

# Regular Sets, Expressions, Derivatives and Relation Algebra

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## Abstract

This is a library of constructions on regular expressions and languages. It provides the operations of concatenation, Kleene star and left-quotients of languages. A theory of derivatives and partial derivatives is provided. Arden's lemma and finiteness of partial derivatives is established. A simple regular expression matcher based on Brozowski's derivatives is proved to be correct. An executable equivalence checker for regular expressions is verified; it does not need automata but works directly on regular expressions. By mapping regular expressions to binary relations, an automatic and complete proof method for (in)equalities of binary relations over union, concatenation and (reflexive) transitive closure is obtained.

For an exposition of the equivalence checker for regular and relation algebraic expressions see the paper by Krauss and Nipkow [3].

Extended regular expressions with complement and intersection are also defined and an equivalence checker is provided.

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## 1 Regular sets

```
theory Regular-Set
imports Main
begin
```

**type-synonym** 'a lang = 'a list set

**definition** conc :: 'a lang  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang (**infixr** @@ 75) **where**  
 $A @@ B = \{xs@ys \mid xs\ ys. xs:A \ \& \ ys:B\}$

checks the code preprocessor for set comprehensions

**export-code** conc **checking** SML

**overloading** lang-pow == compow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang

**begin**

**primrec** lang-pow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang **where**

lang-pow 0 A =  $\{\}\}$  |

lang-pow (Suc n) A = A @@ (lang-pow n A)

**end**

for code generation

**definition** lang-pow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang **where**

lang-pow-code-def [code-abbrev]: lang-pow = compow

**lemma** [code]:

lang-pow (Suc n) A = A @@ (lang-pow n A)

lang-pow 0 A =  $\{\}\}$

$\langle$ proof $\rangle$

**hide-const** (open) lang-pow

**definition** star :: 'a lang  $\Rightarrow$  'a lang **where**

star A =  $(\bigcup n. A \overset{\sim}{\sim} n)$

### 1.1 (@@)

**lemma** concI[simp,intro]:  $u : A \Longrightarrow v : B \Longrightarrow u@v : A @@ B$

$\langle$ proof $\rangle$

**lemma** concE[elim]:

**assumes**  $w \in A @@ B$

**obtains**  $u\ v$  **where**  $u \in A\ v \in B\ w = u@v$

$\langle$ proof $\rangle$

**lemma** conc-mono:  $A \subseteq C \Longrightarrow B \subseteq D \Longrightarrow A @@ B \subseteq C @@ D$

$\langle$ proof $\rangle$

**lemma** conc-empty[simp]: **shows**  $\{\} @@ A = \{\}$  **and**  $A @@ \{\} = \{\}$

$\langle$ proof $\rangle$

**lemma** conc-epsilon[simp]: **shows**  $\{\}\} @@ A = A$  **and**  $A @@ \{\}\} = A$

$\langle$ proof $\rangle$

**lemma** conc-assoc:  $(A @@ B) @@ C = A @@ (B @@ C)$

$\langle$ proof $\rangle$

**lemma** *conc-Un-distrib*:

**shows**  $A @@ (B \cup C) = A @@ B \cup A @@ C$

**and**  $(A \cup B) @@ C = A @@ C \cup B @@ C$

*<proof>*

**lemma** *conc-UNION-distrib*:

**shows**  $A @@ \bigcup (M \text{ ' } I) = \bigcup ((\%i. A @@ M i) \text{ ' } I)$

**and**  $\bigcup (M \text{ ' } I) @@ A = \bigcup ((\%i. M i @@ A) \text{ ' } I)$

*<proof>*

**lemma** *conc-subset-lists*:  $A \subseteq \text{lists } S \implies B \subseteq \text{lists } S \implies A @@ B \subseteq \text{lists } S$

*<proof>*

**lemma** *Nil-in-conc[simp]*:  $[] \in A @@ B \longleftrightarrow [] \in A \wedge [] \in B$

*<proof>*

**lemma** *concI-if-Nil1*:  $[] \in A \implies xs : B \implies xs \in A @@ B$

*<proof>*

**lemma** *conc-Diff-if-Nil1*:  $[] \in A \implies A @@ B = (A - \{[]\}) @@ B \cup B$

*<proof>*

**lemma** *concI-if-Nil2*:  $[] \in B \implies xs : A \implies xs \in A @@ B$

*<proof>*

**lemma** *conc-Diff-if-Nil2*:  $[] \in B \implies A @@ B = A @@ (B - \{[]\}) \cup A$

*<proof>*

**lemma** *singleton-in-conc*:

$[x] : A @@ B \longleftrightarrow [x] : A \wedge [] : B \vee [] : A \wedge [x] : B$

*<proof>*

## 1.2 $A^n$

**lemma** *lang-pow-add*:  $A \text{ } \sim \sim (n + m) = A \text{ } \sim \sim n @@ A \text{ } \sim \sim m$

*<proof>*

**lemma** *lang-pow-empty*:  $\{\} \text{ } \sim \sim n = (\text{if } n = 0 \text{ then } \{[]\} \text{ else } \{\})$

*<proof>*

**lemma** *lang-pow-empty-Suc[simp]*:  $(\{\} :: 'a \text{ lang}) \text{ } \sim \sim \text{Suc } n = \{\}$

*<proof>*

**lemma** *conc-pow-comm*:

**shows**  $A @@ (A \text{ } \sim \sim n) = (A \text{ } \sim \sim n) @@ A$

*<proof>*

**lemma** *length-lang-pow-ub*:

$\forall w \in A. \text{length } w \leq k \implies w : A^{\sim n} \implies \text{length } w \leq k*n$   
(proof)

**lemma** *length-lang-pow-lb*:

$\forall w \in A. \text{length } w \geq k \implies w : A^{\sim n} \implies \text{length } w \geq k*n$   
(proof)

**lemma** *lang-pow-subset-lists*:  $A \subseteq \text{lists } S \implies A^{\sim n} \subseteq \text{lists } S$   
(proof)

### 1.3 star

**lemma** *star-subset-lists*:  $A \subseteq \text{lists } S \implies \text{star } A \subseteq \text{lists } S$   
(proof)

**lemma** *star-if-lang-pow[simp]*:  $w : A^{\sim n} \implies w : \text{star } A$   
(proof)

**lemma** *Nil-in-star[iff]*:  $[] : \text{star } A$   
(proof)

**lemma** *star-if-lang[simp]*: **assumes**  $w : A$  **shows**  $w : \text{star } A$   
(proof)

**lemma** *append-in-starI[simp]*:  
**assumes**  $u : \text{star } A$  **and**  $v : \text{star } A$  **shows**  $u@v : \text{star } A$   
(proof)

**lemma** *conc-star-star*:  $\text{star } A @@ \text{star } A = \text{star } A$   
(proof)

**lemma** *conc-star-comm*:  
**shows**  $A @@ \text{star } A = \text{star } A @@ A$   
(proof)

**lemma** *star-induct[consumes 1, case-names Nil append, induct set: star]*:  
**assumes**  $w : \text{star } A$   
**and**  $P []$   
**and** *step*:  $!!u v. u : A \implies v : \text{star } A \implies P v \implies P (u@v)$   
**shows**  $P w$   
(proof)

**lemma** *star-empty[simp]*:  $\text{star } \{\} = \{\}$   
(proof)

**lemma** *star-epsilon[simp]*:  $\text{star } \{[]\} = \{\}$   
(proof)

**lemma** *star-idemp[simp]*:  $\text{star } (\text{star } A) = \text{star } A$

$\langle proof \rangle$

**lemma** *star-unfold-left*:  $star\ A = A\ @@\ star\ A \cup \{\epsilon\}$  (**is**  $?L = ?R$ )  
 $\langle proof \rangle$

**lemma** *concat-in-star*:  $set\ ws \subseteq A \implies concat\ ws : star\ A$   
 $\langle proof \rangle$

**lemma** *in-star-iff-concat*:  
 $w \in star\ A = (\exists ws. set\ ws \subseteq A \wedge w = concat\ ws)$   
(**is**  $- = (\exists ws. ?R\ w\ ws)$ )  
 $\langle proof \rangle$

**lemma** *star-conv-concat*:  $star\ A = \{concat\ ws \mid ws. set\ ws \subseteq A\}$   
 $\langle proof \rangle$

**lemma** *star-insert-eps[simp]*:  $star\ (insert\ \epsilon\ A) = star\ (A)$   
 $\langle proof \rangle$

**lemma** *star-unfold-left-Nil*:  $star\ A = (A - \{\epsilon\})\ @@\ (star\ A) \cup \{\epsilon\}$   
 $\langle proof \rangle$

**lemma** *star-Diff-Nil-fold*:  $(A - \{\epsilon\})\ @@\ star\ A = star\ A - \{\epsilon\}$   
 $\langle proof \rangle$

**lemma** *star-decom*:  
**assumes**  $a: x \in star\ A\ x \neq \epsilon$   
**shows**  $\exists a\ b. x = a\ @\ b \wedge a \neq \epsilon \wedge a \in A \wedge b \in star\ A$   
 $\langle proof \rangle$

## 1.4 Left-Quotients of languages

**definition** *Deriv* ::  $'a \Rightarrow 'a\ lang \Rightarrow 'a\ lang$   
**where**  $Deriv\ x\ A = \{xs. x\#\!xs \in A\}$

**definition** *Derivs* ::  $'a\ list \Rightarrow 'a\ lang \Rightarrow 'a\ lang$   
**where**  $Derivs\ xs\ A = \{ys. xs\ @\ ys \in A\}$

### abbreviation

$Derivss :: 'a\ list \Rightarrow 'a\ lang\ set \Rightarrow 'a\ lang$   
**where**  
 $Derivss\ s\ As \equiv \bigcup (Derivs\ s\ 'As)$

**lemma** *Deriv-empty[simp]*:  $Deriv\ a\ \{\} = \{\}$   
**and** *Deriv-epsilon[simp]*:  $Deriv\ a\ \{\epsilon\} = \{\}$   
**and** *Deriv-char[simp]*:  $Deriv\ a\ \{b\} = (if\ a = b\ then\ \{\epsilon\}\ else\ \{\})$   
**and** *Deriv-union[simp]*:  $Deriv\ a\ (A \cup B) = Deriv\ a\ A \cup Deriv\ a\ B$   
**and** *Deriv-inter[simp]*:  $Deriv\ a\ (A \cap B) = Deriv\ a\ A \cap Deriv\ a\ B$

**and** *Deriv-compl*[simp]:  $Deriv\ a\ (-A) = -\ Deriv\ a\ A$   
**and** *Deriv-Union*[simp]:  $Deriv\ a\ (Union\ M) = Union(Deriv\ a\ 'M)$   
**and** *Deriv-UN*[simp]:  $Deriv\ a\ (UN\ x:I.\ S\ x) = (UN\ x:I.\ Deriv\ a\ (S\ x))$   
 <proof>

**lemma** *Der-conc* [simp]:  
**shows**  $Deriv\ c\ (A\ @@\ B) = (Deriv\ c\ A)\ @@\ B \cup (if\ [] \in A\ then\ Deriv\ c\ B\ else\ \{\})$   
 <proof>

**lemma** *Deriv-star* [simp]:  
**shows**  $Deriv\ c\ (star\ A) = (Deriv\ c\ A)\ @@\ star\ A$   
 <proof>

**lemma** *Deriv-diff*[simp]:  
**shows**  $Deriv\ c\ (A - B) = Deriv\ c\ A - Deriv\ c\ B$   
 <proof>

**lemma** *Deriv-lists*[simp]:  $c : S \implies Deriv\ c\ (lists\ S) = lists\ S$   
 <proof>

**lemma** *Derivs-simps* [simp]:  
**shows**  $Derivs\ []\ A = A$   
**and**  $Derivs\ (c\ \# s)\ A = Derivs\ s\ (Deriv\ c\ A)$   
**and**  $Derivs\ (s1\ @\ s2)\ A = Derivs\ s2\ (Derivs\ s1\ A)$   
 <proof>

**lemma** *in-fold-Deriv*:  $v \in fold\ Deriv\ w\ L \longleftrightarrow w\ @\ v \in L$   
 <proof>

**lemma** *Derivs-alt-def* [code]:  $Derivs\ w\ L = fold\ Deriv\ w\ L$   
 <proof>

**lemma** *Deriv-code* [code]:  
 $Deriv\ x\ A = tl\ 'Set.filter\ (\lambda xs.\ case\ xs\ of\ x'\ \# - \Rightarrow x = x' \mid - \Rightarrow False)\ A$   
 <proof>

## 1.5 Shuffle product

**definition** *Shuffle* (infixr  $\parallel$  80) **where**  
 $Shuffle\ A\ B = \bigcup \{shuffles\ xs\ ys \mid xs\ ys.\ xs \in A \wedge ys \in B\}$

**lemma** *Deriv-Shuffle*[simp]:  
 $Deriv\ a\ (A \parallel B) = Deriv\ a\ A \parallel B \cup A \parallel Deriv\ a\ B$   
 <proof>

**lemma** *shuffle-subset-lists*:  
**assumes**  $A \subseteq lists\ S\ B \subseteq lists\ S$   
**shows**  $A \parallel B \subseteq lists\ S$

*<proof>*

**lemma** *Nil-in-Shuffle[simp]*:  $\square \in A \parallel B \longleftrightarrow \square \in A \wedge \square \in B$   
*<proof>*

**lemma** *shuffle-Un-distrib*:

**shows**  $A \parallel (B \cup C) = A \parallel B \cup A \parallel C$

**and**  $A \parallel (B \cup C) = A \parallel B \cup A \parallel C$

*<proof>*

**lemma** *shuffle-UNION-distrib*:

**shows**  $A \parallel \bigcup (M \text{ ' } I) = \bigcup ((\%i. A \parallel M i) \text{ ' } I)$

**and**  $\bigcup (M \text{ ' } I) \parallel A = \bigcup ((\%i. M i \parallel A) \text{ ' } I)$

*<proof>*

**lemma** *Shuffle-empty[simp]*:

$A \parallel \{\} = \{\}$

$\{\} \parallel B = \{\}$

*<proof>*

**lemma** *Shuffle-eps[simp]*:

$A \parallel \{\square\} = A$

$\{\square\} \parallel B = B$

*<proof>*

## 1.6 Arden's Lemma

**lemma** *arden-helper*:

**assumes** *eq*:  $X = A \text{ @@ } X \cup B$

**shows**  $X = (A \text{ ~ } \text{Suc } n) \text{ @@ } X \cup (\bigcup m \leq n. (A \text{ ~ } m) \text{ @@ } B)$

*<proof>*

**lemma** *Arden*:

**assumes**  $\square \notin A$

**shows**  $X = A \text{ @@ } X \cup B \longleftrightarrow X = \text{star } A \text{ @@ } B$

*<proof>*

**lemma** *reversed-arden-helper*:

**assumes** *eq*:  $X = X \text{ @@ } A \cup B$

**shows**  $X = X \text{ @@ } (A \text{ ~ } \text{Suc } n) \cup (\bigcup m \leq n. B \text{ @@ } (A \text{ ~ } m))$

*<proof>*

**theorem** *reversed-Arden*:

**assumes** *nemp*:  $\square \notin A$

**shows**  $X = X \text{ @@ } A \cup B \longleftrightarrow X = B \text{ @@ } \text{star } A$

*<proof>*

**end**



## 2 Regular expressions

```
theory Regular-Exp
imports Regular-Set
begin
```

```
datatype (atoms: 'a) rexp =
  is-Zero: Zero |
  is-One: One |
  Atom 'a |
  Plus ('a rexp) ('a rexp) |
  Times ('a rexp) ('a rexp) |
  Star ('a rexp)
```

```
primrec lang :: 'a rexp => 'a lang where
lang Zero = {} |
lang One = {[]} |
lang (Atom a) = {[a]} |
lang (Plus r s) = (lang r) Un (lang s) |
lang (Times r s) = conc (lang r) (lang s) |
lang (Star r) = star(lang r)
```

```
abbreviation (input) regular-lang where regular-lang A  $\equiv$  ( $\exists r$ . lang r = A)
```

```
primrec nullable :: 'a rexp  $\Rightarrow$  bool where
nullable Zero = False |
nullable One = True |
nullable (Atom c) = False |
nullable (Plus r1 r2) = (nullable r1  $\vee$  nullable r2) |
nullable (Times r1 r2) = (nullable r1  $\wedge$  nullable r2) |
nullable (Star r) = True
```

```
lemma nullable-iff [code-abbrev]: nullable r  $\longleftrightarrow$  []  $\in$  lang r
  <proof>
```

```
primrec rexp-empty where
  rexp-empty Zero  $\longleftrightarrow$  True
| rexp-empty One  $\longleftrightarrow$  False
| rexp-empty (Atom a)  $\longleftrightarrow$  False
| rexp-empty (Plus r s)  $\longleftrightarrow$  rexp-empty r  $\wedge$  rexp-empty s
| rexp-empty (Times r s)  $\longleftrightarrow$  rexp-empty r  $\vee$  rexp-empty s
| rexp-empty (Star r)  $\longleftrightarrow$  False
```

```
lemma rexp-empty-iff [code-abbrev]: rexp-empty r  $\longleftrightarrow$  lang r = {}
  <proof>
```

Composition on rhs usually complicates matters:

```
lemma map-map-rexp:
```

$$\text{map-rexp } f (\text{map-rexp } g r) = \text{map-rexp } (\lambda r. f (g r)) r$$

*<proof>*

**lemma** *map-rexp-ident[simp]*:  $\text{map-rexp } (\lambda x. x) = (\lambda r. r)$   
*<proof>*

**lemma** *atoms-lang*:  $w : \text{lang } r \implies \text{set } w \subseteq \text{atoms } r$   
*<proof>*

**lemma** *lang-eq-ext*:  $(\text{lang } r = \text{lang } s) =$   
 $(\forall w \in \text{lists}(\text{atoms } r \cup \text{atoms } s). w \in \text{lang } r \longleftrightarrow w \in \text{lang } s)$   
*<proof>*

**lemma** *lang-eq-ext-Nil-fold-Deriv*:

**fixes**  $r s$

**defines**  $\mathfrak{B} \equiv \{(\text{fold Deriv } w (\text{lang } r), \text{fold Deriv } w (\text{lang } s)) \mid w. w \in \text{lists } (\text{atoms } r \cup \text{atoms } s)\}$

**shows**  $\text{lang } r = \text{lang } s \longleftrightarrow (\forall (K, L) \in \mathfrak{B}. [] \in K \longleftrightarrow [] \in L)$   
*<proof>*

## 2.1 Term ordering

**instantiation** *rexp* :: (*order*) {*order*}  
**begin**

**fun** *le-rexp* :: ('a::order) *rexp*  $\Rightarrow$  ('a::order) *rexp*  $\Rightarrow$  *bool*  
**where**

*le-rexp* *Zero* - = *True*  
| *le-rexp* - *Zero* = *False*  
| *le-rexp* *One* - = *True*  
| *le-rexp* - *One* = *False*  
| *le-rexp* (*Atom*  $a$ ) (*Atom*  $b$ ) = ( $a \leq b$ )  
| *le-rexp* (*Atom* -) - = *True*  
| *le-rexp* - (*Atom* -) = *False*  
| *le-rexp* (*Star*  $r$ ) (*Star*  $s$ ) = *le-rexp*  $r$   $s$   
| *le-rexp* (*Star* -) - = *True*  
| *le-rexp* - (*Star* -) = *False*  
| *le-rexp* (*Plus*  $r$   $r'$ ) (*Plus*  $s$   $s'$ ) =  
    (*if*  $r = s$  *then* *le-rexp*  $r'$   $s'$  *else* *le-rexp*  $r$   $s$ )  
| *le-rexp* (*Plus* - -) - = *True*  
| *le-rexp* - (*Plus* - -) = *False*  
| *le-rexp* (*Times*  $r$   $r'$ ) (*Times*  $s$   $s'$ ) =  
    (*if*  $r = s$  *then* *le-rexp*  $r'$   $s'$  *else* *le-rexp*  $r$   $s$ )

**definition** *less-eq-rexp* **where**  $r \leq s \equiv \text{le-rexp } r s$

**definition** *less-rexp* **where**  $r < s \equiv \text{le-rexp } r s \wedge r \neq s$

**lemma** *le-rexp-Zero*:  $\text{le-rexp } r \text{ Zero} \implies r = \text{Zero}$

*<proof>*

**lemma** *le-rexp-refl*: *le-rexp r r*  
*<proof>*

**lemma** *le-rexp-antisym*:  $\llbracket \text{le-rexp } r \text{ } s; \text{le-rexp } s \text{ } r \rrbracket \implies r = s$   
*<proof>*

**lemma** *le-rexp-trans*:  $\llbracket \text{le-rexp } r \text{ } s; \text{le-rexp } s \text{ } t \rrbracket \implies \text{le-rexp } r \text{ } t$   
*<proof>*

**instance** *<proof>*

**end**

**instantiation** *rexp* :: (*linorder*) {*linorder*}  
**begin**

**lemma** *le-rexp-total*: *le-rexp (r :: 'a :: linorder rexp) s*  $\vee$  *le-rexp s r*  
*<proof>*

**instance** *<proof>*

**end**

**end**

### 3 Normalizing Derivative

**theory** *NDerivative*

**imports**

*Regular-Exp*

**begin**

#### 3.1 Normalizing operations

associativity, commutativity, idempotence, zero

**fun** *nPlus* :: '*a*::order rexp  $\Rightarrow$  '*a* rexp  $\Rightarrow$  '*a* rexp

**where**

*nPlus Zero r = r*

| *nPlus r Zero = r*

| *nPlus (Plus r s) t = nPlus r (nPlus s t)*

| *nPlus r (Plus s t) =*

*(if r = s then (Plus s t)*

*else if le-rexp r s then Plus r (Plus s t)*

*else Plus s (nPlus r t))*

| *nPlus r s =*

*(if r = s then r*

else if le-rexp r s then Plus r s  
 else Plus s r)

**lemma** lang-nPlus[simp]: lang (nPlus r s) = lang (Plus r s)  
 ⟨proof⟩

associativity, zero, one

**fun** nTimes :: 'a::order rexp ⇒ 'a rexp ⇒ 'a rexp  
**where**

nTimes Zero - = Zero  
 | nTimes - Zero = Zero  
 | nTimes One r = r  
 | nTimes r One = r  
 | nTimes (Times r s) t = Times r (nTimes s t)  
 | nTimes r s = Times r s

**lemma** lang-nTimes[simp]: lang (nTimes r s) = lang (Times r s)  
 ⟨proof⟩

**primrec** norm :: 'a::order rexp ⇒ 'a rexp  
**where**

norm Zero = Zero  
 | norm One = One  
 | norm (Atom a) = Atom a  
 | norm (Plus r s) = nPlus (norm r) (norm s)  
 | norm (Times r s) = nTimes (norm r) (norm s)  
 | norm (Star r) = Star (norm r)

**lemma** lang-norm[simp]: lang (norm r) = lang r  
 ⟨proof⟩

**primrec** nderiv :: 'a::order ⇒ 'a rexp ⇒ 'a rexp  
**where**

nderiv - Zero = Zero  
 | nderiv - One = Zero  
 | nderiv a (Atom b) = (if a = b then One else Zero)  
 | nderiv a (Plus r s) = nPlus (nderiv a r) (nderiv a s)  
 | nderiv a (Times r s) =  
   (let r's = nTimes (nderiv a r) s  
   in if nullable r then nPlus r's (nderiv a s) else r's)  
 | nderiv a (Star r) = nTimes (nderiv a r) (Star r)

**lemma** lang-nderiv: lang (nderiv a r) = Deriv a (lang r)  
 ⟨proof⟩

**lemma** deriv-no-occurrence:

$x \notin \text{atoms } r \implies \text{nderiv } x \ r = \text{Zero}$   
 ⟨proof⟩

**lemma** *atoms-nPlus[simp]*:  $atoms (nPlus\ r\ s) = atoms\ r \cup atoms\ s$   
 ⟨*proof*⟩

**lemma** *atoms-nTimes*:  $atoms (nTimes\ r\ s) \subseteq atoms\ r \cup atoms\ s$   
 ⟨*proof*⟩

**lemma** *atoms-norm*:  $atoms (norm\ r) \subseteq atoms\ r$   
 ⟨*proof*⟩

**lemma** *atoms-nderiv*:  $atoms (nderiv\ a\ r) \subseteq atoms\ r$   
 ⟨*proof*⟩

**end**

## 4 Deciding Regular Expression Equivalence

**theory** *Equivalence-Checking*

**imports**

*NDerivative*

*HOL-Library.While-Combinator*

**begin**

### 4.1 Bisimulation between languages and regular expressions

**coinductive** *bisimilar* :: 'a lang  $\Rightarrow$  'a lang  $\Rightarrow$  bool **where**  
 ( $\square \in K \longleftrightarrow \square \in L$ )  
 $\Longrightarrow (\bigwedge x. bisimilar (Deriv\ x\ K) (Deriv\ x\ L))$   
 $\Longrightarrow bisimilar\ K\ L$

**lemma** *equal-if-bisimilar*:

**assumes** *bisimilar*  $K\ L$  **shows**  $K = L$   
 ⟨*proof*⟩

**lemma** *language-coinduct*:

**fixes**  $R$  (**infixl**  $\sim$  50)

**assumes**  $K \sim L$

**assumes**  $\bigwedge K\ L. K \sim L \Longrightarrow (\square \in K \longleftrightarrow \square \in L)$

**assumes**  $\bigwedge K\ L\ x. K \sim L \Longrightarrow Deriv\ x\ K \sim Deriv\ x\ L$

**shows**  $K = L$

⟨*proof*⟩

**type-synonym** 'a rexp-pair = 'a rexp \* 'a rexp

**type-synonym** 'a rexp-pairs = 'a rexp-pair list

**definition** *is-bisimulation* :: 'a::order list  $\Rightarrow$  'a rexp-pair set  $\Rightarrow$  bool

**where**

*is-bisimulation* as  $R =$

$(\forall (r,s) \in R. (atoms\ r \cup atoms\ s \subseteq set\ as) \wedge (nullable\ r \longleftrightarrow nullable\ s) \wedge$   
 $(\forall a \in set\ as. (nderiv\ a\ r, nderiv\ a\ s) \in R))$

**lemma** *bisim-lang-eq*:  
**assumes** *bisim*: *is-bisimulation as ps*  
**assumes**  $(r, s) \in ps$   
**shows**  $lang\ r = lang\ s$   
 $\langle proof \rangle$

## 4.2 Closure computation

**definition** *closure* ::  
 $'a::order\ list \Rightarrow 'a\ rexp\ pair \Rightarrow ('a\ rexp\ pairs * 'a\ rexp\ pair\ set)\ option$   
**where**  
 $closure\ as = rtrancl\ while\ (\% (r,s).\ nullable\ r = nullable\ s)$   
 $(\% (r,s).\ map\ (\lambda a. (nderiv\ a\ r,\ nderiv\ a\ s))\ as)$

**definition** *pre-bisim* ::  $'a::order\ list \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp \Rightarrow$   
 $'a\ rexp\ pairs * 'a\ rexp\ pair\ set \Rightarrow bool$   
**where**  
 $pre\ bisim\ as\ r\ s = (\lambda (ws,R).$   
 $(r,s) \in R \wedge set\ ws \subseteq R \wedge$   
 $(\forall (r,s) \in R. atoms\ r \cup atoms\ s \subseteq set\ as) \wedge$   
 $(\forall (r,s) \in R - set\ ws. (nullable\ r \longleftrightarrow nullable\ s) \wedge$   
 $(\forall a \in set\ as. (nderiv\ a\ r,\ nderiv\ a\ s) \in R))$

**theorem** *closure-sound*:  
**assumes** *result*:  $closure\ as\ (r,s) = Some(\[],R)$   
**and** *atoms*:  $atoms\ r \cup atoms\ s \subseteq set\ as$   
**shows**  $lang\ r = lang\ s$   
 $\langle proof \rangle$

## 4.3 Bisimulation-free proof of closure computation

The equivalence check can be viewed as the product construction of two automata. The state space is the reflexive transitive closure of the pair of next-state functions, i.e. derivatives.

**lemma** *rtrancl-nderiv-nderivs*: **defines**  $nderivs == foldl\ (\% r\ a. nderiv\ a\ r)$   
**shows**  $\{((r,s),(nderiv\ a\ r,nderiv\ a\ s)) \mid r\ s\ a. a : A\}^{\hat{*}} =$   
 $\{((r,s),(nderivs\ r\ w,nderivs\ s\ w)) \mid r\ s\ w. w : lists\ A\}$  (**is**  $?L = ?R$ )  
 $\langle proof \rangle$

**lemma** *nullable-nderivs*:  
 $nullable\ (foldl\ (\% r\ a. nderiv\ a\ r)\ r\ w) = (w : lang\ r)$   
 $\langle proof \rangle$

**theorem** *closure-sound-complete*:  
**assumes** *result*:  $closure\ as\ (r,s) = Some(ws,R)$   
**and** *atoms*:  $set\ as = atoms\ r \cup atoms\ s$   
**shows**  $ws = [] \longleftrightarrow lang\ r = lang\ s$   
 $\langle proof \rangle$

## 4.4 The overall procedure

**primrec** *add-atoms* :: 'a rexp  $\Rightarrow$  'a list  $\Rightarrow$  'a list

**where**

*add-atoms* Zero = *id*  
| *add-atoms* One = *id*  
| *add-atoms* (Atom *a*) = *List.insert a*  
| *add-atoms* (Plus *r s*) = *add-atoms s o add-atoms r*  
| *add-atoms* (Times *r s*) = *add-atoms s o add-atoms r*  
| *add-atoms* (Star *r*) = *add-atoms r*

**lemma** *set-add-atoms*: *set (add-atoms r as) = atoms r  $\cup$  set as*  
*<proof>*

**definition** *check-eqv* :: nat rexp  $\Rightarrow$  nat rexp  $\Rightarrow$  bool **where**

*check-eqv r s =*

(*let nr = norm r; ns = norm s; as = add-atoms nr (add-atoms ns [])*  
*in case closure as (nr, ns) of*  
*Some([],-)  $\Rightarrow$  True | -  $\Rightarrow$  False*)

**lemma** *soundness*:

**assumes** *check-eqv r s* **shows** *lang r = lang s*

*<proof>*

Test:

**lemma** *check-eqv (Plus One (Times (Atom 0) (Star(Atom 0)))) (Star(Atom 0))*

*<proof>*

**end**

## 5 Regular Expressions as Homogeneous Binary Relations

**theory** *Relation-Interpretation*

**imports** *Regular-Exp*

**begin**

**primrec** *rel* :: ('a  $\Rightarrow$  ('b \* 'b) set)  $\Rightarrow$  'a rexp  $\Rightarrow$  ('b \* 'b) set

**where**

*rel v* Zero = {} |  
*rel v* One = *Id* |  
*rel v* (Atom *a*) = *v a* |  
*rel v* (Plus *r s*) = *rel v r  $\cup$  rel v s* |  
*rel v* (Times *r s*) = *rel v r O rel v s* |  
*rel v* (Star *r*) = (*rel v r*)<sup>\*</sup>

**primrec** *word-rel* :: ('a  $\Rightarrow$  ('b \* 'b) set)  $\Rightarrow$  'a list  $\Rightarrow$  ('b \* 'b) set

**where**

*word-rel*  $v [] = Id$   
 | *word-rel*  $v (a\#as) = v a \circ \text{word-rel } v \text{ as}$

**lemma** *word-rel-append*:

*word-rel*  $v w \circ \text{word-rel } v w' = \text{word-rel } v (w @ w')$   
 <proof>

**lemma** *rel-word-rel*:  $\text{rel } v r = (\bigcup_{w \in \text{lang } r} \text{word-rel } v w)$   
 <proof>

Soundness:

**lemma** *soundness*:

$\text{lang } r = \text{lang } s \implies \text{rel } v r = \text{rel } v s$   
 <proof>

end

## 6 Proving Relation (In)equalities via Regular Expressions

**theory** *Regex-Method*

**imports** *Equivalence-Checking Relation-Interpretation*

**begin**

**primrec** *rel-of-regex* ::  $('a * 'a) \text{ set list} \Rightarrow \text{nat regex} \Rightarrow ('a * 'a) \text{ set}$  **where**  
*rel-of-regex*  $vs \text{ Zero} = \{\}$  |  
*rel-of-regex*  $vs \text{ One} = Id$  |  
*rel-of-regex*  $vs (\text{Atom } i) = vs ! i$  |  
*rel-of-regex*  $vs (\text{Plus } r s) = \text{rel-of-regex } vs r \cup \text{rel-of-regex } vs s$  |  
*rel-of-regex*  $vs (\text{Times } r s) = \text{rel-of-regex } vs r \circ \text{rel-of-regex } vs s$  |  
*rel-of-regex*  $vs (\text{Star } r) = (\text{rel-of-regex } vs r)^\wedge*$

**lemma** *rel-of-regex-rel*:  $\text{rel-of-regex } vs r = \text{rel } (\lambda i. vs ! i) r$   
 <proof>

**primrec** *rel-eq* **where**

*rel-eq*  $(r, s) vs = (\text{rel-of-regex } vs r = \text{rel-of-regex } vs s)$

**lemma** *rel-eqI*:  $\text{check-egv } r s \implies \text{rel-eq } (r, s) vs$   
 <proof>

**lemmas** *regex-reify* = *rel-of-regex.simps rel-eq.simps*

**lemmas** *regex-unfold* = *trancl-unfold-left subset-Un-eq*

<ML>

**hide-const** (**open**) *le-regex nPlus nTimes norm nullable bisimilar is-bisimulation closure*



*pre-bisim add-atoms check-eqv rel word-rel rel-eq*

Example:

**lemma**  $(r \cup s^{\hat{+}})^{\hat{*}} = (r \cup s)^{\hat{*}}$   
*<proof>*

**end**

## 7 Basic constructions on regular expressions

**theory** *Regexp-Constructions*

**imports**

*Main*

*HOL-Library.Sublist*

*Regular-Exp*

**begin**

### 7.1 Reverse language

**lemma** *rev-conc* [*simp*]:  $\text{rev } (A @ B) = \text{rev } B @ \text{rev } A$   
*<proof>*

**lemma** *rev-compower* [*simp*]:  $\text{rev } (A \sim n) = (\text{rev } A) \sim n$   
*<proof>*

**lemma** *rev-star* [*simp*]:  $\text{rev } (\text{star } A) = \text{star } (\text{rev } A)$   
*<proof>*

### 7.2 Substituting characters in a language

**definition** *subst-word* ::  $(a \Rightarrow 'b \text{ list}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$  **where**  
 $\text{subst-word } f \text{ xs} = \text{concat } (\text{map } f \text{ xs})$

**lemma** *subst-word-Nil* [*simp*]:  $\text{subst-word } f [] = []$   
*<proof>*

**lemma** *subst-word-singleton* [*simp*]:  $\text{subst-word } f [x] = f x$   
*<proof>*

**lemma** *subst-word-append* [*simp*]:  $\text{subst-word } f (xs @ ys) = \text{subst-word } f \text{ xs} @ \text{subst-word } f \text{ ys}$   
*<proof>*

**lemma** *subst-word-Cons* [*simp*]:  $\text{subst-word } f (x \# xs) = f x @ \text{subst-word } f \text{ xs}$   
*<proof>*

**lemma** *subst-word-conc* [*simp*]:  $\text{subst-word } f (A @ B) = \text{subst-word } f A @ \text{subst-word } f B$   
*<proof>*

**lemma** *subst-word-compower* [simp]:  $\text{subst-word } f \text{ ' } (A \text{ } \sim n) = (\text{subst-word } f \text{ ' } A) \text{ } \sim n$   
 ⟨proof⟩

**lemma** *subst-word-star* [simp]:  $\text{subst-word } f \text{ ' } (\text{star } A) = \text{star } (\text{subst-word } f \text{ ' } A)$   
 ⟨proof⟩

Suffix language

**definition** *Suffixes* :: 'a list set  $\Rightarrow$  'a list set **where**  
*Suffixes* A = {w.  $\exists q. q @ w \in A$ }

**lemma** *Suffixes-altdef* [code]:  $\text{Suffixes } A = (\bigcup w \in A. \text{set } (\text{suffixes } w))$   
 ⟨proof⟩

**lemma** *Nil-in-Suffixes-iff* [simp]:  $\square \in \text{Suffixes } A \longleftrightarrow A \neq \{\}$   
 ⟨proof⟩

**lemma** *Suffixes-empty* [simp]:  $\text{Suffixes } \{\} = \{\}$   
 ⟨proof⟩

**lemma** *Suffixes-empty-iff* [simp]:  $\text{Suffixes } A = \{\} \longleftrightarrow A = \{\}$   
 ⟨proof⟩

**lemma** *Suffixes-singleton* [simp]:  $\text{Suffixes } \{xs\} = \text{set } (\text{suffixes } xs)$   
 ⟨proof⟩

**lemma** *Suffixes-insert*:  $\text{Suffixes } (\text{insert } xs \ A) = \text{set } (\text{suffixes } xs) \cup \text{Suffixes } A$   
 ⟨proof⟩

**lemma** *Suffixes-conc* [simp]:  $A \neq \{\} \implies \text{Suffixes } (A @ B) = \text{Suffixes } B \cup (\text{Suffixes } A @ B)$   
 ⟨proof⟩

**lemma** *Suffixes-union* [simp]:  $\text{Suffixes } (A \cup B) = \text{Suffixes } A \cup \text{Suffixes } B$   
 ⟨proof⟩

**lemma** *Suffixes-UNION* [simp]:  $\text{Suffixes } (\bigcup (f \text{ ' } A)) = \bigcup ((\lambda x. \text{Suffixes } (f \ x)) \text{ ' } A)$   
 ⟨proof⟩

**lemma** *Suffixes-compower*:  
**assumes**  $A \neq \{\}$   
**shows**  $\text{Suffixes } (A \text{ } \sim n) = \text{insert } \square (\text{Suffixes } A @ (\bigcup k < n. A \text{ } \sim k))$   
 ⟨proof⟩

**lemma** *Suffixes-star* [simp]:  
**assumes**  $A \neq \{\}$   
**shows**  $\text{Suffixes } (\text{star } A) = \text{Suffixes } A @ \text{star } A$   
 ⟨proof⟩

Prefix language

**definition** *Prefixes* :: 'a list set  $\Rightarrow$  'a list set **where**

$$\text{Prefixes } A = \{w. \exists q. w @ q \in A\}$$

**lemma** *Prefixes-altdef* [code]: *Prefixes*  $A = (\bigcup w \in A. \text{set } (\text{prefixes } w))$

*<proof>*

**lemma** *Nil-in-Prefixes-iff* [simp]:  $[] \in \text{Prefixes } A \iff A \neq \{\}$

*<proof>*

**lemma** *Prefixes-empty* [simp]: *Prefixes*  $\{\} = \{\}$

*<proof>*

**lemma** *Prefixes-empty-iff* [simp]: *Prefixes*  $A = \{\} \iff A = \{\}$

*<proof>*

**lemma** *Prefixes-singleton* [simp]: *Prefixes*  $\{xs\} = \text{set } (\text{prefixes } xs)$

*<proof>*

**lemma** *Prefixes-insert*: *Prefixes*  $(\text{insert } xs \ A) = \text{set } (\text{prefixes } xs) \cup \text{Prefixes } A$

*<proof>*

**lemma** *Prefixes-conc* [simp]:  $B \neq \{\} \implies \text{Prefixes } (A @ @ B) = \text{Prefixes } A \cup (A @ @ \text{Prefixes } B)$

*<proof>*

**lemma** *Prefixes-union* [simp]: *Prefixes*  $(A \cup B) = \text{Prefixes } A \cup \text{Prefixes } B$

*<proof>*

**lemma** *Prefixes-UNION* [simp]: *Prefixes*  $(\bigcup (f \ ' A)) = \bigcup ((\lambda x. \text{Prefixes } (f \ x)) \ ' A)$

*<proof>*

**lemma** *Prefixes-rev*: *Prefixes*  $(\text{rev } \ ' A) = \text{rev } \ ' \text{Suffixes } A$

*<proof>*

**lemma** *Suffixes-rev*: *Suffixes*  $(\text{rev } \ ' A) = \text{rev } \ ' \text{Prefixes } A$

*<proof>*

**lemma** *Prefixes-compower*:

**assumes**  $A \neq \{\}$

**shows**  $\text{Prefixes } (A \rightsquigarrow n) = \text{insert } [] \ ((\bigcup k < n. A \rightsquigarrow k) @ @ \text{Prefixes } A)$

*<proof>*

**lemma** *Prefixes-star* [simp]:

**assumes**  $A \neq \{\}$

**shows**  $\text{Prefixes } (\text{star } A) = \text{star } A @ @ \text{Prefixes } A$

*<proof>*

### 7.3 Subword language

The language of all sub-words, i.e. all words that are a contiguous sublist of a word in the original language.

**definition** *Sublists* :: 'a list set  $\Rightarrow$  'a list set **where**  
*Sublists* A = {w.  $\exists q \in A$ . sublist w q}

**lemma** *Sublists-altdef* [code]: *Sublists* A = ( $\bigcup w \in A$ . set (*sublists* w))  
 ⟨proof⟩

**lemma** *Sublists-empty* [simp]: *Sublists* {} = {}  
 ⟨proof⟩

**lemma** *Sublists-singleton* [simp]: *Sublists* {w} = set (*sublists* w)  
 ⟨proof⟩

**lemma** *Sublists-insert*: *Sublists* (insert w A) = set (*sublists* w)  $\cup$  *Sublists* A  
 ⟨proof⟩

**lemma** *Sublists-Un* [simp]: *Sublists* (A  $\cup$  B) = *Sublists* A  $\cup$  *Sublists* B  
 ⟨proof⟩

**lemma** *Sublists-UN* [simp]: *Sublists* ( $\bigcup (f \text{ ` } A)$ ) =  $\bigcup ((\lambda x$ . *Sublists* (f x)) ` A)  
 ⟨proof⟩

**lemma** *Sublists-conv-Prefixes*: *Sublists* A = *Prefixes* (*Suffixes* A)  
 ⟨proof⟩

**lemma** *Sublists-conv-Suffixes*: *Sublists* A = *Suffixes* (*Prefixes* A)  
 ⟨proof⟩

**lemma** *Sublists-conc* [simp]:  
 assumes A  $\neq$  {} B  $\neq$  {}  
 shows *Sublists* (A @@ B) = *Sublists* A  $\cup$  *Sublists* B  $\cup$  *Suffixes* A @@ *Prefixes* B  
 ⟨proof⟩

**lemma** *star-not-empty* [simp]: star A  $\neq$  {}  
 ⟨proof⟩

**lemma** *Sublists-star*:  
 A  $\neq$  {}  $\implies$  *Sublists* (star A) = *Sublists* A  $\cup$  *Suffixes* A @@ star A @@ *Prefixes* A  
 ⟨proof⟩

**lemma** *Prefixes-subset-Sublists*: *Prefixes* A  $\subseteq$  *Sublists* A  
 ⟨proof⟩

**lemma** *Suffixes-subset-Sublists*: *Suffixes* A  $\subseteq$  *Sublists* A

*<proof>*

## 7.4 Fragment language

The following is the fragment language of a given language, i.e. the set of all words that are (not necessarily contiguous) sub-sequences of a word in the original language.

**definition** *Subseqs where*  $Subseqs A = (\bigcup_{w \in A} set (subseqs w))$

**lemma** *Subseqs-empty [simp]:*  $Subseqs \{\} = \{\}$   
*<proof>*

**lemma** *Subseqs-insert [simp]:*  $Subseqs (insert xs A) = set (subseqs xs) \cup Subseqs A$   
*<proof>*

**lemma** *Subseqs-singleton [simp]:*  $Subseqs \{xs\} = set (subseqs xs)$   
*<proof>*

**lemma** *Subseqs-Un [simp]:*  $Subseqs (A \cup B) = Subseqs A \cup Subseqs B$   
*<proof>*

**lemma** *Subseqs-UNION [simp]:*  $Subseqs (\bigcup (f ` A)) = \bigcup ((\lambda x. Subseqs (f x)) ` A)$   
*<proof>*

**lemma** *Subseqs-conc [simp]:*  $Subseqs (A @@ B) = Subseqs A @@ Subseqs B$   
*<proof>*

**lemma** *Subseqs-compower [simp]:*  $Subseqs (A \overset{\sim}{\sim} n) = Subseqs A \overset{\sim}{\sim} n$   
*<proof>*

**lemma** *Subseqs-star [simp]:*  $Subseqs (star A) = star (Subseqs A)$   
*<proof>*

**lemma** *Sublists-subset-Subseqs:*  $Sublists A \subseteq Subseqs A$   
*<proof>*

## 7.5 Various regular expression constructions

A construction for language reversal of a regular expression:

**primrec** *rexp-rev where*

*rexp-rev Zero = Zero*  
*| rexp-rev One = One*  
*| rexp-rev (Atom x) = Atom x*  
*| rexp-rev (Plus r s) = Plus (rexp-rev r) (rexp-rev s)*  
*| rexp-rev (Times r s) = Times (rexp-rev s) (rexp-rev r)*  
*| rexp-rev (Star r) = Star (rexp-rev r)*

**lemma** *lang-regex-rev* [simp]:  $\text{lang } (\text{regex-rev } r) = \text{rev } \text{'lang } r$   
 ⟨proof⟩

The obvious construction for a singleton-language regular expression:

**fun** *regex-of-word* **where**  
*regex-of-word* [] = *One*  
 | *regex-of-word* [x] = *Atom x*  
 | *regex-of-word* (x#xs) = *Times (Atom x) (regex-of-word xs)*

**lemma** *lang-regex-of-word* [simp]:  $\text{lang } (\text{regex-of-word } xs) = \{xs\}$   
 ⟨proof⟩

**lemma** *size-regex-of-word* [simp]:  $\text{size } (\text{regex-of-word } xs) = \text{Suc } (2 * (\text{length } xs - 1))$   
 ⟨proof⟩

Character substitution in a regular expression:

**primrec** *regex-subst* **where**  
*regex-subst* f *Zero* = *Zero*  
 | *regex-subst* f *One* = *One*  
 | *regex-subst* f (*Atom x*) = *regex-of-word* (f x)  
 | *regex-subst* f (*Plus r s*) = *Plus (regex-subst f r) (regex-subst f s)*  
 | *regex-subst* f (*Times r s*) = *Times (regex-subst f r) (regex-subst f s)*  
 | *regex-subst* f (*Star r*) = *Star (regex-subst f r)*

**lemma** *lang-regex-subst*:  $\text{lang } (\text{regex-subst } f r) = \text{subst-word } f \text{'lang } r$   
 ⟨proof⟩

Suffix language of a regular expression:

**primrec** *suffix-regex* :: 'a *regex* ⇒ 'a *regex* **where**  
*suffix-regex* *Zero* = *Zero*  
 | *suffix-regex* *One* = *One*  
 | *suffix-regex* (*Atom a*) = *Plus (Atom a) One*  
 | *suffix-regex* (*Plus r s*) = *Plus (suffix-regex r) (suffix-regex s)*  
 | *suffix-regex* (*Times r s*) =  
   (*if regex-empty r then Zero else Plus (Times (suffix-regex r) s) (suffix-regex s)*)  
 | *suffix-regex* (*Star r*) =  
   (*if regex-empty r then One else Times (suffix-regex r) (Star r)*)

**theorem** *lang-suffix-regex* [simp]:  
 $\text{lang } (\text{suffix-regex } r) = \text{Suffixes } (\text{lang } r)$   
 ⟨proof⟩

Prefix language of a regular expression:

**primrec** *prefix-regex* :: 'a *regex* ⇒ 'a *regex* **where**  
*prefix-regex* *Zero* = *Zero*  
 | *prefix-regex* *One* = *One*  
 | *prefix-regex* (*Atom a*) = *Plus (Atom a) One*  
 | *prefix-regex* (*Plus r s*) = *Plus (prefix-regex r) (prefix-regex s)*

```

| prefix-regex (Times r s) =
  (if regex-empty s then Zero else Plus (Times r (prefix-regex s)) (prefix-regex r))
| prefix-regex (Star r) =
  (if regex-empty r then One else Times (Star r) (prefix-regex r))

```

**theorem** lang-prefix-regex [simp]:  
 lang (prefix-regex r) = Prefixes (lang r)  
 ⟨proof⟩

Sub-word language of a regular expression

```

primrec sublist-regex :: 'a regex ⇒ 'a regex where
  sublist-regex Zero = Zero
| sublist-regex One = One
| sublist-regex (Atom a) = Plus (Atom a) One
| sublist-regex (Plus r s) = Plus (sublist-regex r) (sublist-regex s)
| sublist-regex (Times r s) =
  (if regex-empty r ∨ regex-empty s then Zero else
   Plus (sublist-regex r) (Plus (sublist-regex s) (Times (suffix-regex r) (prefix-regex
s))))
| sublist-regex (Star r) =
  (if regex-empty r then One else
   Plus (sublist-regex r) (Times (suffix-regex r) (Times (Star r) (prefix-regex r))))

```

**theorem** lang-sublist-regex [simp]:  
 lang (sublist-regex r) = Sublists (lang r)  
 ⟨proof⟩

Fragment language of a regular expression:

```

primrec subseqs-regex :: 'a regex ⇒ 'a regex where
  subseqs-regex Zero = Zero
| subseqs-regex One = One
| subseqs-regex (Atom x) = Plus (Atom x) One
| subseqs-regex (Plus r s) = Plus (subseqs-regex r) (subseqs-regex s)
| subseqs-regex (Times r s) = Times (subseqs-regex r) (subseqs-regex s)
| subseqs-regex (Star r) = Star (subseqs-regex r)

```

**lemma** lang-subseqs-regex [simp]: lang (subseqs-regex r) = Subseqs (lang r)  
 ⟨proof⟩

Subword language of a regular expression

end

## 8 Derivatives of regular expressions

```

theory Derivatives
imports Regular-Exp
begin

```

This theory is based on work by Brozowski [2] and Antimirov [1].

## 8.1 Brzozowski's derivatives of regular expressions

### primrec

$deriv :: 'a \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp$

### where

$deriv\ c\ (Zero) = Zero$   
 $| deriv\ c\ (One) = Zero$   
 $| deriv\ c\ (Atom\ c') = (if\ c = c'\ then\ One\ else\ Zero)$   
 $| deriv\ c\ (Plus\ r1\ r2) = Plus\ (deriv\ c\ r1)\ (deriv\ c\ r2)$   
 $| deriv\ c\ (Times\ r1\ r2) =$   
 $\quad (if\ nullable\ r1\ then\ Plus\ (Times\ (deriv\ c\ r1)\ r2)\ (deriv\ c\ r2)\ else\ Times\ (deriv\ c\ r1)\ r2)$   
 $| deriv\ c\ (Star\ r) = Times\ (deriv\ c\ r)\ (Star\ r)$

### primrec

$derivs :: 'a\ list \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp$

### where

$derivs\ []\ r = r$   
 $| derivs\ (c\ \#s)\ r = derivs\ s\ (deriv\ c\ r)$

**lemma** *atoms-deriv-subset*:  $atoms\ (deriv\ x\ r) \subseteq atoms\ r$   
*<proof>*

**lemma** *atoms-derivs-subset*:  $atoms\ (derivs\ w\ r) \subseteq atoms\ r$   
*<proof>*

**lemma** *lang-deriv*:  $lang\ (deriv\ c\ r) = Deriv\ c\ (lang\ r)$   
*<proof>*

**lemma** *lang-derivs*:  $lang\ (derivs\ s\ r) = Derivs\ s\ (lang\ r)$   
*<proof>*

A regular expression matcher:

**definition** *matcher* ::  $'a\ rexp \Rightarrow 'a\ list \Rightarrow bool$  **where**  
 $matcher\ r\ s = nullable\ (derivs\ s\ r)$

**lemma** *matcher-correctness*:  $matcher\ r\ s \longleftrightarrow s \in lang\ r$   
*<proof>*

## 8.2 Antimirov's partial derivatives

### abbreviation

$Timess\ rs\ r \equiv (\bigcup r' \in rs. \{Times\ r'\ r\})$

**lemma** *Timess-eq-image*:

$Timess\ rs\ r = (\lambda r'. Times\ r'\ r) \ `rs$   
*<proof>*

### primrec



$pderiv :: 'a \Rightarrow 'a \text{ rexp} \Rightarrow 'a \text{ rexp set}$   
**where**  
 $pderiv\ c\ Zero = \{\}$   
 $| pderiv\ c\ One = \{\}$   
 $| pderiv\ c\ (Atom\ c') = (if\ c = c'\ then\ \{One\}\ else\ \{\})$   
 $| pderiv\ c\ (Plus\ r1\ r2) = (pderiv\ c\ r1) \cup (pderiv\ c\ r2)$   
 $| pderiv\ c\ (Times\ r1\ r2) =$   
 $\quad (if\ nullable\ r1\ then\ Timess\ (pderiv\ c\ r1)\ r2 \cup pderiv\ c\ r2\ else\ Timess\ (pderiv\ c\ r1)\ r2)$   
 $| pderiv\ c\ (Star\ r) = Timess\ (pderiv\ c\ r)\ (Star\ r)$

#### primrec

$pderivs :: 'a\ list \Rightarrow 'a\ rexp \Rightarrow ('a\ rexp)\ set$   
**where**  
 $pderivs\ []\ r = \{r\}$   
 $| pderivs\ (c\ \#\ s)\ r = \bigcup (pderivs\ s\ ' pderiv\ c\ r)$

#### abbreviation

$pderiv-set :: 'a \Rightarrow 'a\ rexp\ set \Rightarrow 'a\ rexp\ set$   
**where**  
 $pderiv-set\ c\ rs \equiv \bigcup (pderiv\ c\ ' rs)$

#### abbreviation

$pderivs-set :: 'a\ list \Rightarrow 'a\ rexp\ set \Rightarrow 'a\ rexp\ set$   
**where**  
 $pderivs-set\ s\ rs \equiv \bigcup (pderivs\ s\ ' rs)$

#### lemma pderivs-append:

$pderivs\ (s1\ @\ s2)\ r = \bigcup (pderivs\ s2\ ' pderivs\ s1\ r)$   
 $\langle proof \rangle$

#### lemma pderivs-snoc:

**shows**  $pderivs\ (s\ @\ [c])\ r = pderiv-set\ c\ (pderivs\ s\ r)$   
 $\langle proof \rangle$

#### lemma pderivs-simps [simp]:

**shows**  $pderivs\ s\ Zero = (if\ s = []\ then\ \{Zero\}\ else\ \{\})$   
**and**  $pderivs\ s\ One = (if\ s = []\ then\ \{One\}\ else\ \{\})$   
**and**  $pderivs\ s\ (Plus\ r1\ r2) = (if\ s = []\ then\ \{Plus\ r1\ r2\}\ else\ (pderivs\ s\ r1) \cup (pderivs\ s\ r2))$   
 $\langle proof \rangle$

#### lemma pderivs-Atom:

**shows**  $pderivs\ s\ (Atom\ c) \subseteq \{Atom\ c,\ One\}$   
 $\langle proof \rangle$

### 8.3 Relating left-quotients and partial derivatives

#### lemma Deriv-pderiv:

**shows**  $Deriv\ c\ (lang\ r) = \bigcup (lang\ 'pderiv\ c\ r)$   
 ⟨proof⟩

**lemma** *Derivs-pderivs*:

**shows**  $Derivs\ s\ (lang\ r) = \bigcup (lang\ 'pderivs\ s\ r)$   
 ⟨proof⟩

## 8.4 Relating derivatives and partial derivatives

**lemma** *deriv-pderiv*:

**shows**  $\bigcup (lang\ '(pderiv\ c\ r)) = lang\ (deriv\ c\ r)$   
 ⟨proof⟩

**lemma** *derivs-pderivs*:

**shows**  $\bigcup (lang\ '(pderivs\ s\ r)) = lang\ (derivs\ s\ r)$   
 ⟨proof⟩

## 8.5 Finiteness property of partial derivatives

**definition**

$pderivs\text{-}lang :: 'a\ lang \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp\ set$

**where**

$pderivs\text{-}lang\ A\ r \equiv \bigcup x \in A. pderivs\ x\ r$

**lemma** *pderivs-lang-subsetI*:

**assumes**  $\bigwedge s. s \in A \Longrightarrow pderivs\ s\ r \subseteq C$

**shows**  $pderivs\text{-}lang\ A\ r \subseteq C$

⟨proof⟩

**lemma** *pderivs-lang-union*:

**shows**  $pderivs\text{-}lang\ (A \cup B)\ r = (pderivs\text{-}lang\ A\ r \cup pderivs\text{-}lang\ B\ r)$

⟨proof⟩

**lemma** *pderivs-lang-subset*:

**shows**  $A \subseteq B \Longrightarrow pderivs\text{-}lang\ A\ r \subseteq pderivs\text{-}lang\ B\ r$

⟨proof⟩

**definition**

$UNIV1 \equiv UNIV - \{\}\}$

**lemma** *pderivs-lang-Zero* [simp]:

**shows**  $pderivs\text{-}lang\ UNIV1\ Zero = \{\}$

⟨proof⟩

**lemma** *pderivs-lang-One* [simp]:

**shows**  $pderivs\text{-}lang\ UNIV1\ One = \{\}$

⟨proof⟩

**lemma** *pderivs-lang-Atom* [simp]:

**shows**  $pderivs\text{-}lang\ UNIV1\ (Atom\ c) = \{One\}$

*<proof>*

**lemma** *pderivs-lang-Plus* [*simp*]:

**shows** *pderivs-lang UNIV1 (Plus r1 r2) = pderivs-lang UNIV1 r1 ∪ pderivs-lang UNIV1 r2*

*<proof>*

Non-empty suffixes of a string (needed for the cases of *Times* and *Star* below)

**definition**

$PSuf\ s \equiv \{v. v \neq [] \wedge (\exists u. u @ v = s)\}$

**lemma** *PSuf-snoc*:

**shows**  $PSuf\ (s @ [c]) = (PSuf\ s) @ @ \{[c]\} \cup \{[c]\}$

*<proof>*

**lemma** *PSuf-Union*:

**shows**  $(\bigcup v \in PSuf\ s @ @ \{[c]\}. f\ v) = (\bigcup v \in PSuf\ s. f\ (v @ [c]))$

*<proof>*

**lemma** *pderivs-lang-snoc*:

**shows**  $pderivs-lang\ (PSuf\ s @ @ \{[c]\})\ r = (pderiv-set\ c\ (pderivs-lang\ (PSuf\ s)\ r))$

*<proof>*

**lemma** *pderivs-Times*:

**shows**  $pderivs\ s\ (Times\ r1\ r2) \subseteq Times\ (pderivs\ s\ r1)\ r2 \cup (pderivs-lang\ (PSuf\ s)\ r2)$

*<proof>*

**lemma** *pderivs-lang-Times-aux1*:

**assumes**  $a: s \in UNIV1$

**shows**  $pderivs-lang\ (PSuf\ s)\ r \subseteq pderivs-lang\ UNIV1\ r$

*<proof>*

**lemma** *pderivs-lang-Times-aux2*:

**assumes**  $a: s \in UNIV1$

**shows**  $Times\ (pderivs\ s\ r1)\ r2 \subseteq Times\ (pderivs-lang\ UNIV1\ r1)\ r2$

*<proof>*

**lemma** *pderivs-lang-Times*:

**shows**  $pderivs-lang\ UNIV1\ (Times\ r1\ r2) \subseteq Times\ (pderivs-lang\ UNIV1\ r1)\ r2 \cup pderivs-lang\ UNIV1\ r2$

*<proof>*

**lemma** *pderivs-Star*:

**assumes**  $a: s \neq []$

**shows**  $pderivs\ s\ (Star\ r) \subseteq Times\ (pderivs-lang\ (PSuf\ s)\ r)\ (Star\ r)$

*<proof>*

**lemma** *pderivs-lang-Star*:  
**shows** *pderivs-lang UNIV1 (Star r)  $\subseteq$  Timess (pderivs-lang UNIV1 r) (Star r)*  
 $\langle$ *proof* $\rangle$

**lemma** *finite-Timess [simp]*:  
**assumes** *a: finite A*  
**shows** *finite (Timess A r)*  
 $\langle$ *proof* $\rangle$

**lemma** *finite-pderivs-lang-UNIV1*:  
**shows** *finite (pderivs-lang UNIV1 r)*  
 $\langle$ *proof* $\rangle$

**lemma** *pderivs-lang-UNIV*:  
**shows** *pderivs-lang UNIV r = pderivs [] r  $\cup$  pderivs-lang UNIV1 r*  
 $\langle$ *proof* $\rangle$

**lemma** *finite-pderivs-lang-UNIV*:  
**shows** *finite (pderivs-lang UNIV r)*  
 $\langle$ *proof* $\rangle$

**lemma** *finite-pderivs-lang*:  
**shows** *finite (pderivs-lang A r)*  
 $\langle$ *proof* $\rangle$

The following relationship between the alphabetic width of regular expressions (called *awidth* below) and the number of partial derivatives was proved by Antimirov [1] and formalized by Max Haslbeck.

**fun** *awidth* :: '*a rexp*  $\Rightarrow$  *nat* **where**  
*awidth Zero = 0* |  
*awidth One = 0* |  
*awidth (Atom a) = 1* |  
*awidth (Plus r1 r2) = awidth r1 + awidth r2* |  
*awidth (Times r1 r2) = awidth r1 + awidth r2* |  
*awidth (Star r1) = awidth r1*

**lemma** *card-Timess-pderivs-lang-le*:  
**card (Timess (pderivs-lang A r) s)  $\leq$  card (pderivs-lang A r)**  
 $\langle$ *proof* $\rangle$

**lemma** *card-pderivs-lang-UNIV1-le-awidth*: **card (pderivs-lang UNIV1 r)  $\leq$  awidth r**  
 $\langle$ *proof* $\rangle$

Antimirov's Theorem 3.4:

**theorem** *card-pderivs-lang-UNIV-le-awidth*: **card (pderivs-lang UNIV r)  $\leq$  awidth r + 1**  
 $\langle$ *proof* $\rangle$

Antimirov's Corollary 3.5:

**corollary** *card-pderiv-lang-le-awidth*:  $\text{card}(\text{pderiv-lang } A \ r) \leq \text{awidth } r + 1$   
*<proof>*

**end**

## 9 Deciding Regular Expression Equivalence (2)

**theory** *pEquivalence-Checking*  
**imports** *Equivalence-Checking Derivatives*  
**begin**

Similar to theory *Regular-Sets.Equivalence-Checking*, but with partial derivatives instead of derivatives.

Lifting many notions to sets:

**definition** *Lang*  $R == \text{UN } r:R. \text{lang } r$

**definition** *Nullable*  $R == \text{EX } r:R. \text{nullable } r$

**definition** *Pderiv*  $a \ R == \text{UN } r:R. \text{pderiv } a \ r$

**definition** *Atoms*  $R == \text{UN } r:R. \text{atoms } r$

**lemma** *Atoms-pderiv*:  $\text{Atoms}(\text{pderiv } a \ r) \subseteq \text{atoms } r$   
*<proof>*

**lemma** *Atoms-Pderiv*:  $\text{Atoms}(\text{Pderiv } a \ R) \subseteq \text{Atoms } R$   
*<proof>*

**lemma** *pderiv-no-occurrence*:  
 $x \notin \text{atoms } r \implies \text{pderiv } x \ r = \{\}$   
*<proof>*

**lemma** *Pderiv-no-occurrence*:  
 $x \notin \text{Atoms } R \implies \text{Pderiv } x \ R = \{\}$   
*<proof>*

**lemma** *Deriv-Lang*:  $\text{Deriv } c \ (\text{Lang } R) = \text{Lang} \ (\text{Pderiv } c \ R)$   
*<proof>*

**lemma** *Nullable-pderiv[simp]*:  $\text{Nullable}(\text{pderivs } w \ r) = (w : \text{lang } r)$   
*<proof>*

**type-synonym** *'a Rexp-pair* = *'a rexp set \* 'a rexp set*

**type-synonym** *'a Rexp-pairs* = *'a Rexp-pair list*

**definition** *is-Bisimulation* :: *'a list*  $\Rightarrow$  *'a Rexp-pairs*  $\Rightarrow$  *bool*  
**where**

*is-Bisimulation as ps* =  
 $(\forall (R,S) \in \text{set } ps. \text{Atoms } R \cup \text{Atoms } S \subseteq \text{set } as \wedge$   
 $(\text{Nullable } R \longleftrightarrow \text{Nullable } S) \wedge$   
 $(\forall a \in \text{set } as. (Pderiv\ a\ R, Pderiv\ a\ S) \in \text{set } ps))$

**lemma** *Bisim-Lang-eq*:  
**assumes** *Bisim*: *is-Bisimulation as ps*  
**assumes**  $(R, S) \in \text{set } ps$   
**shows**  $\text{Lang } R = \text{Lang } S$   
 $\langle \text{proof} \rangle$

## 9.1 Closure computation

**fun** *test* ::  $'a\ \text{Rexp-pairs} * 'a\ \text{Rexp-pairs} \Rightarrow \text{bool}$  **where**  
*test*  $(ws, ps) = (\text{case } ws \text{ of } [] \Rightarrow \text{False} \mid (R,S)\#- \Rightarrow \text{Nullable } R = \text{Nullable } S)$

**fun** *step* ::  $'a\ \text{list} \Rightarrow$   
 $'a\ \text{Rexp-pairs} * 'a\ \text{Rexp-pairs} \Rightarrow 'a\ \text{Rexp-pairs} * 'a\ \text{Rexp-pairs}$   
**where** *step as*  $(ws, ps) =$   
 $(\text{let}$   
 $(R,S) = \text{hd } ws;$   
 $ps' = (R,S) \# ps;$   
 $\text{succs} = \text{map } (\lambda a. (Pderiv\ a\ R, Pderiv\ a\ S))\ as;$   
 $\text{new} = \text{filter } (\lambda p. p \notin \text{set } ps \cup \text{set } ws)\ \text{succs}$   
 $\text{in } (\text{remdups } \text{new} \ @\ \text{tl } ws, ps'))$

**definition** *closure* ::  
 $'a\ \text{list} \Rightarrow 'a\ \text{Rexp-pairs} * 'a\ \text{Rexp-pairs}$   
 $\Rightarrow ('a\ \text{Rexp-pairs} * 'a\ \text{Rexp-pairs})\ \text{option}$  **where**  
*closure as*  $= \text{while-option } \text{test} (\text{step } as)$

**definition** *pre-Bisim* ::  $'a\ \text{list} \Rightarrow 'a\ \text{rexp set} \Rightarrow 'a\ \text{rexp set} \Rightarrow$   
 $'a\ \text{Rexp-pairs} * 'a\ \text{Rexp-pairs} \Rightarrow \text{bool}$

**where**  
*pre-Bisim as R S*  $= (\lambda (ws, ps).$   
 $((R,S) \in \text{set } ws \cup \text{set } ps) \wedge$   
 $(\forall (R,S) \in \text{set } ws \cup \text{set } ps. \text{Atoms } R \cup \text{Atoms } S \subseteq \text{set } as) \wedge$   
 $(\forall (R,S) \in \text{set } ps. (\text{Nullable } R \longleftrightarrow \text{Nullable } S) \wedge$   
 $(\forall a \in \text{set } as. (Pderiv\ a\ R, Pderiv\ a\ S) \in \text{set } ps \cup \text{set } ws)))$

**lemma** *step-set-eq*:  $\llbracket \text{test } (ws, ps); \text{step } as (ws, ps) = (ws', ps') \rrbracket$   
 $\implies \text{set } ws' \cup \text{set } ps' =$   
 $\text{set } ws \cup \text{set } ps$   
 $\cup (\bigcup a \in \text{set } as. \{(Pderiv\ a\ (\text{fst}(\text{hd } ws)), Pderiv\ a\ (\text{snd}(\text{hd } ws))\})$   
 $\langle \text{proof} \rangle$

**theorem** *closure-sound*:  
**assumes** *result*:  $\text{closure } as ((R,S), []) = \text{Some}([], ps)$   
**and** *atoms*:  $\text{Atoms } R \cup \text{Atoms } S \subseteq \text{set } as$

**shows**  $Lang R = Lang S$   
 $\langle proof \rangle$

## 9.2 The overall procedure

**definition**  $check\text{-}eqv :: 'a\ rexp \Rightarrow 'a\ rexp \Rightarrow bool$   
**where**

$check\text{-}eqv\ r\ s =$   
 $(case\ closure\ (add\text{-}atoms\ r\ (add\text{-}atoms\ s\ []))\ ([(\{r\},\ \{s\})],\ [])\ of$   
 $\quad Some([],-) \Rightarrow True \mid - \Rightarrow False)$

**lemma** *soundness*: **assumes**  $check\text{-}eqv\ r\ s$  **shows**  $lang\ r = lang\ s$   
 $\langle proof \rangle$

Test:

**lemma** *check-eqv*  
 $(Plus\ One\ (Times\ (Atom\ 0)\ (Star\ (Atom\ 0))))$   
 $(Star\ (Atom\ (0::nat)))$   
 $\langle proof \rangle$

## 9.3 Termination and Completeness

**definition**  $PDERIVS :: 'a\ rexp\ set \Rightarrow 'a\ rexp\ set\ where$   
 $PDERIVS\ R = (UN\ r:R.\ pderivs\text{-}lang\ UNIV\ r)$

**lemma** *PDERIVS-incr[simp]*:  $R \subseteq PDERIVS\ R$   
 $\langle proof \rangle$

**lemma** *Pderiv-PDERIVS*: **assumes**  $R' \subseteq PDERIVS\ R$  **shows**  $Pderiv\ a\ R' \subseteq$   
 $PDERIVS\ R$   
 $\langle proof \rangle$

**lemma** *finite-PDERIVS*:  $finite\ R \implies finite(PDERIVS\ R)$   
 $\langle proof \rangle$

**lemma** *closure-Some*: **assumes**  $finite\ R0\ finite\ S0$  **shows**  $\exists p.\ closure\ as\ ([(\{R0\},\ \{S0\})],\ [])$   
 $=\ Some\ p$   
 $\langle proof \rangle$

**theorem** *closure-Some-Inv*: **assumes**  $closure\ as\ ([(\{r\},\ \{s\})],\ []) = Some\ p$   
**shows**  $\forall (R,S) \in set(fst\ p).\ \exists w.\ R = pderivs\ w\ r \wedge S = pderivs\ w\ s$  (**is**  $?Inv\ p$ )  
 $\langle proof \rangle$

**lemma** *closure-complete*: **assumes**  $lang\ r = lang\ s$   
**shows**  $EX\ bs.\ closure\ as\ ([(\{r\},\ \{s\})],\ []) = Some([],bs)$  (**is**  $?C$ )  
 $\langle proof \rangle$

**corollary** *completeness*:  $lang\ r = lang\ s \implies check\text{-}eqv\ r\ s$   
 $\langle proof \rangle$

end

## 10 Extended Regular Expressions

**theory** *Regular-Exp2*  
**imports** *Regular-Set*  
**begin**

**datatype** (*atoms*: 'a) *rexp* =  
  *is-Zero*: Zero |  
  *is-One*: One |  
  Atom 'a |  
  Plus ('a *rexp*) ('a *rexp*) |  
  Times ('a *rexp*) ('a *rexp*) |  
  Star ('a *rexp*) |  
  Not ('a *rexp*) |  
  Inter ('a *rexp*) ('a *rexp*)

**context**  
**fixes** *S* :: 'a set  
**begin**

**primrec** *lang* :: 'a *rexp* => 'a *lang* **where**  
*lang* Zero = {} |  
*lang* One = {[]} |  
*lang* (Atom *a*) = {[*a*]} |  
*lang* (Plus *r s*) = (*lang r*) Un (*lang s*) |  
*lang* (Times *r s*) = conc (*lang r*) (*lang s*) |  
*lang* (Star *r*) = star(*lang r*) |  
*lang* (Not *r*) = lists *S* - *lang r* |  
*lang* (Inter *r s*) = (*lang r* Int *lang s*)

end

**lemma** *lang-subset-lists*: *atoms r*  $\subseteq$  *S*  $\implies$  *lang S r*  $\subseteq$  lists *S*  
{*proof*}

**primrec** *nullable* :: 'a *rexp*  $\Rightarrow$  bool **where**  
*nullable* Zero = False |  
*nullable* One = True |  
*nullable* (Atom *c*) = False |  
*nullable* (Plus *r1 r2*) = (*nullable r1*  $\vee$  *nullable r2*) |  
*nullable* (Times *r1 r2*) = (*nullable r1*  $\wedge$  *nullable r2*) |  
*nullable* (Star *r*) = True |  
*nullable* (Not *r*) = ( $\neg$  (*nullable r*)) |  
*nullable* (Inter *r s*) = (*nullable r*  $\wedge$  *nullable s*)

**lemma** *nullable-iff*: *nullable r*  $\longleftrightarrow$  []  $\in$  *lang S r*  
{*proof*}



end

## 11 Deciding Equivalence of Extended Regular Expressions

```
theory Equivalence-Checking2
imports Regular-Exp2 HOL-Library.While-Combinator
begin
```

### 11.1 Term ordering

```
fun le-rexp :: nat rexp ⇒ nat rexp ⇒ bool
where
  le-rexp Zero - = True
| le-rexp - Zero = False
| le-rexp One - = True
| le-rexp - One = False
| le-rexp (Atom a) (Atom b) = (a <= b)
| le-rexp (Atom -) - = True
| le-rexp - (Atom -) = False
| le-rexp (Star r) (Star s) = le-rexp r s
| le-rexp (Star -) - = True
| le-rexp - (Star -) = False
| le-rexp (Not r) (Not s) = le-rexp r s
| le-rexp (Not -) - = True
| le-rexp - (Not -) = False
| le-rexp (Plus r r') (Plus s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Plus - -) - = True
| le-rexp - (Plus - -) = False
| le-rexp (Times r r') (Times s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Times - -) - = True
| le-rexp - (Times - -) = False
| le-rexp (Inter r r') (Inter s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
```

### 11.2 Normalizing operations

associativity, commutativity, idempotence, zero

```
fun nPlus :: nat rexp ⇒ nat rexp ⇒ nat rexp
where
  nPlus Zero r = r
| nPlus r Zero = r
| nPlus (Plus r s) t = nPlus r (nPlus s t)
| nPlus r (Plus s t) =
  (if r = s then (Plus s t)
```

```

    else if le-rexp r s then Plus r (Plus s t)
    else Plus s (nPlus r t)
| nPlus r s =
  (if r = s then r
   else if le-rexp r s then Plus r s
   else Plus s r)

```

**lemma** lang-nPlus[simp]: lang  $S$  (nPlus r s) = lang  $S$  (Plus r s)  
 ⟨proof⟩

associativity, zero, one

```

fun nTimes :: nat rexp ⇒ nat rexp ⇒ nat rexp
where
  nTimes Zero - = Zero
| nTimes - Zero = Zero
| nTimes One r = r
| nTimes r One = r
| nTimes (Times r s) t = Times r (nTimes s t)
| nTimes r s = Times r s

```

**lemma** lang-nTimes[simp]: lang  $S$  (nTimes r s) = lang  $S$  (Times r s)  
 ⟨proof⟩

more optimisations:

```

fun nInter :: nat rexp ⇒ nat rexp ⇒ nat rexp
where
  nInter Zero - = Zero
| nInter - Zero = Zero
| nInter r s = Inter r s

```

**lemma** lang-nInter[simp]: lang  $S$  (nInter r s) = lang  $S$  (Inter r s)  
 ⟨proof⟩

```

primrec norm :: nat rexp ⇒ nat rexp
where

```

```

  norm Zero = Zero
| norm One = One
| norm (Atom a) = Atom a
| norm (Plus r s) = nPlus (norm r) (norm s)
| norm (Times r s) = nTimes (norm r) (norm s)
| norm (Star r) = Star (norm r)
| norm (Not r) = Not (norm r)
| norm (Inter r1 r2) = nInter (norm r1) (norm r2)

```

**lemma** lang-norm[simp]: lang  $S$  (norm r) = lang  $S$  r  
 ⟨proof⟩

### 11.3 Derivative

```

primrec nderiv :: nat ⇒ nat rexp ⇒ nat rexp

```

**where**

$nderiv - Zero = Zero$   
|  $nderiv - One = Zero$   
|  $nderiv a (Atom b) = (if a = b then One else Zero)$   
|  $nderiv a (Plus r s) = nPlus (nderiv a r) (nderiv a s)$   
|  $nderiv a (Times r s) =$   
     $(let r's = nTimes (nderiv a r) s$   
       $in if nullable r then nPlus r's (nderiv a s) else r's)$   
|  $nderiv a (Star r) = nTimes (nderiv a r) (Star r)$   
|  $nderiv a (Not r) = Not (nderiv a r)$   
|  $nderiv a (Inter r1 r2) = nInter (nderiv a r1) (nderiv a r2)$

**lemma** *lang-nderiv*:  $a:S \implies lang S (nderiv a r) = Deriv a (lang S r)$   
*<proof>*

**lemma** *atoms-nPlus[simp]*:  $atoms (nPlus r s) = atoms r \cup atoms s$   
*<proof>*

**lemma** *atoms-nTimes*:  $atoms (nTimes r s) \subseteq atoms r \cup atoms s$   
*<proof>*

**lemma** *atoms-nInter*:  $atoms (nInter r s) \subseteq atoms r \cup atoms s$   
*<proof>*

**lemma** *atoms-norm*:  $atoms (norm r) \subseteq atoms r$   
*<proof>*

**lemma** *atoms-nderiv*:  $atoms (nderiv a r) \subseteq atoms r$   
*<proof>*

## 11.4 Bisimulation between languages and regular expressions

**context**

**fixes**  $S :: 'a set$

**begin**

**coinductive** *bisimilar* ::  $'a lang \Rightarrow 'a lang \Rightarrow bool$  **where**

$K \subseteq lists S \implies L \subseteq lists S$

$\implies (\[] \in K \longleftrightarrow \[] \in L)$

$\implies (\bigwedge x. x:S \implies bisimilar (Deriv x K) (Deriv x L))$

$\implies bisimilar K L$

**lemma** *equal-if-bisimilar*:

**assumes**  $K \subseteq lists S$   $L \subseteq lists S$  *bisimilar*  $K L$  **shows**  $K = L$

*<proof>*

**lemma** *language-coinduct*:

**fixes**  $R$  (**infixl**  $\sim$  50)

**assumes**  $\bigwedge K L. K \sim L \implies K \subseteq lists S \wedge L \subseteq lists S$

**assumes**  $K \sim L$   
**assumes**  $\bigwedge K L. K \sim L \implies (\square \in K \longleftrightarrow \square \in L)$   
**assumes**  $\bigwedge K L x. K \sim L \implies x : S \implies \text{Deriv } x \ K \sim \text{Deriv } x \ L$   
**shows**  $K = L$   
 $\langle \text{proof} \rangle$   
**end**

**type-synonym**  $\text{rexp-pair} = \text{nat rexp} * \text{nat rexp}$   
**type-synonym**  $\text{rexp-pairs} = \text{rexp-pair list}$

**definition**  $\text{is-bisimulation} :: \text{nat list} \Rightarrow \text{rexp-pairs} \Rightarrow \text{bool}$   
**where**  
 $\text{is-bisimulation as ps} =$   
 $(\forall (r,s) \in \text{set ps}. (\text{atoms } r \cup \text{atoms } s \subseteq \text{set as}) \wedge (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$   
 $(\forall a \in \text{set as}. (\text{nderiv } a \ r, \text{nderiv } a \ s) \in \text{set ps}))$

**lemma**  $\text{bisim-lang-eq}$ :  
**assumes**  $\text{bisim}: \text{is-bisimulation as ps}$   
**assumes**  $(r, s) \in \text{set ps}$   
**shows**  $\text{lang } (\text{set as}) \ r = \text{lang } (\text{set as}) \ s$   
 $\langle \text{proof} \rangle$

## 11.5 Closure computation

**fun**  $\text{test} :: \text{rexp-pairs} * \text{rexp-pairs} \Rightarrow \text{bool}$   
**where**  $\text{test } (ws, ps) = (\text{case } ws \text{ of } \square \Rightarrow \text{False} \mid (p,q)\# \Rightarrow \text{nullable } p = \text{nullable } q)$

**fun**  $\text{step} :: \text{nat list} \Rightarrow \text{rexp-pairs} * \text{rexp-pairs} \Rightarrow \text{rexp-pairs} * \text{rexp-pairs}$   
**where**  $\text{step as } (ws, ps) =$   
 $(\text{let}$   
 $(r, s) = \text{hd } ws;$   
 $ps' = (r, s) \# ps;$   
 $\text{succs} = \text{map } (\lambda a. (\text{nderiv } a \ r, \text{nderiv } a \ s)) \ \text{as};$   
 $\text{new} = \text{filter } (\lambda p. p \notin \text{set } ps' \cup \text{set } ws) \ \text{succs}$   
 $\text{in } (\text{new } @ \ \text{tl } ws, ps'))$

**definition**  $\text{closure} ::$   
 $\text{nat list} \Rightarrow \text{rexp-pairs} * \text{rexp-pairs}$   
 $\Rightarrow (\text{rexp-pairs} * \text{rexp-pairs}) \ \text{option}$  **where**  
 $\text{closure as} = \text{while-option test } (\text{step as})$

**definition**  $\text{pre-bisim} :: \text{nat list} \Rightarrow \text{nat rexp} \Rightarrow \text{nat rexp} \Rightarrow$   
 $\text{rexp-pairs} * \text{rexp-pairs} \Rightarrow \text{bool}$   
**where**  
 $\text{pre-bisim as } r \ s = (\lambda (ws, ps).$   
 $((r, s) \in \text{set } ws \cup \text{set } ps) \wedge$

$(\forall (r,s) \in \text{set } ws \cup \text{set } ps. \text{atoms } r \cup \text{atoms } s \subseteq \text{set } as) \wedge$   
 $(\forall (r,s) \in \text{set } ps. (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$   
 $(\forall a \in \text{set } as. (\text{nderiv } a \ r, \text{nderiv } a \ s) \in \text{set } ps \cup \text{set } ws)))$

**theorem** *closure-sound*:

**assumes** *result*:  $\text{closure as } ([r,s], []) = \text{Some}([], ps)$

**and** *atoms*:  $\text{atoms } r \cup \text{atoms } s \subseteq \text{set } as$

**shows**  $\text{lang } (\text{set } as) \ r = \text{lang } (\text{set } as) \ s$

*<proof>*

## 11.6 The overall procedure

**primrec** *add-atoms* ::  $\text{nat rexp} \Rightarrow \text{nat list} \Rightarrow \text{nat list}$

**where**

$\text{add-atoms } \text{Zero} = \text{id}$   
 $\text{add-atoms } \text{One} = \text{id}$   
 $\text{add-atoms } (\text{Atom } a) = \text{List.insert } a$   
 $\text{add-atoms } (\text{Plus } r \ s) = \text{add-atoms } s \ o \ \text{add-atoms } r$   
 $\text{add-atoms } (\text{Times } r \ s) = \text{add-atoms } s \ o \ \text{add-atoms } r$   
 $\text{add-atoms } (\text{Not } r) = \text{add-atoms } r$   
 $\text{add-atoms } (\text{Inter } r \ s) = \text{add-atoms } s \ o \ \text{add-atoms } r$   
 $\text{add-atoms } (\text{Star } r) = \text{add-atoms } r$

**lemma** *set-add-atoms*:  $\text{set } (\text{add-atoms } r \ as) = \text{atoms } r \cup \text{set } as$

*<proof>*

**definition** *check-equiv* ::  $\text{nat list} \Rightarrow \text{nat rexp} \Rightarrow \text{nat rexp} \Rightarrow \text{bool}$

**where**

$\text{check-equiv as } r \ s \longleftrightarrow \text{set}(\text{add-atoms } r \ (\text{add-atoms } s \ [])) \subseteq \text{set } as \wedge$   
 $(\text{case closure as } ([(\text{norm } r, \text{norm } s)], []) \text{ of}$   
 $\text{Some}([], -) \Rightarrow \text{True} \mid - \Rightarrow \text{False})$

**lemma** *soundness*:

**assumes** *check-equiv as r s* **shows**  $\text{lang } (\text{set } as) \ r = \text{lang } (\text{set } as) \ s$

*<proof>*

**lemma** *check-equiv [0]*  $(\text{Plus } \text{One} \ (\text{Times} \ (\text{Atom } 0) \ (\text{Star} \ (\text{Atom } 0)))) \ (\text{Star} \ (\text{Atom } 0))$

*<proof>*

**lemma** *check-equiv [0,1]*  $(\text{Not} \ (\text{Atom } 0))$

$(\text{Plus } \text{One} \ (\text{Times} \ (\text{Plus} \ (\text{Atom } 1) \ (\text{Times} \ (\text{Atom } 0) \ (\text{Plus} \ (\text{Atom } 0) \ (\text{Atom } 1))))$   
 $(\text{Star} \ (\text{Plus} \ (\text{Atom } 0) \ (\text{Atom } 1))))$

*<proof>*

**lemma** *check-equiv [0]*  $(\text{Atom } 0) \ (\text{Inter} \ (\text{Star} \ (\text{Atom } 0)) \ (\text{Atom } 0))$

*<proof>*

**end**

## References

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