

Regular Sets, Expressions, Derivatives and Relation Algebra

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Abstract

This is a library of constructions on regular expressions and languages. It provides the operations of concatenation, Kleene star and left-quotients of languages. A theory of derivatives and partial derivatives is provided. Arden's lemma and finiteness of partial derivatives is established. A simple regular expression matcher based on Brzozowski's derivatives is proved to be correct. An executable equivalence checker for regular expressions is verified; it does not need automata but works directly on regular expressions. By mapping regular expressions to binary relations, an automatic and complete proof method for (in)equalities of binary relations over union, concatenation and (reflexive) transitive closure is obtained.

For an exposition of the equivalence checker for regular and relation algebraic expressions see the paper by Krauss and Nipkow [3].

Extended regular expressions with complement and intersection are also defined and an equivalence checker is provided.

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1 Regular sets

```
theory Regular-Set
imports Main
begin
```

type-synonym $'a lang = 'a list set$

definition $conc :: 'a lang \Rightarrow 'a lang \Rightarrow 'a lang$ (**infixr** $\langle @ @ \rangle$ 75) **where**
 $A @ @ B = \{xs @ ys \mid xs : A \& ys : B\}$

checks the code preprocessor for set comprehensions

export-code $conc$ **checking SML**

overloading $lang-pow == compow :: nat \Rightarrow 'a lang \Rightarrow 'a lang$

begin

primrec $lang-pow :: nat \Rightarrow 'a lang \Rightarrow 'a lang$ **where**

$lang-pow 0 A = []$ |

$lang-pow (Suc n) A = A @ @ (lang-pow n A)$

end

for code generation

definition $lang-pow :: nat \Rightarrow 'a lang \Rightarrow 'a lang$ **where**
 $lang-pow\text{-code-def} [code-abbrev]: lang-pow = compow$

lemma [*code*]:
 $lang-pow (Suc n) A = A @ @ (lang-pow n A)$
 $lang-pow 0 A = []$
 $\langle proof \rangle$

hide-const (open) $lang-pow$

definition $star :: 'a lang \Rightarrow 'a lang$ **where**
 $star A = (\bigcup n. A \wedge^n n)$

1.1 $(@ @)$

lemma $concI[simp,intro]$: $u : A \implies v : B \implies u @ v : A @ @ B$
 $\langle proof \rangle$

lemma $concE[elim]$:
assumes $w \in A @ @ B$
obtains $u v$ **where** $u \in A$ $v \in B$ $w = u @ v$
 $\langle proof \rangle$

lemma $conc\text{-mono}$: $A \subseteq C \implies B \subseteq D \implies A @ @ B \subseteq C @ @ D$
 $\langle proof \rangle$

lemma $conc\text{-empty}[simp]$: **shows** $\{\} @ @ A = \{\}$ **and** $A @ @ \{\} = \{\}$
 $\langle proof \rangle$

lemma $conc\text{-epsilon}[simp]$: **shows** $\{[]\} @ @ A = A$ **and** $A @ @ \{[]\} = A$
 $\langle proof \rangle$

lemma $conc\text{-assoc}$: $(A @ @ B) @ @ C = A @ @ (B @ @ C)$
 $\langle proof \rangle$

lemma *conc-Un-distrib*:
shows $A @\@ (B \cup C) = A @\@ B \cup A @\@ C$
and $(A \cup B) @\@ C = A @\@ C \cup B @\@ C$
(proof)

lemma *conc-UNION-distrib*:
shows $A @\@ \bigcup(M ` I) = \bigcup((\%i. A @\@ M i) ` I)$
and $\bigcup(M ` I) @\@ A = \bigcup((\%i. M i @\@ A) ` I)$
(proof)

lemma *conc-subset-lists*: $A \subseteq \text{lists } S \implies B \subseteq \text{lists } S \implies A @\@ B \subseteq \text{lists } S$
(proof)

lemma *Nil-in-conc[simp]*: $[] \in A @\@ B \longleftrightarrow [] \in A \wedge [] \in B$
(proof)

lemma *concI-if-Nil1*: $[] \in A \implies xs : B \implies xs \in A @\@ B$
(proof)

lemma *conc-Diff-if-Nil1*: $[] \in A \implies A @\@ B = (A - \{[]\}) @\@ B \cup B$
(proof)

lemma *concI-if-Nil2*: $[] \in B \implies xs : A \implies xs \in A @\@ B$
(proof)

lemma *conc-Diff-if-Nil2*: $[] \in B \implies A @\@ B = A @\@ (B - \{[]\}) \cup A$
(proof)

lemma *singleton-in-conc*:
 $[x] : A @\@ B \longleftrightarrow [x] : A \wedge [] : B \vee [] : A \wedge [x] : B$
(proof)

1.2 A^n

lemma *lang-pow-add*: $A^{\sim(n+m)} = A^{\sim n} @\@ A^{\sim m}$
(proof)

lemma *lang-pow-empty*: $\{\}^{\sim n} = (\text{if } n = 0 \text{ then } \{\}\} \text{ else } \{\})$
(proof)

lemma *lang-pow-empty-Suc[simp]*: $(\{\}:`a \text{ lang})^{\sim \text{Suc } n} = \{\}$
(proof)

lemma *conc-pow-comm*:
shows $A @\@ (A^{\sim n}) = (A^{\sim n}) @\@ A$
(proof)

lemma *length-lang-pow-ub*:

$\forall w \in A. \text{length } w \leq k \implies w : A^{\sim n} \implies \text{length } w \leq k*n$
 $\langle \text{proof} \rangle$

lemma *length-lang-pow-lb*:

$\forall w \in A. \text{length } w \geq k \implies w : A^{\sim n} \implies \text{length } w \geq k*n$
 $\langle \text{proof} \rangle$

lemma *lang-pow-subset-lists*: $A \subseteq \text{lists } S \implies A^{\sim n} \subseteq \text{lists } S$
 $\langle \text{proof} \rangle$

lemma *empty-pow-add*:

assumes $[] \in A$ $s \in A^{\sim n}$
shows $s \in A^{\sim(n+m)}$
 $\langle \text{proof} \rangle$

1.3 star

lemma *star-subset-lists*: $A \subseteq \text{lists } S \implies \text{star } A \subseteq \text{lists } S$
 $\langle \text{proof} \rangle$

lemma *star-if-lang-pow[simp]*: $w : A^{\sim n} \implies w : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *Nil-in-star[iff]*: $[] : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *star-if-lang[simp]*: **assumes** $w : A$ **shows** $w : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *append-in-starI[simp]*:
assumes $u : \text{star } A$ **and** $v : \text{star } A$ **shows** $u@v : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *conc-star-star*: $\text{star } A @ @ \text{star } A = \text{star } A$
 $\langle \text{proof} \rangle$

lemma *conc-star-comm*:
shows $A @ @ \text{star } A = \text{star } A @ @ A$
 $\langle \text{proof} \rangle$

lemma *star-induct[consumes 1, case-names Nil append, induct set: star]*:
assumes $w : \text{star } A$
and $P []$
and $\text{step}: !!u v. u : A \implies v : \text{star } A \implies P v \implies P(u@v)$
shows $P w$
 $\langle \text{proof} \rangle$

lemma *star-empty[simp]*: $\text{star } \{\} = \{[]\}$
 $\langle \text{proof} \rangle$

lemma *star-epsilon[simp]*: $\text{star } \{\} = \{\}$
(proof)

lemma *star-idemp[simp]*: $\text{star } (\text{star } A) = \text{star } A$
(proof)

lemma *star-unfold-left*: $\text{star } A = A @ @ \text{star } A \cup \{\}$ (**is** $?L = ?R$)
(proof)

lemma *concat-in-star*: $\text{set } ws \subseteq A \implies \text{concat } ws : \text{star } A$
(proof)

lemma *in-star-iff-concat*:
 $w \in \text{star } A = (\exists ws. \text{set } ws \subseteq A \wedge w = \text{concat } ws)$
(**is** $- = (\exists ws. ?R w ws)$)
(proof)

lemma *star-conv-concat*: $\text{star } A = \{\text{concat } ws | ws. \text{set } ws \subseteq A\}$
(proof)

lemma *star-insert-eps[simp]*: $\text{star } (\text{insert } [] A) = \text{star}(A)$
(proof)

lemma *star-unfold-left-Nil*: $\text{star } A = (A - \{\}) @ @ (\text{star } A) \cup \{\}$
(proof)

lemma *star-Diff-Nil-fold*: $(A - \{\}) @ @ \text{star } A = \text{star } A - \{\}$
(proof)

lemma *star-decom*:
assumes $a: x \in \text{star } A$ $x \neq []$
shows $\exists a b. x = a @ b \wedge a \neq [] \wedge a \in A \wedge b \in \text{star } A$
(proof)

lemma *star-pow*:
assumes $s \in \text{star } A$
shows $\exists n. s \in A \wedge^n n$
(proof)

1.4 Left-Quotients of languages

definition *Deriv* :: $'a \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$
where $\text{Deriv } x A = \{ xs. x \# xs \in A \}$

definition *Derivs* :: $'a \text{ list} \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$
where $\text{Derivs } xs A = \{ ys. xs @ ys \in A \}$

abbreviation

Derivss :: '*a* list \Rightarrow '*a* lang set \Rightarrow '*a* lang

where

$$\text{Derivss } s \text{ } As \equiv \bigcup (\text{Derivs } s \text{ } ' As)$$

lemma *Deriv-empty*[simp]: *Deriv a {} = {}*

and *Deriv-epsilon*[simp]: *Deriv a {[]} = {}*

and *Deriv-char*[simp]: *Deriv a {[b]} = (if a = b then {} else {})*

and *Deriv-union*[simp]: *Deriv a (A \cup B) = Deriv a A \cup Deriv a B*

and *Deriv-inter*[simp]: *Deriv a (A \cap B) = Deriv a A \cap Deriv a B*

and *Deriv-compl*[simp]: *Deriv a ($-A$) = - Deriv a A*

and *Deriv-Union*[simp]: *Deriv a (Union M) = Union(Deriv a ' M)*

and *Deriv-UN*[simp]: *Deriv a (UN x:I. S x) = (UN x:I. Deriv a (S x))*

{proof}

lemma *Der-conc* [simp]:

shows *Deriv c (A @@ B) = (Deriv c A) @@ B \cup (if [] $\in A$ then Deriv c B else {})*

{proof}

lemma *Deriv-star* [simp]:

shows *Deriv c (star A) = (Deriv c A) @@ star A*

{proof}

lemma *Deriv-diff*[simp]:

shows *Deriv c (A - B) = Deriv c A - Deriv c B*

{proof}

lemma *Deriv-lists*[simp]: *c : S \implies Deriv c (lists S) = lists S*

{proof}

lemma *Derivs-simps* [simp]:

shows *Derivs [] A = A*

and *Derivs (c # s) A = Derivs s (Deriv c A)*

and *Derivs (s1 @ s2) A = Derivs s2 (Derivs s1 A)*

{proof}

lemma *in-fold-Deriv*: *v \in fold Deriv w L \longleftrightarrow w @ v \in L*

{proof}

lemma *Derivs-alt-def* [code]: *Derivs w L = fold Deriv w L*

{proof}

lemma *Deriv-code* [code]:

Deriv x A = tl ' Set.filter (λxs. case xs of x' # - \Rightarrow x = x' | - \Rightarrow False) A

{proof}

1.5 Shuffle product

definition *Shuffle* (infixr $\langle \parallel \rangle$ 80) **where**
 $\text{Shuffle } A B = \bigcup \{ \text{shuffles } xs ys \mid xs ys. xs \in A \wedge ys \in B \}$

lemma *Deriv-Shuffle*[simp]:
 $\text{Deriv } a (A \parallel B) = \text{Deriv } a A \parallel B \cup A \parallel \text{Deriv } a B$
 $\langle \text{proof} \rangle$

lemma *shuffle-subset-lists*:
assumes $A \subseteq \text{lists } S$ $B \subseteq \text{lists } S$
shows $A \parallel B \subseteq \text{lists } S$
 $\langle \text{proof} \rangle$

lemma *Nil-in-Shuffle*[simp]: $[] \in A \parallel B \longleftrightarrow [] \in A \wedge [] \in B$
 $\langle \text{proof} \rangle$

lemma *shuffle-Un-distrib*:
shows $A \parallel (B \cup C) = A \parallel B \cup A \parallel C$
and $A \parallel (B \cup C) = A \parallel B \cup A \parallel C$
 $\langle \text{proof} \rangle$

lemma *shuffle-UNION-distrib*:
shows $A \parallel \bigcup (M ` I) = \bigcup ((\%i. A \parallel M i) ` I)$
and $\bigcup (M ` I) \parallel A = \bigcup ((\%i. M i \parallel A) ` I)$
 $\langle \text{proof} \rangle$

lemma *Shuffle-empty*[simp]:
 $A \parallel \{\} = \{\}$
 $\{\} \parallel B = \{\}$
 $\langle \text{proof} \rangle$

lemma *Shuffle-eps*[simp]:
 $A \parallel \{\[]\} = A$
 $\{\[]\} \parallel B = B$
 $\langle \text{proof} \rangle$

1.6 Arden's Lemma

lemma *arden-helper*:
assumes $\text{eq}: X = A @\@ X \cup B$
shows $X = (A \wedge\wedge \text{Suc } n) @\@ X \cup (\bigcup_{m \leq n.} (A \wedge\wedge m) @\@ B)$
 $\langle \text{proof} \rangle$

lemma *Arden-star-is-sol*:
 $\text{star } A @\@ B = A @\@ \text{star } A @\@ B \cup B$
 $\langle \text{proof} \rangle$

lemma *Arden-sol-is-star*:
assumes $[] \notin A$ $X = A @\@ X \cup B$

```
shows  $X = \text{star } A @\@ B$ 
⟨proof⟩
```

lemma Arden:

```
assumes  $[] \notin A$ 
shows  $X = A @\@ X \cup B \longleftrightarrow X = \text{star } A @\@ B$ 
⟨proof⟩
```

lemma reversed-arden-helper:

```
assumes eq:  $X = X @\@ A \cup B$ 
shows  $X = X @\@ (A \wedge^{\sim} \text{Suc } n) \cup (\bigcup_{m \leq n} B @\@ (A \wedge^{\sim} m))$ 
⟨proof⟩
```

theorem reversed-Arden:

```
assumes nemp:  $[] \notin A$ 
shows  $X = X @\@ A \cup B \longleftrightarrow X = B @\@ \text{star } A$ 
⟨proof⟩
```

end

2 Regular expressions

theory Regular-Exp

imports Regular-Set

begin

```
datatype (atoms: 'a) rexp =
  is-Zero: Zero |
  is-One: One |
  Atom 'a |
  Plus ('a rexp) ('a rexp) |
  Times ('a rexp) ('a rexp) |
  Star ('a rexp)
```

```
primrec lang :: 'a rexp => 'a lang where
lang Zero = {} |
lang One = {[[]] |
lang (Atom a) = {[a]} |
lang (Plus r s) = (lang r) Un (lang s) |
lang (Times r s) = conc (lang r) (lang s) |
lang (Star r) = star(lang r)
```

abbreviation (input) regular-lang **where** regular-lang A ≡ ($\exists r. \text{lang } r = A$)

```
primrec nullable :: 'a rexp => bool where
nullable Zero = False |
nullable One = True |
nullable (Atom c) = False |
nullable (Plus r1 r2) = (nullable r1 ∨ nullable r2) |
```

```

nullable (Times r1 r2) = (nullable r1 ∧ nullable r2) |
nullable (Star r) = True

lemma nullable-iff [code-abbrev]: nullable r ↔ [] ∈ lang r
⟨proof⟩

primrec rexpm-empty where
  rexpm-empty Zero ↔ True
| rexpm-empty One ↔ False
| rexpm-empty (Atom a) ↔ False
| rexpm-empty (Plus r s) ↔ rexpm-empty r ∧ rexpm-empty s
| rexpm-empty (Times r s) ↔ rexpm-empty r ∨ rexpm-empty s
| rexpm-empty (Star r) ↔ False

```

```

lemma rexpm-empty-iff [code-abbrev]: rexpm-empty r ↔ lang r = {}
⟨proof⟩

```

Composition on rhs usually complicates matters:

```

lemma map-map-rexp:
  map-rexp f (map-rexp g r) = map-rexp (λr. f (g r)) r
⟨proof⟩

```

```

lemma map-rexp-ident[simp]: map-rexp (λx. x) = (λr. r)
⟨proof⟩

```

```

lemma atoms-lang: w : lang r ==> set w ⊆ atoms r
⟨proof⟩

```

```

lemma lang-eq-ext: (lang r = lang s) =
  (forall w ∈ lists(atoms r ∪ atoms s). w ∈ lang r ↔ w ∈ lang s)
⟨proof⟩

```

```

lemma lang-eq-ext-Nil-fold-Deriv:
  fixes r s
  defines B ≡ {(fold Deriv w (lang r), fold Deriv w (lang s)) | w. w ∈ lists (atoms r ∪ atoms s)}
  shows lang r = lang s ↔ (forall (K, L) ∈ B. [] ∈ K ↔ [] ∈ L)
⟨proof⟩

```

2.1 Term ordering

```

instantiation rexpm :: (order) {order}
begin

```

```

fun le-rexp :: ('a::order) rexpm ⇒ ('a::order) rexpm ⇒ bool
where
  le-rexp Zero - = True
| le-rexp - Zero = False
| le-rexp One - = True

```

```

| le-rexp - One = False
| le-rexp (Atom a) (Atom b) = (a <= b)
| le-rexp (Atom -) - = True
| le-rexp - (Atom -) = False
| le-rexp (Star r) (Star s) = le-rexp r s
| le-rexp (Star -) - = True
| le-rexp - (Star -) = False
| le-rexp (Plus r r') (Plus s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Plus - -) - = True
| le-rexp - (Plus - -) = False
| le-rexp (Times r r') (Times s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)

```

definition less-eq-rexp **where** $r \leq s \equiv \text{le-rexp } r s$
definition less-rexp **where** $r < s \equiv \text{le-rexp } r s \wedge r \neq s$

lemma le-rexp-Zero: $\text{le-rexp } r \text{ Zero} \implies r = \text{Zero}$
 $\langle \text{proof} \rangle$

lemma le-rexp-refl: $\text{le-rexp } r r$
 $\langle \text{proof} \rangle$

lemma le-rexp-antisym: $\llbracket \text{le-rexp } r s; \text{le-rexp } s r \rrbracket \implies r = s$
 $\langle \text{proof} \rangle$

lemma le-rexp-trans: $\llbracket \text{le-rexp } r s; \text{le-rexp } s t \rrbracket \implies \text{le-rexp } r t$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

instantiation rexp :: (linorder) {linorder}
begin

lemma le-rexp-total: $\text{le-rexp } (r :: 'a :: \text{linorder rexp}) s \vee \text{le-rexp } s r$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

end

3 Normalizing Derivative

```
theory NDerivative
imports
  Regular-Exp
begin
```

3.1 Normalizing operations

associativity, commutativity, idempotence, zero

```
fun nPlus :: 'a::order rexp ⇒ 'a rexp ⇒ 'a rexp
where
  nPlus Zero r = r
| nPlus r Zero = r
| nPlus (Plus r s) t = nPlus r (nPlus s t)
| nPlus r (Plus s t) =
  (if r = s then (Plus s t)
   else if le-rexp r s then Plus r (Plus s t)
   else Plus s (nPlus r t))
| nPlus r s =
  (if r = s then r
   else if le-rexp r s then Plus r s
   else Plus s r)
```

lemma lang-nPlus[simp]: $\text{lang}(\text{nPlus } r \ s) = \text{lang}(\text{Plus } r \ s)$
 $\langle \text{proof} \rangle$

associativity, zero, one

```
fun nTimes :: 'a::order rexp ⇒ 'a rexp ⇒ 'a rexp
where
  nTimes Zero - = Zero
| nTimes - Zero = Zero
| nTimes One r = r
| nTimes r One = r
| nTimes (Times r s) t = Times r (nTimes s t)
| nTimes r s = Times r s
```

lemma lang-nTimes[simp]: $\text{lang}(\text{nTimes } r \ s) = \text{lang}(\text{Times } r \ s)$
 $\langle \text{proof} \rangle$

```
primrec norm :: 'a::order rexp ⇒ 'a rexp
where
  norm Zero = Zero
| norm One = One
| norm (Atom a) = Atom a
| norm (Plus r s) = nPlus (norm r) (norm s)
| norm (Times r s) = nTimes (norm r) (norm s)
| norm (Star r) = Star (norm r)
```

```

lemma lang-norm[simp]: lang (norm r) = lang r
⟨proof⟩

primrec nderiv :: 'a::order ⇒ 'a rexpr ⇒ 'a rexpr
where
  nderiv - Zero = Zero
  | nderiv - One = Zero
  | nderiv a (Atom b) = (if a = b then One else Zero)
  | nderiv a (Plus r s) = nPlus (nderiv a r) (nderiv a s)
  | nderiv a (Times r s) =
    (let r's = nTimes (nderiv a r) s
     in if nullable r then nPlus r's (nderiv a s) else r's)
  | nderiv a (Star r) = nTimes (nderiv a r) (Star r)

lemma lang-nderiv: lang (nderiv a r) = Deriv a (lang r)
⟨proof⟩

lemma deriv-no-occurrence:
  x ∉ atoms r ⇒ nderiv x r = Zero
⟨proof⟩

lemma atoms-nPlus[simp]: atoms (nPlus r s) = atoms r ∪ atoms s
⟨proof⟩

lemma atoms-nTimes: atoms (nTimes r s) ⊆ atoms r ∪ atoms s
⟨proof⟩

lemma atoms-norm: atoms (norm r) ⊆ atoms r
⟨proof⟩

lemma atoms-nderiv: atoms (nderiv a r) ⊆ atoms r
⟨proof⟩

end

```

4 Deciding Regular Expression Equivalence

```

theory Equivalence-Checking
imports
  NDerivative
  HOL-Library.While-Combinator
begin

```

4.1 Bisimulation between languages and regular expressions

```

coinductive bisimilar :: 'a lang ⇒ 'a lang ⇒ bool where
  ([] ∈ K ↔ [] ∈ L)
  ⇒ (A x. bisimilar (Deriv x K) (Deriv x L))
  ⇒ bisimilar K L

```

```

lemma equal-if-bisimilar:
assumes bisimilar K L shows K = L
⟨proof⟩

lemma language-coinduct:
fixes R (infixl  $\sim$  50)
assumes K  $\sim$  L
assumes  $\bigwedge K L. K \sim L \implies (\emptyset \in K \longleftrightarrow \emptyset \in L)$ 
assumes  $\bigwedge K L x. K \sim L \implies \text{Deriv } x K \sim \text{Deriv } x L$ 
shows K = L
⟨proof⟩

type-synonym 'a rexp-pair = 'a rexp * 'a rexp
type-synonym 'a rexp-pairs = 'a rexp-pair list

definition is-bisimulation :: 'a::order list  $\Rightarrow$  'a rexp-pair set  $\Rightarrow$  bool
where
is-bisimulation as R =
 $(\forall (r,s) \in R. (\text{atoms } r \cup \text{atoms } s \subseteq \text{set as}) \wedge (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$ 
 $(\forall a \in \text{set as}. (\text{nderiv } a r, \text{nderiv } a s) \in R))$ 

lemma bisim-lang-eq:
assumes bisim: is-bisimulation as ps
assumes (r, s)  $\in$  ps
shows lang r = lang s
⟨proof⟩



## 4.2 Closure computation

definition closure :: 
'a::order list  $\Rightarrow$  'a rexp-pair  $\Rightarrow$  ('a rexp-pairs * 'a rexp-pair set) option
where
closure as = rtranci-while (%(r,s). nullable r = nullable s)
 $(\forall (r,s). \text{map } (\lambda a. (\text{nderiv } a r, \text{nderiv } a s)) \text{ as})$ 

definition pre-bisim :: 'a::order list  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp  $\Rightarrow$ 
'a rexp-pairs * 'a rexp-pair set  $\Rightarrow$  bool
where
pre-bisim as r s =  $(\lambda (ws, R).$ 
 $(r, s) \in R \wedge \text{set ws} \subseteq R \wedge$ 
 $(\forall (r,s) \in R. \text{atoms } r \cup \text{atoms } s \subseteq \text{set as}) \wedge$ 
 $(\forall (r,s) \in R - \text{set ws}. (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$ 
 $(\forall a \in \text{set as}. (\text{nderiv } a r, \text{nderiv } a s) \in R)))$ 

theorem closure-sound:
assumes result: closure as (r,s) = Some([],R)
and atoms: atoms r  $\cup$  atoms s  $\subseteq$  set as
shows lang r = lang s

```

$\langle proof \rangle$

4.3 Bisimulation-free proof of closure computation

The equivalence check can be viewed as the product construction of two automata. The state space is the reflexive transitive closure of the pair of next-state functions, i.e. derivatives.

```
lemma rtranc1-nderivs: defines nderivs == foldl (%r a. nderiv a r)
shows {((r,s),(nderiv a r,nderiv a s))| r s a. a : A}^* =
{((r,s),(nderivs r w,nderivs s w))| r s w. w : lists A} (is ?L = ?R)
⟨proof⟩
```

```
lemma nullable-nderivs:
 nullable (foldl (%r a. nderiv a r) r w) = (w : lang r)
⟨proof⟩
```

```
theorem closure-sound-complete:
assumes result: closure as (r,s) = Some(ws,R)
and atoms: set as = atoms r ∪ atoms s
shows ws = [] ↔ lang r = lang s
⟨proof⟩
```

4.4 The overall procedure

```
primrec add-atoms :: 'a rexp ⇒ 'a list ⇒ 'a list
where
  add-atoms Zero = id
  | add-atoms One = id
  | add-atoms (Atom a) = List.insert a
  | add-atoms (Plus r s) = add-atoms s o add-atoms r
  | add-atoms (Times r s) = add-atoms s o add-atoms r
  | add-atoms (Star r) = add-atoms r
```

```
lemma set-add-atoms: set (add-atoms r as) = atoms r ∪ set as
⟨proof⟩
```

```
definition check-eqv :: nat rexp ⇒ nat rexp ⇒ bool where
check-eqv r s =
(let nr = norm r; ns = norm s; as = add-atoms nr (add-atoms ns []))
in case closure as (nr, ns) of
  Some([],-) ⇒ True | - ⇒ False)
```

```
lemma soundness:
assumes check-eqv r s shows lang r = lang s
⟨proof⟩
```

Test:

```
lemma check-eqv (Plus One (Times (Atom 0) (Star(Atom 0)))) (Star(Atom 0))
```

$\langle proof \rangle$

end

5 Regular Expressions as Homogeneous Binary Relations

```
theory Relation-Interpretation
imports Regular-Exp
begin

primrec rel :: ('a ⇒ ('b * 'b) set) ⇒ 'a rexpr ⇒ ('b * 'b) set
where
  rel v Zero = {} |
  rel v One = Id |
  rel v (Atom a) = v a |
  rel v (Plus r s) = rel v r ∪ rel v s |
  rel v (Times r s) = rel v r O rel v s |
  rel v (Star r) = (rel v r) ∩ ∗

primrec word-rel :: ('a ⇒ ('b * 'b) set) ⇒ 'a list ⇒ ('b * 'b) set
where
  word-rel v [] = Id
  | word-rel v (a#as) = v a O word-rel v as

lemma word-rel-append:
  word-rel v w O word-rel v w' = word-rel v (w @ w')
⟨proof⟩

lemma rel-word-rel: rel v r = (∪ w ∈ lang r. word-rel v w)
⟨proof⟩
```

Soundness:

```
lemma soundness:
  lang r = lang s ⇒ rel v r = rel v s
⟨proof⟩
```

end

6 Proving Relation (In)equalities via Regular Expressions

```
theory Regexp-Method
imports Equivalence-Checking Relation-Interpretation
begin

primrec rel-of-regexp :: ('a * 'a) set list ⇒ nat rexpr ⇒ ('a * 'a) set where
```

```

rel-of-regexp vs Zero = {} |
rel-of-regexp vs One = Id |
rel-of-regexp vs (Atom i) = vs ! i |
rel-of-regexp vs (Plus r s) = rel-of-regexp vs r ∪ rel-of-regexp vs s |
rel-of-regexp vs (Times r s) = rel-of-regexp vs r O rel-of-regexp vs s |
rel-of-regexp vs (Star r) = (rel-of-regexp vs r) ^

```

lemma *rel-of-regexp-rel*: $\text{rel-of-regexp vs } r = \text{rel } (\lambda i. \text{vs} ! i) r$
(proof)

primrec *rel-eq* **where**
 $\text{rel-eq } (r, s) \text{ vs} = (\text{rel-of-regexp vs } r = \text{rel-of-regexp vs } s)$

lemma *rel-eqI*: $\text{check-eqv } r s \implies \text{rel-eq } (r, s) \text{ vs}$
(proof)

lemmas *regexp-reify* = *rel-of-regexp.simps* *rel-eq.simps*
lemmas *regexp-unfold* = *trancl-unfold-left* *subset-Un-eq*

$\langle ML \rangle$

hide-const (open) *le-rexp nPlus nTimes norm nullable bisimilar is-bisimulation closure*
pre-bisim add-atoms check-eqv rel word-rel rel-eq

Example:

lemma $(r \cup s)^+ = (r \cup s)^*$
(proof)

end

7 Basic constructions on regular expressions

theory *Regexp-Constructions*
imports
Main
HOL-Library.Sublist
Regular-Exp
begin

7.1 Reverse language

lemma *rev-conc [simp]*: $\text{rev } ' (A @\@ B) = \text{rev } ' B @\@ \text{rev } ' A$
(proof)

lemma *rev-compower [simp]*: $\text{rev } ' (A ^\wedge n) = (\text{rev } ' A) ^\wedge n$
(proof)

lemma *rev-star [simp]*: $\text{rev } ' \text{star } A = \text{star } (\text{rev } ' A)$

$\langle proof \rangle$

7.2 Substituting characters in a language

definition $\text{subst-word} :: ('a \Rightarrow 'b \text{ list}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$ **where**
 $\text{subst-word } f \text{ xs} = \text{concat } (\text{map } f \text{ xs})$

lemma $\text{subst-word-Nil [simp]}: \text{subst-word } f \text{ []} = []$
 $\langle proof \rangle$

lemma $\text{subst-word-singleton [simp]}: \text{subst-word } f \text{ [x]} = f \text{ x}$
 $\langle proof \rangle$

lemma $\text{subst-word-append [simp]}: \text{subst-word } f \text{ (xs @ ys)} = \text{subst-word } f \text{ xs} @ \text{subst-word } f \text{ ys}$
 $\langle proof \rangle$

lemma $\text{subst-word-Cons [simp]}: \text{subst-word } f \text{ (x # xs)} = f \text{ x} @ \text{subst-word } f \text{ xs}$
 $\langle proof \rangle$

lemma $\text{subst-word-conc [simp]}: \text{subst-word } f ' (A @@ B) = \text{subst-word } f ' A @@ \text{subst-word } f ' B$
 $\langle proof \rangle$

lemma $\text{subst-word-compower [simp]}: \text{subst-word } f ' (\overset{\sim}{\wedge}_n n) = (\text{subst-word } f ' A)$
 $\langle proof \rangle$

lemma $\text{subst-word-star [simp]}: \text{subst-word } f ' (\text{star } A) = \text{star } (\text{subst-word } f ' A)$
 $\langle proof \rangle$

Suffix language

definition $\text{Suffixes} :: 'a \text{ list set} \Rightarrow 'a \text{ list set}$ **where**
 $\text{Suffixes } A = \{w. \exists q. q @ w \in A\}$

lemma $\text{Suffixes-altdef [code]}: \text{Suffixes } A = (\bigcup_{w \in A} \text{set } (\text{suffixes } w))$
 $\langle proof \rangle$

lemma $\text{Nil-in-Suffixes-iff [simp]}: [] \in \text{Suffixes } A \longleftrightarrow A \neq \{\}$
 $\langle proof \rangle$

lemma $\text{Suffixes-empty [simp]}: \text{Suffixes } \{\} = \{\}$
 $\langle proof \rangle$

lemma $\text{Suffixes-empty-iff [simp]}: \text{Suffixes } A = \{\} \longleftrightarrow A = \{\}$
 $\langle proof \rangle$

lemma $\text{Suffixes-singleton [simp]}: \text{Suffixes } \{xs\} = \text{set } (\text{suffixes } xs)$
 $\langle proof \rangle$

lemma *Suffixes-insert*: $\text{Suffixes}(\text{insert } xs \ A) = \text{set}(\text{suffixes } xs) \cup \text{Suffixes } A$
(proof)

lemma *Suffixes-conc [simp]*: $A \neq \{\} \implies \text{Suffixes}(A @\@ B) = \text{Suffixes } B \cup (\text{Suffixes } A @\@ B)$
(proof)

lemma *Suffixes-union [simp]*: $\text{Suffixes}(A \cup B) = \text{Suffixes } A \cup \text{Suffixes } B$
(proof)

lemma *Suffixes-UNION [simp]*: $\text{Suffixes}(\bigcup(f ` A)) = \bigcup((\lambda x. \text{Suffixes}(f x)) ` A)$
(proof)

lemma *Suffixes-compower*:
assumes $A \neq \{\}$
shows $\text{Suffixes}(A ^\wedge n) = \text{insert} [] (\text{Suffixes } A @\@ (\bigcup k < n. A ^\wedge k))$
(proof)

lemma *Suffixes-star [simp]*:
assumes $A \neq \{\}$
shows $\text{Suffixes}(\text{star } A) = \text{Suffixes } A @\@ \text{star } A$
(proof)

Prefix language

definition *Prefixes* :: 'a list set \Rightarrow 'a list set **where**
 $\text{Prefixes } A = \{w. \exists q. w @ q \in A\}$

lemma *Prefixes-altdef [code]*: $\text{Prefixes } A = (\bigcup w \in A. \text{set}(\text{prefixes } w))$
(proof)

lemma *Nil-in-Prefixes-iff [simp]*: $[] \in \text{Prefixes } A \longleftrightarrow A \neq \{\}$
(proof)

lemma *Prefixes-empty [simp]*: $\text{Prefixes } \{\} = \{\}$
(proof)

lemma *Prefixes-empty-iff [simp]*: $\text{Prefixes } A = \{\} \longleftrightarrow A = \{\}$
(proof)

lemma *Prefixes-singleton [simp]*: $\text{Prefixes } \{xs\} = \text{set}(\text{prefixes } xs)$
(proof)

lemma *Prefixes-insert*: $\text{Prefixes}(\text{insert } xs \ A) = \text{set}(\text{prefixes } xs) \cup \text{Prefixes } A$
(proof)

lemma *Prefixes-conc [simp]*: $B \neq \{\} \implies \text{Prefixes}(A @\@ B) = \text{Prefixes } A \cup (A @\@ \text{Prefixes } B)$
(proof)

lemma *Prefixes-union* [simp]: $\text{Prefixes } (A \cup B) = \text{Prefixes } A \cup \text{Prefixes } B$
 $\langle \text{proof} \rangle$

lemma *Prefixes-UNION* [simp]: $\text{Prefixes } (\bigcup (f \cdot A)) = \bigcup ((\lambda x. \text{Prefixes } (f x)) \cdot A)$
 $\langle \text{proof} \rangle$

lemma *Prefixes-rev*: $\text{Prefixes } (\text{rev} \cdot A) = \text{rev} \cdot \text{Suffixes } A$
 $\langle \text{proof} \rangle$

lemma *Suffixes-rev*: $\text{Suffixes } (\text{rev} \cdot A) = \text{rev} \cdot \text{Prefixes } A$
 $\langle \text{proof} \rangle$

lemma *Prefixes-compower*:

assumes $A \neq \{\}$

shows $\text{Prefixes } (A \wedge n) = \text{insert } [] ((\bigcup k < n. A \wedge k) @ @ \text{Prefixes } A)$

$\langle \text{proof} \rangle$

lemma *Prefixes-star* [simp]:

assumes $A \neq \{\}$

shows $\text{Prefixes } (\text{star } A) = \text{star } A @ @ \text{Prefixes } A$

$\langle \text{proof} \rangle$

7.3 Subword language

The language of all sub-words, i.e. all words that are a contiguous sublist of a word in the original language.

definition *Sublists* :: 'a list set \Rightarrow 'a list set **where**
 $\text{Sublists } A = \{w. \exists q \in A. \text{sublist } w q\}$

lemma *Sublists-altdef* [code]: $\text{Sublists } A = (\bigcup w \in A. \text{set } (\text{sublists } w))$
 $\langle \text{proof} \rangle$

lemma *Sublists-empty* [simp]: $\text{Sublists } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *Sublists-singleton* [simp]: $\text{Sublists } \{w\} = \text{set } (\text{sublists } w)$
 $\langle \text{proof} \rangle$

lemma *Sublists-insert*: $\text{Sublists } (\text{insert } w A) = \text{set } (\text{sublists } w) \cup \text{Sublists } A$
 $\langle \text{proof} \rangle$

lemma *Sublists-Un* [simp]: $\text{Sublists } (A \cup B) = \text{Sublists } A \cup \text{Sublists } B$
 $\langle \text{proof} \rangle$

lemma *Sublists-UN* [simp]: $\text{Sublists } (\bigcup (f \cdot A)) = \bigcup ((\lambda x. \text{Sublists } (f x)) \cdot A)$
 $\langle \text{proof} \rangle$

lemma *Sublists-conv-Prefixes*: *Sublists A = Prefixes (Suffixes A)*
(proof)

lemma *Sublists-conv-Suffixes*: *Sublists A = Suffixes (Prefixes A)*
(proof)

lemma *Sublists-conc [simp]*:
assumes $A \neq \{\}$ $B \neq \{\}$
shows $\text{Sublists}(A @\@ B) = \text{Sublists } A \cup \text{Sublists } B \cup \text{Suffixes } A @\@ \text{Prefixes } B$
(proof)

lemma *star-not-empty [simp]*: *star A $\neq \{\}$*
(proof)

lemma *Sublists-star*:
 $A \neq \{\} \implies \text{Sublists}(\text{star } A) = \text{Sublists } A \cup \text{Suffixes } A @\@ \text{star } A @\@ \text{Prefixes } A$
(proof)

lemma *Prefixes-subset-Sublists*: *Prefixes A \subseteq Sublists A*
(proof)

lemma *Suffixes-subset-Sublists*: *Suffixes A \subseteq Sublists A*
(proof)

7.4 Fragment language

The following is the fragment language of a given language, i.e. the set of all words that are (not necessarily contiguous) sub-sequences of a word in the original language.

definition *Subseqs where* $\text{Subseqs } A = (\bigcup_{w \in A} \text{set}(\text{subseqs } w))$

lemma *Subseqs-empty [simp]*: *Subseqs {} = {}*
(proof)

lemma *Subseqs-insert [simp]*: *Subseqs(insert xs A) = set(subseqs xs) \cup Subseqs A*
(proof)

lemma *Subseqs-singleton [simp]*: *Subseqs {xs} = set(subseqs xs)*
(proof)

lemma *Subseqs-Un [simp]*: *Subseqs(A \cup B) = Subseqs A \cup Subseqs B*
(proof)

lemma *Subseqs-UNION [simp]*: *Subseqs(\bigcup(f ` A)) = \bigcup((\lambda x. \text{Subseqs}(f x)) ` A)*
(proof)

lemma *Subseqs-conc* [*simp*]: *Subseqs* (*A* @@ *B*) = *Subseqs A* @@ *Subseqs B*
⟨proof⟩

lemma *Subseqs-compowe* [*simp*]: *Subseqs* (*A* $\wedge\wedge n$) = *Subseqs A* $\wedge\wedge n$
⟨proof⟩

lemma *Subseqs-star* [*simp*]: *Subseqs* (*star A*) = *star* (*Subseqs A*)
⟨proof⟩

lemma *Sublists-subset-Subseqs*: *Sublists A* \subseteq *Subseqs A*
⟨proof⟩

7.5 Various regular expression constructions

A construction for language reversal of a regular expression:

```
primrec rexp-rev where
  rexp-rev Zero = Zero
  | rexp-rev One = One
  | rexp-rev (Atom x) = Atom x
  | rexp-rev (Plus r s) = Plus (rexp-rev r) (rexp-rev s)
  | rexp-rev (Times r s) = Times (rexp-rev s) (rexp-rev r)
  | rexp-rev (Star r) = Star (rexp-rev r)
```

lemma *lang-rexp-rev* [*simp*]: *lang* (*rexp-rev r*) = *rev* ‘ *lang r*
⟨proof⟩

The obvious construction for a singleton-language regular expression:

```
fun rexp-of-word where
  rexp-of-word [] = One
  | rexp-of-word [x] = Atom x
  | rexp-of-word (x#xs) = Times (Atom x) (rexp-of-word xs)
```

lemma *lang-rexp-of-word* [*simp*]: *lang* (*rexp-of-word xs*) = {*xs*}
⟨proof⟩

lemma *size-rexp-of-word* [*simp*]: *size* (*rexp-of-word xs*) = *Suc* (2 * (*length xs* - 1))
⟨proof⟩

Character substitution in a regular expression:

```
primrec rexp-subst where
  rexp-subst f Zero = Zero
  | rexp-subst f One = One
  | rexp-subst f (Atom x) = rexp-of-word (f x)
  | rexp-subst f (Plus r s) = Plus (rexp-subst f r) (rexp-subst f s)
  | rexp-subst f (Times r s) = Times (rexp-subst f r) (rexp-subst f s)
  | rexp-subst f (Star r) = Star (rexp-subst f r)
```

lemma *lang-rexp-subst*: *lang* (*rexp-subst f r*) = *subst-word f* ‘ *lang r*

$\langle proof \rangle$

 Suffix language of a regular expression:

```
primrec suffix-rexp :: 'a rexp => 'a rexp where
  suffix-rexp Zero = Zero
  | suffix-rexp One = One
  | suffix-rexp (Atom a) = Plus (Atom a) One
  | suffix-rexp (Plus r s) = Plus (suffix-rexp r) (suffix-rexp s)
  | suffix-rexp (Times r s) =
    (if rexp-empty r then Zero else Plus (Times (suffix-rexp r) s) (suffix-rexp s))
  | suffix-rexp (Star r) =
    (if rexp-empty r then One else Times (suffix-rexp r) (Star r))
```

theorem lang-suffix-rexp [simp]:

```
lang (suffix-rexp r) = Suffixes (lang r)
⟨proof⟩
```

 Prefix language of a regular expression:

```
primrec prefix-rexp :: 'a rexp => 'a rexp where
  prefix-rexp Zero = Zero
  | prefix-rexp One = One
  | prefix-rexp (Atom a) = Plus (Atom a) One
  | prefix-rexp (Plus r s) = Plus (prefix-rexp r) (prefix-rexp s)
  | prefix-rexp (Times r s) =
    (if rexp-empty s then Zero else Plus (Times r (prefix-rexp s)) (prefix-rexp r))
  | prefix-rexp (Star r) =
    (if rexp-empty r then One else Times (Star r) (prefix-rexp r))
```

theorem lang-prefix-rexp [simp]:

```
lang (prefix-rexp r) = Prefixes (lang r)
⟨proof⟩
```

 Sub-word language of a regular expression

```
primrec sublist-rexp :: 'a rexp => 'a rexp where
  sublist-rexp Zero = Zero
  | sublist-rexp One = One
  | sublist-rexp (Atom a) = Plus (Atom a) One
  | sublist-rexp (Plus r s) = Plus (sublist-rexp r) (sublist-rexp s)
  | sublist-rexp (Times r s) =
    (if rexp-empty r ∨ rexp-empty s then Zero else
      Plus (sublist-rexp r) (Plus (sublist-rexp s) (Times (suffix-rexp r) (prefix-rexp s))))
  | sublist-rexp (Star r) =
    (if rexp-empty r then One else
      Plus (sublist-rexp r) (Times (suffix-rexp r) (Times (Star r) (prefix-rexp r))))
```

theorem lang-sublist-rexp [simp]:

```
lang (sublist-rexp r) = Sublists (lang r)
⟨proof⟩
```

Fragment language of a regular expression:

```

primrec subseqs-rexp :: 'a rexp  $\Rightarrow$  'a rexp where
  subseqs-rexp Zero = Zero
  | subseqs-rexp One = One
  | subseqs-rexp (Atom x) = Plus (Atom x) One
  | subseqs-rexp (Plus r s) = Plus (subseqs-rexp r) (subseqs-rexp s)
  | subseqs-rexp (Times r s) = Times (subseqs-rexp r) (subseqs-rexp s)
  | subseqs-rexp (Star r) = Star (subseqs-rexp r)

lemma lang-subseqs-rexp [simp]: lang (subseqs-rexp r) = Subseqs (lang r)
  <proof>

```

Subword language of a regular expression

end

8 Derivatives of regular expressions

```

theory Derivatives
imports Regular-Exp
begin

```

This theory is based on work by Brzozowski [2] and Antimirov [1].

8.1 Brzozowski's derivatives of regular expressions

```

fun
  deriv :: 'a  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  deriv c (Zero) = Zero
  | deriv c (One) = Zero
  | deriv c (Atom c') = (if c = c' then One else Zero)
  | deriv c (Plus r1 r2) = Plus (deriv c r1) (deriv c r2)
  | deriv c (Times r1 r2) =
    (if nullable r1 then Plus (Times (deriv c r1) r2) (deriv c r2) else Times (deriv c r1) r2)
  | deriv c (Star r) = Times (deriv c r) (Star r)

fun
  derivs :: 'a list  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  derivs [] r = r
  | derivs (c # s) r = derivs s (deriv c r)

```

```

lemma atoms-deriv-subset: atoms (deriv x r)  $\subseteq$  atoms r
<proof>

```

```

lemma atoms-derivs-subset: atoms (derivs w r)  $\subseteq$  atoms r

```

$\langle proof \rangle$

lemma *lang-deriv*: $lang(deriv c r) = Deriv c (lang r)$
 $\langle proof \rangle$

lemma *lang-derivs*: $lang(derivs s r) = Derivs s (lang r)$
 $\langle proof \rangle$

A regular expression matcher:

definition *matcher* :: $'a\ rexpr \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $matcher\ r\ s = nullable(derivs\ s\ r)$

lemma *matcher-correctness*: $matcher\ r\ s \longleftrightarrow s \in lang\ r$
 $\langle proof \rangle$

8.2 Antimirov's partial derivatives

abbreviation

$Timess\ rs\ r \equiv (\bigcup r' \in rs. \{Times\ r'\ r\})$

lemma *Timess-eq-image*:

$Timess\ rs\ r = (\lambda r'. Times\ r'\ r) ` rs$
 $\langle proof \rangle$

primrec

$pderiv :: 'a \Rightarrow 'a\ rexpr \Rightarrow 'a\ rexpr\ set$

where

$pderiv\ c\ Zero = \{\}$

$| pderiv\ c\ One = \{\}$

$| pderiv\ c\ (Atom\ c') = (if\ c = c' \ then \{One\} \ else \{\})$

$| pderiv\ c\ (Plus\ r1\ r2) = (pderiv\ c\ r1) \cup (pderiv\ c\ r2)$

$| pderiv\ c\ (Times\ r1\ r2) =$

$(if\ nullable\ r1 \ then\ Timess\ (pderiv\ c\ r1)\ r2 \cup pderiv\ c\ r2 \ else\ Timess\ (pderiv\ c\ r1)\ r2)$

$| pderiv\ c\ (Star\ r) = Timess\ (pderiv\ c\ r)\ (Star\ r)$

primrec

$pderivs :: 'a\ list \Rightarrow 'a\ rexpr \Rightarrow ('a\ rexpr)\ set$

where

$pderivs\ []\ r = \{r\}$

$| pderivs\ (c\ #\ s)\ r = \bigcup (pderivs\ s\ ` pderiv\ c\ r)$

abbreviation

$pderiv-set :: 'a \Rightarrow 'a\ rexpr\ set \Rightarrow 'a\ rexpr\ set$

where

$pderiv-set\ c\ rs \equiv \bigcup (pderiv\ c\ ` rs)$

abbreviation

$pderivs-set :: 'a\ list \Rightarrow 'a\ rexpr\ set \Rightarrow 'a\ rexpr\ set$

where

```


pderivs-set  $s$   $rs \equiv \bigcup (pderivs s ` rs)$



lemma pderivs-append:  

pderivs ( $s1 @ s2$ )  $r = \bigcup (pderivs s2 ` pderivs s1 r)$   

{proof}



lemma pderivs-snoc:  

shows pderivs ( $s @ [c]$ )  $r = pderivs-set c (pderivs s r)$   

{proof}



lemma pderivs-simps [simp]:  

shows pderivs  $s$  Zero = (if  $s = []$  then {Zero} else {})  

and pderivs  $s$  One = (if  $s = []$  then {One} else {})  

and pderivs  $s$  (Plus  $r1 r2$ ) = (if  $s = []$  then {Plus  $r1 r2$ } else (pderivs  $s$   $r1$ )  $\cup$   

(pderivs  $s$   $r2$ ))  

{proof}



lemma pderivs-Atom:  

shows pderivs  $s$  (Atom  $c$ )  $\subseteq \{\text{Atom } c, \text{One}\}$   

{proof}


```

8.3 Relating left-quotients and partial derivatives

lemma *Deriv-pderiv*:
shows *Deriv* c (*lang* r) = $\bigcup (\text{lang} ` pderiv c r)$
{proof}

lemma *Derivs-pderivs*:
shows *Derivs* s (*lang* r) = $\bigcup (\text{lang} ` pderivs s r)$
{proof}

8.4 Relating derivatives and partial derivatives

lemma *deriv-pderiv*:
shows $\bigcup (\text{lang} ` (pderiv c r)) = \text{lang} (\text{deriv } c r)$
{proof}

lemma *derivs-pderivs*:
shows $\bigcup (\text{lang} ` (pderivs s r)) = \text{lang} (\text{derivs } s r)$
{proof}

8.5 Finiteness property of partial derivatives

definition
pderivs-lang :: '*a* *lang* \Rightarrow '*a* *rexp* \Rightarrow '*a* *rexp set*
where
pderivs-lang A $r \equiv \bigcup x \in A. pderivs x r$

lemma *pderivs-lang-subsetI*:
assumes $\bigwedge s. s \in A \implies pderivs s r \subseteq C$

shows *pderivs-lang* $A \ r \subseteq C$
 $\langle proof \rangle$

lemma *pderivs-lang-union*:
shows *pderivs-lang* $(A \cup B) \ r = (pderivs-lang \ A \ r \cup pderivs-lang \ B \ r)$
 $\langle proof \rangle$

lemma *pderivs-lang-subset*:
shows $A \subseteq B \implies pderivs-lang \ A \ r \subseteq pderivs-lang \ B \ r$
 $\langle proof \rangle$

definition
 $UNIV1 \equiv UNIV - \{\emptyset\}$

lemma *pderivs-lang-Zero* [simp]:
shows *pderivs-lang* $UNIV1 \ Zero = \{\}$
 $\langle proof \rangle$

lemma *pderivs-lang-One* [simp]:
shows *pderivs-lang* $UNIV1 \ One = \{\}$
 $\langle proof \rangle$

lemma *pderivs-lang-Atom* [simp]:
shows *pderivs-lang* $UNIV1 \ (Atom \ c) = \{One\}$
 $\langle proof \rangle$

lemma *pderivs-lang-Plus* [simp]:
shows *pderivs-lang* $UNIV1 \ (Plus \ r1 \ r2) = pderivs-lang \ UNIV1 \ r1 \cup pderivs-lang \ UNIV1 \ r2$
 $\langle proof \rangle$

Non-empty suffixes of a string (needed for the cases of *Times* and *Star* below)

definition
 $PSuf \ s \equiv \{v. \ v \neq \emptyset \wedge (\exists u. \ u @ v = s)\}$

lemma *PSuf-snoc*:
shows *PSuf* $(s @ [c]) = (PSuf \ s) @@ \{[c]\} \cup \{[c]\}$
 $\langle proof \rangle$

lemma *PSuf-Union*:
shows $(\bigcup v \in PSuf \ s \text{ @@ } \{[c]\}. f \ v) = (\bigcup v \in PSuf \ s. f \ (v @ [c]))$
 $\langle proof \rangle$

lemma *pderivs-lang-snoc*:
shows *pderivs-lang* $(PSuf \ s \text{ @@ } \{[c]\}) \ r = (pderiv-set \ c \ (pderivs-lang \ (PSuf \ s)) \ r)$
 $\langle proof \rangle$

lemma *pderivs-Times*:

shows $\text{pderivs } s \ (\text{Times } r1 \ r2) \subseteq \text{Timess} \ (\text{pderivs } s \ r1) \ r2 \cup (\text{pderivs-lang} \ (\text{PSuf } s) \ r2)$

$\langle \text{proof} \rangle$

lemma *pderivs-lang-Times-aux1*:

assumes $a: s \in \text{UNIV1}$

shows $\text{pderivs-lang} \ (\text{PSuf } s) \ r \subseteq \text{pderivs-lang} \ \text{UNIV1 } r$

$\langle \text{proof} \rangle$

lemma *pderivs-lang-Times-aux2*:

assumes $a: s \in \text{UNIV1}$

shows $\text{Timess} \ (\text{pderivs } s \ r1) \ r2 \subseteq \text{Timess} \ (\text{pderivs-lang} \ \text{UNIV1 } r1) \ r2$

$\langle \text{proof} \rangle$

lemma *pderivs-lang-Times*:

shows $\text{pderivs-lang} \ \text{UNIV1 } (\text{Times } r1 \ r2) \subseteq \text{Timess} \ (\text{pderivs-lang} \ \text{UNIV1 } r1) \ r2$

$\cup \text{pderivs-lang} \ \text{UNIV1 } r2$

$\langle \text{proof} \rangle$

lemma *pderivs-Star*:

assumes $a: s \neq []$

shows $\text{pderivs } s \ (\text{Star } r) \subseteq \text{Timess} \ (\text{pderivs-lang} \ (\text{PSuf } s) \ r) \ (\text{Star } r)$

$\langle \text{proof} \rangle$

lemma *pderivs-lang-Star*:

shows $\text{pderivs-lang} \ \text{UNIV1 } (\text{Star } r) \subseteq \text{Timess} \ (\text{pderivs-lang} \ \text{UNIV1 } r) \ (\text{Star } r)$

$\langle \text{proof} \rangle$

lemma *finite-Timess [simp]*:

assumes $a: \text{finite } A$

shows $\text{finite} \ (\text{Timess } A \ r)$

$\langle \text{proof} \rangle$

lemma *finite-pderivs-lang-UNIV1*:

shows $\text{finite} \ (\text{pderivs-lang} \ \text{UNIV1 } r)$

$\langle \text{proof} \rangle$

lemma *pderivs-lang-UNIV*:

shows $\text{pderivs-lang} \ \text{UNIV } r = \text{pderivs } [] \ r \cup \text{pderivs-lang} \ \text{UNIV1 } r$

$\langle \text{proof} \rangle$

lemma *finite-pderivs-lang-UNIV*:

shows $\text{finite} \ (\text{pderivs-lang} \ \text{UNIV } r)$

$\langle \text{proof} \rangle$

lemma *finite-pderivs-lang*:

shows $\text{finite} \ (\text{pderivs-lang} \ A \ r)$

$\langle \text{proof} \rangle$

The following relationship between the alphabetic width of regular expressions (called *awidth* below) and the number of partial derivatives was proved by Antimirov [1] and formalized by Max Haslbeck.

```

fun awidth :: 'a rexpr ⇒ nat where
awidth Zero = 0 |
awidth One = 0 |
awidth (Atom a) = 1 |
awidth (Plus r1 r2) = awidth r1 + awidth r2 |
awidth (Times r1 r2) = awidth r1 + awidth r2 |
awidth (Star r1) = awidth r1

lemma card-Timess-pderivs-lang-le:
card (Timess (pderivs-lang A r) s) ≤ card (pderivs-lang A r)
⟨proof⟩

lemma card-pderivs-lang-UNIV1-le-awidth: card (pderivs-lang UNIV1 r) ≤ awidth
r
⟨proof⟩

Antimirov's Theorem 3.4:

theorem card-pderivs-lang-UNIV-le-awidth: card (pderivs-lang UNIV r) ≤ awidth
r + 1
⟨proof⟩

Antimirov's Corollary 3.5:

corollary card-pderivs-lang-le-awidth: card (pderivs-lang A r) ≤ awidth r + 1
⟨proof⟩

end

```

9 Deciding Regular Expression Equivalence (2)

```

theory pEquivalence-Checking
imports Equivalence-Checking Derivatives
begin

```

Similar to theory *Regular-Sets.Equivalence-Checking*, but with partial derivatives instead of derivatives.

Lifting many notions to sets:

```

definition Lang R == UN r:R. lang r
definition Nullable R == EX r:R. nullable r
definition Pderiv a R == UN r:R. pderiv a r
definition Atoms R == UN r:R. atoms r

```

```

lemma Atoms-pderiv: Atoms(pderiv a r) ⊆ atoms r

```

$\langle proof \rangle$

lemma *Atoms-Pderiv*: $Atoms(Pderiv a R) \subseteq Atoms R$
 $\langle proof \rangle$

lemma *pderiv-no-occurrence*:

$x \notin atoms r \implies pderiv x r = \{\}$
 $\langle proof \rangle$

lemma *Pderiv-no-occurrence*:

$x \notin Atoms R \implies Pderiv x R = \{\}$
 $\langle proof \rangle$

lemma *Deriv-Lang*: $Deriv c (Lang R) = Lang (Pderiv c R)$
 $\langle proof \rangle$

lemma *Nullable-pderiv[simp]*: $Nullable(pderivs w r) = (w : lang r)$
 $\langle proof \rangle$

type-synonym $'a Rexp-pair = 'a Rexp set * 'a Rexp set$
type-synonym $'a Rexp-pairs = 'a Rexp-pair list$

definition *is-Bisimulation* :: $'a list \Rightarrow 'a Rexp-pairs \Rightarrow bool$

where

is-Bisimulation as ps =

$(\forall (R,S) \in set ps. Atoms R \cup Atoms S \subseteq set as \wedge$
 $(Nullable R \longleftrightarrow Nullable S) \wedge$
 $(\forall a \in set as. (Pderiv a R, Pderiv a S) \in set ps))$

lemma *Bisim-Lang-eq*:

assumes *Bisim*: *is-Bisimulation as ps*
assumes $(R, S) \in set ps$
shows $Lang R = Lang S$
 $\langle proof \rangle$

9.1 Closure computation

fun *test* :: $'a Rexp-pairs * 'a Rexp-pairs \Rightarrow bool$ **where**
 $test (ws, ps) = (\text{case } ws \text{ of } [] \Rightarrow False \mid (R, S) \# - \Rightarrow Nullable R = Nullable S)$

fun *step* :: $'a list \Rightarrow$

$'a Rexp-pairs * 'a Rexp-pairs \Rightarrow 'a Rexp-pairs * 'a Rexp-pairs$

where *step as (ws, ps) =*

(let
 $(R, S) = hd ws;$
 $ps' = (R, S) \# ps;$
 $succs = map (\lambda a. (Pderiv a R, Pderiv a S)) as;$
 $new = filter (\lambda p. p \notin set ps \cup set ws) succs$

in (remdups new @ tl ws, ps'))

definition closure ::

'a list \Rightarrow 'a Rexp-pairs * 'a Rexp-pairs
 \Rightarrow ('a Rexp-pairs * 'a Rexp-pairs) option **where**
closure as = while-option test (step as)

definition pre-Bisim :: 'a list \Rightarrow 'a rexpr set \Rightarrow 'a rexpr set \Rightarrow
'a Rexp-pairs * 'a Rexp-pairs \Rightarrow bool

where

pre-Bisim as R S = ($\lambda(ws,ps).$
 $((R,S) \in set ws \cup set ps) \wedge$
 $(\forall (R,S) \in set ws \cup set ps. Atoms R \cup Atoms S \subseteq set as) \wedge$
 $(\forall (R,S) \in set ps. (Nullable R \longleftrightarrow Nullable S) \wedge$
 $(\forall a \in set as. (Pderiv a R, Pderiv a S) \in set ps \cup set ws)))$

lemma step-set-eq: $\llbracket test(ws,ps); step as (ws,ps) = (ws',ps') \rrbracket$

$\implies set ws' \cup set ps' =$
 $set ws \cup set ps$
 $\cup (\bigcup a \in set as. \{(Pderiv a (fst(hd ws)), Pderiv a (snd(hd ws)))\})$
{proof}

theorem closure-sound:

assumes result: closure as $\{[(R,S)], []\} = Some(\[], ps)$

and atoms: Atoms R \cup Atoms S \subseteq set as

shows Lang R = Lang S

{proof}

9.2 The overall procedure

definition check-equiv :: 'a rexpr \Rightarrow 'a rexpr \Rightarrow bool

where

check-equiv r s =
(case closure (add-atoms r (add-atoms s [])) $\{[(\{r\}, \{s\})], []\}$ of
Some([],-) \Rightarrow True | - \Rightarrow False)

lemma soundness: **assumes** check-equiv r s **shows** lang r = lang s
{proof}

Test:

lemma check-equiv
(Plus One (Times (Atom 0) (Star(Atom 0))))
(Star(Atom(0:nat)))
{proof}

9.3 Termination and Completeness

definition PDERIVS :: 'a rexpr set $=>$ 'a rexpr set **where**
PDERIVS R = (UN r:R. pderivs-lang UNIV r)

```

lemma PDERIVS-incr[simp]:  $R \subseteq \text{PDERIVS } R$ 
⟨proof⟩

lemma Pderiv-PDERIVS: assumes  $R' \subseteq \text{PDERIVS } R$  shows  $\text{Pderiv } a \ R' \subseteq \text{PDERIVS } R$ 
⟨proof⟩

lemma finite-PDERIVS: finite  $R \implies \text{finite}(\text{PDERIVS } R)$ 
⟨proof⟩

lemma closure-Some: assumes  $\text{finite } R_0 \text{ finite } S_0$  shows  $\exists p. \text{closure as } ([R_0, S_0]), [] = \text{Some } p$ 
⟨proof⟩

theorem closure-Some-Inv: assumes  $\text{closure as } ([\{r\}, \{s\}]), [] = \text{Some } p$ 
shows  $\forall (R, S) \in \text{set}(\text{fst } p). \exists w. R = \text{pderivs } w \ r \wedge S = \text{pderivs } w \ s$  (is ?Inv  $p$ )
⟨proof⟩

lemma closure-complete: assumes  $\text{lang } r = \text{lang } s$ 
shows  $\text{EX } bs. \text{closure as } ([\{r\}, \{s\}]), [] = \text{Some}([], bs)$  (is ?C)
⟨proof⟩

corollary completeness:  $\text{lang } r = \text{lang } s \implies \text{check-eqv } r \ s$ 
⟨proof⟩

end

```

10 Extended Regular Expressions

```

theory Regular-Exp2
imports Regular-Set
begin

datatype (atoms: 'a) rexp =
  is-Zero: Zero |
  is-One: One |
  Atom 'a |
  Plus ('a rexp) ('a rexp) |
  Times ('a rexp) ('a rexp) |
  Star ('a rexp) |
  Not ('a rexp) |
  Inter ('a rexp) ('a rexp)

context
fixes  $S :: 'a \text{ set}$ 
begin

primrec lang :: 'a rexp => 'a lang where
  lang Zero = {} |

```

```

lang One = {[]} |
lang (Atom a) = {[a]} |
lang (Plus r s) = (lang r) Un (lang s) |
lang (Times r s) = conc (lang r) (lang s) |
lang (Star r) = star(lang r) |
lang (Not r) = lists S - lang r |
lang (Inter r s) = (lang r Int lang s)

end

lemma lang-subset-lists: atoms r ⊆ S ==> lang S r ⊆ lists S
⟨proof⟩

primrec nullable :: 'a rexp ⇒ bool where
nullable Zero = False |
nullable One = True |
nullable (Atom c) = False |
nullable (Plus r1 r2) = (nullable r1 ∨ nullable r2) |
nullable (Times r1 r2) = (nullable r1 ∧ nullable r2) |
nullable (Star r) = True |
nullable (Not r) = (¬ (nullable r)) |
nullable (Inter r s) = (nullable r ∧ nullable s)

lemma nullable-iff: nullable r ↔ [] ∈ lang S r
⟨proof⟩
```

end

11 Deciding Equivalence of Extended Regular Expressions

```

theory Equivalence-Checking2
imports Regular-Exp2 HOL-Library.While-Combinator
begin
```

11.1 Term ordering

```

fun le-rexp :: nat rexp ⇒ nat rexp ⇒ bool
where
  le-rexp Zero - = True
| le-rexp - Zero = False
| le-rexp One - = True
| le-rexp - One = False
| le-rexp (Atom a) (Atom b) = (a <= b)
| le-rexp (Atom -) - = True
| le-rexp - (Atom -) = False
| le-rexp (Star r) (Star s) = le-rexp r s
| le-rexp (Star -) - = True
```

```

| le-rexp - (Star -) = False
| le-rexp (Not r) (Not s) = le-rexp r s
| le-rexp (Not -) - = True
| le-rexp - (Not -) = False
| le-rexp (Plus r r') (Plus s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Plus - -) - = True
| le-rexp - (Plus - -) = False
| le-rexp (Times r r') (Times s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Times - -) - = True
| le-rexp - (Times - -) = False
| le-rexp (Inter r r') (Inter s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)

```

11.2 Normalizing operations

associativity, commutativity, idempotence, zero

```
fun nPlus :: nat rexp ⇒ nat rexp ⇒ nat rexp
where
```

```

nPlus Zero r = r
| nPlus r Zero = r
| nPlus (Plus r s) t = nPlus r (nPlus s t)
| nPlus r (Plus s t) =
  (if r = s then (Plus s t)
   else if le-rexp r s then Plus r (Plus s t)
   else Plus s (nPlus r t))
| nPlus r s =
  (if r = s then r
   else if le-rexp r s then Plus r s
   else Plus s r)
```

```
lemma lang-nPlus[simp]: lang S (nPlus r s) = lang S (Plus r s)
⟨proof⟩
```

associativity, zero, one

```
fun nTimes :: nat rexp ⇒ nat rexp ⇒ nat rexp
where
```

```

nTimes Zero - = Zero
| nTimes - Zero = Zero
| nTimes One r = r
| nTimes r One = r
| nTimes (Times r s) t = Times r (nTimes s t)
| nTimes r s = Times r s
```

```
lemma lang-nTimes[simp]: lang S (nTimes r s) = lang S (Times r s)
⟨proof⟩
```

more optimisations:

```

fun nInter :: nat rexp  $\Rightarrow$  nat rexp  $\Rightarrow$  nat rexp
where
  nInter Zero - = Zero
  | nInter - Zero = Zero
  | nInter r s = Inter r s

lemma lang-nInter[simp]: lang S (nInter r s) = lang S (Inter r s)
  ⟨proof⟩

```

```

primrec norm :: nat rexp  $\Rightarrow$  nat rexp
where
  norm Zero = Zero
  | norm One = One
  | norm (Atom a) = Atom a
  | norm (Plus r s) = nPlus (norm r) (norm s)
  | norm (Times r s) = nTimes (norm r) (norm s)
  | norm (Star r) = Star (norm r)
  | norm (Not r) = Not (norm r)
  | norm (Inter r1 r2) = nInter (norm r1) (norm r2)

```

```

lemma lang-norm[simp]: lang S (norm r) = lang S r
  ⟨proof⟩

```

11.3 Derivative

```

primrec nderiv :: nat  $\Rightarrow$  nat rexp  $\Rightarrow$  nat rexp
where
  nderiv - Zero = Zero
  | nderiv - One = Zero
  | nderiv a (Atom b) = (if a = b then One else Zero)
  | nderiv a (Plus r s) = nPlus (nderiv a r) (nderiv a s)
  | nderiv a (Times r s) =
    (let r's = nTimes (nderiv a r) s
     in if nullable r then nPlus r's (nderiv a s) else r's)
  | nderiv a (Star r) = nTimes (nderiv a r) (Star r)
  | nderiv a (Not r) = Not (nderiv a r)
  | nderiv a (Inter r1 r2) = nInter (nderiv a r1) (nderiv a r2)

```

```

lemma lang-nderiv: a:S  $\Longrightarrow$  lang S (nderiv a r) = Deriv a (lang S r)
  ⟨proof⟩

```

```

lemma atoms-nPlus[simp]: atoms (nPlus r s) = atoms r  $\cup$  atoms s
  ⟨proof⟩

```

```

lemma atoms-nTimes: atoms (nTimes r s)  $\subseteq$  atoms r  $\cup$  atoms s
  ⟨proof⟩

```

```

lemma atoms-nInter: atoms (nInter r s)  $\subseteq$  atoms r  $\cup$  atoms s
  ⟨proof⟩

```

lemma *atoms-norm*: $\text{atoms}(\text{norm } r) \subseteq \text{atoms } r$
 $\langle \text{proof} \rangle$

lemma *atoms-nderiv*: $\text{atoms}(\text{nderiv } a \ r) \subseteq \text{atoms } r$
 $\langle \text{proof} \rangle$

11.4 Bisimulation between languages and regular expressions

context

fixes $S :: 'a \text{ set}$

begin

coinductive *bisimilar* :: $'a \text{ lang} \Rightarrow 'a \text{ lang} \Rightarrow \text{bool}$ **where**
 $K \subseteq \text{lists } S \implies L \subseteq \text{lists } S$
 $\implies (\emptyset \in K \longleftrightarrow \emptyset \in L)$
 $\implies (\bigwedge x. x : S \implies \text{bisimilar}(\text{Deriv } x \ K) (\text{Deriv } x \ L))$
 $\implies \text{bisimilar } K \ L$

lemma *equal-if-bisimilar*:
assumes $K \subseteq \text{lists } S \ L \subseteq \text{lists } S$ *bisimilar* $K \ L$ **shows** $K = L$
 $\langle \text{proof} \rangle$

lemma *language-coinduct*:
fixes R (**infixl** $\sim\sim$ 50)
assumes $\bigwedge K \ L. K \sim L \implies K \subseteq \text{lists } S \ \wedge \ L \subseteq \text{lists } S$
assumes $K \sim L$
assumes $\bigwedge K \ L. K \sim L \implies (\emptyset \in K \longleftrightarrow \emptyset \in L)$
assumes $\bigwedge K \ L \ x. K \sim L \implies x : S \implies \text{Deriv } x \ K \sim \text{Deriv } x \ L$
shows $K = L$
 $\langle \text{proof} \rangle$

end

type-synonym *rexp-pair* = $\text{nat} \ \text{rexp} * \text{nat} \ \text{rexp}$
type-synonym *rexp-pairs* = *rexp-pair* *list*

definition *is-bisimulation* :: $\text{nat} \ \text{list} \Rightarrow \text{rexp-pairs} \Rightarrow \text{bool}$
where
is-bisimulation *as* *ps* =
 $(\forall (r,s) \in \text{set } ps. (\text{atoms } r \cup \text{atoms } s \subseteq \text{set } as) \wedge (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$
 $(\forall a \in \text{set } as. (\text{nderiv } a \ r, \ \text{nderiv } a \ s) \in \text{set } ps))$

lemma *bisim-lang-eq*:
assumes *bisim*: *is-bisimulation* *as* *ps*
assumes $(r, s) \in \text{set } ps$
shows *lang* (*set as*) *r* = *lang* (*set as*) *s*
 $\langle \text{proof} \rangle$

11.5 Closure computation

```

fun test :: rexp-pairs * rexp-pairs  $\Rightarrow$  bool
where test (ws, ps) = (case ws of []  $\Rightarrow$  False | (p,q) # -  $\Rightarrow$  nullable p = nullable q)

fun step :: nat list  $\Rightarrow$  rexp-pairs * rexp-pairs  $\Rightarrow$  rexp-pairs * rexp-pairs
where step as (ws,ps) =
  (let
    (r, s) = hd ws;
    ps' = (r, s) # ps;
    succs = map ( $\lambda$ a. (nderiv a r, nderiv a s)) as;
    new = filter ( $\lambda$ p. p  $\notin$  set ps'  $\cup$  set ws) succs
    in (new @ tl ws, ps'))
  
definition closure :: 
  nat list  $\Rightarrow$  rexp-pairs * rexp-pairs
   $\Rightarrow$  (rexp-pairs * rexp-pairs) option where
  closure as = while-option test (step as)

definition pre-bisim :: nat list  $\Rightarrow$  nat rexp  $\Rightarrow$  nat rexp  $\Rightarrow$ 
  rexp-pairs * rexp-pairs  $\Rightarrow$  bool
where
  pre-bisim as r s = ( $\lambda$ (ws,ps).
    ((r, s)  $\in$  set ws  $\cup$  set ps)  $\wedge$ 
    ( $\forall$ (r,s)  $\in$  set ws  $\cup$  set ps. atoms r  $\cup$  atoms s  $\subseteq$  set as)  $\wedge$ 
    ( $\forall$ (r,s)  $\in$  set ps. (nullable r  $\longleftrightarrow$  nullable s)  $\wedge$ 
    ( $\forall$ a  $\in$  set as. (nderiv a r, nderiv a s)  $\in$  set ps  $\cup$  set ws)))

theorem closure-sound:
  assumes result: closure as ([r,s],[]) = Some([],ps)
  and atoms: atoms r  $\cup$  atoms s  $\subseteq$  set as
  shows lang (set as) r = lang (set as) s
  {proof}

```

11.6 The overall procedure

```

primrec add-atoms :: nat rexp  $\Rightarrow$  nat list  $\Rightarrow$  nat list
where
  add-atoms Zero = id
  | add-atoms One = id
  | add-atoms (Atom a) = List.insert a
  | add-atoms (Plus r s) = add-atoms s o add-atoms r
  | add-atoms (Times r s) = add-atoms s o add-atoms r
  | add-atoms (Not r) = add-atoms r
  | add-atoms (Inter r s) = add-atoms s o add-atoms r
  | add-atoms (Star r) = add-atoms r

lemma set-add-atoms: set (add-atoms r as) = atoms r  $\cup$  set as
{proof}

```

```

definition check-eqv :: nat list  $\Rightarrow$  nat rexp  $\Rightarrow$  nat rexp  $\Rightarrow$  bool
where
check-eqv as r s  $\longleftrightarrow$  set(add-atoms r (add-atoms s []))  $\subseteq$  set as  $\wedge$ 
(case closure as ([norm r, norm s], []) of
  Some([],-)  $\Rightarrow$  True | -  $\Rightarrow$  False)

lemma soundness:
assumes check-eqv as r s shows lang (set as) r = lang (set as) s
⟨proof⟩

lemma check-eqv [0] (Plus One (Times (Atom 0) (Star(Atom 0)))) (Star(Atom 0))
⟨proof⟩

lemma check-eqv [0,1] (Not(Atom 0))
(Plus One (Times (Plus (Atom 1) (Times (Atom 0) (Plus (Atom 0) (Atom 1))))))
(Star(Plus (Atom 0) (Atom 1))))
⟨proof⟩

lemma check-eqv [0] (Atom 0) (Inter (Star (Atom 0)) (Atom 0))
⟨proof⟩

end

```

References

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