Real-Time Double-Ended Queue

Balazs Toth and Tobias Nipkow Technical University of Munich

March 17, 2025

Abstract

A double-ended queue (*deque*) is a queue where one can enqueue and dequeue at both ends. We define and verify the deque implementation by Chuang and Goldberg [1]. It is purely functional and all operations run in constant time.

Contents

| 1 | Double-Ended Queue Specification | 2 |
|----|----------------------------------|-----------|
| 2 | Type Classes | 3 |
| 3 | Stack | 4 |
| 4 | Current Stack | 4 |
| 5 | Idle | 5 |
| 6 | Common | 5 |
| 7 | Bigger End of Deque | 7 |
| 8 | Smaller End of Deque | 8 |
| 9 | Combining Big and Small | 9 |
| 10 | Real-Time Deque Implementation | 10 |
| 11 | Basic Lemma Library | 24 |
| 12 | Stack Proofs | 26 |
| 13 | Idle Proofs | 28 |
| 14 | Current Proofs | 29 |

| 15 Common Proofs | 31 |
|-----------------------|----|
| 16 Big Proofs | 41 |
| 17 Small Proofs | 48 |
| 18 Big + Small Proofs | 53 |
| 19 Dequeue Proofs | 79 |
| 20 Enqueue Proofs | 89 |
| 21 Top-Level Proof | 96 |

1 Double-Ended Queue Specification

theory Deque imports Main begin

Model-oriented specification in terms of an abstraction function to a list.

```
locale Deque =
fixes empty :: 'q
fixes enqL :: 'a \Rightarrow 'q \Rightarrow 'q
fixes enqR :: 'a \Rightarrow 'q \Rightarrow 'q
fixes firstL :: 'q \Rightarrow 'a
fixes first R :: 'q \Rightarrow 'a
fixes deqL :: 'q \Rightarrow 'q
fixes deqR :: 'q \Rightarrow 'q
fixes is-empty :: 'q \Rightarrow bool
fixes listL :: 'q \Rightarrow 'a \ list
fixes invar :: 'q \Rightarrow bool
assumes list-empty:
 listL \ empty = []
assumes list-enqL:
 invar q \Longrightarrow listL(enqL \ x \ q) = x \ \# \ listL \ q
assumes list-enqR:
 invar \ q \implies rev \ (listL \ (enqR \ x \ q)) = x \ \# \ rev \ (listL \ q)
assumes list-deqL:
 \llbracket \textit{invar } q; \neg \textit{ listL } q = \llbracket \rrbracket \implies \textit{listL}(\textit{deqL } q) = \textit{tl}(\textit{listL } q)
assumes list-deqR:
 \llbracket invar \ q; \neg \ rev \ (listL \ q) = \llbracket \rrbracket \implies rev \ (listL \ (deqR \ q)) = tl \ (rev \ (listL \ q))
assumes list-firstL:
 \llbracket invar \ q; \neg \ listL \ q = \llbracket \rrbracket \implies firstL \ q = hd(listL \ q)
assumes list-firstR:
```

 $\llbracket invar \ q; \neg \ rev \ (listL \ q) = \llbracket \rrbracket \implies firstR \ q = hd(rev(listL \ q))$

```
assumes list-is-empty:

invar q \implies is-empty q = (listL \ q = [])

assumes invar-empty:

invar empty
```

```
assumes invar-enqL:

invar q \implies invar(enqL \ x \ q)

assumes invar-enqR:

invar q \implies invar(enqR \ x \ q)

assumes invar-deqL:

[[invar q; \neg is-empty q]] \implies invar(deqL q)

assumes invar-deqR:

[[invar q; \neg is-empty q]] \implies invar(deqR q)

begin
```

```
abbreviation listR :: 'q \Rightarrow 'a \ list where
listR \ deque \equiv rev \ (listL \ deque)
```

```
\mathbf{end}
```

 \mathbf{end}

2 Type Classes

theory Type-Classes imports Main begin

Overloaded functions:

class is-empty = fixes is-empty :: 'a \Rightarrow bool

class invar =fixes $invar :: 'a \Rightarrow bool$

class size-new = fixes size-new :: $'a \Rightarrow nat$

class step =fixes $step :: 'a \Rightarrow 'a$

class remaining-steps = fixes remaining-steps :: $a \Rightarrow nat$

3 Stack

 \mathbf{end}

theory Stack imports Type-Classes begin

A datatype encapsulating two lists. Is used as a base data-structure in different places. It has the operations *push*, *pop* and *first*.

datatype (plugins del: size) 'a stack = Stack 'a list 'a list

fun push :: $a \Rightarrow a \operatorname{stack} \Rightarrow a \operatorname{stack} \operatorname{where}$ push x (Stack left right) = Stack (x#left) right

fun first :: 'a stack \Rightarrow 'a where first (Stack (x#left) right) = x | first (Stack [] (x#right)) = x

instantiation *stack* ::(*type*) *is-empty* **begin**

fun is-empty-stack where
 is-empty-stack (Stack [] []) = True
 is-empty-stack - = False

instance.. end

end

4 Current Stack

theory Current imports Stack begin

This data structure is composed of:

- the newly added elements to one end of a deque during the rebalancing phase
- the number of these newly added elements

- the originally contained elements
- the number of elements which will be contained after the rebalancing is finished.

datatype (plugins del: size) 'a current = Current 'a list nat 'a stack nat

fun push :: $a \Rightarrow a$ current $\Rightarrow a$ current **where** push x (Current extra added old remained) = Current (x # extra) (added + 1) old remained

fun pop :: 'a current \Rightarrow 'a * 'a current **where** pop (Current [] added old remained) = (first old, Current [] added (Stack.pop old) (remained - 1)) | pop (Current (x#xs) added old remained) = (x, Current xs (added - 1) old remained)

```
fun first :: 'a current \Rightarrow 'a where
first current = fst (pop current)
```

```
abbreviation drop-first :: 'a current \Rightarrow 'a current where
drop-first current \equiv snd (pop current)
```

 \mathbf{end}

5 Idle

theory Idle imports Stack begin

Represents the 'idle' state of one deque end. It contains a stack and its size as a natural number.

datatype (plugins del: size) 'a idle = Idle 'a stack nat

fun $push :: 'a \Rightarrow 'a \ idle \Rightarrow 'a \ idle$ **where** $<math>push x \ (Idle \ stack \ stack \ Size) = Idle \ (Stack.push \ x \ stack) \ (Suc \ stack \ Size)$

fun pop :: 'a idle \Rightarrow ('a * 'a idle) **where** pop (Idle stack stackSize) = (Stack.first stack, Idle (Stack.pop stack) (stackSize -1))

 \mathbf{end}

6 Common

theory Common imports Current Idle

begin

The last two phases of both deque ends during rebalancing:

- *Copy*: Using the *step* function the new elements of this deque end are brought back into the original order.
- *Idle*: The rebalancing of the deque end is finished.

Each phase contains a *current* state, that holds the original elements of the deque end.

datatype (plugins del: size)'a common-state = Copy 'a current 'a list 'a list nat Idle 'a current 'a idle

Functions:

push, pop: Add and remove elements using the current state.

step: Executes one step of the rebalancing, while keeping the invariant.

```
fun normalize :: 'a common-state \Rightarrow 'a common-state where
normalize (Copy current old new moved) = (
case current of Current extra added - remained \Rightarrow
if moved \geq remained
then Idle current (idle.Idle (Stack extra new) (added + moved))
else Copy current old new moved
)
```

instantiation common-state ::(type) step **begin**

```
fun step-common-state :: 'a common-state ⇒ 'a common-state where
step (Idle current idle) = Idle current idle
| step (Copy current aux new moved) = (
    case current of Current - - - remained ⇒
    normalize (
        if moved < remained
        then Copy current (tl aux) ((hd aux)#new) (moved + 1)
        else Copy current aux new moved
    )
)</pre>
```

instance.. end

fun $push :: 'a \Rightarrow 'a \ common-state \Rightarrow 'a \ common-state \ where$ $<math>push \ x \ (Idle \ current \ (idle.Idle \ stack \ stackSize)) =$ Idle (Current.push x current) (idle.Idle (Stack.push x stack) (Suc stackSize)) | push x (Copy current aux new moved) = Copy (Current.push x current) aux new moved

fun pop :: 'a common-state ⇒ 'a * 'a common-state where
 pop (Idle current idle) = (let (x, idle) = Idle.pop idle in (x, Idle (drop-first
 current) idle))
 | pop (Copy current aux new moved) =
 (first current, normalize (Copy (drop-first current) aux new moved))

 \mathbf{end}

7 Bigger End of Deque

theory Big imports Common begin

The bigger end of the deque during rebalancing can be in two phases:

- *Big1*: Using the *step* function the originally contained elements, which will be kept in this end, are reversed.
- *Big2*: Specified in theory *Common*. Is used to reverse the elements from the previous phase again to get them in the original order.

Each phase contains a *current* state, which holds the original elements of the deque end.

datatype (plugins del: size) 'a big-state = Big1 'a current 'a stack 'a list nat Big2 'a common-state

Functions:

push, pop: Add and remove elements using the current state.

step: Executes one step of the rebalancing

instantiation *big-state* ::(*type*) *step* **begin**

 $\begin{array}{l} \mathbf{fun \ step-big-state :: 'a \ big-state \Rightarrow 'a \ big-state \ \mathbf{where}} \\ step \ (Big2 \ state) = Big2 \ (step \ state)} \\ | \ step \ (Big1 \ current \ - \ aux \ 0) = Big2 \ (normalize \ (Copy \ current \ aux \ [] \ 0))} \\ | \ step \ (Big1 \ current \ big \ aux \ count) = \\ Big1 \ current \ (Stack.pop \ big) \ ((Stack.first \ big) \# aux) \ (count \ -1) \end{array}$

instance..

\mathbf{end}

fun push :: $'a \Rightarrow 'a \ big-state \Rightarrow 'a \ big-state where$ $push x (Big2 \ state) = Big2 (Common.push x \ state)$ $| push x (Big1 \ current \ big \ aux \ count) = Big1 (Current.push x \ current) \ big \ aux$ count

fun pop :: 'a big-state \Rightarrow 'a * 'a big-state **where** pop (Big2 state) = (let (x, state) = Common.pop state in (x, Big2 state)) | pop (Big1 current big aux count) = (first current, Big1 (drop-first current) big aux count)

 \mathbf{end}

8 Smaller End of Deque

theory Small imports Common begin

The smaller end of the deque during *Rebalancing* can be in one three phases:

- Small1: Using the *step* function the originally contained elements are reversed.
- Small2: Using the *step* function the newly obtained elements from the bigger end are reversed on top of the ones reversed in the previous phase.
- Small3: See theory Common. Is used to reverse the elements from the two previous phases again to get them again in the original order.

Each phase contains a *current* state, which holds the original elements of the deque end.

datatype (plugins del: size) 'a small-state =
 Small1 'a current 'a stack 'a list
 | Small2 'a current 'a list 'a stack 'a list nat
 | Small3 'a common-state

Functions:

push, pop: Add and remove elements using the current state.

step: Executes one step of the rebalancing, while keeping the invariant.

instantiation *small-state::(type) step* **begin**

```
fun step-small-state :: 'a small-state \Rightarrow 'a small-state where
  step (Small3 \ state) = Small3 \ (step \ state)
| step (Small1 current small auxS) = (
   if is-empty small
   then Small1 current small auxS
   else Small1 current (Stack.pop small) ((Stack.first small)#auxS)
  )
| step (Small2 current auxS big newS count) = (
   if is-empty big
   then Small3 (normalize (Copy current auxS newS count))
   else Small2 current auxS (Stack.pop big) ((Stack.first big)\#newS) (count + 1)
 )
instance..
\mathbf{end}
fun push :: 'a \Rightarrow 'a small-state \Rightarrow 'a small-state where
 push \ x \ (Small \ state) = Small \ (Common.push \ x \ state)
```

```
| push x (Small1 current small auxS) = Small1 (Current.push x current) small
auxS
| push x (Small2 current auxS big newS count) =
```

```
Small2 (Current.push x current) auxS big newS count
```

```
fun pop :: 'a small-state ⇒ 'a * 'a small-state where
pop (Small3 state) = (
    let (x, state) = Common.pop state
    in (x, Small3 state)
)
| pop (Small1 current small auxS) =
    (first current, Small1 (drop-first current) small auxS)
| pop (Small2 current auxS big newS count) =
    (first current, Small2 (drop-first current) auxS big newS count)
```

```
\mathbf{end}
```

9 Combining Big and Small

```
theory States
imports Big Small
begin
```

```
datatype direction = Left \mid Right
```

```
{\bf datatype} \ 'a \ states = States \ direction \ 'a \ big-state \ 'a \ small-state
```

instantiation states::(type) step **begin**

fun step-states :: 'a states \Rightarrow 'a states **where**

step (States dir (Big1 currentB big auxB 0) (Small1 currentS - auxS)) =
States dir (step (Big1 currentB big auxB 0)) (Small2 currentS auxS big [] 0)
| step (States dir left right) = States dir (step left) (step right)

instance.. end

end

10 Real-Time Deque Implementation

theory RealTimeDeque imports States begin

The real-time deque can be in the following states:

Empty: No values stored. No dequeue operation possible.

One: One element in the deque.

Two: Two elements in the deque.

Three: Three elements in the deque.

Idles: Deque with a left and a right end, fulfilling the following invariant:

- $3 * \text{size of left end} \ge \text{size of right end}$
- 3 * size of right end \geq size of left end
- Neither of the ends is empty
- *Rebal*: Deque which violated the invariant of the *Idles* state by non-balanced dequeue and enqueue operations. The invariants during in this state are:
 - The rebalancing is not done yet. The deque needs to be in *Idles* state otherwise.
 - The rebalancing is in a valid state (Defined in theory *States*)
 - The two ends of the deque are in a size window, such that after finishing the rebalancing the invariant of the *Idles* state will be met.

Functions:

is-empty: Checks if a deque is in the Empty state

- deqL': Dequeues an element on the left end and return the element and the deque without this element. If the deque is in *idle* state and the size invariant is violated either a *rebalancing* is started or if there are 3 or less elements left the respective states are used. On *rebalancing* start, six steps are executed initially. During *rebalancing* state four steps are executed and if it is finished the deque returns to *idle* state.
- deqL: Removes one element on the left end and only returns the new deque.
- *firstL*: Removes one element on the left end and only returns the element.
- enqL: Enqueues an element on the left and returns the resulting deque. Like in deqL' when violating the size invariant in *idle* state, a *rebalancing* with six initial steps is started. During *rebalancing* state four steps are executed and if it is finished the deque returns to *idle* state.
- swap: The two ends of the deque are swapped.
- deqR', deqR, firstR, enqR: Same behaviour as the left-counterparts. Implemented using the left-counterparts by swapping the deque before and after the operation.
- listL, listR: Get all elements of the deque in a list starting at the left or right end. They are needed as list abstractions for the correctness proofs.

```
datatype 'a deque =
Empty
| One 'a
| Two 'a 'a
| Three 'a 'a 'a
| Idles 'a idle 'a idle
| Rebal 'a states
```

 $\begin{array}{l} \textbf{definition} \ empty \ \textbf{where} \\ empty = Empty \end{array}$

instantiation deque::(type) is-empty begin

fun is-empty-deque :: 'a deque \Rightarrow bool where is-empty-deque Empty = True | is-empty-deque - = False

instance.. end

fun swap :: 'a deque \Rightarrow 'a deque where

 $swap \ Empty = Empty$ swap (One x) = One xswap (Two x y) = Two y xswap (Three x y z) = Three z y xswap (Idles left right) = Idles right left swap (Rebal (States Left big small)) = (Rebal (States Right big small)) swap (Rebal (States Right big small)) = (Rebal (States Left big small)) fun small-deque :: 'a list \Rightarrow 'a list \Rightarrow 'a deque where small-deque [] [] = Empty| small-deque (x # []) [] = One x| small-deque [] (x # []) = One xsmall-deque (x#[])(y#[]) = Two y xsmall-deque (x # y # []) [] = Two y xsmall-deque [] (x # y # []) = Two y xsmall-deque [] $(x \# y \# z \# []) = Three \ z \ y \ x$ small-deque (x # y # z # []) [] = Three z y xsmall-deque (x # y # []) (z # []) = Three z y xsmall-deque $(x\#[]) (y\#z\#[]) = Three \ z \ y \ x$ fun deqL' :: 'a $deque \Rightarrow$ 'a * 'a deque where deqL'(One x) = (x, Empty)deqL' (Two x y) = (x, One y) deqL' (Three x y z) = (x, Two y z) deqL' (Idles left (idle.Idle right length-right)) = (case Idle.pop left of $(x, (idle.Idle \ left \ length-left)) \Rightarrow$ if $3 * length-left \geq length-right$ then (x, Idles (idle.Idle left length-left) (idle.Idle right length-right)) else if length-left ≥ 1 thenlet length-left' = 2 * length-left + 1 inlet length-right' = length-right - length-left - 1 inlet small = Small1 (Current [] 0 left length-left') left [] inlet big = Big1 (Current [] 0 right length-right') right [] length-right' in let states = States Left big small inlet states = $(step \widehat{} 6)$ states in (x, Rebal states)elsecase right of Stack r1 $r2 \Rightarrow (x, small-deque r1 r2)$ | deqL' (Rebal (States Left big small)) = (let (x, small) = Small.pop small in

let states = (step ~4) (States Left big small) in case states of States Left (Big2 (Common.Idle - big)) (Small3 (Common.Idle - small)) \Rightarrow (x, Idles small big) $| \rightarrow (x, Rebal states)$ | deqL' (Rebal (States Right big small)) = (let (x, big) = Big.pop big inlet states = (step ~ 4) (States Right big small) in case states of States Right (Big2 (Common.Idle - big)) $(Small3 \ (Common.Idle - small)) \Rightarrow$ (x, Idles big small) $| \rightarrow (x, Rebal states)$) fun deqR' :: 'a $deque \Rightarrow$ 'a * 'a deque where deqR' deque = (let (x, deque) = deqL' (swap deque)in $(x, swap \ deque)$) fun deqL :: 'a $deque \Rightarrow$ 'a deque where $deqL \ deque = (let \ (-, \ deque) = \ deqL' \ deque \ in \ deque)$ fun deqR :: 'a $deque \Rightarrow$ 'a deque where $deqR \ deque = (let \ (-, \ deque) = \ deqR' \ deque \ in \ deque)$ fun firstL :: 'a deque \Rightarrow 'a where firstL deque = (let (x, -) = deqL' deque in x)fun first $R :: 'a \ deque \Rightarrow 'a \ where$ first R deque = (let (x, -) = deq R' deque in x) fun enqL :: 'a \Rightarrow 'a deque \Rightarrow 'a deque where $enqL \ x \ Empty = One \ x$ $enqL \ x \ (One \ y) = Two \ x \ y$ enqL x (Two y z) = Three x y zenqL x (Three a b c) = Idles (idle.Idle (Stack [x, a] []) 2) (idle.Idle (Stack [c, b])) []) 2) | enqL x (Idles left (idle.Idle right length-right)) = (case Idle.push x left of idle.Idle left length-left \Rightarrow if $3 * length-right \ge length-left$ thenIdles (idle.Idle left length-left) (idle.Idle right length-right) else

```
let length-left = length-left - length-right - 1 in
       let length-right = 2 * length-right + 1 in
       let big = Big1 (Current [] 0 left length-left) left [] length-left in
       let small = Small1 (Current [] 0 right length-right) right [] in
       let states = States Right big small in
       let states = (step \widehat{\phantom{a}} b) states in
       Rebal states
 )
| enqL x (Rebal (States Left big small)) = (
   let small = Small.push x small in
   let states = (step ~4) (States Left big small) in
   case states of
       States Left
         (Big2 (Common.Idle - big))
         (Small3 (Common.Idle - small))
        \Rightarrow Idles small big
    | \rightarrow Rebal \ states
 )
| enqL x (Rebal (States Right big small)) = (
   let big = Big.push \ x \ big in
   let states = (step ~ 4) (States Right big small) in
   case states of
       States Right
         (Big2 (Common.Idle - big))
         (Small3 (Common.Idle - small))
        \Rightarrow Idles big small
    | \rightarrow Rebal \ states
 )
fun enqR :: 'a \Rightarrow 'a \ deque \Rightarrow 'a \ deque where
  enqR \ x \ deque = (
   let \ deque = enqL \ x \ (swap \ deque)
```

in swap deque

end theory Stack-Aux imports Stack begin

The function *list* appends the two lists and is needed for the list abstraction of the deque.

fun *list* :: 'a stack \Rightarrow 'a *list* **where** *list* (Stack left right) = left @ right

instantiation *stack* ::(*type*) *size*

begin

```
fun size-stack :: 'a stack \Rightarrow nat where
 size (Stack left right) = length left + length right
instance..
end
end
theory Current-Aux
imports Current Stack-Aux
begin
   Specification functions:
 list: list abstraction for the originally contained elements of a deque end
      during transformation.
 invar: Is the stored number of newly added elements correct?
 size: The number of the originally contained elements.
 size-new: Number of elements which will be contained after the transfor-
      mation is finished.
fun list :: 'a current \Rightarrow 'a list where
 list (Current extra - old -) = extra @ (Stack-Aux.list old)
instantiation current::(type) invar
begin
fun invar-current :: 'a current \Rightarrow bool where
  invar (Current extra added - -) \leftrightarrow length extra = added
instance..
end
instantiation current::(type) size
begin
fun size-current :: 'a current \Rightarrow nat where
 size (Current - added old -) = added + size old
instance..
end
instantiation current::(type) size-new
begin
```

fun *size-new-current* :: 'a *current* \Rightarrow *nat* **where**

size-new (Current - added - remained) = added + remained

instance.. end

end theory Idle-Aux imports Idle Stack-Aux begin

fun *list* :: 'a *idle* \Rightarrow 'a *list* **where** *list* (*Idle stack* -) = *Stack-Aux.list stack*

instantiation *idle* :: (*type*) *size* begin

fun size-idle :: 'a idle \Rightarrow nat where size (Idle stack -) = size stack

instance.. end

instantiation *idle* :: (*type*) *is-empty* **begin**

fun is-empty-idle :: 'a idle \Rightarrow bool where is-empty (Idle stack -) \longleftrightarrow is-empty stack

instance.. end

instantiation *idle* ::(*type*) *invar* begin

fun invar-idle :: 'a idle \Rightarrow bool **where** invar (Idle stack stackSize) \leftrightarrow size stack = stackSize

instance.. end

end

theory Common-Aux imports Common Current-Aux Idle-Aux begin

Functions:

list: List abstraction of the elements which this end will contain after the rebalancing is finished

list-current: List abstraction of the elements currently in this deque end.

- *remaining-steps*: Returns how many steps are left until the rebalancing is finished.
- *size-new*: Returns the size, that the deque end will have after the rebalancing is finished.
- *size*: Minimum of *size-new* and the number of elements contained in the *current* state.

definition take-rev where

 $[simp]: take-rev \ n \ xs = rev \ (take \ n \ xs)$

fun list :: 'a common-state ⇒ 'a list where
 list (Idle - idle) = Idle-Aux.list idle
| list (Copy (Current extra - - remained) old new moved)
 = extra @ take-rev (remained - moved) old @ new

fun *list-current* :: 'a common-state \Rightarrow 'a *list* **where** *list-current* (*Idle current* -) = Current-Aux.list current | *list-current* (Copy current - - -) = Current-Aux.list current

instantiation *common-state*::(*type*) *invar* **begin**

fun invar-common-state :: 'a common-state \Rightarrow bool where invar (Idle current idle) \longleftrightarrow invar idle \land invar current \land size-new current = size idle \wedge take (size idle) (Current-Aux.list current) = take (size current) (Idle-Aux.list idle) | invar (Copy current aux new moved) $\leftrightarrow \in$ (case current of Current - - old remained \Rightarrow moved < remained \land moved = length new \land remained \leq length aux + moved $\land \textit{ invar current}$ \wedge take remained (Stack-Aux.list old) = take (size old) (take-rev (remained moved) aux @ new))

instance.. end

instantiation common-state::(type) size begin

fun size-common-state :: 'a common-state ⇒ nat where
 size (Idle current idle) = min (size current) (size idle)
| size (Copy current - - -) = min (size current) (size-new current)

instance.. end

instantiation common-state::(type) size-new begin

fun size-new-common-state :: 'a common-state ⇒ nat where
 size-new (Idle current -) = size-new current
| size-new (Copy current - - -) = size-new current

instance.. end

instantiation common-state::(type) remaining-steps **begin**

fun remaining-steps-common-state :: 'a common-state ⇒ nat where
remaining-steps (Idle - -) = 0
| remaining-steps (Copy (Current - - - remained) aux new moved) = remained moved

instance.. end

\mathbf{end}

theory Big-Aux imports Big Common-Aux begin

Functions:

- *size-new*: Returns the size that the deque end will have after the rebalancing is finished.
- *size*: Minimum of *size-new* and the number of elements contained in the current state.
- *remaining-steps*: Returns how many steps are left until the rebalancing is finished.
- *list*: List abstraction of the elements which this end will contain after the rebalancing is finished

list-current: List abstraction of the elements currently in this deque end.

fun *list* :: 'a *big-state* \Rightarrow 'a *list* where

```
list (Big2 common) = Common-Aux.list common
| list (Big1 (Current extra - - remained) big aux count) = (
let reversed = take-rev count (Stack-Aux.list big) @ aux in
extra @ (take-rev remained reversed)
)
```

fun list-current :: 'a big-state \Rightarrow 'a list **where** list-current (Big2 common) = Common-Aux.list-current common | list-current (Big1 current - - -) = Current-Aux.list current

instantiation *big-state* ::(*type*) *invar* begin

instance.. end

instantiation *big-state* ::(*type*) *size* begin

fun size-big-state :: 'a big-state ⇒ nat where
size (Big2 state) = size state
| size (Big1 current - - -) = min (size current) (size-new current)

instance.. end

instantiation *big-state* ::(*type*) *size-new* begin

fun size-new-big-state :: 'a big-state \Rightarrow nat where size-new (Big2 state) = size-new state | size-new (Big1 current - - -) = size-new current

instance.. end

```
instantiation big-state ::(type) remaining-steps begin
```

```
fun remaining-steps-big-state :: 'a big-state ⇒ nat where
remaining-steps (Big2 state) = remaining-steps state
| remaining-steps (Big1 (Current - - - remaining) - - count) = count + remaining
+ 1
```

instance.. end

end theory Small-Aux imports Small Common-Aux begin

Functions:

- *size-new*: Returns the size, that the deque end will have after the rebalancing is finished.
- *size*: Minimum of *size-new* and the number of elements contained in the 'current' state.
- *list*: List abstraction of the elements which this end will contain after the rebalancing is finished. The first phase is not covered, since the elements, which will be transferred from the bigger deque end are not known yet.

list-current: List abstraction of the elements currently in this deque end.

fun *list* :: 'a small-state \Rightarrow 'a *list* where

list (Small3 common) = Common-Aux.list common
| list (Small2 (Current extra - - remained) aux big new count) =
extra @ (take-rev (remained - (count + size big)) aux) @ (rev (Stack-Aux.list)

big) @ new)

fun list-current :: 'a small-state ⇒ 'a list where
 list-current (Small3 common) = Common-Aux.list-current common
 list-current (Small2 current - - - -) = Current-Aux.list current

| list-current (Small1 current - -) = Current-Aux.list current

instantiation *small-state::(type) invar* **begin**

fun invar-small-state :: 'a small-state \Rightarrow bool where invar (Small3 state) = invar state | invar (Small2 current auxS big newS count) = (

case current of Current - - old remained \Rightarrow

 $\begin{array}{l} remained = count + size \ big + size \ old \\ \land \ count = \ List.length \ newS \\ \land \ invar \ current \\ \land \ List.length \ auxS \ge size \ old \\ \land \ Stack-Aux.list \ old = \ rev \ (take \ (size \ old) \ auxS) \\) \\ | \ invar \ (Small1 \ current \ small \ auxS) = (\\ case \ current \ of \ Current \ - \ old \ remained \Rightarrow \\ invar \ current \\ \land \ remained \ge size \ old \\ \land \ size \ small + \ List.length \ auxS \ge size \ old \\ \land \ Stack-Aux.list \ old = \ rev \ (take \ (size \ old) \ (rev \ (Stack-Aux.list \ small) \ @ \ auxS)) \\) \end{array}$

instance.. end

instantiation *small-state::(type) size* **begin**

fun size-small-state :: 'a small-state ⇒ nat where
size (Small3 state) = size state
| size (Small2 current - - -) = min (size current) (size-new current)
| size (Small1 current -) = min (size current) (size-new current)

instance.. end

```
instantiation small-state::(type) size-new begin
```

```
fun size-new-small-state :: 'a small-state ⇒ nat where
  size-new (Small3 state) = size-new state
| size-new (Small2 current - - -) = size-new current
| size-new (Small1 current - -) = size-new current
```

instance.. end

end theory States-Aux imports States Big-Aux Small-Aux begin

instantiation *states::(type) remaining-steps* **begin**

fun remaining-steps-states :: 'a states \Rightarrow nat where remaining-steps (States - big small) = max

```
(remaining-steps big)
(case small of
  Small3 common ⇒ remaining-steps common
| Small2 (Current - - - remaining) - big - count ⇒ remaining - count + 1
| Small1 (Current - - - remaining) - - ⇒
      case big of Big1 currentB big auxB count ⇒ remaining + count + 2
)
```

instance.. end

fun *lists* :: 'a states \Rightarrow 'a *list* * 'a *list* where

lists (States - (Big1 currentB big auxB count) (Small1 currentS small auxS)) = (Big-Aux.list (Big1 currentB big auxB count), Small-Aux.list (Small2 currentS (take-rev count (Stack-Aux.list small) @ auxS) $((Stack.pop \frown count) big) [] 0)$ | lists (States - big small) = (Big-Aux.list big, Small-Aux.list small) **fun** *list-small-first* :: 'a states \Rightarrow 'a *list* where $list-small-first \ states = (let \ (big, \ small) = lists \ states \ in \ small \ @ \ (rev \ big))$ **fun** *list-big-first* :: 'a states \Rightarrow 'a *list* **where** $list-big-first\ states = (let\ (big,\ small) = lists\ states\ in\ big\ (rev\ small))$ **fun** *lists-current* :: 'a states \Rightarrow 'a *list* * 'a *list* **where** lists-current (States - big small) = (Big-Aux.list-current big, Small-Aux.list-current) small) **fun** *list-current-small-first* :: 'a states \Rightarrow 'a *list* **where** $list-current-small-first \ states = (let \ (big, \ small) = lists-current \ states \ in \ small \ @$ (rev big)) **fun** *list-current-big-first* :: 'a states \Rightarrow 'a *list* **where** $list-current-big-first\ states = (let\ (big,\ small) = lists-current\ states\ in\ big\ (rev$ small)) fun $listL :: 'a \ states \Rightarrow 'a \ list$ where listL (States Left big small) = list-small-first (States Left big small) | listL (States Right big small) = list-big-first (States Right big small) **instantiation** *states*::(*type*) *invar* begin **fun** invar-states :: 'a states \Rightarrow bool **where** invar (States dir big small) \leftrightarrow (invar biq

 \land invar small \land list-small-first (States dir big small) = list-current-small-first (States dir big $\begin{array}{l} small) \\ \land (case \ (big, \ small) \ of \\ (Big1 - big - count, \ Small1 \ (Current - - old \ remained) \ small -) \Rightarrow \\ size \ big - count = \ remained - size \ old \ \land \ count \geq size \ small \\ | \ (-, \ Small1 - - -) \Rightarrow \ False \\ | \ (Big1 - - - -, \ -) \Rightarrow \ False \\ | \ - \Rightarrow \ True \\)) \end{array}$

instance.. end

fun size-ok' :: 'a states \Rightarrow nat \Rightarrow bool where size-ok' (States - big small) steps $\leftrightarrow \rightarrow$ size-new small + steps + 2 \leq 3 * size-new big \land size-new big + steps + 2 \leq 3 * size-new small \land steps + 1 \leq 4 * size small \land steps + 1 \leq 4 * size big

```
abbreviation size-ok :: 'a states \Rightarrow bool where
size-ok states \equiv size-ok' states (remaining-steps states)
```

abbreviation size-small where size-small states \equiv case states of States - - small \Rightarrow size small

abbreviation size-new-small where size-new-small states \equiv case states of States - - small \Rightarrow size-new small

abbreviation size-big where size-big states \equiv case states of States - big - \Rightarrow size big

abbreviation size-new-big where size-new-big states \equiv case states of States - big - \Rightarrow size-new big

end

theory RealTimeDeque-Aux imports RealTimeDeque States-Aux begin

listL, *listR*: Get all elements of the deque in a list starting at the left or right end. They are needed as list abstractions for the correctness proofs.

 $\begin{array}{l} | \textit{listL} (\textit{Three } x \ y \ z) = [x, \ y, \ z] \\ | \textit{listL} (\textit{Idles left right}) = \textit{Idle-Aux.list left } @ (rev (\textit{Idle-Aux.list right})) \\ | \textit{listL} (\textit{Rebal states}) = \textit{States-Aux.listL states} \end{array}$

abbreviation $listR :: 'a \ deque \Rightarrow 'a \ list$ where $listR \ deque \equiv rev \ (listL \ deque)$

instantiation deque::(type) invar begin

fun invar-deque :: 'a deque \Rightarrow bool where invar Empty = True | invar (One -) = True | invar (Two - -) = True | invar (Three - - -) = True | invar (Idles left right) \longleftrightarrow invar left \land \neg is-empty left \land \neg is-empty right \land $\beta * size right \ge size left \land$ $\beta * size left \ge size right$ | invar (Rebal states) \longleftrightarrow invar states \land size-ok states \land

 $0 < remaining-steps \ states$

instance..

 \mathbf{end}

 \mathbf{end}

11 Basic Lemma Library

theory *RTD-Util* imports *Main* begin

lemma take-last-length: $[take (Suc \ 0) (rev \ xs) = [last \ xs]] \implies Suc \ 0 \le length \ xs$ by(induction xs) auto

lemma take-last: $xs \neq [] \implies take \ 1 \ (rev \ xs) = [last \ xs]$ **by**(induction xs)(auto simp: take-last-length)

lemma take-hd [simp]: $xs \neq [] \implies take (Suc \ 0) \ xs = [hd \ xs]$ by(induction xs) auto **lemma** cons-tl: $x \# xs = ys \Longrightarrow xs = tl ys$ by *auto* **lemma** cons-hd: $x \# xs = ys \Longrightarrow x = hd ys$ by auto **lemma** take-hd': $ys \neq [] \implies$ take (size ys) (x # xs) = take (Suc (size xs)) ys \implies hd ys = x $\mathbf{by}(induction \ ys)$ auto **lemma** rev-app-single: rev xs @ [x] = rev (x # xs)by *auto* **lemma** hd-drop-1 [simp]: $xs \neq [] \implies hd xs \# drop (Suc \ 0) xs = xs$ $\mathbf{by}(induction \ xs) \ auto$ **lemma** hd-drop [simp]: $n < length xs \implies hd$ (drop n xs) # drop (Suc n) xs = $drop \ n \ xs$ **by**(*induction xs*)(*auto simp: list.expand tl-drop*) **lemma** take-1: $0 < x \land 0 < y \implies$ take x xs = take y ys \implies take 1 xs = take 1 ysby (metis One-nat-def bot-nat-0.not-eq-extremum hd-take take-Suc take-eq-Nil) **lemma** last-drop-rev: $xs \neq [] \implies$ last xs # drop 1 (rev xs) = rev xsby (metis One-nat-def hd-drop-1 hd-rev rev.simps(1) rev-rev-ident) lemma Suc-min [simp]: $0 < x \implies 0 < y \implies$ Suc (min $(x - Suc \ 0) (y - Suc$ $(\theta)) = \min x y$ by auto **lemma** rev-tl-hd: $xs \neq [] \implies rev (tl xs) @ [hd xs] = rev xs$ **by** (*simp add: rev-app-single*) **lemma** app-rev: as @ rev bs = cs @ rev ds \implies bs @ rev as = ds @ rev cs by (metis rev-append rev-rev-ident) **lemma** tl-drop-2: tl (drop n xs) = drop (Suc n) xs by (simp add: drop-Suc tl-drop) lemma Suc-sub: Suc $n = m \implies n = m - 1$ by simp **lemma** length-one-hd: length $xs = 1 \implies xs = [hd xs]$ $\mathbf{by}(induction \ xs) \ auto$

end

12 Stack Proofs

theory Stack-Proof imports Stack-Aux RTD-Util begin

- **lemma** push-list [simp]: list (push x stack) = x # list stack by(cases stack) auto
- **lemma** pop-list [simp]: list (pop stack) = tl (list stack) **by**(induction stack rule: pop.induct) auto
- **lemma** first-list [simp]: \neg is-empty stack \implies first stack = hd (list stack) by(induction stack rule: first.induct) auto
- **lemma** *list-empty: list stack* = $[] \leftrightarrow$ *is-empty stack* **by**(*induction stack rule: is-empty-stack.induct*) *auto*
- **lemma** *list-not-empty: list stack* \neq [] $\leftrightarrow \neg$ *is-empty stack* **by**(*induction stack rule: is-empty-stack.induct*) *auto*
- **lemma** *list-empty-2* [*simp*]: [*list stack* \neq []; *is-empty stack*] \implies *False* **by** (*simp add*: *list-empty*)
- **lemma** *list-not-empty-2* [simp]: [*list stack* = []; \neg *is-empty stack*] \implies *False* **by** (simp add: *list-empty*)
- **lemma** *list-empty-size: list stack* = $[] \leftrightarrow size$ *stack* = 0**by**(*induction stack*) *auto*
- **lemma** *list-not-empty-size:list stack* \neq [] $\leftrightarrow 0 < size$ *stack* **by**(*induction stack*) *auto*
- **lemma** *list-empty-size-2* [*simp*]: [*list stack* \neq []; *size stack* = 0]] \implies *False* **by** (*simp* add: *list-empty-size*)
- **lemma** *list-not-empty-size-2* [*simp*]: [[*list* stack = []; 0 < size stack] \implies False **by** (simp add: list-empty-size)
- **lemma** size-push [simp]: size (push x stack) = Suc (size stack) **by**(cases stack) auto
- **lemma** size-pop [simp]: size (pop stack) = size stack Suc 0 **by**(induction stack rule: pop.induct) auto
- **lemma** size-empty: size (stack :: 'a stack) = $0 \leftrightarrow$ is-empty stack by(induction stack rule: is-empty-stack.induct) auto
- **lemma** size-not-empty: size $(stack :: 'a \ stack) > 0 \iff \neg$ is-empty stack

by(*induction stack rule: is-empty-stack.induct*) *auto*

by (*simp add: size-not-empty*)

False

lemma size-list-length [simp]: length (list stack) = size stack **by**(cases stack) auto

lemma first-pop [simp]: \neg is-empty stack \Longrightarrow first stack # list (pop stack) = list stack

by(*induction stack rule: pop.induct*) *auto*

lemma push-not-empty [simp]: $[\neg$ is-empty stack; is-empty (push x stack)] \implies False

by(*induction x stack rule: push.induct*) *auto*

lemma pop-list-length [simp]: \neg is-empty stack \implies Suc (length (list (pop stack))) = length (list stack) **by**(induction stack rule: pop.induct) auto

lemma first-take: \neg is-empty stack \implies [first stack] = take 1 (list stack) by (simp add: list-empty)

- **lemma** first-take-tl [simp]: 0 < size big $\implies (first big \# take count (tl (list big))) = take (Suc count) (list big)$ **by**(induction big rule: Stack.first.induct) auto
- **lemma** first-take-pop [simp]: $[\neg is-empty stack; 0 < x]$ \implies first stack # take $(x - Suc \ 0)$ (list (pop stack)) = take x (list stack) **by**(induction stack rule: pop.induct) (auto simp: take-Cons')

lemma [simp]: first (Stack [] []) = undefined
by (meson first.elims list.distinct(1) stack.inject)

lemma first-hd: first stack = hd (list stack)
by(induction stack rule: first.induct)(auto simp: hd-def)

- **lemma** pop-tl [simp]: list (pop stack) = tl (list stack) **by**(induction stack rule: pop.induct) auto
- lemma pop-drop: list (pop stack) = drop 1 (list stack)
 by (simp add: drop-Suc)

lemma popN-drop [simp]: list $((pop \frown n) stack) = drop n (list stack)$

by(*induction n*)(*auto simp: drop-Suc tl-drop*)

lemma popN-size [simp]: size $((pop \frown n) stack) = (size stack) - n$ **by**(induction n) auto

lemma take-first: [0 < size s1; 0 < size s2; take (size s1) (list s2) = take (size s2) (list s1)] $<math>\implies$ first s1 = first s2

by(induction s1 rule: first.induct; induction s2 rule: first.induct) auto

end

13 Idle Proofs

theory Idle-Proof imports Idle-Aux Stack-Proof begin

lemma push-list [simp]: list (push x idle) = x # list idle **by**(induction idle arbitrary: x) auto

lemma pop-list [simp]: $[\neg$ is-empty idle; pop idle = (x, idle')] \implies x # list idle' = list idle

by(*induction idle arbitrary: x*)(*auto simp: list-not-empty*)

lemma pop-list-tl [simp]:

 $[\neg is-empty \ idle; \ pop \ idle = (x, \ idle')] \implies x \ \# \ (tl \ (list \ idle)) = list \ idle$ by (induction \ idle \ arbitrary: x) (auto \ simp: \ list-not-empty)

- **lemma** pop-list-tl' [simp]: $[pop \ idle = (x, \ idle')] \implies$ list idle' = tl (list idle) by(induction idle arbitrary: x)(auto simp: drop-Suc)
- **lemma** size-push [simp]: size (push x idle) = Suc (size idle) **by**(induction idle arbitrary: x) auto

lemma size-pop [simp]: $[\neg is-empty idle; pop idle = (x, idle')] \implies$ Suc (size idle') = size idle

by(*induction idle arbitrary*: x)(*auto simp: size-not-empty*)

lemma size-pop-sub: $[pop \ idle = (x, \ idle')]] \implies$ size $idle' = size \ idle - 1$ by(induction idle arbitrary: x) auto

- **lemma** invar-push: invar idle \implies invar (push x idle) **by**(induction x idle rule: push.induct) auto
- **lemma** invar-pop: $[invar idle; pop idle = (x, idle')] \implies invar idle'$ **by**(induction idle arbitrary: x rule: pop.induct) auto

lemma size-empty: size idle = $0 \leftrightarrow is$ -empty (idle :: 'a idle)

by(*induction idle*)(*auto simp: size-empty*)

- **lemma** size-not-empty: 0 < size idle $\leftrightarrow \neg is$ -empty (idle :: 'a idle) **by**(induction idle)(auto simp: size-not-empty)
- **lemma** size-empty-2 [simp]: $[\neg is$ -empty (idle :: 'a idle); 0 = size idle]] \implies False by (simp add: size-empty)
- **lemma** size-not-empty-2 [simp]: [is-empty (idle :: 'a idle); 0 < size idle] \Longrightarrow False
 - **by** (*simp add: size-not-empty*)
- **lemma** *list-empty: list idle* = $[] \leftrightarrow$ *is-empty idle* **by**(*induction idle*)(*simp add: list-empty*)
- **lemma** *list-not-empty: list idle* \neq [] $\leftrightarrow \neg$ *is-empty idle* **by**(*induction idle*)(*simp add: list-not-empty*)
- **lemma** *list-empty-2* [*simp*]: $[list idle = []; \neg is-empty (idle :: 'a idle)] \implies$ False using *list-empty* by *blast*
- **lemma** *list-not-empty-2* [*simp*]: [[*list idle* \neq []; *is-empty* (*idle* :: 'a *idle*)]] \implies False using *list-not-empty* by *blast*
- **lemma** *list-empty-size: list idle* = $[] \leftrightarrow 0$ = *size idle* **by** (*simp* add: *list-empty size-empty*)
- **lemma** *list-not-empty-size*: *list idle* \neq [] $\leftrightarrow 0 < size$ *idle* **by** (simp add: *list-empty-size*)
- **lemma** *list-empty-size-2* [*simp*]: [*list idle* \neq []; 0 = size idle]] \implies *False* **by** (*simp add: list-empty size-empty*)
- **lemma** *list-not-empty-size-2* [*simp*]: [*list idle* = []; 0 < size idle] \implies False **by** (*simp add: list-empty-size*)

 \mathbf{end}

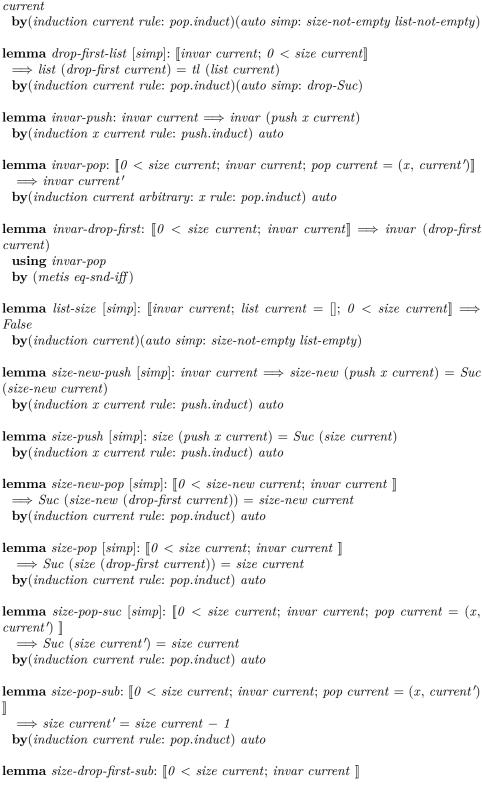
14 Current Proofs

```
theory Current-Proof
imports Current-Aux Stack-Proof
begin
```

lemma push-list [simp]: list (push x current) = x # list current by(induction x current rule: push.induct) auto

lemma pop-list [simp]:

 $\llbracket 0 < size \ current; \ invar \ current
rbracket \implies fst \ (pop \ current) \ \# \ tl \ (list \ current) = list$



 \implies size (drop-first current) = size current - 1 by(induction current rule: pop.induct) auto

 \mathbf{end}

15 Common Proofs

theory Common-Proof imports Common-Aux Idle-Proof Current-Proof begin

lemma take-rev-drop: take-rev n xs @ acc = drop (length <math>xs - n) (rev xs) @ acc unfolding take-rev-def using rev-take by blast

lemma take-rev-step: $xs \neq [] \implies take-rev \ n \ (tl \ xs) @ (hd \ xs \ \# \ acc) = take-rev (Suc \ n) \ xs @ acc$ **by**(simp add: take-Suc)

```
lemma take-rev-empty [simp]: take-rev n [] = [] by simp
```

```
lemma take-rev-tl-hd:

0 < n \Longrightarrow xs \neq [] \Longrightarrow take-rev \ n \ xs \ @ \ ys = take-rev \ (n - (Suc \ 0)) \ (tl \ xs) \ @ \ (hd \ xs \ \#ys)
```

```
by (simp add: take-rev-step del: take-rev-def)
```

lemma take-rev-nth:

 $n < length xs \implies x = xs ! n \implies x \# take-rev n xs @ ys = take-rev (Suc n)$ xs @ ys by (simp add: take-Suc-conv-app-nth)

lemma step-list [simp]: invar common \implies list (step common) = list common **proof**(induction common rule: step-common-state.induct)

case (1 idle) then show ?case by auto next

case (2 current aux new moved)

then show ?case
proof(cases current)
 case (Current extra added old remained)

with 2 have aux-not-empty: $aux \neq []$ by auto

from 2 Current show ?thesis
proof(cases remained ≤ Suc moved)
case True

```
with 2 Current have remained - length new = 1
      by auto
    with True Current 2 aux-not-empty show ?thesis
      by auto
   \mathbf{next}
    case False
    with Current show ?thesis
    by(auto simp: aux-not-empty take-rev-step Suc-diff-Suc simp del: take-rev-def)
   \mathbf{qed}
 qed
qed
lemma step-list-current [simp]: invar common \implies list-current (step common) =
list-current common
 by(cases common)(auto split: current.splits)
lemma push-list [simp]: list (push x common) = x \# list common
proof(induction x common rule: push.induct)
 case (1 x stack stackSize)
 then show ?case
   by auto
\mathbf{next}
 case (2 x current aux new moved)
 then show ?case
   by(induction x current rule: Current.push.induct) auto
qed
lemma invar-step: invar (common :: 'a common-state) \implies invar (step common)
proof(induction common rule: invar-common-state.induct)
 case (1 \ idle)
 then show ?case
   by auto
\mathbf{next}
 case (2 current aux new moved)
 then show ?case
 proof(cases current)
   case (Current extra added old remained)
   then show ?thesis
   proof(cases aux = [])
    case True
    with 2 Current show ?thesis by auto
   \mathbf{next}
    case False
    note AUX-NOT-EMPTY = False
    then show ?thesis
    proof(cases remained \leq Suc (length new))
      case True
```

```
with 2 Current False
         have take (Suc (length new)) (Stack-Aux.list old) = take (size old) (hd
aux \# new)
         by(auto simp: le-Suc-eq take-Cons')
      with 2 Current True show ?thesis
        by auto
    next
      case False
      with 2 Current AUX-NOT-EMPTY show ?thesis
        by(auto simp: take-rev-step Suc-diff-Suc simp del: take-rev-def)
    qed
   qed
 qed
qed
lemma invar-push: invar common \implies invar (push x common)
proof(induction x common rule: push.induct)
 case (1 x current stack stackSize)
 then show ?case
 proof(induction x current rule: Current.push.induct)
   case (1 x extra added old remained)
   then show ?case
   proof(induction x stack rule: Stack.push.induct)
    case (1 \ x \ left \ right)
    then show ?case by auto
   qed
 qed
\mathbf{next}
 case (2 x current aux new moved)
 then show ?case
 proof(induction x current rule: Current.push.induct)
   case (1 x extra added old remained)
   then show ?case by auto
 qed
\mathbf{qed}
lemma invar-pop:
 0 < size \ common;
 invar common;
 pop \ common = (x, \ common')
] \implies invar \ common'
proof(induction common arbitrary: x rule: pop.induct)
 case (1 current idle)
 then obtain idle' where idle: Idle.pop idle = (x, idle')
   by(auto split: prod.splits)
 obtain current' where current: drop-first current = current'
```

```
by auto
```

```
from 1 current idle show ?case
   using Idle-Proof.size-pop[of idle x idle', symmetric]
      size-new-pop[of current]
      size-pop-sub[of current - current']
   by(auto simp: Idle-Proof.invar-pop invar-pop eq-snd-iff take-tl size-not-empty)
\mathbf{next}
 case (2 current aux new moved)
 then show ?case
 proof(induction current rule: Current.pop.induct)
   case (1 added old remained)
   then show ?case
   proof(cases remained - Suc 0 \leq length new)
     case True
     with 1 have [simp]:
        \theta < size \ old
        Stack-Aux.list old \neq []
        aux \neq []
        length new = remained - Suc 0
      by(auto simp: Stack-Proof.size-not-empty Stack-Proof.list-not-empty)
     then have [simp]: Suc 0 \leq size old
      by linarith
     from 1 have 0 < remained
      by auto
     then have take remained (Stack-Aux.list old)
         = hd (Stack-Aux.list old) \# take (remained - Suc 0) (tl (Stack-Aux.list))
old))
      by (metis Suc-pred \langle Stack-Aux.list \ old \neq [] \rangle list.collapse take-Suc-Cons)
     with 1 True show ?thesis
      using Stack-Proof.pop-list[of old]
      by(auto simp: Stack-Proof.size-not-empty)
   next
     case False
     with 1 have remained -Suc \ 0 \leq length \ aux + length \ new \ by \ auto
    with 1 False show ?thesis
      using Stack-Proof.pop-list[of old]
    apply(auto simp: Suc-diff-Suc take-tl Stack-Proof.size-not-empty tl-append-if)
      by (simp add: Suc-diff-le rev-take tl-drop-2 tl-take)
   qed
  next
   case (2 x xs added old remained)
   then show ?case by auto
 qed
```

qed

```
lemma push-list-current [simp]: list-current (push x left) = x \# list-current left
 by(induction x left rule: push.induct) auto
lemma pop-list [simp]: invar common \implies 0 < size \ common \implies pop \ common =
(x, common') \Longrightarrow
  x \# list common' = list common
proof(induction common arbitrary: x rule: pop.induct)
 case 1
 then show ?case
   by(auto simp: size-not-empty split: prod.splits)
next
 case (2 current aux new moved)
 then show ?case
 proof(induction current rule: Current.pop.induct)
   case (1 added old remained)
   then show ?case
   proof(cases remained - Suc \ 0 \le length \ new)
     case True
     from 1 True have [simp]:
        aux \neq [] \ 0 < remained
        Stack-Aux.list old \neq [] remained - length new = 1
      by(auto simp: Stack-Proof.size-not-empty Stack-Proof.list-not-empty)
     then have take remained (Stack-Aux.list old) = hd aux \# take (size old –
Suc 0) new
           \implies Stack.first old = hd aux
      by (metis first-hd hd-take list.sel(1))
     with 1 True take-hd[of aux] show ?thesis
      by(auto simp: Suc-leI)
   \mathbf{next}
     {\bf case} \ {\it False}
     then show ?thesis
     proof(cases remained - length new = length aux)
      case True
      then have length-minus-1: remained -Suc (length new) = length aux -1
        by simp
      from 1 have not-empty: 0 < remained \ 0 < size \ old \ aux \neq [] \neg is-empty
old
        by(auto simp: Stack-Proof.size-not-empty)
      from 1 True not-empty have take 1 (Stack-Aux.list old) = take 1 (rev aux)
        using take-1 [of
             remained
```

size old Stack-Aux.list old (rev aux) @ take (size old + length new - remained) new $\mathbf{by}(simp)$ then have [last aux] = [Stack.first old]**using** take-last first-take not-empty by *fastforce* then have last aux = Stack.first oldby *auto* with 1 True False show ?thesis **using** *not-empty last-drop-rev*[*of aux*] **by**(*auto simp: take-rev-drop length-minus-1 simp del: take-rev-def*) next case False with 1 have a: take (remained – length new) $aux \neq []$ by *auto* from 1 False have $b: \neg$ is-empty old **by**(*auto simp: Stack-Proof.size-not-empty*) from 1 have c: remained - Suc (length new) < length aux by *auto* from 1 have not-empty: $\theta < remained$ 0 < size old $\theta < remained - length new$ $\theta < length aux$ by auto with False have take remained (Stack-Aux.list old) =take (size old) (take-rev (remained - length new) aux @ new) \implies take (Suc 0) (Stack-Aux.list old) = $take (Suc \ 0) (rev (take (remained - length new) aux))$ using take-1 [of remained size old Stack-Aux.list old (take-rev (remained - length new) aux @ new)**by**(*auto simp: not-empty Suc-le-eq*)

with 1 False have

```
take \ 1 \ (Stack-Aux.list \ old) = take \ 1 \ (rev \ (take \ (remained - length \ new))
aux))
        by auto
      then have d: [Stack.first \ old] = [last (take (remained - length \ new) \ aux)]
        using take-last first-take a b
        by metis
      have last (take (remained - length new) aux) \# rev (take (remained - Suc
(length new)) aux)
          = rev (take (remained - length new) aux)
        using Suc-diff-Suc c not-empty
        by (metis a drop-drop last-drop-rev plus-1-eq-Suc rev-take zero-less-diff)
      with 1(1) 1(3) False not-empty d show ?thesis
        \mathbf{by}(cases remained - length new = 1) (auto)
     qed
   \mathbf{qed}
 \mathbf{next}
   case 2
   then show ?case by auto
 qed
qed
lemma pop-list-current: invar common \implies 0 < size \ common \implies pop \ common =
(x, common')
  \implies x \# list-current \ common' = list-current \ common
proof(induction common arbitrary: x rule: pop.induct)
 case (1 current idle)
 then show ?case
 proof(induction idle rule: Idle.pop.induct)
   case (1 stack stackSize)
   then show ?case
   proof(induction current rule: Current.pop.induct)
     case (1 added old remained)
     then have Stack.first \ old = Stack.first \ stack
      using take-first[of old stack]
      by auto
     with 1 show ?case
      by(auto simp: Stack-Proof.size-not-empty Stack-Proof.list-not-empty)
   \mathbf{next}
     case (2 x xs added old remained)
     then have \theta < size stack
      by auto
     with Stack-Proof.size-not-empty Stack-Proof.list-not-empty
     have not-empty: \neg is-empty stack Stack-Aux.list stack \neq []
      by auto
```

```
with 2 have hd (Stack-Aux.list stack) = x
       using take-hd'[of Stack-Aux.list stack x xs @ Stack-Aux.list old]
      by auto
     with 2 show ?case
       using first-list[of stack] not-empty
       by auto
   \mathbf{qed}
  \mathbf{qed}
\mathbf{next}
  case (2 current)
  then show ?case
  proof(induction current rule: Current.pop.induct)
   case (1 added old remained)
   then have \neg is-empty old
     by(auto simp: Stack-Proof.size-not-empty)
   with 1 show ?case
     using first-pop
     by(auto simp: Stack-Proof.list-not-empty)
  \mathbf{next}
   case 2
   then show ?case by auto
  qed
qed
lemma list-current-size [simp]:
  \llbracket 0 < size \ common; \ list-current \ common = \llbracket; \ invar \ common \rrbracket \Longrightarrow False
proof(induction common rule: invar-common-state.induct)
  case 1
  then show ?case
   using list-size by auto
\mathbf{next}
  case (2 current)
  then have invar current
          Current-Aux.list \ current = []
          \theta < size \ current
   by(auto split: current.splits)
  then show ?case using list-size by auto
qed
lemma list-size [simp]: [0 < size \ common; \ list \ common = []; \ invar \ common] \implies
False
proof(induction common rule: invar-common-state.induct)
  case 1
  then show ?case
   using list-size Idle-Proof.size-empty
```

```
by auto
\mathbf{next}
 case (2 current aux new moved)
 then have invar current
         Current-Aux.list\ current = []
         \theta < size \ current
   by(auto split: current.splits)
 then show ?case using list-size by auto
qed
lemma step-size [simp]: invar (common :: 'a common-state) \implies size (step com-
mon) = size \ common
proof(induction common rule: step-common-state.induct)
 case 1
 then show ?case by auto
next
 case 2
 then show ?case
   by(auto simp: min-def split: current.splits)
qed
lemma step-size-new [simp]: invar (common :: 'a common-state)
  \implies size-new (step common) = size-new common
proof(induction common rule: step-common-state.induct)
 case (1 current idle)
 then show ?case by auto
\mathbf{next}
 case (2 current aux new moved)
 then show ?case by(auto split: current.splits)
qed
lemma remaining-steps-step [simp]: [invar (common :: 'a common-state); remain-
ing-steps common > 0
  \implies Suc (remaining-steps (step common)) = remaining-steps common
 by(induction common)(auto split: current.splits)
lemma remaining-steps-step-sub [simp]: [invar (common :: 'a common-state)]
\implies remaining-steps (step common) = remaining-steps common - 1
 by(induction common)(auto split: current.splits)
lemma remaining-steps-step-0 [simp]: [invar (common :: 'a common-state); re-
maining-steps common = 0
  \implies remaining-steps (step common) = 0
 by(induction common)(auto split: current.splits)
lemma remaining-steps-push [simp]: invar common
  \implies remaining-steps (push x common) = remaining-steps common
```

by(*induction x common rule: Common.push.induct*)(*auto split: current.splits*)

```
lemma remaining-steps-pop: [invar \ common; \ pop \ common = (x, \ common')]
 \implies remaining-steps common' \leq remaining-steps common
proof(induction common rule: pop.induct)
 case (1 current idle)
 then show ?case
 proof(induction idle rule: Idle.pop.induct)
   case 1
   then show ?case
    by(induction current rule: Current.pop.induct) auto
 qed
\mathbf{next}
 case (2 current aux new moved)
 then show ?case
   by(induction current rule: Current.pop.induct) auto
qed
lemma size-push [simp]: invar common \implies size (push x common) = Suc (size
common)
 \mathbf{by}(induction \ x \ common \ rule: \ push.induct) (auto split: current.splits)
lemma size-new-push [simp]: invar common \implies size-new (push x common) = Suc
(size-new common)
 by(induction x common rule: Common.push.induct) (auto split: current.splits)
lemma size-pop [simp]: [invar common; 0 < size common; pop common = (x, x)
common')
  \implies Suc (size common') = size common
proof(induction common rule: Common.pop.induct)
 case (1 current idle)
 then show ?case
   using size-drop-first-sub[of current] Idle-Proof.size-pop-sub[of idle]
   by(auto simp: size-not-empty split: prod.splits)
\mathbf{next}
 case (2 current aux new moved)
 then show ?case
   by(induction current rule: Current.pop.induct) auto
qed
lemma size-new-pop [simp]: [invar common; 0 < size-new common; pop common
= (x, common')
  \implies Suc (size-new common') = size-new common
proof(induction common rule: Common.pop.induct)
 case (1 current idle)
 then show ?case
   using size-new-pop[of current]
   by(auto split: prod.splits)
\mathbf{next}
 case (2 current aux new moved)
```

```
then show ?case
proof(induction current rule: Current.pop.induct)
    case (1 added old remained)
    then show ?case by auto
    next
    case (2 x xs added old remained)
    then show ?case by auto
    qed
qed
```

lemma size-size-new: $[invar (common :: 'a common-state); 0 < size common] \implies 0 < size-new common$ **by**(cases common) auto

end

16 Big Proofs

```
theory Biq-Proof
imports Big-Aux Common-Proof
begin
lemma step-list [simp]: invar big \implies list (step big) = list big
proof(induction big rule: step-big-state.induct)
 case 1
 then show ?case
   by auto
\mathbf{next}
 case 2
 then show ?case
   by(auto split: current.splits)
\mathbf{next}
 case 3
 then show ?case
   by(auto simp: rev-take take-drop drop-Suc tl-take rev-drop split: current.splits)
qed
lemma step-list-current [simp]: invar big \implies list-current (step big) = list-current
big
 by(induction big rule: step-big-state.induct)(auto split: current.splits)
lemma push-list [simp]: list (push x big) = x \# list big
proof(induction x big rule: push.induct)
 case (1 x state)
```

```
then show ?case
by auto
next
case (2 x current big aux count)
then show ?case
```

 $\mathbf{by}(induction \; x \; current \; rule: \; Current.push.induct) \; auto$ qed

lemma list-Big1: [[
 0 < size (Big1 current big aux count);
 invar (Big1 current big aux count)
]] ⇒ first current # list (Big1 (drop-first current) big aux count) =
 list (Big1 current big aux count)
proof(induction current rule: Current.pop.induct)
 case (1 added old remained)
 then have [simp]: remained - Suc 0 < length (take-rev count (Stack-Aux.list
 big) @ aux)</pre>

by(*auto simp: le-diff-conv*)

then have

 $\llbracket 0 < size \ old; \ 0 < remained; \ added = 0; \ remained - \ count \le length \ aux; \ count \le size \ big;$

 $Stack-Aux.list \ old =$ rev (take (size old - size big) aux) @ rev (take (size old) (rev (Stack-Aux.list))

big)));

take remained (rev (take (size old - size big) aux)) @

take (remained - min (length aux) (size old - size big))

(rev (take (size old) (rev (Stack-Aux.list big)))) =

rev (take (remained - count) aux) @ rev (take remained (rev (take count (Stack-Aux.list big))))]

 \implies hd (rev (take (size old - size big) aux) @ rev (take (size old) (rev (Stack-Aux.list big)))) =

(rev (take count (Stack-Aux.list big)) @ aux) ! (remained - Suc 0)

 $\mathbf{by} \ (smt \ (verit) \ Suc-pred \ hd-drop-conv-nth \ hd-rev \ hd-take \ last-snoc \ length-rev$

 $length-take\ min. absorb 2\ rev-append\ take-rev-def\ size-list-length\ take-append\ take-hd-drop)$

with 1 have [simp]: Stack.first old = (take-rev count (Stack-Aux.list big) @ aux)
! (remained - Suc 0)

by(*auto simp*: *take-hd-drop first-hd*)

```
from 1 show ?case
using take-rev-nth[of
        remained - Suc 0 take-rev count (Stack-Aux.list big) @ aux Stack.first old
[]
          ]
          by auto
next
case 2
then show ?case by auto
qed
```

lemma size-list [simp]: $[0 < size big; invar big; list big = []] \implies$ False **proof**(induction big rule: list.induct)

```
case 1
 then show ?case
   using list-size by auto
\mathbf{next}
 case 2
 then show ?case
   by (metis list.distinct(1) list-Big1)
qed
lemma pop-list [simp]: [0 < size big; invar big; Big.pop big = (x, big')]
  \implies x \ \# \ list \ big' = \ list \ big
proof(induction big arbitrary: x rule: list.induct)
 case 1
 then show ?case
   by(auto split: prod.splits)
\mathbf{next}
 case 2
 then show ?case
   by (metis Big.pop.simps(2) list-Big1 prod.inject)
qed
lemma pop-list-tl: [0 < size big; invar big; pop big = (x, big')] \implies list big' = tl
(list big)
 using pop-list cons-tl[of x list big' list big]
 by force
lemma invar-step: invar (big :: 'a big-state) \implies invar (step big)
proof(induction big rule: step-big-state.induct)
 case 1
 then show ?case
   by(auto simp: invar-step)
\mathbf{next}
 \mathbf{case}~(\textit{2 current big aux})
 then obtain extra old remained where current:
     current = Current \ extra \ (length \ extra) \ old \ remained
   by(auto split: current.splits)
 with 2 have
    current = Current \ extra \ (length \ extra) \ old \ remained;
    remained \leq length aux;
    Stack-Aux.list \ old =
     rev (take (size old - size big) aux) @ rev (take (size old) (rev (Stack-Aux.list
big)));
    take remained (rev (take (size old - size big) aux)) @
    take (remained - min (length aux) (size old - size big))
     (rev (take (size old) (rev (Stack-Aux.list big)))) =
```

```
rev (take remained aux)
   \implies remained \leq size old
   by(metis length-rev length-take min.absorb-iff2 size-list-length take-append)
 with 2 current have remained - size old = 0
   by auto
 with current 2 show ?case
   by(auto simp: take-rev-drop drop-rev)
\mathbf{next}
 case (3 current big aux count)
 then have 0 < size big
   by(auto split: current.splits)
 then have big-not-empty: Stack-Aux.list big \neq []
   by(auto simp: Stack-Proof.size-not-empty Stack-Proof.list-not-empty)
 with 3 have a:
    rev (Stack-Aux.list big) @ aux =
     rev (Stack-Aux.list (Stack.pop big)) @ Stack.first big # aux
   by(auto simp: rev-tl-hd first-hd split: current.splits)
 from 3 have 0 < size big
   by(auto split: current.splits)
 from 3 big-not-empty have
     take-rev (Suc count) (Stack-Aux.list big) @ aux =
     take-rev count (Stack-Aux.list (Stack.pop big)) @ (Stack.first big # aux)
   using take-rev-tl-hd[of Suc count Stack-Aux.list big aux]
   by(auto simp: Stack-Proof.list-not-empty split: current.splits)
 with 3 a show ?case
   by(auto split: current.splits)
qed
lemma invar-push: invar big \implies invar (push x big)
 by(induction x big rule: push.induct)(auto simp: invar-push split: current.splits)
lemma invar-pop:
 0 < size \ big;
 invar big;
 pop \ big = (x, \ big')
] \implies invar big'
proof(induction big arbitrary: x rule: pop.induct)
 case (1 \ state)
 then show ?case
   by(auto simp: invar-pop split: prod.splits)
```

 \mathbf{next}

case (2 current big aux count) then show ?case proof(induction current rule: Current.pop.induct) case (1 added old remained) have linarith: $\bigwedge x \ y \ z. \ x - y \le z \Longrightarrow x - (Suc \ y) \le z$ by linarith

have a: [[remained \leq count + length aux; 0 < remained; added = 0; x = Stack.first old;

 $big' = Big1 \ (Current \ [] \ 0 \ (Stack.pop \ old) \ (remained - Suc \ 0)) \ big \ aux$ count;

 $count \leq size \ big; \ Stack-Aux.list \ old = rev \ aux \ @ \ Stack-Aux.list \ big;$

 $take \ remained \ (rev \ aux) @ take \ (remained \ - \ length \ aux) \ (Stack-Aux.listbig) =$

 $drop \ (count + length \ aux - remained) \ (rev \ aux) \ @$

drop (count - remained) (take count (Stack-Aux.list big));

 \neg size old \leq length aux + size big]

 \implies tl (rev aux @ Stack-Aux.list big) = rev aux @ Stack-Aux.list big by (metis le-refl length-append length-rev size-list-length)

have b: [remained \leq length (take-rev count (Stack-Aux.list big) @ aux); 0 < size old;

0 < remained; added = 0;

 $x = Stack.first \ old;$

 $big' = Big1 \ (Current \ [] \ 0 \ (Stack.pop \ old) \ (remained - Suc \ 0)) \ big \ aux$

count;

 $\begin{aligned} & remained - count \leq length \ aux; \ count \leq size \ big; \\ & Stack-Aux.list \ old = \\ & drop \ (length \ aux \ - (size \ old \ - size \ big)) \ (rev \ aux) \ @} \\ & drop \ (size \ big \ - size \ old) \ (Stack-Aux.list \ big); \\ & take \ remained \ (drop \ (length \ aux \ - (size \ old \ - size \ big)) \ (rev \ aux)) \ @} \\ & take \ (remained \ + \ (length \ aux \ - (size \ old \ - size \ big)) \ - \ length \ aux) \\ & (drop \ (size \ big \ - size \ old) \ (Stack-Aux.list \ big)) = \\ & drop \ (length \ (take-rev \ count \ (Stack-Aux.list \ big)) \ @} \\ & drop \ (length \ (take-rev \ count \ (Stack-Aux.list \ big)) \ @} \\ & end{tabular} \ aux \ - \ (size \ old \ - size \ big) \ (rev \ aux) \ @} \\ & drop \ (size \ big \ - size \ old) \ (Stack-Aux.list \ big)) = \\ & drop \ (length \ aux \ - \ (size \ old \ - size \ big)) \ (rev \ aux) \ @} \\ & drop \ (size \ big \ - size \ old) \ (Stack-Aux.list \ big)) = \\ & drop \ (length \ aux \ - \ (size \ old \ - size \ big)) \ (rev \ aux) \ @} \\ & drop \ (size \ big \ - size \ old) \ (Stack-Aux.list \ big)) = \\ & drop \ (length \ aux \ - \ (size \ old \ - size \ big))) \ (rev \ aux) \ @} \\ & drop \ (size \ big \ - size \ old) \ (Stack-Aux.list \ big)) = \\ & drop \ (length \ aux \ - \ (size \ old \ - Suc \ (size \ big))) \ (rev \ aux) \ @} \\ & drop \ (Suc \ (size \ big) \ - size \ old) \ (Stack-Aux.list \ big) \\ & apply(cases \ size \ old \ - \ size \ big \ \leq length \ aux; \ cases \ size \ old \ \leq size \ big) \\ & by(auto \ simp: \ tl-drop-2 \ Suc-diff-le \ le-diff-conv \ le-reft \ a) \end{aligned}$

from 1 have remained \leq length (take-rev count (Stack-Aux.list big) @ aux) by(auto)

with 1 show ?case

apply(*auto simp*: *rev-take take-tl drop-Suc Suc-diff-le tl-drop linarith simp del*: take-rev-def)

using b

```
apply (metis (remained \leq length (take-rev count (Stack-Aux.list big) @ aux)) 
le-diff-conv rev-append rev-take take-append)
```

by (*smt* (*verit*, *del-insts*) *Nat.diff-cancel tl-append-if Suc-diff-le append-self-conv2* diff-add-inverse diff-diff-cancel diff-is-0-eq diff-le-mono drop-eq-Nil2 length-rev nle-le not-less-eq-eq plus-1-eq-Suc tl-drop-2)

```
next
  case (2 x xs added old remained)
  then show ?case by auto
  qed
qed
```

lemma push-list-current [simp]: list-current (push x big) = x # list-current big by(induction x big rule: push.induct) auto

lemma pop-list-current [simp]: [[invar big; 0 < size big; Big.pop big = (x, big')]] $\implies x \ \# \ list-current \ big' = \ list-current \ big$ proof(induction big arbitrary: x rule: pop.induct)
case (1 state)
then show ?case
by(auto simp: pop-list-current split: prod.splits)
next
case (2 current big aux count)
then show ?case
proof(induction current rule: Current.pop.induct)
case (1 added old remained)

then have

 $rev (take (size old - size big) aux) @ rev (take (size old) (rev (Stack-Aux.list big))) \neq []$ using

order-less-le-trans[of 0 size old size big] order-less-le-trans[of 0 count size big] by(auto simp: Stack-Proof.size-not-empty Stack-Proof.list-not-empty)

```
with 1 show ?case
by(auto simp: first-hd)
next
case (2 x xs added old remained)
then show ?case
by auto
qed
qed
```

```
lemma list-current-size: [[0 < size big; list-current big = []; invar big]] ⇒ False
proof(induction big rule: list-current.induct)
case 1
then show ?case
using list-current-size
by simp</pre>
```

```
next
case (2 current uu uv uw)
then show ?case
apply(cases current)
by(auto simp: Stack-Proof.size-not-empty Stack-Proof.list-empty)
qed
```

lemma step-size: invar (big :: 'a big-state) \implies size big = size (step big) by(induction big rule: step-big-state.induct)(auto split: current.splits)

lemma remaining-steps-step [simp]: [[invar (big :: 'a big-state); remaining-steps big > 0]]

 \implies Suc (remaining-steps (step big)) = remaining-steps big by(induction big rule: step-big-state.induct)(auto split: current.splits)

lemma remaining-steps-step-0 [simp]: [invar (big :: 'a big-state); remaining-steps big = 0]

 \implies remaining-steps (step big) = 0 by(induction big)(auto split: current.splits)

lemma remaining-steps-push: invar big \implies remaining-steps (push x big) = remaining-steps big

by(*induction x big rule: push.induct*)(*auto split: current.splits*)

```
lemma remaining-steps-pop: [invar big; pop big = (x, big')]

\implies remaining-steps big' \leq remaining-steps big

proof(induction big rule: pop.induct)

case (1 state)

then show ?case

by(auto simp: remaining-steps-pop split: prod.splits)

next

case (2 current big aux count)

then show ?case

by(induction current rule: Current.pop.induct) auto

qed

lemma size-push [simp]: invar big \implies size (push x big) = Suc (size big)
```

by(*induction x big rule: push.induct*)(*auto split: current.splits*)

lemma size-new-push [simp]: invar big \implies size-new (push x big) = Suc (size-new big) by(induction x big rule: Big.push.induct)(auto split: current.splits) lemma size-pop [simp]: [[invar big; 0 < size big; pop big = (x, big')]] \implies Suc (size big') = size big proof(induction big rule: pop.induct) case 1 then show ?case by(auto split: prod.splits)

```
\mathbf{next}
 case (2 current big aux count)
 then show ?case
   by(induction current rule: Current.pop.induct) auto
qed
lemma size-new-pop [simp]: [invar big; 0 < size-new big; pop big = (x, big')]
   \implies Suc (size-new big') = size-new big
proof(induction big rule: pop.induct)
 case 1
 then show ?case
   by(auto split: prod.splits)
next
 case (2 current big aux count)
 then show ?case
   by(induction current rule: Current.pop.induct) auto
qed
```

```
lemma size-size-new: [invar (big :: 'a \ big-state); \ 0 < size \ big] \implies 0 < size-new big
by(induction big)(auto simp: size-size-new)
```

 \mathbf{end}

17 Small Proofs

theory Small-Proof imports Common-Proof Small-Aux begin

lemma step-size [simp]: invar (small :: 'a small-state) \implies size (step small) = size small

by(*induction small rule: step-small-state.induct*)(*auto split: current.splits*)

lemma step-size-new [simp]:

 $invar (small :: 'a small-state) \implies size-new (step small) = size-new small$ by(induction small rule: step-small-state.induct)(auto split: current.splits)

lemma size-push [simp]: invar small \implies size (push x small) = Suc (size small) by(induction x small rule: push.induct) (auto split: current.splits)

lemma size-new-push [simp]: invar small \implies size-new (push x small) = Suc (size-new small) by(induction x small rule: push.induct) (auto split: current.splits)

lemma size-pop [simp]: [[invar small; 0 < size small; pop small = (x, small')]] $<math>\implies Suc (size small') = size small$ **proof**(induction small rule: pop.induct) **case** (1 state)

```
then show ?case
   by(auto split: prod.splits)
\mathbf{next}
 case (2 current small auxS)
 then show ?case
   using Current-Proof.size-pop[of current]
   by(induction current rule: Current.pop.induct) auto
\mathbf{next}
 case (3 current auxS big newS count)
 then show ?case
   using Current-Proof.size-pop[of current]
   by(induction current rule: Current.pop.induct) auto
\mathbf{qed}
lemma size-new-pop [simp]: [invar small; 0 < size-new small; pop small = (x, x)
small')
  \implies Suc (size-new small') = size-new small
proof(induction small rule: pop.induct)
 case (1 state)
 then show ?case
   by(auto split: prod.splits)
\mathbf{next}
 case (2 \ current \ small \ auxS)
 then show ?case
 by(induction current rule: Current.pop.induct) auto
\mathbf{next}
 case (3 current auxS big newS count)
 then show ?case
   by(induction current rule: Current.pop.induct) auto
\mathbf{qed}
lemma size-size-new: [invar (small :: 'a small-state); 0 < size small] \implies 0 <
size-new small
 by(induction small)(auto simp: size-size-new)
lemma step-list-current [simp]: invar small \implies list-current (step small) = list-current
small
 by(induction small rule: step-small-state.induct)(auto split: current.splits)
lemma step-list-common [simp]:
   [small = Small3 \ common; \ invar \ small] \implies list \ (step \ small) = list \ small
 by auto
lemma step-list-Small2 [simp]:
 assumes
   small = (Small2 \ current \ aux \ big \ new \ count)
   invar small
 shows
   list (step small) = list small
```

proof -

```
have size-not-empty: (0 < size \ big) = (\neg \ is-empty \ big)
   by (simp add: Stack-Proof.size-not-empty)
 have \neg is-empty big
   \implies rev (Stack-Aux.list (Stack.pop big)) @ [Stack.first big] = rev (Stack-Aux.list
big)
   by(induction big rule: Stack.pop.induct) auto
 with assms show ?thesis
   using Stack-Proof.size-pop[of big] size-not-empty
   by(auto simp: Stack-Proof.list-empty split: current.splits)
qed
lemma invar-step: invar (small :: 'a small-state) \implies invar (step small)
proof(induction small rule: step-small-state.induct)
 case (1 state)
 then show ?case
   by(auto simp: invar-step)
\mathbf{next}
 case (2 current small aux)
 then show ?case
 proof(cases is-empty small)
     case True
     with 2 show ?thesis
      bv auto
   \mathbf{next}
     case False
     with 2 have rev (Stack-Aux.list small) @ aux =
                rev (Stack-Aux.list (Stack.pop small)) @ Stack.first small # aux
      by(auto simp: rev-app-single Stack-Proof.list-not-empty)
     with 2 show ?thesis
      by(auto split: current.splits)
   \mathbf{qed}
\mathbf{next}
 case (3 current auxS big newS count)
 then show ?case
 proof(cases is-empty big)
   case True
   then have big-size [simp]: size big = 0
    by (simp add: Stack-Proof.size-empty)
   with True 3 show ?thesis
   proof(cases current)
     case (Current extra added old remained)
```

```
with 3 True show ?thesis
     proof(cases remained \leq count)
      \mathbf{case} \ \mathit{True}
      with 3 Current show ?thesis
        using Stack-Proof.size-empty[of big]
        by auto
     \mathbf{next}
      case False
      with True 3 Current show ?thesis
        \mathbf{by}(auto)
      qed
   qed
 next
   case False
   with 3 show ?thesis
     using Stack-Proof.size-pop[of biq]
     by(auto simp: Stack-Proof.size-not-empty split: current.splits)
 qed
qed
lemma invar-push: invar small \implies invar (push x small)
 by(induction x small rule: push.induct)(auto simp: invar-push split: current.splits)
lemma invar-pop:
 0 < size small;
 invar small;
 pop \ small = (x, \ small')
] \implies invar \ small'
proof(induction small arbitrary: x rule: pop.induct)
case (1 state)
 then show ?case
   by(auto simp: invar-pop split: prod.splits)
\mathbf{next}
 case (2 current small auxS)
 then show ?case
 proof(induction current rule: Current.pop.induct)
   case (1 added old remained)
   then show ?case
     by(cases size small < size old)
       (auto simp: rev-take Suc-diff-le drop-Suc tl-drop)
 \mathbf{next}
   case 2
   then show ?case by auto
 qed
\mathbf{next}
 case (3 current auxS big newS count)
 then show ?case
   by (induction current rule: Current.pop.induct)
      (auto simp: rev-take Suc-diff-le drop-Suc tl-drop)
```

51

\mathbf{qed}

```
lemma push-list-common [simp]: small = Small3 common \implies list (push x small)
= x \# list small
 by auto
lemma push-list-current [simp]: list-current (push x small) = x \# list-current
small
 by(induction x small rule: push.induct) auto
lemma pop-list-current [simp]: [invar small; 0 < size small; Small.pop small =
(x, small')
 \implies x \# \text{ list-current small'} = \text{list-current small}
proof(induction small arbitrary: x rule: pop.induct)
 case (1 state)
 then show ?case
   by(auto simp: pop-list-current split: prod.splits)
\mathbf{next}
 case (2 current small auxS)
 then have invar current
   by(auto split: current.splits)
 with 2 show ?case
   by auto
\mathbf{next}
 case (3 current auxS big newS count)
 then show ?case
 proof(induction current rule: Current.pop.induct)
   case (1 added old remained)
   then have \neg is-empty old
     by(auto simp: Stack-Proof.size-not-empty)
   with 1 show ?case
    by(auto simp: rev-take drop-Suc drop-tl)
 \mathbf{next}
   case 2
   then show ?case
    by auto
 qed
qed
lemma list-current-size [simp]: [0 < size small; list-current small = []; invar
small \implies False
proof(induction small)
 case (Small1 current)
 then have invar current
   by(auto split: current.splits)
 with Small1 show ?case
```

```
using Current-Proof.list-size
   by auto
\mathbf{next}
 case Small2
 then show ?case
   by(auto split: current.splits)
\mathbf{next}
 case Small3
 then show ?case
   using list-current-size by auto
qed
lemma list-Small2 [simp]:
 0 < size (Small2 current auxS big newS count);
 invar (Small2 current auxS big newS count)
] \Longrightarrow
   fst (Current.pop current) # list (Small2 (drop-first current) auxS big newS
count) =
  list (Small2 current auxS big newS count)
 by(induction current rule: Current.pop.induct)
   (auto simp: first-hd rev-take Suc-diff-le)
```

\mathbf{end}

18 Big + Small Proofs

theory States-Proof imports States-Aux Big-Proof Small-Proof begin

```
\label{eq:lemmas} lemmas state-splits = idle.splits \ common-state.splits \ small-state.splits \ big-state.splits \ lemmas \ invar-steps = Big-Proof.invar-step \ Common-Proof.invar-step \ Small-Proof.invar-step \ Small-
```

lemma invar-list-big-first: invar states \implies list-big-first states = list-current-big-first states using app-rev by(cases states)(auto split: prod.splits)

```
\begin{array}{l} \textbf{lemma step-lists [simp]: invar states \implies lists (step states) = lists states} \\ \textbf{proof}(induction states rule: lists.induct)} \\ \textbf{case (1 dir currentB big auxB count currentS small auxS)} \\ \textbf{then show ?case} \\ \textbf{proof}(induction \\ (States dir (Big1 currentB big auxB count) (Small1 currentS small auxS))} \\ rule: step-states.induct) \\ \textbf{case 1} \\ \textbf{then show ?case} \\ \textbf{by}(cases currentB) auto \\ \textbf{next} \end{array}
```

```
case (2-1 count')
   then have 0 < size big
     by(cases \ currentB) auto
   then have big-not-empty: Stack-Aux.list big \neq []
     by (simp add: Stack-Proof.size-not-empty Stack-Proof.list-empty)
   with 2-1 show ?case
     using
        take-rev-step[of Stack-Aux.list big count' auxB]
        Stack-Proof.list-empty[symmetric, of small]
     apply (cases currentB)
     by(auto simp: first-hd funpow-swap1 take-rev-step simp del: take-rev-def)
   \mathbf{qed}
next
 case (2-1 dir common small)
 then show ?case
   using step-list-Small2[of small]
   by(auto split: small-state.splits)
\mathbf{next}
 case (2-2 dir big current auxS big newS count)
 then show ?case
   using step-list-Small2 [of Small2 current auxS big newS count]
   by auto
\mathbf{next}
 case (2-3 dir big common)
 then show ?case
   by auto
qed
lemma step-lists-current [simp]:
   invar \ states \implies lists-current (step \ states) = lists-current states
 by(induction states rule: step-states.induct)(auto split: current.splits)
lemma push-big: lists (States dir big small) = (big', small')
  \implies lists (States dir (Biq.push x biq) small) = (x # biq', small')
proof(induction States dir (Big.push x big) small rule: lists.induct)
 case 1
 then show ?case
 proof(induction x big rule: Big.push.induct)
   case 1
   then show ?case
     by auto
 \mathbf{next}
   case (2 \ x \ current \ big \ aux \ count)
   then show ?case
     \mathbf{by}(cases \ current) \ auto
 qed
next
```

case 2-1 then show ?case **by**(cases big) auto qed auto lemma push-small-lists: invar (States dir big small) \implies lists (States dir big (Small.push x small)) = (big', x # small') \leftrightarrow lists (States dir big small) = (big', small')**apply**(*induction States dir big* (*Small.push x small*) *rule: lists.induct*) **by** (*auto split: current.splits small-state.splits*) lemma *list-small-big*: list-small-first (States dir big small) = list-current-small-first (States dir big $small) \longleftrightarrow$ list-big-first (States dir big small) = list-current-big-first (States dir big small) using app-rev **by**(*auto split: prod.splits*) **lemma** *list-big-first-pop-big* [*simp*]: invar (States dir big small); $0 < size \ big;$ $Big.pop \ big = (x, \ big')$ $\implies x \# \text{ list-big-first (States dir big' small)} = \text{list-big-first (States dir big small)}$ **by**(*induction States dir big small rule: lists.induct*)(*auto split: prod.splits*) **lemma** *list-current-big-first-pop-big* [*simp*]: invar (States dir big small); $0 < size \ big;$ $Big.pop \ big = (x, \ big')$ $\implies x \# list-current-big-first (States dir big' small) =$ list-current-big-first (States dir big small) by auto lemma *lists-big-first-pop-big*: invar (States dir big small); 0 < size big; $Big.pop \ big = (x, \ big')$ \implies list-big-first (States dir big' small) = list-current-big-first (States dir big' small) **by** (*metis invar-list-big-first list-big-first-pop-big list-current-big-first-pop-big list.sel*(3)) lemma *lists-small-first-pop-big*: invar (States dir big small); $0 < size \ big;$ $Big.pop \ big = (x, \ big')$ \implies list-small-first (States dir big' small) = list-current-small-first (States dir big' small)

by (meson lists-big-first-pop-big list-small-big)

```
lemma list-small-first-pop-small [simp]:
 invar (States dir big small);
  \theta < size small;
 Small.pop \ small = (x, \ small')
 \implies x \ \# \ list-small-first \ (States \ dir \ big \ small') = \ list-small-first \ (States \ dir \ big
small)
proof(induction States dir big small rule: lists.induct)
 case (1 currentB big auxB count currentS small auxS)
 then show ?case
   by(cases currentS)(auto simp: Cons-eq-appendI)
\mathbf{next}
 case (2-1 common)
 then show ?case
 proof(induction small rule: Small.pop.induct)
   case (1 common)
   then show ?case
     by(cases Common.pop common)(auto simp: Cons-eq-appendI)
 \mathbf{next}
   case 2
   then show ?case by auto
 next
   case 3
   then show ?case
     by(cases Common.pop common)(auto simp: Cons-eq-appendI)
 qed
\mathbf{next}
 case (2-2 current)
 then show ?case
   by(induction current rule: Current.pop.induct)
     (auto simp: first-hd rev-take Suc-diff-le)
\mathbf{next}
 case (2-3 common)
 then show ?case
   by(cases Common.pop common)(auto simp: Cons-eq-appendI)
qed
lemma list-current-small-first-pop-small [simp]:
 invar (States dir big small);
 \theta < size small;
 Small.pop \ small = (x, \ small')
\implies x \ \# \ list-current-small-first \ (States \ dir \ big \ small') =
    list-current-small-first (States dir big small)
 by auto
lemma lists-small-first-pop-small:
 invar (States dir big small);
 0 < size small;
```

 $Small.pop\ small = (x,\ small')$

 \implies list-small-first (States dir big small') = list-current-small-first (States dir big small')

by (metis (no-types, opaque-lifting) invar-states.simps list.sel(3) list-current-small-first-pop-small list-small-first-pop-small)

lemma invars-pop-big: [[invar (States dir big small); 0 < size big; Big.pop big = (x, big')]] \implies invar big' \land invar small **by**(auto simp: Big-Proof.invar-pop)

```
\begin{array}{l} \textbf{lemma invar-pop-big-aux: [[}\\invar (States dir big small);\\ 0 < size big;\\Big.pop big = (x, big')]]\\ \Longrightarrow (case (big', small) of\\(Big1 - big - count, Small1 (Current - old remained) small -) \Rightarrow\\size big - count = remained - size old \land count \ge size small\\| (-, Small1 - - ) \Rightarrow False\\| (Big1 - - - , -) \Rightarrow False\\| - \Rightarrow True\end{array}
```

by(*auto split: big-state.splits small-state.splits prod.splits*)

```
lemma invar-pop-big: [[
    invar (States dir big small);
    0 < size big;
    Big.pop big = (x, big')]]
    ⇒ invar (States dir big' small)
    using invars-pop-big[of dir big small x big']
        lists-small-first-pop-big[of dir big small x big']
        invar-pop-big-aux[of dir big small x big']
    by auto</pre>
```

```
lemma invars-pop-small: [[
invar (States dir big small);
0 < size small;
Small.pop small = (x, small')]]
\implies invar big \land invar small'
by(auto simp: Small-Proof.invar-pop)
```

```
lemma invar-pop-small-aux: [[
    invar (States dir big small);
    0 < size small;
    Small.pop small = (x, small')]]
    ⇒ (case (big, small') of
        (Big1 - big - count, Small1 (Current - old remained) small -) ⇒
        size big - count = remained - size old ∧ count ≥ size small</pre>
```

```
|(-, Small1 - - -) \Rightarrow False
      (Big1 - - -, -) \Rightarrow False
      - \Rightarrow True
proof(induction small rule: Small.pop.induct)
 case 1
 then show ?case
   by(auto split: big-state.splits small-state.splits prod.splits)
\mathbf{next}
  case (2 current)
 then show ?case
 proof(induction current rule: Current.pop.induct)
   case 1
   then show ?case
     by(auto split: big-state.splits)
 \mathbf{next}
   case 2
   then show ?case
     by(auto split: big-state.splits)
 qed
\mathbf{next}
 case 3
 then show ?case
   by(auto split: big-state.splits)
\mathbf{qed}
lemma invar-pop-small:
   invar (States dir big small);
   \theta < size small;
   Small.pop small = (x, small')
 ] \implies invar (States dir big small')
 using invars-pop-small[of dir big small x small']
       lists-small-first-pop-small[of dir big small x small']
       invar-pop-small-aux[of dir big small x small']
 by fastforce
lemma invar-push-big: invar (States dir big small) \implies invar (States dir (Big.push
x \ big) \ small)
proof(induction x big arbitrary: small rule: Big.push.induct)
 case 1
 then show ?case
   by(auto simp: Common-Proof.invar-push)
\mathbf{next}
 case (2 \ x \ current \ big \ aux \ count)
 then show ?case
   by(cases current)(auto split: prod.splits small-state.splits)
qed
```

lemma invar-push-small: invar (States dir big small)

 \implies invar (States dir big (Small.push x small)) **proof**(*induction x small arbitrary: big rule: Small.push.induct*) **case** (1 x state)then show ?case **by**(*auto simp: Common-Proof.invar-push split: biq-state.splits*) \mathbf{next} **case** $(2 \ x \ current \ small \ auxS)$ then show ?case **by**(*induction x current rule: Current.push.induct*)(*auto split: big-state.splits*) \mathbf{next} **case** (3 x current auxS big newS count) then show ?case **by**(*induction x current rule: Current.push.induct*)(*auto split: big-state.splits*) qed **lemma** step-invars: [invar states; step states = States dir big small] \implies invar big \land invar small **proof**(*induction states rule: step-states.induct*) **case** (1 dir currentB big' auxB currentS small' auxS) with Big-Proof.invar-step have invar (Big1 currentB big' auxB 0) by *auto* with 1 have invar-big: invar big using *Big-Proof.invar-step*[of *Big1* currentB big' auxB 0] by auto from 1 have invar-small: invar small using Stack-Proof.list-empty-size[of small'] **by**(cases currentS) auto from invar-small invar-big show ?case by simp next **case** (2-1 dir current big aux count small) then show ?case using Big-Proof.invar-step[of Big1 current big aux (Suc count)] Small-Proof.invar-step[of small] by simp \mathbf{next} case 2-2 then show ?case **by**(*auto simp: Common-Proof.invar-step Small-Proof.invar-step*) \mathbf{next} **case** (2-3 dir big current auxS big' newS count) then show ?case **using** *Big-Proof.invar-step*[*of big*] Small-Proof.invar-step[of Small2 current auxS big' newS count] by auto \mathbf{next} case 2-4

```
then show ?case
   by(auto simp: Common-Proof.invar-step Big-Proof.invar-step)
qed
lemma step-lists-small-first: invar states \Longrightarrow
  list-small-first (step states) = list-current-small-first (step states)
  using step-lists-current step-lists invar-states.elims(2)
 by fastforce
lemma invar-step-aux: invar states \Longrightarrow (case step states of
       (States - (Big1 - big - count) (Small1 (Current - - old remained) small -))
\Rightarrow
         size big - count = remained - size \ old \land \ count \ge size \ small
     |(States - - (Small1 - - -)) \Rightarrow False
      (States - (Big1 - - -)) \Rightarrow False
       - \Rightarrow True
proof(induction states rule: step-states.induct)
  case (2-1 dir current big aux count small)
  then show ?case
 proof(cases small)
   case (Small1 current small auxS)
   with 2-1 show ?thesis
     using Stack-Proof.size-empty[symmetric, of small]
     by(auto split: current.splits)
 qed auto
qed (auto split: big-state.splits small-state.splits)
lemma invar-step: invar (states :: 'a states) \implies invar (step states)
 using invar-step-aux[of states] step-lists-small-first[of states]
 by(cases step states)(auto simp: step-invars)
lemma step-consistent [simp]:
 [\![Astates. invar (states :: 'a states) \implies P (step states) = P states; invar states]\!]
  \implies P \ states = P \ ((step \ \widehat{\ n}) \ states)
 by(induction n arbitrary: states)
   (auto simp: States-Proof.invar-step funpow-swap1)
lemma step-consistent-2:
  [\Lambda states. [invar (states :: 'a states); P states]] \implies P (step states); invar states;
P states
  \implies P ((step \frown n) states)
 by(induction n arbitrary: states)
   (auto simp: States-Proof.invar-step funpow-swap1)
lemma size-ok'-Suc: size-ok' states (Suc steps) \implies size-ok' states steps
  by(induction states steps rule: size-ok'.induct) auto
lemma size-ok'-decline: size-ok' states x \Longrightarrow x \ge y \Longrightarrow size-ok' states y
```

by(*induction states x rule: size-ok'.induct*) *auto*

```
lemma remaining-steps-0 [simp]: [invar (states :: 'a states); remaining-steps states
= 0
  \implies remaining-steps (step states) = 0
 by(induction states rule: step-states.induct)
   (auto split: current.splits small-state.splits)
lemma remaining-steps-0': [invar (states :: 'a states); remaining-steps states = 0]
  \implies remaining-steps ((step \frown n) states) = 0
 by(induction n arbitrary: states)(auto simp: invar-step funpow-swap1)
lemma remaining-steps-decline-Suc:
 [invar (states :: 'a states); 0 < remaining-steps states]
    \implies Suc (remaining-steps (step states)) = remaining-steps states
proof(induction states rule: step-states.induct)
 case 1
 then show ?case
    by(auto simp: max-def split: big-state.splits small-state.splits current.splits)
\mathbf{next}
 case (2-1 - - - - small)
 then show ?case
   by(cases small)(auto split: current.splits)
\mathbf{next}
 case (2-2 dir big small)
 then show ?case
 proof(cases small)
   case (Small2 current auxS big newS count)
   with 2-2 show ?thesis
     using Stack-Proof.size-empty-2[of big]
     by(cases current) auto
 qed auto
\mathbf{next}
 case (2-3 dir big current auxS big' newS count)
 then show ?case
 proof(induction big)
   case Biq1
   then show ?case by auto
 next
   case Big2
   then show ?case
     using Stack-Proof.size-empty-2[of big']
     by(cases current) auto
 qed
\mathbf{next}
 case (2-4 - big)
 then show ?case
   by(cases big) auto
qed
```

```
lemma remaining-steps-decline-sub [simp]: invar (states :: 'a states)
    \implies remaining-steps (step states) = remaining-steps states - 1
 using Suc-sub[of remaining-steps (step states) remaining-steps states]
 by (cases \theta < remaining-steps states) (auto simp: remaining-steps-decline-Suc)
lemma remaining-steps-decline: invar (states :: 'a states)
  \implies remaining-steps (step states) \leq remaining-steps states
 using remaining-steps-decline-sub[of states] by auto
lemma remaining-steps-decline-n-steps [simp]:
 [invar (states :: 'a states); remaining-steps states \leq n]
  \implies remaining-steps ((step \frown n) states) = 0
 by(induction n arbitrary: states)(auto simp: funpow-swap1 invar-step)
lemma remaining-steps-n-steps-plus [simp]:
 [n \leq remaining-steps \ states; \ invar \ (states :: 'a \ states)]
   \implies remaining-steps ((step \frown n) states) + n = remaining-steps states
 by(induction n arbitrary: states)(auto simp: funpow-swap1 invar-step)
lemma remaining-steps-n-steps-sub [simp]: invar (states :: 'a states)
   \implies remaining-steps ((step \frown n) states) = remaining-steps states - n
 by(induction n arbitrary: states)(auto simp: funpow-swap1 invar-step)
lemma step-size-new-small [simp]:
 [invar (States dir big small); step (States dir big small) = States dir' big' small']
  \implies size-new small' = size-new small
proof(induction States dir big small rule: step-states.induct)
 case 1
 then show ?case
   by auto
\mathbf{next}
 case 2-1
 then show ?case
   by(auto split: small-state.splits)
\mathbf{next}
 case 2-2
 then show ?case
   by(auto split: small-state.splits current.splits)
\mathbf{next}
 case 2-3
 then show ?case
   by(auto split: current.splits)
\mathbf{next}
 case 2-4
 then show ?case
   by auto
qed
```

```
lemma step-size-new-small-2 [simp]:
invar \ states \implies size-new-small \ (step \ states) = size-new-small \ states
 by(cases states; cases step states) auto
lemma step-size-new-big [simp]:
[invar (States dir big small); step (States dir big small) = States dir' big' small']
  \implies size-new big' = size-new big
proof(induction States dir big small rule: step-states.induct)
 case 1
 then show ?case
   by(auto split: current.splits)
\mathbf{next}
 case 2-1
 then show ?case
   by auto
\mathbf{next}
 case 2-2
 then show ?case
   by auto
\mathbf{next}
 case 2-3
 then show ?case
   by(auto split: big-state.splits)
\mathbf{next}
 case 2-4
 then show ?case
   by(auto split: big-state.splits)
qed
lemma step-size-new-big-2 [simp]:
invar states \implies size-new-big (step states) = size-new-big states
 by(cases states; cases step states) auto
lemma step-size-small [simp]:
[invar (States dir big small); step (States dir big small) = States dir' big' small']
   \implies size small' = size small
proof(induction States dir big small rule: step-states.induct)
 case 2-3
  then show ?case
   by(auto split: current.splits)
\mathbf{qed} \ auto
lemma step-size-small-2 [simp]:
invar \ states \implies size-small \ (step \ states) = size-small \ states
 by(cases states; cases step states) auto
lemma step-size-big [simp]:
 [invar (States dir big small); step (States dir big small) = States dir' big' small']
    \implies size big' = size big
```

```
proof(induction States dir big small rule: step-states.induct)
 case 1
 then show ?case
   by(auto split: current.splits)
next
 case 2-1
 then show ?case
   by(auto split: small-state.splits current.splits)
next
 case 2-2
 then show ?case
   by(auto split: small-state.splits current.splits)
next
 case 2-3
 then show ?case
   by(auto split: current.splits big-state.splits)
next
 case 2-4
 then show ?case
   by(auto split: big-state.splits)
qed
lemma step-size-big-2 [simp]:
invar \ states \implies size-big \ (step \ states) = size-big \ states
 by(cases states; cases step states) auto
lemma step-size-ok-1:
   invar (States dir big small);
   step (States dir big small) = States dir' big' small';
   size-new big + remaining-steps (States dir big small) + 2 \leq 3 * size-new small
] \implies size-new big' + remaining-steps (States dir' big' small') + 2 \le 3 * size-new
small'
 using step-size-new-small step-size-new-big remaining-steps-decline
 by (smt (verit, ccfv-SIG) add.commute le-trans nat-add-left-cancel-le)
lemma step-size-ok-2:
 invar (States dir big small);
 step (States dir big small) = States dir' big' small';
 size-new small + remaining-steps (States dir big small) + 2 \leq 3 * size-new big
] \implies size-new small' + remaining-steps (States dir' big' small') + 2 \leq 3 *
size-new big'
 using remaining-steps-decline step-size-new-small step-size-new-big
 by (smt (verit, best) add-le-mono le-refl le-trans)
lemma step-size-ok-3:
 invar (States dir big small);
 step (States dir big small) = States dir' big' small';
 remaining-steps (States dir big small) + 1 \leq 4 * size small
]] \implies remaining-steps (States dir' big' small') + 1 \le 4 * size small'
```

```
using remaining-steps-decline step-size-small
 by (metis Suc-eq-plus1 Suc-le-mono le-trans)
lemma step-size-ok-4:
 invar (States dir big small);
 step (States dir big small) = States dir' big' small';
 remaining-steps (States dir big small) + 1 \leq 4 * size big
]] \implies remaining-steps (States dir' big' small') + 1 \le 4 * size big'
 using remaining-steps-decline step-size-big
 by (metis (no-types, lifting) add-mono-thms-linordered-semiring(3) order.trans)
lemma step-size-ok: [invar states; size-ok states] \implies size-ok (step states)
 using step-size-ok-1 step-size-ok-2 step-size-ok-3 step-size-ok-4
 by (smt (verit) invar-states.elims(1) size-ok'.elims(3) size-ok'.simps)
lemma step-n-size-ok: [invar states; size-ok states] \implies size-ok ((step \frown n) states)
 using step-consistent-2[of size-ok states n] step-size-ok by blast
lemma step-push-size-small [simp]:
 invar (States dir big small);
 step (States dir big (Small.push x small)) = States dir' big' small'
] \implies size \ small' = Suc \ (size \ small)
 using
   invar-push-small[of dir big small x]
   step-size-small[of dir big Small.push x small dir' big' small']
   size-push[of small x]
 by simp
lemma step-push-size-new-small [simp]: [
 invar (States dir big small);
 step (States dir big (Small.push x small)) = States dir' big' small'
] \implies size-new \ small' = Suc \ (size-new \ small)
 using
   invar-push-small[of dir big small x]
   step-size-new-small[of dir big Small.push x small dir' big' small']
   size-new-push[of small x]
 by simp
lemma step-push-size-big [simp]:
 invar (States dir big small);
 step (States dir (Big.push x big) small) = States dir' big' small'
\implies size big' = Suc (size big)
 using
   invar-push-big[of dir big small x]
   Big-Proof.size-push[of big]
   step-size-big[of dir Big.push x big small dir' big' small']
 by simp
```

lemma step-push-size-new-big [simp]: [

```
invar (States dir big small);
  step (States dir (Big.push x big) small) = States dir' big' small'
] \implies size-new \ big' = Suc \ (size-new \ big)
  using
   invar-push-big[of dir big small x]
   step-size-new-big[of dir Biq.push x biq small dir' big' small']
   Big-Proof.size-new-push[of big x]
 by simp
lemma step-pop-size-big [simp]: [
  invar (States dir big small);
  0 < size big;
  Big.pop\ big = (x,\ bigP);
  step (States dir bigP small) = States dir' big' small'
] \implies Suc \ (size \ big') = size \ big
 using
   invar-pop-big[of dir big small x bigP]
   step-size-big[of dir bigP small dir' big' small']
   Big-Proof.size-pop[of big x bigP]
 by simp
lemma step-pop-size-new-big [simp]:
  invar (States dir big small);
  0 < size \ big; \ Big.pop \ big = (x, \ bigP);
  step (States dir bigP small) = States dir' big' small'
] \implies Suc \ (size-new \ big') = size-new \ big
  using
   invar-pop-big[of dir big small x bigP]
   Big-Proof.size-size-new[of big]
   step-size-new-big[of dir bigP small dir' big' small']
   Big-Proof.size-new-pop[of big x bigP]
  by simp
lemma step-n-size-small [simp]:
  invar (States dir big small);
  (step \frown n) (States dir big small) = States dir' big' small'
] \implies size \ small' = size \ small
  using step-consistent[of size-small States dir big small n]
 by simp
lemma step-n-size-big [simp]:
 [invar (States dir big small); (step \frown n) (States dir big small) = States dir' big'
small'
   \implies size big' = size big
 using step-consistent[of size-big States dir big small n]
 by simp
lemma step-n-size-new-small [simp]:
 [invar (States dir big small); (step \frown n) (States dir big small) = States dir' big'
```

small'

 \implies size-new small' = size-new small **using** step-consistent [of size-new-small States dir big small n] by simp **lemma** *step-n-size-new-big* [*simp*]: [invar (States dir big small); (step $\frown n$) (States dir big small) = States dir' big' small' \implies size-new big' = size-new big **using** step-consistent[of size-new-big States dir big small n] by simp lemma step-n-push-size-small [simp]: invar (States dir big small); $(step \frown n)$ (States dir big (Small.push x small)) = States dir' big' small' \implies size small' = Suc (size small) using step-n-size-small invar-push-small Small-Proof.size-push **by** (*metis invar-states.simps*) **lemma** step-n-push-size-new-small [simp]: invar (States dir big small); $(step \frown n)$ (States dir big (Small.push x small)) = States dir' big' small' $] \implies size-new \ small' = Suc \ (size-new \ small)$ by (metis Small-Proof.size-new-push invar-states.simps invar-push-small step-n-size-new-small) **lemma** *step-n-push-size-big* [*simp*]: invar (States dir big small); $(step \frown n)$ (States dir (Big.push x big) small) = States dir' big' small' $] \implies size \ big' = Suc \ (size \ big)$ by (metis Big-Proof.size-push invar-states.simps invar-push-big step-n-size-big) lemma step-n-push-size-new-big [simp]: invar (States dir big small); $(step \frown n)$ (States dir (Big.push x big) small) = States dir' big' small' $] \implies size-new \ big' = Suc \ (size-new \ big)$ by (metis Biq-Proof.size-new-push invar-states.simps invar-push-biq step-n-size-new-biq) lemma step-n-pop-size-small [simp]: invar (States dir big small); 0 < size small; $Small.pop \ small = (x, \ smallP);$ $(step \frown n)$ (States dir big smallP) = States dir' big' small' $] \implies Suc \ (size \ small') = size \ small$ using invar-pop-small size-pop step-n-size-small by (metis (no-types, opaque-lifting) invar-states.simps) **lemma** step-n-pop-size-new-small [simp]: invar (States dir big small); $\theta < size small;$

 $Small.pop\ small = (x,\ smallP);$ $(step \frown n)$ (States dir big smallP) = States dir' big' small' $] \implies Suc \ (size-new \ small') = size-new \ small)$ using invar-pop-small size-new-pop step-n-size-new-small size-size-new **by** (*metis* (*no-types*, *lifting*) *invar-states.simps*) lemma step-n-pop-size-big [simp]: invar (States dir big small); $0 < size \ big; \ Big.pop \ big = (x, \ bigP);$ $(step \frown n)$ (States dir bigP small) = States dir' big' small' $]] \Longrightarrow Suc (size big') = size big$ using invar-pop-big Big-Proof.size-pop step-n-size-big **by** *fastforce* lemma step-n-pop-size-new-big: invar (States dir big small); $0 < size \ biq; \ Biq.pop \ biq = (x, \ biqP);$ $(step \frown n)$ (States dir bigP small) = States dir' big' small' $] \implies Suc (size-new big') = size-new big$ using invar-pop-big Big-Proof.size-new-pop step-n-size-new-big Big-Proof.size-size-new **by** (*metis* (*no-types*, *lifting*) *invar-states.simps*) **lemma** remaining-steps-push-small [simp]: invar (States dir big small) \implies remaining-steps (States dir big small) = remaining-steps (States dir big (Small.push x small)) **by**(*induction x small rule: Small.push.induct*)(*auto split: current.splits*) **lemma** remaining-steps-pop-small: [*invar* (States dir big small); 0 < size small; Small.pop small = (x, smallP)] \implies remaining-steps (States dir big smallP) \leq remaining-steps (States dir big small) proof(induction small rule: Small.pop.induct) case 1 then show ?case by (auto simp: Common-Proof.remaining-steps-pop max.coboundedI2 split: prod.splits) \mathbf{next} **case** $(2 \ current \ small \ auxS)$ then show ?case **by**(*induction current rule: Current.pop.induct*)(*auto split: big-state.splits*) next **case** (3 current auxS big newS count) then show ?case **by**(*induction current rule: Current.pop.induct*) *auto* qed **lemma** remaining-steps-pop-big: [*invar* (States dir big small); 0 < size big; Big.pop big = (x, bigP)] \implies remaining-steps (States dir bigP small) \leq remaining-steps (States dir big

small)

```
proof(induction big rule: Big.pop.induct)
 case (1 state)
 then show ?case
 proof(induction state rule: Common.pop.induct)
   case (1 current idle)
   then show ?case
     by(cases idle)(auto split: small-state.splits)
 next
   case (2 current aux new moved)
   then show ?case
     by(induction current rule: Current.pop.induct)(auto split: small-state.splits)
 qed
next
 case (2 current big aux count)
 then show ?case
 proof(induction current rule: Current.pop.induct)
   case 1
   then show ?case
     by(auto split: small-state.splits current.splits)
 \mathbf{next}
   case 2
   then show ?case
     by(auto split: small-state.splits current.splits)
 qed
qed
lemma remaining-steps-push-big [simp]: invar (States dir big small)
  \implies remaining-steps (States dir (Big.push x big) small) =
     remaining-steps (States dir big small)
 by(induction \ x \ big \ rule: \ Big. push. induct)(auto \ split: \ small-state. splits \ current. splits)
lemma step-4-remaining-steps-push-big [simp]:
 invar (States dir big small);
 4 \leq remaining-steps (States dir big small);
 (step ~ 4) (States dir (Big.push x big) small) = States dir' big' small'
   \implies remaining-steps (States dir' big' small') = remaining-steps (States dir big
small) - 4
 by (metis invar-push-big remaining-steps-n-steps-sub remaining-steps-push-big)
lemma step-4-remaining-steps-push-small [simp]:
 invar (States dir big small);
 4 \leq remaining-steps (States dir big small);
(step ~ 4) (States dir big (Small.push x small)) = States dir' big' small'
] \implies remaining-steps (States dir' big' small') = remaining-steps (States dir big')
small) - 4
 by (metis invar-push-small remaining-steps-n-steps-sub remaining-steps-push-small)
lemma step-4-remaining-steps-pop-big:
 invar (States dir big small);
```

0 < size big; Big.pop big = (x, bigP); 4 ≤ remaining-steps (States dir bigP small); (step~4) (States dir bigP small) = States dir' big' small'] ⇒ remaining-steps (States dir' big' small') ≤ remaining-steps (States dir big small) - 4

 $\mathbf{by} \ (met is \ add-le-imp-le-diff \ invar-pop-big \ remaining-steps-pop-big \ remaining-steps-n-steps-plus)$

lemma step-4-remaining-steps-pop-small: [

invar (States dir big small); 0 < size small; Small.pop small = (x, smallP); 4 ≤ remaining-steps (States dir big smallP); (step~4) (States dir big smallP) = States dir' big' small'] ⇒ remaining-steps (States dir' big' small') ≤ remaining-steps (States dir big small) - 4

by (*metis add-le-imp-le-diff invar-pop-small remaining-steps-n-steps-plus remain-ing-steps-pop-small*)

lemma step-4-pop-small-size-ok-1: [invar (States dir big small); 0 < size small;Small.pop small = (x, smallP); $4 \le remaining$ -steps (States dir big smallP); (step~4) (States dir big smallP) = States dir' big' small'; remaining-steps (States dir big small) + $1 \le 4 * size small$] \implies remaining-steps (States dir' big' small') + $1 \le 4 * size small'$

by (*smt* (*verit*, *ccfv-SIG*) *add.left-commute add.right-neutral add-le-cancel-left distrib-left-numeral dual-order.trans invar-pop-small le-add-diff-inverse2 mult.right-neutral plus-1-eq-Suc remaining-steps-n-steps-sub remaining-steps-pop-small step-n-pop-size-small*)

lemma step-4-pop-big-size-ok-1: [invar (States dir big small); 0 < size big; Big.pop big = (x, bigP); $4 \le remaining$ -steps (States dir bigP small); $(step \widehat{}_4)$ (States dir bigP small) = States dir' big' small'; remaining-steps (States dir big small) + $1 \le 4 * size$ small]] \implies remaining-steps (States dir' big' small') + $1 \le 4 * size$ small' by (smt (verit, ccfv-SIG) add-leE add-le-cancel-right invar-pop-big order-trans remaining-steps-pop-big step-n-size-small remaining-steps-n-steps-plus)

lemma step-4-pop-small-size-ok-2:

invar (States dir big small);

0 < size small;

 $Small.pop \ small = (x, \ smallP);$

 $4 \leq remaining-steps (States dir big smallP);$

(step ~ 4) (States dir big smallP) = States dir' big' small';

remaining-steps (States dir big small) + $1 \le 4 *$ size big

]] \implies remaining-steps (States dir' big' small') + 1 \leq 4 * size big'

by (*smt* (*z3*) *add.commute add-leE invar-pop-small le-add-diff-inverse2 nat-add-left-cancel-le remaining-steps-n-steps-sub step-n-size-big remaining-steps-pop-small*)

lemma *step-4-pop-big-size-ok-2*:

assumes

 $\begin{array}{l} \mbox{invar} (States \ dir \ big \ small) \\ 0 < size \ big \\ Big.pop \ big = (x, \ bigP) \\ \ remaining-steps \ (States \ dir \ bigP \ small) \geq 4 \\ ((step \ \ 4) \ (States \ dir \ bigP \ small)) = \ States \ dir' \ big' \ small' \\ \ remaining-steps \ (States \ dir \ big \ small) + 1 \leq 4 \ * \ size \ big \\ \ {\bf shows} \\ \ remaining-steps \ (States \ dir' \ big' \ small') + 1 \leq 4 \ * \ size \ big' \\ {\bf proof} \ - \end{array}$

from assms have remaining-steps (States dir bigP small) + $1 \le 4 *$ size big by (meson add-le-cancel-right order.trans remaining-steps-pop-big)

with assms show ?thesis

by (*smt* (*z*3) *Suc-diff-le Suc-eq-plus1 add-mult-distrib2 diff-diff-add diff-is-0-eq invar-pop-big mult-numeral-1-right numerals*(1) *plus-1-eq-Suc remaining-steps-n-steps-sub step-n-pop-size-big*)

 \mathbf{qed}

lemma *step-4-pop-small-size-ok-3*:

assumes

 $\begin{array}{l} \textit{invar} (\textit{States dir big small}) \\ 0 < \textit{size small} \\ \textit{Small.pop small} = (x, \textit{smallP}) \\ \textit{remaining-steps} (\textit{States dir big smallP}) \geq 4 \\ ((\textit{step $\widehat{\ 4}$}) (\textit{States dir big smallP})) = \textit{States dir' big' small'} \\ \textit{size-new small} + \textit{remaining-steps} (\textit{States dir big small}) + 2 \leq 3 * \textit{size-new big} \\ \end{array}$

shows

size-new small' + remaining-steps (States dir' big' small') + $2 \leq 3 *$ size-new big'

by (smt (verit, best) add-leD2 add-mono-thms-linordered-semiring(1) add-mono-thms-linordered-semiring(3) assms(1) assms(2) assms(3) assms(4) assms(5) assms(6) invar-pop-small le-add2 le-add-diff-inverse order-trans plus-1-eq-Suc remaining-steps-n-steps-sub remaining-steps-pop-small step-n-pop-size-new-small step-n-size-new-big)

lemma step-4-pop-big-size-ok-3-aux:

0 < size big; $4 \leq remaining-steps (States dir big small);$ $size-new small + remaining-steps (States dir big small) + 2 \leq 3 * size-new big$ $]] \implies size-new small + (remaining-steps (States dir big small) - 4) + 2 \leq 3 * (size-new big - 1)$ by linarith

lemma *step-4-pop-big-size-ok-3*:

assumes

invar (States dir big small) 0 < size big Big.pop big = (x, bigP)remaining-steps (States dir bigP small) ≥ 4 ((step ~ 4) (States dir bigP small)) = (States dir' big' small') size-new small + remaining-steps (States dir big small) + 2 $\leq 3 * size-new$ shows

big

size-new small' + remaining-steps (States dir' big' small') + 2 \leq 3 * size-new big'

proof-

from assms

have size-new small + (remaining-steps (States dir big small) - 4) + $2 \le 3 *$ (size-new big - 1)

by (meson dual-order.trans remaining-steps-pop-big step-4-pop-big-size-ok-3-aux)

then

have size-new small + remaining-steps (States dir' big' small') + $2 \leq 3 * (size-new big - 1)$

by (*smt* (*verit*, *ccfv-SIG*) *add-le-mono assms*(1) *assms*(2) *assms*(3) *assms*(4) *assms*(5) *dual-order.trans le-antisym less-or-eq-imp-le nat-less-le step-4-remaining-steps-pop-big*)

with assms show ?thesis

 $\mathbf{by} \; (metis \; diff\text{-}Suc\text{-}1 \; invar\text{-}pop\text{-}big \; step\text{-}n\text{-}size\text{-}new\text{-}small \; step\text{-}n\text{-}pop\text{-}size\text{-}new\text{-}big) \\ \mathbf{qed} \;$

lemma step-4-pop-small-size-ok-4-aux:

 $\theta < size small;$

 $4 \leq remaining-steps$ (States dir big small);

size-new big + remaining-steps (States dir big small) + $2 \le 3 *$ size-new small \implies size-new big + (remaining-steps (States dir big small) - 4) + $2 \le 3 *$ (size-new small - 1)

by linarith

lemma *step-4-pop-small-size-ok-4*:

assumes

 $\begin{array}{l} \mbox{invar} (States \ dir \ big \ small) \\ 0 < size \ small \\ Small.pop \ small = (x, \ smallP) \\ remaining-steps \ (States \ dir \ big \ smallP) \geq 4 \\ ((step \ \ 4) \ (States \ dir \ big \ smallP)) = (States \ dir' \ big' \ small') \\ size-new \ big \ + \ remaining-steps \ (States \ dir \ big \ small) + 2 \leq 3 \ * \ size-new \\ small \\ shows \end{array}$

size-new big' + remaining-steps (States dir' big' small') + 2 \leq 3 * size-new small'

proof-

from assms step-4-pop-small-size-ok-4-aux

have size-new big + (remaining-steps (States dir big small) -4) + 2 $\leq 3 * (size-new small - 1)$

by (*smt* (*verit*, *best*) add-leE le-add-diff-inverse remaining-steps-pop-small)

with assms

have size-new big + remaining-steps (States dir' big' small') + $2 \le 3 * (size-new small - 1)$

by (*smt* (*verit*, *best*) *add-le-cancel-left add-mono-thms-linordered-semiring*(3) *diff-le-mono invar-pop-small order-trans remaining-steps-n-steps-sub remaining-steps-pop-small*)

with assms show ?thesis

by (*metis diff-Suc-1 invar-pop-small step-n-size-new-big step-n-pop-size-new-small*) **qed**

lemma step-4-pop-big-size-ok-4-aux:

 $\theta < size \ big;$

 $4 \leq remaining-steps$ (States dir big small);

size-new big + remaining-steps (States dir big small) + $2 \le 3 *$ size-new small $]] \implies$ size-new big - 1 + (remaining-steps (States dir big small) - 4) + $2 \le 3 *$ size-new small

by linarith

lemma *step-4-pop-big-size-ok-4*:

assumes

invar (States dir big small) 0 < size big Big.pop big = (x, bigP) $remaining-steps (States dir bigP small) \ge 4$ ((step ~ 4) (States dir bigP small)) = (States dir' big' small') $size-new big + remaining-steps (States dir big small) + 2 \le 3 * size-new small$ **shows** $size-new big' + remaining-steps (States dir' big' small') + 2 \le 3 * size-new small'$ small'

proof –

from assms step-4-pop-big-size-ok-4-aux

have (size-new big -1) + (remaining-steps (States dir big small) -4) + 2 \leq 3 * size-new small

by *linarith*

with assms

have (size-new big -1) + remaining-steps (States dir' big' small') + $2 \le 3 *$ size-new small

by (meson add-le-mono dual-order.eq-iff order-trans step-4-remaining-steps-pop-big)

with assms show ?thesis

by (*metis diff-Suc-1 invar-pop-big step-n-size-new-small step-n-pop-size-new-big*) **qed**

lemma step-4-push-small-size-ok-1:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir big (Small.push x small)) = States dir' big' small';

remaining-steps (States dir big small) + $1 \le 4 * size small$

 $]] \implies remaining-steps (States dir' big' small') + 1 \le 4 * size small'$

by (smt (z3) add.commute add-leD1 add-le-mono le-add1 le-add-diff-inverse2

 $mult-Suc\-right\ nat-1-add-1\ numeral-Bit0\ step-n-push-size-small\ step-4-remaining-steps-push-small)$

lemma step-4-push-big-size-ok-1:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir (Big.push x big) small) = States dir' big' small';

remaining-steps (States dir big small) + $1 \leq 4 * size small$

 $]] \implies remaining-steps (States dir' big' small') + 1 \le 4 * size small'$

by (*smt* (*verit*, *ccfv-SIG*) *Nat.le-diff-conv2 add-leD2 invar-push-big le-add1 le-add-diff-inverse2 remaining-steps-n-steps-sub remaining-steps-push-big step-n-size-small*)

lemma step-4-push-small-size-ok-2:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir big (Small.push x small)) = States dir' big' small';

remaining-steps (States dir big small) + $1 \leq 4 * size big$

 $]] \implies remaining-steps (States dir' big' small') + 1 \le 4 * size big'$

by (*metis* (*full-types*) Suc-diff-le Suc-eq-plus1 invar-push-small less-Suc-eq-le less-imp-diff-less step-4-remaining-steps-push-small step-n-size-big)

lemma step-4-push-big-size-ok-2:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir (Big.push x big) small) = States dir' big' small';

remaining-steps (States dir big small) + $1 \leq 4 * size big$

 $]] \implies remaining-steps (States dir' big' small') + 1 \le 4 * size big'$

by (*smt* (*verit*, *ccfv-SIG*) *add.commute add-diff-cancel-left' add-leD1 add-le-mono invar-push-big mult-Suc-right nat-le-iff-add one-le-numeral remaining-steps-n-steps-sub remaining-steps-push-big step-n-push-size-big*)

lemma step-4-push-small-size-ok-3-aux:

 $4 \leq remaining-steps$ (States dir big small);

size-new small + remaining-steps (States dir big small) + $2 \leq 3 *$ size-new big

 $]] \implies Suc (size-new small) + (remaining-steps (States dir big small) - 4) + 2 \le 3 * size-new big$

using distrib-left dual-order.trans le-add-diff-inverse2 by force

lemma step-4-push-small-size-ok-3:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

 $(step^{4})$ (States dir big (Small.push x small)) = States dir' big' small';

size-new small + remaining-steps (States dir big small) + $2 \leq 3 *$ size-new big

 $]] \implies$ size-new small' + remaining-steps (States dir' big' small') + 2 \leq 3 *

size-new big'

using *step-n-size-new-big step-n-push-size-new-small step-4-remaining-steps-push-small* **by** (*metis invar-push-small step-4-push-small-size-ok-3-aux*)

lemma step-4-push-big-size-ok-3-aux: [

 $4 \leq remaining-steps (States dir big small);$

size-new small + remaining-steps (States dir big small) + $2 \le 3 *$ size-new big $] \implies$ size-new small + (remaining-steps (States dir big small) - 4) + $2 \le 3 *$ Suc (size-new big)

using distrib-left dual-order.trans le-add-diff-inverse2 by force

lemma step-4-push-big-size-ok-3:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir (Big.push x big) small) = States dir' big' small';

size-new small + remaining-steps (States dir big small) + $2 \le 3 *$ size-new big $] \implies$ size-new small' + remaining-steps (States dir' big' small') + $2 \le 3 *$ size-new big'

by (metis invar-push-big remaining-steps-n-steps-sub remaining-steps-push-big step-4-push-big-size-ok-3-aux step-n-push-size-new-big step-n-size-new-small)

lemma step-4-push-small-size-ok-4-aux:

 $4 \leq remaining-steps$ (States dir big small);

size-new big + remaining-steps (States dir big small) + $2 \le 3 *$ size-new small $]] \implies$ size-new big + (remaining-steps (States dir big small) - 4) + $2 \le 3 *$ Suc (size-new small)

using distrib-left dual-order.trans le-add-diff-inverse2 by force

lemma step-4-push-small-size-ok-4:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir big (Small.push x small)) = States dir' big' small';

size-new big + remaining-steps (States dir big small) + $2 \leq 3 *$ size-new small

] \implies size-new big' + remaining-steps (States dir' big' small') + 2 \leq 3 * size-new small'

 $\mathbf{by} \ (metis \ invar-push-small \ step-n-size-new-big \ step-n-push-size-new-small \ step-4-remaining-steps-push-small \ step-4-push-small-size-ok-4-aux)$

lemma step-4-push-big-size-ok-4-aux:

 $4 \leq remaining-steps$ (States dir big small);

size-new big + remaining-steps (States dir big small) + $2 \leq 3 *$ size-new small

 $]] \implies Suc (size-new big) + (remaining-steps (States dir big small) - 4) + 2 \le 3$ * size-new small

* size-new small

using distrib-left dual-order.trans le-add-diff-inverse2 by force

lemma step-4-push-big-size-ok-4:

invar (States dir big small);

 $4 \leq remaining-steps$ (States dir big small);

(step ~4) (States dir (Big.push x big) small) = States dir' big' small';

size-new big + remaining-steps (States dir big small) + $2 \le 3 *$ size-new small $]] \implies$ size-new big' + remaining-steps (States dir' big' small') + $2 \le 3 *$ size-new small'

by (*metis invar-push-big remaining-steps-n-steps-sub remaining-steps-push-big step-4-push-big-size-ok-4-aux step-n-push-size-new-big step-n-size-new-small*)

```
lemma step-4-push-small-size-ok:
  invar (States dir big small);
  4 \leq remaining-steps (States dir big small);
  size-ok (States dir big small)
]] \implies size-ok ((step ~4) (States dir big (Small.push x small)))
 using step-4-push-small-size-ok-1 step-4-push-small-size-ok-2 step-4-push-small-size-ok-3
step-4-push-small-size-ok-4
  by (smt (verit) size-ok'.elims(3) size-ok'.simps)
lemma step-4-push-big-size-ok:
  invar (States dir big small);
  4 \leq remaining-steps (States dir big small);
  size-ok (States dir big small)
] \implies size-ok ((step ~ 4) (States dir (Big.push x big) small))
 using step-4-push-big-size-ok-1 step-4-push-big-size-ok-2 step-4-push-big-size-ok-3
step-4-push-big-size-ok-4
  by (smt (verit) size-ok'.elims(3) size-ok'.simps)
lemma step-4-pop-small-size-ok: [
  invar (States dir big small);
  \theta < size small;
  Small.pop\ small = (x,\ smallP);
  4 \leq remaining-steps (States dir big smallP);
  size-ok (States dir big small)
]] \implies size-ok \ ((step ~4) \ (States \ dir \ big \ small P))
 by (smt (verit) size-ok'.elims(3) size-ok'.simps step-4-pop-small-size-ok-1 step-4-pop-small-size-ok-2
step-4-pop-small-size-ok-3 step-4-pop-small-size-ok-4)
```

```
lemma step-4-pop-big-size-ok: [[
invar (States dir big small);
0 < size big; Big.pop big = (x, bigP);
4 \leq remaining-steps (States dir bigP small);
size-ok (States dir big small)
]] \Longrightarrow size-ok ((step~4) (States dir bigP small))
```

using step-4-pop-big-size-ok-1 step-4-pop-big-size-ok-2 step-4-pop-big-size-ok-3 step-4-pop-big-size-ok-4 **by** (smt (verit) size-ok'.elims(3) size-ok'.simps)

lemma size-ok-size-small: size-ok (States dir big small) $\implies 0 <$ size small by auto

lemma size-ok-size-big: size-ok (States dir big small) $\implies 0 <$ size big by auto

lemma size-ok-size-new-small: size-ok (States dir big small) $\implies 0 <$ size-new small

by auto

lemma size-ok-size-new-big: size-ok (States dir big small) $\implies 0 <$ size-new big by auto

lemma step-size-ok': $[invar states; size-ok' states n] \implies size-ok' (step states) n$ **by** (smt (verit, ccfv-SIG) size-ok'.elims(2) size-ok'.elims(3) step-size-big step-size-new-big step-size-new-small step-size-small)

lemma step-same: step (States dir big small) = States dir' big' small' \implies dir = dir'

by(*induction States dir big small rule: step-states.induct*) *auto*

lemma step-n-same: (step ^ n) (States dir big small) = States dir' big' small' => dir = dir' proof(induction n arbitrary: big small big' small') case 0 then show ?case by simp next case (Suc n) obtain big'' small'' where step (States dir big small) = States dir big'' small'' by (metis states.exhaust step-same)

with Suc show ?case
by(auto simp: funpow-swap1)
qed

then have list-small-first (step (States Left big small)) =
 Small-Aux.list-current small @ rev (Big-Aux.list-current big)
using 1 step-lists by fastforce

```
then have listL (step (States Left big small)) =
        Small-Aux.list-current small @ rev (Big-Aux.list-current big)
        by (smt (verit, ccfv-SIG) 1 invar-states.elims(2) States-Proof.invar-step listL.simps(1)
        step-same)
```

with 1 show ?case by auto next

by auto

```
case (2 big small)
  then have a: list-big-first (States Right big small) = (
           Big-Aux.list-current big @ rev (Small-Aux.list-current small)
    using invar-list-big-first[of States Right big small]
    by auto
  then have list-big-first (step (States Right big small)) = (
            Big-Aux.list-current big @ rev (Small-Aux.list-current small)
   using 2 step-lists by fastforce
  then have listL (step (States Right big small)) =
           Big-Aux.list-current big @ rev (Small-Aux.list-current small)
   by (metis(full-types) listL.cases listL.simps(2) step-same)
  with 2 show ?case
   using a by force
\mathbf{qed}
lemma step-n-listL: invar states \implies listL ((step \widehat{n}) states) = listL states
 using step-consistent[of listL states] step-listL
 by metis
lemma listL-remaining-steps:
 assumes
   listL \ states = []
   \theta < remaining-steps states
   invar states
   size-ok states
 shows
   False
proof(cases states)
 case (States dir big small)
 with assms show ?thesis
   using Small-Proof.list-current-size size-ok-size-small
   by(cases dir; cases lists (States dir big small)) auto
qed
lemma invar-step-n: invar (states :: 'a states) \implies invar ((step \widehat{} n) states)
 by (simp add: invar-step step-consistent-2)
lemma step-n-size-ok': [invar states; size-ok' states x] \implies size-ok' ((step \frown n))
states) x
proof(induction n arbitrary: states x)
 case \theta
 then show ?case by auto
\mathbf{next}
 case Suc
  then show ?case
   using invar-step-n step-size-ok'
```

by *fastforce* qed lemma *size-ok-steps*: invar states; size-ok' states (remaining-steps states -n) $]] \implies size-ok \ ((step \frown n) \ states)$ **by** (simp add: step-n-size-ok') **lemma** remaining-steps-idle: invar states \implies remaining-steps states = $0 \iff ($ case states of States - (Big2 (Common.Idle - -)) (Small3 (Common.Idle - -)) \Rightarrow True $| \rightarrow False$ **by**(*cases states*) (auto split: biq-state.split small-state.split common-state.split current.splits) **lemma** remaining-steps-idle': [*invar* (States dir big small); remaining-steps (States dir big small) = 0] $\implies \exists big$ -current big-idle small-current small-idle. States dir big small =

States dir (Big2 (common-state.Idle big-current big-idle)) (Small3 (common-state.Idle small-current small-idle)) using remaining-steps-idle[of States dir big small] by(cases big; cases small) (auto split!: common-state.splits)

end

19 Dequeue Proofs

theory RealTimeDeque-Dequeue-Proof imports Deque RealTimeDeque-Aux States-Proof begin

lemma list-deqL' [simp]: [[invar deque; listL deque \neq []; deqL' deque = (x, deque')] $\implies x \# \text{ listL deque'} = \text{ listL deque}$ **proof**(induction deque arbitrary: x rule: deqL'.induct) **case** (4 left right length-right)

then obtain left' where pop-left[simp]: $Idle.pop \ left = (x, \ left')$ by(auto simp: Let-def split: if-splits stack.splits prod.splits idle.splits)

then obtain stack-left' length-left' where left'[simp]: left' = idle.Idle stack-left' length-left' using idle.exhaust by blast

from 4 have invar-left': invar left'
using Idle-Proof.invar-pop[of left]
by auto

```
then have size-left' [simp]: size stack-left' = length-left'
   by auto
 have size-left'-size-left [simp]: size stack-left' = (size left) - 1
   using Idle-Proof.size-pop-sub[of left x left']
   by auto
 show ?case
 proof(cases 3 * length-left' \ge length-right)
   \mathbf{case} \ True
   with 4 pop-left show ?thesis
     using Idle-Proof.pop-list[of left x left']
     by auto
 \mathbf{next}
   case False
   note Start-Rebalancing = False
   then show ?thesis
   proof(cases length-left' \geq 1)
     case True
     let ?big = Big1 (Current [] 0 right (size right - Suc length-left'))
                       right [] (size right - Suc length-left')
    let ?small = Small1 (Current [] 0 stack-left' (Suc (2 * length-left'))) stack-left'
[]
     let ?states = States Left ?big ?small
     from 4 Start-Rebalancing True invar-left' have invar: invar ?states
      by(auto simp: Let-def rev-take rev-drop)
     with 4 Start-Rebalancing True invar-left'
     have States-Aux.listL ?states = tl (Idle-Aux.list left) @ rev (Stack-Aux.list
right)
      using pop-list-tl'[of left x left']
      by (auto simp del: take-rev-def)
     with invar
     have States-Aux.listL ((step 6) ?states) =
          tl (Idle-Aux.list left) @ rev (Stack-Aux.list right)
      using step-n-listL[of ?states 6]
      by presburger
     with 4 Start-Rebalancing True show ?thesis
      by(auto simp: Let-def)
   \mathbf{next}
     case False
     from False Start-Rebalancing 4 have [simp]:size left = 1
      using size-left' size-left'-size-left by auto
```

```
with False Start-Rebalancing 4 have [simp]: Idle-Aux.list left = [x]
      by(induction left)(auto simp: length-one-hd split: stack.splits)
     obtain right1 right2 where right = Stack right1 right2
      using Stack-Aux.list.cases by blast
     with False Start-Rebalancing 4 show ?thesis
      by(induction right1 right2 rule: small-deque.induct) auto
   \mathbf{qed}
 qed
\mathbf{next}
 case (5 \ big \ small)
 then have start-invar: invar (States Left big small)
   by auto
 from 5 have small-invar: invar small
   by auto
 from 5 have small-size: 0 < size small
   by auto
 with 5(3) obtain small' where pop: Small.pop small = (x, small')
   by(cases small)
     (auto simp: Let-def split: states.splits direction.splits state-splits prod.splits)
 let ?states-new = States Left big small'
 let ?states-stepped = (step ~4) ?states-new
 have invar: invar ?states-new
   using pop start-invar small-size invar-pop-small[of Left big small x small']
   by auto
 have x \# Small-Aux.list-current small' = Small-Aux.list-current small
  using small-invar small-size pop Small-Proof.pop-list-current[of small x small']
by auto
 then have listL:
     x # States-Aux.listL?states-new =
     Small-Aux.list-current small @ rev (Big-Aux.list-current big)
   using invar small-size Small-Proof.pop-list-current[of small x small'] 5(1)
   by auto
 from invar have invar ?states-stepped
   using invar-step-n by blast
```

then have states-listL-list-current [simp]: x # States-Aux.listL ?states-stepped = Small-Aux.list-current small @ rev (Big-Aux.list-current big) using States-Proof.step-n-listL invar listL by metis

 $\begin{array}{l} \textbf{then have } listL \; (deqL \; (Rebal \; (States \; Left \; big \; small))) = States-Aux.listL \; ?states-stepped \\ \textbf{by}(auto \; simp: \; Let-def \; pop \; split: \; prod.splits \; direction.splits \; states.splits \; state-splits) \end{array}$

```
then have states-listL-list-current:
     x \# listL (deqL (Rebal (States Left big small))) =
     Small-Aux.list-current small @ rev (Big-Aux.list-current big)
   by auto
 with 5(1) have list (Rebal (States Left big small)) =
               Small-Aux.list-current small @ rev (Big-Aux.list-current big)
   by auto
 with states-listL-list-current
 have x \# listL (deqL (Rebal (States Left big small))) =
      listL (Rebal (States Left big small))
   by auto
 with 5 show ?case by auto
\mathbf{next}
 case (6 big small)
 then have start-invar: invar (States Right big small)
   by auto
 from 6 have big-invar: invar big
   by auto
 from 6 have big-size: 0 < size big
   by auto
 with 6(3) obtain big' where pop: Big.pop big = (x, big')
   by(cases big)
     (auto simp: Let-def split: prod.splits direction.splits states.splits state-splits)
 let ?states-new = States Right big' small
 let ?states-stepped = (step ~4) ?states-new
 have invar: invar ?states-new
   using pop start-invar big-size invar-pop-big[of Right big small]
   by auto
 have big-list-current: x \# Big-Aux.list-current big' = Big-Aux.list-current big
   using big-invar big-size pop by auto
 then have listL:
   x # States-Aux.listL?states-new =
    Big-Aux.list-current big @ rev (Small-Aux.list-current small)
```

```
proof(cases States-Aux.lists ?states-new)
```

```
case (Pair bigs smalls)
with invar big-list-current show ?thesis
using app-rev[of smalls bigs]
by(auto split: prod.splits)
qed
from invar have four-steps: invar ?states-stepped
```

```
using invar-step-n by blast
```

```
then have [simp]:
    x # States-Aux.listL ?states-stepped =
    Big-Aux.list-current big @ rev (Small-Aux.list-current small)
    using States-Proof.step-n-listL[of ?states-new 4] invar listL
    by auto
```

```
then have listL (deqL (Rebal (States Right big small))) =
    States-Aux.listL ?states-stepped
by(auto simp: Let-def pop split: prod.splits direction.splits states.splits state-splits)
```

then have *listL-list-current*:

x # listL (deqL (Rebal (States Right big small))) = Big-Aux.list-current big @ rev (Small-Aux.list-current small) by auto

with 6(1) have listL (Rebal (States Right big small)) = Big-Aux.list-current big @ rev (Small-Aux.list-current small) using invar-list-big-first[of States Right big small] by fastforce

with listL-list-current have
 x # listL (deqL (Rebal (States Right big small))) =
 listL (Rebal (States Right big small))
 by auto

with 6 show ?case by auto qed auto

lemma *list-deqL* [*simp*]:

[*invar deque*; *listL deque* \neq []] \implies *listL* (*deqL deque*) = *tl* (*listL deque*) using cons-*tl*[of fst (*deqL' deque*) *listL* (*deqL deque*) *listL deque*] by(*auto split: prod.splits*)

lemma *list-firstL* [*simp*]:

[*invar deque*; *listL deque* \neq []]] \implies *firstL deque* = hd (*listL deque*) using cons-hd[of fst (deqL' deque) listL (deqL deque) listL deque] by(auto split: prod.splits)

```
lemma invar-deqL:
```

 \llbracket invar deque; \neg is-empty deque $\rrbracket \implies$ invar (deqL deque) **proof**(induction deque rule: deqL'.induct) **case** (4 left right length-right) then obtain $x \ left'$ where pop-left[simp]: $Idle.pop \ left = (x, \ left')$ by fastforce then obtain *stack-left'* length-left' where left'[simp]: left' = idle.Idle stack-left' length-left' using *idle.exhaust* by *blast* from 4 have invar-left': invar left' invar left using Idle-Proof.invar-pop by fastforce+ have [simp]: size stack-left' = size left - 1 **by** (*metis Idle-Proof.size-pop-sub left' pop-left size-idle.simps*) **have** [simp]: length-left' = size left -1using *invar-left'* by *auto* from 4 have list: x # Idle-Aux.list left' = Idle-Aux.list left using Idle-Proof.pop-list[of left x left'] by auto show ?case $proof(cases length-right \leq 3 * size left')$ case True with 4 invar-left' show ?thesis **by**(*auto simp: Stack-Proof.size-empty*[*symmetric*]) \mathbf{next} case False **note** *Start-Rebalancing* = *False* then show ?thesis $proof(cases \ 1 \le size \ left')$ case True let ?big =Big1 (Current [] 0 right (size right - Suc length-left')) right [] (size right - Suc length-left') let ?small = Small1 (Current [] 0 stack-left' (Suc (2 * length-left'))) stack-left' let ?states = States Left ?big ?small from 4 Start-Rebalancing True invar-left' have invar: invar ?states **by**(*auto simp: Let-def rev-take rev-drop*) then have invar-stepped: invar $((step \widehat{} 6) ?states)$ using invar-step-n by blast from 4 Start-Rebalancing True have remaining-steps: 6 < remaining-steps?states

[]

by auto

```
then have remaining-steps-end: 0 < remaining-steps ((step 6)?states)
      by(simp only: remaining-steps-n-steps-sub[of ?states 6] invar)
     from 4 Start-Rebalancing True
     have size-ok': size-ok' ?states (remaining-steps ?states -6)
      by auto
     then have size-ok: size-ok ((step \widehat{\phantom{a}} 6) ?states)
      using invar remaining-steps size-ok-steps by blast
     from True Start-Rebalancing 4 show ?thesis
      using remaining-steps-end size-ok invar-stepped
      by(auto simp: Let-def)
   next
     case False
     from False Start-Rebalancing 4 have [simp]: size left = 1
      by auto
     with False Start-Rebalancing 4 have [simp]: Idle-Aux.list left = [x]
      using list[symmetric]
      by(auto simp: list Stack-Proof.list-empty-size)
     obtain right1 right2 where right = Stack right1 right2
      using Stack-Aux.list.cases by blast
     with False Start-Rebalancing 4 show ?thesis
      by(induction right1 right2 rule: small-deque.induct) auto
   qed
 qed
next
 case (5 \ big \ small)
 obtain x \text{ small'} where \text{small'} [simp]: \text{Small.pop small} = (x, \text{ small'})
   by fastforce
 let ?states = States Left big small'
 let ?states-stepped = (step ~4) ?states
 obtain big-stepped small-stepped where stepped [simp]:
      ?states-stepped = States Left big-stepped small-stepped
    by (metis remaining-steps-states.cases step-n-same)
 from 5 have invar: invar ?states
   using invar-pop-small[of Left big small x small']
   by auto
 then have invar-stepped: invar ?states-stepped
```

using invar-step-n by blast

```
show ?case
 proof(cases \ 4 < remaining-steps \ ?states)
   case True
   then have remaining-steps: 0 < remaining-steps?states-stepped
    using invar remaining-steps-n-steps-sub[of ?states 4]
    by simp
   from True have size-ok: size-ok ?states-stepped
    using step-4-pop-small-size-ok[of Left big small x small | 5(1)
    by auto
   from remaining-steps size-ok invar-stepped show ?thesis
      by(cases big-stepped; cases small-stepped) (auto simp: Let-def split!: com-
mon-state.split)
 next
   case False
   then have remaining-steps-stepped: remaining-steps ?states-stepped = 0
    using invar by(auto simp del: stepped)
   then obtain small-current small-idle big-current big-idle where idle [simp]:
    States \ Left \ big-stepped \ small-stepped =
    States Left
        (Big2 (common-state.Idle big-current big-idle))
        (Small3 (common-state.Idle small-current small-idle))
    using remaining-steps-idle' invar-stepped remaining-steps-stepped
    by fastforce
   have size-new-small : 1 < size-new small
    using 5 by auto
   have [simp]: size-new small = Suc (size-new small')
    using 5 by auto
   have [simp]: size-new small' = size-new small-stepped
    using invar step-n-size-new-small stepped
    by metis
   have [simp]: size-new small-stepped = size small-idle
    using idle invar-stepped
    by(cases small-stepped) auto
   have [simp]: \neg is-empty small-idle
    using size-new-small
    by (simp add: Idle-Proof.size-not-empty)
```

have [simp]: size-new big = size-new big-stepped **by** (*metis invar step-n-size-new-big stepped*) **have** [*simp*]: *size-new big-stepped* = *size big-idle* using *idle invar-stepped* **by**(cases big-stepped) auto have $\theta < size \ big-idle$ using 5 by auto then have [simp]: $\neg is$ -empty big-idle **by** (*auto simp: Idle-Proof.size-not-empty*) have [simp]: size small-idle $\leq 3 *$ size big-idle using 5 by auto have [simp]: size big-idle $\leq 3 *$ size small-idle using 5 by auto show ?thesis using invar-stepped by auto qed \mathbf{next} case (6 big small) **obtain** x big' where big'[simp]: Big.pop big = (x, big')by *fastforce* **let** ?states = States Right big' small let ?states-stepped = $(step \uparrow 4)$?states **obtain** *big-stepped small-stepped* **where** *stepped* [*simp*]: ?states-stepped = States Right big-stepped small-stepped **by** (*metis remaining-steps-states.cases step-n-same*) from 6 have invar: invar ?states using invar-pop-big[of Right big small x big'] by auto then have invar-stepped: invar ?states-stepped using *invar-step-n* by *blast* show ?case $proof(cases \ 4 < remaining-steps \ ?states)$ case True then have remaining-steps: 0 < remaining-steps?states-stepped using invar remaining-steps-n-steps-sub[of ?states 4] by simp

```
from True have size-ok: size-ok ?states-stepped
    using step-4-pop-big-size-ok[of Right big small x big | 6(1)
    by auto
   from remaining-steps size-ok invar-stepped show ?thesis
      \mathbf{by}(cases \ big-stepped; \ cases \ small-stepped) (auto simp: \ Let-def \ split!: \ complexity \ cases \ small-stepped)
mon-state.split)
 next
   case False
   then have remaining-steps-stepped: remaining-steps ?states-stepped = 0
    using invar by(auto simp del: stepped)
   then obtain small-current small-idle big-current big-idle where idle [simp]:
    States Right big-stepped small-stepped =
    States Right
        (Big2 (common-state.Idle big-current big-idle))
        (Small3 (common-state.Idle small-current small-idle))
    using remaining-steps-idle' invar-stepped remaining-steps-stepped
    by fastforce
   have size-new-big : 1 < size-new big
    using 6 by auto
   have [simp]: size-new big = Suc (size-new big')
    using 6 by auto
   have [simp]: size-new big' = size-new big-stepped
    using invar step-n-size-new-big stepped
    by metis
   have [simp]: size-new big-stepped = size big-idle
    using idle invar-stepped
    by(cases big-stepped) auto
   have [simp]: \neg is-empty big-idle
    using size-new-biq
    by (simp add: Idle-Proof.size-not-empty)
   have [simp]: size-new small = size-new small-stepped
    by (metis invar step-n-size-new-small stepped)
   have [simp]: size-new small-stepped = size small-idle
    using idle invar-stepped
    by(cases small-stepped) auto
   have 0 < size small-idle
    using 6 by auto
```

```
then have [simp]: ¬is-empty small-idle
    by (auto simp: Idle-Proof.size-not-empty)
have [simp]: size big-idle ≤ 3 * size small-idle
    using 6 by auto
have [simp]: size small-idle ≤ 3 * size big-idle
    using 6 by auto
show ?thesis
    using invar-stepped by auto
qed
qed auto
```

 \mathbf{end}

20 Enqueue Proofs

```
theory RealTimeDeque-Enqueue-Proof
imports Deque RealTimeDeque-Aux States-Proof
begin
```

lemma *list-enqL*: *invar deque* \implies *listL* (*enqL x deque*) = *x* # *listL deque* **proof**(*induction x deque rule*: *enqL.induct*) **case** (5 *x left right length-right*)

obtain left' length-left' **where** pushed [simp]: Idle.push x left = idle.Idle left' length-left' **using** is-empty-idle.cases **by** blast

```
then have invar-left': invar (idle.Idle left' length-left')
using Idle-Proof.invar-push[of left x] 5 by auto
```

```
show ?case

proof(cases length-left' \leq 3 * \text{length-right})

case True

then show ?thesis

using Idle-Proof.push-list[of x left]

by(auto simp: Let-def)

next

case False

let ?length-left = length-left' - length-right - 1

let ?length-right = 2 * length-right + 1

let ?big = Big1 (Current [] 0 left' ?length-left) left' [] ?length-left

let ?small = Small1 (Current [] 0 right ?length-right) right []

let ?states = States Right ?big ?small

let ?states-stepped = (step~6) ?states
```

```
from False 5 invar-left' have invar: invar ?states
     by(auto simp: rev-drop rev-take)
  then have States-Aux.listL ?states = x \# Idle-Aux.list left @ rev (Stack-Aux.list
right)
     using Idle-Proof.push-list[of x left]
     \mathbf{by}(auto)
    then have States-Aux.listL ?states-stepped = x \# Idle-Aux.list left @ rev
(Stack-Aux.list right)
     by (metis invar step-n-listL)
   with False show ?thesis
     by(auto simp: Let-def)
 qed
next
 case (6 \ x \ big \ small)
 let ?small = Small.push x small
 let ?states = States Left big ?small
 let ?states-stepped = (step ~4) ?states
 obtain big-stepped small-stepped where stepped:
     ?states-stepped = States Left big-stepped small-stepped
   by (metis remaining-steps-states.cases step-n-same)
 from 6 have invar ?states
   using invar-push-small[of Left big small x]
   by auto
 then have
     States-Aux.listL?states-stepped =
     x \# Small-Aux.list-current small @ rev (Big-Aux.list-current big)
   using step-n-listL by fastforce
 with 6 show ?case
   by(cases biq-stepped; cases small-stepped)
     (auto simp: Let-def stepped split!: common-state.split)
\mathbf{next}
 case (7 x big small)
 let ?big = Big.push x big
 let ?states = States Right ?big small
 let ?states-stepped = (step ~ 4) ?states
 obtain big-stepped small-stepped where stepped:
     ?states-stepped = States Right big-stepped small-stepped
   by (metis remaining-steps-states.cases step-n-same)
  from 7 have list-invar:
```

list-current-small-first (States Right big small) = list-small-first (States Right big small)

 $\mathbf{by} \ auto$

from 7 have invar: invar ?states
 using invar-push-big[of Right big small x]
 by auto

then have

States-Aux.listL ?states = x # Big-Aux.list-current big @ rev (Small-Aux.list-current small) using app-rev[of - - - x # Big-Aux.list-current big]

by (auto split: prod.split)

then have

States-Aux.listL ?states-stepped = x # Big-Aux.list-current big @ rev (Small-Aux.list-current small) by (metis invar step-n-listL)

```
with list-invar show ?case
using app-rev[of Small-Aux.list-current small Big-Aux.list-current big]
by(cases big-stepped; cases small-stepped)
(auto simp: Let-def stepped split!: prod.split common-state.split)
qed auto
```

```
then have invar-left': invar (idle.Idle left' length-left')
using Idle-Proof.invar-push[of left x] 5 by auto
```

```
have [simp]: size left' = Suc (size left)
using Idle-Proof.size-push[of x left]
by auto
```

```
show ?case
proof(cases length-left' \leq 3 * length-right)
    case True
    with 5 show ?thesis
    using invar-left' Idle-Proof.size-push[of x left] Stack-Proof.size-not-empty[of
    left']
        by auto
    next
        case False
    let ?length-left = length-left' - length-right - 1
```

let ?length-right = Suc (2 * length-right)let ?states = States Right(Big1 (Current [] 0 left' ?length-left) left' [] ?length-left) (Small1 (Current [] 0 right ?length-right) right []) let ?states-stepped = $(step \widehat{} 6)$?states from invar-left' 5 False have invar: invar ?states **by**(*auto simp*: *rev-drop rev-take*) then have invar-stepped: invar ?states-stepped using invar-step-n by blast from False invar-left' 5 have remaining-steps: 6 < remaining-steps ?states **using** *Stack-Proof.size-not-empty*[*of right*] by *auto* then have remaining-steps-stepped: 0 < remaining-steps?states-stepped using invar remaining-steps-n-steps-sub by (*metis zero-less-diff*) from False invar-left' 5 have size-ok' ?states (remaining-steps ?states -6) **using** *Stack-Proof.size-not-empty*[*of right*] size-not-empty by auto then have *size-ok-stepped*: *size-ok*?*states-stepped* **using** *size-ok-steps*[*of* ?*states* 6] *remaining-steps invar* **by** blast from False show ?thesis using invar-stepped remaining-steps-stepped size-ok-stepped **by**(*auto simp*: *Let-def*) \mathbf{qed} next **case** ($6 \ x \ big \ small$) let ?small = Small.push x small**let** ?states = States Left big ?small let ?states-stepped = (step) ?statesfrom 6 have invar: invar ?states **using** *invar-push-small* [of Left big small x] by *auto* then have invar-stepped: invar ?states-stepped using *invar-step-n* by *blast* show ?case $proof(cases \ 4 < remaining-steps \ ?states)$ case True

```
obtain big-stepped small-stepped where stepped [simp]:
   ?states-stepped = States Left big-stepped small-stepped
   by (metis remaining-steps-states.cases step-n-same)
 from True have remaining-steps: 0 < remaining-steps?states-stepped
   using invar remaining-steps-n-steps-sub[of ?states 4]
   by simp
 from True 6(1) have size-ok: size-ok ?states-stepped
   using
     step-4-push-small-size-ok[of Left big small x]
     remaining-steps-push-small[of Left big small x]
   by auto
 from remaining-steps size-ok invar-stepped show ?thesis
   by(cases big-stepped; cases small-stepped)
    (auto simp: Let-def split!: common-state.split)
next
 case False
 then have remaining-steps-stepped: remaining-steps ?states-stepped = 0
   using invar by auto
 then obtain small-current small-idle big-current big-idle where idle [simp]:
  ?states-stepped =
  States Left
     (Big2 (common-state.Idle big-current big-idle))
     (Small3 (common-state.Idle small-current small-idle))
 using remaining-steps-idle' invar-stepped remaining-steps-stepped step-n-same
   by (smt (verit) invar-states.elims(2))
 from 6 have [simp]: size-new (Small.push x small) = Suc (size-new small)
   using Small-Proof.size-new-push by auto
 have [simp]: size small-idle = size-new (Small.push x small)
  using invar invar-stepped step-n-size-new-small[of Left big Small.push x small
   by auto
 then have [simp]: \neg is-empty small-idle
   using Idle-Proof.size-not-empty[of small-idle]
   by auto
 have size-new-big [simp]: 0 < size-new big
   using b
   by auto
 then have [simp]: size big-idle = size-new big
```

93

4]

```
using invar invar-stepped step-n-size-new-big[of Left big Small.push x small
     by auto
    then have [simp]: \neg is-empty big-idle
     using Idle-Proof.size-not-empty size-new-big
     by metis
    have size-ok-1: size small-idle \leq 3 * size big-idle
     using 6 by auto
    have size-ok-2: size big-idle \leq 3 * size small-idle
    using 6 by auto
    from False show ?thesis
     using invar-stepped size-ok-1 size-ok-2
     by auto
  \mathbf{qed}
\mathbf{next}
 case (7 x big small)
 let ?big = Big.push x big
 let ?states = States Right ?big small
 let ?states-stepped = (step ~ 4) ?states
 from 7 have invar: invar ?states
   using invar-push-big[of Right big small x]
   by auto
 then have invar-stepped: invar ?states-stepped
   using invar-step-n by blast
 show ?case
  proof(cases \ 4 < remaining-steps \ ?states)
    case True
    obtain big-stepped small-stepped where stepped [simp]:
     ?states-stepped = States Right big-stepped small-stepped
     by (metis remaining-steps-states.cases step-n-same)
    from True have remaining-steps: 0 < remaining-steps?states-stepped
     using invar remaining-steps-n-steps-sub[of ?states 4]
     by simp
    from True 7(1) have size-ok: size-ok ?states-stepped
     using
        step-4-push-big-size-ok[of Right big small x]
        remaining-steps-push-big[of Right big small x]
     by auto
```

```
from remaining-steps size-ok invar-stepped show ?thesis
     by(cases big-stepped; cases small-stepped)
       (auto simp: Let-def split!: common-state.split)
  next
    case False
    then have remaining-steps-stepped: remaining-steps ?states-stepped = 0
     using invar by auto
    then obtain small-current small-idle big-current big-idle where idle [simp]:
     ?states-stepped =
    States Right
        (Big2 (common-state.Idle big-current big-idle))
        (Small3 (common-state.Idle small-current small-idle))
    using remaining-steps-idle' invar-stepped remaining-steps-stepped step-n-same
     by (smt (verit) invar-states.elims(2))
    from 7 have [simp]: size-new (Big.push \ x \ big) = Suc \ (size-new \ big)
     using Big-Proof.size-new-push by auto
    have [simp]: size big-idle = size-new (Big.push x big)
      using invar invar-stepped step-n-size-new-big[of Right Big.push x big small
4]
     by auto
    then have [simp]: \neg is-empty big-idle
     using Idle-Proof.size-not-empty[of big-idle]
     by auto
    have size-new-small [simp]: 0 < size-new small
     using 7
     by auto
    then have [simp]: size small-idle = size-new small
    using invar invar-stepped step-n-size-new-small[of Right Big.push x big small
4]
     by auto
    then have [simp]: \neg is-empty small-idle
     using Idle-Proof.size-not-empty size-new-small
     by metis
    have size-ok-1: size small-idle \leq 3 * size big-idle
     using 7 by auto
    have size-ok-2: size big-idle \leq 3 * size small-idle
    using 7 by auto
    from False show ?thesis
```

```
using invar-stepped size-ok-1 size-ok-2
by auto
qed
qed auto
```

 \mathbf{end}

21 Top-Level Proof

```
theory RealTimeDeque-Proof
imports RealTimeDeque-Dequeue-Proof RealTimeDeque-Enqueue-Proof
begin
lemma swap-lists-left: invar (States Left big small) \Longrightarrow
    States-Aux.listL (States Left big small) = rev (States-Aux.listL (States Right
big small))
 by(auto split: prod.splits big-state.splits small-state.splits)
lemma swap-lists-right: invar (States Right big small) \Longrightarrow
    States-Aux.listL (States Right big small) = rev (States-Aux.listL (States Left
biq small))
 by(auto split: prod.splits biq-state.splits small-state.splits)
lemma swap-list [simp]: invar q \implies listR (swap q) = listL q
proof(induction q)
 case (Rebal states)
 then show ?case
   apply(cases states)
   using swap-lists-left swap-lists-right
   by (metis (full-types) RealTimeDeque-Aux.listL.simps(6) direction.exhaust in-
var-deque.simps(6) \ swap.simps(6) \ swap.simps(7))
qed auto
lemma swap-list': invar q \implies listL (swap q) = listR q
 using swap-list rev-swap
 by blast
lemma lists-same: lists (States Left big small) = lists (States Right big small)
 by (induction States Left big small rule: lists.induct) auto
lemma invar-swap: invar q \implies invar (swap q)
 by(induction q rule: swap.induct) (auto simp: lists-same split: prod.splits)
lemma listL-is-empty: invar deque \implies is-empty deque = (listL deque = [])
 using Idle-Proof.list-empty listL-remaining-steps
 by(cases deque) auto
interpretation RealTimeDeque: Deque where
```

empty = empty and

```
enqL
          = enqL
                      and
  enqR
          = enqR
                      and
         = firstL and
 firstL
 firstR
         = firstR and
 deqL
          = deqL
                     and
  deqR
          = deqR
                      and
  is-empty = is-empty and
         = listL
 listL
                   and
          = invar
  invar
proof (standard, goal-cases)
 case 1
 then show ?case
   by (simp add: empty-def)
\mathbf{next}
 case 2
 then show ?case
   by(simp add: list-enqL)
\mathbf{next}
 case (3 q x)
 then have listL (enqL x (swap q)) = x \# listR q
   by (simp add: list-enqL invar-swap swap-list')
  with 3 show ?case
   by (simp add: invar-enqL invar-swap)
\mathbf{next}
 case 4
 then show ?case
   using list-deqL by simp
\mathbf{next}
 case (5 q)
 then have listL (deqL (swap q)) = tl (listR q)
   using 5 list-deqL swap-list' invar-swap by fastforce
 then have listR (swap (deqL (swap q))) = tl (listR q)
   using 5 swap-list' invar-deqL invar-swap listL-is-empty swap-list
   by metis
  then show ?case
   by(auto split: prod.splits)
\mathbf{next}
 case b
 then show ?case
   using list-firstL by simp
\mathbf{next}
 case (7 q)
 from 7 have [simp]: listR q = listL (swap q)
   by (simp add: invar-swap swap-list')
```

```
from 7 have [simp]: first R q = first L (swap q)
   by(auto split: prod.splits)
 from 7 have listL (swap q) \neq []
   by auto
 with 7 have first (swap q) = hd (list (swap q))
   using invar-swap list-firstL by blast
 then show ?case
   using \langle firstR \ q = firstL \ (swap \ q) \rangle by auto
next
 case 8
 then show ?case
   using listL-is-empty by auto
next
 case 9
 then show ?case
   by (simp add: empty-def)
next
 case 10
 then show ?case
   by(simp add: invar-enqL)
\mathbf{next}
 case 11
 then show ?case
   by (simp add: invar-enqL invar-swap)
\mathbf{next}
 case 12
 then show ?case
   using invar-deqL by simp
\mathbf{next}
 case (13 q)
 then have invar (swap (deqL (swap q)))
   by (metis invar-deqL invar-swap listL-is-empty rev.simps(1) swap-list)
 then show ?case
   by (auto split: prod.splits)
qed
```

```
end
```

References

[1] T. Chuang and B. Goldberg. Real-time deques, multihead Turing machines, and purely functional programming. In J. Williams, editor, *Pro*ceedings of the conference on Functional programming languages and computer architecture, FPCA 1993, Copenhagen, Denmark, June 9-11, 1993, pages 289–298. ACM, 1993.