

# Compactness Theorem for Propositional Logic and Combinatorial Applications

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## Abstract

This theory formalises the compactness theorem for propositional logic based on the model existence theorem approach. It also presents applications of the compactness theorem to formalize combinatorial theorems over countable structures: the de Bruijn-Erdős Graph coloring theorem for countable graphs, König's Lemma, and set- and graph-theoretical versions of Hall's Theorem for countable families of sets and graphs.

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```
imports Main
```

```
begin
```

## 1 Special Graph Theoretical Notions

This theory provides a background on specialized graph notions and properties. We follow the approach by L. Noschinski available in the AFPs. Since not all elements of Noschinski theory are required, we prefer not to import it.

The proof are desiccated in several steps since the focus is clarity instead proof automation.

```
record ('a,'b) pre-digraph =
  verts :: 'a set
  arcs :: 'b set
  tail :: 'b ⇒ 'a
  head :: 'b ⇒ 'a
```

```
definition tails:: ('a,'b) pre-digraph ⇒ 'a set where
  tails G ≡ {tail G e | e. e ∈ arcs G }
```

```
definition tails-set :: ('a,'b) pre-digraph ⇒ 'b set ⇒ 'a set where
  tails-set G E ≡ {tail G e | e. e ∈ E ∧ E ⊆ arcs G }
```

```
definition heads:: ('a,'b) pre-digraph ⇒ 'a set where
  heads G ≡ {head G e | e. e ∈ arcs G }
```

```
definition heads-set:: ('a,'b) pre-digraph ⇒ 'b set ⇒ 'a set where
  heads-set G E ≡ {head G e | e. e ∈ E ∧ E ⊆ arcs G }
```

```
definition neighbour:: ('a,'b) pre-digraph ⇒ 'a ⇒ 'a ⇒ bool where
  neighbour G v u ≡
    ∃ e. e ∈ (arcs G) ∧ ((head G e = v ∧ tail G e = u) ∨
    (head G e = u ∧ tail G e = v))
```

```
definition neighbourhood:: ('a,'b) pre-digraph ⇒ 'a ⇒ 'a set where
  neighbourhood G v ≡ {u | u. neighbour G u v}
```

```
definition bipartite-digraph:: ('a,'b) pre-digraph ⇒ 'a set ⇒ 'a set ⇒ bool where
  bipartite-digraph G X Y ≡
```

$$(X \cup Y = (\text{verts } G)) \wedge X \cap Y = \{\} \wedge \\ (\forall e \in (\text{arcs } G). (\text{tail } G e) \in X \longleftrightarrow (\text{head } G e) \in Y)$$

**definition** *dir-bipartite-digraph*:: ('a,'b) pre-digraph  $\Rightarrow$  'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool  
**where**  
*dir-bipartite-digraph*  $G X Y \equiv (\text{bipartite-digraph } G X Y) \wedge$   
 $((\text{tails } G = X) \wedge (\forall e1 \in \text{arcs } G. \forall e2 \in \text{arcs } G. e1 = e2 \longleftrightarrow \text{head } G e1 = \text{head } G e2 \wedge \text{tail } G e1 = \text{tail } G e2))$

**definition** *K-E-bipartite-digraph*:: ('a,'b) pre-digraph  $\Rightarrow$  'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool  
**where**  
*K-E-bipartite-digraph*  $G X Y \equiv$   
 $(\text{dir-bipartite-digraph } G X Y) \wedge (\forall x \in X. \text{finite } (\text{neighbourhood } G x))$

**definition** *dirBD-matching*:: ('a,'b) pre-digraph  $\Rightarrow$  'a set  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  bool  
**where**  
*dirBD-matching*  $G X Y E \equiv$   
 $\text{dir-bipartite-digraph } G X Y \wedge (E \subseteq (\text{arcs } G)) \wedge$   
 $(\forall e1 \in E. (\forall e2 \in E. e1 \neq e2 \rightarrow$   
 $((\text{head } G e1) \neq (\text{head } G e2)) \wedge$   
 $((\text{tail } G e1) \neq (\text{tail } G e2))))$

**lemma** *tail-head*:  
**assumes** *dir-bipartite-digraph*  $G X Y$  **and**  $e \in \text{arcs } G$   
**shows**  $(\text{tail } G e) \in X \wedge (\text{head } G e) \in Y$   
**using** *assms*  
**by** (*unfold dir-bipartite-digraph-def*, *unfold bipartite-digraph-def*, *unfold tails-def*, *auto*)

**lemma** *tail-head1*:  
**assumes** *dirBD-matching*  $G X Y E$  **and**  $e \in E$   
**shows**  $(\text{tail } G e) \in X \wedge (\text{head } G e) \in Y$   
**using** *assms tail-head*[*of G X Y e*] **by** (*unfold dirBD-matching-def*, *auto*)

**lemma** *dirBD-matching-tail-edge-unicity*:  
*dirBD-matching*  $G X Y E \rightarrow$   
 $(\forall e1 \in E. (\forall e2 \in E. (\text{tail } G e1 = \text{tail } G e2) \rightarrow e1 = e2))$

**proof**  
**assume** *dirBD-matching*  $G X Y E$   
**thus**  $\forall e1 \in E. \forall e2 \in E. \text{tail } G e1 = \text{tail } G e2 \rightarrow e1 = e2$   
**by** (*unfold dirBD-matching-def*, *auto*)  
**qed**

**lemma** *dirBD-matching-head-edge-unicity*:  
*dirBD-matching*  $G X Y E \rightarrow$

$(\forall e1 \in E. (\forall e2 \in E. (head G e1 = head G e2) \rightarrow e1 = e2))$

**proof**  
**assume** *dirBD-matching*  $G X Y E$   
**thus**  $\forall e1 \in E. \forall e2 \in E. head G e1 = head G e2 \rightarrow e1 = e2$   
**by**(*unfold dirBD-matching-def, auto*)  
**qed**

**definition** *dirBD-perfect-matching*::  
 $('a,'b) pre-digraph \Rightarrow 'a set \Rightarrow 'a set \Rightarrow 'b set \Rightarrow bool$   
**where**  
*dirBD-perfect-matching*  $G X Y E \equiv$   
*dirBD-matching*  $G X Y E \wedge (tails-set G E = X)$

**lemma** *Tail-covering-edge-in-Pef-matching*:  
 $\forall x \in X. dirBD-perfect-matching G X Y E \rightarrow (\exists e \in E. tail G e = x)$   
**proof**  
**fix**  $x$   
**assume** *Hip1*:  $x \in X$   
**show** *dirBD-perfect-matching*  $G X Y E \rightarrow (\exists e \in E. tail G e = x)$   
**proof**  
**assume** *dirBD-perfect-matching*  $G X Y E$   
**hence**  $x \in tails-set G E$  **using** *Hip1*  
**by** (*unfold dirBD-perfect-matching-def, auto*)  
**thus**  $\exists e \in E. tail G e = x$  **by** (*unfold tails-set-def, auto*)  
**qed**  
**qed**

**lemma** *Edge-unicity-in-dirBD-P-matching*:  
 $\forall x \in X. dirBD-perfect-matching G X Y E \rightarrow (\exists !e \in E. tail G e = x)$

**proof**  
**fix**  $x$   
**assume** *Hip1*:  $x \in X$   
**show** *dirBD-perfect-matching*  $G X Y E \rightarrow (\exists !e \in E. tail G e = x)$   
**proof**  
**assume** *Hip2*: *dirBD-perfect-matching*  $G X Y E$   
**then obtain**  $\exists e. e \in E \wedge tail G e = x$   
**using** *Hip1 Tail-covering-edge-in-Pef-matching*[of  $X G Y E$ ] **by** *auto*  
**then obtain**  $e$  **where**  $e: e \in E \wedge tail G e = x$  **by** *auto*  
**hence**  $a: e \in E \wedge tail G e = x$  **by** *auto*  
**show**  $\exists !e. e \in E \wedge tail G e = x$   
**proof**  
**show**  $e \in E \wedge tail G e = x$  **using**  $a$  **by** *auto*  
**next**  
**fix**  $e1$   
**assume** *Hip3*:  $e1 \in E \wedge tail G e1 = x$   
**hence**  $tail G e = tail G e1 \wedge e \in E \wedge e1 \in E$  **using**  $a$  **by** *auto*

```

moreover
have dirBD-matching G X Y E
  using Hip2 by(unfold dirBD-perfect-matching-def, auto)
ultimately
show e1 = e
  using Hip2 dirBD-matching-tail-edge-uniqueness[of G X Y E]
  by auto
qed
qed
qed

definition E-head :: ('a,'b) pre-digraph  $\Rightarrow$  'b set  $\Rightarrow$  ('a  $\Rightarrow$  'a)
where
E-head G E = ( $\lambda x.$  (THE y.  $\exists e. e \in E \wedge \text{tail } G e = x \wedge \text{head } G e = y$ ))

lemma unicity-E-head1:
assumes dirBD-matching G X Y E  $\wedge e \in E \wedge \text{tail } G e = x \wedge \text{head } G e = y$ 
shows ( $\forall z. (\exists e. e \in E \wedge \text{tail } G e = x \wedge \text{head } G e = z) \rightarrow z = y$ )
using assms dirBD-matching-tail-edge-uniqueness by blast

lemma unicity-E-head2:
assumes dirBD-matching G X Y E  $\wedge e \in E \wedge \text{tail } G e = x \wedge \text{head } G e = y$ 
shows ( $\text{THE } a. \exists e. e \in E \wedge \text{tail } G e = x \wedge \text{head } G e = a = y$ )
using assms dirBD-matching-tail-edge-uniqueness by blast

lemma unicity-E-head:
assumes dirBD-matching G X Y E  $\wedge e \in E \wedge \text{tail } G e = x \wedge \text{head } G e = y$ 
shows (E-head G E) x = y
using assms unicity-E-head2[of G X Y E e x y] by(unfold E-head-def, auto)

lemma E-head-image :
dirBD-perfect-matching G X Y E  $\longrightarrow$ 
(e  $\in E \wedge \text{tail } G e = x \longrightarrow (\text{E-head } G E) x = \text{head } G e)$ 

proof
assume dirBD-perfect-matching G X Y E
thus e  $\in E \wedge \text{tail } G e = x \longrightarrow (\text{E-head } G E) x = \text{head } G e$ 
  using dirBD-matching-tail-edge-uniqueness [of G X Y E]
  by (unfold E-head-def, unfold dirBD-perfect-matching-def, blast)
qed

lemma E-head-in-neighbourhood:
dirBD-matching G X Y E  $\longrightarrow e \in E \longrightarrow \text{tail } G e = x \longrightarrow$ 
(E-head G E) x  $\in$  neighbourhood G x

proof (rule impI)+
assume
dir-BDm: dirBD-matching G X Y E and ed: e  $\in E$  and hd: tail G e = x
show E-head G E x  $\in$  neighbourhood G x

```

**proof**–

```
have ( $\exists y. y = \text{head } G e$ ) using hd by auto
then obtain y where  $y = \text{head } G e$  by auto
hence ( $E\text{-head } G E$ )  $x = y$ 
using dir-BDm ed hd unicity-E-head[of G X Y E e x y]
by auto
moreover
have  $e \in (\text{arcs } G)$  using dir-BDm ed by(unfold dirBD-matching-def, auto)
hence neighbour G y x using ed hd y by(unfold neighbour-def, auto)
ultimately
show ?thesis using hd ed by(unfold neighbourhood-def, auto)
qed
qed
```

**lemma** *dirBD-matching-inj-on*:

```
dirBD-perfect-matching G X Y E  $\longrightarrow$  inj-on (E-head G E) X
```

**proof**(*rule impI*)

```
assume dirBD-pm : dirBD-perfect-matching G X Y E
show inj-on (E-head G E) X
proof(unfold inj-on-def)
show  $\forall x \in X. \forall y \in X. E\text{-head } G E x = E\text{-head } G E y \longrightarrow x = y$ 
proof
fix x
assume 1:  $x \in X$ 
show  $\forall y \in X. E\text{-head } G E x = E\text{-head } G E y \longrightarrow x = y$ 
proof
fix y
assume 2:  $y \in X$ 
show  $E\text{-head } G E x = E\text{-head } G E y \longrightarrow x = y$ 
proof(rule impI)
assume same-eheads: E-head G E x = E-head G E y
show x=y
proof–
have hex: ( $\exists !e \in E. \text{tail } G e = x$ )
using dirBD-pm 1 Edge-unicity-in-dirBD-P-matching[of X G Y E]
by auto
then obtain ex where hex1: ex ∈ E ∧ tail G ex = x by auto
have ey: ( $\exists !e \in E. \text{tail } G e = y$ )
using dirBD-pm 2 Edge-unicity-in-dirBD-P-matching[of X G Y E]
by auto
then obtain ey where hey1: ey ∈ E ∧ tail G ey = y by auto
have ettx: E-head G E x = head G ex
using dirBD-pm hex1 E-head-image[of G X Y E ex x] by auto
have etty: E-head G E y = head G ey
using dirBD-pm hey1 E-head-image[of G X Y E ey y] by auto
have same-heads: head G ex = head G ey
using same-eheads ettx etty by auto
hence same-edges: ex = ey
```

```

using dirBD-pm 1 2 hex1 hey1
  dirBD-matching-head-edge-unicity[of G X Y E]
by(unfold dirBD-perfect-matching-def,unfold dirBD-matching-def, blast)
  thus ?thesis using same-edges hex1 hey1 by auto
    qed
    qed
    qed
    qed
    qed
    qed
  qed

```

**end**

```

datatype 'b formula =
  FF
  | TT
  | atom 'b
  | Negation 'b formula      ((¬.(-)) [110] 110)
  | Conjunction 'b formula 'b formula  (infixl ⟨ ∧ . ⟩ 109)
  | Disjunction 'b formula 'b formula   (infixl ⟨ ∨ . ⟩ 108)
  | Implication 'b formula 'b formula  (infixl ⟨ → . ⟩ 100)

```

```

lemma (¬.¬. Atom P →. Atom Q →. Atom R) =
  (((¬. (¬. Atom P)) →. Atom Q) →. Atom R)
by simp

```

**datatype** v-truth = Ttrue | Ffalse

**definition** v-negation :: v-truth ⇒ v-truth **where**  
 $v\text{-negation } x \equiv (\text{if } x = \text{Ttrue} \text{ then Ffalse} \text{ else Ttrue})$

**definition** v-conjunction :: v-truth ⇒ v-truth ⇒ v-truth **where**  
 $v\text{-conjunction } x y \equiv (\text{if } x = \text{Ffalse} \text{ then Ffalse} \text{ else } y)$

**definition** v-disjunction :: v-truth ⇒ v-truth ⇒ v-truth **where**  
 $v\text{-disjunction } x y \equiv (\text{if } x = \text{Ttrue} \text{ then Ttrue} \text{ else } y)$

**definition** v-implication :: v-truth ⇒ v-truth ⇒ v-truth **where**  
 $v\text{-implication } x y \equiv (\text{if } x = \text{Ffalse} \text{ then Ttrue} \text{ else } y)$

**primrec** t-v-evaluation :: ('b ⇒ v-truth) ⇒ 'b formula ⇒ v-truth  
**where**  
 $t\text{-v-evaluation } I \text{ FF} = \text{Ffalse}$

```

| t-v-evaluation I TT = Ttrue
| t-v-evaluation I (atom p) = I p
| t-v-evaluation I (¬. F) = (v-negation (t-v-evaluation I F))
| t-v-evaluation I (F ∧. G) = (v-conjunction (t-v-evaluation I F) (t-v-evaluation I G))
| t-v-evaluation I (F ∨. G) = (v-disjunction (t-v-evaluation I F) (t-v-evaluation I G))
| t-v-evaluation I (F →. G) = (v-implication (t-v-evaluation I F) (t-v-evaluation I G))

```

**lemma** *Bivaluation*:

**shows** *t-v-evaluation I F = Ttrue*  $\vee$  *t-v-evaluation I F = Ffalse*

**lemma** *NegationValues1*:

**assumes** *t-v-evaluation I (¬.F) = Ffalse*  
**shows** *t-v-evaluation I F = Ttrue*

**lemma** *NegationValues2*:

**assumes** *t-v-evaluation I (¬.F) = Ttrue*  
**shows** *t-v-evaluation I F = Ffalse*

**lemma** *non-Ttrue*:

**assumes** *t-v-evaluation I F ≠ Ttrue* **shows** *t-v-evaluation I F = Ffalse*

**lemma** *ConjunctionValues*:

**assumes** *t-v-evaluation I (F ∧. G) = Ttrue*  
**shows** *t-v-evaluation I F = Ttrue*  $\wedge$  *t-v-evaluation I G = Ttrue*

**lemma** *DisjunctionValues*:

**assumes** *t-v-evaluation I (F ∨. G) = Ttrue*  
**shows** *t-v-evaluation I F = Ttrue*  $\vee$  *t-v-evaluation I G = Ttrue*

**lemma** *ImplicationValues*:

**assumes** *t-v-evaluation I (F →. G) = Ttrue*  
**shows** *t-v-evaluation I F = Ttrue*  $\longrightarrow$  *t-v-evaluation I G = Ttrue*

**definition** *model* ::  $('b \Rightarrow v\text{-truth}) \Rightarrow 'b \text{ formula set} \Rightarrow \text{bool}$  ( $\langle \cdot \rangle$ -*model*  $\rightarrow [80,80]$ ) **where**

*I model S*  $\equiv$   $(\forall F \in S. t\text{-v-evaluation } I F = Ttrue)$

**definition** *satisfiable* ::  $'b \text{ formula set} \Rightarrow \text{bool}$  **where**  
*satisfiable S*  $\equiv$   $(\exists v. v \text{ model } S)$

**definition** *consequence* ::  $'b \text{ formula set} \Rightarrow 'b \text{ formula} \Rightarrow \text{bool}$  ( $\langle \cdot \rangle$ - $\models$   $\rightarrow [80,80]$ ) **where**

*S ⊨ F*  $\equiv$   $(\forall I. I \text{ model } S \longrightarrow t\text{-v-evaluation } I F = Ttrue)$

```

theorem EquiConsSat:
  shows  $S \models F = (\neg \text{satisfiable}(S \cup \{\neg. F\}))$ 

definition tautology :: 'b formula  $\Rightarrow$  bool where
  tautology  $F \equiv (\forall I. ((t\text{-}v\text{-}evaluation} I F) = Ttrue))$ 

lemma tautology  $(F \rightarrow. (G \rightarrow. F))$ 
proof -
  have  $\forall I. t\text{-}v\text{-}evaluation} I (F \rightarrow. (G \rightarrow. F)) = Ttrue$ 
  proof
    fix  $I$ 
    show  $t\text{-}v\text{-}evaluation} I (F \rightarrow. (G \rightarrow. F)) = Ttrue$ 
    proof (cases  $t\text{-}v\text{-}evaluation} I F)$ 
```

Caso 1:

```
{ assume  $t\text{-}v\text{-}evaluation} I F = Ttrue$ 
  thus ?thesis by (simp add: v-implication-def) }
  next
```

Caso 2:

```
{ assume  $t\text{-}v\text{-}evaluation} I F = Ffalse$ 
  thus ?thesis by (simp add: v-implication-def) }
  qed
  qed
  thus ?thesis by (simp add: tautology-def)
  qed
```

**theorem** CNS-tautology: tautology  $F = (\{\} \models F)$

**theorem** TautSatis:
 **shows** tautology  $(F \rightarrow. G) = (\neg \text{satisfiable}\{F, \neg. G\})$

```

fun FormulaLiteral :: 'b formula  $\Rightarrow$  bool where
  FormulaLiteral FF = True
  | FormulaLiteral ( $\neg.$  FF) = True
  | FormulaLiteral TT = True
  | FormulaLiteral ( $\neg.$  TT) = True
  | FormulaLiteral (atom P) = True
  | FormulaLiteral ( $\neg.$ (atom P)) = True
  | FormulaLiteral F = False
```

```

fun FormulaNoNo :: 'b formula  $\Rightarrow$  bool where
  FormulaNoNo ( $\neg$ . ( $\neg$ . F)) = True
  | FormulaNoNo F = False

fun FormulaAlfa :: 'b formula  $\Rightarrow$  bool where
  FormulaAlfa (F  $\wedge$ . G) = True
  | FormulaAlfa ( $\neg$ . (F  $\vee$ . G)) = True
  | FormulaAlfa ( $\neg$ . (F  $\rightarrow$ . G)) = True
  | FormulaAlfa F = False

fun FormulaBeta :: 'b formula  $\Rightarrow$  bool where
  FormulaBeta (F  $\vee$ . G) = True
  | FormulaBeta ( $\neg$ . (F  $\wedge$ . G)) = True
  | FormulaBeta (F  $\rightarrow$ . G) = True
  | FormulaBeta F = False

lemma noLiteralNoNo:
  assumes FormulaLiteral formula
  shows  $\neg$ (FormulaNoNo formula)
  using assms Literal NoNo
  by (induct formula rule: FormulaLiteral.induct, auto)

lemma noLiteralAlfa:
  assumes FormulaLiteral formula
  shows  $\neg$ (FormulaAlfa formula)
  using assms Literal Alfa
  by (induct formula rule: FormulaLiteral.induct, auto)

lemma noLiteralBeta:
  assumes FormulaLiteral formula
  shows  $\neg$ (FormulaBeta formula)
  using assms Literal Beta
  by (induct formula rule: FormulaLiteral.induct, auto)

lemma noAlfaNoNo:
  assumes FormulaAlfa formula
  shows  $\neg$ (FormulaNoNo formula)
  using assms Alfa NoNo
  by (induct formula rule: FormulaAlfa.induct, auto)

```

```

lemma noBetaNoNo:
  assumes FormulaBeta formula
  shows  $\neg(\text{FormulaNoNo } formula)$ 
  using assms Beta NoNo
  by (induct formula rule: FormulaBeta.induct, auto)

lemma noAlfaBeta:
  assumes FormulaAlfa formula
  shows  $\neg(\text{FormulaBeta } formula)$ 
  using assms Alfa Beta
  by (induct formula rule: FormulaAlfa.induct, auto)

lemma UniformNotation:
  FormulaLiteral F  $\vee$  FormulaNoNo F  $\vee$  FormulaAlfa F  $\vee$  FormulaBeta F

datatype typeUniformNotation = Literal | NoNo | Alfa| Beta

fun typeFormula :: 'b formula  $\Rightarrow$  typeUniformNotation where
typeFormula F =
  (if FormulaBeta F then Beta
   else if FormulaNoNo F then NoNo
   else if FormulaAlfa F then Alfa
   else Literal)

fun componentes :: 'b formula  $\Rightarrow$  'b formula list where
componentes ( $\neg$ . ( $\neg$ . G)) = [G]
| componentes (G  $\wedge$ . H) = [G, H]
| componentes ( $\neg$ . (G  $\vee$ . H)) = [ $\neg$ . G,  $\neg$ . H]
| componentes ( $\neg$ . (G  $\rightarrow$ . H)) = [G,  $\neg$ . H]
| componentes (G  $\vee$ . H) = [G, H]
| componentes ( $\neg$ . (G  $\wedge$ . H)) = [ $\neg$ . G,  $\neg$ . H]
| componentes (G  $\rightarrow$ . H) = [ $\neg$ . G, H]

definition Comp1 :: 'b formula  $\Rightarrow$  'b formula where
Comp1 F = hd (componentes F)

definition Comp2 :: 'b formula  $\Rightarrow$  'b formula where
Comp2 F = hd (tl (componentes F))

primrec t-v-evaluationDisyuncionG :: ('b  $\Rightarrow$  v-truth)  $\Rightarrow$  ('b formula list)  $\Rightarrow$  v-truth
where

```

```

t-v-evaluationDisyuncionG I [] = Ffalse
| t-v-evaluationDisyuncionG I (F#Fs) = (if t-v-evaluation I F = Ttrue then Ttrue
else t-v-evaluationDisyuncionG I Fs)

```

```

primrec t-v-evaluationConjucionG :: ('b ⇒ v-truth) ⇒ ('b formula list) list ⇒
v-truth where
t-v-evaluationConjucionG I [] = Ttrue
| t-v-evaluationConjucionG I (D#Ds) =
(if t-v-evaluationDisyuncionG ID = Ffalse then Ffalse else t-v-evaluationConjucionG
I Ds)

```

```

definition equivalentesG :: ('b formula list) list ⇒ ('b formula list) list ⇒ bool
where
equivalentesG C1 C2 ≡ (forall I. ((t-v-evaluationConjucionG I C1) = (t-v-evaluationConjucionG
I C2)))

```

**lemma** *EquiNoNo:*

```

assumes typeFormula F = NoNo
shows equivalentesG [[F]] [[Comp1 F]]

```

**lemma** *EquiAlfa:*

```

assumes typeFormula F = Alfa
shows equivalentesG [[F]] [[Comp1 F],[Comp2 F]]

```

**lemma** *EquiBeta:*

```

assumes typeFormula F = Beta
shows equivalentesG [[F]] [[Comp1 F, Comp2 F]]

```

**lemma** *EquivNoNoComp:*

```

assumes typeFormula F = NoNo
shows equivalent F (Comp1 F)

```

**lemma** *EquivAlfaComp:*

```

assumes typeFormula F = Alfa
shows equivalent F (Comp1 F ∧. Comp2 F)

```

**lemma** *EquivBetaComp:*

```

assumes typeFormula F = Beta
shows equivalent F (Comp1 F ∨. Comp2 F)

```

**definition** *consistenceP :: 'b formula set set ⇒ bool where*

```

consistenceP C =
  ( $\forall S. S \in \mathcal{C} \rightarrow (\forall P. \neg(\text{atom } P \in S \wedge \neg.\text{atom } P) \in S)) \wedge$ 
    $FF \notin S \wedge (\neg.TT) \notin S \wedge$ 
    $(\forall F. (\neg.\neg.F) \in S \rightarrow S \cup \{F\} \in \mathcal{C}) \wedge$ 
    $(\forall F. ((\text{FormulaAlfa } F) \wedge F \in S) \rightarrow (S \cup \{\text{Comp1 } F, \text{Comp2 } F\}) \in \mathcal{C}) \wedge$ 
    $(\forall F. ((\text{FormulaBeta } F) \wedge F \in S) \rightarrow (S \cup \{\text{Comp1 } F\} \in \mathcal{C}) \vee (S \cup \{\text{Comp2 } F\} \in \mathcal{C}))$ 

```

```

definition subset-closed :: 'a set set  $\Rightarrow$  bool where
subset-closed C = ( $\forall S \in \mathcal{C}. \forall S'. S' \subseteq S \rightarrow S' \in \mathcal{C}$ )

```

```

unbundle no trancL-syntax

```

```

definition closure-subset :: 'a set set  $\Rightarrow$  'a set set ( $\langle \cdot \rangle^+ [1000] 1000$ ) where
C+ = {S.  $\exists S' \in \mathcal{C}. S \subseteq S'$ }

```

```

lemma closed-subset: C  $\subseteq$  C+
proof -
{ fix S
  assume S  $\in$  C
  moreover
  have S  $\subseteq$  S by simp
  ultimately
  have S  $\in$  C+
    by (unfold closure-subset-def, auto) }
  thus ?thesis by auto
qed

```

```

lemma closed-closed: subset-closed (C+)
proof -
{ fix S T
  assume S  $\in$  C+ and T  $\subseteq$  S
  obtain S1 where S1  $\in$  C and S  $\subseteq$  S1 using ⟨S  $\in$  C+\subseteq S1 using ⟨T  $\subseteq$  S⟩ and ⟨S  $\subseteq$  S1⟩ by simp
  hence T  $\in$  C+ using ⟨S1  $\in$  C⟩
    by (unfold closure-subset-def, auto) }
  thus ?thesis by (unfold subset-closed-def, auto)
qed

```

```

lemma cond-consistP1:
  assumes consistenceP C and T  $\in$  C and S  $\subseteq$  T
  shows ( $\forall P. \neg(\text{atom } P \in S \wedge \neg.\text{atom } P) \in S$ )
lemma cond-consistP2:
  assumes consistenceP C and T  $\in$  C and S  $\subseteq$  T
  shows FF  $\notin$  S  $\wedge$  ( $\neg.TT$ )  $\notin$  S

```

```

lemma cond-consistP3:
  assumes consistenceP C and T ∈ C and S ⊆ T
  shows ∀ F. (¬.¬.F) ∈ S → S ∪ {F} ∈ C+
proof(rule allI)
lemma cond-consistP4:
  assumes consistenceP C and T ∈ C and S ⊆ T
  shows ∀ F. ((FormulaAlfa F) ∧ F ∈ S) → (S ∪ {Comp1 F, Comp2 F}) ∈ C+
lemma cond-consistP5:
  assumes consistenceP C and T ∈ C and S ⊆ T
  shows (∀ F. ((FormulaBeta F) ∧ F ∈ S) →
    (S ∪ {Comp1 F} ∈ C+) ∨ (S ∪ {Comp2 F} ∈ C+))
theorem closed-consistenceP:
  assumes hip1: consistenceP C
  shows consistenceP (C+)
proof –
  { fix S
    assume S ∈ C+
    hence ∃ T ∈ C. S ⊆ T by(simp add: closure-subset-def)
    then obtain T where hip2: T ∈ C and hip3: S ⊆ T by auto
    have (∀ P. ¬(atom P ∈ S ∧ (¬.atom P) ∈ S)) ∧
      FF ∉ S ∧ (¬.TT) ∉ S ∧
      (∀ F. (¬.¬.F) ∈ S → S ∪ {F} ∈ C+) ∧
      (∀ F. ((FormulaAlfa F) ∧ F ∈ S) →
        (S ∪ {Comp1 F, Comp2 F}) ∈ C+) ∧
      (∀ F. ((FormulaBeta F) ∧ F ∈ S) →
        (S ∪ {Comp1 F} ∈ C+) ∨ (S ∪ {Comp2 F} ∈ C+))
    using
      cond-consistP1[OF hip1 hip2 hip3] cond-consistP2[OF hip1 hip2 hip3]
      cond-consistP3[OF hip1 hip2 hip3] cond-consistP4[OF hip1 hip2 hip3]
      cond-consistP5[OF hip1 hip2 hip3]
    by blast}
  thus ?thesis by (simp add: consistenceP-def)
qed

```

## 2 Finiteness Character Property

This theory formalises the theorem that states that subset closed propositional consistency properties can be extended to satisfy the finite character property.

The proof is by induction on the structure of propositional formulas based on the analysis of cases for the possible different types of formula in the sets of the collection of sets that hold the propositional consistency property.

**definition** finite-character :: 'a set set ⇒ bool **where**

*finite-character*  $\mathcal{C} = (\forall S. S \in \mathcal{C} = (\forall S'. \text{finite } S' \rightarrow S' \subseteq S \rightarrow S' \in \mathcal{C}))$

**theorem** *finite-character-closed*:

**assumes** *finite-character*  $\mathcal{C}$

**shows** *subset-closed*  $\mathcal{C}$

**proof** –

{ fix  $S\ T$

assume  $S \in \mathcal{C}$  and  $T \subseteq S$

have  $T \in \mathcal{C}$  using *finite-character-def*

**proof** –

{ fix  $U$

assume *finite*  $U$  and  $U \subseteq T$

have  $U \in \mathcal{C}$

**proof** –

have  $U \subseteq S$  using  $\langle U \subseteq T \rangle$  and  $\langle T \subseteq S \rangle$  by *simp*

thus  $U \in \mathcal{C}$  using  $\langle S \in \mathcal{C} \rangle$  and  $\langle \text{finite } U \rangle$  and *assms*

by (*unfold finite-character-def*) *blast*

qed}

thus ?*thesis* using *assms* by(*unfold finite-character-def*) *blast*

qed }

thus ?*thesis* by(*unfold subset-closed-def*) *blast*

qed

**definition** *closure-cfinite* :: '*a set set*  $\Rightarrow$  '*a set set* ( $\hookrightarrow [1000] 999$ ) **where**  
 $\mathcal{C}^- = \{S. \forall S'. S' \subseteq S \rightarrow \text{finite } S' \rightarrow S' \in \mathcal{C}\}$

**lemma** *finite-character-subset*:

**assumes** *subset-closed*  $\mathcal{C}$

**shows**  $\mathcal{C} \subseteq \mathcal{C}^-$

**proof** –

{ fix  $S$

assume  $S \in \mathcal{C}$

have  $S \in \mathcal{C}^-$

**proof** –

{ fix  $S'$

assume  $S' \subseteq S$  and *finite*  $S'$

hence  $S' \in \mathcal{C}$  using  $\langle \text{subset-closed } \mathcal{C} \rangle$  and  $\langle S \in \mathcal{C} \rangle$

by (*simp add: subset-closed-def*)}

thus ?*thesis* by (*simp add: closure-cfinite-def*)

qed}

thus ?*thesis* by *auto*

qed

```

lemma finite-character: finite-character ( $\mathcal{C}^-$ )
proof (unfold finite-character-def)
  show  $\forall S. (S \in \mathcal{C}^-) = (\forall S'. \text{finite } S' \longrightarrow S' \subseteq S \longrightarrow S' \in \mathcal{C}^-)$ 
  proof
    fix  $S$ 
    { assume  $S \in \mathcal{C}^-$ 
      hence  $\forall S'. \text{finite } S' \longrightarrow S' \subseteq S \longrightarrow S' \in \mathcal{C}^-$ 
      by(simp add: closure-cfinite-def)}
    moreover
    { assume  $\forall S'. \text{finite } S' \longrightarrow S' \subseteq S \longrightarrow S' \in \mathcal{C}^-$ 
      hence  $S \in \mathcal{C}^-$  by(simp add: closure-cfinite-def)}
    ultimately
    show  $(S \in \mathcal{C}^-) = (\forall S'. \text{finite } S' \longrightarrow S' \subseteq S \longrightarrow S' \in \mathcal{C}^-)$ 
      by blast
  qed
qed

```

```

lemma cond-characterP1:
  assumes consistenceP  $\mathcal{C}$ 
  and subset-closed  $\mathcal{C}$ 
  and hip:  $\forall S' \subseteq S. \text{finite } S' \longrightarrow S' \in \mathcal{C}$ 
  shows  $(\forall P. \neg(\text{atom } P \in S \wedge (\neg.\text{atom } P) \in S))$ 
lemma cond-characterP2:
  assumes consistenceP  $\mathcal{C}$ 
  and subset-closed  $\mathcal{C}$ 
  and hip:  $\forall S' \subseteq S. \text{finite } S' \longrightarrow S' \in \mathcal{C}$ 
  shows  $FF \notin S \wedge (\neg.TT) \notin S$ 
lemma cond-characterP3:
  assumes consistenceP  $\mathcal{C}$ 
  and subset-closed  $\mathcal{C}$ 
  and hip:  $\forall S' \subseteq S. \text{finite } S' \longrightarrow S' \in \mathcal{C}$ 
  shows  $\forall F. (\neg.\neg.F) \in S \longrightarrow S \cup \{F\} \in \mathcal{C}^-$ 
lemma cond-characterP4:
  assumes consistenceP  $\mathcal{C}$ 
  and subset-closed  $\mathcal{C}$ 
  and hip:  $\forall S' \subseteq S. \text{finite } S' \longrightarrow S' \in \mathcal{C}$ 
  shows  $(\forall F. ((\text{FormulaAlfa } F) \wedge F \in S) \longrightarrow (S \cup \{\text{Comp1 } F, \text{Comp2 } F\}) \in \mathcal{C}^-)$ 
lemma cond-characterP5:
  assumes consistenceP  $\mathcal{C}$ 
  and subset-closed  $\mathcal{C}$ 
  and hip:  $\forall S' \subseteq S. \text{finite } S' \longrightarrow S' \in \mathcal{C}$ 
  shows  $\forall F. \text{FormulaBeta } F \wedge F \in S \longrightarrow S \cup \{\text{Comp1 } F\} \in \mathcal{C}^- \vee S \cup \{\text{Comp2 } F\} \in \mathcal{C}^-$ 

```

**theorem** cfinite-consistenceP:

```

assumes hip1: consistenceP C and hip2: subset-closed C
shows consistenceP (C-)
proof -
{ fix S
  assume S ∈ C-
  hence hip3: ∀ S' ⊆ S. finite S' → S' ∈ C
    by (simp add: closure-cfinite-def)
  have (∀ P. ¬(atom P ∈ S ∧ (¬.atom P) ∈ S)) ∧
    FF ∉ S ∧ (¬.TT) ∉ S ∧
    (∀ F. (¬.¬.F) ∈ S → S ∪ {F} ∈ C-) ∧
    (∀ F. ((FormulaAlfa F) ∧ F ∈ S) → (S ∪ {Comp1 F, Comp2 F}) ∈ C-)
  ∧
    (∀ F. ((FormulaBeta F) ∧ F ∈ S) →
      (S ∪ {Comp1 F} ∈ C-) ∨ (S ∪ {Comp2 F} ∈ C-))
  using
    cond-characterP1[OF hip1 hip2 hip3] cond-characterP2[OF hip1 hip2 hip3]
    cond-characterP3[OF hip1 hip2 hip3] cond-characterP4[OF hip1 hip2 hip3]
    cond-characterP5[OF hip1 hip2 hip3] by auto }
  thus ?thesis by (simp add: consistenceP-def)
qed

```

```

definition maximal :: 'a set ⇒ 'a set set ⇒ bool where
maximal S C = (∀ S' ∈ C. S ⊆ S' → S = S')

```

```

primrec sucP :: 'b formula set ⇒ 'b formula set set ⇒ (nat ⇒ 'b formula) ⇒ nat
⇒ 'b formula set
where
  sucP S C f 0 = S
  | sucP S C f (Suc n) =
    (if sucP S C f n ∪ {f n} ∈ C
     then sucP S C f n ∪ {f n}
     else sucP S C f n)

```

```

definition MsucP :: 'b formula set ⇒ 'b formula set set ⇒ (nat ⇒ 'b formula) ⇒
'b formula set
where
MsucP S C f = (⋃ n. sucP S C f n)

```

**theorem** Max-subsetuntoP: S ⊆ MsucP S C f

```
definition chain :: (nat  $\Rightarrow$  'a set)  $\Rightarrow$  bool where
  chain S = ( $\forall$  n. S n  $\subseteq$  S (Suc n))
```

```
theorem chain-union-closed:
  assumes hip1: finite-character C
  and hip2: chain S
  and hip3:  $\forall$  n. S n  $\in$  C
  shows ( $\bigcup$  n. S n)  $\in$  C
```

```
lemma chain-suc: chain (sucP S C f)
by (simp add: chain-def) blast
```

```
theorem MaxP-in-C:
  assumes hip1: finite-character C and hip2: S  $\in$  C
  shows MsucP S C f  $\in$  C
  proof (unfold MsucP-def)
    have chain (sucP S C f) by (rule chain-suc)
    moreover
    have  $\forall$  n. sucP S C f n  $\in$  C
    proof (rule allI)
      fix n
      show sucP S C f n  $\in$  C using hip2
        by (induct n)(auto simp add: sucP-def)
    qed
    ultimately
    show ( $\bigcup$  n. sucP S C f n)  $\in$  C by (rule chain-union-closed[OF hip1])
  qed
```

```
definition enumeration :: (nat  $\Rightarrow$  'b)  $\Rightarrow$  bool where
  enumeration f = ( $\forall$  y.  $\exists$  n. y = (f n))
```

```
lemma enum-nat:  $\exists$  g. enumeration (g:: nat  $\Rightarrow$  nat)
proof -
  have  $\forall$  y.  $\exists$  n. y = ( $\lambda$ n. n) n by simp
  hence enumeration ( $\lambda$ n. n) by (unfold enumeration-def)
  thus ?thesis by auto
qed
```

```
theorem suc-maximalP:
  assumes hip1: enumeration f and hip2: subset-closed C
  shows maximal (MsucP S C f) C
```

```

proof -
  have  $\forall S' \in \mathcal{C}. (\bigcup x. sucP S \mathcal{C} f x) \subseteq S' \longrightarrow (\bigcup x. sucP S \mathcal{C} f x) = S'$ 
  proof (rule ballI impI)+
    fix  $S'$ 
    assume  $h1: S' \in \mathcal{C}$  and  $h2: (\bigcup x. sucP S \mathcal{C} f x) \subseteq S'$ 
    show  $(\bigcup x. sucP S \mathcal{C} f x) = S'$ 
    proof (rule ccontr)
      assume  $(\bigcup x. sucP S \mathcal{C} f x) \neq S'$ 
      hence  $\exists z. z \in S' \wedge z \notin (\bigcup x. sucP S \mathcal{C} f x)$  using  $h2$  by blast
      then obtain  $z$  where  $z: z \in S' \wedge z \notin (\bigcup x. sucP S \mathcal{C} f x)$  by (rule exE)
      have  $\exists n. z = f n$  using  $hip1 h1$  by (unfold enumeration-def) simp
      then obtain  $n$  where  $n: z = f n$  by (rule exE)
      have  $sucP S \mathcal{C} f n \cup \{f n\} \subseteq S'$ 
      proof -
        have  $f n \in S'$  using  $z n$  by simp
        moreover
        have  $sucP S \mathcal{C} f n \subseteq (\bigcup x. sucP S \mathcal{C} f x)$  by auto
        ultimately
        show ?thesis using  $h2$  by simp
      qed
      hence  $sucP S \mathcal{C} f n \cup \{f n\} \in \mathcal{C}$ 
      using  $h1 hip2$  by (unfold subset-closed-def) simp
      hence  $f n \in sucP S \mathcal{C} f (Suc n)$  by simp
      moreover
      have  $\forall x. f n \notin sucP S \mathcal{C} f x$  using  $z n$  by simp
      ultimately show False
        by blast
      qed
      qed
      thus ?thesis
        by (simp add: maximal-def MsucP-def)
      qed

```

```

corollary ConsistentExtensionP:
  assumes  $hip1: \text{finite-character } \mathcal{C}$ 
  and  $hip2: S \in \mathcal{C}$ 
  and  $hip3: \text{enumeration } f$ 
  shows  $S \subseteq MsucP S \mathcal{C} f$ 
  and  $MsucP S \mathcal{C} f \in \mathcal{C}$ 
  and  $\text{maximal } (MsucP S \mathcal{C} f) \mathcal{C}$ 
proof -
  show  $S \subseteq MsucP S \mathcal{C} f$  using Max-subsetuntoP by auto
next
  show  $MsucP S \mathcal{C} f \in \mathcal{C}$  using MaxP-in-C[OF hip1 hip2] by simp
next
  show  $\text{maximal } (MsucP S \mathcal{C} f) \mathcal{C}$ 
    using finite-character-closed[OF hip1] and  $hip3 \text{ suc-maximalP}$ 
    by auto
qed

```

### 3 Hintikka Theorem

The formalization of Hintikka's lemma is by induction on the structure of the formulas in a Hintikka set  $H$  by applying the technical theorem `hintikkaP_model_aux`. This theorem applies a series of lemmas to address the evaluation of all possible cases of formulas in  $H$ . Indeed, considering the Boolean evaluation  $IH$  that maps all propositional letters in  $H$  to true and all other letters to false, the most interesting cases of the inductive proof are those related to implicational formulas in  $H$  and the negation of arbitrary formulas in  $H$ . These cases are not straightforward since implicational and negation formulas are not considered in the definition of Hintikka sets. For an implicational formula, say  $F_1 \rightarrow F_2$ , it is necessary to prove that if it belongs to  $H$ , its evaluation by  $IH$  is true. Also, whenever  $\neg(F_1 \rightarrow F_2)$  belongs to  $H$  its evaluation is false. The proof is obtained by relating such formulas, respectively, with  $\beta$  and  $\alpha$  formulas (case P6). The second interesting case is the one related to arbitrary negations. In this case, it is proved that if  $\neg F$  belongs to  $H$ , its evaluation by  $IH$  is true, and in the case that  $\neg\neg F$  belongs to  $H$ , its evaluation by  $IH$  is also true (Case P7).

```
definition hintikkaP :: 'b formula set ⇒ bool where
  hintikkaP H = ((∀ P. ¬(atom P ∈ H ∧ (¬.atom P) ∈ H)) ∧
    FF ∉ H ∧ (¬.TT) ∉ H ∧
    (∀ F. (¬.¬.F) ∈ H → F ∈ H) ∧
    (∀ F. ((FormulaAlfa F) ∧ F ∈ H) →
      ((Comp1 F) ∈ H ∧ (Comp2 F) ∈ H)) ∧
    (∀ F. ((FormulaBeta F) ∧ F ∈ H) →
      ((Comp1 F) ∈ H ∨ (Comp2 F) ∈ H)))
```

  

```
fun IH :: 'b formula set ⇒ 'b ⇒ v-truth where
  IH H P = (if atom P ∈ H then Ttrue else Ffalse)
```

```
lemma case-P1:
assumes hip1: hintikkaP H and
  hip2: ∀ G. (G, FF) ∈ measure f-size →
    (G ∈ H → t-v-evaluation (IH H) G = Ttrue) ∧ ((¬.G) ∈ H → t-v-evaluation
    (IH H) (¬.G) = Ttrue)
shows (FF ∈ H → t-v-evaluation (IH H) FF = Ttrue) ∧ ((¬.FF) ∈ H →
  t-v-evaluation (IH H) (¬.FF) = Ttrue)
```

**lemma** *case-P2*:

**assumes** *hip1*: *hintikkaP H* **and**

*hip2*:  $\forall G. (G, TT) \in \text{measure f-size} \rightarrow (G \in H \rightarrow t\text{-v-evaluation } (IH H) G = Ttrue) \wedge ((\neg.G) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.G) = Ttrue)$

**shows**

$(TT \in H \rightarrow t\text{-v-evaluation } (IH H) TT = Ttrue) \wedge ((\neg.TT) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.TT) = Ttrue)$

**lemma** *case-P3*:

**assumes** *hip1*: *hintikkaP H* **and**

*hip2*:  $\forall G. (G, atom P) \in \text{measure f-size} \rightarrow (G \in H \rightarrow t\text{-v-evaluation } (IH H) G = Ttrue) \wedge ((\neg.G) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.G) = Ttrue)$

**shows**  $(atom P \in H \rightarrow t\text{-v-evaluation } (IH H) (atom P) = Ttrue) \wedge ((\neg.atom P) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.atom P) = Ttrue)$

**lemma** *case-P4*:

**assumes** *hip1*: *hintikkaP H* **and**

*hip2*:  $\forall G. (G, F1 \wedge. F2) \in \text{measure f-size} \rightarrow (G \in H \rightarrow t\text{-v-evaluation } (IH H) G = Ttrue) \wedge ((\neg.G) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.G) = Ttrue)$

**shows**  $((F1 \wedge. F2) \in H \rightarrow t\text{-v-evaluation } (IH H) (F1 \wedge. F2) = Ttrue) \wedge ((\neg.(F1 \wedge. F2)) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.(F1 \wedge. F2)) = Ttrue)$

**lemma** *case-P5*:

**assumes** *hip1*: *hintikkaP H* **and**

*hip2*:  $\forall G. (G, F1 \vee. F2) \in \text{measure f-size} \rightarrow (G \in H \rightarrow t\text{-v-evaluation } (IH H) G = Ttrue) \wedge ((\neg.G) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.G) = Ttrue)$

**shows**  $((F1 \vee. F2) \in H \rightarrow t\text{-v-evaluation } (IH H) (F1 \vee. F2) = Ttrue) \wedge ((\neg.(F1 \vee. F2)) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.(F1 \vee. F2)) = Ttrue)$

**lemma** *case-P6*:

**assumes** *hip1*: *hintikkaP H* **and**

*hip2*:  $\forall G. (G, F1 \rightarrow. F2) \in \text{measure f-size} \rightarrow (G \in H \rightarrow t\text{-v-evaluation } (IH H) G = Ttrue) \wedge ((\neg.G) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.G) = Ttrue)$

**shows**  $((F1 \rightarrow. F2) \in H \rightarrow t\text{-v-evaluation } (IH H) (F1 \rightarrow. F2) = Ttrue) \wedge ((\neg.(F1 \rightarrow. F2)) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.(F1 \rightarrow. F2)) = Ttrue)$

**lemma** *case-P7*:

**assumes** *hip1*: *hintikkaP H* **and**

*hip2*:  $\forall G. (G, (\neg.form)) \in \text{measure f-size} \rightarrow (G \in H \rightarrow t\text{-v-evaluation } (IH H) G = Ttrue) \wedge ((\neg.G) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.G) = Ttrue)$

**shows**  $((\neg.form) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.form) = Ttrue) \wedge ((\neg.(\neg.form)) \in H \rightarrow t\text{-v-evaluation } (IH H) (\neg.(\neg.form)) = Ttrue)$

**theorem** *hintikkaP-model-aux*:

**assumes** *hip*: *hintikkaP H*

```

shows ( $F \in H \rightarrow t\text{-}v\text{-evaluation } (IH H) F = Ttrue$ )  $\wedge$ 
      ( $(\neg.F) \in H \rightarrow t\text{-}v\text{-evaluation } (IH H) (\neg.F) = Ttrue$ )
proof (rule wf-induct [where r=measure f-size and a=F])
  show wf(measure f-size) by simp
next
  fix F
  assume hip1:  $\forall G. (G, F) \in measure f\text{-size} \rightarrow$ 
    ( $G \in H \rightarrow t\text{-}v\text{-evaluation } (IH H) G = Ttrue$ )  $\wedge$ 
    ( $(\neg.G) \in H \rightarrow t\text{-}v\text{-evaluation } (IH H) (\neg.G) = Ttrue$ )
  show ( $F \in H \rightarrow t\text{-}v\text{-evaluation } (IH H) F = Ttrue$ )  $\wedge$ 
    ( $(\neg.F) \in H \rightarrow t\text{-}v\text{-evaluation } (IH H) (\neg.F) = Ttrue$ )
  proof (cases F)
    assume F=FF
    thus ?thesis using case-P1 hip hip1 by simp
  next
    assume F=TT
    thus ?thesis using case-P2 hip hip1 by auto
  next
    fix P
    assume F = atom P
    thus ?thesis using hip hip1 case-P3[of H P] by simp
  next
    fix F1 F2
    assume F = (F1  $\wedge.$  F2)
    thus ?thesis using hip hip1 case-P4[of H F1 F2] by simp
  next
    fix F1 F2
    assume F = (F1  $\vee.$  F2)
    thus ?thesis using hip hip1 case-P5[of H F1 F2] by simp
  next
    fix F1 F2
    assume F = (F1  $\rightarrow.$  F2)
    thus ?thesis using hip hip1 case-P6[of H F1 F2] by simp
  next
    fix F1
    assume F = ( $\neg.F1$ )
    thus ?thesis using hip hip1 case-P7[of H F1] by simp
  qed
qed

```

**corollary** *ModeloHintikkaPa*:  
**assumes** *hintikkaP H* **and**  $F \in H$   
**shows**  $t\text{-}v\text{-evaluation } (IH H) F = Ttrue$   
**using** *assms hintikkaP-model-aux* **by** *auto*

**corollary** *ModeloHintikkaP*:  
**assumes** *hintikkaP H*

```

shows (IH H) model H
proof (unfold model-def)
  show  $\forall F \in H. t\text{-}v\text{-evaluation } (\text{IH } H) F = T\text{true}$ 
  proof (rule ballI)
    fix F
    assume F  $\in H$ 
    thus t-v-evaluation (IH H) F = Ttrue using assms ModeloHintikkaPa by
    auto
  qed
qed

```

**corollary** *Hintikkasatisfiable*:

```

assumes hintikkaP H
shows satisfiable H
using assms ModeloHintikkaP
by (unfold satisfiable-def, auto)

```

## 4 Maximal Hintikka

This theory formalises maximality of Hintikka sets according to Smullyan's textbook [3]. Specifically, following [1] (page 55) this theory formalises the fact that if  $\mathcal{C}$  is a propositional consistency property closed by subsets, and  $M$  a maximal set belonging to  $\mathcal{C}$  then  $M$  is a Hintikka set.

```

lemma ext-hintikkaP1:
assumes hip1: consistenceP C and hip2: M ∈ C
shows  $\forall p. \neg(\text{atom } p \in M \wedge \neg.\text{atom } p) \in M$ 

lemma ext-hintikkaP2:
assumes hip1: consistenceP C and hip2: M ∈ C
shows  $FF \notin M$ 

lemma ext-hintikkaP3:
assumes hip1: consistenceP C and hip2: M ∈ C
shows  $(\neg.TT) \notin M$ 

lemma ext-hintikkaP4:
assumes hip1: consistenceP C and hip2: maximal M C and hip3: M ∈ C
shows  $\forall F. (\neg.\neg.F) \in M \longrightarrow F \in M$ 

lemma ext-hintikkaP5:
assumes hip1: consistenceP C and hip2: maximal M C and hip3: M ∈ C
shows  $\forall F. (\text{FormulaAlfa } F) \wedge F \in M \longrightarrow (\text{Comp1 } F \in M \wedge \text{Comp2 } F \in M)$ 

lemma ext-hintikkaP6:

```

```

assumes hip1: consistenceP C and hip2: maximal M C and hip3: M ∈ C
shows  $\forall F. (FormulaBeta F) \wedge F \in M \longrightarrow Comp1 F \in M \vee Comp2 F \in M$ 

```

**theorem** MaximalHintikkaP:

```

assumes hip1: consistenceP C and hip2: maximal M C and hip3: M ∈ C
shows hintikkaP M

```

**proof** (*unfold hintikkaP-def*)

```

show  $(\forall P. \neg (\text{atom } P \in M \wedge \neg \text{atom } P \in M)) \wedge$ 

```

$FF \notin M \wedge$

$\neg.TT \notin M \wedge$

$(\forall F. \neg.\neg.F \in M \longrightarrow F \in M) \wedge$

$(\forall F. FormulaAlfa F \wedge F \in M \longrightarrow Comp1 F \in M \wedge Comp2 F \in M) \wedge$

$(\forall F. FormulaBeta F \wedge F \in M \longrightarrow Comp1 F \in M \vee Comp2 F \in M)$

**using** ext-hintikkaP1[*OF hip1 hip3*]

*ext-hintikkaP2[OF hip1 hip3]*

*ext-hintikkaP3[OF hip1 hip3]*

*ext-hintikkaP4[OF hip1 hip2 hip3]*

*ext-hintikkaP5[OF hip1 hip2 hip3]*

*ext-hintikkaP6[OF hip1 hip2 hip3]*

**by** blast

**qed**

**lemma** enumeration: *enumeration f = (* $\exists g. \forall y. f(g y) = y$ *)*

**by** (*metis enumeration-def*)

**datatype** tree-b = Leaf nat | Tree tree-b tree-b

**primrec** diag :: nat  $\Rightarrow (nat \times nat)$  **where**

$diag 0 = (0, 0)$

$| diag (Suc n) =$

$(\text{let } (x, y) = diag n$

$\text{in case } y \text{ of}$

$0 \Rightarrow (0, Suc x)$

$| Suc y \Rightarrow (Suc x, y))$

**function** undiag :: nat  $\times$  nat  $\Rightarrow$  nat **where**

$undiag (0, 0) = 0$

$| undiag (0, Suc y) = Suc (undiag (y, 0))$

$| undiag (Suc x, y) = Suc (undiag (x, Suc y))$

**by** pat-completeness auto

**termination**

**by** (*relation measure* ( $\lambda(x, y). ((x + y) * (x + y + 1)) \text{ div } 2 + x$ )) auto

```

lemma diag-unddiag [simp]: diag (unddiag (x, y)) = (x, y)
by (rule unddiag.induct) (simp add: Let-def)+

lemma enumeration-natxnat: enumeration (diag::nat ⇒ (nat × nat))
proof –
  have ∀ x y. diag (unddiag (x, y)) = (x, y) using diag-unddiag by auto
  hence ∃ unddiag. ∀ x y. diag (unddiag (x, y)) = (x, y) by blast
  thus ?thesis using enumeration[of diag] by auto
qed

function diag-tree-b :: nat ⇒ tree-b where
  diag-tree-b n = (case fst (diag n) of
    0 ⇒ Leaf (snd (diag n))
    | Suc z ⇒ Tree (diag-tree-b z) (diag-tree-b (snd (diag n))))
  by auto

primrec undiag-tree-b :: tree-b ⇒ nat where
  undiag-tree-b (Leaf n) = undiag (0, n)
  | undiag-tree-b (Tree t1 t2) =
    undiag (Suc (undiag-tree-b t1), undiag-tree-b t2)

lemma diag-undiag-tree-b [simp]: diag-tree-b (undiag-tree-b t) = t
by (induct t) (simp-all add: Let-def)

lemma enumeration-tree-b: enumeration (diag-tree-b :: nat ⇒ tree-b)
proof –
  have ∀ x. diag-tree-b (undiag-tree-b x) = x
  using diag-undiag-tree-b by blast
  hence ∃ undiag-tree-b. ∀ x . diag-tree-b (undiag-tree-b x) = x by blast
  thus ?thesis using enumeration[of diag-tree-b] by auto
qed

fun formulaP-from-tree-b :: (nat ⇒ 'b) ⇒ tree-b ⇒ 'b formula where
  formulaP-from-tree-b g (Leaf 0) = FF
  | formulaP-from-tree-b g (Leaf (Suc 0)) = TT
  | formulaP-from-tree-b g (Leaf (Suc (Suc n))) = (atom (g n))
  | formulaP-from-tree-b g (Tree (Leaf (Suc 0)) (Tree T1 T2)) =
    ((formulaP-from-tree-b g T1) ∧. (formulaP-from-tree-b g T2))
  | formulaP-from-tree-b g (Tree (Leaf (Suc (Suc 0))) (Tree T1 T2)) =

```

```

((formulaP-from-tree-b g T1) ∨. (formulaP-from-tree-b g T2))
| formulaP-from-tree-b g (Tree (Leaf (Suc (Suc 0)))) (Tree T1 T2)) =
  ((formulaP-from-tree-b g T1) →. (formulaP-from-tree-b g T2))
| formulaP-from-tree-b g (Tree (Leaf (Suc (Suc (Suc 0))))) T) =
  (¬. (formulaP-from-tree-b g T))

```

```

primrec tree-b-from-formulaP :: ('b ⇒ nat) ⇒ 'b formula ⇒ tree-b where
  tree-b-from-formulaP g FF = Leaf 0
  | tree-b-from-formulaP g TT = Leaf (Suc 0)
  | tree-b-from-formulaP g (atom P) = Leaf (Suc (Suc (g P)))
  | tree-b-from-formulaP g (F ∧. G) = Tree (Leaf (Suc 0))
    (Tree (tree-b-from-formulaP g F) (tree-b-from-formulaP g G))
  | tree-b-from-formulaP g (F ∨. G) = Tree (Leaf (Suc 0))
    (Tree (tree-b-from-formulaP g F) (tree-b-from-formulaP g G))
  | tree-b-from-formulaP g (F →. G) = Tree (Leaf (Suc (Suc 0)))
    (Tree (tree-b-from-formulaP g F) (tree-b-from-formulaP g G))
  | tree-b-from-formulaP g (¬. F) = Tree (Leaf (Suc (Suc (Suc 0))))
    (tree-b-from-formulaP g F)

```

```

definition ΔP :: (nat ⇒ 'b) ⇒ nat ⇒ 'b formula where
  ΔP g n = formulaP-from-tree-b g (diag-tree-b n)

```

```

definition ΔP' :: ('b ⇒ nat) ⇒ 'b formula ⇒ nat where
  ΔP' g' F = undiag-tree-b (tree-b-from-formulaP g' F)

```

```

theorem enumerationformulasP[simp]:
  assumes ∀ x. g(g' x) = x
  shows ΔP g (ΔP' g' F) = F
  using assms
  by (induct F)(simp-all add: ΔP-def ΔP'-def)

```

```

corollary EnumerationFormulasP:
  assumes ∀ P. ∃ n. P = g n
  shows ∀ F. ∃ n. F = ΔP g n
  proof (rule allI)
    fix F
    { have ∀ P. P = g (SOME n. P = (g n))
      proof(rule allI)
        fix P
        obtain n where n: P=g(n) using assms by auto
        thus P = g (SOME n. P = (g n)) by (rule someI)
      qed }
    hence ∀ P. g((λP. SOME n. P = (g n)) P) = P by simp
    hence F = ΔP g (ΔP' (λP. SOME n. P = (g n)) F)
      using enumerationformulasP by simp
    thus ∃ n. F = ΔP g n

```

```

  by blast
qed
```

```

corollary EnumerationFormulasP1:
  assumes enumeration (g:: nat  $\Rightarrow$  'b)
  shows enumeration (( $\Delta P$  g):: nat  $\Rightarrow$  'b formula)
  proof -
    have  $\forall P. \exists n. P = g n$  using assms by(unfold enumeration-def)
    hence  $\forall F. \exists n. F = \Delta P g n$  using EnumerationFormulasP by auto
    thus ?thesis by(unfold enumeration-def)
  qed

corollary EnumeracionFormulasNat:
  shows  $\exists f.$  enumeration (f:: nat  $\Rightarrow$  nat formula)
  proof -
    obtain g where g: enumeration (g:: nat  $\Rightarrow$  nat) using enum-nat by auto
    thus  $\exists f.$  enumeration (f:: nat  $\Rightarrow$  nat formula)
      using enum-nat EnumerationFormulasP1 by auto
  qed
```

## 5 Model Existence Theorem

This theory formalises the Model Existence Theorem according to Smullyan's textbook [3] as presented by Fitting in [1].

```

theorem ExtensionCharacterFinitoP:
  shows  $\mathcal{C} \subseteq \mathcal{C}^{+-}$ 
  and finite-character ( $\mathcal{C}^{+-}$ )
  and consistenceP  $\mathcal{C} \longrightarrow$  consistenceP ( $\mathcal{C}^{+-}$ )
  proof -
    show  $\mathcal{C} \subseteq \mathcal{C}^{+-}$ 
    proof -
      have  $\mathcal{C} \subseteq \mathcal{C}^+$  using closed-subset by auto
      also
      have ...  $\subseteq \mathcal{C}^{+-}$ 
      proof -
        have subset-closed ( $\mathcal{C}^+$ ) using closed-closed by auto
        thus ?thesis using finite-character-subset by auto
      qed
      finally show ?thesis by simp
    qed
  next
    show finite-character ( $\mathcal{C}^{+-}$ ) using finite-character by auto
  next
    show consistenceP  $\mathcal{C} \longrightarrow$  consistenceP ( $\mathcal{C}^{+-}$ )
```

```

proof(rule impI)
  assume consistenceP C
  hence consistenceP (C+) using closed-consistenceP by auto
  moreover
  have subset-closed (C+) using closed-closed by auto
  ultimately
  show consistenceP (C+-) using cfinite-consistenceP
    by auto
  qed
qed

```

```

lemma ExtensionConsistenteP1:
  assumes h: enumeration g
  and h1: consistenceP C
  and h2: S ∈ C
  shows S ⊆ MsucP S (C+-) g
  and maximal (MsucP S (C+-) g) (C+-)
  and MsucP S (C+-) g ∈ C+-

proof –
  have consistenceP (C+-)
    using h1 and ExtensionCharacterFinitoP by auto
  moreover
  have finite-character (C+-) using ExtensionCharacterFinitoP by auto
  moreover
  have S ∈ C+-
    using h2 and ExtensionCharacterFinitoP by auto
  ultimately
  show S ⊆ MsucP S (C+-) g
    and maximal (MsucP S (C+-) g) (C+-)
    and MsucP S (C+-) g ∈ C+-
    using h ConsistentExtensionP[of C+-] by auto
  qed

```

```

theorem HintikkaP:
  assumes h0:enumeration g and h1: consistenceP C and h2: S ∈ C
  shows hintikkaP (MsucP S (C+-) g)

proof –
  have 1: consistenceP (C+-)
    using h1 ExtensionCharacterFinitoP by auto
  have 2: subset-closed (C+-)
  proof –
    have finite-character (C+-)
      using ExtensionCharacterFinitoP by auto
      thus subset-closed (C+-) by (rule finite-character-closed)
    qed
    have 3: maximal (MsucP S (C+-) g) (C+-)

```

```

and 4:  $\text{MsucP } S (\mathcal{C}^{+-}) g \in \mathcal{C}^{+-}$ 
using  $\text{ExtensionConsistenteP1}[OF h0 h1 h2]$  by auto
show ?thesis
  using 1 and 2 and 3 and 4 and  $\text{MaximalHintikkaP}[\text{of } \mathcal{C}^{+-}]$  by simp
qed

```

```

theorem ExistenceModelP:
  assumes h0: enumeration g
  and h1: consistenceP C
  and h2:  $S \in \mathcal{C}$ 
  and h3:  $F \in S$ 
  shows t-v-evaluation (IH ( $\text{MsucP } S (\mathcal{C}^{+-}) g$ ))  $F = \text{Ttrue}$ 
proof (rule ModelloHintikkaPa)
  show hintikkaP ( $\text{MsucP } S (\mathcal{C}^{+-}) g$ )
    using h0 and h1 and h2 by(rule HintikkaP)
next
  show  $F \in \text{MsucP } S (\mathcal{C}^{+-}) g$ 
    using h3 Max-subsetuntoP by auto
qed

```

```

theorem Theo-ExistenceModels:
  assumes h1:  $\exists g. \text{enumeration } (g :: \text{nat} \Rightarrow \text{'b formula})$ 
  and h2: consistenceP C
  and h3:  $(S :: \text{'b formula set}) \in \mathcal{C}$ 
  shows satisfiable S
proof -
  obtain g where g: enumeration ( $g :: \text{nat} \Rightarrow \text{'b formula}$ )
    using h1 by auto
  { fix F
    assume hip:  $F \in S$ 
    have t-v-evaluation (IH ( $\text{MsucP } S (\mathcal{C}^{+-}) g$ ))  $F = \text{Ttrue}$ 
      using g h2 h3 ExistenceModelP hip by blast
    hence  $\forall F \in S. \text{t-v-evaluation } (\text{IH } (\text{MsucP } S (\mathcal{C}^{+-}) g)) F = \text{Ttrue}$ 
      by (rule ballI)
    hence  $\exists I. \forall F \in S. \text{t-v-evaluation } I F = \text{Ttrue}$  by auto
    thus satisfiable S by(unfold satisfiable-def, unfold model-def)
  qed

```

```

corollary Satisfiable-SetP1:
  assumes h0:  $\exists g. \text{enumeration } (g :: \text{nat} \Rightarrow \text{'b})$ 
  and h1: consistenceP C
  and h2:  $(S :: \text{'b formula set}) \in \mathcal{C}$ 
  shows satisfiable S
proof -
  obtain g where g: enumeration ( $g :: \text{nat} \Rightarrow \text{'b} )$ 

```

```

using h0 by auto
have enumeration (( $\Delta P$  g):: nat  $\Rightarrow$  'b formula) using g EnumerationFormulasP1
by auto
hence h'0:  $\exists$  g. enumeration (g:: nat  $\Rightarrow$  'b formula) by auto
show ?thesis using Theo-ExistenceModels[OF h'0 h1 h2] by auto
qed

```

```

corollary Satisfiable-SetP2:
assumes consistenceP C and (S:: nat formula set)  $\in$  C
shows satisfiable S
using enum-nat assms Satisfiable-SetP1 by auto

```

```
theory PropCompactness
```

```

imports Main
HOL-Library.Countable-Set
ModelExistence

```

```
begin
```

## 6 Compactness Theorem for Propositional Logic

This theory formalises the compactness theorem based on the existence model theorem. The formalisation, initially published as [2] in Spanish, was adapted to extend several combinatorial theorems over finite structures to the infinite case (e.g., see Serrano, Ayala-Rincón, and de Lima formalizations of Hall’s Theorem for infinite families of sets and infinite graphs [4, 5].)

The formalization shows first Hintikka’s Lemma: Hintikka sets of propositional formulas are satisfiable. Such a set is defined as a set of propositional formulas that does neither include both  $A$  and  $\neg A$  for a propositional letter nor  $\perp$ , or  $\neg\neg\top$ . Additionally, if it includes  $\neg\neg F$ ,  $F$  is included; if it includes a conjunctive formula, which is an  $\alpha$  formula, then the two components of the conjunction are included; and finally, if it includes a disjunction, which is a  $\beta$  formula, at least one of the components of the disjunction is included.

The satisfiability of any Hintikka set is proved by assuming a valuation that maps all propositional letters in the set to true and all other propositional letters to false. The second step consists in proving that families of sets of propositional formulas, which hold the so-called “propositional consistency property,” consist of satisfiable sets. The last is indeed the model existence theorem. The model existence theorem compiles the essence of completeness: a family of sets of propositional formulas that holds the propositional consistency property can be extended, preserving this property to a set col-

lection that is closed for subsets and satisfies the finite character property. The finite character property states that a set belongs to the family if and only if each of its finite subsets belongs to the family. With the model existence theorem in hands, the compactness theorem is obtained easily: given a set of propositional formulas  $S$  such that all its finite subsets are satisfiable, one considers the family  $\mathcal{C}$  of subsets in  $S$  such that all their finite subsets are satisfiable.  $S$  belongs to the family  $\mathcal{C}$  and the latter holds the propositional consistency property.

The auxiliary lemma of Consistency Compactness is required to apply the Model Existence Theorem to obtain the compactness theorem. This lemma states the general fact that the collection  $\mathcal{C}$  of all sets of propositional formulas such that all their subsets are satisfiable is a propositional consistency property.

```
lemma UnsatisfiableAtom:
  shows  $\neg(\text{satisfiable } \{F, \neg.F\})$ 
proof (rule notI)
  assume hip:  $\text{satisfiable } \{F, \neg.F\}$ 
  show False
  proof -
    have  $\exists I. I \text{ model } \{F, \neg.F\} \text{ using } \text{hip by } (\text{unfold satisfiable-def, auto})$ 
    then obtain I where  $I: (\text{t-v-evaluation } I F) = T\text{true}$ 
      and  $(\text{t-v-evaluation } I (\neg.F)) = T\text{true}$ 
      by (unfold model-def, auto)
      thus False by (auto simp add: v-negation-def)
  qed
qed
```

```
lemma consistenceP-Prop1:
  assumes  $\forall (A::'b \text{ formula set}). (A \subseteq W \wedge \text{finite } A) \longrightarrow \text{satisfiable } A$ 
  shows  $(\forall P. \neg(\text{Atom } P \in W \wedge (\neg.\text{Atom } P) \in W))$ 
proof (rule allI notI)+
  fix P
  assume h1:  $\text{Atom } P \in W \wedge (\neg.\text{Atom } P) \in W$ 
  show False
  proof -
    have  $\{\text{Atom } P, (\neg.\text{Atom } P)\} \subseteq W \text{ using } h1 \text{ by } \text{simp}$ 
    moreover
    have  $\text{finite } \{\text{Atom } P, (\neg.\text{Atom } P)\} \text{ by } \text{simp}$ 
    ultimately
    have  $\{\text{Atom } P, (\neg.\text{Atom } P)\} \subseteq W \wedge \text{finite } \{\text{Atom } P, (\neg.\text{Atom } P)\} \text{ by } \text{simp}$ 
    thus False using UnsatisfiableAtom assms
      by metis
  qed
qed
```

```
lemma UnsatisfiableFF:
```

```

shows  $\neg (\text{satisfiable } \{FF\})$ 
proof -
  have  $\forall I. t\text{-v-evaluation } I FF = Ffalse$  by simp
  hence  $\forall I. \neg (I \text{ model } \{FF\})$  by(unfold model-def, auto)
  thus ?thesis by(unfold satisfiable-def, auto)
qed

lemma consistenceP-Prop2:
  assumes  $\forall (A::'b \text{ formula set}). (A \subseteq W \wedge \text{finite } A) \longrightarrow \text{satisfiable } A$ 
  shows  $FF \notin W$ 
proof (rule notI)
  assume hip:  $FF \in W$ 
  show False
  proof -
    have  $\{FF\} \subseteq W$  using hip by simp
    moreover
    have  $\text{finite } \{FF\}$  by simp
    ultimately
    have  $\{FF\} \subseteq W \wedge \text{finite } \{FF\}$  by simp
    moreover
    have  $(\{FF::'b \text{ formula}\} \subseteq W \wedge \text{finite } \{FF\}) \longrightarrow \text{satisfiable } \{FF::'b \text{ formula}\}$ 
      using assms by auto
    ultimately show False using UnsatisfiableFF by auto
  qed
qed

lemma UnsatisfiableFFa:
  shows  $\neg (\text{satisfiable } \{\neg.TT\})$ 
proof -
  have  $\forall I. t\text{-v-evaluation } I TT = Ttrue$  by simp
  have  $\forall I. t\text{-v-evaluation } I (\neg.TT) = Ffalse$  by(auto simp add:v-negation-def)
  hence  $\forall I. \neg (I \text{ model } \{\neg.TT\})$  by(unfold model-def, auto)
  thus ?thesis by(unfold satisfiable-def, auto)
qed

lemma consistenceP-Prop3:
  assumes  $\forall (A::'b \text{ formula set}). (A \subseteq W \wedge \text{finite } A) \longrightarrow \text{satisfiable } A$ 
  shows  $\neg.TT \notin W$ 
proof (rule notI)
  assume hip:  $\neg.TT \in W$ 
  show False
  proof -
    have  $\{\neg.TT\} \subseteq W$  using hip by simp
    moreover
    have  $\text{finite } \{\neg.TT\}$  by simp
    ultimately
    have  $\{\neg.TT\} \subseteq W \wedge \text{finite } \{\neg.TT\}$  by simp
    moreover
    have  $(\{\neg.TT::'b \text{ formula}\} \subseteq W \wedge \text{finite } \{\neg.TT\}) \longrightarrow$ 

```

```

    satisfiable {¬.TT::'b formula}
    using assms by auto
  thus False using UnsatisfiableFFa
    using {¬.TT} ⊆ W by auto
qed
qed

lemma Subset-Sat:
assumes hip1: satisfiable S and hip2: S' ⊆ S
shows satisfiable S'
using assms satisfiable-subset by blast

lemma satisfiableUnion1:
assumes satisfiable (A ∪ {¬.¬.F})
shows satisfiable (A ∪ {F})
proof -
have ∃ I. ∀ G ∈ (A ∪ {¬.¬.F}). t-v-evaluation I G = Ttrue
  using assms by(unfold satisfiable-def, unfold model-def, auto)
then obtain I where I: ∀ G ∈ (A ∪ {¬.¬.F}). t-v-evaluation I G = Ttrue
  by auto
hence 1: ∀ G ∈ A. t-v-evaluation I G = Ttrue
and 2: t-v-evaluation I (¬.¬.F) = Ttrue
  by auto
have typeFormula (¬.¬.F) = NoNo by auto
hence t-v-evaluation I F = Ttrue using EquivNoNoComp[of ¬.¬.F] 2
  by (unfold equivalent-def, unfold Comp1-def, auto)
hence ∀ G ∈ A ∪ {F}. t-v-evaluation I G = Ttrue using 1 by auto
thus satisfiable (A ∪ {F})
  by(unfold satisfiable-def, unfold model-def, auto)
qed

lemma consistenceP-Prop4:
assumes hip1: ∀ (A::'b formula set). (A ⊆ W ∧ finite A) → satisfiable A
and hip2: ¬.¬.F ∈ W
shows ∀ (A::'b formula set). (A ⊆ W ∪ {F} ∧ finite A) → satisfiable A
proof (rule allI, rule impI)+
fix A
assume hip: A ⊆ W ∪ {F} ∧ finite A
show satisfiable A
proof -
have A-{F} ⊆ W ∧ finite (A-{F}) using hip by auto
hence (A-{F}) ∪ {¬.¬.F} ⊆ W ∧ finite ((A-{F}) ∪ {¬.¬.F})
  using hip2 by auto
hence satisfiable ((A-{F}) ∪ {¬.¬.F}) using hip1 by auto
hence satisfiable ((A-{F}) ∪ {F}) using satisfiableUnion1 by blast
moreover
have A ⊆ (A-{F}) ∪ {F} by auto
ultimately
show satisfiable A using Subset-Sat by auto

```

qed  
qed

**lemma** *satisfiableUnion2*:  
**assumes** *hip1*: *FormulaAlfa F* **and** *hip2*: *satisfiable (A ∪ {F})*  
**shows** *satisfiable (A ∪ {Comp1 F, Comp2 F})*  
**proof** –  
**have**  $\exists I. \forall G \in A \cup \{F\}. t\text{-v-evaluation } I G = Ttrue$   
**using** *hip2* **by**(*unfold satisfiable-def, unfold model-def, auto*)  
**then obtain** *I* **where** *I*:  $\forall G \in A \cup \{F\}. t\text{-v-evaluation } I G = Ttrue$  **by** *auto*  
**hence** 1:  $\forall G \in A. t\text{-v-evaluation } I G = Ttrue$  **and** 2:  $t\text{-v-evaluation } I F = Ttrue$  **by** *auto*  
**have** *typeFormula F = Alfa* **using** *hip1 noAlfaBeta noAlfaNoNo by auto*  
**hence** *equivalent F (Comp1 F ∧ Comp2 F)*  
**using** 2 *EquivAlfaComp[F]* **by** *auto*  
**hence** *t-v-evaluation I (Comp1 F ∧ Comp2 F) = Ttrue*  
**using** 2 **by**(*unfold equivalent-def, auto*)  
**hence** *t-v-evaluation I (Comp1 F) = Ttrue ∧ t-v-evaluation I (Comp2 F) = Ttrue*  
**using** *ConjunctionValues* **by** *auto*  
**hence**  $\forall G \in A \cup \{Comp1 F, Comp2 F\} . t\text{-v-evaluation } I G = Ttrue$  **using** 1  
**by** *auto*  
**thus** *satisfiable (A ∪ {Comp1 F, Comp2 F})*  
**by** (*unfold satisfiable-def, unfold model-def, auto*)  
**qed**

**lemma** *consistenceP-Prop5*:  
**assumes** *hip0: FormulaAlfa F*  
**and** *hip1: ∀ (A::'b formula set). (A ⊆ W ∧ finite A) → satisfiable A*  
**and** *hip2: F ∈ W*  
**shows**  $\forall (A::'b formula set). (A \subseteq W \cup \{Comp1 F, Comp2 F\} \wedge finite A) \rightarrow$   
*satisfiable A*  
**proof** (*intro allI impI*)  
**fix** *A*  
**assume** *hip: A ⊆ W ∪ {Comp1 F, Comp2 F} ∧ finite A*  
**show** *satisfiable A*  
**proof** –  
**have**  $A - \{Comp1 F, Comp2 F\} \subseteq W \wedge finite (A - \{Comp1 F, Comp2 F\})$   
**using** *hip* **by** *auto*  
**hence**  $(A - \{Comp1 F, Comp2 F\}) \cup \{F\} \subseteq W \wedge$   
 $finite ((A - \{Comp1 F, Comp2 F\}) \cup \{F\})$   
**using** *hip2* **by** *auto*  
**hence** *satisfiable ((A - {Comp1 F, Comp2 F}) ∪ {F})*  
**using** *hip1* **by** *auto*  
**hence** *satisfiable ((A - {Comp1 F, Comp2 F}) ∪ {Comp1 F, Comp2 F})*  
**using** *hip0 satisfiableUnion2* **by** *auto*  
**moreover**

```

have  $A \subseteq (A - \{Comp1 F, Comp2 F\}) \cup \{Comp1 F, Comp2 F\}$  by auto
ultimately
show satisfiable  $A$  using Subset-Sat by auto
qed
qed

```

```

lemma satisfiableUnion3:
assumes hip1: FormulaBeta F and hip2: satisfiable ( $A \cup \{F\}$ )
shows satisfiable ( $A \cup \{Comp1 F\}$ )  $\vee$  satisfiable ( $A \cup \{Comp2 F\}$ )
proof -
obtain I where I:  $\forall G \in (A \cup \{F\})$ . t-v-evaluation I G = Ttrue
using hip2 by(unfold satisfiable-def, unfold model-def, auto)
hence S1:  $\forall G \in A$ . t-v-evaluation I G = Ttrue
and S2: t-v-evaluation I F = Ttrue
by auto
have V: t-v-evaluation I (Comp1 F) = Ttrue  $\vee$  t-v-evaluation I (Comp2 F) = Ttrue
using hip1 S2 EquivBetaComp[of F] DisjunctionValues
by (unfold equivalent-def, auto)
have  $((\forall G \in A. t\text{-}v\text{-}evaluation I G = Ttrue) \wedge t\text{-}v\text{-}evaluation I (Comp1 F) = Ttrue) \vee$ 
 $((\forall G \in A. t\text{-}v\text{-}evaluation I G = Ttrue) \wedge t\text{-}v\text{-}evaluation I (Comp2 F) = Ttrue)$ 
using V
proof (rule disjE)
assume t-v-evaluation I (Comp1 F) = Ttrue
hence  $(\forall G \in A. t\text{-}v\text{-}evaluation I G = Ttrue) \wedge t\text{-}v\text{-}evaluation I (Comp1 F) = Ttrue$ 
using S1 by auto
thus ?thesis by simp
next
assume t-v-evaluation I (Comp2 F) = Ttrue
hence  $(\forall G \in A. t\text{-}v\text{-}evaluation I G = Ttrue) \wedge t\text{-}v\text{-}evaluation I (Comp2 F) = Ttrue$ 
using S1 by auto
thus ?thesis by simp
qed
hence  $(\forall G \in A \cup \{Comp1 F\}. t\text{-}v\text{-}evaluation I G = Ttrue) \vee$ 
 $(\forall G \in A \cup \{Comp2 F\}. t\text{-}v\text{-}evaluation I G = Ttrue)$ 
by auto
hence  $(\exists I. \forall G \in A \cup \{Comp1 F\}. t\text{-}v\text{-}evaluation I G = Ttrue) \vee$ 
 $(\exists I. \forall G \in A \cup \{Comp2 F\}. t\text{-}v\text{-}evaluation I G = Ttrue)$ 
by auto
thus satisfiable ( $A \cup \{Comp1 F\}$ )  $\vee$  satisfiable ( $A \cup \{Comp2 F\}$ )
by (unfold satisfiable-def, unfold model-def, auto)
qed

```

```

lemma consistenceP-Prop6:
  assumes hip0: FormulaBeta F
  and hip1:  $\forall (A::'b \text{ formula set}). (A \subseteq W \wedge \text{finite } A) \rightarrow \text{satisfiable } A$ 
  and hip2:  $F \in W$ 
  shows  $(\forall (A::'b \text{ formula set}). (A \subseteq W \cup \{\text{Comp1 } F\} \wedge \text{finite } A) \rightarrow \text{satisfiable } A) \vee (\forall (A::'b \text{ formula set}). (A \subseteq W \cup \{\text{Comp2 } F\} \wedge \text{finite } A) \rightarrow \text{satisfiable } A)$ 
proof -
  { assume hip3: $\neg((\forall (A::'b \text{ formula set}). (A \subseteq W \cup \{\text{Comp1 } F\} \wedge \text{finite } A) \rightarrow \text{satisfiable } A) \vee (\forall (A::'b \text{ formula set}). (A \subseteq W \cup \{\text{Comp2 } F\} \wedge \text{finite } A) \rightarrow \text{satisfiable } A))$ 
    have False
    proof -
      obtain A B where A1:  $A \subseteq W \cup \{\text{Comp1 } F\}$ 
      and A2:  $\text{finite } A$ 
      and A3:  $\neg \text{satisfiable } A$ 
      and B1:  $B \subseteq W \cup \{\text{Comp2 } F\}$ 
      and B2:  $\text{finite } B$ 
      and B3:  $\neg \text{satisfiable } B$ 
      using hip3 by auto
      have a1:  $A - \{\text{Comp1 } F\} \subseteq W$ 
      and a2:  $\text{finite } (A - \{\text{Comp1 } F\})$ 
      using A1 and A2 by auto
      hence  $\text{satisfiable } (A - \{\text{Comp1 } F\})$  using hip1 by simp
      have b1:  $B - \{\text{Comp2 } F\} \subseteq W$ 
      and b2:  $\text{finite } (B - \{\text{Comp2 } F\})$ 
      using B1 and B2 by auto
      hence  $\text{satisfiable } (B - \{\text{Comp2 } F\})$  using hip1 by simp
      moreover
      have  $(A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{F\} \subseteq W$ 
      and  $\text{finite } ((A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{F\})$ 
      using a1 a2 b1 b2 hip2 by auto
      hence  $\text{satisfiable } ((A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{F\})$ 
      using hip1 by simp
      hence  $\text{satisfiable } ((A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{\text{Comp1 } F\}) \vee \text{satisfiable } ((A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{\text{Comp2 } F\})$ 
      using hip0 satisfiableUnion3 by auto
      moreover
      have  $A \subseteq (A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{\text{Comp1 } F\}$ 
      and  $B \subseteq (A - \{\text{Comp1 } F\}) \cup (B - \{\text{Comp2 } F\}) \cup \{\text{Comp2 } F\}$ 
      by auto
      ultimately
      have  $\text{satisfiable } A \vee \text{satisfiable } B$  using Subset-Sat by auto
      thus False using A3 B3 by simp
    qed }
    thus ?thesis by auto
  qed

```

```

lemma ConsistenceCompactness:
  shows consistenceP{W::'b formula set.  $\forall A. (A \subseteq W \wedge \text{finite } A) \longrightarrow$ 
   $\text{satisfiable } A\}$ 
proof (unfold consistenceP-def, rule allI, rule impI)
  let ?C = {W::'b formula set.  $\forall A. (A \subseteq W \wedge \text{finite } A) \longrightarrow \text{satisfiable } A\}$ 
  fix W :: 'b formula set
  assume W ∈ ?C
  hence hip:  $\forall A. (A \subseteq W \wedge \text{finite } A) \longrightarrow \text{satisfiable } A$  by simp
  show ( $\forall P. \neg (\text{atom } P \in W \wedge (\neg. \text{atom } P) \in W)$ )  $\wedge$ 
    FF ∉ W  $\wedge$ 
     $\neg. TT \notin W \wedge$ 
    ( $\forall F. \neg. \neg. F \in W \longrightarrow W \cup \{F\} \in ?C$ )  $\wedge$ 
    ( $\forall F. (\text{FormulaAlfa } F) \wedge F \in W \longrightarrow$ 
     ( $W \cup \{\text{Comp1 } F, \text{Comp2 } F\} \in ?C$ )  $\wedge$ 
     ( $\forall F. (\text{FormulaBeta } F) \wedge F \in W \longrightarrow$ 
      ( $W \cup \{\text{Comp1 } F\} \in ?C \vee W \cup \{\text{Comp2 } F\} \in ?C$ )))
  proof –
    have ( $\forall P. \neg (\text{atom } P \in W \wedge (\neg. \text{atom } P) \in W)$ )
      using hip consistenceP-Prop1 by simp
    moreover
    have FF ∉ W using hip consistenceP-Prop2 by auto
    moreover
    have  $\neg. TT \notin W$  using hip consistenceP-Prop3 by auto
    moreover
    have  $\forall F. (\neg. \neg. F) \in W \longrightarrow W \cup \{F\} \in ?C$ 
    proof (rule allI impI)+
      fix F
      assume hip1:  $\neg. \neg. F \in W$ 
      show  $W \cup \{F\} \in ?C$  using hip hip1 consistenceP-Prop4 by simp
    qed
    moreover
    have
       $\forall F. (\text{FormulaAlfa } F) \wedge F \in W \longrightarrow (W \cup \{\text{Comp1 } F, \text{Comp2 } F\} \in ?C)$ 
    proof (rule allI impI)+
      fix F
      assume FormulaAlfa F  $\wedge F \in W$ 
      thus  $W \cup \{\text{Comp1 } F, \text{Comp2 } F\} \in ?C$  using hip consistenceP-Prop5[of F]
    by blast
    qed
    moreover
    have  $\forall F. (\text{FormulaBeta } F) \wedge F \in W \longrightarrow$ 
      ( $W \cup \{\text{Comp1 } F\} \in ?C \vee W \cup \{\text{Comp2 } F\} \in ?C$ )
    proof (rule allI impI)+
      fix F
      assume FormulaBeta F  $\wedge F \in W$ 
      thus  $W \cup \{\text{Comp1 } F\} \in ?C \vee W \cup \{\text{Comp2 } F\} \in ?C$ 
        using hip consistenceP-Prop6[of F] by blast
    qed

```

```

ultimately
show ?thesis by auto
qed
qed

lemma countable-enumeration-formula:
shows ∃ f. enumeration (f:: nat ⇒ 'a::countable formula)
by (metis(full-types) EnumerationFormulasP1
enumeration-def surj-def surj-from-nat)

theorem Compactness-Theorem:
assumes ∀ A. (A ⊆ (S:: 'a::countable formula set) ∧ finite A) → satisfiable A
shows satisfiable S
proof -
have enum: ∃ g. enumeration (g:: nat ⇒ 'a formula)
using countable-enumeration-formula by auto
let ?C = {W:: 'a formula set. ∀ A. (A ⊆ W ∧ finite A) → satisfiable A}
have consistenceP ?C
using ConsistenceCompactness by simp
moreover
have S ∈ ?C using assms by simp
ultimately
show satisfiable S using enum and Theo-ExistenceModels[of ?C S] by auto
qed

end

theory Hall-Theorem
imports
PropCompactness
Marriage.Marriage
begin

```

## 7 Hall Theorem for countable (infinite) families of sets

Hall's Theorem for countable families of sets is proved as a consequence of compactness theorem for propositional calculus ([4]). The theory imports Marriage theory from the AFP, which proves marriage theorem for the finite case. The proof also uses an updated version of Serrano's formalization of the compactness theorem for propositional logic.

```

definition system-representatives :: ('a ⇒ 'b set) ⇒ 'a set ⇒ ('a ⇒ 'b) ⇒ bool
where
system-representatives S I R ≡ (∀ i∈I. (R i) ∈ (S i)) ∧ (inj-on R I)

definition set-to-list :: 'a set ⇒ 'a list
where set-to-list s = (SOME l. set l = s)

```

```

lemma set-set-to-list:
  finite s ==> set (set-to-list s) = s
  unfolding set-to-list-def by (metis (mono-tags) finite-list some-eq-ex)

lemma list-to-set:
  assumes finite (S i)
  shows set (set-to-list (S i)) = (S i)
  using assms set-set-to-list by auto

primrec disjunction-atomic :: 'b list =>'a => ('a × 'b)formula where
  disjunction-atomic [] i = FF
  | disjunction-atomic (x#D) i = (atom (i, x)) ∨. (disjunction-atomic D i)

lemma t-v-evaluation-disjunctions1:
  assumes t-v-evaluation I (disjunction-atomic (a # l) i) = Ttrue
  shows t-v-evaluation I (atom (i,a)) = Ttrue ∨ t-v-evaluation I (disjunction-atomic l i) = Ttrue
  proof-
    have
      (disjunction-atomic (a # l) i) = (atom (i,a)) ∨. (disjunction-atomic l i)
      by auto
    hence t-v-evaluation I ((atom (i,a)) ∨. (disjunction-atomic l i)) = Ttrue
    using assms by auto
    thus ?thesis using DisjunctionValues by blast
  qed

lemma t-v-evaluation-atom:
  assumes t-v-evaluation I (disjunction-atomic l i) = Ttrue
  shows ∃x. x ∈ set l ∧ (t-v-evaluation I (atom (i,x))) = Ttrue
  proof-
    have t-v-evaluation I (disjunction-atomic l i) = Ttrue ==>
    ∃x. x ∈ set l ∧ (t-v-evaluation I (atom (i,x))) = Ttrue
    proof(induct l)
      case Nil
      then show ?case by auto
    next
      case (Cons a l)
      show ∃x. x ∈ set (a # l) ∧ t-v-evaluation I (atom (i,x)) = Ttrue
      proof-
        have
          (t-v-evaluation I (atom (i,a))) = Ttrue ∨ t-v-evaluation I (disjunction-atomic l i) = Ttrue
          using Cons(2) t-v-evaluation-disjunctions1[of I] by auto
          thus ?thesis
        proof(rule disjE)
          assume t-v-evaluation I (atom (i,a)) = Ttrue
          thus ?thesis by auto
        next

```

```

assume t-v-evaluation I (disjunction-atomic l i) = Ttrue
  thus ?thesis using Cons by auto
qed
qed
qed
  thus ?thesis using assms by auto
qed

definition F :: ('a ⇒ 'b set) ⇒ 'a set ⇒ (('a × 'b)formula) set where
  F S I ≡ (⋃ i∈I. { disjunction-atomic (set-to-list (S i)) i })

definition G :: ('a ⇒ 'b set) ⇒ 'a set ⇒ ('a × 'b)formula set where
  G S I ≡ {¬.(atom (i,x) ∧ atom(i,y))
    | x y i . x ∈ (S i) ∧ y ∈ (S i) ∧ x ≠ y ∧ i ∈ I}

definition H :: ('a ⇒ 'b set) ⇒ 'a set ⇒ ('a × 'b)formula set where
  H S I ≡ {¬.(atom (i,x) ∧ atom(j,x))
    | x i j . x ∈ (S i) ∩ (S j) ∧ (i ∈ I ∧ j ∈ I ∧ i ≠ j)}

definition T :: ('a ⇒ 'b set) ⇒ 'a set ⇒ ('a × 'b)formula set where
  T S I ≡ (F S I) ∪ (G S I) ∪ (H S I)

primrec indices-formula :: ('a × 'b)formula ⇒ 'a set where
  indices-formula FF = {}
  | indices-formula TT = {}
  | indices-formula (atom P) = {fst P}
  | indices-formula (¬. F) = indices-formula F
  | indices-formula (F ∧. G) = indices-formula F ∪ indices-formula G
  | indices-formula (F ∨. G) = indices-formula F ∪ indices-formula G
  | indices-formula (F →. G) = indices-formula F ∪ indices-formula G

definition indices-set-formulas :: ('a × 'b)formula set ⇒ 'a set where
  indices-set-formulas S = (⋃ F ∈ S. indices-formula F)

lemma finite-indices-formulas:
  shows finite (indices-formula F)
  by(induct F, auto)

lemma finite-set-indices:
  assumes finite S
  shows finite (indices-set-formulas S)
  using ⟨finite S⟩ finite-indices-formulas
  by(unfold indices-set-formulas-def, auto)

lemma indices-disjunction:
  assumes F = disjunction-atomic L i and L ≠ []
  shows indices-formula F = {i}
proof-
  have (F = disjunction-atomic L i ∧ L ≠ []) ==> indices-formula F = {i}

```

```

proof(induct L arbitrary: F)
  case Nil hence False using assms by auto
  thus ?case by auto
next
  case(Cons a L)
  assume F = disjunction-atomic (a # L) i ∧ a # L ≠ []
  thus ?case
  proof(cases L)
  assume L = []
    thus indices-formula F = {i} using Cons(2) by auto
next
  show
     $\bigwedge b \text{ list}. F = \text{disjunction-atomic } (a \# L) i \wedge a \# L \neq [] \implies L = b \# \text{list} \implies$ 
      indices-formula F = {i}
      using Cons(1-2) by auto
  qed
qed
  thus ?thesis using assms by auto
qed

lemma nonempty-set-list:
  assumes  $\forall i \in I. (S i) \neq \{\}$  and  $\forall i \in I. \text{finite } (S i)$ 
  shows  $\forall i \in I. \text{set-to-list } (S i) \neq []$ 
  proof(rule ccontr)
    assume  $\neg (\forall i \in I. \text{set-to-list } (S i) \neq [])$ 
    hence  $\exists i \in I. \text{set-to-list } (S i) = []$  by auto
    hence  $\exists i \in I. \text{set}(\text{set-to-list } (S i)) = \{\}$  by auto
    then obtain i where i:  $i \in I$  and  $\text{set}(\text{set-to-list } (S i)) = \{\}$  by auto
    thus False using list-to-set[of S i] assms by auto
  qed

lemma at-least-subset-indices:
  assumes  $\forall i \in I. (S i) \neq \{\}$  and  $\forall i \in I. \text{finite } (S i)$ 
  shows indices-set-formulas ( $\mathcal{F} S I$ )  $\subseteq I$ 
  proof
    fix i
    assume hip:  $i \in \text{indices-set-formulas } (\mathcal{F} S I)$  show  $i \in I$ 
    proof-
      have  $i \in (\bigcup F \in (\mathcal{F} S I). \text{indices-formula } F)$  using hip
        by(unfold indices-set-formulas-def,auto)
      hence  $\exists F \in (\mathcal{F} S I). i \in \text{indices-formula } F$  by auto
      then obtain F where  $F \in (\mathcal{F} S I)$  and  $i: i \in \text{indices-formula } F$  by auto
      hence  $\exists k \in I. F = \text{disjunction-atomic } (\text{set-to-list } (S k)) k$ 
        by (unfold  $\mathcal{F}$ -def, auto)
      then obtain k where
        k:  $k \in I$  and  $F = \text{disjunction-atomic } (\text{set-to-list } (S k)) k$  by auto
      hence indices-formula F = {k}
        using assms nonempty-set-list[of I S]
        indices-disjunction[OF <F = disjunction-atomic (set-to-list (S k)) k>]

```

```

by auto
hence  $k = i$  using  $i$  by auto
thus ?thesis using  $k$  by auto
qed
qed

lemma at-most-subset-indices:
shows indices-set-formulas ( $\mathcal{G} S I$ )  $\subseteq I$ 
proof
fix  $i$ 
assume hip:  $i \in$  indices-set-formulas ( $\mathcal{G} S I$ ) show  $i \in I$ 
proof-
have  $i \in (\bigcup F \in (\mathcal{G} S I). \text{indices-formula } F)$  using hip
by (unfold indices-set-formulas-def, auto)
hence  $\exists F \in (\mathcal{G} S I). i \in \text{indices-formula } F$  by auto
then obtain  $F$  where  $F \in (\mathcal{G} S I)$  and  $i: i \in \text{indices-formula } F$ 
by auto
hence  $\exists x y j. x \in (S j) \wedge y \in (S j) \wedge x \neq y \wedge j \in I \wedge F =$ 
 $\neg(\text{atom}(j, x) \wedge \text{atom}(j, y))$ 
by (unfold G-def, auto)
then obtain  $x y j$  where  $x \in (S j) \wedge y \in (S j) \wedge x \neq y \wedge j \in I$ 
and  $F = \neg(\text{atom}(j, x) \wedge \text{atom}(j, y))$ 
by auto
hence indices-formula  $F = \{j\} \wedge j \in I$  by auto
thus  $i \in I$  using  $i$  by auto
qed
qed

lemma different-subset-indices:
shows indices-set-formulas ( $\mathcal{H} S I$ )  $\subseteq I$ 
proof
fix  $i$ 
assume hip:  $i \in$  indices-set-formulas ( $\mathcal{H} S I$ ) show  $i \in I$ 
proof-
have  $i \in (\bigcup F \in (\mathcal{H} S I). \text{indices-formula } F)$  using hip
by (unfold indices-set-formulas-def, auto)
hence  $\exists F \in (\mathcal{H} S I). i \in \text{indices-formula } F$  by auto
then obtain  $F$  where  $F \in (\mathcal{H} S I)$  and  $i: i \in \text{indices-formula } F$ 
by auto
hence  $\exists x j k. x \in (S j) \cap (S k) \wedge (j \in I \wedge k \in I \wedge j \neq k) \wedge F =$ 
 $\neg(\text{atom}(j, x) \wedge \text{atom}(k, x))$ 
by (unfold H-def, auto)
then obtain  $x j k$ 
where  $(j \in I \wedge k \in I \wedge j \neq k) \wedge F = \neg(\text{atom}(j, x) \wedge \text{atom}(k, x))$ 
by auto
hence  $u: j \in I$  and  $v: k \in I$  and indices-formula  $F = \{j, k\}$ 
by auto
hence  $i = j \vee i = k$  using  $i$  by auto
thus  $i \in I$  using  $u v$  by auto

```

```

qed
qed

lemma indices-union-sets:
  shows indices-set-formulas( $A \cup B$ ) = (indices-set-formulas  $A$ )  $\cup$  (indices-set-formulas  $B$ )
  by(unfold indices-set-formulas-def, auto)

lemma at-least-subset-subset-indices1:
  assumes  $F \in (\mathcal{F} S I)$ 
  shows (indices-formula  $F$ )  $\subseteq$  (indices-set-formulas ( $\mathcal{F} S I$ ))
proof
  fix  $i$ 
  assume hip:  $i \in$  indices-formula  $F$ 
  show  $i \in$  indices-set-formulas ( $\mathcal{F} S I$ )
  proof-
    have  $\exists F. F \in (\mathcal{F} S I) \wedge i \in$  indices-formula  $F$  using assms hip by auto
    thus ?thesis by(unfold indices-set-formulas-def, auto)
  qed
qed

lemma at-most-subset-subset-indices1:
  assumes  $F \in (\mathcal{G} S I)$ 
  shows (indices-formula  $F$ )  $\subseteq$  (indices-set-formulas ( $\mathcal{G} S I$ ))
proof
  fix  $i$ 
  assume hip:  $i \in$  indices-formula  $F$ 
  show  $i \in$  indices-set-formulas ( $\mathcal{G} S I$ )
  proof-
    have  $\exists F. F \in (\mathcal{G} S I) \wedge i \in$  indices-formula  $F$  using assms hip by auto
    thus ?thesis by(unfold indices-set-formulas-def, auto)
  qed
qed

lemma different-subset-indices1:
  assumes  $F \in (\mathcal{H} S I)$ 
  shows (indices-formula  $F$ )  $\subseteq$  (indices-set-formulas ( $\mathcal{H} S I$ ))
proof
  fix  $i$ 
  assume hip:  $i \in$  indices-formula  $F$ 
  show  $i \in$  indices-set-formulas ( $\mathcal{H} S I$ )
  proof-
    have  $\exists F. F \in (\mathcal{H} S I) \wedge i \in$  indices-formula  $F$  using assms hip by auto
    thus ?thesis by(unfold indices-set-formulas-def, auto)
  qed
qed

lemma all-subset-indices:
  assumes  $\forall i \in I. (S i) \neq \{\}$  and  $\forall i \in I. \text{finite}(S i)$ 

```

```

shows indices-set-formulas ( $\mathcal{T} S I$ )  $\subseteq I$ 
proof
fix i
assume hip:  $i \in \text{indices-set-formulas } (\mathcal{T} S I)$  show  $i \in I$ 
proof-
have  $i \in \text{indices-set-formulas } ((\mathcal{F} S I) \cup (\mathcal{G} S I) \cup (\mathcal{H} S I))$ 
using hip by (unfold  $\mathcal{T}$ -def, auto)
hence  $i \in \text{indices-set-formulas } ((\mathcal{F} S I) \cup (\mathcal{G} S I)) \cup$ 
 $\text{indices-set-formulas}(\mathcal{H} S I)$ 
using indices-union-sets[of  $(\mathcal{F} S I) \cup (\mathcal{G} S I)$ ] by auto
hence  $i \in \text{indices-set-formulas } ((\mathcal{F} S I) \cup (\mathcal{G} S I)) \vee$ 
 $i \in \text{indices-set-formulas}(\mathcal{H} S I)$ 
by auto
thus ?thesis
proof(rule disjE)
assume hip:  $i \in \text{indices-set-formulas } (\mathcal{F} S I \cup \mathcal{G} S I)$ 
hence  $i \in (\bigcup F \in (\mathcal{F} S I) \cup (\mathcal{G} S I). \text{indices-formula } F)$ 
by(unfold indices-set-formulas-def, auto)
then obtain F
where  $F: F \in (\mathcal{F} S I) \cup (\mathcal{G} S I)$  and  $i: i \in \text{indices-formula } F$  by auto
from F have  $(\text{indices-formula } F) \subseteq (\text{indices-set-formulas } (\mathcal{F} S I))$ 
 $\vee \text{indices-formula } F \subseteq (\text{indices-set-formulas } (\mathcal{G} S I))$ 
using at-least-subset-subset-indices1 at-most-subset-subset-indices1 by blast
hence  $i \in \text{indices-set-formulas } (\mathcal{F} S I) \vee$ 
 $i \in \text{indices-set-formulas } (\mathcal{G} S I)$ 
using i by auto
thus  $i \in I$ 
using assms at-least-subset-indices[of  $I S$ ] at-most-subset-indices[of  $S I$ ] by
auto
next
assume  $i \in \text{indices-set-formulas } (\mathcal{H} S I)$ 
hence
 $i \in (\bigcup F \in (\mathcal{H} S I). \text{indices-formula } F)$ 
by(unfold indices-set-formulas-def, auto)
then obtain F where  $F: F \in (\mathcal{H} S I)$  and  $i: i \in \text{indices-formula } F$ 
by auto
from F have  $(\text{indices-formula } F) \subseteq (\text{indices-set-formulas } (\mathcal{H} S I))$ 
using different-subset-indices1 by blast
hence  $i \in \text{indices-set-formulas } (\mathcal{H} S I)$  using i by auto
thus  $i \in I$  using different-subset-indices[of  $S I$ ]
by auto
qed
qed
qed

lemma inclusion-indices:
assumes  $S \subseteq H$ 
shows  $\text{indices-set-formulas } S \subseteq \text{indices-set-formulas } H$ 
proof

```

```

fix i
assume i ∈ indices-set-formulas S
hence ∃ F. F ∈ S ∧ i ∈ indices-formula F
  by(unfold indices-set-formulas-def, auto)
hence ∃ F. F ∈ H ∧ i ∈ indices-formula F using assms by auto
thus i ∈ indices-set-formulas H
  by(unfold indices-set-formulas-def, auto)
qed

lemma indices-subset-formulas:
assumes ∀ i∈I.(S i)≠{} and ∀ i∈I. finite(S i) and A ⊆ (T S I)
shows (indices-set-formulas A) ⊆ I
proof-
  have (indices-set-formulas A) ⊆ (indices-set-formulas (T S I))
    using assms(3) inclusion-indices by auto
  thus ?thesis using assms(1–2) all-subset-indices[of I S] by auto
qed

lemma To-subset-all-its-indices:
assumes ∀ i∈I. (S i)≠{} and ∀ i∈I. finite (S i) and To ⊆ (T S I)
shows To ⊆ (T S (indices-set-formulas To))
proof
  fix F
  assume hip: F ∈ To
  hence F ∈ (T S I) using assms(3) by auto
  hence F ∈ (F S I) ∪ (G S I) ∪ (H S I) by(unfold T-def,auto)
  hence F ∈ (F S I) ∨ F ∈ (G S I) ∨ F ∈ (H S I) by auto
  thus F ∈ (T S (indices-set-formulas To))
  proof(rule disjE)
    assume F ∈ (F S I)
    hence ∃ i∈I. F = disjunction-atomic (set-to-list (S i)) i
      by(unfold F-def,auto)
    then obtain i
      where i: i∈I and F: F = disjunction-atomic (set-to-list (S i)) i
        by auto
    hence indices-formula F = {i}
      using
        assms(1–2) nonempty-set-list[of I S] indices-disjunction[of F (set-to-list (S i)) i]
        by auto
    hence i ∈ (indices-set-formulas To) using hip
      by(unfold indices-set-formulas-def,auto)
    hence F ∈ (F S (indices-set-formulas To))
      using F by(unfold F-def,auto)
    thus F ∈ (T S (indices-set-formulas To))
      by(unfold T-def,auto)
  next
    assume F ∈ (G S I) ∨ F ∈ (H S I)
    thus ?thesis

```

```

proof(rule disjE)
assume  $F \in (\mathcal{G} S I)$ 
hence  $\exists x \exists y \exists i. F = \neg.(atom(i,x) \wedge atom(i,y)) \wedge x \in (S i) \wedge$ 
 $y \in (S i) \wedge x \neq y \wedge i \in I$ 
by(unfold  $\mathcal{G}$ -def, auto)
then obtain  $x y i$ 
where  $F1: F = \neg.(atom(i,x) \wedge atom(i,y))$  and
 $F2: x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I$ 
by auto
hence indices-formula  $F = \{i\}$  by auto
hence  $i \in (indices-set-formulas To)$  using hip
by(unfold indices-set-formulas-def, auto)
hence  $F \in (\mathcal{G} S (indices-set-formulas To))$ 
using  $F1 F2$  by(unfold  $\mathcal{G}$ -def, auto)
thus  $F \in (\mathcal{T} S (indices-set-formulas To))$  by(unfold  $\mathcal{T}$ -def, auto)
next
assume  $F \in (\mathcal{H} S I)$ 
hence  $\exists x \exists i \exists j. F = \neg.(atom(i,x) \wedge atom(j,x)) \wedge$ 
 $x \in (S i) \cap (S j) \wedge (i \in I \wedge j \in I \wedge i \neq j)$ 
by(unfold  $\mathcal{H}$ -def, auto)
then obtain  $x i j$ 
where  $F3: F = \neg.(atom(i,x) \wedge atom(j,x))$  and
 $F4: x \in (S i) \cap (S j) \wedge (i \in I \wedge j \in I \wedge i \neq j)$ 
by auto
hence indices-formula  $F = \{i,j\}$  by auto
hence  $i \in (indices-set-formulas To) \wedge j \in (indices-set-formulas To)$ 
using hip by(unfold indices-set-formulas-def, auto)
hence  $F \in (\mathcal{H} S (indices-set-formulas To))$ 
using  $F3 F4$  by(unfold  $\mathcal{H}$ -def, auto)
thus  $F \in (\mathcal{T} S (indices-set-formulas To))$  by(unfold  $\mathcal{T}$ -def, auto)
qed
qed
qed

```

**lemma** all-nonempty-sets:  
**assumes**  $\forall i \in I. (S i) \neq \{\}$  **and**  $\forall i \in I. finite(S i)$  **and**  $A \subseteq (\mathcal{T} S I)$   
**shows**  $\forall i \in (indices-set-formulas A). (S i) \neq \{\}$

**proof**–  
**have**  $(indices-set-formulas A) \subseteq I$   
**using** assms(1–3) indices-subset-formulas[of  $I S A$ ] **by** auto  
**thus** ?thesis **using** assms(1) **by** auto  
**qed**

**lemma** all-finite-sets:  
**assumes**  $\forall i \in I. (S i) \neq \{\}$  **and**  $\forall i \in I. finite(S i)$  **and**  $A \subseteq (\mathcal{T} S I)$   
**shows**  $\forall i \in (indices-set-formulas A). finite(S i)$

**proof**–  
**have**  $(indices-set-formulas A) \subseteq I$   
**using** assms(1–3) indices-subset-formulas[of  $I S A$ ] **by** auto

thus  $\forall i \in (\text{indices-set-formulas } A). \text{finite } (S i) \text{ using assms(2) by auto}$   
qed

**lemma** *all-nonempty-sets1*:  
**assumes**  $\forall J \subseteq I. \text{finite } J \longrightarrow \text{card } J \leq \text{card } (\bigcup (S ' J))$   
**shows**  $\forall i \in I. (S i) \neq \{\} \text{ using assms by auto}$

**lemma** *system-distinct-representatives-finite*:  
**assumes**  
 $\forall i \in I. (S i) \neq \{\} \text{ and } \forall i \in I. \text{finite } (S i) \text{ and } To \subseteq (\mathcal{T} S I) \text{ and finite } To$   
**and**  $\forall J \subseteq (\text{indices-set-formulas } To). \text{card } J \leq \text{card } (\bigcup (S ' J))$   
**shows**  $\exists R. \text{system-representatives } S (\text{indices-set-formulas } To) R$   
**proof**–  
**have** 1:  $\text{finite } (\text{indices-set-formulas } To)$   
**using** *assms(4)* *finite-set-indices* **by** *auto*  
**have**  $\forall i \in (\text{indices-set-formulas } To). \text{finite } (S i)$   
**using** *all-finite-sets assms(1-3)* **by** *auto*  
**hence**  $\exists R. (\forall i \in (\text{indices-set-formulas } To). R i \in S i) \wedge$   
*inj-on R (indices-set-formulas To)*  
**using** 1 *assms(5)* *marriage-HV*[of (*indices-set-formulas To*) *S*] **by** *auto*  
**then obtain** *R*  
**where** *R*:  $(\forall i \in (\text{indices-set-formulas } To). R i \in S i) \wedge$   
*inj-on R (indices-set-formulas To)* **by** *auto*  
**thus** ?*thesis* **by**(*unfold system-representatives-def, auto*)  
qed

**fun** *Hall-interpretation* ::  $('a \Rightarrow 'b \text{ set}) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow (('a \times 'b) \Rightarrow v\text{-truth})$  **where**  
 $\text{Hall-interpretation } A \mathcal{I} R = (\lambda(i,x).(\text{if } i \in \mathcal{I} \wedge x \in (A i) \wedge (R i) = x \text{ then } T\text{true} \text{ else } F\text{false}))$

**lemma** *t-v-evaluation-index*:  
**assumes** *t-v-evaluation (Hall-interpretation S I R)* (*atom (i,x)*) = *Ttrue*  
**shows**  $(R i) = x$   
**proof**(rule *ccontr*)  
**assume**  $(R i) \neq x$  **hence** *t-v-evaluation (Hall-interpretation S I R)* (*atom (i,x)*)  
 $\neq T\text{true}$   
**by** *auto*  
**hence** *t-v-evaluation (Hall-interpretation S I R)* (*atom (i,x)*) = *Ffalse*  
**using** *non-Ttrue*[of *Hall-interpretation S I R atom (i,x)*] **by** *auto*  
**thus** *False* **using** *assms* **by** *simp*  
qed

**lemma** *distinct-elements-distinct-indices*:  
**assumes**  $F = \neg.(\text{atom } (i,x) \wedge \text{atom}(i,y)) \text{ and } x \neq y$   
**shows** *t-v-evaluation (Hall-interpretation S I R)*  $F = T\text{true}$   
**proof**(rule *ccontr*)  
**assume** *t-v-evaluation (Hall-interpretation S I R)*  $F \neq T\text{true}$   
**hence**

*t-v-evaluation (Hall-interpretation S I R) ( $\neg.(atom(i,x) \wedge atom(i,y))$ )  $\neq Ttrue$*

**using assms(1) by auto**  
**hence**  
*t-v-evaluation (Hall-interpretation S I R) ( $\neg.(atom(i,x) \wedge atom(i,y))$ ) = Ffalse*  
**using**  
*non-Ttrue[of Hall-interpretation S I R  $\neg.(atom(i,x) \wedge atom(i,y))$ ]*  
**by auto**  
**hence t-v-evaluation (Hall-interpretation S I R) ((atom(i,x)  $\wedge$  atom(i,y)))**  
 $= Ttrue$   
**using**  
*NegationValues1[of Hall-interpretation S I R (atom(i,x)  $\wedge$  atom(i,y))]*  
**by auto**  
**hence t-v-evaluation (Hall-interpretation S I R) (atom(i,x)) = Ttrue and**  
*t-v-evaluation (Hall-interpretation S I R) (atom(i,y)) = Ttrue*  
**using**  
*ConjunctionValues[of Hall-interpretation S I R atom(i,x) atom(i,y)]*  
**by auto**  
**hence (R i)=x and (R i)=y using t-v-evaluation-index by auto**  
**hence x=y by auto**  
**thus False using assms(2) by auto**  
**qed**

**lemma same-element-same-index:**

**assumes**  
 $F = \neg.(atom(i,x) \wedge atom(j,x))$  and  $i \in I \wedge j \in I$  and  $i \neq j$  and *inj-on R I*  
**shows** *t-v-evaluation (Hall-interpretation S I R) F = Ttrue*  
**proof(rule ccontr)**  
**assume** *t-v-evaluation (Hall-interpretation S I R) F  $\neq Ttrue$*   
**hence** *t-v-evaluation (Hall-interpretation S I R) ( $\neg.(atom(i,x) \wedge atom(j,x))$ )  $\neq Ttrue$*   
**using**  
*assms(1) by auto*  
**hence**  
*t-v-evaluation (Hall-interpretation S I R) ( $\neg.(atom(i,x) \wedge atom(j,x))$ ) = Ffalse*  
**using**  
*non-Ttrue[of Hall-interpretation S I R  $\neg.(atom(i,x) \wedge atom(j,x))$  ]*  
**by auto**  
**hence t-v-evaluation (Hall-interpretation S I R) ((atom(i,x)  $\wedge$  atom(j,x)))**  
 $= Ttrue$   
**using**  
*NegationValues1[of Hall-interpretation S I R (atom(i,x)  $\wedge$  atom(j,x))]*  
**by auto**  
**hence t-v-evaluation (Hall-interpretation S I R) (atom(i,x)) = Ttrue and**  
*t-v-evaluation (Hall-interpretation S I R) (atom(j,x)) = Ttrue*  
**using** *ConjunctionValues[of Hall-interpretation S I R atom(i,x) atom(j,x)]*  
**by auto**  
**hence (R i)=x and (R j)=x using t-v-evaluation-index by auto**  
**hence (R i) = (R j) by auto**  
**hence i=j using <i> i</i> <j> j</j> <inj-on R I> by(unfold inj-on-def, auto)**

```

thus False using <i≠j> by auto
qed

lemma disjunctor-Ttrue-in-atomic-disjunctions:
assumes x ∈ set l and t-v-evaluation I (atom (i,x)) = Ttrue
shows t-v-evaluation I (disjunction-atomic l i) = Ttrue
proof-
have x ∈ set l ==> t-v-evaluation I (atom (i,x)) = Ttrue ==>
t-v-evaluation I (disjunction-atomic l i) = Ttrue
proof(induct l)
case Nil
then show ?case by auto
next
case (Cons a l)
then show t-v-evaluation I (disjunction-atomic (a # l) i) = Ttrue
proof-
have x = a ∨ x ≠ a by auto
thus t-v-evaluation I (disjunction-atomic (a # l) i) = Ttrue
proof(rule disjE)
assume x = a
hence 1:(disjunction-atomic (a#l) i) =
      (atom (i,x)) ∨. (disjunction-atomic l i)
by auto
have t-v-evaluation I ((atom (i,x)) ∨. (disjunction-atomic l i)) = Ttrue
using Cons(3) by(unfold t-v-evaluation-def,unfold v-disjunction-def, auto)
thus ?thesis using 1 by auto
next
assume x ≠ a
hence x ∈ set l using Cons(2) by auto
hence t-v-evaluation I (disjunction-atomic l i) = Ttrue
using Cons(1) Cons(3) by auto
thus ?thesis
by(unfold t-v-evaluation-def,unfold v-disjunction-def, auto)
qed
qed
qed
thus ?thesis using assms by auto
qed

lemma t-v-evaluation-disjunctions:
assumes finite (S i)
and x ∈ (S i) ∧ t-v-evaluation I (atom (i,x)) = Ttrue
and F = disjunction-atomic (set-to-list (S i)) i
shows t-v-evaluation I F = Ttrue
proof-
have set (set-to-list (S i)) = (S i)
using set-set-to-list assms(1) by auto
hence x ∈ set (set-to-list (S i))

```

```

using assms(2) by auto
thus t-v-evaluation I F = Ttrue
  using assms(2-3) disjunctor-Ttrue-in-atomic-disjunctions by auto
qed

theorem SDR-satisfiable:
assumes ∀ i∈I. (A i) ≠ {} and ∀ i∈I. finite (A i) and X ⊆ (T A I)
and system-representatives A I R
shows satisfiable X
proof-
have satisfiable (T A I)
proof-
  have inj-on R I using assms(4) system-representatives-def[of A I R] by auto
  have (Hall-interpretation A I R) model (T A I)
  proof(unfold model-def)
    show ∀ F ∈ (T A I). t-v-evaluation (Hall-interpretation A I R) F = Ttrue
    proof
      fix F assume F ∈ (T A I)
      show t-v-evaluation (Hall-interpretation A I R) F = Ttrue
      proof-
        have F ∈ (F A I) ∪ (G A I) ∪ (H A I)
        using ⟨F ∈ (T A I)⟩ assms(3) by(unfold T-def,auto)
        hence F ∈ (F A I) ∨ F ∈ (G A I) ∨ F ∈ (H A I) by auto
        thus ?thesis
        proof(rule disjE)
          assume F ∈ (F A I)
          hence ∃ i∈I. F = disjunction-atomic (set-to-list (A i)) i
          by(unfold F-def,auto)
          then obtain i
          where i: i∈I and F: F = disjunction-atomic (set-to-list (A i)) i
          by auto
          have 1: finite (A i) using i assms(2) by auto
          have 2: i ∈ I ∧ (R i) ∈ (A i)
          using i assms(4) by (unfold system-representatives-def, auto)
          hence t-v-evaluation (Hall-interpretation A I R) (atom (i,(R i))) =
Ttrue
          by auto
          thus t-v-evaluation (Hall-interpretation A I R) F = Ttrue
          using 1 2 assms(4) F
          t-v-evaluation-disjunctions
          [of A i (R i) (Hall-interpretation A I R) F]
          by auto
        next
        assume F ∈ (G A I) ∨ F ∈ (H A I)
        thus ?thesis
        proof(rule disjE)
          assume F ∈ (G A I)
          hence
            ∃ x. ∃ y. ∃ i. F = ¬(atom (i,x) ∧ atom(i,y)) ∧ x ∈ (A i) ∧

```

$y \in (A i) \wedge x \neq y \wedge i \in \mathcal{I}$   
**by**(unfold  $\mathcal{G}$ -def, auto)  
**then obtain**  $x y i$   
**where**  $F: F = \neg(\text{atom}(i,x) \wedge \text{atom}(i,y))$   
**and**  $x \in (A i) \wedge y \in (A i) \wedge x \neq y \wedge i \in \mathcal{I}$   
**by** auto  
**thus** t-v-evaluation (Hall-interpretation  $A \mathcal{I} R$ )  $F = \text{Ttrue}$   
**using** <inj-on  $R \mathcal{I}F i x y A \mathcal{I} R$ ]  
**by** auto  
**next**  
**assume**  $F \in (\mathcal{H} A \mathcal{I})$   
**hence**  $\exists x \exists i \exists j. F = \neg(\text{atom}(i,x) \wedge \text{atom}(j,x)) \wedge$   
 $x \in (A i) \cap (A j) \wedge (i \in \mathcal{I} \wedge j \in \mathcal{I} \wedge i \neq j)$   
**by**(unfold  $\mathcal{H}$ -def, auto)  
**then obtain**  $x i j$   
**where**  $F = \neg(\text{atom}(i,x) \wedge \text{atom}(j,x))$  **and**  $(i \in \mathcal{I} \wedge j \in \mathcal{I} \wedge i \neq j)$   
**by** auto  
**thus** t-v-evaluation (Hall-interpretation  $A \mathcal{I} R$ )  $F = \text{Ttrue}$  **using**  
<inj-on  $R \mathcal{I}$ >  
same-element-same-index[of  $F i x j \mathcal{I}$ ] **by** auto  
**qed**  
**qed**  
**qed**  
**qed**  
**thus** satisfiable ( $\mathcal{T} A \mathcal{I}$ ) **by**(unfold satisfiable-def, auto)  
**qed**  
**thus** satisfiable  $X$  **using** satisfiable-subset assms(3) **by** auto  
**qed**  
**lemma** finite-is-satisfiable:  
**assumes**  
 $\forall i \in I. (S i) \neq \{\}$  **and**  $\forall i \in I. \text{finite}(S i)$  **and**  $To \subseteq (\mathcal{T} S I)$  **and**  $\text{finite } To$   
**and**  $\forall J \subseteq (\text{indices-set-formulas } To). \text{card } J \leq \text{card } (\bigcup (S^J))$   
**shows** satisfiable  $To$   
**proof-**  
**have** 0:  $\exists R. \text{system-representatives } S \text{ (indices-set-formulas } To\text{)} R$   
**using** assms system-distinct-representatives-finite[of  $I S To$ ] **by** auto  
**then obtain**  $R$   
**where**  $R: \text{system-representatives } S \text{ (indices-set-formulas } To\text{)} R$  **by** auto  
**have** 1:  $\forall i \in (\text{indices-set-formulas } To). (S i) \neq \{\}$   
**using** assms(1–3) all-nonempty-sets **by** blast  
**have** 2:  $\forall i \in (\text{indices-set-formulas } To). \text{finite}(S i)$   
**using** assms(1–3) all-finite-sets **by** blast  
**have** 3:  $To \subseteq (\mathcal{T} S \text{ (indices-set-formulas } To\text{)})$   
**using** assms(1–3) To-subset-all-its-indices[of  $I S To$ ] **by** auto  
**thus** satisfiable  $To$   
**using** 1 2 3 0 SDR-satisfiable **by** auto  
**qed**

```

lemma diag-nat:
  shows  $\forall y z. \exists x. (y,z) = diag x$ 
  using enumeration-natxnat by(unfold enumeration-def,auto)

lemma EnumFormulasHall:
  assumes  $\exists g. \text{enumeration } (g:: \text{nat} \Rightarrow 'a)$  and  $\exists h. \text{enumeration } (h:: \text{nat} \Rightarrow 'b)$ 
  shows  $\exists f. \text{enumeration } (f:: \text{nat} \Rightarrow ('a \times 'b) \text{formula})$ 
proof-
  from assms(1) obtain g where e1: enumeration (g:: nat  $\Rightarrow$  'a) by auto
  from assms(2) obtain h where e2: enumeration (h:: nat  $\Rightarrow$  'b) by auto
  have enumeration (( $\lambda m. (g(\text{fst}(diag m)), (h(\text{snd}(diag m))))$ ):: nat  $\Rightarrow$  ('a  $\times$  'b))
  proof(unfold enumeration-def)
    show  $\forall y::('a \times 'b). \exists m. y = (g (\text{fst} (\text{diag } m)), h (\text{snd} (\text{diag } m)))$ 
    proof
      fix y::('a  $\times$  'b)
      show  $\exists m. y = (g (\text{fst} (\text{diag } m)), h (\text{snd} (\text{diag } m)))$ 
    proof-
      have  $y = ((\text{fst } y), (\text{snd } y))$  by auto
      from e1 have  $\forall w::'a. \exists n1. w = (g n1)$  by(unfold enumeration-def, auto)
      hence  $\exists n1. (\text{fst } y) = (g n1)$  by auto
      then obtain n1 where n1:  $(\text{fst } y) = (g n1)$  by auto
      from e2 have  $\forall w::'b. \exists n2. w = (h n2)$  by(unfold enumeration-def, auto)
      hence  $\exists n2. (\text{snd } y) = (h n2)$  by auto
      then obtain n2 where n2:  $(\text{snd } y) = (h n2)$  by auto
      have  $\exists m. (n1, n2) = \text{diag } m$  using diag-nat by auto
      hence  $\exists m. (n1, n2) = (\text{fst} (\text{diag } m), \text{snd} (\text{diag } m))$  by simp
      hence  $\exists m. ((\text{fst } y), (\text{snd } y)) = (g(\text{fst} (\text{diag } m)), h(\text{snd} (\text{diag } m)))$ 
        using n1 n2 by blast
      thus  $\exists m. y = (g (\text{fst} (\text{diag } m)), h (\text{snd} (\text{diag } m)))$  by auto
    qed
    qed
    qed
  thus  $\exists f. \text{enumeration } (f:: \text{nat} \Rightarrow ('a \times 'b) \text{formula})$ 
    using EnumerationFormulasP1 by auto
qed

theorem all-formulas-satisfiable:
  fixes S :: ('a::countable  $\Rightarrow$  'b::countable set) and I :: 'a set
  assumes  $\forall i \in (I::'a \text{ set}). \text{finite } (S i)$  and  $\forall J \subseteq I. \text{finite } J \longrightarrow \text{card } J \leq \text{card } (\bigcup (S ' J))$ 
  shows satisfiable ( $\mathcal{T} S I$ )
proof-
  have  $\forall A. A \subseteq (\mathcal{T} S I) \wedge (\text{finite } A) \longrightarrow \text{satisfiable } A$ 
  proof(rule allI, rule impI)
    fix A assume A:  $A \subseteq (\mathcal{T} S I) \wedge (\text{finite } A)$ 
    hence hip1:  $A \subseteq (\mathcal{T} S I)$  and hip2:  $\text{finite } A$  by auto
    show satisfiable A
    proof -

```

```

have 0:  $\forall i \in I. (S i) \neq \{\}$  using assms(2) all-nonempty-sets1 by auto
hence 1:  $(\text{indices-set-formulas } A) \subseteq I$ 
  using assms(1) hip1 indices-subset-formulas[of I S A] by auto
have 2: finite (indices-set-formulas A)
  using hip2 finite-set-indices by auto
have 3: card (indices-set-formulas A)  $\leq$  card( $\bigcup (S \cdot (\text{indices-set-formulas } A))$ )
  using 1 2 assms(2) by auto
have  $\forall J \subseteq (\text{indices-set-formulas } A). \text{card } J \leq \text{card}(\bigcup (S \cdot J))$ 
proof(rule allI)
  fix J
  show  $J \subseteq \text{indices-set-formulas } A \rightarrow \text{card } J \leq \text{card}(\bigcup (S \cdot J))$ 
  proof(rule impI)
    assume hip:  $J \subseteq (\text{indices-set-formulas } A)$ 
    hence 4: finite J
      using 2 rev-finite-subset by auto
    have  $J \subseteq I$  using hip 1 by auto
    thus  $\text{card } J \leq \text{card}(\bigcup (S \cdot J))$  using 4 assms(2) by auto
  qed
qed
thus satisfiable A
  using 0 assms(1) hip1 hip2 finite-is-satisfiable[of I S A] by auto
qed
thus satisfiable ( $\mathcal{T} S I$ )
  using Compactness-Theorem by auto
qed

fun SDR ::  $(('a \times 'b) \Rightarrow v\text{-truth}) \Rightarrow ('a \Rightarrow 'b \text{ set}) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'b)$ 
  where
   $SDR M S I = (\lambda i. (\text{THE } x. (t\text{-v-evaluation } M (\text{atom } (i,x)) = Ttrue) \wedge x \in (S i)))$ 

lemma existence-representants:
assumes  $i \in I$  and  $M$  model ( $\mathcal{F} S I$ ) and finite( $S i$ )
shows  $\exists x. (t\text{-v-evaluation } M (\text{atom } (i,x)) = Ttrue) \wedge x \in (S i)$ 
proof-
  from  $\langle i \in I \rangle$ 
  have (disjunction-atomic (set-to-list ( $S i$ ))  $i$ )  $\in (\mathcal{F} S I)$ 
    by(unfold F-def,auto)
  hence t-v-evaluation M (disjunction-atomic(set-to-list ( $S i$ ))  $i$ ) = Ttrue
    using assms(2) model-def[of M F S I] by auto
  hence 1:  $\exists x. x \in \text{set}(\text{set-to-list } (S i)) \wedge (t\text{-v-evaluation } M (\text{atom } (i,x)) = Ttrue)$ 
    using t-v-evaluation-atom[of M (set-to-list ( $S i$ ))  $i$ ] by auto
  thus  $\exists x. (t\text{-v-evaluation } M (\text{atom } (i,x)) = Ttrue) \wedge x \in (S i)$ 
    using ⟨finite( $S i$ )⟩ set-set-to-list[of ( $S i$ )] by auto
qed

lemma unicity-representants:
shows  $\forall y. (x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I) \rightarrow (\neg.(\text{atom } (i,x) \wedge \text{atom}(i,y)) \in (\mathcal{G} S I))$ 

```

```

proof(rule allI)
  fix y
  show  $x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I \longrightarrow$ 
     $(\neg.(atom(i,x) \wedge atom(i,y)) \in (\mathcal{G} S I))$ 
proof(rule impI)
  assume  $x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I$ 
  thus  $\neg.(atom(i,x) \wedge atom(i,y)) \in (\mathcal{G} S I)$ 
  by(unfold  $\mathcal{G}$ -def, auto)
qed
qed

lemma uniqueness-selection-representants:
assumes  $i \in I$  and  $M$  model  $(\mathcal{G} S I)$ 
shows  $\forall y. (x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I) \longrightarrow$ 
  (t-v-evaluation  $M (\neg.(atom(i,x) \wedge atom(i,y))) = Ttrue$ )
proof-
  have  $\forall y. (x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I) \longrightarrow$ 
     $(\neg.(atom(i,x) \wedge atom(i,y)) \in (\mathcal{G} S I))$ 
  using uniqueness-representants[of  $x S i$ ] by auto
  thus  $\forall y. (x \in (S i) \wedge y \in (S i) \wedge x \neq y \wedge i \in I) \longrightarrow$ 
    (t-v-evaluation  $M (\neg.(atom(i,x) \wedge atom(i,y))) = Ttrue$ )
  using assms(2) model-def[of  $M \mathcal{G} S I$ ] by blast
qed

lemma uniqueness-satisfaction:
assumes t-v-evaluation  $M (atom(i,x)) = Ttrue \wedge x \in (S i)$  and
   $\forall y. y \in (S i) \wedge x \neq y \longrightarrow t\text{-v-evaluation } M (atom(i,y)) = Ffalse$ 
shows  $\forall z. t\text{-v-evaluation } M (atom(i,z)) = Ttrue \wedge z \in (S i) \longrightarrow z = x$ 
proof(rule allI)
  fix z
  show t-v-evaluation  $M (atom(i,z)) = Ttrue \wedge z \in (S i) \longrightarrow z = x$ 
proof(rule impI)
  assume hip: t-v-evaluation  $M (atom(i,z)) = Ttrue \wedge z \in (S i)$ 
  show  $z = x$ 
  proof(rule ccontr)
    assume 1:  $z \neq x$ 
    have 2:  $z \in (S i)$  using hip by auto
    hence t-v-evaluation  $M (atom(i,z)) = Ffalse$  using 1 assms(2) by auto
    thus False using hip by auto
  qed
  qed
qed

lemma uniqueness-satisfaction-in-Si:
assumes t-v-evaluation  $M (atom(i,x)) = Ttrue \wedge x \in (S i)$  and
   $\forall y. y \in (S i) \wedge x \neq y \longrightarrow (t\text{-v-evaluation } M (\neg.(atom(i,x) \wedge atom(i,y)))) = Ttrue$ 
shows  $\forall y. y \in (S i) \wedge x \neq y \longrightarrow t\text{-v-evaluation } M (atom(i,y)) = Ffalse$ 
proof(rule allI, rule impI)

```

```

fix y
assume hip:  $y \in S_i \wedge x \neq y$ 
show t-v-evaluation M (atom (i, y)) = Ffalse
proof(rule ccontr)
  assume t-v-evaluation M (atom (i, y))  $\neq$  Ffalse
  hence t-v-evaluation M (atom (i, y)) = Ttrue using Bivaluation by blast
  hence 1: t-v-evaluation M (atom (i,x)  $\wedge$ . atom(i,y)) = Ttrue
    using assms(1) v-conjunction-def by auto
  have t-v-evaluation M ( $\neg$ .(atom (i,x)  $\wedge$ . atom(i,y))) = Ttrue
    using hip assms(2) by auto
  hence t-v-evaluation M (atom (i,x)  $\wedge$ . atom(i,y)) = Ffalse
    using NegationValues2 by blast
  thus False using 1 by auto
qed
qed

```

**lemma** uniqueness-aux1:

**assumes** t-v-evaluation M (atom (i,x)) = Ttrue  $\wedge$   $x \in (S_i)$   
**and**  $\forall y. y \in (S_i) \wedge x \neq y \longrightarrow$  (t-v-evaluation M ( $\neg$ .(atom (i,x)  $\wedge$ . atom(i,y))) = Ttrue)  
**shows**  $\forall z. t\text{-v-evaluation } M (\text{atom } (i, z)) = T\text{true} \wedge z \in (S_i) \longrightarrow z = x$   
**using** assms uniqueness-satisfaction-in-Si[of M i x] uniqueness-satisfaction[of M i x] **by** blast

**lemma** uniqueness-aux2:

**assumes** t-v-evaluation M (atom (i,x)) = Ttrue  $\wedge$   $x \in (S_i)$  **and**  
 $(\bigwedge z. t\text{-v-evaluation } M (\text{atom } (i, z)) = T\text{true} \wedge z \in (S_i)) \implies z = x$   
**shows** (THE a. (t-v-evaluation M (atom (i,a)) = Ttrue)  $\wedge$   $a \in (S_i)$ ) = x  
**using** assms **by**(rule the-equality)

**lemma** uniqueness-aux:

**assumes** t-v-evaluation M (atom (i,x)) = Ttrue  $\wedge$   $x \in (S_i)$  **and**  
 $\forall y. y \in (S_i) \wedge x \neq y \longrightarrow$  (t-v-evaluation M ( $\neg$ .(atom (i,x)  $\wedge$ . atom(i,y))) = Ttrue)  
**shows** (THE a. (t-v-evaluation M (atom (i,a)) = Ttrue)  $\wedge$   $a \in (S_i)$ ) = x  
**using** assms uniqueness-aux1[of M i x] uniqueness-aux2[of M i x] **by** blast

**lemma** function-SDR:

**assumes**  $i \in I$  **and** M model ( $\mathcal{F} S I$ ) **and** M model ( $\mathcal{G} S I$ ) **and** finite( $S_i$ )  
**shows**  $\exists!x. (t\text{-v-evaluation } M (\text{atom } (i,x)) = T\text{true}) \wedge x \in (S_i) \wedge (SDR \ M S I_i) = x$   
**proof**–

**have**  $\exists x. (t\text{-v-evaluation } M (\text{atom } (i,x)) = T\text{true}) \wedge x \in (S_i)$   
**using** assms(1–2,4) existence-representants **by** auto  
**then obtain** x **where** x: (t-v-evaluation M (atom (i,x)) = Ttrue)  $\wedge$   $x \in (S_i)$   
**by** auto  
**moreover**  
**have**  $\forall y. (x \in (S_i) \wedge y \in (S_i) \wedge x \neq y \wedge i \in I) \longrightarrow$   
 $(t\text{-v-evaluation } M (\neg.(\text{atom } (i,x) \wedge. \text{atom}(i,y))) = T\text{true})$

```

using assms(1,3) unicity-selection-representants[of i I M S] by auto
hence (THE a. (t-v-evaluation M (atom (i,a)) = Ttrue)  $\wedge$  a $\in$ (S i)) = x
using x  $\langle$ i  $\in$  I $\rangle$  uniqueness-aux[of M i x] by auto
hence SDR M S I i = x by auto
hence (t-v-evaluation M (atom (i,x)) = Ttrue  $\wedge$  x  $\in$  (S i))  $\wedge$  SDR M S I i = x
using x by auto
thus ?thesis by auto
qed

```

**lemma** aux-for- $\mathcal{H}$ -formulas:

**assumes**

```

(t-v-evaluation M (atom (i,a)) = Ttrue)  $\wedge$  a  $\in$  (S i)
and (t-v-evaluation M (atom (j,b)) = Ttrue)  $\wedge$  b  $\in$  (S j)
and i $\in$ I  $\wedge$  j $\in$ I  $\wedge$  i $\neq$ j
and (a  $\in$  (S i)  $\cap$  (S j)  $\wedge$  i $\in$ I  $\wedge$  j $\in$ I  $\wedge$  i $\neq$ j  $\longrightarrow$ 
(t-v-evaluation M ( $\neg$ .(atom (i,a)  $\wedge$ . atom(j,a))) = Ttrue))
shows a  $\neq$  b

```

**proof**(rule ccontr)

```

assume  $\neg$  a  $\neq$  b
hence hip: a=b by auto
hence t-v-evaluation M (atom (i, a)) = Ttrue and t-v-evaluation M (atom (j,
a)) = Ttrue
using assms by auto
hence t-v-evaluation M (atom (i, a)  $\wedge$ . atom(j,a)) = Ttrue using v-conjunction-def
by auto
hence t-v-evaluation M ( $\neg$ .(atom (i, a)  $\wedge$ . atom(j,a))) = Ffalse
using v-negation-def by auto
moreover
have a  $\in$  (S i)  $\cap$  (S j) using hip assms(1-2) by auto
hence t-v-evaluation M ( $\neg$ .(atom (i, a)  $\wedge$ . atom(j, a))) = Ttrue
using assms(3-4) by auto
ultimately show False by auto
qed

```

**lemma** model-of-all:

```

assumes M model ( $\mathcal{T}$  S I)
shows M model ( $\mathcal{F}$  S I) and M model ( $\mathcal{G}$  S I) and M model ( $\mathcal{H}$  S I)
proof(unfold model-def)
show  $\forall F \in \mathcal{F} S I$ . t-v-evaluation M F = Ttrue
proof
fix F
assume F $\in$  ( $\mathcal{F}$  S I) hence F $\in$ ( $\mathcal{T}$  S I) by(unfold  $\mathcal{T}$ -def, auto)
thus t-v-evaluation M F = Ttrue using assms by(unfold model-def, auto)
qed
next
show  $\forall F \in (\mathcal{G} S I)$ . t-v-evaluation M F = Ttrue
proof
fix F
assume F $\in$ ( $\mathcal{G}$  S I) hence F $\in$ ( $\mathcal{T}$  S I) by(unfold  $\mathcal{T}$ -def, auto)

```

```

thus t-v-evaluation M F = Ttrue using assms by(unfold model-def, auto)
qed
next
show ∀ F ∈ (H S I). t-v-evaluation M F = Ttrue
proof
fix F
assume F ∈ (H S I) hence F ∈ (T S I) by(unfold T-def, auto)
thus t-v-evaluation M F = Ttrue using assms by(unfold model-def, auto)
qed
qed

```

**lemma** sets-have-distinct-representants:

assumes

hip1:  $i \in I$  and hip2:  $j \in I$  and hip3:  $i \neq j$  and hip4:  $M$  model  $(T S I)$   
and hip5:  $\text{finite}(S i)$  and hip6:  $\text{finite}(S j)$   
shows  $\text{SDR } M S I i \neq \text{SDR } M S I j$

proof—

have 1:  $M$  model  $\mathcal{F} S I$  and 2:  $M$  model  $\mathcal{G} S I$

using hip4 model-of-all by auto

hence  $\exists !x. (\text{t-v-evaluation } M (\text{atom } (i, x)) = \text{Ttrue}) \wedge x \in (S i) \wedge \text{SDR } M S I i = x$

using hip1 hip4 hip5 function-SDR[of  $i I M S$ ] by auto

then obtain  $x$  where

$x1: (\text{t-v-evaluation } M (\text{atom } (i, x)) = \text{Ttrue}) \wedge x \in (S i)$  and  $x2: \text{SDR } M S I i = x$   
by auto

have  $\exists !y. (\text{t-v-evaluation } M (\text{atom } (j, y)) = \text{Ttrue}) \wedge y \in (S j) \wedge \text{SDR } M S I j = y$   
using 1 2 hip2 hip4 hip6 function-SDR[of  $j I M S$ ] by auto

then obtain  $y$  where

$y1: (\text{t-v-evaluation } M (\text{atom } (j, y)) = \text{Ttrue}) \wedge y \in (S j)$  and  $y2: \text{SDR } M S I j = y$   
by auto

have  $(x \in (S i) \cap (S j) \wedge i \in I \wedge j \in I \wedge i \neq j) \rightarrow$   
 $(\neg(\text{atom } (i, x) \wedge \text{atom } (j, x)) \in (H S I))$

by(unfold H-def, auto)

hence  $(x \in (S i) \cap (S j) \wedge i \in I \wedge j \in I \wedge i \neq j) \rightarrow$

$(\neg(\text{atom } (i, x) \wedge \text{atom } (j, x)) \in (T S I))$

by(unfold T-def, auto)

hence  $(x \in (S i) \cap (S j) \wedge i \in I \wedge j \in I \wedge i \neq j) \rightarrow$

$(\text{t-v-evaluation } M (\neg(\text{atom } (i, x) \wedge \text{atom } (j, x))) = \text{Ttrue})$

using hip4 model-def[of  $M T S I$ ] by auto

hence  $x \neq y$  using  $x1 y1$  assms(1–3) aux-for-H-formulas[of  $M i x S j y I$ ]

by auto

thus ?thesis using  $x2 y2$  by auto

qed

**lemma** satisfiable-representant:

assumes satisfiable  $(T S I)$  and  $\forall i \in I. \text{finite } (S i)$

```

shows  $\exists R. \text{system-representatives } S I R$ 
proof-
  from assms have  $\exists M. M \text{ model } (\mathcal{T} S I)$  by(unfold satisfiable-def)
  then obtain M where  $M: M \text{ model } (\mathcal{T} S I)$  by auto
  hence system-representatives  $S I (SDR M S I)$ 
  proof(unfold system-representatives-def)
    show  $(\forall i \in I. (SDR M S I i) \in (S i)) \wedge \text{inj-on } (SDR M S I) I$ 
    proof(rule conjI)
      show  $\forall i \in I. (SDR M S I i) \in (S i)$ 
      proof
        fix i
        assume i:  $i \in I$ 
        have  $M \text{ model } \mathcal{F} S I \text{ and } 2: M \text{ model } \mathcal{G} S I$  using M model-of-all
          by auto
        thus  $(SDR M S I i) \in (S i)$ 
          using i M assms(2) model-of-all[of M S I]
            function-SDR[of i I M S ] by auto
      qed
    next
      show inj-on  $(SDR M S I) I$ 
      proof(unfold inj-on-def)
        show  $\forall i \in I. \forall j \in I. SDR M S I i = SDR M S I j \rightarrow i = j$ 
        proof
          fix i
          assume 1:  $i \in I$ 
          show  $\forall j \in I. SDR M S I i = SDR M S I j \rightarrow i = j$ 
          proof
            fix j
            assume 2:  $j \in I$ 
            show  $SDR M S I i = SDR M S I j \rightarrow i = j$ 
            proof(rule ccontr)
              assume  $\neg (SDR M S I i = SDR M S I j \rightarrow i = j)$ 
              hence 5:  $SDR M S I i = SDR M S I j \text{ and } 6: i \neq j$  by auto
              have 3: finite(S i) and 4: finite(S j) using 1 2 assms(2) by auto
              have  $SDR M S I i \neq SDR M S I j$ 
                using 1 2 3 4 6 M sets-have-distinct-representants[of i I j M S] by
                auto
              thus False using 5 by auto
            qed
          qed
        qed
      qed
    qed
  qed
thus  $\exists R. \text{system-representatives } S I R$  by auto
qed

```

**theorem Hall:**  
**fixes**  $S :: ('a::countable \Rightarrow 'b::countable set)$  **and**  $I :: 'a set$

```

assumes Finite:  $\forall i \in I. \text{finite } (S i)$ 
and Marriage:  $\forall J \subseteq I. \text{finite } J \longrightarrow \text{card } J \leq \text{card } (\bigcup (S ' J))$ 
shows  $\exists R. \text{system-representatives } S I R$ 
proof-
  have satisfiable ( $\mathcal{T} S I$ ) using assms all-formulas-satisfiable[of I] by auto
  thus ?thesis using Finite Marriage satisfiable-representant[of S I] by auto
qed

```

```

theorem marriage-necessity:
fixes A :: 'a ⇒ 'b set and I :: 'a set
assumes ∀ i ∈ I. finite (A i)
and ∃ R. (∀ i ∈ I. R i ∈ A i) ∧ inj-on R I (is ∃ R. ?R R A & ?inj R A)
shows ∀ J ⊆ I. finite J → card J ≤ card (bigcup(A ' J))

```

```

proof clarify
fix J
assume J ⊆ I and 1: finite J
show card J ≤ card (bigcup(A ' J))
proof-
  from assms(2) obtain R where ?R R A and ?inj R A by auto
  have inj-on R J by(rule subset-inj-on[OF ‹?inj R A› ‹J ⊆ I›])
  moreover have (R ' J) ⊆ (bigcup(A ' J)) using ‹J ⊆ I› ‹?R R A› by auto
  moreover have finite (bigcup(A ' J)) using ‹J ⊆ I› 1 assms
    by auto
  ultimately show ?thesis by (rule card-inj-on-le)
qed
qed

```

end

```

theory Hall-Theorem-Graphs
imports
  Background-on-graphs
  HOL-Library.Countable-Set
  Hall-Theorem

```

begin

## 8 Hall Theorem for countable (infinite) Graphs

This section formalizes Hall Theorem for countable infinite Graphs ([5]). The proof applied a proof of Hall's theorem for countable infinite families of sets, obtained by the authors directly from the compactness theorem for propositional logic. The proof is based on Smullyan's approach given in the third chapter of his influential textbook on mathematical logic [3], based on Henkin's model existence theorem. It follows the impeccable presentation in Fitting's textbook [1].

**definition** *dirBD-to-Hall*:

$('a, 'b) \text{ pre-digraph} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'a \text{ set}) \Rightarrow \text{bool}$

**where**

$\text{dirBD-to-Hall } G X Y I S \equiv$

$\text{dir-bipartite-digraph } G X Y \wedge I = X \wedge (\forall v \in I. (S v) = (\text{neighbourhood } G v))$

**theorem** *dir-BD-to-Hall*:

$\text{dirBD-perfect-matching } G X Y E \longrightarrow$

$\text{system-representatives } (\text{neighbourhood } G) X (\text{E-head } G E)$

**proof**(rule *impI*)

**assume**  $\text{dirBD-pm : dirBD-perfect-matching } G X Y E$

**show**  $\text{system-representatives } (\text{neighbourhood } G) X (\text{E-head } G E)$

**proof-**

**have**  $wS : \text{dirBD-to-Hall } G X Y X (\text{neighbourhood } G)$

**using**  $\text{dirBD-pm}$

**by**(*unfold dirBD-to-Hall-def, unfold dirBD-perfect-matching-def,*

*unfold dirBD-matching-def, auto*)

**have**  $\text{arc: } E \subseteq \text{arcs } G$  **using**  $\text{dirBD-pm}$

**by**(*unfold dirBD-perfect-matching-def, unfold dirBD-matching-def, auto*)

**have**  $a: \forall i. i \in X \longrightarrow \text{E-head } G E i \in \text{neighbourhood } G i$

**proof**(rule *allI*)

**fix**  $i$

**show**  $i \in X \longrightarrow \text{E-head } G E i \in \text{neighbourhood } G i$

**proof-**

**have**  $\beta: \exists !e \in E. \text{tail } G e = i$

**using**  $1 \text{ dirBD-pm Edge-unicity-in-dirBD-P-matching [of } X G Y E \text{ ]}$

**by** *auto*

**then obtain**  $e$  **where**  $\beta: e \in E \wedge \text{tail } G e = i$  **by** *auto*

**thus**  $\text{E-head } G E i \in \text{neighbourhood } G i$

**using**  $\text{dirBD-pm } 1 \ 3 \ \text{E-head-in-neighbourhood}[ \text{of } G X Y E e i]$

**by** (*unfold dirBD-perfect-matching-def, auto*)

**qed**

**qed**

**qed**

**thus**  $\text{system-representatives } (\text{neighbourhood } G) X (\text{E-head } G E)$

**using**  $a \text{ dirBD-pm dirBD-matching-inj-on [of } G X Y E \text{]}$

**by** (*unfold system-representatives-def, auto*)

**qed**

**qed**

**lemma** *marriage-necessary-graph*:

**assumes**  $(\text{dirBD-perfect-matching } G X Y E)$  **and**  $\forall i \in X. \text{finite } (\text{neighbourhood } G i)$

**shows**  $\forall J \subseteq X. \text{finite } J \longrightarrow (\text{card } J) \leq \text{card } (\bigcup (\text{neighbourhood } G ' J))$

```

proof(rule allI, rule impI)
  fix J
  assume hip1:  $J \subseteq X$ 
  show finite J  $\longrightarrow$  card J  $\leq$  card  $(\bigcup (\text{neighbourhood } G \setminus J))$ 
  proof
    assume hip2: finite J
    show card J  $\leq$  card  $(\bigcup (\text{neighbourhood } G \setminus J))$ 
    proof-
      have  $\exists R. (\forall i \in X. R i \in \text{neighbourhood } G i) \wedge \text{inj-on } R X$ 
      using assms dir-BD-to-Hall[of G X Y E]
      by(unfold system-representatives-def, auto)
      thus ?thesis using assms(2) marriage-necessity[of X neighbourhood G] hip1
hip2 by auto
      qed
      qed
    qed
  lemma neighbour3:
    fixes G :: ('a, 'b) pre-digraph and X:: 'a set
    assumes dir-bipartite-digraph G X Y and  $x \in X$ 
    shows neighbourhood G x =  $\{y \mid y. \exists e. e \in \text{arcs } G \wedge ((x = \text{tail } G e) \wedge (y = \text{head } G e))\}$ 
    proof
      show neighbourhood G x  $\subseteq$   $\{y \mid y. \exists e. e \in \text{arcs } G \wedge x = \text{tail } G e \wedge y = \text{head } G e\}$ 
    proof
      fix z
      assume hip:  $z \in \text{neighbourhood } G x$ 
      show  $z \in \{y \mid y. \exists e. e \in \text{arcs } G \wedge x = \text{tail } G e \wedge y = \text{head } G e\}$ 
      proof-
        have neighbour G z x using hip by(unfold neighbourhood-def, auto)
        hence  $\exists e. e \in \text{arcs } G \wedge ((z = (\text{head } G e) \wedge x = (\text{tail } G e)) \vee ((x = (\text{head } G e) \wedge z = (\text{tail } G e))))$ 
        using assms by (unfold neighbour-def, auto)
        hence  $\exists e. e \in \text{arcs } G \wedge (z = (\text{head } G e) \wedge x = (\text{tail } G e))$ 
        using assms
          by(unfold dir-bipartite-digraph-def, unfold bipartite-digraph-def, unfold tails-def, blast)
        thus ?thesis by auto
      qed
      qed
    next
    show  $\{y \mid y. \exists e. e \in \text{arcs } G \wedge x = \text{tail } G e \wedge y = \text{head } G e\} \subseteq \text{neighbourhood } G x$ 
    proof
      fix z
      assume hip1:  $z \in \{y \mid y. \exists e. e \in \text{arcs } G \wedge x = \text{tail } G e \wedge y = \text{head } G e\}$ 
      thus  $z \in \text{neighbourhood } G x$ 
      by(unfold neighbourhood-def, unfold neighbour-def, auto)

```

```

qed
qed

lemma perfect:
  fixes  $G :: ('a, 'b) \text{ pre-digraph}$  and  $X :: 'a \text{ set}$ 
  assumes  $\text{dir-bipartite-digraph } G X Y$  and  $\text{system-representatives (neighbourhood } G) X R$ 
  shows  $\text{tails-set } G \{e | e. e \in (\text{arcs } G) \wedge ((\text{tail } G e) \in X \wedge (\text{head } G e) = R(\text{tail } G e))\} = X$ 
  proof(unfold tails-set-def)
    let  $?E = \{e | e. e \in (\text{arcs } G) \wedge ((\text{tail } G e) \in X \wedge (\text{head } G e) = R(\text{tail } G e))\}$ 
    show  $\{\text{tail } G e | e. e \in ?E \wedge ?E \subseteq \text{arcs } G\} = X$ 
    proof
      show  $\{\text{tail } G e | e. e \in ?E \wedge ?E \subseteq \text{arcs } G\} \subseteq X$ 
    proof
      fix  $x$ 
      assume  $\text{hip1: } x \in \{\text{tail } G e | e. e \in ?E \wedge ?E \subseteq \text{arcs } G\}$ 
      show  $x \in X$ 
      proof-
        have  $\exists e. x = \text{tail } G e \wedge e \in ?E \wedge ?E \subseteq \text{arcs } G$  using hip1 by auto
        then obtain  $e$  where  $e: x = \text{tail } G e \wedge e \in ?E \wedge ?E \subseteq \text{arcs } G$  by auto
        thus  $x \in X$ 
        using assms(1) by(unfold dir-bipartite-digraph-def, unfold tails-def, auto)
      qed
    qed
    next
    show  $X \subseteq \{\text{tail } G e | e. e \in ?E \wedge ?E \subseteq \text{arcs } G\}$ 
    proof
      fix  $x$ 
      assume  $\text{hip2: } x \in X$ 
      show  $x \in \{\text{tail } G e | e. e \in ?E \wedge ?E \subseteq \text{arcs } G\}$ 
      proof-
        have  $R(x) \in \text{neighbourhood } G x$ 
        using assms(2) hip2 by (unfold system-representatives-def, auto)
        hence  $\exists e. e \in \text{arcs } G \wedge (x = \text{tail } G e \wedge R(x) = (\text{head } G e))$ 
        using assms(1) hip2 neighbour3[of  $G X Y$ ] by auto
        moreover
        have  $?E \subseteq \text{arcs } G$  by auto
        ultimately show ?thesis
        using hip2 assms(1) by(unfold dir-bipartite-digraph-def, unfold tails-def,
        auto)
      qed
    qed
    qed
  qed

lemma dirBD-matching:
  fixes  $G :: ('a, 'b) \text{ pre-digraph}$  and  $X :: 'a \text{ set}$ 
  assumes  $\text{dir-bipartite-digraph } G X Y$  and  $R: \text{system-representatives (neighbourhood } G)$ 

```

```

G) X R
  and e1 ∈ arcs G ∧ tail G e1 ∈ X and e2 ∈ arcs G ∧ tail G e2 ∈ X
  and R(tail G e1) = head G e1
  and R(tail G e2) = head G e2
shows e1 ≠ e2 → head G e1 ≠ head G e2 ∧ tail G e1 ≠ tail G e2
proof
  assume hip: e1 ≠ e2
  show head G e1 ≠ head G e2 ∧ tail G e1 ≠ tail G e2
  proof-
    have (e1 = e2) = (head G e1 = head G e2 ∧ tail G e1 = tail G e2)
      using assms(1) assms(3-4) by(unfold dir-bipartite-digraph-def, auto)
    hence 1: tail G e1 = tail G e2 → head G e1 ≠ head G e2
      using hip assms(1) by auto
    have 2: tail G e1 = tail G e2 → head G e1 = head G e2
      using assms(1-2) assms(5-6) by auto
    have 3: tail G e1 ≠ tail G e2
    proof(rule notI)
      assume *: tail G e1 = tail G e2
      thus False using 1 2 by auto
    qed
    have 4: tail G e1 ≠ tail G e2 → head G e1 ≠ head G e2
    proof
      assume **: tail G e1 ≠ tail G e2
      show head G e1 ≠ head G e2
        using ** assms(3-6) R inj-on-def[of R X]
          system-representatives-def[of (neighbourhood G) X R] by auto
      qed
      thus ?thesis using 3 by auto
    qed
  qed

```

```

lemma marriage-sufficiency-graph:
fixes G :: ('a::countable, 'b::countable) pre-digraph and X:: 'a set
assumes dir-bipartite-digraph G X Y and ∀ i∈X. finite (neighbourhood G i)
shows (∀ J⊆X. finite J → (card J) ≤ card (⋃ (neighbourhood G ` J))) →
  (∃ E. dirBD-perfect-matching G X Y E)
proof(rule impI)
  assume hip: ∀ J⊆X. finite J → card J ≤ card (⋃ (neighbourhood G ` J))
  show ∃ E. dirBD-perfect-matching G X Y E
  proof-
    have ∃ R. system-representatives (neighbourhood G) X R
      using assms hip Hall[of X neighbourhood G] by auto
    then obtain R where R: system-representatives (neighbourhood G) X R by
      auto
    let ?E = {e | e. e ∈ (arcs G) ∧ ((tail G e) ∈ X ∧ (head G e) = R (tail G e))} {
      have dirBD-perfect-matching G X Y ?E
      proof(unfold dirBD-perfect-matching-def, rule conjI)
        show dirBD-matching G X Y ?E
      qed
    }
  qed

```

```

proof(unfold dirBD-matching-def, rule conjI)
  show dir-bipartite-digraph G X Y using assms(1) by auto
next
  show ?E ⊆ arcs G ∧ (∀ e1∈?E. ∀ e2∈?E.
    e1 ≠ e2 → head G e1 ≠ head G e2 ∧ tail G e1 ≠ tail G e2)
  proof(rule conjI)
    show ?E ⊆ arcs G by auto
next
  show ∀ e1∈?E. ∀ e2∈?E. e1 ≠ e2 → head G e1 ≠ head G e2 ∧ tail G
e1 ≠ tail G e2
  proof
    fix e1
    assume H1: e1 ∈ ?E
    show ∀ e2∈ ?E. e1 ≠ e2 → head G e1 ≠ head G e2 ∧ tail G e1 ≠
tail G e2
    proof
      fix e2
      assume H2: e2 ∈ ?E
      show e1 ≠ e2 → head G e1 ≠ head G e2 ∧ tail G e1 ≠ tail G e2
      proof-
        have e1 ∈ (arcs G) ∧ ((tail G e1) ∈ X ∧ (head G e1) = R (tail G
e1)) using H1 by auto
        hence 1: e1 ∈ (arcs G) ∧ (tail G e1) ∈ X and 2: R (tail G e1) =
(head G e1) by auto
        have e2 ∈ (arcs G) ∧ ((tail G e2) ∈ X ∧ (head G e2) = R (tail G
e2)) using H2 by auto
        hence 3: e2 ∈ (arcs G) ∧ (tail G e2) ∈ X and 4: R (tail G e2) =
(head G e2) by auto
        show ?thesis using assms(1) R 1 2 3 4 assms(1) dirBD-matching[of
G X Y R e1 e2] by auto
        qed
        qed
        qed
        qed
        qed
next
  show tails-set G {e | e. e ∈ arcs G ∧ tail G e ∈ X ∧ head G e = R (tail G e)}
= X
  using perfect[of G X Y] assms(1) R by auto
  qed thus ?thesis by auto
  qed
  qed

```

**theorem** Hall-digraph:  
**fixes** G :: ('a::countable, 'b::countable) pre-digraph **and** X:: 'a set

```

assumes dir-bipartite-digraph G X Y and ∀ i∈X. finite (neighbourhood G i)
shows (∃ E. dirBD-perfect-matching G X Y E) ↔
(∀ J⊆X. finite J → (card J) ≤ card (∪ (neighbourhood G ` J)))
proof
  assume hip1: ∃ E. dirBD-perfect-matching G X Y E
  show (∀ J⊆X. finite J → (card J) ≤ card (∪ (neighbourhood G ` J)))
    using hip1 assms(1–2) marriage-necessary-graph[of G X Y] by auto
next
  assume hip2: ∀ J⊆X. finite J → card J ≤ card (∪ (neighbourhood G ` J))
  show ∃ E. dirBD-perfect-matching G X Y E using assms marriage-sufficiency-graph[of
G X Y] hip2
  proof–
    have (∀ J⊆X. finite J → (card J) ≤ card (∪ (neighbourhood G ` J)))
      → (∃ E. dirBD-perfect-matching G
X Y E)
      using assms marriage-sufficiency-graph[of G X Y] by auto
    thus ?thesis using hip2 by auto
  qed
qed

```

```

locale set-family =
fixes I :: 'a set and X :: 'a ⇒ 'b set

locale sdr = set-family +
fixes repr :: 'a ⇒ 'b
assumes inj-repr: inj-on repr I and repr-X: x ∈ I ⇒ repr x ∈ X x

locale bipartite-digraph =
fixes X :: 'a set and Y :: 'b set and E :: ('a × 'b) set
assumes E-subset: E ⊆ X × Y

locale Count-Nbhdfin-bipartite-digraph =
fixes X :: 'a:: countable set and Y :: 'b:: countable set
and E :: ('a × 'b) set
assumes E-subset: E ⊆ X × Y

assumes Nbhd-Tail-finite: ∀ x ∈ X. finite {y. (x, y) ∈ E}

locale matching = bipartite-digraph +

```

```

fixes M :: ('a × 'b) set
assumes M-subset: M ⊆ E
assumes M-right-unique: (x, y) ∈ M ⇒ (x, y') ∈ M ⇒ y = y'
assumes M-left-unique: (x, y) ∈ M ⇒ (x', y) ∈ M ⇒ x = x'

```

```

locale perfect-matching = matching +
assumes M-perfect: fst ` M = X

```

```

lemma (in sdr) perfect-matching:
  perfect-matching I (UNION i ∈ I. X i) (Sigma I X) {(x, repr x)|x. x ∈ I}
  by unfold-locales (use inj-repr repr-X in ⟨force simp: inj-on-def⟩)+
```

```

lemma (in perfect-matching) sdr: sdr X (λx. {y. (x,y) ∈ E}) (λx. the-elem {y.
(x,y) ∈ M})
proof unfold-locales
  define Y where Y = (λx. {y. (x,y) ∈ M})
  have Y: ∃ y. Y x = {y} if x ∈ X for x
    using that M-right-unique M-perfect unfolding Y-def by fastforce
  show inj-on (λx. the-elem (Y x)) X
    unfolding Y-def inj-on-def
    by (metis (mono-tags, lifting) M-left-unique Y Y-def mem-Collect-eq singletonI
the-elem-eq)
  show the-elem (Y x) ∈ {y. (x, y) ∈ E} if x ∈ X for x
    using Y M-subset Y-def ⟨x ∈ X⟩ by fastforce
  qed

```

From these transformations, the formalization of the countable version of Hall's Theorem for Graphs (more specifically, its sufficiency) can be stated as below; in words "if for any finite  $X_s \subseteq X$  the subgraph induced by  $X_s$  has a perfect matching then the whole graph has a perfect matching"

```

theorem (in Count-Nbhdfin-bipartite-digraph) Hall-Graph:
assumes ∃ g. enumeration (g:: nat ⇒ 'a) and ∃ h. enumeration (h:: nat ⇒ 'b)
shows (∀ Xs ⊆ X. (finite Xs) —>
  (∃ Ms. perfect-matching Xs
    {y. x ∈ Xs ∧ (x,y) ∈ E}
    {(x,y). x ∈ Xs ∧ (x,y) ∈ E}
    Ms))
  —> (∃ M. perfect-matching X Y E M)
proof(unfold-locales, rule impI)
assume premissse1: (∀ Xs ⊆ X. (finite Xs) —>
  (∃ Ms. perfect-matching Xs
    {y. x ∈ Xs ∧ (x,y) ∈ E}
    {(x,y). x ∈ Xs ∧ (x,y) ∈ E}
    Ms))

```

```

show ( $\exists M. \text{perfect-matching } X Y E M$ )
proof-
  have  $A: \forall Xs \subseteq X. \text{finite } Xs \longrightarrow \text{card } Xs \leq \text{card } (\bigcup ((\lambda x. \{y. (x,y) \in E\}) ` Xs))$ 
  proof(rule allI, rule impI)
    fix  $Xs$ 
    define  $Ys$  where  $Ys = \{y. x \in Xs \wedge (x,y) \in E\}$ 
    define  $Es$  where  $Es = \{(x,y). x \in Xs \wedge (x,y) \in E\}$ 
    assume  $hip1: Xs \subseteq X$ 
    show  $\text{finite } Xs \longrightarrow \text{card } Xs \leq \text{card } (\bigcup ((\lambda x. \{y. (x,y) \in E\}) ` Xs))$ 
    proof
      assume  $hip2: \text{finite } Xs$ 
      show  $\text{card } Xs \leq \text{card } (\bigcup ((\lambda x. \{y. (x,y) \in E\}) ` Xs))$ 
      proof-
        have ( $\exists Ms. \text{perfect-matching } Xs Ys Es Ms$ )
        using  $hip1 \ hip2 \text{ premisses1 } Ys\text{-def } Es\text{-def by auto}$ 
        then obtain  $Ms$  where  $Ms: \text{perfect-matching } Xs Ys Es Ms$ 
        using  $Ys\text{-def } Es\text{-def by auto}$ 
        have  $sdrXs : sdr Xs (\lambda x. \{y. (x,y) \in Es\}) (\lambda x. \text{the-elem } \{y. (x,y) \in Ms\})$ 
        using  $Ms \text{ perfect-matching}.sdr[\text{of } Xs Ys Es Ms] \text{ by blast}$ 
        define  $Rs$  where  $Rs = (\lambda x. \text{the-elem } \{y. (x,y) \in Ms\})$ 
        have  $\text{inj-}Rs: \text{inj-on } Rs Xs$ 
        using  $sdrXs Rs\text{-def } sdr.inj-repr[\text{of } Xs (\lambda x. \{y. (x,y) \in Es\}) Rs] \text{ by auto}$ 
        have  $B: \forall x. x \in Xs \longrightarrow Rs x \in (\lambda x. \{y. (x,y) \in Es\}) x$ 
        proof(rule allI, rule impI)
          fix  $x$ 
          assume  $x \in Xs$ 
          thus  $Rs x \in (\lambda x. \{y. (x,y) \in Es\}) x$ 
            using  $sdrXs Rs\text{-def } sdr.repr-X[\text{of } Xs (\lambda x. \{y. (x,y) \in Es\}) Rs x]$ 
            by auto
        qed
        have  $YsE : Ys = (\bigcup x \in Xs. \{y. (x, y) \in E\})$ 
        proof
          show  $Ys \subseteq (\bigcup x \in Xs. \{y. (x, y) \in E\})$ 
          proof fix  $x$ 
            assume  $x \in Ys$ 
            thus  $x \in (\bigcup x \in Xs. \{y. (x, y) \in E\})$  using  $Ys\text{-def by blast}$ 
          qed
          next
          show  $(\bigcup x \in Xs. \{y. (x, y) \in E\}) \subseteq Ys$ 
          proof fix  $x$ 
            assume  $x \in (\bigcup x \in Xs. \{y. (x, y) \in E\})$ 
            thus  $x \in Ys$ 
              using  $Es\text{-def } Ms \text{ UN-iff bipartite-digraph.E-subset}$ 
              case-prodI matching-def mem-Collect-eq mem-Sigma-iff
              perfect-matching-def by fastforce
          qed
        qed
        have  $YsFin: \text{finite } Ys$ 

```

```

using Nbhd-Tail-finite Ys-def hip1 hip2 by fastforce
have (forall x in Xs. Rs x in (lambda x. {y. (x,y) in Es}) x) ∧ inj-on Rs Xs
  using B inj-Rs by auto
thus ?thesis using YsFin YsE Es-def card-inj-on-le[of Rs Xs Ys] by blast
qed
qed
qed
have premiss2: Count-Nbhdfin-bipartite-digraph X Y E
  by (simp add: Count-Nbhdfin-bipartite-digraph-axioms)
have X-countable : countable X by simp
have P2: ∃ R. system-representatives (lambda x. {y. (x,y) in E}) X R
  using premiss2 A Hall[of X (lambda x. {y. (x,y) in E})]
  Nbdd-Tail-finite by blast
then obtain R where system-representatives (lambda x. {y. (x, y) in E}) X R by
auto
hence sdr X (lambda x. {y. (x,y) in E}) R unfolding system-representatives-def
sdr-def by auto
hence ∃ M. perfect-matching X (∪ i in X. (lambda x. {y. (x,y) in E}) i) (Sigma X (lambda x.
{y. (x,y) in E})) M
  using sdr.perfect-matching[of X (lambda x. {y. (x,y) in E}) R] by auto
then obtain M
where PM0: perfect-matching X (∪ i in X. (lambda x. {y. (x,y) in E}) i)
  (Sigma X (lambda x. {y. (x,y) in E})) M by auto
have Ed2: E = (Sigma X (lambda x. {y. (x,y) in E}))
proof
show E ⊆ (SIGMA x:X. {y. (x, y) in E})
proof fix x
assume x in E
thus x in (SIGMA x:X. {y. (x, y) in E})
  using E-subset by blast
qed
next
show (SIGMA x:X. {y. (x, y) in E}) ⊆ E
proof fix x
assume x in (SIGMA x:X. {y. (x, y) in E})
thus x in E by blast
qed
qed
have PM1: perfect-matching X (∪ i in X. (lambda x. {y. (x,y) in E}) i) E M
  using PM0 Ed2 by auto
hence PM2: perfect-matching X Y E M
  using Count-Nbhdfin-bipartite-digraph-axioms unfolding matching-def per-
fect-matching-def
proof -
assume (bipartite-digraph X (∪ i in X. {y. (i, y) in E}) E ∧ matching-axioms
E M) ∧ perfect-matching-axioms X M
then show (bipartite-digraph X Y E ∧ matching-axioms E M) ∧ per-
fect-matching-axioms X M
  using E-subset bipartite-digraph.intro by blast

```

```

qed
thus  $PM : \exists M. \text{perfect-matching } X Y E M$  using  $PM2$  by auto
qed
qed

end

```

## 9 de Bruijn-Erdős k-coloring theorem for countable infinite graphs

This section formalizes de Bruijn-Erdős k-coloring theorem for countable infinite graphs. The construction applies the compactness theorem for propositional logic directly.

**type-synonym**  $'v \text{ digraph} = ('v \text{ set}) \times (('v \times 'v) \text{ set})$

**abbreviation**  $\text{vert} :: 'v \text{ digraph} \Rightarrow 'v \text{ set}$  ( $\langle V[-] \rangle [80]$ ) 80) **where**  
 $V[G] \equiv \text{fst } G$

**abbreviation**  $\text{edge} :: 'v \text{ digraph} \Rightarrow ('v \times 'v) \text{ set}$  ( $\langle E[-] \rangle [80]$ ) 80) **where**  
 $E[G] \equiv \text{snd } G$

**definition**  $\text{is-graph} :: 'v \text{ digraph} \Rightarrow \text{bool}$  **where**  
 $\text{is-graph } G \equiv \forall u v. (u,v) \in E[G] \longrightarrow u \in V[G] \wedge v \in V[G] \wedge u \neq v$

**definition**  $\text{is-induced-subgraph} :: 'v \text{ digraph} \Rightarrow 'v \text{ digraph} \Rightarrow \text{bool}$  **where**  
 $\text{is-induced-subgraph } H G \equiv$   
 $(V[H] \subseteq V[G]) \wedge E[H] = E[G] \cap ((V[H]) \times (V[H]))$

**lemma**  
**assumes**  $\text{is-graph } G$  **and**  $\text{is-induced-subgraph } H G$   
**shows**  $\text{is-graph } H$

**definition**  $\text{coloring} :: ('v \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow 'v \text{ digraph} \Rightarrow \text{bool}$  **where**  
 $\text{coloring } c k G \equiv$   
 $(\forall u. u \in V[G] \longrightarrow c(u) \leq k) \wedge (\forall u v. (u,v) \in E[G] \longrightarrow c(u) \neq c(v))$

**definition**  $\text{colorable} :: 'v \text{ digraph} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**  
 $\text{colorable } G k \equiv \exists c. \text{coloring } c k G$

**primrec**  $\text{atomic-disjunctions} :: 'v \Rightarrow \text{nat} \Rightarrow ('v \times \text{nat}) \text{ formula}$  **where**  
 $\text{atomic-disjunctions } v 0 = \text{atom } (v, 0)$

```

| atomic-disjunctions v (Suc k) =
  (atom (v, Suc k)) ∨. (atomic-disjunctions v k)

definition  $\mathcal{F}$  :: ' $v$  digraph  $\Rightarrow$  nat  $\Rightarrow$  ( $'v \times$  nat)formula set where
 $\mathcal{F} G k \equiv (\bigcup_{v \in V[G]} \{ \text{atomic-disjunctions } v \ k \})$ 

definition  $\mathcal{G}$  :: ' $v$  digraph  $\Rightarrow$  nat  $\Rightarrow$  ( $'v \times$  nat)formula set where
 $\mathcal{G} G k \equiv \{ \neg.(\text{atom } (v, i) \wedge. \text{atom}(v,j))$ 
|  $v \ i \ j. (v \in V[G]) \wedge (0 \leq i \wedge 0 \leq j \wedge i \leq k \wedge j \leq k \wedge i \neq j) \}$ 

definition  $\mathcal{H}$  :: ' $v$  digraph  $\Rightarrow$  nat  $\Rightarrow$  ( $'v \times$  nat)formula set where
 $\mathcal{H} G k \equiv \{ \neg.(\text{atom } (u, i) \wedge. \text{atom}(v,i))$ 
|  $u \ v \ i . (u \in V[G] \wedge v \in V[G] \wedge (u,v) \in E[G]) \wedge (0 \leq i \wedge i \leq k) \}$ 

definition  $\mathcal{T}$  :: ' $v$  digraph  $\Rightarrow$  nat  $\Rightarrow$  ( $'v \times$  nat)formula set where
 $\mathcal{T} G k \equiv (\mathcal{F} G k) \cup (\mathcal{G} G k) \cup (\mathcal{H} G k)$ 

primrec vertices-formula :: ( $'v \times$  nat)formula  $\Rightarrow$  ' $v$  set where
  vertices-formula FF = {}
| vertices-formula TT = {}
| vertices-formula (atom P) = {fst P}
| vertices-formula ( $\neg.$  F) = vertices-formula F
| vertices-formula (F  $\wedge.$  G) = vertices-formula F  $\cup$  vertices-formula G
| vertices-formula (F  $\vee.$  G) = vertices-formula F  $\cup$  vertices-formula G
| vertices-formula (F  $\rightarrow.$  G) = vertices-formula F  $\cup$  vertices-formula G

definition vertices-set-formulas :: ( $'v \times$  nat)formula set  $\Rightarrow$  ' $v$  set where
  vertices-set-formulas S = ( $\bigcup_{F \in S} \text{vertices-formula } F$ )

lemma finite-vertices:
  shows finite (vertices-formula F)
  by(induct F, auto)

lemma vertices-disjunction:
  assumes F = atomic-disjunctions v k shows vertices-formula F = {v}
  proof-
    have F = atomic-disjunctions v k  $\implies$  vertices-formula F = {v}
    proof(induct k arbitrary: F)
      case 0
      assume F = atomic-disjunctions v 0
      hence F = atom (v, 0) by auto
      thus vertices-formula F = {v} by auto
    next
      case(Suc k)
      have F = (atom (v, Suc k))  $\vee.$  (atomic-disjunctions v k)
      using Suc(2) by auto
      hence vertices-formula F = vertices-formula (atom (v, Suc k))  $\cup$  vertices-formula (atomic-disjunctions v k) by auto

```

```

hence vertices-formula  $F = \{v\} \cup$  vertices-formula (atomic-disjunctions  $v \ k$ )
  by auto
hence vertices-formula  $F = \{v\} \cup \{v\}$  using Suc(1) by auto
  thus vertices-formula  $F = \{v\}$  by auto
qed
thus ?thesis using assms by auto
qed

```

```

lemma all-vertices-colored:
  shows vertices-set-formulas ( $\mathcal{F} G k$ )  $\subseteq V[G]$ 
proof
  fix  $x$ 
  assume hip:  $x \in$  vertices-set-formulas ( $\mathcal{F} G k$ ) show  $x \in V[G]$ 
  proof-
    have  $x \in (\bigcup F \in (\mathcal{F} G k). \text{vertices-formula } F)$  using hip
      by(unfold vertices-set-formulas-def,auto)
    hence  $\exists F \in (\mathcal{F} G k). x \in \text{vertices-formula } F$  by auto
    then obtain  $F$  where  $F \in (\mathcal{F} G k)$  and  $x: x \in \text{vertices-formula } F$  by auto
    hence  $\exists v \in V[G]. F \in \{\text{atomic-disjunctions } v \ k\}$  by (unfold  $\mathcal{F}$ -def, auto)
    then obtain  $v$  where  $v: v \in V[G]$  and  $F \in \{\text{atomic-disjunctions } v \ k\}$  by auto
    hence  $F = \text{atomic-disjunctions } v \ k$  by auto
    hence vertices-formula  $F = \{v\}$ 
      using vertices-disjunction[ $OF \langle F = \text{atomic-disjunctions } v \ k \rangle$ ] by auto
    hence  $x = v$  using  $x$  by auto
    thus ?thesis using  $v$  by auto
  qed
qed

```

```

lemma vertices-maximumC:
  shows vertices-set-formulas( $\mathcal{G} G k$ )  $\subseteq V[G]$ 
proof
  fix  $x$ 
  assume hip:  $x \in$  vertices-set-formulas ( $\mathcal{G} G k$ ) show  $x \in V[G]$ 
  proof-
    have  $x \in (\bigcup F \in (\mathcal{G} G k). \text{vertices-formula } F)$  using hip
      by(unfold vertices-set-formulas-def,auto)
    hence  $\exists F \in (\mathcal{G} G k). x \in \text{vertices-formula } F$  by auto
    then obtain  $F$  where  $F \in (\mathcal{G} G k)$  and  $x: x \in \text{vertices-formula } F$ 
      by auto
    hence  $\exists v i j. v \in V[G] \wedge F = \neg(\text{atom } (v, i) \wedge \text{atom } (v, j))$ 
      by (unfold  $\mathcal{G}$ -def, auto)
    then obtain  $v i j$  where  $v \in V[G]$  and  $F = \neg(\text{atom } (v, i) \wedge \text{atom } (v, j))$ 
      by auto
    hence  $v: v \in V[G]$  and  $F = \neg(\text{atom } (v, i) \wedge \text{atom } (v, j))$  by auto
    hence  $v: v \in V[G]$  and vertices-formula  $F = \{v\}$  by auto
    thus  $x \in V[G]$  using  $x$  by auto
  qed
qed

```

```

lemma distinct-verticesC:
  shows vertices-set-formulas( $\mathcal{H} G k$ ) $\subseteq V[G]$ 
proof
  fix  $x$ 
  assume hip:  $x \in \text{vertices-set-formulas } (\mathcal{H} G k)$  show  $x \in V[G]$ 
  proof-
    have  $x \in (\bigcup F \in (\mathcal{H} G k). \text{vertices-formula } F)$  using hip
    by (unfold vertices-set-formulas-def, auto)
    hence  $\exists F \in (\mathcal{H} G k) . x \in \text{vertices-formula } F$  by auto
    then obtain  $F$  where  $F \in (\mathcal{H} G k)$  and  $x: x \in \text{vertices-formula } F$ 
      by auto
    hence  $\exists u v i . u \in V[G] \wedge v \in V[G] \wedge F = \neg(\text{atom } (u, i) \wedge \text{atom}(v, i))$ 
      by (unfold  $\mathcal{H}$ -def, auto)
    then obtain  $u v i$ 
      where  $u \in V[G]$  and  $v \in V[G]$  and  $F = \neg(\text{atom } (u, i) \wedge \text{atom}(v, i))$ 
      by auto
    hence  $u \in V[G]$  and  $v \in V[G]$  and  $F = \neg(\text{atom } (u, i) \wedge \text{atom}(v, i))$ 
      by auto
    hence  $u: u \in V[G]$  and  $v: v \in V[G]$  and vertices-formula  $F = \{u, v\}$ 
      by auto
    hence  $x = u \vee x = v$  using  $x$  by auto
    thus  $x \in V[G]$  using  $u v$  by auto
  qed
  qed

```

```

lemma vv:
  shows vertices-set-formulas( $A \cup B$ ) = ( $\text{vertices-set-formulas } A$ )  $\cup$  ( $\text{vertices-set-formulas } B$ )
  by (unfold vertices-set-formulas-def, auto)

```

```

lemma vv1:
  assumes  $F \in (\mathcal{F} G k)$ 
  shows ( $\text{vertices-formula } F$ )  $\subseteq$  ( $\text{vertices-set-formulas } (\mathcal{F} G k)$ )
proof
  fix  $x$ 
  assume hip:  $x \in \text{vertices-formula } F$ 
  show  $x \in \text{vertices-set-formulas } (\mathcal{F} G k)$ 
  proof-
    have  $\exists F. F \in (\mathcal{F} G k) \wedge x \in \text{vertices-formula } F$  using assms hip by auto
    thus ?thesis by (unfold vertices-set-formulas-def, auto)
  qed
  qed

```

```

lemma vv2:
  assumes  $F \in (\mathcal{G} G k)$ 
  shows ( $\text{vertices-formula } F$ )  $\subseteq$  ( $\text{vertices-set-formulas } (\mathcal{G} G k)$ )
proof
  fix  $x$ 

```

```

assume hip:  $x \in \text{vertices-formula } F$ 
show  $x \in \text{vertices-set-formulas } (\mathcal{G} G k)$ 
proof-
  have  $\exists F. F \in (\mathcal{G} G k) \wedge x \in \text{vertices-formula } F$  using assms hip by auto
  thus ?thesis by(unfold vertices-set-formulas-def, auto)
qed
qed

lemma vv3:
assumes  $F \in (\mathcal{H} G k)$ 
shows  $(\text{vertices-formula } F) \subseteq (\text{vertices-set-formulas } (\mathcal{H} G k))$ 
proof
  fix  $x$ 
  assume hip:  $x \in \text{vertices-formula } F$ 
  show  $x \in \text{vertices-set-formulas } (\mathcal{H} G k)$ 
  proof-
    have  $\exists F. F \in (\mathcal{H} G k) \wedge x \in \text{vertices-formula } F$  using assms hip by auto
    thus ?thesis by(unfold vertices-set-formulas-def, auto)
  qed
qed

```

```

lemma vertex-set-inclusion:
shows  $\text{vertices-set-formulas } (\mathcal{T} G k) \subseteq V[G]$ 
proof
  fix  $x$ 
  assume hip:  $x \in \text{vertices-set-formulas } (\mathcal{T} G k)$  show  $x \in V[G]$ 
  proof-
    have  $x \in \text{vertices-set-formulas } ((\mathcal{F} G k) \cup (\mathcal{G} G k) \cup (\mathcal{H} G k))$ 
    using hip by (unfold T-def, auto)
    hence  $x \in \text{vertices-set-formulas } ((\mathcal{F} G k) \cup (\mathcal{G} G k)) \cup$ 
     $\text{vertices-set-formulas } (\mathcal{H} G k)$ 
    using vv[of  $(\mathcal{F} G k) \cup (\mathcal{G} G k)$ ] by auto
    hence  $x \in \text{vertices-set-formulas } ((\mathcal{F} G k) \cup (\mathcal{G} G k)) \vee$ 
     $x \in \text{vertices-set-formulas } (\mathcal{H} G k)$ 
    by auto
    thus ?thesis
    proof(rule disjE)
      assume hip:  $x \in \text{vertices-set-formulas } (\mathcal{F} G k \cup \mathcal{G} G k)$ 
      hence  $x \in (\bigcup F \in (\mathcal{F} G k) \cup (\mathcal{G} G k). \text{vertices-formula } F)$ 
      by(unfold vertices-set-formulas-def, auto)
      then obtain  $F$ 
      where  $F: F \in (\mathcal{F} G k) \cup (\mathcal{G} G k)$  and  $x: x \in \text{vertices-formula } F$  by auto
      from  $F$  have  $(\text{vertices-formula } F) \subseteq (\text{vertices-set-formulas } (\mathcal{F} G k))$ 
       $\vee \text{vertices-formula } F \subseteq (\text{vertices-set-formulas } (\mathcal{G} G k))$ 
      using vv1 vv2 by blast
      hence  $x \in \text{vertices-set-formulas } (\mathcal{F} G k) \vee x \in \text{vertices-set-formulas } (\mathcal{G} G k)$ 
      using x by auto

```

```

thus  $x \in V[G]$ 
  using all-vertices-colored[of G k] vertices-maximumC[of G k] by auto
next
assume  $x \in \text{vertices-set-formulas } (\mathcal{H} G k)$ 
hence
 $x \in (\bigcup F \in (\mathcal{H} G k). \text{vertices-formula } F)$ 
  by (unfold vertices-set-formulas-def, auto)
then obtain  $F$  where  $F \in (\mathcal{H} G k)$  and  $x: x \in \text{vertices-formula } F$ 
  by auto
from  $F$  have (vertices-formula  $F \subseteq (\text{vertices-set-formulas } (\mathcal{H} G k))$ )
  using vv3 by blast
hence  $x \in \text{vertices-set-formulas } (\mathcal{H} G k)$  using  $x$  by auto
thus  $x \in V[G]$  using distinct-verticesC[of G k]
  by auto
qed
qed
qed

```

```

lemma vsf:
assumes  $G \subseteq H$ 
shows vertices-set-formulas  $G \subseteq \text{vertices-set-formulas } H$ 
using assms by (unfold vertices-set-formulas-def, auto)

```

```

lemma vertices-subset-formulas:
assumes  $S \subseteq (\mathcal{T} G k)$ 
shows vertices-set-formulas  $S \subseteq V[G]$ 
proof-
have vertices-set-formulas  $S \subseteq \text{vertices-set-formulas } (\mathcal{T} G k)$ 
using assms vsf by auto
thus ?thesis using vertex-set-inclusion[of G] by auto
qed

```

```

definition subgraph-aux :: "'v digraph ⇒ 'v set ⇒ 'v digraph where
subgraph-aux G V ≡ (V, E[G] ∩ (V × V))

```

```

lemma induced-subgraph:
assumes is-graph G and  $S \subseteq (\mathcal{T} G k)$ 
shows is-induced-subgraph (subgraph-aux G (vertices-set-formulas S)) G
proof-
let ?V = vertices-set-formulas S
let ?H = (?V, E[G] ∩ (?V × ?V))
have 1:  $E[?H] = E[G] \cap (?V \times ?V)$  and 2:  $V[?H] = ?V$  by auto
have ( $V[?H] \subseteq V[G]$ ) using 2 assms(2) vertices-subset-formulas[of S G ] by
auto
moreover

```

```

have  $E[?H] = (E[G] \cap ((V[?H]) \times (V[?H])))$  using 1 2 by auto
ultimately
have is-induced-subgraph ?H G by(unfold is-induced-subgraph-def, auto)
thus ?thesis
    by (simp add: subgraph-aux-def)
qed

```

```

lemma finite-subgraph:
assumes is-graph G and  $S \subseteq (\mathcal{T} G k)$  and finite S
shows finite-graph (subgraph-aux G (vertices-set-formulas S))
proof-
let ?V = vertices-set-formulas S
let ?H = (?V,  $E[G] \cap (?V \times ?V)$ )
have 1:  $E[?H] = E[G] \cap (?V \times ?V)$  and 2:  $V[?H] = ?V$  by auto
have 3: finite ?V using ‹finite S› finite-vertices
    by(unfold vertices-set-formulas-def, auto)
hence finite (V[?H]) using 2 by auto
thus ?thesis
    by (simp add: finite-graph-def subgraph-aux-def)
qed

```

```

fun graph-interpretation :: 'v digraph  $\Rightarrow$  ('v  $\Rightarrow$  nat)  $\Rightarrow$  (('v  $\times$  nat)  $\Rightarrow$  v-truth)
where
graph-interpretation G f =  $(\lambda(v,i).(\text{if } v \in V[G] \wedge f(v) = i \text{ then } T\text{true} \text{ else } F\text{false}))$ 

lemma value1:
assumes  $v \in V[G]$  and  $f(v) \leq k$  and  $F = \text{atomic-disjunctions } v \ k$ 
shows t-v-evaluation (graph-interpretation G f) F = Ttrue
proof-
let ?i = f(v)
have 0  $\leq$  ?i by auto
{have  $v \in V[G] \implies 0 \leq ?i \implies ?i \leq k \implies F = \text{atomic-disjunctions } v \ k \implies$ 
t-v-evaluation (graph-interpretation G f) F = Ttrue
proof(induct k arbitrary: F)
case 0
have ?i = 0 using 0 (2-3) by auto
hence t-v-evaluation (graph-interpretation G f) (atom (v, 0)) = Ttrue
    using ‹ $v \in V[G]$ › by auto
thus ?case using 0 (4) by auto
next
case(Suc k)
from Suc(1) Suc(2) Suc(3) Suc(4) Suc(5) show ?case
proof(cases)
assume (Suc k) = ?i
hence t-v-evaluation (graph-interpretation G f) (atom (v, Suc k)) = Ttrue
using Suc(2) Suc(3) Suc(5) by auto

```

```

hence
t-v-evaluation (graph-interpretation G f) (atom (v, Suc k))
∨.atomic-disjunctions v k) = Ttrue
using v-disjunction-def by auto
thus ?case using Suc(5) by auto
next
assume 1: (Suc k) ≠ ?i
hence t-v-evaluation (graph-interpretation G f) (atom (v, Suc k)) = Ffalse
    using Suc(5) by auto
moreover
have ?i < (Suc k) using Suc(4) 1 by auto
hence ?i ≤ k by auto
    hence t-v-evaluation (graph-interpretation G f) (atomic-disjunctions v k) =
Ttrue
    using Suc(1) Suc(2) Suc(3) Suc(5) by auto
    thus ?case using Suc(5) v-disjunction-def by auto
qed
qed
}
thus ?thesis using assms by auto
qed

lemma t-value-vertex:
assumes t-v-evaluation (graph-interpretation G f) (atom (v, i)) = Ttrue
shows f(v)=i
proof(rule ccontr)
assume f v ≠ i hence t-v-evaluation (graph-interpretation G f) (atom (v, i))
≠ Ttrue by auto
hence t-v-evaluation (graph-interpretation G f) (atom (v, i)) = Ffalse
using non-Ttrue[of graph-interpretation G f atom (v, i)] by auto
thus False using assms by simp
qed

lemma value2:
assumes i≠j and F =¬.(atom (v, i) ∧. atom (v, j))
shows t-v-evaluation (graph-interpretation G f) F = Ttrue
proof(rule ccontr)
assume t-v-evaluation (graph-interpretation G f) F ≠ Ttrue
hence t-v-evaluation (graph-interpretation G f) (¬.(atom (v, i) ∧. atom (v, j)))
≠ Ttrue
    using assms(2) by auto
hence t-v-evaluation (graph-interpretation G f) (¬.(atom (v, i) ∧. atom (v, j)))
= Ffalse using
non-Ttrue[of graph-interpretation G f ¬.(atom (v, i) ∧. atom (v, j)) ]
    by auto
hence t-v-evaluation (graph-interpretation G f) ((atom (v, i) ∧. atom (v, j)))
= Ttrue
    using NegationValues1[of graph-interpretation G f (atom (v, i) ∧. atom (v, j))]
    by auto

```

**hence**  $t\text{-}v\text{-evaluation (graph-interpretation } G f \text{)} (\text{atom } (v, i)) = T\text{true}$  **and**  
**t-v-evaluation (graph-interpretation } G f \text{)} (\text{atom } (v, j)) = T\text{true}**  
**using**  $\text{ConjunctionValues}[\text{of graph-interpretation } G f \text{ atom } (v, i) \text{ atom } (v, j)]$  **by**  
*auto*  
**hence**  $f(v)=i$  **and**  $f(v)=j$  **using**  $t\text{-value-vertex}$  **by** *auto*  
**hence**  $i=j$  **by** *auto*  
**thus**  $\text{False}$  **using**  $\text{assms}(1)$  **by** *auto*  
**qed**

**lemma**  $\text{value3}:$

**assumes**  $f(u) \neq f(v)$  **and**  $F = \neg.(\text{atom } (u, i) \wedge. \text{atom } (v, i))$   
**shows**  $t\text{-v-evaluation (graph-interpretation } G f \text{)} F = T\text{true}$   
**proof**(rule *ccontr*)  
**assume**  $t\text{-v-evaluation (graph-interpretation } G f \text{)} F \neq T\text{true}$   
**hence**  
 $t\text{-v-evaluation (graph-interpretation } G f \text{)} (\neg.(\text{atom } (u, i) \wedge. \text{atom } (v, i))) \neq T\text{true}$   
**using**  $\text{assms}(2)$  **by** *auto*  
**hence**  $t\text{-v-evaluation (graph-interpretation } G f \text{)} (\neg.(\text{atom } (u, i) \wedge. \text{atom } (v, i)))$   
 $= F\text{false}$   
**using**  
 $\text{non-}T\text{true}[\text{of graph-interpretation } G f \neg.(\text{atom } (u, i) \wedge. \text{atom } (v, i))]$   
**by** *auto*  
**hence**  $t\text{-v-evaluation (graph-interpretation } G f \text{)} ((\text{atom } (u, i) \wedge. \text{atom } (v, i)))$   
 $= T\text{true}$   
**using**  $\text{NegationValues1}[\text{of graph-interpretation } G f \text{ (atom } (u, i) \wedge. \text{atom } (v,$   
 $i))]$   
**by** *auto*  
**hence**  $t\text{-v-evaluation (graph-interpretation } G f \text{)} (\text{atom } (u, i)) = T\text{true}$  **and**  
 $t\text{-v-evaluation (graph-interpretation } G f \text{)} (\text{atom } (v, i)) = T\text{true}$   
**using**  $\text{ConjunctionValues}[\text{of graph-interpretation } G f \text{ atom } (u, i) \text{ atom } (v, i)]$   
**by** *auto*  
**hence**  $f(u)=i$  **and**  $f(v)=i$  **using**  $t\text{-value-vertex}$  **by** *auto*  
**hence**  $f(u)=f(v)$  **by** *auto*  
**thus**  $\text{False}$  **using**  $\text{assms}(1)$  **by** *auto*  
**qed**

**theorem**  $\text{coloring-satisfiable}:$

**assumes**  $\text{is-graph } G$  **and**  $S \subseteq (\mathcal{T} G k)$  **and**  
 $\text{coloring } f k$  ( $\text{subgraph-aux } G$  ( $\text{vertices-set-formulas } S$ ))  
**shows**  $\text{satisfiable } S$   
**proof**–  
**let**  $?V = \text{vertices-set-formulas } S$   
**let**  $?H = \text{subgraph-aux } G ?V$   
**have** ( $\text{graph-interpretation } ?H f$ )  $\text{model } S$   
**proof**(unfold *model-def*)  
**show**  $\forall F \in S. t\text{-v-evaluation (graph-interpretation } ?H f \text{)} F = T\text{true}$   
**proof**  
**fix**  $F$  **assume**  $F \in S$

```

show t-v-evaluation (graph-interpretation ?H f) F = Ttrue
proof-
  have 1: vertices-formula F ⊆ ?V
  proof
    fix v
    assume v ∈ (vertices-formula F) thus v ∈ ?V
    using <F ∈ S> by(unfold vertices-set-formulas-def,auto)
  qed
  have F ∈ (F G k) ∪ (G G k) ∪ (H G k)
  using <F ∈ S> assms(2) by(unfold T-def,auto)
  hence F ∈ (F G k) ∨ F ∈ (G G k) ∨ F ∈ (H G k) by auto
  thus ?thesis
  proof(rule disjE)
    assume F ∈ (F G k)
    hence ∃v∈V[G]. F = atomic-disjunctions v k by(unfold F-def,auto)
    then obtain v
    where v: v ∈ V[G] and F: F = atomic-disjunctions v k
      by auto
    have v ∈ ?V using F vertices-disjunction[of F] 1 by auto
    hence v ∈ V[?H] by(unfold subgraph-aux-def, auto)
    hence f(v) ≤ k using coloring-def[of f k ?H] assms(3) by auto
    thus ?thesis using F value1[OF <v ∈ V[?H]>] by auto
  next
  assume F ∈ (G G k) ∨ F ∈ (H G k)
  thus ?thesis
  proof(rule disjE)
    assume F ∈ (G G k)
    hence ∃v.∃i.∃j. F = ¬.(atom (v, i) ∧ atom(v,j)) ∧ (i ≠ j)
      by(unfold G-def, auto)
    then obtain v i j
    where F = ¬.(atom (v, i) ∧ atom(v,j)) and (i ≠ j)
      by auto
    thus t-v-evaluation (graph-interpretation ?H f) F = Ttrue
      using value2[OF <i ≠ j> <F = ¬.(atom (v, i) ∧ atom(v,j))>]
      by auto
    next
    assume F ∈ (H G k)
    hence ∃u.∃v.∃i.(F = ¬.(atom (u, i) ∧ atom(v,i)) ∧ (u,v) ∈ E[G])
      by(unfold H-def, auto)
    then obtain u v i
    where F: F = ¬.(atom (u, i) ∧ atom(v,i)) and uv: (u,v) ∈ E[G]
      by auto
    have vertices-formula F = {u,v} using F by auto
    hence {u,v} ⊆ ?V using 1 by auto
    hence (u,v) ∈ E[?H] using uv by(unfold subgraph-aux-def, auto)
    hence f(u) ≠ f(v) using coloring-def[of f k ?H] assms(3)
      by auto
  show ?thesis
    using value3[OF <f(u) ≠ f(v)> <F = ¬.(atom (u, i) ∧ atom(v,i))>]

```

```

    by auto
qed
qed
qed
qed
qed
thus satisfiable S by(unfold satisfiable-def, auto)
qed

fun graph-coloring :: (('v × nat) ⇒ v-truth) ⇒ nat ⇒ ('v ⇒ nat)
where
graph-coloring I k = (λv.(THE i. (t-v-evaluation I (atom (v,i)) = Ttrue) ∧ 0 ≤ i ∧ i ≤ k))

lemma unicity:
assumes (t-v-evaluation I (atom (v, i)) = Ttrue ∧ 0 ≤ i ∧ i ≤ k)
and ∀ j. (0 ≤ j ∧ j ≤ k ∧ i ≠ j) → (t-v-evaluation I (¬(atom (v, i) ∧ atom(v,j))) = Ttrue)
shows ∀ j. (0 ≤ j ∧ j ≤ k ∧ i ≠ j) → t-v-evaluation I (atom (v, j)) = Ffalse
proof(rule allI, rule impI)
fix j
assume hip: 0 ≤ j ∧ j ≤ k ∧ i ≠ j
show t-v-evaluation I (atom (v, j)) = Ffalse
proof(rule ccontr)
assume t-v-evaluation I (atom (v, j)) ≠ Ffalse
hence t-v-evaluation I (atom (v, j)) = Ttrue using Bivaluation by blast
hence 1: t-v-evaluation I (atom (v, i) ∧ atom(v,j)) = Ttrue
using assms(1) v-conjunction-def by auto
have t-v-evaluation I (¬(atom (v, i) ∧ atom(v,j))) = Ttrue
using hip assms(2) by auto
hence t-v-evaluation I (atom (v, i) ∧ atom(v,j)) = Ffalse
using NegationValues2 by blast
thus False using 1 by auto
qed
qed

lemma existence:
assumes (t-v-evaluation I (atom (v, i)) = Ttrue ∧ 0 ≤ i ∧ i ≤ k)
and ∀ j. (0 ≤ j ∧ j ≤ k ∧ i ≠ j) → t-v-evaluation I (atom (v, j)) = Ffalse
shows (∀ x. (t-v-evaluation I (atom (v, x)) = Ttrue ∧ 0 ≤ x ∧ x ≤ k) → x = i)
proof(rule allI)
fix x
show t-v-evaluation I (atom (v, x)) = Ttrue ∧ 0 ≤ x ∧ x ≤ k → x = i
proof(rule impI)
assume hip: t-v-evaluation I (atom (v, x)) = Ttrue ∧ 0 ≤ x ∧ x ≤ k show x = i

```

```

proof(rule ccontr)
  assume 1:  $x \neq i$ 
  have  $0 \leq x \wedge x \leq k$  using hip by auto
  hence t-v-evaluation I (atom (v, x)) = Ffalse using 1 assms(2) by auto
  thus False using hip by auto
  qed
qed
qed

lemma exist-unicity1:
  assumes (t-v-evaluation I (atom (v, i))) = Ttrue  $\wedge$   $0 \leq i \wedge i \leq k$ 
  and  $\forall j. (0 \leq j \wedge j \leq k \wedge i \neq j) \rightarrow (\text{t-v-evaluation } I (\neg.(\text{atom } (v, i) \wedge. \text{atom}(v,j)))) = \text{Ttrue})$ 
  shows ( $\forall x. (\text{t-v-evaluation } I (\text{atom } (v, x))) = \text{Ttrue} \wedge 0 \leq x \wedge x \leq k) \rightarrow x = i$ )
  using assms unicity[of I v i k] existence[of I v i k] by blast

lemma exist-unicity2:
  assumes (t-v-evaluation I (atom (v, i))) = Ttrue  $\wedge$   $0 \leq i \wedge i \leq k$  and
  ( $\bigwedge x. (\text{t-v-evaluation } I (\text{atom } (v, x))) = \text{Ttrue} \wedge 0 \leq x \wedge x \leq k) \implies x = i$ )
  shows (THE a. (t-v-evaluation I (atom (v,a))) = Ttrue  $\wedge$   $0 \leq a \wedge a \leq k)) = i$ 
  using assms by (rule the-equality)

lemma exist-unicity:
  assumes (t-v-evaluation I (atom (v, i))) = Ttrue  $\wedge$   $0 \leq i \wedge i \leq k$  and
   $\forall j. (0 \leq j \wedge j \leq k \wedge i \neq j) \rightarrow (\text{t-v-evaluation } I (\neg.(\text{atom } (v, i) \wedge. \text{atom}(v,j)))) = \text{Ttrue})$ 
  shows (THE a. (t-v-evaluation I (atom (v,a))) = Ttrue  $\wedge$   $0 \leq a \wedge a \leq k)) = i$ 
  using assms exist-unicity1[of I v i k] exist-unicity2[of I v i k] by blast

lemma unique-color:
  assumes  $v \in V[G]$ 
  shows  $\forall i j. (0 \leq i \wedge 0 \leq j \wedge i \leq k \wedge j \leq k \wedge i \neq j) \rightarrow (\neg.(\text{atom } (v, i) \wedge. \text{atom}(v,j)) \in (\mathcal{G} G k))$ 
proof(rule allI) +
  fix i j
  show  $0 \leq i \wedge 0 \leq j \wedge i \leq k \wedge j \leq k \wedge i \neq j \rightarrow \neg.(\text{atom } (v, i) \wedge. \text{atom}(v,j))$ 
 $\in (\mathcal{G} G k)$ 
  proof(rule impI)
    assume  $0 \leq i \wedge 0 \leq j \wedge i \leq k \wedge j \leq k \wedge i \neq j$ 
    thus  $\neg.(\text{atom } (v, i) \wedge. \text{atom}(v,j)) \in (\mathcal{G} G k)$ 
    using  $\langle v \in V[G] \rangle$  by (unfold G-def, auto)
  qed
qed

lemma different-colors:
  assumes  $u \in V[G]$  and  $v \in V[G]$  and  $(u, v) \in E[G]$ 
  shows  $\forall i. (0 \leq i \wedge i \leq k) \rightarrow (\neg.(\text{atom } (u, i) \wedge. \text{atom}(v,i)) \in (\mathcal{H} G k))$ 
proof(rule allI)
  fix i

```

```

show  $0 \leq i \wedge i \leq k \longrightarrow (\neg.(atom(u, i) \wedge atom(v, i)) \in (\mathcal{H} G k))$ 
proof(rule impI)
  assume  $0 \leq i \wedge i \leq k$ 
  thus  $\neg.(atom(u, i) \wedge atom(v, i)) \in (\mathcal{H} G k)$ 
    using assms by(unfold H-def, auto)
qed
qed

lemma atom-value:
assumes (t-v-evaluation I (atomic-disjunctions u k)) = Ttrue
shows  $\exists i. (t\text{-}v\text{-}evaluation I (atom(u, i))) = Ttrue \wedge 0 \leq i \wedge i \leq k$ 
proof-
  have (t-v-evaluation I (atomic-disjunctions u k)) = Ttrue  $\implies$ 
     $\exists i. (t\text{-}v\text{-}evaluation I (atom(u, i))) = Ttrue \wedge 0 \leq i \wedge i \leq k$ 
  proof(induct k)
    case(0)
    assume (t-v-evaluation I (atomic-disjunctions u 0)) = Ttrue
    thus  $\exists i. t\text{-}v\text{-}evaluation I (atom(u, i)) = Ttrue \wedge 0 \leq i \wedge i \leq 0$  by auto
    next
    case(Suc k)
    from Suc(1) Suc(2) show ?case
    proof-
      have t-v-evaluation I (atom(u, (Suc k)))  $\vee.$  (atomic-disjunctions u k)) = Ttrue
      using Suc(2) by auto
      hence t-v-evaluation I (atom(u, (Suc k))) = Ttrue  $\vee$ 
        (t-v-evaluation I (atomic-disjunctions u k)) = Ttrue
        using DisjunctionValues[of I (atom(u, (Suc k)))] by auto
      thus ?case
        using Suc.hyps le-SucI by blast
      qed
    qed
    thus ?thesis using assms by auto
  qed

```

```

lemma coloring-function:
assumes  $u \in V[G]$  and I model ( $\mathcal{T} G k$ )
shows  $\exists i. (t\text{-}v\text{-}evaluation I (atom(u, i))) = Ttrue \wedge 0 \leq i \wedge i \leq k \wedge \text{graph-coloring}$ 
I k u = i
proof-
  from  $\langle u \in V[G] \rangle$ 
  have atomic-disjunctions u k  $\in \mathcal{F} G k$  by(induct, unfold F-def, auto)
  hence atomic-disjunctions u k  $\in \mathcal{T} G k$  by(unfold T-def, auto)
  hence (t-v-evaluation I (atomic-disjunctions u k)) = Ttrue
    using assms(2) model-def[of I T G k] by auto
  hence  $\exists i. (t\text{-}v\text{-}evaluation I (atom(u, i))) = Ttrue \wedge 0 \leq i \wedge i \leq k$ 
    using atom-value by auto

```

**then obtain**  $i$  **where**  $i$ : (*t-v-evaluation I* (*atom* ( $u, i$ ))) = *Ttrue*)  $\wedge$   $0 \leq i \wedge i \leq k$   
**by auto**  
**moreover**  
**have**  $\forall i j. (0 \leq i \wedge 0 \leq j \wedge i \leq k \wedge j \leq k \wedge i \neq j) \longrightarrow$   
 $(\neg(\text{atom } (u, i) \wedge \text{atom}(u, j)) \in (\mathcal{G} G k))$   
**using**  $\langle u \in V[G] \rangle$  *unique-color*[of  $u$ ] **by auto**  
**hence**  $\forall j. (0 \leq j \wedge j \leq k \wedge i \neq j) \longrightarrow (\neg(\text{atom } (u, i) \wedge \text{atom}(u, j)) \in \mathcal{T} G k)$   
**using**  $i$  **by** (*unfold*  $\mathcal{T}$ -def, *auto*)  
**hence**  
 $\forall j. (0 \leq j \wedge j \leq k \wedge i \neq j) \longrightarrow (\text{t-v-evaluation I } (\neg(\text{atom } (u, i) \wedge \text{atom}(u, j)))) =$   
*Ttrue*)  
**using** *assms(2)* *model-def*[of *I*  $\mathcal{T} G k$ ] **by blast**  
**hence** (*THE a.* (*t-v-evaluation I* (*atom* ( $u, a$ ))) = *Ttrue*  $\wedge$   $0 \leq a \wedge a \leq k$ )) =  $i$   
**using**  $i$  *exist-uniqueness*[of *I*  $u$ ] **by blast**  
**hence** *graph-coloring I k u = i* **by auto**  
**hence**  
 $(\text{t-v-evaluation I } (\text{atom } (u, i))) = \text{True} \wedge 0 \leq i \wedge i \leq k) \wedge$   
*graph-coloring I k u = i*  
**using**  $i$  **by auto**  
**thus** ?*thesis* **by auto**  
**qed**

**lemma**  $\mathcal{H}1$ :

**assumes** (*t-v-evaluation I* (*atom* ( $u, a$ ))) = *Ttrue*  $\wedge$   $0 \leq a \wedge a \leq k$  **and** (*t-v-evaluation I* (*atom* ( $v, b$ ))) = *Ttrue*  $\wedge$   $0 \leq b \wedge b \leq k$   
**and**  $\forall i. (0 \leq i \wedge i \leq k) \longrightarrow (\text{t-v-evaluation I } (\neg(\text{atom } (u, i) \wedge \text{atom}(v, i))) =$   
*Ttrue*)  
**shows**  $a \neq b$   
**proof**(rule *ccontr*)  
**assume**  $\neg a \neq b$   
**hence**  $a = b$  **by auto**  
**hence** *t-v-evaluation I* (*atom* ( $u, a$ ))) = *Ttrue* **and** *t-v-evaluation I* (*atom* ( $v, a$ ))) = *Ttrue* **using** *assms* **by auto**  
**hence** *t-v-evaluation I* (*atom* ( $u, a$ )  $\wedge$  *atom* ( $v, a$ ))) = *Ttrue* **using** *v-conjunction-def*  
**by auto**  
**hence** *t-v-evaluation I* ( $\neg(\text{atom } (u, a) \wedge \text{atom}(v, a))$ ) = *Ffalse* **using** *v-negation-def*  
**by auto**  
**moreover**  
**have**  $0 \leq a \wedge a \leq k$  **using** *assms(1)* **by auto**  
**hence** *t-v-evaluation I* ( $\neg(\text{atom } (u, a) \wedge \text{atom}(v, a))$ ) = *Ttrue* **using** *assms(3)*  
**by auto**  
**finally show** *False* **by auto**  
**qed**

**lemma** *distinct-colors*:

**assumes** *is-graph G* **and**  $(u, v) \in E[G]$  **and** *I*: *I model* ( $\mathcal{T} G k$ )  
**shows** *graph-coloring I k u*  $\neq$  *graph-coloring I k v*  
**proof** –

```

have  $u \neq v$  and  $u \in V[G]$  and  $v \in V[G]$  using  $\langle(u,v) \in E[G]\rangle \langle\text{is-graph } G\rangle$ 
by(unfold is-graph-def, auto)
have  $\exists!i. (t\text{-v-evaluation } I \text{ (atom } (u,i)) = T\text{true} \wedge 0 \leq i \wedge i \leq k) \wedge \text{graph-coloring}$ 
 $I k u = i$ 
using coloring-function[OF  $\langle u \in V[G]\rangle \langle v \in V[G]\rangle \langle(u,v) \in E[G]\rangle$  by blast]
then obtain  $i$  where  $i1: (t\text{-v-evaluation } I \text{ (atom } (u,i)) = T\text{true} \wedge 0 \leq i \wedge i \leq k)$ 
and  $i2: \text{graph-coloring } I k u = i$ 
by auto
have  $\exists!j. (t\text{-v-evaluation } I \text{ (atom } (v,j)) = T\text{true} \wedge 0 \leq j \wedge j \leq k) \wedge \text{graph-coloring}$ 
 $I k v = j$ 
using coloring-function[OF  $\langle v \in V[G]\rangle \langle u \in V[G]\rangle \langle(u,v) \in E[G]\rangle$  by blast]
then obtain  $j$  where  $j1: (t\text{-v-evaluation } I \text{ (atom } (v,j)) = T\text{true} \wedge 0 \leq j \wedge j \leq k)$ 
and
 $j2: \text{graph-coloring } I k v = j$  by auto
have  $\forall i. (0 \leq i \wedge i \leq k) \longrightarrow (\neg(\text{atom } (u, i) \wedge \text{atom}(v, i)) \in \mathcal{H} G k)$ 
using  $\langle u \in V[G]\rangle \langle v \in V[G]\rangle \langle(u,v) \in E[G]\rangle$  by(unfold H-def, auto)
hence  $\forall i. (0 \leq i \wedge i \leq k) \longrightarrow \neg(\text{atom } (u, i) \wedge \text{atom}(v, i)) \in \mathcal{T} G k$ 
by(unfold T-def, auto)
hence  $\forall i. (0 \leq i \wedge i \leq k) \longrightarrow (t\text{-v-evaluation } I \ (\neg(\text{atom } (u, i) \wedge \text{atom}(v, i))) = T\text{true})$ 
using assms(2) I model-def[of I T G k] by blast
hence  $i \neq j$  using  $i1\ j1\ \mathcal{H}1[\text{of } I u i k v j]$  by blast
thus  $?thesis$  using  $i2\ j2$  by auto
qed

```

```

theorem satisfiable-coloring:
assumes is-graph G and satisfiable (T G k)
shows colorable G k
proof(unfold colorable-def)
show  $\exists f. \text{coloring } f k G$ 
proof-
from assms(2) have  $\exists I. I \text{ model } (\mathcal{T} G k)$  by(unfold satisfiable-def)
then obtain  $I$  where  $I: I \text{ model } (\mathcal{T} G k)$  by auto
hence coloring (graph-coloring I k) k G
proof(unfold coloring-def)
show
 $(\forall u. u \in V[G] \longrightarrow (\text{graph-coloring } I k u) \leq k) \wedge (\forall u v. (u, v) \in E[G]$ 
 $\longrightarrow \text{graph-coloring } I k u \neq \text{graph-coloring } I k v)$ 
proof(rule conjI)
show  $\forall u. u \in V[G] \longrightarrow \text{graph-coloring } I k u \leq k$ 
proof(rule allI, rule impI)
fix  $u$ 
assume  $u \in V[G]$ 
show  $\text{graph-coloring } I k u \leq k$ 
using coloring-function[OF  $\langle u \in V[G]\rangle \langle v \in V[G]\rangle \langle(u,v) \in E[G]\rangle$  by blast]
qed
next
show
 $\forall u v. (u, v) \in E[G] \longrightarrow$ 

```

```

graph-coloring I k u ≠ graph-coloring I k v
proof(rule allI,rule allI,rule impI)
fix u v
assume (u,v) ∈ E[G]
thus graph-coloring I k u ≠ graph-coloring I k v
using distinct-colors[OF ‹is-graph G› ‹(u,v) ∈ E[G]› I] by blast
qed
qed
qed
thus ∃f. coloring f k G by auto
qed
qed

```

**theorem deBruijn-Erdos-coloring:**

assumes is-graph ( $G::('vertices:: countable) set \times ('vertices \times 'vertices) set$ )  
and  $\forall H. (is-induced-subgraph H G \wedge finite-graph H \longrightarrow colorable H k)$   
shows colorable  $G k$

**proof –**

have  $\forall S. S \subseteq (\mathcal{T} G k) \wedge (finite S) \longrightarrow satisfiable S$

**proof(rule allI, rule impI)**

fix  $S$  assume  $S \subseteq (\mathcal{T} G k) \wedge (finite S)$   
hence hip1:  $S \subseteq (\mathcal{T} G k)$  and hip2:  $finite S$  by auto  
show  $satisfiable S$

**proof –**

let  $?V = vertices-set-formulas S$   
let  $?H = (?V, E[G] \cap (?V \times ?V))$   
have is-induced-subgraph ?H G  
using assms(1) hip1 induced-subgraph[of G S k]  
by(unfold subgraph-aux-def, auto)

**moreover**  
have finite-graph ?H  
using assms(1) hip1 hip2 finite-subgraph[of G S k]  
by(unfold subgraph-aux-def, auto)

**ultimately**  
have colorable ?H k using assms by auto  
hence  $\exists f. coloring f k ?H$  by(unfold colorable-def, auto)  
then obtain f where coloring f k ?H by auto  
thus satisfiable S using coloring-satisfiable[OF assms(1) hip1]  
by(unfold subgraph-aux-def, auto)

**qed**  
**qed**  
hence satisfiable ( $\mathcal{T} G k$ ) using  
Compactness-Theorem by auto  
thus ?thesis using assms(1) satisfiable-coloring by blast

**qed**  
**end**

## 10 König Lemma

This section formalizes König Lemma from the compactness theorem for propositional logic directly.

```

type-synonym 'a rel = ('a × 'a) set

definition irreflexive-on :: 'a set ⇒ 'a rel ⇒ bool
where irreflexive-on A r ≡ (forall x ∈ A. (x, x) ∉ r)

definition transitive-on :: 'a set ⇒ 'a rel ⇒ bool
where transitive-on A r ≡
  (forall x ∈ A. forall y ∈ A. forall z ∈ A. (x, y) ∈ r ∧ (y, z) ∈ r → (x, z) ∈ r)

definition total-on :: 'a set ⇒ 'a rel ⇒ bool
where total-on A r ≡ (forall x ∈ A. forall y ∈ A. x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r)

definition minimum :: 'a set ⇒ 'a ⇒ 'a rel ⇒ bool
where minimum A a r ≡ (a ∈ A ∧ (forall x ∈ A. x ≠ a → (a, x) ∈ r))

definition predecessors :: 'a set ⇒ 'a ⇒ 'a rel ⇒ 'a set
where predecessors A a r ≡ {x ∈ A. (x, a) ∈ r}

definition height :: 'a set ⇒ 'a ⇒ 'a rel ⇒ nat
where height A a r ≡ card (predecessors A a r)

definition level :: 'a set ⇒ 'a rel ⇒ nat ⇒ 'a set
where level A r n ≡ {x ∈ A. height A x r = n}

definition imm-successors :: 'a set ⇒ 'a ⇒ 'a rel ⇒ 'a set
where imm-successors A a r ≡
  {x ∈ A. (a, x) ∈ r ∧ height A x r = (height A a r) + 1}

definition strict-part-order :: 'a set ⇒ 'a rel ⇒ bool
where strict-part-order A r ≡ irreflexive-on A r ∧ transitive-on A r

lemma minimum-element:
  assumes strict-part-order A r and minimum A a r and r = {}
  shows A = {a}
  proof(rule ccontr)
    assume hip: A ≠ {a} show False
    proof(cases)
      assume hip1: A = {}
      have a ∈ A using <minimum A a r> by(unfold minimum-def, auto)
      thus False using hip1 by auto
    next
      assume A ≠ {}

```

```

hence  $\exists x. x \neq a \wedge x \in A$  using hip by auto
then obtain  $x$  where  $x \neq a \wedge x \in A$  by auto
hence  $(a,x) \in r$  using  $\langle \text{minimum } A \ a \ r \rangle$  by(unfold minimum-def, auto)
hence  $r \neq \{\}$  by auto
thus False using  $\langle r = \{\} \rangle$  by auto
qed
qed

lemma spo-uniqueness-min:
assumes strict-part-order  $A \ r$  and  $\text{minimum } A \ a \ r$  and  $\text{minimum } A \ b \ r$ 
shows  $a = b$ 
proof(rule ccontr)
assume hip:  $a \neq b$ 
have  $a \in A \text{ and } b \in A$  using assms(2-3) by(unfold minimum-def, auto)
show False
proof(cases)
assume  $r = \{\}$ 
hence  $A = \{a\} \wedge A = \{b\}$  using assms(1-3)  $\text{minimum-element}[of \ A \ r]$  by
auto
thus False using hip by auto
next
assume  $r \neq \{\}$ 
hence  $1: (a,b) \in r \wedge (b,a) \in r$  using hip assms(2-3)
by(unfold minimum-def, auto)
have  $\text{irr}: \text{irreflexive-on } A \ r$  and  $\text{tran}: \text{transitive-on } A \ r$ 
using assms(1) by(unfold strict-part-order-def, auto)
have  $(a,a) \in r$  using  $\langle a \in A \rangle \langle b \in A \rangle 1 \text{ tran}$  by(unfold transitive-on-def, blast)
thus False using  $\langle a \in A \rangle \text{ irr}$  by(unfold irreflexive-on-def, blast)
qed
qed

lemma emptiness-pred-min-spo:
assumes  $\text{minimum } A \ a \ r$  and strict-part-order  $A \ r$ 
shows predecessors  $A \ a \ r = \{\}$ 
proof(rule ccontr)
have  $\text{irr}: \text{irreflexive-on } A \ r$  and  $\text{tran}: \text{transitive-on } A \ r$  using assms(2)
by(unfold strict-part-order-def, auto)
assume  $1: \text{predecessors } A \ a \ r \neq \{\}$  show False
proof-
have  $\exists x \in A. (x,a) \in r$  using 1 by(unfold predecessors-def, auto)
then obtain  $x$  where  $x \in A$  and  $(x,a) \in r$  by auto
hence  $x \neq a$  using irr by (unfold irreflexive-on-def, auto)
hence  $(a,x) \in r$  using  $\langle x \in A \rangle \langle \text{minimum } A \ a \ r \rangle$  by(unfold minimum-def, auto)
have  $a \in A$  using  $\langle \text{minimum } A \ a \ r \rangle$  by(unfold minimum-def, auto)
hence  $(a,a) \in r$  using  $\langle (a,x) \in r \rangle \langle (x,a) \in r \rangle \langle x \in A \rangle \text{ tran}$ 
by(unfold transitive-on-def, blast)
thus False using  $\langle (a,a) \in r \rangle \langle a \in A \rangle \text{ irr}$   $\text{irreflexive-on-def}$ 
by (unfold irreflexive-on-def, auto)
qed

```

**qed**

```
lemma emptyness-pred-min-spo2:
  assumes strict-part-order A r and minimum A a r
  shows ∀x∈A.(predecessors A x r = {}) ↔ (x=a)
proof
  fix x
  assume x ∈ A
  show (predecessors A x r = {}) ↔ (x = a)
  proof-
    have 1: a ∈ A using <minimum A a r> by(unfold minimum-def, auto)
    have 2: (predecessors A x r = {}) → (x=a)
    proof(rule impI)
      assume h: predecessors A x r = {} show x=a
      proof(rule ccontr)
        assume x ≠ a
        hence (a,x) ∈ r using <x ∈ A> <minimum A a r>
          by(unfold minimum-def, auto)
        hence a ∈ predecessors A x r
          using 1 by(unfold predecessors-def, auto)
        thus False using h by auto
      qed
    qed
    have 3: x=a → (predecessors A x r = {})
    proof(rule impI)
      assume x=a
      thus predecessors A x r = {}
        using assms emptyness-pred-min-spo2[of A a] by auto
      qed
      show ?thesis using 2 3 by auto
    qed
  qed
```

**lemma height-minimum:**

```
assumes strict-part-order A r and minimum A a r
shows height A a r = 0
proof-
  have a ∈ A using <minimum A a r> by(unfold minimum-def, auto)
  hence predecessors A a r = {}
    using assms emptyness-pred-min-spo2[of A r] by auto
  thus height A a r = 0 by(unfold height-def, auto)
qed
```

**lemma zero-level:**

```
assumes strict-part-order A r
and minimum A a r and ∀x∈A. finite (predecessors A x r)
shows (level A r 0) = {a}
proof-
  have ∀x∈A.(card (predecessors A x r) = 0) ↔ (x=a)
```

```

using assms emptiness-pred-min-spo2[of A r a] card-eq-0-iff by auto
hence 1: ∀x∈A.(height A x r = 0) ↔ (x=a)
  by(unfold height-def, auto)
have a∈A using <minimum A a r> by(unfold minimum-def, auto)
thus ?thesis using assms 1 level-def[of A r 0] by auto
qed

lemma min-predecessor:
assumes minimum A a r
shows ∀x∈A. x≠a → a∈predecessors A x r
proof
fix x
assume x∈A
show x ≠ a → a ∈ predecessors A x r
proof(rule impI)
assume x ≠ a
show a ∈ predecessors A x r
proof-
  have (a,x)∈r using <x∈A> <x ≠ a> <minimum A a r>
    by(unfold minimum-def, auto)
  hence a∈A using <minimum A a r> by(unfold minimum-def, auto)
  thus a∈predecessors A x r using <(a,x)∈r>
    by(unfold predecessors-def, auto)
qed
qed
qed

```

**lemma spo-subset-preservation:**

```

assumes strict-part-order A r and B⊆A
shows strict-part-order B r
proof-
have irreflexive-on A r and transitive-on A r
  using <strict-part-order A r>
  by(unfold strict-part-order-def, auto)
have 1: irreflexive-on B r
proof(unfold irreflexive-on-def)
show ∀x∈B. (x, x) ∉ r
proof
fix x
assume x∈B
hence x∈A using <B⊆A> by auto
thus (x,x)∉r using <irreflexive-on A r>
  by (unfold irreflexive-on-def, auto)
qed
qed
have 2: transitive-on B r
proof(unfold transitive-on-def)
show ∀x∈B. ∀y∈B. ∀z∈B. (x, y) ∈ r ∧ (y, z) ∈ r → (x, z) ∈ r
proof

```

```

fix x assume x∈B
show ∀y∈B. ∀z∈B. (x, y) ∈ r ∧ (y, z) ∈ r → (x, z) ∈ r
proof
  fix y assume y∈B
  show ∀z∈B. (x, y) ∈ r ∧ (y, z) ∈ r → (x, z) ∈ r
  proof
    fix z assume z∈B
    show (x, y) ∈ r ∧ (y, z) ∈ r → (x, z) ∈ r
    proof(rule impI)
      assume hip: (x, y) ∈ r ∧ (y, z) ∈ r
      show (x, z) ∈ r
    proof-
      have x∈A and y∈A and z∈A using ⟨x∈B⟩ ⟨y∈B⟩ ⟨z∈B⟩ ⟨B⊆A⟩
      by auto
      thus (x, z) ∈ r using hip ⟨transitive-on A r⟩ by(unfold transitive-on-def,
blast)
      qed
      qed
      qed
      qed
      qed
      qed
      thus strict-part-order B r
      using 1 2 by(unfold strict-part-order-def, auto)
qed

lemma total-ord-subset-preservation:
assumes total-on A r and B⊆A
shows total-on B r
proof(unfold total-on-def)
  show ∀x∈B. ∀y∈B. x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r
  proof
    fix x
    assume x∈B show ∀y∈B. x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r
    proof
      fix y
      assume y∈B
      show x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r
      proof(rule impI)
        assume x ≠ y
        show (x, y) ∈ r ∨ (y, x) ∈ r
      proof-
        have x∈A ∧ y∈A using ⟨x∈B⟩ ⟨y∈B⟩ ⟨B⊆A⟩ by auto
        thus (x, y) ∈ r ∨ (y, x) ∈ r
        using ⟨x ≠ y⟩ ⟨total-on A r⟩ by(unfold total-on-def, auto)
      qed
      qed
      qed
      qed

```

qed

```
definition maximum :: 'a set ⇒ 'a ⇒ 'a rel ⇒ bool
  where maximum A a r ≡ (a ∈ A ∧ (∀x ∈ A. x ≠ a → (x, a) ∈ r))

lemma maximum-strict-part-order:
  assumes strict-part-order A r and A ≠ {} and total-on A r
  and finite A
  shows (∃a. maximum A a r)
proof-
  have strict-part-order A r ⇒ A ≠ {} ⇒ total-on A r ⇒ finite A
  ⇒ (∃a. maximum A a r) using assms(4)
  proof(induct A rule:finite-induct)
    case empty
    then show ?case by auto
  next
    case (insert x A)
    show (∃a. maximum (insert x A) a r)
    proof(cases A = {})
      case True
      hence insert x A = {x} by simp
      hence maximum (insert x A) x r by(unfold maximum-def, auto)
      then show ?thesis by auto
    next
      case False
      assume A ≠ {}
      show ∃a. maximum (insert x A) a r
      proof-
        have 1: strict-part-order A r
        using insert(4) spo-subset-preservation by auto
        have 2: total-on A r using insert(6) total-ord-subset-preservation by auto
        have ∃a. maximum A a r using 1 ⟨A ≠ {}⟩ insert(1) 2 insert(3) by auto
        then obtain a where a: maximum A a r by auto
        hence a ∈ A and ∀y ∈ A. y ≠ a → (y, a) ∈ r by(unfold maximum-def, auto)
        have 3: a ∈ (insert x A) using ⟨a ∈ A⟩ by auto
        have 4: a ≠ x using ⟨a ∈ A⟩ and ⟨x ∈ A⟩ by auto
        have x ∈ (insert x A) by auto
        hence (a, x) ∈ r ∨ (x, a) ∈ r using 3 4 ⟨total-on (insert x A) r⟩
        by(unfold total-on-def, auto)
        thus ∃a. maximum (insert x A) a r
        proof(rule disjE)
          have transitive-on (insert x A) r using insert(4)
          by(unfold strict-part-order-def, auto)
          assume casoa: (a, x) ∈ r
          have ∀z ∈ (insert x A). z ≠ x → (z, x) ∈ r
          proof
            fix z
            assume hip1: z ∈ (insert x A)
            show z ≠ x → (z, x) ∈ r
```

```

proof(rule impI)
  assume z ≠ x
  hence hip2: z ∈ A using ⟨z ∈ (insert x A)⟩ by auto
  thus (z, x) ∈ r
  proof(cases)
    assume z = a
    thus (z, x) ∈ r using ⟨(a, x) ∈ r⟩ by auto
  next
    assume z ≠ a
    hence (z, a) ∈ r using ⟨z ∈ A⟩ ⟨∀ y ∈ A. y ≠ a → (y, a) ∈ r⟩ by auto
    have a ∈ (insert x A) and z ∈ (insert x A) and x ∈ (insert x A)
      using ⟨a ∈ A⟩ ⟨z ∈ A⟩ by auto
    thus (z, x) ∈ r
      using ⟨(z, a) ∈ r⟩ ⟨(a, x) ∈ r⟩ ⟨transitive-on (insert x A) r⟩
        by(unfold transitive-on-def, blast)
    qed
  qed
  qed
  thus ∃ a. maximum (insert x A) a r
    using ⟨x ∈ (insert x A)⟩ by(unfold maximum-def, auto)
  next
    assume casob: (x, a) ∈ r
    have ∀ z ∈ (insert x A). z ≠ a → (z, a) ∈ r
    proof
      fix z
      assume hip1: z ∈ (insert x A)
      show z ≠ a → (z, a) ∈ r
      proof(rule impI)
        assume z ≠ a show (z, a) ∈ r
        proof-
          have z ∈ A ∨ z = x using ⟨z ∈ (insert x A)⟩ by auto
          thus (z, a) ∈ r
          proof(rule disjE)
            assume z ∈ A
            thus (z, a) ∈ r
              using ⟨z ≠ a⟩ ⟨∀ y ∈ A. y ≠ a → (y, a) ∈ r⟩ by auto
          next
            assume z = x
            thus (z, a) ∈ r using ⟨(x, a) ∈ r⟩ by auto
            qed
          qed
        qed
      qed
      thus ∃ a. maximum (insert x A) a r
        using ⟨a ∈ (insert x A)⟩ by(unfold maximum-def, auto)
      qed
    qed
  qed
  qed
  qed

```

```

thus ?thesis using assms by auto
qed

lemma finiteness-union-finite-sets:
  fixes S :: 'a ⇒ 'a set
  assumes ∀ x. finite (S x) and finite A
  shows finite (∪ a∈A. (S a)) using assms by auto

lemma uniqueness-level-aux:
  assumes k>0
  shows (level A r n) ∩ (level A r (n+k)) = {}
proof(rule ccontr)
  assume level A r n ∩ level A r (n + k) ≠ {}
  hence ∃ x. x ∈ (level A r n) ∩ level A r (n + k) by auto
  then obtain x where x ∈ (level A r n) ∩ level A r (n + k) by auto
  hence x ∈ A ∧ height A x r = n and x ∈ A ∧ height A x r = n+k
    by(unfold level-def, auto)
  thus False using <k>0 by auto
qed

lemma uniqueness-level:
  assumes n≠m
  shows (level A r n) ∩ (level A r m) = {}
proof-
  have n < m ∨ m < n using assms by auto
  thus ?thesis
  proof(rule disjE)
    assume n < m
    hence ∃ k. k>0 ∧ m=n+k by arith
    thus ?thesis using uniqueness-level-aux[of - A r] by auto
  next
    assume m < n
    hence ∃ k. k>0 ∧ n=m+k by arith
    thus ?thesis using uniqueness-level-aux[of - A r] by auto
  qed
qed

definition tree :: 'a set ⇒ 'a rel ⇒ bool
  where tree A r ≡
    r ⊆ A × A ∧ r ≠ {} ∧ (strict-part-order A r) ∧ (∃ a. minimum A a r) ∧
    (∀ a∈A. finite (predecessors A a r) ∧ (total-on (predecessors A a r) r))

definition finite-tree:: 'a set ⇒ 'a rel ⇒ bool
  where
finite-tree A r ≡ tree A r ∧ finite A

abbreviation infinite-tree:: 'a set ⇒ 'a rel ⇒ bool
  where
infinite-tree A r ≡ tree A r ∧ ¬ finite A

```

```

definition enumerable-tree :: 'a set  $\Rightarrow$  'a rel  $\Rightarrow$  bool where  

  enumerable-tree A r  $\equiv$   $\exists g.$  enumeration (g: nat  $\Rightarrow$  'a)
```

```

definition finitely-branching :: 'a set  $\Rightarrow$  'a rel  $\Rightarrow$  bool  

  where finitely-branching A r  $\equiv$   $(\forall x \in A.$  finite (imm-successors A x r))
```

```

definition sub-linear-order :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a rel  $\Rightarrow$  bool  

  where sub-linear-order B A r  $\equiv$  B  $\subseteq$  A  $\wedge$  (strict-part-order A r)  $\wedge$  (total-on B r)
```

```

definition path :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a rel  $\Rightarrow$  bool  

  where path B A r  $\equiv$   

    (sub-linear-order B A r)  $\wedge$   

     $(\forall C.$  B  $\subseteq$  C  $\wedge$  sub-linear-order C A r  $\longrightarrow$  B = C)
```

```

definition finite-path:: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a rel  $\Rightarrow$  bool  

  where finite-path B A r  $\equiv$  path B A r  $\wedge$  finite B
```

```

definition infinite-path:: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a rel  $\Rightarrow$  bool  

  where infinite-path B A r  $\equiv$  path B A r  $\wedge$   $\neg$  finite B
```

```

lemma tree:  

  assumes tree A r  

  shows  

  r  $\subseteq$  A  $\times$  A and r  $\neq \{\}$   

  and strict-part-order A r  

  and  $\exists a.$  minimum A a r  

  and  $(\forall a \in A.$  finite (predecessors A a r)  $\wedge$  (total-on (predecessors A a r) r))  

  using <tree A r> by(unfold tree-def, auto)
```

```

lemma non-empty:  

  assumes tree A r shows A  $\neq \{\}$   

proof–  

  have  $\exists a.$  minimum A a r using <tree A r> tree[of A r] by auto  

  hence  $\exists a.$  a  $\in A$  by(unfold minimum-def, auto)  

  thus A  $\neq \{\}$  by auto  

qed
```

```

lemma predecessors-spo:  

  assumes tree A r  

  shows  $\forall x \in A.$  strict-part-order (predecessors A x r) r  

proof–  

  have irreflexive-on A r and transitive-on A r using <tree A r>  

  by(unfold tree-def, unfold strict-part-order-def, auto)  

  thus ?thesis  

proof(unfold strict-part-order-def)  

  show  $\forall x \in A.$  irreflexive-on (predecessors A x r) r  $\wedge$   

  transitive-on (predecessors A x r) r
```

```

proof
  fix x
  assume x∈A
  show irreflexive-on (predecessors A x r) r ∧ transitive-on (predecessors A x r)
r
proof-
  have 1: irreflexive-on (predecessors A x r) r
  proof(unfold irreflexive-on-def)
    show ∀ y∈(predecessors A x r). (y, y) ∉ r
    proof
      fix y
      assume y∈(predecessors A x r)
      hence y∈A by(unfold predecessors-def,auto)
      thus (y, y) ∉ r using <irreflexive-on A r> by(unfold irreflexive-on-def,auto)
    qed
  qed
  have 2: transitive-on (predecessors A x r) r
  proof(unfold transitive-on-def)
    let ?B= (predecessors A x r)
    show ∀ w∈?B. ∀ y∈?B. ∀ z∈?B. (w, y) ∈ r ∧ (y, z) ∈ r → (w, z) ∈ r
    proof
      fix w assume w∈?B
      show ∀ y∈?B. ∀ z∈?B. (w, y) ∈ r ∧ (y, z) ∈ r → (w, z) ∈ r
      proof
        fix y assume y∈?B
        show ∀ z∈?B. (w, y) ∈ r ∧ (y, z) ∈ r → (w, z) ∈ r
        proof
          fix z assume z∈?B
          show (w, y) ∈ r ∧ (y, z) ∈ r → (w, z) ∈ r
          proof(rule impI)
            assume hip: (w, y) ∈ r ∧ (y, z) ∈ r
            show (w, z) ∈ r
            proof-
              have w∈A and y∈A and z∈A using <w∈?B> <y∈?B> <z∈?B>
                by(unfold predecessors-def,auto)
              thus (w, z) ∈ r
                using hip <transitive-on A r> by(unfold transitive-on-def, blast)
              qed
            qed
          qed
        qed
      qed
    qed
    show
      irreflexive-on (predecessors A x r) r ∧ transitive-on (predecessors A x r) r
      using 1 2 by auto
    qed
  qed
qed

```

qed

**lemma** *predecessors-maximum*:

assumes tree A r and minimum A a r  
shows  $\forall x \in A. x \neq a \longrightarrow (\exists b. \text{maximum}(\text{predecessors } A x r) b r)$

**proof**

fix x

assume  $x \in A$

show  $x \neq a \longrightarrow (\exists b. \text{maximum}(\text{predecessors } A x r) b r)$

**proof**(rule *impI*)

assume  $x \neq a$

show  $(\exists b. \text{maximum}(\text{predecessors } A x r) b r)$

**proof** –

have 1: strict-part-order(*predecessors A x r*) r

using ⟨tree A r⟩ ⟨ $x \in A$ ⟩ *predecessors-spo* by auto

have 2: total-on(*predecessors A x r*) r and

3: finite(*predecessors A x r*) and  $r \subseteq A \times A$

using ⟨tree A r⟩ ⟨ $x \in A$ ⟩ by(unfold *tree-def*, auto)

have 4: (*predecessors A x r*) ≠ {}

using ⟨ $r \subseteq A \times A$ ⟩ ⟨minimum A a r⟩ ⟨ $x \in A$ ⟩ ⟨ $x \neq a$ ⟩  
min-predecessor[of A a] by auto

have 5:  $A \neq \{\}$  using ⟨tree A r⟩ non-empty by auto

show  $(\exists b. \text{maximum}(\text{predecessors } A x r) b r)$

using 1 2 3 4 5 maximum-strict-part-order by auto

qed

qed

qed

**lemma** *non-empty-preds-in-tree*:

assumes tree A r and card(*predecessors A x r*) = n+1

shows  $x \in A$

**proof** –

have  $r \subseteq A \times A$  using ⟨tree A r⟩ by(unfold *tree-def*, auto)

have (*predecessors A x r*) ≠ {} using assms(2) by auto

hence  $\exists y \in A. (y, x) \in r$  by (unfold *predecessors-def*, auto)

thus  $x \in A$  using ⟨ $r \subseteq A \times A$ ⟩ by auto

qed

**lemma** *imm-predecessor*:

assumes tree A r

and card(*predecessors A x r*) = n+1 and

maximum(*predecessors A x r*) b r

shows height A b r = n

**proof** –

have transitive-on A r and  $r \subseteq A \times A$  and irreflexive-on A r

using ⟨tree A r⟩

by (unfold *tree-def*, unfold *strict-part-order-def*, auto)

have  $x \in A$  using assms(1) assms(2) non-empty-preds-in-tree by auto

have strict-part-order(*predecessors A x r*) r

```

using ⟨x∈A⟩ ⟨tree A r⟩ predecessors-spo[of A r] by auto
hence irreflexive-on (predecessors A x r) r and
    transitive-on (predecessors A x r) r
    by(unfold strict-part-order-def, auto)
have b∈(predecessors A x r)
    using ⟨maximum (predecessors A x r) b r⟩ by(unfold maximum-def, auto)
have total-on (predecessors A x r) r
    using ⟨x∈A⟩ ⟨tree A r⟩ by(unfold tree-def, auto)
have card (predecessors A x r)>0 using assms(2) by auto
hence 1: finite (predecessors A x r) using card-gt-0-iff by blast
have 2: b∈(predecessors A x r)
    using assms(3) by (unfold maximum-def,auto)
hence card ((predecessors A x r)−{b}) = n
    using 1 ⟨card (predecessors A x r) = n+1⟩
        card-Diff-singleton[of b (predecessors A x r) ] by auto
have (predecessors A b r) = ((predecessors A x r)−{b})
proof(rule equalityI)
show (predecessors A b r) ⊆ (predecessors A x r − {b})
proof
fix y
assume y∈(predecessors A b r)
hence y∈A and (y,b)∈r by (unfold predecessors-def,auto)
hence y≠b using ⟨irreflexive-on A r⟩ by(unfold irreflexive-on-def,auto)
have (b,x)∈r using 2 by (unfold predecessors-def,auto)
hence b∈A using ⟨r ⊆ A × A⟩ by auto
have (y,x)∈r using ⟨x∈A⟩ ⟨y∈A⟩ ⟨b∈A⟩ ⟨(y,b)∈r⟩ ⟨(b,x)∈r⟩ ⟨transitive-on
A r⟩
    by(unfold transitive-on-def, blast)
show y∈(predecessors A x r − {b})
    using ⟨y∈A⟩ ⟨(y,x)∈r⟩ ⟨y≠b⟩ by(unfold predecessors-def, auto)
qed
next
show (predecessors A x r − {b}) ⊆ (predecessors A b r)
proof
fix y
assume hip: y∈(predecessors A x r − {b})
hence y≠b and y∈A by(unfold predecessors-def, auto)
have (y,b)∈r using hip ⟨maximum (predecessors A x r) b r⟩
    by(unfold maximum-def,auto)
thus y∈(predecessors A b r) using ⟨y∈A⟩
    by(unfold predecessors-def, auto)
qed
qed
hence 3: card (predecessors A b r) = card (predecessors A x r − {b})
    by auto
have finite (predecessors A x r) using ⟨x∈A⟩ ⟨tree A r⟩ by(unfold tree-def,auto)
hence card (predecessors A x r − {b}) = card (predecessors A x r)−1
    using 2 card-Suc-Diff1 by auto
hence card (predecessors A b r) = n

```

```

using 3 <card (predecessors A x r) = n+1> by auto
thus height A b r = n by (unfold height-def, auto)
qed

lemma height:
assumes tree A r and height A x r = n+1
shows ∃y. (y,x)∈r ∧ height A y r = n
proof –
have 1: card (predecessors A x r) = n+1
using assms(2) by (unfold height-def, auto)
have ∃a. minimum A a r using <tree A r> by(unfold tree-def, auto)
then obtain a where a: minimum A a r by auto
have strict-part-order A r using <tree A r> tree[of A r] by auto
hence height A a r = 0 using a height-minimum[of A r] by auto
hence x ≠ a using assms(2) by auto
have x∈A using <tree A r> 1 non-empty-preds-in-tree by auto
hence (∃b. maximum (predecessors A x r) b r)
    using <x ≠ a> <tree A r> a predecessors-maximum[of A r a] by auto
then obtain b where b: (maximum (predecessors A x r) b r) by auto
hence (b,x)∈r by(unfold maximum-def, unfold predecessors-def,auto)
thus ∃y. (y,x)∈r ∧ height A y r = n
    using <tree A r> 1 b imm-predecessor[of A r] by auto
qed

lemma level:
assumes tree A r and x ∈ (level A r (n+1))
shows ∃y. (y,x)∈r ∧ y ∈ (level A r n)
proof –
have height A x r = n+1
using <x∈ (level A r (n+1))> by (unfold level-def, auto)
hence ∃y. (y,x)∈r ∧ height A y r = n
using <tree A r> height[of A r] by auto
then obtain y where y: (y,x)∈r ∧ height A y r = n by auto
have r ⊆ A × A using <tree A r> by(unfold tree-def,auto)
hence y∈A using y by auto
hence (y,x)∈r ∧ y ∈ (level A r n) using y by(unfold level-def, auto)
thus ?thesis by auto
qed

primrec set-nodes-at-level :: 'a set ⇒ 'a rel ⇒ nat ⇒ 'a set where
set-nodes-at-level A r 0 = {a. (minimum A a r)}
| set-nodes-at-level A r (Suc n) = (⋃a ∈ (set-nodes-at-level A r n). imm-successors
A a r)

lemma set-nodes-at-level-zero-spo:
assumes strict-part-order A r and minimum A a r
shows (set-nodes-at-level A r 0) = {a}
proof –
have a∈(set-nodes-at-level A r 0) using <minimum A a r> by auto

```

```

hence 1:  $\{a\} \subseteq (\text{set-nodes-at-level } A r 0)$  by auto
have 2:  $(\text{set-nodes-at-level } A r 0) \subseteq \{a\}$ 
proof
  {fix x
   assume  $x \in (\text{set-nodes-at-level } A r 0)$ 
   hence  $\text{minimum } A x r$  by auto
   hence  $x = a$  using assms spo-uniqueness-min[of A r] by auto
   thus  $x \in \{a\}$  by auto
  qed
  thus  $(\text{set-nodes-at-level } A r 0) = \{a\}$  using 1 2 by auto
  qed

```

```

lemma height-level:
  assumes strict-part-order A r and minimum A a r
  and  $x \in \text{set-nodes-at-level } A r n$ 
  shows height A x r = n
proof-
  have
     $[\text{strict-part-order } A r; \text{minimum } A a r; x \in \text{set-nodes-at-level } A r n] \implies$ 
    height A x r = n
  proof(induct n arbitrary: x)
    case 0
    then show height A x r = 0
  proof-
    have minimum A x r using  $\langle x \in \text{set-nodes-at-level } A r 0 \rangle$  by auto
    thus height A x r = 0
    using  $\langle \text{strict-part-order } A r \rangle$  height-minimum[of A r]
    by auto
  qed
  next
    case (Suc n)
    then show ?case
  proof-
    have  $x \in (\bigcup a \in (\text{set-nodes-at-level } A r n). (\text{imm-successors } A a r))$ 
    using Suc(4) by auto
    then obtain a
      where hip1:  $a \in (\text{set-nodes-at-level } A r n)$  and hip2:  $x \in (\text{imm-successors } A a r)$ 
      by auto
      hence 1: height A a r = n using Suc(1-3) by auto
      have height A x r = (height A a r) + 1
      using hip2 by(unfold imm-successors-def, auto)
      thus height A x r = Suc n using 1 by auto
    qed
  qed
  thus ?thesis using assms by auto
  qed

```

**lemma** level-func-vs-level-def:

```

assumes tree A r
shows set-nodes-at-level A r n = level A r n
proof(induct n)
have 1: strict-part-order A r and
  2:  $\forall x \in A. \text{finite}(\text{predecessors } A x r)$ 
  using ⟨tree A r⟩ tree[of A r] by auto
have  $\exists a. \text{minimum } A a r$  using ⟨tree A r⟩ by(unfold tree-def, auto)
then obtain a where a: minimum A a r by auto
case 0
then show set-nodes-at-level A r 0 = level A r 0
proof-
  have set-nodes-at-level A r 0 = {a} using 1 a set-nodes-at-level-zero-spo[of A r] by auto
  moreover
  have level A r 0 = {a} using 1 2 a zero-level[of A r] by auto
  ultimately
  show set-nodes-at-level A r 0 = level A r 0 by auto
qed
next
case (Suc n)
assume set-nodes-at-level A r n = level A r n
show set-nodes-at-level A r (Suc n) = level A r (Suc n)
proof(rule equalityI)
  show set-nodes-at-level A r (Suc n)  $\subseteq$  level A r (Suc n)
  proof(rule subsetI)
    fix x
    assume hip:  $x \in \text{set-nodes-at-level } A r (\text{Suc } n)$  show  $x \in \text{level } A r (\text{Suc } n)$ 
    proof-
      have
        set-nodes-at-level A r (Suc n) =  $(\bigcup a \in (\text{set-nodes-at-level } A r n). (\text{imm-successors } A a r))$ 
        by simp
      hence  $x \in (\bigcup a \in (\text{set-nodes-at-level } A r n). (\text{imm-successors } A a r))$ 
        using hip by auto
      then obtain a where hip1:  $a \in (\text{set-nodes-at-level } A r n)$  and
        hip2:  $x \in (\text{imm-successors } A a r)$  by auto
      have  $(a, x) \in r \wedge \text{height } A x r = (\text{height } A a r) + 1$ 
        using hip2 by(unfold imm-successors-def, auto)
      moreover
      have  $\exists b. \text{minimum } A b r$  using ⟨tree A r⟩ by(unfold tree-def, auto)
      then obtain b where b: minimum A b r by auto
      have 1:  $r \subseteq A \times A$  and strict-part-order A r
        using ⟨tree A r⟩ by(unfold tree-def, auto)
      hence height A a r = n using b hip1 height-level[of A r] by auto
      ultimately
      have  $(a, x) \in r \wedge \text{height } A x r = n + 1$  by auto
      hence  $x \in \text{level } A r (\text{Suc } n)$  by(unfold level-def, auto)
    qed
  qed

```

```

qed
next
show level A r (Suc n) ⊆ set-nodes-at-level A r (Suc n)
proof(rule subsetI)
fix x
assume hip: x ∈ level A r (Suc n) show x ∈ set-nodes-at-level A r (Suc n)
proof-
have 1: x ∈ A ∧ height A x r = n+1 using hip by(unfold level-def,auto)
hence ∃y. (y,x) ∈ r ∧ height A y r = n
using assms height[of A r] by auto
then obtain y where y1: (y,x) ∈ r and y2: height A y r = n by auto
hence x ∈ (imm-successors A y r)
using 1 by(unfold imm-successors-def, auto)
moreover
have r ⊆ A × A using <tree A r> by(unfold tree-def, auto)
have y ∈ A using y1 <r ⊆ A × A> by auto
hence y ∈ level A r n using y2 by(unfold level-def, auto)
hence y ∈ set-nodes-at-level A r n using Suc by auto
ultimately
show x ∈ set-nodes-at-level A r (Suc n) by auto
qed
qed
qed
qed

```

**lemma pertenece-level:**

assumes  $x \in \text{set-nodes-at-level } A r n$

shows  $x \in A$

proof-

have  $x \in \text{set-nodes-at-level } A r n \implies x \in A$

proof(induct n)

case 0

show  $x \in A$  using  $\langle x \in \text{set-nodes-at-level } A r 0 \rangle$  minimum-def[of A x r] by auto

next

case (Suc n)

then show  $x \in A$

proof-

have  $\exists a \in (\text{set-nodes-at-level } A r n). x \in \text{imm-successors } A a r$

using  $\langle x \in \text{set-nodes-at-level } A r (\text{Suc } n) \rangle$  by auto

then obtain a where a1:  $a \in (\text{set-nodes-at-level } A r n)$  and

a2:  $x \in \text{imm-successors } A a r$  by auto

show  $x \in A$  using a2 imm-successors-def[of A a r] by auto

qed

qed

thus  $x \in A$  using assms by auto

qed

**lemma finiteness-set-nodes-at-levela:**

```

assumes  $\forall x \in A. \text{finite}(\text{imm-successors } A x r)$  and  $\text{finite}(\text{set-nodes-at-level } A r n)$ 
shows  $\text{finite}(\bigcup a \in (\text{set-nodes-at-level } A r n). \text{imm-successors } A a r)$ 
proof
  show  $\text{finite}(\text{set-nodes-at-level } A r n)$  using assms(2) by simp
next
  fix  $x$ 
  assume hip:  $x \in \text{set-nodes-at-level } A r n$  show  $\text{finite}(\text{imm-successors } A x r)$ 
proof-
  have  $x \in A$  using hip pertenece-level[of  $x A r$ ] by auto
  thus  $\text{finite}(\text{imm-successors } A x r)$  using assms(1) by auto
qed
qed

lemma finiteness-set-nodes-at-level:
assumes  $\text{finite}(\text{set-nodes-at-level } A r 0)$  and  $\text{finitely-branching } A r$ 
shows  $\text{finite}(\text{set-nodes-at-level } A r n)$ 
proof(induct n)
  case 0
  show  $\text{finite}(\text{set-nodes-at-level } A r 0)$  using assms by auto
next
  case (Suc n)
  then show ?case
  proof-
    have 1:  $\forall x \in A. \text{finite}(\text{imm-successors } A x r)$ 
    using assms by (unfold finitely-branching-def, auto)
    hence  $\text{finite}(\bigcup a \in (\text{set-nodes-at-level } A r n). \text{imm-successors } A a r)$ 
      using Suc(1) finiteness-set-nodes-at-levela[of  $A r$ ] by auto
      thus  $\text{finite}(\text{set-nodes-at-level } A r (\text{Suc } n))$  by auto
    qed
  qed

lemma finite-level:
assumes tree  $A r$  and finitely-branching  $A r$ 
shows  $\text{finite}(\text{level } A r n)$ 
proof-
  have 1: strict-part-order  $A r$  using <tree  $A r$ > tree[of  $A r$ ] by auto
  have  $\exists a. \text{minimum } A a r$  using <tree  $A r$ > tree[of  $A r$ ] by auto
  then obtain a where minimum  $A a r$  by auto
  hence  $\text{finite}(\text{set-nodes-at-level } A r 0)$ 
    using 1 set-nodes-at-level-zero-spo[of  $A r$ ] by auto
  hence  $\text{finite}(\text{set-nodes-at-level } A r n)$ 
    using <finitely-branching  $A r$ > finiteness-set-nodes-at-level[of  $A r$ ] by auto
    thus ?thesis using <tree  $A r$ > level-func-vs-level-def[of  $A r n$ ] by auto
  qed

lemma finite-level-a:
assumes tree  $A r$  and  $\forall n. \text{finite}(\text{level } A r n)$ 
shows finitely-branching  $A r$ 

```

```

proof(unfold finitely-branching-def)
  show  $\forall x \in A. \text{finite}(\text{imm-successors } A x r)$ 
  proof
    fix  $x$ 
    assume  $x \in A$ 
    show  $\text{finite}(\text{imm-successors } A x r)$  using finitely-branching-def
    proof-
      let  $?n = (\text{height } A x r)$ 
      have  $(\text{imm-successors } A x r) \subseteq (\text{level } A r (?n+1))$ 
        using imm-successors-def[of A x r] level-def[of A r ?n+1] by auto
      thus  $\text{finite}(\text{imm-successors } A x r)$  using assms(2) by(simp add: finite-subset)

    qed
  qed
  qed

lemma empty-predec:
  assumes  $\forall x \in A. (x, y) \notin r$ 
  shows  $\text{predecessors } A y r = \{\}$ 
  using assms by(unfold predecessors-def, auto)

lemma level-element:
   $\forall x \in A. \exists n. x \in \text{level } A r n$ 
  proof
    fix  $x$ 
    assume hip:  $x \in A$  show  $\exists n. x \in \text{level } A r n$ 
    proof-
      let  $?n = \text{height } A x r$ 
      have  $x \in \text{level } A r ?n$  using < $x \in A$ > by (unfold level-def, auto)
      thus  $\exists n. x \in \text{level } A r n$  by auto
    qed
  qed

lemma union-levels:
  shows  $A = (\bigcup n. \text{level } A r n)$ 
  proof(rule equalityI)
    show  $A \subseteq (\bigcup n. \text{level } A r n)$ 
    proof(rule subsetI)
      fix  $x$ 
      assume hip:  $x \in A$  show  $x \in (\bigcup n. \text{level } A r n)$ 
      proof-
        have  $\exists n. x \in \text{level } A r n$ 
          using hip level-element[of A] by auto
        then obtain  $n$  where  $x \in \text{level } A r n$  by auto
        thus ?thesis by auto
      qed
    qed
  next
    show  $(\bigcup n. \text{level } A r n) \subseteq A$ 

```

```

proof(rule subsetI)
  fix x
  assume hip:  $x \in (\bigcup n. \text{level } A \ r \ n)$  show  $x \in A$ 
  proof-
    obtain n where  $x \in \text{level } A \ r \ n$  using hip by auto
    thus  $x \in A$  by(unfold level-def, auto)
  qed
  qed
qed

lemma path-to-node:
  assumes tree A r and  $x \in (\text{level } A \ r \ (n+1))$ 
  shows  $\forall k. (0 \leq k \wedge k \leq n) \longrightarrow (\exists y. (y, x) \in r \wedge y \in (\text{level } A \ r \ k))$ 
  proof-
    have tree A r  $\implies x \in (\text{level } A \ r \ (n+1)) \implies$ 
     $\forall k. (0 \leq k \wedge k \leq n) \longrightarrow (\exists y. (y, x) \in r \wedge y \in (\text{level } A \ r \ k))$ 
    proof(induction n arbitrary; x)
      have  $r \subseteq A \times A$  and 1: strict-part-order A r
      and  $\exists a. \text{minimum } A \ a \ r$ 
      and 2:  $\forall x \in A. \text{finite } (\text{predecessors } A \ x \ r)$ 
      using <tree A r> tree[of A r] by auto
      case 0
      show  $\forall k. 0 \leq k \wedge k \leq 0 \longrightarrow (\exists y. (y, x) \in r \wedge y \in \text{level } A \ r \ k)$ 
      proof
        fix k
        show  $0 \leq k \wedge k \leq 0 \longrightarrow (\exists y. (y, x) \in r \wedge y \in \text{level } A \ r \ k)$ 
        proof(rule impI)
          assume hip:  $0 \leq k \wedge k \leq 0$ 
          show  $(\exists y. (y, x) \in r \wedge y \in \text{level } A \ r \ k)$ 
          proof-
            have  $k=0$  using hip by auto
            thus  $(\exists y. (y, x) \in r \wedge y \in \text{level } A \ r \ k)$ 
            using <tree A r> < $x \in (\text{level } A \ r \ (0 + 1))\rangle \text{level}[of } A \ r \ ]$  by auto
          qed
        qed
      qed
      next
      case (Suc n)
      show  $\forall k. 0 \leq k \wedge k \leq \text{Suc } n \longrightarrow (\exists y. (y, x) \in r \wedge y \in \text{level } A \ r \ k)$ 
      proof(rule allI, rule impI)
        fix k
        assume hip:  $0 \leq k \wedge k \leq \text{Suc } n$ 
        show  $(\exists y. (y, x) \in r \wedge y \in \text{level } A \ r \ k)$ 
        proof-
          have  $(0 \leq k \wedge k \leq n) \vee k = \text{Suc } n$  using hip by auto
          thus ?thesis
        proof(rule disjE)
          assume hip1:  $0 \leq k \wedge k \leq n$ 
          have  $\exists y. (y, x) \in r \wedge y \in (\text{level } A \ r \ (n+1))$ 

```

```

using <tree A r> level <x ∈ level A r (Suc n + 1)> by auto
then obtain y where y1: (y,x)∈r and y2: y ∈ (level A r (n+1))
  by auto
have ∀ k. 0 ≤ k ∧ k ≤ n → (∃ z. (z, y) ∈ r ∧ z ∈ level A r k)
  using y2 Suc(1–3) by auto
hence (∃ z. (z, y) ∈ r ∧ z ∈ level A r k)
  using hip1 by auto
then obtain z where z1: (z, y) ∈ r and z2: z ∈ (level A r k) by auto
have r ⊆ A × A and strict-part-order A r
  using <tree A r> tree by auto
hence z∈A and y∈A and x∈A
  using <r ⊆ A × A> <(z, y) ∈ r> <(y,x)∈r> by auto
have transitive-on A r using <strict-part-order A r>
  by(unfold strict-part-order-def, auto)
hence (z, x) ∈ r using <z∈A> <y∈A> and <x∈A> <(z, y) ∈ r> <(y,x)∈r>
  by(unfold transitive-on-def, blast)
thus (∃ y. (y, x) ∈ r ∧ y ∈ level A r k)
  using z2 by auto
next
assume k = Suc n
thus ∃ y. (y,x)∈r ∧ y ∈ (level A r k)
  using <tree A r> level <x ∈ level A r (Suc n + 1)> by auto
qed
qed
qed
thus ?thesis using assms by auto
qed

lemma set-nodes-at-level:
assumes tree A r
shows (level A r (n+1)) ≠ {} → (∀ k. (0 ≤ k ∧ k ≤ n) → (level A r k) ≠ {})
proof(rule impI)
assume hip: (level A r (n+1)) ≠ {}
show (∀ k. (0 ≤ k ∧ k ≤ n) → (level A r k) ≠ {}) by blast
proof-
have ∃ x. x ∈ (level A r (n+1)) using hip by auto
then obtain x where x: x ∈ (level A r (n+1)) by auto
thus ?thesis using assms path-to-node[of A r] by blast
qed
qed

lemma emptiness-below-height:
assumes tree A r
shows ((level A r (n+1)) = {}) → (∀ k. k > (n+1) → (level A r k) = {})
proof(rule ccontr)
assume hip: ¬ (level A r (n+1) = {}) → (∀ k > (n+1). level A r k = {})
show False
proof-

```

```

have ((level A r (n+1)) = {}) ∧ ¬(∀ k > (n+1). level A r k = {})
  using hip by auto
hence 1: (level A r (n+1)) = {} and 2: ∃ k > (n+1). (level A r k) ≠ {}
  by auto
obtain z where z1: z > (n+1) and z2: (level A r z) ≠ {}
  using 2 by auto
have z > 0 using ⟨z > (n+1)⟩ by auto
hence (level A r ((z-1)+1)) ≠ {}
  using z2 by simp
hence ∀ k. (0 ≤ k ∧ k ≤ (z-1)) → (level A r k) ≠ {}
  using z2 ⟨tree A r⟩ set-nodes-at-level[of A r z-1]
  by auto
hence (level A r (n+1)) ≠ {}
  using ⟨z > (n+1)⟩ by auto
thus False using 1 by auto
qed
qed

lemma characterization-nodes-tree-finite-height:
assumes tree A r and ∀ k. k > m → (level A r k) = {}
shows A = (∪ n ∈ {0..m}. level A r n)
proof-
  have a: A = (∪ n. level A r n) using union-levels[of A r] by auto
  have (∪ n. level A r n) = (∪ n ∈ {0..m}. level A r n)
  proof(rule equalityI)
    show (∪ n. level A r n) ⊆ (∪ n ∈ {0..m}. level A r n)
    proof(rule subsetI)
      fix x
      assume hip: x ∈ (∪ n. level A r n)
      show x ∈ (∪ n ∈ {0..m}. level A r n)
      proof-
        have ∃ n. x ∈ level A r n
        using hip level-element[of A] by auto
        then obtain n where n: x ∈ level A r n by auto
        have n ∈ {0..m}
        proof(rule ccontr)
          assume 1: n ∉ {0..m}
          show False
          proof-
            have n > m using 1 by auto
            thus False using assms(2) n by auto
          qed
        qed
        thus x ∈ (∪ n ∈ {0..m}. level A r n) using n by auto
      qed
    qed
  qed
next
  show (∪ n ∈ {0..m}. level A r n) ⊆ (∪ n. level A r n) by auto
qed

```

**thus**  $A = (\bigcup_{n \in \{0..m\}} \text{level } A \ r \ n)$  **using** *a* **by** *auto*  
**qed**

**lemma** *finite-tree-if-fin-branches-and-fin-height*:  
**assumes** *tree A r and finitely-branching A r*  
**and**  $\exists n. (\forall k. k > n \rightarrow (\text{level } A \ r \ k) = \{\})$   
**shows** *finite A*  
**proof**–  
**obtain** *m where m: ( $\forall k. k > m \rightarrow (\text{level } A \ r \ k) = \{\})$*   
**using** *assms(3) by auto*  
**hence**  $1: A = (\bigcup_{n \in \{0..m\}} \text{level } A \ r \ n)$   
**using** *assms(1) assms(3) characterization-nodes-tree-finite-height[of A r m]*  
**by** *auto*  
**have**  $\forall n. \text{finite}(\text{level } A \ r \ n)$   
**using** *assms(1-2) finite-level by auto*  
**hence**  $\forall n \in \{0..m\}. \text{finite}(\text{level } A \ r \ n)$  **by** *auto*  
**hence** *finite*  $(\bigcup_{n \in \{0..m\}} \text{level } A \ r \ n)$  **by** *auto*  
**thus** *finite A using 1 by auto*  
**qed**

**lemma** *all-levels-non-empty*:  
**assumes** *infinite-tree A r and finitely-branching A r*  
**shows**  $\forall n. \text{level } A \ r \ n \neq \{\}$   
**proof**(rule *ccontr*)  
**assume** *hip:  $\neg (\forall n. \text{level } A \ r \ n \neq \{\})$*   
**show** *False*  
**proof**–  
**have** *tree A r using <infinite-tree A r> by auto*  
**have**  $(\exists n. \text{level } A \ r \ n = \{\})$  **using** *hip by auto*  
**then obtain** *n where n: level A r n = {} by auto*  
**thus** *False*  
**proof**(cases *n*)  
**case** *0*  
**then show** *False*  
**proof**–  
**have**  $\exists a. \text{minimum } A \ a \ r$  **using** *<tree A r> tree[of A r] by auto*  
**then obtain** *a where a: minimum A a r by auto*  
**have** *strict-part-order A r*  
**and**  $\forall x \in A. \text{finite}(\text{predecessors } A \ x \ r)$   
**using** *<tree A r> tree[of A r] by auto*  
**hence** *level A r n = {a}*  
**using** *a <n=0> zero-level[of A r a] by auto*  
**thus** *False using <level A r n = {}> by auto*  
**qed**  
**next**  
**case** (*Suc nat*)  
**fix** *m*  
**assume** *hip: n = Suc m show False*  
**proof**–

```

have 1: level A r (Suc m) = {}
  using hip n by auto
have ( $\forall k. k > (m+1) \rightarrow (\text{level } A r k) = \{\}$ )
  using <tree A r> 1 emptyness-below-height[of A r m] by auto
hence 1: ( $\exists n. \forall k. k > n \rightarrow (\text{level } A r k) = \{\}$ ) by auto
hence 2: finite A
using <tree A r> 1 <finitely-branching A r> finite-tree-if-fin-branches-and-fin-height[of A r] by auto
have 3:  $\neg \text{finite } A$  using <infinite-tree A r> by auto
show False using 2 3 by auto
qed
qed
qed
qed

```

**lemma** *simple-cyclefree*:

**assumes** *tree A r and  $(x,z) \in r$  and  $(y,z) \in r$  and  $x \neq y$*   
**shows**  *$(x,y) \in r \vee (y,x) \in r$*

**proof** –

```

have  $r \subseteq A \times A$  using <tree A r> by(unfold tree-def, auto)
hence  $x \in A$  and  $y \in A$  and  $z \in A$  using <(x,z) \in r> and <(y,z) \in r> by auto
hence 1:  $x \in \text{predecessors } A z r$  and 2:  $y \in \text{predecessors } A z r$ 
  using assms by(unfold predecessors-def, auto)
have (total-on (predecessors A z r) r)
  using <tree A r> <z \in A> by(unfold tree-def, auto)
thus ?thesis using 1 2 <x \neq y> total-on-def[of predecessors A z r r] by auto
qed

```

**lemma** *inclusion-predecessors*:

**assumes**  $r \subseteq A \times A$  **and** *strict-part-order A r* **and**  $(x,y) \in r$   
**shows**  *$(\text{predecessors } A x r) \subset (\text{predecessors } A y r)$*

**proof** –

```

have irreflexive-on A r and transitive-on A r
  using assms(2) by (unfold strict-part-order-def, auto)
have 1: (predecessors A x r) ⊆ (predecessors A y r)
proof (rule subsetI)
  fix  $z$ 
  assume  $z \in \text{predecessors } A x r$ 
  hence  $z \in A$  and  $(z,x) \in r$  by (unfold predecessors-def, auto)
  have  $x \in A$  and  $y \in A$  using <(x,y) \in r> & r \subseteq A \times A by auto
  hence  $(z,y) \in r$ 
    using <z \in A> <y \in A> <x \in A> <(z,x) \in r> <(x,y) \in r> <\text{transitive-on } A r>
    by (unfold transitive-on-def, blast)
  thus  $z \in \text{predecessors } A y r$ 
    using <z \in A> by(unfold predecessors-def, auto)
qed
have 2:  $x \in \text{predecessors } A y r$ 
  using <r ⊆ A × A> <(x,y) \in r> by(unfold predecessors-def, auto)
have 3:  $x \notin \text{predecessors } A x r$ 

```

```

proof(rule ccontr)
  assume  $\neg x \notin \text{predecessors } A x r$ 
  hence  $x \in \text{predecessors } A x r$  by auto
  hence  $x \in A \wedge (x,x) \in r$ 
    by(unfold predecessors-def, auto)
  thus False using <irreflexive-on A r>
    by (unfold irreflexive-on-def, auto)
  qed
  have ( $\text{predecessors } A x r \neq \text{predecessors } A y r$ )
    using ?3 by auto
  thus ?thesis using 1 by auto
  qed

lemma different-height-finite-pred:
  assumes  $r \subseteq A \times A$  and strict-part-order A r and  $(x,y) \in r$ 
  and finite (predecessors A y r)
  shows height A x r < height A y r
proof-
  have card(predecessors A x r) < card(predecessors A y r)
    using assms inclusion-predecessors[of r A x y] psubset-card-mono by auto
  thus ?thesis by(unfold height-def, auto)
qed

lemma different-levels-finite-pred:
  assumes  $r \subseteq A \times A$  and strict-part-order A r and  $(x,y) \in r$ 
  and  $x \in (\text{level } A r n)$  and  $y \in (\text{level } A r m)$ 
  and finite (predecessors A y r)
  shows level A r n ≠ level A r m
proof(rule ccontr)
  assume  $\neg \text{level } A r n \neq \text{level } A r m$ 
  hence  $\text{level } A r n = \text{level } A r m$  by auto
  hence  $x \in (\text{level } A r m)$  using < $x \in (\text{level } A r n)$ > by auto
  hence 1:  $\text{height } A x r = m$  by(unfold level-def, auto)
  have  $\text{height } A y r = m$  using < $y \in (\text{level } A r m)$ > by(unfold level-def, auto)
  hence  $\text{height } A x r = \text{height } A y r$  using 1 by auto
  thus False
    using assms different-height-finite-pred[of r A x y] by (unfold level-def, auto)
qed

lemma less-level-pred-in-fin-pred:
  assumes  $r \subseteq A \times A$  and strict-part-order A r
  and  $x \in \text{predecessors } A y r$  and  $y \in (\text{level } A r n)$ 
  and  $x \in (\text{level } A r m)$ 
  and finite (predecessors A y r)
  shows  $m < n$ 
proof-
  have  $(x,y) \in r$  using < $(x \in \text{predecessors } A y r)$ >
    by (unfold predecessors-def, auto)
  thus ?thesis

```

**using assms** *different-height-finite-pred*[of  $r A x y$ ] **by**(*unfold level-def, auto*)  
**qed**

**lemma** *emptiness-inter-diff-levels-aux*:

**assumes** *tree A r* **and**  $x \in (\text{predecessors } A z r)$   
**and**  $y \in (\text{predecessors } A z r)$   
**and**  $x \neq y$  **and**  $x \in (\text{level } A r n)$  **and**  $y \in (\text{level } A r m)$   
**shows**  $\text{level } A r n \cap \text{level } A r m = \{\}$

**proof**–

**have**  $(x, y) \in r \vee (y, x) \in r$

**using assms** *simple-cyclefree*[of  $A$ ] **by**(*unfold predecessors-def, auto*)

**thus**  $\text{level } A r n \cap \text{level } A r m = \{\}$

**proof**(*rule disjE*)

**assume**  $(x, y) \in r$

**have**  $r \subseteq A \times A$  **and** 1: *strict-part-order*  $A r$

**using**  $\langle \text{tree } A r \rangle$  **by**(*unfold tree-def, auto*)

**hence**  $x \in A$  **and**  $y \in A$  **and** 2:  $x \in (\text{predecessors } A y r)$

**using**  $\langle (x, y) \in r \rangle$  **by**(*unfold predecessors-def, auto*)

**have** 3: *finite* (*predecessors A y r*)

**using**  $\langle y \in A \rangle$   $\langle \text{tree } A r \rangle$  **by**(*unfold tree-def, auto*)

**hence**  $n < m$

**using assms**  $\langle r \subseteq A \times A \rangle$  1 2 3 *less-level-pred-in-fin-pred*[of  $r A x y m n$ ]

**by** *auto*

**hence**  $\exists k > 0. m = n + k$  **by** *arith*

**then obtain**  $k$  **where**  $k: k > 0$  **and**  $m: m = n + k$  **by** *auto*

**thus** ?*thesis* **using** *uniqueness-level-aux*[*OF k, of A*]

**by** *auto*

**next**

**assume**  $(y, x) \in r$

**have**  $r \subseteq A \times A$  **and** 1: *strict-part-order*  $A r$

**using**  $\langle \text{tree } A r \rangle$  **by**(*unfold tree-def, auto*)

**hence**  $x \in A$  **and**  $y \in A$  **and** 2:  $y \in (\text{predecessors } A x r)$

**using**  $\langle (y, x) \in r \rangle$

**by**(*unfold predecessors-def, auto*)

**have** 3: *finite* (*predecessors A x r*)

**using**  $\langle x \in A \rangle$   $\langle \text{tree } A r \rangle$

**by**(*unfold tree-def, auto*)

**hence**  $m < n$

**using assms**  $\langle r \subseteq A \times A \rangle$  1 2 3 *less-level-pred-in-fin-pred*[of  $r A y x n m$ ]

**by** *auto*

**hence**  $\exists k > 0. n = m + k$  **by** *arith*

**then obtain**  $k$  **where**  $k: k > 0$  **and**  $m: n = m + k$  **by** *auto*

**thus** ?*thesis* **using** *uniqueness-level-aux*[*OF k, of A*] **by** *auto*

**qed**

**qed**

**lemma** *emptiness-inter-diff-levels*:

**assumes** *tree A r* **and**  $(x, z) \in r$  **and**  $(y, z) \in r$   
**and**  $x \neq y$  **and**  $x \in (\text{level } A r n)$  **and**  $y \in (\text{level } A r m)$

```

shows level A r n ∩ level A r m = {}
proof-
  have r ⊆ A × A using ⟨tree A r⟩ tree by auto
  hence x ∈ A and y ∈ A using ⟨r ⊆ A × A⟩ ⟨(x,z) ∈ r⟩ ⟨(y,z) ∈ r⟩ by auto
  hence x ∈ (predecessors A z r) and y ∈ (predecessors A z r)
    using ⟨(x,z) ∈ r⟩ and ⟨(y,z) ∈ r⟩ by (unfold predecessors-def, auto)
  thus ?thesis
  using assms emptiness-inter-diff-levels-aux[of A r] by blast
qed

primrec disjunction-nodes :: 'a list ⇒ 'a formula where
  disjunction-nodes [] = FF
  | disjunction-nodes (v#D) = (atom v) ∨. (disjunction-nodes D)

lemma truth-value-disjunction-nodes:
  assumes v ∈ set l and t-v-evaluation I (atom v) = Ttrue
  shows t-v-evaluation I (disjunction-nodes l) = Ttrue
proof-
  have v ∈ set l ⟹ t-v-evaluation I (atom v) = Ttrue ⟹
  t-v-evaluation I (disjunction-nodes l) = Ttrue
  proof(induct l)
    case Nil
    then show ?case by auto
  next
    case (Cons a l)
    then show t-v-evaluation I (disjunction-nodes (a # l)) = Ttrue
    proof-
      have v = a ∨ v ≠ a by auto
      thus t-v-evaluation I (disjunction-nodes (a # l)) = Ttrue
      proof(rule disjE)
        assume v = a
        hence 1: disjunction-nodes (a#l) = (atom v) ∨. (disjunction-nodes l)
          by auto
        have t-v-evaluation I ((atom v) ∨. (disjunction-nodes l)) = Ttrue
        using Cons(3) by(unfold t-v-evaluation-def,unfold v-disjunction-def, auto)
        thus ?thesis using 1 by auto
      next
        assume v ≠ a
        hence v ∈ set l using Cons(2) by auto
        hence t-v-evaluation I (disjunction-nodes l) = Ttrue
          using Cons(1) Cons(3) by auto
        thus ?thesis
          by(unfold t-v-evaluation-def,unfold v-disjunction-def, auto)
      qed
    qed
  qed
  thus ?thesis using assms by auto
qed

```

```

lemma set-set-to-list1:
  assumes tree A r and finitely-branching A r
  shows set (set-to-list (level A r n)) = (level A r n)
  using assms finite-level[of A r n] set-set-to-list by auto

lemma truth-value-disjunction-formulas:
  assumes tree A r and finitely-branching A r
  and v ∈ (level A r n) ∧ t-v-evaluation I (atom v) = Ttrue
  and F = disjunction-nodes(set-to-list (level A r n))
  shows t-v-evaluation I F = Ttrue
proof-
  have set (set-to-list (level A r n)) = (level A r n)
  using set-set-to-list1 assms(1–2) by auto
  hence v ∈ set (set-to-list (level A r n))
  using assms(3) by auto
  thus t-v-evaluation I F = Ttrue
  using assms(3–4) truth-value-disjunction-nodes by auto
qed

definition F :: 'a set ⇒ 'a rel ⇒ ('a formula) set where
  F A r ≡ (⋃ n. {disjunction-nodes(set-to-list (level A r n))})

definition G :: 'a set ⇒ 'a rel ⇒ ('a formula) set where
  G A r ≡ {(atom u) →. (atom v) | u v. u ∈ A ∧ v ∈ A ∧ (v,u) ∈ r}

definition Hn :: 'a set ⇒ 'a rel ⇒ nat ⇒ ('a formula) set where
  Hn A r n ≡ {¬.((atom u) ∧. (atom v))
    | u v . u ∈ (level A r n) ∧ v ∈ (level A r n) ∧ u ≠ v }

definition H :: 'a set ⇒ 'a rel ⇒ ('a formula) set where
  H A r ≡ ⋃ n. Hn A r n

definition T :: 'a set ⇒ 'a rel ⇒ ('a formula) set where
  T A r ≡ (F A r) ∪ (G A r) ∪ (H A r)

primrec nodes-formula :: 'v formula ⇒ 'v set where
  nodes-formula FF = {}
  | nodes-formula TT = {}
  | nodes-formula (atom P) = {P}
  | nodes-formula (¬. F) = nodes-formula F
  | nodes-formula (F ∧. G) = nodes-formula F ∪ nodes-formula G
  | nodes-formula (F ∨. G) = nodes-formula F ∪ nodes-formula G
  | nodes-formula (F →. G) = nodes-formula F ∪ nodes-formula G

definition nodes-set-formulas :: 'v formula set ⇒ 'v set where
  nodes-set-formulas S = (⋃ F ∈ S. nodes-formula F)

definition maximum-height:: 'v set ⇒ 'v rel ⇒ 'v formula set ⇒ nat where
  maximum-height A r S = Max (⋃ x ∈ nodes-set-formulas S. {height A x r})

```

```

lemma node-formula:
  assumes  $v \in \text{set } l$ 
  shows  $v \in \text{nodes-formula} (\text{disjunction-nodes } l)$ 
proof-
  have  $v \in \text{set } l \implies v \in \text{nodes-formula} (\text{disjunction-nodes } l)$ 
  proof(induct l)
    case Nil
      then show ?case by auto
    next
      case (Cons a l)
        show  $v \in \text{nodes-formula} (\text{disjunction-nodes } (a \# l))$ 
      proof-
        have  $v = a \vee v \neq a$  by auto
        thus  $v \in \text{nodes-formula} (\text{disjunction-nodes } (a \# l))$ 
        proof(rule disjE)
          assume  $v = a$ 
          hence 1:  $\text{disjunction-nodes } (a \# l) = (\text{atom } v) \vee (\text{disjunction-nodes } l)$ 
            by auto
          have  $v \in \text{nodes-formula} ((\text{atom } v) \vee (\text{disjunction-nodes } l))$  by auto
          thus ?thesis using 1 by auto
        next
          assume  $v \neq a$ 
          hence  $v \in \text{set } l$  using Cons(2) by auto
          hence  $v \in \text{nodes-formula} (\text{disjunction-nodes } l)$ 
            using Cons(1) Cons(2) by auto
          thus ?thesis by auto
        qed
      qed
    qed
    thus ?thesis using assms by auto
  qed

lemma node-disjunction-formulas:
  assumes tree A r and finitely-branching A r and  $v \in (\text{level } A r n)$ 
  and  $F = \text{disjunction-nodes}(\text{set-to-list } (\text{level } A r n))$ 
  shows  $v \in \text{nodes-formula } F$ 
proof-
  have set (set-to-list (level A r n)) = (level A r n)
    using set-set-to-list1 assms(1-2) by auto
  hence  $v \in \text{set } (\text{set-to-list } (\text{level } A r n))$ 
    using assms(3) by auto
  thus  $v \in \text{nodes-formula } F$ 
    using assms(3-4) node-formula by auto
  qed

fun node-sig-level-max:: 'v set  $\Rightarrow$  'v rel  $\Rightarrow$  'v formula set  $\Rightarrow$  'v
  where node-sig-level-max A r S =
    (SOME u.  $u \in (\text{level } A r ((\text{maximum-height } A r S) + 1))$ )

```

```

lemma node-level-maximum:
  assumes infinite-tree A r and finitely-branching A r
  shows (node-sig-level-max A r S) ∈ (level A r ((maximum-height A r S)+1))
proof-
  have ∃ u. u ∈ (level A r ((maximum-height A r S)+1))
  using assms all-levels-non-empty[of A r] by (unfold level-def, auto)
  then obtain u where u: u ∈ (level A r ((maximum-height A r S)+1)) by auto
  hence (SOME u. u ∈ (level A r ((maximum-height A r S)+1))) ∈ (level A r ((maximum-height A r S)+1))
  using someI by auto
  thus ?thesis by auto
qed

fun path-interpretation :: 'v set ⇒ 'v rel ⇒ 'v ⇒ ('v ⇒ v-truth) where
  path-interpretation A r u = (λv. (if (v,u) ∈ r then Ttrue else Ffalse))

lemma finiteness-nodes-formula:
  finite (nodes-formula F) by(induct F, auto)

lemma finiteness-set-nodes:
  assumes finite S
  shows finite (nodes-set-formulas S)
  using assms finiteness-nodes-formula
  by (unfold nodes-set-formulas-def, auto)

lemma maximum1:
  assumes finite S and u ∈ nodes-set-formulas S
  shows (height A u r) ≤ (maximum-height A r S)
proof-
  have (height A u r) ∈ ( ∪ x ∈ nodes-set-formulas S. {height A x r})
  using assms(2) by auto
  thus (height A u r) ≤ (maximum-height A r S)
  using ⟨finite S⟩ finiteness-set-nodes[of S]
  by(unfold maximum-height-def, auto)
qed

lemma value-path-interpretation:
  assumes t-v-evaluation (path-interpretation A r v) (atom u) = Ttrue
  shows (u,v) ∈ r
proof(rule ccontr)
  assume (u, v) ∉ r
  hence t-v-evaluation (path-interpretation A r v) (atom u) = Ffalse
  by(unfold t-v-evaluation-def, auto)
  thus False using assms by auto
qed

lemma satisfiable-path:
  assumes infinite-tree A r
  and finitely-branching A r and S ⊆ (T A r)

```

```

and finite S
shows satisfiable S
proof-
  let ?m = (maximum-height A r S)+1
  let ?level = level A r ?m
  let ?u = node-sig-level-max A r S
  have 1: tree A r using ⟨infinite-tree A r⟩ by auto
  have r ⊆ A × A and strict-part-order A r
    using ⟨tree A r⟩ tree by auto
  have transitive-on A r
    using ⟨strict-part-order A r⟩
    by(unfold strict-part-order-def, auto)
  have ∃ u. u ∈ ?level
    using assms(1–2) node-level-maximum by auto
  then obtain u where u: u ∈ ?level by auto
  hence levelu: ?u ∈ ?level
    using someI by auto
  hence ?u∈A by(unfold level-def, auto)
  have (path-interpretation A r ?u) model S
  proof(unfold model-def)
    show ∀ F∈S. t-v-evaluation (path-interpretation A r ?u) F = Ttrue
  proof
    fix F assume F ∈ S
    show t-v-evaluation (path-interpretation A r ?u) F = Ttrue
  proof-
    have F ∈ (F A r) ∪ (G A r) ∪ (H A r)
    using ⟨S ⊆ T A r⟩ ⟨F ∈ S⟩ assms(2) by(unfold T-def, auto)
    hence F ∈ (F A r) ∨ F ∈ (G A r) ∨ F ∈ (H A r) by auto
    thus ?thesis
    proof(rule disjE)
      assume F ∈ (F A r)
      hence ∃ n. F = disjunction-nodes(set-to-list (level A r n))
        by(unfold F-def, auto)
      then obtain n
        where n: F = disjunction-nodes(set-to-list (level A r n))
          by auto
      have ∃ v. v ∈ (level A r n)
        using assms(1–2) all-levels-non-empty[of A r] by auto
      then obtain v where v: v ∈ (level A r n) by auto
      hence v ∈ nodes-formula F
        using n node-disjunction-formulas[OF 1 assms(2) v, of F ]
        by auto
      hence a: v ∈ nodes-set-formulas S
        using ⟨F ∈ S⟩ by(unfold nodes-set-formulas-def, blast)
      hence b: (height A v r) ≤ (maximum-height A r S)
        using ⟨finite S⟩ maximum1[of S v] by auto
      have (height A v r) = n
        using v by(unfold level-def, auto)
      hence n < ?m

```

```

using <finite S> a maximum1[of S v A r]
by(unfold maximum-height-def, auto)
hence ( $\exists y. (y,?u) \in r \wedge y \in (\text{level } A r n)$ )
    using levelu <tree A r> path-to-node[of A r]
    by auto
then obtain y where y1:  $(y,?u) \in r$  and y2:  $y \in (\text{level } A r n)$ 
    by auto
hence t-v-evaluation (path-interpretation A r ?u) (atom y) = Ttrue
    by auto
thus t-v-evaluation (path-interpretation A r ?u) F = Ttrue
    using 1 assms(2) y2 n truth-value-disjunction-formulas[of A r y]
    by auto
next
assume  $F \in \mathcal{G} A r \vee F \in \mathcal{H} A r$ 
thus t-v-evaluation (path-interpretation A r ?u) F = Ttrue
proof(rule disjE)
    assume  $F \in \mathcal{G} A r$ 
    hence  $\exists u. \exists v. u \in A \wedge v \in A \wedge (v,u) \in r \wedge$ 
         $(F = (\text{atom } u) \rightarrow. (\text{atom } v))$ 
        by (unfold G-def, auto)
    then obtain u v where  $u \in A$  and  $v \in A$  and  $(v,u) \in r$ 
    and  $F: (F = (\text{atom } u) \rightarrow. (\text{atom } v))$  by auto
    show t-v-evaluation (path-interpretation A r ?u) F = Ttrue
    proof(rule ccontr)
        assume  $\neg(\text{t-v-evaluation (path-interpretation A r ?u)} F = Ttrue)$ 
        hence t-v-evaluation (path-interpretation A r ?u) F = Ffalse
            using Bivaluation by auto
        hence t-v-evaluation (path-interpretation A r ?u) (atom u) = Ttrue  $\wedge$ 
            t-v-evaluation (path-interpretation A r ?u) (atom v) = Ffalse
            using F eval-false-implication by blast
        hence 1: t-v-evaluation (path-interpretation A r ?u) (atom u) = Ttrue
            and 2: t-v-evaluation (path-interpretation A r ?u) (atom v) = Ffalse
            by auto
        have  $(u,?u) \in r$  using 1 value-path-interpretation by auto
        hence  $(v,?u) \in r$ 
            using < $u \in A$ > < $v \in A$ > < $?u \in A$ > < $(v,u) \in r$ > <transitive-on A r>
            by(unfold transitive-on-def, blast)
        hence t-v-evaluation (path-interpretation A r ?u) (atom v) = Ttrue
            by auto
        thus False using 2 by auto
    qed
next
assume  $F \in \mathcal{H} A r$ 
hence  $\exists n. F \in \mathcal{H}n A r n$  by(unfold H-def, auto)
then obtain n where  $F \in \mathcal{H}n A r n$  by auto
hence
 $\exists u. \exists v. F = \neg.((\text{atom } u) \wedge. (\text{atom } v)) \wedge u \in (\text{level } A r n) \wedge$ 
 $v \in (\text{level } A r n) \wedge u \neq v$ 
    by(unfold Hn-def, auto)

```

```

then obtain u v where F:  $F = \neg((\text{atom } u) \wedge (\text{atom } v))$ 
and  $u \in (\text{level } A r n)$  and  $v \in (\text{level } A r n)$  and  $u \neq v$ 
by auto
show t-v-evaluation (path-interpretation A r ?u) F = Ttrue
proof(rule ccontr)
assume t-v-evaluation (path-interpretation A r ?u) F ≠ Ttrue
hence t-v-evaluation (path-interpretation A r ?u) F = Ffalse
using Bivaluation by auto
hence
t-v-evaluation (path-interpretation A r ?u)((\text{atom } u) \wedge (\text{atom } v)) = Ttrue
using F NegationValues1 by blast
hence t-v-evaluation (path-interpretation A r ?u)(\text{atom } u) = Ttrue \wedge
t-v-evaluation (path-interpretation A r ?u)(\text{atom } v) = Ttrue
using ConjunctionValues by blast
hence  $(u, ?u) \in r$  and  $(v, ?v) \in r$ 
using value-path-interpretation by auto
hence  $a: (\text{level } A r n) \cap (\text{level } A r n) = \{\}$ 
using <tree A r> < $u \in (\text{level } A r n)$ > < $v \in (\text{level } A r n)$ > < $u \neq v$ >
emptiness-inter-diff-levels[of A r]
by blast
have  $(\text{level } A r n) \neq \{\}$ 
using < $v \in (\text{level } A r n)$ > by auto
thus False using a by auto
qed
qed
qed
qed
qed
thus satisfiable S by(unfold satisfiable-def, auto)
qed

```

**definition**  $\mathcal{B}::$  'a set  $\Rightarrow$  ('a  $\Rightarrow$  v-truth)  $\Rightarrow$  'a set **where**  
 $\mathcal{B} A I \equiv \{u|u. u \in A \wedge \text{t-v-evaluation } I (\text{atom } u) = \text{Ttrue}\}$

**lemma** value-disjunction-list1:  
assumes t-v-evaluation I (disjunction-nodes (a # l)) = Ttrue  
shows t-v-evaluation I (atom a) = Ttrue  $\vee$  t-v-evaluation I (disjunction-nodes l) = Ttrue  
**proof-**  
have disjunction-nodes (a # l) = (atom a)  $\vee$ . (disjunction-nodes l)  
by auto  
hence t-v-evaluation I ((atom a)  $\vee$ . (disjunction-nodes l)) = Ttrue  
using assms by auto  
thus ?thesis using DisjunctionValues by blast  
qed

**lemma** value-disjunction-list:

```

assumes t-v-evaluation I (disjunction-nodes l) = Ttrue
shows  $\exists x. x \in \text{set } l \wedge \text{t-v-evaluation } I (\text{atom } x) = \text{Ttrue}$ 
proof-
  have t-v-evaluation I (disjunction-nodes l) = Ttrue  $\implies$ 
     $\exists x. x \in \text{set } l \wedge \text{t-v-evaluation } I (\text{atom } x) = \text{Ttrue}$ 
  proof(induct l)
    case Nil
      then show ?case by auto
    next
      case (Cons a l)
        show  $\exists x. x \in \text{set } (a \# l) \wedge \text{t-v-evaluation } I (\text{atom } x) = \text{Ttrue}$ 
        proof-
          have t-v-evaluation I (atom a) = Ttrue  $\vee$  t-v-evaluation I (disjunction-nodes
l)=Ttrue
            using Cons(2) value-disjunction-list1[of I] by auto
            thus ?thesis
            proof(rule disjE)
              assume t-v-evaluation I (atom a) = Ttrue
              thus ?thesis by auto
            next
              assume t-v-evaluation I (disjunction-nodes l) = Ttrue
              thus ?thesis
                using Cons by auto
              qed
            qed
          qed
          thus ?thesis using assms by auto
        qed

lemma intersection-branch-set-nodes-at-level:
  assumes infinite-tree A r and finitely-branching A r
  and I:  $\forall F \in (\mathcal{F} A r). \text{t-v-evaluation } I F = \text{Ttrue}$ 
  shows  $\forall n. \exists x. x \in \text{level } A r n \wedge x \in (\mathcal{B} A I)$  using all-levels-non-empty
  proof-
    fix n
    have  $\forall n. \text{t-v-evaluation } I (\text{disjunction-nodes}(\text{set-to-list}(\text{level } A r n))) = \text{Ttrue}$ 
      using I by (unfold F-def, auto)
    hence 1:
       $\forall n. \exists x. x \in \text{set}(\text{set-to-list}(\text{level } A r n)) \wedge \text{t-v-evaluation } I (\text{atom } x) = \text{Ttrue}$ 
      using value-disjunction-list by auto
    have tree A r
      using <infinite-tree A r>by auto
    hence  $\forall n. \text{set}(\text{set-to-list}(\text{level } A r n)) = \text{level } A r n$ 
      using assms(1-2) set-set-to-list1 by auto
    hence  $\forall n. \exists x. x \in \text{level } A r n \wedge \text{t-v-evaluation } I (\text{atom } x) = \text{Ttrue}$ 
      using 1 by auto
    hence  $\forall n. \exists x. x \in \text{level } A r n \wedge x \in A \wedge \text{t-v-evaluation } I (\text{atom } x) = \text{Ttrue}$ 
      by(unfold level-def, auto)
    thus ?thesis using B-def[of A I] by auto

```

qed

**lemma** intersection-branch-emptiness-below-height:  
assumes  $I: \forall F \in (\mathcal{H} A r). t\text{-v-evaluation } I F = T\text{true}$   
and  $x \in (\mathcal{B} A I)$  and  $y \in (\mathcal{B} A I)$  and  $x \neq y$  and  $n: x \in \text{level } A r n$   
and  $m: y \in \text{level } A r m$   
shows  $n \neq m$   
**proof**(rule ccontr)  
assume  $\neg n \neq m$   
hence  $n = m$  by auto  
have  $x \in A$  and  $y \in A$  and  $v1: t\text{-v-evaluation } I (\text{atom } x) = T\text{true}$   
and  $v2: t\text{-v-evaluation } I (\text{atom } y) = T\text{true}$   
using  $\langle x \in (\mathcal{B} A I) \rangle \langle y \in (\mathcal{B} A I) \rangle$  by(unfold  $\mathcal{B}$ -def, auto)  
have  $\neg((\text{atom } x) \wedge (\text{atom } y)) \in (\mathcal{H} n A r n)$   
using  $\langle x \in A \rangle \langle y \in A \rangle \langle x \neq y \rangle n m \langle n = m \rangle$   
by(unfold  $\mathcal{H}$ -def, auto)  
hence  $\neg((\text{atom } x) \wedge (\text{atom } y)) \in (\mathcal{H} A r)$   
by(unfold  $\mathcal{H}$ -def, auto)  
hence  $t\text{-v-evaluation } I (\neg((\text{atom } x) \wedge (\text{atom } y))) = T\text{true}$   
using  $I$  by auto  
moreover  
have  $t\text{-v-evaluation } I ((\text{atom } x) \wedge (\text{atom } y)) = T\text{true}$   
using  $v1 v2 v\text{-conjunction-def}$  by auto  
hence  $t\text{-v-evaluation } I (\neg((\text{atom } x) \wedge (\text{atom } y))) = F\text{false}$   
using  $v\text{-negation-def}$  by auto  
ultimately  
show False by auto  
qed

**lemma** intersection-branch-level:  
assumes infinite-tree  $A r$  and finitely-branching  $A r$   
and  $I: \forall F \in (\mathcal{F} A r) \cup (\mathcal{H} A r). t\text{-v-evaluation } I F = T\text{true}$   
shows  $\forall n. \exists u. (\mathcal{B} A I) \cap \text{level } A r n = \{u\}$   
**proof**  
fix  $n$   
show  $\exists u. (\mathcal{B} A I) \cap \text{level } A r n = \{u\}$   
**proof**–  
have  $\exists u. u \in \text{level } A r n \wedge u \in (\mathcal{B} A I)$   
using assms intersection-branch-set-nodes-at-level[of  $A r I$ ] by auto  
then obtain  $u$  where  $u: u \in \text{level } A r n \wedge u \in (\mathcal{B} A I)$  by auto  
hence 1:  $\{u\} \subseteq (\mathcal{B} A I) \cap \text{level } A r n$  by blast  
have 2:  $(\mathcal{B} A I) \cap \text{level } A r n \subseteq \{u\}$   
**proof**(rule subsetI)  
fix  $x$   
assume  $x \in (\mathcal{B} A I) \cap \text{level } A r n$   
hence 2:  $x \in (\mathcal{B} A I) \wedge x \in \text{level } A r n$  by auto  
have  $u = x$   
**proof**(rule ccontr)  
assume  $u \neq x$

```

hence  $n \neq n$ 
  using  $u \ 2 \ I$  intersection-branch-emptiness-below-height[of A r] by blast
  thus False by auto
qed
  thus  $x \in \{u\}$  by auto
qed
have  $(\mathcal{B} \ A \ I) \cap \text{level } A \ r \ n = \{u\}$ 
  using 1 2 by auto
  thus  $\exists u. (\mathcal{B} \ A \ I) \cap \text{level } A \ r \ n = \{u\}$  by auto
qed
qed

```

**lemma** predecessor-in-branch:

```

assumes  $I: \forall F \in (\mathcal{G} \ A \ r).$  t-v-evaluation  $I \ F = T\text{true}$ 
  and  $y \in (\mathcal{B} \ A \ I)$  and  $(x, y) \in r$  and  $x \in A$  and  $y \in A$ 
shows  $x \in (\mathcal{B} \ A \ I)$ 
proof–
  have  $(\text{atom } y) \rightarrow. (\text{atom } x) \in \mathcal{G} \ A \ r$ 
    using  $\langle x \in A \rangle \ \langle y \in A \rangle \ \langle (x, y) \in r \rangle$  by (unfold  $\mathcal{G}$ -def, auto)
  hence t-v-evaluation  $I ((\text{atom } y) \rightarrow. (\text{atom } x)) = T\text{true}$ 
    using  $I$  by auto
  moreover
  have t-v-evaluation  $I (\text{atom } y) = T\text{true}$ 
    using  $\langle y \in (\mathcal{B} \ A \ I) \rangle$  by (unfold  $\mathcal{B}$ -def, auto)
  ultimately
  have t-v-evaluation  $I (\text{atom } x) = T\text{true}$ 
    using v-implication-def by auto
  thus  $x \in (\mathcal{B} \ A \ I)$  using  $\langle x \in A \rangle$  by (unfold  $\mathcal{B}$ -def, auto)
qed

```

**lemma** is-path:

```

assumes infinite-tree  $A \ r$  and finitely-branching  $A \ r$ 
  and  $I: \forall F \in (\mathcal{T} \ A \ r).$  t-v-evaluation  $I \ F = T\text{true}$ 
shows path  $(\mathcal{B} \ A \ I) \ A \ r$ 
proof(unfold path-def)
  let  $?B = (\mathcal{B} \ A \ I)$ 
  have tree  $A \ r$ 
    using  $\langle \text{infinite-tree } A \ r \rangle$  by auto
  have  $\forall F \in (\mathcal{F} \ A \ r) \cup (\mathcal{G} \ A \ r) \cup (\mathcal{H} \ A \ r).$  t-v-evaluation  $I \ F = T\text{true}$ 
    using  $I$  by (unfold  $\mathcal{T}$ -def)
  hence  $I1: \forall F \in (\mathcal{F} \ A \ r).$  t-v-evaluation  $I \ F = T\text{true}$ 
  and  $I2: \forall F \in (\mathcal{G} \ A \ r).$  t-v-evaluation  $I \ F = T\text{true}$ 
  and  $I3: \forall F \in (\mathcal{H} \ A \ r).$  t-v-evaluation  $I \ F = T\text{true}$ 
    by auto
  have 0: sub-linear-order  $?B \ A \ r$ 
  proof(unfold sub-linear-order-def)
    have 1:  $?B \subseteq A$  by (unfold  $\mathcal{B}$ -def, auto)
    have 2: strict-part-order  $A \ r$ 
      using  $\langle \text{tree } A \ r \rangle \ \langle \text{tree}[of ] A \ r \rangle$  by auto

```

```

have total-on ?B r
proof(unfold total-on-def)
  show ∀x∈?B. ∀y∈?B. x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r
  proof
    fix x
    assume x∈?B
    show ∀y∈?B. x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r
    proof
      fix y
      assume y∈?B
      show x ≠ y → (x, y) ∈ r ∨ (y, x) ∈ r
      proof(rule impI)
        assume x ≠ y
        have x∈A and y∈A and v1: t-v-evaluation I (atom x) = Ttrue
        and v2: t-v-evaluation I (atom y) = Ttrue
        using ⟨x∈?B⟩ ⟨y∈?B⟩ by(unfold B-def, auto)
        have (∃n. x ∈ level A r n) and (∃m. y ∈ level A r m)
        using ⟨x∈A⟩ and ⟨y∈A⟩ level-element[of A r]
        by auto
        then obtain n m
        where n: x ∈ level A r n and m: y ∈ level A r m
        by auto
        have n≠m
        using I3 ⟨x∈?B⟩ ⟨y∈?B⟩ ⟨x ≠ y⟩ n m
        intersection-branch-emptiness-below-height[of A r]
        by auto
        hence n<m ∨ m< n by auto
        thus (x, y) ∈ r ∨ (y, x) ∈ r
        proof(rule disjE)
          assume n < m
          have (x, y) ∈ r
          proof(rule ccontr)
            assume (x, y) ∉ r
            have ∃z. (z, y)∈r ∧ z ∈ level A r n
            using ⟨tree A r⟩ ⟨y ∈ level A r m⟩ ⟨n < m⟩
            path-to-node[of A r y m-1]
            by auto
            then obtain z where z1: (z, y)∈r and z2: z ∈ level A r n
            by auto
            have z∈A using ⟨tree A r⟩ tree z1 by auto
            hence z∈(B A I)
            using I2 ⟨y∈A⟩ ⟨y∈?B⟩ ⟨(z, y)∈r⟩ predecessor-in-branch[of A r I y
            z]
            by auto
            have x≠z using ⟨(x, y) ∉ r⟩ ⟨(z, y)∈r⟩ by auto
            hence n≠m
            using I3 ⟨x∈?B⟩ ⟨z∈?B⟩ n z2 intersection-branch-emptiness-below-height[of
            A r]
            by blast

```

```

thus False by auto
qed
thus (x, y) ∈ r ∨ (y, x) ∈ r by auto
next
assume m < n
have (y, x) ∈ r
proof(rule ccontr)
assume (y, x) ∉ r
have ∃ z. (z, x) ∈ r ∧ z ∈ level A r m
using ⟨tree A r⟩ ⟨x ∈ level A r n⟩ ⟨m < n⟩
path-to-node[of A r x n-1]
by auto
then obtain z where z1: (z, x) ∈ r and z2: z ∈ level A r m
by auto
have z ∈ A using ⟨tree A r⟩ tree z1 by auto
hence z ∈ (B A I)
using I2 ⟨x ∈ A⟩ ⟨x ∈ ?B⟩ ⟨(z, x) ∈ r⟩ predecessor-in-branch[of A r I x
z]
by auto
have y ≠ z using ⟨(y, x) ∉ r⟩ ⟨(z, x) ∈ r⟩ by auto
hence m ≠ m
using I3 ⟨y ∈ ?B⟩ ⟨z ∈ ?B⟩ m z2 intersection-branch-emptiness-below-height[of
A r ]
by blast
thus False by auto
qed
thus (x, y) ∈ r ∨ (y, x) ∈ r by auto
qed
qed
qed
qed
qed
thus 3: ?B ⊆ A ∧ strict-part-order A r ∧ total-on ?B r
using 1 2 by auto
qed
have 4: (∀ C. ?B ⊆ C ∧ sub-linear-order C A r → ?B = C)
proof
fix C
show ?B ⊆ C ∧ sub-linear-order C A r → ?B = C
proof(rule impI)
assume ?B ⊆ C ∧ sub-linear-order C A r
hence ?B ⊆ C and sub-linear-order C A r by auto
have C ⊆ ?B
proof(rule subsetI)
fix x
assume x ∈ C
have C ⊆ A
using ⟨sub-linear-order C A r⟩
by(unfold sub-linear-order-def, auto)

```

```

hence  $x \in A$  using  $\langle x \in C \rangle$  by auto
have  $\exists n. x \in \text{level } A r n$ 
  using  $\langle x \in A \rangle$   $\text{level-element}[of A]$  by auto
then obtain  $n$  where  $n: x \in \text{level } A r n$  by auto
have  $\exists u. (\mathcal{B} A I) \cap \text{level } A r n = \{u\}$ 
  using  $\text{assms}(1,2)$   $I1 I3$   $\text{intersection-branch-level}[of A r]$ 
  by blast
then obtain  $u$  where  $i: (\mathcal{B} A I) \cap \text{level } A r n = \{u\}$ 
  by auto
hence  $u \in A$  and  $u: u \in \text{level } A r n$ 
  by( $\text{unfold level-def}$ , auto)
have  $x = u$ 
proof(rule ccontr)
  assume hip:  $x \neq u$ 
  have  $u \in (\mathcal{B} A I)$  using  $i$  by auto
  hence  $u \in C$  using  $\langle ?B \subseteq C \rangle$  by auto
  have total-on  $C r$ 
    using  $\langle \text{sub-linear-order } C A r \rangle$   $\text{sub-linear-order-def}[of C A r]$ 
    by blast
  hence  $(x, u) \in r \vee (u, x) \in r$ 
    using hip  $\langle x \in C \rangle \langle u \in C \rangle \langle \text{sub-linear-order } C A r \rangle$ 
    by( $\text{unfold total-on-def}$ , auto)
  thus False
proof(rule disjE)
  assume  $(x, u) \in r$ 
  have  $r \subseteq A \times A$  and  $\text{strict-part-order } A r$ 
  and finite (predecessors  $A u r$ )
    using  $\langle u \in A \rangle \langle \text{tree } A r \rangle \text{tree}[of A r]$  by auto
  hence  $(\text{level } A r n) \neq (\text{level } A r n)$ 
    using  $\langle (x, u) \in r \rangle \langle x \in \text{level } A r n \rangle \langle u \in \text{level } A r n \rangle$ 
    different-levels-finite-pred[of  $r A$ ] by blast
  thus False by auto
next
  assume  $(u, x) \in r$ 
  have  $r \subseteq A \times A$  and  $\text{strict-part-order } A r$ 
  and finite (predecessors  $A x r$ )
    using  $\langle x \in A \rangle \langle \text{tree } A r \rangle \text{tree}[of A r]$  by auto
  hence  $(\text{level } A r n) \neq (\text{level } A r n)$ 
    using  $\langle (u, x) \in r \rangle \langle u \in \text{level } A r n \rangle \langle x \in \text{level } A r n \rangle$ 
    different-levels-finite-pred[of  $r A$ ] by blast
  thus False by auto
qed
qed
thus  $x \in ?B$  using  $i$  by auto
qed
thus  $?B = C$  using  $\langle ?B \subseteq C \rangle$  by blast
qed
qed
thus  $\text{sub-linear-order } (\mathcal{B} A I) A r \wedge$ 

```

```

 $(\forall C. \mathcal{B} A I \subseteq C \wedge \text{sub-linear-order } C A r \longrightarrow \mathcal{B} A I = C)$ 
using ‹sub-linear-order (B A I) A r› by auto
qed

```

```

lemma surjective-infinite:
assumes  $\exists f:: 'a \Rightarrow \text{nat}. \forall n. \exists x \in A. n = f(x)$ 
shows infinite A
proof(rule ccontr)
  assume  $\neg \text{infinite } A$ 
  hence finite A by auto
  hence  $\exists n. \exists g. A = g `` \{i: \text{nat}. i < n\}$ 
    using finite-imp-nat-seg-image-inj-on[of A] by auto
  then obtain n g where  $g: A = g `` \{i: \text{nat}. i < n\}$  by auto
  obtain f where  $(\forall n. \exists x \in A. n = (f:: 'a \Rightarrow \text{nat})(x))$ 
    using assms by auto
  hence  $\forall m. \exists k \in \{i: \text{nat}. i < n\}. m = (f \circ g)(k)$ 
    using g by auto
  hence (UNIV :: nat set)  $= (f \circ g) `` \{i: \text{nat}. i < n\}$ 
    by blast
  hence finite (UNIV :: nat set)
    using nat-seg-image-imp-finite by blast
  thus False by auto
qed

```

```

lemma family-intersection-infinita:
fixes P :: nat  $\Rightarrow 'a \text{ set}$ 
assumes  $\forall n. \forall m. n \neq m \longrightarrow P n \cap P m = \{\}$ 
and  $\forall n. (A \cap (P n)) \neq \{\}$ 
shows infinite ( $\bigcup n. (A \cap (P n))$ )
proof-
  let ?f =  $\lambda x. \text{SOME } n. x \in (A \cap (P n))$ 
  have  $\forall n. \exists x \in (\bigcup n. (A \cap (P n))). n = ?f(x)$ 
  proof
    fix n
    obtain a where a:  $a \in (A \cap (P n))$  using assms(2) by auto
    {fix m
    have  $a \in (A \cap (P m)) \longrightarrow m = n$ 
    proof(rule impI)
      assume hip:  $a \in A \cap P m$  show m = n
      proof(rule ccontr)
        assume m  $\neq n$ 
        hence  $P m \cap P n = \{\}$  using assms(1) by auto
        thus False using a hip by auto
      qed
    qed}
    hence  $\bigwedge m. a \in A \cap P m \implies m = n$  by auto
    hence 1:  $?f(a) = n$  using a some-equality by auto
    have a  $\in (\bigcup n. (A \cap (P n)))$  using a by auto
    thus  $\exists x \in \bigcup n. A \cap P n. n = (\text{SOME } n. x \in A \cap P n)$  using 1 by auto

```

**qed**  
**hence**  $\exists f::'a \Rightarrow \text{nat}.$   $\forall n. \exists x \in ((\bigcup n. (A \cap (P n)))).$   $n = f(x)$   
**using** *exI* **by** *auto*  
**thus** *?thesis* **using** *surjective-infinite* **by** *auto*  
**qed**

**lemma** *infinite-path*:  
**assumes** *infinite-tree A r* **and** *finitely-branching A r*  
**and**  $I: \forall F \in (\mathcal{F} A r).$  *t-v-evaluation I F = Ttrue*  
**shows** *infinite (B A I)*  
**proof–**  
**have**  $a: \forall n. \forall m. n \neq m \rightarrow \text{level } A r n \cap \text{level } A r m = \{\}$   
**using** *uniqueness-level*[of *- - A r*] **by** *auto*  
**have**  $\forall n. B A I \cap \text{level } A r n \neq \{\}$   
**using** *<infinite-tree A r>*  
*<finitely-branching A r> I intersection-branch-set-nodes-at-level*[of *A r*]  
**by** *blast*  
**hence** *infinite*  $(\bigcup n. (B A I) \cap \text{level } A r n)$   
**using** *family-intersection-infinita* *a* **by** *auto*  
**thus** *infinite (B A I)* **by** *auto*  
**qed**

**theorem** *Koenig-Lemma*:  
**assumes** *infinite-tree (A::'nodes:: countable set) r*  
**and** *finitely-branching A r*  
**shows**  $\exists B.$  *infinite-path B A r*  
**proof–**  
**have** *satisfiable (T A r)*  
**proof–**  
**have**  $\forall S. S \subseteq (\mathcal{T} A r) \wedge (\text{finite } S) \rightarrow \text{satisfiable } S$   
**using** *<infinite-tree A r> <finitely-branching A r> satisfiable-path*  
**by** *auto*  
**thus** *satisfiable (T A r)*  
**using** *Compactness-Theorem*[of *(T A r)*] **by** *auto*  
**qed**  
**hence**  $\exists I. (\forall F \in (\mathcal{T} A r). \text{t-v-evaluation } I F = Ttrue)$   
**by**(*unfold satisfiable-def, unfold model-def, auto*)  
**then obtain I where**  $I: \forall F \in (\mathcal{T} A r). \text{t-v-evaluation } I F = Ttrue$   
**by** *auto*  
**hence**  $\forall F \in (\mathcal{F} A r) \cup (\mathcal{G} A r) \cup (\mathcal{H} A r). \text{t-v-evaluation } I F = Ttrue$   
**by**(*unfold T-def*)  
**hence** *I1:*  $\forall F \in (\mathcal{F} A r). \text{t-v-evaluation } I F = Ttrue$   
**and** *I2:*  $\forall F \in (\mathcal{G} A r). \text{t-v-evaluation } I F = Ttrue$   
**and** *I3:*  $\forall F \in (\mathcal{H} A r). \text{t-v-evaluation } I F = Ttrue$   
**by** *auto*  
**let** *?B = (B A I)*  
**have** *infinite-path ?B A r*  
**proof**(*unfold infinite-path-def*)  
**show** *path ?B A r*  $\wedge$  *infinite ?B*

```

proof(rule conjI)
  show path ?B A r
    using ‹infinite-tree A r› ‹finitely-branching A r› I is-path[of A r]
    by auto
  show infinite (?B A I)
    using ‹infinite-tree A r› ‹finitely-branching A r› I1 infinite-path
    by auto
  qed
qed
thus ∃ B. infinite-path B A r by auto
qed

end

```

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