

Probabilistic Noninterference

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March 17, 2025

Abstract

We formalize a probabilistic noninterference for a multi-threaded language with uniform scheduling, where probabilistic behaviour comes from both the scheduler and the individual threads. We define notions probabilistic noninterference in two variants: resumption-based and trace-based. For the resumption-based notions, we prove compositionality w.r.t. the language constructs and establish sound type-system-like syntactic criteria.

This is a formalization of the mathematical development presented in the papers [1, 2]. It is the probabilistic variant of the [Possibilistic Noninterference](#) AFP entry.

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1 Simple While Language with probabilistic choice and parallel execution

```
theory Language-Semantics
imports Interface
begin
```

1.1 Preliminaries

Trivia

```
declare zero-le-mult-iff[simp]
declare split-mult-pos-le[simp]
declare zero-le-divide-iff[simp]
```

```
lemma in-minus-Un[simp]:
assumes i ∈ I
shows I − {i} Un {i} = I and {i} Un (I − {i}) = I
⟨proof⟩
```

```
lemma less-plus-cases[case-names Left Right]:
assumes
*: (i::nat) < n1 ==> phi and
**: ⋀ i2. i = n1 + i2 ==> phi
shows phi
⟨proof⟩
```

```
lemma less-plus-elim[elim!, consumes 1, case-names Left Right]:
assumes i: (i:: nat) < n1 + n2 and
*: i < n1 ==> phi and
**: ⋀ i2. [i2 < n2; i = n1 + i2] ==> phi
shows phi
⟨proof⟩
```

```

lemma nth-append-singl[simp]:
i < length al  $\implies$  (al @ [a]) ! i = al ! i
⟨proof⟩

lemma take-append-singl[simp]:
assumes n < length al shows take n (al @ [a]) = take n al
⟨proof⟩

lemma length-unique-prefix:
al1 ≤ al  $\implies$  al2 ≤ al  $\implies$  length al1 = length al2  $\implies$  al1 = al2
⟨proof⟩

take:
lemma take-length[simp]:
take (length al) al = al
⟨proof⟩

lemma take-le:
assumes n < length al
shows take n al @ [al ! n] ≤ al
⟨proof⟩

lemma list-less-iff-prefix: a < b  $\longleftrightarrow$  strict-prefix a b
⟨proof⟩

lemma take-lt:
n < length al  $\implies$  take n al < al
⟨proof⟩

lemma le-take:
assumes n1 ≤ n2
shows take n1 al ≤ take n2 al
⟨proof⟩

lemma inj-take:
assumes n1 ≤ length al and n2 ≤ length al
shows take n1 al = take n2 al  $\longleftrightarrow$  n1 = n2
⟨proof⟩

lemma lt-take: n1 < n2  $\implies$  n2 ≤ length al  $\implies$  take n1 al < take n2 al
⟨proof⟩

lsum:
definition lsum :: ('a ⇒ nat) ⇒ 'a list ⇒ nat where
lsum f al ≡ sum-list (map f al)

lemma lsum-simps[simp]:
lsum f [] = 0

```

$\text{lsum } f \text{ (al @ [a])} = \text{lsum } f \text{ al} + f a$
 $\langle \text{proof} \rangle$

lemma *lsum-append*:

$\text{lsum } f \text{ (al @ bl)} = \text{lsum } f \text{ al} + \text{lsum } f \text{ bl}$
 $\langle \text{proof} \rangle$

lemma *lsum-cong[undef-cong]*:

assumes $\bigwedge a. a \in \text{set al} \implies f a = g a$
shows $\text{lsum } f \text{ al} = \text{lsum } g \text{ al}$
 $\langle \text{proof} \rangle$

lemma *lsum-gt-0[simp]*:

assumes $\text{al} \neq []$ **and** $\bigwedge a. a \in \text{set al} \implies 0 < f a$
shows $0 < \text{lsum } f \text{ al}$
 $\langle \text{proof} \rangle$

lemma *lsum-mono[simp]*:

assumes $\text{al} \leq \text{bl}$
shows $\text{lsum } f \text{ al} \leq \text{lsum } f \text{ bl}$
 $\langle \text{proof} \rangle$

lemma *lsum-mono2[simp]*:

assumes $f: \bigwedge b. b \in \text{set bl} \implies f b > 0$ **and** $\text{le}: \text{al} < \text{bl}$
shows $\text{lsum } f \text{ al} < \text{lsum } f \text{ bl}$
 $\langle \text{proof} \rangle$

lemma *lsum-take[simp]*:

$\text{lsum } f \text{ (take n al)} \leq \text{lsum } f \text{ al}$
 $\langle \text{proof} \rangle$

lemma *less-lsum-nchotomy*:

assumes $f: \bigwedge a. a \in \text{set al} \implies 0 < f a$
and $i: (i:\text{nat}) < \text{lsum } f \text{ al}$
shows $\exists n j. n < \text{length al} \wedge j < f(\text{al} ! n) \wedge i = \text{lsum } f \text{ (take n al)} + j$
 $\langle \text{proof} \rangle$

lemma *less-lsum-unique*:

assumes $\bigwedge a. a \in \text{set al} \implies (0:\text{nat}) < f a$
and $n1 < \text{length al} \wedge j1 < f(\text{al} ! n1)$
and $n2 < \text{length al} \wedge j2 < f(\text{al} ! n2)$
and $\text{lsum } f \text{ (take n1 al)} + j1 = \text{lsum } f \text{ (take n2 al)} + j2$
shows $n1 = n2 \wedge j1 = j2$
 $\langle \text{proof} \rangle$

definition *locate-pred* **where**

$\text{locate-pred } f \text{ al } (i:\text{nat}) \text{ n-j} \equiv$
 $\text{fst } n-j < \text{length al} \wedge$
 $\text{snd } n-j < f(\text{al} ! (\text{fst } n-j)) \wedge$

```

i = lsum f (take (fst n-j) al) + (snd n-j)

definition locate where
locate f al i ≡ SOME n-j. locate-pred f al i n-j

definition locate1 where locate1 f al i ≡ fst (locate f al i)
definition locate2 where locate2 f al i ≡ snd (locate f al i)

lemma locate-pred-ex:
assumes  $\bigwedge a. a \in set al \implies 0 < f a$ 
and  $i < lsum f al$ 
shows  $\exists n-j. locate-pred f al i n-j$ 
{proof}

lemma locate-pred-unique:
assumes  $\bigwedge a. a \in set al \implies 0 < f a$ 
and locate-pred f al i n1-j1 locate-pred f al i n2-j2
shows  $n1-j1 = n2-j2$ 
{proof}

lemma locate-locate-pred:
assumes  $\bigwedge a. a \in set al \implies (0::nat) < f a$ 
and  $i < lsum f al$ 
shows locate-pred f al i (locate f al i)
{proof}

lemma locate-locate-pred-unique:
assumes  $\bigwedge a. a \in set al \implies (0::nat) < f a$ 
and locate-pred f al i n-j
shows  $n-j = locate f al i$ 
{proof}

lemma locate:
assumes  $\bigwedge a. a \in set al \implies 0 < f a$ 
and  $i < lsum f al$ 
shows locate1 f al i < length al  $\wedge$ 
locate2 f al i < f (al ! (locate1 f al i))  $\wedge$ 
i = lsum f (take (locate1 f al i) al) + (locate2 f al i)
{proof}

lemma locate-unique:
assumes  $\bigwedge a. a \in set al \implies 0 < f a$ 
and  $n < length al$  and  $j < f (al ! n)$  and  $i = lsum f (take n al) + j$ 
shows  $n = locate1 f al i \wedge j = locate2 f al i$ 
{proof}

sum:

lemma sum-2[simp]:
sum f {..< 2} = f 0 + f (Suc 0)

```

$\langle proof \rangle$

lemma inj-Plus[simp]:

inj ((+) (a::nat))

$\langle proof \rangle$

lemma inj-on-Plus[simp]:

inj-on ((+) (a::nat)) A

$\langle proof \rangle$

lemma Plus-int[simp]:

fixes a :: nat

shows (+) b ` {.. a } = {b .. $b + a$ }

$\langle proof \rangle$

lemma sum-minus[simp]:

fixes a :: nat

shows sum f {a .. $a + b$ } = sum (%x. f (a + x)) {.. b }

$\langle proof \rangle$

lemma sum-Un-introL:

assumes A1 = B1 Un C1 and x = x1 + x2

finite A1 and

B1 Int C1 = {} and

sum f1 B1 = x1 and sum f1 C1 = x2

shows sum f1 A1 = x

$\langle proof \rangle$

lemma sum-Un-intro:

assumes A1 = B1 Un C1 and A2 = B2 Un C2 and

finite A1 and finite A2 and

B1 Int C1 = {} and B2 Int C2 = {} and

sum f1 B1 = sum f2 B2 and sum f1 C1 = sum f2 C2

shows sum f1 A1 = sum f2 A2

$\langle proof \rangle$

lemma sum-UN-introL:

assumes A1: A1 = (UN n : N. B1 n) and a2: a2 = sum b2 N and

fin: finite N \wedge n. n \in N \implies finite (B1 n) and

int: $\bigwedge m n. \{m, n\} \subseteq N \wedge m \neq n \implies B1 m \cap B1 n = \{\}$ and

ss: $\bigwedge n. n \in N \implies \text{sum } f1 (B1 n) = b2 n$

shows sum f1 A1 = a2 (is ?L = a2)

$\langle proof \rangle$

lemma sum-UN-intro:

assumes A1: A1 = (UN n : N. B1 n) and A2: A2 = (UN n : N. B2 n) and

fin: finite N \wedge n. n \in N \implies finite (B1 n) \wedge finite (B2 n) and

int: $\bigwedge m n. \{m, n\} \subseteq N \wedge m \neq n \implies B1 m \cap B1 n = \{\} \wedge m n. \{m, n\} \subseteq N$

$\implies B2 m \cap B2 n = \{\}$ and

```

ss:  $\bigwedge n. n \in N \implies \text{sum } f1 (B1 n) = \text{sum } f2 (B2 n)$ 
shows  $\text{sum } f1 A1 = \text{sum } f2 A2$  (is ?L = ?R)
⟨proof⟩

```

```

lemma sum-Minus-intro:
fixes f1 :: 'a1 ⇒ real and f2 :: 'a2 ⇒ real
assumes B1 = A1 - {a1} and B2 = A2 - {a2} and
a1 : A1 and a2 : A2 and finite A1 and finite A2
sum f1 A1 = sum f2 A2 and f1 a1 = f2 a2
shows sum f1 B1 = sum f2 B2
⟨proof⟩

```

```

lemma sum-singl-intro:
assumes b = f a
and finite A and a ∈ A
and  $\bigwedge a'. [a' \in A; a' \neq a] \implies f a' = 0$ 
shows sum f A = b
⟨proof⟩

```

```

lemma sum-all0-intro:
assumes b = 0
and  $\bigwedge a. a \in A \implies f a = 0$ 
shows sum f A = b
⟨proof⟩

```

```

lemma sum-1:
assumes I: finite I and ss: sum f I = 1 and i: i ∈ I - I1 and I1: I1 ⊆ I
and f:  $\bigwedge i. i \in I \implies (0::real) \leq f i$ 
shows f i ≤ 1 - sum f I1
⟨proof⟩

```

1.2 Syntax

```

datatype ('test, 'atom, 'choice) cmd =
  Done
| Atm 'atom
| Seq ('test, 'atom, 'choice) cmd ('test, 'atom, 'choice) cmd (‐;; → [60, 61] 60)
| While 'test ('test, 'atom, 'choice) cmd
| Ch 'choice ('test, 'atom, 'choice) cmd ('test, 'atom, 'choice) cmd
| Par ('test, 'atom, 'choice) cmd list
| ParT ('test, 'atom, 'choice) cmd list

```

```

fun noWhile where
  noWhile Done ↔ True
| noWhile (Atm atm) ↔ True
| noWhile (c1 ;; c2) ↔ noWhile c1 ∧ noWhile c2
| noWhile (While tst c) ↔ False
| noWhile (Ch ch c1 c2) ↔ noWhile c1 ∧ noWhile c2

```

```

| noWhile (Par cl)  $\longleftrightarrow$  ( $\forall c \in set cl. noWhile c$ )
| noWhile (ParT cl)  $\longleftrightarrow$  ( $\forall c \in set cl. noWhile c$ )

```

```

fun finished where
  finished Done  $\longleftrightarrow$  True
| finished (Atm atm)  $\longleftrightarrow$  False
| finished (c1 ;; c2)  $\longleftrightarrow$  False
| finished (While tst c)  $\longleftrightarrow$  False
| finished (Ch ch c1 c2)  $\longleftrightarrow$  False
| finished (Par cl)  $\longleftrightarrow$  ( $\forall c \in set cl. finished c$ )
| finished (ParT cl)  $\longleftrightarrow$  ( $\forall c \in set cl. finished c$ )

```

```

definition noWhileL where
noWhileL cl  $\equiv$   $\forall c \in set cl. noWhile c$ 

```

```

lemma fin-Par-noWhileL[simp]:
noWhile (Par cl)  $\longleftrightarrow$  noWhileL cl
⟨proof⟩

```

```

lemma fin-ParT-noWhileL[simp]:
noWhile (ParT cl)  $\longleftrightarrow$  noWhileL cl
⟨proof⟩

```

```

declare noWhile.simps(6) [simp del]
declare noWhile.simps(7) [simp del]

```

```

lemma noWhileL-intro[intro]:
assumes  $\bigwedge c. c \in set cl \implies noWhile c$ 
shows noWhileL cl
⟨proof⟩

```

```

lemma noWhileL-fin[simp]:
assumes noWhileL cl and c  $\in$  set cl
shows noWhile c
⟨proof⟩

```

```

lemma noWhileL-update[simp]:
assumes cl: noWhileL cl and c': noWhile c'
shows noWhileL (cl[n := c'])
⟨proof⟩

```

```

definition finishedL where
finishedL cl  $\equiv$   $\forall c \in set cl. finished c$ 

```

```

lemma finished-Par-finishedL[simp]:
finished (Par cl)  $\longleftrightarrow$  finishedL cl
⟨proof⟩

```

```

lemma finished-ParT-finishedL[simp]:
finished (ParT cl)  $\longleftrightarrow$  finishedL cl
⟨proof⟩

declare finished.simps(6) [simp del]
declare finished.simps(7) [simp del]

lemma finishedL-intro[intro]:
assumes  $\bigwedge c. c \in set\ cl \implies finished\ c$ 
shows finishedL cl
⟨proof⟩

lemma finishedL-finished[simp]:
assumes finishedL cl and  $c \in set\ cl$ 
shows finished c
⟨proof⟩

lemma finishedL-update[simp]:
assumes cl: finishedL cl and c': finished c'
shows finishedL (cl[n := c'])
⟨proof⟩

lemma finished-fin[simp]:
finished c  $\implies$  noWhile c
⟨proof⟩

lemma finished-noWhileL[simp]:
finishedL cl  $\implies$  noWhileL cl
⟨proof⟩

locale PL =
fixes
  aval :: 'atom  $\Rightarrow$  'state  $\Rightarrow$  'state and
  tval :: 'test  $\Rightarrow$  'state  $\Rightarrow$  bool and
  cval :: 'choice  $\Rightarrow$  'state  $\Rightarrow$  real
assumes
  properCh:  $\bigwedge ch\ s. 0 \leq cval\ ch\ s \wedge cval\ ch\ s \leq 1$ 
begin

lemma [simp]: ( $n::nat$ ) < N  $\implies$   $0 \leq 1 / N$  ⟨proof⟩

lemma [simp]: ( $n::nat$ ) < N  $\implies$   $1 / N \leq 1$  ⟨proof⟩

lemma [simp]: ( $n::nat$ ) < N  $\implies$   $0 \leq 1 - 1 / N$  ⟨proof⟩

lemma sum-equal:  $0 < (N::nat) \implies sum\ (\lambda n. 1/N) \{.. < N\} = 1$ 
⟨proof⟩

fun proper where

```

```

proper Done  $\longleftrightarrow$  True
| proper (Atm x)  $\longleftrightarrow$  True
| proper (Seq c1 c2)  $\longleftrightarrow$  proper c1  $\wedge$  proper c2
| proper (While tst c)  $\longleftrightarrow$  proper c
| proper (Ch ch c1 c2)  $\longleftrightarrow$  proper c1  $\wedge$  proper c2
| proper (Par cl)  $\longleftrightarrow$  cl  $\neq \emptyset \wedge (\forall c \in \text{set cl}. \text{proper } c)$ 
| proper (Part cl)  $\longleftrightarrow$  cl  $\neq \emptyset \wedge (\forall c \in \text{set cl}. \text{proper } c)$ 

definition properL where
properL cl  $\equiv$  cl  $\neq \emptyset \wedge (\forall c \in \text{set cl}. \text{proper } c)$ 

lemma proper-Par-properL[simp]:
proper (Par cl)  $\longleftrightarrow$  properL cl
⟨proof⟩

lemma proper-Part-properL[simp]:
proper (Part cl)  $\longleftrightarrow$  properL cl
⟨proof⟩

declare proper.simps(6) [simp del]
declare proper.simps(7) [simp del]

lemma properL-intro[intro]:
 $\llbracket cl \neq \emptyset; \wedge c. c \in \text{set cl} \implies \text{proper } c \rrbracket \implies \text{properL } cl$ 
⟨proof⟩

lemma properL-notEmp[simp]: properL cl  $\implies$  cl  $\neq \emptyset$ 
⟨proof⟩

lemma properL-proper[simp]:
 $\llbracket \text{properL } cl; c \in \text{set cl} \rrbracket \implies \text{proper } c$ 
⟨proof⟩

lemma properL-update[simp]:
assumes cl: properL cl and c': proper c'
shows properL (cl[n := c'])
⟨proof⟩

lemma proper-induct[consumes 1, case-names Done Atm Seq While Ch Par Part]:
assumes *: proper c
and Done: phi Done
and Atm:  $\wedge$  atm. phi (Atm atm)
and Seq:  $\wedge$  c1 c2.  $\llbracket \text{phi } c1; \text{phi } c2 \rrbracket \implies \text{phi } (c1 ; c2)$ 
and While:  $\wedge$  tst c. phi c  $\implies$  phi (While tst c)
and Ch:  $\wedge$  ch c1 c2.  $\llbracket \text{phi } c1; \text{phi } c2 \rrbracket \implies \text{phi } (Ch ch c1 c2)$ 
and Par:  $\wedge$  cl.  $\llbracket \text{properL } cl; \wedge c. c \in \text{set cl} \implies \text{phi } c \rrbracket \implies \text{phi } (\text{Par cl})$ 
and Part:  $\wedge$  cl.  $\llbracket \text{properL } cl; \wedge c. c \in \text{set cl} \implies \text{phi } c \rrbracket \implies \text{phi } (\text{Part cl})$ 
shows phi c
⟨proof⟩

```

1.2.1 Operational Small-Step Semantics

definition $\text{theFT cl} \equiv \{n. n < \text{length cl} \wedge \text{finished} (\text{cl}!n)\}$

definition $\text{theNFT cl} \equiv \{n. n < \text{length cl} \wedge \neg \text{finished} (\text{cl}!n)\}$

lemma $\text{finite-theFT[simp]: finite} (\text{theFT cl})$
 $\langle \text{proof} \rangle$

lemma $\text{theFT-length[simp]: } n \in \text{theFT cl} \implies n < \text{length cl}$
 $\langle \text{proof} \rangle$

lemma $\text{theFT-finished[simp]: } n \in \text{theFT cl} \implies \text{finished} (\text{cl}!n)$
 $\langle \text{proof} \rangle$

lemma $\text{finite-theNFT[simp]: finite} (\text{theNFT cl})$
 $\langle \text{proof} \rangle$

lemma $\text{theNFT-length[simp]: } n \in \text{theNFT cl} \implies n < \text{length cl}$
 $\langle \text{proof} \rangle$

lemma $\text{theNFT-notFinished[simp]: } n \in \text{theNFT cl} \implies \neg \text{finished} (\text{cl}!n)$
 $\langle \text{proof} \rangle$

lemma $\text{theFT-Int-theNFT[simp]:}$
 $\text{theFT cl Int theNFT cl} = \{\}$ **and** $\text{theNFT cl Int theFT cl} = \{\}$
 $\langle \text{proof} \rangle$

lemma $\text{theFT-Un-theNFT[simp]:}$
 $\text{theFT cl Un theNFT cl} = \{\dots < \text{length cl}\}$ **and**
 $\text{theNFT cl Un theFT cl} = \{\dots < \text{length cl}\}$
 $\langle \text{proof} \rangle$

lemma $\text{in-theFT-theNFT[simp]:}$
assumes $n1 \in \text{theFT cl}$ **and** $n2 \in \text{theNFT cl}$
shows $n1 \neq n2$ **and** $n2 \neq n1$
 $\langle \text{proof} \rangle$

definition $\text{WtFT cl} \equiv \text{sum} (\lambda (n::\text{nat}). 1 / (\text{length cl})) (\text{theFT cl})$

definition $\text{WtNFT cl} \equiv \text{sum} (\lambda (n::\text{nat}). 1 / (\text{length cl})) (\text{theNFT cl})$

lemma WtFT-WtNFT[simp]:
assumes $0 < \text{length cl}$
shows $\text{WtFT cl} + \text{WtNFT cl} = 1$ (**is** $?A = 1$)
 $\langle \text{proof} \rangle$

lemma *WtNFT-1-WtFT*: $0 < \text{length } cl \implies \text{WtNFT } cl = 1 - \text{WtFT } cl$
 $\langle \text{proof} \rangle$

lemma *WtNFT-WtFT-1[simp]*:
assumes $0 < \text{length } cl$ **and** $\text{WtFT } cl \neq 1$
shows $\text{WtNFT } cl / (1 - \text{WtFT } cl) = 1$ (**is** $?A / ?B = 1$)
 $\langle \text{proof} \rangle$

lemma *WtFT-ge-0[simp]*: $\text{WtFT } cl \geq 0$
 $\langle \text{proof} \rangle$

lemma *WtFT-le-1[simp]*: $\text{WtFT } cl \leq 1$ (**is** $?L \leq 1$)
 $\langle \text{proof} \rangle$

lemma *le-1-WtFT[simp]*: $0 \leq 1 - \text{WtFT } cl$ (**is** $0 \leq ?R$)
 $\langle \text{proof} \rangle$

lemma *WtFT-lt-1[simp]*: $\text{WtFT } cl \neq 1 \implies \text{WtFT } cl < 1$
 $\langle \text{proof} \rangle$

lemma *lt-1-WtFT[simp]*: $\text{WtFT } cl \neq 1 \implies 0 < 1 - \text{WtFT } cl$
 $\langle \text{proof} \rangle$

lemma *notFinished-WtFT[simp]*:
assumes $n < \text{length } cl$ **and** $\neg \text{finished } (cl ! n)$
shows $1 / \text{length } cl \leq 1 - \text{WtFT } cl$
 $\langle \text{proof} \rangle$

fun *brn* :: ('test, 'atom, 'choice) cmd \Rightarrow nat **where**
 \quad *brn Done* = 1
 $\quad |$ *brn (Atm atm)* = 1
 $\quad |$ *brn (c1 ;; c2)* = *brn c1*
 $\quad |$ *brn (While tst c)* = 1
 $\quad |$ *brn (Ch ch c1 c2)* = 2
 $\quad |$ *brn (Par cl)* = *lsum brn cl*
 $\quad |$ *brn (ParT cl)* = *lsum brn cl*

lemma *brn-gt-0*: *proper c* $\implies 0 < \text{brn } c$
 $\langle \text{proof} \rangle$

lemma *brn-gt-0-L*: $\llbracket \text{properL } cl; c \in \text{set } cl \rrbracket \implies 0 < \text{brn } c$
 $\langle \text{proof} \rangle$

definition *locateT* \equiv *locate1 brn* **definition** *locateI* \equiv *locate2 brn*

definition *brnL cl n* \equiv *lsum brn (take n cl)*

```

lemma brnL-lsum: brnL cl (length cl) = lsum brn cl
⟨proof⟩

lemma brnL-unique:
assumes properL cl and n1 < length cl  $\wedge$  j1 < brn (cl ! n1)
and n2 < length cl  $\wedge$  j2 < brn (cl ! n2) and brnL cl n1 + j1 = brnL cl n2 + j2
shows n1 = n2  $\wedge$  j1 = j2
⟨proof⟩

lemma brn-Par-simp[simp]: brn (Par cl) = brnL cl (length cl)
⟨proof⟩

lemma brn-Part-simp[simp]: brn (Part cl) = brnL cl (length cl)
⟨proof⟩

declare brn.simps(6)[simp del] declare brn.simps(7)[simp del]

lemma brnL-0[simp]: brnL cl 0 = 0
⟨proof⟩

lemma brnL-Suc[simp]: n < length cl  $\implies$  brnL cl (Suc n) = brnL cl n + brn (cl ! n)
⟨proof⟩

lemma brnL-mono[simp]: n1 ≤ n2  $\implies$  brnL cl n1 ≤ brnL cl n2
⟨proof⟩

lemma brnL-mono2[simp]:
assumes p: properL cl and n: n1 < n2 and l: n2 ≤ length cl
shows brnL cl n1 < brnL cl n2 (is ?L < ?R)
⟨proof⟩

lemma brn-index[simp]:
assumes n: n < length cl and i: i < brn (cl ! n)
shows brnL cl n + i < brnL cl (length cl) (is ?L < ?R)
⟨proof⟩

lemma brnL-gt-0[simp]: [properL cl; 0 < n]  $\implies$  0 < brnL cl n
⟨proof⟩

lemma locateTI:
assumes properL cl and ii < brn (Par cl)
shows
locateT cl ii < length cl  $\wedge$ 
locateI cl ii < brn (cl ! (locateT cl ii))  $\wedge$ 
ii = brnL cl (locateT cl ii) + locateI cl ii
⟨proof⟩

lemma locateTI-unique:

```

```

assumes properL cl and n < length cl
and i < brn (cl ! n) and ii = brnL cl n + i
shows n = locateT cl ii  $\wedge$  i = locateI cl ii
⟨proof⟩

definition pickFT-pred where pickFT-pred cl n ≡ n < length cl  $\wedge$  finished (cl!n)
definition pickFT where pickFT cl ≡ SOME n. pickFT-pred cl n

lemma pickFT-pred:
assumes WtFT cl = 1 shows  $\exists$  n. pickFT-pred cl n
⟨proof⟩

lemma pickFT-pred-pickFT: WtFT cl = 1  $\implies$  pickFT-pred cl (pickFT cl)
⟨proof⟩

lemma pickFT-length[simp]: WtFT cl = 1  $\implies$  pickFT cl < length cl
⟨proof⟩

lemma pickFT-finished[simp]: WtFT cl = 1  $\implies$  finished (cl ! (pickFT cl))
⟨proof⟩

lemma pickFT-theFT[simp]: WtFT cl = 1  $\implies$  pickFT cl ∈ theFT cl
⟨proof⟩

fun wt-cont-eff where
wt-cont-eff Done s i = (1, Done, s)
|
wt-cont-eff (Atm atm) s i = (1, Done, aval atm s)
|
wt-cont-eff (c1 ;; c2) s i =
(case wt-cont-eff c1 s i of
(x, c1', s')  $\Rightarrow$ 
if finished c1' then (x, c2, s') else (x, c1' ;; c2, s'))
|
wt-cont-eff (While tst c) s i =
(if tval tst s
then (1, c ;; (While tst c), s)
else (1, Done, s))
|
wt-cont-eff (Ch ch c1 c2) s i =
(if i = 0 then eval ch s else 1 - eval ch s,
if i = 0 then c1 else c2,
s)
|
wt-cont-eff (Par cl) s ii =
(if cl ! (locateT cl ii) ∈ set cl then
(case wt-cont-eff

```

```


$$\begin{aligned}
& (cl ! (locateT cl ii)) \\
& s \\
& (locateI cl ii) \text{ of} \\
& (w, c', s') \Rightarrow \\
& ((1 / (\text{length } cl)) * w, \\
& \quad \text{Par} (cl [(locateT cl ii) := c']), \\
& \quad s')) \\
& \text{else undefined}) \\
| \\
& \text{wt-cont-eff} (\text{ParT cl}) s ii = \\
& (\text{if } cl ! (\text{locateT cl ii}) \in \text{set cl} \\
& \text{then} \\
& \quad (\text{case wt-cont-eff} \\
& \quad \quad (cl ! (\text{locateT cl ii})) \\
& \quad \quad s \\
& \quad \quad (locateI cl ii) \text{ of} \\
& \quad \quad (w, c', s') \Rightarrow \\
& \quad \quad (\text{if } \text{WtFT cl} = 1 \\
& \quad \quad \text{then} (\text{if } \text{locateT cl ii} = \text{pickFT cl} \wedge \text{locateI cl ii} = 0 \\
& \quad \quad \quad \text{then } 1 \\
& \quad \quad \quad \text{else } 0) \\
& \quad \quad \text{else if finished} (cl ! (\text{locateT cl ii})) \\
& \quad \quad \text{then } 0 \\
& \quad \quad \text{else } (1 / (\text{length } cl)) \\
& \quad \quad / (1 - \text{WtFT cl}) \\
& \quad \quad * w, \\
& \quad \quad \text{ParT} (cl [(locateT cl ii) := c']), \\
& \quad \quad s')) \\
& \text{else undefined})
\end{aligned}$$


```

definition `wt` **where** $\text{wt } c s i = \text{fst} (\text{wt-cont-eff } c s i)$
definition `cont` **where** $\text{cont } c s i = \text{fst} (\text{wt-cont-eff } c s i)$
definition `eff` **where** $\text{eff } c s i = \text{snd} (\text{wt-cont-eff } c s i)$

lemma `wt-Done[simp]`: $\text{wt } \text{Done } s i = 1$
 $\langle \text{proof} \rangle$

lemma `wt-Atm[simp]`: $\text{wt } (\text{Atm atm}) s i = 1$
 $\langle \text{proof} \rangle$

lemma `wt-Seq[simp]`:
 $\text{wt } (c1 ;; c2) s = \text{wt } c1 s$
 $\langle \text{proof} \rangle$

lemma `wt-While[simp]`: $\text{wt } (\text{While tst } c) s i = 1$
 $\langle \text{proof} \rangle$

lemma *wt-Ch-L[simp]*: $\text{wt}(\text{Ch } ch \ c1 \ c2) \ s \ 0 = \text{cval } ch \ s$
 $\langle \text{proof} \rangle$

lemma *wt-Ch-R[simp]*: $\text{wt}(\text{Ch } ch \ c1 \ c2) \ s \ (\text{Suc } n) = 1 - \text{cval } ch \ s$
 $\langle \text{proof} \rangle$

lemma *cont-Done[simp]*: $\text{cont } \text{Done} \ s \ i = \text{Done}$
 $\langle \text{proof} \rangle$

lemma *cont-Atm[simp]*: $\text{cont } (\text{Atm } atm) \ s \ i = \text{Done}$
 $\langle \text{proof} \rangle$

lemma *cont-Seq-finished[simp]*: $\text{finished } (\text{cont } c1 \ s \ i) \implies \text{cont } (c1 \ ; \ c2) \ s \ i = c2$
 $\langle \text{proof} \rangle$

lemma *cont-Seq-notFinished[simp]*:
assumes $\neg \text{finished } (\text{cont } c1 \ s \ i)$
shows $\text{cont } (c1 \ ; \ c2) \ s \ i = (\text{cont } c1 \ s \ i) \ ; \ c2$
 $\langle \text{proof} \rangle$

lemma *cont-Seq-not-eq-finished[simp]*: $\neg \text{finished } c2 \implies \neg \text{finished } (\text{cont } (\text{Seq } c1 \ c2) \ s \ i)$
 $\langle \text{proof} \rangle$

lemma *cont-While-False[simp]*: $\text{tval } \text{tst} \ s = \text{False} \implies \text{cont } (\text{While } \text{tst } c) \ s \ i = \text{Done}$
 $\langle \text{proof} \rangle$

lemma *cont-While-True[simp]*: $\text{tval } \text{tst} \ s = \text{True} \implies \text{cont } (\text{While } \text{tst } c) \ s \ i = c \ ;$
 $(\text{While } \text{tst } c)$
 $\langle \text{proof} \rangle$

lemma *cont-Ch-L[simp]*: $\text{cont } (\text{Ch } ch \ c1 \ c2) \ s \ 0 = c1$
 $\langle \text{proof} \rangle$

lemma *cont-Ch-R[simp]*: $\text{cont } (\text{Ch } ch \ c1 \ c2) \ s \ (\text{Suc } n) = c2$
 $\langle \text{proof} \rangle$

lemma *eff-Done[simp]*: $\text{eff } \text{Done} \ s \ i = s$
 $\langle \text{proof} \rangle$

lemma *eff-Atm[simp]*: $\text{eff } (\text{Atm } atm) \ s \ i = \text{aval } atm \ s$
 $\langle \text{proof} \rangle$

lemma *eff-Seq[simp]*: $\text{eff } (c1 \ ; \ c2) \ s = \text{eff } c1 \ s$
 $\langle \text{proof} \rangle$

lemma *eff-While[simp]*: $\text{eff} (\text{While } \text{tst } c) s i = s$
 $\langle \text{proof} \rangle$

lemma *eff-Ch[simp]*: $\text{eff} (\text{Ch } \text{ch } c1 c2) s i = s$
 $\langle \text{proof} \rangle$

lemma *brnL-nchotomy*:

assumes *properL cl* **and** $ii < \text{brnL cl} (\text{length cl})$
shows $\exists n i. n < \text{length cl} \wedge i < \text{brn} (\text{cl} ! n) \wedge ii = \text{brnL cl} n + i$
 $\langle \text{proof} \rangle$

corollary *brnL-cases[consumes 2, case-names Local, elim]*:
assumes *properL cl* **and** $ii < \text{brnL cl} (\text{length cl})$ **and**
 $\wedge n i. [n < \text{length cl}; i < \text{brn} (\text{cl} ! n); ii = \text{brnL cl} n + i] \implies \text{phi}$
shows *phi*
 $\langle \text{proof} \rangle$

lemma *wt-cont-eff-Par[simp]*:
assumes *p: properL cl*
and *n: n < length cl* **and** *i: i < brn (cl ! n)*
shows

$\text{wt} (\text{Par cl}) s (\text{brnL cl} n + i) =$
 $1 / (\text{length cl}) * \text{wt} (\text{cl} ! n) s i$
(is $?wL = ?wR$ **)**

$\text{cont} (\text{Par cl}) s (\text{brnL cl} n + i) =$
 $\text{Par} (\text{cl} [n := \text{cont} (\text{cl} ! n) s i])$
(is $?mL = ?mR$ **)**

$\text{eff} (\text{Par cl}) s (\text{brnL cl} n + i) =$
 $\text{eff} (\text{cl} ! n) s i$
(is $?eL = ?eR$ **)**
 $\langle \text{proof} \rangle$

lemma *cont-eff-PartT[simp]*:
assumes *p: properL cl*
and *n: n < length cl* **and** *i: i < brn (cl ! n)*
shows

$\text{cont} (\text{PartT cl}) s (\text{brnL cl} n + i) =$
 $\text{PartT} (\text{cl} [n := \text{cont} (\text{cl} ! n) s i])$
(is $?mL = ?mR$ **)**

$\text{eff} (\text{PartT cl}) s (\text{brnL cl} n + i) =$
 $\text{eff} (\text{cl} ! n) s i$
(is $?eL = ?eR$ **)**
 $\langle \text{proof} \rangle$

```

lemma wt-ParT-WtFT-pickFT-0[simp]:
assumes p: properL cl and WtFT: WtFT cl = 1
shows wt (ParT cl) s (brnL cl (pickFT cl)) = 1
(is ?wL = 1)
⟨proof⟩

lemma wt-ParT-WtFT-notPickFT-0[simp]:
assumes p: properL cl and n: n < length cl and i: i < brn (cl ! n)
and WtFT: WtFT cl = 1 and ni: n = pickFT cl → i ≠ 0
shows wt (ParT cl) s (brnL cl n + i) = 0 (is ?wL = 0)
⟨proof⟩

lemma wt-ParT-notWtFT-finished[simp]:
assumes p: properL cl and n: n < length cl and i: i < brn (cl ! n)
and WtFT: WtFT cl ≠ 1 and f: finished (cl ! n)
shows wt (ParT cl) s (brnL cl n + i) = 0 (is ?wL = 0)
⟨proof⟩

lemma wt-cont-eff-ParT-notWtFT-notFinished[simp]:
assumes p: properL cl and n: n < length cl and i: i < brn (cl ! n)
and WtFT: WtFT cl ≠ 1 and nf: ¬ finished (cl ! n)
shows wt (ParT cl) s (brnL cl n + i) =
(1 / (length cl)) / (1 - WtFT cl) * wt (cl ! n) s i (is ?wL = ?wR)
⟨proof⟩

lemma wt-ge-0[simp]:
assumes proper c and i < brn c
shows 0 ≤ wt c s i
⟨proof⟩

lemma wt-le-1[simp]:
assumes proper c and i < brn c
shows wt c s i ≤ 1
⟨proof⟩

abbreviation fromPlus ((1{..<+-})) where
{a ..<+ b} ≡ {a ..< a + b}

lemma brnL-UN:
assumes properL cl
shows {..< brnL cl (length cl)} = (⋃ n < length cl. {brnL cl n ..<+ brn (cl!n)})
(is ?L = (⋃ n < length cl. ?R n))
⟨proof⟩

lemma brnL-Int-lt:
assumes n12: n1 < n2 and n2: n2 < length cl
shows {brnL cl n1 ..<+ brn (cl!n1)} ∩ {brnL cl n2 ..<+ brn (cl!n2)} = {}

```

$\langle proof \rangle$

lemma *brnL-Int*:

assumes $n1 \neq n2$ **and** $n1 < \text{length cl}$ **and** $n2 < \text{length cl}$
shows $\{\text{brnL cl } n1 .. <+ \text{brn (cl!n1)}\} \cap \{\text{brnL cl } n2 .. <+ \text{brn (cl!n2)}\} = \{\}$
 $\langle proof \rangle$

lemma *sum-wt-Par-sub[simp]*:

assumes $cl: \text{properL cl}$ **and** $n: n < \text{length cl}$ **and** $I: I \subseteq \{.. < \text{brn (cl ! n)}\}$
shows $\text{sum}(\text{wt}(\text{Par cl}) s) ((+) (\text{brnL cl } n) ' I) =$
 $1 / (\text{length cl}) * \text{sum}(\text{wt}(\text{cl ! n}) s) I$ (**is** $?L = ?wSch * ?R$)
 $\langle proof \rangle$

lemma *sum-wt-Par[simp]*:

assumes $cl: \text{properL cl}$ **and** $n: n < \text{length cl}$
shows $\text{sum}(\text{wt}(\text{Par cl}) s) \{\text{brnL cl } n .. <+ \text{brn (cl!n)}\} =$
 $1 / (\text{length cl}) * \text{sum}(\text{wt}(\text{cl ! n}) s) \{.. < \text{brn (cl ! n)}\}$ (**is** $?L = ?W * ?R$)
 $\langle proof \rangle$

lemma *sum-wt-ParT-sub-WtFT-pickFT-0[simp]*:

assumes $cl: \text{properL cl}$ **and** $nf: \text{WtFT cl} = 1$
and $I: I \subseteq \{.. < \text{brn (cl ! (pickFT cl))}\} 0 \in I$
shows $\text{sum}(\text{wt}(\text{ParT cl}) s) ((+) (\text{brnL cl } (\text{pickFT cl})) ' I) = 1$ (**is** $?L = 1$)
 $\langle proof \rangle$

lemma *sum-wt-ParT-sub-WtFT-pickFT-0-2[simp]*:

assumes $cl: \text{properL cl}$ **and** $nf: \text{WtFT cl} = 1$
and $II: II \subseteq \{.. < \text{brnL cl } (\text{length cl})\} \text{ brnL cl } (\text{pickFT cl}) \in II$
shows $\text{sum}(\text{wt}(\text{ParT cl}) s) II = 1$ (**is** $?L = 1$)
 $\langle proof \rangle$

lemma *sum-wt-ParT-sub-WtFT-notPickFT-0[simp]*:

assumes $cl: \text{properL cl}$ **and** $nf: \text{WtFT cl} = 1$ **and** $n: n < \text{length cl}$
and $I: I \subseteq \{.. < \text{brn (cl ! n)}\}$ **and** $nI: n = \text{pickFT cl} \rightarrow 0 \notin I$
shows $\text{sum}(\text{wt}(\text{ParT cl}) s) ((+) (\text{brnL cl } n) ' I) = 0$ (**is** $?L = 0$)
 $\langle proof \rangle$

lemma *sum-wt-ParT-sub-notWtFT-finished[simp]*:

assumes $cl: \text{properL cl}$ **and** $nf: \text{WtFT cl} \neq 1$
and $n: n < \text{length cl}$ **and** $cln: \text{finished (cl!n)}$ **and** $I: I \subseteq \{.. < \text{brn (cl ! n)}\}$
shows $\text{sum}(\text{wt}(\text{ParT cl}) s) ((+) (\text{brnL cl } n) ' I) = 0$ (**is** $?L = 0$)
 $\langle proof \rangle$

lemma *sum-wt-ParT-sub-notWtFT-notFinished[simp]*:

assumes $cl: \text{properL cl}$ **and** $nf: \text{WtFT cl} \neq 1$ **and** $n: n < \text{length cl}$
and $cln: \neg \text{finished (cl!n)}$ **and** $I: I \subseteq \{.. < \text{brn (cl ! n)}\}$
shows
 $\text{sum}(\text{wt}(\text{ParT cl}) s) ((+) (\text{brnL cl } n) ' I) =$
 $(1 / (\text{length cl})) / (1 - \text{WtFT cl}) * \text{sum}(\text{wt}(\text{cl ! n}) s) I$

```

(is ?L = ?w / (1 - ?wF) * ?R)
⟨proof⟩

lemma sum-wt-ParT-WtFT-pickFT-0[simp]:
assumes cl: properL cl and nf: WtFT cl = 1
shows sum (wt (ParT cl) s) {brnL cl (pickFT cl) .. <+ brn (cl ! (pickFT cl))} =
1
⟨proof⟩

lemma sum-wt-ParT-WtFT-notPickFT-0[simp]:
assumes cl: properL cl and nf: WtFT cl = 1 and n: n < length cl n ≠ pickFT cl
shows sum (wt (ParT cl) s) {brnL cl n .. <+ brn (cl!n)} = 0
⟨proof⟩

lemma sum-wt-ParT-notWtFT-finished[simp]:
assumes cl: properL cl and WtFT cl ≠ 1
and n: n < length cl and cln: finished (cl!n)
shows sum (wt (ParT cl) s) {brnL cl n .. <+ brn (cl!n)} = 0
⟨proof⟩

lemma sum-wt-ParT-notWtFT-notFinished[simp]:
assumes cl: properL cl and nf: WtFT cl ≠ 1
and n: n < length cl and cln: ¬ finished (cl!n)
shows
sum (wt (ParT cl) s) {brnL cl n .. <+ brn (cl!n)} =
(1 / (length cl)) / (1 - WtFT cl) *
sum (wt (cl ! n) s) {.. < brn (cl ! n)}
⟨proof⟩

lemma sum-wt[simp]:
assumes proper c
shows sum (wt c s) {.. < brn c} = 1
⟨proof⟩

lemma proper-cont[simp]:
assumes proper c and i < brn c
shows proper (cont c s i)
⟨proof⟩

lemma sum-subset-le-1[simp]:
assumes *: proper c and **: I ⊆ {.. < brn c}
shows sum (wt c s) I ≤ 1
⟨proof⟩

lemma sum-le-1[simp]:
assumes *: proper c and **: i < brn c
shows sum (wt c s) {..i} ≤ 1
⟨proof⟩

```

1.2.2 Operations on configurations

```

definition cont-eff cf b = snd (wt-cont-eff (fst cf) (snd cf) b)

lemma cont-eff: cont-eff cf b = (cont (fst cf) (snd cf) b, eff (fst cf) (snd cf) b)
  ⟨proof⟩

end

end

```

2 Resumption-Based Noninterference

```

theory Resumption-Based
imports Language-Semantics
begin

```

```

type-synonym 'a rel = ('a × 'a) set

```

2.1 Preliminaries

```

lemma int-emp[simp]:
assumes i > 0
shows {..i} ≠ {}
  ⟨proof⟩

lemma inj-on-inv-into[simp]:
assumes inj-on F P
shows inv-into P F ‘(F ‘ P) = P
  ⟨proof⟩

lemma inj-on-inv-into2[simp]:
assumes inj-on (inv-into P F) (F ‘ P)
  ⟨proof⟩

lemma refl-gfp:
assumes 1: mono Retr and 2: Λ theta. refl theta ==> refl (Retr theta)
shows refl (gfp Retr)
  ⟨proof⟩

lemma sym-gfp:
assumes 1: mono Retr and 2: Λ theta. sym theta ==> sym (Retr theta)
shows sym (gfp Retr)
  ⟨proof⟩

lemma trancl-trans[simp]:

```

```

assumes trans R
shows P  $\hat{+}$   $\subseteq$  R  $\longleftrightarrow$  P  $\subseteq$  R
⟨proof⟩

lemma trans-gfp:
assumes 1: mono Retr and 2:  $\bigwedge \theta$ . trans  $\theta$   $\implies$  trans (Retr  $\theta$ )
shows trans (gfp Retr)
⟨proof⟩

lemma O-subset-trans:
assumes r O r  $\subseteq$  r
shows trans r
⟨proof⟩

lemma trancl-imp-trans:
assumes r  $\hat{+}$   $\subseteq$  r
shows trans r
⟨proof⟩

lemma sym-trans-gfp:
assumes 1: mono Retr and 2:  $\bigwedge \theta$ . sym  $\theta$   $\wedge$  trans  $\theta$   $\implies$  sym (Retr  $\theta$ )  $\wedge$  trans (Retr  $\theta$ )
shows sym (gfp Retr)  $\wedge$  trans (gfp Retr)
⟨proof⟩

```

2.2 Infrastructure for partitions

```

definition part where
part J P  $\equiv$ 
Union P = J  $\wedge$ 
 $(\forall J_1 J_2. J_1 \in P \wedge J_2 \in P \wedge J_1 \neq J_2 \longrightarrow J_1 \cap J_2 = \{\})$ 

```

```

inductive-set gen
for P :: 'a set set and I :: 'a set where
incl[simp]: i  $\in$  I  $\implies$  i  $\in$  gen P I
| ext[simp]: [J  $\in$  P; j0  $\in$  J; j0  $\in$  gen P I; j  $\in$  J]  $\implies$  j  $\in$  gen P I

```

```

definition partGen where
partGen P  $\equiv$  {gen P I | I . I  $\in$  P}

```

```

definition finer where
finer P Q  $\equiv$ 
 $(\forall J \in Q. J = \text{Union} \{I \in P . I \subseteq J\}) \wedge$ 
 $(P \neq \{\} \longrightarrow Q \neq \{\})$ 

```

```
definition partJoin where
partJoin P Q ≡ partGen (P ∪ Q)
```

```
definition compat where
compat I theta f ≡ ∀ i j. {i, j} ⊆ I ∧ i ≠ j → (f i, f j) ∈ theta
```

```
definition partCompat where
partCompat P theta f ≡
∀ I ∈ P. compat I theta f
```

```
definition lift where
lift P F II ≡ Union {F I | I . I ∈ P ∧ I ⊆ II}
```

part:

```
lemma part-emp[simp]:
part J (insert {} P) = part J P
⟨proof⟩
```

```
lemma finite-part[simp]:
assumes finite I and part I P
shows finite P
⟨proof⟩
```

```
lemma part-sum:
assumes P: part {..} P
shows (∑ i<n. f i) = (∑ p∈P. ∑ i∈p. f i)
⟨proof⟩
```

```
lemma part-Un[simp]:
assumes part I1 P1 and part I2 P2 and I1 Int I2 = {}
shows part (I1 Un I2) (P1 Un P2)
⟨proof⟩
```

```
lemma part-Un-singl[simp]:
assumes part K P and ⋀ I. I ∈ P ⇒ I0 Int I = {}
shows part (I0 Un K) ({I0} Un P)
⟨proof⟩
```

```
lemma part-Un-singl2:
assumes K01 = I0 Un K1
and part K1 P and ⋀ I. I ∈ P ⇒ I0 Int I = {}
shows part K01 ({I0} Un P)
⟨proof⟩
```

```
lemma part-UN:
assumes ⋀ n. n ∈ N ⇒ part (I n) (P n)
```

and $\bigwedge n1\ n2. \{n1, n2\} \subseteq N \wedge n1 \neq n2 \implies I\ n1 \cap I\ n2 = \{\}$
shows part $(UN\ n : N. I\ n) (UN\ n : N. P\ n)$
 $\langle proof \rangle$

gen:

lemma *incl-gen*[simp]:
 $I \subseteq gen\ P\ I$
 $\langle proof \rangle$

lemma *gen-incl-Un*:
 $gen\ P\ I \subseteq I \cup (Union\ P)$
 $\langle proof \rangle$

lemma *gen-incl*:
assumes $I \in P$
shows $gen\ P\ I \subseteq Union\ P$
 $\langle proof \rangle$

lemma *finite-gen*:
assumes *finite P* **and** $\bigwedge J. J \in P \implies finite\ J$ **and** *finite I*
shows *finite (gen P I)*
 $\langle proof \rangle$

lemma *subset-gen*[simp]:
assumes $J \in P$ **and** $gen\ P\ I \cap J \neq \{\}$
shows $J \subseteq gen\ P\ I$
 $\langle proof \rangle$

lemma *gen-subset-gen*[simp]:
assumes $J \in P$ **and** $gen\ P\ I \cap J \neq \{\}$
shows $gen\ P\ J \subseteq gen\ P\ I$
 $\langle proof \rangle$

lemma *gen-mono*[simp]:
assumes $I \subseteq J$
shows $gen\ P\ I \subseteq gen\ P\ J$
 $\langle proof \rangle$

lemma *gen-idem*[simp]:
 $gen\ P\ (gen\ P\ I) = gen\ P\ I$
 $\langle proof \rangle$

lemma *gen-nchotomy*:
assumes $J \in P$
shows $J \subseteq gen\ P\ I \vee gen\ P\ I \cap J = \{\}$
 $\langle proof \rangle$

lemma *gen-Union*:
assumes $I \in P$

```

shows gen P I = Union {J ∈ P . J ⊆ gen P I}
⟨proof⟩

lemma subset-gen2:
assumes *: {I,J} ⊆ P and **: gen P I ∩ gen P J ≠ {}
shows I ⊆ gen P J
⟨proof⟩

lemma gen-subset-gen2[simp]:
assumes {I,J} ⊆ P and gen P I ∩ gen P J ≠ {}
shows gen P I ⊆ gen P J
⟨proof⟩

lemma gen-eq-gen:
assumes {I,J} ⊆ P and gen P I ∩ gen P J ≠ {}
shows gen P I = gen P J
⟨proof⟩

lemma gen-empty[simp]:
gen P {} = {}
⟨proof⟩

lemma gen-empty2[simp]:
gen {} I = I
⟨proof⟩

lemma emp-gen[simp]:
assumes gen P I = {}
shows I = {}
⟨proof⟩

partGen:

lemma partGen-ex:
assumes I ∈ P
shows ∃ J ∈ partGen P. I ⊆ J
⟨proof⟩

lemma ex-partGen:
assumes J ∈ partGen P and j: j ∈ J
shows ∃ I ∈ P. j ∈ I
⟨proof⟩

lemma Union-partGen: ∪ (partGen P) = ∪ P
⟨proof⟩

lemma Int-partGen:
assumes *: {I,J} ⊆ partGen P and **: I ∩ J ≠ {}
shows I = J
⟨proof⟩

```

```

lemma part-partGen:
part (Union P) (partGen P)
⟨proof⟩

lemma finite-partGen[simp]:
assumes finite P
shows finite (partGen P)
⟨proof⟩

lemma emp-partGen[simp]:
assumes {} ∉ P
shows {} ∉ partGen P
⟨proof⟩

finer:

lemma finer-partGen:
finer P (partGen P)
⟨proof⟩

lemma finer-nchotomy:
assumes P: part I0 P and Q: part I0 Q and PQ: finer P Q
and I: I ∈ P and II: II ∈ Q
shows I ⊆ II ∨ (I ∩ II = {})
⟨proof⟩

lemma finer-ex:
assumes P: part I0 P and Q: part I0 Q and PQ: finer P Q
and I: I ∈ P
shows ∃ II. II ∈ Q ∧ I ⊆ II
⟨proof⟩

partJoin:

lemma partJoin-commute:
partJoin P Q = partJoin Q P
⟨proof⟩

lemma Union-partJoin-L:
Union P ⊆ Union (partJoin P Q)
⟨proof⟩

lemma Union-partJoin-R:
Union Q ⊆ Union (partJoin P Q)
⟨proof⟩

lemma part-partJoin[simp]:
assumes part I P and part I Q
shows part I (partJoin P Q)
⟨proof⟩

```

```

lemma finer-partJoin-L[simp]:
assumes *: part I P and **: part I Q
shows finer P (partJoin P Q)
⟨proof⟩

lemma finer-partJoin-R[simp]:
assumes *: part I P and **: part I Q
shows finer Q (partJoin P Q)
⟨proof⟩

lemma finer-emp[simp]:
assumes finer {} Q
shows Q ⊆ { {} }
⟨proof⟩

compat:

lemma part-emp-R[simp]:
part I {} ←→ I = {}
⟨proof⟩

lemma part-emp-L[simp]:
part {} P ==> P ⊆ { {} }
⟨proof⟩

lemma finite-partJoin[simp]:
assumes finite P and finite Q
shows finite (partJoin P Q)
⟨proof⟩

lemma emp-partJoin[simp]:
assumes {} ∉ P and {} ∉ Q
shows {} ∉ partJoin P Q
⟨proof⟩

partCompat:

lemma partCompat-Un[simp]:
partCompat (P Un Q) theta f ←→
partCompat P theta f ∧ partCompat Q theta f
⟨proof⟩

lemma partCompat-gen-aux:
assumes theta: sym theta trans theta
and fP: partCompat P theta f and I: I ∈ P
and i: i ∈ I and j: j ∈ gen P I and ij: i ≠ j
shows (f i, f j) ∈ theta
⟨proof⟩

lemma partCompat-gen:

```

```

assumes theta: sym theta trans theta
and fP: partCompat P theta f and I: I ∈ P
shows compat (gen P I) theta f
⟨proof⟩

lemma partCompat-partGen:
assumes sym theta and trans theta
and partCompat P theta f
shows partCompat (partGen P) theta f
⟨proof⟩

lemma partCompat-partJoin[simp]:
assumes sym theta and trans theta
and partCompat P theta f and partCompat Q theta f
shows partCompat (partJoin P Q) theta f
⟨proof⟩

lift:

lemma inj-on-lift:
assumes P: part I0 P and Q: part I0 Q and PQ: finer P Q
and F: inj-on F P and FP: part J0 (F ` P) and emp: {} ∉ F ` P
shows inj-on (lift P F) Q
⟨proof⟩

lemma part-lift:
assumes P: part I0 P and Q: part I0 Q and PQ: finer P Q
and F: inj-on F P and FP: part J0 (F ` P) and emp: {} ∉ P {} ∉ F ` P
shows part J0 (lift P F ` Q)
⟨proof⟩

lemma finer-lift:
assumes finer P Q
shows finer (F ` P) (lift P F ` Q)
⟨proof⟩

```

2.3 Basic setting for bisimilarity

```

locale PL-Indis =
PL aval tval eval
for aval :: 'atom ⇒ 'state ⇒ 'state and
tval :: 'test ⇒ 'state ⇒ bool and
eval :: 'choice ⇒ 'state ⇒ real +
fixes
indis :: 'state rel
assumes
equiv-indis: equiv UNIV indis

```

```

context PL-Indis
begin

no-notation eqpoll (infixl  $\approx$  50)

abbreviation indisAbbrev (infix  $\approx$  50)
where  $s_1 \approx s_2 \equiv (s_1, s_2) \in \text{indis}$ 

lemma refl-indis: refl indis
and trans-indis: trans indis
and sym-indis: sym indis
⟨proof⟩

lemma indis-refl[intro]:  $s \approx s$ 
⟨proof⟩

lemma indis-trans[trans]:  $\llbracket s \approx s'; s' \approx s'' \rrbracket \implies s \approx s''$ 
⟨proof⟩

lemma indis-sym[sym]:  $s \approx s' \implies s' \approx s$ 
⟨proof⟩

```

2.4 Discreteness

```

coinductive discr where
intro:
 $(\bigwedge s i. i < \text{brn } c \longrightarrow s \approx \text{eff } c s i \wedge \text{discr } (\text{cont } c s i))$ 
 $\implies \text{discr } c$ 

```

```

definition discrL where
discrL cl  $\equiv \forall c \in \text{set cl}. \text{discr } c$ 

```

```

lemma discrL-intro[intro]:
assumes  $\bigwedge c. c \in \text{set cl} \implies \text{discr } c$ 
shows discrL cl
⟨proof⟩

```

```

lemma discrL-discr[simp]:
assumes discrL cl and  $c \in \text{set cl}$ 
shows discr c
⟨proof⟩

```

```

lemma discrL-update[simp]:
assumes cl: discrL cl and  $c': \text{discr } c'$ 
shows discrL (cl[n := c'])
⟨proof⟩

```

Coinduction for discreteness:

```

lemma discr-coind[consumes 1, case-names Hyp, induct pred: discr]:

```

```

assumes *: phi c and
**:  $\bigwedge c s i. [\![\text{phi } c ; i < \text{brn } c]\!] \implies s \approx \text{eff } c s i \wedge (\text{phi}(\text{cont } c s i) \vee \text{discr}(\text{cont } c s i))$ 
shows discr c
⟨proof⟩

lemma discr-raw-coind[consumes 1, case-names Hyp]:
assumes *: phi c and
**:  $\bigwedge c s i. [\![i < \text{brn } c; \text{phi } c]\!] \implies s \approx \text{eff } c s i \wedge \text{phi}(\text{cont } c s i)$ 
shows discr c
⟨proof⟩

```

Discreteness versus transition:

```

lemma discr-cont[simp]:
assumes *: discr c and **:  $i < \text{brn } c$ 
shows discr (cont c s i)
⟨proof⟩

lemma discr-eff-indis[simp]:
assumes *: discr c and **:  $i < \text{brn } c$ 
shows  $s \approx \text{eff } c s i$ 
⟨proof⟩

```

2.5 Self-isomorphism

coinductive *siso* **where**

intro:

$$\begin{aligned} & [\![\bigwedge s i. i < \text{brn } c \implies \text{siso}(\text{cont } c s i); \\ & \quad \bigwedge s t i. \\ & \quad i < \text{brn } c \wedge s \approx t \implies \\ & \quad \text{eff } c s i \approx \text{eff } c t i \wedge \text{wt } c s i = \text{wt } c t i \wedge \text{cont } c s i = \text{cont } c t i]\!] \\ & \implies \text{siso } c \end{aligned}$$

definition *sisoL* **where**

sisoL cl $\equiv \forall c \in \text{set cl}. \text{siso } c$

```

lemma sisoL-intro[intro]:
assumes  $\bigwedge c. c \in \text{set cl} \implies \text{siso } c$ 
shows sisoL cl
⟨proof⟩

```

```

lemma sisoL-siso[simp]:
assumes sisoL cl and  $c \in \text{set cl}$ 
shows siso c
⟨proof⟩

```

```

lemma sisoL-update[simp]:
assumes cl: sisoL cl and c': siso c'
shows sisoL (cl[n := c'])

```

$\langle proof \rangle$

Coinduction for self-isomorphism:

```
lemma siso-coind[consumes 1, case-names Obs Cont, induct pred: siso]:
assumes *: phi c and
**:  $\bigwedge c s t i. [i < brn c; phi c; s \approx t] \implies$ 
 $eff c s i \approx eff c t i \wedge wt c s i = wt c t i \wedge cont c s i = cont c t i$  and
***:  $\bigwedge c s i. [i < brn c; phi c] \implies phi (cont c s i) \vee siso (cont c s i)$ 
shows siso c
⟨proof⟩
```

```
lemma siso-raw-coind[consumes 1, case-names Obs Cont]:
assumes *: phi c and
**:  $\bigwedge c s t i. [i < brn c; phi c; s \approx t] \implies$ 
 $eff c s i \approx eff c t i \wedge wt c s i = wt c t i \wedge cont c s i = cont c t i$  and
***:  $\bigwedge c s i. [i < brn c; phi c] \implies phi (cont c s i)$ 
shows siso c
⟨proof⟩
```

Self-Isomorphism versus transition:

```
lemma siso-cont[simp]:
assumes *: siso c and **:  $i < brn c$ 
shows siso (cont c s i)
⟨proof⟩
```

```
lemma siso-cont-indis[simp]:
assumes *: siso c and **:  $s \approx t \wedge i < brn c$ 
shows  $eff c s i \approx eff c t i \wedge wt c s i = wt c t i \wedge cont c s i = cont c t i$ 
⟨proof⟩
```

2.6 Notions of bisimilarity

Matchers

```
definition mC-C-part where
mC-C-part c d P F ≡
{} ∉ P ∧ {} ∉ F ‘ P ∧
part {.. < brn c} P ∧ part {.. < brn d} (F ‘ P)
```

```
definition mC-C-wt where
mC-C-wt c d s t P F ≡ ∀ I ∈ P. sum (wt c s) I = sum (wt d t) (F I)
```

```
definition mC-C-eff-cont where
mC-C-eff-cont theta c d s t P F ≡
∀ I i j.
I ∈ P ∧ i ∈ I ∧ j ∈ F I →
eff c s i ≈ eff d t j ∧ (cont c s i, cont d t j) ∈ theta
```

```
definition mC-C where
```

$mC\text{-}C\ theta c d s t P F \equiv$
 $mC\text{-}C\text{-}part c d P F \wedge inj\text{-}on F P \wedge mC\text{-}C\text{-}wt c d s t P F \wedge mC\text{-}C\text{-}eff\text{-}cont theta c d s t P F$

definition $matchC\text{-}C$ **where**

$matchC\text{-}C\ theta c d \equiv \forall s t. s \approx t \rightarrow (\exists P F. mC\text{-}C\ theta c d s t P F)$

definition $mC\text{-}ZOC\text{-}part$ **where**

$mC\text{-}ZOC\text{-}part c d s t I0 P F \equiv$
 $\{ \} \notin P - \{I0\} \wedge \{ \} \notin F ' (P - \{I0\}) \wedge I0 \in P \wedge$
 $part \{.. < brn c\} P \wedge part \{.. < brn d\} (F ' P)$

definition $mC\text{-}ZOC\text{-}wt$ **where**

$mC\text{-}ZOC\text{-}wt c d s t I0 P F \equiv$
 $sum (wt c s) I0 < 1 \wedge sum (wt d t) (F I0) < 1 \rightarrow$
 $(\forall I \in P - \{I0\}.$
 $sum (wt c s) I / (1 - sum (wt c s) I0) =$
 $sum (wt d t) (F I) / (1 - sum (wt d t) (F I0)))$

definition $mC\text{-}ZOC\text{-}eff\text{-}cont0$ **where**

$mC\text{-}ZOC\text{-}eff\text{-}cont0\ theta c d s t I0 F \equiv$
 $(\forall i \in I0. s \approx eff c s i \wedge (cont c s i, d) \in theta) \wedge$
 $(\forall j \in F I0. t \approx eff d t j \wedge (c, cont d t j) \in theta)$

definition $mC\text{-}ZOC\text{-}eff\text{-}cont$ **where**

$mC\text{-}ZOC\text{-}eff\text{-}cont\ theta c d s t I0 P F \equiv$
 $\forall I i j.$
 $I \in P - \{I0\} \wedge i \in I \wedge j \in F I \rightarrow$
 $eff c s i \approx eff d t j \wedge$
 $(cont c s i, cont d t j) \in theta$

definition $mC\text{-}ZOC$ **where**

$mC\text{-}ZOC\ theta c d s t I0 P F \equiv$
 $mC\text{-}ZOC\text{-}part c d s t I0 P F \wedge$
 $inj\text{-}on F P \wedge$
 $mC\text{-}ZOC\text{-}wt c d s t I0 P F \wedge$
 $mC\text{-}ZOC\text{-}eff\text{-}cont0\ theta c d s t I0 F \wedge$
 $mC\text{-}ZOC\text{-}eff\text{-}cont\ theta c d s t I0 P F$

definition $matchC\text{-}LC$ **where**

$matchC\text{-}LC\ theta c d \equiv$
 $\forall s t. s \approx t \rightarrow (\exists I0 P F. mC\text{-}ZOC\ theta c d s t I0 P F)$

lemmas $m\text{-}defs} = mC\text{-}C\text{-}def\ mC\text{-}ZOC\text{-}def$

lemmas $m\text{-}defsAll} =$

$mC\text{-}C\text{-}def\ mC\text{-}C\text{-}part\text{-}def\ mC\text{-}C\text{-}wt\text{-}def\ mC\text{-}C\text{-}eff\text{-}cont\text{-}def$

```

mC-ZOC-def mC-ZOC-part-def mC-ZOC-wt-def mC-ZOC-eff-cont0-def mC-ZOC-eff-cont-def

lemmas match-defs =
matchC-C-def matchC-LC-def

lemma mC-C-mono:
assumes mC-C theta c d s t P F and theta ⊆ theta'
shows mC-C theta' c d s t P F
⟨proof⟩

lemma matchC-C-mono:
assumes matchC-C theta c d and theta ⊆ theta'
shows matchC-C theta' c d
⟨proof⟩

lemma mC-ZOC-mono:
assumes mC-ZOC theta c d s t I0 P F and theta ⊆ theta'
shows mC-ZOC theta' c d s t I0 P F
⟨proof⟩

lemma matchC-LC-mono:
assumes matchC-LC theta c d and theta ⊆ theta'
shows matchC-LC theta' c d
⟨proof⟩

lemma Int-not-in-eq-emp:
P ∩ {I. I ∉ P} = {}
⟨proof⟩

lemma mC-C-mC-ZOC:
assumes mC-C theta c d s t P F
shows mC-ZOC theta c d s t {} (P Un { {} }) (%I. if I ∈ P then F I else {})
(is mC-ZOC theta c d s t ?I0 ?Q ?G)
⟨proof⟩

lemma matchC-C-matchC-LC:
assumes matchC-C theta c d
shows matchC-LC theta c d
⟨proof⟩

Retracts:

definition Sretr where
Sretr theta ≡
{(c, d). matchC-C theta c d}

definition ZOretr where
ZOretr theta ≡
{(c,d). matchC-LC theta c d}

```

```
lemmas Retr-defs =
```

```
  Sretr-def
```

```
  ZOretr-def
```

```
lemma mono-Retr:
```

```
  mono Sretr
```

```
  mono ZOretr
```

```
  ⟨proof⟩
```

```
lemma Retr-incl:
```

```
  Sretr theta ⊆ ZOretr theta
```

```
  ⟨proof⟩
```

The associated bisimilarity relations:

```
definition Sbis where Sbis ≡ gfp Sretr
```

```
definition ZObis where ZObis ≡ gfp ZOretr
```

```
abbreviation Sbis-abbrev (infix  $\approx_s$  55) where  $c \approx_s d \equiv (c, d) : Sbis$ 
```

```
abbreviation ZObis-abbrev (infix  $\approx_{01}$  55) where  $c \approx_{01} d \equiv (c, d) : ZObis$ 
```

```
lemmas bis-defs = Sbis-def ZObis-def
```

```
lemma bis-incl:
```

```
  Sbis ≤ ZObis
```

```
  ⟨proof⟩
```

```
lemma bis-imp[simp]:
```

```
   $\bigwedge c_1 c_2. c_1 \approx_s c_2 \implies c_1 \approx_{01} c_2$ 
```

```
  ⟨proof⟩
```

```
lemma Sbis-prefix:
```

```
  Sbis ≤ Sretr Sbis
```

```
  ⟨proof⟩
```

```
lemma Sbis-fix:
```

```
  Sretr Sbis = Sbis
```

```
  ⟨proof⟩
```

```
lemma Sbis-mC-C:
```

```
  assumes  $c \approx_s d$  and  $s \approx t$ 
```

```
  shows  $\exists P F. mC\text{-}C Sbis c d s t P F$ 
```

```
  ⟨proof⟩
```

```
lemma Sbis-coind:
```

```
  assumes  $\theta \leq Sretr (\theta Un Sbis)$ 
```

```

shows theta ≤ Sbis
⟨proof⟩

lemma Sbis-raw-coind:
assumes theta ≤ Sretr theta
shows theta ≤ Sbis
⟨proof⟩

lemma mC-C-sym:
assumes mC-C theta c d s t P F
shows mC-C (theta ^-1) d c t s (F ` P) (inv-into P F)
⟨proof⟩

lemma matchC-C-sym:
assumes matchC-C theta c d
shows matchC-C (theta ^-1) d c
⟨proof⟩

lemma Sretr-sym:
assumes sym theta
shows sym (Sretr theta)
⟨proof⟩

lemma sym-Sbis: sym Sbis
⟨proof⟩

lemma Sbis-sym: c ≈s d ⇒ d ≈s c
⟨proof⟩

lemma mC-C-trans:
assumes *: mC-C theta1 c d s t P F and **: mC-C theta2 d e t u (F ` P) G
shows mC-C (theta1 O theta2) c e s u P (G o F)
⟨proof⟩

lemma mC-C-finer:
assumes *: mC-C theta c d s t P F
and theta: trans theta
and Q: finer P Q finite Q {} ∉ Q part {..<brn c} Q
and c: partCompat Q indis (eff c s) partCompat Q theta (cont c s)
shows mC-C theta c d s t Q (lift P F)
⟨proof⟩

lemma mC-C-partCompat-eff:
assumes *: mC-C theta c d s t P F

```

```

shows partCompat P indis (eff c s)
⟨proof⟩

lemma mC-C-partCompat-cont:
assumes *: mC-C theta c d s t P F
and theta: sym theta trans theta
shows partCompat P theta (cont c s)
⟨proof⟩

lemma matchC-C-sym-trans:
assumes *: matchC-C theta c1 c and **: matchC-C theta c c2
and theta: sym theta trans theta
shows matchC-C theta c1 c2
⟨proof⟩

lemma Sretr-sym-trans:
assumes sym theta ∧ trans theta
shows trans (Sretr theta)
⟨proof⟩

lemma trans-Sbis: trans Sbis
⟨proof⟩

lemma Sbis-trans:  $\llbracket c \approx s d; d \approx s e \rrbracket \implies c \approx s e$ 
⟨proof⟩

lemma ZObis-prefix:
 $ZObis \leq ZOretr ZObis$ 
⟨proof⟩

lemma ZObis-fix:
 $ZOretr ZObis = ZObis$ 
⟨proof⟩

lemma ZObis-mC-ZOC:
assumes c ≈01 d and s ≈ t
shows ∃ I0 P F. mC-ZOC ZObis c d s t I0 P F
⟨proof⟩

lemma ZObis-coind:
assumes theta ≤ ZOretr (theta Un ZObis)
shows theta ≤ ZObis
⟨proof⟩

lemma ZObis-raw-coind:
assumes theta ≤ ZOretr theta

```

shows $\theta \leq ZObis$
 $\langle proof \rangle$

lemma $mC\text{-}ZOC\text{-}sym$:

assumes $\theta : sym \theta \text{ and } * : mC\text{-}ZOC \theta c d s t I0 P F$
shows $mC\text{-}ZOC \theta d c t s (F I0) (F ^ P) (\text{inv-into } P F)$
 $\langle proof \rangle$

lemma $matchC\text{-}LC\text{-}sym$:

assumes $* : sym \theta \text{ and } matchC\text{-}LC \theta c d$
shows $matchC\text{-}LC \theta d c$
 $\langle proof \rangle$

lemma $ZOretr\text{-}sym$:

assumes $sym \theta$
shows $sym (ZOretr \theta)$
 $\langle proof \rangle$

lemma $sym\text{-}ZObis : sym ZObis$
 $\langle proof \rangle$

lemma $ZObis\text{-}sym : c \approx 01 d \implies d \approx 01 c$
 $\langle proof \rangle$

2.7 List versions of the bisimilarities

definition $SbisL$ **where**

$SbisL cl dl \equiv length cl = length dl \wedge (\forall n < length cl. cl ! n \approx s dl ! n)$

lemma $SbisL\text{-intro}[intro]$:

assumes $length cl = length dl \text{ and}$
 $\wedge n. [n < length cl; n < length dl] \implies cl ! n \approx s dl ! n$
shows $SbisL cl dl$
 $\langle proof \rangle$

lemma $SbisL\text{-length}[simp]$:

assumes $SbisL cl dl$
shows $length cl = length dl$
 $\langle proof \rangle$

lemma $SbisL\text{-}Sbis[simp]$:

assumes $SbisL cl dl \text{ and } n < length cl \vee n < length dl$
shows $cl ! n \approx s dl ! n$
 $\langle proof \rangle$

lemma $SbisL\text{-update}[simp]$:

assumes $cldl: SbisL cl dl \text{ and } c'd': c' \approx_s d'$
shows $SbisL (cl[n := c']) (dl[n := d']) (\text{is } SbisL ?cl' ?dl')$
 $\langle proof \rangle$

lemma $SbisL\text{-update-}L[\text{simp}]$:
assumes $SbisL cl dl \text{ and } c' \approx_s d!n$
shows $SbisL (cl[n := c']) dl$
 $\langle proof \rangle$

lemma $SbisL\text{-update-}R[\text{simp}]$:
assumes $SbisL cl dl \text{ and } cl!n \approx_s d'$
shows $SbisL cl (dl[n := d'])$
 $\langle proof \rangle$

definition $ZObisL$ **where**
 $ZObisL cl dl \equiv$
 $\text{length } cl = \text{length } dl \wedge (\forall n < \text{length } cl. cl ! n \approx_0 1 dl ! n)$

lemma $ZObisL\text{-intro}[\text{intro}]$:
assumes $\text{length } cl = \text{length } dl \text{ and }$
 $\wedge n. [n < \text{length } cl; n < \text{length } dl] \implies cl ! n \approx_0 1 dl ! n$
shows $ZObisL cl dl$
 $\langle proof \rangle$

lemma $ZObisL\text{-length}[\text{simp}]$:
assumes $ZObisL cl dl$
shows $\text{length } cl = \text{length } dl$
 $\langle proof \rangle$

lemma $ZObisL\text{-}ZObis[\text{simp}]$:
assumes $ZObisL cl dl \text{ and } n < \text{length } cl \vee n < \text{length } dl$
shows $cl ! n \approx_0 1 dl ! n$
 $\langle proof \rangle$

lemma $ZObisL\text{-update}[\text{simp}]$:
assumes $cldl: ZObisL cl dl \text{ and } c'd': c' \approx_0 1 d'$
shows $ZObisL (cl[n := c']) (dl[n := d']) (\text{is } ZObisL ?cl' ?dl')$
 $\langle proof \rangle$

lemma $ZObisL\text{-update-}L[\text{simp}]$:
assumes $ZObisL cl dl \text{ and } c' \approx_0 1 d!n$
shows $ZObisL (cl[n := c']) dl$
 $\langle proof \rangle$

lemma $ZObisL\text{-update-}R[\text{simp}]$:
assumes $ZObisL cl dl \text{ and } cl!n \approx_0 1 d'$
shows $ZObisL cl (dl[n := d'])$

$\langle proof \rangle$

2.8 Discreteness for configurations

coinductive $discrCf$ **where**

intro:

$$\begin{aligned} (\bigwedge i. i < brn (fst cf) \longrightarrow \\ & \quad snd cf \approx snd (cont\text{-}eff cf i) \wedge discrCf (cont\text{-}eff cf i)) \\ \implies & discrCf cf \end{aligned}$$

Coinduction for discreteness:

```
lemma  $discrCf\text{-coind}$ [consumes 1, case-names Hyp, induct pred: discr]:
assumes *:  $\phi cf$  and
**:  $\bigwedge cf i.$ 
 $\llbracket i < brn (fst cf); \phi cf \rrbracket \implies$ 
 $\quad snd cf \approx snd (cont\text{-}eff cf i) \wedge (\phi (cont\text{-}eff cf i) \vee discrCf (cont\text{-}eff cf i))$ 
shows  $discrCf cf$ 
 $\langle proof \rangle$ 
```

```
lemma  $discrCf\text{-raw-coind}$ [consumes 1, case-names Hyp]:
assumes *:  $\phi cf$  and
**:  $\bigwedge cf i.$   $\llbracket i < brn (fst cf); \phi cf \rrbracket \implies$ 
 $\quad snd cf \approx snd (cont\text{-}eff cf i) \wedge \phi (cont\text{-}eff cf i)$ 
shows  $discrCf cf$ 
 $\langle proof \rangle$ 
```

Discreteness versus transition:

```
lemma  $discrCf\text{-cont}$ [simp]:
assumes *:  $discrCf cf$  and **:  $i < brn (fst cf)$ 
shows  $discrCf (cont\text{-}eff cf i)$ 
 $\langle proof \rangle$ 
```

```
lemma  $discrCf\text{-eff-indis}$ [simp]:
assumes *:  $discrCf cf$  and **:  $i < brn (fst cf)$ 
shows  $snd cf \approx snd (cont\text{-}eff cf i)$ 
 $\langle proof \rangle$ 
```

```
lemma  $discr\text{-}discrCf$ :
assumes  $discr c$ 
shows  $discrCf (c, s)$ 
 $\langle proof \rangle$ 
```

```
lemma  $ZObis\text{-pres}\text{-}discrCfL$ :
assumes  $fst cf \approx 01 fst df$  and  $snd cf \approx snd df$  and  $discrCf df$ 
shows  $discrCf cf$ 
 $\langle proof \rangle$ 
```

```
corollary  $ZObis\text{-pres}\text{-}discrCfR$ :
assumes  $discrCf cf$  and  $fst cf \approx 01 fst df$  and  $snd cf \approx snd df$ 
```

```

shows discrCf df
⟨proof⟩

```

```
end
```

```
end
```

3 Trace-Based Noninterference

```

theory Trace-Based
  imports Resumption-Based
begin

```

3.1 Preliminaries

```
lemma dist-sum:
```

```

  fixes f :: 'a ⇒ real and g :: 'a ⇒ real
  assumes  $\bigwedge i. i \in I \implies \text{dist}(\mathit{f}\ i) (\mathit{g}\ i) \leq e\ i$ 
  shows  $\text{dist}(\sum_{i \in I.} \mathit{f}\ i) (\sum_{i \in I.} \mathit{g}\ i) \leq (\sum_{i \in I.} e\ i)$ 
⟨proof⟩

```

```
lemma dist-mult[simp]:  $\text{dist}(x * y) (x * z) = |x| * \text{dist}\ y\ z$ 
⟨proof⟩

```

```
lemma dist-divide[simp]:  $\text{dist}(y / r) (z / r) = \text{dist}\ y\ z / |r|$ 
⟨proof⟩

```

```
lemma dist-weighted-sum:
```

```

  fixes f :: 'a ⇒ real and g :: 'b ⇒ real
  assumes  $\text{eps}: \bigwedge i. j. i \in I \implies j \in J \implies w\ i \neq 0 \implies v\ j \neq 0 \implies \text{dist}(\mathit{f}\ i) (\mathit{g}\ j) \leq d\ i + e\ j$ 
        and  $\text{pos}: \bigwedge i. i \in I \implies 0 \leq w\ i \bigwedge j. j \in J \implies 0 \leq v\ j$ 
        and  $\text{sum}: (\sum_{i \in I.} w\ i) = 1 (\sum_{j \in J.} v\ j) = 1$ 
  shows  $\text{dist}(\sum_{i \in I.} w\ i * \mathit{f}\ i) (\sum_{j \in J.} v\ j * \mathit{g}\ j) \leq (\sum_{i \in I.} w\ i * d\ i) + (\sum_{j \in J.} v\ j * e\ j)$ 
⟨proof⟩

```

```
lemma field-abs-le-zero-epsilon:
```

```

  fixes x :: 'a::{linordered-field}
  assumes  $\text{epsilon}: \bigwedge e. 0 < e \implies |x| \leq e$ 
  shows  $|x| = 0$ 
⟨proof⟩

```

```
lemma nat-nat-induct[case-names less]:
```

```

  fixes P :: nat ⇒ nat ⇒ bool
  assumes  $\text{less}: \bigwedge n\ m. (\bigwedge j\ k. j + k < n + m \implies P\ j\ k) \implies P\ n\ m$ 

```

shows $P n m$
 $\langle proof \rangle$

lemma *part-insert*:
 assumes $part A P$ **assumes** $X \cap A = \{\}$
 shows $part (A \cup X) (\text{insert } X P)$
 $\langle proof \rangle$

lemma *part-insert-subset*:
 assumes $X: part (A - X) P X \subseteq A$
 shows $part A (\text{insert } X P)$
 $\langle proof \rangle$

lemma *part-is-subset*:
 $part S P \implies p \in P \implies p \subseteq S$
 $\langle proof \rangle$

lemma *dist-nonneg-bounded*:
 fixes $l u x y :: real$
 assumes $l \leq x x \leq u l \leq y y \leq u$
 shows $dist x y \leq u - l$
 $\langle proof \rangle$

lemma *integrable-count-space-finite-support*:
 fixes $f :: 'a \Rightarrow 'b :: \{banach, second-countable-topology\}$
 shows $\text{finite } \{x \in X. f x \neq 0\} \implies \text{integrable } (\text{count-space } X) f$
 $\langle proof \rangle$

lemma *lebesgue-integral-point-measure*:
 fixes $g :: - \Rightarrow real$
 assumes $f: finite \{a \in A. 0 < f a \wedge g a \neq 0\}$
 shows $\text{integral}^L (\text{point-measure } A f) g = (\sum a | a \in A \wedge 0 < f a \wedge g a \neq 0. f a * g a)$
 $\langle proof \rangle$

lemma (**in** *finite-measure*) *finite-measure-dist*:
 assumes $AE: AE x \text{ in } M. x \notin C \implies (x \in A \longleftrightarrow x \in B)$
 assumes $sets: A \in sets M B \in sets M C \in sets M$
 shows $dist (\text{measure } M A) (\text{measure } M B) \leq \text{measure } M C$
 $\langle proof \rangle$

lemma (**in** *prob-space*) *prob-dist*:
 assumes $AE: AE x \text{ in } M. \neg C x \implies (A x \longleftrightarrow B x)$
 assumes $sets: Measurable.pred M A Measurable.pred M B Measurable.pred M C$
 shows $dist \mathcal{P}(x \text{ in } M. A x) \mathcal{P}(x \text{ in } M. B x) \leq \mathcal{P}(x \text{ in } M. C x)$
 $\langle proof \rangle$

lemma *Least-eq-0-iff*: $(\exists i :: nat. P i) \implies (\text{LEAST } i. P i) = 0 \longleftrightarrow P 0$

$\langle proof \rangle$

lemma *case-nat-comp-Suc*[simp]: *case-nat* $x f \circ Suc = f$
 $\langle proof \rangle$

lemma *sum-eq-0-iff*:

fixes $f :: - \Rightarrow 'a :: \{comm-monoid-add, ordered-ab-group-add\}$
shows *finite A* $\Rightarrow (\bigwedge i. i \in A \Rightarrow 0 \leq f i) \Rightarrow (\sum_{i \in A} f i) = 0 \longleftrightarrow (\forall i \in A. f i = 0)$
 $\langle proof \rangle$

lemma *sum-less-0-iff*:

fixes $f :: - \Rightarrow 'a :: \{comm-monoid-add, ordered-ab-group-add\}$
shows *finite A* $\Rightarrow (\bigwedge i. i \in A \Rightarrow 0 \leq f i) \Rightarrow 0 < (\sum_{i \in A} f i) \longleftrightarrow (\exists i \in A. 0 < f i)$
 $\langle proof \rangle$

context *PL-Indis*
begin

declare *emp-gen*[simp del]

interpretation *pmf-as-function* $\langle proof \rangle$

lift-definition *wt-pmf* :: $('test, 'atom, 'choice) cmd \times 'state \Rightarrow nat pmf$ **is**
 $\lambda(c, s). if \text{proper } c \text{ then } if i < brn c \text{ then } wt c s i \text{ else } 0 \text{ else if } i = 0 \text{ then } 1 \text{ else } 0$
 $\langle proof \rangle$

definition *trans* :: $('test, 'atom, 'choice) cmd \times 'state \Rightarrow (('test, 'atom, 'choice) cmd \times 'state) pmf$ **where**
 $trans cf = map-pmf (\lambda i. if \text{proper } (fst cf) \text{ then } cont-eff cf i \text{ else } cf) (wt-pmf cf)$

sublocale *T?: MC-syntax trans* $\langle proof \rangle$

abbreviation $G cf \equiv set-pmf (trans cf)$

lemma *set-pmf-map*: *set-pmf* (*map-pmf f M*) = $f ` set-pmf M$
 $\langle proof \rangle$

lemma *set-pmf-wt*:

set-pmf (*wt-pmf cf*) = $(if \text{proper } (fst cf) \text{ then } \{i. i < brn (fst cf) \wedge 0 < wt (fst cf) (snd cf) i\} \text{ else } \{0\})$
 $\langle proof \rangle$

lemma *G-eq*:

$G cf = (if \text{proper } (fst cf) \text{ then } \{cont-eff cf i \mid i. i < brn (fst cf) \wedge 0 < wt (fst cf) (snd cf) i\} \text{ else } \{cf\})$
 $\langle proof \rangle$

lemma *discrCf-G*: $\text{discrCf } cf \implies cf' \in G \text{ cf} \implies \text{discrCf } cf'$
 $\langle \text{proof} \rangle$

lemma *measurable-discrCf[measurable]*: $\text{Measurable}.\text{pred}(\text{count-space } \text{UNIV}) \text{ discrCf}$
 $\langle \text{proof} \rangle$

lemma *measurable-indis[measurable]*: $\text{Measurable}.\text{pred}(\text{count-space } \text{UNIV}) (\lambda x. \text{snd } x \approx c)$
 $\langle \text{proof} \rangle$

lemma *integral-trans*:
 $\text{proper } (\text{fst } cf) \implies$
 $(\int x. f x \partial \text{trans } cf) = (\sum i < \text{brn } (\text{fst } cf). \text{wt } (\text{fst } cf) (\text{snd } cf) i * f (\text{cont-eff } cf i))$
 $\langle \text{proof} \rangle$

3.2 Quasi strong termination traces

abbreviation *qsend* \equiv *sfirst* (*holds discrCf*)

lemma *qsend-eq-0-iff*: $\text{qsend } cfT = 0 \longleftrightarrow \text{discrCf } (\text{shd } cfT)$
 $\langle \text{proof} \rangle$

lemma *qsend-eq-0[simp]*: $\text{discrCf } cf \implies \text{qsend } (cf \# \# \omega) = 0$
 $\langle \text{proof} \rangle$

lemma *alw-discrCf*: *enabled cf* $\omega \implies \text{discrCf } cf \implies \text{alw } (\text{holds discrCf}) \omega$
 $\langle \text{proof} \rangle$

lemma *alw-discrCf-indis'*:
enabled cf $\omega \implies \text{discrCf } cf \implies \text{snd } cf \approx t \implies \text{alw } (\text{holds } (\lambda cf'. \text{snd } cf' \approx t)) \omega$
 $\langle \text{proof} \rangle$

lemma *alw-discrCf-indis*:
enabled cf $\omega \implies \text{discrCf } cf \implies \text{alw } (\text{holds } (\lambda cf'. \text{snd } cf' \approx \text{snd } cf)) (cf \# \# \omega)$
 $\langle \text{proof} \rangle$

lemma *enabled-sdrop*: *enabled cf* $\omega \implies \text{enabled } ((cf \# \# \omega) !! n) (\text{sdrop } n \omega)$
 $\langle \text{proof} \rangle$

lemma *sfirst-eSuc*: $\text{sfirst } P \omega = eSuc n \longleftrightarrow (\neg P \omega) \wedge \text{sfirst } P (\text{stl } \omega) = n$
 $\langle \text{proof} \rangle$

lemma *qsend-snth*: $\text{qsend } \omega = \text{enat } n \implies \text{discrCf } (\omega !! n)$
 $\langle \text{proof} \rangle$

lemma *indis-iff*: $a \approx d \implies b \approx d \implies a \approx c \longleftrightarrow b \approx c$

$\langle proof \rangle$

lemma *enabled-qsend-indis*:

assumes *enabled cf* ω *qsend* ($cf \# \# \omega \leq n$) *qsend* ($cf \# \# \omega \leq m$)
shows *snd* ($(cf \# \# \omega) !! n \approx t \longleftrightarrow snd ((cf \# \# \omega) !! m) \approx t$)

$\langle proof \rangle$

lemma *enabled-imp-UNTIL-alw-discrCf*:

enabled (*shd* ω) (*stl* ω) \implies (*not* (*holds* *discrCf*) *until* (*alw* (*holds* *discrCf*))) ω
 $\langle proof \rangle$

lemma *less-qsend-iff-not-discrCf*:

enabled cf $bT \implies n < qsend (cf \# \# bT) \longleftrightarrow \neg discrCf ((cf \# \# bT) !! n)$
 $\langle proof \rangle$

3.3 Terminating configurations

definition *qstermCf cf* \longleftrightarrow ($\forall cfT. enabled cf cfT \longrightarrow qsend (cf \# \# cfT) < \infty$)

lemma *qstermCf-E*:

qstermCf cf \implies *cf' ∈ G cf* \implies *qstermCf cf'*
 $\langle proof \rangle$

abbreviation *eff-at cf bT n* \equiv *snd* ($(cf \# \# bT) !! n$)

abbreviation *cont-at cf bT n* \equiv *fst* ($(cf \# \# bT) !! n$)

definition *amSec c* \longleftrightarrow ($\forall s1 s2 n t. s1 \approx s2 \longrightarrow$
 $\mathcal{P}(bT \text{ in } T.T (c, s1). eff\text{-at} (c, s1) bT n \approx t) =$
 $\mathcal{P}(bT \text{ in } T.T (c, s2). eff\text{-at} (c, s2) bT n \approx t))$

definition *eSec c* \longleftrightarrow ($\forall s1 s2 t. s1 \approx s2 \longrightarrow$
 $\mathcal{P}(bT \text{ in } T.T (c, s1). \exists n. qsend ((c, s1) \# \# bT) = n \wedge eff\text{-at} (c, s1) bT n \approx t) =$
 $\mathcal{P}(bT \text{ in } T.T (c, s2). \exists n. qsend ((c, s2) \# \# bT) = n \wedge eff\text{-at} (c, s2) bT n \approx t))$

definition *aeT c* \longleftrightarrow ($\forall s. AE bT \text{ in } T.T (c, s). qsend ((c, s) \# \# bT) < \infty$)

lemma *dist-Ps-upper-bound*:

fixes *cf1 cf2 :: ('test, 'atom, 'choice) cmd × 'state and s :: 'state and S*
defines *S cf bT ≡ ∃ n. qsend (cf # # bT) = n ∧ eff-at cf bT n ≈ s*
defines *Ps cf ≡ P(bT in T.T cf. S cf bT)*
defines *N cf n bT ≡ ¬ discrCf ((cf # # bT) !! n)*
defines *Pn cf n ≡ P(bT in T.T cf. N cf n bT)*
assumes *bisim: proper (fst cf1) proper (fst cf2) fst cf1 ≈01 fst cf2 snd cf1 ≈ snd cf2*
shows *dist (Ps cf1) (Ps cf2) ≤ Pn cf1 n + Pn cf2 m*
 $\langle proof \rangle$

```

lemma AE-T-max-qsend-time:
  fixes cf and e :: real assumes AE: AE bT in T.T cf. qsend (cf ## bT) < infinity
  0 < e
  shows ∃ N. P(bT in T.T cf. ¬ discrCf ((cf ## bT) !! N)) < e
  ⟨proof⟩

lemma Ps-eq:
  fixes cf1 cf2 s and S
  defines S cf bT ≡ ∃ n. qsend (cf ## bT) = n ∧ eff-at cf bT n ≈ s
  defines Ps cf ≡ P(bT in T.T cf. S cf bT)
  assumes qsterm1: AE bT in T.T cf1. qsend (cf1 ## bT) < infinity
  assumes qsterm2: AE bT in T.T cf2. qsend (cf2 ## bT) < infinity
  and bisim: proper (fst cf1) proper (fst cf2) fst cf1 ≈01 fst cf2 snd cf1 ≈ snd cf2
  shows Ps cf1 = Ps cf2
  ⟨proof⟩

lemma siso-trace:
  assumes siso c s ≈ t enabled (c, t) cfT
  shows siso (cont-at (c, s) cfT n)
    and cont-at (c, s) cfT n = cont-at (c, t) cfT n
    and eff-at (c, s) cfT n ≈ eff-at (c, t) cfT n
  ⟨proof⟩

lemma Sbis-trace:
  assumes proper (fst cf1) proper (fst cf2) fst cf1 ≈s fst cf2 snd cf1 ≈ snd cf2
  shows P(cfT in T.T cf1. eff-at cf1 cfT n ≈ s') = P(cfT in T.T cf2. eff-at cf2
  cfT n ≈ s')
  (is ?P cf1 n = ?P cf2 n)
  ⟨proof⟩

```

3.4 Final Theorems

theorem ZObis-eSec: [proper c; c ≈01 c; aeT c] ⇒ eSec c
 ⟨proof⟩

theorem Sbis-amSec: [proper c; c ≈s c] ⇒ amSec c
 ⟨proof⟩

theorem amSec-eSec:
assumes [simp]: proper c **and** aeT c amSec c **shows** eSec c
 ⟨proof⟩

end

end

4 Compositionality of Resumption-Based Noninterference

```
theory Compositionalty
imports Resumption-Based
begin
```

```
context PL-Indis
begin
```

4.1 Compatibility and discreetness of atoms, tests and choices

```
definition compatAtm where
compatAtm atm ≡
ALL s t. s ≈ t → aval atm s ≈ aval atm t
```

```
definition presAtm where
presAtm atm ≡
ALL s. s ≈ aval atm s
```

```
definition compatTst where
compatTst tst ≡
ALL s t. s ≈ t → tval tst s = tval tst t
```

```
lemma discrAt-compatAt[simp]:
assumes presAtm atm
shows compatAtm atm
⟨proof⟩
```

```
definition compatCh where
compatCh ch ≡ ∀ s t. s ≈ t → eval ch s = eval ch t
```

```
lemma compatCh-eval[simp]:
assumes compatCh ch and s ≈ t
shows eval ch s = eval ch t
⟨proof⟩
```

4.2 Compositionality of self-isomorphism

Self-Isomorphism versus language constructs:

```
lemma siso-Done[simp]:
siso Done
⟨proof⟩
```

```
lemma siso-Atm[simp]:
siso (Atm atm) = compatAtm atm
⟨proof⟩
```

```

lemma siso-Seq[simp]:
assumes *: siso c1 and **: siso c2
shows siso (c1 ;; c2)
⟨proof⟩

```

```

lemma siso-While[simp]:
assumes compatTst tst and siso c
shows siso (While tst c)
⟨proof⟩

```

```

lemma siso-Ch[simp]:
assumes compatCh ch
and *: siso c1 and **: siso c2
shows siso (Ch ch c1 c2)
⟨proof⟩

```

```

lemma siso-Par[simp]:
assumes properL cl and sisoL cl
shows siso (Par cl)
⟨proof⟩

```

```

lemma siso-ParT[simp]:
assumes properL cl and sisoL cl
shows siso (ParT cl)
⟨proof⟩

```

Self-isomorphism implies strong bisimilarity:

```

lemma bij-betw-emp[simp]:
bij-betw f {} {}
⟨proof⟩

```

```

lemma part-full[simp]:
part I {I}
⟨proof⟩

```

```

definition singlPart where
singlPart I ≡ {{i} | i . i ∈ I}

```

```

lemma part-singlPart[simp]:
part I (singlPart I)
⟨proof⟩

```

```

lemma singlPart-inj-on[simp]:
inj-on (image f) (singlPart I) = inj-on f I
⟨proof⟩

```

```

lemma singlPart-surj[simp]:
(image f) ‘ (singlPart I) = (singlPart J) ←→ f ‘ I = J

```

$\langle proof \rangle$

lemma *singlPart-bij-betw*[simp]:
bij-betw (*image f*) (*singlPart I*) (*singlPart J*) = *bij-betw f I J*
 $\langle proof \rangle$

lemma *singlPart-finite1*:
assumes *finite* (*singlPart I*)
shows *finite* (*I::'a set*)
 $\langle proof \rangle$

lemma *singlPart-finite*[simp]:
finite (*singlPart I*) = *finite I*
 $\langle proof \rangle$

lemma *emp-notIn-singlPart*[simp]:
 $\{\} \notin singlPart I$
 $\langle proof \rangle$

lemma *Sbis-coinduct*[consumes 1, case-names step, coinduct set]:
 $R c d \implies$
 $(\bigwedge c d s t. R c d \implies s \approx t \implies$
 $\exists P F. mC-C-part c d P F \wedge inj-on F P \wedge mC-C-wt c d s t P F \wedge$
 $(\forall I \in P. \forall i \in I. \forall j \in F I.$
 $eff c s i \approx eff d t j \wedge (R (cont c s i) (cont d t j) \vee (cont c s i, cont d$
 $t j) \in Sbis)) \implies (c, d) \in Sbis$
 $\langle proof \rangle$

lemma *siso-Sbis*[simp]: *siso c* $\implies c \approx s c$
 $\langle proof \rangle$

4.3 Discreteness versus language constructs:

lemma *discr-Done*[simp]: *discr Done*
 $\langle proof \rangle$

lemma *discr-Atm-presAtm*[simp]: *discr (Atm atm)* = *presAtm atm*
 $\langle proof \rangle$

lemma *discr-Seq*[simp]:
discr c1 $\implies discr c2 \implies discr (c1 ;; c2)$
 $\langle proof \rangle$

lemma *discr-While*[simp]: **assumes** *discr c* **shows** *discr (While tst c)*
 $\langle proof \rangle$

lemma *discr-Ch*[simp]: *discr c1* $\implies discr c2 \implies discr (Ch ch c1 c2)$
 $\langle proof \rangle$

lemma *discr-Par[simp]*: *properL cl* \implies *discrL cl* \implies *discr (Par cl)*
 $\langle proof \rangle$

lemma *discr-Part[simp]*: *properL cl* \implies *discrL cl* \implies *discr (Part cl)*
 $\langle proof \rangle$

lemma *discr-finished[simp]*: *proper c* \implies *finished c* \implies *discr c*
 $\langle proof \rangle$

4.4 Strong bisimilarity versus language constructs

lemma *Sbis-pres-discr-L*:
c ≈s d \implies *discr d* \implies *discr c*
 $\langle proof \rangle$

lemma *Sbis-pres-discr-R*:
assumes *discr c* **and** *c ≈s d*
shows *discr d*
 $\langle proof \rangle$

lemma *Sbis-finished-discr-L*:
assumes *c ≈s d* **and** *proper d* **and** *finished d*
shows *discr c*
 $\langle proof \rangle$

lemma *Sbis-finished-discr-R*:
assumes *proper c* **and** *finished c* **and** *c ≈s d*
shows *discr d*
 $\langle proof \rangle$

definition *thetaSD where*
 $\text{thetaSD} \equiv \{(c, d) \mid c \text{ d . proper } c \wedge \text{proper } d \wedge \text{discr } c \wedge \text{discr } d\}$

lemma *thetaSD-Sretr*:
 $\text{thetaSD} \subseteq \text{Sretr thetaSD}$
 $\langle proof \rangle$

lemma *thetaSD-Sbis*:
 $\text{thetaSD} \subseteq \text{Sbis}$
 $\langle proof \rangle$

theorem *discr-Sbis[simp]*:
assumes *proper c* **and** *proper d* **and** *discr c* **and** *discr d*
shows *c ≈s d*
 $\langle proof \rangle$

```

definition thetaSDone where
thetaSDone ≡ {(Done, Done)}

lemma thetaSDone-Sretr:
thetaSDone ⊆ Sretr thetaSDone
⟨proof⟩

lemma thetaSDone-Sbis:
thetaSDone ⊆ Sbis
⟨proof⟩

theorem Done-Sbis[simp]:
Done ≈s Done
⟨proof⟩

definition thetaSAtm where
thetaSAtm atm ≡
{(Atm atm, Atm atm), (Done, Done) }

lemma thetaSAtm-Sretr:
assumes compatAtm atm
shows thetaSAtm atm ⊆ Sretr (thetaSAtm atm)
⟨proof⟩

lemma thetaSAtm-Sbis:
assumes compatAtm atm
shows thetaSAtm atm ⊆ Sbis
⟨proof⟩

theorem Atm-Sbis[simp]:
assumes compatAtm atm
shows Atm atm ≈s Atm atm
⟨proof⟩

definition thetaSSeqI where
thetaSSeqI ≡
{(e ;; c, e ;; d) | e c d . siso e ∧ c ≈s d}

lemma thetaSSeqI-Sretr:
thetaSSeqI ⊆ Sretr (thetaSSeqI Un Sbis)
⟨proof⟩

lemma thetaSSeqI-Sbis:
thetaSSeqI ⊆ Sbis
⟨proof⟩

```

```

theorem Seq-siso-Sbis[simp]:
assumes siso e and c2 ≈s d2
shows e ;; c2 ≈s e ;; d2
⟨proof⟩

definition thetaSSeqD where
thetaSSeqD ≡
{((c1 ;; c2, d1 ;; d2) |
c1 c2 d1 d2.
proper c1 ∧ proper d1 ∧ proper c2 ∧ proper d2 ∧
discr c2 ∧ discr d2 ∧
c1 ≈s d1}

lemma thetaSSeqD-Sretr:
thetaSSeqD ⊆ Sretr (thetaSSeqD Un Sbis)
⟨proof⟩

lemma thetaSSeqD-Sbis:
thetaSSeqD ⊆ Sbis
⟨proof⟩

theorem Seq-Sbis[simp]:
assumes proper c1 and proper d1 and proper c2 and proper d2
and c1 ≈s d1 and discr c2 and discr d2
shows c1 ;; c2 ≈s d1 ;; d2
⟨proof⟩

definition thetaSCh where
thetaSCh ch c1 c2 d1 d2 ≡ {(Ch ch c1 c2, Ch ch d1 d2)}

lemma thetaSCh-Sretr:
assumes compatCh ch and c1 ≈s d1 and c2 ≈s d2
shows thetaSCh ch c1 c2 d1 d2 ⊆
Sretr (thetaSCh ch c1 c2 d1 d2 ∪ Sbis)
(is ?th ⊆ Sretr (?th ∪ Sbis))
⟨proof⟩

lemma thetaSCh-Sbis:
assumes compatCh ch and c1 ≈s d1 and c2 ≈s d2
shows thetaSCh ch c1 c2 d1 d2 ⊆ Sbis
⟨proof⟩

theorem Ch-siso-Sbis[simp]:
assumes compatCh ch and c1 ≈s d1 and c2 ≈s d2

```

shows $Ch\ ch\ c1\ c2 \approx_s Ch\ ch\ d1\ d2$
 $\langle proof \rangle$

definition $shift$ **where**
 $shift\ cl\ n \equiv image\ (\%i.\ brnL\ cl\ n + i)$

definition $back$ **where**
 $back\ cl\ n \equiv image\ (\%ii.\ ii - brnL\ cl\ n)$

lemma $emp\text{-}shift[simp]$:
 $shift\ cl\ n\ I = \{\} \longleftrightarrow I = \{\}$
 $\langle proof \rangle$

lemma $emp\text{-}shift\text{-}rev[simp]$:
 $\{\} = shift\ cl\ n\ I \longleftrightarrow I = \{\}$
 $\langle proof \rangle$

lemma $emp\text{-}back[simp]$:
 $back\ cl\ n\ II = \{\} \longleftrightarrow II = \{\}$
 $\langle proof \rangle$

lemma $emp\text{-}back\text{-}rev[simp]$:
 $\{\} = back\ cl\ n\ II \longleftrightarrow II = \{\}$
 $\langle proof \rangle$

lemma $in\text{-}shift[simp]$:
 $brnL\ cl\ n + i \in shift\ cl\ n\ I \longleftrightarrow i \in I$
 $\langle proof \rangle$

lemma $in\text{-}back[simp]$:
 $ii \in II \implies ii - brnL\ cl\ n \in back\ cl\ n\ II$
 $\langle proof \rangle$

lemma $in\text{-}back2[simp]$:
assumes $ii > brnL\ cl\ n$ **and** $II \subseteq \{brnL\ cl\ n .. <+ brn\ (cl!n)\}$
shows $ii - brnL\ cl\ n \in back\ cl\ n\ II \longleftrightarrow ii \in II$ (**is** $?L \longleftrightarrow ?R$)
 $\langle proof \rangle$

lemma $shift[simp]$:
assumes $I \subseteq \{.. < brn\ (cl!n)\}$
shows $shift\ cl\ n\ I \subseteq \{brnL\ cl\ n .. <+ brn\ (cl!n)\}$
 $\langle proof \rangle$

lemma $shift2[simp]$:
assumes $I \subseteq \{.. < brn\ (cl!n)\}$
and $ii \in shift\ cl\ n\ I$
shows $brnL\ cl\ n \leq ii \wedge ii < brnL\ cl\ n + brn\ (cl!n)$

$\langle proof \rangle$

lemma *shift3*[simp]:
assumes $n < length cl$ and $I: I \subseteq \{.. < brn (cl!n)\}$
and $ii: ii \in shift cl n I$
shows $ii < brnL cl (length cl)$
 $\langle proof \rangle$

lemma *back*[simp]:
assumes $II \subseteq \{brnL cl n .. <+ brn (cl!n)\}$
shows $back cl n II \subseteq \{.. < brn (cl!n)\}$
 $\langle proof \rangle$

lemma *back2*[simp]:
assumes $II \subseteq \{brnL cl n .. <+ brn (cl!n)\}$
and $i \in back cl n II$
shows $i < brn (cl!n)$
 $\langle proof \rangle$

lemma *shift-inj*[simp]:
 $shift cl n I1 = shift cl n I2 \longleftrightarrow I1 = I2$
 $\langle proof \rangle$

lemma *shift-mono*[simp]:
 $shift cl n I1 \subseteq shift cl n I2 \longleftrightarrow I1 \subseteq I2$
 $\langle proof \rangle$

lemma *shift-Int*[simp]:
 $shift cl n I1 \cap shift cl n I2 = \{\} \longleftrightarrow I1 \cap I2 = \{\}$
 $\langle proof \rangle$

lemma *inj-shift*: inj ($shift cl n$)
 $\langle proof \rangle$

lemma *inj-on-shift*: inj-on ($shift cl n$) K
 $\langle proof \rangle$

lemma *back-shift*[simp]:
 $back cl n (shift cl n I) = I$
 $\langle proof \rangle$

lemma *shift-back*[simp]:
assumes $II \subseteq \{brnL cl n .. <+ brn (cl!n)\}$
shows $shift cl n (back cl n II) = II$
 $\langle proof \rangle$

lemma *back-inj*[simp]:
assumes $II1: II1 \subseteq \{brnL cl n .. <+ brn (cl!n)\}$
and $II2: II2 \subseteq \{brnL cl n .. <+ brn (cl!n)\}$

shows $\text{back cl } n \text{ II1} = \text{back cl } n \text{ II2} \longleftrightarrow \text{II1} = \text{II2}$ (**is** $?L = ?R \longleftrightarrow \text{II1} = \text{II2}$)
 $\langle \text{proof} \rangle$

lemma $\text{back-mono[simp]}:$

assumes $\text{II1} \subseteq \{\text{brnL cl } n ..<+ \text{ brn (cl!n)}\}$
and $\text{II2} \subseteq \{\text{brnL cl } n ..< \text{ brnL cl } n + \text{ brn (cl!n)}\}$
shows $\text{back cl } n \text{ II1} \subseteq \text{back cl } n \text{ II2} \longleftrightarrow \text{II1} \subseteq \text{II2}$
(is $?L \subseteq ?R \longleftrightarrow \text{II1} \subseteq \text{II2}$)
 $\langle \text{proof} \rangle$

lemma $\text{back-Int[simp]}:$

assumes $\text{II1} \subseteq \{\text{brnL cl } n ..<+ \text{ brn (cl!n)}\}$
and $\text{II2} \subseteq \{\text{brnL cl } n ..< \text{ brnL cl } n + \text{ brn (cl!n)}\}$
shows $\text{back cl } n \text{ II1} \cap \text{back cl } n \text{ II2} = \{\} \longleftrightarrow \text{II1} \cap \text{II2} = \{\}$
(is $?L \cap ?R = \{\} \longleftrightarrow \text{II1} \cap \text{II2} = \{\}$)
 $\langle \text{proof} \rangle$

lemma $\text{inj-on-back}:$

$\text{inj-on} (\text{back cl } n) (\text{Pow } \{\text{brnL cl } n ..<+ \text{ brn (cl!n)}\})$
 $\langle \text{proof} \rangle$

lemma $\text{shift-surj}:$

assumes $\text{II} \subseteq \{\text{brnL cl } n ..<+ \text{ brn (cl!n)}\}$
shows $\exists I. I \subseteq \{..< \text{ brn (cl!n)}\} \wedge \text{shift cl } n I = \text{II}$
 $\langle \text{proof} \rangle$

lemma $\text{back-surj}:$

assumes $I \subseteq \{..< \text{ brn (cl!n)}\}$
shows $\exists \text{II}. \text{II} \subseteq \{\text{brnL cl } n ..<+ \text{ brn (cl!n)}\} \wedge \text{back cl } n \text{ II} = I$
 $\langle \text{proof} \rangle$

lemma $\text{shift-part[simp]}:$

assumes $\text{part } \{..< \text{ brn (cl!n)}\} P$
shows $\text{part } \{\text{brnL cl } n ..<+ \text{ brn (cl!n)}\} (\text{shift cl } n ` P)$
 $\langle \text{proof} \rangle$

lemma $\text{part-brn-disj1}:$

assumes $P: \bigwedge n. n < \text{length cl} \implies \text{part } \{..< \text{ brn (cl!n)}\} (P n)$
and $n1: n1 < \text{length cl}$ **and** $n2: n2 < \text{length cl}$
and $\text{II1}: \text{II1} \in \text{shift cl } n1 ` (P n1)$ **and** $\text{II2}: \text{II2} \in \text{shift cl } n2 ` (P n2)$ **and** $d: n1 \neq n2$
shows $\text{II1} \cap \text{II2} = \{\}$
 $\langle \text{proof} \rangle$

lemma $\text{part-brn-disj2}:$

assumes $P: \bigwedge n. n < \text{length cl} \implies \text{part } \{..< \text{ brn (cl!n)}\} (P n) \wedge \{\} \notin P n$
and $n1: n1 < \text{length cl}$ **and** $n2: n2 < \text{length cl}$ **and** $d: n1 \neq n2$
shows $\text{shift cl } n1 ` (P n1) \cap \text{shift cl } n2 ` (P n2) = \{\}$ (**is** $?L \cap ?R = \{\}$)
 $\langle \text{proof} \rangle$

lemma *part-brn-disj3*:

assumes $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n)$

and $n1: n1 < \text{length } cl$ **and** $n2: n2 < \text{length } cl$

and $I1: I1 \in P n1$ **and** $I2: I2 \in P n2$ **and** $d: n1 \neq n2$

shows $\text{shift } cl n1 I1 \cap \text{shift } cl n2 I2 = \{\}$

$\langle \text{proof} \rangle$

lemma *sum-wt-Par-sub-shift[simp]*:

assumes $cl: \text{properL } cl$ **and** $n: n < \text{length } cl$ **and**

$I: I \subseteq \{.. < \text{brn} (cl ! n)\}$

shows

$\text{sum} (\text{wt} (\text{Par } cl) s) (\text{shift } cl n I) =$

$1 / (\text{length } cl) * \text{sum} (\text{wt} (cl ! n) s) I$

$\langle \text{proof} \rangle$

lemma *sum-wt-ParT-sub-WtFT-pickFT-0-shift[simp]*:

assumes $cl: \text{properL } cl$ **and** $nf: \text{WtFT } cl = 1$

and $I: I \subseteq \{.. < \text{brn} (cl ! (\text{pickFT } cl))\}$ $0 \in I$

shows

$\text{sum} (\text{wt} (\text{ParT } cl) s) (\text{shift } cl (\text{pickFT } cl) I) = 1$

$\langle \text{proof} \rangle$

lemma *sum-wt-ParT-sub-WtFT-notPickFT-0-shift[simp]*:

assumes $cl: \text{properL } cl$ **and** $nf: \text{WtFT } cl = 1$ **and** $n: n < \text{length } cl$

and $I: I \subseteq \{.. < \text{brn} (cl ! n)\}$ **and** $nI: n = \text{pickFT } cl \longrightarrow 0 \notin I$

shows $\text{sum} (\text{wt} (\text{ParT } cl) s) (\text{shift } cl n I) = 0$

$\langle \text{proof} \rangle$

lemma *sum-wt-ParT-sub-notWtFT-finished-shift[simp]*:

assumes $cl: \text{properL } cl$ **and** $nf: \text{WtFT } cl \neq 1$ **and** $n: n < \text{length } cl$ **and** $cln: \text{finished} (cl!n)$

and $I: I \subseteq \{.. < \text{brn} (cl ! n)\}$

shows $\text{sum} (\text{wt} (\text{ParT } cl) s) (\text{shift } cl n I) = 0$

$\langle \text{proof} \rangle$

lemma *sum-wt-ParT-sub-notWtFT-notFinished-shift[simp]*:

assumes $cl: \text{properL } cl$ **and** $nf: \text{WtFT } cl \neq 1$

and $n: n < \text{length } cl$ **and** $cln: \neg \text{finished} (cl!n)$

and $I: I \subseteq \{.. < \text{brn} (cl ! n)\}$

shows

$\text{sum} (\text{wt} (\text{ParT } cl) s) (\text{shift } cl n I) =$

$(1 / (\text{length } cl)) / (1 - \text{WtFT } cl) * \text{sum} (\text{wt} (cl ! n) s) I$

$\langle \text{proof} \rangle$

definition *UNpart* **where**
 $\text{UNpart } cl P \equiv \bigcup n < \text{length } cl. \text{shift } cl n ` (P n)$

lemma *UNpart-cases*[*elim, consumes 1, case-names Local*]:
assumes $\Pi \in \text{UNpart cl } P$ **and**
 $\wedge n I. [n < \text{length cl}; I \in P n; \Pi = \text{shift cl } n I] \implies \phi$
shows ϕ
 $\langle \text{proof} \rangle$

lemma *emp-UNpart*:
assumes $\wedge n. n < \text{length cl} \implies \{\} \notin P n$
shows $\{\} \notin \text{UNpart cl } P$
 $\langle \text{proof} \rangle$

lemma *part-UNpart*:
assumes $cl: \text{properL cl}$ **and**
 $P: \wedge n. n < \text{length cl} \implies \text{part}\{.. < \text{brn}(cl!n)\} (P n)$
shows $\text{part}\{.. < \text{brnL cl} (\text{length cl})\} (\text{UNpart cl } P)$
(is part ?J ?Q)
 $\langle \text{proof} \rangle$

definition *pickT-pred* **where**
 $\text{pickT-pred cl } P \Pi n \equiv n < \text{length cl} \wedge \Pi \in \text{shift cl } n ` (P n)$

definition *pickT* **where**
 $\text{pickT cl } P \Pi \equiv \text{SOME } n. \text{pickT-pred cl } P \Pi n$

lemma *pickT-pred*:
assumes $\Pi \in \text{UNpart cl } P$
shows $\exists n. \text{pickT-pred cl } P \Pi n$
 $\langle \text{proof} \rangle$

lemma *pickT-pred-unique*:
assumes $P: \wedge n. n < \text{length cl} \implies \text{part}\{.. < \text{brn}(cl!n)\} (P n) \wedge \{\} \notin P n$
and 1: *pickT-pred cl P II n1* **and** 2: *pickT-pred cl P II n2*
shows $n1 = n2$
 $\langle \text{proof} \rangle$

lemma *pickT-pred-pickT*:
assumes $\Pi \in \text{UNpart cl } P$
shows $\text{pickT-pred cl } P \Pi (\text{pickT cl } P \Pi)$
 $\langle \text{proof} \rangle$

lemma *pickT-pred-pickT-unique*:
assumes $P: \wedge n. n < \text{length cl} \implies \text{part}\{.. < \text{brn}(cl!n)\} (P n) \wedge \{\} \notin P n$
and *pickT-pred cl P II n*
shows $n = \text{pickT cl } P \Pi$
 $\langle \text{proof} \rangle$

lemma *pickT-length*[simp]:
assumes $\Pi \in UNpart cl P$
shows $pickT cl P \Pi < length cl$
(proof)

lemma *pickT-shift*[simp]:
assumes $\Pi \in UNpart cl P$
shows $\Pi \in shift cl (pickT cl P \Pi) \cdot (P (pickT cl P \Pi))$
(proof)

lemma *pickT-unique*:
assumes $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
and $n < length cl$ **and** $\Pi \in shift cl n \cdot (P n)$
shows $n = pickT cl P \Pi$
(proof)

definition *UNlift* where
 $UNlift cl dl P F \Pi \equiv$
 $shift dl (pickT cl P \Pi) (F (pickT cl P \Pi) (back cl (pickT cl P \Pi) \Pi))$

lemma *UNlift-shift*[simp]:
assumes $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
and $n: n < length cl$ **and** $I: I \in P n$
shows $UNlift cl dl P F (shift cl n I) = shift dl n (F n I)$
(proof)

lemma *UNlift-inj-on*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
and $FP: \bigwedge n. n < length dl \implies part \{.. < brn (dl!n)\} (F n \cdot (P n)) \wedge \{\} \notin F n \cdot (P n)$
and $F: \bigwedge n. n < length cl \implies inj-on (F n) (P n)$
shows $inj-on (UNlift cl dl P F) (UNpart cl P)$ (**is** $?G ?Q$)
(proof)

lemma *UNlift-UNpart*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
shows $(UNlift cl dl P F) \cdot (UNpart cl P) = UNpart dl (\%n. F n \cdot (P n))$ (**is** $?G \cdot ?Q = ?R$)
(proof)

lemma *emp-UNlift-UNpart*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
and $FP: \bigwedge n. n < length dl \implies \{\} \notin F n \cdot (P n)$
shows $\{\} \notin (UNlift cl dl P F) \cdot (UNpart cl P)$ (**is** $\{\} \notin ?R$)
(proof)

lemma *part-UNlift-UNpart*:
assumes $l: \text{length } cl = \text{length } dl$ **and** $dl: \text{properL } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n) \wedge \{\} \notin P n$
and $\text{FP}: \bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n \cdot (P n))$
shows $\text{part} \{.. < \text{brnL } dl (\text{length } dl)\} ((\text{UNlift } cl dl P F) \cdot (\text{UNpart } cl P))$ (**is part** $?C ?R$)
(proof)

lemma *ss-wt-Par-UNlift*:
assumes $l: \text{length } cl = \text{length } dl$
and $cldl: \text{properL } cl \text{ properL } dl$ **and** $II: II \in \text{UNpart } cl P$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n) \wedge \{\} \notin P n$
and $\text{FP}: \bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n \cdot (P n))$
and $sw:$
 $\bigwedge n I. \llbracket n < \text{length } cl; I \in P n \rrbracket \implies$
 $\quad \text{sum} (\text{wt} (cl ! n) s) I =$
 $\quad \text{sum} (\text{wt} (dl ! n) t) (F n I)$
and $st: s \approx t$
shows
 $\text{sum} (\text{wt} (\text{Par } cl) s) II =$
 $\quad \text{sum} (\text{wt} (\text{Par } dl) t) (\text{UNlift } cl dl P F II)$ (**is** $?L = ?R$)
(proof)

definition *thetaSPar* **where**
 $\text{thetaSPar} \equiv$
 $\{(\text{Par } cl, \text{Par } dl) \mid$
 $\quad cl \text{ dl. properL } cl \wedge \text{properL } dl \wedge \text{SbisL } cl dl\}$

lemma *cont-eff-Par-UNlift*:
assumes $l: \text{length } cl = \text{length } dl$
and $cldl: \text{properL } cl \text{ properL } dl \text{ SbisL } cl dl$
and $II: II \in \text{UNpart } cl P$ **and** $ii: ii \in II$ **and** $jj: jj \in \text{UNlift } cl dl P F II$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n) \wedge \{\} \notin P n$
and $\text{FP}: \bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n \cdot (P n))$
and $\text{eff-cont}:$
 $\bigwedge n I i j. \llbracket n < \text{length } cl; I \in P n; i \in I; j \in F n I \rrbracket \implies$
 $\quad \text{eff} (cl!n) s i \approx \text{eff} (dl!n) t j \wedge$
 $\quad \text{cont} (cl!n) s i \approx s \text{ cont} (dl!n) t j$
and $st: s \approx t$
shows
 $\text{eff} (\text{Par } cl) s ii \approx \text{eff} (\text{Par } dl) t jj \wedge$
 $\quad (\text{cont} (\text{Par } cl) s ii, \text{cont} (\text{Par } dl) t jj) \in \text{thetaSPar}$
(is $?eff \wedge ?cont$ **)**
(proof)

lemma *thetaSPar-Sretr*: $\text{thetaSPar} \subseteq \text{Sretr} (\text{thetaSPar})$
(proof)

lemma *thetaSPar-Sbis*: $\text{thetaSPar} \subseteq \text{Sbis}$
(proof)

theorem *Par-Sbis[simp]*:
assumes *properL cl and properL dl SbisL cl dl*
shows *Par cl ≈s Par dl*
(proof)

4.5 01-bisimilarity versus language constructs

lemma *ZObis-pres-discr-L*: $c \approx 01 d \implies \text{discr } d \implies \text{discr } c$
(proof)

theorem *ZObis-pres-discr-R*:
assumes *discr c and c ≈ 01 d*
shows *discr d*
(proof)

theorem *ZObis-finished-discr-L*:
assumes *c ≈ 01 d and proper d and finished d*
shows *discr c*
(proof)

theorem *ZObis-finished-discr-R*:
assumes *proper c and finished c and c ≈ 01 d*
shows *discr d*
(proof)

theorem *discr-ZObis[simp]*:
assumes *proper c and proper d and discr c and discr d*
shows *c ≈ 01 d*
(proof)

theorem *Done-ZObis[simp]*:
Done ≈ 01 Done
(proof)

theorem *Atm-ZObis[simp]*:
assumes *compatAtm atm*
shows *Atm atm ≈ 01 Atm atm*
(proof)

definition *thetaZOSeqI* where
thetaZOSeqI ≡

$\{(e;;c,e;;d) \mid e c d . \text{siso } e \wedge c \approx 01 d\}$

lemma *thetaZOSeqI-ZOretr*:
thetaZOSeqI \subseteq *ZOretr* (*thetaZOSeqI Un ZObis*)
(proof)

lemma *thetaZOSeqI-ZObis*:
thetaZOSeqI \subseteq *ZObis*
(proof)

theorem *Sseq-siso-ZObis*[simp]:
assumes *siso e and c2 ≈ 01 d2*
shows *e;;c2 ≈ 01 e;;d2*
(proof)

definition *thetaZOSeqD* **where**
thetaZOSeqD \equiv
 $\{(c1;;c2,d1;;d2) \mid$
 $c1 c2 d1 d2 .$
 $\text{proper } c1 \wedge \text{proper } d1 \wedge \text{proper } c2 \wedge \text{proper } d2 \wedge$
 $\text{discr } c2 \wedge \text{discr } d2 \wedge$
 $c1 \approx 01 d1\}$

lemma *thetaZOSeqD-ZOretr*:
thetaZOSeqD \subseteq *ZOretr* (*thetaZOSeqD Un ZObis*)
(proof)

lemma *thetaZOSeqD-ZObis*:
thetaZOSeqD \subseteq *ZObis*
(proof)

theorem *Sseq-ZObis*[simp]:
assumes *proper c1 and proper d1 and proper c2 and proper d2*
and *c1 ≈ 01 d1 and discr c2 and discr d2*
shows *c1;;c2 ≈ 01 d1;;d2*
(proof)

definition *thetaZOCh* **where**
thetaZOCh ch c1 c2 d1 d2 \equiv $\{(Ch ch c1 c2, Ch ch d1 d2)\}$

lemma *thetaZOCh-Sretr*:
assumes *compatCh ch and c1 ≈ 01 d1 and c2 ≈ 01 d2*
shows *thetaZOCh ch c1 c2 d1 d2* \subseteq
 $Sretr(\text{thetaZOCh ch c1 c2 d1 d2} \cup \text{ZObis})$
(is ?th ⊆ Sretr(?th ∪ ZObis))
(proof)

```

lemma thetaZOCh-ZOretr:
assumes compatCh ch and c1 ≈01 d1 and c2 ≈01 d2
shows thetaZOCh ch c1 c2 d1 d2 ⊆
    ZOretr (thetaZOCh ch c1 c2 d1 d2 ∪ ZObis)
⟨proof⟩

lemma thetaZOCh-ZObis:
assumes compatCh ch and c1 ≈01 d1 and c2 ≈01 d2
shows thetaZOCh ch c1 c2 d1 d2 ⊆ ZObis
⟨proof⟩

theorem Ch-siso-ZObis[simp]:
assumes compatCh ch and c1 ≈01 d1 and c2 ≈01 d2
shows Ch ch c1 c2 ≈01 Ch ch d1 d2
⟨proof⟩

definition theFTOne where
theFTOne cl dl ≡ theFT cl ∪ theFT dl

definition theNFTBoth where
theNFTBoth cl dl ≡ theNFT cl ∩ theNFT dl

lemma theFTOne-sym: theFTOne cl dl = theFTOne dl cl
⟨proof⟩

lemma finite-theFTOne[simp]:
finite (theFTOne cl dl)
⟨proof⟩

lemma theFTOne-length-finished[simp]:
assumes n ∈ theFTOne cl dl
shows (n < length cl ∧ finished (cl!n)) ∨ (n < length dl ∧ finished (dl!n))
⟨proof⟩

lemma theFTOne-length[simp]:
assumes length cl = length dl and n ∈ theFTOne cl dl
shows n < length cl and n < length dl
⟨proof⟩

lemma theFTOne-intro[intro]:
assumes ⋀ n. (n < length cl ∧ finished (cl!n)) ∨ (n < length dl ∧ finished (dl!n))
shows n ∈ theFTOne cl dl
⟨proof⟩

lemma pickFT-theFTOne[simp]:
assumes WtFT cl = 1
shows pickFT cl ∈ theFTOne cl dl

```

$\langle proof \rangle$

lemma *finite-theNFTBoth*[simp]:
finite (theNFTBoth cl dl)
 $\langle proof \rangle$

lemma *theNFTBoth-sym*: *theNFTBoth cl dl = theNFTBoth dl cl*
 $\langle proof \rangle$

lemma *theNFTBoth-length-finished*[simp]:
assumes $n \in \text{theNFTBoth cl dl}$
shows $n < \text{length cl} \text{ and } \neg \text{finished}(\text{cl}!n)$
and $n < \text{length dl} \text{ and } \neg \text{finished}(\text{dl}!n)$
 $\langle proof \rangle$

lemma *theNFTBoth-intro*[intro]:
assumes $\wedge n. n < \text{length cl} \wedge \neg \text{finished}(\text{cl}!n) \wedge n < \text{length dl} \wedge \neg \text{finished}(\text{dl}!n)$
shows $n \in \text{theNFTBoth cl dl}$
 $\langle proof \rangle$

lemma *theFTOne-Int-theNFTBoth*[simp]:
theFTOne cl dl \cap theNFTBoth cl dl = {}
and *theNFTBoth cl dl \cap theFTOne cl dl = {}*
 $\langle proof \rangle$

lemma *theFT-Un-theNFT-One-Both*[simp]:
assumes *length cl = length dl*
shows
theFTOne cl dl \cup theNFTBoth cl dl = {..< length cl} and
theNFTBoth cl dl \cup theFTOne cl dl = {..< length cl}
 $\langle proof \rangle$

lemma *in-theFTOne-theNFTBoth*[simp]:
assumes $n1 \in \text{theFTOne cl dl} \text{ and } n2 \in \text{theNFTBoth cl dl}$
shows $n1 \neq n2 \text{ and } n2 \neq n1$
 $\langle proof \rangle$

definition *BrnFT* **where**
BrnFT cl dl \equiv $\bigcup n \in \text{theFTOne cl dl}. \{brnL cl n ..<+ brn(\text{cl}!n)\}$

definition *BrnNFT* **where**
BrnNFT cl dl \equiv $\bigcup n \in \text{theNFTBoth cl dl}. \{brnL cl n ..<+ brn(\text{cl}!n)\}$

lemma *BrnFT-elim*[elim, consumes 1, case-names Local]:
assumes $ii \in \text{BrnFT cl dl}$

and $\bigwedge n i. \llbracket n \in \text{theFTOne cl dl}; i < \text{brn}(\text{cl}!n); ii = \text{brnL cl } n + i \rrbracket \implies \phi$
shows ϕ
 $\langle \text{proof} \rangle$

lemma $\text{finite-BrnFT}[\text{simp}]$:
finite (BrnFT cl dl)
 $\langle \text{proof} \rangle$

lemma $\text{BrnFT-incl-brnL}[\text{simp}]$:
assumes $l: \text{length cl} = \text{length dl}$ **and** $cl: \text{properL cl}$
shows $\text{BrnFT cl dl} \subseteq \{\dots < \text{brnL cl}(\text{length cl})\}$ (**is** $?L \subseteq ?R$)
 $\langle \text{proof} \rangle$

lemma $\text{BrnNFT-elim}[\text{elim, consumes 1, case-names Local}]$:
assumes $ii \in \text{BrnNFT cl dl}$
and $\bigwedge n i. \llbracket n \in \text{theNFTBoth cl dl}; i < \text{brn}(\text{cl}!n); ii = \text{brnL cl } n + i \rrbracket \implies \phi$
shows ϕ
 $\langle \text{proof} \rangle$

lemma $\text{finite-BrnNFT}[\text{simp}]$:
finite (BrnNFT cl dl)
 $\langle \text{proof} \rangle$

lemma $\text{BrnNFT-incl-brnL}[\text{simp}]$:
assumes $cl: \text{properL cl}$
shows $\text{BrnNFT cl dl} \subseteq \{\dots < \text{brnL cl}(\text{length cl})\}$ (**is** $?L \subseteq ?R$)
 $\langle \text{proof} \rangle$

lemma $\text{BrnFT-Int-BrnNFT}[\text{simp}]$:
assumes $l: \text{length cl} = \text{length dl}$
shows
 $\text{BrnFT cl dl} \cap \text{BrnNFT cl dl} = \{\}$ (**is** $?L$)
and $\text{BrnNFT cl dl} \cap \text{BrnFT cl dl} = \{\}$ (**is** $?R$)
 $\langle \text{proof} \rangle$

lemma $\text{BrnFT-Un-BrnNFT}[\text{simp}]$:
assumes $l: \text{length cl} = \text{length dl}$ **and** $cl: \text{properL cl}$
shows $\text{BrnFT cl dl} \cup \text{BrnNFT cl dl} = \{\dots < \text{brnL cl}(\text{length cl})\}$ (**is** $?L1 = ?R$)
and $\text{BrnNFT cl dl} \cup \text{BrnFT cl dl} = \{\dots < \text{brnL cl}(\text{length cl})\}$ (**is** $?L2 = ?R$)
 $\langle \text{proof} \rangle$

lemma BrnFT-part :
assumes $l: \text{length cl} = \text{length dl}$
and $P: \bigwedge n. n < \text{length cl} \implies \text{part}\{\dots < \text{brn}(\text{cl}!n)\} (P n)$
shows $\text{BrnFT cl dl} = (\bigcup n \in \text{theFTOne cl dl}. \text{Union}(\text{shift cl } n \cdot (P n)))$ (**is** $?L = ?R$)
 $\langle \text{proof} \rangle$

lemma $\text{brnL-pickFT-BrnFT}[\text{simp}]$:

```

assumes properL cl and WtFT cl = 1
shows brnL cl (pickFT cl) ∈ BrnFT cl dl
⟨proof⟩

lemma WtFT-ParT-BrnFT[simp]:
assumes length cl = length dl properL cl and WtFT cl = 1
shows sum (wt (ParT cl) s) (BrnFT cl dl) = 1
⟨proof⟩

definition UNpart1 where
UNpart1 cl dl P ≡ ⋃ n ∈ theNFTBoth cl dl. shift cl n ` (P n)

definition UNpart01 where
UNpart01 cl dl P ≡ {BrnFT cl dl} ∪ UNpart1 cl dl P

lemma BrnFT-UNpart01[simp]:
BrnFT cl dl ∈ UNpart01 cl dl P
⟨proof⟩

lemma UNpart1-cases[elim, consumes 1, case-names Local]:
assumes II ∈ UNpart1 cl dl P
∧ n I. [n ∈ theNFTBoth cl dl; I ∈ P n; II = shift cl n I] ⇒ phi
shows phi
⟨proof⟩

lemma UNpart01-cases[elim, consumes 1, case-names Local0 Local]:
assumes II ∈ UNpart01 cl dl P and II = BrnFT cl dl ⇒ phi
∧ n I. [n ∈ theNFTBoth cl dl; I ∈ P n; II = shift cl n I; II ∈ UNpart1 cl dl P]
⇒ phi
shows phi
⟨proof⟩

lemma emp-UNpart1:
assumes ⋀ n. n < length cl ⇒ {} ∉ P n
shows {} ∉ UNpart1 cl dl P
⟨proof⟩

lemma emp-UNpart01:
assumes ⋀ n. n < length cl ⇒ {} ∉ P n
shows {} ∉ UNpart01 cl dl P - {BrnFT cl dl}
⟨proof⟩

lemma BrnFT-Int-UNpart1[simp]:
assumes l: length cl = length dl
and P: ⋀ n. n < length cl ⇒ part {.. < brn (cl!n)} (P n) ∧ {} ∉ P n
and II: II ∈ UNpart1 cl dl P
shows BrnFT cl dl ∩ II = {}

```

$\langle proof \rangle$

lemma *BrnFT-notIn-UNpart1*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
shows $BrnFT cl dl \notin UNpart1 cl dl P$
 $\langle proof \rangle$

lemma *UNpart1-UNpart01*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
shows $UNpart1 cl dl P = UNpart01 cl dl P - \{BrnFT cl dl\}$
 $\langle proof \rangle$

lemma *part-UNpart1[simp]*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n)$
shows $part (BrnNFT cl dl) (UNpart1 cl dl P)$
 $\langle proof \rangle$

lemma *part-UNpart01*:
assumes $cl: properL cl$ **and** $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
shows $part \{.. < brnL cl (length cl)\} (UNpart01 cl dl P)$
 $\langle proof \rangle$

definition *UNlift01* where
 $UNlift01 cl dl P F II \equiv$
if $II = BrnFT cl dl$
then $BrnFT dl cl$
else $shift dl (pickT cl P II) (F (pickT cl P II) (back cl (pickT cl P II) II))$

lemma *UNlift01-BrnFT[simp]*:
 $UNlift01 cl dl P F (BrnFT cl dl) = BrnFT dl cl$
 $\langle proof \rangle$

lemma *UNlift01-shift[simp]*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
and $n: n \in theNFTBoth cl dl$ **and** $I: I \in P n$
shows $UNlift01 cl dl P F (shift cl n I) = shift dl n (F n I)$
 $\langle proof \rangle$

lemma *UNlift01-inj-on-UNpart1*:
assumes $l: length cl = length dl$
and $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n) \wedge \{\} \notin P n$
and $FP: \bigwedge n. n < length dl \implies part \{.. < brn (dl!n)\} (F n ' (P n)) \wedge \{\} \notin F n '$

$(P n)$
and $F: \bigwedge n. n < \text{length } cl \implies \text{inj-on } (F n) (P n)$
shows $\text{inj-on } (\text{UNlift01 } cl dl P F) (\text{UNpart1 } cl dl P) \text{ (is inj-on ?G ?Q)}$
 $\langle \text{proof} \rangle$

lemma *inj-on-singl*:
assumes $\text{inj-on } f A$ **and** $a0 \notin A$ **and** $\bigwedge a. a \in A \implies f a \neq f a0$
shows $\text{inj-on } f (\{a0\} \text{ Un } A)$
 $\langle \text{proof} \rangle$

lemma *UNlift01-inj-on*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{\dots < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and $FP: \bigwedge n. n < \text{length } dl \implies \text{part } \{\dots < \text{brn } (dl!n)\} (F n ' (P n)) \wedge \{\} \notin F n ' (P n)$
and $F: \bigwedge n. n < \text{length } cl \implies \text{inj-on } (F n) (P n)$
shows $\text{inj-on } (\text{UNlift01 } cl dl P F) (\text{UNpart01 } cl dl P)$
 $\langle \text{proof} \rangle$

lemma *UNlift01-UNpart1*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{\dots < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
shows $(\text{UNlift01 } cl dl P F) ' (\text{UNpart1 } cl dl P) = \text{UNpart1 } dl cl (\%n. F n ' (P n))$ **(is** $?G ' ?Q = ?R$ **)**
 $\langle \text{proof} \rangle$

lemma *UNlift01-UNpart01*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{\dots < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
shows $(\text{UNlift01 } cl dl P F) ' (\text{UNpart01 } cl dl P) = \text{UNpart01 } dl cl (\%n. F n ' (P n))$
 $\langle \text{proof} \rangle$

lemma *emp-UNlift01-UNpart1*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{\dots < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and $FP: \bigwedge n. n < \text{length } dl \implies \{\} \notin F n ' (P n)$
shows $\{\} \notin (\text{UNlift01 } cl dl P F) ' (\text{UNpart1 } cl dl P)$ **(is** $\{\} \notin ?R$ **)**
 $\langle \text{proof} \rangle$

lemma *emp-UNlift01-UNpart01*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{\dots < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and $FP: \bigwedge n. n < \text{length } dl \implies \{\} \notin F n ' (P n)$
shows $\{\} \notin (\text{UNlift01 } cl dl P F) ' (\text{UNpart01 } cl dl P - \{\text{BrnFT } cl dl\})$
(is $\{\} \notin ?U ' ?V$ **)**
 $\langle \text{proof} \rangle$

lemma *part-UNlift01-UNpart1*:

assumes $l: \text{length } cl = \text{length } dl$ **and** $dl: \text{properL } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n) \wedge \{\} \notin P n$
and $\text{FP}: \bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n ` (P n))$
shows $\text{part} (\text{BrnNFT } cl cl) ((\text{UNlift01 } cl dl P F) ` (\text{UNpart1 } cl dl P))$ (**is part** $?C ?R$)
 $\langle \text{proof} \rangle$

lemma $\text{part-UNlift01-UNpart01}$:
assumes $l: \text{length } cl = \text{length } dl$ **and** $dl: \text{properL } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n) \wedge \{\} \notin P n$
and $\text{FP}: \bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n ` (P n)) \wedge \{\} \notin (F n ` (P n))$
shows $\text{part} \{.. < \text{brnL } dl (\text{length } dl)\} ((\text{UNlift01 } cl dl P F) ` (\text{UNpart01 } cl dl P))$
(is part $?K ?R$)
 $\langle \text{proof} \rangle$

lemma diff-frac-eq-1 :
assumes $b \neq (0::\text{real})$
shows $1 - a / b = (b - a) / b$
 $\langle \text{proof} \rangle$

lemma diff-frac-eq-2 :
assumes $b \neq (1::\text{real})$
shows $1 - (a - b) / (1 - b) = (1 - a) / (1 - b)$
(is $?L = ?R$)
 $\langle \text{proof} \rangle$

lemma triv-div-mult :
assumes $vSF: vSF \neq (1::\text{real})$
and $L: L = (K - vSF) / (1 - vSF)$ **and** $Ln: L \neq 1$
shows $(VS / (1 - vSF) * V) / (1 - L) = (VS * V) / (1 - K)$
(is $?A = ?B$)
 $\langle \text{proof} \rangle$

lemma $\text{ss-wt-PartT-UNlift01}$:
assumes $l: \text{length } cl = \text{length } dl$
and $cldl: \text{properL } cl \text{ properL } dl$ **and** $II: II \in \text{UNpart01 } cl dl P - \{\text{BrnFT } cl dl\}$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part} \{.. < \text{brn} (cl!n)\} (P n) \wedge \{\} \notin P n$
and $\text{FP}: \bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n ` (P n))$
and $sw:$
 $\bigwedge n I. [n < \text{length } cl; I \in P n] \implies$
 $\quad \text{sum} (\text{wt} (cl ! n) s) I =$
 $\quad \text{sum} (\text{wt} (dl ! n) t) (F n I)$
and $st: s \approx t$
and $le1: \text{sum} (\text{wt} (\text{ParT } cl) s) (\text{BrnFT } cl dl) < 1$
 $\text{sum} (\text{wt} (\text{ParT } dl) t) (\text{BrnFT } dl cl) < 1$
shows

```

sum (wt (ParT cl) s) II / 
(1 - sum (wt (ParT cl) s) (BrnFT cl dl)) = 
sum (wt (ParT dl) t) (UNlift01 cl dl P F II) / 
(1 - sum (wt (ParT dl) t) (BrnFT dl cl)) 
(is sum ?vP II / (1 - sum ?vP ?II-0) = 
sum ?wP ?JJ / (1 - sum ?wP ?JJ-0))
⟨proof⟩

```

```

definition thetaZOParT where
thetaZOParT ≡
{ (ParT cl, ParT dl) |
  cl dl.
  properL cl ∧ properL dl ∧ SbisL cl dl }

lemma cont-eff-PartT-BrnFT-L:
assumes l: length cl = length dl
and cldl: properL cl properL dl SbisL cl dl
and ii: ii ∈ BrnFT cl dl
and eff-cont:
  ∧ n I i j. [n < length cl; I ∈ P n; i ∈ I; j ∈ F n I] ⇒
    eff (cl!n) s i ≈ eff (dl!n) t j ∧
    cont (cl!n) s i ≈s cont (dl!n) t j
shows
  s ≈ eff (ParT cl) s ii ∧
  (cont (ParT cl) s ii, ParT dl) ∈ thetaZOParT
(is ?eff ∧ ?cont)
⟨proof⟩

lemma cont-eff-PartT-BrnFT-R:
assumes l: length cl = length dl
and cldl: properL cl properL dl SbisL cl dl
and jj: jj ∈ BrnFT dl cl
and eff-cont:
  ∧ n I i j. [n < length cl; I ∈ P n; i ∈ I; j ∈ F n I] ⇒
    eff (cl!n) s i ≈ eff (dl!n) t j ∧ cont (cl!n) s i ≈s cont (dl!n) t j
shows
  t ≈ eff (ParT dl) t jj ∧
  (ParT cl, cont (ParT dl) t jj) ∈ thetaZOParT
(is ?eff ∧ ?cont)
⟨proof⟩

lemma cont-eff-PartT-UNlift01:
assumes l: length cl = length dl
and cldl: properL cl properL dl SbisL cl dl
and II: II ∈ UNpart01 cl dl P - {BrnFT cl dl}
and ii: ii ∈ II and jj: jj ∈ UNlift01 cl dl P F II
and P: ∧ n. n < length cl ⇒ part {.. < brn (cl!n)} (P n) ∧ {} ∉ P n

```

and FP: $\bigwedge n. n < \text{length } dl \implies \text{part} \{.. < \text{brn} (dl!n)\} (F n ` (P n))$

and eff-cont:

$\bigwedge n I i j. [|n < \text{length } cl; I \in P n; i \in I; j \in F n I|] \implies$

$\text{eff} (cl!n) s i \approx$

$\text{eff} (dl!n) t j \wedge$

$\text{cont} (cl!n) s i \approx s$

$\text{cont} (dl!n) t j$

and st: $s \approx t$

shows

$\text{eff} (\text{ParT } cl) s ii \approx \text{eff} (\text{ParT } dl) t jj \wedge$

$(\text{cont} (\text{ParT } cl) s ii, \text{cont} (\text{ParT } dl) t jj) \in \text{thetaZOParT}$

(is ?eff \wedge ?cont)

$\langle \text{proof} \rangle$

lemma thetaZOParT-ZOretr: $\text{thetaZOParT} \subseteq \text{ZOretr} (\text{thetaZOParT})$

$\langle \text{proof} \rangle$

lemma thetaZOParT-ZObis: $\text{thetaZOParT} \subseteq \text{ZObis}$

$\langle \text{proof} \rangle$

theorem ParT-ZObis[simp]:

assumes $\text{properL } cl \text{ and } \text{properL } dl \text{ and } \text{SbisL } cl dl$

shows $\text{ParT } cl \approx_{01} \text{ParT } dl$

$\langle \text{proof} \rangle$

end

end

5 Syntactic Criteria

theory Syntactic-Criteria

imports Compositionality

begin

context PL-Indis

begin

lemma proper-intros[intro]:

$\text{proper } \text{Done}$

$\text{proper } (\text{Atm } atm)$

$\text{proper } c1 \implies \text{proper } c2 \implies \text{proper } (\text{Seq } c1 c2)$

$\text{proper } c1 \implies \text{proper } c2 \implies \text{proper } (\text{Ch } ch c1 c2)$

$\text{proper } c \implies \text{proper } (\text{While } tst c)$

$\text{properL } cs \implies \text{proper } (\text{Par } cs)$

$\text{properL } cs \implies \text{proper } (\text{ParT } cs)$

$(\bigwedge c. c \in set cs \Rightarrow proper c) \Rightarrow cs \neq [] \Rightarrow properL cs$
 $\langle proof \rangle$

lemma *discr*:

discr Done
presAtm atm \Rightarrow *discr (Atm atm)*
discr c1 \Rightarrow *discr c2* \Rightarrow *discr (Seq c1 c2)*
discr c1 \Rightarrow *discr c2* \Rightarrow *discr (Ch ch c1 c2)*
discr c \Rightarrow *discr (While tst c)*
properL cs \Rightarrow $(\bigwedge c. c \in set cs \Rightarrow discr c) \Rightarrow discr (Par cs)$
properL cs \Rightarrow $(\bigwedge c. c \in set cs \Rightarrow discr c) \Rightarrow discr (ParT cs)$
 $\langle proof \rangle$

lemma *siso*:

compatAtm atm \Rightarrow *siso (Atm atm)*
siso c1 \Rightarrow *siso c2* \Rightarrow *siso (Seq c1 c2)*
compatCh ch \Rightarrow *siso c1* \Rightarrow *siso c2* \Rightarrow *siso (Ch ch c1 c2)*
compatTst tst \Rightarrow *siso c* \Rightarrow *siso (While tst c)*
properL cs \Rightarrow $(\bigwedge c. c \in set cs \Rightarrow siso c) \Rightarrow siso (Par cs)$
properL cs \Rightarrow $(\bigwedge c. c \in set cs \Rightarrow siso c) \Rightarrow siso (ParT cs)$
 $\langle proof \rangle$

lemma *Sbis*:

compatAtm atm \Rightarrow *Atm atm* \approx_s *Atm atm*
siso c1 \Rightarrow *c2* \approx_s *c2* \Rightarrow *Seq c1 c2* \approx_s *Seq c1 c2*
proper c1 \Rightarrow *proper c2* \Rightarrow *c1* \approx_s *c1* \Rightarrow *discr c2* \Rightarrow *Seq c1 c2* \approx_s *Seq c1 c2*
compatCh ch \Rightarrow *c1* \approx_s *c1* \Rightarrow *c2* \approx_s *c2* \Rightarrow *Ch ch c1 c2* \approx_s *Ch ch c1 c2*
properL cs \Rightarrow $(\bigwedge c. c \in set cs \Rightarrow c \approx_s c) \Rightarrow Par cs$ \approx_s *Par cs*
 $\langle proof \rangle$

lemma *ZObis*:

compatAtm atm \Rightarrow *Atm atm* \approx_{01} *Atm atm*
siso c1 \Rightarrow *c2* \approx_{01} *c2* \Rightarrow *Seq c1 c2* \approx_{01} *Seq c1 c2*
proper c1 \Rightarrow *proper c2* \Rightarrow *c1* \approx_{01} *c1* \Rightarrow *discr c2* \Rightarrow *Seq c1 c2* \approx_{01} *Seq c1 c2*
compatCh ch \Rightarrow *c1* \approx_{01} *c1* \Rightarrow *c2* \approx_{01} *c2* \Rightarrow *Ch ch c1 c2* \approx_{01} *Ch ch c1 c2*
properL cs \Rightarrow $(\bigwedge c. c \in set cs \Rightarrow c \approx_s c) \Rightarrow ParT cs$ \approx_{01} *ParT cs*
 $\langle proof \rangle$

lemma *discr-imp-Sbis*: *proper c* \Rightarrow *discr c* \Rightarrow *c* \approx_s *c*
 $\langle proof \rangle$

lemma *siso-imp-Sbis*: *siso c* \Rightarrow *c* \approx_s *c*
 $\langle proof \rangle$

lemma *Sbis-imp-ZObis*: *c* \approx_s *c* \Rightarrow *c* \approx_{01} *c*
 $\langle proof \rangle$

```

fun SC-discr where
  SC-discr Done       $\longleftrightarrow$  True
  | SC-discr (Atm atm)  $\longleftrightarrow$  presAtm atm
  | SC-discr (Seq c1 c2)  $\longleftrightarrow$  SC-discr c1  $\wedge$  SC-discr c2
  | SC-discr (Ch ch c1 c2)  $\longleftrightarrow$  SC-discr c1  $\wedge$  SC-discr c2
  | SC-discr (While tst c)  $\longleftrightarrow$  SC-discr c
  | SC-discr (ParT cs)  $\longleftrightarrow$  ( $\forall$  c $\in$ set cs. SC-discr c)
  | SC-discr (Par cs)  $\longleftrightarrow$  ( $\forall$  c $\in$ set cs. SC-discr c)

```

theorem SC-discr-discr[intro]: proper c \implies SC-discr c \implies discr c
(proof)

```

fun SC-siso where
  SC-siso Done       $\longleftrightarrow$  True
  | SC-siso (Atm atm)  $\longleftrightarrow$  compatAtm atm
  | SC-siso (Seq c1 c2)  $\longleftrightarrow$  SC-siso c1  $\wedge$  SC-siso c2
  | SC-siso (Ch ch c1 c2)  $\longleftrightarrow$  compatCh ch  $\wedge$  SC-siso c1  $\wedge$  SC-siso c2
  | SC-siso (While tst c)  $\longleftrightarrow$  compatTst tst  $\wedge$  SC-siso c
  | SC-siso (Par cs)  $\longleftrightarrow$  ( $\forall$  c $\in$ set cs. SC-siso c)
  | SC-siso (ParT cs)  $\longleftrightarrow$  ( $\forall$  c $\in$ set cs. SC-siso c)

```

theorem SC-siso-siso[intro]: proper c \implies SC-siso c \implies siso c
(proof)

```

fun SC-Sbis where
  SC-Sbis Done       $\longleftrightarrow$  True
  | SC-Sbis (Atm atm)  $\longleftrightarrow$  compatAtm atm
  | SC-Sbis (Seq c1 c2)  $\longleftrightarrow$  (SC-siso c1  $\wedge$  SC-Sbis c2)  $\vee$ 
    (SC-Sbis c1  $\wedge$  SC-discr c2)  $\vee$ 
    SC-discr (Seq c1 c2)  $\vee$  SC-siso (Seq c1 c2)
  | SC-Sbis (Ch ch c1 c2)  $\longleftrightarrow$  (if compatCh ch
    then SC-Sbis c1  $\wedge$  SC-Sbis c2
    else (SC-discr (Ch ch c1 c2)  $\vee$  SC-siso (Ch ch c1 c2)))
  | SC-Sbis (While tst c)  $\longleftrightarrow$  SC-discr (While tst c)  $\vee$  SC-siso (While tst c)
  | SC-Sbis (Par cs)  $\longleftrightarrow$  ( $\forall$  c $\in$ set cs. SC-Sbis c)
  | SC-Sbis (ParT cs)  $\longleftrightarrow$  SC-siso (ParT cs)  $\vee$  SC-discr (ParT cs)

```

theorem SC-siso-SCbis[intro]: SC-siso c \implies SC-Sbis c
(proof)

theorem SC-discr-SCbis[intro]: SC-discr c \implies SC-Sbis c
(proof)

declare SC-siso.simps[simp del]

declare SC-discr.simps[simp del]

theorem *SC-Sbis-Sbis[intro]*: *proper c* \implies *SC-Sbis c* \implies *c* $\approx s$ *c*
(proof)

```
fun SC-ZObis where
  SC-ZObis Done       $\longleftrightarrow$  True
  | SC-ZObis (Atm atm)    $\longleftrightarrow$  compatAtm atm
  | SC-ZObis (Seq c1 c2)  $\longleftrightarrow$  (SC-siso c1  $\wedge$  SC-ZObis c2)  $\vee$ 
    (SC-ZObis c1  $\wedge$  SC-discr c2)  $\vee$ 
    SC-Sbis (Seq c1 c2)
  | SC-ZObis (Ch ch c1 c2)  $\longleftrightarrow$  (if compatCh ch
    then SC-ZObis c1  $\wedge$  SC-ZObis c2
    else SC-Sbis (Ch ch c1 c2))
  | SC-ZObis (While tst c)  $\longleftrightarrow$  SC-Sbis (While tst c)
  | SC-ZObis (Par cs)    $\longleftrightarrow$  SC-Sbis (Par cs)
  | SC-ZObis (ParT cs)  $\longleftrightarrow$  ( $\forall c \in set cs.$  SC-Sbis c)
```

theorem *SC-Sbis-SC-ZObis[intro]*: *SC-Sbis c* \implies *SC-ZObis c*
(proof)

declare *SC-Sbis.simps[simp del]*

theorem *SC-ZObis-ZObis*: *proper c* \implies *SC-ZObis c* \implies *c* ≈ 01 *c*
(proof)

end

end

6 Concrete setting

```
theory Concrete
imports Syntactic-Criteria
begin
```

datatype *level* = *Lo* | *Hi*

```
lemma [simp]:  $\bigwedge l. l \neq Hi \longleftrightarrow l = Lo$  and
  [simp]:  $\bigwedge l. Hi \neq l \longleftrightarrow Lo = l$  and
  [simp]:  $\bigwedge l. l \neq Lo \longleftrightarrow l = Hi$  and
  [simp]:  $\bigwedge l. Lo \neq l \longleftrightarrow Hi = l$ 
(proof)
```

```
lemma [dest]:  $\bigwedge l A. \llbracket l \in A; Lo \notin A \rrbracket \implies l = Hi$  and
  [dest]:  $\bigwedge l A. \llbracket l \in A; Hi \notin A \rrbracket \implies l = Lo$ 
(proof)
```

```

declare level.split[split]

instantiation level :: complete-lattice
begin
  definition top-level: top ≡ Hi
  definition bot-level: bot ≡ Lo
  definition inf-level: inf l1 l2 ≡ if Lo ∈ {l1,l2} then Lo else Hi
  definition sup-level: sup l1 l2 ≡ if Hi ∈ {l1,l2} then Hi else Lo
  definition less-eq-level: less-eq l1 l2 ≡ (l1 = Lo ∨ l2 = Hi)
  definition less-level: less l1 l2 ≡ l1 = Lo ∧ l2 = Hi
  definition Inf-level: Inf L ≡ if Lo ∈ L then Lo else Hi
  definition Sup-level: Sup L ≡ if Hi ∈ L then Hi else Lo
instance
  ⟨proof⟩
end

lemma sup-eq-Lo[simp]: sup a b = Lo  $\longleftrightarrow$  a = Lo  $\wedge$  b = Lo
  ⟨proof⟩

datatype var = h | h' | l | l'
datatype exp = Ct nat | Var var | Plus exp exp | Minus exp exp
datatype test = Tr | Eq exp exp | Gt exp exp | Non test
datatype atom = Assign var exp
type-synonym choice = real + test
type-synonym state = var  $\Rightarrow$  nat

syntax
-assign :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a ( $\langle\cdot\rangle ::= \rightarrow [1000, 61] 61$ )

syntax-consts
-assign == Assign

translations
x ::= expr == CONST Atm (CONST Assign x expr)

primrec sec where
sec h = Hi
| sec h' = Hi
| sec l = Lo
| sec l' = Lo

fun eval where
eval (Ct n) s = n
| eval (Var x) s = s x
| eval (Plus e1 e2) s = eval e1 s + eval e2 s
| eval (Minus e1 e2) s = eval e1 s - eval e2 s

fun tval where
tval Tr s = True

```

```

|tval (Eq e1 e2) s = (eval e1 s = eval e2 s)
|tval (Gt e1 e2) s = (eval e1 s > eval e2 s)
|tval (Non e) s = ( $\neg$  tval e s)

fun aval where
aval (Assign x e) s = (s (x := eval e s))

fun cval where
cval (Inl p) s = min 1 (max 0 p)
|cval (Inr tst) s = (if tval tst s then 1 else 0)

definition indis :: (state * state) setwhere
indis  $\equiv \{(s,t). \text{ALL } x. \text{sec } x = \text{Lo} \longrightarrow s x = t x\}$ 

interpretation Example-PL: PL-Indis aval tval cval indis
⟨proof⟩

fun exprSec where
exprSec (Ct n) = Lo
|exprSec (Var x) = sec x
|exprSec (Plus e1 e2) = sup (exprSec e1) (exprSec e2)
|exprSec (Minus e1 e2) = sup (exprSec e1) (exprSec e2)

fun tstSec where
tstSec Tr = Lo
|tstSec (Eq e1 e2) = sup (exprSec e1) (exprSec e2)
|tstSec (Gt e1 e2) = sup (exprSec e1) (exprSec e2)
|tstSec (Non e) = tstSec e

lemma exprSec-Lo-eval-eq: exprSec expr = Lo  $\implies (s, t) \in \text{indis} \implies \text{eval expr } s = \text{eval expr } t
⟨proof⟩

lemma compatAtmSyntactic[simp]: exprSec expr = Lo  $\vee \text{sec } v = \text{Hi} \implies \text{Example-PL.compatAtm (Assign v expr)}$ 
⟨proof⟩

lemma presAtmSyntactic[simp]: sec v = Hi  $\implies \text{Example-PL.presAtm (Assign v expr)}$ 
⟨proof⟩

lemma compatTstSyntactic[simp]: tstSec tst = Lo  $\implies \text{Example-PL.compatTst tst}$ 
⟨proof⟩

lemma compatPrchSyntactic[simp]: Example-PL.compatCh (Inl p)
⟨proof⟩$ 
```

lemma *compatIfchSyntactic*[simp]: *Example-PL.compatCh* (*Inr* *tst*) \longleftrightarrow *Example-PL.compatTst* *tst*
 $\langle proof \rangle$

abbreviation *Ch-half* ($\langle Ch_{1/2} \rangle$) **where** $Ch_{1/2} \equiv Ch (Inl (1/2))$
abbreviation *If* **where** $If\ tst \equiv Ch (Inr\ tst)$

abbreviation *siso* *c* \equiv *Example-PL.siso* *c*
abbreviation *discr* *c* \equiv *Example-PL.discr* *c*
abbreviation *Sbis-abbrev* (*infix* \approx_s 55) **where** $c1 \approx_s c2 \equiv (c1, c2) \in Example-PL.Sbis$
abbreviation *ZObis-abbrev* (*infix* \approx_{01} 55) **where** $c1 \approx_{01} c2 \equiv (c1, c2) \in Example-PL.ZObis$

abbreviation *SC-siso* *c* \equiv *Example-PL.SC-siso* *c*
abbreviation *SC-discr* *c* \equiv *Example-PL.SC-discr* *c*
abbreviation *SC-Sbis* *c* \equiv *Example-PL.SC-Sbis* *c*
abbreviation *SC-ZObis* *c* \equiv *Example-PL.SC-ZObis* *c*

lemma *SC-discr* (*h* ::= *Ct* 0)
 $\langle proof \rangle$

6.1 The secure programs from the paper's Example 3

definition [simp]: *d0* =
 $h' ::= Ct\ 0\;;$
 $While\ (Gt\ (Var\ h)\ (Ct\ 0))$
 $(Ch_{1/2}\ (h ::= Ct\ 0))$
 $(h' ::= Plus\ (Var\ h')\ (Ct\ 1)))$

definition [simp]: *d1* =
 $While\ (Gt\ (Var\ h)\ (Ct\ 0))$
 $(Ch_{1/2}\ (h ::= Minus\ (Var\ h)\ (Ct\ 1)))$
 $(h ::= Plus\ (Var\ h)\ (Ct\ 1)))$

definition [simp]: *d2* =
 $If\ (Eq\ (Var\ l)\ (Ct\ 0))$
 $(l' ::= Ct\ 1)$
 $d0$

definition [simp]: *d3* =
 $h ::= Ct\ 5\;;$
 $ParT\ [d0,\ (l ::= Ct\ 1)]$

theorem *SC-discr d0*
SC-discr d1

```
SC-Sbis d2
SC-ZObis d2
⟨proof⟩
```

```
theorem discr d0
  discr d1
  d2 ≈s d2
  d3 ≈01 d3
⟨proof⟩
```

```
end
```

References

- [1] A. Popescu, J. Hölzl, and T. Nipkow. Formalizing probabilistic noninterference. In G. Gonthier and M. Norrish, editors, *Certified Programs and Proofs (CPP 2013)*, volume 8307 of *LNCS*, pages 259–275. Springer, 2013.
- [2] A. Popescu, J. Hölzl, and T. Nipkow. Noninterfering schedulers. In R. Heckel and S. Milius, editors, *Algebra and Coalgebra in Computer Science (CALCO 2013)*, volume 8089 of *LNCS*, pages 236–252. Springer, 2013.