

# Pushdown Systems

Anders Schlichtkrull, Morten Konggaard Schou, Jiří Srba and Dmitriy Traytel

## Abstract

We formalize pushdown systems and the correctness of the pushdown reachability algorithms  $\text{post}^*$  (forward search),  $\text{pre}^*$  (backward search) and  $\text{dual}^*$  (bi-directional search). For  $\text{pre}^*$  we refine the algorithm to an executable version for which one can generate code using Isabelle’s code generator. For  $\text{pre}^*$  and  $\text{post}^*$  we follow Stefan Schwoon’s PhD thesis [Sch02a]. The  $\text{dual}^*$  algorithm is from a paper by Jensen et. al presented at ATVA2021 [JSS<sup>+</sup>21]. The formalization is described in our FMCAD2022 paper [SSST22] in which we also document how we have used it to do differential testing against a C++ implementation of pushdown reachability called PDAAAL. Lammich et al. [Lam09, LMW09] formalized the  $\text{pre}^*$  algorithm for dynamic pushdown networks (DPN) which is a generalization of pushdown systems. Our work is independent from that because the  $\text{post}^*$  of DPNs is not regular and additionally the DPN formalization does not support epsilon transitions which we use for  $\text{post}^*$  and  $\text{dual}^*$ .

## Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
<b>2</b>	<b>Automata</b>	<b>3</b>
2.1	P-Automaton locale . . . . .	3
2.2	Intersection P-Automaton locale . . . . .	4
<b>3</b>	<b>Automata with epsilon</b>	<b>5</b>
3.1	P-Automaton with epsilon locale . . . . .	5
3.2	Intersection P-Automaton with epsilon locale . . . . .	5
<b>4</b>	<b>PDS</b>	<b>6</b>
<b>5</b>	<b>PDS with P automata</b>	<b>7</b>
5.1	Saturations . . . . .	8
5.2	Saturation rules . . . . .	8
5.3	Pre* lemmas . . . . .	10
5.4	Post* lemmas . . . . .	12
5.5	Intersection Automata . . . . .	14
5.6	Intersection epsilon-Automata . . . . .	15
5.7	Dual search . . . . .	16

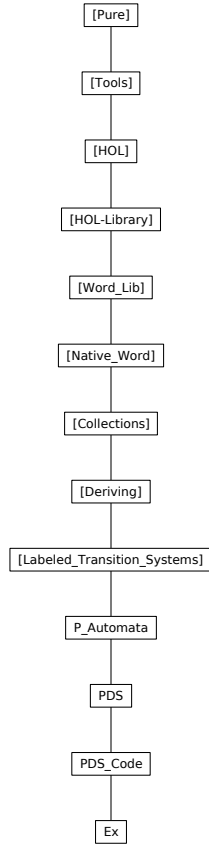


Figure 1: Theory dependency graph

## 1 Introduction

Pushdown reachability was studied by Büchi in 1964 [Büc64] and has been used for, among other things, interprocedural control-flow analysis of recursive programs [EK99, CNDE05], model checking [ES01, Sch02b, SSE05, BEM97] and communication network analysis [JKM<sup>+</sup>18, JKS<sup>+</sup>20, vDJJ<sup>+</sup>21]. In this formalization we formalize the  $\text{pre}^*$  and  $\text{post}^*$  algorithms [Sch02a] and the  $\text{dual}^*$  algorithm [JSS<sup>+</sup>21]. For  $\text{pre}^*$  we have also an executable version. In our FMCAD2022 paper [SSST22] we describe the formalization and use it to do differential testing against a C++ implementation of pushdown reachability called PDAAAL [JSS<sup>+</sup>21]. The differential testing revealed a number of bugs in PDAAAL that we were then able to fix.

theory *P\_Automata* imports *Labeled\_Transition\_Systems.LTS* begin

## 2 Automata

### 2.1 P-Automaton locale

locale *P\_Automaton* = *LTS transition\_relation*  
 for *transition\_relation* :: "('state::finite, 'label) transition set" +  
 fixes *Init* :: "'ctr\_loc::enum  $\Rightarrow$  'state"  
 and *finals* :: "'state set"  
 begin

**definition** *initials* :: "'state set" where  
 "*initials*  $\equiv$  *Init* 'UNIV"

**lemma** *initials\_list*:  
 "*initials* = set (map *Init Enum.enum*)"  
 <proof>

**definition** *accepts\_aut* :: "'ctr\_loc  $\Rightarrow$  'label list  $\Rightarrow$  bool" where  
 "*accepts\_aut*  $\equiv$   $\lambda p w. (\exists q \in \text{finals}. (Init\ p, w, q) \in \text{trans\_star})$ "

**definition** *lang\_aut* :: "('ctr\_loc \* 'label list) set" where  
 "*lang\_aut* = {(p,w). *accepts\_aut* p w}"

**definition** *nonempty* where  
 "*nonempty*  $\longleftrightarrow$  *lang\_aut*  $\neq$  {}"

**lemma** *nonempty\_alt*:  
 "*nonempty*  $\longleftrightarrow$  ( $\exists p. \exists q \in \text{finals}. \exists w. (Init\ p, w, q) \in \text{trans\_star}$ )"  
 <proof>

**typedef** 'a *mark\_state* = "{(Q :: 'a set, I). I  $\subseteq$  Q}"  
 <proof>

**setup-lifting** *type\_definition\_mark\_state*

**lift-definition** *get\_visited* :: "'a *mark\_state*  $\Rightarrow$  'a set" is fst <proof>

**lift-definition** *get\_next* :: "'a *mark\_state*  $\Rightarrow$  'a set" is snd <proof>

**lift-definition** *make\_mark\_state* :: "'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a *mark\_state*" is " $\lambda Q J. (Q \cup J, J)$ " <proof>

**lemma** *get\_next\_get\_visited*: "*get\_next* ms  $\subseteq$  *get\_visited* ms"  
 <proof>

**lemma** *get\_next\_set\_next[simp]*: "*get\_next* (make\_mark\_state Q J) = J"  
 <proof>

**lemma** *get\_visited\_set\_next[simp]*: "*get\_visited* (make\_mark\_state Q J) = Q  $\cup$  J"  
 <proof>

**function** *mark* where

"*mark* ms  $\longleftrightarrow$   
 (let Q = *get\_visited* ms; I = *get\_next* ms in  
 if I  $\cap$  finals  $\neq$  {} then True  
 else let J = ( $\bigcup (q,w,q') \in \text{transition\_relation}. \text{if } q \in I \wedge q' \notin Q \text{ then } \{q'\} \text{ else } \{\}$ ) in  
 if J = {} then False else mark (make\_mark\_state Q J))"  
 <proof>

**termination** <proof>

**declare** *mark.simps*[simp del]

**lemma** *trapped\_transitions*: " $(p, w, q) \in \text{trans\_star} \implies$   
 $\forall p \in Q. (\forall \gamma q. (p, \gamma, q) \in \text{transition\_relation} \longrightarrow q \in Q) \implies$   
 $p \in Q \implies q \in Q$ "  
 <proof>

**lemma** *mark\_complete*: " $(p, w, q) \in \text{trans\_star} \implies (\text{get\_visited}\ ms - \text{get\_next}\ ms) \cap \text{finals} = \{\} \implies$   
 $\forall p \in \text{get\_visited}\ ms - \text{get\_next}\ ms. \forall q \gamma. (p, \gamma, q) \in \text{transition\_relation} \longrightarrow q \in \text{get\_visited}\ ms \implies$ "

$p \in \text{get\_visited } ms \implies q \in \text{finals} \implies \text{mark } ms$   
 <proof>

**lemma** *mark\_sound*: “ $\text{mark } ms \implies (\exists p \in \text{get\_next } ms. \exists q \in \text{finals}. \exists w. (p, w, q) \in \text{trans\_star})$ ”  
 <proof>

**lemma** *nonempty\_code*[code]: “ $\text{nonempty} = \text{mark } (\text{make\_mark\_state } \{\} (\text{set } (\text{map } \text{Init } \text{Enum.enum})))$ ”  
 <proof>

end

## 2.2 Intersection P-Automaton locale

**locale** *Intersection\_P\_Automaton* =  
 A1: *P\_Automaton* *ts1* *Init* *finals1* +  
 A2: *P\_Automaton* *ts2* *Init* *finals2*  
**for** *ts1* :: “('state :: finite, 'label) transition set”  
**and** *Init* :: “'ctr\_loc :: enum  $\Rightarrow$  'state”  
**and** *finals1* :: “'state set”  
**and** *ts2* :: “('state, 'label) transition set”  
**and** *finals2* :: “'state set”

**begin**

**sublocale** *pa*: *P\_Automaton* “inters *ts1* *ts2*” “( $\lambda p. (\text{Init } p, \text{Init } p)$ )” “inters\_finals *finals1* *finals2*”  
 <proof>

**definition** *accepts\_aut\_inters* **where**  
 “*accepts\_aut\_inters* *p* *w* = *pa*.*accepts\_aut* *p* *w*”

**definition** *lang\_aut\_inters* :: “('ctr\_loc \* 'label list) set” **where**  
 “*lang\_aut\_inters* = {(*p*,*w*). *accepts\_aut\_inters* *p* *w*}”

**lemma** *trans\_star\_inter*:  
**assumes** “(*p1*, *w*, *p2*)  $\in$  *A1*.*trans\_star*”  
**assumes** “(*q1*, *w*, *q2*)  $\in$  *A2*.*trans\_star*”  
**shows** “((*p1*,*q1*), *w* :: 'label list, (*p2*,*q2*))  $\in$  *pa*.*trans\_star*”  
 <proof>

**lemma** *inters\_trans\_star1*:  
**assumes** “(*p1q2*, *w* :: 'label list, *p2q2*)  $\in$  *pa*.*trans\_star*”  
**shows** “(*fst* *p1q2*, *w*, *fst* *p2q2*)  $\in$  *A1*.*trans\_star*”  
 <proof>

**lemma** *inters\_trans\_star*:  
**assumes** “(*p1q2*, *w* :: 'label list, *p2q2*)  $\in$  *pa*.*trans\_star*”  
**shows** “(*snd* *p1q2*, *w*, *snd* *p2q2*)  $\in$  *A2*.*trans\_star*”  
 <proof>

**lemma** *inters\_trans\_star\_iff*:  
 “((*p1*,*q2*), *w* :: 'label list, (*p2*,*q2*))  $\in$  *pa*.*trans\_star*  $\iff$  (*p1*, *w*, *p2*)  $\in$  *A1*.*trans\_star*  $\wedge$  (*q2*, *w*, *q2*)  $\in$  *A2*.*trans\_star*”  
 <proof>

**lemma** *inters\_accept\_iff*: “*accepts\_aut\_inters* *p* *w*  $\iff$  *A1*.*accepts\_aut* *p* *w*  $\wedge$  *A2*.*accepts\_aut* *p* *w*”  
 <proof>

**lemma** *lang\_aut\_alt*:  
 “*pa*.*lang\_aut* = {(*p*, *w*). (*p*, *w*)  $\in$  *lang\_aut\_inters*}”  
 <proof>

**lemma** *inters\_lang*: “*lang\_aut\_inters* = *A1*.*lang\_aut*  $\cap$  *A2*.*lang\_aut*”  
 <proof>

end

### 3 Automata with epsilon

#### 3.1 P-Automaton with epsilon locale

**locale**  $P\_Automaton\_ε = LTS\_ε$  *transition\_relation* **for** *transition\_relation* :: “('state::finite, 'label option) transition set” +

**fixes** *finals* :: “'state set” **and** *Init* :: “'ctr\_loc :: enum ⇒ 'state”  
**begin**

**definition** *accepts\_aut\_ε* :: “'ctr\_loc ⇒ 'label list ⇒ bool” **where**  
“*accepts\_aut\_ε* ≡ λp w. (∃ q ∈ *finals*. (Init p, w, q) ∈ *trans\_star\_ε*)”

**definition** *lang\_aut\_ε* :: “('ctr\_loc \* 'label list) set” **where**  
“*lang\_aut\_ε* = {(p,w). *accepts\_aut\_ε* p w}”

**definition** *nonempty\_ε* **where**  
“*nonempty\_ε* ⇔ *lang\_aut\_ε* ≠ {}”

end

#### 3.2 Intersection P-Automaton with epsilon locale

**locale**  $Intersection\_P\_Automaton\_ε =$   
*A1*:  $P\_Automaton\_ε$  *ts1* *finals1* *Init* +  
*A2*:  $P\_Automaton\_ε$  *ts2* *finals2* *Init*  
**for** *ts1* :: “('state :: finite, 'label option) transition set”  
**and** *finals1* :: “'state set”  
**and** *Init* :: “'ctr\_loc :: enum ⇒ 'state”  
**and** *ts2* :: “('state, 'label option) transition set”  
**and** *finals2* :: “'state set”  
**begin**

**abbreviation**  $ε$  :: “'label option” **where**  
“ $ε$  == None”

**sublocale** *pa*:  $P\_Automaton\_ε$  “*inters\_ε* *ts1* *ts2*” “*inters\_finals* *finals1* *finals2*” “(λp. (Init p, Init p))”  
{*proof*}

**definition** *accepts\_aut\_inters\_ε* **where**  
“*accepts\_aut\_inters\_ε* p w = *pa.accepts\_aut\_ε* p w”

**definition** *lang\_aut\_inters\_ε* :: “('ctr\_loc \* 'label list) set” **where**  
“*lang\_aut\_inters\_ε* = {(p,w). *accepts\_aut\_inters\_ε* p w}”

**lemma** *trans\_star\_trans\_star\_ε\_inter*:  
**assumes** “*LTS\_ε.ε\_exp* w1 w”  
**assumes** “*LTS\_ε.ε\_exp* w2 w”  
**assumes** “(p1, w1, p2) ∈ *A1.trans\_star*”  
**assumes** “(q1, w2, q2) ∈ *A2.trans\_star*”  
**shows** “((p1,q1), w :: 'label list, (p2,q2)) ∈ *pa.trans\_star\_ε*”  
{*proof*}

**lemma** *trans\_star\_ε\_inter*:  
**assumes** “(p1, w :: 'label list, p2) ∈ *A1.trans\_star\_ε*”  
**assumes** “(q1, w, q2) ∈ *A2.trans\_star\_ε*”  
**shows** “((p1, q1), w, (p2, q2)) ∈ *pa.trans\_star\_ε*”  
{*proof*}

**lemma** *inters\_trans\_star\_ε1*:  
**assumes** “(p1q2, w :: 'label list, p2q2) ∈ *pa.trans\_star\_ε*”

**shows** “ $(fst\ p1q2, w, fst\ p2q2) \in A1.trans\_star\_ε$ ”  
 ⟨proof⟩

**lemma** *inters\_trans\_star\_ε*:  
**assumes** “ $(p1q2, w :: 'label\ list, p2q2) \in pa.trans\_star\_ε$ ”  
**shows** “ $(snd\ p1q2, w, snd\ p2q2) \in A2.trans\_star\_ε$ ”  
 ⟨proof⟩

**lemma** *inters\_trans\_star\_ε\_iff*:  
 “ $((p1, q2), w :: 'label\ list, (p2, q2)) \in pa.trans\_star\_ε \longleftrightarrow$   
 $(p1, w, p2) \in A1.trans\_star\_ε \wedge (q2, w, q2) \in A2.trans\_star\_ε$ ”  
 ⟨proof⟩

**lemma** *inters\_ε\_accept\_ε\_iff*:  
 “ $accepts\_aut\_inters\_ε\ p\ w \longleftrightarrow A1.accepts\_aut\_ε\ p\ w \wedge A2.accepts\_aut\_ε\ p\ w$ ”  
 ⟨proof⟩

**lemma** *inters\_ε\_lang\_ε*: “ $lang\_aut\_inters\_ε = A1.lang\_aut\_ε \cap A2.lang\_aut\_ε$ ”  
 ⟨proof⟩

**end**

**end**

**theory** *PDS* **imports** “*P\_Automata*” “*HOL-Library.While\_Combinator*” **begin**

## 4 PDS

**datatype** *'label operation* = *pop* | *swap 'label* | *push 'label 'label*  
**type-synonym** (*'ctr\_loc, 'label*) *rule* = “ $('ctr\_loc \times 'label) \times ('ctr\_loc \times 'label\ operation)$ ”  
**type-synonym** (*'ctr\_loc, 'label*) *conf* = “ $'ctr\_loc \times 'label\ list$ ”

We define push down systems.

**locale** *PDS* =  
**fixes**  $\Delta :: ('ctr\_loc, 'label::finite)\ rule\ set$ ”

**begin**

**primrec** *lbl* :: “ $'label\ operation \Rightarrow 'label\ list$ ” **where**  
 “ $lbl\ pop = []$ ”  
 | “ $lbl\ (swap\ \gamma) = [\gamma]$ ”  
 | “ $lbl\ (push\ \gamma\ \gamma') = [\gamma, \gamma']$ ”

**definition** *is\_rule* :: “ $'ctr\_loc \times 'label \Rightarrow 'ctr\_loc \times 'label\ operation \Rightarrow bool$ ” (**infix**  $\langle \leftrightarrow \rangle$  80) **where**  
 “ $p\gamma \leftrightarrow p'w \equiv (p\gamma, p'w) \in \Delta$ ”

**inductive-set** *transition\_rel* :: “ $(('ctr\_loc, 'label)\ conf \times unit \times ('ctr\_loc, 'label)\ conf)\ set$ ” **where**  
 “ $(p, \gamma) \leftrightarrow (p', w) \implies$   
 $((p, \gamma\#w'), (), (p', (lbl\ w)\@w')) \in transition\_rel$ ”

**interpretation** *LTS transition\_rel* ⟨proof⟩

**notation** *step\_relp* (**infix**  $\langle \Rightarrow \rangle$  80)  
**notation** *step\_starp* (**infix**  $\langle \Rightarrow^* \rangle$  80)

**lemma** *step\_relp\_def2*:  
 “ $(p, \gamma w') \Rightarrow (p', ww') \longleftrightarrow (\exists \gamma\ w'\ w. \gamma w' = \gamma\#w' \wedge ww' = (lbl\ w)\@w' \wedge (p, \gamma) \leftrightarrow (p', w))$ ”  
 ⟨proof⟩

**end**

## 5 PDS with P automata

**type-synonym** ('ctr\_loc, 'label) sat\_rule = “('ctr\_loc, 'label) transition set  $\Rightarrow$  ('ctr\_loc, 'label) transition set  $\Rightarrow$  bool”

**datatype** ('ctr\_loc, 'noninit, 'label) state =  
 | is\_Init: Init (the\_Ctr\_Loc: 'ctr\_loc)  
 | is\_Noninit: Noninit (the\_St: 'noninit)  
 | is\_Isolated: Isolated (the\_Ctr\_Loc: 'ctr\_loc) (the\_Label: 'label)

**lemma** finitely\_many\_states:  
**assumes** “finite (UNIV :: 'ctr\_loc set)”  
**assumes** “finite (UNIV :: 'noninit set)”  
**assumes** “finite (UNIV :: 'label set)”  
**shows** “finite (UNIV :: ('ctr\_loc, 'noninit, 'label) state set)”  
 <proof>

**instantiation** state :: (finite, finite, finite) finite **begin**

**instance** <proof>

**end**

**locale** PDS\_with\_P\_automata = PDS  $\Delta$   
**for**  $\Delta$  :: “('ctr\_loc::enum, 'label::finite) rule set”  
 +  
**fixes** final\_inits :: “('ctr\_loc::enum) set”  
**fixes** final\_noninits :: “('noninit::finite) set”  
**begin**

**definition** finals :: “('ctr\_loc, 'noninit::finite, 'label) state set” **where**  
 “finals = Init ‘ final\_inits  $\cup$  Noninit ‘ final\_noninits”

**lemma** F\_not\_Ext: “ $\neg(\exists f \in \text{finals}. \text{is\_Isolated } f)$ ”  
 <proof>

**definition** inits :: “('ctr\_loc, 'noninit, 'label) state set” **where**  
 “inits = {q. is\_Init q}”

**lemma** inits\_code[code]: “inits = set (map Init Enum.enum)”  
 <proof>

**definition** noninits :: “('ctr\_loc, 'noninit, 'label) state set” **where**  
 “noninits = {q. is\_Noninit q}”

**definition** isols :: “('ctr\_loc, 'noninit, 'label) state set” **where**  
 “isols = {q. is\_Isolated q}”

**sublocale** LTS transition\_rel <proof>

**notation** step\_relp (**infix**  $\langle \Rightarrow \rangle$  80)

**notation** step\_starp (**infix**  $\langle \Rightarrow^* \rangle$  80)

**definition** accepts :: “((('ctr\_loc, 'noninit, 'label) state, 'label) transition set  $\Rightarrow$  ('ctr\_loc, 'label) conf  $\Rightarrow$  bool” **where**  
 “accepts ts  $\equiv \lambda(p,w). (\exists q \in \text{finals}. (\text{Init } p,w,q) \in \text{LTS.trans\_star } ts)$ ”

**lemma** accepts\_accepts\_aut: “accepts ts (p, w)  $\longleftrightarrow$  P\_Automaton.accepts\_aut ts Init finals p w”  
 <proof>

**definition** accepts\_ε :: “((('ctr\_loc, 'noninit, 'label) state, 'label option) transition set  $\Rightarrow$  ('ctr\_loc, 'label) conf  $\Rightarrow$  bool” **where**  
 “accepts\_ε ts  $\equiv \lambda(p,w). (\exists q \in \text{finals}. (\text{Init } p,w,q) \in \text{LTS}_\varepsilon.\text{trans\_star}_\varepsilon ts)$ ”

**abbreviation**  $\varepsilon$  :: “*label option*” **where**  
“ $\varepsilon == \text{None}$ ”

**lemma** *accepts\_mono*[*mono*]: “*mono accepts*”  
⟨*proof*⟩

**lemma** *accepts\_cons*: “(*Init p*,  $\gamma$ , *Init p'*)  $\in$  *ts*  $\implies$  *accepts ts* (*p'*, *w*)  $\implies$  *accepts ts* (*p*,  $\gamma \# w$ )”  
⟨*proof*⟩

**definition** *lang* :: “((*ctr\_loc*, *noninit*, *label*) *state*, *label*) *transition set*  $\Rightarrow$  (*ctr\_loc*, *label*) *conf set*” **where**  
“*lang ts* = {*c*. *accepts ts c*}”

**lemma** *lang\_lang\_aut*: “*lang ts* = ( $\lambda(s,w).$  (*s*, *w*)) ‘(*P\_Automaton.lang\_aut ts Init finals*)’”  
⟨*proof*⟩

**lemma** *lang\_aut\_lang*: “*P\_Automaton.lang\_aut ts Init finals* = *lang ts*”  
⟨*proof*⟩

**definition** *lang\_ε* :: “((*ctr\_loc*, *noninit*, *label*) *state*, *label option*) *transition set*  $\Rightarrow$  (*ctr\_loc*, *label*) *conf set*”  
**where**  
“*lang\_ε ts* = {*c*. *accepts\_ε ts c*}”

## 5.1 Saturations

**definition** *saturated* :: “(*c*, *l*) *sat\_rule*  $\Rightarrow$  (*c*, *l*) *transition set*  $\Rightarrow$  *bool*” **where**  
“*saturated rule ts*  $\longleftrightarrow$  ( $\nexists ts'$ . *rule ts ts'*)”

**definition** *saturation* :: “(*c*, *l*) *sat\_rule*  $\Rightarrow$  (*c*, *l*) *transition set*  $\Rightarrow$  (*c*, *l*) *transition set*  $\Rightarrow$  *bool*” **where**  
“*saturation rule ts ts'*  $\longleftrightarrow$  *rule\*\* ts ts' ∧ saturated rule ts'*”

**lemma** *no\_infinite*:  
**assumes** “ $\bigwedge ts ts'$  :: (*c* :: *finite*, *l*::*finite*) *transition set*. *rule ts ts'*  $\implies$  *card ts'* = *Suc (card ts)*”  
**assumes** “ $\forall i$  :: *nat*. *rule (tts i) (tts (Suc i))*”  
**shows** “*False*”  
⟨*proof*⟩

**lemma** *saturation\_termination*:  
**assumes** “ $\bigwedge ts ts'$  :: (*c* :: *finite*, *l*::*finite*) *transition set*. *rule ts ts'*  $\implies$  *card ts'* = *Suc (card ts)*”  
**shows** “ $\neg(\exists tts. (\forall i$  :: *nat*. *rule (tts i) (tts (Suc i))*))”  
⟨*proof*⟩

**lemma** *saturation\_exi*:  
**assumes** “ $\bigwedge ts ts'$  :: (*c* :: *finite*, *l*::*finite*) *transition set*. *rule ts ts'*  $\implies$  *card ts'* = *Suc (card ts)*”  
**shows** “ $\exists ts'$ . *saturation rule ts ts'*”  
⟨*proof*⟩

## 5.2 Saturation rules

**inductive** *pre\_star\_rule* :: “((*ctr\_loc*, *noninit*, *label*) *state*, *label*) *transition set*  $\Rightarrow$  ((*ctr\_loc*, *noninit*, *label*) *state*, *label*) *transition set*  $\Rightarrow$  *bool*” **where**  
*add\_trans*: “(*p*,  $\gamma$ )  $\hookrightarrow$  (*p'*, *w*)  $\implies$  (*Init p'*, *lbl w*, *q*)  $\in$  *LTS.trans\_star ts*  $\implies$   
(*Init p*,  $\gamma$ , *q*)  $\notin$  *ts*  $\implies$  *pre\_star\_rule ts (ts*  $\cup$  {(*Init p*,  $\gamma$ , *q*)})”

**definition** *pre\_star1* :: “((*ctr\_loc*, *noninit*, *label*) *state*, *label*) *transition set*  $\Rightarrow$  ((*ctr\_loc*, *noninit*, *label*) *state*, *label*) *transition set*” **where**  
“*pre\_star1 ts* =  
( $\bigcup((p, \gamma), (p', w)) \in \Delta. \bigcup q \in$  *LTS.reach ts (Init p')* (*lbl w*). {(*Init p*,  $\gamma$ , *q*)})”

**lemma** *pre\_star1\_mono*: “*mono pre\_star1*”  
⟨*proof*⟩

**lemma** *pre\_star\_rule\_pre\_star1*:  
**assumes** “*X*  $\subseteq$  *pre\_star1 ts*”



**shows** “pre\_star\_rule\*\* ts (ts ∪ X)”  
 ⟨proof⟩

**lemma** pre\_star\_rule\_pre\_star1s: “pre\_star\_rule\*\* ts (((λs. s ∪ pre\_star1 s)  $\sim$  k) ts)”  
 ⟨proof⟩

**definition** “pre\_star\_loop = while\_option (λs. s ∪ pre\_star1 s ≠ s) (λs. s ∪ pre\_star1 s)”

**definition** “pre\_star\_exec = the o pre\_star\_loop”

**definition** “pre\_star\_exec\_check A = (if inits ⊆ LTS.srcs A then pre\_star\_loop A else None)”

**definition** “accept\_pre\_star\_exec\_check A c = (if inits ⊆ LTS.srcs A then Some (accepts (pre\_star\_exec A) c) else None)”

**lemma** while\_option\_finite\_subset\_Some: **fixes** C :: “a set”

**assumes** “mono f” **and** “!!X. X ⊆ C ⇒ f X ⊆ C” **and** “finite C” **and** X: “X ⊆ C” “X ⊆ f X”

**shows** “∃ P. while\_option (λA. f A ≠ A) f X = Some P”

⟨proof⟩

**lemma** pre\_star\_exec\_terminates: “∃ t. pre\_star\_loop s = Some t”

⟨proof⟩

**lemma** pre\_star\_exec\_code[code]:

“pre\_star\_exec s = (let s' = pre\_star1 s in if s' ⊆ s then s else pre\_star\_exec (s ∪ s'))”

⟨proof⟩

**lemma** saturation\_pre\_star\_exec: “saturation pre\_star\_rule ts (pre\_star\_exec ts)”

⟨proof⟩

**inductive** post\_star\_rules :: “((ctr\_loc, 'noninit, 'label) state, 'label option) transition set ⇒ ((ctr\_loc, 'noninit, 'label) state, 'label option) transition set ⇒ bool” **where**

add\_trans\_pop:

“(p, γ) ↦ (p', pop) ⇒  
 (Init p, [γ], q) ∈ LTS\_ε.trans\_star\_ε ts ⇒  
 (Init p', ε, q) ∉ ts ⇒  
 post\_star\_rules ts (ts ∪ {(Init p', ε, q)})”

| add\_trans\_swap:

“(p, γ) ↦ (p', swap γ') ⇒  
 (Init p, [γ], q) ∈ LTS\_ε.trans\_star\_ε ts ⇒  
 (Init p', Some γ', q) ∉ ts ⇒  
 post\_star\_rules ts (ts ∪ {(Init p', Some γ', q)})”

| add\_trans\_push\_1:

“(p, γ) ↦ (p', push γ' γ'') ⇒  
 (Init p, [γ], q) ∈ LTS\_ε.trans\_star\_ε ts ⇒  
 (Init p', Some γ', Isolated p' γ') ∉ ts ⇒  
 post\_star\_rules ts (ts ∪ {(Init p', Some γ', Isolated p' γ')})”

| add\_trans\_push\_2:

“(p, γ) ↦ (p', push γ' γ'') ⇒  
 (Init p, [γ], q) ∈ LTS\_ε.trans\_star\_ε ts ⇒  
 (Isolated p' γ', Some γ'', q) ∉ ts ⇒  
 (Init p', Some γ', Isolated p' γ') ∈ ts ⇒  
 post\_star\_rules ts (ts ∪ {(Isolated p' γ', Some γ'', q)})”

**lemma** pre\_star\_rule\_mono:

“pre\_star\_rule ts ts' ⇒ ts ⊆ ts'”

⟨proof⟩

**lemma** post\_star\_rules\_mono:

“post\_star\_rules ts ts' ⇒ ts ⊆ ts'”

⟨proof⟩

**lemma** pre\_star\_rule\_card\_Suc: “pre\_star\_rule ts ts' ⇒ card ts' = Suc (card ts)”

⟨proof⟩

**lemma** *post\_star\_rules\_card\_Suc*: “ $\text{post\_star\_rules } ts \ ts' \implies \text{card } ts' = \text{Suc } (\text{card } ts)$ ”  
 ⟨proof⟩

**lemma** *pre\_star\_saturation\_termination*:  
 “ $\neg(\exists \text{tts}. (\forall i :: \text{nat}. \text{pre\_star\_rule } (\text{tts } i) (\text{tts } (\text{Suc } i))))$ ”  
 ⟨proof⟩

**lemma** *post\_star\_saturation\_termination*:  
 “ $\neg(\exists \text{tts}. (\forall i :: \text{nat}. \text{post\_star\_rules } (\text{tts } i) (\text{tts } (\text{Suc } i))))$ ”  
 ⟨proof⟩

**lemma** *pre\_star\_saturation\_exi*:  
**shows** “ $\exists \text{ts}'.$  *saturation pre\_star\_rule ts ts'*”  
 ⟨proof⟩

**lemma** *post\_star\_saturation\_exi*:  
**shows** “ $\exists \text{ts}'.$  *saturation post\_star\_rules ts ts'*”  
 ⟨proof⟩

**lemma** *pre\_star\_rule\_incr*: “ $\text{pre\_star\_rule } A \ B \implies A \subseteq B$ ”  
 ⟨proof⟩

**lemma** *post\_star\_rules\_incr*: “ $\text{post\_star\_rules } A \ B \implies A \subseteq B$ ”  
 ⟨proof⟩

**lemma** *saturation\_rtranclp\_pre\_star\_rule\_incr*: “ $\text{pre\_star\_rule}^{**} \ A \ B \implies A \subseteq B$ ”  
 ⟨proof⟩

**lemma** *saturation\_rtranclp\_post\_star\_rule\_incr*: “ $\text{post\_star\_rules}^{**} \ A \ B \implies A \subseteq B$ ”  
 ⟨proof⟩

**lemma** *pre\_star'\_incr\_trans\_star*:  
 “ $\text{pre\_star\_rule}^{**} \ A \ A' \implies \text{LTS.trans\_star } A \subseteq \text{LTS.trans\_star } A'$ ”  
 ⟨proof⟩

**lemma** *post\_star'\_incr\_trans\_star*:  
 “ $\text{post\_star\_rules}^{**} \ A \ A' \implies \text{LTS.trans\_star } A \subseteq \text{LTS.trans\_star } A'$ ”  
 ⟨proof⟩

**lemma** *post\_star'\_incr\_trans\_star\_ε*:  
 “ $\text{post\_star\_rules}^{**} \ A \ A' \implies \text{LTS}_\varepsilon.\text{trans\_star}_\varepsilon \ A \subseteq \text{LTS}_\varepsilon.\text{trans\_star}_\varepsilon \ A'$ ”  
 ⟨proof⟩

**lemma** *pre\_star\_lim'\_incr\_trans\_star*:  
 “ $\text{saturation pre\_star\_rule } A \ A' \implies \text{LTS.trans\_star } A \subseteq \text{LTS.trans\_star } A'$ ”  
 ⟨proof⟩

**lemma** *post\_star\_lim'\_incr\_trans\_star*:  
 “ $\text{saturation post\_star\_rules } A \ A' \implies \text{LTS.trans\_star } A \subseteq \text{LTS.trans\_star } A'$ ”  
 ⟨proof⟩

**lemma** *post\_star\_lim'\_incr\_trans\_star\_ε*:  
 “ $\text{saturation post\_star\_rules } A \ A' \implies \text{LTS}_\varepsilon.\text{trans\_star}_\varepsilon \ A \subseteq \text{LTS}_\varepsilon.\text{trans\_star}_\varepsilon \ A'$ ”  
 ⟨proof⟩

### 5.3 Pre\* lemmas

**lemma** *inits\_srcs\_iff\_Ctr\_Loc\_srcs*:  
 “ $\text{inits} \subseteq \text{LTS.srcs } A \longleftrightarrow (\nexists q \ \gamma \ q'. (q, \gamma, \text{Init } q') \in A)$ ”  
 ⟨proof⟩

**lemma** *lemma\_3\_1*:  
**assumes** “ $p'w \Rightarrow^* pv$ ”

**assumes** “ $pv \in \text{lang } A$ ”  
**assumes** “ $\text{saturation pre\_star\_rule } A \ A'$ ”  
**shows** “ $\text{accepts } A' \ p'w$ ”  
 ⟨*proof*⟩

**lemma** *word\_into\_init\_empty\_states*:  
**fixes**  $A :: ((\text{ctr\_loc}, \text{noninit}, \text{label}) \text{ state}, \text{label}) \text{ transition set}$   
**assumes** “ $(p, w, ss, \text{Init } q) \in \text{LTS.trans\_star\_states } A$ ”  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**shows** “ $w = [] \wedge p = \text{Init } q \wedge ss = [p]$ ”  
 ⟨*proof*⟩

**lemma** *word\_into\_init\_empty*:  
**fixes**  $A :: ((\text{ctr\_loc}, \text{noninit}, \text{label}) \text{ state}, \text{label}) \text{ transition set}$   
**assumes** “ $(p, w, \text{Init } q) \in \text{LTS.trans\_star } A$ ”  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**shows** “ $w = [] \wedge p = \text{Init } q$ ”  
 ⟨*proof*⟩

**lemma** *step\_relp\_append\_aux*:  
**assumes** “ $pu \Rightarrow^* p1y$ ”  
**shows** “ $(\text{fst } pu, \text{snd } pu @ v) \Rightarrow^* (\text{fst } p1y, \text{snd } p1y @ v)$ ”  
 ⟨*proof*⟩

**lemma** *step\_relp\_append*:  
**assumes** “ $(p, u) \Rightarrow^* (p1, y)$ ”  
**shows** “ $(p, u @ v) \Rightarrow^* (p1, y @ v)$ ”  
 ⟨*proof*⟩

**lemma** *step\_relp\_append\_empty*:  
**assumes** “ $(p, u) \Rightarrow^* (p1, [])$ ”  
**shows** “ $(p, u @ v) \Rightarrow^* (p1, v)$ ”  
 ⟨*proof*⟩

**lemma** *lemma\_3\_2\_a'*:  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**assumes** “ $\text{pre\_star\_rule}^{**} \ A \ A'$ ”  
**assumes** “ $(\text{Init } p, w, q) \in \text{LTS.trans\_star } A'$ ”  
**shows** “ $\exists p' w'. (\text{Init } p', w', q) \in \text{LTS.trans\_star } A \wedge (p, w) \Rightarrow^* (p', w')$ ”  
 ⟨*proof*⟩

**lemma** *lemma\_3\_2\_a*:  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**assumes** “ $\text{saturation pre\_star\_rule } A \ A'$ ”  
**assumes** “ $(\text{Init } p, w, q) \in \text{LTS.trans\_star } A'$ ”  
**shows** “ $\exists p' w'. (\text{Init } p', w', q) \in \text{LTS.trans\_star } A \wedge (p, w) \Rightarrow^* (p', w')$ ”  
 ⟨*proof*⟩

**theorem** *pre\_star\_rule\_subset\_pre\_star\_lang*:  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**assumes** “ $\text{pre\_star\_rule}^{**} \ A \ A'$ ”  
**shows** “ $\{c. \text{accepts } A' \ c\} \subseteq \text{pre\_star } (\text{lang } A)$ ”  
 ⟨*proof*⟩

**theorem** *pre\_star\_rule\_accepts\_correct*:  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**assumes** “ $\text{saturation pre\_star\_rule } A \ A'$ ”  
**shows** “ $\{c. \text{accepts } A' \ c\} = \text{pre\_star } (\text{lang } A)$ ”  
 ⟨*proof*⟩

**theorem** *pre\_star\_rule\_correct*:  
**assumes** “ $\text{inits} \subseteq \text{LTS.sracs } A$ ”  
**assumes** “ $\text{saturation pre\_star\_rule } A \ A'$ ”  
**shows** “ $\text{lang } A' = \text{pre\_star } (\text{lang } A)$ ”  
 ⟨*proof*⟩

**theorem** *pre\_star\_exec\_accepts\_correct*:  
**assumes** “*inits*  $\subseteq$  *LTS.srcs A*”  
**shows** “ $\{c. \text{accepts} (\text{pre\_star\_exec } A) c\} = \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *pre\_star\_exec\_lang\_correct*:  
**assumes** “*inits*  $\subseteq$  *LTS.srcs A*”  
**shows** “ $\text{lang} (\text{pre\_star\_exec } A) = \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *pre\_star\_exec\_check\_accepts\_correct*:  
**assumes** “*pre\_star\_exec\_check A*  $\neq$  *None*”  
**shows** “ $\{c. \text{accepts} (\text{the} (\text{pre\_star\_exec\_check } A)) c\} = \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *pre\_star\_exec\_check\_correct*:  
**assumes** “*pre\_star\_exec\_check A*  $\neq$  *None*”  
**shows** “ $\text{lang} (\text{the} (\text{pre\_star\_exec\_check } A)) = \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *accept\_pre\_star\_exec\_correct\_True*:  
**assumes** “*inits*  $\subseteq$  *LTS.srcs A*”  
**assumes** “*accepts* (*pre\_star\_exec A*) *c*”  
**shows** “ $c \in \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *accept\_pre\_star\_exec\_correct\_False*:  
**assumes** “*inits*  $\subseteq$  *LTS.srcs A*”  
**assumes** “ $\neg \text{accepts} (\text{pre\_star\_exec } A) c$ ”  
**shows** “ $c \notin \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *accept\_pre\_star\_exec\_correct\_Some\_True*:  
**assumes** “*accept\_pre\_star\_exec\_check A c* = *Some True*”  
**shows** “ $c \in \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *accept\_pre\_star\_exec\_correct\_Some\_False*:  
**assumes** “*accept\_pre\_star\_exec\_check A c* = *Some False*”  
**shows** “ $c \notin \text{pre\_star} (\text{lang } A)$ ”  
 $\langle \text{proof} \rangle$

**theorem** *accept\_pre\_star\_exec\_correct\_None*:  
**assumes** “*accept\_pre\_star\_exec\_check A c* = *None*”  
**shows** “ $\neg \text{inits} \subseteq \text{LTS.srcs } A$ ”  
 $\langle \text{proof} \rangle$

## 5.4 Post\* lemmas

**lemma** *lemma\_3\_3'*:  
**assumes** “ $pv \Rightarrow^* p'w$ ”  
**and** “ $(\text{fst } pv, \text{snd } pv) \in \text{lang}_\varepsilon A$ ”  
**and** “*saturation post\_star\_rules A A'*”  
**shows** “ $\text{accepts}_\varepsilon A' (\text{fst } p'w, \text{snd } p'w)$ ”  
 $\langle \text{proof} \rangle$

**lemma** *lemma\_3\_3*:  
**assumes** “ $(p, v) \Rightarrow^* (p', w)$ ”  
**and** “ $(p, v) \in \text{lang}_\varepsilon A$ ”  
**and** “*saturation post\_star\_rules A A'*”  
**shows** “ $\text{accepts}_\varepsilon A' (p', w)$ ”  
 $\langle \text{proof} \rangle$

**lemma** *init\_only\_hd*:

**assumes** “ $(ss, w) \in LTS.path\_with\_word\ A$ ”

**assumes** “ $inits \subseteq LTS.srcs\ A$ ”

**assumes** “ $count\ (transitions\_of\ (ss, w))\ t > 0$ ”

**assumes** “ $t = (Init\ p1, \gamma, q1)$ ”

**shows** “ $hd\ (transition\_list\ (ss, w)) = t \wedge count\ (transitions\_of\ (ss, w))\ t = 1$ ”

*<proof>*

**lemma** *no\_edge\_to\_Ctr\_Loc\_avoid\_Ctr\_Loc*:

**assumes** “ $(p, w, qq) \in LTS.trans\_star\ A_{minus1}$ ”

**assumes** “ $w \neq []$ ”

**assumes** “ $inits \subseteq LTS.srcs\ A_{minus1}$ ”

**shows** “ $qq \notin inits$ ”

*<proof>*

**lemma** *no\_edge\_to\_Ctr\_Loc\_avoid\_Ctr\_Loc\_ε*:

**assumes** “ $(p, [\gamma], qq) \in LTS_{\epsilon}.trans\_star_{\epsilon}\ A_{minus1}$ ”

**assumes** “ $inits \subseteq LTS.srcs\ A_{minus1}$ ”

**shows** “ $qq \notin inits$ ”

*<proof>*

**lemma** *no\_edge\_to\_Ctr\_Loc\_post\_star\_rules'*:

**assumes** “ $post\_star\_rules^{**}\ A\ Ai$ ”

**assumes** “ $\nexists q\ \gamma\ q'.\ (q, \gamma, Init\ q') \in A$ ”

**shows** “ $\nexists q\ \gamma\ q'.\ (q, \gamma, Init\ q') \in Ai$ ”

*<proof>*

**lemma** *no\_edge\_to\_Ctr\_Loc\_post\_star\_rules*:

**assumes** “ $post\_star\_rules^{**}\ A\ Ai$ ”

**assumes** “ $inits \subseteq LTS.srcs\ A$ ”

**shows** “ $inits \subseteq LTS.srcs\ Ai$ ”

*<proof>*

**lemma** *source\_and\_sink\_isolated*:

**assumes** “ $N \subseteq LTS.srcs\ A$ ”

**assumes** “ $N \subseteq LTS.sinks\ A$ ”

**shows** “ $\forall p\ \gamma\ q.\ (p, \gamma, q) \in A \longrightarrow p \notin N \wedge q \notin N$ ”

*<proof>*

**lemma** *post\_star\_rules\_Isolated\_source\_invariant'*:

**assumes** “ $post\_star\_rules^{**}\ A\ A'$ ”

**assumes** “ $isols \subseteq LTS.isolated\ A$ ”

**assumes** “ $(Init\ p',\ Some\ \gamma',\ Isolated\ p'\ \gamma') \notin A'$ ”

**shows** “ $\nexists p\ \gamma.\ (p, \gamma, Isolated\ p'\ \gamma') \in A'$ ”

*<proof>*

**lemma** *post\_star\_rules\_Isolated\_source\_invariant*:

**assumes** “ $post\_star\_rules^{**}\ A\ A'$ ”

**assumes** “ $isols \subseteq LTS.isolated\ A$ ”

**assumes** “ $(Init\ p',\ Some\ \gamma',\ Isolated\ p'\ \gamma') \notin A'$ ”

**shows** “ $Isolated\ p'\ \gamma' \in LTS.srcs\ A'$ ”

*<proof>*

**lemma** *post\_star\_rules\_Isolated\_sink\_invariant'*:

**assumes** “ $post\_star\_rules^{**}\ A\ A'$ ”

**assumes** “ $isols \subseteq LTS.isolated\ A$ ”

**assumes** “ $(Init\ p',\ Some\ \gamma',\ Isolated\ p'\ \gamma') \notin A'$ ”

**shows** “ $\nexists p\ \gamma.\ (Isolated\ p'\ \gamma',\ \gamma, p) \in A'$ ”

*<proof>*

**lemma** *post\_star\_rules\_Isolated\_sink\_invariant*:

**assumes** “ $post\_star\_rules^{**}\ A\ A'$ ”

**assumes** “ $isols \subseteq LTS.isolated\ A$ ”

**assumes** “(Init p', Some γ', Isolated p' γ') ∉ A'”  
**shows** “Isolated p' γ' ∈ LTS.sinks A'”  
 ⟨proof⟩

**lemma rtranclp\_post\_star\_rules\_constains\_successors\_states:**  
**assumes** “post\_star\_rules\*\* A A'”  
**assumes** “inits ⊆ LTS.sracs A”  
**assumes** “isols ⊆ LTS.isolated A”  
**assumes** “(Init p, w, ss, q) ∈ LTS.trans\_star\_states A'”  
**shows** “(¬is\_Isolated q → (∃ p' w'. (Init p', w', q) ∈ LTS\_ε.trans\_star\_ε A ∧ (p', w') ⇒\* (p, LTS\_ε.remove\_ε w))) ∧ (is\_Isolated q → (the\_Ctr\_Loc q, [the\_Label q]) ⇒\* (p, LTS\_ε.remove\_ε w))”  
 ⟨proof⟩

**lemma rtranclp\_post\_star\_rules\_constains\_successors:**  
**assumes** “post\_star\_rules\*\* A A'”  
**assumes** “inits ⊆ LTS.sracs A”  
**assumes** “isols ⊆ LTS.isolated A”  
**assumes** “(Init p, w, q) ∈ LTS.trans\_star A'”  
**shows** “(¬is\_Isolated q → (∃ p' w'. (Init p', w', q) ∈ LTS\_ε.trans\_star\_ε A ∧ (p', w') ⇒\* (p, LTS\_ε.remove\_ε w))) ∧ (is\_Isolated q → (the\_Ctr\_Loc q, [the\_Label q]) ⇒\* (p, LTS\_ε.remove\_ε w))”  
 ⟨proof⟩

**lemma post\_star\_rules\_saturation\_constains\_successors:**  
**assumes** “saturation post\_star\_rules A A'”  
**assumes** “inits ⊆ LTS.sracs A”  
**assumes** “isols ⊆ LTS.isolated A”  
**assumes** “(Init p, w, q) ∈ LTS.trans\_star A'”  
**shows** “(¬is\_Isolated q → (∃ p' w'. (Init p', w', q) ∈ LTS\_ε.trans\_star\_ε A ∧ (p', w') ⇒\* (p, LTS\_ε.remove\_ε w))) ∧ (is\_Isolated q → (the\_Ctr\_Loc q, [the\_Label q]) ⇒\* (p, LTS\_ε.remove\_ε w))”  
 ⟨proof⟩

**theorem post\_star\_rules\_subset\_post\_star\_lang:**  
**assumes** “post\_star\_rules\*\* A A'”  
**assumes** “inits ⊆ LTS.sracs A”  
**assumes** “isols ⊆ LTS.isolated A”  
**shows** “{c. accepts\_ε A' c} ⊆ post\_star (lang\_ε A)”  
 ⟨proof⟩

**theorem post\_star\_rules\_accepts\_ε\_correct:**  
**assumes** “saturation post\_star\_rules A A'”  
**assumes** “inits ⊆ LTS.sracs A”  
**assumes** “isols ⊆ LTS.isolated A”  
**shows** “{c. accepts\_ε A' c} = post\_star (lang\_ε A)”  
 ⟨proof⟩

**theorem post\_star\_rules\_correct:**  
**assumes** “saturation post\_star\_rules A A'”  
**assumes** “inits ⊆ LTS.sracs A”  
**assumes** “isols ⊆ LTS.isolated A”  
**shows** “lang\_ε A' = post\_star (lang\_ε A)”  
 ⟨proof⟩

end

## 5.5 Intersection Automata

**definition accepts\_inters :: “(('ctr\_loc, 'noninit, 'label) state \* ('ctr\_loc, 'noninit, 'label) state, 'label) transition set ⇒ (('ctr\_loc, 'noninit, 'label) state \* ('ctr\_loc, 'noninit, 'label) state) set ⇒ ('ctr\_loc, 'label) conf ⇒ bool”** **where**  
 “accepts\_inters ts finals ≡ λ(p, w). (∃ qq ∈ finals. ((Init p, Init p), w, qq) ∈ LTS.trans\_star ts)”

**lemma accepts\_inters\_accepts\_aut\_inters:**  
**assumes** “ts12 = inters ts1 ts2”  
**assumes** “finals12 = inters\_finals finals1 finals2”  
**shows** “accepts\_inters ts12 finals12 (p, w) ↔ Intersection\_P\_Automaton.accepts\_aut\_inters ts1 Init finals1 ts2 finals2 p w”  
 ⟨proof⟩

**definition** *lang\_inters* :: “((‘ctr\_loc, ‘noninit, ‘label) state \* (‘ctr\_loc, ‘noninit, ‘label) state, ‘label) transition set  $\Rightarrow$  ((‘ctr\_loc, ‘noninit, ‘label) state \* (‘ctr\_loc, ‘noninit, ‘label) state) set  $\Rightarrow$  (‘ctr\_loc, ‘label) conf set” **where**  
“*lang\_inters* *ts* *finals* = {*c*. *accepts\_inters* *ts* *finals* *c*}”

**lemma** *lang\_inters\_lang\_aut\_inters*:

**assumes** “*ts12* = *inters* *ts1* *ts2*”

**assumes** “*finals12* = *inters\_finals* *finals1* *finals2*”

**shows** “( $\lambda(p,w).$  (p, w)) ‘*lang\_inters* *ts12* *finals12* =

*Intersection\_P\_Automaton.lang\_aut\_inters* *ts1* *Init* *finals1* *ts2* *finals2*”

*<proof>*

**lemma** *inters\_accept\_iff*:

**assumes** “*ts12* = *inters* *ts1* *ts2*”

**assumes** “*finals12* = *inters\_finals* (*PDS\_with\_P\_automata*.*finals* *final\_initss1* *final\_noninits1*)  
(*PDS\_with\_P\_automata*.*finals* *final\_initss2* *final\_noninits2*)”

**shows**

“*accepts\_inters* *ts12* *finals12* (p,w)  $\longleftrightarrow$

*PDS\_with\_P\_automata*.*accepts* *final\_initss1* *final\_noninits1* *ts1* (p,w)  $\wedge$

*PDS\_with\_P\_automata*.*accepts* *final\_initss2* *final\_noninits2* *ts2* (p,w)”

*<proof>*

**lemma** *inters\_lang*:

**assumes** “*ts12* = *inters* *ts1* *ts2*”

**assumes** “*finals12* =

*inters\_finals* (*PDS\_with\_P\_automata*.*finals* *final\_initss1* *final\_noninits1*)

(*PDS\_with\_P\_automata*.*finals* *final\_initss2* *final\_noninits2*)”

**shows** “*lang\_inters* *ts12* *finals12* =

*PDS\_with\_P\_automata*.*lang* *final\_initss1* *final\_noninits1* *ts1*  $\cap$

*PDS\_with\_P\_automata*.*lang* *final\_initss2* *final\_noninits2* *ts2*”

*<proof>*

## 5.6 Intersection epsilon-Automata

**context** *PDS\_with\_P\_automata* **begin**

**interpretation** *LTS* *transition\_rel* *<proof>*

**notation** *step\_relp* (**infix**  $\langle \Rightarrow \rangle$  80)

**notation** *step\_starp* (**infix**  $\langle \Rightarrow^* \rangle$  80)

**definition** *accepts\_ε\_inters* :: “((‘ctr\_loc, ‘noninit, ‘label) state \* (‘ctr\_loc, ‘noninit, ‘label) state, ‘label option) transition set  $\Rightarrow$  (‘ctr\_loc, ‘label) conf  $\Rightarrow$  bool” **where**

“*accepts\_ε\_inters* *ts*  $\equiv$   $\lambda(p,w).$  ( $\exists q1 \in$  *finals*.  $\exists q2 \in$  *finals*. ((*Init* p, *Init* p),w,(q1,q2))  $\in$  *LTS\_ε*.*trans\_star\_ε* *ts*)”

**definition** *lang\_ε\_inters* :: “((‘ctr\_loc, ‘noninit, ‘label) state \* (‘ctr\_loc, ‘noninit, ‘label) state, ‘label option) transition set  $\Rightarrow$  (‘ctr\_loc, ‘label) conf set” **where**

“*lang\_ε\_inters* *ts* = {*c*. *accepts\_ε\_inters* *ts* *c*}”

**lemma** *trans\_star\_trans\_star\_ε\_inter*:

**assumes** “*LTS\_ε*.*ε\_exp* *w1* *w*”

**assumes** “*LTS\_ε*.*ε\_exp* *w2* *w*”

**assumes** “(*p1*, *w1*, *p2*)  $\in$  *LTS*.*trans\_star* *ts1*”

**assumes** “(*q1*, *w2*, *q2*)  $\in$  *LTS*.*trans\_star* *ts2*”

**shows** “((*p1*,*q1*), *w* :: ‘label list, (*p2*,*q2*))  $\in$  *LTS\_ε*.*trans\_star\_ε* (*inters\_ε* *ts1* *ts2*)”

*<proof>*

**lemma** *trans\_star\_ε\_inter*:

**assumes** “(*p1*, *w* :: ‘label list, *p2*)  $\in$  *LTS\_ε*.*trans\_star\_ε* *ts1*”

**assumes** “(*q1*, *w*, *q2*)  $\in$  *LTS\_ε*.*trans\_star\_ε* *ts2*”

**shows** “((*p1*, *q1*), *w*, (*p2*, *q2*))  $\in$  *LTS\_ε*.*trans\_star\_ε* (*inters\_ε* *ts1* *ts2*)”

*<proof>*

**lemma** *inters\_trans\_star\_ε1*:

**assumes** “ $(p1q2, w :: \text{'label list}, p2q2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} (\text{inters}_{\varepsilon} ts1 ts2)$ ”  
**shows** “ $(fst\ p1q2, w, fst\ p2q2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} ts1$ ”  
 ⟨proof⟩

**lemma inters\_trans\_star\_ε:**

**assumes** “ $(p1q2, w :: \text{'label list}, p2q2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} (\text{inters}_{\varepsilon} ts1 ts2)$ ”  
**shows** “ $(snd\ p1q2, w, snd\ p2q2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} ts2$ ”  
 ⟨proof⟩

**lemma inters\_trans\_star\_ε\_iff:**

“ $(p1, q2), w :: \text{'label list}, (p2, q2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} (\text{inters}_{\varepsilon} ts1 ts2) \longleftrightarrow$   
 $(p1, w, p2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} ts1 \wedge (q2, w, q2) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} ts2$ ”  
 ⟨proof⟩

**lemma inters\_ε\_accept\_ε\_iff:**

“ $\text{accepts}_{\varepsilon} \text{inters} (\text{inters}_{\varepsilon} ts1 ts2) c \longleftrightarrow \text{accepts}_{\varepsilon} ts1 c \wedge \text{accepts}_{\varepsilon} ts2 c$ ”  
 ⟨proof⟩

**lemma inters\_ε\_lang\_ε:** “ $\text{lang}_{\varepsilon} \text{inters} (\text{inters}_{\varepsilon} ts1 ts2) = \text{lang}_{\varepsilon} ts1 \cap \text{lang}_{\varepsilon} ts2$ ”

⟨proof⟩

## 5.7 Dual search

**lemma dual1:**

“ $\text{post\_star} (\text{lang}_{\varepsilon} A1) \cap \text{pre\_star} (\text{lang} A2) = \{c. \exists c1 \in \text{lang}_{\varepsilon} A1. \exists c2 \in \text{lang} A2. c1 \Rightarrow^* c \wedge c \Rightarrow^* c2\}$ ”  
 ⟨proof⟩

**lemma dual2:**

“ $\text{post\_star} (\text{lang}_{\varepsilon} A1) \cap \text{pre\_star} (\text{lang} A2) \neq \{\} \longleftrightarrow (\exists c1 \in \text{lang}_{\varepsilon} A1. \exists c2 \in \text{lang} A2. c1 \Rightarrow^* c2)$ ”  
 ⟨proof⟩

**lemma LTS\_ε\_of\_LTS\_Some:** “ $(p, \text{Some } \gamma, q') \in LTS_{\varepsilon} \text{of\_LTS } A2' \longleftrightarrow (p, \gamma, q') \in A2'$ ”

⟨proof⟩

**lemma LTS\_ε\_of\_LTS\_None:** “ $(p, \text{None}, q') \notin LTS_{\varepsilon} \text{of\_LTS } A2'$ ”

⟨proof⟩

**lemma trans\_star\_ε\_LTS\_ε\_of\_LTS\_trans\_star:**

**assumes** “ $(p, w, q) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} (LTS_{\varepsilon} \text{of\_LTS } A2')$ ”

**shows** “ $(p, w, q) \in LTS.\text{trans\_star } A2'$ ”

⟨proof⟩

**lemma trans\_star\_trans\_star\_ε\_LTS\_ε\_of\_LTS:**

**assumes** “ $(p, w, q) \in LTS.\text{trans\_star } A2'$ ”

**shows** “ $(p, w, q) \in LTS_{\varepsilon}.\text{trans\_star}_{\varepsilon} (LTS_{\varepsilon} \text{of\_LTS } A2')$ ”

⟨proof⟩

**lemma accepts\_ε\_LTS\_ε\_of\_LTS\_iff\_accepts:** “ $\text{accepts}_{\varepsilon} (LTS_{\varepsilon} \text{of\_LTS } A2') (p, w) \longleftrightarrow \text{accepts } A2' (p, w)$ ”

⟨proof⟩

**lemma lang\_ε\_LTS\_ε\_of\_LTS\_is\_lang:** “ $\text{lang}_{\varepsilon} (LTS_{\varepsilon} \text{of\_LTS } A2') = \text{lang } A2'$ ”

⟨proof⟩

**theorem dual\_star\_correct\_early\_termination:**

**assumes** “ $\text{inits} \subseteq LTS.\text{srcs } A1$ ”

**assumes** “ $\text{inits} \subseteq LTS.\text{srcs } A2$ ”

**assumes** “ $\text{isols} \subseteq LTS.\text{isolated } A1$ ”

**assumes** “ $\text{isols} \subseteq LTS.\text{isolated } A2$ ”

**assumes** “ $\text{post\_star\_rules}^{**} A1 A1'$ ”

**assumes** “ $\text{pre\_star\_rule}^{**} A2 A2'$ ”

**assumes** “ $\text{lang}_{\varepsilon} \text{inters} (\text{inters}_{\varepsilon} A1' (LTS_{\varepsilon} \text{of\_LTS } A2')) \neq \{\}$ ”

**shows** “ $\exists c1 \in \text{lang}_{\varepsilon} A1. \exists c2 \in \text{lang } A2. c1 \Rightarrow^* c2$ ”

⟨proof⟩



```

theorem dual_star_correct_saturation:
  assumes "inits  $\subseteq$  LTS.sracs A1"
  assumes "inits  $\subseteq$  LTS.sracs A2"
  assumes "isols  $\subseteq$  LTS.isolated A1"
  assumes "isols  $\subseteq$  LTS.isolated A2"
  assumes "saturation post_star_rules A1 A1'"
  assumes "saturation pre_star_rule A2 A2'"
  shows "lang_ε_inters (inters_ε A1' (LTS_ε_of_LTS A2'))  $\neq$  {}  $\longleftrightarrow$  ( $\exists$  c1  $\in$  lang_ε A1.  $\exists$  c2  $\in$  lang A2. c1
 $\Rightarrow^*$  c2)"
  <proof>

```

```

theorem dual_star_correct_early_termination_configs:
  assumes "inits  $\subseteq$  LTS.sracs A1"
  assumes "inits  $\subseteq$  LTS.sracs A2"
  assumes "isols  $\subseteq$  LTS.isolated A1"
  assumes "isols  $\subseteq$  LTS.isolated A2"
  assumes "lang_ε A1 = {c1}"
  assumes "lang A2 = {c2}"
  assumes "post_star_rules** A1 A1'"
  assumes "pre_star_rule** A2 A2'"
  assumes "lang_ε_inters (inters_ε A1' (LTS_ε_of_LTS A2'))  $\neq$  {}"
  shows "c1  $\Rightarrow^*$  c2"
  <proof>

```

```

theorem dual_star_correct_saturation_configs:
  assumes "inits  $\subseteq$  LTS.sracs A1"
  assumes "inits  $\subseteq$  LTS.sracs A2"
  assumes "isols  $\subseteq$  LTS.isolated A1"
  assumes "isols  $\subseteq$  LTS.isolated A2"
  assumes "lang_ε A1 = {c1}"
  assumes "lang A2 = {c2}"
  assumes "saturation post_star_rules A1 A1'"
  assumes "saturation pre_star_rule A2 A2'"
  shows "lang_ε_inters (inters_ε A1' (LTS_ε_of_LTS A2'))  $\neq$  {}  $\longleftrightarrow$  c1  $\Rightarrow^*$  c2"
  <proof>

```

end

end

**theory** PDS\_Code

**imports** PDS "Deriving.Derive"

**begin**

```

global-interpretation pds: PDS_with_P_automata Δ F_ctr_loc F_ctr_loc_st
for Δ :: "('ctr_loc::{enum, linorder}, 'label::{finite, linorder}) rule set"
and F_ctr_loc :: "('ctr_loc) set"
and F_ctr_loc_st :: "('state::finite) set"
defines pre_star = "PDS_with_P_automata.pre_star_exec Δ"
and pre_star_check = "PDS_with_P_automata.pre_star_exec_check Δ"
and inits = "PDS_with_P_automata.inits"
and finals = "PDS_with_P_automata finals F_ctr_loc F_ctr_loc_st"
and accepts = "PDS_with_P_automata.accepts F_ctr_loc F_ctr_loc_st"
and language = "PDS_with_P_automata.lang F_ctr_loc F_ctr_loc_st"
and step_starp = "rtranclp (LTS.step_relp (PDS.transition_rel Δ))"
and accepts_pre_star_check = "PDS_with_P_automata.accept_pre_star_exec_check Δ F_ctr_loc F_ctr_loc_st"
  <proof>

```

**global-interpretation** inter: Intersection\_P\_Automaton

```

  initial_automaton Init "finals initial_F_ctr_loc initial_F_ctr_loc_st"
  "pre_star Δ final_automaton" "finals final_F_ctr_loc final_F_ctr_loc_st"
for Δ :: "('ctr_loc::{enum, linorder}, 'label::{finite, linorder}) rule set"
and initial_automaton :: "((ctr_loc, 'state::finite, 'label) state, 'label) transition set"

```

```

and initial_F_ctr_loc :: "'ctr_loc set"
and initial_F_ctr_loc_st :: "'state set"
and final_automaton :: "('ctr_loc, 'state, 'label) state, 'label transition set"
and final_F_ctr_loc :: "'ctr_loc set"
and final_F_ctr_loc_st :: "'state set"
defines nonempty_inter = "P_Automaton.nonempty
  (inters initial_automaton (pre_star  $\Delta$  final_automaton))
  (( $\lambda p$ . (Init p, Init p)))
  (inters_finals (finals initial_F_ctr_loc initial_F_ctr_loc_st)
    (finals final_F_ctr_loc final_F_ctr_loc_st))"
  <proof>

definition "check  $\Delta$  I IF IF_st F FF FF_st =
  (if pds.inits  $\subseteq$  LTS.srscs F then Some (nonempty_inter  $\Delta$  I IF IF_st F FF FF_st) else None)"

lemma check_None: "check  $\Delta$  I IF IF_st F FF FF_st = None  $\longleftrightarrow$   $\neg$  (inits  $\subseteq$  LTS.srscs F)"
  <proof>

lemma check_Some: "check  $\Delta$  I IF IF_st F FF FF_st = Some b  $\longleftrightarrow$ 
  (inits  $\subseteq$  LTS.srscs F  $\wedge$  b = ( $\exists p w p' w'$ .
    (p, w)  $\in$  language IF IF_st I  $\wedge$ 
    (p', w')  $\in$  language FF FF_st F  $\wedge$ 
    step_starp  $\Delta$  (p, w) (p', w')))"
  <proof>

declare P_Automaton.mark.simps[code]

export-code check checking SML

end

```

## References

- [BEM97] Ahmed Bouajjani, Javier Esparza, and Oded Maler. Reachability analysis of pushdown automata: Application to model-checking. In Antoni W. Mazurkiewicz and Józef Winkowski, editors, *CONCUR 1997*, volume 1243 of *LNCS*, pages 135–150. Springer, 1997.
- [Büc64] J Richard Büchi. Regular canonical systems. *Archiv für mathematische Logik und Grundlagenforschung*, 6(3-4):91–111, 1964.
- [CNDE05] Christopher L. Conway, Kedar S. Namjoshi, Dennis Dams, and Stephen A. Edwards. Incremental algorithms for inter-procedural analysis of safety properties. In Kousha Etessami and Sriram K. Rajamani, editors, *CAV 2005*, volume 3576 of *LNCS*, pages 449–461. Springer, 2005.
- [EK99] Javier Esparza and Jens Knoop. An automata-theoretic approach to interprocedural data-flow analysis. In Wolfgang Thomas, editor, *FoSSaCS 1999*, volume 1578 of *LNCS*, pages 14–30. Springer, 1999.
- [ES01] Javier Esparza and Stefan Schwoon. A bdd-based model checker for recursive programs. In Gérard Berry, Hubert Comon, and Alain Finkel, editors, *CAV 2001*, volume 2102 of *LNCS*, pages 324–336. Springer, 2001.
- [JKM<sup>+</sup>18] Jesper Stenbjerg Jensen, Troels Beck Krøgh, Jonas Sand Madsen, Stefan Schmid, Jirí Srba, and Marc Tom Thorgersen. P-Rex: fast verification of MPLS networks with multiple link failures. In Xenofontas A. Dimitropoulos, Alberto Dainotti, Laurent Vanbever, and Theophilus Benson, editors, *CoNEXT 2018*, pages 217–227. ACM, 2018.
- [JKS<sup>+</sup>20] Peter Gjøøl Jensen, Dan Kristiansen, Stefan Schmid, Morten Konggaard Schou, Bernhard Clemens Schrenk, and Jirí Srba. AalWiNes: a fast and quantitative what-if analysis tool for MPLS networks. In Dongsu Han and Anja Feldmann, editors, *CoNEXT 2020*, pages 474–481. ACM, 2020.

- [JSS<sup>+</sup>21] Peter Gjøøl Jensen, Stefan Schmid, Morten Konggaard Schou, Jiri Srba, Juan Vanerio, and Ingo van Duijn. Faster pushdown reachability analysis with applications in network verification. In Zhe Hou and Vijay Ganesh, editors, *Automated Technology for Verification and Analysis - 19th International Symposium, ATVA 2021, Gold Coast, QLD, Australia, October 18-22, 2021, Proceedings*, volume 12971 of *Lecture Notes in Computer Science*, pages 170–186. Springer, 2021.
- [Lam09] Peter Lammich. Formalization of dynamic pushdown networks in Isabelle/HOL, 2009. <https://www21.in.tum.de/~lammich/isabelle/dpn-document.pdf>.
- [LMW09] Peter Lammich, Markus Müller-Olm, and Alexander Wenner. Predecessor sets of dynamic pushdown networks with tree-regular constraints. In Ahmed Bouajjani and Oded Maler, editors, *CAV 2009*, volume 5643 of *LNCS*, pages 525–539. Springer, 2009.
- [Sch02a] Stefan Schwoon. *Model checking pushdown systems*. PhD thesis, Technical University Munich, Germany, 2002. <https://d-nb.info/96638976X/34>.
- [Sch02b] Stefan Schwoon. Moped. 2002. <http://www2.informatik.uni-stuttgart.de/fmi/szs/tools/moped/>.
- [SSE05] Dejavuth Suwimonteerabuth, Stefan Schwoon, and Javier Esparza. jMoped: A Java bytecode checker based on Moped. In Nicolas Halbwachs and Lenore D. Zuck, editors, *TACAS 2005*, volume 3440 of *LNCS*, pages 541–545. Springer, 2005.
- [SSST22] Anders Schlichtkrull, Morten Konggaard Schou, Jiri Srba, and Dmitriy Traytel. Differential testing of pushdown reachability with a formally verified oracle. In Alberto Griggio and Neha Rungta, editors, *22nd Formal Methods in Computer-Aided Design, FMCAD 2022, Trento, Italy, October 17-21, 2022*, pages 369–379. IEEE, 2022.
- [vDJJ<sup>+</sup>21] I. van Duijn, P.G. Jensen, J.S. Jensen, T.B. Krøgh, J.S. Madsen, S. Schmid, J. Srba, and M.T. Thorgersen. Automata-theoretic approach to verification of MPLS networks under link failures. *IEEE/ACM Transactions on Networking*, pages 1–16, 2021.