

# A First Complete Algorithm for Real Quantifier Elimination in Isabelle/HOL

Katherine Kosaian, Yong Kiam Tan, and André Platzer

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## Abstract

We formalize a multivariate quantifier elimination (QE) algorithm in the theorem prover Isabelle/HOL. Our algorithm is complete, in that it is able to reduce *any* quantified formula in the first-order logic of real arithmetic to a logically equivalent quantifier-free formula. The algorithm we formalize is a hybrid mixture of Tarski’s original QE algorithm [8] and the Ben-Or, Kozen, and Reif [2] algorithm, and it is the first complete multivariate QE algorithm formalized in Isabelle/HOL.

## Remark

This is the AFP entry associated with a corresponding paper by Kosaian, Tan, and Platzer [6]. Various auxiliary sources [1, 7] beyond the original BKR paper were helpful in the development of this AFP entry. The most closely related works are by Cyril Cohen and Assia Mahboubi [4, 3, 5].

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```

theory Multiv-Poly-Props
imports
  HOL-Computational-Algebra.Computational-Algebra
  Polynomial-Interpolation.Ring-Hom-Poly
  Virtual-Substitution.ExecutablePolyProps
  Sturm-Tarski.Pseudo-Remainder-Sequence

  Factor-Algebraic-Polynomial.Poly-Connection
  Virtual-Substitution.VSQuad

```

```
begin
```

## 1 Some definitions for lists of polynomials

```
abbreviation lead-coeffs:: 'a::zero Polynomial.poly list ⇒ 'a list
  where lead-coeffs p-list ≡ map Polynomial.lead-coeff p-list
```

```
definition coeffs-list:: 'a::zero Polynomial.poly list ⇒ 'a list
  where coeffs-list p-list ≡ concat (map Polynomial.coeffs p-list)
```

```
value lead-coeffs [:((Var 0 +(Const (3::real))*((Var 1)^2)):: real mpoly), 0, (1::real mpoly)::]
```

```
abbreviation degrees:: 'a::zero Polynomial.poly list ⇒ nat list
  where degrees polys ≡ map Polynomial.degree polys
```

```
value degrees [:((Var 0 +(Const (3::real))*((Var 1)^2)):: real mpoly), 0, (1::real mpoly)::]
```

```
fun variables:: real mpoly list ⇒ nat set
  where variables [] = {}
    | variables (h#T) = (vars h) ∪ (variables T)
```

```
fun variables-list:: real mpoly list ⇒ nat list
  where variables-list qs = sorted-list-of-set (variables qs)
```

```
lemma variables-prop:
  shows v ∈ variables qs ↔ (∃ q ∈ set qs. v ∈ vars q)
proof (induct qs)
  case Nil
  then show ?case by auto
next
  case (Cons a qs)
  then show ?case by simp
qed
```

```
lemma variables-finite:
  shows finite (variables qs)
proof (induct qs)
  case Nil
  then show ?case by auto
next
  case (Cons a qs)
  then show ?case
    by (simp add: vars-finite)
qed
```

```
lemma variables-list-prop:
```

**shows**  $v \in \text{set}(\text{variables-list } qs) \longleftrightarrow (\exists q \in \text{set } qs. v \in \text{vars } q)$   
**using** `variables-finite`  
**by** (`simp add: member-def variables-prop`)

## 2 Evaluating multivariate polynomials

```

definition eval-mpoly:: real list  $\Rightarrow$  real mpoly  $\Rightarrow$  real
  where eval-mpoly  $L p = \text{insertion}(\text{nth-default } 0 L) p$ 

value eval-mpoly [4, 1, 2] ((Var 0 + (Const (3::real)) * ((Var 1)^2))):: real mpoly

definition eval-mpoly-poly:: real list  $\Rightarrow$  real mpoly Polynomial.poly  $\Rightarrow$  real Polynomial.poly
  where eval-mpoly-poly  $L p = \text{map-poly}(\text{eval-mpoly } L) p$ 

lemma eval-mpoly-poly-coeff1:  $n \leq \text{Polynomial.degree}(\text{eval-mpoly-poly } L p) \implies$ 
   $\text{Polynomial.coeff}(\text{eval-mpoly-poly } L p) n = \text{eval-mpoly } L (\text{Polynomial.coeff } p n)$ 
  unfoldng eval-mpoly-poly-def
  by (simp add: coeff-map-poly eval-mpoly-def)

lemma eval-mpoly-poly-coeff2:  $\forall n > \text{Polynomial.degree}(\text{eval-mpoly-poly } L p). \text{Polynomial.coeff}(\text{eval-mpoly-poly } L p) n = 0$ 
  using coeff-eq-0 by auto

value eval-mpoly-poly [4, 1, 2] [:((Var 0 + (Const (3::real)) * ((Var 1)^2))):: real mpoly, 0, (1::real mpoly):]

definition eval-mpoly-poly-list:: real list  $\Rightarrow$  real mpoly Polynomial.poly list  $\Rightarrow$  real Polynomial.poly list
  where eval-mpoly-poly-list  $L p\text{-list} = \text{map}(\lambda x. (\text{eval-mpoly-poly } L x)) p\text{-list}$ 

interpretation eval-mpoly-map-poly-comm-ring-hom: map-poly-comm-ring-hom eval-mpoly val
  apply (unfold-locales)
  by (auto simp add: eval-mpoly-def insertion-add insertion-mult)

interpretation eval-mpoly-map-poly-idom-hom: map-poly-idom-hom eval-mpoly val..

interpretation eval-mpoly-poly-comm-ring-hom: comm-ring-hom eval-mpoly-poly val
  apply unfold-locales
  apply (auto simp add: eval-mpoly-poly-def)
  using eval-mpoly-map-poly-comm-ring-hom.base.map-poly-hom-add apply force
  using eval-mpoly-map-poly-comm-ring-hom.hom-mult by auto

interpretation eval-mpoly-poly-map-poly-idom-hom: map-poly-idom-hom eval-mpoly-poly val..

```

### 3 Removing highest degree monomial

```

definition one-less-degree:: real mpoly Polynomial.poly  $\Rightarrow$  real mpoly Polynomial.poly
  where one-less-degree p = p - Polynomial.monom (Polynomial.lead-coeff p)
        (Polynomial.degree p)

lemma one-less-degree-degree:
  assumes Polynomial.degree p > 0
  shows Polynomial.degree(one-less-degree p) < Polynomial.degree p
proof -
  obtain q where q: Polynomial.degree p = Suc q
    using assms not0-implies-Suc by blast
    from poly-as-sum-of-monomoms[of p]
  have p-is: p = ( $\sum_{i \leq q}$ . Polynomial.monom (poly.coeff p i) i) + Polynomial.monom
        (Polynomial.lead-coeff p) (Polynomial.degree p)
    by (simp add: q)
  then have e: p - Polynomial.monom (Polynomial.lead-coeff p) (Polynomial.degree p)
    = ( $\sum_{i \leq q}$ . Polynomial.monom (poly.coeff p i) i)
    using diff-eq-eq by blast
  show ?thesis unfolding one-less-degree-def e
    by (smt (z3) Polynomial.coeff-diff Polynomial.lead-coeff-monom Zero-not-Suc
      p-is cancel-comm-monoid-add-class.diff-cancel degree-0 degree-add-eq-left degree-monom-eq
      e leading-coeff-0-iff linorder-neqE-nat q)
  qed

lemma sublist-prefix-property:
  assumes length og-list  $\geq$  length sub-list
  assumes  $\forall i < \text{length sub-list}. \text{sub-list} ! i = \text{og-list} ! i$ 
  shows Sublist.prefix sub-list og-list
  using assms
proof (induct length sub-list arbitrary: sub-list)
  case 0
  then show ?case by auto
next
  case (Suc x)
  then have  $\exists a l. \text{sub-list} = \text{append } l [a]$ 
    by (metis length-greater-0-conv rev-exhaust zero-less-Suc)
  then obtain a l where al-prop: sub-list = append l [a]
    by auto
  then have length-l: List.length l = x
    using Suc.hyps(2) by auto
  then have prefix l og-list
    by (metis Suc.hyps(1) Suc.hyps(2) Suc.psms(1) Suc.psms(2) Suc-leD al-prop
      butlast-snoc lessI nth-butlast order.strict-trans)
  then have  $\exists t. \text{og-list} = l @ t$ 
    using prefix-def by blast
  then obtain t where t-prop: og-list = l @ t by auto
  then have t-first: og-list ! (length sub-list - 1) = (t ! 0)
    by (metis Suc.hyps(2) diff-Suc-1 diff-self-eq-0 length-l less-irrefl nth-append)

```

```

have sub-list ! (length sub-list - 1) = a
  using al-prop
  by fastforce
then have og-list ! (length sub-list - 1) = a
  using Suc.hyps(2) Suc.prems by fastforce
then have t-zero: t ! 0 = a
  using t-prop t-first by auto
have length l = length sub-list - 1
  using Suc.hyps(2) length-l by presburger
then have length t > 0
  using assms
  by (metis Suc.hyps(2) Suc.prems(1) append.right-neutral bot-nat-0.not-eq-extremum
diff-is-0-eq length-0-conv length-l lessI t-prop zero-less-diff)
then have ∃ t1. t = a # t1
  using t-zero
  by (metis length-0-conv less-nat-zero-code list.exhaust nth-Cons-0)
then show ?case
  using t-prop al-prop
  by force
qed

lemma one-less-degree-is-prefix:
  assumes Polynomial.degree q > 0
  shows Sublist.prefix (Polynomial.coeffs (one-less-degree q)) (Polynomial.coeffs q)
proof -
  let ?sub-list = Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)
  have ∀ i < length ?sub-list. Polynomial.coeff (one-less-degree q) i = Polynomial.coeff q i
    by (metis (no-types, lifting) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-diff
Polynomial.coeff-monom assms coeffs-eq-Nil diff-zero length-0-conv length-coeffs-degree
less-Suc-eq-0-disj not-less-eq one-less-degree-degree)
  then have ∀ i < length ?sub-list. ?sub-list ! i = (Polynomial.coeffs q) ! i
    unfolding Polynomial.coeffs-def
    by (smt (verit, ccfv-SIG) add-0 assms degree-0 diff-zero length-map length-up
less-Suc-eq less-nat-zero-code list.size(3) nth-map-up one-less-degree-degree order.strict-trans)

  then show ?thesis
    using sublist-prefix-property assms
    by (smt (verit, del-insts) Suc-mono bot-nat-0.not-eq-extremum coeffs-eq-Nil
degree-0 length-coeffs-degree less-imp-le-nat list.size(3) one-less-degree-degree or
der-less-subst1)
qed

lemma one-less-degree-is-strict-prefix:
  assumes Polynomial.degree q > 0
  shows Sublist.strict-prefix (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree
q)) (Polynomial.coeffs q)
proof -
  have length (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)) < length

```

```

(Polynomial.coeffs q)
  using one-less-degree-degree[of q]
  assms
  by (metis coeffs-0-eq-Nil degree-0 length-coeffs-degree less-nat-zero-code list.size(3)
not-less-eq)
  then show ?thesis
  using one-less-degree-is-prefix assms
  by (metis less-irrefl-nat prefix-order.order-iff-strict)
qed

lemma coeff-one-less-degree-var:
  assumes 0 < Polynomial.degree p
  assumes one-less-degree p ≠ 0
  shows i ≤ Polynomial.degree (one-less-degree p) ==>
    poly.coeff p i = poly.coeff (one-less-degree p) i
proof –
  fix i
  assume i-leq: i ≤ Polynomial.degree (one-less-degree p)
  then have i-lt: i < length (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree
p))
  unfolding Polynomial.coeffs-def using assms by auto
  have i-lt2: i < (Polynomial.degree p)
  using i-leq one-less-degree-degree[of p] assms(1) by auto
  have len-map1: length (map (poly.coeff p) [0..Suc (Polynomial.degree p)]) =
Polynomial.degree p + 1
  by auto
  have len-map2: length (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree p))
= Polynomial.degree (one-less-degree p) + 1
  unfolding Polynomial.coeffs-def using assms(2) by auto
  have p ≠ 0
  using assms(1) by auto
  then have same-coeff: poly.coeff p i = (Polynomial.coeffs) p ! i
  unfolding Polynomial.coeffs-def using assms i-lt2 len-map1
  by (smt (verit, best) Suc-eq-plus1 add-0 le-simps(2) length-map less-imp-le-nat
nth-map nth-up)
  obtain zs where zs-prop: Polynomial.coeffs p =
  (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree p)) @ zs
  using assms i-leq one-less-degree-is-prefix[of p] unfolding prefix-def
  by auto
  have same-coeff-2: ((Polynomial.coeffs (Multiv-Poly-Props.one-less-degree p)) @
zs) ! i =
  (Polynomial.coeffs (one-less-degree p)) ! i
  using i-lt
  by (simp add: nth-append)
  show poly.coeff p i = poly.coeff (one-less-degree p) i
  using same-coeff same-coeff-2 zs-prop
  by (simp add: i-lt nth-coeffs-coeff)
qed

```

```

lemma coeff-one-less-degree:
  assumes one-less-degree  $p \neq 0$ 
  shows  $i \leq \text{Polynomial.degree}(\text{one-less-degree } p) \implies$ 
     $\text{poly.coeff } p \ i = \text{poly.coeff}(\text{one-less-degree } p) \ i$ 
proof -
  have  $\text{Polynomial.degree } p > 0$ 
  using assms
  by (metis (no-types, lifting) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-diff
  Polynomial.coeff-monom diff-zero eq-iff-diff-eq-0 eq-iff-diff-eq-0 le-degree leading-coeff-0-iff
  linorder-not-less zero-less-iff-neq-zero)
  then show  $\bigwedge i. i \leq \text{Polynomial.degree}(\text{one-less-degree } p) \implies$ 
     $\text{poly.coeff } p \ i = \text{poly.coeff}(\text{one-less-degree } p) \ i$ 
  using coeff-one-less-degree-var assms
  by auto
qed

lemma coeff-one-less-degree-subset:
  assumes one-less-degree  $q \neq 0$ 
  shows  $\text{set}(\text{Polynomial.coeffs}(\text{Multiv-Poly-Props.one-less-degree } q)) \subseteq \text{set}(\text{Polynomial.coeffs}$ 
 $q)$ 
proof clar simp
  fix  $x$ 
  assume  $x \in \text{set}(\text{Polynomial.coeffs}(\text{Multiv-Poly-Props.one-less-degree } q))$ 
  then obtain  $i$  where  $i\text{-prop}: i \leq \text{Polynomial.degree}(\text{one-less-degree } q)$ 
   $x = \text{poly.coeff}(\text{one-less-degree } q) \ i$ 
  unfolding Polynomial.coeffs-def using assms
  using atMost upto by auto
  have  $\text{Polynomial.degree } q > 0$ 
  using assms
  by (metis (no-types, lifting) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-diff
  Polynomial.coeff-monom diff-zero eq-iff-diff-eq-0 eq-iff-diff-eq-0 le-degree leading-coeff-0-iff
  linorder-not-less zero-less-iff-neq-zero)
  then show  $x \in \text{set}(\text{Polynomial.coeffs } q)$ 
  using coeff-one-less-degree assms  $i\text{-prop}$ 
  unfolding Polynomial.coeffs-def using one-less-degree-degree
  by (smt (verit, best) Polynomial.coeffs-def coeff-in-coeffs degree-0 le-trans less-imp-le-nat
  less-numeral-extra(3))
qed

lemma coeffs-between-one-less-degree:
  assumes  $0 < \text{Polynomial.degree } p$ 
  assumes igt:  $i > \text{Polynomial.degree}(\text{one-less-degree } p)$ 
  assumes ilt:  $i < \text{Polynomial.degree } p$ 
  shows  $\text{poly.coeff } p \ i = 0$ 
  using assms
  using Multiv-Poly-Props.one-less-degree-def coeff-eq-0 by fastforce

lemma poly-p-altdef-one-less-degree:

```

```

assumes deg-gt: Polynomial.degree p > 0
assumes deg-is: Polynomial.degree p = d
shows poly p x = ( $\sum_{i \leq \text{Polynomial.degree}(\text{one-less-degree } p)} (\text{one-less-degree } p) i * x^i$ ) +
 $(\text{Polynomial.coeff } p \ d) * (x^d)$ 
proof -
  let ?lp = (one-less-degree p)
  let ?deg-lp = Polynomial.degree ?lp
  have poly p x = ( $\sum_{i \leq d} \text{Polynomial.coeff } p \ i * x^i$ )
    using assms poly-altdef by auto
  then have p-is: poly p x = ( $\sum_{i \leq (d - 1)} \text{Polynomial.coeff } p \ i * x^i$ ) +
 $(\text{Polynomial.coeff } p \ d) * (x^d)$ 
    using deg-gt
    by (metis (no-types, lifting) One-nat-def Suc-pred assms(2) sum.atMost-Suc)
  have well-def: ?deg-lp  $\leq d - 1$ 
    using one-less-degree-degree deg-gt deg-is
    by (metis One-nat-def Suc-pred less-Suc-eq-le)
  then have sum1: ( $\sum_{i \leq (d - 1)} \text{Polynomial.coeff } p \ i * x^i$ ) = ( $\sum_{i \leq \text{Polynomial.degree} ?lp} \text{Polynomial.coeff } p \ i * x^i$ )
    proof -
      have  $\bigwedge i. (i > \text{Polynomial.degree} ?lp \wedge i \leq d - 1) \implies \text{Polynomial.coeff } p \ i = 0$ 
        using deg-is coeffs-between-one-less-degree
        by (metis One-nat-def Suc-pred deg-gt less-Suc-eq-le)
      then show ?thesis using well-def
      proof (induct d - 1 - ?deg-lp arbitrary: d)
        case 0
        then show ?case
        by (metis (no-types, lifting) diff-is-0-eq le-antisym)
      next
        case (Suc xa)
        then have sum: ( $\sum_{i \leq d - 1} \text{poly.coeff } p \ i * x^i$ ) =
 $(\sum_{i \leq d - 2} \text{poly.coeff } p \ i * x^i) + (\text{poly.coeff } p \ (d - 1) * x^{d - 1})$ 
          using Nat.diff-diff-right One-nat-def Suc-le-mono Suc-pred add-diff-cancel-right'
          bot-nat-0.not-eq-extremum diff-is-0-eq le-numeral-extra(4) nat-1-add-1 sum.atMost-Suc
          zero-le
          by (smt (verit, del-insts))
        have h0: xa = d - 1 - 1 - Polynomial.degree (Multiv-Poly-Props.one-less-degree p)
          using Suc.hyps(2) by auto
        have h1: ( $\bigwedge i. \text{Polynomial.degree} (\text{Multiv-Poly-Props.one-less-degree } p) < i \wedge$ 
 $i \leq d - 1 - 1 \implies \text{poly.coeff } p \ i = 0$ )
          using Suc.preds(1) by auto
        have h2: Polynomial.degree (Multiv-Poly-Props.one-less-degree p)  $\leq d - 1 - 1$ 
          using Suc.preds Suc.hyps(2) by auto
        have eq1: ( $\sum_{i \leq d - 2} \text{poly.coeff } p \ i * x^i$ ) = ( $\sum_{i \leq \text{Polynomial.degree} ?lp} \text{Polynomial.coeff } p \ i * x^i$ )
          by (metis (no-types, lifting) diff-is-0-eq le-antisym)
      qed
    qed
  qed
qed

```

```

using Suc.hyps(1)[OF h0 h1 h2]
by (metis (no-types, lifting) diff-diff-left one-add-one)
have dgt:  $d - 1 > \text{Polynomial.degree}(\text{one-less-degree } p)$ 
  using Suc.preds Suc.hyps(2) by auto
have eq2:  $\text{poly.coeff } p (d-1) = 0$ 
  using Suc.preds
  using dgt by blast
then show ?case using sum eq1 eq2
  by fastforce
qed
qed
have sum2:  $(\sum i \leq \text{Polynomial.degree}(\text{one-less-degree } p). \text{Polynomial.coeff } p i * x^i) = (\sum i \leq \text{Polynomial.degree}(\text{one-less-degree } p). \text{Polynomial.coeff}(\text{one-less-degree } p) i * x^i)$ 
  using coeff-one-less-degree
  by (smt (verit, del-insts) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-monom atMost-iff bot-nat-0.extremum-uniqueI deg-gt degree-0 eq-iff-diff-eq-0 leading-coeff-0-iff nat-neq-iff sum.cong)
then show ?thesis
  using p-is sum1 sum2
  by presburger
qed

```

## 4 Expressing as univariate

```

definition transform:: real mpoly list  $\Rightarrow$  real mpoly Polynomial.poly list
  where transform qs = (let vs = variables-list qs in
    map ( $\lambda q.$  (mpoly-to-mpoly-poly (nth vs (length vs - 1)) q)) qs)

definition mpoly-to-mpoly-poly-alt :: nat  $\Rightarrow$  'a :: comm-ring-1 mpoly  $\Rightarrow$  'a mpoly
  Polynomial.poly where
  mpoly-to-mpoly-poly-alt x p =  $(\sum i \in \{0..MPoly-Type.degree p x\}. \text{Polynomial.monom}(\text{isolate-variable-sparse } p x i) i)$ 

definition univariate-in:: real mpoly list  $\Rightarrow$  nat  $\Rightarrow$  real mpoly Polynomial.poly list
  where univariate-in qs i = map (mpoly-to-mpoly-poly-alt i) qs

lemma degree-less-sum-max:
  shows MPoly-Type.degree(p+q) var  $\leq \max(MPoly-Type.degree p \text{ var}) (MPoly-Type.degree q \text{ var})$ 
  by (simp add: degree-add-leq)

lemma mpoly-to-mpoly-poly-alt-sum-aux :
  shows  $(\sum i = 0..b. \text{Polynomial.monom}(\text{isolate-variable-sparse } (p + q) x i) i) = (\sum i = 0..b. \text{Polynomial.monom}(\text{isolate-variable-sparse } p x i) i) + (\sum i = 0..b. \text{Polynomial.monom}(\text{isolate-variable-sparse } q x i) i)$ 
  proof (induct b)

```

```

case 0
then show ?case using isovarspar-sum[of p q x 0]
  by (simp add: add-monom)
next
  case (Suc x)
  then show ?case
    using isovarspar-sum[of p q x Suc x]
  proof -
    have f1: ( $\sum n = 0..x. \text{Polynomial.monom}(\text{isolate-variable-sparse}(p + q) x n)$ ) = ( $\sum n = 0..x. \text{Polynomial.monom}(\text{isolate-variable-sparse} p x n) n + \text{Polynomial.monom}(\text{isolate-variable-sparse} q x n) n$ )
    by (simp add: isovarspar-sum monom-hom.hom-add)
    have Polynomial.monom (isolate-variable-sparse (p + q) x (Suc x)) (Suc x) = Polynomial.monom (isolate-variable-sparse p x (Suc x)) (Suc x) + Polynomial.monom (isolate-variable-sparse q x (Suc x)) (Suc x)
    by (simp add: add-monom isovarspar-sum)
    then have ( $\sum n = 0..x. \text{Polynomial.monom}(\text{isolate-variable-sparse}(p + q) x n)$ ) + Polynomial.monom (isolate-variable-sparse (p + q) x (Suc x)) (Suc x) = ( $\sum n = 0..x. \text{Polynomial.monom}(\text{isolate-variable-sparse} p x n) n + \text{Polynomial.monom}(\text{isolate-variable-sparse} q x n) n$ ) + (Polynomial.monom (isolate-variable-sparse p x (Suc x)) (Suc x) + Polynomial.monom (isolate-variable-sparse q x (Suc x)) (Suc x))
    using f1 by presburger
    then have ( $\sum n = 0..Suc x. \text{Polynomial.monom}(\text{isolate-variable-sparse}(p + q) x n)$ ) = ( $\sum n = 0..x. \text{Polynomial.monom}(\text{isolate-variable-sparse} p x n) n + \text{Polynomial.monom}(\text{isolate-variable-sparse} q x n) n$ ) + (Polynomial.monom (isolate-variable-sparse p x (Suc x)) (Suc x) + Polynomial.monom (isolate-variable-sparse q x (Suc x)) (Suc x))
    by (smt (z3) sum.atLeast0-atMost-Suc)
    then have ( $\sum n = 0..Suc x. \text{Polynomial.monom}(\text{isolate-variable-sparse}(p + q) x n)$ ) = ( $\sum n = 0..Suc x. \text{Polynomial.monom}(\text{isolate-variable-sparse} p x n) n + \text{Polynomial.monom}(\text{isolate-variable-sparse} q x n) n$ )
    by (smt (z3) sum.atLeast0-atMost-Suc)
    then show ?thesis
    by (smt (z3) Suc add-monom isovarspar-sum sum.atLeast0-atMost-Suc sum.distrib)
  qed
qed

lemma isovar-sum-to-higher-degree:
  assumes b  $\geq$  (MPoly-Type.degree p x)
  shows ( $\sum i = 0..(\text{MPoly-Type.degree } p x). \text{Polynomial.monom}(\text{isolate-variable-sparse} p x i) i$ ) = ( $\sum i = 0..b. \text{Polynomial.monom}(\text{isolate-variable-sparse} p x i) i$ )
  using assms
  proof (induct b)
  case 0
  then show ?case
  by auto
next

```

```

case (Suc b)
then show ?case using isovar-greater-degree
by (smt (z3) Orderings.order-eq-iff add.commute add-0 isolate-variable-sparse-ne-zeroD
le-SucE monom-hom.hom-zero sum.atLeast0-atMost-Suc)
qed

lemma mpoly-to-mpoly-poly-alt-sum :
shows mpoly-to-mpoly-poly-alt x (p+q) = (mpoly-to-mpoly-poly-alt x p) + (mpoly-to-mpoly-poly-alt
x q)
proof -
  let ?deg = max (MPoly-Type.degree p x) (MPoly-Type.degree q x)
  have ( $\sum i = 0..MPoly\text{-}Type.degree(p + q)$ ) x.
    Polynomial.monom (isolate-variable-sparse (p + q) x i) i =
    ( $\sum i = 0..MPoly\text{-}Type.degree(p)$  x. Polynomial.monom (isolate-variable-sparse
p x i) i) +
    ( $\sum i = 0..MPoly\text{-}Type.degree(q)$  x. Polynomial.monom (isolate-variable-sparse q
x i) i)
  proof (induct ?deg arbitrary: p q)
    case 0
      then have MPoly-Type.degree (p + q) x = 0  $\wedge$  MPoly-Type.degree p x = 0  $\wedge$ 
MPoly-Type.degree q x = 0
        using degree-add-leq[of p x 0 q]
        by (metis le-zero-eq max.cobounded1 max.cobounded2)
      then show ?case
        using isovarspar-sum[of p q x]
        by (simp add: add-monom)
    next
      case (Suc xa)
      let ?deg-sum = MPoly-Type.degree (p+q) x
      let ?deg-p = (MPoly-Type.degree p x)
      let ?deg-q = (MPoly-Type.degree q x)
      have ?deg-sum = max ?deg-p ?deg-q  $\vee$  ?deg-sum < max ?deg-p ?deg-q
        using degree-less-sum-max[of p q x] by auto
      moreover {
        assume *: ?deg-sum = max ?deg-p ?deg-q
        have eq1: ( $\sum i = 0..?deg-p$ . Polynomial.monom (isolate-variable-sparse p
x i) i) =
          ( $\sum i = 0..?deg-sum$ . Polynomial.monom (isolate-variable-sparse p x i) i)
        using * isovar-sum-to-higher-degree
          by (simp add: isovar-sum-to-higher-degree)
        have eq2: ( $\sum i = 0..?deg-q$ . Polynomial.monom (isolate-variable-sparse q
x i) i) =
          ( $\sum i = 0..?deg-sum$ . Polynomial.monom (isolate-variable-sparse q x i) i)
        using * isovar-sum-to-higher-degree
          by (simp add: isovar-sum-to-higher-degree)
        then have ( $\sum i = 0..?deg-sum$ .
          Polynomial.monom (isolate-variable-sparse (p + q) x i) i) =
          ( $\sum i = 0..?deg-p$ . Polynomial.monom (isolate-variable-sparse p x i) i) +
          ( $\sum i = 0..?deg-q$ . Polynomial.monom (isolate-variable-sparse q x i) i)

```

```

    by (simp add: eq1 eq2 mpoly-to-mpoly-poly-alt-sum-aux)
}
moreover {
  assume *: ?deg-sum < max ?deg-p ?deg-q
  let ?mx = max ?deg-p ?deg-q
  have eq1: ( $\sum i = 0..?deg-p. \text{Polynomial.monom} (\text{isolate-variable-sparse } p x$ 
 $i) i$ ) =
 $(\sum i = 0..?mx. \text{Polynomial.monom} (\text{isolate-variable-sparse } p x i) i)$ 
  using * isovar-sum-to-higher-degree
    by (simp add: isovar-sum-to-higher-degree)
  have eq2: ( $\sum i = 0..?deg-q. \text{Polynomial.monom} (\text{isolate-variable-sparse } q x$ 
 $i) i$ ) =
 $(\sum i = 0..?mx. \text{Polynomial.monom} (\text{isolate-variable-sparse } q x i) i)$ 
  using * isovar-sum-to-higher-degree
    by (simp add: isovar-sum-to-higher-degree)
  have eq3: ( $\sum i = 0..?deg-sum. \text{Polynomial.monom} (\text{isolate-variable-sparse } (p$ 
 $+ q) x i) i$ ) =
 $(\sum i = 0..?mx. \text{Polynomial.monom} (\text{isolate-variable-sparse } (p + q) x i) i)$ 
  using * isovar-sum-to-higher-degree
    by (simp add: isovar-sum-to-higher-degree)
  then have ( $\sum i = 0..?deg-sum.$ 
 $\text{Polynomial.monom} (\text{isolate-variable-sparse } (p + q) x i) i$ ) =
 $(\sum i = 0..?deg-p. \text{Polynomial.monom} (\text{isolate-variable-sparse } p x i) i) +$ 
 $(\sum i = 0..?deg-q. \text{Polynomial.monom} (\text{isolate-variable-sparse } q x i) i)$ 
  using mpoly-to-mpoly-poly-alt-sum-aux eq1 eq2 eq3
  by auto
}
ultimately have ( $\sum i = 0..?deg-sum.$ 
 $\text{Polynomial.monom} (\text{isolate-variable-sparse } (p + q) x i) i$ ) =
 $(\sum i = 0..?deg-p. \text{Polynomial.monom} (\text{isolate-variable-sparse } p x i) i) +$ 
 $(\sum i = 0..?deg-q. \text{Polynomial.monom} (\text{isolate-variable-sparse } q x i) i)$ 
  by fastforce
then show ?case
  by fastforce
qed
then show ?thesis
  by (simp add: mpoly-to-mpoly-poly-alt-def)
qed

lemma multivar-as-univar: mpoly-to-mpoly-poly-alt x p = mpoly-to-mpoly-poly x p
proof (induct p rule: mpoly-induct)
  case (monom m a)
  have a = 0 ∨ a ≠ 0 by auto
  moreover {
    assume *: a = 0
    then have mpoly-to-mpoly-poly-alt x (MPoly-Type.monom m a) =
      mpoly-to-mpoly-poly x (MPoly-Type.monom m a)
    using mpoly-to-mpoly-poly-monom[of x m a]
    unfolding mpoly-to-mpoly-poly-alt-def
  }

```

```

isolate-variable-sparse-monom[of m a]
by auto
}
moreover {
assume *: a ≠ 0
then have monomials (MPoly-Type.monom m a) = {m}
using MPolyExtension.monomials-monom by auto
then have (lookup m x) = MPoly-Type.degree (MPoly-Type.monom m a) x
using degree-eq-iff[of (MPoly-Type.monom m a) x]
by (simp add: * degree-monom)
then have h1: (∑ i = 0..MPoly-Type.degree (MPoly-Type.monom m a) x.
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x i))
i) =
(∑ i = 0..(lookup m x).
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x i))
i)
by auto
have ∀i. i < (lookup m x) ==>
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x i) i =
0
using isolate-variable-sparse-monom[of m a]
by auto
then have (∑ i = 0..<(lookup m x).
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x i)) i =
0
by simp
then have h2: (∑ i = 0..(lookup m x).
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x i)) i =
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x (lookup m x))
(lookup m x)
by (simp add: sum.head-if)
have k1: (∑ i = 0..MPoly-Type.degree (MPoly-Type.monom m a) x.
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x i))
i) =
Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom m a) x (lookup m x))
(lookup m x)
using h1 h2 by auto
have Abs-poly-mapping ((lookup m)(x := 0)) =
Abs-poly-mapping (λk. lookup m k when k ≠ x)
by (metis (full-types) when(1) when(2) fun-upd-apply)
then have (Poly-Mapping.update x 0 m) = (remove-key x m)
unfolding remove-key-def update-def
by (auto)
then have MPoly-Type.monom (Poly-Mapping.update x 0 m) a = MPoly-Type.monom
(remove-key x m) a
by auto
then have isolate-variable-sparse (MPoly-Type.monom m a) x (lookup m x) =

```

```

MPoly-Type.monom (remove-key x m) a
  using ExecutablePolyProps.isolate-variable-sparse-monom[of m a x (lookup m
x)] *
  by auto
  then have k2: Polynomial.monom (isolate-variable-sparse (MPoly-Type.monom
m a) x (lookup m x)) (lookup m x) =
Polynomial.monom (MPoly-Type.monom (remove-key x m) a) (lookup m x)
  by auto
  have mpoly-to-mpoly-poly-alt x (MPoly-Type.monom m a) =
    mpoly-to-mpoly-poly x (MPoly-Type.monom m a) unfolding mpoly-to-mpoly-poly-alt-def
    using k1 k2 mpoly-to-mpoly-poly-monom[of x m a]
    by auto
  }
ultimately have mpoly-to-mpoly-poly-alt x (MPoly-Type.monom m a) =
  mpoly-to-mpoly-poly x (MPoly-Type.monom m a)
  by blast
then show ?case
  by blast
next
  case (sum p1 p2 m a)
  then show ?case
    using mpoly-to-mpoly-poly-add[of x p1 p2] mpoly-to-mpoly-poly-alt-sum[of x p1
p2]
    by auto
qed

```

## 5 Same mpoly eval means same polynomials

```

lemma var-in-some-coeff:
  fixes p::real mpoly Polynomial.poly
  fixes w::real mpoly
  assumes x ∈ vars ((poly p w)::real mpoly)
  shows x ∈ vars w ∨ (∃ i. x ∈ vars (poly.coeff p i))
  using assms
proof (induct Polynomial.degree p arbitrary: p rule: less-induct)
  case less
  {assume *: Polynomial.degree p = 0
    then have x ∈ vars w ∨ (∃ i. x ∈ vars (poly.coeff p i))
      using poly-altdef[of p w]
      using local.less(2) by force
  } moreover
  {assume *: Polynomial.degree p > 0
    then obtain xa where deg-is: Polynomial.degree p = xa + 1
      by (metis Suc-eq-plus1 less-numeral-extra(3) not0-implies-Suc)
    then have poly-p-w: poly p w = (∑ i≤xa. poly.coeff p i * w ^ i) + (poly.coeff
p (xa + 1) * w ^ (xa + 1))
      using poly-altdef[of p w]
      by (metis (no-types, lifting) Suc-eq-plus1 sum.atMost-Suc)
  }

```

```

then have xin:  $x \in \text{vars} (\sum i \leq xa. \text{poly.coeff } p \ i * w^i) \vee x \in \text{vars} (\text{poly.coeff } p (xa + 1) * w^{xa + 1})$ 
  using vars-add
  using local.less(2) by auto
let ?q = one-less-degree p
have less-deg:  $(\text{poly } (\text{one-less-degree } p) \ w) = (\sum i \leq xa. \text{poly.coeff } p \ i * w^i)$ 
  using coeff-one-less-degree poly-altdef deg-is
  by (smt (verit, best) local.less(2) Suc-eq-plus1 poly-p-w add-diff-cancel-right'
    poly-p-altdef-one-less-degree sum.cong zero-less-Suc)
{assume **:  $x \in \text{vars} (\text{poly.coeff } p (xa + 1) * w^{xa + 1})$ 
  then have  $x \in \text{vars} w \vee (\exists i. x \in \text{vars} (\text{poly.coeff } p \ i))$ 
    using vars-mult[of poly.coeff p (xa + 1) w^{xa + 1}]
    by (meson not-in-mult not-in-pow)
}
moreover {assume **:  $x \in \text{vars} (\sum i \leq xa. \text{poly.coeff } p \ i * w^i)$ 
  then have  $x \in \text{vars} (\text{poly } (\text{one-less-degree } p) \ w)$ 
    using less-deg by auto
  then have  $x \in \text{vars} w \vee (\exists i. x \in \text{vars} (\text{poly.coeff } (\text{one-less-degree } p) \ i))$ 
    using less.hyps one-less-degree-degree *
    by simp
  then have  $x \in \text{vars} w \vee (\exists i. x \in \text{vars} (\text{poly.coeff } p \ i))$ 
    using coeff-one-less-degree
    by (metis coeff-eq-0 le-degree lessI zero-poly.rep-eq)
}
ultimately have  $x \in \text{vars} w \vee (\exists i. x \in \text{vars} (\text{poly.coeff } p \ i))$ 
  using xin
  by auto
} ultimately show ?case
  by auto
qed

fun zero-list:: nat  $\Rightarrow$  ('a::zero) list
  where zero-list 0 = []
  | zero-list (Suc n) = (0:'a) # (zero-list n)

lemma zero-list-len:
  shows length (zero-list n) = n
  proof (induct n)
    case 0
      then show ?case by auto
    next
      case (Suc n)
        then show ?case by auto
    qed

```

**lemma** zero-list-member:

```

shows  $m < n \implies (\text{zero-list } n) ! m = 0$ 
proof -
  assume  $m < n$ 
  then show  $(\text{zero-list } n) ! m = 0$ 
    proof (induct  $n$  arbitrary:  $m$ )
      case 0
      then show ?case by auto
    next
      case ( $Suc n$ )
      then show ?case
        using less-Suc-eq-0-disj by force
    qed
qed

lemma eval-mpoly-zero-is-zero:
assumes all-same:  $\bigwedge L. \text{eval-mpoly } L p = 0$ 
shows  $p = 0$ 
using assms
proof (induct List.length (sorted-list-of-set (vars p)) arbitrary:  $p$  rule: less-induct)
  case less
  {assume *:  $\text{vars } p = \{\}$ 
   then obtain  $k$  where  $k\text{-prop: } p = \text{Const } k$ 
     using vars-empty-iff
     by blast
   then have  $k \neq 0 \implies \text{False}$  using all-same
   proof -
     assume *: $k \neq 0$ 
     have eval-mpoly [1]  $p = k$ 
       using k-prop unfolding eval-mpoly-def
       by auto
     then show False
       using less.prem * by auto
   qed
   then have  $p = 0$ 
     using k-prop
     using mpoly-Const-0
     by blast
  }
  moreover {assume *:  $\text{vars } p \neq \{\}$ 
   then obtain  $k$  where  $k \in \text{vars } p$ 
     by blast
   then have MPoly-Type.degree  $p k > 0$ 
     using degree-pos-iff by blast
   let ?uni-conn = mpoly-to-mpoly-poly-alt  $k p$ 
   have Polynomial.degree ?uni-conn  $> 0$ 
     by (simp add:  $\langle 0 < \text{MPoly-Type.degree } p k \rangle$  multivar-as-univar)
   then have ?uni-conn  $\neq 0$ 
     by auto
   then have finset: finite { $x. \text{Polynomial.poly } ?\text{uni-conn } x = 0$ } }

```

```

using poly-roots-finite[of ?uni-conn]
by blast
then have  $\exists (w:\text{real}). \text{Polynomial.poly ?uni-conn} (\text{Const } w) \neq 0$ 
proof -
have  $(\forall (w:\text{real}). \text{Const } w \in \{x. \text{Polynomial.poly ?uni-conn } x = 0\}) \implies \text{False}$ 
proof -
assume  $\forall w. \text{Const } w \in \{x. \text{Polynomial.poly ?uni-conn } x = 0\}$ 
then have subset:  $\{x. \exists (w:\text{real}). \text{Const } w = x\} \subseteq \{x. \text{Polynomial.poly ?uni-conn } x = 0\}$ 
by auto
have bij-betw  $(\lambda x:\text{real}. \text{Const } x) \{x. \exists (w:\text{real}). w = x\} \{x. \exists (w:\text{real}). \text{Const } w = x\}$ 
proof -
have h1: inj-on Const  $\{x. \exists w. w = x\}$ 
unfolding inj-on-def by auto
have h2: Const ' $\{x. \exists w. w = x\} = \{x. \exists w. \text{Const } w = x\}$ '
unfolding image-def by auto
show ?thesis using h1 h2 unfolding bij-betw-def
by auto
qed
then have set-eq: finite  $\{x. \exists (w:\text{real}). w = x\} = \text{finite } \{x. \exists (w:\text{real}). \text{Const } w = x\}$ 
using bij-betw-finite[of -  $\{x. \exists (w:\text{real}). w = x\} \{x. \exists w. \text{Const } w = x\}$ ]
by auto
have h1:  $\neg (\text{finite } \{x. \exists (w:\text{real}). w = x\})$ 
using infinite-UNIV-char-0
by auto
then have  $\neg (\text{finite } \{x. \exists (w:\text{real}). \text{Const } w = x\})$ 
using set-eq h1 by auto
then have  $\neg (\text{finite } \{x. \text{Polynomial.poly ?uni-conn } x = 0\})$ 
using subset infinite-super by auto
then show False using finset
by auto
qed
then show ?thesis
by auto
qed
then obtain w where w-prop:  $((\text{Polynomial.poly ?uni-conn} (\text{Const } w))::\text{real mpoly}) \neq 0$ 
by auto
have vars: vars  $((\text{Polynomial.poly ?uni-conn} (\text{Const } w))::\text{real mpoly}) \subseteq \text{vars } p$ 
- {k}
proof
fix x
assume *:  $x \in \text{vars} (\text{poly (mpoly-to-mpoly-poly-alt k p)} (\text{Const } w))$ 
have vars (Const w) = {} by auto
then have ex-i:  $\exists i. x \in \text{vars} (\text{poly.coeff (mpoly-to-mpoly-poly k p)} i)$ 
using var-in-some-coeff *
by (metis empty iff multivar-as-univar)

```

```

show  $x \in vars p - \{k\}$ 
  using multivar-as-univar vars-coeff-mpoly-to-mpoly-poly
  using ex-i by blast
qed
then have card (vars (poly (mpoly-to-mpoly-poly-alt k p) (Const w))) < card
  (vars p)
  by (metis Diff-subset `k ∈ vars p` card-Diff1-less order-neq-le-trans psub-
    set-card-mono psubset-subset-trans vars-finite)
then have vars-len: length (sorted-list-of-set (vars (Polynomial.poly ?uni-conn
  (Const w)))) < length (sorted-list-of-set (vars p))
  by auto
then have  $\exists z. eval-mpoly z (Polynomial.poly ?uni-conn (Const w)) \neq 0$ 
proof -
  have  $(\bigwedge L. eval-mpoly L (Polynomial.poly ?uni-conn (Const w)) = 0) \implies$ 
     $(Polynomial.poly ?uni-conn (Const w)) = 0$ 
  using vars-len less.hyps by auto
  then show ?thesis using w-prop by auto
qed
then obtain z where z-prop: eval-mpoly z (Polynomial.poly ?uni-conn (Const
  w))  $\neq 0$ 
  by auto
obtain update-z where update-z-prop: update-z = (if length z > k then z else
  append z (zero-list (k - (length z) + 1)))
  by simp
then have update-z-len: length update-z  $\geq k$ 
  using zero-list-len
  by (smt (z3) add-diff-inverse-nat length-append less-or-eq-imp-le linorder-le-less-linear
    nat-add-left-cancel-less not-add-less1)
obtain ell where ell-prop:
  ell = list-update update-z k w
  ( $\forall i \neq k. ell ! i = update-z ! i$ )  $\wedge$  ell ! k = w
  using update-z-len
  by (simp add: update-z-prop zero-list-len)
have k-notin:  $k \notin vars (Polynomial.poly ?uni-conn (Const w))$ 
  by (meson DiffE singletonI subsetD vars)
then have eval-mpoly update-z (Polynomial.poly ?uni-conn (Const w)) =
  eval-mpoly ell (Polynomial.poly ?uni-conn (Const w))
  unfolding eval-mpoly-def
  by (metis ell-prop(1) list-update-id not-contains-insertion)
have same-except1:  $(\bigwedge y. y \neq k \implies nth-default 0 update-z y = nth-default 0$ 
  ell y)
  using update-z-prop
  by (metis ell-prop(1) ell-prop(2) length-list-update nth-default-eq-dflt-iff nth-default-nth)

have same-except2:  $(\bigwedge y. y \neq k \implies nth-default 0 update-z y = nth-default 0 z$ 
  y)
proof -
  fix y

```

```

assume y-not:  $y \neq k$ 
{assume *:  $y \geq (\text{length } z)$ 
  then have h1:  $\text{nth-default } 0 z y = 0$ 
    unfolding nth-default-def
    by auto
  have h2:  $\text{nth-default } 0 \text{ update-}z y = 0$ 
    unfolding nth-default-def using y-not update-z-prop zero-list-member
    by (smt (z3) * add-diff-cancel-left' diff-less-mono length-append linorder-not-le
      nth-append zero-list-len)
    then have nth-default 0 update-z y = nth-default 0 z y
      using h1 h2
      by auto
  }
  moreover
  {assume *:  $y < (\text{length } z)$ 
    then have nth-default 0 update-z y = nth-default 0 z y
      using update-z-prop y-not
      by (metis nth-default-append nth-default-nth)
  }
  ultimately show nth-default 0 update-z y = nth-default 0 z y using up-
  date-z-prop zero-list-member
  using linorder-le-less-linear by blast
}
qed
have h:  $(\bigwedge y. y \neq k \implies \text{nth-default } 0 z y = \text{nth-default } 0 \text{ ell } y)$ 
  using same-except1 same-except2
  by auto
then have same1: poly (map-poly (insertion (nth-default 0 z)) (mpoly-to-mpoly-poly
k p)) w =
  (insertion (nth-default 0 ell)) p
  using insertion-mpoly-to-mpoly-poly[of k (nth-default 0 z) (nth-default 0 ell)
p]
  ell-prop(2)
  by (smt (verit, del-insts) One-nat-def add-Suc-right add-diff-inverse-nat
ell-prop(1) length-append length-list-update lessI nth-default-def semiring-norm(51)
update-z-prop zero-list-len)
have same2: poly (map-poly (insertion (nth-default 0 z)) (mpoly-to-mpoly-poly
k p)) w
  = eval-mpoly z (Polynomial.poly ?uni-conn (Const w))
  unfolding eval-mpoly-def poly-def
  by (smt (verit, best) One-nat-def h <eval-mpoly z (poly (mpoly-to-mpoly-poly-alt
k p) (Const w)) ≠ 0› k-notin add-diff-inverse-nat arith-extra-simps(6) ell-prop(1)
eval-mpoly-def eval-mpoly-map-poly-comm-ring-hom.base.poly-map-poly insertion-const
insertion-irrelevant-vars insertion-var length-append less.prems lessI multivar-as-univar
nat-add-left-cancel-less poly-mpoly-to-mpoly-poly update-z-prop zero-list-len)
have same3: eval-mpoly ell p = eval-mpoly z (Polynomial.poly ?uni-conn (Const
w))
  using same1 same2
  using eval-mpoly-def by force
then have eval-mpoly ell p ≠ 0
  using z-prop

```

```

by presburger
then have mpoly-to-mpoly-poly-alt k p ≠ 0 ⟹ False
  using insertion-mpoly-to-mpoly-poly w-prop
  by (meson local.less(2))
then have mpoly-to-mpoly-poly-alt k p = 0
  by auto
then have p = 0
  using multivar-as-univar
  by (metis mpoly-to-mpoly-poly-0 mpoly-to-mpoly-poly-eq-iff)
}
ultimately show ?case
  by auto
qed

```

## 6 Useful properties for decision proofs

```

lemma eval-mpoly-same:
  assumes all-same: (∀ L. eval-mpoly L p = eval-mpoly L q)
  shows p = q
proof -
  have ∀ L. eval-mpoly L (p - q) = 0
    using all-same
    using eval-mpoly-map-poly-comm-ring-hom.base.hom-minus by fastforce
  then have p - q = 0
    using eval-mpoly-zero-is-zero
    by blast
  then show ?thesis
    by auto
qed

```

```

lemma univariate-in-eval:
  fixes qs:: real mpoly list
  fixes x y:: real
  shows (map (λp. (Polynomial.poly p x)) (map (λq. eval-mpoly-poly (y#xs) q)
  (univariate-in qs 0)))
  = map (eval-mpoly (x#xs)) qs
proof -
  have ∀xa. xa ∈ set qs ⟹
    poly (eval-mpoly-poly (y # xs) (mpoly-to-mpoly-poly-alt 0 xa)) x =
    eval-mpoly (x # xs) xa
  proof -
    fix xa
    assume xa ∈ set qs
    have (∀ya. ya ≠ 0 ⟹ nth-default 0 (y # xs) ya = nth-default 0 (x # xs)
    ya)
      by (metis nat.exhaust nth-default-Cons-Suc)
    then show poly (eval-mpoly-poly (y # xs) (mpoly-to-mpoly-poly-alt 0 xa)) x =
      eval-mpoly (x # xs) xa
    unfolding eval-mpoly-poly-def eval-mpoly-def
  qed
qed

```

```

using insertion-mpoly-to-mpoly-poly[of 0 (nth-default 0 (y # xs))
  (nth-default 0 (x # xs)) xa]
multivar-as-univar[of 0 xa]
by auto
qed
then show ?thesis unfolding univariate-in-def
  by auto
qed

lemma lowering-poly-eval-var:
fixes q:: real mpoly Polynomial.poly
assumes not-in-vars:  $\forall c \in \text{set } (\text{Polynomial.coeffs } q). 0 \notin \text{vars } c$ 
assumes nonz:  $q \neq 0$ 
fixes x y:: real
shows eval-mpoly-poly xs (map-poly (lowerPoly 0 1) q)
  = eval-mpoly-poly (y#xs) q
proof -
let ?map-lp-q = map-poly (lowerPoly 0 1) q
have zero-prop:  $\bigwedge p. p \in \text{set } (\text{Polynomial.coeffs } q) \implies (\text{lowerPoly } 0 1 p = 0 \longleftrightarrow p = 0)$ 
proof -
fix p
assume *:  $p \in \text{set } (\text{Polynomial.coeffs } q)$ 
let ?lp = lowerPoly 0 1 p
have  $\forall r::\text{real mpoly}. r = 0 \longleftrightarrow (\forall \text{val}. \text{eval-mpoly val } r = 0)$ 
  using eval-mpoly-zero-is-zero by auto
have  $0 \notin \text{vars } p$ 
  using * not-in-vars by auto
then have match:  $\forall y. \text{eval-mpoly } (y \# xs) p = \text{eval-mpoly } xs \ ?lp$ 
  by (simp add: eval-mpoly-def insertion-lowerPoly01)
then have d1:  $\text{lowerPoly } 0 1 p = 0 \implies p = 0$ 
proof -
assume is-zero:  $\text{lowerPoly } 0 1 p = 0$ 
then have  $\bigwedge L. \text{eval-mpoly } L \ ?lp = 0$ 
  using eval-mpoly-zero-is-zero by auto
then have  $\bigwedge L. \text{eval-mpoly } L p = 0$ 
proof -
fix L:: real list
{ assume *:  $L = []$ 
  then have same-eval:  $\text{eval-mpoly } (0 \ # L) p = \text{eval-mpoly } L p$ 
    unfolding eval-mpoly-def
    by (smt (verit, ccfv-threshold) add-0 insertion-irrelevant-vars less-Suc0
list.size(3) list.size(4) nth-Cons-0 nth-default-Nil nth-default-def)
  then have eval-mpoly:  $(0 \ # L) p = \text{eval-mpoly } L \ ?lp$ 
    using match
    by (simp add: < $0 \notin \text{vars } p$ > eval-mpoly-def insertion-lowerPoly01)
  then have eval-mpoly:  $\text{eval-mpoly } L p = 0$ 
}

```

```

        using is-zero same-eval by auto
    } moreover { assume *: length L > 0
    then obtain h T where L = h # T
        by (metis length-greater-0-conv neq-Nil-conv)
    then have same-eval: eval-mpoly L p = eval-mpoly T ?lp
        using match
        by (simp add: `0 ∉ vars p` eval-mpoly-def insertion-lowerPoly01)
    then have eval-mpoly L p = 0
        using is-zero same-eval by auto
    }
    ultimately show eval-mpoly L p = 0
        by blast
qed
then show p = 0
    using eval-mpoly-zero-is-zero by auto
qed
have d2: p = 0 ⟹ lowerPoly 0 1 p = 0
    using match eval-mpoly-zero-is-zero
    using lower0 by blast
then show (lowerPoly 0 1 p = 0 ⟷ p = 0)
    using d1 d2 by auto
qed
then have map-nonzero: ?map-lp-q ≠ 0
    using nonzero
    by (simp add: lower0 map-poly-eq-0-iff)
have Polynomial.degree q = length (Polynomial.coeffs q) - 1
    using nonzero degree-eq-length-coeffs by auto
then have deg1: Polynomial.degree ?map-lp-q ≤ Polynomial.degree q
    unfolding map-poly-def
    by (metis degree-map-poly-le map-poly-def)
have deg2: Polynomial.degree ?map-lp-q ≥ Polynomial.degree q
    using zero-prop unfolding map-poly-def
    by (metis Ring-Hom-Poly.degree-map-poly coeff-in-coeffs le-degree leading-coeff-neq-0
map-poly-def nonzero)
then have same-deg: Polynomial.degree q = Polynomial.degree ?map-lp-q
    using deg1 deg2 by auto
have q = poly-of-list (Polynomial.coeffs q)
    (map-poly (lowerPoly 0 1) q) = poly-of-list (Polynomial.coeffs (map-poly (lowerPoly
0 1) q))
    using Poly-coeffs by auto
have same-len: length (Polynomial.coeffs q) = length (Polynomial.coeffs (map-poly
(lowerPoly 0 1) q))
    using nonzero same-deg degree-eq-length-coeffs map-nonzero
    by (simp add: length-coeffs-degree)
have ⋀ i. i < length (Polynomial.coeffs q) ⟹ eval-mpoly (y#xs) ((Polynomial.coeffs
q) ! i) = eval-mpoly xs ((Polynomial.coeffs (map-poly (lowerPoly 0 1) q)) ! i)
proof – fix i
    assume *: i < length (Polynomial.coeffs q)
    then have not-in-vars: 0 ∉ vars ((Polynomial.coeffs q) ! i)

```

```

using not-in-vars in-set-member
by auto
then have same-eval: eval-mpoly (y#xs) ((Polynomial.coeffs q) ! i) = eval-mpoly
  xs ((lowerPoly 0 1) ((Polynomial.coeffs q) ! i))
  by (simp add: eval-mpoly-def insertion-lowerPoly01)
have (Polynomial.coeffs (map-poly (lowerPoly 0 1) q)) ! i =
  (lowerPoly 0 1) ((Polynomial.coeffs q) ! i)
  using coeff-map-poly lower0 same-len *
  by (metis nth-coeffs-coeff)
then show eval-mpoly (y#xs) ((Polynomial.coeffs q) ! i) = eval-mpoly xs
  ((Polynomial.coeffs (map-poly (lowerPoly 0 1) q)) ! i)
  using same-eval by auto
qed
then show ?thesis unfolding eval-mpoly-poly-def map-poly-def
  using same-len
  by (metis map-poly-def nth-map-conv)
qed

```

```

lemma lowering-poly-eval:
fixes q:: real mpoly Polynomial.poly
assumes ∀ c ∈ set (Polynomial.coeffs q). 0 ∉ vars c
fixes x y:: real
shows eval-mpoly-poly xs (map-poly (lowerPoly 0 1) q)
  = eval-mpoly-poly (y#xs) q
using lowering-poly-eval-var
by (metis assms eval-mpoly-poly-comm-ring-hom.hom-zero map-poly-0)

lemma reindexed-univ-qs-eval:
assumes univ-qs = univariate-in qs 0
assumes reindexed-univ-qs = map (map-poly (lowerPoly 0 1)) univ-qs
shows map (eval-mpoly (x#xs)) qs =
  (map (λp. (Polynomial.poly p x)) (map (λq. eval-mpoly-poly xs q) reindexed-univ-qs))
proof –
  have same: ∀i. i < length reindexed-univ-qs ⇒ (map (λp. (Polynomial.poly p x)) (map (λq. eval-mpoly-poly xs q) reindexed-univ-qs)) ! i
    = (Polynomial.poly (eval-mpoly-poly xs (reindexed-univ-qs ! i))) x
    by simp
  have len1: length univ-qs = length qs
    using assms(1) unfolding univariate-in-def
    by auto
  have len2: length reindexed-univ-qs = length univ-qs
    using assms(2) by auto
  have len: length reindexed-univ-qs = length qs
    using len1 len2 by auto
  have ∀i. i < length qs ⇒ (Polynomial.poly (eval-mpoly-poly xs (reindexed-univ-qs ! i))) x =
    (eval-mpoly (x#xs) (qs ! i))
  proof –

```

```

fix i
assume i < length qs
have reindexed-univ-qs ! i = (map-poly (lowerPoly 0 1)) ((univariate-in qs 0)
! i)
  using assms
  using ‹i < length qs› len1 by auto
let ?q = (univariate-in qs 0) ! i
let ?q1 = mpoly-to-mpoly-poly 0 (qs ! i)
have ∀ c∈set (Polynomial.coeffs ?q1). 0 ∉ vars c
  using vars-coeff-mpoly-to-mpoly-poly[of 0 qs ! i] in-set-member
  unfolding Polynomial.coeffs-def
  by auto
then have ∀ c∈set (Polynomial.coeffs ?q). 0 ∉ vars c
  using multivar-as-univar
  by (metis ‹i < length qs› nth-map univariate-in-def)

then show (Polynomial.poly (eval-mpoly-poly xs (reindexed-univ-qs ! i)) x) =
  (eval-mpoly (x#xs) (qs ! i))
  using univariate-in-eval lowering-poly-eval assms
  by (smt (verit, ccfv-SIG) ‹i < length qs› length-map nth-map)
qed
then show ?thesis
  using same len
  by (simp add: nth-map-conv)
qed

value variables-list [((Var 0 +(Const (3::real))*((Var 1)^2)):: real mpoly)]
value ((Var 0 +(Const (3::real))*((Var 1)^2)):: real mpoly)
value (mpoly-to-mpoly-poly-alt (1::nat) ((Var 0 +(Const (3::real))*((Var 1)^2)):: real mpoly))::
real mpoly Polynomial.poly

end

```

```

theory Multiv-Consistent-Sign-Assignments
imports
  Multiv-Poly-Props
  Datatype-Order-Generator.Order-Generator

```

```

begin
derive linorder rat list

```

## 7 Define satisfies evaluation and proofs

```

definition satisfies-evaluation-alternate:: real list ⇒ real mpoly ⇒ rat ⇒ bool
where
  satisfies-evaluation-alternate val f n =
    (sgn (eval-mpoly val f)) = real-of-rat (sgn n)

definition satisfies-evaluation:: real list ⇒ real mpoly ⇒ rat ⇒ bool where
  satisfies-evaluation val f n =
    ((Sturm-Tarski.sign (eval-mpoly val f)::real)) = (Sturm-Tarski.sign n::real))

lemma satisfies-evaluation-alternate:
  shows satisfies-evaluation-alternate val f n = satisfies-evaluation val f n
proof –
  have h1: sgn (eval-mpoly val f) = real-of-rat (sgn n)  $\implies$  of-int (Sturm-Tarski.sign (eval-mpoly val f)) = of-int (Sturm-Tarski.sign n)
  by (metis (no-types, lifting) Sturm-Tarski.sign-def of-rat-1 of-rat-eq-0-iff of-rat-eq-iff rel-simps(91) sgn-eq-0-iff sgn-if sign-simps(2))
  have h2: (of-int (Sturm-Tarski.sign (eval-mpoly val f)::real)) = (of-int (Sturm-Tarski.sign n)::real)  $\implies$  sgn (eval-mpoly val f) = real-of-rat (sgn n)
  by (smt (verit) Sturm-Tarski.sign-def of-int-hom.injectivity of-rat-eq-0-iff of-rat-hom.hom-1-iff of-rat-neg-one sgn-if sign-simps(2))
  then show ?thesis unfolding satisfies-evaluation-alternate-def satisfies-evaluation-def
  using h1 h2
  by blast
qed

lemma eval-mpoly-poly-one-less-degree:
  assumes satisfies-evaluation val (Polynomial.lead-coeff q) 0
  shows eval-mpoly-poly val (one-less-degree q) =
    eval-mpoly-poly val q
  using assms
  unfolding one-less-degree-def satisfies-evaluation-def
  by (metis assms diff-zero eval-mpoly-map-poly-comm-ring-hom.base.map-poly-hom-monom eval-mpoly-poly-comm-ring-hom.hom-minus eval-mpoly-poly-def monom-eq-0 of-rat-0 satisfies-evaluation-alternate satisfies-evaluation-alternate-def sgn-0-0)

lemma degree-evaluation-le:
  shows Polynomial.degree (eval-mpoly-poly val p)  $\leq$  Polynomial.degree p
  unfolding eval-mpoly-poly-def
  by (simp add: degree-map-poly-le)

lemma satisfies-evaluation-nonzero:
  assumes satisfies-evaluation val p n
  assumes n  $\neq$  0
  shows eval-mpoly val p  $\neq$  0
  using assms unfolding satisfies-evaluation-def
  by (smt (verit, ccfv-threshold) Sturm-Tarski.sign-def of-int-eq-iff)

```

```

lemma degree-evaluation:
  assumes satisfies-evaluation val (Polynomial.lead-coeff p) n
  assumes n ≠ 0
  shows Polynomial.degree p = Polynomial.degree (eval-mpoly-poly val p)
  using satisfies-evaluation-nonzero[OF assms]
  unfolding eval-mpoly-poly-def satisfies-evaluation-def
  by (metis Ring-Hom-Poly.degree-map-poly degree-0 eval-mpoly-map-poly-comm-ring-hom.hom-zero)

lemma lead-coeff-evaluation:
  assumes satisfies-evaluation val (Polynomial.lead-coeff p) n
  assumes n ≠ 0
  shows eval-mpoly val (Polynomial.lead-coeff p) =
    Polynomial.lead-coeff (eval-mpoly-poly val p)
  using satisfies-evaluation-nonzero[OF assms]
  unfolding eval-mpoly-poly-def satisfies-evaluation-def
  using assms(1) assms(2) degree-evaluation eval-mpoly-poly-def by force

lemma eval-commutes:
  fixes p:: real mpoly Polynomial.poly
  assumes eval-mpoly val (Polynomial.lead-coeff p) ≠ 0
  shows eval-mpoly val (Polynomial.lead-coeff p) = Polynomial.lead-coeff (eval-mpoly-poly val p)
  proof –
    have (Polynomial.degree p) = (Polynomial.degree (eval-mpoly-poly val p))
    using assms
    using degree-evaluation satisfies-evaluation-def
    by (metis degree-evaluation-le eval-mpoly-map-poly-comm-ring-hom.base.coeff-map-poly-hom eval-mpoly-poly-def le-antisym le-degree)
    then have eval-mpoly val (Polynomial.coeff p (Polynomial.degree p)) = Polynomial.coeff (eval-mpoly-poly val p) (Polynomial.degree (eval-mpoly-poly val p))
    using assms lead-coeff-evaluation satisfies-evaluation-def
    using eval-mpoly-poly-coeff1 le-refl by presburger
    then show ?thesis
    by auto
  qed

lemma eval-mpoly-poly-pseudo-divmod:
  assumes satisfies-evaluation val (Polynomial.lead-coeff p) n
  assumes n ≠ 0
  assumes satisfies-evaluation val (Polynomial.lead-coeff q) m
  assumes m ≠ 0
  shows pseudo-divmod (eval-mpoly-poly val p) (eval-mpoly-poly val q) =
    (map-prod (eval-mpoly-poly val) (eval-mpoly-poly val) (pseudo-divmod p q))
  proof –
    from satisfies-evaluation-nonzero[OF assms(3–4)]
    have 1: eval-mpoly-poly val q ≠ 0 using assms unfolding satisfies-evaluation-def
      by (metis assms(3–4) lead-coeff-evaluation leading-coeff-0-iff)
    then have 2: q ≠ 0
  
```

```

using eval-mpoly-poly-def by fastforce
show ?thesis unfolding pseudo-divmod-def
  using 1 2 apply auto
  unfolding eval-mpoly-poly-def
  by (smt (verit) assms degree-valuation eval-mpoly-map-poly-comm-ring-hom.base.pseudo-divmod-main-hom
eval-mpoly-poly-def lead-coeff-valuation leading-coeff-0-iff length-coeffs-degree map-poly-0
satisfies-evaluation-nonzero)
qed

lemma eval-mpoly-poly-pseudo-mod:
  assumes satisfies-evaluation val (Polynomial.lead-coeff p) n
  assumes n ≠ 0
  assumes satisfies-evaluation val (Polynomial.lead-coeff q) m
  assumes m ≠ 0
  shows pseudo-mod (eval-mpoly-poly val p)
    (eval-mpoly-poly val q) =
      eval-mpoly-poly val (pseudo-mod p q)
  unfolding pseudo-mod-def
  using assms eval-mpoly-poly-pseudo-divmod by auto

lemma eval-mpoly-poly-smult:
  shows Polynomial.smult (eval-mpoly val m) (eval-mpoly val r) =
    eval-mpoly-poly val (Polynomial.smult m r)
  apply (intro poly-eqI)
  apply (simp-all add:eval-mpoly-poly-def coeff-map-poly eval-mpoly-def)
  by (simp add: insertion-mult)

```

## 8 Consistent Sign Assignments for mpoly type

```

definition mpoly-sign:: real list ⇒ real mpoly ⇒ rat where
  mpoly-sign val f = of-int (Sturm-Tarski.sign (eval-mpoly val f))

lemma mpoly-sign-lemma-valuation-length:
  {x. ∃(val::real list). mpoly-sign val q = x} =
  {x. ∃(val::real list). ((∀ v ∈ vars q. length val > v) ∧ mpoly-sign val q = x)}
proof –
  have subset1: {x. ∃(val::real list). mpoly-sign val q = x}
  ⊆ {x. ∃(val::real list). ((∀ v ∈ vars q. length val > v) ∧ mpoly-sign val q = x)}
  proof clar simp
    fix val::real list
    {
      assume *: (∀ v ∈ vars q. v < length val)
      then have (∀ v ∈ vars q. v < length val) ∧ mpoly-sign val q = mpoly-sign val q
        by auto
      then have ∃ vala. (∀ v ∈ vars q. v < length vala) ∧ mpoly-sign vala q =
        mpoly-sign val q
        by auto
    }
    moreover {

```

```

assume *: ( $\exists v \in \text{vars } q. v \geq \text{length } val$ )
have finite { $v \in \text{vars } q$ }
  by simp
then have  $\exists k. \forall v \in \text{vars } q. k > v$ 
  by (meson finite-nat-set-iff-bounded vars-finite)
then obtain k where k-prop:  $\forall v \in \text{vars } q. k > v$ 
  by auto
then have gtz:  $k - \text{length } val > 0$ 
  using * by auto
let ?app-len =  $k - \text{length } val$ 
have  $\exists (l::\text{real list}). \text{length } l = ?app-len \wedge (\forall i < ?app-len. l ! i = 0)$ 
  using gtz Polynomial.coeff-monom length-map length-upd nth-map
proof -
  { fix nn :: real list  $\Rightarrow$  nat
    { assume  $\exists r n na nb. nn (\text{map} (\text{poly.coeff} (\text{Polynomial.monom } r n)))$ 
      [ $na..nb] < nb - na \wedge nb - na = k - \text{length } val \wedge r = 0$ 
      then have  $\exists r n ns. nn (\text{map} (\text{poly.coeff} (\text{Polynomial.monom } r n))) ns$ 
       $< \text{length } ns \wedge \text{length } ns = k - \text{length } val \wedge r = 0$ 
      by (metis length-upd)
      then have  $\exists rs. \neg nn rs < k - \text{length } val \vee \text{length } rs = k - \text{length } val$ 
       $\wedge rs ! nn rs = 0$ 
      by (metis (no-types) Polynomial.coeff-monom length-map nth-map) }
      then have  $\exists rs. \neg nn rs < k - \text{length } val \vee \text{length } rs = k - \text{length } val \wedge$ 
       $rs ! nn rs = 0$ 
      by blast }
      then have  $\exists rs. \forall n. \neg n < k - \text{length } val \vee \text{length } rs = k - \text{length } val \wedge$ 
       $rs ! n = (0::\text{real})$ 
      by meson
      then show ?thesis
      using gtz by blast
    qed
    then obtain l::real list where l-prop:  $\text{length } l = ?app-len \wedge (\forall i < ?app-len.$ 
     $l ! i = 0)$ 
    by auto
    let ?new-list = append val l
    have  $\text{length } ?new-list = k$  using l-prop
    by (metis add-diff-cancel-left' gtz length-append less-imp-add-positive zero-less-diff)
    then have p1:  $\forall v \in \text{vars } q. v < \text{length } ?new-list$ 
    using k-prop
    by meson
    have p2: mpoly-sign ?new-list q = mpoly-sign val q
    using l-prop unfolding mpoly-sign-def eval-mpoly-def
    by (smt (verit, best) insertion-irrelevant-vars nth-default-append nth-default-def)

    then have  $\exists vala. (\forall v \in \text{vars } q. v < \text{length } vala) \wedge \text{mpoly-sign } vala q =$ 
    mpoly-sign val q
    using p1 p2
    by blast
  }
}

```

```

ultimately have  $\exists vala. (\forall v \in vars q. v < length vala) \wedge mpoly-sign vala q =$ 
 $mpoly-sign val q$ 
  using not-le-imp-less by blast
  then show  $\exists vala. (\forall v \in vars q. v < length vala) \wedge mpoly-sign vala q =$ 
 $mpoly-sign val q$ 
    by blast
qed
have subset2: {x.  $\exists (val::real\ list). ((\forall v \in vars q. length val > v) \wedge mpoly-sign$ 
 $val q = x)}$ 
 $\subseteq \{x. \exists (val::real\ list). mpoly-sign val q = x\}$ 
  by blast
show ?thesis using subset1 subset2 by auto
qed

definition map-mpoly-sign::real mpoly list  $\Rightarrow$  real list  $\Rightarrow$  rat list
where map-mpoly-sign qs val  $\equiv$ 
  map ((rat-of-int  $\circ$  Sturm-Tarski.sign)  $\circ$  (eval-mpoly val)) qs

definition all-lists:: nat  $\Rightarrow$  real list set where
all-lists n = {(ls::real list). length ls = n}

definition mpoly-consistent-sign-vectors::real mpoly list  $\Rightarrow$  real list set  $\Rightarrow$  rat list
set
where mpoly-consistent-sign-vectors qs S = (map-mpoly-sign qs) ` S

definition mpoly-csv::real mpoly list  $\Rightarrow$  rat list set
where mpoly-csv qs = {sign-vec. ( $\exists$  val. map-mpoly-sign qs val = sign-vec)}
```

## 9 Data structure definitions

```

definition mpoly-sign-data:: real list  $\Rightarrow$  real mpoly  $\Rightarrow$  (real mpoly  $\times$  rat) where
mpoly-sign-data val f = (f, mpoly-sign val f)

definition map-mpoly-sign-data:: real list  $\Rightarrow$  real mpoly list  $\Rightarrow$  (real mpoly  $\times$  rat)
list where
map-mpoly-sign-data val qs = map ( $\lambda x.$  mpoly-sign-data val x) qs

definition mpoly-csv-data::real mpoly list  $\Rightarrow$  (real mpoly  $\times$  rat) list set
where mpoly-csv-data qs = {sign-vec. ( $\exists$  val. map-mpoly-sign-data val qs = sign-vec)}

definition all-coeffs:: real mpoly Polynomial.poly list  $\Rightarrow$  real mpoly list
where all-coeffs qs = concat (map Polynomial.coeffs qs)

primrec all-coeffs-alt:: real mpoly Polynomial.poly list  $\Rightarrow$  real mpoly list
where all-coeffs-alt [] = []
| all-coeffs-alt (h#T) = append (Polynomial.coeffs h) (all-coeffs T)
```

```

lemma all-coeffs-alt: all-coeffs qs = all-coeffs-alt qs
  by (metis (no-types, opaque-lifting) all-coeffs-alt.simps(1) all-coeffs-alt.simps(2)
    all-coeffs-def concat.simps(1) concat.simps(2) list.exhaust list.simps(8) list.simps(9))

```

```

definition all-coeffs-csv-data::real mpoly Polynomial.poly list  $\Rightarrow$  (real mpoly  $\times$  rat)
list set
where all-coeffs-csv-data qs = mpoly-csv-data (all-coeffs qs)

```

```
primrec
```

```

lookup-assump-aux:: 'k  $\Rightarrow$  ('k  $\times$  'a) list  $\Rightarrow$  'a option
where lookup-assump-aux p [] = None
| lookup-assump-aux p (h # T) =
  (if (fst h = p) then Some (snd h) else lookup-assump-aux p T)

fun lookup-assump:: real mpoly  $\Rightarrow$  (real mpoly  $\times$  rat) list  $\Rightarrow$  rat
where lookup-assump p q = (case (lookup-assump-aux p q) of
  None  $\Rightarrow$  1000
  | Some i  $\Rightarrow$  i)

```

## 10 Lemmas about first nonzero coefficient helper

```

primrec first-nonzero-coefficient-helper:: (real mpoly  $\times$  rat) list  $\Rightarrow$  real mpoly list
 $\Rightarrow$  rat
where first-nonzero-coefficient-helper assumps [] = 0
| first-nonzero-coefficient-helper assumps (h # T) =
  (case lookup-assump-aux h assumps of
    (Some i)  $\Rightarrow$  (if i  $\neq$  0 then i else first-nonzero-coefficient-helper assumps T)
    | None  $\Rightarrow$  first-nonzero-coefficient-helper assumps T)

```

```

definition sign-of-first-nonzero-coefficient:: (real mpoly  $\times$  rat) list  $\Rightarrow$  real mpoly
Polynomial.poly  $\Rightarrow$  rat
where sign-of-first-nonzero-coefficient assumps q = first-nonzero-coefficient-helper
assumps (rev (Polynomial.coeffs q))

```

```

definition sign-of-first-nonzero-coefficient-aux:: (real mpoly  $\times$  rat) list  $\Rightarrow$  real mpoly
list  $\Rightarrow$  rat
where sign-of-first-nonzero-coefficient-aux assumps coeffl = first-nonzero-coefficient-helper
assumps coeffl

```

```

lemma sign-of-first-nonzero-coefficient-aux:sign-of-first-nonzero-coefficient-aux as-
sumps (rev (Polynomial.coeffs q)) = sign-of-first-nonzero-coefficient assumps q
by (simp add: sign-of-first-nonzero-coefficient-aux-def sign-of-first-nonzero-coefficient-def)

```

```

definition sign-of-first-nonzero-coefficient-list:: real mpoly Polynomial.poly list  $\Rightarrow$ 
(real mpoly  $\times$  rat) list  $\Rightarrow$  rat list

```

**where** *sign-of-first-nonzero-coefficient-list qs assmps = map (λq. sign-of-first-nonzero-coefficient assmps q) qs*

**lemma** *all-coeffs-member:*

```

fixes qs:: real mpoly Polynomial.poly list
fixes q:: real mpoly Polynomial.poly
fixes coeff:: real mpoly
assumes q ∈ set qs
assumes inset: coeff ∈ set (Polynomial.coeffs q)
shows coeff ∈ set (all-coeffs qs)
proof –
  have coeff ∈ set (all-coeffs qs)
  using assms
  proof (induction qs)
    case Nil
    then show ?case by auto
  next
    case (Cons a qs)
    then show ?case
      by (metis Un-iff all-coeffs-alt all-coeffs-alt.simps(2) set-ConsD set-append)
  qed
  then show ?thesis using all-coeffs-alt by auto
qed

```

**lemma** *map-mpoly-sign-data-duplicates:*

```

fixes qs:: real mpoly list
fixes val:: real list
fixes coeff:: real mpoly
shows ((coeff, i) ∈ set (map-mpoly-sign-data val qs) ∧ (coeff, k) ∈ set (map-mpoly-sign-data val qs))
   $\implies i = k$ 
proof clarsimp
  assume m1: (coeff, i) ∈ set (map-mpoly-sign-data val qs)
  assume m2: (coeff, k) ∈ set (map-mpoly-sign-data val qs)
  show i = k
  using m1 m2 unfolding map-mpoly-sign-data-def mpoly-sign-data-def
  by (smt (verit, del-insts) fst-conv imageE list.set-map snd-conv)
qed

```

**lemma** *lookup-assump-aux-property:*

```

fixes i:: rat
fixes l:: (real mpoly × rat) list
assumes (c, i) ∈ set l
assumes no-duplicates:  $\forall j k. ((c, j) \in set l \wedge (c, k) \in set l \implies j = k)$ 
shows lookup-assump-aux c l = Some i
using assms
proof (induct l)
  case Nil

```

```

then show ?case
  by (simp add: member-rec(2))
next
  case (Cons a l)
  then show ?case
    by force
qed

value Polynomial.coeffs ([:1, 2, 3:]::real Polynomial.poly)

lemma lookup-assump-aux-eo:
  shows lookup-assump-aux p assumps = None  $\vee$  ( $\exists k$ . lookup-assump-aux p assumps = Some k)
  using option.exhaustsel by blast

lemma lookup-assump-means-inset:
  assumes lookup-assump-aux p assumps = Some k
  shows (p, k)  $\in$  set assumps
  using assms proof (induct assumps)
  case Nil
  then show ?case by auto
next
  case (Cons a assumps)
  then show ?case
    by (metis list.set-intros(1) list.set-intros(2) lookup-assump-aux.simps(2) option.inject prod.collapse)
qed

lemma inset-means-lookup-assump-some:
  assumes (p, k)  $\in$  set assumps
  shows  $\exists j$ . lookup-assump-aux p assumps = Some j
  using assms
  proof (induct assumps)
  case Nil
  then show ?case
    by (simp add: member-rec(2))
next
  case (Cons a assumps)
  then show ?case
    by force
qed

value List.drop 2 [(0::int), 0, 3, 2, 1]

lemma sign-of-first-nonzero-coefficient-drop:
  assumes list-len = length ell
  assumes k < list-len
  assumes  $\bigwedge i$ . ((i  $\geq$  k  $\wedge$  i < list-len)  $\implies$  (lookup-assump-aux (ell ! i) assumps = None  $\vee$  lookup-assump-aux (ell ! i) assumps = Some 0))

```

```

shows first-nonzero-coefficient-helper assumps (rev ell) = first-nonzero-coefficient-helper
assumps (drop (list-len - k) (rev ell))
  using assms
proof (induct length ell arbitrary: ell list-len k)
  case 0
  then show ?case
    by auto
next
  case (Suc x)
  then have ∃ sub-ell. ell = sub-ell @[nth ell (length ell - 1)]
    by (metis cancel-comm-monoid-add-class.diff-cancel diff-Suc-1 diff-is-0-eq lessI
take-Suc-conv-app-nth take-all)
  then obtain sub-ell where sub-ell: ell = sub-ell @ [nth ell (length ell - 1)] by
auto
  then have len-sub-ell: length sub-ell = x
    by (metis Suc.hyps(2) diff-Suc-1 length-append-singleton)
  have rev-prop: rev ell = (nth ell (length ell - 1))#(rev sub-ell)
    using sub-ell
    by simp
  then have drop-prop: (drop (x - (k-1)) (rev sub-ell)) = (drop (x - k + 2) (rev
ell))
    by (smt (verit, best) Suc.hyps(2) Suc.prems(1) Suc.prems(2) Suc-1 Suc-diff-Suc
Suc-eq-plus1 add-diff-cancel-right add-diff-inverse-nat diff-Suc-1 diff-diff-left diff-is-0-eq
drop-Suc-Cons drop-rev less-imp-le-nat less-one)
  then have drop-prop2: (drop (x - (k-1)) (rev sub-ell)) = (drop (x - k + 1)
(drop 1 (rev ell)))
    by simp
  have ∃ i. (i ≥ k ∧ i < list-len) →
    lookup-assump-aux (ell ! i) assumps = None ∨ lookup-assump-aux (ell ! i)
assumps = Some 0
    using Suc.prems(3) by presburger
  let ?i = (length ell - 1)
  have lookup-assump-aux (ell ! ?i) assumps = None ∨ lookup-assump-aux (ell !
?i) assumps = Some 0
    using assms(3) assms(2)
    by (metis Suc.hyps(2) Suc.prems(1) Suc.prems(2) Suc.prems(3) diff-Suc-1
lessI linorder-not-le not-less-eq)
  then have lookup-assump-aux ((rev ell) ! 0) assumps = None ∨ lookup-assump-aux
((rev ell) ! 0) assumps = Some 0
    using rev-prop
    by (metis nth-Cons-0)
  then have key-prop: first-nonzero-coefficient-helper assumps (rev ell) =
    first-nonzero-coefficient-helper assumps (drop 1 (rev ell))
  unfolding first-nonzero-coefficient-helper-def
  by (smt (verit, ccfv-SIG) One-nat-def drop0 drop-Suc-Cons list.simps(7) nth-Cons-0
option.simps(4) option.simps(5) rev-prop)
  then have key-prop2: first-nonzero-coefficient-helper assumps (rev ell) =
    first-nonzero-coefficient-helper assumps (rev sub-ell)
  using rev-prop

```

```

    by (metis One-nat-def drop0 drop-Suc-Cons)
have eo:  $k = \text{length } ell - 1 \vee k < \text{length } sub\text{-}ell$ 
  using len-sub-ell
  using Suc.hyps(2) Suc.prems(1) Suc.prems(2) by linarith
moreover {
  assume *:  $k = \text{length } ell - 1$ 
  then have len:  $\text{list}\text{-len} - k = 1$ 
    using len-sub-ell Suc.prems(1) Suc.hyps(2)
    by simp
  then have first-nonzero-coefficient-helper assumptions (rev ell) =
    first-nonzero-coefficient-helper assumptions (drop (list-len - k) (rev ell))
    using key-prop by auto
}
moreover {
  assume *:  $k < \text{length } sub\text{-}ell$ 
  have impl:  $x = \text{length } sub\text{-}ell \implies$ 
     $k < \text{length } sub\text{-}ell \implies$ 
    ( $\bigwedge i. k \leq i \wedge i < \text{length } sub\text{-}ell \implies$ 
      lookup-assump-aux (sub-ell ! i) assumptions = None  $\vee$ 
      lookup-assump-aux (sub-ell ! i) assumptions = Some 0)  $\implies$ 
    first-nonzero-coefficient-helper assumptions (rev sub-ell) =
    first-nonzero-coefficient-helper assumptions (drop ((length sub-ell) - k) (rev sub-ell))
    using Suc.prems Suc.hyps sub-ell
    by blast
  have  $\bigwedge i. (i \geq k \wedge i < \text{list}\text{-len}) \implies$ 
    lookup-assump-aux (ell ! i) assumptions = None  $\vee$  lookup-assump-aux (ell ! i)
  assumptions = Some 0
    using Suc.prems(3) by auto
  then have sub-ell-prop: ( $\bigwedge i. (i \geq k \wedge i < (\text{length } sub\text{-}ell)) \implies$ 
    lookup-assump-aux (sub-ell ! i) assumptions = None  $\vee$ 
    lookup-assump-aux (sub-ell ! i) assumptions = Some 0)
    using sub-ell
    by (metis Suc.hyps(2) Suc.prems(1) len-sub-ell less-SucI nth-append)
  then have first-nonzero-coefficient-helper assumptions (rev sub-ell) =
    first-nonzero-coefficient-helper assumptions (drop ((length sub-ell) - k) (rev sub-ell))
    using * len-sub-ell sub-ell-prop impl
    by blast
  then have first-nonzero-coefficient-helper assumptions (rev ell) =
    first-nonzero-coefficient-helper assumptions (drop (list-len - k) (rev ell))
    using key-prop2 drop-prop2
    by (metis (full-types) Suc.hyps(2) Suc.prems(1) diff-Suc-1 diff-commute
      drop-Cons' len-sub-ell rev-prop)
}
ultimately have first-nonzero-coefficient-helper assumptions (rev ell) =
  first-nonzero-coefficient-helper assumptions (drop (list-len - k) (rev ell))
  using eo
  by fastforce
then show ?case by auto
qed

```

```

value Polynomial.coeffs ([:0, 1, 2, 3]:::real Polynomial.poly)
value Polynomial.degree ([:0, 1, 2, 3]:::real Polynomial.poly)

lemma helper-two:
  assumes deg-gt: Polynomial.degree q > 0
  assumes sat-eval:  $\bigwedge p\ n.\ (p,n) \in \text{set assumps} \implies \text{satisfies-evaluation val } p\ n$ 
  assumes lc-zero: lookup-assump-aux (Polynomial.lead-coeff q) assumps = Some 0
  shows sign-of-first-nonzero-coefficient assumps q = sign-of-first-nonzero-coefficient
  assumps (one-less-degree q)
  proof -
    let ?coeffs-q = Polynomial.coeffs q
    let ?coeffs-less = Polynomial.coeffs (one-less-degree q)
    obtain r where r:Polynomial.degree q = Suc r
      using deg-gt not0-implies-Suc
      by blast
    from poly-as-sum-of-monoms[of q]
    have lc-is: (Polynomial.lead-coeff q) = (Polynomial.coeffs q) ! (r + 1)
      using r
      by (metis Suc-eq-plus1 coeffs-nth deg-gt degree-0 le-refl less-numeral-extra(3))
    have qis: q = ( $\sum i \leq r.\ \text{Polynomial.monom}(\text{poly.coeff } q\ i)\ i$ ) + Polynomial.monom
    (Polynomial.lead-coeff q) (Polynomial.degree q)
      using <( $\sum i \leq \text{Polynomial.degree } q.\ \text{Polynomial.monom}(\text{poly.coeff } q\ i)\ i$ ) = q>
    r by auto
    then have oldis: q = Polynomial.monom (Polynomial.lead-coeff q) (Polynomial.degree
    q) = ( $\sum i \leq r.\ \text{Polynomial.monom}(\text{poly.coeff } q\ i)\ i$ )
      using diff-eq-eq by blast
    have same-coeffs:  $\forall i \leq r.\ \text{Polynomial.coeff } q\ i = \text{Polynomial.coeff}(\text{one-less-degree } q)\ i$ 
      using qis oldis
      by (metis (no-types, lifting) Multiv-Poly-Props.one-less-degree-def Orderings.order-eq-iff
    Polynomial.coeff-diff Polynomial.coeff-monom diff-zero not-less-eq-eq r)
      then have coeff-zer:  $\forall i \leq r . (i > (\text{length } (\text{Polynomial.coeffs } (\text{one-less-degree } q)) - 1) \longrightarrow (\text{Polynomial.coeffs } q) ! i = 0)$ 
      by (metis coeffs-between-one-less-degree coeffs-nth deg-gt degree-0 degree-eq-length-coeffs
    le-imp-less-Suc nat-less-le r)
      then have  $\bigwedge i . (i < r + 1 \wedge i \geq (\text{length } (\text{Polynomial.coeffs } (\text{one-less-degree } q)))) \implies (\text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumps} = \text{None} \vee \text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumps} = \text{Some } 0)$ 
      proof -
        fix i
        let ?coeff = (Polynomial.coeffs q) ! i
        assume i < r + 1  $\wedge$  i  $\geq$  ( $\text{length } (\text{Polynomial.coeffs } (\text{one-less-degree } q))$ )
        then have ( $\text{Polynomial.coeffs } q$ ) ! i = 0 using coeff-zer
        by (metis (no-types, lifting) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-monom Suc-eq-plus1 add-diff-cancel-left' coeffs-eq-Nil coeffs-nth degree-0 diff-less diff-zero length-0-conv length-greater-0-conv less-Suc-eq-le less-imp-diff-less
    oldis order-le-less qis r)
  
```

```

then have  $\bigwedge k. \text{Polynomial.coeffs } q ! i = 0 \implies k \neq 0 \implies (0, k) \in \text{set assumptions}$ 
 $\implies \text{False}$ 
using sat-eval unfolding satisfies-evaluation-def
using eval-mpoly-map-poly-comm-ring-hom.base.hom-zero sat-eval satisfies-evaluation-nonzero
by blast
then have  $\neg(\exists k. k \neq 0 \wedge (\text{?coeff}, k) \in \text{set assumptions})$ 
by (metis <Polynomial.coeffs q ! i = 0>)
then have  $\neg(\exists k. k \neq 0 \wedge \text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumptions} = \text{Some } k)$ 
using lookup-assump-means-inset
by metis
then show  $(\text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumptions} = \text{None} \vee$ 
 $\text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumptions} = \text{Some } 0)$ 
using lookup-assump-aux-eo
by metis
qed
then have  $\text{lookup-inbtw}: \bigwedge i. (i < r + 2 \wedge i \geq (\text{length } (\text{Polynomial.coeffs } (\text{one-less-degree } q)))) \implies (\text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumptions} = \text{None} \vee \text{lookup-assump-aux } ((\text{Polynomial.coeffs } q) ! i) \text{ assumptions} = \text{Some } 0)$ 
using lc-is lc-zero nat-less-le
by (metis Suc-1 add-Suc-right less-antisym)
let ?ell = (Polynomial.coeffs q)
let ?list-len = length ?ell
let ?k = (length (Polynomial.coeffs (one-less-degree q)))
have sublist: Sublist.strict-prefix (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)) (Polynomial.coeffs q)
using deg-gt one-less-degree-is-strict-prefix assms by auto
then have drop-is: (drop (?list-len - ?k) (rev ?ell)) = (rev (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)))
using same-coeffs one-less-degree-is-strict-prefix
by (metis append-eq-conv-conj deg-gt one-less-degree-is-prefix prefix-def rev-take)

let ?full-list = (rev (Polynomial.coeffs q))
let ?sub-list = (rev (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)))
have length (Polynomial.coeffs q) = r + 2
using r unfolding Polynomial.coeffs-def
by auto
have fnz: first-nonzero-coefficient-helper assumptions (?full-list) =
 $\text{first-nonzero-coefficient-helper assumptions } (\text{drop } (\text{length } ?full-list - \text{length } ?sub-list) (?full-list))$ 
using lookup-inbtw sign-of-first-nonzero-coefficient-drop[of r + 2 Polynomial.coeffs q length ?sub-list assumptions]
by (metis <length (Polynomial.coeffs q) = r + 2> diff-is-0-eq drop0 length-rev linorder-not-le)
have ?full-list = append (take (length ?full-list - length ?sub-list) ?full-list) ?sub-list
using sublist drop-is
by (metis append-take-drop-id length-rev)
then have first-nonzero-coefficient-helper assumptions (rev (Polynomial.coeffs q)) =

```

```

first-nonzero-coefficient-helper assmps
(rev (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)))
using fnz
by (simp add: drop-is)
then show ?thesis
unfolding sign-of-first-nonzero-coefficient-def by auto
qed

lemma sign-fnz-aux-helper:
assumes  $\forall \text{elem. elem} \in \text{set coeffl} \rightarrow \text{lookup-assump-aux elem ell} = \text{Some } 0$ 
shows sign-of-first-nonzero-coefficient-aux ell coeffl = 0 using assms
proof (induct coeffl)
case Nil
then show ?case
by (simp add: sign-of-first-nonzero-coefficient-aux-def)
next
case (Cons a x)
have firsth: lookup-assump-aux a ell = Some 0
using Cons.prem
by (simp add: member-rec(1))
have  $\forall \text{elem. elem} \in \text{set } x \rightarrow \text{lookup-assump-aux elem ell} = \text{Some } 0$ 
using Cons.prem
by (simp add: member-rec(1))
then have bigh: sign-of-first-nonzero-coefficient-aux ell x = 0
using Cons.hyps by auto
then have first-nonzero-coefficient-helper ell x = 0
unfolding sign-of-first-nonzero-coefficient-aux-def
by auto
then show ?case using firsth unfolding sign-of-first-nonzero-coefficient-aux-def
by auto
qed

lemma sign-fnz-helper:
assumes  $\forall \text{coeff. coeff} \in \text{set (Polynomial.coeffs q)} \rightarrow \text{lookup-assump-aux coeff}$ 
(map-mpoly-sign-data val (all-coeffs qs))
 $= \text{Some } 0$ 
shows sign-of-first-nonzero-coefficient (map-mpoly-sign-data val (all-coeffs qs))
 $q = 0$  using assms
proof -
have sign-of-first-nonzero-coefficient-aux (map-mpoly-sign-data val (all-coeffs qs))
(rev (Polynomial.coeffs q)) = 0
using sign-fnz-aux-helper[of (Polynomial.coeffs q) (map-mpoly-sign-data val (all-coeffs qs))] assms
by (metis in-set-member set-rev sign-fnz-aux-helper)
then show ?thesis using
sign-of-first-nonzero-coefficient-aux by auto
qed

lemma sign-of-first-nonzero-coefficient-zer:

```

```

assumes qin:  $q \in \text{set } qs$ 
assumes (eval-mpoly-poly val q) = 0
shows sign-of-first-nonzero-coefficient (map-mpoly-sign-data val (all-coeffs qs))
q =
  Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q))
proof -
  have st-zero: Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q)) = 0
  using assms
  by simp
  have h1:  $\bigwedge \text{coeff}$ .
    q ∈ set qs  $\implies$ 
    Poly (map (eval-mpoly val) (Polynomial.coeffs q)) = 0  $\implies$ 
    coeff ∈ set (Polynomial.coeffs q)  $\implies$  0 < eval-mpoly val coeff  $\implies$  False
    by (metis (no-types, opaque-lifting) Poly-eq-0 image-eqI image-set in-set-replicate less-numeral-extra(3))
  have h2:  $\bigwedge \text{coeff}$ .
    q ∈ set qs  $\implies$ 
    Poly (map (eval-mpoly val) (Polynomial.coeffs q)) = 0  $\implies$ 
    coeff ∈ set (Polynomial.coeffs q)  $\implies$ 
     $\neg 0 < \text{eval-mpoly val coeff} \implies \text{eval-mpoly val coeff} = 0$ 
    by (metis (no-types, opaque-lifting) Poly-eq-0 image-eqI image-set in-set-replicate)
  have coeff-zero:  $\forall \text{coeff} \in \text{set } (\text{Polynomial.coeffs } q). \text{mpoly-sign val coeff} = 0$ 
  using assms unfolding eval-mpoly-poly-def map-poly-def mpoly-sign-def
  using h1 h2 using sign-simps(2) by blast
  have  $\forall \text{coeff} \in \text{set } (\text{Polynomial.coeffs } q). \text{lookup-assump-aux coeff } (\text{map-mpoly-sign-data val } (\text{all-coeffs } qs)) = \text{Some } 0$ 
  proof clarsimp
    fix coeff
    assume inset: coeff ∈ set (Polynomial.coeffs q)
    then have h1: mpoly-sign val coeff = 0
    using coeff-zero by auto
    have h2: coeff ∈ set (all-coeffs qs)
    using qin inset all-coeffs-member all-coeffs-alt
    by blast
    have (coeff, 0) ∈ set (map-mpoly-sign-data val (all-coeffs qs))
    unfolding map-mpoly-sign-data-def mpoly-sign-data-def
    using h1 h2
    by (simp add: list.set-map member-def)
    then show lookup-assump-aux coeff (map-mpoly-sign-data val (all-coeffs qs)) = Some 0
    using lookup-assump-aux-property map-mpoly-sign-data-duplicates by presburger
  qed
  then have  $\forall \text{coeff}. \text{coeff} \in \text{set } (\text{Polynomial.coeffs } q) \longrightarrow \text{lookup-assump-aux coeff } (\text{map-mpoly-sign-data val } (\text{all-coeffs } qs)) = \text{Some } 0$ 
  by simp

```

```

then show ?thesis using st-zero sign-fnz-helper
  by simp
qed

lemma sign-of-first-nonzero-coefficient-nonzer:
  assumes inset:  $q \in \text{set } qs$ 
  assumes nonz:  $(\text{eval-mpoly-poly val } q) \neq 0$ 
  assumes sat-eval:  $\bigwedge p n. (p, n) \in \text{set} (\text{map-mpoly-sign-data val} (\text{all-coeffs } qs)) \Rightarrow \text{satisfies-evaluation val } p n$ 
  shows sign-of-first-nonzero-coefficient  $(\text{map-mpoly-sign-data val} (\text{all-coeffs } qs))$ 
 $q = \text{Sturm-Tarski.sign} (\text{Polynomial.lead-coeff} (\text{eval-mpoly-poly val } q))$ 
proof -
  let ?assumps =  $(\text{map-mpoly-sign-data val} (\text{all-coeffs } qs))$ 
  have qnonz:  $q \neq 0$  using nonz by auto
  let ?eval-q =  $(\text{eval-mpoly-poly val } q)$ 
  have  $\forall x > (\text{Polynomial.degree?eval-q}). \text{Polynomial.coeff?eval-q } x = 0$ 
    using coeff-eq-0 by blast
  have deg-leq:  $(\text{Polynomial.degree?eval-q}) \leq \text{Polynomial.degree } q$ 
    by (simp add: degree-map-poly-le eval-mpoly-poly-def)
  let ?deg-eq =  $\text{Polynomial.degree?eval-q}$ 
  let ?deg-q =  $\text{Polynomial.degree } q$ 
  have st-nonzero:  $\text{Sturm-Tarski.sign} (\text{Polynomial.lead-coeff?eval-q}) \neq 0$ 
    using nonzero
    by (simp add: Sturm-Tarski.sign-def)
  then have coi-sign:  $\text{mpoly-sign val} (\text{Polynomial.coeff } q \ ?\text{deg-eq}) = \text{Sturm-Tarski.sign} (\text{Polynomial.lead-coeff?eval-q})$ 
    unfolding mpoly-sign-def eval-mpoly-poly-def
    by auto
  let ?coi =  $\text{Polynomial.coeff } q \ ?\text{deg-eq}$ 
  have mem:  $((\text{mpoly-sign-data val?coi})::\text{real mpoly} \times \text{rat}) \in \text{set?assumps}$ 
    unfolding map-mpoly-sign-data-def using all-coeffs-member[of q qs ?coi]
      by (metis ‹Polynomial.degree (eval-mpoly-poly val q) ≤ Polynomial.degree q›
coeff-in-coeffs eval-mpoly-poly-comm-ring-hom.hom-zero image-eqI image-set inset
nonz)
  then obtain elem1 elem2 where elems-prop:  $(\text{elem1}, \text{elem2}) = \text{mpoly-sign-data val?coi}$ 
    using mpoly-sign-data-def by force
  then have elem2-prop:  $\text{elem2} = \text{Sturm-Tarski.sign} (\text{Polynomial.lead-coeff?eval-q})$ 
    using coi-sign
    by (simp add: mpoly-sign-data-def)
  have key1:  $\text{lookup-assump-aux?coi?assumps} = \text{Some} (\text{rat-of-int} (\text{Sturm-Tarski.sign} (\text{Polynomial.lead-coeff?eval-q})))$ 
    using sat-eval elems-prop mem elem2-prop st-nonzero
    by (simp add: eval-mpoly-poly-coeff1 lookup-assump-aux-property map-mpoly-sign-data-duplicates
mpoly-sign-data-def mpoly-sign-def)
  have len-coeffs-q:  $\text{length} (\text{Polynomial.coeffs } q) = ?\text{deg-q} + 1$ 
    unfolding Polynomial.coeffs-def using qnonz
    by simp

```

```

have len-coeffs-eq: length (Polynomial.coeffs ?eval-q) = ?deg-eq + 1
  using length-coeffs nonzero by blast
moreover {
  assume *: (Polynomial.degree ?eval-q) = Polynomial.degree q
  then have coi-is: ?coi = Polynomial.lead-coeff q
    by simp
  have ∃ h T. (rev (Polynomial.coeffs q)) = (h#T)
    by (meson neq-Nil-conv not-0-coeffs-not-Nil qnonz rev-is-Nil-conv)
  then obtain h T where ht-prop: (rev (Polynomial.coeffs q)) = (h#T)
    by auto
  have (rev (Polynomial.coeffs q)) ! 0 = (Polynomial.coeffs q) ! (Polynomial.degree
q)
    by (simp add: degree-eq-length-coeffs qnonz rev-nth)
  then have revis: (rev (Polynomial.coeffs q)) ! 0 = ?coi
    using coi-is
    by (simp add: coeffs-nth qnonz)
  then have lookup-assump-aux ((rev (Polynomial.coeffs q)) ! 0) ?assumps =
Some (rat-of-int (Sturm-Tarski.sign (Polynomial.lead-coeff ?eval-q)))
    using key1 st-nonzero coi-sign revis
  unfolding sign-of-first-nonzero-coefficient-def first-nonzero-coefficient-helper-def
    by auto
  then have ?thesis
    using ht-prop st-nonzero unfolding sign-of-first-nonzero-coefficient-def
    by auto
}
moreover {
  assume *: (Polynomial.degree ?eval-q) < Polynomial.degree q
  then have lt: Polynomial.degree (eval-mpoly-poly val q) + 1 < Polynomial.degree
q + 1
    using len-coeffs-q len-coeffs-eq add-less-cancel-right by blast
  have key2: ∀x. ((x ≥ ?deg-eq + 1 ∧ x < ?deg-q + 1) ⇒
    lookup-assump-aux ((Polynomial.coeffs q) ! x) ?assumps = Some 0)
  proof -
    fix x
    assume xgeq: (x ≥ ?deg-eq + 1 ∧ x < ?deg-q + 1)
    let ?coi2 = ((Polynomial.coeffs q) ! x)
    have mem: ((mpoly-sign-data val ?coi2)::real mpoly × rat) ∈ set ?assumps
      unfolding map-mpoly-sign-data-def using all-coeffs-member[of q qs ?coi2]
      by (metis image-eqI image-set inset len-coeffs-q nth-mem xgeq)
    then obtain newelem2 where newelems-prop: (?coi2, newelem2) = mpoly-sign-data
val ?coi2
      using mpoly-sign-data-def by force
    have xzer: eval-mpoly val ?coi2 = 0
      using xgeq
      by (metis Suc-eq-plus1 coeff-eq-0 coeffs-nth eval-mpoly-map-poly-comm-ring-hom.base.coeff-map-poly-hom
eval-mpoly-poly-def linorder-not-le not-less-eq-eq qnonz)
    have elem2-prop: (sgn (eval-mpoly val ?coi2) = real-of-rat (sgn newelem2))
      using sat-eval[of ?coi2 newelem2] mem newelems-prop
    using satisfies-evaluation-alternate unfolding satisfies-evaluation-alternate-def
}

```

```

satisfies-evaluation-def
  by metis
  then have key11: lookup-assump-aux ?coi2 ?assumps = Some 0
    using xzer
    by (metis lookup-assump-aux-property map-mpoly-sign-data-duplicates mem
newelems-prop of-rat-0 of-rat-hom.injectivity sgn-0-0)
    then show lookup-assump-aux ((Polynomial.coeffs q) ! x) ?assumps = Some
0 by auto
qed
  then have ( $\bigwedge i$ . Polynomial.degree (eval-mpoly-poly val q) + 1  $\leq i \wedge i <$ 
Polynomial.degree q + 1  $\implies$ 
    lookup-assump-aux (Polynomial.coeffs q ! i) ?assumps = None  $\vee$ 
    lookup-assump-aux (Polynomial.coeffs q ! i) ?assumps = Some 0)
  by blast
  then have fnz: first-nonzero-coefficient-helper ?assumps (rev (Polynomial.coeffs
q))
  = first-nonzero-coefficient-helper ?assumps (drop ((?deg-q + 1) - (?deg-eq +
1)) (rev (Polynomial.coeffs q)))
    using sign-of-first-nonzero-coefficient-drop[of ?deg-q + 1 Polynomial.coeffs q
?deg-eq + 1 ?assumps]
    using len-coeffs-q lt by auto
have coeff-deg-eq: (Polynomial.coeffs q) ! ?deg-eq = ?coi
  unfolding Polynomial.coeffs-def
  by (smt (verit, ccfv-threshold) Polynomial.coeffs-def coeffs-nth deg-leq qnonz)
have (Polynomial.coeffs q) ! ?deg-eq = (Polynomial.coeff q ?deg-eq)
  unfolding Polynomial.coeffs-def
  by (smt (verit, ccfv-SIG) Polynomial.coeffs-def coeff-deg-eq)
then have drop-zer-is: (drop (?deg-q - ?deg-eq) (rev (Polynomial.coeffs q))) !
0 = (Polynomial.coeff q ?deg-eq)
  using len-coeffs-q
  by (metis (no-types, lifting) add.right-neutral add-Suc-right deg-leq degree-eq-length-coeffs
diff-diff-cancel diff-diff-left diff-le-self diff-less-Suc length-rev nth-drop plus-1-eq-Suc
rev-nth)
let ?loi = (drop (?deg-q - ?deg-eq) (rev (Polynomial.coeffs q)))
have  $\exists h T$ . ?loi = h#T
  by (metis Suc-eq-plus1 append.right-neutral append-take-drop-id diff-is-0-eq
diff-less-Suc diff-less-mono le-refl len-coeffs-q length-rev length-take less-nat-zero-code
min.cobounded2 variables.cases)
  then have first-nonzero-coefficient-helper ?assumps (drop ((?deg-q + 1) -
(?deg-eq + 1)) (rev (Polynomial.coeffs q))) =
    (Sturm-Tarski.sign (Polynomial.lead-coeff ?eval-q))
    using key1 st-nonzero drop-zer-is unfolding first-nonzero-coefficient-helper-def
    by auto
then have ?thesis unfolding sign-of-first-nonzero-coefficient-def
  using fnz
  by auto
}
ultimately have ?thesis

```

```

    using deg-leq nat-less-le by blast
  then show ?thesis by auto
qed

lemma sign-of-first-nonzero-coefficient:
  assumes inset:  $q \in \text{set } qs$ 
  assumes sat-eval:  $\bigwedge p n. (p, n) \in \text{set} (\text{map-mpoly-sign-data val} (\text{all-coeffs } qs)) \implies \text{satisfies-evaluation val } p n$ 
  shows sign-of-first-nonzero-coefficient ( $\text{map-mpoly-sign-data val} (\text{all-coeffs } qs)$ )
 $q = \text{Sturm-Tarski.sign} (\text{Polynomial.lead-coeff} (\text{eval-mpoly-poly val } q))$ 
using assms sign-of-first-nonzero-coefficient-zer sign-of-first-nonzero-coefficient-nonzer
by blast

```

## 11 Relating multiple definitions

```

lemma csv-as-expected-left:
  fixes qs:: real mpoly list
  assumes n-is:  $n = \text{length} (\text{variables-list } qs)$ 
  assumes biggest-var-is:  $\text{biggest-var} = \text{nth} (\text{variables-list } qs) (n-1) + 1$ 

  assumes qs-signs:  $qs\text{-signs} = \text{mpoly-consistent-sign-vectors } qs$  (all-lists biggest-var)
  shows ( $\text{sign-val} \in qs\text{-signs} \implies (\exists \text{val}. (\text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ (\lambda p. \text{eval-mpoly val } p)) qs = \text{sign-val}))$ 
proof -
  assume inset:  $\text{sign-val} \in qs\text{-signs}$ 
  have  $\exists l::\text{real list}. (\text{List.length } l = \text{biggest-var} \wedge \text{sign-val} = \text{map-mpoly-sign } qs l)$ 
    using inset qs-signs unfolding mpoly-consistent-sign-vectors-def all-lists-def
    by blast
  then show  $(\exists \text{val}. (\text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ (\lambda p. \text{eval-mpoly val } p)) qs = \text{sign-val}))$ 
    using map-mpoly-sign-def by auto
qed

lemma in-list-lemma:
  assumes n = length l
  shows inlist:  $(v \in \text{set } l \implies (\exists k \leq n-1. v = \text{nth } l k))$ 
  using assms
proof (induct l arbitrary: n v)
  case Nil
  then show ?case
    by (simp add: member-rec(2))
next
  case (Cons a l)
  then have n - 1 = length l
    by simp
  then have ex-l:  $v \in \text{set } l \longrightarrow (\exists k \leq n-2. v = l ! k)$ 
    using Cons.hyps

```

```

by (metis diff-diff-left one-add-one)
have eo:  $v \in \text{set}(a \# l) \implies v = a \vee v \in \text{set } l$ 
  by (simp add: member-rec(1))
show ?case
proof -
  have h1:  $v = a \implies \exists k \leq n - \text{Suc } 0. v = (a \# l) ! k$ 
    by auto
  have h2:  $v \in \text{set } l \implies \exists k \leq n - \text{Suc } 0. v = (a \# l) ! k$ 
  proof -
    assume *:  $v \in \text{set } l$ 
    then have  $(\exists k \leq n - 2. v = l ! k)$ 
      using ex-l
      by (metis nat-1-add-1 plus-1-eq-Suc)
    then obtain k where  $k \leq n - 2 \wedge v = l ! k$ 
      by auto
    then have  $l ! k = (a \# l) ! (k+1)$ 
      by force
    then show  $\exists k \leq n - \text{Suc } 0. v = (a \# l) ! k$ 
      by (metis * One-nat-def Suc-leI `n - 1 = length l` in-set-conv-nth
nth-Cons-Suc)
  qed
  show ?thesis
    using h1 h2 Cons
    by (metis One-nat-def eo)
  qed
qed

lemma eval-list-longer-than-degree:
assumes gt-than:  $\forall i \in \text{vars } q. \text{length } val > i$ 
assumes length ell  $\geq \text{length } val$ 
assumes  $\forall i < \text{length } val. ell ! i = val ! i$ 
shows eval-mpoly ell q = eval-mpoly val q
proof -
  have  $\bigwedge m. \text{lookup}(\text{mapping-of } q) m \neq 0 \implies (\prod v. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v) = (\prod v. \text{nth-default } 0 \text{ val } v \wedge \text{lookup } m v)$ 
  proof -
    fix m
    assume *:  $\text{lookup}(\text{mapping-of } q) m \neq 0$ 
    then have  $\forall v. (\text{lookup } m v \neq 0 \longrightarrow v \in \text{vars } q)$ 
      by (metis coeff-def coeff-isolate-variable-sparse isovarsparNotIn)
    then have zer-lookup:  $\forall v \geq \text{length } val. \text{lookup } m v = 0$ 
      using * assms
      using linorder-not-less by blast
    have h1:  $\forall v \geq \text{length } val. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v = 1$ 
      using zer-lookup by auto
    have h2:  $\forall v \geq \text{length } val. \text{nth-default } 0 \text{ val } v \wedge \text{lookup } m v = 1$ 
      using zer-lookup by auto
    have h3:  $\forall v < \text{length } val. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v = \text{nth-default } 0 \text{ val } v \wedge \text{lookup } m v$ 
      using nth-default by auto
  qed
qed

```

```

using assms
by (metis nth-default-def order-less-le-trans)
then show ( $\prod v. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v$ ) = ( $\prod v. \text{nth-default } 0 \text{ val } v$ 
 $\wedge \text{lookup } m v$ )
    using h1 h2 h3
    by (metis linorder-not-le)
qed
then show ?thesis
using assms unfolding eval-mpoly-def unfolding insertion-def insertion-aux-def
unfolding insertion-fun-def
    by (smt (verit, best) Prod-any.cong Sum-any.cong id-apply map-fun-apply
mult-cancel-left)
qed

lemma same-eval-list-tailing-zeros:
assumes length ell > length val
assumes  $\forall i < \text{length val}. \text{ell} ! i = \text{val} ! i$ 
assumes ell-zeros:  $\forall i < \text{length ell}. (i \geq \text{length val} \rightarrow \text{ell} ! i = 0)$ 
shows eval-mpoly ell q = eval-mpoly val q
proof -
    have  $\bigwedge m. \text{lookup} (\text{mapping-of } q) m \neq 0 \implies (\prod v. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v)$  = ( $\prod v. \text{nth-default } 0 \text{ val } v \wedge \text{lookup } m v$ )
    proof -
        fix m
        assume  $\text{lookup} (\text{mapping-of } q) m \neq 0$ 
        have h1-val:  $\forall v \geq \text{length val}. \text{nth-default } 0 \text{ val } v = 0$ 
            by (simp add: nth-default-beyond)
        have h1-ell:  $\bigwedge v. v \geq \text{length val} \implies \text{nth-default } 0 \text{ ell } v = 0$ 
            by (simp add: ell-zeros nth-default-eq-dflt-iff)
        have h1:  $\forall v \geq \text{length val}. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v = \text{nth-default } 0 \text{ val }$ 
 $v \wedge \text{lookup } m v$ 
            using h1-val h1-ell
            by presburger
        have h2:  $\forall v < \text{length val}. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v = \text{nth-default } 0 \text{ val }$ 
 $v \wedge \text{lookup } m v$ 
            using assms
            by (metis nth-default-nth order-less-trans)
        then show ( $\prod v. \text{nth-default } 0 \text{ ell } v \wedge \text{lookup } m v$ ) = ( $\prod v. \text{nth-default } 0 \text{ val } v$ 
 $\wedge \text{lookup } m v$ )
            using h1 h2
            by (metis linorder-not-le)
qed
then show ?thesis
using assms unfolding eval-mpoly-def unfolding insertion-def insertion-aux-def
unfolding insertion-fun-def
    by (smt (verit, best) Prod-any.cong Sum-any.cong id-apply map-fun-apply
mult-cancel-left)
qed

```

```

lemma biggest-variable-in-sorted-list:
  assumes length-nonz: variables-list qs ≠ []
  assumes n-is: n = length (variables-list qs)
  shows (m ∈ set (variables-list qs) ⇒ (nth (variables-list qs) (n-1)) ≥ m)
  proof –
    have allk: ∀ k < n-1. (nth (variables-list qs) k) ≤ (nth (variables-list qs) (k+1))
      using n-is
      by (simp add: sorted-wrt-nth-less)
    then have ⋀ v. ∀ k < n - Suc 0. sorted-list-of-set (variables qs) ! k ≤ sorted-list-of-set
    (variables qs) ! Suc k ⇒
      (⋀ n l v. n = length l ⇒ v ∈ set l ⇒ ∃ k ≤ n - Suc 0. v = l ! k) ⇒
      v ∈ set (sorted-list-of-set (variables qs)) ⇒
      v ≤ sorted-list-of-set (variables qs) ! (n - Suc 0)
    by (metis One-nat-def Suc-less-eq Suc-pred diff-less in-list-lemma length-greater-0-conv
length-nonz less-numeral-extra(1) n-is sorted-iff-nth-Suc sorted-nth-mono variables-list.simps)

    then have allin: ∀ v. (v ∈ set (variables-list qs) → v ≤ nth (variables-list qs)
    (n-1))
      using inlist allk
      by (auto)
    then show ⋀ m. (m ∈ set (variables-list qs) ⇒ (nth (variables-list qs) (n-1))
    ≥ m)
    proof –
      fix m
      assume mem: m ∈ set (variables-list qs)
      let ?len = length (variables-list qs)
      have ∃ w < ?len. m = (variables-list qs) ! w
        using mem
        by (metis in-set-conv-nth)
      then obtain w where w-prop: w < ?len ∧ m = (variables-list qs) ! w
        by auto
      then have leq: w ≤ n-1 using n-is
        by auto
      have sorted (variables-list qs)
        using sorted-sorted-list-of-set
        by simp
      then show nth (variables-list qs) (n-1) ≥ m
        using leq w-prop allin mem
        by blast
    qed
  qed

lemma csv-as-expected-right:
  fixes qs:: real mpoly list
  assumes length-nonz: length (variables-list qs) > 0
  assumes n-is: n = length (variables-list qs)
  assumes biggest-var-is: biggest-var = nth (variables-list qs) (n-1) + 1

  assumes qs-signs: qs-signs = mpoly-consistent-sign-vectors qs (all-lists biggest-var)

```

```

shows ( $\exists \text{ val. } (\text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ (\lambda p. \text{eval-mpoly val } p)) \text{ qs} = \text{sign-val}) \implies (\text{sign-val} \in \text{qs-signs})$ )
proof -
  assume ( $\exists \text{ val. } (\text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ (\lambda p. \text{eval-mpoly val } p)) \text{ qs} = \text{sign-val})$ )
  then obtain val where val-prop: ( $\text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ (\lambda p. \text{eval-mpoly val } p)) \text{ qs} = \text{sign-val}$ )
    by auto
  then have length sign-val = length qs
    using List.length-map by auto
  have inlist:  $\forall v. (v \in \text{set} (\text{variables-list} \text{ qs})) \longrightarrow (\exists k \leq n-1. v = \text{nth} (\text{variables-list} \text{ qs}) k)$ 
    using in-list-lemma n-is
    by metis
  have allin:  $\forall v. (v \in \text{set} (\text{variables-list} \text{ qs})) \longrightarrow v \leq \text{nth} (\text{variables-list} \text{ qs}) (n-1)$ 
    using inlist
    by (metis biggest-variable-in-sorted-list length-nonz less-numeral-extra(3) list.size(3)
n-is)
  have sorted-list-of-set (variables qs) = remdups (sorted-list-of-set (variables qs))
    by (metis remdups-id-iff-distinct sorted-list-of-set(3))
  then have remdups: variables-list qs = remdups (variables-list qs)
    by simp
  have (nth (variables-list qs) (n-1)) ∈ set (variables-list qs)
    using n-is length-nonz
    by (metis Suc-pred' in-set-conv-nth lessI)

  then have biggest:  $\bigwedge m. (m \in \text{set} (\text{variables-list} \text{ qs})) \implies (\text{nth} (\text{variables-list} \text{ qs}) (n-1)) \geq m$ 
    using assms biggest-variable-in-sorted-list
    using allin by presburger
  have gtthan-to-zero:  $\forall m \geq \text{biggest-var}. \forall (q::\text{real mpoly}) \in \text{set}(\text{qs}). \text{MPoly-Type.degree}(q::\text{real mpoly}) m = 0$ 
  proof clarsimp
    fix m q
    assume m: biggest-var ≤ m
    assume qin: q ∈ set qs
    have  $\forall v. (v \in \text{set} (\text{variables-list} \text{ qs})) \longrightarrow m > v$ 
      using biggest m
      using biggest-var-is by fastforce
    then have  $\forall v \in \text{vars } q. m > v$ 
      using qin variables-list-prop
      by blast
    then show MPoly-Type.degree q m = 0
      using biggest-var-is n-is degree-eq-0-iff
      by blast
  qed

  moreover {
    assume *: length val ≥ biggest-var

```

```

let ?ell = take biggest-var val
have ell-prop: length ?ell = biggest-var
  by (simp add: *)
have  $\bigwedge_{q \in \text{set}(qs)} q \in \text{set}(qs) \implies \text{eval-mpoly} ?ell q = \text{eval-mpoly} \text{val} q$ 
proof – fix q
  assume  $q \in \text{set}(qs)$ 
  have h1:  $\forall i \in \text{vars} q. i < \text{length} (\text{take biggest-var} \text{val})$ 
    by (metis `q ∈ set qs` degree-eq-0-iff ell-prop gtthan-to-zero linorder-le-less-linear)
  have h2:  $\text{length} (\text{take biggest-var} \text{val}) \leq \text{length} \text{val}$ 
    using * ell-prop by auto
  have h3:  $\forall i < \text{length} (\text{take biggest-var} \text{val}). \text{val} ! i = \text{take biggest-var} \text{val} ! i$ 
    by simp
  show eval-mpoly ?ell q = eval-mpoly val q
    using h1 h2 h3 eval-list-longer-than-degree[of q ?ell val]
    by auto
qed
then have map (rat-of-int o Sturm-Tarski.sign o eval-mpoly ?ell) qs = map
  (rat-of-int o Sturm-Tarski.sign o eval-mpoly val) qs
  by auto
then have  $\exists \text{ell}. \text{length} \text{ell} = \text{biggest-var} \wedge \text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski}.\text{sign} \circ \text{eval-mpoly} \text{ell}) \text{qs} = \text{sign-val}$ 
  using ell-prop val-prop
  by blast
}
moreover {
  assume  $*: \text{length} \text{val} < \text{biggest-var}$ 
  let ?ell = val @ (zero-list (biggest-var - length val))
  have len: length ?ell = biggest-var
    using * zero-list-len
  by (metis add-diff-cancel-left' eq-diff-iff length-append less-or-eq-imp-le zero-less-diff)

  then have p1:  $(\forall n < \text{length} \text{val}. ?ell ! n = \text{val} ! n)$ 
    using *
    by (meson nth-append)
  have p2:  $(\forall n \geq \text{length} \text{val}. n < \text{biggest-var} \longrightarrow ?ell ! n = 0)$ 
    using * zero-list-member
    by (metis diff-less-mono leD nth-append)
  have  $\bigwedge q. (q \in \text{set}(qs)) \implies \text{eval-mpoly} ?ell q = \text{eval-mpoly} \text{val} q$ 
  proof –
    fix q
    assume  $q \in \text{set}(qs)$ 
    show eval-mpoly ?ell q = eval-mpoly val q
      using p1 p2 same-eval-list-tailing-zeros[of val ?ell q] * len
      by presburger
  qed
  then have  $\exists \text{ell}. \text{length} \text{ell} = \text{biggest-var} \wedge \text{map} (\text{rat-of-int} \circ \text{Sturm-Tarski}.\text{sign} \circ \text{eval-mpoly} \text{ell}) \text{qs} = \text{sign-val}$ 
    using val-prop len
    by (smt (verit) comp-apply map-eq-conv)
}

```

```

}

ultimately have  $\exists ell. \text{length } ell = \text{biggest-var} \wedge \text{map}(\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ \text{eval-mpoly } ell) qs = \text{sign-val}$ 
  by (meson linorder-le-less-linear)
then show ?thesis using qs-signs unfolding mpoly-consistent-sign-vectors-def
  map-mpoly-sign-def
  using all-lists-def by auto
qed

lemma csv-as-expected:
assumes length-nonz: length (variables-list qs) > 0
assumes n-is: n = length (variables-list qs)
assumes biggest-var-is: biggest-var = nth (variables-list qs) (n-1) + 1

assumes qs-signs: qs-signs = mpoly-consistent-sign-vectors qs (all-lists biggest-var)
shows (sign-val ∈ qs-signs)  $\longleftrightarrow$  ( $\exists val. (\text{map}(\text{rat-of-int} \circ \text{Sturm-Tarski.sign} \circ \text{eval-mpoly } val)) qs = sign-val$ )
using assms csv-as-expected-left[of n qs biggest-var qs-signs sign-val] csv-as-expected-right
by blast

definition dim-poly:: real mpoly  $\Rightarrow$  nat
where dim-poly q = Max (vars q)

definition dim-poly-list:: real mpoly list  $\Rightarrow$  nat
where dim-poly-list qs = Max (variables qs)

lemma dim-poly-list-prop:
assumes length-nonz: variables-list qs  $\neq \emptyset$ 
assumes n-is: n = length (variables-list qs)
shows dim-poly-list qs = nth (variables-list qs) (n-1)
proof -
let ?biggest-var = nth (variables-list qs) (n-1)
have ?biggest-var ∈ set (variables-list qs)
  using assms
  by (meson diff-less length-greater-0-conv member-def nth-mem zero-less-one)
then have h1: nth (variables-list qs) (n-1) ∈ variables qs
  using variables-prop assms
  using variables-list-prop by blast
have h2:  $\forall x \in \text{variables } qs. x \leq \text{nth } (variables-list qs) (n-1)$ 
  using assms biggest-variable-in-sorted-list variables-list-prop variables-prop
  by presburger
show ?thesis using h1 h2 variables-finite unfolding dim-poly-list-def
  by (meson Max-eqI)
qed

lemma lookup-assump-aux-subset-consistency:
assumes val:  $\bigwedge p n. (p,n) \in \text{set branch-assms} \implies \text{satisfies-evaluation } val \ p \ n$ 
assumes subset: set new-assumps  $\subseteq$  set branch-assms
assumes i-assm: ( $\exists i. \text{lookup-assump-aux } (\text{Polynomial.lead-coeff } r) \ new-assumps$ 
```

```

= Some i ∧ i ≠ 0)
  shows (Ǝ i. lookup-assump-aux (Polynomial.lead-coeff r) branch-assms = Some
i ∧ i ≠ 0)
proof –
  obtain i where i-prop: lookup-assump-aux (Polynomial.lead-coeff r) new-assumps
= Some i
  i ≠ 0
  using i-assm
  by auto
  then have (Polynomial.lead-coeff r, i) ∈ set new-assumps
  by (meson lookup-assump-means-inset)
  then have in-set: (Polynomial.lead-coeff r, i) ∈ set branch-assms
  using subset by auto
  then have satisfies-evaluation val (Polynomial.lead-coeff r) i
  using val by auto
  then have ¬ (satisfies-evaluation val (Polynomial.lead-coeff r) 0)
  using i-prop(2) unfolding satisfies-evaluation-def
  by (metis linorder-neqE-linordered-idom of-int-hom.hom-0-iff one-neq-zero sign-simps(1)
sign-simps(2) sign-simps(3) zero-neq-neg-one)
  then have not-in-set: (Polynomial.lead-coeff r, 0) ∉ set branch-assms
  using val
  by blast
  show ?thesis using in-set not-in-set i-prop(2)
  by (metis inset-means-lookup-assump-some lookup-assump-means-inset)
qed

lemma lookup-assump-aux-subset-consistent-sign:
  assumes val: ⋀ p n. (p,n) ∈ set branch-assms ⇒ satisfies-evaluation val p n
  assumes subset: set new-assumps ⊆ set branch-assms
  assumes i1: lookup-assump-aux (Polynomial.lead-coeff r) new-assumps = Some
i1
  assumes i2: lookup-assump-aux (Polynomial.lead-coeff r) branch-assms = Some
i2
  shows Sturm-Tarski.sign i1 = Sturm-Tarski.sign i2
proof –
  have (Polynomial.lead-coeff r, i1) ∈ set new-assumps
  using i1
  by (simp add: lookup-assump-means-inset)
  then have in-set: (Polynomial.lead-coeff r, i1) ∈ set branch-assms
  using subset by auto
  then have sat-eval: satisfies-evaluation val (Polynomial.lead-coeff r) i1
  using val by auto
  have Sturm-Tarski.sign i1 ≠ Sturm-Tarski.sign i2 ⇒ False
  proof –
    assume Sturm-Tarski.sign i1 ≠ Sturm-Tarski.sign i2
    then have ¬ (satisfies-evaluation val (Polynomial.lead-coeff r) i2)
    using sat-eval unfolding satisfies-evaluation-def
    by presburger
    then have not-in-set: (Polynomial.lead-coeff r, i2) ∉ set branch-assms

```

```

using val
by blast
then show False using i2
  by (meson lookup-assump-means-inset member-def)
qed
then show ?thesis
  by blast
qed

lemma lookup-assump-aux-subset-not-none:
assumes val:  $\bigwedge p\ n.\ (p,n) \in \text{set branch-assms} \implies \text{satisfies-evaluation val } p\ n$ 
assumes subset:  $\text{set new-assumps} \subseteq \text{set branch-assms}$ 
assumes i1:  $\text{lookup-assump-aux} (\text{Polynomial.lead-coeff } r) \text{ new-assumps} = \text{Some } i1$ 
shows  $\exists i2.\ \text{lookup-assump-aux} (\text{Polynomial.lead-coeff } r) \text{ branch-assms} = \text{Some } i2$ 
proof -
have  $(\text{Polynomial.lead-coeff } r, i1) \in \text{set new-assumps}$ 
  using i1
  by (simp add: lookup-assump-means-inset)
then have in-set:  $(\text{Polynomial.lead-coeff } r, i1) \in \text{set branch-assms}$ 
  using subset by auto
then show ?thesis
  by (simp add: inset-means-lookup-assump-some member-def)
qed

end

```

```

theory Multiv-Pseudo-Remainder-Sequence
imports
  Multiv-Consistent-Sign-Assignments

```

```
begin
```

## 12 Functions

```

definition mul-pseudo-mod:: ' $a:\{\text{comm-ring-1},\text{semiring-1-no-zero-divisors}\}$   $\text{Polynomial.poly} \Rightarrow 'a \text{ Polynomial.poly} \Rightarrow 'a \text{ Polynomial.poly}$  where
mul-pseudo-mod  $p\ q = ($ 
let  $m =$ 
  (if even( $\text{Polynomial.degree } p + 1 - \text{Polynomial.degree } q$ )
  then  $-1$ 
  else  $-\text{Polynomial.lead-coeff } q$ ) in
 $\text{Polynomial.smult } m (\text{pseudo-mod } p\ q))$ 

```

```

function spmmods-multiv-aux::  

  real mpoly Polynomial.poly =>  

  real mpoly Polynomial.poly =>  

  (real mpoly × rat) list =>  

  ((real mpoly × rat) list × real mpoly Polynomial.poly list) list where  

  spmmods-multiv-aux p q assumps = (  

    if q = 0 then [(assumps, [p])] else  

    (case (lookup-assump-aux (Polynomial.lead-coeff q) assumps) of  

     None =>  

      let left = spmmods-multiv-aux p (one-less-degree q) ((Polynomial.lead-coeff q,  

      0::rat)) # assumps in  

      let res-one = spmmods-multiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff  

      q, (1::rat)) # assumps) in  

      let res-minus-one = spmmods-multiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff  

      q, (-1::rat)) # assumps) in  

      let right-one = map (λx. (fst x, Cons p (snd x))) res-one in  

      let right-minus-one = map (λx. (fst x, Cons p (snd x))) res-minus-one in  

      append left (append right-one right-minus-one)  

    | (Some i) =>  

      (if i = 0 then spmmods-multiv-aux p (one-less-degree q) assumps  

      else  

        let res = spmmods-multiv-aux q (mul-pseudo-mod p q) assumps in  

        map (λx. (fst x, Cons p (snd x))) res  

      )  

    )) using prod-cases3  

    apply blast  

    by fastforce  

termination  

  apply (relation measure (λ(p,q,r). if q = [:0:] then 1 else 2 + Polynomial.degree  

  q))  

    apply blast  

    apply (auto)  

  using one-less-degree-degree  

    apply (metis one-less-degree-def cancel-comm-monoid-add-class.diff-cancel  

degree-0-id gr0I monom-0)  

  unfolding mul-pseudo-mod-def  

  using pseudo-mod(2)  

    apply auto[1]  

    apply (simp add: degree-pseudo-mod-less)  

  apply (metis Multiv-Poly-Props.one-less-degree-def cancel-comm-monoid-add-class.diff-cancel  

degree-0-id monom-0 not-gr-zero one-less-degree-degree)  

  by (metis degree-pseudo-mod-less degree-smult-eq smult-eq-0-iff)

function spmmods-multiv::  

  real mpoly Polynomial.poly =>  

  real mpoly Polynomial.poly =>  

  (real mpoly × rat) list =>  

  ((real mpoly × rat) list × (real mpoly Polynomial.poly list)) list

```

```

where spmodsmultiv p q assumps = (
  if p = 0 then [(assumps,[])] else
  (case (lookup-assump-aux (Polynomial.lead-coeff p) assumps) of
    None ⇒
      let left = spmodsmultiv (one-less-degree p) q ((Polynomial.lead-coeff p,
(0::rat)) # assumps) in
      let right-one = spmodsmultiv-aux p q ((Polynomial.lead-coeff p, (1::rat))
# assumps) in
        let right-minus-one = spmodsmultiv-aux p q ((Polynomial.lead-coeff p,
(-1::rat)) # assumps) in
          left @ (right-one @ right-minus-one)
        | (Some i) ⇒
          (if i = 0 then spmodsmultiv (one-less-degree p) q assumps
          else
            spmodsmultiv-aux p q assumps
          )
        )
      )
  using spmodsmultiv-aux.cases apply blast
  by force
termination
  apply (relation measure (λ(p,q). if p = [:0:] then 1 else 2 + Polynomial.degree
p))
  apply blast
  apply (auto)
  using one-less-degree-degree
  apply (metis Multiv-Poly-Props.one-less-degree-def cancel-comm-monoid-add-class.diff-cancel
degree-0-id monom-0 not-gr-zero)
  by (metis Multiv-Poly-Props.one-less-degree-def cancel-comm-monoid-add-class.diff-cancel
degree-0-id monom-0 not-gr-zero one-less-degree-degree)

declare spmodsmultiv-aux.simps[simp del]
declare spmodsmultiv.simps[simp del]

```

## 13 Proofs

**lemma** mul-pseudo-mod-valuation:

**assumes** satisfies-evaluation val (Polynomial.lead-coeff p) n

**assumes** n ≠ 0

**assumes** satisfies-evaluation val (Polynomial.lead-coeff q) m

**assumes** m ≠ 0

**shows** mul-pseudo-mod (eval-mpoly-poly val p) (eval-mpoly-poly val q) =
eval-mpoly-poly val (mul-pseudo-mod p q)

**proof** –

**from** degree-valuation[OF assms(1–2)] **have**

1: Polynomial.degree p = Polynomial.degree (eval-mpoly-poly val p) .

**from** degree-valuation[OF assms(3–4)] **have**

2: Polynomial.degree q = Polynomial.degree (eval-mpoly-poly val q) .

**from** lead-coeff-valuation[OF assms(1–2)] **have**

3: eval-mpoly val (Polynomial.lead-coeff p) = Polynomial.lead-coeff (eval-mpoly-poly

```

val p) .
from lead-coeff-valuation[OF assms(3–4)] have
  4: eval-mpoly val (Polynomial.lead-coeff q) = Polynomial.lead-coeff (eval-mpoly-poly
val q) .
show ?thesis
using assms
by (smt (verit, ccfv-SIG) 1 2 4 eval-mpoly-map-poly-comm-ring-hom.base.hom-one
eval-mpoly-map-poly-comm-ring-hom.base.hom-uminus eval-mpoly-poly-pseudo-mod
eval-mpoly-poly-smult mul-pseudo-mod-def of-int-hom.hom-one of-int-hom.hom-uminus)

qed

lemma spmods-multiv-aux-induct[case-names Base Rec Lookup0 LookupN0]:
fixes p q :: real mpoly Polynomial.poly
fixes assumps ::(real mpoly × rat) list
assumes base:  $\bigwedge p q \text{ assumps. } q = 0 \implies P p q \text{ assumps}$ 
and rec:  $\bigwedge p q \text{ assumps. }$ 
 $\llbracket q \neq 0; \text{ lookup-assump-aux } (\text{Polynomial.lead-coeff } q) \text{ assumps} = \text{None};$ 
 $P p (\text{one-less-degree } q) ((\text{Polynomial.lead-coeff } q, 0) \# \text{assumps});$ 
 $P q (\text{mul-pseudo-mod } p q) ((\text{Polynomial.lead-coeff } q, 1) \# \text{assumps});$ 
 $P q (\text{mul-pseudo-mod } p q) ((\text{Polynomial.lead-coeff } q, -1) \# \text{assumps}) \rrbracket \implies$ 
 $P p q \text{ assumps}$ 
and lookup0:  $\bigwedge p q \text{ assumps. }$ 
 $\llbracket q \neq 0; \text{ lookup-assump-aux } (\text{Polynomial.lead-coeff } q) \text{ assumps} = \text{Some } 0;$ 
 $P p (\text{one-less-degree } q) \text{ assumps} \rrbracket \implies P p q \text{ assumps}$ 
and lookupN0:  $\bigwedge p q \text{ assumps } r.$ 
 $\llbracket q \neq 0; \text{ lookup-assump-aux } (\text{Polynomial.lead-coeff } q) \text{ assumps} = \text{Some } r;$ 
 $r \neq 0;$ 
 $P q (\text{mul-pseudo-mod } p q) \text{ assumps} \rrbracket \implies P p q \text{ assumps}$ 
shows P p q assumps
apply(induct p q assumps rule: spmods-multiv-aux.induct)
by (metis base rec lookup0 lookupN0 not-None-eq)

```

```

lemma spmods-multiv-induct[case-names Base Rec Lookup0 LookupN0]:
fixes p q :: real mpoly Polynomial.poly
fixes assumps ::(real mpoly × rat) list
assumes base:  $\bigwedge p q \text{ assumps. } p = 0 \implies P p q \text{ assumps}$ 
and rec:  $\bigwedge p q \text{ assumps. }$ 
 $\llbracket p \neq 0; \text{ lookup-assump-aux } (\text{Polynomial.lead-coeff } p) \text{ assumps} = \text{None};$ 
 $P (\text{one-less-degree } p) q ((\text{Polynomial.lead-coeff } p, 0) \# \text{assumps}) \rrbracket \implies$ 
 $P p q \text{ assumps}$ 
and lookup0:  $\bigwedge p q \text{ assumps. }$ 
 $\llbracket p \neq 0; \text{ lookup-assump-aux } (\text{Polynomial.lead-coeff } p) \text{ assumps} = \text{Some } 0;$ 

```

```

 $P (\text{one-less-degree } p) \ q \ \text{assumps} \] \implies P \ p \ q \ \text{assumps}$ 
and  $\text{lookupN0}: \bigwedge p \ q \ \text{assumps} \ r.$ 
   $\llbracket p \neq 0;$ 
   $\text{lookup-assump-aux} (\text{Polynomial.lead-coeff } p) \ \text{assumps} = \text{Some } r;$ 
   $r \neq 0 \rrbracket \implies P \ p \ q \ \text{assumps}$ 
shows  $P \ p \ q \ \text{assumps}$ 
apply(induct p q assumps rule: spmods-multiv.induct)
by (metis base rec lookup0 lookupN0 not-None-eq)

```

```

lemma spmods-multiv-aux-assum-acc:
  assumes  $(\text{acc}', \text{seq}') \in \text{set} (\text{spmods-multiv-aux } p \ q \ \text{acc})$ 
  shows  $\text{set acc} \subseteq \text{set acc}'$ 
  using assms
  proof (induct p q acc arbitrary:acc' seq' rule: spmods-multiv-aux-induct)
    case (Base p q assumps)
    then show ?case by (auto simp add: spmods-multiv-aux.simps)
  next
    case (Rec p q assumps)
    then show ?case using spmods-multiv-aux.simps[of p q assumps]
      by (smt (z3) Un-Iff imageE insert-subset list.set(2) list.set-map old.prod.inject
        option.simps(4) prod.collapse set-append)
  next
    case (Lookup0 p q assumps)
    then show ?case
      by (auto simp add: spmods-multiv-aux.simps[of p q assumps])
  next
    case (LookupN0 p q assumps r)
    then show ?case using spmods-multiv-aux.simps[of p q assumps]
      using option.simps(5) prod.collapse by fastforce
  qed

```

```

lemma spmods-multiv-assum-acc:
  assumes  $(\text{acc}', \text{seq}') \in \text{set} (\text{spmods-multiv } p \ q \ \text{acc})$ 
  shows  $\text{set acc} \subseteq \text{set acc}'$ 
  using assms
  proof (induct p q acc arbitrary:acc' seq' rule: spmods-multiv-induct)
    case (Base p q assumps)
    then show ?case by (auto simp add: spmods-multiv.simps)
  next
    case (Rec p q assumps)
    then show ?case
      using spmods-multiv-aux-assum-acc spmods-multiv.simps[of p q assumps]
      by (metis Un-Iff insert-subset list.set(2) option.simps(4) set-append)
  next
    case (Lookup0 p q assumps)
    then show ?case
      by (auto simp add: spmods-multiv.simps[of p q assumps])

```

```

next
  case (LookupN0 p q assumps r)
  then show ?case
    using spmods-multiv-aux-assum-acc
    by (auto simp add: spmods-multiv.simps[of p q assumps])
qed

lemma lookup-assum-aux-mem:
  assumes lookup-assump-aux x ls = Some i
  shows  $(x,i) \in \text{set } ls$ 
  using assms
  apply (induction ls)
  apply force
  by (metis fst-conv list.set-intros(1) list.set-intros(2) lookup-assump-aux.simps(2)
old.prod.exhaust option.inject prod.sel(2))

lemma matches-ss:
  assumes (Polynomial.lead-coeff p,m)  $\in \text{set assumps}$   $m \neq 0$ 
  assumes (assumps, sturm-seq)  $\in \text{set (spmods-multiv-aux p q acc)}$ 
  assumes  $\bigwedge p n. (p,n) \in \text{set assumps} \implies \text{satisfies-evaluation val } p n$ 
  shows map (eval-mpoly-poly val) sturm-seq =
    spmods (eval-mpoly-poly val p) (eval-mpoly-poly val q)
  using assms
  proof (induct p q acc arbitrary:assumps sturm-seq m rule: spmods-multiv-aux-induct)
    case (Base p q assumps)
    then show ?case
      using lead-coeff-evaluation satisfies-evaluation-nonzero spmods-multiv-aux.simps
    by fastforce
  next
    case ih: (Rec p q acc)
    let ?left = spmods-multiv-aux p (one-less-degree q) ((Polynomial.lead-coeff q,
(0::rat)) # acc)
    let ?res-one = spmods-multiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff
q, (1::rat)) # acc)
    let ?res-minus-one = spmods-multiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff
q, (-1::rat)) # acc)
    have rec: (assumps, sturm-seq) ∈ set ?left ∨
      (assumps, sturm-seq) ∈ set (map (λx. (fst x, Cons p (snd x))) ?res-one) ∨
      (assumps, sturm-seq) ∈ set (map (λx. (fst x, Cons p (snd x))) ?res-minus-one)
    using ih by (auto simp add: spmods-multiv-aux.simps[of p q acc])
    moreover {
      assume (assumps, sturm-seq)  $\in \text{set } ?left$ 
      then have map (eval-mpoly-poly val) sturm-seq = spmods (eval-mpoly-poly val
p) (eval-mpoly-poly val q)
      by (metis eval-mpoly-poly-one-less-degree ih.hyps(3) ih.prems(1) ih.prems(2)
ih.prems(4) insert-subset list.set(2) spmods-multiv-aux-assum-acc)
    }
    moreover {

```

```

assume **:
  (assumps, sturm-seq) ∈ set (map (λx. (fst x, Cons p (snd x))) ?res-one) ∨
  (assumps, sturm-seq) ∈ set (map (λx. (fst x, Cons p (snd x))) ?res-minus-one)
then obtain s ss where ss:sturm-seq = s#ss
  and rec:(assumps,ss) ∈ set ?res-one ∨ (assumps,ss) ∈ set ?res-minus-one
  by auto
have lead-coeff-inset: (Polynomial.lead-coeff q, 1) ∈ set assumps ∨ (Polynomial.lead-coeff
q,-1) ∈ set assumps
  using ** spmods-multiv-aux-assum-acc by fastforce
then have A:map (eval-mpoly-poly val) ss =
  spmods (eval-mpoly-poly val q) (eval-mpoly-poly val (mul-pseudo-mod p q))
  by (metis ih.hyps(4) ih.hyps(5) ih.prems(4) local.rec zero-neq-neg-one zero-neq-one)
have B:spmods (eval-mpoly-poly val p) (eval-mpoly-poly val q) =
  ((eval-mpoly-poly val p) # (spmods (eval-mpoly-poly val q) (mul-pseudo-mod
  (eval-mpoly-poly val p) (eval-mpoly-poly val q))))
  by (metis ih.prems(1) ih.prems(2) ih.prems(4) lead-coeff-valuation lead-
  ing-coeff-0-iff mul-pseudo-mod-def satisfies-evaluation-nonzero spmods.simps)
have C: mul-pseudo-mod (eval-mpoly-poly val p) (eval-mpoly-poly val q) =
  eval-mpoly-poly val (mul-pseudo-mod p q)
  by (metis lead-coeff-inset ih.prems(1) ih.prems(2) ih.prems(4) mul-pseudo-mod-valuation
  zero-neq-neg-one zero-neq-one)
have map (eval-mpoly-poly val) sturm-seq = spmods (eval-mpoly-poly val p)
  (eval-mpoly-poly val q)
  using A B C rec ss ** by auto
}
ultimately show ?case
using local.rec by blast
next
case (Lookup0 p q acc)
then have rec: (assumps, sturm-seq) ∈ set (spmods-multiv-aux p (one-less-degree
q) acc)
  by (auto simp add: spmods-multiv-aux.simps[of p q acc])
have (Polynomial.lead-coeff q,0) ∈ set acc
  by (simp add: Lookup0.hyps(2) lookup-assum-aux-mem)
then have satisfies-evaluation val (Polynomial.lead-coeff q) 0
  using Lookup0.prems(3) Lookup0.prems(4) spmods-multiv-aux-assum-acc by
  blast
then have eval-mpoly-poly val (one-less-degree q) = (eval-mpoly-poly val q)
  by (auto simp add: eval-mpoly-poly-one-less-degree)
then show ?case
using Lookup0.hyps(3) Lookup0.prems(1) Lookup0.prems(2) Lookup0.prems(4)
local.rec by presburger
next
case ih:(LookupN0 p q acc r)
then have asm:(assumps, sturm-seq) ∈ set (
  map (λx. (fst x, Cons p (snd x)))
  (spmods-multiv-aux q (mul-pseudo-mod p q acc)))
  by (auto simp add: spmods-multiv-aux.simps[of p q acc])
then obtain s ss where ss:sturm-seq = s#ss

```

```

and rec:(assumps,ss) ∈ set (spmods-multiv-aux q (mul-pseudo-mod p q) acc)
by auto
have A:map (eval-mpoly-poly val) ss = spmods (eval-mpoly-poly val q) (eval-mpoly-poly
val (mul-pseudo-mod p q))
using ih(4)[OF -- rec]
by (meson ih.hyps(2) ih.hyps(3) ih.prems(4) in-mono local.rec lookup-assump-means-inset
spmods-multiv-aux-assum-acc)
have B:spmods (eval-mpoly-poly val p) (eval-mpoly-poly val q) =
((eval-mpoly-poly val p) # (spmods (eval-mpoly-poly val q) (mul-pseudo-mod
(eval-mpoly-poly val p) (eval-mpoly-poly val q))))
by (metis ih(5) ih(6) ih.prems(4) lead-coeff-evaluation leading-coeff-0-iff mul-pseudo-mod-def
satisfies-evaluation-nonzero spmods.simps)
have C: mul-pseudo-mod (eval-mpoly-poly val p) (eval-mpoly-poly val q) =
eval-mpoly-poly val (mul-pseudo-mod p q)
by (meson ih.hyps(2) ih.hyps(3) ih.prems(1) ih.prems(2) ih.prems(4) local.rec
lookup-assum-aux-mem mul-pseudo-mod-evaluation spmods-multiv-aux-assum-acc subsetD)
show ?case
using A B C rec ss asm by force
qed

lemma spmods-multiv-aux-sturm-lc:
assumes (Polynomial.lead-coeff p,m) ∈ set acc m ≠ 0
assumes (acc',seq') ∈ set (spmods-multiv-aux p q acc)
assumes el ∈ set seq'
shows ∃ r. (Polynomial.lead-coeff el,r) ∈ set acc' ∧ r ≠ 0
using assms
proof (induct p q acc arbitrary:acc' seq' el m rule: spmods-multiv-aux-induct)
case (Base p q acc)
then show ?case
using empty-iff fst-conv list.set(1) prod.sel(2) set-ConsD spmods-multiv-aux.simps
by auto
next
case (Rec p q acc)
then show ?case
apply (auto simp add: spmods-multiv-aux.simps[of p q acc])
apply (meson Rec.prems(3) spmods-multiv-aux-assum-acc subset-eq)
apply (metis zero-neq-one)
apply (meson Rec.prems(3) spmods-multiv-aux-assum-acc subset-iff)
by (metis zero-neq-neg-one)

next
case (Lookup0 p q acc)
then show ?case
by (auto simp add: spmods-multiv-aux.simps[of p q acc])
next
case (LookupN0 p q acc r)
then show ?case
apply (auto simp add: spmods-multiv-aux.simps[of p q acc])

```

```

using spmods-multiv-aux-assum-acc apply blast
by (meson lookup-assum-aux-mem)
qed

lemma spmods-multiv-sturm-lc:
assumes (acc',seq') ∈ set (spmods-multiv p q acc)
assumes el ∈ set seq'
shows ∃ r. (Polynomial.lead-coeff el,r) ∈ set acc' ∧ r ≠ 0
using assms
proof (induct p q acc arbitrary:acc' seq' rule: spmods-multiv-induct)
case (Base p q assms)
then show ?case
using spmods-multiv.simps
by simp
next
case (Rec p q assms)
then show ?case
apply (auto simp add: spmods-multiv.simps[of p q assms])
using spmods-multiv-aux-sturm-lc
apply (metis list.set-intros(1) zero-neq-one)
by (metis list.set-intros(1) spmods-multiv-aux-sturm-lc zero-neq-neg-one)
next
case (Lookup0 p q assms)
then show ?case
by (auto simp add: spmods-multiv.simps[of p q assms])
next
case (LookupN0 p q assms r)
then show ?case using spmods-multiv.simps[of p q assms]
spmods-multiv-aux-sturm-lc lookup-assum-aux-mem
by (smt (verit, ccfv-threshold) option.simps(5))

qed

```

```

lemma matches-len-complete:
assumes ⋀ p n. (p,n) ∈ set acc ==> satisfies-evaluation val p n
obtains assms sturm-seq where
  (assms, sturm-seq) ∈ set (spmods-multiv-aux p q acc)
  ⋀ p n. (p,n) ∈ set assms ==> satisfies-evaluation val p n
using assms
proof (induct p q acc arbitrary: thesis rule: spmods-multiv-aux-induct)
case (Base p q acc)
then show ?case
by (simp add: spmods-multiv-aux.simps)
next
case ih: (Rec p q acc)
let ?left = spmods-multiv-aux p (one-less-degree q) ((Polynomial.lead-coeff q,
(0::rat)) # acc)
let ?res-one = spmods-multiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff

```

```

 $q, (1::rat)) \# acc)$ 
 $\text{let } ?res-minus-one = spmods-multiv-aux q (\text{mul-pseudo-mod } p q) ((\text{Polynomial.lead-coeff } q, (-1::rat)) \# acc)$ 
 $\text{have } \text{satisfies-evaluation val } (\text{Polynomial.lead-coeff } q) 0 \vee$ 
 $\text{satisfies-evaluation val } (\text{Polynomial.lead-coeff } q) 1 \vee$ 
 $\text{satisfies-evaluation val } (\text{Polynomial.lead-coeff } q) (-1)$ 
 $\text{unfolding } \text{satisfies-evaluation-def}$ 
 $\text{apply auto}$ 
 $\text{using Sturm-Tarski.sign-cases}$ 
 $\text{by (metis of-int-hom.hom-one of-int-minus)}$ 
 $\text{then have } q:$ 
 $(\forall p n. (p,n) \in \text{set } ((\text{Polynomial.lead-coeff } q, 0) \# acc) \longrightarrow \text{satisfies-evaluation val } p n) \vee$ 
 $(\forall p n. (p,n) \in \text{set } ((\text{Polynomial.lead-coeff } q, 1) \# acc) \longrightarrow \text{satisfies-evaluation val } p n) \vee$ 
 $(\forall p n. (p,n) \in \text{set } ((\text{Polynomial.lead-coeff } q, -1) \# acc) \longrightarrow \text{satisfies-evaluation val } p n)$ 
 $\text{by (simp add: ih.prems(2))}$ 
 $\text{moreover } \{$ 
 $\text{assume } *:(\forall p n. (p,n) \in \text{set } ((\text{Polynomial.lead-coeff } q, 0) \# acc) \longrightarrow \text{satisfies-evaluation val } p n)$ 
 $\text{then have } ?case \text{ using } * \text{ ih}(3)$ 
 $\text{by (metis (no-types, lifting) Un-iff ih.hyps(1) ih.hyps(2) ih.prems(1) option.case(1) set-append spmods-multiv-aux.simps)}$ 
 $\}$ 
 $\text{moreover } \{$ 
 $\text{assume } *:(\forall p n. (p,n) \in \text{set } ((\text{Polynomial.lead-coeff } q, 1) \# acc) \longrightarrow \text{satisfies-evaluation val } p n)$ 
 $\text{then have } ?case \text{ using } * \text{ ih}(4)$ 
 $\text{by (smt (z3) Un-iff fst-conv ih.hyps(1) ih.hyps(2) ih.prems(1) in-set-conv-decomp list.simps(9) map-append option.case(1) set-append spmods-multiv-aux.simps)}$ 
 $\}$ 
 $\text{moreover } \{$ 
 $\text{assume } *:(\forall p n. (p,n) \in \text{set } ((\text{Polynomial.lead-coeff } q, -1) \# acc) \longrightarrow \text{satisfies-evaluation val } p n)$ 
 $\text{then have } ?case \text{ using } * \text{ ih}(5)$ 
 $\text{by (smt (z3) Un-iff fst-conv ih.hyps(1) ih.hyps(2) ih.prems(1) in-set-conv-decomp list.simps(9) map-append option.case(1) set-append spmods-multiv-aux.simps)}$ 
 $\}$ 
 $\text{ultimately show } ?case$ 
 $\text{by fastforce}$ 
 $\text{next}$ 
 $\text{case } (\text{Lookup0 } p q acc)$ 
 $\text{then show } ?case$ 
 $\text{by (auto simp add: spmods-multiv-aux.simps[of p q acc])}$ 
 $\text{next}$ 
 $\text{case } ih: (\text{LookupN0 } p q \text{ assumps } r)$ 
 $\text{then obtain } \text{assumps}' \text{ sturm-seq}' \text{ where}$ 
 $(\text{assumps}', \text{sturm-seq}') \in \text{set } (\text{spmods-multiv-aux } q (\text{mul-pseudo-mod } p q) \text{ as-})$ 

```

```

sumps)
  ( $\bigwedge p n. (p, n) \in \text{set } \text{assumps}' \implies \text{satisfies-evaluation val } p n$ )
  by blast
then show ?case using ih spmods-multiv-aux.simps[of p q assumps] fst-conv
image-eqI
  by (smt (verit) list.set-map option.simps(5))

qed

lemma spmods-multiv-nonz-some:
  fixes p:: real mpoly Polynomial.poly
  fixes q:: real mpoly Polynomial.poly
  assumes inset: (assumps, sturm-seq)  $\in$  set (spmods-multiv p q acc)
  shows p  $\neq 0 \implies \exists i. \text{lookup-assump-aux} (\text{Polynomial.lead-coeff } p) \text{ assumps} =$ 
Some i
  using assms
proof (induct p q acc rule: spmods-multiv-induct)
  case (Base p q acc)
  then show ?case by auto
next
  case (Rec p q acc)
  then have (lookup-assump-aux (Polynomial.lead-coeff p) acc) = None
  by meson
  then have set (spmods-multiv p q acc) =
    set (spmods-multiv (one-less-degree p) q ((Polynomial.lead-coeff p, (0::rat)) # acc))
     $\cup$  set (spmods-multiv-aux p q ((Polynomial.lead-coeff p, (1::rat)) # acc))
     $\cup$  set (spmods-multiv-aux p q ((Polynomial.lead-coeff p, (-1::rat)) # acc))
    by (simp add: Rec.preds(1) spmods-multiv.simps sup-assoc)
  then have (assumps, sturm-seq)  $\in$  set (spmods-multiv (one-less-degree p) q
((Polynomial.lead-coeff p, (0::rat)) # acc))
     $\vee$  (assumps, sturm-seq)  $\in$  set (spmods-multiv-aux p q ((Polynomial.lead-coeff
p, (1::rat)) # acc))
     $\vee$  (assumps, sturm-seq)  $\in$  set (spmods-multiv-aux p q ((Polynomial.lead-coeff
p, (-1::rat)) # acc))
    using Rec.preds(2) by blast
  then show ?case
    using spmods-multiv-assum-acc spmods-multiv-aux-assum-acc
    by (metis insert-subset inset-means-lookup-assump-some list.set(2))
next
  case (Lookup0 p q acc)
  then show ?case
    by (meson inset-means-lookup-assump-some lookup-assum-aux-mem spmods-multiv-assum-acc
subset-eq)
next
  case (LookupN0 p q acc r)
  then show ?case
    by (meson in-set-member inset-means-lookup-assump-some lookup-assump-means-inset
spmods-multiv-assum-acc subsetD)

```

**qed**

```

lemma spmmods-multiv-aux-nonz-some:
  fixes p:: real mpoly Polynomial.poly
  fixes q:: real mpoly Polynomial.poly
  assumes inset: (assumps, sturm-seq) ∈ set (spmmods-multiv-aux p q acc)
  shows q ≠ 0 ⇒ ∃ i. lookup-assump-aux (Polynomial.lead-coeff q) assumps = Some i
  using assms
proof (induct p q acc rule: spmmods-multiv-aux-induct)
  case (Base p q acc)
  then show ?case by auto
next
  case (Rec p q acc)
  then have (lookup-assump-aux (Polynomial.lead-coeff q) acc) = None
  by meson
  then have set (spmmods-multiv-aux p q acc) =
    set (spmmods-multiv-aux p (one-less-degree q) ((Polynomial.lead-coeff q, (0::rat))
# acc))
    ∪ set ( map (λx. (fst x, Cons p (snd x))) (spmmods-multiv-aux q (mul-pseudo-mod
p q) ((Polynomial.lead-coeff q, (1::rat)) # acc)))
    ∪ set ( map (λx. (fst x, Cons p (snd x))) (spmmods-multiv-aux q (mul-pseudo-mod
p q) ((Polynomial.lead-coeff q, (-1::rat)) # acc)))
  using Rec.prem(1) spmmods-multiv-aux.simps
  by (simp add: Rec.prem(1) spmmods-multiv-aux.simps sup-assoc)
  then have bigh: (assumps, sturm-seq) ∈ set (spmmods-multiv-aux p (one-less-degree
q) ((Polynomial.lead-coeff q, (0::rat)) # acc))
    ∨ (assumps, sturm-seq) ∈ set ( map (λx. (fst x, Cons p (snd x))) (spmmods-multiv-aux
q (mul-pseudo-mod p q) ((Polynomial.lead-coeff q, (1::rat)) # acc)))
    ∨ (assumps, sturm-seq) ∈ set ( map (λx. (fst x, Cons p (snd x))) (spmmods-multiv-aux
q (mul-pseudo-mod p q) ((Polynomial.lead-coeff q, (-1::rat)) # acc)))
  using Rec.prem(2)
  by blast
  have h1: (Λacc' seq' p q acc. (acc', seq') ∈ set (spmmods-multiv-aux p q acc)) ⇒
set acc ⊆ set acc' ⇒
  (assumps, sturm-seq)
  ∈ set (spmmods-multiv-aux p (Multiv-Poly-Props.one-less-degree q)
    ((Polynomial.lead-coeff q, 0) # acc)) ⇒
  ∃ i. lookup-assump-aux (Polynomial.lead-coeff q) assumps = Some i
  by (meson in-set-member inset-means-lookup-assump-some list.set-intros(1)
subsetD)
  have h2: Λb. (Λacc' seq' p q acc. (acc', seq') ∈ set (spmmods-multiv-aux p q acc))
  ⇒ set acc ⊆ set acc' ⇒
  (assumps, b)
  ∈ set (spmmods-multiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff
q, 1) # acc)) ⇒
  sturm-seq = p # b ⇒ ∃ i. lookup-assump-aux (Polynomial.lead-coeff q)
assumps = Some i
  by (meson in-set-member inset-means-lookup-assump-some list.set-intros(1))

```

```

subsetD)
  have h3:  $\bigwedge b. (\bigwedge acc' seq' p q acc. (acc', seq') \in set (spmodsmultiv-aux p q acc) \Rightarrow set acc \subseteq set acc') \Rightarrow$ 
 $(assumps, b) \in set (spmodsmultiv-aux q (mul-pseudo-mod p q) ((Polynomial.lead-coeff q, - 1) \# acc)) \Rightarrow$ 
 $sturm-seq = p \# b \Rightarrow \exists i. lookup-assump-aux (Polynomial.lead-coeff q) assumps = Some i$ 
  by (meson in-set-member inset-means-lookup-assump-some list.set-intros(1)
subsetD)
  show ?case
  using bigh spmodsmultiv-aux-assum-acc h1 h2 h3
  by auto
next
  case (Lookup0 p q acc)
  then show ?case
  by (meson inset-means-lookup-assump-some lookup-assum-aux-mem spmodsmultiv-aux-assum-acc
subsetD)
next
  case (LookupN0 p q acc r)
  then show ?case
  by (meson in-set-member inset-means-lookup-assump-some lookup-assump-means-inset
spmodsmultiv-aux-assum-acc subsetD)
qed

lemma spmodsmultiv-sound:
  assumes (assumps, sturm-seq) \in set (spmodsmultiv p q acc)
  assumes  $\bigwedge p n. (p,n) \in set assumps \Rightarrow satisfies-evaluation val p n$ 
  shows map (eval-mpoly-poly val) sturm-seq =
    spmodsmultiv (eval-mpoly-poly val p) (eval-mpoly-poly val q)
  using assms
proof (induct p q acc arbitrary:assumps sturm-seq rule: spmodsmultiv-induct)
  case (Base p q assumps)
  then show ?case
  by (simp add: spmodsmultiv.simps)
next
  case (Rec p q assumps)
  then show ?case
  by (smt (verit, best) UnE eval-mpoly-poly-one-less-degree list.set-intros(1)
matches_ss option.simps(4) set-append spmodsmultiv.simps spmodsmultiv-assum-acc
spmodsmultiv-aux-assum-acc subsetD zero-neq-neg-one zero-neq-one)
next
  case (Lookup0 p q assumps)
  define pval where pval:pval = eval-mpoly-poly val p
  define qval where qval:qval = eval-mpoly-poly val q
  have (Polynomial.lead-coeff p,0) \in set assumps
  using Lookup0.hyps Lookup0.prem
  by (meson lookup-assum-aux-mem spmodsmultiv-assum-acc subset-code(1))
  then have satisfies-evaluation val (Polynomial.lead-coeff p) 0

```

```

using Lookup0.hyps Lookup0.prems by blast
from eval-mpoly-poly-one-less-degree[OF this] have
  eval-prop: eval-mpoly-poly val (one-less-degree p) = pval using pval qval
  by auto
have map (eval-mpoly-poly val) sturm-seq = spmods pval qval
  using Lookup0.hyps Lookup0.prems pval qval
  by (simp add: eval-prop spmods-multiv.simps)
then show ?case
  using pval qval by blast
next
  case (LookupN0 p q assms r)
  define pval where pval:pval = eval-mpoly-poly val p
  define qval where qval:qval = eval-mpoly-poly val q
  {
    assume right:  $\exists k. \text{lookup-assump-aux} (\text{Polynomial.lead-coeff } p) \text{ assms} = \text{Some } k \wedge k \neq 0$ 
    then obtain k where k-prop:  $\text{lookup-assump-aux} (\text{Polynomial.lead-coeff } p)$ 
    assms = Some k  $\wedge k \neq 0$ 
    by auto
    then have  $(\text{Polynomial.lead-coeff } p, k) \in \text{set assms}$ 
      using spmods-multiv-aux-assum-acc
      by (simp add: lookup-assum-aux-mem)
    have k ≠ 0
      using k-prop by auto
    then have
      map (eval-mpoly-poly val) sturm-seq = spmods pval qval
      using matches-ss[of p k assms sturm-seq q - val] right LookupN0.prems(2)
    LookupN0.prems LookupN0.hyps
      using «(Polynomial.lead-coeff p, k) ∈ set assms» pval qval
      by (simp add: spmods-multiv.simps)
  }
  then have map (eval-mpoly-poly val) sturm-seq = spmods pval qval
    using LookupN0.hyps LookupN0.prems
    lookup-assump-aux-subset-consistency option.case(2) spmods-multiv.simps sp-
    mods-multiv-aux-assum-acc
    by (smt (verit) Sturm-Tarski.sign-def in-mono lookup-assum-aux-mem of-int-hom.injectivity
    satisfies-evaluation-def sign-simps(2) spmods-multiv-nonz-some)
  then show ?case
    using pval qval by blast
qed

end

```

**theory Hybrid-Multiv-Matrix**  
**imports**

*Factor-Algebraic-Polynomial.Poly-Connection*  
*Multiv-Pseudo-Remainder-Sequence*

*BenOr-Kozen-Reif.More-Matrix*  
*HOL-Library.Mapping*  
*BenOr-Kozen-Reif.Renegar-Algorithm*

begin

## 14 Find CSAS to qs at zeros of p

### 14.1 Towards Tarski Queries

```

fun sminus:: nat list  $\Rightarrow$  rat list  $\Rightarrow$  int where
  sminus degree-list sturm-seq = changes (map ( $\lambda i$ .  $(-1)^{\lceil \text{nth } \text{degree-list } i \rceil} \times (\text{nth } \text{sturm-seq } i)$ ) [0..< length degree-list])

definition changes-R-smods-multiv:: rat list  $\Rightarrow$  nat list  $\Rightarrow$  int
  where changes-R-smods-multiv signs-list degree-list  $\equiv$  (sminus degree-list signs-list)
        - (changes signs-list)

definition changes-R-smods-multiv-val:: real mpoly Polynomial.poly list  $\Rightarrow$  real list
   $\Rightarrow$  int where
    changes-R-smods-multiv-val sturm-seq val  $\equiv$  (let (eval-ss::real Polynomial.poly list) = (eval-mpoly-poly-list val sturm-seq) in (changes-poly-neg-inf eval-ss - changes-poly-pos-inf eval-ss))

```

### 14.2 Building the Matrix Equation

```

type-synonym rmpoly = real mpoly Polynomial.poly
type-synonym assumps = (real mpoly  $\times$  rat) list
type-synonym matrix-equation = (rat mat  $\times$  ((nat list * nat list) list  $\times$  rat list list))

definition base-case-info-M:: (assumps  $\times$  matrix-equation) list
  where base-case-info-M = [[[], base-case-info-R]]

definition base-case-info-M-assumps:: assumps  $\Rightarrow$  (assumps  $\times$  matrix-equation) list
  where base-case-info-M-assumps init-assumps = [(init-assumps, base-case-info-R)]

fun combine-systems-single-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation)
   $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation)  $\Rightarrow$  (assumps  $\times$  matrix-equation)
  where combine-systems-single-M p q1 (a1, m1) q2 (a2, m2) =
    (append a1 a2, snd (combine-systems-R p (q1, m1) (q2, m2)))

fun combine-systems-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation)
  list  $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation) list  $\Rightarrow$  rmpoly list  $\times$  ((assumps  $\times$  matrix-equation) list)
  where combine-systems-M p q1 list1 q2 list2 =
    (append q1 q2, concat (map ( $\lambda l1$ . (map ( $\lambda l2$ . combine-systems-single-M p q1 l1 q2

```

```

l2) list2)) list1))

definition construct-NofI-R-spmods:: rmpoly  $\Rightarrow$  assumps  $\Rightarrow$  rmpoly list  $\Rightarrow$  rmpoly
list  $\Rightarrow$  (assumps  $\times$  (rmpoly list)) list
where construct-NofI-R-spmods p assumps I1 I2 =
let new-p = sum-list (map ( $\lambda x. x^2$ ) (p # I1)) in
spmods-multiv new-p ((pderiv new-p)*(prod-list I2))) assumps

fun construct-NofI-single-M:: (assumps  $\times$  (rmpoly list))  $\Rightarrow$ 
(assumps  $\times$  rat)
where construct-NofI-single-M (input-assumps, ss) =
(let lcs = lead-coeffs ss;
sa-list = map ( $\lambda lc. \text{lookup-assump } lc \text{ input-assumps}$ ) lcs;
degrees-list = degrees ss in
(input-assumps, rat-of-int (changes-R-smods-multiv sa-list degrees-list)))

fun construct-NofI-M:: rmpoly  $\Rightarrow$  assumps  $\Rightarrow$  rmpoly list  $\Rightarrow$  rmpoly list => (assumps
 $\times$  rat) list
where construct-NofI-M p assumps I1 I2 =
(let ss-list = construct-NofI-R-spmods p assumps I1 I2 in
map construct-NofI-single-M ss-list)

fun pull-out-pairs:: rmpoly list  $\Rightarrow$  (nat list * nat list) list  $\Rightarrow$  (rmpoly list  $\times$  rmpoly
list) list
where pull-out-pairs qs Is =
map ( $\lambda(I1, I2). ((\text{retrieve-polys } qs I1), (\text{retrieve-polys } qs I2))$ ) Is

fun construct-rhs-vector-rec-M:: rmpoly  $\Rightarrow$  assumps  $\Rightarrow$  (rmpoly list  $\times$  rmpoly list)
list  $\Rightarrow$  (assumps  $\times$  rat list) list
where construct-rhs-vector-rec-M p assumps [] = [(assumps, [])]
| construct-rhs-vector-rec-M p assumps ((qs1, qs2)#[[]]) =
(let TQ-list = construct-NofI-M p assumps qs1 qs2 in
map ( $\lambda(new-assumps, tq). (new-assumps, [tq])$ ) TQ-list)
| construct-rhs-vector-rec-M p assumps ((qs1, qs2)#[T]) =
concat (let TQ-list = construct-NofI-M p assumps qs1 qs2 in
(map ( $\lambda(new-assumps, tq). (\text{let } rec = \text{construct-rhs-vector-rec-M } p \text{ new-assumps } T \text{ in }$ 
map ( $\lambda r. (\text{fst } r, tq \# \text{snd } r)$ ) rec)) TQ-list))

definition construct-rhs-vector-M:: rmpoly  $\Rightarrow$  assumps  $\Rightarrow$  rmpoly list  $\Rightarrow$  (nat list
* nat list) list  $\Rightarrow$  (assumps  $\times$  rat vec) list
where construct-rhs-vector-M p assumps qs Is =
map ( $\lambda res. (\text{fst } res, \text{vec-of-list } (\text{snd } res))$ ) (construct-rhs-vector-rec-M p assumps
(pull-out-pairs qs Is))

definition solve-for-lhs-single-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  (nat list * nat list) list
 $\Rightarrow$  rat mat  $\Rightarrow$  rat vec  $\Rightarrow$  rat vec
where solve-for-lhs-single-M p qs subsets matr rhs-vector =

```

```

mult-mat-vec (matr-option (dim-row matr) (mat-inverse-var matr)) rhs-vector

definition solve-for-lhs-M:: rmpoly  $\Rightarrow$  assumps  $\Rightarrow$  rmpoly list  $\Rightarrow$  (nat list * nat list) list  $\Rightarrow$  rat mat  $\Rightarrow$  (assumps  $\times$  rat vec) list
  where solve-for-lhs-M p assumps qs subsets matr =
    map ( $\lambda$ rhs. (fst rhs, solve-for-lhs-single-M p qs subsets matr (snd rhs))) (construct-rhs-vector-M p assumps qs subsets)

```

### 14.3 Reduction

```

fun reduce-system-single-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation)  $\Rightarrow$  (assumps  $\times$  matrix-equation) list
  where reduce-system-single-M p qs (assumps, (m,subs,signs)) =
    map ( $\lambda$ lhs. (fst lhs, reduction-step-R m signs subs (snd lhs))) (solve-for-lhs-M p assumps qs subs m)

fun reduce-system-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation) list  $\Rightarrow$  (assumps  $\times$  matrix-equation) list
  where reduce-system-M p qs input-list = concat (map (reduce-system-single-M p qs) input-list)

```

### 14.4 Top-level Function

```

fun calculate-data-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  (assumps  $\times$  matrix-equation) list
  where
    calculate-data-M p qs =
      ( let len = length qs in
        if len = 0 then map ( $\lambda$ (assumps,(a,(b,c))). (assumps, (a,b,map (drop 1) c)))
        (reduce-system-M p [1] base-case-info-M)
        else if len  $\leq$  1 then reduce-system-M p qs base-case-info-M
        else
          (let q1 = take (len div 2) qs; left = calculate-data-M p q1;
            q2 = drop (len div 2) qs; right = calculate-data-M p q2;
            comb = combine-systems-M p q1 left q2 right in
            reduce-system-M p (fst comb) (snd comb))
      )
    )

```

```

fun calculate-data-assumps-M:: rmpoly  $\Rightarrow$  rmpoly list  $\Rightarrow$  assumps  $\Rightarrow$  (assumps  $\times$  matrix-equation) list
  where
    calculate-data-assumps-M p qs init-assumps =
      ( let len = length qs in
        if len = 0 then map ( $\lambda$ (assumps,(a,(b,c))). (assumps, (a,b,map (drop 1) c)))
        (reduce-system-M p [1] (base-case-info-M-assumps init-assumps))
        else if len  $\leq$  1 then reduce-system-M p qs (base-case-info-M-assumps init-assumps)
        else
          (let q1 = take (len div 2) qs; left = calculate-data-assumps-M p q1 init-assumps;

```

```

q2 = drop (len div 2) qs; right = calculate-data-assumps-M p q2 init-assumps;
comb = combine-systems-M p q1 left q2 right in
reduce-system-M p (fst comb) (snd comb)
)
)

```

**end**

**theory** *Hybrid-Multiv-Algorithm*

**imports** *Hybrid-Multiv-Matrix*  
*Virtual-Substitution.ExportProofs*

**begin**

## 15 Most recent code

```

function lc-assump-generation:: rmpoly  $\Rightarrow$  assumps  $\Rightarrow$  (assumps  $\times$  rmpoly) list
where lc-assump-generation q assumps =
  (if q = 0 then [(assumps, 0)] else
  (case (lookup-assump-aux (Polynomial.lead-coeff q) assumps) of
    None  $\Rightarrow$ 
      let zero = lc-assump-generation (one-less-degree q) ((Polynomial.lead-coeff
      q, (0::rat)) # assumps);
      one = ((Polynomial.lead-coeff q, (1::rat)) # assumps, q);
      minus-one = ((Polynomial.lead-coeff q, (-1::rat)) # assumps, q) in
      one#minus-one#zero
    | (Some i)  $\Rightarrow$ 
      (if i = 0 then lc-assump-generation (one-less-degree q) assumps
      else
        [(assumps, q)])
    )
  ))
by auto
termination apply (relation measure ( $\lambda(q, \text{assumps})$ ). (let w = (if q  $\neq$  0 then 1
else 0) in w + Polynomial.degree q))
apply (auto) using one-less-degree-degree
apply (smt (verit, del-insts) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-diff
Polynomial.lead-coeff-monom cancel-comm-monoid-add-class.diff-cancel coeff-eq-0
degree-monom-eq leading-coeff-neq-0 linorder-neqE-nat)
using one-less-degree-degree
by (smt (verit) Multiv-Poly-Props.one-less-degree-def Polynomial.coeff-diff Polynomial.
lead-coeff-monom cancel-comm-monoid-add-class.diff-cancel coeff-eq-0 degree-monom-eq
leading-coeff-neq-0 linorder-neqE-nat)

```

```

declare lc-assump-generation.simps[simp del]

value lc-assump-generation ([:Var 1:]::rmpoly) [(Var 1, 1)]

fun lc-assump-generation-list:: rmpoly list  $\Rightarrow$  assumps  $\Rightarrow$  (assumps  $\times$  rmpoly list)
list
where lc-assump-generation-list [] assumps = [(assumps, [])]
| lc-assump-generation-list (q#qs) assumps = (let rec = lc-assump-generation q
assumps in
concat (map (
 $\lambda$ (new-assumps, r). (let list-rec = lc-assump-generation-list qs new-assumps in
map ( $\lambda$ elem. (fst elem, r#(snd elem))) list-rec ) rec )))

declare lc-assump-generation-list.simps[simp del]

value lc-assump-generation-list [[(:Var 1:]::rmpoly), (:Var 1:]::rmpoly)] []

value (lc-assump-generation-list [[(:Var 1:]::rmpoly)] [] ! 1

definition poly-p:: rmpoly list  $\Rightarrow$  rmpoly
where poly-p qs = (let prod-list = prod-list qs in
prod-list*(pderiv prod-list))

primrec check-all-const-deg-gen:: ('a::zero) Polynomial.poly list  $\Rightarrow$  bool
where check-all-const-deg-gen [] = True
| check-all-const-deg-gen (h#T) = (if Polynomial.degree h = 0 then (check-all-const-deg-gen T) else False)

primrec prod-list-var-gen:: ('a:idom) list  $\Rightarrow$  ('a:idom)
where prod-list-var-gen [] = 1
| prod-list-var-gen (h#T) = (if h = 0 then (prod-list-var-gen T) else (h* prod-list-var-gen T))

fun poly-p-in-branch:: (assumps  $\times$  rmpoly list)  $\Rightarrow$  rmpoly
where poly-p-in-branch (assumps, qs) =
(if (check-all-const-deg-gen qs = True) then [:0, 1:] else
(pderiv (prod-list-var-gen qs)) * (prod-list-var-gen qs)
)

fun limit-points-on-branch:: (assumps  $\times$  rmpoly list)  $\Rightarrow$  (rat list  $\times$  rat list)
where limit-points-on-branch (assumps, qs) =
(map ( $\lambda$ q. if q = 0 then 0 else (rat-of-int  $\circ$  Sturm-Tarski.sign) (lookup-assump
(Polynomial.lead-coeff q) assumps)) qs,
map ( $\lambda$ q. if q = 0 then 0 else (rat-of-int  $\circ$  Sturm-Tarski.sign) (lookup-assump
(Polynomial.lead-coeff q) assumps)*(-1) $^{\wedge}$ (Polynomial.degree q)) qs)

fun extract-signs:: (assumps  $\times$  matrix-equation) list  $\Rightarrow$  (assumps  $\times$  rat list list)

```

```

list
  where extract-signs qs = map (λ(assumps, (mat , (subs, signs))). (assumps,
signs)) qs

fun sign-determination-inner:: rmpoly list ⇒ assumps ⇒ (assumps × rat list list)
list
  where sign-determination-inner qs assumps =
( let branches = lc-assump-generation-list qs assumps in
concat (map (λbranch.
let poly-p-branch = poly-p-in-branch branch;
(pos-limit-branch, neg-limit-branch) = limit-points-on-branch branch;
calculate-data-branch = extract-signs (calculate-data-assumps-M poly-p-branch
(snd branch) (fst branch))
in map (λ(a, signs). (a, pos-limit-branch#neg-limit-branch#signs)) calculate-data-branch
) branches
)))
)

fun extract-polys:: atom fm ⇒ real mpoly list
  where extract-polys (Atom (Less p)) = [p] |
  extract-polys (Atom (Leq p)) = [p] |
  extract-polys (Atom (Eq p)) = [p] |
  extract-polys (Atom (Neq p)) = [p] |
  extract-polys (TrueF) = [] |
  extract-polys (FalseF) = [] |
  extract-polys (And φ ψ) = (extract-polys φ)@(extract-polys ψ) |
  extract-polys (Or φ ψ) = (extract-polys φ)@(extract-polys ψ) |
  extract-polys (Neg φ) = (extract-polys φ) |
  extract-polys (ExN 0 φ) = (extract-polys φ) |
  extract-polys (AllN 0 φ) = (extract-polys φ) |
  extract-polys - = []

fun lookup-sem-M :: atom fm ⇒ (real mpoly × rat) list ⇒ bool option
  where
    lookup-sem-M TrueF ls = Some (True)
  | lookup-sem-M FalseF ls = Some (False)
  | lookup-sem-M (And l r) ls = (case (lookup-sem-M l ls, lookup-sem-M r ls)
    of (Some i, Some j) ⇒ Some (i ∧ j)
    | - ⇒ None)
  | lookup-sem-M (Or l r) ls = (case (lookup-sem-M l ls, lookup-sem-M r ls)
    of (Some i, Some j) ⇒ Some (i ∨ j)
    | - ⇒ None)
  | lookup-sem-M (Neg l) ls = (case (lookup-sem-M l ls)
    of Some i ⇒ Some ((¬i))
    | - ⇒ None)
  | lookup-sem-M (Atom (Less p)) ls =
    (case (lookup-assump-aux p ls) of
      Some i ⇒ Some (i < 0)
    | - ⇒ None)

```

```

)
| lookup-sem-M (Atom (Leq p)) ls =
  (case (lookup-assump-aux p ls) of
    Some i => Some (i ≤ 0)
  | - => None
  )
|
| lookup-sem-M (Atom (Eq p)) ls =
  (case (lookup-assump-aux p ls) of
    Some i => Some (i = 0)
  | - => None
  )
|
| lookup-sem-M (Atom (Neq p)) ls =
  (case (lookup-assump-aux p ls) of
    Some i => Some (i ≠ 0)
  | - => None
  )
|
| lookup-sem-M (ExN 0 l) ls = lookup-sem-M l ls
| lookup-sem-M (AllN 0 l) ls = lookup-sem-M l ls
| lookup-sem-M - ls = None

fun assump-to-atom:: (real mpoly × rat) ⇒ atom
where assump-to-atom (p, r) =
  (if r = 0 then (Eq p)
   else (if r < 0 then (Less p)
         else (Less (-p)))
  ))

fun assump-to-atom-fm:: assumps ⇒ atom fm
where assump-to-atom-fm [] = TrueF
| assump-to-atom-fm ((p, r) # T) = And (Atom (assump-to-atom (p, r))) (assump-to-atom-fm T)

fun create-disjunction:: (assumps × rat list list) list ⇒ atom fm
where create-disjunction [] = FalseF
| create-disjunction ((a, -) # T) = Or (assump-to-atom-fm a) (create-disjunction T)

fun elim-forall:: atom fm ⇒ atom fm
where elim-forall F =
(
  let qs = extract-polys F;
  univ-qs = univariate-in qs 0;
  reindexed-univ-qs = map (map-poly (lowerPoly 0 1)) univ-qs;
  data = sign-determination-inner reindexed-univ-qs [];
  new-data = filter (λ(assumps, signs-list).
    list-all (λ signs.
      let paired-signs = zip qs signs in
        lookup-sem-M F paired-signs = (Some True))
    signs-list
  )
)
```

```

    ) data
in create-disjunction new-data
)

definition elim-exist:: atom fm  $\Rightarrow$  atom fm
  where elim-exist F = Neg (elim-forall (Neg F))

fun structural-complexity:: atom fm  $\Rightarrow$  (nat  $\times$  nat)
  where
    structural-complexity TrueF = (0, 1)
    | structural-complexity FalseF = (0, 1)
    | structural-complexity (Atom a) = (0, 1)
    | structural-complexity (And F1 F2) =
      (let (qF1, sF1) = structural-complexity F1;
       (qF2, sF2) = structural-complexity F2
       in (qF1 + qF2, 1 + sF1 + sF2))
    | structural-complexity (Or F1 F2) =
      (let (qF1, sF1) = structural-complexity F1;
       (qF2, sF2) = structural-complexity F2
       in (qF1 + qF2, 1 + sF1 + sF2))
    | structural-complexity (Neg F) =
      (let (qF, sF) = structural-complexity F
       in (qF, 1 + sF))
    | structural-complexity (ExQ F) =
      (let (qF, sF) = structural-complexity F
       in (1 + qF, 1 + sF))
    | structural-complexity (AllQ F) =
      (let (qF, sF) = structural-complexity F
       in (1 + qF, 1 + sF))
    | structural-complexity (ExN n F) =
      (let (qF, sF) = structural-complexity F
       in (2 + n + qF, 2 + n + sF))
    | structural-complexity (AllN n F) =
      (let (qF, sF) = structural-complexity F
       in (2 + n + qF, 2 + n + sF))

declare structural-complexity.simps[simp del]

```

```

fun qe:: atom fm  $\Rightarrow$  atom fm
  where
    qe TrueF = TrueF
    | qe FalseF = FalseF
    | qe (Atom a) = (Atom a)
    | qe (And F1 F2) = And (qe F1) (qe F2)
    | qe (Or F1 F2) = Or (qe F1) (qe F2)
    | qe (Neg F) = Neg (qe F)
    | qe (ExQ F) = elim-exist (qe F)
    | qe (AllQ F) = elim-forall (qe F)

```

```

|  $qe(AllN\ n\ F) = (\text{elim-forall } \wedge\!\! \wedge\ n) (qe\ F)$ 
|  $qe(ExN\ n\ F) = (\text{elim-exist } \wedge\!\! \wedge\ n) (qe\ F)$ 

```

```

definition  $qe\text{-with-}VS ::= atom\ fm \Rightarrow atom\ fm$ 
where  $qe\text{-with-}VS\ F = (qe \circ VSLEG)\ F$ 

```

```

value ((MPoly (Pm-fmap (fmap-of-list [(Pm-fmap (fmap-of-list []), 1)])))::real mpoly)
= Const 1

```

```

fun eval-ground :: atom fm  $\Rightarrow$  real list  $\Rightarrow$  bool where
  eval-ground (Atom a)  $\Gamma = aEval\ a\ \Gamma$  |
  eval-ground (TrueF) - = True |
  eval-ground (FalseF) - = False |
  eval-ground (And  $\varphi\ \psi$ )  $\Gamma = ((eval-ground\ \varphi\ \Gamma) \wedge (eval-ground\ \psi\ \Gamma))$  |
  eval-ground (Or  $\varphi\ \psi$ )  $\Gamma = ((eval-ground\ \varphi\ \Gamma) \vee (eval-ground\ \psi\ \Gamma))$  |
  eval-ground (Neg  $\varphi$ )  $\Gamma = (\neg (eval-ground\ \varphi\ \Gamma))$ 

```

```

value VSLEG (ExQ (ExQ (Atom (Less (Var 0^2*Var 1 ::real mpoly)))))  

value (qe-with-VS (ExQ (ExQ (Atom (Less (Var 0^2*Var 1 ::real mpoly)))))))

```

## 16 Decision Portion

```

fun extract-polys-from-assumps :: assumps  $\Rightarrow$  real mpoly list
where extract-polys-from-assumps [] = []
| extract-polys-from-assumps ((p, i) # T) = p#(extract-polys-from-assumps T)

fun assumps-are-consistent :: assumps  $\Rightarrow$  rat list list  $\Rightarrow$  bool
where assumps-are-consistent assump ls = ((map snd assump)  $\in$  set ls)

```

```

fun find-consistent-signs-at-roots-single-M :: (assumps  $\times$  matrix-equation)  $\Rightarrow$  rat
list list
where find-consistent-signs-at-roots-single-M (assumps, (M, (subsets, signs))) =
signs

```

```

fun find-consistent-signs-at-roots-M :: (assumps  $\times$  matrix-equation) list  $\Rightarrow$  rat list
list
where find-consistent-signs-at-roots-M l = concat (map find-consistent-signs-at-roots-single-M
l)

```

## 16.1 Limit Points and Helper Functions

```

definition expand-signs-list:: real mpoly list  $\Rightarrow$  rat list list  $\Rightarrow$  (real mpoly  $\times$  rat)  

list list  

where expand-signs-list qs csas = map ( $\lambda csa.$  zip qs csa) csas

fun first-nonzero-coefficient-degree-helper:: (real mpoly  $\times$  rat) list  $\Rightarrow$  real mpoly list  

 $\Rightarrow$  nat  $\Rightarrow$  (nat  $\times$  rat)  

where first-nonzero-coefficient-degree-helper assumps [] n = (n, 0)  

| first-nonzero-coefficient-degree-helper assumps (h # T) n =  

  (case lookup-assump-aux h assumps of  

   (Some i)  $\Rightarrow$  (if i  $\neq$  0 then (n, i) else first-nonzero-coefficient-degree-helper  

assumps T (n-1))  

  | None  $\Rightarrow$  first-nonzero-coefficient-degree-helper assumps T (n-1))  

fun first-nonzero-coefficient-degree-helper-simp:: (real mpoly  $\times$  rat) list  $\Rightarrow$  real mpoly  

list  $\Rightarrow$  (nat  $\times$  rat)  

where first-nonzero-coefficient-degree-helper-simp assumps [] = (0, 0)  

| first-nonzero-coefficient-degree-helper-simp assumps (h # T) =  

  (case lookup-assump-aux h assumps of  

   (Some i)  $\Rightarrow$  (if i  $\neq$  0 then (length T, i) else first-nonzero-coefficient-degree-helper-simp  

assumps T)  

  | None  $\Rightarrow$  first-nonzero-coefficient-degree-helper-simp assumps T)  

lemma first-nonzero-coefficient-degree-helper-simp:  

shows first-nonzero-coefficient-degree-helper-simp assumps ell  

= first-nonzero-coefficient-degree-helper assumps ell (length ell - 1)  

proof (induct ell)  

case Nil  

then show ?case  

  by auto  

next  

case (Cons a ell)  

moreover {  

  assume *: lookup-assump-aux a assumps = Some 0  

then have first-nonzero-coefficient-degree-helper-simp assumps (a # ell) =  

  first-nonzero-coefficient-degree-helper assumps (a # ell) (length (a # ell) -  

  1)  

  using Cons.hyps  

  by simp  

}  

moreover {  

  assume *:  $\exists k \neq 0.$  lookup-assump-aux a assumps = Some k  

then obtain k where k-prop:  $k \neq 0 \wedge$  lookup-assump-aux a assumps = Some k  

  by auto  

then have first-nonzero-coefficient-degree-helper-simp assumps (a # ell) =  

  first-nonzero-coefficient-degree-helper assumps (a # ell) (length (a # ell) -  

  1)  

  using Cons.hyps
}

```

```

        by simp
    }
moreover {
  assume *: lookup-assump-aux a assumps = None
  then have first-nonzero-coefficient-degree-helper-simp assumps (a # ell) =
    first-nonzero-coefficient-degree-helper assumps (a # ell) (length (a # ell) -
1)
    by (simp add: local.Cons)
}
ultimately have first-nonzero-coefficient-degree-helper-simp assumps (a # ell)
=
  first-nonzero-coefficient-degree-helper assumps (a # ell) (length (a # ell) -
1)
  by fastforce
  then show ?case by auto
qed

declare pull-out-pairs.simps [simp del]
declare construct-rhs-vector-rec-M.simps [simp del]

declare first-nonzero-coefficient-degree-helper.simps[simp del]
declare first-nonzero-coefficient-degree-helper-simp.simps[simp del]

definition sign-and-degree-of-first-nonzero-coefficient:: (real mpoly × rat) list ⇒
rmpoly ⇒ (nat × rat)
  where sign-and-degree-of-first-nonzero-coefficient assumps q =
first-nonzero-coefficient-degree-helper assumps (rev (Polynomial.coeffs q)) ((length
(Polynomial.coeffs q)) - 1)

definition sign-and-degree-of-first-nonzero-coefficient-simp:: (real mpoly × rat) list
⇒ rmpoly ⇒ (nat × rat)
  where sign-and-degree-of-first-nonzero-coefficient-simp assumps q =
first-nonzero-coefficient-degree-helper-simp assumps (rev (Polynomial.coeffs q))

lemma sign-and-degree-of-first-nonzero-coefficient-simp:
sign-and-degree-of-first-nonzero-coefficient assumps q = sign-and-degree-of-first-nonzero-coefficient-simp
assumps q
  using first-nonzero-coefficient-degree-helper-simp
  by (simp add: sign-and-degree-of-first-nonzero-coefficient-def sign-and-degree-of-first-nonzero-coefficient-simp

definition sign-and-degree-of-first-nonzero-coefficient-list:: rmpoly list ⇒ (real mpoly
× rat) list ⇒ (nat × rat) list
  where sign-and-degree-of-first-nonzero-coefficient-list qs assumps =
map (λq. sign-and-degree-of-first-nonzero-coefficient-simp assumps q) qs

fun all-pos-limit-points:: rmpoly list ⇒ rat list list ⇒ rat list list
  where all-pos-limit-points qs coeffs-signs =
(if qs = [] then []

```

```

else (if (all-coeffs qs = []) then ([map (λx. 0) qs])
else
  (let expand-coeffs-signs = expand-signs-list (all-coeffs qs) coeffs-signs in
    map ((map snd) o sign-and-degree-of-first-nonzero-coefficient-list qs) expand-coeffs-signs)))
)

fun all-neg-limit-points-aux:: (nat × rat) list ⇒ rat list
  where all-neg-limit-points-aux deg-sign-list = map (λ(deg, sgn). (-1) ^ deg * sgn)
    deg-sign-list

fun all-neg-limit-points:: rmpoly list ⇒ rat list list ⇒ rat list list
  where all-neg-limit-points qs coeffs-signs =
    (let expand-coeffs-signs = expand-signs-list (all-coeffs qs) coeffs-signs;
      (sgn-and-deg-list::(nat × rat) list list) = map (sign-and-degree-of-first-nonzero-coefficient-list
qs) expand-coeffs-signs
      in map all-neg-limit-points-aux sgn-and-deg-list)

```

## 16.2 Top-level functions QE

```

definition transform:: real mpoly list ⇒ real mpoly Polynomial.poly list
  where transform qs = (let vs = variables-list qs in
    map (λq. (mpoly-to-mpoly-poly-alt (nth vs (length vs - 1)) q)) qs)

fun calculate-data-to-signs:: (assumps × matrix-equation) list ⇒ (assumps × rat
list list) list
  where calculate-data-to-signs ell = map (λx. (fst x, snd (snd (snd x)))) ell

fun sum-list:: nat list ⇒ nat
  where sum-list [] = 0
    | sum-list (a # ell) = a + (sum-list ell)

fun limit-point-data:: (rat × nat) list ⇒ (rat list × rat list)
  where limit-point-data ell = (map fst ell, map (λx. fst x * (-1) ^ (snd x))) ell

fun generate-signs-and-assumptions:: rmpoly list ⇒ (assumps × rat list list) list
  where generate-signs-and-assumptions qs-univ =
    (let p = poly-p qs-univ; calc-data = calculate-data-M p qs-univ in [])

export-code calculate-data-assumps-M qe VSLEG add mult C V pow minus
real-of-int real-mult real-plus real-minus real-div print-mpoly
eval-ground
in SML module-name export

end

theory Multiv-Tarski-Query
imports
  Multiv-Pseudo-Remainder-Sequence

```

**begin**

**definition** *sign-rat*::'a::{zero,linorder}  $\Rightarrow$  *rat* **where**  
*sign-rat n = rat-of-int (Sturm-Tarski.sign n)*

## 17 Connect multivariate Tarski queries to univariate

**lemma** *cast-sgn-same-map*:  
**shows** *map of-rat (map sgn ell) = map sgn ell*  
**by** *simp*

**lemma** *changes-cast-sgn-same-map*:  
**shows** *changes ((map of-rat ell)::real list) = changes (ell::rat list)*  
**proof** (*induct length ell arbitrary: ell rule: less-induct*)  
**case** *less*  
**then have** *indhyp:  $\forall ell1. \text{length } ell1 < \text{length } ell \longrightarrow \text{changes } (\text{map real-of-rat } ell1) = \text{changes } ell1$*   
**by** *blast*  
{  
**assume** *\*: length ell = 0*  
**then have** *changes (map real-of-rat ell) = changes ell*  
**by** *auto*  
}  
**moreover** {  
**assume** *\*: length ell = 1*  
**then have**  *$\exists h. ell = [h]$*   
**by** (*simp add: length-Suc-conv*)  
**then have** *changes (map real-of-rat ell) = changes ell*  
**by** *auto*  
}  
**moreover** {  
**assume** *\*: length ell > 1*  
**then have**  *$\exists elem1 elem2 ell1. ell = elem1 \# (elem2 \# ell1)$*   
**by** (*metis One-nat-def Suc-le-length-iff le-simps(1) length-Cons less-Suc-eq-le*)  
**then obtain** *elem1 elem2 ell1 where ell-prop: ell = elem1 # (elem2 # ell1)*  
**by** *auto*  
**have** *len-lt: length (elem1 # ell1) < length ell*  
**by** (*simp add: ell-prop*)  
**then have** *h1: changes (map real-of-rat (elem1 # ell1)) = changes (elem1 # ell1)*  
**using** *indhyp*  
**by** *blast*  
**have** *h2: changes (map real-of-rat (elem2 # ell1)) = changes (elem2 # ell1)*  
**using** *indhyp*  
**by** (*metis len-lt list.size(4)*)

```

have h3: real-of-rat elem1 * real-of-rat elem2 < 0  $\implies$  elem2 ≠ 0  $\implies$  elem1 * elem2 < 0
  by (metis of-rat-less-0-iff of-rat-mult)
have h4:  $\neg \text{real-of-rat elem1 * real-of-rat elem2 < 0} \implies \text{elem2} \neq 0 \implies \text{elem1 * elem2 < 0} \implies \text{False}$ 
  by (metis of-rat-less-0-iff of-rat-mult)
have changes (map real-of-rat (elem1 # (elem2 # ell1))) = changes (elem1 # (elem2 # ell1))
  using h1 h2 h3 h4 by auto
then have changes (map real-of-rat ell) = changes ell
  using ell-prop by auto
}
ultimately show ?case
  by (meson less-one linorder-neqE-nat)
qed

lemma spmmods-multiv-lc-auxNone:
assumes inset: (assumps, sturm-seq)  $\in$  set (spmmods-multiv p q acc)
assumes pnonz: p  $\neq 0
assumes lookup-none: (lookup-assump-aux (Polynomial.lead-coeff p) acc) = None
shows (assumps, sturm-seq)  $\in$  set (spmmods-multiv (one-less-degree p) q ((Polynomial.lead-coeff p, (0::rat)) # acc))
   $\vee (\exists k \neq 0. (\text{assumps, sturm-seq}) \in \text{set (spmmods-multiv-aux p q ((Polynomial.lead-coeff p, k) # acc)))}$ 
proof –
  have (assumps, sturm-seq)  $\in$  set (spmmods-multiv (one-less-degree p) q ((Polynomial.lead-coeff p, (0::rat)) # acc))
   $\vee (\text{(assumps, sturm-seq}) \in \text{set (spmmods-multiv-aux p q ((Polynomial.lead-coeff p, (1::rat)) # acc)))} \vee$ 
  (assumps, sturm-seq)  $\in$  set (spmmods-multiv-aux p q ((Polynomial.lead-coeff p, (-1::rat)) # acc))
  using lookup-none inset pnonz spmmods-multiv.simps[of p q acc]
  by auto
then show ?thesis
  using class-field.zero-not-one class-field.neg-1-not-0
  by metis
qed

lemma spmmods-multiv-lc-auxSome1:
assumes inset: (assumps, sturm-seq)  $\in$  set (spmmods-multiv p q acc)
assumes pnonz: p  $\neq 0
assumes lookup-some: (lookup-assump-aux (Polynomial.lead-coeff p) acc) = Some 0
shows (((Polynomial.lead-coeff p), 0)  $\in$  set acc  $\wedge$  (assumps, sturm-seq)  $\in$  set (spmmods-multiv (one-less-degree p) q acc))
  using assms spmmods-multiv.simps[of p q acc]
  using in-set-member lookup-assum-aux-mem option.simps(5) by fastforce

lemma spmmods-multiv-lc-auxSome2:$$ 
```

```

assumes inset: (assumps, sturm-seq) ∈ set (spmod-multiv p q acc)
assumes pnonz: p ≠ 0
assumes lookup-some: (lookup-assump-aux (Polynomial.lead-coeff p) acc) = Some
i ∧ i ≠ 0
shows (Ǝ k ≠ 0. (((Polynomial.lead-coeff p), k) ∈ set acc ∧ (assumps, sturm-seq)
∈ set (spmod-multiv-aux p q acc)))
using assms spmod-multiv.simps[of p q acc] lookup-assump-aux-mem
by (smt (verit, best) in-set-member option.simps(5))

lemma spmod-multiv-lc-aux:
assumes inset: (assumps, sturm-seq) ∈ set (spmod-multiv p q acc)
assumes pnonz: p ≠ 0
shows (Ǝ accum. (((Polynomial.lead-coeff p), 0) ∈ set accum ∧ (assumps, sturm-seq)
∈ set (spmod-multiv (one-less-degree p) q accum)))
∨ (Ǝ accum. (Ǝ k ≠ 0. (((Polynomial.lead-coeff p), k) ∈ set accum) ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv-aux p q accum))))
proof –
have (lookup-assump-aux (Polynomial.lead-coeff p) acc) = None ∨ (Ǝ k. (lookup-assump-aux
(Polynomial.lead-coeff p) acc) = Some k)
by auto
{assume *: (lookup-assump-aux (Polynomial.lead-coeff p) acc) = None
then have (Ǝ accum. (((Polynomial.lead-coeff p), 0) ∈ set accum ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv (one-less-degree p) q accum)))
∨ (Ǝ accum. (Ǝ k ≠ 0. (((Polynomial.lead-coeff p), k) ∈ set accum) ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv-aux p q accum))))
using spmod-multiv-lc-auxNone
by (meson inset list.set-intros(1) pnonz)
} moreover {assume *: (Ǝ k. (lookup-assump-aux (Polynomial.lead-coeff p) acc)
= Some k)
then have (Ǝ accum. (((Polynomial.lead-coeff p), 0) ∈ set accum ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv (one-less-degree p) q accum)))
∨ (Ǝ accum. (Ǝ k ≠ 0. (((Polynomial.lead-coeff p), k) ∈ set accum) ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv-aux p q accum))))
using spmod-multiv-lc-auxSome2
by (metis inset pnonz spmod-multiv-lc-auxSome1)
} moreover {assume *: (lookup-assump-aux (Polynomial.lead-coeff p) acc) =
Some 0
then have (Ǝ accum. (((Polynomial.lead-coeff p), 0) ∈ set accum ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv (one-less-degree p) q accum)))
∨ (Ǝ accum. (Ǝ k ≠ 0. (((Polynomial.lead-coeff p), k) ∈ set accum) ∧ (assumps,
sturm-seq) ∈ set (spmod-multiv-aux p q accum))))
by (metis inset pnonz spmod-multiv-lc-auxSome1)
}
ultimately show ?thesis
by blast
qed

```

lemma spmod-multiv-lc:

```

assumes inset: (assumps, sturm-seq) ∈ set (spmod-multiv p q acc)
assumes lc-inset: lc ∈ set (lead-coeffs sturm-seq)
shows ∃ r. (lc,r) ∈ set assumps ∧ r ≠ 0
using assms
proof (induct p q acc arbitrary:assumps sturm-seq lc rule: spmod-multiv.induct)
  case ih: (1 p q acc)
    have p = 0 ∨ p ≠ 0 by auto
    moreover {
      assume *: p = 0
      then have sturm-seq = []
        using ih.preds(1) spmod-multiv.simps by fastforce
      then have set (lead-coeffs sturm-seq) = {} by auto
      then have False
        using ih.preds(2) by force
    }
    moreover {
      assume *: p ≠ 0
      moreover {
        assume **: (lookup-assump-aux (Polynomial.lead-coeff p) acc) = None
        then have (assumps, sturm-seq) ∈ set (spmod-multiv (one-less-degree p) q
          ((Polynomial.lead-coeff p, (0::rat)) # acc))
          ∨ (∃ k ≠ 0. (assumps, sturm-seq) ∈ set (spmod-multiv-aux p q ((Polynomial.lead-coeff
            p, k) # acc)))
        using * spmod-multiv-lc-auxNone[of assumps sturm-seq p q acc]
        ih(3) by auto
        moreover {
          assume eo: (assumps, sturm-seq) ∈ set (spmod-multiv (one-less-degree p)
            q ((Polynomial.lead-coeff p, (0::rat)) # acc))
          then have ∃ r. (lc,r) ∈ set assumps ∧ r ≠ 0
            using ih ** by blast
        }
        moreover {
          assume eo: (∃ k ≠ 0. (assumps, sturm-seq) ∈ set (spmod-multiv-aux p q
            ((Polynomial.lead-coeff p, k) # acc)))
          then obtain k where k-prop: k ≠ 0 ∧ (assumps, sturm-seq) ∈ set
            (spmod-multiv-aux p q ((Polynomial.lead-coeff p, k) # acc))
            by auto
          then have ∃ r. (lc,r) ∈ set assumps ∧ r ≠ 0
            using * spmod-multiv-aux-sturm-lc[of p k ((Polynomial.lead-coeff p, k) #
              acc) assumps sturm-seq q] lc-inset
            using ih.preds(2) by auto
        }
        ultimately have ∃ r. (lc,r) ∈ set assumps ∧ r ≠ 0
          by blast
      }
      moreover {
        assume **: ∃ i. (lookup-assump-aux (Polynomial.lead-coeff p) acc) = Some i
        then obtain i where i-prop: (lookup-assump-aux (Polynomial.lead-coeff p)
          acc) = Some i
      }
    }
  }

```

```

by auto
moreover {
  assume i: i = 0
  then have (((Polynomial.lead-coeff p), 0) ∈ set acc ∧ (assumps, sturm-seq)
  ∈ set (spmodsmultiv (one-less-degree p) q acc))
    using * i-prop spmodsmultiv-lc-auxSome1[of assumps sturm-seq p q acc]
ih(3)
  by auto
  then have ∃ r. (lc,r) ∈ set assumps ∧ r ≠ 0 using * ih(4) i-prop i
    ih(2)[of i assumps sturm-seq lc] by auto
}
moreover {
  assume i: i ≠ 0
  then have h1: (∃ accum. (∃ k ≠ 0. (((((Polynomial.lead-coeff p), k)) ∈ set
accum ∧ (assumps, sturm-seq) ∈ set (spmodsmultiv-aux p q accum)))))
    using * i-prop spmodsmultiv-lc-auxSome2[of assumps sturm-seq p q acc]
ih(3)
  by auto
  then have ∃ r. (lc,r) ∈ set assumps ∧ r ≠ 0
    using spmodsmultiv-aux-sturm-lc[of p i acc assumps sturm-seq]
  proof -
    have f1: ∀ p r ps psa psb pa pb. ((Polynomial.lead-coeff p, r) ∉ set ps
    ∨ r = 0 ∨ (psa, psb) ∉ set (spmodsmultiv-aux p pa ps) ∨ pb ∉ set psb) ∨ (∃ r.
    (Polynomial.lead-coeff pb, r) ∈ set psa ∧ r ≠ 0)
      using spmodsmultiv-aux-sturm-lc by blast
    obtain rr :: real mpoly Polynomial.poly ⇒ (real mpoly × rat) list ⇒ rat
  where
    ∀ x0 x3. (∃ v7. (Polynomial.lead-coeff x0, v7) ∈ set x3 ∧ v7 ≠ 0) =
    ((Polynomial.lead-coeff x0, rr x0 x3) ∈ set x3 ∧ rr x0 x3 ≠ 0)
      by moura
    then have f2: ∀ p r ps psa psb pa pb. ((Polynomial.lead-coeff p, r) ∉ set ps
    ∨ r = 0 ∨ (psa, psb) ∉ set (spmodsmultiv-aux p pa ps) ∨ pb ∉ set psb) ∨
    (Polynomial.lead-coeff pb, rr pb psa) ∈ set psa ∧ rr pb psa ≠ 0
      using f1 by presburger
    obtain pp :: real mpoly Polynomial.poly set ⇒ (real mpoly Polynomial.poly
    ⇒ real mpoly) ⇒ real mpoly ⇒ real mpoly Polynomial.poly where
      f3: ∀ x0 x1 x2. (∃ v3. x2 = x1 v3 ∧ v3 ∈ x0) = (x2 = x1 (pp x0 x1 x2)
      ∧ pp x0 x1 x2 ∈ x0)
      by moura
    have lc ∈ Polynomial.lead-coeff ‘ set sturm-seq
      by (smt (z3) ih.prems(2) list.set-map)
    then have f4: lc = Polynomial.lead-coeff (pp (set sturm-seq) Polynomial.lead-coeff lc) ∧
    pp (set sturm-seq) Polynomial.lead-coeff lc ∈ set sturm-seq
      using f3 by (meson imageE)
    then have (Polynomial.lead-coeff (pp (set sturm-seq) Polynomial.lead-coeff lc),
    rr (pp (set sturm-seq) Polynomial.lead-coeff lc) assumps) ∈ set assumps ∧ rr
    (pp (set sturm-seq) Polynomial.lead-coeff lc) assumps ≠ 0
      using f2 by (meson h1)
    then show ?thesis

```

```

        using f4 by auto
qed
}
ultimately have  $\exists r. (lc, r) \in set\_assumps \wedge r \neq 0$ 
by blast
}
ultimately have  $\exists r. (lc, r) \in set\_assumps \wedge r \neq 0$ 
by blast
}
ultimately show ?case by blast
qed

lemma map-eq-2:
assumes  $\forall i < n. f i = g i$ 
shows  $map (\lambda i. f i) [0..<n] = map (\lambda i. g i) [0..<n]$ 
by (simp add: assms)

lemma changes-eq:
shows  $changes q = changes (map real-of-int q)$ 
proof (induct length q arbitrary: q)
case 0
then show ?case by auto
next
case (Suc x)
then have ind:  $\forall q. x = length q \longrightarrow changes q = changes (map real-of-int q)$ 
by auto
have Suc x = length q
using Suc.hyps(2) by blast
have x-zer:  $x = 0 \implies changes q = changes (map real-of-int q)$ 
proof -
assume x = 0
then have  $\exists a. q = [a]$ 
using Suc.hyps(2)
by (metis length-Suc-conv nat.distinct(1) remdups-adj.cases)
then obtain a where a-prop:  $q = [a]$  by auto
have changes [a] = changes (map real-of-int [a]) by auto
then show changes q = changes (map real-of-int q)
using a-prop by auto
qed
have x-nonzero:  $x \neq 0 \implies changes q = changes (map real-of-int q)$ 
proof -
assume x ≠ 0
then have  $\exists a b c. q = a \# b \# c$ 
using Suc.hyps(2)
by (metis Suc-length-conv list-decode.cases)
then obtain a b c where abc-prop:  $q = a \# b \# c$  by auto
have changes (a # b # c) = changes (map real-of-int (a # b # c))
apply (auto)
using Suc.hyps(1) Suc.hyps(2) abc-prop apply force

```

```

apply (simp add: mult-less-0-iff)
apply (simp add: Suc.hyps(1) Suc.hyps(2) Suc-inject abc-prop)
apply (metis of-int-less-0-iff of-int-mult)
by (simp add: Suc.hyps(1) Suc.hyps(2) Suc-inject abc-prop)
then show changes q = changes (map real-of-int q)
using abc-prop by auto
qed
then show ?case using x-zer x-nonz by auto
qed

lemma eval-mpoly-commutes-helper:
assumes val-sat:  $\bigwedge p n. (p,n) \in \text{set assumps} \implies \text{satisfies-evaluation val } p n$ 
assumes inset:  $(\text{assumps}, \text{sturm-seq}) \in \text{set (spmodsmultiv } p q \text{ acc)}$ 
shows  $i < \text{length sturm-seq} \implies \text{eval-mpoly val } (\text{Polynomial.lead-coeff } (\text{sturm-seq} ! i)) = \text{Polynomial.lead-coeff } (\text{eval-mpoly-poly val } (\text{sturm-seq} ! i))$ 
proof -
fix i
assume i-lt:  $i < \text{length sturm-seq}$ 
have s1:  $\text{eval-mpoly val } (\text{map Polynomial.lead-coeff sturm-seq} ! i) = \text{eval-mpoly val } (\text{Polynomial.lead-coeff } (\text{sturm-seq} ! i))$ 
by (simp add: i-lt)
have s2:  $\text{Polynomial.lead-coeff } (\text{map } (\text{eval-mpoly-poly val}) \text{ sturm-seq} ! i) = \text{Polynomial.lead-coeff } (\text{eval-mpoly-poly val } (\text{sturm-seq} ! i))$ 
using i-lt by force
let ?ssi =  $(\text{sturm-seq} ! i)$ 
have Polynomial.lead-coeff (sturm-seq ! i) ∈ set (lead-coeffs sturm-seq)
using i-lt by force
then have ex-s:  $\exists s \neq 0. (\text{Polynomial.lead-coeff } ?ssi, s) \in \text{set assumps}$ 
using spmodsmultiv-aux-sturm-lc
by (metis (no-types, lifting) inset spmodsmultiv-lc)
then have eval-mpoly val (Polynomial.lead-coeff ?ssi) ≠ 0
using val-sat[of Polynomial.lead-coeff (sturm-seq ! i) 0]
unfolding satisfies-evaluation-def
using satisfies-evaluation-nonzero val-sat by blast
then show eval-mpoly val (Polynomial.lead-coeff ?ssi) = Polynomial.lead-coeff (eval-mpoly-poly val ?ssi)
by (metis ex-s degree-evaluation eval-mpoly-map-poly-comm-ring-hom.base.coeff-map-poly-hom eval-mpoly-poly-def val-sat)
qed

lemma changes-R-spmodsmultiv-connect-aux:
assumes inset:  $(\text{assumps}, \text{sturm-seq}) \in \text{set (spmodsmultiv } p q \text{ acc)}$ 
assumes degree-list:  $\text{degree-list} = \text{degrees sturm-seq}$ 

assumes signs-list:  $\text{signs-list} \in \text{mpoly-consistent-sign-vectors } (\text{lead-coeffs sturm-seq})$ 
(all-lists (length val))

assumes val-sat:  $\forall p n. ((p,n) \in \text{set assumps} \longrightarrow \text{satisfies-evaluation val } p n)$ 

```

```

assumes key: signs-list = map (λx. sign-rat (eval-mpoly val x)) (lead-coeffs
sturm-seq)
shows changes-R-smods-multiv signs-list degree-list = changes-R-smods-multiv-val
sturm-seq val
proof –
  let ?eval-ss = eval-mpoly-poly-list val sturm-seq
  have signs-list ∈ (map-mpoly-sign (lead-coeffs sturm-seq)) ‘{(ls::real list). length
ls = length val}
    using signs-list unfolding mpoly-consistent-sign-vectors-def all-lists-def
    by auto
  then have ∃ l ∈ {(ls::real list). length ls = length val}. signs-list = map-mpoly-sign
(lead-coeffs sturm-seq) l
    by auto
  then obtain l::real list where l-prop: length l = length val ∧ signs-list =
map-mpoly-sign (lead-coeffs sturm-seq) l
    by auto
  then have l1: length signs-list = length sturm-seq
    unfolding map-mpoly-sign-def by auto
  have l2: length degree-list = length sturm-seq
    using degree-list by auto
  have len-eq: length degree-list = length signs-list ∧ length sturm-seq = length
signs-list
    using l1 l2 by auto
  have same-len: length degree-list = length signs-list
    using l1 l2 by auto
  have sminus: sminus degree-list signs-list = changes-poly-neg-inf ?eval-ss
proof –
  have len-eq2: length (eval-mpoly-poly-list val sturm-seq) = length degree-list
    using l2 unfolding eval-mpoly-poly-list-def
    by auto
  have dhelp: ∀ i. i < length degree-list ⟹ (sgn (signs-list ! i)) = sgn ((Polynomial.lead-coeff
(eval-mpoly-poly-list val sturm-seq ! i)::real))
  proof –
    fix i
    assume i-lt: i < length degree-list
    have (signs-list ! i) = sign-rat (eval-mpoly val ((lead-coeffs sturm-seq) ! i))
      using signs-list
      using i-lt len-eq
      by (metis (no-types, lifting) key length-map nth-map)
    then have h1: (sgn (signs-list ! i)) = sign-rat (eval-mpoly val ((lead-coeffs
sturm-seq) ! i))
      by (simp add: Sturm-Tarski.sign-def sign-rat-def)
    have helper1: sign-rat (eval-mpoly val ((lead-coeffs sturm-seq) ! i)) =
      sign-rat (eval-mpoly val (Polynomial.lead-coeff (sturm-seq ! i)))
      using i-lt len-eq by auto
    have helper2: sign-rat (Polynomial.lead-coeff (map (map-poly (eval-mpoly
val)) sturm-seq ! i)) =
      sign-rat (Polynomial.lead-coeff (eval-mpoly-poly val (sturm-seq ! i)))

```

```

    using i-lt eval-mpoly-poly-def eval-mpoly-poly-list-def len-eq2 by force
    have helper3: sign-rat (eval-mpoly val (Polynomial.lead-coeff (sturm-seq ! i)))
= sign-rat (Polynomial.lead-coeff (eval-mpoly-poly val (sturm-seq ! i)))
    using val-sat inset eval-mpoly-commutes-helper
    by (metis i-lt len-eq)
    then have sign-rat (eval-mpoly val (Polynomial.lead-coeff (sturm-seq ! i)))
= sign-rat ((Polynomial.lead-coeff (eval-mpoly-poly-list val sturm-seq ! i))::real)
    unfolding eval-mpoly-poly-list-def eval-mpoly-poly-def
    by (simp add: helper2 helper3)
    then have (of-rat o sign-rat) (eval-mpoly val (Polynomial.lead-coeff (sturm-seq ! i))) = sgn ((Polynomial.lead-coeff (eval-mpoly-poly-list val sturm-seq ! i))::real)
        using sgn-sign-eq
        by (simp add: Sturm-Tarski.sign-def sgn-real-def sign-rat-def)
    then have (of-rat o sign-rat) (eval-mpoly val ((lead-coeffs sturm-seq) ! i)) = sgn ((Polynomial.lead-coeff (eval-mpoly-poly-list val sturm-seq ! i))::real)
        by (simp add: helper1)
    then show (sgn (signs-list ! i)) = sgn ((Polynomial.lead-coeff (eval-mpoly-poly-list val sturm-seq ! i))::real)
        using h1 of-int-hom.hom-one of-int-minus sign-rat-def
        by (smt (z3) comp-eq-dest-lhs of-rat-0 of-rat-1 of-rat-neg-one of-real-0 of-real-1
            of-real-minus sgn-if)
    qed
    have dhelp2:  $\bigwedge i. i < \text{length } \text{degree-list} \implies \text{Polynomial.degree} (\text{eval-mpoly-poly-list val sturm-seq ! } i) = \text{Polynomial.degree} (\text{sturm-seq ! } i)$ 
    proof -
        fix i
        assume i < length degree-list
        then have i-lt:  $i < \text{length } \text{sturm-seq}$  using len-eq by auto
        then have s1: eval-mpoly val (map Polynomial.lead-coeff sturm-seq ! i) = eval-mpoly val (Polynomial.lead-coeff (sturm-seq ! i))
            by simp
        have s2: Polynomial.lead-coeff (map (eval-mpoly val) sturm-seq ! i) = Polynomial.lead-coeff (eval-mpoly-poly val (sturm-seq ! i))
            using i-lt by force
        let ?ssi = (sturm-seq ! i)
        have Polynomial.lead-coeff (sturm-seq ! i) ∈ set (lead-coeffs sturm-seq)
            using i-lt by force
        then have  $\exists k \neq 0. (\text{Polynomial.lead-coeff } ?ssi, k) \in \text{set assumps}$ 
            using spmod-multiv-lc[of assumps sturm-seq p q acc Polynomial.lead-coeff (sturm-seq ! i)] inset
            by blast
        then have eval-mpoly val (Polynomial.lead-coeff ?ssi) ≠ 0
            using val-sat unfolding satisfies-evaluation-def
            using satisfies-evaluation-nonzero val-sat by blast
        then show Polynomial.degree (eval-mpoly-poly-list val sturm-seq ! i) = Polynomial.degree (sturm-seq ! i)
            unfolding eval-mpoly-poly-list-def eval-mpoly-poly-def
            by (metis (no-types, lifting) Ring-Hom-Poly.degree-map-poly i-lt degree-0 map-poly-0 nth-map)

```

```

qed
have d1:  $\bigwedge i. \text{even}(\text{Polynomial.degree}(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
 $\implies$ 
 $i < \text{length degree-list} \implies$ 
 $(\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i)) = \text{sgn}(\text{Polynomial.lead-coeff}$ 
 $(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
proof -
fix i
assume even: even (Polynomial.degree (eval-mpoly-poly-list val sturm-seq ! i))
assume i-lt-deg:  $i < \text{length degree-list}$ 
have ev: even (degree-list ! i)
using dhelp2 even degree-list
using i-lt-deg by auto
then show  $(\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i)) = \text{sgn}(\text{Polynomial.lead-coeff}$ 
 $(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
using dhelp ev i-lt-deg
by simp
qed
have d2:  $\bigwedge i. \text{odd}(\text{Polynomial.degree}(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
 $\implies$ 
 $i < \text{length degree-list} \implies$ 
 $\text{of-rat}(\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i)) = -\text{sgn}(\text{Polynomial.lead-coeff}$ 
 $(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
proof -
fix i
assume odd: odd (Polynomial.degree (eval-mpoly-poly-list val sturm-seq ! i))
assume i-lt-deg:  $i < \text{length degree-list}$ 
have odd1: odd (degree-list ! i)
using dhelp2 odd degree-list
using i-lt-deg by auto
then have  $(\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i)) = -\text{sgn}(\text{Polynomial.lead-coeff}$ 
 $(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
using dhelp odd
by (simp add: i-lt-deg of-rat-hom.hom-uminus)
then show real-of-rat  $(\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i)) =$ 
 $- \text{sgn}(\text{Polynomial.lead-coeff}(\text{eval-mpoly-poly-list val sturm-seq } ! i))$ 
by (smt (verit, ccfv-SIG) of-rat-0 of-rat-1 of-rat-neg-one of-real-0 of-real-1
of-real-eq-iff of-real-minus sgn-rat-def)
qed
have  $\forall i < \text{length degree-list}. (\text{of-rat}((\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i))) =$ 
 $\text{sgn}(\text{if even}(\text{Polynomial.degree}(\text{eval-mpoly-poly-list val sturm-seq } ! i)) \text{ then sgn}$ 
 $(\text{Polynomial.lead-coeff}(\text{eval-mpoly-poly-list val sturm-seq } ! i)) \text{ else } -\text{sgn}(\text{Polynomial.lead-coeff}$ 
 $((\text{eval-mpoly-poly-list val sturm-seq } ! i))))$ 
using d1 d2
by (smt (verit) minus-of-real-eq-of-real-iff of-rat-0 of-rat-1 of-rat-hom.eq-iff
of-real-0 of-real-1 real-of-rat-sgn sgn-real-def)
then have map of-rat  $(\text{map}(\lambda i. (\text{sgn}((-1)^\wedge \text{degree-list} ! i * \text{signs-list} ! i))))$ 

```

```

[0..<length degree-list]) =
  map
    ( $\lambda i.$  sgn (if even (Polynomial.degree ((eval-mpoly-poly-list val sturm-seq)!i))
      then sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)) else - sgn
        (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i))))
    [0..< length degree-list]
  using map-eq-2 add.left-neutral length-map map-upd nth-map nth-upd of-int-minus
  by auto
  then have map of-rat (map sgn ((map ( $\lambda i.$  ((- 1) ^ degree-list ! i * signs-list
    ! i)) [0..<length degree-list]))) =
    (map sgn
      (map ( $\lambda i.$  if even (Polynomial.degree ((eval-mpoly-poly-list val sturm-seq)!i))
        then sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)) else
          - sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)))
      [0..< length degree-list])::real list)
    by auto
  then have h1:map of-rat (map sgn ((map ( $\lambda i.$  ((- 1) ^ degree-list ! i * signs-list
    ! i)) [0..<length degree-list]))) =
    map sgn
      (map ( $\lambda i.$  if even (Polynomial.degree ((eval-mpoly-poly-list val sturm-seq)!i))
        then sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)) else
          - sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)))
      [0..< length (eval-mpoly-poly-list val sturm-seq)])
    using len-eq2
    by presburger
  have h2: (map ( $\lambda i.$  if even (Polynomial.degree ((eval-mpoly-poly-list val sturm-seq)!i)))
    then sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)) else
      - sgn (Polynomial.lead-coeff ((eval-mpoly-poly-list val sturm-seq)!i)))
    [0..< length (eval-mpoly-poly-list val sturm-seq)]) =
    (map ( $\lambda p.$  if even (Polynomial.degree p)
      then sgn (Polynomial.lead-coeff p) else
        - sgn (Polynomial.lead-coeff p))
    (eval-mpoly-poly-list val sturm-seq))
    using map-upd by fastforce
  let ?m1 = ((map ( $\lambda i.$  ((- 1) ^ degree-list ! i * signs-list ! i)) [0..<length
    degree-list]))
  let ?m2 = ((map ( $\lambda p.$  if even (Polynomial.degree p) then sgn (Polynomial.lead-coeff
    p) else - sgn (Polynomial.lead-coeff p))
    (eval-mpoly-poly-list val sturm-seq)))::real list
  have map of-rat ((map sgn ?m1)::rat list) = ((map sgn ?m2)::real list)
    unfolding changes-poly-neg-inf-def sgn-neg-inf-def
    using h1 h2
    by presburger
  then have c1: changes ((map of-rat(map sgn ?m1))::real list) = changes ((map
    sgn ?m2)::real list)
    using arg-cong
    by auto

```

```

have c2: changes (map sgn ?m1) = changes ?m1
  using changes-map-sgn-eq[of ?m1] changes-eq
  by auto
have c3: changes (map sgn ?m2::real list) = changes ?m2
  using changes-map-sgn-eq[of ?m2] changes-eq
  by auto
have c0: changes (map (real-of-rat o sgn) ?m1) = changes (map sgn ?m1)
  using changes-cast-sgn-same-map
  by (metis list.map-comp)
then have changes ?m1 = changes ?m2
  using c1 c2 c3 cast-sgn-same-map list.map-comp
  by metis
then have changes (map (λi. (-1)^(nth degree-list i)*(nth signs-list i)) [0..< length degree-list]) =
  changes-poly-neg-inf (eval-mpoly-poly-list val sturm-seq)
  unfolding changes-poly-neg-inf-def sgn-neg-inf-def
  using changes-map-sgn-eq
  by force
then show sminus degree-list signs-list = changes-poly-neg-inf (eval-mpoly-poly-list
val sturm-seq)
  by auto
qed
have splus: changes signs-list = changes-poly-pos-inf ?eval-ss
proof -

```

  

```

have eq1: map-mpoly-sign (lead-coeffs sturm-seq) l =
  map (λx. sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq)
  using l-prop key
  by auto
have ∀ i < length sturm-seq. ((sign-rat (eval-mpoly val (nth (lead-coeffs sturm-seq)
i))) =
  sign-rat (sgn-pos-inf (nth (eval-mpoly-poly-list val sturm-seq) i)))
proof clar simp
  fix i
  assume i < length sturm-seq
  have s1: eval-mpoly val (map Polynomial.lead-coeff sturm-seq ! i) = eval-mpoly
val (Polynomial.lead-coeff (sturm-seq ! i))
    by (simp add: <i < length sturm-seq)
  have s2: Polynomial.lead-coeff (map (eval-mpoly val) sturm-seq ! i) =
Polynomial.lead-coeff (eval-mpoly-poly val (sturm-seq ! i))
    using <i < length sturm-seq by force
  let ?ssi = (sturm-seq ! i)
  have s3: eval-mpoly val (Polynomial.lead-coeff ?ssi) = Polynomial.lead-coeff
(eval-mpoly-poly val ?ssi)
    using eval-mpoly-commutes-helper[of assms val sturm-seq] inset val-sat
    using <i < length sturm-seq by blast
  have sign-rat (eval-mpoly val (map Polynomial.lead-coeff sturm-seq ! i)) =
sign-rat (sgn (Polynomial.lead-coeff (eval-mpoly-poly-list val sturm-seq ! i)))

```

```

using s1 s2 s3
using eval-mpoly-poly-list-def
by (simp add: sign-rat-def)
then show sign-rat (eval-mpoly val (Polynomial.lead-coeff (sturm-seq ! i)))
= sign-rat (sgn-pos-inf (eval-mpoly-poly-list val sturm-seq ! i))
unfolding sgn-pos-inf-def
by (simp add: s1)
qed
then have eq2: map (λx. sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq)
=
(map (sign-rat ∘ sgn-pos-inf) (eval-mpoly-poly-list val sturm-seq))
by (smt (verit, ccfv-threshold) comp-eq-dest-lhs eval-mpoly-poly-def eval-mpoly-poly-list-def
key l2 length-map list.map-comp nth-map nth-map-conv same-len)
have map-mpoly-sign (lead-coeffs sturm-seq) l =
(map (sign-rat ∘ sgn-pos-inf) (eval-mpoly-poly-list val sturm-seq))
using eq1 eq2 by auto
then have changes
(map (Sturm-Tarski.sign ∘
eval-mpoly l)
(lead-coeffs sturm-seq)) =
changes
(map (λp. sgn (Polynomial.lead-coeff p))
(eval-mpoly-poly-list val sturm-seq))
unfolding changes-poly-pos-inf-def sign-rat-def map-mpoly-sign-def
sgn-pos-inf-def
using changes-map-sign-of-int-eq list.map-comp
by (metis (no-types, lifting) changes-map-sign-eq comp-apply nth-map-conv)
then have changes (map-mpoly-sign (lead-coeffs sturm-seq) l) =
changes-poly-pos-inf (eval-mpoly-poly-list val sturm-seq)
by (metis (no-types, lifting) changes-map-sign-eq changes-map-sign-of-int-eq
changes-poly-pos-inf-def list.map-comp map-eq-conv map-mpoly-sign-def sgn-pos-inf-def)
then show ?thesis using l-prop unfolding map-mpoly-sign-def by auto
qed
show ?thesis using sminus splus unfolding changes-R-smods-multiv-def changes-R-smods-multiv-val-def
by (metis)
qed

```

```

lemma changes-R-smods-multiv-connect:
assumes inset: (assumps, sturm-seq) ∈ set (smods-multiv p q acc)
assumes degree-list: degree-list = degrees sturm-seq
assumes val-sat: ∀ p n. ((p,n) ∈ set assumps → satisfies-evaluation val p n)

assumes key: signs-list = map (λx. sign-rat (eval-mpoly val x)) (lead-coeffs
sturm-seq)
shows changes-R-smods-multiv signs-list degree-list = changes-R-smods-multiv-val
sturm-seq val
proof –

```

```

have l1: length signs-list = length sturm-seq
  using key by auto
then have ((map (( $\lambda x.$  sign-rat (eval-mpoly val x))  $\circ$  Polynomial.lead-coeff)  

sturm-seq)::rat list)
   $\in$  ((( $\lambda x.$  map (sign-rat  $\circ$  eval-mpoly x  $\circ$  Polynomial.lead-coeff) sturm-seq) ‘  

  {ls. length ls = length val})::rat list set)
  by auto
then have signs-list  $\in$  mpoly-consistent-sign-vectors (lead-coeffs sturm-seq) (all-lists  

(length val))
  using key
  unfolding mpoly-consistent-sign-vectors-def map-mpoly-sign-def
  by (smt (verit) all-lists-def comp-apply image-eqI map-eq-conv mem-Collect-eq  

sign-rat-def)
then show ?thesis using assms changes-R-smods-multiv-connect-aux
  by auto
qed

```

```

lemma changes-R-smods-multiv-val-univariate:
  assumes (assumps, sturm-seq)  $\in$  set (spmods-multiv p q acc)
  assumes  $\bigwedge p n.$  (p,n)  $\in$  set assumps  $\implies$  satisfies-evaluation val p n
  shows changes-R-smods-multiv-val sturm-seq val = changes-R-smods (eval-mpoly-poly  

val p) (eval-mpoly-poly val q)
  using matches-ss unfolding changes-R-smods-def changes-R-smods-multiv-val-def
  using assms changes-poly-neg-inf-def changes-poly-pos-inf-def eval-mpoly-poly-list-def  

spmods-smods-sgn-map-eq(2) spmods-smods-sgn-map-eq(3)
  spmods-multiv-sound
  by metis

lemma changes-R-smods-multiv-signs-list-connect:
  assumes len-same: length signs-list = length degree-list
  assumes key: ((map sign-rat signs-list)::rat list) = (signs-list-var::rat list)
  shows changes-R-smods-multiv signs-list degree-list = changes-R-smods-multiv  

signs-list-var degree-list
proof –
  have changes-same: changes signs-list = changes signs-list-var
    using key
    using changes-map-sign-of-int-eq map-eq-conv
    unfolding sign-rat-def
    by (metis (mono-tags, lifting) comp-apply)
  let ?ell1 = (map ( $\lambda i.$   $(-1)^{\wedge}(\text{nth } \text{degree-list } i) * (\text{nth } \text{signs-list } i)$ ) [0.. $<$  length  

degree-list])
  let ?ell2 = (map ( $\lambda i.$   $(-1)^{\wedge}(\text{nth } \text{degree-list } i) * (\text{nth } \text{signs-list-var } i)$ ) [0.. $<$  length  

degree-list])
  have  $\bigwedge x. x < \text{length degree-list} \implies$ 
     $\text{sgn } ((-1)^{\wedge} \text{degree-list ! } x * \text{signs-list ! } x) = \text{sgn } ((-1)^{\wedge} \text{degree-list ! } x *$ 
    map sign-rat signs-list ! x)
  proof –
    fix x

```

```

assume  $x < \text{length } \text{degree-list}$ 
have  $h1: \text{sgn} ((-1)^\wedge \text{degree-list} ! x * \text{signs-list} ! x) = \text{sgn} ((-1)^\wedge \text{degree-list} ! x) * \text{sgn} (\text{signs-list} ! x)$ 
    using  $\text{sgn}\text{-mult}$  by  $\text{blast}$ 
have  $h2: \text{sgn} ((-1)^\wedge \text{degree-list} ! x * \text{map sign-rat signs-list} ! x) = \text{sgn} ((-1)^\wedge \text{degree-list} ! x) * \text{sgn} (\text{map sign-rat signs-list} ! x)$ 
    using  $\text{sgn}\text{-mult}$ 
    by  $\text{blast}$ 
have  $h3: \text{sgn} (\text{map sign-rat signs-list} ! x) = \text{sgn} (\text{signs-list} ! x)$ 
    using  $\text{key unfolding sign-rat-def}$ 
    using  $\langle x < \text{length degree-list} \rangle \text{ len-same sign-rat-def by fastforce}$ 
show  $\text{sgn} ((-1)^\wedge \text{degree-list} ! x * \text{signs-list} ! x) = \text{sgn} ((-1)^\wedge \text{degree-list} ! x * \text{map sign-rat signs-list} ! x)$ 
    using  $h1 h2 h3$ 
    by  $\text{metis}$ 
qed
then have  $\text{map sgn ?ell1} = \text{map sgn ?ell2}$ 
    using  $\text{key}$ 
    by  $\text{auto}$ 
then have  $\text{changes ?ell1} = \text{changes ?ell2}$ 
    using  $\text{changes-map-sgn-eq}$ 
    by  $(\text{metis (no-types, lifting)})$ 
then show  $?thesis$ 
    using  $\text{changes-R-smods-multiv-def changes-same sminus.simps by presburger}$ 
qed

lemma  $\text{changes-R-smods-multiv-univariate}:$ 
assumes  $(\text{assumps}, \text{sturm-seq}) \in \text{set (spmodsmultiv p q acc)}$ 
assumes  $\text{degree-list: degree-list} = \text{degrees sturm-seq}$ 

assumes  $\text{val-sat}: \forall p n. ((p,n) \in \text{set assumps} \longrightarrow \text{satisfies-evaluation val p n})$ 

assumes  $\text{key: map (sign-rat::rat} \Rightarrow \text{rat) signs-list} = \text{map} (\lambda x. \text{sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq)}$ 
assumes  $\bigwedge p n. (p,n) \in \text{set assumps} \implies \text{satisfies-evaluation val p n}$ 
shows  $\text{changes-R-smods-multiv signs-list degree-list} = \text{changes-R-smods (eval-mpoly-poly val p) (eval-mpoly-poly val q)}$ 
proof -
have  $\text{same-len: length signs-list} = \text{length degree-list}$ 
    using  $\text{degree-list key}$ 
    by  $(\text{metis length-map})$ 
from  $\text{changes-R-smods-multiv-signs-list-connect[OF same-len key]}$ 
have  $h2:$ 
     $\text{changes-R-smods-multiv signs-list degree-list} =$ 
     $\text{changes-R-smods-multiv} ((\text{map} (\lambda x. \text{sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq))) \text{ degree-list})$ 
    by  $\text{auto}$ 
have  $h1: \text{changes-R-smods-multiv} (\text{map} (\lambda x. \text{sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq))) \text{ degree-list} = \text{changes-R-smods (eval-mpoly-poly val p) (eval-mpoly-poly val q)}$ 

```

```

val q)
  using changes-R-smods-multiv-connect changes-R-smods-multiv-val-univariate
assms by auto
  then show ?thesis using h1 h2 by auto
qed

theorem pderiv-commutes:
fixes p:: real mpoly Polynomial.poly
fixes val:: real list
shows pderiv (eval-mpoly-poly val p) = (eval-mpoly-poly val (pderiv p))
by (simp add: eval-mpoly-map-poly-idom-hom.base.map-poly-pderiv eval-mpoly-poly-def)

theorem sturm-R-multiv-comm:
shows card {x. Polynomial.poly (eval-mpoly-poly val p) x=0} = changes-R-smods
(eval-mpoly-poly val p) ((eval-mpoly-poly val (pderiv p)))
using pderiv-commutes Sturm-Tarski.sturm-R
by auto

theorem sturm-R-multiv2:
assumes q = pderiv p
assumes (assumps, sturm-seq) ∈ set (spmods-multiv p q acc)
assumes ⋀p n. (p,n) ∈ set assumps ⟹ satisfies-evaluation val p n
shows card {x. Polynomial.poly (eval-mpoly-poly val p) x=0} = changes-R-smods-multiv-val
sturm-seq val
using changes-R-smods-multiv-val-univariate Sturm-Tarski.sturm-R sturm-R-multiv-comm
using assms(1) assms(2) assms(3)
by force

theorem restate-tarski-multiv:
fixes p:: real mpoly Polynomial.poly
fixes q:: real mpoly Polynomial.poly
assumes (eval-mpoly-poly val p) ≠ 0
assumes (assumps, sturm-seq) ∈ set (spmods-multiv p ((pderiv p)*q) acc)
assumes ⋀p n. (p,n) ∈ set assumps ⟹ satisfies-evaluation val p n
shows changes-R-smods-multiv-val sturm-seq val =
int (card {x. Polynomial.poly (eval-mpoly-poly val p) x=0 ∧ Polynomial.poly
(eval-mpoly-poly val q) x>0})
  – int(card {x. Polynomial.poly (eval-mpoly-poly val p) x=0 ∧ Polynomial.poly
(eval-mpoly-poly val q) x<0})
proof –
have 0: changes-R-smods-multiv-val sturm-seq val = changes-R-smods (eval-mpoly-poly
val p) (eval-mpoly-poly val ((pderiv p)*q))
using changes-R-smods-multiv-val-univariate assms
by blast
let ?p = (eval-mpoly-poly val p)::real Polynomial.poly
let ?q = (eval-mpoly-poly val q)::real Polynomial.poly
have h1: taq {x. poly ?p x=0} ?q = changes-R-smods ?p (pderiv ?p * ?q)
using sturm-tarski-R[symmetric] by auto

```

```

have pd-comm: pderiv (eval-mpoly-poly val p) * eval-mpoly-poly val q = eval-mpoly-poly
val (pderiv p * q)
  using eval-mpoly-poly-comm-ring-hom.hom-mult pderiv-commutes by auto
let ?all = {x. Polynomial.poly ?p x=0}
let ?lt = {x. Polynomial.poly ?p x=0 ∧ Polynomial.poly ?q x < 0}
let ?gt = {x. Polynomial.poly ?p x=0 ∧ Polynomial.poly ?q x > 0}
let ?eq = {x. Polynomial.poly ?p x=0 ∧ Polynomial.poly ?q x = 0}
have cardlt: (∑ x | poly (eval-mpoly-poly val p) x = 0 ∧
  poly (eval-mpoly-poly val q) x < 0.
  if 0 < poly (eval-mpoly-poly val q) x then 1
  else if poly (eval-mpoly-poly val q) x = 0 then 0
  else - 1) = -int (card ?lt)
  by auto
have cardgt: (∑ x | poly (eval-mpoly-poly val p) x = 0 ∧
  0 < poly (eval-mpoly-poly val q) x.
  if 0 < poly (eval-mpoly-poly val q) x then 1
  else if poly (eval-mpoly-poly val q) x = 0 then 0
  else - 1) = int (card ?gt)
  by auto
have empty: {x. poly (eval-mpoly-poly val p) x = 0 ∧
  poly (eval-mpoly-poly val q) x < 0} ∩
  {x. poly (eval-mpoly-poly val p) x = 0 ∧
  0 < poly (eval-mpoly-poly val q) x} = {}
  by auto
then have cardzer: (∑ x ∈ {x. poly (eval-mpoly-poly val p) x = 0 ∧
  poly (eval-mpoly-poly val q) x < 0} ∩
  {x. poly (eval-mpoly-poly val p) x = 0 ∧
  0 < poly (eval-mpoly-poly val q) x}.
  if 0 < poly (eval-mpoly-poly val q) x then 1
  else if poly (eval-mpoly-poly val q) x = 0 then 0
  else - 1) = 0 by auto
have eq: ?all = ?lt ∪ ?gt ∪ ?eq by force
from poly-roots-finite[OF assms(1)] have fin: finite ?all .
have (∑ x | poly ?p x = 0. Sturm-Tarski.sign (poly ?q x)) = int (card ?gt) -
int (card ?lt)
  unfolding eq Sturm-Tarski.sign-def
  apply (subst sum-Un)
  apply (auto simp add:fin)
  apply (subst sum-Un)
  apply (simp add: fin)
  apply (simp add: fin)
  using cardzer cardlt cardgt empty
  by auto
then have changes-R-smods (eval-mpoly-poly val p) (eval-mpoly-poly val ((pderiv
p)*q)) = int (card ?gt) - int (card ?lt)
  using h1 tag-def pd-comm
  by metis
then have changes-R-smods-multiv-val sturm-seq val = int (card ?gt) - int (card
?lt)

```

```

using 0 by auto
then show ?thesis
  by presburger
qed

lemma sminus-map-sign:
  assumes same-len: length signs-list = length degree-list
  shows sminus degree-list signs-list =
    sminus degree-list (map sign-rat signs-list)
proof -
  let ?xs = (map (λi. (− 1) ^ degree-list ! i * signs-list ! i) [0..<length degree-list])
  have changes-same: changes ?xs = changes (map sign-rat ?xs)
    using changes-map-sign-eq[of ?xs]
    unfolding sign-rat-def
      by (smt (verit, best) Sturm-Tarski.sign-def changes-map-sign-of-int-eq map-eq-conv
o-apply)
    have (map (λi. (− 1) ^ (nth degree-list i)*(nth (map sign-rat signs-list) i)) [0..<
length degree-list])
= (map sign-rat ?xs)
    proof clarsimp
      fix x
      assume x < length degree-list
      then have x < length signs-list
        using same-len
        by auto
      then have p2: map sign-rat signs-list ! x =
        sign-rat (signs-list ! x)
        using nth-map by blast
      have p1: (− 1) ^ degree-list ! x = (1::int) ∨ (− 1) ^ degree-list ! x = (− 1::int)
        using neg-one-even-power neg-one-odd-power by blast
      show (− 1) ^ degree-list ! x * map sign-rat signs-list ! x =
        (sign-rat ((− 1) ^ degree-list ! x * signs-list ! x))
        using p1 p2
        by (metis (no-types, opaque-lifting) mult-1 mult-minus-left neg-one-even-power
neg-one-odd-power of-int-minus sign-rat-def sign-uminus)
    qed
    then have changes (map sign-rat ?xs)
= changes (map (λi. (− 1) ^ (nth degree-list i)*(nth (map sign-rat signs-list) i)) [0..<
length degree-list])
    unfolding sign-rat-def
    by presburger
    then have changes (map (λi. (− 1) ^ (nth degree-list i)*(nth signs-list i)) [0..<
length degree-list])
= changes (map (λi. (− 1) ^ (nth degree-list i)*(nth (map sign-rat signs-list) i)) [0..<
length degree-list])
    using changes-same
    by (metis (no-types, lifting))
    then show ?thesis by auto
qed

```

```

lemma changes-R-smods-multiv-map-sign:
  assumes length signs-list = length degree-list
  shows changes-R-smods-multiv signs-list degree-list =
    changes-R-smods-multiv (map sign-rat signs-list) degree-list
  using assms sminus-map-sign
  unfolding changes-R-smods-multiv-def
  using changes-R-smods-multiv-def changes-R-smods-multiv-signs-list-connect by
  presburger

lemma construct-NofI-single-M-univariate-superset:
  assumes new-p: new-p = sum-list (map ( $\lambda x. x^2$ ) (p # I1))
  assumes new-q: new-q = ((pderiv new-p)*(prod-list I2))
  assumes seq-in: (assumps, sturm-seq)  $\in$  set (spmodsmultiv new-p new-q acc)
  assumes superset: set assumps  $\subseteq$  set assumps-superset
  assumes good-val:  $\bigwedge p n. (p, n) \in$  set assumps-superset  $\implies$  satisfies-evaluation
  val p n
  shows construct-NofI-single-M (assumps-superset, sturm-seq) =
    (assumps-superset, construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list
    val I1) (eval-mpoly-poly-list val I2))
  proof -
    let ?other-p = sum-list (map power2 (eval-mpoly-poly val p # eval-mpoly-poly-list
    val I1))
    have eval-mpoly-poly val (sum-list (map power2 I1)) = sum-list (map power2
    (eval-mpoly-poly-list val I1))
    proof (induct I1)
      case Nil
      then show ?case
      by (simp add: eval-mpoly-poly-list-def)
    next
      case (Cons a I1)
      then show ?case
      by (simp add: eval-mpoly-poly-comm-ring-hom.hom-add eval-mpoly-poly-comm-ring-hom.hom-power
      eval-mpoly-poly-list-def)
    qed
    then have eval1: ?other-p = eval-mpoly-poly val new-p
    using new-p eval-mpoly-poly-comm-ring-hom.hom-add eval-mpoly-poly-comm-ring-hom.hom-power
    by auto
    then have eval2: (pderiv ?other-p * prod-list (eval-mpoly-poly-list val I2)) =
      eval-mpoly-poly val new-q
      using new-q eval-mpoly-poly-comm-ring-hom.hom-mult
      by (simp add: eval-mpoly-poly-list-def pderiv-commutes)
    let ?new-signs = (map (( $\lambda lc. \text{case lookup-assump-aux } lc \text{ assumps of } None \Rightarrow 1000$ 
    | Some i  $\Rightarrow$  i) o
      Polynomial.lead-coeff) sturm-seq)

    have lookup-some:  $\bigwedge ss\text{-poly}.$ 
      ss-poly  $\in$  set sturm-seq  $\implies \exists i. \text{lookup-assump-aux } (\text{Polynomial.lead-coeff}$ 
      ss-poly) assumps = Some i

```

```

proof -
  fix ss-poly
  assume ss-poly ∈ set sturm-seq
  then show ∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps = Some i
    using seq-in spmods-multiv-sturm-lc[of assumps sturm-seq new-p new-q acc ss-poly]
      inset-means-lookup-assump-some
    by metis
  qed
  have ∧ss-poly. ss-poly ∈ set sturm-seq ==>
    (∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps = Some i ∧
      sign-rat i = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss-poly)))
  proof -
    fix ss-poly
    assume ss-poly ∈ set sturm-seq
    then have ∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps = Some i
      using lookup-some by auto
      then obtain i where i-prop: lookup-assump-aux (Polynomial.lead-coeff ss-poly)
      assumps = Some i
        by auto
        then have ((Polynomial.lead-coeff ss-poly), i) ∈ set assumps
        using lookup-assump-means-inset[of (Polynomial.lead-coeff ss-poly) assumps]
          by (simp add: lookup-assum-aux-mem)
        then have (sign-rat (i)) = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss-poly))
        using good-val[of (Polynomial.lead-coeff ss-poly) i] unfolding satisfies-evaluation-def
          by (metis of-int-hom.injectivity sign-rat-def subsetD superset)
        then show (∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps = Some i ∧
          sign-rat i = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss-poly)))
        using i-prop by auto
      qed
      then have help1: ∧ss-poly. ss-poly ∈ set sturm-seq ==>
        (∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps-superset = Some i)
        using superset sign-rat-def good-val in-set-member inset-means-lookup-assump-some
        lookup-assum-aux-mem satisfies-evaluation-def subset-code(1)
          by (smt (verit, ccfv-SIG))
        then have help2: ∧ss-poly. ∧ i. (ss-poly ∈ set sturm-seq ∧ lookup-assump-aux
        (Polynomial.lead-coeff ss-poly) assumps-superset = Some i) ==> sign-rat i = sign-rat
        (eval-mpoly val (Polynomial.lead-coeff ss-poly))
    proof -
      fix ss-poly
      fix i
      assume (ss-poly ∈ set sturm-seq ∧ lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps-superset = Some i)
      then show sign-rat i = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss-poly))

```

```

using superset sign-rat-def good-val lookup-assum-aux-mem satisfies-evaluation-def
by (metis of-int-hom.eq-iff)
qed
then have all-ex-i:  $\bigwedge ss\text{-}poly. ss\text{-}poly \in set sturm\text{-}seq \implies$ 
 $(\exists i. lookup\text{-}assump\text{-}aux (Polynomial.lead-coeff ss\text{-}poly) assumps\text{-}superset =$ 
Some i  $\wedge$ 
sign-rat i = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss\text{-}poly)))
using help1 help2
by blast
then have helper: (map (( $\lambda lc.$  case lookup-assump-aux lc assumps-superset of
None  $\Rightarrow$  1000 | Some i  $\Rightarrow$  sign-rat i)  $\circ$ 
Polynomial.lead-coeff)
sturm-seq) = map ( $\lambda x.$  sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq)
by force
then have rel1: (changes-R-smods-multiv
(map (( $\lambda lc.$  case lookup-assump-aux lc assumps-superset of None  $\Rightarrow$  1000 |
Some i  $\Rightarrow$  sign-rat i)  $\circ$ 
Polynomial.lead-coeff)
sturm-seq)
(degrees sturm-seq)) = changes-R-smods-multiv-val sturm-seq val
using helper changes-R-smods-multiv-connect[of assumps sturm-seq new-p new-q
acc degrees sturm-seq val ?new-signs]
using assms
by (simp add: changes-R-smods-multiv-connect subset-code(1))
have rel2: changes-R-smods-multiv-val sturm-seq val =
changes-R-smods (eval-mpoly-poly val new-p) (eval-mpoly-poly val new-q)
using assms changes-R-smods-multiv-val-univariate[of assumps sturm-seq new-p
new-q acc val]
eval1 eval2
by blast
have c1: (changes-R-smods-multiv
(map (( $\lambda lc.$  case lookup-assump-aux lc assumps-superset of None  $\Rightarrow$  1000 |
Some i  $\Rightarrow$  sign-rat i)  $\circ$ 
Polynomial.lead-coeff)
sturm-seq)
(degrees sturm-seq)) =
(changes-R-smods ?other-p (pderiv ?other-p * prod-list (eval-mpoly-poly-list val
I2)))
using rel1 rel2
using eval1 eval2
by presburger
have  $\bigwedge ss\text{-}poly. ss\text{-}poly \in set sturm\text{-}seq \implies$ 
(case lookup-assump-aux (Polynomial.lead-coeff ss\text{-}poly) assumps\text{-}superset of None
 $\Rightarrow$  1000 | Some i  $\Rightarrow$  sign-rat i) =
sign-rat (case lookup-assump-aux (Polynomial.lead-coeff ss\text{-}poly) assumps\text{-}superset
of None  $\Rightarrow$  1000 | Some i  $\Rightarrow$  i)
proof -
fix ss-poly
assume ss-poly  $\in set sturm\text{-}seq$ 

```

```

then have  $\exists i. \text{lookup-assump-aux} (\text{Polynomial.lead-coeff ss-poly}) \text{assumps-superset}$ 
= Some i
  using lookup-some superset
  using all-ex-i by blast
then obtain i where i-prop: lookup-assump-aux (Polynomial.lead-coeff ss-poly)
assumps-superset = Some i
  by auto
then have eq1: (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps-superset of None ⇒ 1000 | Some i ⇒ sign-rat i) = sign-rat i
  by auto
have eq2: sign-rat (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps-superset of None ⇒ 1000 | Some i ⇒ i) = sign-rat i
  using i-prop by auto
then show (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps-superset of
None ⇒ 1000 | Some i ⇒ sign-rat i) =
sign-rat
(case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps-superset of
None ⇒ 1000 | Some i ⇒ i)
using eq1 eq2
by fastforce
qed
then have (map ((λlc. case lookup-assump-aux lc assumps-superset of None ⇒
1000 | Some i ⇒ sign-rat i) ○
Polynomial.lead-coeff)
sturm-seq)
= (map sign-rat (map ((λlc. case lookup-assump-aux lc assumps-superset of
None ⇒ 1000 | Some i ⇒ i) ○
Polynomial.lead-coeff)
sturm-seq) )
by auto
then have (changes-R-smods-multiv
(map ((λlc. case lookup-assump-aux lc assumps-superset of None ⇒ 1000 |
Some i ⇒ sign-rat i) ○
Polynomial.lead-coeff)
sturm-seq)
(degrees sturm-seq))
= (changes-R-smods-multiv
(map sign-rat (map ((λlc. case lookup-assump-aux lc assumps-superset of
None ⇒ 1000 | Some i ⇒ i) ○
Polynomial.lead-coeff)
sturm-seq) )
(degrees sturm-seq))
by (smt (verit, ccfv-threshold))
then have (changes-R-smods-multiv
(map ((λlc. case lookup-assump-aux lc assumps-superset of None ⇒ 1000 |
Some i ⇒ sign-rat i) ○
Polynomial.lead-coeff)

```

```

    sturm-seq)
  (degrees sturm-seq)) =
(changes-R-smods-multiv
  (map ((λlc. case lookup-assump-aux lc assumps-superset of None ⇒ 1000 |
Some i ⇒ i) ∘
    Polynomial.lead-coeff)
    sturm-seq)
  (degrees sturm-seq))
using changes-R-smods-multiv-map-sign
by (metis (no-types, lifting) length-map)
then have c2: (changes-R-smods-multiv
  (map ((λlc. case lookup-assump-aux lc assumps-superset of None ⇒ 1000 |
Some i ⇒ i) ∘
    Polynomial.lead-coeff)
    sturm-seq)
  (degrees sturm-seq)) =
(changes-R-smods ?other-p (pderiv ?other-p * prod-list (eval-mpoly-poly-list val
I2)))
using c1
by presburger
show ?thesis using c2
unfolding construct-NofI-R-def
apply (simp) unfolding construct-NofI-R-def
by (metis)
qed

```

**lemma** construct-NofI-single-M-univariate:

```

assumes new-p: new-p = sum-list (map (λx. x^2) (p # I1))
assumes new-q: new-q = ((pderiv new-p)*(prod-list I2))
assumes seq-in: (assumps, sturm-seq) ∈ set (spmods-multiv new-p new-q acc)
assumes good-val: ∀p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
shows construct-NofI-single-M (assumps, sturm-seq) =
  (assumps, construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val I1)
  (eval-mpoly-poly-list val I2))
proof –
  let ?other-p = sum-list (map power2 (eval-mpoly-poly val p # eval-mpoly-poly-list
val I1))
  have eval-mpoly-poly val (sum-list (map power2 I1)) = sum-list (map power2
(eval-mpoly-poly-list val I1))
  proof (induct I1)
    case Nil
    then show ?case
    by (simp add: eval-mpoly-poly-list-def)
  next
    case (Cons a I1)
    then show ?case
    by (simp add: eval-mpoly-poly-comm-ring-hom.hom-add eval-mpoly-poly-comm-ring-hom.hom-power
eval-mpoly-poly-list-def)

```

```

qed
then have eval1: ?other-p = eval-mpoly-poly val new-p
  using new-p eval-mpoly-poly-comm-ring-hom.hom-add eval-mpoly-poly-comm-ring-hom.hom-power
  by auto
then have eval2: (pderiv ?other-p * prod-list (eval-mpoly-poly-list val I2)) =
eval-mpoly-poly val new-q
  using new-q eval-mpoly-poly-comm-ring-hom.hom-mult
  by (simp add: eval-mpoly-poly-list-def pderiv-commutes)
let ?new-signs = (map ((λlc. case lookup-assump-aux lc assumptions of None ⇒ 1000
| Some i ⇒ i) ∘
  Polynomial.lead-coeff) sturm-seq)

have lookup-some: ⋀ ss-poly.
  ss-poly ∈ set sturm-seq ⟹ ∃ i. lookup-assump-aux (Polynomial.lead-coeff
ss-poly) assumptions = Some i
proof –
fix ss-poly
assume ss-poly ∈ set sturm-seq
then show ∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumptions =
Some i
using seq-in spmods-multiv-sturm-lc[of assumptions sturm-seq new-p new-q acc
ss-poly]
inset-means-lookup-assump-some
by metis
qed
have ⋀ ss-poly. ss-poly ∈ set sturm-seq ⟹
(∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumptions = Some i ∧
sign-rat i = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss-poly)))
proof –
fix ss-poly
assume ss-poly ∈ set sturm-seq
then have ∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumptions =
Some i
using lookup-some by auto
then obtain i where i-prop: lookup-assump-aux (Polynomial.lead-coeff ss-poly)
assumptions = Some i
by auto
then have ((Polynomial.lead-coeff ss-poly), i) ∈ set assumptions
using lookup-assump-means-inset[of (Polynomial.lead-coeff ss-poly) assumptions]
by (simp add: lookup-assump-aux-mem)
then have (sign-rat (i)) = sign-rat (eval-mpoly val (Polynomial.lead-coeff
ss-poly))
using good-val[of (Polynomial.lead-coeff ss-poly) i] unfolding satisfies-evaluation-def
by (metis of-int-hom.injectivity sign-rat-def)
then show (∃ i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumptions =
Some i ∧
sign-rat i = sign-rat (eval-mpoly val (Polynomial.lead-coeff ss-poly)))
using i-prop by auto
qed

```

```

then have (map ((λlc. case lookup-assump-aux lc assms of None ⇒ 1000 | Some i ⇒ sign-rat i) ○
  Polynomial.lead-coeff)
  sturm-seq) = map (λx. sign-rat (eval-mpoly val x)) (lead-coeffs sturm-seq)
by force
then have rel1: (changes-R-smods-multiv
  (map ((λlc. case lookup-assump-aux lc assms of None ⇒ 1000 | Some i ⇒ sign-rat i) ○
  Polynomial.lead-coeff)
  sturm-seq)
  (degrees sturm-seq)) = changes-R-smods-multiv-val sturm-seq val
using changes-R-smods-multiv-connect[of assms sturm-seq new-p new-q acc
degrees sturm-seq val ?new-signs]
using assms(3) assms(4)
using changes-R-smods-multiv-connect by blast
have rel2: changes-R-smods-multiv-val sturm-seq val =
  changes-R-smods (eval-mpoly-poly val new-p) (eval-mpoly-poly val new-q)
using assms changes-R-smods-multiv-val-univariate[of assms sturm-seq new-p
new-q acc val]
  eval1 eval2
by blast
have c1: (changes-R-smods-multiv
  (map ((λlc. case lookup-assump-aux lc assms of None ⇒ 1000 | Some i ⇒ sign-rat i) ○
  Polynomial.lead-coeff)
  sturm-seq)
  (degrees sturm-seq)) =
  (changes-R-smods ?other-p (pderiv ?other-p * prod-list (eval-mpoly-poly-list val
I2)))
using rel1 rel2
using eval1 eval2
by presburger
have ∀ss-poly. ss-poly ∈ set sturm-seq ==>
(case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assms of None ⇒ 1000
| Some i ⇒ sign-rat i) =
  sign-rat (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assms of
None ⇒ 1000 | Some i ⇒ i)
proof –
  fix ss-poly
  assume ss-poly ∈ set sturm-seq
  then have ∃i. lookup-assump-aux (Polynomial.lead-coeff ss-poly) assms =
Some i
    using lookup-some by auto
  then obtain i where i-prop: lookup-assump-aux (Polynomial.lead-coeff ss-poly)
assumps = Some i
    by auto
  then have eq1: (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assms of
None ⇒ 1000 | Some i ⇒ sign-rat i) = sign-rat i
    by auto

```

```

have eq2: sign-rat (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) as-
sumps of None  $\Rightarrow$  1000 | Some i  $\Rightarrow$  i) = sign-rat i
  using i-prop by auto
  then show (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps of
None  $\Rightarrow$  1000 | Some i  $\Rightarrow$  sign-rat i) =
    sign-rat (case lookup-assump-aux (Polynomial.lead-coeff ss-poly) assumps of
None  $\Rightarrow$  1000 | Some i  $\Rightarrow$  i)
  using eq1 eq2
  by metis
qed
then have (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$  1000 |
Some i  $\Rightarrow$  sign-rat i)  $\circ$ 
  Polynomial.lead-coeff)
  sturm-seq)
= (map sign-rat (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$  1000
| Some i  $\Rightarrow$  i)  $\circ$ 
  Polynomial.lead-coeff)
  sturm-seq) )
by auto
then have changes-1: (changes-R-smods-multiv
  (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$  1000 | Some i  $\Rightarrow$ 
sign-rat i)  $\circ$ 
  Polynomial.lead-coeff)
  sturm-seq)
  (degrees sturm-seq))
= (changes-R-smods-multiv
  (map sign-rat (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$ 
1000 | Some i  $\Rightarrow$  i)  $\circ$ 
  Polynomial.lead-coeff)
  sturm-seq) )
  (degrees sturm-seq)))
by (smt (verit, ccfv-threshold))
then have changes2: (changes-R-smods-multiv
  (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$  1000 | Some i  $\Rightarrow$ 
sign-rat i)  $\circ$ 
  Polynomial.lead-coeff)
  sturm-seq)
  (degrees sturm-seq)) =
(changes-R-smods-multiv
  (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$  1000 | Some i  $\Rightarrow$ 
i)  $\circ$ 
  Polynomial.lead-coeff)
  sturm-seq)
  (degrees sturm-seq)))
using changes-R-smods-multiv-map-sign
by (metis (no-types, lifting) length-map)
then have (changes-R-smods-multiv
  (map (( $\lambda$ lc. case lookup-assump-aux lc assumps of None  $\Rightarrow$  1000 | Some i  $\Rightarrow$ 
i)  $\circ$ 

```

```

    Polynomial.lead-coeff)
  sturm-seq)
  (degrees sturm-seq)) =
  (changes-R-smoms ?other-p (pderiv ?other-p * prod-list (eval-mpoly-poly-list val
I2)))
using c1
by presburger
then show ?thesis
unfolding construct-NofI-R-def using c1 changes2
by (smt (verit, ccfv-SIG) construct-NofI-R-def construct-NofI-single-M-univariate-superset
dual-order.refl good-val new-p new-q seq-in)

qed

lemma construct-NofI-M-univariate-tarski-query:
assumes inset: (assumps, tarski-query) ∈ set (construct-NofI-M p acc I1 I2)
assumes val:  $\bigwedge p n. (p, n) \in \text{set assumps} \implies \text{satisfies-evaluation val } p n$ 
shows tarski-query = construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list
val I1) (eval-mpoly-poly-list val I2)
proof -
  let ?ell-map = map construct-NofI-single-M (construct-NofI-R-spmoms p acc I1
I2)
  let ?ell = construct-NofI-R-spmoms p acc I1 I2
  have same-len: length ?ell = length ?ell-map
    by auto
  have (assumps, tarski-query) ∈ set (map construct-NofI-single-M (construct-NofI-R-spmoms
p acc I1 I2))
    using inset
    by (metis construct-NofI-M.simps)
  then have  $\exists n < \text{length } ?ell-map. ?ell-map ! n = (\text{assumps}, \text{tarski-query})$ 
    by (metis construct-NofI-M.simps in-set-conv-nth inset length-map)
  then obtain n where n-prop:  $n < \text{length } ?ell-map \wedge ?ell-map ! n = (\text{assumps},$ 
tarski-query)
    by auto
  then have ?ell-map ! n = construct-NofI-single-M (?ell ! n)
    by force
  then have assumps-tq: construct-NofI-single-M (?ell ! n) = (assumps, tarski-query)
    using n-prop by auto
  obtain input-assumps ss where tuple-prop: (input-assumps, ss) = ?ell ! n
    using n-prop same-len
    by (metis old.prod.exhaust)
  then have atq-is: (assumps, tarski-query) =
  (let lcs = lead-coeffs ss;
    sa-list = map ( $\lambda lc. \text{lookup-assump } lc \text{ input-assumps}$ ) lcs;
    degrees-list = degrees ss in
    (input-assumps, rat-of-int (changes-R-smoms-multiv sa-list degrees-list)))
  by (metis assumps-tq construct-NofI-single-M.simps)
  then have as-is: assumps = input-assumps
    by auto

```

```

have in-spmods-multiv: (assumps, ss) ∈ set ((let new-p = sum-list (map (λx.
x^2) (p # I1)) in
    spmods-multiv new-p ((pderiv new-p)*(prod-list I2))) acc)
  using tuple-prop in-set-member
  using as-is construct-NofI-R-spmods-def n-prop by auto
let ?multiv-p = sum-list (map power2 (p # I1))
let ?multiv-q = (pderiv ?multiv-p * prod-list I2)
let ?univ-p = sum-list (map power2 (eval-mpoly-poly val p # eval-mpoly-poly-list
val I1))
let ?univ-q = (pderiv ?univ-p * prod-list (eval-mpoly-poly-list val I2))
let ?signs-list = map (λlc. lookup-assump lc assumps) (lead-coeffs ss)
have map-poly (eval-mpoly val) (p^2 + sum-list (map power2 I1)) =
  (map-poly (eval-mpoly val) p)^2 + sum-list (map (power2 ∘ map-poly (eval-mpoly
val)) I1)
  using eval-mpoly-map-poly-comm-ring-hom.hom-mult eval-mpoly-map-poly-comm-ring-hom.hom-sum
proof –
  have h1: map-poly (eval-mpoly val) (p^2 + sum-list (map power2 I1)) =
  (map-poly (eval-mpoly val) p)^2 + map-poly (eval-mpoly val) (sum-list (map power2
I1))
  using eval-mpoly-map-poly-comm-ring-hom.hom-add eval-mpoly-map-poly-comm-ring-hom.hom-power
by presburger
  have map-poly (eval-mpoly val) (sum-list (map power2 I1)) =
  (sum-list (map (map-poly (eval-mpoly val) ∘ power2) I1))
  using eval-mpoly-map-poly-comm-ring-hom.hom-add
  by (simp add: eval-mpoly-map-poly-comm-ring-hom.hom-sum-list)
then have h2: map-poly (eval-mpoly val) (sum-list (map power2 I1)) = sum-list
  (map (power2 ∘ map-poly (eval-mpoly val)) I1)
  using eval-mpoly-map-poly-comm-ring-hom.hom-add eval-mpoly-map-poly-comm-ring-hom.hom-power
  by (metis (mono-tags, lifting) comp-apply map-eq-conv)
then show ?thesis
  using h1 h2 by auto
qed
then have p-connect: eval-mpoly-poly val ?multiv-p = ?univ-p
  unfolding eval-mpoly-poly-def eval-mpoly-poly-list-def
  by auto
then have eval-mpoly-poly val (pderiv ?multiv-p) = pderiv ?univ-p
  by (metis pderiv-commutes)
then have q-connect: eval-mpoly-poly val ?multiv-q = ?univ-q
  using eval-mpoly-map-poly-comm-ring-hom.hom-mult
  unfolding eval-mpoly-poly-list-def
  using eval-mpoly-map-poly-comm-ring-hom.hom-mult eval-mpoly-map-poly-comm-ring-hom.prod-list-map-hom
by presburger
have tq-is: tarski-query =
  (let lcs = lead-coeffs ss;
   sa-list = map (λlc. lookup-assump lc assumps) lcs;
   degrees-list = degrees ss in
   rat-of-int (changes-R-smods-multiv sa-list degrees-list))
  using as-is atq-is by auto
have ⋀x. x ∈ set ss ==>

```

```

sign-rat
  (case lookup-assump-aux (Polynomial.lead-coeff x) assumptions of None =>
1000 | Some i => i) =
  sign-rat (eval-mpoly val (Polynomial.lead-coeff x))

proof -
  fix x
  assume x ∈ set ss
  then have ∃ i. (Polynomial.lead-coeff x, i) ∈ set assumptions
    using in-spmods-multiv spmods-multiv-sturm-lc[of assumptions ss -- acc x]
    by meson
  then obtain i where i-prop: (Polynomial.lead-coeff x, i) ∈ set assumptions
    by auto
  then have satisfies-evaluation val (Polynomial.lead-coeff x) i
    using val
    by auto
  then have ∀j. (Polynomial.lead-coeff x, j) ∈ set assumptions ==> sign-rat i =
sign-rat j
    using val unfolding satisfies-evaluation-def
    by (metis of-int-hom.injectivity sign-rat-def)
  then have ∃j. (case lookup-assump-aux (Polynomial.lead-coeff x) assumptions of
None => 1000 | Some i => i) = j ∧ sign-rat i = sign-rat j
    by (smt (verit, del-insts) i-prop in-set-member inset-means-lookup-assump-some
lookup-assum-aux-mem option.case(2))
  then show sign-rat
    (case lookup-assump-aux (Polynomial.lead-coeff x) assumptions of None =>
1000 | Some i => i) =
    sign-rat (eval-mpoly val (Polynomial.lead-coeff x))
    using i-prop val
    by (metis of-int-hom.injectivity satisfies-evaluation-def sign-rat-def)
qed
then have map sign-rat (map (λlc. lookup-assump lc assumptions) (lead-coeffs ss))
=
  map (λx. sign-rat (eval-mpoly val x)) (lead-coeffs ss)
  using val by auto
then have changes-R-smods-multiv (map (λlc. lookup-assump lc assumptions) (lead-coeffs
ss)) (degrees ss) =
  changes-R-smods (eval-mpoly-poly val (sum-list (map power2 (p # I1))))
  (eval-mpoly-poly val (pderiv (sum-list (map power2 (p # I1))) * prod-list I2))
  using changes-R-smods-multiv-univariate[of assumptions ss ?multiv-p ?multiv-q acc
degrees ss val ?signs-list] in-spmods-multiv val
  by (smt (verit, ccfv-SIG))
then show ?thesis
  unfolding construct-NofI-R-def
  using p-connect q-connect tq-is
  by metis
qed

end

```

```

theory Renegar-Modified

imports BenOr-Kozen-Reif.Renegar-Decision

begin

definition poly-f-nocrb :: real poly list ⇒ real poly
  where
    poly-f-nocrb ps =
      (if (check-all-const-deg ps = True) then [:0, 1:] else
       (pderiv (prod-list-var ps)) * (prod-list-var ps))

lemma root-set-nocrb:
  assumes is-not-const: check-all-const-deg qs = False
  shows {x. poly (poly-f qs) x = 0}
  = {x. poly (poly-f-nocrb qs) x = 0} ∪ {-(crb (prod-list-var qs)), (crb (prod-list-var qs))}

proof -
  have ∀ x ∈ {x. poly (poly-f qs) x = 0}. poly (poly-f qs) x = 0 by auto
  then have all: ∀ x ∈ {x. poly (poly-f qs) x = 0}. poly ((pderiv (prod-list-var qs))
  * (prod-list-var qs)* ([:-(crb (prod-list-var qs)),1:]) * ([: (crb (prod-list-var qs)),1:])) x = 0
  unfolding poly-f-def using is-not-const by presburger
  have all1: ∀ x ∈ {x. poly (poly-f qs) x = 0}.
    ((poly ((pderiv (prod-list-var qs)) * (prod-list-var qs)) x = 0)
     ∨ (poly ([:-(crb (prod-list-var qs)),1:]) * ([: (crb (prod-list-var qs)),1:])) x = 0))
  proof clarsimp
    fix x
    assume inset: poly (poly-f qs) (real-of-int x) = 0
    assume poly (pderiv (prod-list-var qs)) (real-of-int x) ≠ 0
    assume x * x ≠ crb (prod-list-var qs) * crb (prod-list-var qs)
    have poly ((pderiv (prod-list-var qs)) * (prod-list-var qs)* ([:-(crb (prod-list-var qs)),1:]) * ([: (crb (prod-list-var qs)),1:])) x = 0
    using all inset by auto
    then show poly (prod-list-var qs) (real-of-int x) = 0 using assms
    by (smt (verit, del-insts) <poly (pderiv (prod-list-var qs)) (real-of-int x) ≠ 0>
        <x * x ≠ crb (prod-list-var qs) * crb (prod-list-var qs)> mult.commute mult-eq-0-iff
        mult-minus-left of-int-eq-iff of-int-minus poly-mult poly-root-factor(3))
  qed
  have ∀ x. (poly ([:-(crb (prod-list-var qs)),1:]) * ([: (crb (prod-list-var qs)),1:])) x = 0 →
    (x = -(crb (prod-list-var qs)) ∨ x = (crb (prod-list-var qs)))
    by (simp add: square-eq-iff)
  then have ∀ x ∈ {x. poly (poly-f qs) x = 0}.
    ((poly (poly-f-nocrb qs) x = 0)
     ∨ (x = -(crb (prod-list-var qs)) ∨ x = (crb (prod-list-var qs))))
  using all1 unfolding poly-f-nocrb-def

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    by (smt (verit, del-insts) all is-not-const of-int-minus poly-root-factor(2))
  then have subset1: {x. poly (poly-f qs) x = 0}
    ⊆ {x. poly (poly-f-nocrb qs) x = 0} ∪ {-(crb (prod-list-var qs)), (crb (prod-list-var
qs))}

    using UnCI is-not-const mem-Collect-eq by fastforce
  have subset2: {x. poly (poly-f-nocrb qs) x = 0} ∪ {-(crb (prod-list-var qs)), (crb
(prod-list-var qs))} ⊆
    {x. poly (poly-f qs) x = 0}
    using Un-insert-right insert-iff is-not-const mem-Collect-eq of-int-minus poly-f-def
poly-f-nocrb-def by auto
  then show ?thesis using subset1 subset2 by auto
qed

lemma nonzcrb-helper:
assumes q-in: q ∈ set qs
assumes qnonz: q ≠ 0
assumes lengt: length (sorted-list-of-set {(x::real). (∃ q ∈ set(qs). (q ≠ 0 ∧ poly
q x = 0))} :: real list) > 0
shows ¬(∃ x ≥ (real-of-int (crb (prod-list-var qs))). poly q x = 0)
proof clarsimp
fix x
assume xgt: real-of-int (crb (prod-list-var qs)) ≤ x
assume pqz: poly q x = 0
let ?zer-list = sorted-list-of-set {(x::real). (∃ q ∈ set(qs). (q ≠ 0 ∧ poly q x =
0))} :: real list
have strict-sorted-h: sorted-wrt (<) ?zer-list using sorted-sorted-list-of-set
strict-sorted-iff by auto
have finset: finite {x. ∃ q∈set qs. q ≠ 0 ∧ poly q x = 0}
proof –
have ∀ q ∈ set qs. q ≠ 0 → finite {x. poly q x = 0}
using poly-roots-finite by auto
then show ?thesis by auto
qed
have all-prop: ∀ x ∈ {x. ∃ q∈set qs. q ≠ 0 ∧ poly q x = 0}. poly (prod-list-var
qs) x = 0
using q-dvd-prod-list-var-prop
by fastforce
then have poly (prod-list-var qs) (sorted-list-of-set {x. ∃ q∈set qs. q ≠ 0 ∧ poly
q x = 0} ! 0) = 0
using finset set-sorted-list-of-set
by (metis (no-types, lifting) lengt nth-mem)
then have crbgt: crb (prod-list-var qs) > ?zer-list ! (length ?zer-list - 1) using
prod-list-var-nonzero crb-lem-pos[of prod-list-var qs ?zer-list ! (length ?zer-list -
1)]
by (metis (no-types, lifting) all-prop diff-less finset lengt less-numeral-extra(1)
nth-mem set-sorted-list-of-set)
have x-in: x ∈ {x. ∃ q∈set qs. q ≠ 0 ∧ poly q x = 0}
using pqz q-in qnonz
by auto

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```

then have  $x \in \text{set } ?\text{zer-list}$ 
  by (smt (verit, best) finset in-set-member mem-Collect-eq set-sorted-list-of-set)

then have  $x \leq ?\text{zer-list} ! (\text{length } ?\text{zer-list} - 1)$  using strict-sorted-h
  by (meson all-prop x-in crb-lem-pos not-less prod-list-var-nonzero xgt)
then show False using xgt crbgt
  by auto
qed

lemma root-set-nocrb-var:
  assumes is-not-const: check-all-const-deg qs = False
  shows ( $\{x. \text{poly}(\text{poly-f } qs) x = 0\} :: \text{real set}$ )
   $= \{x. \text{poly}(\text{poly-f-nocrb } qs) x = 0\} \cup ((\{-(\text{crb}(\text{prod-list-var } qs)), (\text{crb}(\text{prod-list-var } qs))\}) :: \text{real set})$ 
  using root-set-nocrb apply (auto)
    apply (smt (verit) of-int-minus poly-f-def poly-f-nocrb-def poly-root-factor(2))
    apply (simp add: is-not-const poly-f-def)
    using is-not-const apply blast
  by (metis (no-types, lifting) poly-f-def poly-f-nocrb-def poly-root-factor(2))

lemma nonzcrb:
  assumes q-in:  $q \in \text{set } qs$ 
  assumes qnonz:  $q \neq 0$ 
  shows  $\neg(\exists x \geq (\text{real-of-int}(\text{crb}(\text{prod-list-var } qs))). \text{poly } q x = 0)$ 
proof -
  let ?zer-list = (sorted-list-of-set {(x::real). ( $\exists q \in \text{set}(qs). (q \neq 0 \wedge \text{poly } q x = 0)\}) :: \text{real list}})
  have eo:  $\text{length } ?\text{zer-list} = 0 \vee \text{length } ?\text{zer-list} > 0$ 
    by auto
  have h1:  $\text{length } ?\text{zer-list} = 0 \longrightarrow \neg(\exists x \geq (\text{real-of-int}(\text{crb}(\text{prod-list-var } qs))). \text{poly } q x = 0)$ 
    by (metis crb-lem-pos dvdE linorder-not-less mult-zero-left poly-mult prod-list-var-nonzero q-dvd-prod-list-var-prop q-in qnonz)
  have h2:  $\text{length } ?\text{zer-list} > 0 \longrightarrow \neg(\exists x \geq (\text{real-of-int}(\text{crb}(\text{prod-list-var } qs))). \text{poly } q x = 0)$ 
    using nonzcrb-helper assms
    by blast
  show ?thesis using eo h1 h2
    by auto
qed

definition sgn-pos-inf-rat-list:: real poly list  $\Rightarrow$  int list
  where sgn-pos-inf-rat-list l = map ( $\lambda x. (\text{Sturm-Tarski.sign}(\text{sgn-pos-inf } x)))$ ) l

definition sgn-neg-inf-rat-list:: real poly list  $\Rightarrow$  int list
  where sgn-neg-inf-rat-list l = map ( $\lambda x. (\text{Sturm-Tarski.sign}(\text{sgn-neg-inf } x)))$ ) l

definition sgn-neg-inf-rat-list2:: real poly list  $\Rightarrow$  rat list
  where sgn-neg-inf-rat-list2 l = map ( $\lambda x. ((\text{rat-of-int} \circ \text{Sturm-Tarski.sign})(\text{sgn-neg-inf } x)))$ ) l$ 
```

$x))) l$

```
definition sgn-pos-inf-rat-list2:: real poly list ⇒ rat list
  where sgn-pos-inf-rat-list2 l = map (λx. ((rat-of-int ∘ Sturm-Tarski.sign) (sgn-pos-inf
x))) l

lemma root-ub-restate:
  fixes p:: real poly
  assumes pnonz: p ≠ 0
  fixes z::real
  assumes zgt: ∀ x. poly p x=0 → x < z
  shows x≥z ⇒ sgn (poly p x) = Sturm-Tarski.sign (sgn-pos-inf p)
proof –
  assume xgt: x≥z
  have allx: ∀ x ≥ z. sgn (poly p x) = sgn (poly p z)
  proof clar simp
    fix x
    assume zleq: z ≤ x
    then have xnonz: sgn (poly p x) ≠ 0
      using zgt unfolding sgn-def by auto
    have znonz: sgn (poly p z) ≠ 0
      using zgt unfolding sgn-def by auto
    have Matrix-Equation-Construction.sgn (poly p x) ≠ Matrix-Equation-Construction.sgn
(poly p z) ⇒ False
    proof –
      assume neq: Matrix-Equation-Construction.sgn (poly p x) ≠ Matrix-Equation-Construction.sgn
(poly p z)
        then have z-lt: z < x using zleq
          using less-eq-real-def by force
        have h1: z < x ⇒
          Matrix-Equation-Construction.sgn (poly p z) ≠ 0 ⇒
          Matrix-Equation-Construction.sgn (poly p x) ≠ 0 ⇒
          ¬ poly p x < 0 ⇒ 0 < poly p x
          using zgt by fastforce
        have h2: z < x ⇒
          Matrix-Equation-Construction.sgn (poly p z) ≠ 0 ⇒
          Matrix-Equation-Construction.sgn (poly p x) ≠ 0 ⇒
          ¬ poly p x < 0 ⇒ poly p z < 0
          by (metis neq sgn-def)
        have h3: z < x ⇒
          Matrix-Equation-Construction.sgn (poly p z) ≠ 0 ⇒
          Matrix-Equation-Construction.sgn (poly p x) ≠ 0 ⇒
          ¬ 0 < poly p z ⇒ 0 < poly p x
          by (metis neq sgn-def)
        have h4: z < x ⇒
          Matrix-Equation-Construction.sgn (poly p z) ≠ 0 ⇒
          Matrix-Equation-Construction.sgn (poly p x) ≠ 0 ⇒
          ¬ 0 < poly p z ⇒ poly p z < 0
          using h2 h3 by linarith
    qed
  qed
qed
```

```

have ((poly p x) > 0 ∧ (poly p z) < 0) ∨ ((poly p x) < 0 ∧ (poly p z) > 0)
  using z-lt znonz xnonz h1 h2 h3 h4
  by auto
then have ∃ w. w > x ∧ w < z ∧ (poly p w = 0)
  using poly-IVT-pos[of z x] poly-IVT-neg[of z x]
  by (metis ‹z < x› not-less-iff-gr-or-eq zgt)
then show False
  using zgt
  using zleq by linarith
qed
then show Matrix-Equation-Construction.sgn (poly p x) = Matrix-Equation-Construction.sgn
(poly p z)
  by auto
qed
have (∃ ub.
  (∀ x≥ub. sgn-class.sgn (poly p x) = sgn-pos-inf p))
  using root-ub[of p] pnonz
  by meson
then obtain ub where ub-prop: (∀ x≥ub. sgn-class.sgn (poly p x) = sgn-pos-inf
p)
  by auto
let ?ub2 = max ub (z+1)
have (∀ x≥?ub2. sgn-class.sgn (poly p x) = sgn-pos-inf p) ∧ (?ub2 > z)
  using ub-prop by auto
then have h1: (Sturm-Tarski.sign(sgn-class.sgn (poly p ?ub2)::real)) = Sturm-Tarski.sign(sgn-pos-inf
p) ∧ (?ub2 > z)
  by auto
have (Sturm-Tarski.sign(sgn-class.sgn (poly p ?ub2)::real)) = (sgn (poly p ?ub2))
  unfolding sign-def sgn-def by auto
then have (sgn (poly p ?ub2)) = (Sturm-Tarski.sign(sgn-pos-inf p)) ∧ (?ub2 >
z)
  using h1
  by metis
then have ∀ x≥z. sgn (poly p x) = Sturm-Tarski.sign (sgn-pos-inf p)
  using allx
  by (metis dual-order.refl max.absorb3 max.bounded-iff)
then show ?thesis using xgt
  by auto
qed

lemma limit-pos-infinity-helper1:
assumes q-in: q ∈ set qs
assumes qnonz: q ≠ 0
assumes x = (crb (prod-list-var qs))
shows (if (poly q x > 0) then (1::int) else (if (poly q x = 0) then (0::rat) else
(-1::rat)))
  = ((Sturm-Tarski.sign (sgn-pos-inf q))::int)
  using poly-sgn-eventually-at-top[unfolded eventually-at-top-linorder]
proof -

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```

have ( $\exists ub. (\forall x. poly q x = 0 \rightarrow x < ub \rightarrow$ 
 $(\forall x \geq ub. sgn\text{-}class.sgn (poly q x) = sgn\text{-}pos\text{-}inf q)))$ )
  using root-ub[of q] qnonz
  by meson
then obtain ub where ub-prop: ( $\forall x. poly q x = 0 \rightarrow x < ub \rightarrow$ 
 $(\forall x \geq ub. sgn\text{-}class.sgn (poly q x) = sgn\text{-}pos\text{-}inf q))$ )
  by auto
show ?thesis
proof -
  have  $q \in set qs \wedge q \neq 0$ 
    using q-in qnonz by blast
  then have f1:  $\forall r. \neg real\text{-}of\text{-}int (crb (prod-list-var qs)) \leq r \vee 0 \neq poly q r$ 
    by (metis (no-types) nonzcrb[of q])
  have f2:  $real\text{-}of\text{-}int (crb (prod-list-var qs)) \leq real\text{-}of\text{-}int x$ 
    using assms(3) by force
  then have f3:  $0 \neq poly q (real\text{-}of\text{-}int x)$ 
    using f1 by blast
  have comb1:  $\bigwedge z x. [q \neq 0; \forall x. poly q x = 0 \rightarrow x < z; z \leq x] \implies of\text{-}rat$ 
    (Matrix-Equation-Construction.sgn (poly q x)) = complex-of-int (Sturm-Tarski.sign (sgn-pos-inf q))
    using assms(2) root-ub-restate[of q] by auto
  obtain rr :: real where
     $q \neq 0 \wedge (0 = poly q rr \rightarrow rr < real\text{-}of\text{-}int (crb (prod-list-var qs))) \wedge real\text{-}of\text{-}int$ 
    ( $crb (prod-list-var qs)) \leq real\text{-}of\text{-}int x \rightarrow Sturm\text{-}Tarski.sign (sgn\text{-}pos\text{-}inf q) =$ 
    Matrix-Equation-Construction.sgn (poly q (real-of-int x)))
    by (metis comb1)
  then have f4:  $Sturm\text{-}Tarski.sign (sgn\text{-}pos\text{-}inf q) = Matrix\text{-}Equation\text{-}Construction.sgn$ 
    (poly q (real-of-int x))
    using f2 f1 linorder-not-less qnonz by blast
  have Matrix-Equation-Construction.sgn (poly q (real-of-int x)) = (if  $0 < poly$ 
     $q (real\text{-}of\text{-}int x)$  then 1 else if  $poly q (real\text{-}of\text{-}int x) < 0$  then -1 else 0)  $\wedge$  (if  $0 < poly$ 
     $q (real\text{-}of\text{-}int x)$  then (1::rat) = (if  $0 < poly q (real\text{-}of\text{-}int x)$  then 1 else if
     $poly q (real\text{-}of\text{-}int x) < 0$  then -1 else 0) else (if  $0 < poly q (real\text{-}of\text{-}int x)$  then
    1::rat else if  $poly q (real\text{-}of\text{-}int x) < 0$  then -1 else 0) = (if  $poly q (real\text{-}of\text{-}int x) < 0$  then
    -1 else 0))  $\wedge$  (if  $poly q (real\text{-}of\text{-}int x) < 0$  then -(1::rat) = (if  $poly$ 
     $q (real\text{-}of\text{-}int x) < 0$  then -1 else 0) else (0::rat) = (if  $poly q (real\text{-}of\text{-}int x) < 0$  then
    -1 else 0)))
    by (simp add: sgn-def)
  moreover
  { assume  $- (1::int) \neq (if poly q (real\text{-}of\text{-}int x) < 0 then -1 else 0)$ 
    then have  $0 < poly q (real\text{-}of\text{-}int x)$ 
      using f3 by (metis (no-types) not-less-iff-gr-or-eq) }
  ultimately show ?thesis
    by (smt (verit) Rat.of-rat-1 f4 of-int-hom.hom-one)
qed
qed

lemma limit-pos-infinity-helper2:
  assumes q-in:  $q \in set qs$ 

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assumes qnonz:  $q = 0$ 
assumes  $x = (\text{crb}(\text{prod-list-var } qs))$ 
shows (if ( $\text{poly } q \ x > 0$ ) then (1::rat) else (if ( $\text{poly } q \ x = 0$ ) then (0::rat) else (-1::rat)))
= ((Sturm-Tarski.sign (sgn-pos-inf q))::int)
proof -
have 0 = ((Sturm-Tarski.sign (sgn-pos-inf q)))
by (simp add: qnonz sgn-pos-inf-def)
then show ?thesis using qnonz
by auto
qed

lemma limit-pos-infinity-helper:
assumes q-in:  $q \in \text{set } qs$ 
assumes  $x = (\text{crb}(\text{prod-list-var } qs))$ 
shows (if ( $\text{poly } q \ x > 0$ ) then (1::int) else (if ( $\text{poly } q \ x = 0$ ) then 0 else -1))
= ((Sturm-Tarski.sign (sgn-pos-inf q)))
using limit-pos-infinity-helper1 limit-pos-infinity-helper2 assms(2) q-in
by (smt (verit) Rat.of-rat-1 Sturm-Tarski.sign-cases of-int-eq-iff of-int-hom.hom-one
of-int-hom.hom-zero of-rat-0 of-rat-neg-one one-neq-neg-one zero-neq-neg-one)

lemma Sturm-Tarski-casting:
shows ((Sturm-Tarski.sign x)) = rat-of-int (Sturm-Tarski.sign x)
by (simp add: Sturm-Tarski.sign-def)

lemma limit-pos-infinity:
shows consistent-sign-vec qs (crb (prod-list-var qs)) = sgn-pos-inf-rat-list qs
unfolding consistent-sign-vec-def sgn-pos-inf-rat-list-def
using limit-pos-infinity-helper Sturm-Tarski-casting
apply (auto)
apply fastforce
apply presburger
by (metis of-int-hom.hom-one of-int-minus)

lemma nonzcrb-helper-neg:
assumes q-in:  $q \in \text{set } qs$ 
assumes qnonz:  $q \neq 0$ 
assumes lengt: length (sorted-list-of-set {(x::real). ( $\exists q \in \text{set}(qs). (q \neq 0 \wedge \text{poly } q \ x = 0)$ )}) :: real list) > 0
shows  $\neg(\exists x \leq (\text{real-of-int } (-\text{crb}(\text{prod-list-var } qs))). \text{poly } q \ x = 0)$ 
proof clarsimp
fix x
assume xlt:  $x \leq - \text{real-of-int } (\text{crb}(\text{prod-list-var } qs))$ 
assume pqz:  $\text{poly } q \ x = 0$ 
let ?zer-list = sorted-list-of-set {(x::real). ( $\exists q \in \text{set}(qs). (q \neq 0 \wedge \text{poly } q \ x = 0)$ )} :: real list
have strict-sorted-h: sorted-wrt (<) ?zer-list using sorted-sorted-list-of-set
strict-sorted-iff by auto
have finset: finite {x.  $\exists q \in \text{set } qs. q \neq 0 \wedge \text{poly } q \ x = 0$ }

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proof -
  have all-q:  $\forall q \in \text{set } qs. q \neq 0 \longrightarrow \text{finite } \{x. \text{poly } q x = 0\}$ 
    using poly-roots-finite by auto
    then show ?thesis by auto
  qed
  have all-x:  $\forall x \in \{x. \exists q \in \text{set } qs. q \neq 0 \wedge \text{poly } q x = 0\}. \text{poly } (\text{prod-list-var } qs) x = 0$ 
    using q-dvd-prod-list-var-prop
    by fastforce
  then have poly (prod-list-var qs) (sorted-list-of-set {x.  $\exists q \in \text{set } qs. q \neq 0 \wedge \text{poly } q x = 0\} ! 0) = 0
    using finset set-sorted-list-of-set
    by (metis (no-types, lifting) length nth-mem)
  then have crbgt:  $-\text{crb } (\text{prod-list-var } qs) < ?\text{zer-list} ! 0$  using prod-list-var-nonzero
   $\text{crb-lem-pos}[\text{of prod-list-var } qs ?\text{zer-list} ! (\text{length } ?\text{zer-list} - 1)]$ 
    using crb-lem-neg by blast
  have x-in:  $x \in \{x. \exists q \in \text{set } qs. q \neq 0 \wedge \text{poly } q x = 0\}$ 
    using pqz q-in qnonz
    by auto
  then have x ∈ set ?zer-list
    by (smt (verit, best) finset in-set-member mem-Collect-eq set-sorted-list-of-set)

  then have x ≥ ?zer-list ! 0 using strict-sorted-h
    by (smt (verit) all-x x-in crb-lem-neg linorder-not-le of-int-hom.hom-uminus
  prod-list-var-nonzero xlt)
    then show False using xlt crbgt
    by auto
  qed

lemma nonzcrb-neg:
  assumes q-in:  $q \in \text{set } qs$ 
  assumes qnonz:  $q \neq 0$ 
  shows  $\neg(\exists x \leq (\text{real-of-int } (-\text{crb } (\text{prod-list-var } qs))). \text{poly } q x = 0)$ 
proof -
  let ?zer-list = (sorted-list-of-set {(x::real). ( $\exists q \in \text{set}(qs). (q \neq 0 \wedge \text{poly } q x = 0)\}) :: real list}
  have eo: length ?zer-list = 0  $\vee$  length ?zer-list > 0
    by auto
  have h1: length ?zer-list = 0  $\longrightarrow \neg(\exists x \leq (\text{real-of-int } (-\text{crb } (\text{prod-list-var } qs))). \text{poly } q x = 0)$ 
    by (metis crb-lem-neg dvdE linorder-not-less mult-zero-left poly-mult prod-list-var-nonzero
  q-dvd-prod-list-var-prop q-in qnonz)
  have h2: length ?zer-list > 0  $\longrightarrow \neg(\exists x \leq (\text{real-of-int } (-\text{crb } (\text{prod-list-var } qs))). \text{poly } q x = 0)$ 
    using nonzcrb-helper-neg assms
    by blast
  show ?thesis using eo h1 h2
    by auto
  qed$$ 
```

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lemma root-lb-restate:
  fixes p:: real poly
  assumes pnonz:  $p \neq 0$ 
  fixes z::real
  assumes zgt:  $\forall x. \text{poly } p \ x=0 \longrightarrow x > z$ 
  shows  $x \leq z \implies \text{sgn}(\text{poly } p \ x) = \text{Sturm-Tarski}.\text{sign}(\text{sgn-neg-inf } p)$ 
proof -
  assume xlt:  $x \leq z$ 
  have allx:  $\forall x \leq z. \text{sgn}(\text{poly } p \ x) = \text{sgn}(\text{poly } p \ z)$ 
  proof clarsimp
    fix x
    assume zleq:  $x \leq z$ 
    then have xnonz:  $\text{sgn}(\text{poly } p \ x) \neq 0$ 
      using zgt unfolding sgn-def by auto
    have znonz:  $\text{sgn}(\text{poly } p \ z) \neq 0$ 
      using zgt unfolding sgn-def by auto
    have Matrix-Equation-Construction.sgn(p)  $\neq$  Matrix-Equation-Construction.sgn(p)
      (poly p z)  $\implies$  False
    proof -
      assume neq: Matrix-Equation-Construction.sgn(p)  $\neq$  Matrix-Equation-Construction.sgn(p)
      then have x < z using zleq
        using less-eq-real-def by force
      then have ((poly p x) > 0  $\wedge$  (poly p z) < 0)  $\vee$  ((poly p x) < 0  $\wedge$  (poly p z) > 0)
        using znonz xnonz zgt neq sgn-def
        by metis
      then have  $\exists w. w < x \wedge w > z \wedge (\text{poly } p \ w = 0)$ 
        using poly-IVT-pos[of x z] poly-IVT-neg[of x z]
        by (metis `x < z` not-less-iff-gr-or-eq zgt)
      then show False
        using zgt zleq
        by linarith
    qed
    then show Matrix-Equation-Construction.sgn(p) = Matrix-Equation-Construction.sgn(p)
      by auto
  qed
  have ( $\exists ub. (\forall x \leq ub. \text{sgn-class}.\text{sgn}(\text{poly } p \ x) = \text{sgn-neg-inf } p))$ 
    using root-lb[of p] pnonz
    by meson
  then obtain lb where ub-prop:  $(\forall x \leq lb. \text{sgn-class}.\text{sgn}(\text{poly } p \ x) = \text{sgn-neg-inf } p)$ 
    by auto
  let ?ub2 = min lb (z-1)
  have ( $\forall x \leq ?ub2. \text{sgn-class}.\text{sgn}(\text{poly } p \ x) = \text{sgn-neg-inf } p) \wedge (?ub2 < z)$ 
    using ub-prop by auto
  then have h1:  $(\text{Sturm-Tarski}.\text{sign}(\text{sgn-class}.\text{sgn}(\text{poly } p \ ?ub2)::\text{real})) = \text{Sturm-Tarski}.\text{sign}(\text{sgn-neg-inf}$ 

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 $p) \wedge (?ub2 < z)$ 
  by auto
have (Sturm-Tarski.sign(sgn-class.sgn (poly p ?ub2)::real)) = (sgn (poly p ?ub2))
  unfolding sign-def sgn-def by auto
then have (sgn (poly p ?ub2)) = (Sturm-Tarski.sign(sgn-neg-inf p))  $\wedge$  (?ub2 < z)
  using h1 by metis
then show ?thesis using allx xlt
  by (metis min.absorb3 min.cobounded2)
qed

lemma limit-neg-infinity-helper1:
assumes q-in:  $q \in \text{set qs}$ 
assumes qnonz:  $q \neq 0$ 
assumes  $x = -(crb(\text{prod-list-var } qs))$ 
shows (if (poly q x > 0) then 1 else (if (poly q x = 0) then 0 else -1))
  = ((Sturm-Tarski.sign (sgn-neg-inf q)))

proof –
  have ( $\exists ub. (\forall x. poly q x = 0 \rightarrow x < ub \rightarrow$ 
     $(\forall x \geq ub. sgn-class.sgn (poly q x) = sgn-neg-inf q))$ )
  using root-lb[of q] qnonz
  by (meson not-less-iff-gr-or-eq)
  then obtain ub where ub-prop: ( $\forall x. poly q x = 0 \rightarrow x < ub \rightarrow$ 
     $(\forall x \geq ub. sgn-class.sgn (poly q x) = sgn-neg-inf q))$ 
  by auto
show ?thesis
proof –
  have  $q \in \text{set qs} \wedge q \neq 0$ 
  using ⟨q ∈ set qs⟩ ⟨q ≠ 0⟩ by blast
  then have f1:  $\forall r. \neg r \leq \text{real-of-int}(-crb(\text{prod-list-var } qs)) \vee 0 \neq \text{poly q r}$ 
  using nonzcrb-neg[of q] by auto
  have f2:  $\text{real-of-int } x \leq \text{real-of-int}(-crb(\text{prod-list-var } qs))$ 
  using ⟨x = -crb(prod-list-var qs)⟩ by fastforce
  obtain rr :: real where
    f3:  $q \neq 0 \wedge (0 = \text{poly q rr} \rightarrow \text{real-of-int}(-crb(\text{prod-list-var } qs)) < rr) \wedge \text{real-of-int } x \leq \text{real-of-int}(-crb(\text{prod-list-var } qs)) \rightarrow \text{Sturm-Tarski.sign}(sgn-neg-inf q) = \text{Matrix-Equation-Construction.sgn}(\text{poly q } (\text{real-of-int } x))$ 
    by (metis assms(2) root-lb-restate[of q])
  have  $\neg rr \leq \text{real-of-int}(-crb(\text{prod-list-var } qs)) \vee 0 \neq \text{poly q rr}$ 
  using f1 by blast
  then have Sturm-Tarski.sign (sgn-neg-inf q) = Matrix-Equation-Construction.sgn (poly q (real-of-int x))
  using f3 f2 ⟨q ≠ 0⟩ by linarith
  then show ?thesis
  by (smt (verit, best) Sturm-Tarski.sign-def of-int-eq-iff of-int-hom.hom-one of-int-hom.hom-zero of-rat-hom.hom-0-iff of-rat-hom.hom-1-iff of-rat-neg-one sgn-def)
qed

qed

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lemma limit-neg-infinity-helper2:
  assumes q-in:  $q \in \text{set } qs$ 
  assumes qnonz:  $q = 0$ 
  assumes x =  $(-\text{crb}(\text{prod-list-var } qs))$ 
  shows (if ( $\text{poly } q \ x > 0$ ) then 1 else (if ( $\text{poly } q \ x = 0$ ) then 0 else -1))
    = Sturm-Tarski.sign (sgn-neg-inf q)
  proof -
    have 0 = Sturm-Tarski.sign (sgn-neg-inf q)
      by (simp add: qnonz sgn-neg-inf-def)
    then show ?thesis using qnonz
      by auto
  qed

lemma limit-neg-infinity-helper-var:
  assumes q-in:  $q \in \text{set } qs$ 
  assumes x =  $(-\text{crb}(\text{prod-list-var } qs))$ 
  shows (if ( $\text{poly } q \ x > 0$ ) then 1 else (if ( $\text{poly } q \ x = 0$ ) then 0 else -1))
    = Sturm-Tarski.sign (sgn-neg-inf q)
  using limit-neg-infinity-helper1 limit-neg-infinity-helper2 assms(2) q-in
  by blast

lemma limit-neg-infinity-helper:
  assumes q-in:  $q \in \text{set } qs$ 
  assumes x =  $(-\text{crb}(\text{prod-list-var } qs))$ 
  shows (if ( $\text{poly } q \ x > 0$ ) then 1 else (if ( $\text{poly } q \ x = 0$ ) then 0 else -1))
    = (Sturm-Tarski.sign (sgn-neg-inf q))
  using limit-neg-infinity-helper-var Sturm-Tarski-casting[of (sgn-neg-inf q)]
  using assms(2) q-in by presburger

lemma limit-neg-infinity:
  shows consistent-sign-vec qs  $(-\text{crb}(\text{prod-list-var } qs)) = \text{sgn-neg-inf-rat-list } qs$ 
  using limit-neg-infinity-helper Sturm-Tarski-casting
    consistent-sign-vec-def sgn-neg-inf-rat-list-def
  apply (auto) apply fastforce apply presburger
  by (metis (mono-tags, opaque-lifting) of-int-eq-1-iff of-int-minus)

lemma csv-signs-at-same:
  shows consistent-sign-vec qs x = signs-at qs x
  unfolding consistent-sign-vec-def signs-at-def squash-def by auto

lemma complex-real-int-casting:
  fixes z:: int
  shows (complex-of-real  $\circ$  real-of-int) z = complex-of-int z
  by auto

lemma poly-f-ncrb-constant-connection:
  assumes is-const: check-all-const-deg qs = True
  shows set (characterize-consistent-signs-at-roots (poly-f qs) qs)

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= set (characterize-consistent-signs-at-roots (poly-f-nocrb qs) qs) ∪ {sgn-neg-inf-rat-list
qs, sgn-pos-inf-rat-list qs}
proof -
  have h1: (poly-f qs) = [:0, 1:]
    using assms unfolding poly-f-def by auto
  have h2: (poly-f-nocrb qs) = [:0, 1:]
    using assms unfolding poly-f-nocrb-def by auto
  then have same-set1: set (characterize-consistent-signs-at-roots (poly-f qs) qs)
= set (characterize-consistent-signs-at-roots (poly-f-nocrb qs) qs)
  using h1 h2
  by auto
  have {x. poly (poly-f qs) x = 0} = {0}
    using h1 by auto
  then have (characterize-root-list-p (poly-f qs)) = [0]
    using h1 unfolding characterize-root-list-p-def by auto
  then have (characterize-consistent-signs-at-roots (poly-f qs) qs)
= (remdups (map (signs-at qs) [0]))
  unfolding characterize-consistent-signs-at-roots-def by auto
  then have (characterize-consistent-signs-at-roots (poly-f qs) qs) = [signs-at qs 0]
    by auto
  then have same-set2: set (characterize-consistent-signs-at-roots (poly-f-nocrb qs)
qs) = {signs-at qs 0}
    using same-set1
    by auto
  have same1: sgn-neg-inf-rat-list qs = sgn-pos-inf-rat-list qs
    using is-const unfolding sgn-neg-inf-rat-list-def sgn-pos-inf-rat-list-def
    unfolding sgn-neg-inf-def sgn-pos-inf-def
    using check-all-const-deg-prop by auto
  have ∀q. q ∈ set qs ⇒ poly q 0 = lead-coeff q
    using is-const check-all-const-deg-prop
    by (metis poly-0-coeff-0)
  then have same2-h: map (λx. rat-of-int (Sturm-Tarski.sign (sgn-class.sgn (lead-coeff
x)))) qs =
  map ((λx. if 0 < x then 1 else if x < 0 then - 1 else 0) ∘ (λq. poly q 0)) qs
  by simp
  then have map rat-of-int (sgn-pos-inf-rat-list qs) = (signs-at qs 0)
    using is-const check-all-const-deg-prop
    unfolding sgn-pos-inf-rat-list-def sgn-pos-inf-def signs-at-def squash-def
    by auto
  then have map real-of-rat (map rat-of-int (sgn-pos-inf-rat-list qs)) =
  map of-rat (signs-at qs 0)
  by auto
  then have map real-of-int (sgn-pos-inf-rat-list qs) = map of-rat (signs-at qs 0)
  by auto
  then have map complex-of-real (map real-of-int (sgn-pos-inf-rat-list qs)) = map
of-rat (signs-at qs 0)
  by auto
  then have same2: (sgn-pos-inf-rat-list qs) = (signs-at qs 0)
    using complex-real-int-casting

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by (metis list.map-comp map-eq-conv)
show ?thesis
using same-set1 same-set2 same1 same2
by auto
qed

lemma poly-f-nocrb-nonconstant-connection:
assumes is-not-const: check-all-const-deg qs = False
shows set (characterize-consistent-signs-at-roots (poly-f qs) qs)
= set (characterize-consistent-signs-at-roots (poly-f-nocrb qs) qs) ∪ {sgn-neg-inf-rat-list
qs, sgn-pos-inf-rat-list qs}
proof -
let ?s1 = {x. poly (poly-f qs) x = 0}
let ?s2 = ({x. poly (poly-f-nocrb qs) x = 0} ∪ {-(crb (prod-list-var qs)), (crb
(prod-list-var qs))}::real set)
have same-set: ?s1 = ?s2
using root-set-nocrb-var[of qs] is-not-const
by auto
then have same-map: (λx. (signs-at qs x)) ` ?s1 = (λx. (signs-at qs x)) ` ?s2
by presburger
have set (characterize-consistent-signs-at-roots (poly-f qs) qs) =
set (map (signs-at qs) (characterize-root-list-p (poly-f qs)))
using characterize-consistent-signs-at-roots-def by auto
then have set (characterize-consistent-signs-at-roots (poly-f qs) qs) =
(λx. (signs-at qs x)) ` {x. poly (poly-f qs) x = 0}
by (simp add: characterize-root-list-p-def poly-f nonzero poly-roots-finite)
then have set (characterize-consistent-signs-at-roots (poly-f qs) qs) =
(λx. (signs-at qs x)) ` ({x. poly (poly-f-nocrb qs) x = 0} ∪ {-(crb (prod-list-var
qs)), (crb (prod-list-var qs))}::real set)
using same-map by auto
then have bigeq: set (characterize-consistent-signs-at-roots (poly-f qs) qs) =
(λx. (signs-at qs x)) ` {x. poly (poly-f-nocrb qs) x = 0} ∪ (λx. (signs-at qs x)) ` {-(crb (prod-list-var qs)), (crb (prod-list-var qs))}::real set)
by (metis image-Un)
have crb-seteq: (λx. (signs-at qs x)) ` {-(crb (prod-list-var qs)), (crb (prod-list-var
qs))}::real set) =
(λx. (signs-at qs x)) ` {-(crb (prod-list-var qs))}::real set) ∪
(λx. (signs-at qs x)) ` {(crb (prod-list-var qs))}::real set)
by blast
have neg: (λx. (signs-at qs x)) ` {-(crb (prod-list-var qs))}::real set) = {sgn-neg-inf-rat-list
qs}
using limit-neg-infinity[of qs] csv-signs-at-same by auto
have pos: (λx. (signs-at qs x)) ` {(crb (prod-list-var qs))}::real set) = {sgn-pos-inf-rat-list
qs}
using limit-pos-infinity[of qs] csv-signs-at-same by auto
have (λx. (signs-at qs x)) ` {-(crb (prod-list-var qs)), (crb (prod-list-var qs))}::real
set) = {sgn-neg-inf-rat-list qs} ∪ {sgn-pos-inf-rat-list qs}
using crb-seteq neg pos by auto
then have (λx. (signs-at qs x)) ` {-(crb (prod-list-var qs)), (crb (prod-list-var
qs))}::real set)

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qs))}::real set)
= {sgn-neg-inf-rat-list qs, sgn-pos-inf-rat-list qs}
by auto
then have biggereq: set (characterize-consistent-signs-at-roots (poly-f qs) qs) =
signs-at qs ` {x. poly (poly-f-nocrb qs) x = 0} ∪
{sgn-neg-inf-rat-list qs, sgn-pos-inf-rat-list qs}
using bigeq by auto
have key: signs-at qs ` {x. poly (poly-f-nocrb qs) x = 0} = set (characterize-consistent-signs-at-roots
(poly-f-nocrb qs) qs)
using characterize-consistent-signs-at-roots-def
Groups.mult-ac(2) characterize-root-list-p-def is-not-const list.set-map mult-cancel-left
mult-cancel-left1 poly-f-def poly-f-nocrb-def poly-f-nonzero poly-roots-finite set-remdups
sorted-list-of-set(1)
proof -
have poly-f-nocrb qs ≠ 0
by (metis mult-cancel-left1 poly-f-def poly-f-nocrb-def poly-f-nonzero)
then have set (remdups (map (signs-at qs) (sorted-list-of-set {r. poly (poly-f-nocrb
qs) r = 0}))) = signs-at qs ` {r. poly (poly-f-nocrb qs) r = 0}
by (simp add: poly-roots-finite)
then show ?thesis
using characterize-consistent-signs-at-roots-def characterize-root-list-p-def by
presburger
qed
then show ?thesis using biggereq
by (metis image-image list.set-map)
qed

lemma poly-f-nocrb-connection:
shows set (characterize-consistent-signs-at-roots (poly-f qs) qs)
= set (characterize-consistent-signs-at-roots (poly-f-nocrb qs) qs) ∪ {sgn-neg-inf-rat-list
qs, sgn-pos-inf-rat-list qs}
using poly-f-nocrb-nonconstant-connection poly-f-nocrb-constant-connection
by blast
end

```

```

theory Hybrid-Multiv-Matrix-Proofs
imports
  BenOr-Kozen-Reif.Matrix-Equation-Construction
  Multiv-Tarski-Query
  BenOr-Kozen-Reif.Renegar-Proofs
  Hybrid-Multiv-Matrix
  Hybrid-Multiv-Algorithm
  Renegar-Modified

```

```
begin
```

**hide-const** *BKR-Decision*.*And*  
**hide-const** *BKR-Decision*.*Or*

**hide-const** *UnivPoly.eval*

### 17.1 Connect multivariate Tarski queries to univariate

```
lemma pull-out-pairs-length:
  shows length (pull-out-pairs qs Is) = length Is
  using pull-out-pairs.simps by force

lemma construct-NofI-M-subset-prop:
  assumes (assumps, tq) ∈ set (construct-NofI-M p init-assumps qs1 qs2)
  shows set init-assumps ⊆ set assumps
proof -
  have (assumps, tq) ∈ set (map construct-NofI-single-M (construct-NofI-R-spmods
  p init-assumps qs1 qs2))
    using assms
    by auto
  then obtain mid-assumps tq-list where tuple-prop2: (assumps, tq) = construct-NofI-single-M (mid-assumps, tq-list)
    (mid-assumps, tq-list) ∈ set (construct-NofI-R-spmods p init-assumps qs1 qs2)
    by force
  have s1: mid-assumps = assumps
    using tuple-prop2(1)
    by simp
  have s2: set init-assumps ⊆ set mid-assumps
    using tuple-prop2(2) spmods-multiv-assum-acc
    by (metis construct-NofI-R-spmods-def)
  then show set init-assumps ⊆ set assumps
    using s1 s2
    by blast
qed
```

### 17.2 Connect multivariate RHS vector to univariate

```
lemma construct-rhs-vector-rec-M-subset-prop-len1:
  assumes (assumps, rhs-list) ∈ set (construct-rhs-vector-rec-M p init-assumps [a])
  shows set init-assumps ⊆ set assumps
proof -
  obtain qs1 qs2 where a-prop: a = (qs1, qs2)
    using prod.exhaust by blast
  have tuple-prop: (assumps, rhs-list) ∈ set (map (λ(new-assumps, tq). (new-assumps,
  [tq])) (construct-NofI-M p init-assumps qs1 qs2)))
    using a-prop assms by auto
  then obtain tq where tq-prop: rhs-list = [tq]
    by auto
  let ?ell = ( map (λ(new-assumps, tq). (new-assumps, [tq])) (construct-NofI-M p
  init-assumps qs1 qs2))
  have tuple-in-list: (assumps, rhs-list) ∈ set ?ell
```

```

using tuple-prop
by auto
then have (assumps, tq) ∈ set (construct-NofI-M p init-assumps qs1 qs2)
  using tq-prop
  by (smt (verit, best) imageE list.inject list.set-map old.prod.case old.prod.simps(1)
prod.collapse)
then have (assumps, tq) ∈ set (construct-NofI-M p init-assumps qs1 qs2)
  using tq-prop
  by metis
then have (assumps, tq) ∈ set (map construct-NofI-single-M
  (construct-NofI-R-spmods p init-assumps qs1 qs2))
  by force
then have (assumps, tq) ∈ set (construct-NofI-M p init-assumps qs1 qs2)
  using «(assumps, tq) ∈ set (construct-NofI-M p init-assumps qs1 qs2)» by force
then show ?thesis using construct-NofI-M-subset-prop
  by blast
qed

lemma construct-rhs-vector-rec-M-subset-prop:
  assumes (assumps, rhs-list) ∈ set (construct-rhs-vector-rec-M p init-assumps
qs-list)
  shows set init-assumps ⊆ set assumps
  using assms
proof (induct qs-list arbitrary: assumps rhs-list init-assumps)
  case Nil
  then show ?case
    using construct-rhs-vector-rec-M.simps by auto
next
  case (Cons a qs-list)
  obtain qs1 qs2 where a-prop: a = (qs1, qs2)
    using Cons.preds Cons.hyps prod.exhaust
    by fastforce
  { assume *: qs-list = []
  then have set init-assumps ⊆ set assumps using construct-rhs-vector-rec-M-subset-prop-len1
    Cons.preds
    by blast
  }
  moreover { assume *: length qs-list ≥ 1
  then obtain v va where qs-list-prop: qs-list = v # va
    by (metis One-nat-def Suc-le-length-iff)
  let ?TQ-list = construct-NofI-M p init-assumps qs1 qs2
  have (assumps, rhs-list) ∈ set (construct-rhs-vector-rec-M p init-assumps ((qs1,
qs2) # qs-list))
    using Cons.preds(1) * a-prop by auto
  then have (assumps, rhs-list) ∈ set (concat ((map (λ(new-assumps, tq).
(let rec = construct-rhs-vector-rec-M p new-assumps qs-list in
map (λr. (fst r, tq#snd r)) rec)) ?TQ-list)))
    using * a-prop qs-list-prop

```

```

    by (simp add: split-def)
  then obtain new-assumps tq where tq-prop: (new-assumps,tq) ∈ set (?TQ-list)
    (assumps, rhs-list) ∈ set (let rec = construct-rhs-vector-rec-M p new-assumps
      qs-list in
      map (λr. (fst r, tq#snd r)) rec)
    by auto
  then obtain rhs-rest where rhs-list-prop: rhs-list = tq#rhs-rest
    (assumps, rhs-rest) ∈ set (construct-rhs-vector-rec-M p new-assumps qs-list)
    by auto
  then have s1: set new-assumps ⊆ set assumps
    using Cons.hyps
    by auto
  have s2: set init-assumps ⊆ set new-assumps
    using construct-NofI-M-subset-prop tq-prop(1)
    by auto
  have set init-assumps ⊆ set assumps
    using s1 s2
    by auto
  }
ultimately show ?case
  using Cons.prem
  by (metis length-0-conv less-one linorder-neqE-nat nat-less-le rel-simps(47))
qed

```

**lemma** *construct-rhs-vector-rec-M-univariate*:

```

assumes rhs-list-is: (assumps, rhs-list) ∈ set(construct-rhs-vector-rec-M p init-assumps
qs-list)
assumes val: ∏p n. (p,n) ∈ set assumps ==> satisfies-evaluation val p n
shows rhs-list = map (λ(qs1,qs2).
  (construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1) (eval-mpoly-poly-list
val qs2))) qs-list
  using assms
proof (induct qs-list arbitrary: assumps rhs-list init-assumps)
  case Nil
  then show ?case
    using construct-rhs-vector-rec-M.simps
    by auto
  next
  case (Cons a qs-list)
  obtain qs1 qs2 where a-prop: a = (qs1, qs2)
    using Cons.prem Cons.hyps
    using prod.exhaust by blast
  { assume *: qs-list = []
    let ?tq = construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1)
      (eval-mpoly-poly-list val qs2)
    have (assumps, rhs-list) ∈ set (construct-rhs-vector-rec-M p init-assumps [(qs1,
      qs2)])
      using Cons.prem(1) * a-prop by auto
  
```

```

then have
   $(assumps, rhs-list) \in set (let TQ-list = construct-NofI-M p init-assumps qs1$ 
 $qs2 \text{ in}$ 
 $\quad map (\lambda(new-assumps, tq). (new-assumps, [tq])) TQ-list)$ 
 $\quad \text{by (metis construct-rhs-vector-rec-M.simps(2))}$ 
then have tuple-prop:
   $(assumps, rhs-list) \in set ( map (\lambda(new-assumps, tq). (new-assumps, [tq])))$ 
 $(construct-NofI-M p init-assumps qs1 qs2))$ 
 $\quad \text{by auto}$ 
then obtain tq where tq-prop: rhs-list = [tq]
 $\quad \text{by auto}$ 
let ?ell = ( map (\lambda(new-assumps, tq). (new-assumps, [tq])) (construct-NofI-M
 $p init-assumps qs1 qs2))$ 
have tuple-in-list: (assumps, rhs-list) \in set ?ell
 $\quad \text{using tuple-prop}$ 
 $\quad \text{by auto}$ 
then have (assumps, tq) \in set (construct-NofI-M p init-assumps qs1 qs2)
 $\quad \text{using tq-prop}$ 
by (smt (verit, best) imageE list.inject list.set-map old.prod.case old.prod.simps(1)
 $\quad prod.collapse)$ 
then have (assumps, tq) \in set (construct-NofI-M p init-assumps qs1 qs2)
 $\quad \text{using tq-prop}$ 
 $\quad \text{by metis}$ 
then have rhs-list = [construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list
 $val qs1) (eval-mpoly-poly-list val qs2)]$ 
 $\quad \text{using construct-NofI-M-univariate-tarski-query[of assumps tq p init-assumps}$ 
 $qs1 qs2 val]}$ 
 $\quad Cons.prem(2) tq-prop$ 
 $\quad \text{by auto}$ 
then have rhs-list =
 $\quad map (\lambda(qs1, qs2).$ 
 $\quad \quad construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1)$ 
 $\quad \quad (eval-mpoly-poly-list val qs2))$ 
 $\quad [(qs1, qs2)]$ 
 $\quad \text{by auto}$ 
then have rhs-list =
 $\quad map (\lambda(qs1, qs2).$ 
 $\quad \quad construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1)$ 
 $\quad \quad (eval-mpoly-poly-list val qs2))$ 
 $\quad (a \# qs-list)$ 
 $\quad \text{using * a-prop by auto}$ 
 $\}$ 
moreover {
assume *: qs-list  $\neq []$ 
then obtain v va where qs-list-prop: qs-list = v # va
 $\quad \text{by (meson neq-Nil-conv)}$ 
let ?tq = construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1)
 $(eval-mpoly-poly-list val qs2)$ 
let ?TQ-list = construct-NofI-M p init-assumps qs1 qs2

```

```

have (assumps, rhs-list) ∈ set (construct-rhs-vector-rec-M p init-assumps ((qs1,
qs2) # qs-list))
  using Cons.preds(1) * a-prop by auto
then have (assumps, rhs-list) ∈ set (concat ((map (λ(new-assumps, tq).
(let rec = construct-rhs-vector-rec-M p new-assumps qs-list in
  map (λr. (fst r, tq#snd r)) rec)) ?TQ-list)))
  using * a-prop qs-list-prop
  by (simp add: split-def)
then obtain new-assumps tq where tq-prop: (new-assumps, tq) ∈ set (?TQ-list)
  (assumps, rhs-list) ∈ set (let rec = construct-rhs-vector-rec-M p new-assumps
  qs-list in
    map (λr. (fst r, tq#snd r)) rec)
  by auto
then obtain rhs-rest where rhs-list-prop: rhs-list = tq#rhs-rest
  (assumps, rhs-rest) ∈ set (construct-rhs-vector-rec-M p new-assumps qs-list)
  by auto
then have subset: set new-assumps ⊆ set assumps using
  construct-rhs-vector-rec-M-subset-prop[of assumps rhs-rest p new-assumps
  qs-list]
  by auto
then have val-2: ∧ p n. (p, n) ∈ set new-assumps ⇒ satisfies-evaluation val
  p n
  using val
  by (meson Set.basic-monos(7) local.Cons(3))
have tq-is: tq = ?tq
  using construct-NofI-M-univariate-tarski-query[of new-assumps tq p init-assumps
  qs1 qs2 val]
  tq-prop(1) Cons.preds(2) subset
  by blast
have ih: rhs-rest =
  map (λ(qs1, qs2).
    construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val
    qs1)
    (eval-mpoly-poly-list val qs2))
  qs-list
  using rhs-list-prop Cons.preds(2) val-2
  by (simp add: local.Cons(1))
then have rhs-list =
  map (λ(qs1, qs2).
    construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1)
    (eval-mpoly-poly-list val qs2))
  (a # qs-list)
  using a-prop tq-is ih rhs-list-prop
  by simp
}
ultimately have rhs-list =
  map (λ(qs1, qs2).
    construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1)
    (eval-mpoly-poly-list val qs2))

```

```

(a # qs-list)
by blast
then show ?case
by blast
qed

lemma retrieve-polys-prop:
assumes "x ∈ set ns" ⟹ "x < length qs"
shows "eval-mpoly-poly-list val (retrieve-polys qs ns) = (retrieve-polys (map
(eval-mpoly-poly val) qs) ns)"
using assms unfolding eval-mpoly-poly-list-def retrieve-polys-def by auto

lemma construct-rhs-vector-M-univariate:
assumes rhs-vec-is: "(assumps, rhs-vec) ∈ set(construct-rhs-vector-M p init-assumps
qs Is)"
assumes "p n. (p,n) ∈ set assumps" ⟹ satisfies-evaluation val p n
assumes well-def-subsets: "Is1 Is2 n. (Is1, Is2) ∈ set Is" ⟹
(n ∈ set Is1 ∨ n ∈ set Is2) ⟹ n < length qs
shows "rhs-vec = construct-rhs-vector-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs) Is"
proof -
have "assumps, rhs-vec ∈ set (map (λres. (fst res, vec-of-list (snd res))) (construct-rhs-vector-rec-M
p init-assumps (pull-out-pairs qs Is)))"
using rhs-vec-is unfolding construct-rhs-vector-M-def by auto
then have "rhs-list. rhs-vec = vec-of-list rhs-list ∧ (assumps, rhs-list) ∈ set (map
(λres. (fst res, snd res)) (construct-rhs-vector-rec-M p init-assumps (pull-out-pairs
qs Is)))"
by auto
then obtain rhs-list where rhs-list-prop: "rhs-vec = vec-of-list rhs-list ∧ (assumps,
rhs-list) ∈ set (map (λres. (fst res, snd res)) (construct-rhs-vector-rec-M p init-assumps
(pull-out-pairs qs Is)))"
by auto
then have "rhs-list-char: rhs-list = map (λ(qs1,qs2)."
"(construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val qs1) (eval-mpoly-poly-list
val qs2))) (pull-out-pairs qs Is)"
using assms construct-rhs-vector-rec-M-univariate

by (smt (verit, del-insts) case-prod-beta map-eq-conv map-idI prod.exhaust-sel)
have lov-1: "list-of-vec (map-vec
(λ(I1, I2)."
"construct-NofI-R (eval-mpoly-poly val p) (retrieve-polys (map (eval-mpoly-poly
val) qs) I1)"
"(retrieve-polys (map (eval-mpoly-poly val) qs) I2))"
"(vec-of-list Is)) = map (λ(I1, I2)."
"construct-NofI-R (eval-mpoly-poly val p) (retrieve-polys (map (eval-mpoly-poly
val) qs) I1)"
"(retrieve-polys (map (eval-mpoly-poly val) qs) I2)) Is"

```

```

by (metis list-vec vec-of-list-map)
have lov_2: list-of-vec rhs-vec = rhs-list
  using rhs-list-prop
  using list-vec by blast
let ?rhs-list-var = map (λ(I1, I2).
  construct-NofI-R (eval-mpoly-poly val p) (retrieve-polys (map (eval-mpoly-poly
val) qs) I1)
    (retrieve-polys (map (eval-mpoly-poly val) qs) I2)) Is
have rhs-list-is: rhs-list = ?rhs-list-var
proof -
  have len-is1: length (pull-out-pairs qs Is) = length Is
    by simp
  then have length rhs-list = length Is
    using rhs-list-char
    by auto
  have len-is2: length ?rhs-list-var = length Is
    by auto
  have ∀n. n < length Is ⟹ rhs-list ! n = ?rhs-list-var ! n
proof -
  fix n
  assume *: n < length Is
  then obtain Is1 Is2 where Is-prop: Is ! n = (Is1, Is2)
    by fastforce
  then have (pull-out-pairs qs Is) ! n = ((retrieve-polys qs Is1), (retrieve-polys
qs Is2))
    using * by force
  then have nth-1: rhs-list ! n = construct-NofI-R (eval-mpoly-poly val p)
    (eval-mpoly-poly-list val (retrieve-polys qs Is1)) (eval-mpoly-poly-list val (retrieve-polys
qs Is2))
    using rhs-list-char
    by (simp add: * len-is1)
  have nth-2: map (λ(I1, I2).
    construct-NofI-R (eval-mpoly-poly val p) (retrieve-polys (map (eval-mpoly-poly
val) qs) I1)
      (retrieve-polys (map (eval-mpoly-poly val) qs) I2)) Is ! n
    = construct-NofI-R (eval-mpoly-poly val p) (retrieve-polys (map (eval-mpoly-poly
val) qs) Is1)
      (retrieve-polys (map (eval-mpoly-poly val) qs) Is2))
    using Is-prop
    by (simp add: *)
  have ret-poly1: (eval-mpoly-poly-list val (retrieve-polys qs Is1)) = (retrieve-polys
    (map (eval-mpoly-poly val) qs) Is1)
    unfolding retrieve-polys-def eval-mpoly-poly-list-def
    using well-def-subsets retrieve-polys-prop Is-prop
    by (metis * eval-mpoly-poly-list-def in-set-conv-nth retrieve-polys-def)
  have ret-poly2: (eval-mpoly-poly-list val (retrieve-polys qs Is2)) = (retrieve-polys
    (map (eval-mpoly-poly val) qs) Is2)
    unfolding retrieve-polys-def eval-mpoly-poly-list-def
    using well-def-subsets retrieve-polys-prop Is-prop

```

```

by (metis * eval-mpoly-poly-list-def in-set-conv-nth retrieve-polys-def)
have construct-NofI-R (eval-mpoly-poly val p) (eval-mpoly-poly-list val (retrieve-polys
qs Is1)) (eval-mpoly-poly-list val (retrieve-polys qs Is2))
  = construct-NofI-R (eval-mpoly-poly val p) (retrieve-polys (map (eval-mpoly-poly
val) qs) Is1)
    (retrieve-polys (map (eval-mpoly-poly val) qs) Is2)
using ret-poly1 ret-poly2
by auto
then show rhs-list ! n = ?rhs-list-var ! n
  using nth-1 nth-2 by auto
qed
then show ?thesis
  using len-is1 len-is2
  by (metis <length rhs-list = length Is> nth-equalityI)
qed
then show ?thesis
  using rhs-list-is lov-2 lov-1
  unfolding construct-rhs-vector-R-def
  using rhs-list-prop by force
qed

```

### 17.3 Connect multivariate LHS vector to univariate

**lemma** solve-for-lhs-vector-M-univariate:

**assumes** lhs-in: (assumps, lhs-vec)  $\in$  set (solve-for-lhs-M p init-assumps qs subsets matr)

**assumes** val:  $\bigwedge p \ n. (p, n) \in \text{set assumps} \implies \text{satisfies-evaluation val } p \ n$

**assumes** well-def-subsets:  $\bigwedge Is1 \ Is2 \ n. (Is1, Is2) \in \text{set subsets} \implies$   
 $(n \in \text{set } Is1 \vee n \in \text{set } Is2) \implies n < \text{length } qs$

**shows** lhs-vec = solve-for-lhs-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs) subsets matr

**proof** –

**let** ?lhs-univ = solve-for-lhs-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val)
qs) subsets matr

**have** (assumps, lhs-vec)  $\in$  set (map ( $\lambda$ rhs. (fst rhs, solve-for-lhs-single-M p qs
subsets matr (snd rhs))) (construct-rhs-vector-M p init-assumps qs subsets))

**using** lhs-in

**using** solve-for-lhs-M-def **by** auto

**then obtain** rhs **where** rhs-prop: rhs  $\in$  set (construct-rhs-vector-M p init-assumps
qs subsets)

(assumps, lhs-vec) = (fst rhs, solve-for-lhs-single-M p qs subsets matr (snd rhs))

**by** auto

**then have** snd-is: snd rhs = construct-rhs-vector-R (eval-mpoly-poly val p) (map
(eval-mpoly-poly val) qs) subsets

**using** construct-rhs-vector-M-univariate

**by** (metis assms(2) fst-conv prod.collapse well-def-subsets)

**have** fst rhs = assumps **using** rhs-prop

**by** force

**have** ?lhs-univ = mult-mat-vec (matr-option (dim-row matr)) (mat-inverse-var

```

matr)) (snd rhs)
  using snd-is
  by (simp add: solve-for-lhs-R-def)
then show ?thesis
  using rhs-prop(2) unfolding solve-for-lhs-single-M-def
  by auto
qed

```

## 17.4 Connect multivariate reduction step to univariate

```

lemma reduce-system-single-M-univariate:
  assumes inset: (assumps, mat-eq) ∈ set(reduce-system-single-M p qs (init-assumps,
init-mat-eq))
  assumes val: ∀p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
  assumes init: init-mat-eq = (m, (subs, signs))
  assumes well-def-subsets: ∀ Is1 Is2 n. (Is1, Is2) ∈ set subs ⇒
    (n ∈ set Is1 ∨ n ∈ set Is2) ⇒ n < length qs
  shows mat-eq = reduce-system-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly
val) qs), init-mat-eq)
proof –
  have (assumps, mat-eq) ∈ set (map (λlhs. (fst lhs, reduction-step-R m signs subs
(snd lhs))) (solve-for-lhs-M p init-assumps qs subs m)))
  using inset
  using assms(3)
  by force
  then obtain lhs where lhs-prop: lhs ∈ set (solve-for-lhs-M p init-assumps qs
subs m)
  (assumps, mat-eq) = (fst lhs, reduction-step-R m signs subs (snd lhs))
  by auto
  then have snd lhs = solve-for-lhs-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs) subs m
  using solve-for-lhs-vector-M-univariate assms
  by (smt (verit, best) prod.exhaust-sel prod.simps(1))
  then have mat-eq = reduction-step-R m signs subs (solve-for-lhs-R (eval-mpoly-poly
val p) (map (eval-mpoly-poly val) qs) subs m)
  using lhs-prop
  by force
  then show ?thesis
  using init
  using reduce-system-R.simps by presburger
qed

```

```

lemma reduce-system-M-univariate:
  assumes (assumps, mat-eq) ∈ set(reduce-system-M p qs input-list)
  assumes val: ∀p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
  assumes val-qs: val-qs = (map (eval-mpoly-poly val) qs)
  assumes all-subsets-well-def: ∀ init-assumps init-mat-eq Is1 Is2 n subs m signs.
    (init-assumps, (m, (subs, signs))) ∈ set input-list ⇒

```

```

 $(Is1, Is2) \in set subs \implies (n \in set Is1 \vee n \in set Is2) \implies n < length qs$ 
obtains acc mss where
   $(acc, mss) \in set (input-list)$ 
   $mat-eq = reduce-system-R (eval-mpoly-poly val p) (val-qs, mss)$ 
proof -
  have (assumps, mat-eq)  $\in set(concat (map (reduce-system-single-M p qs) input-list))$ 
    by (metis assms(1) reduce-system-M.simps)
  then obtain init-assumps init-m init-subs init-signs where
    mat-eq-prop:
     $(init-assumps, (init-m, (init-subs, init-signs))) \in set input-list$ 
     $(assumps, mat-eq) \in set (reduce-system-single-M p qs (init-assumps, (init-m, (init-subs, init-signs))))$ 
    by auto
  then have well-def-subsets:  $\bigwedge Is1 Is2 n. (Is1, Is2) \in set init-subs \implies$ 
     $(n \in set Is1 \vee n \in set Is2) \implies n < length qs$ 
    using all-subsets-well-def
    by blast
  then have mat-eq-is:  $mat-eq = reduce-system-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly val) qs), (init-m, (init-subs, init-signs)))$ 
    using reduce-system-single-M-univariate mat-eq-prop assms(2) by blast
  then show ?thesis using mat-eq-prop
    using assms(3) that by blast
qed

lemma base-case-info-M-well-def:
  assumes (init-assumps, (m, (subs, signs)))  $\in set base-case-info-M$ 
  assumes (Is1, Is2)  $\in set subs$ 
  assumes  $n \in set Is1 \vee n \in set Is2$ 
  shows  $n < 1$ 
proof -
  have (m, (subs, signs)) = base-case-info-R using assms(1)
  unfolding base-case-info-M-def using Renegar-Algorithm.base-case-info-R-def
  Renegar-Algorithm.base-case-info-R-def
    by simp
  then have s:  $subs = [([[]], []), ([0], []), ([], [0])]$  unfolding base-case-info-R-def
    by auto
  have ( $n \in set Is1 \vee n \in set Is2$ ) using assms(3)
    by (simp add: in-set-member)
  thus ?thesis using assms(2) unfolding s by auto
qed

```

## 17.5 Connect multivariate combining systems to univariate

```

lemma base-case-with-assumps-info-M-well-def:
  assumes (init-assumps, (m, (subs, signs)))  $\in set (base-case-info-M-assumps a)$ 
  assumes (Is1, Is2)  $\in set subs$ 
  assumes  $n \in set Is1 \vee n \in set Is2$ 
  shows  $n < 1$ 

```

```

proof -
  have  $(m, (subs, signs)) = \text{base-case-info-}R$  using assms(1)
    unfolding base-case-info-M-assumps-def
    using Renegar-Algorithm.base-case-info-R-def Renegar-Algorithm.base-case-info-R-def
    by auto
  then have  $s: subs = [([], []), ([0], []), ([], [0])]$  unfolding base-case-info-R-def
    by auto
  have  $(n \in \text{set } Is1 \vee n \in \text{set } Is2)$  using assms(3)
    by (simp add: in-set-member)
  thus ?thesis using assms(2) unfolding s by auto
qed

lemma concat-map-in-set:
  assumes  $x \in \text{set} (\text{concat} (\text{map } f ls))$ 
  shows  $\exists i < \text{length } ls. x \in \text{set} (f (ls ! i))$ 
  using assms
  by (smt (verit, best) in-set-conv-nth length-map map-nth-eq-conv nth-concat-split)
}

lemma combine-systems-R-snd:
  assumes  $\text{length } qs1 = \text{length } new-qs1$ 
  shows  $\text{snd} (\text{combine-systems-}R p (qs1, sys1) (qs2, sys2)) =$ 
     $\text{snd} (\text{combine-systems-}R \text{new-}p (new-qs1, sys1) (new-qs2, sys2))$ 
proof -
  obtain  $m1 sub1 sgn1$  where  $sys1: sys1 = (m1, sub1, sgn1)$ 
    using prod-cases3 by blast
  obtain  $m2 sub2 sgn2$  where  $sys2: sys2 = (m2, sub2, sgn2)$ 
    using prod-cases3 by blast
  have  $h1: \text{snd} (\text{combine-systems-}R p (qs1, sys1) (qs2, sys2)) =$ 
     $\text{snd} (\text{smash-systems-}R p qs1 qs2 sub1 sub2 sgn1 sgn2 m1 m2)$ 
    using sys1 sys2 by auto
  have  $h2: \text{snd} (\text{combine-systems-}R \text{new-}p (new-qs1, sys1) (new-qs2, sys2)) =$ 
     $\text{snd} (\text{smash-systems-}R \text{new-}p new-qs1 new-qs2 sub1 sub2 sgn1 sgn2 m1 m2)$ 
    using sys1 sys2 by auto
  show ?thesis
    using h1 h2 assms unfolding smash-systems-}R-def by auto
qed

```

## 17.6 Subset Properties

```

lemma construct-rhs-vector-M-subset-prop:
  assumes  $(assumps, rhs-vec) \in \text{set} (\text{construct-rhs-vector-}M p \text{ init-assumps } qs \text{ sub-sets})$ 
  shows  $\text{set init-assumps} \subseteq \text{set assumps}$ 
proof -
  obtain rhs-list where  $(assumps, rhs-list) \in \text{set} (\text{construct-rhs-vector-rec-}M p$ 
 $\text{init-assumps} (\text{pull-out-pairs } qs \text{ subsets}))$ 
  rhs-vec = vec-of-list rhs-list
  using assms unfolding construct-rhs-vector-}M-def by auto

```

```

then show ?thesis
  using construct-rhs-vector-rec-M-subset-prop by auto
qed

lemma construct-lhs-vector-rec-M-subset-prop:
  assumes (assumps, lhs-list) ∈ set (solve-for-lhs-M p init-assumps qs subsets matr)
  shows set init-assumps ⊆ set assumps
proof –
  obtain rhs-vec where (assumps, rhs-vec) ∈ set (construct-rhs-vector-M p init-assumps
  qs subsets)
    lhs-list = matr-option (dim-row matr) (mat-inverse-var matr) *_v rhs-vec
    using assms unfolding solve-for-lhs-M-def solve-for-lhs-single-M-def
    by auto
  then show ?thesis
    using construct-rhs-vector-M-subset-prop[of assumps] by auto
qed

lemma reduce-system-single-M-subset-prop:
  assumes (assumps, mat-eq) ∈ set (reduce-system-single-M p qs (init-assumps,
  (m,subs,signs)))
  shows set init-assumps ⊆ set assumps
proof –
  obtain lhs-vec where (assumps, lhs-vec) ∈ set (solve-for-lhs-M p init-assumps
  qs subs m)
    mat-eq = reduction-step-R m signs subs lhs-vec
    using assms
    by (auto)
  then show ?thesis
    using construct-lhs-vector-rec-M-subset-prop[of assumps]
    by auto
qed

lemma calculate-data-assumps-M-subset:
  assumes (assumps, mat-eq) ∈ set (calculate-data-assumps-M p qs init-assumps)
  shows set init-assumps ⊆ set assumps
  using assms
proof (induction length qs arbitrary: qs assumps mat-eq rule: less-induct)
  case less
  {assume *: length qs = 0
   then have (assumps, mat-eq) ∈ set (map (λ(assumps,(a,(b,c))). (assumps,
   (a,b,map (drop 1) c))) (reduce-system-M p [1] (base-case-info-M-assumps init-assumps)))
   using less.preds by auto
   then obtain a b c where (assumps, (a, (b, c))) ∈ set (reduce-system-M p [1]
   (base-case-info-M-assumps init-assumps))
   by auto
   then have (assumps, (a, (b, c))) ∈ set (concat (map (reduce-system-single-M
   p [1]) [(init-assumps, base-case-info-R)]))
   unfolding base-case-info-M-assumps-def
  }

```

```

using Renegar-Algorithm.base-case-info-R-def Renegar-Algorithm.base-case-info-R-def

  by (auto)
  then have (assumps, (a, (b, c))) ∈ set( reduce-system-single-M p [1] (init-assumps,
base-case-info-R))
    by auto
  then have set init-assumps ⊆ set assumps
    unfolding base-case-info-R-def
  using reduce-system-single-M-subset-prop[of assumps (a, (b, c)) p [1] init-assumps]
    by auto
}
moreover {assume *: length qs = 1
  then have (assumps, mat-eq) ∈ set (reduce-system-M p qs (base-case-info-M-assumps
init-assumps))
    using less.preds by auto
  then obtain a b c where (assumps, (a, (b, c))) ∈ set (reduce-system-M p qs
(base-case-info-M-assumps init-assumps))
    by (smt (verit) prod.sel(2) prod-cases4)
  then have (assumps, (a, (b, c))) ∈ set (concat (map (reduce-system-single-M
p qs) [(init-assumps, base-case-info-R)]))
    unfolding base-case-info-M-assumps-def
  using Renegar-Algorithm.base-case-info-R-def Renegar-Algorithm.base-case-info-R-def

    by (auto)
  then have (assumps, (a, (b, c))) ∈ set( reduce-system-single-M p qs (init-assumps,
base-case-info-R))
    by auto
  then have set init-assumps ⊆ set assumps
    unfolding base-case-info-R-def
  using reduce-system-single-M-subset-prop[of assumps (a, (b, c)) p qs init-assumps]
    by auto
}
moreover {assume *: length qs > 1
  let ?len = length qs
  let ?q1 = take (?len div 2) qs
  let ?left = calculate-data-assumps-M p ?q1 init-assumps
  let ?q2 = drop (?len div 2) qs
  let ?right = calculate-data-assumps-M p ?q2 init-assumps
  let ?comb = combine-systems-M p ?q1 ?left ?q2 ?right
  have len-q1-less: length ?q1 < length qs
    using * by auto
  have inset-red: (assumps, mat-eq) ∈ set(reduce-system-M p (fst ?comb) (snd
?comb))
    using * less.preds
    by (smt (verit, best) calculate-data-assumps-M.simps less-one nat-less-le
not-one-less-zero)
  have fst ?comb = qs
    by auto
  then have (assumps, mat-eq) ∈ set(reduce-system-M p qs (snd ?comb))
}

```

```

using inset-red
by auto
then obtain assm-pre m-pre subs-pre signs-pre where assumps-reduce:
  (assm-pre, (m-pre, subs-pre, signs-pre)) ∈ set (snd ?comb)
    (assumps, mat-eq) ∈ set(reduce-system-single-M p qs (assm-pre, (m-pre,
subs-pre, signs-pre)))
  by (metis concat-map-in-set find-consistent-signs-at-roots-single-M.cases nth-mem
reduce-system-M.simps)
then obtain meq1 meq2 assm1 assm2 where subsystems:
  (assm1, meq1) ∈ set (calculate-data-assumps-M p ?q1 init-assumps)
  (assm2, meq2) ∈ set (calculate-data-assumps-M p ?q2 init-assumps)
  (assm-pre, (m-pre, subs-pre, signs-pre)) = combine-systems-single-M p ?q1
(assm1, meq1) ?q2 (assm2, meq2)
  by auto
then have assm-pre: assm-pre = assm1@assm2
  by auto
have set init-assumps ⊆ set assm1
  using less.hyps[of ?q1] less.preds subsystems(1) len-q1-less
  by auto
then have set init-assumps ⊆ set assm-pre
  using assm-pre by auto
then have set init-assumps ⊆ set assumps
  using assumps-reduce(2) reduce-system-single-M-subset-prop[of assumps mat-eq
p qs assm-pre m-pre subs-pre signs-pre]
  by auto
}
ultimately show ?case
  by (meson less-one linorder-neqE-nat)
qed

lemma extract-signs-M-subset:
  assumes (assumps, signs) ∈ set (extract-signs (calculate-data-assumps-M p qs
init-assumps))
  shows set init-assumps ⊆ set assumps
proof –
  obtain mat-eq where
    (assumps, mat-eq) ∈ set (calculate-data-assumps-M p qs init-assumps)
    signs = snd (snd mat-eq)
    using assms by auto
  then show ?thesis
    using calculate-data-assumps-M-subset[of assumps mat-eq p qs init-assumps]
    by auto
qed

```

## 17.7 Top-level Results: Connect calculate data methods to univariate

```

lemma all-list-constr-R-matches-well-def:
  assumes weldef: all-list-constr-R subs (length q)

```

```

shows  $(Is1, Is2) \in set (subs) \implies n \in set Is1 \vee n \in set Is2 \implies n < length q$ 
proof -
  assume inset:  $(Is1, Is2) \in set (subs)$ 
  assume inlist:  $n \in set Is1 \vee n \in set Is2$ 
  have welldef-var:  $\forall x. x \in set subs \longrightarrow$ 
    list-constr (fst x) (length q)  $\wedge$  list-constr (snd x) (length q)
  using welldef unfolding all-list-constr-R-def
  by (simp add: in-set-member)
  have  $(Is1, Is2) \in set subs$ 
  using inset by auto
  then have  $(\forall x \in set Is1. x < length q) \wedge (\forall x \in set Is2. x < length q)$ 
  using welldef-var
  by (simp add: Ball-set list-constr-def)
  then show  $n < length q$ 
  using inlist
  by metis
qed

lemma calculate-data-M-univariate:
assumes mat-eq:  $(assumps, mat-eq) \in set (calculate-data-M p qs)$ 
assumes  $\bigwedge p n. (p, n) \in set assumps \implies satisfies-evaluation val p n$ 
assumes p-nonzero:  $eval-mpoly-poly val p \neq 0$ 
shows calculate-data-R  $(eval-mpoly-poly val p) (map (eval-mpoly-poly val) qs) = mat-eq$ 
using assms
proof (induct length qs arbitrary: val p mat-eq assumps qs rule: less-induct)
case (less qs val p mat-eq assumps)
have length qs = 0  $\vee$  length qs = 1  $\vee$  length qs > 1
  by (meson less-one nat-neq-iff)
moreover {assume *: length qs = 0
let ?m = (mat-of-rows-list 3 [[1,1,1], [0,1,0], [1,0,-1]])
let ?subs = [[[], []], ([0], []), ([], [0])]
let ?signs = [[1],[0],[-1]]
let ?eval-p = eval-mpoly-poly val p
have mat-eq-in:  $(assumps, mat-eq) \in set (calculate-data-M p [])$ 
  using * less.prem(1) by auto
let ?map-base = map  $(\lambda (assumps, (a, (b, c))). (assumps, (a, b, map (drop 1) c)))$ 
(reduce-system-M p [1] base-case-info-M)
have (assumps, mat-eq)  $\in set ?map-base$ 
  using mat-eq-in
  by auto
then obtain a1 b1 c1 where a1b1c1-prop:
   $(assumps, (a1, (b1, c1))) \in set (reduce-system-M p [1] base-case-info-M)$ 
  mat-eq =  $(a1, (b1, map (drop 1) c1))$ 
  by auto
have base-case-well-def:  $\bigwedge init-assumps init-mat-eq Is1 Is2 n subs m signs.$ 
   $(init-assumps, m, subs, signs) \in set base-case-info-M \implies$ 
   $(Is1, Is2) \in set subs \implies n \in set Is1 \vee n \in set Is2 \implies n < length [1]$ 
  using base-case-info-M-well-def by auto

```

```

have map-is: [1] = map (eval-mpoly-poly val) [1]
  unfolding eval-mpoly-poly-def eval-mpoly-def
  by auto
then have  $\exists acc\ mss. (acc,mss) \in set (base-case-info-M) \wedge (a1, (b1, c1)) = reduce-system-R (eval-mpoly-poly val p) ([1],mss)$ 
  using reduce-system-M-univariate[of assms (a1, b1, c1) p [1] base-case-info-M val [1]]
    a1b1c1-prop less(3) base-case-well-def
    apply (auto)
    by metis
then obtain acc mss where a1b1c1-connect:
   $(acc,mss) \in set (base-case-info-M)$ 
   $(a1, (b1, c1)) = reduce-system-R (eval-mpoly-poly val p) ([1],mss)$ 
  by auto
then have mss-is: mss = base-case-info-R
  unfolding base-case-info-M-def
  by auto
obtain a b c where abc-prop:  $(a, b, c) = reduction-step-R ?m ?signs ?subs$ 
(solve-for-lhs-R ?eval-p [1] ?subs ?m)
  by (metis reduction-step-R.simps)
then have (a, b, c) = (a1, b1, c1)
  using abc-prop a1b1c1-prop
  by (metis a1b1c1-connect(2) base-case-info-R-def mss-is reduce-system-R.simps)

then have mat-eq-is:  $(a, b, map (drop (Suc 0)) c) = mat-eq$ 
  using a1b1c1-prop(2) by (auto)
have qs = []  $\implies$  mat-eq = (a, b, map (drop (Suc 0)) c)  $\implies$ 
  (case reduce-system-R (eval-mpoly-poly val p) ([1], base-case-info-R) of
  (a, b, c)  $\Rightarrow$  (a, b, map (drop (Suc 0)) c)) = (a, b, map (drop (Suc 0)) c)
  using abc-prop unfolding base-case-info-R-def
  using old.prod.case reduce-system-R.simps
  by (smt (verit, ccfv-SIG))
then have calculate-data-R ?eval-p (map (eval-mpoly-poly val) qs) = mat-eq
  using * mat-eq-is
  by simp
}
moreover {assume *: length qs = 1
have meq: (assumps, mat-eq)  $\in$  set (reduce-system-M p qs base-case-info-M)
  using * le-eq-less-or-eq less(2) one-neq-zero by auto
have **:  $\bigwedge init-assumps init-mat-eq Is1 Is2 n subs m signs.$ 
  (init-assumps, m, subs, signs)  $\in$  set base-case-info-M  $\implies$ 
  (Is1, Is2)  $\in$  set subs  $\implies$ 
  n  $\in$  set Is1  $\vee$  n  $\in$  set Is2  $\implies$ 
  n < length qs unfolding *
  using base-case-info-M-well-def
  by meson
from reduce-system-M-univariate[OF meq less(3), of map (eval-mpoly-poly val) qs]
  obtain acc mss where ams:  $(acc,mss) \in set base-case-info-M$ 

```

```

mat-eq = reduce-system-R (eval-mpoly-poly val p)
  (map (eval-mpoly-poly val) qs, mss)
  using ** by blast
then have mss = base-case-info-R unfolding base-case-info-M-def
  by auto
then have calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val)
qs) = mat-eq
  using ams * by simp
}

moreover {assume *: length qs > 1
have inset: (assumps, mat-eq) ∈ set (calculate-data-M p qs)
  using less.preds(1) by auto
let ?len = length qs
let ?q1 = take (?len div 2) qs
let ?q2 = drop (?len div 2) qs
let ?left = calculate-data-M p ?q1
let ?right = calculate-data-M p ?q2
let ?comb = combine-systems-M p ?q1 ?left ?q2 ?right
let ?eval-p = (eval-mpoly-poly val p)
let ?eval-q1 = (map (eval-mpoly-poly val) ?q1)
let ?eval-q2 = (map (eval-mpoly-poly val) ?q2)
have map-q1: map (eval-mpoly-poly val) ?q1 =
  (take (length (map (eval-mpoly-poly val) qs) div 2) (map (eval-mpoly-poly val)
qs))
  by (auto simp add: take-map)
have map-q2: map (eval-mpoly-poly val) ?q2 =
  (drop (length (map (eval-mpoly-poly val) qs) div 2) (map (eval-mpoly-poly val)
qs))
  by (auto simp add: drop-map)
have fst ?comb = qs
  by auto
then have (assumps, mat-eq) ∈ set (reduce-system-M p qs (snd ?comb))
  using inset *
by (smt (verit) calculate-data-M.simps less-numeral-extra(2) less-one nat-less-le)
then have (assumps, mat-eq) ∈ set (concat (map (reduce-system-single-M p
qs) (snd ?comb)))
  by (metis reduce-system-M.simps)
then have ∃ sys. sys ∈ set (snd ?comb) ∧ (assumps, mat-eq) ∈ set(reduce-system-single-M
p qs sys)
  using concat-map-in-set in-set-member
  by (metis nth-mem)
then obtain a-pre me-pre where reduce-prop: (a-pre, me-pre) ∈ set (snd
?comb)
  (assumps, mat-eq) ∈ set(reduce-system-single-M p qs (a-pre, me-pre))
  by fastforce
then obtain a1 me1 a2 me2 where mes-prop: (a1, me1) ∈ set ?left
  (a2, me2) ∈ set ?right
  (a-pre, me-pre) = combine-systems-single-M p ?q1 (a1, me1) ?q2 (a2, me2)
}

```

```

    by auto
then have a-pre: a-pre = a1@a2
    by auto
have lengt: length qs div 2 ≥ 1
    using * by auto
then have len-q1: length ?q1 < length qs
    by auto
have len-q2: length ?q2 < length qs
    using lengt by auto
obtain mat-pre subs-pre signs-pre where me-decomp:
  me-pre = (mat-pre,subs-pre,signs-pre)
  using mes-prop
  using prod-cases3 by blast
then have assmss ∈ set (map fst (solve-for-lhs-M p a-pre qs subs-pre mat-pre))
  using reduce-prop(2) by auto
then have assmss ∈ set (map fst (construct-rhs-vector-M p a-pre qs subs-pre))
  unfolding solve-for-lhs-M-def by auto
then obtain a-rhs-list where (assmss, a-rhs-list)
  ∈ set (construct-rhs-vector-rec-M p a-pre (pull-out-pairs qs subs-pre))
  unfolding construct-rhs-vector-M-def by auto
then have a-pre-subset: set a-pre ⊆ set assmss
  using construct-rhs-vector-rec-M-subset-prop[of assmss - p a-pre (pull-out-pairs
  qs subs-pre)]
  by auto
have set-a1: set a1 ⊆ set assmss
  using a-pre-subset a-pre by auto
then have a1-satisfies: (∀p n. (p, n) ∈ set a1 ⇒ satisfies-evaluation val p n)
  using less(3) by blast
from less.hyps[of ?q1 a1 me1, OF len-q1 mes-prop(1) a1-satisfies]
have me1-ind: calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) ?q1) = me1
  using less(4) by blast
have set-a2: set a2 ⊆ set assmss
  using a-pre-subset a-pre by auto
then have a2-satisfies: (∀p n. (p, n) ∈ set a2 ⇒ satisfies-evaluation val p n)
  using less(3) by blast
from less.hyps[of ?q2 a2 me2, OF len-q2 mes-prop(2) a2-satisfies]
have me2-ind: calculate-data-R ?eval-p (map (eval-mpoly-poly val) ?q2) = me2
  using less(4) by blast
have a-pre = a1 @ a2 ⇒ me-pre =
  snd (combine-systems-R p (take (length qs div 2) qs, me1)
    (drop (length qs div 2) qs, me2)) ⇒
  snd (combine-systems-R p (take (length qs div 2) qs, me1)
    (drop (length qs div 2) qs, me2)) =
  snd (combine-systems-R (eval-mpoly-poly val p)
    (map (eval-mpoly-poly val) (take (length qs div 2) qs), me1)
    (map (eval-mpoly-poly val) (drop (length qs div 2) qs), me2)))
using combine-systems-R-snd
by (metis length-map)

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```

then have me-pre: me-pre = snd (combine-systems-R (eval-mpoly-poly val p)
((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2))
using mes-prop(3)
by (auto)
obtain mat-pre1 subs-pre1 signs-pre1 where me1-decomp:
me1 = (mat-pre1,subs-pre1,signs-pre1)
using prod-cases3 by blast
obtain mat-pre2 subs-pre2 signs-pre2 where me2-decomp:
me2 = (mat-pre2,subs-pre2,signs-pre2)
using prod-cases3 by blast
have fst-comb: fst (combine-systems-R ?eval-p ((map (eval-mpoly-poly val) ?q1),
me1) ((map (eval-mpoly-poly val) ?q2), me2)) = (map (eval-mpoly-poly val) ?q1)
@ (map (eval-mpoly-poly val) ?q2)
proof -
have fst (combine-systems-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly
val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2)) =
fst (smash-systems-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) ?q1)
(map (eval-mpoly-poly val) ?q2) subs-pre1 subs-pre2 signs-pre1 signs-pre2 mat-pre1
mat-pre2)
using combining-to-smash-R me1-decomp me2-decomp by force
then show ?thesis
unfolding smash-systems-R-def by auto
qed
then have map (eval-mpoly-poly val) qs = fst (combine-systems-R (eval-mpoly-poly
val p) ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2),
me2))
by (metis append-take-drop-id map-append)
then have me-pre-var: (map (eval-mpoly-poly val) qs, me-pre) = (combine-systems-R
(eval-mpoly-poly val p) ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly
val) ?q2), me2))
using me-pre by auto
have len-hyp: length (map (eval-mpoly-poly val) qs) > 1
using * by auto
have len-eq: length (map (eval-mpoly-poly val) qs) = length qs
by simp
have len-q1-gt0: length (map (eval-mpoly-poly val) ?q1) > 0
using len-hyp len-eq by auto
have len-q2-gt0: length (map (eval-mpoly-poly val) ?q2) > 0
using len-hyp len-eq by auto
let ?uni-sys-q1 = calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) ?q1)
have sat-props-q1-univ: satisfies-properties-R ?eval-p (map (eval-mpoly-poly val)
?q1) (get-subsets-R ?uni-sys-q1) (get-signs-R ?uni-sys-q1) (get-matrix-R ?uni-sys-q1)
using calculate-data-satisfies-properties-R[of ?eval-p (map (eval-mpoly-poly
val) (take (length qs div 2) qs))]
len-q1-gt0 using less.prems(3) by auto
let ?uni-sys-q2 = calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) ?q2)
have sat-props-q2-univ: satisfies-properties-R (eval-mpoly-poly val p) (map

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(eval-mpoly-poly val) ?q2) (get-subsets-R ?uni-sys-q2) (get-signs-R ?uni-sys-q2)
(get-matrix-R ?uni-sys-q2)
  using calculate-data-satisfies-properties-R[of (eval-mpoly-poly val p) (map
(eval-mpoly-poly val) (drop (length qs div 2) qs))]
  len-q2-gt0 using less.prems(3) by auto
  have comb-satisfies: satisfies-properties-R ?eval-p (?eval-q1@(map (eval-mpoly-poly
val) ?q2))
  (get-subsets-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))))

  (get-signs-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))))

  (get-matrix-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))))

  using combining-sys-satisfies-properties-R[of ?eval-p ?eval-q1 ?eval-q2] len-q1-gt0
len-q2-gt0 less.prems(3)
  sat-props-q2-univ sat-props-q1-univ
  by auto
  then have well-def-subs-pre: all-list-constr-R (get-subsets-R (snd ((combine-systems-R
?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))) (length (?eval-q1@?eval-q2)))
  unfolding satisfies-properties-R-def by auto
  have get-subs-pre: (get-subsets-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1)
(?eval-q2,?uni-sys-q2))))) = subs-pre
  using me-decomp unfolding get-subsets-R-def
  by (metis fst-conv me1-ind me2-ind me-pre-var snd-conv)
  have well-def: ( $\bigwedge$  Is1 Is2 n. (Is1, Is2)  $\in$  set (fst (snd me-pre))  $\implies$  n  $\in$  set Is1
 $\vee$  n  $\in$  set Is2  $\implies$  n < length qs)
  using well-def-subs-pre get-subs-pre
  all-list-constr-R-matches-well-def[of subs-pre]
  by (smt (verit, ccfv-SIG) fst-comb fst-conv get-subsets-R-def length-map
me1-ind me2-ind me-pre-var snd-conv)
  then have reduce-mat-eq: mat-eq = reduce-system-R (eval-mpoly-poly val p)
((map (eval-mpoly-poly val) qs), me-pre)
  using reduce-system-single-M-univariate[OF reduce-prop(2) less.prems(2)]
  by (metis prod.exhaustsel)
  let ?eval-qs = (map (eval-mpoly-poly val) qs)
  have calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) qs) =
  (let q1 = take ((length ?eval-qs) div 2) ?eval-qs; left = calculate-data-R ?eval-p
q1;
  q2 = drop ((length ?eval-qs) div 2) ?eval-qs; right = calculate-data-R ?eval-p
q2;
  comb = combine-systems-R ?eval-p (q1, left) (q2, right) in
  reduce-system-R ?eval-p comb)
  using len-hyp
  by (smt (z3) calculate-data-R.simps less-one nat-less-le semiring-norm(136))

moreover have ... = (let q1 = (map (eval-mpoly-poly val) ?q1);
left = calculate-data-R (eval-mpoly-poly val p) q1;
q2 = (map (eval-mpoly-poly val) ?q2);
right = calculate-data-R (eval-mpoly-poly val p) q2

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```

in Let (combine-systems-R (eval-mpoly-poly val p) (q1, left) (q2, right))
      (reduce-system-R (eval-mpoly-poly val p)))
  using map-q1 map-q2 by auto
moreover have ... = (let q1 = (map (eval-mpoly-poly val) ?q1); left = me1;
q2 = (map (eval-mpoly-poly val) ?q2); right = me2 in
Let (combine-systems-R (eval-mpoly-poly val p) (q1, left) (q2, right))
      (reduce-system-R (eval-mpoly-poly val p)))
  unfolding Let-def me1-ind me2-ind by auto
moreover have ... = mat-eq
  unfolding Let-def reduce-mat-eq me-pre-var by auto
ultimately have calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs) = mat-eq
  by auto
}
ultimately show ?case by blast
qed

lemma calculate-data-M-assumps-univariate:
assumes mat-eq: (assumps, mat-eq) ∈ set (calculate-data-assumps-M p qs init-assumps)
assumes ∏p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
assumes p-nonzero: eval-mpoly-poly val p ≠ 0
shows calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) qs) =
mat-eq
using assms
proof (induct length qs arbitrary: val p mat-eq assumps qs rule: less-induct)
case (less qs val p mat-eq assumps)
have length qs = 0 ∨ length qs = 1 ∨ length qs > 1
  by (meson less-one nat-neq-iff)
moreover {assume *: length qs = 0
let ?m = (mat-of-rows-list 3 [[1,1,1], [0,1,0], [1,0,-1]])
let ?subs = [[[], []], [[0], []], [[], [0]]]
let ?signs = [[1],[0],[-1]]
let ?eval-p = eval-mpoly-poly val p
have mat-eq-in: (assumps, mat-eq) ∈ set (calculate-data-assumps-M p [] init-assumps)
  using * less.prem(1) by auto
let ?map-base = map (λ(assumps, (a, (b, c))). (assumps, (a, b, map (drop 1) c))) (reduce-system-M p [1] (base-case-info-M-assumps init-assumps))
have (assumps, mat-eq) ∈ set ?map-base
  using mat-eq-in
  by auto
then obtain a1 b1 c1 where a1b1c1-prop:
  (assumps, (a1, (b1, c1))) ∈ set (reduce-system-M p [1] (base-case-info-M-assumps
init-assumps))
  mat-eq = (a1, (b1, map (drop 1) c1))
  by auto
have base-case-well-def: ∏in-a init-mat-eq Is1 Is2 n subs m signs.
  (in-a, m, subs, signs) ∈ set (base-case-info-M-assumps init-assumps) ⇒
  (Is1, Is2) ∈ set subs ⇒ n ∈ set Is1 ∨ n ∈ set Is2 ⇒ n < length [1]
  using base-case-with-assumps-info-M-well-def
}
ultimately show ?case by blast
qed

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    by auto
  have [1] = map (eval-mpoly-poly val) [1]
    unfolding eval-mpoly-poly-def eval-mpoly-def
    by auto
  then have  $\exists acc\ mss. (acc,mss) \in set ((base-case-info-M-assumps init-assumps))$ 
 $\wedge (a1, (b1, c1)) = reduce-system-R (eval-mpoly-poly val p) ([1],mss)$ 
    using reduce-system-M-univariate[of assumps (a1, b1, c1) p [1] (base-case-info-M-assumps init-assumps) val [1]]
      a1b1c1-prop less(3) base-case-well-def apply (auto)
    by metis
  then obtain acc mss where a1b1c1-connect:
     $(acc,mss) \in set ((base-case-info-M-assumps init-assumps))$ 
     $(a1, (b1, c1)) = reduce-system-R (eval-mpoly-poly val p) ([1],mss)$ 
    by auto
  then have mss-is: mss = base-case-info-R
    unfolding base-case-info-M-assumps-def
    using Renegar-Algorithm.base-case-info-R-def Renegar-Algorithm.base-case-info-R-def
    by auto
  obtain a b c where abc-prop:  $(a, b, c) = reduction-step-R ?m ?signs ?subs$ 
  (solve-for-lhs-R ?eval-p [1] ?subs ?m)
    by (metis reduction-step-R.simps)
  then have (a, b, c) = (a1, b1, c1)
    using abc-prop a1b1c1-prop
    by (metis a1b1c1-connect(2) base-case-info-R-def mss-is reduce-system-R.simps)

  then have mat-eq-is:  $(a, b, map (drop (Suc 0)) c) = mat-eq$ 
    using a1b1c1-prop(2) by (auto)
  have (a, b, c) =
    reduction-step-R (mat-of-rows-list 3 [[1, 1, 1], [0, 1, 0], [1, 0, -1]])
    [[1], [0], [-1]] [[[], []], ([0], []), ([], [0])]
    (solve-for-lhs-R (eval-mpoly-poly val p) [1]
      [[[], []], ([0], []), ([], [0])])
    (mat-of-rows-list 3 [[1, 1, 1], [0, 1, 0], [1, 0, -1]]))
    using abc-prop
    unfolding base-case-info-R-def
    by (smt (verit) old.prod.case reduce-system-R.simps)
  then have calculate-data-R ?eval-p (map (eval-mpoly-poly val) qs) = mat-eq
    using mat-eq-is *
    by (smt (z3) a1b1c1-connect(2) a1b1c1-prop(2) calculate-data-R.simps length-map
    mss-is split-conv)
  }
  moreover {assume *: length qs = 1
  have meq:  $(assumps, mat-eq) \in set (reduce-system-M p qs (base-case-info-M-assumps init-assumps))$ 
    using * le-eq-less-or-eq less(2) one-neq-zero by auto
  have **:  $\bigwedge init-assumps init-mat-eq Is1\ Is2\ n\ subs\ m\ signs.$ 
    ( $init-assumps, m, subs, signs \in set (base-case-info-M-assumps init-assumps)$ )
  ==>
    ( $Is1, Is2 \in set subs \implies$ 

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 $n \in \text{set } Is1 \vee n \in \text{set } Is2 \implies$ 
 $n < \text{length } qs \text{ unfolding } *$ 
using base-case-with-assumps-info-M-well-def
by meson
from reduce-system-M-univariate[OF meq less(3), of map (eval-mpoly-poly val)
qs]
obtain acc mss where ams: (acc,mss)  $\in$  set (base-case-info-M-assumps init-assumps)

mat-eq = reduce-system-R (eval-mpoly-poly val p)
(map (eval-mpoly-poly val) qs, mss)
using **
apply (auto)
by (smt (z3) * base-case-with-assumps-info-M-well-def)
then have mss = base-case-info-R unfolding base-case-info-M-assumps-def
using Renegar-Algorithm.base-case-info-R-def Renegar-Algorithm.base-case-info-R-def
by auto
then have calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val)
qs) = mat-eq
using ams * by simp
}

moreover {assume *: length qs > 1
have inset: (assumps, mat-eq)  $\in$  set (calculate-data-assumps-M p qs init-assumps)
using less.preds(1)
by auto
let ?len = length qs
let ?q1 = take (?len div 2) qs
let ?q2 = drop (?len div 2) qs
let ?left = calculate-data-assumps-M p ?q1 init-assumps
let ?right = calculate-data-assumps-M p ?q2 init-assumps
let ?comb = combine-systems-M p ?q1 ?left ?q2 ?right
let ?eval-p = (eval-mpoly-poly val p)
let ?eval-q1 = (map (eval-mpoly-poly val) ?q1)
let ?eval-q2 = (map (eval-mpoly-poly val) ?q2)
have map-q1: map (eval-mpoly-poly val) ?q1 =
(take (length (map (eval-mpoly-poly val) qs) div 2) (map (eval-mpoly-poly val)
qs))
by (auto simp add: take-map)
have map-q2: map (eval-mpoly-poly val) ?q2 =
(drop (length (map (eval-mpoly-poly val) qs) div 2) (map (eval-mpoly-poly val)
qs))
by (auto simp add: drop-map)
have fst ?comb = qs
by auto
then have (assumps, mat-eq)  $\in$  set (reduce-system-M p qs (snd ?comb))
using inset *
by (smt (z3) calculate-data-assumps-M.simps gr-implies-not0 less-one nat-less-le)

then have (assumps, mat-eq)  $\in$  set (concat (map (reduce-system-single-M p

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```

qs) (snd ?comb)))
  by (metis reduce-system-M.simps)
then have  $\exists sys. sys \in set(snd ?comb) \wedge (assumps, mat-eq) \in set(reduce-system-single-M p qs sys)$ 
  using concat-map-in-set in-set-member
  by (metis nth-mem)
then obtain a-pre me-pre where reduce-prop: (a-pre, me-pre)  $\in$  set (snd ?comb)
  (assumps, mat-eq)  $\in$  set(reduce-system-single-M p qs (a-pre, me-pre))
  by fastforce
then obtain a1 me1 a2 me2 where mes-prop: (a1, me1)  $\in$  set ?left
  (a2, me2)  $\in$  set ?right
  (a-pre, me-pre) = combine-systems-single-M p ?q1 (a1, me1) ?q2 (a2, me2)
  by auto
then have a-pre: a-pre = a1@a2
  by auto
have lengt: length qs div 2  $\geq$  1
  using * by auto
then have len-q1: length ?q1 < length qs
  by auto
have len-q2: length ?q2 < length qs
  using lengt by auto
obtain mat-pre subs-pre signs-pre where me-decomp:
  me-pre = (mat-pre, subs-pre, signs-pre)
  using mes-prop
  using prod-cases3 by blast
then have assumps  $\in$  set (map fst (solve-for-lhs-M p a-pre qs subs-pre mat-pre))
  using reduce-prop(2) by auto
then have assumps  $\in$  set (map fst (construct-rhs-vector-M p a-pre qs subs-pre))
  unfolding solve-for-lhs-M-def by auto
then obtain a-rhs-list where (assumps, a-rhs-list)
   $\in$  set (construct-rhs-vector-rec-M p a-pre (pull-out-pairs qs subs-pre))
  unfolding construct-rhs-vector-M-def by auto
then have a-pre-subset: set a-pre  $\subseteq$  set assumps
  using construct-rhs-vector-rec-M-subset-prop[of assumps - p a-pre (pull-out-pairs qs subs-pre)]
  by auto
have set-a1: set a1  $\subseteq$  set assumps
  using a-pre-subset a-pre by auto
then have a1-satisfies: ( $\bigwedge p n. (p, n) \in$  set a1  $\implies$  satisfies-evaluation val p n)
  using less(3) by blast
from less.hyps[of ?q1 a1 me1, OF len-q1 mes-prop(1) a1-satisfies]
have me1-ind: calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) ?q1) = me1
  using less(4) by blast
have set-a2: set a2  $\subseteq$  set assumps
  using a-pre-subset a-pre by auto
then have a2-satisfies: ( $\bigwedge p n. (p, n) \in$  set a2  $\implies$  satisfies-evaluation val p n)
  using less(3) by blast

```

```

from less.hyps[of ?q2 a2 me2, OF len-q2 mes-prop(2) a2-satisfies]
have me2-ind: calculate-data-R ?eval-p (map (eval-mpoly-poly val) ?q2) = me2
  using less(4) by blast
have me-pre: me-pre = snd (combine-systems-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2))
  using mes-prop(3) combine-systems-R-snd length-map
  by (metis combine-systems-single-M.simps snd-conv)
obtain mat-pre1 subs-pre1 signs-pre1 where me1-decomp:
  me1 = (mat-pre1,subs-pre1,signs-pre1)
  using prod-cases3 by blast
obtain mat-pre2 subs-pre2 signs-pre2 where me2-decomp:
  me2 = (mat-pre2,subs-pre2,signs-pre2)
  using prod-cases3 by blast
have fst-comb: fst (combine-systems-R ?eval-p ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2)) = (map (eval-mpoly-poly val) ?q1)
@ (map (eval-mpoly-poly val) ?q2)
proof -
  have fst (combine-systems-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2)) =
    fst (smash-systems-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) ?q1) (map (eval-mpoly-poly val) ?q2) subs-pre1 subs-pre2 signs-pre1 signs-pre2 mat-pre1 mat-pre2)
    using combining-to-smash-R me1-decomp me2-decomp by force
  then show ?thesis
    unfolding smash-systems-R-def by auto
qed
then have map (eval-mpoly-poly val) qs = fst (combine-systems-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2))
  by (metis append-take-drop-id map-append)
then have me-pre-var: (map (eval-mpoly-poly val) qs, me-pre) = (combine-systems-R (eval-mpoly-poly val p) ((map (eval-mpoly-poly val) ?q1), me1) ((map (eval-mpoly-poly val) ?q2), me2))
  using me-pre by auto
have len-hyp: length (map (eval-mpoly-poly val) qs) > 1
  using * by auto
have len-eq: length (map (eval-mpoly-poly val) qs) = length qs
  by simp
have len-q1-gt0: length (map (eval-mpoly-poly val) ?q1) > 0
  using len-hyp len-eq by auto
have len-q2-gt0: length (map (eval-mpoly-poly val) ?q2) > 0
  using len-hyp len-eq by auto
let ?uni-sys-q1 = calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) ?q1)
have sat-props-q1-univ: satisfies-properties-R ?eval-p (map (eval-mpoly-poly val) ?q1) (get-subsets-R ?uni-sys-q1) (get-signs-R ?uni-sys-q1) (get-matrix-R ?uni-sys-q1)
  using calculate-data-satisfies-properties-R[of ?eval-p (map (eval-mpoly-poly val) (take (length qs div 2) qs))][len-q1-gt0] using less.preds(3) by auto

```

```

let ?uni-sys-q2 = calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) ?q2)
    have sat-props-q2-univ: satisfies-properties-R (eval-mpoly-poly val p) (map
(eval-mpoly-poly val) ?q2) (get-subsets-R ?uni-sys-q2) (get-signs-R ?uni-sys-q2)
(get-matrix-R ?uni-sys-q2)
    using calculate-data-satisfies-properties-R[of (eval-mpoly-poly val p) (map
(eval-mpoly-poly val) (drop (length qs div 2) qs))]
    len-q2-gt0 using less.prems(3) by auto
have comb-satisfies: satisfies-properties-R ?eval-p (?eval-q1@(map (eval-mpoly-poly
val) ?q2))
    (get-subsets-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))))

    (get-signs-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))))

    (get-matrix-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))))

    using combining-sys-satisfies-properties-R[of ?eval-p ?eval-q1 ?eval-q2] len-q1-gt0
len-q2-gt0 less.prems(3)
    sat-props-q2-univ sat-props-q1-univ
    by auto
then have well-def-subs-pre: all-list-constr-R (get-subsets-R (snd ((combine-systems-R
?eval-p (?eval-q1,?uni-sys-q1) (?eval-q2,?uni-sys-q2)))) (length (?eval-q1@?eval-q2)))
    unfolding satisfies-properties-R-def by auto
have get-subs-pre: (get-subsets-R (snd ((combine-systems-R ?eval-p (?eval-q1,?uni-sys-q1)
(?eval-q2,?uni-sys-q2))))) = subs-pre
    using me-decomp unfolding get-subsets-R-def
    by (metis fst-conv me1-ind me2-ind me-pre-var snd-conv)
have well-def: ( $\bigwedge$  Is1 Is2 n. (Is1, Is2)  $\in$  set (fst (snd me-pre))  $\implies$  n  $\in$  set Is1
 $\vee$  n  $\in$  set Is2  $\implies$  n < length qs)
    using well-def-subs-pre get-subs-pre
    all-list-constr-R-matches-well-def[of subs-pre]
    by (smt (verit, ccfv-SIG) fst-comb fst-conv get-subsets-R-def length-map
me1-ind me2-ind me-pre-var snd-conv)
    then have reduce-mat-eq: mat-eq = reduce-system-R (eval-mpoly-poly val p)
((map (eval-mpoly-poly val) qs), me-pre)
    using reduce-system-single-M-univariate[OF reduce-prop(2) less.prems(2)]
    by (metis prod.exhaustsel)
let ?eval-qs = (map (eval-mpoly-poly val) qs)
have calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly val) qs) =
    (let q1 = take ((length ?eval-qs) div 2) ?eval-qs; left = calculate-data-R ?eval-p
q1;
    q2 = drop ((length ?eval-qs) div 2) ?eval-qs; right = calculate-data-R ?eval-p
q2;
    comb = combine-systems-R ?eval-p (q1, left) (q2, right) in
    reduce-system-R ?eval-p comb)
    using len-hyp
    by (smt (z3) calculate-data-R.simps less-one nat-less-le semiring-norm(136))

moreover have ... = (let q1 = (map (eval-mpoly-poly val) ?q1);

```

```

left = calculate-data-R (eval-mpoly-poly val p) q1;
q2 = (map (eval-mpoly-poly val) ?q2);
right = calculate-data-R (eval-mpoly-poly val p) q2
in Let (combine-systems-R (eval-mpoly-poly val p) (q1, left) (q2, right))
  (reduce-system-R (eval-mpoly-poly val p)))
using map-q1 map-q2 by auto
moreover have ... = (let q1 = (map (eval-mpoly-poly val) ?q1); left = me1;
  q2 = (map (eval-mpoly-poly val) ?q2); right = me2 in
  Let (combine-systems-R (eval-mpoly-poly val p) (q1, left) (q2, right))
    (reduce-system-R (eval-mpoly-poly val p)))
  unfolding Let-def me1-ind me2-ind by auto
moreover have ... = mat-eq
  unfolding Let-def reduce-mat-eq me-pre-var by auto
ultimately have calculate-data-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs) = mat-eq
  by auto
}
ultimately show ?case by blast
qed

lemma calculate-data-gives-signs-at-roots:
assumes (assumps, signs) ∈ set (calculate-data-to-signs (calculate-data-M p qs))
assumes ∨p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
assumes eval-mpoly-poly val p ≠ 0
shows signs = find-consistent-signs-at-roots-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs)
using assms calculate-data-M-univariate
unfolding find-consistent-signs-at-roots-R-def by auto

lemma calculate-data-gives-noncomp-signs-at-roots:
assumes (assumps, signs) ∈ set (calculate-data-to-signs (calculate-data-M p qs))
assumes ∨p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
assumes eval-mpoly-poly val p ≠ 0
shows set signs = set (characterize-consistent-signs-at-roots (eval-mpoly-poly val
p) (map (eval-mpoly-poly val) qs))
using assms find-consistent-signs-at-roots-R calculate-data-gives-signs-at-roots
by metis

lemma calculate-data-assumps-gives-signs-at-roots:
assumes (assumps, signs) ∈ set (calculate-data-to-signs (calculate-data-assumps-M
p qs init-assumps))
assumes ∨p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
assumes eval-mpoly-poly val p ≠ 0
shows signs = find-consistent-signs-at-roots-R (eval-mpoly-poly val p) (map (eval-mpoly-poly
val) qs)
using assms calculate-data-M-assumps-univariate
unfolding find-consistent-signs-at-roots-R-def
by auto

```

```

lemma calculate-data-assumps-gives-noncomp-signs-at-roots:
  assumes (assumps, signs) ∈ set (calculate-data-to-signs (calculate-data-assumps-M
  p qs init-assumps))
  assumes  $\bigwedge p n. (p, n) \in \text{set assumps} \implies \text{satisfies-evaluation val } p n$ 
  assumes eval-mpoly-poly val p ≠ 0
  shows set signs = set (characterize-consistent-signs-at-roots (eval-mpoly-poly val
  p) (map (eval-mpoly-poly val) qs))
  using assms find-consistent-signs-at-roots-R calculate-data-assumps-gives-signs-at-roots
  by metis

end

```

**theory** Hybrid-Multiv-Algorithm-Proofs

```

imports Hybrid-Multiv-Algorithm
  Hybrid-Multiv-Matrix-Proofs
  Virtual-Substitution.ExportProofs

```

**begin**

## 17.8 Lemmas about branching (lc assump generation)

```

lemma lc-assump-generation-induct[case-names Base Rec Lookup0 LookupN0]:
  fixes q :: real mpoly Polynomial.poly
  fixes assumps :: (real mpoly × rat) list
  assumes base:  $\bigwedge q \text{ assumps}. q = 0 \implies P q \text{ assumps}$ 
  and rec:  $\bigwedge q \text{ assumps}.$ 
     $\llbracket q \neq 0; \text{lookup-assump-aux } (\text{Polynomial.lead-coeff } q) \text{ assumps} = \text{None}; P (\text{one-less-degree } q) ((\text{Polynomial.lead-coeff } q, 0) \# \text{assumps}) \rrbracket \implies P q \text{ assumps}$ 
  and lookup0:  $\bigwedge q \text{ assumps}.$ 
     $\llbracket q \neq 0; \text{lookup-assump-aux } (\text{Polynomial.lead-coeff } q) \text{ assumps} = \text{Some } 0; P (\text{one-less-degree } q) \text{ assumps} \rrbracket \implies P q \text{ assumps}$ 
  and lookupN0:  $\bigwedge q \text{ assumps } r.$ 
     $\llbracket q \neq 0; \text{lookup-assump-aux } (\text{Polynomial.lead-coeff } q) \text{ assumps} = \text{Some } r; r \neq 0 \rrbracket \implies P q \text{ assumps}$ 
  shows P q assumps
  apply(induct q assumps rule: lc-assump-generation.induct)
  by (metis base rec lookup0 lookupN0 not-None-eq)

```

```

lemma lc-assump-generation-subset:
  assumes (branch-assms, branch-poly-list) ∈ set(lc-assump-generation q assumps)
  shows set assumps ⊆ set branch-assms

```

```

using assms
proof (induct q assumps rule: lc-assump-generation-induct)
  case (Base q assumps)
  then show ?case
    by (auto simp add: lc-assump-generation.simps)
next
  case (Rec q assumps)
  let ?zero = lc-assump-generation (one-less-degree q) ((Polynomial.lead-coeff q,
(0::rat)) # assumps)
  let ?one = ((Polynomial.lead-coeff q, (1::rat)) # assumps, q)
  let ?minus-one = ((Polynomial.lead-coeff q, (-1::rat)) # assumps, q)
  have (branch-assms, branch-poly-list) ∈ set (?one#?minus-one#?zero)
    using Rec.hyps Rec(4) lc-assump-generation.simps by auto
  then show ?case
    using Rec(3) by force
next
  case (Lookup0 q assumps)
  then show ?case
    using lc-assump-generation.simps
    by simp
next
  case (LookupN0 q assumps r)
  then show ?case
    by (auto simp add: lc-assump-generation.simps)
qed

lemma branch-init-assms-subset:
  assumes (branch-assms, branch-poly-list) ∈ set (lc-assump-generation-list qs
init-assumps)
  shows set init-assumps ⊆ set branch-assms
  using assms
proof (induct qs arbitrary: branch-assms branch-poly-list init-assumps)
  case Nil
  then show ?case
    by (simp add: lc-assump-generation-list.simps(1))
next
  case (Cons a bpl)
  then show ?case
    using lc-assump-generation-subset
    apply (auto simp add: lc-assump-generation-list.simps)
    by blast
qed

lemma prod-list-var-gen-nonzero:
  shows prod-list-var-gen qs ≠ 0
proof (induct qs)
  case Nil
  then show ?case by auto
next

```

```

case (Cons a qs)
then show ?case by auto
qed

lemma lc-assump-generation-inv:
assumes (a, q) ∈ set (lc-assump-generation init-q assumps)
shows q = (0::rmpoly) ∨ (∃ i. (lookup-assump-aux (Polynomial.lead-coeff q) a = Some i ∧ i ≠ 0))
using assms
proof (induct init-q assumps arbitrary: q a rule: lc-assump-generation-induct )
case (Base init-q assumps)
then show ?case
using lc-assump-generation.simps by auto
next
case (Rec init-q assumps)
let ?zero = lc-assump-generation (one-less-degree init-q) ((Polynomial.lead-coeff init-q, (0::rat)) # assumps)
let ?one = ((Polynomial.lead-coeff init-q, (1::rat)) # assumps, init-q)
let ?minus-one = ((Polynomial.lead-coeff init-q, (-1::rat)) # assumps, init-q)
have (a, q) ∈ set (?one # ?minus-one # ?zero)
using Rec.preds Rec.hyps(1) Rec.hyps(2) lc-assump-generation.simps
by auto
then have eo: (a, q) = ?one ∨ (a, q) = ?minus-one ∨ (a, q) ∈ set(?zero)
by auto
{assume *: (a, q) = ?one
then have q = (0::rmpoly) ∨ (∃ i. (lookup-assump-aux (Polynomial.lead-coeff q) a = Some i ∧ i ≠ 0))
by auto
}
moreover {assume *: (a, q) = ?minus-one
then have q = (0::rmpoly) ∨ (∃ i. (lookup-assump-aux (Polynomial.lead-coeff q) a = Some i ∧ i ≠ 0))
by auto
}
moreover {assume *: (a, q) ∈ set(?zero)
then have q = (0::rmpoly) ∨ (∃ i. (lookup-assump-aux (Polynomial.lead-coeff q) a = Some i ∧ i ≠ 0))
using Rec.hyps Rec.preds by auto
}
ultimately show ?case
using lc-assump-generation.simps
using eo by fastforce
next
case (Lookup0 init-q assumps)
then show ?case using lc-assump-generation.simps by auto
next
case (LookupN0 init-q assumps r)
then show ?case using lc-assump-generation.simps by auto

```

**qed**

```
lemma lc-assump-generation-list-inv:
  assumes val:  $\bigwedge p\ n.\ (p,n) \in \text{set branch-assms} \implies \text{satisfies-evaluation val } p\ n$ 
  assumes (branch-assms, branch-poly-list)  $\in \text{set (lc-assump-generation-list qs init-assumps)}$ 
  shows  $q \in \text{set branch-poly-list} \implies q = 0 \vee (\exists i.\ \text{lookup-assump-aux (Polynomial.lead-coeff } q) \text{ branch-assms} = \text{Some } i \wedge i \neq 0)$ 
  using assms
proof (induct qs arbitrary: q init-assumps branch-poly-list branch-assms)
case Nil
then have (branch-assms, branch-poly-list)  $\in \text{set } [(init-assumps, [])]$ 
  using lc-assump-generation-list.simps by auto
then have branch-poly-list = []
  using in-set-member
  by simp
then show ?case
  using Nil.prems(1)
  by simp
next
case (Cons a qs)
let ?rec = lc-assump-generation a init-assumps
have inset: (branch-assms, branch-poly-list)  $\in \text{set (}$ 
  concat (map (
     $\lambda(\text{new-assumps}, r).\ (\text{let list-rec} = lc-assump-generation-list qs \text{ new-assumps in}$ 
    map ( $\lambda\text{elem}.\ (\text{fst elem}, r\#(\text{snd elem}))$ ) list-rec) ) ?rec))
  using Cons.prems lc-assump-generation-list.simps
  by auto
then obtain new-assumps r where deconstruct-prop:
  (new-assumps, r)  $\in \text{set } ?rec$ 
  (branch-assms, branch-poly-list)  $\in \text{set } (\text{let list-rec} = lc-assump-generation-list qs \text{ new-assumps in}$ 
  map ( $\lambda\text{elem}.\ (\text{fst elem}, r\#(\text{snd elem}))$ ) list-rec)
  using inset
  by (metis (no-types, lifting) concat-map-in-set nth-mem prod.collapse split-def)
then obtain elem list-rec where list-rec-prop:
  list-rec = lc-assump-generation-list qs new-assumps
  elem  $\in \text{set list-rec}$ 
  (branch-assms, branch-poly-list) = (fst elem, r#(snd elem))
  by auto
then have pair-in-set: (branch-assms, snd elem)  $\in \text{set (lc-assump-generation-list qs new-assumps)}$ 
  using deconstruct-prop by auto
then have snd-elem-is:  $\bigwedge q.\ q \in \text{set (snd elem)} \implies$ 
   $q = 0 \vee (\exists i.\ \text{lookup-assump-aux (Polynomial.lead-coeff } q) \text{ branch-assms} = \text{Some } i \wedge i \neq 0)$ 
  using Cons.hyps
  by (simp add: local.Cons(3))
have ris-var:  $r = 0 \vee (\exists i.\ \text{lookup-assump-aux (Polynomial.lead-coeff } r) \text{ new-assumps}$ 
```

```

= Some i ∧ i ≠ 0)
using lc-assump-generation-inv[of new-assumps r a init-assumps] deconstruct-prop(1)

by auto
have set new-assumps ⊆ set branch-assms
using deconstruct-prop list-rec-prop
using pair-in-set branch-init-assms-subset by presburger
then have (∃ i. lookup-assump-aux (Polynomial.lead-coeff r) new-assumps =
Some i ∧ i ≠ 0) ==>
(∃ i. lookup-assump-aux (Polynomial.lead-coeff r) branch-assms = Some i ∧ i ≠
0)
using val lookup-assump-aux-subset-consistency
using local.Cons(3) by blast
then have ris: r = 0 ∨ (∃ i. lookup-assump-aux (Polynomial.lead-coeff r) branch-assms =
Some i ∧ i ≠ 0)
using ris-var by auto
then show ?case
using ris snd-elem-is list-rec-prop(3)
using Cons.prem(1) by auto
qed

```

## 17.9 Correctness of sign determination inner

```

lemma q-dvd-prod-list-var-prop:
assumes q ∈ set qs
assumes q ≠ 0
shows q dvd prod-list-var-gen qs using assms
proof (induct qs)
case Nil
then show ?case by auto
next
case (Cons a qs)
then have eo: q = a ∨ q ∈ set qs by auto
have c1: q = a ==> q dvd prod-list-var-gen (a#qs)
proof -
assume q = a
then have prod-list-var-gen (a#qs) = q*(prod-list-var-gen qs) using Cons.prem
unfolding prod-list-var-gen-def by auto
then show ?thesis using prod-list-var-gen-nonzero[of qs] by auto
qed
have c2: q ∈ set qs —> q dvd prod-list-var-gen qs
using Cons.prem Cons.hyps unfolding prod-list-var-gen-def by auto
show ?case using eo c1 c2 by auto
qed

```

```

lemma poly-p-nonzero-on-branch:
assumes assms: ∀ p n. (p,n) ∈ set branch-assms ==> satisfies-evaluation val p n
assumes (branch-assms, branch-poly-list) ∈ set (lc-assump-generation-list qs
init-assumps)

```

```

assumes p = poly-p-in-branch (branch-assms, branch-poly-list)
shows eval-mpoly-poly val p ≠ 0
proof -
  {assume *: (check-all-const-deg-gen branch-poly-list = True)
   then have p-is: p = [:0, 1:]
   using assms
   using poly-p-in-branch.simps by presburger
   then have eval-mpoly-poly val p ≠ 0
   by (metis Polynomial.lead-coeff-monom degree-0 dvd-refl eval-commutes eval-mpoly-map-poly-comm-ring-hd
       not-is-unit-0 poly-dvd-1 x-as-monom)
  }
  moreover {assume *: (check-all-const-deg-gen branch-poly-list = False)
   then have p-is: p = (pderiv (prod-list-var-gen branch-poly-list)) * (prod-list-var-gen
   branch-poly-list)
   using assms
   using poly-p-in-branch.simps by presburger
   then have assms-inv: ∀q. q ∈ set branch-poly-list ⇒ q = 0 ∨ (∃i. lookup-assump-aux
   (Polynomial.lead-coeff q) branch-assms = Some i ∧ i ≠ 0)
   using lc-assump-generation-list-inv assms
   by (meson assms(2))
   have q-inv: ∀q. q ∈ set branch-poly-list ⇒ q ≠ 0 ⇒ eval-mpoly-poly val q
   ≠ 0
   using assms-inv assms
   by (metis eval-commutes leading-coeff-0-iff lookup-assum-aux-mem satis-
   fies-evaluation-nonzero)
   then have ∀q. q ∈ set branch-poly-list ⇒ (q ≠ 0 ↔ eval-mpoly-poly val q
   ≠ 0)
   by auto
   then have prod-list-eval: (eval-mpoly-poly val (prod-list-var-gen branch-poly-list))
   = (prod-list-var-gen (map (eval-mpoly-poly val) branch-poly-list))
   proof (induct branch-poly-list)
     case Nil
     then show ?case by auto
   next
     case (Cons a branch-poly-list)
     { assume *: a = 0
      then have h1: eval-mpoly-poly val (prod-list-var-gen (a # branch-poly-list))
      =
        eval-mpoly-poly val (prod-list-var-gen branch-poly-list)
      by simp
      have eval-mpoly-poly val a = 0
      using * by auto
      then have h2: prod-list-var-gen (map (eval-mpoly-poly val) (a # branch-poly-list))
      = prod-list-var-gen (map (eval-mpoly-poly val) branch-poly-list)
      by auto
      then have eval-mpoly-poly val (prod-list-var-gen (a # branch-poly-list)) =
      prod-list-var-gen (map (eval-mpoly-poly val) (a # branch-poly-list))
      using Cons.hyps Cons.prem h1 h2
    }
  }

```

```

    by (simp add: member-rec(1))
}
moreover { assume *:  $a \neq 0$ 
  then have h1: eval-mpoly-poly val (prod-list-var-gen (a # branch-poly-list))
=
  (eval-mpoly-poly val a)*(eval-mpoly-poly val (prod-list-var-gen branch-poly-list))
    by (simp add: eval-mpoly-poly-comm-ring-hom.hom-mult)
  have eval-mpoly-poly val a  $\neq 0$ 
    using * assms Cons.prefs
    by (meson list.set-intros(1))
  then have h2: prod-list-var-gen (map (eval-mpoly-poly val) (a # branch-poly-list))
    = (eval-mpoly-poly val a)* prod-list-var-gen (map (eval-mpoly-poly val)
branch-poly-list)
    by auto
  have eval-mpoly-poly val (prod-list-var-gen (a # branch-poly-list)) =
    prod-list-var-gen (map (eval-mpoly-poly val) (a # branch-poly-list))
    using Cons.hyps Cons.prefs h1 h2
    by (simp add: member-rec(1))
}
ultimately show ?case
by auto
qed
have degree-q-inv:  $\bigwedge q. q \in \text{set branch-poly-list} \implies q \neq 0 \implies \text{Polynomial.degree}(\text{eval-mpoly-poly val } q) = \text{Polynomial.degree } q$ 
  using assms-inv assms q-inv
  by (metis degree-evaluation lookup-assum-aux-mem)
have prod-nonz: eval-mpoly-poly val (prod-list-var-gen branch-poly-list)  $\neq 0$ 
  using q-inv prod-list-eval
  by (simp add: prod-list-var-gen-nonzero)
have ex-q:  $\exists q \in \text{set branch-poly-list}. (q \neq 0 \wedge \text{Polynomial.degree } q > 0)$ 
  using * proof (induct branch-poly-list)
  case Nil
  then show ?case by auto
next
  case (Cons a branch-poly-list)
  then show ?case
    by (metis bot-nat-0.not-eq-extremum check-all-const-deg-gen.simps(2) degree-0 list.set-intros(1) list.set-intros(2))
qed
obtain pos-deg-poly where pos-deg-poly: pos-deg-poly  $\in \text{set branch-poly-list}$   $\wedge$  pos-deg-poly  $\neq 0 \wedge 0 < \text{Polynomial.degree } pos-deg-poly$ 
  using ex-q by blast
have pos-deg-poly dvd (prod-list-var-gen branch-poly-list)
  by (simp add: Hybrid-Multiv-Algorithm-Proofs.q-dvd-prod-list-var-prop pos-deg-poly)
  then have nonc-dvd: (eval-mpoly-poly val pos-deg-poly) dvd (eval-mpoly-poly val (prod-list-var-gen branch-poly-list))
    by blast
  have Polynomial.degree (eval-mpoly-poly val pos-deg-poly)  $> 0$ 
    using pos-deg-poly degree-q-inv

```

```

    by metis
  then have prod-nonc:Polynomial.degree (eval-mpoly-poly val (prod-list-var-gen
branch-poly-list)) ≠ 0
    using nonc-dvd prod-nonc
    by (metis bot-nat-0.extremum-strict dvd-const)
  then have eval-mpoly-poly val p ≠ 0
    using prod-nonc prod-nonc
    by (metis eval-mpoly-poly-comm-ring-hom.hom-mult no-zero-divisors p-is
pderiv-commutes pderiv-eq-0-iff)
  }
  ultimately show ?thesis by auto
qed

lemma calc-data-to-signs-and-extract-signs:
  shows (calculate-data-to-signs ell) = extract-signs ell
  by auto

lemma branch-poly-eval:
  assumes (a, q) ∈ set (lc-assump-generation init-q init-assumps)
  assumes ⋀ p n. (p,n) ∈ set a ⇒ satisfies-evaluation val p n
  shows (eval-mpoly-poly val) q = (eval-mpoly-poly val) init-q
  using assms
proof (induct init-q init-assumps arbitrary: q a rule: lc-assump-generation-induct
)
  case (Base init-q init-assumps)
  then show ?case
    by (simp add: lc-assump-generation.simps)
next
  case (Rec init-q init-assumps)
  then show ?case using lc-assump-generation.simps
    basic-trans-rules(31) eval-mpoly-poly-one-less-degree in-set-member lc-assump-generation-subset
member-rec(1) option.case(1) prod.inject
    by (smt (verit, best))
next
  case (Lookup0 init-q init-assumps)
  then show ?case
    using lc-assump-generation.simps
    by (smt (z3) eval-mpoly-poly-one-less-degree lc-assump-generation-subset lookup-assum-aux-mem
option.simps(5) subset-eq)
next
  case (LookupN0 init-q init-assumps r)
  then show ?case
    by (simp add: lc-assump-generation.simps)
qed

lemma eval-prod-list-var-gen-match:
  assumes (branch-assms, branch-poly-list) ∈ set (lc-assump-generation-list qs
init-assumps)

```

```

assumes  $\bigwedge p\ n.\ (p,n) \in \text{set branch-assms} \implies \text{satisfies-evaluation val } p\ n$ 
shows eval-mpoly-poly val (prod-list-var-gen branch-poly-list) =
  prod-list-var-gen (map (eval-mpoly-poly val) branch-poly-list)
  using assms
proof (induct qs arbitrary: branch-assms branch-poly-list init-assumps val)
  case Nil
  then have (branch-assms, branch-poly-list) ∈ set [(init-assumps, [])]
    using lc-assump-generation-list.simps by auto
  then have branch-poly-list = []
    using in-set-member
    by simp
  then show ?case
    by simp
next
  case (Cons a qs)
  let ?rec = lc-assump-generation a init-assumps
  have inset: (branch-assms, branch-poly-list) ∈ set (
    concat (map (
      λ(new-assumps, r). (let list-rec = lc-assump-generation-list qs new-assumps in
        map (λelem. (fst elem, r#(snd elem))) list-rec) ) ?rec))
    using Cons.preds lc-assump-generation-list.simps
    by auto
  then obtain new-assumps r where deconstruct-prop:
    (new-assumps, r) ∈ set ?rec
    (branch-assms, branch-poly-list) ∈ set (let list-rec = lc-assump-generation-list qs
      new-assumps in
      map (λelem. (fst elem, r#(snd elem))) list-rec)
    using inset
    by (metis (no-types, lifting) concat-map-in-set nth-mem prod.collapse split-def)
  then obtain elem list-rec where list-rec-prop:
    list-rec = lc-assump-generation-list qs new-assumps
    elem ∈ set list-rec
    (branch-assms, branch-poly-list) = (fst elem, r#(snd elem))
    by auto
  then have branch-assms-inset: (branch-assms, snd elem) ∈ set (lc-assump-generation-list
    qs new-assumps)
    using deconstruct-prop by auto
  then have set new-assumps ⊆ set branch-assms
    using deconstruct-prop list-rec-prop branch-init-assms-subset
    by presburger
  have ris-var: r = 0 ∨ (∃ i. lookup-assump-aux (Polynomial.lead-coeff r) new-assumps
  = Some i ∧ i ≠ 0)
    using lc-assump-generation-inv[of new-assumps r a init-assumps] deconstruct-prop(1)
    by auto
  then have r-prop: r = 0 ↔ eval-mpoly-poly val r = 0
    by (metis ‹set new-assumps ⊆ set branch-assms› basic-trans-rules(31) eval-commutes
      eval-mpoly-poly-comm-ring-hom.hom-zero leading-coeff-0-iff local.Cons(3) lookup-assum-aux-mem
      satisfies-evaluation-nonzero)

```

```

have eval-mpoly-poly val (prod-list-var-gen (snd elem)) =
  prod-list-var-gen (map (eval-mpoly-poly val) (snd elem))
  using Cons.hyps list-rec-prop
  using branch-assms-inset local.Cons(3) by blast
then show ?case using r-prop list-rec-prop(3)
  by (simp add: eval-mpoly-poly-comm-ring-hom.hom-mult)
qed

lemma map-branch-poly-list:
  assumes (branch-assms, branch-poly-list) ∈ set (lc-assump-generation-list qs
init-assumps)
  assumes ⋀p n. (p,n) ∈ set branch-assms ⟹ satisfies-evaluation val p n
  shows (map (eval-mpoly-poly val) qs) = (map (eval-mpoly-poly val) branch-poly-list)
  using assms
proof (induct qs arbitrary: branch-assms branch-poly-list init-assumps)
  case Nil
  then have (branch-assms, branch-poly-list) ∈ set [(init-assumps, [])]
    using lc-assump-generation-list.simps by auto
  then have branch-poly-list = []
    using in-set-member
    by simp
  then show ?case
    using Nil.preds(1)
    by meson
next
  case (Cons a qs)
  let ?rec = lc-assump-generation a init-assumps
  have inset: (branch-assms,branch-poly-list) ∈ set (
    concat (map (
      λ(new-assumps, r). (let list-rec = lc-assump-generation-list qs new-assumps in
        map (λelem. (fst elem, r#(snd elem))) list-rec) ) ?rec ))
    using Cons.preds lc-assump-generation-list.simps
    by auto
  then obtain new-assumps r where deconstruct-prop:
    (new-assumps, r) ∈ set ?rec
    (branch-assms,branch-poly-list) ∈ set (let list-rec = lc-assump-generation-list qs
new-assumps in
      map (λelem. (fst elem, r#(snd elem))) list-rec)
    using inset
    by (metis (no-types, lifting) concat-map-in-set nth-mem prod.collapse split-def)
  then obtain elem list-rec where list-rec-prop:
    list-rec = lc-assump-generation-list qs new-assumps
    elem ∈ set list-rec
    (branch-assms,branch-poly-list) = (fst elem, r#(snd elem))
    by auto
  then have branch-assms-inset: (branch-assms, snd elem) ∈ set (lc-assump-generation-list
qs new-assumps)
    using deconstruct-prop by auto
  then have map-prop: map (eval-mpoly-poly val) (qs) =

```

```

map (eval-mpoly-poly val) (snd elem) using Cons.hyps Cons.prems
by blast
have set new-assumps  $\subseteq$  set branch-assms
using deconstruct-prop list-rec-prop branch-assms-inset branch-init-assms-subset

by presburger
then have eval-mpoly-poly val r = eval-mpoly-poly val a
using branch-poly-eval
by (meson basic-trans-rules(31) deconstruct-prop(1) local.Cons(3))
then show ?case
using map-prop list-rec-prop(3)
by simp
qed

lemma check-constant-degree-match:
assumes (a, q)  $\in$  set (lc-assump-generation init-q init-assumps)
assumes  $\bigwedge p n. (p,n) \in$  set a  $\implies$  satisfies-evaluation val p n
shows Polynomial.degree q = Polynomial.degree (eval-mpoly-poly val init-q)
using assms
proof (induct init-q init-assumps arbitrary: q a rule: lc-assump-generation-induct
)
case (Base init-q init-assumps)
then show ?case
using lc-assump-generation.simps
by simp
next
case (Rec init-q init-assumps)
then show ?case using lc-assump-generation.simps
by (metis branch-poly-eval degree-0 degree-evaluation eval-mpoly-poly-comm-ring-hom.hom-zero
lc-assump-generation-inv lookup-assum-aux-mem)
next
case (Lookup0 init-q init-assumps)
then show ?case using lc-assump-generation.simps
by (metis branch-poly-eval degree-0 degree-evaluation eval-mpoly-poly-comm-ring-hom.hom-zero
lc-assump-generation-inv lookup-assum-aux-mem)
next
case (LookupN0 init-q init-assumps r)
then show ?case
using lc-assump-generation.simps
by (metis branch-poly-eval degree-0 degree-evaluation eval-mpoly-poly-comm-ring-hom.hom-zero
lc-assump-generation-inv lookup-assum-aux-mem)
qed

lemma check-constant-degree-match-list:
assumes (branch-assms, branch-poly-list)  $\in$  set (lc-assump-generation-list qs
init-assumps)
assumes  $\bigwedge p n. (p,n) \in$  set branch-assms  $\implies$  satisfies-evaluation val p n
shows (check-all-const-deg-gen branch-poly-list) = (check-all-const-deg-gen (map
(eval-mpoly-poly val) qs))

```

```

using assms
proof (induct qs arbitrary: branch-assms branch-poly-list init-assumps)
  case Nil
    then have (branch-assms, branch-poly-list) ∈ set [(init-assumps, [])]
      using lc-assump-generation-list.simps
      by auto
    then have branch-poly-list = []
      using in-set-member
      by simp
    then show ?case
      by simp
  next
    case (Cons a qs)
      let ?rec = lc-assump-generation a init-assumps
      have inset: (branch-assms, branch-poly-list) ∈ set (
        concat (map (
          λ(new-assumps, r). (let list-rec = lc-assump-generation-list qs new-assumps in
            map (λelem. (fst elem, r#(snd elem))) list-rec) ) ?rec ))
        using Cons.prems lc-assump-generation-list.simps
        by auto
      then obtain new-assumps r where deconstruct-prop:
        (new-assumps, r) ∈ set ?rec
        (branch-assms, branch-poly-list) ∈ set (let list-rec = lc-assump-generation-list qs
          new-assumps in
          map (λelem. (fst elem, r#(snd elem))) list-rec)
        using inset
        by (metis (no-types, lifting) concat-map-in-set nth-mem prod.collapse split-def)
      then obtain elem list-rec where list-rec-prop:
        list-rec = lc-assump-generation-list qs new-assumps
        elem ∈ set list-rec
        (branch-assms, branch-poly-list) = (fst elem, r#(snd elem))
        by auto
      then have branch-assms-inset: (branch-assms, snd elem) ∈ set (lc-assump-generation-list
        qs new-assumps)
        using deconstruct-prop by auto
      then have ind-prop: (check-all-const-deg-gen (snd elem)) = (check-all-const-deg-gen
        (map (eval-mpoly-poly val) qs)) using Cons.hyps Cons.prems
        by blast
      have set new-assumps ⊆ set branch-assms
        using deconstruct-prop list-rec-prop branch-assms-inset branch-init-assms-subset
        by presburger
      then have Polynomial.degree r = Polynomial.degree (eval-mpoly-poly val a)
        using check-constant-degree-match
        by (meson basic-trans-rules(31) deconstruct-prop(1) local.Cons(3))
      then show ?case
        using ind-prop list-rec-prop(3)
        by simp
  qed

```

```

lemma check-all-const-deg-match:
  shows check-all-const-deg qs = check-all-const-deg-gen qs
proof (induct qs)
  case Nil
  then show ?case by auto
next
  case (Cons a qs)
  then show ?case by auto
qed

lemma prod-list-var-match:
  shows prod-list-var-gen qs = prod-list-var qs
proof (induct qs)
  case Nil
  then show ?case by auto
next
  case (Cons a qs)
  then show ?case by auto
qed

lemma sign-lead-coeff-on-branch:
  assumes (a, q) ∈ set (lc-assump-generation init-q init-assumps)
  assumes q ≠ 0
  assumes ⋀ p n. (p,n) ∈ set a ⟹ satisfies-evaluation val p n
  shows ((Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a))) =
    Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q))
  using assms
proof (induct init-q init-assumps arbitrary: q a rule: lc-assump-generation-induct
)
  case (Base init-q init-assumps)
  then show ?case using lc-assump-generation.simps
    by simp
next
  case (Rec init-q init-assumps)
  let ?zero = lc-assump-generation (one-less-degree init-q) ((Polynomial.lead-coeff
init-q, (0::rat)) # init-assumps)
  let ?one = ((Polynomial.lead-coeff init-q, (1::rat)) # init-assumps, init-q)
  let ?minus-one = ((Polynomial.lead-coeff init-q, (-1::rat)) # init-assumps,
init-q)
  have inset: (a, q) ∈ set (?one#?minus-one#?zero)
    using Rec.hyps lc-assump-generation.simps Rec(4)
    by auto
  { assume * : (a, q) = ?one
    then have h1: Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a)
      = (1::rat)
      by auto
    have h2: satisfies-evaluation val (Polynomial.lead-coeff q) (1)
      using Rec.hyps
  }

```

```

    by (metis * Pair-inject Rec.prems(3) list.set-intros(1))
  then have h3: Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val
q)) = (1::int)
    unfolding satisfies-evaluation-def eval-mpoly-def
    by (metis Sturm-Tarski.sign-def h2 lead-coeff-valuation of-int-hom.injectivity
one-neq-zero satisfies-evaluation-def verit-comp-simplify(28))

  have Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a) =
    ((Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q))))
    using h1 h3
    by auto
  } moreover {
    assume * : (a, q) = ?minus-one
    then have h1: Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a)
    = (-1::rat)
      by auto
    have h2: satisfies-evaluation val (Polynomial.lead-coeff q) (-1)
      using Rec.hyps
      by (metis * Pair-inject Rec.prems(3) list.set-intros(1))
    then have h3: rat-of-int (Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly
val q))) = (-1::rat)
      unfolding satisfies-evaluation-def eval-mpoly-def
      by (metis Sturm-Tarski.sign-def degree-evaluation eval-mpoly-def eval-mpoly-map-poly-comm-ring-hom.base.
eval-mpoly-poly-def h2 of-int-hom.hom-one of-int-hom.injectivity of-int-minus rel-simps(88)
sign-uminus verit-comp-simplify(28))

    have Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a) =
      ((Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q))))
      using h1 h3
      by (metis of-int-eq-iff of-rat-of-int-eq)
  }
  moreover {
    assume * : (a, q) ∈ set ?zero
    then have (a, q)
    ∈ set (lc-assump-generation (Multiv-Poly-Props.one-less-degree init-q)
      ((Polynomial.lead-coeff init-q, 0) # init-assumps))
      by auto
    then have set ((Polynomial.lead-coeff init-q, 0) # init-assumps) ⊆ set a
      using lc-assump-generation-subset by presburger
    then have ⋀ p n. (p, n) ∈ set ((Polynomial.lead-coeff init-q, 0) # init-assumps)
    ==> satisfies-evaluation val p n
      using Rec.prems by auto
    then have Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a) =
      Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q))
      using Rec.hyps Rec.prems
      by (smt (verit, ccfv-SIG) * Sturm-Tarski.sign-cases more-arith-simps(10)
neg-one-neq-one sign-uminus)
  }

```

```

ultimately show ?case using lc-assump-generation.simps
  inset
    by (smt (verit, del-insts) set-ConsD)
next
  case (Lookup0 init-q init-assumps)
  then show ?case using lc-assump-generation.simps
    by auto

next
  case (LookupN0 init-q init-assumps r)
  then have match: (a, q) = (init-assumps, init-q)
    using lc-assump-generation.simps in-set-member by auto
  then obtain i where i-prop: i ≠ 0
    lookup-assump-aux (Polynomial.lead-coeff init-q) init-assumps = Some i
    (Polynomial.lead-coeff init-q, i) ∈ set init-assumps
    using LookupN0.preds LookupN0.hyps
    by (meson lookup-assum-aux-mem)
  then have (Polynomial.lead-coeff init-q, i) ∈ set a
    using match by auto
  then have sat-eval: satisfies-evaluation val (Polynomial.lead-coeff init-q) i
    using LookupN0(6) by blast
  have Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a)
    = Sturm-Tarski.sign i
    using i-prop match lookup-assump-aux-subset-consistent-sign
    by simp
  then show ?case
    by (smt (verit, del-insts) LookupN0(6) LookupN0.preds(1) sat-eval branch-poly-eval
      i-prop(1) lead-coeff-valuation of-int-hom.injectivity satisfies-evaluation-def)
qed

lemma sign-lead-coeff-on-branch-init:
  assumes (a, q) ∈ set (lc-assump-generation init-q init-assumps)
  assumes q ≠ 0
  assumes ⋀p n. (p,n) ∈ set a ⇒ satisfies-evaluation val p n
  shows Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a) =
    Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val init-q))
  using sign-lead-coeff-on-branch branch-poly-eval
  by (metis assms(1) assms(2) assms(3))

lemma pos-limit-point-on-branch:
  assumes (a, q) ∈ set (lc-assump-generation init-q init-assumps)
  assumes ⋀p n. (p,n) ∈ set a ⇒ satisfies-evaluation val p n
  shows rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly val q))) =
    (if q = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
      q) a))
  using assms
proof -
  have rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly val q))) =
    Sturm-Tarski.sign (Polynomial.lead-coeff (eval-mpoly-poly val q))

```

```

unfolding sgn-pos-inf-def
by auto
then show ?thesis using sign-lead-coeff-on-branch assms
by (smt (verit, del-insts) eval-mpoly-poly-comm-ring-hom.hom-zero leading-coeff-0-iff
sign-simps(2))

qed

lemma pos-limit-point-on-branch-init:
assumes (a, q) ∈ set (lc-assump-generation init-q init-assumps)
assumes ⋀ p n. (p,n) ∈ set a ==> satisfies-evaluation val p n
shows rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly val init-q))) =
(if q = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
q) a))
using assms pos-limit-point-on-branch sign-lead-coeff-on-branch-init
using branch-poly-eval by force

lemma pos-limit-point-on-branch-list:
assumes (branch-assms, branch-poly-list) ∈ set (lc-assump-generation-list qs
init-assumps)
assumes ⋀ p n. (p,n) ∈ set branch-assms ==> satisfies-evaluation val p n
assumes (pos-limit-branch, neg-limit-branch) = limit-points-on-branch (branch-assms,
branch-poly-list)
shows map rat-of-int (sgn-pos-inf-rat-list (map (eval-mpoly-poly val) qs)) =
pos-limit-branch
using assms
proof (induct qs arbitrary: pos-limit-branch neg-limit-branch branch-assms branch-poly-list
init-assumps)
case Nil
then have (branch-assms, branch-poly-list) ∈ set [(init-assumps, [])]
using lc-assump-generation-list.simps
by auto
then have branch-poly-list = []
using in-set-member
by simp
then show ?case using Nil.prem
unfolding eval-mpoly-poly-def sgn-pos-inf-rat-list-def
by auto
next
case (Cons a qs)
let ?rec = lc-assump-generation a init-assumps
have inset: (branch-assms, branch-poly-list) ∈ set (
concat (map (
λ(new-assumps, r). (let list-rec = lc-assump-generation-list qs new-assumps in
map (λelem. (fst elem, r#(snd elem))) list-rec) ) ?rec ))
using Cons.prem ls-assump-generation-list.simps
by auto
then obtain new-assumps r where deconstruct-prop:

```

```

(new-assumps, r) ∈ set ?rec
(branch-assms,branch-poly-list) ∈ set (let list-rec = lc-assump-generation-list qs
new-assumps in
  map (λelem. (fst elem, r#(snd elem))) list-rec)
using inset
by (metis (no-types, lifting) concat-map-in-set nth-mem prod.collapse split-def)
then obtain elem list-rec where list-rec-prop:
  list-rec = lc-assump-generation-list qs new-assumps
  elem ∈ set list-rec
  (branch-assms,branch-poly-list) = (fst elem, r#(snd elem))
  by auto
then have snd-elem-inset: (branch-assms, snd elem) ∈ set (lc-assump-generation-list
qs new-assumps)
  using deconstruct-prop by auto
obtain pos-limit-sublist neg-limit-sublist where sublist-prop:
  (pos-limit-sublist, neg-limit-sublist) = limit-points-on-branch (branch-assms, snd
elem)
  by auto
then have ind-prop: pos-limit-sublist = map rat-of-int (sgn-pos-inf-rat-list (map
(eval-mpoly-poly val) qs))
  using snd-elem-inset Cons.hyps Cons.prems
  by blast
have sublist-connection: pos-limit-branch = (if r = 0 then 0 else Sturm-Tarski.sign
(lookup-assump (Polynomial.lead-coeff r) branch-assms))#pos-limit-sublist
  using Cons.prems(3) sublist-prop list-rec-prop(3)
  by simp
have list-prop: map (λx. rat-of-int (Sturm-Tarski.sign (sgn-pos-inf x)))
  (map (eval-mpoly-poly val) (a#qs)) =
  (rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly val a)))) # map (λx.
  rat-of-int (Sturm-Tarski.sign (sgn-pos-inf x)))
  (map (eval-mpoly-poly val) qs)
  by simp
have tail-prop: pos-limit-branch =
  (if r = 0 then 0
  else Sturm-Tarski.sign
    (lookup-assump (Polynomial.lead-coeff r) branch-assms)) #
  map (λx. rat-of-int (Sturm-Tarski.sign (sgn-pos-inf x)))
  (map (eval-mpoly-poly val) qs)
  using sublist-connection ind-prop unfolding sgn-pos-inf-rat-list-def
  by auto
have subs: set new-assumps ⊆ set branch-assms
  using deconstruct-prop list-rec-prop snd-elem-inset branch-init-assms-subset
  by presburger
then have r-sign: rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly val
a))) =
  (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
r) new-assumps))
  using pos-limit-point-on-branch-init deconstruct-prop(1) local.Cons(3) subsetD
  by (smt (verit, ccfv-threshold))

```

```

have r-inv:  $r = (0::rmpoly) \vee (\exists i. (lookup-assump-aux (Polynomial.lead-coeff r) new-assumps = Some i \wedge i \neq 0))$ 
  using lc-assump-generation-inv
  by (meson deconstruct-prop(1))
have r-match: (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps))
= (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms))
proof -
  {assume *:  $r = 0$ 
   then have (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps))
= (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms))
   by auto
  } moreover {assume *:  $r \neq 0$ 
   then obtain i1 where i1-prop: lookup-assump-aux (Polynomial.lead-coeff r) new-assumps = Some i1 \wedge i1 \neq 0
   using r-inv by auto
   then obtain i2 where i2-prop: lookup-assump-aux (Polynomial.lead-coeff r) branch-assms = Some i2 \wedge i2 \neq 0
   using lookup-assump-aux-subset-consistency subs
   using Cons.prem(2) by blast
   then have Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps) =
   Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms)
   using lookup-assump-aux-subset-consistent-sign subs
   i1-prop i2-prop Cons.prem(2)
  proof -
    obtain mm :: real list  $\Rightarrow$  (real mpoly  $\times$  rat) list  $\Rightarrow$  real mpoly and rr :: real list  $\Rightarrow$  (real mpoly  $\times$  rat) list  $\Rightarrow$  rat where
       $\forall x_4 x_5. (\exists v_6 v_7. (v_6, v_7) \in set x_5 \wedge \neg satisfies-evaluation x_4 v_6 v_7) = ((mm x_4 x_5, rr x_4 x_5) \in set x_5 \wedge \neg satisfies-evaluation x_4 (mm x_4 x_5) (rr x_4 x_5))$ 
      by moura
    then have  $\forall ps rs psa p r ra. ((mm rs ps, rr rs ps) \in set ps \wedge \neg satisfies-evaluation rs (mm rs ps) (rr rs ps) \vee \neg set psa \subseteq set ps \vee lookup-assump-aux (Polynomial.lead-coeff p) psa \neq Some r \vee lookup-assump-aux (Polynomial.lead-coeff p) ps \neq Some ra) \vee (Sturm-Tarski.sign r) = Sturm-Tarski.sign ra$ 
      by (meson lookup-assump-aux-subset-consistent-sign)
    then have (Sturm-Tarski.sign i1) = Sturm-Tarski.sign i2
      using i1-prop i2-prop local.Cons(3) subs by blast
    then show ?thesis
      by (simp add: i1-prop i2-prop)
qed

then have (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps))
= (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms))

```

```

    by auto
}
ultimately show ?thesis
  by auto
qed
then have rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly val a))) =
  (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
r) branch-assms))
  using r-sign r-match
  by fastforce
then have pos-limit-branch = (rat-of-int (Sturm-Tarski.sign (sgn-pos-inf (eval-mpoly-poly
val a)))) #
  (map (λx. rat-of-int (Sturm-Tarski.sign (sgn-pos-inf x)))
  (map (eval-mpoly-poly val) qs))
  using tail-prop
  by (smt (verit, ccfv-threshold) list.inj-map-strong list.map(2) of-rat-hom.eq-iff)

then show ?case using list-prop
  using sgn-pos-inf-rat-list-def
  by (auto)
qed

lemma neg-limit-point-on-branch-init:
assumes (a, q) ∈ set (lc-assump-generation init-q init-assumps)
assumes ⋀p n. (p,n) ∈ set a ==> satisfies-evaluation val p n
shows rat-of-int (Sturm-Tarski.sign (sgn-neg-inf (eval-mpoly-poly val init-q))) =
  (if q = 0 then 0 else (Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
q) a))*(-1)^(Polynomial.degree q))
proof -
have at-inf: rat-of-int
  (Sturm-Tarski.sign (sgn-class.sgn (Polynomial.lead-coeff (eval-mpoly-poly val
init-q)))) =
  (if q = 0 then 0
  else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff q) a))
using assms pos-limit-point-on-branch-init
unfolding sgn-pos-inf-def
by auto
have Polynomial.degree q = Polynomial.degree (eval-mpoly-poly val init-q)
  using check-constant-degree-match assms by auto
then show ?thesis using at-inf
  unfolding sgn-neg-inf-def
  using Sturm-Tarski-casting degree-0 even-zero more-arith-simps(6) more-arith-simps(8)
ring-1-class.minus-one-power-iff by auto
qed

lemma neg-limit-point-on-branch-list:

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assumes (branch-assms, branch-poly-list) ∈ set (lc-assump-generation-list qs
init-assumps)
assumes  $\bigwedge p n. (p,n) \in \text{set branch-assms} \implies \text{satisfies-evaluation val } p n$ 
assumes (pos-limit-branch, neg-limit-branch) = limit-points-on-branch (branch-assms,
branch-poly-list)
shows map rat-of-int (sgn-neg-inf-rat-list (map (eval-mpoly-poly val) qs)) =
neg-limit-branch
using assms
proof (induct qs arbitrary: pos-limit-branch neg-limit-branch branch-assms branch-poly-list
init-assumps)
case Nil
then have (branch-assms, branch-poly-list) ∈ set [(init-assumps, [])]
using lc-assump-generation-list.simps
by auto
then have branch-poly-list = []
using in-set-member
by simp
then show ?case using Nil.preds
unfolding eval-mpoly-poly-def sgn-neg-inf-rat-list-def
by auto
next
case (Cons a qs)
let ?rec = lc-assump-generation a init-assumps
have inset: (branch-assms, branch-poly-list) ∈ set (
concat (map (
 $\lambda(\text{new-assumps}, r). (\text{let list-rec} = \text{lc-assump-generation-list qs new-assumps in}$ 
 $\text{map } (\lambda \text{elem. } (\text{fst elem}, r\#(\text{snd elem}))) \text{ list-rec}) ) ?rec))$ 
using Cons.preds lc-assump-generation-list.simps
by auto
then obtain new-assumps r where deconstruct-prop:
(new-assumps, r) ∈ set ?rec
(branch-assms, branch-poly-list) ∈ set (let list-rec = lc-assump-generation-list qs
new-assumps in
map ( $\lambda \text{elem. } (\text{fst elem}, r\#(\text{snd elem}))$ ) list-rec)
using inset
by (metis (no-types, lifting) concat-map-in-set nth-mem prod.collapse split-def)
then obtain elem list-rec where list-rec-prop:
list-rec = lc-assump-generation-list qs new-assumps
elem ∈ set list-rec
(branch-assms, branch-poly-list) = (fst elem, r#(snd elem))
by auto
then have snd-elem-inset: (branch-assms, snd elem) ∈ set (lc-assump-generation-list
qs new-assumps)
using deconstruct-prop by auto
obtain pos-limit-sublist neg-limit-sublist where sublist-prop:
(pos-limit-sublist, neg-limit-sublist) = limit-points-on-branch (branch-assms, snd
elem)
by auto
then have ind-prop-var: neg-limit-sublist = map rat-of-int (sgn-neg-inf-rat-list

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```

(map (eval-mpoly-poly val) qs))
  using snd-elem-inset Cons.hyps Cons.prems by blast
  then have ind-prop: neg-limit-sublist = sgn-neg-inf-rat-list (map (eval-mpoly-poly
val) qs)
    by auto
  have sublist-connection: pos-limit-branch = (if r = 0 then 0 else Sturm-Tarski.sign
lookup-assump (Polynomial.lead-coeff r) branch-assms))#pos-limit-sublist
    using Cons.prems(3) sublist-prop list-rec-prop(3)
    by simp
  then have sublist-connection-var: pos-limit-branch = (if r = 0 then 0 else
(rat-of-int o Sturm-Tarski.sign) (lookup-assump (Polynomial.lead-coeff r) branch-assms))#pos-limit-sublist
    using Cons.prems(3) sublist-prop list-rec-prop(3)
    by simp
  have list-prop: map (λx. rat-of-int (Sturm-Tarski.sign (sgn-neg-inf x)))
    (map (eval-mpoly-poly val) (a#qs)) =
    (rat-of-int (Sturm-Tarski.sign (sgn-neg-inf (eval-mpoly-poly val a)))) # map (λx.
    rat-of-int (Sturm-Tarski.sign (sgn-neg-inf x)))
    (map (eval-mpoly-poly val) qs)
    by simp
  have ind-prop-var2: neg-limit-sublist =
    (map (λx. (rat-of-int o Sturm-Tarski.sign) (sgn-neg-inf x))
    (map (eval-mpoly-poly val) qs))
  using ind-prop-var unfolding sgn-neg-inf-rat-list-def
  by auto
  have neg-limit-branch =
    (if r = 0 then (0::rat)
    else ((rat-of-int o Sturm-Tarski.sign)
    (lookup-assump (Polynomial.lead-coeff r) branch-assms)*(-1)^(Polynomial.degree
r))) #
    map (λx. ((rat-of-int o Sturm-Tarski.sign) (sgn-neg-inf x)))
    (map (eval-mpoly-poly val) qs)
  using sublist-connection-var ind-prop-var2 local.Cons(4) unfolding sgn-neg-inf-rat-list-def

  unfolding limit-points-on-branch.simps
  by (metis (no-types, lifting) limit-points-on-branch.simps list.simps(9) list-rec-prop(3)
prod.simps(1) sublist-prop)
  then have tail-prop-helper: neg-limit-branch =
    (if r = 0 then 0
    else rat-of-int (Sturm-Tarski.sign
    (lookup-assump (Polynomial.lead-coeff r) branch-assms)*(-1)^(Polynomial.degree
r))) #
    map (λx. rat-of-int (Sturm-Tarski.sign (sgn-neg-inf x)))
    (map (eval-mpoly-poly val) qs)
    by auto
  then have tail-prop: neg-limit-branch =
    (if r = 0 then 0
    else Sturm-Tarski.sign
    (lookup-assump (Polynomial.lead-coeff r) branch-assms)*(-1)^(Polynomial.degree
r)) #

```

```

map (λx. rat-of-int (Sturm-Tarski.sign (sgn-neg-inf x)))
  (map (eval-mpoly-poly val) qs)
by (smt (verit, ccfv-threshold) list.map(2) of-int-hom.hom-zero of-rat-of-int-eq)
have subs: set new-assumps ⊆ set branch-assms
  using deconstruct-prop list-rec-prop snd-elem-inset branch-init-assms-subset
  by presburger
then have r-sign: rat-of-int (Sturm-Tarski.sign (sgn-neg-inf (eval-mpoly-poly val
a))) =
  (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
r) new-assumps)*(-1)^(Polynomial.degree r))
  using neg-limit-point-on-branch-init deconstruct-prop(1) local.Cons(3) subsetD
  by (smt (verit, ccfv-threshold))
have r-inv: r = (0::rmpoly) ∨ (∃ i. (lookup-assump-aux (Polynomial.lead-coeff r)
new-assumps = Some i ∧ i ≠ 0))
  using lc-assump-generation-inv
  by (meson deconstruct-prop(1))
have r-match-pre: (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump
(Polynomial.lead-coeff r) new-assumps)) =
  (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
r) branch-assms))
proof -
  {assume *: r = 0
  then have (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
r) new-assumps)) =
    (if r = 0 then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff
r) branch-assms))
    by auto
  } moreover {assume *: r ≠ 0
  then obtain i1 where i1-prop: lookup-assump-aux (Polynomial.lead-coeff r)
  new-assumps = Some i1 ∧ i1 ≠ 0
    using r-inv by auto
  then obtain i2 where i2-prop: lookup-assump-aux (Polynomial.lead-coeff r)
  branch-assms = Some i2 ∧ i2 ≠ 0
    using lookup-assump-aux-subset-consistency subs
    using Cons.prem(2) by blast
  then have Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps)
  =
  Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms)
  using lookup-assump-aux-subset-consistent-sign subs
  i1-prop i2-prop Cons.prem(2)
proof -
  obtain mm :: real list ⇒ (real mpoly × rat) list ⇒ real mpoly and rr :: real
  list ⇒ (real mpoly × rat) list ⇒ rat where
    ∀ x4 x5. (∃ v6 v7. (v6, v7) ∈ set x5 ∧ ¬ satisfies-evaluation x4 v6 v7) =
    ((mm x4 x5, rr x4 x5) ∈ set x5 ∧ ¬ satisfies-evaluation x4 (mm x4 x5) (rr x4 x5))
    by moura
  then have ∀ ps rs psa p r ra. ((mm rs ps, rr rs ps) ∈ set ps ∧ ¬ satisfies-evaluation
  rs (mm rs ps) (rr rs ps) ∨ ¬ set psa ⊆ set ps ∨ lookup-assump-aux
  (Polynomial.lead-coeff p) psa ≠ Some r ∨ lookup-assump-aux (Polynomial.lead-coeff

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p)  $ps \neq \text{Some } ra \vee (\text{Sturm-Tarski}.\text{sign } r) = \text{Sturm-Tarski}.\text{sign } ra$ 
   by (meson lookup-assump-aux-subset-consistent-sign)
   then have  $(\text{Sturm-Tarski}.\text{sign } i1) = \text{Sturm-Tarski}.\text{sign } i2$ 
      using i1-prop i2-prop local.Cons(3) subs by blast
   then show ?thesis
      by (simp add: i1-prop i2-prop)
qed

then have (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps))
= (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms))
   by auto
}
ultimately show ?thesis
   by auto
qed
then have r-match: (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) new-assumps)*(-1)^(Polynomial.degree r))
= (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms)*(-1)^(Polynomial.degree r))
   by metis
then have rat-of-int (Sturm-Tarski.sign (sgn-neg-inf (eval-mpoly-poly val a)))
=
  (if  $r = 0$  then 0 else Sturm-Tarski.sign (lookup-assump (Polynomial.lead-coeff r) branch-assms)*(-1)^(Polynomial.degree r))
   using r-sign r-match
   by fastforce
then have neg-limit-branch = (rat-of-int (Sturm-Tarski.sign (sgn-neg-inf (eval-mpoly-poly val a)))) #
   (map (λx. rat-of-int (Sturm-Tarski.sign (sgn-neg-inf x))))
   (map (eval-mpoly-poly val) qs))
   using tail-prop tail-prop-helper
   by (simp add: of-rat-hom.injectivity)
then show ?case using list-prop
   using sgn-neg-inf-rat-list-def
   by auto
qed

lemma complex-rat-casting-lemma:
  fixes a:: int list
  fixes b:: rat list
  shows map complex-of-int a = map of-rat b ==> map rat-of-int a = b
  by (metis (no-types, lifting) list.inj-map-strong list.map-comp of-rat-hom.injectivity
of-rat-of-int)

lemma complex-rat-casting-lemma-sets:
  fixes a:: rat list list
  fixes b1:: int list

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fixes b2:: int list
fixes c:: rat list list
assumes set (map (map of-rat) a) ∪ {map complex-of-int b1, map complex-of-int
b2} = set (map (map of-rat) c)
shows set a ∪ {map rat-of-int b1, map rat-of-int b2} = set c
proof -
have map complex-of-int b1 ∈ set (map (map of-rat) c)
  using assms by auto
then have h1: map rat-of-int b1 ∈ set c
  using complex-rat-casting-lemma by auto
have map complex-of-int b2 ∈ set (map (map of-rat) c)
  using assms by auto
then have h2: map rat-of-int b2 ∈ set c
  using complex-rat-casting-lemma by auto
have set-lem: ⋀ a b c . a ∪ b = c ⟹ a ⊆ c
  by blast
have set (map (map of-rat) a) ⊆ set (map (map of-rat) c)
  using assms set-lem[of set (map (map of-rat) a) {map complex-of-int b1, map
complex-of-int b2} set (map (map of-rat) c)]
  by auto
then have ⋀x. x ∈ set (map (map of-rat) a) ⟹ x ∈ set (map (map of-rat) c)
  by auto
then have h3: ⋀x. x ∈ set a ⟹ x ∈ set c
  using complex-rat-casting-lemma
  by fastforce
have h4-a: ⋀x. x ∈ set (map (map of-rat) c) ⟹
  x ≠ map complex-of-int b1 ⟹ x ∉ set (map (map of-rat) a) ⟹ x = map
complex-of-int b2
  using assms
  by blast
have h4: ⋀x. x ∈ set c ⟹
  x ≠ map rat-of-int b1 ⟹ x ∉ set a ⟹ x = map rat-of-int b2
proof -
  fix x
  assume a1: x ∈ set c
  assume a2: x ≠ map rat-of-int b1
  assume a3: x ∉ set a
  have ((map of-rat x)::complex list) ∈ set (map (map of-rat) c)
    using a1 by auto
  then have impl: ((map of-rat x)::complex list) ≠ map complex-of-int b1 ⟹
  (map of-rat x) ∉ set (map (map of-rat) a) ⟹ (map of-rat x) = map complex-of-int
  b2
    using h4-a[of ((map of-rat x)::complex list)]
    using a3 imageE inj-map-eq-map list.set-map of-rat-hom.inj-f by fastforce
  have impl-h1: ((map of-rat x)::complex list) ≠ map complex-of-int b1
    using a2 complex-rat-casting-lemma
    by metis
  have impl-h2: (map of-rat x) ∉ set (map (map of-rat) a)

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using a3 complex-rat-casting-lemma by (auto)
then have (map of-rat x) = map complex-of-int b2
  using impl impl-h1 impl-h2 by auto
  then show x = map rat-of-int b2
    using complex-rat-casting-lemma by metis
qed
show ?thesis using h1 h2 h3 h4 by auto
qed

lemma complex-rat-casting-lemma-sets2:
shows {map rat-of-int
  (map (λx. Sturm-Tarski.sign (sgn-neg-inf x))
    (map (eval-mpoly-poly val) qs)),
map rat-of-int
  (map (λx. Sturm-Tarski.sign (sgn-pos-inf x))
    (map (eval-mpoly-poly val) qs))} = {map (λx. (rat-of-int ∘ Sturm-Tarski.sign)
(sgn-neg-inf x))
  (map (eval-mpoly-poly val) qs),
map (λx. (rat-of-int ∘ Sturm-Tarski.sign) (sgn-pos-inf x))
  (map (eval-mpoly-poly val) qs)}
proof -
have h1: map rat-of-int
  (map (λx. Sturm-Tarski.sign (sgn-neg-inf x))
    (map (eval-mpoly-poly val) qs)) = map (λx. (rat-of-int ∘ Sturm-Tarski.sign)
(sgn-neg-inf x))
  (map (eval-mpoly-poly val) qs)
  by auto
have h2: map rat-of-int
  (map (λx. Sturm-Tarski.sign (sgn-pos-inf x))
    (map (eval-mpoly-poly val) qs)) = map (λx. (rat-of-int ∘ Sturm-Tarski.sign)
(sgn-pos-inf x))
  (map (eval-mpoly-poly val) qs)
  by auto
show ?thesis using h1 h2
  by auto
qed

lemma sign-determination-inner-gives-noncomp-signs-at-roots:
assumes (assumps, signs) ∈ set (sign-determination-inner qs init-assumps)
assumes ⋀p n. (p,n) ∈ set assumps ==> satisfies-evaluation val p n
shows set signs = (consistent-sign-vectors-R (map (eval-mpoly-poly val) qs)
UNIV)
proof -
have elem-in-set: (assumps, signs) ∈ set (let branches = lc-assump-generation-list
qs init-assumps in
concat (map (λbranch.
let poly-p-branch = poly-p-in-branch branch;
(pos-limit-branch, neg-limit-branch) = limit-points-on-branch branch;
calculate-data-branch = extract-signs (calculate-data-assumps-M poly-p-branch

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(snd branch) (fst branch))
  in map (λ(a, signs). (a, pos-limit-branch#neg-limit-branch#signs)) calculate-data-branch
  ) branches))
  using assms
  by auto
obtain branch where branch-prop: branch ∈ set (lc-assump-generation-list qs init-assumps)
  (assumps, signs) ∈ set (
    let poly-p-branch = poly-p-in-branch branch;
      (pos-limit-branch, neg-limit-branch) = limit-points-on-branch branch;
      calculate-data-branch = extract-signs (calculate-data-assumps-M poly-p-branch
(snd branch) (fst branch))
  in map (λ(a, signs). (a, pos-limit-branch#neg-limit-branch#signs)) calculate-data-branch)
  using elem-in-set
  by auto
then obtain branch-assms branch-poly-list where branch-is:
  branch = (branch-assms, branch-poly-list)
  using poly-p-in-branch.cases by blast
then obtain calculate-data-branch poly-p-branch pos-limit-branch neg-limit-branch
  where branch-prop-expanded:
    poly-p-branch = poly-p-in-branch branch
    (pos-limit-branch, neg-limit-branch) = limit-points-on-branch branch
    calculate-data-branch = extract-signs (calculate-data-assumps-M poly-p-branch
branch-poly-list branch-assms)
    branch ∈ set (lc-assump-generation-list qs init-assumps)
    (assumps, signs) ∈ set (
      map (λ(a, signs). (a, pos-limit-branch#neg-limit-branch#signs)) calculate-data-branch)
    using branch-prop
    by (metis (no-types, lifting) case-prod-beta fst-conv prod.exhaust-sel snd-conv)
obtain calc-a calc-signs where calc-prop:
  (calc-a, calc-signs) ∈ set calculate-data-branch
  (assumps, signs) = (calc-a, pos-limit-branch#neg-limit-branch#calc-signs)
  using in-set-member branch-prop-expanded(5)
  by auto
then have branch-assms-subset: set branch-assms ⊆ set assumps
  using branch-prop-expanded(3) extract-signs-M-subset
  by blast
have set init-assumps ⊆ set branch-assms
  using branch-is branch-prop(1) branch-init-assms-subset
  by blast
have assumps-calc-a: assumps = calc-a
  using calc-prop by auto
let ?poly-p-branch = poly-p-in-branch (branch-assms, branch-poly-list)
have nonz-poly-p: eval-mpoly-poly val ?poly-p-branch ≠ 0
  using poly-p-nonzero-on-branch
  using ‹set branch-assms ⊆ set assumps› assms(2) branch-is branch-prop(1) by
blast

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```

have map-branch-poly-list: (map (eval-mpoly-poly val) qs) = (map (eval-mpoly-poly
val) branch-poly-list)
  using map-branch-poly-list
  using assms(2) branch-assms-subset branch-is branch-prop(1) by blast
have (calc-a, calc-signs)
  ∈ set (calculate-data-to-signs (calculate-data-assumps-M poly-p-branch branch-poly-list
branch-assms))
  using calc-prop branch-prop-expanded calc-data-to-signs-and-extract-signs
  by metis
then have (assumps, calc-signs)
  ∈ set (calculate-data-to-signs (calculate-data-assumps-M poly-p-branch branch-poly-list
branch-assms))
  using assumps-calc-a by auto
then have set calc-signs = set(characterize-consistent-signs-at-roots (eval-mpoly-poly
val ?poly-p-branch) (map (eval-mpoly-poly val) branch-poly-list))
  using nonz-poly-p calculate-data-assumps-gives-noncomp-signs-at-roots[of as-
sumps signs poly-p-branch branch-poly-list branch-assms val ]
  using assms(2) branch-is branch-prop-expanded(1) calculate-data-assumps-gives-noncomp-signs-at-roots
by blast
then have calc-signs-is: set calc-signs = set(characterize-consistent-signs-at-roots
(eval-mpoly-poly val ?poly-p-branch) (map (eval-mpoly-poly val) qs))
  using map-branch-poly-list by auto
have poly-p-is: poly-p-in-branch (branch-assms, branch-poly-list) = (if (check-all-const-deg-gen
branch-poly-list = True) then [:0, 1:] else
  (pderiv (prod-list-var-gen branch-poly-list)) * (prod-list-var-gen branch-poly-list))
  by auto
have const-match: (check-all-const-deg-gen branch-poly-list) = (check-all-const-deg-gen
(map (eval-mpoly-poly val) qs))
  using check-constant-degree-match-list
  using assms(2) branch-assms-subset branch-is branch-prop(1) by blast
have eval-mpoly-poly val (prod-list-var-gen branch-poly-list) =
  prod-list-var-gen (map (eval-mpoly-poly val) branch-poly-list)
  using eval-prod-list-var-gen-match
  using assms(2) branch-assms-subset branch-is branch-prop(1) by blast
then have same-prod: eval-mpoly-poly val ((pderiv (prod-list-var-gen branch-poly-list))
* (prod-list-var-gen branch-poly-list))
= pderiv (prod-list-var-gen (map (eval-mpoly-poly val) branch-poly-list)) * (prod-list-var-gen
(map (eval-mpoly-poly val) branch-poly-list))
  by (metis eval-mpoly-poly-comm-ring-hom.hom-mult pderiv-commutes)
{assume ?: check-all-const-deg-gen branch-poly-list = True
  then have ?poly-p-branch = [: 0, 1 :]
  using poly-p-is by presburger
  then have (eval-mpoly-poly val ?poly-p-branch) = [:0, 1:]
  unfolding eval-mpoly-poly-def
  by auto
  then have (eval-mpoly-poly val ?poly-p-branch) = (poly-f-nocrb (map (eval-mpoly-poly
val) qs))
  unfolding poly-f-nocrb-def using const-match check-all-const-deg-match *
  by presburger

```

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}

moreover {assume *: check-all-const-deg-gen branch-poly-list = False
  then have (eval-mpoly-poly val ?poly-p-branch) = pderiv (prod-list-var-gen
    (map (eval-mpoly-poly val) branch-poly-list)) * (prod-list-var-gen (map (eval-mpoly-poly
    val) branch-poly-list))
    using poly-p-is same-prod by auto
  then have h1: (eval-mpoly-poly val ?poly-p-branch) = pderiv (prod-list-var-gen
    (map (eval-mpoly-poly val) qs)) * (prod-list-var-gen (map (eval-mpoly-poly val) qs))
    using map-branch-poly-list
    by presburger
  have (check-all-const-deg (map (eval-mpoly-poly val) qs)) = False
    using * const-match check-all-const-deg-match by auto
  then have (eval-mpoly-poly val ?poly-p-branch) = (poly-f-nocrb (map (eval-mpoly-poly
    val) qs))
    using h1 prod-list-var-match
    unfolding poly-f-nocrb-def
    by metis
}
ultimately have poly-branch-no-crb-connect: (eval-mpoly-poly val ?poly-p-branch)
= (poly-f-nocrb (map (eval-mpoly-poly val) qs))
  by auto
let ?eval-qs = (map (eval-mpoly-poly val) qs)
have set calc-signs =
  set (characterize-consistent-signs-at-roots (poly-f-nocrb ?eval-qs) ?eval-qs)
  using poly-branch-no-crb-connect calc-signs-is
  by presburger

then have calc-signs-relation: (set calc-signs) ∪ {sgn-neg-inf-rat-list ?eval-qs,
sgn-pos-inf-rat-list ?eval-qs} =
  set (characterize-consistent-signs-at-roots (poly-f ?eval-qs) ?eval-qs)
  using poly-f-nocrb-connection[of ?eval-qs]
  by auto

then have set calc-signs ∪
  {map rat-of-int
    (sgn-neg-inf-rat-list (map (eval-mpoly-poly val) qs)),
  map rat-of-int
    (sgn-pos-inf-rat-list (map (eval-mpoly-poly val) qs)))} =
  set (characterize-consistent-signs-at-roots
    (poly-f (map (eval-mpoly-poly val) qs))
    (map (eval-mpoly-poly val) qs))
  unfolding sgn-neg-inf-rat-list2-def sgn-pos-inf-rat-list2-def
  using complex-rat-casting-lemma-sets[of calc-signs (sgn-neg-inf-rat-list (map
    (eval-mpoly-poly val) qs)) (sgn-pos-inf-rat-list (map (eval-mpoly-poly val) qs))
    (characterize-consistent-signs-at-roots (poly-f (map (eval-mpoly-poly val) qs))
    (map (eval-mpoly-poly val) qs)))]
  by (auto)
then have calc-signs-relation-var: (set calc-signs) ∪ ({sgn-neg-inf-rat-list2 ?eval-qs,
sgn-pos-inf-rat-list2 ?eval-qs}::rat list set) =

```

```

set (characterize-consistent-signs-at-roots (poly-f ?eval-qs) ?eval-qs)
  unfolding sgn-neg-inf-rat-list2-def sgn-pos-inf-rat-list2-def sgn-neg-inf-rat-list-def
  sgn-pos-inf-rat-list-def
    using complex-rat-casting-lemma-sets2
    by auto
  have pos-inf: sgn-pos-inf-rat-list ?eval-qs = pos-limit-branch
    using branch-prop-expanded(2) pos-limit-point-on-branch-list
    using assms(2) branch-assms-subset branch-is branch-prop(1)
    by (smt (verit, ccfv-threshold) basic-trans-rules(31) list.map-comp of-rat-of-int)
  then have pos-inf-var: sgn-pos-inf-rat-list2 ?eval-qs = pos-limit-branch
    unfolding sgn-pos-inf-rat-list2-def
    using complex-rat-casting-lemma[of (sgn-pos-inf-rat-list (map (eval-mpoly-poly
      val) qs)) pos-limit-branch]
    unfolding sgn-pos-inf-rat-list-def by auto
  have neg-inf: sgn-neg-inf-rat-list ?eval-qs = neg-limit-branch
    using branch-prop-expanded(2) neg-limit-point-on-branch-init
    using assms(2) branch-assms-subset branch-is branch-prop(1)
    by (smt (verit, ccfv-SIG) basic-trans-rules(31) list.map-comp neg-limit-point-on-branch-list
      of-rat-of-int)
  then have neg-inf-var: sgn-neg-inf-rat-list2 ?eval-qs = neg-limit-branch
    unfolding sgn-neg-inf-rat-list2-def
    using complex-rat-casting-lemma[of (sgn-neg-inf-rat-list (map (eval-mpoly-poly
      val) qs)) neg-limit-branch]
    unfolding sgn-neg-inf-rat-list-def
    by auto
  have set signs = set (characterize-consistent-signs-at-roots (poly-f ?eval-qs) ?eval-qs)
    using calc-signs-relation-var pos-inf-var neg-inf-var calc-prop(2)
    unfolding sgn-neg-inf-rat-list2-def sgn-pos-inf-rat-list2-def
    by auto
  then show set signs = (consistent-sign-vectors-R (map (eval-mpoly-poly val) qs)
    UNIV)
    using find-consistent-signs-at-roots-R poly-f-nrcrb-connection calc-signs-is
    main-step-R
    by (metis find-consistent-signs-R-def poly-f-nonzero)
qed

```

## 17.10 Completeness

```

lemma lc-assump-generation-evaluation:
  assumes  $\bigwedge p n. (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val } p n$ 
  shows  $\exists \text{branch} \in \text{set (lc-assump-generation q init-assumps)}.$ 
    set (fst branch)  $\subseteq \text{set (init-assumps)} \cup \text{set}$ 
    (map (\lambda x. (x, mpoly-sign val x)) (Polynomial.coeffs q))
  using assms
proof (induct q init-assumps rule: lc-assump-generation-induct )
  case (Base q assumps)
  then show ?case
    using lc-assump-generation.simps by auto
next

```

```

case (Rec q assumps)
let ?zero = lc-assump-generation (one-less-degree q) ((Polynomial.lead-coeff q,
(0::rat)) # assumps)
let ?one = ((Polynomial.lead-coeff q, (1::rat)) # assumps, q)
let ?minus-one = ((Polynomial.lead-coeff q, (-1::rat)) # assumps, q)
have set-is: set (lc-assump-generation q assumps) = set (?one#?minus-one#?zero)
  using Rec.hyps Rec(4) lc-assump-generation.simps by auto
let ?lc = (Polynomial.lead-coeff q)
have eo: satisfies-evaluation val ?lc (0::rat) ∨ satisfies-evaluation val ?lc (1::rat)
  ∨ satisfies-evaluation val ?lc (-1::rat)
  unfolding satisfies-evaluation-def eval-mpoly-def Sturm-Tarski.sign-def by auto
{assume *: satisfies-evaluation val ?lc (1::rat)
  then have 1 = mpoly-sign val (Polynomial.lead-coeff q)
  unfolding satisfies-evaluation-def eval-mpoly-def mpoly-sign-def Sturm-Tarski.sign-def
    by simp
  then have (Polynomial.lead-coeff q, 1) ∈ set (map (λx. (x, mpoly-sign val x))
(Polynomial.coeffs q))
  by (simp add: Rec(1) coeff-in-coeffs)
  then have set (fst ?one) ⊆ set assumps ∪ set (map (λx. (x, mpoly-sign val x))
(Polynomial.coeffs q))
  by auto
  then have ∃ branch∈set (lc-assump-generation q assumps).
    set (fst branch) ⊆ set assumps ∪ set (map (λx. (x, mpoly-sign val x))
(Polynomial.coeffs q))
    using set-is by auto
} moreover
{assume *: satisfies-evaluation val ?lc (-1::rat)
  then have -1 = mpoly-sign val (Polynomial.lead-coeff q)
  unfolding satisfies-evaluation-def eval-mpoly-def mpoly-sign-def Sturm-Tarski.sign-def
    by (smt (z3) of-int-1 of-int-minus rel-simps(66) zero-neq-neg-one)
  then have (Polynomial.lead-coeff q, -1) ∈ set (map (λx. (x, mpoly-sign val
x)) (Polynomial.coeffs q))
  by (simp add: Rec(1) coeff-in-coeffs)
  then have set (fst ?minus-one) ⊆ set assumps ∪ set (map (λx. (x, mpoly-sign
val x)) (Polynomial.coeffs q))
  by auto
  then have ∃ branch∈set (lc-assump-generation q assumps).
    set (fst branch) ⊆ set assumps ∪ set (map (λx. (x, mpoly-sign val x))
(Polynomial.coeffs q))
    using set-is by auto
} moreover {assume *: satisfies-evaluation val ?lc (0::rat)
  then have ∃ branch∈set (lc-assump-generation (Multiv-Poly-Props.one-less-degree
q) ((Polynomial.lead-coeff q, 0) # assumps)).
    set (fst branch)
    ⊆ set ((Polynomial.lead-coeff q, 0) # assumps) ∪
    set (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree
q)))
  using lc-assump-generation.simps
  using Rec(3) Rec(4) by fastforce

```

```

then obtain branch where branch-prop: branch $\in$ set (lc-assump-generation
(Multiv-Poly-Props.one-less-degree q) ((Polynomial.lead-coeff q, 0) # assumps))
  set (fst branch)
   $\subseteq$  set ((Polynomial.lead-coeff q, 0) # assumps)  $\cup$ 
  set (map ( $\lambda$ x. (x, mpoly-sign val x)) (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree
q)))
by auto
then have branch-prop-2: branch $\in$ set ?zero
by auto
have 0 = mpoly-sign val (Polynomial.lead-coeff q) using *
unfolding satisfies-evaluation-def eval-mpoly-def mpoly-sign-def Sturm-Tarski.sign-def
by simp
then have lc-sign: (Polynomial.lead-coeff q, 0)  $\in$  set (map ( $\lambda$ x. (x, mpoly-sign
val x)) (Polynomial.coeffs q))
by (simp add: Rec(1) coeff-in-coeffs)
{assume **: Multiv-Poly-Props.one-less-degree q  $\neq$  0
then have set (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q))  $\subseteq$ 
set (Polynomial.coeffs q)
using coeff-one-less-degree-subset unfolding Polynomial.coeffs-def
by blast
then have set (fst branch)  $\subseteq$  set assumps  $\cup$ 
  set (map ( $\lambda$ x. (x, mpoly-sign val x)) (Polynomial.coeffs q))
using branch-prop
by (smt (verit, del-insts) UnCI Une lc-sign imageE image-eqI list.set-map
set-ConsD subset-code(1))
then have  $\exists$  branch $\in$ set (lc-assump-generation q assumps).
  set (fst branch)
   $\subseteq$  set assumps  $\cup$ 
  set (map ( $\lambda$ x. (x, mpoly-sign val x)) (Polynomial.coeffs q))
using branch-prop-2
using set-is by force
}
moreover {assume **: Multiv-Poly-Props.one-less-degree q = 0
then have  $\exists$  branch $\in$ set (lc-assump-generation q assumps).
  set (fst branch)  $\subseteq$  set assumps  $\cup$ 
  set (map ( $\lambda$ x. (x, mpoly-sign val x)) (Polynomial.coeffs q))
using Rec.hyps(2) UnCI lc-sign insert-subset lc-assump-generation.elims
list.set(2) option.case(1) prod.sel(1) subsetI
by (metis (no-types, lifting))
}
ultimately have  $\exists$  branch $\in$ set (lc-assump-generation q assumps).
  set (fst branch)  $\subseteq$  set assumps  $\cup$  set (map ( $\lambda$ x. (x, mpoly-sign val x))
(Polynomial.coeffs q))
by auto
}
ultimately show ?case using eo by auto
next
case (Lookup0 q assumps)
then obtain branch where branch-prop:branch $\in$ set (lc-assump-generation (Multiv-Poly-Props.one-less-degree

```

```

q) assumptions)
  set (fst branch)
    ⊆ set assumptions ∪ set (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs
(Multiv-Poly-Props.one-less-degree q)))
  by auto
have same-gen: lc-assump-generation (one-less-degree q) assumptions = lc-assump-generation
q assumptions
  using Lookup0.hyps lc-assump-generation.simps by auto
then have branch-prop-2 :branch∈set (lc-assump-generation q assumptions)
  using branch-prop(1) by auto
{assume *: Multiv-Poly-Props.one-less-degree q ≠ 0
  then have set (Polynomial.coeffs (Multiv-Poly-Props.one-less-degree q)) ⊆ set
(Polynomial.coeffs q)
  using coeff-one-less-degree-subset unfolding Polynomial.coeffs-def
  by blast
then have set (fst branch)
  ⊆ set assumptions ∪
    set (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs q))
  using branch-prop-2 branch-prop(2) by auto
then have ∃ branch∈set (lc-assump-generation q assumptions).
  set (fst branch)
  ⊆ set assumptions ∪
    set (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs q))
  using branch-prop-2
  by auto
}
moreover {assume *: Multiv-Poly-Props.one-less-degree q = 0
  then have ∃ branch∈set (lc-assump-generation q assumptions).
  set (fst branch)
  ⊆ set assumptions ∪
    set (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs q))
  by (simp add: Lookup0(2) lc-assump-generation.simps)
}
ultimately show ?case
  by force
next
  case (LookupN0 q assumptions r)
  then show ?case using lc-assump-generation.simps
    by simp
qed

lemma lc-assump-generation-valuation-satisfies-eval:
fixes q:: rmpoly
assumes (p,n) ∈ set (map (λx. (x, mpoly-sign val x)) ell)
shows satisfies-evaluation val p n
proof -
  obtain c where c-prop: c ∈ set ell
    (p, n) = (c, mpoly-sign val c)
  using assms by auto

```

```

have satisfies-evaluation val c (mpoly-sign val c)
  unfolding satisfies-evaluation-def mpoly-sign-def eval-mpoly-def
    Sturm-Tarski.sign-def by auto
  then show ?thesis
    using c-prop by auto
qed

lemma lc-assump-generation-list-valuation:
assumes "p n ∈ set init-assumps" ⟹ satisfies-evaluation val p n
shows ∃ branch ∈ set (lc-assump-generation-list qs init-assumps).
  set (fst branch) ⊆ set (init-assumps) ∪ set
  (map (λx. (x, mpoly-sign val x)) (coeffs-list qs))
using assms
proof (induct qs arbitrary: init-assumps)
  case Nil
  then show ?case
    using lc-assump-generation-list.simps
    by simp
next
  case (Cons a qs)
  then obtain branch-a where branch-a-prop: branch-a ∈ set (lc-assump-generation
a init-assumps)
    set (fst branch-a) ⊆ set (init-assumps) ∪ set
    (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs a))
    using lc-assump-generation-validation
    by blast
  then obtain branch-a-assms branch-a-poly where branch-a-is: branch-a = (branch-a-assms,
branch-a-poly)
    set (branch-a-assms) ⊆ set (init-assumps) ∪ set
    (map (λx. (x, mpoly-sign val x)) (Polynomial.coeffs a))
    by (meson prod.exhaustsel)
  have inset: set (map (λelem. (fst elem, branch-a-poly#(snd elem))) (lc-assump-generation-list
qs branch-a-assms))
    ⊆ set (lc-assump-generation-list (a#qs) init-assumps)
    using lc-assump-generation-list.simps branch-a-is(1) branch-a-prop(1)
    by auto
  have "p n ∈ set branch-a-assms" ⟹ satisfies-evaluation val p n
    using lc-assump-generation-validation-satisfies-eval branch-a-is(1) fst-conv lo
cal.Cons(2) Set.basic-monos(7) UnE
  proof -
    fix p :: real mpoly and n :: rat
    assume (p, n) ∈ set branch-a-assms
    then show satisfies-evaluation val p n
      by (meson UnE lc-assump-generation-validation-satisfies-eval Set.basic-monos(7)
branch-a-is(2) local.Cons(2))
  qed
  then obtain branch where branch-props:
    branch ∈ set (lc-assump-generation-list qs branch-a-assms)

```

```

set (fst branch)
 $\subseteq$  set branch-a-assms  $\cup$ 
    set (map ( $\lambda x.$  (x, mpoly-sign val x)) (coeffs-list qs))
using Cons.hyps[of branch-a-assms] by auto
then have branch-inset:
(fst branch, branch-a-poly#(snd branch))
 $\in$  set (lc-assump-generation-list (a#qs) init-assumps)
using inset
by auto
then have subset-h: set (fst branch)  $\subseteq$  set (init-assumps)  $\cup$  (set
    (map ( $\lambda x.$  (x, mpoly-sign val x)) (Polynomial.coeffs a))
 $\cup$  set (map ( $\lambda x.$  (x, mpoly-sign val x)) (coeffs-list qs)))
using branch-props(2) branch-a-is(2) by auto
have coeffs-list (a # qs) = (Polynomial.coeffs a) @ (coeffs-list qs)
unfolding coeffs-list-def by auto
then have same-set:
set (map ( $\lambda x.$  (x, mpoly-sign val x)) (coeffs-list (a#qs))) = (set
    (map ( $\lambda x.$  (x, mpoly-sign val x)) (Polynomial.coeffs a))
 $\cup$  set (map ( $\lambda x.$  (x, mpoly-sign val x)) (coeffs-list qs)))
by auto
then have set (fst branch)  $\subseteq$  set (init-assumps)  $\cup$  set
    (map ( $\lambda x.$  (x, mpoly-sign val x)) (coeffs-list (a#qs)))
using subset-h by auto
then show ?case
using branch-inset
by (metis (no-types, lifting) prod.sel(1))
qed

lemma base-case-info-M-assumps-complete:
assumes  $\bigwedge p\ n.$  (p,n)  $\in$  set init-assumps  $\implies$  satisfies-evaluation val p n
shows  $\exists$  (assumps, mat-eq)  $\in$  set (base-case-info-M-assumps init-assumps).
( $\forall$  (p,n)  $\in$  set assumps. satisfies-evaluation val p n)
using assms unfolding base-case-info-M-assumps-def by auto

lemma matches-len-complete-spmods-ex:
assumes  $\bigwedge p'\ n'.$  (p',n')  $\in$  set acc  $\implies$  satisfies-evaluation val p' n'
shows  $\exists$  (assumps, sturm-seq)  $\in$  set (spmods-multiv p q acc).
( $\forall$  (p,n)  $\in$  set assumps. satisfies-evaluation val p n)
using assms
proof (induct p q acc rule: spmods-multiv-induct)
case (Base p q acc)
then show ?case
by (auto simp add: spmods-multiv.simps)
next
case (Rec p q acc)
let ?left = spmods-multiv (one-less-degree p) q ((Polynomial.lead-coeff p, (0::rat))
# acc)
let ?res-one = spmods-multiv-aux p q ((Polynomial.lead-coeff p, (1::rat)) # acc)
let ?res-minus-one = spmods-multiv-aux p q ((Polynomial.lead-coeff p, (-1::rat)))

```

```

# acc)
have spmods-is: spmods-multiv p q acc = ?left @ (?res-one @ ?res-minus-one)
  using spmods-multiv.simps Rec(1-2) by auto
have satisfies-evaluation val (Polynomial.lead-coeff p) 0 ∨
  satisfies-evaluation val (Polynomial.lead-coeff p) 1 ∨
  satisfies-evaluation val (Polynomial.lead-coeff p) (-1)
unfolding satisfies-evaluation-def
apply auto
using Sturm-Tarski.sign-cases
by (metis of-int-1 of-int-minus)
then have q:
  (∀ p' n'. (p',n') ∈ set ((Polynomial.lead-coeff p, 0) # acc) → satisfies-evaluation
  val p' n') ∨
  (∀ p' n'. (p',n') ∈ set ((Polynomial.lead-coeff p, 1) # acc) → satisfies-evaluation
  val p' n') ∨
  (∀ p' n'. (p',n') ∈ set ((Polynomial.lead-coeff p, -1) # acc) → satisfies-evaluation
  val p' n')
  using Rec
  by simp
moreover {
  assume *:(∀ p' n'. (p',n') ∈ set ((Polynomial.lead-coeff p, 0) # acc) → sat-
  isfies-evaluation val p' n')
  then have ∃ a∈set (spmods-multiv (Multiv-Poly-Props.one-less-degree p) q
    ((Polynomial.lead-coeff p, 0) # acc)).
  case a of
    (a, ss) ⇒
      ∀ a∈set a. case a of (a, b) ⇒ satisfies-evaluation val a b
  using Rec by auto
  then have ?case using spmods-is by auto
}
moreover {
  assume *:(∀ p' n'. (p',n') ∈ set ((Polynomial.lead-coeff p, 1) # acc) → sat-
  isfies-evaluation val p' n')
  then obtain assms sturm-seq where
    (assms, sturm-seq) ∈ set (spmods-multiv-aux p q ((Polynomial.lead-coeff p,
    (1::rat)) # acc))
    ∧ p n. (p,n) ∈ set assms ⇒ satisfies-evaluation val p n
    using matches-len-complete[of ((Polynomial.lead-coeff p, (1::rat)) # acc) val
    p q]
    by blast
  then have ?case using spmods-is by auto
}
moreover {
  assume *:(∀ p' n'. (p',n') ∈ set ((Polynomial.lead-coeff p, -1) # acc) →
  satisfies-evaluation val p' n')
  then obtain assms sturm-seq where
    (assms, sturm-seq) ∈ set (spmods-multiv-aux p q ((Polynomial.lead-coeff p,
    (-1::rat)) # acc))
    ∧ p n. (p,n) ∈ set assms ⇒ satisfies-evaluation val p n
}

```

```

using matches-len-complete[of ((Polynomial.lead-coeff p, (-1::rat)) # acc)
val p q]
    by blast
    then have ?case using spmods-is by auto
}
ultimately show ?case
    by fastforce
next
    case (Lookup0 p q acc)
    then show ?case by (auto simp add: spmods-multiv.simps)
next
    case (LookupN0 p q acc r)
    then have spmods-is: spmods-multiv p q acc = spmods-multiv-aux p q acc
        using spmods-multiv.simps by auto
    obtain assumps sturm-seq where
        (assumps, sturm-seq) ∈ set (spmods-multiv-aux p q acc)
         $\wedge p\ n.\ (p,n) \in \text{set assumps} \implies \text{satisfies-evaluation val p n}$ 
        using LookupN0(4) matches-len-complete[of acc val p q]
        by blast
    then show ?case
        using spmods-is by auto
qed

lemma matches-len-complete-spmods:
assumes  $\bigwedge p\ n.\ (p,n) \in \text{set acc} \implies \text{satisfies-evaluation val p n}$ 
obtains assumps sturm-seq where
    (assumps, sturm-seq) ∈ set (spmods-multiv p q acc)
    (f,n) ∈ set assumps  $\implies \text{satisfies-evaluation val f n}$ 
    using assms matches-len-complete-spmods-ex
    by (smt (verit, ccfv-threshold) case-prodD case-prodE)

lemma tarski-queries-complete-aux:
assumes  $\bigwedge p\ n.\ (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val p n}$ 
shows  $\exists (assumps, tq) \in \text{set (construct-NofI-R-spmods p init-assumps I1 I2)}.$ 
 $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val p n})$ 
unfolding construct-NofI-R-spmods-def
using assms matches-len-complete-spmods-ex[of init-assumps val monoid-add-class.sum-list
(map power2 (p # I1))]
by meson

lemma tarski-queries-complete:
assumes  $\bigwedge p\ n.\ (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val p n}$ 
shows  $\exists (assumps, tq) \in \text{set (construct-NofI-M p init-assumps I1 I2)}.$ 
 $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val p n})$ 
proof -
have cnoi-is: construct-NofI-M p init-assumps I1 I2 =
(let ss-list = construct-NofI-R-spmods p init-assumps I1 I2 in
map construct-NofI-single-M ss-list)
by auto

```

```

obtain assumps tq where assumps-tq: (assumps, tq) ∈ set (construct-NofI-R-spmods
p init-assumps I1 I2)
  ( $\forall (p,n) \in \text{set assumps. satisfies-evaluation val } p n$ )
    using tarski-queries-complete-aux assms
    by (smt (verit, ccfv-threshold) case-prodE)
  then have inset: construct-NofI-single-M (assumps, tq) ∈ set (construct-NofI-M
p init-assumps I1 I2)
    by (metis (no-types, lifting) ‹construct-NofI-M p init-assumps I1 I2 = Let
(construct-NofI-R-spmods p init-assumps I1 I2) (map construct-NofI-single-M)›
in-set-conv-nth length-map nth-map)

obtain r where (assumps, r) = construct-NofI-single-M (assumps, tq)
  using construct-NofI-single-M.simps by auto
then show ?thesis
  using inset assumps-tq(2)
  by (smt (verit) case-prodI)
qed

lemma solve-for-rhs-rec-M-complete:
assumes  $\bigwedge p n. (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val } p n$ 
shows  $\exists (assumps, rhs\text{-vec}) \in \text{set (construct-rhs-vector-rec-M } p \text{ init-assumps ell)}$ .
  ( $\forall (p,n) \in \text{set assumps. satisfies-evaluation val } p n$ )
  using assms proof (induct ell arbitrary: init-assumps )
  case Nil
  then show ?case by auto
next
  case (Cons a ell)
  then obtain qs1 qs2 where qs-prop: a = (qs1, qs2)
    by (meson prod.exhaust)
  have  $\exists (assumps, tq) \in \text{set (construct-NofI-M } p \text{ init-assumps qs1 qs2)}$ .
    ( $\forall (p,n) \in \text{set assumps. satisfies-evaluation val } p n$ )
    using tarski-queries-complete assms
    using local.Cons(2) by presburger
  then obtain assumps tq where assumps-tq: (assumps, tq) ∈ set (construct-NofI-M
p init-assumps qs1 qs2)
    ( $\forall (p,n) \in \text{set assumps. satisfies-evaluation val } p n$ )
    by blast
  then have ind-h:  $\exists a \in \text{set (construct-rhs-vector-rec-M } p \text{ assumps ell)}$ .
    case a of
      (assumps1, rhs-vec1)  $\Rightarrow$ 
         $\forall a \in \text{set assumps1. case a of (a, b) } \Rightarrow \text{satisfies-evaluation val } a b$ 
    using Cons.hyps
    by (metis (no-types, lifting) case-prodD)
  {assume *: ell = []
  then have construct-rhs-vector-rec-M p init-assumps ((qs1, qs2)#[[]])
    = construct-rhs-vector-rec-M p init-assumps (a#ell)
  using qs-prop
  by blast
}

```

```

have rhs-is: construct-rhs-vector-rec-M p init-assumps ((qs1, qs2)#[[]]) =
(let TQ-list = construct-NoFI-M p init-assumps qs1 qs2 in
map (λ(new-assumps, tq). (new-assumps, [tq])) TQ-list)
using * construct-rhs-vector-rec-M.simps(2) by blast
have (assumps, [tq]) ∈ set (construct-rhs-vector-rec-M p init-assumps ((qs1,
qs2)#[[]]))
using assumps-tq rhs-is
by (smt (verit, best) image-eqI list.set-map old.prod.case)
then have ?case using assumps-tq(1)
using * assumps-tq(2) qs-prop by blast
} moreover {assume *: length ell > 0
then obtain v va where ell = v#va
by (meson Suc-le-length-iff Suc-less-eq le-simps(2))
then have construct-rhs-vector-rec-M p init-assumps ((qs1, qs2)#[ell]) =
concat (let TQ-list = construct-NoFI-M p init-assumps qs1 qs2 in
(map (λ(new-assumps, tq). (let rec = construct-rhs-vector-rec-M p new-assumps
ell in
map (λr. (fst r, tq#snd r)) rec)) TQ-list))
using * construct-rhs-vector-rec-M.simps(3) by auto
then have subset-prop: set (let rec = construct-rhs-vector-rec-M p assumps ell
in
map (λr. (fst r, tq#snd r)) rec) ⊆ set (construct-rhs-vector-rec-M p init-assumps
((qs1, qs2)#[ell]))
using assumps-tq
by auto
then obtain assumps1 rhs-vec1 where assumps1-rhs1:
(assumps1, rhs-vec1) ∈ set (construct-rhs-vector-rec-M p assumps ell)
(∀ (p,n) ∈ set assumps1. satisfies-evaluation val p n)
using ind-h
by blast
then have (assumps1, tq#rhs-vec1) ∈ set (let rec = construct-rhs-vector-rec-M
p assumps ell in
map (λr. (fst r, tq#snd r)) rec)
by (metis (no-types, lifting) fst-eqD in-set-conv-nth length-map nth-map
snd-conv)
then have (assumps1, tq#rhs-vec1) ∈ set (construct-rhs-vector-rec-M p init-assumps
((qs1, qs2)#[ell]))
using subset-prop by auto
then have ?case
using assumps1-rhs1(2) qs-prop
by auto
}
ultimately show ?case by auto
qed

```

**lemma** solve-for-rhs-M-complete:

**assumes**  $\bigwedge p\ n.\ (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val}\ p\ n$   
**shows**  $\exists\ (\text{assumps}, \text{rhs-vec}) \in \text{set (construct-rhs-vector-M p init-assumps qs Is)}.$

```

 $(\forall (p,n) \in \text{set } \text{assumps}. \text{satisfies-evaluation val } p \ n)$ 
proof –
  obtain  $\text{assumps rhs-rec-vec where assumps-rec}$ :
     $(\text{assumps}, \text{rhs-rec-vec}) \in \text{set} (\text{construct-rhs-vector-rec-M } p \ \text{init-assumps} (\text{pull-out-pairs}$ 
 $\text{qs } I_s))$ 
     $(\forall (p,n) \in \text{set } \text{assumps}. \text{satisfies-evaluation val } p \ n)$ 
    using  $\text{assms solve-for-rhs-rec-M-complete}$ 
    by  $(\text{smt} (\text{verit}, \text{ccfv-threshold}) \text{ case-prodE})$ 
    then have  $(\text{assumps}, \text{rhs-rec-vec})$ 
     $\in \text{set} (\text{construct-rhs-vector-rec-M } p \ \text{init-assumps}$ 
       $(\text{map} (\lambda(I_1, I_2). (\text{retrieve-polys qs } I_1, \text{retrieve-polys qs } I_2)) \ I_s)) \implies$ 
       $(\bigwedge z f A. (z \in f ` A) = (\exists x \in A. z = f x)) \implies$ 
       $\forall x \in \text{set } \text{assumps}. \text{case } x \text{ of } (x, xa) \Rightarrow \text{satisfies-evaluation val } x \ xa \implies$ 
       $\exists x \in \text{set} (\text{construct-rhs-vector-rec-M } p \ \text{init-assumps}$ 
         $(\text{map} (\lambda(I_1, I_2). (\text{retrieve-polys qs } I_1, \text{retrieve-polys qs } I_2))$ 
         $I_s)).$ 
       $\forall x \in \text{set} (\text{fst } x). \text{case } x \text{ of } (x, xa) \Rightarrow \text{satisfies-evaluation val } x \ xa$ 
      by fastforce
    then show  $?thesis$ 
    using image-iff  $\text{assumps-rec unfolding construct-rhs-vector-M-def by auto}$ 
qed

lemma solve-for-lhs-M-complete:
  assumes  $\bigwedge p \ n. (p,n) \in \text{set } \text{init-assumps} \implies \text{satisfies-evaluation val } p \ n$ 
  shows  $\exists (\text{assumps}, \text{lhs-vec}) \in \text{set} (\text{solve-for-lhs-M } p \ \text{init-assumps} \text{ qs subsets}$ 
 $\text{matr}).$ 
   $(\forall (p,n) \in \text{set } \text{assumps}. \text{satisfies-evaluation val } p \ n)$ 
proof –
  obtain  $\text{assumps rhs-vec where assumps-prop}$ :
     $(\text{assumps}, \text{rhs-vec}) \in \text{set} (\text{construct-rhs-vector-M } p \ \text{init-assumps} \text{ qs subsets})$ 
     $(\forall (p,n) \in \text{set } \text{assumps}. \text{satisfies-evaluation val } p \ n)$ 
    using solve-for-rhs-M-complete assms
    by  $(\text{metis} (\text{mono-tags}, \text{lifting}) \text{ prod.simps}(2) \text{ surj-pair})$ 
  let  $?rhs = (\text{assumps}, \text{rhs-vec})$ 
  have  $(\text{assumps}, \text{rhs-vec})$ 
     $\in \text{set} (\text{construct-rhs-vector-M } p \ \text{init-assumps} \text{ qs subsets}) \implies$ 
     $(\text{assumps}, \text{solve-for-lhs-single-M } p \ \text{qs subsets matr rhs-vec})$ 
     $\in (\lambda rhs. (\text{fst } rhs, \text{solve-for-lhs-single-M } p \ \text{qs subsets matr} (\text{snd } rhs))) `$ 
     $\text{set} (\text{construct-rhs-vector-M } p \ \text{init-assumps} \text{ qs subsets})$ 
    using image-iff by fastforce
  then have  $(\text{fst } ?rhs, \text{solve-for-lhs-single-M } p \ \text{qs subsets matr} (\text{snd } ?rhs))$ 
     $\in \text{set} (\text{solve-for-lhs-M } p \ \text{init-assumps} \text{ qs subsets matr})$ 
    using  $\text{assumps-prop}(1)$  unfolding solve-for-lhs-M-def
    by auto
  then show  $?thesis$  using  $\text{assumps-prop}(2)$ 
    by auto
qed

lemma reduce-system-single-M-complete:
```

```

assumes  $\bigwedge p\ n.\ (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val } p\ n$ 
shows  $\exists (\text{assumps}, \text{mat-eq}) \in \text{set}(\text{reduce-system-single-}M\ p\ qs\ (\text{init-assumps}, (m,\text{subs},\text{signs})))$ .
 $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p\ n)$ 
proof –
  obtain  $\text{assumps lhs-vec where assumps-lhs}$ :
     $(\text{assumps}, \text{lhs-vec}) \in \text{set}(\text{solve-for-lhs-}M\ p\ \text{init-assumps}\ qs\ \text{subs}\ m)$ 
     $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p\ n)$ 
    using  $\text{assms solve-for-lhs-}M\text{-complete}$ 
    by ( $\text{metis (mono-tags, lifting) split-conv surj-pair}$ )
    then have  $(\text{assumps}, \text{lhs-vec}) \in \text{set}(\text{solve-for-lhs-}M\ p\ \text{init-assumps}\ qs\ \text{subs}\ m)$ 
   $\implies$ 
     $\forall x \in \text{set assumps}. \text{case } x \text{ of } (p, n) \Rightarrow \text{satisfies-evaluation val } p\ n \implies$ 
     $(\bigwedge z\ f\ A.\ (z \in f` A) = (\exists x \in A.\ z = f x)) \implies$ 
     $\exists x \in \text{set}(\text{solve-for-lhs-}M\ p\ \text{init-assumps}\ qs\ \text{subs}\ m).$ 
     $\forall x \in \text{set}(\text{fst } x). \text{case } x \text{ of } (x, xa) \Rightarrow \text{satisfies-evaluation val } x\ xa$ 
    by ( $\text{metis fst-conv}$ )
    then show  $?thesis$ 
    using  $\text{assumps-lhs image-iff reduce-system-single-}M\text{.simp}$  by  $\text{auto}$ 
qed

lemma  $\text{reduce-system-}M\text{-concat-map-helper}$ :
  fixes  $a :: 'a \text{ list}$ 
  fixes  $b :: 'a \text{ list list}$ 
  assumes  $a \in \text{set } b$ 
  shows  $\text{set } a \subseteq \text{set}(\text{concat } b)$ 
  using  $\text{assms by auto}$ 

lemma  $\text{reduce-system-}M\text{-complete}$ :
  assumes  $\bigwedge p\ n.\ (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val } p\ n$ 
  assumes  $(\text{init-assumps}, \text{mat-eq}) \in \text{set input-list}$ 
  shows  $\exists (\text{assumps}, \text{mat-eq}) \in \text{set}(\text{reduce-system-}M\ p\ qs\ \text{input-list}).$ 
 $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p\ n)$ 
proof –
  obtain  $m\ \text{subs}\ \text{signs}$  where  $\text{mat-eq}: (\text{init-assumps}, (m,\text{subs},\text{signs})) \in \text{set input-list}$ 
  using  $\text{assms(2)}$ 
  by ( $\text{metis prod-cases3}$ )
  have  $\text{reduce}: \text{reduce-system-}M\ p\ qs\ \text{input-list} = \text{concat}(\text{map}(\text{reduce-system-single-}M\ p\ qs)\ \text{input-list})$ 
  using  $\text{reduce-system-}M\text{.simp}$  by  $\text{auto}$ 
  have  $\text{elem}: (\text{reduce-system-single-}M\ p\ qs\ (\text{init-assumps}, (m,\text{subs},\text{signs}))) \in \text{set}(\text{map}(\text{reduce-system-single-}M\ p\ qs)\ \text{input-list})$ 
  using  $\text{mat-eq}$ 
  by ( $\text{metis (no-types, opaque-lifting) image-eqI image-set}$ )
  then have  $\text{set}(\text{reduce-system-single-}M\ p\ qs\ (\text{init-assumps}, (m,\text{subs},\text{signs}))) \subseteq$ 
 $\text{set}(\text{concat}(\text{map}(\text{reduce-system-single-}M\ p\ qs)\ \text{input-list}))$ 
  using  $\text{reduce-system-}M\text{-concat-map-helper}[of (\text{reduce-system-single-}M\ p\ qs\ (\text{init-assumps}, (m,\text{subs},\text{signs})))\ (\text{map}(\text{reduce-system-single-}M\ p\ qs)\ \text{input-list})]$ 

```

```

    by auto
then have subset: set (reduce-system-single-M p qs (init-assumps, (m,subs,signs)))
 $\subseteq$  set
    (reduce-system-M p qs input-list)
using mat-eq reduce by auto
have  $\exists$  (assumps, mat-eq)  $\in$  set (reduce-system-single-M p qs (init-assumps,
(m,subs,signs))).
    ( $\forall$  (p,n)  $\in$  set assumps. satisfies-evaluation val p n)
using reduce-system-single-M-complete assms(1)
by presburger
then show ?thesis using subset
using basic-trans-rules(31) by blast
qed

lemma combine-systems-M-complete:
assumes (assumps1, mat-eq1)  $\in$  set list1
assumes ( $\forall$  (p,n)  $\in$  set assumps1. satisfies-evaluation val p n)
assumes (assumps2, mat-eq2)  $\in$  set list2
assumes ( $\forall$  (p,n)  $\in$  set assumps2. satisfies-evaluation val p n)
shows  $\exists$  (assumps, mat-eq)  $\in$  set (snd (combine-systems-M p q1 list1 q2 list2)).
    ( $\forall$  (p,n)  $\in$  set assumps. satisfies-evaluation val p n)
proof -
have snd-is: snd (combine-systems-M p q1 list1 q2 list2) = concat (map ( $\lambda$ l1.
(map ( $\lambda$ l2. combine-systems-single-M p q1 l1 q2 l2) list2)) list1)
by auto
have (assumps1, mat-eq1)  $\in$  set list1  $\Longrightarrow$ 
    (assumps2, mat-eq2)  $\in$  set list2  $\Longrightarrow$ 
     $\exists$  x  $\in$  set list1.
        (assumps1 @ assumps2,
        snd (combine-systems-R p (q1, mat-eq1) (q2, mat-eq2)))
         $\in$  combine-systems-single-M p q1 x q2 ` set list2
by (metis combine-systems-single-M.simps rev-image-eqI)
then have inset: combine-systems-single-M p q1 (assumps1, mat-eq1) q2 (assumps2,
mat-eq2)
     $\in$  set (concat (map ( $\lambda$ l1. (map ( $\lambda$ l2. combine-systems-single-M p q1 l1 q2 l2)
list2)) list1))
using snd-is assms(1) assms(3) by auto
obtain mat-eq where mat-eq: (append assumps1 assumps2, mat-eq) = com-
bine-systems-single-M p q1 (assumps1, mat-eq1) q2 (assumps2, mat-eq2)
using combine-systems-single-M.simps by auto
then have (append assumps1 assumps2, mat-eq)  $\in$ 
set (concat (map ( $\lambda$ l1. (map ( $\lambda$ l2. combine-systems-single-M p q1 l1 q2 l2) list2))
list1))
using inset by auto
then have inset2: (append assumps1 assumps2, mat-eq)  $\in$  set (snd (combine-systems-M
p q1 list1 q2 list2))
using snd-is by auto
have  $\bigwedge$ p n. (p,n)  $\in$  set (append assumps1 assumps2)  $\Longrightarrow$  satisfies-evaluation val
p n

```

```

using assms(2) assms(4) by auto
then show ?thesis
  using inset2
  by blast
qed

lemma get-all-valuations-calculate-data-M:
assumes  $\bigwedge p n. (p,n) \in \text{set init-assumps} \implies \text{satisfies-evaluation val } p n$ 
shows  $\exists (\text{assumps}, \text{mat-eq}) \in \text{set}(\text{calculate-data-assumps-}M p qs \text{ init-assumps}).$ 
 $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p n)$ 
  using assms
proof (induct length qs arbitrary: val p init-assumps qs rule: less-induct)
  case (less qs val p init-assumps)
    have length qs = 0  $\vee$  length qs = 1  $\vee$  length qs > 1
      by (meson less-one nat-neq-iff)
  {assume *: length qs = 0
    then have calc-data-is: calculate-data-assumps- $M p []$  init-assumps
    = map ( $\lambda(\text{assumps}, (a, (b, c))). (\text{assumps}, (a, b, \text{map}(\text{drop } 1) c))$ ) (reduce-system- $M p [1]$  (base-case-info- $M$ -assumps init-assumps))
      using calculate-data-assumps- $M$ .simp
      by simp
    obtain assumps-inbtw mat-eq-inbtw where inbtw-props: (assumps-inbtw, mat-eq-inbtw)
     $\in \text{set}(\text{base-case-info-}M\text{-assumps init-assumps})$ 
     $(\forall (p,n) \in \text{set assumps-inbtw}. \text{satisfies-evaluation val } p n)$ 
      using base-case-info- $M$ -assumps-complete less(2)
      by (metis (no-types, lifting) case-prodE)
    then have  $(\bigwedge p n. (p, n) \in \text{set assumps-inbtw} \implies \text{satisfies-evaluation val } p n)$ 
      by auto
    then have  $\exists (\text{assumps}, \text{mat-eq}) \in \text{set}(\text{reduce-system-}M p [1] (\text{base-case-info-}M\text{-assumps init-assumps}))$ .
       $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p n)$ 
      using reduce-system- $M$ -complete[of assumps-inbtw val mat-eq-inbtw (base-case-info- $M$ -assumps init-assumps) p [1]] inbtw-props(1)
      by fastforce
    then obtain assumps mat-eq where assumps-mat: (assumps, mat-eq)  $\in \text{set}(\text{reduce-system-}M p [1] (\text{base-case-info-}M\text{-assumps init-assumps}))$ 
       $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p n)$ 
      by blast
    then obtain a b c where mat-eq = (a, (b, c))
      by (metis prod.exhaust-sel)
    then have (assumps, (a, (b, c)))  $\in \text{set}(\text{reduce-system-}M p [1] (\text{base-case-info-}M\text{-assumps init-assumps}))$ 
      using assumps-mat(1) by auto
    then have (assumps, (a, b, map (drop 1) c))  $\in \text{set}(\text{calculate-data-assumps-}M p [] \text{ init-assumps})$ 
      using calc-data-is image-iff
      by (smt (z3) image-set split-conv)
    then have  $\exists (\text{assumps}, \text{mat-eq}) \in \text{set}(\text{calculate-data-assumps-}M p qs \text{ init-assumps}).$ 
 $(\forall (p,n) \in \text{set assumps}. \text{satisfies-evaluation val } p n)$ 
  }

```

```

        using assms-mat(2) * by blast
    } moreover
    {assume _: length qs = 1
     then have calc-data-is: calculate-data-assumps-M p qs init-assumps = reduce-system-M p qs (base-case-info-M-assumps init-assumps)
      by auto
     obtain assms-inbtw mat-eq-inbtw where inbtw-props: (assumps-inbtw, mat-eq-inbtw) ∈ set (base-case-info-M-assumps init-assumps)
      ( ∀ (p,n) ∈ set assms-inbtw. satisfies-evaluation val p n)
      using base-case-info-M-assumps-complete less(2)
      by (metis (no-types, lifting) case-prodE)
     then have ( ∀ p n. (p, n) ∈ set assms-inbtw ==> satisfies-evaluation val p n)
      by auto
     then have ∃ (assumps, mat-eq) ∈ set (reduce-system-M p qs (base-case-info-M-assumps init-assumps)).
      ( ∀ (p,n) ∈ set assms. satisfies-evaluation val p n)
      using reduce-system-M-complete[of assms-inbtw val mat-eq-inbtw (base-case-info-M-assumps init-assumps) p qs] inbtw-props(1)
      by fastforce
     then have ∃ (assumps, mat-eq) ∈ set (calculate-data-assumps-M p qs init-assumps).
      ( ∀ (p,n) ∈ set assms. satisfies-evaluation val p n)
      using calc-data-is by auto
    } moreover
    {assume _: length qs > 1
     let ?len = length qs
     have calc-data-is: calculate-data-assumps-M p qs init-assumps
      = (let q1 = take (?len div 2) qs; left = calculate-data-assumps-M p q1 init-assumps;
         q2 = drop (?len div 2) qs; right = calculate-data-assumps-M p q2
      init-assumps;
      comb = combine-systems-M p q1 left q2 right in
      reduce-system-M p (fst comb) (snd comb)
      ) using * calculate-data-assumps-M.simps
     by (smt (z3) div-eq-0-iff bot-nat-0.not-eq-extremum div-greater-zero-iff rel-simps(69))

     let ?q1 = take (?len div 2) qs
     let ?left = calculate-data-assumps-M p ?q1 init-assumps
     let ?q2 = drop (?len div 2) qs
     let ?right = calculate-data-assumps-M p ?q2 init-assumps
     let ?comb = combine-systems-M p ?q1 ?left ?q2 ?right
     have calc-data-is2: calculate-data-assumps-M p qs init-assumps
      = reduce-system-M p (fst ?comb) (snd ?comb) using calc-data-is
      unfolding Let-def
      by auto
     have length ?q1 < ?len using *
      by auto
     then have ∃ (assumps, mat-eq) ∈ set ?left.
      ( ∀ (p,n) ∈ set assms. satisfies-evaluation val p n)
      using less.hyps[of ?q1 init-assumps val p]
      less.preds by auto
  
```

```

then obtain assms1 mat-eq1 where assms1:
  (assms1, mat-eq1) ∈ set ?left
  (forall (p,n) ∈ set assms1. satisfies-evaluation val p n)
  by blast
have length ?q2 < ?len using *
  by auto
then have ∃ (assms, mat-eq) ∈ set ?right.
  (forall (p,n) ∈ set assms. satisfies-evaluation val p n)
  using less.hyps[of ?q2 init-assms val p]
  less.preds by auto
then obtain assms2 mat-eq2 where assms2:
  (assms2, mat-eq2) ∈ set ?right
  (forall (p,n) ∈ set assms2. satisfies-evaluation val p n)
  by blast
have ∃ (assms, mat-eq) ∈ set (snd ?comb).
  (forall (p,n) ∈ set assms. satisfies-evaluation val p n)
  using combine-systems-M-complete assms1 assms2
  by auto
then obtain assms-inbtw mat-eq-inbtw where
  (assms-inbtw, mat-eq-inbtw) ∈ set (snd ?comb)
  (forall (p,n) ∈ set assms-inbtw. satisfies-evaluation val p n)
  by blast
then have ∃ (assms, mat-eq) ∈ set (reduce-system-M p (fst ?comb) (snd
?comb)).
  (forall (p,n) ∈ set assms. satisfies-evaluation val p n)
  using reduce-system-M-complete[of assms-inbtw val mat-eq-inbtw snd ?comb
p fst ?comb]
  by fastforce
then have ∃ (assms, mat-eq) ∈ set (calculate-data-assms-M p qs init-assms).
  (forall (p,n) ∈ set assms. satisfies-evaluation val p n)
  using calc-data-is2
  by presburger
}
ultimately show ?case
  using <length qs = 0 ∨ length qs = 1 ∨ 1 < length qs> by fastforce
qed

fun extract-signs-single:: assms × matrix-equation ⇒ (assms × rat list list)
where extract-signs-single (assms, mat-eq) = (assms, snd (snd mat-eq))

lemma extract-signs-alt-char:
  shows extract-signs qs = map extract-signs-single qs
proof (induct qs)
  case Nil
  then show ?case by auto
next
  case (Cons a qs)
  then show ?case
  using extract-signs.simps extract-signs-single.simps

```

```

    by (smt (verit, ccfv-threshold) list.map(2) snd-conv split-conv surj-pair)
qed

lemma get-all-valuations-helper:
assumes (assumps, mat-eq) ∈ set ell
assumes extract-signs-single (assumps, mat-eq) = (assumps, signs)
shows (assumps, signs) ∈ set (extract-signs ell)
proof -
have extract-signs ell = map extract-signs-single ell
  using extract-signs-alt-char
  by metis
then show ?thesis using assms image-eqI
  by (metis list.set-map)
qed

lemma get-all-valuations-alt:
assumes ∀p n. (p,n) ∈ set init-assumps ⇒ satisfies-evaluation val p n
shows ∃(assumps, signs) ∈ set (sign-determination-inner qs init-assumps).
  (∀p n. (p,n) ∈ set assumps → satisfies-evaluation val p n)
proof -
obtain branch-gen where branch-gen-prop:
branch-gen ∈ set (lc-assump-generation-list qs init-assumps)
set (fst branch-gen) ⊆ set (init-assumps) ∪ set
  (map (λx. (x, mpoly-sign val x)) (coeffs-list qs))
using assms lc-assump-generation-list-evaluation
by blast
then have branch-gen: ∀p n. (p,n) ∈ set (fst branch-gen) ⇒ satisfies-evaluation
val p n
  using lc-assump-generation-evaluation-satisfies-eval
  by (meson UnE assms in-mono)
let ?poly-p-branch = poly-p-in-branch branch-gen
let ?pos-limit-branch = fst (limit-points-on-branch branch-gen)
let ?neg-limit-branch = snd (limit-points-on-branch branch-gen)
let ?calculate-data-branch = extract-signs (calculate-data-assumps-M ?poly-p-branch
(snd branch-gen) (fst branch-gen))
have lim-points: limit-points-on-branch branch-gen = (?pos-limit-branch, ?neg-limit-branch)
  by auto
have set (let poly-p-branch = poly-p-in-branch branch-gen;
  (pos-limit-branch, neg-limit-branch) = limit-points-on-branch branch-gen;
  calculate-data-branch = extract-signs (calculate-data-assumps-M poly-p-branch
  (snd branch-gen) (fst branch-gen))
  in map (λ(a, signs). (a, pos-limit-branch#neg-limit-branch#signs)) calculate-data-branch)
  ⊆ set (sign-determination-inner qs init-assumps)
  using branch-gen-prop(1) sign-determination-inner.simps by auto
then have in-signet: set (map (λ(a, signs). (a, ?pos-limit-branch#?neg-limit-branch#signs))
?calculate-data-branch)
  ⊆ set (sign-determination-inner qs init-assumps)
  using lim-points unfolding Let-def
proof -

```

```

assume set (case limit-points-on-branch branch-gen of (pos-limit-branch, neg-limit-branch)
⇒ map (λ(a, signs). (a, pos-limit-branch # neg-limit-branch # signs)) (extract-signs
(calculate-data-assumps-M (poly-p-in-branch branch-gen) (snd branch-gen) (fst branch-gen))))
⊆ set (sign-determination-inner qs init-assumps)
then show ?thesis
by (simp add: split-def)
qed
obtain assumps mat-eq where assumps-mat-prop:
(assumps, mat-eq) ∈ set (calculate-data-assumps-M ?poly-p-branch (snd branch-gen)
(fst branch-gen))
(∀ (p,n) ∈ set assumps. satisfies-evaluation val p n)
using get-all-valuations-calculate-data-M branch-gen
by (smt (verit, del-insts) prod.exhaust-sel split-def)
then obtain m subsets signs where mat-eq-is: mat-eq = (m, (subsets, signs))
using prod-cases3 by blast
then have pull-out: extract-signs-single (assumps, mat-eq) = (assumps, signs)
using extract-signs-single.simps by auto
have ?calculate-data-branch = (map extract-signs-single
(calculate-data-assumps-M (poly-p-in-branch branch-gen)
(snd branch-gen) (fst branch-gen)))
using extract-signs-alt-char by auto
then have (assumps, signs) ∈ set ?calculate-data-branch
using assumps-mat-prop(1) pull-out get-all-valuations-helper
by blast
then have (assumps, ?pos-limit-branch#?neg-limit-branch#signs) ∈
set (sign-determination-inner qs init-assumps)
using in-signdet by auto
then show ?thesis
using assumps-mat-prop(2)
by blast
qed

lemma get-all-valuations:
assumes ∀p n. (p,n) ∈ set init-assumps ⇒ satisfies-evaluation val p n
obtains assumps signs where (assumps, signs) ∈ set (sign-determination-inner
qs init-assumps)
    ∀p n. (p,n) ∈ set assumps ⇒ satisfies-evaluation val p n
using assms get-all-valuations-alt
by (metis (no-types, lifting) case-prodE)

```

## 17.11 Correctness of elim forall and elim exist

```

lemma subset-zip-is-subset:
assumes set qs1 ⊆ set qs
assumes signs = map (mpoly-sign val) qs
assumes signs1 = map (mpoly-sign val) qs1
shows subset: set (zip qs1 signs1) ⊆ set (zip qs signs)
proof clarsimp
fix a b

```

```

assume *:  $(a, b) \in \text{set}(\text{zip } qs1 \text{ signs1})$ 
then have  $a \in \text{set} qs1$ 
  by (meson set-zip-leftD)
then have  $a\text{-in-}qs: a \in \text{set} qs$ 
  using assms by auto
have  $\bigwedge ba. \text{set} qs1 \subseteq \text{set} qs \implies$ 
   $\text{signs} = \text{map}(\text{mpoly-sign val}) qs \implies$ 
   $\text{signs1} = \text{map}(\text{mpoly-sign val}) qs1 \implies$ 
   $\text{zip} qs1 (\text{map}(\text{mpoly-sign val}) qs1) =$ 
   $\text{map2}(\lambda x y. (x, \text{mpoly-sign val} y)) qs1 qs1 \implies$ 
   $(a, ba) \in \text{set}(\text{zip } qs1 \text{ signs1}) \implies$ 
   $b = \text{mpoly-sign val} ba \implies \text{mpoly-sign val} ba = \text{mpoly-sign val} a$ 
  by (simp add: zip-same)
then have  $b\text{-is}: b = \text{mpoly-sign val} a$ 
  using * assms zip-map2[of qs1 mpoly-sign val qs1]
  by (auto)
have  $(a, \text{mpoly-sign val} a) \in \text{set}(\text{map}(\lambda(x,y).(x, \text{mpoly-sign val} y)) (zip qs qs))$ 
  using a-in-qs zip-same[of a a qs] by auto
then have  $(a, \text{mpoly-sign val} a) \in \text{set}(\text{map2}(\lambda x y. (x, \text{mpoly-sign val} y)) qs qs)$ 
  by auto
then show  $(a, b) \in \text{set}(\text{zip } qs \text{ signs})$ 
  using b-is a-in-qs assms zip-map2[of qs mpoly-sign val qs]
  using assms(1) by presburger
qed

```

```

lemma extract-polys-subset:
assumes  $\text{signs} = \text{map}(\text{mpoly-sign val}) qs$ 
assumes  $\text{signs1} = \text{map}(\text{mpoly-sign val}) qs1$ 
assumes  $\text{set} qs1 \subseteq \text{set} qs$ 
assumes  $\text{Some } w = \text{lookup-sem-M } F (\text{zip } qs1 \text{ signs1})$ 
shows  $\text{lookup-sem-M } F (\text{zip } qs \text{ signs}) = \text{lookup-sem-M } F (\text{zip } qs1 \text{ signs1})$ 
using assms
proof (induct F arbitrary: w)
  case TrueF
  then show ?case by auto
next
  case FalseF
  then show ?case by auto
next
  case (Atom x)
  have subset:  $\text{set}(\text{zip } qs1 \text{ signs1}) \subseteq \text{set}(\text{zip } qs \text{ signs})$ 
    using subset-zip-is-subset Atom.prems by auto
  show ?case
  proof (cases x)
    case (Less x1)
    then obtain i where i-prop:  $\text{lookup-assump-aux } x1 (\text{zip } qs1 \text{ signs1}) = \text{Some } i$ 
      using Atom.prems lookup-sem-M.simps
      by (metis lookup-assump-aux-eo option.simps(3) option.simps(4))
    have i-is:  $(x1, i) \in \text{set}(\text{zip } qs1 \text{ signs1})$ 

```

```

using i-prop lookup-assump-means-inset[of x1 (zip qs1 signs1) i]
  in-set-member[of (x1, i) (zip qs1 signs1) ] by auto
then have (x1, i) ∈ set (zip qs signs)
  using subset by auto
then obtain i1 where lookup-assump-aux x1 (zip qs signs) = Some i1
  using inset-means-lookup-assump-some[of x1 i (zip qs signs)]
  by meson
then have i1-is: (x1, i1) ∈ set (zip qs signs)
  using lookup-assump-means-inset[of x1 (zip qs signs) i1]
    in-set-member[of (x1, i1) (zip qs signs)] by auto
have ∀b. signs1 = map (mpoly-sign val) qs1 ⇒
  zip qs1 (map (mpoly-sign val) qs1) =
  map2 (λx y. (x, mpoly-sign val y)) qs1 qs1 ⇒
  (x1, b) ∈ set (zip qs1 qs1) ⇒
  i = mpoly-sign val b ⇒ mpoly-sign val b = mpoly-sign val x1
  by (simp add: zip-same)
then have i-sign : i = mpoly-sign val x1
  using i-is Atom.prems(2) zip-map2[of qs1 mpoly-sign val qs1]
  by (auto)
have ∀b. signs = map (mpoly-sign val) qs ⇒
  zip qs (map (mpoly-sign val) qs) =
  map2 (λx y. (x, mpoly-sign val y)) qs qs ⇒
  (x1, b) ∈ set (zip qs qs) ⇒
  i1 = mpoly-sign val b ⇒ mpoly-sign val b = mpoly-sign val x1
  by (simp add: zip-same)
then have i1-sign: i1 = mpoly-sign val x1
  using i1-is Atom.prems(1) zip-map2[of qs mpoly-sign val qs]
  by (auto)
have Sturm-Tarski.sign i1 = Sturm-Tarski.sign i
  using i-sign i1-sign
  by blast
then show ?thesis using Atom.prems i-prop lookup-sem-M.simps
  using Less ⟨lookup-assump-aux x1 (zip qs signs) = Some i1⟩ i1-sign i-sign
by presburger
next
case (Eq x1)
then obtain i where i-prop: lookup-assump-aux x1 (zip qs1 signs1) = Some i
  using Atom.prems lookup-sem-M.simps
  by (metis lookup-assump-aux-eo option.simps(3) option.simps(4))
have i-is: (x1, i) ∈ set (zip qs1 signs1)
  using i-prop lookup-assump-means-inset[of x1 (zip qs1 signs1) i]
    in-set-member[of (x1, i) (zip qs1 signs1) ] by auto
then have (x1, i) ∈ set (zip qs signs)
  using subset by auto
then obtain i1 where lookup-assump-aux x1 (zip qs signs) = Some i1
  by (meson inset-means-lookup-assump-some)
then have i1-is: (x1, i1) ∈ set (zip qs signs)
  using lookup-assump-means-inset[of x1 (zip qs signs) i1]
    in-set-member[of (x1, i1) (zip qs signs)] by auto

```

```

have  $\bigwedge b. \text{signs}_1 = \text{map}(\text{mpoly-sign val}) \text{qs}_1 \implies$ 
     $\text{zip } \text{qs}_1 (\text{map}(\text{mpoly-sign val}) \text{qs}_1) =$ 
     $\text{map2 } (\lambda x y. (x, \text{mpoly-sign val } y)) \text{qs}_1 \text{qs}_1 \implies$ 
     $(x_1, b) \in \text{set}(\text{zip } \text{qs}_1 \text{qs}_1) \implies$ 
     $i = \text{mpoly-sign val } b \implies \text{mpoly-sign val } b = \text{mpoly-sign val } x_1$ 
  by (simp add: zip-same)
then have  $i\text{-sign} : i = \text{mpoly-sign val } x_1$ 
  using i-is Atom.prems(2) zip-map2[of qs1 mpoly-sign val qs1]
  by (auto)
have  $\bigwedge b. \text{signs} = \text{map}(\text{mpoly-sign val}) \text{qs} \implies$ 
     $\text{zip } \text{qs} (\text{map}(\text{mpoly-sign val}) \text{qs}) =$ 
     $\text{map2 } (\lambda x y. (x, \text{mpoly-sign val } y)) \text{qs qs} \implies$ 
     $(x_1, b) \in \text{set}(\text{zip } \text{qs qs}) \implies$ 
     $i_1 = \text{mpoly-sign val } b \implies \text{mpoly-sign val } b = \text{mpoly-sign val } x_1$ 
  by (simp add: zip-same)
then have  $i_1\text{-sign}: i_1 = \text{mpoly-sign val } x_1$ 
  using i1-is Atom.prems(1) zip-map2[of qs mpoly-sign val qs]
  by (auto)
have Sturm-Tarski.sign  $i_1 = \text{Sturm-Tarski.sign } i$ 
  using i-sign i1-sign
  by blast
then show ?thesis using Atom.prems i-prop lookup-sem-M.simps
  using Eq <lookup-assump-aux x1 (zip qs signs) = Some i1> i1-sign i-sign by
presburger
next
case (Leq x1)
then obtain i where i-prop: lookup-assump-aux x1 (zip qs1 signs1) = Some i
  using Atom.prems lookup-sem-M.simps
  by (metis lookup-assump-aux-eo option.simps(3) option.simps(4))
have i-is:  $(x_1, i) \in \text{set}(\text{zip } \text{qs}_1 \text{signs}_1)$ 
  using i-prop lookup-assump-means-inset[of x1 (zip qs1 signs1) i]
  in-set-member[of (x1, i) (zip qs1 signs1)] by auto
then have  $(x_1, i) \in \text{set}(\text{zip } \text{qs signs})$ 
  using subset by auto
then obtain i1 where lookup-assump-aux x1 (zip qs signs) = Some i1
  by (meson inset-means-lookup-assump-some)
then have i1-is:  $(x_1, i_1) \in \text{set}(\text{zip } \text{qs signs})$ 
  using lookup-assump-means-inset[of x1 (zip qs signs) i1]
  in-set-member[of (x1, i1) (zip qs signs)] by auto
have  $\bigwedge b. \text{signs}_1 = \text{map}(\text{mpoly-sign val}) \text{qs}_1 \implies$ 
     $\text{zip } \text{qs}_1 (\text{map}(\text{mpoly-sign val}) \text{qs}_1) =$ 
     $\text{map2 } (\lambda x y. (x, \text{mpoly-sign val } y)) \text{qs}_1 \text{qs}_1 \implies$ 
     $(x_1, b) \in \text{set}(\text{zip } \text{qs}_1 \text{qs}_1) \implies$ 
     $i = \text{mpoly-sign val } b \implies \text{mpoly-sign val } b = \text{mpoly-sign val } x_1$ 
  by (simp add: zip-same)
then have  $i\text{-sign} : i = \text{mpoly-sign val } x_1$ 
  using i-is Atom.prems(2) zip-map2[of qs1 mpoly-sign val qs1]
  by (auto)
have  $\bigwedge b. \text{signs} = \text{map}(\text{mpoly-sign val}) \text{qs} \implies$ 

```

```

zip qs (map (mpoly-sign val) qs) =
map2 (λx y. (x, mpoly-sign val y)) qs qs ==>
(x1, b) ∈ set (zip qs qs) ==>
i1 = mpoly-sign val b ==> mpoly-sign val b = mpoly-sign val x1
by (simp add: zip-same)
then have i1-sign: i1 = mpoly-sign val x1
  using i1-is Atom.prems(1) zip-map2[of qs mpoly-sign val qs]
  by (auto)
have Sturm-Tarski.sign i1 = Sturm-Tarski.sign i
  using i-sign i1-sign
  by blast
then show ?thesis using Atom.prems i-prop lookup-sem-M.simps
  using Leq ⟨lookup-assump-aux x1 (zip qs signs) = Some i1⟩ i1-sign i-sign by
presburger
next
case (Neq x1)
then obtain i where i-prop: lookup-assump-aux x1 (zip qs1 signs1) = Some i
  using Atom.prems lookup-sem-M.simps
  by (metis lookup-assump-aux-eo option.simps(3) option.simps(4))
have i-is: (x1, i) ∈ set (zip qs1 signs1)
  using i-prop lookup-assump-means-inset[of x1 (zip qs1 signs1) i]
  in-set-member[of (x1, i) (zip qs1 signs1)] by auto
then have (x1, i) ∈ set (zip qs signs)
  using subset by auto
then obtain i1 where lookup-assump-aux x1 (zip qs signs) = Some i1
  by (meson inset-means-lookup-assump-some)
then have i1-is: (x1, i1) ∈ set (zip qs signs)
  using lookup-assump-means-inset[of x1 (zip qs signs) i1]
  in-set-member[of (x1, i1) (zip qs signs)] by auto
have ∏b. signs1 = map (mpoly-sign val) qs1 ==>
zip qs1 (map (mpoly-sign val) qs1) =
map2 (λx y. (x, mpoly-sign val y)) qs1 qs1 ==>
(x1, b) ∈ set (zip qs1 qs1) ==>
i = mpoly-sign val b ==> mpoly-sign val b = mpoly-sign val x1
by (simp add: zip-same)
then have i-sign :i = mpoly-sign val x1
  using i-is Atom.prems(2) zip-map2[of qs1 mpoly-sign val qs1]
  by (auto)
have ∏b. signs = map (mpoly-sign val) qs ==>
zip qs (map (mpoly-sign val) qs) =
map2 (λx y. (x, mpoly-sign val y)) qs qs ==>
(x1, b) ∈ set (zip qs qs) ==>
i1 = mpoly-sign val b ==> mpoly-sign val b = mpoly-sign val x1
by (simp add: zip-same)
then have i1-sign: i1 = mpoly-sign val x1
  using i1-is Atom.prems(1) zip-map2[of qs mpoly-sign val qs]
  by (auto)
have Sturm-Tarski.sign i1 = Sturm-Tarski.sign i
  using i-sign i1-sign

```

```

by blast
then show ?thesis using Atom.prems i-prop lookup-sem-M.simps
  using Neq `lookup-assump-aux x1 (zip qs signs) = Some i1` i1-sign i-sign by
presburger
qed
next
  case (And F1 F2)
  let ?sub-ell = (zip qs1 signs1)
  have case-some: lookup-sem-M (fm.And F1 F2) ?sub-ell = (case (lookup-sem-M
F1 ?sub-ell, lookup-sem-M F2 ?sub-ell)
  of (Some i, Some j) => Some (i ∧ j)
  | - => None)
  using lookup-sem-M.simps by simp
  have e1: ∃ w1. lookup-sem-M F1 ?sub-ell = Some w1
  using case-some And.prems(4)
  using proper-interval-bool.elims(1) by fastforce
  have e2: ∃ w2. lookup-sem-M F2 ?sub-ell = Some w2
  using case-some And.prems(4)
  using proper-interval-bool.elims(1)
  by (smt (z3) option.case(1) option.simps(5) split-conv)
  then obtain w1 w2 where lookup-sem-M F1 ?sub-ell = Some w1
  lookup-sem-M F2 ?sub-ell = Some w2
  using e1 e2 by auto
  have ind1: lookup-sem-M F1 (zip qs signs) = lookup-sem-M F1 (zip qs1 signs1)
  using e1 And.hyps(1) And.prems(1–3) by auto
  have ind2: lookup-sem-M F2 (zip qs signs) = lookup-sem-M F2 (zip qs1 signs1)
  using e2 And.hyps(2) And.prems(1–3) by auto
  then show ?case using lookup-sem-M.simps
    ind1 ind2
    by presburger
next
  case (Or F1 F2)
  let ?sub-ell = (zip qs1 signs1)
  have case-some: lookup-sem-M (fm.Or F1 F2) ?sub-ell = (case (lookup-sem-M
F1 ?sub-ell, lookup-sem-M F2 ?sub-ell)
  of (Some i, Some j) => Some (i ∨ j)
  | - => None)
  using lookup-sem-M.simps by simp
  have e1: ∃ w1. lookup-sem-M F1 ?sub-ell = Some w1
  using case-some Or.prems(4)
  using proper-interval-bool.elims(1) by fastforce
  have e2: ∃ w2. lookup-sem-M F2 ?sub-ell = Some w2
  using case-some Or.prems(4)
  using proper-interval-bool.elims(1)
  by (smt (z3) option.case(1) option.simps(5) split-conv)
  then obtain w1 w2 where lookup-sem-M F1 ?sub-ell = Some w1
  lookup-sem-M F2 ?sub-ell = Some w2
  using e1 e2 by auto
  have ind1: lookup-sem-M F1 (zip qs signs) = lookup-sem-M F1 (zip qs1 signs1)

```

```

    using e1 Or.hyps(1) Or.prems(1–3) by auto
have ind2: lookup-sem-M F2 (zip qs signs) = lookup-sem-M F2 (zip qs1 signs1)
    using e2 Or.hyps(2) Or.prems(1–3) by auto
    then show ?case using lookup-sem-M.simps
        ind1 ind2
        by presburger
next
    case (Neg F)
    then show ?case
        by (metis lookup-sem-M.simps(5) not-Some-eq option.case(1))
next
    case (ExQ F)
    then show ?case by auto
next
    case (AllQ F)
    then show ?case by auto
next
    case (ExN x1 F)
    then show ?case
        by (metis add-Suc-right le-Suc-eq' le-add-same-cancel1 lookup-sem-M.simps(10)
            lookup-sem-M.simps(14))
next
    case (AllN x1 F)
    then show ?case
        by (metis lookup-sem-M.simps(11) lookup-sem-M.simps(15) zero-list.cases)
qed

lemma extract-polys-semantics:
assumes qs = extract-polys F
assumes signs = map (mpoly-sign val) qs
assumes countQuantifiers F = 0
shows Some (eval F val) = lookup-sem-M F (zip qs signs)
using assms
proof (induct F arbitrary: qs signs)
    case TrueF
    then show ?case by auto
next
    case FalseF
    then show ?case
        by auto
next
    case (Atom x)
    then show ?case
    proof (cases x)
        case (Less x1)
        then have extract-polys (fm.Atom x) = [x1]
            using extract-polys.simps by auto
        then have qs-is: qs = [x1] using Atom.prems
            by auto

```

```

then have signs-is: signs = [mpoly-sign val x1]
  using Atom.prem by auto
then have zip-is: zip qs signs = [(x1, mpoly-sign val x1)]
  using qs-is by auto
then have lookup-sem-is: lookup-sem-M (fm.Atom x) (zip qs signs) =
  (case (lookup-assump-aux x1 (zip qs signs)) of
  Some i => Some (i < 0)
  | - => None)using Less
  by auto
have lookup-assump-aux x1 (zip qs signs) = Some (mpoly-sign val x1)
  using zip-is by auto
then have lookup-is: lookup-sem-M (fm.Atom x) (zip qs signs) = Some ((mpoly-sign
val x1) < 0)
  using lookup-sem-is by auto
have eval-is: eval (fm.Atom x) val = (insertion (nth-default 0 val) x1 < 0)
  using Less by auto
have (mpoly-sign val x1) < 0 = (insertion (nth-default 0 val) x1 < 0)
  unfolding mpoly-sign-def eval-mpoly-def Sturm-Tarski.sign-def
  by auto
then show ?thesis using eval-is lookup-is
  by auto
next
case (Eq x1)
then have extract-polys (fm.Atom x) = [x1]
  using extract-polys.simps by auto
then have qs-is: qs = [x1] using Atom.prem
  by auto
then have signs-is: signs = [mpoly-sign val x1]
  using Atom.prem by auto
then have zip-is: zip qs signs = [(x1, mpoly-sign val x1)]
  using qs-is by auto
then have lookup-sem-is: lookup-sem-M (fm.Atom x) (zip qs signs) =
  (case (lookup-assump-aux x1 (zip qs signs)) of
  Some i => Some (i = 0)
  | - => None)using Eq
  by auto
have lookup-assump-aux x1 (zip qs signs) = Some (mpoly-sign val x1)
  using zip-is by auto
then have lookup-is: lookup-sem-M (fm.Atom x) (zip qs signs) = Some ((mpoly-sign
val x1) = 0)
  using lookup-sem-is by auto
have eval-is: eval (fm.Atom x) val = (insertion (nth-default 0 val) x1 = 0)
  using Eq by auto
have (mpoly-sign val x1) = 0 = (insertion (nth-default 0 val) x1 = 0)
  unfolding mpoly-sign-def eval-mpoly-def Sturm-Tarski.sign-def
  by auto
then show ?thesis using eval-is lookup-is
  by auto
next

```

```

case (Leq x1)
then have extract-polys (fm.Atom x) = [x1]
  using extract-polys.simps by auto
then have qs-is: qs = [x1] using Atom.prems
  by auto
then have signs-is: signs = [mpoly-sign val x1]
  using Atom.prems by auto
then have zip-is: zip qs signs = [(x1, mpoly-sign val x1)]
  using qs-is by auto
then have lookup-sem-is: lookup-sem-M (fm.Atom x) (zip qs signs) =
  (case (lookup-assump-aux x1 (zip qs signs)) of
  Some i  $\Rightarrow$  Some (i ≤ 0)
  | -  $\Rightarrow$  None)using Leq
  by auto
have lookup-assump-aux x1 (zip qs signs) = Some (mpoly-sign val x1)
  using zip-is by auto
then have lookup-is: lookup-sem-M (fm.Atom x) (zip qs signs) = Some ((mpoly-sign
val x1)) ≤ 0
  using lookup-sem-is by auto
have eval-is: eval (fm.Atom x) val = (insertion (nth-default 0 val) x1 ≤ 0)
  using Leq by auto
have (mpoly-sign val x1)) ≤ 0 = (insertion (nth-default 0 val) x1 ≤ 0)
  unfolding mpoly-sign-def eval-mpoly-def Sturm-Tarski.sign-def
  by auto
then show ?thesis using eval-is lookup-is
  by auto
next
case (Neq x1)
then have extract-polys (fm.Atom x) = [x1]
  using extract-polys.simps by auto
then have qs-is: qs = [x1] using Atom.prems
  by auto
then have signs-is: signs = [mpoly-sign val x1]
  using Atom.prems by auto
then have zip-is: zip qs signs = [(x1, mpoly-sign val x1)]
  using qs-is by auto
then have lookup-sem-is: lookup-sem-M (fm.Atom x) (zip qs signs) =
  (case (lookup-assump-aux x1 (zip qs signs)) of
  Some i  $\Rightarrow$  Some (i ≠ 0)
  | -  $\Rightarrow$  None)using Neq
  by auto
have lookup-assump-aux x1 (zip qs signs) = Some (mpoly-sign val x1)
  using zip-is by auto
then have lookup-is: lookup-sem-M (fm.Atom x) (zip qs signs) = Some ((mpoly-sign
val x1)) ≠ 0
  using lookup-sem-is by auto
have eval-is: eval (fm.Atom x) val = (insertion (nth-default 0 val) x1 ≠ 0)
  using Neq by auto
have (mpoly-sign val x1)) ≠ 0 = (insertion (nth-default 0 val) x1 ≠ 0)

```

```

unfolding mpoly-sign-def eval-mpoly-def Sturm-Tarski.sign-def
by auto
then show ?thesis using eval-is lookup-is
by auto
qed
next
case (And F1 F2)
have qs-is: qs = (extract-polys F1)@(extract-polys F2)
using And.prems(1) extract-polys.simps
by force
then obtain signs1 signs2 where signs-prop:
signs1 = map (mpoly-sign val) (extract-polys F1)
signs2 = map (mpoly-sign val) (extract-polys F2)
signs = signs1 @ signs2
using And.prems(2) by auto
have subset-f1: set (extract-polys F1) ⊆ set qs
using qs-is by auto
have subset-f2: set (extract-polys F2) ⊆ set qs
using qs-is by auto
have f1-free: countQuantifiers F1 = 0
using And.prems(3)
by auto
have f2-free: countQuantifiers F2 = 0
using And.prems(3)
by auto
have zip-is: (zip qs signs) = (zip (extract-polys F1) signs1)@(zip (extract-polys
F2) signs2)
using qs-is signs-prop(3)
by (simp add: signs-prop(1))
have ind1: Some (eval F1 val) = lookup-sem-M F1 (zip (extract-polys F1) signs1)
using And.hyps(1) signs-prop(1) f1-free by auto
have subset: set (zip (extract-polys F1) signs1) ⊆ set (zip qs signs)
using subset-zip-is-subset And.prems signs-prop(1) by auto
then have lookup1: lookup-sem-M F1 (zip (extract-polys F1) signs1) = lookup-sem-M
F1 (zip qs signs)
using extract-polys-subset[of signs val qs signs1 extract-polys F1] ind1 subset-f1
signs-prop(1) qs-is And.prems(2)
by auto
then have restate-ind1: lookup-sem-M F1 (zip qs signs) = Some (eval F1 val)
using ind1 lookup1
by auto
have ind2: Some (eval F2 val) = lookup-sem-M F2 (zip (extract-polys F2) signs2)
using And.hyps(2) signs-prop(2) f2-free by auto
then have lookup2: lookup-sem-M F2 (zip (extract-polys F2) signs2) = lookup-sem-M
F2 (zip qs signs)
using extract-polys-subset[of signs val qs signs2 extract-polys F2] ind2 subset-f2
signs-prop(2) And.prems(2)
by auto
then have restate-ind2: lookup-sem-M F2 (zip qs signs) = Some (eval F2 val)

```

```

using ind2 lookup2
by auto
show ?case using signs-prop(3)
  restate-ind1 restate-ind2
  qs-is zip-is lookup-sem-M.simps
  by simp
next
case (Or F1 F2)
have qs-is: qs = (extract-polys F1)@((extract-polys F2))
  using Or.preds(1) extract-polys.simps
  by force
then obtain signs1 signs2 where signs-prop:
  signs1 = map (mpoly-sign val) (extract-polys F1)
  signs2 = map (mpoly-sign val) (extract-polys F2)
  signs = signs1 @ signs2
  using Or.preds(2) by auto
have subset-f1: set (extract-polys F1) ⊆ set qs
  using qs-is by auto
have subset-f2: set (extract-polys F2) ⊆ set qs
  using qs-is by auto
have f1-free: countQuantifiers F1 = 0
  using Or.preds(3)
  by auto
have f2-free: countQuantifiers F2 = 0
  using Or.preds(3)
  by auto
have zip-is: (zip qs signs) = (zip (extract-polys F1) signs1)@((zip (extract-polys
F2) signs2))
  using qs-is signs-prop(3)
  by (simp add: signs-prop(1))
have ind1: Some (eval F1 val) = lookup-sem-M F1 (zip (extract-polys F1) signs1)
  using Or.hyps(1) signs-prop(1) f1-free by auto
have subset: set (zip (extract-polys F1) signs1) ⊆ set (zip qs signs)
  using subset-zip-is-subset Or.preds signs-prop(1) by auto
then have lookup1: lookup-sem-M F1 (zip (extract-polys F1) signs1) = lookup-sem-M
F1 (zip qs signs)
  using extract-polys-subset[of signs val qs signs1 extract-polys F1] ind1 subset-f1
signs-prop(1) qs-is Or.preds(2)
  by auto
then have restate-ind1: lookup-sem-M F1 (zip qs signs) = Some (eval F1 val)
  using ind1 lookup1
  by auto
have ind2: Some (eval F2 val) = lookup-sem-M F2 (zip (extract-polys F2) signs2)
  using Or.hyps(2) signs-prop(2) f2-free by auto
then have lookup2: lookup-sem-M F2 (zip (extract-polys F2) signs2) = lookup-sem-M
F2 (zip qs signs)
  using extract-polys-subset[of signs val qs signs2 extract-polys F2] ind2 subset-f2
signs-prop(2) Or.preds(2)
  by auto

```

```

then have restate-ind2: lookup-sem-M F2 (zip qs signs) = Some (eval F2 val)
  using ind2 lookup2
  by auto
show ?case using signs-prop(3)
  restate-ind1 restate-ind2
  qs-is zip-is lookup-sem-M.simps
  by simp
next
  case (Neg F)
  have qs-is: qs = (extract-polys F)
    using Neg.prems(1) extract-polys.simps
    by force
  have cq-zer: countQuantifiers F = 0
    using Neg.prems(3) by auto
  have Some (eval F val) = lookup-sem-M F (zip qs signs)
    using qs-is cq-zer Neg.hyps[of qs signs] Neg.prems(2)
    by auto
  then show ?case using lookup-sem-M.simps
    by (smt (verit, best) eval.simps(6) option.simps(5))
next
  case (ExQ F)
  have countQuantifiers (ExQ F) > 0
    by auto
  then show ?case using ExQ.prems(3) by auto
next
  case (AllQ F)
  have countQuantifiers (AllQ F) > 0
    by auto
  then show ?case using AllQ.prems(3) by auto
next
  case (ExN x1 F)
  {assume *: x1 = 0
  then have h1: eval (ExN x1 F) val = eval F val
    by auto
  have h2: lookup-sem-M (ExN x1 F) (zip qs signs)
    = lookup-sem-M F (zip qs signs)
    using * by auto
  have qs-is: qs = extract-polys F using * ExN.prems(1)
    extract-polys.simps by auto
  have countQuantifiers F = 0
    using ExN.prems(3) by auto
  then have Some (eval (ExN x1 F) val) =
    lookup-sem-M (ExN x1 F) (zip qs signs)
    using qs-is h1 h2 ExN.hyps[of qs signs] ExN.prems(2) by auto
  }
  moreover {assume *: x1 > 0
  then have countQuantifiers (ExN x1 F) > 0
    by auto
  then have Some (eval (ExN x1 F) val) =

```

```

    lookup-sem-M (ExN x1 F) (zip qs signs)
    using ExN.prems(3) by auto
}
ultimately show ?case
by fastforce
next
case (AllN x1 F)
assume *: x1 = 0
then have h1: eval (AllN x1 F) val = eval F val
by auto
have h2: lookup-sem-M (AllN x1 F) (zip qs signs)
    = lookup-sem-M F (zip qs signs)
using * by auto
have qs-is: qs = extract-polys F using * AllN.prems(1)
    extract-polys.simps by auto
have countQuantifiers F = 0
using AllN.prems(3) by auto
then have Some (eval (AllN x1 F) val) =
    lookup-sem-M (AllN x1 F) (zip qs signs)
    using qs-is h1 h2 AllN.hyps[of qs signs] AllN.prems(2) by auto
}
moreover {assume *: x1 > 0
then have countQuantifiers (AllN x1 F) > 0
by auto
then have Some (eval (AllN x1 F) val) =
    lookup-sem-M (AllN x1 F) (zip qs signs)
    using AllN.prems(3) by auto
}
ultimately show ?case
by fastforce
qed

```

```

lemma create-disjunction-eval:
assumes eval (create-disjunction data) xs
shows  $\exists a \in \text{set data}. (\text{eval}(\text{assump-to-atom-fm}(\text{fst } a)) \text{ xs})$ 
using assms
proof (induct data)
case Nil
then show ?case by auto
next
case (Cons a data)
then show ?case
by (metis create-disjunction.elims eval-Or fst-conv list.set-intros(1) list.set-intros(2)
list.simps(1) list.simps(3))
qed

```

```

lemma assump-to-atom-fm-conjunction:
assumes eval (assump-to-atom-fm assumps) xs
shows  $(p, n) \in \text{set assumps} \implies \text{satisfies-evaluation xs p n}$ 

```

```

using assms
proof (induct assmps)
  case Nil
  then show ?case by auto
next
  case (Cons a assmps)
  then have eval-prop: eval (assump-to-atom-fm assmps) xs
    eval (Atom (assump-to-atom ((fst a), (snd a)))) xs
    apply (metis assump-to-atom-fm.simps(2) eval.simps(4) old.prod.exhaust)
  by (metis Cons.preds(2) assump-to-atom-fm.simps(2) eval.simps(4) prod.exhaust-sel)
{assume *: (p, n) = a
  have aEval (if n = 0 then atom.Eq p else if n < 0 then Less p else Less (- p))
  xs ==> Sturm-Tarski.sign (eval-mpoly xs p) = Sturm-Tarski.sign n
  proof -
    assume eval-h: aEval (if n = 0 then atom.Eq p else if n < 0 then Less p else
    Less (- p))
    xs
    {assume **: n = 0
      then have Sturm-Tarski.sign (eval-mpoly xs p) = Sturm-Tarski.sign n
        using eval-h unfolding eval-mpoly-def by auto
    } moreover
    {assume **: n > 0
      then have Sturm-Tarski.sign (eval-mpoly xs p) = Sturm-Tarski.sign n
        using eval-h unfolding eval-mpoly-def by auto
    } moreover
    {assume **: n < 0
      then have Sturm-Tarski.sign (eval-mpoly xs p) = Sturm-Tarski.sign n
        using eval-h unfolding eval-mpoly-def by auto
    }
    ultimately show Sturm-Tarski.sign (eval-mpoly xs p) = Sturm-Tarski.sign
    n
      using linorder-cases by blast
  qed
  then have satisfies-evaluation xs p n
    using eval-prop(2) * unfolding satisfies-evaluation-def
    by auto
  }
  moreover {assume *: (p, n) ∈ set assmps
    then have satisfies-evaluation xs p n
      using eval-prop(1) Cons.hyps by auto
  }
  ultimately show ?case using Cons.preds(1) by auto
qed

lemma eval-elim-forall-correct1:
  fixes F:: atom fm
  assumes *: countQuantifiers F = 0
  assumes eval (elim-forall F) xs
  shows (eval F (x#xs))

```

```

proof -
let ?qs = extract-polys F
let ?univ-qs = univariate-in ?qs 0
let ?reindexed-univ-qs = map (map-poly (lowerPoly 0 1)) ?univ-qs
let ?data = sign-determination-inner ?reindexed-univ-qs []
let ?new-data = filter (λ(assumps, signs-list).
    list-all (λ signs.
        let paired-signs = zip ?qs signs in
            lookup-sem-M F paired-signs = (Some True))
    signs-list
) ?data
have elim-forall F = create-disjunction ?new-data
unfolding elim-forall.simps Let-def
by fastforce
then have eval (create-disjunction ?new-data) xs
using assms
by presburger
then obtain a where a-prop1: a ∈ set ?new-data
  (eval (assump-to-atom-fm (fst a)) xs)
using create-disjunction-eval
by blast
then have a-prop2: a ∈ set ?data
  (list-all (λ signs.
    let paired-signs = zip ?qs signs in
      lookup-sem-M F paired-signs = (Some True))
  (snd a))
  apply (smt (verit, best) mem-Collect-eq set-filter)
by (smt (verit, del-insts) a-prop1(1) mem-Collect-eq set-filter split-def)
have a-in: (fst a, snd a) ∈ set (sign-determination-inner ?reindexed-univ-qs [])
using a-prop2(1) by auto
have ⋀ p n. (p, n) ∈ set (fst a) ==> satisfies-evaluation xs p n
using a-prop1(2) assump-to-atom-fm-conjunction
by blast
from sign-determination-inner-gives-noncomp-signs-at-roots[OF a-in this]
have set (snd a) =
  consistent-sign-vectors-R (map (eval-mpoly-poly xs) ?reindexed-univ-qs) UNIV
by auto
then have csv: (consistent-sign-vec (map (eval-mpoly-poly xs) ?reindexed-univ-qs))
x ∈ set (snd a)
unfolding consistent-sign-vectors-R-def by auto

have map-rel1: map (Sturm-Tarski.sign) (map (λp. poly p x) (map (eval-mpoly-poly
xs) (map (map-poly (lowerPoly 0 1)) (univariate-in (extract-polys F) 0)))))
= (consistent-sign-vec (map (eval-mpoly-poly xs) ?reindexed-univ-qs)) x
unfolding consistent-sign-vec-def Sturm-Tarski.sign-def
by auto
then have map-rel2: map (eval-mpoly (x # xs)) (extract-polys F) =
  map (λp. poly p x) (map (eval-mpoly-poly xs) (map (map-poly (lowerPoly 0 1))
(univariate-in (extract-polys F) 0)))

```

```

using reindexed-univ-qs-eval[of - (extract-polys F)] by auto
then have map-rel3: map Sturm-Tarski.sign (map (eval-mpoly (x # xs)) (extract-polys F)) =
  map (Sturm-Tarski.sign) (map ( $\lambda p.$  poly p x) (map (eval-mpoly-poly xs) (map (map-poly (lowerPoly 0 1)) (univariate-in (extract-polys F) 0)))))
  by auto
have map-rel4: map Sturm-Tarski.sign (map (eval-mpoly (x # xs)) (extract-polys F)) = map (mpoly-sign (x#xs)) ?qs
  unfolding mpoly-sign-def by auto
have map (mpoly-sign (x#xs)) ?qs =(consistent-sign-vec (map (eval-mpoly-poly xs) ?reindexed-univ-qs)) x
  using map-rel1 map-rel2 map-rel3 map-rel4
  by (metis list.inj-map-strong of-rat-hom.injectivity)

then obtain signs where signs-prop: signs  $\in$  set (snd a)
  signs = map (mpoly-sign (x#xs)) ?qs
  using csv by auto
then have lookup-sem-match: Some (eval F (x#xs)) = lookup-sem-M F (zip ?qs signs)
  using * extract-polys-semantics by auto
have lookup-sem-M F (zip ?qs signs) = Some (True)
  using a-prop2(2) signs-prop(1) unfolding Let-def
  by (smt (verit, ccfv-SIG) lookup-sem-match a-prop2(2) list.pred-mono-strong
mem-Collect-eq option.simps(1) set-filter subset-code(1) subset-set-code)
then show ?thesis
  using lookup-sem-match by auto
qed

lemma assump-to-atom-fm-eval:
assumes  $\bigwedge p n. (p,n) \in \text{set assumps} \implies \text{satisfies-evaluation } xs p n$ 
shows (eval (assump-to-atom-fm assumps) xs)
using assms
proof (induct assumps)
  case Nil
  then show ?case by auto
next
  case (Cons a assumps)
  then have h1: eval (assump-to-atom-fm assumps) xs
    by simp
  have sat-eval: satisfies-evaluation xs (fst a) (snd a)
    using Cons.prem by auto
  have h2: eval (Atom (assump-to-atom ((fst a), (snd a)))) xs
  proof –
    {assume *: snd a = 0
    then have eval (Atom (assump-to-atom ((fst a), (snd a)))) xs
    using sat-eval unfolding satisfies-evaluation-def eval-mpoly-def
      Sturm-Tarski.sign-def
    by (smt (verit, del-insts) aEval.simps(1) assump-to-atom.simps eval.simps(1)
less-numeral-extra(3) of-int-hom.eq-iff)

```

```

} moreover
{assume *: 0 < snd a
  then have (eval-mpoly xs (fst a)) > 0
    using sat-eval unfolding satisfies-evaluation-def Sturm-Tarski.sign-def
    by (smt (verit, del-insts) of-int-hom.eq-iff)

  then have aEval (Less (-(fst a))) xs
    using * unfolding eval-mpoly-def by auto
  then have aEval (assump-to-atom a) xs
    using * assump-to-atom.simps[of fst a snd a] by auto
  then have eval (Atom (assump-to-atom ((fst a), (snd a)))) xs
    by auto
} moreover
{assume *: 0 > snd a
  then have (eval-mpoly xs (fst a)) < 0
    using sat-eval unfolding satisfies-evaluation-def Sturm-Tarski.sign-def
    by (smt (z3) of-int-hom.eq-iff sign-simps(1) sign-simps(3))

  then have aEval (Less ((fst a))) xs
    using * unfolding eval-mpoly-def by auto
  then have aEval (assump-to-atom a) xs
    using * assump-to-atom.simps[of fst a snd a] by auto
  then have eval (Atom (assump-to-atom ((fst a), (snd a)))) xs
    by auto
}
ultimately show ?thesis
  by linarith
qed
then show ?case
  using h1 h2
  by (metis assump-to-atom-fm.simps(2) eval.simps(4) prod.exhaustsel)
qed

lemma create-disjunction-true:
assumes (assumps, signs) ∈ set data
assumes (eval (assump-to-atom-fm assumps) xs)
shows eval (create-disjunction data) xs
using assms
proof (induct data)
  case Nil
  then show ?case by auto
next
  case (Cons a data)
  then show ?case
  by (metis create-disjunction.simps(2) eval.simps(5) prod.exhaustsel set-ConsD)

qed

```

```

lemma eval-elim-forall-correct2:
  fixes F:: atom fm
  assumes countQuantifiers F = 0
  assumes (∀ x. (eval F (x#xs)))
  shows eval (elim-forall F) xs
proof -
  let ?qs = extract-polys F
  let ?univ-qs = univariate-in ?qs 0
  let ?reindexed-univ-qs = map (map-poly (lowerPoly 0 1)) ?univ-qs
  let ?data = sign-determination-inner ?reindexed-univ-qs []
  let ?new-data = filter (λ(assumps, signs-list).
    list-all (λ signs.
      let paired-signs = zip ?qs signs in
        lookup-sem-M F paired-signs = (Some True))
    signs-list
  ) ?data
  have h1: elim-forall F = create-disjunction ?new-data
    unfolding elim-forall.simps Let-def
    by fastforce
  have ⋀p n. (p,n) ∈ set [] ⟹ satisfies-evaluation xs p n
    by auto

  then obtain assumps signs where assumps-signs: (assumps, signs) ∈ set ?data
    ⋀p n. (p,n) ∈ set assumps ⟹ satisfies-evaluation xs p n
    using get-all-valuations[of [] xs]
    by blast
  then have assump-to-atom-eval: (eval (assump-to-atom-fm assumps) xs)
    using assump-to-atom-fm-eval
    by blast
  then have a-in: (assumps, signs) ∈ set (sign-determination-inner ?reindexed-univ-qs [])
    using assumps-signs(1)
    by auto
  from sign-determination-inner-gives-noncomp-signs-at-roots[OF a-in assumps-signs(2)]
  have signs-is-csvs: set signs =
    consistent-sign-vectors-R (map (eval-mpoly-poly xs) ?reindexed-univ-qs) UNIV
    by auto

  have ⋀ sign. sign ∈ set signs ⟹
    lookup-sem-M F (zip ?qs sign) = (Some True)
proof -
  fix sign
  assume sign ∈ set signs
  have ∃(x::real). sign = consistent-sign-vec (map (eval-mpoly-poly xs) ?reindexed-univ-qs)
  x
    using signs-is-csvs unfolding consistent-sign-vectors-R-def
    using ‹sign ∈ set signs› by auto
  then obtain x where x-prop: sign = consistent-sign-vec (map (eval-mpoly-poly xs) ?reindexed-univ-qs) x

```

```

    by auto
  have map-rel1: map (Sturm-Tarski.sign) (map (λp. poly p x) (map (eval-mpoly-poly
  xs) (map (map-poly (lowerPoly 0 1)) (univariate-in (extract-polys F) 0))))
  = (consistent-sign-vec (map (eval-mpoly-poly xs) ?reindexed-univ-qs)) x
    unfolding consistent-sign-vec-def Sturm-Tarski.sign-def
    by auto
  then have map-rel2: map (eval-mpoly (x # xs)) (extract-polys F) =
  map (λp. poly p x) (map (eval-mpoly-poly xs) (map (map-poly (lowerPoly 0 1))
  (univariate-in (extract-polys F) 0)))
    using reindexed-univ-qs-eval[of - (extract-polys F)] by auto
    then have map-rel3: map Sturm-Tarski.sign (map (eval-mpoly (x # xs))
  (extract-polys F)) =
  map (Sturm-Tarski.sign) (map (λp. poly p x) (map (eval-mpoly-poly xs) (map
  (map-poly (lowerPoly 0 1)) (univariate-in (extract-polys F) 0))))
    by auto
  have map-rel4: map Sturm-Tarski.sign (map (eval-mpoly (x # xs)) (extract-polys
  F)) = map (mpoly-sign (x#xs)) ?qs
    unfolding mpoly-sign-def by auto
  have map (mpoly-sign (x#xs)) ?qs = (consistent-sign-vec (map (eval-mpoly-poly
  xs) ?reindexed-univ-qs)) x
    using map-rel1 map-rel2 map-rel3 map-rel4
    by (metis (no-types, lifting) list.inj-map-strong of-rat-hom.injectivity)

  then have sign = map (mpoly-sign (x#xs)) ?qs
    using x-prop by auto
  then have Some (eval F (x#xs)) = lookup-sem-M F (zip ?qs sign)
    using assms(1) extract-polys-semantics by auto
  then show lookup-sem-M F (zip ?qs sign) = (Some True)
    using assms(2) by auto
qed
then have list-all (λ sign.
  let paired-signs = zip ?qs sign in
    lookup-sem-M F paired-signs = (Some True))
  signs
  by (meson Ball-set-list-all)
then have h2: (assumps, signs) ∈ set ?new-data
  using assumps-signs(1)
  by (smt (verit, del-insts) case-prod-conv mem-Collect-eq set-filter)

show ?thesis
  using h1 h2 assumption-to-atom-eval create-disjunction-true
  by presburger
qed

lemma eval-elim-forall-correct:
  fixes F:: atom fm
  assumes countQuantifiers F = 0
  shows (∀ x. (eval F (x#xs))) = eval (elim-forall F) xs
  using eval-elim-forall-correct2 eval-elim-forall-correct1

```

```
using assms by blast
```

```
theorem elim-forall-correct:
```

```
  fixes F:: atom fm
  assumes countQuantifiers F = 0
  shows eval (AllQ F) xs = eval (elim-forall F) xs
  using eval-elim-forall-correct
  using assms eval.simps(8) by blast
```

```
lemma elim-exists-correct:
```

```
  fixes F:: atom fm
  assumes countQuantifiers F = 0
  shows eval (ExQ F) xs = eval (elim-exist F) xs
  using elim-forall-correct
  by (metis (no-types, opaque-lifting) assms countQuantifiers.simps(6) elim-exist-def
      eval.simps(6) eval.simps(7) eval.simps(8))
```

## 17.12 Correctness of QE

```
lemma assump-to-atom-no-quantifiers:
```

```
  shows countQuantifiers (assump-to-atom-fm a) = 0
  proof (induct a)
    case Nil
    then show ?case by auto
    next
    case (Cons a1 a2)
    then show ?case
    using countQuantifiers.simps
    by (smt (verit, best) add.right-neutral assump-to-atom-fm.elims list.simps(1))
  qed
```

```
lemma create-disjunction-no-quantifiers:
```

```
  shows countQuantifiers (create-disjunction ell) = 0
  proof (induct ell)
    case Nil
    then show ?case by auto
    next
    case (Cons a ell)
    then show ?case
    using assump-to-atom-no-quantifiers
    by (metis add.right-neutral countQuantifiers.simps(5) create-disjunction.elims
        list.simps(1) list.simps(3))
  qed
```

```
lemma elim-forall-no-quantifiers:
```

```
  fixes F:: atom fm
  shows countQuantifiers (elim-forall F) = 0
  using create-disjunction-no-quantifiers
```

```

by (metis elim-forall.simps)

lemma elim-exists-no-quantifiers:
  fixes F:: atom fm
  shows countQuantifiers (elim-exist F) = 0
  using elim-forall-no-quantifiers
  using countQuantifiers.simps(6) elim-exist-def by presburger

lemma qe-removes-quantifiers:
  shows countQuantifiers (qe F) = 0
proof (induct F)
  case TrueF
  then show ?case by auto
next
  case FalseF
  then show ?case by auto
next
  case (Atom x)
  then show ?case by auto
next
  case (And F1 F2)
  then show ?case by auto
next
  case (Or F1 F2)
  then show ?case by auto
next
  case (Neg F)
  then show ?case by auto
next
  case (ExQ F)
  then show ?case
  using elim-exists-no-quantifiers qe.simps(7) by presburger
next
  case (AllQ F)
  then show ?case
  using elim-forall-no-quantifiers
  using qe.simps(8) by presburger
next
  case (ExN n F)
  then show ?case
  proof (induct n)
    case 0
    then show ?case
    by auto
  next
    case (Suc n)
    then show ?case
    using elim-exists-no-quantifiers
    by simp

```

```

qed
next
  case (AllN n F)
  then show ?case
proof (induct n)
  case 0
  then show ?case
    by auto
next
  case (Suc n)
  then show ?case
    using elim-forall-no-quantifiers
    by (metis funpow.simps(2) o-apply qe.simps(9))
qed
qed

lemma elim-exist-N-correct:
assumes countQuantifiers F = 0
shows eval (ExN n F) xs = eval ((elim-exist  $\wedge\wedge$  n) F) xs
using assms
proof (induct n arbitrary: xs F)
  case 0
  then show ?case
    by auto
next
  case (Suc n)
  have eval (ExN (Suc n) F) xs = eval (ExN n (ExQ F)) xs
    using unwrapExist' by auto
  moreover have ... = eval (ExN n (elim-exist F)) xs
    using elim-exists-correct Suc.prem
    by auto
  moreover have ... = eval ((elim-exist  $\wedge\wedge$  n) (elim-exist F)) xs
    using Suc.hyps[of elim-exist F] elim-exists-no-quantifiers
    by auto
  moreover have ... = eval ((elim-exist  $\wedge\wedge$  (n+1)) F) xs
    using funpow-Suc-right unfolding o-def
    by (smt (verit, best) o-apply semiring-norm(174))
  ultimately show ?case
    by (metis semiring-norm(174))
qed

lemma elim-all-N-correct:
assumes countQuantifiers F = 0
shows eval (AllN n F) xs = eval ((elim-forall  $\wedge\wedge$  n) F) xs
using assms
proof (induct n arbitrary: xs F)
  case 0
  then show ?case
    by (metis clearQuantifiers.simps(11) funpow-0 opt')

```

```

next
  case (Suc n)
    have eval (AllN (Suc n) F) xs = eval (AllN n (AllQ F)) xs
      using unwrapForall' by auto
  moreover have ... = eval (AllN n (elim-forall F)) xs
    using elim-forall-correct Suc.prem
    using eval.simps(9) by presburger
  moreover have ... = eval ((elim-forall  $\wedge\wedge$  n) (elim-forall F)) xs
    using Suc.hyps[of elim-forall F] elim-forall-no-quantifiers
    by presburger
  moreover have ... = eval ((elim-forall  $\wedge\wedge$  (n+1)) F) xs
    using funpow-Suc-right unfolding o-def
    by (smt (verit, best) o-apply semiring-norm(174))
  ultimately show ?case
    by (metis semiring-norm(174))
qed

theorem qe-correct:
  fixes F:: atom fm
  shows eval F xs = eval (qe F) xs
proof (induct F arbitrary: xs)
  case TrueF
  then show ?case by auto
next
  case FalseF
  then show ?case by auto
next
  case (Atom x)
  then show ?case by auto
next
  case (And F1 F2)
  then show ?case
    using eval.simps
    by auto
next
  case (Or F1 F2)
  then show ?case
    using eval.simps
    by auto
next
  case (Neg F)
  then show ?case
    using eval.simps
    by auto
next
  case (ExQ F)
  have countQuantifiers (qe F) = 0
    using qe-removes-quantifiers by auto
  from elim-exists-correct[OF this]

```

```

have h: eval (ExQ (qe F)) xs = eval (elim-exist (qe F)) xs
.
have eval (ExQ F) xs = eval ( ExQ (qe F)) xs
  using ExQ.hyps
  by simp
then show ?case
  using h
  by auto
next
  case (AllQ F)
  have countQuantifiers (qe F) = 0
    using qe-removes-quantifiers by auto
  from elim-forall-correct[OF this]
  have h: eval (AllQ (qe F)) xs = eval (elim-forall (qe F)) xs
.
  have eval (AllQ F) xs = eval (AllQ (qe F)) xs
  using AllQ.hyps
  by simp
then show ?case
  using h
  using qe.simps(8) by presburger
next
  case (ExN n F)
  have cq: countQuantifiers (qe F) = 0
    using qe-removes-quantifiers by auto
  have h: eval (ExN n F) xs = eval (ExN n (qe F)) xs
    using ExN.hyps
    by simp
  have eval (ExN n (qe F)) xs = eval ((elim-exist  $\wedge^n$ ) (qe F)) xs
    using elim-exist-N-correct[OF cq] .
then show ?case
  using h by auto
next
  case (AllN n F)
  have cq: countQuantifiers (qe F) = 0
    using qe-removes-quantifiers by auto
  have h: eval (AllN n F) xs = eval (AllN n (qe F)) xs
    using AllN.hyps
    by simp
  have eval (AllN n (qe F)) xs = eval ((elim-forall  $\wedge^n$ ) (qe F)) xs
    using elim-all-N-correct[OF cq] .
then show ?case
  using h by auto
qed

theorem qe-extended-correct:
fixes F:: atom fm
shows eval F xs = eval (qe-with-VS F) xs
using qe-correct VSLEG

```

```
by (simp add: qe-with-VS-def)
```

```
end
```

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