Congo)

lemma Trgs-respects-Cong:
assumes T  $\approx^*$  T'
shows P.Trgs T = P.Trgs T'
  by (metis Cong-eq-Congo P.Srcs-Resid P.con-char P.cube P.ide-def
P-ide-iff-NPath assms mem-Collect-eq)

lemma targets-respects-Cong:

```

```

assumes  $T * \approx^* T'$ 
shows  $P.targets\ T = P.targets\ T'$ 
using assms  $P.Cong-imp-arr(1)$   $P.Cong-imp-arr(2)$   $P.arr-iff-has-target$ 
 $P.targets-char_P\ Trgs-respects-Cong$ 
by force

lemma  $ide-char_{CC}$ :
shows  $ide\ \mathcal{T} \longleftrightarrow arr\ \mathcal{T} \wedge (\forall T. T \in \mathcal{T} \longrightarrow P.Ide\ T)$ 
by (metis Ball-Collect  $P.IdeI$   $P.Ide-implies-NPath$   $Q.ide-char'$   $P.NPath-def$ 
resid-def)

lemma  $con-char_{CC}$ :
shows  $\mathcal{T} \{^*\!\sim^*\} \mathcal{U} \longleftrightarrow arr\ \mathcal{T} \wedge arr\ \mathcal{U} \wedge P.Cong-class-rep\ \mathcal{T} * \sim^* P.Cong-class-rep\ \mathcal{U}$ 
proof
show  $arr\ \mathcal{T} \wedge arr\ \mathcal{U} \wedge P.Cong-class-rep\ \mathcal{T} * \sim^* P.Cong-class-rep\ \mathcal{U} \implies \mathcal{T} \{^*\!\sim^*\} \mathcal{U}$ 
by (metis  $P.Cong-class-rep$   $P.conI_P$   $Q.arr-char$   $Q.quot.preserves-con$  resid-def)
show  $\mathcal{T} \{^*\!\sim^*\} \mathcal{U} \implies arr\ \mathcal{T} \wedge arr\ \mathcal{U} \wedge P.Cong-class-rep\ \mathcal{T} * \sim^* P.Cong-class-rep\ \mathcal{U}$ 
proof -
assume  $con: \mathcal{T} \{^*\!\sim^*\} \mathcal{U}$ 
have 1:  $arr\ \mathcal{T} \wedge arr\ \mathcal{U}$ 
using  $con\ coinital-iff\ con-imp-coinital$  by blast
moreover have  $P.Cong-class-rep\ \mathcal{T} * \sim^* P.Cong-class-rep\ \mathcal{U}$ 
proof -
obtain  $T\ U$  where  $TU: T \in \mathcal{T} \wedge U \in \mathcal{U} \wedge P.Con\ T\ U$ 
using  $P.con-char$   $Q.con-char_{QCN}$   $con\ resid-def$  by auto
have  $T * \approx^* P.Cong-class-rep\ \mathcal{T} \wedge U * \approx^* P.Cong-class-rep\ \mathcal{U}$ 
using  $TU\ 1\ P.Cong-class-memb-Cong-rep$   $Q.arr-char\ resid-def$  by simp
thus ?thesis
using  $TU\ P.Cong-subst(1)$  [of  $T\ P.Cong-class-rep\ \mathcal{T}\ U\ P.Cong-class-rep\ \mathcal{U}$ ]
by (metis  $P.coinital-iff$   $P.con-char$   $P.con-imp-coinital$  sources-respects-Cong)
qed
ultimately show ?thesis by simp
qed
qed

lemma  $con-char'_{CC}$ :
shows  $\mathcal{T} \{^*\!\sim^*\} \mathcal{U} \longleftrightarrow arr\ \mathcal{T} \wedge arr\ \mathcal{U} \wedge (\forall T\ U. T \in \mathcal{T} \wedge U \in \mathcal{U} \longrightarrow T * \sim^* U)$ 
proof
show  $arr\ \mathcal{T} \wedge arr\ \mathcal{U} \wedge (\forall T\ U. T \in \mathcal{T} \wedge U \in \mathcal{U} \longrightarrow T * \sim^* U) \implies \mathcal{T} \{^*\!\sim^*\} \mathcal{U}$ 
using  $con-char_{CC}$   $P.rep-in-Cong-class$   $Q.arr-char\ resid-def$  by simp
show  $\mathcal{T} \{^*\!\sim^*\} \mathcal{U} \implies arr\ \mathcal{T} \wedge arr\ \mathcal{U} \wedge (\forall T\ U. T \in \mathcal{T} \wedge U \in \mathcal{U} \longrightarrow T * \sim^* U)$ 
proof (intro conjI allI impI)
assume 1:  $\mathcal{T} \{^*\!\sim^*\} \mathcal{U}$ 
show  $arr\ \mathcal{T}$ 
using 1 con-implies-arr by simp
show  $arr\ \mathcal{U}$ 
using 1 con-implies-arr by simp
fix  $T\ U$ 
assume 2:  $T \in \mathcal{T} \wedge U \in \mathcal{U}$ 

```

```

show  $T * \sim^* U$ 
proof -
  have  $P.\text{Cong } T (P.\text{Cong-class-rep } \mathcal{T})$ 
  using ⟨arr  $\mathcal{T}P.\text{con} (P.\text{Cong-class-rep } \mathcal{T}) (P.\text{Cong-class-rep } \mathcal{U})$ 
  using 1 con-charCC by blast
  moreover have  $P.\text{Cong} (P.\text{Cong-class-rep } \mathcal{U}) U$ 
  using ⟨arr  $\mathcal{U}0 P.Cong0-subst-Con P.con-char)
qed
qed
qed

lemma resid-char:
shows  $\mathcal{T} \{^*\backslash^*\} \mathcal{U} =$ 
  (if  $\mathcal{T} \{^*\backslash^*\} \mathcal{U}$  then  $\{P.\text{Cong-class-rep } \mathcal{T} * \backslash^* P.\text{Cong-class-rep } \mathcal{U}\}$  else {})
by (metis P.con-char P.rep-in-Cong-class Q.Resid-by-members Q.arr-char arr-resid-iff-con
  con-charCC Q.is-Cong-class-Resid resid-def)

lemma resid-simp:
assumes  $\mathcal{T} \{^*\backslash^*\} \mathcal{U}$  and  $T \in \mathcal{T}$  and  $U \in \mathcal{U}$ 
shows  $\mathcal{T} \{^*\backslash^*\} \mathcal{U} = \{P.\text{Resid } T U\}$ 
  using assms resid-char
  by (metis (no-types, lifting) P.con-char con-charCC' Q.Resid-by-members
    Q.con-charQCN resid-def)

lemma src-char':
shows src  $\mathcal{T} = \{A. \text{arr } \mathcal{T} \wedge P.\text{Ide } A \wedge P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } A\}$ 
proof (cases arr  $\mathcal{T}$ )
  show  $\neg \text{arr } \mathcal{T} \implies ?\text{thesis}$ 
  by (simp add: Q.null-char Q.src-def resid-def)
  assume  $\mathcal{T}: \text{arr } \mathcal{T}$ 
  have 1:  $\exists A. P.\text{Ide } A \wedge P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } A$ 
  by (metis P.Con-imp-eq-Srcs P.con-char P.con-imp-coinitial-ax P.ide-char
     $\mathcal{T}$  con-charCC arrE)
  let ?A = SOME A. P.Ide A ∧ P.Srcs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs A
  have A:  $P.\text{Ide } ?A \wedge P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } ?A$ 
  using 1 someI-ex [of  $\lambda A. P.\text{Ide } A \wedge P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } A$ ] by simp
  have a: arr {?A}
  using A P.ide-char P.is-Cong-classI Q.arr-char resid-def by auto
  have ide-a: ide {?A}
  using a A P.Cong-class-def P.normal-is-Cong-closed P.ide-iff-NPath P.ide-char ide-charCC
    by auto
  have sources  $\mathcal{T} = \{\{?A\}\}$ 
  proof -$ 
```

```

have  $\mathcal{T} \{^*\sim^*\} \{?A\}$ 
  by (metis (no-types, lifting) A P.Cong-class-rep P.conIP Q.quot.preserves-con
     $\mathcal{T}$  con-arr-self con-charCC P.Arr-iff-Con-self P.Con-IdeI(2) Q.arr-char resid-def)
  hence  $\{?A\} \in \text{sources } \mathcal{T}$ 
    using ide-a in-sourcesI by simp
  thus ?thesis
    using sources-charWE by auto
qed
moreover have  $\{?A\} = \{A. P.\text{Ide } A \wedge P.\text{Srcs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } A\}$ 
proof
  show  $\{A. P.\text{Ide } A \wedge P.\text{Srcs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } A\} \subseteq \{?A\}$ 
    using A P.Cong-class-def P.Cong-closure-props(3) P.Ide-implies-Arr
      P.ide-closed P.ide-char
    by fastforce
  show  $\{?A\} \subseteq \{A. P.\text{Ide } A \wedge P.\text{Srcs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } A\}$ 
    using a A P.Cong-class-def Srcs-respects-Cong ide-a ide-charCC by blast
qed
ultimately show ?thesis
  using  $\mathcal{T}$  src-in-sources sources-charWE by auto
qed

lemma src-char:
shows src  $\mathcal{T} = \{A. \text{arr } \mathcal{T} \wedge P.\text{Ide } A \wedge (\forall T. T \in \mathcal{T} \rightarrow P.\text{Srcs } T = P.\text{Srcs } A)\}$ 
proof (cases arr  $\mathcal{T}$ )
  show  $\neg \text{arr } \mathcal{T} \implies ?\text{thesis}$ 
    using src-char' by simp
  assume  $\mathcal{T}: \text{arr } \mathcal{T}$ 
  have  $\bigwedge T. T \in \mathcal{T} \implies P.\text{Srcs } T = P.\text{Srcs } (P.\text{Cong-class-rep } \mathcal{T})$ 
    using  $\mathcal{T}$  P.Cong-class-memb-Cong-rep Srcs-respects-Cong Q.arr-char resid-def by auto
  thus ?thesis
    using  $\mathcal{T}$  src-char' P.is-Cong-class-def Q.arr-char resid-def by force
qed

lemma trg-char':
shows trg  $\mathcal{T} = \{B. \text{arr } \mathcal{T} \wedge P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B\}$ 
proof (cases arr  $\mathcal{T}$ )
  show  $\neg \text{arr } \mathcal{T} \implies ?\text{thesis}$ 
    using resid-char resid-def Q.trg-charQCN by auto
  assume  $\mathcal{T}: \text{arr } \mathcal{T}$ 
  have 1:  $\exists B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B$ 
    by (metis P.Con-implies-Arr(2) P.Resid-Arr-self P.Srcs-Resid  $\mathcal{T}$  con-charCC arrE)
  define B where  $B = (\text{SOME } B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B)$ 
  have B:  $P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B$ 
    unfolding B-def
    using 1 someI-ex [of  $\lambda B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B$ ] by simp
  hence 2:  $P.\text{Ide } B \wedge P.\text{Con } (P.\text{Resid } (P.\text{Cong-class-rep } \mathcal{T}) (P.\text{Cong-class-rep } \mathcal{T})) B$ 
    using  $\mathcal{T}$ 
    by (metis (no-types, lifting) P.Con-Ide-iff P.Ide-implies-Arr P.Resid-Arr-self
      P.Srcs-Resid arrE P.Con-implies-Arr(2) con-charCC)

```

```

have b: arr {B}
  by (simp add: 2 P.ide-char P.is-Cong-classI Q.arr-char resid-def)
have ide-b: ide {B}
  by (simp add: 2 P.ide-char resid-def)
have targets T = {{B}}
proof -
  have cong (T {*\} T) {B}
    by (metis 2 P.con-char Q.ide-imp-con-iff-cong Q.quot.preserves-con
        T composite-completion.resid-char composite-completion-axioms
        cong-reflexive ide-b resid-def)
  thus ?thesis
    using T Q.targets-char_QCN [of T] cong-char resid-def by auto
qed
moreover have {B} = {B. P.Ide B ∧ P.Trgs (P.Cong-class-rep T) = P.Srcs B}
proof
  show {B. P.Ide B ∧ P.Trgs (P.Cong-class-rep T) = P.Srcs B} ⊆ {B}
    using B P.Cong-class-def P.Cong-closure-props(3) P.Ide-implies-Arr
    P.ide-closed P.ide-char
    by force
  show {B} ⊆ {B. P.Ide B ∧ P.Trgs (P.Cong-class-rep T) = P.Srcs B}
  proof -
    have ∏ B'. P.Cong B' B ==> P.Ide B' ∧ P.Trgs (P.Cong-class-rep T) = P.Srcs B'
      using B P.ide-iff-NPath P.normal-is-Cong-closed Srcs-respects-Cong
      by (metis P.Cong-closure-props(1) P.ide-char mem-Collect-eq)
    thus ?thesis
      using P.Cong-class-def by blast
  qed
  ultimately show ?thesis
    using T trg-in-targets targets-char by auto
qed

lemma trg-char:
shows trg T = {B. arr T ∧ P.Ide B ∧ (∀ T. T ∈ T → P.Trgs T = P.Srcs B)}
proof (cases arr T)
  show ¬ arr T ==> ?thesis
    using trg-char' by presburger
  assume T: arr T
  have ∏ T. T ∈ T ==> P.Trgs T = P.Trgs (P.Cong-class-rep T)
    using T
    by (metis P.Cong-class-memb-Cong-rep Trgs-respects-Cong Q.arr-char resid-def)
  thus ?thesis
    using T trg-char' P.is-Cong-class-def Q.arr-char resid-def by force
qed

lemma prfx-char:
shows T {*\} U ↔ arr T ∧ arr U ∧ (∀ T U. T ∈ T ∧ U ∈ U → P.prfx T U)
proof
  show T {*\} U ==> arr T ∧ arr U ∧ (∀ T U. T ∈ T ∧ U ∈ U → P.prfx T U)

```

```

by (metis (mono-tags, lifting) Ball-Collect P.arr-in-Cong-class
    P.arr-resid-iff-con P.conIP P.ide-iff-NPath Q.ide-char'
    con-charCC' prfx-implies-con resid-def resid-simp)
show arr  $\mathcal{T}$   $\wedge$  arr  $\mathcal{U}$   $\wedge$  ( $\forall T U. T \in \mathcal{T} \wedge U \in \mathcal{U} \longrightarrow P.\text{prfx } T U \Longrightarrow \mathcal{T} \{\cdot^*\} \mathcal{U}$ )
    by (metis P.Cong-class-rep P.rep-in-Cong-class Q.arr-char Q.quot.preserves-prfx
        resid-def)
qed

lemma quotient-reflects-con:
assumes con (Q.quot t) (Q.quot u)
shows P.con t u
using assms P.arr-in-Cong-class P.con-char con-charCC' resid-def by force

lemma is-extensional-rts-with-composites:
shows extensional-rts-with-composites resid
proof
fix  $\mathcal{T} \mathcal{U}$ 
assume seq: seq  $\mathcal{T} \mathcal{U}$ 
obtain T where T:  $\mathcal{T} = \{T\}$ 
    by (metis P.Cong-class-rep Q.arr-char Q.seqEWE resid-def seq)
obtain U where U:  $\mathcal{U} = \{U\}$ 
    by (metis P.Cong-class-rep Q.arr-char Q.seqEWE resid-def seq)
have 1: P.Arr T  $\wedge$  P.Arr U
    using P.arr-char T U resid-def seq by auto
have 2: P.Trgs T = P.Srcs U
proof -
    have P.Trgs (P.Cong-class-rep  $\mathcal{T}$ ) = P.Srcs (P.Cong-class-rep  $\mathcal{U}$ )
        by (metis (mono-tags, lifting) P.Con-imp-eq-Srcs P.rep-in-Cong-class
            Q.arr-char con-arr-src(2) con-charCC' mem-Collect-eq resid-def
            seq seqEWE trg-char')
    thus ?thesis
        by (metis 1 P.Cong-class-memb-Cong-rep P.arrIP P.arr-in-Cong-class
            P.is-Cong-classI Srcs-respects-Cong T Trgs-respects-Cong U)
qed
have P.Arr (T @ U)
    using 1 2 by simp
moreover have P.Ide (T *\\* (T @ U))
    by (metis 1 P.Con-append(2) P.Con-sym P.Resid-Arr-self P.Resid-Ide-Arr-ind
        P.Resid-append(2) P.Trgs.simps(1) calculation P.Arr-has-Trg)
moreover have (T @ U) *\\* T *≈* U
    by (metis 1 P.Arr.simps(1) P.Con-implies-Arr(2) P.Cong0-implies-Cong
        P.con-sym-ax P.null-char calculation(2) P.Cong0-append-resid-NPath
        P.Cong0-cancel-leftCS P.Ide-implies-NPath)
ultimately have composite-of  $\mathcal{T} \mathcal{U} \{T @ U\}$ 
    by (metis 1 P.Arr.simps(1) P.append-is-composite-of P.arrIP P.arr-append-imp-seq
        Q.quot.preserves-composites T U resid-def)
thus composable  $\mathcal{T} \mathcal{U}$ 
    using composable-def by auto
qed

```

```

sublocale extensional-rts-with-composites resid
  using is-extensional-rts-with-composites by simp

notation comp (infixr {*.*} 55)

2.5.1 Inclusion Map

definition incl
where incl ≡ Q.quot ∘ P.incl

sublocale incl: simulation R resid incl
  unfolding incl-def resid-def
  using P.incl-is-simulation Q.quotient-is-simulation composite-simulation.intro
  by blast
sublocale incl: simulation-to-extensional-rts R resid incl ..

lemma incl-is-simulation:
shows simulation R resid incl
  ..

lemma incl-simp [simp]:
shows incl t = {[t]}
  by (metis (mono-tags, lifting) PArr.simps(2) PArr-iff-Con-self P.Ide.simps(1)
    P.cong-reflexive P.ide-char Q.quot.extensionality incl.extensionality incl-def
    o-apply resid-def)

lemma incl-reflects-con:
assumes incl t {*¬*} incl u
shows R.con t u
  by (metis P.Con-rec(1) P.arr-in-Cong-class assms con-charCC' incl-simp
    Q.quot.preserves-reflects-arr resid-def)

lemma cong-iff-eq-incl:
assumes R.arr t and R.arr u
shows incl t = incl u ↔ R.cong t u
  by (metis P.Ide.simps(2) P.arr-in-Cong-class assms(1) incl-reflects-con
    congE cong-char ide-charCC incl.preserves-prfx incl.preserves-reflects-arr
    incl.preserves-resid incl-simp prfx-implies-con Q.quot.extensionality resid-def)

lemma incl-cancel-left:
assumes transformation X R F G T and transformation X R F' G' T'
and extensional-rts R
and incl ∘ T = incl ∘ T'
shows T = T'
proof –
  interpret R: extensional-rts R
    using assms(3) by blast
  interpret T: transformation X R F G T

```

```

using assms(1) by blast
interpret T': transformation X R F' G' T'
  using assms(2) by blast
show T = T'
proof
  fix x
  show T x = T' x
  by (metis R.cong-char T'.extensionality T.A.cong-reflexive T.extensionality
    T.respects-cong assms(4) comp-apply cong-iff-eq-incl incl.preserves-reflects-arr)
qed
qed

```

The inclusion is surjective on identities.

```

lemma img-incl-ide:
shows incl ` (Collect R.ide) = Collect ide
proof
  show incl ` Collect R.ide ⊆ Collect ide
    using incl.preserves-ide by force
  show Collect ide ⊆ incl ` Collect R.ide
proof
  fix A
  assume A: A ∈ Collect ide
  obtain A where A: A ∈ A
    using A Q.ide-char resid-def by auto
  have P.NPath A
    by (metis A Ball-Collect A Q.ide-char' mem-Collect-eq resid-def)
  obtain a where a: a ∈ P.Srcs A
    using ⟨P.NPath A⟩
    by (meson P.NPath-implies-Arr equals0I PArr-has-Src)
  have A = {[a]}
  proof –
    have A *≈₀* [a]
    by (metis P.Con-Arr-self P.Con-imp-eq-Srcs P.Con-implies-Arr(1)
      P.Con-sym P.NPath-implies-Arr P.Resid-Arr-Src P.conIP P.ideI
      P.ide-closed P.resid-ide-arr ⟨P.NPath A⟩ a mem-Collect-eq)
    thus ?thesis
      by (metis A CollectD P.Cong₀-imp-con P.Cong₀-subst-left(1)
        P.Cong-class-eqI P.Cong-closure-props(3) P.resid-arr-ide
        P.ide-iff-NPath Q.ideE A ⟨P.NPath A⟩ resid-def resid-simp)
  qed
  thus A ∈ incl ` Collect R.ide
    using P.Srcs-are-ide a by auto
  qed
qed

```

end

## 2.5.2 Composite Completion of a Weakly Extensional RTS

```
locale composite-completion-of-weakly-extensional-rts =
```

$R$ : weakly-extensional-rts  $R +$   
 composite-completion  
**begin**  
**sublocale**  $P$ : paths-in-weakly-extensional-rts  $R ..$   
**sublocale**  $incl$ : simulation-between-weakly-extensional-rts  $R$  resid  $incl ..$   
**notation**  $comp$  (infixr  $\{^{*.*}\}$  55)  
**lemma**  $src\text{-}char_{CCWE}$ :  
**shows**  $src \mathcal{T} = (\text{if arr } \mathcal{T} \text{ then } incl (P.\text{Src} (P.\text{Cong-class-rep } \mathcal{T})) \text{ else null})$   
**proof** (cases arr  $\mathcal{T}$ )  
**show**  $\neg \text{arr } \mathcal{T} \implies ?thesis$   
**using**  $src\text{-def}$  by auto  
**assume**  $\mathcal{T} : \text{arr } \mathcal{T}$   
**have**  $src \mathcal{T} = \{A. P.\text{Ide} A \wedge P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs} A\}$   
**using**  $\mathcal{T} \text{ src-char}' [\text{of } \mathcal{T}]$  by simp  
**moreover have**  $1: \bigwedge A. P.\text{Ide} A \implies$   
 $P.\text{Srcs} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs} A \longleftrightarrow$   
 $P.\text{Src} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Src} A$   
**by** (metis  $\mathcal{T} P.\text{Con-implies-Arr}(2) P.\text{Ide-implies-Arr} \text{ con-arr-self} \text{ con-char}_{CC}$   
 $\text{insertCI } P.\text{Srcs-simp}_{PWE} \text{ singletonD})$   
**ultimately have**  $2: src \mathcal{T} = \{A. P.\text{Ide} A \wedge P.\text{Src} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Src} A\}$   
**by** blast  
**also have** ... =  $incl (P.\text{Src} (P.\text{Cong-class-rep } \mathcal{T}))$   
**proof** –  
**have**  $incl (P.\text{Src} (P.\text{Cong-class-rep } \mathcal{T})) = Q.\text{quot} [R.\text{src} (\text{hd} (P.\text{Cong-class-rep } \mathcal{T}))]$   
**by** auto  
**also have** ... =  $\{A. P.\text{Ide} A \wedge P.\text{Src} (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Src} A\}$   
**apply** auto[1]  
**apply** (metis  $Q.\text{null-char} R.\text{ide-iff-src-self} R.\text{src-src} \text{ empty-iff ide-char}_{CC}$   
 $\text{incl.extensionality incl.preserves-ide incl-simp resid-def})$   
**apply** (metis 1 CollectD  $P.\text{Cong-class-def} P.\text{Ide.simps}(2)$   
 $P.\text{paths-in-weakly-extensional-rts-axioms} Q.\text{null-char} R.\text{rts-axioms} R.\text{src-trg}$   
 $R.\text{trg-src Srcs-respects-Cong calculation composite-completion.ide-char}_{CC}$   
 $\text{composite-completion-axioms empty-iff incl.extensionality incl.preserves-ide}$   
 $\text{list.sel(1) paths-in-weakly-extensional-rts.Src.elims resid-def rts.ide-src})$   
**by** (metis (mono-tags, lifting) 2  $P.\text{Cong-class-def} P.\text{Ide.simps}(2)$   
 $P.\text{Ide-imp-Ide-hd} P.\text{Src.elims} P.\text{is-Cong-classE} Q.\text{arr-char} Q.\text{src-src} R.\text{src-ide}$   
 $\text{list.sel(1) mem-Collect-eq resid-def src-char'})$   
**finally show** ?thesis by blast  
**qed**  
**finally show** ?thesis  
**using**  $\mathcal{T}$  by auto  
**qed**  
**lemma**  $trg\text{-char}_{CCWE}$ :  
**shows**  $trg \mathcal{T} = (\text{if arr } \mathcal{T} \text{ then } incl (P.\text{Trg} (P.\text{Cong-class-rep } \mathcal{T})) \text{ else null})$   
**proof** (cases arr  $\mathcal{T}$ )

```

show  $\neg \text{arr } \mathcal{T} \implies ?\text{thesis}$ 
  using  $\text{trg-def}$  by auto
assume  $\mathcal{T}: \text{arr } \mathcal{T}$ 
have  $\text{trg } \mathcal{T} = \{A. P.\text{Ide } A \wedge P.\text{Trg } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Src } A\}$ 
proof -
  have  $\text{trg } \mathcal{T} = \{B. P.\text{Ide } B \wedge P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B\}$ 
    using  $\mathcal{T} \text{ trg-char}' [\text{of } \mathcal{T}]$  by simp
    moreover have  $\bigwedge B. P.\text{Ide } B \implies$ 
       $P.\text{Trgs } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Srcs } B \longleftrightarrow$ 
       $P.\text{Trg } (P.\text{Cong-class-rep } \mathcal{T}) = P.\text{Src } B$ 
      by (metis  $P.\text{Con-implies-Arr}(1) P.\text{Ide-implies-Arr } \mathcal{T} \text{ con-arr-self con-char}_{CC}$ 
             $P.\text{Srcs-simp}_{PWE} P.\text{Trgs-simp}_{PWE} \text{ singleton-inject}$ )
    ultimately show  $??\text{thesis}$  by blast
  qed
  also have ... = incl ( $P.\text{Trg } (P.\text{Cong-class-rep } \mathcal{T})$ )
    using incl.preserves-trg
    by (metis (mono-tags, lifting)  $P.\text{rep-in-Cong-class } \mathcal{T} \text{ arr-trg-iff-arr}$ 
           $\text{calculation mem-Collect-eq } Q.\text{arr-char resid-def src-char}_{CCWE} \text{ src-trg}$ )
  finally show  $??\text{thesis}$ 
    using  $\mathcal{T}$  by auto
  qed

```

When applied to a weakly extensional RTS, the composite completion construction does not identify any states that are distinct in the original RTS.

```

lemma incl-injective-on-ide:
shows inj-on incl (Collect R.ide)
  by (metis  $R.\text{con-ide-are-eq ideE incl.preserves-ide incl-reflects-con inj-onCI}$ 
         $\text{mem-Collect-eq}$ )

```

When applied to a weakly extensional RTS, the composite completion construction is a bijection between the states of the original RTS and the states of its completion.

```

lemma incl-bijective-on-ide:
shows incl  $\in \text{Collect R.ide} \rightarrow \text{Collect ide}$ 
  and  $(\lambda A. P.\text{Src } (P.\text{Cong-class-rep } A)) \in \text{Collect ide} \rightarrow \text{Collect R.ide}$ 
  and  $\bigwedge a. R.\text{ide } a \implies (\lambda A. P.\text{Src } (P.\text{Cong-class-rep } A)) (\text{incl } a) = a$ 
  and  $\bigwedge A. \text{ide } A \implies \text{incl } ((\lambda A. P.\text{Src } (P.\text{Cong-class-rep } A)) A) = A$ 
  and bij-betw incl (Collect R.ide) (Collect ide)
  and bij-betw  $(\lambda A. P.\text{Src } (P.\text{Cong-class-rep } A))$  (Collect ide) (Collect R.ide)
proof -
  show 1: incl  $\in \text{Collect R.ide} \rightarrow \text{Collect ide}$ 
    using img-incl-ide by auto
  show 2:  $(\lambda A. P.\text{Src } (P.\text{Cong-class-rep } A)) \in \text{Collect ide} \rightarrow \text{Collect R.ide}$ 
    by (metis (no-types, lifting)  $P.\text{Src.elims Pi-I}' R.\text{ide-iff-trg-self}$ 
           $R.\text{trg-src ide-implies-arr incl.preserves-reflects-arr mem-Collect-eq}$ 
           $\text{src-char}_{CCWE} \text{ src-ide}$ )
  show 3:  $\bigwedge a. R.\text{ide } a \implies (\lambda A. P.\text{Src } (P.\text{Cong-class-rep } A)) (\text{incl } a) = a$ 
    by (metis  $R.\text{ide-backward-stable R.weak-extensionality cong-iff-eq-incl}$ 
           $\text{incl.preserves-ide incl.preserves-reflects-arr ide-implies-arr}$ 
           $\text{src-char}_{CCWE} \text{ src-ide}$ )

```

```

show 4:  $\forall \mathcal{A}. \text{ide } \mathcal{A} \implies \text{incl } ((\lambda \mathcal{A}. P.\text{Src } (P.\text{Cong-class-rep } \mathcal{A})) \mathcal{A}) = \mathcal{A}$ 
  using src-charCCWE src-ide by auto
show bij-betw incl (Collect R.ide) (Collect ide)
  using incl-injective-on-ide img-incl-ide bij-betw-def by blast
show bij-betw  $(\lambda \mathcal{A}. P.\text{Src } (P.\text{Cong-class-rep } \mathcal{A}))$  (Collect ide) (Collect R.ide)
  using 1 2 3 4 bij-betwI by force
qed

end

```

### 2.5.3 Composite Completion of an Extensional RTS

```

locale composite-completion-of-extensional-rts =
  R: extensional-rts R +
  composite-completion
begin

  sublocale composite-completion-of-weakly-extensional-rts ..
  sublocale incl: simulation-between-extensional-rts R resid incl ..

end

```

### 2.5.4 Freeness of Composite Completion

In this section we show that the composite completion construction is free: any simulation from RTS  $A$  to an extensional RTS with composites  $B$  extends uniquely to a simulation on the composite completion of  $A$ .

```

type-synonym 'a comp = 'a  $\Rightarrow$  'a  $\Rightarrow$  'a

locale rts-with-chosen-composites =
  rts +
  fixes comp :: 'a comp (infixr · 55)
  assumes comp-extensionality-ax:  $\bigwedge t u :: 'a. \neg seq t u \implies t \cdot u = null$ 
  and composite-of-comp-ax:  $\bigwedge t u v :: 'a. seq t u \implies \text{composite-of } t u (t \cdot u)$ 
  and comp-assoc-ax:  $\bigwedge t u v :: 'a. [seq t u; seq u v] \implies (t \cdot u) \cdot v = t \cdot (u \cdot v)$ 
  and resid-comp-right-ax:  $t \cdot u \frown w \implies w \setminus (t \cdot u) = (w \setminus t) \setminus u$ 
  and resid-comp-left-ax:  $(t \cdot u) \setminus w = (t \setminus w) \cdot (u \setminus (w \setminus t))$ 
begin

  lemma comp-assocCC:
    shows  $t \cdot u \cdot v = (t \cdot u) \cdot v$ 
    using comp-extensionality-ax comp-assoc-ax
    by (metis (mono-tags, lifting) composite-of-comp-ax not-arr-null seq-def
        sources-composite-of targets-composite-of)

  lemma comp-nullCC:
    shows  $t \cdot null = null$  and  $null \cdot t = null$ 
    using comp-extensionality-ax not-arr-null apply blast
    by (simp add: comp-extensionality-ax seq-def)

```

```

lemma composable-iff-arr-compCC:
shows composable t u  $\longleftrightarrow$  arr (t · u)
by (metis arr-composite-of comp-extensionality-ax composable-def
composable-imp-seq composite-of-comp-ax not-arr-null)

lemma composable-iff-comp-not-nullCC:
shows composable t u  $\longleftrightarrow$  t · u  $\neq$  null
by (metis comp-extensionality-ax composable-def composable-iff-arr-compCC
composite-of-comp-ax not-arr-null)

lemma con-comp-iffCC:
shows w ∘ t · u  $\longleftrightarrow$  composable t u  $\wedge$  w \ t ∘ u
by (metis composable-iff-comp-not-nullCC composable-imp-seq composite-of-comp-ax
con-composite-of-iff not-con-null(2))

lemma con-compICC [intro]:
assumes composable t u and w \ t ∘ u
shows w ∘ t · u and t · u ∘ w
using assms con-comp-iffCC con-sym by blast+

sublocale rts-with-composites resid
using composite-of-comp-ax composable-def
by unfold-locales auto

end

context paths-in-weakly-extensional-rts
begin

abbreviation Comp
where Comp T U ≡ if seq T U then T @ U else null

sublocale rts-with-chosen-composites Resid Comp
proof
show  $\bigwedge T U. \neg \text{seq } T U \implies \text{Comp } T U = \text{null}$ 
by argo
show  $\bigwedge t u v. \text{seq } t u \implies \text{composite-of } t u (\text{Comp } t u)$ 
by (simp add: append-is-composite-of)
show  $\bigwedge t u v. [\![\text{seq } t u; \text{seq } u v]\!] \implies \text{Comp} (\text{Comp } t u) v = \text{Comp } t (\text{Comp } u v)$ 
by (simp add: seq-def)
show  $\bigwedge t u w. \text{con} (\text{Comp } t u) w \implies w * \backslash^* \text{Comp } t u = (w * \backslash^* t) * \backslash^* u$ 
by (metis (full-types) Arr.simps(1) Con-append(2) con-implies-arr(1)
not-arr-null Resid.simps(1) Resid-append(2) paths-in-rts.seq-char
paths-in-rts-axioms)
show  $\bigwedge t u w. \text{Comp } t u * \backslash^* w = \text{Comp} (t * \backslash^* w) (u * \backslash^* (w * \backslash^* t))$ 
proof -
fix t u w
have  $[\![\text{Arr } t; \text{Arr } u; \{\text{Trg } t\} = \text{Srcs } u; (t @ u) * \backslash^* w \neq []]\!]$ 

```

```

 $\implies \text{Trg } (t * \setminus^* w) \in \text{Srcs } (u * \setminus^* (w * \setminus^* t))$ 
by (metis Arr.simps(1) Con-imp-Arr-Resid Con-implies-Arr(2) Resid-append-ind
      Srcs-Resid Trgs-Resid-sym Trgs-simpPWE insertI1)
thus Comp t u * \setminus^* w = Comp (t * \setminus^* w) (u * \setminus^* (w * \setminus^* t))
using seq-char con-char null-char Con-implies-Arr
apply auto[1]
by (metis Arr-has-Src Con-append(1) Resid-append(1) Src-resid Srcs.simps(1)
      Srcs-simpPWE Trg-resid-sym con-imp-arr-resid singleton-iff Con-imp-eq-Srcs)+

qed
qed

lemma extends-to-rts-with-chosen-composites:
shows rts-with-chosen-composites Resid Comp
..
end

context extensional-rts-with-composites
begin

lemma extends-to-rts-with-chosen-composites:
shows rts-with-chosen-composites resid comp
using composable-iff-comp-not-null comp-is-composite-of(1) comp-assocEC
      resid-comp(1-2) comp-null(2) conI con-comp-iffEC(2) mediating-transition
apply unfold-locales
apply auto[4]
by (metis comp-null(2) composable-imp-seq resid-comp(2))

sublocale rts-with-chosen-composites resid comp
using extends-to-rts-with-chosen-composites by blast

end

locale extension-to-paths =
A: rts A +
B: rts-with-chosen-composites B compB +
F: simulation A B F +
paths-in-rts A
for A :: 'a resid      (infix `\\_A` 70)
and B :: 'b resid      (infix `\\_B` 70)
and compB :: 'b comp  (infixr `.._B` 55)
and F :: 'a ⇒ 'b
begin

notation Resid   (infix `*\\_A*` 70)
notation Resid1x (infix `^1\\_A*` 70)
notation Residx1 (infix `*\\_A^1` 70)
notation Con     (infix `*\\_A*` 70)

```

```

notation  $B.con$  (infix  $\hookrightarrow_B$  50)

fun map
where  $map [] = B.null$ 
|  $map [t] = F t$ 
|  $map (t \# T) = (\text{if arr } (t \# T) \text{ then } F t \cdot_B map T \text{ else } B.null)$ 

lemma map-o-incl-eq:
shows  $map (\text{incl } t) = F t$ 
by (simp add: null-char F.extensionality)

lemma extensionality:
shows  $\neg \text{arr } T \implies map T = B.null$ 
using F.extensionality arr-char
by (metis Arr.simps(2) map.elims)

lemma preserves-comp:
shows  $\llbracket T \neq []; U \neq []; \text{Arr } (T @ U) \rrbracket \implies map (T @ U) = map T \cdot_B map U$ 
proof (induct T arbitrary: U)
show  $\bigwedge U. [] \neq [] \implies map ([] @ U) = map [] \cdot_B map U$ 
by simp
fix t and T U :: 'a list
assume ind:  $\bigwedge U. \llbracket T \neq []; U \neq []; \text{Arr } (T @ U) \rrbracket$ 
 $\implies map (T @ U) = map T \cdot_B map U$ 
assume U:  $U \neq []$ 
assume Arr:  $\text{Arr } ((t \# T) @ U)$ 
hence 1:  $\text{Arr } (t \# (T @ U))$ 
by simp
have 2:  $\text{Arr } (t \# T)$ 
by (metis Con-Arr-self Con-append(1) Con-implies-Arr(1) Arr U append-is-Nil-conv
list.distinct(1))
show  $map ((t \# T) @ U) = comp_B (map (t \# T)) (map U)$ 
proof (cases T = [])
show  $T = [] \implies ?thesis$ 
by (metis (full-types) 1 arr-char U append-Cons append-Nil list.exhaust
map.simps(2) map.simps(3))
assume T:  $T \neq []$ 
have  $map ((t \# T) @ U) = map (t \# (T @ U))$ 
by simp
also have ... =  $F t \cdot_B map (T @ U)$ 
using T 1
by (metis arr-char Nil-is-append-conv list.exhaust map.simps(3))
also have ... =  $F t \cdot_B (map T \cdot_B map U)$ 
using ind
by (metis 1 Con-Arr-self Con-implies-Arr(1) Con-rec(4) T U append-is-Nil-conv)
also have ... =  $(F t \cdot_B map T) \cdot_B map U$ 
using B.comp-assocCC by blast
also have ... =  $map (t \# T) \cdot_B map U$ 
using T 2

```

```

    by (metis arr-char list.exhaust map.simps(3))
  finally show map ((t # T) @ U) = map (t # T) ·B map U by simp
qed
qed

lemma preserves-arr-ind:
shows [[arr T; a ∈ Srcs T]] ⇒ B.arr (map T) ∧ F a ∈ B.sources (map T)
proof (induct T arbitrary: a)
  show ∀a. [[arr []; a ∈ Srcs []]] ⇒ B.arr (map []) ∧ F a ∈ B.sources (map [])
    using arr-char by simp
  fix a t T
  assume a: a ∈ Srcs (t # T)
  assume tT: arr (t # T)
  assume ind: ∀a. [[arr T; a ∈ Srcs T]] ⇒ B.arr (map T) ∧ F a ∈ B.sources (map T)
  have 1: a ∈ A.sources t
    using a tT Con-imp-eq-Srcs Con-initial-right Srcs.simps(2) con-char
    by blast
  show B.arr (map (t # T)) ∧ F a ∈ B.sources (map (t # T))
  proof (cases T = [])
    show T = [] ⇒ ?thesis
      using 1arr-char tT by auto
    assume T: T ≠ []
    obtain a' where a': a' ∈ A.targets t
      using tT 1 A.resid-source-in-targets by auto
    have 2: a' ∈ Srcs T
      using a' tT
      by (metis Con-Arr-self A.sources-resid Srcs.simps(2) arr-char T
          Con-imp-eq-Srcs Con-rec(4))
    have B.arr (map (t # T)) ← B.arr (F t ·B map T)
      using tT T by (metis map.simps(3) neq-Nil-conv)
    also have ... ← True
    proof –
      have B.arr (F t ·B map T)
      proof –
        have B.seq (F t) (map T)
        proof
          show B.arr (map T)
            by (metis 2 A.rts-axioms Con-implies-Arr(2) Resid-cons(2)
                T arrE arr-resid ind not-arr-null null-char
                paths-in-rts.arr-char paths-in-rts.intro tT)
          show B.trg (F t) ~B B.src (map T)
          proof (intro B.coinitial-ide-are-cong)
            show B.ide (B.trg (F t))
              by (meson 1 A.in-sourcesE A.residuation-axioms B.ide-trg
                  F.preserves-reflects-arr residuation.con-implies-arr(1))
            show B.ide (B.src (map T))
              by (simp add: ‹B.arr (map T)›)
            show B.coinitial (B.trg (F t)) (B.src (map T))
              using a' ind extensionality
          qed
        qed
      qed
    qed
  qed
qed

```

```

by (metis 1 2 A.con-implies-arr(1) A.in-sourcesE A.in-targetsE
    B.con-sym B.cong-implies-coinitial B.in-sourcesE B.not-arr-null
    B.sources-con-closed B.src-congI F.preserves-con F.preserves-trg
    <B.arr (map T)> <B.ide (B.trg (F t))>)
qed
qed
thus ?thesis
  using B.composable-iff-arr-compCC by blast
qed
thus ?thesis by blast
qed
finally have B.arr (map (t # T)) by blast
moreover have F a ∈ B.sources (map (t # T))
proof -
  have F a ∈ B.sources (F t)
  using 1 tT F.preserves-sources by blast
  moreover have B.sources (F t) = B.sources (map (t # T))
  proof -
    have B.sources (F t) = B.sources (F t ·B map T)
    by (metis B.comp-extensionality-ax B.composite-of-comp-ax
        B.not-arr-null B.sources-composite-of <B.arr (F t ·B map T) = True>)
    also have ... = B.sources (map (t # T))
    by (metis T list.exhaust map.simps(3) tT)
    finally show ?thesis by blast
  qed
  ultimately show ?thesis by blast
qed
ultimately show ?thesis by blast
qed
ultimately show ?thesis by blast
qed
qed

lemma preserves-arr:
shows arr T ==> B.arr (map T)
  using preserves-arr-ind arr-char Arr-has-Src by blast

lemma preserves-sources:
assumes arr T and a ∈ Srcs T
shows F a ∈ B.sources (map T)
  using assms preserves-arr-ind by simp

lemma preserves-targets:
shows [|arr T; b ∈ Trgs T|] ==> F b ∈ B.targets (map T)
proof (induct T)
  show [|arr []; b ∈ Trgs []|] ==> F b ∈ B.targets (map [])
  by simp
  fix t T
  assume tT: arr (t # T)
  assume b: b ∈ Trgs (t # T)
  assume ind: [|arr T; b ∈ Trgs T|] ==> F b ∈ B.targets (map T)

```

```

show F b ∈ B.targets (map (t # T))
proof (cases T = [])
  show T = [] ==> ?thesis
    using tT b arr-char by auto
  assume T: T ≠ []
  show ?thesis
  proof -
    have F b ∈ B.targets (map T)
      by (metis Resid-cons(2) T Trgs.simps(3) arrE b con-char
           con-implies-arr(2) ind neq-Nil-conv tT)
    moreover have B.targets (map T) = B.targets (map (t # T))
    proof -
      have B.targets (map T) = B.targets (F t ·B map T)
        by (metis B.comp-extensionality-ax B.composite-of-comp-ax
             B.not-arr-null B.targets-composite-of T append-Cons append-Nil
             arr-char preserves-arr list.distinct(1) map.simps(2)
             preserves-comp tT)
      also have ... = B.targets (map (t # T))
        by (metis T list.exhaust map.simps(3) tT)
      finally show ?thesis by blast
    qed
    ultimately show ?thesis by blast
  qed
qed
qed
qed

lemma preserves-Resid1x-ind:
shows t 1\A* U ≠ A.null ==> F t ∘B map U ∧ F (t 1\A* U) = F t \B map U
proof (induct U arbitrary: t)
  show ∀t. t 1\A* [] ≠ A.null ==> F t ∘B map [] ∧ F (t 1\A* []) = F t \B map []
    by simp
  fix t u U
  assume uU: t 1\A* (u # U) ≠ A.null
  assume ind: ∀t. t 1\A* U ≠ A.null
    ==> F t ∘B map U ∧ F (t 1\A* U) = F t \B map U
  show F t ∘B map (u # U) ∧ F (t 1\A* (u # U)) = F t \B map (u # U)
  proof
    show 1: F t ∘B map (u # U)
    proof (cases U = [])
      show U = [] ==> ?thesis
        using Resid1x.simps(2) map.simps(2) F.preserves-con uU by fastforce
      assume U: U ≠ []
      have 3: [t] *A* [u] ≠ [] ∧ ([t] *A* [u]) *A* U ≠ []
        using Con-cons(2) [of [t] U u]
        by (meson Resid1x-as-Resid' U not-Cons-self2 uU)
      hence 2: F t ∘B F u ∧ F t \B F u ∘B map U
        by (metis Con-rec(1) Con-sym Con-sym1 Residx1-as-Resid Resid-rec(1)
             F.preserves-con F.preserves-resid ind)
      moreover have B.seq (F u) (map U)
        ...
    qed
  qed
qed

```

```

by (metis B.coinitialE B.con-imp-coinitial calculation B.seqI(1)
      B.sources-resid)
ultimately have F t ∼_B map ([u] @ U)
  by (metis 3 B.composite-of-comp-ax B.con-composite-of-iff Con-consI(2)
      Con-implies-Arr(2) append-Cons list.simps(3) map.simps(2) preserves-comp
      self-append-conv2)
thus ?thesis by simp
qed
show F (t ^\A (u # U)) = F t \_B map (u # U)
proof (cases U = [])
  show U = [] ==> ?thesis
    using Resid1x.simps(2) F.preserves-resid map.simps(2) uU by fastforce
  assume U: U ≠ []
  have F (t ^\A (u # U)) = F ((t \_A u) ^\A U)
    using Resid1x-as-Resid' Resid-rec(3) U uU by metis
  also have ... = F (t \_A u) \_B map U
    using uU U ind Con-rec(3) Resid1x-as-Resid [of t \_A u U]
    by (metis Resid1x.simps(3) list.exhaust)
  also have ... = (F t \_B F u) \_B map U
    using uU U
    by (metis Resid1x-as-Resid' F.preserves-resid Con-rec(3))
  also have ... = F t \_B (F u ·_B map U)
  proof -
    have F u ·_B map U ∼_B F t
    proof
      show B.composable (F u) (map U)
        by (metis 1 B.composable-iff-comp-not-nullCC B.not-con-null(2)
            U append.left-neutral append-Cons arr-char extensionality
            map.simps(2) not-Cons-self preserves-comp)
      show F t \_B F u ∼_B map U
        by (metis B.arr-resid-iff-con Resid1x.simps(3) U calculation
            ind list.exhaust uU)
    qed
    thus ?thesis
      using B.resid-comp-right-ax [of F u map U F t] by argo
  qed
  also have ... = F t \_B map (u # U)
    by (metis Resid1x-as-Resid' con-char U map.simps(3) neq-Nil-conv
        con-implies-arr(2) uU)
  finally show ?thesis by simp
qed
qed
qed

```

**lemma** preserves-Residx1-ind:

shows  $U^*\setminus_A^1 t \neq [] \implies \text{map } U \sim_B F t \wedge \text{map } (U^*\setminus_A^1 t) = \text{map } U \setminus_B F t$

proof (induct U arbitrary: t)

show  $\bigwedge t. []^*\setminus_A^1 t \neq [] \implies \text{map } [] \sim_B F t \wedge \text{map } ([]^*\setminus_A^1 t) = \text{map } [] \setminus_B F t$

by simp

```

fix t u U
assume ind:  $\bigwedge t. U * \setminus_A^1 t \neq [] \implies \text{map } U \curvearrowright_B F t \wedge \text{map } (U * \setminus_A^1 t) = \text{map } U \setminus_B F t$ 
assume uU:  $(u \# U) * \setminus_A^1 t \neq []$ 
show  $\text{map } (u \# U) \curvearrowright_B F t \wedge \text{map } ((u \# U) * \setminus_A^1 t) = \text{map } (u \# U) \setminus_B F t$ 
proof (cases  $U = []$ )
  show  $U = [] \implies ?\text{thesis}$ 
    using Residx1.simps(2) F.preserves-con F.preserves-resid map.simps(2) uU
    by presburger
  assume U:  $U \neq []$ 
  show ?thesis
  proof
    show  $\text{map } (u \# U) \curvearrowright_B F t$ 
      using uU U Con-sym1 B.con-sym preserves-Resid1x-ind by blast
    show  $\text{map } ((u \# U) * \setminus_A^1 t) = \text{map } (u \# U) \setminus_B F t$ 
    proof -
      have  $\text{map } ((u \# U) * \setminus_A^1 t) = \text{map } ((u \setminus_A t) \# U * \setminus_A^1 (t \setminus_A u))$ 
        using uU U Residx1-as-Resid Resid-rec(2) by fastforce
      also have ... =  $F(u \setminus_A t) \cdot_B \text{map } (U * \setminus_A^1 (t \setminus_A u))$ 
        by (metis Residx1-as-Resid arr-char U Con-imp-Arr-Resid
            Con-rec(2) Resid-rec(2) list.exhaust map.simps(3) uU)
      also have ... =  $F(u \setminus_A t) \cdot_B \text{map } U \setminus_B F(t \setminus_A u)$ 
        using uU U ind Con-rec(2) Residx1-as-Resid by force
      also have ... =  $(F u \setminus_B F t) \cdot_B \text{map } U \setminus_B (F t \setminus_B F u)$ 
        using uU U
        by (metis Con-initial-right Con-rec(1) Con-sym1 Resid1x-as-Resid'
            Residx1-as-Resid F.preserves-resid)
      also have ... =  $(F u \cdot_B \text{map } U) \setminus_B F t$ 
        using B.resid-comp-left-ax by auto
      also have ... =  $\text{map } (u \# U) \setminus_B F t$ 
        by (metis Con-implies-Arr(2) Con-sym Residx1-as-Resid U
            arr-char map.simps(3) neq-Nil-conv uU)
      finally show ?thesis by simp
    qed
  qed
qed
qed
qed

```

```

lemma preserves-resid-ind:
shows con T U  $\implies \text{map } T \curvearrowright_B \text{map } U \wedge \text{map } (T * \setminus_A^* U) = \text{map } T \setminus_B \text{map } U$ 
proof (induct T arbitrary: U)
  show  $\bigwedge U. \text{con } [] \ U \implies \text{map } [] \curvearrowright_B \text{map } U \wedge \text{map } ([] * \setminus_A^* U) = \text{map } [] \setminus_B \text{map } U$ 
    using con-char Resid.simps(1) by blast
  fix t T U
  assume tT: con (t # T) U
  assume ind:  $\bigwedge U. \text{con } T \ U \implies$ 
     $\text{map } T \curvearrowright_B \text{map } U \wedge \text{map } (T * \setminus_A^* U) = \text{map } T \setminus_B \text{map } U$ 
  show  $\text{map } (t \# T) \curvearrowright_B \text{map } U \wedge \text{map } ((t \# T) * \setminus_A^* U) = \text{map } (t \# T) \setminus_B \text{map } U$ 
  proof (cases T = [])
    assume T:  $T = []$ 

```

```

show ?thesis
  using T tT
  apply simp
  by (metis Resid1x-as-Resid Residx1-as-Resid con-char
    Con-sym Con-sym1 map.simps(2) preserves-Resid1x-ind)
next
assume T: T ≠ []
have 1: map (t # T) = F t ·B map T
  using tT T
  by (metis con-implies-arr(1) list.exhaust map.simps(3))
show ?thesis
proof
  show 2: B.con (map (t # T)) (map U)
    using T tT
    by (metis 1 B.composable-iff-comp-not-nullCC B.con-compCC(2) B.con-sym
      B.not-arr-null Con-cons(1) Residx1-as-Resid con-char con-implies-arr(1-2)
      preserves-arr ind not-arr-null null-char preserves-Residx1-ind)
  show map ((t # T) *A U) = map (t # T) \B map U
  proof –
    have map ((t # T) *A U) = map ([[t]] *A U) @ (T *A (U *A [t]))
    by (metis Resid.simps(1) Resid-cons(1) con-char ex-un-null tT)
    also have ... = map ([t] *A U) ·B map (T *A (U *A [t]))
    by (metis Arr.simps(1) Con-imp-Arr-Resid Con-implies-Arr(2) Con-sym
      Resid-cons(1-2) con-char T preserves-comp tT)
    also have ... = (map [t] \B map U) ·B map (T *A (U *A [t]))
    by (metis Con-initial-right Con-sym Resid1x-as-Resid
      Residx1-as-Resid con-char Con-sym1 map.simps(2)
      preserves-Resid1x-ind tT)
    also have ... = (map [t] \B map U) ·B (map T \B map (U *A [t]))
    using tT T ind
    by (metis Con-cons(1) Con-sym Resid.simps(1) con-char)
    also have ... = (map [t] \B map U) ·B (map T \B (map U \B map [t]))
    using tT T
    by (metis Con-cons(1) Con-sym Resid.simps(2) Residx1-as-Resid
      con-char map.simps(2) preserves-Residx1-ind)
    also have ... = (F t \B map U) ·B (map T \B (map U \B F t))
    using tT T by simp
    also have ... = map (t # T) \B map U
    using 1 B.resid-comp-left-ax by auto
    finally show ?thesis by simp
  qed
  qed
  qed
  qed

```

```

lemma preserves-con:
assumes con T U
shows map T ∼B map U
  using assms preserves-resid-ind by simp

```

```

lemma preserves-resid:
assumes con T U
shows map (T * \_A* U) = map T \_B map U
using assms preserves-resid-ind by simp

sublocale simulation Resid B map
using con-char preserves-con preserves-resid extensionality
by unfold-locales auto

lemma is-extension:
shows map o incl = F
using map-o-incl-eq by auto

lemma is-universal:
shows simulation Resid B map and map o incl = F
and  $\bigwedge F'. [\text{simulation Resid } B F'; F' o \text{incl} = F]$ 
 $\implies \forall T. \text{arr } T \longrightarrow B.\text{cong } (F' T) (\text{map } T)$ 
proof -
show simulation Resid B map and map o incl = F
using map-o-incl-eq simulation-axioms by auto
show  $\bigwedge F'. [\text{simulation Resid } B F'; F' o \text{incl} = F] \implies \forall T. \text{arr } T \longrightarrow F' T \sim_B \text{map } T$ 
proof (intro allI impI)
fix F' T
assume F': simulation Resid B F'
assume 1: F' o incl = F
interpret F': simulation Resid B F'
using F' by simp
show arr T  $\implies B.\text{cong } (F' T) (\text{map } T)$ 
proof (induct T)
show arr []  $\implies F' [] \sim_B \text{map } []$ 
by (simp add: arr-char F'.extensionality)
fix t T
assume ind: arr T  $\implies F' T \sim_B \text{map } T$ 
assume arr: arr (t # T)
show F' (t # T)  $\sim_B \text{map } (t \# T)$ 
proof (cases Arr (t # T))
show  $\neg \text{Arr } (t \# T) \implies ?\text{thesis}$ 
using arr arr-char by blast
assume tT: Arr (t # T)
show ?thesis
proof (cases T = [])
show 2: T = []  $\implies ?\text{thesis}$ 
using F' 1 tT B.prfx-reflexive arr map.simps(2) by force
assume T: T  $\neq []$ 
have F' (t # T)  $\sim_B F' [t] \cdot_B \text{map } T$ 
proof -
have F' (t # T) = F' ([t] @ T)
by simp

```

**also have** ...  $\sim_B F' [t] \cdot_B F' T$   
**proof** –  
**have** composite-of  $[t] T ([t] @ T)$   
**using**  $T tT$   
**by** (metis (full-types) Arr.simps(2) Con-Arr-self  
append-is-composite-of Con-implies-Arr(1) Con-imp-eq-Srcs  
Con-rec(4) Resid-rec(1) Srcs-Resid seq-char A.arrI)  
**thus** ?thesis  
**using**  $F'.preserves-composites B.composite-of-comp-ax$   
**by** (meson B.comp-extensionality-ax B.composable-def  
B.composite-of-unq-up-to-cong B.composable-iff-comp-not-null<sub>CC</sub>)  
**qed**  
**also have**  $F' [t] \cdot_B F' T \sim_B F' [t] \cdot_B map T$   
**proof**  
**show** 0:  $F' [t] \cdot_B F' T \lesssim_B F' [t] \cdot_B map T$   
**proof** –  
**have**  $F' [t] \cdot_B F' T \sim_B F' [t] \cdot_B map T$   
**proof**  
**show** 1:  $B.composable (F' [t]) (F' T)$   
**using** B.composable-iff-comp-not-null<sub>CC</sub> calculation by force  
**show**  $(F' [t] \cdot_B map T) \setminus_B F' [t] \sim_B F' T$   
**by** (meson 1 B.composableD(1–2) B.composableE B.composable-def  
B.con-compI<sub>CC</sub>(1) B.cong-respects-seq B.cong-subst-left(1)  
B.has-composites B.prfx-implies-con B.prfx-reflexive  
B.resid-composite-of(2) B.rts-axioms F'.preserves-reflects-arr  
ind rts.composite-ofE)  
**qed**  
**thus** ?thesis  
**by** (metis B.con-implies-arr(2) B.con-sym B.not-arr-null  
B.prfx-implies-con B.prfx-transitive B.resid-comp-right-ax  
F'.extensionality calculation ind)  
**qed**  
**show**  $F' [t] \cdot_B map T \lesssim_B F' [t] \cdot_B F' T$   
**proof** –  
**have** 1:  $F' [t] \cdot_B map T \sim_B F' [t] \cdot_B F' T$   
**proof**  
**show**  $B.composable (F' [t]) (map T)$   
**using** 0 B.composable-iff-comp-not-null<sub>CC</sub>  
**by** force  
**show**  $(F' [t] \cdot_B F' T) \setminus_B F' [t] \sim_B map T$   
**by** (meson B.con-comp-iff<sub>CC</sub> B.prfx-implies-con  
‘ $F' [t] \cdot_B F' T \lesssim_B F' [t] \cdot_B map T$ ’)  
**qed**  
**hence**  $(F' [t] \cdot_B map T) \setminus_B (F' [t] \cdot_B F' T) =$   
 $((F' [t] \cdot_B map T) \setminus_B F' [t]) \setminus_B F' T$   
**using** B.resid-comp-right-ax B.con-sym by blast  
**thus** ?thesis  
**by** (metis 1 B.con-arr-self B.con-implies-arr(1) B.cong-reflexive  
B.not-ide-null B.null-is-zero(2) B.prfx-transitive

```

    B.resid-comp-right-ax extensionality ind)
qed
qed
finally show ?thesis by blast
qed
also have  $F' [t] \cdot_B map T = (F' \circ incl) t \cdot_B map T$ 
  using  $tT$ 
  by (simp add: arr-char null-char  $F'.extensionality$ )
also have ... =  $F t \cdot_B map T$ 
  using  $F' 1$  by simp
also have ... =  $map (t \# T)$ 
  using  $T tT$ 
  by (metis arr-char list.exhaust map.simps(3))
finally show ?thesis by simp
qed
qed
qed
qed
qed
qed
end

lemma extension-to-paths-comp:
assumes rts-with-chosen-composites B compB
and rts-with-chosen-composites C compC
and simulation A B F and simulation B C G
and  $\bigwedge t u. rts.composable B t u \implies G (comp_B t u) = comp_C (G t) (G u)$ 
shows extension-to-paths.map A C compC (G o F) = G o extension-to-paths.map A B compB
F
proof -
interpret A: rts A
  using assms(3) simulation-def simulation-axioms-def by blast
interpret B: rts-with-chosen-composites B compB
  using assms(1) by blast
interpret C: rts-with-chosen-composites C compC
  using assms(2) by blast
interpret F: simulation A B F
  using assms(3) by blast
interpret G: simulation B C G
  using assms(4) by blast
interpret GoF: composite-simulation A B C F G ..
interpret Ap: paths-in-rts A ..
interpret Fx: extension-to-paths A B compB F ..
interpret G-o-Fx: composite-simulation Ap.Resid B C Fx.map G ..
interpret GoF-x: extension-to-paths A C compC (G o F) ..
show GoF-x.map = G-o-Fx.map
proof
fix T
show GoF-x.map T = G-o-Fx.map T

```

```

proof (cases Ap.arr T)
show  $\neg$  Ap.arr T  $\implies$  ?thesis
  using G-o-Fx.extensionality GoF-x.extensionality by presburger
assume T: Ap.arr T
show ?thesis
proof (induct T rule: ApArr-induct)
show ApArr T
  using T Ap.arr-char by simp
show  $\bigwedge t. \text{ApArr}[t] \implies \text{GoF-x.map}[t] = \text{G-o-Fx.map}[t]$ 
    by auto
show  $\bigwedge t U. [\text{ApArr}(t \# U); U \neq []; \text{GoF-x.map} U = \text{G-o-Fx.map} U]$ 
     $\implies \text{GoF-x.map}(t \# U) = \text{G-o-Fx.map}(t \# U)$ 
proof -
fix t U
assume t: ApArr(t # U) and U: U  $\neq$  []
assume ind: GoF-x.map U = G-o-Fx.map U
show GoF-x.map(t # U) = G-o-Fx.map(t # U)
proof -
have GoF-x.map(t # U) = compC(GoF-x.map[t])(GoF-x.map U)
  by (metis GoF-x.preserves-comp U append-Cons append-Nil
      list.distinct(1) t)
also have ... = compC(G(Fx.map[t]))(G(Fx.map U))
  using ind by simp
also have ... = G(compB(Fx.map[t])(Fx.map U))
  by (metis B.composable-iff-comp-not-nullCC B.not-arr-null
      Fx.extension-to-paths-axioms Fx.preserves-comp U append-Cons
      append-Nil assms(5) extension-to-paths.preserves-arr
      extension-to-paths-def not-Cons-self2 paths-in-rts.arr-char t)
also have ... = G(Fx.map([t] @ U))
  by (metis Fx.preserves-comp U append.left-neutral append-Cons
      not-Cons-self2 t)
also have ... = G-o-Fx.map(t # U)
  by simp
finally show ?thesis by blast
qed
qed
qed
qed
qed
qed

locale extension-to-composite-completion =
A: rts A +
B: extensional-rts-with-composites B +
simulation A B F
for A :: 'a resid    (infix `\\_A` 70)
and B :: 'b resid    (infix `\\_B` 70)
and F :: 'a  $\Rightarrow$  'b
begin

```

```

interpretation N: coherent-normal-sub-rts A <Collect A.ide>
  using A.rts-axioms A.identities-form-coherent-normal-sub-rts by auto
sublocale P: paths-in-rts-with-coherent-normal A <Collect A.ide> ..
sublocale Q: quotient-by-coherent-normal P.Resid <Collect P.NPath> ..
sublocale Ac: composite-completion A ..

notation P.Resid   (infix  $\cdot_A$  70)
notation P.Resid1x (infix  $\cdot_A^1$  70)
notation P.Residx1 (infix  $\cdot_A^{1\cdot}$  70)
notation P.Con     (infix  $\cdot_A \sim$  70)
notation B.comp    (infixr  $\cdot_B$  55)
notation B.con     (infix  $\cdot_B \sim$  50)

interpretation F-ext: extension-to-paths A B B.comp F ..

definition map
where map = Q.ext-to-quotient B F-ext.map

sublocale simulation Ac.resid B map
  unfolding map-def Ac.resid-def
  using Q.ext-to-quotient-props [of B F-ext.map] F-ext.simulation-axioms
  F-ext.preserves-ide B.extensional-rts-axioms P.ide-char Ac.P-ide-iff-NPath
  by blast

lemma is-simulation:
shows simulation Ac.resid B map
..

lemma is-extension:
shows map o Ac.incl = F
proof -
  have map o Ac.incl = map o Q.quot o P.incl
    using Ac.incl-def by auto
  also have ... = F-ext.map o P.incl
    using Q.ext-to-quotient-props [of B F-ext.map]
    by (simp add: B.extensional-rts-axioms F-ext.simulation-axioms
      Ac.P-ide-iff-NPath P.ide-char map-def)
  also have ... = F
    by (simp add: F-ext.is-extension)
  finally show ?thesis by blast
qed

lemma is-universal:
shows  $\exists !F'. \text{simulation } Ac.\text{resid } B F' \wedge F' \circ Ac.\text{incl} = F$ 
proof
  show 0: simulation Ac.resid B map  $\wedge$  map o Ac.incl = F
    using simulation-axioms is-extension by auto
  fix F'

```

```

assume  $F'$ : simulation  $Ac.\text{resid } B F' \wedge F' \circ Ac.\text{incl} = F$ 
interpret  $F'$ : simulation  $Ac.\text{resid } B F'$ 
  using  $F'$  by blast
show  $F' = map$ 
proof -
  have  $F' \circ Q.\text{quot} = F\text{-ext.map}$ 
  proof -
    interpret  $F'\text{-o-quot}$ : simulation  $P.\text{Resid } B \langle F' \circ Q.\text{quot} \rangle$ 
      using  $F' Q.\text{quotient-is-simulation } Ac.\text{resid-def}$  by auto
    interpret  $\text{incl}$ : simulation  $A P.\text{Resid } P.\text{incl}$ 
      using  $P.\text{incl-is-simulation}$  by blast
    interpret  $F'\text{-o-quot-o-incl}$ : composite-simulation  $A P.\text{Resid } B P.\text{incl}$ 
       $\langle F' \circ Q.\text{quot} \rangle$ 
    ..
    have  $(F' \circ Q.\text{quot}) \circ P.\text{incl} = F$ 
    using  $F' Ac.\text{incl-def}$  by auto
    hence  $\forall T. P.\text{arr } T \longrightarrow (F' \circ Q.\text{quot}) T \sim_B F\text{-ext.map } T$ 
      using  $F\text{-ext.is-universal(3)} F'\text{-o-quot.simulation-axioms}$  by blast
    hence  $\forall T. P.\text{arr } T \longrightarrow (F' \circ Q.\text{quot}) T = F\text{-ext.map } T$ 
      using  $B.\text{cong-char}$  by blast
    thus ?thesis
    proof -
      have  $\forall as. (F' \circ Q.\text{quot}) as = F\text{-ext.map } as \vee \neg P.\text{arr } as$ 
      using  $\forall T. P.\text{arr } T \longrightarrow (F' \circ Q.\text{quot}) T = F\text{-ext.map } T$  by blast
      then show ?thesis
        using  $F'\text{-o-quot.extensionality } F\text{-ext.extensionality}$  by fastforce
      qed
    qed
    thus ?thesis
    by (metis (no-types, lifting) 0  $B.\text{extensional-rts-axioms } F'$ 
       $F\text{-ext.preserves-ide } F\text{-ext.simulation-axioms } Ac.P\text{-ide-iff-NPath}$ 
       $Q.\text{ext-to-quotient-props(2)} Q.\text{is-couniversal map-def mem-Collect-eq}$ 
       $Ac.\text{resid-def})$ 
  qed
  qed
end

context composite-completion
begin

  lemma arrows-factor-as-paths:
  assumes arr  $\mathcal{T}$ 
  shows  $\exists T. P.\text{arr } T \wedge \text{extension-to-paths.map } R \text{ resid comp incl } T = \mathcal{T}$ 
  proof -
    interpret  $\text{inclx}$ : extension-to-paths  $R \text{ resid comp incl } ..$ 
    let ? $T = P.\text{Cong-class-rep } \mathcal{T}$ 
    have  $P.\text{arr } ?T$ 
      by (metis  $P.\text{Cong-class-memb-is-arr } P.\text{rep-in-Cong-class}$ 

```

```

Q.quotient-by-coherent-normal-axioms assms
quotient-by-coherent-normal.arr-char resid-def)
moreover have inclx.map ?T =  $\mathcal{T}$ 
proof -
  have  $\bigwedge T. P.\text{arr } T \implies \text{inclx.map } T = \{T\}$ 
  proof -
    fix T
    show  $P.\text{arr } T \implies \text{inclx.map } T = \{T\}$ 
    proof (induct T)
      show  $P.\text{arr } [] \implies \text{inclx.map } [] = Q.\text{quot } []$ 
      using P.not-arr-null P.null-char by auto
      fix a U
      assume ind:  $P.\text{arr } U \implies \text{inclx.map } U = Q.\text{quot } U$ 
      assume aU:  $P.\text{arr } (a \# U)$ 
      show inclx.map (a # U) = Q.quot (a # U)
      using Q.quotient-is-simulation aU cong-char incl-def
        inclx.is-universal(3) resid-def
      by force
    qed
  qed
  thus ?thesis
    using Q.arr-char assms calculation resid-def by force
  qed
  ultimately show ?thesis by blast
qed

end

lemma extension-to-composite-completion-comp:
assumes extensional-rts-with-composites B
and extensional-rts-with-composites C
and simulation A B F and simulation B C G
shows extension-to-composite-completion.map A C (G o F) =
  G o extension-to-composite-completion.map A B F
proof -
  interpret B: extensional-rts-with-composites B
    using assms(1) by blast
  interpret C: extensional-rts-with-composites C
    using assms(2) by blast
  interpret F: simulation A B F
    using assms(3) by blast
  interpret G: simulation B C G
    using assms(4) by blast
  interpret GoF: composite-simulation A B C F G ..
  interpret Ac: composite-completion A ..
  interpret Fc: extension-to-composite-completion A B F ..
  interpret GoFc: extension-to-composite-completion A C GoF.map ..
  show GoFc.map = G o Fc.map
proof -

```

```

have  $G \circ Fc.map \circ Ac.incl = GoFc.map \circ Ac.incl$ 
  using  $GoFc.is-extension Fc.is-extension comp-assoc$  by metis
thus ?thesis
  using  $GoFc.is-extension GoFc.is-universal GoFc.simulation-axioms$ 
         $G.simulation-axioms Fc.simulation-axioms simulation-comp$ 
  by metis
qed
qed

lemma composite-completion-of-rts:
assumes rts A
shows  $\exists(A' :: 'a list set resid) I.$ 
   $extensional-rts-with-composites A' \wedge simulation A A' I \wedge$ 
   $(\forall B (J :: 'a \Rightarrow 'c). extensional-rts-with-composites B \wedge simulation A B J$ 
     $\longrightarrow (\exists!J'. simulation A' B J' \wedge J' o I = J))$ 

proof (intro exI conjI)
  interpret A: rts A
    using assms by auto
  interpret A': composite-completion A ..
  show extensional-rts-with-composites A'.resid
    ..
  show simulation A A'.resid A'.incl
    using A'.incl-is-simulation by simp
  show  $\forall B (J :: 'a \Rightarrow 'c). extensional-rts-with-composites B \wedge simulation A B J$ 
     $\longrightarrow (\exists!J'. simulation A'.resid B J' \wedge J' o A'.incl = J)$ 

  proof (intro allI impI)
    fix B :: 'c resid and J
    assume 1:  $extensional-rts-with-composites B \wedge simulation A B J$ 
    interpret B: extensional-rts-with-composites B
      using 1 by simp
    interpret J: simulation A B J
      using 1 by simp
    interpret J: extension-to-composite-completion A B J
      ..
    show  $\exists!J'. simulation A'.resid B J' \wedge J' o A'.incl = J$ 
      using J.is-universal by auto
  qed
qed

```

## 2.6 Constructions on RTS's

### 2.6.1 Products of RTS's

```

locale product-rts =
  A: rts A +
  B: rts B
for A :: 'a resid    (infix `\ $\setminus_A` 70)
and B :: 'b resid    (infix `\ $\setminus_B` 70)$$ 
```

```

begin

  notation A.con    (infix  $\hookrightarrow_A$  50)
  notation A.prfx   (infix  $\lesssim_A$  50)
  notation A.cong   (infix  $\sim_A$  50)

  notation B.con    (infix  $\hookrightarrow_B$  50)
  notation B.prfx   (infix  $\lesssim_B$  50)
  notation B.cong   (infix  $\sim_B$  50)

  type-synonym ('c, 'd) arr = 'c * 'd

  abbreviation (input) Null :: ('a, 'b) arr
  where Null ≡ (A.null, B.null)

  definition resid :: ('a, 'b) arr ⇒ ('a, 'b) arr ⇒ ('a, 'b) arr
  where resid t u = (if fst t  $\sim_A$  fst u ∧ snd t  $\sim_B$  snd u
                      then (fst t \_A fst u, snd t \_B snd u)
                      else Null)

  notation resid    (infix  $\setminus\setminus$  70)

  sublocale partial-magma resid
    by unfold-locales
    (metis A.con-implies-arr(1–2) A.not-arr-null fst-conv resid-def)

  lemma is-partial-magma:
  shows partial-magma resid
  ..

  lemma null-char [simp]:
  shows null = Null
  by (metis B.null-is-zero(1) ex-un-null null-is-zero(1) resid-def B.conE snd-conv)

  sublocale residuation resid
  proof
    show  $\bigwedge t u. t \setminus u \neq \text{null} \Rightarrow u \setminus t \neq \text{null}$ 
      by (metis A.con-def A.con-sym null-char prod.inject resid-def B.con-sym)
    show  $\bigwedge t u. t \setminus u \neq \text{null} \Rightarrow (t \setminus u) \setminus (t \setminus u) \neq \text{null}$ 
      by (metis (no-types, lifting) A.arrE B.con-def B.con-imp-arr-resid fst-conv null-char
          resid-def A.arr-resid snd-conv)
    show  $\bigwedge v t u. (v \setminus t) \setminus (u \setminus t) \neq \text{null} \Rightarrow (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
    proof –
      fix t u v
      assume 1:  $(v \setminus t) \setminus (u \setminus t) \neq \text{null}$ 
      have  $(\text{fst } v \setminus_A \text{fst } t) \setminus_A (\text{fst } u \setminus_A \text{fst } t) \neq A.\text{null}$ 
        by (metis 1 A.not-arr-null fst-conv null-char null-is-zero(1–2)
            resid-def A.arr-resid)
      moreover have  $(\text{snd } v \setminus_B \text{snd } t) \setminus_B (\text{snd } u \setminus_B \text{snd } t) \neq B.\text{null}$ 
    qed
  qed
end

```

```

by (metis 1 B.not-arr-null snd-conv null-char null-is-zero(1–2)
      resid-def B.arr-resid)
ultimately show (v \ t) \ (u \ t) = (v \ u) \ (t \ u)
      using resid-def null-char A.con-def B.con-def A.cube B.cube
      apply simp
      by (metis (no-types, lifting) A.conI A.con-sym-ax A.resid-reflects-con
            B.con-sym-ax B.null-is-zero(1))
qed
qed

lemma is-residuation:
shows residuation resid
 $\dots$ 
notation con (infix  $\hookrightarrow$  50)

lemma arr-char [iff]:
shows arr t  $\leftrightarrow$  A.arr (fst t)  $\wedge$  B.arr (snd t)
      by (metis (no-types, lifting) A.arr-def B.arr-def B.conE null-char resid-def
            arr-def con-def snd-eqD)

lemma ide-char [iff]:
shows ide t  $\leftrightarrow$  A.ide (fst t)  $\wedge$  B.ide (snd t)
      by (metis (no-types, lifting) A.residuation-axioms B.residuation-axioms
            arr-char arr-def fst-conv null-char prod-collapse resid-def residuation.conE
            residuation.ide-def residuation.ide-implies-arr residuation-axioms snd-conv)

lemma con-char [iff]:
shows t  $\sim$  u  $\leftrightarrow$  fst t  $\sim_A$  fst u  $\wedge$  snd t  $\sim_B$  snd u
      by (simp add: con-def resid-def B.con-def)

lemma trg-char:
shows trg t = (if arr t then (A.trg (fst t), B.trg (snd t)) else Null)
      using A.trg-def B.trg-def resid-def trg-def by auto

sublocale rts resid
proof
  show  $\bigwedge t. \text{arr } t \implies \text{ide} (\text{trg } t)$ 
    by (simp add: trg-char)
  show 1:  $\bigwedge a t. [\![\text{ide } a; t \sim a]\!] \implies t \setminus a = t$ 
    by (simp add: A.resid-arr-ide B.resid-arr-ide resid-def)
  thus  $\bigwedge a t. [\![\text{ide } a; a \sim t]\!] \implies \text{ide} (a \setminus t)$ 
    using arr-resid cube
    apply (elim ideE, intro ideI)
    apply auto
    by (metis 1 conI con-sym-ax ideI null-is-zero(2))
  show  $\bigwedge t u. t \sim u \implies \exists a. \text{ide } a \wedge a \sim t \wedge a \sim u$ 
  proof –
    fix t u

```

```

assume tu:  $t \sim u$ 
obtain a1 where a1:  $a1 \in A.\text{sources} (\text{fst } t) \cap A.\text{sources} (\text{fst } u)$ 
  by (meson A.con-imp-common-source all-not-in-conv con-char tu)
obtain a2 where a2:  $a2 \in B.\text{sources} (\text{snd } t) \cap B.\text{sources} (\text{snd } u)$ 
  by (meson B.con-imp-common-source all-not-in-conv con-char tu)
have ide (a1, a2)  $\wedge$  (a1, a2)  $\sim t \wedge$  (a1, a2)  $\sim u$ 
  using a1 a2 ide-char con-char
  by (metis A.con-imp-common-source A.in-sourcesE A.sources-eqI
    B.con-imp-common-source B.in-sourcesE B.sources-eqI con-sym
    fst-conv inf-idem snd-conv tu)
thus  $\exists a. \text{ide } a \wedge a \sim t \wedge a \sim u$  by blast
qed
show  $\bigwedge t u v. [\text{ide } (t \setminus u); u \sim v] \implies t \setminus u \sim v \setminus u$ 
proof –
  fix t u v
  assume tu:  $\text{ide } (t \setminus u)$ 
  assume uv:  $u \sim v$ 
  have A.ide ( $\text{fst } t \setminus_A \text{fst } u$ )  $\wedge$  B.ide ( $\text{snd } t \setminus_B \text{snd } u$ )
    using tu ide-char
    by (metis conI con-char fst-eqD ide-implies-arr not-arr-null resid-def snd-conv)
  moreover have  $\text{fst } u \sim_A \text{fst } v \wedge \text{snd } u \sim_B \text{snd } v$ 
    using uv con-char by blast
  ultimately show  $t \setminus u \sim v \setminus u$ 
    by (simp add: A.con-target A.con-sym A.prfx-implies-con
      B.con-target B.con-sym B.prfx-implies-con resid-def)
qed
qed

lemma is-rts:
shows rts resid
 $\dots$ 

notation prfx (infix  $\lesssim 50$ )
notation cong (infix  $\sim 50$ )

lemma sources-char:
shows sources t =  $A.\text{sources} (\text{fst } t) \times B.\text{sources} (\text{snd } t)$ 
  by force

lemma targets-char:
shows targets t =  $A.\text{targets} (\text{fst } t) \times B.\text{targets} (\text{snd } t)$ 
proof
  show targets t  $\subseteq A.\text{targets} (\text{fst } t) \times B.\text{targets} (\text{snd } t)$ 
  using targets-def ide-char con-char resid-def trg-char trg-def by auto
  show  $A.\text{targets} (\text{fst } t) \times B.\text{targets} (\text{snd } t) \subseteq \text{targets } t$ 
proof
  fix a
  assume a:  $a \in A.\text{targets} (\text{fst } t) \times B.\text{targets} (\text{snd } t)$ 
  show a  $\in \text{targets } t$ 

```

```

proof
  show ide a
    using a ide-char by auto
  show trg t  $\sim$  a
    using a trg-char con-char [of trg t a]
    by (metis (no-types, lifting) SigmaE arr-char con-char con-implies-arr(1)
      fst-conv A.in-targetsE B.in-targetsE A.arr-resid-iff-con
      B.arr-resid-iff-con A.trg-def B.trg-def snd-conv)
  qed
  qed
  qed

lemma prfx-char:
shows t  $\lesssim$  u  $\longleftrightarrow$  fst t  $\lesssim_A$  fst u  $\wedge$  snd t  $\lesssim_B$  snd u
using A.prfx-implies-con B.prfx-implies-con resid-def by auto

lemma cong-char:
shows t  $\sim$  u  $\longleftrightarrow$  fst t  $\sim_A$  fst u  $\wedge$  snd t  $\sim_B$  snd u
using prfx-char by auto

lemma join-of-char:
shows join-of t u v  $\longleftrightarrow$  A.join-of(fst t) (fst u) (fst v)  $\wedge$  B.join-of(snd t) (snd u) (snd v)
and joinable t u  $\longleftrightarrow$  A.joinable(fst t) (fst u)  $\wedge$  B.joinable(snd t) (snd u)
proof –
  show  $\bigwedge v. \text{join-of } t u v \longleftrightarrow$ 
    A.join-of(fst t) (fst u) (fst v)  $\wedge$  B.join-of(snd t) (snd u) (snd v)
proof
  fix v
  show join-of t u v  $\implies$ 
    A.join-of(fst t) (fst u) (fst v)  $\wedge$  B.join-of(snd t) (snd u) (snd v)
proof –
  assume 1: join-of t u v
  have 2: t  $\sim$  u  $\wedge$  t  $\sim$  v  $\wedge$  u  $\sim$  v  $\wedge$  t  $\sim$  v  $\wedge$  v  $\sim$  u
    by (meson 1 bounded-imp-con con-prfx-composite-of(1) join-ofE con-sym)
  show A.join-of(fst t) (fst u) (fst v)  $\wedge$  B.join-of(snd t) (snd u) (snd v)
  using 1 2 prfx-char resid-def
  by (elim conjE join-ofE composite-ofE congE conE,
    intro conjI A.join-ofI B.join-ofI A.composite-ofI B.composite-ofI)
  auto
qed
  show A.join-of(fst t) (fst u) (fst v)  $\wedge$  B.join-of(snd t) (snd u) (snd v)
     $\implies$  join-of t u v
  using cong-char resid-def
  by (elim conjE A.join-ofE B.join-ofE A.composite-ofE B.composite-ofE,
    intro join-ofI composite-ofI)
  auto
qed
thus joinable t u  $\longleftrightarrow$  A.joinable(fst t) (fst u)  $\wedge$  B.joinable(snd t) (snd u)
using joinable-def A.joinable-def B.joinable-def by simp

```

```

qed

end

locale product-of-weakly-extensional-rts =
  A: weakly-extensional-rts A +
  B: weakly-extensional-rts B +
  product-rts
begin

  sublocale weakly-extensional-rts resid
  proof
    show  $\bigwedge t u. [t \sim u; \text{ide } t; \text{ide } u] \implies t = u$ 
    by (metis cong-char ide-char prod.exhaust-sel A.weak-extensionality B.weak-extensionality)
  qed

  lemma is-weakly-extensional-rts:
  shows weakly-extensional-rts resid
  ..
  lemma src-char:
  shows src t = (if arr t then (A.src (fst t), B.src (snd t)) else null)
  proof (cases arr t)
    show  $\neg \text{arr } t \implies ?\text{thesis}$ 
    using src-def by presburger
    assume t: arr t
    show ?thesis
    using t con-char arr-char
    by (intro src-eqI) auto
  qed

end

locale product-of-extensional-rts =
  A: extensional-rts A +
  B: extensional-rts B +
  product-of-weakly-extensional-rts
begin

  sublocale extensional-rts resid
  proof
    show  $\bigwedge t u. t \sim u \implies t = u$ 
    by (metis A.extensionality B.extensionality cong-char prod.collapse)
  qed

  lemma is-extensional-rts:
  shows extensional-rts resid
  ..

```

```
end
```

## Product Simulations

```
locale product-simulation =
  A1: rts A1 +
  A0: rts A0 +
  B1: rts B1 +
  B0: rts B0 +
  A1xA0: product-rts A1 A0 +
  B1xB0: product-rts B1 B0 +
  F1: simulation A1 B1 F1 +
  F0: simulation A0 B0 F0
  for A1 :: 'a1 resid      (infix `\\_A1` 70)
  and A0 :: 'a0 resid      (infix `\\_A0` 70)
  and B1 :: 'b1 resid      (infix `\\_B1` 70)
  and B0 :: 'b0 resid      (infix `\\_B0` 70)
  and F1 :: 'a1 ⇒ 'b1
  and F0 :: 'a0 ⇒ 'b0
begin

  definition map
  where map = (λa. if A1xA0.arr a then (F1 (fst a), F0 (snd a))
                 else (F1 A1.null, F0 A0.null))

  lemma map-simp [simp]:
  assumes A1.arr a1 and A0.arr a0
  shows map (a1, a0) = (F1 a1, F0 a0)
  using assms map-def by auto

  sublocale simulation A1xA0.resid B1xB0.resid map
  proof
    show ∀t. ¬ A1xA0.arr t ⇒ map t = B1xB0.null
    using map-def F1.extensionality F0.extensionality by auto
    show ∀t u. A1xA0.con t u ⇒ B1xB0.con (map t) (map u)
    using A1xA0.con-char B1xB0.con-char A1.con-implies-arr A0.con-implies-arr by auto
    show ∀t u. A1xA0.con t u ⇒ map (A1xA0.resid t u) = B1xB0.resid (map t) (map u)
    using A1xA0.resid-def B1xB0.resid-def A1.con-implies-arr A0.con-implies-arr
    by auto
  qed

  lemma is-simulation:
  shows simulation A1xA0.resid B1xB0.resid map
  ..

end
```

## Binary Simulations

```
locale binary-simulation =
```

```

A1: rts A1 +
A0: rts A0 +
A: product-rts A1 A0 +
B: rts B +
simulation A.resid B F
for A1 :: 'a1 resid (infix `\\_A1` 70)
and A0 :: 'a0 resid (infix `\\_A0` 70)
and B :: 'b resid (infix `\\_B` 70)
and F :: 'a1 * 'a0 => 'b
begin

lemma fixing-ide-gives-simulation-1:
assumes A1.ide a1
shows simulation A0 B (λt0. F (a1, t0))
proof
show ∀t0. ¬ A0.arr t0 => F (a1, t0) = B.null
using assms extensionality A.arr-char by simp
show ∀t0 u0. A0.con t0 u0 => B.con (F (a1, t0)) (F (a1, u0))
using assms A.con-char preserves-con by auto
show ∀t0 u0. A0.con t0 u0 => F (a1, t0 \\_A0 u0) = F (a1, t0) \\_B F (a1, u0)
using assms A.con-char A.resid-def preserves-resid
by (metis A1.ideE fst-conv snd-conv)
qed

lemma fixing-ide-gives-simulation-0:
assumes A0.ide a0
shows simulation A1 B (λt1. F (t1, a0))
proof
show ∀t1. ¬ A1.arr t1 => F (t1, a0) = B.null
using assms extensionality A.arr-char by simp
show ∀t1 u1. A1.con t1 u1 => B.con (F (t1, a0)) (F (u1, a0))
using assms A.con-char preserves-con by auto
show ∀t1 u1. A1.con t1 u1 => F (t1 \\_A1 u1, a0) = F (t1, a0) \\_B F (u1, a0)
using assms A.con-char A.resid-def preserves-resid
by (metis A0.ideE fst-conv snd-conv)
qed

end

```

### 2.6.2 Sub-RTS's

A sub-RTS of an RTS  $R$  may be determined by specifying a subset of the transitions of  $R$  that is closed under residuation and in addition includes some common source for every consistent pair of transitions contained in it.

```

locale sub-rts =
R: rts R
for R :: 'a resid (infix `\\_R` 70)
and Arr :: 'a => bool +
assumes inclusion: Arr t => R.arr t

```

```

and resid-closed:  $\llbracket \text{Arr } t; \text{Arr } u; R.\text{con } t \ u \rrbracket \implies \text{Arr } (t \setminus_R u)$ 
and enough-sources:  $\llbracket \text{Arr } t; \text{Arr } u; R.\text{con } t \ u \rrbracket \implies \exists a. \text{Arr } a \wedge a \in R.\text{sources } t \wedge a \in R.\text{sources } u$ 
begin

  notation  $R.\text{con}$       (infix  $\langle \frown_R \rangle$  50)
  notation  $R.\text{prfx}$     (infix  $\langle \lesssim_R \rangle$  50)
  notation  $R.\text{cong}$     (infix  $\langle \sim_R \rangle$  50)

  definition  $\text{resid} :: 'a \text{ resid}$  (infix  $\langle \backslash \rangle$  70)
  where  $t \setminus u \equiv \text{if Arr } t \wedge \text{Arr } u \wedge t \frown_R u \text{ then } t \setminus_R u \text{ else } R.\text{null}$ 

  sublocale partial-magma  $\text{resid}$ 
    using  $R.\text{not-con-null}(2)$   $R.\text{null-is-zero}(1)$  resid-def
    by unfold-locales metis

  lemma is-partial-magma:
    shows partial-magma  $\text{resid}$ 
    ..
  lemma null-char:
    shows  $\text{null} = R.\text{null}$ 
    by (metis  $R.\text{not-arr-null}$  inclusion null-eqI resid-def)

  sublocale residuation  $\text{resid}$ 
    using  $R.\text{conE}$   $R.\text{con-sym}$   $R.\text{not-con-null}(1)$   $R.\text{null-is-zero}(1)$  resid-def
    apply unfold-locales
    apply metis
    apply (metis  $R.\text{con-def}$   $R.\text{con-imp-arr-resid}$  resid-closed)
    by (metis (no-types, lifting)  $R.\text{con-def}$   $R.\text{cube}$  resid-closed)

  lemma is-residuation:
    shows residuation  $\text{resid}$ 
    ..
  notation  $\text{con}$       (infix  $\langle \frown \rangle$  50)

  lemma arr-char:
    shows  $\text{arr } t \longleftrightarrow \text{Arr } t$ 
    by (metis  $R.\text{con-arr-self}$   $R.\text{con-def}$   $R.\text{not-arr-null}$  arrE con-def inclusion
         null-is-zero(2) resid-def residuation.con-implies-arr(1) residuation-axioms)

  lemma ide-char:
    shows  $\text{ide } t \longleftrightarrow \text{Arr } t \wedge R.\text{ide } t$ 
    by (metis  $R.\text{ide-def}$  arrI arr-char con-def ide-def not-arr-null resid-def)

  lemma con-char:
    shows  $\text{con } t \ u \longleftrightarrow \text{Arr } t \wedge \text{Arr } u \wedge R.\text{con } t \ u$ 
    by (metis  $R.\text{conE}$  arr-char con-def not-arr-null null-is-zero(1) resid-def)

```

```

lemma trg-char:
shows  $\text{trg} = (\lambda t. \text{if } \text{arr } t \text{ then } R.\text{trg } t \text{ else } \text{null})$ 
using arr-char trg-def R.trg-def resid-def by fastforce

sublocale rts resid
using arr-char ide-char con-char trg-char resid-def resid-closed inclusion
apply unfold-locales
using R.prfx-reflexive trg-def apply force
apply (simp add: R.resid-arr-ide)
apply simp
apply (meson R.con-sym R.in-sourcesE enough-sources)
by (metis (no-types, lifting) R.con-target arr-resid-iff-con con-sym-ax null-char)

lemma is-rts:
shows rts resid
..

notation prfx  (infix  $\lesssim$  50)
notation cong (infix  $\sim$  50)

lemma sources-subset:
shows sources  $t \subseteq \{a. \text{Arr } t \wedge a \in R.\text{sources } t\}$ 
using con-char ide-char by fastforce

lemma targets-subset:
shows targets  $t \subseteq \{b. \text{Arr } t \wedge b \in R.\text{targets } t\}$ 
proof
fix b
assume  $b: b \in \text{targets } t$ 
show  $b \in \{b. \text{Arr } t \wedge b \in R.\text{targets } t\}$ 
by (metis CollectI R.rts-axioms arr-char arr-iff-has-target b con-char
emptyE ide-char in-targetsE rts.in-targetsI trg-char)
qed

lemma prfx-charsSRTS:
shows prfx  $t u \longleftrightarrow \text{Arr } t \wedge \text{Arr } u \wedge R.\text{prfx } t u$ 
using arr-char con-char ide-char
by (metis R.prfx-implies-con prfx-implies-con resid-closed resid-def)

lemma cong-charSRTS:
shows  $t \sim u \longleftrightarrow \text{Arr } t \wedge \text{Arr } u \wedge t \sim_R u$ 
using prfx-charsSRTS by force

lemma composite-of-char:
shows composite-of  $t u v \longleftrightarrow \text{Arr } t \wedge \text{Arr } u \wedge \text{Arr } v \wedge R.\text{composite-of } t u v$ 
proof
show composite-of  $t u v \implies \text{Arr } t \wedge \text{Arr } u \wedge \text{Arr } v \wedge R.\text{composite-of } t u v$ 
by (metis R.composite-of-def R.con-sym composite-ofE con-char prfx-charsSRTS)

```

```

resid-def rts.prfx-implies-con rts-axioms
show Arr t  $\wedge$  Arr u  $\wedge$  Arr v  $\wedge$  R.composite-of t u v  $\implies$  composite-of t u v
  using composite-of-def resid-closed resid-def rts.composite-ofE ide-char
  by fastforce
qed

lemma join-of-char:
shows join-of t u v  $\longleftrightarrow$  Arr t  $\wedge$  Arr u  $\wedge$  Arr v  $\wedge$  R.join-of t u v
  using composite-of-char
  by (metis R.bounded-imp-con R.join-of-def join-of-def resid-closed resid-def)

lemma preserves-weakly-extensional-rts:
assumes weakly-extensional-rts R
shows weakly-extensional-rts resid
  by (metis assms cong-charsRTS ide-char rts-axioms weakly-extensional-rts.intro
    weakly-extensional-rts.weak-extensionality weakly-extensional-rts-axioms.intro)

lemma preserves-extensional-rts:
assumes extensional-rts R
shows extensional-rts resid
  by (meson assms extensional-rts.cong-char extensional-rts.intro
    extensional-rts-axioms.intro prfx-charsRTS rts-axioms)

abbreviation incl
where incl t  $\equiv$  if arr t then t else null

sublocale Incl: simulation resid R incl
  using resid-closed resid-def
  by unfold-locales (auto simp add: null-char arr-char con-char)

lemma inclusion-is-simulation:
shows simulation resid R incl
  ..
lemma incl-cancel-left:
assumes transformation X resid F G T and transformation X resid F' G' T'
and incl  $\circ$  T = incl  $\circ$  T'
shows T = T'
proof
  fix x
  interpret T: transformation X resid F G T
    using assms(1) by blast
  interpret T': transformation X resid F' G' T'
    using assms(2) by blast
  show T x = T' x
  proof -
    have T x = (incl  $\circ$  T) x
      using T.extensionality T.A.prfx-reflexive T.respects-cong arr-char prfx-charsRTS
      by auto

```

```

also have ... = (incl ∘ T') x
  using assms(3) by auto
also have ... = T' x
  using T'.extensionality T.A.prfx-reflexive T'.respects-cong arr-char prfx-charSRTS
  by fastforce
finally show ?thesis by blast
qed
qed

lemma incl-reflects-con:
assumes R.con (incl t) (incl u)
shows con t u
by (metis (full-types) R.not-con-null(1) R.not-con-null(2) arr-char
      assms con-char null-char)

lemma corestriction-of-simulation:
assumes simulation X R F
and ∀x. residuation.arr X x ==> Arr (F x)
shows simulation X resid F and incl ∘ F = F
proof -
  interpret X: rts X
  using assms(1) simulation-def by blast
  interpret F: simulation X R F
  using assms(1) by blast
  interpret F': simulation X resid F
  using assms(2) con-char resid-def F.extensionality null-char
    X.con-implies-arr(1-2)
  by unfold-locales auto
  show 1: simulation X resid F ..
  show incl ∘ F = F
  using F.extensionality null-char by fastforce
qed

lemma corestriction-of-transformation:
assumes simulation X resid F and simulation X resid G
and transformation X R F G T
and ∀x. residuation.arr X x ==> Arr (T x)
shows transformation X resid F G T and incl ∘ T = T
proof -
  interpret X: rts X
  using assms(3) transformation-def by blast
  interpret R: weakly-extensional-rts R
  using assms(3) transformation-def by blast
  interpret S: weakly-extensional-rts resid
  by (simp add: R.weakly-extensional-rts-axioms preserves-weakly-extensional-rts)
  interpret F: simulation X resid F
  using assms(1) transformation-def by blast
  interpret G: simulation X resid G
  using assms(2) transformation-def by blast

```

```

interpret T: transformation X R F G T
  using assms(3) by blast
interpret T': transformation X resid F G T
proof
  show  $\bigwedge f. \neg X.\text{arr } f \implies T f = \text{null}$ 
    by (simp add: T.extensionality null-char)
  show  $\bigwedge x x'. \llbracket X.\text{ide } x; X.\text{cong } x x' \rrbracket \implies T x = T x'$ 
    using T.respects-cong-ide by blast
  show  $\bigwedge f. X.\text{ide } f \implies \text{src } (T f) = F f$ 
    by (metis F.preserves-ide F.preserves-reflects-arr R.arr-resid-iff-con
        R.arr-src-iff-arr R.ide-implies-arr R.resid-arr-src S.con-imp-eq-src
        S.src-ide T.F.preserves-ide T.preserves-src X.con-implies-arr(2)
        X.ideE arr-char assms(4) con-char)
  show  $\bigwedge f. X.\text{ide } f \implies \text{trg } (T f) = G f$ 
    by (simp add: T.preserves-trg arr-char assms(4) trg-char)
  show  $\bigwedge a f. a \in X.\text{sources } f \implies T a \setminus F f = T (X a f)$ 
    by (metis F.preserves-reflects-arr R.residuation-axioms T.naturality1-ax
        X.arr-iff-has-source X.ex-un-null X.ide-implies-arr X.in-sourcesE
        X.not-arr-null X.null-eqI X.source-is-prfx arr-char assms(4) resid-def
        residuation.conI)
  show  $\bigwedge a f. a \in X.\text{sources } f \implies F f \setminus T a = G f$ 
    by (metis F.preserves-reflects-arr R.arr-resid-iff-con
        T.G.preserves-reflects-arr T.naturality2-ax X.in-sourcesE
        X.residuation-axioms arr-char assms(4) resid-def
        residuation.con-implies-arr(1) residuation.ide-implies-arr)
  show  $\bigwedge a f. a \in X.\text{sources } f \implies \text{join-of } (T a) (F f) (T f)$ 
    by (meson F.preserves-reflects-arr T.naturality3 X.con-implies-arr(1)
        X.ide-implies-arr X.in-sourcesE arr-char assms(4) join-of-char)
qed
show 1: transformation X resid F G T ..
show incl o T = T
  using T.extensionality arr-char assms(4) null-char by fastforce
qed

end

locale source-replete-sub-rts =
  R: rts R
for R :: 'a resid (infix  $\setminus_R$  70)
and Arr :: 'a  $\Rightarrow$  bool +
assumes inclusion: Arr t  $\implies$  R.arr t
and resid-closed:  $\llbracket \text{Arr } t; \text{Arr } u; R.\text{con } t u \rrbracket \implies \text{Arr } (t \setminus_R u)$ 
and source-replete: Arr t  $\implies$  R.sources t  $\subseteq$  Collect Arr
begin

  sublocale sub-rts
    using inclusion resid-closed source-replete
    apply unfold-locales
    apply auto[2]

```

```

by (metis Collect-mem-eq Collect-mono-iff R.con-imp-common-source
    R.sources-eqI R.src-in-sources)

lemma is-sub-rts:
shows sub-rts R Arr
..

lemma sources-charSRTS:
shows sources t = {a. Arr t ∧ a ∈ R.sources t}
  using source-replete sources-subset
  apply auto[1]
  by (metis Ball-Collect R.in-sourcesE con-char ide-char in-sourcesI)

lemma targets-charSRTS:
shows targets t = {b. Arr t ∧ b ∈ R.targets t}
proof
  show targets t ⊆ {b. Arr t ∧ b ∈ R.targets t}
    using targets-subset by blast
  show {b. Arr t ∧ b ∈ R.targets t} ⊆ targets t
  proof
    fix b
    assume b: b ∈ {b. Arr t ∧ b ∈ R.targets t}
    show b ∈ targets t
    by (metis (no-types, lifting) R.in-targetsE R.rts-axioms arr-char b
        con-arr-self mem-Collect-eq rts.in-sourcesI sources-charSRTS sources-resid
        trg-char trg-def trg-in-targets)
  qed
qed
qed

interpretation PR: paths-in-rts R
..
interpretation P: paths-in-rts resid
..

lemma path-reflection:
shows [|PR.Arr T; set T ⊆ Collect Arr|] ⇒ P.Arr T
proof (induct T, simp)
  fix t T
  assume ind: [|PR.Arr T; set T ⊆ Collect Arr|] ⇒ P.Arr T
  assume tT: PR.Arr (t # T)
  assume set: set (t # T) ⊆ Collect Arr
  have 1: R.arr t
  using tT
  by (metis PR.Arr-imp-arr-hd list.sel(1))
  show P.Arr (t # T)
  proof (cases T = [])
    show T = [] ⇒ ?thesis
  qed
qed

```

```

using 1 set arr-char by simp
assume T: T ≠ []
show ?thesis
proof
  show arr t
    using 1 arr-char set by simp
    show P.Arr T
    using T tT P_R.Arr-imp-Arr-tl
    by (metis ind insert-subset list.sel(3) list.simps(15) set)
    show targets t ⊆ P.Srcs T
    proof –
      have targets t ⊆ R.targets t
      using targets-subset by blast
      also have ... ⊆ R.sources (hd T)
      using T tT
      by (metis P_R.Arr.simps(3) P_R.Srcs-simp_P list.collapse)
      also have ... ⊆ P.Srcs T
      using P.Arr-imp-arr-hd P.Srcs-simp_P ‹P.Arr T› sources-char_SRTS arr-char
      by force
      finally show ?thesis by blast
    qed
  qed
  qed
qed

end

locale sub-rts-of-weakly-extensional-rts =
R: weakly-extensional-rts R +
sub-rts R Arr
for R :: 'a resid (infix \ $\_R\ 70$ )
and Arr :: 'a ⇒ bool
begin

sublocale weakly-extensional-rts resid
  using R.weakly-extensional-rts-axioms preserves-weakly-extensional-rts
  by blast

lemma is-weakly-extensional-rts:
shows weakly-extensional-rts resid
..
lemma src-char:
shows src = (λt. if arr t then R.src t else null)
proof
  fix t
  show src t = (if arr t then R.src t else null)
  by (metis R.src-eqI con-arr-src(2) con-char ide-char ide-src src-def)
qed

```

```

lemma targets-char:
assumes arr t
shows targets t = {R.trg t}
using assms trg-char trg-in-targets arr-has-un-target by auto

end

locale sub-rts-of-extensional-rts =
  R: extensional-rts R +
  sub-rts R Arr
for R :: 'a resid (infix \_R 70)
and Arr :: 'a ⇒ bool
begin

  sublocale sub-rts-of-weakly-extensional-rts ..

  sublocale extensional-rts resid
    using R.extensional-rts-axioms preserves-extensional-rts
    by blast

  lemma is-extensional-rts:
    shows extensional-rts resid
    ..

```

**end**

Here we justify the terminology “normal sub-RTS”, which was introduced earlier, by showing that a normal sub-RTS really is a sub-RTS.

```

lemma (in normal-sub-rts) is-sub-rts:
shows source-replete-sub-rts resid (λt. t ∈ N)
using elements-are-arr ide-closed
apply unfold-locales
  apply blast
  apply (meson R.con-def R.con-imp-coinitial R.con-sym-ax forward-stable)
  by blast

end

```

## Chapter 3

# The Lambda Calculus

In this second part of the article, we apply the residuated transition system framework developed in the first part to the theory of reductions in Church’s  $\lambda$ -calculus. The underlying idea is to exhibit  $\lambda$ -terms as states (identities) of an RTS, with reduction steps as non-identity transitions. We represent both states and transitions in a unified, variable-free syntax based on de Bruijn indices. A difficulty one faces in regarding the  $\lambda$ -calculus as an RTS is that “elementary reductions”, in which just one redex is contracted, are not preserved by residuation: an elementary reduction can have zero or more residuals along another elementary reduction. However, “parallel reductions”, which permit the contraction of multiple redexes existing in a term to be contracted in a single step, are preserved by residuation. For this reason, in our syntax each term represents a parallel reduction of zero or more redexes; a parallel reduction of zero redexes representing an identity. We have syntactic constructors for variables,  $\lambda$ -abstractions, and applications. An additional constructor represents a  $\beta$ -redex that has been marked for contraction. This is a slightly different approach than that taken by other authors (*e.g.* [1] or [7]), in which it is the application constructor that is marked to indicate a redex to be contracted, but it seems more natural in the present setting in which a single syntax is used to represent both terms and reductions.

Once the syntax has been defined, we define the residuation operation and prove that it satisfies the conditions for a weakly extensional RTS. In this RTS, the source of a term is obtained by “erasing” the markings on redexes, leaving an identity term. The target of a term is the contractum of the parallel reduction it represents. As the definition of residuation involves the use of substitution, a necessary prerequisite is to develop the theory of substitution using de Bruijn indices. In addition, various properties concerning the commutation of residuation and substitution have to be proved. This part of the work has benefited greatly from previous work of Huet [7], in which the theory of residuation was formalized in the proof assistant Coq. In particular, it was very helpful to have already available known-correct statements of various lemmas regarding indices, substitution, and residuation. The development of the theory culminates in the proof of Lévy’s “Cube Lemma” [8], which is the key axiom in the definition of RTS.

Once reductions in the  $\lambda$ -calculus have been cast as transitions of an RTS, we are

able to take advantage of generic results already proved for RTS's; in particular, the construction of the RTS of paths, which represent reduction sequences. Very little additional effort is required at this point to prove the Church-Rosser Theorem. Then, after proving a series of miscellaneous lemmas about reduction paths, we turn to the study of developments. A development of a term is a reduction path from that term in which the only redexes that are contracted are those that are residuals of redexes in the original term. We prove the Finite Developments Theorem: all developments are finite. The proof given here follows that given by de Vrijer [5], except that here we make the adaptations necessary for a syntax based on de Bruijn indices, rather than the classical named-variable syntax used by de Vrijer. Using the Finite Developments Theorem, we define a function that takes a term and constructs a “complete development” of that term, which is a development in which no residuals of original redexes remain to be contracted.

We then turn our attention to “standard reduction paths”, which are reduction paths in which redexes are contracted in a left-to-right order, perhaps with some skips. After giving a definition of standard reduction paths, we define a function that takes a term and constructs a complete development that is also standard. Using this function as a base case, we then define a function that takes an arbitrary parallel reduction path and transforms it into a standard reduction path that is congruent to the given path. The algorithm used is roughly analogous to insertion sort. We use this function to prove strong form of the Standardization Theorem: every reduction path is congruent to a standard reduction path. As a corollary of the Standardization Theorem, we prove the Leftmost Reduction Theorem: leftmost reduction is a normalizing reduction strategy.

It should be noted that, in this article, we consider only the  $\lambda\beta$ -calculus. In the early stages of this work, I made an exploratory attempt to incorporate  $\eta$ -reduction as well, but after encountering some unanticipated difficulties I decided not to attempt that extension until the  $\beta$ -only case had been well-developed.

```
theory LambdaCalculus
imports Main ResiduatedTransitionSystem
begin
```

### 3.1 Syntax

```
locale lambda-calculus
begin
```

The syntax of terms has constructors *Var* for variables, *Lam* for  $\lambda$ -abstraction, and *App* for application. In addition, there is a constructor *Beta* which is used to represent a  $\beta$ -redex that has been marked for contraction. The idea is that a term *Beta t u* represents a marked version of the term *App (Lam t) u*. Finally, there is a constructor *Nil* which is used to represent the null element required for the residuation operation.

```
datatype (discs-sels) lambda =
  Nil
  | Var nat
  | Lam lambda
  | App lambda lambda
```

| Beta lambda lambda

The following notation renders *Beta t u* as a “marked” version of *App (Lam t) u*, even though the former is a single constructor, whereas the latter contains two constructors.

```
notation Nil (<#>)
notation Var (<<->>)
notation Lam (< $\lambda$ [ $\cdot$ ]>)
notation App (infixl < $\circ$ > 55)
notation Beta (<( $\lambda$ [ $\cdot$ ] • -)> [55, 56] 55)
```

The following function computes the set of free variables of a term. Note that since variables are represented by numeric indices, this is a set of numbers.

```
fun FV
where FV # = {}
| FV «i» = {i}
| FV  $\lambda[t]$  = ( $\lambda n. n - 1$ ) ‘ (FV t – {0})
| FV (t  $\circ$  u) = FV t  $\cup$  FV u
| FV ( $\lambda[t]$  • u) = ( $\lambda n. n - 1$ ) ‘ (FV t – {0})  $\cup$  FV u
```

### 3.1.1 Some Orderings for Induction

We will need to do some simultaneous inductions on pairs and triples of subterms of given terms. We prove the well-foundedness of the associated relations using the following size measure.

```
fun size :: lambda  $\Rightarrow$  nat
where size # = 0
| size «-» = 1
| size  $\lambda[t]$  = size t + 1
| size (t  $\circ$  u) = size t + size u + 1
| size ( $\lambda[t]$  • u) = (size t + 1) + size u + 1

lemma wf-if-img-lt:
fixes r :: ('a * 'a) set and f :: 'a  $\Rightarrow$  nat
assumes  $\bigwedge x y. (x, y) \in r \implies f x < f y$ 
shows wf r
using assms
by (metis in-measure wf-iff-no-infinite-down-chain wf-measure)

inductive subterm
where  $\bigwedge t. subterm t \lambda[t]$ 
|  $\bigwedge t u. subterm t (t \circ u)$ 
|  $\bigwedge t u. subterm u (t \circ u)$ 
|  $\bigwedge t u. subterm t (\lambda[t] \bullet u)$ 
|  $\bigwedge t u. subterm u (\lambda[t] \bullet u)$ 
|  $\bigwedge t u v. [subterm t u; subterm u v] \implies subterm t v$ 

lemma subterm-implies-smaller:
shows subterm t u  $\implies$  size t < size u
```

```

by (induct rule: subterm.induct) auto

abbreviation subterm-rel
where subterm-rel ≡ {(t, u). subterm t u}

lemma wf-subterm-rel:
shows wf subterm-rel
using subterm-implies-smaller wf-if-img-lt
by (metis case-prod-conv mem-Collect-eq)

abbreviation subterm-pair-rel
where subterm-pair-rel ≡ {((t1, t2), u1, u2). subterm t1 u1 ∧ subterm t2 u2}

lemma wf-subterm-pair-rel:
shows wf subterm-pair-rel
using subterm-implies-smaller
wf-if-img-lt [of subterm-pair-rel λ(t1, t2). max (size t1) (size t2)]
by fastforce

abbreviation subterm-triple-rel
where subterm-triple-rel ≡
{((t1, t2, t3), u1, u2, u3). subterm t1 u1 ∧ subterm t2 u2 ∧ subterm t3 u3}

lemma wf-subterm-triple-rel:
shows wf subterm-triple-rel
using subterm-implies-smaller
wf-if-img-lt [of subterm-triple-rel
λ(t1, t2, t3). max (max (size t1) (size t2)) (size t3)]
by fastforce

lemma subterm-lemmas:
shows subterm t λ[t]
and subterm t (λ[t] ∘ u) ∧ subterm u (λ[t] ∘ u)
and subterm t (t ∘ u) ∧ subterm u (t ∘ u)
and subterm t (λ[t] • u) ∧ subterm u (λ[t] • u)
by (metis subterm.simps)+
```

### 3.1.2 Arrows and Identities

Here we define some special classes of terms. An “arrow” is a term that contains no occurrences of *Nil*. An “identity” is an arrow that contains no occurrences of *Beta*. It will be important for the commutation of substitution and residuation later on that substitution not be used in a way that could create any marked redexes; for example, we don’t want the substitution of *Lam (Var 0)* for *Var 0* in an application *App (Var 0) (Var 0)* to create a new “marked” redex. The use of the separate constructor *Beta* for marked redexes automatically avoids this.

```

fun Arr
where Arr # = False
```

```

|  $\text{Arr} \llbracket t \rrbracket = \text{True}$ 
|  $\text{Arr } \lambda[t] = \text{Arr } t$ 
|  $\text{Arr } (t \circ u) = (\text{Arr } t \wedge \text{Arr } u)$ 
|  $\text{Arr } (\lambda[t] \bullet u) = (\text{Arr } t \wedge \text{Arr } u)$ 

```

```

lemma Arr-not-Nil:
assumes  $\text{Arr } t$ 
shows  $t \neq \emptyset$ 
using assms by auto

```

```

fun Ide
where  $\text{Ide } \emptyset = \text{False}$ 
|  $\text{Ide} \llbracket t \rrbracket = \text{True}$ 
|  $\text{Ide } \lambda[t] = \text{Ide } t$ 
|  $\text{Ide } (t \circ u) = (\text{Ide } t \wedge \text{Ide } u)$ 
|  $\text{Ide } (\lambda[t] \bullet u) = \text{False}$ 

```

```

lemma Ide-implies-Arr:
shows  $\text{Ide } t \implies \text{Arr } t$ 
by (induct t) auto

```

```

lemma ArrE [elim]:
assumes  $\text{Arr } t$ 
and  $\bigwedge i. t = \llbracket i \rrbracket \implies T$ 
and  $\bigwedge u. t = \lambda[u] \implies T$ 
and  $\bigwedge u v. t = u \circ v \implies T$ 
and  $\bigwedge u v. t = \lambda[u] \bullet v \implies T$ 
shows  $T$ 
using assms
by (cases t) auto

```

### 3.1.3 Raising Indices

For substitution, we need to be able to raise the indices of all free variables in a subterm by a specified amount. To do this recursively, we need to keep track of the depth of nesting of  $\lambda$ 's and only raise the indices of variables that are already greater than or equal to that depth, as these are the variables that are free in the current context. This leads to defining a function *Raise* that has two arguments: the depth threshold  $d$  and the increment  $n$  to be added to indices above that threshold.

```

fun Raise
where  $\text{Raise } - - \emptyset = \emptyset$ 
|  $\text{Raise } d n \llbracket i \rrbracket = (\text{if } i \geq d \text{ then } \llbracket i+n \rrbracket \text{ else } \llbracket i \rrbracket)$ 
|  $\text{Raise } d n \lambda[t] = \lambda[\text{Raise } (\text{Suc } d) n t]$ 
|  $\text{Raise } d n (t \circ u) = \text{Raise } d n t \circ \text{Raise } d n u$ 
|  $\text{Raise } d n (\lambda[t] \bullet u) = \lambda[\text{Raise } (\text{Suc } d) n t] \bullet \text{Raise } d n u$ 

```

Ultimately, the definition of substitution will only directly involve the function that raises all indices of variables that are free in the outermost context; in a term, so we introduce an abbreviation for this special case.

**abbreviation** *raise*  
**where** *raise* == *Raise* 0

**lemma** *size-Raise*:  
**shows**  $\bigwedge d. \text{size}(\text{Raise } d \ n \ t) = \text{size } t$   
**by** (*induct t*) *auto*

**lemma** *Raise-not-Nil*:  
**assumes**  $t \neq \emptyset$   
**shows**  $\text{Raise } d \ n \ t \neq \emptyset$   
**using** *assms*  
**by** (*cases t*) *auto*

**lemma** *FV-Raise*:  
**shows**  $\text{FV}(\text{Raise } d \ n \ t) = (\lambda x. \text{if } x \geq d \text{ then } x + n \text{ else } x) \cdot \text{FV } t$   
**apply** (*induct t arbitrary: d n*)

**apply** *auto[3]*  
**apply** *force*  
**apply** *force*  
**apply** *force*  
**apply** *force*  
**apply** *force*

**proof** –

**fix** *t u d n*

**assume** *ind1*:  $\bigwedge d \ n. \text{FV}(\text{Raise } d \ n \ t) = (\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV } t$

**assume** *ind2*:  $\bigwedge d \ n. \text{FV}(\text{Raise } d \ n \ u) = (\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV } u$

**have**  $\text{FV}(\text{Raise } d \ n (\lambda[t] \bullet u)) =$

$(\lambda x. x - \text{Suc } 0) \cdot ((\lambda x. x + n) \cdot$   
 $(\text{FV } t \cap \{x. \text{Suc } d \leq x\}) \cup \text{FV } t \cap \{x. \neg \text{Suc } d \leq x\} - \{0\}) \cup$   
 $((\lambda x. x + n) \cdot (\text{FV } u \cap \{x. d \leq x\}) \cup \text{FV } u \cap \{x. \neg d \leq x\})$

**using** *ind1 ind2 by simp*

**also have** ... =  $(\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV}(\lambda[t] \bullet u)$

**by** *auto force+*

**finally show**  $\text{FV}(\text{Raise } d \ n (\lambda[t] \bullet u)) =$

$(\lambda x. \text{if } d \leq x \text{ then } x + n \text{ else } x) \cdot \text{FV}(\lambda[t] \bullet u)$

**by** *blast*

**qed**

**lemma** *Arr-Raise*:  
**shows**  $\text{Arr } t \leftrightarrow \text{Arr}(\text{Raise } d \ n \ t)$   
**using** *FV-Raise*  
**by** (*induct t arbitrary: d n*) *auto*

**lemma** *Ide-Raise*:  
**shows**  $\text{Ide } t \leftrightarrow \text{Ide}(\text{Raise } d \ n \ t)$   
**by** (*induct t arbitrary: d n*) *auto*

**lemma** *Raise-0*:  
**shows**  $\text{Raise } d \ 0 \ t = t$

by (induct t arbitrary: d) auto

**lemma** *Raise-Suc*:

**shows**  $\text{Raise } d (\text{Suc } n) t = \text{Raise } d 1 (\text{Raise } d n t)$   
**by** (induct t arbitrary: d n) auto

**lemma** *Raise-Var*:

**shows**  $\text{Raise } d n \langle\langle i \rangle\rangle = \langle\langle \text{if } i < d \text{ then } i \text{ else } i + n \rangle\rangle$   
**by** auto

The following development of the properties of raising indices, substitution, and residuation has benefited greatly from the previous work by Huet [7]. In particular, it was very helpful to have correct statements of various lemmas available, rather than having to reconstruct them.

**lemma** *Raise-plus*:

**shows**  $\text{Raise } d (m + n) t = \text{Raise } (d + m) n (\text{Raise } d m t)$   
**by** (induct t arbitrary: d m n) auto

**lemma** *Raise-plus'*:

**shows**  $\llbracket d' \leq d + n; d \leq d' \rrbracket \implies \text{Raise } d (m + n) t = \text{Raise } d' m (\text{Raise } d n t)$   
**by** (induct t arbitrary: n m d d') auto

**lemma** *Raise-Raise*:

**shows**  $i \leq n \implies \text{Raise } i p (\text{Raise } n k t) = \text{Raise } (p + n) k (\text{Raise } i p t)$   
**by** (induct t arbitrary: i k n p) auto

**lemma** *raise-plus*:

**shows**  $d \leq n \implies \text{raise } (m + n) t = \text{Raise } d m (\text{raise } n t)$   
**using** *Raise-plus'* **by** auto

**lemma** *raise-Raise*:

**shows**  $\text{raise } p (\text{Raise } n k t) = \text{Raise } (p + n) k (\text{raise } p t)$   
**by** (simp add: *Raise-Raise*)

**lemma** *Raise-inj*:

**shows**  $\text{Raise } d n t = \text{Raise } d n u \implies t = u$

**proof** (induct t arbitrary: d n u)

**show**  $\bigwedge d n u. \text{Raise } d n \sharp = \text{Raise } d n u \implies \sharp = u$   
**by** (metis *Raise.simps(1)* *Raise-not-Nil*)

**show**  $\bigwedge x d n. \text{Raise } d n \langle\langle x \rangle\rangle = \text{Raise } d n u \implies \langle\langle x \rangle\rangle = u \text{ for } u$

**using** *Raise-Var*

**apply** (cases u, auto)

**by** (metis *add-lessD1 add-right-imp-eq*)

**show**  $\bigwedge t d n. \llbracket \bigwedge d n u'. \text{Raise } d n t = \text{Raise } d n u' \implies t = u' ;$   
 $\text{Raise } d n \lambda[t] = \text{Raise } d n u \rrbracket$   
 $\implies \lambda[t] = u$

**for** u

**apply** (cases u, auto)

**by** (metis *lambda.distinct(9)*)

```

show  $\wedge t1 t2 d n . [\wedge d n u'. Raise d n t1 = Raise d n u' \implies t1 = u';$ 
 $\quad \wedge d n u'. Raise d n t2 = Raise d n u' \implies t2 = u';$ 
 $\quad Raise d n (t1 \circ t2) = Raise d n u]$ 
 $\implies t1 \circ t2 = u$ 
for  $u$ 
apply (cases  $u$ , auto)
by (metis lambda.distinct(11))
show  $\wedge t1 t2 d n . [\wedge d n u'. Raise d n t1 = Raise d n u' \implies t1 = u';$ 
 $\quad \wedge d n u'. Raise d n t2 = Raise d n u' \implies t2 = u';$ 
 $\quad Raise d n (\lambda[t1] \bullet t2) = Raise d n u]$ 
 $\implies \lambda[t1] \bullet t2 = u$ 
for  $u$ 
apply (cases  $u$ , auto)
by (metis lambda.distinct(13))
qed

```

### 3.1.4 Substitution

Following [7], we now define a generalized substitution operation with adjustment of indices. The ultimate goal is to define the result of contraction of a marked redex *Beta*  $t u$  to be *subst*  $u t$ . However, to be able to give a proper recursive definition of *subst*, we need to introduce a parameter  $n$  to keep track of the depth of nesting of *Lam*'s as we descend into the the term  $t$ . So, instead of *subst*  $u t$  simply substituting  $u$  for occurrences of *Var* 0, *Subst*  $n u t$  will be substituting for occurrences of *Var*  $n$ , and the term  $u$  will have the indices of its free variables raised by  $n$  before replacing *Var*  $n$ . In addition, any variables in  $t$  that have indices greater than  $n$  will have these indices lowered by one, to account for the outermost *Lam* that is being removed by the contraction. We can then define *subst*  $u t$  to be *Subst* 0  $u t$ .

```

fun Subst
where Subst - -  $\# = \#$ 
  | Subst  $n v \langle\langle i \rangle\rangle = (\text{if } n < i \text{ then } \langle\langle i-1 \rangle\rangle \text{ else if } n = i \text{ then raise } n v \text{ else } \langle\langle i \rangle\rangle)$ 
  | Subst  $n v \lambda[t] = \lambda[\text{Subst} (\text{Suc } n) v t]$ 
  | Subst  $n v (t \circ u) = \text{Subst} n v t \circ \text{Subst} n v u$ 
  | Subst  $n v (\lambda[t] \bullet u) = \lambda[\text{Subst} (\text{Suc } n) v t] \bullet \text{Subst} n v u$ 

abbreviation subst
where subst  $\equiv$  Subst 0

lemma Subst-Nil:
shows Subst  $n v \# = \#$ 
by (cases  $v = \#$ ) auto

lemma Subst-not-Nil:
assumes  $v \neq \# \text{ and } t \neq \#$ 
shows  $t \neq \# \implies \text{Subst} n v t \neq \#$ 
using assms Raise-not-Nil
by (induct  $t$ ) auto

```

The following expression summarizes how the set of free variables of a term  $\text{Subst } d u t$ , obtained by substituting  $u$  into  $t$  at depth  $d$ , relates to the sets of free variables of  $t$  and  $u$ . This expression is not used in the subsequent formal development, but it has been left here as an aid to understanding.

```

abbreviation FVS
where FVS d v t ≡ (FV t ∩ {x. x < d}) ∪
          (λx. x - 1) ‘ {x. x > d ∧ x ∈ FV t} ∪
          (λx. x + d) ‘ {x. d ∈ FV t ∧ x ∈ FV v}

lemma FV-Subst:
shows FV (Subst d v t) = FVS d v t
proof (induct t arbitrary: d v)
  have ⋀d t v. (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) = FVS d v λ[t]
  proof –
    fix d t v
    have FVS d v λ[t] =
      (λx. x - Suc 0) ‘ (FV t - {0}) ∩ {x. x < d} ∪
      (λx. x - Suc 0) ‘ {x. d < x ∧ x ∈ (λx. x - Suc 0) ‘ (FV t - {0})} ∪
      (λx. x + d) ‘ {x. d ∈ (λx. x - Suc 0) ‘ (FV t - {0}) ∧ x ∈ FV v}
    by simp
    also have ... = (λx. x - 1) ‘ (FVS (Suc d) v t - {0})
    by auto force+
    finally show (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) = FVS d v λ[t]
    by metis
  qed
  thus ⋀d t v. (⋀d v. FV (Subst d v t) = FVS d v t)
            ⇒ FV (Subst d v λ[t]) = FVS d v λ[t]
  by simp
  have ⋀t u v d. (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) ∪ FVS d v u = FVS d v (λ[t] • u)
  proof –
    fix t u v d
    have FVS d v (λ[t] • u) =
      ((λx. x - Suc 0) ‘ (FV t - {0}) ∪ FV u) ∩ {x. x < d} ∪
      (λx. x - Suc 0) ‘ {x. d < x ∧ (x ∈ (λx. x - Suc 0) ‘ (FV t - {0}) ∨ x ∈ FV u)} ∪
      (λx. x + d) ‘ {x. (d ∈ (λx. x - Suc 0) ‘ (FV t - {0}) ∨ d ∈ FV u) ∧ x ∈ FV v}
    by simp
    also have ... = (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) ∪ FVS d v u
    by force
    finally show (λx. x - 1) ‘ (FVS (Suc d) v t - {0}) ∪ FVS d v u = FVS d v (λ[t] • u)
    by metis
  qed
  thus ⋀t u v d. [ ⋀d v. FV (Subst d v t) = FVS d v t;
            ⋀d v. FV (Subst d v u) = FVS d v u ]
            ⇒ FV (Subst d v (λ[t] • u)) = FVS d v (λ[t] • u)
  by simp
  qed (auto simp add: FV-Raise)

lemma Arr-Subst:
assumes Arr v

```

```

shows  $\text{Arr } t \implies \text{Arr} (\text{Subst } n v t)$ 
  using assms  $\text{Arr-Raise FV-Subst}$ 
  by (induct t arbitrary: n) auto

lemma vacuous-Subst:
shows  $[\text{Arr } v; i \notin \text{FV } t] \implies \text{Raise } i 1 (\text{Subst } i v t) = t$ 
  apply (induct t arbitrary: i v, auto)
  by force+

lemma Ide-Subst-iff:
shows  $\text{Ide} (\text{Subst } n v t) \longleftrightarrow \text{Ide } t \wedge (n \in \text{FV } t \rightarrow \text{Ide } v)$ 
  using Ide-Raise vacuous-Subst
  apply (induct t arbitrary: n)
    apply auto[5]
    apply fastforce
  by (metis Diff-empty Diff-insert0 One-nat-def diff-Suc-1 image-iff insertE
      insert-Diff nat.distinct(1))

lemma Ide-Subst:
shows  $[\text{Ide } t; \text{Ide } v] \implies \text{Ide} (\text{Subst } n v t)$ 
  using Ide-Raise
  by (induct t arbitrary: n) auto

lemma Raise-Subst:
shows  $\text{Raise} (p + n) k (\text{Subst } p v t) = \text{Subst } p (\text{Raise } n k v) (\text{Raise} (\text{Suc } (p + n)) k t)$ 
  using raise-Raise
  apply (induct t arbitrary: v k n p, auto)
  by (metis add-Suc)+

lemma Raise-Subst':
assumes  $t \neq \sharp$ 
shows  $[\forall v \neq \sharp; k \leq n] \implies \text{Raise } k p (\text{Subst } n v t) = \text{Subst } (p + n) v (\text{Raise } k p t)$ 
  using assms raise-plus
  apply (induct t arbitrary: v k n p, auto)
    apply (metis Raise.simps(1) Subst-Nil Suc-le-mono add-Suc-right)
    apply fastforce
    apply fastforce
  apply (metis Raise.simps(1) Subst-Nil Suc-le-mono add-Suc-right)
  by fastforce

lemma Raise-subst:
shows  $\text{Raise } n k (\text{subst } v t) = \text{subst} (\text{Raise } n k v) (\text{Raise} (\text{Suc } n) k t)$ 
  using Raise-0
  apply (induct t arbitrary: v k n, auto)
  by (metis One-nat-def Raise-Subst plus-1-eq-Suc)+

lemma raise-Subst:
assumes  $t \neq \sharp$ 
shows  $v \neq \sharp \implies \text{raise } p (\text{Subst } n v t) = \text{Subst } (p + n) v (\text{raise } p t)$ 

```

```

using assms Raise-plus raise-Raise Raise-Subst'
apply (induct t arbitrary: v n p)
by (meson zero-le)+

lemma Subst-Raise:
shows [|v ≠ #; d ≤ m; m ≤ n + d|] ⇒ Subst m v (Raise d (Suc n) t) = Raise d n t
  by (induct t arbitrary: v m n d) auto

lemma Subst-raise:
shows [|v ≠ #; m ≤ n|] ⇒ Subst m v (raise (Suc n) t) = raise n t
  using Subst-Raise
  by (induct t arbitrary: v m n) auto

lemma Subst-Subst:
shows [|v ≠ #; w ≠ #|] ⇒
  Subst (m + n) w (Subst m v t) = Subst m (Subst n w v) (Subst (Suc (m + n)) w t)
  using Raise-0 raise-Subst Subst-not-Nil Subst-raise
  apply (induct t arbitrary: v w m n, auto)
  by (metis add-Suc)+
```

The Substitution Lemma, as given by Huet [7].

```

lemma substitution-lemma:
shows [|v ≠ #; w ≠ #|] ⇒ Subst n v (subst w t) = subst (Subst n v w) (Subst (Suc n) v t)
  by (metis Subst-Subst add-0)
```

## 3.2 Lambda-Calculus as an RTS

### 3.2.1 Residuation

We now define residuation on terms. Residuation is an operation which, when defined for terms  $t$  and  $u$ , produces terms  $t \setminus u$  and  $u \setminus t$  that represent, respectively, what remains of the reductions of  $t$  after performing the reductions in  $u$ , and what remains of the reductions of  $u$  after performing the reductions in  $t$ .

The definition ensures that, if residuation is defined for two terms, then those terms in must be arrows that are *coinitial* (*i.e.* they are the same after erasing marks on redexes). The residual  $t \setminus u$  then has marked redexes at positions corresponding to redexes that were originally marked in  $t$  and that were not contracted by any of the reductions of  $u$ .

This definition has also benefited from the presentation in [7].

```

fun resid (infix `\` 70)
where «i» \ «i'» = (if i = i' then «i» else #)
  | λ[t] \ λ[t'] = (if t \ t' = # then # else λ[t \ t'])
  | (t o u) \ (t' o u') = (if t \ t' = # ∨ u \ u' = # then # else (t \ t') o (u \ u'))
  | (λ[t] • u) \ (λ[t'] • u') = (if t \ t' = # ∨ u \ u' = # then # else subst (u \ u') (t \ t'))
  | (λ[t] o u) \ (λ[t'] • u') = (if t \ t' = # ∨ u \ u' = # then # else subst (u \ u') (t \ t'))
  | (λ[t] • u) \ (λ[t'] o u') = (if t \ t' = # ∨ u \ u' = # then # else subst (u \ u') (t \ t'))
  | resid - - = #
```

Terms  $t$  and  $u$  are *consistent* if residuation is defined for them.

**abbreviation** *Con* (**infix**  $\hookrightarrow$  50)  
**where** *Con t u*  $\equiv$  *resid t u*  $\neq \sharp$

```

lemma ConE [elim]:
assumes t  $\hookrightarrow$  t'
and  $\bigwedge i. \llbracket t = \text{«}i\text{»}; t' = \text{«}i\text{»; resid } t \ t' = \text{«}i\text{»} \rrbracket \implies T$ 
and  $\bigwedge u \ u'. \llbracket t = \lambda[u]; t' = \lambda[u']; u \hookrightarrow u'; t \setminus t' = \lambda[u \setminus u'] \rrbracket \implies T$ 
and  $\bigwedge u \ v \ u' \ v'. \llbracket t = u \circ v; t' = u' \circ v'; u \hookrightarrow u'; v \hookrightarrow v';$ 
 $t \setminus t' = (u \setminus u') \circ (v \setminus v') \rrbracket \implies T$ 
and  $\bigwedge u \ v \ u' \ v'. \llbracket t = \lambda[u] \bullet v; t' = \lambda[u'] \bullet v'; u \hookrightarrow u'; v \hookrightarrow v';$ 
 $t \setminus t' = \text{subst}(v \setminus v')(u \setminus u') \rrbracket \implies T$ 
and  $\bigwedge u \ v \ u' \ v'. \llbracket t = \lambda[u] \circ v; t' = \text{Beta } u' \ v'; u \hookrightarrow u'; v \hookrightarrow v';$ 
 $t \setminus t' = \text{subst}(v \setminus v')(u \setminus u') \rrbracket \implies T$ 
and  $\bigwedge u \ v \ u' \ v'. \llbracket t = \lambda[u] \bullet v; t' = \lambda[u'] \circ v'; u \hookrightarrow u'; v \hookrightarrow v';$ 
 $t \setminus t' = \lambda[u \setminus u'] \bullet (v \setminus v') \rrbracket \implies T$ 
shows T
using assms
apply (cases t; cases t')
  apply simp-all
  apply metis
  apply metis
  apply metis
  apply (cases un-App1 t, simp-all)
  apply metis
  apply (cases un-App1 t', simp-all)
  apply metis
by metis

```

A term can only be consistent with another if both terms are “arrows”.

```

lemma Con-implies-Arr1:
shows t  $\hookrightarrow$  u  $\implies$  Arr t
proof (induct t arbitrary: u)
  fix u v t'
  assume ind1:  $\bigwedge u'. u \hookrightarrow u' \implies \text{Arr } u$ 
  assume ind2:  $\bigwedge v'. v \hookrightarrow v' \implies \text{Arr } v$ 
  show u o v  $\hookrightarrow$  t'  $\implies$  Arr (u o v)
    using ind1 ind2
    apply (cases t', simp-all)
    apply metis
    apply (cases u, simp-all)
    by (metis lambda.distinct(3) resid.simps(2))
  show  $\lambda[u] \bullet v \hookrightarrow t' \implies \text{Arr } (\lambda[u] \bullet v)$ 
    using ind1 ind2
    apply (cases t', simp-all)
    apply (cases un-App1 t', simp-all)
    by metis+
qed auto

```

**lemma** *Con-implies-Arr2*:

```

shows  $t \sim u \Rightarrow \text{Arr } u$ 
proof (induct  $u$  arbitrary:  $t$ )
  fix  $u' v' t$ 
  assume ind1:  $\bigwedge u. u \sim u' \Rightarrow \text{Arr } u'$ 
  assume ind2:  $\bigwedge v. v \sim v' \Rightarrow \text{Arr } v'$ 
  show  $t \sim u' \circ v' \Rightarrow \text{Arr } (u' \circ v')$ 
    using ind1 ind2
    apply (cases  $t$ , simp-all)
    apply metis
    apply (cases  $u'$ , simp-all)
    by (metis lambda.distinct(3) resid.simps(2))
  show  $t \sim (\lambda[u] \bullet v') \Rightarrow \text{Arr } (\lambda[u] \bullet v')$ 
    using ind1 ind2
    apply (cases  $t$ , simp-all)
    apply (cases un-App1  $t$ , simp-all)
    by metis+
qed auto

lemma Cond:
shows  $t \circ u \sim t' \circ u' \Rightarrow t \sim t' \wedge u \sim u'$ 
and  $\lambda[v] \bullet u \sim \lambda[v'] \bullet u' \Rightarrow \lambda[v] \sim \lambda[v'] \wedge u \sim u'$ 
and  $\lambda[v] \bullet u \sim t' \circ u' \Rightarrow \lambda[v] \sim t' \wedge u \sim u'$ 
and  $t \circ u \sim \lambda[v] \bullet u' \Rightarrow t \sim \lambda[v] \wedge u \sim u'$ 
  by auto

```

Residuation on consistent terms preserves arrows.

```

lemma Arr-resid:
shows  $t \sim u \Rightarrow \text{Arr } (t \setminus u)$ 
proof (induct  $t$  arbitrary:  $u$ )
  fix  $t1 t2 u$ 
  assume ind1:  $\bigwedge u. t1 \sim u \Rightarrow \text{Arr } (t1 \setminus u)$ 
  assume ind2:  $\bigwedge u. t2 \sim u \Rightarrow \text{Arr } (t2 \setminus u)$ 
  show  $t1 \circ t2 \sim u \Rightarrow \text{Arr } ((t1 \circ t2) \setminus u)$ 
    using ind1 ind2 Arr-Subst
    apply (cases  $u$ , auto)
    apply (cases  $t1$ , auto)
    by (metis Arr.simps(3) Cond(2) resid.simps(2) resid.simps(4))
  show  $\lambda[t1] \bullet t2 \sim u \Rightarrow \text{Arr } ((\lambda[t1] \bullet t2) \setminus u)$ 
    using ind1 ind2 Arr-Subst
    by (cases  $u$ ) auto
qed auto

```

### 3.2.2 Source and Target

Here we give syntactic versions of the *source* and *target* of a term. These will later be shown to agree (on arrows) with the versions derived from the residuation. The underlying idea here is that a term stands for a reduction sequence in which all marked redexes (corresponding to instances of the constructor *Beta*) are contracted in a bottom-up fashion. A term without any marked redexes stands for an empty reduction sequence;

such terms will be shown to be the identities derived from the residuation. The source of term is the identity obtained by erasing all markings; that is, by replacing all subterms of the form *Beta*  $t u$  by *App* (*Lam*  $t$ )  $u$ . The target of a term is the identity that is the result of contracting all the marked redexes.

```

fun Src
  where Src # = #
    | Src «i» = «i»
    | Src λ[t] = λ[Src t]
    | Src (t o u) = Src t o Src u
    | Src (λ[t] • u) = λ[Src t] o Src u

fun Trg
  where Trg «i» = «i»
    | Trg λ[t] = λ[Trg t]
    | Trg (t o u) = Trg t o Trg u
    | Trg (λ[t] • u) = subst (Trg u) (Trg t)
    | Trg - = #

lemma Ide-Src:
shows Arr t ==> Ide (Src t)
  by (induct t) auto

lemma Ide-iff-Src-self:
assumes Arr t
shows Ide t <=> Src t = t
  using assms Ide-Src
  by (induct t) auto

lemma Arr-Src [simp]:
assumes Arr t
shows Arr (Src t)
  using assms Ide-Src Ide-implies-Arr by blast

lemma Con-Src:
shows [size t + size u ≤ n; t ∼ u] ==> Src t ∼ Src u
  by (induct n arbitrary: t u) auto

lemma Src-eq-iff:
shows Src «i» = Src «i'» <=> i = i'
and Src (t o u) = Src (t' o u') <=> Src t = Src t' ∧ Src u = Src u'
and Src (λ[t] • u) = Src (λ[t'] • u') <=> Src t = Src t' ∧ Src u = Src u'
and Src (λ[t] o u) = Src (λ[t'] o u') <=> Src t = Src t' ∧ Src u = Src u'
  by auto

lemma Src-Raise:
shows Src (Raise d n t) = Raise d n (Src t)
  by (induct t arbitrary: d) auto

lemma Src-Subst [simp]:
```

```

shows  $\llbracket \text{Arr } t; \text{Arr } u \rrbracket \implies \text{Src} (\text{Subst } d t u) = \text{Subst } d (\text{Src } t) (\text{Src } u)$ 
  using Src-Raise
  by (induct u arbitrary: d X) auto

```

```

lemma Ide-Trg:
shows Arr t  $\implies$  Ide (Trg t)
  using Ide-Subst
  by (induct t) auto

```

```

lemma Ide-iff-Trg-self:
shows Arr t  $\implies$  Ide t  $\longleftrightarrow$  Trg t = t
  apply (induct t)
  apply auto
by (metis Ide.simps(5) Ide-Subst Ide-Trg)+
```

```

lemma Arr-Trg [simp]:
assumes Arr X
shows Arr (Trg X)
  using assms Ide-Trg Ide-implies-Arr by blast

```

```

lemma Src-Src [simp]:
assumes Arr t
shows Src (Src t) = Src t
  using assms Ide-Src Ide-iff-Src-self Ide-implies-Arr by blast

```

```

lemma Trg-Src [simp]:
assumes Arr t
shows Trg (Src t) = Src t
  using assms Ide-Src Ide-iff-Trg-self Ide-implies-Arr by blast

```

```

lemma Trg-Trg [simp]:
assumes Arr t
shows Trg (Trg t) = Trg t
  using assms Ide-Trg Ide-iff-Trg-self Ide-implies-Arr by blast

```

```

lemma Src-Trg [simp]:
assumes Arr t
shows Src (Trg t) = Trg t
  using assms Ide-Trg Ide-iff-Src-self Ide-implies-Arr by blast

```

Two terms are syntactically *coinitial* if they are arrows with the same source; that is, they represent two reductions from the same starting term.

```

abbreviation Coinitial
where Coinitial t u  $\equiv$  Arr t  $\wedge$  Arr u  $\wedge$  Src t = Src u

```

We now show that terms are consistent if and only if they are coinitial.

```

lemma Coinitial-cases:
assumes Arr t and Arr t' and Src t = Src t'
shows (t = #  $\wedge$  t' = #)  $\vee$ 

```

```

(∃ x. t = «x» ∧ t' = «x») ∨
(∃ u u'. t = λ[u] ∧ t' = λ[u']) ∨
(∃ u v u' v'. t = u ○ v ∧ t' = u' ○ v') ∨
(∃ u v u' v'. t = λ[u] • v ∧ t' = λ[u'] • v') ∨
(∃ u v u' v'. t = λ[u] ○ v ∧ t' = λ[u'] • v') ∨
(∃ u v u' v'. t = λ[u] • v ∧ t' = λ[u'] ○ v')

using assms
by (cases t; cases t') auto

lemma Con-implies-Coinitial-ind:
shows [|size t + size u ≤ n; t ∼ u|] ==> Coinitial t u
using Con-implies-Arr1 Con-implies-Arr2
by (induct n arbitrary: t u) auto

lemma Coinitial-implies-Con-ind:
shows [|size (Src t) ≤ n; Coinitial t u|] ==> t ∼ u
proof (induct n arbitrary: t u)
show ∀t u. [|size (Src t) ≤ 0; Coinitial t u|] ==> t ∼ u
by auto
fix n t u
assume Coinitial: Coinitial t u
assume n: size (Src t) ≤ Suc n
assume ind: ∀t u. [|size (Src t) ≤ n; Coinitial t u|] ==> t ∼ u
show t ∼ u
using n ind Coinitial Coinitial-cases [of t u] Subst-not-Nil by auto
qed

lemma Coinitial-iff-Con:
shows Coinitial t u ↔ t ∼ u
using Coinitial-implies-Con-ind Con-implies-Coinitial-ind by blast

lemma Coinitial-Raise-Raise:
shows Coinitial t u ==> Coinitial (Raise d n t) (Raise d n u)
using Arr-Raise Src-Raise
apply (induct t arbitrary: d n u, auto)
by (metis Raise.simps(3-4))

lemma Con-sym:
shows t ∼ u ↔ u ∼ t
by (metis Coinitial-iff-Con)

lemma ConI [intro, simp]:
assumes Arr t and Arr u and Src t = Src u
shows Con t u
using assms Coinitial-iff-Con by blast

lemma Con-Arr-Src [simp]:
assumes Arr t
shows t ∼ Src t and Src t ∼ t

```

```

using assms
by (auto simp add: Ide-Src Ide-implies-Arr)

```

```

lemma resid-Arr-self:
shows Arr t  $\implies$  t \ t = Trg t
by (induct t) auto

```

The following result is not used in the formal development that follows, but it requires some proof and might eventually be useful.

```

lemma finite-branching:
shows Ide a  $\implies$  finite {t. Arr t  $\wedge$  Src t = a}
proof (induct a)
  show Ide  $\emptyset$   $\implies$  finite {t. Arr t  $\wedge$  Src t =  $\emptyset$ }
    by simp
  fix x
  have  $\bigwedge$ t. Src t = «x»  $\longleftrightarrow$  t = «x»
    using Src.elims by blast
  thus finite {t. Arr t  $\wedge$  Src t = «x»}
    by simp
  next
  fix a
  assume a: Ide  $\lambda[a]$ 
  assume ind: Ide a  $\implies$  finite {t. Arr t  $\wedge$  Src t = a}
  have {t. Arr t  $\wedge$  Src t =  $\lambda[a]$ } = Lam ‘{t. Arr t  $\wedge$  Src t = a}’
    using Coinitial-cases by fastforce
  thus finite {t. Arr t  $\wedge$  Src t =  $\lambda[a]$ }
    using a ind by simp
  next
  fix a1 a2
  assume ind1: Ide a1  $\implies$  finite {t. Arr t  $\wedge$  Src t = a1}
  assume ind2: Ide a2  $\implies$  finite {t. Arr t  $\wedge$  Src t = a2}
  assume a: Ide ( $\lambda[a1] \bullet a2$ )
  show finite {t. Arr t  $\wedge$  Src t =  $\lambda[a1] \bullet a2$ }
    using a ind1 ind2 by simp
  next
  fix a1 a2
  assume ind1: Ide a1  $\implies$  finite {t. Arr t  $\wedge$  Src t = a1}
  assume ind2: Ide a2  $\implies$  finite {t. Arr t  $\wedge$  Src t = a2}
  assume a: Ide ( $a1 \circ a2$ )
  have {t. Arr t  $\wedge$  Src t = a1  $\circ$  a2} =
    ({t. is-App t}  $\cap$  ({t. Arr t  $\wedge$  Src (un-App1 t) = a1  $\wedge$  Src (un-App2 t) = a2}))  $\cup$ 
    ({t. is-Beta t  $\wedge$  is-Lam a1}  $\cap$ 
     ({t. Arr t  $\wedge$  is-Lam a1  $\wedge$  Src (un-Beta1 t) = un-Lam a1  $\wedge$  Src (un-Beta2 t) = a2}))
    by fastforce
  have {t. Arr t  $\wedge$  Src t = a1  $\circ$  a2} =
    ( $\lambda(t1, t2). t1 \circ t2$ ) ‘({t1. Arr t1  $\wedge$  Src t1 = a1}  $\times$  {t2. Arr t2  $\wedge$  Src t2 = a2})’  $\cup$ 
    ( $\lambda(t1, t2). \lambda[t1] \bullet t2$ ) ‘
      ({t1t2. is-Lam a1}  $\cap$ 
       {t1. Arr t1  $\wedge$  Src t1 = un-Lam a1}  $\times$  {t2. Arr t2  $\wedge$  Src t2 = a2})
    ’

```

```

proof
  show  $(\lambda(t_1, t_2). t_1 \circ t_2) \cdot (\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\}) \cup$ 
     $(\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
     $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
       $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
     $\subseteq \{t. \text{Arr } t \wedge \text{Src } t = a_1 \circ a_2\}$ 
  by auto
  show  $\{t. \text{Arr } t \wedge \text{Src } t = a_1 \circ a_2\}$ 
     $\subseteq (\lambda(t_1, t_2). t_1 \circ t_2) \cdot$ 
       $(\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\}) \cup$ 
       $(\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
       $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
         $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
proof
  fix  $t$ 
  assume  $t: t \in \{t. \text{Arr } t \wedge \text{Src } t = a_1 \circ a_2\}$ 
  have  $\text{is-App } t \vee \text{is-Beta } t$ 
  using  $t$  by auto
  moreover have  $\text{is-App } t \implies t \in (\lambda(t_1, t_2). t_1 \circ t_2) \cdot$ 
     $(\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  using  $t$  image-iff  $\text{is-App-def}$  by fastforce
  moreover have  $\text{is-Beta } t \implies$ 
     $t \in (\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
     $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
       $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  using  $t$  is-Beta-def by fastforce
  ultimately show  $t \in (\lambda(t_1, t_2). t_1 \circ t_2) \cdot$ 
     $(\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\}) \cup$ 
     $(\lambda(t_1, t_2). \lambda[t_1] \bullet t_2) \cdot$ 
     $(\{t_1t_2. \text{is-Lam } a_1\} \cap$ 
       $\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  by blast
  qed
  qed
  moreover have  $\text{finite } (\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
  using  $a \text{ ind1 ind2 Ide.simps}(4)$  by blast
  moreover have  $\text{is-Lam } a_1 \implies$ 
     $\text{finite } (\{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \times \{t_2. \text{Arr } t_2 \wedge \text{Src } t_2 = a_2\})$ 
proof -
  assume  $a_1: \text{is-Lam } a_1$ 
  have  $\text{Ide } (\text{un-Lam } a_1)$ 
  using  $a a_1 \text{ is-Lam-def}$  by force
  have  $\text{Lam } \cdot \{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} = \{t. \text{Arr } t \wedge \text{Src } t = a_1\}$ 
proof
  show  $\text{Lam } \cdot \{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\} \subseteq \{t. \text{Arr } t \wedge \text{Src } t = a_1\}$ 
  using  $a_1$  by fastforce
  show  $\{t. \text{Arr } t \wedge \text{Src } t = a_1\} \subseteq \text{Lam } \cdot \{t_1. \text{Arr } t_1 \wedge \text{Src } t_1 = \text{un-Lam } a_1\}$ 
proof
  fix  $t$ 

```

```

assume  $t: t \in \{t. \text{Arr } t \wedge \text{Src } t = a1\}$ 
have  $\text{is-Lam } t$ 
  using  $a1 t$  by auto
hence  $\text{un-Lam } t \in \{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\}$ 
  using  $\text{is-Lam-def } t$  by force
thus  $t \in \text{Lam} \{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\}$ 
  by (metis  $\langle \text{is-Lam } t \rangle \text{ lambda-collapse}(2)$  rev-image-eqI)
qed
qed
moreover have  $\text{inj } \text{Lam}$ 
  using  $\text{inj-on-def}$  by blast
ultimately have  $\text{finite } \{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\}$ 
  by (metis (mono-tags, lifting) Ide.simps(4)  $a$  finite-imageD ind1 injD inj-onI)
moreover have  $\text{finite } \{t2. \text{Arr } t2 \wedge \text{Src } t2 = a2\}$ 
  using Ide.simps(4)  $a$  ind2 by blast
ultimately
show  $\text{finite } (\{t1. \text{Arr } t1 \wedge \text{Src } t1 = \text{un-Lam } a1\} \times \{t2. \text{Arr } t2 \wedge \text{Src } t2 = a2\})$ 
  by blast
qed
ultimately show  $\text{finite } \{t. \text{Arr } t \wedge \text{Src } t = a1 \circ a2\}$ 
  using  $a$  ind1 ind2 by simp
qed

```

### 3.2.3 Residuation and Substitution

We now develop a series of lemmas that involve the interaction of residuation and substitution.

```

lemma Raise-resid:
shows  $t \succsim u \implies \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u$ 
proof –
  let  $?P = \lambda(t, u). \forall k n. t \succsim u \longrightarrow \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u$ 
  have  $\bigwedge t u.$ 
     $\forall t' u'. ((t', u'), (t, u)) \in \text{subterm-pair-rel} \longrightarrow$ 
       $(\forall k n. t' \succsim u' \longrightarrow$ 
         $\text{Raise } k n (t' \setminus u') = \text{Raise } k n t' \setminus \text{Raise } k n u') \implies$ 
         $(\bigwedge k n. t \succsim u \implies \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u)$ 
    using subterm-lemmas Coinitial-iff-Con Coinitial-Raise-Raise Raise-subst by auto
    thus  $t \succsim u \implies \text{Raise } k n (t \setminus u) = \text{Raise } k n t \setminus \text{Raise } k n u$ 
    using wf-subterm-pair-rel wf-induct [of subterm-pair-rel ?P] by blast
  qed

```

```

lemma Con-Raise:
shows  $t \succsim u \implies \text{Raise } d n t \succsim \text{Raise } d n u$ 
  by (metis Raise-not-Nil Raise-resid)

```

The following is Huet’s Commutation Theorem [7]: “substitution commutes with residuation”.

```

lemma resid-Subst:

```

**assumes**  $t \sim t'$  **and**  $u \sim u'$   
**shows**  $\text{Subst } n \ t \ u \setminus \text{Subst } n \ t' \ u' = \text{Subst } n \ (t \setminus t') \ (u \setminus u')$   
**proof** –  
**let**  $?P = \lambda(u, u'). \forall n \ t \ t'. t \sim t' \wedge u \sim u' \rightarrow$   
 $\text{Subst } n \ t \ u \setminus \text{Subst } n \ t' \ u' = \text{Subst } n \ (t \setminus t') \ (u \setminus u')$   
**have**  $\bigwedge u \ u'. \forall w \ w'. ((w, w'), (u, u')) \in \text{subterm-pair-rel} \rightarrow$   
 $(\forall n \ v \ v'. v \sim v' \wedge w \sim w' \rightarrow$   
 $\text{Subst } n \ v \ w \setminus \text{Subst } n \ v' \ w' = \text{Subst } n \ (v \setminus v') \ (w \setminus w')) \Rightarrow$   
 $\forall n \ t \ t'. t \sim t' \wedge u \sim u' \rightarrow$   
 $\text{Subst } n \ t \ u \setminus \text{Subst } n \ t' \ u' = \text{Subst } n \ (t \setminus t') \ (u \setminus u')$   
**using** *subterm-lemmas* *Raise-resid* *Subst-not-Nil* *Con-Raise* *Raise-subst* *substitution-lemma*  
**by auto**  
**thus**  $?thesis$   
**using** *assms* *wf-subterm-pair-rel* *wf-induct* [*of subterm-pair-rel*  $?P$ ] **by auto**  
**qed**

**lemma** *Trg-Subst* [*simp*]:  
**shows**  $\llbracket \text{Arr } t; \text{Arr } u \rrbracket \Rightarrow \text{Trg} (\text{Subst } d \ t \ u) = \text{Subst } d \ (\text{Trg } t) \ (\text{Trg } u)$   
**by** (*metis Arr-Subst Arr-Trg Arr-not-Nil resid-Arr-self resid-Subst*)

**lemma** *Src-resid*:  
**shows**  $t \sim u \Rightarrow \text{Src} (t \setminus u) = \text{Trg } u$   
**proof** (*induct*  $u$  *arbitrary*:  $t$ , *auto simp add*: *Arr-resid*)  
**fix**  $t \ t1'$   
**show**  $\bigwedge t2'. \llbracket \bigwedge t1. t1 \sim t1' \Rightarrow \text{Src} (t1 \setminus t1') = \text{Trg } t1';$   
 $\bigwedge t2. t2 \sim t2' \Rightarrow \text{Src} (t2 \setminus t2') = \text{Trg } t2';$   
 $t \sim t1' \circ t2' \rrbracket$   
 $\Rightarrow \text{Src} (t \setminus (t1' \circ t2')) = \text{Trg } t1' \circ \text{Trg } t2'$   
**apply** (*cases*  $t$ ; *cases*  $t1'$ )  
**apply** *auto*  
**by** (*metis Src.simps(3) lambda.distinct(3) lambda.sel(2) resid.simps(2)*)

**qed**

**lemma** *Coinitial-resid-resid*:  
**assumes**  $t \sim v$  **and**  $u \sim v$   
**shows**  $\text{Coinitial} (t \setminus v) (u \setminus v)$   
**using** *assms* *Src-resid* *Arr-resid* *Coinitial-iff-Con* **by presburger**

**lemma** *Con-implies-is-Lam-iff-is-Lam*:  
**assumes**  $t \sim u$   
**shows** *is-Lam*  $t \longleftrightarrow$  *is-Lam*  $u$   
**using** *assms* **by auto**

**lemma** *Con-implies-Coinitial3*:  
**assumes**  $t \setminus v \sim u \setminus v$   
**shows**  $\text{Coinitial } v \ u$  **and**  $\text{Coinitial } v \ t$  **and**  $\text{Coinitial } u \ t$   
**using** *assms*  
**by** (*metis Coinitial-iff-Con resid.simps(7)*)+

We can now prove Lévy’s “Cube Lemma” [8], which is the key axiom for a residuated

transition system.

```

lemma Cube:
shows  $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
proof -
  let ?P =  $\lambda(t, u, v). v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
  have  $\bigwedge t u v.$ 
     $\forall t' u' v'.$ 
       $((t', u', v'), (t, u, v)) \in \text{subterm-triple-rel} \implies ?P(t', u', v') \implies$ 
         $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
proof -
  fix  $t u v$ 
  assume ind:  $\forall t' u' v'.$ 
     $((t', u', v'), (t, u, v)) \in \text{subterm-triple-rel} \implies ?P(t', u', v')$ 
  show  $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
  proof (intro impI)
    assume con:  $v \setminus t \sim u \setminus t$ 
    have Con v t
      using con by auto
    moreover have Con u t
      using con by auto
    ultimately show  $(v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
    using subterm-lemmas ind Coinitial-iff-Con Coinitial-resid-resid resid-Subst
    apply (elim ConE [of v t] ConE [of u t])
      apply simp-all
      apply metis
      apply metis
      apply (cases un-App1 t; cases un-App1 v, simp-all)
      apply metis
      apply metis
      apply metis
      apply metis
      apply metis
      apply metis
      apply (cases un-App1 u, simp-all)
      apply metis
      by metis
    qed
  qed
  hence ?P (t, u, v)
  using wf-subterm-triple-rel wf-induct [of subterm-triple-rel ?P] by blast
  thus  $v \setminus t \sim u \setminus t \implies (v \setminus t) \setminus (u \setminus t) = (v \setminus u) \setminus (t \setminus u)$ 
    by simp
  qed

```

### 3.2.4 Residuation Determines an RTS

We are now in a position to verify that the residuation operation that we have defined satisfies the axioms for a residuated transition system, and that various notions which we have defined syntactically above (*e.g.* arrow, source, target) agree with the versions derived abstractly from residuation.

```

sublocale partial-magma resid
  apply unfold-locales
  by (metis Arr.simps(1) Coinitial-iff-Con)

lemma null-char [simp]:
shows null = ¤
  using null-def
  by (metis null-is-zero(2) resid.simps(7))

sublocale residuation resid
  using null-char Arr-resid Coinitial-iff-Con Cube
  apply (unfold-locales, auto)
  by metis+

notation resid (infix ` \` 70)

lemma resid-is-residuation:
shows residuation resid
..

lemma arr-char [iff]:
shows arr t ↔ Arr t
  using Coinitial-iff-Con arr-def con-def null-char by auto

lemma ide-char [iff]:
shows ide t ↔ Ide t
  by (metis Ide-iff-Trg-self Ide-implies-Arr arr-char arr-resid-iff-con ide-def
      resid-Arr-self)

lemma resid-Arr-Ide:
shows [ Ide a; Coinitial t a ] ==> t \ a = t
  using Ide-iff-Src-self
  by (induct t arbitrary: a, auto)

lemma resid-Ide-Arr:
shows [ Ide a; Coinitial a t ] ==> Ide (a \ t)
  by (metis Coinitial-resid-resid ConI Ide-iff-Trg-self cube resid-Arr-Ide resid-Arr-self)

lemma resid-Arr-Src [simp]:
assumes Arr t
shows t \ Src t = t
  using assms Ide-Src
  by (simp add: Ide-implies-Arr resid-Arr-Ide)

lemma resid-Src-Arr [simp]:
assumes Arr t
shows Src t \ t = Trg t
  using assms

```

**by** (metis (full-types) Con-*Arr-Src*(2) Con-implies-*Arr1 Src-Src Src-resid cube resid-*Arr-Src resid-*Arr-self**)*

**sublocale** *rts resid*

**proof**

show  $\bigwedge a t. \llbracket \text{ide } a; \text{con } t \ a \rrbracket \implies t \setminus a = t$

using ide-char resid-*Arr-Ide*

by (metis Coinitial-iff-Con con-def null-char)

show  $\bigwedge t. \text{arr } t \implies \text{ide} (\text{trg } t)$

by (simp add: Ide-Trg resid-*Arr-self* trg-def)

show  $\bigwedge a t. \llbracket \text{ide } a; \text{con } a \ t \rrbracket \implies \text{ide} (\text{resid } a \ t)$

using ide-char null-char resid-*Ide-Arr* Coinitial-iff-Con con-def by force

show  $\bigwedge t u. \text{con } t \ u \implies \exists a. \text{ide } a \wedge \text{con } a \ t \wedge \text{con } a \ u$

by (metis Coinitial-iff-Con Ide-*Src* Ide-iff-*Src-self* Ide-implies-*Arr* con-def ide-char null-char)

show  $\bigwedge t u v. \llbracket \text{ide } (\text{resid } t \ u); \text{con } u \ v \rrbracket \implies \text{con } (\text{resid } t \ u) (\text{resid } v \ u)$

by (metis Coinitial-resid-resid ide-char not-arr-null null-char resid-*Ide-Arr* con-def con-sym ide-implies-arr)

**qed**

**lemma** *is-rts*:

**shows** *rts resid*

..

**lemma** *sources-char<sub>Λ</sub>*:

**shows** *sources t* = (if *Arr t* then {*Src t*} else {})

**proof** (*cases Arr t*)

show  $\neg \text{Arr } t \implies ?\text{thesis}$

using arr-char arr-iff-has-source by auto

assume *t*: *Arr t*

have 1: {*Src t*} ⊆ *sources t*

using *t* Ide-*Src* by force

moreover have *sources t* ⊆ {*Src t*}

by (metis Coinitial-iff-Con Ide-iff-*Src-self* ide-char in-sourcesE null-char con-def singleton-iff subsetI)

ultimately show ?thesis

using *t* by auto

**qed**

**lemma** *sources-simp* [simp]:

**assumes** *Arr t*

**shows** *sources t* = {*Src t*}

using assms *sources-char<sub>Λ</sub>* by auto

**lemma** *sources-simps* [simp]:

**shows** *sources #* = {}

and *sources «x»* = {«x»}

and *arr t*  $\implies$  *sources λ[t] = {λ[Src t]}*

and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{sources } (t \circ u) = \{\text{Src } t \circ \text{Src } u\}$

and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{sources } (\lambda[t] \bullet u) = \{\lambda[\text{Src } t] \circ \text{Src } u\}$   
**using**  $\text{sources-}char_{\Lambda}$  **by** *auto*

```

lemma targets-charΛ:
shows targets  $t = (\text{if } \text{Arr } t \text{ then } \{\text{Trg } t\} \text{ else } \{\})$ 
proof (cases  $\text{Arr } t$ )
  show  $\neg \text{Arr } t \implies ?\text{thesis}$ 
    by (meson arr-char arr-iff-has-target)
  assume  $t : \text{Arr } t$ 
  have 1:  $\{\text{Trg } t\} \subseteq \text{targets } t$ 
    using  $t \text{ resid-}\text{Arr}\text{-self } \text{trg-def } \text{trg-in-targets}$  by force
  moreover have targets  $t \subseteq \{\text{Trg } t\}$ 
    by (metis 1 Ide-iff-Src-self arr-char ide-char ide-implies-arr
      in-targetsE insert-subset prfx-implies-con resid-Arr-self
      sources-resid sources-simp t)
  ultimately show  $??\text{thesis}$ 
    using  $t$  by auto
qed

lemma targets-simp [simp]:
assumes  $\text{Arr } t$ 
shows targets  $t = \{\text{Trg } t\}$ 
using assms targets-charΛ by auto

lemma targets-simps [simp]:
shows targets  $\# = \{\}$ 
and targets  $\langle\!\langle x \rangle\!\rangle = \{\langle\!\langle x \rangle\!\rangle\}$ 
and  $\text{arr } t \implies \text{targets } \lambda[t] = \{\lambda[\text{Trg } t]\}$ 
and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{targets } (t \circ u) = \{\text{Trg } t \circ \text{Trg } u\}$ 
and  $\llbracket \text{arr } t; \text{arr } u \rrbracket \implies \text{targets } (\lambda[t] \bullet u) = \{\text{subst } (\text{Trg } u) (\text{Trg } t)\}$ 
using targets-charΛ by auto

lemma seq-char:
shows  $\text{seq } t \ u \longleftrightarrow \text{Arr } t \wedge \text{Arr } u \wedge \text{Trg } t = \text{Src } u$ 
using seq-def arr-char sources-charΛ targets-charΛ by force

lemma seqIΛ [intro, simp]:
assumes  $\text{Arr } t \text{ and } \text{Arr } u \text{ and } \text{Trg } t = \text{Src } u$ 
shows seq  $t \ u$ 
using assms seq-char by simp

lemma seqEΛ [elim]:
assumes seq  $t \ u$ 
and  $\llbracket \text{Arr } t; \text{Arr } u; \text{Trg } t = \text{Src } u \rrbracket \implies T$ 
shows  $T$ 
using assms seq-char by blast

```

The following classifies the ways that transitions can be sequential. It is useful for later proofs by case analysis.

```

lemma seq-cases:
assumes seq t u
shows (is-Var t ∧ is-Var u) ∨
      (is-Lam t ∧ is-Lam u) ∨
      (is-App t ∧ is-App u) ∨
      (is-App t ∧ is-Beta u ∧ is-Lam (un-App1 t)) ∨
      (is-App t ∧ is-Beta u ∧ is-Beta (un-App1 t)) ∨
      is-Beta t
using assms seq-char
by (cases t; cases u) auto

sublocale confluent-rts resid
  by (unfold-locales) fastforce

lemma is-confluent-rts:
shows confluent-rts resid
..

lemma con-char [iff]:
shows con t u ↔ Con t u
  by fastforce

lemma coinitial-char [iff]:
shows coinitial t u ↔ Coinitial t u
  by fastforce

lemma sources-Raise:
assumes Arr t
shows sources (Raise d n t) = {Raise d n (Src t)}
  using assms
  by (simp add: Coinitial-Raise-Raise Src-Raise)

lemma targets-Raise:
assumes Arr t
shows targets (Raise d n t) = {Raise d n (Trg t)}
  using assms
  by (metis Arr-Raise ConI Raise-resid resid-Arr-self targets-char_Λ)

lemma sources-subst [simp]:
assumes Arr t and Arr u
shows sources (subst t u) = {subst (Src t) (Src u)}
  using assms sources-char_Λ Arr-Subst arr-char by simp

lemma targets-subst [simp]:
assumes Arr t and Arr u
shows targets (subst t u) = {subst (Trg t) (Trg u)}
  using assms targets-char_Λ Arr-Subst arr-char by simp

notation prfx (infix <= 50)

```

```

notation cong (infix  $\sim\!\sim$  50)

lemma prfx-char iff:
shows  $t \lesssim u \longleftrightarrow \text{Ide}(t \setminus u)$ 
using ide-char by simp

lemma prfx-Var-iff:
shows  $u \lesssim \langle\langle i \rangle\rangle \longleftrightarrow u = \langle i \rangle$ 
by (metis Arr.simps(2) Coinitial-iff-Con Ide.simps(1) Ide-iff-Src-self Src.simps(2)
ide-char resid-Arr-Ide)

lemma prfx-Lam-iff:
shows  $u \lesssim \text{Lam } t \longleftrightarrow \text{is-Lam } u \wedge \text{un-Lam } u \lesssim t$ 
using ide-char Arr-not-Nil Con-implies-is-Lam-iff-is-Lam Ide-implies-Arr is-Lam-def
by fastforce

lemma prfx-App-iff:
shows  $u \lesssim t1 \circ t2 \longleftrightarrow \text{is-App } u \wedge \text{un-App1 } u \lesssim t1 \wedge \text{un-App2 } u \lesssim t2$ 
using ide-char
by (cases u; cases t1) auto

lemma prfx-Beta-iff:
shows  $u \lesssim \lambda[t1] \bullet t2 \longleftrightarrow$ 
 $(\text{is-App } u \wedge \text{un-App1 } u \lesssim \lambda[t1] \wedge \text{un-App2 } u \succ t2 \wedge$ 
 $(0 \in FV(\text{un-Lam } (\text{un-App1 } u) \setminus t1) \longrightarrow \text{un-App2 } u \lesssim t2)) \vee$ 
 $(\text{is-Beta } u \wedge \text{un-Beta1 } u \lesssim t1 \wedge \text{un-Beta2 } u \succ t2 \wedge$ 
 $(0 \in FV(\text{un-Beta1 } u \setminus t1) \longrightarrow \text{un-Beta2 } u \lesssim t2))$ 
using ide-char Ide-Subst-iff
by (cases u; cases un-App1 u) auto

lemma cong-Ide-are-eq:
assumes t ~ u and Ide t and Ide u
shows t = u
using assms
by (metis Coinitial-iff-Con Ide-iff-Src-self con-char prfx-implies-con)

lemma eq-Ide-are-cong:
assumes t = u and Ide t
shows t ~ u
using assms Ide-implies-Arr resid-Ide-Arr by blast

sublocale weakly-extensional-rts resid
apply unfold-locales
by (metis Coinitial-iff-Con Ide-iff-Src-self Ide-implies-Arr ide-char ide-def)

lemma is-weakly-extensional-rts:
shows weakly-extensional-rts resid
..

```

```

lemma src-char [simp]:
shows src t = (if Arr t then Src t else #)
  using src-def by force

```

```

lemma trg-char [simp]:
shows trg t = (if Arr t then Trg t else #)
  by (metis Coinitial-iff-Con resid-Arr-self trg-def)

```

We “almost” have an extensional RTS. The case that fails is  $\lambda[t1] \bullet t2 \sim u \implies \lambda[t1]$

- $t2 = u$ . This is because  $t1$  might ignore its argument, so that  $\text{subst } t2 \ t1 = \text{subst } t2' \ t1$ , with both sides being identities, even if  $t2 \neq t2'$ .

The following gives a concrete example of such a situation.

```

abbreviation non-extensional-ex1
where non-extensional-ex1 ≡  $\lambda[\lambda[«0»] \circ \lambda[«0»]] \bullet (\lambda[«0»] \bullet \lambda[«0»])$ 

```

```

abbreviation non-extensional-ex2
where non-extensional-ex2 ≡  $\lambda[\lambda[«0»] \circ \lambda[«0»]] \bullet (\lambda[«0»] \circ \lambda[«0»])$ 

```

```

lemma non-extensional:
shows  $\lambda[«1»] \bullet \text{non-extensional-ex1} \sim \lambda[«1»] \bullet \text{non-extensional-ex2}$ 
and  $\lambda[«1»] \bullet \text{non-extensional-ex1} \neq \lambda[«1»] \bullet \text{non-extensional-ex2}$ 
  by auto

```

The following gives an example of two terms that are both coinitial and coterminal, but which are not congruent.

```

abbreviation cong-nontrivial-ex1
where cong-nontrivial-ex1 ≡
   $\lambda[«0» \circ «0»] \circ \lambda[«0» \circ «0»] \circ (\lambda[«0» \circ «0»] \bullet \lambda[«0» \circ «0»])$ 

```

```

abbreviation cong-nontrivial-ex2
where cong-nontrivial-ex2 ≡
   $\lambda[«0» \circ «0»] \bullet \lambda[«0» \circ «0»] \circ (\lambda[«0» \circ «0»] \circ \lambda[«0» \circ «0»])$ 

```

```

lemma cong-nontrivial:
shows coinitial cong-nontrivial-ex1 cong-nontrivial-ex2
and coterminal cong-nontrivial-ex1 cong-nontrivial-ex2
and  $\neg \text{cong cong-nontrivial-ex1 cong-nontrivial-ex2}$ 
  by auto

```

Every two coinitial transitions have a join, obtained structurally by unioning the sets of marked redexes.

```

fun Join (infix  $\sqcup$  52)
where «x»  $\sqcup$  «x'» = (if  $x = x'$  then «x» else #)
  |  $\lambda[t] \sqcup \lambda[t'] = \lambda[t \sqcup t']$ 
  |  $\lambda[t] \circ u \sqcup \lambda[t'] \bullet u' = \lambda[(t \sqcup t')] \bullet (u \sqcup u')$ 
  |  $\lambda[t] \bullet u \sqcup \lambda[t'] \circ u' = \lambda[(t \sqcup t')] \bullet (u \sqcup u')$ 
  |  $t \circ u \sqcup t' \circ u' = (t \sqcup t') \circ (u \sqcup u')$ 
  |  $\lambda[t] \bullet u \sqcup \lambda[t'] \bullet u' = \lambda[(t \sqcup t')] \bullet (u \sqcup u')$ 
  |  $- \sqcup - = #$ 

```

```

lemma Join-sym:
shows  $t \sqcup u = u \sqcup t$ 
using Join.induct [of  $\lambda t u. t \sqcup u = u \sqcup t$ ] by auto

lemma Src-Join:
shows Coinitial  $t u \implies \text{Src} (t \sqcup u) = \text{Src} t$ 
proof (induct t arbitrary: u)
  show  $\bigwedge u. \text{Coinitial } \sharp u \implies \text{Src} (\sharp \sqcup u) = \text{Src} \sharp$ 
    by simp
  show  $\bigwedge x u. \text{Coinitial } \langle\!\langle x \rangle\!\rangle u \implies \text{Src} (\langle\!\langle x \rangle\!\rangle \sqcup u) = \text{Src} \langle\!\langle x \rangle\!\rangle$ 
    by auto
  fix t u
  assume ind:  $\bigwedge u. \text{Coinitial } t u \implies \text{Src} (t \sqcup u) = \text{Src} t$ 
  assume tu: Coinitial  $\lambda[t] u$ 
  show  $\text{Src} (\lambda[t] \sqcup u) = \text{Src} \lambda[t]$ 
    using tu ind
    by (cases u) auto
  next
  fix t1 t2 u
  assume ind1:  $\bigwedge u_1. \text{Coinitial } t1 u_1 \implies \text{Src} (t1 \sqcup u_1) = \text{Src} t1$ 
  assume ind2:  $\bigwedge u_2. \text{Coinitial } t2 u_2 \implies \text{Src} (t2 \sqcup u_2) = \text{Src} t2$ 
  assume tu: Coinitial  $(t1 \circ t2) u$ 
  show  $\text{Src} (t1 \circ t2 \sqcup u) = \text{Src} (t1 \circ t2)$ 
    using tu ind1 ind2
    apply (cases u, simp-all)
    apply (cases t1, simp-all)
    by (metis Arr.simps(3) Join.simps(2) Src.simps(3) lambda.sel(2))
  next
  fix t1 t2 u
  assume ind1:  $\bigwedge u_1. \text{Coinitial } t1 u_1 \implies \text{Src} (t1 \sqcup u_1) = \text{Src} t1$ 
  assume ind2:  $\bigwedge u_2. \text{Coinitial } t2 u_2 \implies \text{Src} (t2 \sqcup u_2) = \text{Src} t2$ 
  assume tu: Coinitial  $(\lambda[t1] \bullet t2) u$ 
  show  $\text{Src} ((\lambda[t1] \bullet t2) \sqcup u) = \text{Src} (\lambda[t1] \bullet t2)$ 
    using tu ind1 ind2
    apply (cases u, simp-all)
    by (cases un-App1 u) auto
qed

lemma resid-Join:
shows Coinitial  $t u \implies (t \sqcup u) \setminus u = t \setminus u$ 
proof (induct t arbitrary: u)
  show  $\bigwedge u. \text{Coinitial } \sharp u \implies (\sharp \sqcup u) \setminus u = \sharp \setminus u$ 
    by auto
  show  $\bigwedge x u. \text{Coinitial } \langle\!\langle x \rangle\!\rangle u \implies (\langle\!\langle x \rangle\!\rangle \sqcup u) \setminus u = \langle\!\langle x \rangle\!\rangle \setminus u$ 
    by auto
  fix t u
  assume ind:  $\bigwedge u. \text{Coinitial } t u \implies (t \sqcup u) \setminus u = t \setminus u$ 
  assume tu: Coinitial  $\lambda[t] u$ 

```

```

show  $(\lambda[t] \sqcup u) \setminus u = \lambda[t] \setminus u$ 
  using tu ind
  by (cases u) auto
next
fix t1 t2 u
assume ind1:  $\bigwedge u_1. \text{Coinitial } t1 u_1 \implies (t1 \sqcup u_1) \setminus u_1 = t1 \setminus u_1$ 
assume ind2:  $\bigwedge u_2. \text{Cointial } t2 u_2 \implies (t2 \sqcup u_2) \setminus u_2 = t2 \setminus u_2$ 
assume tu:  $\text{Cointial } (t1 \circ t2) u$ 
show  $(t1 \circ t2 \sqcup u) \setminus u = (t1 \circ t2) \setminus u$ 
  using tu ind1 ind2 Cointial-iff-Con
  apply (cases u, simp-all)
proof -
  fix u1 u2
  assume u:  $u = \lambda[u_1] \bullet u_2$ 
  have t2u2:  $t2 \sim u_2$ 
    using Arr-not-Nil Arr-resid tu u by simp
  have t1u1:  $\text{Cointial } (\text{un-Lam } t1 \sqcup u_1) u_1$ 
  proof -
    have Arr (un-Lam t1  $\sqcup u_1$ )
      by (metis Arr.simps(3-5) Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam
           Join.simps(2) Src.simps(3-5) ind1 lambda.collapse(2) lambda.disc(8)
           lambda.sel(3) tu u)
    thus ?thesis
      using Src-Join
      by (metis Arr.simps(3-5) Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam
           Src.simps(3-5) lambda.collapse(2) lambda.disc(8) lambda.sel(2-3) tu u)
  qed
  have un-Lam t1  $\sim u_1$ 
    using t1u1
    by (metis Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam ConD(4) lambda.collapse(2)
         lambda.disc(8) resid.simps(2) tu u)
  thus  $(t1 \circ t2 \sqcup \lambda[u_1] \bullet u_2) \setminus (\lambda[u_1] \bullet u_2) = (t1 \circ t2) \setminus (\lambda[u_1] \bullet u_2)$ 
    using u tu t1u1 t2u2 ind1 ind2
    apply (cases t1, auto)
  proof -
    fix v
    assume v:  $t1 = \lambda[v]$ 
    show subst  $(t2 \setminus u_2) ((v \sqcup u_1) \setminus u_1) = \text{subst } (t2 \setminus u_2) (v \setminus u_1)$ 
    proof -
      have subst  $(t2 \setminus u_2) ((v \sqcup u_1) \setminus u_1) = (t1 \circ t2 \sqcup \lambda[u_1] \bullet u_2) \setminus (\lambda[u_1] \bullet u_2)$ 
        by (simp add: Cointial-iff-Con ind2 t2u2 v)
      also have ... =  $(t1 \circ t2) \setminus (\lambda[u_1] \bullet u_2)$ 
      proof -
        have  $(t1 \circ t2 \sqcup \lambda[u_1] \bullet u_2) \setminus (\lambda[u_1] \bullet u_2) =$ 
           $(\lambda[(v \sqcup u_1)] \bullet (t2 \sqcup u_2)) \setminus (\lambda[u_1] \bullet u_2)$ 
        using v by simp
      also have ... = subst  $(t2 \setminus u_2) ((v \sqcup u_1) \setminus u_1)$ 
        by (simp add: Cointial-iff-Con ind2 t2u2)
      also have ... = subst  $(t2 \setminus u_2) (v \setminus u_1)$ 
    qed
  qed

```

```

proof -
  have  $(t1 \sqcup \lambda[u1]) \setminus \lambda[u1] = t1 \setminus \lambda[u1]$ 
    using  $u$   $tu$   $ind1$  by simp
    thus  $?thesis$ 
      using  $\langle un-Lam$   $t1 \setminus u1 \neq \sharp t1u1 v$  by force
  qed
  also have  $\dots = (t1 \circ t2) \setminus (\lambda[u1] \bullet u2)$ 
    using  $tu$   $u$   $v$  by force
    finally show  $?thesis$  by blast
  qed
  also have  $\dots = subst(t2 \setminus u2)(v \setminus u1)$ 
    by (simp add:  $t2u2 v$ )
    finally show  $?thesis$  by auto
  qed
  qed
  qed
  next
  fix  $t1$   $t2$   $u$ 
  assume  $ind1: \bigwedge u1. Coinitial t1 u1 \implies (t1 \sqcup u1) \setminus u1 = t1 \setminus u1$ 
  assume  $ind2: \bigwedge u2. Coinitial t2 u2 \implies (t2 \sqcup u2) \setminus u2 = t2 \setminus u2$ 
  assume  $tu: Coinitial (\lambda[t1] \bullet t2) u$ 
  show  $((\lambda[t1] \bullet t2) \sqcup u) \setminus u = (\lambda[t1] \bullet t2) \setminus u$ 
    using  $tu$   $ind1$   $ind2$  Coinitial-iff-Con
    apply (cases  $u$ , simp-all)
  proof -
    fix  $u1$   $u2$ 
    assume  $u: u = u1 \circ u2$ 
    show  $(\lambda[t1] \bullet t2 \sqcup u1 \circ u2) \setminus (u1 \circ u2) = (\lambda[t1] \bullet t2) \setminus (u1 \circ u2)$ 
      using  $ind1$   $ind2$   $tu$   $u$ 
      by (cases  $u1$ ) auto
  qed
  qed

lemma prfx-Join:
shows  $Coinitial t u \implies u \lesssim t \sqcup u$ 
proof (induct  $t$  arbitrary:  $u$ )
  show  $\bigwedge u. Coinitial \sharp u \implies u \lesssim \sharp \sqcup u$ 
    by simp
  show  $\bigwedge x u. Coinitial \langle\langle x\rangle\rangle u \implies u \lesssim \langle\langle x\rangle\rangle \sqcup u$ 
    by auto
  fix  $t u$ 
  assume  $ind: \bigwedge u. Coinitial t u \implies u \lesssim t \sqcup u$ 
  assume  $tu: Coinitial \lambda[t] u$ 
  show  $u \lesssim \lambda[t] \sqcup u$ 
    using  $tu$   $ind$ 
    apply (cases  $u$ , auto)
    by force
  next
  fix  $t1$   $t2$   $u$ 

```

```

assume ind1:  $\bigwedge u_1. \text{Coinitial } t_1 u_1 \implies u_1 \lesssim t_1 \sqcup u_1$ 
assume ind2:  $\bigwedge u_2. \text{Cointial } t_2 u_2 \implies u_2 \lesssim t_2 \sqcup u_2$ 
assume tu:  $\text{Cointial } (t_1 \circ t_2) u$ 
show  $u \lesssim t_1 \circ t_2 \sqcup u$ 
  using tu ind1 ind2 Cointial-iff-Con
  apply (cases u, simp-all)
  apply (metis Ide.simps(1))
proof -
  fix u1 u2
  assume u:  $u = \lambda[u_1] \bullet u_2$ 
  assume 1:  $\text{Arr } t_1 \wedge \text{Arr } t_2 \wedge \text{Arr } u_1 \wedge \text{Arr } u_2 \wedge \text{Src } t_1 = \lambda[\text{Src } u_1] \wedge \text{Src } t_2 = \text{Src } u_2$ 
  have 2:  $u_1 \sim \text{un-Lam } t_1 \sqcup u_1$ 
    by (metis 1 Cointial-iff-Con Con-implies-is-Lam-iff-is-Lam Con-Arr-Src(2)
      lambda.collapse(2) lambda.disc(8) resid.simps(2) resid-Join)
  have 3:  $u_2 \sim t_2 \sqcup u_2$ 
    by (metis 1 cone ind2 null-char prfx-implies-con)
  show Ide (( $\lambda[u_1] \bullet u_2$ ) \ (t1 o t2 \sqcup  $\lambda[u_1] \bullet u_2$ ))
    using u tu 1 2 3 ind1 ind2
    apply (cases t1, simp-all)
  by (metis Arr.simps(3) Ide.simps(3) Ide-Subst Join.simps(2) Src.simps(3) resid.simps(2))
  qed
next
  fix t1 t2 u
  assume ind1:  $\bigwedge u_1. \text{Cointial } t_1 u_1 \implies u_1 \lesssim t_1 \sqcup u_1$ 
  assume ind2:  $\bigwedge u_2. \text{Cointial } t_2 u_2 \implies u_2 \lesssim t_2 \sqcup u_2$ 
  assume tu:  $\text{Cointial } (\lambda[t_1] \bullet t_2) u$ 
  show  $u \lesssim (\lambda[t_1] \bullet t_2) \sqcup u$ 
    using tu ind1 ind2 Cointial-iff-Con
    apply (cases u, simp-all)
    apply (cases un-App1 u, simp-all)
    by (metis Ide.simps(1) Ide-Subst) +
qed

lemma Ide-resid-Join:
shows  $\text{Cointial } t u \implies \text{Ide } (u \setminus (t \sqcup u))$ 
  using ide-char prfx-Join by blast

lemma join-of-Join:
assumes  $\text{Cointial } t u$ 
shows  $\text{join-of } t u \ (t \sqcup u)$ 
proof (unfold join-of-def composite-of-def, intro conjI)
  show  $t \lesssim t \sqcup u$ 
    using assms Join-sym prfx-Join [of u t] by simp
  show  $u \lesssim t \sqcup u$ 
    using assms Ide-resid-Join ide-char by simp
  show  $(t \sqcup u) \setminus t \lesssim u \setminus t$ 
    by (metis <prfx u (Join t u) arr-char assms cong-subst-right(2) prfx-implies-con
      prfx-reflexive resid-Join con-sym cube)
  show  $u \setminus t \lesssim (t \sqcup u) \setminus t$ 

```

```

by (metis Coinitial-resid-resid ⟨prfx t (Join t u)⟩ ⟨prfx u (Join t u)⟩ conE ide-char
    null-char prfx-implies-con resid-Ide-Arr cube)
show (t ⊒ u) \ u ⪯ t \ u
  using ⟨(t ⊒ u) \ t ⪯ u \ t⟩ cube by auto
show t \ u ⪯ (t ⊒ u) \ u
  by (metis ⟨(t ⊒ u) \ t ⪯ u \ t⟩ assms cube resid-Join)
qed

sublocale rts-with-joins resid
  using join-of-Join
  apply unfold-locales
  by (metis Coinitial-iff-Con conE joinable-def null-char)

lemma is-rts-with-joins:
shows rts-with-joins resid
..

```

### 3.2.5 Simulations from Syntactic Constructors

Here we show that the syntactic constructors *Lam* and *App*, as well as the substitution operation *subst*, determine simulations. In addition, we show that *Beta* determines a transformation from *App*  $\circ$  (*Lam*  $\times$  *Id*) to *subst*.

```

abbreviation Lamext
where Lamext t ≡ if arr t then  $\lambda[t]$  else  $\sharp$ 

lemma Lam-is-simulation:
shows simulation resid resid Lamext
  using Arr-resid Coinitial-iff-Con
  by unfold-locales auto

interpretation Lam: simulation resid resid Lamext
  using Lam-is-simulation by simp

interpretation  $\Lambda x \Lambda$ : product-of-weakly-extensional-rts resid resid
..
abbreviation Appext
where Appext t ≡ if  $\Lambda x \Lambda$ .arr t then fst t  $\circ$  snd t else  $\sharp$ 

lemma App-is-binary-simulation:
shows binary-simulation resid resid resid Appext
proof
  show  $\bigwedge t. \neg \Lambda x \Lambda$ .arr t  $\implies$  Appext t = null
    by auto
  show  $\bigwedge t u. \Lambda x \Lambda$ .con t u  $\implies$  con (Appext t) (Appext u)
    using  $\Lambda x \Lambda$ .con-char Coinitial-iff-Con by auto
  show  $\bigwedge t u. \Lambda x \Lambda$ .con t u  $\implies$  Appext ( $\Lambda x \Lambda$ .resid t u) = Appext t \ Appext u
    using  $\Lambda x \Lambda$ .arr-char  $\Lambda x \Lambda$ .resid-def
    apply simp

```

```

by (metis Arr-resid Con-implies-Arr1 Con-implies-Arr2)
qed

interpretation App: binary-simulation resid resid resid Appext
  using App-is-binary-simulation by simp

abbreviation substext
where substext ≡ λt. if ΛxΛ.arr t then subst (snd t) (fst t) else #"

lemma subst-is-binary-simulation:
shows binary-simulation resid resid resid substext
proof
  show ∀t. ¬ ΛxΛ.arr t ⇒ substext t = null
    by auto
  show ∀t u. ΛxΛ.con t u ⇒ con (substext t) (substext u)
    using ΛxΛ.con-char con-char Subst-not-Nil resid-Subst ΛxΛ.coinitialE
      ΛxΛ.con-imp-coinitial
    apply simp
    by metis
  show ∀t u. ΛxΛ.con t u ⇒ substext (ΛxΛ.resid t u) = substext t \ substext u
    using ΛxΛ.arr-char ΛxΛ.resid-def
    apply simp
    by (metis Arr-resid Con-implies-Arr1 Con-implies-Arr2 resid-Subst)
qed

interpretation subst: binary-simulation resid resid resid substext
  using subst-is-binary-simulation by simp

interpretation Id: identity-simulation resid
..
interpretation Lam-Id: product-simulation resid resid resid Lamext Id.map
..
interpretation App-o-Lam-Id: composite-simulation ΛxΛ.resid ΛxΛ.resid resid Lam-Id.map
Appext
..

abbreviation Betaext
where Betaext t ≡ if ΛxΛ.arr t then λ[fst t] • snd t else #"

lemma Beta-is-transformation:
shows transformation ΛxΛ.resid resid App-o-Lam-Id.map substext Betaext
proof
  show ∀a a'. [|ΛxΛ.ide a; ΛxΛ.cong a a'|] ⇒ Betaext a = Betaext a'
    using ΛxΛ.ide-backward-stable ΛxΛ.weak-extensionality by blast
  show ∀f. ¬ ΛxΛ.arr f ⇒ Betaext f = null
    by simp
  show ∀f. ΛxΛ.ide f ⇒ src (Betaext f) = App-o-Lam-Id.map f
    using ΛxΛ.src-char ΛxΛ.src-ide Lam-Id.map-def by force
  show ∀f. ΛxΛ.ide f ⇒ trg (Betaext f) = substext f

```

```

using  $\Lambda x\Lambda.\text{trg-char}$   $\Lambda x\Lambda.\text{trg-ide}$  by force
show  $\bigwedge a f. a \in \Lambda x\Lambda.\text{sources } f \implies$ 
       $\text{Beta}_{\text{ext}} a \setminus \text{App-o-Lam-Id.map } f = \text{Beta}_{\text{ext}} (\Lambda x\Lambda.\text{resid } a f)$ 
proof -
  fix  $a f$ 
  assume  $a \in \Lambda x\Lambda.\text{sources } f$ 
  hence  $f: \Lambda x\Lambda.\text{arr } f \wedge a = \Lambda x\Lambda.\text{src } f$ 
  using  $\Lambda x\Lambda.\text{sources-char}_{WE}$  by simp
  have  $\text{Beta}_{\text{ext}} (\Lambda x\Lambda.\text{src } f) \setminus \text{App-o-Lam-Id.map } f = \text{Beta}_{\text{ext}} (\Lambda x\Lambda.\text{trg } f)$ 
  using  $f \Lambda x\Lambda.\text{src-char}$   $\Lambda x\Lambda.\text{trg-char}$   $\text{Arr-Trg}$   $\text{Arr-not-Nil}$   $\text{Lam-Id.map-def}$  by simp
  thus  $\text{Beta}_{\text{ext}} a \setminus \text{App-o-Lam-Id.map } f = \text{Beta}_{\text{ext}} (\Lambda x\Lambda.\text{resid } a f)$ 
  using  $f$  by auto
qed
show  $\bigwedge a f. a \in \Lambda x\Lambda.\text{sources } f \implies \text{App-o-Lam-Id.map } f \setminus \text{Beta}_{\text{ext}} a = \text{subst}_{\text{ext}} f$ 
  using  $\Lambda x\Lambda.\text{src-char}$   $\Lambda x\Lambda.\text{trg-char}$   $\text{Lam-Id.map-def}$   $\Lambda x\Lambda.\text{sources-char}$  by auto
show  $\bigwedge a f. a \in \Lambda x\Lambda.\text{sources } f \implies \text{join-of} (\text{Beta}_{\text{ext}} a) (\text{App-o-Lam-Id.map } f) (\text{Beta}_{\text{ext}} f)$ 
proof -
  fix  $a f$ 
  assume  $a \in \Lambda x\Lambda.\text{sources } f$ 
  hence  $f: \Lambda x\Lambda.\text{arr } f \wedge a = \Lambda x\Lambda.\text{src } f$ 
  using  $\Lambda x\Lambda.\text{sources-char}_{WE}$  by auto
  show  $\text{join-of} (\text{Beta}_{\text{ext}} a) (\text{App-o-Lam-Id.map } f) (\text{Beta}_{\text{ext}} f)$ 
  proof (intro join-ofI composite-ofI)
    show  $\text{App-o-Lam-Id.map } f \lesssim \text{Beta}_{\text{ext}} f$ 
    using  $f \text{ Lam-Id.map-def Ide-Subst arr-char prfx-char prfx-reflexive}$ 
    by auto
    show  $\text{Beta}_{\text{ext}} f \setminus \text{Beta}_{\text{ext}} a \sim \text{App-o-Lam-Id.map } f \setminus \text{Beta}_{\text{ext}} a$ 
    using  $f \text{ Lam-Id.map-def } \Lambda x\Lambda.\text{src-char}$   $\text{trg-char}$   $\text{trg-def}$   $\Lambda x\Lambda.\text{sources-char}$ 
    apply auto
    by (metis Arr-Subst Ide-Trg)
    show 1:  $\text{Beta}_{\text{ext}} f \setminus \text{App-o-Lam-Id.map } f \sim \text{Beta}_{\text{ext}} a \setminus \text{App-o-Lam-Id.map } f$ 
    using  $f \text{ Lam-Id.map-def Ide-Subst }$   $\Lambda x\Lambda.\text{src-char}$   $\text{Ide-Trg}$   $\text{Arr-resid}$   $\text{Coinitial-iff-Con}$ 
    resid-Arr-self
    apply simp
    by metis
    show  $\text{Beta}_{\text{ext}} a \lesssim \text{Beta}_{\text{ext}} f$ 
    using  $f 1 \text{ Lam-Id.map-def Ide-Subst }$   $\Lambda x\Lambda.\text{src-char}$   $\text{resid-Arr-self}$   $\Lambda x\Lambda.\text{sources-char}$ 
    by auto
  qed
qed
qed
qed

```

The next two results are used to show that mapping App over lists of transitions preserves paths.

```

lemma App-is-simulation1:
assumes ide a
shows simulation resid resid ( $\lambda t. \text{if arr } t \text{ then } t \circ a \text{ else } \sharp$ )
proof -
  have ( $\lambda t. \text{if } \Lambda x\Lambda.\text{arr } (t, a) \text{ then } \text{fst } (t, a) \circ \text{snd } (t, a) \text{ else } \sharp$ ) =

```

```

 $(\lambda t. \text{if } arr t \text{ then } t \circ a \text{ else } \#)$ 
using assms ide-implies-arr by force
thus ?thesis
using assms App.fixing-ide-gives-simulation-0 [of a] by auto
qed

lemma App-is-simulation2:
assumes ide a
shows simulation resid resid  $(\lambda t. \text{if } arr t \text{ then } a \circ t \text{ else } \#)$ 
proof -
  have  $(\lambda t. \text{if } \Lambda x \Lambda. arr (a, t) \text{ then } fst (a, t) \circ snd (a, t) \text{ else } \#) =$ 
     $(\lambda t. \text{if } arr t \text{ then } a \circ t \text{ else } \#)$ 
  using assms ide-implies-arr by force
  thus ?thesis
  using assms App.fixing-ide-gives-simulation-1 [of a] by auto
qed

```

### 3.2.6 Reduction and Conversion

Here we define the usual relations of reduction and conversion. Reduction is the least transitive relation that relates  $a$  to  $b$  if there exists an arrow  $t$  having  $a$  as its source and  $b$  as its target. Conversion is the least transitive relation that relates  $a$  to  $b$  if there exists an arrow  $t$  in either direction between  $a$  and  $b$ .

```

inductive red
where Arr t  $\Longrightarrow$  red (Src t) (Trg t)
  |  $\llbracket$ red a b; red b c $\rrbracket \Longrightarrow$  red a c

inductive cnv
where Arr t  $\Longrightarrow$  cnv (Src t) (Trg t)
  | Arr t  $\Longrightarrow$  cnv (Trg t) (Src t)
  |  $\llbracket$ cnv a b; cnv b c $\rrbracket \Longrightarrow$  cnv a c

lemma cnv-refl:
assumes Ide a
shows cnv a a
using assms
by (metis Ide-iff-Src-self Ide-implies-Arr cnv.simps)

lemma cnv-sym:
shows cnv a b  $\Longrightarrow$  cnv b a
apply (induct rule: cnv.induct)
using cnv.intros(1-2)
apply auto[2]
using cnv.intros(3) by blast

lemma red-imp-cnv:
shows red a b  $\Longrightarrow$  cnv a b
using cnv.intros(1,3) red.inducts by blast

```

**end**

We now define a locale that extends the residuation operation defined above to paths, using general results that have already been shown for paths in an RTS. In particular, we are taking advantage of the general proof of the Cube Lemma for residuation on paths.

Our immediate goal is to prove the Church-Rosser theorem, so we first prove a lemma that connects the reduction relation to paths. Later, we will prove many more facts in this locale, thereby developing a general framework for reasoning about reduction paths in the  $\lambda$ -calculus.

```

locale reduction-paths =
   $\Lambda$ : lambda-calculus
begin

  sublocale  $\Lambda$ : rts  $\Lambda$ .resid
    by (simp add:  $\Lambda$ .is-rts-with-joins rts-with-joins.axioms(1))
  sublocale paths-in-weakly-extensional-rts  $\Lambda$ .resid
    ..
  sublocale paths-in-confluent-rts  $\Lambda$ .resid
    using confluent-rts.axioms(1)  $\Lambda$ .is-confluent-rts paths-in-rts-def
      paths-in-confluent-rts.intro
    by blast

  notation  $\Lambda$ .resid (infix ' $\backslash\backslash$ ' 70)
  notation  $\Lambda$ .con (infix ' $\curvearrowright$ ' 50)
  notation  $\Lambda$ .prfx (infix ' $\lesssim$ ' 50)
  notation  $\Lambda$ .cong (infix ' $\sim$ ' 50)

  notation Resid (infix ' $*\backslash*$ ' 70)
  notation Resid1x (infix ' $^1\backslash*$ ' 70)
  notation Residx1 (infix ' $*\backslash^1$ ' 70)
  notation con (infix ' $*\curvearrowleft*$ ' 50)
  notation prfx (infix ' $*\lesssim*$ ' 50)
  notation cong (infix ' $*\sim*$ ' 50)

  lemma red-iff:
  shows  $\Lambda$ .red  $a$   $b \longleftrightarrow (\exists T. Arr T \wedge Src T = a \wedge Trg T = b)$ 
  proof
    show  $\Lambda$ .red  $a$   $b \Longrightarrow \exists T. Arr T \wedge Src T = a \wedge Trg T = b$ 
    proof (induct rule:  $\Lambda$ .red.induct)
      show  $\bigwedge t. \Lambda$ .Arr  $t \Longrightarrow \exists T. Arr T \wedge Src T = \Lambda$ .Src  $t \wedge Trg T = \Lambda$ .Trg  $t$ 
        by (metis Arr.simps(2) Srcs.simps(2) Srcs-simpPWE Trg.simps(2)  $\Lambda$ .trg-def
           $\Lambda$ .arr-char  $\Lambda$ .resid-Arr-self  $\Lambda$ .sources-char $\Lambda$  singleton-insert-inj-eq')
      show  $\bigwedge a b c. [\exists T. Arr T \wedge Src T = a \wedge Trg T = b;$ 
         $\exists T. Arr T \wedge Src T = b \wedge Trg T = c] \Longrightarrow \exists T. Arr T \wedge Src T = a \wedge Trg T = c$ 
        by (metis Arr.simps(1) Arr-appendIPWE Srcs-append Srcs-simpPWE Trgs-append
          Trgs-simpPWE singleton-insert-inj-eq')
    qed
    show  $\exists T. Arr T \wedge Src T = a \wedge Trg T = b \Longrightarrow \Lambda$ .red  $a$   $b$ 

```

```

proof -
  have  $\text{Arr } T \implies \Lambda.\text{red} (\text{Src } T) (\text{Trg } T)$  for  $T$ 
  proof (induct T)
    show  $\text{Arr } [] \implies \Lambda.\text{red} (\text{Src } []) (\text{Trg } [])$ 
      by auto
    fix  $t T$ 
    assume ind:  $\text{Arr } T \implies \Lambda.\text{red} (\text{Src } T) (\text{Trg } T)$ 
    assume  $\text{Arr}: \text{Arr} (t \# T)$ 
    show  $\Lambda.\text{red} (\text{Src} (t \# T)) (\text{Trg} (t \# T))$ 
    proof (cases T = [])
      show  $T = [] \implies ?\text{thesis}$ 
        using  $\text{Arr arr-char } \Lambda.\text{red.intros}(1)$  by simp
      assume  $T: T \neq []$ 
      have  $\Lambda.\text{red} (\text{Src} (t \# T)) (\Lambda.\text{Trg } t)$ 
      apply simp
      by (meson Arr Arr.simps(2) Con-Arr-self Con-implies-Arr(1) Con-initial-left
             $\Lambda.\text{arr-char } \Lambda.\text{red.intros}(1)$ )
      moreover have  $\Lambda.\text{Trg } t = \text{Src } T$ 
      using  $\text{Arr}$ 
      by (metis Arr.elims(2) Srcs-simpPWE T  $\Lambda.\text{arr-iff-has-target insert-subset}$ 
             $\Lambda.\text{targets-char}_\Lambda \text{list.sel}(1) \text{list.sel}(3)$  singleton-iff)
      ultimately show  $??\text{thesis}$ 
        using ind
        by (metis (no-types, opaque-lifting) Arr Con-Arr-self Con-implies-Arr(2)
              Resid-cons(2) T Trg.simps(3)  $\Lambda.\text{red.intros}(2)$  neq-Nil-conv)
      qed
    qed
    thus  $\exists T. \text{Arr } T \wedge \text{Src } T = a \wedge \text{Trg } T = b \implies \Lambda.\text{red } a \ b$ 
      by blast
    qed
  qed
  end

```

### 3.2.7 The Church-Rosser Theorem

```

context lambda-calculus
begin

  interpretation  $\Lambda x: \text{reduction-paths} .$ 

  theorem church-rosser:
  shows  $\text{cnv } a \ b \implies \exists c. \text{red } a \ c \wedge \text{red } b \ c$ 
  proof (induct rule: cnv.induct)
    show  $\bigwedge t. \text{Arr } t \implies \exists c. \text{red} (\text{Src } t) \ c \wedge \text{red} (\text{Trg } t) \ c$ 
      by (metis Ide-Trg Ide-iff-Src-self Ide-iff-Trg-self Ide-implies-Arr red.intros(1))
    thus  $\bigwedge t. \text{Arr } t \implies \exists c. \text{red} (\text{Trg } t) \ c \wedge \text{red} (\text{Src } t) \ c$ 
      by auto
    show  $\bigwedge a \ b \ c. \llbracket \text{cnv } a \ b; \text{cnv } b \ c; \exists x. \text{red } a \ x \wedge \text{red } b \ x; \exists y. \text{red } b \ y \wedge \text{red } c \ y \rrbracket$ 

```

$\implies \exists z. \text{red } a \ z \wedge \text{red } c \ z$   
**proof** –  
**fix**  $a \ b \ c$   
**assume**  $\text{ind1}: \exists x. \text{red } a \ x \wedge \text{red } b \ x$  **and**  $\text{ind2}: \exists y. \text{red } b \ y \wedge \text{red } c \ y$   
**obtain**  $x$  **where**  $x: \text{red } a \ x \wedge \text{red } b \ x$   
**using**  $\text{ind1}$  **by** *blast*  
**obtain**  $y$  **where**  $y: \text{red } b \ y \wedge \text{red } c \ y$   
**using**  $\text{ind2}$  **by** *blast*  
**obtain**  $T1 \ U1$  **where**  $1: \Lambda x. \text{Arr } T1 \wedge \Lambda x. \text{Arr } U1 \wedge \Lambda x. \text{Src } T1 = a \wedge \Lambda x. \text{Src } U1 = b \wedge$   
 $\Lambda x. \text{Trgs } T1 = \Lambda x. \text{Trgs } U1$   
**using**  $x \ \Lambda x. \text{red-iff} [\text{of } a \ x] \ \Lambda x. \text{red-iff} [\text{of } b \ x]$  **by** *fastforce*  
**obtain**  $T2 \ U2$  **where**  $2: \Lambda x. \text{Arr } T2 \wedge \Lambda x. \text{Arr } U2 \wedge \Lambda x. \text{Src } T2 = b \wedge \Lambda x. \text{Src } U2 = c \wedge$   
 $\Lambda x. \text{Trgs } T2 = \Lambda x. \text{Trgs } U2$   
**using**  $y \ \Lambda x. \text{red-iff} [\text{of } b \ y] \ \Lambda x. \text{red-iff} [\text{of } c \ y]$  **by** *fastforce*  
**show**  $\exists e. \text{red } a \ e \wedge \text{red } c \ e$   
**proof** –  
**let**  $?T = T1 @ (\Lambda x. \text{Resid } T2 \ U1)$  **and**  $?U = U2 @ (\Lambda x. \text{Resid } U1 \ T2)$   
**have**  $3: \Lambda x. \text{Arr } ?T \wedge \Lambda x. \text{Arr } ?U \wedge \Lambda x. \text{Src } ?T = a \wedge \Lambda x. \text{Src } ?U = c$   
**using**  $1 \ 2$   
**by** (*metis*  $\Lambda x. \text{Arr-append}_PWE \ \Lambda x. \text{Arr-has-Trg} \ \Lambda x. \text{Con-imp-Arr-Resid} \ \Lambda x. \text{Src-append}$   
 $\Lambda x. \text{Src-resid} \ \Lambda x. \text{Srcs-simp}_PWE \ \Lambda x. \text{Trgs.simps}(1) \ \Lambda x. \text{Trgs-simp}_PWE \ \Lambda x. \text{arr}_P$   
 $\Lambda x. \text{arr-append-imp-seq} \ \Lambda x. \text{confluence-ind} \ \text{singleton-insert-inj-eq}'$ )  
**moreover have**  $\Lambda x. \text{Trgs } ?T = \Lambda x. \text{Trgs } ?U$   
**using**  $1 \ 2 \ 3 \ \Lambda x. \text{Srcs-simp}_PWE \ \Lambda x. \text{Trgs-Resid-sym} \ \Lambda x. \text{Trgs-append} \ \Lambda x. \text{confluence-ind}$   
**by** *presburger*  
**ultimately have**  $\exists T \ U. \Lambda x. \text{Arr } T \wedge \Lambda x. \text{Arr } U \wedge \Lambda x. \text{Src } T = a \wedge \Lambda x. \text{Src } U = c \wedge$   
 $\Lambda x. \text{Trgs } T = \Lambda x. \text{Trgs } U$   
**by** *blast*  
**thus**  $?thesis$   
**using**  $\Lambda x. \text{red-iff} \ \Lambda x. \text{Arr-has-Trg}$  **by** *fastforce*  
**qed**  
**qed**  
**qed**

**corollary** *weak-diamond*:  
**assumes**  $\text{red } a \ b$  **and**  $\text{red } a \ b'$   
**obtains**  $c$  **where**  $\text{red } b \ c$  **and**  $\text{red } b' \ c$

**proof** –  
**have**  $\text{cnv } b \ b'$   
**using** *assms*  
**by** (*metis*  $\text{cnv.intros}(1,3) \ \text{cnv-sym} \ \text{red.induct}$ )  
**thus**  $?thesis$   
**using** *that church-rosser* **by** *blast*  
**qed**

As a consequence of the Church-Rosser Theorem, the collection of all reduction paths forms a coherent normal sub-RTS of the RTS of reduction paths, and on identities the congruence induced by this normal sub-RTS coincides with convertibility. The quotient of the  $\lambda$ -calculus RTS by this congruence is then obviously discrete: the only transitions

are identities.

```

interpretation Red: normal-sub-rts  $\Lambda x.\text{Resid} \langle \text{Collect } \Lambda x.\text{Arr} \rangle$ 
proof
  show  $\bigwedge t. t \in \text{Collect } \Lambda x.\text{Arr} \implies \Lambda x.\text{arr } t$ 
    by blast
  show  $\bigwedge a. \Lambda x.\text{ide } a \implies a \in \text{Collect } \Lambda x.\text{Arr}$ 
    using  $\Lambda x.\text{Ide-char} \Lambda x.\text{ide-char}$  by blast
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{coinitial } t u] \implies \Lambda x.\text{Resid } u t \in \text{Collect } \Lambda x.\text{Arr}$ 
    by (metis  $\Lambda x.\text{Con-imp-Arr-Resid} \Lambda x.\text{Resid.simps}(1) \Lambda x.\text{con-sym} \Lambda x.\text{confluence}_P \Lambda x.\text{ide-def}$ 
       $\langle \bigwedge a. \Lambda x.\text{ide } a \implies a \in \text{Collect } \Lambda x.\text{Arr} \rangle \text{ mem-Collect-eq } \Lambda x.\text{arr-resid-iff-con}$ )
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{Resid } t u \in \text{Collect } \Lambda x.\text{Arr}] \implies t \in \text{Collect } \Lambda x.\text{Arr}$ 
    by (metis  $\Lambda x.\text{Arr.simps}(1) \Lambda x.\text{Con-implies-Arr}(1)$  mem-Collect-eq)
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{seq } u t] \implies \exists v. \Lambda x.\text{composite-of } u t v$ 
    by (meson  $\Lambda x.\text{obtains-composite-of}$ )
  show  $\bigwedge u t. [u \in \text{Collect } \Lambda x.\text{Arr}; \Lambda x.\text{seq } t u] \implies \exists v. \Lambda x.\text{composite-of } t u v$ 
    by (meson  $\Lambda x.\text{obtains-composite-of}$ )
qed

```

```

interpretation Red: coherent-normal-sub-rts  $\Lambda x.\text{Resid} \langle \text{Collect } \Lambda x.\text{Arr} \rangle$ 
  apply unfold-locales
  by (metis Red.Cong-closure-props(4) Red.Cong-imp-arr(2)  $\Lambda x.\text{Con-imp-Arr-Resid}$ 
     $\Lambda x.\text{arr-resid-iff-con} \Lambda x.\text{con-char} \Lambda x.\text{sources-resid}$  mem-Collect-eq)

```

```

lemma cnv-iff-Cong:
assumes ide a and ide b
shows cnv a b  $\longleftrightarrow$  Red.Cong [a] [b]
proof
  assume 1: Red.Cong [a] [b]
  obtain U V
    where UV:  $\Lambda x.\text{Arr } U \wedge \Lambda x.\text{Arr } V \wedge \text{Red.Congo} (\Lambda x.\text{Resid } [a] U) (\Lambda x.\text{Resid } [b] V)$ 
    using 1 Red.Cong-def [of [a] [b]] by blast
  have red a ( $\Lambda x.\text{Trg } U$ )  $\wedge$  red b ( $\Lambda x.\text{Trg } V$ )
    by (metis UV  $\Lambda x.\text{Arr.simps}(1) \Lambda x.\text{Con-implies-Arr}(1)$   $\Lambda x.\text{Resid-single-ide}(2) \Lambda x.\text{Src-resid}$ 
       $\Lambda x.\text{Trg.simps}(2)$  assms(1–2) mem-Collect-eq reduction-paths.red-iff trg-ide)
  moreover have  $\Lambda x.\text{Trg } U = \Lambda x.\text{Trg } V$ 
    using UV
    by (metis (no-types, lifting) Red.Congo0-imp-con  $\Lambda x.\text{Arr.simps}(1) \Lambda x.\text{Con-Arr-self}$ 
       $\Lambda x.\text{Con-implies-Arr}(1) \Lambda x.\text{Resid-single-ide}(2) \Lambda x.\text{Src-resid}$   $\Lambda x.\text{cube}$   $\Lambda x.\text{ide-def}$ 
       $\Lambda x.\text{resid-arr-ide}$  assms(1) mem-Collect-eq)
  ultimately show cnv a b
    by (metis cnv-sym cnv.intros(3) red-imp-cnv)
next
  assume 1: cnv a b
  obtain c where c: red a c  $\wedge$  red b c
    using 1 church-rosser by blast
  obtain U where U:  $\Lambda x.\text{Arr } U \wedge \Lambda x.\text{Src } U = a \wedge \Lambda x.\text{Trg } U = c$ 
    using c  $\Lambda x.\text{red-iff}$  by blast
  obtain V where V:  $\Lambda x.\text{Arr } V \wedge \Lambda x.\text{Src } V = b \wedge \Lambda x.\text{Trg } V = c$ 
    using c  $\Lambda x.\text{red-iff}$  by blast

```

```

have  $\Lambda x.\text{Resid1x } a \ U = c \wedge \Lambda x.\text{Resid1x } b \ V = c$ 
  by (metis  $U \ V \ \Lambda x.\text{Con-single-ide-ind } \Lambda x.\text{Ide.simps}(2) \ \Lambda x.\text{Resid1x-as-Resid}$ 
     $\Lambda x.\text{Resid-Ide-Arr-ind } \Lambda x.\text{Resid-single-ide}(2) \ \Lambda x.\text{Srcs-simp}_{PWE} \ \Lambda x.\text{Trg.simps}(2)$ 
     $\Lambda x.\text{Trg-resid-sym } \Lambda x.\text{ex-un-Src assms}(1\text{--}2) \ \text{singletonD } \text{trg-ide}$ )
hence Red.Congo ( $\Lambda x.\text{Resid } [a] \ U$ ) ( $\Lambda x.\text{Resid } [b] \ V$ )
  by (metis Red.Congo-reflexive  $U \ V \ \Lambda x.\text{Con-single-ideI}(1) \ \Lambda x.\text{Resid1x-as-Resid}$ 
     $\Lambda x.\text{Srcs-simp}_{PWE} \ \Lambda x.\text{arr-resid } \Lambda x.\text{con-char assms}(1\text{--}2) \ \text{empty-set}$ 
     $\text{list.set-intros}(1) \ \text{list.simps}(15)$ )
thus Red.Cong [a] [b]
  using  $U \ V \ \text{Red.Cong-def } [\text{of } [a] \ [b]]$  by blast
qed

```

**interpretation**  $\Lambda q: \text{quotient-by-coherent-normal } \Lambda x.\text{Resid} \langle \text{Collect } \Lambda x.\text{Arr} \rangle$   
 $\dots$

```

lemma quotient-by-cnv-is-discrete:
shows  $\Lambda q.\text{arr } t \longleftrightarrow \Lambda q.\text{ide } t$ 
  by (metis Red.Cong-class-memb-is-arr  $\Lambda q.\text{arr-char } \Lambda q.\text{ide-char}' \ \Lambda x.\text{arr-char}$ 
    mem-Collect-eq subsetI)

```

### 3.2.8 Normalization

A *normal form* is an identity that is not the source of any non-identity arrow.

**definition** NF  
**where**  $\text{NF } a \equiv \text{Ide } a \wedge (\forall t. \text{Arr } t \wedge \text{Src } t = a \longrightarrow \text{Ide } t)$

```

lemma (in reduction-paths) path-from-NF-is-Ide:
assumes  $\Lambda.\text{NF } a$ 
shows  $\llbracket \text{Arr } U; \text{Src } U = a \rrbracket \implies \text{Ide } U$ 
proof (induct  $U$ , simp)
  fix  $u \ U$ 
  assume  $\text{ind}: \llbracket \text{Arr } U; \text{Src } U = a \rrbracket \implies \text{Ide } U$ 
  assume  $uU: \text{Arr } (u \ # \ U) \text{ and } a: \text{Src } (u \ # \ U) = a$ 
  have  $\Lambda.\text{Ide } u$ 
    using assms a  $\Lambda.\text{NF-def } uU$  by force
  thus  $\text{Ide } (u \ # \ U)$ 
    using a  $uU \text{ ind}$ 
    by (metis Arr-consE Con-Arr-self Con-imp-eq-Srcs Con-initial-right Ide.simps(2)
      Ide-consI Srcs.simps(2) Srcs-simp_{PWE}  $\Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-implies-Arr}$ 
       $\Lambda.\text{sources-char}_\Lambda \ \Lambda.\text{trg-ide } \Lambda.\text{ide-char}$ 
      singleton-insert-inj-eq)
qed

```

```

lemma NF-reduct-is-trivial:
assumes NF a and red a b
shows  $a = b$ 
proof –
  interpret  $\Lambda x: \text{reduction-paths} .$ 
  have  $\bigwedge U. \llbracket \Lambda x.\text{Arr } U; a \in \Lambda x.\text{Srcs } U \rrbracket \implies \Lambda x.\text{Ide } U$ 

```

```

using assms  $\Lambda x.\text{path-from-NF-is-Ide}$ 
by (simp add:  $\Lambda x.\text{Srcs-simp}_{PWE}$ )
thus ?thesis
  using assms  $\Lambda x.\text{red-iff}$ 
  by (metis  $\Lambda x.\text{Con-Arr-self } \Lambda x.\text{Resid-Arr-Ide-ind } \Lambda x.\text{Src-resid } \Lambda x.\text{path-from-NF-is-Ide}$ )
qed

lemma NF-unique:
assumes red  $t u$  and red  $t u'$  and NF  $u$  and NF  $u'$ 
shows  $u = u'$ 
  using assms weak-diamond NF-reduct-is-trivial by metis

A term is normalizable if it is an identity that is reducible to a normal form.

definition normalizable
where normalizable  $a \equiv \text{Ide } a \wedge (\exists b. \text{red } a b \wedge \text{NF } b)$ 

end

```

### 3.3 Reduction Paths

In this section we develop further facts about reduction paths for the  $\lambda$ -calculus.

```

context reduction-paths
begin

```

#### 3.3.1 Sources and Targets

```

lemma Srcs-simp $_{\Lambda P}$ :
shows Arr  $t \implies \text{Srcs } t = \{\Lambda.\text{Src} (\text{hd } t)\}$ 
  by (metis Arr-has-Src Srcs.elims list.sel(1)  $\Lambda.\text{sources-char}_{\Lambda}$ )

lemma Trgs-simp $_{\Lambda P}$ :
shows Arr  $t \implies \text{Trgs } t = \{\Lambda.\text{Trg} (\text{last } t)\}$ 
  by (metis Arr.simps(1) Arr-has-Trg Trgs.simps(2) Trgs-append
    append-butlast-last-id not-Cons-self2  $\Lambda.\text{targets-char}_{\Lambda}$ )

lemma sources-single-Src [simp]:
assumes  $\Lambda.\text{Arr } t$ 
shows sources [ $\Lambda.\text{Src } t$ ] = sources [ $t$ ]
  using assms
  by (metis  $\Lambda.\text{Con-Arr-Src}(1) \Lambda.\text{Ide-Src } \text{Ide.simps}(2) \text{ Resid.simps}(3) \text{ con-char ideE }$ 
     $\text{ide-char sources-resid } \Lambda.\text{con-char } \Lambda.\text{ide-char list.discI } \Lambda.\text{resid-Arr-Src}$ )

lemma targets-single-Trg [simp]:
assumes  $\Lambda.\text{Arr } t$ 
shows targets [ $\Lambda.\text{Trg } t$ ] = targets [ $t$ ]
  using assms
  by (metis (full-types) Resid.simps(3) conI $P$   $\Lambda.\text{Arr-Trg } \Lambda.\text{arr-char } \Lambda.\text{resid-Arr-Src }$ 
     $\Lambda.\text{resid-Src-Arr } \Lambda.\text{arr-resid-iff-con } \text{targets-resid-sym}$ )

```

```

lemma sources-single-Trg [simp]:
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{sources}[\Lambda.\text{Trg } t] = \text{targets}[t]$ 
using assms
by (metis  $\Lambda.\text{Ide-}\text{Trg }$   $\text{Ide.simps}(2)$   $\text{ideE ide-char sources-resid } \Lambda.\text{ide-char}$ 
 $\text{targets-single-Trg}$ )

lemma targets-single-Src [simp]:
assumes  $\Lambda.\text{Arr } t$ 
shows  $\text{targets}[\Lambda.\text{Src } t] = \text{sources}[t]$ 
using assms
by (metis  $\Lambda.\text{Arr-Src }$   $\Lambda.\text{Trg-Src }$   $\text{sources-single-Src }$   $\text{sources-single-Trg}$ )

lemma single-Src-hd-in-sources:
assumes  $\text{Arr } T$ 
shows  $[\Lambda.\text{Src}(\text{hd } T)] \in \text{sources } T$ 
using assms
by (metis  $\text{Arr.simps}(1)$   $\text{Arr-has-Src }$   $\text{Ide.simps}(2)$   $\text{Resid-Arr-Src }$   $\text{Srcs-simp}_P$ 
 $\Lambda.\text{source-is-ide }$   $\text{conI}_P$   $\text{empty-set ide-char in-sourcesI }$   $\Lambda.\text{sources-char}_\Lambda$ 
 $\text{list.set-intros}(1)$   $\text{list.simps}(15)$ )

lemma single-Trg-last-in-targets:
assumes  $\text{Arr } T$ 
shows  $[\Lambda.\text{Trg}(\text{last } T)] \in \text{targets } T$ 
using assms  $\text{targets-char}_P$   $\text{Arr-imp-arr-last }$   $\text{Trgs-simp}_{\Lambda P}$   $\Lambda.\text{Ide-Trg}$  by fastforce

lemma in-sources-iff:
assumes  $\text{Arr } T$ 
shows  $A \in \text{sources } T \longleftrightarrow A * \sim^* [\Lambda.\text{Src}(\text{hd } T)]$ 
using assms
by (meson  $\text{single-Src-hd-in-sources }$   $\text{sources-are-cong }$   $\text{sources-cong-closed}$ )

lemma in-targets-iff:
assumes  $\text{Arr } T$ 
shows  $B \in \text{targets } T \longleftrightarrow B * \sim^* [\Lambda.\text{Trg}(\text{last } T)]$ 
using assms
by (meson  $\text{single-Trg-last-in-targets }$   $\text{targets-are-cong }$   $\text{targets-cong-closed}$ )

lemma seq-imp-cong-Trg-last-Src-hd:
assumes  $\text{seq } T U$ 
shows  $\Lambda.\text{Trg}(\text{last } T) \sim \Lambda.\text{Src}(\text{hd } U)$ 
using assms  $\text{Arr-imp-arr-hd }$   $\text{Arr-imp-arr-last }$   $\text{Srcs-simp}_{PWE}$   $\text{Trgs-simp}_{PWE}$ 
 $\Lambda.\text{cong-reflexive seq-char}$ 
by (metis  $\text{Srcs-simp}_{\Lambda P}$   $\text{Trgs-simp}_{\Lambda P}$   $\Lambda.\text{Arr-Trg }$   $\Lambda.\text{arr-char singleton-inject}$ )

lemma sources-char $_{\Lambda P}$ :
shows  $\text{sources } T = \{A. \text{Arr } T \wedge A * \sim^* [\Lambda.\text{Src}(\text{hd } T)]\}$ 
using in-sources-iff arr-char  $\text{sources-char}_P$  by auto

```

```

lemma targets-charΛP:
shows targets T = {B. Arr T ∧ B *~* [Λ.Trg (last T)]}
  using in-targets-iff arr-char targets-char by auto

lemma Src-hd-eqI:
assumes T *~* U
shows Λ.Src (hd T) = Λ.Src (hd U)
  using assms
  by (metis Con-imp-eq-Srcs Con-implies-Arr(1) Ide.simps(1) Srcs-simpΛP ide-char singleton-insert-inj-eq')

lemma Trg-last-eqI:
assumes T *~* U
shows Λ.Trg (last T) = Λ.Trg (last U)
proof –
  have 1: [Λ.Trg (last T)] ∈ targets T ∧ [Λ.Trg (last U)] ∈ targets U
    using assms
    by (metis Con-implies-Arr(1) Ide.simps(1) ide-char single-Trg-last-in-targets)
  have Λ.cong (Λ.Trg (last T)) (Λ.Trg (last U))
    by (metis 1 Ide.simps(2) Resid.simps(3) assms con-char cong-implies-coterminal coterminal-iff ide-char prfx-implies-con targets-are-cong)
  moreover have Λ.Ide (Λ.Trg (last T)) ∧ Λ.Ide (Λ.Trg (last U))
    using 1 Ide.simps(2) ide-char by blast
  ultimately show ?thesis
    using Λ.weak-extensionality by blast
qed

lemma Trg-last-Src-hd-eqI:
assumes seq T U
shows Λ.Trg (last T) = Λ.Src (hd U)
  using assms Arr-imp-arr-hd Arr-imp-arr-last Λ.Ide-Src Λ.weak-extensionality Λ.Ide-Trg seq-char seq-imp-cong-Trg-last-Src-hd
  by force

lemma seqIΛP [intro]:
assumes Arr T and Arr U and Λ.Trg (last T) = Λ.Src (hd U)
shows seq T U
  by (metis assms Arr-imp-arr-last Srcs-simpΛP Λ.arr-char Λ.targets-charΛ Trgs-simpP seq-char)

lemma conIΛP [intro]:
assumes arr T and arr U and Λ.Src (hd T) = Λ.Src (hd U)
shows T *~* U
  using assms
  by (simp add: Srcs-simpΛP arr-char con-char confluence-ind)

```

### 3.3.2 Mapping Constructors over Paths

```

lemma Arr-map-Lam:
assumes Arr T
shows Arr (map Λ.Lam T)
proof -
  interpret Lam: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then λ[t] else #⟩
    using Λ.Lam-is-simulation by simp
  interpret simulation Resid Resid
    ⟨λT. if Arr T then map (λt. if Λ.arr t then λ[t] else #) T else []⟩
    using assms Lam.lifts-to-paths by blast
  have map (λt. if Λ.arr t then λ[t] else #) T = map Λ.Lam T
    using assms set-Arr-subset-arr by fastforce
  thus ?thesis
    using assms preserves-reflects-arr [of T] arr-char
    by (simp add: ⟨map (λt. if Λ.arr t then λ[t] else #) T = map Λ.Lam T⟩)
qed

lemma Arr-map-App1:
assumes Λ.Ide b and Arr T
shows Arr (map (λt. t o b) T)
proof -
  interpret App1: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then t o b else #⟩
    using assms Λ.App-is-simulation1 [of b] by simp
  interpret simulation Resid Resid
    ⟨λT. if Arr T then map (λt. if Λ.arr t then t o b else #) T else []⟩
    using assms App1.lifts-to-paths by blast
  have map (λt. if Λ.arr t then t o b else #) T = map (λt. t o b) T
    using assms set-Arr-subset-arr by auto
  thus ?thesis
    using assms preserves-reflects-arr arr-char
    by (metis (mono-tags, lifting))
qed

lemma Arr-map-App2:
assumes Λ.Ide a and Arr T
shows Arr (map (Λ.App a) T)
proof -
  interpret App2: simulation Λ.resid Λ.resid ⟨λu. if Λ.arr u then a o u else #⟩
    using assms Λ.App-is-simulation2 by simp
  interpret simulation Resid Resid
    ⟨λT. if Arr T then map (λu. if Λ.arr u then a o u else #) T else []⟩
    using assms App2.lifts-to-paths by blast
  have map (λu. if Λ.arr u then a o u else #) T = map (λu. a o u) T
    using assms set-Arr-subset-arr by auto
  thus ?thesis
    using assms preserves-reflects-arr arr-char
    by (metis (mono-tags, lifting))
qed

```

**interpretation**  $\Lambda_{Lam}$ : source-replete-sub-rts  $\Lambda.\text{resid} \langle \lambda t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-Lam} t \rangle$

**proof**

```

show  $\bigwedge t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-Lam} t \implies \Lambda.\text{arr} t$ 
  by blast
show  $\bigwedge t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-Lam} t \implies \Lambda.\text{sources} t \subseteq \{t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-Lam} t\}$ 
  by auto
show  $\llbracket \Lambda.\text{Arr} t \wedge \Lambda.\text{is-Lam} t; \Lambda.\text{Arr} u \wedge \Lambda.\text{is-Lam} u; \Lambda.\text{con} t u \rrbracket$ 
   $\implies \Lambda.\text{Arr} (t \setminus u) \wedge \Lambda.\text{is-Lam} (t \setminus u)$ 
  for  $t u$ 
apply (cases  $t$ ; cases  $u$ )
  apply simp-all
using  $\Lambda.\text{Coinitial-resid-resid}$ 
  by presburger
qed
```

**interpretation**  $\text{un-Lam}$ : simulation  $\Lambda_{Lam}.\text{resid} \Lambda.\text{resid}$

$\langle \lambda t. \text{if } \Lambda_{Lam}.\text{arr} t \text{ then } \Lambda.\text{un-Lam} t \text{ else } \sharp \rangle$

**proof**

```

let  $?un\text{-Lam} = \lambda t. \text{if } \Lambda_{Lam}.\text{arr} t \text{ then } \Lambda.\text{un-Lam} t \text{ else } \sharp$ 
show  $\bigwedge t. \neg \Lambda_{Lam}.\text{arr} t \implies ?un\text{-Lam} t = \Lambda.\text{null}$ 
  by auto
show  $\bigwedge t u. \Lambda_{Lam}.\text{con} t u \implies \Lambda.\text{con} (?un\text{-Lam} t) (?un\text{-Lam} u)$ 
  by (auto simp add:  $\Lambda_{Lam}.\text{con-char}$ )
show  $\bigwedge t u. \Lambda_{Lam}.\text{con} t u \implies ?un\text{-Lam} (\Lambda_{Lam}.\text{resid} t u) = ?un\text{-Lam} t \setminus ?un\text{-Lam} u$ 
  using  $\Lambda_{Lam}.\text{resid-closed}$   $\Lambda_{Lam}.\text{resid-def}$  by (auto simp add:  $\Lambda_{Lam}.\text{con-char}$ )
qed
```

**lemma**  $\text{Arr-map-un-Lam}$ :

**assumes**  $\text{Arr } T \text{ and set } T \subseteq \text{Collect } \Lambda.\text{is-Lam}$

**shows**  $\text{Arr} (\text{map } \Lambda.\text{un-Lam} T)$

**proof** –

```

have map ( $\lambda t. \text{if } \Lambda_{Lam}.\text{arr} t \text{ then } \Lambda.\text{un-Lam} t \text{ else } \sharp$ )  $T = \text{map } \Lambda.\text{un-Lam} T$ 
  using assms set-Arr-subset-arr by (auto simp add:  $\Lambda_{Lam}.\text{arr-char}$ )
thus ?thesis
  using assms
  by (metis (no-types, lifting)  $\Lambda_{Lam}.\text{path-reflection}$   $\Lambda.\text{arr-char}$  mem-Collect-eq
    set-Arr-subset-arr subset-code(1) un-Lam.preserves-paths)
qed
```

qed

**interpretation**  $\Lambda_{App}$ : source-replete-sub-rts  $\Lambda.\text{resid} \langle \lambda t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-App} t \rangle$

**proof**

```

show  $\bigwedge t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-App} t \implies \Lambda.\text{arr} t$ 
  by blast
show  $\bigwedge t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-App} t \implies \Lambda.\text{sources} t \subseteq \{t. \Lambda.\text{Arr} t \wedge \Lambda.\text{is-App} t\}$ 
  by auto
show  $\llbracket \Lambda.\text{Arr} t \wedge \Lambda.\text{is-App} t; \Lambda.\text{Arr} u \wedge \Lambda.\text{is-App} u; \Lambda.\text{con} t u \rrbracket$ 
   $\implies \Lambda.\text{Arr} (t \setminus u) \wedge \Lambda.\text{is-App} (t \setminus u)$ 
  for  $t u$ 
using  $\Lambda.\text{Arr-resid}$ 
```

```

by (cases t; cases u) auto
qed

interpretation un-App1: simulation  $\Lambda_{App}.resid$   $\Lambda.resid$ 
   $\langle \lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \# \rangle$ 
proof
  let ?un-App1 =  $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#$ 
  show  $\bigwedge t. \neg \Lambda_{App}.arr t \implies ?un-App1 t = \Lambda.null$ 
    by auto
  show  $\bigwedge t u. \Lambda_{App}.con t u \implies \Lambda.con (?un-App1 t) (?un-App1 u)$ 
    by (auto simp add:  $\Lambda_{App}.con\text{-char}$ )
  show  $\Lambda_{App}.con t u \implies ?un-App1 (\Lambda_{App}.resid t u) = ?un-App1 t \setminus ?un-App1 u$ 
    for t u
  using  $\Lambda_{App}.resid\text{-def }$   $\Lambda.ARR\text{-resid}$ 
    by (cases t; cases u) (auto simp add:  $\Lambda_{App}.con\text{-char}$ )
qed

interpretation un-App2: simulation  $\Lambda_{App}.resid$   $\Lambda.resid$ 
   $\langle \lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App2 t \text{ else } \# \rangle$ 
proof
  let ?un-App2 =  $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App2 t \text{ else } \#$ 
  show  $\bigwedge t. \neg \Lambda_{App}.arr t \implies ?un-App2 t = \Lambda.null$ 
    by auto
  show  $\bigwedge t u. \Lambda_{App}.con t u \implies \Lambda.con (?un-App2 t) (?un-App2 u)$ 
    by (auto simp add:  $\Lambda_{App}.con\text{-char}$ )
  show  $\Lambda_{App}.con t u \implies ?un-App2 (\Lambda_{App}.resid t u) = ?un-App2 t \setminus ?un-App2 u$ 
    for t u
  using  $\Lambda_{App}.resid\text{-def }$   $\Lambda.ARR\text{-resid}$ 
    by (cases t; cases u) (auto simp add:  $\Lambda_{App}.con\text{-char}$ )
qed

lemma Arr-map-un-App1:
assumes Arr T and set T ⊆ Collect  $\Lambda.is\text{-App}$ 
shows Arr (map  $\Lambda.un-App1 T$ )
proof –
  interpret PApp: paths-in-rts  $\Lambda_{App}.resid$ 
  ..
  interpret un-App1: simulation PApp.Resid Resid
     $\langle \lambda T. \text{if } P_{App}.Arr T \text{ then } map (\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#) T$ 
     $\text{else } [] \rangle$ 
  using un-App1.lifts-to-paths by simp
  have 1:  $map (\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#) T = map \Lambda.un-App1 T$ 
    using assms set-Arr-subset-arr by (auto simp add:  $\Lambda_{App}.arr\text{-char}$ )
  have 2:  $P_{App}.Arr T$ 
    using assms set-Arr-subset-arr  $\Lambda_{App}.path\text{-reflection}$  [of T] by blast
  hence arr (if PApp.Arr T then map ( $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#$ ) T else [])
    using un-App1.preserves-reflects-arr [of T] by blast
  hence Arr (if PApp.Arr T then map ( $\lambda t. \text{if } \Lambda_{App}.arr t \text{ then } \Lambda.un-App1 t \text{ else } \#$ ) T else [])

```

```

using arr-char by auto
hence Arr (if PApp.Arr T then map Λ.un-App1 T else [])
  using 1 by metis
thus ?thesis
  using 2 by simp
qed

lemma Arr-map-un-App2:
assumes Arr T and set T ⊆ Collect Λ.is-App
shows Arr (map Λ.un-App2 T)
proof -
  interpret PApp: paths-in-rts ΛApp.resid
  ..
  interpret un-App2: simulation PApp.Resid Resid
    ⟨λT. if PApp.Arr T then
      map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T
    else []⟩
  using un-App2.lifts-to-paths by simp
  have 1: map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T = map Λ.un-App2 T
    using assms set-Arr-subset-arr by (auto simp add: ΛApp.arr-char)
  have 2: PApp.Arr T
    using assms set-Arr-subset-arr ΛApp.path-reflection [of T] by blast
  hence arr (if PApp.Arr T then map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T else [])
    using un-App2.preserves-reflects-arr [of T] by blast
  hence Arr (if PApp.Arr T then map (λt. if ΛApp.arr t then Λ.un-App2 t else #) T else [])
    using arr-char by blast
  hence Arr (if PApp.Arr T then map Λ.un-App2 T else [])
    using 1 by metis
  thus ?thesis
    using 2 by simp
qed

lemma map-App-map-un-App1:
shows [|Arr U; set U ⊆ Collect Λ.is-App; Λ.Ide b; Λ.un-App2 ` set U ⊆ {b}|] ==>
  map (λt. Λ.App t b) (map Λ.un-App1 U) = U
  by (induct U) auto

lemma map-App-map-un-App2:
shows [|Arr U; set U ⊆ Collect Λ.is-App; Λ.Ide a; Λ.un-App1 ` set U ⊆ {a}|] ==>
  map (Λ.App a) (map Λ.un-App2 U) = U
  by (induct U) auto

lemma map-Lam-Resid:
assumes coinitial T U
shows map Λ.Lam (T * \* U) = map Λ.Lam T * \* map Λ.Lam U
proof -
  interpret Lam: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then λ[t] else #⟩
    using Λ.Lam-is-simulation by simp
  interpret Lamx: simulation Resid Resid

```

```

⟨λT. if Arr T then
      map (λt. if Λ.arr t then λ[t] else #) T
      else []⟩
using Lam.lifts-to-paths by simp
have ⋀T. Arr T ==> map (λt. if Λ.arr t then λ[t] else #) T = map Λ.Lam T
  using set-Arr-subset-arr by auto
moreover have Arr (T *＼* U)
  using assms confluenceP Con-imp-Arr-Resid con-char by force
moreover have T *＼* U
  using assms confluence by simp
moreover have Arr T ∧ Arr U
  using assms arr-char by auto
ultimately show ?thesis
  using assms Lamx.preserves-resid [of T U] by presburger
qed

```

**lemma** map-App1-Resid:  
**assumes** Λ.Idx x **and** coinitial T U  
**shows** map (Λ.App x) (T \*＼\* U) = map (Λ.App x) T \*＼\* map (Λ.App x) U  
**proof** –

```

interpret App: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then x o t else #⟩
  using assms Λ.App-is-simulation2 by simp
interpret Appx: simulation Resid Resid
  ⟨λT. if Arr T then map (λt. if Λ.arr t then x o t else #) T else []⟩
  using App.lifts-to-paths by simp
have ⋀T. Arr T ==> map (λt. if Λ.arr t then x o t else #) T = map (Λ.App x) T
  using set-Arr-subset-arr by auto
moreover have Arr (T *＼* U)
  using assms confluenceP Con-imp-Arr-Resid con-char by force
moreover have T *＼* U
  using assms confluence by simp
moreover have Arr T ∧ Arr U
  using assms arr-char by auto
ultimately show ?thesis
  using assms Appx.preserves-resid [of T U] by presburger
qed

```

**lemma** map-App2-Resid:  
**assumes** Λ.Idx x **and** coinitial T U  
**shows** map (λt. t o x) (T \*＼\* U) = map (λt. t o x) T \*＼\* map (λt. t o x) U  
**proof** –

```

interpret App: simulation Λ.resid Λ.resid ⟨λt. if Λ.arr t then t o x else #⟩
  using assms Λ.App-is-simulation1 by simp
interpret Appx: simulation Resid Resid
  ⟨λT. if Arr T then map (λt. if Λ.arr t then t o x else #) T else []⟩
  using App.lifts-to-paths by simp
have ⋀T. Arr T ==> map (λt. if Λ.arr t then t o x else #) T = map (λt. t o x) T
  using set-Arr-subset-arr by auto
moreover have Arr (T *＼* U)

```

```

using assms confluenceP Con-imp-Arr-Resid con-char by force
moreover have T *~* U
  using assms confluence by simp
moreover have Arr T ∧ Arr U
  using assms arr-char by auto
ultimately show ?thesis
  using assms Appx.preserves-resid [of T U] by presburger
qed

lemma cong-map-Lam:
shows T *~* U ==> map Λ.Lam T *~* map Λ.Lam U
  apply (induct U arbitrary: T)
  apply (simp add: ide-char)
by (metis map-Lam-Resid cong-implies-coinitial cong-reflexive ideE
    map-is-Nil-conv Con-imp-Arr-Resid arr-char)

lemma cong-map-App1:
shows [[Λ.Ide x; T *~* U]] ==> map (Λ.App x) T *~* map (Λ.App x) U
  apply (induct U arbitrary: x T)
  apply (simp add: ide-char)
  apply (intro conjI)
by (metis Nil-is-map-conv arr-resid-iff-con con-char con-imp-coinitial
    cong-reflexive ideE map-App1-Resid)+

lemma cong-map-App2:
shows [[Λ.Ide x; T *~* U]] ==> map (λX. X o x) T *~* map (λX. X o x) U
  apply (induct U arbitrary: x T)
  apply (simp add: ide-char)
  apply (intro conjI)
by (metis Nil-is-map-conv arr-resid-iff-con con-char cong-implies-coinitial
    cong-reflexive ide-def arr-char ideE map-App2-Resid)+
```

### 3.3.3 Decomposition of ‘App Paths’

The following series of results is aimed at showing that a reduction path, all of whose transitions have *App* as their top-level constructor, can be factored up to congruence into a reduction path in which only the “rator” components are reduced, followed by a reduction path in which only the “rand” components are reduced.

```

lemma orthogonal-App-single-single:
assumes Λ.App t and Λ.App u
shows [[Λ.Src t o u] *`[t o Λ.Src u] = [Λ.Trg t o u]
and [t o Λ.Src u] *`[Λ.Src t o u] = [t o Λ.Trg u]
  using assms arr-char Λ.App-not-Nil by auto

lemma orthogonal-App-single-App:
shows [[Arr [t]; Arr U]] ==>
  map (Λ.App (Λ.Src t)) U *`[t o Λ.Src (hd U)] = map (Λ.App (Λ.Trg t)) U ∧
  [t o Λ.Src (hd U)] *` map (Λ.App (Λ.Src t)) U = [t o Λ.Trg (last U)]
proof (induct U arbitrary: t)
```

```

show  $\wedge t. \llbracket \text{Arr}[t]; \text{Arr}[\emptyset] \rrbracket \implies$ 

$$\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) \llbracket * \backslash * [t \circ \Lambda.\text{Src}(\text{hd } \emptyset)] = \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) \llbracket \wedge$$


$$[t \circ \Lambda.\text{Src}(\text{hd } \emptyset)] * \backslash * \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) \llbracket = [t \circ \Lambda.\text{Trg}(\text{last } \emptyset)]$$

by fastforce
fix  $t u U$ 
assume  $ind: \wedge t. \llbracket \text{Arr}[t]; \text{Arr}[U] \rrbracket \implies$ 

$$\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \backslash * [t \circ \Lambda.\text{Src}(\text{hd } U)] =$$


$$\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) U \wedge$$


$$[t \circ \Lambda.\text{Src}(\text{hd } U)] * \backslash * \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U = [t \circ \Lambda.\text{Trg}(\text{last } U)]$$

assume  $t: \text{Arr}[t]$ 
assume  $uU: \text{Arr}(u \# U)$ 
show  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t))(u \# U) * \backslash * [t \circ \Lambda.\text{Src}(\text{hd } (u \# U))] =$ 

$$\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t))(u \# U) \wedge$$


$$[t \circ \Lambda.\text{Src}(\text{hd } (u \# U))] * \backslash * \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t))(u \# U) =$$


$$[t \circ \Lambda.\text{Trg}(\text{last } (u \# U))]$$

proof (cases  $U = \emptyset$ )
show  $U = \emptyset \implies ?thesis$ 
using  $t u U$  orthogonal-App-single-single by simp
assume  $U: U \neq \emptyset$ 
have  $\varrho: \text{coinitial}([\Lambda.\text{Src } t \circ u] @ \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U) [t \circ \Lambda.\text{Src } u]$ 
proof (intro coinitialI')
show  $\vartheta: \text{arr}([\Lambda.\text{Src } t \circ u] @ \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U)$ 
using  $t u U$ 
by (metis Arr-iff-Con-self Arr-map-App2 Con-rec(1) append-Cons append-Nil
       $\Lambda.\text{Con-implies-Arr2 } \Lambda.\text{Ide-Src } \Lambda.\text{con-char list.simps}(9) \text{ arr-char}$ )
show  $\text{src}([\Lambda.\text{Src } t \circ u] @ \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U) \sim \text{src}[t \circ \Lambda.\text{Src } u]$ 
proof –
have  $\text{seq}[\Lambda.\text{Src } t \circ u](\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U)$ 
using  $U \vartheta$  arr-append-imp-seq by force
hence  $\text{sources}([\Lambda.\text{Src } t \circ u] @ \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U) = \text{sources}[t \circ \Lambda.\text{Src } u]$ 
using sources-append [of  $[\Lambda.\text{Src } t \circ u]$  map  $(\Lambda.\text{App}(\Lambda.\text{Src } t)) U$ ]
sources-single-Src [of  $\Lambda.\text{Src } t \circ u$ ]
sources-single-Src [of  $t \circ \Lambda.\text{Src } u$ ]
using arr-char  $t$ 
by (simp add: seq-char)
thus  $?thesis$ 
using  $\vartheta$  coinitial-iff' by blast
qed
qed
show  $?thesis$ 
proof
show  $\varphi: \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t))(u \# U) * \backslash * [t \circ \Lambda.\text{Src}(\text{hd } (u \# U))] =$ 

$$\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t))(u \# U)$$

proof –
have  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t))(u \# U) * \backslash * [t \circ \Lambda.\text{Src}(\text{hd } (u \# U))] =$ 

$$([\Lambda.\text{Src } t \circ u] @ \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U) * \backslash * [t \circ \Lambda.\text{Src } u]$$

by simp
also have  $\dots = [\Lambda.\text{Src } t \circ u] * \backslash * [t \circ \Lambda.\text{Src } u] @$ 

$$\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \backslash * ([t \circ \Lambda.\text{Src } u] * \backslash * [\Lambda.\text{Src } t \circ u])$$


```

```

by (meson 2 Resid-append(1) con-char confluence not-Cons-self2)
also have ... = [ $\Lambda$ .Trg  $t \circ u$ ] @ map ( $\Lambda$ .App ( $\Lambda$ .Src  $t$ ))  $U * \setminus^*$  [ $t \circ \Lambda$ .Trg  $u$ ]
  using  $t \Lambda$ .Arr-not-Nil
  by (metis Arr-imp-arr-hd  $\Lambda$ .arr-char list.sel(1) orthogonal-App-single-single(1)
       orthogonal-App-single-single(2)  $uU$ )
also have ... = [ $\Lambda$ .Trg  $t \circ u$ ] @ map ( $\Lambda$ .App ( $\Lambda$ .Trg  $t$ ))  $U$ 
proof -
  have  $\Lambda$ .Src (hd  $U$ ) =  $\Lambda$ .Trg  $u$ 
    using  $U uU$  Arr.elims(2) Srcs-simp $_{\Lambda P}$  by force
    thus ?thesis
      using  $t uU$  ind Arr.elims(2) by fastforce
qed
also have ... = map ( $\Lambda$ .App ( $\Lambda$ .Trg  $t$ )) ( $u \# U$ )
  by auto
  finally show ?thesis by blast
qed
show [ $t \circ \Lambda$ .Src (hd ( $u \# U$ ))] * \setminus^* map ( $\Lambda$ .App ( $\Lambda$ .Src  $t$ )) ( $u \# U$ ) =
  [ $t \circ \Lambda$ .Trg (last ( $u \# U$ ))]
proof -
  have [ $t \circ \Lambda$ .Src (hd ( $u \# U$ ))] * \setminus^* map ( $\Lambda$ .App ( $\Lambda$ .Src  $t$ )) ( $u \# U$ ) =
    ([ $t \circ \Lambda$ .Src (hd ( $u \# U$ ))] * \setminus^* [ $\Lambda$ .Src  $t \circ u$ ]) * \setminus^* map ( $\Lambda$ .App ( $\Lambda$ .Src  $t$ ))  $U$ 
    by (metis  $U 4$  Con-sym Resid-cons(2) list.distinct(1) list.simps(9) map-is-Nil-conv)
  also have ... = [ $t \circ \Lambda$ .Trg  $u$ ] * \setminus^* map ( $\Lambda$ .App ( $\Lambda$ .Src  $t$ ))  $U$ 
    by (metis Arr-imp-arr-hd lambda-calculus.arr-char list.sel(1)
         orthogonal-App-single-single(2)  $t uU$ )
  also have ... = [ $t \circ \Lambda$ .Trg (last ( $u \# U$ ))]
    by (metis 2  $t U uU$  Con-Arr-self Con-cons(1) Con-implies-Arr(1) Trg-last-Src-hd-eqI
        arr-append-imp-seq coinitialE ind  $\Lambda$ .Src.simps(4)  $\Lambda$ .Trg.simps(3)
         $\Lambda$ .lambda.inject(3) last.simps list.distinct(1) list.map sel(1) map-is-Nil-conv)
  finally show ?thesis by blast
qed
qed
qed
qed
qed

lemma orthogonal-App-Arr-Arr:
shows [[Arr  $T$ ; Arr  $U$ ]  $\Rightarrow$ 
      map ( $\Lambda$ .App ( $\Lambda$ .Src (hd  $T$ )))  $U * \setminus^*$  map ( $\lambda X$ .  $\Lambda$ .App  $X$  ( $\Lambda$ .Src (hd  $U$ )))  $T =$ 
      map ( $\Lambda$ .App ( $\Lambda$ .Trg (last  $T$ )))  $U \wedge$ 
      map ( $\lambda X$ .  $X \circ \Lambda$ .Src (hd  $U$ ))  $T * \setminus^*$  map ( $\Lambda$ .App ( $\Lambda$ .Src (hd  $T$ )))  $U =$ 
      map ( $\lambda X$ .  $X \circ \Lambda$ .Trg (last  $U$ ))  $T$ 
proof (induct  $T$  arbitrary:  $U$ )
  show  $\bigwedge U$ . [[Arr []; Arr  $U$ ]  $\Rightarrow$ 
    map ( $\Lambda$ .App ( $\Lambda$ .Src (hd [])))  $U * \setminus^*$  map ( $\lambda X$ .  $X \circ \Lambda$ .Src (hd  $U$ )) [] =
    map ( $\Lambda$ .App ( $\Lambda$ .Trg (last [])))  $U \wedge$ 
    map ( $\lambda X$ .  $X \circ \Lambda$ .Src (hd  $U$ )) [] * \setminus^* map ( $\Lambda$ .App ( $\Lambda$ .Src (hd [])))  $U =$ 
    map ( $\lambda X$ .  $X \circ \Lambda$ .Trg (last  $U$ )) []
  by simp
  fix  $t T U$ 

```

```

assume ind:  $\bigwedge U. \llbracket \text{Arr } T; \text{Arr } U \rrbracket$ 
 $\implies \text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } T))) U * \setminus^*$ 
 $\quad \text{map}(\lambda X. \Lambda.\text{App } X (\Lambda.\text{Src}(\text{hd } U))) T =$ 
 $\quad \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg}(\text{last } T))) U \wedge$ 
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T * \setminus^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } T))) U =$ 
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Trg}(\text{last } U)) T$ 

assume tT:  $\text{Arr}(t \# T)$ 
assume U:  $\text{Arr } U$ 
show  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U * \setminus^* \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) =$ 
 $\quad \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg}(\text{last } (t \# T)))) U \wedge$ 
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) * \setminus^* \text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U =$ 
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Trg}(\text{last } U)) (t \# T)$ 

proof (cases  $T = []$ )
show  $T = [] \implies ?\text{thesis}$ 
using tT U
by (simp add: orthogonal-App-single-Arr)
assume T:  $T \neq []$ 
have 1:  $\text{Arr } T$ 
using T tT Arr-imp-Arr-tl by fastforce
have 2:  $\Lambda.\text{Src}(\text{hd } T) = \Lambda.\text{Trg } t$ 
using tT T Arr.elims(2) Srcs-simpΛP by force
show ?thesis
proof
show 3:  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U * \setminus^*$ 
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) =$ 
 $\quad \text{map}(\Lambda.\text{App}(\Lambda.\text{Trg}(\text{last } (t \# T)))) U$ 
proof –
have  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U * \setminus^* \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T)$ 
 $=$ 
 $\quad \text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \setminus^*$ 
 $\quad ([\Lambda.\text{App } t (\Lambda.\text{Src}(\text{hd } U))] @ \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T)$ 
using tT U by simp
also have ... = ( $\text{map}(\Lambda.\text{App}(\Lambda.\text{Src } t)) U * \setminus^* [t \circ \Lambda.\text{Src}(\text{hd } U)]$ ) * \setminus^*
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T$ 
using tT U Resid-append(2)
by (metis Con-appendI(2) Resid.simps(1) T map-is-Nil-conv not-Cons-self2)
also have ... =  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg } t)) U * \setminus^* \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T$ 
using tT U orthogonal-App-single-Arr Arr-imp-arr-hd by fastforce
also have ... =  $\text{map}(\Lambda.\text{App}(\Lambda.\text{Trg}(\text{last } (t \# T)))) U$ 
using tT U 1 2 ind by auto
finally show ?thesis by blast
qed
show  $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) * \setminus^*$ 
 $\quad \text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U =$ 
 $\quad \text{map}(\lambda X. X \circ \Lambda.\text{Trg}(\text{last } U)) (t \# T)$ 

proof –
have  $\text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) (t \# T) * \setminus^*$ 
 $\quad \text{map}(\Lambda.\text{App}(\Lambda.\text{Src}(\text{hd } (t \# T)))) U =$ 
 $\quad ([t \circ \Lambda.\text{Src}(\text{hd } U)] @ \text{map}(\lambda X. X \circ \Lambda.\text{Src}(\text{hd } U)) T) * \setminus^*$ 

```

```

    map (Λ.App (Λ.Src t)) U
  using tT U by simp
also have ... = ([t o Λ.Src (hd U)] *＼* map (Λ.App (Λ.Src t)) U) @
  (map (λX. X o Λ.Src (hd U)) T *＼*
   (map (Λ.App (Λ.Src t)) U *＼* [t o Λ.Src (hd U)]))
using tT U 3 Con-sym
  Resid-append(1)
  [of [t o Λ.Src (hd U)] map (λX. X o Λ.Src (hd U)) T
   map (Λ.App (Λ.Src t)) U]
  by fastforce
also have ... = [t o Λ.Trg (last U)] @
  map (λX. X o Λ.Src (hd U)) T *＼* map (Λ.App (Λ.Trg t)) U
  using tT U Arr-imp-arr-hd orthogonal-App-single-Arr by fastforce
also have ... = [t o Λ.Trg (last U)] @ map (λX. X o Λ.Trg (last U)) T
  using tT U 1 2 ind by presburger
also have ... = map (λX. X o Λ.Trg (last U)) (t # T)
  by simp
  finally show ?thesis by blast
qed
qed
qed
qed

lemma orthogonal-App-cong:
assumes Arr T and Arr U
shows map (λX. X o Λ.Src (hd U)) T @ map (Λ.App (Λ.Trg (last T))) U *~*
  map (Λ.App (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T

proof
have 1: Arr (map (λX. X o Λ.Src (hd U)) T)
  using assms Arr-imp-arr-hd Arr-map-App1 Λ.Ide-Src by force
have 2: Arr (map (Λ.App (Λ.Trg (last T))) U)
  using assms Arr-imp-arr-last Arr-map-App2 Λ.Ide-Trg by force
have 3: Arr (map (Λ.App (Λ.Src (hd T))) U)
  using assms Arr-imp-arr-hd Arr-map-App2 Λ.Ide-Src by force
have 4: Arr (map (λX. X o Λ.Trg (last U)) T)
  using assms Arr-imp-arr-last Arr-map-App1 Λ.Ide-Trg by force
have 5: Arr (map (λX. X o Λ.Src (hd U)) T @ map (Λ.App (Λ.Trg (last T))) U)
  using assms
  by (metis (no-types, lifting) 1 2 Arr.simps(2) Arr-has-Src Arr-imp-arr-last
      Srcs.simps(1) Srcs-Resid-Arr-single Trgs-simpP arr-append arr-char last-map
      orthogonal-App-single-Arr seq-char)
have 6: Arr (map (Λ.App (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T)
  using assms
  by (metis (no-types, lifting) 3 4 Arr.simps(2) Arr-has-Src Arr-imp-arr-hd
      Srcs.simps(1) Srcs.simps(2) Srcs-Resid Srcs-simpP arr-append arr-char hd-map
      orthogonal-App-single-Arr seq-char)
have 7: Con (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U)
  (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T)

```

```

using assms orthogonal-App-Arr-Arr [of T U]
by (metis 1 2 5 6 Con-imp-eq-Srcs Resid.simps(1) Srcs-append confluence-ind)
have 8: Con (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T)
           (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U)
using 7 Con-sym by simp
show map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U *~*
           map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T
proof –
have (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U) *~*
           (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T) =
           map (λX. X o Λ.Trg (last U)) T *~* map (λX. X o Λ.Trg (last U)) T @
           (map ((o) (Λ.Trg (last T))) U *~* map ((o) (Λ.Trg (last T))) U) *~*
           (map (λX. X o Λ.Trg (last U)) T *~* map (λX. X o Λ.Trg (last U)) T)
using assms 7 orthogonal-App-Arr-Arr
Resid-append2
[of map (λX. X o Λ.Src (hd U)) T map (Λ.App (Λ.Trg (last T))) U
   map (Λ.App (Λ.Src (hd T))) U map (λX. X o Λ.Trg (last U)) T]
by fastforce
moreover have Ide ...
using assms 1 2 3 4 5 6 7 Resid-Arr-self
by (metis Arr-append-iffP Con-Arr-self Con-imp-Arr-Resid Ide-appendIP
      Resid-Ide-Arr-ind append-Nil2 calculation)
ultimately show ?thesis
using ide-char by presburger
qed
show map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T *~*
           map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U
proof –
have map ((o) (Λ.Src (hd T))) U *~* map (λX. X o Λ.Src (hd U)) T =
           map ((o) (Λ.Trg (last T))) U
by (simp add: assms orthogonal-App-Arr-Arr)
have (map ((o) (Λ.Src (hd T))) U @ map (λX. X o Λ.Trg (last U)) T) *~*
           (map (λX. X o Λ.Src (hd U)) T @ map ((o) (Λ.Trg (last T))) U) =
           (map ((o) (Λ.Trg (last T))) U) *~* map ((o) (Λ.Trg (last T))) U @
           (map (λX. X o Λ.Trg (last U)) T *~* map (λX. X o Λ.Trg (last U)) T) *~*
           (map ((o) (Λ.Trg (last T))) U *~* map ((o) (Λ.Trg (last T))) U)
using assms 8 orthogonal-App-Arr-Arr [of T U]
Resid-append2
[of map (Λ.App (Λ.Src (hd T))) U map (λX. X o Λ.Trg (last U)) T
   map (λX. X o Λ.Src (hd U)) T map (Λ.App (Λ.Trg (last T))) U]
by fastforce
moreover have Ide ...
using assms 1 2 3 4 5 6 8 Resid-Arr-self Arr-append-iffP Con-sym
by (metis Con-Arr-self Con-imp-Arr-Resid Ide-appendIP Resid-Ide-Arr-ind
      append-Nil2 calculation)
ultimately show ?thesis
using ide-char by presburger
qed
qed

```

We arrive at the final objective of this section: factorization, up to congruence, of a path whose transitions all have *App* as the top-level constructor, into the composite of a path that reduces only the “rators” and a path that reduces only the “rands”.

```

lemma map-App-decomp:
shows  $\llbracket \text{Arr } U; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App} \rrbracket \implies$ 

$$\begin{aligned} & \text{map } (\lambda X. X \circ \Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } U))) (\text{map } \Lambda.\text{un-App1 } U) @ \\ & \quad \text{map } (\lambda X. \Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } U)) \circ X) (\text{map } \Lambda.\text{un-App2 } U) * \sim^* \\ & \quad U \end{aligned}$$

proof (induct  $U$ )
show  $\text{Arr } [] \implies \text{map } (\lambda X. X \circ \Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } []))) (\text{map } \Lambda.\text{un-App1 } []) @$ 

$$\begin{aligned} & \quad \text{map } (\Lambda.\text{App} (\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } [])))) (\text{map } \Lambda.\text{un-App2 } []) * \sim^* \\ & \quad [] \end{aligned}$$

by simp
fix  $u$   $U$ 
assume  $\text{ind}: \llbracket \text{Arr } U; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App} \rrbracket \implies$ 

$$\begin{aligned} & \text{map } (\lambda X. \Lambda.\text{App } X (\Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } U)))) (\text{map } \Lambda.\text{un-App1 } U) @ \\ & \quad \text{map } (\lambda X. \Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } U)) \circ X) (\text{map } \Lambda.\text{un-App2 } U) * \sim^* \\ & \quad U \end{aligned}$$

assume  $uU: \text{Arr } (u \# U)$ 
assume  $\text{set}: \text{set } (u \# U) \subseteq \text{Collect } \Lambda.\text{is-App}$ 
have  $u: \Lambda.\text{Arr } u \wedge \Lambda.\text{is-App } u$ 
using set set-Arr-subset-arr  $uU$  by fastforce
show  $\text{map } (\lambda X. X \circ \Lambda.\text{Src} (\Lambda.\text{un-App2} (\text{hd } (u \# U)))) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 

$$\begin{aligned} & \quad \text{map } (\Lambda.\text{App} (\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } (u \# U))))) (\text{map } \Lambda.\text{un-App2 } (u \# U)) * \sim^* \\ & \quad u \# U \end{aligned}$$

proof (cases  $U = []$ )
assume  $U: U = []$ 
show  $?thesis$ 
using  $u U \Lambda.\text{Con-sym } \Lambda.\text{Ide-iff-Src-self } \Lambda.\text{resid-Arr-self } \Lambda.\text{resid-Src-Arr}$ 

$$\Lambda.\text{resid-Arr-Src } \Lambda.\text{Src-resid } \Lambda.\text{Arr-resid ide-char } \Lambda.\text{Arr-not-Nil}$$

by (cases  $u$ , simp-all)
next
assume  $U: U \neq []$ 
have  $1: \text{Arr } (\text{map } \Lambda.\text{un-App1 } U)$ 
using  $U \text{ set Arr-map-un-App1 } uU$ 
by (metis Arr-imp-Arr-tl list.distinct(1) list.map-disc-iff list.map-sel(2) list.sel(3))
have  $2: \text{Arr } [\Lambda.\text{un-App2 } u]$ 
using  $U uU \text{ set}$ 
by (metis Arr.simps(2) Arr-imp-arr-hd Arr-map-un-App2 hd-map list.discI list.sel(1))
have  $3: \Lambda.\text{Arr } (\Lambda.\text{un-App1 } u) \wedge \Lambda.\text{Arr } (\Lambda.\text{un-App2 } u)$ 
using  $uU \text{ set}$ 
by (metis Arr-imp-arr-hd Arr-map-un-App1 Arr-map-un-App2 arr-char

$$\text{list.distinct(1) list.map-sel(1) list.sel(1)}$$
)
have  $4: \text{map } (\lambda X. X \circ \Lambda.\text{Src} (\Lambda.\text{un-App2 } u)) (\text{map } \Lambda.\text{un-App1 } U) @$ 

$$\begin{aligned} & [\Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } U)) \circ \Lambda.\text{un-App2 } u] * \sim^* \\ & [\Lambda.\text{Src} (\text{hd } (\text{map } \Lambda.\text{un-App1 } U)) \circ \Lambda.\text{un-App2 } u] @ \\ & \quad \text{map } (\lambda X. X \circ \Lambda.\text{Trg} (\text{last } [\Lambda.\text{un-App2 } u])) (\text{map } \Lambda.\text{un-App1 } U) \end{aligned}$$

proof –
have  $\text{map } (\lambda X. X \circ \Lambda.\text{Src} (\text{hd } [\Lambda.\text{un-App2 } u])) (\text{map } \Lambda.\text{un-App1 } U) =$ 
```

```

map ( $\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (map \Lambda.un-App1 U)$ )
using  $U uU$  set by simp
moreover have map ( $\Lambda.App (\Lambda.Trg (last (map \Lambda.un-App1 U)))) [\Lambda.un-App2 u] =$ 
 $[\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]$ 
by (simp add:  $U$  last-map)
moreover have map ( $\Lambda.App (\Lambda.Src (hd (map \Lambda.un-App1 U)))) [\Lambda.un-App2 u] =$ 
 $[\Lambda.Src (hd (map \Lambda.un-App1 U)) \circ \Lambda.un-App2 u]$ 
by simp
moreover have map ( $\lambda X. X \circ \Lambda.Trg (last [\Lambda.un-App2 u])) (map \Lambda.un-App1 U) =$ 
 $map (\lambda X. X \circ \Lambda.Trg (last [\Lambda.un-App2 u])) (map \Lambda.un-App1 U)$ 
using  $U uU$  set by blast
ultimately show ?thesis
using  $U uU$  set last-map hd-map 1 2 3
orthogonal-App-cong [of map  $\Lambda.un-App1 U$  [ $\Lambda.un-App2 u$ ]]
by presburger
qed
have 5:  $\LambdaArr (\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u))$ 
by (simp add: 3)
have 6:  $Arr (map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (map \Lambda.un-App2 U))$ 
by (metis 1 Arr-imp-arr-last Arr-map-App2 Arr-map-un-App2 Con-implies-Arr(2)
Ide.simps(1) Resid-Arr-self Resid-cons(2)  $U$  insert-subset
 $\Lambda.Ide-Trg \Lambda.arr-char$  last-map list.simps(15) set  $uU$ )
have 7:  $\LambdaArr (\Lambda.Trg (\Lambda.un-App1 (last U)))$ 
by (metis 4 Arr.simps(2) Arr-append-iffP Con-implies-Arr(2) Ide.simps(1)
 $U$  ide-char  $\LambdaArr$ .simps(4)  $\Lambda.arr-char$  list.map-disc-iff not-Cons-self2)
have 8:  $\Lambda.Src (hd (map \Lambda.un-App1 U)) = \Lambda.Trg (\Lambda.un-App1 u)$ 
proof –
have  $\Lambda.Src (hd U) = \Lambda.Trg u$ 
using  $u uU U$  by fastforce
thus ?thesis
using  $u uU U$  set
apply (cases  $u$ ; cases hd  $U$ )
apply (simp-all add: list.map-sel(1))
using list.set-sel(1)
by fastforce
qed
have 9:  $\Lambda.Src (\Lambda.un-App2 (hd U)) = \Lambda.Trg (\Lambda.un-App2 u)$ 
proof –
have  $\Lambda.Src (hd U) = \Lambda.Trg u$ 
using  $u uU U$  by fastforce
thus ?thesis
using  $u uU U$  set
apply (cases  $u$ ; cases hd  $U$ )
apply simp-all
by (metis lambda-calculus.lambda.disc(15) list.set-sel(1) mem-Collect-eq
subset-code(1))
qed
have map ( $\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 (hd (u \# U)))) (map \Lambda.un-App1 (u \# U)) @$ 
 $map ((\circ) (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))) (map \Lambda.un-App2 (u \# U)) =$ 

```

```


$$[\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)] @$$


$$(map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u))$$


$$(\map \Lambda.un-App1 U) @ [\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]) @$$


$$\map ((\circ) (\Lambda.Trg (\Lambda.un-App1 (last U)))) (\map \Lambda.un-App2 U)$$

using  $uU U$  by simp
also have 12:  $cong ... ([\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)] @$ 

$$([\Lambda.Src (hd (map \Lambda.un-App1 U)) \circ \Lambda.un-App2 u] @$$


$$\map (\lambda X. X \circ \Lambda.Trg (last [\Lambda.un-App2 u])) (\map \Lambda.un-App1 U)) @$$


$$\map ((\circ) (\Lambda.Trg (\Lambda.un-App1 (last U)))) (\map \Lambda.un-App2 U))$$

proof (intro cong-append [of  $[\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)]$ ])

$$cong-append [\mathbf{where} U = map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X)$$


$$(\map \Lambda.un-App2 U)])$$

show  $[\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)] * \sim^* [\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)]$ 
using 5 arr-char cong-reflexive Arr.simps(2) Arr.arr-char by presburger
show  $\map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (\map \Lambda.un-App2 U) * \sim^*$ 

$$\map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (\map \Lambda.un-App2 U)$$

using 6 cong-reflexive by auto
show  $\map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (\map \Lambda.un-App1 U) @$ 

$$[\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u] * \sim^*$$


$$[\Lambda.Src (hd (map \Lambda.un-App1 U)) \circ \Lambda.un-App2 u] @$$


$$\map (\lambda X. X \circ \Lambda.Trg (last [\Lambda.un-App2 u])) (\map \Lambda.un-App1 U)$$

using 4 by simp
show 10:  $seq [\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)]$ 

$$((map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (\map \Lambda.un-App1 U) @$$


$$[\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]) @$$


$$\map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (\map \Lambda.un-App2 U))$$

proof
show Arr  $[\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)]$ 
using 5 Arr.simps(2) by blast
show Arr  $((map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (\map \Lambda.un-App1 U) @$ 

$$[\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]) @$$


$$\map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (\map \Lambda.un-App2 U))$$

proof (intro Arr-appendIPWE)
show Arr  $(map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (\map \Lambda.un-App1 U))$ 
using 1 3 Arr-map-App1 lambda-calculus.Ide-Src by blast
show Arr  $[\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]$ 
by (simp add: 3 7)
show Trg  $(map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (\map \Lambda.un-App1 U)) =$ 

$$Src [\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]$$

by (metis 4 Arr-appendEPWE Con-implies-Arr(2) Ide.simps(1) U ide-char
list.map-disc-iff not-Cons-self2)
show Arr  $(map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (\map \Lambda.un-App2 U))$ 
using 6 by simp
show Trg  $(map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (\map \Lambda.un-App1 U) @$ 

$$[\Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u]) =$$


$$Src (map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (\map \Lambda.un-App2 U))$$

using  $U uU$  set 1 3 6 7 9 Srcs-simpPWE Arr-imp-arr-hd Arr-imp-arr-last
apply auto
by (metis Nil-is-map-conv hd-map  $\Lambda.Src.simps(4)$   $\Lambda.Src-Trg$   $\Lambda.Trg-Trg$ )

```

```

last-map list.map-comp)
qed
show  $\Lambda.Trg\ (last\ [\Lambda.un-App1\ u \circ \Lambda.Src\ (\Lambda.un-App2\ u)]) =$ 
 $\Lambda.Src\ (hd\ ((map\ (\lambda X.\ X \circ \Lambda.Src\ (\Lambda.un-App2\ u)))\ (map\ \Lambda.un-App1\ U)) @$ 
 $[\Lambda.Trg\ (\Lambda.un-App1\ (last\ U)) \circ \Lambda.un-App2\ u]) @$ 
 $map\ (\lambda X.\ \Lambda.Trg\ (\Lambda.un-App1\ (last\ U)) \circ X)\ (map\ \Lambda.un-App2\ U)))$ 
using 8 9
by (simp add: 3 U hd-map)
qed
show seq (map (\lambda X. X \circ \Lambda.Src (\Lambda.un-App2 u)) (map \Lambda.un-App1 U) @
[ \Lambda.Trg (\Lambda.un-App1 (last U)) \circ \Lambda.un-App2 u])
(map (\lambda X. \Lambda.Trg (\Lambda.un-App1 (last U)) \circ X) (map \Lambda.un-App2 U)))
by (metis Nil-is-map-conv U 10 append-is-Nil-conv arr-append-imp-seq seqE)
qed
also have 11:  $[\Lambda.un-App1\ u \circ \Lambda.Src\ (\Lambda.un-App2\ u)] @$ 
 $([\Lambda.Src\ (hd\ (map\ \Lambda.un-App1\ U)) \circ \Lambda.un-App2\ u] @$ 
 $map\ (\lambda X.\ X \circ \Lambda.Trg\ (last\ [\Lambda.un-App2\ u]))\ (map\ \Lambda.un-App1\ U)) @$ 
 $map\ ((\circ)\ (\Lambda.Trg\ (\Lambda.un-App1\ (last\ U))))\ (map\ \Lambda.un-App2\ U) =$ 
 $([\Lambda.un-App1\ u \circ \Lambda.Src\ (\Lambda.un-App2\ u)] @$ 
 $[\Lambda.Src\ (hd\ (map\ \Lambda.un-App1\ U)) \circ \Lambda.un-App2\ u]) @$ 
 $map\ (\lambda X.\ X \circ \Lambda.Trg\ (last\ [\Lambda.un-App2\ u]))\ (map\ \Lambda.un-App1\ U)) @$ 
 $map\ ((\circ)\ (\Lambda.Trg\ (\Lambda.un-App1\ (last\ U))))\ (map\ \Lambda.un-App2\ U)$ 
by simp
also have cong ... ([u] @ U)
proof (intro cong-append)
show seq ([\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)] @
[\Lambda.Src (hd (map \Lambda.un-App1 U)) \circ \Lambda.un-App2 u])
(map (\lambda X. X \circ \Lambda.Trg (last [\Lambda.un-App2 u])) (map \Lambda.un-App1 U) @
map ((\circ) (\Lambda.Trg (\Lambda.un-App1 (last U)))) (map \Lambda.un-App2 U))
by (metis 5 11 12 U Arr.simps(1-2) Con-implies-Arr(2) Ide.simps(1) Nil-is-map-conv
append-is-Nil-conv arr-append-imp-seq arr-char ide-char \Lambda.arr-char)
show [\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)] @
[\Lambda.Src (hd (map \Lambda.un-App1 U)) \circ \Lambda.un-App2 u] *~*
[u]
proof -
have [\Lambda.un-App1 u \circ \Lambda.Src (\Lambda.un-App2 u)] @
[\Lambda.Trg (\Lambda.un-App1 u) \circ \Lambda.un-App2 u] *~*
[u]
using u uU U \LambdaArr-Trg \LambdaArr-not-Nil \Lambda.resid-Arr-self
apply (cases u)
apply auto
by force+
thus ?thesis using 8 by simp
qed
show map (\lambda X. X \circ \Lambda.Trg (last [\Lambda.un-App2 u])) (map \Lambda.un-App1 U) @
map ((\circ) (\Lambda.Trg (\Lambda.un-App1 (last U)))) (map \Lambda.un-App2 U) *~*
U
using ind set 9
apply simp

```

```

    using  $U \mathrel{u} U$  by blast
qed
also have  $[u] @ U = u \# U$ 
  by simp
finally show ?thesis by blast
qed
qed

```

### 3.3.4 Miscellaneous

```

lemma Resid-parallel:
assumes cong t t' and coinitial t u
shows  $u * \setminus^* t = u * \setminus^* t'$ 
proof -
  have  $u * \setminus^* t = (u * \setminus^* t) * \setminus^* (t' * \setminus^* t)$ 
    using assms
    by (metis con-target conIP con-sym resid-arr-ide)
  also have ... =  $(u * \setminus^* t') * \setminus^* (t * \setminus^* t')$ 
    using cube by auto
  also have ... =  $u * \setminus^* t'$ 
    using assms
    by (metis con-target conIP con-sym resid-arr-ide)
  finally show ?thesis by blast
qed

lemma set-Ide-subset-single-hd:
shows Ide T  $\implies$  set T  $\subseteq \{hd T\}$ 
apply (induct T, auto)
using  $\Lambda.coinitial-ide-are-cong$ 
by (metis Arr-imp-arr-hd Ide-consE Ide-imp-Ide-hd Ide-implies-Arr Srcs-simpPWE Srcs-simp $\Lambda P$ 
 $\Lambda.trg-ide equals0D \Lambda.Ide-iff-Src-self \Lambda.arr-char \Lambda.ide-char set-empty singletonD$ 
subset-code(1)))

```

A single parallel reduction with *Beta* as the top-level operator factors, up to congruence, either as a path in which the top-level redex is contracted first, or as a path in which the top-level redex is contracted last.

```

lemma Beta-decomp:
assumes  $\Lambda.Arr t$  and  $\Lambda.Arr u$ 
shows  $[\lambda[\Lambda.Src t] \bullet \Lambda.Src u] @ [\Lambda.subst u t] * \sim^* [\lambda[t] \bullet u]$ 
and  $[\lambda[t] \circ u] @ [\lambda[\Lambda.Trg t] \bullet \Lambda.Trg u] * \sim^* [\lambda[t] \bullet u]$ 
using assms  $\Lambda.Arr-not-Nil \Lambda.Subst-not-Nil ide-char \Lambda.Ide-Subst \Lambda.Ide-Trg$ 
 $\Lambda.Arr-Subst \Lambda.resid-Arr-self$ 
by auto

```

If a reduction path follows an initial reduction whose top-level constructor is *Lam*, then all the terms in the path have *Lam* as their top-level constructor.

```

lemma seq-Lam-Arr-implies:
shows  $\llbracket seq [t] U; \Lambda.is-Lam t \rrbracket \implies set U \subseteq Collect \Lambda.is-Lam$ 
proof (induct U arbitrary: t)

```

```

show  $\Lambda t. \llbracket \text{seq } [t] \rrbracket; \Lambda.\text{is-Lam } t \rrbracket \implies \text{set } [] \subseteq \text{Collect } \Lambda.\text{is-Lam}$ 
  by simp
fix  $u U t$ 
assume  $\text{ind}: \Lambda t. \llbracket \text{seq } [t] \rrbracket U; \Lambda.\text{is-Lam } t \rrbracket \implies \text{set } U \subseteq \text{Collect } \Lambda.\text{is-Lam}$ 
assume  $uU: \text{seq } [t] (u \# U)$ 
assume  $t: \Lambda.\text{is-Lam } t$ 
show  $\text{set } (u \# U) \subseteq \text{Collect } \Lambda.\text{is-Lam}$ 
proof -
  have  $\Lambda.\text{is-Lam } u$ 
    by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Src.simps}(1\cdots 2,4\cdots 5)$   $\Lambda.\text{Trg.simps}(2)$   $\Lambda.\text{is-App-def}$ 
       $\Lambda.\text{is-Beta-def } \Lambda.\text{is-Lam-def } \Lambda.\text{is-Var-def } \Lambda.\text{lambda.disc}(9) \Lambda.\text{lambda.exhaust-disc}$ 
      last-ConsL list.sel(1)  $t uU$ )
  moreover have  $\text{set } U \subseteq \text{Collect } \Lambda.\text{is-Lam}$ 
  proof (cases  $U = []$ )
    show  $U = [] \implies ?\text{thesis}$ 
      by simp
    assume  $U: U \neq []$ 
    have  $\text{seq } [u] U$ 
      by (metis U append-Cons arr-append-imp-seq not-Cons-self2 self-append-conv2
        seqE uU)
    thus  $??\text{thesis}$ 
      using ind calculation by simp
  qed
  ultimately show  $??\text{thesis}$  by auto
qed
qed

lemma seq-map-un-Lam:
assumes  $\text{seq } [\lambda[t]] U$ 
shows  $\text{seq } [t] (\text{map } \Lambda.\text{un-Lam } U)$ 
proof -
  have  $\text{Arr } (\lambda[t] \# U)$ 
    using assms
    by (simp add: seq-char)
  hence  $\text{Arr } (\text{map } \Lambda.\text{un-Lam } (\lambda[t] \# U)) \wedge \text{Arr } U$ 
    using seq-Lam-Arr-implies
    by (metis Arr-map-un-Lam seq  $[\lambda[t]] U$   $\Lambda.\text{lambda.discI}(2)$  mem-Collect-eq
      seq-char set-ConsD subset-code(1))
  hence  $\text{Arr } (\Lambda.\text{un-Lam } \lambda[t] \# \text{map } \Lambda.\text{un-Lam } U) \wedge \text{Arr } U$ 
    by simp
  thus  $??\text{thesis}$ 
    using seq-char
    by (metis (no-types, lifting) Arr.simps(1) Con-imp-eq-Srcs Con-implies-Arr(2)
      Con-initial-right Resid-rec(1) Resid-rec(3) Srcs-Resid  $\Lambda.\text{lambda.sel}(2)$ 
      map-is-Nil-conv confluence-ind)
qed

end

```

## 3.4 Developments

A *development* is a reduction path from a term in which at each step exactly one redex is contracted, and the only redexes that are contracted are those that are residuals of redexes present in the original term. That is, no redexes are contracted that were newly created as a result of the previous reductions. The main theorem about developments is the Finite Developments Theorem, which states that all developments are finite. A proof of this theorem was published by Hindley [6], who attributes the result to Schroer [9]. Other proofs were published subsequently. Here we follow the paper by de Vrijer [5], which may in some sense be considered the definitive work because de Vrijer's proof gives an exact bound on the number of steps in a development. Since de Vrijer used a classical, named-variable representation of  $\lambda$ -terms, for the formalization given in the present article it was necessary to find the correct way to adapt de Vrijer's proof to the de Bruijn index representation of terms. I found this to be a somewhat delicate matter and to my knowledge it has not been done previously.

```
context lambda-calculus
begin
```

We define an *elementary reduction* defined to be a term with exactly one marked redex. These correspond to the most basic computational steps.

```
fun elementary-reduction
where elementary-reduction # $\longleftrightarrow$  False
| elementary-reduction ( $\langle\langle - \rangle\rangle$ )  $\longleftrightarrow$  False
| elementary-reduction  $\lambda[t]$   $\longleftrightarrow$  elementary-reduction t
| elementary-reduction ( $t \circ u$ )  $\longleftrightarrow$ 
  (elementary-reduction  $t \wedge$  Ide  $u$ )  $\vee$  (Ide  $t \wedge$  elementary-reduction  $u$ )
| elementary-reduction ( $\lambda[t] \bullet u$ )  $\longleftrightarrow$  Ide  $t \wedge$  Ide  $u$ 
```

It is tempting to imagine that elementary reductions would be atoms with respect to the preorder  $\lesssim$ , but this is not necessarily the case. For example, suppose  $t = \lambda[\langle\langle 1 \rangle\rangle] \bullet (\lambda[\langle\langle 0 \rangle\rangle \circ \langle\langle 0 \rangle\rangle)$  and  $u = \lambda[\langle\langle 1 \rangle\rangle] \bullet (\lambda[\langle\langle 0 \rangle\rangle \bullet \langle\langle 0 \rangle\rangle)$ . Then  $t$  is an elementary reduction,  $u \lesssim t$  (in fact  $u \sim t$ ) but  $u$  is not an identity, nor is it elementary.

```
lemma elementary-reduction-is-arr:
shows elementary-reduction  $t \implies$  arr  $t$ 
  using Ide-implies-Arr arr-char
  by (induct  $t$ ) auto

lemma elementary-reduction-not-ide:
shows elementary-reduction  $t \implies \neg$  ide  $t$ 
  using ide-char
  by (induct  $t$ ) auto

lemma elementary-reduction-Raise-iff:
shows  $\bigwedge d n.$  elementary-reduction (Raise  $d n t$ )  $\longleftrightarrow$  elementary-reduction  $t$ 
  using Ide-Raise
  by (induct  $t$ ) auto
```

```

lemma elementary-reduction-Lam-iff:
shows is-Lam t ==> elementary-reduction t <=> elementary-reduction (un-Lam t)
  by (metis elementary-reduction.simps(3) lambda.collapse(2))

lemma elementary-reduction-App-iff:
shows is-App t ==> elementary-reduction t <=>
  (elementary-reduction (un-App1 t) & ide (un-App2 t)) ∨
  (ide (un-App1 t) & elementary-reduction (un-App2 t))
  using ide-char
  by (metis elementary-reduction.simps(4) lambda.collapse(3))

lemma elementary-reduction-Beta-iff:
shows is-Beta t ==> elementary-reduction t <=> ide (un-Beta1 t) & ide (un-Beta2 t)
  using ide-char
  by (metis elementary-reduction.simps(5) lambda.collapse(4))

lemma cong-elementary-reductions-are-equal:
shows [[elementary-reduction t; elementary-reduction u; t ~ u]] ==> t = u
proof (induct t arbitrary: u)
  show ∀u. [[elementary-reduction #; elementary-reduction u; # ~ u]] ==> # = u
    by simp
  show ∀x u. [[elementary-reduction «x»; elementary-reduction u; «x» ~ u]] ==> «x» = u
    by simp
  show ∀t u. [[∀u. [[elementary-reduction t; elementary-reduction u; t ~ u]] ==> t = u;
    elementary-reduction λ[t]; elementary-reduction u; λ[t] ~ u]
    ==> λ[t] = u
    by (metis elementary-reduction-Lam-iff lambda.collapse(2) lambda.inject(2) prfx-Lam-iff)
  show ∀t1 t2. [[∀u. [[elementary-reduction t1; elementary-reduction u; t1 ~ u]] ==> t1 = u;
    ∀u. [[elementary-reduction t2; elementary-reduction u; t2 ~ u]] ==> t2 = u;
    elementary-reduction (t1 o t2); elementary-reduction u; t1 o t2 ~ u]
    ==> t1 o t2 = u
    for u
    using prfx-App-iff
    apply (cases u)
      apply auto[3]
    apply (metis elementary-reduction-App-iff ide-backward-stable lambda.sel(3-4)
      weak-extensionality)
    by auto
  show ∀t1 t2. [[∀u. [[elementary-reduction t1; elementary-reduction u; t1 ~ u]] ==> t1 = u;
    ∀u. [[elementary-reduction t2; elementary-reduction u; t2 ~ u]] ==> t2 = u;
    elementary-reduction (λ[t1] • t2); elementary-reduction u; λ[t1] • t2 ~ u]
    ==> λ[t1] • t2 = u
    for u
    using prfx-App-iff
    apply (cases u, simp-all)
    by (metis (full-types) Coinitial-iff-Con Ide-iff-Src-self Ide.simps(1))
qed

```

An *elementary reduction path* is a path in which each step is an elementary reduction. It will be convenient to regard the empty list as an elementary reduction path, even

though it is not actually a path according to our previous definition of that notion.

**definition (in reduction-paths) elementary-reduction-path**  
**where** elementary-reduction-path  $T \longleftrightarrow (T = [] \vee \text{Arr } T \wedge \text{set } T \subseteq \text{Collect } \Lambda.\text{elementary-reduction})$

In the formal definition of “development” given below, we represent a set of redexes simply by a term, in which the occurrences of *Beta* correspond to the redexes in the set. To express the idea that an elementary reduction  $u$  is a member of the set of redexes represented by term  $t$ , it is not adequate to say  $u \lesssim t$ . To see this, consider the developments of a term of the form  $\lambda[t_1] \bullet t_2$ . Intuitively, such developments should consist of a (possibly empty) initial segment containing only transitions of the form  $t_1 \circ t_2$ , followed by a transition of the form  $\lambda[u_1] \bullet u_2$ , followed by a development of the residual of the original  $\lambda[t_1] \bullet t_2$  after what has come so far. The requirement  $u \lesssim \lambda[t_1] \bullet t_2$  is not a strong enough constraint on the transitions in the initial segment, because  $\lambda[u_1] \bullet u_2 \lesssim \lambda[t_1] \bullet t_2$  can hold for  $t_2$  and  $u_2$  coinitial, but otherwise without any particular relationship between their sets of marked redexes. In particular, this can occur when  $u_2$  and  $t_2$  occur as subterms that can be deleted by the contraction of an outer redex. So we need to introduce a notion of containment between terms that is stronger and more “syntactic” than  $\lesssim$ . The notion “subsumed by” defined below serves this purpose. Term  $u$  is subsumed by term  $t$  if both terms are arrows with exactly the same form except that  $t$  may contain  $\lambda[t_1] \bullet t_2$  (a marked redex) in places where  $u$  contains  $\lambda[t_1] \circ t_2$ .

```
fun subs (infix ⊑ 50)
where «i» ⊑ «i'» ↔ i = i'
      | λ[t] ⊑ λ[t'] ↔ t ⊑ t'
      | t ∘ u ⊑ t' ∘ u' ↔ t ⊑ t' ∧ u ⊑ u'
      | λ[t] ∘ u ⊑ λ[t'] ∙ u' ↔ t ⊑ t' ∧ u ⊑ u'
      | λ[t] ∙ u ⊑ λ[t'] ∙ u' ↔ t ⊑ t' ∧ u ⊑ u'
      | - ⊑ - ↔ False

lemma subs-implies-prfx:
shows t ⊑ u ==> t ⊲ u
apply (induct t arbitrary: u)
  apply auto[1]
using subs.elims(2)
  apply fastforce
proof -
  show ∀t. [λu. t ⊑ u ==> t ⊲ u; λ[t] ⊑ u] ==> λ[t] ⊲ u for u
    by (cases u, auto) fastforce
  show ∀t2. [∀u1. t1 ⊑ u1 ==> t1 ⊲ u1;
             ∀u2. t2 ⊑ u2 ==> t2 ⊲ u2;
             t1 ∘ t2 ⊑ u]
    ==> t1 ∘ t2 ⊲ u for t1 u
  apply (cases t1; cases u)
    apply simp-all
    apply fastforce+
  apply (metis Ide-Subst con-char lambda.sel(2) subs.simps(2) prfx-Lam-iff prfx-char)
```

```

prfx-implies-con)
by fastforce+
show  $\bigwedge t_1 t_2. \llbracket \bigwedge u_1. t_1 \sqsubseteq u_1 \implies t_1 \lesssim u_1;$ 
       $\bigwedge u_2. t_2 \sqsubseteq u_2 \implies t_2 \lesssim u_2;$ 
       $\lambda[t_1] \bullet t_2 \sqsubseteq u \rrbracket$ 
       $\implies \lambda[t_1] \bullet t_2 \lesssim u \text{ for } u$ 
using Ide-Subst
apply (cases u, simp-all)
by (metis Ide.simps(1))
qed

```

The following is an example showing that two terms can be related by  $\lesssim$  without being related by  $\sqsubseteq$ .

```

lemma subs-example:
shows  $\lambda[\langle\!\rangle] \bullet (\lambda[\langle\!\rangle] \bullet \langle\!\rangle) \lesssim \lambda[\langle\!\rangle] \bullet (\lambda[\langle\!\rangle] \circ \langle\!\rangle) = \text{True}$ 
and  $\lambda[\langle\!\rangle] \bullet (\lambda[\langle\!\rangle] \bullet \langle\!\rangle) \sqsubseteq \lambda[\langle\!\rangle] \bullet (\lambda[\langle\!\rangle] \circ \langle\!\rangle) = \text{False}$ 
by auto

lemma subs-Ide:
shows  $\llbracket \text{ide } u; \text{Src } t = \text{Src } u \rrbracket \implies u \sqsubseteq t$ 
using Ide-Src Ide-implies-Arr Ide-iff-Src-self
by (induct t arbitrary: u, simp-all) force+

lemma subs-App:
shows  $u \sqsubseteq t_1 \circ t_2 \longleftrightarrow \text{is-App } u \wedge \text{un-App1 } u \sqsubseteq t_1 \wedge \text{un-App2 } u \sqsubseteq t_2$ 
by (metis lambda.collapse(3) prfx-App-iff subs.simps(3) subs-implies-prfx)

```

end

context reduction-paths  
begin

We now formally define a *development* of  $t$  to be an elementary reduction path  $U$  that is coinitial with  $[t]$  and is such that each transition  $u$  in  $U$  is subsumed by the residual of  $t$  along the prefix of  $U$  coming before  $u$ . Stated another way, each transition in  $U$  corresponds to the contraction of a single redex that is the residual of a redex originally marked in  $t$ .

```

fun development
where development t []  $\longleftrightarrow$   $\Lambda.\text{Arr } t$ 
      | development t (u # U)  $\longleftrightarrow$ 
         $\Lambda.\text{elementary-reduction } u \wedge u \sqsubseteq t \wedge \text{development } (t \setminus u) \ U$ 

lemma development-imp-Arr:
assumes development t U
shows  $\Lambda.\text{Arr } t$ 
using assms
by (metis  $\Lambda.\text{Con-implies-Arr2 }$   $\Lambda.\text{Ide.simps}(1)$   $\Lambda.\text{ide-char }$   $\Lambda.\text{subs-implies-prfx }$ 
      development.elims(2))

```

```

lemma development-Ide:
shows  $\Lambda.\text{Ide } t \implies \text{development } t$   $U \longleftrightarrow U = []$ 
  using  $\Lambda.\text{Ide-implies-Arr}$ 
  apply (induct  $U$  arbitrary:  $t$ )
  apply auto
by (meson  $\Lambda.\text{elementary-reduction-not-ide}$   $\Lambda.\text{ide-backward-stable}$   $\Lambda.\text{ide-char}$ 
 $\Lambda.\text{subs-implies-prfx}$ )

lemma development-implies:
shows  $\text{development } t$   $U \implies \text{elementary-reduction-path } U \wedge (U \neq [] \longrightarrow U * \lesssim^* [t])$ 
  apply (induct  $U$  arbitrary:  $t$ )
  using elementary-reduction-path-def
  apply simp
proof -
  fix  $t u U$ 
  assume  $\text{ind}: \bigwedge t. \text{development } t$   $U \implies$ 
    elementary-reduction-path  $U \wedge (U \neq [] \longrightarrow U * \lesssim^* [t])$ 
  show  $\text{development } t$  ( $u \# U$ )  $\implies$ 
    elementary-reduction-path ( $u \# U$ )  $\wedge (u \# U \neq [] \longrightarrow u \# U * \lesssim^* [t])$ 
  proof (cases  $U = []$ )
    assume  $uU: \text{development } t$  ( $u \# U$ )
    show  $U = [] \implies ?\text{thesis}$ 
      using  $uU$   $\Lambda.\text{subs-implies-prfx}$   $\text{ide-char}$   $\Lambda.\text{elementary-reduction-is-arr}$ 
        elementary-reduction-path-def prfx-implies-con
      by force
    assume  $U: U \neq []$ 
    have  $\Lambda.\text{elementary-reduction } u \wedge u \sqsubseteq t \wedge \text{development } (t \setminus u)$   $U$ 
      using  $U uU$  development.elims(1) by blast
    hence 1:  $\Lambda.\text{elementary-reduction } u \wedge \text{elementary-reduction-path } U \wedge u \sqsubseteq t \wedge$ 
       $(U \neq [] \longrightarrow U * \lesssim^* [t \setminus u])$ 
      using  $U uU$  ind by auto
    show ?thesis
  proof (unfold elementary-reduction-path-def, intro conjI)
    show  $u \# U = [] \vee \text{Arr } (u \# U) \wedge \text{set } (u \# U) \subseteq \text{Collect } \Lambda.\text{elementary-reduction}$ 
      using  $U 1$ 
      by (metis Con-implies-Arr(1) Con-rec(2) con-char prfx-implies-con
          elementary-reduction-path-def insert-subset list.simps(15) mem-Collect-eq
           $\Lambda.\text{prfx-implies-con}$   $\Lambda.\text{subs-implies-prfx}$ )
    show  $u \# U \neq [] \longrightarrow u \# U * \lesssim^* [t]$ 
  proof -
    have  $u \# U * \lesssim^* [t] \longleftrightarrow \text{ide } ([u \setminus t] @ U * \setminus^* [t \setminus u])$ 
      using 1  $U$  Con-rec(2) Resid-rec(2) con-char prfx-implies-con
         $\Lambda.\text{prfx-implies-con}$   $\Lambda.\text{subs-implies-prfx}$ 
      by simp
    also have ...  $\longleftrightarrow \text{True}$ 
      using  $U 1$  ide-char Ide-append-iff_PWE [of  $[u \setminus t] U * \setminus^* [t \setminus u]$ ]
      by (metis Ide.simps(2) Ide-appendI_PWE Src-resid Trg.simps(2)
           $\Lambda.\text{apex-sym}$  con-char  $\Lambda.\text{subs-implies-prfx}$  prfx-implies-con)
    finally show ?thesis by blast
  
```

```

qed
qed
qed
qed

```

The converse of the previous result does not hold, because there could be a stage  $i$  at which  $u_i \lesssim t_i$ , but  $t_i$  deletes the redex contracted in  $u_i$ , so there is nothing forcing that redex to have been originally marked in  $t$ . So  $U$  being a development of  $t$  is a stronger property than  $U$  just being an elementary reduction path such that  $U * \lesssim^* [t]$ .

```

lemma development-append:
shows [[development t U; development (t ^\ 1\ * U) V] ==> development t (U @ V)
      using development-imp-Arr null-char
      apply (induct U arbitrary: t V)
      apply auto
      by (metis Resid1x.simps(2-3) append-Nil neq-Nil-conv)

lemma development-map-Lam:
shows development t T ==> development  $\lambda[t]$  (map  $\Lambda.\text{Lam}$  T)
      using  $\Lambda.\text{Arr-not-Nil}$  development-imp-Arr
      by (induct T arbitrary: t) auto

lemma development-map-App-1:
shows [[development t T;  $\Lambda.\text{Arr}$  u] ==> development (t o u) (map ( $\lambda x.$   $x \circ \Lambda.\text{Src}$  u) T)
      apply (induct T arbitrary: t)
      apply (simp add:  $\Lambda.\text{Ide-implies-Arr}$ )
proof -
fix t T t'
assume ind:  $\bigwedge t.$  [[development t T;  $\Lambda.\text{Arr}$  u]]
      ==> development (t o u) (map ( $\lambda x.$   $x \circ \Lambda.\text{Src}$  u) T)
assume t' T: development t (t' # T)
assume u:  $\Lambda.\text{Arr}$  u
show development (t o u) (map ( $\lambda x.$   $x \circ \Lambda.\text{Src}$  u) (t' # T))
      using u t' T ind
      apply simp
      using  $\Lambda.\text{Arr-not-Nil}$   $\Lambda.\text{Ide-Src}$  development-imp-Arr  $\Lambda.\text{subs-Ide}$  by force
qed

lemma development-map-App-2:
shows [[ $\Lambda.\text{Arr}$  t; development u U] ==> development (t o u) (map ( $\lambda x.$   $\Lambda.\text{App}$  ( $\Lambda.\text{Src}$  t) x)
      U)
      apply (induct U arbitrary: u)
      apply (simp add:  $\Lambda.\text{Ide-implies-Arr}$ )
proof -
fix u U u'
assume ind:  $\bigwedge u.$  [[ $\Lambda.\text{Arr}$  t; development u U]]
      ==> development (t o u) (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Src}$  t)) U)
assume u' U: development u (u' # U)
assume t:  $\Lambda.\text{Arr}$  t
show development (t o u) (map ( $\Lambda.\text{App}$  ( $\Lambda.\text{Src}$  t)) (u' # U))

```

```

using t u'U ind
apply simp
by (metis  $\Lambda$ .Coinitial-iff-Con  $\Lambda$ .Ide-Src  $\Lambda$ .Ide-iff-Src-self  $\Lambda$ .Ide-implies-Arr
development-imp-Arr  $\Lambda$ .ide-char  $\Lambda$ .resid-Arr-Ide  $\Lambda$ .subs-Ide)
qed

```

### 3.4.1 Finiteness of Developments

A term  $t$  has the finite developments property if there exists a finite value that bounds the length of all developments of  $t$ . The goal of this section is to prove the Finite Developments Theorem: every term has the finite developments property.

```

definition FD
where FD t  $\equiv$   $\exists n. \forall U. \text{development } t \ U \longrightarrow \text{length } U \leq n$ 

```

**end**

In [6], Hindley proceeds by using structural induction to establish a bound on the length of a development of a term. The only case that poses any difficulty is the case of a  $\beta$ -redex, which is  $\lambda[t] \bullet u$  in the notation used here. He notes that there is an easy bound on the length of a development of a special form in which all the contractions of residuals of  $t$  occur before the contraction of the top-level redex. The development first takes  $\lambda[t] \bullet u$  to  $\lambda[t'] \bullet u'$ , then to *subst*  $u' t'$ , then continues with independent developments of  $u'$ . The number of independent developments of  $u'$  is given by the number of free occurrences of *Var 0* in  $t'$ . As there can be only finitely many such  $t'$ , we can use the maximum number of free occurrences of *Var 0* over all such  $t'$  to bound the steps in the independent developments of  $u'$ .

In the general case, the problem is that reductions of residuals of  $t$  can increase the number of free occurrences of *Var 0*, so we can't readily count them at any particular stage. Hindley shows that developments in which there are reductions of residuals of  $t$  that occur after the contraction of the top-level redex are equivalent to reductions of the special form, by a transformation with a bounded increase in length. This can be considered as a weak form of standardization for developments.

A later paper by de Vrijer [5] obtains an explicit function for the exact number of steps in a development of maximal length. His proof is very straightforward and amenable to formalization, and it is what we follow here. The main issue for us is that de Vrijer uses a classical representation of  $\lambda$ -terms, with variable names and  $\alpha$ -equivalence, whereas here we are using de Bruijn indices. This means that we have to discover the correct modification of de Vrijer's definitions to apply to the present situation.

```

context lambda-calculus
begin

```

Our first definition is that of the “multiplicity” of a free variable in a term. This is a count of the maximum number of times a variable could occur free in a term reachable in a development. The main issue in adjusting to de Bruijn indices is that the same variable will have different indices depending on the depth at which it occurs in the term. So, we need to keep track of how the indices of variables change as we move through the

term. Our modified definitions adjust the parameter to the multiplicity function on each recursive call, to account for the contextual depth (*i.e.* the number of binders on a path from the root of the term).

The definition of this function is readily understandable, except perhaps for the *Beta* case. The multiplicity  $mtp x (\lambda[t] \bullet u)$  has to be at least as large as  $mtp x (\lambda[t] \circ u)$ , to account for developments in which the top-level redex is not contracted. However, if the top-level redex  $\lambda[t] \bullet u$  is contracted, then the contractum is  $subst u t$ , so the multiplicity has to be at least as large as  $mtp x (subst u t)$ . This leads to the relation:

$$mtp x (\lambda[t] \bullet u) = \max (mtp x (\lambda[t] \circ u)) (mtp x (subst u t))$$

This is not directly suitable for use in a definition of the function  $mtp$ , because proving the termination is problematic. Instead, we have to guess the correct expression for  $mtp x (subst u t)$  and use that.

Now, each variable  $x$  in  $subst u t$  other than the variable  $0$  that is substituted for still has all the occurrences that it does in  $\lambda[t]$ . In addition, the variable being substituted for (which has index  $0$  in the outermost context of  $t$ ) will in general have multiple free occurrences in  $t$ , with a total multiplicity given by  $mtp 0 t$ . The substitution operation replaces each free occurrence by  $u$ , which has the effect of multiplying the multiplicity of a variable  $x$  in  $t$  by a factor of  $mtp 0 t$ . These considerations lead to the following:

$$mtp x (\lambda[t] \bullet u) = \max (mtp x \lambda[t] + mtp x u) (mtp x \lambda[t] + mtp x u * mtp 0 t)$$

However, we can simplify this to:

$$mtp x (\lambda[t] \bullet u) = mtp x \lambda[t] + mtp x u * \max 1 (mtp 0 t)$$

and replace the  $mtp x \lambda[t]$  by  $mtp (Suc x) t$  to simplify the ordering necessary for the termination proof and allow it to be done automatically.

The final result is perhaps about the first thing one would think to write down, but there are possible ways to go wrong and it is of course still necessary to discover the proper form required for the various induction proofs. I followed a long path of rather more complicated-looking definitions, until I eventually managed to find the proper inductive forms for all the lemmas and eventually arrive back at this definition.

```
fun mtp :: nat ⇒ lambda ⇒ nat
where mtp x # = 0
| mtp x «z» = (if z = x then 1 else 0)
| mtp x λ[t] = mtp (Suc x) t
| mtp x (t ∘ u) = mtp x t + mtp x u
| mtp x (λ[t] • u) = mtp (Suc x) t + mtp x u * max 1 (mtp 0 t)
```

The multiplicity function generalizes the free variable predicate. This is not actually used, but is included for explanatory purposes.

```
lemma mtp-gt-0-iff-in-FV:
shows mtp x t > 0 ↔ x ∈ FV t
proof (induct t arbitrary: x)
show ∀x. 0 < mtp x # ↔ x ∈ FV #
```

```

by simp
show  $\bigwedge x z. 0 < mtp x \langle\!\langle z \rangle\!\rangle \longleftrightarrow x \in FV \langle\!\langle z \rangle\!\rangle$ 
  by auto
show Lam:  $\bigwedge t x. (\bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t)$ 
   $\implies 0 < mtp x \lambda[t] \longleftrightarrow x \in FV \lambda[t]$ 
proof -
  fix t and x :: nat
  assume ind:  $\bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t$ 
  show  $0 < mtp x \lambda[t] \longleftrightarrow x \in FV \lambda[t]$ 
    using ind
    apply auto
    apply (metis Diff-iff One-nat-def diff-Suc-1 empty-iff imageI insert-iff
      nat.distinct(1))
    by (metis Suc-pred neq0-conv)
qed
show  $\bigwedge t u x.$ 
   $\llbracket \bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t;$ 
   $\bigwedge x. 0 < mtp x u \longleftrightarrow x \in FV u \rrbracket$ 
   $\implies 0 < mtp x (t \circ u) \longleftrightarrow x \in FV (t \circ u)$ 
  by simp
show  $\bigwedge t u x.$ 
   $\llbracket \bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t;$ 
   $\bigwedge x. 0 < mtp x u \longleftrightarrow x \in FV u \rrbracket$ 
   $\implies 0 < mtp x (\lambda[t] \bullet u) \longleftrightarrow x \in FV (\lambda[t] \bullet u)$ 
proof -
  fix t u and x :: nat
  assume ind1:  $\bigwedge x. 0 < mtp x t \longleftrightarrow x \in FV t$ 
  assume ind2:  $\bigwedge x. 0 < mtp x u \longleftrightarrow x \in FV u$ 
  show  $0 < mtp x (\lambda[t] \bullet u) \longleftrightarrow x \in FV (\lambda[t] \bullet u)$ 
    using ind1 ind2
    apply simp
    by force
qed
qed

```

We now establish a fact about commutation of multiplicity and Raise that will be needed subsequently.

```

lemma mtpE-eq-Raise:
shows  $x < d \implies mtp x (Raise d k t) = mtp x t$ 
  by (induct t arbitrary: x k d) auto

lemma mtp-Raise-ind:
shows  $\llbracket l \leq d; size t \leq s \rrbracket \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
proof (induct s arbitrary: d x k l t)
  show  $\bigwedge d x k l. \llbracket l \leq d; size t \leq 0 \rrbracket \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t$ 
    for t
    by (cases t) auto
  show  $\bigwedge s d x k l.$ 
     $\llbracket l \leq d; size t \leq s \rrbracket \implies mtp (x + d + k) (Raise l k t) = mtp (x + d) t;$ 

```

```


$$l \leq d; size t \leq Suc s] \\ \implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$$

for t
proof (cases t)
  show  $\bigwedge d x k l s. t = \sharp \implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
    by simp
  show  $\bigwedge z d x k l s. [l \leq d; t = \langle\langle z \rangle\rangle]$ 
     $\implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
    by simp
  show  $\bigwedge u d x k l s. [l \leq d; size t \leq Suc s; t = \lambda[u];$ 
     $(\bigwedge d x k l u. [l \leq d; size u \leq s]$ 
       $\implies mtp(x + d + k) (Raise l k u) = mtp(x + d) u)]$ 
     $\implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
proof -
  fix u d x s and k l :: nat
  assume l:  $l \leq d$  and s:  $size t \leq Suc s$  and t:  $t = \lambda[u]$ 
  assume ind:  $\bigwedge d x k l u. [l \leq d; size u \leq s]$ 
     $\implies mtp(x + d + k) (Raise l k u) = mtp(x + d) u$ 
  show  $mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
  proof -
    have  $mtp(x + d + k) (Raise l k t) = mtp(Suc(x + d + k)) (Raise(Suc l) k u)$ 
    using t by simp
    also have ... =  $mtp(x + Suc d) u$ 
    proof -
      have  $size u \leq s$ 
        using t s by force
      thus ?thesis
        using l s ind [of Suc l Suc d] by simp
    qed
    also have ... =  $mtp(x + d) t$ 
    using t by auto
    finally show ?thesis by blast
  qed
qed
show  $\bigwedge t1 t2 d x k l s.$ 
   $\bigwedge d x k l t1. [l \leq d; size t1 \leq s]$ 
     $\implies mtp(x + d + k) (Raise l k t1) = mtp(x + d) t1;$ 
   $\bigwedge d x k l t2. [l \leq d; size t2 \leq s]$ 
     $\implies mtp(x + d + k) (Raise l k t2) = mtp(x + d) t2;$ 
   $l \leq d; size t \leq Suc s; t = t1 \circ t2]$ 
     $\implies mtp(x + d + k) (Raise l k t) = mtp(x + d) t$ 
proof -
  fix t1 t2 s
  assume s:  $size t \leq Suc s$  and t:  $t = t1 \circ t2$ 
  have  $size t1 \leq s \wedge size t2 \leq s$ 
    using s t by auto
  thus  $\bigwedge d x k l.$ 
     $\bigwedge d x k l t1. [l \leq d; size t1 \leq s]$ 
       $\implies mtp(x + d + k) (Raise l k t1) = mtp(x + d) t1;$ 

```

```


$$\begin{aligned} \wedge d x k l t2. & [l \leq d; \text{size } t2 \leq s] \\ & \implies \text{mtp}(x + d + k) (\text{Raise } l k t2) = \text{mtp}(x + d) t2; \\ & l \leq d; \text{size } t \leq \text{Suc } s; t = t1 \circ t2 \\ & \implies \text{mtp}(x + d + k) (\text{Raise } l k t) = \text{mtp}(x + d) t \end{aligned}$$


by simp



qed



show  $\wedge t1 t2 d x k l s.$


$$\begin{aligned} & [\wedge d x k l t1. [l \leq d; \text{size } t1 \leq s]] \\ & \implies \text{mtp}(x + d + k) (\text{Raise } l k t1) = \text{mtp}(x + d) t1; \\ & \wedge d x k l t2. [l \leq d; \text{size } t2 \leq s] \\ & \implies \text{mtp}(x + d + k) (\text{Raise } l k t2) = \text{mtp}(x + d) t2; \\ & l \leq d; \text{size } t \leq \text{Suc } s; t = \lambda[t1] \bullet t2 \\ & \implies \text{mtp}(x + d + k) (\text{Raise } l k t) = \text{mtp}(x + d) t \end{aligned}$$


proof –



fix  $t1 t2 d x s$  and  $k l :: \text{nat}$



assume  $l: l \leq d$  and  $s: \text{size } t \leq \text{Suc } s$  and  $t: t = \lambda[t1] \bullet t2$



assume  $ind: \wedge d x k l N. [l \leq d; \text{size } N \leq s]$


$$\implies \text{mtp}(x + d + k) (\text{Raise } l k N) = \text{mtp}(x + d) N$$


show  $\text{mtp}(x + d + k) (\text{Raise } l k t) = \text{mtp}(x + d) t$



proof –



have 1:  $\text{size } t1 \leq s \wedge \text{size } t2 \leq s$



using  $s t$  by auto



have  $\text{mtp}(x + d + k) (\text{Raise } l k t) =$


$$\begin{aligned} & \text{mtp}(\text{Suc}(x + d + k)) (\text{Raise}(\text{Suc } l) k t1) + \\ & \text{mtp}(x + d + k) (\text{Raise } l k t2) * \max 1 (\text{mtp } 0 (\text{Raise}(\text{Suc } l) k t1)) \end{aligned}$$


using  $t l$  by simp



also have ... =  $\text{mtp}(\text{Suc}(x + d + k)) (\text{Raise}(\text{Suc } l) k t1) +$


$$\text{mtp}(x + d) t2 * \max 1 (\text{mtp } 0 (\text{Raise}(\text{Suc } l) k t1))$$


using  $l 1 ind$  by auto



also have ... =  $\text{mtp}(x + \text{Suc } d) t1 + \text{mtp}(x + d) t2 * \max 1 (\text{mtp } 0 t1)$



proof –



have  $\text{mtp}(x + \text{Suc } d + k) (\text{Raise}(\text{Suc } l) k t1) = \text{mtp}(x + \text{Suc } d) t1$



using  $l 1 ind$  [of  $\text{Suc } l \text{Suc } d t1$ ] by simp



moreover have  $\text{mtp } 0 (\text{Raise}(\text{Suc } l) k t1) = \text{mtp } 0 t1$



using  $l 1 ind$  [of  $\text{Suc } l \text{Suc } d t1 k$ ]  $\text{mtpE-eq-Raise}$  by simp



ultimately show ?thesis



by simp



qed



also have ... =  $\text{mtp}(x + d) t$



using  $t$  by auto



finally show ?thesis by blast



qed



qed



qed



qed



lemma  $\text{mtp-Raise}:$



assumes  $l \leq d$


```

```

shows mtp (x + d + k) (Raise l k t) = mtp (x + d) t
using assms mtp-Raise-ind by blast

lemma mtp-Raise':
shows mtp l (Raise l (Suc k) t) = 0
by (induct t arbitrary: k l) auto

lemma mtp-raise:
shows mtp (x + Suc d) (raise d t) = mtp (Suc x) t
by (metis Suc-eq-plus1 add.assoc le-add2 le-add-same-cancel2 mtp-Raise plus-1-eq-Suc)

lemma mtp-Subst-cancel:
shows mtp k (Subst (Suc d + k) u t) = mtp k t
proof (induct t arbitrary: k d)
show  $\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u \sharp) = mtp k \sharp$ 
by simp
show  $\bigwedge k z d. mtp k (\text{Subst} (\text{Suc } d + k) u ``z") = mtp k ``z"$ 
using mtp-Raise'
apply auto
by (metis add-Suc-right add-Suc-shift order-refl raise-plus)
show  $\bigwedge t k d. (\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t) = mtp k t)$ 
 $\implies mtp k (\text{Subst} (\text{Suc } d + k) u \lambda[t]) = mtp k \lambda[t]$ 
by (metis Subst.simps(3) add-Suc-right mtp.simps(3))
show  $\bigwedge t1 t2 k d.$ 
 $\llbracket \bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t1) = mtp k t1;$ 
 $\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t2) = mtp k t2 \rrbracket$ 
 $\implies mtp k (\text{Subst} (\text{Suc } d + k) u (t1 \circ t2)) = mtp k (t1 \circ t2)$ 
by auto
show  $\bigwedge t1 t2 k d.$ 
 $\llbracket \bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t1) = mtp k t1;$ 
 $\bigwedge k d. mtp k (\text{Subst} (\text{Suc } d + k) u t2) = mtp k t2 \rrbracket$ 
 $\implies mtp k (\text{Subst} (\text{Suc } d + k) u (\lambda[t1] \bullet t2)) = mtp k (\lambda[t1] \bullet t2)$ 
using mtp-Raise'
apply auto
by (metis Nat.add-0-right add-Suc-right)
qed

```

```

lemma mtp0-Subst-cancel:
shows mtp 0 (Subst (Suc d) u t) = mtp 0 t
using mtp-Subst-cancel [of 0] by simp

```

We can now (!) prove the desired generalization of de Vrijer's formula for the commutation of multiplicity and substitution. This is the main lemma whose form is difficult to find. To get this right, the proper relationships have to exist between the various depth parameters to *Subst* and the arguments to *mtp*.

```

lemma mtp-Subst':
shows mtp (x + Suc d) (Subst d u t) = mtp (x + Suc (Suc d)) t + mtp (Suc x) u * mtp d t
proof (induct t arbitrary: d x u)
show  $\bigwedge d x u. mtp (x + Suc d) (\text{Subst } d u \sharp) =$ 

```

```

 $mtp(x + Suc(Suc d)) \# + mtp(Suc x) u * mtp d \#$ 
by simp
show  $\bigwedge z d x u. mtp(x + Suc d)(Subst d u ``z``) =$ 
 $mtp(x + Suc(Suc d)) ``z`` + mtp(Suc x) u * mtp d ``z``$ 
using mtp-raise by auto
show  $\bigwedge t d x u.$ 
 $(\bigwedge d x u. mtp(x + Suc d)(Subst d u t) =$ 
 $mtp(x + Suc(Suc d)) t + mtp(Suc x) u * mtp d t)$ 
 $\implies mtp(x + Suc d)(Subst d u \lambda[t]) =$ 
 $mtp(x + Suc(Suc d)) \lambda[t] + mtp(Suc x) u * mtp d \lambda[t]$ 
proof –
fix  $t u d x$ 
assume ind:  $\bigwedge d x N. mtp(x + Suc d)(Subst d N t) =$ 
 $mtp(x + Suc(Suc d)) t + mtp(Suc x) N * mtp d t$ 
have  $mtp(x + Suc d)(Subst d u \lambda[t]) =$ 
 $mtp(Suc x + Suc(Suc d)) t +$ 
 $mtp(x + Suc(Suc d))(raise(Suc d) u) * mtp(Suc d) t$ 
using ind mtp-raise add-Suc-shift
by (metis Subst.simps(3) add-Suc-right mtp.simps(3))
also have ... =  $mtp(x + Suc(Suc d)) \lambda[t] + mtp(Suc x) u * mtp d \lambda[t]$ 
using Raise-Suc
by (metis add-Suc-right add-Suc-shift mtp.simps(3) mtp-raise)
finally show  $mtp(x + Suc d)(Subst d u \lambda[t]) =$ 
 $mtp(x + Suc(Suc d)) \lambda[t] + mtp(Suc x) u * mtp d \lambda[t]$ 
by blast
qed
show  $\bigwedge t1 t2 u d x.$ 
 $\llbracket \bigwedge d x u. mtp(x + Suc d)(Subst d u t1) =$ 
 $mtp(x + Suc(Suc d)) t1 + mtp(Suc x) u * mtp d t1;$ 
 $\bigwedge d x u. mtp(x + Suc d)(Subst d u t2) =$ 
 $mtp(x + Suc(Suc d)) t2 + mtp(Suc x) u * mtp d t2 \rrbracket$ 
 $\implies mtp(x + Suc d)(Subst d u(t1 \circ t2)) =$ 
 $mtp(x + Suc(Suc d))(t1 \circ t2) + mtp(Suc x) u * mtp d (t1 \circ t2)$ 
by (simp add: add-mult-distrib2)
show  $\bigwedge t1 t2 u d x.$ 
 $\llbracket \bigwedge d x N. mtp(x + Suc d)(Subst d N t1) =$ 
 $mtp(x + Suc(Suc d)) t1 + mtp(Suc x) N * mtp d t1;$ 
 $\bigwedge d x N. mtp(x + Suc d)(Subst d N t2) =$ 
 $mtp(x + Suc(Suc d)) t2 + mtp(Suc x) N * mtp d t2 \rrbracket$ 
 $\implies mtp(x + Suc d)(Subst d u(\lambda[t1] \bullet t2)) =$ 
 $mtp(x + Suc(Suc d))(\lambda[t1] \bullet t2) + mtp(Suc x) u * mtp d (\lambda[t1] \bullet t2)$ 
proof –
fix  $t1 t2 u d x$ 
assume ind1:  $\bigwedge d x N. mtp(x + Suc d)(Subst d N t1) =$ 
 $mtp(x + Suc(Suc d)) t1 + mtp(Suc x) N * mtp d t1$ 
assume ind2:  $\bigwedge d x N. mtp(x + Suc d)(Subst d N t2) =$ 
 $mtp(x + Suc(Suc d)) t2 + mtp(Suc x) N * mtp d t2$ 
show  $mtp(x + Suc d)(Subst d u(\lambda[t1] \bullet t2)) =$ 
 $mtp(x + Suc(Suc d))(\lambda[t1] \bullet t2) + mtp(Suc x) u * mtp d (\lambda[t1] \bullet t2)$ 

```

```

proof -
  let ?A = mtp (Suc x + Suc (Suc d)) t1
  let ?B = mtp (Suc x + Suc d) t2
  let ?M1 = mtp (Suc d) t1
  let ?M2 = mtp d t2
  let ?M1_0 = mtp 0 (Subst (Suc d) u t1)
  let ?M1_0' = mtp 0 t1
  let ?N = mtp (Suc x) u
  have mtp (x + Suc d) (Subst d u ( $\lambda[t1] \bullet t2$ )) =
    mtp (x + Suc d) ( $\lambda[Subst (Suc d) u t1] \bullet Subst d u t2$ )
    by simp
  also have ... = mtp (x + Suc (Suc d)) (Subst (Suc d) u t1) +
    mtp (x + Suc d) (Subst d u t2) *
    max 1 (mtp 0 (Subst (Suc d) u t1))
    by simp
  also have ... = (?A + ?N * ?M1) + (?B + ?N * ?M2) * max 1 ?M1_0
    using ind1 ind2 add-Suc-shift by presburger
  also have ... = ?A + ?N * ?M1 + ?B * max 1 ?M1_0 + ?N * ?M2 * max 1 ?M1_0
    by algebra
  also have ... = ?A + ?B * max 1 ?M1_0' + ?N * ?M1 + ?N * ?M2 * max 1 ?M1_0'
  proof -
    have ?M1_0 = ?M1_0'
      using mtp0-Subst-cancel by blast
      thus ?thesis by auto
    qed
    also have ... = ?A + ?B * max 1 ?M1_0' + ?N * (?M1 + ?M2 * max 1 ?M1_0')
      by algebra
    also have ... = mtp (Suc x + Suc d) ( $\lambda[t1] \bullet t2$ ) + mtp (Suc x) u * mtp d ( $\lambda[t1] \bullet t2$ )
      by simp
    finally show ?thesis by simp
    qed
  qed
  qed

```

The following lemma provides expansions that apply when the parameter to *mtp* is 0, as opposed to the previous lemma, which only applies for parameters greater than 0.

```

lemma mtp-Subst:
shows mtp k (Subst k u t) = mtp (Suc k) t + mtp k (raise k u) * mtp k t
proof (induct t arbitrary: u k)
  show  $\bigwedge u k. mtp k (\text{Subst } k u \sharp) = mtp (\text{Suc } k) \sharp + mtp k (\text{raise } k u) * mtp k \sharp$ 
    by simp
  show  $\bigwedge x u k. mtp k (\text{Subst } k u \langle\langle x \rangle\rangle) =$ 
    mtp (Suc k)  $\langle\langle x \rangle\rangle + mtp k (\text{raise } k u) * mtp k \langle\langle x \rangle\rangle$ 
    by auto
  show  $\bigwedge t u k. (\bigwedge u k. mtp k (\text{Subst } k u t) = mtp (\text{Suc } k) t + mtp k (\text{raise } k u) * mtp k t)$ 
     $\implies mtp k (\text{Subst } k u \lambda[t]) =$ 
    mtp (Suc k)  $\lambda[t] + mtp k (\text{Raise } 0 k u) * mtp k \lambda[t]$ 
  using mtp-Raise [of 0]

```

```

apply auto
by (metis add.left-neutral)
show  $\bigwedge t1 t2 u k$ .

$$\begin{aligned} & \llbracket \bigwedge u k. mtp k (\text{Subst } k u t1) = mtp (\text{Suc } k) t1 + mtp k (\text{raise } k u) * mtp k t1; \\ & \quad \bigwedge u k. mtp k (\text{Subst } k u t2) = mtp (\text{Suc } k) t2 + mtp k (\text{raise } k u) * mtp k t2 \rrbracket \\ & \implies mtp k (\text{Subst } k u (t1 \circ t2)) = \\ & \quad mtp (\text{Suc } k) (t1 \circ t2) + mtp k (\text{raise } k u) * mtp k (t1 \circ t2) \end{aligned}$$

by (auto simp add: distrib-left)
show  $\bigwedge t1 t2 u k$ .

$$\begin{aligned} & \llbracket \bigwedge u k. mtp k (\text{Subst } k u t1) = mtp (\text{Suc } k) t1 + mtp k (\text{raise } k u) * mtp k t1; \\ & \quad \bigwedge u k. mtp k (\text{Subst } k u t2) = mtp (\text{Suc } k) t2 + mtp k (\text{raise } k u) * mtp k t2 \rrbracket \\ & \implies mtp k (\text{Subst } k u (\lambda[t1] \bullet t2)) = \\ & \quad mtp (\text{Suc } k) (\lambda[t1] \bullet t2) + mtp k (\text{raise } k u) * mtp k (\lambda[t1] \bullet t2) \end{aligned}$$

proof -
fix t1 t2 u k
assume ind1:  $\bigwedge u k. mtp k (\text{Subst } k u t1) =$ 

$$mtp (\text{Suc } k) t1 + mtp k (\text{raise } k u) * mtp k t1$$

assume ind2:  $\bigwedge u k. mtp k (\text{Subst } k u t2) =$ 

$$mtp (\text{Suc } k) t2 + mtp k (\text{raise } k u) * mtp k t2$$

show  $mtp k (\text{Subst } k u (\lambda[t1] \bullet t2)) =$ 

$$mtp (\text{Suc } k) (\lambda[t1] \bullet t2) + mtp k (\text{raise } k u) * mtp k (\lambda[t1] \bullet t2)$$

proof -
have  $mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$ 

$$(mtp (\text{Suc } k) t2 + mtp k (\text{Raise } 0 k u) * mtp k t2) * \max (\text{Suc } 0) (mtp 0 t1) =$$


$$mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$$


$$mtp k (\text{Raise } 0 k u) * (mtp (\text{Suc } k) t1 + mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1))$$

proof -
have  $mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$ 

$$(mtp (\text{Suc } k) t2 + mtp k (\text{Raise } 0 k u) * mtp k t2) * \max (\text{Suc } 0) (mtp 0 t1) =$$


$$mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$$


$$mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$$


$$mtp k (\text{Raise } 0 k u) * mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1)$$

by algebra
also have ... =  $mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$ 

$$mtp (\text{Suc } k) (\text{Raise } 0 (\text{Suc } k) u) * mtp (\text{Suc } k) t1 +$$


$$mtp 0 u * mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1)$$

using mtp-Raise [of 0 0 0 k u] by auto
also have ... =  $mtp (\text{Suc } k) t2 * \max (\text{Suc } 0) (mtp 0 t1) +$ 

$$mtp k (\text{Raise } 0 k u) *$$


$$(mtp (\text{Suc } k) t1 + mtp k t2 * \max (\text{Suc } 0) (mtp 0 t1))$$

by (metis (no-types, lifting) ab-semigroup-add-class.add-ac(1)
ab-semigroup-mult-class.mult-ac(1) add-mult-distrib2 le-add1 mtp-Raise
plus-nat.add-0)
finally show ?thesis by blast
qed
thus ?thesis
using ind1 ind2 mtp0-Subst-cancel by auto
qed
qed

```

qed

**lemma** *mtp0-subst-le*:  
**shows**  $mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$   
**proof** (*cases t*)  
  **show**  $t = \# \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$   
    **by auto**  
  **show**  $\bigwedge z. t = \langle\langle z\rangle\rangle \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$   
    **using** *Raise-0* **by force**  
  **show**  $\bigwedge P. t = \lambda[P] \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$   
    **using** *mtp-Subst [of 0 u t]* *Raise-0* **by force**  
  **show**  $\bigwedge t1 t2. t = t1 \circ t2 \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$   
    **using** *mtp-Subst Raise-0 add-mult-distrib2 nat-mult-max-right* **by auto**  
  **show**  $\bigwedge t1 t2. t = \lambda[t1] \bullet t2 \implies mtp 0 (\text{subst } u t) \leq mtp 1 t + mtp 0 u * \max 1 (mtp 0 t)$   
    **using** *mtp-Subst Raise-0*  
    **by** (*metis Nat.add-0-right dual-order.eq-iff max-def mult.commute mult-zero-left*  
      *not-less-eq-eq plus-1-eq-Suc trans-le-add1*)  
**qed**

**lemma** *elementary-reduction-nonincreases-mtp*:  
**shows**  $\llbracket \text{elementary-reduction } u; u \sqsubseteq t \rrbracket \implies mtp x (\text{resid } t u) \leq mtp x t$   
**proof** (*induct t arbitrary: u x*)  
  **show**  $\bigwedge u x. \llbracket \text{elementary-reduction } u; u \sqsubseteq \# \rrbracket \implies mtp x (\text{resid } \# u) \leq mtp x \#$   
    **by simp**  
  **show**  $\bigwedge x u i. \llbracket \text{elementary-reduction } u; u \sqsubseteq \langle\langle i\rangle\rangle \rrbracket$   
     $\implies mtp x (\text{resid } \langle\langle i\rangle\rangle u) \leq mtp x \langle\langle i\rangle\rangle$   
    **by** (*meson Ide.simps(2) elementary-reduction-not-ide ide-backward-stable ide-char*  
      *subs-implies-prfx*)  
**fix** *u*  
**show**  $\bigwedge t x. \llbracket \bigwedge u x. \llbracket \text{elementary-reduction } u; u \sqsubseteq t \rrbracket \implies mtp x (\text{resid } t u) \leq mtp x t;$   
    *elementary-reduction u; u \sqsubseteq \lambda[t]*  
     $\implies mtp x (\lambda[t] \setminus u) \leq mtp x \lambda[t]$   
    **by** (*cases u*) *auto*  
**show**  $\bigwedge t1 t2 x.$   
   $\llbracket \bigwedge u x. \llbracket \text{elementary-reduction } u; u \sqsubseteq t1 \rrbracket \implies mtp x (\text{resid } t1 u) \leq mtp x t1;$   
   $\bigwedge u x. \llbracket \text{elementary-reduction } u; u \sqsubseteq t2 \rrbracket \implies mtp x (\text{resid } t2 u) \leq mtp x t2;$   
  *elementary-reduction u; u \sqsubseteq t1 \circ t2*  
   $\implies mtp x (\text{resid } (t1 \circ t2) u) \leq mtp x (t1 \circ t2)$   
**apply** (*cases u*)  
  **apply** *auto*  
**apply** (*metis Coinitial-iff-Con add-mono-thms-linordered-semiring(3) resid-Arr-Ide*)  
**by** (*metis Coinitial-iff-Con add-mono-thms-linordered-semiring(2) resid-Arr-Ide*)  
  
**show**  $\bigwedge t1 t2 x.$   
   $\llbracket \bigwedge u1 x. \llbracket \text{elementary-reduction } u1; u1 \sqsubseteq t1 \rrbracket \implies mtp x (\text{resid } t1 u1) \leq mtp x t1;$   
   $\bigwedge u2 x. \llbracket \text{elementary-reduction } u2; u2 \sqsubseteq t2 \rrbracket \implies mtp x (\text{resid } t2 u2) \leq mtp x t2;$   
  *elementary-reduction u; u \sqsubseteq \lambda[t1] \bullet t2*  
   $\implies mtp x ((\lambda[t1] \bullet t2) \setminus u) \leq mtp x (\lambda[t1] \bullet t2)$   
**proof** –

```

fix t1 t2 x
assume ind1:  $\bigwedge u_1 x. \llbracket \text{elementary-reduction } u_1; u_1 \sqsubseteq t_1 \rrbracket$ 
 $\implies \text{mtp } x (t_1 \setminus u_1) \leq \text{mtp } x t_1$ 
assume ind2:  $\bigwedge u_2 x. \llbracket \text{elementary-reduction } u_2; u_2 \sqsubseteq t_2 \rrbracket$ 
 $\implies \text{mtp } x (t_2 \setminus u_2) \leq \text{mtp } x t_2$ 
assume u: elementary-reduction u
assume subs:  $u \sqsubseteq \lambda[t_1] \bullet t_2$ 
have 1: is-App u  $\vee$  is-Beta u
  using subs by (metis prfx-Beta-iff subs-implies-prfx)
have is-App u  $\implies \text{mtp } x ((\lambda[t_1] \bullet t_2) \setminus u) \leq \text{mtp } x (\lambda[t_1] \bullet t_2)$ 
proof -
  assume 2: is-App u
  obtain u1 u2 where u1u2:  $u = \lambda[u_1] \circ u_2$ 
    using 2 u
  by (metis ConD(3) Con-implies-is-Lam-iff-is-Lam Con-sym con-def is-App-def is-Lam-def
      lambda.disc(8) null-char prfx-implies-con subs subs-implies-prfx)
  have mtp x  $((\lambda[t_1] \bullet t_2) \setminus u) = \text{mtp } x (\lambda[t_1 \setminus u_1] \bullet (t_2 \setminus u_2))$ 
    using u1u2 subs
    by (metis Con-sym Ide.simps(1) ide-char resid.simps(6) subs-implies-prfx)
  also have ... = mtp (Suc x) (resid t1 u1) +
    mtp x (resid t2 u2) * max 1 (mtp 0 (resid t1 u1))
    by simp
  also have ...  $\leq \text{mtp } (Suc x) t_1 + \text{mtp } x (\text{resid } t_2 u_2) * \max 1 (\text{mtp } 0 (\text{resid } t_1 u_1))$ 
    using u1u2 ind1 [of u1 Suc x] con-sym ide-char resid-arr-ide prfx-implies-con
    subs subs-implies-prfx u
    by force
  also have ...  $\leq \text{mtp } (Suc x) t_1 + \text{mtp } x t_2 * \max 1 (\text{mtp } 0 (\text{resid } t_1 u_1))$ 
    using u1u2 ind2 [of u2 x]
    by (metis (no-types, lifting) Con-implies-Coinitial-ind add-left-mono
        dual-order.eq-iff elementary-reduction.simps(4) lambda.disc(11)
        mult-le-cancel2 prfx-App-iff resid.simps(31) resid-Arr-Ide subs subs.simps(4)
        subs-implies-prfx u)
  also have ...  $\leq \text{mtp } (Suc x) t_1 + \text{mtp } x t_2 * \max 1 (\text{mtp } 0 t_1)$ 
    using ind1 [of u1 0]
    by (metis Con-implies-Coinitial-ind Ide.simps(3) elementary-reduction.simps(3)
        elementary-reduction.simps(4) lambda.disc(11) max.mono mult-le-mono
        nat-add-left-cancel-le nat-le-linear prfx-App-iff resid.simps(31) resid-Arr-Ide
        subs subs.simps(4) subs-implies-prfx u u1u2)
  also have ... = mtp x  $(\lambda[t_1] \bullet t_2)$ 
    by auto
  finally show mtp x  $((\lambda[t_1] \bullet t_2) \setminus u) \leq \text{mtp } x (\lambda[t_1] \bullet t_2)$  by blast
qed
moreover have is-Beta u  $\implies \text{mtp } x ((\lambda[t_1] \bullet t_2) \setminus u) \leq \text{mtp } x (\lambda[t_1] \bullet t_2)$ 
proof -
  assume 2: is-Beta u
  obtain u1 u2 where u1u2:  $u = \lambda[u_1] \bullet u_2$ 
    using 2 u is-Beta-def by auto
  have mtp x  $((\lambda[t_1] \bullet t_2) \setminus u) = \text{mtp } x (\text{subst } (t_2 \setminus u_2) (t_1 \setminus u_1))$ 
    using u1u2 subs

```

```

by (metis con-def con-sym null-char prfx-implies-con resid.simps(4) subs-implies-prfx)
also have ... ≤ mtp (Suc x) (resid t1 u1) +
  mtp x (resid t2 u2) * max 1 (mtp 0 (resid t1 u1))
apply (cases x = 0)
using mtp0-subst-le Raise-0 mtp-Subst' [of x - 1 0 resid t2 u2 resid t1 u1]
by auto
also have ... ≤ mtp (Suc x) t1 + mtp x t2 * max 1 (mtp 0 t1)
using ind1 ind2
apply simp
by (metis Coinitial-iff-Con Ide.simps(1) dual-order.eq-iff elementary-reduction.simps(5)
  ide-char resid.simps(4) resid-Arr-Ide subs subs-implies-prfx u u1u2)
also have ... = mtp x (λ[t1] • t2)
by simp
finally show mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2) by blast
qed
ultimately show mtp x ((λ[t1] • t2) \ u) ≤ mtp x (λ[t1] • t2)
  using 1 by blast
qed
qed

```

Next we define the “height” of a term. This counts the number of steps in a development of maximal length of the given term.

```

fun hgt
where hgt # = 0
| hgt «-» = 0
| hgt λ[t] = hgt t
| hgt (t o u) = hgt t + hgt u
| hgt (λ[t] • u) = Suc (hgt t + hgt u * max 1 (mtp 0 t))

lemma hgt-resid-ide:
shows [|ide u; u ⊑ t|] ==> hgt (resid t u) ≤ hgt t
by (metis con-sym eq-imp-le resid-arr-ide prfx-implies-con subs-implies-prfx)

lemma hgt-Raise:
shows hgt (Raise l k t) = hgt t
using mtpE-eq-Raise
by (induct t arbitrary: l k) auto

lemma hgt-Subst:
shows Arr u ==> hgt (Subst k u t) = hgt t + hgt u * mtp k t
proof (induct t arbitrary: u k)
  show ∀u k. Arr u ==> hgt (Subst k u #) = hgt # + hgt u * mtp k #
    by simp
  show ∀x u k. Arr u ==> hgt (Subst k u «x») = hgt «x» + hgt u * mtp k «x»
    using hgt-Raise by auto
  show ∀t u k. [|∀u k. Arr u ==> hgt (Subst k u t) = hgt t + hgt u * mtp k t; Arr u|]
    ==> hgt (Subst k u λ[t]) = hgt λ[t] + hgt u * mtp k λ[t]
    by auto
  show ∀t1 t2 u k.

```

```


$$\begin{aligned}
& \llbracket \bigwedge u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t1) = \text{hgt } t1 + \text{hgt } u * \text{mtp } k t1; \\
& \quad \bigwedge u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t2) = \text{hgt } t2 + \text{hgt } u * \text{mtp } k t2; \text{Arr } u \rrbracket \\
& \quad \implies \text{hgt}(\text{Subst } k u (t1 \circ t2)) = \text{hgt}(t1 \circ t2) + \text{hgt } u * \text{mtp } k (t1 \circ t2)
\end{aligned}$$


by (simp add: distrib-left)



show  $\bigwedge t1 t2 u k$


$$\begin{aligned}
& \llbracket \bigwedge u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t1) = \text{hgt } t1 + \text{hgt } u * \text{mtp } k t1; \\
& \quad \bigwedge u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t2) = \text{hgt } t2 + \text{hgt } u * \text{mtp } k t2; \text{Arr } u \rrbracket \\
& \quad \implies \text{hgt}(\text{Subst } k u (\lambda[t1] \bullet t2)) = \text{hgt}(\lambda[t1] \bullet t2) + \text{hgt } u * \text{mtp } k (\lambda[t1] \bullet t2)
\end{aligned}$$


proof –



fix  $t1 t2 u k$



assume  $ind1: \bigwedge u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t1) = \text{hgt } t1 + \text{hgt } u * \text{mtp } k t1$



assume  $ind2: \bigwedge u k. \text{Arr } u \implies \text{hgt}(\text{Subst } k u t2) = \text{hgt } t2 + \text{hgt } u * \text{mtp } k t2$



assume  $u: \text{Arr } u$



show  $\text{hgt}(\text{Subst } k u (\lambda[t1] \bullet t2)) = \text{hgt}(\lambda[t1] \bullet t2) + \text{hgt } u * \text{mtp } k (\lambda[t1] \bullet t2)$



proof –



have  $\text{hgt}(\text{Subst } k u (\lambda[t1] \bullet t2)) =$   

 $Suc(\text{hgt}(\text{Subst}(\text{Suc } k) u t1)) +$   

 $\text{hgt}(\text{Subst } k u t2) * \text{max } 1(\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1))$



by simp



also have ... =  $Suc((\text{hgt } t1 + \text{hgt } u * \text{mtp } (\text{Suc } k) t1) +$   

 $(\text{hgt } t2 + \text{hgt } u * \text{mtp } k t2) * \text{max } 1(\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1)))$



using  $u$   $ind1$  [of  $u$   $\text{Suc } k$ ]  $ind2$  [of  $u$   $k$ ] by simp



also have ... =  $Suc(\text{hgt } t1 + \text{hgt } t2 * \text{max } 1(\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1)) +$   

 $\text{hgt } u * \text{mtp } (\text{Suc } k) t1 +$   

 $\text{hgt } u * \text{mtp } k t2 * \text{max } 1(\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1))$



using comm-semiring-class.distrib by force



also have ... =  $Suc(\text{hgt } t1 + \text{hgt } t2 * \text{max } 1(\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1)) +$   

 $\text{hgt } u * (\text{mtp } (\text{Suc } k) t1 +$   

 $\text{mtp } k t2 * \text{max } 1(\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1)))$



by (simp add: distrib-left)



also have ... =  $Suc(\text{hgt } t1 + \text{hgt } t2 * \text{max } 1(\text{mtp } 0 t1) +$   

 $\text{hgt } u * (\text{mtp } (\text{Suc } k) t1 +$   

 $\text{mtp } k t2 * \text{max } 1(\text{mtp } 0 t1))$



proof –



have  $\text{mtp } 0(\text{Subst}(\text{Suc } k) u t1) = \text{mtp } 0 t1$



using  $\text{mtp}_0\text{-Subst-cancel}$  by auto



thus ?thesis by simp



qed



also have ... =  $\text{hgt}(\lambda[t1] \bullet t2) + \text{hgt } u * \text{mtp } k (\lambda[t1] \bullet t2)$



by simp



finally show ?thesis by blast



qed



qed



qed


```

lemma elementary-reduction-decreases-hgt:

shows  $\llbracket \text{elementary-reduction } u; u \sqsubseteq t \rrbracket \implies \text{hgt}(t \setminus u) < \text{hgt } t$

proof (induct  $t$  arbitrary:  $u$ )

show  $\bigwedge u. \llbracket \text{elementary-reduction } u; u \sqsubseteq \sharp \rrbracket \implies \text{hgt}(\sharp \setminus u) < \text{hgt } \sharp$

```

by simp
show  $\bigwedge u x. [\text{elementary-reduction } u; u \sqsubseteq \langle\!\langle x \rangle\!\rangle] \implies \text{hgt}(\langle\!\langle x \rangle\!\rangle \setminus u) < \text{hgt} \langle\!\langle x \rangle\!\rangle$ 
  using Ide.simps(2) elementary-reduction-not-ide ide-backward-stable ide-char
    subs-implies-prfx
  by blast
show  $\bigwedge t u. [\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t] \implies \text{hgt}(t \setminus u) < \text{hgt } t;$ 
  elementary-reduction u; u  $\sqsubseteq \lambda[t]$ ]
   $\implies \text{hgt}(\lambda[t] \setminus u) < \text{hgt } \lambda[t]$ 
proof -
  fix t u
  assume ind:  $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t] \implies \text{hgt}(t \setminus u) < \text{hgt } t$ 
  assume u: elementary-reduction u
  assume subs:  $u \sqsubseteq \lambda[t]$ 
  show hgt( $\lambda[t] \setminus u$ ) < hgt  $\lambda[t]$ 
    using u subs ind
    apply (cases u)
      apply simp-all
    by fastforce
qed
show  $\bigwedge t1 t2 u.$ 
   $[\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t1] \implies \text{hgt}(t1 \setminus u) < \text{hgt } t1;$ 
   $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t2] \implies \text{hgt}(t2 \setminus u) < \text{hgt } t2;$ 
  elementary-reduction u; u  $\sqsubseteq t1 \circ t2]$ 
   $\implies \text{hgt}((t1 \circ t2) \setminus u) < \text{hgt}(t1 \circ t2)$ 
proof -
  fix t1 t2 u
  assume ind1:  $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t1] \implies \text{hgt}(t1 \setminus u) < \text{hgt } t1$ 
  assume ind2:  $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t2] \implies \text{hgt}(t2 \setminus u) < \text{hgt } t2$ 
  assume u: elementary-reduction u
  assume subs:  $u \sqsubseteq t1 \circ t2$ 
  show hgt( $(t1 \circ t2) \setminus u$ ) < hgt( $t1 \circ t2$ )
    using u subs ind1 ind2
    apply (cases u)
      apply simp-all
    by (metis add-le-less-mono add-less-le-mono hgt-resid-ide ide-char not-less0
        zero-less-iff-neq-zero)
qed
show  $\bigwedge t1 t2 u.$ 
   $[\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t1] \implies \text{hgt}(t1 \setminus u) < \text{hgt } t1;$ 
   $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t2] \implies \text{hgt}(t2 \setminus u) < \text{hgt } t2;$ 
  elementary-reduction u; u  $\sqsubseteq \lambda[t1] \bullet t2]$ 
   $\implies \text{hgt}((\lambda[t1] \bullet t2) \setminus u) < \text{hgt}(\lambda[t1] \bullet t2)$ 
proof -
  fix t1 t2 u
  assume ind1:  $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t1] \implies \text{hgt}(t1 \setminus u) < \text{hgt } t1$ 
  assume ind2:  $\bigwedge u. [\text{elementary-reduction } u; u \sqsubseteq t2] \implies \text{hgt}(t2 \setminus u) < \text{hgt } t2$ 
  assume u: elementary-reduction u
  assume subs:  $u \sqsubseteq \lambda[t1] \bullet t2$ 
  have is-App u  $\vee$  is-Beta u

```

```

using subs by (metis prfx-Beta-iff subs-implies-prfx)
moreover have is-App u ==> hgt (( $\lambda[t1] \bullet t2$ ) \ u) < hgt ( $\lambda[t1] \bullet t2$ )
proof -
fix u1 u2
assume 0: is-App u
obtain u1 u1' u2 where 1: u = u1 o u2 ∧ u1 =  $\lambda[u1]$ 
  using u 0
by (metis ConD(3) Con-implies-is-Lam-iff-is-Lam Con-sym con-def is-App-def is-Lam-def
    null-char prfx-implies-con subs subs-implies-prfx)
have hgt (( $\lambda[t1] \bullet t2$ ) \ u) = hgt (( $\lambda[t1] \bullet t2$ ) \ (u1 o u2))
  using 1 by simp
also have ... = hgt ( $\lambda[t1 \setminus u1] \bullet t2 \setminus u2$ )
  by (metis 1 Con-sym Ide.simps(1) ide-char resid.simps(6) subs subs-implies-prfx)
also have ... = Suc (hgt (t1 \ u1') + hgt (t2 \ u2)) * max (Suc 0) (mtp 0 (t1 \ u1'))
  by auto
also have ... < hgt ( $\lambda[t1] \bullet t2$ )
proof -
have elementary-reduction (un-App1 u) ∧ ide (un-App2 u) ∨
  ide (un-App1 u) ∧ elementary-reduction (un-App2 u)
  using u 1 elementary-reduction-App-iff [of u] by simp
moreover have elementary-reduction (un-App1 u) ∧ ide (un-App2 u) ==> ?thesis
proof -
assume 2: elementary-reduction (un-App1 u) ∧ ide (un-App2 u)
have elementary-reduction u1' ∧ ide (un-App2 u)
  using 1 2 u elementary-reduction-Lam-iff by force
moreover have mtp 0 (t1 \ u1') ≤ mtp 0 t1
  using 1 calculation elementary-reduction-nonincreases-mtp subs
    subs.simps(4)
  by blast
moreover have mtp 0 (t2 \ u2) ≤ mtp 0 t2
  using 1 hgt-resid-ide [of u2 t2]
  by (metis calculation(1) con-sym eq-refl resid-arr-ide lambda.sel(4)
    prfx-implies-con subs subs.simps(4) subs-implies-prfx)
ultimately show ?thesis
  using 1 2 ind1 [of u1'] hgt-resid-ide
  apply simp
  by (metis 1 Suc-le-mono <mtp 0 (t1 \ u1') ≤ mtp 0 t1> add-less-le-mono
    le-add1 le-add-same-cancel1 max.mono mult-le-mono subs subs.simps(4))
qed
moreover have ide (un-App1 u) ∧ elementary-reduction (un-App2 u) ==> ?thesis
proof -
assume 2: ide (un-App1 u) ∧ elementary-reduction (un-App2 u)
have ide (un-App1 u) ∧ elementary-reduction u2
  using 1 2 u elementary-reduction-Lam-iff by force
moreover have mtp 0 (t1 \ u1') ≤ mtp 0 t1
  using 1 hgt-resid-ide [of u1' t1]
  by (metis Ide.simps(3) calculation con-sym eq-refl ide-char resid-arr-ide
    lambda.sel(3) prfx-implies-con subs subs.simps(4) subs-implies-prfx)
moreover have mtp 0 (t2 \ u2) ≤ mtp 0 t2

```

```

using 1 elementary-reduction-nonincreases-mtp subs calculation(1) subs.simps(4)
by blast
ultimately show ?thesis
using 1 2 ind2 [of u2]
apply simp
by (metis Coinitial-iff-Con Ide-iff-Src-self Nat.add-0-right add-le-less-mono
ide-char Ide.simps(1) subs.simps(4) le-add1 max-nat.neutr-eq-iff
mult-less-cancel2 nat.distinct(1) neq0-conv resid-Arr-Src subs
subs-implies-prfx)
qed
ultimately show ?thesis by blast
qed
also have ... = Suc (hgt t1 + hgt t2 * max 1 (mtp 0 t1))
by simp
also have ... = hgt ( $\lambda[t1] \bullet t2$ )
by simp
finally show hgt (( $\lambda[t1] \bullet t2$ ) \ u) < hgt ( $\lambda[t1] \bullet t2$ )
by blast
qed
moreover have is-Beta u ==> hgt (( $\lambda[t1] \bullet t2$ ) \ u) < hgt ( $\lambda[t1] \bullet t2$ )
proof -
fix u1 u2
assume 0: is-Beta u
obtain u1 u2 where 1: u =  $\lambda[u1] \bullet u2$ 
using u 0 by (metis lambda.collapse(4))
have hgt (( $\lambda[t1] \bullet t2$ ) \ u) = hgt (( $\lambda[t1] \bullet t2$ ) \ ( $\lambda[u1] \bullet u2$ ))
using 1 by simp
also have ... = hgt (subst (resid t2 u2) (resid t1 u1))
by (metis 1 con-def con-sym null-char prfx-implies-con resid.simps(4)
subs subs-implies-prfx)
also have ... = hgt (resid t1 u1) + hgt (resid t2 u2) * mtp 0 (resid t1 u1)
proof -
have Arr (resid t2 u2)
by (metis 1 Coinitial-resid-resid Con-sym Ide.simps(1) ide-char resid.simps(4)
subs subs-implies-prfx)
thus ?thesis
using hgt-Subst [of resid t2 u2 0 resid t1 u1] by simp
qed
also have ... < hgt ( $\lambda[t1] \bullet t2$ )
proof -
have ide u1 & ide u2
using u 1 elementary-reduction-Beta-iff [of u] by auto
thus ?thesis
using 1 hgt-resid-ide
by (metis add-le-mono con-sym hgt.simps(5) resid-arr-ide less-Suc-eq-le
max.cobounded2 nat-mult-max-right prfx-implies-con subs subs.simps(5)
subs-implies-prfx)
qed
finally show hgt (( $\lambda[t1] \bullet t2$ ) \ u) < hgt ( $\lambda[t1] \bullet t2$ )

```

```

    by blast
qed
ultimately show hgt (( $\lambda[t1] \bullet t2$ ) \ u) < hgt ( $\lambda[t1] \bullet t2$ ) by blast
qed
qed

end

context reduction-paths
begin

lemma length-devel-le-hgt:
shows development t U ==> length U ≤ Λ.hgt t
using Λ.elementary-reduction-decreases-hgt
by (induct U arbitrary: t, auto, fastforce)

```

We finally arrive at the main result of this section: the Finite Developments Theorem.

```

theorem finite-developments:
shows FD t
using length-devel-le-hgt [of t] FD-def by auto

```

### 3.4.2 Complete Developments

A *complete development* is a development in which there are no residuals of originally marked redexes left to contract.

```

definition complete-development
where complete-development t U ≡ development t U ∧ (Λ.Ide t ∨ [t] *≤* U)

lemma complete-development-Ide-iff:
shows complete-development t U ==> Λ.Ide t ↔ U = []
using complete-development-def development-Ide Ide.simps(1) ide-char
by (induct t) auto

lemma complete-development-cons:
assumes complete-development t (u # U)
shows complete-development (t \ u) U
using assms complete-development-def
by (metis Ide.simps(1) Ide.simps(2) Resid-rec(1) Resid-rec(3)
      complete-development-Ide-iff ide-char development.simps(2)
      Λ.ide-char list.simps(3))

lemma complete-development-cong:
shows [[complete-development t U; ¬ Λ.Ide t]] ==> [t] *~* U
using complete-development-def development-implies
by (induct U) auto

lemma complete-developments-cong:
assumes ¬ Λ.Ide t and complete-development t U and complete-development t V
shows U *~* V

```

**using** *assms complete-development-cong [of t] cong-symmetric cong-transitive*  
**by** *blast*

**lemma** *Trgs-complete-development:*  
**shows**  $\llbracket \text{complete-development } t \ U; \neg \Lambda.\text{Ide } t \rrbracket \implies \text{Trgs } U = \{\Lambda.\text{Trg } t\}$   
**using** *complete-development-cong Ide.simps(1) Srcs-Resid Trgs.simps(2)*  
*Trgs-Resid-sym ide-char complete-development-def development-imp-Arr  $\Lambda.\text{targets-char} \wedge$*   
**apply** *simp*  
**by** *(metis Srcs-Resid Trgs.simps(2) con-char ide-def)*

Now that we know all developments are finite, it is easy to construct a complete development by an iterative process that at each stage contracts one of the remaining marked redexes at each stage. It is also possible to construct a complete development by structural induction without using the finite developments property, but it is more work to prove the correctness.

**fun** *(in lambda-calculus) bottom-up-redex*  
**where** *bottom-up-redex  $\sharp = \sharp$*   
 $\quad | \text{bottom-up-redex } \langle\!\langle x \rangle\!\rangle = \langle\!\langle x \rangle\!\rangle$   
 $\quad | \text{bottom-up-redex } \lambda[M] = \lambda[\text{bottom-up-redex } M]$   
 $\quad | \text{bottom-up-redex } (M \circ N) =$   
 $\quad \quad (\text{if } \neg \text{Ide } M \text{ then bottom-up-redex } M \circ \text{Src } N \text{ else } M \circ \text{bottom-up-redex } N)$   
 $\quad | \text{bottom-up-redex } (\lambda[M] \bullet N) =$   
 $\quad \quad (\text{if } \neg \text{Ide } M \text{ then } \lambda[\text{bottom-up-redex } M] \circ \text{Src } N$   
 $\quad \quad \text{else if } \neg \text{Ide } N \text{ then } \lambda[M] \circ \text{bottom-up-redex } N$   
 $\quad \quad \text{else } \lambda[M] \bullet N)$

**lemma** *(in lambda-calculus) elementary-reduction-bottom-up-redex:*  
**shows**  $\llbracket \text{Arr } t; \neg \text{Ide } t \rrbracket \implies \text{elementary-reduction}(\text{bottom-up-redex } t)$   
**using** *Ide-Src*  
**by** *(induct t) auto*

**lemma** *(in lambda-calculus) subs-bottom-up-redex:*  
**shows** *Arr t  $\implies$  bottom-up-redex t  $\sqsubseteq$  t*  
**apply** *(induct t)*  
**apply** *auto[3]*  
**apply** *(metis Arr.simps(4) Ide.simps(4) Ide-Src Ide-iff-Src-self Ide-implies-Arr*  
*bottom-up-redex.simps(4) ide-char lambda.disc(14) lambda.sel(3) lambda.sel(4)*  
*subs-App subs-Ide)*  
**by** *(metis Arr.simps(5) Ide-Src Ide-iff-Src-self Ide-implies-Arr bottom-up-redex.simps(5)*  
*ide-char subs.simps(4) subs.simps(5) subs-Ide)*

**function** *(sequential) bottom-up-development*  
**where** *bottom-up-development t =*  
 $\quad (\text{if } \neg \Lambda.\text{Arr } t \vee \Lambda.\text{Ide } t \text{ then } []$   
 $\quad \text{else } \Lambda.\text{bottom-up-redex } t \# (\text{bottom-up-development } (t \setminus \Lambda.\text{bottom-up-redex } t)))$   
**by** *pat-completeness auto*

**termination** *bottom-up-development*  
**using**  *$\Lambda.\text{elementary-reduction-decreases-hgt } \Lambda.\text{elementary-reduction-bottom-up-redex}$*

```

 $\Lambda.\text{subs-bottom-up-redex}$ 
by (relation measure  $\Lambda.\text{hgt}$ ) auto

lemma complete-development-bottom-up-development-ind:
shows  $[\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq n]$ 
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$ 
proof (induct  $n$  arbitrary:  $t$ )
show  $\bigwedge t. [\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq 0]$ 
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$ 
using complete-development-def development-Ide by auto
show  $\bigwedge n t. [\bigwedge t. [\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq n]]$ 
 $\implies \text{complete-development } t (\text{bottom-up-development } t);$ 
 $\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq \text{Suc } n]$ 
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$ 
proof –
fix  $n t$ 
assume  $t: \Lambda.\text{Arr } t$ 
assume  $n: \text{length}(\text{bottom-up-development } t) \leq \text{Suc } n$ 
assume  $\text{ind}: \bigwedge t. [\Lambda.\text{Arr } t; \text{length}(\text{bottom-up-development } t) \leq n]$ 
 $\implies \text{complete-development } t (\text{bottom-up-development } t)$ 
show complete-development  $t (\text{bottom-up-development } t)$ 
proof (cases bottom-up-development  $t$ )
show bottom-up-development  $t = [] \implies ?\text{thesis}$ 
using  $\text{ind } t$  by force
fix  $u U$ 
assume  $uU: \text{bottom-up-development } t = u \# U$ 
have  $1: \Lambda.\text{elementary-reduction } u \wedge u \sqsubseteq t$ 
using  $t uU$ 
by (metis bottom-up-development.simps  $\Lambda.\text{elementary-reduction-bottom-up-redex}$ 
list.inject list.simps(3)  $\Lambda.\text{subs-bottom-up-redex}$ )
moreover have complete-development ( $\Lambda.\text{resid } t u$ )  $U$ 
using  $1 \text{ ind}$ 
by (metis Suc-le-length-iff  $\Lambda.\text{arr-char}$   $\Lambda.\text{arr-resid-iff-con}$  bottom-up-development.simps
list.discI list.inject n not-less-eq-eq  $\Lambda.\text{prfx-implies-con}$ 
 $\Lambda.\text{con-sym}$   $\Lambda.\text{subs-implies-prfx } uU$ )
ultimately show ?thesis
by (metis Con-sym Ide.simps(2) Resid-rec(1) Resid-rec(3)
complete-development-Ide-iff complete-development-def ide-char
development.simps(2) development-implies  $\Lambda.\text{ide-char}$  list.simps(3)  $uU$ )
qed
qed
qed

lemma complete-development-bottom-up-development:
assumes  $\Lambda.\text{Arr } t$ 
shows complete-development  $t (\text{bottom-up-development } t)$ 
using assms complete-development-bottom-up-development-ind by blast

end

```

## 3.5 Reduction Strategies

```
context lambda-calculus
begin
```

A *reduction strategy* is a function taking an identity term to an arrow having that identity as its source.

```
definition reduction-strategy
where reduction-strategy f  $\longleftrightarrow$  ( $\forall t. \text{Ide } t \longrightarrow \text{Cointial } (f t) t$ )
```

The following defines the iterated application of a reduction strategy to an identity term.

```
fun reduce
where reduce f a 0 = a
| reduce f a (Suc n) = reduce f (Trg (f a)) n

lemma red-reduce:
assumes reduction-strategy f
shows Ide a  $\Longrightarrow$  red a (reduce f a n)
apply (induct n arbitrary: a, auto)
apply (metis Ide-iff-Src-self Ide-iff-Trg-self Ide-implies-Arr red.simps)
by (metis Ide-Trg Ide-iff-Src-self assms red.intros(1) red.intros(2) reduction-strategy-def)
```

A reduction strategy is *normalizing* if iterated application of it to a normalizable term eventually yields a normal form.

```
definition normalizing-strategy
where normalizing-strategy f  $\longleftrightarrow$  ( $\forall a. \text{normalizable } a \longrightarrow (\exists n. \text{NF } (\text{reduce } f a n))$ )
```

```
end
```

```
context reduction-paths
begin
```

The following function constructs the reduction path that results by iterating the application of a reduction strategy to a term.

```
fun apply-strategy
where apply-strategy f a 0 = []
| apply-strategy f a (Suc n) = f a # apply-strategy f (Lambda.Trg (f a)) n

lemma apply-strategy-gives-path-ind:
assumes Lambda.reduction-strategy f
shows [Lambda.Ide a; n > 0]  $\Longrightarrow$  Arr (apply-strategy f a n)  $\wedge$ 
length (apply-strategy f a n) = n  $\wedge$ 
Src (apply-strategy f a n) = a  $\wedge$ 
Trg (apply-strategy f a n) = Lambda.reduce f a n
proof (induct n arbitrary: a, simp)
fix n a
assume ind: [Lambda.Ide a; 0 < n]  $\Longrightarrow$  Arr (apply-strategy f a n)  $\wedge$ 
length (apply-strategy f a n) = n  $\wedge$ 
```

```

 $\text{Src}(\text{apply-strategy } f a n) = a \wedge$ 
 $\text{Trg}(\text{apply-strategy } f a n) = \Lambda.\text{reduce } f a n$ 

assume  $a: \Lambda.\text{Ide } a$ 
show  $\text{Arr}(\text{apply-strategy } f a (\text{Suc } n)) \wedge$ 
 $\text{length}(\text{apply-strategy } f a (\text{Suc } n)) = \text{Suc } n \wedge$ 
 $\text{Src}(\text{apply-strategy } f a (\text{Suc } n)) = a \wedge$ 
 $\text{Trg}(\text{apply-strategy } f a (\text{Suc } n)) = \Lambda.\text{reduce } f a (\text{Suc } n)$ 
proof (intro conjI)
  have  $1: \Lambda.\text{Arr}(f a) \wedge \Lambda.\text{Src}(f a) = a$ 
  using assms  $\Lambda.\text{reduction-strategy-def}$ 
  by (metis  $\Lambda.\text{Ide-iff-Src-self}$ )
  show  $\text{Arr}(\text{apply-strategy } f a (\text{Suc } n))$ 
    using  $1 \text{ Arr.elims(3) ind } \Lambda.\text{targets-char}_\Lambda \Lambda.\text{Ide-Trg}$  by fastforce
  show  $\text{Src}(\text{apply-strategy } f a (\text{Suc } n)) = a$ 
    by (simp add: 1)
  show  $\text{length}(\text{apply-strategy } f a (\text{Suc } n)) = \text{Suc } n$ 
    by (metis 1  $\Lambda.\text{Ide-Trg One-nat-def Suc-eq-plus1 ind list.size(3) list.size(4)}$ 
       $\text{neq0-conv apply-strategy.simps(1) apply-strategy.simps(2)}$ )
  show  $\text{Trg}(\text{apply-strategy } f a (\text{Suc } n)) = \Lambda.\text{reduce } f a (\text{Suc } n)$ 
  proof (cases apply-strategy f (Λ.Trg (f a)) n = [])
    show  $\text{apply-strategy } f (\Lambda.\text{Trg}(f a)) n = [] \implies ?\text{thesis}$ 
    using  $a 1 \text{ ind [of } \Lambda.\text{Trg}(f a)] \Lambda.\text{Ide-Trg } \Lambda.\text{targets-char}_\Lambda$  by force
    assume  $2: \text{apply-strategy } f (\Lambda.\text{Trg}(f a)) n \neq []$ 
    have  $\text{Trg}(\text{apply-strategy } f a (\text{Suc } n)) = \text{Trg}(\text{apply-strategy } f (\Lambda.\text{Trg}(f a)) n)$ 
    using  $a 1 \text{ ind [of } \Lambda.\text{Trg}(f a)]$ 
    by (simp add: 2)
    also have ...  $= \Lambda.\text{reduce } f a (\text{Suc } n)$ 
    using  $1 2 \Lambda.\text{Ide-Trg ind [of } \Lambda.\text{Trg}(f a)]$  by fastforce
    finally show  $??\text{thesis}$  by blast
  qed
  qed
  qed

```

**lemma** *apply-strategy-gives-path*:  
**assumes**  $\Lambda.\text{reduction-strategy } f$  **and**  $\Lambda.\text{Ide } a$  **and**  $n > 0$   
**shows**  $\text{Arr}(\text{apply-strategy } f a n)$   
**and**  $\text{length}(\text{apply-strategy } f a n) = n$   
**and**  $\text{Src}(\text{apply-strategy } f a n) = a$   
**and**  $\text{Trg}(\text{apply-strategy } f a n) = \Lambda.\text{reduce } f a n$   
**using** *assms* *apply-strategy-gives-path-ind* **by** *auto*

**lemma** *reduce-eq-Trg-apply-strategy*:  
**assumes**  $\Lambda.\text{reduction-strategy } S$  **and**  $\Lambda.\text{Ide } a$   
**shows**  $n > 0 \implies \Lambda.\text{reduce } S a n = \text{Trg}(\text{apply-strategy } S a n)$   
**using** *assms*  
**apply** (*induct n*)  
**apply** *simp-all*  
**by** (*metis*  $\text{Arr.simps(1) Trg-simp apply-strategy-gives-path-ind } \Lambda.\text{Ide-Trg }$   
 $\Lambda.\text{reduce.simps(1) reduction-strategy-def } \Lambda.\text{trg-char neq0-conv}$ )

```

apply-strategy.simps(1))

end

```

### 3.5.1 Parallel Reduction

```

context lambda-calculus
begin

```

*Parallel reduction* is the strategy that contracts all available redexes at each step.

```

fun parallel-strategy
where parallel-strategy «i» = «i»
  | parallel-strategy  $\lambda[t] = \lambda[\text{parallel-strategy } t]$ 
  | parallel-strategy  $(\lambda[t] \circ u) = \lambda[\text{parallel-strategy } t] \bullet \text{parallel-strategy } u$ 
  | parallel-strategy  $(t \circ u) = \text{parallel-strategy } t \circ \text{parallel-strategy } u$ 
  | parallel-strategy  $(\lambda[t] \bullet u) = \lambda[\text{parallel-strategy } t] \bullet \text{parallel-strategy } u$ 
  | parallel-strategy  $\sharp = \sharp$ 

```

```

lemma parallel-strategy-is-reduction-strategy:
shows reduction-strategy parallel-strategy
proof (unfold reduction-strategy-def, intro allI impI)
  fix t
  show Ide t  $\implies$  Coinitial (parallel-strategy t) t
    using Ide-implies-Arr
    apply (induct t, auto)
    by force+
qed

```

```

lemma parallel-strategy-Src-eq:
shows Arr t  $\implies$  parallel-strategy (Src t) = parallel-strategy t
  by (induct t) auto

```

```

lemma subs-parallel-strategy-Src:
shows Arr t  $\implies$  t  $\sqsubseteq$  parallel-strategy (Src t)
  by (induct t) auto

```

```

end

```

```

context reduction-paths
begin

```

Parallel reduction is a universal strategy in the sense that every reduction path is  $*\lesssim^*$ -below the path generated by the parallel reduction strategy.

```

lemma parallel-strategy-is-universal:
shows  $\llbracket n > 0; n \leq \text{length } U; \text{Arr } U \rrbracket$ 
       $\implies \text{take } n \text{ } U * \lesssim^* \text{apply-strategy } \Lambda.\text{parallel-strategy } (\text{Src } U) \text{ } n$ 
proof (induct n arbitrary: U, simp)
  fix n a and U ::  $\Lambda.\text{lambda list}$ 
  assume n:  $\text{Suc } n \leq \text{length } U$ 

```

```

assume U: Arr U
assume ind:  $\bigwedge U. [0 < n; n \leq \text{length } U; \text{Arr } U]$ 
 $\implies \text{take } n \text{ } U * \lesssim^* \text{apply-strategy } \Lambda.\text{parallel-strategy } (\text{Src } U) \text{ } n$ 
have 1:  $\text{take } (\text{Suc } n) \text{ } U = \text{hd } U \# \text{take } n \text{ } (\text{tl } U)$ 
by (metis U Arr.simps(1) take-Suc)
have 2:  $\text{hd } U \sqsubseteq \Lambda.\text{parallel-strategy } (\text{Src } U)$ 
by (metis Arr-imp-arr-hd Con-single-ideI(2) Resid-Arr-Src Src-resid Srcs-simpΛP
Trg.simps(2) U Λ.source-is-ide Λ.trg-ide empty-set Λ.arr-char Λ.sources-charΛ
Λ.subs-parallel-strategy-Src list.set-intros(1) list.simps(15))
show  $\text{take } (\text{Suc } n) \text{ } U * \lesssim^* \text{apply-strategy } \Lambda.\text{parallel-strategy } (\text{Src } U) \text{ } (\text{Suc } n)$ 
proof (cases apply-strategy Λ.parallel-strategy (Src U) (Suc n))
show apply-strategy Λ.parallel-strategy (Src U) (Suc n) = []  $\implies$ 
 $\text{take } (\text{Suc } n) \text{ } U * \lesssim^* \text{apply-strategy } \Lambda.\text{parallel-strategy } (\text{Src } U) \text{ } (\text{Suc } n)$ 
by simp
fix v V
assume 3: apply-strategy Λ.parallel-strategy (Src U) (Suc n) = v # V
show  $\text{take } (\text{Suc } n) \text{ } U * \lesssim^* \text{apply-strategy } \Lambda.\text{parallel-strategy } (\text{Src } U) \text{ } (\text{Suc } n)$ 
proof (cases V = [])
show V = []  $\implies$  ?thesis
using 1 2 3 ind ide-char
by (metis Suc-inject Ide.simps(2) Resid.simps(3) list.discI list.inject
Λ.prfx-implies-con apply-strategy.elims Λ.subs-implies-prfx take0)
assume V: V ≠ []
have 4: Arr (v # V)
using 3 apply-strategy-gives-path(1)
by (metis Arr-imp-arr-hd Srcs-simpPWE Srcs-simpΛP U Λ.Ide-Src Λ.arr-iff-has-target
Λ.parallel-strategy-is-reduction-strategy Λ.targets-charΛ singleton-insert-inj-eq'
zero-less-Suc)
have 5: Arr (hd U # take n (tl U))
by (metis 1 U Arr-append-iffP id-take-nth-drop list.discI not-less take-all-iff)
have 6: Srcs (hd U # take n (tl U)) = Srcs (v # V)
by (metis 2 3 Λ.Coinitial-iff-Con Λ.Ide.simps(1) Srcs.simps(2) Srcs.simps(3)
Λ.ide-char list.exhaust-sel list.inject apply-strategy.simps(2) Λ.sources-charΛ
Λ.subs-implies-prfx)
have  $\text{take } (\text{Suc } n) \text{ } U * \setminus^* \text{apply-strategy } \Lambda.\text{parallel-strategy } (\text{Src } U) \text{ } (\text{Suc } n) =$ 
 $[\text{hd } U \setminus v] * \setminus^* V @ (\text{take } n \text{ } (\text{tl } U) * \setminus^* [v \setminus \text{hd } U]) * \setminus^* (V * \setminus^* [\text{hd } U \setminus v])$ 
using U V 1 3 4 5 6
by (metis Resid.simps(1) Resid-cons(1) Resid-rec(3–4) confluence-ind)
moreover have Ide ...
proof
have 7: v = Λ.parallel-strategy (Src U) ∧
V = apply-strategy Λ.parallel-strategy (Src U \ v) n
using 3 Λ.subs-implies-prfx Λ.subs-parallel-strategy-Src
apply simp
by (metis (full-types) Λ.Coinitial-iff-Con Λ.Ide.simps(1) Λ.Trg.simps(5)
Λ.parallel-strategy.simps(9) Λ.resid-Src-Arr)
show 8: Ide ([hd U \ v] * \ V)
by (metis 2 4 5 6 7 V Con-initial-left Ide.simps(2)
confluence-ind Con-rec(3) Resid-Ide-Arr-ind Λ.subs-implies-prfx)

```

```

show 9: Ide ((take n (tl U) *\ $\setminus$ * [v \ hd U]) *\ $\setminus$ * (V *\ $\setminus$ * [hd U \ v]))
proof -
  have 10:  $\Lambda$ .Ide (hd U \ v)
    using 2 7  $\Lambda$ .ide-char  $\Lambda$ .subs-implies-prfx by presburger
  have 11: V = apply-strategy  $\Lambda$ .parallel-strategy ( $\Lambda$ .Trg v) n
    using 3 by auto
  have (take n (tl U) *\ $\setminus$ * [v \ hd U]) *\ $\setminus$ * (V *\ $\setminus$ * [hd U \ v]) =
    (take n (tl U) *\ $\setminus$ * [v \ hd U]) *\ $\setminus$ 
      apply-strategy  $\Lambda$ .parallel-strategy ( $\Lambda$ .Trg v) n
    by (metis 8 10 11 Ide.simps(1) Resid-single-ide(2)  $\Lambda$ .prfx-char)
  moreover have Ide ...
  proof -
    have Ide (take n (take n (tl U) *\ $\setminus$ * [v \ hd U]) *\ $\setminus$ 
      apply-strategy  $\Lambda$ .parallel-strategy ( $\Lambda$ .Trg v) n)
  proof -
    have 0 < n
    proof -
      have length V = n
      using apply-strategy-gives-path
      by (metis 10 11 V  $\Lambda$ .Coinitial-iff-Con  $\Lambda$ .Ide-Trg  $\Lambda$ .Arr-not-Nil
         $\Lambda$ .Ide-implies-Arr  $\Lambda$ .parallel-strategy-is-reduction-strategy neq0-conv
        apply-strategy.simps(1))
      thus ?thesis
      using V by blast
    qed
    moreover have n ≤ length (take n (tl U) *\ $\setminus$ * [v \ hd U])
    proof -
      have length (take n (tl U)) = n
      using n by force
      thus ?thesis
      using n U length-Resid [of take n (tl U) [v \ hd U]]
      by (metis 4 5 6 Arr.simps(1) Con-cons(2) Con-rec(2)
        confluence-ind dual-order.eq-iff)
    qed
    moreover have  $\Lambda$ .Trg v = Src (take n (tl U) *\ $\setminus$ * [v \ hd U])
    proof -
      have Src (take n (tl U) *\ $\setminus$ * [v \ hd U]) = Trg [v \ hd U]
      by (metis Src-resid calculation(1–2) linorder-not-less list.size(3))
      also have ... =  $\Lambda$ .Trg v
      by (metis 10 Trg.simps(2)  $\Lambda$ .Arr-not-Nil  $\Lambda$ .apex-sym  $\Lambda$ .trg-ide
         $\Lambda$ .Ide-iff-Src-self  $\Lambda$ .Ide-implies-Arr  $\Lambda$ .Src-resid  $\Lambda$ .prfx-char)
      finally show ?thesis by simp
    qed
    ultimately show ?thesis
    using ind [of Resid (take n (tl U)) [ $\Lambda$ .resid v (hd U)]] ide-char
    by (metis Con-imp-Arr-Resid le-zero-eq less-not-refl list.size(3))
  qed
  moreover have take n (take n (tl U) *\ $\setminus$ * [v \ hd U]) =
    take n (tl U) *\ $\setminus$ * [v \ hd U]

```

```

proof -
  have  $\text{Arr}(\text{take } n (\text{tl } U) * \setminus^* [v \setminus \text{hd } U])$ 
    by (metis Con-imp-Arr-Resid Con-implies-Arr(1) Ide.simps(1) calculation take-Nil)
  thus ?thesis
    by (metis 1 Arr.simps(1) length-Resid dual-order.eq-iff length-Cons
      length-take min.absorb2 n old.nat.inject take-all)
  qed
  ultimately show ?thesis by simp
  qed
  ultimately show ?thesis by auto
  qed
  show  $\text{Trg}([hd \ U \setminus v] * \setminus^* V) =$ 
     $\text{Src}((\text{take } n (\text{tl } U) * \setminus^* [v \setminus \text{hd } U]) * \setminus^* (V * \setminus^* [hd \ U \setminus v]))$ 
    by (metis 9 Ide.simps(1) Src-resid Trg-resid-sym)
  qed
  ultimately show ?thesis
    using ide-char by presburger
  qed
  qed
  qed

end

context lambda-calculus
begin

  Parallel reduction is a normalizing strategy.

  lemma parallel-strategy-is-normalizing:
    shows normalizing-strategy parallel-strategy
  proof -
    interpret  $\Lambda x.$ : reduction-paths .

    have  $\bigwedge a.$  normalizable  $a \implies \exists n.$  NF (reduce parallel-strategy  $a n$ )
    proof -
      fix  $a$ 
      assume 1: normalizable  $a$ 
      obtain  $U b$  where  $U: \Lambda x.\text{Arr } U \wedge \Lambda x.\text{Src } U = a \wedge \Lambda x.\text{Trg } U = b \wedge \text{NF } b$ 
        using 1 normalizable-def  $\Lambda x.\text{red-iff}$  by blast
      have 2:  $\bigwedge n.$   $\llbracket 0 < n; n \leq \text{length } U \rrbracket$ 
         $\implies \Lambda x.\text{Ide}(\Lambda x.\text{Resid}(\text{take } n U) (\Lambda x.\text{apply-strategy parallel-strategy } a n))$ 
        using  $U \Lambda x.\text{parallel-strategy-is-universal }$   $\Lambda x.\text{ide-char}$  by blast
      let ?PR =  $\Lambda x.\text{apply-strategy parallel-strategy } a (\text{length } U)$ 
      have  $\Lambda x.\text{Trg } ?PR = b$ 
      proof -
        have 3:  $\Lambda x.\text{Ide}(\Lambda x.\text{Resid } U ?PR)$ 
        using  $U 2 [\text{of length } U]$  by force
        have  $\Lambda x.\text{Trg } (\Lambda x.\text{Resid } ?PR U) = b$ 
        by (metis 3 NF-reduct-is-trivial  $U \Lambda x.\text{Con-imp-Arr-Resid }$   $\Lambda x.\text{Con-sym }$   $\Lambda x.\text{Ide.simps(1)}$ )
    
```

```

 $\Lambda x. Src\text{-resid reduction-paths.red-iff)$ 
thus ?thesis
  by (metis 3  $\Lambda x. Con\text{-Arr-self}$   $\Lambda x. Ide\text{-implies-Arr}$   $\Lambda x. Resid\text{-Arr-Ide-ind}$ 
     $\Lambda x. Src\text{-resid}$   $\Lambda x. Trg\text{-resid-sym}$ )
qed
hence reduce parallel-strategy a (length U) = b
  using 1 U
  by (metis  $\Lambda x. Arr\text{-simps}(1)$  length-greater-0-conv normalizable-def
     $\Lambda x. apply\text{-strategy-gives-path}(4)$  parallel-strategy-is-reduction-strategy)
thus  $\exists n. NF$  (reduce parallel-strategy a n)
  using U by blast
qed
thus ?thesis
  using normalizing-strategy-def by blast
qed

```

An alternative characterization of a normal form is a term on which the parallel reduction strategy yields an identity.

```

abbreviation has-redex
where has-redex t  $\equiv$  Arr t  $\wedge \neg$  Ide (parallel-strategy t)

lemma NF-iff-has-no-redex:
shows Arr t  $\implies$  NF t  $\longleftrightarrow \neg$  has-redex t
proof (induct t)
  show Arr  $\sharp \implies$  NF  $\sharp \longleftrightarrow \neg$  has-redex  $\sharp$ 
    using NF-def by simp
  show  $\bigwedge x. Arr \langle\langle x\rangle\rangle \implies$  NF  $\langle\langle x\rangle\rangle \longleftrightarrow \neg$  has-redex  $\langle\langle x\rangle\rangle$ 
    using NF-def by force
  show  $\bigwedge t. [Arr t \implies NF t \longleftrightarrow \neg$  has-redex t; Arr  $\lambda[t]$ ]  $\implies$  NF  $\lambda[t] \longleftrightarrow \neg$  has-redex  $\lambda[t]$ 
  proof –
    fix t
    assume ind: Arr t  $\implies$  NF t  $\longleftrightarrow \neg$  has-redex t
    assume t: Arr  $\lambda[t]$ 
    show NF  $\lambda[t] \longleftrightarrow \neg$  has-redex  $\lambda[t]$ 
    proof
      show NF  $\lambda[t] \implies \neg$  has-redex  $\lambda[t]$ 
        using t ind
        by (metis NF-def Arr.simps(3) Ide.simps(3) Src.simps(3) parallel-strategy.simps(2))
      show  $\neg$  has-redex  $\lambda[t] \implies$  NF  $\lambda[t]$ 
        using t ind
        by (metis NF-def ide-backward-stable ide-char parallel-strategy-Src-eq
          subs-implies-prfx subs-parallel-strategy-Src)
    qed
  qed
  show  $\bigwedge t1 t2. [Arr t1 \implies NF t1 \longleftrightarrow \neg$  has-redex t1;
    Arr t2  $\implies$  NF t2  $\longleftrightarrow \neg$  has-redex t2;
    Arr ( $\lambda[t1] \bullet t2$ )  $\implies$  NF ( $\lambda[t1] \bullet t2$ )  $\longleftrightarrow \neg$  has-redex ( $\lambda[t1] \bullet t2$ )
  using NF-def Ide.simps(5) parallel-strategy.simps(8) by presburger

```

```

show  $\wedge t1 t2 . \llbracket \text{Arr } t1 \implies \text{NF } t1 \longleftrightarrow \neg \text{has-redex } t1;$ 
       $\text{Arr } t2 \implies \text{NF } t2 \longleftrightarrow \neg \text{has-redex } t2;$ 
       $\text{Arr } (t1 \circ t2) \rrbracket$ 
       $\implies \text{NF } (t1 \circ t2) \longleftrightarrow \neg \text{has-redex } (t1 \circ t2)$ 
proof -
  fix t1 t2
  assume ind1:  $\text{Arr } t1 \implies \text{NF } t1 \longleftrightarrow \neg \text{has-redex } t1$ 
  assume ind2:  $\text{Arr } t2 \implies \text{NF } t2 \longleftrightarrow \neg \text{has-redex } t2$ 
  assume t:  $\text{Arr } (t1 \circ t2)$ 
  show  $\text{NF } (t1 \circ t2) \longleftrightarrow \neg \text{has-redex } (t1 \circ t2)$ 
    using t ind1 ind2 NF-def
    apply (intro iffI)
    apply (metis Ide-iff-Src-self parallel-strategy-is-reduction-strategy
           reduction-strategy-def)
    apply (cases t1)
      apply simp-all
    apply (metis Ide-iff-Src-self ide-char parallel-strategy.simps(1,5)
           parallel-strategy-is-reduction-strategy reduction-strategy-def resid-Arr-Src
           subs-implies-prfx subs-parallel-strategy-Src)
    by (metis Ide-iff-Src-self ide-char ind1 Arr.simps(4) parallel-strategy.simps(6)
        parallel-strategy-is-reduction-strategy reduction-strategy-def resid-Arr-Src
        subs-implies-prfx subs-parallel-strategy-Src)
  qed
qed

lemma (in lambda-calculus) not-NF-elim:
assumes  $\neg \text{NF } t$  and  $\text{Ide } t$ 
obtains u where coinitial t u  $\wedge \neg \text{Ide } u$ 
  using assms NF-def by auto

lemma (in lambda-calculus) NF-Lam-iff:
shows  $\text{NF } \lambda[t] \longleftrightarrow \text{NF } t$ 
  using NF-def
  by (metis Ide-implies-Arr NF-iff-has-no-redex Ide.simps(3) parallel-strategy.simps(2))

lemma (in lambda-calculus) NF-App-iff:
shows  $\text{NF } (t1 \circ t2) \longleftrightarrow \neg \text{is-Lam } t1 \wedge \text{NF } t1 \wedge \text{NF } t2$ 
proof -
  have  $\neg \text{NF } (t1 \circ t2) \implies \text{is-Lam } t1 \vee \neg \text{NF } t1 \vee \neg \text{NF } t2$ 
    apply (cases is-Lam t1)
      apply simp-all
    apply (cases t1)
      apply simp-all
    using NF-def Ide.simps(1) apply presburger
      apply (metis Ide-implies-Arr NF-def NF-iff-has-no-redex Ide.simps(4)
             parallel-strategy.simps(5))
    apply (metis Ide-implies-Arr NF-def NF-iff-has-no-redex Ide.simps(4)
             parallel-strategy.simps(6))
    using NF-def Ide.simps(5) by presburger

```

```

moreover have is-Lam  $t_1 \vee \neg NF t_1 \vee \neg NF t_2 \implies \neg NF (t_1 \circ t_2)$ 
proof -
  have is-Lam  $t_1 \implies \neg NF (t_1 \circ t_2)$ 
    by (metis Ide-implies-Arr NF-def NF-iff-has-no-redex Ide.simps(5) lambda.collapse(2)
         parallel-strategy.simps(3,8))
  moreover have  $\neg NF t_1 \implies \neg NF (t_1 \circ t_2)$ 
    using NF-def Ide-iff-Src-self Ide-implies-Arr
    apply auto
    by (metis (full-types) Arr.simps(4) Ide.simps(4) Src.simps(4))
  moreover have  $\neg NF t_2 \implies \neg NF (t_1 \circ t_2)$ 
    using NF-def Ide-iff-Src-self Ide-implies-Arr
    apply auto
    by (metis (full-types) Arr.simps(4) Ide.simps(4) Src.simps(4))
  ultimately show is-Lam  $t_1 \vee \neg NF t_1 \vee \neg NF t_2 \implies \neg NF (t_1 \circ t_2)$ 
    by auto
qed
ultimately show ?thesis by blast
qed

```

### 3.5.2 Head Reduction

*Head reduction* is the strategy that only contracts a redex at the “head” position, which is found at the end of the “left spine” of applications, and does nothing if there is no such redex.

The following function applies to an arbitrary arrow  $t$ , and it marks the redex at the head position, if any, otherwise it yields *Src t*.

```

fun head-strategy
where head-strategy «i» = «i»
| head-strategy  $\lambda[t] = \lambda[\text{head-strategy } t]$ 
| head-strategy  $(\lambda[t] \circ u) = \lambda[\text{Src } t] \bullet \text{Src } u$ 
| head-strategy  $(t \circ u) = \text{head-strategy } t \circ \text{Src } u$ 
| head-strategy  $(\lambda[t] \bullet u) = \lambda[\text{Src } t] \bullet \text{Src } u$ 
| head-strategy  $\sharp = \sharp$ 

lemma Arr-head-strategy:
shows Arr t  $\implies \text{Arr} (\text{head-strategy } t)$ 
apply (induct t)
  apply auto
proof -
  fix  $t u$ 
  assume  $ind: \text{Arr} (\text{head-strategy } t)$ 
  assume  $t: \text{Arr } t \text{ and } u: \text{Arr } u$ 
  show Arr  $(\text{head-strategy } (t \circ u))$ 
    using  $t u$  ind
    by (cases t) auto
qed

lemma Src-head-strategy:

```

```

shows  $\text{Arr } t \implies \text{Src} (\text{head-strategy } t) = \text{Src } t$ 
  apply (induct t)
    apply auto
proof -
  fix t u
  assume ind:  $\text{Src} (\text{head-strategy } t) = \text{Src } t$ 
  assume t:  $\text{Arr } t$  and u:  $\text{Arr } u$ 
  have  $\text{Src} (\text{head-strategy } (t \circ u)) = \text{Src} (\text{head-strategy } t \circ \text{Src } u)$ 
    using t ind
    by (cases t) auto
  also have ... =  $\text{Src } t \circ \text{Src } u$ 
    using t u ind by auto
  finally show  $\text{Src} (\text{head-strategy } (t \circ u)) = \text{Src } t \circ \text{Src } u$  by simp
qed

lemma Con-head-strategy:
shows  $\text{Arr } t \implies \text{Con } t (\text{head-strategy } t)$ 
  apply (induct t)
    apply auto
  apply (simp add: Arr-head-strategy Src-head-strategy)
  using Arr-Subst Arr-not-Nil by auto

lemma head-strategy-Src:
shows  $\text{Arr } t \implies \text{head-strategy } (\text{Src } t) = \text{head-strategy } t$ 
  apply (induct t)
    apply auto
  using Arr.elims(2) by fastforce

lemma head-strategy-is-elementary:
shows  $[\text{Arr } t; \neg \text{Ide} (\text{head-strategy } t)] \implies \text{elementary-reduction } (\text{head-strategy } t)$ 
  using Ide-Src
  apply (induct t)
    apply auto
proof -
  fix t1 t2
  assume t1:  $\text{Arr } t1$  and t2:  $\text{Arr } t2$ 
  assume t:  $\neg \text{Ide} (\text{head-strategy } (t1 \circ t2))$ 
  assume 1:  $\neg \text{Ide} (\text{head-strategy } t1) \implies \text{elementary-reduction } (\text{head-strategy } t1)$ 
  assume 2:  $\neg \text{Ide} (\text{head-strategy } t2) \implies \text{elementary-reduction } (\text{head-strategy } t2)$ 
  show elementary-reduction (head-strategy (t1 ∘ t2))
    using t t1 t2 1 2 Ide-Src Ide-implies-Arr
    by (cases t1) auto
qed

lemma head-strategy-is-reduction-strategy:
shows reduction-strategy head-strategy
proof (unfold reduction-strategy-def, intro allI impI)
  fix t
  show Ide t  $\implies \text{Coinitial } (\text{head-strategy } t) t$ 

```

```

proof (induct t)
  show Ide  $\sharp \Rightarrow \text{Coinitial}(\text{head-strategy } \sharp) \sharp$ 
    by simp
  show  $\bigwedge x. \text{Ide} \langle\!\langle x \rangle\!\rangle \Rightarrow \text{Cointial}(\text{head-strategy} \langle\!\langle x \rangle\!\rangle) \langle\!\langle x \rangle\!\rangle$ 
    by simp
  show  $\bigwedge t. [\text{Ide} t \Rightarrow \text{Cointial}(\text{head-strategy } t) t; \text{Ide } \lambda[t]]$ 
     $\Rightarrow \text{Cointial}(\text{head-strategy } \lambda[t]) \lambda[t]$ 
    by simp
  fix t1 t2
    assume ind1: Ide t1  $\Rightarrow \text{Cointial}(\text{head-strategy } t1) t1$ 
    assume ind2: Ide t2  $\Rightarrow \text{Cointial}(\text{head-strategy } t2) t2$ 
    assume t: Ide (t1 o t2)
    show Cointial (head-strategy (t1 o t2)) (t1 o t2)
      using t ind1 Ide-implies-Arr Ide-iff-Src-self
      by (cases t1) simp-all
    next
    fix t1 t2
    assume ind1: Ide t1  $\Rightarrow \text{Cointial}(\text{head-strategy } t1) t1$ 
    assume ind2: Ide t2  $\Rightarrow \text{Cointial}(\text{head-strategy } t2) t2$ 
    assume t: Ide (\lambda[t1] • t2)
    show Cointial (head-strategy (\lambda[t1] • t2)) (\lambda[t1] • t2)
      using t by auto
  qed
qed

```

The following function tests whether a term is an elementary reduction of the head redex.

```

fun is-head-reduction
where is-head-reduction  $\langle\!\langle - \rangle\!\rangle \longleftrightarrow \text{False}$ 
  | is-head-reduction  $\lambda[t] \longleftrightarrow \text{is-head-reduction } t$ 
  | is-head-reduction  $(\lambda[-] \circ -) \longleftrightarrow \text{False}$ 
  | is-head-reduction  $(t \circ u) \longleftrightarrow \text{is-head-reduction } t \wedge \text{Ide } u$ 
  | is-head-reduction  $(\lambda[t] • u) \longleftrightarrow \text{Ide } t \wedge \text{Ide } u$ 
  | is-head-reduction  $\sharp \longleftrightarrow \text{False}$ 

lemma is-head-reduction-char:
shows is-head-reduction t  $\longleftrightarrow \text{elementary-reduction } t \wedge \text{head-strategy } (\text{Src } t) = t$ 
apply (induct t)
  apply simp-all
proof –
  fix t1 t2
  assume ind: is-head-reduction t1  $\longleftrightarrow$ 
    elementary-reduction t1  $\wedge \text{head-strategy } (\text{Src } t1) = t1$ 
  show is-head-reduction (t1 o t2)  $\longleftrightarrow$ 
     $(\text{elementary-reduction } t1 \wedge \text{Ide } t2 \vee \text{Ide } t1 \wedge \text{elementary-reduction } t2) \wedge$ 
    head-strategy (Src t1 o Src t2) = t1 o t2
  using ind Ide-implies-Arr Ide-iff-Src-self Ide-Src elementary-reduction-not-ide ide-char
  apply (cases t1)

```

```

apply simp-all
apply (metis Ide-Src arr-char elementary-reduction-is-arr)
apply (metis Ide-Src arr-char elementary-reduction-is-arr)
by metis
next
fix t1 t2
show Ide t1 ∧ Ide t2 ↔ Ide t1 ∧ Ide t2 ∧ Src (Src t1) = t1 ∧ Src (Src t2) = t2
  by (metis Ide-iff-Src-self Ide-implies-Arr)
qed

```

```

lemma is-head-reductionI:
assumes Arr t and elementary-reduction t and head-strategy (Src t) = t
shows is-head-reduction t
  using assms is-head-reduction-char by blast

```

The following function tests whether a redex in the head position of a term is marked.

```

fun contains-head-reduction
where contains-head-reduction «-» ↔ False
| contains-head-reduction λ[t] ↔ contains-head-reduction t
| contains-head-reduction (λ[-] o -) ↔ False
| contains-head-reduction (t o u) ↔ contains-head-reduction t ∧ Arr u
| contains-head-reduction (λ[t] • u) ↔ Arr t ∧ Arr u
| contains-head-reduction # ↔ False

```

```

lemma is-head-reduction-imp-contains-head-reduction:
shows is-head-reduction t ==> contains-head-reduction t
  using Ide-implies-Arr
  apply (induct t)
    apply auto
proof -
  fix t1 t2
  assume ind1: is-head-reduction t1 ==> contains-head-reduction t1
  assume ind2: is-head-reduction t2 ==> contains-head-reduction t2
  assume t: is-head-reduction (t1 o t2)
  show contains-head-reduction (t1 o t2)
    using t ind1 ind2 Ide-implies-Arr
    by (cases t1) auto
qed

```

An *internal reduction* is one that does not contract any redex at the head position.

```

fun is-internal-reduction
where is-internal-reduction «-» ↔ True
| is-internal-reduction λ[t] ↔ is-internal-reduction t
| is-internal-reduction (λ[t] o u) ↔ Arr t ∧ Arr u
| is-internal-reduction (t o u) ↔ is-internal-reduction t ∧ Arr u
| is-internal-reduction (λ[-] • -) ↔ False
| is-internal-reduction # ↔ False

```

```

lemma is-internal-reduction-iff:

```

```

shows is-internal-reduction  $t \longleftrightarrow \text{Arr } t \wedge \neg \text{contains-head-reduction } t$ 
  apply (induct t)
    apply simp-all
proof -
  fix  $t_1 t_2$ 
  assume ind1: is-internal-reduction  $t_1 \longleftrightarrow \text{Arr } t_1 \wedge \neg \text{contains-head-reduction } t_1$ 
  assume ind2: is-internal-reduction  $t_2 \longleftrightarrow \text{Arr } t_2 \wedge \neg \text{contains-head-reduction } t_2$ 
  show is-internal-reduction  $(t_1 \circ t_2) \longleftrightarrow$ 
    Arr  $t_1 \wedge \text{Arr } t_2 \wedge \neg \text{contains-head-reduction } (t_1 \circ t_2)$ 
    using ind1 ind2
    apply (cases t1)
      apply simp-all
    by blast
qed

```

Head reduction steps are either  $\lesssim$ -prefixes of, or are preserved by, residuation along arbitrary reductions.

```

lemma is-head-reduction-resid:
shows [[is-head-reduction  $t$ ; Arr  $u$ ; Src  $t = \text{Src } u$ ]  $\implies t \lesssim u \vee \text{is-head-reduction } (t \setminus u)$ ]
proof (induct t arbitrary:  $u$ )
  show  $\bigwedge u. [[\text{is-head-reduction } \sharp; \text{Arr } u; \text{Src } \sharp = \text{Src } u] \implies \sharp \lesssim u \vee \text{is-head-reduction } (\sharp \setminus u)]$ 
    by auto
  show  $\bigwedge x u. [[\text{is-head-reduction } \langle\langle x\rangle\rangle; \text{Arr } u; \text{Src } \langle\langle x\rangle\rangle = \text{Src } u] \implies \langle\langle x\rangle\rangle \lesssim u \vee \text{is-head-reduction } (\langle\langle x\rangle\rangle \setminus u)]$ 
    by auto
  fix  $t u$ 
  assume ind:  $\bigwedge u. [[\text{is-head-reduction } t; \text{Arr } u; \text{Src } t = \text{Src } u] \implies t \lesssim u \vee \text{is-head-reduction } (t \setminus u)]$ 
  assume  $t: \text{is-head-reduction } \lambda[t]$ 
  assume  $u: \text{Arr } u$ 
  assume  $tu: \text{Src } \lambda[t] = \text{Src } u$ 
  have 1:  $\text{Arr } t$ 
    by (metis Arr-head-strategy head-strategy-Src is-head-reduction-char Arr.simps(3) t tu u)
  show  $\lambda[t] \lesssim u \vee \text{is-head-reduction } (\lambda[t] \setminus u)$ 
    using t tu 1 ind
    by (cases u) auto
  next
  fix  $t_1 t_2 u$ 
  assume ind1:  $\bigwedge u_1. [[\text{is-head-reduction } t_1; \text{Arr } u_1; \text{Src } t_1 = \text{Src } u_1] \implies t_1 \lesssim u_1 \vee \text{is-head-reduction } (t_1 \setminus u_1)]$ 
  assume ind2:  $\bigwedge u_2. [[\text{is-head-reduction } t_2; \text{Arr } u_2; \text{Src } t_2 = \text{Src } u_2] \implies t_2 \lesssim u_2 \vee \text{is-head-reduction } (t_2 \setminus u_2)]$ 
  assume  $t: \text{is-head-reduction } (\lambda[t_1] \bullet t_2)$ 
  assume  $u: \text{Arr } u$ 
  assume  $tu: \text{Src } (\lambda[t_1] \bullet t_2) = \text{Src } u$ 
  show  $\lambda[t_1] \bullet t_2 \lesssim u \vee \text{is-head-reduction } ((\lambda[t_1] \bullet t_2) \setminus u)$ 
    using t u tu ind1 ind2 Coinitial-iff-Con Ide-implies-Arr ide-char resid-Ide-Arr Ide-Subst
    by (cases u; cases un-App1 u) auto

```

```

next
fix t1 t2 u
assume ind1:  $\bigwedge u_1. \llbracket \text{is-head-reduction } t_1; \text{Arr } u_1; \text{Src } t_1 = \text{Src } u_1 \rrbracket$ 
 $\implies t_1 \lesssim u_1 \vee \text{is-head-reduction } (t_1 \setminus u_1)$ 
assume ind2:  $\bigwedge u_2. \llbracket \text{is-head-reduction } t_2; \text{Arr } u_2; \text{Src } t_2 = \text{Src } u_2 \rrbracket$ 
 $\implies t_2 \lesssim u_2 \vee \text{is-head-reduction } (t_2 \setminus u_2)$ 
assume t:  $\text{is-head-reduction } (t_1 \circ t_2)$ 
assume u:  $\text{Arr } u$ 
assume tu:  $\text{Src } (t_1 \circ t_2) = \text{Src } u$ 
have Arr (t1 o t2)
  using is-head-reduction-char elementary-reduction-is-arr t by blast
hence t1: Arr t1 and t2: Arr t2
  by auto
have 0:  $\neg \text{is-Lam } t_1$ 
  using t is-Lam-def by fastforce
have 1:  $\text{is-head-reduction } t_1$ 
  using t t1 by force
show t1 o t2  $\lesssim u \vee \text{is-head-reduction } ((t_1 \circ t_2) \setminus u)$ 
proof -
  have  $\neg \text{Ide } ((t_1 \circ t_2) \setminus u) \implies \text{is-head-reduction } ((t_1 \circ t_2) \setminus u)$ 
  proof (intro is-head-reductionI)
    assume 2:  $\neg \text{Ide } ((t_1 \circ t_2) \setminus u)$ 
    have 3:  $\text{is-App } u \implies \neg \text{Ide } (t_1 \setminus \text{un-App1 } u) \vee \neg \text{Ide } (t_2 \setminus \text{un-App2 } u)$ 
    by (metis 2 ide-char lambda.collapse(3) lambda.discI(3) lambda.sel(3-4) prfx-App-iff)
    have 4:  $\text{is-Beta } u \implies \neg \text{Ide } (t_1 \setminus \text{un-Beta1 } u) \vee \neg \text{Ide } (t_2 \setminus \text{un-Beta2 } u)$ 
    using u tu 2
    by (metis 0 ConI Con-implies-is-Lam-iff-is-Lam ⟨Arr (t1 o t2)⟩
      ConD(4) lambda.collapse(4) lambda.disc(8))
    show 5: Arr ((t1 o t2) \ u)
      using Arr-resid ⟨Arr (t1 o t2)⟩ tu u by auto
    show head-strategy (Src ((t1 o t2) \ u)) = (t1 o t2) \ u
    proof (cases u)
      show u = #  $\implies \text{head-strategy } (\text{Src } ((t_1 \circ t_2) \setminus u)) = (t_1 \circ t_2) \setminus u$ 
        by simp
      show  $\bigwedge x. u = \langle x \rangle \implies \text{head-strategy } (\text{Src } ((t_1 \circ t_2) \setminus u)) = (t_1 \circ t_2) \setminus u$ 
        by auto
      show  $\bigwedge v. u = \lambda[v] \implies \text{head-strategy } (\text{Src } ((t_1 \circ t_2) \setminus u)) = (t_1 \circ t_2) \setminus u$ 
        by simp
      show  $\bigwedge u_1 u_2. u = \lambda[u_1] \bullet u_2 \implies \text{head-strategy } (\text{Src } ((t_1 \circ t_2) \setminus u)) = (t_1 \circ t_2) \setminus u$ 
        by (metis 0 5 Arr-not-Nil ConD(4) Con-implies-is-Lam-iff-is-Lam lambda.disc(8))
      show  $\bigwedge u_1 u_2. u = \text{App } u_1 u_2 \implies \text{head-strategy } (\text{Src } ((t_1 \circ t_2) \setminus u)) = (t_1 \circ t_2) \setminus u$ 
      proof -
        fix u1 u2
        assume u1u2:  $u = u_1 \circ u_2$ 
        have head-strategy (Src ((t1 o t2) \ u)) =
          head-strategy (Src (t1 \ u1) o Src (t2 \ u2))
        using u u1u2 tu t1 t2 Coinitial-iff-Con by auto
        also have ... = head-strategy (Trg u1 o Trg u2)
        using 5 u1u2 Src-resid

```

```

by (metis Arr-not-Nil ConD(1))
also have ... =  $(t_1 \circ t_2) \setminus u$ 
proof (cases Trg u1)
  show Trg u1 =  $\emptyset \implies$  head-strategy (Trg u1  $\circ$  Trg u2) =  $(t_1 \circ t_2) \setminus u$ 
    using Arr-not-Nil u u1u2 by force
  show  $\bigwedge x. \text{Trg } u_1 = \langle x \rangle \implies$  head-strategy (Trg u1  $\circ$  Trg u2) =  $(t_1 \circ t_2) \setminus u$ 
    using tu t u t1 t2 u1u2 Arr-not-Nil Ide-iff-Src-self
    by (cases u1; cases t1) auto
  show  $\bigwedge v. \text{Trg } u_1 = \lambda[v] \implies$  head-strategy (Trg u1  $\circ$  Trg u2) =  $(t_1 \circ t_2) \setminus u$ 
    using tu t u t1 t2 u1u2 Arr-not-Nil Ide-iff-Src-self
    apply (cases u1; cases t1)
      apply auto
    by (metis 2 5 Src-resid Trg.simps(3–4) resid.simps(3–4) resid-Src-Arr)
  show  $\bigwedge u_{11} u_{12}. \text{Trg } u_1 = u_{11} \circ u_{12}$ 
     $\implies$  head-strategy (Trg u1  $\circ$  Trg u2) =  $(t_1 \circ t_2) \setminus u$ 
proof –
  fix u11 u12
  assume u1: Trg u1 =  $u_{11} \circ u_{12}$ 
  show head-strategy (Trg u1  $\circ$  Trg u2) =  $(t_1 \circ t_2) \setminus u$ 
  proof (cases Trg u1)
    show Trg u1 =  $\emptyset \implies$  ?thesis
      using u1 by simp
    show  $\bigwedge x. \text{Trg } u_1 = \langle x \rangle \implies$  ?thesis
      apply simp
      using u1 by force
    show  $\bigwedge v. \text{Trg } u_1 = \lambda[v] \implies$  ?thesis
      using u1 by simp
    show  $\bigwedge u_{11} u_{12}. \text{Trg } u_1 = u_{11} \circ u_{12} \implies$  ?thesis
      using t u tu u1u2 1 2 ind1 elementary-reduction-not-ide
        is-head-reduction-char Src-resid Ide-iff-Src-self
        ⟨Arr (t1  $\circ$  t2)⟩ Coinitial-iff-Con
      by fastforce
    show  $\bigwedge u_{11} u_{12}. \text{Trg } u_1 = \lambda[u_{11}] \bullet u_{12} \implies$  ?thesis
      using u1 by simp
  qed
  qed
  show  $\bigwedge u_{11} u_{12}. \text{Trg } u_1 = \lambda[u_{11}] \bullet u_{12} \implies$  ?thesis
    using u1u2 u Ide-Trg by fastforce
  qed
  finally show head-strategy (Src ((t1  $\circ$  t2)  $\setminus u)) = (t_1 \circ t_2) \setminus u$ 
    by simp
  qed
  qed
  thus elementary-reduction ((t1  $\circ$  t2)  $\setminus u)$ 
    by (metis 2 5 Ide-Src Ide-implies-Arr head-strategy-is-elementary)
  qed
  thus ?thesis by blast
qed
qed

```

Internal reductions are closed under residuation.

```

lemma is-internal-reduction-resid:
  shows [[is-internal-reduction t; is-internal-reduction u; Src t = Src u] 
     $\implies$  is-internal-reduction (t \ u)]
    apply (induct t arbitrary: u)
      apply auto
    apply (metis Con-implies-Arr2 con-char weak-extensionality Arr.simps(2) Src.simps(2)
      parallel-strategy.simps(1) prfx-implies-con resid-Arr-Src subs-Ide
      subs-implies-prfx subs-parallel-strategy-Src)
proof -
  fix t u
  assume ind:  $\bigwedge u$ . [[is-internal-reduction u; Src t = Src u]  $\implies$  is-internal-reduction (t \ u)]
  assume t: is-internal-reduction t
  assume u: is-internal-reduction u
  assume tu:  $\lambda[Src\ t] = Src\ u$ 
  show is-internal-reduction ( $\lambda[t]\ \backslash\ u$ )
    using t u tu ind
    apply (cases u)
    by auto fastforce
next
fix t1 t2 u
assume ind1:  $\bigwedge u$ . [[is-internal-reduction t1; is-internal-reduction u; Src t1 = Src u] 
     $\implies$  is-internal-reduction (t1 \ u)]
  assume t: is-internal-reduction (t1 o t2)
  assume u: is-internal-reduction u
  assume tu: Src t1 o Src t2 = Src u
  show is-internal-reduction ((t1 o t2) \ u)
    using t u tu ind1 Coinitial-resid-resid Coinitial-iff-Con Arr-Src
      is-internal-reduction-iff
    apply auto
    apply (metis Arr.simps(4) Src.simps(4))
proof -
  assume t1: Arr t1 and t2: Arr t2 and u: Arr u
  assume tu: Src t1 o Src t2 = Src u
  assume 1:  $\neg$  contains-head-reduction u
  assume 2:  $\neg$  contains-head-reduction (t1 o t2)
  assume 3: contains-head-reduction ((t1 o t2) \ u)
  show False
    using t1 t2 u tu 1 2 3 is-internal-reduction-iff
    apply (cases u)
      apply simp-all
    apply (cases t1; cases un-App1 u)
      apply simp-all
    by (metis Coinitial-iff-Con ind1 Arr.simps(4) Src.simps(4) resid.simps(3))
qed
qed

```

A head reduction is preserved by residuation along an internal reduction, so a head reduction can only be canceled by a transition that contains a head reduction.

```

lemma is-head-reduction-resid':
shows [[is-head-reduction t; is-internal-reduction u; Src t = Src u]]
    ==> is-head-reduction (t \ u)
proof (induct t arbitrary: u)
  show !u. [[is-head-reduction !; is-internal-reduction u; Src ! = Src u]]
    ==> is-head-reduction (! \ u)
  by simp
  show !x u. [[is-head-reduction «x»; is-internal-reduction u; Src «x» = Src u]]
    ==> is-head-reduction («x» \ u)
  by simp
  show !t. [[!u. [[is-head-reduction t; is-internal-reduction u; Src t = Src u]]
    ==> is-head-reduction (t \ u);
    is-head-reduction !t; is-internal-reduction u; Src !t = Src u]]
    ==> is-head-reduction (!t \ u)
  for u
  by (cases u, simp-all) fastforce
fix t1 t2 u
assume ind1: !u. [[is-head-reduction t1; is-internal-reduction u; Src t1 = Src u]]
    ==> is-head-reduction (t1 \ u)
assume t: is-head-reduction (t1 o t2)
assume u: is-internal-reduction u
assume tu: Src (t1 o t2) = Src u
show is-head-reduction ((t1 o t2) \ u)
  using t u tu ind1
  apply (cases u)
    apply simp-all
proof (intro conjI impI)
  fix u1 u2
  assume u1u2: u = u1 o u2
  show 1: Con t1 u1
    using Coinitial-iff-Con tu u1u2 ide-char
    by (metis Cond(1) Ide.simps(1) is-head-reduction.simps(9) is-head-reduction-resid
        is-internal-reduction.simps(9) is-internal-reduction-resid t u)
  show Con t2 u2
    using Coinitial-iff-Con tu u1u2 ide-char
    by (metis Cond(1) Ide.simps(1) is-head-reduction.simps(9) is-head-reduction-resid
        is-internal-reduction.simps(9) is-internal-reduction-resid t u)
  show is-head-reduction (t1 \ u1 o t2 \ u2)
    using t u u1u2 1 Coinitial-iff-Con <Con t2 u2> ide-char ind1 resid-Ide-Arr
    apply (cases t1; simp-all; cases u1; simp-all; cases un-App1 u1)
      apply auto
    by (metis 1 ind1 is-internal-reduction.simps(6) resid.simps(3))
qed
next
fix t1 t2 u
assume ind1: !u. [[is-head-reduction t1; is-internal-reduction u; Src t1 = Src u]]
    ==> is-head-reduction (t1 \ u)
assume t: is-head-reduction (!t1) • t2)
assume u: is-internal-reduction u

```

```

assume tu:  $\text{Src} (\lambda[t_1] \bullet t_2) = \text{Src } u$ 
show is-head-reduction  $((\lambda[t_1] \bullet t_2) \setminus u)$ 
  using t u tu ind1
  apply (cases u)
    apply simp-all
  by (metis Con-implies-Arr1 is-head-reduction-resid is-internal-reduction.simps(9)
        is-internal-reduction-resid lambda.disc(15) prfx-App-iff t tu)
qed

```

The following function differs from *head-strategy* in that it only selects an already-marked redex, whereas *head-strategy* marks the redex at the head position.

```

fun head-redex
where head-redex # = #
  | head-redex «x» = «x»
  | head-redex  $\lambda[t] = \lambda[\text{head-redex } t]$ 
  | head-redex  $(\lambda[t] \circ u) = \lambda[\text{Src } t] \circ \text{Src } u$ 
  | head-redex  $(t \circ u) = \text{head-redex } t \circ \text{Src } u$ 
  | head-redex  $(\lambda[t] \bullet u) = (\lambda[\text{Src } t] \bullet \text{Src } u)$ 

lemma elementary-reduction-head-redex:
shows  $\llbracket \text{Arr } t; \neg \text{Ide}(\text{head-redex } t) \rrbracket \implies \text{elementary-reduction}(\text{head-redex } t)$ 
  using Ide-Src
  apply (induct t)
    apply auto
proof -
  show  $\bigwedge t_2. \llbracket \neg \text{Ide}(\text{head-redex } t_1) \implies \text{elementary-reduction}(\text{head-redex } t_1);$ 
     $\neg \text{Ide}(\text{head-redex } (t_1 \circ t_2));$ 
     $\bigwedge t. \text{Arr } t \implies \text{Ide}(\text{Src } t); \text{Arr } t_1; \text{Arr } t_2 \rrbracket$ 
     $\implies \text{elementary-reduction}(\text{head-redex } (t_1 \circ t_2))$ 
  for t1
  using Ide-Src
  by (cases t1) auto
qed

lemma subs-head-redex:
shows Arr t  $\implies \text{head-redex } t \sqsubseteq t$ 
  using Ide-Src subs-Ide
  apply (induct t)
    apply simp-all
proof -
  show  $\bigwedge t_2. \llbracket \text{head-redex } t_1 \sqsubseteq t_1; \text{head-redex } t_2 \sqsubseteq t_2;$ 
     $\text{Arr } t_1 \wedge \text{Arr } t_2; \bigwedge t. \text{Arr } t \implies \text{Ide}(\text{Src } t);$ 
     $\bigwedge u t. \llbracket \text{Ide } u; \text{Src } t = \text{Src } u \rrbracket \implies u \sqsubseteq t \rrbracket$ 
     $\implies \text{head-redex } (t_1 \circ t_2) \sqsubseteq t_1 \circ t_2$ 
  for t1
  using Ide-Src subs-Ide
  by (cases t1) auto
qed

```

```

lemma contains-head-reduction-iff:
shows contains-head-reduction  $t \leftrightarrow \text{Arr } t \wedge \neg \text{Ide}(\text{head-redex } t)$ 
  apply (induct t)
    apply simp-all
proof -
  show  $\bigwedge t_2. \text{contains-head-reduction } t_1 = (\text{Arr } t_1 \wedge \neg \text{Ide}(\text{head-redex } t_1))$ 
     $\implies \text{contains-head-reduction } (t_1 \circ t_2) =$ 
       $(\text{Arr } t_1 \wedge \text{Arr } t_2 \wedge \neg \text{Ide}(\text{head-redex } (t_1 \circ t_2)))$ 
  for  $t_1$ 
  using Ide-Src
  by (cases t1) auto
qed

lemma head-redex-is-head-reduction:
shows  $[\![\text{Arr } t; \text{contains-head-reduction } t]\!] \implies \text{is-head-reduction}(\text{head-redex } t)$ 
  using Ide-Src
  apply (induct t)
    apply simp-all
proof -
  show  $\bigwedge t_2. [\![\text{contains-head-reduction } t_1 \implies \text{is-head-reduction}(\text{head-redex } t_1);$ 
     $\text{Arr } t_1 \wedge \text{Arr } t_2;$ 
     $\text{contains-head-reduction } (t_1 \circ t_2); \bigwedge t. \text{Arr } t \implies \text{Ide}(\text{Src } t)]\!]$ 
     $\implies \text{is-head-reduction}(\text{head-redex } (t_1 \circ t_2))$ 
  for  $t_1$ 
  using Ide-Src contains-head-reduction-iff subs-implies-prfx
  by (cases t1) auto
qed

lemma Arr-head-redex:
assumes Arr t
shows Arr (head-redex t)
  using assms Ide-implies-Arr elementary-reduction-head-redex elementary-reduction-is-arr
  by blast

lemma Src-head-redex:
assumes Arr t
shows Src (head-redex t) = Src t
  using assms
  by (metis Coinitial-iff-Con Ide.simps(1) ide-char subs-head-redex subs-implies-prfx)

lemma Con-Arr-head-redex:
assumes Arr t
shows Con t (head-redex t)
  using assms
  by (metis Con-sym Ide.simps(1) ide-char subs-head-redex subs-implies-prfx)

lemma is-head-reduction-if:
shows  $[\![\text{contains-head-reduction } u; \text{elementary-reduction } u]\!] \implies \text{is-head-reduction } u$ 
  apply (induct u)

```

```

apply auto
using contains-head-reduction.elims(2)
apply fastforce
proof -
fix u1 u2
assume u1: Ide u1
assume u2: elementary-reduction u2
assume 1: contains-head-reduction (u1 o u2)
have False
  using u1 u2 1
  apply (cases u1)
  apply auto
  by (metis Arr-head-redex Ide-iff-Src-self Src-head-redex contains-head-reduction-iff
       ide-char resid-Arr-Src subs-head-redex subs-implies-prfx u1)
thus is-head-reduction (u1 o u2)
  by blast
qed

```

```

lemma (in reduction-paths) head-redex-decomp:
assumes Λ.Arr t
shows [Λ.head-redex t] @ [t \ Λ.head-redex t] *~* [t]
  using assms prfx-decomp Λ.subs-head-redex Λ.subs-implies-prfx
  by (metis Ide.simps(2) Resid.simps(3) Λ.prfx-implies-con ide-char)

```

An internal reduction cannot create a new head redex.

```

lemma internal-reduction-preserves-no-head-redex:
shows [|is-internal-reduction u; Ide (head-strategy (Src u))|]
  ==> Ide (head-strategy (Trg u))
apply (induct u)
  apply simp-all
proof -
fix u1 u2
assume ind1: [|is-internal-reduction u1; Ide (head-strategy (Src u1))|]
  ==> Ide (head-strategy (Trg u1))
assume ind2: [|is-internal-reduction u2; Ide (head-strategy (Src u2))|]
  ==> Ide (head-strategy (Trg u2))
assume u: is-internal-reduction (u1 o u2)
assume 1: Ide (head-strategy (Src u1 o Src u2))
show Ide (head-strategy (Trg u1 o Trg u2))
  using u 1 ind1 ind2 Ide-Src Ide-Trg Ide-implies-Arr
  by (cases u1) auto
qed

```

```

lemma head-reduction-unique:
shows [|is-head-reduction t; is-head-reduction u; coinitial t u|] ==> t = u
  by (metis Coinitial-iff-Con con-def confluence is-head-reduction-char null-char)

```

Residuation along internal reductions preserves head reductions.

```

lemma resid-head-strategy-internal:

```

```

shows is-internal-reduction  $u \implies \text{head-strategy} (\text{Src } u) \setminus u = \text{head-strategy} (\text{Trg } u)$ 
using internal-reduction-preserves-no-head-redex Arr-head-strategy Ide-iff-Src-self
      Src-head-strategy Src-resid head-strategy-is-elementary is-head-reduction-char
      is-head-reduction-resid' is-internal-reduction-iff
apply (cases  $u$ )
  apply simp-all
  apply (metis head-strategy-Src resid-Src-Arr)
  apply (metis head-strategy-Src Arr.simps(4) Src.simps(4) Trg.simps(3) resid-Src-Arr)
  by blast

```

An internal reduction followed by a head reduction can be expressed as a join of the internal reduction with a head reduction.

```

lemma resid-head-strategy-Src:
assumes is-internal-reduction  $t$  and is-head-reduction  $u$ 
and seq  $t$   $u$ 
shows head-strategy ( $\text{Src } t \setminus t = u$ )
and composite-of  $t$   $u$  (Join (head-strategy ( $\text{Src } t$ ))  $t$ )
proof -
  show 1: head-strategy ( $\text{Src } t \setminus t = u$ 
    using assms internal-reduction-preserves-no-head-redex resid-head-strategy-internal
          elementary-reduction-not-ide ide-char is-head-reduction-char seq-char
    by force
  show composite-of  $t$   $u$  (Join (head-strategy ( $\text{Src } t$ ))  $t$ )
    using assms(3) 1 Arr-head-strategy Src-head-strategy join-of-Join join-of-def seq-char
    by force
qed

```

```

lemma App-Var-contains-no-head-reduction:
shows  $\neg \text{contains-head-reduction} (\langle\!\langle x \rangle\!\rangle \circ u)$ 
by simp

```

```

lemma hgt-resid-App-head-redex:
assumes Arr ( $t \circ u$ ) and  $\neg \text{Ide}$  (head-redex ( $t \circ u$ ))
shows hgt (( $t \circ u$ )  $\setminus \text{head-redex}$  ( $t \circ u$ ))  $< \text{hgt}$  ( $t \circ u$ )
using assms contains-head-reduction-iff elementary-reduction-decreases-hgt
      elementary-reduction-head-redex subs-head-redex
by blast

```

### 3.5.3 Leftmost Reduction

Leftmost (or normal-order) reduction is the strategy that produces an elementary reduction path by contracting the leftmost redex at each step. It agrees with head reduction as long as there is a head redex, otherwise it continues on with the next subterm to the right.

```

fun leftmost-strategy
where leftmost-strategy  $\langle\!\langle x \rangle\!\rangle = \langle\!\langle x \rangle\!\rangle$ 
| leftmost-strategy  $\lambda[t] = \lambda[\text{leftmost-strategy } t]$ 
| leftmost-strategy ( $\lambda[t] \circ u$ ) =  $\lambda[t] \bullet u$ 

```

```

| leftmost-strategy (t o u) =
  (if  $\neg$  Ide (leftmost-strategy t)
   then leftmost-strategy t o u
   else t o leftmost-strategy u)
| leftmost-strategy ( $\lambda[t]$  • u) =  $\lambda[t]$  • u
| leftmost-strategy  $\sharp$  =  $\sharp$ 

```

**definition** *is-leftmost-reduction*

**where** *is-leftmost-reduction*  $t \longleftrightarrow$  elementary-reduction  $t \wedge$  leftmost-strategy ( $\text{Src } t$ ) =  $t$

**lemma** *leftmost-strategy-is-reduction-strategy*:

**shows** reduction-strategy leftmost-strategy

**proof** (*unfold reduction-strategy-def, intro allI impI*)

fix  $t$

show Ide  $t \implies$  Coinitial (leftmost-strategy  $t$ )  $t$

**proof** (*induct t, auto*)

show  $\bigwedge t_2. [\text{Arr}(\text{leftmost-strategy } t_1); \text{Arr}(\text{leftmost-strategy } t_2);$

Ide  $t_1$ ; Ide  $t_2$ ;

Arr  $t_1$ ; Src (leftmost-strategy  $t_1$ ) = Src  $t_1$ ;

Arr  $t_2$ ; Src (leftmost-strategy  $t_2$ ) = Src  $t_2$ ]

$\implies$  Arr (leftmost-strategy ( $t_1 \circ t_2$ )))

for  $t_1$

by (*cases t1*) *auto*

qed

qed

**lemma** *elementary-reduction-leftmost-strategy*:

**shows** Ide  $t \implies$  elementary-reduction (leftmost-strategy  $t$ )  $\vee$  Ide (leftmost-strategy  $t$ )

**apply** (*induct t*)

apply *simp-all*

**proof** –

fix  $t_1 t_2$

show  $[\text{elementary-reduction}(\text{leftmost-strategy } t_1) \vee \text{Ide}(\text{leftmost-strategy } t_1);$

$\text{elementary-reduction}(\text{leftmost-strategy } t_2) \vee \text{Ide}(\text{leftmost-strategy } t_2);$

Ide  $t_1 \wedge$  Ide  $t_2]$

$\implies$  elementary-reduction (leftmost-strategy ( $t_1 \circ t_2$ ))  $\vee$

Ide (leftmost-strategy ( $t_1 \circ t_2$ )))

by (*cases t1*) *auto*

qed

**lemma** (*in lambda-calculus*) *leftmost-strategy-selects-head-reduction*:

**shows** is-head-reduction  $t \implies t = \text{leftmost-strategy}(\text{Src } t)$

**proof** (*induct t*)

show  $\bigwedge t_1 t_2. [\text{is-head-reduction } t_1 \implies t_1 = \text{leftmost-strategy}(\text{Src } t_1);$

is-head-reduction ( $t_1 \circ t_2$ )]

$\implies t_1 \circ t_2 = \text{leftmost-strategy}(\text{Src } (t_1 \circ t_2))$

**proof** –

fix  $t_1 t_2$

```

assume ind1: is-head-reduction t1  $\implies$  t1 = leftmost-strategy (Src t1)
assume t: is-head-reduction (t1 o t2)
show t1 o t2 = leftmost-strategy (Src (t1 o t2))
using t ind1
apply (cases t1)
  apply simp-all
apply (cases Src t1)
  apply simp-all
using ind1
  apply force
using ind1
  apply force
using ind1
  apply force
apply (metis Ide-iff-Src-self Ide-implies-Arr elementary-reduction-not-ide
  ide-char ind1 is-head-reduction-char)
using ind1
  apply force
by (metis Ide-iff-Src-self Ide-implies-Arr)
qed
show  $\bigwedge t1\ t2. \llbracket \text{is-head-reduction } t1 \implies t1 = \text{leftmost-strategy } (\text{Src } t1);$ 
   $\text{is-head-reduction } (\lambda[t1] \bullet t2) \rrbracket$ 
   $\implies \lambda[t1] \bullet t2 = \text{leftmost-strategy } (\text{Src } (\lambda[t1] \bullet t2))$ 
by (metis Ide-iff-Src-self Ide-implies-Arr Src.simps(5)
  is-head-reduction.simps(8) leftmost-strategy.simps(3))
qed auto

lemma has-redex-iff-not-Ide-leftmost-strategy:
shows Arr t  $\implies$  has-redex t  $\longleftrightarrow$   $\neg$  Ide (leftmost-strategy (Src t))
apply (induct t)
  apply simp-all
proof -
  fix t1 t2
  assume ind1: Ide (parallel-strategy t1)  $\longleftrightarrow$  Ide (leftmost-strategy (Src t1))
  assume ind2: Ide (parallel-strategy t2)  $\longleftrightarrow$  Ide (leftmost-strategy (Src t2))
  assume t: Arr t1  $\wedge$  Arr t2
  show Ide (parallel-strategy (t1 o t2))  $\longleftrightarrow$ 
    Ide (leftmost-strategy (Src t1 o Src t2))
  using t ind1 ind2 Ide-Src Ide-iff-Src-self
  by (cases t1) auto
qed

lemma leftmost-reduction-preservation:
shows  $\llbracket \text{is-leftmost-reduction } t; \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u;$ 
   $\text{coinitial } t\ u \rrbracket \implies \text{is-leftmost-reduction } (t \setminus u)$ 
proof (induct t arbitrary: u)
  show  $\bigwedge u. \text{coinitial } \sharp u \implies \text{is-leftmost-reduction } (\sharp \setminus u)$ 
  by simp
  show  $\bigwedge x\ u. \text{is-leftmost-reduction } \langle\!\langle x \rangle\!\rangle \implies \text{is-leftmost-reduction } (\langle\!\langle x \rangle\!\rangle \setminus u)$ 

```

```

by (simp add: is-leftmost-reduction-def)
fix t u
show  $\llbracket \text{is-leftmost-reduction } t; \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u; \text{coinitial } t u \rrbracket \implies \text{is-leftmost-reduction } (t \setminus u);$ 
       $\text{is-leftmost-reduction } (\text{Lam } t); \text{elementary-reduction } u;$ 
       $\neg \text{is-leftmost-reduction } u; \text{coinitial } \lambda[t] u \rrbracket$ 
       $\implies \text{is-leftmost-reduction } (\lambda[t] \setminus u)$ 
using is-leftmost-reduction-def
by (cases u) auto
next
fix t1 t2 u
show  $\llbracket \text{is-leftmost-reduction } (\lambda[t1] \bullet t2); \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u; \text{coinitial } (\lambda[t1] \bullet t2) u \rrbracket$ 
       $\implies \text{is-leftmost-reduction } ((\lambda[t1] \bullet t2) \setminus u)$ 
using is-leftmost-reduction-def Src-resid Ide-Trg Ide-iff-Src-self Arr-Trg Arr-not-Nil
apply (cases u)
apply simp-all
by (cases un-App1 u) auto
assume ind1:  $\bigwedge u. \llbracket \text{is-leftmost-reduction } t1; \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u; \text{coinitial } t1 u \rrbracket \implies \text{is-leftmost-reduction } (t1 \setminus u)$ 
assume ind2:  $\bigwedge u. \llbracket \text{is-leftmost-reduction } t2; \text{elementary-reduction } u; \neg \text{is-leftmost-reduction } u; \text{coinitial } t2 u \rrbracket \implies \text{is-leftmost-reduction } (t2 \setminus u)$ 
assume 1: is-leftmost-reduction (t1 o t2)
assume 2: elementary-reduction u
assume 3:  $\neg \text{is-leftmost-reduction } u$ 
assume 4: coinitial (t1 o t2) u
show is-leftmost-reduction ((t1 o t2) \ u)
using 1 2 3 4 ind1 ind2 is-leftmost-reduction-def Src-resid
apply (cases u)
apply auto[3]
proof -
show  $\bigwedge u1 u2. u = \lambda[u1] \bullet u2 \implies \text{is-leftmost-reduction } ((t1 \circ t2) \setminus u)$ 
by (metis 2 3 is-leftmost-reduction-def elementary-reduction.simps(5)
      is-head-reduction.simps(8) leftmost-strategy-selects-head-reduction)
fix u1 u2
assume u:  $u = u1 \circ u2$ 
show is-leftmost-reduction ((t1 o t2) \ u)
using u 1 2 3 4 ind1 ind2 is-leftmost-reduction-def Src-resid Ide-Trg
      elementary-reduction-not-ide
apply (cases u)
apply simp-all
apply (cases u1)
apply simp-all
apply auto[1]
using Ide-iff-Src-self
apply simp-all
proof -

```

```

fix u11 u12
assume u: u = u11 o u12 o u2
assume u1: u1 = u11 o u12
have A: (elementary-reduction t1 ∧ Src u2 = t2 ∨
          Src u11 o Src u12 = t1 ∧ elementary-reduction t2) ∧
          (if ¬ Ide (leftmost-strategy (Src u11 o Src u12))
             then leftmost-strategy (Src u11 o Src u12) o Src u2
             else Src u11 o Src u12 o leftmost-strategy (Src u2)) = t1 o t2
using 1 4 Ide-iff-Src-self is-leftmost-reduction-def u by auto
have B: (elementary-reduction u11 ∧ Src u12 = u12 ∨
          Src u11 = u11 ∧ elementary-reduction u12) ∧ Src u2 = u2 ∨
          Src u11 = u11 ∧ Src u12 = u12 ∧ elementary-reduction u2
using 2 4 Ide-iff-Src-self u by force
have C: t1 = u11 o u12 → t2 ≠ u2
using 1 3 u by fastforce
have D: Arr t1 ∧ Arr t2 ∧ Arr u11 ∧ Arr u12 ∧ Arr u2 ∧
          Src t1 = Src u11 o Src u12 ∧ Src t2 = Src u2
using 4 u by force
have E: ∀u. [elementary-reduction t1 ∧ leftmost-strategy (Src u) = t1;
          elementary-reduction u;
          t1 ≠ u;
          Arr u ∧ Src u11 o Src u12 = Src u]
          ⇒ elementary-reduction (t1 \ u) ∧
          leftmost-strategy (Trg u) = t1 \ u
using D Src-resid.ind1 is-leftmost-reduction-def by auto
have F: ∀u. [elementary-reduction t2 ∧ leftmost-strategy (Src u) = t2;
          elementary-reduction u;
          t2 ≠ u;
          Arr u ∧ Src u2 = Src u]
          ⇒ elementary-reduction (t2 \ u) ∧
          leftmost-strategy (Trg u) = t2 \ u
using D Src-resid.ind2 is-leftmost-reduction-def by auto
have G: ∀t. elementary-reduction t ⇒ ¬ Ide t
using elementary-reduction-not-ide ide-char by blast
have H: elementary-reduction (t1 \ (u11 o u12)) ∧ Ide (t2 \ u2) ∨
          Ide (t1 \ (u11 o u12)) ∧ elementary-reduction (t2 \ u2)
proof (cases Ide (t2 \ u2))
assume 1: Ide (t2 \ u2)
hence elementary-reduction (t1 \ (u11 o u12))
by (metis A B C D E F G Ide-Src Arr.simps(4) Src.simps(4)
     elementary-reduction.simps(4) lambda.inject(3) resid-Arr-Src)
thus ?thesis
using 1 by auto
next
assume 1: ¬ Ide (t2 \ u2)
hence Ide (t1 \ (u11 o u12)) ∧ elementary-reduction (t2 \ u2)
apply (intro conjI)
apply (metis 1 A D Ide-Src Arr.simps(4) Src.simps(4) resid-Ide-Arr)
by (metis A B C D F Ide-iff-Src-self lambda.inject(3) resid-Arr-Src resid-Ide-Arr)

```

```

thus ?thesis by simp
qed
show ( $\neg \text{Ide}(\text{leftmost-strategy}(\text{Trg } u_{11} \circ \text{Trg } u_{12})) \rightarrow$ 
      ( $\text{elementary-reduction}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{Ide}(t_2 \setminus u_2) \vee$ 
        $\text{Ide}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{elementary-reduction}(t_2 \setminus u_2)) \wedge$ 
        $\text{leftmost-strategy}(\text{Trg } u_{11} \circ \text{Trg } u_{12}) = t_1 \setminus (u_{11} \circ u_{12}) \wedge \text{Trg } u_2 = t_2 \setminus u_2) \wedge$ 
       ( $\text{Ide}(\text{leftmost-strategy}(\text{Trg } u_{11} \circ \text{Trg } u_{12})) \rightarrow$ 
        ( $\text{elementary-reduction}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{Ide}(t_2 \setminus u_2) \vee$ 
          $\text{Ide}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{elementary-reduction}(t_2 \setminus u_2)) \wedge$ 
          $\text{Trg } u_{11} \circ \text{Trg } u_{12} = t_1 \setminus (u_{11} \circ u_{12}) \wedge \text{leftmost-strategy}(\text{Trg } u_2) = t_2 \setminus u_2)$ )
proof (intro conjI impI)
show H:  $\text{elementary-reduction}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{Ide}(t_2 \setminus u_2) \vee$ 
       $\text{Ide}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{elementary-reduction}(t_2 \setminus u_2)$ 
by fact
show H:  $\text{elementary-reduction}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{Ide}(t_2 \setminus u_2) \vee$ 
       $\text{Ide}(t_1 \setminus (u_{11} \circ u_{12})) \wedge \text{elementary-reduction}(t_2 \setminus u_2)$ 
by fact
assume K:  $\neg \text{Ide}(\text{leftmost-strategy}(\text{Trg } u_{11} \circ \text{Trg } u_{12}))$ 
show J:  $\text{Trg } u_2 = t_2 \setminus u_2$ 
using A B D G K has-redex-iff-not-Ide-leftmost-strategy
      NF-def NF-iff-has-no-redex NF-App-iff resid-Arr-Src resid-Src-Arr
by (metis lambda.inject(3))
show leftmost-strategy( $\text{Trg } u_{11} \circ \text{Trg } u_{12}) = t_1 \setminus (u_{11} \circ u_{12})$ 
using 2 A B C D E G H J u Ide-Trg Src-Src
      has-redex-iff-not-Ide-leftmost-strategy resid-Arr-Ide resid-Src-Arr
by (metis Arr.simps(4) Ide.simps(4) Src.simps(4) Trg.simps(3)
      elementary-reduction.simps(4) lambda.inject(3))
next
assume K:  $\text{Ide}(\text{leftmost-strategy}(\text{Trg } u_{11} \circ \text{Trg } u_{12}))$ 
show I:  $\text{Trg } u_{11} \circ \text{Trg } u_{12} = t_1 \setminus (u_{11} \circ u_{12})$ 
using 2 A D E K u Coinitial-resid-resid ConI resid-Arr-self resid-Ide-Arr
      resid-Arr-Ide Ide-iff-Src-self Src-resid
apply (cases Ide(leftmost-strategy(Src u_{11} \circ Src u_{12})))
apply simp
using lambda-calculus.Con-Arr-Src(2)
apply force
apply simp
using u1 G H Coinitial-iff-Con
apply (cases elementary-reduction u_{11};
      cases elementary-reduction u_{12})
apply simp-all
apply metis
apply (metis Src.simps(4) Trg.simps(3) elementary-reduction.simps(1,4))
apply (metis Src.simps(4) Trg.simps(3) elementary-reduction.simps(1,4))
by (metis Trg-Src)
show leftmost-strategy( $\text{Trg } u_2) = t_2 \setminus u_2$ 
using 2 A C D F G H I u Ide-Trg Ide-iff-Src-self NF-def NF-iff-has-no-redex
      has-redex-iff-not-Ide-leftmost-strategy resid-Ide-Arr
by (metis Arr.simps(4) Src.simps(4) Trg.simps(3) elementary-reduction.simps(4))

```

```

    lambda.inject(3))
qed
qed
qed
qed

end

```

## 3.6 Standard Reductions

In this section, we define the notion of a *standard reduction*, which is an elementary reduction path that performs reductions from left to right, possibly skipping some redexes that could be contracted. Once a redex has been skipped, neither that redex nor any redex to its left will subsequently be contracted. We then define and prove correct a function that transforms an arbitrary elementary reduction path into a congruent standard reduction path. Using this function, we prove the Standardization Theorem, which says that every elementary reduction path is congruent to a standard reduction path. We then show that a standard reduction path that reaches a normal form is in fact a leftmost reduction path. From this fact and the Standardization Theorem we prove the Leftmost Reduction Theorem: leftmost reduction is a normalizing strategy.

The Standardization Theorem was first proved by Curry and Feys [3], with subsequent proofs given by a number of authors. Formalized proofs have also been given; a recent one (using Agda) is presented in [2], with references to earlier work. The version of the theorem that we formalize here is a “strong” version, which asserts the existence of a standard reduction path congruent to a given elementary reduction path. At the core of the proof is a function that directly transforms a given reduction path into a standard one, using an algorithm roughly analogous to insertion sort. The Finite Development Theorem is used in the proof of termination. The proof of correctness is long, due to the number of cases that have to be considered, but the use of a proof assistant makes this manageable.

### 3.6.1 Standard Reduction Paths

#### ‘Standardly Sequential’ Reductions

We first need to define the notion of a “standard reduction”. In contrast to what is typically done by other authors, we define this notion by direct comparison of adjacent terms in an elementary reduction path, rather than by using devices such as a numbering of subterms from left to right.

The following function decides when two terms  $t$  and  $u$  are elementary reductions that are “standardly sequential”. This means that  $t$  and  $u$  are sequential, but in addition no marked redex in  $u$  is the residual of an (unmarked) redex “to the left of” any marked redex in  $t$ . Some care is required to make sure that the recursive definition captures what we intend. Most of the clauses are readily understandable. One clause that perhaps could use some explanation is the one for  $sseq ((\lambda[t] \bullet u) \circ v) w$ . Referring to the

previously proved fact *seq-cases*, which classifies the way in which two terms  $t$  and  $u$  can be sequential, we see that one case that must be covered is when  $t$  has the form  $\lambda[t] \bullet v) \circ w$  and the top-level constructor of  $u$  is *Beta*. In this case, it is the reduction of  $t$  that creates the top-level redex contracted in  $u$ , so it is impossible for  $u$  to be a residual of a redex that already exists in *Src t*.

```

context lambda-calculus
begin

fun sseq
where sseq - # = False
| sseq «-» «-» = False
| sseq  $\lambda[t]$   $\lambda[t']$  = sseq t t'
| sseq ( $t \circ u$ ) ( $t' \circ u'$ ) =
  ((sseq t t'  $\wedge$  Ide u  $\wedge$  u = u')  $\vee$ 
   (Ide t  $\wedge$  t = t'  $\wedge$  sseq u u')  $\vee$ 
   (elementary-reduction t  $\wedge$  Trg t = t'  $\wedge$ 
    (u = Src u'  $\wedge$  elementary-reduction u'))))
| sseq ( $\lambda[t] \circ u$ ) ( $\lambda[t'] \bullet u'$ ) = False
| sseq (( $\lambda[t] \bullet u$ )  $\circ v$ ) w =
  (Ide t  $\wedge$  Ide u  $\wedge$  Ide v  $\wedge$  elementary-reduction w  $\wedge$  seq (( $\lambda[t] \bullet u$ )  $\circ v$ ) w)
| sseq ( $\lambda[t] \bullet u$ ) v = (Ide t  $\wedge$  Ide u  $\wedge$  elementary-reduction v  $\wedge$  seq ( $\lambda[t] \bullet u$ ) v)
| sseq - - = False

lemma sseq-imp-seq:
shows sseq t u  $\implies$  seq t u
proof (induct t arbitrary: u)
  show  $\bigwedge u$ . sseq # u  $\implies$  seq # u
    using sseq.elims(1) by blast
  fix u
  show  $\bigwedge x$ . sseq «x» u  $\implies$  seq «x» u
    using sseq.elims(1) by blast
  show  $\bigwedge t$ . [ $\bigwedge u$ . sseq t u  $\implies$  seq t u; sseq  $\lambda[t]$  u]  $\implies$  seq  $\lambda[t]$  u
    using seq-char by (cases u) auto
  show  $\bigwedge t_1 t_2$ . [ $\bigwedge u$ . sseq t1 u  $\implies$  seq t1 u;  $\bigwedge u$ . sseq t2 u  $\implies$  seq t2 u;
    sseq ( $\lambda[t_1] \bullet t_2$ ) u]
     $\implies$  seq ( $\lambda[t_1] \bullet t_2$ ) u
    using seq-char Ide-implies-Arr
    by (cases u) auto
  fix t1 t2
  show [ $\bigwedge u$ . sseq t1 u  $\implies$  seq t1 u;  $\bigwedge u$ . sseq t2 u  $\implies$  seq t2 u; sseq ( $t_1 \circ t_2$ ) u]
     $\implies$  seq ( $t_1 \circ t_2$ ) u
proof -
  assume ind1:  $\bigwedge u$ . sseq t1 u  $\implies$  seq t1 u
  assume ind2:  $\bigwedge u$ . sseq t2 u  $\implies$  seq t2 u
  assume 1: sseq ( $t_1 \circ t_2$ ) u
  show ?thesis
    using 1 ind1 ind2 seq-char arr-char elementary-reduction-is-arr
    Ide-Src Ide-Trg Ide-implies-Arr Coinitial-iff-Con resid-Arr-self

```

```

apply (cases u, simp-all)
  apply (cases t1, simp-all)
  apply (cases t1, simp-all)
  apply (cases Ide t1; cases Ide t2)
    apply simp-all
    apply (metis Ide-iff-Src-self Ide-iff-Trg-self)
    apply (metis Ide-iff-Src-self Ide-iff-Trg-self)
    apply (metis Ide-iff-Trg-self Src-Trg)
    by (cases t1) auto
qed
qed

lemma sseq-imp-elementary-reduction1:
shows sseq t u ==> elementary-reduction t
proof (induct u arbitrary: t)
  show &t. sseq t # ==> elementary-reduction t
    by simp
  show &x t. sseq t «x» ==> elementary-reduction t
    using elementary-reduction.simps(2) sseq.elims(1) by blast
  show &u. [&t. sseq t u ==> elementary-reduction t; sseq t λ[u]] ==> elementary-reduction t for t
    using seq-cases sseq-imp-seq
    apply (cases t, simp-all)
    by force
  show &u1 u2. [&t. sseq t u1 ==> elementary-reduction t;
    &t. sseq t u2 ==> elementary-reduction t;
    sseq t (u1 ○ u2)] ==> elementary-reduction t for t
    using seq-cases sseq-imp-seq Ide-Src elementary-reduction-is-arr
    apply (cases t, simp-all)
    by blast
  show &u1 u2.
    [&t. sseq t u1 ==> elementary-reduction t; &t. sseq t u2 ==> elementary-reduction t;
    sseq t (λ[u1] • u2)] ==> elementary-reduction t for t
    using seq-cases sseq-imp-seq
    apply (cases t, simp-all)
    by fastforce
qed

lemma sseq-imp-elementary-reduction2:
shows sseq t u ==> elementary-reduction u
proof (induct u arbitrary: t)
  show &t. sseq t # ==> elementary-reduction #
    by simp
  show &x t. sseq t «x» ==> elementary-reduction «x»
    using elementary-reduction.simps(2) sseq.elims(1) by blast
  show &u. [&t. sseq t u ==> elementary-reduction u; sseq t λ[u]] ==> elementary-reduction λ[u] for t

```

```

using seq-cases sseq-imp-seq
apply (cases t, simp-all)
by force
show  $\bigwedge u_1 u_2. \llbracket \bigwedge t. \text{sseq } t u_1 \implies \text{elementary-reduction } u_1;$ 
       $\bigwedge t. \text{sseq } t u_2 \implies \text{elementary-reduction } u_2;$ 
       $\text{sseq } t (u_1 \circ u_2) \rrbracket$ 
       $\implies \text{elementary-reduction } (u_1 \circ u_2) \text{ for } t$ 
using seq-cases sseq-imp-seq Ide-Trg elementary-reduction-is-arr
by (cases t) auto
show  $\bigwedge u_1 u_2. \llbracket \bigwedge t. \text{sseq } t u_1 \implies \text{elementary-reduction } u_1;$ 
       $\bigwedge t. \text{sseq } t u_2 \implies \text{elementary-reduction } u_2;$ 
       $\text{sseq } t (\lambda[u_1] \bullet u_2) \rrbracket$ 
       $\implies \text{elementary-reduction } (\lambda[u_1] \bullet u_2) \text{ for } t$ 
using seq-cases sseq-imp-seq
apply (cases t, simp-all)
by fastforce
qed

lemma sseq-Beta:
shows sseq ( $\lambda[t] \bullet u$ ) v  $\longleftrightarrow$  Ide t  $\wedge$  Ide u  $\wedge$  elementary-reduction v  $\wedge$  seq ( $\lambda[t] \bullet u$ ) v
by (cases v) auto

```

```

lemma sseq-BetaI [intro]:
assumes Ide t and Ide u and elementary-reduction v and seq ( $\lambda[t] \bullet u$ ) v
shows sseq ( $\lambda[t] \bullet u$ ) v
using assms sseq-Beta by simp

```

A head reduction is standardly sequential with any elementary reduction that can be performed after it.

```

lemma sseq-head-reductionI:
shows  $\llbracket \text{is-head-reduction } t; \text{elementary-reduction } u; \text{seq } t u \rrbracket \implies \text{sseq } t u$ 
proof (induct t arbitrary: u)
show  $\bigwedge u. \llbracket \text{is-head-reduction } \#; \text{elementary-reduction } u; \text{seq } \# u \rrbracket \implies \text{sseq } \# u$ 
by simp
show  $\bigwedge x u. \llbracket \text{is-head-reduction } \langle\langle x\rangle\rangle; \text{elementary-reduction } u; \text{seq } \langle\langle x\rangle\rangle u \rrbracket \implies \text{sseq } \langle\langle x\rangle\rangle u$ 
by auto
show  $\bigwedge t. \llbracket \bigwedge u. \llbracket \text{is-head-reduction } t; \text{elementary-reduction } u; \text{seq } t u \rrbracket \implies \text{sseq } t u;$ 
       $\text{is-head-reduction } \lambda[t]; \text{elementary-reduction } u; \text{seq } \lambda[t] u \rrbracket$ 
       $\implies \text{sseq } \lambda[t] u \text{ for } u$ 
by (cases u) auto
show  $\bigwedge t2. \llbracket \bigwedge u. \llbracket \text{is-head-reduction } t1; \text{elementary-reduction } u; \text{seq } t1 u \rrbracket \implies \text{sseq } t1 u;$ 
       $\bigwedge u. \llbracket \text{is-head-reduction } t2; \text{elementary-reduction } u; \text{seq } t2 u \rrbracket \implies \text{sseq } t2 u;$ 
       $\text{is-head-reduction } (t1 \circ t2); \text{elementary-reduction } u; \text{seq } (t1 \circ t2) u \rrbracket$ 
       $\implies \text{sseq } (t1 \circ t2) u \text{ for } t1 u$ 
using seq-char
apply (cases u)
apply simp-all
apply (metis ArrE Ide-iff-Src-self Ide-iff-Trg-self App-Var-contains-no-head-reduction
      is-head-reduction-char is-head-reduction-imp-contains-head-reduction)

```

```

is-head-reduction.simps(3,6-7)
by (cases t1) auto
show  $\bigwedge t1 t2 u. [\bigwedge u. [is\text{-head}\text{-reduction } t1; elementary\text{-reduction } u; seq t1 u] \implies sseq t1 u;$ 
 $\bigwedge u. [is\text{-head}\text{-reduction } t2; elementary\text{-reduction } u; seq t2 u] \implies sseq t2 u;$ 
 $is\text{-head}\text{-reduction } (\lambda[t1] \bullet t2); elementary\text{-reduction } u; seq (\lambda[t1] \bullet t2) u]$ 
 $\implies sseq (\lambda[t1] \bullet t2) u$ 
by auto
qed

```

Once a head reduction is skipped in an application, then all terms that follow it in a standard reduction path are also applications that do not contain head reductions.

```

lemma sseq-preserves-App-and-no-head-reduction:
shows  $[sseq t u; is\text{-App } t \wedge \neg contains\text{-head}\text{-reduction } t]$ 
 $\implies is\text{-App } u \wedge \neg contains\text{-head}\text{-reduction } u$ 
apply (induct t arbitrary: u)
apply simp-all
proof -
fix t1 t2 u
assume ind1:  $\bigwedge u. [sseq t1 u; is\text{-App } t1 \wedge \neg contains\text{-head}\text{-reduction } t1]$ 
 $\implies is\text{-App } u \wedge \neg contains\text{-head}\text{-reduction } u$ 
assume ind2:  $\bigwedge u. [sseq t2 u; is\text{-App } t2 \wedge \neg contains\text{-head}\text{-reduction } t2]$ 
 $\implies is\text{-App } u \wedge \neg contains\text{-head}\text{-reduction } u$ 
assume sseq:  $sseq (t1 \circ t2) u$ 
assume t:  $\neg contains\text{-head}\text{-reduction } (t1 \circ t2)$ 
have u:  $\neg is\text{-Beta } u$ 
using sseq t sseq-imp-seq seq-cases
by (cases t1; cases u) auto
have 1:  $is\text{-App } u$ 
using u sseq sseq-imp-seq
apply (cases u)
apply simp-all
by fastforce+
moreover have  $\neg contains\text{-head}\text{-reduction } u$ 
proof (cases u)
show  $\bigwedge v. u = \lambda[v] \implies \neg contains\text{-head}\text{-reduction } u$ 
using 1 by auto
show  $\bigwedge v w. u = \lambda[v] \bullet w \implies \neg contains\text{-head}\text{-reduction } u$ 
using u by auto
fix u1 u2
assume u:  $u = u1 \circ u2$ 
have 1:  $(sseq t1 u1 \wedge Ide t2 \wedge t2 = u2) \vee (Ide t1 \wedge t1 = u1 \wedge sseq t2 u2) \vee$ 
 $(elementary\text{-reduction } t1 \wedge u1 = Trg t1 \wedge t2 = Src u2 \wedge elementary\text{-reduction } u2)$ 
using sseq u by force
moreover have  $Ide t1 \wedge t1 = u1 \wedge sseq t2 u2 \implies ?thesis$ 
using Ide-implies-Arr ide-char sseq-imp-seq t u by fastforce
moreover have  $elementary\text{-reduction } t1 \wedge u1 = Trg t1 \wedge t2 = Src u2 \wedge$ 
 $elementary\text{-reduction } u2$ 
 $\implies ?thesis$ 
proof -

```

```

assume 2: elementary-reduction t1 ∧ u1 = Trg t1 ∧ t2 = Src u2 ∧
          elementary-reduction u2
have contains-head-reduction u ==> contains-head-reduction u1
  using u
  apply simp
  using contains-head-reduction.elims(2) by fastforce
hence contains-head-reduction u ==> ¬ Ide u1
  using contains-head-reduction-iff
  by (metis Coinitial-iff-Con Ide-iff-Src-self Ide-implies-Arr ide-char resid-Arr-Src
       subs-head-redex subs-implies-prfx)
thus ?thesis
  using 2
  by (metis Arr.simps(4) Ide-Trg seq-char sseq sseq-imp-seq)
qed
moreover have sseq t1 u1 ∧ Ide t2 ∧ t2 = u2 ==> ?thesis
  using t u ind1 [of u1] Ide-implies-Arr sseq-imp-elementary-reduction1
  apply (cases t1, simp-all)
  using elementary-reduction.simps(1)
    apply blast
  using elementary-reduction.simps(2)
    apply blast
  using contains-head-reduction.elims(2)
    apply fastforce
    apply (metis contains-head-reduction.simps(6) is-App-def)
    using sseq-Beta by blast
  ultimately show ?thesis by blast
qed auto
ultimately show is-App u ∧ ¬ contains-head-reduction u
  by blast
qed

end

```

## Standard Reduction Paths

```

context reduction-paths
begin

```

A *standard reduction path* is an elementary reduction path in which successive reductions are standardly sequential.

```

fun Std
where Std [] = True
  | Std [t] = Λ.elementary-reduction t
  | Std (t # U) = (Λ.sseq t (hd U) ∧ Std U)

lemma Std-consE [elim]:
assumes Std (t # U)
and [ΛArr t; U ≠ [] ==> Λ.sseq t (hd U); Std U] ==> thesis
shows thesis

```

```

using assms
by (metis Λ.arr-char Λ.elementary-reduction-is-arr Λ.seq-char Λ.sseq-imp-seq
list.exhaustsel list.sel(1) Std.simps(1–3))

lemma Std-imp-Arr [simp]:
shows [Std T; T ≠ []] ⇒ Arr T
proof (induct T)
  show [] ≠ [] ⇒ Arr []
    by simp
  fix t U
  assume ind: [Std U; U ≠ []] ⇒ Arr U
  assume tU: Std (t # U)
  show Arr (t # U)
  proof (cases U = [])
    show U = [] ⇒ Arr (t # U)
      using Λ.elementary-reduction-is-arr tU Λ.Ide-implies-Arr Std.simps(2) Arr.simps(2)
      by blast
    assume U: U ≠ []
    show Arr (t # U)
    proof –
      have Λ.sseq t (hd U)
      using tU U
      by (metis list.exhaustsel reduction-paths.Std.simps(3))
      thus ?thesis
        using U ind Λ.sseq-imp-seq
        apply auto
        using reduction-paths.Std.elims(3) tU
        by fastforce
      qed
    qed
  qed

lemma Std-imp-sseq-last-hd:
shows [Std (T @ U); T ≠ []; U ≠ []] ⇒ Λ.sseq (last T) (hd U)
apply (induct T arbitrary: U)
apply simp-all
by (metis Std.elims(3) Std.simps(3) append-self-conv2 neq-Nil-conv)

lemma Std-implies-set-subset-elementary-reduction:
shows Std U ⇒ set U ⊆ Collect Λ.elementary-reduction
apply (induct U)
apply auto
by (metis Std.simps(2) Std.simps(3) neq-Nil-conv Λ.sseq-imp-elementary-reduction1)

lemma Std-map-Lam:
shows Std T ⇒ Std (map Λ.Lam T)
proof (induct T)
  show Std [] ⇒ Std (map Λ.Lam [])
    by simp

```

```

fix t U
assume ind: Std U ==> Std (map Λ.Lam U)
assume tU: Std (t # U)
have Std (map Λ.Lam (t # U)) <=> Std (λ[t] # map Λ.Lam U)
  by auto
also have ... = True
  apply (cases U = [])
  apply simp-all
  using Arr.simps(3) Std.simps(2) arr-char tU
  apply presburger
proof -
  assume U: U ≠ []
  have Std (λ[t] # map Λ.Lam U) <=> Λ.sseq λ[t] λ[hd U] ∧ Std (map Λ.Lam U)
    using U
    by (metis Nil-is-map-conv Std.simps(3) hd-map list.exhaustsel)
  also have ... <=> Λ.sseq t (hd U) ∧ Std (map Λ.Lam U)
    by auto
  also have ... = True
    using ind tU U
    by (metis Std.simps(3) list.exhaustsel)
  finally show Std (λ[t] # map Λ.Lam U) by blast
qed
finally show Std (map Λ.Lam (t # U)) by blast
qed

lemma Std-map-App1:
shows [Λ.Ide b; Std T] ==> Std (map (λX. X o b) T)
proof (induct T)
  show [Λ.Ide b; Std []] ==> Std (map (λX. X o b) [])
    by simp
  fix t U
  assume ind: [Λ.Ide b; Std U] ==> Std (map (λX. X o b) U)
  assume b: Λ.Ide b
  assume tU: Std (t # U)
  show Std (map (λv. v o b) (t # U))
  proof (cases U = [])
    show U = [] ==> ?thesis
      using Ide-implies-Arr b Λ.arr-char tU by force
    assume U: U ≠ []
    have Std (map (λv. v o b) (t # U)) = Std ((t o b) # map (λX. X o b) U)
      by simp
    also have ... = (Λ.sseq (t o b) (hd U o b) ∧ Std (map (λX. X o b) U))
      using U reduction-paths.Std.simps(3) hd-map
      by (metis Nil-is-map-conv neq-Nil-conv)
    also have ... = True
      using b tU U ind
      by (metis Std.simps(3) list.exhaustsel Λ.sseq.simps(4))
    finally show Std (map (λv. v o b) (t # U)) by blast
  qed

```

qed

```
lemma Std-map-App2:
shows  $\llbracket \Lambda.\text{Ide } a; \text{Std } T \rrbracket \implies \text{Std}(\text{map } (\lambda u. a \circ u) T)$ 
proof (induct T)
  show  $\llbracket \Lambda.\text{Ide } a; \text{Std } [] \rrbracket \implies \text{Std}(\text{map } (\lambda u. a \circ u) [])$ 
    by simp
  fix t U
  assume ind:  $\llbracket \Lambda.\text{Ide } a; \text{Std } U \rrbracket \implies \text{Std}(\text{map } (\lambda u. a \circ u) U)$ 
  assume a:  $\Lambda.\text{Ide } a$ 
  assume tU:  $\text{Std}(t \# U)$ 
  show  $\text{Std}(\text{map } (\lambda u. a \circ u) (t \# U))$ 
  proof (cases U = [])
    show  $U = [] \implies ?\text{thesis}$ 
      using a tU by force
    assume U:  $U \neq []$ 
    have  $\text{Std}(\text{map } (\lambda u. a \circ u) (t \# U)) = \text{Std}((a \circ t) \# \text{map } (\lambda u. a \circ u) U)$ 
      by simp
    also have ... =  $(\Lambda.\text{sseq } (a \circ t) (a \circ \text{hd } U) \wedge \text{Std}(\text{map } (\lambda u. a \circ u) U))$ 
      using U
      by (metis Nil-is-map-conv Std.simps(3) hd-map list.exhaustsel)
    also have ... = True
      using a tU U ind
      by (metis Std.simps(3) list.exhaustsel Lambda.sseq.simps(4))
    finally show  $\text{Std}(\text{map } (\lambda u. a \circ u) (t \# U))$  by blast
  qed
qed
```

```
lemma Std-map-un-Lam:
shows  $\llbracket \text{Std } T; \text{set } T \subseteq \text{Collect } \Lambda.\text{is-Lam} \rrbracket \implies \text{Std}(\text{map } \Lambda.\text{un-Lam } T)$ 
proof (induct T)
  show  $\llbracket \text{Std } []; \text{set } [] \subseteq \text{Collect } \Lambda.\text{is-Lam} \rrbracket \implies \text{Std}(\text{map } \Lambda.\text{un-Lam } [])$ 
    by simp
  fix t T
  assume ind:  $\llbracket \text{Std } T; \text{set } T \subseteq \text{Collect } \Lambda.\text{is-Lam} \rrbracket \implies \text{Std}(\text{map } \Lambda.\text{un-Lam } T)$ 
  assume tT:  $\text{Std}(t \# T)$ 
  assume 1:  $\text{set}(t \# T) \subseteq \text{Collect } \Lambda.\text{is-Lam}$ 
  show  $\text{Std}(\text{map } \Lambda.\text{un-Lam } (t \# T))$ 
  proof (cases T = [])
    show  $T = [] \implies \text{Std}(\text{map } \Lambda.\text{un-Lam } (t \# T))$ 
      by (metis 1 Std.simps(2) Lambda.elementaryreduction.simps(3) Lambda.lambda.collapse(2)
          list.setintros(1) list.simps(8) list.simps(9) memCollectEq subsetCode(1) tT)
    assume T:  $T \neq []$ 
    show  $\text{Std}(\text{map } \Lambda.\text{un-Lam } (t \# T))$ 
      using T tT 1 ind Std.simps(3) [of  $\Lambda.\text{un-Lam } t \Lambda.\text{un-Lam } (\text{hd } T) \text{ map } \Lambda.\text{un-Lam } (\text{tl } T)$ ]
      by (metis Lambda.lambda.collapse(2) Lambda.sseq.simps(3) list.exhaustsel list.sel(1)
          list.setintros(1) mapEqConsConv memCollectEq reductionPaths.Std.simps(3)
          setsubsetCons subsetCode(1))
  qed
```

qed

```

lemma Std-append-single:
shows [[Std T; T ≠ []; Λ.sseq (last T) u] ⇒ Std (T @ [u])
proof (induct T)
  show [[Std []; [] ≠ []; Λ.sseq (last []) u] ⇒ Std ([] @ [u])
    by blast
  fix t T
  assume ind: [[Std T; T ≠ []; Λ.sseq (last T) u] ⇒ Std (T @ [u])
  assume tT: Std (t # T)
  assume sseq: Λ.sseq (last (t # T)) u
  have Std (t # (T @ [u]))
    using Λ.sseq-imp-elementary-reduction2 sseq ind tT
    apply (cases T = [])
    apply simp
    by (metis append-Cons last-ConsR list.sel(1) neq-Nil-conv reduction-paths.Std.simps(3))
  thus Std ((t # T) @ [u]) by simp
qed

```

```

lemma Std-append:
shows [[Std T; Std U; T = [] ∨ U = [] ∨ Λ.sseq (last T) (hd U)] ⇒ Std (T @ U)
proof (induct U arbitrary: T)
  show ∀T. [[Std T; Std []; T = [] ∨ [] = [] ∨ Λ.sseq (last T) (hd [])] ⇒ Std (T @ [])]
    by simp
  fix u T U
  assume ind: ∀T. [[Std T; Std U; T = [] ∨ U = [] ∨ Λ.sseq (last T) (hd U)] ⇒ Std (T @ U)]
    ⇒ Std (T @ U)
  assume T: Std T
  assume uU: Std (u # U)
  have U: Std U
    using uU Std.elims(3) by fastforce
  assume seq: T = [] ∨ u # U = [] ∨ Λ.sseq (last T) (hd (u # U))
  show Std (T @ (u # U))
    by (metis Std-append-single T U append.assoc append.left-neutral append-Cons
      ind last-snoc list.distinct(1) list.exhaust-sel list.sel(1) Std.simps(3) seq uU)
qed

```

## Projections of Standard ‘App Paths’

Given a standard reduction path, all of whose transitions have App as their top-level constructor, we can apply *un-App1* or *un-App2* to each transition to project the path onto paths formed from the “rator” and the “rand” of each application. These projected paths are not standard, since the projection operation will introduce identities, in general. However, in this section we show that if we remove the identities, then in fact we do obtain standard reduction paths.

```

abbreviation notIde
where notIde ≡ λu. ¬ Λ.Ide u

```

```

lemma filter-notIde-Ide:
shows Ide U ==> filter notIde U = []
  by (induct U) auto

lemma cong-filter-notIde:
shows [[Arr U; ¬ Ide U]] ==> filter notIde U *~* U
proof (induct U)
  show [[Arr []; ¬ Ide []]] ==> filter notIde [] *~* []
    by simp
  fix u U
  assume ind: [[Arr U; ¬ Ide U]] ==> filter notIde U *~* U
  assume Arr: Arr (u # U)
  assume 1: ¬ Ide (u # U)
  show filter notIde (u # U) *~* (u # U)
  proof (cases Λ.Ide u)
    assume u: Λ.Ide u
    have U: Arr U ∧ ¬ Ide U
      using Arr u 1 Ide.elims(3) by fastforce
    have filter notIde (u # U) = filter notIde U
      using u by simp
    also have ... *~* U
      using U ind by blast
    also have U *~* [u] @ U
      using u
      by (metis (full-types) Arr Arr-has-Src Cons-eq-append-conv Ide.elims(3) Ide.simps(2)
          Srcs.simps(1) U arrIP arr-append-imp-seq cong-append-ideI(3) ide-char
          Λ.ide-char not-Cons-self2)
    also have [u] @ U = u # U
      by simp
    finally show ?thesis by blast
  next
  assume u: ¬ Λ.Ide u
  show ?thesis
  proof (cases Ide U)
    assume U: Ide U
    have filter notIde (u # U) = [u]
      using u U filter-notIde-Ide by simp
    moreover have [u] *~* [u] @ U
      using u U cong-append-ideI(4) [of [u] U]
      by (metis Arr Con-Arr-self Cons-eq-appendI Resid-Ide(1) arr-append-imp-seq
          arr-char ide-char ide-implies-arr neq-Nil-conv self-append-conv2)
    moreover have [u] @ U = u # U
      by simp
    ultimately show ?thesis by auto
  next
  assume U: ¬ Ide U
  have filter notIde (u # U) = [u] @ filter notIde U
    using u U Arr by simp
  also have ... *~* [u] @ U

```

```

proof (cases  $U = []$ )
  show  $U = [] \implies ?thesis$ 
    by (metis Arr arr-char cong-reflexive append-Nil2 filter.simps(1))
  assume 1:  $U \neq []$ 
  have seq [u] (filter notIde U)
    by (metis (full-types) 1 Arr Arr.simps(2–3) Con-imp-eq-Srcs Con-implies-Arr(1)
      Ide.elims(3) Ide.simps(1) Trgs.simps(2) U ide-char ind seq-char
      seq-implies-Trgs-eq-Srcs)
  thus ?thesis
    using u U Arr ind cong-append [of [u] filter notIde U [u] U]
    by (meson 1 Arr-consE cong-reflexive seqE)
  qed
  also have [u] @  $U = u \# U$ 
    by simp
  finally show ?thesis by argo
  qed
  qed
  qed

lemma Std-filter-map-un-App1:
shows  $\llbracket \text{Std } U; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App} \rrbracket \implies \text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U))$ 
proof (induct U)
  show  $\llbracket \text{Std } []; \text{set } [] \subseteq \text{Collect } \Lambda.\text{is-App} \rrbracket \implies \text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } []))$ 
    by simp
  fix u U
  assume ind:  $\llbracket \text{Std } U; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App} \rrbracket \implies \text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U))$ 
  assume 1:  $\text{Std} (u \# U)$ 
  assume 2:  $\text{set} (u \# U) \subseteq \text{Collect } \Lambda.\text{is-App}$ 
  show  $\text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } (u \# U)))$ 
    using 1 2 ind
    apply (cases u)
      apply simp-all
  proof –
    fix u1 u2
    assume uu:  $\text{Std} ((u1 \circ u2) \# U)$ 
    assume set:  $\text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
    assume ind:  $\text{Std } U \implies \text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U))$ 
    assume u:  $u = u1 \circ u2$ 
    show  $(\neg \Lambda.\text{Ide } u1 \longrightarrow \text{Std} (u1 \# \text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U))) \wedge$ 
       $(\Lambda.\text{Ide } u1 \longrightarrow \text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U)))$ 
  proof (intro conjI impI)
    assume u1:  $\Lambda.\text{Ide } u1$ 
    show  $\text{Std} (\text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U))$ 
      by (metis 1 Std.simps(1) Std.simps(3) ind neq-Nil-conv)
    next
    assume u1:  $\neg \Lambda.\text{Ide } u1$ 
    show  $\text{Std} (u1 \# \text{filter notIde} (\text{map } \Lambda.\text{un-App1 } U))$ 
  proof (cases Ide (map  $\Lambda.\text{un-App1 } U$ ))
    show Ide (map  $\Lambda.\text{un-App1 } U) \implies ?thesis$ 

```

```

proof -
assume  $U: \text{Ide}(\text{map } \Lambda.\text{un-App1 } U)$ 
have  $\text{filter notIde}(\text{map } \Lambda.\text{un-App1 } U) = []$ 
by (metis  $U \text{ Ide-char filter-False } \Lambda.\text{ide-char}$ 
       $\text{mem-Collect-eq subsetD}$ )
thus ?thesis
by (metis Std.elims(1) Std.simps(2)  $\Lambda.\text{elementary-reduction.simps}(4)$  list.discI
      list.sel(1)  $\Lambda.\text{sseq-imp-elementary-reduction1 } u1 uU$ )
qed
assume  $U: \neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U)$ 
show ?thesis
proof (cases  $U = []$ )
show  $U = [] \implies \text{?thesis}$ 
using 1  $u u1$  by fastforce
assume  $U \neq []$ 
hence  $U: U \neq [] \wedge \neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U)$ 
using  $U$  by simp
have  $\Lambda.\text{sseq } u1 (\text{hd } (\text{filter notIde}(\text{map } \Lambda.\text{un-App1 } U)))$ 
proof -
have  $\bigwedge u1 u2. [\text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}; \neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U); U \neq [];$ 
Std  $((u1 \circ u2) \# U); \neg \Lambda.\text{Ide } u1]$ 
 $\implies \Lambda.\text{sseq } u1 (\text{hd } (\text{filter notIde}(\text{map } \Lambda.\text{un-App1 } U)))$ 
for  $U$ 
apply (induct  $U$ )
apply simp-all
apply (intro conjI impI)
proof -
fix  $u U u1 u2$ 
assume  $\text{ind}: \bigwedge u1 u2. [\neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U); U \neq [];$ 
Std  $((u1 \circ u2) \# U); \neg \Lambda.\text{Ide } u1]$ 
 $\implies \Lambda.\text{sseq } u1 (\text{hd } (\text{filter notIde}(\text{map } \Lambda.\text{un-App1 } U)))$ 
assume 1:  $\Lambda.\text{is-App } u \wedge \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
assume 2:  $\neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } u \# \text{map } \Lambda.\text{un-App1 } U)$ 
assume 3:  $\Lambda.\text{sseq } (u1 \circ u2) u \wedge \text{Std } (u \# U)$ 
show  $\neg \Lambda.\text{Ide } (\Lambda.\text{un-App1 } u) \implies \Lambda.\text{sseq } u1 (\Lambda.\text{un-App1 } u)$ 
by (metis 1 3  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Ide-Trg } \Lambda.\text{lambda.collapse}(3)$   $\Lambda.\text{seq-char}$ 
       $\Lambda.\text{sseq.simps}(4)$   $\Lambda.\text{sseq-imp-seq}$ )
assume 4:  $\neg \Lambda.\text{Ide } u1$ 
assume 5:  $\Lambda.\text{Ide } (\Lambda.\text{un-App1 } u)$ 
have  $u1: \Lambda.\text{elementary-reduction } u1$ 
using 3 4  $\Lambda.\text{elementary-reduction.simps}(4)$   $\Lambda.\text{sseq-imp-elementary-reduction1}$ 
by blast
have 6:  $\text{Arr } (\Lambda.\text{un-App1 } u \# \text{map } \Lambda.\text{un-App1 } U)$ 
using 1 3 Std-imp-Arr Arr-map-un-App1 [of  $u \# U$ ] by auto
have 7:  $\text{Arr } (\text{map } \Lambda.\text{un-App1 } U)$ 
using 1 2 3 5 6 Arr-map-un-App1 Std-imp-Arr  $\Lambda.\text{ide-char}$  by fastforce
have 8:  $\neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } U)$ 
using 2 5 6 set-Ide-subset-ide by fastforce
have 9:  $\Lambda.\text{seq } u (\text{hd } U)$ 

```

```

by (metis 3 7 Std.simps(3) Arr.simps(1) list.collapse list.simps(8)
    Λ.sseq-imp-seq)
show Λ.sseq u1 (hd (filter notIde (map Λ.un-App1 U)))
proof -
  have Λ.sseq (u1 o Λ.Trg (Λ.un-App2 u)) (hd U)
  proof (cases Λ.Ide (Λ.un-App1 (hd U)))
    assume 10: Λ.Ide (Λ.un-App1 (hd U))
    hence Λ.elementary-reduction (Λ.un-App2 (hd U))
      by (metis (full-types) 1 3 7 Std.elims(2) Arr.simps(1)
          Λ.elementary-reduction-App-iff Λ.elementary-reduction-not-ide
          Λ.ide-char list.sel(2) list.sel(3) list.set sel(1) list.simps(8)
          mem-Collect-eq Λ.sseq-imp-elementary-reduction2 subsetD)
    moreover have Λ.Trg u1 = Λ.un-App1 (hd U)
    proof -
      have Λ.Trg u1 = Λ.Src (Λ.un-App1 u)
      by (metis 1 3 5 Λ.Ide-iff-Src-self Λ.Ide-implies-Arr Λ.Trg-Src
          Λ.elementary-reduction-not-ide Λ.ide-char Λ.lambda.collapse(3)
          Λ.sseq.simps(4) Λ.sseq-imp-elementary-reduction2)
      also have ... = Λ.Trg (Λ.un-App1 u)
      by (metis 5 Λ.Ide-iff-Src-self Λ.Ide-iff-Trg-self
          Λ.Ide-implies-Arr)
      also have ... = Λ.un-App1 (hd U)
      using 1 3 5 7 Λ.Ide-iff-Trg-self
      by (metis 9 10 Arr.simps(1) lambda-calculus.Ide-iff-Src-self
          Λ.Ide-implies-Arr Λ.Src-Src Λ.Src-eq-iff(2) Λ.Trg.simps(3)
          Λ.lambda.collapse(3) Λ.seqEΛ list.set sel(1) list.simps(8)
          mem-Collect-eq subsetD)
      finally show ?thesis by argo
    qed
    moreover have Λ.Trg (Λ.un-App2 u) = Λ.Src (Λ.un-App2 (hd U))
    by (metis 1 7 9 Arr.simps(1) hd-in-set Λ.Src.simps(4) Λ.Src-Src
        Λ.Trg.simps(3) Λ.lambda.collapse(3) Λ.lambda.sel(4)
        Λ.seq-char list.simps(8) mem-Collect-eq subset-code(1))
    ultimately show ?thesis
    using Λ.sseq.simps(4)
    by (metis 1 7 u1 Arr.simps(1) hd-in-set Λ.lambda.collapse(3)
        list.simps(8) mem-Collect-eq subsetD)
  next
  assume 10: ¬ Λ.Ide (Λ.un-App1 (hd U))
  have False
  proof -
    have Λ.elementary-reduction (Λ.un-App2 u)
    using 1 3 5 Λ.elementary-reduction-App-iff
    Λ.elementary-reduction-not-ide Λ.sseq-imp-elementary-reduction2
    by blast
    moreover have Λ.sseq u (hd U)
    by (metis 3 7 Std.simps(3) Arr.simps(1)
        hd-Cons-tl list.simps(8))
    moreover have Λ.elementary-reduction (Λ.un-App1 (hd U))

```

```

by (metis 1 7 10 Nil-is-map-conv Arr.simps(1)
    calculation(2) Λ.elementary-reduction-App-iff hd-in-set Λ.ide-char
    mem-Collect-eq Λ.sseq-imp-elementary-reduction2 subset-iff)
ultimately show ?thesis
  using Λ.sseq.simps(4)
  by (metis 1 5 7 Arr.simps(1) Λ.elementary-reduction-not-ide
      hd-in-set Λ.ide-char Λ.lambda.collapse(3) list.simps(8)
      mem-Collect-eq subset-iff)
qed
thus ?thesis by argo
qed
hence Std ((u1 o Λ.Trg (Λ.un-App2 u)) # U)
  by (metis 3 7 Std.simps(3) Arr.simps(1) list.exhaust-sel list.simps(8))
thus ?thesis
  using ind
  by (metis 7 8 u1 Arr.simps(1) Λ.elementary-reduction-not-ide Λ.ide-char
      list.simps(8))
qed
qed
thus ?thesis
  using U set u1 uU by blast
qed
thus ?thesis
  by (metis 1 Std.simps(2-3) ‹U ≠ []› ind list.exhaust-sel list.sel(1)
      Λ.sseq-imp-elementary-reduction1)
qed
qed
qed
qed
qed
qed

lemma Std-filter-map-un-App2:
shows [|Std U; set U ⊆ Collect Λ.is-App|] ==> Std (filter notIde (map Λ.un-App2 U))
proof (induct U)
  show [|Std []; set [] ⊆ Collect Λ.is-App|] ==> Std (filter notIde (map Λ.un-App2 []))
    by simp
  fix u U
  assume ind: [|Std U; set U ⊆ Collect Λ.is-App|] ==> Std (filter notIde (map Λ.un-App2 U))
  assume 1: Std (u # U)
  assume 2: set (u # U) ⊆ Collect Λ.is-App
  show Std (filter notIde (map Λ.un-App2 (u # U)))
    using 1 2 ind
    apply (cases u)
      apply simp-all
  proof -
    fix u1 u2
    assume uU: Std ((u1 o u2) # U)
    assume set: set U ⊆ Collect Λ.is-App
    assume ind: Std U ==> Std (filter notIde (map Λ.un-App2 U))

```

```

assume u:  $u = u_1 \circ u_2$ 
show ( $\neg \Lambda.\text{Ide } u_2 \rightarrow \text{Std}(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U))$ )  $\wedge$ 
    ( $\Lambda.\text{Ide } u_2 \rightarrow \text{Std}(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U))$ )
proof (intro conjI impI)
  assume u2:  $\Lambda.\text{Ide } u_2$ 
  show  $\text{Std}(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U))$ 
    by (metis 1 Std.simps(1) Std.simps(3) ind neq-Nil-conv)
  next
  assume u2:  $\neg \Lambda.\text{Ide } u_2$ 
  show  $\text{Std}(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U))$ 
  proof (cases Ide (map  $\Lambda.\text{un-App2 } U)$ )
    show  $\text{Ide } (\text{map } \Lambda.\text{un-App2 } U) \implies ?\text{thesis}$ 
  proof -
    assume U:  $\text{Ide } (\text{map } \Lambda.\text{un-App2 } U)$ 
    have  $\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U) = []$ 
      by (metis U Ide-char filter-False  $\Lambda.\text{ide-char mem-Collect-eq subsetD}$ )
    thus  $??\text{thesis}$ 
      by (metis Std.elims(1) Std.simps(2)  $\Lambda.\text{elementary-reduction.simps(4) list.discI}$ 
        list.sel(1)  $\Lambda.\text{sseq-imp-elementary-reduction1 } u_2 uU$ )
  qed
  assume U:  $\neg \text{Ide } (\text{map } \Lambda.\text{un-App2 } U)$ 
  show  $??\text{thesis}$ 
  proof (cases U = [])
    show  $U = [] \implies ??\text{thesis}$ 
      using 1 u u2 by fastforce
    assume U  $\neq []$ 
    hence U:  $U \neq [] \wedge \neg \text{Ide } (\text{map } \Lambda.\text{un-App2 } U)$ 
      using U by simp
    have  $\Lambda.\text{sseq } u_2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$ 
    proof -
      have  $\bigwedge u_1 u_2. [\text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}; \neg \text{Ide } (\text{map } \Lambda.\text{un-App2 } U); U \neq [];$ 
         $\text{Std}((u_1 \circ u_2) \# U); \neg \Lambda.\text{Ide } u_2]$ 
         $\implies \Lambda.\text{sseq } u_2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$ 
      for U
      apply (induct U)
      apply simp-all
      apply (intro conjI impI)
    proof -
      fix u U u1 u2
      assume ind:  $\bigwedge u_1 u_2. [\neg \text{Ide } (\text{map } \Lambda.\text{un-App2 } U); U \neq [];$ 
         $\text{Std}((u_1 \circ u_2) \# U); \neg \Lambda.\text{Ide } u_2]$ 
         $\implies \Lambda.\text{sseq } u_2 (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } U)))$ 
      assume 1:  $\Lambda.\text{is-App } u \wedge \text{set } U \subseteq \text{Collect } \Lambda.\text{is-App}$ 
      assume 2:  $\neg \text{Ide } (\Lambda.\text{un-App2 } u \# \text{map } \Lambda.\text{un-App2 } U)$ 
      assume 3:  $\Lambda.\text{sseq } (u_1 \circ u_2) u \wedge \text{Std}(u \# U)$ 
      assume 4:  $\neg \Lambda.\text{Ide } u_2$ 
      show  $\neg \Lambda.\text{Ide } (\Lambda.\text{un-App2 } u) \implies \Lambda.\text{sseq } u_2 (\Lambda.\text{un-App2 } u)$ 
        by (metis 1 3 4  $\Lambda.\text{elementary-reduction.simps(4)}$ 
          Lambda.elementary-reduction-not-ide  $\Lambda.\text{ide-char }$ Lambda.lambda.collapse(3))

```

```

 $\Lambda.sseq.simps(4) \Lambda.sseq-imp-elementary-reduction1)$ 
assume 5:  $\Lambda.Ide(\Lambda.un-App2 u)$ 
have False
  by (metis 1 3 4 5  $\Lambda.elementary-reduction-not-ide \Lambda.ide-char$ 
     $\Lambda.lambda.collapse(3) \Lambda.sseq.simps(4) \Lambda.sseq-imp-elementary-reduction2$ )
  thus  $\Lambda.sseq u2 (hd(filter notIde (map \Lambda.un-App2 U)))$  by argo
qed
thus ?thesis
  using U set u2 uU by blast
qed
thus ?thesis
  by (metis 1 Std.simps(2) Std.simps(3)  $\langle U \neq [] \rangle$  ind list.exhaust-sel list.sel(1)
     $\Lambda.sseq-imp-elementary-reduction1$ )
qed
qed
qed
qed
qed
qed

```

If the first step in a standard reduction path contracts a redex that is not at the head position, then all subsequent terms have *App* as their top-level operator.

```

lemma seq-App-Std-implies:
shows  $\llbracket Std(t \# U); \Lambda.is-App t \wedge \neg \Lambda.contains-head-reduction t \rrbracket$ 
   $\implies set U \subseteq Collect \Lambda.is-App$ 
proof (induct U arbitrary: t)
  show  $\bigwedge t. \llbracket Std[t]; \Lambda.is-App t \wedge \neg \Lambda.contains-head-reduction t \rrbracket$ 
     $\implies set [] \subseteq Collect \Lambda.is-App$ 
    by simp
  fix t u U
  assume ind:  $\bigwedge t. \llbracket Std(t \# U); \Lambda.is-App t \wedge \neg \Lambda.contains-head-reduction t \rrbracket$ 
     $\implies set U \subseteq Collect \Lambda.is-App$ 
  assume Std:  $Std(t \# u \# U)$ 
  assume t:  $\Lambda.is-App t \wedge \neg \Lambda.contains-head-reduction t$ 
  have U:  $set(u \# U) \subseteq Collect \Lambda.elementary-reduction$ 
    using Std Std-implies-set-subset-elementary-reduction by fastforce
  have u:  $\Lambda.elementary-reduction u$ 
    using U by simp
  have set U  $\subseteq Collect \Lambda.elementary-reduction$ 
    using U by simp
  show  $set(u \# U) \subseteq Collect \Lambda.is-App$ 
proof (cases U = [])
  show U = []  $\implies$  ?thesis
  by (metis Std empty-set empty-subsetI insert-subset
     $\Lambda.sseq-preserves-App-and-no-head-reduction list.sel(1) list.simps(15)$ 
    mem-Collect-eq reduction-paths.Std.simps(3) t)
  assume U:  $U \neq []$ 
  have  $\Lambda.sseq t u$ 
    using Std by auto
  hence  $\Lambda.is-App u \wedge \neg \Lambda.Ide u \wedge \neg \Lambda.contains-head-reduction u$ 

```

```

using t u U Λ.sseq-preserves-App-and-no-head-reduction [of t u]
  Λ.elementary-reduction-not-ide
by blast
thus ?thesis
  using Std.ind [of u] ⟨set U ⊆ Collect Λ.elementary-reduction⟩ by simp
qed
qed

```

### 3.6.2 Standard Developments

The following function takes a term  $t$  (representing a parallel reduction) and produces a standard reduction path that is a complete development of  $t$  and is thus congruent to  $[t]$ . The proof of termination makes use of the Finite Development Theorem.

```

function (sequential) standard-development
where standard-development # = []
| standard-development «-» = []
| standard-development  $\lambda[t] = \text{map } \Lambda.\text{Lam} (\text{standard-development } t)$ 
| standard-development  $(t \circ u) =$ 
  (if  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$  then
    map  $(\lambda v. v \circ \Lambda.\text{Src } u)$  (standard-development  $t$ ) @
    map  $(\lambda v. \Lambda.\text{Trg } t \circ v)$  (standard-development  $u$ )
  else [])
| standard-development  $(\lambda[t] \bullet u) =$ 
  (if  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$  then
     $(\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u) \# \text{standard-development } (\Lambda.\text{subst } u t)$ 
  else [])
by pat-completeness auto

abbreviation (in lambda-calculus) stddev-term-rel
where stddev-term-rel ≡ mlex-prod hgt subterm-rel

lemma (in lambda-calculus) subst-lt-Beta:
assumes Arr t and Arr u
shows (subst u t,  $\lambda[t] \bullet u) \in \text{stddev-term-rel}$ 
proof -
have  $(\lambda[t] \bullet u) \setminus (\lambda[\text{Src } t] \bullet \text{Src } u) = \text{subst } u t$ 
using assms
by (metis Arr-not-Nil Ide-Src Ide-iff-Src-self Ide-implies-Arr resid.simps(4)
      resid-Arr-Ide)
moreover have elementary-reduction  $(\lambda[\text{Src } t] \bullet \text{Src } u)$ 
  by (simp add: assms Ide-Src)
moreover have  $\lambda[\text{Src } t] \bullet \text{Src } u \sqsubseteq \lambda[t] \bullet u$ 
  by (metis assms Arr.simps(5) head-redex.simps(9) subs-head-redex)
ultimately show ?thesis
  using assms elementary-reduction-decreases-hgt [of  $\lambda[\text{Src } t] \bullet \text{Src } u \lambda[t] \bullet u$ ]
  by (metis mlex-less)
qed

termination standard-development

```

```

proof (relation  $\Lambda$ .stddev-term-rel)
show wf  $\Lambda$ .stddev-term-rel
  using  $\Lambda$ .wf-subterm-rel wf-mlex by blast
show  $\bigwedge t. (t, \lambda[t]) \in \Lambda$ .stddev-term-rel
  by (simp add:  $\Lambda$ .subterm-lemmas(1) mlex-prod-def)
show  $\bigwedge t u. (t, t \circ u) \in \Lambda$ .stddev-term-rel
  using  $\Lambda$ .subterm-lemmas(3)
  by (metis antisym-conv1  $\Lambda$ .hgt.simps(4) le-add1 mem-Collect-eq mlex-iff old.prod.case)
show  $\bigwedge t u. (u, t \circ u) \in \Lambda$ .stddev-term-rel
  using  $\Lambda$ .subterm-lemmas(3) by (simp add: mlex-leg)
show  $\bigwedge t u. \Lambda$ .Arr  $t \wedge \Lambda$ .Arr  $u \implies (\Lambda$ .subst  $u$   $t, \lambda[t] \bullet u) \in \Lambda$ .stddev-term-rel
  using  $\Lambda$ .subst-lt-Beta by simp
qed

lemma Ide-iff-standard-development-empty:
shows  $\Lambda$ .Arr  $t \implies \Lambda$ .Ide  $t \longleftrightarrow$  standard-development  $t = []$ 
  by (induct  $t$ ) auto

lemma set-standard-development:
shows  $\Lambda$ .Arr  $t \longrightarrow$  set (standard-development  $t) \subseteq \text{Collect } \Lambda$ .elementary-reduction
  apply (rule standard-development.induct)
  using  $\Lambda$ .Ide-Src  $\Lambda$ .Ide-Trg  $\Lambda$ .Arr-Subst by auto

lemma cong-standard-development:
shows  $\Lambda$ .Arr  $t \wedge \neg \Lambda$ .Ide  $t \longrightarrow$  standard-development  $t * \sim^* [t]$ 
proof (rule standard-development.induct)
  show  $\Lambda$ .Arr  $\sharp \wedge \neg \Lambda$ .Ide  $\sharp \longrightarrow$  standard-development  $\sharp * \sim^* [\sharp]$ 
    by simp
  show  $\bigwedge x. \Lambda$ .Arr « $x$ »  $\wedge \neg \Lambda$ .Ide « $x$ »
     $\longrightarrow$  standard-development « $x$ » *~* [« $x$ »]
    by simp
  show  $\bigwedge t. \Lambda$ .Arr  $t \wedge \neg \Lambda$ .Ide  $t \longrightarrow$  standard-development  $t * \sim^* [t] \implies$ 
     $\Lambda$ .Arr  $\lambda[t] \wedge \neg \Lambda$ .Ide  $\lambda[t] \longrightarrow$  standard-development  $\lambda[t] * \sim^* [\lambda[t]]$ 
    by (metis (mono-tags, lifting) cong-map-Lam  $\Lambda$ .Arr.simps(3)  $\Lambda$ .Ide.simps(3)
      list.simps(8,9) standard-development.simps(3))
  show  $\bigwedge t u. [\Lambda$ .Arr  $t \wedge \Lambda$ .Arr  $u$ 
     $\implies \Lambda$ .Arr  $t \wedge \neg \Lambda$ .Ide  $t \longrightarrow$  standard-development  $t * \sim^* [t];$ 
     $\Lambda$ .Arr  $t \wedge \Lambda$ .Arr  $u$ 
     $\implies \Lambda$ .Arr  $u \wedge \neg \Lambda$ .Ide  $u \longrightarrow$  standard-development  $u * \sim^* [u]]$ 
     $\implies \Lambda$ .Arr  $(t \circ u) \wedge \neg \Lambda$ .Ide  $(t \circ u) \longrightarrow$ 
    standard-development  $(t \circ u) * \sim^* [t \circ u]$ 
proof
fix  $t u$ 
assume ind1:  $\Lambda$ .Arr  $t \wedge \Lambda$ .Arr  $u$ 
 $\implies \Lambda$ .Arr  $t \wedge \neg \Lambda$ .Ide  $t \longrightarrow$  standard-development  $t * \sim^* [t]$ 
assume ind2:  $\Lambda$ .Arr  $t \wedge \Lambda$ .Arr  $u$ 
 $\implies \Lambda$ .Arr  $u \wedge \neg \Lambda$ .Ide  $u \longrightarrow$  standard-development  $u * \sim^* [u]$ 
assume 1:  $\Lambda$ .Arr  $(t \circ u) \wedge \neg \Lambda$ .Ide  $(t \circ u)$ 
show standard-development  $(t \circ u) * \sim^* [t \circ u]$ 

```

```

proof (cases standard-development t = [])
  show standard-development t = [] ==> ?thesis
    using 1 ind2 cong-map-App1 Ide-iff-standard-development-empty Λ.Ide-iff-Trg-self
    apply simp
    by (metis (no-types, opaque-lifting) list.simps(8,9))
  assume t: standard-development t ≠ []
  show ?thesis
  proof (cases standard-development u = [])
    assume u: standard-development u = []
    have standard-development (t o u) = map (λX. X o u) (standard-development t)
      using u 1 Λ.Ide-iff-Src-self ide-char ind2 by auto
    also have ... *~* map (λa. a o u) [t]
      using cong-map-App2 [of u]
      by (meson 1 Λ.ARR.simps(4) Ide-iff-standard-development-empty t u ind1)
    also have map (λa. a o u) [t] = [t o u]
      by simp
    finally show ?thesis by blast
  next
    assume u: standard-development u ≠ []
    have standard-development (t o u) =
      map (λa. a o Λ.Src u) (standard-development t) @
      map (λb. Λ.Trг t o b) (standard-development u)
      using 1 by force
    moreover have map (λa. a o Λ.Src u) (standard-development t) *~* [t o Λ.Src u]
  proof -
    have map (λa. a o Λ.Src u) (standard-development t) *~* map (λa. a o Λ.Src u) [t]
      using t u 1 ind1 Λ.Ide-Src Ide-iff-standard-development-empty cong-map-App2
      by (metis Λ.ARR.simps(4))
    also have map (λa. a o Λ.Src u) [t] = [t o Λ.Src u]
      by simp
    finally show ?thesis by blast
  qed
  moreover have map (λb. Λ.Trг t o b) (standard-development u) *~* [Λ.Trг t o u]
    using t u 1 ind2 Λ.Ide-Trг Ide-iff-standard-development-empty cong-map-App1
    by (metis (mono-tags, opaque-lifting) Λ.ARR.simps(4) list.simps(8,9))
  moreover have seq (map (λa. a o Λ.Src u) (standard-development t))
    (map (λb. Λ.Trг t o b) (standard-development u))
  proof
    show Arr (map (λa. a o Λ.Src u) (standard-development t))
      by (metis Con-implies-Arr(1) Ide.simps(1) calculation(2) ide-char)
    show Arr (map ((o) (Λ.Trг t)) (standard-development u))
      by (metis Con-implies-Arr(1) Ide.simps(1) calculation(3) ide-char)
    show Λ.Trг (last (map (λa. a o Λ.Src u) (standard-development t))) =
      Λ.Src (hd (map ((o) (Λ.Trг t)) (standard-development u)))
      using 1 Src-hd-eqI Trг-last-eqI calculation(2) calculation(3) by auto
  qed
  ultimately have standard-development (t o u) *~* [t o Λ.Src u] @ [Λ.Trг t o u]
    using cong-append [of map (λa. a o Λ.Src u) (standard-development t)
      map (λb. Λ.Trг t o b) (standard-development u)]

```

```

[t o Λ.Src u] [Λ.Trg t o u]]
by simp
moreover have [t o Λ.Src u] @ [Λ.Trg t o u] *~* [t o u]
using 1 Λ.Ide-Trg Λ.resid-Arr-Src Λ.resid-Arr-self Λ.null-char
ide-char Λ.Arr-not-Nil
by simp
ultimately show ?thesis
using cong-transitive by blast
qed
qed
qed
show ∨t u. (Λ.Arr t ∧ Λ.Arr u ==>
Λ.Arr (Λ.subst u t) ∧ ¬ Λ.Ide (Λ.subst u t)
→ standard-development (Λ.subst u t) *~* [Λ.subst u t]) ==>
Λ.Arr (λ[t] • u) ∧ ¬ Λ.Ide (λ[t] • u) →
standard-development (λ[t] • u) *~* [λ[t] • u]
proof
fix t u
assume 1: Λ.Arr (λ[t] • u) ∧ ¬ Λ.Ide (λ[t] • u)
assume ind: Λ.Arr t ∧ Λ.Arr u ==>
Λ.Arr (Λ.subst u t) ∧ ¬ Λ.Ide (Λ.subst u t)
→ standard-development (Λ.subst u t) *~* [Λ.subst u t]
show standard-development (λ[t] • u) *~* [λ[t] • u]
proof (cases Λ.Ide (Λ.subst u t))
assume 2: Λ.Ide (Λ.subst u t)
have standard-development (λ[t] • u) = [λ[Λ.Src t] • Λ.Src u]
using 1 2 Ide-iff-standard-development-empty [of Λ.subst u t] Λ.Arr-Subst
by simp
also have [λ[Λ.Src t] • Λ.Src u] *~* [λ[t] • u]
using 1 2 Λ.Ide-Src Λ.Ide-implies-Arr ide-char Λ.resid-Arr-Ide
apply (intro conjI)
apply simp-all
apply (metis Λ.Ide.simps(1) Λ.Ide-Subst-iff Λ.Ide-Trg)
by fastforce
finally show ?thesis by blast
next
assume 2: ¬ Λ.Ide (Λ.subst u t)
have standard-development (λ[t] • u) =
[λ[Λ.Src t] • Λ.Src u] @ standard-development (Λ.subst u t)
using 1 by auto
also have [λ[Λ.Src t] • Λ.Src u] @ standard-development (Λ.subst u t) *~*
[λ[Λ.Src t] • Λ.Src u] @ [Λ.subst u t]
proof (intro cong-append)
show seq [Λ.Beta (Λ.Src t) (Λ.Src u)] (standard-development (Λ.subst u t))
using 1 2 ind arr-char ide-implies-arr Λ.Arr-Subst Con-implies-Arr(1) Src-hd-eqI
apply (intro seqIΛP)
apply simp-all
by (metis Arr.simps(1))
show [λ[Λ.Src t] • Λ.Src u] *~* [λ[Λ.Src t] • Λ.Src u]

```

```

using 1
by (metis ΛArr.simps(5) Λ.Ide-Src Λ.Ide-implies-Arr Arr.simps(2) Resid-Arr-self
      ide-char Λ.arr-char)
show standard-development (Λsubst u t) *~* [Λsubst u t]
  using 1 2 ΛArr-Subst ind by simp
qed
also have [λ[ΛSrc t] • ΛSrc u] @ [Λsubst u t] *~* [λ[t] • u]
proof
  show [λ[ΛSrc t] • ΛSrc u] @ [Λsubst u t] *≤* [λ[t] • u]
  proof -
    have t \ ΛSrc t ≠ # ∧ u \ ΛSrc u ≠ #
      by (metis 1 ΛArr.simps(5) Λ.Coinitial-iff-Con Λ.Ide-Src Λ.Ide-iff-Src-self
          Λ.Ide-implies-Arr)
    moreover have Λ.con (λ[ΛSrc t] • ΛSrc u) (λ[t] • u)
      by (metis 1 Λ.head-redex.simps(9) Λ.prfx-implies-con Λ.subs-head-redex
          Λ.subs-implies-prfx)
    ultimately have ([λ[ΛSrc t] • ΛSrc u] @ [Λsubst u t]) *＼* [λ[t] • u] =
      [λ[ΛSrc t] • ΛSrc u] *＼* [λ[t] • u] @
      [Λsubst u t] *＼* ([λ[t] • u] *＼* [λ[ΛSrc t] • ΛSrc u])
    using Resid-append(1)
      [of [λ[ΛSrc t] • ΛSrc u] [Λsubst u t] [λ[t] • u]]
    apply simp
    by (metis ΛArr-Subst Λ.Coinitial-iff-Con Λ.Ide-Src Λ.resid-Arr-Ide)
  also have ... = [Λsubst (ΛTrg u) (ΛTrg t)] @ ([Λsubst u t] *＼* [Λsubst u t])
  proof -
    have t \ ΛSrc t ≠ # ∧ u \ ΛSrc u ≠ #
      by (metis 1 ΛArr.simps(5) Λ.Coinitial-iff-Con Λ.Ide-Src
          Λ.Ide-iff-Src-self Λ.Ide-implies-Arr)
    moreover have ΛSrc t \ t ≠ # ∧ ΛSrc u \ u ≠ #
      using Λ.Con-sym_calculation(1) by presburger
    moreover have Λ.con (Λsubst u t) (Λsubst u t)
      by (meson ΛArr-Subst Λ.Con-implies-Arr2 Λ.arr-char Λ.arr-def_calculation(2))
    moreover have Λ.con (λ[t] • u) (λ[ΛSrc t] • ΛSrc u)
      using ⟨Λ.con (λ[ΛSrc t] • ΛSrc u) (λ[t] • u)⟩ Λ.con-sym by blast
    moreover have Λ.con (λ[ΛSrc t] • ΛSrc u) (λ[t] • u)
      using ⟨Λ.con (λ[ΛSrc t] • ΛSrc u) (λ[t] • u)⟩ by blast
    moreover have Λ.con (Λsubst u t) (Λsubst (u \ ΛSrc u) (t \ ΛSrc t))
      by (metis Λ.Coinitial-iff-Con Λ.Ide-Src calculation(1-3) Λ.resid-Arr-Ide)
    ultimately show ?thesis
      using 1 by auto
  qed
  finally have ([λ[ΛSrc t] • ΛSrc u] @ [Λsubst u t]) *＼* [λ[t] • u] =
    [Λsubst (ΛTrg u) (ΛTrg t)] @ [Λsubst u t] *＼* [Λsubst u t]
  by blast
  moreover have Ide ...
    by (metis 1 2 ΛArr.simps(5) ΛArr-Subst Λ.Ide-Subst Λ.Ide-Trg
        Nil-is-append-conv Arr-append-iffPWE Con-implies-Arr(2) Ide.simps(1-2)
        Ide-appendIPWE Resid-Arr-self ide-char calculation Λ.ide-char ind
        Con-imp-Arr-Resid)

```

```

ultimately show ?thesis
  using ide-char by presburger
qed
show [ $\lambda[t] \bullet u$ ] * $\setminus^*$  [ $\lambda[\Lambda.Src t] \bullet \Lambda.Src u$ ] @ [ $\Lambda.subst u t$ ]
proof -
  have [ $\lambda[t] \bullet u$ ] * $\setminus^*$  ( $\lambda[\Lambda.Src t] \bullet \Lambda.Src u$ ) @ [ $\Lambda.subst u t$ ] =
    ( $\lambda[t] \bullet u$ ) * $\setminus^*$  [ $\lambda[\Lambda.Src t] \bullet \Lambda.Src u$ ] * $\setminus^*$  [ $\Lambda.subst u t$ ]
    by fastforce
  also have ... = [ $\Lambda.subst u t$ ] * $\setminus^*$  [ $\Lambda.subst u t$ ]
  proof -
    have  $t \setminus \Lambda.Src t \neq \# \wedge u \setminus \Lambda.Src u \neq \#$ 
      by (metis 1  $\Lambda.Arr.simps(5)$   $\Lambda.Coinitial-iff-Con$   $\Lambda.Ide-Src$ 
           $\Lambda.Ide-iff-Src-self$   $\Lambda.Ide-implies-Arr$ )
    moreover have  $\Lambda.con (\Lambda.subst u t) (\Lambda.subst u t)$ 
      by (metis 1  $\Lambda.Arr.simps(5)$   $\Lambda.Arr-Subst$   $\Lambda.Coinitial-iff-Con$ 
           $\Lambda.con-def$   $\Lambda.null-char$ )
    moreover have  $\Lambda.con (\lambda[t] \bullet u) (\lambda[\Lambda.Src t] \bullet \Lambda.Src u)$ 
      by (metis 1  $\Lambda.Con-sym$   $\Lambda.con-def$   $\Lambda.head-redex.simps(9)$   $\Lambda.null-char$ 
           $\Lambda.prfx-implies-con$   $\Lambda.subs-head-redex$   $\Lambda.subs-implies-prfx$ )
    moreover have  $\Lambda.con (\Lambda.subst (u \setminus \Lambda.Src u) (t \setminus \Lambda.Src t)) (\Lambda.subst u t)$ 
      by (metis  $\Lambda.Coinitial-iff-Con$   $\Lambda.Ide-Src$  calculation(1) calculation(2)
           $\Lambda.resid-Arr-Ide$ )
  ultimately show ?thesis
    using  $\Lambda.resid-Arr-Ide$ 
    apply simp
    by (metis  $\Lambda.Coinitial-iff-Con$   $\Lambda.Ide-Src$ )
qed
finally have [ $\lambda[t] \bullet u$ ] * $\setminus^*$  ( $\lambda[\Lambda.Src t] \bullet \Lambda.Src u$ ) @ [ $\Lambda.subst u t$ ] =
  [ $\Lambda.subst u t$ ] * $\setminus^*$  [ $\Lambda.subst u t$ ]
  by blast
moreover have Ide ...
  by (metis 1 2  $\Lambda.Arr.simps(5)$   $\Lambda.Arr-Subst$   $\Lambda.con-implies-Arr(2)$   $\Lambda.resid-Arr-self$ 
      ind ide-char)
ultimately show ?thesis
  using ide-char by presburger
qed
qed
finally show ?thesis by blast
qed
qed
qed
qed

```

**lemma** Src-hd-standard-development:

**assumes**  $\Lambda.Arr t$  **and**  $\neg \Lambda.Ide t$

**shows**  $\Lambda.Src (hd (standard-development t)) = \Lambda.Src t$

by (metis assms Src-hd-eqI cong-standard-development list.sel(1))

**lemma** Trg-last-standard-development:

**assumes**  $\Lambda.Arr t$  **and**  $\neg \Lambda.Ide t$

```

shows  $\Lambda.\text{Trg} (\text{last} (\text{standard-development } t)) = \Lambda.\text{Trg } t$ 
by (metis assmss  $\text{Trg}\text{-last}\text{-eqI}$  cong-standard-development last-ConsL)

lemma Srcs-standard-development:
shows  $\llbracket \Lambda.\text{Arr } t; \text{standard-development } t \neq [] \rrbracket$ 
 $\implies \text{Srcs} (\text{standard-development } t) = \{\Lambda.\text{Src } t\}$ 
by (metis Con-implies-Arr(1) Ide.simps(1) Ide-iff-standard-development-empty
Src-hd-standard-development Srcs-simp $_{\Delta P}$  cong-standard-development ide-char)

lemma Trgs-standard-development:
shows  $\llbracket \Lambda.\text{Arr } t; \text{standard-development } t \neq [] \rrbracket$ 
 $\implies \text{Trgs} (\text{standard-development } t) = \{\Lambda.\text{Trg } t\}$ 
by (metis Con-implies-Arr(2) Ide.simps(1) Ide-iff-standard-development-empty
Trg-last-standard-development Trgs-simp $_{\Delta P}$  cong-standard-development ide-char)

lemma development-standard-development:
shows  $\Lambda.\text{Arr } t \longrightarrow \text{development } t (\text{standard-development } t)$ 
apply (rule standard-development.induct)
apply blast
apply simp
apply (simp add: development-map-Lam)

proof
fix  $t_1 t_2$ 
assume  $\text{ind1}: \Lambda.\text{Arr } t_1 \wedge \Lambda.\text{Arr } t_2$ 
 $\implies \Lambda.\text{Arr } t_1 \longrightarrow \text{development } t_1 (\text{standard-development } t_1)$ 
assume  $\text{ind2}: \Lambda.\text{Arr } t_1 \wedge \Lambda.\text{Arr } t_2$ 
 $\implies \Lambda.\text{Arr } t_2 \longrightarrow \text{development } t_2 (\text{standard-development } t_2)$ 
assume  $t: \Lambda.\text{Arr} (t_1 \circ t_2)$ 
show development  $(t_1 \circ t_2)$  (standard-development  $(t_1 \circ t_2)$ )
proof (cases standard-development  $t_1 = []$ )
show standard-development  $t_1 = []$ 
 $\implies \text{development } (t_1 \circ t_2)$  (standard-development  $(t_1 \circ t_2)$ )
using  $t \text{ ind2 } \Lambda.\text{Ide-Src } \Lambda.\text{Ide-Trg } \Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-iff-Trg-self}$ 
Ide-iff-standard-development-empty
development-map-App-2 [of  $\Lambda.\text{Src } t_1 t_2$  standard-development  $t_2$ ]
by fastforce
assume  $t_1: \text{standard-development } t_1 \neq []$ 
show development  $(t_1 \circ t_2)$  (standard-development  $(t_1 \circ t_2)$ )
proof (cases standard-development  $t_2 = []$ )
assume  $t_2: \text{standard-development } t_2 = []$ 
show ?thesis
using  $t \text{ t2 ind1 Ide-iff-standard-development-empty development-map-App-1 by simp}$ 
next
assume  $t_2: \text{standard-development } t_2 \neq []$ 
have development  $(t_1 \circ t_2)$  (map  $(\lambda a. a \circ \Lambda.\text{Src } t_2)$  (standard-development  $t_1$ ))
using  $\Lambda.\text{Arr.simps}(4)$  development-map-App-1 ind1 t by presburger
moreover have development  $((t_1 \circ t_2)^{1\backslash *})$ 
map  $(\lambda a. a \circ \Lambda.\text{Src } t_2)$  (standard-development  $t_1$ )
(map  $(\lambda a. \Lambda.\text{Trg } t_1 \circ a)$  (standard-development  $t_2$ ))

```

```

proof -
have  $\Lambda.App\ t1\ t2\ ^1\backslash^*\ map\ (\lambda a. a \circ \Lambda.Src\ t2)$  (standard-development  $t1$ ) =
 $\Lambda.Trg\ t1 \circ t2$ 
proof -
have  $map\ (\lambda a. a \circ \Lambda.Src\ t2)$  (standard-development  $t1$ )  $*\sim^*$   $[t1 \circ \Lambda.Src\ t2]$ 
proof -
have  $map\ (\lambda a. a \circ \Lambda.Src\ t2)$  (standard-development  $t1$ ) =
standard-development ( $t1 \circ \Lambda.Src\ t2$ )
by (metis  $\LambdaArr.simps(4)$   $\Lambda.Ide-Src$   $\Lambda.Ide-iff-Src-self$ 
 $Ide-iff-standard-development-empty$   $\Lambda.Ide-implies-Arr$   $Nil-is-map-conv$ 
append- $Nil2$  standard-development. $simps(4)$   $t$ )
also have standard-development ( $t1 \circ \Lambda.Src\ t2$ )  $*\sim^*$   $[t1 \circ \Lambda.Src\ t2]$ 
by (metis  $\LambdaArr.simps(4)$   $\Lambda.Ide.simps(4)$   $\Lambda.Ide-Src$   $\Lambda.Ide-implies-Arr$ 
cong-standard-development development- $Ide$   $ind1\ t\ t1$ )
finally show ?thesis by blast
qed
hence  $[t1 \circ t2] * \backslash^* map\ (\lambda a. a \circ \Lambda.Src\ t2)$  (standard-development  $t1$ ) =
 $[t1 \circ t2] * \backslash^* [t1 \circ \Lambda.Src\ t2]$ 
by (metis Resid-parallel con-imp-coinitial prfx-implies-con calculation
development-implies map-is- $Nil$ -conv  $t1$ )
also have  $[t1 \circ t2] * \backslash^* [t1 \circ \Lambda.Src\ t2] = [\Lambda.Trg\ t1 \circ t2]$ 
using  $t$   $\Lambda.arr-resid-iff-con$   $\Lambda.resid-Arr-self$ 
by simp force
finally have  $[t1 \circ t2] * \backslash^* map\ (\lambda a. a \circ \Lambda.Src\ t2)$  (standard-development  $t1$ ) =
 $[\Lambda.Trg\ t1 \circ t2]$ 
by blast
thus ?thesis
by (simp add: Resid1x-as-Resid')
qed
thus ?thesis
by (metis ind2  $\LambdaArr.simps(4)$   $\Lambda.Ide-Trg$   $\Lambda.Ide-iff-Src-self$  development-map- $App-2$ 
 $\Lambda.reduction-strategy-def$   $\Lambda.head-strategy-is-reduction-strategy$   $t$ )
qed
ultimately show ?thesis
using  $t$  development-append [of  $t1 \circ t2$ 
 $map\ (\lambda a. a \circ \Lambda.Src\ t2)$  (standard-development  $t1$ )
 $map\ (\lambda b. \Lambda.Trg\ t1 \circ b)$  (standard-development  $t2$ )]
by auto
qed
qed
next
fix  $t1\ t2$ 
assume ind:  $\LambdaArr\ t1 \wedge \LambdaArr\ t2 \implies$ 
 $\LambdaArr\ (\Lambda.subst\ t2\ t1)$ 
 $\longrightarrow$  development ( $\Lambda.subst\ t2\ t1$ ) (standard-development ( $\Lambda.subst\ t2\ t1$ ))
show  $\LambdaArr\ (\lambda[t1] \bullet t2) \longrightarrow$  development ( $\lambda[t1] \bullet t2$ ) (standard-development ( $\lambda[t1] \bullet t2$ ))
proof
assume 1:  $\LambdaArr\ (\lambda[t1] \bullet t2)$ 
have development ( $\Lambda.subst\ t2\ t1$ ) (standard-development ( $\Lambda.subst\ t2\ t1$ ))

```

```

using 1 ind by (simp add: Λ.Arr-Subst)
thus development (λ[t1] • t2) (standard-development (λ[t1] • t2))
  using 1 Λ.Ide-Src Λ.subs-Ide by auto
qed
qed

lemma Std-standard-development:
shows Std (standard-development t)
apply (rule standard-development.induct)
  apply simp-all
using Std-map-Lam
  apply blast
proof
fix t u
assume t: Λ.Arr t ∧ Λ.Arr u ==> Std (standard-development t)
assume u: Λ.Arr t ∧ Λ.Arr u ==> Std (standard-development u)
assume 0: Λ.Arr t ∧ Λ.Arr u
show Std (map (λa. a o Λ.Src u) (standard-development t)) @
  map (λb. Λ.Trig t o b) (standard-development u))
proof (cases Λ.Ide t)
show Λ.Ide t ==> ?thesis
using 0 Λ.Ide-iff-Trig-self Ide-iff-standard-development-empty u Std-map-App2
by fastforce
assume 1: ¬ Λ.Ide t
show ?thesis
proof (cases Λ.Ide u)
show Λ.Ide u ==> ?thesis
using t u 0 Std-map-App1 [of Λ.Src u standard-development t] Λ.Ide-Src
by (metis Ide-iff-standard-development-empty append-Nil2 list.simps(8))
assume 2: ¬ Λ.Ide u
show ?thesis
proof (intro Std-append)
show 3: Std (map (λa. a o Λ.Src u) (standard-development t))
  using t 0 Std-map-App1 Λ.Ide-Src by blast
show Std (map (λb. Λ.Trig t o b) (standard-development u))
  using u 0 Std-map-App2 Λ.Ide-Trig by simp
show map (λa. a o Λ.Src u) (standard-development t) = [] ∨
  map (λb. Λ.Trig t o b) (standard-development u) = [] ∨
  Λ.sseq (last (map (λa. a o Λ.Src u) (standard-development t)))
    (hd (map (λb. Λ.Trig t o b) (standard-development u)))
proof -
have Λ.sseq (last (map (λa. a o Λ.Src u) (standard-development t)))
  (hd (map (λb. Λ.Trig t o b) (standard-development u)))
proof -
obtain x where x: last (map (λa. a o Λ.Src u) (standard-development t)) =
  x o Λ.Src u
  using 0 1 Ide-iff-standard-development-empty last-map by auto
obtain y where y: hd (map (λb. Λ.Trig t o b) (standard-development u)) =
  Λ.Trig t o y

```

```

using 0 2 Ide-iff-standard-development-empty list.map sel(1) by auto
have  $\Lambda.\text{elementary-reduction } x$ 
proof -
  have  $\Lambda.\text{elementary-reduction } (x \circ \Lambda.\text{Src } u)$ 
    using x
  by (metis 0 1 3 Ide-iff-standard-development-empty Nil-is-map-conv Std.simps(2)
      Std-imp-sseq-last-hd append-butlast-last-id append-self-conv2 list.discI
      list.sel(1)  $\Lambda.\text{sseq-imp-elementary-reduction2}$ )
  thus ?thesis
    using 0  $\Lambda.\text{Ide-Src }$   $\Lambda.\text{elementary-reduction-not-ide}$  by auto
qed
moreover have  $\Lambda.\text{elementary-reduction } y$ 
proof -
  have  $\Lambda.\text{elementary-reduction } (\Lambda.\text{Trg } t \circ y)$ 
    using y
  by (metis 0 2  $\Lambda.\text{Ide-Trg }$  Ide-iff-standard-development-empty
      u Std.elims(2)  $\Lambda.\text{elementary-reduction.simps}(4)$  list.map sel(1) list.sel(1)
       $\Lambda.\text{sseq-imp-elementary-reduction1}$ )
  thus ?thesis
    using 0  $\Lambda.\text{Ide-Trg }$   $\Lambda.\text{elementary-reduction-not-ide}$  by auto
qed
moreover have  $\Lambda.\text{Trg } t = \Lambda.\text{Trg } x$ 
  by (metis 0 1 Ide-iff-standard-development-empty Trg-last-standard-development
      x  $\Lambda.\text{lambda.inject}(3)$  last-map)
moreover have  $\Lambda.\text{Src } u = \Lambda.\text{Src } y$ 
  using y
  by (metis 0 2  $\Lambda.\text{Arr-not-Nil }$   $\Lambda.\text{Coinitial-iff-Con}$ 
      Ide-iff-standard-development-empty development.elims(2) development-imp-Arr
      development-standard-development  $\Lambda.\text{lambda.inject}(3)$  list.map sel(1)
      list.sel(1))
ultimately show ?thesis
  using x y by simp
qed
thus ?thesis by blast
qed
qed
qed
next
fix t u
assume ind:  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u \implies \text{Std } (\text{standard-development } (\Lambda.\text{subst } u t))$ 
show  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$ 
  → Std (( $\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u$ ) # standard-development ( $\Lambda.\text{subst } u t$ ))
proof
  assume 1:  $\Lambda.\text{Arr } t \wedge \Lambda.\text{Arr } u$ 
  show Std (( $\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u$ ) # standard-development ( $\Lambda.\text{subst } u t$ ))
  proof (cases  $\Lambda.\text{Ide } (\Lambda.\text{subst } u t)$ )
    show  $\Lambda.\text{Ide } (\Lambda.\text{subst } u t)$ 
      → Std (( $\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u$ ) # standard-development ( $\Lambda.\text{subst } u t$ ))
  qed
qed

```

```

using 1 Λ.Arr-Subst Λ.Ide-Src Ide-iff-standard-development-empty by simp
assume 2: ¬ Λ.Ide (Λ.subst u t)
show Std ((λ[Λ.Src t] • Λ.Src u) # standard-development (Λ.subst u t))
proof -
  have Λ.sseq (λ[Λ.Src t] • Λ.Src u) (hd (standard-development (Λ.subst u t)))
  proof -
    have Λ.elementary-reduction (hd (standard-development (Λ.subst u t)))
    using ind
    by (metis 1 2 Λ.Arr-Subst Ide-iff-standard-development-empty
        Std.elims(2) list.sel(1) Λ.sseq-imp-elementary-reduction1)
    moreover have Λ.seq (λ[Λ.Src t] • Λ.Src u)
      (hd (standard-development (Λ.subst u t)))
    using 1 2 Src-hd-standard-development calculation Λ.Arr.simps(5)
      Λ.Arr-Src Λ.Arr-Subst Λ.Src-Subst Λ.Trig.simps(4) Λ.Trig-Src Λ.arr-char
      Λ.elementary-reduction-is-arr Λ.seq-char
    by presburger
    ultimately show ?thesis
    using 1 Λ.Ide-Src Λ.sseq-Beta by auto
  qed
  moreover have Std (standard-development (Λ.subst u t))
    using 1 ind by blast
  ultimately show ?thesis
  by (metis 1 2 Λ.Arr-Subst Ide-iff-standard-development-empty Std.simps(3)
      list.collapse)
  qed
qed
qed
qed
qed

```

### 3.6.3 Standardization

In this section, we define and prove correct a function that takes an arbitrary reduction path and produces a standard reduction path congruent to it. The method is roughly analogous to insertion sort: given a path, recursively standardize the tail and then “insert” the head into to the result. A complication is that in general the head may be a parallel reduction instead of an elementary reduction, and in any case elementary reductions are not preserved under residuation so we need to be able to handle the parallel reductions that arise from permuting elementary reductions. In general, this means that parallel reduction steps have to be decomposed into factors, and then each factor has to be inserted at its proper position. Another issue is that reductions don’t all happen at the top level of a term, so we need to be able to descend recursively into terms during the insertion procedure. The key idea here is: in a standard reduction, once a step has occurred that is not a head reduction, then all subsequent terms will have *App* as their top-level constructor. So, once we have passed a step that is not a head reduction, we can recursively descend into the subsequent applications and treat the “rator” and the “rand” parts independently.

The following function performs the core insertion part of the standardization al-

gorithm. It assumes that it is given an arbitrary parallel reduction  $t$  and an already-standard reduction path  $U$ , and it inserts  $t$  into  $U$ , producing a standard reduction path that is congruent to  $t \# U$ . A somewhat elaborate case analysis is required to determine whether  $t$  needs to be factored and whether part of it might need to be permuted with the head of  $U$ . The recursion is complicated by the need to make sure that the second argument  $U$  is always a standard reduction path. This is so that it is possible to decide when the rest of the steps will be applications and it is therefore possible to recurse into them. This constrains what recursive calls we can make, since we are not able to make a recursive call in which an identity has been prepended to  $U$ . Also, if  $t \# U$  consists completely of identities, then its standardization is the empty list  $[]$ , which is not a path and cannot be congruent to  $t \# U$ . So in order to be able to apply the induction hypotheses in the correctness proof, we need to make sure that we don't make recursive calls when  $U$  itself would consist entirely of identities. Finally, when we descend through an application, the step  $t$  and the path  $U$  are projected to their "rator" and "rand" components, which are treated separately and the results concatenated. However, the projection operations can introduce identities and therefore do not preserve elementary reductions. To handle this, we need to filter out identities after projection but before the recursive call.

Ensuring termination also involves some care: we make recursive calls in which the length of the second argument is increased, but the "height" of the first argument is decreased. So we use a lexicographic order that makes the height of the first argument more significant and the length of the second argument secondary. The base cases either discard paths that consist entirely of identities, or else they expand a single parallel reduction  $t$  into a standard development.

```

function (sequential) stdz-insert
where stdz-insert  $t [] = \text{standard-development } t$ 
      | stdz-insert «-»  $U = \text{stdz-insert} (\text{hd } U) (\text{tl } U)$ 
      | stdz-insert  $\lambda[t] \ U =$ 
        (if  $\Lambda.\text{Ide}$   $t$  then
         stdz-insert ( $\text{hd } U$ ) ( $\text{tl } U$ )
        else
         map  $\Lambda.\text{Lam}$  (stdz-insert  $t$  (map  $\Lambda.\text{un-Lam}$   $U$ )))
      | stdz-insert ( $\lambda[t] \circ u$ ) ( $(\lambda[-] \bullet -) \ # \ U$ ) = stdz-insert ( $\lambda[t] \bullet u$ )  $U$ 
      | stdz-insert ( $t \circ u$ )  $U =$ 
        (if  $\Lambda.\text{Ide}$  ( $t \circ u$ ) then
         stdz-insert ( $\text{hd } U$ ) ( $\text{tl } U$ )
        else if  $\Lambda.\text{seq}$  ( $t \circ u$ ) ( $\text{hd } U$ ) then
         if  $\Lambda.\text{contains-head-reduction}$  ( $t \circ u$ ) then
          if  $\Lambda.\text{Ide}$  ( $(t \circ u) \setminus \Lambda.\text{head-redex}$  ( $t \circ u$ )) then
            $\Lambda.\text{head-redex}$  ( $t \circ u$ )  $\# \text{stdz-insert} (\text{hd } U) (\text{tl } U)$ 
          else
            $\Lambda.\text{head-redex}$  ( $t \circ u$ )  $\# \text{stdz-insert} ((t \circ u) \setminus \Lambda.\text{head-redex} (t \circ u)) \ U$ 
         else if  $\Lambda.\text{contains-head-reduction}$  ( $\text{hd } U$ ) then
          if  $\Lambda.\text{Ide}$  ( $(t \circ u) \setminus \Lambda.\text{head-strategy}$  ( $t \circ u$ )) then
           stdz-insert ( $\Lambda.\text{head-strategy}$  ( $t \circ u$ )) ( $\text{tl } U$ )
          else

```

```

 $\Lambda.\text{head-strategy} (t \circ u) \# \text{stdz-insert} ((t \circ u) \setminus \Lambda.\text{head-strategy} (t \circ u)) (tl U)$ 
else
  map ( $\lambda a. a \circ \Lambda.\text{Src} u$ )
    ( $\text{stdz-insert} t (\text{filter not Ide} (\text{map } \Lambda.\text{un-App1 } U))) @$ 
     map ( $\lambda b. \Lambda.\text{Trg} (\Lambda.\text{un-App1} (\text{last } U)) \circ b$ )
       ( $\text{stdz-insert} u (\text{filter not Ide} (\text{map } \Lambda.\text{un-App2 } U)))$ )
  else []
|  $\text{stdz-insert} (\lambda[t] \bullet u) U =$ 
  (if  $\Lambda.\text{Arr} t \wedge \Lambda.\text{Arr} u$  then
   ( $\lambda[\Lambda.\text{Src } t] \bullet \Lambda.\text{Src } u$ )  $\# \text{stdz-insert} (\Lambda.\text{subst } u t) U$ 
   else [])
|  $\text{stdz-insert} \dots = []$ 
by pat-completeness auto

```

```

fun standardize
where standardize [] = []
  | standardize U = stdz-insert (hd U) (standardize (tl U))

abbreviation stdzins-rel
where stdzins-rel  $\equiv$  mlex-prod (length o snd) (inv-image (mlex-prod  $\Lambda.\text{hgt}$   $\Lambda.\text{subterm-rel}$ ) fst)

termination stdz-insert
using  $\Lambda.\text{subterm.intros}(2-3)$   $\Lambda.\text{hgt-Subst less-Suc-eq-le}$   $\Lambda.\text{elementary-reduction-decreases-hgt}$ 
 $\Lambda.\text{elementary-reduction-head-redex}$   $\Lambda.\text{contains-head-reduction-iff}$ 
 $\Lambda.\text{elementary-reduction-is-arr}$   $\Lambda.\text{Src-head-redex}$   $\Lambda.\text{App-Var-contains-no-head-reduction}$ 
 $\Lambda.\text{hgt-resid-App-head-redex}$   $\Lambda.\text{seq-char}$ 
apply (relation stdzins-rel)
apply (auto simp add: wf-mlex  $\Lambda.\text{wf-subterm-rel}$  mlex-iff mlex-less  $\Lambda.\text{subterm-lemmas}(1)$ )
by (meson dual-order.eq-iff length-filter-le not-less-eq-eq)+

lemma stdz-insert-Ide:
shows Ide (t # U)  $\implies$  stdz-insert t U = []
proof (induct U arbitrary: t)
  show  $\bigwedge t. \text{Ide } [t] \implies \text{stdz-insert } t [] = []$ 
    by (metis Ide-iff-standard-development-empty  $\Lambda.\text{Ide-implies-Arr}$  Ide.simps(2)
         $\Lambda.\text{ide-char}$  stdz-insert.simps(1))
  show  $\bigwedge U. [\bigwedge t. \text{Ide } (t \# U) \implies \text{stdz-insert } t U = []; \text{Ide } (t \# u \# U)] \implies \text{stdz-insert } t (u \# U) = []$ 
    for t u
    using  $\Lambda.\text{ide-char}$ 
    apply (cases t; cases u)
      apply simp-all
    by fastforce
qed

lemma stdz-insert-Ide-Std:

```

```

shows  $\llbracket \Lambda.\text{Ide } u; \text{seq } [u] \ U; \text{Std } U \rrbracket \implies \text{stdz-insert } u \ U = \text{stdz-insert } (\text{hd } U) \ (\text{tl } U)$ 
proof (induct U arbitrary: u)
  show  $\bigwedge u. \llbracket \Lambda.\text{Ide } u; \text{seq } [u] []; \text{Std } [] \rrbracket \implies \text{stdz-insert } u [] = \text{stdz-insert } (\text{hd } []) \ (\text{tl } [])$ 
    by (simp add: seq-char)
  fix u v U
  assume u:  $\Lambda.\text{Ide } u$ 
  assume seq:  $\text{seq } [u] (v \# U)$ 
  assume Std:  $\text{Std } (v \# U)$ 
  assume ind:  $\bigwedge u. \llbracket \Lambda.\text{Ide } u; \text{seq } [u] \ U; \text{Std } U \rrbracket$ 
     $\implies \text{stdz-insert } u \ U = \text{stdz-insert } (\text{hd } U) \ (\text{tl } U)$ 
  show  $\text{stdz-insert } u (v \# U) = \text{stdz-insert } (\text{hd } (v \# U)) \ (\text{tl } (v \# U))$ 
    using u ind stdz-insert-Ide Ide-implies-Arr
    apply (cases u; cases v)
      apply simp-all
  proof -
    fix x y a b
    assume xy:  $\Lambda.\text{Ide } x \wedge \Lambda.\text{Ide } y$ 
    assume u':  $u = x \circ y$ 
    assume v':  $v = \lambda[a] \bullet b$ 
    have ab:  $\Lambda.\text{Ide } a \wedge \Lambda.\text{Ide } b$ 
      using Std `v =  $\lambda[a] \bullet b` Std.elims(2)  $\Lambda.\text{sseq-Beta}$ 
      by (metis Std-consE  $\Lambda.\text{elementary-reduction.simps}(5)$  Std.simps(2))
    have x =  $\lambda[a]$ :  $y = b$ 
      using xy ab u' v' seq seq-char
      by (metis  $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-iff-Trg-self}$   $\Lambda.\text{Ide-implies-Arr}$   $\Lambda.\text{Src.simps}(5)$ 
        Srcs-simp $_{\Lambda P}$  Trgs.simps(2)  $\Lambda.\text{lambda.inject}(3)$  list.sel(1) singleton-insert-inj-eq
         $\Lambda.\text{targets-char}_{\Lambda}$ )
    thus stdz-insert (x o y) (( $\lambda[a] \bullet b$ ) # U) = stdz-insert ( $\lambda[a] \bullet b$ ) U
      using u u' stdz-insert.simps(4) by presburger
  qed
qed$ 
```

Insertion of a term with *Beta* as its top-level constructor always leaves such a term at the head of the result. Stated another way, *Beta* at the top-level must always come first in a standard reduction path.

```

lemma stdz-insert-Beta-ind:
shows  $\llbracket \Lambda.\text{hgt } t + \text{length } U \leq n; \Lambda.\text{is-Beta } t; \text{seq } [t] \ U \rrbracket$ 
   $\implies \Lambda.\text{is-Beta } (\text{hd } (\text{stdz-insert } t \ U))$ 
proof (induct n arbitrary: t U)
  show  $\bigwedge t U. \llbracket \Lambda.\text{hgt } t + \text{length } U \leq 0; \Lambda.\text{is-Beta } t; \text{seq } [t] \ U \rrbracket$ 
     $\implies \Lambda.\text{is-Beta } (\text{hd } (\text{stdz-insert } t \ U))$ 
    using Arr.simps(1) seq-char by blast
  fix n t U
  assume ind:  $\bigwedge t U. \llbracket \Lambda.\text{hgt } t + \text{length } U \leq n; \Lambda.\text{is-Beta } t; \text{seq } [t] \ U \rrbracket$ 
     $\implies \Lambda.\text{is-Beta } (\text{hd } (\text{stdz-insert } t \ U))$ 
  assume seq:  $\text{seq } [t] \ U$ 
  assume n:  $\Lambda.\text{hgt } t + \text{length } U \leq \text{Suc } n$ 
  assume t:  $\Lambda.\text{is-Beta } t$ 
  show  $\Lambda.\text{is-Beta } (\text{hd } (\text{stdz-insert } t \ U))$ 

```

```

using t seq seq-char
by (cases U; cases t; cases hd U) auto
qed

```

```

lemma stdz-insert-Beta:
assumes Λ.is-Beta t and seq [t] U
shows Λ.is-Beta (hd (stdz-insert t U))
using assms stdz-insert-Beta-ind by blast

```

This is the correctness lemma for insertion: Given a term  $t$  and standard reduction path  $U$  sequential with it, the result of insertion is a standard reduction path which is congruent to  $t \# U$  unless  $t \# U$  consists entirely of identities.

The proof is very long. Its structure parallels that of the definition of the function *stdz-insert*. For really understanding the details, I strongly suggest viewing the proof in Isabelle/JEdit and using the code folding feature to unfold the proof a little bit at a time.

```

lemma stdz-insert-correctness:
shows seq [t] U  $\wedge$  Std U  $\longrightarrow$ 
    Std (stdz-insert t U)  $\wedge$  ( $\neg$  Ide (t  $\#$  U)  $\longrightarrow$  cong (stdz-insert t U) (t  $\#$  U))
    (is ?P t U)
proof (rule stdz-insert.induct [of ?P])
show  $\bigwedge t$ . ?P t []
  using seq-char by simp
show  $\bigwedge u$  U. ?P  $\sharp$  (u  $\#$  U)
  using seq-char not-arr-null null-char by auto
show  $\bigwedge x$  u U. ?P (hd (u  $\#$  U)) (tl (u  $\#$  U))  $\Longrightarrow$  ?P «x» (u  $\#$  U)
proof –
  fix x u U
  assume ind: ?P (hd (u  $\#$  U)) (tl (u  $\#$  U))
  show ?P «x» (u  $\#$  U)
  proof (intro impI, elim conjE, intro conjI)
    assume seq: seq [«x»] (u  $\#$  U)
    assume Std: Std (u  $\#$  U)
    have 1: stdz-insert «x» (u  $\#$  U) = stdz-insert u U
    by simp
    have 2: U  $\neq$  []  $\Longrightarrow$  seq [u] U
    using Std Std-imp-Arr
    by (simp add: arrIP arr-append-imp-seq)
    show Std (stdz-insert «x» (u  $\#$  U))
    using ind
    by (metis 1 2 Std Std-standard-development list.exhaust-sel list.sel(1) list.sel(3)
      reduction-paths.Std.simps(3) reduction-paths.stdz-insert.simps(1))
    show  $\neg$  Ide («x»  $\#$  u  $\#$  U)  $\longrightarrow$  stdz-insert «x» (u  $\#$  U)  $\sim$  «x»  $\#$  u  $\#$  U
    proof (cases U = [])
    show U = []  $\Longrightarrow$  ?thesis
    using cong-standard-development cong-cons-ideI(1)
    apply simp
    by (metis Arr.simps(1–2) Arr-iff-Con-self Con-rec(3) Λ.in-sourcesI con-char
      cong-transitive ideE Λ.Ide.simps(2) Λ.arr-char Λ.ide-char seq)

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assume  $U: U \neq []$ 
show ?thesis
  using 1 2 ind seq seq-char cong-cons-ideI(1)
  apply simp
  by (metis Std Std-consE  $U \Lambda.\text{Arr.simps}(2) \Lambda.\text{Ide.simps}(2) \Lambda.\text{targets-simps}(2)$ 
    prfx-transitive)
qed
qed
qed
show  $\bigwedge M u U. [\Lambda.\text{Ide } M \implies ?P (\text{hd } (u \# U)) (\text{tl } (u \# U));$ 
   $\neg \Lambda.\text{Ide } M \implies ?P M (\text{map } \Lambda.\text{un-Lam } (u \# U))]$ 
   $\implies ?P \lambda[M] (u \# U)$ 
proof –
  fix  $M u U$ 
  assume ind1:  $\Lambda.\text{Ide } M \implies ?P (\text{hd } (u \# U)) (\text{tl } (u \# U))$ 
  assume ind2:  $\neg \Lambda.\text{Ide } M \implies ?P M (\text{map } \Lambda.\text{un-Lam } (u \# U))$ 
  show ?P  $\lambda[M] (u \# U)$ 
  proof (intro impI, elim conjE)
    assume seq: seq [ $\lambda[M]$ ] ( $u \# U$ )
    assume Std: Std ( $u \# U$ )
    have  $u: \Lambda.\text{is-Lam } u$ 
    using seq
    by (metis insert-subset  $\Lambda.\text{lambda.disc}(8) \text{list.simps}(15) \text{mem-Collect-eq}$ 
      seq-Lam-Arr-implies)
    have  $U: \text{set } U \subseteq \text{Collect } \Lambda.\text{is-Lam}$ 
    using u seq
    by (metis insert-subset  $\Lambda.\text{lambda.disc}(8) \text{list.simps}(15) \text{seq-Lam-Arr-implies}$ )
    show Std (stdz-insert  $\lambda[M] (u \# U)$ )  $\wedge$ 
       $(\neg \text{Ide } (\lambda[M] \# u \# U) \longrightarrow \text{stdz-insert } \lambda[M] (u \# U) * \sim^* \lambda[M] \# u \# U)$ 
  proof (cases  $\Lambda.\text{Ide } M$ )
    assume M:  $\Lambda.\text{Ide } M$ 
    have 1: stdz-insert  $\lambda[M] (u \# U) = \text{stdz-insert } u U$ 
    using M by simp
    show ?thesis
    proof (cases Ide ( $u \# U$ ))
      show Ide ( $u \# U$ )  $\implies$  ?thesis
        using 1 Std-standard-development Ide-iff-standard-development-empty
        by (metis Ide-imp-Ide-hd Std Std-implies-set-subset-elementary-reduction
           $\Lambda.\text{elementary-reduction-not-ide}$  list.sel(1) list.set-intros(1)
          mem-Collect-eq subset-code(1))
      assume 2:  $\neg \text{Ide } (u \# U)$ 
      show ?thesis
      proof (cases  $U = []$ )
        assume 3:  $U = []$ 
        have 4: standard-development  $u * \sim^* [\lambda[M]] @ [u]$ 
        using M 2 3 seq ide-char cong-standard-development [of u]
          cong-append-ideI(1) [of [ $\lambda[M]$ ] [u]]
        by (metis Arr-imp-arr-hd Ide.simps(2) Std Std-imp-Arr cong-transitive
           $\Lambda.\text{Ide.simps}(3) \Lambda.\text{arr-char } \Lambda.\text{ide-char}$  list.sel(1) not-Cons-self2)

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show ?thesis
  using 1 3 4 Std-standard-development by force
next
assume 3:  $U \neq []$ 
have stdz-insert  $\lambda[M] (u \# U) = stdz\text{-}insert u U$ 
  using M 3 by simp
have 5:  $\Lambda.\text{Arr } u \wedge \neg \Lambda.\text{Ide } u$ 
  by (meson 3 Std Std-conse  $\Lambda.\text{elementary-reduction-not-ide } \Lambda.\text{ide-char}$ 
       $\Lambda.\text{sseq-imp-elementary-reduction1}$ )
have 4: standard-development  $u @ U * \sim^* ([\lambda[M]] @ [u]) @ U$ 
proof (intro cong-append seqI $_{\Lambda P}$ )
  show Arr (standard-development  $u$ )
  using 5 Std-standard-development Std-imp-Arr Ide-iff-standard-development-empty
    by force
  show Arr  $U$ 
    using Std 3 by auto
  show  $\Lambda.\text{Trg} (\text{last} (\text{standard-development } u)) = \Lambda.\text{Src} (\text{hd } U)$ 
    by (metis 3 5 Std Std-conse Trg-last-standard-development  $\Lambda.\text{seq-char}$ 
         $\Lambda.\text{sseq-imp-seq}$ )
  show standard-development  $u * \sim^* [\lambda[M]] @ [u]$ 
    using M 5 Std Std-imp-Arr cong-standard-development [of  $u$ ]
      cong-append-ideI(3) [of  $[\lambda[M]] [u]$ ]
    by (metis (no-types, lifting) Arr.simps(2) Ide.simps(2) arr-char ide-char
         $\Lambda.\text{Ide.simps}(3) \Lambda.\text{arr-char } \Lambda.\text{ide-char prfx-transitive seq seq-def}$ 
        sources-cons)
  show  $U * \sim^* U$ 
    by (simp add: Arr  $U$  arr-char prfx-reflexive)
qed
show ?thesis
proof (intro conjI)
  show Std (stdz-insert  $\lambda[M] (u \# U)$ )
    by (metis (no-types, lifting) 1 3 M Std Std-conse append-Cons
        append-eq-append-conv2 append-self-conv arr-append-imp-seq ind1
        list.sel(1) list.sel(3) not-Cons-self2 seq seq-def)
  show  $\neg \text{Ide } (\lambda[M] \# u \# U) \longrightarrow stdz\text{-}insert \lambda[M] (u \# U) * \sim^* \lambda[M] \# u \# U$ 
proof
  have seq  $[u] U \wedge Std U$ 
    using 2 3 Std
    by (metis Cons-eq-appendI Std-conse arr-append-imp-seq neq-Nil-conv
        self-append-conv2 seq seqE)
  thus stdz-insert  $\lambda[M] (u \# U) * \sim^* \lambda[M] \# u \# U$ 
    using M 1 2 3 4 ind1 cong-cons-ideI(1) [of  $\lambda[M] u \# U$ ]
    apply simp
    by (meson cong-transitive seq)
qed
qed
qed
qed
next

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assume  $M: \neg \Lambda.Ide M$ 
have 1:  $stdz\text{-}insert \lambda[M] (u \# U) =$ 
     $\text{map } \Lambda.\text{Lam} (\text{stdz}\text{-}insert M (\Lambda.un\text{-}\text{Lam} u \# \text{map } \Lambda.un\text{-}\text{Lam} U))$ 
using  $M$  by simp
show ?thesis
proof (intro conjI)
  show  $Std (\text{stdz}\text{-}insert \lambda[M] (u \# U))$ 
    by (metis 1  $M$   $Std$   $Std\text{-map-Lam}$   $Std\text{-map-un-Lam}$   $ind2$   $\Lambda.\text{lambda}.\text{disc}(8)$ 
       $list.simps(9)$   $seq$   $seq\text{-}\text{Lam}\text{-}\text{Arr}\text{-}\text{implies}$   $seq\text{-}\text{map}\text{-}\text{un-Lam}$ )
  show  $\neg Ide (\lambda[M] \# u \# U) \longrightarrow stdz\text{-}insert \lambda[M] (u \# U) * \sim^* \lambda[M] \# u \# U$ 
  proof –
    have  $\text{map } \Lambda.\text{Lam} (\text{stdz}\text{-}insert M (\Lambda.un\text{-}\text{Lam} u \# \text{map } \Lambda.un\text{-}\text{Lam} U)) * \sim^*$ 
       $\lambda[M] \# u \# U$ 
    proof –
      have  $\text{map } \Lambda.\text{Lam} (\text{stdz}\text{-}insert M (\Lambda.un\text{-}\text{Lam} u \# \text{map } \Lambda.un\text{-}\text{Lam} U)) * \sim^*$ 
         $\text{map } \Lambda.\text{Lam} (M \# \Lambda.un\text{-}\text{Lam} u \# \text{map } \Lambda.un\text{-}\text{Lam} U)$ 
        by (metis (mono-tags, opaque-lifting) Ide-imp-Ide-hd  $M$   $Std$   $Std\text{-map-un-Lam}$ 
           $cong\text{-}\text{map-Lam}$   $ind2$   $\Lambda.\text{ide}\text{-}\text{char}$   $\Lambda.\text{lambda}.\text{disc}I(2)$ 
           $list.sel(1)$   $list.simps(9)$   $seq$   $seq\text{-}\text{Lam}\text{-}\text{Arr}\text{-}\text{implies}$   $seq\text{-}\text{map-un-Lam}$ )
      thus ?thesis
        using  $u$   $U$ 
        by (simp add: map-idI subset-code(1))
    qed
    thus  $stdz\text{-}insert \lambda[M] (u \# U) * \sim^* \lambda[M] \# u \# U$ 
      using 1 by presburger
    qed
    qed
    qed
    qed
  show  $\bigwedge M N A B U. ?P (\lambda[M] \bullet N) U \implies ?P (\lambda[M] \circ N) ((\lambda[A] \bullet B) \# U)$ 
  proof –
    fix  $M N A B U$ 
    assume  $ind: ?P (\lambda[M] \bullet N) U$ 
    show  $?P (\lambda[M] \circ N) ((\lambda[A] \bullet B) \# U)$ 
    proof (intro impI, elim conjE)
      assume  $seq: seq [\lambda[M] \circ N] ((\lambda[A] \bullet B) \# U)$ 
      assume  $Std: Std ((\lambda[A] \bullet B) \# U)$ 
      have  $MN: \Lambda.\text{Arr} M \wedge \Lambda.\text{Arr} N$ 
      using seq
      by (simp add: seq-char)
    have  $AB: \Lambda.\text{Trg} M = A \wedge \Lambda.\text{Trg} N = B$ 
    proof –
      have 1:  $\Lambda.Ide A \wedge \Lambda.Ide B$ 
      using Std
      by (metis Std.simps(2) Std.simps(3) Lambda.elementary-reduction.simps(5)
         $list.exhaust\text{-sel }$   $\Lambda.sseq\text{-Beta}$ )
    moreover have  $Trgs [\lambda[M] \circ N] = Srcs [\lambda[A] \bullet B]$ 
    using 1 seq seq-char

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by (simp add: Λ.Ide-implies-Arr Srcs-simpΛP)
ultimately show ?thesis
  by (metis Λ.Ide-iff-Src-self Λ.Ide-implies-Arr Λ.Src.simps(5) Srcs-simpΛP
    Λ.Trg.simps(2–3) Trgs-simpΛP Λ.lambda.inject(2) Λ.lambda.sel(3–4)
    last.simps list.sel(1) seq-char seq the-elem-eq)
qed
have 1: stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) = stdz-insert ( $\lambda[M] \bullet N$ ) U
  by auto
show Std (stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U)) ∧
  ( $\neg$  Ide (( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U) →
   stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) *~* ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U)
proof (cases U = [])
  assume U: U = []
  have 1: stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) =
    standard-development ( $\lambda[M] \bullet N$ )
    using U by simp
  show ?thesis
  proof (intro conjI)
    show Std (stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U))
      using 1 Std-standard-development by presburger
    show  $\neg$  Ide (( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U) →
      stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) *~* ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U
    proof (intro impI)
      assume 2:  $\neg$  Ide (( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U)
      have stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) =
        ( $\lambda[\Lambda.Src M] \bullet \Lambda.Src N$ ) # standard-development ( $\Lambda.subst N M$ )
        using 1 MN by simp
      also have ... *~* [ $\lambda[M] \bullet N$ ]
        using MN AB cong-standard-development
        by (metis 1 calculation ΛArr.simps(5) Λ.Ide.simps(5))
      also have [ $\lambda[M] \bullet N$ ] *~* ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U
        using AB MN U Beta-decomp(2) [of M N] by simp
      finally show stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) *~*
        ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) # U
        by blast
    qed
  qed
next
assume U: U ≠ []
have 1: stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) # U) = stdz-insert ( $\lambda[M] \bullet N$ ) U
  using U by simp
have 2: seq [ $\lambda[M] \bullet N$ ] U
  using MN AB U Std Λ.sseq-imp-seq
  apply (intro seqIΛP)
    apply auto
  by fastforce
have 3: Std U
  using Std by fastforce
show ?thesis

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proof (intro conjI)
  show Std (stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) #  $U$ ))
    using 2 3 ind by simp
  show  $\neg Ide((\lambda[M] \circ N) \# (\lambda[A] \bullet B) \# U) \longrightarrow$ 
    stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) #  $U$ ) *~* ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) #  $U$ 
proof
  have stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) #  $U$ ) *~* [ $\lambda[M] \bullet N$ ] @  $U$ 
    by (metis 1 2 3  $\Lambda.Ide.simps(5)$   $U Ide.simps(3)$  append.left-neutral
        append-Cons  $\Lambda.ide-char$  ind list.exhaust)
  also have [ $\lambda[M] \bullet N$ ] @  $U$  *~* ([ $\lambda[M] \circ N$ ] @ [ $\lambda[A] \bullet B$ ]) @  $U$ 
    using MN AB Beta-decomp
    by (meson 2 cong-append cong-reflexive seqE)
  also have ([ $\lambda[M] \circ N$ ] @ [ $\lambda[A] \bullet B$ ]) @  $U$  = ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) #  $U$ 
    by simp
  finally show stdz-insert ( $\lambda[M] \circ N$ ) (( $\lambda[A] \bullet B$ ) #  $U$ ) *~*
    ( $\lambda[M] \circ N$ ) # ( $\lambda[A] \bullet B$ ) #  $U$ 
    by argo
qed
qed
qed
qed
qed
show  $\bigwedge M N u U. (\LambdaArr{M} \wedge \LambdaArr{N} \implies ?P(\LambdaSubst{N}{M})(u \# U)) \implies ?P(\lambda[M] \bullet N)(u \# U)$ 
proof -
  fix M N u U
  assume ind:  $\LambdaArr{M} \wedge \LambdaArr{N} \implies ?P(\LambdaSubst{N}{M})(u \# U)$ 
  show ?P ( $\lambda[M] \bullet N$ ) (u #  $U$ )
  proof (intro impI, elim conjE)
    assume seq: seq [ $\lambda[M] \bullet N$ ] (u #  $U$ )
    assume Std: Std (u #  $U$ )
    have MN:  $\LambdaArr{M} \wedge \LambdaArr{N}$ 
      using seq seq-char by simp
    show Std (stdz-insert ( $\lambda[M] \bullet N$ ) (u #  $U$ )) ∧
      ( $\neg Ide(\Lambda.Beta M N \# u \# U) \longrightarrow$ 
       cong (stdz-insert ( $\lambda[M] \bullet N$ ) (u #  $U$ )) (( $\lambda[M] \bullet N$ ) # u #  $U$ ))
    proof (cases  $\Lambda.Ide(\LambdaSubst{N}{M})$ )
      assume 1:  $\Lambda.Ide(\LambdaSubst{N}{M})$ 
      have 2:  $\neg Ide(u \# U)$ 
        using Std Std-implies-set-subset-elementary-reduction  $\Lambda.elementary-reduction-not-ide$ 
        by force
      have 3: stdz-insert ( $\lambda[M] \bullet N$ ) (u #  $U$ ) = ( $\lambda[\Lambda.Src M] \bullet \Lambda.Src N$ ) # stdz-insert u  $U$ 
        using MN 1 seq seq-char Std stdz-insert-Ide-Std [of  $\LambdaSubst{N}{M}$  u #  $U$ ]
         $\Lambda.Ide-implies-Arr$ 
        by (cases  $U = []$ ) auto
      show ?thesis
    proof (cases  $U = []$ )
      assume U:  $U = []$ 
      have 3: stdz-insert ( $\lambda[M] \bullet N$ ) (u #  $U$ ) =

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 $(\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) \# \text{standard-development } u$ 
using 2 3 U by force
have 4:  $\Lambda.\text{seq } (\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) (\text{hd } (\text{standard-development } u))$ 
proof
  show  $\Lambda.\text{Arr } (\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N)$ 
    using MN by simp
  show  $\Lambda.\text{Arr } (\text{hd } (\text{standard-development } u))$ 
    by (metis 2 Arr-imp-arr-hd Ide.simps(2) Ide-iff-standard-development-empty
         Std Std-consE Std-imp-Arr Std-standard-development U  $\Lambda.\text{arr-char}$ 
          $\Lambda.\text{ide-char}$ )
  show  $\Lambda.\text{Trg } (\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) = \Lambda.\text{Src } (\text{hd } (\text{standard-development } u))$ 
    by (metis 1 2 Ide.simps(2) MN Src-hd-standard-development Std Std-consE
         Trg-last-Src-hd-eqI U  $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-implies-Arr }$   $\Lambda.\text{Src-Subst}$ 
          $\Lambda.\text{Trg.simps}(4)$   $\Lambda.\text{Trg-Src }$   $\Lambda.\text{Trg-Subst }$   $\Lambda.\text{ide-char }$  last-ConsL list.sel(1) seq)
qed
show ?thesis
proof (intro conjI)
  show  $\text{Std } (\text{stdz-insert } (\lambda[M] \bullet N) (u \# U))$ 
proof –
  have  $\Lambda.\text{sseq } (\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) (\text{hd } (\text{standard-development } u))$ 
    using MN 2 4 U  $\Lambda.\text{Ide-Src}$ 
    apply (intro  $\Lambda.\text{sseq-BetaI}$ )
    apply auto
    by (metis Ide.simps(1) Resid.simps(2) Std Std-consE
          Std-standard-development cong-standard-development hd-Cons-tl ide-char
           $\Lambda.\text{sseq-imp-elementary-reduction1 }$  Std.simps(2))
thus ?thesis
  by (metis 3 Std.simps(2–3) Std-standard-development hd-Cons-tl
         $\Lambda.\text{sseq-imp-elementary-reduction1 }$ )
qed
show  $\neg \text{Ide } ((\lambda[M] \bullet N) \# u \# U)$ 
   $\longrightarrow \text{stdz-insert } (\lambda[M] \bullet N) (u \# U) * \sim^* (\lambda[M] \bullet N) \# u \# U$ 
proof
  have  $\text{stdz-insert } (\lambda[M] \bullet N) (u \# U) =$ 
     $[\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ \text{standard-development } u$ 
    using 3 by simp
  also have 5:  $[\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ \text{standard-development } u * \sim^*$ 
     $[\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [u]$ 
proof (intro cong-append)
  show  $\text{seq } [\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] (\text{standard-development } u)$ 
    by (metis 2 3 Ide.simps(2) Ide-iff-standard-development-empty
         Std Std-consE Std-imp-Arr U <Std (stdz-insert ( $\Lambda.\text{Beta } M N$ ) (u # U))>
         arr-append-imp-seq arr-char calculation  $\Lambda.\text{ide-char neq-Nil-conv}$ )
  thus  $[\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] * \sim^* [\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N]$ 
    using cong-reflexive by blast
  show  $\text{standard-development } u * \sim^* [u]$ 
    by (metis 2 Arr.simps(2) Ide.simps(2) Std Std-imp-Arr U
         cong-standard-development  $\Lambda.\text{arr-char }$   $\Lambda.\text{ide-char not-Cons-self2}$ )
qed

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also have  $\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [u] * \sim^*$ 
 $([\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [\Lambda.\text{subst } N M]) @ [u]$ 
proof (intro cong-append)
  show seq  $\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] [u]$ 
    by (metis 5 Con-implies-Arr(1) Ide.simps(1) arr-append-imp-seq
      arr-char ide-char not-Cons-self2)
  show  $[\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] * \sim^* [\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [\Lambda.\text{subst } N M]$ 
    by (metis (full-types) 1 MN Ide-iff-standard-development-empty
      cong-standard-development cong-transitive  $\Lambda.\text{Arr.simps}(5)$   $\Lambda.\text{Arr-Subst}$ 
       $\Lambda.\text{Ide.simps}(5)$  Beta-decomp(1) standard-development.simps(5))
  show  $[u] * \sim^* [u]$ 
    using Resid-Arr-self Std Std-imp-Arr U ide-char by blast
qed
also have  $([\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N] @ [\Lambda.\text{subst } N M]) @ [u] * \sim^* [\lambda[M] \bullet N] @ [u]$ 
  by (metis Beta-decomp(1) MN U Resid-Arr-self cong-append
    ide-char seq-char seq)
also have  $[\lambda[M] \bullet N] @ [u] = (\lambda[M] \bullet N) \# u \# U$ 
  using U by simp
finally show stdz-insert  $(\lambda[M] \bullet N) (u \# U) * \sim^* (\lambda[M] \bullet N) \# u \# U$ 
  by blast
qed
qed
next
assume U:  $U \neq []$ 
have 4: seq  $[u] U$ 
  by (simp add: Std U arrIP arr-append-imp-seq)
have 5: Std U
  using Std by auto
have 6: Std (stdz-insert u U)  $\wedge$ 
  set (stdz-insert u U)  $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
  ( $\neg \text{Ide } (u \# U) \longrightarrow$ 
  cong (stdz-insert u U)  $(u \# U)$ )
proof –
  have seq  $[\Lambda.\text{subst } N M] (u \# U) \wedge \text{Std } (u \# U)$ 
    using MN Std Std-imp-Arr  $\Lambda.\text{Arr-Subst}$ 
    apply (intro conjI seqIΛP)
      apply simp-all
    by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Trg.simps}(4)$  last-ConsL list.sel(1) seq)
  thus ?thesis
    using MN 1 2 3 4 5 ind Std-implies-set-subset-elementary-reduction
      stdz-insert-Ide-Std
    apply simp
    by (meson cong-cons-ideI(1) cong-transitive lambda-calculus.ide-char)
qed
have 7:  $\Lambda.\text{seq } (\lambda[\Lambda.\text{Src } M] \bullet \Lambda.\text{Src } N) (\text{hd } (\text{stdz-insert } u U))$ 
  using MN 1 2 6 Arr-imp-arr-hd Con-implies-Arr(2) ide-char  $\Lambda.\text{arr-char}$ 
    Ide-iff-standard-development-empty Src-hd-eqI Trg-last-Src-hd-eqI
    Trg-last-standard-development  $\Lambda.\text{Ide-implies-Arr}$  seq
  apply (intro  $\Lambda.\text{seqI}_{\Lambda}$ )

```

```

apply simp
apply (metis Ide.simps(1))
by (metis ΛArr.simps(5) ΛIde.simps(5) last.simps standard-development.simps(5))
have 8: seq [λ[ΛSrc M] • ΛSrc N] (stdz-insert u U)
  by (metis 2 6 7 seqIΛP Arr.simps(2) Con-implies-Arr(2)
    Ide.simps(1) ide-char last.simps Λ.seqE Λ.seq-char)
show ?thesis
proof (intro conjI)
  show Std (stdz-insert (λ[M] • N) (u # U))
  proof -
    have Λ.sseq (λ[ΛSrc M] • ΛSrc N) (hd (stdz-insert u U))
      by (metis MN 2 6 7 Λ.Ide-Src Std.elims(2) Ide.simps(1)
        Resid.simps(2) ide-char list.sel(1) Λ.sseq-BetaI
        Λ.sseq-imp-elementary-reduction1)
    thus ?thesis
      by (metis 2 3 6 Std.simps(3) Resid.simps(1) con-char prfx-implies-con
        list.exhaust-sel)
  qed
  show ¬ Ide ((λ[M] • N) # u # U)
    → stdz-insert (λ[M] • N) (u # U) *~* (λ[M] • N) # u # U
  proof
    have stdz-insert (λ[M] • N) (u # U) = [λ[ΛSrc M] • ΛSrc N] @ stdz-insert u U
      using 3 by simp
    also have ... *~* [λ[ΛSrc M] • ΛSrc N] @ u # U
      using MN 2 3 6 8 cong-append
      by (meson cong-reflexive seqE)
    also have [λ[ΛSrc M] • ΛSrc N] @ u # U *~*
      ([λ[ΛSrc M] • ΛSrc N] @ [Λ.subst NM]) @ u # U
      using MN 1 2 6 8 Beta-decomp(1) Std Src-hd-eqI Trg-last-Src-hd-eqI
      ΛArr-Subst Λ.ide-char ide-char
    apply (intro cong-append cong-append-ideI seqIΛP)
      apply auto[2]
      apply metis
      apply auto[4]
      by (metis cong-transitive)
    also have ([λ[ΛSrc M] • ΛSrc N] @ [Λ.subst NM]) @ u # U *~*
      [λ[M] • N] @ u # U
      by (meson MN 2 6 Beta-decomp(1) cong-append prfx-transitive seq)
    also have [λ[M] • N] @ u # U = (λ[M] • N) # u # U
      by simp
    finally show stdz-insert (λ[M] • N) (u # U) *~* (λ[M] • N) # u # U
      by simp
  qed
qed
qed
next
assume 1: ¬ Λ.Ide (Λ.subst NM)
have 2: stdz-insert (λ[M] • N) (u # U) =
  (λ[ΛSrc M] • ΛSrc N) # stdz-insert (Λ.subst NM) (u # U)

```

```

using 1 MN by simp
have 3: seq [Λ.subst N M] (u # U)
  using Λ.Arr-Subst MN seq-char seq by force
have 4: Std (stdz-insert (Λ.subst N M) (u # U)) ∧
  set (stdz-insert (Λ.subst N M) (u # U)) ⊆ {a. Λ.elementary-reduction a} ∧
  stdz-insert (Λ.Subst 0 N M) (u # U) *~* Λ.subst N M # u # U
using 1 3 Std ind MN Ide.simps(3) Λ.ide-char
  Std-implies-set-subset-elementary-reduction
  by presburger
have 5: Λ.seq (λ[Λ.Src M] • Λ.Src N) (hd (stdz-insert (Λ.subst N M) (u # U)))
  using MN 4
  apply (intro Λ.seqIΛ)
    apply simp
  apply (metis Arr-imp-arr-hd Con-implies-Arr(1) Ide.simps(1) ide-char Λ.arr-char)
  using Src-hd-eqI
  by force
show ?thesis
proof (intro conjI)
  show Std (stdz-insert (λ[M] • N) (u # U))
  proof -
    have Λ.sseq (λ[Λ.Src M] • Λ.Src N) (hd (stdz-insert (Λ.subst N M) (u # U)))
      using 5
      by (metis 4 MN Λ.Ide-Src Std.elims(2) Ide.simps(1) Resid.simps(2)
          ide-char list.sel(1) Λ.sseq-BetaI Λ.sseq-imp-elementary-reduction1)
    thus ?thesis
      by (metis 2 4 Std.simps(3) Arr.simps(1) Con-implies-Arr(2)
          Ide.simps(1) ide-char list.exhaust-sel)
  qed
  show ¬ Ide ((λ[M] • N) # u # U)
    → stdz-insert (λ[M] • N) (u # U) *~* (λ[M] • N) # u # U
  proof
    have stdz-insert (λ[M] • N) (u # U) =
      [λ[Λ.Src M] • Λ.Src N] @ stdz-insert (Λ.subst N M) (u # U)
    using 2 by simp
    also have ... *~* [λ[Λ.Src M] • Λ.Src N] @ Λ.subst N M # u # U
    proof (intro cong-append)
      show seq [λ[Λ.Src M] • Λ.Src N] (stdz-insert (Λ.subst N M) (u # U))
        by (metis 4 5 Arr.simps(2) Con-implies-Arr(1) Ide.simps(1) ide-char
            Λ.arr-char Λ.seq-char last-ConsL seqIΛP)
      show [λ[Λ.Src M] • Λ.Src N] *~* [λ[Λ.Src M] • Λ.Src N]
        by (meson MN cong-transitive Λ.Arr-Src Beta-decomp(1))
      show stdz-insert (Λ.subst N M) (u # U) *~* Λ.subst N M # u # U
        using 4 by fastforce
    qed
    also have [λ[Λ.Src M] • Λ.Src N] @ Λ.subst N M # u # U =
      ([λ[Λ.Src M] • Λ.Src N] @ [Λ.subst N M]) @ u # U
    by simp
    also have ... *~* [λ[M] • N] @ u # U
      by (meson Beta-decomp(1) MN cong-append cong-reflexive seqE seq)
  qed

```

```

also have  $\lambda[M] \bullet N @ u \# U = (\lambda[M] \bullet N) \# u \# U$ 
  by simp
finally show stdz-insert ( $\lambda[M] \bullet N$ ) ( $u \# U$ ) *~* ( $\lambda[M] \bullet N$ )  $\# u \# U$ 
  by blast
qed
qed
qed
qed
qed

```

Because of the way the function package processes the pattern matching in the definition of *stdz-insert*, it produces eight separate subgoals for the remainder of the proof, even though these subgoals are all simple consequences of a single, more general fact. We first prove this fact, then use it to discharge the eight subgoals.

```

have *:  $\bigwedge M N u U$ .
   $\llbracket \neg (\Lambda.\text{is-Lam } M \wedge \Lambda.\text{is-Beta } u);$ 
   $\Lambda.\text{Ide } (M \circ N) \implies ?P (hd (u \# U)) (tl (u \# U));$ 
   $\llbracket \neg \Lambda.\text{Ide } (M \circ N);$ 
   $\Lambda.\text{seq } (M \circ N) (hd (u \# U));$ 
   $\Lambda.\text{contains-head-reduction } (M \circ N);$ 
   $\Lambda.\text{Ide } (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-redex } (M \circ N))) \rrbracket$ 
     $\implies ?P (hd (u \# U)) (tl (u \# U));$ 
   $\llbracket \neg \Lambda.\text{Ide } (M \circ N);$ 
   $\Lambda.\text{seq } (M \circ N) (hd (u \# U));$ 
   $\Lambda.\text{contains-head-reduction } (M \circ N);$ 
   $\neg \Lambda.\text{Ide } (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-redex } (M \circ N))) \rrbracket$ 
     $\implies ?P (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-redex } (M \circ N))) (u \# U);$ 
   $\llbracket \neg \Lambda.\text{Ide } (M \circ N);$ 
   $\Lambda.\text{seq } (M \circ N) (hd (u \# U));$ 
   $\neg \Lambda.\text{contains-head-reduction } (M \circ N);$ 
   $\Lambda.\text{contains-head-reduction } (hd (u \# U));$ 
   $\Lambda.\text{Ide } (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-strategy } (M \circ N))) \rrbracket$ 
     $\implies ?P (\Lambda.\text{head-strategy } (M \circ N)) (tl (u \# U));$ 
   $\llbracket \neg \Lambda.\text{Ide } (M \circ N);$ 
   $\Lambda.\text{seq } (M \circ N) (hd (u \# U));$ 
   $\neg \Lambda.\text{contains-head-reduction } (M \circ N);$ 
   $\Lambda.\text{contains-head-reduction } (hd (u \# U));$ 
   $\neg \Lambda.\text{Ide } (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-strategy } (M \circ N))) \rrbracket$ 
     $\implies ?P (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-strategy } (M \circ N))) (tl (u \# U));$ 
   $\llbracket \neg \Lambda.\text{Ide } (M \circ N);$ 
   $\Lambda.\text{seq } (M \circ N) (hd (u \# U));$ 
   $\neg \Lambda.\text{contains-head-reduction } (M \circ N);$ 
   $\neg \Lambda.\text{contains-head-reduction } (hd (u \# U)) \rrbracket$ 
     $\implies ?P M (\text{filter not} \text{Ide } (\text{map } \Lambda.\text{un-App1 } (u \# U)));$ 
   $\llbracket \neg \Lambda.\text{Ide } (M \circ N);$ 
   $\Lambda.\text{seq } (M \circ N) (hd (u \# U));$ 
   $\neg \Lambda.\text{contains-head-reduction } (M \circ N);$ 
   $\neg \Lambda.\text{contains-head-reduction } (hd (u \# U)) \rrbracket$ 
     $\implies ?P N (\text{filter not} \text{Ide } (\text{map } \Lambda.\text{un-App2 } (u \# U))) \rrbracket$ 

```

```

 $\implies ?P(M \circ N)(u \# U)$ 
proof –
  fix  $M N u U$ 
  assume  $ind1: \Lambda.Ide(M \circ N) \implies ?P(hd(u \# U))(tl(u \# U))$ 
  assume  $ind2: [\neg \Lambda.Ide(M \circ N);$ 
     $\Lambda.seq(M \circ N)(hd(u \# U));$ 
     $\Lambda.contains-head-reduction(M \circ N);$ 
     $\Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head-redex(M \circ N)))]$ 
     $\implies ?P(hd(u \# U))(tl(u \# U))$ 
  assume  $ind3: [\neg \Lambda.Ide(M \circ N);$ 
     $\Lambda.seq(M \circ N)(hd(u \# U));$ 
     $\Lambda.contains-head-reduction(M \circ N);$ 
     $\neg \Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head-redex(M \circ N)))]$ 
     $\implies ?P(\Lambda.resid(M \circ N)(\Lambda.head-redex(M \circ N)))(u \# U)$ 
  assume  $ind4: [\neg \Lambda.Ide(M \circ N);$ 
     $\Lambda.seq(M \circ N)(hd(u \# U));$ 
     $\neg \Lambda.contains-head-reduction(M \circ N);$ 
     $\Lambda.contains-head-reduction(hd(u \# U));$ 
     $\Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head-strategy(M \circ N)))]$ 
     $\implies ?P(\Lambda.head-strategy(M \circ N))(tl(u \# U))$ 
  assume  $ind5: [\neg \Lambda.Ide(M \circ N);$ 
     $\Lambda.seq(M \circ N)(hd(u \# U));$ 
     $\neg \Lambda.contains-head-reduction(M \circ N);$ 
     $\Lambda.contains-head-reduction(hd(u \# U));$ 
     $\neg \Lambda.Ide(\Lambda.resid(M \circ N)(\Lambda.head-strategy(M \circ N)))]$ 
     $\implies ?P(\Lambda.resid(M \circ N)(\Lambda.head-strategy(M \circ N)))(tl(u \# U))$ 
  assume  $ind7: [\neg \Lambda.Ide(M \circ N);$ 
     $\Lambda.seq(M \circ N)(hd(u \# U));$ 
     $\neg \Lambda.contains-head-reduction(M \circ N);$ 
     $\neg \Lambda.contains-head-reduction(hd(u \# U))]$ 
     $\implies ?P M(filter notIde(map \Lambda.un-App1(u \# U)))$ 
  assume  $ind8: [\neg \Lambda.Ide(M \circ N);$ 
     $\Lambda.seq(M \circ N)(hd(u \# U));$ 
     $\neg \Lambda.contains-head-reduction(M \circ N);$ 
     $\neg \Lambda.contains-head-reduction(hd(u \# U))]$ 
     $\implies ?P N(filter notIde(map \Lambda.un-App2(u \# U)))$ 
  assume  $*: \neg(\Lambda.is-Lam M \wedge \Lambda.is-Beta u)$ 
  show  $?P(M \circ N)(u \# U)$ 
  proof (intro impI, elim conjE)
    assume  $seq: seq[M \circ N](u \# U)$ 
    assume  $Std: Std(u \# U)$ 
    have  $MN: \LambdaArr{M \wedge \LambdaArr{N}}$ 
    using  $seq-char seq$  by force
    have  $u: \LambdaArr{u}$ 
    using  $Std$ 
    by (meson Std-imp-Arr Arr.simps(2) Con-Arr-self Con-implies-Arr(1)
      Con-initial-left \Lambda.arr-char list.simps(3))
    have  $U \neq [] \implies Arr U$ 
    using  $Std Std-imp-Arr Arr.simps(3)$ 

```

```

by (metis Arr.elims(3) list.discI)
have  $\Lambda.\text{is-App } u \vee \Lambda.\text{is-Beta } u$ 
  using * seq MN u seq-char  $\Lambda.\text{arr-char }$  Srcs-simp $_{\Lambda P}$   $\Lambda.\text{targets-char}_{\Lambda}$ 
    by (cases M; cases u) auto
have **:  $\Lambda.\text{seq } (M \circ N) u$ 
  using Srcs-simp $_{\Lambda P}$  seq-char seq  $\Lambda.\text{seq-def } u$  by force
show Std (stdz-insert (M  $\circ$  N) (u # U))  $\wedge$ 
  ( $\neg \text{Ide } ((M \circ N) \# u \# U)$ 
    $\longrightarrow \text{cong } (\text{stdz-insert } (M \circ N) (u \# U)) ((M \circ N) \# u \# U))$ 
proof (cases  $\Lambda.\text{Ide } (M \circ N)$ )
  assume 1:  $\Lambda.\text{Ide } (M \circ N)$ 
  have MN:  $\Lambda.\text{Arr } M \wedge \Lambda.\text{Arr } N \wedge \Lambda.\text{Ide } M \wedge \Lambda.\text{Ide } N$ 
    using MN 1 by simp
  have 2: stdz-insert (M  $\circ$  N) (u # U) = stdz-insert u U
    using MN 1
    by (simp add: Std seq stdz-insert- $\text{Ide-Std}$ )
  show ?thesis
  proof (cases U = [])
    assume U: U = []
    have 2: stdz-insert (M  $\circ$  N) (u # U) = standard-development u
      using 1 2 U by simp
    show ?thesis
  proof (intro conjI)
    show Std (stdz-insert (M  $\circ$  N) (u # U))
      using 2 Std-standard-development by presburger
    show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
      stdz-insert (M  $\circ$  N) (u # U)  $*\sim*$  (M  $\circ$  N) # u # U
      by (metis 1 2 Ide.simps(2) U cong-cons- $\text{ideI}(1)$  cong-standard-development
          ide-backward-stable ide-char  $\Lambda.\text{ide-char }$  prfx-transitive seq u)
  qed
  next
  assume U: U  $\neq$  []
  have 2: stdz-insert (M  $\circ$  N) (u # U) = stdz-insert u U
    using 1 2 U by simp
  have 3: seq [u] U
    by (simp add: Std U arrIP arr-append-imp-seq)
  have 4: Std (stdz-insert u U)  $\wedge$ 
    set (stdz-insert u U)  $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
    ( $\neg \text{Ide } (u \# U) \longrightarrow \text{cong } (\text{stdz-insert } u U) (u \# U))$ 
    using MN 3 Std ind1 Std-implies-set-subset-elementary-reduction
    by (metis 1 Std.simps(3) U list.sel(1) list.sel(3) standardize.cases)
  show ?thesis
  proof (intro conjI)
    show Std (stdz-insert (M  $\circ$  N) (u # U))
      by (metis 1 2 3 Std Std.simps(3) U ind1 list.exhaustsel list.sel(1,3))
    show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
      stdz-insert (M  $\circ$  N) (u # U)  $*\sim*$  (M  $\circ$  N) # u # U
  proof
    assume 5:  $\neg \text{Ide } ((M \circ N) \# u \# U)$ 

```

```

have stdz-insert (M o N) (u # U) *~* u # U
  using 1 2 4 5 seq-char seq by force
also have u # U *~* [M o N] @ u # U
  using 1 Ide.simps(2) cong-append-ideI(1) ide-char seq by blast
also have [M o N] @ (u # U) = (M o N) # u # U
  by simp
finally show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
  by blast
qed
qed
qed
next
assume 1:  $\neg \Lambda.\text{Ide} (M \circ N)$ 
show ?thesis
proof (cases  $\Lambda.\text{contains-head-reduction} (M \circ N)$ )
  assume 2:  $\Lambda.\text{contains-head-reduction} (M \circ N)$ 
  show ?thesis
  proof (cases  $\Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N))$ )
    assume 3:  $\Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N))$ 
    have 4:  $\neg \text{Ide} (u \# U)$ 
      by (metis Std Std-implies-set-subset-elementary-reduction in-mono
           $\Lambda.\text{elementary-reduction-not-ide}$  list.set-intros(1) mem-Collect-eq
          set- $\text{Ide}$ -subset- $\text{ide}$ )
    have 5: stdz-insert (M o N) (u # U) =  $\Lambda.\text{head-redex} (M \circ N) \# \text{stdz-insert} u U$ 
      using MN 1 2 3 4 ** by auto
    show ?thesis
    proof (cases U = [])
      assume U: U = []
      have u:  $\Lambda.\text{Arr} u \wedge \neg \Lambda.\text{Ide} u$ 
        using 4 U u by force
      have 5: stdz-insert (M o N) (u # U) =
         $\Lambda.\text{head-redex} (M \circ N) \# \text{standard-development} u$ 
        using 5 U by simp
      show ?thesis
      proof (intro conjI)
        show Std (stdz-insert (M o N) (u # U))
        proof -
          have  $\Lambda.\text{sseq} (\Lambda.\text{head-redex} (M \circ N)) (hd (\text{standard-development} u))$ 
          proof -
            have  $\Lambda.\text{seq} (\Lambda.\text{head-redex} (M \circ N)) (hd (\text{standard-development} u))$ 
            proof
              show  $\Lambda.\text{Arr} (\Lambda.\text{head-redex} (M \circ N))$ 
              using MN  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Arr-head-redex}$  by presburger
              show  $\Lambda.\text{Arr} (hd (\text{standard-development} u))$ 
              using Arr-imp-arr-hd Ide-iff-standard-development-empty
              Std-standard-development u
              by force
            show  $\Lambda.\text{Trg} (\Lambda.\text{head-redex} (M \circ N)) = \Lambda.\text{Src} (hd (\text{standard-development} u))$ 
            proof -

```

```

have  $\Lambda.Trg (\Lambda.head-redex (M \circ N)) =$ 
     $\Lambda.Trg ((M \circ N) \setminus \Lambda.head-redex (M \circ N))$ 
  by (metis 3 MN  $\Lambda.Con\text{-}Arr\text{-}head\text{-}redex \Lambda.Src\text{-}resid$ 
       $\Lambda.Arr.simps(4) \Lambda.Ide\text{-}iff\text{-}Src\text{-}self \Lambda.Ide\text{-}iff\text{-}Trg\text{-}self$ 
       $\Lambda.Ide\text{-}implies\text{-}Arr)$ 
also have ... =  $\Lambda.Src u$ 
  using MN
  by (metis Trg-last-Src-hd-eqI Trg-last-eqI head-redex-decomp
       $\Lambda.Arr.simps(4) last\text{-}ConsL last\text{-}appendR list.sel(1)$ 
       $not\text{-}Cons\text{-}self2 seq)$ 
also have ... =  $\Lambda.Src (hd (standard\text{-}development u))$ 
  using ** 2 3 u MN Src-hd-standard-development [of u] by metis
  finally show ?thesis by blast
qed
qed
thus ?thesis
  by (metis 2 u MN  $\Lambda.Arr.simps(4) Ide\text{-}iff\text{-}standard\text{-}development\text{-}empty$ 
      development.simps(2) development-standard-development
       $\Lambda.head\text{-}redex\text{-}is\text{-}head\text{-}reduction list.exhaust\text{-}sel$ 
       $\Lambda.sseq\text{-}head\text{-}reductionI)$ 
qed
thus ?thesis
  by (metis 5 Ide-iff-standard-development-empty Std.simps(3)
      Std-standard-development list.exhaust u)
qed
show  $\neg Ide ((M \circ N) \# u \# U) \longrightarrow$ 
    stdz-insert (M \circ N) (u \# U) *~* (M \circ N) \# u \# U
proof
  have stdz-insert (M \circ N) (u \# U) =
    [ $\Lambda.head\text{-}redex (M \circ N)$ ] @ standard-development u
  using 5 by simp
  also have ... *~* [ $\Lambda.head\text{-}redex (M \circ N)$ ] @ [u]
  using u cong-standard-development [of u] cong-append
  by (metis 2 5 Ide-iff-standard-development-empty Std-imp-Arr
      <Std (stdz-insert (M \circ N) (u \# U))>
      arr-append-imp-seq arr-char calculation cong-standard-development
      cong-transitive  $\Lambda.Arr\text{-}head\text{-}redex \Lambda.contains\text{-}head\text{-}reduction\text{-}iff$ 
      list.distinct(1))
  also have [ $\Lambda.head\text{-}redex (M \circ N)$ ] @ [u] *~*
    ([ $\Lambda.head\text{-}redex (M \circ N)$ ] @ [(M \circ N) \setminus  $\Lambda.head\text{-}redex (M \circ N)$ ]) @ [u]
  proof -
    have [ $\Lambda.head\text{-}redex (M \circ N)$ ] *~*
      [ $\Lambda.head\text{-}redex (M \circ N)$ ] @ [(M \circ N) \setminus  $\Lambda.head\text{-}redex (M \circ N)$ ]
    by (metis (no-types, lifting) 1 3 MN Arr-iff-Con-self Ide.simps(2)
        Resid.simps(2) arr-append-imp-seq arr-char cong-append-ideI(4)
        cong-transitive head-redex-decomp ide-backward-stable ide-char
         $\Lambda.Arr.simps(4) \Lambda.ide\text{-}char not\text{-}Cons\text{-}self2)$ 
  thus ?thesis
    using MN U u seq

```

```

    by (meson cong-append head-redex-decomp  $\Lambda$ .Arr.simps(4) prfx-transitive)
qed
also have  $([\Lambda.\text{head-redex } (M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)]) @ [u] * \sim^*$ 
 $[M \circ N] @ [u]$ 
by (metis  $\Lambda$ .Arr.simps(4) MN U Resid-Arr-self cong-append ide-char
seq-char head-redex-decomp seq)
also have  $[M \circ N] @ [u] = (M \circ N) \# u \# U$ 
using U by simp
finally show stdz-insert  $(M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
by blast
qed
qed
next
assume  $U: U \neq []$ 
have 6:  $Std (\text{stdz-insert } u U) \wedge$ 
 $\text{set } (\text{stdz-insert } u U) \subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
 $\text{cong } (\text{stdz-insert } u U) (u \# U)$ 
proof -
have seq  $[u] U$ 
by (simp add: Std arrI_P arr-append-imp-seq)
moreover have Std U
using Std Std.elims(2) U by blast
ultimately show ?thesis
using ind2 ** 1 2 3 4 Std-implies-set-subset-elementary-reduction
by force
qed
show ?thesis
proof (intro conjI)
show Std (stdz-insert  $(M \circ N) (u \# U)$ )
proof -
have  $\Lambda.\text{sseq } (\Lambda.\text{head-redex } (M \circ N)) (hd (\text{stdz-insert } u U))$ 
proof -
have  $\Lambda.\text{seq } (\Lambda.\text{head-redex } (M \circ N)) (hd (\text{stdz-insert } u U))$ 
proof
show  $\Lambda.\text{Arr } (\Lambda.\text{head-redex } (M \circ N))$ 
using MN  $\Lambda$ .Arr-head-redex by force
show  $\Lambda.\text{Arr } (hd (\text{stdz-insert } u U))$ 
using 6
by (metis Arr-imp-arr-hd Con-implies-Arr(2) Ide.simps(1) ide-char
 $\Lambda$ .arr-char)
show  $\Lambda.\text{Trg } (\Lambda.\text{head-redex } (M \circ N)) = \Lambda.\text{Src } (hd (\text{stdz-insert } u U))$ 
proof -
have  $\Lambda.\text{Trg } (\Lambda.\text{head-redex } (M \circ N)) =$ 
 $\Lambda.\text{Trg } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N))$ 
by (metis 3  $\Lambda$ .Arr-not-Nil  $\Lambda$ .Ide-iff-Src-self
 $\Lambda$ .Ide-iff-Trg-self  $\Lambda$ .Ide-implies-Arr  $\Lambda$ .Src-resid)
also have ... =  $\Lambda.\text{Trg } (M \circ N)$ 
by (metis 1 MN Trg-last-eqI Trg-last-standard-development)

```

$\text{cong-standard-development head-redex-decomp } \Lambda.\text{Arr.simps}(4)$   
 $\text{last-snoc})$   
**also have** ... =  $\Lambda.\text{Src}(\text{hd}(\text{stdz-insert } u \ U))$   
**by** (metis \*\* 6 Src-hd-eqI  $\Lambda.\text{seqE}_\Lambda$  list.sel(1))  
**finally show** ?thesis **by** blast  
**qed**  
**qed**  
**thus** ?thesis  
**by** (metis 2 6 MN  $\Lambda.\text{Arr.simps}(4)$  Std.elims(1) Ide.simps(1)  
*Resid.simps(2) ide-char  $\Lambda.\text{head-redex-is-head-reduction}$*   
*list.sel(1)  $\Lambda.\text{sseq-head-reductionI}$   $\Lambda.\text{sseq-imp-elementary-reduction1}$* )  
**qed**  
**thus** ?thesis  
**by** (metis 5 6 Std.simps(3) Arr.simps(1) Con-implies-Arr(1)  
*con-char prfx-implies-con list.exhaust-sel*)  
**qed**  
**show**  $\neg \text{Ide}((M \circ N) \ # \ u \ # \ U) \longrightarrow$   
 $\text{stdz-insert}(M \circ N)(u \ # \ U) * \sim^* (M \circ N) \ # \ u \ # \ U$   
**proof**  
**have** stdz-insert  $(M \circ N)(u \ # \ U) =$   
 $[\Lambda.\text{head-redex}(M \circ N)] @ \text{stdz-insert } u \ U$   
**using** 5 **by** simp  
**also have** 7:  $[\Lambda.\text{head-redex}(M \circ N)] @ \text{stdz-insert } u \ U * \sim^*$   
 $[\Lambda.\text{head-redex}(M \circ N)] @ u \ # \ U$   
**using** 6 cong-append [of  $[\Lambda.\text{head-redex}(M \circ N)]$ ] stdz-insert  $u \ U$   
 $[\Lambda.\text{head-redex}(M \circ N)] u \ # \ U$   
**by** (metis 2 5 Arr.simps(1) Resid.simps(2) Std-imp-Arr  
*<Std (stdz-insert (M ∘ N) (u # U))>*  
*arr-append-imp-seq arr-char calculation cong-standard-development*  
*cong-transitive ide-implies-arr  $\Lambda.\text{Arr-head-redex}$*   
 *$\Lambda.\text{contains-head-reduction-iff}$  list.distinct(1))  
**also have**  $[\Lambda.\text{head-redex}(M \circ N)] @ u \ # \ U * \sim^*$   
 $([\Lambda.\text{head-redex}(M \circ N)] @$   
 $[(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)]) @ u \ # \ U$   
**proof** –  
**have**  $[\Lambda.\text{head-redex}(M \circ N)] * \sim^*$   
 $[\Lambda.\text{head-redex}(M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)]$   
**by** (metis 2 3 head-redex-decomp  $\Lambda.\text{Arr-head-redex}$   
 $\Lambda.\text{Con-Arr-head-redex}$   $\Lambda.\text{Ide-iff-Src-self}$   $\Lambda.\text{Ide-implies-Arr}$   
 $\Lambda.\text{Src-resid}$   $\Lambda.\text{contains-head-reduction-iff}$   $\Lambda.\text{resid-Arr-self}$   
*prfx-decomp prfx-transitive*)  
**moreover have** seq  $[\Lambda.\text{head-redex}(M \circ N)](u \ # \ U)$   
**by** (metis 7 arr-append-imp-seq cong-implies-coterminal coterminalE  
*list.distinct(1))*  
**ultimately show** ?thesis  
**using** 3 ide-char cong-symmetric cong-append  
**by** (meson 6 prfx-transitive)  
**qed**  
**also have**  $([\Lambda.\text{head-redex}(M \circ N)] @$*

```


$$[(M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)] @ u \# U * \sim^*$$


$$[M \circ N] @ u \# U$$

by (meson 6 MN  $\Lambda.\text{Arr.simps}(4)$  cong-append prfx-transitive
      head-redex-decomp seq)
also have  $[M \circ N] @ (u \# U) = (M \circ N) \# u \# U$ 
by simp
finally show stdz-insert  $(M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
by blast
qed
qed
qed
next
assume  $\beta: \neg \Lambda.\text{Ide } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N))$ 
have  $4: \text{stdz-insert } (M \circ N) (u \# U) =$ 
       $\Lambda.\text{head-redex } (M \circ N) \#$ 
       $\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)) (u \# U)$ 
using MN 1 2 3 ** by auto
have  $5: \text{Std } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)) (u \# U)) \wedge$ 
       $\text{set } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)) (u \# U))$ 
       $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
       $\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)) (u \# U) * \sim^*$ 
       $(M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N) \# u \# U$ 
proof –
have seq  $[(M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)] (u \# U)$ 
by (metis (full-types) MN arr-append-imp-seq cong-implies-coterminal
      coterminalE head-redex-decomp  $\Lambda.\text{Arr.simps}(4)$  not-Cons-self2
      seq seq-def targets-append)
thus ?thesis
using ind3 1 2 3 ** Std Std-implies-set-subset-elementary-reduction
by auto
qed
show ?thesis
proof (intro conjI)
show Std (stdz-insert  $(M \circ N) (u \# U)$ )
proof –
have  $\Lambda.\text{sseq } (\Lambda.\text{head-redex } (M \circ N))$ 
       $(\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)) (u \# U)))$ 
proof –
have  $\Lambda.\text{seq } (\Lambda.\text{head-redex } (M \circ N))$ 
       $(\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N)) (u \# U)))$ 
using MN 5  $\Lambda.\text{Arr-head-redex}$ 
by (metis (no-types, lifting) Arr-imp-arr-hd Con-implies-Arr(2)
      Ide.simps(1) Src-hd-eqI ide-char  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Arr-head-redex}$ 
       $\Lambda.\text{Con-Arr-head-redex } \Lambda.\text{Src-resid } \Lambda.\text{arr-char } \Lambda.\text{seq-char list.sel}(1)$ )
moreover have  $\Lambda.\text{elementary-reduction}$ 
       $(\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-redex } (M \circ N))$ 
       $(u \# U)))$ 
using 5
by (metis Arr.simps(1) Con-implies-Arr(2) Ide.simps(1) hd-in-set

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```

ide-char mem-Collect-eq subset-code(1)
ultimately show ?thesis
  using MN 2 Λ.head-redex-is-head-reduction Λ.sseq-head-reductionI
  by simp
qed
thus ?thesis
  by (metis 4 5 Std.simps(3) Arr.simps(1) Con-implies-Arr(2)
      Ide.simps(1) ide-char list.exhaust-sel)
qed
show ¬ Ide ((M o N) # u # U) —>
  stdz-insert (M o N) (u # U) *~* (M o N) # u # U
proof
  have stdz-insert (M o N) (u # U) =
    [Λ.head-redex (M o N)] @
    stdz-insert ((M o N) \ Λ.head-redex (M o N)) (u # U)
  using 4 by simp
  also have ... *~* [Λ.head-redex (M o N)] @
    ((M o N) \ Λ.head-redex (M o N) # u # U)
  proof (intro cong-append)
    show seq [Λ.head-redex (M o N)]
      (stdz-insert ((M o N) \ Λ.head-redex (M o N)) (u # U))
    by (metis 4 5 Ide.simps(1) Resid.simps(1) Std-imp-Arr
        <Std (stdz-insert (M o N) (u # U))> arrIP arr-append-imp-seq
        calculation ide-char list.discI)
    show [Λ.head-redex (M o N)] *~* [Λ.head-redex (M o N)]
      using MN Λ.cong-reflexive ide-char Λ.Arr-head-redex by force
    show stdz-insert ((M o N) \ Λ.head-redex (M o N)) (u # U) *~* (M o N) \
      Λ.head-redex (M o N) # u # U
      using 5 by fastforce
  qed
  also have ([Λ.head-redex (M o N)] @
    ((M o N) \ Λ.head-redex (M o N) # u # U)) =
    ([Λ.head-redex (M o N)] @
    [(M o N) \ Λ.head-redex (M o N)]) @ (u # U)
  by simp
  also have ([Λ.head-redex (M o N)] @
    [(M o N) \ Λ.head-redex (M o N)]) @ u # U *~*
    [M o N] @ u # U
  by (meson ** cong-append cong-reflexive seqE head-redex-decomp
      seq Λ.seq-char)
  also have [M o N] @ (u # U) = (M o N) # u # U
  by simp
  finally show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
  by blast
qed
qed
qed
next
assume 2: ¬ Λ.contains-head-reduction (M o N)

```

```

show ?thesis
proof (cases  $\Lambda.\text{contains-head-reduction } u$ )
  assume  $\beta: \Lambda.\text{contains-head-reduction } u$ 
  have  $B: [\Lambda.\text{head-strategy } (M \circ N)] @ [(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)] * \sim^*$ 
     $[M \circ N] @ [u]$ 
  proof -
    have  $[M \circ N] @ [u] * \sim^* [\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N]$ 
    proof -
      have  $\Lambda.\text{is-internal-reduction } (M \circ N)$ 
        using 2 **  $\Lambda.\text{is-internal-reduction-iff}$  by blast
      moreover have  $\Lambda.\text{is-head-reduction } u$ 
      proof -
        have  $\Lambda.\text{elementary-reduction } u$ 
          by (metis Std lambda-calculus.sseq-imp-elementary-reduction1
              list.discI list.sel(1) reduction-paths.Std.elims(2))
        thus ?thesis
          using  $\Lambda.\text{is-head-reduction-if } \beta$  by force
      qed
      moreover have  $\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \setminus (M \circ N) = u$ 
        using  $\Lambda.\text{resid-head-strategy-Src}(1)$  ** calculation(1–2) by fastforce
      moreover have  $[M \circ N] * \lesssim^* [\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N]$ 
        using MN  $\Lambda.\text{prfx-implies-con ide-char } \Lambda.\text{Arr-head-strategy}$ 
           $\Lambda.\text{Src-head-strategy } \Lambda.\text{prfx-Join}$ 
        by force
      ultimately show ?thesis
        using u  $\Lambda.\text{Cointial iff-Con } \Lambda.\text{Arr-not-Nil } \Lambda.\text{resid-Join}$ 
           $\text{prfx-decomp } [\text{of } M \circ N \Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N]$ 
        by simp
    qed
    also have  $[\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N] * \sim^*$ 
       $[\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))] @$ 
       $[(M \circ N) \setminus \Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))]$ 
  proof -
    have  $\beta: \Lambda.\text{composite-of}$ 
       $(\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)))$ 
       $((M \circ N) \setminus \Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)))$ 
       $(\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N)$ 
    using  $\Lambda.\text{Arr-head-strategy } MN \Lambda.\text{Src-head-strategy } \Lambda.\text{join-of-Join}$ 
       $\Lambda.\text{join-of-def}$ 
    by force
    hence  $\text{composite-of}$ 
       $[\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))]$ 
       $[(M \circ N) \setminus \Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))]$ 
       $[\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N]$ 
    using  $\text{composite-of-single-single}$ 
    by (metis (no-types, lifting)  $\Lambda.\text{Con-sym Ide.simps}(2)$  Resid.simps(3)
        composite-ofI  $\Lambda.\text{composite-ofE } \Lambda.\text{con-char ide-char } \Lambda.\text{prfx-implies-con}$ )
    hence  $[\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))] @$ 
       $[(M \circ N) \setminus \Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))] * \sim^*$ 

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[ $\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N)) \sqcup M \circ N$ ]
using  $\Lambda.\text{resid-Join}$ 
by (meson 3 composite-of-single-single composite-of-unq-up-to-cong)
thus ?thesis by blast
qed
also have [ $\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))$ ] @
[( $M \circ N$ ) \  $\Lambda.\text{head-strategy } (\Lambda.\text{Src } (M \circ N))$ ] *~*
[ $\Lambda.\text{head-strategy } (M \circ N)$ ] @
[( $M \circ N$ ) \  $\Lambda.\text{head-strategy } (M \circ N)$ ]
by (metis (full-types)  $\Lambda.\text{Arr.simps}(4)$  MN prfx-transitive calculation
 $\Lambda.\text{head-strategy-Src}$ )
finally show ?thesis by blast
qed
show ?thesis
proof (cases  $\Lambda.\text{Ide } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N))$ )
assume 4:  $\Lambda.\text{Ide } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N))$ 
have A: [ $\Lambda.\text{head-strategy } (M \circ N)$ ] *~*
[ $\Lambda.\text{head-strategy } (M \circ N)$ ] @ [( $M \circ N$ ) \  $\Lambda.\text{head-strategy } (M \circ N)$ ]
by (meson 4 B Con-implies- $\text{Arr}(1)$  Ide.simps(2) arr-append-imp-seq arr-char
con-char cong-append-ideI(2) ide-char  $\Lambda.\text{ide-char not-Cons-self2}$ 
prfx-implies-con)
have 5:  $\neg \text{Ide } (u \# U)$ 
by (meson 3 Ide-consE  $\Lambda.\text{ide-backward-stable}$   $\Lambda.\text{subs-head-redex}$ 
 $\Lambda.\text{subs-implies-prfx}$   $\Lambda.\text{contains-head-reduction-iff}$ 
 $\Lambda.\text{elementary-reduction-head-redex}$   $\Lambda.\text{elementary-reduction-not-ide}$ )
have 6: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
stdz-insert ( $\Lambda.\text{head-strategy } (M \circ N)$ )  $U$ 
using 1 2 3 4 5 * ** < $\Lambda.\text{is-App } u \vee \Lambda.\text{is-Beta } u$ >
apply (cases  $u$ )
apply simp-all
apply blast
by (cases  $M$ ) auto
show ?thesis
proof (cases  $U = []$ )
assume  $U: U = []$ 
have  $u: \neg \Lambda.\text{Ide } u$ 
using 5  $U$  by simp
have 6: stdz-insert ( $M \circ N$ ) ( $u \# U$ ) =
standard-development ( $\Lambda.\text{head-strategy } (M \circ N)$ )
using 6  $U$  by simp
show ?thesis
proof (intro conjI)
show Std (stdz-insert ( $M \circ N$ ) ( $u \# U$ ))
using 6 Std-standard-development by presburger
show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
stdz-insert ( $M \circ N$ ) ( $u \# U$ ) *~* ( $M \circ N$ )  $\# u \# U$ 
proof
have stdz-insert ( $M \circ N$ ) ( $u \# U$ ) *~* [ $\Lambda.\text{head-strategy } (M \circ N)$ ]
using 4 6 cong-standard-development ** 1 2 3  $\Lambda.\text{Arr.simps}(4)$ 

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 $\Lambda$ .Arr-head-strategy  $MN$   $\Lambda$ .ide-backward-stable  $\Lambda$ .ide-char
by metis
also have [ $\Lambda$ .head-strategy ( $M \circ N$ )]  $*\sim*$  [ $M \circ N$ ] @ [u]
  by (meson A B prfx-transitive)
also have [ $M \circ N$ ] @ [u] = ( $M \circ N$ ) # u # U
  using U by auto
finally show stdz-insert ( $M \circ N$ ) (u # U)  $*\sim*$  ( $M \circ N$ ) # u # U
  by blast
qed
qed
next
assume  $U: U \neq []$ 
have 7: seq [ $\Lambda$ .head-strategy ( $M \circ N$ )] U
proof
  show Arr [ $\Lambda$ .head-strategy ( $M \circ N$ )]
    by (meson A Con-implies-Arr(1) con-char prfx-implies-con)
  show Arr U
    using  $U \setminus U \neq [] \implies Arr U$  by presburger
  show  $\Lambda$ .Trg (last [ $\Lambda$ .head-strategy ( $M \circ N$ )]) =  $\Lambda$ .Src (hd U)
    by (metis A B Std Std-conse Trg-last-eqI U  $\Lambda$ .seqE $_{\Lambda}$   $\Lambda$ .sseq-imp-seq last-snoc)
qed
have 8: Std (stdz-insert ( $\Lambda$ .head-strategy ( $M \circ N$ )) U)  $\wedge$ 
  set (stdz-insert ( $\Lambda$ .head-strategy ( $M \circ N$ )) U)
   $\subseteq \{a. \Lambda$ .elementary-reduction a $\} \wedge$ 
  stdz-insert ( $\Lambda$ .head-strategy ( $M \circ N$ )) U  $*\sim*$ 
   $\Lambda$ .head-strategy ( $M \circ N$ ) # U
proof -
  have Std U
    by (metis Std Std.simps(3) U list.exhaust-sel)
  moreover have  $\neg Ide (\Lambda$ .head-strategy ( $M \circ N$ ) # tl (u # U))
    using 1 4  $\Lambda$ .ide-backward-stable by blast
  ultimately show ?thesis
    using ind4 ** 1 2 3 4 7 Std-implies-set-subset-elementary-reduction
    by force
qed
show ?thesis
proof (intro conjI)
  show Std (stdz-insert ( $M \circ N$ ) (u # U))
    using 6 8 by presburger
  show  $\neg Ide ((M \circ N) \# u \# U) \longrightarrow$ 
    stdz-insert ( $M \circ N$ ) (u # U)  $*\sim*$  ( $M \circ N$ ) # u # U
  proof
    have stdz-insert ( $M \circ N$ ) (u # U) =
      stdz-insert ( $\Lambda$ .head-strategy ( $M \circ N$ )) U
      using 6 by simp
    also have ...  $*\sim*$  [ $\Lambda$ .head-strategy ( $M \circ N$ )] @ U
      using 8 by simp
    also have [ $\Lambda$ .head-strategy ( $M \circ N$ )] @ U  $*\sim*$  ([ $M \circ N$ ] @ [u]) @ U
      by (meson A B U 7 Resid-Arr-self cong-append ide-char

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prfx-transitive <U ≠ [] ==> Arr U>
also have ([M o N] @ [u]) @ U = (M o N) # u # U
  by simp
  finally show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
    by blast
qed
qed
qed
next
assume 4: ¬ Λ.Ide ((M o N) \ Λ.head-strategy (M o N))
show ?thesis
proof (cases U = [])
  assume U: U = []
  have 5: stdz-insert (M o N) (u # U) =
    Λ.head-strategy (M o N) #
      standard-development ((M o N) \ Λ.head-strategy (M o N))
  using 1 2 3 4 U ** <Λ.is-App u ∨ Λ.is-Beta u>
  apply (cases u)
    apply simp-all
    apply blast
    apply (cases M)
      apply simp-all
    by blast+
  show ?thesis
  proof (intro conjI)
    show Std (stdz-insert (M o N) (u # U))
    proof -
      have Λ.sseq (Λ.head-strategy (M o N))
        (hd (standard-development
          ((M o N) \ Λ.head-strategy (M o N))))
    proof -
      have Λ.seq (Λ.head-strategy (M o N))
        (hd (standard-development
          ((M o N) \ Λ.head-strategy (M o N))))
      using MN ** 4 Λ.Arr-head-strategy Arr-imp-arr-hd
        Ide-iff-standard-development-empty Src-hd-standard-development
        Std-imp-Arr Std-standard-development Λ.Arr-resid
        Λ.Src-head-strategy Λ.Src-resid
      by force
      moreover have Λ.elementary-reduction
        (hd (standard-development
          ((M o N) \ Λ.head-strategy (M o N))))
      by (metis 4 Ide-iff-standard-development-empty MN Std-consE
        Std-standard-development hd-Cons-tl Λ.Arr.simps(4)
        Λ.Arr-resid Λ.Con-head-strategy
        Λ.sseq-imp-elementary-reduction1 Std.simps(2))
      ultimately show ?thesis
      using Λ.sseq-head-reductionI Std-standard-development
      by (metis ** 2 3 Std U Λ.internal-reduction-preserves-no-head-redex

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 $\Lambda.\text{is-internal-reduction-iff } \Lambda.\text{Src-head-strategy}$ 
 $\Lambda.\text{elementary-reduction-not-ide } \Lambda.\text{head-strategy-Src}$ 
 $\Lambda.\text{head-strategy-is-elementary } \Lambda.\text{ide-char } \Lambda.\text{is-head-reduction-char}$ 
 $\Lambda.\text{is-head-reduction-if } \Lambda.\text{seqE}_{\Lambda} \text{ Std.simps}(2)$ 
qed
thus ?thesis
by (metis 4 5 MN Ide-iff-standard-development-empty
      Std-standard-development  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Arr-resid}$ 
       $\Lambda.\text{Con-head-strategy list.exhaust-sel Std.simps}(3)$ )
qed
show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
      stdz-insert  $(M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof
  have stdz-insert  $(M \circ N) (u \# U) =$ 
     $[\Lambda.\text{head-strategy } (M \circ N)] @$ 
    standard-development  $((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N))$ 
  using 5 by simp
  also have ...  $* \sim^* [\Lambda.\text{head-strategy } (M \circ N)] @$ 
     $[(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)]$ 
proof (intro cong-append)
  show 6: seq  $[\Lambda.\text{head-strategy } (M \circ N)]$ 
    (standard-development
      $((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)))$ 
  using 4 Ide-iff-standard-development-empty MN
  <Std (stdz-insert  $(M \circ N) (u \# U))>$ 
  arr-append-imp-seq arr-char calculation  $\Lambda.\text{Arr-head-strategy}$ 
   $\Lambda.\text{Arr-resid lambda-calculus.Src-head-strategy}$ 
  by force
  show  $[\Lambda.\text{head-strategy } (M \circ N)] * \sim^* [\Lambda.\text{head-strategy } (M \circ N)]$ 
  by (meson MN 6 cong-reflexive seqE)
  show standard-development  $((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) * \sim^*$ 
     $[(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)]$ 
  using 4 MN cong-standard-development  $\Lambda.\text{Arr.simps}(4)$ 
   $\Lambda.\text{Arr-resid } \Lambda.\text{Con-head-strategy}$ 
  by presburger
qed
also have  $[\Lambda.\text{head-strategy } (M \circ N)] @$ 
   $[(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)] * \sim^*$ 
   $[M \circ N] @ [u]$ 
using B by blast
also have  $[M \circ N] @ [u] = (M \circ N) \# u \# U$ 
using U by simp
finally show stdz-insert  $(M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
  by blast
qed
qed
next
assume U:  $U \neq []$ 
have 5: stdz-insert  $(M \circ N) (u \# U) =$ 

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 $\Lambda.\text{head-strategy} (M \circ N) \#$ 
 $\quad \text{stdz-insert } (\Lambda.\text{resid } (M \circ N) (\Lambda.\text{head-strategy } (M \circ N))) U$ 
using 1 2 3 4 U * ** < $\Lambda.\text{is-App}$  u  $\vee \Lambda.\text{is-Beta}$  u>
apply (cases u)
  apply simp-all
  apply blast
apply (cases M)
  apply simp-all
by blast+
have 6:  $\text{Std} (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U) \wedge$ 
   $\quad \text{set } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U)$ 
   $\subseteq \{a. \Lambda.\text{elementary-reduction } a\} \wedge$ 
   $\quad \text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U * \sim^*$ 
   $\quad (M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N) \# U$ 
proof –
  have seq  $[(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)] U$ 
  proof
    show Arr  $[(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)]$ 
    by (simp add: MN  $\Lambda.\text{Arr-resid}$   $\Lambda.\text{Con-head-strategy}$ )
    show Arr U
      using U <math>\langle U \neq [] \implies \text{Arr } U \rangle \text{ by blast}
    show  $\Lambda.\text{Trg} (\text{last } [(M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)]) = \Lambda.\text{Src} (\text{hd } U)$ 
      by (metis (mono-tags, lifting) B U Std Std-conse Trg-last-eqI
         $\Lambda.\text{seq-char}$   $\Lambda.\text{sseq-imp-seq}$  last-ConsL last-snoc)
  qed
  thus ?thesis
    using ind5 Std-implies-set-subset-elementary-reduction
    by (metis ** 1 2 3 4 Std Std.simps(3) Arr-iff-Con-self Ide.simps(3)
      Resid.simps(1) seq-char  $\Lambda.\text{ide-char}$  list.exhaust-sel list.sel(1,3))
  qed
  show ?thesis
  proof (intro conjI)
    show Std (stdz-insert (M  $\circ$  N) (u  $\#$  U))
    proof –
      have  $\Lambda.\text{sseq } (\Lambda.\text{head-strategy } (M \circ N))$ 
       $(\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U))$ 
    proof –
      have  $\Lambda.\text{seq } (\Lambda.\text{head-strategy } (M \circ N))$ 
       $(\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U))$ 
    proof
      show  $\Lambda.\text{Arr } (\Lambda.\text{head-strategy } (M \circ N))$ 
      using MN  $\Lambda.\text{Arr-head-strategy}$  by force
      show  $\Lambda.\text{Arr } (\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U))$ 
      using 6
      by (metis Ide.simps(1) Resid.simps(2) Std-conse hd-Cons-tl ide-char)
      show  $\Lambda.\text{Trg } (\Lambda.\text{head-strategy } (M \circ N)) =$ 
         $\Lambda.\text{Src } (\text{hd } (\text{stdz-insert } ((M \circ N) \setminus \Lambda.\text{head-strategy } (M \circ N)) U))$ 
      using 6
      by (metis MN Src-hd-eqI Arr.simps(4) Con-head-strategy

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 $\Lambda.\text{Src-resid list.sel}(1))$ 
qed
moreover have  $\Lambda.\text{is-head-reduction} (\Lambda.\text{head-strategy} (M \circ N))$ 
using ** 1 2 3  $\Lambda.\text{Src-head-strategy} \Lambda.\text{head-strategy-is-elementary}$ 
 $\Lambda.\text{head-strategy-Src} \Lambda.\text{is-head-reduction-char} \Lambda.\text{seq-char}$ 
by (metis  $\Lambda.\text{Src-head-redex} \Lambda.\text{contains-head-reduction-iff}$ 
 $\Lambda.\text{head-redex-is-head-reduction}$ 
 $\Lambda.\text{internal-reduction-preserves-no-head-redex}$ 
 $\Lambda.\text{is-internal-reduction-iff})$ 
moreover have  $\Lambda.\text{elementary-reduction}$ 
 $(\text{hd} (\text{stdz-insert} ((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U))$ 
by (metis 6 Ide.simps(1) Resid.simps(2) ide-char hd-in-set
in-mono mem-Collect-eq)
ultimately show ?thesis
using  $\Lambda.\text{sseq-head-reductionI}$  by blast
qed
thus ?thesis
by (metis 5 6 Std.simps(3) Arr.simps(1) Con-implies-Arr(1)
con-char prfx-implies-con list.exhaust-sel)
qed
show  $\neg \text{Ide} ((M \circ N) \# u \# U) \longrightarrow$ 
 $\text{stdz-insert} (M \circ N) (u \# U) * \sim^* (M \circ N) \# u \# U$ 
proof
have  $\text{stdz-insert} (M \circ N) (u \# U) =$ 
 $[\Lambda.\text{head-strategy} (M \circ N)] @$ 
 $\text{stdz-insert} ((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U$ 
using 5 by simp
also have 10: ...  $* \sim^* [\Lambda.\text{head-strategy} (M \circ N)] @$ 
 $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N) \# U)$ 
proof (intro cong-append)
show 10: seq  $[\Lambda.\text{head-strategy} (M \circ N)]$ 
 $(\text{stdz-insert} ((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U)$ 
by (metis 5 6 Ide.simps(1) Resid.simps(1) Std-imp-Arr
Std (stdz-insert (M \circ N) (u \# U)) arr-append-imp-seq
arr-char calculation ide-char list.distinct(1))
show  $[\Lambda.\text{head-strategy} (M \circ N)] * \sim^* [\Lambda.\text{head-strategy} (M \circ N)]$ 
using MN 10 cong-reflexive by blast
show stdz-insert  $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)) U * \sim^*$ 
 $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N) \# U)$ 
using 6 by auto
qed
also have 11:  $[\Lambda.\text{head-strategy} (M \circ N)] @$ 
 $((M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N) \# U) =$ 
 $([\Lambda.\text{head-strategy} (M \circ N)] @$ 
 $[(M \circ N) \setminus \Lambda.\text{head-strategy} (M \circ N)]) @ U$ 
by simp
also have ...  $* \sim^* ([M \circ N] @ [u]) @ U)$ 
proof -
have seq  $([\Lambda.\text{head-strategy} (M \circ N)] @$ 

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[(M o N) \ \Lambda.head-strategy (M o N)] U
by (metis U 10 11 append-is-Nil-conv arr-append-imp-seq
    cong-implies-coterminalE not-Cons-self2)
thus ?thesis
  using B cong-append cong-reflexive by blast
qed
also have ([M o N] @ [u]) @ U = (M o N) # u # U
  by simp
finally show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
  by blast
qed
qed
qed
qed
next
assume 3: \Lambda.contains-head-reduction u
have u: \LambdaArr u \wedge \Lambda.is-App u \wedge \neg \Lambda.contains-head-reduction u
  using 3 \langle \Lambda.is-App u \vee \Lambda.is-Beta u \rangle \Lambda.is-Beta-def u by force
have 5: \neg \Lambda.Ide u
  by (metis Std Std.simps(2) Std.simps(3) \Lambda.elementary-reduction-not-ide
      \Lambda.ide-char neq-Nil-conv \Lambda.sseq-imp-elementary-reduction1)
show ?thesis
proof -
  have 4: stdz-insert (M o N) (u # U) =
    map (\lambda X. \Lambda.App X (\Lambda.Src N))
      (stdz-insert M (filter notIde (map \Lambda.un-App1 (u # U)))) @
    map (\Lambda.App (\Lambda.Trig (\Lambda.un-App1 (last (u # U))))) @
      (stdz-insert N (filter notIde (map \Lambda.un-App2 (u # U))))
  using u MN 1 2 3 5 *** \langle \Lambda.is-App u \vee \Lambda.is-Beta u \rangle
  apply (cases u)
    apply simp-all
  apply (cases U = [])
    apply simp-all
    by blast+
  have ***: set U \subseteq Collect \Lambda.is-App
    using u 5 Std seq-App-Std-implies by blast
  have X: Std (filter notIde (map \Lambda.un-App1 (u # U)))
    by (metis *** Std Std-filter-map-un-App1 insert-subset list.simps(15)
        mem-Collect-eq u)
  have Y: Std (filter notIde (map \Lambda.un-App2 (u # U)))
    by (metis *** u Std Std-filter-map-un-App2 insert-subset list.simps(15)
        mem-Collect-eq)
  have A: \neg \Lambda.un-App1 ` set (u # U) \subseteq Collect \Lambda.Ide ==>
    Std (stdz-insert M (filter notIde (map \Lambda.un-App1 (u # U)))) \wedge
    set (stdz-insert M (filter notIde (map \Lambda.un-App1 (u # U)))) \subseteq {a. \Lambda.elementary-reduction a} \wedge
    stdz-insert M (filter notIde (map \Lambda.un-App1 (u # U))) *~*
    M \# filter notIde (map \Lambda.un-App1 (u # U))
proof -

```

```

assume *:  $\neg \Lambda.un-App1 \cdot set(u \# U) \subseteq Collect \Lambda.Ide$ 
have seq [M] (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))
proof
  show Arr [M]
    using MN by simp
  show Arr (filter notIde (map  $\Lambda.un-App1 (u \# U)$ ))
    by (metis (mono-tags, lifting) * Std-imp-Arr X empty-filter-conv
         list.set-map mem-Collect-eq subset-code(1))
  show  $\Lambda.Trg (last [M]) = \Lambda.Src (hd (filter notIde (map \Lambda.un-App1 (u \# U))))$ 
  proof –
    have  $\Lambda.Trg (last [M]) = \Lambda.Src (hd (map \Lambda.un-App1 (u \# U)))$ 
      using ** u by fastforce
    also have ... =  $\Lambda.Src (hd (filter notIde (map \Lambda.un-App1 (u \# U))))$ 
    proof –
      have Arr (map  $\Lambda.un-App1 (u \# U)$ )
        using u ***
      by (metis Arr-map-un-App1 Std Std-imp-Arr insert-subset
            list.simps(15) mem-Collect-eq neq-Nil-conv)
      moreover have  $\neg Ide (map \Lambda.un-App1 (u \# U))$ 
        by (metis * Collect-cong  $\Lambda.ide-char$  list.set-map set-Ide-subset-ide)
      ultimately show ?thesis
        using Src-hd-eqI cong-filter-notIde by blast
    qed
    finally show ?thesis by blast
  qed
qed
moreover have  $\neg Ide (M \# filter notIde (map \Lambda.un-App1 (u \# U)))$ 
  using *
  by (metis (no-types, lifting) *** Arr-map-un-App1 Std Std-imp-Arr
        Arr.simps(1) Ide.elims(2) Resid-Arr-Ide-ind ide-char
        seq-char calculation(1) cong-filter-notIde filter-notIde-Ide
        insert-subset list.discI list.sel(3) list.simps(15) mem-Collect-eq u)
  ultimately show ?thesis
  by (metis X 1 2 3 ** ind7 Std-implies-set-subset-elementary-reduction
       list.sel(1))
qed
have B:  $\neg \Lambda.un-App2 \cdot set(u \# U) \subseteq Collect \Lambda.Ide \implies$ 
   $Std (stdz-insert N (filter notIde (map \Lambda.un-App2 (u \# U)))) \wedge$ 
   $set (stdz-insert N (filter notIde (map \Lambda.un-App2 (u \# U))))$ 
   $\subseteq \{a. \Lambda.elementary-reduction a\} \wedge$ 
   $stdz-insert N (filter notIde (map \Lambda.un-App2 (u \# U))) * \sim *$ 
   $N \# filter notIde (map \Lambda.un-App2 (u \# U))$ 
proof –
  assume **:  $\neg \Lambda.un-App2 \cdot set(u \# U) \subseteq Collect \Lambda.Ide$ 
  have seq [N] (filter notIde (map  $\Lambda.un-App2 (u \# U)$ ))
  proof
    show Arr [N]
      using MN by simp
    show Arr (filter ( $\lambda u. \neg \Lambda.Ide u$ ) (map  $\Lambda.un-App2 (u \# U)$ ))

```

```

by (metis (mono-tags, lifting) ** Std-imp-Arr Y empty-filter-conv
    list.set-map mem-Collect-eq subset-code(1))
show  $\Lambda$ .Trg (last [N]) =  $\Lambda$ .Src (hd (filter notIde (map  $\Lambda$ .un-App2 (u # U))))
proof -
  have  $\Lambda$ .Trg (last [N]) =  $\Lambda$ .Src (hd (map  $\Lambda$ .un-App2 (u # U)))
  by (metis u seq Trg-last-Src-hd-eqI  $\Lambda$ .Src.simps(4)
        $\Lambda$ .Trg.simps(3)  $\Lambda$ .is-App-def  $\Lambda$ .lambda.sel(4) last-ConsL
       list.discI list.map-sel(1) list.sel(1))
  also have ... =  $\Lambda$ .Src (hd (filter notIde (map  $\Lambda$ .un-App2 (u # U))))
  proof -
    have Arr (map  $\Lambda$ .un-App2 (u # U))
    using u ***
    by (metis Arr-map-un-App2 Std Std-imp-Arr list.distinct(1)
         mem-Collect-eq set-ConsD subset-code(1))
    moreover have  $\neg$  Ide (map  $\Lambda$ .un-App2 (u # U))
    by (metis ** Collect-cong  $\Lambda$ .ide-char list.set-map set-Ide-subset-ide)
    ultimately show ?thesis
      using Src-hd-eqI cong-filter-notIde by blast
  qed
  finally show ?thesis by blast
  qed
qed
moreover have  $\Lambda$ .seq ( $M \circ N$ ) u
  by (metis u Srcs-simp $_{\Lambda P}$  Arr.simps(2) Trgs.simps(2) seq-char
       list.sel(1) seq  $\Lambda$ .seqI(1)  $\Lambda$ .sources-char $_{\Lambda}$ )
moreover have  $\neg$  Ide ( $N \# filter$  notIde (map  $\Lambda$ .un-App2 (u # U)))
  using u *
  by (metis (no-types, lifting) *** Arr-map-un-App2 Std Std-imp-Arr
       Arr.simps(1) Ide.elims(2) Resid-Arr-Ide-ind ide-char
       seq-char calculation(1) cong-filter-notIde filter-notIde-Ide
       insert-subset list.discI list.sel(3) list.simps(15) mem-Collect-eq)
ultimately show ?thesis
  using * 1 2 3 Y ind8 Std-implies-set-subset-elementary-reduction
  by simp
qed
show ?thesis
proof (cases  $\Lambda$ .un-App1 ` set (u # U)  $\subseteq$  Collect  $\Lambda$ .Ide;
        cases  $\Lambda$ .un-App2 ` set (u # U)  $\subseteq$  Collect  $\Lambda$ .Ide)
  show  $\llbracket \Lambda$ .un-App1 ` set (u # U)  $\subseteq$  Collect  $\Lambda$ .Ide;
         $\Lambda$ .un-App2 ` set (u # U)  $\subseteq$  Collect  $\Lambda$ .Ide  $\rrbracket$ 
     $\implies$  ?thesis
proof -
  assume *:  $\Lambda$ .un-App1 ` set (u # U)  $\subseteq$  Collect  $\Lambda$ .Ide
  assume **:  $\Lambda$ .un-App2 ` set (u # U)  $\subseteq$  Collect  $\Lambda$ .Ide
  have False
  using u 5 * ** Ide-iff-standard-development-empty
  by (metis  $\Lambda$ .Ide.simps(4) image-subset-iff  $\Lambda$ .lambda.collapse(3)
       list.set-intros(1) mem-Collect-eq)
thus ?thesis by blast

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qed
show  $\llbracket \Lambda.un-App1 \cdot set (u \# U) \subseteq Collect \Lambda.Ide;$ 
       $\neg \Lambda.un-App2 \cdot set (u \# U) \subseteq Collect \Lambda.Ide \rrbracket$ 
       $\implies ?thesis$ 
proof -
  assume *:  $\Lambda.un-App1 \cdot set (u \# U) \subseteq Collect \Lambda.Ide$ 
  assume **:  $\neg \Lambda.un-App2 \cdot set (u \# U) \subseteq Collect \Lambda.Ide$ 
  have 6:  $\Lambda.Trg (\Lambda.un-App1 (last (u \# U))) = \Lambda.Trg M$ 
  proof -
    have  $\Lambda.Trg M = \Lambda.Src (hd (map \Lambda.un-App1 (u \# U)))$ 
    by (metis u seq Trg-last-Src-hd-eqI hd-map \Lambda.Src.simps(4) \Lambda.Trg.simps(3)
        \Lambda.is-App-def \Lambda.lambda.sel(3) last-ConsL list.discI list.sel(1))
    also have ... =  $\Lambda.Trg (last (map \Lambda.un-App1 (u \# U)))$ 
    proof -
      have 6:  $Ide (map \Lambda.un-App1 (u \# U))$ 
      using * *** u Std Std-imp-Arr Ide-char ide-char Arr-map-un-App1
      by (metis (mono-tags, lifting) Collect-cong insert-subset
          \Lambda.ide-char list.distinct(1) list.set-map list.simps(15)
          mem-Collect-eq)
      hence  $Src (map \Lambda.un-App1 (u \# U)) = Trg (map \Lambda.un-App1 (u \# U))$ 
      using Ide-imp-Src-eq-Trg by blast
      thus ?thesis
      using 6 Ide-implies-Arr by force
    qed
    also have ... =  $\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))$ 
    by (simp add: last-map)
    finally show ?thesis by simp
  qed
  have filter notIde (map \Lambda.un-App1 (u \# U)) = []
  using * by (simp add: subset-eq)
  hence 4: stdz-insert ( $M \circ N$ ) (u \# U) =
    map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ) @
    map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
    (stdz-insert  $N$  (filter notIde (map \Lambda.un-App2 (u \# U))))
  using u 4 5 * ** Ide-iff-standard-development-empty MN
  by simp
  show ?thesis
  proof (intro conjI)
    have Std (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ) @
              map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
              (stdz-insert  $N$  (filter notIde (map \Lambda.un-App2 (u \# U)))))
    proof (intro Std-append)
      show Std (map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ))
      using Std-map-App1 Std-standard-development MN \Lambda.Ide-Src
      by force
      show Std (map ( $\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))$ )
                  (stdz-insert  $N$  (filter notIde (map \Lambda.un-App2 (u \# U)))))
      using ** B MN 6 Std-map-App2 \Lambda.Ide-Trg by presburger
      show map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development  $M$ ) = [] ∨
    qed
  qed

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```

map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

  (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) = [] ∨
Λ.sseq (last (map (λX. X o Λ.Src N) (standard-development M)))
  (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

    (stdz-insert N (filter notIde
      (map Λ.un-App2 (u # U))))))

proof (cases Λ.Ide M)
  show Λ.Ide M ==> ?thesis
    using Ide-iff-standard-development-empty MN by blast
  assume M: ¬ Λ.Ide M
  have Λ.sseq (last (map (λX. X o Λ.Src N) (standard-development M)))
    (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

      (stdz-insert N (filter notIde
        (map Λ.un-App2 (u # U))))))

proof –
  have last (map (λX. X o Λ.Src N) (standard-development M)) =
    Λ.App (last (standard-development M)) (Λ.Src N)
    using M
    by (simp add: Ide-iff-standard-development-empty MN last-map)
  moreover have hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

    (stdz-insert N (filter notIde
      (map Λ.un-App2 (u # U)))))) =
    Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))
    (hd (stdz-insert N (filter notIde
      (map Λ.un-App2 (u # U))))))
    by (metis ** B Ide.simps(1) Resid.simps(2) hd-map ide-char)
  moreover
  have Λ.sseq (Λ.App (last (standard-development M)) (Λ.Src N))
  ...
proof –
  have Λ.elementary-reduction (last (standard-development M))
  using M MN Std-standard-development
    Ide-iff-standard-development-empty last-in-set
    mem-Collect-eq set-standard-development subsetD
  by metis
  moreover have Λ.elementary-reduction
    (hd (stdz-insert N
      (filter notIde (map Λ.un-App2 (u # U)))))

  using ** B
  by (metis Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
    ide-char in-mono list.setsel(1) mem-Collect-eq)
  moreover have Λ.Trg (last (standard-development M)) =
    Λ.Trg (Λ.un-App1 (last (u # U)))
  using M MN 6 Trg-last-standard-development by presburger
  moreover have Λ.Src N =
    Λ.Src (hd (stdz-insert N
      (filter notIde (map Λ.un-App2 (u # U)))))

  by (metis ** B Src-hd-eqI list.sel(1))
  ultimately show ?thesis

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    by simp
qed
ultimately show ?thesis by simp
qed
thus ?thesis by blast
qed
qed
thus Std (stdz-insert (M o N) (u # U))
  using 4 by simp
show ∼ Ide ((M o N) # u # U) →
  stdz-insert (M o N) (u # U) *~* (M o N) # u # U
proof
  show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
  proof (cases Λ.Ide M)
    assume M: Λ.Ide M
    have stdz-insert (M o N) (u # U) =
      map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) +
      (stdz-insert N (filter notIde (map Λ.un-App2 (u # U))))
    using 4 M MN Ide-iff-standard-development-empty by simp
    also have ... *~* (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) +
      (N # filter notIde (map Λ.un-App2 (u # U))))
    proof –
      have Λ.Ide (Λ.Trg (Λ.un-App1 (last (u # U)))) +
        using M 6 Λ.Ide-Trg Λ.Ide-implies-Arr by fastforce
      thus ?thesis
        using *** B u cong-map-App1 by blast
    qed
    also have map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) +
      (N # filter notIde (map Λ.un-App2 (u # U))) =
      map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) +
      (filter notIde (N # map Λ.un-App2 (u # U)))
    using 1 M by force
    also have map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) +
      (filter notIde (N # map Λ.un-App2 (u # U))) *~*
      map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) +
      (N # map Λ.un-App2 (u # U))
    proof –
      have Arr (N # map Λ.un-App2 (u # U))
      proof
        show Λ.arr N
        using MN by blast
        show Arr (map Λ.un-App2 (u # U))
        using *** u Std Arr-map-un-App2
        by (metis Std-imp-Arr insert-subset list.distinct(1)
            list.simps(15) mem-Collect-eq)
        show Λ.trg N = Src (map Λ.un-App2 (u # U))
        using u ‹Λ.seq (M o N) u› Λ.seq-char Λ.is-App-def by auto
      qed
      moreover have ∼ Ide (N # map Λ.un-App2 (u # U))

```

```

    using 1 M by force
  moreover have  $\Lambda.Ide(\Lambda.Trg(\Lambda.un-App1(last(u \# U))))$ 
    using M 6  $\Lambda.Ide$ - $Trg$   $\Lambda.Ide$ -implies-Arr by presburger
    ultimately show ?thesis
      using cong-filter-not $Ide$  cong-map- $App1$  by blast
qed
also have map ( $\Lambda.App(\Lambda.Trg(\Lambda.un-App1(last(u \# U))))$ )
  ( $N \# map \Lambda.un-App2(u \# U)$ ) =
  map ( $\Lambda.App M$ ) ( $N \# map \Lambda.un-App2(u \# U)$ )
using M MN  $\langle\Lambda.Trg(\Lambda.un-App1(last(u \# U))) = \Lambda.Trg M\rangle$ 
 $\Lambda.Ide$ -iff- $Trg$ -self
by force
also have ... = ( $M \circ N$ ) # map ( $\Lambda.App M$ ) (map  $\Lambda.un-App2(u \# U)$ )
  by simp
also have ... = ( $M \circ N$ ) # u # U
proof -
  have Arr (u # U)
    using Std Std-imp- $Arr$  by blast
  moreover have set (u # U)  $\subseteq$  Collect  $\Lambda.is$ - $App$ 
    using *** u by simp
  moreover have  $\Lambda.un-App1 u = M$ 
    by (metis * u M seq Trg-last-Src-hd-eqI  $\Lambda.Ide$ -iff-Src-self
         $\Lambda.Ide$ -iff- $Trg$ -self  $\Lambda.Ide$ -implies-Arr  $\Lambda.Src.simps(4)$ 
         $\Lambda.Trg.simps(3)$   $\Lambda.lambda.collapse(3)$   $\Lambda.lambda.sel(3)$ 
        last.simps list.distinct(1) list.sel(1) list.set-intros(1)
        list.set-map list.simps(9) mem-Collect-eq standardize.cases
        subset-iff)
  moreover have  $\Lambda.un-App1`set(u \# U) \subseteq \{M\}$ 
proof -
  have Ide (map  $\Lambda.un-App1(u \# U)$ )
    using * *** Std Std-imp- $Arr$  Arr-map-un- $App1$ 
    by (metis Collect-cong Ide-char calculation(1-2)  $\Lambda.ide$ -char
        list.set-map)
  thus ?thesis
    by (metis calculation(3) hd-map list.discI list.sel(1)
        list.set-map set-Ide-subset-single-hd)
qed
ultimately show ?thesis
  using M map- $App$ -map-un- $App2$  by blast
qed
finally show ?thesis by blast
next
assume M:  $\neg \Lambda.Ide M$ 
have stdz-insert ( $M \circ N$ ) (u # U) =
  map ( $\lambda X. X \circ \Lambda.Src N$ ) (standard-development M) @
  map ( $\lambda X. \Lambda.Trg M \circ X$ )
  (stdz-insert N (filter not $Ide$  (map  $\Lambda.un-App2(u \# U)$ )))
using 4 6 by simp
also have ...  $*\sim*$  [M o  $\Lambda.Src N$ ] @ [ $\Lambda.Trg M \circ N$ ] @

```

```

map (λX. Λ.Trg M o X)
(filter notIde (map Λ.un-App2 (u # U)))

proof (intro cong-append)
show map (λX. X o Λ.Src N) (standard-development M) *~*
[M o Λ.Src N]
using MN M cong-standard-development Λ.Ide-Src
cong-map-App2 [of Λ.Src N standard-development M [M]]
by simp
show map (λX. Λ.Trg M o X)
(stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) *~*
[Λ.Trg M o N] @
map (λX. Λ.Trg M o X)
(filter notIde (map Λ.un-App2 (u # U)))

proof –
have map (λX. Λ.Trg M o X)
(stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) *~*
map (λX. Λ.Trg M o X)
(N # filter notIde (map Λ.un-App2 (u # U)))
using ** B MN cong-map-App1 lambda-calculus.Ide-Trg
by presburger
also have map (λX. Λ.Trg M o X)
(N # filter notIde (map Λ.un-App2 (u # U))) =
[Λ.Trg M o N] @
map (λX. Λ.Trg M o X)
(filter notIde (map Λ.un-App2 (u # U)))
by simp
finally show ?thesis by blast
qed
show seq (map (λX. X o Λ.Src N) (standard-development M))
(map (λX. Λ.Trg M o X)
(stdz-insert N (filter notIde
(map Λ.un-App2 (u # U)))))

using MN M ** B cong-standard-development [of M]
by (metis Nil-is-append-conv Resid.simps(2) Std-imp-Arr
`Std (stdz-insert (M o N) (u # U))` arr-append-imp-seq
arr-char calculation complete-development-Ide-iff
complete-development-def list.map-disc-iff development.simps(1))

qed
also have [M o Λ.Src N] @ [Λ.Trg M o N] @
map (λX. Λ.Trg M o X)
(filter notIde (map Λ.un-App2 (u # U))) =
([M o Λ.Src N] @ [Λ.Trg M o N]) @
map (λX. Λ.Trg M o X)
(filter notIde (map Λ.un-App2 (u # U)))
by simp
also have ([M o Λ.Src N] @ [Λ.Trg M o N]) @
map (λX. Λ.Trg M o X)
(filter notIde (map Λ.un-App2 (u # U))) *~*
([M o Λ.Src N] @ [Λ.Trg M o N]) @

```

```

 $\text{map } (\lambda X. \Lambda.\text{Trg } M \circ X) (\text{map } \Lambda.\text{un-App2 } (u \# U))$ 
proof (intro cong-append)
  show  $\text{seq } ([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N])$ 
     $(\text{map } (\lambda X. \Lambda.\text{Trg } M \circ X)$ 
     $(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U))))$ 
proof
  show  $\text{Arr } ([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N])$ 
    by (simp add: MN)
  show  $9: \text{Arr } (\text{map } (\lambda X. \Lambda.\text{Trg } M \circ X)$ 
     $(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U))))$ 
proof -
  have  $\text{Arr } (\text{map } \Lambda.\text{un-App2 } (u \# U))$ 
  using ***  $u \text{ Arr-map-un-App2}$ 
  by (metis Std Std-imp-Arr list.distinct(1) mem-Collect-eq
set-ConsD subset-code(1))
  moreover have  $\neg \text{Ide } (\text{map } \Lambda.\text{un-App2 } (u \# U))$ 
  using **
  by (metis Collect-cong Λ.ide-char list.set-map
set-Ide-subset-ide)
  ultimately show ?thesis
  using cong-filter-notIde
  by (metis Arr-map-App2 Con-implies-Arr(2) Ide.simps(1)
MN ide-char Λ.Ide-Trg)
qed
show  $\Lambda.\text{Trg } (\text{last } ([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N])) =$ 
   $\Lambda.\text{Src } (\text{hd } (\text{map } (\lambda X. \Lambda.\text{Trg } M \circ X)$ 
   $(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U))))$ 
proof -
  have  $\Lambda.\text{Trg } (\text{last } ([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Trg } M \circ N])) =$ 
     $\Lambda.\text{Trg } M \circ \Lambda.\text{Trg } N$ 
  using MN by auto
  also have ... =  $\Lambda.\text{Src } u$ 
  using Trg-last-Src-hd-eqI seq by force
  also have ... =  $\Lambda.\text{Src } (\Lambda.\text{Trg } M \circ \Lambda.\text{un-App2 } u)$ 
  using MN ⟨Λ.App (Λ.Trг M) (Λ.Trг N) = Λ.Src u⟩ u by auto
  also have  $8: \dots = \Lambda.\text{Trg } M \circ \Lambda.\text{Src } (\Lambda.\text{un-App2 } u)$ 
  using MN by simp
  also have  $7: \dots = \Lambda.\text{Trg } M \circ$ 
     $\Lambda.\text{Src } (\text{hd } (\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U))))$ 
  using u 5 list.simps(9) cong-filter-notIde
⟨filter notIde (map Λ.un-App1 (u # U)) = []⟩
  by auto
  also have ... =  $\Lambda.\text{Src } (\text{hd } (\text{map } (\lambda X. \Lambda.\text{Trg } M \circ X)$ 
     $(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U))))$ 
by (metis 7 8 9 Arr.simps(1) hd-map Λ.Src.simps(4)
Λ.lambda.sel(4) list.simps(8))

```

```

finally show  $\Lambda.$ Trg (last ([ $M \circ \Lambda.$ Src  $N$ ] @ [ $\Lambda.$ Trg  $M \circ N$ ])) =
 $\Lambda.$ Src (hd (map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ )
 $\quad$  (filter notIde
 $\quad$  (map  $\Lambda.$ un-App2 ( $u \# U$ )))))

by blast
qed
qed
show seq [ $M \circ \Lambda.$ Src  $N$ ] [ $\Lambda.$ Trg  $M \circ N$ ]
using  $MN$  by force
show [ $M \circ \Lambda.$ Src  $N$ ]  $*\sim^*$  [ $M \circ \Lambda.$ Src  $N$ ]
using  $MN$ 
by (meson head-redex-decomp  $\Lambda.$ Arr.simps(4)  $\Lambda.$ Arr-Src
 $\quad$  prfx-transitive)
show [ $\Lambda.$ Trg  $M \circ N$ ]  $*\sim^*$  [ $\Lambda.$ Trg  $M \circ N$ ]
using  $MN$ 
by (meson <seq [ $M \circ \Lambda.$ Src  $N$ ] [ $\Lambda.$ Trg  $M \circ N$ ], cong-reflexive seqE)
show map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ )
 $\quad$  (filter notIde (map  $\Lambda.$ un-App2 ( $u \# U$ )))  $*\sim^*$ 
 $\quad$  map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ ) (map  $\Lambda.$ un-App2 ( $u \# U$ )))
proof -
have Arr (map  $\Lambda.$ un-App2 ( $u \# U$ )))
using ***  $u$  Arr-map-un-App2
by (metis Std Std-imp-Arr list.distinct(1) mem-Collect-eq
 $\quad$  set-ConsD subset-code(1))
moreover have  $\neg$   Ide (map  $\Lambda.$ un-App2 ( $u \# U$ )))
using **
by (metis Collect-cong  $\Lambda.$ ide-char list.set-map
 $\quad$  set-Ide-subset-ide)
ultimately show ?thesis
using  $M MN$  cong-filter-notIde cong-map-App1  $\Lambda.$ Ide-Trg
by presburger
qed
qed
also have ([ $M \circ \Lambda.$ Src  $N$ ] @ [ $\Lambda.$ Trg  $M \circ N$ ]) @
 $\quad$  map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ ) (map  $\Lambda.$ un-App2 ( $u \# U$ )))  $*\sim^*$ 
 $[M \circ N]$  @  $u \# U$ 
proof (intro cong-append)
show seq ([ $M \circ \Lambda.$ Src  $N$ ] @ [ $\Lambda.$ Trg  $M \circ N$ ])
 $\quad$  (map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ ) (map  $\Lambda.$ un-App2 ( $u \# U$ ))))
by (metis Nil-is-append-conv Nil-is-map-conv arr-append-imp-seq
 $\quad$  calculation cong-implies-coterminal coterminalE
 $\quad$  list.distinct(1))
show [ $M \circ \Lambda.$ Src  $N$ ] @ [ $\Lambda.$ Trg  $M \circ N$ ]  $*\sim^*$  [ $M \circ N$ ]
using  $MN$   $\Lambda.$ resid-Arr-self  $\Lambda.$ Arr-not-Nil  $\Lambda.$ Ide-Trg ide-char by simp
show map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ ) (map  $\Lambda.$ un-App2 ( $u \# U$ )))  $*\sim^*$   $u \# U$ 
proof -
have map ( $\lambda X.$   $\Lambda.$ Trg  $M \circ X$ ) (map  $\Lambda.$ un-App2 ( $u \# U$ )) =  $u \# U$ 
proof (intro map-App-map-un-App2)
show Arr ( $u \# U$ )

```

```

    using Std Std-imp-Arr by blast
show set (u # U) ⊆ Collect Λ.is-App
    using *** u by auto
show Λ.Ide (Λ.Trg M)
    using MN Λ.Ide-Trg by blast
show Λ.un-App1 ` set (u # U) ⊆ {Λ.Trg M}
proof -
    have Λ.un-App1 u = Λ.Trg M
        using * u seq seq-char
        apply (cases u)
            apply simp-all
        by (metis Trg-last-Src-hd-eqI Λ.Ide-iff-Src-self
            Λ.Src-Src Λ.Src-Trg Λ.Src-eq-iff(2) Λ.Trg.simps(3)
            last-ConsL list.sel(1) seq u)
    moreover have Ide (map Λ.un-App1 (u # U))
        using * Std Std-imp-Arr Arr-map-un-App1
        by (metis Collect-cong Ide-char
            `Arr (u # U)` `set (u # U)` ⊆ Collect Λ.is-App
            Λ.ide-char list.set-map)
    ultimately show ?thesis
        using set-Ide-subset-single-hd by force
qed
qed
thus ?thesis
    by (simp add: Resid-Arr-self Std ide-char)
qed
qed
also have [M o N] @ u # U = (M o N) # u # U
    by simp
finally show ?thesis by blast
qed
qed
qed
qed
show [[¬ Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide;
    Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide]]
    ==> ?thesis
proof -
    assume *: ¬ Λ.un-App1 ` set (u # U) ⊆ Collect Λ.Ide
    assume **: Λ.un-App2 ` set (u # U) ⊆ Collect Λ.Ide
    have 10: filter notIde (map Λ.un-App2 (u # U)) = []
        using ** by (simp add: subset-eq)
    hence 4: stdz-insert (M o N) (u # U) =
        map (λX. X o Λ.Src N)
            (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
            map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
            (standard-development N)
    using u 4 5 * ** Ide-iff-standard-development-empty MN
    by simp

```

```

have 6:  $\Lambda.\text{Ide}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ 
  using *** u Std Std-imp-Arr
  by (metis Arr-imp-arr-last in-mono  $\Lambda.\text{Arr.simps}(4)$   $\Lambda.\text{Ide-Trg}$   $\Lambda.\text{arr-char}$ 
     $\Lambda.\text{lambda-collapse}(3)$  last.simps last-in-set list.discI mem-Collect-eq)
show ?thesis
proof (intro conjI)
  show Std (stdz-insert (M o N) (u # U))
  proof -
    have Std (map ( $\lambda X. X \circ \Lambda.\text{Src} N$ )
      (stdz-insert M (filter notIde (map  $\Lambda.\text{un-App1}(u \# U)$ )))) @
      map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
      (standard-development N))
    proof (intro Std-append)
      show Std (map ( $\lambda X. X \circ \Lambda.\text{Src} N$ )
        (stdz-insert M (filter notIde
          (map  $\Lambda.\text{un-App1}(u \# U)$ ))))
      using * A MN Std-map-App1  $\Lambda.\text{Ide-Src}$  by presburger
      show Std (map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
        (standard-development N))
      using MN 6 Std-map-App2 Std-standard-development by simp
      show map ( $\lambda X. X \circ \Lambda.\text{Src} N$ )
        (stdz-insert M
          (filter notIde (map  $\Lambda.\text{un-App1}(u \# U)$ ))) = [] ∨
          map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
          (standard-development N)) = [] ∨
        Λ.sseq (last (map ( $\lambda X. \Lambda.\text{App} X (\Lambda.\text{Src} N)$ )
          (stdz-insert M
            (filter notIde (map  $\Lambda.\text{un-App1}(u \# U)$ )))))
        (hd (map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
          (standard-development N)))
      proof (cases  $\Lambda.\text{Ide} N$ )
        show  $\Lambda.\text{Ide} N \implies$  ?thesis
        using Ide-iff-standard-development-empty MN by blast
        assume N:  $\neg \Lambda.\text{Ide} N$ 
        have Λ.sseq (last (map ( $\lambda X. X \circ \Lambda.\text{Src} N$ )
          (stdz-insert M
            (filter notIde (map  $\Lambda.\text{un-App1}(u \# U)$ )))))
          (hd (map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
            (standard-development N)))
        proof -
          have hd (map ( $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ )
            (standard-development N)) =
             $\Lambda.\text{App}(\Lambda.\text{Trg}(\Lambda.\text{un-App1}(\text{last}(u \# U))))$ 
            (hd (standard-development N))
          by (meson Ide-iff-standard-development-empty MN N list.map sel(1))
          moreover have last (map ( $\lambda X. X \circ \Lambda.\text{Src} N$ )
            (stdz-insert M
              (filter notIde (map  $\Lambda.\text{un-App1}(u \# U)$ ))) =
               $\Lambda.\text{App}(\text{last}(\text{stdz-insert } M$ 

```

```

          (filter notIde
                  (map Λ.un-App1 (u # U)))))
(Λ.Src N)
by (metis * A Ide.simps(1) Resid.simps(1) ide-char last-map)
moreover have Λ.sseq ... (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))
                           (hd (standard-development N)))
proof -
have γ: Λ.elementary-reduction
(last (stdz-insert M (filter notIde
                      (map Λ.un-App1 (u # U)))))

using * A
by (metis Ide.simps(1) Resid.simps(2) ide-char last-in-set
      mem-Collect-eq subset-iff)
moreover
have Λ.elementary-reduction (hd (standard-development N))
using MN N hd-in-set set-standard-development
      Ide-iff-standard-development-empty
by blast
moreover have Λ.Src N = Λ.Src (hd (standard-development N))
using MN N Src-hd-standard-development by auto
moreover have Λ.Trg (last (stdz-insert M
                           (filter notIde
                                   (map Λ.un-App1 (u # U))))) =
Λ.Trg (Λ.un-App1 (last (u # U)))
proof -
have [Λ.Trg (last (stdz-insert M
                      (filter notIde
                          (map Λ.un-App1 (u # U))))) =
[Λ.Trg (Λ.un-App1 (last (u # U)))]
proof -
have Λ.Trg (last (stdz-insert M
                      (filter notIde
                          (map Λ.un-App1 (u # U))))) =
Λ.Trg (last (map Λ.un-App1 (u # U)))
proof -
have Λ.Trg (last (stdz-insert M
                      (filter notIde (map Λ.un-App1 (u # U))))) =
Λ.Trg (last (M # filter notIde (map Λ.un-App1 (u # U))))
using * A Trg-last-eqI by blast
also have ... = Λ.Trg (last ([M] @ filter notIde
                           (map Λ.un-App1 (u # U))))
by simp
also have ... = Λ.Trg (last (filter notIde
                           (map Λ.un-App1 (u # U))))
proof -
have seq [M] (filter notIde (map Λ.un-App1 (u # U)))
proof
show Arr [M]
using MN by simp

```

```

show Arr (filter notIde (map Λ.un-App1 (u # U)))
  using * Std-imp-Arr
  by (metis (no-types, lifting)
      X empty-filter-conv list.set-map mem-Collect-eq subsetI)
show Λ.Trg (last [M]) =
  Λ.Src (hd (filter notIde (map Λ.un-App1 (u # U))))
proof -
  have Λ.Trg (last [M]) = Λ.Trg M
    using MN by simp
  also have ... = Λ.Src (Λ.un-App1 u)
    by (metis Trg-last-Src-hd-eqI Λ.Src.simps(4)
        Λ.Trg.simps(3) Λ.lambda.collapse(3)
        Λ.lambda.inject(3) last-ConsL list.sel(1) seq u)
  also have ... = Λ.Src (hd (map Λ.un-App1 (u # U)))
    by auto
  also have ... = Λ.Src (hd (filter notIde
    (map Λ.un-App1 (u # U))))
    using u 5 10 by force
  finally show ?thesis by blast
qed
thus ?thesis
  by (metis Arr.simps(1) last-appendR seq-char)
qed
also have ... = Λ.Trg (last (map Λ.un-App1 (u # U)))
proof -
  have filter (λu. ¬ Λ.Ide u) (map Λ.un-App1 (u # U)) *~*
    map Λ.un-App1 (u # U)
  using * *** u Std Std-imp-Arr Arr-map-un-App1 [of u # U]
    cong-filter-notIde
  by (metis (mono-tags, lifting) empty-filter-conv
      filter-notIde-Ide list.discI list.set-map
      mem-Collect-eq set-ConsD subset-code(1))
thus ?thesis
  using cong-implies-coterminal Trg-last-eqI
  by presburger
qed
finally show ?thesis by blast
qed
thus ?thesis
  by (simp add: last-map)
qed
moreover
have Λ.Ide (Λ.Trg (last (stdz-insert M
  (filter notIde
    (map Λ.un-App1 (u # U))))))
  using 7 Λ.Ide-Trg Λ.elementary-reduction-is-arr by blast
moreover have Λ.Ide (Λ.Trg (Λ.un-App1 (last (u # U))))
  using 6 by blast

```

```

ultimately show ?thesis by simp
qed
ultimately show ?thesis
  using Λ.sseq.simps(4) by blast
qed
ultimately show ?thesis by argo
qed
thus ?thesis by blast
qed
qed
thus ?thesis
  using 4 by simp
qed
show ¬ Ide ((M o N) # u # U) —→
  stdz-insert (M o N) (u # U) *~* (M o N) # u # U
proof
  show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
  proof (cases Λ.Ide N)
    assume N: Λ.Ide N
    have stdz-insert (M o N) (u # U) =
      map (λX. X o N)
      (stdz-insert M (filter notIde
        (map Λ.un-App1 (u # U))))
    using 4 N MN Ide-iff-standard-development-empty Λ.Ide-iff-Src-self
    by force
    also have ... *~* map (λX. X o N)
      (M # filter notIde
        (map Λ.un-App1 (u # U)))
    using * A MN N Λ.Ide-Src cong-map-App2 Λ.Ide-iff-Src-self
    by blast
    also have map (λX. X o N)
      (M # filter notIde
        (map Λ.un-App1 (u # U))) =
      [M o N] @
      map (λX. Λ.App X N)
      (filter notIde (map Λ.un-App1 (u # U)))
    by auto
    also have [M o N] @
      map (λX. X o N)
      (filter notIde (map Λ.un-App1 (u # U))) *~*
      [M o N] @ map (λX. X o N) (map Λ.un-App1 (u # U))
    proof (intro cong-append)
      show seq [M o N]
        (map (λX. X o N)
          (filter notIde (map Λ.un-App1 (u # U))))
    proof
      have 20: Arr (map Λ.un-App1 (u # U))
      using *** u Std Arr-map-un-App1
      by (metis Std-imp-Arr insert-subset list.discI list.simps(15))

```

```

    mem-Collect-eq)
show Arr [M o N]
using MN by auto
show 21: Arr (map ( $\lambda X.$  X o N)
                  (filter notIde (map  $\Lambda.$ un-App1 (u # U))))
proof -
  have Arr (filter notIde (map  $\Lambda.$ un-App1 (u # U)))
  using u 20 cong-filter-notIde
  by (metis (no-types, lifting) * Std-imp-Arr
        ‹Std (filter notIde (map  $\Lambda.$ un-App1 (u # U)))›
        empty-filter-conv list.set-map mem-Collect-eq subsetI)
  thus ?thesis
    using MN N Arr-map-App1  $\Lambda.$ Ide-Src by presburger
qed
show  $\Lambda.$ Trg (last [M o N]) =
   $\Lambda.$ Src (hd (map ( $\lambda X.$  X o N)
                  (filter notIde (map  $\Lambda.$ un-App1 (u # U)))))
proof -
  have  $\Lambda.$ Trg (last [M o N]) =  $\Lambda.$ Trg M o N
  using MN N  $\Lambda.$ Ide-iff-Trg-self by simp
  also have ... =  $\Lambda.$ Src ( $\Lambda.$ un-App1 u) o N
  using MN u seq seq-char
  by (metis Trg-last-Src-hd-eqI calculation  $\Lambda.$ Src-Src  $\Lambda.$ Src-Trg
         $\Lambda.$ Src-eq-iff(2)  $\Lambda.$ is-App-def  $\Lambda.$ lambda.sel(3) list.sel(1))
  also have ... =  $\Lambda.$ Src ( $\Lambda.$ un-App1 u o N)
  using MN N  $\Lambda.$ Ide-iff-Src-self by simp
  also have ... =  $\Lambda.$ Src (hd (map ( $\lambda X.$  X o N)
                  (map  $\Lambda.$ un-App1 (u # U))))
  by simp
  also have ... =  $\Lambda.$ Src (hd (map ( $\lambda X.$  X o N)
                  (filter notIde
                      (map  $\Lambda.$ un-App1 (u # U)))))
proof -
  have cong (map  $\Lambda.$ un-App1 (u # U))
    (filter notIde (map  $\Lambda.$ un-App1 (u # U)))
  using * 20 21 cong-filter-notIde
  by (metis Arr.simps(1) filter-notIde-Ide map-is-Nil-conv)
  thus ?thesis
    by (metis (no-types, lifting) Ide.simps(1) Resid.simps(2)
          Src-hd-eqI hd-map ide-char  $\Lambda.$ Src.simps(4)
          list.distinct(1) list.simps(9))
qed
finally show ?thesis by blast
qed
qed
show cong [M o N] [M o N]
using MN
by (meson head-redex-decomp  $\Lambda.$ Arr.simps(4)  $\Lambda.$ Arr-Src
      prfx-transitive)

```

```

show map ( $\lambda X. X \circ N$ ) (filter notIde (map  $\Lambda.un\text{-}App1$  ( $u \# U$ )))  $\sim^*$ 
  map ( $\lambda X. X \circ N$ ) (map  $\Lambda.un\text{-}App1$  ( $u \# U$ ))
proof -
  have Arr (map  $\Lambda.un\text{-}App1$  ( $u \# U$ ))
    using ***  $u$  Std Arr-map-un-App1
    by (metis Std-imp-Arr insert-subset list.discI list.simps(15)
      mem-Collect-eq)
  moreover have  $\neg$  Ide (map  $\Lambda.un\text{-}App1$  ( $u \# U$ ))
    using *
    by (metis Collect-cong  $\Lambda.ide\text{-}char$  list.set-map
      set-Ide-subset-ide)
  ultimately show ?thesis
    using ***  $u$  MN N cong-filter-notIde cong-map-App2
    by (meson  $\Lambda.Ide\text{-}Src$ )
  qed
qed
also have  $[M \circ N] @ map (\lambda X. X \circ N)$  (map  $\Lambda.un\text{-}App1$  ( $u \# U$ ))  $\sim^*$ 
   $[M \circ N] @ u \# U$ 
proof -
  have map ( $\lambda X. X \circ N$ ) (map  $\Lambda.un\text{-}App1$  ( $u \# U$ ))  $\sim^* u \# U$ 
  proof -
    have map ( $\lambda X. X \circ N$ ) (map  $\Lambda.un\text{-}App1$  ( $u \# U$ )) =  $u \# U$ 
    proof (intro map-App-map-un-App1)
      show Arr ( $u \# U$ )
        using Std Std-imp-Arr by simp
      show set ( $u \# U$ )  $\subseteq$  Collect  $\Lambda.is\text{-}App$ 
        using ***  $u$  by auto
      show  $\Lambda.Ide N$ 
        using N by simp
      show  $\Lambda.un\text{-}App2`set (u \# U) \subseteq \{N\}$ 
    proof -
      have  $\Lambda.Src (\Lambda.un\text{-}App2 u) = \Lambda.Trig N$ 
        using ** seq  $u$  seq-char N
        apply (cases  $u$ )
          apply simp-all
        by (metis Trg-last-Src-hd-eqI  $\Lambda.Src.simps(4)$   $\Lambda.Trig.simps(3)$ 
           $\Lambda.lambda.inject(3)$  last-ConsL list.sel(1) seq)
      moreover have  $\Lambda.Ide (\Lambda.un\text{-}App2 u) \wedge \Lambda.Ide N$ 
        using ** N by simp
      moreover have Ide (map  $\Lambda.un\text{-}App2$  ( $u \# U$ ))
        using ** Std Std-imp-Arr Arr-map-un-App2
        by (metis Collect-cong Ide-char
          <Arr ( $u \# U$ )> <set ( $u \# U$ )  $\subseteq$  Collect  $\Lambda.is\text{-}App\Lambda.ide\text{-}char$  list.set-map)
      ultimately show ?thesis
        by (metis hd-map  $\Lambda.Ide\text{-iff}\text{-}Src\text{-self}$   $\Lambda.Ide\text{-iff}\text{-}Trig\text{-self}$ 
           $\Lambda.Ide\text{-implies}\text{-}Arr$  list.discI list.sel(1)
          list.set-map set-Ide-subset-single-hd)
  qed

```

```

qed
thus ?thesis
  by (simp add: Resid-Arr-self Std ide-char)
qed
thus ?thesis
  using MN cong-append
  by (metis (no-types, lifting) 1 cong-standard-development
      cong-transitive Λ.Arr.simps(4) seq)
qed
also have [M o N] @ (u # U) = (M o N) # u # U
  by simp
finally show ?thesis by blast
next
assume N: ¬ Λ.Ide N
have stdz-insert (M o N) (u # U) =
  map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
  (standard-development N)
  using 4 by simp
also have ... *~* map (λX. X o Λ.Src N)
  (M # filter notIde (map Λ.un-App1 (u # U))) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N]
proof (intro cong-append)
show 23: map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) *~*
  map (λX. X o Λ.Src N)
  (M # filter notIde (map Λ.un-App1 (u # U)))
  using * A MN Λ.Ide-Src cong-map-App2 by blast
show 22: map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
  (standard-development N) *~*
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N]
using 6 *** u Std Std-imp-Arr MN N cong-standard-development
cong-map-App1
by presburger
show seq (map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde
    (map Λ.un-App1 (u # U))))))
  (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) @
    (standard-development N))
proof -
have seq (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U))))))
  (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N])
proof
show 26: Arr (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U)))))


```

```

by (metis 23 Con-implies-Arr(2) Ide.simps(1) ide-char)
show Arr (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N])
  by (meson 22 arr-char con-implies-arr(2) prfx-implies-con)
show Λ.Trg (last (map (λX. X o Λ.Src N)
  (M # filter notIde
    (map Λ.un-App1 (u # U))))) =
  Λ.Src (hd (map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N]))
proof –
  have Λ.Trg (last (map (λX. X o Λ.Src N)
    (M # map Λ.un-App1 (u # U)))) =
    ~
    Λ.Trg (last (map (λX. X o Λ.Src N)
      (M # filter notIde
        (map Λ.un-App1 (u # U)))))

proof –
  have targets (map (λX. X o Λ.Src N)
    (M # filter notIde
      (map Λ.un-App1 (u # U)))) =
    targets (map (λX. X o Λ.Src N)
      (M # map Λ.un-App1 (u # U)))
proof –
  have map (λX. X o Λ.Src N)
    (M # filter notIde (map Λ.un-App1 (u # U))) *~*
    map (λX. X o Λ.Src N)
    (M # map Λ.un-App1 (u # U))
proof –
  have map (λX. X o Λ.Src N)
    (M # map Λ.un-App1 (u # U)) =
    map (λX. X o Λ.Src N)
    ([M] @ map Λ.un-App1 (u # U))
  by simp
  also have cong ... (map (λX. X o Λ.Src N)
    ([M] @ filter notIde
      (map Λ.un-App1 (u # U))))
proof –
  have [M] @ map Λ.un-App1 (u # U) *~*
  [M] @ filter notIde
  (map Λ.un-App1 (u # U))
proof (intro cong-append)
  show cong [M] [M]
  using MN
  by (meson head-redex-decomp prfx-transitive)
  show seq [M] (map Λ.un-App1 (u # U))
proof
  show Arr [M]
  using MN by simp
  show Arr (map Λ.un-App1 (u # U))
  using *** u Std Arr-map-un-App1

```

```

by (metis Std-imp-Arr insert-subset list.discI
      list.simps(15) mem-Collect-eq)
show  $\Lambda$ .Trg (last [M]) =
       $\Lambda$ .Src (hd (map  $\Lambda$ .un-App1 (u # U)))
      using MN u seq seq-char Srcs-simp $_{\Lambda P}$  by auto
qed
show cong (map  $\Lambda$ .un-App1 (u # U))
      (filter notIde
           (map  $\Lambda$ .un-App1 (u # U)))
proof -
have Arr (map  $\Lambda$ .un-App1 (u # U))
by (metis *** Arr-map-un-App1 Std Std-imp-Arr
      insert-subset list.discI list.simps(15)
      mem-Collect-eq u)
moreover have  $\neg$  Ide (map  $\Lambda$ .un-App1 (u # U))
      using * set-Ide-subset-ide by fastforce
ultimately show ?thesis
      using cong-filter-notIde by blast
qed
thus map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      ([M] @ map  $\Lambda$ .un-App1 (u # U)) *~*
      map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      ([M] @ filter notIde (map  $\Lambda$ .un-App1 (u # U)))
      using MN cong-map-App2  $\Lambda$ .Ide-Src by presburger
qed
finally show ?thesis by simp
qed
thus ?thesis
      using cong-implies-coterminal by blast
qed
moreover have [ $\Lambda$ .Trg (last (map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      (M # map  $\Lambda$ .un-App1 (u # U))))]  $\in$ 
      targets (map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      (M # map  $\Lambda$ .un-App1 (u # U)))
by (metis (no-types, lifting) 26 calculation mem-Collect-eq
      single-Trg-last-in-targets targets-char $_{\Lambda P}$ )
moreover have [ $\Lambda$ .Trg (last (map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      (M # filter notIde
           (map  $\Lambda$ .un-App1 (u # U)))))]  $\in$ 
      targets (map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      (M # filter notIde
           (map  $\Lambda$ .un-App1 (u # U))))
using 26 single-Trg-last-in-targets by blast
ultimately show ?thesis
by (metis (no-types, lifting) 26 Ide.simps(1-2) Resid-rec(1)
      in-targets-iff ide-char)
qed
moreover have  $\Lambda$ .Ide ( $\Lambda$ .Trg (last (map ( $\lambda X$ .  $X \circ \Lambda$ .Src N)
      (M # filter notIde
           (map  $\Lambda$ .un-App1 (u # U))))))
```

```

(M # map Λ.un-App1 (u # U))))
by (metis 6 MN Λ.Ide.simps(4) Λ.Ide-Src Λ.Trg.simps(3)
Λ.Trg-Src last-ConsR last-map list.distinct(1)
list.simps(9))
moreover have Λ.Ide (Λ.Trg (last (map (λX. X o Λ.Src N)
(M # filter notIde
(map Λ.un-App1 (u # U))))))
using Λ.ide-backward-stable calculation(1–2) by fast
ultimately show ?thesis
by (metis (no-types, lifting) 6 MN hd-map
Λ.Ide-iff-Src-self Λ.Ide-implies-Arr Λ.Src.simps(4)
Λ.Trg.simps(3) Λ.Trg-Src Λ.cong-Ide-are-eq
last.simps last-map list.distinct(1) list.map-disc-iff
list.sel(1)))
qed
qed
thus ?thesis
using 22 23 cong-respects-seqP by presburger
qed
qed
also have map (λX. X o Λ.Src N)
(M # filter notIde (map Λ.un-App1 (u # U))) @
map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))) [N] =
[M o Λ.Src N] @
map (λX. X o Λ.Src N)
(filter notIde (map Λ.un-App1 (u # U))) @
[Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))) N]
by simp
also have 1: [M o Λ.Src N] @
map (λX. X o Λ.Src N)
(filter notIde (map Λ.un-App1 (u # U))) @
[Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))) N] *~*
[M o Λ.Src N] @
map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U)) @
[Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))) N]
proof (intro cong-append)
show [M o Λ.Src N] *~* [M o Λ.Src N]
using MN
by (meson head-redex-decomp lambda-calculus.Arr.simps(4)
lambda-calculus.Arr-Src prfx-transitive)
show 21: map (λX. X o Λ.Src N)
(filter notIde (map Λ.un-App1 (u # U))) *~*
map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U))
proof –
have filter notIde (map Λ.un-App1 (u # U)) *~*
map Λ.un-App1 (u # U)
proof –
have ¬ Ide (map Λ.un-App1 (u # U))
using *

```

```

by (metis Collect-cong  $\Lambda.\text{ide-char}$  list.set-map
      set-Ide-subset-ide)
thus ?thesis
using *** u Std Std-imp-Arr Arr-map-un-App1
      cong-filter-notIde
by (metis  $\neg \text{Ide}(\text{map } \Lambda.\text{un-App1 } (u \# U))$ )
      list.distinct(1) mem-Collect-eq set-ConsD
      subset-code(1)
qed
thus ?thesis
using MN cong-map-App2 [of  $\Lambda.\text{Src } N$ ]  $\Lambda.\text{Ide-Src}$  by presburger
qed
show [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ] *~*
      [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ]
by (metis 6 Con-implies-Arr(1) MN  $\Lambda.\text{Ide-implies-Arr arr-char}$ 
      cong-reflexive  $\Lambda.\text{Ide-iff-Src-self neq-Nil-conv}$ 
      orthogonal-App-single-single(1))
show seq (map ( $\lambda X.$   $X \circ \Lambda.\text{Src } N$ )
      (filter notIde (map  $\Lambda.\text{un-App1 } (u \# U)$ )))
      [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ]
proof
show Arr (map ( $\lambda X.$   $X \circ \Lambda.\text{Src } N$ )
      (filter notIde (map  $\Lambda.\text{un-App1 } (u \# U)$ )))
by (metis 21 Con-implies-Arr(2) Ide.simps(1) ide-char)
show Arr [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ]
by (metis Con-implies-Arr(2) Ide.simps(1)
      ⟨[ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ] *~*
      [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ], ide-char)
show  $\Lambda.\text{Trg}(\text{last } (\text{map } (\lambda X.$   $X \circ \Lambda.\text{Src } N$ )
      (filter notIde
          (map  $\Lambda.\text{un-App1 } (u \# U)$ ))) =
       $\Lambda.\text{Src}(\text{hd } [\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N])$ 
by (metis (no-types, lifting) 6 21 MN Trg-last-eqI
       $\Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-implies-Arr } \Lambda.\text{Src.simps}(4)$ 
       $\Lambda.\text{Trg.simps}(3) \Lambda.\text{Trg-Src last-map list.distinct}(1)$ 
      list.map-disc-iff list.sel(1))
qed
show seq [M o  $\Lambda.\text{Src } N$ ]
show seq [M o  $\Lambda.\text{Src } N$ ]
      (map ( $\lambda X.$   $X \circ \Lambda.\text{Src } N$ )
      (filter notIde (map  $\Lambda.\text{un-App1 } (u \# U)$ )) @
      [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ])
proof
show Arr [M o  $\Lambda.\text{Src } N$ ]
using MN by simp
show Arr (map ( $\lambda X.$   $X \circ \Lambda.\text{Src } N$ )
      (filter notIde (map  $\Lambda.\text{un-App1 } (u \# U)$ )) @
      [ $\Lambda.\text{Trg}(\Lambda.\text{un-App1 } (\text{last } (u \# U))) \circ N$ ])
apply (intro Arr-appendIP)

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```

apply (metis 21 Con-implies-Arr(2) Ide.simps(1) ide-char)
apply (metis Con-implies-Arr(1) Ide.simps(1)
      {[Λ.Trg (Λ.un-App1 (last (u # U))) o N] *~*
       [Λ.Trg (Λ.un-App1 (last (u # U))) o N]} ide-char)
by (metis (no-types, lifting) 21 Arr.simps(1)
     Arr-append-iffP Con-implies-Arr(2) Ide.simps(1)
     append-is-Nil-conv calculation ide-char not-Cons-self2)
show Λ.Trg (last [M o Λ.Src N]) =
  Λ.Src (hd (map (λX. X o Λ.Src N)
                  (filter notIde
                           (map Λ.un-App1 (u # U)) @
                           [Λ.Trg (Λ.un-App1 (last (u # U))) o N])))
by (metis (no-types, lifting) Con-implies-Arr(2) Ide.simps(1)
     Trg-last-Src-hd-eqI append-is-Nil-conv arr-append-imp-seq
     arr-char calculation ide-char not-Cons-self2)
qed
qed
also have [M o Λ.Src N] @
  map (λX. X o Λ.Src N)(map Λ.un-App1 (u # U)) @
  [Λ.Trg (Λ.un-App1 (last (u # U))) o N] *~*
  [M o Λ.Src N] @
  [Λ.Trg M o N] @
  map (λX. X o Λ.Trg N) (map Λ.un-App1 (u # U))
proof (intro cong-append [of [Λ.App M (Λ.Src N)]])
  show seq [M o Λ.Src N]
    (map (λX. X o Λ.Src N)
         (map Λ.un-App1 (u # U)) @
         [Λ.Trg (Λ.un-App1 (last (u # U))) o N])
proof
  show Arr [M o Λ.Src N]
    using MN by simp
  show Arr (map (λX. X o Λ.Src N)
              (map Λ.un-App1 (u # U)) @
              [Λ.Trg (Λ.un-App1 (last (u # U))) o N])
by (metis (no-types, lifting) 1 Con-append(2) Con-implies-Arr(2)
     Ide.simps(1) append-is-Nil-conv ide-char not-Cons-self2)
show Λ.Trg (last [M o Λ.Src N]) =
  Λ.Src (hd (map (λX. X o Λ.Src N)
                  (map Λ.un-App1 (u # U)) @
                  [Λ.Trg (Λ.un-App1 (last (u # U))) o N]))
proof -
  have Λ.Trg M = Λ.Src (Λ.un-App1 u)
  using u seq
  by (metis Trg-last-Src-hd-eqI Λ.Src.simps(4) Λ.Trg.simps(3)
       Λ.lambda.collapse(3) Λ.lambda.inject(3) last-ConsL
       list.sel(1))
  thus ?thesis
    using MN by auto
qed

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```

qed
show [M o Λ.Src N] *~* [M o Λ.Src N]
  using MN
  by (metis head-redex-decomp ΛArr.simps(4) ΛArr-Src
       prfx-transitive)
show map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U)) @
  [Λ.Trig (Λ.un-App1 (last (u # U))) o N] *~*
  [Λ.Trig M o N] @
    map (λX. X o Λ.Trig N) (map Λ.un-App1 (u # U))
proof -
  have map (λX. X o Λ.Src (hd [N])) (map Λ.un-App1 (u # U)) @
    map (Λ.App (Λ.Trig (last (map Λ.un-App1 (u # U))))) [N] *~*
    map (Λ.App (Λ.Src (hd (map Λ.un-App1 (u # U))))) [N] @
      map (λX. X o Λ.Trig (last [N])) (map Λ.un-App1 (u # U))
  proof -
    have Arr (map Λ.un-App1 (u # U))
      using Std *** u Arr-map-un-App1
      by (metis Std-imp-Arr insert-subset list.discI list.simps(15)
           mem-Collect-eq)
    moreover have Arr [N]
      using MN by simp
      ultimately show ?thesis
        using orthogonal-App-cong by blast
  qed
  moreover
    have map (Λ.App (Λ.Src (hd (map Λ.un-App1 (u # U))))) [N] =
      [Λ.Trig M o N]
      by (metis Trg-last-Src-hd-eqI lambda-calculus.Src.simps(4)
           Λ.Trig.simps(3) Λ.lambda.collapse(3) Λ.lambda.sel(3)
           last-ConsL list.sel(1) list.simps(8) list.simps(9) seq u)
    moreover have [Λ.Trig (Λ.un-App1 (last (u # U))) o N] =
      map (Λ.App (Λ.Trig (last (map Λ.un-App1 (u # U))))) [N]
      by (simp add: last-map)
      ultimately show ?thesis
        using last-map by auto
  qed
qed
also have [M o Λ.Src N] @
  [Λ.Trig M o N] @
    map (λX. X o Λ.Trig N) (map Λ.un-App1 (u # U)) =
    ([M o Λ.Src N] @ [Λ.Trig M o N]) @
      map (λX. X o Λ.Trig N) (map Λ.un-App1 (u # U))
  by simp
also have ... *~* [M o N] @ (u # U)
proof (intro cong-append)
  show [M o Λ.Src N] @ [Λ.Trig M o N] *~* [M o N]
    using MN Λ.resid-Arr-self ΛArr-not-Nil Λ.Ide-Trig ide-char
    by auto
  show 1: map (λX. X o Λ.Trig N) (map Λ.un-App1 (u # U)) *~* u # U

```

```

proof -
  have map ( $\lambda X. X \circ \Lambda. Trg N$ ) (map  $\Lambda.un-App1 (u \# U)$ ) =  $u \# U$ 
  proof (intro map-App-map-un-App1)
    show Arr ( $u \# U$ )
      using Std Std-imp-Arr by simp
    show set ( $u \# U$ )  $\subseteq$  Collect  $\Lambda.is-App$ 
      using ***  $u$  by auto
    show  $\Lambda.Ide (\Lambda.Trg N)$ 
      using MN  $\Lambda.Ide-Trg$  by simp
    show  $\Lambda.un-App2 ` set (u \# U) \subseteq \{\Lambda.Trg N\}$ 
    proof -
      have  $\Lambda.Src (\Lambda.un-App2 u) = \Lambda.Trg N$ 
      using  $u$  seq seq-char
      apply (cases  $u$ )
        apply simp-all
      by (metis Trg-last-Src-hd-eqI  $\Lambda.Src.simps(4)$   $\Lambda.Trg.simps(3)$ 
            $\Lambda.lambda.inject(3)$  last-ConsL list.sel(1) seq)
      moreover have  $\Lambda.Ide (\Lambda.un-App2 u)$ 
      using ** by simp
      moreover have Ide (map  $\Lambda.un-App2 (u \# U)$ )
      using ** Std Std-imp-Arr Arr-map-un-App2
      by (metis Collect-cong Ide-char
            <Arr ( $u \# U$ )> <set ( $u \# U$ )>  $\subseteq$  Collect  $\Lambda.is-App$ 
             $\Lambda.ide-char$  list.set-map)
      ultimately show ?thesis
      by (metis  $\Lambda.Ide$ -iff-Src-self  $\Lambda.Ide$ -implies-Arr list.sel(1)
            list.set-map list.simps(9) set-Ide-subset-single-hd
            singleton-insert-inj-eq)
      qed
      qed
      thus ?thesis
      by (simp add: Resid-Arr-self Std ide-char)
      qed
      show seq ([ $M \circ \Lambda.Src N$ ] @ [ $\Lambda.Trg M \circ N$ ])
        (map ( $\lambda X. X \circ \Lambda.Trg N$ ) (map  $\Lambda.un-App1 (u \# U)$ ))
      proof
        show Arr ([ $M \circ \Lambda.Src N$ ] @ [ $\Lambda.Trg M \circ N$ ])
        using MN by simp
        show Arr (map ( $\lambda X. X \circ \Lambda.Trg N$ ) (map  $\Lambda.un-App1 (u \# U)$ ))
        using MN Std Std-imp-Arr Arr-map-un-App1 Arr-map-App1
        by (metis 1 Con-implies-Arr(1) Ide.simps(1) ide-char)
        show  $\Lambda.Trg (last ([M \circ \Lambda.Src N] @ [\Lambda.Trg M \circ N])) =$ 
           $\Lambda.Src (hd (map (\lambda X. X \circ \Lambda.Trg N) (map \Lambda.un-App1 (u \# U))))$ 
        using MN Std Std-imp-Arr Arr-map-un-App1 Arr-map-App1
        seq seq-char  $u$  Srcs-simp $_{\Lambda P}$  by auto
      qed
      qed
      also have [ $M \circ N$ ] @ ( $u \# U$ ) = ( $M \circ N$ ) #  $u \# U$ 
      by simp

```

```

    finally show ?thesis by blast
qed
qed
qed
qed
show  $\llbracket \neg \Lambda.un\text{-}App1 \cdot set(u \# U) \subseteq Collect \Lambda.Ide;$ 
       $\neg \Lambda.un\text{-}App2 \cdot set(u \# U) \subseteq Collect \Lambda.Ide \rrbracket$ 
       $\implies ?thesis$ 
proof -
assume *:  $\neg \Lambda.un\text{-}App1 \cdot set(u \# U) \subseteq Collect \Lambda.Ide$ 
assume **:  $\neg \Lambda.un\text{-}App2 \cdot set(u \# U) \subseteq Collect \Lambda.Ide$ 
show ?thesis
proof (intro conjI)
show Std (stdz-insert (M o N) (u # U))
proof -
have Std (map ( $\lambda X. X \circ \Lambda.Src N$ )
  (stdz-insert M (filter notIde (map  $\Lambda.un\text{-}App1(u \# U)$ )))) @
  map ( $\Lambda.App(\Lambda.Trg(\Lambda.un\text{-}App1(last(u \# U))))$ )
  (stdz-insert N (filter notIde (map  $\Lambda.un\text{-}App2(u \# U)$ ))))
proof (intro Std-append)
show Std (map ( $\lambda X. X \circ \Lambda.Src N$ )
  (stdz-insert M (filter notIde (map  $\Lambda.un\text{-}App1(u \# U)$ )))) @
  using * A  $\Lambda.Ide\text{-}Src MN$  Std-map-App1 by presburger
show Std (map ( $\Lambda.App(\Lambda.Trg(\Lambda.un\text{-}App1(last(u \# U))))$ )
  (stdz-insert N (filter notIde (map  $\Lambda.un\text{-}App2(u \# U)$ ))))
proof -
have  $\Lambda.Arr(\Lambda.un\text{-}App1(last(u \# U)))$ 
by (metis ***  $\Lambda.Arr.simps(4)$  Std Std-imp-Arr Arr.simps(2)
Arr-append-iffP append-butlast-last-id append-self-conv2
 $\Lambda.arr\text{-}char \Lambda.\lambda\text{.lambda}.collapse(3)$  last.simps last-in-set
list.discI mem-Collect-eq subset-code(1) u)
thus ?thesis
using ** B  $\Lambda.Ide\text{-}Trg MN$  Std-map-App2 by presburger
qed
show map ( $\lambda X. X \circ \Lambda.Src N$ )
  (stdz-insert M (filter notIde (map  $\Lambda.un\text{-}App1(u \# U)$ ))) = [] ∨
  map ( $\Lambda.App(\Lambda.Trg(\Lambda.un\text{-}App1(last(u \# U))))$ )
  (stdz-insert N (filter notIde (map  $\Lambda.un\text{-}App2(u \# U)$ ))) = [] ∨
   $\Lambda.sseq(last(map(\lambda X. X \circ \Lambda.Src N))$ 
  (stdz-insert M (filter notIde (map  $\Lambda.un\text{-}App1(u \# U)$ )))
  (hd (map ( $\Lambda.App(\Lambda.Trg(\Lambda.un\text{-}App1(last(u \# U))))$ )))
  (stdz-insert N (filter notIde (map  $\Lambda.un\text{-}App2(u \# U)$ ))))
proof -
have  $\Lambda.sseq(last(map(\lambda X. X \circ \Lambda.Src N))$ 
  (stdz-insert M (filter notIde (map  $\Lambda.un\text{-}App1(u \# U)$ )))
  (hd (map ( $\Lambda.App(\Lambda.Trg(\Lambda.un\text{-}App1(last(u \# U))))$ )))
  (stdz-insert N (filter notIde (map  $\Lambda.un\text{-}App2(u \# U)$ ))))
proof -
let ?M =  $\Lambda.un\text{-}App1(last(map(\lambda X. X \circ \Lambda.Src N)))$ 

```

```

        (stdz-insert M
          (filter notIde
            (map Λ.un-App1 (u # U))))))
let ?M' = Λ.Trig (Λ.un-App1 (last (u # U)))
let ?N = Λ.Src N
let ?N' = Λ.un-App2
  (hd (map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))))
    (stdz-insert N
      (filter notIde
        (map Λ.un-App2 (u # U))))))
have M: ?M = last (stdz-insert M
  (filter notIde (map Λ.un-App1 (u # U))))
by (metis * A Ide.simps(1) Resid.simps(1) ide-char
  Λ.lambda.sel(3) last-map)
have N': ?N' = hd (stdz-insert N
  (filter notIde (map Λ.un-App2 (u # U))))
by (metis ** B Ide.simps(1) Resid.simps(2) ide-char
  Λ.lambda.sel(4) hd-map)
have AppMN: last (map (λX. X o Λ.Src N)
  (stdz-insert M
    (filter notIde (map Λ.un-App1 (u # U))))) =
  ?M o ?N
by (metis * A Ide.simps(1) M Resid.simps(2) ide-char last-map)
moreover
have 4: hd (map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))))
  (stdz-insert N
    (filter notIde (map Λ.un-App2 (u # U))))) =
  ?M' o ?N'
by (metis (no-types, lifting) ** B Resid.simps(2) con-char
  prfx-implies-con Λ.lambda.collapse(3) Λ.lambda.discI(3)
  Λ.lambda.inject(3) list.mapsel(1))
moreover have MM: Λ.elementary-reduction ?M
by (metis * A Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
  M ide-char in-mono last-in-set mem-Collect-eq)
moreover have NN': Λ.elementary-reduction ?N'
using ** B N'
by (metis Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
  ide-char in-mono list.setsel(1) mem-Collect-eq)
moreover have Λ.Trig ?M = ?M'
proof -
have 1: [Λ.Trig ?M] *~* [?M']
proof -
have [Λ.Trig ?M] *~*
  [Λ.Trig (last (M # filter notIde (map Λ.un-App1 (u # U))))]
proof -
have targets (stdz-insert M
  (filter notIde (map Λ.un-App1 (u # U)))) =
  targets (M # filter notIde (map Λ.un-App1 (u # U)))
using * A cong-implies-coterminal by blast

```

```

moreover
have [ $\Lambda.\text{Trg}(\text{last}(M \# \text{filter notIde}(\text{map } \Lambda.\text{un-App1}(u \# U))))]$ 
 $\in \text{targets}(M \# \text{filter notIde}(\text{map } \Lambda.\text{un-App1}(u \# U)))$ 
by (metis (no-types, lifting) * A  $\Lambda.\text{Arr-Trg }$   $\Lambda.\text{Ide-Trg}$ 
Arr.simps(2) Arr-append-iffP Arr-iff-Con-self
Con-implies-Arr(2) Ide.simps(1) Ide.simps(2)
Resid-Arr-Ide-ind ide-char append-butlast-last-id
append-self-conv2  $\Lambda.\text{arr-char}$  in-targets-iff  $\Lambda.\text{ide-char}$ 
list.discI)
ultimately show ?thesis
using * A M in-targets-iff
by (metis (no-types, lifting) Con-implies-Arr(1)
con-char prfx-implies-con in-targets-iff)
qed
also have 2: [ $\Lambda.\text{Trg}(\text{last}(M \# \text{filter notIde}(\text{map } \Lambda.\text{un-App1}(u \# U))))] *~*$ 
 $[\Lambda.\text{Trg}(\text{last}(\text{filter notIde}(\text{map } \Lambda.\text{un-App1}(u \# U))))]$ 
by (metis (no-types, lifting) * prfx-transitive
calculation empty-filter-conv last-ConsR list.set-map
mem-Collect-eq subsetI)
also have [ $\Lambda.\text{Trg}(\text{last}(\text{filter notIde}(\text{map } \Lambda.\text{un-App1}(u \# U))))] *~*$ 
 $[\Lambda.\text{Trg}(\text{last}(\text{map } \Lambda.\text{un-App1}(u \# U)))]$ 
proof –
have  $\text{map } \Lambda.\text{un-App1}(u \# U) *~*$ 
 $\text{filter notIde}(\text{map } \Lambda.\text{un-App1}(u \# U))$ 
by (metis (mono-tags, lifting) * *** Arr-map-un-App1
Std Std-imp-Arr cong-filter-notIde empty-filter-conv
filter-notIde-Ide insert-subset list.discI list.set-map
list.simps(15) mem-Collect-eq subsetI u)
thus ?thesis
by (metis 2 Trg-last-eqI prfx-transitive)
qed
also have [ $\Lambda.\text{Trg}(\text{last}(\text{map } \Lambda.\text{un-App1}(u \# U)))] = [?M']$ 
by (simp add: last-map)
finally show ?thesis by blast
qed
have 3:  $\Lambda.\text{Trg } ?M = \Lambda.\text{Trg } ?M \setminus ?M'$ 
by (metis (no-types, lifting) 1 * A M Con-implies-Arr(2)
Ide.simps(1) Resid-Arr-Ide-ind Resid-rec(1)
ide-char target-is-ide in-targets-iff list.inject)
also have ... = ?M'
by (metis (no-types, lifting) 1 4 Arr.simps(2) Con-implies-Arr(2)
Ide.simps(1) Ide.simps(2) MM NN' Resid-Arr-Ide-ind
Resid-rec(1) Src-hd-eqI calculation ide-char
 $\Lambda.\text{Ide-iff-Src-self }$   $\Lambda.\text{Src-Trg }$   $\Lambda.\text{arr-char}$ 
 $\Lambda.\text{elementary-reduction.simps}(4)$ 
 $\Lambda.\text{elementary-reduction-App-iff }$   $\Lambda.\text{elementary-reduction-is-arr}$ 

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 $\Lambda.\text{elementary-reduction-not-ide } \Lambda.\text{lambda.discI}(3)$ 
 $\Lambda.\text{lambda.sel}(3) \text{ list.sel}(1))$ 
finally show ?thesis by blast
qed
moreover have ?N =  $\Lambda.\text{Src } ?N'$ 
proof -
have 1:  $[\Lambda.\text{Src } ?N'] *_{\sim} [?N]$ 
proof -
have sources (stdz-insert N
 $(\text{filter notIde } (\text{map } \Lambda.\text{un-App2 } (u \# U)))) =$ 
sources [N]
using ** B
by (metis Con-implies-Arr(2) Ide.simps(1) coinitialE
cong-implies-coinitial ide-char sources-cons)
thus ?thesis
by (metis (no-types, lifting) AppMN ** B  $\Lambda.\text{Ide-Src }$ 
MM MN N' NN'  $\Lambda.\text{Trg-Src }$  Arr.simps(1) Arr.simps(2)
Con-implies-Arr(1) Ide.simps(2) con-char ideE ide-char
sources-cons  $\Lambda.\text{arr-char in-targets-iff}$ 
 $\Lambda.\text{elementary-reduction.simps}(4) \Lambda.\text{elementary-reduction-App-iff}$ 
 $\Lambda.\text{elementary-reduction-is-arr } \Lambda.\text{elementary-reduction-not-ide}$ 
 $\Lambda.\text{lambda.disc}(14) \Lambda.\text{lambda.sel}(4) \text{ last-ConsL list.exhaustsel}$ 
targets-single-Src)
qed
have  $\Lambda.\text{Src } ?N' = \Lambda.\text{Src } ?N' \setminus ?N$ 
by (metis (no-types, lifting) 1 MN  $\Lambda.\text{Coinitial-iff-Con }$ 
 $\Lambda.\text{Ide-Src }$  Arr.simps(2) Ide.simps(1) Ide-implies-Arr
Resid-rec(1) ide-char  $\Lambda.\text{not-arr-null }$   $\Lambda.\text{null-char }$ 
 $\Lambda.\text{resid-Arr-Ide}$ )
also have ... = ?N
by (metis 1 MN NN' Src-hd-eqI calculation  $\Lambda.\text{Src-Src }$   $\Lambda.\text{arr-char }$ 
 $\Lambda.\text{elementary-reduction-is-arr }$  list.sel(1))
finally show ?thesis by simp
qed
ultimately show ?thesis
using u  $\Lambda.\text{sseq.simps}(4)$ 
by (metis (mono-tags, lifting))
qed
thus ?thesis by blast
qed
qed
thus ?thesis
using 4 by presburger
qed
show  $\neg \text{Ide } ((M \circ N) \# u \# U) \longrightarrow$ 
stdz-insert (M o N) (u # U)  $*_{\sim} (M \circ N) \# u \# U$ 
proof
have stdz-insert (M o N) (u # U) =
map ( $\lambda X.$  X o  $\Lambda.\text{Src } N$ )

```

```

  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

  (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) @
  map (Λ.App (Λ.Trg (Λ.un-App2 (last (u # U)))))

using 4 by simp
also have ... *~* map (λX. X o Λ.Src N)
  (M # map Λ.un-App1 (u # U)) @
  map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U)))))

  (N # map Λ.un-App2 (u # U))

proof (intro cong-append)
have X: stdz-insert M (filter notIde (map Λ.un-App1 (u # U))) *~*
  M # map Λ.un-App1 (u # U)
proof –
have stdz-insert M (filter notIde (map Λ.un-App1 (u # U))) *~*
  [M] @ filter notIde (map Λ.un-App1 (u # U))
using * A by simp
also have [M] @ filter notIde (map Λ.un-App1 (u # U)) *~*
  [M] @ map Λ.un-App1 (u # U)
proof –
have filter notIde (map Λ.un-App1 (u # U)) *~*
  map Λ.un-App1 (u # U)
using * cong-filter-notIde
by (metis (mono-tags, lifting) *** Arr-map-un-App1 Std
  Std-imp-Arr empty-filter-conv filter-notIde-Ide insert-subset
  list.discI list.set-map list.simps(15) mem-Collect-eq subsetI u)
moreover have seq [M] (filter notIde (map Λ.un-App1 (u # U)))
by (metis * A Arr.simps(1) Con-implies-Arr(1) append-Cons
  append-Nil arr-append-imp-seq arr-char calculation
  ide-implies-arr list.discI)
ultimately show ?thesis
using cong-append cong-reflexive by blast
qed
also have [M] @ map Λ.un-App1 (u # U) =
  M # map Λ.un-App1 (u # U)
by simp
finally show ?thesis by blast
qed
have Y: stdz-insert N (filter notIde (map Λ.un-App2 (u # U))) *~*
  N # map Λ.un-App2 (u # U)
proof –
have 5: stdz-insert N (filter notIde (map Λ.un-App2 (u # U))) *~*
  [N] @ filter notIde (map Λ.un-App2 (u # U))
using ** B by simp
also have [N] @ filter notIde (map Λ.un-App2 (u # U)) *~*
  [N] @ map Λ.un-App2 (u # U)
proof –
have filter notIde (map Λ.un-App2 (u # U)) *~*
  map Λ.un-App2 (u # U)
using ** cong-filter-notIde
by (metis (mono-tags, lifting) *** Arr-map-un-App2 Std

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```

Std-imp-Arr empty-filter-conv filter-notIde-Ide insert-subset
list.discI list.set-map list.simps(15) mem-Collect-eq subsetI u
moreover have seq [N] (filter notIde (map Λ.un-App2 (u # U)))
  by (metis 5 Arr.simps(1) Con-implies-Arr(2) Ide.simps(1)
       arr-append-imp-seq arr-char calculation ide-char not-Cons-self2)
ultimately show ?thesis
  using cong-append cong-reflexive by blast
qed
also have [N] @ map Λ.un-App2 (u # U) =
  N # map Λ.un-App2 (u # U)
  by simp
finally show ?thesis by blast
qed
show seq (map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U))))))
  (map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))))
  (stdz-insert N (filter notIde (map Λ.un-App2 (u # U))))))
  by (metis 4 * ** A B Ide.simps(1) Nil-is-append-conv Nil-is-map-conv
       Resid.simps(1) Std-imp-Arr <Std (stdz-insert (M o N) (u # U))>
       arr-append-imp-seq arr-char ide-char)
show map (λX. X o Λ.Src N)
  (stdz-insert M (filter notIde (map Λ.un-App1 (u # U)))) *~*
  map (λX. X o Λ.Src N) (M # map Λ.un-App1 (u # U))
  using X cong-map-App2 MN lambda-calculus.Ide-Src by presburger
show map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U)))))
  (stdz-insert N (filter notIde (map Λ.un-App2 (u # U)))) *~*
  map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) (N # map Λ.un-App2 (u # U))
proof -
  have set U ⊆ Collect ΛArr ∩ Collect Λ.is-App
    using *** Std Std-implies-set-subset-elementary-reduction
      Λ.elementary-reduction-is-arr
    by blast
  hence Λ.Ide (Λ.Trig (Λ.un-App1 (last (u # U))))
    by (metis inf.boundedE ΛArr.simps(4) Λ.Ide-Trig
         Λ.lambda.collapse(3) last.simps last-in-set mem-Collect-eq
         subset-eq u)
  thus ?thesis
    using Y cong-map-App1 by blast
qed
qed
also have map (λX. X o Λ.Src N) (M # map Λ.un-App1 (u # U)) @
  map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) (N # map Λ.un-App2 (u # U)) *~*
  [M o N] @ [u] @ U
proof -
  have (map (λX. X o Λ.Src N) (M # map Λ.un-App1 (u # U)) @
    map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) (N # map Λ.un-App2 (u # U))) =

```

```

([M o Λ.Src N] @
 map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U))) @
 ([Λ.Trig (Λ.un-App1 (last (u # U))) o N] @
 map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) @
 (map Λ.un-App2 (u # U)))
by simp
also have ... = [M o Λ.Src N] @
(map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U))) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U)))) [N]) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) @
(map Λ.un-App2 (u # U))
by auto
also have ... *~* [M o Λ.Src N] @
(map (Λ.App (Λ.Src (Λ.un-App1 u))) [N]) @
map (λX. X o Λ.Trig N) (map Λ.un-App1 (u # U))) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) @
(map Λ.un-App2 (u # U))
proof -
have (map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U))) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U)))) [N]) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) @
(map Λ.un-App2 (u # U)) *~*
(map (Λ.App (Λ.Src (Λ.un-App1 u))) [N]) @
map (λX. X o Λ.Trig N) (map Λ.un-App1 (u # U))) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) @
(map Λ.un-App2 (u # U))
proof -
have 1: Arr (map Λ.un-App1 (u # U))
using u ***
by (metis Arr-map-un-App1 Std Std-imp-Arr list.discI
mem-Collect-eq set-ConsD subset-code(1))
have map (λX. Λ.App X (Λ.Src N)) (map Λ.un-App1 (u # U)) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) [N] *~*
map (Λ.App (Λ.Src (Λ.un-App1 u))) [N] @
map (λX. Λ.App X (Λ.Trig N)) (map Λ.un-App1 (u # U))
proof -
have Arr [N]
using MN by simp
moreover have Λ.Trig (last (map Λ.un-App1 (u # U))) =
Λ.Trig (Λ.un-App1 (last (u # U)))
by (simp add: last-map)
ultimately show ?thesis
using 1 orthogonal-App-cong [of map Λ.un-App1 (u # U) [N]]
by simp
qed
moreover have seq (map (λX. X o Λ.Src N) (map Λ.un-App1 (u # U))) @
map (Λ.App (Λ.Trig (Λ.un-App1 (last (u # U))))) [N])

```

```


$$(map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))$$


$$(\map \Lambda.un-App2 (u \# U)))$$


proof
show Arr (map ( $\lambda X. X \circ \Lambda.Src N$ )

$$(\map \Lambda.un-App1 (u \# U)) @$$


$$map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))) [N])$$

by (metis Con-implies-Arr(1) Ide.simps(1) calculation ide-char)
show Arr (map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))

$$(\map \Lambda.un-App2 (u \# U)))$$

using u ***
by (metis 1 Arr-imp-arr-last Arr-map-App2 Arr-map-un-App2
Std Std-imp-Arr  $\Lambda$ .Ide-Trg  $\Lambda$ .arr-char last-map list.discI
mem-Collect-eq set-ConsD subset-code(1))
show  $\Lambda$ .Trg (last (map ( $\lambda X. X \circ \Lambda.Src N$ )

$$(\map \Lambda.un-App1 (u \# U)) @$$


$$map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))$$


$$[N])) =$$


$$\Lambda.Src (hd (map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))))$$


$$(\map \Lambda.un-App2 (u \# U)))$$


proof –
have 1:  $\Lambda$ .Arr ( $\Lambda$ .un-App1 u)
using u  $\Lambda$ .is-App-def by force
have 2:  $U \neq [] \implies \Lambda$ .Arr ( $\Lambda$ .un-App1 (last U))
by (metis *** Arr-imp-arr-last Arr-map-un-App1
 $\langle U \neq [] \implies Arr U \rangle$   $\Lambda$ .arr-char last-map)
have 3:  $\Lambda$ .Trg N =  $\Lambda$ .Src ( $\Lambda$ .un-App2 u)
by (metis Trg-last-Src-hd-eqI  $\Lambda$ .Src.simps(4)  $\Lambda$ .Trg.simps(3)
 $\Lambda$ .lambda.collapse(3)  $\Lambda$ .lambda.inject(3) last-ConsL
list.sel(1) seq u)
show ?thesis
using u *** seq 1 2 3
by (cases U = []) auto
qed
qed
moreover have map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))

$$(\map \Lambda.un-App2 (u \# U)) *~^*$$


$$map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))$$


$$(\map \Lambda.un-App2 (u \# U))$$

using calculation(2) cong-reflexive by blast
ultimately show ?thesis
using cong-append by blast
qed
moreover have seq [M  $\circ$   $\Lambda$ .Src N]

$$((map (\lambda X. X \circ \Lambda.Src N) (map \Lambda.un-App1 (u \# U)) @$$


$$map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U))))) [N]) @$$


$$map (\Lambda.App (\Lambda.Trg (\Lambda.un-App1 (last (u \# U)))))$$


$$(\map \Lambda.un-App2 (u \# U)))$$


proof
show Arr [M  $\circ$   $\Lambda$ .Src N]
```

```

        using  $MN$  by simp
show  $\text{Arr} ((\text{map } (\lambda X. X \circ \Lambda.\text{Src } N) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
       $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U)))))) [N]) @$ 
       $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))))))$ 
       $(\text{map } \Lambda.\text{un-App2 } (u \# U)))$ 
using  $MN u seq$ 
by (metis Con-implies- $\text{Arr}(1)$  Ide.simps(1) calculation ide-char)
show  $\Lambda.\text{Trg } (\text{last } [M \circ \Lambda.\text{Src } N]) =$ 
 $\Lambda.\text{Src } (\text{hd } ((\text{map } (\lambda X. X \circ \Lambda.\text{Src } N) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
       $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U)))))) [N]) @$ 
       $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))))))$ 
       $(\text{map } \Lambda.\text{un-App2 } (u \# U)))$ 
using  $MN u seq seq\text{-char } \text{Srcs}\text{-simp}_{\Lambda P}$ 
by (cases u) auto
qed
ultimately show ?thesis
using cong-append
by (meson Resid- $\text{Arr}$ -self ide-char seq-char)
qed
also have  $[M \circ \Lambda.\text{Src } N] @$ 
 $(\text{map } (\Lambda.\text{App } (\Lambda.\text{Src } (\Lambda.\text{un-App1 } u))) [N] @$ 
 $\text{map } (\lambda X. \Lambda.\text{App } X (\Lambda.\text{Trg } N)) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))))))$ 
 $(\text{map } \Lambda.\text{un-App2 } (u \# U)) =$ 
 $([M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Src } (\Lambda.\text{un-App1 } u) \circ N]) @$ 
 $(\text{map } (\lambda X. X \circ \Lambda.\text{Trg } N) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))))))$ 
 $(\text{map } \Lambda.\text{un-App2 } (u \# U))$ 
by simp
also have ...  $*\sim^* ([M \circ N] @ [u] @ U)$ 
proof -
have  $[M \circ \Lambda.\text{Src } N] @ [\Lambda.\text{Src } (\Lambda.\text{un-App1 } u) \circ N] * \sim^* [M \circ N]$ 
proof -
have  $\Lambda.\text{Src } (\Lambda.\text{un-App1 } u) = \Lambda.\text{Trg } M$ 
by (metis Trg-last-Src-hd-eqI  $\Lambda.\text{Src}.simps(4)$   $\Lambda.\text{Trg}.simps(3)$ 
 $\Lambda.\text{lambda}.collapse(3)$   $\Lambda.\text{lambda}.inject(3)$  last.simps
list.sel(1) seq u)
thus ?thesis
using  $MN u seq seq\text{-char } \Lambda.\text{Arr}\text{-not-Nil } \Lambda.\text{resid-}\text{Arr}\text{-self ide-char}$ 
 $\Lambda.\text{Ide-}\text{Trg}$ 
by simp
qed
moreover have  $\text{map } (\lambda X. X \circ \Lambda.\text{Trg } N) (\text{map } \Lambda.\text{un-App1 } (u \# U)) @$ 
 $\text{map } (\Lambda.\text{App } (\Lambda.\text{Trg } (\Lambda.\text{un-App1 } (\text{last } (u \# U))))))$ 
 $(\text{map } \Lambda.\text{un-App2 } (u \# U)) * \sim^*$ 
 $[u] @ U$ 
proof -
have  $\text{Arr } ([u] @ U)$ 
by (simp add: Std)

```

```

moreover have set ([u] @ U) ⊆ Collect Λ.is-App
  using *** u by auto
moreover have Λ.Src (Λ.un-App2 (hd ([u] @ U))) = Λ.Trg N
proof -
  have Λ.Ide (Λ.Trg N)
    using MN lambda-calculus.Ide-Trg by presburger
  moreover have Λ.Ide (Λ.Src (Λ.un-App2 (hd ([u] @ U))))
    by (metis Std Std-implies-set-subset-elementary-reduction
        Λ.Ide-Src Λ.arr-iff-has-source Λ.ide-implies-arr
        ‹set ([u] @ U) ⊆ Collect Λ.is-App› append-Cons
        Λ.elementary-reduction-App-iff Λ.elementary-reduction-is-arr
        Λ.sources-charΛ list.sel(1) list.set-intros(1)
        mem-Collect-eq subset-code(1))
  moreover have Λ.Src (Λ.Trg N) =
    Λ.Src (Λ.Src (Λ.un-App2 (hd ([u] @ U))))
  proof -
    have Λ.Src (Λ.Trg N) = Λ.Trg N
      using MN by simp
    also have ... = Λ.Src (Λ.un-App2 u)
      using u seq seq-char Srcs-simpΛP
      by (cases u) auto
    also have ... = Λ.Src (Λ.Src (Λ.un-App2 (hd ([u] @ U))))
      by (metis Λ.Ide-iff-Src-self Λ.Ide-implies-Arr
          ‹Λ.Ide (Λ.Src (Λ.un-App2 (hd ([u] @ U))))›
          append-Cons list.sel(1))
    finally show ?thesis by blast
  qed
  ultimately show ?thesis
    by (metis Λ.Ide-iff-Src-self Λ.Ide-implies-Arr)
  qed
  ultimately show ?thesis
    using map-App-decomp
    by (metis append-Cons append-Nil)
  qed
  moreover have seq ([M o Λ.Src N] @ [Λ.Src (Λ.un-App1 u) o N])
    (map (λX. X o Λ.Trg N) (map Λ.un-App1 (u # U)) @
     map (Λ.App (Λ.Trg (Λ.un-App1 (last (u # U))))))
    (map Λ.un-App2 (u # U)))
    using calculation(1–2) cong-respects-seqP seq by auto
  ultimately show ?thesis
    using cong-append by presburger
  qed
  finally show ?thesis by blast
  qed
  also have [M o N] @ [u] @ U = (M o N) # u # U
    by simp
  finally show stdz-insert (M o N) (u # U) *~* (M o N) # u # U
    by blast
  qed

```

```

qed
qed
qed
qed
qed
qed
qed
qed
qed

```

The eight remaining subgoals are now trivial consequences of fact \*. Unfortunately, I haven't found a way to discharge them without having to state each one of them explicitly.

```

show  $\wedge N u U. [\Lambda.Ide(\# \circ N) \implies ?P(hd(u \# U))(tl(u \# U));$ 
 $[\neg \Lambda.Ide(\# \circ N); \Lambda.seq(\# \circ N)(hd(u \# U));$ 
 $\Lambda.contains-head-reduction(\# \circ N);$ 
 $\Lambda.Ide((\# \circ N) \setminus \Lambda.head-redex(\# \circ N))]$ 
 $\implies ?P(hd(u \# U))(tl(u \# U));$ 
 $[\neg \Lambda.Ide(\# \circ N); \Lambda.seq(\# \circ N)(hd(u \# U));$ 
 $\Lambda.contains-head-reduction(\# \circ N);$ 
 $\neg \Lambda.Ide((\# \circ N) \setminus \Lambda.head-redex(\# \circ N))]$ 
 $\implies ?P((\# \circ N) \setminus \Lambda.head-redex(\# \circ N))(u \# U);$ 
 $[\neg \Lambda.Ide(\# \circ N); \Lambda.seq(\# \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\# \circ N);$ 
 $\Lambda.contains-head-reduction(hd(u \# U));$ 
 $\Lambda.Ide((\# \circ N) \setminus \Lambda.head-strategy(\# \circ N))]$ 
 $\implies ?P(\Lambda.head-strategy(\# \circ N))(tl(u \# U));$ 
 $[\neg \Lambda.Ide(\# \circ N); \Lambda.seq(\# \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\# \circ N);$ 
 $\Lambda.contains-head-reduction(hd(u \# U));$ 
 $\neg \Lambda.Ide((\# \circ N) \setminus \Lambda.head-strategy(\# \circ N))]$ 
 $\implies ?P(\Lambda.resid(\# \circ N)(\Lambda.head-strategy(\# \circ N)))(tl(u \# U));$ 
 $[\neg \Lambda.Ide(\# \circ N); \Lambda.seq(\# \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\# \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U))]$ 
 $\implies ?P \# (filter notIde (map \Lambda.un-App1 (u \# U)));$ 
 $[\neg \Lambda.Ide(\# \circ N); \Lambda.seq(\# \circ N)(hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\# \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U))]$ 
 $\implies ?P N (filter notIde (map \Lambda.un-App2 (u \# U)))]$ 
 $\implies ?P(\# \circ N)(u \# U)$ 
using *  $\Lambda.lambda.disc(6)$  by presburger
show  $\wedge x N u U. [\Lambda.Ide(\langle x \rangle \circ N) \implies ?P(hd(u \# U))(tl(u \# U));$ 
 $[\neg \Lambda.Ide(\langle x \rangle \circ N); \Lambda.seq(\langle x \rangle \circ N)(hd(u \# U));$ 
 $\Lambda.contains-head-reduction(\langle x \rangle \circ N);$ 
 $\Lambda.Ide((\langle x \rangle \circ N) \setminus \Lambda.head-redex(\langle x \rangle \circ N))]$ 
 $\implies ?P(hd(u \# U))(tl(u \# U));$ 
 $[\neg \Lambda.Ide(\langle x \rangle \circ N); \Lambda.seq(\langle x \rangle \circ N)(hd(u \# U));$ 
 $\Lambda.contains-head-reduction(\langle x \rangle \circ N);$ 

```

```

¬  $\Lambda.Ide((\langle\langle x\rangle\rangle \circ N) \setminus \Lambda.head-redex(\langle\langle x\rangle\rangle \circ N))$ ]
 $\implies ?P((\langle\langle x\rangle\rangle \circ N) \setminus \Lambda.head-redex(\langle\langle x\rangle\rangle \circ N)) (u \# U);$ 
 $\llbracket \neg \Lambda.Ide(\langle\langle x\rangle\rangle \circ N); \Lambda.seq(\langle\langle x\rangle\rangle \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\langle\langle x\rangle\rangle \circ N);$ 
 $\Lambda.contains-head-reduction(hd(u \# U));$ 
 $\Lambda.Ide((\langle\langle x\rangle\rangle \circ N) \setminus \Lambda.head-strategy(\langle\langle x\rangle\rangle \circ N))$ ]
 $\implies ?P(\Lambda.head-strategy(\langle\langle x\rangle\rangle \circ N)) (tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(\langle\langle x\rangle\rangle \circ N); \Lambda.seq(\langle\langle x\rangle\rangle \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\langle\langle x\rangle\rangle \circ N);$ 
 $\Lambda.contains-head-reduction(hd(u \# U));$ 
 $\neg \Lambda.Ide((\langle\langle x\rangle\rangle \circ N) \setminus \Lambda.head-strategy(\langle\langle x\rangle\rangle \circ N))$ ]
 $\implies ?P((\langle\langle x\rangle\rangle \circ N) \setminus \Lambda.head-strategy(\langle\langle x\rangle\rangle \circ N)) (tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(\langle\langle x\rangle\rangle \circ N); \Lambda.seq(\langle\langle x\rangle\rangle \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\langle\langle x\rangle\rangle \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U))$ ]
 $\implies ?P \langle\langle x\rangle\rangle (filter notIde (map \Lambda.un-App1 (u \# U)));$ 
 $\llbracket \neg \Lambda.Ide(\langle\langle x\rangle\rangle \circ N); \Lambda.seq(\langle\langle x\rangle\rangle \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(\langle\langle x\rangle\rangle \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U))$ ]
 $\implies ?P N (filter notIde (map \Lambda.un-App2 (u \# U)))$ ]
 $\implies ?P (\langle\langle x\rangle\rangle \circ N) (u \# U)$ 

using *  $\Lambda.lambda.disc(7)$  by presburger

show  $\bigwedge M1 M2 N u U$ .  $\llbracket \Lambda.Ide(M1 \circ M2 \circ N) \implies ?P(hd(u \# U)) (tl(u \# U));$ 
 $\neg \Lambda.Ide(M1 \circ M2 \circ N); \Lambda.seq(M1 \circ M2 \circ N) (hd(u \# U));$ 
 $\Lambda.contains-head-reduction(M1 \circ M2 \circ N);$ 
 $\Lambda.Ide((M1 \circ M2 \circ N) \setminus \Lambda.head-redex(M1 \circ M2 \circ N))$ ]
 $\implies ?P(hd(u \# U)) (tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(M1 \circ M2 \circ N); \Lambda.seq(M1 \circ M2 \circ N) (hd(u \# U));$ 
 $\Lambda.contains-head-reduction(M1 \circ M2 \circ N);$ 
 $\neg \Lambda.Ide((M1 \circ M2 \circ N) \setminus \Lambda.head-redex(M1 \circ M2 \circ N))$ ]
 $\implies ?P((M1 \circ M2 \circ N) \setminus \Lambda.head-redex(M1 \circ M2 \circ N)) (u \# U);$ 
 $\llbracket \neg \Lambda.Ide(M1 \circ M2 \circ N); \Lambda.seq(M1 \circ M2 \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(M1 \circ M2 \circ N);$ 
 $\Lambda.contains-head-reduction(hd(u \# U));$ 
 $\Lambda.Ide((M1 \circ M2 \circ N) \setminus \Lambda.head-strategy(M1 \circ M2 \circ N))$ ]
 $\implies ?P(\Lambda.head-strategy(M1 \circ M2 \circ N)) (tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(M1 \circ M2 \circ N); \Lambda.seq(M1 \circ M2 \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(M1 \circ M2 \circ N);$ 
 $\Lambda.contains-head-reduction(hd(u \# U));$ 
 $\neg \Lambda.Ide((M1 \circ M2 \circ N) \setminus \Lambda.head-strategy(M1 \circ M2 \circ N))$ ]
 $\implies ?P((M1 \circ M2 \circ N) \setminus \Lambda.head-strategy(M1 \circ M2 \circ N)) (tl(u \# U));$ 
 $\llbracket \neg \Lambda.Ide(M1 \circ M2 \circ N); \Lambda.seq(M1 \circ M2 \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(M1 \circ M2 \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U))$ ]
 $\implies ?P(M1 \circ M2) (filter notIde (map \Lambda.un-App1 (u \# U)));$ 
 $\llbracket \neg \Lambda.Ide(M1 \circ M2 \circ N); \Lambda.seq(M1 \circ M2 \circ N) (hd(u \# U));$ 
 $\neg \Lambda.contains-head-reduction(M1 \circ M2 \circ N);$ 
 $\neg \Lambda.contains-head-reduction(hd(u \# U))$ ]
 $\implies ?P N (filter notIde (map \Lambda.un-App2 (u \# U)))$ ]

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```

 $\implies ?P (M1 \circ M2 \circ N) (u \# U)$ 
using *  $\Lambda.\text{lambda}.\text{disc}(9)$  by presburger
show  $\bigwedge M1 M2 N u U. [\Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N) \implies ?P (\text{hd} (u \# U)) (\text{tl} (u \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq} (\lambda[M1] \bullet M2 \circ N) (\text{hd} (u \# U));$ 
 $\quad \Lambda.\text{contains-head-reduction} (\lambda[M1] \bullet M2 \circ N);$ 
 $\quad \Lambda.\text{Ide} ((\lambda[M1] \bullet M2 \circ N) \setminus (\Lambda.\text{head-redex} (\lambda[M1] \bullet M2 \circ N)))]$ 
 $\quad \implies ?P (\text{hd} (u \# U)) (\text{tl} (u \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq} (\lambda[M1] \bullet M2 \circ N) (\text{hd} (u \# U));$ 
 $\quad \Lambda.\text{contains-head-reduction} (\lambda[M1] \bullet M2 \circ N);$ 
 $\quad \neg \Lambda.\text{Ide} ((\lambda[M1] \bullet M2 \circ N) \setminus (\Lambda.\text{head-redex} (\lambda[M1] \bullet M2 \circ N)))]$ 
 $\quad \implies ?P (\Lambda.\text{resid} (\lambda[M1] \bullet M2 \circ N) (\Lambda.\text{head-redex} (\lambda[M1] \bullet M2 \circ N)))$ 
 $\quad (u \# U);$ 
 $\quad [\neg \Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq} (\lambda[M1] \bullet M2 \circ N) (\text{hd} (u \# U));$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (\lambda[M1] \bullet M2 \circ N);$ 
 $\quad \Lambda.\text{contains-head-reduction} (\text{hd} (u \# U));$ 
 $\quad \Lambda.\text{Ide} ((\lambda[M1] \bullet M2 \circ N) \setminus \Lambda.\text{head-strategy} (\lambda[M1] \bullet M2 \circ N)))]$ 
 $\quad \implies ?P (\Lambda.\text{head-strategy} (\lambda[M1] \bullet M2 \circ N)) (\text{tl} (u \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq} (\lambda[M1] \bullet M2 \circ N) (\text{hd} (u \# U));$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (\lambda[M1] \bullet M2 \circ N);$ 
 $\quad \Lambda.\text{contains-head-reduction} (\text{hd} (u \# U));$ 
 $\quad \neg \Lambda.\text{Ide} ((\lambda[M1] \bullet M2 \circ N) \setminus \Lambda.\text{head-strategy} (\lambda[M1] \bullet M2 \circ N)))]$ 
 $\quad \implies ?P ((\lambda[M1] \bullet M2 \circ N) \setminus \Lambda.\text{head-strategy} (\lambda[M1] \bullet M2 \circ N))$ 
 $\quad (\text{tl} (u \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq} (\lambda[M1] \bullet M2 \circ N) (\text{hd} (u \# U));$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (\lambda[M1] \bullet M2 \circ N);$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (\text{hd} (u \# U))]$ 
 $\quad \implies ?P (\lambda[M1] \bullet M2) (\text{filter not} \text{Ide} (\text{map } \Lambda.\text{un-App1} (u \# U)));$ 
 $\quad [\neg \Lambda.\text{Ide} (\lambda[M1] \bullet M2 \circ N); \Lambda.\text{seq} (\lambda[M1] \bullet M2 \circ N) (\text{hd} (u \# U));$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (\lambda[M1] \bullet M2 \circ N);$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (\text{hd} (u \# U))]$ 
 $\quad \implies ?P N (\text{filter not} \text{Ide} (\text{map } \Lambda.\text{un-App2} (u \# U)))]$ 
 $\implies ?P (\lambda[M1] \bullet M2 \circ N) (u \# U)$ 
using *  $\Lambda.\text{lambda}.\text{disc}(10)$  by presburger
show  $\bigwedge M N U. [\Lambda.\text{Ide} (M \circ N) \implies ?P (\text{hd} (\sharp \# U)) (\text{tl} (\sharp \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} (\sharp \# U));$ 
 $\quad \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\quad \Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N))]$ 
 $\quad \implies ?P (\text{hd} (\sharp \# U)) (\text{tl} (\sharp \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} (\sharp \# U));$ 
 $\quad \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\quad \neg \Lambda.\text{Ide} ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N))]$ 
 $\quad \implies ?P ((M \circ N) \setminus \Lambda.\text{head-redex} (M \circ N)) (\sharp \# U);$ 
 $\quad [\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} (\sharp \# U));$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (M \circ N);$ 
 $\quad \Lambda.\text{contains-head-reduction} (\text{hd} (\sharp \# U));$ 
 $\quad \Lambda.\text{Ide} (\Lambda.\text{resid} (M \circ N) (\Lambda.\text{head-strategy} (M \circ N)))]$ 
 $\quad \implies ?P (\Lambda.\text{head-strategy} (M \circ N)) (\text{tl} (\sharp \# U));$ 
 $\quad [\neg \Lambda.\text{Ide} (M \circ N); \Lambda.\text{seq} (M \circ N) (\text{hd} (\sharp \# U));$ 
 $\quad \neg \Lambda.\text{contains-head-reduction} (M \circ N);$ 

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```

 $\Lambda.\text{contains-head-reduction}(\text{hd}(\# U));$ 
 $\neg \Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)) \Rightarrow ?P((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)) (\text{tl}(\# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(\# U)) \Rightarrow ?P M (\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App1}(\# U)));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(\# U)) \Rightarrow ?P N (\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App2}(\# U))) \rrbracket$ 
 $\Rightarrow ?P(M \circ N)(\# U)$ 

using *  $\Lambda.\text{lambda.disc}(16)$  by presburger
show  $\bigwedge M N x U. [\Lambda.\text{Ide}(M \circ N) \Rightarrow ?P(\text{hd}(\langle x \rangle \# U)) (\text{tl}(\langle x \rangle \# U));$ 
 $\neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\langle x \rangle \# U));$ 
 $\Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) \Rightarrow ?P(\text{hd}(\langle x \rangle \# U)) (\text{tl}(\langle x \rangle \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\langle x \rangle \# U));$ 
 $\Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) \Rightarrow ?P((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) (\langle x \rangle \# U);$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\langle x \rangle \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(\langle x \rangle \# U));$ 
 $\Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)) \Rightarrow ?P(\Lambda.\text{head-strategy}(M \circ N)) (\text{tl}(\langle x \rangle \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\langle x \rangle \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\Lambda.\text{contains-head-reduction}(\text{hd}(\langle x \rangle \# U));$ 
 $\neg \Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)) \Rightarrow ?P((M \circ N) \setminus \Lambda.\text{head-strategy}(M \circ N)) (\text{tl}(\langle x \rangle \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\langle x \rangle \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(\langle x \rangle \# U)) \Rightarrow ?P M (\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App1}(\langle x \rangle \# U)));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\langle x \rangle \# U));$ 
 $\neg \Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\neg \Lambda.\text{contains-head-reduction}(\text{hd}(\langle x \rangle \# U)) \Rightarrow ?P N (\text{filter not} \text{Ide}(\text{map } \Lambda.\text{un-App2}(\langle x \rangle \# U))) \rrbracket$ 
 $\Rightarrow ?P(M \circ N)(\langle x \rangle \# U)$ 

using *  $\Lambda.\text{lambda.disc}(17)$  by presburger
show  $\bigwedge M N P U. [\Lambda.\text{Ide}(M \circ N) \Rightarrow ?P(\text{hd}(\lambda[P] \# U)) (\text{tl}(\lambda[P] \# U));$ 
 $\neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\lambda[P] \# U));$ 
 $\Lambda.\text{contains-head-reduction}(M \circ N);$ 
 $\Lambda.\text{Ide}((M \circ N) \setminus \Lambda.\text{head-redex}(M \circ N)) \Rightarrow ?P(\text{hd}(\lambda[P] \# U)) (\text{tl}(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.\text{Ide}(M \circ N); \Lambda.\text{seq}(M \circ N) (\text{hd}(\lambda[P] \# U));$ 
 $\Lambda.\text{contains-head-reduction}(M \circ N);$ 

```

```

¬  $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N)) (\lambda[P] \# U);$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U));$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P(\Lambda.head\text{-}strategy(M \circ N)) (tl(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U));$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P(\Lambda.resid(M \circ N) (\Lambda.head\text{-}strategy(M \circ N))) (tl(\lambda[P] \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U))$ 
 $\implies ?P M (filter notIde (map \Lambda.un\text{-}App1 (\lambda[P] \# U)))$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd(\lambda[P] \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd(\lambda[P] \# U))$ 
 $\implies ?P N (filter notIde (map \Lambda.un\text{-}App2 (\lambda[P] \# U)))$ 
 $\implies ?P(M \circ N) (\lambda[P] \# U)$ 
using *  $\Lambda.lambda.disc(18)$  by presburger
show  $\wedge M N P1 P2 U. [\Lambda.Ide(M \circ N)$ 
 $\implies ?P(hd((P1 \circ P2) \# U)) (tl((P1 \circ P2) \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd((P1 \circ P2) \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
 $\implies ?P(hd((P1 \circ P2) \# U)) (tl((P1 \circ P2) \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd((P1 \circ P2) \# U));$ 
 $\Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N))$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head\text{-}redex(M \circ N)) ((P1 \circ P2) \# U);$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd((P1 \circ P2) \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd((P1 \circ P2) \# U));$ 
 $\Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P(\Lambda.head\text{-}strategy(M \circ N)) (tl((P1 \circ P2) \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd((P1 \circ P2) \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\Lambda.contains\text{-}head\text{-}reduction(hd((P1 \circ P2) \# U));$ 
 $\neg \Lambda.Ide((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N))$ 
 $\implies ?P((M \circ N) \setminus \Lambda.head\text{-}strategy(M \circ N)) (tl((P1 \circ P2) \# U));$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd((P1 \circ P2) \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd((P1 \circ P2) \# U))$ 
 $\implies ?P M (filter notIde (map \Lambda.un\text{-}App1 ((P1 \circ P2) \# U)))$ 
 $\llbracket \neg \Lambda.Ide(M \circ N); \Lambda.seq(M \circ N) (hd((P1 \circ P2) \# U));$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(M \circ N);$ 
 $\neg \Lambda.contains\text{-}head\text{-}reduction(hd((P1 \circ P2) \# U))$ 

```

```

     $\implies ?P N (\text{filter not} \text{Ide} (\text{map } \Lambda.\text{un-App2} ((P1 \circ P2) \# U)))$ 
     $\implies ?P (M \circ N) ((P1 \circ P2) \# U)$ 
using *  $\Lambda.\text{lambda.disc}(19)$  by presburger
qed

```

## The Standardization Theorem

Using the function *standardize*, we can now prove the Standardization Theorem. There is still a little bit more work to do, because we have to deal with various cases in which the reduction path to be standardized is empty or consists entirely of identities.

```

theorem standardization-theorem:
shows Arr T  $\implies$  Std (standardize T)  $\wedge$  (Ide T  $\longrightarrow$  standardize T = [])  $\wedge$ 
        ( $\neg$  Ide T  $\longrightarrow$  cong (standardize T) T)
proof (induct T)
show Arr []  $\implies$  Std (standardize [])  $\wedge$  (Ide []  $\longrightarrow$  standardize [] = [])  $\wedge$ 
        ( $\neg$  Ide []  $\longrightarrow$  cong (standardize []) [])
by simp
fix t T
assume ind: Arr T  $\implies$  Std (standardize T)  $\wedge$  (Ide T  $\longrightarrow$  standardize T = [])  $\wedge$ 
        ( $\neg$  Ide T  $\longrightarrow$  cong (standardize T) T)
assume tT: Arr (t # T)
have t:  $\Lambda.\text{Arr}$  t
using tT Arr-imp-arr-hd by force
show Std (standardize (t # T))  $\wedge$  (Ide (t # T)  $\longrightarrow$  standardize (t # T) = [])  $\wedge$ 
        ( $\neg$  Ide (t # T)  $\longrightarrow$  cong (standardize (t # T)) (t # T))
proof (cases T = [])
show T = []  $\implies$  ?thesis
using t tT Ide-iff-standard-development-empty Std-standard-development
        cong-standard-development
by simp
assume 0: T  $\neq$  []
hence T: Arr T
using tT
by (metis Arr-imp-Arr-tl list.sel(3))
show ?thesis
proof (intro conjI)
show Std (standardize (t # T))
proof -
have 1:  $\neg$  Ide T  $\implies$  seq [t] (standardize T)
using t T ind 0 ide-char Con-implies-Arr(1)
apply (intro seqI $\Lambda$ P)
apply simp
apply (metis Con-implies-Arr(1) Ide.simps(1) ide-char)
by (metis Src-hd-eqI Trg-last-Src-hd-eqI ' $T \neq []$ ' append-Cons arrIP
        arr-append-imp-seq list.distinct(1) self-append-conv2 tT)
show ?thesis
using T 1 ind Std-standard-development stdz-insert-correctness by auto
qed
show Ide (t # T)  $\longrightarrow$  standardize (t # T) = []

```

```

using Ide-consE Ide-iff-standard-development-empty Ide-implies-Arr ind
  Λ.Ide-implies-Arr Λ.ide-char
by (metis list.sel(1,3) standardize.simps(1-2) stdz-insert.simps(1))
show ¬ Ide (t # T) —> standardize (t # T) *~* t # T
proof
  assume 1: ¬ Ide (t # T)
  show standardize (t # T) *~* t # T
  proof (cases Λ.Ide t)
    assume t: Λ.Ide t
    have 2: ¬ Ide T
      using 1 t tT by fastforce
    have standardize (t # T) = stdz-insert t (standardize T)
      by simp
    also have ... *~* t # T
    proof -
      have 3: Std (standardize T) ∧ standardize T *~* T
        using T 2 ind by blast
      have stdz-insert t (standardize T) =
        stdz-insert (hd (standardize T)) (tl (standardize T))
      proof -
        have seq [t] (standardize T)
          using 0 2 tT ind
        by (metis Arr.elims(2) Con-imp-eq-Srcs Con-implies-Arr(1) Ide.simps(1-2)
            Ide-implies-Arr Trgs.simps(2) ide-char Λ.ide-char list.inject
            seq-char seq-implies-Trgs-eq-Srcs t)
        thus ?thesis
          using t 3 stdz-insert-Ide-Std by blast
      qed
      also have ... *~* hd (standardize T) # tl (standardize T)
      proof -
        have ¬ Ide (standardize T)
          using 2 3 ide-backward-stable ide-char by blast
        moreover have tl (standardize T) ≠ [] ==>
          seq [hd (standardize T)] (tl (standardize T)) ∧
          Std (tl (standardize T))
        by (metis 3 Std-consE Std-imp-Arr append.left-neutral append-Cons
            arr-append-imp-seq arr-char hd-Cons-tl list.discI tl-Nil)
        ultimately show ?thesis
        by (metis 2 Ide.simps(2) Resid.simps(1) Std-consE T cong-standard-development
            ide-char ind Λ.ide-char list.exhaust-sel stdz-insert.simps(1)
            stdz-insert-correctness)
      qed
      also have hd (standardize T) # tl (standardize T) = standardize T
        by (metis 3 Arr.simps(1) Con-implies-Arr(2) Ide.simps(1) ide-char
            list.exhaust-sel)
      also have standardize T *~* T
        using 3 by simp
      also have T *~* t # T
        using 0 t tT arr-append-imp-seq arr-char cong-cons-ideI(2) by simp
    qed
  qed
also have standardize (t # T) *~* t # T
  by (metis 3 standardize.simps(1) Con-implies-Arr(2) Ide.simps(1) ide-char
      list.exhaust-sel)

```

```

finally show ?thesis by blast
qed
thus ?thesis by auto
next
assume t:  $\neg \Lambda.\text{Ide } t$ 
show ?thesis
proof (cases Ide T)
  assume T: Ide T
  have standardize (t # T) = standard-development t
    using t T Ide-implies-Arr ind by simp
  also have ...  $\sim^*$  [t]
    using t T tT cong-standard-development [of t] by blast
  also have [t]  $\sim^*$  [t] @ T
    using t T tT cong-append-ideI(4) [of [t] T]
    by (simp add: 0 arrIP arr-append-imp-seq ide-char)
  finally show ?thesis by auto
next
assume T:  $\neg \text{Ide } T$ 
have 1: Std (standardize T)  $\wedge$  standardize T  $\sim^*$  T
  using T ⟨Arr T⟩ ind by blast
have 2: seq [t] (standardize T)
  by (metis 0 Arr.simps(2) Arr.simps(3) Con-imp-eq-Srcs Con-implies-Arr(2)
      Ide.elims(3) Ide.simps(1) T Trgs.simps(2) ide-char ind
      seq-char seq-implies-Trgs-eq-Srcs tT)
have stdz-insert t (standardize T)  $\sim^*$  t # standardize T
  using t 1 2 stdz-insert-correctness [of t standardize T] by blast
also have t # standardize T  $\sim^*$  t # T
  using 1 2
  by (meson Arr.simps(2) Λ.prfx-reflexive cong-cons seq-char)
finally show ?thesis by auto
qed
qed
qed
qed
qed
qed

```

## The Leftmost Reduction Theorem

In this section we prove the Leftmost Reduction Theorem, which states that leftmost reduction is a normalizing strategy.

We first show that if a standard reduction path reaches a normal form, then the path must be the one produced by following the leftmost reduction strategy. This is because, in a standard reduction path, once a leftmost redex is skipped, all subsequent reductions occur “to the right of it”, hence they are all non-leftmost reductions that do not contract the skipped redex, which remains in the leftmost position.

The Leftmost Reduction Theorem then follows from the Standardization Theorem. If a term is normalizable, there is a reduction path from that term to a normal form.

By the Standardization Theorem we may as well assume that path is standard. But a standard reduction path to a normal form is the path generated by following the leftmost reduction strategy, hence leftmost reduction reaches a normal form after a finite number of steps.

```

lemma sseq-reflects-leftmost-reduction:
assumes  $\Lambda.sseq t u$  and  $\Lambda.is-leftmost-reduction u$ 
shows  $\Lambda.is-leftmost-reduction t$ 
proof -
  have  $*: \bigwedge u. u = \Lambda.leftmost-strategy (\Lambda.Src t) \setminus t \implies \neg \Lambda.sseq t u$  for  $t$ 
  proof (induct t)
    show  $\bigwedge u. \neg \Lambda.sseq \# u$ 
      using  $\Lambda.sseq-imp-seq$  by blast
    show  $\bigwedge x u. \neg \Lambda.sseq ``x'' u$ 
      using  $\Lambda.elementary-reduction.simps(2)$   $\Lambda.sseq-imp-elementary-reduction1$  by blast
    show  $\bigwedge t u. [\bigwedge u. u = \Lambda.leftmost-strategy (\Lambda.Src t) \setminus t \implies \neg \Lambda.sseq t u;$ 
       $u = \Lambda.leftmost-strategy (\Lambda.Src \lambda[t]) \setminus \lambda[t]]$ 
       $\implies \neg \Lambda.sseq \lambda[t] u$ 
      by auto
    show  $\bigwedge t1 t2 u. [\bigwedge u. u = \Lambda.leftmost-strategy (\Lambda.Src t1) \setminus t1 \implies \neg \Lambda.sseq t1 u;$ 
       $\quad \bigwedge u. u = \Lambda.leftmost-strategy (\Lambda.Src t2) \setminus t2 \implies \neg \Lambda.sseq t2 u;$ 
       $\quad u = \Lambda.leftmost-strategy (\Lambda.Src (\lambda[t1] \bullet t2)) \setminus (\lambda[t1] \bullet t2)]$ 
       $\implies \neg \Lambda.sseq (\lambda[t1] \bullet t2) u$ 
    apply simp
    by (metis  $\Lambda.sseq-imp-elementary-reduction2$   $\Lambda.Coinitial-iff-Con$   $\Lambda.Ide-Src$ 
       $\Lambda.Ide-Subst$   $\Lambda.elementary-reduction-not-ide$   $\Lambda.ide-char$   $\Lambda.resid-Ide-Arr$ )
    show  $\bigwedge t1 t2. [\bigwedge u. u = \Lambda.leftmost-strategy (\Lambda.Src t1) \setminus t1 \implies \neg \Lambda.sseq t1 u;$ 
       $\quad \bigwedge u. u = \Lambda.leftmost-strategy (\Lambda.Src t2) \setminus t2 \implies \neg \Lambda.sseq t2 u;$ 
       $\quad u = \Lambda.leftmost-strategy (\Lambda.Src (\Lambda.App t1 t2)) \setminus \Lambda.App t1 t2]$ 
       $\implies \neg \Lambda.sseq (\Lambda.App t1 t2) u$  for  $u$ 
    apply (cases  $u$ )
      apply simp-all
      apply (metis  $\Lambda.elementary-reduction.simps(2)$   $\Lambda.sseq-imp-elementary-reduction2$ )
      apply (metis  $\Lambda.Src.simps(3)$   $\Lambda.Src-resid$   $\Lambda.Trg.simps(3)$   $\Lambda.lambda.distinct(15)$ 
         $\Lambda.lambda.distinct(3))$ 
    proof -
      show  $\bigwedge t1 t2 u1 u2.$ 
         $[\neg \Lambda.sseq t1 (\Lambda.leftmost-strategy (\Lambda.Src t1) \setminus t1);$ 
         $\neg \Lambda.sseq t2 (\Lambda.leftmost-strategy (\Lambda.Src t2) \setminus t2);$ 
         $\lambda[u1] \bullet u2 = \Lambda.leftmost-strategy (\Lambda.App (\Lambda.Src t1) (\Lambda.Src t2)) \setminus \Lambda.App t1 t2;$ 
         $u = \Lambda.leftmost-strategy (\Lambda.App (\Lambda.Src t1) (\Lambda.Src t2)) \setminus \Lambda.App t1 t2]$ 
         $\implies \neg \Lambda.sseq (\Lambda.App t1 t2)$ 
         $(\Lambda.leftmost-strategy (\Lambda.App (\Lambda.Src t1) (\Lambda.Src t2)) \setminus \Lambda.App t1 t2)$ 
      by (metis  $\Lambda.sseq-imp-elementary-reduction1$   $\Lambda.App.simps(5)$   $\Lambda.App-resid$ 
         $\Lambda.Coinitial-iff-Con$   $\Lambda.Ide.simps(5)$   $\Lambda.Ide-iff-Src-self$   $\Lambda.Src.simps(4)$ 
         $\Lambda.Src-resid$   $\Lambda.contains-head-reduction.simps(8)$   $\Lambda.is-head-reduction-if$ 
         $\Lambda.lambda.discI(3)$   $\Lambda.lambda.distinct(7)$ 
         $\Lambda.leftmost-strategy-selects-head-reduction$   $\Lambda.resid-Arr-self$ 
         $\Lambda.sseq-preserves-App-and-no-head-reduction)$ 
      show  $\bigwedge u1 u2.$ 

```

```

 $\llbracket \neg \Lambda.sseq t1 (\Lambda.leftmost-strategy (\Lambda.Src t1) \setminus t1);$ 
 $\neg \Lambda.sseq t2 (\Lambda.leftmost-strategy (\Lambda.Src t2) \setminus t2);$ 
 $\Lambda.App u1 u2 = \Lambda.leftmost-strategy (\Lambda.App (\Lambda.Src t1) (\Lambda.Src t2)) \setminus \Lambda.App t1 t2;$ 
 $u = \Lambda.leftmost-strategy (\Lambda.App (\Lambda.Src t1) (\Lambda.Src t2)) \setminus \Lambda.App t1 t2 \rrbracket$ 
 $\implies \neg \Lambda.sseq (\Lambda.App t1 t2)$ 
 $(\Lambda.leftmost-strategy (\Lambda.App (\Lambda.Src t1) (\Lambda.Src t2)) \setminus \Lambda.App t1 t2)$ 

for  $t1 t2$ 
apply (cases  $\neg \Lambda.App t1$ )
apply simp-all
apply (meson  $\Lambda.App.simps(4)$   $\Lambda.seq-char$   $\Lambda.sseq-imp-seq$ )
apply (cases  $\neg \Lambda.App t2$ )
apply simp-all
apply (meson  $\Lambda.App.simps(4)$   $\Lambda.seq-char$   $\Lambda.sseq-imp-seq$ )
using  $\Lambda.App-not-Nil$ 
apply (cases  $t1$ )
apply simp-all
using  $\Lambda.NF-iff-has-no-redex$   $\Lambda.has-redex-iff-not-Ide-leftmost-strategy$ 
 $\Lambda.Ide-iff-Src-self$   $\Lambda.Ide-iff-Trg-self$ 
 $\Lambda.NF-def$   $\Lambda.elementary-reduction-not-ide$   $\Lambda.eq-Ide-are-cong$ 
 $\Lambda.leftmost-strategy-is-reduction-strategy$   $\Lambda.reduction-strategy-def$ 
 $\Lambda.resid-Arr-Src$ 
apply simp
apply (metis  $\Lambda.App.simps(4)$   $\Lambda.Ide.simps(4)$   $\Lambda.Ide-Trg$   $\Lambda.Src.simps(4)$ 
 $\Lambda.sseq-imp-elementary-reduction2$ )
by (metis  $\Lambda.Ide-Trg$   $\Lambda.elementary-reduction-not-ide$   $\Lambda.ide-char$ )
qed
qed
have  $t \neq \Lambda.leftmost-strategy (\Lambda.Src t) \implies False$ 
proof –
assume 1:  $t \neq \Lambda.leftmost-strategy (\Lambda.Src t)$ 
have 2:  $\neg \Lambda.Ide (\Lambda.leftmost-strategy (\Lambda.Src t))$ 
by (meson assms(1)  $\Lambda.NF-def$   $\Lambda.NF-iff-has-no-redex$   $\Lambda.arr-char$ 
 $\Lambda.elementary-reduction-is-arr$   $\Lambda.elementary-reduction-not-ide$ 
 $\Lambda.has-redex-iff-not-Ide-leftmost-strategy$   $\Lambda.ide-char$ 
 $\Lambda.sseq-imp-elementary-reduction1$ )
have  $\Lambda.is-leftmost-reduction (\Lambda.leftmost-strategy (\Lambda.Src t) \setminus t)$ 
proof –
have  $\Lambda.is-leftmost-reduction (\Lambda.leftmost-strategy (\Lambda.Src t))$ 
by (metis assms(1) 2  $\Lambda.Ide-Src$   $\Lambda.Ide-iff-Src-self$   $\Lambda.arr-char$ 
 $\Lambda.elementary-reduction-is-arr$   $\Lambda.elementary-reduction-leftmost-strategy$ 
 $\Lambda.is-leftmost-reduction-def$   $\Lambda.leftmost-strategy-is-reduction-strategy$ 
 $\Lambda.reduction-strategy-def$   $\Lambda.sseq-imp-elementary-reduction1$ )
moreover have 3:  $\Lambda.elementary-reduction t$ 
using assms  $\Lambda.sseq-imp-elementary-reduction1$  by simp
moreover have  $\neg \Lambda.is-leftmost-reduction t$ 
using 1  $\Lambda.is-leftmost-reduction-def$  by auto
moreover have  $\Lambda.coinitial (\Lambda.leftmost-strategy (\Lambda.Src t)) t$ 
using 3  $\Lambda.leftmost-strategy-is-reduction-strategy$   $\Lambda.reduction-strategy-def$ 
 $\Lambda.Ide-Src$   $\Lambda.elementary-reduction-is-arr$ 

```

```

    by force
ultimately show ?thesis
  using 1 Λ.leftmost-reduction-preservation by blast
qed
moreover have Λ.coinitial (Λ.leftmost-strategy (Λ.Src t) \ t) u
  using assms(1) calculation ΛArr-not-Nil ΛSrc-resid Λ.elementary-reduction-is-arr
    Λ.is-leftmost-reduction-def Λ.seq-char Λ.sseq-imp-seq
    by force
moreover have ∫v. [Λ.is-leftmost-reduction v; Λ.coinitial v u] ==> v = u
  by (metis Λ.arr-iff-has-source Λ.arr-resid-iff-con Λ.confluence assms(2)
    ΛArr-not-Nil Λ.Coinitial-iff-Con Λ.is-leftmost-reduction-def Λ.sources-char_Λ)
ultimately have Λ.leftmost-strategy (Λ.Src t) \ t = u
  by blast
thus ?thesis
  using assms(1) * by blast
qed
thus ?thesis
  using assms(1) Λ.is-leftmost-reduction-def Λ.sseq-imp-elementary-reduction1 by force
qed

lemma elementary-reduction-to-NF-is-leftmost:
shows [[Λ.elementary-reduction t; Λ.NF (Trg [t])]] ==> Λ.leftmost-strategy (Λ.Src t) = t
proof (induct t)
  show Λ.leftmost-strategy (Λ.Src #) = #
    by simp
  show ∫x. [[Λ.elementary-reduction «x»; Λ.NF (Trg [«x»])]]
    ==> Λ.leftmost-strategy (Λ.Src «x») = «x»
    by auto
  show ∫t. [[[[Λ.elementary-reduction t; Λ.NF (Trg [t])]]]
    ==> Λ.leftmost-strategy (Λ.Src t) = t;
    Λ.elementary-reduction λ[t]; Λ.NF (Trg [λ[t]])]
    ==> Λ.leftmost-strategy (Λ.Src λ[t]) = λ[t]
  using lambda-calculus.NF-Lam-iff lambda-calculus.elementary-reduction-is-arr by force
  show ∫t1 t2. [[[[Λ.elementary-reduction t1; Λ.NF (Trg [t1])]]]
    ==> Λ.leftmost-strategy (Λ.Src t1) = t1;
    [[Λ.elementary-reduction t2; Λ.NF (Trg [t2])]]]
    ==> Λ.leftmost-strategy (Λ.Src t2) = t2;
    Λ.elementary-reduction (λ[t1] • t2); Λ.NF (Trg [λ[t1] • t2])
    ==> Λ.leftmost-strategy (Λ.Src (λ[t1] • t2)) = λ[t1] • t2
  apply simp
  by (metis Λ.Ide-iff-Src-self Λ.Ide-implies-Arr)
fix t1 t2
assume ind1: [[Λ.elementary-reduction t1; Λ.NF (Trg [t1])]]
  ==> Λ.leftmost-strategy (Λ.Src t1) = t1
assume ind2: [[Λ.elementary-reduction t2; Λ.NF (Trg [t2])]]
  ==> Λ.leftmost-strategy (Λ.Src t2) = t2
assume t: Λ.elementary-reduction (Λ.App t1 t2)
have t1: ΛArr t1
  using t ΛArr.simps(4) Λ.elementary-reduction-is-arr by blast

```

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have t2:  $\Lambda.\text{Arr } t2$ 
  using  $t \Lambda.\text{Arr.simps}(4) \Lambda.\text{elementary-reduction-is-arr}$  by blast
assume NF:  $\Lambda.\text{NF} (\text{Trg} [\Lambda.\text{App } t1 t2])$ 
have 1:  $\neg \Lambda.\text{is-Lam } t1$ 
  using  $\text{NF } \Lambda.\text{NF-def}$ 
  apply (cases t1)
    apply simp-all
  by (metis (mono-tags)  $\Lambda.\text{Ide.simps}(1) \Lambda.\text{NF-App-iff } \Lambda.\text{Trg.simps}(2-3) \Lambda.\text{lambda.discI}(2)$ )
have 2:  $\Lambda.\text{NF} (\Lambda.\text{Trg } t1) \wedge \Lambda.\text{NF} (\Lambda.\text{Trg } t2)$ 
  using  $\text{NF } t1 t2 1 \Lambda.\text{NF-App-iff}$  by simp
show  $\Lambda.\text{leftmost-strategy} (\Lambda.\text{Src} (\Lambda.\text{App } t1 t2)) = \Lambda.\text{App } t1 t2$ 
  using  $t t1 t2 1 2 \text{ind1 ind2}$ 
  apply (cases t1)
    apply simp-all
  apply (metis  $\Lambda.\text{Ide.simps}(4) \Lambda.\text{Ide-iff-Src-self } \Lambda.\text{Ide-iff-Trg-self}$ 
     $\Lambda.\text{NF-iff-has-no-redex } \Lambda.\text{elementary-reduction-not-ide } \Lambda.\text{eq-Ide-are-cong}$ 
     $\Lambda.\text{has-redex-iff-not-Ide-leftmost-strategy } \Lambda.\text{resid-Arr-Src } t1$ )
  using  $\Lambda.\text{Ide-iff-Src-self}$  by blast
qed

lemma Std-path-to-NF-is-leftmost:
shows  $\llbracket \text{Std } T; \Lambda.\text{NF} (\text{Trg } T) \rrbracket \implies \text{set } T \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
proof -
  have 1:  $\bigwedge t. \llbracket \text{Std } (t \# T); \Lambda.\text{NF} (\text{Trg } (t \# T)) \rrbracket \implies \Lambda.\text{is-leftmost-reduction } t \text{ for } T$ 
  proof (induct T)
    show  $\bigwedge t. \llbracket \text{Std } [t]; \Lambda.\text{NF} (\text{Trg } [t]) \rrbracket \implies \Lambda.\text{is-leftmost-reduction } t$ 
      using elementary-reduction-to-NF-is-leftmost  $\Lambda.\text{is-leftmost-reduction-def}$  by simp
    fix t u T
    assume ind:  $\bigwedge t. \llbracket \text{Std } (t \# T); \Lambda.\text{NF} (\text{Trg } (t \# T)) \rrbracket \implies \Lambda.\text{is-leftmost-reduction } t$ 
    assume Std:  $\text{Std } (t \# u \# T)$ 
    assume NF:  $\Lambda.\text{NF} (\text{Trg } (t \# u \# T))$ 
    show  $\Lambda.\text{is-leftmost-reduction } t$ 
      using Std  $\langle \Lambda.\text{NF} (\text{Trg } (t \# u \# T)) \rangle$  ind sseq-reflects-leftmost-reduction by auto
  qed
  show  $\llbracket \text{Std } T; \Lambda.\text{NF} (\text{Trg } T) \rrbracket \implies \text{set } T \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
  proof (induct T)
    show 2:  $\text{set } [] \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
      by simp
    fix t T
    assume ind:  $\llbracket \text{Std } T; \Lambda.\text{NF} (\text{Trg } T) \rrbracket \implies \text{set } T \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
    assume Std:  $\text{Std } (t \# T)$  and NF:  $\Lambda.\text{NF} (\text{Trg } (t \# T))$ 
    show  $\text{set } (t \# T) \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
      by (metis 1 2 NF Std Std-conse Trg.elims ind insert-subset list.inject list.simps(15)
        mem-Collect-eq)
  qed
qed
qed

theorem leftmost-reduction-theorem:
shows  $\Lambda.\text{normalizing-strategy } \Lambda.\text{leftmost-strategy}$ 

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proof (unfold  $\Lambda.\text{normalizing-strategy-def}$ , intro allI impI)
fix a
assume a:  $\Lambda.\text{normalizable } a$ 
show  $\exists n. \Lambda.\text{NF} (\Lambda.\text{reduce } \Lambda.\text{leftmost-strategy } a n)$ 
proof (cases  $\Lambda.\text{NF } a$ )
show  $\Lambda.\text{NF } a \implies ?\text{thesis}$ 
by (metis lambda-calculus.reduce.simps(1))
assume 1:  $\neg \Lambda.\text{NF } a$ 
obtain T where T:  $\text{Arr } T \wedge \text{Src } T = a \wedge \Lambda.\text{NF} (\text{Trg } T)$ 
using a  $\Lambda.\text{normalizable-def red-iff}$  by auto
have 2:  $\neg \text{Ide } T$ 
using T 1 Ide-imp-Src-eq-Trg by fastforce
obtain U where U:  $\text{Std } U \wedge \text{cong } T U$ 
using T 2 standardization-theorem by blast
have 3: set U  $\subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
using 1 U Std-path-to-NF-is-leftmost
by (metis Con-Arr-self Resid-parallel Src-resid T cong-implies-coinitial)
have  $\bigwedge U. [\text{Arr } U; \text{length } U = n; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}] \implies$ 
 $U = \text{apply-strategy } \Lambda.\text{leftmost-strategy} (\text{Src } U) (\text{length } U) \text{ for } n$ 
proof (induct n)
show  $\bigwedge U. [\text{Arr } U; \text{length } U = 0; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}]$ 
 $\implies U = \text{apply-strategy } \Lambda.\text{leftmost-strategy} (\text{Src } U) (\text{length } U)$ 
by simp
fix n U
assume ind:  $\bigwedge U. [\text{Arr } U; \text{length } U = n; \text{set } U \subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}]$ 
 $\implies U = \text{apply-strategy } \Lambda.\text{leftmost-strategy} (\text{Src } U) (\text{length } U)$ 
assume U: Arr U
assume n: length U = Suc n
assume set: set U  $\subseteq \text{Collect } \Lambda.\text{is-leftmost-reduction}$ 
show U = apply-strategy  $\Lambda.\text{leftmost-strategy} (\text{Src } U) (\text{length } U)$ 
proof (cases n = 0)
show n = 0  $\implies ?\text{thesis}$ 
using U n 1 set  $\Lambda.\text{is-leftmost-reduction-def}$ 
by (cases U) auto
assume 5:  $n \neq 0$ 
have 4: hd U =  $\Lambda.\text{leftmost-strategy} (\text{Src } U)$ 
using n U set  $\Lambda.\text{is-leftmost-reduction-def}$ 
by (cases U) auto
have 6: tl U  $\neq []$ 
using 4 5 n U
by (metis Suc-length-conv list.sel(3) list.size(3))
show ?thesis
using 4 5 6 n U set ind [of tl U]
apply (cases n)
apply simp-all
by (metis (no-types, lifting) Arr-consE Nil-tl Nitpick.size-list-simp(2)
ind [of tl U]  $\Lambda.\text{arr-char } \Lambda.\text{trg-char }$  list.collapse list.set sel(2)
old.nat.inject reduction-paths.apply-strategy.simps(2) subset-code(1))
qed

```

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qed
hence  $U = \text{apply-strategy } \Lambda.\text{leftmost-strategy } (\text{Src } U) (\text{length } U)$ 
  by (metis 3 Con-implies-Arr(1) Ide.simps(1) U ide-char)
moreover have  $\text{Src } U = a$ 
  using  $T U \text{ cong-implies-coinitial}$ 
  by (metis Con-imp-eq-Srcs Con-implies-Arr(2) Ide.simps(1) Srcs-simpPWE empty-set
    ex-un-Src ide-char list.set-intros(1) list.simps(15))
ultimately have  $\text{Trg } U = \Lambda.\text{reduce } \Lambda.\text{leftmost-strategy } a (\text{length } U)$ 
  using reduce-eq-Trg-apply-strategy
  by (metis Arr.simps(1) Con-implies-Arr(1) Ide.simps(1) U a ide-char
     $\Lambda.\text{leftmost-strategy-is-reduction-strategy } \Lambda.\text{normalizable-def length-greater-0-conv}$ )
thus ?thesis
  by (metis Ide.simps(1) Ide-imp-Src-eq-Trg Src-resid T Trg-resid-sym U ide-char)
qed
qed

end

end

```

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