

# Representations of Finite Groups

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## Abstract

We provide a formal framework for the theory of representations of finite groups, as modules over the group ring. Along the way, we develop the general theory of groups (relying on the *group\_add* class for the basics), modules, and vector spaces, to the extent required for theory of group representations. We then provide formal proofs of several important introductory theorems in the subject, including Maschke's theorem, Schur's lemma, and Frobenius reciprocity. We also prove that every irreducible representation is isomorphic to a submodule of the group ring, leading to the fact that for a finite group there are only finitely many isomorphism classes of irreducible representations. In all of this, no restriction is made on the characteristic of the ring or field of scalars until the definition of a group representation, and then the only restriction made is that the characteristic must not divide the order of the group.

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*Note:* A number of the proofs in this theory were modelled on or inspired by proofs in the books listed in the bibliography.

**theory** *Rep-Fin-Groups*

**imports**

*HOL-Library.Function-Algebras*  
*HOL-Library.Set-Algebras*  
*HOL-Computational-Algebra.Polynomial*

**begin**

# 1 Preliminaries

In this section, we establish some basic facts about logic, sets, and functions that are not available in the HOL library. As well, we develop some theory for almost-everywhere-zero functions in preparation of the definition of the group ring.

## 1.1 Logic

**lemma** *conjcases* [*case-names BothTrue OneTrue OtherTrue BothFalse*] :  
  **assumes** *BothTrue*:  $P \wedge Q \implies R$   
  **and** *OneTrue*:  $P \wedge \neg Q \implies R$   
  **and** *OtherTrue*:  $\neg P \wedge Q \implies R$   
  **and** *BothFalse*:  $\neg P \wedge \neg Q \implies R$   
  **shows**  $R$   
  **using** *assms*  
  **by** *fast*

## 1.2 Sets

**lemma** *empty-set-diff-single* :  $A - \{x\} = \{\} \implies A = \{\} \vee A = \{x\}$   
  **by** *auto*

**lemma** *seteqI* :  $(\bigwedge a. a \in A \implies a \in B) \implies (\bigwedge b. b \in B \implies b \in A) \implies A = B$   
  **using** *subset-antisym subsetI* **by** *fast*

**lemma** *prod-ballI* :  $(\bigwedge a b. (a,b) \in AxB \implies P a b) \implies \forall (a,b) \in AxB. P a b$   
  **by** *fast*

**lemma** *good-card-imp-finite* :  $of\_nat (card A) \neq (0::'a::semiring-1) \implies finite A$   
  **using** *card-ge-0-finite[of A]* **by** *fastforce*

## 1.3 Lists

### 1.3.1 zip

**lemma** *zip-truncate-left* :  $zip\ xs\ ys = zip\ (take\ (length\ ys)\ xs)\ ys$   
  **by** (*induct xs ys rule:list-induct2'*) *auto*

**lemma** *zip-truncate-right* :  $zip\ xs\ ys = zip\ xs\ (take\ (length\ xs)\ ys)$   
  **by** (*induct xs ys rule:list-induct2'*) *auto*

Lemmas *zip-append1* and *zip-append2* in theory *HOL.List* have unnecessary *take (length -)* in them. Here are replacements.

**lemma** *zip-append-left* :  
   $zip\ (xs@ys)\ zs = zip\ xs\ zs\ @\ zip\ ys\ (drop\ (length\ xs)\ zs)$   
  **using** *zip-append1 zip-truncate-right[of xs zs]* **by** *simp*

**lemma** *zip-append-right* :

$zip\ xs\ (ys@zs) = zip\ xs\ ys\ @\ zip\ (drop\ (length\ ys)\ xs)\ zs$   
**using**  $zip-append2\ zip-truncate-left[of\ xs\ ys]$  **by**  $simp$

**lemma**  $length-concat-map-split-zip$  :  
 $length\ [f\ x\ y.\ (x,y)\leftarrow zip\ xs\ ys] = min\ (length\ xs)\ (length\ ys)$   
**by**  $(induct\ xs\ ys\ rule:\ list-induct2')$   $auto$

**lemma**  $concat-map-split-eq-map-split-zip$  :  
 $[f\ x\ y.\ (x,y)\leftarrow zip\ xs\ ys] = map\ (case-prod\ f)\ (zip\ xs\ ys)$   
**by**  $(induct\ xs\ ys\ rule:\ list-induct2')$   $auto$

**lemma**  $set-zip-map2$  :  
 $(a,z) \in set\ (zip\ xs\ (map\ f\ ys)) \implies \exists\ b.\ (a,b) \in set\ (zip\ xs\ ys) \wedge z = f\ b$   
**by**  $(induct\ xs\ ys\ rule:\ list-induct2')$   $auto$

### 1.3.2 concat

**lemma**  $concat-eq$  :  
 $list-all2\ (\lambda xs\ ys.\ length\ xs = length\ ys)\ xss\ yss \implies concat\ xss = concat\ yss$   
 $\implies xss = yss$   
**by**  $(induct\ xss\ yss\ rule:\ list-all2-induct)$   $auto$

**lemma**  $match-concat$  :  
**fixes**  $bss :: 'b\ list\ list$   
**defines**  $eq-len \equiv \lambda xs\ ys.\ length\ xs = length\ ys$   
**shows**  $\forall as :: 'a\ list.\ length\ as = length\ (concat\ bss)$   
 $\longrightarrow (\exists\ css :: 'a\ list\ list.\ as = concat\ css \wedge list-all2\ eq-len\ css\ bss)$

**proof**  $(induct\ bss)$   
**from**  $eq-len$   
**show**  $\forall as.\ length\ as = length\ (concat\ [])$   
 $\longrightarrow (\exists\ css.\ as = concat\ css \wedge list-all2\ eq-len\ css\ [])$   
**by**  $simp$

**next**  
**fix**  $fs :: 'b\ list$  **and**  $fss :: 'b\ list\ list$   
**assume**  $prevcase:\ \forall as.\ length\ as = length\ (concat\ fss)$   
 $\longrightarrow (\exists\ css.\ as = concat\ css \wedge list-all2\ eq-len\ css\ fss)$   
**have**  $\bigwedge as.\ length\ as = length\ (concat\ (fs\ \# fss))$   
 $\implies (\exists\ css.\ as = concat\ css \wedge list-all2\ eq-len\ css\ (fs\ \# fss))$

**proof**  
**fix**  $as :: 'a\ list$   
**assume**  $as:\ length\ as = length\ (concat\ (fs\ \# fss))$   
**define**  $xs\ ys$  **where**  $xs = take\ (length\ fs)\ as$  **and**  $ys = drop\ (length\ fs)\ as$   
**define**  $gss$  **where**  $gss = (SOME\ css.\ ys = concat\ css \wedge list-all2\ eq-len\ css\ fss)$   
**define**  $hss$  **where**  $hss = xs\ \#\ gss$   
**with**  $xs-def\ ys-def\ as\ gss-def\ eq-len\ prevcase$   
**show**  $as = concat\ hss \wedge list-all2\ eq-len\ hss\ (fs\ \# fss)$   
**using**  $someI-ex[of\ \lambda css.\ ys = concat\ css \wedge list-all2\ eq-len\ css\ fss]$  **by**  $auto$   
**qed**  
**thus**  $\forall as.\ length\ as = length\ (concat\ (fs\ \# fss))$

$\longrightarrow (\exists \text{css. } \text{as} = \text{concat } \text{css} \wedge \text{list-all2 } \text{eq-len } \text{css} (\text{fs} \# \text{fss}))$   
 by fast  
 qed

### 1.3.3 strip-while

**lemma** *strip-while-0-nnil* :  
 $\text{as} \neq [] \implies \text{set } \text{as} \neq 0 \implies \text{strip-while } ((=) 0) \text{ as} \neq []$   
 by (induct as rule: rev-nonempty-induct) auto

### 1.3.4 sum-list

**lemma** *const-sum-list* :  
 $\forall x \in \text{set } \text{xs. } f \ x = a \implies \text{sum-list } (\text{map } f \ \text{xs}) = a * (\text{length } \text{xs})$   
 by (induct xs) auto

**lemma** *sum-list-prod-cong* :  
 $\forall (x,y) \in \text{set } \text{xys. } f \ x \ y = g \ x \ y$   
 $\implies (\sum (x,y) \leftarrow \text{xys. } f \ x \ y) = (\sum (x,y) \leftarrow \text{xys. } g \ x \ y)$   
**using** *arg-cong*[of map (case-prod f) xys map (case-prod g) xys sum-list] **by**  
*fastforce*

**lemma** *sum-list-prod-map2* :  
 $(\sum (a,y) \leftarrow \text{zip } \text{as } (\text{map } f \ \text{bs}). g \ a \ y) = (\sum (a,b) \leftarrow \text{zip } \text{as } \text{bs. } g \ a \ (f \ b))$   
**by** (induct as bs rule: list-induct2') auto

**lemma** *sum-list-fun-apply* :  $(\sum x \leftarrow \text{xs. } f \ x) \ y = (\sum x \leftarrow \text{xs. } f \ x \ y)$   
**by** (induct xs) auto

**lemma** *sum-list-prod-fun-apply* :  $(\sum (x,y) \leftarrow \text{xys. } f \ x \ y) \ z = (\sum (x,y) \leftarrow \text{xys. } f \ x \ y \ z)$   
**by** (induct xys) auto

**lemma** (in *comm-monoid-add*) *sum-list-plus* :  
 $\text{length } \text{xs} = \text{length } \text{ys}$   
 $\implies \text{sum-list } \text{xs} + \text{sum-list } \text{ys} = \text{sum-list } [a+b. (a,b) \leftarrow \text{zip } \text{xs } \text{ys}]$   
**proof** (induct xs ys rule: list-induct2)  
**case** *Cons* **thus** ?*case* **by** (simp add: algebra-simps)  
**qed** *simp*

**lemma** *sum-list-const-mult-prod* :  
**fixes**  $f :: 'a \Rightarrow 'b \Rightarrow 'r :: \text{semiring-0}$   
**shows**  $r * (\sum (x,y) \leftarrow \text{xys. } f \ x \ y) = (\sum (x,y) \leftarrow \text{xys. } r * (f \ x \ y))$   
**using** *sum-list-const-mult*[of r case-prod f] *prod.case-distrib*[of  $\lambda x. r * x$  f]  
**by** *simp*

**lemma** *sum-list-mult-const-prod* :  
**fixes**  $f :: 'a \Rightarrow 'b \Rightarrow 'r :: \text{semiring-0}$   
**shows**  $(\sum (x,y) \leftarrow \text{xys. } f \ x \ y) * r = (\sum (x,y) \leftarrow \text{xys. } (f \ x \ y) * r)$   
**using** *sum-list-mult-const*[of case-prod f r] *prod.case-distrib*[of  $\lambda x. x * r$  f]  
**by** *simp*

**lemma** *sum-list-update* :  
**fixes**  $xs :: 'a::ab\text{-group-add list}$   
**shows**  $n < \text{length } xs \implies \text{sum-list } (xs[n := y]) = \text{sum-list } xs - xs!n + y$   
**proof** (*induct xs arbitrary: n*)  
**case** *Cons* **thus** ?*case* **by** (*cases n*) *auto*  
**qed** *simp*

**lemma** *sum-list-replicate0* :  $\text{sum-list } (\text{replicate } n \ 0) = 0$   
**by** (*induct n*) *auto*

### 1.3.5 listset

**lemma** *listset-ConsI* :  $x \in X \implies xs \in \text{listset } Xs \implies x\#xs \in \text{listset } (X\#Xs)$   
**unfolding** *listset-def set-Cons-def* **by** *simp*

**lemma** *listset-ConsD* :  $x\#xs \in \text{listset } (A \# As) \implies x \in A \wedge xs \in \text{listset } As$   
**unfolding** *listset-def set-Cons-def* **by** *auto*

**lemma** *listset-Cons-conv* :  
 $xs \in \text{listset } (A \# As) \implies (\exists y \ ys. y \in A \wedge ys \in \text{listset } As \wedge xs = y\#ys)$   
**unfolding** *listset-def set-Cons-def* **by** *auto*

**lemma** *listset-length* :  $xs \in \text{listset } Xs \implies \text{length } xs = \text{length } Xs$   
**using** *listset-ConsD*  
**unfolding** *listset-def set-Cons-def*  
**by** (*induct xs Xs rule: list-induct2'*) *auto*

**lemma** *set-sum-list-element* :  
 $x \in (\sum A \leftarrow As. A) \implies \exists as \in \text{listset } As. x = (\sum a \leftarrow as. a)$

**proof** (*induct As arbitrary: x*)  
**case** *Nil* **hence**  $x = (\sum a \leftarrow []. a)$  **by** *simp*  
**moreover** **have**  $[] \in \text{listset } []$  **by** *simp*  
**ultimately show** ?*case* **by** *fast*  
**next**  
**case** (*Cons A As*)  
**from this obtain**  $a \ as$   
**where** *a-as*:  $a \in A \ as \in \text{listset } As \ x = (\sum b \leftarrow (a\#as). b)$   
**using** *set-plus-def[of A]*  
**by** *fastforce*  
**have**  $\text{listset } (A\#As) = \text{set-Cons } A \ (\text{listset } As)$  **by** *simp*  
**with** *a-as(1,2)* **have**  $a\#as \in \text{listset } (A\#As)$  **unfolding** *set-Cons-def* **by** *fast*  
**with** *a-as(3)* **show**  $\exists bs \in \text{listset } (A\#As). x = (\sum b \leftarrow bs. b)$  **by** *fast*  
**qed**

**lemma** *set-sum-list-element-Cons* :  
**assumes**  $x \in (\sum X \leftarrow (A\#As). X)$   
**shows**  $\exists a \ as. a \in A \wedge as \in \text{listset } As \wedge x = a + (\sum b \leftarrow as. b)$   
**proof**–



**from** *assms* **obtain** *xs* **where** *xs*:  $xs \in \text{listset } (A\#As) \ x = (\sum b \leftarrow xs. \ b)$   
**using** *set-sum-list-element* **by** *fast*  
**from** *xs(1)* **obtain** *a* **as** **where**  $a \in A \ as \in \text{listset } As \ xs = a \# \ as$   
**using** *listset-Cons-conv* **by** *fast*  
**with** *xs(2)* **show** *?thesis* **by** *auto*  
**qed**

**lemma** *sum-list-listset* :  $as \in \text{listset } As \implies \text{sum-list } as \in (\sum A \leftarrow As. \ A)$   
**proof**-  
**have**  $\text{length } as = \text{length } As \implies as \in \text{listset } As \implies \text{sum-list } as \in (\sum A \leftarrow As. \ A)$   
**proof** (*induct as As rule: list-induct2*)  
**case** *Nil* **show** *?case* **by** *simp*  
**next**  
**case** (*Cons a as A As*) **thus** *?case*  
**using** *listset-ConsD[of a]* *set-plus-def* **by** *auto*  
**qed**  
**thus**  $as \in \text{listset } As \implies \text{sum-list } as \in (\sum A \leftarrow As. \ A)$  **using** *listset-length* **by** *fast*  
**qed**

**lemma** *listsetI-nth* :  
 $\text{length } xs = \text{length } Xs \implies \forall n < \text{length } xs. \ xs!n \in Xs!n \implies xs \in \text{listset } Xs$   
**proof** (*induct xs Xs rule: list-induct2*)  
**case** *Nil* **show** *?case* **by** *simp*  
**next**  
**case** (*Cons x xs X Xs*) **thus**  $x\#xs \in \text{listset } (X\#Xs)$   
**using** *listset-ConsI[of x X xs Xs]* **by** *fastforce*  
**qed**

**lemma** *listsetD-nth* :  $xs \in \text{listset } Xs \implies \forall n < \text{length } xs. \ xs!n \in Xs!n$   
**proof**-  
**have**  $\text{length } xs = \text{length } Xs \implies xs \in \text{listset } Xs \implies \forall n < \text{length } xs. \ xs!n \in Xs!n$   
**proof** (*induct xs Xs rule: list-induct2*)  
**case** *Nil* **show** *?case* **by** *simp*  
**next**  
**case** (*Cons x xs X Xs*)  
**from** *Cons(3)* **have**  $x\#xs: \ x \in X \ xs \in \text{listset } Xs$   
**using** *listset-ConsD[of x]* **by** *auto*  
**with** *Cons(2)* **have**  $1: (x\#xs)!0 \in (X\#Xs)!0 \ \forall n < \text{length } xs. \ xs!n \in Xs!n$   
**by** *auto*  
**have**  $\bigwedge n. \ n < \text{length } (x\#xs) \implies (x\#xs)!n \in (X\#Xs)!n$   
**proof**-  
**fix** *n* **assume**  $n < \text{length } (x\#xs)$   
**with**  $1$  **show**  $(x\#xs)!n \in (X\#Xs)!n$  **by** (*cases n*) *auto*  
**qed**  
**thus**  $\forall n < \text{length } (x\#xs). \ (x\#xs)!n \in (X\#Xs)!n$  **by** *fast*  
**qed**  
**thus**  $xs \in \text{listset } Xs \implies \forall n < \text{length } xs. \ xs!n \in Xs!n$  **using** *listset-length* **by** *fast*  
**qed**

**lemma** *set-listset-el-subset* :  
 $xs \in \text{listset } Xs \implies \forall X \in \text{set } Xs. X \subseteq A \implies \text{set } xs \subseteq A$   
**proof**–  
**have**  $\llbracket \text{length } xs = \text{length } Xs; xs \in \text{listset } Xs; \forall X \in \text{set } Xs. X \subseteq A \rrbracket$   
 $\implies \text{set } xs \subseteq A$   
**proof** (*induct xs Xs rule: list-induct2*)  
**case** *Cons* **thus** ?*case* **using** *listset-ConsD* **by** *force*  
**qed** *simp*  
**thus**  $xs \in \text{listset } Xs \implies \forall X \in \text{set } Xs. X \subseteq A \implies \text{set } xs \subseteq A$   
**using** *listset-length* **by** *fast*  
**qed**

## 1.4 Functions

### 1.4.1 Miscellaneous facts

**lemma** *sum-fun-apply* :  $\text{finite } A \implies (\sum a \in A. f a) x = (\sum a \in A. f a x)$   
**by** (*induct set: finite*) *auto*

**lemma** *sum-single-nonzero* :  
 $\text{finite } A \implies (\forall x \in A. \forall y \in A. f x y = (\text{if } y = x \text{ then } g x \text{ else } 0))$   
 $\implies (\forall x \in A. \text{sum } (f x) A = g x)$

**proof** (*induct A rule: finite-induct*)  
**case** (*insert a A*)  
**show**  $\forall x \in \text{insert } a A. \text{sum } (f x) (\text{insert } a A) = g x$   
**proof**  
**fix** *x* **assume**  $x: x \in \text{insert } a A$   
**show**  $\text{sum } (f x) (\text{insert } a A) = g x$   
**proof** (*cases x = a*)  
**case** *True*  
**moreover with** *insert(2,4)* **have**  $\forall y \in A. f x y = 0$  **by** *simp*  
**ultimately show** ?*thesis* **using** *insert(1,2,4)* **by** *simp*  
**next**  
**case** *False* **with** *x insert* **show** ?*thesis* **by** *simp*  
**qed**  
**qed**  
**qed** *simp*

**lemma** *distrib-comp-sum-right* :  $(T + T') \circ S = (T \circ S) + (T' \circ S)$   
**by** *auto*

### 1.4.2 Support of a function

**definition** *supp* ::  $('a \Rightarrow 'b::\text{zero}) \Rightarrow 'a$  **set** **where**  $\text{supp } f = \{x. f x \neq 0\}$

**lemma** *suppI*:  $f x \neq 0 \implies x \in \text{supp } f$   
**using** *supp-def* **by** *fast*

**lemma** *suppI-contr*:  $x \notin \text{supp } f \implies f x = 0$   
**using** *suppI* **by** *fast*

```

lemma suppD:  $x \in \text{supp } f \implies f x \neq 0$ 
  using supp-def by fast

lemma suppD-contr:  $f x = 0 \implies x \notin \text{supp } f$ 
  using suppD by fast

lemma zerofun-imp-empty-supp :  $\text{supp } 0 = \{\}$ 
  unfolding supp-def by simp

lemma supp-zerofun-subset-any :  $\text{supp } 0 \subseteq A$ 
  using zerofun-imp-empty-supp by fast

lemma supp-sum-subset-union-supp :
  fixes  $f g :: 'a \Rightarrow 'b::\text{monoid-add}$ 
  shows  $\text{supp } (f + g) \subseteq \text{supp } f \cup \text{supp } g$ 
  unfolding supp-def
  by auto

lemma supp-neg-eq-supp :
  fixes  $f :: 'a \Rightarrow 'b::\text{group-add}$ 
  shows  $\text{supp } (- f) = \text{supp } f$ 
  unfolding supp-def
  by auto

lemma supp-diff-subset-union-supp :
  fixes  $f g :: 'a \Rightarrow 'b::\text{group-add}$ 
  shows  $\text{supp } (f - g) \subseteq \text{supp } f \cup \text{supp } g$ 
  unfolding supp-def
  by auto

abbreviation restrict0 ::  $('a \Rightarrow 'b::\text{zero}) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'b)$  (infix  $\downarrow$  70)
  where  $\text{restrict0 } f A \equiv (\lambda a. \text{if } a \in A \text{ then } f a \text{ else } 0)$ 

lemma supp-restrict0 :  $\text{supp } (f \downarrow A) \subseteq A$ 
proof-
  have  $\bigwedge a. a \notin A \implies a \notin \text{supp } (f \downarrow A)$  using suppD-contr[of f \downarrow A] by simp
  thus thesis by fast
qed

lemma bij-betw-restrict0 :  $\text{bij-betw } f A B \implies \text{bij-betw } (f \downarrow A) A B$ 
  using bij-betw-imp-inj-on bij-betw-imp-surj-on
  unfolding bij-betw-def inj-on-def
  by auto

```

### 1.4.3 Convolution

```

definition convolution ::
   $('a::\text{group-add} \Rightarrow 'b::\{\text{comm-monoid-add}, \text{times}\}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$ 

```

**where** *convolution*  $f g$

$$= (\lambda x. \sum y | x - y \in \text{supp } f \wedge y \in \text{supp } g. (f (x - y)) * g y)$$

— More often than not, this definition will be used in the case that  $'b$  is of class *mult-zero*, in which case the conditions  $x - y \in \text{supp } f$  and  $y \in \text{supp } g$  are obviously mathematically unnecessary. However, they also serve to ensure that the sum is taken over a finite set in the case that at least one of  $f$  and  $g$  is almost everywhere zero.

**lemma** *convolution-zero* :

**fixes**  $f g :: 'a::\text{group-add} \Rightarrow 'b::\{\text{comm-monoid-add}, \text{mult-zero}\}$

**shows**  $f = 0 \vee g = 0 \Longrightarrow \text{convolution } f g = 0$

**unfolding** *convolution-def*

**by** *auto*

**lemma** *convolution-symm* :

**fixes**  $f g :: 'a::\text{group-add} \Rightarrow 'b::\{\text{comm-monoid-add}, \text{times}\}$

**shows** *convolution*  $f g$

$$= (\lambda x. \sum y | y \in \text{supp } f \wedge -y + x \in \text{supp } g. (f y) * g (-y + x))$$

**proof**

**fix**  $x::'a$

**define**  $c1 c2 i S1 S2$

**where**  $c1 y = (f (x - y)) * g y$

**and**  $c2 y = (f y) * g (-y + x)$

**and**  $i y = -y + x$

**and**  $S1 = \{y. x - y \in \text{supp } f \wedge y \in \text{supp } g\}$

**and**  $S2 = \{y. y \in \text{supp } f \wedge -y + x \in \text{supp } g\}$

**for**  $y$

**have** *inj-on*  $i S2$  **unfolding** *inj-on-def* **using** *i-def* **by** *simp*

**hence**  $(\sum y \in (i ' S2). c1 y) = (\sum y \in S2. (c1 \circ i) y)$

**using** *sum.reindex* **by** *fast*

**moreover** **have**  $S1 \text{-} i S2: S1 = i ' S2$

**proof** (*rule seteqI*)

**fix**  $y$  **assume**  $y \text{-} S1: y \in S1$

**define**  $z$  **where**  $z = x - y$

**hence**  $y \text{-} eq: -z + x = y$  **by** (*auto simp add: algebra-simps*)

**hence**  $-z + x \in \text{supp } g$  **using**  $y \text{-} S1$  *S1-def* **by** *fast*

**moreover** **have**  $z \in \text{supp } f$  **using**  $z \text{-} def$   $y \text{-} S1$  *S1-def* **by** *fast*

**ultimately** **have**  $z \in S2$  **using** *S2-def* **by** *fast*

**moreover** **have**  $y = i z$  **using** *i-def* [*abs-def*]  $y \text{-} eq$  **by** *fast*

**ultimately** **show**  $y \in i ' S2$  **by** *fast*

**next**

**fix**  $y$  **assume**  $y \in i ' S2$

**from** *this* **obtain**  $z$  **where**  $z \text{-} S2: z \in S2$  **and**  $y \text{-} eq: y = -z + x$

**using** *i-def* **by** *fast*

**from**  $y \text{-} eq$  **have**  $x - y = z$  **by** (*auto simp add: algebra-simps*)

**hence**  $x - y \in \text{supp } f \wedge y \in \text{supp } g$  **using**  $y \text{-} eq$   $z \text{-} S2$  *S2-def* **by** *fastforce*

**thus**  $y \in S1$  **using** *S1-def* **by** *fast*

**qed**

**ultimately** **have**  $(\sum y \in S1. c1 y) = (\sum y \in S2. (c1 \circ i) y)$  **by** *fast*

**with** *i-def c1-def c2-def* **have**  $(\sum_{y \in S1}. c1\ y) = (\sum_{y \in S2}. c2\ y)$   
**using** *diff-add-eq-diff-diff-swap*[of  $x - x$ ] **by** *simp*  
**thus** *convolution f g x*  
 $= (\sum y | y \in \text{supp } f \wedge -y + x \in \text{supp } g. (f\ y) * g\ (-y + x))$   
**unfolding** *S1-def c1-def S2-def c2-def convolution-def* **by** *fast*  
**qed**

**lemma** *supp-convolution-subset-sum-supp* :  
**fixes**  $f\ g :: 'a::\text{group-add} \Rightarrow 'b::\{\text{comm-monoid-add,times}\}$   
**shows**  $\text{supp } (\text{convolution } f\ g) \subseteq \text{supp } f + \text{supp } g$   
**proof-**  
**define** *SS* **where**  $SS\ x = \{y. x - y \in \text{supp } f \wedge y \in \text{supp } g\}$  **for**  $x$   
**have**  $\text{convolution } f\ g = (\lambda x. \text{sum } (\lambda y. (f\ (x - y)) * g\ y) (SS\ x))$   
**unfolding** *SS-def convolution-def* **by** *fast*  
**moreover** **have**  $\bigwedge x. x \notin \text{supp } f + \text{supp } g \implies SS\ x = \{\}$   
**proof-**  
**have**  $\bigwedge x. SS\ x \neq \{\} \implies x \in \text{supp } f + \text{supp } g$   
**proof-**  
**fix**  $x::'a$  **assume**  $SS\ x \neq \{\}$   
**from** *this* **obtain**  $y$  **where**  $x - y \in \text{supp } f$  **and**  $y \in \text{supp } g$   
**using** *SS-def* **by** *fast*  
**from** *this* **obtain**  $z$  **where**  $z \in \text{supp } f$  **and**  $z - y = x$  **by** *fast*  
**from** *z-eq* **have**  $x = z + y$  **using** *diff-eq-eq* **by** *fast*  
**with** *z-F y-G* **show**  $x \in \text{supp } f + \text{supp } g$  **by** *fast*  
**qed**  
**thus**  $\bigwedge x. x \notin \text{supp } f + \text{supp } g \implies SS\ x = \{\}$  **by** *fast*  
**qed**  
**ultimately** **have**  $\bigwedge x. x \notin \text{supp } f + \text{supp } g \implies \text{convolution } f\ g\ x = \text{sum } (\lambda y. (f\ (x - y)) * g\ y) \{\}$   
**by** *simp*  
**hence**  $\bigwedge x. x \notin \text{supp } f + \text{supp } g \implies \text{convolution } f\ g\ x = 0$   
**using** *sum.empty* **by** *simp*  
**thus** *?thesis* **unfolding** *supp-def* **by** *fast*  
**qed**

## 1.5 Almost-everywhere-zero functions

### 1.5.1 Definition and basic properties

**definition** *aezfun-set* =  $\{f::'a \Rightarrow 'b::\text{zero. finite } (\text{supp } f)\}$

**lemma** *aezfun-setD*:  $f \in \text{aezfun-set} \implies \text{finite } (\text{supp } f)$   
**unfolding** *aezfun-set-def* **by** *fast*

**lemma** *aezfun-setI*:  $\text{finite } (\text{supp } f) \implies f \in \text{aezfun-set}$   
**unfolding** *aezfun-set-def* **by** *fast*

**lemma** *zerofun-is-aezfun* :  $0 \in \text{aezfun-set}$   
**unfolding** *supp-def aezfun-set-def* **by** *auto*

**lemma** *sum-of-aezfun-is-aezfun* :  
**fixes**  $f\ g :: 'a \Rightarrow 'b :: \text{monoid-add}$   
**shows**  $f \in \text{aezfun-set} \Longrightarrow g \in \text{aezfun-set} \Longrightarrow f + g \in \text{aezfun-set}$   
**using** *supp-sum-subset-union-supp*[of  $f\ g$ ] *finite-subset*[of  $- \text{supp } f \cup \text{supp } g$ ]  
**unfolding** *aezfun-set-def*  
**by** *fastforce*

**lemma** *neg-of-aezfun-is-aezfun* :  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{group-add}$   
**shows**  $f \in \text{aezfun-set} \Longrightarrow -f \in \text{aezfun-set}$   
**using** *supp-neg-eq-supp*[of  $f$ ]  
**unfolding** *aezfun-set-def*  
**by** *simp*

**lemma** *diff-of-aezfun-is-aezfun* :  
**fixes**  $f\ g :: 'a \Rightarrow 'b :: \text{group-add}$   
**shows**  $f \in \text{aezfun-set} \Longrightarrow g \in \text{aezfun-set} \Longrightarrow f - g \in \text{aezfun-set}$   
**using** *supp-diff-subset-union-supp*[of  $f\ g$ ] *finite-subset*[of  $- \text{supp } f \cup \text{supp } g$ ]  
**unfolding** *aezfun-set-def*  
**by** *fastforce*

**lemma** *restrict-and-extend0-aezfun-is-aezfun* :  
**assumes**  $f \in \text{aezfun-set}$   
**shows**  $f \downarrow A \in \text{aezfun-set}$   
**proof** (*rule aezfun-setI*)  
**have**  $\bigwedge a. a \notin \text{supp } f \cap A \Longrightarrow a \notin \text{supp } (f \downarrow A)$   
**proof-**  
**fix**  $a$  **assume**  $a \notin \text{supp } f \cap A$   
**thus**  $a \notin \text{supp } (f \downarrow A)$  **using** *suppI-contr*[of  $a$ ] *suppD-contr*[of  $f \downarrow A\ a$ ]  
**by** (*cases a \in A*) *auto*  
**qed**  
**with** *assms* **show** *finite* (*supp* ( $f \downarrow A$ ))  
**using** *aezfun-setD* *finite-subset*[of *supp* ( $f \downarrow A$ )] **by** *auto*  
**qed**

## 1.5.2 Delta (impulse) functions

The notation is set up in the order output-input so that later when these are used to define the group ring  $RG$ , it will be in order ring-element-group-element.

**definition** *deltafun* ::  $'b :: \text{zero} \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b)$  (**infix**  $\delta$  70)  
**where**  $b \delta a = (\lambda x. \text{if } x = a \text{ then } b \text{ else } 0)$

**lemma** *deltafun-apply-eq* :  $(b \delta a) a = b$   
**unfolding** *deltafun-def* **by** *simp*

**lemma** *deltafun-apply-neg* :  $x \neq a \Longrightarrow (b \delta a) x = 0$   
**unfolding** *deltafun-def* **by** *simp*

**lemma** *deltafun0* :  $0 \delta a = 0$   
**unfolding** *deltafun-def* **by** *auto*

**lemma** *deltafun-plus* :  
**fixes**  $b\ c :: 'b::\text{monoid-add}$   
**shows**  $(b+c) \delta a = (b \delta a) + (c \delta a)$   
**unfolding** *deltafun-def*  
**by** *auto*

**lemma** *supp-delta0fun* :  $\text{supp } (0 \delta a) = \{\}$   
**unfolding** *supp-def deltafun-def* **by** *simp*

**lemma** *supp-deltafun* :  $b \neq 0 \implies \text{supp } (b \delta a) = \{a\}$   
**unfolding** *supp-def deltafun-def* **by** *simp*

**lemma** *deltafun-is-aezfun* :  $b \delta a \in \text{aezfun-set}$   
**proof** (*cases*  $b = 0$ )  
**case** *True*  
**hence**  $\text{supp } (b \delta a) = \{\}$  **using** *supp-delta0fun[of a]* **by** *fast*  
**thus** *?thesis* **unfolding** *aezfun-set-def* **by** *simp*  
**next**  
**case** *False* **thus** *?thesis* **using** *supp-deltafun[of b a]* **unfolding** *aezfun-set-def* **by** *simp*  
**qed**

**lemma** *aezfun-common-supp-spanning-set'* :  
 $\text{finite } A \implies \exists \text{ as. } \text{distinct as} \wedge \text{set as} = A$   
 $\wedge (\forall f::'a \Rightarrow 'b::\text{semiring-1. } \text{supp } f \subseteq A$   
 $\implies (\exists \text{ bs. } \text{length bs} = \text{length as} \wedge f = (\sum (b,a) \leftarrow \text{zip bs as. } b \delta a)) )$

**proof** (*induct* *rule: finite-induct*)  
**case** *empty* **show** *?case* **unfolding** *supp-def* **by** *auto*  
**next**

**case** (*insert a A*)  
**from** *insert(3)* **obtain** *as*  
**where** *as: distinct as set as = A*  
 $\bigwedge f::'a \Rightarrow 'b. \text{supp } f \subseteq A$   
 $\implies \exists \text{ bs. } \text{length bs} = \text{length as} \wedge f = (\sum (b,a) \leftarrow \text{zip bs as. } b \delta a)$

**by** *fast*  
**from** *as(1,2)* *insert(2)* **have** *distinct (a#as) set (a#as) = insert a A* **by** *auto*  
**moreover**

**have**  $\bigwedge f::'a \Rightarrow 'b::\text{semiring-1. } \text{supp } f \subseteq \text{insert } a\ A$   
 $\implies (\exists \text{ bs. } \text{length bs} = \text{length } (a\#\text{as})$   
 $\wedge f = (\sum (b,a) \leftarrow \text{zip bs } (a\#\text{as}). b \delta a)$

**proof-**  
**fix**  $f :: 'a \Rightarrow 'b$  **assume** *supp-f* :  $\text{supp } f \subseteq \text{insert } a\ A$   
**define**  $g$  **where**  $g\ x = (\text{if } x = a \text{ then } 0 \text{ else } f\ x)$  **for**  $x$   
**have**  $\text{supp } g \subseteq A$   
**proof**  
**fix**  $x$  **assume**  $x: x \in \text{supp } g$

**with**  $x$  *supp-f g-def* **have**  $x \in \text{insert } a \ A$  **unfolding** *supp-def* **by** *auto*  
**moreover from**  $x$  *g-def* **have**  $x \neq a$  **unfolding** *supp-def* **by** *auto*  
**ultimately show**  $x \in A$  **by** *fast*  
**qed**  
**with**  $as(3)$  **obtain**  $bs$   
**where**  $bs$ :  $\text{length } bs = \text{length } as$   $g = (\sum (b,a) \leftarrow \text{zip } bs \ as. \ b \ \delta \ a)$   
**by** *fast*  
**from**  $bs(1)$  **have**  $\text{length } ((f \ a) \ \# \ bs) = \text{length } (a \ \# \ as)$  **by** *auto*  
**moreover from**  $g\text{-def } bs(2)$  **have**  $f = (\sum (b,a) \leftarrow \text{zip } ((f \ a) \ \# \ bs) \ (a \ \# \ as). \ b \ \delta \ a)$   
*a)*  
**using** *deltafun-apply-eq[of f a a]* *deltafun-apply-neq[of - a f a]* **by** (*cases*) *auto*  
**ultimately**  
**show**  $\exists bs. \ \text{length } bs = \text{length } (a \ \# \ as) \wedge f = (\sum (b,a) \leftarrow \text{zip } bs \ (a \ \# \ as). \ b \ \delta \ a)$   
**by** *fast*  
**qed**  
**ultimately show** *?case* **by** *fast*  
**qed**

### 1.5.3 Convolution of almost-everywhere-zero functions

**lemma** *convolution-eq-sum-over-supp-right* :

**fixes**  $g \ f :: 'a::\text{group-add} \Rightarrow 'b::\{\text{comm-monoid-add}, \text{mult-zero}\}$   
**assumes**  $g \in \text{aezfun-set}$   
**shows**  $\text{convolution } f \ g = (\lambda x. \ \sum_{y \in \text{supp } g.} (f \ (x - y)) * g \ y)$   
**proof**  
**fix**  $x::'a$   
**define**  $SS$  **where**  $SS = \{y. \ x - y \in \text{supp } f \wedge y \in \text{supp } g\}$   
**have** *finite* ( $\text{supp } g$ ) **using** *assms* **unfolding** *aezfun-set-def* **by** *fast*  
**moreover have**  $SS \subseteq \text{supp } g$  **unfolding** *SS-def* **by** *fast*  
**moreover have**  $\bigwedge y. \ y \in \text{supp } g - SS \implies (f \ (x - y)) * g \ y = 0$  **using** *SS-def*  
**unfolding** *supp-def* **by** *auto*  
**ultimately show**  $\text{convolution } f \ g \ x = (\sum_{y \in \text{supp } g.} (f \ (x - y)) * g \ y)$   
**unfolding** *convolution-def*  
**using** *SS-def* *sum.mono-neutral-left[of supp g SS  $\lambda y. (f \ (x - y)) * g \ y$ ]*  
**by** *fast*  
**qed**

**lemma** *convolution-symm-eq-sum-over-supp-left* :

**fixes**  $f \ g :: 'a::\text{group-add} \Rightarrow 'b::\{\text{comm-monoid-add}, \text{mult-zero}\}$   
**assumes**  $f \in \text{aezfun-set}$   
**shows**  $\text{convolution } f \ g = (\lambda x. \ \sum_{y \in \text{supp } f.} (f \ y) * g \ (-y + x))$   
**proof**  
**fix**  $x::'a$   
**define**  $SS$  **where**  $SS = \{y. \ y \in \text{supp } f \wedge -y + x \in \text{supp } g\}$   
**have** *finite* ( $\text{supp } f$ ) **using** *assms* **unfolding** *aezfun-set-def* **by** *fast*  
**moreover have**  $SS \subseteq \text{supp } f$  **using** *SS-def* **by** *fast*  
**moreover have**  $\bigwedge y. \ y \in \text{supp } f - SS \implies (f \ y) * g \ (-y + x) = 0$   
**using** *SS-def* **unfolding** *supp-def* **by** *auto*  
**ultimately**



**have**  $(\sum y \in SS. (f y) * g (-y + x)) = (\sum y \in \text{supp } f. (f y) * g (-y + x))$   
**unfolding** *convolution-def*  
**using** *SS-def sum.mono-neutral-left[of supp f SS λy. (f y) \* g (-y + x)]*  
**by** *fast*  
**thus**  $\text{convolution } f g x = (\sum y \in \text{supp } f. (f y) * g (-y + x))$   
**using** *SS-def convolution-symm[of f g]* **by** *simp*  
**qed**

**lemma** *convolution-delta-left* :  
**fixes**  $b :: 'b::\{\text{comm-monoid-add,mult-zero}\}$   
**and**  $a :: 'a::\text{group-add}$   
**and**  $f :: 'a \Rightarrow 'b$   
**shows**  $\text{convolution } (b \delta a) f = (\lambda x. b * f (-a + x))$   
**proof** (*cases b = 0*)  
**case** *True*  
**moreover** **have**  $\text{convolution } (b \delta a) f = 0$   
**proof-**  
**from** *True* **have**  $\text{convolution } (b \delta a) f = \text{convolution } 0 f$   
**using** *deltafun0[of a] arg-cong[of 0 δ a 0::'a⇒'b]*  
**by** (*simp add: ⟨0 δ a = 0⟩ ⟨b = 0⟩*)  
**thus** *?thesis* **using** *convolution-zero* **by** *auto*  
**qed**  
**ultimately show** *?thesis* **by** *auto*

**next**  
**case** *False* **thus** *?thesis*  
**using** *deltafun-is-aezfun[of b a] convolution-symm-eq-sum-over-supp-left*  
*supp-deltafun[of b a] deltaxfun-apply-eq[of b a]*  
**by** *fastforce*  
**qed**

**lemma** *convolution-delta-right* :  
**fixes**  $b :: 'b::\{\text{comm-monoid-add,mult-zero}\}$   
**and**  $f :: 'a::\text{group-add} \Rightarrow 'b$  **and**  $a :: 'a$   
**shows**  $\text{convolution } f (b \delta a) = (\lambda x. f (x - a) * b)$   
**proof** (*cases b = 0*)  
**case** *True*  
**moreover** **have**  $\text{convolution } f (b \delta a) = 0$   
**proof-**  
**from** *True* **have**  $\text{convolution } f (b \delta a) = \text{convolution } f 0$   
**using** *deltafun0[of a] arg-cong[of 0 δ a 0::'a⇒'b]*  
**by** (*simp add: ⟨0 δ a = 0⟩*)  
**thus** *?thesis* **using** *convolution-zero* **by** *auto*  
**qed**  
**ultimately show** *?thesis* **by** *auto*

**next**  
**case** *False* **thus** *?thesis*  
**using** *deltafun-is-aezfun[of b a] convolution-eq-sum-over-supp-right*  
*supp-deltafun[of b a] deltaxfun-apply-eq[of b a]*  
**by** *fastforce*

qed

**lemma** *convolution-delta-delta* :  
 fixes  $b1\ b2 :: 'b::\{comm-monoid-add,mult-zero\}$   
 and  $a1\ a2 :: 'a::group-add$   
 shows  $convolution\ (b1\ \delta\ a1)\ (b2\ \delta\ a2) = (b1 * b2)\ \delta\ (a1 + a2)$   
**proof**  
 fix  $x::'a$   
 have 1:  $convolution\ (b1\ \delta\ a1)\ (b2\ \delta\ a2)\ x = (b1\ \delta\ a1)\ (x - a2) * b2$   
 using *convolution-delta-right*[of  $b1\ \delta\ a1$ ] **by** *simp*  
 show  $convolution\ (b1\ \delta\ a1)\ (b2\ \delta\ a2)\ x = ((b1 * b2)\ \delta\ (a1 + a2))\ x$   
 **proof** (*cases*  $x = a1 + a2$ )  
 case *True*  
 hence  $x - a2 = a1$  **by** (*simp add: algebra-simps*)  
 with 1 **have**  $convolution\ (b1\ \delta\ a1)\ (b2\ \delta\ a2)\ x = b1 * b2$   
 using *deltafun-apply-eq*[of  $b1\ a1$ ] **by** *simp*  
 with *True* **show** *?thesis*  
 using *deltafun-apply-eq*[of  $b1 * b2\ a1 + a2$ ] **by** *simp*  
 next  
 case *False*  
 hence  $x - a2 \neq a1$  **by** (*simp add: algebra-simps*)  
 with 1 **have**  $convolution\ (b1\ \delta\ a1)\ (b2\ \delta\ a2)\ x = 0$   
 using *deltafun-apply-neq*[of  $x - a2\ a1\ b1$ ] **by** *simp*  
 with *False* **show** *?thesis* using *deltafun-apply-neq* **by** *simp*  
 qed  
qed

**lemma** *convolution-of-aezfun-is-aezfun* :  
 fixes  $f\ g :: 'a::group-add \Rightarrow 'b::\{comm-monoid-add,times\}$   
 shows  $f \in aezfun-set \Longrightarrow g \in aezfun-set \Longrightarrow convolution\ f\ g \in aezfun-set$   
 using *supp-convolution-subset-sum-supp*[of  $f\ g$ ]  
 *finite-set-plus*[of  $supp\ f\ supp\ g$ ] *finite-subset*  
 unfolding *aezfun-set-def*  
 by *fastforce*

**lemma** *convolution-assoc* :  
 fixes  $f\ h\ g :: 'a::group-add \Rightarrow 'b::semiring-0$   
 assumes *f-aez*:  $f \in aezfun-set$  and *h-aez*:  $h \in aezfun-set$   
 shows  $convolution\ (convolution\ f\ g)\ h = convolution\ f\ (convolution\ g\ h)$   
**proof**  
 define  $fg\ gh$  where  $fg = convolution\ f\ g$  and  $gh = convolution\ g\ h$   
 fix  $x::'a$   
 have  $convolution\ fg\ h\ x$   
 =  $(\sum_{y \in supp\ f}. (\sum_{z \in supp\ h}. f\ y * g\ (-y + x - z) * h\ z))$   
**proof-**  
 have  $convolution\ fg\ h\ x = (\sum_{z \in supp\ h}. fg\ (x - z) * h\ z)$   
 using *h-aez convolution-eq-sum-over-supp-right*[of  $h\ fg$ ] **by** *simp*  
 moreover **have**  $\bigwedge z. fg\ (x - z) * h\ z$   
 =  $(\sum_{y \in supp\ f}. f\ y * g\ (-y + x - z) * h\ z)$

```

proof-
  fix z::'a
  have fg (x - z) = (∑ y∈supp f. f y * g (-y + (x - z)))
    using fg-def f-aez convolution-symm-eq-sum-over-supp-left by fastforce
  hence fg (x - z) * h z = (∑ y∈supp f. f y * g (-y + (x - z)) * h z)
    using sum-distrib-right by simp
  thus fg (x - z) * h z = (∑ y∈supp f. f y * g (-y + x - z) * h z)
    by (simp add: algebra-simps)
qed
ultimately
  have convolution fg h x
    = (∑ z∈supp h. (∑ y∈supp f. f y * g (-y + x - z) * h z))
  using sum.cong
  by simp
  thus ?thesis using sum.swap by simp
qed
moreover have convolution f gh x
    = (∑ y∈supp f. (∑ z∈supp h. f y * g (-y + x - z) * h z))
proof-
  have convolution f gh x = (∑ y∈supp f. f y * gh (-y + x))
    using f-aez convolution-symm-eq-sum-over-supp-left[of f gh] by simp
  moreover have ∧y. f y * gh (-y + x)
    = (∑ z∈supp h. f y * g (-y + x - z) * h z)
proof-
  fix y::'a
  have triple-cong: ∧z. f y * (g (-y + x - z) * h z)
    = f y * g (-y + x - z) * h z
    using mult.assoc[of f y] by simp
  have gh (-y + x) = (∑ z∈supp h. g (-y + x - z) * h z)
    using gh-def h-aez convolution-eq-sum-over-supp-right by fastforce
  hence f y * gh (-y + x) = (∑ z∈supp h. f y * (g (-y + x - z) * h z))
    using sum-distrib-left by simp
  also have ... = (∑ z∈supp h. f y * g (-y + x - z) * h z)
    using triple-cong sum.cong by simp
  finally
  show f y * gh (-y + x) = (∑ z∈supp h. f y * g (-y + x - z) * h z)
    by fast
qed
  ultimately show ?thesis using sum.cong by simp
qed
ultimately show convolution fg h x = convolution f gh x by simp
qed

lemma convolution-distrib-left :
  fixes g h f :: 'a::group-add ⇒ 'b::semiring-0
  assumes g ∈ aezfun-set h ∈ aezfun-set
  shows convolution f (g + h) = convolution f g + convolution f h
proof
  define gh GH where gh = g + h and GH = supp g ∪ supp h

```

**have** *fin-GH*: *finite GH* **using** *GH-def* *assms* **unfolding** *aezfun-set-def* **by** *fast*  
**have** *gh-aezfun*:  $gh \in \text{aezfun-set}$  **using** *gh-def* *assms* *sum-of-aezfun-is-aezfun* **by**  
*fast*  
**fix** *x*::'a  
**have** *zero-ext-g*:  $\bigwedge y. y \in GH - \text{supp } g \implies (f(x-y)) * g y = 0$   
**and** *zero-ext-h*:  $\bigwedge y. y \in GH - \text{supp } h \implies (f(x-y)) * h y = 0$   
**and** *zero-ext-gh*:  $\bigwedge y. y \in GH - \text{supp } gh \implies (f(x-y)) * gh y = 0$   
**unfolding** *supp-def* **by** *auto*  
**have** *convolution f gh*  $x = (\sum y \in \text{supp } gh. (f(x-y)) * gh y)$   
**using** *assms* *gh-aezfun* *convolution-eq-sum-over-supp-right*[of *gh f*] **by** *simp*  
**also from** *gh-def* *GH-def* **have**  $\dots = (\sum y \in GH. (f(x-y)) * gh y)$   
**using** *fin-GH* *supp-sum-subset-union-supp* *zero-ext-gh*  
*sum.mono-neutral-left*[of *GH supp gh*  $(\lambda y. (f(x-y)) * gh y)$ ]  
**by** *fast*  
**also from** *gh-def*  
**have**  $\dots = (\sum y \in GH. (f(x-y)) * g y) + (\sum y \in GH. (f(x-y)) * h y)$   
**using** *sum.distrib* **by** (*simp add: algebra-simps*)  
**finally show** *convolution f gh*  $x = (\text{convolution } f g + \text{convolution } f h) x$   
**using** *assms* *GH-def* *fin-GH* *zero-ext-g* *zero-ext-h*  
*sum.mono-neutral-right*[of *GH supp g*  $(\lambda y. (f(x-y)) * g y)$ ]  
*sum.mono-neutral-right*[of *GH supp h*  $(\lambda y. (f(x-y)) * h y)$ ]  
*convolution-eq-sum-over-supp-right*[of *g f*]  
*convolution-eq-sum-over-supp-right*[of *h f*]  
**by** *fastforce*  
**qed**

**lemma** *convolution-distrib-right* :

**fixes** *f g h* :: 'a::group-add  $\Rightarrow$  'b::semiring-0  
**assumes**  $f \in \text{aezfun-set}$   $g \in \text{aezfun-set}$   
**shows**  $\text{convolution } (f + g) h = \text{convolution } f h + \text{convolution } g h$   
**proof**  
**define** *fg FG* **where**  $fg = f + g$  **and**  $FG = \text{supp } f \cup \text{supp } g$   
**have** *fin-FG*: *finite FG* **using** *FG-def* *assms* **unfolding** *aezfun-set-def* **by** *fast*  
**have** *fg-aezfun*:  $fg \in \text{aezfun-set}$  **using** *fg-def* *assms* *sum-of-aezfun-is-aezfun* **by**  
*fast*  
**fix** *x*::'a  
**have** *zero-ext-f*:  $\bigwedge y. y \in FG - \text{supp } f \implies (f y) * h(-y+x) = 0$   
**and** *zero-ext-g*:  $\bigwedge y. y \in FG - \text{supp } g \implies (g y) * h(-y+x) = 0$   
**and** *zero-ext-fg*:  $\bigwedge y. y \in FG - \text{supp } fg \implies (fg y) * h(-y+x) = 0$   
**unfolding** *supp-def* **by** *auto*  
**from** *assms* **have** *convolution fg h*  $x = (\sum y \in \text{supp } fg. (fg y) * h(-y+x))$   
**using** *fg-aezfun* *convolution-symm-eq-sum-over-supp-left*[of *fg h*] **by** *simp*  
**also from** *fg-def* *FG-def* **have**  $\dots = (\sum y \in FG. (fg y) * h(-y+x))$   
**using** *fin-FG* *supp-sum-subset-union-supp* *zero-ext-fg*  
*sum.mono-neutral-left*[of *FG supp fg*  $(\lambda y. (fg y) * h(-y+x))$ ]  
**by** *fast*  
**also from** *fg-def*  
**have**  $\dots = (\sum y \in FG. (f y) * h(-y+x)) + (\sum y \in FG. (g y) * h(-y+x))$   
**using** *sum.distrib* **by** (*simp add: algebra-simps*)

```

finally show convolution fg h x = (convolution f h + convolution g h) x
using assms FG-def fin-FG zero-ext-f zero-ext-g
        sum.mono-neutral-right[of FG supp f (λy. (f y) * h (-y + x))]
        sum.mono-neutral-right[of FG supp g (λy. (g y) * h (-y + x))]
        convolution-symm-eq-sum-over-supp-left[of f h]
        convolution-symm-eq-sum-over-supp-left[of g h]
by fastforce
qed

```

#### 1.5.4 Type definition, instantiations, and instances

```

typedef (overloaded) ('a::zero,'b) aezfun = aezfun-set :: ('b⇒'a) set
morphisms aezfun Abs-aezfun
using zerofun-is-aezfun
by fast

```

```

setup-lifting type-definition-aezfun

```

```

lemma aezfun-finite-supp : finite (supp (aezfun a))
using aezfun.aezfun unfolding aezfun-set-def by fast

```

```

lemma aezfun-transfer : aezfun a = aezfun b ⇒ a = b by transfer fast

```

```

instantiation aezfun :: (zero, type) zero
begin
  lift-definition zero-aezfun :: ('a,'b) aezfun is 0::'b⇒'a
    using zerofun-is-aezfun by fast
  instance ..
end

```

```

lemma zero-aezfun-transfer : Abs-aezfun ((0::'b::zero) δ (0::'a::zero)) = 0
proof-
  define zb za where zb = (0::'b) and za = (0::'a)
  hence zb δ za = 0 using deltafun0[of za] by fast
  moreover have aezfun 0 = 0 using zero-aezfun.rep-eq by fast
  ultimately have zb δ za = aezfun 0 by simp
  with zb-def za-def show ?thesis using aezfun-inverse by simp
qed

```

```

lemma zero-aezfun-apply [simp]: aezfun 0 x = 0
by transfer simp

```

```

instantiation aezfun :: (monoid-add, type) plus
begin
  lift-definition plus-aezfun :: ('a, 'b) aezfun ⇒ ('a, 'b) aezfun ⇒ ('a, 'b) aezfun
    is λf g. f + g
    using sum-of-aezfun-is-aezfun
    by auto
  instance ..
end

```

**end**

**lemma** *plus-aezfun-apply* [*simp*]:  $aezfun (a+b) x = aezfun a x + aezfun b x$   
**by** *transfer simp*

**instance** *aezfun* :: (*monoid-add*, *type*) *semigroup-add*

**proof**

**fix**  $a b c :: ('a, 'b)$  *aezfun*

**have**  $aezfun (a + b + c) = aezfun (a + (b + c))$

**proof**

**fix**  $x :: 'b$  **show**  $aezfun (a + b + c) x = aezfun (a + (b + c)) x$

**using** *add.assoc*[*of aezfun a x*] **by** *simp*

**qed**

**thus**  $a + b + c = a + (b + c)$  **by** *transfer fast*

**qed**

**instance** *aezfun* :: (*monoid-add*, *type*) *monoid-add*

**proof**

**fix**  $a b c :: ('a, 'b)$  *aezfun*

**show**  $0 + a = a$  **by** *transfer simp*

**show**  $a + 0 = a$  **by** *transfer simp*

**qed**

**lemma** *sum-list-aezfun-apply* [*simp*] :

$aezfun (sum-list as) x = (\sum a \leftarrow as. aezfun a x)$

**by** (*induct as*) *auto*

**lemma** *sum-list-map-aezfun-apply* [*simp*] :

$aezfun (\sum a \leftarrow as. f a) x = (\sum a \leftarrow as. aezfun (f a) x)$

**by** (*induct as*) *auto*

**lemma** *sum-list-map-aezfun* [*simp*] :

$aezfun (\sum a \leftarrow as. f a) = (\sum a \leftarrow as. aezfun (f a))$

**using** *sum-list-map-aezfun-apply*[*of f*] *sum-list-fun-apply*[*of aezfun*  $\circ$  *f*] **by** *auto*

**lemma** *sum-list-prod-map-aezfun-apply* :

$aezfun (\sum (x,y) \leftarrow xys. f x y) a = (\sum (x,y) \leftarrow xys. aezfun (f x y) a)$

**by** (*induct xys*) *auto*

**lemma** *sum-list-prod-map-aezfun* :

$aezfun (\sum (x,y) \leftarrow xys. f x y) = (\sum (x,y) \leftarrow xys. aezfun (f x y))$

**using** *sum-list-prod-map-aezfun-apply*[*of f*]

*sum-list-prod-fun-apply*[*of*  $\lambda y z. aezfun (f y z)$ ]

**by** *auto*

**instance** *aezfun* :: (*comm-monoid-add*, *type*) *comm-monoid-add*

**proof**

**fix**  $a b :: ('a, 'b)$  *aezfun*

**have**  $aezfun (a + b) = aezfun (b + a)$

```

proof
  fix  $x::'b$  show  $\text{aezfun } (a + b) x = \text{aezfun } (b + a) x$ 
    using  $\text{add.commute[of aezfun } a x]$  by  $\text{simp}$ 
qed
thus  $a + b = b + a$  by  $\text{transfer fast}$ 
show  $0 + a = a$  by  $\text{simp}$ 
qed

lemma  $\text{sum-aezfun-apply [simp]}$  :
   $\text{finite } A \implies \text{aezfun } (\sum A) x = (\sum a \in A. \text{aezfun } a x)$ 
  by  $(\text{induct set: finite}) \text{ auto}$ 

instantiation  $\text{aezfun} :: (\text{group-add, type}) \text{ minus}$ 
begin
  lift-definition  $\text{minus-aezfun} :: ('a, 'b) \text{aezfun} \Rightarrow ('a, 'b) \text{aezfun} \Rightarrow ('a, 'b) \text{aezfun}$ 
    is  $\lambda f g. f - g$ 
    using  $\text{diff-of-aezfun-is-aezfun}$ 
    by  $\text{fast}$ 
  instance ..
end

lemma  $\text{minus-aezfun-apply [simp]}$ :  $\text{aezfun } (a-b) x = \text{aezfun } a x - \text{aezfun } b x$ 
  by  $\text{transfer simp}$ 

instantiation  $\text{aezfun} :: (\text{group-add, type}) \text{ uminus}$ 
begin
  lift-definition  $\text{uminus-aezfun} :: ('a, 'b) \text{aezfun} \Rightarrow ('a, 'b) \text{aezfun}$  is  $\lambda f. - f$ 
    using  $\text{neg-of-aezfun-is-aezfun}$  by  $\text{fast}$ 
  instance ..
end

lemma  $\text{uminus-aezfun-apply [simp]}$ :  $\text{aezfun } (-a) x = - \text{aezfun } a x$ 
  by  $\text{transfer simp}$ 

lemma  $\text{aezfun-left-minus [simp]}$  :
  fixes  $a :: ('a::\text{group-add}, 'b) \text{aezfun}$ 
  shows  $- a + a = 0$ 
  by  $\text{transfer simp}$ 

lemma  $\text{aezfun-diff-minus [simp]}$  :
  fixes  $a b :: ('a::\text{group-add}, 'b) \text{aezfun}$ 
  shows  $a - b = a + - b$ 
  by  $\text{transfer auto}$ 

instance  $\text{aezfun} :: (\text{group-add, type}) \text{ group-add}$ 
proof
  fix  $a b :: ('a::\text{group-add}, 'b) \text{aezfun}$ 
  show  $- a + a = 0$   $a + - b = a - b$  by  $\text{auto}$ 
qed

```

```

instance aezfun :: (ab-group-add, type) ab-group-add
proof
  fix a b :: ('a::ab-group-add, 'b) aezfun
  show - a + a = 0 by simp
  show a - b = a + - b using aezfun-diff-minus by fast
qed

instantiation aezfun :: ({one,zero}, zero) one
begin
  lift-definition one-aezfun :: ('a,'b) aezfun is 1  $\delta$  0
    using deltafun-is-aezfun by fast
  instance ..
end

lemma one-aezfun-transfer : Abs-aezfun (1  $\delta$  0) = 1
proof-
  define z n where z = (0::'b::zero) and n = (1::'a::{one,zero})
  hence aezfun 1 = n  $\delta$  z using one-aezfun.rep-eq by fast
  hence Abs-aezfun (n  $\delta$  z) = Abs-aezfun (aezfun 1) by simp
  with z-def n-def show ?thesis using aezfun-inverse by simp
qed

lemma one-aezfun-apply [simp]: aezfun 1 x = (1  $\delta$  0) x
  by transfer rule

lemma one-aezfun-apply-eq [simp]: aezfun 1 0 = 1
  using deltafun-apply-eq by simp

lemma one-aezfun-apply-neq [simp]: x  $\neq$  0  $\implies$  aezfun 1 x = 0
  using deltafun-apply-neq by simp

instance aezfun :: (zero-neq-one, zero) zero-neq-one
proof
  have (0::'a)  $\neq$  1 aezfun 0 0 = 0 aezfun (1::('a,'b) aezfun) 0 = 1
    using zero-neq-one one-aezfun-apply-eq by auto
  thus (0::('a,'b) aezfun)  $\neq$  1
    using zero-neq-one one-aezfun-apply-eq
      fun-eq-iff[of aezfun (0::('a,'b) aezfun) aezfun 1]
    by auto
qed

instantiation aezfun :: ({comm-monoid-add,times}, group-add) times
begin
  lift-definition times-aezfun :: ('a, 'b) aezfun  $\Rightarrow$  ('a, 'b) aezfun  $\Rightarrow$  ('a, 'b) aezfun
    is  $\lambda$  f g. convolution f g
    using convolution-of-aezfun-is-aezfun
    by fast
  instance ..

```



**end**

**lemma** *convolution-transfer* :

**assumes**  $f \in \text{aezfun-set } g \in \text{aezfun-set}$

**shows**  $\text{Abs-aezfun } (\text{convolution } f g) = \text{Abs-aezfun } f * \text{Abs-aezfun } g$

**proof** (*rule aezfun-transfer*)

**from** *assms* **have**  $\text{aezfun } (\text{Abs-aezfun } (\text{convolution } f g)) = \text{convolution } f g$

**using** *convolution-of-aezfun-is-aezfun Abs-aezfun-inverse* **by** *fast*

**moreover from** *assms*

**have**  $\text{aezfun } (\text{Abs-aezfun } f * \text{Abs-aezfun } g) = \text{convolution } f g$

**using** *times-aezfun.rep-eq[of Abs-aezfun f] Abs-aezfun-inverse[of f]*  
*Abs-aezfun-inverse[of g]*

**by** *simp*

**ultimately show**  $\text{aezfun } (\text{Abs-aezfun } (\text{convolution } f g))$

$= \text{aezfun } (\text{Abs-aezfun } f * \text{Abs-aezfun } g)$

**by** *simp*

**qed**

**instance** *aezfun* :: (*comm-monoid-add,mult-zero*}, *group-add*) *mult-zero*

**proof**

**fix**  $a :: ('a, 'b) \text{aezfun}$

**show**  $0 * a = 0$  **using** *convolution-zero[of - aezfun a]* **by** *transfer fast*

**show**  $a * 0 = 0$  **using** *convolution-zero[of aezfun a]* **by** *transfer fast*

**qed**

**instance** *aezfun* :: (*semiring-0*, *group-add*) *semiring-0*

**proof**

**fix**  $a b c :: ('a, 'b) \text{aezfun}$

**show**  $a * b * c = a * (b * c)$

**using** *convolution-assoc[of aezfun a aezfun c aezfun b]* **by** *transfer*

**show**  $(a + b) * c = a * c + b * c$

**using** *convolution-distrib-right[of aezfun a aezfun b aezfun c]* **by** *transfer*

**show**  $a * (b + c) = a * b + a * c$

**using** *convolution-distrib-left[of aezfun b aezfun c aezfun a]* **by** *transfer*

**qed**

**instance** *aezfun* :: (*ring*, *group-add*) *ring ..*

**instance** *aezfun* :: (*semiring-0,monoid-mult,zero-neq-one*}, *group-add*) *monoid-mult*

**proof**

**fix**  $a :: ('a, 'b) \text{aezfun}$

**show**  $1 * a = a$

**proof-**

**have**  $\text{aezfun } (1 * a) = \text{convolution } (1 \delta 0) (\text{aezfun } a)$  **by** *transfer fast*

**hence**  $\text{aezfun } (1 * a) = (\text{aezfun } a)$

**using** *one-neq-zero convolution-delta-left[of 1 0 aezfun a]* *minus-zero* **by** *simp*

**thus**  $1 * a = a$  **by** *transfer*

**qed**

**show**  $a * 1 = a$

**proof–**  
**have**  $\text{aezfun } (a * 1) = \text{convolution } (\text{aezfun } a) (1 \delta 0)$  **by** *transfer fast*  
**hence**  $\text{aezfun } (a * 1) = (\text{aezfun } a)$   
**using** *one-neq-zero convolution-delta-right*[of  $\text{aezfun } a$ ] **by** *simp*  
**thus** *?thesis* **by** *transfer*  
**qed**  
**qed**

**instance**  $\text{aezfun} :: (\text{ring-1}, \text{group-add}) \text{ring-1} ..$

### 1.5.5 Transfer facts

**abbreviation**  $\text{aezdeltafun} :: 'b::\text{zero} \Rightarrow 'a \Rightarrow ('b, 'a) \text{aezfun}$  (**infix**  $\delta\delta$  70)  
**where**  $b \delta\delta a \equiv \text{Abs-aezfun } (b \delta a)$

**lemma**  $\text{aezdeltafun} : \text{aezfun } (b \delta\delta a) = b \delta a$   
**using** *deltafun-is-aezfun*[of  $b \ a$ ] *Abs-aezfun-inverse* **by** *fast*

**lemma**  $\text{aezdeltafun-plus} : (b+c) \delta\delta a = (b \delta\delta a) + (c \delta\delta a)$   
**using** *aezdeltafun*[of  $b+c \ a$ ] *deltafun-plus* *aezdeltafun*[of  $b \ a$ ] *aezdeltafun*[of  $c \ a$ ]  
*plus-aezfun.rep-eq*[of  $b \ \delta\delta \ a$ ]  
*aezfun-transfer*[of  $(b+c) \ \delta\delta \ a \ (b \ \delta\delta \ a) + (c \ \delta\delta \ a)$ ]  
**by** *fastforce*

**lemma** *times-aezdeltafun-aezdeltafun* :  
**fixes**  $b1 \ b2 :: 'b::\{\text{comm-monoid-add}, \text{mult-zero}\}$   
**shows**  $(b1 \ \delta\delta \ a1) * (b2 \ \delta\delta \ a2) = (b1 * b2) \ \delta\delta \ (a1 + a2)$   
**using** *deltafun-is-aezfun* *convolution-transfer*[of  $b1 \ \delta \ a1$ , *THEN sym*]  
*convolution-delta-delta*[of  $b1 \ a1 \ b2 \ a2$ ]  
**by** *fastforce*

**lemma** *aezfun-restrict-and-extend0* :  $(\text{aezfun } x) \downarrow A \in \text{aezfun-set}$   
**using** *aezfun.aezfun restrict-and-extend0-aezfun-is-aezfun*[of  $\text{aezfun } x$ ] **by** *fast*

**lemma** *aezdeltafun-decomp* :  
**fixes**  $b :: 'b::\text{semiring-1}$   
**shows**  $b \ \delta\delta \ a = (b \ \delta\delta \ 0) * (1 \ \delta\delta \ a)$   
**using** *convolution-delta-delta*[of  $b \ 0 \ 1 \ a$ ] *deltafun-is-aezfun*[of  $b \ 0$ ]  
*deltafun-is-aezfun*[of  $1 \ a$ ] *convolution-transfer*  
**by** *fastforce*

**lemma** *aezdeltafun-decomp'* :  
**fixes**  $b :: 'b::\text{semiring-1}$   
**shows**  $b \ \delta\delta \ a = (1 \ \delta\delta \ a) * (b \ \delta\delta \ 0)$   
**using** *convolution-delta-delta*[of  $1 \ a \ b \ 0$ ] *deltafun-is-aezfun*[of  $b \ 0$ ]  
*deltafun-is-aezfun*[of  $1 \ a$ ] *convolution-transfer*  
**by** *fastforce*

**lemma** *supp-aezfun1* :

$\text{supp} ( \text{aezfun} ( 1 :: ('a::\text{zero-neq-one}, 'b::\text{zero}) \text{aezfun} ) ) = 0$   
**using**  $\text{supp-deltafun}$ [of 1::'a 0::'b] **by**  $\text{transfer simp}$

**lemma**  $\text{supp-aezfun-diff}$  :

$\text{supp} ( \text{aezfun} ( x - y ) ) \subseteq \text{supp} ( \text{aezfun} x ) \cup \text{supp} ( \text{aezfun} y )$

**proof**–

**have**  $\text{supp} ( \text{aezfun} ( x - y ) ) = \text{supp} ( ( \text{aezfun} x ) - ( \text{aezfun} y ) )$  **by**  $\text{transfer fast}$

**thus**  $?thesis$  **using**  $\text{supp-diff-subset-union-supp}$  **by**  $\text{fast}$

**qed**

**lemma**  $\text{supp-aezfun-times}$  :

$\text{supp} ( \text{aezfun} ( x * y ) ) \subseteq \text{supp} ( \text{aezfun} x ) + \text{supp} ( \text{aezfun} y )$

**proof**–

**have**  $\text{supp} ( \text{aezfun} ( x * y ) ) = \text{supp} ( \text{convolution} ( \text{aezfun} x ) ( \text{aezfun} y ) )$

**by**  $\text{transfer fast}$

**thus**  $?thesis$  **using**  $\text{supp-convolution-subset-sum-supp}$  **by**  $\text{fast}$

**qed**

### 1.5.6 Almost-everywhere-zero functions with constrained support

The name of the next definition anticipates  $\text{aezfun-common-supp-spanning-set}$  below.

**definition**  $\text{aezfun-setspace} :: 'a \text{ set} \Rightarrow ('b::\text{zero}, 'a) \text{aezfun set}$

**where**  $\text{aezfun-setspace} A = \{x. \text{supp} ( \text{aezfun} x ) \subseteq A\}$

**lemma**  $\text{aezfun-setspaceD} : x \in \text{aezfun-setspace} A \Longrightarrow \text{supp} ( \text{aezfun} x ) \subseteq A$

**unfolding**  $\text{aezfun-setspace-def}$  **by**  $\text{fast}$

**lemma**  $\text{aezfun-setspaceI} : \text{supp} ( \text{aezfun} x ) \subseteq A \Longrightarrow x \in \text{aezfun-setspace} A$

**unfolding**  $\text{aezfun-setspace-def}$  **by**  $\text{fast}$

**lemma**  $\text{aezfun-common-supp-spanning-set}$  :

**assumes**  $\text{finite } A$

**shows**  $\exists \text{as. } \text{distinct as} \wedge \text{set as} = A \wedge ($

$\forall x::('b::\text{semiring-1}, 'a) \text{aezfun} \in \text{aezfun-setspace} A.$

$\exists \text{bs. } \text{length bs} = \text{length as} \wedge x = (\sum (b,a) \leftarrow \text{zip bs as. } b \delta \delta a)$

$)$

**proof**–

**from**  $\text{assms}$   $\text{aezfun-common-supp-spanning-set}$ [of A] **obtain**  $\text{as}$

**where**  $\text{as: } \text{distinct as set as} = A$

$\forall f::'a \Rightarrow 'b. \text{supp } f \subseteq A$

$\longrightarrow (\exists \text{bs. } \text{length bs} = \text{length as} \wedge f = (\sum (b,a) \leftarrow \text{zip bs as. } b \delta \delta a))$

**by**  $\text{fast}$

**have**  $\bigwedge x::('b, 'a) \text{aezfun. } x \in \text{aezfun-setspace} A \Longrightarrow$

$(\exists \text{bs. } \text{length bs} = \text{length as} \wedge x = (\sum (b,a) \leftarrow \text{zip bs as. } b \delta \delta a))$

**proof**–

**fix**  $x::('b, 'a) \text{aezfun}$  **assume**  $x \in \text{aezfun-setspace} A$

**with**  $\text{as}(\exists)$  **obtain**  $\text{bs}$

**where**  $\text{bs: } \text{length bs} = \text{length as} \wedge x = (\sum (b,a) \leftarrow \text{zip bs as. } b \delta \delta a)$

```

    using aezfun-setsD
  by fast
  have  $\bigwedge b a. (b,a) \in \text{set } (\text{zip } bs \ as) \implies b \ \delta \ a = \text{aezfun } (b \ \delta \delta \ a)$ 
  proof-
    fix b a assume  $(b,a) \in \text{set } (\text{zip } bs \ as)$ 
    show  $b \ \delta \ a = \text{aezfun } (b \ \delta \delta \ a)$  using aezdeltafun[of b a] by simp
  qed
  with bs show  $\exists bs. \text{length } bs = \text{length } as \wedge x = (\sum (b,a) \leftarrow \text{zip } bs \ as. b \ \delta \delta \ a)$ 
    using sum-list-prod-cong[of zip bs as deltafun  $\lambda b a. \text{aezfun } (b \ \delta \delta \ a)$ ]
      sum-list-prod-map-aezfun[of aezdeltafun zip bs as]
      aezfun-transfer[of x]
    by fastforce
  qed
  with as(1,2) show ?thesis by fast
  qed

```

```

lemma aezfun-common-supp-spanning-set-decomp :
  fixes  $G :: 'g::\text{group-add set}$ 
  assumes finite G
  shows  $\exists gs. \text{distinct } gs \wedge \text{set } gs = G \wedge ($ 
     $\forall x::('r::\text{semiring-1},'g) \text{aezfun} \in \text{aezfun-setsD} \ G.$ 
     $\exists rs. \text{length } rs = \text{length } gs$ 
     $\wedge x = (\sum (r,g) \leftarrow \text{zip } rs \ gs. (r \ \delta \delta \ 0) * (1 \ \delta \delta \ g))$ 
  )
  proof-
  from aezfun-common-supp-spanning-set[OF assms] obtain gs
    where  $gs: \text{distinct } gs \ \text{set } gs = G$ 
       $\forall x::('r,'g) \text{aezfun} \in \text{aezfun-setsD} \ G.$ 
       $\exists rs. \text{length } rs = \text{length } gs$ 
       $\wedge x = (\sum (r,g) \leftarrow \text{zip } rs \ gs. r \ \delta \delta \ g)$ 
    by fast
  have  $\bigwedge x::('r,'g) \text{aezfun}. x \in \text{aezfun-setsD} \ G$ 
     $\implies \exists rs. \text{length } rs = \text{length } gs$ 
     $\wedge x = (\sum (r,g) \leftarrow \text{zip } rs \ gs. (r \ \delta \delta \ 0) * (1 \ \delta \delta \ g))$ 
  proof-
    fix  $x::('r,'g) \text{aezfun}$  assume  $x \in \text{aezfun-setsD} \ G$ 
    with  $gs(3)$  obtain rs
      where  $\text{length } rs = \text{length } gs \ x = (\sum (r,g) \leftarrow \text{zip } rs \ gs. r \ \delta \delta \ g)$ 
      using aezfun-setsD
      by fast
    thus  $\exists rs. \text{length } rs = \text{length } gs$ 
       $\wedge x = (\sum (r,g) \leftarrow \text{zip } rs \ gs. (r \ \delta \delta \ 0) * (1 \ \delta \delta \ g))$ 
    using aezdeltafun-decomp sum-list-prod-cong[
      of zip rs gs  $\lambda r g. r \ \delta \delta \ g \ \lambda r g. (r \ \delta \delta \ 0) * (1 \ \delta \delta \ g)$ 
    ]
    by auto
  qed
  with  $gs(1,2)$  show ?thesis by fast
  qed

```

**lemma** *aezfun-decomp-aezdeltafun* :  
**fixes**  $c :: ('r::\text{semiring-1}, 'a) \text{ aezfun}$   
**shows**  $\exists \text{ ras. set (map snd ras) = supp (aezfun c) } \wedge c = (\sum (r,a) \leftarrow \text{ras. } r \delta \delta a)$   
**proof**–  
**from** *aezfun-finite-supp*[of  $c$ ] **obtain**  $as$   
**where**  $as$ :  $\text{set } as = \text{supp (aezfun c)}$   
 $\forall x::('r, 'a) \text{ aezfun} \in \text{aezfun-setspace (supp (aezfun c))}$ .  
 $\exists bs. \text{length } bs = \text{length } as$   
 $\wedge x = (\sum (b,a) \leftarrow \text{zip } bs \text{ as. } b \delta \delta a)$   
**using** *aezfun-common-supp-spanning-set*[of  $\text{supp (aezfun c)}$ ]  
**by** *fast*  
**from**  $as(2)$  **obtain**  $bs$   
**where**  $bs$ :  $\text{length } bs = \text{length } as$   $c = (\sum (b,a) \leftarrow \text{zip } bs \text{ as. } b \delta \delta a)$   
**using** *aezfun-setspaceI*[of  $c$   $\text{supp (aezfun c)}$ ]  
**by** *fast*  
**from**  $bs(1)$   $as(1)$  **have**  $\text{set (map snd (zip bs as)) = supp (aezfun c)}$  **by** *simp*  
**with**  $bs(2)$  **show** *?thesis* **by** *fast*  
**qed**

**lemma** *aezfun-setspace-el-decomp-aezdeltafun* :  
**fixes**  $c :: ('r::\text{semiring-1}, 'a) \text{ aezfun}$   
**shows**  $c \in \text{aezfun-setspace } A$   
 $\implies \exists \text{ ras. set (map snd ras) } \subseteq A \wedge c = (\sum (r,a) \leftarrow \text{ras. } r \delta \delta a)$   
**using** *aezfun-setspaceD* *aezfun-decomp-aezdeltafun*  
**by** *fast*

**lemma** *aezdelta0fun-commutes'* :  
**fixes**  $b1 \ b2 :: 'b::\text{comm-semiring-1}$   
**shows**  $b1 \delta \delta a * (b2 \delta \delta 0) = b2 \delta \delta 0 * (b1 \delta \delta a)$   
**using** *times-aezdeltafun-aezdeltafun*[of  $b1 \ a$ ]  
*times-aezdeltafun-aezdeltafun*[of  $b2 \ 0 \ b1 \ a$ ]  
**by** (*simp add: algebra-simps*)

**lemma** *aezdelta0fun-commutes* :  
**fixes**  $b :: 'b::\text{comm-semiring-1}$   
**shows**  $c * (b \delta \delta 0) = b \delta \delta 0 * c$   
**proof**–  
**from** *aezfun-decomp-aezdeltafun* **obtain**  $ras$   
**where**  $c$ :  $c = (\sum (r,a) \leftarrow \text{ras. } r \delta \delta a)$   
**by** *fast*  
**thus** *?thesis*  
**using** *sum-list-mult-const-prod*[of  $\lambda r \ a. r \delta \delta a \ \text{ras}$ ] *aezdelta0fun-commutes'*  
*sum-list-prod-cong*[of  $\text{ras } \lambda r \ a. r \delta \delta a * (b \delta \delta 0) \ \lambda r \ a. b \delta \delta 0 * (r \delta \delta a)$ ]  
*sum-list-const-mult-prod*[of  $b \ \delta \delta 0 \ \lambda r \ a. r \delta \delta a \ \text{ras}$ ]  
**by** *auto*  
**qed**

The following definition constrains the support of arbitrary almost-everywhere-

zero functions, as a sort of projection onto a *aezfun-sets*pan.

**definition** *aezfun-sets*pan-proj :: 'a set  $\Rightarrow$  ('b::zero,'a) *aezfun*  $\Rightarrow$  ('b::zero,'a) *aezfun*  
**where** *aezfun-sets*pan-proj A x  $\equiv$  Abs-*aezfun* ((*aezfun* x) $\downarrow$ A)

**lemma** *aezfun-sets*pan-projD1 :

a  $\in$  A  $\implies$  *aezfun* (*aezfun-sets*pan-proj A x) a = *aezfun* x a

**using** *aezfun-restrict-and-extend0*[of A x] Abs-*aezfun-inverse*[of (*aezfun* x) $\downarrow$ A]

**unfolding** *aezfun-sets*pan-proj-def

**by** *simp*

**lemma** *aezfun-sets*pan-projD2 :

a  $\notin$  A  $\implies$  *aezfun* (*aezfun-sets*pan-proj A x) a = 0

**using** *aezfun-restrict-and-extend0*[of A x] Abs-*aezfun-inverse*[of (*aezfun* x) $\downarrow$ A]

**unfolding** *aezfun-sets*pan-proj-def

**by** *simp*

**lemma** *aezfun-sets*pan-proj-in-setspan :

*aezfun-sets*pan-proj A x  $\in$  *aezfun-sets*pan A

**using** *aezfun-sets*pan-projD2[of - A]

*suppD-contr*a[of *aezfun* (*aezfun-sets*pan-proj A x)]

*aezfun-sets*panI[of *aezfun-sets*pan-proj A x A]

**by** *auto*

**lemma** *aezfun-sets*pan-proj-zero : *aezfun-sets*pan-proj A 0 = 0

**proof**–

**have** *aezfun* (*aezfun-sets*pan-proj A 0) = *aezfun* 0

**proof**

**fix** a **show** *aezfun* (*aezfun-sets*pan-proj A 0) a = *aezfun* 0 a

**using** *aezfun-sets*pan-projD1[of a A 0] *aezfun-sets*pan-projD2[of a A 0]

**by** (cases a $\in$ A) *auto*

**qed**

**thus** ?thesis **using** *aezfun-transfer* **by** *fast*

**qed**

**lemma** *aezfun-sets*pan-proj-*aezdeltafun* :

*aezfun-sets*pan-proj A (b  $\delta\delta$  a) = (if a  $\in$  A then b  $\delta\delta$  a else 0)

**proof**–

**have** *aezfun* (*aezfun-sets*pan-proj A (b  $\delta\delta$  a))

= *aezfun* (if a  $\in$  A then b  $\delta\delta$  a else 0)

**proof**

**fix** x **show** *aezfun* (*aezfun-sets*pan-proj A (b  $\delta\delta$  a)) x

= *aezfun* (if a  $\in$  A then b  $\delta\delta$  a else 0) x

**proof** (cases x  $\in$  A)

**case** True **thus** ?thesis

**using** *aezfun-sets*pan-projD1[of x A b  $\delta\delta$  a] *aezdeltafun*[of b a]

*deltafun-apply-neq*[of x]

**by** *fastforce*

**next**

**case** False

**hence**  $aezfun$  ( $aezfun$ -setspan-proj  $A$  ( $b \delta \delta a$ ))  $x = 0$   
**using**  $aezfun$ -setspan-projD2[*of*  $x A$ ] **by** *simp*  
**moreover from** *False*  
**have**  $a \in A \implies aezfun$  (*if*  $a \in A$  *then*  $b \delta \delta a$  *else*  $0$ )  $x = 0$   
**using**  $aezdeltafun$ [*of*  $b a$ ]  $deltafun$ -apply-neq[*of*  $x a b$ ] **by** *auto*  
**ultimately show** *?thesis* **by** *auto*  
**qed**  
**qed**  
**thus** *?thesis* **using**  $aezfun$ -transfer **by** *fast*  
**qed**

**lemma**  $aezfun$ -setspan-proj-add :

$aezfun$ -setspan-proj  $A$  ( $x+y$ )  
 $= aezfun$ -setspan-proj  $A$   $x + aezfun$ -setspan-proj  $A$   $y$

**proof**–

**have**  $aezfun$  ( $aezfun$ -setspan-proj  $A$  ( $x+y$ ))  
 $= aezfun$  ( $aezfun$ -setspan-proj  $A$   $x + aezfun$ -setspan-proj  $A$   $y$ )

**proof**

**fix**  $a$  **show**  $aezfun$  ( $aezfun$ -setspan-proj  $A$  ( $x+y$ ))  $a$   
 $= aezfun$  ( $aezfun$ -setspan-proj  $A$   $x + aezfun$ -setspan-proj  $A$   $y$ )  $a$   
**using**  $aezfun$ -setspan-projD1[*of*  $a A$   $x+y$ ]  $aezfun$ -setspan-projD2[*of*  $a A$   $x+y$ ]  
 $aezfun$ -setspan-projD1[*of*  $a A$   $x$ ]  $aezfun$ -setspan-projD1[*of*  $a A$   $y$ ]  
 $aezfun$ -setspan-projD2[*of*  $a A$   $x$ ]  $aezfun$ -setspan-projD2[*of*  $a A$   $y$ ]  
**by** (*cases*  $a \in A$ ) *auto*

**qed**

**thus** *?thesis* **using**  $aezfun$ -transfer **by** *auto*

**qed**

**lemma**  $aezfun$ -setspan-proj-sum-list :

$aezfun$ -setspan-proj  $A$  ( $\sum x \leftarrow xs. f x$ )  $= (\sum x \leftarrow xs. aezfun$ -setspan-proj  $A$  ( $f x$ ))

**proof** (*induct*  $xs$ )

**case** *Nil* **show** *?case* **using**  $aezfun$ -setspan-proj-zero **by** *simp*

**next**

**case** (*Cons*  $x xs$ ) **thus** *?case* **using**  $aezfun$ -setspan-proj-add[*of*  $A f x$ ] **by** *simp*

**qed**

**lemma**  $aezfun$ -setspan-proj-sum-list-prod :

$aezfun$ -setspan-proj  $A$  ( $\sum (x,y) \leftarrow xys. f x y$ )  
 $= (\sum (x,y) \leftarrow xys. aezfun$ -setspan-proj  $A$  ( $f x y$ ))  
**using**  $aezfun$ -setspan-proj-sum-list[*of*  $A \lambda xy. case$ -prod  $f xy$ ]  
*prod.case-distrib*[*of*  $aezfun$ -setspan-proj  $A f$ ]

**by** *simp*

## 1.6 Polynomials

**lemma** *nonzero-coeffs-nonzero-poly* :  $as \neq [] \implies set\ as \neq 0 \implies Poly\ as \neq 0$

**using** *coeffs-Poly*[*of*  $as$ ] *strip-while-0-nnil*[*of*  $as$ ] **by** *fastforce*

**lemma** *const-poly-nonzero-coeff* :

```

assumes degree  $p = 0$   $p \neq 0$ 
shows coeff  $p$   $0 \neq 0$ 
proof
  assume  $z$ : coeff  $p$   $0 = 0$ 
  have  $\bigwedge n$ . coeff  $p$   $n = 0$ 
  proof-
    fix  $n$  from  $z$  assms show coeff  $p$   $n = 0$ 
    using coeff-eq-0[of  $p$ ] by (cases  $n = 0$ ) auto
  qed
  with assms( $2$ ) show False using poly-eqI[of  $p$   $0$ ] by simp
qed

lemma pCons-induct2 [case-names  $00$  lpCons rpCons pCons2]:
  assumes  $00$ :  $P$   $0$   $0$ 
  and lpCons:  $\bigwedge a$   $p$ .  $a \neq 0 \vee p \neq 0 \implies P$  (pCons  $a$   $p$ )  $0$ 
  and rpCons:  $\bigwedge b$   $q$ .  $b \neq 0 \vee q \neq 0 \implies P$   $0$  (pCons  $b$   $q$ )
  and pCons2:  $\bigwedge a$   $p$   $b$   $q$ .  $a \neq 0 \vee p \neq 0 \implies b \neq 0 \vee q \neq 0 \implies P$   $p$   $q$ 
   $\implies P$  (pCons  $a$   $p$ ) (pCons  $b$   $q$ )

  shows  $P$   $p$   $q$ 
proof (induct  $p$  arbitrary:  $q$ )
  case  $0$ 
  show ?case
  proof (cases  $q$ )
    fix  $b$   $q'$  assume  $q =$  pCons  $b$   $q'$ 
    with  $00$  rpCons show ?thesis by (cases  $b \neq 0 \vee q' \neq 0$ ) auto
  qed
next
  case (pCons  $a$   $p$ )
  show ?case
  proof (cases  $q$ )
    fix  $b$   $q'$  assume  $q =$  pCons  $b$   $q'$ 
    with pCons lpCons pCons2 show ?thesis by (cases  $b \neq 0 \vee q' \neq 0$ ) auto
  qed
qed

```

## 1.7 Algebra of sets

### 1.7.1 General facts

```

lemma zeroset-eqI:  $0 \in A \implies (\bigwedge a$ .  $a \in A \implies a = 0) \implies A = 0$ 
  by auto

```

```

lemma sum-list-sets-single :  $(\sum X \leftarrow [A]. X) = A$ 
  using add-0-right[of  $A$ ] by simp

```

```

lemma sum-list-sets-double :  $(\sum X \leftarrow [A,B]. X) = A + B$ 
  using add-0-right[of  $B$ ] by simp

```



## 1.7.2 Additive independence of sets

**primrec** *add-independentS* :: 'a::monoid-add set list  $\Rightarrow$  bool  
**where** *add-independentS* [] = True  
| *add-independentS* (A#As) = ( *add-independentS* As  
 $\wedge (\forall x \in (\sum B \leftarrow As. B). \forall a \in A. a + x = 0 \longrightarrow a = 0)$  )

**lemma** *add-independentS-doubleI*:  
**assumes**  $\bigwedge b \in B \implies a \in A \implies a + b = 0 \implies a = 0$   
**shows** *add-independentS* [A,B]  
**using** *assms sum-list-sets-single*[of B] **by** *simp*

**lemma** *add-independentS-doubleD*:  
**assumes** *add-independentS* [A,B]  
**shows**  $\bigwedge b \in B \implies a \in A \implies a + b = 0 \implies a = 0$   
**using** *assms sum-list-sets-single*[of B] **by** *simp*

**lemma** *add-independentS-double-iff* :  
*add-independentS* [A,B] =  $(\forall b \in B. \forall a \in A. a + b = 0 \longrightarrow a = 0)$   
**using** *add-independentS-doubleI add-independentS-doubleD* **by** *fast*

**lemma** *add-independentS-Cons-conv-sum-right* :  
*add-independentS* (A#As)  
= (*add-independentS* [A, $\sum B \leftarrow As. B$ ]  $\wedge$  *add-independentS* As)  
**using** *add-independentS-double-iff*[of A] **by** *auto*

**lemma** *add-independentS-double-sum-conv-append* :  
 $\llbracket \forall X \in \text{set } As. 0 \in X; \text{add-independentS } As; \text{add-independentS } Bs;$   
*add-independentS* [ $\sum X \leftarrow As. X, \sum X \leftarrow Bs. X$ ] ]  
 $\implies \text{add-independentS } (As@Bs)$

**proof** (*induct* As)  
**case** (*Cons* A As)  
**have** *add-independentS* [ $\sum X \leftarrow As. X, \sum X \leftarrow Bs. X$ ]  
**proof** (*rule add-independentS-doubleI*)  
**fix** b a **assume** ba:  $b \in (\sum X \leftarrow Bs. X) \ a \in (\sum X \leftarrow As. X) \ a + b = 0$   
**from** *Cons*(2) ba(2) **have**  $a \in (\sum X \leftarrow A\#As. X)$   
**using** *set-plus-intro*[of 0 A a] **by** *simp*  
**with** ba(1,3) *Cons*(5) **show**  $a = 0$   
**using** *add-independentS-doubleD*[of  $\sum X \leftarrow A \# As. X \ \sum X \leftarrow Bs. X \ b \ a$ ]  
**by** *simp*

**qed**

**moreover** **have**  $\bigwedge x \ a. \llbracket x \in (\sum X \leftarrow As@Bs. X); a \in A; a + x = 0 \rrbracket$   
 $\implies a = 0$

**proof**–

**fix** x a **assume** x-a:  $x \in (\sum X \leftarrow As@Bs. X) \ a \in A \ a + x = 0$   
**from** x-a(1) **obtain** xa xb  
**where** xa-xb:  $x = xa + xb \ xa \in (\sum X \leftarrow As. X) \ xb \in (\sum X \leftarrow Bs. X)$   
**using** *set-plus-elim*[of x  $\sum X \leftarrow As. X$ ]  
**by** *auto*  
**from** x-a(2) xa-xb(2) **have**  $a + xa \in A + (\sum X \leftarrow As. X)$

**using** *set-plus-intro* **by** *auto*  
**with** *Cons(3,5)* *xa-xb* *x-a(2,3)* **show**  $a = 0$   
**using** *add-independentS-doubleD*[  
of  $\sum X \leftarrow A \# As. X \sum X \leftarrow Bs. X$  *xb* *a+xa*  
]  
*add.assoc*[*of a*] *add-independentS-doubleD*  
**by** *simp*  
**qed**  
**ultimately show** *add-independentS*  $((A \# As) @ Bs)$  **using** *Cons* **by** *simp*  
**qed** *simp*

**lemma** *add-independentS-ConsI* :  
**assumes** *add-independentS* *As*  
 $\bigwedge x a. \llbracket x \in (\sum X \leftarrow As. X); a \in A; a+x = 0 \rrbracket \implies a = 0$   
**shows** *add-independentS*  $(A \# As)$   
**using** *assms* **by** *simp*

**lemma** *add-independentS-append-reduce-right* :  
*add-independentS*  $(As @ Bs) \implies \text{add-independentS } Bs$   
**by** (*induct As*) *auto*

**lemma** *add-independentS-append-reduce-left* :  
*add-independentS*  $(As @ Bs) \implies 0 \in (\sum X \leftarrow Bs. X) \implies \text{add-independentS } As$   
**proof** (*induct As*)  
**case** (*Cons A As*) **show** *add-independentS*  $(A \# As)$   
**proof** (*rule add-independentS-ConsI*)  
**from** *Cons* **show** *add-independentS* *As* **by** *simp*  
**next**  
**fix** *x a* **assume** *x*:  $x \in (\sum X \leftarrow As. X)$  **and** *a*:  $a \in A$  **and** *sum*:  $a+x = 0$   
**from** *x Cons(3)* **have**  $x + 0 \in (\sum X \leftarrow As. X) + (\sum X \leftarrow Bs. X)$  **by** *fast*  
**with** *a sum Cons(2)* **show**  $a = 0$  **by** *simp*  
**qed**  
**qed** *simp*

**lemma** *add-independentS-append-conv-double-sum* :  
*add-independentS*  $(As @ Bs) \implies \text{add-independentS } [\sum X \leftarrow As. X, \sum X \leftarrow Bs. X]$   
**proof** (*induct As*)  
**case** (*Cons A As*)  
**show** *add-independentS*  $[\sum X \leftarrow (A \# As). X, \sum X \leftarrow Bs. X]$   
**proof** (*rule add-independentS-doubleI*)  
**fix** *b x* **assume** *bx*:  $b \in (\sum X \leftarrow Bs. X)$   $x \in (\sum X \leftarrow A \# As. X)$   $x + b = 0$   
**from** *bx(2)* **obtain** *a as*  
**where** *a-as*:  $a \in A$   $as \in \text{listset } As$   $x = a + (\sum z \leftarrow as. z)$   
**using** *set-sum-list-element-Cons*  
**by** *fast*  
**from** *Cons(2)* **have** *add-independentS*  $[A, \sum X \leftarrow As @ Bs. X]$   
**using** *add-independentS-Cons-conv-sum-right*[*of A As @ Bs*] **by** *simp*  
**moreover from** *a-as(2)* *bx(1)*  
**have**  $(\sum z \leftarrow as. z) + b \in (\sum X \leftarrow (As @ Bs). X)$

```

    using sum-list-listset set-plus-intro
    by auto
  ultimately have a = 0
    using a-as(1,3) bx(3) add-independentS-doubleD[of A - - a] add.assoc[of a]
    by auto
  with a-as(2,3) bx(1,3) Cons show x = 0
    using sum-list-listset
      add-independentS-doubleD[of  $\sum X \leftarrow As. X \sum X \leftarrow Bs. X b \sum z \leftarrow as. z$ ]
    by auto
  qed
qed simp

```

### 1.7.3 Inner direct sums

**definition** *inner-dirsum* :: 'a::monoid-add set list  $\Rightarrow$  'a set  
**where** *inner-dirsum* As = (if add-independentS As then  $\sum A \leftarrow As. A$  else 0)

Some syntactic sugar for *inner-dirsum*, borrowed from theory *HOL.List*.

**syntax**

```

-inner-dirsum :: ptrn  $\Rightarrow$  'a list  $\Rightarrow$  'b  $\Rightarrow$  'b
(( $\sum \oplus \leftarrow \cdot$  -) [0, 51, 10] 10)

```

**translations** — Beware of argument permutation!

```

 $\oplus M \leftarrow Ms. b == \text{CONST } \textit{inner-dirsum} (\text{CONST } \textit{map } (\%M. b) Ms)$ 

```

**abbreviation** *inner-dirsum-double* ::

```

'a::monoid-add set  $\Rightarrow$  'a set  $\Rightarrow$  'a set (infixr  $\oplus$  70)
where inner-dirsum-double A B  $\equiv$  inner-dirsum [A,B]

```

**lemma** *inner-dirsumI* :

```

M = ( $\sum N \leftarrow Ns. N$ )  $\Longrightarrow$  add-independentS Ns  $\Longrightarrow$  M = ( $\oplus N \leftarrow Ns. N$ )
unfolding inner-dirsum-def by simp

```

**lemma** *inner-dirsum-doubleI* :

```

M = A + B  $\Longrightarrow$  add-independentS [A,B]  $\Longrightarrow$  M = A  $\oplus$  B
using inner-dirsumI[of M [A,B]] sum-list-sets-double[of A] by simp

```

**lemma** *inner-dirsumD* :

```

add-independentS Ms  $\Longrightarrow$  ( $\oplus M \leftarrow Ms. M$ ) = ( $\sum M \leftarrow Ms. M$ )
unfolding inner-dirsum-def by simp

```

**lemma** *inner-dirsumD2* :  $\neg$  add-independentS Ms  $\Longrightarrow$  ( $\oplus M \leftarrow Ms. M$ ) = 0

```

unfolding inner-dirsum-def by simp

```

**lemma** *inner-dirsum-Nil* : ( $\oplus A \leftarrow []. A$ ) = 0

```

unfolding inner-dirsum-def by simp

```

**lemma** *inner-dirsum-singleD* : ( $\oplus N \leftarrow [M]. N$ ) = M

```

using inner-dirsumD[of [M]] sum-list-sets-single[of M] by simp

```

**lemma** *inner-dirsum-doubleD* :  $add-independentS [M,N] \implies M \oplus N = M + N$   
**using** *inner-dirsumD*[of  $[M,N]$ ] *sum-list-sets-double*[of  $M N$ ] **by** *simp*

**lemma** *inner-dirsum-Cons* :  
 $add-independentS (A \# As) \implies (\bigoplus X \leftarrow (A \# As). X) = A \oplus (\bigoplus X \leftarrow As. X)$   
**using** *inner-dirsumD*[of  $A \# As$ ] *add-independentS-Cons-conv-sum-right*[of  $A$ ]  
*inner-dirsum-doubleD*[of  $A$ ] *inner-dirsumD*[of  $As$ ]  
**by** *simp*

**lemma** *inner-dirsum-append* :  
 $add-independentS (As @ Bs) \implies 0 \in (\sum X \leftarrow Bs. X)$   
 $\implies (\bigoplus X \leftarrow (As @ Bs). X) = (\bigoplus X \leftarrow As. X) \oplus (\bigoplus X \leftarrow Bs. X)$   
**using** *inner-dirsumD*[of  $As @ Bs$ ] *add-independentS-append-reduce-left*[of  $As$ ]  
*inner-dirsumD*[of  $As$ ] *inner-dirsumD*[of  $Bs$ ]  
*add-independentS-append-reduce-right*[of  $As Bs$ ]  
*add-independentS-append-conv-double-sum*[of  $As$ ]  
*inner-dirsum-doubleD*[of  $\sum X \leftarrow As. X$ ]  
**by** *simp*

**lemma** *inner-dirsum-double-left0*:  $0 \oplus A = A$   
**using** *add-independentS-doubleD* *inner-dirsum-doubleI*[of  $0+A$ ] *add-0-left*[of  $A$ ]  
**by** *simp*

**lemma** *add-independentS-Cons-conv-dirsum-right* :  
 $add-independentS (A \# As) = (add-independentS [A, \bigoplus B \leftarrow As. B]$   
 $\wedge add-independentS As)$   
**using** *add-independentS-Cons-conv-sum-right*[of  $A As$ ] *inner-dirsumD* **by** *auto*

**lemma** *sum-list-listset-dirsum* :  
 $add-independentS As \implies as \in listset As \implies sum-list as \in (\bigoplus A \leftarrow As. A)$   
**using** *inner-dirsumD* *sum-list-listset* **by** *fast*

## 2 Groups

### 2.1 Locales and basic facts

#### 2.1.1 Locale *Group* and finite variant *FinGroup*

Define a *Group* to be a closed subset of *UNIV* for the *group-add* class.

**locale** *Group* =  
**fixes**  $G :: 'g::group-add set$   
**assumes** *nonempty* :  $G \neq \{\}$   
**and** *diff-closed*:  $\bigwedge g h. g \in G \implies h \in G \implies g - h \in G$

**lemma** *trivial-Group* : *Group*  $0$   
**by** *unfold-locales auto*

**locale** *FinGroup* = *Group*  $G$   
**for**  $G :: 'g::group-add set$

+ **assumes** *finite*: *finite G*

**lemma** (**in** *FinGroup*) *Group : Group G by unfold-locales*

**lemma** (**in** *Group*) *FinGroupI : finite G  $\implies$  FinGroup G by unfold-locales*

**context** *Group*  
**begin**

**abbreviation** *Subgroup* ::  
*'g set  $\implies$  bool where Subgroup H  $\equiv$  Group H  $\wedge$  H  $\subseteq$  G*

**lemma** *SubgroupD1 : Subgroup H  $\implies$  Group H by fast*

**lemma** *zero-closed : 0  $\in$  G*  
**proof**–  
**from** *nonempty obtain g where g  $\in$  G by fast*  
**hence** *g - g  $\in$  G using diff-closed by fast*  
**thus** *?thesis by simp*  
**qed**

**lemma** *obtain-nonzero: assumes G  $\neq$  0 obtains g where g  $\in$  G and g  $\neq$  0*  
**using** *assms zero-closed by auto*

**lemma** *zeroS-closed : 0  $\subseteq$  G*  
**using** *zero-closed by simp*

**lemma** *neg-closed : g  $\in$  G  $\implies$  -g  $\in$  G*  
**using** *zero-closed diff-closed[of 0 g] by simp*

**lemma** *add-closed : g  $\in$  G  $\implies$  h  $\in$  G  $\implies$  g + h  $\in$  G*  
**using** *neg-closed[of h] diff-closed[of g -h] by simp*

**lemma** *neg-add-closed : g  $\in$  G  $\implies$  h  $\in$  G  $\implies$  -g + h  $\in$  G*  
**using** *neg-closed add-closed by fast*

**lemma** *sum-list-closed : set (map f as)  $\subseteq$  G  $\implies$  ( $\sum a \leftarrow as. f a$ )  $\in$  G*  
**using** *zero-closed add-closed by (induct as) auto*

**lemma** *sum-list-closed-prod :*  
*set (map (case-prod f) xys)  $\subseteq$  G  $\implies$  ( $\sum (x,y) \leftarrow xys. f x y$ )  $\in$  G*  
**using** *sum-list-closed by fast*

**lemma** *set-plus-closed : A  $\subseteq$  G  $\implies$  B  $\subseteq$  G  $\implies$  A + B  $\subseteq$  G*  
**using** *set-plus-def[of A B] add-closed by force*

**lemma** *zip-add-closed :*  
*set as  $\subseteq$  G  $\implies$  set bs  $\subseteq$  G  $\implies$  set [a + b. (a,b)  $\leftarrow$  zip as bs]  $\subseteq$  G*  
**using** *add-closed by (induct as bs rule: list-induct2') auto*

```

lemma list-diff-closed :
  set gs ⊆ G ⇒ set hs ⊆ G ⇒ set [x-y. (x,y)←zip gs hs] ⊆ G
  using diff-closed by (induct gs hs rule: list-induct2') auto

lemma add-closed-converse-right : g+x ∈ G ⇒ g ∈ G ⇒ x ∈ G
  using neg-add-closed add.assoc[of -g g x] by fastforce

lemma add-closed-inverse-right : x ∉ G ⇒ g ∈ G ⇒ g+x ∉ G
  using add-closed-converse-right by fast

lemma add-closed-converse-left : g+x ∈ G ⇒ x ∈ G ⇒ g ∈ G
  using diff-closed add.assoc[of g] by fastforce

lemma add-closed-inverse-left : g ∉ G ⇒ x ∈ G ⇒ g+x ∉ G
  using add-closed-converse-left by fast

lemma right-translate-bij :
  assumes g ∈ G
  shows bij-betw (λx. x + g) G G
unfolding bij-betw-def proof
  show inj-on (λx. x + g) G by (rule inj-onI) simp
  show (λx. x + g) ' G = G
  proof
    show (λx. x + g) ' G ⊆ G using assms add-closed by fast
    show (λx. x + g) ' G ⊇ G
    proof
      fix x assume x ∈ G
      with assms have x - g ∈ G x = (λx. x + g) (x - g)
        using diff-closed diff-add-cancel[of x] by auto
      thus x ∈ (λx. x + g) ' G by fast
    qed
  qed
qed

lemma right-translate-sum : g ∈ G ⇒ (∑ h∈G. f h) = (∑ h∈G. f (h + g))
  using right-translate-bij[of g] bij-betw-def[of λh. h + g]
    sum.reindex[of λh. h + g G]
  by simp

end

```

### 2.1.2 Abelian variant locale *AbGroup*

```

locale AbGroup = Group G
  for G :: 'g::ab-group-add set
begin

lemmas nonempty = nonempty

```

**lemmas** *zero-closed* = *zero-closed*  
**lemmas** *diff-closed* = *diff-closed*  
**lemmas** *add-closed* = *add-closed*  
**lemmas** *neg-closed* = *neg-closed*

**lemma** *sum-closed* : *finite A*  $\implies f ` A \subseteq G \implies (\sum a \in A. f a) \in G$   
**proof** (*induct set: finite*)  
  **case** *empty* **show** ?*case* **using** *zero-closed* **by** *simp*  
**next**  
  **case** (*insert a A*) **thus** ?*case* **using** *add-closed* **by** *simp*  
**qed**

**lemma** *subset-plus-right* :  $A \subseteq G + A$   
  **using** *zero-closed set-zero-plus2* **by** *fast*

**lemma** *subset-plus-left* :  $A \subseteq A + G$   
  **using** *subset-plus-right add.commute* **by** *fast*

**end**

## 2.2 Right cosets

**context** *Group*  
**begin**

**definition** *rcoset-rel* :: '*g set*  $\Rightarrow$  ('*g* × '*g*) *set*  
  **where** *rcoset-rel H*  $\equiv \{(g, g'). g \in G \wedge g' \in G \wedge g - g' \in H\}$

**lemma** (**in** *Group*) *rcosets* :

**assumes** *subgrp*: *Subgroup H* **and** *g*:  $g \in G$   
  **shows** (*rcoset-rel H*) ' $\{g\} = H + \{g\}$

**proof** (*rule seteqI*)

**fix** *x* **assume**  $x \in (\text{rcoset-rel } H) '\{g\}$

**hence**  $x \in G$   $g - x \in H$  **using** *rcoset-rel-def* **by** *auto*

**with** *subgrp* **have**  $x - g \in H$

**using** *Group.neg-closed minus-diff-eq*[*of g x*] **by** *fastforce*

**from** *this* **obtain** *h* **where**  $h \in H$   $x - g = h$  **by** *fast*

**from** *h(2)* **have**  $x = h + g$  **by** (*simp add: algebra-simps*)

**with** *h(1)* **show**  $x \in H + \{g\}$  **using** *set-plus-def* **by** *fast*

**next**

**fix** *x* **assume**  $x \in H + \{g\}$

**from** *this* **obtain** *h* **where**  $h \in H$   $x = h + g$  **unfolding** *set-plus-def* **by** *fast*

**with** *subgrp g* **have**  $1: x \in G$  **using** *add-closed* **by** *fast*

**from** *h(2)* **have**  $x - g = h$  **by** (*simp add: algebra-simps*)

**with** *subgrp h(1)* **have**  $g - x \in H$  **using** *Group.neg-closed* **by** *fastforce*

**with** *g 1* **show**  $x \in (\text{rcoset-rel } H) '\{g\}$  **using** *rcoset-rel-def* **by** *fast*

**qed**

**lemma** *rcoset-equiv* :

```

assumes Subgroup  $H$ 
shows equiv  $G$  (rcoset-rel  $H$ )
proof (rule equivI)
show refl-on  $G$  (rcoset-rel  $H$ )
proof (rule refl-onI)
  show (rcoset-rel  $H$ )  $\subseteq G \times G$  using rcoset-rel-def by auto
next
  fix  $x$  assume  $x \in G$ 
  with assms show  $(x,x) \in$  (rcoset-rel  $H$ )
    using rcoset-rel-def Group.zero-closed by auto
qed
show sym (rcoset-rel  $H$ )
proof (rule symI)
  fix  $a$   $b$  assume  $(a,b) \in$  (rcoset-rel  $H$ )
  with assms show  $(b,a) \in$  (rcoset-rel  $H$ )
    using rcoset-rel-def Group.neg-closed[of  $H$   $a - b$ ] minus-diff-eq by simp
qed
show trans (rcoset-rel  $H$ )
proof (rule transI)
  fix  $x$   $y$   $z$  assume  $(x,y) \in$  (rcoset-rel  $H$ )  $(y,z) \in$  (rcoset-rel  $H$ )
  with assms show  $(x,z) \in$  (rcoset-rel  $H$ )
    using rcoset-rel-def Group.add-closed[of  $H$   $x - y$   $y - z$ ]
    by (simp add: algebra-simps)
qed
qed

```

```

lemma rcoset0 : Subgroup  $H \implies$  (rcoset-rel  $H$ )“{0} =  $H$ 
  using zero-closed rcosets unfolding set-plus-def by simp

```

```

definition is-rcoset-replist :: 'g set  $\Rightarrow$  'g list  $\Rightarrow$  bool
  where is-rcoset-replist  $H$   $gs$ 
     $\equiv$  set  $gs \subseteq G \wedge$  distinct (map ( $\lambda g.$  (rcoset-rel  $H$ )“{g})  $gs$ )
     $\wedge G = (\bigcup_{g \in \text{set } gs.}$  (rcoset-rel  $H$ )“{g})

```

```

lemma is-rcoset-replistD-set : is-rcoset-replist  $H$   $gs \implies$  set  $gs \subseteq G$ 
  unfolding is-rcoset-replist-def by fast

```

```

lemma is-rcoset-replistD-distinct :
  is-rcoset-replist  $H$   $gs \implies$  distinct (map ( $\lambda g.$  (rcoset-rel  $H$ )“{g})  $gs$ )
  unfolding is-rcoset-replist-def by fast

```

```

lemma is-rcoset-replistD-cosets :
  is-rcoset-replist  $H$   $gs \implies G = (\bigcup_{g \in \text{set } gs.}$  (rcoset-rel  $H$ )“{g})
  unfolding is-rcoset-replist-def by fast

```

```

lemma group-eq-subgrp-rcoset-un :
  Subgroup  $H \implies$  is-rcoset-replist  $H$   $gs \implies G = (\bigcup_{g \in \text{set } gs.}$   $H + \{g\}$ )
  using is-rcoset-replistD-set is-rcoset-replistD-cosets rcosets
  by (auto, smt UN-E subsetCE, blast)

```



**lemma** *is-rcoset-replist-imp-nrelated-nth* :  
**assumes** *Subgroup H is-rcoset-replist H gs*  
**shows**  $\bigwedge i j. i < \text{length } gs \implies j < \text{length } gs \implies i \neq j \implies gs!i - gs!j \notin H$   
**proof**  
**fix** *i j* **assume** *ij: i < length gs j < length gs i ≠ j gs!i - gs!j ∈ H*  
**from** *assms(2) ij(1,2,4)* **have**  $(gs!i, gs!j) \in \text{rcoset-rel } H$   
**using** *set-conv-nth is-rcoset-replistD-set rcoset-rel-def* **by** *fastforce*  
**with** *assms(1) ij(1,2)*  
**have**  $(\text{map } (\lambda g. (\text{rcoset-rel } H) \{g\}) gs)!i$   
 $= (\text{map } (\lambda g. (\text{rcoset-rel } H) \{g\}) gs)!j$   
**using** *rcoset-equiv equiv-class-eq*  
**by** *fastforce*  
**with** *assms(2) ij(1-3)* **show** *False*  
**using** *is-rcoset-replistD-distinct distinct-conv-nth*  
 $\text{of map } (\lambda g. (\text{rcoset-rel } H) \{g\}) gs$   
 $\quad ]$   
**by** *auto*  
**qed**

**lemma** *is-rcoset-replist-Cons* :  
 $\text{is-rcoset-replist } H (g \# gs) \longleftrightarrow$   
 $g \in G \wedge \text{set } gs \subseteq G$   
 $\wedge (\text{rcoset-rel } H) \{g\} \notin \text{set } (\text{map } (\lambda x. (\text{rcoset-rel } H) \{x\}) gs)$   
 $\wedge \text{distinct } (\text{map } (\lambda x. (\text{rcoset-rel } H) \{x\}) gs)$   
 $\wedge G = (\text{rcoset-rel } H) \{g\} \cup (\bigcup x \in \text{set } gs. (\text{rcoset-rel } H) \{x\})$   
**using** *is-rcoset-replist-def*  $\text{of } H \text{ } g \# gs$  **by** *auto*

**lemma** *rcoset-replist-Hrep* :  
**assumes** *Subgroup H is-rcoset-replist H gs*  
**shows**  $\exists g \in \text{set } gs. g \in H$   
**proof**–  
**from** *assms(2)* **obtain** *g* **where**  $g: g \in \text{set } gs \ 0 \in (\text{rcoset-rel } H) \{g\}$   
**using** *zero-closed is-rcoset-replistD-cosets* **by** *fast*  
**from** *assms(1) g(2)* **have**  $g \in (\text{rcoset-rel } H) \{0\}$   
**using** *rcoset-equiv equivE sym-def*  $\text{of } \text{rcoset-rel } H$  **by** *force*  
**with** *assms(1) g* **show**  $\exists g \in \text{set } gs. g \in H$  **using** *rcoset0* **by** *fast*  
**qed**

**lemma** *rcoset-replist-reorder* :  
 $\text{is-rcoset-replist } H (gs @ g \# gs') \implies \text{is-rcoset-replist } H (g \# gs @ gs')$   
**using** *is-rcoset-replist-def* **by** *auto*

**lemma** *rcoset-replist-replacehd* :  
**assumes** *Subgroup H g' ∈ (rcoset-rel H) {g} is-rcoset-replist H (g # gs)*  
**shows**  $\text{is-rcoset-replist } H (g' \# gs)$   
**proof**–  
**from** *assms(2)* **have**  $g' \in G$  **using** *rcoset-rel-def* **by** *simp*  
**moreover from** *assms(3)* **have**  $\text{set } gs \subseteq G$

**using** *is-rcoset-replistD-set* **by** *fastforce*  
**moreover from** *assms(1-3)*  
**have**  $(\text{rcoset-rel } H) \text{ ''}\{g\} \notin \text{set } (\text{map } (\lambda x. (\text{rcoset-rel } H) \text{ ''}\{x\}) \text{ } gs)$   
**using** *set-conv-nth[of gs] rcoset-equiv equiv-class-eq-iff[of G] is-rcoset-replistD-distinct*  
**by** *fastforce*  
**moreover from** *assms(3)* **have**  $\text{distinct } (\text{map } (\lambda g. (\text{rcoset-rel } H) \text{ ''}\{g\}) \text{ } gs)$   
**using** *is-rcoset-replistD-distinct* **by** *fastforce*  
**moreover from** *assms(1-3)*  
**have**  $G = (\text{rcoset-rel } H) \text{ ''}\{g\} \cup (\bigcup x \in \text{set } gs. (\text{rcoset-rel } H) \text{ ''}\{x\})$   
**using** *is-rcoset-replistD-cosets[of H g#gs] rcoset-equiv equiv-class-eq-iff[of G]*  
**by** *simp*  
**ultimately show** *?thesis* **using** *is-rcoset-replist-Cons* **by** *auto*  
**qed**  
**end**

**lemma** (in *FinGroup*) *ex-rcoset-replist* :  
**assumes** *Subgroup H*  
**shows**  $\exists gs. \text{is-rcoset-replist } H \text{ } gs$   
**proof-**  
**define** *r* **where**  $r = \text{rcoset-rel } H$   
**hence** *equiv-r*: *equiv G r* **using** *rcoset-equiv[OF assms]* **by** *fast*  
**have**  $\forall x \in G//r. \exists g. g \in x$   
**proof**  
**fix** *x* **assume**  $x \in G//r$   
**from** *this* **obtain** *g* **where**  $g \in G \text{ } x = r \text{ ''}\{g\}$   
**using** *quotient-def[of G r]* **by** *auto*  
**hence**  $g \in x$  **using** *equiv-r equivE[of G r] refl-onD[of G r]* **by** *auto*  
**thus**  $\exists g. g \in x$  **by** *fast*  
**qed**  
**from** *this* **obtain** *f* **where**  $f: \forall x \in G//r. f \text{ } x \in x$  **using** *bchoice* **by** *force*  
**from** *r-def* **have**  $r \subseteq G \times G$  **using** *rcoset-rel-def* **by** *auto*  
**with** *finite* **have** *finite*  $(f \text{ ''}(G//r))$  **using** *finite-quotient* **by** *auto*  
**from** *this* **obtain** *gs* **where**  $gs: \text{distinct } gs \text{ } \text{set } gs = f \text{ ''}(G//r)$   
**using** *finite-distinct-list* **by** *force*

**have** *1*:  $\text{set } gs \subseteq G$   
**proof**  
**fix** *g* **assume**  $g \in \text{set } gs$   
**with** *gs(2)* **obtain** *x* **where**  $x \in G//r \text{ } g = f \text{ } x$  **by** *fast*  
**with** *f* **show**  $g \in G$  **using** *equiv-r Union-quotient* **by** *fast*  
**qed**

**moreover have**  $\text{distinct } (\text{map } (\lambda g. r \text{ ''}\{g\}) \text{ } gs)$   
**proof-**  
**have**  $\bigwedge i \ j. \llbracket i < \text{length } (\text{map } (\lambda g. r \text{ ''}\{g\}) \text{ } gs);$   
 $j < \text{length } (\text{map } (\lambda g. r \text{ ''}\{g\}) \text{ } gs); i \neq j \rrbracket$   
 $\implies (\text{map } (\lambda g. r \text{ ''}\{g\}) \text{ } gs)!i \neq (\text{map } (\lambda g. r \text{ ''}\{g\}) \text{ } gs)!j$   
**proof**

**fix**  $i\ j$  **assume**  $ij$ :  
 $i < \text{length}(\text{map}(\lambda g. r^{\{\!|g\}}) gs)$   
 $j < \text{length}(\text{map}(\lambda g. r^{\{\!|g\}}) gs)$   
 $i \neq j$   
 $(\text{map}(\lambda g. r^{\{\!|g\}}) gs)!i = (\text{map}(\lambda g. r^{\{\!|g\}}) gs)!j$   
**from**  $ij(1,2)$  **have**  $gs!i \in \text{set } gs$   $gs!j \in \text{set } gs$  **using**  $\text{set-conv-nth}$  **by**  $\text{auto}$   
**from**  $\text{this } gs(2)$  **obtain**  $x\ y$   
**where**  $x: x \in G//r$   $gs!i = f\ x$  **and**  $y: y \in G//r$   $gs!j = f\ y$   
**by**  $\text{auto}$   
**have**  $x = y$   
**using**  $\text{equiv-r } x(1)\ y(1)$   
**proof** ( $\text{rule quotient-eqI[of } G\ r]$ )  
**from**  $ij(1,2,4)$  **have**  $r^{\{\!|gs!i\}} = r^{\{\!|gs!j\}}$  **by**  $\text{simp}$   
**with**  $ij(1,2)$   $1$  **show**  $(gs!i,gs!j) \in r$   
**using**  $\text{eq-equiv-class-iff[OF equiv-r]}$  **by**  $\text{force}$   
**from**  $x\ y\ f$  **show**  $gs!i \in x$   $gs!j \in y$  **by**  $\text{auto}$   
**qed**  
**with**  $x(2)\ y(2)\ ij(1-3)\ gs(1)$  **show**  $\text{False}$  **using**  $\text{distinct-conv-nth}$  **by**  $\text{fastforce}$   
**qed**  
**thus**  $?thesis$  **using**  $\text{distinct-conv-nth}$  **by**  $\text{fast}$   
**qed**

**moreover** **have**  $G = (\bigcup_{g \in \text{set } gs} r^{\{\!|g\}})$   
**proof** ( $\text{rule subset-antisym, rule subsetI}$ )  
**fix**  $g$  **assume**  $g \in G$   
**hence**  $rg: r^{\{\!|g\}} \in G//r$  **using**  $\text{quotientI}$  **by**  $\text{fast}$   
**with**  $gs(2)$  **obtain**  $g'$  **where**  $g': g' \in \text{set } gs$   $g' = f(r^{\{\!|g\}})$  **by**  $\text{fast}$   
**from**  $f\ g'(2)$   $rg$  **have**  $g \in r^{\{\!|g'\}}$  **using**  $\text{equiv-r equivE sym-def[of } r]$  **by**  $\text{force}$   
**with**  $g'(1)$  **show**  $g \in (\bigcup_{g \in \text{set } gs} r^{\{\!|g\}})$  **by**  $\text{fast}$   
**next**  
**from**  $r\text{-def}$  **show**  $G \supseteq (\bigcup_{g \in \text{set } gs} r^{\{\!|g\}})$  **using**  $\text{rcoset-rel-def}$  **by**  $\text{auto}$   
**qed**

**ultimately** **show**  $?thesis$  **using**  $r\text{-def unfolding is-rcoset-replist-def}$  **by**  $\text{fastforce}$   
**qed**

**lemma** (**in**  $\text{FinGroup}$ )  $\text{ex-rcoset-replist-hd0}$  :

**assumes**  $\text{Subgroup } H$

**shows**  $\exists gs. \text{is-rcoset-replist } H\ (0 \# gs)$

**proof**–

**from**  $\text{assms}$  **obtain**  $xs$  **where**  $xs: \text{is-rcoset-replist } H\ xs$

**using**  $\text{ex-rcoset-replist}$  **by**  $\text{fast}$

**with**  $\text{assms}$  **obtain**  $x$  **where**  $x: x \in \text{set } xs$   $x \in H$

**using**  $\text{rcoset-replist-Hrep}$  **by**  $\text{fast}$

**from**  $x(2)$  **have**  $(0, x) \in \text{rcoset-rel } H$  **using**  $\text{rcoset0[OF assms]}$  **by**  $\text{auto}$

**moreover** **have**  $\text{sym } (\text{rcoset-rel } H)$

**using**  $\text{rcoset-equiv[OF assms] equivE[of } G\ \text{rcoset-rel } H]$  **by**  $\text{simp}$

**ultimately** **have**  $0 \in (\text{rcoset-rel } H)^{\{\!|x\}}$  **using**  $\text{sym-def}$  **by**  $\text{fast}$

**with**  $x(1)\ xs\ \text{assms}$  **show**  $\exists gs. \text{is-rcoset-replist } H\ (0 \# gs)$

using *split-list rcoset-replist-reorder rcoset-replist-replacehd* by *fast*  
 qed

## 2.3 Group homomorphisms

### 2.3.1 Preliminaries

**definition** *ker* :: ('a ⇒ 'b::zero) ⇒ 'a set  
 where *ker* *f* = {*a*. *f* *a* = 0}

**lemma** *kerI* : *f* *a* = 0 ⇒ *a* ∈ *ker* *f*  
 unfolding *ker-def* by *fast*

**lemma** *kerD* : *a* ∈ *ker* *f* ⇒ *f* *a* = 0  
 unfolding *ker-def* by *fast*

**lemma** *ker-im-iff* : (*A* ≠ {} ∧ *A* ⊆ *ker* *f*) = (*f* ' *A* = 0)

**proof**

assume *A*: *A* ≠ {} ∧ *A* ⊆ *ker* *f*

show *f* ' *A* = 0

**proof** (*rule zeroset-eqI*)

from *A* obtain *a* where *a*: *a* ∈ *A* by *fast*

with *A* have *f* *a* = 0 using *kerD* by *fastforce*

from *this*[*THEN sym*] *a* show 0 ∈ *f* ' *A* by *fast*

next

fix *b* assume *b* ∈ *f* ' *A*

from *this* obtain *a* where *a* ∈ *A* *b* = *f* *a* by *fast*

with *A* show *b* = 0 using *kerD* by *fast*

qed

next

assume *fA*: *f* ' *A* = 0

have *A* ≠ {}

**proof**–

from *fA* obtain *a* where *a* ∈ *A* *f* *a* = 0 by *force*

thus ?*thesis* by *fast*

qed

moreover have *A* ⊆ *ker* *f*

**proof**

fix *a* assume *a* ∈ *A*

with *fA* have *f* *a* = 0 by *auto*

thus *a* ∈ *ker* *f* using *kerI* by *fast*

qed

ultimately show *A* ≠ {} ∧ *A* ⊆ *ker* *f* by *fast*

qed

### 2.3.2 Locales

The *supp* condition is not strictly necessary, but helps with equality and uniqueness arguments.

```

locale GroupHom = Group G
  for G :: 'g::group-add set
+ fixes T :: 'g ⇒ 'h::group-add
  assumes hom :  $\bigwedge g g'. g \in G \implies g' \in G \implies T (g + g') = T g + T g'$ 
  and supp:  $\text{supp } T \subseteq G$ 

```

```

abbreviation (in GroupHom) Ker  $\equiv \text{ker } T \cap G$ 
abbreviation (in GroupHom) ImG  $\equiv T ` G$ 

```

```

locale GroupEnd = GroupHom G T
  for G :: 'g::group-add set
  and T :: 'g ⇒ 'g
+ assumes endomorph:  $\text{Im}G \subseteq G$ 

```

```

locale GroupIso = GroupHom G T
  for G :: 'g::group-add set
  and T :: 'g ⇒ 'h::group-add
+ fixes H :: 'h set
  assumes bijective: bij-betw T G H

```

### 2.3.3 Basic facts

```

lemma (in Group) trivial-GroupHom : GroupHom G (0::('g⇒'h::group-add))

```

```

proof

```

```

  fix g g'
  define z z-map where z = (0::'h) and z-map = (0::'g⇒'h)
  thus z-map (g + g') = z-map g + z-map g' by simp
qed (rule supp-zerofun-subset-any)

```

```

lemma (in Group) GroupHom-idhom : GroupHom G (id↓G)
  using add-closed supp-restrict0 by unfold-locales simp

```

```

context GroupHom
begin

```

```

lemma im-zero :  $T 0 = 0$ 
  using zero-closed hom[of 0 0] add-diff-cancel[of T 0 T 0] by simp

```

```

lemma zero-in-Ker :  $0 \in \text{Ker}$ 
  using im-zero kerI zero-closed by fast

```

```

lemma comp-zero :  $T \circ 0 = 0$ 
  using im-zero by auto

```

```

lemma im-neg :  $T (- g) = - T g$ 
  using im-zero hom[of g - g] neg-closed[of g] minus-unique[of T g]
  neg-closed[of -g] supp suppI-contr[of g T] suppI-contr[of -g T]
  by fastforce

```

**lemma** *im-diff* :  $g \in G \implies g' \in G \implies T (g - g') = T g - T g'$   
**using** *hom neg-closed hom*[of  $g - g'$ ] *im-neg* **by** *simp*

**lemma** *eq-im-imp-diff-in-Ker* :  $\llbracket g \in G; h \in G; T g = T h \rrbracket \implies g - h \in \text{Ker}$   
**using** *im-diff kerI diff-closed*[of  $g h$ ] **by** *force*

**lemma** *im-sum-list-prod* :

*set* (*map* (*case-prod* *f*) *xy*s)  $\subseteq G$

$\implies T (\sum_{(x,y) \leftarrow \text{xy}s. f x y} = (\sum_{(x,y) \leftarrow \text{xy}s. T (f x y)})$

**proof** (*induct* *xy*s)

**case** *Nil*

**show** *?case* **using** *im-zero* **by** *simp*

**next**

**case** (*Cons* *xy* *xy*s)

**define** *Tf* **where** *Tf* =  $T \circ (\text{case-prod } f)$

**have**  $T (\sum_{(x,y) \leftarrow (xy \# \text{xy}s). f x y} = T ( (\text{case-prod } f) xy + (\sum_{(x,y) \leftarrow \text{xy}s. f x y} )$

**by** *simp*

**moreover from** *Cons*(2) **have**  $(\text{case-prod } f) xy \in G$  *set* (*map* (*case-prod* *f*) *xy*s)  $\subseteq G$

**by** *auto*

**ultimately have**  $T (\sum_{(x,y) \leftarrow (xy \# \text{xy}s). f x y} = Tf xy + (\sum_{(x,y) \leftarrow \text{xy}s. Tf (x,y)})$

**using** *Tf-def sum-list-closed*[of *case-prod* *f*] *hom* *Cons* **by** *auto*

**also have**  $\dots = (\sum_{(x,y) \leftarrow (xy \# \text{xy}s). Tf (x,y)})$  **by** *simp*

**finally show** *?case* **using** *Tf-def* **by** *simp*

**qed**

**lemma** *distrib-comp-sum-left* :

*range* *S*  $\subseteq G \implies \text{range } S' \subseteq G \implies T \circ (S + S') = (T \circ S) + (T \circ S')$

**using** *hom* **by** (*auto* *simp* *add: fun-eq-iff*)

**lemma** *Ker-Im-iff* :  $(\text{Ker} = G) = (\text{Im} G = 0)$

**using** *nonempty ker-im-iff*[of *G* *T*] **by** *fast*

**lemma** *Ker0-imp-inj-on* :

**assumes**  $\text{Ker} \subseteq 0$

**shows** *inj-on* *T* *G*

**proof** (*rule* *inj-onI*)

**fix** *x* *y* **assume** *xy*:  $x \in G y \in G T x = T y$

**hence**  $T (x - y) = 0$  **using** *im-diff* **by** *simp*

**with** *xy*(1,2) **have**  $x - y \in \text{Ker}$  **using** *diff-closed kerI* **by** *fast*

**with** *assms* **show**  $x = y$  **using** *zero-in-Ker* **by** *auto*

**qed**

**lemma** *inj-on-imp-Ker0* :

**assumes** *inj-on* *T* *G*

**shows**  $\text{Ker} = 0$

**using** *zero-in-Ker kerD zero-closed im-zero inj-onD*[*OF* *assms*]

by *fastforce*

**lemma** *nonzero-Ker-el-imp-n-inj* :  
 $g \in G \implies g \neq 0 \implies T g = 0 \implies \neg \text{inj-on } T G$   
**using** *inj-on-imp-Ker0 kerI[of T]* **by** *auto*

**lemma** *Group-Ker* : *Group Ker*  
**proof**  
**show**  $Ker \neq \{\}$  **using** *zero-in-Ker* **by** *fast*  
**next**  
**fix**  $g h$  **assume**  $g \in Ker h \in Ker$  **thus**  $g - h \in Ker$   
**using** *im-diff kerD[of g T] kerD[of h T] diff-closed kerI[of T]* **by** *auto*  
**qed**

**lemma** *Group-Im* : *Group ImG*  
**proof**  
**show**  $ImG \neq \{\}$  **using** *nonempty* **by** *fast*  
**next**  
**fix**  $g' h'$  **assume**  $g' \in ImG h' \in ImG$   
**from** *this* **obtain**  $g h$  **where**  $gh: g \in G g' = T g h \in G h' = T h$  **by** *fast*  
**thus**  $g' - h' \in ImG$  **using** *im-diff diff-closed* **by** *force*  
**qed**

**lemma** *GroupHom-restrict0-subgroup* :  
**assumes** *Subgroup H*  
**shows** *GroupHom H (T ↓ H)*  
**proof** (*intro-locales, rule SubgroupD1[OF assms], unfold-locales*)  
**show**  $\text{supp } (T \downarrow H) \subseteq H$  **using** *supp-restrict0* **by** *fast*  
**next**  
**fix**  $h h'$  **assume**  $hh': h \in H h' \in H$   
**with** *assms* **show**  $(T \downarrow H) (h + h') = (T \downarrow H) h + (T \downarrow H) h'$   
**using** *Group.add-closed hom[of h h']* **by** *auto*  
**qed**

**lemma** *im-subgroup* :  
**assumes** *Subgroup H*  
**shows** *Group.Subgroup ImG (T ‘ H)*  
**proof**  
**from** *assms* **have** *Group ((T ↓ H) ‘ H)*  
**using** *GroupHom-restrict0-subgroup GroupHom.Group-Im* **by** *fast*  
**moreover** **have**  $(T \downarrow H) ‘ H = T ‘ H$  **by** *auto*  
**ultimately** **show** *Group (T ‘ H)* **by** *simp*  
**from** *assms* **show**  $T ‘ H \subseteq ImG$  **by** *fast*  
**qed**

**lemma** *GroupHom-composite-left* :  
**assumes**  $ImG \subseteq H$  *GroupHom H S*  
**shows** *GroupHom G (S ◦ T)*  
**proof**

```

fix  $g\ g'$  assume  $g \in G\ g' \in G$ 
with hom assms(1) show  $(S \circ T)\ (g + g') = (S \circ T)\ g + (S \circ T)\ g'$ 
  using GroupHom.hom[OF assms(2)] by fastforce
next
from supp have  $\bigwedge g. g \notin G \implies (S \circ T)\ g = 0$ 
  using suppI-contr GroupHom.im-zero[OF assms(2)] by fastforce
thus  $\text{supp}\ (S \circ T) \subseteq G$  using suppD-contr by fast
qed

lemma idhom-left :  $T \upharpoonright G \subseteq H \implies (\text{id} \downarrow H) \circ T = T$ 
  using suppI-contr by fastforce

end

```

### 2.3.4 Basic facts about endomorphisms

```

context GroupEnd
begin

```

```

lemmas hom = hom

```

```

lemma range :  $\text{range}\ T \subseteq G$ 

```

```

proof (rule image-subsetI)

```

```

  fix  $x$  show  $T\ x \in G$ 

```

```

  proof (cases x \in G)

```

```

    case True with endomorph show ?thesis by fast

```

```

  next

```

```

    case False with supp show ?thesis using suppI-contr zero-closed by fastforce

```

```

  qed

```

```

qed

```

```

lemma proj-decomp :

```

```

  assumes  $\bigwedge g. g \in G \implies T\ (T\ g) = T\ g$ 

```

```

  shows  $G = \text{Ker}\ T \oplus \text{Im}\ T$ 

```

```

proof (rule inner-dirsum-doubleI, rule subset-antisym, rule subsetI)

```

```

  fix  $g$  assume  $g \in G$ 

```

```

  have  $g = (g - T\ g) + T\ g$  using diff-add-cancel[of g] by simp

```

```

  moreover have  $g - T\ g \in \text{Ker}\ T$ 

```

```

  proof

```

```

    from g endomorph assms have  $T\ (g - T\ g) = 0$  using im-diff by auto

```

```

    thus  $g - T\ g \in \text{ker}\ T$  using kerI by fast

```

```

    from g endomorph show  $g - T\ g \in G$  using diff-closed by fast

```

```

  qed

```

```

  moreover from  $g$  have  $T\ g \in \text{Im}\ T$  by fast

```

```

  ultimately show  $g \in \text{Ker}\ T + \text{Im}\ T$ 

```

```

    using set-plus-intro[of g - T g Ker T g] by simp

```

```

next

```

```

  from endomorph show  $G \supseteq \text{Ker}\ T + \text{Im}\ T$  using set-plus-closed by simp

```

```

  show add-independentS [Ker, ImG]

```



**proof** (*rule add-independentS-doubleI*)  
**fix**  $g\ h$  **assume**  $gh: h \in \text{Im}G\ g \in \text{Ker}\ g + h = 0$   
**from**  $gh(1)$  **obtain**  $g'$  **where**  $g' \in G\ h = T\ g'$  **by** *fast*  
**with**  $gh(2,3)$  *endomorph assms* **have**  $h = 0$   
**using** *im-zero hom[of g T g'] kerD* **by** *fastforce*  
**with**  $gh(3)$  **show**  $g = 0$  **by** *simp*  
**qed**  
**qed**  
**end**

### 2.3.5 Basic facts about isomorphisms

**context** *GroupIso*  
**begin**

**abbreviation**  $\text{inv}T \equiv (\text{the-inv-into } G\ T) \downarrow H$

**lemma**  $\text{Im}G : \text{Im}G = H$  **using** *bijjective bij-betw-imp-surj-on* **by** *fast*

**lemma**  $\text{Group}H : \text{Group } H$  **using**  $\text{Im}G$  *Group-Im* **by** *fast*

**lemma**  $\text{inv}T\text{-onto} : \text{inv}T \text{ ' } H = G$   
**using** *bijjective bij-betw-imp-inj-on[of T] ImG the-inv-into-onto[of T]* **by** *force*

**lemma**  $\text{inj-on-inv}T : \text{inj-on } \text{inv}T\ H$   
**using** *bijjective bij-betw-imp-inj-on[of T G] ImG inj-on-the-inv-into[of T]*  
**unfolding** *inj-on-def*  
**by** *force*

**lemma**  $\text{bijjective-inv}T : \text{bij-betw } \text{inv}T\ H\ G$   
**using**  $\text{inj-on-inv}T$   $\text{inv}T\text{-onto}$  **unfolding** *bij-betw-def* **by** *fast*

**lemma**  $\text{inv}T\text{-into} : h \in H \implies \text{inv}T\ h \in G$   
**using** *bijjective bij-betw-imp-inj-on ImG the-inv-into-into[of T]* **by** *force*

**lemma**  $T\text{-inv}T : h \in H \implies T (\text{inv}T\ h) = h$   
**using** *bijjective bij-betw-imp-inj-on ImG f-the-inv-into-f[of T]* **by** *force*

**lemma**  $\text{inv}T\text{-eq} : g \in G \implies T\ g = h \implies \text{inv}T\ h = g$   
**using** *bijjective bij-betw-imp-inj-on ImG the-inv-into-f-eq[of T]* **by** *force*

**lemma**  $\text{inv} : \text{GroupIso } H\ \text{inv}T\ G$

**proof** (*intro-locales, rule GroupH, unfold-locales*)

**show**  $\text{supp } \text{inv}T \subseteq H$  **using** *supp-restrict0* **by** *fast*

**show**  $\text{bij-betw } \text{inv}T\ H\ G$  **using** *bijjective-invT* **by** *fast*

**next**

**fix**  $h\ h'$  **assume**  $h \in H\ h' \in H$

**thus**  $\text{inv}T (h + h') = \text{inv}T\ h + \text{inv}T\ h'$

using *invT-into hom T-invT add-closed invT-eq* by *simp*  
 qed

end

### 2.3.6 Hom-sets

**definition** *GroupHomSet* :: '*g*::group-add set  $\Rightarrow$  '*h*::group-add set  $\Rightarrow$  ('*g*  $\Rightarrow$  '*h*) set  
 where *GroupHomSet* *G H*  $\equiv$  {*T*. *GroupHom* *G T*}  $\cap$  {*T*. *T* ' *G*  $\subseteq$  *H*}

**lemma** *GroupHomSetI* :  
*GroupHom* *G T*  $\Longrightarrow$  *T* ' *G*  $\subseteq$  *H*  $\Longrightarrow$  *T*  $\in$  *GroupHomSet* *G H*  
 unfolding *GroupHomSet-def* by *fast*

**lemma** *GroupHomSetD-GroupHom* :  
*T*  $\in$  *GroupHomSet* *G H*  $\Longrightarrow$  *GroupHom* *G T*  
 unfolding *GroupHomSet-def* by *fast*

**lemma** *GroupHomSetD-Im* : *T*  $\in$  *GroupHomSet* *G H*  $\Longrightarrow$  *T* ' *G*  $\subseteq$  *H*  
 unfolding *GroupHomSet-def* by *fast*

**lemma** (in *Group*) *Group-GroupHomSet* :  
 fixes *H* :: '*h*::ab-group-add set  
 assumes *AbGroup* *H*  
 shows *Group* (*GroupHomSet* *G H*)

**proof**

show *GroupHomSet* *G H*  $\neq$  {}  
 using *trivial-GroupHom AbGroup.zero-closed[OF assms]* *GroupHomSetI*  
 by *fastforce*

**next**

fix *S T* assume *ST*: *S*  $\in$  *GroupHomSet* *G H* *T*  $\in$  *GroupHomSet* *G H*

show *S* - *T*  $\in$  *GroupHomSet* *G H*

**proof** (rule *GroupHomSetI*, *unfold-locales*)

from *ST* show *supp* (*S* - *T*)  $\subseteq$  *G*

using *GroupHomSetD-GroupHom[of S]* *GroupHomSetD-GroupHom[of T]*  
*GroupHom.supp[of G S]* *GroupHom.supp[of G T]*  
*supp-diff-subset-union-supp[of S T]*

by *auto*

show (*S* - *T*) ' *G*  $\subseteq$  *H*

**proof** (rule *image-subsetI*)

fix *g* assume *g*  $\in$  *G*

with *ST* have *S g*  $\in$  *H* *T g*  $\in$  *H*

using *GroupHomSetD-Im[of S G]* *GroupHomSetD-Im[of T G]* by *auto*

thus (*S* - *T*) *g*  $\in$  *H* using *AbGroup.diff-closed[OF assms]* by *simp*

qed

**next**

fix *g g'* assume *g*  $\in$  *G* *g'*  $\in$  *G*

with *ST* show (*S* - *T*) (*g* + *g'*) = (*S* - *T*) *g* + (*S* - *T*) *g'*

using *GroupHomSetD-GroupHom[of S]* *GroupHom.hom[of G S]*

by  $\text{GroupHomSetD-GroupHom}[of T] \text{GroupHom.hom}[of G T]$   
 simp  
 qed  
 qed

## 2.4 Facts about collections of groups

**lemma** *listset-Group-plus-closed* :

$\llbracket \forall G \in \text{set } Gs. \text{Group } G; as \in \text{listset } Gs; bs \in \text{listset } Gs \rrbracket$   
 $\implies [a+b. (a,b) \leftarrow \text{zip } as \ bs] \in \text{listset } Gs$

**proof**–

**have**  $\llbracket \text{length } as = \text{length } bs; \text{length } bs = \text{length } Gs;$   
 $as \in \text{listset } Gs; bs \in \text{listset } Gs; \forall G \in \text{set } Gs. \text{Group } G \rrbracket$   
 $\implies [a+b. (a,b) \leftarrow \text{zip } as \ bs] \in \text{listset } Gs$

**proof** (*induct as bs Gs rule: list-induct3*)

**case** (*Cons a as b bs G Gs*)

**thus**  $[x+y. (x,y) \leftarrow \text{zip } (a\#as) (b\#bs)] \in \text{listset } (G\#Gs)$

**using** *listset-ConsD*[of a] *listset-ConsD*[of b] *Group.add-closed*  
*listset-ConsI*[of a+b G]

**by** *fastforce*

**qed** *simp*

**thus**  $\llbracket \forall G \in \text{set } Gs. \text{Group } G; as \in \text{listset } Gs; bs \in \text{listset } Gs \rrbracket$   
 $\implies [a+b. (a,b) \leftarrow \text{zip } as \ bs] \in \text{listset } Gs$

**using** *listset-length*[of as Gs] *listset-length*[of bs Gs, THEN *sym*] **by** *fastforce*

**qed**

**lemma** *AbGroup-set-plus* :

**assumes** *AbGroup H AbGroup G*

**shows** *AbGroup (H + G)*

**proof**

**from** *assms show H + G  $\neq$  {}* **using** *AbGroup.nonempty* **by** *blast*

**next**

**fix** *x y* **assume**  $x \in H + G \ y \in H + G$

**from** *this* **obtain** *xh xg yh yg*

**where** *xy: xh  $\in$  H xg  $\in$  G x = xh + xg yh  $\in$  H yg  $\in$  G y = yh + yg*

**unfolding** *set-plus-def* **by** *fast*

**hence**  $x - y = (xh - yh) + (xg - yg)$  **by** *simp*

**thus**  $x - y \in H + G$  **using** *assms xy(1,2,4,5)* *AbGroup.diff-closed* **by** *auto*

**qed**

**lemma** *AbGroup-sum-list* :

$(\forall G \in \text{set } Gs. \text{AbGroup } G) \implies \text{AbGroup } (\sum G \leftarrow Gs. G)$

**using** *trivial-Group* *AbGroup.intro* *AbGroup-set-plus*

**by** (*induct Gs*) *auto*

**lemma** *AbGroup-subset-sum-list* :

$\forall G \in \text{set } Gs. \text{AbGroup } G \implies H \in \text{set } Gs \implies H \subseteq (\sum G \leftarrow Gs. G)$

**proof** (*induct Gs arbitrary: H*)

**case** (*Cons G Gs*)

```

show  $H \subseteq (\sum X \leftarrow (G \# Gs). X)$ 
proof (cases  $H = G$ )
  case True with Cons(2) show ?thesis
    using AbGroup-sum-list AbGroup.subset-plus-left by auto
  next
  case False
    with Cons have  $H \subseteq (\sum G \leftarrow Gs. G)$  by simp
    with Cons(2) show ?thesis using AbGroup.subset-plus-right by auto
qed
qed simp

lemma independent-AbGroups-pairwise-int0 :
   $\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; G \in \text{set } Gs; G' \in \text{set } Gs; G \neq G' \rrbracket \implies G \cap G' = 0$ 
proof (induct Gs arbitrary: G G')
  case (Cons H Hs)
  from Cons(1-3) have  $\bigwedge A B. \llbracket A \in \text{set } Hs; B \in \text{set } Hs; A \neq B \rrbracket \implies A \cap B \subseteq 0$ 
    by simp
  moreover have  $\bigwedge A. A \in \text{set } Hs \implies A \neq H \implies A \cap H \subseteq 0$ 
  proof
    fix A g assume A:  $A \in \text{set } Hs$   $A \neq H$  and g:  $g \in A \cap H$ 
    from A(1) g Cons(2) have  $-g \in (\sum X \leftarrow Hs. X)$ 
      using AbGroup.neg-closed AbGroup-subset-sum-list by force
    moreover have  $g + (-g) = 0$  by simp
    ultimately show  $g \in 0$  using g Cons(3) by simp
  qed
  ultimately have  $\bigwedge A B. \llbracket A \in \text{set } (H \# Hs); B \in \text{set } (H \# Hs); A \neq B \rrbracket \implies A \cap B \subseteq 0$ 
    by auto
  with Cons(4-6) have  $G \cap G' \subseteq 0$  by fast
  moreover from Cons(2,4,5) have  $0 \subseteq G \cap G'$ 
    using AbGroup.zero-closed by auto
  ultimately show ?case by fast
qed simp

lemma independent-AbGroups-pairwise-int0-double :
  assumes AbGroup G AbGroup G' add-independentS [G,G']
  shows  $G \cap G' = 0$ 
proof (cases  $G = 0$ )
  case True with assms(2) show ?thesis using AbGroup.zero-closed by auto
  next
  case False show ?thesis
  proof (rule independent-AbGroups-pairwise-int0)
    from assms(1,2) show  $\forall G \in \text{set } [G, G']. \text{AbGroup } G$  by simp
    from assms(3) show add-independentS [G,G'] by fast
    show  $G \in \text{set } [G, G']$   $G' \in \text{set } [G, G']$  by auto
    show  $G \neq G'$ 
  proof

```

```

assume  $GG'$ :  $G = G'$ 
from False assms(1) obtain  $g$  where  $g: g \in G \ g \neq 0$ 
  using AbGroup.nonempty by auto
moreover from assms(2)  $GG' \ g(1)$  have  $-g \in G'$ 
  using AbGroup.neg-closed by fast
moreover have  $g + (-g) = 0$  by simp
ultimately show False using assms(3) by force
qed
qed
qed

```

## 2.5 Inner direct sums of Abelian groups

### 2.5.1 General facts

```

lemma AbGroup-inner-dirsum :
   $\forall G \in \text{set } Gs. \text{AbGroup } G \implies \text{AbGroup } (\bigoplus G \leftarrow Gs. G)$ 
using inner-dirsumD[of Gs] inner-dirsumD2[of Gs] AbGroup-sum-list AbGroup.intro
  trivial-Group
by (cases add-independentS Gs) auto

```

```

lemma inner-dirsum-double-leftfull-imp-right0:

```

```

  assumes Group  $A \ B \neq \{\}$   $A = A \oplus B$ 

```

```

  shows  $B = 0$ 

```

```

proof (cases add-independentS [A,B])

```

```

  case True

```

```

    with assms(3) have  $1: A = A + B$  using inner-dirsum-doubleD by fast

```

```

    have  $\bigwedge b. b \in B \implies b = 0$ 

```

```

    proof-

```

```

      fix  $b$  assume  $b: b \in B$ 

```

```

      from assms(1) obtain  $a$  where  $a: a \in A$  using Group.nonempty by fast

```

```

      with  $b \ 1$  have  $a + b \in A$  by fast

```

```

      from this obtain  $a'$  where  $a': a' \in A \ a + b = a'$  by fast

```

```

      hence  $(-a' + a) + b = 0$  by (simp add: add.assoc)

```

```

      with assms(1) True  $a \ a'(1) \ b$  show  $b = 0$ 

```

```

        using Group.neg-add-closed[of A] add-independentS-doubleD[of A B b -a'+a]

```

```

        by simp

```

```

    qed

```

```

    with assms(2) show ?thesis by auto

```

```

next

```

```

  case False

```

```

    hence  $1: A \oplus B = 0$  unfolding inner-dirsum-def by auto

```

```

    moreover with assms(3) have  $A = 0$  by fast

```

```

    ultimately show ?thesis using inner-dirsum-double-left0 by auto

```

```

qed

```

```

lemma AbGroup-subset-inner-dirsum :

```

```

   $[\forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; H \in \text{set } Gs]$ 

```

```

   $\implies H \subseteq (\bigoplus G \leftarrow Gs. G)$ 

```

```

using AbGroup-subset-sum-list inner-dirsumD by fast

```

**lemma** *AbGroup-nth-subset-inner-dirsum* :  

$$\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; n < \text{length } Gs \rrbracket$$

$$\implies Gs!n \subseteq \left( \bigoplus G \leftarrow Gs. G \right)$$
**using** *AbGroup-subset-inner-dirsum* **by** *force*

**lemma** *AbGroup-inner-dirsum-el-decomp-ex1-double* :  
**assumes** *AbGroup* *G* *AbGroup* *H* *add-independentS* [*G,H*] *x*  $\in$   $G \oplus H$   
**shows**  $\exists !gh. \text{fst } gh \in G \wedge \text{snd } gh \in H \wedge x = \text{fst } gh + \text{snd } gh$   
**proof** (*rule ex-ex1I*)  
**from** *assms*(3,4) **obtain** *g h* **where**  $x = g + h$   $g \in G$   $h \in H$   
**using** *inner-dirsum-doubleD* *set-plus-elim* **by** *blast*  
**from** *this* **have**  $1: \text{fst } (g,h) \in G$   $\text{snd } (g,h) \in H$   $x = \text{fst } (g,h) + \text{snd } (g,h)$   
**by** *auto*  
**thus**  $\exists gh. \text{fst } gh \in G \wedge \text{snd } gh \in H \wedge x = \text{fst } gh + \text{snd } gh$  **by** *fast*

**next**  
**fix** *gh gh'* **assume** *A*:  
 $\text{fst } gh \in G \wedge \text{snd } gh \in H \wedge x = \text{fst } gh + \text{snd } gh$   
 $\text{fst } gh' \in G \wedge \text{snd } gh' \in H \wedge x = \text{fst } gh' + \text{snd } gh'$   
**show**  $gh = gh'$   
**proof**  
**from** *A* *assms*(1,2) **have**  $\text{fst } gh - \text{fst } gh' \in G$   $\text{snd } gh - \text{snd } gh' \in H$   
**using** *AbGroup.diff-closed* **by** *auto*  
**moreover from** *A* **have**  $z: (\text{fst } gh - \text{fst } gh') + (\text{snd } gh - \text{snd } gh') = 0$   
**by** (*simp add: algebra-simps*)  
**ultimately show**  $\text{fst } gh = \text{fst } gh'$   
**using** *assms*(3)  
 $\text{add-independentS-doubleD}$ [of *G H*  $\text{snd } gh - \text{snd } gh'$   $\text{fst } gh - \text{fst } gh'$ ]  
**by** *simp*  
**with** *z* **show**  $\text{snd } gh = \text{snd } gh'$  **by** *simp*

**qed**  
**qed**

**lemma** *AbGroup-inner-dirsum-el-decomp-ex1* :  

$$\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs \rrbracket$$

$$\implies \forall x \in \left( \bigoplus G \leftarrow Gs. G \right). \exists !gs \in \text{listset } Gs. x = \text{sum-list } gs$$
**proof** (*induct Gs*)  
**case** *Nil*  
**have**  $\bigwedge x::'a. x \in \left( \bigoplus H \leftarrow []. H \right) \implies \exists !gs \in \text{listset } []. x = \text{sum-list } gs$   
**proof**  
**fix**  $x::'a$  **assume**  $x \in \left( \bigoplus G \leftarrow []. G \right)$   
**moreover define**  $f :: 'a \Rightarrow 'a \text{ list}$  **where**  $f x = []$  **for**  $x$   
**ultimately show**  $f x \in \text{listset } [] \wedge x = \text{sum-list } (f x)$   
**using** *inner-dirsum-Nil* **by** *auto*

**next**  
**fix**  $x::'a$  **and**  $gs$   
**assume**  $x: x \in \left( \bigoplus G \leftarrow []. G \right)$   
**and**  $gs: gs \in \text{listset } [] \wedge x = \text{sum-list } gs$   
**thus**  $gs = []$  **by** *simp*

**qed**  
**thus**  $\forall x::'a \in (\bigoplus H \leftarrow []). H). \exists !gs \in \text{listset } []. x = \text{sum-list } gs$  **by fast**  
**next**  
**case**  $(\text{Cons } G \ Gs)$   
**hence**  $\text{prevcase}: \forall x \in (\bigoplus H \leftarrow Gs. H). \exists !gs \in \text{listset } Gs. x = \text{sum-list } gs$  **by auto**  
**from**  $\text{Cons}(2)$  **have**  $\text{grps}: \text{AbGroup } G \ \text{AbGroup } (\bigoplus H \leftarrow Gs. H)$   
**using**  $\text{AbGroup-inner-dirsum}$  **by auto**  
**from**  $\text{Cons}(3)$  **have**  $\text{ind}: \text{add-independentS } [G, \bigoplus H \leftarrow Gs. H]$   
**using**  $\text{add-independentS-Cons-conv-dirsum-right}$  **by fast**  
**have**  $\bigwedge x. x \in (\bigoplus H \leftarrow (G \# Gs). H) \implies \exists !gs \in \text{listset } (G \# Gs). x = \text{sum-list } gs$   
**proof**  $(\text{rule ex-ex1I})$   
**fix**  $x$  **assume**  $x \in (\bigoplus H \leftarrow (G \# Gs). H)$   
**with**  $\text{Cons}(3)$  **have**  $x \in G \oplus (\bigoplus H \leftarrow Gs. H)$   
**using**  $\text{inner-dirsum-Cons}$  **by fast**  
**with**  $\text{grps ind}$  **obtain**  $gh$   
**where**  $gh: \text{fst } gh \in G \ \text{snd } gh \in (\bigoplus H \leftarrow Gs. H) \ x = \text{fst } gh + \text{snd } gh$   
**using**  $\text{AbGroup-inner-dirsum-el-decomp-ex1-double}$   
**by**  $\text{blast}$   
**from**  $gh(2)$   $\text{prevcase}$  **obtain**  $gs$  **where**  $gs: gs \in \text{listset } Gs \ \text{snd } gh = \text{sum-list } gs$   
**by fast**  
**with**  $gh(1)$   $gs(1)$  **have**  $\text{fst } gh \# gs \in \text{listset } (G \# Gs)$   
**using**  $\text{set-Cons-def}$  **by fastforce**  
**moreover from**  $gh(3)$   $gs(2)$  **have**  $x = \text{sum-list } (\text{fst } gh \# gs)$  **by simp**  
**ultimately show**  $\exists gs. gs \in \text{listset } (G \# Gs) \wedge x = \text{sum-list } gs$  **by fast**  
**next**  
**fix**  $x \ gs \ hs$   
**assume**  $x \in (\bigoplus H \leftarrow (G \# Gs). H)$   
**and**  $gs: gs \in \text{listset } (G \# Gs) \wedge x = \text{sum-list } gs$   
**and**  $hs: hs \in \text{listset } (G \# Gs) \wedge x = \text{sum-list } hs$   
**hence**  $gs \in \text{set-Cons } G \ (\text{listset } Gs) \ hs \in \text{set-Cons } G \ (\text{listset } Gs)$  **by auto**  
**from this** **obtain**  $a \ as \ b \ bs$   
**where**  $a-as: gs = a \# as \ a \in G \ as \in \text{listset } Gs$   
**and**  $b-bs: hs = b \# bs \ b \in G \ bs \in \text{listset } Gs$   
**unfolding**  $\text{set-Cons-def}$   
**by**  $\text{fast}$   
**from**  $a-as(3)$   $b-bs(3)$   $\text{Cons}(3)$   
**have**  $as: \text{sum-list } as \in (\bigoplus H \leftarrow Gs. H)$  **and**  $bs: \text{sum-list } bs \in (\bigoplus H \leftarrow Gs. H)$   
**using**  $\text{sum-list-listset-dirsum}$   
**by**  $\text{auto}$   
**with**  $a-as(2)$   $b-bs(2)$   $\text{grps}$   
**have**  $a - b \in G \ \text{sum-list } as - \text{sum-list } bs \in (\bigoplus H \leftarrow Gs. H)$   
**using**  $\text{AbGroup.diff-closed}$   
**by**  $\text{auto}$   
**moreover from**  $gs \ hs \ a-as(1)$   $b-bs(1)$   
**have**  $z: (a - b) + (\text{sum-list } as - \text{sum-list } bs) = 0$   
**by**  $(\text{simp add: algebra-simps})$   
**ultimately have**  $a - b = 0$  **using**  $\text{ind add-independentS-doubleD}$  **by blast**  
**with**  $z$  **have**  $1: a = b$  **and**  $z': \text{sum-list } as = \text{sum-list } bs$  **by auto**  
**from**  $z'$   $\text{prevcase}$  **as**  $a-as(3)$   $b-bs(3)$  **have**  $2: as = bs$  **by fast**

**from** 1 2 a-as(1) b-bs(1) **show**  $gs = hs$  **by fast**  
**qed**  
**thus**  $\forall x \in (\bigoplus H \leftarrow (G \# Gs). H). \exists !gs. gs \in \text{listset } (G \# Gs) \wedge x = \text{sum-list } gs$   
**by fast**  
**qed**

**lemma** *AbGroup-inner-dirsum-pairwise-int0* :  
 $\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; G \in \text{set } Gs; G' \in \text{set } Gs;$   
 $G \neq G' \rrbracket \implies G \cap G' = 0$   
**proof** (*induct Gs arbitrary: G G'*)  
**case** (*Cons H Hs*)  
**from** *Cons(1-3)* **have**  $\bigwedge A B. \llbracket A \in \text{set } Hs; B \in \text{set } Hs; A \neq B \rrbracket$   
 $\implies A \cap B \subseteq 0$   
**by simp**  
**moreover** **have**  $\bigwedge A. A \in \text{set } Hs \implies A \neq H \implies A \cap H \subseteq 0$   
**proof**  
**fix**  $A g$  **assume**  $A: A \in \text{set } Hs \ A \neq H$  **and**  $g: g \in A \cap H$   
**from** *A(1) g Cons(2)* **have**  $-g \in (\sum X \leftarrow Hs. X)$   
**using** *AbGroup.neg-closed AbGroup-subset-sum-list* **by force**  
**moreover** **have**  $g + (-g) = 0$  **by simp**  
**ultimately** **show**  $g \in 0$  **using**  $g$  *Cons(3)* **by simp**  
**qed**  
**ultimately** **have**  $\bigwedge A B. \llbracket A \in \text{set } (H \# Hs); B \in \text{set } (H \# Hs); A \neq B \rrbracket$   
 $\implies A \cap B \subseteq 0$   
**by auto**  
**with** *Cons(4-6)* **have**  $G \cap G' \subseteq 0$  **by fast**  
**moreover** **from** *Cons(2,4,5)* **have**  $0 \subseteq G \cap G'$   
**using** *AbGroup.zero-closed* **by auto**  
**ultimately** **show** *?case* **by fast**  
**qed simp**

**lemma** *AbGroup-inner-dirsum-pairwise-int0-double* :  
**assumes** *AbGroup G AbGroup G' add-independentS [G,G']*  
**shows**  $G \cap G' = 0$   
**proof** (*cases G = 0*)  
**case** *True* **with** *assms(2)* **show** *?thesis* **using** *AbGroup.zero-closed* **by auto**  
**next**  
**case** *False* **show** *?thesis*  
**proof** (*rule AbGroup-inner-dirsum-pairwise-int0*)  
**from** *assms(1,2)* **show**  $\forall G \in \text{set } [G, G']. \text{AbGroup } G$  **by simp**  
**from** *assms(3)* **show** *add-independentS [G,G']* **by fast**  
**show**  $G \in \text{set } [G, G'] \ G' \in \text{set } [G, G']$  **by auto**  
**show**  $G \neq G'$   
**proof**  
**assume**  $GG': G = G'$   
**from** *False assms(1)* **obtain**  $g$  **where**  $g: g \in G \ g \neq 0$   
**using** *AbGroup.nonempty* **by auto**  
**moreover** **from** *assms(2) GG' g(1)* **have**  $-g \in G'$   
**using** *AbGroup.neg-closed* **by fast**



moreover have  $g + (-g) = 0$  by *simp*  
 ultimately show *False* using *assms(3)* by *force*  
 qed  
 qed  
 qed

## 2.5.2 Element decomposition and projection

**definition** *inner-dirsum-el-decomp* ::  
 $'g::\text{ab-group-add set list} \Rightarrow ('g \Rightarrow 'g \text{ list}) (\bigoplus \leftarrow)$   
**where**  $\bigoplus Gs \leftarrow = (\lambda x. \text{if } x \in (\bigoplus G \leftarrow Gs. G)$   
*then THE } gs. gs \in \text{listset } Gs \wedge x = \text{sum-list } gs \text{ else []})*

**abbreviation** *inner-dirsum-el-decomp-double* ::  
 $'g::\text{ab-group-add set} \Rightarrow 'g \text{ set} \Rightarrow ('g \Rightarrow 'g \text{ list}) (\neg \bigoplus \leftarrow)$  **where**  $G \oplus H \leftarrow \equiv$   
 $\bigoplus [G, H] \leftarrow$

**abbreviation** *inner-dirsum-el-decomp-nth* ::  
 $'g::\text{ab-group-add set list} \Rightarrow \text{nat} \Rightarrow ('g \Rightarrow 'g) (\bigoplus \downarrow)$   
**where**  $\bigoplus Gs \downarrow n \equiv \text{restrict0 } (\lambda x. (\bigoplus Gs \leftarrow x)!n) (\bigoplus G \leftarrow Gs. G)$

**lemma** *AbGroup-inner-dirsum-el-decompI* :  
 $\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; x \in (\bigoplus G \leftarrow Gs. G) \rrbracket$   
 $\implies (\bigoplus Gs \leftarrow x) \in \text{listset } Gs \wedge x = \text{sum-list } (\bigoplus Gs \leftarrow x)$   
**using** *AbGroup-inner-dirsum-el-decomp-ex1 theI* [  
*of } } gs. gs \in \text{listset } Gs \wedge x = \text{sum-list } gs*  
 $\rrbracket$   
**unfolding** *inner-dirsum-el-decomp-def*  
**by** *fastforce*

**lemma** (in *AbGroup*) *abSubgroup-inner-dirsum-el-decomp-set* :  
 $\llbracket \forall H \in \text{set } Hs. \text{Subgroup } H; \text{add-independentS } Hs; x \in (\bigoplus H \leftarrow Hs. H) \rrbracket$   
 $\implies \text{set } (\bigoplus Hs \leftarrow x) \subseteq G$   
**using** *AbGroup.intro AbGroup-inner-dirsum-el-decompI* [*of } } Hs } x*]  
*set-listset-el-subset* [*of } } Hs \leftarrow x*] *Hs } G*]  
**by** *fast*

**lemma** *AbGroup-inner-dirsum-el-decomp-eq* :  
 $\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; x \in (\bigoplus G \leftarrow Gs. G);$   
 $gs \in \text{listset } Gs; x = \text{sum-list } gs \rrbracket \implies (\bigoplus Gs \leftarrow x) = gs$   
**using** *AbGroup-inner-dirsum-el-decomp-exI* [*of } } Gs*]  
*inner-dirsum-el-decomp-def* [*of } } Gs*]  
**by** *force*

**lemma** *AbGroup-inner-dirsum-el-decomp-plus* :  
**assumes**  $\forall G \in \text{set } Gs. \text{AbGroup } G \text{ add-independentS } Gs$   $x \in (\bigoplus G \leftarrow Gs. G)$   
 $y \in (\bigoplus G \leftarrow Gs. G)$   
**shows**  $(\bigoplus Gs \leftarrow (x+y)) = [a+b. (a,b) \leftarrow \text{zip } (\bigoplus Gs \leftarrow x) (\bigoplus Gs \leftarrow y)]$   
**proof**–

**define**  $xs\ ys$  **where**  $xs = (\bigoplus Gs \leftarrow x)$  **and**  $ys = (\bigoplus Gs \leftarrow y)$   
**with**  $assms$   
**have**  $xy$ :  $xs \in listset\ Gs\ x = sum-list\ xs\ ys \in listset\ Gs\ y = sum-list\ ys$   
**using**  $AbGroup-inner-dirsum-el-decompI$   
**by**  $auto$   
**from**  $assms(1)\ xy(1,3)$  **have**  $[a+b.\ (a,b) \leftarrow zip\ xs\ ys] \in listset\ Gs$   
**using**  $AbGroup.axioms\ listset-Group-plus-closed$  **by**  $fast$   
**moreover from**  $xy$  **have**  $x + y = sum-list\ [a+b.\ (a,b) \leftarrow zip\ xs\ ys]$   
**using**  $listset-length[of\ xs\ Gs]\ listset-length[of\ ys\ Gs,\ THEN\ sym]\ sum-list-plus$   
**by**  $simp$   
**ultimately show**  $(\bigoplus Gs \leftarrow (x+y)) = [a+b.\ (a,b) \leftarrow zip\ xs\ ys]$   
**using**  $assms\ AbGroup-inner-dirsum\ AbGroup.add-closed$   
 $AbGroup-inner-dirsum-el-decomp-eq$   
**by**  $fast$   
**qed**

**lemma**  $AbGroup-length-inner-dirsum-el-decomp$  :  
 $\llbracket \forall G \in set\ Gs.\ AbGroup\ G;\ add-independentS\ Gs;\ x \in (\bigoplus G \leftarrow Gs.\ G) \rrbracket$   
 $\implies length\ (\bigoplus Gs \leftarrow x) = length\ Gs$   
**using**  $AbGroup-inner-dirsum-el-decompI\ listset-length$  **by**  $fastforce$

**lemma**  $AbGroup-inner-dirsum-el-decomp-in-nth$  :  
**assumes**  $\forall G \in set\ Gs.\ AbGroup\ G\ add-independentS\ Gs\ n < length\ Gs$   
 $x \in Gs!n$   
**shows**  $(\bigoplus Gs \leftarrow x) = (replicate\ (length\ Gs)\ 0)[n := x]$

**proof-**

**from**  $assms$  **have**  $x: x \in (\bigoplus G \leftarrow Gs.\ G)$   
**using**  $AbGroup-nth-subset-inner-dirsum$  **by**  $fast$   
**define**  $xgs$  **where**  $xgs = (replicate\ (length\ Gs)\ (0::'a))[n := x]$   
**hence**  $length\ xgs = length\ Gs$  **by**  $simp$   
**moreover have**  $\forall k < length\ xgs.\ xgs!k \in Gs!k$

**proof-**

**have**  $\bigwedge k.\ k < length\ xgs \implies xgs!k \in Gs!k$

**proof-**

**fix**  $k$  **assume**  $k < length\ xgs$

**with**  $assms(1,4)\ xgs-def$  **show**  $xgs!k \in Gs!k$

**using**  $AbGroup.zero-closed[of\ Gs!k]$  **by**  $(cases\ k = n)\ auto$

**qed**

**thus**  $?thesis$  **by**  $fast$

**qed**

**ultimately have**  $xgs \in listset\ Gs$  **using**  $listsetI-nth$  **by**  $fast$   
**moreover from**  $xgs-def\ assms(3)$  **have**  $x = sum-list\ xgs$   
**using**  $sum-list-update[of\ n\ replicate\ (length\ Gs)\ 0\ x]\ nth-replicate\ sum-list-replicate0$   
**by**  $simp$

**ultimately show**  $(\bigoplus Gs \leftarrow x) = xgs$

**using**  $assms(1,2)\ x\ xgs-def\ AbGroup-inner-dirsum-el-decomp-eq$  **by**  $fast$

**qed**

**lemma**  $AbGroup-inner-dirsum-el-decomp-nth-in-nth$  :

$$\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; k < \text{length } Gs;$$

$$n < \text{length } Gs; x \in Gs!n \rrbracket \implies (\bigoplus Gs \downarrow k) x = (\text{if } k = n \text{ then } x \text{ else } 0)$$
**using** *AbGroup-nth-subset-inner-dirsum*  

$$\text{AbGroup-inner-dirsum-el-decomp-in-nth[of } Gs \ n \ x]$$
**by** *auto*

**lemma** *AbGroup-inner-dirsum-el-decomp-nth-id-on-nth* :  

$$\llbracket \forall G \in \text{set } Gs. \text{AbGroup } G; \text{add-independentS } Gs; n < \text{length } Gs; x \in Gs!n \rrbracket$$

$$\implies (\bigoplus Gs \downarrow n) x = x$$
**using** *AbGroup-inner-dirsum-el-decomp-nth-in-nth* **by** *fastforce*

**lemma** *AbGroup-inner-dirsum-el-decomp-nth-onto-nth* :  
**assumes**  $\forall G \in \text{set } Gs. \text{AbGroup } G \text{ add-independentS } Gs \ n < \text{length } Gs$   
**shows**  $(\bigoplus Gs \downarrow n) \text{ ' } (\bigoplus G \leftarrow Gs. G) = Gs!n$   
**proof**  
**from** *assms* **show**  $(\bigoplus Gs \downarrow n) \text{ ' } (\bigoplus G \leftarrow Gs. G) \supseteq Gs!n$   
**using** *AbGroup-nth-subset-inner-dirsum[of } Gs \ n]*  

$$\text{AbGroup-inner-dirsum-el-decomp-nth-id-on-nth[of } Gs \ n]$$
**by** *force*  
**from** *assms* **show**  $(\bigoplus Gs \downarrow n) \text{ ' } (\bigoplus G \leftarrow Gs. G) \subseteq Gs!n$   
**using** *AbGroup-inner-dirsum-el-decompI listset-length listsetD-nth* **by** *fastforce*  
**qed**

**lemma** *AbGroup-inner-dirsum-subset-proj-eq-0* :  
**assumes**  $Gs \neq [] \ \forall G \in \text{set } Gs. \text{AbGroup } G \text{ add-independentS } Gs$   

$$X \subseteq (\bigoplus G \leftarrow Gs. G) \ \forall i < \text{length } Gs. (\bigoplus Gs \downarrow i) \text{ ' } X = 0$$
**shows**  $X = 0$   
**proof-**  
**have**  $X \subseteq 0$   
**proof**  
**fix**  $x$  **assume**  $x: x \in X$   
**with** *assms(2-4)* **have**  $x = (\sum i=0..< \text{length } Gs. (\bigoplus Gs \downarrow i) x)$   
**using** *AbGroup-inner-dirsum-el-decompI sum-list-sum-nth[of } (\bigoplus Gs \leftarrow x)]*  

$$\text{AbGroup-length-inner-dirsum-el-decomp}$$
**by** *fastforce*  
**moreover from**  $x$  *assms(5)* **have**  $\forall i < \text{length } Gs. (\bigoplus Gs \downarrow i) x = 0$  **by** *auto*  
**ultimately show**  $x \in 0$  **by** *simp*  
**qed**  
**moreover from** *assms(1,5)* **have**  $X \neq \{\}$  **by** *auto*  
**ultimately show** *?thesis* **by** *auto*  
**qed**

**lemma** *GroupEnd-inner-dirsum-el-decomp-nth* :  
**assumes**  $\forall G \in \text{set } Gs. \text{AbGroup } G \text{ add-independentS } Gs \ n < \text{length } Gs$   
**shows**  $\text{GroupEnd } (\bigoplus G \leftarrow Gs. G) (\bigoplus Gs \downarrow n)$   
**proof** (*intro-locales*)  
**from** *assms(1)* **show**  $\text{grp: Group } (\bigoplus G \leftarrow Gs. G)$   
**using** *AbGroup-inner-dirsum AbGroup.axioms* **by** *fast*  
**show**  $\text{GroupHom-axioms } (\bigoplus G \leftarrow Gs. G) (\bigoplus Gs \downarrow n)$

```

proof
  show  $\text{supp } (\bigoplus Gs \downarrow n) \subseteq (\bigoplus G \leftarrow Gs. G)$  using supp-restrict0 by fast
next
  fix  $x\ y$  assume  $xy: x \in (\bigoplus G \leftarrow Gs. G)\ y \in (\bigoplus G \leftarrow Gs. G)$ 
with assms(1,2) have  $(\bigoplus Gs \leftarrow (x+y)) = [x+y. (x,y) \leftarrow \text{zip } (\bigoplus Gs \leftarrow x)\ (\bigoplus Gs \leftarrow y)]$ 
  using AbGroup-inner-dirsum-el-decomp-plus by fast
hence  $(\bigoplus Gs \leftarrow (x+y)) = \text{map } (\text{case-prod } (+))\ (\text{zip } (\bigoplus Gs \leftarrow x)\ (\bigoplus Gs \leftarrow y))$ 
  using concat-map-split-eq-map-split-zip by simp
moreover from assms xy
  have  $n < \text{length } (\bigoplus Gs \leftarrow x)\ n < \text{length } (\bigoplus Gs \leftarrow y)$ 
   $n < \text{length } (\text{zip } (\bigoplus Gs \leftarrow x)\ (\bigoplus Gs \leftarrow y))$ 
  using AbGroup-length-inner-dirsum-el-decomp[of Gs x]
   $\text{AbGroup-length-inner-dirsum-el-decomp[of Gs y]}$ 
  by auto
ultimately show  $(\bigoplus Gs \downarrow n)\ (x + y) = (\bigoplus Gs \downarrow n)\ x + (\bigoplus Gs \downarrow n)\ y$ 
  using  $xy$  assms(1) AbGroup-inner-dirsum
   $\text{AbGroup.add-closed[of } \bigoplus G \leftarrow Gs. G]$ 
  by auto
qed
show GroupEnd-axioms  $(\bigoplus G \leftarrow Gs. G)\ \bigoplus Gs \downarrow n$ 
  using assms AbGroup-inner-dirsum-el-decomp-nth-onto-nth AbGroup-nth-subset-inner-dirsum
  by unfold-locales fast
qed

```

## 2.6 Rings

### 2.6.1 Preliminaries

```

lemma (in ring-1) map-times-neg1-eq-map-uminus :  $[(-1)*r. r \leftarrow rs] = [-r. r \leftarrow rs]$ 
  using map-eq-conv by simp

```

### 2.6.2 Locale and basic facts

Define a *Ring1* to be a multiplicatively closed additive subgroup of *UNIV* for the *ring-1* class.

```

locale Ring1 = Group  $R$ 
  for  $R :: 'r::\text{ring-1}$  set
+ assumes one-closed :  $1 \in R$ 
  and mult-closed:  $\bigwedge r\ s. r \in R \implies s \in R \implies r * s \in R$ 
begin

```

```

lemma AbGroup : AbGroup  $R$ 
  using Ring1-axioms Ring1.axioms(1) AbGroup.intro by fast

```

```

lemmas zero-closed      = zero-closed
lemmas add-closed     = add-closed
lemmas neg-closed    = neg-closed
lemmas diff-closed   = diff-closed
lemmas zip-add-closed = zip-add-closed

```

```

lemmas sum-closed      = AbGroup.sum-closed[OF AbGroup]
lemmas sum-list-closed  = sum-list-closed
lemmas sum-list-closed-prod = sum-list-closed-prod
lemmas list-diff-closed  = list-diff-closed

```

```

abbreviation Subring1 :: 'r set  $\Rightarrow$  bool where Subring1 S  $\equiv$  Ring1 S  $\wedge$  S  $\subseteq$  R

```

```

lemma Subring1D1 : Subring1 S  $\Longrightarrow$  Ring1 S by fast

```

```

end

```

```

lemma (in ring-1) full-Ring1 : Ring1 UNIV
  by unfold-locales auto

```

## 2.7 The group ring

### 2.7.1 Definition and basic facts

Realize the group ring as the set of almost-every-zero functions from group to ring. One can recover the usual notion of group ring element by considering such a function to send group elements to their coefficients. Here the codomain of such functions is not restricted to some *Ring1* subset since we will not be interested in having the ability to change the ring of scalars for a group ring.

```

context Group
begin

```

```

abbreviation group-ring :: ('a::zero, 'g) aezfun set
  where group-ring  $\equiv$  aezfun-setspace G

```

```

lemmas group-ringD = aezfun-setspace-def[of G]

```

```

lemma RG-one-closed : (1::('r::zero-neq-one, 'g) aezfun)  $\in$  group-ring

```

```

proof-

```

```

  have supp (aezfun (1::('r, 'g) aezfun))  $\subseteq$  G
    using supp-aezfun1 zeroS-closed by fast
  thus ?thesis using group-ringD by fast

```

```

qed

```

```

lemma RG-zero-closed : (0::('r::zero, 'g) aezfun)  $\in$  group-ring

```

```

proof-

```

```

  have aezfun (0::('r, 'g) aezfun) = (0::'g $\Rightarrow$ 'r) using zero-aezfun.rep-eq by fast
  hence supp (aezfun (0::('r, 'g) aezfun)) = supp (0::'g $\Rightarrow$ 'r) by simp
  moreover have supp (0::'g $\Rightarrow$ 'r)  $\subseteq$  G using supp-zerofun-subset-any by fast
  ultimately show ?thesis using group-ringD by fast

```

```

qed

```

```

lemma RG-n0 : group-ring  $\neq$  (0::('r::zero-neq-one, 'g) aezfun set)

```

```

using RG-one-closed zero-neq-one by force

lemma RG-mult-closed :
  defines RG: RG ≡ group-ring :: ('r::ring-1, 'g) aezfun set
  shows x ∈ RG ⇒ y ∈ RG ⇒ x * y ∈ RG
  using RG supp-aezfun-times[of x y]
        set-plus-closed[of supp (aezfun x) supp (aezfun y)]
        group-ringD
  by blast

lemma Ring1-RG :
  defines RG: RG ≡ group-ring :: ('r::ring-1, 'g) aezfun set
  shows Ring1 RG
  proof
    from RG show RG ≠ {} 1 ∈ RG ∧ x y. x ∈ RG ⇒ y ∈ RG ⇒ x * y ∈ RG
      using RG-one-closed RG-mult-closed by auto
  next
    fix x y assume x ∈ RG y ∈ RG
    with RG show x - y ∈ RG using supp-aezfun-diff[of x y] group-ringD by blast
  qed

lemma RG-aezdeltafun-closed :
  defines RG: RG ≡ group-ring :: ('r::ring-1, 'g) aezfun set
  assumes g ∈ G
  shows r δδ g ∈ RG
  proof-
    have supp: supp (aezfun (r δδ g)) = supp (r δ g)
      using aezdeltafun arg-cong[of - - supp] by fast
    have supp (aezfun (r δδ g)) ⊆ G
    proof (cases r = 0)
      case True with supp show ?thesis using supp-delta0fun by fast
    next
      case False with assms supp show ?thesis using supp-deltafun[of r g] by fast
    qed
  with RG show ?thesis using group-ringD by fast
  qed

lemma RG-aezdelta0fun-closed : (r::'r::ring-1) δδ 0 ∈ group-ring
  using zero-closed RG-aezdeltafun-closed[of 0] by fast

lemma RG-sum-list-rddg-closed :
  defines RG: RG ≡ group-ring :: ('r::ring-1, 'g) aezfun set
  assumes set (map snd rgs) ⊆ G
  shows (∑ (r,g)←rgs. r δδ g) ∈ RG
  proof (rule Ring1.sum-list-closed-prod)
    from RG show Ring1 RG using Ring1-RG by fast
    from assms show set (map (case-prod aezdeltafun) rgs) ⊆ RG
    using RG-aezdeltafun-closed by fastforce
  qed

```

**lemmas**  $RG\text{-el-decomp-aezdeltafun} = \text{aezfun-sets\text{-}span-el-decomp-aezdeltafun}[\text{of } G]$

**lemma**  $Subgroup\text{-}imp\text{-}Subring$  :

**fixes**  $H :: 'g \text{ set}$

**and**  $FH :: ('r::ring\text{-}1, 'g) \text{ aezfun set}$

**and**  $FG :: ('r, 'g) \text{ aezfun set}$

**defines**  $FH \equiv Group.group\text{-}ring H$

**and**  $FG \equiv group\text{-}ring$

**shows**  $Subgroup H \implies Ring1.Subring1 FG FH$

**using**  $assms Group.Ring1\text{-}RG Group.RG\text{-}el\text{-}decomp\text{-}aezdeltafun RG\text{-}sum\text{-}list\text{-}rddg\text{-}closed$

**by**  $fast$

**end**

**lemma** (**in**  $FinGroup$ )  $group\text{-}ring\text{-}spanning\text{-}set$  :

$\exists gs. distinct gs \wedge set gs = G$

$\wedge (\forall f \in (group\text{-}ring :: ('b::semiring\text{-}1, 'g) \text{ aezfun set}). \exists bs.$

$length bs = length gs \wedge f = (\sum (b, g) \leftarrow zip bs gs. (b \delta \delta 0) * (1 \delta \delta g)) )$

**using**  $finite \text{ aezfun-common-supp-spanning-set-decomp}[\text{of } G] group\text{-}ringD$

**by**  $fast$

## 2.7.2 Projecting almost-everywhere-zero functions onto a group ring

**context**  $Group$

**begin**

**abbreviation**  $RG\text{-}proj \equiv \text{aezfun-sets\text{-}span-proj } G$

**lemmas**  $RG\text{-}proj\text{-}in\text{-}RG = \text{aezfun-sets\text{-}span-proj-in-sets\text{-}span}$

**lemmas**  $RG\text{-}proj\text{-}sum\text{-}list\text{-}prod = \text{aezfun-sets\text{-}span-proj-sum-list-prod}[\text{of } G]$

**lemma**  $RG\text{-}proj\text{-}mult\text{-}leftdelta'$  :

**fixes**  $r s :: 'r::\{comm\text{-}monoid\text{-}add, mult\text{-}zero\}$

**shows**  $g \in G \implies RG\text{-}proj (r \delta \delta g * (s \delta \delta g')) = r \delta \delta g * RG\text{-}proj (s \delta \delta g')$

**using**  $add\text{-}closed add\text{-}closed\text{-}inverse\text{-}right \text{ times-aezdeltafun-aezdeltafun}[\text{of } r g]$

$aezfun\text{-}sets\text{-}span\text{-}proj\text{-}aezdeltafun[\text{of } G r*s]$

$aezfun\text{-}sets\text{-}span\text{-}proj\text{-}aezdeltafun[\text{of } G s]$

**by**  $simp$

**lemma**  $RG\text{-}proj\text{-}mult\text{-}leftdelta$  :

**fixes**  $r :: 'r::semiring\text{-}1$

**assumes**  $g \in G$

**shows**  $RG\text{-}proj ((r \delta \delta g) * x) = r \delta \delta g * RG\text{-}proj x$

**proof**–

**from**  $aezfun\text{-}decomp\text{-}aezdeltafun$  **obtain**  $rgs$

**where**  $rgs: x = (\sum (s, h) \leftarrow rgs. s \delta \delta h)$

**using**  $RG\text{-}el\text{-}decomp\text{-}aezdeltafun$

by *fast*  
**hence**  $RG\text{-proj} ((r \delta\delta g) * x) = (\sum (s,h)\leftarrow rgs. RG\text{-proj} ((r \delta\delta g) * (s \delta\delta h)))$   
 using *sum-list-const-mult-prod*[of  $r \delta\delta g \lambda s h. s \delta\delta h$ ] *RG-proj-sum-list-prod*  
 by *simp*  
**also from** *assms rgs have*  $\dots = (r \delta\delta g) * RG\text{-proj } x$   
 using *RG-proj-mult-leftdelta'*[of  $g r$ ]  
   *sum-list-const-mult-prod*[of  $r \delta\delta g \lambda s h. RG\text{-proj} (s \delta\delta h)$ ]  
   *RG-proj-sum-list-prod*[of  $\lambda s h. s \delta\delta h rgs$ ]  
 by *simp*  
**finally show** *?thesis by fast*  
**qed**

**lemma** *RG-proj-mult-rightdelta'* :  
**fixes**  $r s :: 'r::\{comm\text{-monoid}\text{-add}, mult\text{-zero}\}$   
**assumes**  $g' \in G$   
**shows**  $RG\text{-proj} (r \delta\delta g * (s \delta\delta g')) = RG\text{-proj} (r \delta\delta g) * (s \delta\delta g')$   
**using** *assms times-aezdeltafun-aezdeltafun*[of  $r g$ ]  
   *aezfun-setspan-proj-aezdeltafun*[of  $G r*s$ ]  
   *add-closed add-closed-inverse-left aezfun-setspan-proj-aezdeltafun*[of  $G r$ ]  
**by** *simp*

**lemma** *RG-proj-mult-rightdelta* :  
**fixes**  $r :: 'r::semiring\text{-1}$   
**assumes**  $g \in G$   
**shows**  $RG\text{-proj} (x * (r \delta\delta g)) = (RG\text{-proj } x) * (r \delta\delta g)$   
**proof-**  
**from** *aezfun-decomp-aezdeltafun obtain* *rgs*  
**where**  $rgs: x = (\sum (s,h)\leftarrow rgs. s \delta\delta h)$   
**using** *RG-el-decomp-aezdeltafun*  
**by** *fast*  
**hence**  $RG\text{-proj} (x * (r \delta\delta g)) = (\sum (s,h)\leftarrow rgs. RG\text{-proj} ((s \delta\delta h) * (r \delta\delta g)))$   
**using** *sum-list-mult-const-prod*[of  $\lambda s h. s \delta\delta h rgs$ ] *RG-proj-sum-list-prod*  
**by** *simp*  
**with** *assms rgs show ?thesis*  
**using** *RG-proj-mult-rightdelta'*[of  $g - - r$ ]  
   *sum-list-prod-cong*[of  
      $rgs \lambda s h. RG\text{-proj} ((s \delta\delta h) * (r \delta\delta g))$   
      $\lambda s h. RG\text{-proj} (s \delta\delta h) * (r \delta\delta g)$   
   ]  
   *sum-list-mult-const-prod*[of  $\lambda s h. RG\text{-proj} (s \delta\delta h) rgs$ ]  
   *RG-proj-sum-list-prod*[of  $\lambda s h. s \delta\delta h rgs$ ]  
   *sum-list-mult-const-prod*[of  $\lambda s h. RG\text{-proj} (s \delta\delta h) rgs r \delta\delta g$ ]  
   *RG-proj-sum-list-prod*[of  $\lambda s h. s \delta\delta h rgs$ ]  
**by** *simp*  
**qed**

**lemma** *RG-proj-mult-right* :  
 $x \in (group\text{-ring} :: ('r::ring\text{-1}, 'g) aezfun\ set)$   
 $\implies RG\text{-proj} (y * x) = RG\text{-proj } y * x$



```

using RG-el-decomp-aezdeltafun sum-list-const-mult-prod[of y  $\lambda r g. r \delta \delta g$ ]
      RG-proj-sum-list-prod[of  $\lambda r g. y * (r \delta \delta g)$ ] RG-proj-mult-rightdelta[of - y]
      sum-list-prod-cong[
        of -  $\lambda r g. RG\text{-proj } (y * (r \delta \delta g)) \lambda r g. RG\text{-proj } y * (r \delta \delta g)$ 
      ]
      sum-list-const-mult-prod[of RG-proj y  $\lambda r g. r \delta \delta g$ ]
by   fastforce

```

**end**

### 3 Modules

#### 3.1 Locales and basic facts

##### 3.1.1 Locales

```

locale scalar-mult =
  fixes smult :: 'r::ring-1  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)

```

```

locale R-scalar-mult = scalar-mult smult + Ring1 R
  for R    :: 'r::ring-1 set
  and smult :: 'r  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)

```

```

lemma (in scalar-mult) R-scalar-mult : R-scalar-mult UNIV
using full-Ring1 R-scalar-mult.intro by fast

```

```

lemma (in R-scalar-mult) Ring1 : Ring1 R ..

```

```

locale RModule = R-scalars?: R-scalar-mult R smult + VecGroup?: Group M
  for R    :: 'r::ring-1 set
  and smult :: 'r  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)
  and M    :: 'm set
+ assumes smult-closed :  $\llbracket r \in R; m \in M \rrbracket \Longrightarrow r \cdot m \in M$ 
  and smult-distrib-left [simp] :  $\llbracket r \in R; m \in M; n \in M \rrbracket$ 
       $\Longrightarrow r \cdot (m + n) = r \cdot m + r \cdot n$ 
  and smult-distrib-right [simp] :  $\llbracket r \in R; s \in R; m \in M \rrbracket$ 
       $\Longrightarrow (r + s) \cdot m = r \cdot m + s \cdot m$ 
  and smult-assoc [simp] :  $\llbracket r \in R; s \in R; m \in M \rrbracket$ 
       $\Longrightarrow r \cdot s \cdot m = (r * s) \cdot m$ 
  and one-smult [simp] :  $m \in M \Longrightarrow 1 \cdot m = m$ 

```

```

lemmas RModuleI = RModule.intro[OF R-scalar-mult.intro]

```

```

locale Module = RModule UNIV smult M
  for smult :: 'r::ring-1  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)
  and M    :: 'm set

```

```

lemmas ModuleI = RModuleI[of UNIV, OF full-Ring1, THEN Module.intro]

```

### 3.1.2 Basic facts

**lemma** *trivial-RModule* :

**fixes** *smult* :: 'r::ring-1  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (**infixr**  $\cdot$  70)

**assumes** *Ring1* *R*  $\forall r \in R. smult\ r\ (0::'m::ab-group-add) = 0$

**shows** *RModule* *R* *smult* ( $0::'m\ set$ )

**proof** (*rule* *RModuleI*, *rule* *assms(1)*, *rule* *trivial-Group*, *unfold-locales*)

**define** *Z* **where** *Z* = ( $0::'m\ set$ )

**fix** *r s m n* **assume** *rsmn*:  $r \in R\ s \in R\ m \in Z\ n \in Z$

**from** *rsmn(1,3)* *Z-def* *assms(2)* **show**  $r \cdot m \in Z$  **by** *simp*

**from** *rsmn(1,3,4)* *Z-def* *assms(2)* **show**  $r \cdot (m+n) = r \cdot m + r \cdot n$  **by** *simp*

**from** *rsmn(1-3)* *Z-def* *assms* **show**  $(r + s) \cdot m = r \cdot m + s \cdot m$

**using** *Ring1.add-closed* **by** *auto*

**from** *rsmn(1-3)* *Z-def* *assms* **show**  $r \cdot (s \cdot m) = (r*s) \cdot m$

**using** *Ring1.mult-closed* **by** *auto*

**next**

**define** *Z* **where** *Z* = ( $0::'m\ set$ )

**fix** *m* **assume**  $m \in Z$  **with** *Z-def* *assms* **show**  $1 \cdot m = m$

**using** *Ring1.one-closed* **by** *auto*

**qed**

**context** *RModule*

**begin**

**abbreviation** *RSubmodule* :: 'm set  $\Rightarrow$  bool

**where** *RSubmodule* *N*  $\equiv$  *RModule* *R* *smult* *N*  $\wedge$  *N*  $\subseteq$  *M*

**lemma** *Group* : *Group* *M*

**using** *RModule-axioms* *RModule.axioms(2)* **by** *fast*

**lemma** *Subgroup-RSubmodule* : *RSubmodule* *N*  $\Longrightarrow$  *Subgroup* *N*

**using** *RModule.Group* **by** *fast*

**lemma** *AbGroup* : *AbGroup* *M*

**using** *AbGroup.intro* *Group* **by** *fast*

**lemmas** *zero-closed* = *zero-closed*

**lemmas** *diff-closed* = *diff-closed*

**lemmas** *set-plus-closed* = *set-plus-closed*

**lemmas** *sum-closed* = *AbGroup.sum-closed[OF AbGroup]*

**lemma** *map-smult-closed* :

$r \in R \Longrightarrow set\ ms \subseteq M \Longrightarrow set\ (map\ ((\cdot)\ r)\ ms) \subseteq M$

**using** *smult-closed* **by** (*induct* *ms*) *auto*

**lemma** *zero-smult* :  $m \in M \Longrightarrow 0 \cdot m = 0$

**using** *R-scalars.zero-closed* *smult-distrib-right[of 0]* *add-left-imp-eq* **by** *simp*

**lemma** *smult-zero* :  $r \in R \Longrightarrow r \cdot 0 = 0$

**using** *zero-closed* *smult-distrib-left[of r 0]* **by** *simp*

**lemma** *neg-smult* :  $r \in R \implies m \in M \implies (-r) \cdot m = - (r \cdot m)$   
**using** *R-scalars.neg-closed smult-distrib-right*[of  $r -r m$ ]  
*zero-smult minus-unique*[of  $r \cdot m$ ]  
**by** *simp*

**lemma** *neg-eq-neg1-smult* :  $m \in M \implies (-1) \cdot m = - m$   
**using** *one-closed neg-smult one-smult* **by** *fastforce*

**lemma** *smult-neg* :  $r \in R \implies m \in M \implies r \cdot (-m) = - (r \cdot m)$   
**using** *neg-eq-neg1-smult one-closed R-scalars.neg-closed smult-assoc*[of  $r - 1$ ]  
*smult-closed*  
**by** *force*

**lemma** *smult-distrib-left-diff* :  
 $\llbracket r \in R; m \in M; n \in M \rrbracket \implies r \cdot (m - n) = r \cdot m - r \cdot n$   
**using** *neg-closed smult-distrib-left*[of  $r m -n$ ] *smult-neg* **by** (*simp add: algebra-simps*)

**lemma** *smult-distrib-right-diff* :  
 $\llbracket r \in R; s \in R; m \in M \rrbracket \implies (r - s) \cdot m = r \cdot m - s \cdot m$   
**using** *R-scalars.neg-closed smult-distrib-right*[of  $r -s$ ] *neg-smult*  
**by** (*simp add: algebra-simps*)

**lemma** *smult-sum-distrib* :  
**assumes**  $r \in R$   
**shows**  $\text{finite } A \implies f \text{ ` } A \subseteq M \implies r \cdot (\sum a \in A. f a) = (\sum a \in A. r \cdot f a)$   
**proof** (*induct set: finite*)  
**case empty from assms show ?case using smult-zero by simp**  
**next**  
**case (insert a A) with assms show ?case using sum-closed**[of  $A$ ] **by simp**  
**qed**

**lemma** *sum-smult-distrib* :  
**assumes**  $m \in M$   
**shows**  $\text{finite } A \implies f \text{ ` } A \subseteq R \implies (\sum a \in A. f a) \cdot m = (\sum a \in A. (f a) \cdot m)$   
**proof** (*induct set: finite*)  
**case empty from assms show ?case using zero-smult by simp**  
**next**  
**case (insert a A) with assms show ?case using R-scalars.sum-closed**[of  $A$ ] **by simp**  
**qed**

**lemma** *smult-sum-list-distrib* :  
 $r \in R \implies \text{set } ms \subseteq M \implies r \cdot (\text{sum-list } ms) = (\sum m \leftarrow ms. r \cdot m)$   
**using** *smult-zero sum-list-closed*[of *id*] **by** (*induct ms*) *auto*

**lemma** *sum-list-prod-map-smult-distrib* :  
 $m \in M \implies \text{set } (\text{map } (\text{case-prod } f) \text{ } xys) \subseteq R$

$\implies (\sum (x,y)\leftarrow xys. f x y) \cdot m = (\sum (x,y)\leftarrow xys. f x y \cdot m)$   
**using** *zero-smult R-scalars.sum-list-closed-prod[of f]*  
**by** *(induct xys) auto*

**lemma** *RSubmoduleI* :

**assumes** *Subgroup N*  $\wedge r n. r \in R \implies n \in N \implies r \cdot n \in N$   
**shows** *RSubmodule N*

**proof**

**show** *RModule R smult N*

**proof** (*intro-locales, rule SubgroupD1[OF assms(1)], unfold-locales*)

**from** *assms(2)* **show**  $\wedge r m. r \in R \implies m \in N \implies r \cdot m \in N$  **by** *fast*

**from** *assms(1)*

**show**  $\wedge r m n. \llbracket r \in R; m \in N; n \in N \rrbracket \implies r \cdot (m + n) = r \cdot m + r \cdot n$   
**using** *smult-distrib-left*

**by** *blast*

**from** *assms(1)*

**show**  $\wedge r s m. \llbracket r \in R; s \in R; m \in N \rrbracket \implies (r + s) \cdot m = r \cdot m + s \cdot m$   
**using** *smult-distrib-right*

**by** *blast*

**from** *assms(1)*

**show**  $\wedge r s m. \llbracket r \in R; s \in R; m \in N \rrbracket \implies r \cdot s \cdot m = (r * s) \cdot m$   
**using** *smult-assoc*

**by** *blast*

**from** *assms(1)* **show**  $\wedge m. m \in N \implies 1 \cdot m = m$  **using** *one-smult* **by** *blast*

**qed**

**from** *assms(1)* **show**  $N \subseteq M$  **by** *fast*

**qed**

**end**

**lemma** (*in R-scalar-mult*) *listset-RModule-Rsmult-closed* :

$\llbracket \forall M \in \text{set } Ms. RModule R smult M; r \in R; ms \in \text{listset } Ms \rrbracket$   
 $\implies [r \cdot m. m \leftarrow ms] \in \text{listset } Ms$

**proof**–

**have**  $\llbracket \text{length } ms = \text{length } Ms; ms \in \text{listset } Ms;$

$\forall M \in \text{set } Ms. RModule R smult M; r \in R \rrbracket$

$\implies [r \cdot m. m \leftarrow ms] \in \text{listset } Ms$

**proof** (*induct ms Ms rule: list-induct2*)

**case** (*Cons m ms M Ms*) **thus** *?case*

**using** *listset-ConsD[of m] RModule.smult-closed listset-ConsI[of r \cdot m M]*

**by** *fastforce*

**qed** *simp*

**thus**  $\llbracket \forall M \in \text{set } Ms. RModule R smult M; r \in R; ms \in \text{listset } Ms \rrbracket$

$\implies [r \cdot m. m \leftarrow ms] \in \text{listset } Ms$

**using** *listset-length[of ms Ms]* **by** *fast*

**qed**

**context** *Module*

**begin**

**abbreviation** *Submodule* :: 'm set  $\Rightarrow$  bool  
**where** *Submodule*  $\equiv$  *RModule.RSubmodule UNIV smult M*

**lemmas** *AbGroup* = *AbGroup*  
**lemmas** *SubmoduleI* = *RSubmoduleI*

**end**

### 3.1.3 Module and submodule instances

**lemma** (**in** *R-scalar-mult*) *trivial-RModule* :  
 $(\bigwedge r. r \in R \implies r \cdot 0 = 0) \implies RModule\ R\ smult\ 0$   
**using** *trivial-Group add-closed mult-closed one-closed* **by** *unfold-locales auto*

**context** *RModule*  
**begin**

**lemma** *trivial-RSubmodule* : *RSubmodule 0*  
**using** *zeroS-closed smult-zero trivial-RModule* **by** *fast*

**lemma** *RSubmodule-set-plus* :  
**assumes** *RSubmodule L RSubmodule N*  
**shows** *RSubmodule (L + N)*  
**proof** (*rule RSubmoduleI*)  
**from** *assms* **have** *Group (L + N)*  
**using** *RModule.AbGroup AbGroup-set-plus[of L N] AbGroup.axioms* **by** *fast*  
**moreover from** *assms* **have**  $L + N \subseteq M$   
**using** *Group Group.set-plus-closed* **by** *auto*  
**ultimately show** *Subgroup (L + N)* **by** *fast*  
**next**  
**fix** *r x* **assume** *rx: r  $\in$  R x  $\in$  L + N*  
**from** *rx(2)* **obtain** *m n* **where** *mn: m  $\in$  L n  $\in$  N x = m + n*  
**using** *set-plus-def[of L N]* **by** *fast*  
**with** *assms rx(1)* **show**  $r \cdot x \in L + N$   
**using** *RModule.smult-closed[of R smult L] RModule.smult-closed[of R smult N]*  
*smult-distrib-left set-plus-def*  
**by** *fast*  
**qed**

**lemma** *RSubmodule-sum-list* :  
 $(\forall N \in set\ Ns. RSubmodule\ N) \implies RSubmodule\ (\sum N \leftarrow Ns.\ N)$   
**using** *trivial-RSubmodule RSubmodule-set-plus*  
**by** (*induct Ns*) *auto*

**lemma** *RSubmodule-inner-dirsum* :  
**assumes**  $(\forall N \in set\ Ns. RSubmodule\ N)$   
**shows** *RSubmodule ( $\bigoplus N \leftarrow Ns.\ N$ )*  
**proof** (*cases add-independentS Ns*)

```

case True with assms show ?thesis
  using RSubmodule-sum-list inner-dirsumD by fastforce
next
  case False thus ?thesis
  using inner-dirsumD2[of Ns] trivial-RSubmodule by simp
qed

```

```

lemma RModule-inner-dirsum :
   $(\forall N \in \text{set } Ns. \text{RSubmodule } N) \implies \text{RModule } R \text{ smult } (\bigoplus N \leftarrow Ns. N)$ 
  using RSubmodule-inner-dirsum by fast

```

```

lemma SModule-restrict-scalars :
  assumes Subring1 S
  shows RModule S smult M
proof (rule RModuleI, rule Subring1D1[OF assms], rule Group, unfold-locales)
  from assms show
     $\bigwedge r m. r \in S \implies m \in M \implies r \cdot m \in M$ 
     $\bigwedge r m n. r \in S \implies m \in M \implies n \in M \implies r \cdot (m + n) = r \cdot m + r \cdot n$ 
     $\bigwedge m. m \in M \implies 1 \cdot m = m$ 
    using smult-closed smult-distrib-left
    by auto
  from assms
    show  $\bigwedge r s m. r \in S \implies s \in S \implies m \in M \implies (r + s) \cdot m = r \cdot m + s \cdot m$ 
    using Ring1.add-closed smult-distrib-right
    by fast
  from assms
    show  $\bigwedge r s m. r \in S \implies s \in S \implies m \in M \implies r \cdot s \cdot m = (r * s) \cdot m$ 
    using Ring1.mult-closed smult-assoc
    by fast
qed

```

**end**

## 3.2 Linear algebra in modules

### 3.2.1 Linear combinations: *lincomb*

**context** *scalar-mult*

**begin**

```

definition lincomb :: 'r list  $\Rightarrow$  'm list  $\Rightarrow$  'm (infix  $\cdot\cdot$  70)
  where  $rs \cdot\cdot ms = (\sum (r,m) \leftarrow \text{zip } rs \ ms. r \cdot m)$ 

```

Note: *zip* will truncate if lengths of coefficient and vector lists differ.

```

lemma lincomb-Nil :  $rs = [] \vee ms = [] \implies rs \cdot\cdot ms = 0$ 
  unfolding lincomb-def by auto

```

```

lemma lincomb-singles :  $[a] \cdot\cdot [m] = a \cdot m$ 
  using lincomb-def by simp

```

**lemma** *lincomb-Cons* :  $(r \# rs) \cdot (m \# ms) = r \cdot m + rs \cdot ms$   
**unfolding** *lincomb-def* **by** *simp*

**lemma** *lincomb-append* :  
 $length\ rs = length\ ms \implies (rs@ss) \cdot (ms@ns) = rs \cdot ms + ss \cdot ns$   
**unfolding** *lincomb-def* **by** *simp*

**lemma** *lincomb-append-left* :  
 $(rs @ ss) \cdot ms = rs \cdot ms + ss \cdot drop\ (length\ rs)\ ms$   
**using** *zip-append-left*[of *rs ss ms*] **unfolding** *lincomb-def* **by** *simp*

**lemma** *lincomb-append-right* :  
 $rs \cdot (ms@ns) = rs \cdot ms + (drop\ (length\ ms)\ rs) \cdot ns$   
**using** *zip-append-right*[of *rs ms*] **unfolding** *lincomb-def* **by** *simp*

**lemma** *lincomb-conv-take-right* :  $rs \cdot ms = rs \cdot take\ (length\ rs)\ ms$   
**using** *lincomb-Nil lincomb-Cons* **by** (*induct rs ms rule: list-induct2'*) *auto*

**end**

**context** *RModule*  
**begin**

**lemmas** *lincomb-Nil* = *lincomb-Nil*  
**lemmas** *lincomb-Cons* = *lincomb-Cons*

**lemma** *lincomb-closed* :  $set\ rs \subseteq R \implies set\ ms \subseteq M \implies rs \cdot ms \in M$   
**proof** (*induct ms arbitrary: rs*)  
**case** *Nil* **show** ?*case* **using** *lincomb-Nil zero-closed* **by** *simp*  
**next**  
**case** (*Cons m ms*)  
**hence** *Cons1*:  $\bigwedge rs. set\ rs \subseteq R \implies rs \cdot ms \in M\ m \in M\ set\ rs \subseteq R$  **by** *auto*  
**show**  $rs \cdot (m\#ms) \in M$   
**proof** (*cases rs*)  
**case** *Nil* **thus** ?*thesis* **using** *lincomb-Nil zero-closed* **by** *simp*  
**next**  
**case** *Cons* **with** *Cons1* **show** ?*thesis*  
**using** *lincomb-Cons smult-closed add-closed* **by** *fastforce*  
**qed**  
**qed**

**lemma** *smult-lincomb* :  
 $\llbracket set\ rs \subseteq R; s \in R; set\ ms \subseteq M \rrbracket \implies s \cdot (rs \cdot ms) = [s*r. r\leftarrow rs] \cdot ms$   
**using** *lincomb-Nil smult-zero lincomb-Cons smult-closed lincomb-closed*  
**by** (*induct rs ms rule: list-induct2'*) *auto*

**lemma** *neg-lincomb* :  
 $set\ rs \subseteq R \implies set\ ms \subseteq M \implies -(rs \cdot ms) = [-r. r\leftarrow rs] \cdot ms$   
**using** *lincomb-closed neg-eq-neg1-smult one-closed R-scalars.neg-closed*[of *I*]

$\text{smult-lincomb}[of\ rs - 1]\ \text{map-times-neg1-eq-map-uminus}$   
**by** *auto*

**lemma** *lincomb-sum-left* :  
 $\llbracket\ set\ rs \subseteq R; set\ ss \subseteq R; set\ ms \subseteq M; length\ rs \leq length\ ss \rrbracket$   
 $\implies [r + s. (r,s)\leftarrow zip\ rs\ ss] \cdot ms = rs \cdot ms + (take\ (length\ rs)\ ss) \cdot ms$

**proof** (*induct rs ss arbitrary: ms rule: list-induct2'*)  
**case 1 show ?case using lincomb-Nil by simp**  
**next**  
**case (2 r rs)**  
**show**  $\bigwedge ms. length\ (r\#\!rs) \leq length\ []$   
 $\implies [a + b. (a,b)\leftarrow zip\ (r\#\!rs)\ []] \cdot ms$   
 $= (r\#\!rs) \cdot ms + (take\ (length\ (r\#\!rs))\ []) \cdot ms$   
**by** *simp*  
**next**  
**case 3 show ?case using lincomb-Nil by simp**  
**next**  
**case (4 r rs s ss)**  
**thus**  $[a+b. (a,b)\leftarrow zip\ (r\#\!rs)\ (s\#\!ss)] \cdot ms$   
 $= (r\#\!rs) \cdot ms + (take\ (length\ (r\#\!rs))\ (s\#\!ss)) \cdot ms$   
**using lincomb-Nil lincomb-Cons by (cases ms) auto**  
**qed**

**lemma** *lincomb-sum* :  
**assumes**  $set\ rs \subseteq R\ set\ ss \subseteq R\ set\ ms \subseteq M\ length\ rs \leq length\ ss$   
**shows**  $rs \cdot ms + ss \cdot ms$   
 $= ([a + b. (a,b)\leftarrow zip\ rs\ ss] @ (drop\ (length\ rs)\ ss)) \cdot ms$

**proof-**  
**define** *zs fss bss*  
**where**  $zs = [a + b. (a,b)\leftarrow zip\ rs\ ss]$   
**and**  $fss = take\ (length\ rs)\ ss$   
**and**  $bss = drop\ (length\ rs)\ ss$   
**from** *assms(4) zs-def fss-def* **have**  $length\ zs = length\ rs\ length\ fss = length\ rs$   
**using** *length-concat-map-split-zip*[of  $\lambda a\ b. a + b\ rs$ ] **by** *auto*  
**hence**  $(zs @ bss) \cdot ms = rs \cdot ms + (fss @ bss) \cdot ms$   
**using** *assms(1,2,3) zs-def fss-def lincomb-sum-left lincomb-append-left*  
**by** *simp*  
**thus** *?thesis* **using** *fss-def bss-def zs-def* **by** *simp*  
**qed**

**lemma** *lincomb-diff-left* :  
 $\llbracket\ set\ rs \subseteq R; set\ ss \subseteq R; set\ ms \subseteq M; length\ rs \leq length\ ss \rrbracket$   
 $\implies [r - s. (r,s)\leftarrow zip\ rs\ ss] \cdot ms = rs \cdot ms - (take\ (length\ rs)\ ss) \cdot ms$

**proof** (*induct rs ss arbitrary: ms rule: list-induct2'*)  
**case 1 show ?case using lincomb-Nil by simp**  
**next**  
**case (2 r rs)**  
**show**  $\bigwedge ms. length\ (r\#\!rs) \leq length\ []$   
 $\implies [a - b. (a,b)\leftarrow zip\ (r\#\!rs)\ []] \cdot ms$



$$= (r\#rs) \cdot ms - (\text{take } (\text{length } (r\#rs)) \ [] ) \cdot ms$$

**by** *simp*  
**next**  
**case** 3 **show** ?case **using** *lincomb-Nil* **by** *simp*  
**next**  
**case** (4 *r rs s ss*)  
**thus** [a-b. (a,b)←zip (r#rs) (s#ss)] · ms  

$$= (r\#rs) \cdot ms - (\text{take } (\text{length } (r\#rs)) \ (s\#ss)) \cdot ms$$
**using** *lincomb-Nil lincomb-Cons smult-distrib-right-diff* **by** (cases ms) *auto*  
**qed**

**lemma** *lincomb-replicate-left* :  
 $r \in R \implies \text{set } ms \subseteq M \implies (\text{replicate } k \ r) \cdot ms = r \cdot (\sum m \leftarrow (\text{take } k \ ms). \ m)$   
**proof** (*induct k arbitrary: ms*)  
**case** 0 **thus** ?case **using** *lincomb-Nil smult-zero* **by** *simp*  
**next**  
**case** (*Suc k*)  
**show** ?case  
**proof** (cases ms)  
**case** *Nil* **with** *Suc(2)* **show** ?thesis **using** *lincomb-Nil smult-zero* **by** *simp*  
**next**  
**case** (*Cons m ms*) **with** *Suc* **show** ?thesis  
**using** *lincomb-Cons set-take-subset[of k ms] sum-list-closed[of id]*  
**by** *auto*  
**qed**  
**qed**

**lemma** *lincomb-replicate0-left* :  $\text{set } ms \subseteq M \implies (\text{replicate } k \ 0) \cdot ms = 0$   
**proof**–  
**assume** *ms: set ms ⊆ M*  
**hence**  $(\text{replicate } k \ 0) \cdot ms = 0 \cdot (\sum m \leftarrow (\text{take } k \ ms). \ m)$   
**using** *R-scalars.zero-closed lincomb-replicate-left* **by** *fast*  
**moreover from** *ms* **have**  $(\sum m \leftarrow (\text{take } k \ ms). \ m) \in M$   
**using** *set-take-subset sum-list-closed* **by** *fastforce*  
**ultimately show**  $(\text{replicate } k \ 0) \cdot ms = 0$  **using** *zero-smult* **by** *simp*  
**qed**

**lemma** *lincomb-0coeffs* :  $\text{set } ms \subseteq M \implies \forall s \in \text{set } rs. \ s = 0 \implies rs \cdot ms = 0$   
**using** *lincomb-Nil lincomb-Cons zero-smult*  
**by** (*induct rs ms rule: list-induct2'*) *auto*

**lemma** *delta-scalars-lincomb-eq-nth* :  
 $\text{set } ms \subseteq M \implies n < \text{length } ms$   
 $\implies ((\text{replicate } (\text{length } ms) \ 0)[n := 1]) \cdot ms = ms!n$   
**proof** (*induct ms arbitrary: n*)  
**case** (*Cons m ms*) **thus** ?case  
**using** *lincomb-Cons lincomb-replicate0-left zero-smult* **by** (cases n) *auto*  
**qed** *simp*

**lemma** *lincomb-obtain-same-length-Rcoeffs* :  
 $set\ rs \subseteq R \implies set\ ms \subseteq M$   
 $\implies \exists ss. set\ ss \subseteq R \wedge length\ ss = length\ ms$   
 $\wedge take\ (length\ rs)\ ss = take\ (length\ ms)\ rs \wedge rs \cdot ms = ss \cdot ms$   
**proof** (*induct rs ms rule: list-induct2'*)  
**case 1 show ?case using lincomb-Nil by simp**  
**next**  
**case 2 thus ?case using lincomb-Nil by simp**  
**next**  
**case (3 m ms)**  
**define ss where**  $ss = replicate\ (Suc\ (length\ ms))\ (0::'r)$   
**from 3(2) ss-def**  
**have**  $set\ ss \subseteq R\ length\ ss = length\ (m\#\ms) \ [] \cdot (m\#\ms) = ss \cdot (m\#\ms)$   
**using** *R-scalars.zero-closed lincomb-Nil*  
 $lincomb-replicate0-left[of\ m\#\ms\ Suc\ (length\ ms)]$   
**by auto**  
**thus ?case by auto**  
**next**  
**case (4 r rs m ms)**  
**from this obtain ss**  
**where**  $ss: set\ ss \subseteq R\ length\ ss = length\ ms$   
 $take\ (length\ rs)\ ss = take\ (length\ ms)\ rs\ rs \cdot ms = ss \cdot ms$   
**by auto**  
**from 4(2) ss have**  
 $set\ (r\#\ss) \subseteq R\ length\ (r\#\ss) = length\ (m\#\ms)$   
 $take\ (length\ (r\#\rs))\ (r\#\ss) = take\ (length\ (m\#\ms))\ (r\#\rs)$   
 $(r\#\rs) \cdot (m\#\ms) = (r\#\ss) \cdot (m\#\ms)$   
**using lincomb-Cons**  
**by auto**  
**thus ?case by fast**  
**qed**

**lemma** *lincomb-concat* :  
 $list-all2\ (\lambda rs\ ms. length\ rs = length\ ms)\ rss\ mss$   
 $\implies (concat\ rss) \cdot (concat\ mss) = (\sum\ (rs,ms) \leftarrow zip\ rss\ mss. rs \cdot ms)$   
**using lincomb-Nil lincomb-append by (induct rss mss rule: list-induct2')** *auto*

**lemma** *lincomb-snoc0* :  $set\ ms \subseteq M \implies (as@[0]) \cdot ms = as \cdot ms$   
**using lincomb-append-left set-drop-subset lincomb-replicate0-left[of - 1] by fast-force**

**lemma** *lincomb-strip-while-0coeffs* :  
**assumes**  $set\ ms \subseteq M$   
**shows**  $(strip-while\ ((=)\ 0)\ as) \cdot ms = as \cdot ms$   
**proof** (*induct as rule: rev-induct*)  
**case (snoc a as)**  
**hence caseassm:**  $strip-while\ ((=)\ 0)\ as \cdot ms = as \cdot ms$  **by fast**  
**show ?case**  
**proof** (*cases a = 0*)

```

case True
moreover with assms have  $(as@[a]) \cdot ms = as \cdot ms$ 
  using lincomb-snoc0 by fast
ultimately show strip-while  $((=) 0) (as @ [a]) \cdot ms = (as@[a]) \cdot ms$ 
  using caseassm by simp
qed simp
qed simp

```

**end**

```

lemmas (in Module) lincomb-obtain-same-length-coeffs = lincomb-obtain-same-length-Rcoeffs
lemmas (in Module) lincomb-concat = lincomb-concat

```

### 3.2.2 Spanning: *RSpan* and *Span*

```

context R-scalar-mult
begin

```

```

primrec RSpan :: 'm list  $\Rightarrow$  'm set
  where RSpan [] = 0
    | RSpan (m#ms) = {  $r \cdot m \mid r. r \in R$  } + RSpan ms

```

```

lemma RSpan-single : RSpan [m] = {  $r \cdot m \mid r. r \in R$  }
  using add-0-right[of {  $r \cdot m \mid r. r \in R$  }] by simp

```

```

lemma RSpan-Cons : RSpan (m#ms) = RSpan [m] + RSpan ms
  using RSpan-single by simp

```

```

lemma in-RSpan-obtain-same-length-coeffs :
   $n \in RSpan\ ms \implies \exists rs. set\ rs \subseteq R \wedge length\ rs = length\ ms \wedge n = rs \cdot ms$ 

```

```

proof (induct ms arbitrary: n)

```

```

  case Nil

```

```

    hence  $n = 0$  by simp

```

```

    thus  $\exists rs. set\ rs \subseteq R \wedge length\ rs = length\ [] \wedge n = rs \cdot []$ 

```

```

      using lincomb-Nil by simp

```

```

  next

```

```

    case (Cons m ms)

```

```

    from this obtain r rs

```

```

      where  $set\ (r\#\rs) \subseteq R \wedge length\ (r\#\rs) = length\ (m\#\ms) \wedge n = (r\#\rs) \cdot (m\#\ms)$ 

```

```

      using set-plus-def[of - RSpan ms] lincomb-Cons

```

```

      by fastforce

```

```

    thus  $\exists rs. set\ rs \subseteq R \wedge length\ rs = length\ (m\#\ms) \wedge n = rs \cdot (m\#\ms)$  by fast

```

```

  qed

```

```

lemma in-RSpan-Cons-obtain-same-length-coeffs :

```

```

   $n \in RSpan\ (m\#\ms) \implies \exists r\ rs. set\ (r\#\rs) \subseteq R \wedge length\ rs = length\ ms$ 

```

```

   $\wedge n = r \cdot m + rs \cdot ms$ 

```

```

proof-

```

```

  assume  $n \in RSpan\ (m\#\ms)$ 

```

**from this obtain**  $x y$  **where**  $x \in RSpan\ [m]$   $y \in RSpan\ ms$   $n = x + y$   
**using** *RSpan-Cons set-plus-def*[of *RSpan [m]*] **by** *auto*  
**thus**  $\exists r\ rs.\ set\ (r\ \# \ rs) \subseteq R \wedge\ length\ rs = length\ ms \wedge\ n = r \cdot m + rs \cdot ms$   
**using** *RSpan-single in-RSpan-obtain-same-length-coeffs*[of *y ms*] **by** *auto*  
**qed**

**lemma** *RSpanD-lincomb* :

$RSpan\ ms = \{ rs \cdot ms \mid rs.\ set\ rs \subseteq R \wedge\ length\ rs = length\ ms \}$

**proof**

**show**  $RSpan\ ms \subseteq \{ rs \cdot ms \mid rs.\ set\ rs \subseteq R \wedge\ length\ rs = length\ ms \}$

**using** *in-RSpan-obtain-same-length-coeffs* **by** *fast*

**show**  $\{ rs \cdot ms \mid rs.\ set\ rs \subseteq R \wedge\ length\ rs = length\ ms \} \subseteq RSpan\ ms$

**proof**

**fix**  $x$  **assume**  $x \in \{ rs \cdot ms \mid rs.\ set\ rs \subseteq R \wedge\ length\ rs = length\ ms \}$

**from this obtain**  $rs$  **where**  $rs:\ set\ rs \subseteq R \wedge\ length\ rs = length\ ms \wedge\ x = rs \cdot ms$

**by** *fast*

**from**  $rs(2)$  **have**  $set\ rs \subseteq R \implies rs \cdot ms \in RSpan\ ms$

**using** *lincomb-Nil lincomb-Cons* **by** (*induct rs ms rule: list-induct2*) *auto*

**with**  $rs(1,3)$  **show**  $x \in RSpan\ ms$  **by** *fast*

**qed**

**qed**

**lemma** *RSpan-append* :  $RSpan\ (ms\ @\ ns) = RSpan\ ms + RSpan\ ns$

**proof** (*induct ms*)

**case** *Nil* **show** *?case* **using** *add-0-left*[of *RSpan ns*] **by** *simp*

**next**

**case** (*Cons m ms*) **thus** *?case*

**using** *RSpan-Cons*[of  $m\ ms@ns$ ] *add.assoc* **by** *fastforce*

**qed**

**end**

**context** *scalar-mult*

**begin**

**abbreviation**  $Span \equiv R\text{-scalar-mult}.RSpan\ UNIV\ smult$

**lemmas**  $Span\ \text{-append} = R\text{-scalar-mult}.RSpan\ \text{-append}$  [*OF R-scalar-mult, of smult*]

**lemmas**  $SpanD\ \text{-lincomb}$

$= R\text{-scalar-mult}.RSpanD\ \text{-lincomb}$  [*OF R-scalar-mult, of smult*]

**lemmas**  $in\ \text{-Span-obtain-same-length-coeffs}$

$= R\text{-scalar-mult}.in\ \text{-RSpan-obtain-same-length-coeffs}$  [

*OF R-scalar-mult, of - smult*

]

**end**

**context** *RModule*

**begin**

**lemma** *RSpan-contains-spanset-single* :  $m \in M \implies m \in \text{RSpan } [m]$   
**using** *one-closed RSpan-single* **by** *fastforce*

**lemma** *RSpan-single-nonzero* :  $m \in M \implies m \neq 0 \implies \text{RSpan } [m] \neq 0$   
**using** *RSpan-contains-spanset-single* **by** *auto*

**lemma** *Group-RSpan-single* :

**assumes**  $m \in M$

**shows**  $\text{Group } (\text{RSpan } [m])$

**proof**

**from** *assms* **show**  $\text{RSpan } [m] \neq \{\}$  **using** *RSpan-contains-spanset-single* **by** *fast*  
**next**

**fix**  $x y$  **assume**  $x \in \text{RSpan } [m]$   $y \in \text{RSpan } [m]$

**from** *this* **obtain**  $r s$  **where**  $rs$ :  $r \in R$   $x = r \cdot m$   $s \in R$   $y = s \cdot m$

**using** *RSpan-single* **by** *auto*

**with** *assms* **have**  $x - y = (r - s) \cdot m$  **using** *smult-distrib-right-diff* **by** *simp*

**with**  $rs(1,3)$  **show**  $x - y \in \text{RSpan } [m]$

**using** *R-scalars.diff-closed[of r s]* *RSpan-single[of m]* **by** *auto*

**qed**

**lemma** *Group-RSpan* :  $\text{set } ms \subseteq M \implies \text{Group } (\text{RSpan } ms)$

**proof** (*induct ms*)

**case** *Nil* **show** *?case* **using** *trivial-Group* **by** *simp*

**next**

**case** (*Cons m ms*)

**hence**  $\text{Group } (\text{RSpan } [m])$   $\text{Group } (\text{RSpan } ms)$

**using** *Group-RSpan-single[of m]* **by** *auto*

**thus** *?case*

**using** *RSpan-Cons[of m ms]* *AbGroup.intro* *AbGroup-set-plus* *AbGroup.axioms(1)*

**by** *fastforce*

**qed**

**lemma** *RSpanD-lincomb-arb-len-coeffs* :

$\text{set } ms \subseteq M \implies \text{RSpan } ms = \{ rs \cdot ms \mid rs. \text{set } rs \subseteq R \}$

**proof**

**show**  $\text{RSpan } ms \subseteq \{ rs \cdot ms \mid rs. \text{set } rs \subseteq R \}$  **using** *RSpanD-lincomb* **by** *fast*

**show**  $\text{set } ms \subseteq M \implies \text{RSpan } ms \supseteq \{ rs \cdot ms \mid rs. \text{set } rs \subseteq R \}$

**proof** (*induct ms*)

**case** *Nil* **show** *?case* **using** *lincomb-Nil* **by** *auto*

**next**

**case** (*Cons m ms*) **show** *?case*

**proof**

**fix**  $x$  **assume**  $x \in \{ rs \cdot (m\#ms) \mid rs. \text{set } rs \subseteq R \}$

**from** *this* **obtain**  $rs$  **where**  $rs$ :  $\text{set } rs \subseteq R$   $x = rs \cdot (m\#ms)$  **by** *fast*

**with** *Cons* **show**  $x \in \text{RSpan } (m\#ms)$

**using** *lincomb-Nil* *Group-RSpan[of m\#ms]* *Group.zero-closed* *lincomb-Cons*

**by** (*cases rs*) *auto*

qed  
 qed  
 qed

**lemma** *RSpanI-lincomb-arb-len-coeffs* :  
 $set\ rs \subseteq R \implies set\ ms \subseteq M \implies rs \cdot ms \in RSpan\ ms$   
**using** *RSpanD-lincomb-arb-len-coeffs* **by** *fast*

**lemma** *RSpan-contains-RSpans-Cons-left* :  
 $set\ ms \subseteq M \implies RSpan\ [m] \subseteq RSpan\ (m\#\ms)$   
**using** *RSpan-Cons Group-RSpan AbGroup.intro AbGroup.subset-plus-left* **by** *fast*

**lemma** *RSpan-contains-RSpans-Cons-right* :  
 $m \in M \implies RSpan\ ms \subseteq RSpan\ (m\#\ms)$   
**using** *RSpan-Cons Group-RSpan-single AbGroup.intro AbGroup.subset-plus-right*  
**by** *fast*

**lemma** *RSpan-contains-RSpans-append-left* :  
 $set\ ns \subseteq M \implies RSpan\ ms \subseteq RSpan\ (ms@ns)$   
**using** *RSpan-append Group-RSpan AbGroup.intro AbGroup.subset-plus-left*  
**by** *fast*

**lemma** *RSpan-contains-spanset* :  $set\ ms \subseteq M \implies set\ ms \subseteq RSpan\ ms$

**proof** (*induct ms*)  
**case** *Nil* **show** *?case* **by** *simp*  
**next**  
**case** (*Cons m ms*) **thus** *?case*  
**using** *RSpan-contains-spanset-single*  
 $RSpan\ contains\ RSpans\ Cons\ left\ [of\ ms\ m]$   
 $RSpan\ contains\ RSpans\ Cons\ right\ [of\ m\ ms]$   
**by** *auto*

qed

**lemma** *RSpan-contains-spanset-append-left* :  
 $set\ ms \subseteq M \implies set\ ns \subseteq M \implies set\ ms \subseteq RSpan\ (ms@ns)$   
**using** *RSpan-contains-spanset[of ms@ns]* **by** *simp*

**lemma** *RSpan-contains-spanset-append-right* :  
 $set\ ms \subseteq M \implies set\ ns \subseteq M \implies set\ ns \subseteq RSpan\ (ms@ns)$   
**using** *RSpan-contains-spanset[of ms@ns]* **by** *simp*

**lemma** *RSpan-zero-closed* :  $set\ ms \subseteq M \implies 0 \in RSpan\ ms$   
**using** *Group-RSpan Group.zero-closed* **by** *fast*

**lemma** *RSpan-single-closed* :  $m \in M \implies RSpan\ [m] \subseteq M$   
**using** *RSpan-single smult-closed* **by** *auto*

**lemma** *RSpan-closed* :  $set\ ms \subseteq M \implies RSpan\ ms \subseteq M$   
**proof** (*induct ms*)

```

  case Nil show ?case using zero-closed by simp
next
  case (Cons m ms) thus ?case
    using RSpan-single-closed RSpan-Cons Group Group.set-plus-closed[of M]
    by simp
qed

```

```

lemma RSpan-smult-closed :
  assumes  $r \in R$  set  $ms \subseteq M$   $n \in RSpan$   $ms$ 
  shows  $r \cdot n \in RSpan$   $ms$ 
proof-
  from assms(2,3) obtain  $rs$  where  $rs$ : set  $rs \subseteq R$   $n = rs \cdot ms$ 
  using RSpanD-lincomb-arb-len-coeffs by fast
  with assms(1,2) show ?thesis
  using smult-lincomb[OF  $rs(1)$  assms(1,2)] mult-closed
    RSpanI-lincomb-arb-len-coeffs[of  $[r*a. a \leftarrow rs]$   $ms$ ]
  by auto
qed

```

```

lemma RSpan-add-closed :
  assumes set  $ms \subseteq M$   $n \in RSpan$   $ms$   $n' \in RSpan$   $ms$ 
  shows  $n + n' \in RSpan$   $ms$ 
proof-
  from assms (2,3) obtain  $rs$   $ss$ 
  where  $rs$ : set  $rs \subseteq R$  length  $rs = length$   $ms$   $n = rs \cdot ms$ 
  and  $ss$ : set  $ss \subseteq R$  length  $ss = length$   $ms$   $n' = ss \cdot ms$ 
  using RSpanD-lincomb by auto
  with assms(1) have  $n + n' = [r + s. (r,s) \leftarrow zip$   $rs$   $ss]$   $\cdot ms$ 
  using lincomb-sum-left by simp
  moreover from  $rs(1)$   $ss(1)$  have set  $[r + s. (r,s) \leftarrow zip$   $rs$   $ss]$   $\subseteq R$ 
  using set-zip-leftD[of - -  $rs$   $ss]$  set-zip-rightD[of - -  $rs$   $ss]$ 
    R-scalars.add-closed R-scalars.zip-add-closed by blast
  ultimately show  $n + n' \in RSpan$   $ms$ 
  using assms(1) RSpanI-lincomb-arb-len-coeffs by simp
qed

```

```

lemma RSpan-lincomb-closed :
  [ set  $rs \subseteq R$ ; set  $ms \subseteq M$ ; set  $ns \subseteq RSpan$   $ms$  ]  $\implies$   $rs \cdot ns \in RSpan$   $ms$ 
  using lincomb-Nil RSpan-zero-closed lincomb-Cons RSpan-smult-closed RSpan-add-closed
  by (induct  $rs$   $ns$  rule: list-induct2') auto

```

```

lemma RSpanI : set  $ms \subseteq M \implies M \subseteq RSpan$   $ms \implies M = RSpan$   $ms$ 
  using RSpan-closed by fast

```

```

lemma RSpan-contains-RSpan-take :
  set  $ms \subseteq M \implies RSpan$  (take  $k$   $ms$ )  $\subseteq RSpan$   $ms$ 
  using append-take-drop-id set-drop-subset
    RSpan-contains-RSpans-append-left[of drop  $k$   $ms$ ]
  by fastforce

```

```

lemma RSubmodule-RSpan-single :
  assumes  $m \in M$ 
  shows RSubmodule (RSpan [m])
proof (rule RSubmoduleI)
  from assms show Subgroup (RSpan [m])
    using Group-RSpan-single RSpan-closed[of [m]] by simp
next
  fix  $r\ n$  assume  $rn: r \in R\ n \in RSpan\ [m]$ 
  from  $rn(2)$  obtain  $s$  where  $s \in R\ n = s \cdot m$  using RSpan-single by fast
  with assms  $rn(1)$  have  $r * s \in R\ r \cdot n = (r * s) \cdot m$ 
    using mult-closed by auto
  thus  $r \cdot n \in RSpan\ [m]$  using RSpan-single by fast
qed

lemma RSubmodule-RSpan :  $set\ ms \subseteq M \implies RSubmodule\ (RSpan\ ms)$ 
proof (induct ms)
  case Nil show ?case using trivial-RSubmodule by simp
next
  case (Cons m ms)
  hence RSubmodule (RSpan [m]) RSubmodule (RSpan ms)
    using RSubmodule-RSpan-single by auto
  thus ?case using RSpan-Cons RSubmodule-set-plus by simp
qed

lemma RSpan-RSpan-closed :
   $set\ ms \subseteq M \implies set\ ns \subseteq RSpan\ ms \implies RSpan\ ns \subseteq RSpan\ ms$ 
  using RSpanD-lincomb[of ns] RSpan-lincomb-closed by auto

lemma spanset-reduce-Cons :
   $set\ ms \subseteq M \implies m \in RSpan\ ms \implies RSpan\ (m\#\ms) = RSpan\ ms$ 
  using RSpan-Cons RSpan-RSpan-closed[of ms [m]]
    RSpan-contains-RSpans-Cons-right[of m ms]
    RSubmodule-RSpan[of ms]
    RModule.set-plus-closed[of R smult RSpan ms RSpan [m] RSpan ms]
  by auto

lemma RSpan-replace-hd :
  assumes  $n \in M\ set\ ms \subseteq M\ m \in RSpan\ (n\#\ms)$ 
  shows  $RSpan\ (m\#\ms) \subseteq RSpan\ (n\#\ms)$ 
proof
  fix  $x$  assume  $x \in RSpan\ (m\#\ms)$ 
  from this assms(3) obtain  $r\ rs\ s\ ss$ 
    where  $r\text{-rs}: r \in R\ set\ rs \subseteq R\ length\ rs = length\ ms\ x = r \cdot m + rs \cdot ms$ 
    and  $s\text{-ss}: s \in R\ set\ ss \subseteq R\ length\ ss = length\ ms\ m = s \cdot n + ss \cdot ms$ 
  using in-RSpan-Cons-obtain-same-length-coeffs[of x m ms]
    in-RSpan-Cons-obtain-same-length-coeffs[of m n ms]
  by fastforce
  from  $r\text{-rs}(1)\ s\text{-ss}(2)$  have  $set1: set\ [r*a.\ a\leftarrow ss] \subseteq R$  using mult-closed by auto

```



```

have x = ((r * s) # [a + b. (a,b)←zip [r*a. a←ss] rs]) · (n # ms)
proof-
  from r-rs(2,3) s-ss(3) assms(2)
  have [r*a. a←ss] · ms + rs · ms
    = [a + b. (a,b)←zip [r*a. a←ss] rs] · ms
  using set1 lincomb-sum
  by simp
  moreover from assms(1,2) r-rs(1,2,4) s-ss(1,2,4)
  have x = (r * s) · n + ([r*a. a←ss] · ms + rs · ms)
  using smult-closed lincomb-closed smult-lincomb mult-closed lincomb-sum
  by simp
  ultimately show ?thesis using lincomb-Cons by simp
qed
moreover have set ((r * s) # [a + b. (a,b)←zip [r*a. a←ss] rs]) ⊆ R
proof-
  from r-rs(2) have set [a + b. (a,b)←zip [r*a. a←ss] rs] ⊆ R
  using set1 R-scalars.zip-add-closed by fast
  with r-rs(1) s-ss(1) show ?thesis using mult-closed by simp
qed
ultimately show x ∈ RSpan (n # ms)
  using assms(1,2) RSpanI-lincomb-arb-len-coeffs[of - n#ms] by fastforce
qed
end

```

lemmas (in scalar-mult)

*Span-Cons* = *R-scalar-mult.RSpan-Cons*[OF *R-scalar-mult*, of *smult*]

context *Module*

begin

```

lemmas SpanD-lincomb-arb-len-coeffs = RSpanD-lincomb-arb-len-coeffs
lemmas SpanI = RSpanI
lemmas SpanI-lincomb-arb-len-coeffs = RSpanI-lincomb-arb-len-coeffs
lemmas Span-contains-Spans-Cons-right = RSpan-contains-RSpans-Cons-right
lemmas Span-contains-spanset = RSpan-contains-spanset
lemmas Span-contains-spanset-append-left = RSpan-contains-spanset-append-left
lemmas Span-contains-spanset-append-right = RSpan-contains-spanset-append-right
lemmas Span-closed = RSpan-closed
lemmas Span-smult-closed = RSpan-smult-closed
lemmas Span-contains-Span-take = RSpan-contains-RSpan-take
lemmas Span-replace-hd = RSpan-replace-hd
lemmas Submodule-Span = RSubmodule-RSpan

```

end

### 3.2.3 Finitely generated modules

context *R-scalar-mult*

**begin**

**abbreviation**  $R\text{-fingen } M \equiv (\exists ms. \text{ set } ms \subseteq M \wedge R\text{Span } ms = M)$

Similar to definition of  $card$  for finite sets, we default  $dim$  to 0 if no finite spanning set exists. Note that  $R\text{Span } [] = 0$  implies that  $dim\text{-}R \{0::'a\} = (0::'a)$ .

**definition**  $dim\text{-}R :: 'm \text{ set} \Rightarrow nat$

**where**  $dim\text{-}R M = (\text{if } R\text{-fingen } M \text{ then } ($   
     $LEAST n. \exists ms. \text{ length } ms = n \wedge \text{ set } ms \subseteq M \wedge R\text{Span } ms = M$   
     $) \text{ else } 0)$

**lemma**  $dim\text{-}R\text{-nonzero} :$

**assumes**  $dim\text{-}R M > 0$

**shows**  $M \neq 0$

**proof**

**assume**  $M: M = 0$

**hence**  $dim\text{-}R M$

$= (LEAST n. \exists ms. \text{ length } ms = n \wedge \text{ set } ms \subseteq M \wedge R\text{Span } ms = M)$

**using**  $dim\text{-}R\text{-def}$  **by**  $simp$

**moreover from**  $M$  **have**  $\text{length } [] = 0 \wedge \text{ set } [] \subseteq M \wedge R\text{Span } [] = M$  **by**  $simp$

**ultimately show**  $False$  **using**  $assms$  **by**  $simp$

**qed**

**end**

**hide-const**  $real\text{-vector}.dim$

**hide-const** (**open**)  $Real\text{-Vector-Spaces}.dim$

**abbreviation** (**in**  $scalar\text{-mult}$ )  $fingen \equiv R\text{-scalar-mult}.R\text{-fingen } UNIV \text{ smult}$

**abbreviation** (**in**  $scalar\text{-mult}$ )  $dim \equiv R\text{-scalar-mult}.dim\text{-}R \text{ UNIV } \text{ smult}$

**lemmas** (**in**  $Module$ )  $dim\text{-nonzero} = dim\text{-}R\text{-nonzero}$

### 3.2.4 $R$ -linear independence

**context**  $R\text{-scalar-mult}$

**begin**

**primrec**  $R\text{-lin-independent} :: 'm \text{ list} \Rightarrow bool$  **where**

$R\text{-lin-independent-Nil}: R\text{-lin-independent } [] = True$  |

$R\text{-lin-independent-Cons}:$

$R\text{-lin-independent } (m\#ms) = (R\text{-lin-independent } ms$

$\wedge (\forall r \text{ rs}. (\text{set } (r\#\text{rs}) \subseteq R \wedge (r\#\text{rs}) \cdot (m\#ms) = 0) \longrightarrow r = 0))$

**lemma**  $R\text{-lin-independent-ConsI} :$

**assumes**  $R\text{-lin-independent } ms$

$\bigwedge r \text{ rs}. \text{ set } (r\#\text{rs}) \subseteq R \Longrightarrow (r\#\text{rs}) \cdot (m\#ms) = 0 \Longrightarrow r = 0$

**shows**  $R$ -lin-independent ( $m\#ms$ )  
**using** *assms*  $R$ -lin-independent-Cons  
**by** *fast*

**lemma**  $R$ -lin-independent-ConsD1 :  
 $R$ -lin-independent ( $m\#ms$ )  $\implies$   $R$ -lin-independent  $ms$   
**by** *simp*

**lemma**  $R$ -lin-independent-ConsD2 :  
 $\llbracket R$ -lin-independent ( $m\#ms$ ); set ( $r\#rs$ )  $\subseteq R$ ; ( $r\#rs$ )  $\cdot\cdot$  ( $m\#ms$ ) = 0  $\rrbracket$   
 $\implies r = 0$   
**by** *auto*

**end**

**context**  $R$ Module  
**begin**

**lemma**  $R$ -lin-independent-imp-same-scalars :  
 $\llbracket$  length  $rs =$  length  $ss$ ; length  $rs \leq$  length  $ms$ ; set  $rs \subseteq R$ ; set  $ss \subseteq R$ ;  
set  $ms \subseteq M$ ;  $R$ -lin-independent  $ms$ ;  $rs \cdot\cdot ms = ss \cdot\cdot ms$   $\rrbracket \implies rs = ss$   
**proof** (*induct*  $rs$   $ss$  *arbitrary*:  $ms$  *rule*: *list-induct2*)  
**case** (*Cons*  $r$   $rs$   $s$   $ss$ )  
**from** *Cons*(3) **have**  $ms \neq []$  **by** *auto*  
**from** *this* **obtain**  $n$   $ns$  **where**  $ms = n\#ns$   
**using** *neg-Nil-conv*[of  $ms$ ] **by** *fast*  
**from** *Cons*(4,5) **have** set ( $[a-b.$  ( $a,b$ ) $\leftarrow$ *zip* ( $r\#rs$ ) ( $s\#ss$ )])  $\subseteq R$   
**using** *Ring1* *Ring1.list-diff-closed* **by** *fast*  
**hence** set ( $(r-s)\#[a-b.$  ( $a,b$ ) $\leftarrow$ *zip*  $rs$   $ss$ ])  $\subseteq R$  **by** *simp*  
**moreover from** *Cons*(1,4-6,8)  $ms$   
**have** 1:  $((r-s)\#[a-b.$  ( $a,b$ ) $\leftarrow$ *zip*  $rs$   $ss$ ])  $\cdot\cdot$  ( $n\#ns$ ) = 0  
**using** *lincomb-diff-left*[of  $r\#rs$   $s\#ss$ ]  
**by** *simp*  
**ultimately have**  $r - s = 0$  **using** *Cons*(7)  $ms$   $R$ -lin-independent-Cons **by** *fast*  
**hence** 2:  $r = s$  **by** *simp*  
**with** 1 *Cons*(1,4-6)  $ms$  **have**  $rs \cdot\cdot ns = ss \cdot\cdot ns$   
**using** *lincomb-Cons* *zero-smult* *lincomb-diff-left* **by** *simp*  
**with** *Cons*(2-7)  $ms$  **have**  $rs = ss$  **by** *simp*  
**with** 2 **show** *?case* **by** *fast*  
**qed** *fast*

**lemma**  $R$ -lin-independent-obtain-unique-scalars :  
 $\llbracket$  set  $ms \subseteq M$ ;  $R$ -lin-independent  $ms$ ;  $n \in R$ Span  $ms$   $\rrbracket$   
 $\implies (\exists! rs.$  set  $rs \subseteq R \wedge$  length  $rs =$  length  $ms \wedge n = rs \cdot\cdot ms)$   
**using** *in-RSpan-obtain-same-length-coeffs*[of  $n$   $ms$ ]  
 $R$ -lin-independent-imp-same-scalars[*of* - -  $ms$ ]  
**by** *auto*

**lemma**  $R$ -lin-independentI-all-scalars :

$set\ ms \subseteq M \implies$   
 $(\forall rs.\ set\ rs \subseteq R \wedge length\ rs = length\ ms \wedge rs \cdot ms = 0 \implies set\ rs \subseteq 0)$   
 $\implies R\text{-lin-independent}\ ms$

**proof** (*induct ms*)  
**case** (*Cons m ms*) **show** *?case*  
**proof** (*rule R-lin-independent-ConsI*)  
**have**  $\bigwedge rs.\ \llbracket set\ rs \subseteq R; length\ rs = length\ ms; rs \cdot ms = 0 \rrbracket \implies set\ rs \subseteq 0$   
**proof-**  
**fix** *rs* **assume** *rs: set rs ⊆ R length rs = length ms rs · ms = 0*  
**with** *Cons(2)* **have**  $set\ (0\#rs) \subseteq R\ length\ (0\#rs)$   
 $= length\ (m\#ms)\ (0\#rs) \cdot (m\#ms) = 0$   
**using** *R-scalars.zero-closed lincomb-Cons zero-smult* **by** *auto*  
**with** *Cons(3)* **have**  $set\ (0\#rs) \subseteq 0$  **by** *fast*  
**thus**  $set\ rs \subseteq 0$  **by** *simp*  
**qed**  
**with** *Cons(1,2)* **show** *R-lin-independent ms by simp*  
**next**  
**fix** *r rs* **assume** *r-rs: set (r # rs) ⊆ R (r # rs) · (m # ms) = 0*  
**from** *r-rs(1) Cons(2)* **obtain** *ss*  
**where** *ss: set ss ⊆ R length ss = length ms rs · ms = ss · ms*  
**using** *lincomb-obtain-same-length-Rcoeffs[of rs ms]*  
**by** *auto*  
**with** *r-rs* **have**  $(r\#ss) \cdot (m\#ms) = 0$  **using** *lincomb-Cons* **by** *simp*  
**moreover from** *r-rs(1) ss(1)* **have**  $set\ (r\#ss) \subseteq R$  **by** *simp*  
**moreover from** *ss(2)* **have**  $length\ (r\#ss) = length\ (m\#ms)$  **by** *simp*  
**ultimately have**  $set\ (r\#ss) \subseteq 0$  **using** *Cons(3)* **by** *fast*  
**thus**  $r = 0$  **by** *simp*  
**qed**  
**qed** *simp*

**lemma** *R-lin-independentI-concat-all-scalars* :  
**defines** *eq-len: eq-len ≡ λxs ys. length xs = length ys*  
**assumes**  $set\ (concat\ mss) \subseteq M$   
 $\bigwedge rrs.\ set\ (concat\ rrs) \subseteq R \implies list\text{-all2}\ eq\text{-len}\ rrs\ mss$   
 $\implies (concat\ rrs) \cdot (concat\ mss) = 0 \implies (\forall rs \in set\ rrs.\ set\ rs \subseteq 0)$   
**shows** *R-lin-independent (concat mss)*  
**using** *assms(2)*  
**proof** (*rule R-lin-independentI-all-scalars*)  
**have**  $\bigwedge rs.\ \llbracket set\ rs \subseteq R; length\ rs = length\ (concat\ mss); rs \cdot concat\ mss = 0 \rrbracket$   
 $\implies set\ rs \subseteq 0$   
**proof-**  
**fix** *rs*  
**assume** *rs: set rs ⊆ R length rs = length (concat mss) rs · concat mss = 0*  
**from** *rs(2) eq-len* **obtain** *rss* **where**  $rs = concat\ rss\ list\text{-all2}\ eq\text{-len}\ rrs\ mss$   
**using** *match-concat* **by** *fast*  
**with** *rs(1,3) assms(3)* **show**  $set\ rs \subseteq 0$  **by** *auto*  
**qed**  
**thus**  $\forall rs.\ set\ rs \subseteq R \wedge length\ rs = length\ (concat\ mss) \wedge rs \cdot concat\ mss = 0$   
 $\implies set\ rs \subseteq 0$

by *auto*  
 qed

**lemma** *R-lin-independentD-all-scalars* :

$\llbracket \text{set } rs \subseteq R; \text{set } ms \subseteq M; \text{length } rs \leq \text{length } ms; R\text{-lin-independent } ms; rs \cdot ms = 0 \rrbracket \implies \text{set } rs \subseteq 0$

**proof** (*induct rs ms rule: list-induct2*)

case ( $\lambda r rs m ms$ )

from  $\lambda(2,5,6)$  have  $r = 0$  by *auto*

moreover with  $\lambda$  have  $\text{set } rs \subseteq 0$  using *lincomb-Cons zero-smult* by *simp*  
 ultimately show *?case* by *simp*

qed *auto*

**lemma** *R-lin-independentD-all-scalars-nth* :

assumes  $\text{set } rs \subseteq R \text{ set } ms \subseteq M \text{ } R\text{-lin-independent } ms \text{ } rs \cdot ms = 0$   
 $k < \min(\text{length } rs) (\text{length } ms)$

shows  $rs!k = 0$

**proof**–

from *assms(1,2)* obtain *ss*

where *ss*:  $\text{set } ss \subseteq R \text{ length } ss = \text{length } ms$

$\text{take } (\text{length } rs) \text{ } ss = \text{take } (\text{length } ms) \text{ } rs \cdot ms = ss \cdot ms$

using *lincomb-obtain-same-length-Rcoeffs[of rs ms]*

by *fast*

from *ss(1,2,4)* *assms(2,3,4)* have  $\text{set } ss \subseteq 0$

using *R-lin-independentD-all-scalars* by *auto*

moreover from *assms(5)* *ss(3)* have  $rs!k = (\text{take } (\text{length } rs) \text{ } ss)!k$  by *simp*

moreover from *assms(5)* *ss(2)* have  $k < \text{length } (\text{take } (\text{length } rs) \text{ } ss)$  by *simp*

ultimately show *?thesis* using *in-set-conv-nth* by *force*

qed

**lemma** *R-lin-dependent-dependence-relation* :

$\text{set } ms \subseteq M \implies \neg R\text{-lin-independent } ms$

$\implies \exists rs. \text{set } rs \subseteq R \wedge \text{set } rs \neq 0 \wedge \text{length } rs = \text{length } ms \wedge rs \cdot ms = 0$

**proof** (*induct ms*)

case (*Cons m ms*) show *?case*

**proof** (*cases R-lin-independent ms*)

case *True*

with *Cons(3)*

have  $\neg (\forall r rs. (\text{set } (r\#rs) \subseteq R \wedge (r\#rs) \cdot (m\#ms) = 0) \longrightarrow r = 0)$

by *simp*

from *this* obtain *r rs*

where *r-rs*:  $\text{set } (r\#rs) \subseteq R \text{ } (r\#rs) \cdot (m\#ms) = 0 \text{ } r \neq 0$

by *fast*

from *r-rs(1)* *Cons(2)* obtain *ss*

where *ss*:  $\text{set } ss \subseteq R \text{ length } ss = \text{length } ms \text{ } rs \cdot ms = ss \cdot ms$

using *lincomb-obtain-same-length-Rcoeffs[of rs ms]*

by *auto*

from *ss* *r-rs* have  $\text{set } (r\#ss) \subseteq R \wedge \text{set } (r\#ss) \neq 0$

$\wedge \text{length } (r\#ss) = \text{length } (m\#ms) \wedge (r\#ss) \cdot (m\#ms) = 0$

```

    using lincomb-Cons
    by simp
  thus ?thesis by fast
next
case False
with Cons(1,2) obtain rs
  where rs: set rs  $\subseteq$  R set rs  $\neq$  0 length rs = length ms rs  $\cdot$  ms = 0
  by fastforce
from False rs Cons(2)
  have set (0#rs)  $\subseteq$  R  $\wedge$  set (0#rs)  $\neq$  0  $\wedge$  length (0#rs) = length (m#ms)
     $\wedge$  (0#rs)  $\cdot$  (m#ms) = 0
  using Ring1.zero-closed[OF Ring1] lincomb-Cons[of 0 rs m ms]
    zero-smult[of m] empty-set-diff-single[of set rs]
  by fastforce
  thus ?thesis by fast
qed
qed simp

lemma R-lin-independent-imp-distinct :
  set ms  $\subseteq$  M  $\implies$  R-lin-independent ms  $\implies$  distinct ms
proof (induct ms)
case (Cons m ms)
  have  $\bigwedge n. n \in \text{set } ms \implies m \neq n$ 
  proof
    fix n assume n: n  $\in$  set ms m = n
    from n(1) obtain xs ys where ms = xs @ n # ys using split-list by fast
    with Cons(2) n(2)
      have (1 # replicate (length xs) 0 @ [-1])  $\cdot$  (m # ms) = 0
    using lincomb-Cons lincomb-append lincomb-replicate0-left lincomb-Nil neg-eq-neg1-smult
      by simp
    with Cons(3) have 1 = 0
      using R-scalars.zero-closed one-closed R-scalars.neg-closed by force
    thus False using one-neq-zero by fast
  qed
  with Cons show ?case by auto
qed simp

lemma R-lin-independent-imp-independent-take :
  set ms  $\subseteq$  M  $\implies$  R-lin-independent ms  $\implies$  R-lin-independent (take n ms)
proof (induct ms arbitrary: n)
case (Cons m ms) show ?case
  proof (cases n)
  case (Suc k)
    hence take n (m#ms) = m # take k ms by simp
    moreover have R-lin-independent (m # take k ms)
    proof (rule R-lin-independent-ConsI)
      from Cons show R-lin-independent (take k ms) by simp
    next
    fix r rs assume r-rs: set (r#rs)  $\subseteq$  R (r#rs)  $\cdot$  (m # take k ms) = 0

```

```

from  $r\text{-rs}(1)$   $\text{Cons}(2)$  obtain  $ss$ 
  where  $ss$ :  $\text{set } ss \subseteq R$   $\text{length } ss = \text{length } (\text{take } k \text{ } ms)$ 
     $rs \cdot \text{take } k \text{ } ms = ss \cdot \text{take } k \text{ } ms$ 
  using  $\text{set-take-subset}[\text{of } k \text{ } ms]$   $\text{lincomb-obtain-same-length-Rcoeffs}$ 
  by  $\text{force}$ 
from  $r\text{-rs}(1)$   $ss(1)$  have  $\text{set } (r\#ss) \subseteq R$  by  $\text{simp}$ 
moreover from  $r\text{-rs}(2)$   $ss$  have  $(r\#ss) \cdot (m\#ms) = 0$ 
  using  $\text{lincomb-Cons}$   $\text{lincomb-Nil}$ 
     $\text{lincomb-append-right}[\text{of } ss \text{ take } k \text{ } ms \text{ drop } k \text{ } ms]$ 
  by  $\text{simp}$ 
ultimately show  $r = 0$  using  $\text{Cons}(3)$  by  $\text{auto}$ 
qed
ultimately show  $?thesis$  by  $\text{simp}$ 
qed  $\text{simp}$ 
qed  $\text{simp}$ 

lemma  $R\text{-lin-independent-Cons-imp-independent-RSpans}$  :
  assumes  $m \in M$   $R\text{-lin-independent } (m\#ms)$ 
  shows  $\text{add-independentS } [R\text{Span } [m], R\text{Span } ms]$ 
proof ( $\text{rule add-independentS-doubleI}$ )
  fix  $x \ y$  assume  $xy$ :  $x \in R\text{Span } [m]$   $y \in R\text{Span } ms$   $x + y = 0$ 
  from  $xy(1,2)$  obtain  $r \ rs$  where  $r\text{-rs}$ :  $r \in R$   $x = r \cdot m$   $\text{set } rs \subseteq R$   $y = rs \cdot ms$ 
  using  $R\text{Span-single}$   $R\text{SpanD-lincomb}$  by  $\text{fast}$ 
  with  $xy(3)$  have  $\text{set } (r\#rs) \subseteq R$   $(r\#rs) \cdot (m\#ms) = 0$ 
  using  $\text{lincomb-Cons}$  by  $\text{auto}$ 
  with  $\text{assms } r\text{-rs}(2)$  show  $x = 0$  using  $\text{zero-smult}$  by  $\text{auto}$ 
qed

lemma  $hd0\text{-imp-}R\text{-lin-dependent}$  :  $\neg R\text{-lin-independent } (0\#ms)$ 
  using  $\text{lincomb-Cons}[\text{of } 1 \ [] \ 0 \ ms]$   $\text{lincomb-Nil}[\text{of } [] \ ms]$   $\text{smult-zero one-closed}$ 
     $R\text{-lin-independent-Cons}$ 
  by  $\text{fastforce}$ 

lemma  $R\text{-lin-independent-imp-hd-n0}$  :  $R\text{-lin-independent } (m\#ms) \implies m \neq 0$ 
  using  $hd0\text{-imp-}R\text{-lin-dependent}$  by  $\text{fast}$ 

lemma  $R\text{-lin-independent-imp-hd-independent-from-RSpan}$  :
  assumes  $m \in M$   $\text{set } ms \subseteq M$   $R\text{-lin-independent } (m\#ms)$ 
  shows  $m \notin R\text{Span } ms$ 
proof
  assume  $m$ :  $m \in R\text{Span } ms$ 
  with  $\text{assms}(2)$  have  $(-1) \cdot m \in R\text{Span } ms$ 
  using  $R\text{Submodule-RSpan}[\text{of } ms]$ 
     $R\text{Module.smult-closed}[\text{of } R \ \text{smult } R\text{Span } ms \ -1 \ m]$ 
     $\text{one-closed } R\text{-scalars.neg-closed}[\text{of } 1]$ 
  by  $\text{simp}$ 
moreover from  $\text{assms}(1)$  have  $m + (-1) \cdot m = 0$ 
  using  $\text{neg-eq-neg1-smult}$  by  $\text{simp}$ 
ultimately show  $\text{False}$ 

```

**using** *RSpan-contains-spanset-single* *assms* *R-lin-independent-Cons-imp-independent-RSpans*  
*add-independentS-doubleD* *R-lin-independent-imp-hd-n0*  
**by** *fast*  
**qed**

**lemma** *R-lin-independent-reduce* :

**assumes**  $n \in M$

**shows**  $set\ ms \subseteq M \implies R\text{-lin-independent}\ (ms\ @\ n\ \#\ ns)$   
 $\implies R\text{-lin-independent}\ (ms\ @\ ns)$

**proof** (*induct* *ms*)

**case** (*Cons* *m* *ms*)

**moreover** **have**  $\bigwedge r\ rs.\ set\ (r\ \#\ rs) \subseteq R \implies (r\ \#\ rs) \cdot (m\ \#\ ms\ @\ ns) = 0$   
 $\implies r = 0$

**proof-**

**fix** *r* *rs* **assume** *r-rs*:  $set\ (r\ \#\ rs) \subseteq R\ (r\ \#\ rs) \cdot (m\ \#\ ms\ @\ ns) = 0$

**from** *Cons*(2) *r-rs*(1) **obtain** *ss*

**where** *ss*:  $set\ ss \subseteq R\ length\ ss = length\ ms\ rs \cdot ms = ss \cdot ms$

**using** *lincomb-obtain-same-length-Rcoeffs*[*of* *rs* *ms*]

**by** *auto*

**from** *assms* *ss*(2,3) *r-rs*(2)

**have**  $(r\ \#\ ss\ @\ 0\ \#\ drop\ (length\ ms)\ rs) \cdot (m\ \#\ ms\ @\ n\ \#\ ns) = 0$

**using** *lincomb-Cons*

*lincomb-append-right* *add.assoc*[*of* *r*·*m* *rs*·*ms* (*drop* (*length* *ms*) *rs*)·*ns*]  
*zero-smult* *lincomb-append*

**by** *simp*

**moreover** **from** *r-rs*(1) *ss*(1)

**have**  $set\ (r\ \#\ ss\ @\ 0\ \#\ drop\ (length\ ms)\ rs) \subseteq R$

**using** *R-scalars.zero-closed* *set-drop-subset*[*of* - *rs*]

**by** *auto*

**ultimately** **show**  $r = 0$

**using** *Cons*(3)

*R-lin-independent-ConsD2*[*of* *m* - *r* *ss* @ 0 # *drop* (*length* *ms*) *rs*]

**by** *simp*

**qed**

**ultimately** **show**  $R\text{-lin-independent}\ ((m\ \#\ ms)\ @\ ns)$  **by** *auto*

**qed** *simp*

**lemma** *R-lin-independent-vs-lincomb0* :

**assumes**  $set\ (ms\ @\ n\ \#\ ns) \subseteq M\ R\text{-lin-independent}\ (ms\ @\ n\ \#\ ns)$

$set\ (rs\ @\ s\ \#\ ss) \subseteq R\ length\ rs = length\ ms$

$(rs\ @\ s\ \#\ ss) \cdot (ms\ @\ n\ \#\ ns) = 0$

**shows**  $s = 0$

**proof-**

**define** *k* **where**  $k = length\ rs$

**hence**  $(rs\ @\ s\ \#\ ss)!k = s$  **by** *simp*

**moreover** **from** *k-def* *assms*(4) **have**  $k < \min\ (length\ (rs\ @\ s\ \#\ ss))\ (length\ (ms\ @\ n\ \#\ ns))$

**by** *simp*

**ultimately** **show** *?thesis*



```

using assms(1,2,3,5) R-lin-independentD-all-scalars-nth[of rs@#ss ms@n#ns]
by simp
qed

lemma R-lin-independent-append-imp-independent-RSpans :
  set ms  $\subseteq M \implies R\text{-lin-independent } (ms@ns)$ 
   $\implies \text{add-independentS } [R\text{Span } ms, R\text{Span } ns]$ 
proof (induct ms)
case (Cons m ms)
show ?case
proof (rule add-independentS-doubleI)
fix x y assume xy:  $y \in R\text{Span } ns$   $x \in R\text{Span } (m\#ms)$   $x + y = 0$ 
from xy(2) obtain x1 x2
  where x1-x2:  $x1 \in R\text{Span } [m]$   $x2 \in R\text{Span } ms$   $x = x1 + x2$ 
  using RSpan-Cons set-plus-def[of RSpan [m]]
  by auto
from x1-x2(1,2) xy(1) obtain r rs ss
  where r-rs-ss:  $\text{set } (r\#(rs@ss)) \subseteq R$   $\text{length } rs = \text{length } ms$   $x1 = r \cdot m$ 
   $x2 = rs \cdot ms$   $y = ss \cdot ns$ 
  using RSpan-single in-RSpan-obtain-same-length-coeffs[of x2 ms]
  RSpanD-lincomb[of ns]
  by auto
have x1-0:  $x1 = 0$ 
proof-
from xy(3) x1-x2(3) r-rs-ss(2-5) have  $(r\#(rs@ss)) \cdot (m\#(ms@ns)) = 0$ 
  using lincomb-append lincomb-Cons by (simp add: algebra-simps)
with r-rs-ss(1,3) Cons(2,3) show ?thesis
  using R-lin-independent-ConsD2[of m ms@ns r rs@ss] zero-smult by simp
qed
moreover have  $x2 = 0$ 
proof-
from x1-0 xy(3) x1-x2(3) have  $x2 + y = 0$  by simp
with xy(1) x1-x2(2) Cons show ?thesis
  using add-independentS-doubleD by simp
qed
ultimately show  $x = 0$  using x1-x2(3) by simp
qed
qed simp

end

```

### 3.2.5 Linear independence over UNIV

**context** *scalar-mult*

**begin**

**abbreviation** *lin-independent ms*

$\equiv R\text{-scalar-mult.R-lin-independent UNIV smult } ms$

**lemmas** *lin-independent-ConsI*  
           = *R-scalar-mult.R-lin-independent-ConsI [OF R-scalar-mult, of smult]*  
**lemmas** *lin-independent-ConsD1*  
           = *R-scalar-mult.R-lin-independent-ConsD1[OF R-scalar-mult, of smult]*

**end**

**context** *Module*  
**begin**

**lemmas** *lin-independent-imp-independent-take* = *R-lin-independent-imp-independent-take*  
**lemmas** *lin-independent-reduce*           = *R-lin-independent-reduce*  
**lemmas** *lin-independent-vs-lincomb0*       = *R-lin-independent-vs-lincomb0*  
**lemmas** *lin-dependent-dependence-relation* = *R-lin-dependent-dependence-relation*  
**lemmas** *lin-independent-imp-distinct*       = *R-lin-independent-imp-distinct*

**lemmas** *lin-independent-imp-hd-independent-from-Span*  
           = *R-lin-independent-imp-hd-independent-from-RSpan*  
**lemmas** *lin-independent-append-imp-independent-Spans*  
           = *R-lin-independent-append-imp-independent-RSpans*

**end**

### 3.2.6 Rank

**context** *R-scalar-mult*  
**begin**

**definition** *R-finrank* :: 'm set  $\Rightarrow$  bool  
**where** *R-finrank*  $M = (\exists n. \forall ms. \text{set } ms \subseteq M$   
            $\wedge R\text{-lin-independent } ms \longrightarrow \text{length } ms \leq n)$

**lemma** *R-finrankI* :  
 ( $\bigwedge ms. \text{set } ms \subseteq M \Longrightarrow R\text{-lin-independent } ms \Longrightarrow \text{length } ms \leq n$ )  
 $\Longrightarrow R\text{-finrank } M$   
**unfolding** *R-finrank-def* **by** *blast*

**lemma** *R-finrankD* :  
*R-finrank*  $M \Longrightarrow \exists n. \forall ms. \text{set } ms \subseteq M \wedge R\text{-lin-independent } ms$   
 $\longrightarrow \text{length } ms \leq n$   
**unfolding** *R-finrank-def* **by** *fast*

**lemma** *submodule-R-finrank* : *R-finrank*  $M \Longrightarrow N \subseteq M \Longrightarrow R\text{-finrank } N$   
**unfolding** *R-finrank-def* **by** *blast*

**end**

**context** *scalar-mult*  
**begin**

```

abbreviation finrank :: 'm set  $\Rightarrow$  bool
  where finrank  $\equiv$  R-scalar-mult.R-finrank UNIV smult

lemmas finrankI = R-scalar-mult.R-finrankI[OF R-scalar-mult, of - smult]
lemmas finrankD = R-scalar-mult.R-finrankD[OF R-scalar-mult, of smult]
lemmas submodule-finrank
  = R-scalar-mult.submodule-R-finrank [OF R-scalar-mult, of smult]

end

```

### 3.3 Module homomorphisms

#### 3.3.1 Locales

```

locale RModuleHom = Domain?: RModule R smult M
+ Codomain?: scalar-mult smult'
+ GroupHom?: GroupHom M T
  for R      :: 'r::ring-1 set
  and smult  :: 'r  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)
  and M      :: 'm set
  and smult' :: 'r  $\Rightarrow$  'n::ab-group-add  $\Rightarrow$  'n (infixr  $\star$  70)
  and T      :: 'm  $\Rightarrow$  'n
+ assumes R-map:  $\bigwedge r m. r \in R \Rightarrow m \in M \Rightarrow T (r \cdot m) = r \star T m$ 

abbreviation (in RModuleHom) lincomb' :: 'r list  $\Rightarrow$  'n list  $\Rightarrow$  'n (infix  $\star$  70)
  where lincomb'  $\equiv$  Codomain.lincomb

```

```

lemma (in RModule) RModuleHomI :
  assumes GroupHom M T
   $\bigwedge r m. r \in R \Rightarrow m \in M \Rightarrow T (r \cdot m) = smult' r (T m)$ 
  shows RModuleHom R smult M smult' T
  by (
    rule RModuleHom.intro, rule RModule-axioms, rule assms(1), unfold-locales,
    rule assms(2)
  )

```

```

locale RModuleEnd = RModuleHom R smult M smult T
  for R      :: 'r::ring-1 set
  and smult  :: 'r  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)
  and M      :: 'm set
  and T      :: 'm  $\Rightarrow$  'm
+ assumes endomorph:  $ImG \subseteq M$ 

```

```

locale ModuleHom = RModuleHom UNIV smult M smult' T
  for smult  :: 'r::ring-1  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)
  and M      :: 'm set
  and smult' :: 'r  $\Rightarrow$  'n::ab-group-add  $\Rightarrow$  'n (infixr  $\star$  70)
  and T      :: 'm  $\Rightarrow$  'n

```

**lemmas** (in *ModuleHom*)  $hom = hom$

**lemmas** (in *Module*)  $ModuleHomI = RModuleHomI[THEN ModuleHom.intro]$

**locale** *ModuleEnd* = *ModuleHom smult M smult T*  
**for**  $smult :: 'r::ring-1 \Rightarrow 'm::ab-group-add \Rightarrow 'm$  (**infixr**  $\cdot$  70)  
**and**  $M :: 'm set$  **and**  $T :: 'm \Rightarrow 'm$   
+ **assumes** *endomorph*:  $ImG \subseteq M$

**locale** *RModuleIso* = *RModuleHom R smult M smult' T*  
**for**  $R :: 'r::ring-1 set$   
**and**  $smult :: 'r \Rightarrow 'm::ab-group-add \Rightarrow 'm$  (**infixr**  $\cdot$  70)  
**and**  $M :: 'm set$   
**and**  $smult' :: 'r \Rightarrow 'n::ab-group-add \Rightarrow 'n$  (**infixr**  $\star$  70)  
**and**  $T :: 'm \Rightarrow 'n$   
+ **fixes**  $N :: 'n set$   
**assumes** *bijjective*: *bij-betw T M N*

**lemma** (in *RModule*) *RModuleIsoI* :  
**assumes** *GroupIso M T N*  
 $\bigwedge r m. r \in R \implies m \in M \implies T (r \cdot m) = smult' r (T m)$   
**shows** *RModuleIso R smult M smult' T N*  
**proof** (*rule RModuleIso.intro*)  
**from** *assms* **show** *RModuleHom R ( $\cdot$ ) M smult' T*  
**using** *GroupIso.axioms(1) RModuleHomI* **by** *fastforce*  
**from** *assms(1)* **show** *RModuleIso-axioms M T N*  
**using** *GroupIso.bijjective* **by** *unfold-locales*  
**qed**

### 3.3.2 Basic facts

**lemma** (in *RModule*) *trivial-RModuleHom* :  
 $\forall r \in R. smult' r 0 = 0 \implies RModuleHom R smult M smult' 0$   
**using** *trivial-GroupHom RModuleHomI* **by** *fastforce*

**lemma** (in *RModule*) *RModHom-idhom* : *RModuleHom R smult M smult (id  $\downarrow$  M)*  
**using** *RModule-axioms GroupHom-idhom*  
**proof** (*rule RModuleHom.intro*)  
**show** *RModuleHom-axioms R ( $\cdot$ ) M ( $\cdot$ ) (id  $\downarrow$  M)*  
**using** *smult-closed* **by** *unfold-locales simp*  
**qed**

**context** *RModuleHom*  
**begin**

**lemmas** *additive* = *hom*  
**lemmas** *supp* = *supp*  
**lemmas** *im-zero* = *im-zero*  
**lemmas** *im-diff* = *im-diff*

**lemmas** *Ker-Im-iff* = *Ker-Im-iff*  
**lemmas** *Ker0-imp-inj-on* = *Ker0-imp-inj-on*

**lemma** *GroupHom* : *GroupHom M T ..*

**lemma** *codomain-smult-zero* :  $r \in R \implies r \star 0 = 0$   
**using** *im-zero smult-zero zero-closed R-map[of r 0]* **by** *simp*

**lemma** *RSubmodule-Ker* : *Domain.RSubmodule Ker*  
**proof** (*rule Domain.RSubmoduleI, rule conjI, rule Group-Ker*)  
**fix**  $r\ m$  **assume**  $r: r \in R$  **and**  $m: m \in Ker$   
**thus**  $r \cdot m \in Ker$   
**using** *R-map[of r m] kerD[of m T] codomain-smult-zero kerI Domain.smult-closed*  
**by** *simp*  
**qed** *fast*

**lemma** *RModule-Im* : *RModule R smult' ImG*  
**using** *Ring1 Group-Im*  
**proof** (*rule RModuleI, unfold-locales*)  
**show**  $\bigwedge n. n \in T \text{ ' } M \implies 1 \star n = n$  **using** *one-closed R-map[of 1]* **by** *auto*  
**next**  
**fix**  $r\ s\ m\ n$  **assume**  $r: r \in R$  **and**  $s: s \in R$  **and**  $m: m \in T \text{ ' } M$   
**and**  $n: n \in T \text{ ' } M$   
**from**  $m\ n$  **obtain**  $m'\ n'$   
**where**  $m': m' \in M\ m = T\ m'$  **and**  $n': n' \in M\ n = T\ n'$   
**by** *fast*  
**from**  $m'\ r$  *R-map* **have**  $r \star m = T\ (r \cdot m')$  **by** *simp*  
**with**  $r\ m'(1)$  **show**  $r \star m \in T \text{ ' } M$  **using** *smult-closed* **by** *fast*  
**from**  $r\ m'\ n'$  **show**  $r \star (m + n) = r \star m + r \star n$   
**using** *hom add-closed R-map[of r m'+n'] smult-closed R-map[of r]* **by** *simp*  
**from**  $r\ s\ m'$  **show**  $(r + s) \star m = r \star m + s \star m$   
**using** *R-scalars.add-closed R-map[of r+s] smult-closed hom R-map* **by** *simp*  
**from**  $r\ s\ m'$  **show**  $r \star s \star m = (r \star s) \star m$   
**using** *smult-closed R-map[of s] R-map[of r s \cdot m'] mult-closed R-map[of r\*s]*  
**by** *simp*  
**qed**

**lemma** *im-submodule* :  
**assumes** *RSubmodule N*  
**shows** *RModule.RSubmodule R smult' ImG (T ' N)*  
**proof** (*rule RModule.RSubmoduleI, rule RModule-Im*)  
**from** *assms* **show** *Group.Subgroup (T ' M) (T ' N)*  
**using** *im-subgroup Subgroup-RSubmodule* **by** *fast*  
**from** *assms* *R-map* **show**  $\bigwedge r\ n. r \in R \implies n \in T \text{ ' } N \implies r \star n \in T \text{ ' } N$   
**using** *RModule.smult-closed* **by** *force*  
**qed**

**lemma** *RModHom-composite-left* :  
**assumes**  $T \text{ ' } M \subseteq N$  *RModuleHom R smult' N smult'' S*

**shows**  $RModuleHom\ R\ smult\ M\ smult''\ (S\ \circ\ T)$   
**proof** (rule  $RModule.RModuleHomI$ , rule  $RModule-axioms$ )  
**from**  $assms(1)$  **show**  $GroupHom\ M\ (S\ \circ\ T)$   
**using**  $RModuleHom.GroupHom[OF\ assms(2)]\ GroupHom-composite-left$   
**by**  $auto$   
**from**  $assms(1)$   
**show**  $\bigwedge r\ m.\ r\ \in\ R\ \implies\ m\ \in\ M\ \implies\ (S\ \circ\ T)\ (r\ \cdot\ m) = smult''\ r\ ((S\ \circ\ T)\ m)$   
**using**  $R-map\ RModuleHom.R-map[OF\ assms(2)]$   
**by**  $auto$   
**qed**

**lemma**  $RModuleHom-restrict0-submodule$  :  
**assumes**  $RSubmodule\ N$   
**shows**  $RModuleHom\ R\ smult\ N\ smult'\ (T\ \downarrow\ N)$   
**proof** (rule  $RModuleHom.intro$ )  
**from**  $assms$  **show**  $RModule\ R\ (\cdot)\ N$  **by**  $fast$   
**from**  $assms$  **show**  $GroupHom\ N\ (T\ \downarrow\ N)$   
**using**  $RModule.Group\ GroupHom-restrict0-subgroup$  **by**  $fast$   
**show**  $RModuleHom-axioms\ R\ (\cdot)\ N\ (\star)\ (T\ \downarrow\ N)$   
**proof**  
**fix**  $r\ m$  **assume**  $r\ \in\ R\ m\ \in\ N$   
**with**  $assms$  **show**  $(T\ \downarrow\ N)\ (r\ \cdot\ m) = r\ \star\ (T\ \downarrow\ N)\ m$   
**using**  $RModule.smult-closed\ R-map$  **by**  $fastforce$   
**qed**  
**qed**

**lemma**  $distrib-lincomb$  :  
 $set\ rs\ \subseteq\ R\ \implies\ set\ ms\ \subseteq\ M\ \implies\ T\ (rs\ \cdot\ ms) = rs\ \star\ map\ T\ ms$   
**using**  $Domain.lincomb-Nil\ im-zero\ Codomain.lincomb-Nil\ R-map\ Domain.lincomb-Cons$   
 $Domain.smult-closed\ Domain.lincomb-closed\ additive\ Codomain.lincomb-Cons$   
**by** (induct  $rs\ ms$  rule:  $list-induct2'$ )  $auto$

**lemma**  $same-image-on-RSpanset-imp-same-hom$  :  
**assumes**  $RModuleHom\ R\ smult\ M\ smult'\ S\ set\ ms\ \subseteq\ M$   
 $M = Domain.R-scalars.RSpan\ ms\ \forall\ m\ \in\ set\ ms.\ S\ m = T\ m$   
**shows**  $S = T$   
**proof**  
**fix**  $m$  **show**  $S\ m = T\ m$   
**proof** (cases  $m\ \in\ M$ )  
**case**  $True$   
**with**  $assms(2,3)$  **obtain**  $rs$  **where**  $rs: set\ rs\ \subseteq\ R\ m = rs\ \cdot\ ms$   
**using**  $Domain.RSpanD-lincomb-arb-len-coeffs$  **by**  $fast$   
**from**  $rs(1)\ assms(2)$  **have**  $S\ (rs\ \cdot\ ms) = rs\ \star\ (map\ S\ ms)$   
**using**  $RModuleHom.distrib-lincomb[OF\ assms(1)]$  **by**  $simp$   
**moreover from**  $rs(1)\ assms(2)$  **have**  $T\ (rs\ \cdot\ ms) = rs\ \star\ (map\ T\ ms)$   
**using**  $distrib-lincomb$  **by**  $simp$   
**ultimately show**  $?thesis$  **using**  $assms(4)\ map-ext[of\ ms\ S\ T]\ rs(2)$  **by**  $auto$   
**next**  
**case**  $False$  **with**  $assms(1)\ supp$  **show**  $?thesis$

```

    using RModuleHom.supp suppI-contr[of - S] suppI-contr[of - T] by fastforce
  qed
qed
end

```

**lemma** *RSubmodule-eigenspace* :

```

  fixes smult :: 'r::ring-1  $\Rightarrow$  'm::ab-group-add  $\Rightarrow$  'm (infixr  $\cdot$  70)
  assumes RModHom: RModuleHom R smult M smult T
  and r:  $r \in R \wedge s m. s \in R \implies m \in M \implies s \cdot r \cdot m = r \cdot s \cdot m$ 
  defines E:  $E \equiv \{m \in M. T m = r \cdot m\}$ 
  shows RModule.RSubmodule R smult M E
proof (rule RModule.RSubmoduleI)
  from RModHom show rmod: RModule R smult M
    using RModuleHom.axioms(1) by fast
  have Group E
  proof
    from r(1) E show  $E \neq \{\}$ 
      using RModule.zero-closed[OF rmod] RModuleHom.im-zero[OF RModHom]
        RModule.smult-zero[OF rmod]
      by auto
    next
      fix m n assume  $m \in E n \in E$ 
      with r(1) E show  $m - n \in E$ 
        using RModule.diff-closed[OF rmod] RModuleHom.im-diff[OF RModHom]
          RModule.smult-distrib-left-diff[OF rmod]
        by simp
    qed
  with E show Group.Subgroup M E by fast
  show  $\wedge s m. s \in R \implies m \in E \implies s \cdot m \in E$ 
  proof-
    fix s m assume  $s \in R m \in E$ 
    with E r RModuleHom.R-map[OF RModHom] show  $s \cdot m \in E$ 
      using RModule.smult-closed[OF rmod] by simp
  qed
qed

```

### 3.3.3 Basic facts about endomorphisms

**lemma** (in *RModule*) *Rmap-endorph-is-RModuleEnd* :

```

  assumes grpend: GroupEnd M T
  and Rmap :  $\wedge r m. r \in R \implies m \in M \implies T (r \cdot m) = r \cdot (T m)$ 
  shows RModuleEnd R smult M T
proof (rule RModuleEnd.intro, rule RModuleHomI)
  from grpend show GroupHom M T using GroupEnd.axioms(1) by fast
  from grpend show RModuleEnd-axioms M T
    using GroupEnd.endomorph by unfold-locales
  qed (rule Rmap)

```

```

lemma (in RModuleEnd) GroupEnd : GroupEnd M T
proof (rule GroupEnd.intro)
  from endomorph show GroupEnd-axioms M T by unfold-locales
qed (unfold-locales)

lemmas (in RModuleEnd) proj-decomp = GroupEnd.proj-decomp[OF GroupEnd]

lemma (in ModuleEnd) RModuleEnd : RModuleEnd UNIV smult M T
  using endomorph RModuleEnd.intro by unfold-locales

lemmas (in ModuleEnd) GroupEnd = RModuleEnd.GroupEnd[OF RModuleEnd]

lemma RModuleEnd-over-UNIV-is-ModuleEnd :
  RModuleEnd UNIV rsmult M T  $\implies$  ModuleEnd rsmult M T
proof (rule ModuleEnd.intro, rule ModuleHom.intro)
  assume endo: RModuleEnd UNIV rsmult M T
  thus RModuleHom UNIV rsmult M rsmult T
    using RModuleEnd.axioms(1) by fast
  from endo show ModuleEnd-axioms M T
    using RModuleEnd.endomorph by unfold-locales
qed

```

### 3.3.4 Basic facts about isomorphisms

```

context RModuleIso
begin

```

```

abbreviation invT  $\equiv$  (the-inv-into M T)  $\downarrow$  N

```

```

lemma GroupIso : GroupIso M T N
proof (rule GroupIso.intro)
  show GroupHom M T ..
  from bijective show GroupIso-axioms M T N by unfold-locales
qed

```

```

lemmas ImG = GroupIso.ImG [OF GroupIso]
lemmas GroupHom-inv = GroupIso.inv [OF GroupIso]
lemmas invT-into = GroupIso.invT-into [OF GroupIso]
lemmas T-invT = GroupIso.T-invT [OF GroupIso]
lemmas invT-eq = GroupIso.invT-eq [OF GroupIso]

```

```

lemma RModuleN : RModule R smult' N using RModule-Im ImG by fast

```

```

lemma inv : RModuleIso R smult' N smult invT M
  using RModuleN GroupHom-inv
proof (rule RModule.RModuleIsoI)
  fix r n assume rn: r  $\in$  R n  $\in$  N
  thus invT (r  $\star$  n) = r  $\cdot$  invT n
    using invT-into smult-closed R-map T-invT invT-eq by simp

```



qed

end

### 3.4 Inner direct sums of RModules

**lemma** (in *RModule*) *RModule-inner-dirsum-el-decomp-Rsmult* :  
 **assumes**  $\forall N \in \text{set } Ns. \text{RSubmodule } N \text{ add-independentS } Ns \ r \in R$   
  $x \in (\bigoplus N \leftarrow Ns. N)$   
 **shows**  $(\bigoplus Ns \leftarrow (r \cdot x)) = [r \cdot m. m \leftarrow (\bigoplus Ns \leftarrow x)]$   
**proof**–  
 **define** *xs* **where**  $xs = (\bigoplus Ns \leftarrow x)$   
 **with** *assms* **have**  $x: xs \in \text{listset } Ns \ x = \text{sum-list } xs$   
 **using** *RModule.AbGroup*[of *R*] *AbGroup-inner-dirsum-el-decompI*[of *Ns* *x*]  
 **by** *auto*  
 **from** *assms*(1,2,4) *xs-def* **have**  $xs \subseteq M$   
 **using** *Subgroup-RSubmodule*  
 *AbGroup.abSubgroup-inner-dirsum-el-decomp-set*[OF *AbGroup*]  
 **by** *fast*  
 **from** *assms*(1,3) *x*(1) **have**  $[r \cdot m. m \leftarrow xs] \in \text{listset } Ns$   
 **using** *listset-RModule-Rsmult-closed* **by** *fast*  
 **moreover from** *x* *assms*(3) *xs-M* **have**  $r \cdot x = \text{sum-list } [r \cdot m. m \leftarrow xs]$   
 **using** *smult-sum-list-distrib* **by** *fast*  
 **moreover from** *assms*(1,3,4) **have**  $r \cdot x \in (\bigoplus M \leftarrow Ns. M)$   
 **using** *RModule-inner-dirsum RModule.smult-closed* **by** *fast*  
 **ultimately show**  $(\bigoplus Ns \leftarrow (r \cdot x)) = [r \cdot m. m \leftarrow xs]$   
 **using** *assms*(1,2) *RModule.AbGroup* *AbGroup-inner-dirsum-el-decomp-eq*  
 **by** *fast*  
qed

**lemma** (in *RModule*) *RModuleEnd-inner-dirsum-el-decomp-nth* :  
 **assumes**  $\forall N \in \text{set } Ns. \text{RSubmodule } N \text{ add-independentS } Ns \ n < \text{length } Ns$   
 **shows**  $\text{RModuleEnd } R \ \text{smult } (\bigoplus N \leftarrow Ns. N) \ (\bigoplus Ns \downarrow n)$   
**proof** (*rule RModule.Rmap-endomorph-is-RModuleEnd*)  
 **from** *assms*(1) **show**  $\text{RModule } R \ \text{smult } (\bigoplus N \leftarrow Ns. N)$   
 **using** *RSubmodule-inner-dirsum* **by** *fast*  
 **from** *assms* **show**  $\text{GroupEnd } (\bigoplus N \leftarrow Ns. N) \ (\bigoplus Ns \downarrow n)$   
 **using** *RModule.AbGroup* *GroupEnd-inner-dirsum-el-decomp-nth*[of *Ns*] **by** *fast*  
 **show**  $\bigwedge r \ m. r \in R \implies m \in (\bigoplus N \leftarrow Ns. N)$   
  $\implies (\bigoplus Ns \downarrow n) (r \cdot m) = r \cdot ((\bigoplus Ns \downarrow n) m)$   
**proof**–  
 **fix** *r* *m* **assume**  $r \in R \ m \in (\bigoplus N \leftarrow Ns. N)$   
 **moreover with** *assms*(1) **have**  $r \cdot m \in (\bigoplus M \leftarrow Ns. M)$   
 **using** *RModule-inner-dirsum RModule.smult-closed* **by** *fast*  
 **ultimately show**  $(\bigoplus Ns \downarrow n) (r \cdot m) = r \cdot ((\bigoplus Ns \downarrow n) m)$   
 **using** *assms* *RModule.AbGroup*[of *R* *smult*]  
 *AbGroup-length-inner-dirsum-el-decomp*[of *Ns*]  
 *RModule-inner-dirsum-el-decomp-Rsmult*  
 **by** *simp*

qed  
qed

## 4 Vector Spaces

### 4.1 Locales and basic facts

Here we don't care about being able to switch scalars.

```
locale fscalar-mult = scalar-mult smult
  for smult :: 'f::field  $\Rightarrow$  'v::ab-group-add  $\Rightarrow$  'v (infixr  $\cdot$  70)
```

```
abbreviation (in fscalar-mult) findim  $\equiv$  fingen
```

```
locale VectorSpace = Module smult V
  for smult :: 'f::field  $\Rightarrow$  'v::ab-group-add  $\Rightarrow$  'v (infixr  $\cdot$  70)
  and V      :: 'v set
```

```
lemmas VectorSpaceI = ModuleI[THEN VectorSpace.intro]
```

```
sublocale VectorSpace < fscalar-mult proof- qed
```

```
locale FinDimVectorSpace = VectorSpace
+ assumes findim: findim V
```

```
lemma (in VectorSpace) FinDimVectorSpaceI :
  findim V  $\Longrightarrow$  FinDimVectorSpace ( $\cdot$ ) V
  by unfold-locales fast
```

```
context VectorSpace
begin
```

```
abbreviation Subspace :: 'v set  $\Rightarrow$  bool where Subspace  $\equiv$  Submodule
```

```
lemma SubspaceD1 : Subspace U  $\Longrightarrow$  VectorSpace smult U
  using VectorSpace.intro Module.intro by fast
```

lemmas AbGroup	= AbGroup
lemmas add-closed	= add-closed
lemmas smult-closed	= smult-closed
lemmas one-smult	= one-smult
lemmas smult-assoc	= smult-assoc
lemmas smult-distrib-left	= smult-distrib-left
lemmas smult-distrib-right	= smult-distrib-right
lemmas zero-closed	= zero-closed
lemmas zero-smult	= zero-smult
lemmas smult-zero	= smult-zero
lemmas smult-lincomb	= smult-lincomb
lemmas smult-distrib-left-diff	= smult-distrib-left-diff

```

lemmas smult-sum-distrib = smult-sum-distrib
lemmas sum-smult-distrib = sum-smult-distrib
lemmas lincomb-sum = lincomb-sum
lemmas lincomb-closed = lincomb-closed
lemmas lincomb-concat = lincomb-concat
lemmas lincomb-replicate0-left = lincomb-replicate0-left
lemmas delta-scalars-lincomb-eq-nth = delta-scalars-lincomb-eq-nth
lemmas SpanI = SpanI
lemmas Span-closed = Span-closed
lemmas SpanD-lincomb-arb-len-coeffs = SpanD-lincomb-arb-len-coeffs
lemmas SpanI-lincomb-arb-len-coeffs = SpanI-lincomb-arb-len-coeffs
lemmas in-Span-obtain-same-length-coeffs = in-Span-obtain-same-length-coeffs
lemmas SubspaceI = SubmoduleI
lemmas subspace-finrank = submodule-finrank

```

```

lemma cancel-scalar:  $\llbracket a \neq 0; u \in V; v \in V; a \cdot u = a \cdot v \rrbracket \implies u = v$ 
  using smult-assoc[of 1/a a u] by simp

```

**end**

## 4.2 Linear algebra in vector spaces

### 4.2.1 Linear independence and spanning

**context** *VectorSpace*

**begin**

```

lemmas Subspace-Span = Submodule-Span
lemmas lin-independent-Nil = R-lin-independent-Nil
lemmas lin-independentI-concat-all-scalars = R-lin-independentI-concat-all-scalars
lemmas lin-independentD-all-scalars = R-lin-independentD-all-scalars
lemmas lin-independent-obtain-unique-scalars = R-lin-independent-obtain-unique-scalars

```

**lemma** *lincomb-Cons-0-imp-in-Span* :

$\llbracket v \in V; \text{set } vs \subseteq V; a \neq 0; (a \# as) \cdot (v \# vs) = 0 \rrbracket \implies v \in \text{Span } vs$

**using** *lincomb-Cons eq-neg-iff-add-eq-0*[of *a · v as · vs*]

*neg-lincomb smult-assoc*[of *1/a a v*] *smult-lincomb SpanD-lincomb-*arb-len-coeffs**

**by** *auto*

**lemma** *lin-independent-Cons-conditions* :

$\llbracket v \in V; \text{set } vs \subseteq V; v \notin \text{Span } vs; \text{lin-independent } vs \rrbracket$

$\implies \text{lin-independent } (v \# vs)$

**using** *lincomb-Cons-0-imp-in-Span lin-independent-ConsI* **by** *fast*

**lemma** *coeff-n0-imp-in-Span-others* :

**assumes**  $v \in V \text{ set } us \subseteq V \text{ set } vs \subseteq V b \neq 0 \text{ length } as = \text{length } us$

$w = (as @ b \# bs) \cdot (us @ v \# vs)$

**shows**  $v \in \text{Span } (w \# us @ vs)$

**proof**–

**define** *x* **where**  $x = (1 \# [- c. c \leftarrow as @ bs]) \cdot (w \# us @ vs)$

**from** *assms*(1,4-6) **have**  $v = (1/b) \cdot (w + - ( (as@bs) \cdot (us@vs) ))$   
**using** *lincomb-append lincomb-Cons* **by** *simp*  
**moreover from** *assms*(1,2,3,6) **have**  $w: w \in V$  **using** *lincomb-closed* **by** *simp*  
**ultimately have**  $v = (1/b) \cdot x$   
**using** *x-def assms*(2,3) *neg-lincomb*[of - *us@vs*] *lincomb-Cons*[of 1 - *w*] **by** *simp*  
**with** *x-def w assms*(2,3) **show** *?thesis*  
**using** *SpanD-lincomb-arb-len-coeffs*[of  $w \# us @ vs$ ]  
*Span-smult-closed*[of  $1/b w \# us @ vs x$ ]  
**by** *auto*  
**qed**

**lemma** *lin-independent-replace1-by-lincomb* :

**assumes**  $set\ us \subseteq V\ v \in V\ set\ vs \subseteq V\ lin-independent\ (us\ @\ v\ \# \ vs)$   
 $length\ as = length\ us\ b \neq 0$

**shows**  $lin-independent\ ( ((as\ @\ b\ \# \ bs) \cdot (us\ @\ v\ \# \ vs)) \# \ us\ @\ vs )$

**proof-**

**define**  $w$  **where**  $w = (as\ @\ b\ \# \ bs) \cdot (us\ @\ v\ \# \ vs)$

**from** *assms*(1,2,4) **have**  $lin-independent\ (us\ @\ vs)$

**using** *lin-independent-reduce* **by** *fast*

**hence**  $lin-independent\ (w\ \# \ us\ @\ vs)$

**proof** (*rule lin-independent-ConsI*)

**fix**  $c\ cs$  **assume**  $A: (c\ \# \ cs) \cdot (w\ \# \ us\ @\ vs) = 0$

**from** *assms*(1,3) **obtain**  $ds\ es\ fs$

**where** *dsesfs*:  $length\ ds = length\ vs\ bs \cdot vs = ds \cdot vs$

$length\ es = length\ vs\ (drop\ (length\ us)\ cs) \cdot vs = es \cdot vs$

$length\ fs = length\ us\ cs \cdot us = fs \cdot us$

**using** *lincomb-obtain-same-length-coeffs*[of  $bs\ vs$ ]

*lincomb-obtain-same-length-coeffs*[of  $drop\ (length\ us)\ cs\ vs$ ]

*lincomb-obtain-same-length-coeffs*[of  $cs\ us$ ]

**by** *auto*

**define**  $xs\ ys$

**where**  $xs = [x+y.\ (x,y)\leftarrow zip\ [c*a.\ a\leftarrow as]\ fs]$

**and**  $ys = [x+y.\ (x,y)\leftarrow zip\ es\ [c*d.\ d\leftarrow ds]]$

**with** *assms*(5) *dsesfs*(5) **have**  $len-xs: length\ xs = length\ us$

**using** *length-concat-map-split-zip*[of -  $[c*a.\ a\leftarrow as]\ fs$ ] **by** *simp*

**from**  $A$  *w-def assms*(1-3,5) *dsesfs*(2,4,6)

**have**  $0 = c \cdot as \cdot us + fs \cdot us + (c * b) \cdot v + es \cdot vs + c \cdot ds \cdot vs$

**using** *lincomb-Cons lincomb-append-right lincomb-append add-closed smult-closed lincomb-closed*

**by** (*simp add: algebra-simps*)

**also from** *assms*(1,3,5) *dsesfs*(1,3,5) *xs-def ys-def len-xs*

**have**  $\dots = (xs\ @\ (c * b)\ \# \ ys) \cdot (us\ @\ v\ \# \ vs)$

**using** *smult-lincomb lincomb-sum lincomb-Cons lincomb-append* **by** *simp*

**finally have**  $(xs\ @\ (c * b)\ \# \ ys) \cdot (us\ @\ v\ \# \ vs) = 0$  **by** *simp*

**with** *assms*(1-3,4,6) *len-xs* **show**  $c = 0$

**using** *lin-independent-vs-lincomb0* **by** *fastforce*

**qed**

**with** *w-def* **show** *?thesis* **by** *fast*

**qed**

```

lemma build-lin-independent-seq :
  assumes us-V: set us  $\subseteq$  V
  and indep-us: lin-independent us
  shows  $\exists$  ws. set ws  $\subseteq$  V  $\wedge$  lin-independent (ws @ us)  $\wedge$  (Span (ws @ us) = V
     $\vee$  length ws = n)
proof (induct n)
  case 0 from indep-us show ?case by force
next
  case (Suc m)
  from this obtain ws
    where ws: set ws  $\subseteq$  V lin-independent (ws @ us)
      Span (ws@us) = V  $\vee$  length ws = m
    by auto
  show ?case
  proof (cases V = Span (ws@us))
    case True with ws show ?thesis by fast
  next
    case False
    moreover from ws(1) us-V have ws-us-V: set (ws @ us)  $\subseteq$  V by simp
    ultimately have Span (ws@us)  $\subset$  V using Span-closed by fast
    from this obtain w where w: w  $\in$  V w  $\notin$  Span (ws@us) by fast
    define vs where vs = w # ws
    with w ws-us-V ws(2,3)
      have set (vs @ us)  $\subseteq$  V lin-independent (vs @ us) length vs = Suc m
      using lin-independent-Cons-conditions[of w ws@us]
      by auto
    thus ?thesis by auto
  qed
qed

end

```

#### 4.2.2 Basis for a vector space: *basis-for*

```

abbreviation (in fscalar-mult) basis-for :: 'v set  $\Rightarrow$  'v list  $\Rightarrow$  bool
  where basis-for V vs  $\equiv$  (set vs  $\subseteq$  V  $\wedge$  V = Span vs  $\wedge$  lin-independent vs)

```

```

context VectorSpace
begin

```

```

lemma spanset-contains-basis :
  set vs  $\subseteq$  V  $\Longrightarrow$   $\exists$  us. set us  $\subseteq$  set vs  $\wedge$  basis-for (Span vs) us
proof (induct vs)
  case Nil show ?case using lin-independent-Nil by simp
next
  case (Cons v vs)
  from this obtain us where us: set us  $\subseteq$  set vs basis-for (Span vs) us by auto
  show ?case

```

```

proof (cases v ∈ Span vs)
  case True
    with Cons(2) ws(2) have basis-for (Span (v#vs)) ws
      using spanset-reduce-Cons by force
    with ws(1) show ?thesis by auto
  next
    case False
    from Cons(2) ws
      have set (v#ws) ⊆ set (v#vs) set (v#ws) ⊆ Span (v#vs)
        Span (v#vs) = Span (v#ws)
      using Span-contains-spanset[of v#vs]
        Span-contains-Spans-Cons-right[of v vs] Span-Cons
      by auto
    moreover have lin-independent (v#ws)
    proof (rule lin-independent-Cons-conditions)
      from Cons(2) ws(1) show v ∈ V set ws ⊆ V by auto
      from ws(2) False show v ∉ Span ws lin-independent ws by auto
    qed
    ultimately show ?thesis by blast
  qed
qed

```

```

lemma basis-for-Span-ex : set vs ⊆ V ⇒ ∃ us. basis-for (Span vs) us
  using spanset-contains-basis by fastforce

```

```

lemma replace-basis-one-step :

```

```

  assumes closed: set vs ⊆ V set us ⊆ V and indep: lin-independent (us@vs)
  and new-w: w ∈ Span (us@vs) − Span us
  shows ∃ xs y ys. vs = xs @ y # ys
    ∧ basis-for (Span (us@vs)) (w # us @ xs @ ys)

```

```

proof−

```

```

  from new-w obtain u v where uv: u ∈ Span us v ∈ Span vs w = u + v
    using Span-append set-plus-def[of Span us] by auto
  from uv(1,3) new-w have v-n0: v ≠ 0 by auto
  from uv(1,2) obtain as bs
    where as-bs: length as = length us u = as .. us length bs = length vs
      v = bs .. vs
    using in-Span-obtain-same-length-coeffs
    by blast
  from v-n0 as-bs(4) closed(1) obtain b where b: b ∈ set bs b ≠ 0
    using lincomb-0coeffs[of vs] by auto
  from b(1) obtain cs ds where cs-ds: bs = cs @ b # ds using split-list by fast
  define n where n = length cs
  define fvs where fvs = take n vs
  define y where y = vs!n
  define bvs where bvs = drop (Suc n) vs
  define ufvs where ufvs = us @ fvs
  define acs where acs = as @ cs
  from as-bs(1,3) cs-ds n-def acs-def ufvs-def fvs-def

```

```

    have n-len-vs:  $n < \text{length } vs$  and len-acs:  $\text{length } acs = \text{length } ufvs$ 
    by auto
  from n-len-vs fvs-def y-def bvs-def have vs-decomp:  $vs = fvs @ y \# bvs$ 
    using id-take-nth-drop by simp
  with w(3) as-bs(1,2,4) cs-ds acs-def ufvs-def
    have w-decomp:  $w = (acs @ b \# ds) \cdot (ufvs @ y \# bvs)$ 
    using lincomb-append
    by simp
  from closed(1) vs-decomp
    have y-V:  $y \in V$  and fvs-V:  $\text{set } fvs \subseteq V$  and bvs-V:  $\text{set } bvs \subseteq V$ 
    by auto
  from ufvs-def fvs-V closed(2) have ufvs-V:  $\text{set } ufvs \subseteq V$  by simp
  from w-decomp ufvs-V y-V bvs-V have w-V:  $w \in V$ 
    using lincomb-closed by simp
  have Span (us@vs) = Span (w # ufvs @ bvs)
  proof
    from vs-decomp ufvs-def have 1:  $\text{Span } (us@vs) = \text{Span } (y \# ufvs @ bvs)$ 
      using Span-append Span-Cons[of y bvs] Span-Cons[of y ufvs]
        Span-append[of y#ufvs bvs]
      by (simp add: algebra-simps)
    with new-w y-V ufvs-V bvs-V show  $\text{Span } (w \# ufvs @ bvs) \subseteq \text{Span } (us@vs)$ 
      using Span-replace-hd by simp
    from len-acs w-decomp y-V ufvs-V bvs-V have  $y \in \text{Span } (w \# ufvs @ bvs)$ 
      using b(2) coeff-n0-imp-in-Span-others by simp
    with w-V ufvs-V bvs-V have  $\text{Span } (y \# ufvs @ bvs) \subseteq \text{Span } (w \# ufvs @ bvs)$ 
      using Span-replace-hd by simp
    with 1 show  $\text{Span } (us@vs) \subseteq \text{Span } (w \# ufvs @ bvs)$  by fast
  qed
  moreover from ufvs-V y-V bvs-V ufvs-def indep vs-decomp w-decomp len-acs
    b(2)
    have lin-independent (w # ufvs @ bvs)
      using lin-independent-replace1-by-lincomb[of ufvs y bvs acs b ds]
      by simp
  moreover have  $\text{set } (w \# (us@fvs) @ bvs) \subseteq \text{Span } (us@vs)$ 
  proof-
    from new-w have  $w \in \text{Span } (us@vs)$  by fast
    moreover from closed have  $\text{set } us \subseteq \text{Span } (us@vs)$ 
      using Span-contains-spanset-append-left by fast
    moreover from closed fvs-def have  $\text{set } fvs \subseteq \text{Span } (us@vs)$ 
      using Span-contains-spanset-append-right[of us] set-take-subset by fastforce
    moreover from closed bvs-def have  $\text{set } bvs \subseteq \text{Span } (us@vs)$ 
      using Span-contains-spanset-append-right[of us] set-drop-subset by fastforce
    ultimately show ?thesis by simp
  qed
  ultimately show ?thesis using ufvs-def vs-decomp by auto
  qed

```

lemma *replace-basis* :

assumes *closed*:  $\text{set } vs \subseteq V$  and *indep-vs*: *lin-independent* *vs*

**shows**  $\llbracket \text{length } us \leq \text{length } vs; \text{set } us \subseteq \text{Span } vs; \text{lin-independent } us \rrbracket$   
 $\implies \exists pvs. \text{length } pvs = \text{length } vs \wedge \text{set } pvs = \text{set } vs$   
 $\wedge \text{basis-for } (\text{Span } vs) (\text{take } (\text{length } vs) (us @ pvs))$

**proof** (*induct us*)  
**case** *Nil* **from** *closed indep-vs* **show** *?case*  
**using** *Span-contains-spanset[of vs]* **by** *fastforce*

**next**  
**case** (*Cons u us*)  
**from** *this* **obtain** *ppvs*  
**where** *ppvs*:  $\text{length } ppvs = \text{length } vs$   $\text{set } ppvs = \text{set } vs$   
 $\text{basis-for } (\text{Span } vs) (\text{take } (\text{length } vs) (us @ ppvs))$   
**using** *lin-independent-ConsD1[of u us]*  
**by** *auto*

**define** *fppvs bppvs*  
**where** *fppvs* =  $\text{take } (\text{length } vs - \text{length } us) ppvs$   
**and** *bppvs* =  $\text{drop } (\text{length } vs - \text{length } us) ppvs$

**with** *ppvs(1)* *Cons(2)*  
**have** *ppvs-decomp*:  $ppvs = fppvs @ bppvs$   
**and** *len-fppvs* :  $\text{length } fppvs = \text{length } vs - \text{length } us$   
**by** *auto*

**from** *closed Cons(3)* **have** *uus-V*:  $u \in V$   $\text{set } us \subseteq V$   
**using** *Span-closed* **by** *auto*

**from** *closed ppvs(2)* **have**  $\text{set } ppvs \subseteq V$  **by** *fast*

**with** *fppvs-def* **have** *fppvs-V*:  $\text{set } fppvs \subseteq V$  **using** *set-take-subset[of - ppvs]* **by**  
*fast*

**from** *fppvs-def Cons(2)*  
**have** *prev-basis-decomp*:  $\text{take } (\text{length } vs) (us @ ppvs) = us @ fppvs$   
**by** *auto*

**with** *Cons(3,4)* *ppvs(3)* *fppvs-V* *uus-V* **obtain** *xs y ys*  
**where** *xs-y-ys*:  $fppvs = xs @ y \# ys$   $\text{basis-for } (\text{Span } vs) (u \# us @ xs @ ys)$   
**using** *lin-independent-imp-hd-independent-from-Span*  
 $\text{replace-basis-one-step[of fppvs us u]}$   
**by** *auto*

**define** *pvs* **where**  $pvs = xs @ ys @ y \# bppvs$

**with** *xs-y-ys* *len-fppvs* *ppvs-decomp* *ppvs(1,2)*  
**have**  $\text{length } pvs = \text{length } vs$   $\text{set } pvs = \text{set } vs$   
 $\text{basis-for } (\text{Span } vs) (\text{take } (\text{length } vs) ((u \# us) @ pvs))$   
**using** *take-append[of length vs u \# us @ xs @ ys]*  
**by** *auto*

**thus** *?case* **by** *fast*

**qed**

**lemma** *replace-basis-completely* :

$\llbracket \text{set } vs \subseteq V; \text{lin-independent } vs; \text{length } us = \text{length } vs;$   
 $\text{set } us \subseteq \text{Span } vs; \text{lin-independent } us \rrbracket \implies \text{basis-for } (\text{Span } vs) us$   
**using** *replace-basis[of vs us]* **by** *auto*

**lemma** *basis-for-obtain-unique-scalars* :

$\text{basis-for } V vs \implies v \in V \implies \exists! as. \text{length } as = \text{length } vs \wedge v = as \cdot \cdot vs$



using *lin-independent-obtain-unique-scalars* by *fast*

**lemma** *add-unique-scalars* :

**assumes** *vs*: *basis-for V vs* **and** *v*:  $v \in V$  **and** *v'*:  $v' \in V$

**defines** *as*:  $as \equiv (THE\ ds.\ length\ ds = length\ vs \wedge v = ds \cdot\ vs)$

**and** *bs*:  $bs \equiv (THE\ ds.\ length\ ds = length\ vs \wedge v' = ds \cdot\ vs)$

**and** *cs*:  $cs \equiv (THE\ ds.\ length\ ds = length\ vs \wedge v+v' = ds \cdot\ vs)$

**shows**  $cs = [a+b.\ (a,b)\leftarrow zip\ as\ bs]$

**proof**–

**from** *vs v v' as bs*

**have** *as'*:  $length\ as = length\ vs \wedge v = as \cdot\ vs$

**and** *bs'*:  $length\ bs = length\ vs \wedge v' = bs \cdot\ vs$

**using** *basis-for-obtain-unique-scalars theI'*[

*of*  $\lambda ds.\ length\ ds = length\ vs \wedge v = ds \cdot\ vs$

]

*theI'*[*of*  $\lambda ds.\ length\ ds = length\ vs \wedge v' = ds \cdot\ vs$ ]

**by** *auto*

**have**  $length\ [a+b.\ (a,b)\leftarrow zip\ as\ bs] = length\ (zip\ as\ bs)$

**by** (*induct as bs rule: list-induct2'*) *auto*

**with** *vs as' bs'*

**have**  $length\ [a+b.\ (a,b)\leftarrow zip\ as\ bs]$

$= length\ vs \wedge v + v' = [a + b.\ (a,b)\leftarrow zip\ as\ bs] \cdot\ vs$

**using** *lincomb-sum*

**by** *auto*

**moreover from** *vs v v' have*  $\exists!\ ds.\ length\ ds = length\ vs \wedge v+v' = ds \cdot\ vs$

**using** *add-closed basis-for-obtain-unique-scalars by force*

**ultimately show** *?thesis using cs the1-equality by fast*

**qed**

**lemma** *smult-unique-scalars* :

**fixes** *a*::*f*

**assumes** *vs*: *basis-for V vs* **and** *v*:  $v \in V$

**defines** *as*:  $as \equiv (THE\ cs.\ length\ cs = length\ vs \wedge v = cs \cdot\ vs)$

**and** *bs*:  $bs \equiv (THE\ cs.\ length\ cs = length\ vs \wedge a \cdot\ v = cs \cdot\ vs)$

**shows**  $bs = map\ ((*)\ a)\ as$

**proof**–

**from** *vs v as* **have**  $length\ as = length\ vs \wedge v = as \cdot\ vs$

**using** *basis-for-obtain-unique-scalars theI'*[

*of*  $\lambda cs.\ length\ cs = length\ vs \wedge v = cs \cdot\ vs$

]

**by** *auto*

**with** *vs* **have**  $length\ (map\ ((*)\ a)\ as)$

$= length\ vs \wedge a \cdot\ v = (map\ ((*)\ a)\ as) \cdot\ vs$

**using** *smult-lincomb by auto*

**moreover from** *vs v* **have**  $\exists!\ cs.\ length\ cs = length\ vs \wedge a \cdot\ v = cs \cdot\ vs$

**using** *smult-closed basis-for-obtain-unique-scalars by fast*

**ultimately show** *?thesis using bs the1-equality by fast*

**qed**

**lemma** *max-lin-independent-set-in-Span* :

**assumes**  $set\ vs \subseteq V$   $set\ us \subseteq Span\ vs$   $vs$  *lin-independent*  $us$

**shows**  $length\ us \leq length\ vs$

**proof** (*cases us*)

**case** (*Cons x xs*)

**from** *assms(1)* *spanset-contains-basis*[of  $vs$ ] **obtain**  $bvs$

**where**  $bvs: set\ bvs \subseteq set\ vs$  *basis-for* ( $Span\ vs$ )  $bvs$

**by** *auto*

**with** *assms(1)* **have**  $len-bvs: length\ bvs \leq length\ vs$

**using** *lin-independent-imp-distinct*[of  $bvs$ ] *distinct-card finite-set*  
*card-mono*[of  $set\ vs\ set\ bvs$ ] *card-length*[of  $vs$ ]

**by** *fastforce*

**moreover** **have**  $length\ (x\#\ xs) > length\ bvs \implies \neg\ lin-independent\ (x\#\ xs)$

**proof**

**assume**  $A: length\ (x\#\ xs) > length\ bvs$  *lin-independent* ( $x\#\ xs$ )

**define**  $ws$  **where**  $ws = take\ (length\ bvs)\ xs$

**from** *Cons assms(1,2)* **have**  $xxs-V: x \in V\ set\ xs \subseteq V$

**using** *Span-closed* **by** *auto*

**from**  $ws-def\ A(1)$  **have**  $length\ ws = length\ bvs$  **by** *simp*

**moreover** **from** *Cons assms(2)*  $bvs(2)$   $ws-def$  **have**  $set\ ws \subseteq Span\ bvs$

**using** *set-take-subset* **by** *fastforce*

**ultimately** **have** *basis-for* ( $Span\ vs$ )  $ws$

**using**  $A(2)$   $ws-def\ assms(1)$   $bvs\ xxs-V$  *lin-independent-ConsD1*  
*lin-independent-imp-independent-take replace-basis-completely*[of  $bvs\ ws$ ]

**by** *force*

**with** *Cons assms(2)*  $ws-def\ A(2)$   $xxs-V$  **show** *False*

**using** *Span-contains-Span-take*[of  $xs$ ]  
*lin-independent-imp-hd-independent-from-Span*[of  $x\ xs$ ]

**by** *auto*

**qed**

**ultimately** **show** *?thesis* **using** *Cons assms(3)* **by** *fastforce*

**qed** *simp*

**lemma** *finrank-Span* :  $set\ vs \subseteq V \implies finrank\ (Span\ vs)$

**using** *max-lin-independent-set-in-Span finrankI* **by** *blast*

**end**

### 4.3 Finite dimensional spaces

**context** *VectorSpace*

**begin**

**lemma** *dim-eq-size-basis* :  $basis-for\ V\ vs \implies length\ vs = dim\ V$

**using** *max-lin-independent-set-in-Span*  
*Least-equality*  
of  $\lambda n::nat. \exists us. length\ us = n \wedge set\ us \subseteq V \wedge RSpan\ us = V\ length\ vs$   
]

**unfolding** *dim-R-def* **by** *fastforce*

**lemma** *finrank-imp-findim* :  
**assumes** *finrank V*  
**shows** *findim V*  
**proof**–  
**from** *assms* **obtain** *n*  
**where**  $\forall vs. \text{set } vs \subseteq V \wedge \text{lin-independent } vs \longrightarrow \text{length } vs \leq n$   
**using** *finrankD*  
**by** *fastforce*  
**moreover from** *build-lin-independent-seq*[of []] **obtain** *ws*  
**where**  $\text{set } ws \subseteq V \text{ lin-independent } ws \text{ Span } ws = V \vee \text{length } ws = \text{Suc } n$   
**by** *auto*  
**ultimately show** *?thesis* **by** *auto*  
**qed**

**lemma** *subspace-Span-is-findim* :  
 $\llbracket \text{set } vs \subseteq V; \text{Subspace } W; W \subseteq \text{Span } vs \rrbracket \Longrightarrow \text{findim } W$   
**using** *finrank-Span* *subspace-finrank*[of *Span vs W*] *SubspaceD1*[of *W*]  
*VectorSpace.finrank-imp-findim*  
**by** *auto*

**end**

**context** *FinDimVectorSpace*  
**begin**

**lemma** *Subspace-is-findim* : *Subspace U*  $\Longrightarrow$  *findim U*  
**using** *findim* *subspace-Span-is-findim* **by** *fast*

**lemma** *basis-ex* :  $\exists vs. \text{basis-for } V \text{ } vs$   
**using** *findim* *basis-for-Span-ex* **by** *auto*

**lemma** *lin-independent-length-le-dim* :  
 $\text{set } us \subseteq V \Longrightarrow \text{lin-independent } us \Longrightarrow \text{length } us \leq \text{dim } V$   
**using** *basis-ex* *max-lin-independent-set-in-Span* *dim-eq-size-basis*  
**by** *force*

**lemma** *too-long-lin-dependent* :  
 $\text{set } us \subseteq V \Longrightarrow \text{length } us > \text{dim } V \Longrightarrow \neg \text{lin-independent } us$   
**using** *lin-independent-length-le-dim* **by** *fastforce*

**lemma** *extend-lin-independent-to-basis* :  
**assumes**  $\text{set } us \subseteq V \text{ lin-independent } us$   
**shows**  $\exists vs. \text{basis-for } V (vs @ us)$   
**proof**–  
**define** *n* **where**  $n = \text{Suc } (\text{dim } V - \text{length } us)$   
**from** *assms* **obtain** *vs*  
**where**  $vs: \text{set } vs \subseteq V \text{ lin-independent } (vs @ us)$   
 $\text{Span } (vs @ us) = V \vee \text{length } vs = n$

**using** *build-lin-independent-seq*[of *us n*]  
**by** *fast*  
**with** *assms n-def* **show** *?thesis*  
**using** *set-append lin-independent-length-le-dim*[of *vs @ us*] **by** *auto*  
**qed**

**lemma** *extend-Subspace-basis* :  
 $U \subseteq V \implies \text{basis-for } U \text{ } us \implies \exists \text{ } vs. \text{ basis-for } V \text{ } (vs@us)$   
**using** *Span-contains-spanset extend-lin-independent-to-basis* **by** *fast*

**lemma** *Subspace-dim-le* :  
**assumes** *Subspace U*  
**shows**  $\dim U \leq \dim V$   
**using** *assms findim*  
**proof-**  
**from** *assms* **obtain** *us* **where** *basis-for U us*  
**using** *Subspace-is-findim SubspaceD1*  
 $\text{VectorSpace.FinDimVectorSpaceI}$ [of  $(\cdot)$  *U*]  
 $\text{FinDimVectorSpace.basis-ex}$ [of  $(\cdot)$  *U*]  
**by** *auto*  
**with** *assms* **show** *?thesis*  
**using** *RSpan-contains-spanset*[of *us*] *lin-independent-length-le-dim*[of *us*]  
 $\text{SubspaceD1 VectorSpace.dim-eq-size-basis}$ [of  $(\cdot)$  *U us*]  
**by** *auto*  
**qed**

**lemma** *Subspace-eqdim-imp-equal* :  
**assumes** *Subspace U dim U = dim V*  
**shows**  $U = V$   
**proof-**  
**from** *assms(1)* **obtain** *us* **where** *us: basis-for U us*  
**using** *Subspace-is-findim SubspaceD1*  
 $\text{VectorSpace.FinDimVectorSpaceI}$ [of  $(\cdot)$  *U*]  
 $\text{FinDimVectorSpace.basis-ex}$ [of  $(\cdot)$  *U*]  
**by** *auto*  
**with** *assms(1)* **obtain** *vs* **where** *vs: basis-for V (vs@us)*  
**using** *extend-Subspace-basis*[of *U us*] **by** *fast*  
**from** *assms us vs* **show** *?thesis*  
**using** *SubspaceD1 VectorSpace.dim-eq-size-basis*[of *smult U*]  
 $\text{dim-eq-size-basis}$ [of *vs@us*]  
**by** *auto*  
**qed**

**lemma** *Subspace-dim-lt* :  $\text{Subspace } U \implies U \neq V \implies \dim U < \dim V$   
**using** *Subspace-dim-le Subspace-eqdim-imp-equal* **by** *fastforce*

**lemma** *semisimple* :  
**assumes** *Subspace U*  
**shows**  $\exists W. \text{Subspace } W \wedge (V = W \oplus U)$

```

proof-
  from assms obtain us where us: basis-for U us
    using SubspaceD1 Subspace-is-findim VectorSpace.FinDimVectorSpaceI
      FinDimVectorSpace.basis-ex[of - U]
    by fastforce
  with assms obtain ws where basis: basis-for V (ws@us)
    using extend-Subspace-basis by fastforce
  hence ws-V: set ws ⊆ V and ind-ws-us: lin-independent (ws@us)
    and V-eq: V = Span (ws@us)
    by auto
  have V = Span ws ⊕ Span us
  proof (rule inner-dirsum-doubleI)
    from V-eq show V = Span ws + Span us using Span-append by fast
    from ws-V ind-ws-us show add-independentS [Span ws, Span us]
      using lin-independent-append-imp-independent-Spans by auto
  qed
  with us ws-V have Subspace (Span ws) ∧ V = (Span ws) ⊕ U
    using Subspace-Span by auto
  thus ?thesis by fast
qed

end

```

## 4.4 Vector space homomorphisms

### 4.4.1 Locales

```

locale VectorSpaceHom = ModuleHom smult V smult' T
  for smult :: 'f::field ⇒ 'v::ab-group-add ⇒ 'v (infixr · 70)
  and V :: 'v set
  and smult' :: 'f ⇒ 'w::ab-group-add ⇒ 'w (infixr ★ 70)
  and T :: 'v ⇒ 'w

```

```

sublocale VectorSpaceHom < VectorSpace ..

```

```

lemmas (in VectorSpace)

```

```

  VectorSpaceHomI = ModuleHomI[THEN VectorSpaceHom.intro]

```

```

lemma (in VectorSpace) VectorSpaceHomI-fromaxioms :

```

```

  assumes  $\bigwedge g g'. g \in V \implies g' \in V \implies T (g + g') = T g + T g'$ 
     $\text{supp } T \subseteq V$ 
     $\bigwedge r m. r \in UNIV \implies m \in V \implies T (r \cdot m) = \text{smult}' r (T m)$ 
  shows VectorSpaceHom smult V smult' T
  using assms
  by unfold-locales

```

```

locale VectorSpaceEnd = VectorSpaceHom smult V smult' T
  for smult :: 'f::field ⇒ 'v::ab-group-add ⇒ 'v (infixr · 70)
  and V :: 'v set
  and T :: 'v ⇒ 'v

```

+ **assumes** *endomorph*:  $ImG \subseteq V$

**abbreviation** (in *VectorSpace*)  $VEnd \equiv VectorSpaceEnd\ smult\ V$

**lemma** *VectorSpaceEndI* :

**fixes**  $smult :: 'f::field \Rightarrow 'v::ab-group-add \Rightarrow 'v$

**assumes** *VectorSpaceHom*  $smult\ V\ smult\ T\ T' \cdot V \subseteq V$

**shows** *VectorSpaceEnd*  $smult\ V\ T$

**by** (rule *VectorSpaceEnd.intro*, rule *assms(1)*, *unfold-locales*, rule *assms(2)*)

**lemma** (in *VectorSpaceEnd*) *VectorSpaceHom*: *VectorSpaceHom*  $smult\ V\ smult\ T$

..

**lemma** (in *VectorSpaceEnd*) *ModuleEnd* : *ModuleEnd*  $smult\ V\ T$

**using** *endomorph* *ModuleEnd.intro* **by** *unfold-locales*

**locale** *VectorSpaceIso* = *VectorSpaceHom*  $smult\ V\ smult'\ T$

**for**  $smult :: 'f::field \Rightarrow 'v::ab-group-add \Rightarrow 'v$  (**infixr**  $\cdot$  70)

**and**  $V :: 'v\ set$

**and**  $smult' :: 'f \Rightarrow 'w::ab-group-add \Rightarrow 'w$  (**infixr**  $\star$  70)

**and**  $T :: 'v \Rightarrow 'w$

+ **fixes**  $W :: 'w\ set$

**assumes** *bijjective*: *bij-betw*  $T\ V\ W$

**abbreviation** (in *VectorSpace*) *isomorphic* ::

$('f \Rightarrow 'w::ab-group-add \Rightarrow 'w) \Rightarrow 'w\ set \Rightarrow bool$

**where** *isomorphic*  $smult'\ W \equiv (\exists T. VectorSpaceIso\ smult\ V\ smult'\ T\ W)$

#### 4.4.2 Basic facts

**lemma** (in *VectorSpace*) *trivial-VectorSpaceHom* :

$(\bigwedge a. smult'\ a\ 0 = 0) \implies VectorSpaceHom\ smult\ V\ smult'\ 0$

**using** *trivial-RModuleHom*[of *smult'*] *ModuleHom.intro* *VectorSpaceHom.intro*

**by** *fast*

**lemma** (in *VectorSpace*) *VectorSpaceHom-idhom* :

*VectorSpaceHom*  $smult\ V\ smult\ (id \downarrow V)$

**using** *smult-zero* *RModHom-idhom* *ModuleHom.intro* *VectorSpaceHom.intro*

**by** *fast*

**context** *VectorSpaceHom*

**begin**

**lemmas** *hom* = *hom*

**lemmas** *supp* = *supp*

**lemmas** *f-map* = *R-map*

**lemmas** *im-zero* = *im-zero*

**lemmas** *im-sum-list-prod* = *im-sum-list-prod*

**lemmas** *additive* = *additive*

```

lemmas GroupHom      = GroupHom
lemmas distrib-lincomb = distrib-lincomb

lemmas same-image-on-spanset-imp-same-hom
  = same-image-on-RSpanset-imp-same-hom[
    OF ModuleHom.axioms(1), OF VectorSpaceHom.axioms(1)
  ]

lemma VectorSpace-Im : VectorSpace smult' ImG
  using RModule-Im VectorSpace.intro Module.intro by fast

lemma VectorSpaceHom-scalar-mul :
  VectorSpaceHom smult V smult' (λv. a ★ T v)
proof
  show  $\bigwedge v v'. v \in V \implies v' \in V \implies a \star T (v + v') = a \star T v + a \star T v'$ 
    using additive VectorSpace.smult-distrib-left[OF VectorSpace-Im] by simp
  have  $\bigwedge v. v \notin V \implies v \notin \text{supp } (\lambda v. a \star T v)$ 
  proof-
    fix v assume  $v \notin V$ 
    hence  $a \star T v = 0$ 
    using supp suppI-contr[of - T] codomain-smult-zero by fastforce
    thus  $v \notin \text{supp } (\lambda v. a \star T v)$  using suppD-contr by fast
  qed
  thus  $\text{supp } (\lambda v. a \star T v) \subseteq V$  by fast
  show  $\bigwedge c v. v \in V \implies a \star T (c \cdot v) = c \star a \star T v$ 
    using f-map VectorSpace.smult-assoc[OF VectorSpace-Im] by (simp add: field-simps)
qed

lemma VectorSpaceHom-composite-left :
  assumes  $\text{Im}G \subseteq W$  VectorSpaceHom smult' W smult'' S
  shows VectorSpaceHom smult V smult'' (S ○ T)
proof-
  have RModuleHom UNIV smult' W smult'' S
    using VectorSpaceHom.axioms(1)[OF assms(2)] ModuleHom.axioms(1)
    by fast
  with assms(1) have RModuleHom UNIV smult V smult'' (S ○ T)
    using RModHom-composite-left[of W] by fast
  thus ?thesis using ModuleHom.intro VectorSpaceHom.intro by fast
qed

lemma findim-domain-findim-image :
  assumes findim V
  shows fscalar-mult.findim smult' ImG
proof-
  from assms obtain vs where  $vs: \text{set } vs \subseteq V$  scalar-mult.Span smult vs = V
    by fast
  define ws where  $ws = \text{map } T \text{ vs}$ 
  with vs(1) have  $1: \text{set } ws \subseteq \text{Im}G$  by auto
  moreover have  $\text{Span } ws = \text{Im}G$ 

```

```

proof
  show  $\text{Span } ws \subseteq \text{Im}G$ 
    using 1 VectorSpace.Span-closed[OF VectorSpace-Im] by fast
  from vs ws-def show  $\text{Span } ws \supseteq \text{Im}G$ 
    using 1 SpanD-lincomb-arb-len-coeffs distrib-lincomb
      VectorSpace.SpanD-lincomb-arb-len-coeffs[OF VectorSpace-Im]
    by auto
  qed
  ultimately show ?thesis by fast
qed

end

lemma (in VectorSpace) basis-im-defines-hom :
  fixes smult' :: 'f  $\Rightarrow$  'w::ab-group-add  $\Rightarrow$  'w (infixr  $\star$  70)
  and lincomb' :: 'f list  $\Rightarrow$  'w list  $\Rightarrow$  'w (infixr  $\cdot\star$  70)
  defines lincomb' : lincomb'  $\equiv$  scalar-mult.lincomb smult'
  assumes VSpW : VectorSpace smult' W
  and basisV : basis-for V vs
  and basisV-im : set ws  $\subseteq$  W length ws = length vs
  shows  $\exists!$  T. VectorSpaceHom smult V smult' T  $\wedge$  map T vs = ws
proof (rule ex-ex1I)
  define T where T = restrict0 ( $\lambda v$ . (THE as. length as = length vs  $\wedge$  v = as  $\cdot\star$ 
vs)  $\cdot\star$  ws) V
  have VectorSpaceHom ( $\cdot$ ) V smult' T
  proof
    fix v v' assume vv': v  $\in$  V v'  $\in$  V
    with T-def lincomb' basisV basisV-im(1) show T (v + v') = T v + T v'
    using basis-for-obtain-unique-scalars theI'[
      of  $\lambda ds$ . length ds = length vs  $\wedge$  v = ds  $\cdot\star$  vs
    ]
    theI'[of  $\lambda ds$ . length ds = length vs  $\wedge$  v' = ds  $\cdot\star$  vs] add-closed
    add-unique-scalars VectorSpace.lincomb-sum[OF VSpW]
    by auto
  next
  from T-def show supp T  $\subseteq$  V using supp-restrict0 by fast
  next
  fix a v assume v: v  $\in$  V
  with basisV basisV-im(1) T-def lincomb' show T (a  $\cdot$  v) = a  $\star$  T v
  using smult-closed smult-unique-scalars VectorSpace.smult-lincomb[OF VSpW]
by auto
  qed
  moreover have map T vs = ws
  proof (rule nth-equalityI)
  from basisV-im(2) show length (map T vs) = length ws by simp
  have  $\bigwedge i$ . i < length (map T vs)  $\implies$  map T vs ! i = ws ! i
  proof–
  fix i assume i: i < length (map T vs)
  define zs where zs = (replicate (length vs) (0::'f))[i:=1]

```



**with** *basisV* *i* **have**  $\text{length } zs = \text{length } vs \wedge vs!i = zs \cdot vs$   
**using** *delta-scalars-lincomb-eq-nth* **by** *auto*  
**moreover from** *basisV* *i* **have**  $vs!i \in V$  **by** *auto*  
**ultimately show**  $(\text{map } T \text{ vs})!i = ws!i$   
**using** *basisV* *basisV-im* *T-def* *lincomb'* *zs-def* *i*  
*basis-for-obtain-unique-scalars*[*of vs vs!i*]  
*the1-equality*[*of λzs. length zs = length vs ∧ vs!i = zs · vs*]  
*VectorSpace.delta-scalars-lincomb-eq-nth*[*OF VSpW, of ws*]  
**by** *force*  
**qed**  
**thus**  $\bigwedge i. i < \text{length } (\text{map } T \text{ vs}) \implies \text{map } T \text{ vs } ! i = ws ! i$  **by** *fast*  
**qed**  
**ultimately have**  $\text{VectorSpaceHom } (\cdot) V \text{ smult}' T \wedge \text{map } T \text{ vs} = ws$  **by** *fast*  
**thus**  $\exists T. \text{VectorSpaceHom } (\cdot) V \text{ smult}' T \wedge \text{map } T \text{ vs} = ws$  **by** *fast*  
**next**  
**fix** *S* *T* **assume**  
 $\text{VectorSpaceHom } (\cdot) V \text{ smult}' S \wedge \text{map } S \text{ vs} = ws$   
 $\text{VectorSpaceHom } (\cdot) V \text{ smult}' T \wedge \text{map } T \text{ vs} = ws$   
**with** *basisV* **show**  $S = T$   
**using** *VectorSpaceHom.same-image-on-spanset-imp-same-hom* *map-eq-conv*  
**by** *fastforce*  
**qed**

### 4.4.3 Hom-sets

**definition** *VectorSpaceHomSet* ::  
 $(f :: \text{field} \implies 'v :: \text{ab-group-add} \implies 'v) \text{ set} \implies (f \implies 'w :: \text{ab-group-add} \implies 'w)$   
 $\implies 'w \text{ set} \implies ('v \implies 'w) \text{ set}$   
**where**  $\text{VectorSpaceHomSet } fsmult' V fsmult' W$   
 $\equiv \{T. \text{VectorSpaceHom } fsmult' V fsmult' T\} \cap \{T. T ' V \subseteq W\}$

**abbreviation** (in *VectorSpace*)  $\text{VectorSpaceEndSet} \equiv \{S. V \text{End } S\}$

**lemma** *VectorSpaceHomSetI* :  
 $\text{VectorSpaceHom } fsmult' V fsmult' T \implies T ' V \subseteq W$   
 $\implies T \in \text{VectorSpaceHomSet } fsmult' V fsmult' W$   
**unfolding** *VectorSpaceHomSet-def* **by** *fast*

**lemma** *VectorSpaceHomSetD-VectorSpaceHom* :  
 $T \in \text{VectorSpaceHomSet } fsmult' V fsmult' N$   
 $\implies \text{VectorSpaceHom } fsmult' V fsmult' T$   
**unfolding** *VectorSpaceHomSet-def* **by** *fast*

**lemma** *VectorSpaceHomSetD-Im* :  
 $T \in \text{VectorSpaceHomSet } fsmult' V fsmult' W \implies T ' V \subseteq W$   
**unfolding** *VectorSpaceHomSet-def* **by** *fast*

**context** *VectorSpace*  
**begin**

**lemma** *VectorSpaceHomSet-is-fmaps-in-GroupHomSet* :

**fixes** *smult'* :: 'f ⇒ 'w::ab-group-add ⇒ 'w (**infixr** ★ 70)

**shows** *VectorSpaceHomSet smult V smult' W*  
 $= (\text{GroupHomSet } V \ W) \cap \{T. \forall a. \forall v \in V. T (a \cdot v) = a \star (T v)\}$

**proof**

**show** *VectorSpaceHomSet smult V smult' W*  
 $\subseteq (\text{GroupHomSet } V \ W) \cap \{T. \forall a. \forall v \in V. T (a \cdot v) = a \star (T v)\}$

**using** *VectorSpaceHomSetD-VectorSpaceHom[of - smult]*  
*VectorSpaceHomSetD-Im[of - smult]*  
*VectorSpaceHom.GroupHom[of smult] GroupHomSetI[of V - W]*  
*VectorSpaceHom.f-map[of smult]*

**by** *fastforce*

**show** *VectorSpaceHomSet smult V smult' W*  
 $\supseteq (\text{GroupHomSet } V \ W) \cap \{T. \forall a. \forall v \in V. T (a \cdot v) = a \star (T v)\}$

**proof**

**fix** *T* **assume** *T*:  $T \in (\text{GroupHomSet } V \ W)$   
 $\cap \{T. \forall a. \forall v \in V. T (a \cdot v) = a \star (T v)\}$

**have** *VectorSpaceHom smult V smult' T*

**proof** (*rule VectorSpaceHom.intro, rule ModuleHom.intro, rule RModuleHom.intro*)

**show** *RModule UNIV (·) V ..*

**from** *T* **show** *GroupHom V T* **using** *GroupHomSetD-GroupHom* **by** *fast*

**from** *T* **show** *RModuleHom-axioms UNIV smult V smult' T*

**by** *unfold-locales fast*

**qed**

**with** *T* **show**  $T \in \text{VectorSpaceHomSet } smult \ V \ smult' \ W$

**using** *GroupHomSetD-Im[of T] VectorSpaceHomSetI* **by** *fastforce*

**qed**

**qed**

**lemma** *Group-VectorSpaceHomSet* :

**fixes** *smult'* :: 'f ⇒ 'w::ab-group-add ⇒ 'w (**infixr** ★ 70)

**assumes** *VectorSpace smult' W*

**shows** *Group (VectorSpaceHomSet smult V smult' W)*

**proof**

**show** *VectorSpaceHomSet smult V smult' W ≠ {}*

**using** *VectorSpace.smult-zero[OF assms] VectorSpace.zero-closed[OF assms]*  
*trivial-VectorSpaceHom[of smult'] VectorSpaceHomSetI*

**by** *fastforce*

**next**

**fix** *S T*

**assume** *S*:  $S \in \text{VectorSpaceHomSet } smult \ V \ smult' \ W$

**and** *T*:  $T \in \text{VectorSpaceHomSet } smult \ V \ smult' \ W$

**from** *S T*

**have** *ST*:  $S \in (\text{GroupHomSet } V \ W)$   
 $\cap \{T. \forall a. \forall v \in V. T (a \cdot v) = a \star (T v)\}$   
 $T \in (\text{GroupHomSet } V \ W) \cap \{T. \forall a. \forall v \in V. T (a \cdot v) = a \star (T v)\}$

**using** *VectorSpaceHomSet-is-fmaps-in-GroupHomSet*

**by** *auto*

**hence**  $S - T \in \text{GroupHomSet } V \ W$   
**using**  $\text{VectorSpace.AbGroup}[OF \ \text{assms}] \ \text{Group-GroupHomSet} \ \text{Group.diff-closed}$   
**by**  $\text{fast}$   
**moreover have**  $\bigwedge a \ v. \ v \in V \implies (S - T) (a \cdot v) = a \star ((S - T) v)$   
**proof-**  
**fix**  $a \ v$  **assume**  $v \in V$   
**with**  $ST$  **show**  $(S - T) (a \cdot v) = a \star ((S - T) v)$   
**using**  $\text{GroupHomSetD-Im}$   
 $\text{VectorSpace.smult-distrib-left-diff}[OF \ \text{assms}, \ \text{of } a \ S \ v \ T \ v]$   
**by**  $\text{fastforce}$   
**qed**  
**ultimately show**  $S - T \in \text{VectorSpaceHomSet } (\cdot) \ V \ (\star) \ W$   
**using**  $\text{VectorSpaceHomSet-is-fmaps-in-GroupHomSet}[of \ \text{smult}' \ W]$  **by**  $\text{fast}$   
**qed**

**lemma**  $\text{VectorSpace-VectorSpaceHomSet}$  :  
**fixes**  $\text{smult}' \ :: 'f \Rightarrow 'w :: \text{ab-group-add} \Rightarrow 'w$  (**infixr**  $\star$  70)  
**and**  $\text{hom-smult} \ :: 'f \Rightarrow ('v \Rightarrow 'w) \Rightarrow ('v \Rightarrow 'w)$  (**infixr**  $\star$  70)  
**defines**  $\text{hom-smult}: \text{hom-smult} \equiv \lambda a \ T \ v. \ a \star T \ v$   
**assumes**  $VSpW: \text{VectorSpace} \ \text{smult}' \ W$   
**shows**  $\text{VectorSpace} \ \text{hom-smult} \ (\text{VectorSpaceHomSet} \ \text{smult}' \ V \ \text{smult}' \ W)$   
**proof** ( $\text{rule } \text{VectorSpace.intro}, \ \text{rule } \text{Module.intro}, \ \text{rule } \text{RModule.intro}, \ \text{rule } \text{R-scalar-mult}$ )

**from**  $VSpW$  **show**  $\text{Group} \ (\text{VectorSpaceHomSet} \ (\cdot) \ V \ (\star) \ W)$   
**using**  $\text{Group-VectorSpaceHomSet}$  **by**  $\text{fast}$

**show**  $\text{RModule-axioms} \ \text{UNIV} \ \text{hom-smult} \ (\text{VectorSpaceHomSet} \ (\cdot) \ V \ (\star) \ W)$   
**proof**  
**fix**  $a \ b \ S \ T$   
**assume**  $S: S \in \text{VectorSpaceHomSet} \ (\cdot) \ V \ (\star) \ W$   
**and**  $T: T \in \text{VectorSpaceHomSet} \ (\cdot) \ V \ (\star) \ W$   
**show**  $a \star T \in \text{VectorSpaceHomSet} \ (\cdot) \ V \ (\star) \ W$   
**proof** ( $\text{rule } \text{VectorSpaceHomSetI}$ )  
**from**  $\text{assms } T$  **show**  $\text{VectorSpaceHom} \ (\cdot) \ V \ (\star) \ (a \star T)$   
**using**  $\text{VectorSpaceHomSetD-VectorSpaceHom} \ \text{VectorSpaceHomSetD-Im}$   
 $\text{VectorSpaceHom.VectorSpaceHom-scalar-mul}$   
**by**  $\text{fast}$   
**from**  $\text{hom-smult}$  **show**  $(a \star T) ' V \subseteq W$   
**using**  $\text{VectorSpaceHomSetD-Im}[OF \ T] \ \text{VectorSpace.smult-closed}[OF \ VSpW]$   
**by**  $\text{auto}$   
**qed**

**show**  $a \star (S + T) = a \star S + a \star T$   
**proof**  
**fix**  $v$  **from**  $\text{assms}$  **show**  $(a \star (S + T)) v = (a \star S + a \star T) v$   
**using**  $\text{VectorSpaceHomSetD-Im}[OF \ S] \ \text{VectorSpaceHomSetD-Im}[OF \ T]$   
 $\text{VectorSpace.smult-distrib-left}[OF \ VSpW, \ \text{of } a \ S \ v \ T \ v]$   
 $\text{VectorSpaceHomSetD-VectorSpaceHom}[OF \ S]$   
 $\text{VectorSpaceHomSetD-VectorSpaceHom}[OF \ S]$

```

      VectorSpaceHom.supp suppI-contr[of v S] suppI-contr[of v T]
      VectorSpace.smult-zero
    by fastforce
  qed

show (a + b) ★· T = a ★· T + b ★· T
proof
  fix v from assms show ((a + b) ★· T) v = (a ★· T + b ★· T) v
  using VectorSpaceHom.SetD-Im[OF T] VectorSpace.smult-distrib-right
        VectorSpaceHom.SetD-VectorSpaceHom[OF T] VectorSpaceHom.supp
        suppI-contr[of v] VectorSpace.smult-zero
  by fastforce
  qed

show a ★· b ★· T = (a * b) ★· T
proof
  fix v from assms show (a ★· b ★· T) v = ((a * b) ★· T) v
  using VectorSpaceHom.SetD-Im[OF T] VectorSpace.smult-assoc
        VectorSpaceHom.SetD-VectorSpaceHom[OF T]
        VectorSpaceHom.supp suppI-contr[of v]
        VectorSpace.smult-zero[OF VSpW, of b]
        VectorSpace.smult-zero[OF VSpW, of a]
        VectorSpace.smult-zero[OF VSpW, of a * b]
  by fastforce
  qed

show 1 ★· T = T
proof
  fix v from assms T show (1 ★· T) v = T v
  using VectorSpaceHom.SetD-Im VectorSpace.one-smult
        VectorSpaceHom.SetD-VectorSpaceHom VectorSpaceHom.supp
        suppI-contr[of v] VectorSpace.smult-zero
  by fastforce
  qed

qed
qed

end

```

#### 4.4.4 Basic facts about endomorphisms

**lemma** *ModuleEnd-over-field-is-VectorSpaceEnd* :

**fixes**  $smult :: 'f::field \Rightarrow 'v::ab-group-add \Rightarrow 'v$

**assumes** *ModuleEnd smult V T*

**shows** *VectorSpaceEnd smult V T*

**proof** (*rule VectorSpaceEndI, rule VectorSpaceHom.intro*)

**from** *assms* **show** *ModuleHom smult V smult T*

**using** *ModuleEnd.axioms(1)* **by** *fast*

**from** *assms* **show**  $T \cdot V \subseteq V$  **using** *ModuleEnd.endomorph* **by** *fast*  
**qed**

**context** *VectorSpace*  
**begin**

**lemmas** *VectorSpaceEnd-inner-dirsum-el-decomp-nth* =  
*RModuleEnd-inner-dirsum-el-decomp-nth*[  
*THEN RModuleEnd-over-UNIV-is-ModuleEnd*,  
*THEN ModuleEnd-over-field-is-VectorSpaceEnd*  
]

**abbreviation** *end-smult* ::  $'f \Rightarrow ('v \Rightarrow 'v) \Rightarrow ('v \Rightarrow 'v)$  (**infixr**  $\cdot$  70)  
**where**  $a \cdot T \equiv (\lambda v. a \cdot T v)$

**abbreviation** *end-lincomb*  
::  $'f \text{ list} \Rightarrow (('v \Rightarrow 'v) \text{ list}) \Rightarrow ('v \Rightarrow 'v)$  (**infixr**  $\cdots$  70)  
**where** *end-lincomb*  $\equiv$  *scalar-mult.lincomb end-smult*

**lemma** *end-smult0*:  $a \cdot 0 = 0$   
**using** *smult-zero* **by** *auto*

**lemma** *end-0smult*:  $\text{range } T \subseteq V \implies 0 \cdot T = 0$   
**using** *zero-smult* **by** *fastforce*

**lemma** *end-smult-distrib-left* :  
**assumes**  $\text{range } S \subseteq V$   $\text{range } T \subseteq V$   
**shows**  $a \cdot (S + T) = a \cdot S + a \cdot T$   
**proof**  
**fix**  $v$  **from** *assms* **show**  $(a \cdot (S + T)) v = (a \cdot S + a \cdot T) v$   
**using** *smult-distrib-left*[*of a S v T v*] **by** *fastforce*  
**qed**

**lemma** *end-smult-distrib-right* :  
**assumes**  $\text{range } T \subseteq V$   
**shows**  $(a+b) \cdot T = a \cdot T + b \cdot T$   
**proof**  
**fix**  $v$  **from** *assms* **show**  $((a+b) \cdot T) v = (a \cdot T + b \cdot T) v$   
**using** *smult-distrib-right*[*of a b T v*] **by** *fastforce*  
**qed**

**lemma** *end-smult-assoc* :  
**assumes**  $\text{range } T \subseteq V$   
**shows**  $a \cdot b \cdot T = (a * b) \cdot T$   
**proof**  
**fix**  $v$  **from** *assms* **show**  $(a \cdot b \cdot T) v = ((a * b) \cdot T) v$   
**using** *smult-assoc*[*of a b T v*] **by** *fastforce*  
**qed**

**lemma** *end-smult-comp-comm-left* :  $(a \cdot T) \circ S = a \cdot (T \circ S)$   
**by** *auto*

**lemma** *end-idhom* :  $V\text{End}(id \downarrow V)$   
**by** (*rule* *VectorSpaceEnd.intro*, *rule* *VectorSpaceHom-idhom*, *unfold-locales*) *auto*

**lemma** *VectorSpaceEndSet-is-VectorSpaceHomSet* :  
 $VectorSpaceHomSet\ smult\ V\ smult\ V = \{T. V\text{End}\ T\}$

**proof**

**show**  $VectorSpaceHomSet\ smult\ V\ smult\ V \subseteq \{T. V\text{End}\ T\}$   
**using** *VectorSpaceHomSetD-VectorSpaceHom* *VectorSpaceHomSetD-Im*  
*VectorSpaceEndI*

**by** *fast*

**show**  $VectorSpaceHomSet\ smult\ V\ smult\ V \supseteq \{T. V\text{End}\ T\}$

**using** *VectorSpaceEnd.VectorSpaceHom[of smult V]*  
*VectorSpaceEnd.endomorph[of smult V]*  
*VectorSpaceHomSetI[of smult V smult - V]*

**by** *fast*

**qed**

**lemma** *VectorSpace-VectorSpaceEndSet* :  $VectorSpace\ end-smult\ VectorSpaceEndSet$

**using** *VectorSpace-axioms* *VectorSpace-VectorSpaceHomSet*  
*VectorSpaceEndSet-is-VectorSpaceHomSet*

**by** *fastforce*

**end**

**context** *VectorSpaceEnd*

**begin**

**lemmas** *f-map* = *R-map*  
**lemmas** *supp* = *supp*  
**lemmas** *GroupEnd* = *ModuleEnd.GroupEnd[OF ModuleEnd]*  
**lemmas** *idhom-left* = *idhom-left*  
**lemmas** *range* = *GroupEnd.range[OF GroupEnd]*  
**lemmas** *Ker0-imp-inj-on* = *Ker0-imp-inj-on*  
**lemmas** *inj-on-imp-Ker0* = *inj-on-imp-Ker0*  
**lemmas** *nonzero-Ker-el-imp-n-inj* = *nonzero-Ker-el-imp-n-inj*  
**lemmas** *VectorSpaceHom-composite-left*  
= *VectorSpaceHom-composite-left[OF endomorph]*

**lemma** *in-VEndSet* :  $T \in VectorSpaceEndSet$

**using** *VectorSpaceEnd-axioms* **by** *fast*

**lemma** *end-smult-comp-comm-right* :

$range\ S \subseteq V \implies T \circ (a \cdot S) = a \cdot (T \circ S)$

**using** *f-map* **by** *fastforce*

```

lemma VEnd-end-smult-VEnd : VEnd (a · T)
  using in-VEndSet VectorSpace.smult-closed[OF VectorSpace-VectorSpaceEndSet]
  by fast

lemma VEnd-composite-left :
  assumes VEnd S
  shows VEnd (S ◦ T)
  using endomorph VectorSpaceEnd.axioms(1)[OF assms] VectorSpaceHom-composite-left
    VectorSpaceEnd.endomorph[OF assms] VectorSpaceEndI[of smult V S ◦ T]
  by fastforce

lemma VEnd-composite-right : VEnd S  $\implies$  VEnd (T ◦ S)
  using VectorSpaceEnd-axioms VectorSpaceEnd.VEnd-composite-left by fast

end

lemma (in VectorSpace) inj-comp-end :
  assumes VEnd S inj-on S V VEnd T inj-on T V
  shows inj-on (S ◦ T) V
proof-
  have ker (S ◦ T) ∩ V ⊆ 0
  proof
    fix v assume v ∈ ker (S ◦ T) ∩ V
    moreover hence T v = 0 using kerD[of v S ◦ T]
    using VectorSpaceEnd.endomorph[OF assms(3)] kerI[of S]
      VectorSpaceEnd.inj-on-imp-Ker0[OF assms(1,2)]
    by auto
    ultimately show v ∈ 0
    using kerI[of T] VectorSpaceEnd.inj-on-imp-Ker0[OF assms(3,4)] by auto
  qed
  with assms(1,3) show ?thesis
  using VectorSpaceEnd.VEnd-composite-right VectorSpaceEnd.Ker0-imp-inj-on
  by fast
qed

lemma (in VectorSpace) n-inj-comp-end :
  [ VEnd S; VEnd T; ¬ inj-on (S ◦ T) V ]  $\implies$   $\neg inj-on S V \vee \neg inj-on T V$ 
  using inj-comp-end by fast

```

#### 4.4.5 Polynomials of endomorphisms

```

context VectorSpaceEnd
begin

```

```

primrec endpow :: nat  $\Rightarrow$  (v  $\Rightarrow$  v)
  where endpow0: endpow 0 = id ↓ V
  | endpowSuc: endpow (Suc n) = T ◦ (endpow n)

```

```

definition polymap :: f poly  $\Rightarrow$  (v  $\Rightarrow$  v)

```

**where**  $\text{polymap } p \equiv (\text{coeffs } p) \cdots (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)])$

**lemma**  $\text{VEnd-endpow} : \text{VEnd } (\text{endpow } n)$   
**proof** (*induct*  $n$ )  
**case**  $0$  **show** *?case* **using** *end-idhom* **by** *simp*  
**next**  
**case** ( $\text{Suc } k$ )  
**moreover** **have**  $\text{VEnd } T \dots$   
**ultimately** **have**  $\text{VEnd } (T \circ (\text{endpow } k))$  **using** *VEnd-composite-right* **by** *fast*  
**moreover** **have**  $\text{endpow } (\text{Suc } k) = T \circ (\text{endpow } k)$  **by** *simp*  
**ultimately** **show**  $\text{VEnd } (\text{endpow } (\text{Suc } k))$  **by** *simp*  
**qed**

**lemma** *endpow-list-apply-closed* :  
 $v \in V \implies \text{set } (\text{map } (\lambda S. S \ v) (\text{map } \text{endpow } [0..<k])) \subseteq V$   
**using** *VEnd-endpow VectorSpaceEnd.endomorph* **by** *fastforce*

**lemma** *map-endpow-Suc* :  
 $\text{map } \text{endpow } [0..<\text{Suc } n] = (\text{id} \downarrow V) \# \text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<n])$   
**proof** (*induct*  $n$ )  
**case** ( $\text{Suc } k$ )  
**hence**  $\text{map } \text{endpow } [0..<\text{Suc } (\text{Suc } k)] = \text{id} \downarrow V$   
 $\# \text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<k]) @ \text{map } ((\circ) \ T) [\text{endpow } k]$   
**by** *auto*  
**also** **have**  $\dots = \text{id} \downarrow V \# \text{map } ((\circ) \ T) (\text{map } \text{endpow } ([0..<\text{Suc } k]))$  **by** *simp*  
**finally** **show** *?case* **by** *fast*  
**qed** *simp*

**lemma** *T-endpow-list-apply-commute* :  
 $\text{map } T (\text{map } (\lambda S. S \ v) (\text{map } \text{endpow } [0..<n]))$   
 $= \text{map } (\lambda S. S \ v) (\text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<n]))$   
**by** (*induct*  $n$ ) *auto*

**lemma** *polymap0* :  $\text{polymap } 0 = 0$   
**using** *polymap-def scalar-mult.lincomb-Nil* **by** *force*

**lemma** *VEnd-polymap* :  $\text{VEnd } (\text{polymap } p)$   
**proof**–  
**have**  $\text{set } (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)]) \subseteq \{S. \ \text{VEnd } S\}$   
**using** *VEnd-endpow* **by** *auto*  
**thus** *?thesis*  
**using** *polymap-def VectorSpace-VectorSpaceEndSet VectorSpace.lincomb-closed*  
**by** *fastforce*  
**qed**

**lemma** *polymap-pCons* :  $\text{polymap } (p\text{Cons } a \ p) = a \cdot (\text{id} \downarrow V) + (T \circ (\text{polymap } p))$   
**proof** *cases*  
**assume**  $p: p = 0$   
**show** *?thesis*



```

proof cases
  assume  $a = 0$  with  $p$  show ?thesis
  using polymap0 VectorSpace-VectorSpaceEndSet VectorSpace.zero-smult end-idhom
    comp-zero
  by fastforce
next
  assume  $a: a \neq 0$ 
  define  $zmap$  where  $zmap = (0::'v \Rightarrow 'v)$ 
  from  $a$   $p$  have  $polymap (pCons a p) = a \cdot (endpow 0)$ 
    using polymap-def scalar-mult.lincomb-singles by simp
  moreover have  $a \cdot (id \downarrow V) + (T \circ (polymap p)) = a \cdot (id \downarrow V)$ 
    using  $p$  polymap0 comp-zero by simp
  ultimately show ?thesis by simp
qed
next
  assume  $p \neq 0$ 
  hence  $polymap (pCons a p)$ 
     $= (a \# (coeffs p)) \cdots (map endpow [0..<Suc (Suc (degree p))])$ 
    using polymap-def by simp
  also have  $\dots = (a \# (coeffs p))$ 
     $\cdots ((id \downarrow V) \# map ((\circ) T) (map endpow [0..<Suc (degree p)]))$ 
    using map-endpow-Suc[of Suc (degree p)] by fastforce
  also have  $\dots = a \cdot (id \downarrow V) + (coeffs p)$ 
     $\cdots (map ((\circ) T) (map endpow [0..<Suc (degree p)]))$ 
    using scalar-mult.lincomb-Cons by simp
  also have  $\dots = a \cdot (id \downarrow V) + (\sum (c,S)$ 
     $\leftarrow zip (coeffs p) (map ((\circ) T) (map endpow [0..<Suc (degree p)]))$ 
     $c \cdot S)$ 
    using scalar-mult.lincomb-def by simp
  finally have calc:
     $polymap (pCons a p) = a \cdot (id \downarrow V)$ 
     $+ (\sum (c,k) \leftarrow zip (coeffs p) [0..<Suc (degree p)]. c \cdot (T \circ (endpow k)))$ 
    using sum-list-prod-map2[
      of  $\lambda c S. c \cdot S$  coeffs p ( $\circ$ ) T map endpow [0..<Suc (degree p)]
    ]
    sum-list-prod-map2[
      of  $\lambda c S. c \cdot (T \circ S)$  coeffs p endpow [0..<Suc (degree p)]
    ]
    by simp
  show ?thesis
proof
  fix  $v$  show  $polymap (pCons a p) v = ((a \cdot (id \downarrow V)) + (T \circ (polymap p))) v$ 
  proof (cases v \in V)
    case True
    with calc
      have  $polymap (pCons a p) v = a \cdot v + (\sum (c,k)$ 
         $\leftarrow zip (coeffs p) [0..<Suc (degree p)]. c \cdot T (endpow k v))$ 
        using sum-list-prod-fun-apply[of  $\lambda c k. c \cdot (T \circ (endpow k))]$  by simp
      hence  $polymap (pCons a p) v = a \cdot v + (coeffs p) \cdot (map T$ 

```

```

      (map (λS. S v) (map endpow [0..<Suc (degree p)])))
using sum-list-prod-map2[
  of λc S. c · T (S v) coeffs p endpow [0..<Suc (degree p)]
]
sum-list-prod-map2[
  of λc u. c · T u coeffs p λS. S v map endpow [0..<Suc (degree p)]
]
sum-list-prod-map2[
  of λc u. c · u coeffs p T
  map (λS. S v) (map endpow [0..<Suc (degree p)])
]
lincomb-def
by simp
also from True
have ... = a · v + T ( coeffs p
  · (map (λS. S v) (map endpow [0..<Suc (degree p)])) )
using endpow-list-apply-closed[of v Suc (degree p)] distrib-lincomb
by simp
finally show ?thesis
using True lincomb-def
sum-list-prod-map2[
  of λc u. c · u coeffs p λS. S v map endpow [0..<Suc (degree p)]
]
sum-list-prod-fun-apply[of λc S. c · S] polymap-def
scalar-mult.lincomb-def[of end-smult]
by simp
next
case False
hence polymap (pCons a p) v = 0
using VEnd-polymap VectorSpaceEnd.supp suppI-contr by fast
moreover from False have ((a · (id↓V)) + (T ∘ (polymap p))) v = 0
using smult-zero VEnd-polymap[of p] VectorSpaceEnd.supp suppI-contr
im-zero
by fastforce
ultimately show ?thesis by simp
qed
qed
qed

lemma polymap-plus : polymap (p + q) = polymap p + polymap q
proof (induct p q rule: pCons-induct2)
case 00 show ?case using polymap0 by simp
case lpCons show ?case using polymap0 by simp
case rpCons show ?case using polymap0 by simp
next
case (pCons2 a p b q)
have polymap (pCons a p + pCons b q) = a · (id↓V) + b · (id↓V)
+ (T ∘ (polymap (p+q)))
using polymap-pCons end-idhom end-smult-distrib-right[OF VectorSpaceEnd.range]

```

```

    by simp
  also from pCons2(3)
    have ... = a .. (id↓V) + b .. (id↓V) + (T ∘ (polymap p + polymap q))
    by auto
  finally show ?case
    using pCons2(3) distrib-comp-sum-left[of polymap p polymap q] VEnd-polymap
      VectorSpaceEnd.range polymap-pCons
    by fastforce
qed

```

**lemma** *polymap-polysmult* :  $\text{polymap } (\text{Polynomial.smult } a \ p) = a \cdot \text{polymap } p$

**proof** (*induct p*)

case 0 **show**  $\text{polymap } (\text{Polynomial.smult } a \ 0) = a \cdot \text{polymap } 0$

using *polymap0 end-smult0* **by** *simp*

**next**

case (*pCons b p*)

**hence**  $\text{polymap } (\text{Polynomial.smult } a \ (\text{pCons } b \ p))$

$= a \cdot b \cdot (\text{id}\downarrow V) + a \cdot (T \circ \text{polymap } p)$

**using** *polymap-pCons VectorSpaceEnd.range[OF VEnd-polymap]*

*end-smult-comp-comm-right VectorSpaceEnd.range[OF end-idhom] end-smult-assoc*

**by** *simp*

**thus**  $\text{polymap } (\text{Polynomial.smult } a \ (\text{pCons } b \ p)) = a \cdot (\text{polymap } (\text{pCons } b \ p))$

**using** *VectorSpaceEnd.VEnd-end-smult-VEnd[OF end-idhom, of b]*

*VEnd-composite-right[OF VEnd-polymap, of p]*

*end-smult-distrib-left[*

*OF VectorSpaceEnd.range VectorSpaceEnd.range,*

*of smult - smult T ∘ polymap p*

*]*

*polymap-pCons*

**by** *simp*

**qed**

**lemma** *polymap-times* :  $\text{polymap } (p * q) = (\text{polymap } p) \circ (\text{polymap } q)$

**proof** (*induct p*)

case 0 **show** ?case **using** *polymap0* **by** *auto*

**next**

case (*pCons a p*)

**have**  $\text{polymap } (\text{pCons } a \ p * q) = a \cdot \text{polymap } q + (T \circ (\text{polymap } (p * q)))$

**using** *polymap-plus polymap-polysmult polymap-pCons end-idhom*

*end-0smult[OF VectorSpaceEnd.range]*

**by** *simp*

**also from** *pCons(2)*

**have**  $\dots = a \cdot ((\text{id}\downarrow V) \circ \text{polymap } q) + (T \circ \text{polymap } p \circ \text{polymap } q)$

**using** *VectorSpaceEnd.endomorph[OF VEnd-polymap]*

*VectorSpaceEnd.idhom-left[OF VEnd-polymap]*

**by** *auto*

**finally show**  $\text{polymap } (\text{pCons } a \ p * q) = (\text{polymap } (\text{pCons } a \ p)) \circ (\text{polymap } q)$

**using** *end-smult-comp-comm-left*

*distrib-comp-sum-right[of a .. id ↓ V - polymap q]*

```

      polymap-pCons
    by simp
  qed

lemma polymap-apply :
  assumes  $v \in V$ 
  shows  $\text{polymap } p \ v = (\text{coeffs } p) \cdot (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)])$ 
proof (induct p)
  case 0 show ?case
    using lincomb-Nil scalar-mult.lincomb-Nil[of - - end-smult] polymap-def
    by simp
  next
  case (pCons a p)
  show ?case
  proof (cases p = 0)
    case True
    moreover with pCons(1) have  $\text{polymap } (pCons \ a \ p) = a \cdot \text{id} \downarrow V$ 
      using polymap-pCons polymap0 comp-zero by simp
    ultimately show ?thesis using assms pCons(1) lincomb-singles by simp
  next
  case False
  from assms pCons(2)
  have  $\text{polymap } (pCons \ a \ p) \ v = a \cdot v + T (\text{coeffs } p \cdot \text{map } (\lambda S. S \ v) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)]))$ 
    using polymap-pCons by simp
  with assms pCons(1)
  have 1:  $\text{polymap } (pCons \ a \ p) \ v = (\text{coeffs } (pCons \ a \ p)) \cdot (v \# \text{map } T (\text{map } (\lambda S. S \ v) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)])))$ 
    using endpow-list-apply-closed[of v Suc (degree p)] distrib-lincomb lincomb-Cons
    by auto
  have 2:  $\text{map } T (\text{map } (\lambda S. S \ v) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)])) = \text{map } (\lambda S. S \ v) (\text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)]))$ 
    using T-endpow-list-apply-commute[of v Suc (degree p)] by simp
  from 1 2
  have  $\text{polymap } (pCons \ a \ p) \ v = (\text{coeffs } (pCons \ a \ p)) \cdot (v \# \text{map } (\lambda S. S \ v) (\text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)])))$ 
    using subst[
      OF 2, of  $\lambda x. \text{polymap } (pCons \ a \ p) \ v = (\text{coeffs } (pCons \ a \ p)) \cdot (v \# x)$ 
    ]
    by simp
  with assms
  have 3:  $\text{polymap } (pCons \ a \ p) \ v = (\text{coeffs } (pCons \ a \ p)) \cdot (\text{map } (\lambda S. S \ v) (\text{id} \downarrow V \# \text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)])))$ 
    by simp
  from False pCons(1)
  have 4:  $\text{id} \downarrow V \# \text{map } ((\circ) \ T) (\text{map } \text{endpow } [0..<\text{Suc } (\text{degree } p)]) = \text{map } \text{endpow } [0..<\text{Suc } (\text{degree } (pCons \ a \ p))]$ 

```

```

    using map-endpow-Suc[of Suc (degree p), THEN sym]
  by simp
from 3 show ?thesis
  using subst[
    OF 4,
    of  $\lambda x. \text{polymap } (p\text{Cons } a \ p) \ v$ 
      = (coeffs (pCons a p))  $\cdot\cdot$  (map ( $\lambda S. S \ v$ ) x)
  ]
  by simp
qed
qed

```

**lemma** *polymap-apply-linear* :  $v \in V \implies \text{polymap } [:-c, 1:] \ v = T \ v - c \cdot v$   
 using *polymap-apply lincomb-def neg-smult endomorph* **by** *auto*

**lemma** *polymap-const-inj* :  
 assumes *degree p = 0 p  $\neq$  0*  
 shows *inj-on (polymap p) V*  
**proof** (*rule inj-onI*)  
 fix  $u \ v$  **assume**  $uv: u \in V \ v \in V \ \text{polymap } p \ u = \text{polymap } p \ v$   
 from *assms* **have**  $p: \text{coeffs } p = [\text{coeff } p \ 0]$  **unfolding** *coeffs-def* **by** *simp*  
 from *uv assms* **have**  $(\text{coeff } p \ 0) \cdot u = (\text{coeff } p \ 0) \cdot v$   
 using *polymap-apply lincomb-singles* **unfolding** *coeffs-def* **by** *simp*  
 with *assms uv(1,2)* **show**  $u = v$   
 using *const-poly-nonzero-coeff cancel-scalar* **by** *auto*  
**qed**

**lemma** *n-inj-polymap-times* :  
 $\neg \text{inj-on } (\text{polymap } (p * q)) \ V$   
 $\implies \neg \text{inj-on } (\text{polymap } p) \ V \vee \neg \text{inj-on } (\text{polymap } q) \ V$   
 using *polymap-times VEnd-polymap n-inj-comp-end* **by** *fastforce*

In the following lemma,  $[:-c, 1::'a:]$  is the linear polynomial  $x - c$ .

**lemma** *n-inj-polymap-findlinear* :  
 assumes *alg-closed:  $\bigwedge p::'f \ \text{poly. degree } p > 0 \implies \exists c. \text{poly } p \ c = 0$*   
 shows  $p \neq 0 \implies \neg \text{inj-on } (\text{polymap } p) \ V$   
 $\implies \exists c. \neg \text{inj-on } (\text{polymap } [:-c, 1:]) \ V$   
**proof** (*induct n  $\equiv$  degree p arbitrary: p*)  
 case ( $0 \ p$ ) **thus** *?case* **using** *polymap-const-inj* **by** *simp*  
**next**  
 case (*Suc n p*)  
 from *Suc(2) alg-closed* **obtain**  $c$  **where**  $c: \text{poly } p \ c = 0$  **by** *fastforce*  
**define**  $q$  **where**  $q = \text{synthetic-div } p \ c$   
 with  $c$  **have** *p-decomp:  $p = [:-c, 1:] * q$*   
 using *synthetic-div-correct'[of c p]* **by** *simp*  
**show** *?case*  
**proof** (*cases inj-on (polymap q) V*)  
 case *True* with *Suc(4)* **show** *?thesis*  
 using *p-decomp n-inj-polymap-times* **by** *fast*

```

next
  case False
  then have  $n = \text{degree } q$ 
    using degree-synthetic-div [of p c] q-def (Suc n = degree p)
    by auto
  moreover have  $q \neq 0$ 
    using  $(p \neq 0)$  p-decomp
    by auto
  ultimately show ?thesis
    using False
    by (rule Suc.hyps)
qed
qed
end

```

#### 4.4.6 Existence of eigenvectors of endomorphisms of finite-dimensional vector spaces

```

lemma (in FinDimVectorSpace) endomorph-has-eigenvector :
  assumes alg-closed:  $\bigwedge p::'a \text{ poly. degree } p > 0 \implies \exists c. \text{poly } p \ c = 0$ 
  and dim :  $\text{dim } V > 0$ 
  and endo : VectorSpaceEnd smult V T
  shows  $\exists c \ u. u \in V \wedge u \neq 0 \wedge T \ u = c \cdot u$ 
proof-
  define Tpolymap where Tpolymap = VectorSpaceEnd.polymap smult V T
  from dim obtain v where  $v \in V \ v \neq 0$ 
    using dim-nonzero nonempty by auto
  define Tpows where Tpows = map (VectorSpaceEnd.endpow V T) [0..<Suc (dim V)]
  define Tpows-v where Tpows-v = map ( $\lambda S. S \ v$ ) Tpows
  with endo Tpows-def v(1) have Tpows-v-V:  $\text{set } Tpows-v \subseteq V$ 
    using VectorSpaceEnd.endpow-list-apply-closed by fast
  moreover from Tpows-v-def Tpows-def Tpows-v-V have  $\neg \text{lin-independent } Tpows-v$ 
    using too-long-lin-dependent by simp
  ultimately obtain as
    where as:  $\text{set } as \neq \emptyset \ \text{length } as = \text{length } Tpows-v \ \text{as} \cdot Tpows-v = 0$ 
    using lin-dependent-dependence-relation
    by fast
  define p where p = Poly as
  with dim Tpows-def Tpows-v-def as(1,2) have p-n0:  $p \neq 0$ 
    using nonzero-coeffs-nonzero-poly[of as] by fastforce
  define Tpows' where Tpows' = map (VectorSpaceEnd.endpow V T) [0..<Suc (degree p)]
  define Tpows-v' where Tpows-v' = map ( $\lambda S. S \ v$ ) Tpows'
  have Tpows' = take (Suc (degree p)) Tpows
proof-
  from Tpows-def

```

```

have 1: take (Suc (degree p)) Tpows = map (VectorSpaceEnd.endpow V T)
      (take (Suc (degree p)) [0..using take-map[of - - [0..by simp
from p-n0 p-def as(2) Tpows-v-def Tpows-def
have 2: take (Suc (degree p)) [0..using length-coeffs-degree[of p] length-strip-while-le[of (=) 0 as]
      take-upt[of 0 Suc (degree p) Suc (dim V)]
by simp
from 1 Tpows'-def have take (Suc (degree p)) Tpows = Tpows'
using subst[OF 2] by fast
thus ?thesis by simp
qed
with Tpows-v-def Tpows-v'-def have Tpows-v' = take (Suc (degree p)) Tpows-v
using take-map[of - λS. S v Tpows] by simp
moreover from p-def Tpows-v-V as(3) Tpows-v'-def have (coeffs p) · Tpows-v
= 0
using lincomb-strip-while-0coeffs by simp
ultimately have (coeffs p) · Tpows-v' = 0
using p-n0 lincomb-conv-take-right[of coeffs p] length-coeffs-degree[of p] by simp
with Tpolymap-def v(1) Tpows-v'-def Tpows'-def have Tpolymap p v = 0
using VectorSpaceEnd.polymap-apply[OF endo] by simp
with alg-closed Tpolymap-def v endo p-n0 obtain c
where ¬ inj-on (Tpolymap [-c, 1:]) V
using VectorSpaceEnd.VEnd-polymap VectorSpaceEnd.nonzero-Ker-el-imp-n-inj
      VectorSpaceEnd.n-inj-polymap-findlinear[OF endo]
by fastforce
with Tpolymap-def have (GroupHom.Ker V (Tpolymap [-c, 1:])) - 0 ≠ {}
using VectorSpaceEnd.VEnd-polymap[OF endo] VectorSpaceEnd.Ker0-imp-inj-on
by fast
from this obtain u where u ∈ V Tpolymap [-c, 1:] u = 0 u ≠ 0
using kerD by fastforce
with Tpolymap-def show ?thesis
using VectorSpaceEnd.polymap-apply-linear[OF endo] by auto
qed

```

## 5 Modules Over a Group Ring

### 5.1 Almost-everywhere-zero functions as scalars

**locale** aezfun-scalar-mult = scalar-mult smult

**for** smult ::

(*r*::ring-1, *g*::group-add) aezfun ⇒ *v*::ab-group-add ⇒ *v* (**infixr** · 70)

**begin**

**definition** fsmult :: *r* ⇒ *v* ⇒ *v* (**infixr** †· 70) **where** a †· v ≡ (a δδ 0) · v

**abbreviation** flincomb :: *r* list ⇒ *v* list ⇒ *v* (**infixr** ·†· 70)

**where** as ·†· vs ≡ scalar-mult.lincomb fsmult as vs

**abbreviation** f-lin-independent :: *v* list ⇒ bool

**where**  $f\text{-lin-independent} \equiv \text{scalar-mult.lin-independent fsmult}$   
**abbreviation**  $fSpan :: 'v \text{ list} \Rightarrow 'v \text{ set}$  **where**  $fSpan \equiv \text{scalar-mult.Span fsmult}$   
**definition**  $Gmult :: 'g \Rightarrow 'v \Rightarrow 'v$  (**infixr**  $*$  70) **where**  $g * v \equiv (1 \ \delta\delta \ g) \cdot v$

**lemmas**  $R\text{-scalar-mult} = R\text{-scalar-mult}$

**lemma**  $fsmultD : a \# \cdot v = (a \ \delta\delta \ 0) \cdot v$   
**unfolding**  $fsmult\text{-def}$  **by**  $fast$

**lemma**  $GmultD : g * v = (1 \ \delta\delta \ g) \cdot v$   
**unfolding**  $Gmult\text{-def}$  **by**  $fast$

**definition**  $negGorbit\text{-list} :: 'g \text{ list} \Rightarrow ('a \Rightarrow 'v) \Rightarrow 'a \text{ list} \Rightarrow 'v \text{ list list}$   
**where**  $negGorbit\text{-list gs T as} \equiv \text{map } (\lambda g. \text{map } (Gmult \ (-g) \circ T) \ as) \ gs$

**lemma**  $negGorbit\text{-Cons} :$   
 $negGorbit\text{-list } (g\#gs) \ T \ as$   
 $= (\text{map } (Gmult \ (-g) \circ T) \ as) \# negGorbit\text{-list } gs \ T \ as$   
**using**  $negGorbit\text{-list-def[of - T as]}$  **by**  $simp$

**lemma**  $length\text{-negGorbit-list} : length \ (negGorbit\text{-list } gs \ T \ as) = length \ gs$   
**using**  $negGorbit\text{-list-def[of gs T]}$  **by**  $simp$

**lemma**  $length\text{-negGorbit-list-sublist} :$   
 $fs \in \text{set } (negGorbit\text{-list } gs \ T \ as) \Longrightarrow length \ fs = length \ as$   
**using**  $negGorbit\text{-list-def[of gs T]}$  **by**  $auto$

**lemma**  $length\text{-concat-negGorbit-list} :$   
 $length \ (\text{concat } (negGorbit\text{-list } gs \ T \ as)) = (length \ gs) * (length \ as)$   
**using**  $length\text{-concat[of negGorbit-list gs T as]}$   
 $length\text{-negGorbit-list-sublist[of - gs T as]}$   
 $const\text{-sum-list[of negGorbit-list gs T as length length as]}$   $length\text{-negGorbit-list}$   
**by**  $auto$

**lemma**  $negGorbit\text{-list-nth} :$   
 $\bigwedge i. i < length \ gs \Longrightarrow (negGorbit\text{-list } gs \ T \ as)!i = \text{map } (Gmult \ (-gs!i) \circ T) \ as$   
**proof** ( $induct \ gs$ )  
**case** ( $Cons \ g \ gs$ ) **thus**  $?case$  **using**  $negGorbit\text{-Cons[of - - T]}$  **by** ( $cases \ i$ )  $auto$   
**qed**  $simp$

**end**

## 5.2 Locale and basic facts

**locale**  $FGModule = ActingGroup? : Group \ G$   
 $+ \ FGMod? : RModule \ ActingGroup.group\text{-ring} \ smult \ V$   
**for**  $G :: 'g :: \text{group-add set}$   
**and**  $smult :: ('f :: \text{field}, 'g) \text{ aezfun} \Rightarrow 'v :: \text{ab-group-add} \Rightarrow 'v$  (**infixr**  $\cdot$  70)  
**and**  $V :: 'v \text{ set}$



**sublocale**  $FGModule < aezfun\text{-}scalar\text{-}mult$  **proof– qed**

**lemma** (in  $Group$ )  $trivial\text{-}FGModule$  :  
  **fixes**  $smult :: ('f::field, 'g) aezfun \Rightarrow 'v::ab\text{-}group\text{-}add \Rightarrow 'v$   
  **assumes**  $smult\text{-}zero: \forall a \in group\text{-}ring. smult\ a\ (0::'v) = 0$   
  **shows**  $FGModule\ G\ smult\ (0::'v\ set)$   
**proof** (rule  $FGModule.intro$ )  
  **from**  $assms$  **show**  $RModule\ group\text{-}ring\ smult\ 0$   
  **using**  $Ring1\text{-}RG\ trivial\text{-}RModule$  **by fast**  
**qed** ( $unfold\text{-}locales$ )

**context**  $FGModule$   
**begin**

**abbreviation**  $FG :: ('f, 'g) aezfun\ set$  **where**  $FG \equiv ActingGroup.group\text{-}ring$   
**abbreviation**  $FGSubmodule \equiv RSubmodule$   
**abbreviation**  $FG\text{-}proj \equiv ActingGroup.RG\text{-}proj$

**lemma**  $GroupG: Group\ G ..$

**lemmas**  $zero\text{-}closed = zero\text{-}closed$   
**lemmas**  $neg\text{-}closed = neg\text{-}closed$   
**lemmas**  $diff\text{-}closed = diff\text{-}closed$   
**lemmas**  $zero\text{-}smult = zero\text{-}smult$   
**lemmas**  $smult\text{-}zero = smult\text{-}zero$   
**lemmas**  $AbGroup = AbGroup$   
**lemmas**  $sum\text{-}closed = AbGroup.sum\text{-}closed[OF\ AbGroup]$   
**lemmas**  $FGSubmoduleI = RSubmoduleI$   
**lemmas**  $FG\text{-}proj\text{-}mult\text{-}leftdelta = ActingGroup.RG\text{-}proj\text{-}mult\text{-}leftdelta$   
**lemmas**  $FG\text{-}proj\text{-}mult\text{-}right = ActingGroup.RG\text{-}proj\text{-}mult\text{-}right$   
**lemmas**  $FG\text{-}el\text{-}decomp = ActingGroup.RG\text{-}el\text{-}decomp\text{-}aezdeltafun$

**lemma**  $FG\text{-}n0: FG \neq 0$  **using**  $ActingGroup.RG\text{-}n0$  **by fast**

**lemma**  $FG\text{-}proj\text{-}in\text{-}FG : FG\text{-}proj\ x \in FG$   
  **using**  $ActingGroup.RG\text{-}proj\text{-}in\text{-}RG$  **by fast**

**lemma**  $FG\text{-}fddg\text{-}closed : g \in G \Longrightarrow a\ \delta\delta\ g \in FG$   
  **using**  $ActingGroup.RG\text{-}aezdeltafun\text{-}closed$  **by fast**

**lemma**  $FG\text{-}fdd0\text{-}closed : a\ \delta\delta\ 0 \in FG$   
  **using**  $ActingGroup.RG\text{-}aezdelta0fun\text{-}closed$  **by fast**

**lemma**  $Gmult\text{-}closed : g \in G \Longrightarrow v \in V \Longrightarrow g * \cdot v \in V$   
  **using**  $FG\text{-}fddg\text{-}closed\ smult\text{-}closed\ GmultD$  **by simp**

**lemma**  $map\text{-}Gmult\text{-}closed :$   
   $g \in G \Longrightarrow set\ vs \subseteq V \Longrightarrow set\ (map\ ((*\cdot)\ g)\ vs) \subseteq V$

using *Gmult-def FG-fddg-closed map-smult-closed*[of 1  $\delta\delta$  *g vs*] **by auto**

**lemma** *Gmult0* :

**assumes**  $v \in V$

**shows**  $0 * v = v$

**proof**–

**have**  $0 * v = (1 \delta\delta 0) \cdot v$  **using** *GmultD* **by fast**

**moreover have**  $1 \delta\delta 0 = (1::('f,'g) \text{ aezfun})$  **using** *one-aezfun-transfer* **by fast**

**ultimately have**  $0 * v = (1::('f,'g) \text{ aezfun}) \cdot v$  **by simp**

**with** *assms* **show** *?thesis* **using** *one-smult* **by simp**

**qed**

**lemma** *Gmult-assoc* :

**assumes**  $g \in G$   $h \in G$   $v \in V$

**shows**  $g * h * v = (g + h) * v$

**proof**–

**define**  $n$  **where**  $n = (1::'f)$

**with** *assms* **have**  $g * h * v = ((n \delta\delta g) * (n \delta\delta h)) \cdot v$

**using** *FG-fddg-closed GmultD* **by simp**

**moreover from** *n-def* **have**  $n \delta\delta g * (n \delta\delta h) = n \delta\delta (g + h)$

**using** *times-aezdeltafun-aezdeltafun*[of  $n$   $g$   $n$   $h$ ] **by simp**

**ultimately show** *?thesis* **using** *n-def GmultD* **by simp**

**qed**

**lemma** *Gmult-distrib-left* :

$\llbracket g \in G; v \in V; v' \in V \rrbracket \implies g * (v + v') = g * v + g * v'$

**using** *GmultD FG-fddg-closed* **by simp**

**lemma** *neg-Gmult* :  $g \in G \implies v \in V \implies g * (-v) = -(g * v)$

**using** *GmultD FG-fddg-closed smult-neg* **by simp**

**lemma** *Gmult-neg-left* :  $g \in G \implies v \in V \implies (-g) * g * v = v$

**using** *ActingGroup.neg-closed Gmult-assoc*[of  $-g$   $g$ ] *Gmult0* **by simp**

**lemma** *fddg-smult-decomp* :  $g \in G \implies v \in V \implies (f \delta\delta g) \cdot v = f \# \cdot g * v$

**using** *aezdeltafun-decomp*[of  $f$   $g$ ] *FG-fddg-closed FG-fdd0-closed GmultD*

*fsmult-def*

**by** *simp*

**lemma** *sum-list-aezdeltafun-smult-distrib* :

**assumes**  $v \in V$  *set (map snd fgs)  $\subseteq G$*

**shows**  $(\sum (f,g) \leftarrow fgs. f \delta\delta g) \cdot v = (\sum (f,g) \leftarrow fgs. f \# \cdot g * v)$

**proof**–

**from** *assms*(2) **have** *set (map (case-prod aezdeltafun) fgs)  $\subseteq FG$*

**using** *FG-fddg-closed* **by auto**

**with** *assms*(1) **have**  $(\sum (f,g) \leftarrow fgs. f \delta\delta g) \cdot v = (\sum (f,g) \leftarrow fgs. (f \delta\delta g) \cdot v)$

**using** *sum-list-prod-map-smult-distrib* **by auto**

**also have**  $\dots = (\sum (f,g) \leftarrow fgs. f \# \cdot g * v)$

**using** *assms fddg-smult-decomp*

$sum\text{-}list\text{-}prod\text{-}cong[of\ fgs\ \lambda\ f\ g.\ (f\ \delta\delta\ g) \cdot v\ \lambda\ f\ g.\ f\ \#\cdot\ g\ *\cdot\ v]$   
 by *fastforce*  
 finally show ?thesis by fast  
 qed

abbreviation  $GSubspace \equiv RSubmodule$   
 abbreviation  $GSpan \equiv RSpan$   
 abbreviation  $G\text{-}fingen \equiv R\text{-}fingen$

lemma  $GSubspaceI : FGModule\ G\ smult\ U \implies U \subseteq V \implies GSubspace\ U$   
 using  $FGModule.axioms(2)$  by fast

lemma  $GSubspace\text{-}is\text{-}FGModule :$   
 assumes  $GSubspace\ U$   
 shows  $FGModule\ G\ smult\ U$   
 proof (rule  $FGModule.intro$ , rule  $GroupG$ )  
 from *assms* show  $RModule\ FG\ (\cdot)\ U$  by fast  
 qed (*unfold-locales*)

lemma  $restriction\text{-}to\text{-}subgroup\text{-}is\text{-}module :$   
 fixes  $H :: 'g\ set$   
 assumes  $subgrp : Group.Subgroup\ G\ H$   
 shows  $FGModule\ H\ smult\ V$   
 proof (rule  $FGModule.intro$ )  
 from *subgrp* show  $Group\ H$  by fast  
 from *assms* show  $RModule\ (Group.group\text{-}ring\ H)\ (\cdot)\ V$   
 using  $ActingGroup.Subgroup\text{-}imp\text{-}Subring\ SModule\text{-}restrict\text{-}scalars$  by fast  
 qed

lemma  $negGorbit\text{-}list\text{-}V :$   
 assumes  $set\ gs \subseteq G\ T\ \text{'}\ (set\ as) \subseteq V$   
 shows  $set\ (concat\ (negGorbit\text{-}list\ gs\ T\ as)) \subseteq V$   
 proof–  
 from *assms(2)*  
 have  $set\ (concat\ (negGorbit\text{-}list\ gs\ T\ as)) \subseteq (\bigcup_{g \in set\ gs} (Gmult\ (-g))\ \text{'}\ V)$   
 using  $set\text{-}concat\ negGorbit\text{-}list\text{-}def[of\ gs\ T\ as]$   
 by *force*  
 moreover from *assms(1)* have  $\bigwedge g.\ g \in set\ gs \implies (Gmult\ (-g))\ \text{'}\ V \subseteq V$   
 using  $ActingGroup.neg\text{-}closed\ Gmult\text{-}closed$  by fast  
 ultimately show ?thesis by fast  
 qed

lemma  $negGorbit\text{-}list\text{-}Cons0 :$   
 $T\ \text{'}\ (set\ as) \subseteq V$   
 $\implies negGorbit\text{-}list\ (0\#\gs)\ T\ as = (map\ T\ as) \#\ (negGorbit\text{-}list\ gs\ T\ as)$   
 using  $negGorbit\text{-}Cons[of\ 0\ gs\ T\ as]\ Gmult0$  by auto

end

### 5.3 Modules over a group ring as a vector spaces

context *FGModule*

begin

lemma *fVectorSpace* : *VectorSpace fsmult V*

proof (rule *VectorSpaceI, unfold-locales*)

fix *a* :: '*f* show  $\bigwedge v. v \in V \implies a \# \cdot v \in V$

using *fsmult-def smult-closed FG-fdd0-closed* by *simp*

next

fix *a* :: '*f* show  $\bigwedge u v. u \in V \implies v \in V \implies a \# \cdot (u + v) = a \# \cdot u + a \# \cdot v$

using *fsmult-def FG-fdd0-closed* by *simp*

next

fix *a b* :: '*f* and *v* :: '*v* assume *v*: *v* ∈ *V*

have  $(a+b) \# \cdot v = (a \ \delta\delta \ 0 + b \ \delta\delta \ 0) \cdot v$

using *aezdeltafun-plus[of a b 0] arg-cong[of - - λr. r · v] fsmult-def* by *fastforce*

with *v* show  $(a+b) \# \cdot v = a \# \cdot v + b \# \cdot v$

using *fsmult-def FG-fdd0-closed* by *simp*

next

fix *a b* :: '*f* show  $\bigwedge v. v \in V \implies a \# \cdot (b \# \cdot v) = (a * b) \# \cdot v$

using *times-aezdeltafun-aezdeltafun[of a 0 b 0] arg-cong fsmult-def FG-fdd0-closed*  
by *simp*

next

fix *v* :: '*v* assume *v* ∈ *V* thus  $1 \# \cdot v = v$

using *one-aezfun-transfer arg-cong[of 1 δδ 0 1 λa. a · v] fsmult-def* by *fastforce*

qed

abbreviation *fSubspace*  $\equiv$  *VectorSpace.Subspace fsmult V*

abbreviation *fbasis-for*  $\equiv$  *fscalar-mult.basis-for fsmult*

abbreviation *fdim*  $\equiv$  *scalar-mult.dim fsmult V*

lemma *VectorSpace-fSubspace* : *fSubspace W*  $\implies$  *VectorSpace fsmult W*

using *Module.intro VectorSpace.intro* by *fast*

lemma *fsmult-closed* : *v* ∈ *V*  $\implies$  *a* # · *v* ∈ *V*

using *FG-fdd0-closed smult-closed fsmult-def* by *simp*

lemmas *one-fsmult* [simp] = *VectorSpace.one-smult* [OF *fVectorSpace*]

lemmas *fsmult-assoc* [simp] = *VectorSpace.smult-assoc* [OF *fVectorSpace*]

lemmas *fsmult-zero* [simp] = *VectorSpace.smult-zero* [OF *fVectorSpace*]

lemmas *fsmult-distrib-left* [simp] = *VectorSpace.smult-distrib-left*  
[OF *fVectorSpace*]

lemmas *flincomb-closed* = *VectorSpace.lincomb-closed* [OF *fVectorSpace*]

lemmas *fsmult-sum-distrib* = *VectorSpace.smult-sum-distrib* [OF *fVectorSpace*]

lemmas *sum-fsmult-distrib* = *VectorSpace.sum-smult-distrib* [OF *fVectorSpace*]

lemmas *flincomb-concat* = *VectorSpace.lincomb-concat* [OF *fVectorSpace*]

lemmas *fSpan-closed* = *VectorSpace.Span-closed* [OF *fVectorSpace*]

lemmas *flin-independentD-all-scalars*

= *VectorSpace.lin-independentD-all-scalars*[OF *fVectorSpace*]

lemmas *in-fSpan-obtain-same-length-coeffs*

$= \text{VectorSpace.in-Span-obtain-same-length-coeffs [OF fVectorSpace]}$

**lemma** *fsmult-smult-comm* :  $r \in FG \implies v \in V \implies a \cdot r \cdot v = r \cdot a \cdot v$   
**using** *fsmultD FG-fdd0-closed smult-assoc aezdeltafun-commutes[of r]* **by** *simp*

**lemma** *fsmult-Gmult-comm* :  $g \in G \implies v \in V \implies a \cdot g \cdot v = g \cdot a \cdot v$   
**using** *aezdeltafun-decomp[of a g] aezdeltafun-decomp'[of a g] FG-fddg-closed FG-fdd0-closed fsmult-def GmultD*  
**by** *simp*

**lemma** *Gmult-flincomb-comm* :  
**assumes**  $g \in G$  *set*  $vs \subseteq V$   
**shows**  $g \cdot \text{as} \cdot vs = \text{as} \cdot (map (Gmult g) vs)$

**proof**–

**have**  $g \cdot \text{as} \cdot vs = (1 \delta \delta g) \cdot (\sum (a,v) \leftarrow zip \text{as } vs. a \cdot v)$

**using** *Gmult-def scalar-mult.lincomb-def[of fsmult]* **by** *simp*

**with** *assms* **have**  $g \cdot \text{as} \cdot vs$

$= \text{sum-list } (map ((\cdot) (1 \delta \delta g) \circ (\lambda(x,y). x \cdot y)) (zip \text{as } vs))$

**using** *set-zip-rightD fsmult-closed FG-fddg-closed[of g 1::'f]*

$\text{smult-sum-list-distrib[of } 1 \delta \delta g \text{ map (case-prod } (\cdot)) (zip \text{as } vs)]$

$\text{map-map[of } (\cdot) (1 \delta \delta g) \text{ case-prod } (\cdot) \text{ zip as vs]}$

**by** *fastforce*

**moreover** **have**  $(\cdot) (1 \delta \delta g) \circ (\lambda(x,y). x \cdot y) = (\lambda(x,y). (1 \delta \delta g) \cdot (x \cdot y))$

**by** *auto*

**ultimately** **have**  $g \cdot \text{as} \cdot vs = \text{sum-list } (map (\lambda(x,y). g \cdot x \cdot y) (zip \text{as } vs))$

**using** *Gmult-def* **by** *simp*

**moreover** **from** *assms* **have**  $\forall (x,y) \in \text{set } (zip \text{as } vs). g \cdot x \cdot y = x \cdot g \cdot y$

**using** *set-zip-rightD fsmult-Gmult-comm* **by** *fastforce*

**ultimately** **have**  $g \cdot \text{as} \cdot vs$

$= \text{sum-list } (map (\lambda(x,y). x \cdot y) (zip \text{as } (map (Gmult g) vs)))$

**using** *sum-list-prod-cong sum-list-prod-map2[of  $\lambda x y. x \cdot y$  as *Gmult g*]*

**by** *force*

**thus** *?thesis* **using** *scalar-mult.lincomb-def[of fsmult]* **by** *simp*

**qed**

**lemma** *GSubspace-is-Subspace* :

$GSubspace U \implies \text{VectorSpace.Subspace fsmult } V U$

**using** *GSubspace-is-FGModule FGModule.fVectorSpace VectorSpace.axioms Module.axioms*

**by** *fast*

**end**

## 5.4 Homomorphisms of modules over a group ring

### 5.4.1 Locales

**locale** *FGModuleHom = ActingGroup?*: *Group G*

+ *RModHom?*: *RModuleHom ActingGroup.group-ring smult V smult' T*

```

for G      :: 'g::group-add set
and smult  :: ('f::field, 'g) aezfun => 'v::ab-group-add => 'v (infixr · 70)
and V      :: 'v set
and smult' :: ('f, 'g) aezfun => 'w::ab-group-add => 'w (infixr ★ 70)
and T      :: 'v => 'w

```

**sublocale** *FGModuleHom* < *FGModule* ..

```

lemma (in FGModule) FGModuleHomI-fromaxioms :
  assumes  $\bigwedge v v'. v \in V \implies v' \in V \implies T (v + v') = T v + T v'$ 
          $\text{supp } T \subseteq V \bigwedge r m. r \in FG \implies m \in V \implies T (r \cdot m) = \text{smult}' r (T m)$ 
  shows  FGModuleHom G smult V smult' T
  using  assms
  by     unfold-locales

```

```

locale FGModuleEnd = FGModuleHom G smult V smult' T
  for G      :: 'g::group-add set
  and FG     :: ('f::field, 'g) aezfun set
  and smult  :: ('f, 'g) aezfun => 'v::ab-group-add => 'v (infixr · 70)
  and V      :: 'v set
  and T      :: 'v => 'v
+ assumes endomorph:  $\text{Im } G \subseteq V$ 

```

```

locale FGModuleIso = FGModuleHom G smult V smult' T
  for G      :: 'g::group-add set
  and smult  :: ('f::field, 'g) aezfun => 'v::ab-group-add => 'v (infixr · 70)
  and V      :: 'v set
  and smult' :: ('f, 'g) aezfun => 'w::ab-group-add => 'w (infixr ★ 70)
  and T      :: 'v => 'w
+ fixes W    :: 'w set
  assumes bijective: bij-betw T V W

```

```

abbreviation (in FGModule) isomorphic ::
  (('f, 'g) aezfun => 'w::ab-group-add => 'w) => 'w set => bool
  where isomorphic smult' W  $\equiv (\exists T. \text{FGModuleIso } G \text{ smult } V \text{ smult}' T W)$ 

```

## 5.4.2 Basic facts

**context** *FGModule*  
**begin**

```

lemma trivial-FGModuleHom :
  assumes  $\bigwedge r. r \in FG \implies \text{smult}' r 0 = 0$ 
  shows  FGModuleHom G smult V smult' 0
proof (rule FGModuleHom.intro)
  from  assms show RModuleHom FG (·) V smult' 0
        using trivial-RModuleHom by auto
qed (unfold-locales)

```

**lemma** *FGModuleHom-idhom* : *FGModuleHom* *G smult V smult (id↓V)*  
**proof** (*rule FGModuleHom.intro*)  
 show *RModuleHom FG smult V smult (id↓V)* **using** *RModuleHom-idhom* **by fast**  
**qed** (*unfold-locales*)

**lemma** *VecHom-GMap-is-FGModuleHom* :  
**fixes** *smult'* :: (*f, 'g*) *aezfun*  $\Rightarrow$  *w::ab-group-add*  $\Rightarrow$  *w* (**infixr**  $\star$  70)  
**and** *fsmult'* :: *f*  $\Rightarrow$  *w*  $\Rightarrow$  *w* (**infixr**  $\#$  70)  
**and** *Gmult'* :: *g*  $\Rightarrow$  *w*  $\Rightarrow$  *w* (**infixr**  $**$  70)  
**defines** *fsmult'*: *fsmult'*  $\equiv$  *aezfun-scalar-mult.fsmult smult'*  
**and** *Gmult'*: *Gmult'*  $\equiv$  *aezfun-scalar-mult.Gmult smult'*  
**assumes** *hom* : *VectorSpaceHom fsmult V fsmult' T*  
**and** *Im-W* : *FGModule G smult' W T ' V*  $\subseteq$  *W*  
**and** *G-map* :  $\bigwedge g v. g \in G \Rightarrow v \in V \Rightarrow T (g \cdot v) = g ** (T v)$   
**shows** *FGModuleHom G smult V smult' T*  
**proof**

show  $\bigwedge v v'. v \in V \Rightarrow v' \in V \Rightarrow T (v + v') = T v + T v'$   
**using** *VectorSpaceHom.GroupHom[OF hom]* *GroupHom.hom* **by auto**

**from** *hom* **show** *supp T*  $\subseteq$  *V* **using** *VectorSpaceHom.supp* **by fast**

show  $\bigwedge r v. r \in FG \Rightarrow v \in V \Rightarrow T (r \cdot v) = r \star T v$

**proof-**

**fix** *r v* **assume** *r*: *r*  $\in$  *FG* **and** *v*: *v*  $\in$  *V*

**from** *r* **obtain** *fgs*

**where** *fgs*: *set (map snd fgs)*  $\subseteq$  *G* *r* =  $(\sum (f,g) \leftarrow fgs. f \delta \delta g)$   
**using** *FG-el-decomp*

**by fast**

**from** *fgs v* **have** *r · v* =  $(\sum (f,g) \leftarrow fgs. f \# g \cdot v)$

**using** *sum-list-aezdeltafun-smult-distrib* **by simp**

**moreover from** *v fgs(1)* **have** *set (map ( $\lambda(f,g). f \# g \cdot v$ ) fgs)*  $\subseteq$  *V*

**using** *Gmult-closed fsmult-closed* **by auto**

**ultimately have** *T (r · v)* =  $(\sum (f,g) \leftarrow fgs. T (f \# g \cdot v))$

**using** *hom VectorSpaceHom.im-sum-list-prod* **by auto**

**moreover from** *hom G-map fgs(1) v*

**have**  $\forall (f,g) \in \text{set } fgs. T (f \# g \cdot v) = f \# g ** T v$

**using** *Gmult-closed VectorSpaceHom.f-map[of fsmult V fsmult' T]*

**by auto**

**ultimately have** *T (r · v)* =  $(\sum (f,g) \leftarrow fgs. f \# g ** T v)$

**using** *sum-list-prod-cong* **by simp**

**with** *v fgs fsmult' Gmult' Im-W(2)* **show** *T (r · v)* = *r*  $\star$  (*T v*)

**using** *FGModule.sum-list-aezdeltafun-smult-distrib[OF Im-W(1)]* **by auto**

**qed**

**qed**

**lemma** *VecHom-GMap-on-fbasis-is-FGModuleHom* :

**fixes** *smult'* :: (*f, 'g*) *aezfun*  $\Rightarrow$  *w::ab-group-add*  $\Rightarrow$  *w* (**infixr**  $\star$  70)

```

and fsmult' :: 'f ⇒ 'w ⇒ 'w (infixr #* 70)
and Gmult'  :: 'g ⇒ 'w ⇒ 'w (infixr ** 70)
and flincomb' :: 'f list ⇒ 'w list ⇒ 'w (infixr ·#* 70)
defines fsmult' : fsmult' ≡ aezfun-scalar-mult.fsmult smult'
and Gmult'      : Gmult'  ≡ aezfun-scalar-mult.Gmult smult'
and flincomb'   : flincomb' ≡ aezfun-scalar-mult.flincomb smult'
assumes fbasis  : fbasis-for V vs
and hom         : VectorSpaceHom fsmult V fsmult' T
and Im-W       : FGModule G smult' W T ' V ⊆ W
and G-map      : ⋀ g v. g ∈ G ⇒ v ∈ set vs ⇒ T (g *· v) = g ** (T v)
shows FGModuleHom G smult V smult' T
proof (rule VecHom-GMap-is-FGModuleHom)
  from fsmult' hom
    show VectorSpaceHom (#·) V (aezfun-scalar-mult.fsmult (★)) T
  by fast
next
fix g v assume g: g ∈ G and v: v ∈ V
from v fbasis obtain cs where cs: v = cs ·#· vs
  using VectorSpace.in-Span-obtain-same-length-coeffs[OF fVectorSpace] by fast
with g(1) fbasis fsmult' flincomb'
  have T (g *· v) = cs ·#* (map (T ∘ (Gmult g)) vs)
  using Gmult-flincomb-comm map-Gmult-closed
    VectorSpaceHom.distrib-lincomb[OF hom]
  by auto
moreover have T ∘ (Gmult g) = (λv. T (g *· v)) by auto
ultimately have T (g *· v) = cs ·#* (map (λv. g ** (T v)) vs)
  using fbasis g(1) G-map map-cong[of vs vs λv. T (g *· v)]
  by simp
moreover have (λv. g ** (T v)) = (Gmult' g) ∘ T by auto
ultimately have T (g *· v) = g ** cs ·#* (map T vs)
  using g(1) fbasis Im-W(2) Gmult' flincomb'
    FGModule.Gmult-flincomb-comm[OF Im-W(1), of g map T vs]
  by fastforce
thus T (g *· v) = aezfun-scalar-mult.Gmult (★) g (T v)
  using fbasis fsmult' Gmult' flincomb' cs
    VectorSpaceHom.distrib-lincomb[OF hom]
  by auto
qed (rule Im-W(1), rule Im-W(2))

end

context FGModuleHom
begin

abbreviation fsmult' :: 'f ⇒ 'w ⇒ 'w (infixr #* 70)
  where fsmult' ≡ aezfun-scalar-mult.fsmult smult'
abbreviation Gmult'  :: 'g ⇒ 'w ⇒ 'w (infixr ** 70)
  where Gmult'  ≡ aezfun-scalar-mult.Gmult smult'

```



**lemmas** *supp* = *supp*  
**lemmas** *additive* = *additive*  
**lemmas** *FG-map* = *R-map*  
**lemmas** *FG-fdd0-closed* = *FG-fdd0-closed*  
**lemmas** *fsmult-smult-domain-comm* = *fsmult-smult-comm*  
**lemmas** *GSubspace-Ker* = *RSubmodule-Ker*  
**lemmas** *Ker-Im-iff* = *Ker-Im-iff*  
**lemmas** *Ker0-imp-inj-on* = *Ker0-imp-inj-on*  
**lemmas** *eq-imp-imp-diff-in-Ker* = *eq-imp-imp-diff-in-Ker*  
**lemmas** *im-submodule* = *im-submodule*  
**lemmas** *fsmultD'* = *aezfun-scalar-mult.fsmultD[of smult']*  
**lemmas** *GmultD'* = *aezfun-scalar-mult.GmultD[of smult']*

**lemma** *f-map* :  $v \in V \implies T (a \sharp \cdot v) = a \sharp \star T v$   
**using** *fsmultD ActingGroup.RG-aezdelta0fun-closed[of a] FG-map fsmultD'*  
**by** *simp*

**lemma** *G-map* :  $g \in G \implies v \in V \implies T (g \ast \cdot v) = g \ast \star T v$   
**using** *GmultD ActingGroup.RG-aezdeltafun-closed[of g 1] FG-map GmultD'*  
**by** *simp*

**lemma** *VectorSpaceHom* : *VectorSpaceHom fsmult V fsmult' T*  
**by** (  
*rule VectorSpace.VectorSpaceHomI, rule fVectorSpace, unfold-locales,*  
*rule f-map*  
**)**

**lemmas** *distrib-flincomb* = *VectorSpaceHom.distrib-lincomb[OF VectorSpaceHom]*

**lemma** *FGModule-Im* : *FGModule G smult' ImG*  
**by** (*rule FGModule.intro, rule GroupG, rule RModule-Im, unfold-locales*)

**lemma** *FGModHom-composite-left* :  
**assumes** *FGModuleHom G smult' W smult'' S T ' V  $\subseteq$  W*  
**shows** *FGModuleHom G smult V smult'' (S  $\circ$  T)*  
**proof** (*rule FGModuleHom.intro*)  
**from** *assms(2) show RModuleHom FG smult V smult'' (S  $\circ$  T)*  
**using** *FGModuleHom.axioms(2)[OF assms(1)] RModHom-composite-left[of W]*  
**by** *fast*  
**qed** (*rule GroupG, unfold-locales*)

**lemma** *restriction-to-subgroup-is-hom* :  
**fixes** *H :: 'g set*  
**assumes** *subgrp: Group.Subgroup G H*  
**shows** *FGModuleHom H smult V smult' T*  
**proof** (*rule FGModule.FGModuleHomI-fromaxioms*)  
**have** *FGModule G smult V ..*  
**with** *assms show FGModule H ( $\cdot$ ) V*  
**using** *FGModule.restriction-to-subgroup-is-module by fast*

```

from supp show supp  $T \subseteq V$  by fast
from assms
  show  $\bigwedge r m. \llbracket r \in (\text{Group.group-ring } H); m \in V \rrbracket \implies T (r \cdot m) = r \star T m$ 
  using FG-map ActingGroup.Subgroup-imp-Subring by fast
qed (rule hom)

```

```

lemma FGModuleHom-restrict0-GSubspace :
  assumes GSubspace U
  shows FGModuleHom G smult U smult' (T ↓ U)
proof (rule FGModuleHom.intro)
  from assms show RModuleHom FG (·) U (★) (T ↓ U)
  using RModuleHom-restrict0-submodule by fast
qed (unfold-locales)

```

```

lemma FGModuleHom-fscalar-mul :
  FGModuleHom G smult V smult' (λv. a #★ T v)
proof
  have vsphom: VectorSpaceHom fsmult V fsmult' (λv. a #★ T v)
  using VectorSpaceHom.VectorSpaceHom-scalar-mul[OF VectorSpaceHom]
  by fast
  thus  $\bigwedge v v'. v \in V \implies v' \in V \implies a \#★ T (v + v') = a \#★ T v + a \#★ T v'$ 
  using VectorSpaceHom.additive[of fsmult V] by auto
  from vsphom show supp (λv. a #★ T v) ⊆ V
  using VectorSpaceHom.supp by fast
next
  fix r v assume rv: r ∈ FG v ∈ V
  thus  $a \#★ T (r \cdot v) = r \star a \#★ T v$ 
  using FG-map FGModule.fsmult-smult-comm[OF FGModule-Im]
  by auto
qed

```

**end**

```

lemma GSubspace-eigenspace :
  fixes e :: 'f::field
  and E :: 'v::ab-group-add set
  and smult :: ('f::field, 'g::group-add) aefun  $\Rightarrow$  'v  $\Rightarrow$  'v (infixr · 70)
  assumes FGModHom: FGModuleHom G smult V smult T
  defines E :  $E \equiv \{v \in V. T v = aefun\text{-scalar-mult.fsmult } smult e v\}$ 
  shows FGModule.GSubspace G smult V E
proof-
  have FGModule.GSubspace G smult V {v ∈ V. T v = (e δδ 0) · v}
  using FGModuleHom.axioms(2)[OF FGModHom]
  proof (rule RSubmodule-eigenspace)
  show  $e \delta\delta 0 \in \text{FGModule.FG } G$ 
  using FGModuleHom.FG-fdd0-closed[OF FGModHom] by fast
  show  $\bigwedge s v. s \in \text{FGModule.FG } G \implies v \in V \implies s \cdot (e \delta\delta 0) \cdot v = (e \delta\delta 0) \cdot s \cdot v$ 
  using FGModuleHom.fsmult-smult-domain-comm[OF FGModHom]

```

by *aezfun-scalar-mult.fsmultD[of smult]*  
 by *simp*  
 qed  
 with *E* show *?thesis* using *aezfun-scalar-mult.fsmultD[of smult]* by *simp*  
 qed

### 5.4.3 Basic facts about endomorphisms

**lemma** *RModuleEnd-over-group-ring-is-FGModuleEnd* :  
 fixes *G* :: '*g*::group-add set  
 and *smult* :: ('*f*::field, '*g*) *aezfun*  $\Rightarrow$  '*v*::ab-group-add  $\Rightarrow$  '*v*  
 assumes *G* : Group *G* and *endo*: RModuleEnd (Group.group-ring *G*) *smult* *V* *T*  
 shows *FGModuleEnd* *G* *smult* *V* *T*  
**proof** (rule *FGModuleEnd.intro*, rule *FGModuleHom.intro*, rule *G*)  
 from *endo* show RModuleHom (Group.group-ring *G*) *smult* *V* *smult* *T*  
 using *RModuleEnd.axioms(1)* by fast  
 from *endo* show *FGModuleEnd-axioms* *V* *T*  
 using *RModuleEnd.endomorph* by *unfold-locales*  
 qed

**lemma** (in *FGModule*) *VecEnd-GMap-is-FGModuleEnd* :  
 assumes *endo* : VectorSpaceEnd *fsmult* *V* *T*  
 and *G-map*:  $\bigwedge g v. g \in G \Rightarrow v \in V \Rightarrow T (g * v) = g * (T v)$   
 shows *FGModuleEnd* *G* *smult* *V* *T*  
**proof** (rule *FGModuleEnd.intro*, rule *VecHom-GMap-is-FGModuleHom*)  
 from *endo* show VectorSpaceHom ( $\#$ .) *V* ( $\#$ .) *T*  
 using *VectorSpaceEnd.axioms(1)* by fast  
 from *endo* show  $T ' V \subseteq V$  using *VectorSpaceEnd.endomorph* by fast  
 from *endo* show *FGModuleEnd-axioms* *V* *T*  
 using *VectorSpaceEnd.endomorph* by *unfold-locales*  
 qed (unfold-locales, rule *G-map*)

**lemma** (in *FGModule*) *GEnd-inner-dirsum-el-decomp-nth* :  

$$\llbracket \forall U \in \text{set } Us. G\text{Subspace } U; \text{add-independentS } Us; n < \text{length } Us \rrbracket$$

$$\Rightarrow \text{FGModuleEnd } G \text{ smult } (\bigoplus U \leftarrow Us. U) (\bigoplus Us \downarrow n)$$
 using *GroupG* *RModuleEnd-inner-dirsum-el-decomp-nth*  
*RModuleEnd-over-group-ring-is-FGModuleEnd*  
 by *fast*

**context** *FGModuleEnd*  
**begin**

**lemma** *RModuleEnd* : RModuleEnd ActingGroup.group-ring *smult* *V* *T*  
 using *endomorph* by *unfold-locales*

**lemma** *VectorSpaceEnd* : VectorSpaceEnd *fsmult* *V* *T*  
 by (  
 rule *VectorSpaceEnd.intro*, rule *VectorSpaceHom*, *unfold-locales*,  
 rule *endomorph*

)

**lemmas** *proj-decomp* = *RModuleEnd.proj-decomp*[*OF RModuleEnd*]  
**lemmas** *GSubspace-Ker* = *GSubspace-Ker*  
**lemmas** *FGModuleHom-restrict0-GSubspace* = *FGModuleHom-restrict0-GSubspace*

**end**

#### 5.4.4 Basic facts about isomorphisms

**context** *FGModuleIso*  
**begin**

**lemmas** *VectorSpaceHom* = *VectorSpaceHom*

**abbreviation** *invT*  $\equiv$  (*the-inv-into V T*)  $\downarrow$  *W*

**lemma** *RModuleIso* : *RModuleIso FG smult V smult' T W*

**proof** (*rule RModuleIso.intro*)

**show** *RModuleHom FG* ( $\cdot$ ) *V* ( $\star$ ) *T*

**using** *FGModuleIso-axioms FGModuleIso.axioms*(1) *FGModuleHom.axioms*(2)

**by** *fast*

**qed** (*unfold-locales, rule bijective*)

**lemmas** *ImG* = *RModuleIso.ImG*[*OF RModuleIso*]

**lemma** *FGModuleIso-restrict0-GSubspace* :

**assumes** *GSubspace U*

**shows** *FGModuleIso G smult U smult' (T  $\downarrow$  U) (T ' U)*

**proof** (*rule FGModuleIso.intro*)

**from** *assms* **show** *FGModuleHom G* ( $\cdot$ ) *U* ( $\star$ ) (*T  $\downarrow$  U*)

**using** *FGModuleHom-restrict0-GSubspace* **by** *fast*

**show** *FGModuleIso-axioms U (T  $\downarrow$  U) (T ' U)*

**proof**

**from** *assms bijective* **have** *bij-betw T U (T ' U)*

**using** *subset-inj-on unfolding bij-betw-def* **by** *auto*

**thus** *bij-betw (T  $\downarrow$  U) U (T ' U)* **unfolding** *bij-betw-def inj-on-def* **by** *auto*

**qed**

**qed**

**lemma** *inv* : *FGModuleIso G smult' W smult invT V*

**proof** (*rule FGModuleIso.intro, rule FGModuleHom.intro*)

**show** *RModuleHom FG* ( $\star$ ) *W* ( $\cdot$ ) *invT*

**using** *RModuleIso.inv*[*OF RModuleIso*] *RModuleIso.axioms*(1) **by** *fast*

**show** *FGModuleIso-axioms W invT V*

**using** *RModuleIso.inv*[*OF RModuleIso*] *RModuleIso.bijective* **by** *unfold-locales*

**qed** (*unfold-locales*)

**lemma** *FGModIso-composite-left* :

**assumes**  $FGModuleIso\ G\ smult'\ W\ smult''\ S\ X$   
**shows**  $FGModuleIso\ G\ smult\ V\ smult''\ (S\ \circ\ T)\ X$   
**proof** (rule  $FGModuleIso.intro$ )  
**from**  $assms$  **show**  $FGModuleHom\ G\ (\cdot)\ V\ smult''\ (S\ \circ\ T)$   
**using**  $FGModuleIso.axioms(1)\ ImG\ FGModHom-composite-left$  **by**  $fast$   
**show**  $FGModuleIso.axioms\ V\ (S\ \circ\ T)\ X$   
**using**  $bijjective\ FGModuleIso.bijjective[OF\ assms]$   $bij-betw-trans$  **by**  $unfold-locales$   
**qed**

**lemma**  $isomorphic-sym : FGModule.isomorphic\ G\ smult'\ W\ smult\ V$   
**using**  $inv$  **by**  $fast$

**lemma**  $isomorphic-trans :$   
 $FGModule.isomorphic\ G\ smult'\ W\ smult''\ X$   
 $\implies FGModule.isomorphic\ G\ smult\ V\ smult''\ X$   
**using**  $FGModIso-composite-left$  **by**  $fast$

**lemma**  $isomorphic-to-zero-left : V = 0 \implies W = 0$   
**using**  $bijjective\ bij-betw-imp-surj-on\ im-zero$  **by**  $fastforce$

**lemma**  $isomorphic-to-zero-right : W = 0 \implies V = 0$   
**using**  $isomorphic-sym\ FGModuleIso.isomorphic-to-zero-left$  **by**  $fast$

**lemma**  $isomorphic-to-irr-right' :$   
**assumes**  $\bigwedge U. FGModule.GSubspace\ G\ smult'\ W\ U \implies U = 0 \vee U = W$   
**shows**  $\bigwedge U. GSubspace\ U \implies U = 0 \vee U = V$   
**proof-**  
**fix**  $U$  **assume**  $U : GSubspace\ U$   
**have**  $U \neq V \implies U = 0$   
**proof-**  
**assume**  $UV : U \neq V$   
**from**  $U$   $bijjective$  **have**  $T \text{ ' } U = T \text{ ' } V \implies U = V$   
**using**  $bij-betw-imp-inj-on[of\ T\ V\ W]$   $inj-onD[of\ T\ V]$  **by**  $fast$   
**with**  $UV$   $bijjective$  **have**  $T \text{ ' } U \neq W$  **using**  $bij-betw-imp-surj-on$  **by**  $fast$   
**moreover from**  $U$  **have**  $FGModule.GSubspace\ G\ smult'\ W\ (T \text{ ' } U)$   
**using**  $ImG\ im-submodule$  **by**  $fast$   
**ultimately show**  $U = 0$   
**using**  $assms\ U\ FGModuleIso-restrict0-GSubspace$   
 $FGModuleIso.isomorphic-to-zero-right$   
**by**  $fast$   
**qed**  
**thus**  $U = 0 \vee U = V$  **by**  $fast$   
**qed**

**end**

**context**  $FGModule$   
**begin**

**lemma** *isomorphic-sym* :  
*isomorphic smult' W*  $\implies$  *FGModule.isomorphic G smult' W smult V*  
**using** *FGModuleIso.inv* **by fast**

**lemma** *isomorphic-trans* :  
*isomorphic smult' W*  $\implies$  *FGModule.isomorphic G smult' W smult'' X*  
 $\implies$  *isomorphic smult'' X*  
**using** *FGModuleIso.FGModIso-composite-left* **by fast**

**lemma** *isomorphic-to-zero-left* : *V = 0*  $\implies$  *isomorphic smult' W*  $\implies$  *W = 0*  
**using** *FGModuleIso.isomorphic-to-zero-left* **by fast**

**lemma** *isomorphic-to-zero-right* : *isomorphic smult' 0*  $\implies$  *V = 0*  
**using** *FGModuleIso.isomorphic-to-zero-right* **by fast**

**lemma** *FGModIso-idhom* : *FGModuleIso G smult V smult (id↓V)* *V*  
**using** *FGModHom-idhom*  
**proof** (*rule FGModuleIso.intro*)  
**show** *FGModuleIso-axioms V (id↓V) V*  
**using** *bij-betw-id bij-betw-restrict0* **by unfold-locales fast**  
**qed**

**lemma** *isomorphic-refl* : *isomorphic smult V* **using** *FGModIso-idhom* **by fast**

**end**

### 5.4.5 Hom-sets

**definition** *FGModuleHomSet* ::  
*'g::group-add set*  $\implies$  (*'f::field,'g*) *aezfun*  $\implies$  *'v::ab-group-add*  $\implies$  *'w*  $\implies$  *'v set*  
 $\implies$  (*'f,'g*) *aezfun*  $\implies$  *'w::ab-group-add*  $\implies$  *'w*  $\implies$  *'w set*  
 $\implies$  (*'v*  $\implies$  *'w*) *set*  
**where** *FGModuleHomSet G fgsmult V fgsmult' W*  
 $\equiv \{T. \text{FGModuleHom } G \text{ fgsmult } V \text{ fgsmult' } T\} \cap \{T. T \text{ ' } V \subseteq W\}$

**lemma** *FGModuleHomSetI* :  
*FGModuleHom G fgsmult V fgsmult' T*  $\implies$  *T ' V*  $\subseteq$  *W*  
 $\implies$  *T*  $\in$  *FGModuleHomSet G fgsmult V fgsmult' W*  
**unfolding** *FGModuleHomSet-def* **by fast**

**lemma** *FGModuleHomSetD-FGModuleHom* :  
*T*  $\in$  *FGModuleHomSet G fgsmult V fgsmult' W*  
 $\implies$  *FGModuleHom G fgsmult V fgsmult' T*  
**unfolding** *FGModuleHomSet-def* **by fast**

**lemma** *FGModuleHomSetD-Im* :  
*T*  $\in$  *FGModuleHomSet G fgsmult V fgsmult' W*  $\implies$  *T ' V*  $\subseteq$  *W*  
**unfolding** *FGModuleHomSet-def* **by fast**

**context** *FGModule*  
**begin**

**lemma** *FGModuleHomSet-is-Gmaps-in-VectorSpaceHomSet* :

**fixes** *smult'* :: ('f, 'g) aezfun  $\Rightarrow$  'w::ab-group-add  $\Rightarrow$  'w (**infixr** \* 70)

**and** *fsmult'* :: 'f  $\Rightarrow$  'w  $\Rightarrow$  'w (**infixr** #\* 70)

**and** *Gmult'* :: 'g  $\Rightarrow$  'w  $\Rightarrow$  'w (**infixr** \*\* 70)

**defines** *fsmult'* : *fsmult'*  $\equiv$  aezfun-scalar-mult.fsmult *smult'*

**and** *Gmult'* : *Gmult'*  $\equiv$  aezfun-scalar-mult.Gmult *smult'*

**assumes** *FGModW* : *FGModule* *G smult' W*

**shows** *FGModuleHomSet* *G smult' V smult' W*  
 $=$  (*VectorSpaceHomSet* *fsmult' V fsmult' W*)  
 $\cap \{T. \forall g \in G. \forall v \in V. T (g * \cdot v) = g ** (T v)\}$

**proof**

**from** *fsmult' Gmult'*

**show** *FGModuleHomSet* *G smult' V smult' W*  
 $\subseteq$  (*VectorSpaceHomSet* *fsmult' V fsmult' W*)  
 $\cap \{T. \forall g \in G. \forall v \in V. T (g * \cdot v) = g ** T v\}$

**using** *FGModuleHomSetD-FGModuleHom*[of - *G smult' V smult'*]  
*FGModuleHom.VectorSpaceHom*[of *G smult' V smult'*]  
*FGModuleHomSetD-Im*[of - *G smult' V smult'*]  
*VectorSpaceHomSetI*[of *fsmult' V fsmult'*]  
*FGModuleHom.G-map*[of *G smult' V smult'*]

**by** *auto*

**show** *FGModuleHomSet* *G smult' V smult' W*  
 $\supseteq$  (*VectorSpaceHomSet* *fsmult' V fsmult' W*)  
 $\cap \{T. \forall g \in G. \forall v \in V. T (g * \cdot v) = g ** T v\}$

**proof**

**fix** *T*

**assume** *T*: *T*  $\in$  (*VectorSpaceHomSet* *fsmult' V fsmult' W*)  
 $\cap \{T. \forall g \in G. \forall v \in V. T (g * \cdot v) = g ** T v\}$

**show** *T*  $\in$  *FGModuleHomSet* *G smult' V smult' W*

**proof** (*rule* *FGModuleHomSetI*, *rule* *VecHom-GMap-is-FGModuleHom*)

**from** *T fsmult'*

**show** *VectorSpaceHom* (# $\cdot$ ) *V (aezfun-scalar-mult.fsmult smult')* *T*  
**using** *VectorSpaceHomSetD-VectorSpaceHom*

**by** *fast*

**from** *T* **show** *T ' V*  $\subseteq$  *W* **using** *VectorSpaceHomSetD-Im* **by** *fast*

**from** *T Gmult'*

**show**  $\bigwedge g v. g \in G \implies v \in V$   
 $\implies T (g * \cdot v) = \text{aezfun-scalar-mult.Gmult } (*) g (T v)$

**by** *fast*

**from** *T* **show** *T ' V*  $\subseteq$  *W* **using** *VectorSpaceHomSetD-Im* **by** *fast*

**qed** (*rule* *FGModW*)

**qed**

**qed**

**lemma** *Group-FGModuleHomSet* :

**fixes** *smult'* :: ('f, 'g) aezfun  $\Rightarrow$  'w::ab-group-add  $\Rightarrow$  'w (**infixr** \* 70)

```

and   fsmult' :: 'f ⇒ 'w ⇒ 'w (infixr #★ 70)
and   Gmult'  :: 'g ⇒ 'w ⇒ 'w (infixr ** 70)
defines fsmult' : fsmult' ≡ aezfun-scalar-mult.fsmult smult'
and   Gmult'  : Gmult' ≡ aezfun-scalar-mult.Gmult smult'
assumes FGModW : FGModule G smult' W
shows  Group (FGModuleHomSet G smult V smult' W)
proof
from FGModW show FGModuleHomSet G (·) V smult' W ≠ {}
using FGModule.smult-zero trivial-FGModuleHom[of smult'] FGModule.zero-closed
      FGModuleHomSetI
by fastforce
next
fix S T
assume S : S ∈ FGModuleHomSet G (·) V smult' W
and T : T ∈ FGModuleHomSet G (·) V smult' W
with assms
have ST : S ∈ (VectorSpaceHomSet fsmult V fsmult' W)
      ∩ {T. ∀ g ∈ G. ∀ v ∈ V. T (g *· v) = g ** T v}
      T ∈ (VectorSpaceHomSet fsmult V fsmult' W)
      ∩ {T. ∀ g ∈ G. ∀ v ∈ V. T (g *· v) = g ** T v}
using FGModuleHomSet-is-Gmaps-in-VectorSpaceHomSet
by auto
with fsmult' have S - T ∈ VectorSpaceHomSet fsmult V fsmult' W
using FGModule.fVectorSpace[OF FGModW]
      VectorSpace.Group-VectorSpaceHomSet[OF fVectorSpace] Group.diff-closed
by fast
moreover have ∧ g v. g ∈ G ⇒ v ∈ V ⇒ (S - T) (g *· v) = g ** ((S - T) v)
proof-
fix g v assume g ∈ G v ∈ V
moreover with ST have S v ∈ W T v ∈ W - T v ∈ W
using VectorSpaceHomSetD-Im[of S fsmult V fsmult']
      VectorSpaceHomSetD-Im[of T fsmult V fsmult']
      FGModule.neg-closed[OF FGModW]
by auto
ultimately show (S - T) (g *· v) = g ** ((S - T) v)
using ST Gmult' FGModule.neg-Gmult[OF FGModW]
      FGModule.Gmult-distrib-left[OF FGModW, of g S v - T v]
by auto
qed
ultimately show S - T ∈ FGModuleHomSet G (·) V smult' W
using fsmult' Gmult'
      FGModuleHomSet-is-Gmaps-in-VectorSpaceHomSet[OF FGModW]
by fast
qed

lemma Subspace-FGModuleHomSet :
fixes smult' :: ('f, 'g) aezfun ⇒ 'w :: ab-group-add ⇒ 'w (infixr ★ 70)
and   fsmult' :: 'f ⇒ 'w ⇒ 'w (infixr #★ 70)
and   Gmult'  :: 'g ⇒ 'w ⇒ 'w (infixr ** 70)

```



```

and   hom-fsmult :: 'f ⇒ ('v ⇒ 'w) ⇒ ('v ⇒ 'w) (infixr #★ 70)
defines fsmult'   : fsmult' ≡ aezfun-scalar-mult.fsmult smult'
and   Gmult'     : Gmult' ≡ aezfun-scalar-mult.Gmult smult'
defines hom-fsmult : hom-fsmult ≡ λa T v. a #★ T v
assumes FGModW   : FGModule G smult' W
shows  VectorSpace.Subspace hom-fsmult
        (VectorSpaceHomSet fsmult V fsmult' W)
        (FGModuleHomSet G smult V smult' W)
proof (rule VectorSpace.SubspaceI)
from hom-fsmult fsmult'
show  VectorSpace (#★.) (VectorSpaceHomSet (#.) V (#★) W)
using FGModule.fVectorSpace[OF FGModW]
        VectorSpace.VectorSpace-VectorSpaceHomSet[OF fVectorSpace]
by   fast
from fsmult' Gmult' FGModW
show  Group (FGModuleHomSet G (.) V (★) W)
        ∧ FGModuleHomSet G (.) V (★) W
        ⊆ VectorSpaceHomSet (#.) V (#★) W
using Group-FGModuleHomSet FGModuleHomSet-is-Gmaps-in-VectorSpaceHomSet
by   fast
next
fix a T assume T: T ∈ FGModuleHomSet G (.) V (★) W
from hom-fsmult fsmult' have FGModuleHom G smult V smult' (a #★ T)
using FGModuleHomSetD-FGModuleHom[OF T]
        FGModuleHomSetD-Im[OF T]
        FGModuleHom.FGModuleHom-fscalar-mul
by   simp
moreover from hom-fsmult fsmult' have (a #★ T) ' V ⊆ W
using FGModuleHomSetD-Im[OF T] FGModule.fsmult-closed[OF FGModW]
by   auto
ultimately show a #★ T ∈ FGModuleHomSet G (.) V (★) W
using FGModuleHomSetI by fastforce
qed

```

```

lemma VectorSpace-FGModuleHomSet :
fixes smult'   :: ('f, 'g) aezfun ⇒ 'w::ab-group-add ⇒ 'w (infixr ★ 70)
and   fsmult'  :: 'f ⇒ 'w ⇒ 'w (infixr #★ 70)
and   hom-fsmult :: 'f ⇒ ('v ⇒ 'w) ⇒ ('v ⇒ 'w) (infixr #★ 70)
defines fsmult' ≡ aezfun-scalar-mult.fsmult smult'
defines hom-fsmult ≡ λa T v. a #★ T v
assumes FGModule G smult' W
shows  VectorSpace hom-fsmult (FGModuleHomSet G smult V smult' W)
using assms Subspace-FGModuleHomSet Module.intro VectorSpace.intro
by   fast

```

**end**

## 5.5 Induced modules

### 5.5.1 Additive function spaces

**definition** *addfunset* ::

*'a::monoid-add set*  $\Rightarrow$  *'m::monoid-add set*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'m*) *set*  
**where** *addfunset* *A M*  $\equiv$   $\{f. \text{supp } f \subseteq A \wedge \text{range } f \subseteq M$   
 $\wedge (\forall x \in A. \forall y \in A. f(x+y) = f x + f y)\}$

**lemma** *addfunsetI* :

$\llbracket \text{supp } f \subseteq A; \text{range } f \subseteq M; \forall x \in A. \forall y \in A. f(x+y) = f x + f y \rrbracket$   
 $\Longrightarrow f \in \text{addfunset } A M$

**unfolding** *addfunset-def* **by** *fast*

**lemma** *addfunsetD-supp* :  $f \in \text{addfunset } A M \Longrightarrow \text{supp } f \subseteq A$

**unfolding** *addfunset-def* **by** *fast*

**lemma** *addfunsetD-range* :  $f \in \text{addfunset } A M \Longrightarrow \text{range } f \subseteq M$

**unfolding** *addfunset-def* **by** *fast*

**lemma** *addfunsetD-range'* :  $f \in \text{addfunset } A M \Longrightarrow f x \in M$

**using** *addfunsetD-range* **by** *fast*

**lemma** *addfunsetD-add* :

$\llbracket f \in \text{addfunset } A M; x \in A; y \in A \rrbracket \Longrightarrow f(x+y) = f x + f y$

**unfolding** *addfunset-def* **by** *fast*

**lemma** *addfunset0* :  $\text{addfunset } A (0::'m::\text{monoid-add set}) = 0$

**proof**

**show**  $\text{addfunset } A 0 \subseteq 0$  **using** *addfunsetD-range'* **by** *fastforce*

**have**  $(0::'a \Rightarrow 'm) \in \text{addfunset } A 0$

**using** *supp-zerofun-subset-any* **by** (*rule addfunsetI*) *auto*

**thus**  $\text{addfunset } A (0::'m::\text{monoid-add set}) \supseteq 0$  **by** *simp*

**qed**

**lemma** *Group-addfunset* :

**fixes**  $M::'g::\text{ab-group-add set}$

**assumes** *Group*  $M$

**shows** *Group* ( $\text{addfunset } R M$ )

**proof**

**from** *assms* **show**  $\text{addfunset } R M \neq \{\}$

**using** *addfunsetI*[*of*  $0 R M$ ] *supp-zerofun-subset-any* *Group.zero-closed*

**by** *fastforce*

**next**

**fix**  $g h$  **assume**  $gh: g \in \text{addfunset } R M h \in \text{addfunset } R M$

**show**  $g - h \in \text{addfunset } R M$

**proof** (*rule addfunsetI*)

**from**  $gh$  **show**  $\text{supp } (g - h) \subseteq R$

**using** *addfunsetD-supp* *supp-diff-subset-union-supp* **by** *fast*

**from**  $gh$  **show**  $\text{range } (g - h) \subseteq M$

**using** *addfunsetD-range Group.diff-closed [OF assms]*  
**by** (*simp add: addfunsetD-range' image-subsetI*)  
**show**  $\forall x \in R. \forall y \in R. (g - h) (x + y) = (g - h) x + (g - h) y$   
**using** *addfunsetD-add[OF gh(1)] addfunsetD-add[OF gh(2)] by simp*  
**qed**  
**qed**

### 5.5.2 Spaces of functions which transform under scalar multiplication by almost-everywhere-zero functions

**context** *aezfun-scalar-mult*  
**begin**

**definition** *smultfunset* ::  $'g \text{ set} \Rightarrow ('r, 'g) \text{ aezfun set} \Rightarrow (('r, 'g) \text{ aezfun} \Rightarrow 'v) \text{ set}$   
**where** *smultfunset*  $G FH \equiv \{f. (\forall a::'r. \forall g \in G. \forall x \in FH. f (a \ \delta\delta \ g * x) = (a \ \delta\delta \ g) \cdot (f x))\}$

**lemma** *smultfunsetD* :  
 $[f \in \text{smultfunset } G FH; g \in G; x \in FH] \Longrightarrow f (a \ \delta\delta \ g * x) = (a \ \delta\delta \ g) \cdot (f x)$   
**unfolding** *smultfunset-def* **by** *fast*

**lemma** *smultfunsetI* :  
 $\forall a::'r. \forall g \in G. \forall x \in FH. f (a \ \delta\delta \ g * x) = (a \ \delta\delta \ g) \cdot (f x)$   
 $\Longrightarrow f \in \text{smultfunset } G FH$   
**unfolding** *smultfunset-def* **by** *fast*

**end**

### 5.5.3 General induced spaces of functions on a group ring

**context** *aezfun-scalar-mult*  
**begin**

**definition** *indspace* ::  
 $'g \text{ set} \Rightarrow ('r, 'g) \text{ aezfun set} \Rightarrow 'v \text{ set} \Rightarrow (('r, 'g) \text{ aezfun} \Rightarrow 'v) \text{ set}$   
**where** *indspace*  $G FH V = \text{addfunset } FH V \cap \text{smultfunset } G FH$

**lemma** *indspaceD* :  
 $f \in \text{indspace } G FH V \Longrightarrow f \in \text{addfunset } FH V \cap \text{smultfunset } G FH$   
**using** *indspace-def* **by** *fast*

**lemma** *indspaceD-supp* :  $f \in \text{indspace } G FH V \Longrightarrow \text{supp } f \subseteq FH$   
**using** *indspace-def addfunsetD-supp* **by** *fast*

**lemma** *indspaceD-supp'* :  $f \in \text{indspace } G FH V \Longrightarrow x \notin FH \Longrightarrow f x = 0$   
**using** *indspaceD-supp suppI-contr* **by** *fast*

**lemma** *indspaceD-range* :  $f \in \text{indspace } G FH V \Longrightarrow \text{range } f \subseteq V$   
**using** *indspace-def addfunsetD-range* **by** *fast*

**lemma** *indspaceD-range'*:  $f \in \text{indspace } G \text{ FH } V \implies f x \in V$   
**using** *indspaceD-range* **by** *fast*

**lemma** *indspaceD-add* :  
 $\llbracket f \in \text{indspace } G \text{ FH } V; x \in \text{FH}; y \in \text{FH} \rrbracket \implies f (x+y) = f x + f y$   
**using** *indspace-def addfunsetD-add* **by** *auto*

**lemma** *indspaceD-transform* :  
 $\llbracket f \in \text{indspace } G \text{ FH } V; g \in G; x \in \text{FH} \rrbracket \implies f (a \delta \delta g * x) = (a \delta \delta g) \cdot (f x)$   
**using** *indspace-def smultfunsetD* **by** *auto*

**lemma** *indspaceI* :  
 $f \in \text{addfunset } \text{FH } V \implies f \in \text{smultfunset } G \text{ FH} \implies f \in \text{indspace } G \text{ FH } V$   
**using** *indspace-def* **by** *fast*

**lemma** *indspaceI'* :  
 $\llbracket \text{supp } f \subseteq \text{FH}; \text{range } f \subseteq V; \forall x \in \text{FH}. \forall y \in \text{FH}. f (x+y) = f x + f y;$   
 $\forall a::'r. \forall g \in G. \forall x \in \text{FH}. f (a \delta \delta g * x) = (a \delta \delta g) \cdot (f x) \rrbracket$   
 $\implies f \in \text{indspace } G \text{ FH } V$   
**using** *smultfunsetI addfunsetI[of f] indspaceI* **by** *simp*

**lemma** *mono-indspace* : *mono (indspace G FH)*

**proof** (*rule monoI*)

**fix**  $U V :: 'v \text{ set}$  **assume**  $U \subseteq V$

**show**  $\text{indspace } G \text{ FH } U \subseteq \text{indspace } G \text{ FH } V$

**proof**

**fix**  $f$  **assume**  $f: f \in \text{indspace } G \text{ FH } U$

**show**  $f \in \text{indspace } G \text{ FH } V$  **using** *indspaceD-supp[OF f]*

**proof** (*rule indspaceI'*)

**from**  $f \text{ U-V}$  **show**  $\text{range } f \subseteq V$  **using** *indspaceD-range[of f G FH]* **by** *auto*

**from**  $f$  **show**  $\forall x \in \text{FH}. \forall y \in \text{FH}. f (x+y) = f x + f y$

**using** *indspaceD-add* **by** *auto*

**from**  $f$  **show**  $\forall a::'r. \forall g \in G. \forall x \in \text{FH}. f (a \delta \delta g * x) = (a \delta \delta g) \cdot (f x)$

**using** *indspaceD-transform* **by** *auto*

**qed**

**qed**

**qed**

**end**

**context** *FGModule*

**begin**

**lemma** *zero-transforms* :  $0 \in \text{smultfunset } G \text{ FH}$   
**using** *smultfunsetI FG-fddg-closed smult-zero* **by** *simp*

**lemma** *indspace0* :  $\text{indspace } G \text{ FH } 0 = 0$   
**using** *zero-transforms addfunset0 indspace-def* **by** *auto*

```

lemma Group-indspace :
  assumes Ring1 FH
  shows Group (indspace G FH V)
proof
  from zero-closed have  $0 \subseteq V$  by simp
  with mono-indspace [of G FH]
  have indspace G FH 0  $\subseteq$  indspace G FH V
    by (auto dest!: monoD [of - 0 V])
  then show indspace G FH V  $\neq$   $\{\}$ 
    using indspace0 [of FH] by auto
next
  fix f1 f2 assume ff:  $f1 \in \text{indspace } G \text{ FH } V$   $f2 \in \text{indspace } G \text{ FH } V$ 
  hence  $f1 - f2 \in \text{addfunset } FH \ V$ 
    using assms indspaceD indspaceD Group Group-addfunset Group.diff-closed
    by fast
  moreover from ff have  $f1 - f2 \in \text{smultfunset } G \text{ FH}$ 
    using indspaceD-transform FG-fddg-closed indspaceD-range' smult-distrib-left-diff
      smultfunsetI
    by simp
  ultimately show  $f1 - f2 \in \text{indspace } G \text{ FH } V$  using indspaceI by fast
qed

end

```

#### 5.5.4 The right regular action

```

context Ring1
begin

```

```

definition rightreg-scalar-mult ::

```

```

  'r::ring-1  $\Rightarrow$  ('r  $\Rightarrow$  'm::ab-group-add)  $\Rightarrow$  ('r  $\Rightarrow$  'm) (infixr  $\bowtie$  70)
  where rightreg-scalar-mult r f = ( $\lambda x.$  if  $x \in R$  then  $f (x*r)$  else 0)

```

```

lemma rightreg-scalar-multD1 :  $x \in R \Longrightarrow (r \bowtie f) x = f (x*r)$ 
  unfolding rightreg-scalar-mult-def by simp

```

```

lemma rightreg-scalar-multD2 :  $x \notin R \Longrightarrow (r \bowtie f) x = 0$ 
  unfolding rightreg-scalar-mult-def by simp

```

```

lemma rrsmult-supp :  $\text{supp } (r \bowtie f) \subseteq R$ 
  using rightreg-scalar-multD2 suppD-contr by force

```

```

lemma rrsmult-range :  $\text{range } (r \bowtie f) \subseteq \{0\} \cup \text{range } f$ 

```

```

proof (rule image-subsetI)

```

```

  fix x show  $(r \bowtie f) x \in \{0\} \cup \text{range } f$ 
    using rightreg-scalar-multD1[of x r f] image-eqI
      rightreg-scalar-multD2[of x r f]
    by (cases x  $\in R$ ) auto

```

```

qed

```

**lemma** *rrsmult-distrib-left* :  $r \bowtie (f + g) = r \bowtie f + r \bowtie g$

**proof**

**fix**  $x$  **show**  $(r \bowtie (f + g)) x = (r \bowtie f + r \bowtie g) x$

**unfolding** *rightreg-scalar-mult-def* **by**  $(cases\ x \in R)\ auto$

**qed**

**lemma** *rrsmult-distrib-right* :

**assumes**  $\bigwedge x\ y. x \in R \implies y \in R \implies f(x+y) = f\ x + f\ y$   $r \in R\ s \in R$

**shows**  $(r + s) \bowtie f = r \bowtie f + s \bowtie f$

**proof**

**fix**  $x$  **show**  $((r + s) \bowtie f) x = (r \bowtie f + s \bowtie f) x$

**using** *assms mult-closed*

**unfolding** *rightreg-scalar-mult-def*

**by**  $(cases\ x \in R)\ (auto\ simp\ add:\ distrib-left)$

**qed**

**lemma** *RModule-addfunset* :

**fixes**  $M::'g::ab-group-add\ set$

**assumes** *Group M*

**shows** *RModule R rightreg-scalar-mult (addfunset R M)*

**proof**  $(rule\ RModuleI)$

**from** *assms* **show** *Group (addfunset R M) using Group-addfunset by fast*

**show** *RModule-axioms R ( $\bowtie$ ) (addfunset R M)*

**proof**

**fix**  $r\ f$  **assume**  $r: r \in R$  **and**  $f: f \in addfunset\ R\ M$

**show**  $r \bowtie f \in addfunset\ R\ M$

**proof**  $(rule\ addfunsetI)$

**show**  $supp\ (r \bowtie f) \subseteq R$

**using** *rightreg-scalar-multD2 suppD-contr* **by** *force*

**show**  $range\ (r \bowtie f) \subseteq M$

**using** *addfunsetD-range[OF f] Group.zero-closed[OF assms]*

**unfolding** *rightreg-scalar-mult-def*

**by** *fastforce*

**from**  $r$  **show**  $\forall x \in R. \forall y \in R. (r \bowtie f) (x + y) = (r \bowtie f) x + (r \bowtie f) y$

**using** *mult-closed add-closed addfunsetD-add[OF f]*

**unfolding** *rightreg-scalar-mult-def*

**by**  $(simp\ add:\ distrib-right)$

**qed**

**next**

**show**  $\bigwedge r\ f\ g. r \bowtie (f + g) = r \bowtie f + r \bowtie g$  **using** *rrsmult-distrib-left* **by** *fast*

**next**

**fix**  $r\ s\ f$  **assume**  $r \in R\ s \in R\ f \in addfunset\ R\ M$

**thus**  $(r + s) \bowtie f = r \bowtie f + s \bowtie f$

**using** *addfunsetD-add[of f] rrrsmult-distrib-right[of f]* **by** *simp*

**next**

**fix**  $r\ s\ f$  **assume**  $r: r \in R$  **and**  $s: s \in R$  **and**  $f: f \in addfunset\ R\ M$

```

show  $r \bowtie s \bowtie f = (r * s) \bowtie f$ 
proof
  fix  $x$  from  $r$  show  $(r \bowtie s \bowtie f) x = ((r * s) \bowtie f) x$ 
    using mult-closed unfolding rightreg-scalar-mult-def
    by (cases  $x \in R$ ) (auto simp add: mult.assoc)
qed
next
fix  $f$  assume  $f: f \in \text{addfunset } R \ M$ 
show  $1 \bowtie f = f$ 
proof
  fix  $x$  show  $(1 \bowtie f) x = f x$ 
    unfolding rightreg-scalar-mult-def
    using addfunsetD-supp[OF f] suppI-contr[of x f]
      contra-subsetD[of supp f]
    by (cases  $x \in R$ ) auto
qed
qed
qed (unfold-locales)
end

```

### 5.5.5 Locale and basic facts

In the following locale,  $G$  is a subgroup of  $H$ ,  $V$  is a module over the group ring for  $G$ , and the induced space  $\text{ind}V$  will be shown to be a module over the group ring for  $H$  under the right regular scalar multiplication  $\text{rrsmult}$ .

```

locale InducedFHModule = Supgroup?: Group  $H$ 
+ BaseFGMod? : FGModule  $G$  smult  $V$ 
+ induced-smult?: aezfun-scalar-mult  $\text{rrsmult}$ 
  for  $H$       :: ' $g$ ::group-add set
  and  $G$       :: ' $g$  set
  and  $FG$      :: (' $f$ ::field, ' $g$ ) aezfun set
  and  $\text{smult}$   :: (' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ' $v$ ::ab-group-add  $\Rightarrow$  ' $v$  (infixl  $\cdot$  70)
  and  $V$       :: ' $v$  set
  and  $\text{rrsmult}$  :: (' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ((' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ' $v$ )  $\Rightarrow$  ((' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ' $v$ )
                                     (infixl  $\bowtie$  70)
+ fixes  $FH$     :: (' $f$ , ' $g$ ) aezfun set
  and  $\text{ind}V$     :: ((' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ' $v$ ) set
  defines  $FH$    :  $FH \equiv \text{Supgroup.group-ring}$ 
  and  $\text{ind}V$    :  $\text{ind}V \equiv \text{BaseFGMod.indspace } G \ FH \ V$ 
  assumes  $\text{rrsmult}$  :  $\text{rrsmult} = \text{Ring1.rightreg-scalar-mult } FH$ 
  and Subgroup: Supgroup.Subgroup  $G$ 
begin

abbreviation indfsmult ::
  ' $f \Rightarrow$  ((' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ' $v$ )  $\Rightarrow$  ((' $f$ , ' $g$ ) aezfun  $\Rightarrow$  ' $v$ ) (infixl  $\bowtie$  70)
  where indfsmult  $\equiv$  induced-smult.fsmult
abbreviation indflincomb ::

```

$'f \text{ list} \Rightarrow (('f, 'g) \text{ aezfun} \Rightarrow 'v) \text{ list} \Rightarrow (('f, 'g) \text{ aezfun} \Rightarrow 'v)$  (**infixl** ·  $\times \times$  70)  
**where**  $\text{indflincomb} \equiv \text{induced-smult.flincomb}$   
**abbreviation**  $\text{Hmult} ::$   
 $'g \Rightarrow (('f, 'g) \text{ aezfun} \Rightarrow 'v) \Rightarrow (('f, 'g) \text{ aezfun} \Rightarrow 'v)$  (**infixl** \*  $\times$  70)  
**where**  $\text{Hmult} \equiv \text{induced-smult.Gmult}$

**lemma**  $\text{Ring1-FH} : \text{Ring1 FH}$  **using**  $\text{FH Supgroup.Ring1-RG}$  **by fast**

**lemma**  $\text{FG-subring-FH} : \text{Ring1.Subring1 FH BaseFGMod.FG}$   
**using**  $\text{FH Supgroup.Subgroup-imp-Subring[OF Subgroup]}$  **by fast**

**lemma**  $\text{rrsmultD1} : x \in \text{FH} \Longrightarrow (r \times f) x = f (x*r)$   
**using**  $\text{Ring1.rightreg-scalar-multD1[OF Ring1-FH]}$   $\text{rrsmult}$  **by simp**

**lemma**  $\text{rrsmultD2} : x \notin \text{FH} \Longrightarrow (r \times f) x = 0$   
**using**  $\text{Ring1.rightreg-scalar-multD2[OF Ring1-FH]}$   $\text{rrsmult}$  **by fast**

**lemma**  $\text{rrsmult-supp} : \text{supp} (r \times f) \subseteq \text{FH}$   
**using**  $\text{Ring1.rrsmult-supp[OF Ring1-FH]}$   $\text{rrsmult}$  **by auto**

**lemma**  $\text{rrsmult-range} : \text{range} (r \times f) \subseteq \{0\} \cup \text{range } f$   
**using**  $\text{Ring1.rrsmult-range[OF Ring1-FH]}$   $\text{rrsmult}$  **by auto**

**lemma**  $\text{FHModule-addfunset} : \text{FGModule } H \text{ rrsmult } (\text{addfunset } \text{FH } V)$   
**proof** ( $\text{rule } \text{FGModule.intro}$ )  
**from**  $\text{FH rrsmult}$  **show**  $\text{RModule Supgroup.group-ring} (\times) (\text{addfunset } \text{FH } V)$   
**using**  $\text{Group Supgroup.Ring1-RG Ring1.RModule-addfunset}$  **by fast**  
**qed** ( $\text{unfold-locales}$ )

**lemma**  $\text{FHSubmodule-indspace} :$   
 $\text{FGModule.FGSubmodule } H \text{ rrsmult } (\text{addfunset } \text{FH } V) \text{ indV}$   
**proof** ( $\text{rule } \text{FGModule.FGSubmoduleI[of H]}$ ,  $\text{rule } \text{FHModule-addfunset}$ ,  $\text{rule } \text{conjI}$ )  
**from**  $\text{Ring1-FH indV}$  **show**  $\text{Group indV}$  **using**  $\text{Group-indspace}$  **by fast**  
**from**  $\text{indV}$  **show**  $\text{indV} \subseteq \text{addfunset } \text{FH } V$   
**using**  $\text{BaseFGMod.indspaceD}$  **by fast**

**next**  
**fix**  $r f$  **assume**  $\text{rf} : r \in (\text{Supgroup.group-ring} :: ('f, 'g) \text{ aezfun set}) f \in \text{indV}$   
**from**  $\text{rf}(2) \text{ indV}$  **have**  $\text{rf2}' : f \in \text{BaseFGMod.indspace } G \text{ FH } V$  **by fast**  
**show**  $r \times f \in \text{indV}$   
**unfolding**  $\text{indV}$   
**proof** ( $\text{rule } \text{BaseFGMod.indspaceI}'$ ,  $\text{rule } \text{rrsmult-supp}$ )  
**show**  $\text{range} (r \times f) \subseteq V$   
**using**  $\text{rrsmult-range BaseFGMod.indspaceD-range[OF rf2']}$   $\text{zero-closed}$   
**by force**  
**from**  $\text{FH rf}(1) \text{ rf2}'$   
**show**  $\forall x \in \text{FH}. \forall y \in \text{FH}. (r \times f) (x + y) = (r \times f) x + (r \times f) y$   
**using**  $\text{Ring1.add-closed[OF Ring1-FH]}$   $\text{rrsmultD1[of - r f]}$   
 $\text{Ring1.mult-closed[OF Ring1-FH]}$   $\text{BaseFGMod.indspaceD-add}$   
**by** ( $\text{simp add: distrib-right}$ )



```

{
  fix a g x assume gx: g ∈ G x ∈ FH
  with FH have a δδ g * x ∈ FH
    using FG-fddg-closed FG-subring-FH Ring1.mult-closed[OF Ring1-FH]
    by fast
  with FH rf(1) gx(2) have (r ⋈ f) (a δδ g * x) = a δδ g · ((r ⋈ f) x)
    using rrsmultD1[of - r f] Ring1.mult-closed[OF Ring1-FH]
      BaseFGMod.indspaceD-transform[OF rf2' gx(1)]
    by (simp add: mult.assoc)
}
thus ∀ a. ∀ g ∈ G. ∀ x ∈ FH. (r ⋈ f) (a δδ g * x) = a δδ g · (r ⋈ f) x by fast
qed
qed

```

**lemma** *FHModule-indspace* : *FGModule H rrsmult indV*  
**proof** (rule *FGModule.intro*)  
 show *RModule Supgroup.group-ring* (⋈) *indV* using *FHSubmodule-indspace* by fast  
**qed** (*unfold-locales*)

**lemmas** *fVectorSpace-indspace* = *FGModule.fVectorSpace*[*OF FHModule-indspace*]  
**lemmas** *restriction-is-FGModule*  
 = *FGModule.restriction-to-subgroup-is-module*[*OF FHModule-indspace*]

**definition** *induced-vector* :: '*v* ⇒ ((*f*, *g*) aezfun ⇒ '*v*)  
 where *induced-vector* *v* ≡ (if *v* ∈ *V*  
 then (λ*y*. if *y* ∈ *FH* then (*FG-proj* *y*) · *v* else 0) else 0)

**lemma** *induced-vector-apply1* :  
*v* ∈ *V* ⇒ *x* ∈ *FH* ⇒ *induced-vector* *v* *x* = (*FG-proj* *x*) · *v*  
 using *induced-vector-def* by simp

**lemma** *induced-vector-apply2* : *v* ∈ *V* ⇒ *x* ∉ *FH* ⇒ *induced-vector* *v* *x* = 0  
 using *induced-vector-def* by simp

**lemma** *induced-vector-indV* :  
 assumes *v*: *v* ∈ *V*  
 shows *induced-vector* *v* ∈ *indV*  
 unfolding *indV*  
**proof** (rule *BaseFGMod.indspaceI'*)

from *assms* show *supp* (*induced-vector* *v*) ⊆ *FH*  
 using *induced-vector-def* *supp-restrict0*[*of FH* λ*y*. (*FG-proj* *y*) · *v*] by simp

show *range* (*induced-vector* *v*) ⊆ *V*  
**proof** (rule *image-subsetI*)  
 fix *y*  
 from *v* show (*induced-vector* *v*) *y* ∈ *V*  
 using *induced-vector-def* *zero-closed* *aezfun-setspace-proj-in-setspace*[*of G y*]

$\text{smult-closed ActingGroup.group-ringD}$   
 by  $\text{auto}$   
**qed**

$\{$   
**fix**  $x\ y$  **assume**  $xy: x \in FH\ y \in FH$   
**with**  $v$  **have**  $(\text{induced-vector } v) (x + y)$   
 $\quad = (\text{induced-vector } v) x + (\text{induced-vector } v) y$   
**using**  $\text{Ring1-FH Ring1.add-closed aezfun-setspan-proj-add[of } G\ x\ y] \text{FG-proj-in-FG}$   
 $\text{smult-distrib-left induced-vector-def}$   
 by  $\text{auto}$   
 $\}$

**thus**  $\forall x \in FH. \forall y \in FH. \text{induced-vector } v (x + y)$   
 $\quad = \text{induced-vector } v x + \text{induced-vector } v y$   
 by  $\text{fast}$

$\{$   
**fix**  $a\ g\ x$  **assume**  $g: g \in G$  **and**  $x: x \in FH$   
**with**  $v\ FH$   
**have**  $(\text{induced-vector } v) (a\ \delta\delta\ g * x) = a\ \delta\delta\ g \cdot (\text{induced-vector } v) x$   
**using**  $\text{FG-subring-FH FG-fddg-closed Ring1-FH}$   
 $\text{Ring1.mult-closed[of } FH\ a\ \delta\delta\ g] \text{FG-proj-mult-leftdelta[of } g\ a]$   
 $\text{FG-fddg-closed FG-proj-in-FG smult-assoc induced-vector-def}$   
 by  $\text{fastforce}$   
 $\}$

**thus**  $\forall a. \forall g \in G. \forall x \in FH. \text{induced-vector } v (a\ \delta\delta\ g * x)$   
 $\quad = a\ \delta\delta\ g \cdot \text{induced-vector } v x$   
 by  $\text{fast}$

**qed**

**lemma** *induced-vector-additive* :

$v \in V \implies v' \in V$   
 $\implies \text{induced-vector } (v+v') = \text{induced-vector } v + \text{induced-vector } v'$   
**using**  $\text{add-closed induced-vector-def FG-proj-in-FG smult-distrib-left}$  **by**  $\text{auto}$

**lemma** *hom-induced-vector* :  $\text{FGModuleHom } G\ \text{smult } V\ \text{rrsmult induced-vector}$   
**proof**

**show**  $\bigwedge v\ v'. v \in V \implies v' \in V$   
 $\implies \text{induced-vector } (v + v') = \text{induced-vector } v + \text{induced-vector } v'$   
**using** *induced-vector-additive* **by**  $\text{fast}$

**have**  $\text{induced-vector} = (\lambda v. \text{if } v \in V \text{ then } \lambda y. \text{if } y \in FH$   
 $\quad \text{then } (\text{FG-proj } y) \cdot v \text{ else } 0 \text{ else } 0)$

**using** *induced-vector-def* **by**  $\text{fast}$

**thus**  $\text{supp induced-vector} \subseteq V$  **using**  $\text{supp-restrict0[of } V]$  **by**  $\text{fastforce}$

**show**  $\bigwedge x\ v. x \in \text{BaseFGMod.FG} \implies v \in V$

$$\implies \text{induced-vector } (x \cdot v) = x \bowtie \text{induced-vector } v$$

**proof-**

**fix**  $x v$  **assume**  $xv: x \in \text{BaseFGMod.FG } v \in V$

**show**  $\text{induced-vector } (x \cdot v) = x \bowtie \text{induced-vector } v$

**proof**

**fix**  $a$

**from**  $xv FH$  **show**  $\text{induced-vector } (x \cdot v) a = (x \bowtie \text{induced-vector } v) a$

**using**  $\text{smult-closed induced-vector-def FG-proj-in-FG smult-assoc rrrsmultD1}$

$\text{FG-subring-FH Ring1.mult-closed[OF Ring1-FH] induced-vector-apply1}$

$\text{FG-proj-mult-right[of x] smult-closed induced-vector-apply2 rrrsmultD2}$

**by**  $\text{auto}$

**qed**

**qed**

**qed**

**lemma**  $\text{indspace-sum-list-fddh}$ :

$\llbracket fhs \neq []; \text{set } (\text{map } \text{snd } fhs) \subseteq H; f \in \text{indV} \rrbracket$

$\implies f (\sum (a,h) \leftarrow fhs. a \delta \delta h) = (\sum (a,h) \leftarrow fhs. f (a \delta \delta h))$

**proof** ( $\text{induct } fhs$  rule:  $\text{list-nonempty-induct}$ )

**case** ( $\text{single } fh$ ) **show**  $?case$

**using**  $\text{split-beta[of } \lambda a h. a \delta \delta h fh] \text{split-beta[of } \lambda a h. f (a \delta \delta h) fh]$  **by**  $\text{simp}$

**next**

**case** ( $\text{cons } fh fhs$ )

**hence**  $\text{prevcase: } \text{snd } fh \in H \text{ set } (\text{map } \text{snd } fhs) \subseteq H f \in \text{indV}$

$f (\sum (a,h) \leftarrow fhs. a \delta \delta h) = (\sum (a,h) \leftarrow fhs. f (a \delta \delta h))$

**by**  $\text{auto}$

**have**  $f (\sum (a,h) \leftarrow fh \# fhs. a \delta \delta h)$

$= f ((\text{fst } fh) \delta \delta (\text{snd } fh)) + (\sum ah \leftarrow fhs. \text{case-prod } (\lambda a h. a \delta \delta h) ah)$

**using**  $\text{split-beta[of } \lambda a h. a \delta \delta h fh]$  **by**  $\text{simp}$

**moreover from**  $\text{prevcase}(1) FH$  **have**  $(\text{fst } fh) \delta \delta (\text{snd } fh) \in FH$

**using**  $\text{Supgroup.RG-aezdeltafun-closed}$  **by**  $\text{fast}$

**moreover from**  $\text{prevcase}(2) FH$

**have**  $(\sum ah \leftarrow fhs. \text{case-prod } (\lambda a h. a \delta \delta h) ah) \in FH$

**using**  $\text{Supgroup.RG-aezdeltafun-closed}$

$\text{Ring1.sum-list-closed[OF Ring1-FH, of } \lambda ah. \text{case-prod } (\lambda a h. a \delta \delta h) ah$

$fhs]$

**by**  $\text{fastforce}$

**ultimately have**  $f (\sum (a,h) \leftarrow fh \# fhs. a \delta \delta h)$

$= f ((\text{fst } fh) \delta \delta (\text{snd } fh)) + f (\sum (a,h) \leftarrow fhs. a \delta \delta h)$

**using**  $\text{indV prevcase}(3) \text{BaseFGMod.indspaceD-add}$  **by**  $\text{simp}$

**with**  $\text{prevcase}(4)$  **show**  $?case$  **using**  $\text{split-beta[of } \lambda a h. f (a \delta \delta h) fh]$  **by**  $\text{simp}$

**qed**

**lemma**  $\text{induced-fsmult-conv-fsmult-1ddh}$  :

$f \in \text{indV} \implies h \in H \implies (r \bowtie \bowtie f) (1 \delta \delta h) = r \# \cdot (f (1 \delta \delta h))$

**using**  $FH \text{indV induced-smult.fsmultD Supgroup.RG-aezdeltafun-closed[of h 1::'f]$

$\text{rrsmultD1 aezdeltafun-decomp'[of r h]}$

$\text{aezdeltafun-decomp[of r h] Supgroup.RG-aezdeltafun-closed[of h 1::'f]}$

*Group.zero-closed*[*OF GroupG*]  
*BaseFGMod.indspaceD-transform*[*of f G FH V 0 (1::'f) δδ h r*]  
*BaseFGMod.fsmultD*

by *simp*

**lemma** *indspace-el-eq-on-1ddh-imp-eq-on-rddh* :

**assumes**  $H\text{mod}G \subseteq H$   $H = (\bigcup h \in H\text{mod}G. G + \{h\})$   $f \in \text{ind}V$   $f' \in \text{ind}V$   
 $\forall h \in H\text{mod}G. f(1 \delta\delta h) = f'(1 \delta\delta h)$   $h \in H$

**shows**  $f(r \delta\delta h) = f'(r \delta\delta h)$

**proof**–

**from** *assms(2,6)* **obtain**  $h'$  **where**  $h': h' \in H\text{mod}G$   $h \in G + \{h'\}$  **by** *fast*

**from**  $h'(2)$  **obtain**  $g$  **where**  $g: g \in G$   $h = g + h'$

**using** *set-plus-def*[*of G*] **by** *auto*

**from**  $g(2)$  **have**  $r \delta\delta h = r \delta\delta 0 * (1 \delta\delta (g+h'))$

**using** *aezdeltafun-decomp* **by** *simp*

**moreover** **have**  $(1::'f) \delta\delta (g+h') = 1 \delta\delta g * (1 \delta\delta h')$

**using** *times-aezdeltafun-aezdeltafun*[*of 1::'f, THEN sym*] **by** *simp*

**ultimately** **have**  $r \delta\delta h = r \delta\delta g * (1 \delta\delta h')$

**using** *aezdeltafun-decomp*[*of r g*]

**by** (*simp add: algebra-simps*)

**with**  $\text{ind}V$   $FH$  *assms(1,3,4)*  $g(1)$   $h'(1)$

**have**  $f(r \delta\delta h) = r \delta\delta g \cdot f(1 \delta\delta h')$   $f'(r \delta\delta h) = r \delta\delta g \cdot f'(1 \delta\delta h')$

**using** *Supgroup.RG-aezdeltafun-closed*[*of h' 1*]

*BaseFGMod.indspaceD-transform*[*of f G FH V g 1 δδ h' r*]

*BaseFGMod.indspaceD-transform*[*of f' G FH V g 1 δδ h' r*]

**by** *auto*

**thus**  $f(r \delta\delta h) = f'(r \delta\delta h)$  **using**  $h'(1)$  *assms(5)* **by** *simp*

qed

**lemma** *indspace-el-eq* :

**assumes**  $H\text{mod}G \subseteq H$   $H = (\bigcup h \in H\text{mod}G. G + \{h\})$   $f \in \text{ind}V$   $f' \in \text{ind}V$   
 $\forall h \in H\text{mod}G. f(1 \delta\delta h) = f'(1 \delta\delta h)$

**shows**  $f = f'$

**proof**

**fix**  $x$  **show**  $f x = f' x$

**proof** (*cases*  $x = 0$   $x \in FH$  *rule: conjcases*)

**case** *BothTrue*

**hence**  $x = 0$   $\delta\delta 0$  **using** *zero-aezfun-transfer* **by** *simp*

**with** *assms* **show** *?thesis*

**using** *indspace-el-eq-on-1ddh-imp-eq-on-rddh*[*of HmodG f f'*] *Supgroup.zero-closed*

**by** *auto*

**next**

**case** *OneTrue* **with**  $FH$  **show** *?thesis* **using** *Supgroup.RG-zero-closed* **by** *fast*

**next**

**case** *OtherTrue*

**with**  $FH$  **obtain**  $rhs$

**where**  $rhs$ : *set (map snd rhs) ⊆ H*  $x = (\sum (r,h) \leftarrow rhs. r \delta\delta h)$

**using** *Supgroup.RG-el-decomp-aezdeltafun*

**by** *fast*

```

from OtherTrue rhs(2) have rhs-nnil: rhs ≠ [] by auto
with assms(3,4) rhs
  have  $f x = (\sum (r,h) \leftarrow rhs. f (r \delta \delta h))$   $f' x = (\sum (r,h) \leftarrow rhs. f' (r \delta \delta h))$ 
  using indspace-sum-list-fddh
  by auto
moreover from rhs(1) assms have  $\forall (r,h) \in set\ rhs. f (r \delta \delta h) = f' (r \delta \delta h)$ 
  using indspace-el-eq-on-1ddh-imp-eq-on-rddh[of HmodG f f'] by fastforce
ultimately show ?thesis
  using sum-list-prod-cong[of rhs λr h. f (r δδ h)] by simp
next
  case BothFalse
  with indV assms(3,4) show ?thesis
  using BaseFGMod.indspaceD-supp'[of f] BaseFGMod.indspaceD-supp'[of f']
  by simp
qed
qed

lemma indspace-el-eq' :
  assumes  $set\ hs \subseteq H$   $H = (\bigcup h \in set\ hs. G + \{h\})$   $f \in indV$   $f' \in indV$ 
     $\forall i < length\ hs. f (1\ \delta\delta\ (hs!i)) = f' (1\ \delta\delta\ (hs!i))$ 
  shows  $f = f'$ 
  using assms(1-4)
proof (rule indspace-el-eq[of set hs])
  have  $\bigwedge h. h \in set\ hs \implies f (1\ \delta\delta\ h) = f' (1\ \delta\delta\ h)$ 
  proof-
    fix  $h$  assume  $h \in set\ hs$ 
    from this obtain  $i$  where  $i < length\ hs$   $h = hs!i$ 
    using in-set-conv-nth[of h] by fast
    with assms(5) show  $f (1\ \delta\delta\ h) = f' (1\ \delta\delta\ h)$  by simp
  qed
  thus  $\forall h \in set\ hs. f (1\ \delta\delta\ h) = f' (1\ \delta\delta\ h)$  by fast
qed
end

```

## 6 Representations of Finite Groups

### 6.1 Locale and basic facts

Define a group representation to be a module over the group ring that is finite-dimensional as a vector space. The only restriction on the characteristic of the field is that it does not divide the order of the group. Also, we don't explicitly assume that the group is finite; instead, the *good-char* assumption implies that the cardinality of  $G$  is not zero, which implies  $G$  is finite. (See lemma *good-card-imp-finite*.)

```

locale FinGroupRepresentation = FGModule G smult V
  for  $G$   $:: 'g::group-add\ set$ 
  and  $smult :: ('f::field, 'g) aezfun \Rightarrow 'v::ab-group-add \Rightarrow 'v$  (infixl  $\cdot$  70)

```

```

and  $V$     :: 'v set
+
assumes good-char: of-nat (card  $G$ )  $\neq$  (0::'f)
and    findim  : fscalar-mult.findim fsmult  $V$ 

lemma (in Group) trivial-FinGroupRep :
  fixes smult    :: ('f::field, 'g) aezfun  $\Rightarrow$  'v::ab-group-add  $\Rightarrow$  'v
  assumes good-char : of-nat (card  $G$ )  $\neq$  (0::'f)
  and    smult-zero :  $\forall a \in \text{group-ring. smult } a \text{ (0::'v)} = 0$ 
  shows FinGroupRepresentation  $G$  smult (0::'v set)
proof (rule FinGroupRepresentation.intro)
  from smult-zero show FGModule  $G$  smult (0::'v set)
  using trivial-FGModule by fast

  have fscalar-mult.findim (aezfun-scalar-mult.fsmult smult) 0
  by auto (metis R-scalar-mult.RSpan.simps(1) aezfun-scalar-mult.R-scalar-mult
empty-set empty-subsetI set-zero)

  with good-char show FinGroupRepresentation-axioms  $G$  smult 0 by unfold-locales
qed

context FinGroupRepresentation
begin

abbreviation ordG :: 'f where ordG  $\equiv$  of-nat (card  $G$ )
abbreviation GRepHom  $\equiv$  FGModuleHom  $G$  smult  $V$ 
abbreviation GRepIso  $\equiv$  FGModuleIso  $G$  smult  $V$ 
abbreviation GRepEnd  $\equiv$  FGModuleEnd  $G$  smult  $V$ 

lemmas zero-closed          = zero-closed
lemmas Group                = Group
lemmas GSubmodule-GSpan-single = RSubmodule-RSpan-single
lemmas GSpan-single-nonzero   = RSpan-single-nonzero

lemma finiteG: finite  $G$ 
  using good-char good-card-imp-finite by fast

lemma FinDimVectorSpace: FinDimVectorSpace fsmult  $V$ 
  using findim fVectorSpace VectorSpace.FinDimVectorSpaceI by fast

lemma GSubspace-is-FinGroupRep :
  assumes GSubspace  $U$ 
  shows FinGroupRepresentation  $G$  smult  $U$ 
proof (
  rule FinGroupRepresentation.intro, rule GSubspace-is-FGModule[OF assms], un-
fold-locales
)
from assms show fscalar-mult.findim fsmult  $U$ 
using FinDimVectorSpace GSubspace-is-Subspace FinDimVectorSpace.Subspace-is-findim

```

by fast  
qed (rule good-char)

lemma *isomorphic-imp-GRep* :  
 assumes *isomorphic smult' W*  
 shows *FinGroupRepresentation G smult' W*  
 proof (rule *FinGroupRepresentation.intro*)  
 from *assms* show *FGModule G smult' W*  
 using *FGModuleIso.ImG FGModuleHom.FGModule-Im[OF FGModuleIso.axioms(1)]*  
 by fast  
 from *assms* have *fscalar-mult.findim (aezfun-scalar-mult.fsmult smult') W*  
 using *FGModuleIso.ImG findim FGModuleIso.VectorSpaceHom*  
   *VectorSpaceHom.findim-domain-findim-image*  
 by fastforce  
 with *good-char* show *FinGroupRepresentation-axioms G smult' W* by *unfold-locales*  
 qed  
 end

## 6.2 Irreducible representations

locale *IrrFinGroupRepresentation = FinGroupRepresentation*  
 + assumes *irr: GSubspace U  $\implies$  U = 0  $\vee$  U = V*  
 begin

lemmas *AbGroup = AbGroup*

lemma *zero-isomorphic-to-FG-zero* :  
 assumes *V = 0*  
 shows *isomorphic (\*) (0::('b,'a) aezfun set)*  
 proof  
 show *GRepIso (\*) 0 0*  
 proof (rule *FGModuleIso.intro*)  
 show *GRepHom (\*) 0* using *trivial-FGModuleHom[of (\*)]* by *simp*  
 show *FGModuleIso-axioms V 0 0*  
 proof  
 from *assms* show *bij-betw 0 V 0* unfolding *bij-betw-def inj-on-def* by *simp*  
 qed  
 qed  
 qed

lemma *eq-GSpan-single* : *v  $\in$  V  $\implies$  v  $\neq$  0  $\implies$  V = GSpan [v]*  
 using *irr RSubmodule-RSpan-single RSpan-single-nonzero* by *fast*

end

lemma (in *Group*) *trivial-IrrFinGroupRepI* :  
 fixes *smult :: ('f::field, 'g) aezfun  $\Rightarrow$  'v::ab-group-add  $\Rightarrow$  'v*

```

assumes of-nat (card G) ≠ (0::'f)
and    ∀ a ∈ group-ring. smult a (0::'v) = 0
shows IrrFinGroupRepresentation G smult (0::'v set)
proof (rule IrrFinGroupRepresentation.intro)
  from assms show FinGroupRepresentation G smult 0
    using trivial-FinGroupRep by fast
  show IrrFinGroupRepresentation-axioms G smult 0
    using RModule.zero-closed by unfold-locales auto
qed

lemma (in Group) trivial-IrrFinGroupRepresentation-in-FG :
  of-nat (card G) ≠ (0::'f::field)
    ⇒ IrrFinGroupRepresentation G (*) (0::('f,'g) aezfun set)
  using trivial-IrrFinGroupRepI[of (*)] by simp

```

```

context FinGroupRepresentation
begin

```

```

lemma IrrFinGroupRep-trivialGSubspace :
  IrrFinGroupRepresentation G smult (0::'v set)
proof-
  have ordG ≠ (0::'f) using good-char by fast
  moreover have ∀ a ∈ FG. a · 0 = 0 using smult-zero by simp
  ultimately show ?thesis
    using ActingGroup.trivial-IrrFinGroupRepI[of smult] by fast
qed

```

```

lemma IrrI :
  assumes ∧ U. FGModule.GSubspace G smult V U ⇒ U = 0 ∨ U = V
  shows IrrFinGroupRepresentation G smult V
  using assms IrrFinGroupRepresentation.intro by unfold-locales

```

```

lemma notIrr :
  ¬ IrrFinGroupRepresentation G smult V
    ⇒ ∃ U. GSubspace U ∧ U ≠ 0 ∧ U ≠ V
  using IrrI by fast

```

**end**

## 6.3 Maschke's theorem

### 6.3.1 Averaged projection onto a G-subspace

```

context FinGroupRepresentation
begin

```

```

lemma avg-proj-eq-id-on-right :
  assumes VectorSpace fsmult W add-independentS [W, V] v ∈ V
  defines P : P ≡ (⊕ [W, V] ↓ I)
  defines CP : CP ≡ (λ g. Gmult (- g) ∘ P ∘ Gmult g)

```



```

defines  $T : T \equiv fsmult (1/ordG) \circ (\sum g \in G. CP g)$ 
shows  $T v = v$ 
proof-
from  $P$  assms(2,3) have  $\bigwedge g. g \in G \implies P (g * v) = g * v$ 
  using Gmult-closed VectorSpace.AbGroup[OF assms(1)] AbGroup
    AbGroup-inner-dirsum-el-decomp-nth-id-on-nth[of [W, V]]
  by simp
with  $CP$  assms(3) have  $\bigwedge g. g \in G \implies CP g v = v$ 
  using Gmult-neg-left by simp
with assms(3) good-char T show  $T v = v$ 
  using finiteG sum-fun-apply[of G CP] sum-fsmult-distrib[of v G  $\lambda x. 1$ ]
    fsmult-assoc[of - ordG v]
  by simp
qed

```

**lemma** *avg-proj-onto-right* :

```

assumes VectorSpace fsmult W GSubspace U add-independentS [W, U]
   $V = W \oplus U$ 
defines  $P : P \equiv (\bigoplus [W, U] \downarrow 1)$ 
defines  $CP : CP \equiv (\lambda g. Gmult (- g) \circ P \circ Gmult g)$ 
defines  $T : T \equiv fsmult (1/ordG) \circ (\sum g \in G. CP g)$ 
shows  $T ' V = U$ 

```

**proof**

```

from assms(2) have  $U : FGModule G smult U$ 
  using GSubspace-is-FGModule by fast
show  $T ' V \subseteq U$ 
proof (rule image-subsetI)
  fix  $v$  assume  $v : v \in V$ 
  with assms(1,3,4) P U have  $\bigwedge g. g \in G \implies P (g * v) \in U$ 
    using Gmult-closed VectorSpace.AbGroup FGModule.AbGroup
      AbGroup-inner-dirsum-el-decomp-nth-onto-nth[of [W, U] 1]
    by fastforce
  with  $U CP$  have  $\bigwedge g. g \in G \implies CP g v \in U$ 
    using FGModule.Gmult-closed GroupG Group.neg-closed by fastforce
  with assms(2) U T show  $T v \in U$ 
    using finiteG FGModule.sum-closed[of G smult U G  $\lambda g. CP g v$ ]
      sum-fun-apply[of G CP] FGModule.fsmult-closed[of G smult U]
    by fastforce

```

**qed**

**show**  $T ' V \supseteq U$

**proof**

```

fix  $u$  assume  $u : u \in U$ 
with  $u T CP P$  assms(1,2,3) have  $T u = u$ 
  using GSubspace-is-FinGroupRep FinGroupRepresentation.avg-proj-eq-id-on-right
  by fast
from this[THEN sym] assms(1-4) u show  $u \in T ' V$ 
  using Module.AbGroup RModule.AbGroup AbGroup-subset-inner-dirsum
  by fast

```

**qed**

qed

**lemma** *FGModuleEnd-avg-proj-right* :

**assumes** *fSubspace*  $W$  *GSubspace*  $U$  *add-independentS*  $[W, U]$   $V = W \oplus U$

**defines**  $P : P \equiv (\bigoplus [W, U] \downarrow 1)$

**defines**  $CP$ :  $CP \equiv (\lambda g. \text{Gmult } (- g) \circ P \circ \text{Gmult } g)$

**defines**  $T : T \equiv (\text{fsmult } (1/\text{ord}G) \circ (\sum_{g \in G}. CP g)) \downarrow V$

**shows** *FGModuleEnd*  $G$  *smult*  $V$   $T$

**proof** (*rule* *VecEnd-GMap-is-FGModuleEnd*)

**from**  $T$  **have** *T-apply*:  $\bigwedge v. v \in V \implies T v = (1/\text{ord}G) \# \cdot (\sum_{g \in G}. CP g v)$   
**using** *finiteG sum-fun-apply[of G CP]* **by** *simp*

**from** *assms(1-4)*  $P$  **have** *Pgv*:  $\bigwedge g v. g \in G \implies v \in V \implies P (g * v) \in V$   
**using** *Gmult-closed* *VectorSpace-fSubspace* *VectorSpace.AbGroup[of fsmult W]*  
*RModule.AbGroup[of FG smult U]*  
*GroupEnd-inner-dirsum-el-decomp-nth[of [W, U] 1]*  
*GroupEnd.endomorph[of V]*  
**by** *force*

**have** *im-CP-V*:  $\bigwedge v. v \in V \implies (\lambda g. CP g v) \text{ ' } G \subseteq V$

**proof-**

**fix**  $v$  **assume**  $v \in V$  **thus**  $(\lambda g. CP g v) \text{ ' } G \subseteq V$

**using** *CP Pgv[of - v]* *ActingGroup.neg-closed* *Gmult-closed* *finiteG* **by** *auto*

qed

**have** *sumCP-V*:  $\bigwedge v. v \in V \implies (\sum_{g \in G}. CP g v) \in V$   
**using** *finiteG im-CP-V sum-closed* **by** *force*

**show** *VectorSpaceEnd*  $(\# \cdot) V T$

**proof** (

*rule* *VectorSpaceEndI*, *rule* *VectorSpace.VectorSpaceHomI*, *rule* *fVectorSpace*

)

**show** *GroupHom*  $V T$

**proof**

**fix**  $v v'$  **assume**  $vv'$ :  $v \in V v' \in V$

**with** *T-apply* **have**  $T (v + v') = (1/\text{ord}G) \# \cdot (\sum_{g \in G}. CP g (v + v'))$

**using** *add-closed* **by** *fast*

**moreover** **have**  $\bigwedge g. g \in G \implies CP g (v + v') = CP g v + CP g v'$

**proof-**

**fix**  $g$  **assume**  $g \in G$

**with** *CP P vv' assms(1-4)*

**have**  $CP g (v + v') = (- g) * \cdot ( P ( g * v ) + P ( g * v' ) )$

**using** *Gmult-distrib-left* *Gmult-closed* *VectorSpace-fSubspace*

*VectorSpace.AbGroup[of fsmult W]* *RModule.AbGroup[of FG smult U]*

*GroupEnd-inner-dirsum-el-decomp-nth[of [W, U] 1]*

*GroupEnd.hom[of V P]*

**by** *simp*

**with**  $g \ vv'$  **have**  $CP \ g \ (v + v')$   
 $\quad = (-g) * P \ (g * v) + (-g) * P \ (g * v')$   
**using**  $Pgv \ ActingGroup.neg-closed \ Gmult-distrib-left$  **by**  $simp$   
**thus**  $CP \ g \ (v + v') = CP \ g \ v + CP \ g \ v'$  **using**  $CP$  **by**  $simp$   
**qed**  
**ultimately show**  $T \ (v + v') = T \ v + T \ v'$   
**using**  $vv' \ sumCP-V[of \ v] \ sumCP-V[of \ v'] \ sum.distrib[of \ \lambda g. \ CP \ g \ v]$   
 $\quad T-apply$   
**by**  $simp$   
**next**  
**from**  $T$  **show**  $supp \ T \subseteq V$  **using**  $supp-restrict0$  **by**  $fast$   
**qed**

**show**  $\bigwedge a \ v. \ v \in V \implies T \ (a \ \sharp \cdot \ v) = a \ \sharp \cdot \ T \ v$

**proof-**

**fix**  $a::'f$  **and**  $v$  **assume**  $v: v \in V$

**with**  $T-apply$  **have**  $T \ (a \ \sharp \cdot \ v) = (1/ordG) \ \sharp \cdot \ (\sum_{g \in G}. \ CP \ g \ (a \ \sharp \cdot \ v))$

**using**  $fsmult-closed$  **by**  $fast$

**moreover have**  $\bigwedge g. \ g \in G \implies CP \ g \ (a \ \sharp \cdot \ v) = a \ \sharp \cdot \ CP \ g \ v$

**proof-**

**fix**  $g$  **assume**  $g \in G$

**with**  $assms(1-4) \ CP \ P \ v$  **show**  $CP \ g \ (a \ \sharp \cdot \ v) = a \ \sharp \cdot \ CP \ g \ v$

**using**  $fsmult-Gmult-comm \ GSubspace-is-Subspace \ Gmult-closed \ fVectorSpace$

$\quad VectorSpace.VectorSpaceEnd-inner-dirsum-el-decomp-nth[of \ fsmult]$

$\quad VectorSpaceEnd.f-map[of \ fsmult \ (\bigoplus N \leftarrow [W, U]. \ N) \ P \ a \ g \ * \cdot \ v]$

$\quad ActingGroup.neg-closed \ Pgv$

**by**  $simp$

**qed**

**ultimately show**  $T \ (a \ \sharp \cdot \ v) = a \ \sharp \cdot \ T \ v$

**using**  $v \ im-CP-V \ sumCP-V \ T-apply \ finiteG$

$\quad fsmult-sum-distrib[of \ a \ \lambda g. \ CP \ g \ v]$

$\quad fsmult-assoc[of \ 1/ordG \ a \ (\sum_{g \in G}. \ CP \ g \ v)]$

**by**  $simp$

**qed**

**show**  $T \ ' \ V \subseteq V$  **using**  $sumCP-V \ fsmult-closed \ T-apply \ image-subsetI$  **by**  $auto$

**qed**

**show**  $\bigwedge g \ v. \ g \in G \implies v \in V \implies T \ (g * \cdot \ v) = g * \cdot \ T \ v$

**proof-**

**fix**  $g \ v$  **assume**  $g: g \in G$  **and**  $v: v \in V$

**with**  $T-apply$  **have**  $T \ (g * \cdot \ v) = (1/ordG) \ \sharp \cdot \ (\sum_{h \in G}. \ CP \ h \ (g * \cdot \ v))$

**using**  $Gmult-closed$  **by**  $fast$

**with**  $g$  **have**  $T \ (g * \cdot \ v) = (1/ordG) \ \sharp \cdot \ (\sum_{h \in G}. \ CP \ (h + -g) \ (g * \cdot \ v))$

**using**  $GroupG \ Group.neg-closed$

$\quad Group.right-translate-sum[of \ G \ - \ g \ \lambda h. \ CP \ h \ (g * \cdot \ v)]$

**by**  $fastforce$

**moreover from**  $CP$

**have**  $\bigwedge h. h \in G \implies CP (h + - g) (g * \cdot v) = g * \cdot CP h v$   
**using**  $g v$  *Gmult-closed*[of  $g v$ ] *ActingGroup.neg-closed*  
*Gmult-assoc*[of  $- - g g * \cdot v$ , *THEN sym*]  
*Gmult-neg-left minus-add*[of  $- - g$ ] *Pgv Gmult-assoc*  
**by** *simp*  
**ultimately show**  $T (g * \cdot v) = g * \cdot T v$   
**using**  $g v$  *GmultD finiteG FG-fddg-closed im-CP-V sumCP-V*  
*smult-sum-distrib*[of  $1 \delta \delta g G$ ]  
*fsmult-Gmult-comm*[of  $g \sum_{h \in G}. (CP h v)$ ] *T-apply*  
**by** *simp*  
**qed**

**qed**

**lemma** *avg-proj-is-proj-right* :

**assumes** *VectorSpace fsmult W GSubspace U add-independentS* [ $W, U$ ]  
 $V = W \oplus U v \in V$   
**defines**  $P : P \equiv (\bigoplus [W, U] \downarrow 1)$   
**defines**  $CP : CP \equiv (\lambda g. Gmult (- g) \circ P \circ Gmult g)$   
**defines**  $T : T \equiv fsmult (1/ordG) \circ (\sum_{g \in G}. CP g)$   
**shows**  $T (T v) = T v$   
**using** *assms avg-proj-onto-right GSubspace-is-FinGroupRep*  
*FinGroupRepresentation.avg-proj-eq-id-on-right*  
**by** *fast*

**end**

### 6.3.2 The theorem

**context** *FinGroupRepresentation*  
**begin**

**theorem** *Maschke* :

**assumes** *GSubspace U*  
**shows**  $\exists W. GSubspace W \wedge V = W \oplus U$   
**proof** (*cases V = 0*)  
**case** *True*  
**moreover from** *assms True* **have**  $U = 0$  **using** *RModule.zero-closed* **by** *auto*  
**ultimately have**  $V = 0 + U$  **using** *set-plus-def* **by** *fastforce*  
**moreover have** *add-independentS* [ $0, U$ ] **by** *simp*  
**ultimately have**  $V = 0 \oplus U$  **using** *inner-dirsum-doubleI* **by** *fast*  
**moreover have** *GSubspace 0* **using** *trivial-RSubmodule zero-closed* **by** *auto*  
**ultimately show**  $\exists W. GSubspace W \wedge V = W \oplus U$  **by** *fast*  
**next**  
**case** *False*  
**from** *assms* **obtain**  $W'$   
**where**  $W' : VectorSpace.Subspace fsmult V W' \wedge V = W' \oplus U$   
**using** *GSubspace-is-Subspace FinDim VectorSpace FinDim VectorSpace.semisimple*  
**by** *force*

**hence**  $vsp-W'$ :  $VectorSpace$   $fsmult$   $W'$  **and**  $dirsum$ :  $V = W' \oplus U$   
**using**  $VectorSpace.SubspaceD1[OF fVectorSpace]$  **by**  $auto$   
**from**  $False$   $dirsum$  **have**  $indS$ :  $add-independentS$   $[W', U]$   
**using**  $inner-dirsumD2$  **by**  $fastforce$   
**define**  $P$  **where**  $P = (\bigoplus [W', U] \downarrow 1)$   
**define**  $CP$  **where**  $CP = (\lambda g. Gmult (- g) \circ P \circ Gmult g)$   
**define**  $S$  **where**  $S = fsmult (1/ordG) \circ (\sum_{g \in G}. CP g)$   
**define**  $W$  **where**  $W = GroupHom.Ker V (S \downarrow V)$   
**from**  $assms$   $W'$   $indS$   $S-def$   $CP-def$   $P-def$  **have**  $endo$ :  $GRepEnd (S \downarrow V)$   
**using**  $FGModuleEnd-avg-proj-right$  **by**  $fast$   
**moreover from**  $S-def$   $CP-def$   $P-def$  **have**  $\bigwedge v. v \in V \implies (S \downarrow V) ((S \downarrow V) v) = (S \downarrow V) v$   
**using**  $endo$   $FGModuleEnd.endomorph$   
 $avg-proj-is-proj-right[OF vsp-W' assms indS dirsum]$   
**by**  $fastforce$   
**moreover have**  $(S \downarrow V) ' V = U$   
**proof-**  
**from**  $assms$   $indS$   $P-def$   $CP-def$   $S-def$   $dirsum$   $vsp-W'$  **have**  $S ' V = U$   
**using**  $avg-proj-onto-right$  **by**  $fast$   
**moreover have**  $(S \downarrow V) ' V = S ' V$  **by**  $auto$   
**ultimately show**  $?thesis$  **by**  $fast$   
**qed**  
**ultimately have**  $V = W \oplus U$   $GSubspace$   $W$   
**using**  $W-def$   $FGModuleEnd.proj-decomp[of G smult V S \downarrow V]$   
 $FGModuleEnd.GSubspace-Ker$   
**by**  $auto$   
**thus**  $?thesis$  **by**  $fast$   
**qed**

**corollary**  $Maschke-proper$  :

**assumes**  $GSubspace$   $U$   $U \neq 0$   $U \neq V$   
**shows**  $\exists W. GSubspace$   $W$   $W \wedge W \neq 0 \wedge W \neq V \wedge V = W \oplus U$   
**proof-**

**from**  $assms(1)$  **obtain**  $W$  **where**  $W$ :  $GSubspace$   $W$   $V = W \oplus U$   
**using**  $Maschke$  **by**  $fast$   
**from**  $assms(3)$   $W(2)$  **have**  $W \neq 0$  **using**  $inner-dirsum-double-left0$  **by**  $fast$   
**moreover from**  $assms(1,2)$   $W$  **have**  $W \neq V$   
**using**  $Subgroup-RSubmodule$   $Group.nonempty$   
 $inner-dirsum-double-leftfull-imp-right0[of W U]$   
**by**  $fastforce$   
**ultimately show**  $?thesis$  **using**  $W$  **by**  $fast$   
**qed**

**end**

### 6.3.3 Consequence: complete reducibility

**lemma (in**  $FinGroupRepresentation$ )  $notIrr-decompose$  :  
 $\neg IrrFinGroupRepresentation$   $G$   $smult$   $V$

$$\begin{aligned} &\implies \exists U W. \text{GSubspace } U \wedge U \neq 0 \wedge U \neq V \wedge \text{GSubspace } W \wedge W \neq 0 \\ &\quad \wedge W \neq V \wedge V = U \oplus W \end{aligned}$$

**using** *notIrr Maschke-proper* **by** *blast*

In the following decomposition lemma, we do not need to explicitly include the condition that all  $U$  in *set Us* are subsets of  $V$ . (See lemma *Ab-Group-subset-inner-dirsum*.)

**lemma** *FinGroupRepresentation-reducible'* :

**fixes**  $n::\text{nat}$

**shows**  $\bigwedge V. \text{FinGroupRepresentation } G \text{ fgsmult } V$

$$\wedge n = \text{FGModule.fdim fgsmult } V$$

$$\implies (\exists Us. \text{Ball } (\text{set } Us) (\text{IrrFinGroupRepresentation } G \text{ fgsmult}))$$

$$\wedge (0 \notin \text{set } Us) \wedge V = (\bigoplus U \leftarrow Us. U)$$

**proof** (*induct n rule: full-nat-induct*)

**fix**  $n V$

**define**  $G\text{Rep } IG\text{Rep } G\text{Subspace } G\text{Span } \text{fdim}$

**where**  $G\text{Rep} = \text{FinGroupRepresentation } G \text{ fgsmult}$

**and**  $IG\text{Rep} = \text{IrrFinGroupRepresentation } G \text{ fgsmult}$

**and**  $G\text{Subspace} = \text{FGModule.GSubspace } G \text{ fgsmult } V$

**and**  $G\text{Span} = \text{FGModule.GSpan } G \text{ fgsmult}$

**and**  $\text{fdim} = \text{FGModule.fdim fgsmult}$

**assume**  $\forall m. \text{Suc } m \leq n \longrightarrow (\forall x. G\text{Rep } x \wedge m = \text{fdim } x \longrightarrow (\exists Us.$

$$\text{Ball } (\text{set } Us) IG\text{Rep} \wedge (0 \notin \text{set } Us) \wedge x = (\bigoplus U \leftarrow Us. U))$$

**hence** *prev-case*:

$$\bigwedge m. m < n \implies (\bigwedge W. G\text{Rep } W \implies m = \text{fdim } W \implies (\exists Us.$$

$$\text{Ball } (\text{set } Us) IG\text{Rep} \wedge (0 \notin \text{set } Us) \wedge W = (\bigoplus U \leftarrow Us. U))$$

**using** *Suc-le-eq* **by** *auto*

**show**  $G\text{Rep } V \wedge n = \text{fdim } V \implies (\exists Us.$

$$\text{Ball } (\text{set } Us) IG\text{Rep} \wedge (0 \notin \text{set } Us) \wedge V = (\bigoplus U \leftarrow Us. U)$$

**proof-**

**assume**  $V: G\text{Rep } V \wedge n = \text{fdim } V$

**show**  $(\exists Us. \text{Ball } (\text{set } Us) IG\text{Rep} \wedge (0 \notin \text{set } Us) \wedge V = (\bigoplus U \leftarrow Us. U))$

**proof** (*cases IGRep V V = 0 rule: conjcases*)

**case** *BothTrue*

**moreover have**  $\text{Ball } (\text{set } []) IG\text{Rep} \forall U \in \text{set } []. U \neq 0$  **by** *auto*

**ultimately show** *?thesis* **using** *inner-dirsum-Nil* **by** *fast*

**next**

**case** *OneTrue*

**with**  $V$  *GRep-def* **obtain**  $v$  **where**  $v \in V v \neq 0$

**using** *FinGroupRepresentation.Group[of G fgsmult]* *Group.obtain-nonzero*

**by** *auto*

**from**  $v(1)$   $V$  *GRep-def* *GSpan-def* *GSubspace-def* **have**  $G\text{Sub}: G\text{Subspace}$   
( $G\text{Span } [v]$ )

**using** *FinGroupRepresentation.GSubmodule-GSpan-single* **by** *fast*

**moreover from**  $v$   $V$  *GRep-def* *GSpan-def* **have**  $n\text{zero}: G\text{Span } [v] \neq 0$

**using** *FinGroupRepresentation.GSpan-single-nonzero* **by** *fast*

**ultimately have**  $V = G\text{Span } [v]$

**using** *OneTrue GSpan-def GSubspace-def IGRep-def IrrFinGroupRepresentation.irr*

```

    by fast
  with OneTrue
    have Ball (set [GSpan [v]]) IGRep 0 ∉ set [GSpan [v]]
      V = (⊕ U←[GSpan [v]]. U)
    using nzero GSub inner-dirsum-singleD
    by auto
  thus ?thesis by fast
next
case OtherTrue with V GRep-def IGRep-def show ?thesis
  using FinGroupRepresentation.IrrFinGroupRep-trivialGSubspace by fast
next
case BothFalse
with V GRep-def IGRep-def GSubspace-def obtain U W
  where U: GSubspace U U ≠ 0 U ≠ V
  and W: GSubspace W W ≠ 0 W ≠ V
  and Vdecompose: V = U ⊕ W
  using FinGroupRepresentation.notIrr-decompose[of G fgsmult V]
  by auto
from U(1,3) W(1,3) V GRep-def GSubspace-def fdim-def
  have fdim U < fdim V fdim W < fdim V
  using FinGroupRepresentation.axioms(1)
    FGModule.GSubspace-is-Subspace[of G fgsmult V U]
    FGModule.GSubspace-is-Subspace[of G fgsmult V W]
    FinGroupRepresentation.FinDimVectorSpace[of G fgsmult V]
    FinDimVectorSpace.Subspace-dim-lt[
      of aezfun-scalar-mult.fsmult fgsmult V U
    ]
  ]
  FinDimVectorSpace.Subspace-dim-lt[
    of aezfun-scalar-mult.fsmult fgsmult V W
  ]
  ]
  by auto
from this U(1) W(1) V GSubspace-def obtain Us Ws
  where Ball (set Us) IGRep ∧ (0 ∉ set Us) ∧ U = (⊕ X←Us. X)
  and Ball (set Ws) IGRep ∧ (0 ∉ set Ws) ∧ W = (⊕ X←Ws. X)
  using prev-case[of fdim U] prev-case[of fdim W] GRep-def
    FinGroupRepresentation.GSubspace-is-FinGroupRep[
      of G fgsmult V U
    ]
  ]
  FinGroupRepresentation.GSubspace-is-FinGroupRep[
    of G fgsmult V W
  ]
  ]
  by fastforce
hence Us: Ball (set Us) IGRep 0 ∉ set Us U = (⊕ X←Us. X)
  and Ws: Ball (set Ws) IGRep 0 ∉ set Ws W = (⊕ X←Ws. X)
  by auto
from Us(1) Ws(1) have Ball (set (Us@Ws)) IGRep by auto
moreover from Us(2) Ws(2) have 0 ∉ set (Us@Ws) by auto
moreover have V = (⊕ X←(Us@Ws). X)
proof-

```

**from**  $U(2)$   $Us(3)$   $W(2)$   $Ws(3)$   
**have**  $indUs$ : *add-independentS*  $Us$   
**and**  $indWs$ : *add-independentS*  $Ws$   
**using** *inner-dirsumD2*  
**by** *auto*  
**moreover from** *IGRep-def*  $Us(1)$  **have**  $Ball$  (*set*  $Us$ ) ( $(\in) 0$ )  
**using** *IrrFinGroupRepresentation.axioms(1)*[*of*  $G$  *fgsmult*]  
*FinGroupRepresentation.zero-closed*[*of*  $G$  *fgsmult*]  
**by** *fast*  
**moreover from**  $Us(3)$   $Ws(3)$  *BothFalse* *Vdecompose*  $indUs$   $indWs$   
**have** *add-independentS*  $[(\sum X \leftarrow Us. X), (\sum X \leftarrow Ws. X)]$   
**using** *inner-dirsumD2*[*of*  $[U, W]$ ] *inner-dirsumD*[*of*  $Us$ ]  
*inner-dirsumD*[*of*  $Ws$ ]  
**by** *auto*  
**ultimately have** *add-independentS* ( $Us@Ws$ )  
**using** *add-independentS-double-sum-conv-append* **by** *auto*  
**moreover from**  $W(1)$   $Ws(3)$   $indWs$  **have**  $0 \in (\sum X \leftarrow Ws. X)$   
**using** *inner-dirsumD* *GSubspace-def* *RModule.zero-closed* **by** *fast*  
**ultimately show** *?thesis*  
**using** *Vdecompose*  $Us(3)$   $Ws(3)$  *inner-dirsum-append* **by** *fast*  
**qed**  
**ultimately show** *?thesis* **by** *fast*  
**qed**  
**qed**  
**qed**

**theorem** (*in* *FinGroupRepresentation*) *reducible* :  
 $\exists Us. (\forall U \in set\ Us. IrrFinGroupRepresentation\ G\ smult\ U) \wedge (0 \notin set\ Us)$   
 $\wedge V = (\bigoplus U \leftarrow Us. U)$   
**using** *FinGroupRepresentation.axioms* *FinGroupRepresentation-reducible'* **by** *fast*

### 6.3.4 Consequence: decomposition relative to a homomorphism

**lemma** (*in* *FinGroupRepresentation*) *GRepHom-decomp* :  
**fixes**  $T :: 'v \Rightarrow 'w :: ab\ group\ add$   
**defines**  $KerT : KerT \equiv (ker\ T \cap V)$   
**assumes**  $hom : GRepHom\ smult'\ T$  **and**  $nonzero : V \neq 0$   
**shows**  $\exists U. GSubspace\ U \wedge V = U \oplus KerT$   
 $\wedge FGModule.isomorphic\ G\ smult\ U\ smult'\ (T \text{ ` } V)$

**proof**–

**from**  $KerT$  **have**  $KerT'$ : *GSubspace*  $KerT$   
**using** *FGModuleHom.GSubspace-Ker*[*OF*  $hom$ ] **by** *fast*  
**from this obtain**  $U$  **where**  $U$ : *GSubspace*  $U\ V = U \oplus KerT$   
**using** *Maschke*[*of*  $KerT$ ] **by** *fast*  
**from**  $nonzero\ U(2)$  **have**  $indep$ : *add-independentS*  $[U, KerT]$   
**using** *inner-dirsumD2* **by** *fastforce*  
**have** *FGModuleIso*  $G\ smult\ U\ smult'\ (T \downarrow U)\ (T \text{ ` } V)$   
**proof** (*rule* *FGModuleIso.intro*)  
**from**  $U(1)$  **show** *FGModuleHom*  $G\ (\cdot)\ U\ smult'\ (T \downarrow U)$



```

    using FGModuleHom.FGModuleHom-restrict0-GSubspace[OF hom] by fast
  show FGModuleIso-axioms U (T ↓ U) (T ‘ V)
    unfolding FGModuleIso-axioms-def bij-betw-def
  proof (rule conjI, rule inj-onI)
    show (T ↓ U) ‘ U = T ‘ V
  proof
    from U(1) show (T ↓ U) ‘ U ⊆ T ‘ V by auto
    show (T ↓ U) ‘ U ⊇ T ‘ V
  proof (rule image-subsetI)
    fix v assume v ∈ V
    with U(2) obtain u k where uk: u ∈ U k ∈ KerT v = u + k
      using inner-dirsum-doubleD[OF indep] set-plus-def[of U KerT] by fast
    with KerT U(1) have T v = (T ↓ U) u
      using kerD FGModuleHom.additive[OF hom] by force
    with uk(1) show T v ∈ (T ↓ U) ‘ U by fast
  qed
qed
next
fix x y assume xy: x ∈ U y ∈ U (T ↓ U) x = (T ↓ U) y
with U(1) KerT have x - y ∈ U ∩ KerT
  using FGModuleHom.eq-im-imp-diff-in-Ker[OF hom]
    GSubspace-is-FGModule FGModule.diff-closed[of G smult U x y]
  by auto
moreover from U(1) have AbGroup U using RModule.AbGroup by fast
moreover from KerT' have AbGroup KerT
  using RModule.AbGroup by fast
ultimately show x = y
  using indep AbGroup-inner-dirsum-pairwise-int0-double[of U KerT]
  by force
qed
qed
with U show ?thesis by fast
qed

```

## 6.4 Schur's lemma

```

lemma (in IrrFinGroupRepresentation) Schur-Ker :
  GRepHom smult' T ⇒ T ‘ V ≠ 0 ⇒ inj-on T V
  using irr FGModuleHom.GSubspace-Ker[of G smult V smult' T]
    FGModuleHom.Ker-Im-iff[of G smult V smult' T]
    FGModuleHom.Ker0-imp-inj-on[of G smult V smult' T]
  by auto

```

```

lemma (in FinGroupRepresentation) Schur-Im :
  assumes IrrFinGroupRepresentation G smult' W GRepHom smult' T
    T ‘ V ⊆ W
    T ‘ V ≠ 0
  shows T ‘ V = W
proof-

```

```

have FGModule.GSubspace G smult' W (T ' V)
proof
  from assms(2) show RModule FG smult' (T ' V)
  using FGModuleHom.axioms(2)[of G]
  RModuleHom.RModule-Im[of FG smult V smult' T]
  by fast
  from assms(3) show T ' V  $\subseteq$  W by fast
qed
with assms(1,4) show ?thesis using IrrFinGroupRepresentation.irr by fast
qed

```

```

theorem (in IrrFinGroupRepresentation) Schur1 :
  assumes IrrFinGroupRepresentation G smult' W
  GRepHom smult' T T ' V  $\subseteq$  W T ' V  $\neq$  0
  shows GRepIso smult' T W
proof (rule FGModuleIso.intro, rule assms(2), unfold-locales)
  show bij-betw T V W
  using IrrFinGroupRepresentation-axioms assms
  IrrFinGroupRepresentation.axioms(1)[of G]
  FinGroupRepresentation.Schur-Im[of G]
  IrrFinGroupRepresentation.Schur-Ker[of G smult V smult' T]
  unfolding bij-betw-def
  by fast
qed

```

```

theorem (in IrrFinGroupRepresentation) Schur2 :
  assumes GRep      : GRepEnd T
  and   fdim      : fdim > 0
  and   alg-closed:  $\bigwedge p: 'b \text{ poly. degree } p > 0 \implies \exists c. \text{poly } p \ c = 0$ 
  shows  $\exists c. \forall v \in V. T \ v = c \ \# \cdot \ v$ 
proof-
  from fdim alg-closed obtain e u where eu:  $u \in V \ u \neq 0 \ T \ u = e \ \# \cdot \ u$ 
  using FGModuleEnd.VectorSpaceEnd[OF GRep]
  FinDim VectorSpace.endomorph-has-eigenvector[
    OF FinDim VectorSpace, of T
  ]
  by fast
  define U where  $U = \{v \in V. T \ v = e \ \# \cdot \ v\}$ 
  moreover from eu U-def have  $U \neq 0$  by auto
  ultimately have  $\forall v \in V. T \ v = e \ \# \cdot \ v$ 
  using GRep irr FGModuleEnd.axioms(1)[of G smult V T]
  GSubspace-eigenspace[of G smult]
  by fast
  thus ?thesis by fast
qed

```

## 6.5 The group ring as a representation space

### 6.5.1 The group ring is a representation space

```

lemma (in Group) FGModule-FG :
  defines FG: FG ≡ group-ring :: ('f::field, 'g) aezfun set
  shows FGModule G (*) FG
proof (rule FGModule.intro, rule Group-axioms, rule RModuleI)
  show 1: Ring1 group-ring using Ring1-RG by fast
  from 1 FG show Group FG using Ring1.axioms(1) by fast
  from 1 FG show RModule-axioms group-ring (*) FG
  using Ring1.mult-closed
  by unfold-locales (auto simp add: algebra-simps)
qed

theorem (in Group) FinGroupRepresentation-FG :
  defines FG: FG ≡ group-ring :: ('f::field, 'g) aezfun set
  assumes good-char: of-nat (card G) ≠ (0::'f)
  shows FinGroupRepresentation G (*) FG
proof (rule FinGroupRepresentation.intro)
  from FG show FGModule G (*) FG using FGModule-FG by fast
  show FinGroupRepresentation-axioms G (*) FG
  proof
    from FG good-char obtain gs
      where gs: set gs = G
            ∀ f ∈ FG. ∃ bs. length bs = length gs
            ∧ f = (∑ (b,g)←zip bs gs. (b δδ 0) * (1 δδ g))
      using good-card-imp-finite FinGroupI FinGroup.group-ring-spanning-set
      by fast
    define xs where xs = map ((δδ) (1::'f)) gs
    with FG gs(1) have 1: set xs ⊆ FG using RG-aezdeltafun-closed by auto
    moreover have aezfun-scalar-mult.fSpan (*) xs = FG
    proof
      from 1 FG show aezfun-scalar-mult.fSpan (*) xs ⊆ FG
        using FGModule-FG FGModule.fSpan-closed by fast
      show aezfun-scalar-mult.fSpan (*) xs ⊇ FG
      proof
        fix x assume x ∈ FG
        from this gs(2) obtain bs
          where bs: length bs = length gs
                x = (∑ (b,g)←zip bs gs. (b δδ 0) * (1 δδ g))
          by fast
        from bs(2) xs-def have x = (∑ (b,a)←zip bs xs. (b δδ 0) * a)
          using sum-list-prod-map2[THEN sym] by fast
        with bs(1) xs-def show x ∈ aezfun-scalar-mult.fSpan (*) xs
          using aezfun-scalar-mult.fsmultD[of (*), THEN sym]
            sum-list-prod-cong[
              of zip bs xs λb a. (b δδ 0) * a
              λb a. aezfun-scalar-mult.fsmult (*) b a
            ]
      ]
    ]
  
```

$scalar-mult.lincomb-def[of\ aezfun-scalar-mult.fsmult\ (*)\ bs\ xs]$   
 $scalar-mult.SpanD-lincomb[of\ aezfun-scalar-mult.fsmult\ (*)]$   
 by force  
 qed  
 qed  
 ultimately show  $\exists xs. set\ xs \subseteq FG \wedge aezfun-scalar-mult.fSpan\ (*)\ xs = FG$   
 by fast  
 qed (rule good-char)  
 qed

**lemma** (in *FinGroupRepresentation*) *FinGroupRepresentation-FG* :  
*FinGroupRepresentation*  $G\ (*)\ FG$   
 using good-char *ActingGroup.FinGroupRepresentation-FG* by fast

**lemma** (in *Group*) *FG-reducible* :  
 assumes of-nat (card  $G$ )  $\neq (0::'f::field)$   
 shows  $\exists Us::('f,'g)\ aezfun\ set\ list.$   
 $(\forall U \in set\ Us. IrrFinGroupRepresentation\ G\ (*)\ U) \wedge 0 \notin set\ Us$   
 $\wedge group-ring = (\bigoplus U \leftarrow Us. U)$   
 using assms *FinGroupRepresentation-FG* *FinGroupRepresentation.reducible*  
 by fast

## 6.5.2 Irreducible representations are constituents of the group ring

**lemma** (in *FGModuleIso*) *isomorphic-to-irr-right* :  
 assumes *IrrFinGroupRepresentation*  $G\ smult'\ W$   
 shows *IrrFinGroupRepresentation*  $G\ smult\ V$   
**proof** (rule *FinGroupRepresentation.IrrI*)  
 from assms show *FinGroupRepresentation*  $G\ (\cdot)\ V$   
 using *IrrFinGroupRepresentation.axioms(1)* *isomorphic-sym*  
*FinGroupRepresentation.isomorphic-imp-GRep*  
 by fast  
 from assms show  $\bigwedge U. GSubspace\ U \implies U = 0 \vee U = V$   
 using *IrrFinGroupRepresentation.irr\_isomorphic-to-irr-right'* by fast  
 qed

**lemma** (in *FinGroupRepresentation*) *IrrGSubspace-iso-constituent* :  
 assumes nonzero :  $V \neq 0$   
 and subsp :  $W \subseteq V\ W \neq 0\ IrrFinGroupRepresentation\ G\ smult\ W$   
 and V-decomp:  $\forall U \in set\ Us. IrrFinGroupRepresentation\ G\ smult\ U$   
 $0 \notin set\ Us\ V = (\bigoplus U \leftarrow Us. U)$   
 shows  $\exists U \in set\ Us. FGModule.isomorphic\ G\ smult\ W\ smult\ U$   
**proof**–  
 from V-decomp(1) have abGrp:  $\forall U \in set\ Us. AbGroup\ U$   
 using *IrrFinGroupRepresentation.AbGroup* by fast  
 from nonzero V-decomp(3) have indep: *add-independentS*  $Us$   
 using *inner-dirsumD2* by fast  
 with V-decomp (3) have  $\forall U \in set\ Us. U \subseteq V$

**using** *abGrp AbGroup-subset-inner-dirsum* **by** *fast*  
**with** *subsp(1,3) V-decomp(1)*  
**have** *GSubspaces: GSubspace W*  $\forall U \in \text{set } Us. \text{ GSubspace } U$   
**using** *IrrFinGroupRepresentation.axioms(1)[of G smult]*  
*FinGroupRepresentation.axioms(1)[of G smult] GSubspaceI*  
**by** *auto*  
**have**  $\bigwedge i. i < \text{length } Us \implies (\bigoplus Us \downarrow i) \cdot W \neq 0$   
 $\implies \text{FGModuleIso } G \text{ smult } W \text{ smult } ( (\bigoplus Us \downarrow i) \downarrow W ) (Us!i)$   
**proof-**  
**fix** *i* **assume** *i: i < length Us*  $(\bigoplus Us \downarrow i) \cdot W \neq 0$   
**from** *i(1) V-decomp(3)* **have** *GRepEnd*  $(\bigoplus Us \downarrow i)$   
**using** *GSubspaces(2) indep GEnd-inner-dirsum-el-decomp-nth* **by** *fast*  
**hence** *FGModuleHom G smult W smult*  $( (\bigoplus Us \downarrow i) \downarrow W )$   
**using** *GSubspaces(1) FGModuleEnd.FGModuleHom-restrict0-GSubspace*  
**by** *fast*  
**moreover** **have**  $( (\bigoplus Us \downarrow i) \downarrow W ) \cdot W \subseteq Us!i$   
**proof-**  
**from** *V-decomp(3) i(1) subsp(1,3)* **have**  $(\bigoplus Us \downarrow i) \cdot W \subseteq Us!i$   
**using** *indep abGrp AbGroup-inner-dirsum-el-decomp-nth-onto-nth* **by** *fast*  
**thus** *?thesis* **by** *auto*  
**qed**  
**moreover** **from** *i(1) V-decomp(1)*  
**have** *IrrFinGroupRepresentation G smult*  $(Us!i)$   
**by** *simp*  
**ultimately** **show** *FGModuleIso G smult W smult*  $( (\bigoplus Us \downarrow i) \downarrow W ) (Us!i)$   
**using** *i(2)*  
*IrrFinGroupRepresentation.SchurI[*  
*OF subsp(3), of smult Us!i*  $(\bigoplus Us \downarrow i) \downarrow W$   
*]*  
**by** *auto*  
**qed**  
**moreover** **from** *nonzero V-decomp(3)*  
**have**  $\forall i < \text{length } Us. (\bigoplus Us \downarrow i) \cdot W = 0 \implies W = 0$   
**using** *inner-dirsum-Nil abGrp subsp(1) indep*  
*AbGroup-inner-dirsum-subset-proj-eq-0[of Us W]*  
**by** *fastforce*  
**ultimately** **have**  $\exists i < \text{length } Us. \text{FGModuleIso } G \text{ smult } W \text{ smult}$   
 $( (\bigoplus Us \downarrow i) \downarrow W ) (Us!i)$   
**using** *subsp(2)* **by** *auto*  
**thus** *?thesis* **using** *set-conv-nth[of Us]* **by** *auto*  
**qed**

**theorem** (**in** *IrrFinGroupRepresentation*) *iso-FG-constituent* :  
**assumes** *nonzero* :  $V \neq 0$   
**and** *FG-decomp*:  $\forall U \in \text{set } Us. \text{IrrFinGroupRepresentation } G (*) U$   
 $0 \notin \text{set } Us \text{ FG} = (\bigoplus U \leftarrow Us. U)$   
**shows**  $\exists U \in \text{set } Us. \text{isomorphic } (*) U$   
**proof-**  
**from** *nonzero* **obtain** *v* **where**  $v: v \in V \ v \neq 0$  **using** *nonempty* **by** *auto*

```

define  $T$  where  $T = (\lambda x. x \cdot v) \downarrow FG$ 
have  $FGModuleHom\ G\ (*)\ FG\ smult\ T$ 
proof (rule  $FGModule.FGModuleHomI-fromaxioms$ )
  show  $FGModule\ G\ (*)\ FG$ 
  using  $ActingGroup.FGModule-FG$  by fast
  from  $T-def\ v(1)$  show  $\bigwedge v\ v'.\ v \in FG \implies v' \in FG \implies T\ (v + v') = T\ v +$ 
 $T\ v'$ 
  using  $Ring1.add-closed[OF\ Ring1]$   $smult-distrib-right$  by auto
  from  $T-def$  show  $supp\ T \subseteq FG$  using  $supp-restrict0$  by fast
  from  $T-def\ v(1)$  show  $\bigwedge r\ m.\ r \in FG \implies m \in FG \implies T\ (r * m) = r \cdot T\ m$ 
  using  $ActingGroup.RG-mult-closed$  by auto
qed
then obtain  $W$ 
  where  $W: FGModule.GSubspace\ G\ (*)\ FG\ W\ FG = W \oplus (ker\ T \cap FG)$ 
   $FGModule.isomorphic\ G\ (*)\ W\ smult\ (T\ ' FG)$ 
  using  $FG-n0$ 
   $FinGroupRepresentation.GRepHom-decomp[$ 
   $OF\ FinGroupRepresentation-FG$ 
   $]$ 
  by fast
from  $T-def\ v$  have  $T\ ' FG = V$  using  $eq-GSpan-single\ RSpan-single$  by auto
with  $W(3)$  have  $W': FGModule.isomorphic\ G\ (*)\ W\ smult\ V$  by fast
with  $W(1)$  nonzero have  $W \neq 0$ 
  using  $FGModule.GSubspace-is-FGModule[OF\ ActingGroup.FGModule-FG]$ 
   $FGModule.isomorphic-to-zero-left$ 
  by fastforce
moreover from  $W'$  have  $IrrFinGroupRepresentation\ G\ (*)\ W$ 
  using  $IrrFinGroupRepresentation-axioms\ FGModuleIso.isomorphic-to-irr-right$ 
  by fast
ultimately have  $\exists U \in set\ Us.\ FGModule.isomorphic\ G\ (*)\ W\ (*)\ U$ 
  using  $FG-decomp\ W(1)\ good-char\ FG-n0$ 
   $FinGroupRepresentation.IrrGSubspace-iso-constituent[$ 
   $OF\ ActingGroup.FinGroupRepresentation-FG,\ of\ W$ 
   $]$ 
  by simp
with  $W(1)\ W'$  show ?thesis
  using  $FGModule.GSubspace-is-FGModule[OF\ ActingGroup.FGModule-FG]$ 
   $FGModule.isomorphic-sym[of\ G\ (*)\ W\ smult]\ isomorphic-trans$ 
  by fast
qed

```

## 6.6 Isomorphism classes of irreducible representations

We have already demonstrated that the relation  $FGModule.isomorphic$  is reflexive (lemma  $FGModule.isomorphic-refl$ ), symmetric (lemma  $FGModule.isomorphic-sym$ ), and transitive (lemma  $FGModule.isomorphic-trans$ ). In this section, we provide a finite set of representatives for the resulting isomorphism classes of irreducible representations.

**context** *Group*  
**begin**

**primrec** *remisodups* :: ('f::field,'g) aezfun set list  $\Rightarrow$  ('f,'g) aezfun set list **where**  
*remisodups* [] = []  
| *remisodups* (U # Us) = (if  
 $(\exists W \in \text{set } Us. \text{FGModule.isomorphic } G (*) U (*) W)$   
then *remisodups* Us else U # *remisodups* Us)

**lemma** *set-remisodups* : set (*remisodups* Us)  $\subseteq$  set Us  
**by** (induct Us) auto

**lemma** *isodistinct-remisodups* :  
 $\llbracket \forall U \in \text{set } Us. \text{FGModule } G (*) U; V \in \text{set } (\text{remisodups } Us);$   
 $W \in \text{set } (\text{remisodups } Us); V \neq W \rrbracket$   
 $\implies \neg (\text{FGModule.isomorphic } G (*) V (*) W)$

**proof** (induct Us arbitrary: V W)  
**case** (Cons U Us)  
**show** ?case  
**proof** (cases  $\exists X \in \text{set } Us. \text{FGModule.isomorphic } G (*) U (*) X$ )  
**case** True **with** Cons **show** ?thesis **by** simp  
**next**  
**case** False **show** ?thesis  
**proof** (cases V=U W=U rule: conjcases)  
**case** BothTrue **with** Cons(5) **show** ?thesis **by** fast  
**next**  
**case** OneTrue **with** False Cons(4,5) **show** ?thesis  
**using** set-remisodups **by** auto  
**next**  
**case** OtherTrue **with** False Cons(2,3) **show** ?thesis  
**using** set-remisodups FGModule.isomorphic-sym[of G (\*) V (\*) W]  
**by** fastforce  
**next**  
**case** BothFalse **with** Cons False **show** ?thesis **by** simp  
**qed**  
**qed**  
**qed** simp

**definition** *FG-constituents*  $\equiv$  SOME Us.  
 $(\forall U \in \text{set } Us. \text{IrrFinGroupRepresentation } G (*) U)$   
 $\wedge 0 \notin \text{set } Us \wedge \text{group-ring} = (\bigoplus U \leftarrow Us. U)$

**lemma** *FG-constituents-irr* :  
of-nat (card G)  $\neq$  (0::'f::field)  
 $\implies \forall U \in \text{set } (\text{FG-constituents}::('f,'g) \text{ aezfun set list}).$   
IrrFinGroupRepresentation G (\*) U  
**using** someI-ex[OF FG-reducible] **unfolding** *FG-constituents-def* **by** fast

**lemma** *FG-constitents-n0*:

$of\_nat (card\ G) \neq (0::'f::field)$   
 $\implies 0 \notin set\ (FG\_constituents::('f,'g)\ aezfun\ set\ list)$   
**using** *someI-ex*[*OF FG-reducible*] **unfolding** *FG-constituents-def* **by** *fast*

**lemma** *FG-constituents-constituents* :  
 $of\_nat (card\ G) \neq (0::'f::field)$   
 $\implies (group\_ring::('f,'g)\ aezfun\ set) = (\bigoplus U \leftarrow FG\_constituents.\ U)$   
**using** *someI-ex*[*OF FG-reducible*] **unfolding** *FG-constituents-def* **by** *fast*

**definition** *GIrrRep-repset*  $\equiv 0 \cup set\ (remisodups\ FG\_constituents)$

**lemma** *finite-GIrrRep-repset* : *finite GIrrRep-repset*  
**unfolding** *GIrrRep-repset-def* **by** *simp*

**lemma** *all-irr-GIrrRep-repset* :  
**assumes**  $of\_nat (card\ G) \neq (0::'f::field)$   
**shows**  $\forall U \in (GIrrRep\_repset::('f,'g)\ aezfun\ set\ set).$   
 $IrrFinGroupRepresentation\ G\ (*)\ U$

**proof**  
**fix**  $U :: ('f,'g)\ aezfun\ set$  **assume**  $U \in GIrrRep\_repset$   
**with** *assms* **show**  $IrrFinGroupRepresentation\ G\ (*)\ U$   
**using** *trivial-IrrFinGroupRepresentation-in-FG GIrrRep-repset-def*  
 $set\_remisodups\ FG\_constituents\_irr$   
**by**  $(cases\ U = 0)\ auto$   
**qed**

**lemma** *isodistinct-GIrrRep-repset* :  
**defines**  $GIRRS \equiv GIrrRep\_repset :: ('f::field,'g)\ aezfun\ set\ set$   
**assumes**  $of\_nat (card\ G) \neq (0::'f)\ V \in GIRRS\ W \in GIRRS\ V \neq W$   
**shows**  $\neg (FGModule.isomorphic\ G\ (*)\ V\ (*)\ W)$   
**proof**  $(cases\ V = 0\ W = 0\ rule: conjcases)$   
**case** *BothTrue* **with** *assms*(5) **show** *?thesis* **by** *fast*  
**next**  
**case** *OneTrue* **with** *assms*(1,2,4,5) **show** *?thesis*  
**using** *GIrrRep-repset-def set-remisodups FG-constituents-n0*  
 $trivial-FGModule[of\ (*)]\ FGModule.isomorphic-to-zero-left[of\ G\ (*)]$   
**by** *fastforce*  
**next**  
**case** *OtherTrue*  
**moreover** **with** *assms*(1,3) **have**  $V \in set\ FG\_constituents$   
**using** *GIrrRep-repset-def set-remisodups* **by** *auto*  
**ultimately** **show** *?thesis*  
**using** *assms*(2) *FG-constituents-n0 FG-constituents-irr*  
 $IrrFinGroupRepresentation.axioms(1)$   
 $FinGroupRepresentation.axioms(1)$   
 $FGModule.isomorphic-to-zero-right[of\ G\ (*)\ V\ (*)]$   
**by** *fastforce*  
**next**  
**case** *BothFalse*



**with** *assms*(1,3,4) **have**  $V \in \text{set}(\text{remisodups FG-constituents})$   
 $W \in \text{set}(\text{remisodups FG-constituents})$   
**using** *GIrrRep-repset-def* **by** *auto*  
**with** *assms*(2,5) **show** *?thesis*  
**using** *FG-constituents-irr IrrFinGroupRepresentation.axioms(1)*  
*FinGroupRepresentation.axioms(1) isodistinct-remisodups*  
**by** *fastforce*  
**qed**  
**end**

**lemma** (in *FGModule*) *iso-in-list-imp-iso-in-remisodups* :  
 $\exists U \in \text{set } Us. \text{isomorphic } (*) U$   
 $\implies \exists U \in \text{set}(\text{ActingGroup.remisodups } Us). \text{isomorphic } (*) U$   
**proof** (*induct Us*)  
**case** (*Cons U Us*)  
**from** *Cons(2)* **obtain**  $W$  **where**  $W: W \in \text{set}(U \# Us) \text{isomorphic } (*) W$   
**by** *fast*  
**show** *?case*  
**proof** (  
*cases*  $W = U \exists X \in \text{set } Us. \text{FGModule.isomorphic } G (*) U (*) X$   
*rule: conjcases*  
)  
**case** *BothTrue* **with** *W(2) Cons(1)* **show** *?thesis*  
**using** *isomorphic-trans[of (\*) W]* **by** *force*  
**next**  
**case** *OneTrue* **with** *W(2)* **show** *?thesis* **by** *simp*  
**next**  
**case** *OtherTrue* **with** *Cons(1) W* **show** *?thesis* **by** *auto*  
**next**  
**case** *BothFalse* **with** *Cons(1) W* **show** *?thesis* **by** *auto*  
**qed**  
**qed** *simp*

**lemma** (in *IrrFinGroupRepresentation*) *iso-to-GIrrRep-rep* :  
 $\exists U \in \text{ActingGroup.GIrrRep-repset}. \text{isomorphic } (*) U$   
**using** *zero-isomorphic-to-FG-zero ActingGroup.GIrrRep-repset-def*  
*good-char ActingGroup.FG-constituents-irr*  
*ActingGroup.FG-constitents-n0 ActingGroup.FG-constituents-constituents*  
*iso-FG-constituent iso-in-list-imp-iso-in-remisodups*  
*ActingGroup.GIrrRep-repset-def*  
**by** (*cases V = 0*) *auto*

**theorem** (in *Group*) *iso-class-reps* :  
**defines**  $GIRRS \equiv \text{GIrrRep-repset} :: ('f::\text{field}, 'g) \text{aezfun set set}$   
**assumes** *of-nat (card G)  $\neq$  (0::'f)*  
**shows** *finite GIRRS*  
 $\forall U \in GIRRS. \text{IrrFinGroupRepresentation } G (*) U$   
 $\wedge U W. \llbracket U \in GIRRS; W \in GIRRS; U \neq W \rrbracket$

```

    ⇒ ¬ (FGModule.isomorphic G (*) U (*) W)
  ∧ fgsmult V. IrrFinGroupRepresentation G fgsmult V
    ⇒ ∃ U ∈ GIRRS. FGModule.isomorphic G fgsmult V (*) U
using assms finite-GIrrRep-repset all-irr-GIrrRep-repset
        isodistinct-GIrrRep-repset IrrFinGroupRepresentation.iso-to-GIrrRep-rep
by auto

```

## 6.7 Induced representations

### 6.7.1 Locale and basic facts

```

locale InducedFinGroupRepresentation = Supgroup?: Group H
+ BaseRep?: FinGroupRepresentation G smult V
+ induced-smult?: aezfun-scalar-mult rrsmult
  for H      :: 'g::group-add set
  and G      :: 'g set
  and smult   :: ('f::field, 'g) aezfun ⇒ 'v::ab-group-add ⇒ 'v (infixl · 70)
  and V      :: 'v set
  and rrsmult :: ('f, 'g) aezfun ⇒ (('f, 'g) aezfun ⇒ 'v)
                    ⇒ (('f, 'g) aezfun ⇒ 'v) (infixl ⌘ 70)
+ fixes FH    :: ('f, 'g) aezfun set
  and indV    :: (('f, 'g) aezfun ⇒ 'v) set
  defines FH   : FH ≡ Supgroup.group-ring
  and indV    : indV ≡ BaseRep.indspace G FH V
  assumes rrsmult : rrsmult = Ring1.rightreg-scalar-mult FH
  and good-ordSupgrp: of-nat (card H) ≠ (0::'f)
  and Subgroup   : Supgroup.Subgroup G

```

```

sublocale InducedFinGroupRepresentation < InducedFHModule
  using FH indV rrsmult Subgroup by unfold-locales fast

```

```

context InducedFinGroupRepresentation
begin

```

```

abbreviation ordH :: 'f where ordH ≡ of-nat (card H)
abbreviation is-Vfbasis ≡ fbasis-for V
abbreviation GRepHomSet ≡ FGModuleHomSet G smult V
abbreviation HRepHom    ≡ FGModuleHom H rrsmult indV
abbreviation HRepHomSet ≡ FGModuleHomSet H rrsmult indV

```

```

lemma finiteSupgroup: finite H
  using good-ordSupgrp good-card-imp-finite by fast

```

```

lemma FinGroupSupgroup : FinGroup H
  using finiteSupgroup Supgroup.FinGroupI by fast

```

```

lemmas fVectorSpace      = fVectorSpace
lemmas FinDim VectorSpace = FinDim VectorSpace
lemmas ex-rcoset-replist-hd0
  = FinGroup.ex-rcoset-replist-hd0[OF FinGroupSupgroup Subgroup]

```

end

## 6.7.2 A basis for the induced space

context *InducedFinGroupRepresentation*

begin

abbreviation *negHorbit-list*  $\equiv$  *induced-smult.negGorbit-list*

lemmas *ex-rcoset-replist*

$=$  *FinGroup.ex-rcoset-replist*[*OF FinGroupSupgroup Subgroup*]

lemmas *length-negHorbit-list*  $=$  *induced-smult.length-negGorbit-list*

lemmas *length-negHorbit-list-sublist*  $=$  *induced-smult.length-negGorbit-list-sublist*

lemmas *negHorbit-list-indV*  $=$  *FGModule.negGorbit-list-V*[*OF FHModule-indspace*]

lemma *flincomb-Horbit-induced-veclist-reduce* :

fixes *vs*  $::$  'v list

and *hs*  $::$  'g list

defines *hfvs*  $\equiv$  *negHorbit-list hs induced-vector vs*

assumes *vs*  $:$  set *vs*  $\subseteq$  *V* and *i*: *i* < length *hs*

and *scalars*  $:$  list-all2 ( $\lambda$ rs ms. length rs = length ms) *css hfvs*

and *rcoset-reps* : *Supgroup.is-rcoset-replist G hs*

shows  $((\text{concat } \text{css}) \cdot \boxtimes \boxtimes (\text{concat } \text{hfvs})) (1 \delta \delta (hs!i)) = (\text{css}!i) \cdot \sharp \cdot \text{vs}$

proof-

have *mostly-zero*:

$\bigwedge k j. k < \text{length } hs \implies j < \text{length } hs$

$\implies ((\text{css}!j) \cdot \boxtimes \boxtimes (\text{hfvs}!j)) (1 \delta \delta hs!k)$

$= (\text{if } j = k \text{ then } (\text{css}!k) \cdot \sharp \cdot \text{vs} \text{ else } 0)$

proof-

fix *k j* assume *k*: *k* < length *hs* and *j*: *j* < length *hs*

hence *hsk-H*: *hs!k*  $\in$  *H* and *hsj-H*: *hs!j*  $\in$  *H*

using *Supgroup.is-rcoset-replistD-set*[*OF rcoset-reps*] by auto

define *LHS* where *LHS* =  $((\text{css}!j) \cdot \boxtimes \boxtimes (\text{hfvs}!j)) (1 \delta \delta hs!k)$

with *hfvs*

have *LHS* =  $(\sum (r,m) \leftarrow \text{zip } (\text{css}!j) (\text{hfvs}!j). (r \boxtimes \boxtimes m) (1 \delta \delta hs!k))$

using *length-negHorbit-list scalar-mult.lincomb-def*[of *induced-smult.fsmult*]

*sum-list-prod-fun-apply*

by *simp*

moreover have  $\forall (r,m) \in \text{set } (\text{zip } (\text{css}!j) (\text{hfvs}!j)).$

$(\text{induced-smult.fsmult } r \ m) (1 \delta \delta hs!k) = r \cdot \sharp \cdot m (1 \delta \delta hs!k)$

proof (rule *prod-ballI*)

fix *r m* assume  $(r,m) \in \text{set } (\text{zip } (\text{css}!j) (\text{hfvs}!j))$

with *k j vs hfvs*

show  $(\text{induced-smult.fsmult } r \ m) (1 \delta \delta hs!k) = r \cdot \sharp \cdot m (1 \delta \delta hs!k)$

using *Supgroup.is-rcoset-replistD-set*[*OF rcoset-reps*] *set-zip-rightD*

*set-concat length-negHorbit-list*[of *hs induced-vector vs*]

*nth-mem*[of *j hfvs*] *hsk-H induced-fsmult-conv-fsmult-1ddh*[of *m hs!k r*]

$\text{induced-vector-indV negHorbit-list-indV[of hs induced-vector vs]}$   
**by** *force*  
**qed**  
**ultimately have**  
 $LHS = (\sum (r,v) \leftarrow \text{zip } (css!j) \text{ vs. } r \# \cdot (\text{induced-vector } v) (1 \delta\delta \text{ hs!k} * (1 \delta\delta - \text{hs!j})))$   
**using** *FH j hfvs induced-smult.negGorbit-list-def[of hs induced-vector vs]*  
 $\text{sum-list-prod-cong[of } - \lambda r m. (\text{induced-smult.fsmult } r m) (1 \delta\delta \text{ hs!k})$   
 $\text{sum-list-prod-map2[of}$   
 $\lambda r m. r \# \cdot m (1 \delta\delta \text{ hs!k}) - \text{Hmult } (- \text{hs!j}) \text{ map induced-vector vs}$   
 $]$   
 $\text{sum-list-prod-map2[of } \lambda r v. r \# \cdot (\text{Hmult } (-\text{hs!j}) v) (1 \delta\delta \text{ hs!k})$   
 $\text{induced-smult.GmultD hsk-H}$   
 $\text{Supgroup.RG-aezdeltafun-closed[of hs!k 1::'f]}$   
 $\text{rrsmultD1[of } 1 \delta\delta (\text{hs!k})$   
**by** *force*  
**moreover have**  $(1::'f) \delta\delta \text{ hs!k} * (1 \delta\delta - \text{hs!j}) = 1 \delta\delta (\text{hs!k} - \text{hs!j})$   
**using** *times-aezdeltafun-aezdeltafun[of 1::'f hs!k 1 -(hs!j)]*  
**by** *(simp add: algebra-simps)*  
**ultimately have**  $LHS = (\sum (r,v) \leftarrow \text{zip } (css!j) \text{ vs. } r \# \cdot (\text{induced-vector } v) (1 \delta\delta (\text{hs!k} - \text{hs!j})))$   
**using** *sum-list-prod-map2 by simp*  
**moreover from** *FH vs*  
**have**  $\forall (r,v) \in \text{set } (\text{zip } (css!j) \text{ vs}). r \# \cdot (\text{induced-vector } v) (1 \delta\delta (\text{hs!k} - \text{hs!j}))$   
 $= r \# \cdot (\text{FG-proj } (1 \delta\delta (\text{hs!k} - \text{hs!j})) \cdot v)$   
**using** *set-zip-rightD induced-vector-def hsk-H hsj-H Supgroup.diff-closed*  
 $\text{Supgroup.RG-aezdeltafun-closed[of } - 1::'f]$   
**by** *fastforce*  
**ultimately have** *calc:*  $LHS = (\sum (r,v) \leftarrow \text{zip } (css!j) \text{ vs. } r \# \cdot (\text{FG-proj } (1 \delta\delta (\text{hs!k} - \text{hs!j})) \cdot v))$   
**using** *sum-list-prod-cong by force*  
**show**  $LHS = (\text{if } j = k \text{ then } (css!k) \cdot \# \cdot \text{vs else } 0)$   
**proof** *(cases j = k)*  
**case** *True*  
**with** *calc* **have**  $LHS = (\sum (r,v) \leftarrow \text{zip } (css!k) \text{ vs. } r \# \cdot 1 \# \cdot v)$   
**using** *Group.zero-closed[OF GroupG]*  
 $\text{aezfun-setspace-proj-aezdeltafun[of } G 1::'f]$   
 $\text{BaseRep.fsmult-def}$   
**by** *simp*  
**moreover from** *vs* **have**  $\forall (r,v) \in \text{set } (\text{zip } (css!k) \text{ vs}). r \# \cdot 1 \# \cdot v = r \# \cdot v$   
**using** *set-zip-rightD BaseRep.fsmult-assoc by fastforce*  
**ultimately show** *?thesis*  
**using** *True sum-list-prod-cong[of } - \lambda r v. r \# \cdot 1 \# \cdot v]*  
 $\text{scalar-mult.lincomb-def[of } \text{BaseRep.fsmult}]$   
**by** *simp*  
**next**  
**case** *False*  
**with** *k j calc* **have**  $LHS = (\sum (r,v) \leftarrow \text{zip } (css!j) \text{ vs. } r \# \cdot (0 \cdot v))$   
**using** *Supgroup.is-rcoset-replist-imp-nrelated-nth[OF Subgroup rcoset-reps]*

$aezfun\text{-}setspan\text{-}proj\text{-}aezdeltafun[of\ G\ 1::'f]$   
**by** *simp*  
**moreover from**  $vs$  **have**  $\forall (r,v) \in set\ (zip\ (css!j)\ vs). r \# \cdot (0 \cdot v) = 0$   
**using** *set- $zip$ -rightD BaseRep.zero-smult* **by** *fastforce*  
**ultimately have**  $LHS = (\sum (r,v) \leftarrow zip\ (css!j)\ vs. (0::'v))$   
**using** *sum-list-prod-cong[of -  $\lambda r\ v. r \# \cdot (0 \cdot v)$ ]* **by** *simp*  
**hence**  $LHS = (\sum rv \leftarrow zip\ (css!j)\ vs. case\text{-}prod\ (\lambda r\ v. (0::'v))\ rv)$  **by** *fastforce*  
**with** *False* **show** *?thesis* **by** *simp*  
**qed**  
**qed**

**define** *terms*  $LHS$   
**where**  $terms = map\ (\lambda a. case\text{-}prod\ (\lambda cs\ hfvs. (cs \cdot \boxtimes hfvs)\ (1\ \delta\delta\ hs!i))\ a)\ (zip\ css\ hfvs)$   
**and**  $LHS = ((concat\ css) \cdot \boxtimes (concat\ hfvs))\ (1\ \delta\delta\ (hs!i))$   
**hence**  $LHS = sum\text{-}list\ terms$   
**using** *scalars*  
 $VectorSpace.lincomb\text{-}concat[OF\ fVectorSpace\text{-}indspace,\ of\ css\ hfvs]$   
 $sum\text{-}list\text{-}prod\text{-}fun\text{-}apply$   
**by** *simp*  
**hence**  $LHS = (\sum j \in \{0..<length\ terms\}. terms!j)$   
**using** *sum-list-sum-nth[of terms]* **by** *simp*  
**moreover from** *terms-def*  
**have**  $\forall j \in \{0..<length\ terms\}. terms!j = ((css!j) \cdot \boxtimes (hfvs!j))\ (1\ \delta\delta\ hs!i)$   
**by** *simp*  
**ultimately show**  $LHS = (css!i) \cdot \# \cdot vs$   
**using** *terms-def sum.cong scalars list-all2-lengthD[of - css hfvs] hfvs*  
 $length\text{-}negHorbit\text{-}list[of\ hs\ induced\text{-}vector\ vs]\ i\ mostly\text{-}zero$   
 $sum\text{-}single\text{-}nonzero[$   
 $of\ \{0..<length\ hs\}\ \lambda i\ j. ((css!j) \cdot \boxtimes (hfvs!j))\ (1\ \delta\delta\ (hs!i))$   
 $\lambda i. (css!i) \cdot \# \cdot vs$   
 $]$   
**by** *simp*

**qed**

**lemma** *indspace-fspanning-set* :  
**fixes**  $vs$   $:: 'v\ list$   
**and**  $hs$   $:: 'g\ list$   
**defines**  $hfvs$   $: hfvs \equiv negHorbit\text{-}list\ hs\ induced\text{-}vector\ vs$   
**assumes** *base-spset*  $: set\ vs \subseteq V\ V = BaseRep.fSpan\ vs$   
**and** *rcoset-reps*  $: Supgroup.is\text{-}rcoset\text{-}replist\ G\ hs$   
**shows**  $indV = induced\text{-}smult.fSpan\ (concat\ hfvs)$   
**proof** (*rule*  $VectorSpace.SpanI[OF\ fVectorSpace\text{-}indspace]$ )  
**from** *base-spset(1)*  $hfvs$  **show**  $set\ (concat\ hfvs) \subseteq indV$   
**using** *Supgroup.is-rcoset-replistD-set[OF rcoset-reps]*  
 $induced\text{-}vector\text{-}indV\ negHorbit\text{-}list\text{-}indV$   
**by** *fast*  
**show**  $indV \subseteq R\text{-}scalar\text{-}mult.RSpan\ UNIV\ (aezfun\text{-}scalar\text{-}mult.fsmult\ (\boxtimes))$

```

      (concat hfuss)
proof
  fix f assume f: f ∈ indV
  hence ∀ h ∈ set hs. f (1 δδ h) ∈ V
  using indV BaseRep.indspaceD-range by fast
  with base-spset(2)
  have coeffs-exist: ∀ h ∈ set hs. ∃ ahs. length ahs = length vs
    ∧ f (1 δδ h) = ahs ·#· vs
  using BaseRep.in-fSpan-obtain-same-length-coeffs
  by fast
  define f-coeffs
  where f-coeffs h = (SOME ahs. length ahs = length vs ∧ f (1 δδ h) = ahs ·#·
vs) for h
  define ahss where ahss = map f-coeffs hs
  hence len-ahss: length ahss = length hs by simp
  with hfuss have len-zip-ahss-hfuss: length (zip ahss hfuss) = length hs
  using length-negHorbit-list[of hs induced-vector vs] by simp
  have len-ahss-el: ∀ ahs ∈ set ahss. length ahs = length vs
proof
  fix ahs assume ahs ∈ set ahss
  from this ahss-def obtain h where h: h ∈ set hs ahs = f-coeffs h
  using set-map by auto
  from h(1) have ∃ ahs. length ahs = length vs ∧ f (1 δδ h) = ahs ·#· vs
  using coeffs-exist by fast
  with h(2) show length ahs = length vs
  unfolding f-coeffs-def
  using someI-ex[of λ ahs. length ahs = length vs ∧ f (1 δδ h) = ahs ·#· vs]
  by fast
qed
have ∀ (ahs,hfvs) ∈ set (zip ahss hfuss). length ahs = length hfvs
proof
  fix x assume x: x ∈ set (zip ahss hfuss)
  show case x of (ahs, hfvs) ⇒ length ahs = length hfvs
proof
  fix ahs hfvs assume x = (ahs,hfvs)
  with x hfuss have length ahs = length vs length hfvs = length vs
  using set-zip-leftD[of ahs hfvs] len-ahss-el set-zip-rightD[of ahs hfvs]
    length-negHorbit-list-sublist[of - hs induced-vector]
  by auto
  thus length ahs = length hfvs by simp
qed
qed
with hfuss have list-all2-len-ahss-hfuss:
list-all2 (λ rs ms. length rs = length ms) ahss hfuss
using len-ahss length-negHorbit-list[of hs induced-vector vs]
list-all2I[of ahss hfuss]
by auto

```

```

define  $f'$  where  $f' = (\text{concat } ahss) \cdot \boxtimes (\text{concat } hfvs)$ 
have  $f = f'$ 
  using Supgroup.is-rcoset-replistD-set[OF rcoset-reps]
    Supgroup.group-eq-subgrp-rcoset-un[OF Subgroup rcoset-reps]
     $f$ 
proof (rule indspace-el-eq'[of hs])
  from  $f'$ -def hfvs base-spset(1) show  $f' \in \text{ind}V$ 
    using Supgroup.is-rcoset-replistD-set[OF rcoset-reps]
      induced-vector-indV negHorbit-list-indV[of hs induced-vector vs]
      FGModule.flincomb-closed[OF FHModule-indspace]
    by fast
  have  $\bigwedge i. i < \text{length } hs \implies f(1 \delta\delta (hs!i)) = f'(1 \delta\delta (hs!i))$ 
  proof-
    fix  $i$  assume  $i < \text{length } hs$ 
    with  $f$ -coeffs-def have  $f(1 \delta\delta (hs!i)) = (f\text{-coeffs } (hs!i)) \cdot \# \cdot vs$ 
      using coeffs-exist
        someI-ex[of \lambda ahs. length ahs = length vs \wedge f(1 \delta\delta hs!i) = ahs \cdot \# \cdot vs]
      by auto
    moreover from  $i$   $hfvs$   $f'$ -def base-spset(1) rcoset-reps ahss-def
      have  $f'(1 \delta\delta (hs!i)) = (f\text{-coeffs } (hs!i)) \cdot \# \cdot vs$ 
      using list-all2-len-ahss-hfvs flincomb-Horbit-induced-veclist-reduce
      by simp
    ultimately show  $f(1 \delta\delta (hs!i)) = f'(1 \delta\delta (hs!i))$  by simp
  qed
  thus  $\forall i < \text{length } hs. f(1 \delta\delta (hs!i)) = f'(1 \delta\delta (hs!i))$  by fast
qed
with  $f'$ -def hfvs base-spset(1) show  $f \in \text{induced-smult.fSpan } (\text{concat } hfvs)$ 
  using Supgroup.is-rcoset-replistD-set[OF rcoset-reps]
    induced-vector-indV negHorbit-list-indV[of hs induced-vector vs]
    VectorSpace.SpanI-lincomb-arb-len-coeffs[OF fVectorSpace-indspace]
  by fast

qed
qed

lemma indspace-basis :
  fixes  $vs$       :: ' $v$  list
  and  $hs$        :: ' $g$  list
  defines  $hfvs$  :  $hfvs \equiv \text{negHorbit-list } hs \text{ induced-vector } vs$ 
  assumes base-spset : BaseRep.fbasis-for V vs
  and rcoset-reps : Supgroup.is-rcoset-replist G hs
  shows fscalar-mult.basis-for induced-smult.fsmult indV (concat hfvs)
proof-
  from assms
  have  $1$ : set (concat hfvs) \subseteq indV
    and  $indV = \text{induced-smult.fSpan } (\text{concat } hfvs)$ 
  using Supgroup.is-rcoset-replistD-set[OF rcoset-reps]
    induced-vector-indV negHorbit-list-indV[of hs induced-vector vs]
    indspace-fspanning-set[of vs hs]

```

```

    by auto
  moreover have induced-smult.f-lin-independent (concat hfvss)
proof (
  rule VectorSpace.lin-independentI-concat-all-scalars[OF fVectorSpace-indspace],
  rule 1
)
fix rss
assume rss: list-all2 ( $\lambda xs\ ys. \text{length } xs = \text{length } ys$ ) rss hfvss
      (concat rss)  $\cdot$   $\alpha$   $\alpha$  (concat hfvss) = 0
from rss(1) have len-rss-hfvsss: length rss = length hfvss
  using list-all2-lengthD by fast
with hfvss have len-rss-hs: length rss = length hs
  using length-negHorbit-list by fastforce
show  $\forall rs \in \text{set } rss. \text{set } rs \subseteq 0$ 
proof
  fix rs assume rs  $\in$  set rss
  from this obtain i where  $i < \text{length } rss$   $rs = rss!i$ 
    using in-set-conv-nth[of rs] by fast
  with hfvss rss(1) have length rs = length vs
    using list-all2-nthD len-rss-hfvsss in-set-conv-nth[of - hfvss]
      length-negHorbit-list-sublist
    by fastforce
  moreover from hfvss rss i base-spset(1) rcoset-reps have  $rs \cdot \# \cdot vs = 0$ 
    using len-rss-hs flincomb-Horbit-induced-veclist-reduce by force
  ultimately show  $\text{set } rs \subseteq 0$ 
    using base-spset flin-independentD-all-scalars by force
qed
qed
ultimately show ?thesis by fast
qed

lemma induced-vector-decomp :
fixes vs      :: 'v list
and   hs      :: 'g list
and   cs      :: 'f list
defines hfvss : hfvss  $\equiv$  negHorbit-list (0#hs) induced-vector vs
and   extrazeros : extrazeros  $\equiv$  replicate ((length hs)*(length vs)) 0
assumes base-spset : BaseRep.fbasis-for V vs
and   rcoset-reps : Supgroup.is-rcoset-replist G (0#hs)
and   cs          : length cs = length vs
and   v           : v = cs  $\cdot$   $\#$   $\cdot$  vs
shows induced-vector v = (cs@extrazeros)  $\cdot$   $\alpha$   $\alpha$  (concat hfvss)
proof-
from hfvss base-spset
  have hfvss = (map induced-vector vs)  $\#$  (negHorbit-list hs induced-vector vs)
  using induced-vector-indV
    FGModule.negGorbit-list-Cons0[OF FHModule-indspace]
  by fastforce
with cs extrazeros base-spset rcoset-reps v

```



```

show induced-vector  $v = (cs@extrazeros) \cdot \alpha \alpha (concat\ hfvs)$ 
using scalar-mult.lincomb-append[of cs - induced-smult.fsmult]
      Supgroup.is-rcoset-replistD-set induced-vector-indV
      negHorbit-list-indV[of hs induced-vector vs]
      VectorSpace.lincomb-replicate0-left[OF fVectorSpace-indspace]
      FGModuleHom.VectorSpaceHom[OF hom-induced-vector]
      VectorSpaceHom.distrib-lincomb
  by fastforce
qed

end

```

### 6.7.3 The induced space is a representation space

```

context InducedFinGroupRepresentation
begin

```

```

lemma indspace-findim :

```

```

  fscalar-mult.findim induced-smult.fsmult indV

```

```

proof-

```

```

  from BaseRep.findim obtain vs where vs: set vs  $\subseteq V$   $V = BaseRep.fSpan\ vs$ 
  by fast

```

```

  obtain hs where hs: Supgroup.is-rcoset-replist G hs

```

```

  using ex-rcoset-replist by fast

```

```

  define hfvs where hfvs = negHorbit-list hs induced-vector vs

```

```

  with vs hs

```

```

  have set (concat hfvs)  $\subseteq indV$   $indV = induced-smult.fSpan (concat\ hfvs)$ 

```

```

  using Supgroup.is-rcoset-replistD-set[OF hs] induced-vector-indV

```

```

      negHorbit-list-indV[of hs induced-vector vs] indspace-fspanning-set

```

```

  by auto

```

```

  thus ?thesis by fast

```

```

qed

```

```

theorem FinGroupRepresentation-indspace :

```

```

  FinGroupRepresentation H rrsmult indV

```

```

  using FHModule-indspace

```

```

proof (rule FinGroupRepresentation.intro)

```

```

  from good-ordSupgrp show FinGroupRepresentation-axioms H ( $\alpha$ ) indV

```

```

  using indspace-findim by unfold-locales fast

```

```

qed

```

```

end

```

## 6.8 Frobenius reciprocity

### 6.8.1 Locale and basic facts

There are a number of defined objects and lemmas concerning those objects leading up to the theorem of Frobenius reciprocity, so we create a locale to

contain it all.

```

locale FrobeniusReciprocity
= GRep?: InducedFinGroupRepresentation H G smult V rrsmult
+ HRep?: FinGroupRepresentation H smult' W
  for H      :: 'g::group-add set
  and G      :: 'g set
  and smult  :: ('f::field, 'g) aezfun => 'v::ab-group-add => 'v (infixl · 70)
  and V      :: 'v set
  and rrsmult :: ('f, 'g) aezfun => (('f, 'g) aezfun => 'v)
                    => (('f, 'g) aezfun => 'v) (infixl ⌘ 70)
  and smult' :: ('f, 'g) aezfun => 'w::ab-group-add => 'w (infixr ★ 70)
  and W      :: 'w set
begin

```

```

abbreviation fsmult'  :: 'f => 'w => 'w          (infixr ‡★ 70)
  where fsmult' ≡ HRep.fsmult
abbreviation flincomb' :: 'f list => 'w list => 'w (infixr ·‡★ 70)
  where flincomb' ≡ HRep.flincomb
abbreviation Hmult'   :: 'g => 'w => 'w          (infixr ** 70)
  where Hmult' ≡ HRep.Gmult

```

```

definition Tsmult1 ::
  'f => (((('f, 'g) aezfun => 'v) => 'w) => (((('f, 'g) aezfun => 'v) => 'w) => 'w) (infixr ★⌘ 70)
  where Tsmult1 ≡ λa T. λf. a ‡★ (T f)

```

```

definition Tsmult2 :: 'f => ('v => 'w) => ('v => 'w) (infixr ★· 70)
  where Tsmult2 ≡ λa T. λv. a ‡★ (T v)

```

**lemma** FHModuleW : FGModule H (★) W ..

```

lemma FGModuleW: FGModule G smult' W
using FHModuleW Subgroup HRep.restriction-to-subgroup-is-module
by fast

```

In order to build an inverse for the required isomorphism of Hom-sets, we will need a basis for the induced  $H$ -space.

**definition** Vfbasis :: 'v list **where** Vfbasis ≡ (SOME vs. is-Vfbasis vs)

```

lemma Vfbasis : is-Vfbasis Vfbasis
using Vfbasis-def FinDim VectorSpace.basis-ex[OF GRep.FinDim VectorSpace] someI-ex
by simp

```

```

lemma Vfbasis-V : set Vfbasis ⊆ V
using Vfbasis by fast

```

```

definition nonzero-H-rcoset-reps :: 'g list
  where nonzero-H-rcoset-reps ≡ (SOME hs. Group.is-rcoset-replist H G (0#hs))

```

**definition** H-rcoset-reps :: 'g list **where** H-rcoset-reps ≡ 0 # nonzero-H-rcoset-reps

**lemma** *H-rcoset-reps* : *Group.is-rcoset-replist H G H-rcoset-reps*  
**using** *H-rcoset-reps-def nonzero-H-rcoset-reps-def GRep.ex-rcoset-replist-hd0 someI-ex*  
**by** *simp*

**lemma** *H-rcoset-reps-H* : *set H-rcoset-reps*  $\subseteq$  *H*  
**using** *H-rcoset-reps Group.is-rcoset-replistD-set[OF HRep.GroupG]* **by** *fast*

**lemma** *nonzero-H-rcoset-reps-H* : *set nonzero-H-rcoset-reps*  $\subseteq$  *H*  
**using** *H-rcoset-reps-H H-rcoset-reps-def* **by** *simp*

**abbreviation** *negHorbit-homVfbasis* :: *('v  $\Rightarrow$  'w)  $\Rightarrow$  'w list list*  
**where** *negHorbit-homVfbasis T*  $\equiv$  *HRep.negGorbit-list H-rcoset-reps T Vfbasis*

**lemma** *negHorbit-Hom-indVfbasis-W* :  
*T ' V*  $\subseteq$  *W*  $\implies$  *set (concat (negHorbit-homVfbasis T))*  $\subseteq$  *W*  
**using** *H-rcoset-reps-H Vfbasis-V*  
*HRep.negGorbit-list-V[of H-rcoset-reps T Vfbasis]*  
**by** *fast*

**lemma** *negHorbit-HomSet-indVfbasis-W* :  
*T*  $\in$  *GRepHomSet smult' W*  $\implies$  *set (concat (negHorbit-homVfbasis T))*  $\subseteq$  *W*  
**using** *FGModuleHomSetD-Im negHorbit-Hom-indVfbasis-W* **by** *fast*

**definition** *indVfbasis* :: *((f, 'g) aezfun  $\Rightarrow$  'v) list list*  
**where** *indVfbasis*  $\equiv$  *GRep.negHorbit-list H-rcoset-reps induced-vector Vfbasis*

**lemma** *indVfbasis* :  
*fscalar-mult.basis-for induced-smult.fsmult indV (concat indVfbasis)*  
**using** *Vfbasis H-rcoset-reps indVfbasis-def indspace-basis[of Vfbasis H-rcoset-reps]*  
**by** *auto*

**lemma** *indVfbasis-indV* : *hfvs*  $\in$  *set indVfbasis*  $\implies$  *set hfvs*  $\subseteq$  *indV*  
**using** *indVfbasis* **by** *auto*

**end**

## 6.8.2 The required isomorphism of Hom-sets

**context** *FrobeniusReciprocity*  
**begin**

The following function will demonstrate the required isomorphism of Hom-sets (as vector spaces).

**definition**  $\varphi$  :: *((f, 'g) aezfun  $\Rightarrow$  'v)  $\Rightarrow$  'w)  $\Rightarrow$  ('v  $\Rightarrow$  'w)*  
**where**  $\varphi \equiv$  *restrict0 ( $\lambda T. T \circ$  GRep.induced-vector) (HRepHomSet smult' W)*

**lemma**  $\varphi$ -*im* :  $\varphi$  ' *HRepHomSet* ( $\star$ ) *W*  $\subseteq$  *GRepHomSet* ( $\star$ ) *W*  
**proof** (*rule image-subsetI*)

```

fix T assume T: T ∈ HRepHomSet (★) W
show φ T ∈ GRepHomSet (★) W
proof (rule FGModuleHomSetI)

  from T have FGModuleHom G rrsmult indV smult' T
    using FGModuleHomSetD-FGModuleHom GRep.Subgroup
      FGModuleHom.restriction-to-subgroup-is-hom
    by fast
  thus BaseRep.GRepHom (★) (φ T)
    using T φ-def GRep.hom-induced-vector GRep.induced-vector-indV
      FGModuleHom.FGModHom-composite-left
    by fastforce

  show φ T ' V ⊆ W
    using T φ-def GRep.induced-vector-indV FGModuleHomSetD-Im by fastforce

qed

qed

end

```

### 6.8.3 The inverse map of Hom-sets

In this section we build an inverse for the required isomorphism,  $\varphi$ .

```

context FrobeniusReciprocity
begin

```

```

definition ψ-condition :: ('v ⇒ 'w) ⇒ (((f, 'g) aezfun ⇒ 'v) ⇒ 'w) ⇒ bool
  where ψ-condition T S
    ≡ VectorSpaceHom induced-smult.fsmult indV fsmult' S
      ∧ map (map S) indVfbasis = negHorbit-homVfbasis T

```

```

lemma inverse-im-exists' :
  assumes T ∈ GRepHomSet (★) W
  shows ∃! S. VectorSpaceHom induced-smult.fsmult indV fsmult' S
    ∧ map S (concat indVfbasis) = concat (negHorbit-homVfbasis T)

```

```

proof (
  rule VectorSpace.basis-im-defines-hom, rule fVectorSpace-indspace,
  rule HRep.fVectorSpace, rule indVfbasis
)
  from assms show set (concat (negHorbit-homVfbasis T)) ⊆ W
    using negHorbit-HomSet-indVfbasis-W by fast
  show length (concat (negHorbit-homVfbasis T)) = length (concat indVfbasis)
    using length-concat-negGorbit-list indVfbasis-def
      induced-smult.length-concat-negGorbit-list[of H-rcoset-reps induced-vector]
    by simp
qed

```

**lemma** *inverse-im-exists* :  
**assumes**  $T \in GRepHomSet (\star) W$   
**shows**  $\exists! S. \psi\text{-condition } T S$   
**proof**–  
**have**  $\exists S. \psi\text{-condition } T S$   
**proof**–  
**from** *assms* **obtain**  $S$   
**where**  $S: VectorSpaceHom\ induced\ smult.fsmult\ indV\ fsmult' S$   
 $map\ S\ (concat\ indVfbasis) = concat\ (negHorbit-homVfbasis\ T)$   
**using** *inverse-im-exists'*  
**by** *fast*  
**from**  $S(2)$  **have**  $concat\ (map\ (map\ S)\ indVfbasis)$   
 $= concat\ (negHorbit-homVfbasis\ T)$   
**using** *map-concat[of S]* **by** *simp*  
**moreover** **have** *list-all2*  $(\lambda xs\ ys. length\ xs = length\ ys)$   
 $(map\ (map\ S)\ indVfbasis)\ (negHorbit-homVfbasis\ T)$   
**proof** (*rule iffD2[OF list-all2-iff]*, *rule conjI*)  
**show**  $length\ (map\ (map\ S)\ indVfbasis) = length\ (negHorbit-homVfbasis\ T)$   
**using** *indVfbasis-def induced-smult.length-negGorbit-list*  
 $HRep.length-negGorbit-list[of\ H-rcoset-reps\ T]$   
**by** *auto*  
**show**  $\forall (xs,ys) \in set\ (zip\ (map\ (map\ S)\ indVfbasis)$   
 $(negHorbit-homVfbasis\ T)). length\ xs = length\ ys$   
**proof** (*rule prod-ballI*)  
**fix**  $xs\ ys$   
**assume**  $xsys: (xs,ys) \in set\ (zip\ (map\ (map\ S)\ indVfbasis)$   
 $(negHorbit-homVfbasis\ T))$   
**from** *this* **obtain**  $zs$  **where**  $zs: zs \in set\ indVfbasis\ xs = map\ S\ zs$   
**using** *set-zip-leftD* **by** *fastforce*  
**with**  $xsys$  **show**  $length\ xs = length\ ys$   
**using** *indVfbasis-def set-zip-rightD[of xs y]*  
 $HRep.length-negGorbit-list-sublist[of\ ys\ H-rcoset-reps\ T\ Vfbasis]$   
 $induced-smult.length-negGorbit-list-sublist$   
**by** *simp*  
**qed**  
**qed**  
**ultimately** **have**  $map\ (map\ S)\ indVfbasis = negHorbit-homVfbasis\ T$   
**using** *concat-eq[of map (map S) indVfbasis]* **by** *fast*  
**with**  $S(1)$  **show** *?thesis* **using** *ψ-condition-def* **by** *fast*  
**qed**  
**moreover** **have**  $\bigwedge S\ U. \psi\text{-condition } T S \implies \psi\text{-condition } T U \implies S = U$   
**proof**–  
**fix**  $S\ U$  **assume**  $\psi\text{-condition } T S\ \psi\text{-condition } T U$   
**hence**  $VectorSpaceHom\ induced-smult.fsmult\ indV\ fsmult' S$   
 $map\ S\ (concat\ indVfbasis) = concat\ (negHorbit-homVfbasis\ T)$   
 $VectorSpaceHom\ induced-smult.fsmult\ indV\ fsmult' U$   
 $map\ U\ (concat\ indVfbasis) = concat\ (negHorbit-homVfbasis\ T)$   
**using** *ψ-condition-def map-concat[of S] map-concat[of U]* **by** *auto*

with *assms* show  $S = U$  using *inverse-im-exists'* by *fast*  
**qed**  
ultimately show *?thesis* by *fast*  
**qed**

**definition**  $\psi :: ('v \Rightarrow 'w) \Rightarrow (((f, 'g) \text{ aefun} \Rightarrow 'v) \Rightarrow 'w)$   
**where**  $\psi \equiv \text{restrict0 } (\lambda T. \text{ THE } S. \psi\text{-condition } T S) (G\text{RepHomSet } (\star) W)$

**lemma**  $\psi D : T \in G\text{RepHomSet } (\star) W \Longrightarrow \psi\text{-condition } T (\psi T)$   
**using**  $\psi\text{-def}$  *inverse-im-exists*[of  $T$ ] *theI'*[of  $\lambda S. \psi\text{-condition } T S$ ] by *simp*

**lemma**  $\psi D\text{-VectorSpaceHom} :$   
 $T \in G\text{RepHomSet } (\star) W$   
 $\Longrightarrow \text{VectorSpaceHom induced-smult.fsmult indV fsmult}' (\psi T)$   
**using**  $\psi D$   $\psi\text{-condition-def}$  by *fast*

**lemma**  $\psi D\text{-im} :$   
 $T \in G\text{RepHomSet } (\star) W \Longrightarrow \text{map } (\text{map } (\psi T)) \text{ indVfbasis}$   
 $= \text{aefun-scalar-mult.negGorbit-list } (\star) H\text{-rcoset-reps } T \text{ Vfbasis}$   
**using**  $\psi D$   $\psi\text{-condition-def}$  by *fast*

**lemma**  $\psi D\text{-im-single} :$   
**assumes**  $T \in G\text{RepHomSet } (\star) W$   $h \in \text{set } H\text{-rcoset-reps}$   $v \in \text{set } \text{Vfbasis}$   
**shows**  $\psi T ((- h) * \times (\text{induced-vector } v)) = (-h) ** (T v)$

**proof-**

**from** *assms*(2,3) **obtain**  $i j$

**where**  $i : i < \text{length } H\text{-rcoset-reps}$   $h = H\text{-rcoset-reps}!i$

**and**  $j : j < \text{length } \text{Vfbasis}$   $v = \text{Vfbasis}!j$

**using** *set-conv-nth*[of  $H\text{-rcoset-reps}$ ] *set-conv-nth*[of  $\text{Vfbasis}$ ] by *auto*

**moreover**

**hence**  $\text{map } (\text{map } (\psi T)) \text{ indVfbasis } !i !j = \psi T ((-h) * \times (\text{induced-vector } v))$

**using** *indVfbasis-def*

$\text{aefun-scalar-mult.length-negGorbit-list}$   
 $\text{of } \text{rrsmult } H\text{-rcoset-reps } \text{induced-vector}$

$\text{aefun-scalar-mult.negGorbit-list-nth}$   
 $\text{of } i H\text{-rcoset-reps } \text{rrsmult } \text{induced-vector}$

**by** *auto*

**ultimately show** *?thesis*

**using** *assms*(1) *HRep.negGorbit-list-nth*[of  $i H\text{-rcoset-reps } T$ ]  $\psi D\text{-im}$  by *simp*

**qed**

**lemma**  $\psi T\text{-}W :$

**assumes**  $T \in G\text{RepHomSet } (\star) W$

**shows**  $\psi T \text{ 'indV } \subseteq W$

**proof** (*rule image-subsetI*)

**from** *assms* **have**  $T : \text{VectorSpaceHom induced-smult.fsmult indV fsmult}' (\psi T)$

**using**  $\psi D\text{-VectorSpaceHom}$  by *fast*

**fix**  $f$  **assume**  $f \in \text{ind}V$   
**from** *this* **obtain**  $cs$   
**where**  $cs : \text{length } cs = \text{length } (\text{concat } \text{ind}V\text{basis})$   $f = cs \cdot \text{ind} \text{ (concat } \text{ind}V\text{basis)}$   
**using** *indVfbasis scalar-mult.in-Span-obtain-same-length-coeffs*  
**by** *fast*  
**from**  $cs(1)$  **obtain**  $css$   
**where**  $css : cs = \text{concat } css$  *list-all2*  $(\lambda xs \ ys. \text{length } xs = \text{length } ys)$   $css \text{ ind}V\text{basis}$   
**using** *match-concat*  
**by** *fast*  
**from** *assms*  $cs(2)$   $css$   
**have**  $\psi T f = \psi T (\sum (cs, hfvs) \leftarrow \text{zip } css \text{ ind}V\text{basis}. cs \cdot \text{ind} hfvs)$   
**using** *VectorSpace.lincomb-concat[OF fVectorSpace-indspace]* **by** *simp*  
**also have**  $\dots = (\sum (cs, hfvs) \leftarrow \text{zip } css \text{ ind}V\text{basis}. \psi T (cs \cdot \text{ind} hfvs))$   
**using** *set-zip-rightD[of - - css indVfbasis]* *indVfbasis-indV*  
*VectorSpace.lincomb-closed[OF GRep.fVectorSpace-indspace]*  
*VectorSpaceHom.im-sum-list-prod[OF T]*  
**by** *force*  
**finally have**  $\psi T f = (\sum (cs, \psi Thfvs) \leftarrow \text{zip } css (\text{map } (\text{map } (\psi T)) \text{ ind}V\text{basis}).$   
 $cs \cdot \# \star \psi Thfvs)$   
**using** *set-zip-rightD[of - - css indVfbasis]* *indVfbasis-indV*  
*VectorSpaceHom.distrib-lincomb[OF T]*  
*sum-list-prod-cong[of*  
 $\text{zip } css \text{ ind}V\text{basis } \lambda cs \ hfvs. \psi T (cs \cdot \text{ind} hfvs)$   
 $\lambda cs \ hfvs. cs \cdot \# \star (\text{map } (\psi T) hfvs)$   
 $]$   
*sum-list-prod-map2[of \lambda cs \psi Thfvs. cs \cdot \# \star \psi Thfvs css map (\psi T)]*  
**by** *fastforce*  
**moreover from**  $css(2)$   
**have** *list-all2*  $(\lambda xs \ ys. \text{length } xs = \text{length } ys)$   $css (\text{map } (\text{map } (\psi T)) \text{ ind}V\text{basis})$   
**using** *list-all2-iff[of - css indVfbasis]* *set-zip-map2*  
 $\text{list-all2-iff}[of - css \text{map } (\text{map } (\psi T)) \text{ ind}V\text{basis}]$   
**by** *force*  
**ultimately have**  $\psi T f = (\text{concat } css) \cdot \# \star (\text{concat } (\text{negHorbit-hom}V\text{basis } T))$   
**using** *HRep.flincomb-concat map-concat[of \psi T]* *\psi D-im[OF assms]*  
**by** *simp*  
**thus**  $\psi T f \in W$   
**using** *assms negHorbit-HomSet-indVfbasis-W HRep.flincomb-closed* **by** *simp*  
**qed**

**lemma**  $\psi T\text{-Hmap-on-ind}V\text{basis}$  :  
**assumes**  $T \in \text{GRepHomSet } (\star) W$   
**shows**  $\bigwedge x f. x \in H \implies f \in \text{set } (\text{concat } \text{ind}V\text{basis})$   
 $\implies \psi T (x \cdot \text{ind} f) = x \cdot \star (\psi T f)$

**proof-**

**fix**  $x f$  **assume**  $x : x \in H$  **and**  $f : f \in \text{set } (\text{concat } \text{ind}V\text{basis})$   
**from**  $f$  **obtain**  $i$  **where**  $i : i < \text{length } \text{ind}V\text{basis}$   $f \in \text{set } (\text{ind}V\text{basis}!i)$   
**using** *set-concat set-conv-nth[of indVfbasis]* **by** *auto*  
**from**  $i(1)$  **have**  $i' : i < \text{length } H\text{-rcoset-reps}$   
**using** *indVfbasis-def*

```

    aezfun-scalar-mult.length-negGorbit-list[
      of rrsmult H-rcoset-reps induced-vector
    ]
  by simp
define hi where hi = H-rcoset-reps!i
with i' have hi-H: hi ∈ H using set-conv-nth H-rcoset-reps-H by fast
from hi-def i(2) have f ∈ set (map (Hmult (-hi) ∘ induced-vector) Vfbasis)
  using indVfbasis-def i'
    aezfun-scalar-mult.negGorbit-list-nth[
      of i H-rcoset-reps rrsmult induced-vector
    ]
  by simp
from this obtain v where v: v ∈ set Vfbasis f = (-hi) *⊠ (induced-vector v)
  by auto
from v(1) have v-V: v ∈ V and Tv-W: T v ∈ W
  using Vfbasis-V FGModuleHomSetD-Im[OF assms] by auto
from x have hi - x ∈ H using hi-H Supgroup.diff-closed by fast
from this obtain j
  where j: j < length H-rcoset-reps hi - x ∈ G + {H-rcoset-reps!j}
  using set-conv-nth[of H-rcoset-reps] H-rcoset-reps
    Group.group-eq-subgrp-rcoset-un[OF HRep.GroupG Subgroup H-rcoset-reps]
  by force
from j(1) have j': j < length indVfbasis
  using indVfbasis-def
    aezfun-scalar-mult.length-negGorbit-list[
      of rrsmult H-rcoset-reps induced-vector
    ]
  by simp
define hj where hj = H-rcoset-reps!j
with j(1) have hj-H: hj ∈ H using set-conv-nth H-rcoset-reps-H by fast
from hj-def j(2) obtain g where g: g ∈ G hi - x = g + hj
  unfolding set-plus-def by fast
from g(2) have x-hi: x - hi = - hj + - g
  using minus-diff-eq[of hi x] minus-add[of g] by simp
from g(1) have -g *· v ∈ V
  using v-V ActingGroup.neg-closed BaseRep.Gmult-closed by fast
from this obtain cs
  where cs: length cs = length Vfbasis -g *· v = cs ·⊠ Vfbasis
  using Vfbasis
    VectorSpace.in-Span-obtain-same-length-coeffs[OF GRep.fVectorSpace]
  by fast

from v(2) x have ψ T (x *⊠ f) = ψ T ((x-hi) *⊠ (induced-vector v))
  using hi-H Supgroup.neg-closed v-V induced-vector-indV
    FGModule.Gmult-assoc[OF GRep.FHModule-indspace]
  by (simp add: algebra-simps)
also from g(1) have ... = ψ T ((-hj) *⊠ (induced-vector (-g *· v)))
  using x-hi hj-H Subgroup Supgroup.neg-closed v-V induced-vector-indV
    FGModule.Gmult-assoc[OF GRep.FHModule-indspace]

```



```

    ActingGroup.neg-closed
    FGModuleHom.G-map[OF hom-induced-vector]
  by auto
  also from cs(2) hj-def j(1) have ... =  $\psi T (cs \cdot \boxtimes \boxtimes (indVfbasis!j))$ 
    using hj-H Vfbasis-V FGModuleHom.distrib-flincomb[OF hom-induced-vector]
      indVfbasis-def Supgroup.neg-closed[of hj] induced-vector-indV
      FGModule.Gmult-flincomb-comm[
        OF GRep.FHModule-indspace,
        of -hj map induced-vector Vfbasis
      ]
      aezfun-scalar-mult.negGorbit-list-nth[
        of j H-rcoset-reps rrsmult induced-vector
      ]
  by fastforce
  also have ... =  $cs \cdot \# \star ((map (map (\psi T)) indVfbasis)!j)$ 
    using  $\psi D$ -VectorSpaceHom[OF assms] indVfbasis-indV j' set-conv-nth
      VectorSpaceHom.distrib-lincomb[of induced-smult.fsmult indV fsmult']
  by simp
  also from j(1) hj-def have ... =  $(- hj) \star \star cs \cdot \# \star (map T Vfbasis)$ 
    using  $\psi D$ -im[OF assms]
      aezfun-scalar-mult.negGorbit-list-nth[of j H-rcoset-reps smult' T] hj-H
      Group.neg-closed[OF HRep.GroupG]
      Vfbasis-V FGModuleHomSetD-Im[OF assms]
      HRep.Gmult-flincomb-comm[of - hj map T Vfbasis]
  by fastforce
  also from cs(2) g(1) have ... =  $(- hj) \star \star (-g) \star \star (T v)$ 
    using v-V FGModuleHomSetD-FGModuleHom[OF assms] Vfbasis-V
      FGModuleHom.distrib-flincomb[of G smult V smult']
      ActingGroup.neg-closed
      FGModuleHom.G-map[of G smult V smult' T -g v]
  by auto
  also from g(1) v(1) have ... =  $(x - hi) \star \star (T v)$ 
    using FGModuleHomSetD-FGModuleHom[OF assms] Vfbasis-V Supgroup.neg-closed
      hj-H Subgroup FGModuleHomSetD-Im[OF assms]
      HRep.Gmult-assoc[of -hj -g T v] x-hi
  by auto
  also from x(1) have ... =  $x \star \star (- hi) \star \star (T v)$ 
    using hi-H Supgroup.neg-closed Tv-W HRep.Gmult-assoc
  by (simp add: algebra-simps)
  finally show  $\psi T (x \star \boxtimes f) = x \star \star (\psi T f)$ 
    using assms(1) v hi-def i' set-conv-nth[of H-rcoset-reps]  $\psi D$ -im-single by fast-
force
qed

lemma  $\psi T$ -hom :
  assumes  $T \in GRepHomSet (\star) W$ 
  shows  $HRepHom (\star) (\psi T)$ 
  using indVfbasis  $\psi D$ -VectorSpaceHom[OF assms] FHModuleW
proof (
```

```

rule FGModule.VecHom-GMap-on-fbasis-is-FGModuleHom[
  OF GRep.FHModule-indspace
]
)
show  $\psi T \text{ ' } indV \subseteq W$  using indVfbasis  $\psi T$ -W[OF assms] by fast
show  $\bigwedge g v. g \in H \implies v \in set (concat\ indVfbasis)$ 
       $\implies \psi T (g * \alpha v) = g ** \psi T v$ 
using  $\psi T$ -Hmap-on-indVfbasis[OF assms] by fast
qed

```

```

lemma  $\psi$ -im :  $\psi \text{ ' } GRepHomSet (\star) W \subseteq HRepHomSet (\star) W$ 
using  $\psi T$ -W  $\psi T$ -hom FGModuleHomSetI by fastforce

```

end

#### 6.8.4 Demonstration of bijectivity

Now we demonstrate that  $\varphi$  is bijective via the inverse  $\psi$ .

```

context FrobeniusReciprocity
begin

```

```

lemma  $\varphi\psi$  :
  assumes  $T \in GRepHomSet\ smult' W$ 
  shows  $(\varphi \circ \psi) T = T$ 
proof
  fix v show  $(\varphi \circ \psi) T v = T v$ 
  proof (cases v  $\in V$ )
    case True
    from this obtain cs where cs: length cs = length Vfbasis v = cs  $\cdot \#$  Vfbasis
    using Vfbasis
      VectorSpace.in-Span-obtain-same-length-coeffs[OF GRep.fVectorSpace]
    by fast
    define extrazeros
    where extrazeros = replicate ((length nonzero-H-rcoset-reps)*(length Vfbasis))
(0::'f)
    with cs have GRep.induced-vector v = (cs@extrazeros)  $\cdot \alpha \alpha$  (concat indVfbasis)
    using H-rcoset-reps induced-vector-decomp[OF Vfbasis]
    unfolding H-rcoset-reps-def indVfbasis-def
    by auto
    with assms
    have  $(\varphi \circ \psi) T v = (cs@extrazeros) \cdot \# \star (map (\psi T) (concat indVfbasis))$ 
    using  $\psi$ -im  $\varphi$ -def indVfbasis
      VectorSpaceHom.distrib-lincomb[OF  $\psi D$ -VectorSpaceHom]
    by auto
    also have ... = (cs@extrazeros)  $\cdot \# \star (map T Vfbasis$ 
      @ concat (HRep.negGorbit-list nonzero-H-rcoset-reps T Vfbasis))
    using map-concat[of  $\psi T$ ]  $\psi D$ -im[OF assms] H-rcoset-reps-def
      FGModuleHomSetD-Im[OF assms] Vfbasis-V HRep.negGorbit-list-Cons0
    by fastforce

```

```

also from cs(1)
  have ... = cs ·#* (map T Vfbasis) + extrazeros
    ·#* (concat (HRep.negGorbit-list nonzero-H-rcoset-reps T Vfbasis))
  using scalar-mult.lincomb-append[of cs - fsmult]
  by simp
also have ... = cs ·#* (map T Vfbasis)
  using nonzero-H-rcoset-reps-H Vfbasis FGModuleHom.SetD-Im[OF assms]
    HRep.negGorbit-list-V
    VectorSpace.lincomb-replicate0-left[OF HRep.fVectorSpace]
  unfolding extrazeros-def
  by force
also from cs(2) have ... = T v
  using FGModuleHom.SetD-FGModuleHom[OF assms]
    FGModuleHom.VectorSpaceHom Vfbasis
    VectorSpaceHom.distrib-lincomb[of aezfun-scalar-mult.fsmult smult]
  by fastforce
finally show ?thesis by fast
next
case False
with assms show ?thesis
  using  $\psi$ -im  $\varphi$ -def GRep.induced-vector-def  $\psi$ D-VectorSpaceHom
    VectorSpaceHom.im-zero
    FGModuleHom.SetD-FGModuleHom[of T G smult V]
    FGModuleHom.supp suppI-contra
  by fastforce
qed
qed

lemma  $\varphi$ -inverse-im :  $\varphi \text{ ' } HRepHomSet (\star) W \supseteq GRepHomSet (\star) W$ 
  using  $\varphi\psi$   $\psi$ -im by force

lemma bij- $\varphi$  : bij-betw  $\varphi$  (HRepHomSet ( $\star$ ) W) (GRepHomSet ( $\star$ ) W)
  unfolding bij-betw-def
proof
  have  $\bigwedge S T. \llbracket S \in HRepHomSet (\star) W; T \in HRepHomSet (\star) W; \varphi S = \varphi T \rrbracket \implies S = T$ 
proof (rule VectorSpaceHom.same-image-on-spanset-imp-same-hom)
  fix S T
  assume ST:  $S \in HRepHomSet (\star) W$   $T \in HRepHomSet (\star) W$   $\varphi S = \varphi T$ 
  from ST(1,2) have ST':  $HRepHom$  smult' S  $HRepHom$  smult' T
  using FGModuleHom.SetD-FGModuleHom[of - H rrsmult] by auto

from ST'
  show VectorSpaceHom induced-smult.fsmult indV fsmult' S
    VectorSpaceHom induced-smult.fsmult indV fsmult' T
  using FGModuleHom.VectorSpaceHom[of H rrsmult indV smult]
  by auto

```

```

show  $indV = induced-smult.fSpan (concat\ indVfbasis)$ 
       $set (concat\ indVfbasis) \subseteq indV$ 
using  $indVfbasis$  by  $auto$ 

show  $\forall f \in set (concat\ indVfbasis). S\ f = T\ f$ 
proof
  fix  $f$  assume  $f \in set (concat\ indVfbasis)$ 
  from  $this$  obtain  $hfvs$  where  $hfvs: hfvs \in set\ indVfbasis\ f \in set\ hfvs$ 
    using  $set-concat$  by  $fast$ 
  from  $hfvs(1)$  obtain  $h$ 
    where  $h: h \in set\ H-rcoset-reps$ 
       $hfvs = map (Hmult (-h) \circ induced-vector) Vfbasis$ 
    using  $indVfbasis-def$ 
       $induced-smult.negGorbit-list-def[of\ H-rcoset-reps\ induced-vector]$ 
    by  $auto$ 
  from  $hfvs(2)$   $h(2)$  obtain  $v$ 
    where  $v: v \in set\ Vfbasis\ f = (-h) * \times (induced-vector\ v)$ 
    by  $auto$ 
  from  $v\ h(1)\ ST(1)$  have  $S\ f = (-h) * \star (\varphi\ S\ v)$ 
    using  $ST'(1)\ H-rcoset-reps-H\ Group.neg-closed[OF\ HRep.GroupG]$ 
       $GRep.induced-vector-indV\ Vfbasis-V\ \varphi-def\ FGModuleHom.G-map$ 
    by  $fastforce$ 
  moreover from  $v\ h(1)\ ST(2)$  have  $T\ f = (-h) * \star (\varphi\ T\ v)$ 
  using  $ST'(2)\ H-rcoset-reps-H\ Group.neg-closed[OF\ HRep.GroupG]\ GRep.induced-vector-indV$ 
     $Vfbasis-V\ \varphi-def\ FGModuleHom.G-map$ 
    by  $fastforce$ 
  ultimately show  $S\ f = T\ f$  using  $ST(3)$  by  $simp$ 

  qed
qed
thus  $inj-on\ \varphi (HRepHomSet\ (\star)\ W)$  unfolding  $inj-on-def$  by  $fast$ 

show  $\varphi ' HRepHomSet\ (\star)\ W = GRepHomSet\ (\star)\ W$ 
using  $\varphi-im\ \varphi-inverse-im$  by  $fast$ 

```

**qed**

**end**

### 6.8.5 The theorem

Finally we demonstrate that  $\varphi$  is an isomorphism of vector spaces between the two hom-sets, leading to Frobenius reciprocity.

**context**  $FrobeniusReciprocity$   
**begin**

**lemma**  $VectorSpaceIso-\varphi :$   
 $VectorSpaceIso\ Tsmult1\ (HRepHomSet\ (\star)\ W)\ Tsmult2\ \varphi$   
 $(GRepHomSet\ (\star)\ W)$

**proof** (*rule VectorSpaceIso.intro, rule VectorSpace.VectorSpaceHomI-fromaxioms*)

**from** *Tsmult1-def* **show** *VectorSpace Tsmult1 (HRepHomSet (★) W)*  
**using** *FHModule-indspace FHModuleW*  
*FGModule.VectorSpace-FGModuleHomSet*  
**by** *simp*

**from** *φ-def* **show** *supp φ ⊆ HRepHomSet (★) W*  
**using** *suppD-contr[of φ]* **by** *fastforce*

**have** *bij-betw φ (HRepHomSet (★) W) (GRepHomSet (★) W)*  
**using** *bij-φ* **by** *fast*  
**thus** *VectorSpaceIso-axioms (HRepHomSet (★) W) φ (GRepHomSet (★) W)*  
**by** *unfold-locales*

**next**

**fix** *S T* **assume** *S ∈ HRepHomSet (★) W T ∈ HRepHomSet (★) W*  
**thus** *φ (S + T) = φ S + φ T*  
**using** *φ-def Group.add-closed*  
*FGModule.Group-FGModuleHomSet[OF FHModule-indspace FHModuleW]*  
**by** *auto*

**next**

**fix** *a T* **assume** *T: T ∈ HRepHomSet (★) W*  
**moreover with** *Tsmult1-def* **have** *aT: a ★ T ∈ HRepHomSet (★) W*  
**using** *FGModule.VectorSpace-FGModuleHomSet[*  
*OF FHModule-indspace FHModuleW*  
*]*  
*VectorSpace.smult-closed*  
**by** *simp*  
**ultimately show** *φ (a ★ T) = a ★ (φ T)*  
**using** *φ-def Tsmult1-def Tsmult2-def* **by** *auto*

**qed**

**theorem** *FrobeniusReciprocity* :

*VectorSpace.isomorphic Tsmult1 (HRepHomSet smult' W) Tsmult2*  
*(GRepHomSet smult' W)*  
**using** *VectorSpaceIso-φ* **by** *fast*

**end**

**end**

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