

# Types Proved Being BNFs during the Formalization of the Probabilistic Hierarchy

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## 1 Nonempty Sets Strictly Bounded by an Infinite Cardinal

**theory** *Nonempty-Bounded-Set*

**imports**

*HOL-Cardinals.Bounded-Set*

**begin**

```
typedef ('a, 'k) nebset (- set![-] [22, 21] 21) =  
  {A :: 'a set. A ≠ {} ∧ |A| <o natLeq +c |UNIV :: 'k set|}  
morphisms set-nebset Abs-nebset  
apply (rule exI[of - {undefined}], simp)  
apply (rule Cfinite-ordLess-Cinfinite)  
apply (auto simp: cfinite-def cinfinite-csum natLeq-cinfinite Card-order-csum)  
done
```

**setup-lifting** *type-definition-nebset*

**lift-definition** *map-nebset* ::

$('a \Rightarrow 'b) \Rightarrow 'a \text{ set!}['k] \Rightarrow 'b \text{ set!}['k]$  **is** *image*  
**using** *card-of-image ordLeq-ordLess-trans* **by** *blast*

**lift-definition** *rel-nebset* ::

$('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ set!}['k] \Rightarrow 'b \text{ set!}['k] \Rightarrow \text{bool}$  **is** *rel-set* .

**lift-definition** *nebinsert* ::  $'a \Rightarrow 'a \text{ set!}['k] \Rightarrow 'a \text{ set!}['k]$  **is** *insert*

**using** *infinite-card-of-insert ordIso-ordLess-trans Field-card-of Field-natLeq UNIV-Plus-UNIV*  
*csum-def finite-Plus-UNIV-iff finite-insert finite-ordLess-infinite2 infinite-UNIV-nat*  
*insert-not-empty* **by** *metis*

```

lift-definition nebsingleton :: 'a ⇒ 'a set!['k] is λa. {a}
  apply simp
  apply (rule Cfinite-ordLess-Cinfinite)
  apply (auto simp: cfinite-def cinfinite-csum natLeq-cinfinite Card-order-csum)
  done

lemma set-nebset-to-set-nebset: A ≠ {} ⇒ |A| <o natLeq +c |UNIV :: 'k set|
⇒
  set-nebset (the-inv set-nebset A :: 'a set!['k]) = A
  apply (rule f-the-inv-into-f[unfolded inj-on-def])
  apply (simp add: set-nebset-inject range-eqI Abs-nebset-inverse[symmetric])
  apply (rule range-eqI Abs-nebset-inverse[symmetric] CollectI)+
  apply blast
  done

lemma rel-nebset-aux-infinite:
  fixes a :: 'a set!['k] and b :: 'b set!['k]
  shows (∀ t ∈ set-nebset a. ∃ u ∈ set-nebset b. R t u) ∧ (∀ u ∈ set-nebset b. ∃ t ∈
set-nebset a. R t u) ↔
  ((BNF-Def.Grp {a. set-nebset a ⊆ {(a, b). R a b}} (map-nebset fst))-1-1 OO
  BNF-Def.Grp {a. set-nebset a ⊆ {(a, b). R a b}} (map-nebset snd)) a b (is ?L
↔ ?R)
proof
  assume ?L
  define R' :: ('a × 'b) set!['k]
    where R' = the-inv set-nebset (Collect (case-prod R) ∩ (set-nebset a ×
set-nebset b))
    (is - = the-inv set-nebset ?L')
  from ⟨?L⟩ have ?L' ≠ {} by transfer auto
  moreover
  have |?L'| <o natLeq +c |UNIV :: 'k set|
    unfolding csum-def Field-natLeq
    by (intro ordLeq-ordLess-trans[OF card-of-mono1[OF Int-lower2]]
card-of-Times-ordLess-infinite)
    (simp, (transfer, simp add: csum-def Field-natLeq)+)
  ultimately have *: set-nebset R' = ?L' unfolding R'-def by (intro set-nebset-to-set-nebset)
  show ?R unfolding Grp-def relcompp.simps conversep.simps
  proof (intro CollectI case-prodI exI[of - a] exI[of - b] exI[of - R'] conjI refl)
    from * show a = map-nebset fst R' using conjunct1[OF ⟨?L⟩]
    by (transfer, auto simp add: image-def Int-def split: prod.splits)
    from * show b = map-nebset snd R' using conjunct2[OF ⟨?L⟩]
    by (transfer, auto simp add: image-def Int-def split: prod.splits)
  qed (auto simp add: *)
next
  assume ?R thus ?L unfolding Grp-def relcompp.simps conversep.simps
  by transfer force
qed

bnf 'a set!['k]

```

```

map: map-nebset
sets: set-nebset
bd: natLeq +c card-suc |UNIV :: 'k set|
rel: rel-nebset
proof –
  show map-nebset id = id by (rule ext, transfer) simp
next
  fix f g
  show map-nebset (f o g) = map-nebset f o map-nebset g by (rule ext, transfer)
  auto
next
  fix X f g
  assume  $\bigwedge z. z \in \text{set-nebset } X \implies f z = g z$ 
  then show map-nebset f X = map-nebset g X by transfer force
next
  fix f
  show set-nebset o map-nebset f = (·) f o set-nebset by (rule ext, transfer) auto
next
  fix X :: 'a set!['k]
  show |set-nebset X| <o natLeq +c card-suc |UNIV :: 'k set|
  by transfer
  (elim conjE ordLess-ordLeq-trans csum-mono1;
  simp add: card-suc-greater ordLess-imp-ordLeq Card-order-card-suc csum-mono2)
next
  fix R S
  show rel-nebset R OO rel-nebset S ≤ rel-nebset (R OO S)
  by (rule predicate2I, transfer) (auto simp: rel-set-OO[symmetric])
next
  fix R :: 'a ⇒ 'b ⇒ bool
  show rel-nebset R = ((λx y. ∃z. set-nebset z ⊆ {(x, y). R x y} ∧
  map-nebset fst z = x ∧ map-nebset snd z = y) :: 'a set!['k] ⇒ 'b set!['k] ⇒ bool)
  by (simp add: rel-nebset-def map-fun-def o-def rel-set-def
  rel-nebset-aux-infinite[unfolded OO-Grp-alt])
qed (simp-all add: card-order-bd-fun Cinfinitive-bd-fun regularCard-bd-fun)

lemma map-nebset-nebinsert[simp]: map-nebset f (nebinsert x X) = nebinsert (f
x) (map-nebset f X)
by transfer auto

lemma map-nebset-nebsingleton: map-nebset f (nebsingleton x) = nebsingleton (f
x)
by transfer auto

lemma nebsingleton-inj[simp]: nebsingleton x = nebsingleton y ⟷ x = y
by transfer auto

lemma rel-nebsingleton[simp]:
rel-nebset R (nebsingleton x1) (nebsingleton x2) = R x1 x2
by transfer (auto simp: rel-set-def)

```

**lemma** *rel-nebset-nebsingleton[simp]*:  
 $rel-nebset\ R\ (nebsingleton\ x1)\ X = (\forall\ x2 \in set-nebset\ X.\ R\ x1\ x2)$   
 $rel-nebset\ R\ X\ (nebsingleton\ x2) = (\forall\ x1 \in set-nebset\ X.\ R\ x1\ x2)$   
**by** (*transfer, force simp add: rel-set-def*)<sup>+</sup>

**lemma** *rel-nebset-False[simp]*:  $rel-nebset\ (\lambda x\ y.\ False)\ x\ y = False$   
**by** *transfer (auto simp: rel-set-def)*

**lemmas** *set-nebset-nebsingleton[simp]* = *nebsingleton.rep-eq*

**lemma** *nebinsert-absorb[simp]*:  $nebinsert\ a\ (nebinsert\ a\ x) = nebinsert\ a\ x$   
**by** *transfer simp*

**lift-definition** *bset-of-nebset* ::  $'a\ set!['k] \Rightarrow 'a\ set['k]$  **is**  $\lambda X.\ X$  **by** (*rule conjunct2*)

**lemma** *rel-bset-bset-of-nebset[simp]*:  
 $rel-bset\ R\ (bset-of-nebset\ X)\ (bset-of-nebset\ Y) = rel-nebset\ R\ X\ Y$   
**by** *transfer (rule refl)*

**lemma** *rel-nebset-conj[simp]*:  
 $rel-nebset\ (\lambda x\ y.\ P \wedge Q\ x\ y)\ x\ y \longleftrightarrow P \wedge rel-nebset\ Q\ x\ y$   
 $rel-nebset\ (\lambda x\ y.\ Q\ x\ y \wedge P)\ x\ y \longleftrightarrow P \wedge rel-nebset\ Q\ x\ y$   
**by** (*transfer, auto simp: rel-set-def*)<sup>+</sup>

**lemma** *set-bset-empty[simp]*:  $set-bset\ X = \{\} \longleftrightarrow X = bempty$   
**by** *transfer simp*

**end**