

Probabilistic Noninterference

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Abstract

We formalize a probabilistic noninterference for a multi-threaded language with uniform scheduling, where probabilistic behaviour comes from both the scheduler and the individual threads. We define notions probabilistic noninterference in two variants: resumption-based and trace-based. For the resumption-based notions, we prove compositionality w.r.t. the language constructs and establish sound type-system-like syntactic criteria.

This is a formalization of the mathematical development presented in the papers [1, 2]. It is the probabilistic variant of the [Possibilistic Noninterference AFP](#) entry.

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1 Simple While Language with probabilistic choice and parallel execution

```
theory Language-Semantics
imports Interface
begin
```

1.1 Preliminaries

Trivia

```
declare zero-le-mult-iff[simp]
declare split-mult-pos-le[simp]
declare zero-le-divide-iff[simp]
```

```
lemma in-minus-Un[simp]:
assumes  $i \in I$ 
shows  $I - \{i\} \text{ Un } \{i\} = I$  and  $\{i\} \text{ Un } (I - \{i\}) = I$ 
apply(metis Un-commute assms insert-Diff-single insert-absorb insert-is-Un)
by (metis assms insert-Diff-single insert-absorb insert-is-Un)
```

```
lemma less-plus-cases[case-names Left Right]:
assumes
*:  $(i::nat) < n1 \implies phi$  and
**:  $\bigwedge i2. i = n1 + i2 \implies phi$ 
shows phi
proof(cases  $i < n1$ )
case True
thus ?thesis using * by simp
next
case False hence  $n1 \leq i$  by simp
then obtain  $i2$  where  $i = n1 + i2$  by (metis le-iff-add)
thus ?thesis using ** by blast
```

qed

lemma *less-plus-elim*[*elim!*, *consumes 1*, *case-names Left Right*]:
assumes $i: (i:: \text{nat}) < n1 + n2$ **and**
*****: $i < n1 \implies \text{phi}$ **and**
******: $\bigwedge i2. \llbracket i2 < n2; i = n1 + i2 \rrbracket \implies \text{phi}$
shows *phi*
apply(*rule less-plus-cases*[*of i n1*])
using *assms* **by** *auto*

lemma *nth-append-singl*[*simp*]:
 $i < \text{length } al \implies (al @ [a]) ! i = al ! i$
by (*auto simp add: nth-append*)

lemma *take-append-singl*[*simp*]:
assumes $n < \text{length } al$ **shows** $\text{take } n (al @ [a]) = \text{take } n al$
using *assms* **by** (*induct al rule: rev-induct*) *auto*

lemma *length-unique-prefix*:
 $al1 \leq al \implies al2 \leq al \implies \text{length } al1 = \text{length } al2 \implies al1 = al2$
by (*metis not-equal-is-parallel parallelE prefix-same-cases less-eq-list-def*)

take:

lemma *take-length*[*simp*]:
 $\text{take } (\text{length } al) al = al$
using *take-all* **by** *auto*

lemma *take-le*:
assumes $n < \text{length } al$
shows $\text{take } n al @ [al ! n] \leq al$
by (*metis assms take-Suc-conv-app-nth take-is-prefix less-eq-list-def*)

lemma *list-less-iff-prefix*: $a < b \iff \text{strict-prefix } a b$
by (*metis le-less less-eq-list-def less-irrefl prefix-order .le-less prefix-order .less-irrefl*)

lemma *take-lt*:
 $n < \text{length } al \implies \text{take } n al < al$
unfolding *list-less-iff-prefix*
using *prefix-order.le-less*[*of take n al al*]
by (*simp add: take-is-prefix*) (*metis length-take min-absorb2 nat-le-linear not-less*)

lemma *le-take*:
assumes $n1 \leq n2$
shows $\text{take } n1 al \leq \text{take } n2 al$
using *assms* **proof**(*induct al arbitrary: n1 n2*)
 case (*Cons a al*)
 thus *?case*
 by (*cases n1 n2 rule: nat.exhaust[case-product nat.exhaust]*) *auto*
qed *auto*

lemma *inj-take*:
assumes $n1 \leq \text{length } al$ **and** $n2 \leq \text{length } al$
shows $\text{take } n1 \text{ } al = \text{take } n2 \text{ } al \iff n1 = n2$
proof –
 {**assume** $\text{take } n1 \text{ } al = \text{take } n2 \text{ } al$
 hence $n1 = n2$
 using *assms* **proof**(*induct al arbitrary: n1 n2*)
 case (*Cons a al*)
 thus ?*case*
 by (*cases n1 n2 rule: nat.exhaust[case-product nat.exhaust]*) *auto*
 qed *auto*
 }
thus ?*thesis* **by** *auto*
qed

lemma *lt-take*: $n1 < n2 \implies n2 \leq \text{length } al \implies \text{take } n1 \text{ } al < \text{take } n2 \text{ } al$
by (*metis inj-take le-less-trans le-take not-less-iff-gr-or-eq order.not-eq-order-implies-strict order.strict-implies-order*)

lsum:

definition *lsum* :: $('a \Rightarrow \text{nat}) \Rightarrow 'a \text{ list} \Rightarrow \text{nat}$ **where**
lsum *f* *al* $\equiv \text{sum-list } (\text{map } f \text{ } al)$

lemma *lsum-simps*[*simp*]:
lsum *f* [] = 0
lsum *f* (*al* @ [*a*]) = *lsum* *f* *al* + *f* *a*
unfolding *lsum-def* **by** *auto*

lemma *lsum-append*:
lsum *f* (*al* @ *bl*) = *lsum* *f* *al* + *lsum* *f* *bl*
unfolding *lsum-def* **by** *auto*

lemma *lsum-cong*[*fundef-cong*]:
assumes $\bigwedge a. a \in \text{set } al \implies f \ a = g \ a$
shows $\text{lsum } f \ al = \text{lsum } g \ al$
using *assms* **unfolding** *lsum-def* **by** (*metis map-eq-conv*)

lemma *lsum-gt-0*[*simp*]:
assumes $al \neq []$ **and** $\bigwedge a. a \in \text{set } al \implies 0 < f \ a$
shows $0 < \text{lsum } f \ al$
using *assms* **by** (*induct rule: rev-induct*) *auto*

lemma *lsum-mono*[*simp*]:
assumes $al \leq bl$
shows $\text{lsum } f \ al \leq \text{lsum } f \ bl$
proof –
 obtain *cl* **where** *bl*: $bl = al @ cl$ **using** *assms* **unfolding** *prefix-def less-eq-list-def*
 by *blast*

thus *?thesis* **unfolding** *bl lsum-append* **by** *simp*
qed

lemma *lsum-mono2*[*simp*]:
assumes $f: \bigwedge b. b \in \text{set } bl \implies f b > 0$ **and** $le: al < bl$
shows $lsum f al < lsum f bl$
proof –

obtain *cl* **where** $bl: bl = al @ cl$ **and** $cl: cl \neq []$
using *assms* **unfolding** *list-less-iff-prefix prefix-def strict-prefix-def* **by** *blast*
have $lsum f al < lsum f al + lsum f cl$
using *f cl* **unfolding** *bl* **by** *simp*
also have $\dots = lsum f bl$ **unfolding** *bl lsum-append* **by** *simp*
finally show *?thesis* .
qed

lemma *lsum-take*[*simp*]:
 $lsum f (\text{take } n \text{ } al) \leq lsum f al$
by (*metis lsum-mono take-is-prefix less-eq-list-def*)

lemma *less-lsum-nchotomy*:
assumes $f: \bigwedge a. a \in \text{set } al \implies 0 < f a$
and $i: (i::nat) < lsum f al$
shows $\exists n j. n < \text{length } al \wedge j < f (al ! n) \wedge i = lsum f (\text{take } n \text{ } al) + j$
using *assms* **proof**(*induct* *rule: rev-induct*)
case (*snoc a al*)
hence $i: i < lsum f al + f a$ **and**
pos: 0 < f a $\bigwedge a'. a' \in \text{set } al \implies 0 < f a'$ **by** *auto*
from *i* **show** *?case*
proof(*cases* *rule: less-plus-elim*)
case *Left*
then obtain $n j$ **where** $n < \text{length } al \wedge j < f (al ! n) \wedge i = lsum f (\text{take } n \text{ } al) + j$
using *pos snoc* **by** *auto*
thus *?thesis*
apply – **apply**(*rule exI[of - n]*) **apply**(*rule exI[of - j]*) **by** *auto*
next
case (*Right j*)
thus *?thesis*
apply – **apply**(*rule exI[of - length al]*) **apply**(*rule exI[of - j]*) **by** *simp*
qed
qed *auto*

lemma *less-lsum-unique*:
assumes $\bigwedge a. a \in \text{set } al \implies (0::nat) < f a$
and $n1 < \text{length } al \wedge j1 < f (al ! n1)$
and $n2 < \text{length } al \wedge j2 < f (al ! n2)$
and $lsum f (\text{take } n1 \text{ } al) + j1 = lsum f (\text{take } n2 \text{ } al) + j2$
shows $n1 = n2 \wedge j1 = j2$
using *assms* **proof**(*induct* *al arbitrary: n1 n2 j1 j2* *rule: rev-induct*)

```

case (snoc a al)
hence pos: 0 < f a  $\wedge$  a'. a'  $\in$  set al  $\implies$  0 < f a'
and n1: n1 < length al + 1 and n2: n2 < length al + 1 by auto
from n1 show ?case
proof(cases rule: less-plus-elim)
  case Left note n1 = Left
  hence j1: j1 < f (al ! n1) using snoc by auto
  obtain al' where al: al = (take n1 al) @ ((al ! n1) # al')
  using n1 by (metis append-take-drop-id Cons-nth-drop-Suc)
  have j1 < lsum f ((al ! n1) # al') using pos j1 unfolding lsum-def by simp
  hence lsum f (take n1 al) + j1 < lsum f (take n1 al) + lsum f ((al ! n1) #
al') by simp
  also have ... = lsum f al unfolding lsum-append[THEN sym] using al by
simp
  finally have lsum1: lsum f (take n1 al) + j1 < lsum f al .
  from n2 show ?thesis
proof(cases rule: less-plus-elim)
  case Left note n2 = Left
  hence j2: j2 < f (al ! n2) using snoc by auto
  show ?thesis apply(rule snoc(1)) using snoc using pos n1 j1 n2 j2 by auto
next
  case Right
  hence n2: n2 = length al by simp
  hence j2: j2 < f a using snoc by simp
  have lsum f (take n1 al) + j1 = lsum f al + j2 using n1 n2 snoc by simp
  hence False using lsum1 by auto
  thus ?thesis by simp
qed
next
case Right
hence n1: n1 = length al by simp
hence j1: j1 < f a using snoc by simp
from n2 show ?thesis
proof(cases rule: less-plus-elim)
  case Left note n2 = Left
  hence j2: j2 < f (al ! n2) using snoc by auto
  obtain al' where al: al = (take n2 al) @ ((al ! n2) # al')
  using n2 by (metis append-take-drop-id Cons-nth-drop-Suc)
  have j2 < lsum f ((al ! n2) # al') using pos j2 unfolding lsum-def by
simp
  hence lsum f (take n2 al) + j2 < lsum f (take n2 al) + lsum f ((al ! n2) #
al') by simp
  also have ... = lsum f al unfolding lsum-append[THEN sym] using al by
simp
  finally have lsum2: lsum f (take n2 al) + j2 < lsum f al .
  have lsum f al + j1 = lsum f (take n2 al) + j2 using n1 n2 snoc by simp
  hence False using lsum2 by auto
  thus ?thesis by simp
next

```

case *Right*
hence $n2: n2 = \text{length } al$ **by** *simp*
have $j1 = j2$ **using** $n1\ n2$ *snoc* **by** *simp*
thus *?thesis* **using** $n1\ n2$ **by** *simp*
qed
qed
qed *auto*

definition *locate-pred* **where**
 $\text{locate-pred } f\ al\ (i::nat)\ n-j \equiv$
 $\text{fst } n-j < \text{length } al \wedge$
 $\text{snd } n-j < f\ (al\ !\ (\text{fst } n-j)) \wedge$
 $i = \text{lsum } f\ (\text{take } (\text{fst } n-j)\ al) + (\text{snd } n-j)$

definition *locate* **where**
 $\text{locate } f\ al\ i \equiv \text{SOME } n-j. \text{locate-pred } f\ al\ i\ n-j$

definition *locate1* **where** $\text{locate1 } f\ al\ i \equiv \text{fst } (\text{locate } f\ al\ i)$
definition *locate2* **where** $\text{locate2 } f\ al\ i \equiv \text{snd } (\text{locate } f\ al\ i)$

lemma *locate-pred-ex*:
assumes $\bigwedge a. a \in \text{set } al \implies 0 < f\ a$
and $i < \text{lsum } f\ al$
shows $\exists n-j. \text{locate-pred } f\ al\ i\ n-j$
using *assms less-lsum-nchotomy* **unfolding** *locate-pred-def* **by** *force*

lemma *locate-pred-unique*:
assumes $\bigwedge a. a \in \text{set } al \implies 0 < f\ a$
and $\text{locate-pred } f\ al\ i\ n1-j1\ \text{locate-pred } f\ al\ i\ n2-j2$
shows $n1-j1 = n2-j2$
using *assms less-lsum-unique* **unfolding** *locate-pred-def*
apply(*cases n1-j1, cases n2-j2*) **apply** *simp* **by** *blast*

lemma *locate-locate-pred*:
assumes $\bigwedge a. a \in \text{set } al \implies (0::nat) < f\ a$
and $i < \text{lsum } f\ al$
shows $\text{locate-pred } f\ al\ i\ (\text{locate } f\ al\ i)$
proof–
obtain $n-j$ **where** $\text{locate-pred } f\ al\ i\ n-j$
using *assms locate-pred-ex*[*of al f i*] **by** *auto*
thus *?thesis* **unfolding** *locate-def* **apply**(*intro someI*[*of locate-pred f al i*]) **by**
blast
qed

lemma *locate-locate-pred-unique*:
assumes $\bigwedge a. a \in \text{set } al \implies (0::nat) < f\ a$
and $\text{locate-pred } f\ al\ i\ n-j$
shows $n-j = \text{locate } f\ al\ i$
unfolding *locate-def* **apply**(*rule sym, rule some-equality*)

using *assms locate-locate-pred* **apply** *force*
using *assms locate-pred-unique* **by** *blast*

lemma *locate*:
assumes $\bigwedge a. a \in \text{set } al \implies 0 < f a$
and $i < \text{lsum } f al$
shows $\text{locate1 } f al i < \text{length } al \wedge$
 $\text{locate2 } f al i < f (al ! (\text{locate1 } f al i)) \wedge$
 $i = \text{lsum } f (\text{take } (\text{locate1 } f al i) al) + (\text{locate2 } f al i)$
using *assms locate-locate-pred*
unfolding *locate1-def locate2-def locate-pred-def* **by** *auto*

lemma *locate-unique*:
assumes $\bigwedge a. a \in \text{set } al \implies 0 < f a$
and $n < \text{length } al$ **and** $j < f (al ! n)$ **and** $i = \text{lsum } f (\text{take } n al) + j$
shows $n = \text{locate1 } f al i \wedge j = \text{locate2 } f al i$
proof –
define $n\text{-}j$ **where** $n\text{-}j = (n, j)$
have *locate-pred* $f al i n\text{-}j$ **using** *assms* **unfolding** *n-j-def locate-pred-def* **by**
auto
hence $n\text{-}j = \text{locate } f al i$ **using** *assms locate-locate-pred-unique* **by** *blast*
thus *?thesis* **unfolding** *n-j-def locate1-def locate2-def* **by** (*metis fst-conv n-j-def*
snd-conv)
qed

sum:

lemma *sum-2[simp]*:
 $\text{sum } f \{..< 2\} = f 0 + f (\text{Suc } 0)$
proof –
have $\{..< 2\} = \{0, \text{Suc } 0\}$ **by** *auto*
thus *?thesis* **by** *force*
qed

lemma *inj-Plus[simp]*:
 $\text{inj } ((+) (a::\text{nat}))$
unfolding *inj-on-def* **by** *auto*

lemma *inj-on-Plus[simp]*:
 $\text{inj-on } ((+) (a::\text{nat})) A$
unfolding *inj-on-def* **by** *auto*

lemma *Plus-int[simp]*:
fixes $a :: \text{nat}$
shows $(+) b \text{ ' } \{..< a\} = \{b ..< b + a\}$
proof *safe*
fix $x::\text{nat}$ **assume** $x \in \{b..< b + a\}$
hence $b \leq x$ **and** $x: x < a + b$ **by** *auto*
then obtain y **where** $xb: x = b + y$ **by** (*metis le-iff-add*)
hence $y < a$ **using** x **by** *simp*

thus $x \in (+) b \text{ ‘ } \{..<a\}$ **using** xb **by** *auto*
qed *auto*

lemma *sum-minus[simp]*:
fixes $a :: \text{nat}$
shows $\text{sum } f \{a ..< a + b\} = \text{sum } (\%x. f (a + x)) \{..< b\}$
using *sum.reindex[of (+) a \{..< b\} f]* **by** *auto*

lemma *sum-Un-introL*:
assumes $A1 = B1 \text{ Un } C1$ **and** $x = x1 + x2$
finite A1 **and**
 $B1 \text{ Int } C1 = \{\}$ **and**
 $\text{sum } f1 \ B1 = x1$ **and** $\text{sum } f1 \ C1 = x2$
shows $\text{sum } f1 \ A1 = x$
by (*metis assms finite-Un sum.union-disjoint*)

lemma *sum-Un-intro*:
assumes $A1 = B1 \text{ Un } C1$ **and** $A2 = B2 \text{ Un } C2$ **and**
finite A1 **and** *finite A2* **and**
 $B1 \text{ Int } C1 = \{\}$ **and** $B2 \text{ Int } C2 = \{\}$ **and**
 $\text{sum } f1 \ B1 = \text{sum } f2 \ B2$ **and** $\text{sum } f1 \ C1 = \text{sum } f2 \ C2$
shows $\text{sum } f1 \ A1 = \text{sum } f2 \ A2$
by (*metis assms finite-Un sum.union-disjoint*)

lemma *sum-UN-introL*:
assumes $A1: A1 = (\text{UN } n : N. B1 \ n)$ **and** $a2: a2 = \text{sum } b2 \ N$ **and**
fin: finite N $\wedge n. n \in N \implies \text{finite } (B1 \ n)$ **and**
int: $\wedge m \ n. \{m, n\} \subseteq N \wedge m \neq n \implies B1 \ m \cap B1 \ n = \{\}$ **and**
ss: $\wedge n. n \in N \implies \text{sum } f1 \ (B1 \ n) = b2 \ n$
shows $\text{sum } f1 \ A1 = a2$ (**is** $?L = a2$)
proof –
have $?L = \text{sum } (\%n. \text{sum } f1 \ (B1 \ n)) \ N$
unfolding $A1$ **using** *sum.UNION-disjoint[of N B1 f1]* *fin int* **by** *simp*
also have $\dots = \text{sum } b2 \ N$ **using** *ss fin* **by** *auto*
also have $\dots = a2$ **using** $a2$ **by** *simp*
finally show $?thesis$.
qed

lemma *sum-UN-intro*:
assumes $A1: A1 = (\text{UN } n : N. B1 \ n)$ **and** $A2: A2 = (\text{UN } n : N. B2 \ n)$ **and**
fin: finite N $\wedge n. n \in N \implies \text{finite } (B1 \ n) \wedge \text{finite } (B2 \ n)$ **and**
int: $\wedge m \ n. \{m, n\} \subseteq N \wedge m \neq n \implies B1 \ m \cap B1 \ n = \{\} \wedge m \ n. \{m, n\} \subseteq N$
 $\implies B2 \ m \cap B2 \ n = \{\}$ **and**
ss: $\wedge n. n \in N \implies \text{sum } f1 \ (B1 \ n) = \text{sum } f2 \ (B2 \ n)$
shows $\text{sum } f1 \ A1 = \text{sum } f2 \ A2$ (**is** $?L = ?R$)
proof –
have $?L = \text{sum } (\%n. \text{sum } f1 \ (B1 \ n)) \ N$
unfolding $A1$ **using** *sum.UNION-disjoint[of N B1 f1]* *fin int* **by** *simp*
also have $\dots = \text{sum } (\%n. \text{sum } f2 \ (B2 \ n)) \ N$ **using** *ss fin sum.mono-neutral-left*

by *auto*
 also have ... = ?*R*
 unfolding *A2* using *sum.UNION-disjoint*[of *N B2 f2*] *fin int* by *simp*
 finally show ?*thesis* .
 qed

lemma *sum-Minus-intro*:
 fixes *f1* :: '*a1* ⇒ real and *f2* :: '*a2* ⇒ real
 assumes *B1* = *A1* - {*a1*} and *B2* = *A2* - {*a2*} and
a1 : *A1* and *a2* : *A2* and *finite A1* and *finite A2*
sum f1 A1 = *sum f2 A2* and *f1 a1* = *f2 a2*
 shows *sum f1 B1* = *sum f2 B2*

proof -
 have 1: *A1* = *B1* Un {*a1*} and 2: *A2* = *B2* Un {*a2*}
 using *assms* by *blast+*
 from *assms* have *a1* ∉ *B1* by *simp*
 with 1 ⟨*finite A1*⟩ have *sum f1 A1* = *sum f1 B1* + *f1 a1*
 by *simp*
 hence 3: *sum f1 B1* = *sum f1 A1* - *f1 a1* by *simp*
 from *assms* have *a2* ∉ *B2* by *simp*
 with 2 ⟨*finite A2*⟩ have *sum f2 A2* = *sum f2 B2* + *f2 a2*
 by *simp*
 hence *sum f2 B2* = *sum f2 A2* - *f2 a2* by *simp*
 thus ?*thesis* using 3 *assms* by *simp*
 qed

lemma *sum-singl-intro*:
 assumes *b* = *f a*
 and *finite A* and *a* ∈ *A*
 and $\bigwedge a'. \llbracket a' \in A; a' \neq a \rrbracket \implies f a' = 0$
 shows *sum f A* = *b*
proof -
 define *B* where *B* = *A* - {*a*}
 have *A* = *B* Un {*a*} unfolding *B-def* using *assms* by *blast*
 moreover have *B Int {a}* = {} unfolding *B-def* by *blast*
 ultimately have *sum f A* = *sum f B* + *sum f {a}*
 using *assms sum.union-disjoint* by *blast*
 moreover have $\forall b \in B. f b = 0$ using *assms* unfolding *B-def* by *auto*
 ultimately show ?*thesis* using *assms* by *auto*
 qed

lemma *sum-all0-intro*:
 assumes *b* = 0
 and $\bigwedge a. a \in A \implies f a = 0$
 shows *sum f A* = *b*
 apply(*cases finite A*)
 by(*metis assms sum.neutral sum.infinite*)+

lemma *sum-1*:

assumes I : finite I **and** ss : $\text{sum } f I = 1$ **and** i : $i \in I - I1$ **and** $I1$: $I1 \subseteq I$
and f : $\bigwedge i. i \in I \implies (0::\text{real}) \leq f i$
shows $f i \leq 1 - \text{sum } f I1$
proof –
have $\text{sum } f I = \text{sum } f (\{i\} \text{ Un } (I - \{i\}))$ **using** i
by (*metis DiffE insert-Diff-single insert-absorb insert-is-Un*)
also have $\dots = \text{sum } f \{i\} + \text{sum } f (I - \{i\})$
apply(*rule sum.union-disjoint*) **using** I **by** *auto*
finally have $1 = f i + \text{sum } f (I - \{i\})$ **unfolding** ss [*THEN sym*] **by** *simp*
moreover have $\text{sum } f (I - \{i\}) \geq \text{sum } f I1$
apply(*rule sum-mono2*) **using** $assms$ **by** *auto*
ultimately have $1 \geq f i + \text{sum } f I1$ **by** *auto*
thus *?thesis* **by** *auto*
qed

1.2 Syntax

datatype (*'test, 'atom, 'choice*) *cmd* =
Done
| *Atm 'atom*
| *Seq ('test, 'atom, 'choice) cmd ('test, 'atom, 'choice) cmd (- ;; - [60, 61] 60)*
| *While 'test ('test, 'atom, 'choice) cmd*
| *Ch 'choice ('test, 'atom, 'choice) cmd ('test, 'atom, 'choice) cmd*
| *Par ('test, 'atom, 'choice) cmd list*
| *ParT ('test, 'atom, 'choice) cmd list*

fun *noWhile* **where**

noWhile Done \longleftrightarrow *True*
| *noWhile (Atm atm)* \longleftrightarrow *True*
| *noWhile (c1 ;; c2)* \longleftrightarrow *noWhile c1* \wedge *noWhile c2*
| *noWhile (While tst c)* \longleftrightarrow *False*
| *noWhile (Ch ch c1 c2)* \longleftrightarrow *noWhile c1* \wedge *noWhile c2*
| *noWhile (Par cl)* \longleftrightarrow $(\forall c \in \text{set } cl. \text{noWhile } c)$
| *noWhile (ParT cl)* \longleftrightarrow $(\forall c \in \text{set } cl. \text{noWhile } c)$

fun *finished* **where**

finished Done \longleftrightarrow *True*
| *finished (Atm atm)* \longleftrightarrow *False*
| *finished (c1 ;; c2)* \longleftrightarrow *False*
| *finished (While tst c)* \longleftrightarrow *False*
| *finished (Ch ch c1 c2)* \longleftrightarrow *False*
| *finished (Par cl)* \longleftrightarrow $(\forall c \in \text{set } cl. \text{finished } c)$
| *finished (ParT cl)* \longleftrightarrow $(\forall c \in \text{set } cl. \text{finished } c)$

definition *noWhileL* **where**

noWhileL cl $\equiv \forall c \in \text{set } cl. \text{noWhile } c$

lemma *fin-Par-noWhileL*[simp]:
noWhile (Par cl) \longleftrightarrow *noWhileL* cl
unfolding *noWhileL-def* **by** *simp*

lemma *fin-ParT-noWhileL*[simp]:
noWhile (ParT cl) \longleftrightarrow *noWhileL* cl
unfolding *noWhileL-def* **by** *simp*

declare *noWhile.simps*(6) [simp del]
declare *noWhile.simps*(7) [simp del]

lemma *noWhileL-intro*[intro]:
assumes $\bigwedge c. c \in \text{set } cl \implies \text{noWhile } c$
shows *noWhileL* cl
using *assms* **unfolding** *noWhileL-def* **by** *auto*

lemma *noWhileL-fin*[simp]:
assumes *noWhileL* cl **and** $c \in \text{set } cl$
shows *noWhile* c
using *assms* **unfolding** *noWhileL-def* **by** *simp*

lemma *noWhileL-update*[simp]:
assumes *cl*: *noWhileL* cl **and** *c'*: *noWhile* c'
shows *noWhileL* (cl[n := c[^]])
proof(cases n < length cl)
 case True
 show ?thesis
 unfolding *noWhileL-def* **proof** safe
 fix c **assume** $c \in \text{set } (cl[n := c[^]])$
 hence $c \in \text{insert } c' (\text{set } cl)$ **using** *set-update-subset-insert* **by** *fastforce*
 thus *noWhile* c **using** *assms* **by** (cases c = c[^]) *auto*
qed
qed (*insert cl, auto*)

definition *finishedL* **where**
finishedL cl $\equiv \forall c \in \text{set } cl. \text{finished } c$

lemma *finished-Par-finishedL*[simp]:
finished (Par cl) \longleftrightarrow *finishedL* cl
unfolding *finishedL-def* **by** *simp*

lemma *finished-ParT-finishedL*[simp]:
finished (ParT cl) \longleftrightarrow *finishedL* cl
unfolding *finishedL-def* **by** *simp*

declare *finished.simps*(6) [simp del]
declare *finished.simps*(7) [simp del]

lemma *finishedL-intro*[intro]:

assumes $\bigwedge c. c \in \text{set } cl \implies \text{finished } c$
shows *finishedL cl*
using *assms unfolding finishedL-def by auto*

lemma *finishedL-finished[simp]*:
assumes *finishedL cl and c ∈ set cl*
shows *finished c*
using *assms unfolding finishedL-def by simp*

lemma *finishedL-update[simp]*:
assumes *cl: finishedL cl and c': finished c'*
shows *finishedL (cl[n := c[∧]])*
proof(*cases n < length cl*)
 case *True*
 show *?thesis*
 unfolding *finishedL-def proof safe*
 fix *c assume c ∈ set (cl[n := c[∧]])*
 hence *c ∈ insert c' (set cl) using set-update-subset-insert by fastforce*
 thus *finished c using assms by (cases c = c[∧]) auto*
 qed
qed (*insert cl, auto*)

lemma *finished-fin[simp]*:
finished c ⟹ noWhile c
by(*induct c auto*)

lemma *finishedL-noWhileL[simp]*:
finishedL cl ⟹ noWhileL cl
unfolding *finishedL-def noWhileL-def by auto*

locale *PL =*
 fixes
 aval :: 'atom ⟹ 'state ⟹ 'state and
 tval :: 'test ⟹ 'state ⟹ bool and
 cval :: 'choice ⟹ 'state ⟹ real
 assumes
 properCh: $\bigwedge ch s. 0 \leq cval \text{ ch } s \wedge cval \text{ ch } s \leq 1$
begin

lemma [*simp*]: *(n::nat) < N ⟹ 0 ≤ 1 / N by auto*

lemma [*simp*]: *(n::nat) < N ⟹ 1 / N ≤ 1 by auto*

lemma [*simp*]: *(n::nat) < N ⟹ 0 ≤ 1 - 1 / N by (simp add: divide-simps)*

lemma *sum-equal: 0 < (N::nat) ⟹ sum (λ n. 1/N) {..[<] N} = 1*
unfolding *sum-constant by auto*

fun *proper where*

```

  proper Done  $\longleftrightarrow$  True
| proper (Atm x)  $\longleftrightarrow$  True
| proper (Seq c1 c2)  $\longleftrightarrow$  proper c1  $\wedge$  proper c2
| proper (While tst c)  $\longleftrightarrow$  proper c
| proper (Ch ch c1 c2)  $\longleftrightarrow$  proper c1  $\wedge$  proper c2
| proper (Par cl)  $\longleftrightarrow$  cl  $\neq$  []  $\wedge$  ( $\forall$  c  $\in$  set cl. proper c)
| proper (ParT cl)  $\longleftrightarrow$  cl  $\neq$  []  $\wedge$  ( $\forall$  c  $\in$  set cl. proper c)

```

definition properL where
 properL cl \equiv cl \neq [] \wedge (\forall c \in set cl. proper c)

lemma proper-Par-properL[simp]:
 proper (Par cl) \longleftrightarrow properL cl
unfolding properL-def **by** simp

lemma proper-ParT-properL[simp]:
 proper (ParT cl) \longleftrightarrow properL cl
unfolding properL-def **by** simp

declare proper.simps(6) [simp del]
declare proper.simps(7) [simp del]

lemma properL-intro[intro]:
 \llbracket cl \neq []; \bigwedge c. c \in set cl \implies proper c $\rrbracket \implies$ properL cl
unfolding properL-def **by** auto

lemma properL-notEmp[simp]: properL cl \implies cl \neq []
unfolding properL-def **by** simp

lemma properL-proper[simp]:
 \llbracket properL cl; c \in set cl $\rrbracket \implies$ proper c
unfolding properL-def **by** simp

lemma properL-update[simp]:
assumes cl: properL cl **and** c': proper c'
shows properL (cl[n := c'])
proof(cases n < length cl)
 case True
 show ?thesis
unfolding properL-def **proof** safe
 fix c **assume** c \in set (cl[n := c'])
 hence c \in insert c' (set cl) **using** set-update-subset-insert **by** fastforce
 thus proper c **using** assms **by** (cases c = c') auto
qed (insert cl, auto)
qed (insert cl, auto)

lemma proper-induct[consumes 1, case-names Done Atm Seq While Ch Par ParT]:
assumes *: proper c
and Done: phi Done

and *Atm*: $\bigwedge atm. \text{phi } (Atm \text{ atm})$
and *Seq*: $\bigwedge c1 \ c2. \llbracket \text{phi } c1; \text{phi } c2 \rrbracket \implies \text{phi } (c1 ;; c2)$
and *While*: $\bigwedge \text{tst } c. \text{phi } c \implies \text{phi } (While \ \text{tst } c)$
and *Ch*: $\bigwedge ch \ c1 \ c2. \llbracket \text{phi } c1; \text{phi } c2 \rrbracket \implies \text{phi } (Ch \ ch \ c1 \ c2)$
and *Par*: $\bigwedge cl. \llbracket \text{properL } cl; \bigwedge c. c \in \text{set } cl \implies \text{phi } c \rrbracket \implies \text{phi } (Par \ cl)$
and *ParT*: $\bigwedge cl. \llbracket \text{properL } cl; \bigwedge c. c \in \text{set } cl \implies \text{phi } c \rrbracket \implies \text{phi } (ParT \ cl)$
shows *phi c*
using * **apply**(*induct c*)
using *assms* **unfolding** *properL-def* **by** *auto*

1.2.1 Operational Small-Step Semantics

definition *theFT cl* $\equiv \{n. n < \text{length } cl \wedge \text{finished } (cl!n)\}$

definition *theNFT cl* $\equiv \{n. n < \text{length } cl \wedge \neg \text{finished } (cl!n)\}$

lemma *finite-theFT[simp]*: *finite (theFT cl)*
unfolding *theFT-def* **by** *simp*

lemma *theFT-length[simp]*: $n \in \text{theFT } cl \implies n < \text{length } cl$
unfolding *theFT-def* **by** *simp*

lemma *theFT-finished[simp]*: $n \in \text{theFT } cl \implies \text{finished } (cl!n)$
unfolding *theFT-def* **by** *simp*

lemma *finite-theNFT[simp]*: *finite (theNFT cl)*
unfolding *theNFT-def* **by** *simp*

lemma *theNFT-length[simp]*: $n \in \text{theNFT } cl \implies n < \text{length } cl$
unfolding *theNFT-def* **by** *simp*

lemma *theNFT-notFinished[simp]*: $n \in \text{theNFT } cl \implies \neg \text{finished } (cl!n)$
unfolding *theNFT-def* **by** *simp*

lemma *theFT-Int-theNFT[simp]*:
theFT cl Int theNFT cl = {} **and** *theNFT cl Int theFT cl = {}*
unfolding *theFT-def theNFT-def* **by** *auto*

lemma *theFT-Un-theNFT[simp]*:
theFT cl Un theNFT cl = \{.. < \text{length } cl\} **and**
theNFT cl Un theFT cl = \{.. < \text{length } cl\}
unfolding *theFT-def theNFT-def* **by** *auto*

lemma *in-theFT-theNFT[simp]*:
assumes $n1 \in \text{theFT } cl$ **and** $n2 \in \text{theNFT } cl$
shows $n1 \neq n2$ **and** $n2 \neq n1$
using *assms theFT-Int-theNFT* **by** *blast+*

definition $WtFT\ cl \equiv \text{sum } (\lambda\ (n::nat).\ 1/(length\ cl))\ (theFT\ cl)$

definition $WtNFT\ cl \equiv \text{sum } (\lambda\ (n::nat).\ 1/(length\ cl))\ (theNFT\ cl)$

lemma $WtFT\text{-}WtNFT[simp]$:

assumes $0 < length\ cl$

shows $WtFT\ cl + WtNFT\ cl = 1$ (**is** $?A = 1$)

proof –

let $?w = \lambda\ n.\ 1 / length\ cl$ **let** $?L = theFT\ cl$ **let** $?Lnot = theNFT\ cl$

have $1: \{.. < length\ cl\} = ?L\ Un\ ?Lnot$ **by** *auto*

have $?A = \text{sum } ?w\ ?L + \text{sum } ?w\ ?Lnot$ **unfolding** $WtFT\text{-}def\ WtNFT\text{-}def$ **by** *simp*

also have $... = \text{sum } ?w\ \{.. < length\ cl\}$ **unfolding** 1

apply(*rule sum.union-disjoint[THEN sym]*) **by** *auto*

also have $... = 1$ **unfolding** *sum-equal[OF assms]* **by** *auto*

finally show $?thesis$.

qed

lemma $WtNFT\text{-}1\text{-}WtFT$: $0 < length\ cl \implies WtNFT\ cl = 1 - WtFT\ cl$

by (*simp add: algebra-simps*)

lemma $WtNFT\text{-}WtFT\text{-}1[simp]$:

assumes $0 < length\ cl$ **and** $WtFT\ cl \neq 1$

shows $WtNFT\ cl / (1 - WtFT\ cl) = 1$ (**is** $?A / ?B = 1$)

proof –

have $A: ?A = ?B$ **using** *assms WtNFT-1-WtFT* **by** *auto*

show $?thesis$ **unfolding** A **using** *assms* **by** *auto*

qed

lemma $WtFT\text{-}ge\text{-}0[simp]$: $WtFT\ cl \geq 0$

unfolding $WtFT\text{-}def$ **by** (*rule sum-nonneg*) *simp*

lemma $WtFT\text{-}le\text{-}1[simp]$: $WtFT\ cl \leq 1$ (**is** $?L \leq 1$)

proof –

let $?N = length\ cl$

have $?L \leq \text{sum } (\lambda\ n::nat.\ 1/?N)\ \{.. < ?N\}$

unfolding $WtFT\text{-}def$ **apply**(*rule sum-mono2*) **by** *auto*

also have $... \leq 1$

by (*metis div-by-0 le-cases neq0-conv not-one-le-zero of-nat-0 sum-not-0 sum-equal*)

finally show $?thesis$.

qed

lemma $le\text{-}1\text{-}WtFT[simp]$: $0 \leq 1 - WtFT\ cl$ (**is** $0 \leq ?R$)

proof –

have $a: -1 \leq - WtFT\ cl$ **by** *simp*

have $(0 :: real) = 1 + (-1)$ **by** *simp*

also have $1 + (-1) \leq 1 + (- WtFT\ cl)$ **using** a **by** *arith*

also have ... = ?R by simp
 finally show ?thesis .
 qed

lemma *WtFT-lt-1*[simp]: $WtFT\ cl \neq 1 \implies WtFT\ cl < 1$
using *WtFT-le-1* by (auto simp add: le-less)

lemma *lt-1-WtFT*[simp]: $WtFT\ cl \neq 1 \implies 0 < 1 - WtFT\ cl$
using *le-1-WtFT* by (metis *le-1-WtFT eq-iff-diff-eq-0 less-eq-real-def*)

lemma *notFinished-WtFT*[simp]:
assumes $n < length\ cl$ **and** $\neg finished\ (cl\ !\ n)$
shows $1 / length\ cl \leq 1 - WtFT\ cl$
proof -
 have $0 < length\ cl$ **using** *assms* **by** auto
 thus ?thesis **unfolding** *WtFT-def* **apply**(intro *sum-1*[of {..*length cl*}])
using *assms* **by** auto
 qed

fun *brn* :: ('test, 'atom, 'choice) *cmd* \implies nat **where**
 | *brn Done* = 1
 | *brn (Atm atm)* = 1
 | *brn (c1 ;; c2)* = *brn c1*
 | *brn (While tst c)* = 1
 | *brn (Ch ch c1 c2)* = 2
 | *brn (Par cl)* = *lsum brn cl*
 | *brn (ParT cl)* = *lsum brn cl*

lemma *brn-gt-0*: $proper\ c \implies 0 < brn\ c$
by (*induct rule: proper-induct*) auto

lemma *brn-gt-0-L*: $\llbracket properL\ cl; c \in set\ cl \rrbracket \implies 0 < brn\ c$
by (*metis brn-gt-0 properL-def*)

definition *locateT* \equiv *locate1 brn* **definition** *locateI* \equiv *locate2 brn*

definition *brnL cl n* \equiv *lsum brn (take n cl)*

lemma *brnL-lsum*: $brnL\ cl\ (length\ cl) = lsum\ brn\ cl$
unfolding *brnL-def* **by** *simp*

lemma *brnL-unique*:
assumes $properL\ cl$ **and** $n1 < length\ cl \wedge j1 < brn\ (cl\ !\ n1)$
and $n2 < length\ cl \wedge j2 < brn\ (cl\ !\ n2)$ **and** $brnL\ cl\ n1 + j1 = brnL\ cl\ n2 + j2$
shows $n1 = n2 \wedge j1 = j2$
apply (*rule less-lsum-unique*) **using** *assms brn-gt-0* **unfolding** *brnL-def properL-def*
by auto

lemma *brn-Par-simp*[simp]: $\text{brn } (\text{Par } cl) = \text{brnL } cl \ (\text{length } cl)$
unfolding *brnL-lsum* **by** *simp*

lemma *brn-ParT-simp*[simp]: $\text{brn } (\text{ParT } cl) = \text{brnL } cl \ (\text{length } cl)$
unfolding *brnL-lsum* **by** *simp*

declare *brn.simps(6)*[simp del] **declare** *brn.simps(7)*[simp del]

lemma *brnL-0*[simp]: $\text{brnL } cl \ 0 = 0$
unfolding *brnL-def* **by** *auto*

lemma *brnL-Suc*[simp]: $n < \text{length } cl \implies \text{brnL } cl \ (\text{Suc } n) = \text{brnL } cl \ n + \text{brn } (cl \ ! \ n)$
unfolding *brnL-def* **using** *take-Suc-conv-app-nth*[of *n cl*] **by** *simp*

lemma *brnL-mono*[simp]: $n1 \leq n2 \implies \text{brnL } cl \ n1 \leq \text{brnL } cl \ n2$
using *le-take*[of *n1 n2 cl*] **unfolding** *brnL-def* **by** *simp*

lemma *brnL-mono2*[simp]:
assumes *p*: *properL cl* **and** *n*: $n1 < n2$ **and** *l*: $n2 \leq \text{length } cl$
shows $\text{brnL } cl \ n1 < \text{brnL } cl \ n2$ (**is** *?L < ?R*)
proof–
 have *1*: $\bigwedge c. c \in \text{set } (\text{take } n2 \ cl) \implies 0 < \text{brn } c$
 using *p* **by** (*metis brn-gt-0 in-set-takeD properL-proper*)
 have $\text{take } n1 \ cl < \text{take } n2 \ cl$ **using** *n l lt-take* **by** *auto*
 hence $\text{lsum } \text{brn } (\text{take } n1 \ cl) < \text{lsum } \text{brn } (\text{take } n2 \ cl)$
 using *lsum-mono2*[of *take n2 cl %c. brn c take n1 cl*] *1* **by** *simp*
 thus *?thesis* **unfolding** *brnL-def* .

qed

lemma *brn-index*[simp]:
assumes *n*: $n < \text{length } cl$ **and** *i*: $i < \text{brn } (cl \ ! \ n)$
shows $\text{brnL } cl \ n + i < \text{brnL } cl \ (\text{length } cl)$ (**is** *?L < ?R*)
proof–
 have $?L < \text{brnL } cl \ (\text{Suc } n)$ **using** *assms* **by** *simp*
 also have $\dots \leq ?R$
 using *n brnL-mono*[of *Suc n length cl cl*] **by** *simp*
 finally show *?thesis* .

qed

lemma *brnL-gt-0*[simp]: $\llbracket \text{properL } cl; 0 < n \rrbracket \implies 0 < \text{brnL } cl \ n$
by (*metis properL-def brnL-mono brnL-mono2 le-0-eq length-greater-0-conv nat-le-linear neq0-conv*)

lemma *locateTI*:
assumes *properL cl* **and** $ii < \text{brn } (\text{Par } cl)$
shows
 $\text{locateT } cl \ ii < \text{length } cl \wedge$

$locateI\ cl\ ii < brn\ (cl\ !\ (locateT\ cl\ ii)) \wedge$
 $ii = brnL\ cl\ (locateT\ cl\ ii) + locateI\ cl\ ii$
using *assms locate[of cl brn] brn-gt-0*
unfolding *locateT-def locateI-def brnL-def*
unfolding *brnL-lsum[THEN sym] by auto*

lemma *locateTI-unique:*
assumes *properL cl and n < length cl*
and $i < brn\ (cl\ !\ n)$ **and** $ii = brnL\ cl\ n + i$
shows $n = locateT\ cl\ ii \wedge i = locateI\ cl\ ii$
using *assms locate-unique[of cl brn] brn-gt-0*
unfolding *locateT-def locateI-def brnL-def*
unfolding *brnL-lsum[THEN sym] by auto*

definition *pickFT-pred* **where** $pickFT\text{-}pred\ cl\ n \equiv n < length\ cl \wedge finished\ (cl!n)$

definition *pickFT* **where** $pickFT\ cl \equiv SOME\ n.\ pickFT\text{-}pred\ cl\ n$

lemma *pickFT-pred:*
assumes $WtFT\ cl = 1$ **shows** $\exists\ n.\ pickFT\text{-}pred\ cl\ n$
proof(*rule ccontr, unfold not-ex*)
assume $\forall\ n.\ \neg\ pickFT\text{-}pred\ cl\ n$
hence $\bigwedge\ n.\ n < length\ cl \implies \neg\ finished\ (cl!n)$
unfolding *pickFT-pred-def by auto*
hence $theFT\ cl = \{\}$ **unfolding** *theFT-def by auto*
hence $WtFT\ cl = 0$ **unfolding** *WtFT-def by simp*
thus *False using assms by simp*
qed

lemma *pickFT-pred-pickFT:* $WtFT\ cl = 1 \implies pickFT\text{-}pred\ cl\ (pickFT\ cl)$
unfolding *pickFT-def by(auto intro: someI-ex pickFT-pred)*

lemma *pickFT-length[simp]:* $WtFT\ cl = 1 \implies pickFT\ cl < length\ cl$
using *pickFT-pred-pickFT unfolding pickFT-pred-def by auto*

lemma *pickFT-finished[simp]:* $WtFT\ cl = 1 \implies finished\ (cl\ !\ (pickFT\ cl))$
using *pickFT-pred-pickFT unfolding pickFT-pred-def by auto*

lemma *pickFT-theFT[simp]:* $WtFT\ cl = 1 \implies pickFT\ cl \in theFT\ cl$
unfolding *theFT-def by auto*

fun *wt-cont-eff* **where**
 $wt\text{-}cont\text{-}eff\ Done\ s\ i = (1, Done, s)$
 $|$
 $wt\text{-}cont\text{-}eff\ (Atm\ atm)\ s\ i = (1, Done, aval\ atm\ s)$
 $|$
 $wt\text{-}cont\text{-}eff\ (c1\ ;;\ c2)\ s\ i =$
 $(case\ wt\text{-}cont\text{-}eff\ c1\ s\ i\ of$

```

(x, c1', s') ⇒
  if finished c1' then (x, c2, s') else (x, c1' ;; c2, s')
|
wt-cont-eff (While tst c) s i =
  (if tval tst s
   then (1, c ;; (While tst c), s)
   else (1, Done, s))
|
wt-cont-eff (Ch ch c1 c2) s i =
  (if i = 0 then cval ch s else 1 - cval ch s,
   if i = 0 then c1 else c2,
   s)
|
wt-cont-eff (Par cl) s ii =
  (if cl ! (locateT cl ii) ∈ set cl then
   (case wt-cont-eff
    (cl ! (locateT cl ii))
    s
    (locateI cl ii) of
    (w, c', s') ⇒
      ((1 / (length cl)) * w,
       Par (cl [(locateT cl ii) := c']),
       s'))
   else undefined)
|
wt-cont-eff (ParT cl) s ii =
  (if cl ! (locateT cl ii) ∈ set cl
   then
    (case wt-cont-eff
     (cl ! (locateT cl ii))
     s
     (locateI cl ii) of
     (w, c', s') ⇒
       (if WtFT cl = 1
        then (if locateT cl ii = pickFT cl ∧ locateI cl ii = 0
              then 1
              else 0)
        else if finished (cl ! (locateT cl ii))
              then 0
              else (1 / (length cl))
                   / (1 - WtFT cl)
                   * w,
        ParT (cl [(locateT cl ii) := c']),
        s'))
   else undefined)

```

definition *wt where* $wt\ c\ s\ i = fst\ (wt\text{-cont-eff}\ c\ s\ i)$

definition *cont where* $cont\ c\ s\ i = fst\ (snd\ (wt\text{-cont-eff}\ c\ s\ i))$

definition *eff where* $eff\ c\ s\ i = snd\ (snd\ (wt\text{-cont}\text{-eff}\ c\ s\ i))$

lemma *wt-Done*[simp]: $wt\ Done\ s\ i = 1$
unfolding *wt-def* **by** *simp*

lemma *wt-Atm*[simp]: $wt\ (Atm\ atm)\ s\ i = 1$
unfolding *wt-def* **by** *simp*

lemma *wt-Seq*[simp]:
 $wt\ (c1\ ;;\ c2)\ s = wt\ c1\ s$
proof –
 {**fix** *i* **have** $wt\ (c1\ ;;\ c2)\ s\ i = wt\ c1\ s\ i$
 proof(*cases wt-cont-eff c1 s i*)
 case (*fields - c1' -*)
 thus *?thesis* **unfolding** *wt-def* **by**(*cases c1', auto*)
 qed
 }
 thus *?thesis* **by** *auto*
qed

lemma *wt-While*[simp]: $wt\ (While\ tst\ c)\ s\ i = 1$
unfolding *wt-def* **by** *simp*

lemma *wt-Ch-L*[simp]: $wt\ (Ch\ ch\ c1\ c2)\ s\ 0 = cval\ ch\ s$
unfolding *wt-def* **by** *simp*

lemma *wt-Ch-R*[simp]: $wt\ (Ch\ ch\ c1\ c2)\ s\ (Suc\ n) = 1 - cval\ ch\ s$
unfolding *wt-def* **by** *simp*

lemma *cont-Done*[simp]: $cont\ Done\ s\ i = Done$
unfolding *cont-def* **by** *simp*

lemma *cont-Atm*[simp]: $cont\ (Atm\ atm)\ s\ i = Done$
unfolding *cont-def* **by** *simp*

lemma *cont-Seq-finished*[simp]: $finished\ (cont\ c1\ s\ i) \implies cont\ (c1\ ;;\ c2)\ s\ i = c2$
unfolding *cont-def* **by**(*cases wt-cont-eff c1 s i*) *auto*

lemma *cont-Seq-notFinished*[simp]:
assumes $\neg\ finished\ (cont\ c1\ s\ i)$
shows $cont\ (c1\ ;;\ c2)\ s\ i = (cont\ c1\ s\ i) ;;\ c2$
proof(*cases wt-cont-eff c1 s i*)
 case (*fields - c1' -*)
 thus *?thesis* **using** *assms* **unfolding** *cont-def* **by**(*cases c1'*) *auto*
qed

lemma *cont-Seq-not-eq-finished*[simp]: $\neg\ finished\ c2 \implies \neg\ finished\ (cont\ (Seq\ c1$

$c2) s i$
by $(cases\ finished\ (cont\ c1\ s\ i))\ auto$

lemma $cont\text{-}While\text{-}False[simp]$: $tval\ tst\ s = False \implies cont\ (While\ tst\ c)\ s\ i = Done$
unfolding $cont\text{-}def$ **by** $simp$

lemma $cont\text{-}While\text{-}True[simp]$: $tval\ tst\ s = True \implies cont\ (While\ tst\ c)\ s\ i = c ;; (While\ tst\ c)$
unfolding $cont\text{-}def$ **by** $simp$

lemma $cont\text{-}Ch\text{-}L[simp]$: $cont\ (Ch\ ch\ c1\ c2)\ s\ 0 = c1$
unfolding $cont\text{-}def$ **by** $simp$

lemma $cont\text{-}Ch\text{-}R[simp]$: $cont\ (Ch\ ch\ c1\ c2)\ s\ (Suc\ n) = c2$
unfolding $cont\text{-}def$ **by** $simp$

lemma $eff\text{-}Done[simp]$: $eff\ Done\ s\ i = s$
unfolding $eff\text{-}def$ **by** $simp$

lemma $eff\text{-}Atm[simp]$: $eff\ (Atm\ atm)\ s\ i = aval\ atm\ s$
unfolding $eff\text{-}def$ **by** $simp$

lemma $eff\text{-}Seq[simp]$: $eff\ (c1\ ;;\ c2)\ s = eff\ c1\ s$
proof –

{fix i **have** $eff\ (c1\ ;;\ c2)\ s\ i = eff\ c1\ s\ i$
 proof $(cases\ wt\text{-}cont\text{-}eff\ c1\ s\ i)$
 case $(fields\ -\ c1'\ -)$
 thus $?thesis$
 unfolding $eff\text{-}def$ **by** $(cases\ c1')\ auto$
 qed

}
 thus $?thesis$ **by** $auto$

qed

lemma $eff\text{-}While[simp]$: $eff\ (While\ tst\ c)\ s\ i = s$
unfolding $eff\text{-}def$ **by** $simp$

lemma $eff\text{-}Ch[simp]$: $eff\ (Ch\ ch\ c1\ c2)\ s\ i = s$
unfolding $eff\text{-}def$ **by** $simp$

lemma $brnL\text{-}nchotomy$:
assumes $properL\ cl$ **and** $ii < brnL\ cl\ (length\ cl)$
shows $\exists\ n\ i. n < length\ cl \wedge i < brn\ (cl\ !\ n) \wedge ii = brnL\ cl\ n + i$
unfolding $brnL\text{-}def$ **apply** $(rule\ less\text{-}lsum\text{-}nchotomy)$ **using** $assms\ brn\text{-}gt\ 0$
unfolding $brnL\text{-}lsum[THEN\ sym]$ **by** $auto$

corollary *brnL-cases*[*consumes 2, case-names Local, elim*]:
assumes *properL cl and ii < brnL cl (length cl) and*
 $\bigwedge n i. \llbracket n < \text{length } cl; i < \text{brn } (cl \ ! \ n); ii = \text{brnL } cl \ n + i \rrbracket \implies \text{phi}$
shows *phi*
using *assms brnL-nchotomy by blast*

lemma *wt-cont-eff-Par*[*simp*]:
assumes *p: properL cl*
and *n: n < length cl and i: i < brn (cl ! n)*
shows
 $wt \ (Par \ cl) \ s \ (brnL \ cl \ n + i) =$
 $1 / (\text{length } cl) * wt \ (cl \ ! \ n) \ s \ i$
(is ?wL = ?wR)

$cont \ (Par \ cl) \ s \ (brnL \ cl \ n + i) =$
 $Par \ (cl \ [n := cont \ (cl \ ! \ n) \ s \ i])$
(is ?mL = ?mR)

$eff \ (Par \ cl) \ s \ (brnL \ cl \ n + i) =$
 $eff \ (cl \ ! \ n) \ s \ i$
(is ?eL = ?eR)

proof –

define *ii* **where** $ii = brnL \ cl \ n + i$
define *n1* **where** $n1 = locateT \ cl \ ii$
define *i1* **where** $i1 = locateI \ cl \ ii$
have *n-i: n = n1 i = i1* **using** *p* **unfolding** *n1-def i1-def*
using *ii-def locateTI-unique n i by auto*
have *lsum1: ii < brnL cl (length cl)* **unfolding** *ii-def* **using** *n i by simp*
hence $n1 < \text{length } cl$
unfolding *n1-def* **using** *i p locateTI[of cl ii] by simp*
hence *set: cl ! n1 ∈ set cl by simp*

have $?wL = 1 / (\text{length } cl) * wt \ (cl \ ! \ n1) \ s \ i1$
unfolding *ii-def*[*THEN sym*]
apply (*cases wt-cont-eff (cl ! n1) s i1*)
using *set* **unfolding** *n1-def i1-def* **unfolding** *wt-def* **by** *simp*
also **have** $\dots = ?wR$ **unfolding** *n-i* **by** *simp*
finally **show** $?wL = ?wR$.

have $?mL = Par \ (cl \ [n1 := cont \ (cl \ ! \ n1) \ s \ i1])$
unfolding *ii-def*[*THEN sym*]
apply (*cases wt-cont-eff (cl ! n1) s i1*)
using *set* **unfolding** *n1-def i1-def* **unfolding** *cont-def* **by** *simp*
also **have** $\dots = ?mR$ **unfolding** *n-i* **by** *simp*
finally **show** $?mL = ?mR$.

have $?eL = eff \ (cl \ ! \ n1) \ s \ i1$
unfolding *ii-def*[*THEN sym*]

apply (*cases wt-cont-eff* (*cl ! n1*) *s i1*)
using set unfolding *n1-def i1-def unfolding eff-def by simp*
also have *eff (cl ! n1) s i1 = ?eR* **unfolding** *n-i by simp*
finally show *?eL = ?eR* .
qed

lemma *cont-eff-ParT[simp]*:
assumes *p: properL cl*
and *n: n < length cl* **and** *i: i < brn (cl ! n)*
shows
cont (ParT cl) s (brnL cl n + i) =
ParT (cl [n := cont (cl ! n) s i])
(is *?mL = ?mR***)**

eff (ParT cl) s (brnL cl n + i) =
eff (cl ! n) s i
(is *?eL = ?eR***)**

proof –

define *ii* **where** *ii = brnL cl n + i*
define *n1* **where** *n1 = locateT cl ii*
define *i1* **where** *i1 = locateI cl ii*
have *n-i: n = n1 i = i1* **using** *p* **unfolding** *n1-def i1-def*
using *ii-def locateTI-unique n i by auto*
have *lsum1: ii < brnL cl (length cl)* **unfolding** *ii-def* **using** *n i by simp*
hence *n1 < length cl*
unfolding *n1-def* **using** *i p locateTI[of cl ii] by simp*
hence *set: cl ! n1 ∈ set cl* **by simp**

have *?mL = ParT (cl [n1 := cont (cl ! n1) s i1])*
unfolding *ii-def[THEN sym]*
apply (*cases wt-cont-eff* (*cl ! n1*) *s i1*)
using set unfolding *n1-def i1-def unfolding cont-def by simp*
also have *... = ?mR* **unfolding** *n-i by simp*
finally show *?mL = ?mR* .

have *?eL = eff (cl ! n1) s i1*
unfolding *ii-def[THEN sym]*
apply (*cases wt-cont-eff* (*cl ! n1*) *s i1*)
using set unfolding *n1-def i1-def unfolding eff-def by simp*
also have *eff (cl ! n1) s i1 = ?eR* **unfolding** *n-i by simp*
finally show *?eL = ?eR* .

qed

lemma *wt-ParT-WtFT-pickFT-0[simp]*:
assumes *p: properL cl* **and** *WtFT: WtFT cl = 1*
shows *wt (ParT cl) s (brnL cl (pickFT cl)) = 1*
(is *?wL = 1***)**
proof –
define *ii* **where** *ii = brnL cl (pickFT cl)*


```

define n1 where n1 = locateT cl ii
define i1 where i1 = locateI cl ii
have ni: pickFT cl < length cl 0 < brn (cl! (pickFT cl))
using WtFT p brn-gt-0 by auto
hence n-i: pickFT cl = n1 0 = i1 using p unfolding n1-def i1-def
using ii-def locateTI-unique[of cl pickFT cl 0 ii] by auto
have lsum1: ii < brnL cl (length cl) unfolding ii-def using ni
by (metis add.comm-neutral brn-index)
hence n1 < length cl
unfolding n1-def using ni p locateTI[of cl ii] by simp
hence set: cl ! n1 ∈ set cl by simp

have n1i1: n1 = pickFT cl ∧ i1 = 0 using WtFT ni unfolding n-i by auto
show ?wL = 1
unfolding ii-def[THEN sym]
apply (cases wt-cont-eff (cl ! n1) s i1)
using WtFT n1i1 set unfolding n1-def i1-def unfolding wt-def by simp
qed

```

```

lemma wt-ParT-WtFT-notPickFT-0[simp]:
assumes p: properL cl and n: n < length cl and i: i < brn (cl ! n)
and WtFT: WtFT cl = 1 and ni: n = pickFT cl → i ≠ 0
shows wt (ParT cl) s (brnL cl n + i) = 0 (is ?wL = 0)
proof –

```

```

define ii where ii = brnL cl n + i
define n1 where n1 = locateT cl ii
define i1 where i1 = locateI cl ii
have n-i: n = n1 i = i1 using p unfolding n1-def i1-def
using ii-def locateTI-unique n i by auto
have lsum1: ii < brnL cl (length cl) unfolding ii-def using n i by simp
hence n1 < length cl
unfolding n1-def using i p locateTI[of cl ii] by simp
hence set: cl ! n1 ∈ set cl by simp

```

```

have n1i1: n1 ≠ pickFT cl ∨ i1 ≠ 0 using WtFT ni unfolding n-i by auto
show ?wL = 0
unfolding ii-def[THEN sym]
apply (cases wt-cont-eff (cl ! n1) s i1)
using WtFT n1i1 set unfolding n1-def i1-def unfolding wt-def by force
qed

```

```

lemma wt-ParT-notWtFT-finished[simp]:
assumes p: properL cl and n: n < length cl and i: i < brn (cl ! n)
and WtFT: WtFT cl ≠ 1 and f: finished (cl ! n)
shows wt (ParT cl) s (brnL cl n + i) = 0 (is ?wL = 0)
proof –
define ii where ii = brnL cl n + i
define n1 where n1 = locateT cl ii
define i1 where i1 = locateI cl ii

```

have $n-i: n = n1 \ i = i1$ **using** p **unfolding** $n1-def \ i1-def$
using $ii-def \ locateTI-unique \ n \ i$ **by** $auto$
have $lsum1: ii < brnL \ cl \ (length \ cl)$ **unfolding** $ii-def$ **using** $n \ i$ **by** $simp$
hence $n1 < length \ cl$
unfolding $n1-def$ **using** $i \ p \ locateTI[of \ cl \ ii]$ **by** $simp$
hence $set: cl ! n1 \in set \ cl$ **by** $simp$

have $f: finished \ (cl ! n1)$ **using** f **unfolding** $n-i$ **by** $auto$
show $?wL = 0$
unfolding $ii-def[THEN \ sym]$
apply $(cases \ wt-cont-eff \ (cl ! n1) \ s \ i1)$
using $WtFT \ f \ set$ **unfolding** $n1-def \ i1-def$ **unfolding** $wt-def$ **by** $simp$
qed

lemma $wt-cont-eff-ParT-notWtFT-notFinished[simp]:$
assumes $p: properL \ cl$ **and** $n: n < length \ cl$ **and** $i: i < brn \ (cl ! n)$
and $WtFT: WtFT \ cl \neq 1$ **and** $nf: \neg finished \ (cl ! n)$
shows $wt \ (ParT \ cl) \ s \ (brnL \ cl \ n + i) =$
 $(1 / (length \ cl)) / (1 - WtFT \ cl) * wt \ (cl ! n) \ s \ i$ **(is** $?wL = ?wR)$

proof –

define ii **where** $ii = brnL \ cl \ n + i$
define $n1$ **where** $n1 = locateT \ cl \ ii$
define $i1$ **where** $i1 = locateI \ cl \ ii$
have $n-i: n = n1 \ i = i1$ **using** p **unfolding** $n1-def \ i1-def$
using $ii-def \ locateTI-unique \ n \ i$ **by** $auto$
have $lsum1: ii < brnL \ cl \ (length \ cl)$ **unfolding** $ii-def$ **using** $n \ i$ **by** $simp$
hence $n1 < length \ cl$ **unfolding** $n1-def$ **using** $i \ p \ locateTI[of \ cl \ ii]$ **by** $simp$
hence $set: cl ! n1 \in set \ cl$ **by** $simp$

have $nf: \neg finished \ (cl ! n1)$ **using** nf **unfolding** $n-i$ **by** $auto$
have $?wL = (1 / (length \ cl)) / (1 - WtFT \ cl) * wt \ (cl ! n1) \ s \ i1$
unfolding $ii-def[THEN \ sym]$
apply $(cases \ wt-cont-eff \ (cl ! n1) \ s \ i1)$
using $WtFT \ nf \ set$ **unfolding** $n1-def \ i1-def$ **unfolding** $wt-def$ **by** $simp$
also have $\dots = ?wR$ **unfolding** $n-i$ **by** $simp$
finally show $?wL = ?wR$.

qed

lemma $wt-ge-0[simp]:$
assumes $proper \ c$ **and** $i < brn \ c$
shows $0 \leq wt \ c \ s \ i$
using $assms$ **proof** $(induct \ c \ arbitrary: i \ s \ rule: proper-induct)$
case $(Ch \ ch \ c1 \ c2)$
thus $?case$
using $properCh$ **by** $(cases \ i) \ (auto \ simp: algebra-simps)$
next
case $(Par \ cl \ ii)$
have $properL \ cl$ **and** $ii < brnL \ cl \ (length \ cl)$ **using** Par **by** $auto$

```

thus ?case
apply (cases rule: brnL-cases)
using Par by simp
next
  case (ParT cl ii)
  have properL cl and ii < brnL cl (length cl) using ParT by auto
  thus ?case
  proof(cases rule: brnL-cases)
    case (Local n i)
    show ?thesis
    proof (cases WtFT cl = 1)
      case True
      thus ?thesis using Local ParT by (cases n = pickFT cl  $\wedge$  i = 0) auto
    next
      case False
      thus ?thesis using Local ParT by (cases finished (cl ! n)) auto
    qed
  qed
qed auto

lemma wt-le-1[simp]:
assumes proper c and i < brn c
shows wt c s i  $\leq$  1
using assms proof (induct c arbitrary: i s rule: proper-induct)
  case (Ch ch c1 c2)
  thus ?case
  using properCh by (cases i) auto
next
  case (Par cl ii)
  hence properL cl and ii < brnL cl (length cl) by auto
  thus ?case
  apply (cases rule: brnL-cases) apply simp
  using Par apply auto
  by (metis add-increasing2 diff-is-0-eq gr0-conv-Suc less-imp-diff-less less-or-eq-imp-le
nth-mem of-nat-0-le-iff of-nat-Suc)
next
  case (ParT cl ii)
  have properL cl and ii < brnL cl (length cl) using ParT by auto
  thus ?case
  proof(cases rule: brnL-cases)
    case (Local n i)
    show ?thesis
    proof (cases WtFT cl = 1)
      case True
      thus ?thesis using Local ParT by (cases n = pickFT cl  $\wedge$  i = 0, auto)
    next
      case False note sch = False
      thus ?thesis using Local ParT proof (cases finished (cl ! n))
        case False note cln = False

```

```

let ?L1 = 1 / (length cl) let ?L2 = wt (cl ! n) s i
let ?R = WtFT cl
have 0 ≤ ?L1 and 0 ≤ ?L2 using ParT Local by auto
moreover have ?L2 ≤ 1 using ParT Local by auto
ultimately have ?L1 * ?L2 ≤ ?L1 by (metis mult-right-le-one-le)
also have ?L1 ≤ 1 - ?R using ParT Local cln by auto
finally have ?L1 * ?L2 ≤ 1 - ?R .
thus ?thesis using Local ParT cln sch
  by (auto simp: pos-divide-le-eq mult.commute)
qed (insert sch ParT Local, auto)
qed
qed
qed auto

```

abbreviation *fromPlus* ((1{..<+})) where
 $\{a ..<+ b\} \equiv \{a ..< a + b\}$

```

lemma brnL-UN:
assumes properL cl
shows {..

```

```

lemma brnL-Int-lt:
assumes n12: n1 < n2 and n2: n2 < length cl
shows
{brnL cl n1 ..<+ brn (cl!n1)} ∩ {brnL cl n2 ..<+ brn (cl!n2)} = {}
proof-
  have Suc n1 ≤ n2 using assms by simp
  hence brnL cl (Suc n1) ≤ brnL cl n2 by simp
  thus ?thesis using assms by simp
qed

```

```

lemma brnL-Int:
assumes n1 ≠ n2 and n1 < length cl and n2 < length cl
shows {brnL cl n1 ..<+ brn (cl!n1)} ∩ {brnL cl n2 ..<+ brn (cl!n2)} = {}
proof (cases n1 < n2)
  case True thus ?thesis using assms brnL-Int-lt by auto
next
  case False
  hence n2 < n1 using assms by simp

```

thus *?thesis* using *brnL-Int-lt* *assms* by *fastforce*
qed

lemma *sum-wt-Par-sub[simp]*:
assumes *cl*: *properL cl* **and** *n*: $n < \text{length } cl$ **and** *I*: $I \subseteq \{..
shows $\text{sum } (wt \ (Par \ cl) \ s) \ ((+) \ (brnL \ cl \ n) \ 'I) =$
 $1 \ /(\text{length } cl) * \text{sum } (wt \ (cl \ ! \ n) \ s) \ I$ (**is** $?L = ?wSch * ?R$)
proof–
have $?L = \text{sum } (\%i. ?wSch * wt \ (cl \ ! \ n) \ s \ i) \ I$
apply(*rule sum.reindex-cong*[of $(+) \ (brnL \ cl \ n)$]) **using** *assms* by *auto*
also have $\dots = ?wSch * ?R$
unfolding *sum-distrib-left* by *simp*
finally show *?thesis* .
qed$

lemma *sum-wt-Par[simp]*:
assumes *cl*: *properL cl* **and** *n*: $n < \text{length } cl$
shows $\text{sum } (wt \ (Par \ cl) \ s) \ \{brnL \ cl \ n \ ..<+ \ brn \ (cl!n)\} =$
 $1 \ /(\text{length } cl) * \text{sum } (wt \ (cl \ ! \ n) \ s) \ \{..
using *assms* by (*simp add: sum-distrib-left*)$

lemma *sum-wt-ParT-sub-WtFT-pickFT-0[simp]*:
assumes *cl*: *properL cl* **and** *nf*: $WtFT \ cl = 1$
and *I*: $I \subseteq \{..
shows $\text{sum } (wt \ (ParT \ cl) \ s) \ ((+) \ (brnL \ cl \ (pickFT \ cl)) \ 'I) = 1$ (**is** $?L = 1$)
proof–
let $?n = pickFT \ cl$
let $?w = \%i. \text{if } i = 0 \text{ then } (1::real) \ \text{else } 0$
have $n: ?n < \text{length } cl$ **using** *nf* by *simp*
have $0: I = \{0\} \ Un \ (I - \{0\})$ **using** *I* by *auto*
have *finI*: *finite I* **using** *I* by (*metis finite-nat-iff-bounded*)
have $?L = \text{sum } ?w \ I$
proof (*rule sum.reindex-cong* [of *plus* $(brnL \ cl \ ?n)$])
fix *i* **assume** $i: i \in I$
have $i < brn \ (cl \ ! \ ?n)$ **using** *i I* by *auto*
note $i = this \ i$
show $wt \ (ParT \ cl) \ s \ (brnL \ cl \ ?n + i) = ?w \ i$
using *nf n i cl* by (*cases i = 0*) *auto*
qed (*insert assms, auto*)
also have $\dots = \text{sum } ?w \ (\{0\} \ Un \ (I - \{0\}))$ **using** *0* by *auto*
also have $\dots = \text{sum } ?w \ \{0::real\} + \text{sum } ?w \ (I - \{0\})$
using *sum.union-disjoint*[of $\{0\} \ I - \{0\} \ ?w$] *finI* by *auto*
also have $\dots = 1$ by *simp*
finally show *?thesis* .
qed$

lemma *sum-wt-ParT-sub-WtFT-pickFT-0-2[simp]*:
assumes *cl*: *properL cl* **and** *nf*: $WtFT \ cl = 1$
and *II*: $II \subseteq \{..$

shows $\text{sum } (\text{wt } (\text{ParT } \text{cl}) \text{ s}) \text{ II} = 1$ (**is** $?L = 1$)
proof –
let $?n = \text{pickFT } \text{cl}$
let $?w = \%ii.$ *if* $ii = \text{brnL } \text{cl } (\text{pickFT } \text{cl})$ *then* $(1::\text{real})$ *else* 0
have $n: ?n < \text{length } \text{cl}$ **using** nf **by** simp
have $0: \text{II} = \{\text{brnL } \text{cl } ?n\} \text{ Un } (\text{II} - \{\text{brnL } \text{cl } ?n\})$ **using** II **by** auto
have $\text{finI}: \text{finite } \text{II}$ **using** II **by** $(\text{metis } \text{finite-nat-iff-bounded})$
have $?L = \text{sum } ?w \text{ II}$
proof($\text{rule } \text{sum.cong}$)
fix ii **assume** $ii: ii \in \text{II}$
hence $ii: ii < \text{brnL } \text{cl } (\text{length } \text{cl})$ **using** II **by** auto
from $\text{cl } ii$ **show** $\text{wt } (\text{ParT } \text{cl}) \text{ s } ii = ?w \text{ ii}$
proof($\text{cases } \text{rule: } \text{brnL-cases}$)
case $(\text{Local } n \text{ } i)$
show $?thesis$
proof($\text{cases } ii = \text{brnL } \text{cl } (\text{pickFT } \text{cl})$)
case True
have $n = \text{pickFT } \text{cl} \wedge i = 0$
apply($\text{intro } \text{brnL-unique[of } \text{cl}]$) **using** $\text{Local } \text{cl } \text{nf } \text{brn-gt-0}$ **unfolding** True
by auto
thus $?thesis$ **using** $\text{cl } \text{nf } \text{True}$ **by** simp
next
case False
hence $n = \text{pickFT } \text{cl} \longrightarrow i \neq 0$ **unfolding** Local **by** auto
thus $?thesis$ **using** $\text{Local } ii \text{ nf } \text{cl } \text{False}$ **by** auto
qed
qed
qed simp
also **have** $\dots = \text{sum } ?w (\{\text{brnL } \text{cl } ?n\} \text{ Un } (\text{II} - \{\text{brnL } \text{cl } ?n\}))$ **using** 0 **by**
 simp
also **have** $\dots = \text{sum } ?w \{\text{brnL } \text{cl } ?n\} + \text{sum } ?w (\text{II} - \{\text{brnL } \text{cl } ?n\})$
apply($\text{rule } \text{sum.union-disjoint}$) **using** finI **by** auto
also **have** $\dots = 1$ **by** simp
finally **show** $?thesis$.
qed

lemma $\text{sum-wt-ParT-sub-WtFT-notPickFT-0[simp]}$:
assumes $\text{cl}: \text{properL } \text{cl}$ **and** $\text{nf}: \text{WtFT } \text{cl} = 1$ **and** $n: n < \text{length } \text{cl}$
and $I: I \subseteq \{.. < \text{brn } (\text{cl } ! \text{ n})\}$ **and** $nI: n = \text{pickFT } \text{cl} \longrightarrow 0 \notin I$
shows $\text{sum } (\text{wt } (\text{ParT } \text{cl}) \text{ s}) ((+) (\text{brnL } \text{cl } n) ' I) = 0$ (**is** $?L = 0$)
proof –
have $?L = \text{sum } (\%i. 0) \text{ I}$
proof ($\text{rule } \text{sum.reindex-cong [of plus (brnL } \text{cl } n)]$)
fix i **assume** $i: i \in I$
hence $n = \text{pickFT } \text{cl} \longrightarrow i \neq 0$ **using** nI **by** metis
moreover **have** $i < \text{brn } (\text{cl } ! \text{ n})$ **using** $i \text{ I}$ **by** auto
ultimately **show** $\text{wt } (\text{ParT } \text{cl}) \text{ s } (\text{brnL } \text{cl } n + i) = 0$
using $\text{nf } n \text{ cl}$ **by** simp
qed ($\text{insert } \text{assms, auto}$)

also have ... = 0 by simp
 finally show ?thesis .
 qed

lemma *sum-wt-ParT-sub-notWtFT-finished*[simp]:
 assumes *cl*: properL *cl* and *nf*: WtFT *cl* ≠ 1
 and *n*: *n* < length *cl* and *cln*: finished (*cl*!*n*) and *I*: *I* ⊆ {..
 brn (*cl* ! *n*)}
 shows sum (wt (ParT *cl*) *s*) ((+) (brnL *cl* *n*) ‘ *I*) = 0 (is ?L = 0)
 proof –
 have ?L = sum (%i. 0) *I*
 apply(rule sum.reindex-cong[of (+) (brnL *cl* *n*)]) using *assms* by auto
 also have ... = 0 by simp
 finally show ?thesis .
 qed

lemma *sum-wt-ParT-sub-notWtFT-notFinished*[simp]:
 assumes *cl*: properL *cl* and *nf*: WtFT *cl* ≠ 1 and *n*: *n* < length *cl*
 and *cln*: ¬ finished (*cl*!*n*) and *I*: *I* ⊆ {..
 brn (*cl* ! *n*)}
 shows
 sum (wt (ParT *cl*) *s*) ((+) (brnL *cl* *n*) ‘ *I*) =
 (1 / (length *cl*)) / (1 - WtFT *cl*) * sum (wt (*cl* ! *n*) *s*) *I*
 (is ?L = ?w / (1 - ?wF) * ?R)
 proof –
 have ?L = sum (%i. ?w / (1 - ?wF) * wt (*cl* ! *n*) *s* *i*) *I*
 apply(rule sum.reindex-cong[of (+) (brnL *cl* *n*)])
 using *assms* by auto
 also have ... = ?w / (1 - ?wF) * ?R
 unfolding sum-distrib-left by simp
 finally show ?thesis .
 qed

lemma *sum-wt-ParT-WtFT-pickFT-0*[simp]:
 assumes *cl*: properL *cl* and *nf*: WtFT *cl* = 1
 shows sum (wt (ParT *cl*) *s*) {brnL *cl* (pickFT *cl*) ..<+ brn (*cl* ! (pickFT *cl*))} =
 1
 proof –
 let ?n = pickFT *cl*
 have 1: {brnL *cl* ?n ..<+ brn (*cl* ! ?n)} =
 (+) (brnL *cl* ?n) ‘ {..
 brn (*cl* ! ?n)} by simp
 show ?thesis unfolding 1
 apply(rule sum-wt-ParT-sub-WtFT-pickFT-0)
 using *assms* apply simp-all
 by (metis brn-gt-0-L nth-mem pickFT-length)
 qed

lemma *sum-wt-ParT-WtFT-notPickFT-0*[simp]:
 assumes *cl*: properL *cl* and *nf*: WtFT *cl* = 1 and *n*: *n* < length *cl* *n* ≠ pickFT
cl
 shows sum (wt (ParT *cl*) *s*) {brnL *cl* *n* ..<+ brn (*cl*!*n*)} = 0

proof–
have $1: \{brnL\ cl\ n\ ..<+ \ brn\ (cl!n)\} = (+) (brnL\ cl\ n) \text{ ‘ } \{..< \ brn\ (cl!n)\}$ **by**
simp
show *?thesis* **unfolding** 1 **apply**(*rule sum-wt-ParT-sub-WtFT-notPickFT-0*)
using *assms* **by** *auto*
qed

lemma *sum-wt-ParT-notWtFT-finished*[*simp*]:
assumes *cl: properL cl and WtFT cl ≠ 1*
and *n: n < length cl and cln: finished (cl!n)*
shows $sum\ (wt\ (ParT\ cl)\ s)\ \{brnL\ cl\ n\ ..<+ \ brn\ (cl!n)\} = 0$
proof–
have $1: \{brnL\ cl\ n\ ..<+ \ brn\ (cl!n)\} = (+) (brnL\ cl\ n) \text{ ‘ } \{..< \ brn\ (cl!n)\}$ **by**
simp
show *?thesis* **unfolding** 1
apply(*rule sum-wt-ParT-sub-notWtFT-finished*) **using** *assms* **by** *auto*
qed

lemma *sum-wt-ParT-notWtFT-notFinished*[*simp*]:
assumes *cl: properL cl and nf: WtFT cl ≠ 1*
and *n: n < length cl and cln: ¬ finished (cl!n)*
shows
 $sum\ (wt\ (ParT\ cl)\ s)\ \{brnL\ cl\ n\ ..<+ \ brn\ (cl!n)\} =$
 $(1 / (length\ cl)) / (1 - WtFT\ cl) *$
 $sum\ (wt\ (cl!\ n)\ s)\ \{..< \ brn\ (cl!\ n)\}$
proof–
have $1: \{brnL\ cl\ n\ ..<+ \ brn\ (cl!n)\} = (+) (brnL\ cl\ n) \text{ ‘ } \{..< \ brn\ (cl!n)\}$ **by**
simp
show *?thesis* **unfolding** 1 **apply**(*rule sum-wt-ParT-sub-notWtFT-notFinished*)
using *assms* **by** *auto*
qed

lemma *sum-wt*[*simp*]:
assumes *proper c*
shows $sum\ (wt\ c\ s)\ \{..< \ brn\ c\} = 1$
using *assms* **proof** (*induct c arbitrary: s rule: proper-induct*)
case (*Par cl*)
let $?w = \lambda\ n.\ 1 / (length\ cl) * sum\ (wt\ (cl!\ n)\ s)\ \{..< \ brn\ (cl!\ n)\}$
show *?case*
proof (*rule sum-UN-introL [of - %n. {brnL cl n ..<+ brn (cl!n)} {..< length cl} - ?w]*)
have $1 = sum\ (\lambda\ n.\ 1 / (length\ cl))\ \{..< \ length\ cl\}$
using *Par* **by** *simp*
also **have** $... = sum\ ?w\ \{..< \ length\ cl\}$ **using** *Par* **by** *simp*
finally **show** $1 = sum\ ?w\ \{..< \ length\ cl\}$.
next
fix *m n* **assume** $\{m, n\} \subseteq \{..< \ length\ cl\} \wedge m \neq n$
thus
 $\{brnL\ cl\ m\ ..<+ \ brn\ (cl!m)\} \cap \{brnL\ cl\ n\ ..<+ \ brn\ (cl!n)\} = \{\}$


```

    using brnL-Int by auto
qed(insert Par brnL-UN sum-wt-Par, auto)
next
case (ParT cl)
let ?v = 1/(length cl) let ?wtF = WtFT cl let ?wtNF = WtNFT cl
let ?w = λn.
if ?wtF = 1
then
  (if n = pickFT cl then 1 else 0)
else
  (if finished (cl!n)
  then 0
  else ?v / (1 - ?wtF) *
  sum (wt (cl ! n) s) {..

```

```

    unfolding sum-divide-distrib by simp
    also have ... = ?wtNF / (1 - ?wtF) unfolding WtNFT-def by simp
    also have ... = 1 using nf ParT by simp
    finally show ?thesis by simp
  qed
next
fix n assume n: n ∈ {..

```

have $I \subseteq J$ and *finite* J using **** unfolding** J -def by *auto*
 moreover have $\forall j \in J. wt\ c\ s\ j \geq 0$ **unfolding** J -def using ***** by *simp*
 ultimately have $sum\ (wt\ c\ s)\ I \leq sum\ (wt\ c\ s)\ J$
 using *sum-mono2*[of $J\ I\ wt\ c\ s$] by *auto*
 also have $\dots = 1$ using ***** **unfolding** J -def by *simp*
 finally show $sum\ (wt\ c\ s)\ I \leq 1$ **unfolding** J -def by *simp*
qed

lemma *sum-le-1*[*simp*]:
assumes *****: *proper* c **and** ******: $i < brn\ c$
shows $sum\ (wt\ c\ s)\ \{..i\} \leq 1$
proof –
 have $\{..i\} \subseteq \{..< brn\ c\}$ using ****** by *auto*
 thus *?thesis* using *assms* *sum-subset-le-1*[of $c\ \{..i\}\ s$] by *blast*
qed

1.2.2 Operations on configurations

definition *cont-eff* $cf\ b = snd\ (wt\text{-}cont\text{-}eff\ (fst\ cf)\ (snd\ cf)\ b)$

lemma *cont-eff*: $cont\text{-}eff\ cf\ b = (cont\ (fst\ cf)\ (snd\ cf)\ b, eff\ (fst\ cf)\ (snd\ cf)\ b)$
unfolding *cont-eff-def* *cont-def* *eff-def* by *simp*

end

end

2 Resumption-Based Noninterference

theory *Resumption-Based*
imports *Language-Semantics*
begin

type-synonym $'a\ rel = ('a \times 'a)\ set$

2.1 Preliminaries

lemma *int-emp*[*simp*]:
assumes $i > 0$
shows $\{..<i\} \neq \{\}$
by (*metis* *assms* *emptyE* *lessThan-iff*)

lemma *inj-on-inv-into*[*simp*]:
assumes *inj-on* $F\ P$
shows *inv-into* $P\ F\ ' (F\ ' P) = P$
using *assms* by *auto*

lemma *inj-on-inv-into2*[simp]:
inj-on (inv-into P F) (F ‘ P)
by (*metis Hilbert-Choice.inj-on-inv-into subset-refl*)

lemma *refl-gfp*:
assumes *1: mono Retr and 2: \bigwedge theta. refl theta \implies refl (Retr theta)*
shows *refl (gfp Retr)*

proof –
define *bis* **where** *bis = gfp Retr*
define *th* **where** *th = Id Un bis*
have *bis \subseteq Retr bis*
using *1 unfolding bis-def* **by** (*metis gfp-unfold subset-refl*)
also have *... \subseteq Retr th* **using** *1 unfolding mono-def th-def* **by** *auto*
finally have *bis \subseteq Retr th .*
moreover
{*have refl th unfolding th-def* **by** (*metis Un-commute refl-reflcl*)
hence refl (Retr th) using 2 **by** *simp*
}
ultimately have *Id \subseteq Retr th* **unfolding th-def refl-on-def** **by** *auto*
hence *Id \subseteq bis* **using** *1 coinduct unfolding th-def bis-def* **by** *blast*
thus *?thesis* **unfolding bis-def refl-on-def** **by** *auto*
qed

lemma *sym-gfp*:
assumes *1: mono Retr and 2: \bigwedge theta. sym theta \implies sym (Retr theta)*
shows *sym (gfp Retr)*

proof –
define *bis* **where** *bis = gfp Retr*
define *th* **where** *th = bis $\hat{\ }^{-1}$ Un bis*
have *bis \subseteq Retr bis*
using *1 unfolding bis-def* **by** (*metis gfp-unfold subset-refl*)
also have *... \subseteq Retr th* **using** *1 unfolding mono-def th-def* **by** *auto*
finally have *bis \subseteq Retr th .*
moreover
{*have sym th unfolding th-def* **by** (*metis Un-commute sym-Un-converse*)
hence sym (Retr th) using 2 **by** *simp*
}
ultimately have *bis $\hat{\ }^{-1}$ \subseteq Retr th*
by (*metis Un-absorb2 Un-commute Un-upper1 converse-Un sym-conv-converse-eq*)
hence *bis $\hat{\ }^{-1}$ \subseteq bis* **using** *1 coinduct[of Retr bis $\hat{\ }^{-1}$]* **unfolding th-def bis-def**
by *blast*
thus *?thesis* **unfolding bis-def sym-def** **by** *blast*
qed

lemma *trancl-trans*[simp]:
assumes *trans R*
shows *P $\hat{\ }^+ \subseteq R \iff P \subseteq R$*
proof –

```

{assume  $P \subseteq R$ 
 hence  $P^{\hat{+}} \subseteq R^{\hat{+}}$  using trancl-mono by auto
 also have  $R^{\hat{+}} = R$  using assms trans-trancl by auto
 finally have  $P^{\hat{+}} \subseteq R$  .
}
thus ?thesis by auto
qed

```

lemma *trans-gfp*:

assumes *1*: *mono Retr* **and** *2*: $\bigwedge \theta. \text{trans } \theta \implies \text{trans } (\text{Retr } \theta)$
shows *trans (gfp Retr)*

proof –

```

define bis where  $bis = \text{gfp } \text{Retr}$ 
define th where  $th = bis^{\hat{+}}$ 
have  $bis \subseteq \text{Retr } bis$ 
using 1 unfolding bis-def by (metis gfp-unfold subset-refl)
also have  $\dots \subseteq \text{Retr } th$  using 1 unfolding mono-def th-def
  by (metis trancl-trans order-refl trans-trancl)
finally have  $bis \subseteq \text{Retr } th$  .
moreover
{have trans th unfolding th-def by (metis th-def trans-trancl)
 hence trans (Retr th) using 2 by simp
}
ultimately have  $bis^{\hat{+}} \subseteq \text{Retr } th$  by simp
hence  $bis^{\hat{+}} \subseteq bis$  using 1 coinduct unfolding th-def bis-def
by (metis bis-def gfp-upperbound th-def)
thus ?thesis unfolding bis-def trans-def by auto

```

qed

lemma *O-subset-trans*:

assumes $r \circ r \subseteq r$

shows *trans r*

using *assms* **unfolding** *trans-def* **by** *blast*

lemma *trancl-imp-trans*:

assumes $r^{\hat{+}} \subseteq r$

shows *trans r*

by (*metis Int-absorb1 Int-commute trancl-trans assms subset-refl trans-trancl*)

lemma *sym-trans-gfp*:

assumes *1*: *mono Retr* **and** *2*: $\bigwedge \theta. \text{sym } \theta \wedge \text{trans } \theta \implies \text{sym } (\text{Retr } \theta) \wedge \text{trans } (\text{Retr } \theta)$

shows *sym (gfp Retr) \wedge trans (gfp Retr)*

proof –

```

define bis where  $bis = \text{gfp } \text{Retr}$ 
define th where  $th = (bis \cup bis^{\hat{-}1})^{\hat{+}}$ 
have  $bis \subseteq \text{Retr } bis$ 
using 1 unfolding bis-def by (metis gfp-unfold subset-refl)
also have  $\dots \subseteq \text{Retr } th$  using 1 unfolding mono-def th-def

```

by (metis inf-sup-absorb le-iff-inf sup-aci(2) trancl-unfold)
finally have $bis \subseteq Retr\ th$.
hence $(bis\ Un\ bis\ ^{-1})\ ^{+} \subseteq ((Retr\ th)\ Un\ (Retr\ th)\ ^{-1})\ ^{+}$ **by auto**
moreover
 {**have** $sym\ th$ **unfolding** $th-def$ **by** (metis sym-Un-converse sym-trancl)
moreover have $trans\ th$ **unfolding** $th-def$ **by** (metis th-def trans-trancl)
ultimately have $sym\ (Retr\ th) \wedge trans\ (Retr\ th)$ **using 2 by simp**
hence $((Retr\ th)\ Un\ (Retr\ th)\ ^{-1})\ ^{+} \subseteq Retr\ th$
by (metis Un-absorb subset-refl sym-conv-converse-eq trancl-id)
 }
ultimately have $(bis\ Un\ bis\ ^{-1})\ ^{+} \subseteq Retr\ th$ **by blast**
hence $(bis\ Un\ bis\ ^{-1})\ ^{+} \subseteq bis$ **using 1 coinduct unfolding** $th-def\ bis-def$
by (metis bis-def gfp-upperbound th-def)
hence $bis\ ^{-1} \subseteq bis$ **and** $bis\ ^{+} \subseteq bis$
apply(metis equalityI gfp-upperbound le-supI1 subset-refl sym-Un-converse sym-conv-converse-eq
 $th-def\ trancl-id\ trancl-imp-trans$)
by (metis Un-absorb $\langle (bis \cup bis^{-1})^+ \subseteq bis \rangle$ less-supI1 psubset-eq sym-Un-converse
 $sym-conv-converse-eq\ sym-trancl\ trancl-id\ trancl-imp-trans$)
thus $?thesis$ **unfolding** $bis-def\ sym-def$ **using** $trancl-imp-trans$ **by auto**
qed

2.2 Infrastructure for partitions

definition *part* **where**

$part\ J\ P \equiv$
 $Union\ P = J \wedge$
 $(\forall\ J1\ J2. J1 \in P \wedge J2 \in P \wedge J1 \neq J2 \longrightarrow J1 \cap J2 = \{\})$

inductive-set *gen*

for $P :: 'a\ set\ set$ **and** $I :: 'a\ set$ **where**

$incl[simp]: i \in I \implies i \in gen\ P\ I$
 $ext[simp]: \llbracket J \in P; j0 \in J; j0 \in gen\ P\ I; j \in J \rrbracket \implies j \in gen\ P\ I$

definition *partGen* **where**

$partGen\ P \equiv \{gen\ P\ I \mid I . I \in P\}$

definition *finer* **where**

$finer\ P\ Q \equiv$
 $(\forall\ J \in Q. J = Union\ \{I \in P . I \subseteq J\}) \wedge$
 $(P \neq \{\} \longrightarrow Q \neq \{\})$

definition *partJoin* **where**

$partJoin\ P\ Q \equiv partGen\ (P \cup Q)$

definition *compat* **where**

compat I *theta* $f \equiv \forall i j. \{i, j\} \subseteq I \wedge i \neq j \longrightarrow (f i, f j) \in \textit{theta}$

definition *partCompat* **where**

partCompat P *theta* $f \equiv$
 $\forall I \in P. \textit{compat } I \textit{ theta } f$

definition *lift* **where**

lift P F $II \equiv \textit{Union } \{F I \mid I. I \in P \wedge I \subseteq II\}$

part:

lemma *part-emp*[*simp*]:

part J (*insert* $\{\}$ P) = *part* J P

unfolding *part-def* **by** *auto*

lemma *finite-part*[*simp*]:

assumes *finite* I **and** *part* I P

shows *finite* P

using *assms* *finite-UnionD* **unfolding** *part-def* **by** *auto*

lemma *part-sum*:

assumes P : *part* $\{..<n::\textit{nat}\}$ P

shows $(\sum i<n. f i) = (\sum p \in P. \sum i \in p. f i)$

proof (*subst* *sum.Union-disjoint* [*symmetric*, *simplified*])

show $\forall p \in P. \textit{finite } p$

proof

fix p **assume** $p \in P$

with P **have** $p \subseteq \{0..<n\}$ **by** (*auto* *simp*: *part-def*)

then show *finite* p **by** (*rule* *finite-subset*) *simp*

qed

show $\forall A \in P. \forall B \in P. A \neq B \longrightarrow A \cap B = \{\}$

using P **by** (*auto* *simp*: *part-def*)

show $\textit{sum } f \{..<n\} = \textit{sum } f (\bigcup P)$

using P **by** (*auto* *simp*: *part-def* *atLeast0LessThan*)

qed

lemma *part-Un*[*simp*]:

assumes *part* $I1$ $P1$ **and** *part* $I2$ $P2$ **and** $I1 \textit{ Int } I2 = \{\}$

shows *part* ($I1 \textit{ Un } I2$) ($P1 \textit{ Un } P2$)

using *assms* **unfolding** *part-def*

by (*metis* *Union-Un-distrib* *Union-disjoint* *inf-aci*(1) *mem-simps*(3))

lemma *part-Un-singl*[*simp*]:

assumes *part* K P **and** $\bigwedge I. I \in P \implies I0 \textit{ Int } I = \{\}$

shows *part* ($I0 \textit{ Un } K$) ($\{I0\} \textit{ Un } P$)

using *assms* **unfolding** *part-def*

by (*metis* *complete-lattice-class.Sup-insert* *Int-commute* *insert-iff* *insert-is-Un*)

lemma *part-Un-singl2*:
assumes $K01 = I0 \text{ Un } K1$
and *part* $K1 P$ **and** $\bigwedge I. I \in P \implies I0 \text{ Int } I = \{\}$
shows *part* $K01 (\{I0\} \text{ Un } P)$
using *assms part-Un-singl* **by** *blast*

lemma *part-UN*:
assumes $\bigwedge n. n \in N \implies \text{part } (I n) (P n)$
and $\bigwedge n1 n2. \{n1, n2\} \subseteq N \wedge n1 \neq n2 \implies I n1 \cap I n2 = \{\}$
shows *part* $(UN n : N. I n) (UN n : N. P n)$
using *assms unfolding part-def* **apply** *auto*
apply *(metis UnionE)*
apply *(metis Union-upper disjoint-iff-not-equal insert-absorb insert-subset)*
by *(metis UnionI disjoint-iff-not-equal)*

gen:

lemma *incl-gen[simp]*:
 $I \subseteq \text{gen } P I$
by *auto*

lemma *gen-incl-Un*:
 $\text{gen } P I \subseteq I \cup (Union P)$
proof
fix j **assume** $j \in \text{gen } P I$
thus $j \in I \cup \bigcup P$ **apply** *induct* **by** *blast+*
qed

lemma *gen-incl*:
assumes $I \in P$
shows $\text{gen } P I \subseteq Union P$
using *assms gen-incl-Un[of P I]* **by** *blast*

lemma *finite-gen*:
assumes *finite* P **and** $\bigwedge J. J \in P \implies \text{finite } J$ **and** *finite* I
shows *finite* $(\text{gen } P I)$
by *(metis assms finite-Union gen-incl-Un infinite-Un infinite-super)*

lemma *subset-gen[simp]*:
assumes $J \in P$ **and** $\text{gen } P I \cap J \neq \{\}$
shows $J \subseteq \text{gen } P I$
using *assms gen.ext[of J P - I]* **by** *blast*

lemma *gen-subset-gen[simp]*:
assumes $J \in P$ **and** $\text{gen } P I \cap J \neq \{\}$
shows $\text{gen } P J \subseteq \text{gen } P I$
proof –
have $J: J \subseteq \text{gen } P I$ **using** *assms* **by** *auto*
show *?thesis* **proof**


```

    fix i assume i ∈ gen P J
    thus i ∈ gen P I
    proof induct
      case (ext J' j0 j)
      thus ?case
      using gen.ext[of J' P j0 I j] by blast
    qed (insert J, auto)
  qed
qed

lemma gen-mono[simp]:
  assumes I ⊆ J
  shows gen P I ⊆ gen P J
  proof
    fix i assume i ∈ gen P I thus i ∈ gen P J
    proof induct
      case (ext I' j0 j)
      thus ?case
      using gen.ext[of I' P j0 J j] by blast
    qed (insert assms, auto)
  qed

lemma gen-idem[simp]:
  gen P (gen P I) = gen P I
  proof-
    define J where J = gen P I
    have I ⊆ J unfolding J-def by auto
    hence gen P I ⊆ gen P J by simp
    moreover have gen P J ⊆ gen P I
    proof
      fix i assume i ∈ gen P J
      thus i ∈ gen P I
      proof induct
        case (ext J' j0 j)
        thus ?case
        using gen.ext[of J' P j0 I j] by blast
      qed (unfold J-def, auto)
    qed
    ultimately show ?thesis unfolding J-def by auto
  qed

lemma gen-nchotomy:
  assumes J ∈ P
  shows J ⊆ gen P I ∨ gen P I ∩ J = {}
  using assms subset-gen[of J P I] by blast

lemma gen-Union:
  assumes I ∈ P
  shows gen P I = Union {J ∈ P . J ⊆ gen P I}

```

proof *safe*
fix i **assume** $i: i \in \text{gen } P \ I$
then obtain J **where** $J: J \in P \ i \in J$ **using** *assms gen-incl* **by** *blast*
hence $J \subseteq \text{gen } P \ I$ **using** *assms i gen-nchotomy* **by** *auto*
thus $i \in \bigcup \{J \in P. J \subseteq \text{gen } P \ I\}$ **using** J **by** *auto*
qed *auto*

lemma *subset-gen2*:
assumes $\ast: \{I, J\} \subseteq P$ **and** $\ast\ast: \text{gen } P \ I \cap \text{gen } P \ J \neq \{\}$
shows $I \subseteq \text{gen } P \ J$
proof –
 {**fix** $i0 \ i$ **assume** $i0: i0 \in I \wedge i0 \notin \text{gen } P \ J$
assume $i \in \text{gen } P \ I$
hence $i \notin \text{gen } P \ J$
proof *induct*
case (*incl i*)
thus ?*case* **using** $i0$ *gen-nchotomy*[of $I \ P \ J$] \ast **by** *blast*
next
case (*ext I' j0 j*)
thus ?*case*
using *gen.ext*[of $I' \ P \ j0 \ J \ j$] *gen-nchotomy*[of $I' \ P \ J$] **by** *blast*
qed
 }
thus ?*thesis* **using** *assms* **by** *auto*
qed

lemma *gen-subset-gen2[simp]*:
assumes $\{I, J\} \subseteq P$ **and** $\text{gen } P \ I \cap \text{gen } P \ J \neq \{\}$
shows $\text{gen } P \ I \subseteq \text{gen } P \ J$
proof
fix i **assume** $i \in \text{gen } P \ I$
thus $i \in \text{gen } P \ J$
proof *induct*
case (*incl i*)
thus ?*case*
using *assms subset-gen2* **by** *auto*
next
case (*ext I' j0 j*)
thus ?*case*
using *gen.ext*[of $I' \ P \ j0 \ J \ j$] **by** *blast*
qed
qed

lemma *gen-eq-gen*:
assumes $\{I, J\} \subseteq P$ **and** $\text{gen } P \ I \cap \text{gen } P \ J \neq \{\}$
shows $\text{gen } P \ I = \text{gen } P \ J$
using *assms gen-subset-gen2*[of $I \ J \ P$] *gen-subset-gen2*[of $J \ I \ P$] **by** *blast*

lemma *gen-empty[simp]*:

```

gen P {} = {}
proof -
  {fix j assume j ∈ gen P {} hence False
   apply induct by auto
  }
  thus ?thesis by auto
qed

```

```

lemma gen-empty2[simp]:
gen {} I = I
proof -
  {fix j assume j ∈ gen {} I hence j ∈ I
   apply induct by auto
  }
  thus ?thesis by auto
qed

```

```

lemma emp-gen[simp]:
assumes gen P I = {}
shows I = {}
by (metis all-not-in-conv assms gen.incl)

```

partGen:

```

lemma partGen-ex:
assumes I ∈ P
shows ∃ J ∈ partGen P. I ⊆ J
using assms unfolding partGen-def
apply(intro bexI[of - gen P I]) by auto

```

```

lemma ex-partGen:
assumes J ∈ partGen P and j: j ∈ J
shows ∃ I ∈ P. j ∈ I
proof -
  obtain I0 where I0: I0 ∈ P and J: J = gen P I0
  using assms unfolding partGen-def by auto
  thus ?thesis using j gen-incl[of I0 P] by auto
qed

```

```

lemma Union-partGen: ∪ partGen P = ∪ P
using ex-partGen[of - P] partGen-ex[of - P] by fastforce

```

```

lemma Int-partGen:
assumes *: {I,J} ⊆ partGen P and **: I ∩ J ≠ {}
shows I = J
proof -
  obtain I0 where I0: I0 ∈ P and I: I = gen P I0
  using assms unfolding partGen-def by auto
  obtain J0 where J0: J0 ∈ P and J: J = gen P J0
  using assms unfolding partGen-def by auto

```

show *?thesis* **using** *gen-eq-gen*[of *I0 J0 P*] *I0 J0* **** unfolding** *I J* **by** *blast*
qed

lemma *part-partGen*:
part (*Union P*) (*partGen P*)
unfolding *part-def* **apply**(*intro conjI allI impI*)
apply (*metis Union-partGen*)
using *Int-partGen* **by** *blast*

lemma *finite-partGen*[*simp*]:
assumes *finite P*
shows *finite* (*partGen P*)
using *assms* **unfolding** *partGen-def* **by** *auto*

lemma *emp-partGen*[*simp*]:
assumes $\{\} \notin P$
shows $\{\} \notin \text{partGen } P$
using *assms* **unfolding** *partGen-def* **using** *emp-gen*[of *P*] **by** *blast*

finer:

lemma *finer-partGen*:
finer P (*partGen P*)
unfolding *finer-def partGen-def* **using** *gen-Union* **by** *auto*

lemma *finer-nchotomy*:
assumes *P*: *part I0 P* **and** *Q*: *part I0 Q* **and** *PQ*: *finer P Q*
and *I*: $I \in P$ **and** *II*: $II \in Q$
shows $I \subseteq II \vee (I \cap II = \{\})$
proof(*cases I \cap II = $\{\}$*)
 case False
 then obtain *i* **where** $i \in I \wedge i \in II$ **by** *auto*
 then obtain *I'* **where** $i \in I'$ **and** *I'*: $I' \in P \wedge I' \subseteq II$
 using *PQ II* **unfolding** *finer-def* **by** *blast*
 hence $I \text{ Int } I' \neq \{\}$ **using** *i* **by** *blast*
 hence $I = I'$ **using** *I I' P* **unfolding** *part-def* **by** *auto*
 hence $I \subseteq II$ **using** *I'* **by** *simp*
 thus *?thesis* **by** *auto*
qed *auto*

lemma *finer-ex*:
assumes *P*: *part I0 P* **and** *Q*: *part I0 Q* **and** *PQ*: *finer P Q*
and *I*: $I \in P$
shows $\exists II. II \in Q \wedge I \subseteq II$
proof(*cases I = $\{\}$*)
 case True
 have $Q \neq \{\}$ **using** *I PQ* **unfolding** *finer-def* **by** *auto*
 then obtain *JJ* **where** $JJ \in Q$ **by** *auto*
 with *True* **show** *?thesis* **by** *blast*
next

case *False*
then obtain i **where** $i: i \in I$ **by** *auto*
hence $i \in I0$ **using** $I P$ **unfolding** *part-def* **by** *auto*
then obtain II **where** $II: II \in Q$ **and** $i \in II$ **using** Q **unfolding** *part-def* **by**
auto
hence $I \text{ Int } II \neq \{\}$ **using** i **by** *auto*
thus *?thesis* **using** *assms* $I II$ *finer-nchotomy*[*of* $I0 P Q I II$] **by** *auto*
qed

partJoin:

lemma *partJoin-commute*:
 $\text{partJoin } P Q = \text{partJoin } Q P$
unfolding *partJoin-def* *partGen-def*
using *Un-commute* **by** *metis*

lemma *Union-partJoin-L*:
 $\text{Union } P \subseteq \text{Union } (\text{partJoin } P Q)$
unfolding *partJoin-def* *partGen-def* **by** *auto*

lemma *Union-partJoin-R*:
 $\text{Union } Q \subseteq \text{Union } (\text{partJoin } P Q)$
unfolding *partJoin-def* *partGen-def* **by** *auto*

lemma *part-partJoin[simp]*:
assumes $\text{part } I P$ **and** $\text{part } I Q$
shows $\text{part } I (\text{partJoin } P Q)$
proof–
have $1: \text{Union } (P \text{ Un } Q) = I$
using *assms* **unfolding** *part-def* **by** *auto*
show *?thesis* **using** *part-partGen*[*of* $P \text{ Un } Q$]
unfolding 1 *partJoin-def* **by** *auto*
qed

lemma *finer-partJoin-L[simp]*:
assumes $*$: $\text{part } I P$ **and** $**$: $\text{part } I Q$
shows *finer* $P (\text{partJoin } P Q)$
proof–
have $1: \text{part } I (\text{partJoin } P Q)$ **using** *assms* **by** *simp*
{fix $J j$ **assume** $J: J \in \text{partJoin } P Q$ **and** $j: j \in J$
hence $J \subseteq I$ **using** 1 **by** (*metis* *Union-upper* *part-def*)
with j **have** $j \in I$ **by** *auto*
then obtain J' **where** $jJ': j \in J'$ **and** $J': J' \in P$
using $*$ **unfolding** *part-def* **by** *auto*
hence $J \cap J' \neq \{\}$ **using** j **by** *auto*
moreover obtain $J0$ **where** $J = \text{gen } (P \text{ Un } Q) J0$
and $J0 \in P \text{ Un } Q$
using J **unfolding** *partJoin-def* *partGen-def* **by** *blast*
ultimately have $J' \subseteq J$
using J' *gen-nchotomy*[*of* $J' P \text{ Un } Q J0$] **by** *blast*

hence $j \in \bigcup \{J' \in P. J' \subseteq J\}$ **using** $J' jJ'$ **by** *blast*
}
thus *?thesis* **unfolding** *finer-def*
unfolding *partJoin-def partGen-def* **by** *blast*
qed

lemma *finer-partJoin-R[simp]*:
assumes $*$: *part I P* **and** $**$: *part I Q*
shows *finer Q (partJoin P Q)*
using *assms finer-partJoin-L[of I Q P] partJoin-commute[of P Q]* **by** *auto*

lemma *finer-emp[simp]*:
assumes *finer {} Q*
shows $Q \subseteq \{\ \}$
using *assms* **unfolding** *finer-def* **by** *auto*

compat:

lemma *part-emp-R[simp]*:
part I {} \longleftrightarrow I = {}
unfolding *part-def* **by** *auto*

lemma *part-emp-L[simp]*:
part {} P \implies P \subseteq { {} }
unfolding *part-def* **by** *auto*

lemma *finite-partJoin[simp]*:
assumes *finite P* **and** *finite Q*
shows *finite (partJoin P Q)*
using *assms* **unfolding** *partJoin-def* **by** *auto*

lemma *emp-partJoin[simp]*:
assumes $\{\} \notin P$ **and** $\{\} \notin Q$
shows $\{\} \notin \text{partJoin } P \ Q$
using *assms* **unfolding** *partJoin-def* **by** *auto*

partCompat:

lemma *partCompat-Un[simp]*:
partCompat (P Un Q) theta f \longleftrightarrow
partCompat P theta f \wedge partCompat Q theta f
unfolding *partCompat-def* **by** *auto*

lemma *partCompat-gen-aux*:
assumes *theta: sym theta trans theta*
and *fP: partCompat P theta f* **and** *I: I \in P*
and *i: i \in I* **and** *j: j \in gen P I* **and** *ij: i \neq j*
shows $(f \ i, f \ j) \in \text{theta}$
using *j ij* **proof** *induct*
case $(\text{incl } j)$
thus *?case*

```

using fP I i unfolding partCompat-def compat-def by blast
next
case (ext J j0 j)
show ?case
proof(cases i = j0)
  case False note case-i = False
  hence 1: (f i, f j0) ∈ theta using ext by blast
  show ?thesis
  proof(cases j = j0)
    case True
    thus ?thesis using case-i 1 by simp
  next
  case False
  hence (f j, f j0) ∈ theta using ⟨j0 ∈ J⟩ ⟨j ∈ J⟩ ⟨J ∈ P⟩
  using fP unfolding partCompat-def compat-def by auto
  hence (f j0, f j) ∈ theta using theta unfolding sym-def by simp
  thus ?thesis using 1 theta unfolding trans-def by blast
qed
next
case True note case-i = True
hence j0 ≠ j using ⟨i ≠ j⟩ by auto
hence (f j0, f j) ∈ theta using ⟨j0 ∈ J⟩ ⟨j ∈ J⟩ ⟨J ∈ P⟩
using fP unfolding partCompat-def compat-def by auto
thus ?thesis unfolding case-i .
qed
qed

```

lemma partCompat-gen:

assumes theta: sym theta trans theta

and fP: partCompat P theta f and I: I ∈ P

shows compat (gen P I) theta f

unfolding compat-def proof clarify

fix i j assume ij: {i, j} ⊆ gen P I i ≠ j

show (f i, f j) ∈ theta

proof(cases i ∈ I)

case True note i = True

show ?thesis

proof(cases j ∈ I)

case True

thus ?thesis using i ij I fP unfolding partCompat-def compat-def by blast

next

case False

hence i ≠ j using i by auto

thus ?thesis using assms partCompat-gen-aux i ij by auto

qed

next

case False note i = False

show ?thesis

proof(cases j ∈ I)

```

    case True
    hence  $j \neq i$  using  $i$  by auto
    hence  $(f j, f i) \in \theta$  using  $assms\ partCompat-gen-aux[of\ \theta\ P\ f\ I\ j\ i]$ 
True  $ij$  by auto
    thus ?thesis using  $\theta$  unfolding sym-def by auto
next
    case False note  $j = False$ 
    show ?thesis
    proof(cases  $I = \{\}$ )
      case True
      hence False using  $ij$  by simp
      thus ?thesis by simp
    next
      case False
      then obtain  $i0$  where  $i0: i0 \in I$  by auto
      hence  $i0-not: i0 \notin \{i, j\}$  using  $i\ j$  by auto
      have  $(f\ i0, f\ i) \in \theta$ 
      using  $assms\ i0\ i0-not\ ij\ partCompat-gen-aux[of\ \theta\ P\ f\ I\ i0\ i]$  by blast
      hence  $(f\ i, f\ i0) \in \theta$  using  $\theta$  unfolding sym-def by auto
      moreover have  $(f\ i0, f\ j) \in \theta$ 
      using  $assms\ i0\ i0-not\ ij\ partCompat-gen-aux[of\ \theta\ P\ f\ I\ i0\ j]$  by blast
      ultimately show ?thesis using  $\theta$  unfolding trans-def by blast
    qed
  qed
qed
qed

```

lemma *partCompat-partGen*:
 assumes *sym* θ and *trans* θ
 and *partCompat* $P\ \theta\ f$
 shows *partCompat* (*partGen* P) $\theta\ f$
 unfolding *partCompat-def* *partGen-def*
 using $assms\ partCompat-gen[of\ \theta\ P\ f]$ by auto

lemma *partCompat-partJoin[simp]*:
 assumes *sym* θ and *trans* θ
 and *partCompat* $P\ \theta\ f$ and *partCompat* $Q\ \theta\ f$
 shows *partCompat* (*partJoin* $P\ Q$) $\theta\ f$
 by (*metis* $assms\ partCompat-Un\ partCompat-partGen\ partJoin-def$)

lift:

lemma *inj-on-lift*:
 assumes $P: part\ I0\ P$ and $Q: part\ I0\ Q$ and $PQ: finer\ P\ Q$
 and $F: inj-on\ F\ P$ and $FP: part\ J0\ (F\ 'P)$ and $emp: \{\} \notin F\ 'P$
 shows *inj-on* (*lift* $P\ F$) Q
 unfolding *inj-on-def* proof clarify
 fix II' assume $II: II \in Q$ and $II': II' \in Q$ and $eq: lift\ P\ F\ II = lift\ P\ F\ II'$
 have $1: II = Union\ \{I \in P . I \subseteq II\}$ using $PQ\ II$ unfolding *finer-def* by auto

have 2: $II' = \text{Union } \{I \in P . I \subseteq II'\}$ **using** PQ II' **unfolding** *finer-def* **by** *auto*
{fix I
assume $I: I \in P \ I \subseteq II$
hence $F I \subseteq \text{lift } P F II$ **unfolding** *lift-def*[*abs-def*] **by** *blast*
hence 3: $F I \subseteq \text{lift } P F II'$ **unfolding** *eq* .
have $F I \neq \{\}$ **using** *emp I FP* **by** *auto*
then obtain j **where** $j: j \in F I$ **by** *auto*
with 3 **obtain** I' **where** $I': I' \in P \wedge I' \subseteq II'$ **and** $j \in F I'$ **unfolding** *lift-def*
[*abs-def*] **by** *auto*
hence $F I \text{ Int } F I' \neq \{\}$ **using** j **by** *auto*
hence $F I = F I'$ **using** *FP I I'* **unfolding** *part-def* **by** *auto*
hence $I = I'$ **using** *F I I'* **unfolding** *inj-on-def* **by** *auto*
hence $I \subseteq II'$ **using** I' **by** *auto*
}
hence $a: II \subseteq II'$ **using** 1 2 **by** *blast*

{fix I
assume $I: I \in P \ I \subseteq II'$
hence $F I \subseteq \text{lift } P F II'$ **unfolding** *lift-def* [*abs-def*] **by** *blast*
hence 3: $F I \subseteq \text{lift } P F II$ **unfolding** *eq* .
have $F I \neq \{\}$ **using** *emp I FP* **by** *auto*
then obtain j **where** $j: j \in F I$ **by** *auto*
with 3 **obtain** I' **where** $I': I' \in P \wedge I' \subseteq II$ **and** $j \in F I'$ **unfolding** *lift-def*
[*abs-def*] **by** *auto*
hence $F I \text{ Int } F I' \neq \{\}$ **using** j **by** *auto*
hence $F I = F I'$ **using** *FP I I'* **unfolding** *part-def* **by** *auto*
hence $I = I'$ **using** *F I I'* **unfolding** *inj-on-def* **by** *auto*
hence $I \subseteq II$ **using** I' **by** *auto*
}
hence $II' \subseteq II$ **using** 1 2 **by** *blast*
with a **show** $II = II'$ **by** *auto*
qed

lemma *part-lift*:

assumes $P: \text{part } IO P$ **and** $Q: \text{part } IO Q$ **and** $PQ: \text{finer } P Q$
and $F: \text{inj-on } F P$ **and** $FP: \text{part } J0 (F ' P)$ **and** $\text{emp}: \{\} \notin P \ \{\} \notin F ' P$
shows $\text{part } J0 (\text{lift } P F ' Q)$
unfolding *part-def* **proof** (*intro conjI allI impI*)
show $\bigcup (\text{lift } P F ' Q) = J0$
proof *safe*
fix j II **assume** $j \in \text{lift } P F II$ **and** $II: II \in Q$
then obtain I **where** $j \in F I$ **and** $I \in P$ **and** $I \subseteq II$
unfolding *lift-def* **by** *auto*
thus $j \in J0$ **using** *FP* **unfolding** *part-def* **by** *auto*
next
fix j **assume** $j \in J0$
then obtain J **where** $J: J \in F ' P$ **and** $j: j \in J$ **using** *FP* **unfolding** *part-def*
by *auto*

```

define I where I = inv-into P F J
have j: j ∈ F I unfolding I-def using j J F by auto
have I: I ∈ P unfolding I-def using F J by auto
obtain II where I ⊆ II and II ∈ Q using P Q PQ I finer-ex[of I0 P Q I]
by auto
thus j ∈ ⋃ (lift P F ' Q) unfolding lift-def [abs-def] using j I by auto
qed
next
fix JJ1 JJ2 assume JJ12: JJ1 ∈ lift P F ' Q ∧ JJ2 ∈ lift P F ' Q ∧ JJ1 ≠
JJ2
then obtain II1 II2 where II12: {II1,II2} ⊆ Q and JJ1: JJ1 = lift P F II1
and JJ2: JJ2 = lift P F II2 by auto
have II1 ≠ II2 using JJ12 unfolding JJ1 JJ2 using II12 assms
using inj-on-lift[of I0 P Q F] by auto
hence 4: II1 Int II2 = {} using II12 Q unfolding part-def by auto
show JJ1 ∩ JJ2 = {}
proof(rule ccontr)
assume JJ1 ∩ JJ2 ≠ {}
then obtain j where j: j ∈ JJ1 j ∈ JJ2 by auto
from j obtain I1 where j ∈ F I1 and I1: I1 ∈ P I1 ⊆ II1
unfolding JJ1 lift-def [abs-def] by auto
moreover from j obtain I2 where j ∈ F I2 and I2: I2 ∈ P I2 ⊆ II2
unfolding JJ2 lift-def [abs-def] by auto
ultimately have F I1 Int F I2 ≠ {} by blast
hence F I1 = F I2 using I1 I2 FP unfolding part-def by blast
hence I1 = I2 using I1 I2 F unfolding inj-on-def by auto
moreover have I1 ≠ {} using I1 emp by auto
ultimately have II1 Int II2 ≠ {} using I1 I2 by auto
thus False using 4 by simp
qed
qed

lemma finer-lift:
assumes finer P Q
shows finer (F ' P) (lift P F ' Q)
unfolding finer-def proof (intro conjI ballI impI)
fix JJ assume JJ: JJ ∈ lift P F ' Q
show JJ = ⋃ {J ∈ F ' P. J ⊆ JJ}
proof safe
fix j assume j: j ∈ JJ
obtain II where II: II ∈ Q and JJ: JJ = lift P F II using JJ by auto
then obtain I where j: j ∈ F I and I: I ∈ P ∧ I ⊆ II and F I ⊆ JJ
using j unfolding lift-def [abs-def] by auto
thus j ∈ ⋃ {J ∈ F ' P. J ⊆ JJ} using I j by auto
qed auto
next
assume F ' P ≠ {}
thus lift P F ' Q ≠ {}
using assms unfolding lift-def [abs-def] finer-def by simp

```

qed

2.3 Basic setting for bisimilarity

```
locale PL-Indis =  
  PL aval tval cval  
  for aval :: 'atom  $\Rightarrow$  'state  $\Rightarrow$  'state and  
    tval :: 'test  $\Rightarrow$  'state  $\Rightarrow$  bool and  
    cval :: 'choice  $\Rightarrow$  'state  $\Rightarrow$  real +  
  fixes  
    indis :: 'state rel  
  assumes  
    equiv-indis: equiv UNIV indis
```

```
context PL-Indis  
begin
```

```
abbreviation indisAbbrev (infix  $\approx$  50)  
where s1  $\approx$  s2  $\equiv$  (s1, s2)  $\in$  indis
```

```
lemma refl-indis: refl indis  
and trans-indis: trans indis  
and sym-indis: sym indis  
using equiv-indis unfolding equiv-def by auto
```

```
lemma indis-refl[intro]: s  $\approx$  s  
using refl-indis unfolding refl-on-def by simp
```

```
lemma indis-trans[trans]:  $\llbracket s \approx s'; s' \approx s'' \rrbracket \Longrightarrow s \approx s''$   
using trans-indis unfolding trans-def by blast
```

```
lemma indis-sym[sym]: s  $\approx$  s'  $\Longrightarrow$  s'  $\approx$  s  
using sym-indis unfolding sym-def by blast
```

2.4 Discreetness

```
coinductive discr where  
intro:  
 $(\bigwedge s i. i < \text{brn } c \longrightarrow s \approx \text{eff } c s i \wedge \text{discr } (\text{cont } c s i))$   
 $\Longrightarrow \text{discr } c$ 
```

```
definition discrL where  
discrL cl  $\equiv$   $\forall c \in \text{set } cl. \text{discr } c$ 
```

```
lemma discrL-intro[intro]:  
assumes  $\bigwedge c. c \in \text{set } cl \Longrightarrow \text{discr } c$   
shows discrL cl  
using assms unfolding discrL-def by auto
```

lemma *discrL-discr*[*simp*]:
assumes *discrL cl* **and** $c \in \text{set } cl$
shows *discr c*
using *assms unfolding discrL-def* **by** *simp*

lemma *discrL-update*[*simp*]:
assumes $cl: \text{discrL } cl$ **and** $c': \text{discr } c'$
shows $\text{discrL } (cl[n := c'])$
proof(*cases n < length cl*)
 case *True*
 show *?thesis*
 unfolding *discrL-def* **proof** *safe*
 fix c **assume** $c \in \text{set } (cl[n := c'])$
 hence $c \in \text{insert } c' (\text{set } cl)$ **using** *set-update-subset-insert* **by** *fastforce*
 thus $\text{discr } c$ **using** *assms* **by** (*cases c = c'*) *auto*
qed
qed (*insert cl, auto*)

Coinduction for discreteness:

lemma *discr-coind*[*consumes 1, case-names Hyp, induct pred: discr*]:
assumes $*$: $\text{phi } c$ **and**
 $**$: $\bigwedge c s i. \llbracket \text{phi } c ; i < \text{brn } c \rrbracket$
 $\implies s \approx \text{eff } c s i \wedge (\text{phi } (\text{cont } c s i) \vee \text{discr } (\text{cont } c s i))$
shows $\text{discr } c$
using $*$ **apply**(*induct rule: discr.coinduct*) **using** $**$ **by** *auto*

lemma *discr-raw-coind*[*consumes 1, case-names Hyp*]:
assumes $*$: $\text{phi } c$ **and**
 $**$: $\bigwedge c s i. \llbracket i < \text{brn } c ; \text{phi } c \rrbracket \implies s \approx \text{eff } c s i \wedge \text{phi } (\text{cont } c s i)$
shows $\text{discr } c$
using $*$ **apply**(*induct*) **using** $**$ **by** *blast*

Discreteness versus transition:

lemma *discr-cont*[*simp*]:
assumes $*$: $\text{discr } c$ **and** $**$: $i < \text{brn } c$
shows $\text{discr } (\text{cont } c s i)$
using $*$ **apply**(*cases rule: discr.cases*) **using** $**$ **by** *blast*

lemma *discr-eff-indis*[*simp*]:
assumes $*$: $\text{discr } c$ **and** $**$: $i < \text{brn } c$
shows $s \approx \text{eff } c s i$
using $*$ **apply**(*cases rule: discr.cases*) **using** $**$ **by** *blast*

2.5 Self-isomorphism

coinductive *siso* **where**

intro:

$\llbracket \bigwedge s i. i < \text{brn } c \implies \text{siso } (\text{cont } c s i) \rrbracket$;

$\bigwedge s t i.$
 $i < \text{brn } c \wedge s \approx t \implies$
 $\text{eff } c s i \approx \text{eff } c t i \wedge \text{wt } c s i = \text{wt } c t i \wedge \text{cont } c s i = \text{cont } c t i$
 $\implies \text{siso } c$

definition *sisoL* where
 $\text{sisoL } cl \equiv \forall c \in \text{set } cl. \text{siso } c$

lemma *sisoL-intro*[*intro*]:
assumes $\bigwedge c. c \in \text{set } cl \implies \text{siso } c$
shows *sisoL cl*
using *assms unfolding sisoL-def* by *auto*

lemma *sisoL-siso*[*simp*]:
assumes *sisoL cl* and $c \in \text{set } cl$
shows *siso c*
using *assms unfolding sisoL-def* by *simp*

lemma *sisoL-update*[*simp*]:
assumes *cl: sisoL cl* and *c': siso c'*
shows *sisoL (cl[n := c'])*
proof(*cases n < length cl*)
 case *True*
 show *?thesis*
 unfolding *sisoL-def* **proof** *safe*
 fix *c* **assume** $c \in \text{set } (cl[n := c'])$
 hence $c \in \text{insert } c' (\text{set } cl)$ **using** *set-update-subset-insert* by *fastforce*
 thus *siso c* **using** *assms* by (*cases c = c'*) *auto*
 qed
qed (*insert cl, auto*)

Coinduction for self-isomorphism:

lemma *siso-coind*[*consumes 1, case-names Obs Cont, induct pred: siso*]:
assumes $*$: *phi c* and
 $**$: $\bigwedge c s t i. \llbracket i < \text{brn } c; \text{phi } c; s \approx t \rrbracket \implies$
 $\text{eff } c s i \approx \text{eff } c t i \wedge \text{wt } c s i = \text{wt } c t i \wedge \text{cont } c s i = \text{cont } c t i$ **and**
 $***$: $\bigwedge c s i. \llbracket i < \text{brn } c; \text{phi } c \rrbracket \implies \text{phi } (\text{cont } c s i) \vee \text{siso } (\text{cont } c s i)$
shows *siso c*
using $*$ **apply**(*induct rule: siso.coinduct*) **using** $**$ $***$ by *auto*

lemma *siso-raw-coind*[*consumes 1, case-names Obs Cont*]:
assumes $*$: *phi c* and
 $***$: $\bigwedge c s t i. \llbracket i < \text{brn } c; \text{phi } c; s \approx t \rrbracket \implies$
 $\text{eff } c s i \approx \text{eff } c t i \wedge \text{wt } c s i = \text{wt } c t i \wedge \text{cont } c s i = \text{cont } c t i$ **and**
 $**$: $\bigwedge c s i. \llbracket i < \text{brn } c; \text{phi } c \rrbracket \implies \text{phi } (\text{cont } c s i)$
shows *siso c*
using $*$ **apply** *induct* **using** $**$ $***$ by *blast+*

Self-Isomorphism versus transition:

lemma *siso-cont*[simp]:
assumes *: *siso c* **and** **: $i < \text{brn } c$
shows *siso* (*cont c s i*)
using * **apply**(*cases rule: siso.cases*) **using** ** **by** *blast*

lemma *siso-cont-indis*[simp]:
assumes *: *siso c* **and** **: $s \approx t \wedge i < \text{brn } c$
shows $\text{eff } c \ s \ i \approx \text{eff } c \ t \ i \wedge \text{wt } c \ s \ i = \text{wt } c \ t \ i \wedge \text{cont } c \ s \ i = \text{cont } c \ t \ i$
using * **apply**(*cases rule: siso.cases*) **using** ** **by** *blast*

2.6 Notions of bisimilarity

Matchers

definition *mC-C-part* **where**
mC-C-part c d P F \equiv
 $\{\} \notin P \wedge \{\} \notin F \wedge P \wedge$
part $\{.. < \text{brn } c\} P \wedge \text{part } \{.. < \text{brn } d\} (F \wedge P)$

definition *mC-C-wt* **where**
mC-C-wt c d s t P F $\equiv \forall I \in P. \text{sum } (\text{wt } c \ s) \ I = \text{sum } (\text{wt } d \ t) \ (F \ I)$

definition *mC-C-eff-cont* **where**
mC-C-eff-cont theta c d s t P F \equiv
 $\forall I \ i \ j.$
 $I \in P \wedge i \in I \wedge j \in F \ I \longrightarrow$
 $\text{eff } c \ s \ i \approx \text{eff } d \ t \ j \wedge (\text{cont } c \ s \ i, \text{cont } d \ t \ j) \in \text{theta}$

definition *mC-C* **where**
mC-C theta c d s t P F \equiv
mC-C-part c d P F \wedge *inj-on F P* \wedge *mC-C-wt c d s t P F* \wedge *mC-C-eff-cont theta c d s t P F*

definition *matchC-C* **where**
matchC-C theta c d $\equiv \forall s \ t. s \approx t \longrightarrow (\exists P \ F. \text{mC-C } \text{theta } c \ d \ s \ t \ P \ F)$

definition *mC-ZOC-part* **where**
mC-ZOC-part c d s t I0 P F \equiv
 $\{\} \notin P - \{I0\} \wedge \{\} \notin F \wedge (P - \{I0\}) \wedge I0 \in P \wedge$
part $\{.. < \text{brn } c\} P \wedge \text{part } \{.. < \text{brn } d\} (F \wedge P)$

definition *mC-ZOC-wt* **where**
mC-ZOC-wt c d s t I0 P F \equiv
 $\text{sum } (\text{wt } c \ s) \ I0 < 1 \wedge \text{sum } (\text{wt } d \ t) \ (F \ I0) < 1 \longrightarrow$
 $(\forall I \in P - \{I0\}.$
 $\text{sum } (\text{wt } c \ s) \ I / (1 - \text{sum } (\text{wt } c \ s) \ I0) =$
 $\text{sum } (\text{wt } d \ t) \ (F \ I) / (1 - \text{sum } (\text{wt } d \ t) \ (F \ I0)))$

definition *mC-ZOC-eff-cont0* **where**
mC-ZOC-eff-cont0 *theta c d s t I0 F* \equiv
 $(\forall i \in I0. s \approx \text{eff } c \ s \ i \wedge (\text{cont } c \ s \ i, d) \in \text{theta}) \wedge$
 $(\forall j \in F \ I0. t \approx \text{eff } d \ t \ j \wedge (c, \text{cont } d \ t \ j) \in \text{theta})$

definition *mC-ZOC-eff-cont* **where**
mC-ZOC-eff-cont *theta c d s t I0 P F* \equiv
 $\forall I \ i \ j.$
 $I \in P - \{I0\} \wedge i \in I \wedge j \in F \ I \longrightarrow$
 $\text{eff } c \ s \ i \approx \text{eff } d \ t \ j \wedge$
 $(\text{cont } c \ s \ i, \text{cont } d \ t \ j) \in \text{theta}$

definition *mC-ZOC* **where**
mC-ZOC *theta c d s t I0 P F* \equiv
mC-ZOC-part *c d s t I0 P F* \wedge
inj-on *F P* \wedge
mC-ZOC-wt *c d s t I0 P F* \wedge
mC-ZOC-eff-cont0 *theta c d s t I0 F* \wedge
mC-ZOC-eff-cont *theta c d s t I0 P F*

definition *matchC-LC* **where**
matchC-LC *theta c d* \equiv
 $\forall s \ t. s \approx t \longrightarrow (\exists I0 \ P \ F. \text{mC-ZOC } \text{theta } c \ d \ s \ t \ I0 \ P \ F)$

lemmas *m-defs* = *mC-C-def* *mC-ZOC-def*

lemmas *m-defsAll* =
mC-C-def *mC-C-part-def* *mC-C-wt-def* *mC-C-eff-cont-def*
mC-ZOC-def *mC-ZOC-part-def* *mC-ZOC-wt-def* *mC-ZOC-eff-cont0-def* *mC-ZOC-eff-cont-def*

lemmas *match-defs* =
matchC-C-def *matchC-LC-def*

lemma *mC-C-mono*:
assumes *mC-C* *theta c d s t P F* **and** $\text{theta} \subseteq \text{theta}'$
shows *mC-C* *theta' c d s t P F*
using *assms* **unfolding** *m-defsAll* **by** *fastforce+*

lemma *matchC-C-mono*:
assumes *matchC-C* *theta c d* **and** $\text{theta} \subseteq \text{theta}'$
shows *matchC-C* *theta' c d*
using *assms* *mC-C-mono* **unfolding** *matchC-C-def* **by** *blast*

lemma *mC-ZOC-mono*:
assumes *mC-ZOC* *theta c d s t I0 P F* **and** $\text{theta} \subseteq \text{theta}'$
shows *mC-ZOC* *theta' c d s t I0 P F*
using *assms* **unfolding** *m-defsAll* *subset-eq* **by** *auto*

lemma *matchC-LC-mono*:

assumes *matchC-LC* *theta c d* **and** *theta* \subseteq *theta'*
shows *matchC-LC* *theta' c d*
using *assms mC-ZOC-mono* **unfolding** *matchC-LC-def*
by *metis*

lemma *Int-not-in-eq-emp*:
 $P \cap \{I. I \notin P\} = \{\}$
by *blast*

lemma *mC-C-mC-ZOC*:
assumes *mC-C* *theta c d s t P F*
shows *mC-ZOC* *theta c d s t* $\{\}$ ($P \text{ Un } \{\{\}\}$) (%*I*. if $I \in P$ then $F I$ else $\{\}$)
(is *mC-ZOC* *theta c d s t ?I0 ?Q ?G*)
unfolding *mC-ZOC-def* **proof**(*intro conjI*)
 show *mC-ZOC-part* *c d s t ?I0 ?Q ?G*
 unfolding *mC-ZOC-part-def* **using** *assms* **unfolding** *mC-C-def* *mC-C-part-def*
 by (*auto simp add: Int-not-in-eq-emp*)
next
 show *inj-on* *?G ?Q*
 unfolding *inj-on-def* **proof** *clarify*
 fix *I1 I2* **assume** *I12: I1* \in *?Q* *I2* \in *?Q*
 and *G: ?G I1* = *?G I2*
 show *I1* = *I2*
 proof(*cases I1* \in *P*)
 case *True*
 hence *I2: I2* \in *P* **apply**(*rule-tac ccontr*)
 using *G assms* **unfolding** *mC-C-def* *mC-C-part-def* **by** *auto*
 with *True G* **have** $F I1 = F I2$ **by** *simp*
 thus *?thesis* **using** *True I2 I12 assms* **unfolding** *mC-C-def* *inj-on-def* **by**
 blast
 next
 case *False* **note** *case1 = False*
 hence *I1: I1* = $\{\}$ **using** *I12* **by** *blast*
 show *?thesis*
 proof(*cases I2* \in *P*)
 case *False*
 hence *I2* = $\{\}$ **using** *I12* **by** *blast*
 thus *?thesis* **using** *I1* **by** *blast*
 next
 case *True*
 hence *I1* \in *P* **apply**(*rule-tac ccontr*)
 using *G assms* **unfolding** *mC-C-def* *mC-C-part-def* **by** *auto*
 thus *?thesis* **using** *case1* **by** *simp*
 qed
 qed
qed
qed(*insert assms, unfold m-defsAll, fastforce+*)

lemma *matchC-C-matchC-LC*:

assumes *matchC-C theta c d*
shows *matchC-LC theta c d*
using *assms mC-C-mC-ZOC unfolding match-defs by blast*

Retracts:

definition *Sretr* **where**
Sretr theta \equiv
 $\{(c, d). \text{matchC-C } \theta \ c \ d\}$

definition *ZOretr* **where**
ZOretr theta \equiv
 $\{(c, d). \text{matchC-LC } \theta \ c \ d\}$

lemmas *Retr-defs* =
Sretr-def
ZOretr-def

lemma *mono-Retr*:
mono Sretr
mono ZOretr
unfolding *mono-def Retr-defs*
by (*auto simp add: matchC-C-mono matchC-LC-mono*)

lemma *Retr-incl*:
Sretr theta \subseteq *ZOretr theta*
unfolding *Retr-defs*
using *matchC-C-matchC-LC by blast+*

The associated bisimilarity relations:

definition *Sbis* **where** *Sbis* \equiv *gfp Sretr*
definition *ZObis* **where** *ZObis* \equiv *gfp ZOretr*

abbreviation *Sbis-abbrev* (**infix** \approx_s 55) **where** $c \approx_s d \equiv (c, d) : Sbis$
abbreviation *ZObis-abbrev* (**infix** \approx_{01} 55) **where** $c \approx_{01} d \equiv (c, d) : ZObis$

lemmas *bis-defs* = *Sbis-def ZObis-def*

lemma *bis-incl*:
Sbis \leq *ZObis*
unfolding *bis-defs*
using *Retr-incl gfp-mono by blast+*

lemma *bis-imp[simp]*:
 $\bigwedge c1 \ c2. c1 \approx_s c2 \implies c1 \approx_{01} c2$
using *bis-incl unfolding bis-defs by auto*

lemma *Sbis-prefix*:
Sbis \leq *Sretr Sbis*
unfolding *Sbis-def*
using *def-gfp-unfold mono-Retr(1)* **by** *blast*

lemma *Sbis-fix*:
Sretr Sbis = *Sbis*
unfolding *Sbis-def*
by (*metis def-gfp-unfold mono-Retr(1)*)

lemma *Sbis-mC-C*:
assumes $c \approx s$ **and** $s \approx t$
shows $\exists P F. mC-C\ Sbis\ c\ d\ s\ t\ P\ F$
using *assms Sbis-prefix* **unfolding** *Sretr-def matchC-C-def* **by** *auto*

lemma *Sbis-coind*:
assumes $\theta \leq Sretr (\theta\ Un\ Sbis)$
shows $\theta \leq Sbis$
using *assms* **unfolding** *Sbis-def*
by (*metis Sbis-def assms def-coinduct mono-Retr(1)*)

lemma *Sbis-raw-coind*:
assumes $\theta \leq Sretr\ \theta$
shows $\theta \leq Sbis$
proof –
 have $Sretr\ \theta \subseteq Sretr (\theta\ Un\ Sbis)$
 by (*metis Un-upper1 monoD mono-Retr(1)*)
 hence $\theta \subseteq Sretr (\theta\ Un\ Sbis)$ **using** *assms* **by** *blast*
 thus *?thesis* **using** *Sbis-coind* **by** *blast*
qed

lemma *mC-C-sym*:
assumes *mC-C* $\theta\ c\ d\ s\ t\ P\ F$
shows *mC-C* $(\theta^{-1})\ d\ c\ t\ s\ (F\ 'P)\ (inv\ into\ P\ F)$
unfolding *mC-C-def* **proof** (*intro conjI*)
 show *mC-C-eff-cont* $(\theta^{-1})\ d\ c\ t\ s\ (F\ 'P)\ (inv\ into\ P\ F)$
 unfolding *mC-C-eff-cont-def* **proof** *clarify*
 fix $i\ j\ I$
 assume $I: I \in P$ **and** $j: j \in F\ I$ **and** $i \in inv\ into\ P\ F\ (F\ I)$
 hence $i \in I$ **using** *assms* **unfolding** *mC-C-def* **by** *auto*
 hence $eff\ c\ s\ i \approx eff\ d\ t\ j \wedge (cont\ c\ s\ i, cont\ d\ t\ j) \in \theta$
 using *assms* $I\ j$ **unfolding** *mC-C-def mC-C-eff-cont-def* **by** *auto*
 thus $eff\ d\ t\ j \approx eff\ c\ s\ i \wedge (cont\ d\ t\ j, cont\ c\ s\ i) \in \theta^{-1}$
 by (*metis converseI indis-sym*)
qed
qed(*insert assms, unfold m-defsAll, auto*)

lemma *matchC-C-sym*:
assumes *matchC-C theta c d*
shows *matchC-C (theta ^ -1) d c*
unfolding *matchC-C-def* **proof** *clarify*
 fix *t s*
 assume *t ≈ s* **hence** *s ≈ t* **by** (*metis indis-sym*)
 then obtain *P F* **where** *mC-C theta c d s t P F*
 using *assms* **unfolding** *matchC-C-def* **by** *blast*
 hence *mC-C (theta⁻¹) d c t s (F ‘ P) (inv-into P F)*
 using *mC-C-sym* **by** *auto*
 thus $\exists Q G. mC-C (theta^{-1}) d c t s Q G$ **by** *blast*
qed

lemma *Sretr-sym*:
assumes *sym theta*
shows *sym (Sretr theta)*
unfolding *sym-def* **proof** *clarify*
 fix *c d* **assume** $(c, d) \in Sretr\ theta$
 hence $(d, c) \in Sretr (theta^{-1})$
 unfolding *Sretr-def* **using** *matchC-C-sym* **by** *simp*
 thus $(d, c) \in Sretr\ theta$
 using *assms* **by** (*metis sym-conv-converse-eq*)
qed

lemma *sym-Sbis*: *sym Sbis*
by (*metis Sbis-def Sretr-sym mono-Retr(1) sym-gfp*)

lemma *Sbis-sym*: $c \approx s d \implies d \approx s c$
using *sym-Sbis* **unfolding** *sym-def* **by** *blast*

lemma *mC-C-trans*:
assumes $*$: *mC-C theta1 c d s t P F* **and** $**$: *mC-C theta2 d e t u (F ‘ P) G*
shows *mC-C (theta1 O theta2) c e s u P (G o F)*
unfolding *mC-C-def* **proof** (*intro conjI*)
 show *mC-C-part c e P (G o F)*
 using *assms* **unfolding** *mC-C-def mC-C-part-def* **by** (*auto simp add: image-comp*)
next
 show *inj-on (G o F) P*
 using *assms* **unfolding** *mC-C-def* **by** (*auto simp add: comp-inj-on*)
next
 show *mC-C-eff-cont (theta1 O theta2) c e s u P (G o F)*
 unfolding *mC-C-eff-cont-def* **proof** *clarify*
 fix *I i k*
 assume $I: I \in P$ **and** $i: i \in I$ **and** $k: k \in (G o F) I$
 have $F I \neq \{\}$ **using** $*$ *I* **unfolding** *mC-C-def mC-C-part-def* **by** *auto*

```

then obtain  $j$  where  $j: j \in F I$  by auto
have  $\text{eff } c s i \approx \text{eff } d t j \wedge (\text{cont } c s i, \text{cont } d t j) \in \text{theta1}$ 
using  $I i j$  * unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-eff-cont-def}$  by auto
moreover
have  $\text{eff } d t j \approx \text{eff } e u k \wedge (\text{cont } d t j, \text{cont } e u k) \in \text{theta2}$ 
using  $I j k$  ** unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-eff-cont-def}$  by auto
ultimately
show  $\text{eff } c s i \approx \text{eff } e u k \wedge (\text{cont } c s i, \text{cont } e u k) \in \text{theta1 } O \text{ theta2}$ 
using  $\text{indis-trans}$  by blast
qed
qed(insert assms, unfold m-defsAll, auto)

lemma  $mC\text{-}C\text{-finer}$ :
assumes *:  $mC\text{-}C \text{ theta } c d s t P F$ 
and  $\text{theta}$ :  $\text{trans } \text{theta}$ 
and  $Q$ :  $\text{finer } P Q \text{ finite } Q \{ \} \notin Q \text{ part } \{..<\text{brn } c\} Q$ 
and  $c$ :  $\text{partCompat } Q \text{ indis } (\text{eff } c s) \text{ partCompat } Q \text{ theta } (\text{cont } c s)$ 
shows  $mC\text{-}C \text{ theta } c d s t Q$  (lift  $P F$ )
unfolding  $mC\text{-}C\text{-def}$  proof (intro conjI)
show  $mC\text{-}C\text{-part } c d Q$  (lift  $P F$ )
unfolding  $mC\text{-}C\text{-part-def}$  proof (intro conjI)
show  $\{ \} \notin \text{lift } P F ' Q$  unfolding  $\text{lift-def } [\text{abs-def}]$  proof clarify
fix  $II$  assume 1:  $\{ \} = \bigcup \{F I \mid I. I \in P \wedge I \subseteq II\}$  and  $II$ :  $II \in Q$ 
hence  $II \neq \{ \}$  using  $Q$  by auto
then obtain  $I$  where  $I: I \in P$  and  $I \subseteq II$ 
using  $Q$   $II$  unfolding  $\text{finer-def}$  by blast
hence  $F I = \{ \}$  using 1 by auto
thus  $\text{False}$  using *  $I$  unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-part-def}$  by auto
qed
next
show  $\text{part } \{..<\text{brn } d\}$  (lift  $P F ' Q$ )
using  $Q$  *  $\text{part-lift}[of \{..<\text{brn } c\} P Q F \{..<\text{brn } d\}]$ 
unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-part-def}$  by auto
qed (insert  $Q$ , auto)
next
show  $\text{inj-on } (\text{lift } P F) Q$ 
using  $Q$  *  $\text{inj-on-lift}[of \{..<\text{brn } c\} P Q F \{..<\text{brn } d\}]$ 
unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-part-def}$  by auto
next
show  $mC\text{-}C\text{-wt } c d s t Q$  (lift  $P F$ )
unfolding  $mC\text{-}C\text{-wt-def}$  proof clarify
fix  $II$  assume  $II: II \in Q$ 
define  $S$  where  $S = \{I \in P . I \subseteq II\}$ 
have  $II: II = (\bigcup I : S . \text{id } I)$  using  $II$   $Q$  unfolding  $\text{finer-def } S\text{-def}$  by auto
have  $S: \bigwedge I J. \llbracket I : S; J : S; I \neq J \rrbracket \implies \text{id } I \text{ Int } \text{id } J = \{ \}$  finite  $S$ 
unfolding  $S\text{-def}$  using *  $\text{finite-part}[of \{..<\text{brn } c\} P]$  unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-part-def } \text{part-def}$  by auto
have  $SS: \forall I \in S. \text{finite } I \forall i \in S. \text{finite } (F i)$ 
unfolding  $S\text{-def}$  using * unfolding  $mC\text{-}C\text{-def } mC\text{-}C\text{-part-def } \text{part-def}$  apply

```

```

simp-all
  apply (metis complete-lattice-class.Sup-upper finite-lessThan finite-subset)
  by (metis finite-UN finite-UnionD finite-lessThan)
  have SSS:  $\forall i \in S. \forall j \in S. i \neq j \longrightarrow F i \cap F j = \{\}$ 
  proof clarify
    fix I J assume I  $\in$  S and J  $\in$  S and diff: I  $\neq$  J
    hence IJ:  $\{I, J\} \subseteq P$  unfolding S-def by simp
    hence F I  $\neq$  F J using * diff unfolding mC-C-def inj-on-def by auto
    thus F I  $\cap$  F J =  $\{\}$  using * IJ unfolding mC-C-def mC-C-part-def part-def
  by auto
  qed
  have sum (wt c s) II = sum (sum (wt c s)) S
  unfolding II using S SS sum.UNION-disjoint[of S id wt c s] by simp
  also have ... = sum (% I. sum (wt d t) (F I)) S
  apply(rule sum.cong)
  using S apply force
  unfolding S-def using * unfolding mC-C-def mC-C-part-def mC-C-wt-def
  by auto
  also have ... = sum (wt d t) (UN I : S . F I)
  unfolding lift-def using S sum.UNION-disjoint[of S F wt d t] S SS SSS by
  simp
  also have (UN I : S . F I) = lift P F II unfolding lift-def S-def by auto
  finally show sum (wt c s) II = sum (wt d t) (lift P F II) .
  qed
next
  show mC-C-eff-cont theta c d s t Q (lift P F)
  unfolding mC-C-eff-cont-def proof clarify
    fix II i j
    assume II: II  $\in$  Q and i: i  $\in$  II and j  $\in$  lift P F II
    then obtain I where j: j  $\in$  F I and I: I  $\in$  P  $\wedge$  I  $\subseteq$  II unfolding lift-def
  by auto
  hence I  $\neq$   $\{\}$  using * unfolding mC-C-def mC-C-part-def by auto
  then obtain i' where i': i'  $\in$  I by auto
  hence 1: eff c s i'  $\approx$  eff d t j  $\wedge$  (cont c s i', cont d t j)  $\in$  theta
  using * j I unfolding mC-C-def mC-C-eff-cont-def by auto
  show eff c s i  $\approx$  eff d t j  $\wedge$  (cont c s i, cont d t j)  $\in$  theta
  proof(cases i' = i)
    case True show ?thesis using 1 unfolding True .
  next
    case False
    moreover have i'  $\in$  II using I i' by auto
    ultimately have eff c s i  $\approx$  eff c s i'  $\wedge$  (cont c s i, cont c s i')  $\in$  theta
    using i II c unfolding partCompat-def compat-def by auto
    thus ?thesis using 1 indis-trans theta unfolding trans-def by blast
  qed
  qed
  qed
  qed
lemma mC-C-partCompat-eff:

```

assumes *: $mC-C$ θ c d s t P F
shows $partCompat$ P $indis$ (eff c s)
unfolding $partCompat-def$ $compat-def$ **proof** *clarify*
fix I i i' **assume** $I: I \in P$ **and** $ii': \{i, i'\} \subseteq I$ $i \neq i'$
hence $F I \neq \{\}$ **using** * **unfolding** $m-defsAll$ **by** *blast*
then obtain j **where** $j: j \in F I$ **by** *auto*
from $ii' j I$ **have** 1: $eff\ c\ s\ i \approx eff\ d\ t\ j$
using * **unfolding** $m-defsAll$ **by** *blast*
from $ii' j I$ **have** 2: $eff\ c\ s\ i' \approx eff\ d\ t\ j$
using * **unfolding** $m-defsAll$ **by** *blast*
from 1 2 **show** $eff\ c\ s\ i \approx eff\ c\ s\ i'$
using $indis-trans$ $indis-sym$ **by** *blast*
qed

lemma $mC-C-partCompat-cont$:
assumes *: $mC-C$ θ c d s t P F
and θ : sym θ $trans$ θ
shows $partCompat$ P θ ($cont$ c s)
unfolding $partCompat-def$ $compat-def$ **proof** *clarify*
fix I i i' **assume** $I: I \in P$ **and** $ii': \{i, i'\} \subseteq I$ $i \neq i'$
hence $F I \neq \{\}$ **using** * **unfolding** $m-defsAll$ **by** *blast*
then obtain j **where** $j: j \in F I$ **by** *auto*
from $ii' j I$ **have** 1: $(cont\ c\ s\ i, cont\ d\ t\ j) \in \theta$
using * **unfolding** $m-defsAll$ **by** *blast*
from $ii' j I$ **have** 2: $(cont\ c\ s\ i', cont\ d\ t\ j) \in \theta$
using * **unfolding** $m-defsAll$ **by** *blast*
from 1 2 **show** $(cont\ c\ s\ i, cont\ c\ s\ i') \in \theta$
using θ **unfolding** $trans-def$ $sym-def$ **by** *blast*
qed

lemma $matchC-C-sym-trans$:
assumes *: $matchC-C$ θ $c1$ c **and** **: $matchC-C$ θ c $c2$
and θ : sym θ $trans$ θ
shows $matchC-C$ θ $c1$ $c2$
unfolding $matchC-C-def$ **proof** *clarify*
fix $s1$ $s2$ **assume** $s1s2: s1 \approx s2$
define s **where** $s = s1$
have $s: s \approx s1 \wedge s \approx s2$ **unfolding** $s-def$ **using** $s1s2$ **by** *auto*
have $th: \theta^{-1} = \theta$ θ O $\theta \subseteq \theta$ **using** θ
by ($metis$ $sym-conv-converse-eq$ $trans-O-subset$)
hence *: $matchC-C$ θ c $c1$ **by** ($metis$ * $matchC-C-sym$)
from s * **obtain** $P1$ $F1$ **where** $m1: mC-C$ θ c $c1$ s $s1$ $P1$ $F1$
unfolding $matchC-C-def$ **by** *blast*
from s ** **obtain** $P2$ $F2$ **where** $m2: mC-C$ θ c $c2$ s $s2$ $P2$ $F2$
unfolding $matchC-C-def$ **by** *blast*
define P **where** $P = partJoin$ $P1$ $P2$

have P :
 $finer$ $P1$ $P \wedge finer$ $P2$ $P \wedge$

```

  finite P ∧ {} ∉ P ∧ part {..< brn c} P
using m1 m2 finer-partJoin-L finite-partJoin emp-partJoin part-partJoin finite-part[of
{..< brn c} P]
unfolding P-def mC-C-def mC-C-part-def by force

have mC-C theta c c1 s s1 P (lift P1 F1)
proof(rule mC-C-finer)
  show partCompat P indis (eff c s)
  unfolding P-def apply(rule partCompat-partJoin)
  using m1 m2 sym-indis trans-indis mC-C-partCompat-eff by auto
next
  show partCompat P theta (cont c s)
  unfolding P-def apply(rule partCompat-partJoin)
  using m1 m2 theta mC-C-partCompat-cont by auto
qed(insert P m1 theta, auto)
hence A: mC-C theta c1 c s1 s (lift P1 F1 ‘ P) (inv-into P (lift P1 F1))
using mC-C-sym[of theta c c1 s s1 P lift P1 F1] unfolding th by blast

have B: mC-C theta c c2 s s2 P (lift P2 F2)
proof(rule mC-C-finer)
  show partCompat P indis (eff c s)
  unfolding P-def apply(rule partCompat-partJoin)
  using m1 m2 sym-indis trans-indis mC-C-partCompat-eff by auto
next
  show partCompat P theta (cont c s)
  unfolding P-def apply(rule partCompat-partJoin)
  using m1 m2 theta mC-C-partCompat-cont by auto
qed(insert P m2 theta, auto)

have inj-on (lift P1 F1) P
apply(rule inj-on-lift) using m1 m2 P unfolding m-defsAll by auto
hence inv-into P (lift P1 F1) ‘ lift P1 F1 ‘ P = P
by (metis inj-on-inv-into)
hence mC-C (theta O theta) c1 c2 s1 s2 (lift P1 F1 ‘ P) (lift P2 F2 o (inv-into
P (lift P1 F1)))
apply – apply(rule mC-C-trans[of theta c1 c s1 s - - theta c2 s2])
using A B by auto
hence mC-C theta c1 c2 s1 s2 (lift P1 F1 ‘ P) (lift P2 F2 o (inv-into P (lift P1
F1)))
using th mC-C-mono by blast
thus ∃ R H. mC-C theta c1 c2 s1 s2 R H by blast
qed

lemma Sretr-sym-trans:
assumes sym theta ∧ trans theta
shows trans (Sretr theta)
unfolding trans-def proof clarify
  fix c d e assume (c, d) ∈ Sretr theta and (d, e) ∈ Sretr theta
  thus (c, e) ∈ Sretr theta

```

unfolding *Sretr-def* **using** *assms matchC-C-sym-trans* **by** *blast*
qed

lemma *trans-Sbis: trans Sbis*
by (*metis Sbis-def Sretr-sym Sretr-sym-trans mono-Retr sym-trans-gfp*)

lemma *Sbis-trans: $\llbracket c \approx s d; d \approx s e \rrbracket \implies c \approx s e$*
using *trans-Sbis* **unfolding** *trans-def* **by** *blast*

lemma *ZObis-prefix:*
ZObis \leq ZOretr ZObis
unfolding *ZObis-def*
using *def-gfp-unfold mono-Retr(2)* **by** *blast*

lemma *ZObis-fix:*
ZOretr ZObis = ZObis
unfolding *ZObis-def*
by (*metis def-gfp-unfold mono-Retr(2)*)

lemma *ZObis-mC-ZOC:*
assumes *c \approx_0 d and s \approx t*
shows $\exists I0 P F. mC-ZOC ZObis c d s t I0 P F$
using *assms ZObis-prefix* **unfolding** *ZOretr-def matchC-LC-def* **by** *blast*

lemma *ZObis-coind:*
assumes *theta \leq ZOretr (theta Un ZObis)*
shows *theta \leq ZObis*
using *assms* **unfolding** *ZObis-def*
by (*metis ZObis-def assms def-coinduct mono-Retr(2)*)

lemma *ZObis-raw-coind:*
assumes *theta \leq ZOretr theta*
shows *theta \leq ZObis*
proof –
 have *ZOretr theta \subseteq ZOretr (theta Un ZObis)*
 by (*metis Un-upper1 monoD mono-Retr*)
 hence *theta \subseteq ZOretr (theta Un ZObis)* **using** *assms* **by** *blast*
 thus *?thesis* **using** *ZObis-coind* **by** *blast*
qed

lemma *mC-ZOC-sym:*
assumes *theta: sym theta and *: mC-ZOC theta c d s t I0 P F*
shows *mC-ZOC theta d c t s (F I0) (F ' P) (inv-into P F)*
unfolding *mC-ZOC-def* **proof** (*intro conjI*)

show $mC\text{-ZOC-part } d \ c \ t \ s \ (F \ I0) \ (F \ ' \ P) \ (inv\text{-into } P \ F)$
unfolding $mC\text{-ZOC-part-def}$ **proof**(*intro conjI*)
have $0: inj\text{-on } F \ P \ I0 \in P$ **using** * **unfolding** $mC\text{-ZOC-def } mC\text{-ZOC-part-def}$
by *blast+*
have $inv\text{-into } P \ F \ ' \ (F \ ' \ P - \{F \ I0\}) = inv\text{-into } P \ F \ ' \ (F \ ' \ (P - \{I0\}))$
using $0 \ inj\text{-on-image-set-diff}$ [of $F \ P \ P \ \{I0\}$, $OF - Set.Diff-subset$] **by** *simp*
also have $\dots = P - \{I0\}$ **using** 0 **by** (*metis Diff-subset inv-into-image-cancel*)
finally have $inv\text{-into } P \ F \ ' \ (F \ ' \ P - \{F \ I0\}) = P - \{I0\}$.
thus $\{\} \notin inv\text{-into } P \ F \ ' \ (F \ ' \ P - \{F \ I0\})$
using * **unfolding** $mC\text{-ZOC-def } mC\text{-ZOC-part-def}$ **by** *simp*

have $part \ \{..<brn \ c\} \ P$ **using** * **unfolding** $mC\text{-ZOC-def } mC\text{-ZOC-part-def}$
by *blast*
thus $part \ \{..<brn \ c\} \ (inv\text{-into } P \ F \ ' \ F \ ' \ P)$ **using** 0 **by** *auto*
qed(*insert *, unfold mC-ZOC-def mC-ZOC-part-def, blast+*)
next
have $0: inj\text{-on } F \ P \ I0 \in P$ **using** * **unfolding** $mC\text{-ZOC-def } mC\text{-ZOC-part-def}$
by *blast+*
show $mC\text{-ZOC-wt } d \ c \ t \ s \ (F \ I0) \ (F \ ' \ P) \ (inv\text{-into } P \ F)$
unfolding $mC\text{-ZOC-wt-def}$ **proof**(*intro conjI ballI impI*)
fix J **assume** $J \in F \ ' \ P - \{F \ I0\}$ **and** $le-1: sum \ (wt \ d \ t) \ (F \ I0) < 1 \wedge sum$
 $(wt \ c \ s) \ (inv\text{-into } P \ F \ (F \ I0)) < 1$
then obtain I **where** $I: I \in P - \{I0\}$ **and** $J: J = F \ I$
by (*metis image-iff member-remove remove-def*)
have $2: inv\text{-into } P \ F \ J = I$ **unfolding** J **using** $0 \ I$ **by** *simp*
have $3: inv\text{-into } P \ F \ (F \ I0) = I0$ **using** 0 **by** *simp*
show
 $sum \ (wt \ d \ t) \ J / (1 - sum \ (wt \ d \ t) \ (F \ I0)) =$
 $sum \ (wt \ c \ s) \ (inv\text{-into } P \ F \ J) / (1 - sum \ (wt \ c \ s) \ (inv\text{-into } P \ F \ (F \ I0)))$
unfolding $2 \ 3$ **unfolding** J
using * $I \ le-1$ **unfolding** $mC\text{-ZOC-def } mC\text{-ZOC-wt-def}$ **by** (*metis 3 J*)
qed
next
show $mC\text{-ZOC-eff-cont } theta \ d \ c \ t \ s \ (F \ I0) \ (F \ ' \ P) \ (inv\text{-into } P \ F)$
unfolding $mC\text{-ZOC-eff-cont-def}$ **proof**(*intro allI impI, elim conjE*)
fix $i \ j \ J$
assume $J \in F \ ' \ P - \{F \ I0\}$ **and** $j: j \in J$ **and** $i: i \in inv\text{-into } P \ F \ J$
then obtain I **where** $J: J = F \ I$ **and** $I: I \in P - \{I0\}$
by (*metis image-iff member-remove remove-def*)
hence $i \in I$ **using** *assms i* **unfolding** $mC\text{-ZOC-def}$ **by** *auto*
hence $eff \ c \ s \ i \approx eff \ d \ t \ j \wedge (cont \ c \ s \ i, cont \ d \ t \ j) \in theta$
using *assms I j* **unfolding** $mC\text{-ZOC-def } mC\text{-ZOC-eff-cont-def } J$ **by** *auto*
thus $eff \ d \ t \ j \approx eff \ c \ s \ i \wedge (cont \ d \ t \ j, cont \ c \ s \ i) \in theta$
by (*metis assms indis-sym sym-def*)
qed
qed(*insert assms, unfold sym-def m-defsAll, auto*)

lemma *matchC-LC-sym:*

assumes *: *sym theta* **and** *matchC-LC theta c d*

shows *matchC-LC* *theta d c*
unfolding *matchC-LC-def* **proof** *clarify*
fix *t s*
assume $t \approx s$ **hence** $s \approx t$ **by** (*metis indis-sym*)
then obtain $I0 P F$ **where** *mC-ZOC* *theta c d s t I0 P F*
using *assms* **unfolding** *matchC-LC-def* **by** *blast*
hence *mC-ZOC* *theta d c t s (F I0) (F ' P) (inv-into P F)*
using *mC-ZOC-sym* * **by** *auto*
thus $\exists I0 Q G.$ *mC-ZOC* *theta d c t s I0 Q G* **by** *blast*
qed

lemma *ZOretr-sym*:
assumes *sym theta*
shows *sym (ZOretr theta)*
unfolding *sym-def* **proof** *clarify*
fix *c d* **assume** $(c, d) \in ZOretr\ theta$
hence $(d, c) \in ZOretr\ theta$
unfolding *ZOretr-def* **using** *assms matchC-LC-sym* **by** *simp*
thus $(d, c) \in ZOretr\ theta$
using *assms* **by** (*metis sym-conv-converse-eq*)
qed

lemma *sym-ZObis*: *sym ZObis*
by (*metis ZObis-def ZOretr-sym mono-Retr sym-gfp*)

lemma *ZObis-sym*: $c \approx_{01} d \implies d \approx_{01} c$
using *sym-ZObis* **unfolding** *sym-def* **by** *blast*

2.7 List versions of the bisimilarities

definition *SbisL* **where**
SbisL cl dl \equiv
 $length\ cl = length\ dl \wedge (\forall n < length\ cl. cl ! n \approx s\ dl ! n)$

lemma *SbisL-intro*[*intro*]:
assumes $length\ cl = length\ dl$ **and**
 $\bigwedge n. [n < length\ cl; n < length\ dl] \implies cl ! n \approx s\ dl ! n$
shows *SbisL cl dl*
using *assms* **unfolding** *SbisL-def* **by** *auto*

lemma *SbisL-length*[*simp*]:
assumes *SbisL cl dl*
shows $length\ cl = length\ dl$
using *assms* **unfolding** *SbisL-def* **by** *simp*

lemma *SbisL-Sbis*[*simp*]:
assumes *SbisL cl dl* **and** $n < length\ cl \vee n < length\ dl$
shows $cl ! n \approx s\ dl ! n$
using *assms* **unfolding** *SbisL-def* **by** *simp*

lemma *SbisL-update[simp]*:
assumes *cddl*: *SbisL cl dl* **and** *c'd'*: $c' \approx_s d'$
shows *SbisL* (*cl* [*n* := *c*']) (*dl* [*n* := *d*']) (**is** *SbisL* ?*cl'* ?*dl'*)
proof(*cases n < length cl*)
 case *True*
 show ?*thesis* **proof**
 fix *m* **assume** *m*: $m < \text{length } ?cl' \ m < \text{length } ?dl'$
 thus ?*cl'* ! *m* \approx_s ?*dl'* ! *m* **using** *assms* **by** (*cases m = n*) *auto*
 qed (*insert cddl, simp*)
qed (*insert cddl, simp*)

lemma *SbisL-update-L[simp]*:
assumes *SbisL cl dl* **and** $c' \approx_s dl!n$
shows *SbisL* (*cl*[*n* := *c*']) *dl*
proof –
 let ?*d'* = *dl!n*
 have *SbisL* (*cl*[*n* := *c*']) (*dl*[*n* := ?*d'*'])
 apply(*rule SbisL-update*) **using** *assms* **by** *auto*
 thus ?*thesis* **by** *simp*
qed

lemma *SbisL-update-R[simp]*:
assumes *SbisL cl dl* **and** $cl!n \approx_s d'$
shows *SbisL cl* (*dl*[*n* := *d*'])
proof –
 let ?*c'* = *cl!n*
 have *SbisL* (*cl*[*n* := ?*c'*']) (*dl*[*n* := *d*'])
 apply(*rule SbisL-update*) **using** *assms* **by** *auto*
 thus ?*thesis* **by** *simp*
qed

definition *ZObisL* **where**
ZObisL cl dl \equiv
 $\text{length } cl = \text{length } dl \wedge (\forall n < \text{length } cl. cl ! n \approx_{01} dl ! n)$

lemma *ZObisL-intro[intro]*:
assumes $\text{length } cl = \text{length } dl$ **and**
 $\bigwedge n. [n < \text{length } cl; n < \text{length } dl] \implies cl ! n \approx_{01} dl ! n$
shows *ZObisL cl dl*
using *assms* **unfolding** *ZObisL-def* **by** *auto*

lemma *ZObisL-length[simp]*:
assumes *ZObisL cl dl*
shows $\text{length } cl = \text{length } dl$
using *assms* **unfolding** *ZObisL-def* **by** *simp*

lemma *ZObisL-ZObis[simp]*:
assumes *ZObisL cl dl* **and** $n < \text{length } cl \vee n < \text{length } dl$
shows $cl ! n \approx 01 \ dl ! n$
using *assms unfolding ZObisL-def by simp*

lemma *ZObisL-update[simp]*:
assumes *cddl: ZObisL cl dl* **and** $c'd': c' \approx 01 \ d'$
shows *ZObisL (cl [n := c']) (dl [n := d'])* (**is** *ZObisL ?cl' ?dl'*)
proof(*cases n < length cl*)
 case *True*
 show *?thesis proof*
 fix *m* **assume** $m: m < \text{length } ?cl' \ m < \text{length } ?dl'$
 thus $?cl' ! m \approx 01 \ ?dl' ! m$ **using** *assms by (cases m = n) auto*
 qed (*insert cddl, simp*)
qed (*insert cddl, simp*)

lemma *ZObisL-update-L[simp]*:
assumes *ZObisL cl dl* **and** $c' \approx 01 \ dl!n$
shows *ZObisL (cl[n := c']) dl*
proof–
 let $?d' = dl!n$
 have *ZObisL (cl[n := c']) (dl[n := ?d'])*
 apply(*rule ZObisL-update*) **using** *assms by auto*
 thus *?thesis by simp*
qed

lemma *ZObisL-update-R[simp]*:
assumes *ZObisL cl dl* **and** $cl!n \approx 01 \ d'$
shows *ZObisL cl (dl[n := d'])*
proof–
 let $?c' = cl!n$
 have *ZObisL (cl[n := ?c']) (dl[n := d'])*
 apply(*rule ZObisL-update*) **using** *assms by auto*
 thus *?thesis by simp*
qed

2.8 Discreteness for configurations

coinductive *discrCf* **where**

intro:

$(\bigwedge i. i < \text{brn } (fst \ cf) \longrightarrow$
 $\quad \text{snd } cf \approx \text{snd } (\text{cont-eff } cf \ i) \wedge \text{discrCf } (\text{cont-eff } cf \ i))$
 $\implies \text{discrCf } cf$

Coinduction for discrness:

lemma *discrCf-coind[consumes 1, case-names Hyp, induct pred: discr]*:
assumes $*$: *phi cf* **and**
 $**$: $\bigwedge cf \ i.$
 $\llbracket i < \text{brn } (fst \ cf); \text{phi } cf \rrbracket \implies$

$snd\ cf \approx snd\ (cont\text{-}eff\ cf\ i) \wedge (phi\ (cont\text{-}eff\ cf\ i) \vee discrCf\ (cont\text{-}eff\ cf\ i))$
shows $discrCf\ cf$
using * **apply**(*induct rule: discrCf.coinduct*) **using** ** **by** *auto*

lemma *discrCf-raw-coind*[*consumes 1, case-names Hyp*]:
assumes *: *phi cf* **and**
** : $\bigwedge\ cf\ i.\ \llbracket i < brn\ (fst\ cf); phi\ cf \rrbracket \implies$
 $snd\ cf \approx snd\ (cont\text{-}eff\ cf\ i) \wedge phi\ (cont\text{-}eff\ cf\ i)$
shows $discrCf\ cf$
using * **apply**(*induct*) **using** ** **by** *blast*

Discreetness versus transition:

lemma *discrCf-cont*[*simp*]:
assumes *: $discrCf\ cf$ **and** **: $i < brn\ (fst\ cf)$
shows $discrCf\ (cont\text{-}eff\ cf\ i)$
using * **apply**(*cases rule: discrCf.cases*) **using** ** **by** *blast*

lemma *discrCf-eff-indis*[*simp*]:
assumes *: $discrCf\ cf$ **and** **: $i < brn\ (fst\ cf)$
shows $snd\ cf \approx snd\ (cont\text{-}eff\ cf\ i)$
using * **apply**(*cases rule: discrCf.cases*) **using** ** **by** *blast*

lemma *discr-discrCf*:
assumes *discr c*
shows $discrCf\ (c, s)$
proof –
{ **fix** *cf* :: (*'test, 'atom, 'choice*) *cmd* × *'state*
assume $discr\ (fst\ cf)$
hence $discrCf\ cf$
apply (*induct*)
using *discr-eff-indis discr-cont unfolding eff-def cont-def cont-eff-def* **by** *auto*
}
thus *?thesis* **using** *assms* **by** *auto*
qed

lemma *ZObis-pres-discrCfL*:
assumes $fst\ cf \approx_{01}\ fst\ df$ **and** $snd\ cf \approx snd\ df$ **and** $discrCf\ df$
shows $discrCf\ cf$
proof –
have $\exists\ df.\ fst\ cf \approx_{01}\ fst\ df \wedge snd\ cf \approx snd\ df \wedge discrCf\ df$ **using** *assms* **by**
blast
thus *?thesis*
proof (*induct rule: discrCf-raw-coind*)
case (*Hyp cf i*)
then obtain *df* **where** $i: i < brn\ (fst\ cf)$ **and**
 $df: discrCf\ df$ **and** $cf\text{-}df: fst\ cf \approx_{01}\ fst\ df\ snd\ cf \approx snd\ df$ **by** *blast*
then obtain *I0 P F* **where**
 $match: mC\text{-}ZOC\ ZObis\ (fst\ cf)\ (fst\ df)\ (snd\ cf)\ (snd\ df)\ I0\ P\ F$
using *ZObis-mC-ZOC* **by** *blast*

```

hence  $\bigcup P = \{..<brn (fst cf)\}$ 
using i unfolding mC-ZOC-def mC-ZOC-part-def part-def by simp
then obtain I where I: I ∈ P and i: i ∈ I using i by auto
show ?case
proof(cases I = I0)
  case False
    then obtain j where j: j ∈ F I using match I False unfolding m-defsAll
by blast
  hence j < brn (fst df) using I match
  unfolding mC-ZOC-def mC-ZOC-part-def part-def apply simp by blast
  hence md: discrCf (cont-eff df j) and s: snd df ≈ snd (cont-eff df j)
  using df discrCf-cont[of df j] discrCf-eff-indis[of df j] by auto
  have 0: snd (cont-eff cf i) ≈ snd (cont-eff df j) and
  md2: fst (cont-eff cf i) ≈01 fst (cont-eff df j)
  using I i j match False unfolding mC-ZOC-def mC-ZOC-eff-cont-def
  unfolding eff-def cont-def cont-eff-def by auto
  hence snd cf ≈ snd (cont-eff cf i) using s indis-sym indis-trans cf-df by
blast
  thus ?thesis using md md2 0 by blast
next
  case True
  hence snd cf ≈ snd (cont-eff cf i) ∧ fst (cont-eff cf i) ≈01 fst df
  using match i ZObis-sym unfolding mC-ZOC-def mC-ZOC-eff-cont0-def
  unfolding cont-eff-def cont-def eff-def by blast
  moreover have snd (cont-eff cf i) ≈ snd df
  using match i indis-sym indis-trans cf-df unfolding mC-ZOC-def mC-ZOC-eff-cont0-def
  unfolding cont-eff-def cont-def eff-def True by blast
  ultimately show ?thesis using df cf-df by blast
  qed
qed
qed

corollary ZObis-pres-discrCfR:
assumes discrCf cf and fst cf ≈01 fst df and snd cf ≈ snd df
shows discrCf df
using assms ZObis-pres-discrCfL ZObis-sym indis-sym by blast

end

end

```

3 Trace-Based Noninterference

```

theory Trace-Based
  imports Resumption-Based
begin

```

3.1 Preliminaries

lemma *dist-sum*:

fixes $f :: 'a \Rightarrow \text{real}$ and $g :: 'a \Rightarrow \text{real}$
 assumes $\bigwedge i. i \in I \implies \text{dist } (f\ i) (g\ i) \leq e\ i$
 shows $\text{dist } (\sum_{i \in I}. f\ i) (\sum_{i \in I}. g\ i) \leq (\sum_{i \in I}. e\ i)$

proof *cases*

assume *finite I from this assms show ?thesis*

proof *induct*

case *(insert i I)*

then have $\text{dist } (\sum_{i \in \text{insert } i\ I}. f\ i) (\sum_{i \in \text{insert } i\ I}. g\ i) \leq \text{dist } (f\ i) (g\ i) + \text{dist } (\sum_{i \in I}. f\ i) (\sum_{i \in I}. g\ i)$

by *(simp add: dist-triangle-add)*

also have $\dots \leq e\ i + (\sum_{i \in I}. e\ i)$

using *insert by (intro add-mono) auto*

finally show *?case using insert by simp*

qed *simp*

qed *simp*

lemma *dist-mult[simp]*: $\text{dist } (x * y) (x * z) = |x| * \text{dist } y\ z$

unfolding *dist-real-def abs-mult[symmetric] right-diff-distrib ..*

lemma *dist-divide[simp]*: $\text{dist } (y / r) (z / r) = \text{dist } y\ z / |r|$

unfolding *dist-real-def abs-divide[symmetric] diff-divide-distrib ..*

lemma *dist-weighted-sum*:

fixes $f :: 'a \Rightarrow \text{real}$ and $g :: 'b \Rightarrow \text{real}$

assumes *eps*: $\bigwedge i\ j. i \in I \implies j \in J \implies w\ i \neq 0 \implies v\ j \neq 0 \implies \text{dist } (f\ i) (g\ j) \leq d\ i + e\ j$

and *pos*: $\bigwedge i. i \in I \implies 0 \leq w\ i \bigwedge j. j \in J \implies 0 \leq v\ j$

and *sum*: $(\sum_{i \in I}. w\ i) = 1$ $(\sum_{j \in J}. v\ j) = 1$

shows $\text{dist } (\sum_{i \in I}. w\ i * f\ i) (\sum_{j \in J}. v\ j * g\ j) \leq (\sum_{i \in I}. w\ i * d\ i) + (\sum_{j \in J}. v\ j * e\ j)$

proof *-*

let $?W\ h = (\sum_{(i,j) \in I \times J}. (w\ i * v\ j) * h\ (i,j))$

{ fix $g :: 'b \Rightarrow \text{real}$

have $(\sum_{j \in J}. v\ j * g\ j) = (\sum_{i \in I}. w\ i) * (\sum_{j \in J}. v\ j * g\ j)$

using *sum by simp*

also have $\dots = ?W\ (g \circ \text{snd})$

by *(simp add: ac-simps sum-product sum.cartesian-product)*

finally have $(\sum_{j \in J}. v\ j * g\ j) = ?W\ (g \circ \text{snd})$. }

moreover

{ fix $f :: 'a \Rightarrow \text{real}$

have $(\sum_{i \in I}. w\ i * f\ i) = (\sum_{i \in I}. w\ i * f\ i) * (\sum_{j \in J}. v\ j)$

using *sum by simp*

also have $\dots = ?W\ (f \circ \text{fst})$

unfolding *sum-product sum.cartesian-product by (simp add: ac-simps)*

finally have $(\sum_{i \in I}. w\ i * f\ i) = ?W\ (f \circ \text{fst})$. }

moreover

{ have $\text{dist } (?W\ (f \circ \text{fst})) (?W\ (g \circ \text{snd})) \leq ?W\ (\lambda(i,j). d\ i + e\ j)$

```

    using eps pos
    by (intro dist-sum)
      (auto simp: mult-le-cancel-left zero-less-mult-iff mult-le-0-iff not-le[symmetric])
    also have ... = ?W (d ∘ fst) + ?W (e ∘ snd)
      by (auto simp add: sum.distrib[symmetric] field-simps intro!: sum.cong)
    finally have dist (?W (f ∘ fst)) (?W (g ∘ snd)) ≤ ?W (d ∘ fst) + ?W (e ∘ snd)
  by simp }
  ultimately show ?thesis by simp
qed

```

```

lemma field-abs-le-zero-epsilon:
  fixes x :: 'a::{linordered-field}
  assumes epsilon:  $\bigwedge e. 0 < e \implies |x| \leq e$ 
  shows  $|x| = 0$ 
proof (rule antisym)
  show  $|x| \leq 0$ 
  proof (rule field-le-epsilon)
    fix e :: 'a assume  $0 < e$  then show  $|x| \leq 0 + e$  by simp fact
  qed
qed simp

```

```

lemma nat-nat-induct[case-names less]:
  fixes P :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool
  assumes less:  $\bigwedge n m. (\bigwedge j k. j + k < n + m \implies P j k) \implies P n m$ 
  shows P n m
proof -
  define N where  $N \equiv n + m$ 
  then show ?thesis
  proof (induct N arbitrary: n m rule: nat-less-induct)
    case 1 show P n m
      apply (rule less)
      apply (rule 1[rule-format])
      apply auto
      done
  qed
qed

```

```

lemma part-insert:
  assumes part A P assumes  $X \cap A = \{\}$ 
  shows part (A  $\cup$  X) (insert X P)
  using assms by (auto simp: part-def)

```

```

lemma part-insert-subset:
  assumes X: part (A - X) P  $X \subseteq A$ 
  shows part A (insert X P)
  using X part-insert[of A - X P X] by (simp add: Un-absorb2)

```

```

lemma part-is-subset:
  part S P  $\implies p \in P \implies p \subseteq S$ 

```


unfolding *part-def* **by** (*metis Union-upper*)

lemma *dist-nonneg-bounded*:

fixes $l\ u\ x\ y :: \text{real}$

assumes $l \leq x\ x \leq u\ l \leq y\ y \leq u$

shows $\text{dist } x\ y \leq u - l$

using *assms* **unfolding** *dist-real-def* **by** *arith*

lemma *integrable-count-space-finite-support*:

fixes $f :: 'a \Rightarrow 'b :: \{\text{banach, second-countable-topology}\}$

shows $\text{finite } \{x \in X. f\ x \neq 0\} \implies \text{integrable } (\text{count-space } X)\ f$

by (*auto simp: nn-integral-count-space integrable-iff-bounded*)

lemma *lebesgue-integral-point-measure*:

fixes $g :: - \Rightarrow \text{real}$

assumes $f: \text{finite } \{a \in A. 0 < f\ a \wedge g\ a \neq 0\}$

shows $\text{integral}^L (\text{point-measure } A\ f)\ g = (\sum a | a \in A \wedge 0 < f\ a \wedge g\ a \neq 0. f\ a * g\ a)$

proof –

have $\text{eq}: \{a \in A. \max\ 0\ (f\ a) \neq 0 \wedge g\ a \neq 0\} = \{a \in A. 0 < f\ a \wedge g\ a \neq 0\}$

by *auto*

have $*$: $\text{ennreal } (f\ x) = \text{ennreal } (\max\ 0\ (f\ x))$ **for** x

by (*cases* $0 \leq f\ x$) (*auto simp: max.absorb1 ennreal-neg*)

show *?thesis*

unfolding *point-measure-def* $*$

using f

apply (*subst integral-real-density*)

apply (*auto simp add: integral-real-density lebesgue-integral-count-space-finite-support*

eq

intro!: sum.cong)

done

qed

lemma (*in finite-measure*) *finite-measure-dist*:

assumes $AE: AE\ x\ \text{in } M. x \notin C \longrightarrow (x \in A \longleftrightarrow x \in B)$

assumes $\text{sets}: A \in \text{sets } M\ B \in \text{sets } M\ C \in \text{sets } M$

shows $\text{dist } (\text{measure } M\ A)\ (\text{measure } M\ B) \leq \text{measure } M\ C$

proof –

{ **have** $\text{measure } M\ A \leq \text{measure } M\ (B \cup C)$

using $AE\ \text{sets}$ **by** (*auto intro: finite-measure-mono-AE*)

also have $\dots \leq \text{measure } M\ B + \text{measure } M\ C$

using sets **by** (*auto intro: finite-measure-subadditive*)

finally have $A: \text{measure } M\ A \leq \text{measure } M\ B + \text{measure } M\ C . \}$

moreover

{ **have** $\text{measure } M\ B \leq \text{measure } M\ (A \cup C)$

using $AE\ \text{sets}$ **by** (*auto intro: finite-measure-mono-AE*)

also have $\dots \leq \text{measure } M\ A + \text{measure } M\ C$

```

    using sets by (auto intro: finite-measure-subadditive)
    finally have B: measure M B ≤ measure M A + measure M C . }
    ultimately show ?thesis
    by (simp add: dist-real-def)
qed

```

```

lemma (in prob-space) prob-dist:
  assumes AE: AE x in M. ¬ C x → (A x ↔ B x)
  assumes sets: Measurable.pred M A Measurable.pred M B Measurable.pred M C
  shows dist P(x in M. A x) P(x in M. B x) ≤ P(x in M. C x)
  using assms by (intro finite-measure-dist) auto

```

```

lemma Least-eq-0-iff: (∃ i::nat. P i) ⇒ (LEAST i. P i) = 0 ↔ P 0
  by (metis LeastI-ex neq0-conv not-less-Least)

```

```

lemma case-nat-comp-Suc[simp]: case-nat x f ∘ Suc = f
  by auto

```

```

lemma sum-eq-0-iff:
  fixes f :: - ⇒ 'a :: {comm-monoid-add, ordered-ab-group-add}
  shows finite A ⇒ (∧ i. i ∈ A ⇒ 0 ≤ f i) ⇒ (∑ i∈A. f i) = 0 ↔ (∀ i∈A.
f i = 0)
  apply rule
  apply (blast intro: sum-nonneg-0)
  apply simp
  done

```

```

lemma sum-less-0-iff:
  fixes f :: - ⇒ 'a :: {comm-monoid-add, ordered-ab-group-add}
  shows finite A ⇒ (∧ i. i ∈ A ⇒ 0 ≤ f i) ⇒ 0 < (∑ i∈A. f i) ↔ (∃ i∈A.
0 < f i)
  using sum-nonneg[of A f] sum-eq-0-iff[of A f] by (simp add: less-le)

```

```

context PL-Indis
begin

```

```

declare emp-gen[simp del]

```

```

interpretation pmf-as-function .

```

```

lift-definition wt-pmf :: ('test, 'atom, 'choice) cmd × 'state ⇒ nat pmf is
  λ(c, s) i. if proper c then if i < brn c then wt c s i else 0 else if i = 0 then 1 else
0

```

```

proof

```

```

  let ?f = λ(c, s) i. if proper c then if i < brn c then wt c s i else 0 else if i = 0
then 1 else 0

```

```

  fix cf show ∀ i. 0 ≤ ?f cf i

```

```

    by (auto split: prod.split)

```

```

  show (∫+i. ?f cf i ∂count-space UNIV) = 1

```

by (*subst nn-integral-count-space* [**where** $A = \text{if proper (fst cf) then } \{.. < \text{brn (fst cf)}\}$ **else** $\{0\}$ **for** n])

(*auto split: prod.split*)

qed

definition $\text{trans} :: ('test, 'atom, 'choice) \text{cmd} \times 'state \Rightarrow (('test, 'atom, 'choice) \text{cmd} \times 'state) \text{pmf}$ **where**

$\text{trans cf} = \text{map-pmf } (\lambda i. \text{if proper (fst cf) then cont-eff cf } i \text{ else cf}) (\text{wt-pmf cf})$

sublocale $T?: \text{MC-syntax trans} .$

abbreviation $G \text{ cf} \equiv \text{set-pmf (trans cf)}$

lemma $\text{set-pmf-map: set-pmf (map-pmf } f \text{ } M) = f \text{ ' set-pmf } M$

using $\text{pmf-set-map[of } f]$ **by** (*simp add: comp-def fun-eq-iff*)

lemma set-pmf-wt:

$\text{set-pmf (wt-pmf cf)} = (\text{if proper (fst cf) then } \{i. i < \text{brn (fst cf)} \wedge 0 < \text{wt (fst cf) (snd cf) } i\} \text{ else } \{0\})$

by (*auto simp: set-eq-iff set-pmf-iff wt-pmf.rep-eq split: prod.split*) (*metis less-le wt-ge-0*)

lemma $G\text{-eq:}$

$G \text{ cf} = (\text{if proper (fst cf) then } \{\text{cont-eff cf } i \mid i. i < \text{brn (fst cf)} \wedge 0 < \text{wt (fst cf) (snd cf) } i\} \text{ else } \{\text{cf}\})$

by (*auto simp add: trans-def set-pmf-map set-pmf-wt*)

lemma $\text{discrCf-G: } \text{discrCf } cf \Longrightarrow cf' \in G \text{ cf} \Longrightarrow \text{discrCf } cf'$

using $\text{discrCf-cont[of } cf]$ **by** (*auto simp: G-eq split: if-split-asm*)

lemma $\text{measurable-discrCf[measurable]: Measurable.pred (count-space UNIV) discrCf}$

by *auto*

lemma $\text{measurable-indis[measurable]: Measurable.pred (count-space UNIV) } (\lambda x.$

$\text{snd } x \approx c)$

by *auto*

lemma integral-trans:

$\text{proper (fst cf)} \Longrightarrow$

$(\int x. f \text{ } x \text{ } \partial \text{trans } cf) = (\sum i < \text{brn (fst cf)}. \text{wt (fst cf) (snd cf) } i * f (\text{cont-eff cf } i))$

unfolding $\text{trans-def map-pmf-rep-eq}$

apply (*simp add: integral-distr*)

apply (*subst integral-measure-pmf[of } \{.. < \text{brn (fst cf)}\}*)

apply (*auto simp: set-pmf-wt mult-ac wt-pmf.rep-eq split: prod.split*)

done

3.2 Quasi strong termination traces

abbreviation $qsend \equiv sfirst$ (holds $discrCf$)

lemma $qsend\text{-}eq\text{-}0\text{-}iff$: $qsend\ cfT = 0 \longleftrightarrow discrCf\ (shd\ cfT)$
by ($simp\ add$: $sfirst.simps[of\ -\ cfT]$)

lemma $qsend\text{-}eq\text{-}0[simp]$: $discrCf\ cf \implies qsend\ (cf\ \#\#\ \omega) = 0$
by ($simp\ add$: $qsend\text{-}eq\text{-}0\text{-}iff$)

lemma $alw\text{-}discrCf$: $enabled\ cf\ \omega \implies discrCf\ cf \implies alw\ (holds\ discrCf)\ \omega$
by ($coinduction\ arbitrary$: $cf\ \omega$)
($auto\ simp\ add$: $HLD\text{-}iff\ elim$: $enabled.cases\ intro$: $discrCf\text{-}G\ simp\ del$: $split\text{-}paired\text{-}Ex$)

lemma $alw\text{-}discrCf\text{-}indis'$:
 $enabled\ cf\ \omega \implies discrCf\ cf \implies snd\ cf \approx t \implies alw\ (holds\ (\lambda cf'.\ snd\ cf' \approx t))$
 ω

proof ($coinduction\ arbitrary$: $cf\ \omega$)
case alw **with** $discrCf\text{-}eff\text{-}indis[of\ cf]$ **show** $?case$
by ($auto\ simp\ add$: $HLD\text{-}iff\ enabled.simps[of\ -\ \omega]\ G\text{-}eq$
 $simp\ del$: $split\text{-}paired\text{-}Ex\ discrCf\text{-}eff\text{-}indis$
 $intro!$: $exI[of\ -\ shd\ \omega]$
 $split$: $if\text{-}split\text{-}asm$)
($blast\ intro$: $indis\text{-}trans\ indis\text{-}sym$)
qed

lemma $alw\text{-}discrCf\text{-}indis$:
 $enabled\ cf\ \omega \implies discrCf\ cf \implies alw\ (holds\ (\lambda cf'.\ snd\ cf' \approx snd\ cf))\ (cf\ \#\#\ \omega)$
using $alw\text{-}discrCf\text{-}indis'[of\ cf\ \omega, OF\ -\ -\ indis\text{-}refl]$
by ($simp\ add$: $alw.simps[of\ -\ cf\ \#\#\ \omega]\ indis\text{-}refl$)

lemma $enabled\text{-}sdrop$: $enabled\ cf\ \omega \implies enabled\ ((cf\ \#\#\ \omega)\ \#\#\ n)\ (sdrop\ n\ \omega)$

proof ($induction\ n\ arbitrary$: $cf\ \omega$)
case ($Suc\ n$) **from** $Suc.IH[of\ shd\ \omega\ stl\ \omega]\ Suc.premis$ **show** $?case$
unfolding $enabled.simps[of\ cf]$ **by** $simp$
qed $simp$

lemma $sfirst\text{-}eq\text{-}eSuc$: $sfirst\ P\ \omega = eSuc\ n \longleftrightarrow (\neg P\ \omega) \wedge sfirst\ P\ (stl\ \omega) = n$
by ($subst\ sfirst.simps$) $auto$

lemma $qsend\text{-}snth$: $qsend\ \omega = enat\ n \implies discrCf\ (\omega\ \#\#\ n)$
by ($induction\ n\ arbitrary$: ω)
($simp\text{-}all\ add$: $eSuc\text{-}enat[symmetric]\ enat\text{-}0\ sfirst\text{-}eq\text{-}0\ sfirst\text{-}eq\text{-}eSuc$)

lemma $indis\text{-}iff$: $a \approx d \implies b \approx d \implies a \approx c \longleftrightarrow b \approx c$
by ($metis\ indis\text{-}trans\ indis\text{-}sym\ indis\text{-}refl$)

lemma $enabled\text{-}qsend\text{-}indis$:
assumes $enabled\ cf\ \omega\ qsend\ (cf\ \#\#\ \omega) \leq n\ qsend\ (cf\ \#\#\ \omega) \leq m$
shows $snd\ ((cf\ \#\#\ \omega)\ \#\#\ n) \approx t \longleftrightarrow snd\ ((cf\ \#\#\ \omega)\ \#\#\ m) \approx t$

proof –
from *assms* **obtain** $N :: \text{nat}$ **where** $N: \text{qsend } (cf \ \#\# \ \omega) = N$
by (*cases* *qsend* (*cf* *##* ω)) *auto*
note $\text{discr-}N = \text{qsend-snth}[OF \ \text{this}]$ **and** $\text{sd} = \text{enabled-sdrop}[OF \ \text{assms}(1), \text{ of } N]$
have $\bigwedge \omega. \omega \ \#\# \ N \ \#\# \ \text{sdrop } N \ (\text{stl } \omega) = \text{sdrop } N \ \omega$
by (*induct* N) *auto*
from *this*[*of* *cf* *##* ω] **have** $\text{eq}: (cf \ \#\# \ \omega) \ \#\# \ \text{sdrop } N \ \omega = \text{sdrop } N \ (cf \ \#\# \ \omega)$
by *simp*

from $\text{discr-}N$ *alw-discrCf-indis*[*OF* *sd* -] *assms*(2,3) **show** *?thesis*
by (*simp* *add: N alw-iff-sdrop le-iff-add*[**where** 'a=*nat*] *eq*)
(*metis* *indis-iff*)

qed

lemma *enabled-imp-UNTIL-alw-discrCf*:
 $\text{enabled } (\text{shd } \omega) \ (\text{stl } \omega) \implies (\text{not } (\text{holds } \text{discrCf}) \ \text{until } (\text{alw } (\text{holds } \text{discrCf}))) \ \omega$
proof (*coinduction* *arbitrary:* ω)
case *UNTIL* **then** **show** *?case*
using *alw-discrCf*[*of* *shd* ω *stl* ω]
by (*cases* *discrCf* (*shd* ω))
(*simp-all* *add: enabled.simps*[*of* *shd* ω] *alw.simps*[*of* - ω])

qed

lemma *less-qsend-iff-not-discrCf*:
 $\text{enabled } cf \ bT \implies n < \text{qsend } (cf \ \#\# \ bT) \longleftrightarrow \neg \text{discrCf } ((cf \ \#\# \ bT) \ \#\# \ n)$
using *enabled-imp-UNTIL-alw-discrCf*[*THEN* *less-sfirst-iff*, *of* *cf* *##* bT]
by (*simp* *add: holds.simps*[*abs-def*])

3.3 Terminating configurations

definition $\text{qstermCf } cf \longleftrightarrow (\forall cfT. \text{enabled } cf \ cfT \longrightarrow \text{qsend } (cf \ \#\# \ cfT) < \infty)$

lemma *qstermCf-E*:
 $\text{qstermCf } cf \implies cf' \in G \ cf \implies \text{qstermCf } cf'$
apply (*auto* *simp: qstermCf-def*)
apply (*erule-tac* $x=cf' \ \#\# \ cfT$ **in** *allE*)
apply (*auto* *simp: sfirst-Stream intro: enat-0 discrCf-G split: if-split-asm intro: enabled.intros*)
done

abbreviation $\text{eff-at } cf \ bT \ n \equiv \text{snd } ((cf \ \#\# \ bT) \ \#\# \ n)$

abbreviation $\text{cont-at } cf \ bT \ n \equiv \text{fst } ((cf \ \#\# \ bT) \ \#\# \ n)$

definition $\text{amSec } c \longleftrightarrow (\forall s1 \ s2 \ n \ t. s1 \approx s2 \longrightarrow \mathcal{P}(bT \ \text{in } T.T \ (c, \ s1). \ \text{eff-at } (c, \ s1) \ bT \ n \approx t) = \mathcal{P}(bT \ \text{in } T.T \ (c, \ s2). \ \text{eff-at } (c, \ s2) \ bT \ n \approx t))$

definition $eSec\ c \longleftrightarrow (\forall s1\ s2\ t. s1 \approx s2 \longrightarrow$
 $\mathcal{P}(bT\ in\ T.T\ (c, s1). \exists n. qsend\ ((c, s1)\ \#\# bT) = n \wedge eff-at\ (c, s1)\ bT\ n \approx$
 $t) =$
 $\mathcal{P}(bT\ in\ T.T\ (c, s2). \exists n. qsend\ ((c, s2)\ \#\# bT) = n \wedge eff-at\ (c, s2)\ bT\ n \approx$
 $t))$

definition $aeT\ c \longleftrightarrow (\forall s. AE\ bT\ in\ T.T\ (c,s). qsend\ ((c,s)\ \#\# bT) < \infty)$

lemma *dist-Ps-upper-bound:*

fixes $cf1\ cf2 :: ('test, 'atom, 'choice)\ cmd \times 'state$ **and** $s :: 'state$ **and** S
defines $S\ cf\ bT \equiv \exists n. qsend\ (cf\ \#\# bT) = n \wedge eff-at\ cf\ bT\ n \approx s$
defines $Ps\ cf \equiv \mathcal{P}(bT\ in\ T.T\ cf. S\ cf\ bT)$
defines $N\ cf\ n\ bT \equiv \neg\ discrCf\ ((cf\ \#\# bT)\ \#\# n)$
defines $Pn\ cf\ n \equiv \mathcal{P}(bT\ in\ T.T\ cf. N\ cf\ n\ bT)$
assumes *bisim: proper (fst cf1) proper (fst cf2) fst cf1 \approx 01 fst cf2 snd cf1 \approx*
snd cf2
shows $dist\ (Ps\ cf1)\ (Ps\ cf2) \leq Pn\ cf1\ n + Pn\ cf2\ m$
using *bisim proof (induct n m arbitrary: cf1 cf2 rule: nat-nat-induct)*
case (*less n m*)
note $\langle proper\ (fst\ cf1) \rangle [simp, intro]$
note $\langle proper\ (fst\ cf2) \rangle [simp, intro]$

define W **where** $W\ c = sum\ (wt\ (fst\ c)\ (snd\ c))$ **for** c
from $ZObis-mC-ZOC[OF\ \langle fst\ cf1\ \approx$ 01\ $\langle snd\ cf1\ \approx\ snd\ cf2 \rangle]$
obtain $I0\ P\ F$ **where** $mC: mC-ZOC\ ZObis\ (fst\ cf1)\ (fst\ cf2)\ (snd\ cf1)\ (snd$
 $cf2)\ I0\ P\ F$ **by** *blast*
then **have** $P: \{\} \notin P - \{I0\}$ *part* $\{..<brn\ (fst\ cf1)\}$ P **and** $I0 \in P$
and $FP: \{\} \notin F'(P - \{I0\})$ *part* $\{..<brn\ (fst\ cf2)\}$ $(F'P)$ *inj-on* $F\ P$
and $eff: \bigwedge q\ i\ j. q \in P \implies q \neq I0 \implies i \in q \implies j \in F\ q \implies eff\ (fst\ cf1)\ (snd\ cf1)$
 $i \approx eff\ (fst\ cf2)\ (snd\ cf2)\ j$
and $cont: \bigwedge q\ i\ j. q \in P \implies q \neq I0 \implies i \in q \implies j \in F\ q \implies cont\ (fst\ cf1)\ (snd$
 $cf1)\ i \approx$ 01\ $cont\ (fst\ cf2)\ (snd\ cf2)\ j$
and $wt:$
 $\bigwedge I. I \in P - \{I0\} \implies W\ cf1\ I0 < 1 \implies W\ cf2\ (F\ I0) < 1 \implies$
 $W\ cf1\ I / (1 - W\ cf1\ I0) = W\ cf2\ (F\ I) / (1 - W\ cf2\ (F\ I0))$
and $I0:$
 $\bigwedge i. i \in I0 \implies snd\ cf1 \approx eff\ (fst\ cf1)\ (snd\ cf1)\ i$
 $\bigwedge i. i \in I0 \implies cont\ (fst\ cf1)\ (snd\ cf1)\ i \approx$ 01\ $fst\ cf2$
and $F I0:$
 $\bigwedge i. i \in F\ I0 \implies snd\ cf2 \approx eff\ (fst\ cf2)\ (snd\ cf2)\ i$
 $\bigwedge i. i \in F\ I0 \implies fst\ cf1 \approx$ 01\ $cont\ (fst\ cf2)\ (snd\ cf2)\ i$
unfolding $mC-ZOC-def\ mC-ZOC-part-def\ mC-ZOC-eff-cont-def\ mC-ZOC-eff-cont0-def$
 $mC-ZOC-wt-def\ W-def$
by *simp-all*

have *finite* P *inj-on* $F\ (P - \{I0\})$ **and** FP' : *finite* $(F'P)$ $F\ I0 \in F'P$
using *finite-part[OF - P(2)] finite-part[OF - FP(2)] (I0 $\in P$) (inj-on F P)*
by (*auto intro: inj-on-diff*)

```

{ fix I i assume I ∈ P i ∈ I
  with P have 0 ≤ wt (fst cf1) (snd cf1) i
  by (auto dest: part-is-subset intro!: wt-ge-0) }
note wt1-nneg[intro] = this

{ fix I i assume I ∈ P i ∈ F I
  with FP have 0 ≤ wt (fst cf2) (snd cf2) i
  by (auto dest: part-is-subset intro!: wt-ge-0) }
note wt2-nneg[intro] = this

{ fix I assume I ∈ P
  then have 0 ≤ W cf1 I
  unfolding W-def by (auto intro!: sum-nonneg) }
note W1-nneg[intro] = this

{ fix I assume I ∈ P
  then have 0 ≤ W cf2 (F I)
  unfolding W-def by (auto intro!: sum-nonneg) }
note W2-nneg[intro] = this

show ?case
proof cases
  { fix n cf1 cf2 assume *: fst cf1 ≈01 fst cf2 snd cf1 ≈ snd cf2
    have dist (Ps cf1) (Ps cf2) ≤ Pn cf1 0
    proof cases
      assume cf1: discrCf cf1
      moreover
      note cf2 = ZObis-pres-discrCfR[OF cf1 *]
      from cf1 cf2 have S cf1 = (λbT. snd cf1 ≈ s) S cf2 = (λbT. snd cf2 ≈ s)
      unfolding S-def[abs-def] by (auto simp: enat-0[symmetric])
      moreover from ⟨snd cf1 ≈ snd cf2⟩ have snd cf1 ≈ s ↔ snd cf2 ≈ s
      by (blast intro: indis-sym indis-trans)
      ultimately show ?thesis
      using T.prob-space by (cases snd cf2 ≈ s) (simp-all add: Ps-def Pn-def
measure-nonneg)
    next
      assume cf1: ¬ discrCf cf1
      then have Pn cf1 0 = 1
      using T.prob-space by (simp add: Pn-def N-def)
      moreover have dist (Ps cf1) (Ps cf2) ≤ 1
      using dist-nonneg-bounded[where u=1 and l=0 and x=Ps cf1 and
y=Ps cf2]
      by (auto simp add: dist-real-def Ps-def measure-nonneg split: abs-split)
      ultimately show ?thesis by simp
    qed }
  note base-case = this

assume n = 0 ∨ m = 0
then show ?thesis

```

```

proof
  assume  $n = 0$ 
  moreover
  with  $T.\text{prob-space } \langle \text{fst } cf1 \approx 01 \text{ fst } cf2 \rangle \langle \text{snd } cf1 \approx \text{snd } cf2 \rangle$ 
  have  $\text{dist } (Ps \text{ } cf1) (Ps \text{ } cf2) \leq Pn \text{ } cf1 \ 0$ 
    by  $(\text{intro base-case}) (\text{auto simp: } Ps\text{-def } Pn\text{-def})$ 
  moreover have  $0 \leq Pn \text{ } cf2 \ m$ 
    by  $(\text{simp add: } Pn\text{-def measure-nonneg})$ 
  ultimately show  $?thesis$ 
    by  $\text{simp}$ 
next
  assume  $m = 0$ 
  moreover
  with  $T.\text{prob-space } \langle \text{fst } cf1 \approx 01 \text{ fst } cf2 \rangle \langle \text{snd } cf1 \approx \text{snd } cf2 \rangle$ 
  have  $\text{dist } (Ps \text{ } cf2) (Ps \text{ } cf1) \leq Pn \text{ } cf2 \ 0$ 
    by  $(\text{intro base-case}) (\text{auto simp: } Ps\text{-def } Pn\text{-def intro: indis-sym } ZO\text{bis-sym})$ 
  moreover have  $0 \leq Pn \text{ } cf1 \ n$ 
    by  $(\text{simp add: } Pn\text{-def measure-nonneg})$ 
  ultimately show  $?thesis$ 
    by  $(\text{simp add: dist-commute})$ 
qed
next
  assume  $\neg (n = 0 \vee m = 0)$ 
  then have  $n \neq 0 \ m \neq 0$  by  $\text{auto}$ 
  then obtain  $n' \ m'$  where  $nm: n = \text{Suc } n' \ m = \text{Suc } m'$ 
    by  $(\text{metis nat.exhaust})$ 

  define  $ps \ pn$ 
  where  $ps \ cf \ I = (\sum b \in I. \text{wt } (fst \ cf) \ (\text{snd } cf) \ b / W \ cf \ I * Ps \ (\text{cont-eff } cf \ b))$ 
    and  $pn \ cf \ I \ n = (\sum b \in I. \text{wt } (fst \ cf) \ (\text{snd } cf) \ b / W \ cf \ I * Pn \ (\text{cont-eff } cf \ b) \ n)$ 
  for  $cf \ I \ n$ 

  { fix  $I$  assume  $I \in P \ I \neq I0$  and  $W: W \ cf1 \ I \neq 0 \ W \ cf2 \ (F \ I) \neq 0$ 
    then have  $\text{dist } (ps \ cf1 \ I) (ps \ cf2 \ (F \ I)) \leq pn \ cf1 \ I \ n' + pn \ cf2 \ (F \ I) \ m'$ 
      unfolding  $ps\text{-def } pn\text{-def}$ 
      proof  $(\text{intro dist-weighted-sum})$ 
        fix  $i \ j$  assume  $ij: i \in I \ j \in F \ I$ 
        assume  $\text{wt } (fst \ cf1) \ (\text{snd } cf1) \ i / W \ cf1 \ I \neq 0$ 
          and  $\text{wt } (fst \ cf2) \ (\text{snd } cf2) \ j / W \ cf2 \ (F \ I) \neq 0$ 
        from  $\langle I \in P \rangle ij \ P(2) \ FP(2)$  have  $br: i < brn \ (fst \ cf1) \ j < brn \ (fst \ cf2)$ 
          by  $(\text{auto dest: part-is-subset})$ 
        show  $\text{dist } (Ps \ (\text{cont-eff } cf1 \ i)) (Ps \ (\text{cont-eff } cf2 \ j)) \leq$ 
           $Pn \ (\text{cont-eff } cf1 \ i) \ n' + Pn \ (\text{cont-eff } cf2 \ j) \ m'$ 
          proof  $(\text{rule less.hyps})$ 
            show  $n' + m' < n + m$  using  $nm$  by  $\text{simp}$ 
            show  $\text{proper } (fst \ (\text{cont-eff } cf1 \ i)) \ \text{proper } (fst \ (\text{cont-eff } cf2 \ j))$ 
              using  $br \ \text{less.premis}$  by  $(\text{auto simp: cont-eff})$ 
            show  $\text{fst } (\text{cont-eff } cf1 \ i) \approx 01 \ \text{fst } (\text{cont-eff } cf2 \ j)$ 
          
```



```

      snd (cont-eff cf1 i) ≈ snd (cont-eff cf2 j)
    using cont[OF ⟨I ∈ P⟩ ⟨I ≠ I0⟩ ij] eff[OF ⟨I ∈ P⟩ ⟨I ≠ I0⟩ ij] by (auto
simp: cont-eff)
  qed
next
  show (∑ b∈F I. wt (fst cf2) (snd cf2) b / W cf2 (F I)) = 1
    (∑ b∈I. wt (fst cf1) (snd cf1) b / W cf1 I) = 1
  using W by (auto simp: sum-divide-distrib[symmetric] sum-nonneg W-def)
  qed auto }
note dist-n'-m' = this

{ fix I assume I ∈ P I ≠ I0 and W: W cf1 I = 0 ⟷ W cf2 (F I) = 0
  have dist (ps cf1 I) (ps cf2 (F I)) ≤ pn cf1 I n' + pn cf2 (F I) m'
  proof cases
    assume W cf2 (F I) = 0
    then have W cf2 (F I) = 0 W cf1 I = 0 by (auto simp: W)
    then show ?thesis by (simp add: ps-def pn-def)
  next
    assume W cf2 (F I) ≠ 0
    then have W cf1 I ≠ 0 W cf2 (F I) ≠ 0 by (auto simp: W)
    from dist-n'-m'[OF ⟨I ∈ P⟩ ⟨I ≠ I0⟩ this] show ?thesis .
  qed }
note dist-n'-m'-W-iff = this

{ fix I j assume W: W cf2 (F I0) ≠ 0
  from ⟨I0 ∈ P⟩ have dist (∑ i∈{()}. 1 * Ps cf1) (ps cf2 (F I0)) ≤ (∑ i∈{()}.
1 * Pn cf1 n) + pn cf2 (F I0) m'
  unfolding ps-def pn-def
  proof (intro dist-weighted-sum)
    fix j assume j ∈ F I0
    with FP(2) ⟨I0 ∈ P⟩ have br: j < brn (fst cf2)
    by (auto dest: part-is-subset)
    show dist (Ps cf1) (Ps (cont-eff cf2 j)) ≤ Pn cf1 n + Pn (cont-eff cf2 j)
m'
  proof (rule less.hyps)
    show n + m' < n + m using nm by simp
    show proper (fst cf1) proper (fst (cont-eff cf2 j))
    using br by (auto simp: cont-eff)
    show fst cf1 ≈01 fst (cont-eff cf2 j)
    snd cf1 ≈ snd (cont-eff cf2 j)
    using FI0[OF ⟨j ∈ F I0⟩] ⟨snd cf1 ≈ snd cf2⟩
    by (auto simp: cont-eff intro: indis-trans)
  qed
next
  show (∑ b∈F I0. wt (fst cf2) (snd cf2) b / W cf2 (F I0)) = 1
  using W ⟨I0 ∈ P⟩ by (auto simp: sum-divide-distrib[symmetric] sum-nonneg
W-def)
  qed auto
  then have dist (Ps cf1) (ps cf2 (F I0)) ≤ Pn cf1 n + pn cf2 (F I0) m'

```

```

    by simp }
  note dist-n-m' = this

  { fix I j assume W: W cf1 I0 ≠ 0
    from ⟨I0 ∈ P⟩ have dist (ps cf1 I0) (∑ i∈{()}. 1 * Ps cf2) ≤ pn cf1 I0 n'
  + (∑ i∈{()}. 1 * Pn cf2 m)
    unfolding ps-def pn-def
    proof (intro dist-weighted-sum)
      fix i assume i ∈ I0
      with P(2) ⟨I0 ∈ P⟩ have br: i < brn (fst cf1)
        by (auto dest: part-is-subset)
      show dist (Ps (cont-eff cf1 i)) (Ps cf2) ≤ Pn (cont-eff cf1 i) n' + Pn cf2 m
      proof (rule less.hyps)
        show n' + m < n + m using nm by simp
        show proper (fst (cont-eff cf1 i)) proper (fst cf2)
          using br less.prem by (auto simp: cont-eff)
        show fst (cont-eff cf1 i) ≈01 fst cf2
          snd (cont-eff cf1 i) ≈ snd cf2
          using I0[OF ⟨i ∈ I0⟩] ⟨snd cf1 ≈ snd cf2⟩
          by (auto simp: cont-eff intro: indis-trans indis-sym)
        qed
      next
      show (∑ b∈I0. wt (fst cf1) (snd cf1) b / W cf1 I0) = 1
      using W ⟨I0 ∈ P⟩ by (auto simp: sum-divide-distrib[symmetric] sum-nonneg
W-def)
      qed auto
      then have dist (ps cf1 I0) (Ps cf2) ≤ pn cf1 I0 n' + Pn cf2 m
        by simp }
  note dist-n'-m = this

  have S-measurable[measurable]: ∧cf. Measurable.pred T.S (S cf)
    unfolding S-def[abs-def]
    by measurable

  { fix cf' cf assume cf[simp]: proper (fst cf) and cf': cf' ∈ G cf
    let ?S = λbT n. qsend bT = enat n ∧ snd (bT !! n) ≈ s
    { fix bT n assume *: ?S (cf ## cf' ## bT) n have S cf' bT
      proof (cases n)
        case 0 with * cf' show ?thesis
          by (auto simp: S-def enat-0 sfirst-Stream G-eq split: if-split-asm intro!:
exI[of - 0])
          (blast intro: indis-trans indis-sym discrCf-eff-indis)
        next
        case (Suc n) with * cf' show S cf' bT
          by (auto simp: eSuc-enat[symmetric] sfirst-Stream S-def split: if-split-asm)
          qed }
    moreover
    { fix bT n assume ?S (cf' ## bT) n with cf' have ?S (cf ## cf' ##
bT) (if discrCf cf then 0 else Suc n)

```

by (auto simp: eSuc-enat[symmetric] enat-0[symmetric] sfirst-Stream G-eq
 split: if-split-asm)
 (blast intro: indis-trans indis-sym discrCf-eff-indis)
 then have $S \text{ cf } (cf' \#\# bT)$
 by (auto simp: S-def) }
 ultimately have $AE \text{ bT in } T.T \text{ cf}'. S \text{ cf } (cf' \#\# bT) = S \text{ cf}' \text{ bT}$
 by (auto simp: S-def) }
 note $S\text{-sets} = \text{this}$

{ fix $cf :: ('test, 'atom, 'choice) \text{ cmd} \times 'state$ and $P \text{ IO } S \text{ n } st$
 assume $cf[simp]: \text{proper } (fst \text{ cf})$ and $P: \text{part } \{..<brn \text{ (fst cf)}\} P$ and finite
 $P \text{ IO} \in P$

{ fix $I \text{ i}$ assume $I \in P \text{ i} \in I$
 with P have $0 \leq wt \text{ (fst cf) (snd cf) i}$
 by (auto dest: part-is-subset intro!: wt-ge-0) }
 note $wt\text{-nneg}[simp] = \text{this}$

assume $S\text{-measurable}[measurable]: \bigwedge cf \text{ n}. \text{Measurable.pred } T.S (\lambda bT. S \text{ cf } n$
 $bT)$
 and $S\text{-next}: \bigwedge cf \text{ cf}' \text{ n}. \text{proper } (fst \text{ cf}) \implies cf' \in G \text{ cf} \implies$
 $AE \text{ bT in } T.T \text{ cf}'. S \text{ cf } (Suc \text{ n}) (cf' \#\# bT) = S \text{ cf}' \text{ n } bT$
 have $\text{finite-I}: \bigwedge I. I \in P \implies \text{finite } I$
 using $\text{finite-subset}[OF \text{ part-is-subset}[OF P]]$ by blast
 let $?P = \lambda I. \sum i \in I. wt \text{ (fst cf) (snd cf) } i * \mathcal{P}(bT \text{ in } T.T \text{ (cont-eff cf } i). S$
 $(\text{cont-eff cf } i) \text{ n } bT)$
 let $?P' = \lambda I. W \text{ cf } I * (\sum i \in I. wt \text{ (fst cf) (snd cf) } i / W \text{ cf } I * \mathcal{P}(bT \text{ in}$
 $T.T \text{ (cont-eff cf } i). S \text{ (cont-eff cf } i) \text{ n } bT))$
 have $\mathcal{P}(bT \text{ in } T.T \text{ cf}. S \text{ cf } (Suc \text{ n}) \text{ bT}) = (\int cf'. \mathcal{P}(bT \text{ in } T.T \text{ cf}'. S \text{ cf}' \text{ n}$
 $bT) \partial \text{trans cf})$
 apply (subst $T.\text{prob-T}[OF S\text{-measurable}]$)
 apply (intro integral-cong-AE)
 apply (auto simp: $AE\text{-measure-pmf-iff intro!}: T.\text{prob-eq-AE } S\text{-next } simp \text{ del:}$
 space-T)
 apply auto
 done
 also have $\dots = (\sum I \in P. ?P \text{ I})$
 unfolding $\text{integral-trans}[OF \text{ cf}]$ by (simp add: $\text{part-sum}[OF P]$)
 also have $\dots = (\sum I \in P. ?P' \text{ I})$
 using finite-I
 by (auto intro!: $\text{sum.cong } simp \text{ add: sum-distrib-left sum-nonneg-eq-0-iff}$
 $W\text{-def}$)
 also have $\dots = ?P' \text{ IO} + (\sum I \in P - \{IO\}. ?P' \text{ I})$
 unfolding $\text{sum.remove}[OF \langle \text{finite } P \rangle \langle IO \in P \rangle]$..
 finally have $\mathcal{P}(bT \text{ in } T.T \text{ cf}. S \text{ cf } (Suc \text{ n}) \text{ bT}) = \dots \text{ . } \}$
 note $P\text{-split} = \text{this}$

have $Ps1: Ps \text{ cf1} = W \text{ cf1 } \text{ IO} * ps \text{ cf1 } \text{ IO} + (\sum I \in P - \{IO\}. W \text{ cf1 } \text{ I} * ps \text{ cf1}$
 $\text{ I})$

unfolding $Ps\text{-def } ps\text{-def}$ **using** $P(2)$ $\langle finite P \rangle \langle I0 \in P \rangle$ **by** $(intro P\text{-split } S\text{-sets}) simp\text{-all}$

have $Ps\ cf2 = W\ cf2 (F\ I0) * ps\ cf2 (F\ I0) + (\sum I \in F'P - \{F\ I0\}. W\ cf2\ I * ps\ cf2\ I)$

unfolding $Ps\text{-def } ps\text{-def}$ **using** $FP(2)$ $\langle finite P \rangle \langle I0 \in P \rangle$ **by** $(intro P\text{-split } S\text{-sets}) simp\text{-all}$

moreover have $F\text{-diff}: F' P - \{F\ I0\} = F' (P - \{I0\})$

by $(auto simp: \langle inj\text{-on } F\ P \rangle [THEN\ inj\text{-on}\text{-eq}\text{-iff}] \langle I0 \in P \rangle)$

ultimately have $Ps2: Ps\ cf2 = W\ cf2 (F\ I0) * ps\ cf2 (F\ I0) + (\sum I \in P - \{I0\}. W\ cf2 (F\ I) * ps\ cf2 (F\ I))$

by $(simp\ add: sum.\text{reindex } \langle inj\text{-on } F (P - \{I0\}) \rangle)$

have $Pn1: Pn\ cf1\ n = W\ cf1\ I0 * pn\ cf1\ I0\ n' + (\sum I \in P - \{I0\}. W\ cf1\ I * pn\ cf1\ I\ n')$

unfolding $Pn\text{-def } pn\text{-def } nm$ **using** $P(2)$ $\langle finite P \rangle \langle I0 \in P \rangle$ **by** $(intro P\text{-split } (simp\text{-all } add: N\text{-def}))$

have $Pn\ cf2\ m = W\ cf2 (F\ I0) * pn\ cf2 (F\ I0)\ m' + (\sum I \in F'P - \{F\ I0\}. W\ cf2\ I * pn\ cf2\ I\ m')$

unfolding $Pn\text{-def } pn\text{-def } nm$ **using** $FP(2)$ $\langle finite P \rangle \langle I0 \in P \rangle$ **by** $(intro P\text{-split } (simp\text{-all } add: N\text{-def}))$

with $F\text{-diff}$ **have** $Pn2: Pn\ cf2\ m = W\ cf2 (F\ I0) * pn\ cf2 (F\ I0)\ m' + (\sum I \in P - \{I0\}. W\ cf2 (F\ I) * pn\ cf2 (F\ I)\ m')$

by $(simp\ add: sum.\text{reindex } \langle inj\text{-on } F (P - \{I0\}) \rangle)$

show $?thesis$

proof cases

assume $W\ cf1\ I0 = 1 \vee W\ cf2 (F\ I0) = 1$

then show $?thesis$

proof

assume $*$: $W\ cf1\ I0 = 1$

then have $W\ cf1\ I0 = W\ cf1 \{..$

also have $\dots = W\ cf1\ I0 + (\sum I \in P - \{I0\}. W\ cf1\ I)$

unfolding $\langle part \{..$

unfolding $sum.\text{remove}[OF \langle finite P \rangle \langle I0 \in P \rangle] ..$

finally have $(\sum I \in P - \{I0\}. W\ cf1\ I) = 0$ **by** $simp$

then have $\forall I \in P - \{I0\}. W\ cf1\ I = 0$

using $\langle finite P \rangle$ **by** $(subst (asm) sum\text{-nonneg}\text{-eq}\text{-0}\text{-iff}) auto$

then have $Ps\ cf1 = ps\ cf1\ I0\ Pn\ cf1\ n = pn\ cf1\ I0\ n'$

unfolding $Ps1\ Pn1 * by simp\text{-all}$

moreover note $dist\text{-}n'\text{-}m *$

ultimately show $?thesis$ **by** $simp$

next

assume $*$: $W\ cf2 (F\ I0) = 1$

then have $W\ cf2 (F\ I0) = W\ cf2 \{..$

also have $\dots = W\ cf2 (F\ I0) + (\sum I \in F' P - \{F\ I0\}. W\ cf2\ I)$

unfolding $FP(2)[THEN\ part\text{-}sum] W\text{-def}$

unfolding $sum.\text{remove}[OF\ FP'] ..$

```

finally have  $(\sum I \in F'P - \{F I0\}. W \text{ cf2 } I) = 0$  by simp
then have  $\forall I \in F'P - \{F I0\}. W \text{ cf2 } I = 0$ 
  using (finite P) by (subst (asm) sum-nonneg-eq-0-iff) auto
then have  $Ps \text{ cf2} = ps \text{ cf2 } (F I0) \ Pn \text{ cf2 } m = pn \text{ cf2 } (F I0) \ m'$ 
  unfolding Ps2 Pn2 * by (simp-all add: F-diff)
moreover note dist-n-m' *
ultimately show ?thesis by simp
qed
next
assume W-neg1:  $\neg (W \text{ cf1 } I0 = 1 \vee W \text{ cf2 } (F I0) = 1)$ 
moreover
{ fix cf :: ('test, 'atom, 'choice) cmd × 'state and I P
  assume proper (fst cf) part {..<brn (fst (cf))}  $P \ I \in P$ 
  then have  $W \text{ cf } I \leq W \text{ cf } \{..<brn (fst (cf))\}$ 
    unfolding W-def
    by (intro sum-mono2 part-is-subset) auto
  then have  $W \text{ cf } I \leq 1$  using (proper (fst cf)) by (simp add: W-def) }
ultimately have wt-less1:  $W \text{ cf1 } I0 < 1 \ W \text{ cf2 } (F I0) < 1$ 
  using FP(2) FP'(2) P(2) (I0 ∈ P)
  unfolding le-less by blast+

{ fix I assume *:  $I \in P - \{I0\}$ 
  have  $W \text{ cf1 } I = 0 \longleftrightarrow W \text{ cf2 } (F I) = 0$ 
    using wt[OF * wt-less1] wt-less1 by auto
  with * have  $dist (ps \text{ cf1 } I) (ps \text{ cf2 } (F I)) \leq pn \text{ cf1 } I \ n' + pn \text{ cf2 } (F I) \ m'$ 
    by (intro dist-n'-m'-W-iff) auto }
note dist-eps = this

{ fix a b c d :: real
  have  $dist \ a \ b = dist ((a - c) + c) ((b - d) + d)$  by simp
  also have  $\dots \leq dist (a - c) (b - d) + dist \ c \ d$ 
    using dist-triangle-add .
  finally have  $dist \ a \ b \leq dist (a - c) (b - d) + dist \ c \ d . }$ 
note dist-triangle-diff = this

have dist-diff-diff:  $\bigwedge a \ b \ c \ d :: real. dist (a - b) (c - d) \leq dist \ a \ b + dist \ c \ d$ 
  unfolding dist-real-def by auto

let ?v0 =  $W \text{ cf1 } I0$  and ?w0 =  $W \text{ cf2 } (F I0)$ 
let ?v1 =  $1 - ?v0$  and ?w1 =  $1 - ?w0$ 
let ?wQ =  $(Ps \text{ cf2} - ?w0 * ps \text{ cf2 } (F I0)) / ?w1$ 
let ?wP =  $(Ps \text{ cf1} - ?v0 * ps \text{ cf1 } I0) / ?v1$ 
let ?D =  $(?w0 * ?v1 * Ps \text{ cf1} + ?w1 * ?v0 * ps \text{ cf1 } I0)$ 
let ?E =  $(?v0 * ?w1 * Ps \text{ cf2} + ?v1 * ?w0 * ps \text{ cf2 } (F I0))$ 

have w0v0-less1:  $?w0 * ?v0 < 1 * 1$ 
  using wt-less1 (I0 ∈ P) by (intro mult-strict-mono) auto
then have neg-w0v0-nonneg:  $0 \leq 1 - ?w0 * ?v0$  by simp

```

```

let ?e1 = (∑ I∈P-{\I0}. W cf1 I / ?v1 * pn cf1 I n') +
  (∑ I∈P-{\I0}. W cf2 (F I) / ?w1 * pn cf2 (F I) m')
have dist ((1 - ?w0 * ?v0) * Ps cf1) ((1 - ?w0 * ?v0) * Ps cf2) ≤
  dist ((1 - ?w0 * ?v0) * Ps cf1 - ?D) ((1 - ?w0 * ?v0) * Ps cf2 - ?E)
+ dist ?D ?E
  by (rule dist-triangle-diff)
also have ... ≤ ?v1 * ?w1 * ?e1 + (?v1 * ?w0 * (Pn cf1 n + pn cf2 (F I0)
m') + ?w1 * ?v0 * (pn cf1 I0 n' + Pn cf2 m))
proof (rule add-mono)
  { have ?wP = (∑ I∈P-{\I0}. W cf1 I * ps cf1 I) / ?v1
    unfolding Ps1 by (simp add: field-simps)
    also have ... = (∑ I∈P-{\I0}. W cf1 I / ?v1 * ps cf1 I)
      by (subst sum-divide-distrib) simp
    finally have ?wP = (∑ I∈P-{\I0}. W cf1 I / ?v1 * ps cf1 I) . }
  moreover
  { have ?wQ = (∑ I∈P-{\I0}. W cf2 (F I) * ps cf2 (F I)) / ?w1
    using Ps2 by (simp add: field-simps)
    also have ... = (∑ I∈P-{\I0}. W cf2 (F I) / ?w1 * ps cf2 (F I))
      by (subst sum-divide-distrib) simp
    also have ... = (∑ I∈P-{\I0}. W cf1 I / ?v1 * ps cf2 (F I))
      using wt[OF - wt-less1] by simp
    finally have ?wQ = (∑ I∈P-{\I0}. W cf1 I / ?v1 * ps cf2 (F I)) . }
  ultimately
  have dist ?wP ?wQ ≤ (∑ I∈P-{\I0}. W cf1 I / ?v1 * (pn cf1 I n' + pn
cf2 (F I) m'))
    using wt-less1 dist-eps
    by (simp, intro dist-sum)
  (simp add: sum-nonneg divide-le-cancel mult-le-cancel-left not-le[symmetric]
W1-nneg)
  also have ... = ?e1
    unfolding sum.distrib[symmetric] using wt[OF - wt-less1]
    by (simp add: field-simps add-divide-distrib)
  finally have dist (?v1 * ?w1 * ?wP) (?v1 * ?w1 * ?wQ) ≤ ?v1 * ?w1 *
?e1
    using wt-less1 unfolding dist-mult by simp
  also {
    have ?v1 * ?w1 * ?wP = ?w1 * (?v0 * Ps cf1 + ?v1 * Ps cf1) - ?w1 *
?v0 * ps cf1 I0
      using wt-less1 unfolding divide-eq-eq by (simp add: field-simps)
    also have ... = (1 - ?w0 * ?v0) * Ps cf1 - ?D
      by (simp add: field-simps)
    finally have ?v1 * ?w1 * ?wP = (1 - ?w0 * ?v0) * Ps cf1 - ?D . }
  also {
    have ?v1 * ?w1 * ?wQ = ?v1 * (?w0 * Ps cf2 + ?w1 * Ps cf2) - ?v1 *
?v0 * (ps cf2 (F I0))
      using wt-less1 unfolding divide-eq-eq by (simp add: field-simps)
    also have ... = (1 - ?w0 * ?v0) * Ps cf2 - ?E
      by (simp add: field-simps)
    finally have ?v1 * ?w1 * ?wQ = (1 - ?w0 * ?v0) * Ps cf2 - ?E . }

```

finally show $\text{dist } ((1 - ?w0 * ?v0) * Ps \text{ cf1} - ?D) ((1 - ?w0 * ?v0) * Ps \text{ cf2} - ?E) \leq ?v1 * ?w1 * ?e1$.

next

have $\text{dist } ?D \text{ ?E} = \text{dist}$
 $(?v1 * ?w0 * Ps \text{ cf1} - ?v1 * ?w0 * ps \text{ cf2} (F \text{ I0}))$
 $(?w1 * ?v0 * Ps \text{ cf2} - ?w1 * ?v0 * ps \text{ cf1} \text{ I0})$

unfolding *dist-real-def* **by** (*simp add: ac-simps*)

also have $\dots \leq \text{dist } (?v1 * ?w0 * Ps \text{ cf1}) (?v1 * ?w0 * ps \text{ cf2} (F \text{ I0})) + \text{dist } (?w1 * ?v0 * Ps \text{ cf2}) (?w1 * ?v0 * ps \text{ cf1} \text{ I0})$

using *dist-diff-diff* .

also have $\dots \leq ?v1 * ?w0 * (Pn \text{ cf1 } n + pn \text{ cf2} (F \text{ I0}) \text{ m}') + ?w1 * ?v0 * (pn \text{ cf1} \text{ I0 } n' + Pn \text{ cf2 } m)$

proof (*rule add-mono*)

show $\text{dist } (?v1 * ?w0 * Ps \text{ cf1}) (?v1 * ?w0 * ps \text{ cf2} (F \text{ I0})) \leq ?v1 * ?w0 * (Pn \text{ cf1 } n + pn \text{ cf2} (F \text{ I0}) \text{ m}')$

using *wt-less1 dist-n-m' <I0 ∈ P>*

by (*simp add: sum-nonneg mult-le-cancel-left not-le[symmetric] mult-le-0-iff W2-nneg*)

show $\text{dist } (?w1 * ?v0 * Ps \text{ cf2}) (?w1 * ?v0 * ps \text{ cf1} \text{ I0}) \leq ?w1 * ?v0 * (pn \text{ cf1} \text{ I0 } n' + Pn \text{ cf2 } m)$

using *wt-less1 dist-n'-m <I0 ∈ P>*

by (*subst dist-commute*)

(simp add: sum-nonneg mult-le-cancel-left not-le[symmetric] mult-le-0-iff W1-nneg)

qed

finally show $\text{dist } ?D \text{ ?E} \leq ?v1 * ?w0 * (Pn \text{ cf1 } n + pn \text{ cf2} (F \text{ I0}) \text{ m}') + ?w1 * ?v0 * (pn \text{ cf1} \text{ I0 } n' + Pn \text{ cf2 } m)$.

qed

also have $\dots = ?w1 * (\sum_{I \in P - \{I0\}} W \text{ cf1 } I * pn \text{ cf1 } I \text{ n}') + ?v1 * (\sum_{I \in P - \{I0\}} W \text{ cf2} (F \text{ I}) * pn \text{ cf2} (F \text{ I}) \text{ m}') + ?v1 * ?w0 * (Pn \text{ cf1 } n + pn \text{ cf2} (F \text{ I0}) \text{ m}') + ?w1 * ?v0 * (pn \text{ cf1} \text{ I0 } n' + Pn \text{ cf2 } m)$

using *W-neq1* **by** (*simp add: sum-divide-distrib[symmetric] add-divide-eq-iff divide-add-eq-iff*)

also have $\dots = (1 - ?w0 * ?v0) * (Pn \text{ cf1 } n + Pn \text{ cf2 } m)$

unfolding *Pn1 Pn2* **by** (*simp add: field-simps*)

finally show $\text{dist } (Ps \text{ cf1}) (Ps \text{ cf2}) \leq Pn \text{ cf1 } n + Pn \text{ cf2 } m$

using *neg-w0v0-nonneg w0v0-less1* **by** (*simp add: mult-le-cancel-left*)

qed

qed

qed

lemma *AE-T-max-qsend-time:*

fixes *cf* **and** *e* :: *real* **assumes** *AE: AE bT in T.T cf. qsend (cf ## bT) < ∞*
 $0 < e$

shows $\exists N. \mathcal{P}(bT \text{ in } T.T \text{ cf. } \neg \text{discrCf } ((cf \text{ ## } bT) !! N)) < e$

proof –

from *AE-T-max-sfirst[OF - AE]* **obtain** *N* :: *nat*

where $\mathcal{P}(bT \text{ in } T.T \text{ cf. } N < \text{qsend } (cf \text{ ## } bT)) < e$

by *auto*
 also have $\mathcal{P}(bT \text{ in } T.T \text{ cf}. N < \text{qsend } (cf \ \#\# \ bT)) = \mathcal{P}(bT \text{ in } T.T \text{ cf}. \neg \text{discrCf } ((cf \ \#\# \ bT) \ \#\# \ N))$
 using *less-qsend-iff-not-discrCf*[of cf] *AE-T-enabled*[of cf]
 by (*intro T.prob-eq-AE*) *auto*
 finally show *?thesis ..*
 qed

lemma *Ps-eq*:

fixes *cf1 cf2 s* and *S*
 defines $S \text{ cf } bT \equiv \exists n. \text{qsend } (cf \ \#\# \ bT) = n \wedge \text{eff-at } cf \ bT \ n \approx s$
 defines $Ps \text{ cf} \equiv \mathcal{P}(bT \text{ in } T.T \text{ cf}. S \text{ cf } bT)$
 assumes *qsterm1*: *AE bT in T.T cf1. qsend (cf1 ## bT) < ∞*
 assumes *qsterm2*: *AE bT in T.T cf2. qsend (cf2 ## bT) < ∞*
 and *bisim*: *proper (fst cf1) proper (fst cf2) fst cf1 ≈01 fst cf2 snd cf1 ≈ snd cf2*
 shows $Ps \text{ cf1} = Ps \text{ cf2}$
 proof –
 let *?nT* = $\lambda cf \ n \ bT. \neg \text{discrCf } ((cf \ \#\# \ bT) \ \#\# \ n)$
 let *?PnT* = $\lambda cf \ n. \mathcal{P}(bT \text{ in } T.T \text{ cf}. ?nT \text{ cf } n \ bT)$

have $\text{dist } (Ps \text{ cf1}) \ (Ps \text{ cf2}) = 0$
 unfolding *dist-real-def*
 proof (*rule field-abs-le-zero-epsilon*)
 fix *e :: real* assume $0 < e$
 then have $0 < e / 2$ by *auto*
 from *AE-T-max-qsend-time*[OF *qsterm1 this*] *AE-T-max-qsend-time*[OF *qsterm2 this*]
 obtain *n m* where *?PnT cf1 n < e / 2* *?PnT cf2 m < e / 2* by *auto*
 moreover have $\text{dist } (Ps \text{ cf1}) \ (Ps \text{ cf2}) \leq ?PnT \text{ cf1 } n + ?PnT \text{ cf2 } m$
 unfolding *Ps-def S-def* using *bisim* by (*rule dist-Ps-upper-bound*)
 ultimately show $|Ps \text{ cf1} - Ps \text{ cf2}| \leq e$
 unfolding *dist-real-def* by *auto*
 qed
 then show $Ps \text{ cf1} = Ps \text{ cf2}$ by *auto*
 qed

lemma *siso-trace*:

assumes *siso c s ≈ t enabled (c, t) cfT*
 shows *siso (cont-at (c, s) cfT n)*
 and *cont-at (c, s) cfT n = cont-at (c, t) cfT n*
 and *eff-at (c, s) cfT n ≈ eff-at (c, t) cfT n*
 using *assms*
 proof (*induction n arbitrary: c s t cfT*)
 case (*Suc n*) case 1
 with *Suc(1)[of fst (shd cfT) snd (shd cfT) snd (shd cfT) stl cfT]* show *?case*
 by (*auto simp add: enabled.simps[of - cfT] G-eq cont-eff indis-refl split: if-split-asm*)
 qed *auto*

lemma *Sbis-trace*:


```

assumes proper (fst cf1) proper (fst cf2) fst cf1  $\approx_s$  fst cf2 snd cf1  $\approx$  snd cf2
shows  $\mathcal{P}(\text{cfT in } T.T \text{ cf1. eff-at cf1 cfT } n \approx s') = \mathcal{P}(\text{cfT in } T.T \text{ cf2. eff-at cf2}$ 
 $\text{cfT } n \approx s')$ 
  (is  $?P \text{ cf1 } n = ?P \text{ cf2 } n$ )
using assms proof (induct n arbitrary: cf1 cf2)
case 0
show ?case
proof cases
  assume snd cf1  $\approx s'$ 
  with  $\langle \text{snd cf1} \approx \text{snd cf2} \rangle \langle \text{fst cf1} \approx_s \text{fst cf2} \rangle$  have snd cf1  $\approx s'$  snd cf2  $\approx s'$ 
    by (metis indis-trans indis-sym)+
  then show ?case
    using T.prob-space by simp
next
  assume  $\neg \text{snd cf1} \approx s'$ 
  with  $\langle \text{snd cf1} \approx \text{snd cf2} \rangle \langle \text{fst cf1} \approx_s \text{fst cf2} \rangle$  have  $\neg \text{snd cf1} \approx s' \wedge \neg \text{snd cf2}$ 
 $\approx s'$ 
    by (metis indis-trans indis-sym)
  then show ?case
    by auto
qed
next
case (Suc n)
note  $\langle \text{proper (fst cf1)} \rangle[\text{simp}] \langle \text{proper (fst cf2)} \rangle[\text{simp}]$ 

from Sbis-mC-C  $\langle \text{fst cf1} \approx_s \text{fst cf2} \rangle \langle \text{snd cf1} \approx \text{snd cf2} \rangle$ 
obtain P F where mP: mC-C Sbis (fst cf1) (fst cf2) (snd cf1) (snd cf2) P F
  by blast
then have
  P: part {..  

  FP: part {..  

  W:  $\bigwedge I. I \in P \implies \text{sum (wt (fst cf1) (snd cf1)) } I = \text{sum (wt (fst cf2) (snd$ 
 $\text{cf2)) (F I)}$  and
  eff:  $\bigwedge I i j. I \in P \implies i \in I \implies j \in F I \implies$ 
eff (fst cf1) (snd cf1) i  $\approx$  eff (fst cf2) (snd cf2) j and
cont:  $\bigwedge I i j. I \in P \implies i \in I \implies j \in F I \implies$ 
cont (fst cf1) (snd cf1) i  $\approx_s$  cont (fst cf2) (snd cf2) j
unfolding mC-C-def mC-C-eff-cont-def mC-C-part-def mC-C-wt-def by metis+
{ fix cf1 :: ('test, 'atom, 'choice) cmd  $\times$  'state and P assume cf[simp]: proper
(fst cf1) and P: part {..  

  have  $?P \text{ cf1 (Suc n)} = (\int \text{cf}'. ?P \text{ cf}' n \partial \text{trans cf1})$ 
    by (subst T.prob-T) auto
  also have  $\dots = (\sum b < \text{brn (fst cf1)}. \text{wt (fst cf1) (snd cf1) } b * ?P (\text{cont-eff cf1}$ 
 $b) n)$ 
    unfolding integral-trans[OF cf] ..
  also have  $\dots = (\sum I \in P. \sum b \in I. \text{wt (fst cf1) (snd cf1) } b * ?P (\text{cont-eff cf1 } b)$ 
 $n)$ 
    unfolding part-sum[OF P] ..
  finally have  $?P \text{ cf1 (Suc n)} = \dots \}$ 

```

note $split = this$

{ **fix** $I\ i$ **assume** $I \in P\ i \in I$
with $\langle proper\ (fst\ cf1) \rangle$ **have** $i < brn\ (fst\ cf1)$
using $part-is-subset[OF\ P(1)\ \langle I \in P \rangle]$ **by** $auto$ }
note $brn-cf[simp] = this$

{ **fix** $I\ i$ **assume** $I \in P\ i \in F\ I$
with $\langle proper\ (fst\ cf2) \rangle$ **have** $i < brn\ (fst\ cf2)$
using $part-is-subset[OF\ FP(1),\ of\ F\ I]$ **by** $auto$ }
note $brn-cf2[simp] = this$

{ **fix** I **assume** $I \in P$
with $\langle \{\} \notin P \rangle$ **obtain** i **where** $i \in I$ **by** $(metis\ all-not-in-conv)$
from $\langle I \in P \rangle\ FP$ **have** $F\ I \neq \{\}$ $F\ I \subseteq \{..<brn\ (fst\ cf2)\}$
by $(auto\ simp:\ part-is-subset)$
then obtain j **where** $j < brn\ (fst\ cf2)\ j \in F\ I$ **by** $auto$
{ **fix** b **assume** $b \in F\ I$
then have $?P\ (cont-eff\ cf1\ i)\ n = ?P\ (cont-eff\ cf2\ b)\ n$
using $\langle I \in P \rangle\ \langle i \in I \rangle\ cont\ eff$
by $(intro\ Suc)\ (auto\ simp\ add:\ cont-eff)$ }
note $cont-d-const = this[symmetric]$
{ **fix** a **assume** $a \in I$
with $\langle I \in P \rangle\ \langle i \in I \rangle\ \langle j \in F\ I \rangle\ cont\ eff$
have $?P\ (cont-eff\ cf1\ i)\ n = ?P\ (cont-eff\ cf2\ j)\ n \wedge$
 $?P\ (cont-eff\ cf1\ a)\ n = ?P\ (cont-eff\ cf2\ j)\ n$
by $(intro\ conjI\ Suc)\ (auto\ simp\ add:\ cont-eff)$
then have $?P\ (cont-eff\ cf1\ a)\ n = ?P\ (cont-eff\ cf1\ i)\ n$ **by** $simp$ }
then have $(\sum\ b \in I.\ wt\ (fst\ cf1)\ (snd\ cf1)\ b * ?P\ (cont-eff\ cf1\ b)\ n) =$
 $(\sum\ b \in I.\ wt\ (fst\ cf1)\ (snd\ cf1)\ b) * ?P\ (cont-eff\ cf1\ i)\ n$
by $(simp\ add:\ sum-distrib-right)$
also have $\dots = (\sum\ b \in F\ I.\ wt\ (fst\ cf2)\ (snd\ cf2)\ b) * ?P\ (cont-eff\ cf1\ i)\ n$
using $W\ \langle I \in P \rangle$ **by** $auto$
also have $\dots = (\sum\ b \in F\ I.\ wt\ (fst\ cf2)\ (snd\ cf2)\ b) * ?P\ (cont-eff\ cf2\ b)\ n$
using $cont-d-const$ **by** $(auto\ simp\ add:\ sum-distrib-right)$
finally have $(\sum\ b \in I.\ wt\ (fst\ cf1)\ (snd\ cf1)\ b) * ?P\ (cont-eff\ cf1\ b)\ n) = \dots$
}

note $sum-eq = this$

have $?P\ cf1\ (Suc\ n) = (\sum\ I \in P.\ \sum\ b \in I.\ wt\ (fst\ cf1)\ (snd\ cf1)\ b * ?P\ (cont-eff\ cf1\ b)\ n)$
using $\langle proper\ (fst\ cf1) \rangle\ P(1)$ **by** $(rule\ split)$
also have $\dots = (\sum\ I \in P.\ \sum\ b \in F\ I.\ wt\ (fst\ cf2)\ (snd\ cf2)\ b) * ?P\ (cont-eff\ cf2\ b)\ n$
using $sum-eq$ **by** $simp$
also have $\dots = (\sum\ I \in F'P.\ \sum\ b \in I.\ wt\ (fst\ cf2)\ (snd\ cf2)\ b) * ?P\ (cont-eff\ cf2\ b)\ n$
using $\langle inj-on\ F\ P \rangle$ **by** $(simp\ add:\ sum.reindex)$
also have $\dots = ?P\ cf2\ (Suc\ n)$

using $\langle \text{proper } (fst\ cf2) \rangle FP(1)$ **by** $(rule\ split[symmetric])$
finally show $?case$.
qed

3.4 Final Theorems

theorem $ZObis-eSec$: $\llbracket \text{proper } c; c \approx_{01} c; aeT\ c \rrbracket \implies eSec\ c$
by $(auto\ simp: aeT-def\ eSec-def\ intro!: Ps-eq[simplified])$

theorem $Sbis-amSec$: $\llbracket \text{proper } c; c \approx_s c \rrbracket \implies amSec\ c$
by $(auto\ simp: amSec-def\ intro!: Sbis-trace[simplified])$

theorem $amSec-eSec$:

assumes $[simp]: \text{proper } c$ **and** $aeT\ c$ **amSec** c **shows** $eSec\ c$
proof $(unfold\ eSec-def, intro\ allI\ impI)$
fix $s1\ s2\ t$ **assume** $s1 \approx s2$
let $?T = \lambda s\ bT. \exists n. qsend\ ((c, s) \#\# bT) = n \wedge \text{eff-at } (c, s)\ bT\ n \approx t$
let $?P = \lambda s. \mathcal{P}(bT\ \text{in } T.T\ (c, s). ?T\ s\ bT)$

have $dist\ (?P\ s1)\ (?P\ s2) = 0$

unfolding $dist\ \text{real-def}$

proof $(rule\ field-abs-le-zero-epsilon)$

fix $e :: \text{real}$ **assume** $0 < e$

then have $0 < e / 2$ **by** $simp$

let $?N = \lambda s\ n\ bT. \neg \text{discrCf } (((c, s) \#\# bT) !! n)$

from $AE-T\ \text{max-qsend-time}[OF - \langle 0 < e / 2 \rangle, of\ (c, s1)]$

obtain $N1$ **where** $N1: \mathcal{P}(bT\ \text{in } T.T\ (c, s1). ?N\ s1\ N1\ bT) < e / 2$

using $\langle aeT\ c \rangle$ **unfolding** $aeT-def$ **by** $auto$

from $AE-T\ \text{max-qsend-time}[OF - \langle 0 < e / 2 \rangle, of\ (c, s2)]$

obtain $N2$ **where** $N2: \mathcal{P}(bT\ \text{in } T.T\ (c, s2). ?N\ s2\ N2\ bT) < e / 2$

using $\langle aeT\ c \rangle$ **unfolding** $aeT-def$ **by** $auto$

define N **where** $N = \max\ N1\ N2$

let $?Tn = \lambda n\ s\ bT. \text{eff-at } (c, s)\ bT\ n \approx t$

have $dist\ \mathcal{P}(bT\ \text{in } T.T\ (c, s1). ?T\ s1\ bT)\ \mathcal{P}(bT\ \text{in } T.T\ (c, s1). ?Tn\ N\ s1\ bT) \leq$

$\mathcal{P}(bT\ \text{in } T.T\ (c, s1). ?N\ s1\ N1\ bT)$

using $\langle aeT\ c \rangle[unfolding\ aeT-def, rule-format]$ $AE-T\ \text{enabled } AE\ \text{space}$

proof $(intro\ T.\text{prob-dist}, \text{eventually-elim}, intro\ impI)$

fix bT **assume** $bT: \text{enabled } (c, s1)\ bT$ **and** $\neg \neg \text{discrCf } (((c, s1) \#\# bT) !! N1)$

with bT **have** $qsend\ ((c, s1) \#\# bT) \leq N1$

using $\text{less-qsend-iff-not-discrCf}[of\ (c, s1)\ bT\ N1]$ **by** $simp$

then show $?T\ s1\ bT \longleftrightarrow ?Tn\ N\ s1\ bT$

using bT

by $(cases\ qsend\ ((c, s1) \#\# bT))$

$(auto\ intro!: \text{enabled-qsend-indis del: iffI simp: N-def})$

qed measurable

```

moreover
have dist  $\mathcal{P}(bT \text{ in } T.T (c, s2). ?T s2 bT) \mathcal{P}(bT \text{ in } T.T (c, s2). ?Tn N s2 bT) \leq$ 
   $\mathcal{P}(bT \text{ in } T.T (c, s2). ?N s2 N2 bT)$ 
using  $\langle aeT c \rangle[\text{unfolded } aeT\text{-def}, \text{rule-format}] \text{ AE-T-enabled AE-space}$ 
proof (intro T.prob-dist, eventually-elim, intro impI)
fix bT assume bT: enabled  $(c, s2) bT \neg \neg \text{discrCf } (((c, s2) \#\# bT) !! N2)$ 
with bT have qsend  $((c, s2) \#\# bT) \leq N2$ 
  using less-qsend-iff-not-discrCf[of  $(c, s2) bT N2$ ] by simp
then show  $?T s2 bT \longleftrightarrow ?Tn N s2 bT$ 
  using bT
  by (cases qsend  $((c, s2) \#\# bT)$ )
    (auto intro!: enabled-qsend-indis del: iffI simp: N-def)
qed measurable
ultimately have dist  $\mathcal{P}(bT \text{ in } T.T (c, s1). ?T s1 bT) \mathcal{P}(bT \text{ in } T.T (c, s1). ?Tn N s1 bT) +$ 
  dist  $\mathcal{P}(bT \text{ in } T.T (c, s2). ?T s2 bT) \mathcal{P}(bT \text{ in } T.T (c, s1). ?Tn N s1 bT) \leq$ 
  e
  using  $\langle amSec c \rangle[\text{unfolded } amSec\text{-def}, \text{rule-format}, OF \langle s1 \approx s2 \rangle, \text{of } N t]$ 
  using N1 N2 by simp
from dist-triangle-le[OF this]
show  $|?P s1 - ?P s2| \leq e$  by (simp add: dist-real-def)
qed
then show  $?P s1 = ?P s2$ 
  by simp
qed
end
end

```

4 Compositionality of Resumption-Based Noninterference

```

theory Compositionality
imports Resumption-Based
begin

```

```

context PL-Indis
begin

```

4.1 Compatibility and discreteness of atoms, tests and choices

definition *compatAtm* **where**

```

compatAtm atm  $\equiv$ 
  ALL s t. s \approx t \longrightarrow \text{aval } atm s \approx \text{aval } atm t

```

definition *presAtm* **where**

presAtm atm \equiv
ALL s t. s \approx *aval atm s*

definition *compatTst* **where**

compatTst tst \equiv
ALL s t. s \approx *t* \longrightarrow *tval tst s* = *tval tst t*

lemma *discrAt-compatAt[simp]*:

assumes *presAtm atm*
shows *compatAtm atm*
using *assms unfolding compatAtm-def*
by (*metis presAtm-def indis-sym indis-trans*)

definition *compatCh* **where**

compatCh ch $\equiv \forall s t. s \approx t \longrightarrow \text{cval } ch \ s = \text{cval } ch \ t$

lemma *compatCh-cval[simp]*:

assumes *compatCh ch* **and** *s* \approx *t*
shows *cval ch s* = *cval ch t*
using *assms unfolding compatCh-def* **by** *auto*

4.2 Compositionality of self-isomorphism

Self-Isomorphism versus language constructs:

lemma *siso-Done[simp]*:

siso Done
proof –
 {**fix** *c* :: (*'test, 'atom, 'choice*) *cmd*
 assume *c = Done* **hence** *siso c*
 apply *induct* **by** *auto*
 }
 thus *?thesis* **by** *blast*
qed

lemma *siso-Atm[simp]*:

siso (Atm atm) = compatAtm atm
proof –
 {**fix** *c* :: (*'test, 'atom, 'choice*) *cmd*
 assume $\exists atm. c = \text{Atm } atm \wedge \text{compatAtm } atm$
 hence *siso c*
 apply *induct*
 apply (*metis compatAtm-def eff-Atm cont-Atm wt-Atm*)
 by (*metis cont-Atm siso-Done*)
 }
 moreover **have** *siso (Atm atm) \implies compatAtm atm* **unfolding** *compatAtm-def*
 by (*metis brn.simps eff-Atm less-Suc-eq mult-less-cancel1 nat-mult-1 siso-cont-indis*)
 ultimately show *?thesis* **by** *blast*

qed

lemma *siso-Seq[simp]*:

assumes *: *siso c1* **and** **: *siso c2*

shows *siso (c1 ;; c2)*

proof –

{**fix** *c* :: ('*test*, '*atom*, '*choice*) *cmd*

assume $\exists c1\ c2. c = c1 ;; c2 \wedge \textit{siso}\ c1 \wedge \textit{siso}\ c2$

hence *siso c*

proof *induct*

case (*Obs c s t i*)

then obtain *c1 c2* **where** $i < \textit{brn}\ c1$

and $c = c1 ;; c2$ **and** *siso c1* \wedge *siso c2*

and $s \approx t$ **by** *auto*

thus ?*case* **by** (*cases finished (cont c1 s i)*) *auto*

next

case (*Cont c s i*)

then obtain *c1 c2* **where** $i < \textit{brn}\ c1$ **and**

$c = c1 ;; c2 \wedge \textit{siso}\ c1 \wedge \textit{siso}\ c2$ **by** *fastforce*

thus ?*case* **by**(*cases finished (cont c1 s i)*, *auto*)

qed

}

thus ?*thesis* **using** *assms* **by** *blast*

qed

lemma *siso-While[simp]*:

assumes *compatTst tst* **and** *siso c*

shows *siso (While tst c)*

proof –

{**fix** *c* :: ('*test*, '*atom*, '*choice*) *cmd*

assume

$(\exists \textit{tst}\ d. \textit{compatTst}\ \textit{tst} \wedge c = \textit{While}\ \textit{tst}\ d \wedge \textit{siso}\ d) \vee$

$(\exists \textit{tst}\ d1\ d. \textit{compatTst}\ \textit{tst} \wedge c = d1 ;; (\textit{While}\ \textit{tst}\ d) \wedge \textit{siso}\ d1 \wedge \textit{siso}\ d)$

hence *siso c*

proof *induct*

case (*Obs c s t i*)

hence *i*: $i < \textit{brn}\ c$ **and** *st*: $s \approx t$ **by** *auto*

from *Obs* **show** ?*case*

proof(*elim disjE exE conjE*)

fix *tst d*

assume *compatTst tst* **and** $c = \textit{While}\ \textit{tst}\ d$ **and** *siso d*

thus ?*thesis* **using** *i st unfolding compatTst-def*

by (*cases tval tst s*, *simp-all*)

next

fix *tst d1 d*

assume *compatTst tst* **and** $c = d1 ;; \textit{While}\ \textit{tst}\ d$

and *siso d1* **and** *siso d*

thus ?*thesis*

using *i st unfolding compatTst-def*

```

    apply(cases tval tst s, simp-all)
    by (cases finished (cont d1 s i), simp-all)+
  qed
next
case (Cont c s i)
hence i: i < brn c by simp
from Cont show ?case
proof(elim disjE exE conjE)
  fix tst d
  assume compatTst tst and c = While tst d and siso d
  thus ?thesis by (cases tval tst s, simp-all)
next
fix tst d1 d
assume compatTst tst and c = d1 ;; While tst d and siso d1 and siso d
thus ?thesis using i unfolding compatTst-def
apply (cases tval tst s, simp-all)
by (cases finished (cont d1 s i), simp-all)+
qed
qed
}
thus ?thesis using assms by blast
qed

```

```

lemma siso-Ch[simp]:
assumes compatCh ch
and *: siso c1 and **: siso c2
shows siso (Ch ch c1 c2)
proof-
{fix c :: ('test, 'atom, 'choice) cmd
assume  $\exists$  ch c1 c2. compatCh ch  $\wedge$  c = Ch ch c1 c2  $\wedge$  siso c1  $\wedge$  siso c2
hence siso c
proof induct
case (Obs c s t i)
then obtain ch c1 c2 where i < 2
and compatCh ch and c = Ch ch c1 c2 and siso c1  $\wedge$  siso c2
and s  $\approx$  t by fastforce
thus ?case by (cases i) auto
next
case (Cont c s i)
then obtain ch c1 c2 where i < 2 and
compatCh ch  $\wedge$  c = Ch ch c1 c2  $\wedge$  siso c1  $\wedge$  siso c2 by fastforce
thus ?case by (cases i) auto
qed
}
thus ?thesis using assms by blast
qed

```

```

lemma siso-Par[simp]:
assumes properL cl and sisoL cl

```

```

shows siso (Par cl)
proof –
  {fix c :: ('test, 'atom, 'choice) cmd
   assume  $\exists cl. c = Par\ cl \wedge properL\ cl \wedge sisoL\ cl$ 
   hence siso c
   proof induct
     case (Obs c s t ii)
     then obtain cl where ii:  $ii < brnL\ cl\ (length\ cl)$ 
     and cl: properL cl
     and c:  $c = Par\ cl$  and siso: sisoL cl
     and st:  $s \approx t$  by auto
     let ?N = length cl
     from cl ii show ?case
     apply (cases rule: brnL-cases)
     using siso st cl unfolding c by fastforce
   next
     case (Cont c s ii)
     then obtain cl where ii:  $ii < brnL\ cl\ (length\ cl)$ 
     and cl: properL cl
     and c:  $c = Par\ cl$  and sisoL: sisoL cl
     by auto
     from cl ii show ?case
     apply (cases rule: brnL-cases)
     using cl sisoL unfolding c by auto
   qed
  }
  thus ?thesis using assms by blast
qed

lemma siso-ParT[simp]:
assumes properL cl and sisoL cl
shows siso (ParT cl)
proof –
  {fix c :: ('test, 'atom, 'choice) cmd
   assume  $\exists cl. c = ParT\ cl \wedge properL\ cl \wedge sisoL\ cl$ 
   hence siso c
   proof induct
     case (Obs c s t ii)
     then obtain cl where ii:  $ii < brnL\ cl\ (length\ cl)$ 
     and cl: properL cl
     and c:  $c = ParT\ cl$  and siso: sisoL cl
     and st:  $s \approx t$  by auto
     let ?N = length cl
     from cl ii show ?case proof (cases rule: brnL-cases)
       case (Local n i)
       show ?thesis (is ?eff  $\wedge$  ?wt  $\wedge$  ?mv)
       proof –
         have eff-mv: ?eff  $\wedge$  ?mv using Local siso cl st unfolding c by force
         have wt: ?wt

```



```

proof(cases WtFT cl = 1)
  case True
  thus ?thesis unfolding c using Local cl st siso True
  by (cases n = pickFT cl  $\wedge$  i = 0) auto
next
  case False
  thus ?thesis unfolding c using Local cl st siso False
  by (cases finished (cl!n)) auto
qed
from eff-mv wt show ?thesis by simp
qed
qed
next
  case (Cont c s ii)
  then obtain cl where ii: ii < brnL cl (length cl)
  and cl: properL cl
  and c: c = ParT cl and siso: sisoL cl
  by auto
  from cl ii show ?case apply (cases rule: brnL-cases)
  using siso cl unfolding c by force
qed
}
thus ?thesis using assms by blast
qed

```

Self-isomorphism implies strong bisimilarity:

```

lemma bij-betw-emp[simp]:
  bij-betw f {} {}
unfolding bij-betw-def by auto

```

```

lemma part-full[simp]:
  part I {I}
unfolding part-def by auto

```

```

definition singlPart where
  singlPart I  $\equiv$  {{i} | i . i  $\in$  I}

```

```

lemma part-singlPart[simp]:
  part I (singlPart I)
unfolding part-def singlPart-def by auto

```

```

lemma singlPart-inj-on[simp]:
  inj-on (image f) (singlPart I) = inj-on f I
unfolding inj-on-def singlPart-def
apply auto
by (metis image-insert insertI1 insert-absorb insert-code singleton-inject)

```

```

lemma singlPart-surj[simp]:
  (image f) ' (singlPart I) = (singlPart J)  $\longleftrightarrow$  f ' I = J

```

unfolding *inj-on-def singlPart-def* **apply auto by blast**

lemma *singlPart-bij-betw[simp]*:
bij-betw (image f) (singlPart I) (singlPart J) = bij-betw f I J
unfolding *bij-betw-def* **by auto**

lemma *singlPart-finite1*:
assumes *finite (singlPart I)*
shows *finite (I::'a set)*
proof –
 define *u* **where** *u i = {i}* **for** *i :: 'a*
 have *u ' I ⊆ singlPart I* **unfolding** *u-def singlPart-def* **by auto**
 moreover **have** *inj-on u I* **unfolding** *u-def inj-on-def* **by auto**
 ultimately show *?thesis* **using** *assms*
 by (*metis (inj-on u I) finite-imageD infinite-super*)
qed

lemma *singlPart-finite[simp]*:
finite (singlPart I) = finite I
using *singlPart-finite1[of I]* **unfolding** *singlPart-def* **by auto**

lemma *emp-notIn-singlPart[simp]*:
 $\{\} \notin \text{singlPart } I$
unfolding *singlPart-def* **by auto**

lemma *Sbis-coinduct[consumes 1, case-names step, coinduct set]*:
 $R \ c \ d \implies$
 $(\bigwedge c \ d \ s \ t. R \ c \ d \implies s \approx t \implies$
 $\exists P \ F. \ mC\text{-}C\text{-part } c \ d \ P \ F \wedge \text{inj-on } F \ P \wedge \text{mC}\text{-}C\text{-wt } c \ d \ s \ t \ P \ F \wedge$
 $(\forall I \in P. \forall i \in I. \forall j \in F \ I.$
 $\text{eff } c \ s \ i \approx \text{eff } d \ t \ j \wedge (R \ (\text{cont } c \ s \ i) \ (\text{cont } d \ t \ j) \vee (\text{cont } c \ s \ i, \text{cont } d$
 $t \ j) \in \text{Sbis}))$
 $\implies (c, d) \in \text{Sbis}$
using *Sbis-coind[of {(x, y). R x y}]*
unfolding *Sretr-def matchC-C-def mC-C-def mC-C-eff-cont-def*
apply (*simp add: subset-eq Ball-def*)
apply *metis*
done

lemma *siso-Sbis[simp]*: *siso c ⟹ c ≈ s c*
proof (*coinduction arbitrary: c*)
 case (*step s t c*) **with** *siso-cont-indis[of c s t] part-singlPart[of {..
 brn c}]* **show**
 ?case
 by (*intro exI[of - singlPart {..
 brn c}] exI[of - id]*)
 (*auto simp add: mC-C-part-def mC-C-wt-def singlPart-def*)
qed

4.3 Discreetness versus language constructs:

lemma *discr-Done[simp]*: *discr Done*
by *coinduction auto*

lemma *discr-Atm-presAtm[simp]*: *discr (Atm atm) = presAtm atm*

proof –

have *presAtm atm \implies discr (Atm atm)*
by (*coinduction arbitrary: atm*) (*auto simp: presAtm-def*)
moreover have *discr (Atm atm) \implies presAtm atm*
unfolding *presAtm-def*
by (*metis One-nat-def brn.simps(2) discr.simps eff-Atm lessI*)
ultimately show *?thesis* **by** *blast*

qed

lemma *discr-Seq[simp]*:

discr c1 \implies discr c2 \implies discr (c1 ;; c2)
by (*coinduction arbitrary: c1 c2*)
(*simp, metis cont-Seq-finished discr-cont cont-Seq-notFinished*)

lemma *discr-While[simp]*: **assumes** *discr c* **shows** *discr (While tst c)*

proof –

{fix *c :: ('test, 'atom, 'choice) cmd*
assume
(\exists *tst d. c = While tst d \wedge discr d*) \vee
(\exists *tst d1 d. c = d1 ;; (While tst d) \wedge discr d1 \wedge discr d*)
hence *discr c*
apply *induct* **apply** *safe*
apply (*metis eff-While indis-refl*)
apply (*metis cont-While-False discr-Done cont-While-True*)
apply (*metis eff-Seq discr.simps brn.simps*)
by (*metis cont-Seq-finished discr.simps cont-Seq-notFinished brn.simps*)
}
thus *?thesis* **using** *assms* **by** *blast*

qed

lemma *discr-Ch[simp]*: *discr c1 \implies discr c2 \implies discr (Ch ch c1 c2)*

by *coinduction (simp, metis indis-refl less-2-cases cont-Ch-L cont-Ch-R)*

lemma *discr-Par[simp]*: *properL cl \implies discrL cl \implies discr (Par cl)*

proof (*coinduction arbitrary: cl, clarsimp*)

fix *cl ii s*

assume ***: *properL cl ii < brnL cl (length cl) and discrL cl*

from *** **show** *s \approx eff (Par cl) s ii \wedge*

(\exists *cl'. cont (Par cl) s ii = Par cl' \wedge properL cl' \wedge discrL cl'*) \vee *discr (cont (Par cl) s ii)*)

proof (*cases rule: brnL-cases*)

case (*Local n i*)

with (*discrL cl*) **have** *s \approx eff (cl ! n) s i* **by** *simp*

thus *?thesis*

using *Local* $\langle \text{discrL } cl \rangle \langle \text{properL } cl \rangle$ **by** *auto*
qed
qed

lemma *discr-ParT[simp]*: $\text{properL } cl \implies \text{discrL } cl \implies \text{discr } (\text{ParT } cl)$
proof (*coinduction arbitrary: cl, clarsimp*)
fix $p \ cl \ s \ ii$ **assume** $\text{properL } cl \ ii < \text{brnL } cl \ (\text{length } cl) \ \text{discrL } cl$
then show $s \approx \text{eff } (\text{ParT } cl) \ s \ ii \wedge$
 $((\exists cl'. \text{cont } (\text{ParT } cl) \ s \ ii = \text{ParT } cl' \wedge \text{properL } cl' \wedge \text{discrL } cl') \vee \text{discr } (\text{cont } (\text{ParT } cl) \ s \ ii))$
proof (*cases rule: brnL-cases*)
case (*Local n i*)
have $s \approx \text{eff } (cl \ ! \ n) \ s \ i$ **using** *Local* $\langle \text{discrL } cl \rangle$ **by** *simp*
thus *?thesis* **using** *Local* $\langle \text{discrL } cl \rangle \langle \text{properL } cl \rangle$ **by** *simp*
qed
qed

lemma *discr-finished[simp]*: $\text{proper } c \implies \text{finished } c \implies \text{discr } c$
by (*induct c rule: proper-induct*) (*auto simp: discrL-intro*)

4.4 Strong bisimilarity versus language constructs

lemma *Sbis-pres-discr-L*:
 $c \approx_s d \implies \text{discr } d \implies \text{discr } c$
proof (*coinduction arbitrary: d c, clarsimp*)
fix $c \ d \ s \ i$
assume $d: c \approx_s d \ \text{discr } d$ **and** $i: i < \text{brn } c$
then obtain $P \ F$ **where**
 $\text{match: } mC\text{-}C \ \text{Sbis } c \ d \ s \ s \ P \ F$
using *Sbis-mC-C*[*of c d s s*] **by** *blast*
hence $\bigcup P = \{.. < \text{brn } c\}$
using i **unfolding** *mC-C-def mC-C-part-def part-def* **by** *simp*
then obtain I **where** $I \in P$ **and** $i: i \in I$ **using** i **by** *auto*
obtain j **where** $j: j \in F \ I$
using $\text{match } I$ **unfolding** *mC-C-def mC-C-part-def* **by** *blast*
hence $j < \text{brn } d$ **using** $I \ \text{match}$
unfolding *mC-C-def mC-C-part-def part-def* **apply** *simp* **by** *blast*
hence $md: \text{discr } (\text{cont } d \ s \ j)$ **and** $s: s \approx \text{eff } d \ s \ j$
using $d \ \text{discr-cont}[of \ d \ j \ s] \ \text{discr-eff-indis}[of \ d \ j \ s]$ **by** *auto*
have $\text{eff } c \ s \ i \approx \text{eff } d \ s \ j$ **and** $md2: \text{cont } c \ s \ i \approx_s \text{cont } d \ s \ j$
using $I \ i \ j \ \text{match}$ **unfolding** *mC-C-def mC-C-eff-cont-def* **by** *auto*
hence $s \approx \text{eff } c \ s \ i$ **using** $s \ \text{indis-sym indis-trans}$ **by** *blast*
thus $s \approx \text{eff } c \ s \ i \wedge ((\exists d. \text{cont } c \ s \ i \approx_s d \wedge \text{discr } d) \vee \text{discr } (\text{cont } c \ s \ i))$
using $md \ md2$ **by** *blast*
qed

lemma *Sbis-pres-discr-R*:
assumes $\text{discr } c$ **and** $c \approx_s d$
shows $\text{discr } d$

using *assms Sbis-pres-discr-L Sbis-sym* **by** *blast*

lemma *Sbis-finished-discr-L*:

assumes $c \approx_s d$ **and** *proper d* **and** *finished d*

shows *discr c*

using *assms Sbis-pres-discr-L* **by** *auto*

lemma *Sbis-finished-discr-R*:

assumes *proper c* **and** *finished c* **and** $c \approx_s d$

shows *discr d*

using *assms Sbis-pres-discr-R*[*of c d*] **by** *auto*

definition *thetaSD* **where**

$thetaSD \equiv \{(c, d) \mid c \ d . \ proper \ c \ \wedge \ proper \ d \ \wedge \ discr \ c \ \wedge \ discr \ d\}$

lemma *thetaSD-Sretr*:

$thetaSD \subseteq Sretr \ thetaSD$

unfolding *Sretr-def matchC-C-def* **proof** *safe*

fix $c \ d \ s \ t$

assume $c\text{-}d$: $(c, d) \in thetaSD$ **and** st : $s \approx t$

hence p : $proper \ c \ \wedge \ proper \ d$ **unfolding** *thetaSD-def* **by** *auto*

let $?P = \{\{..\lt brn \ c\}\}$

let $?F = \% \ I. \ \{\{..\lt brn \ d\}\}$

have 0 : $\{\{..\lt brn \ c\}\} \neq \{\}$ $\{\{..\lt brn \ d\}\} \neq \{\}$

using p *int-emp brn-gt-0* **by** *blast+*

show $\exists P \ F. \ mC\text{-}C \ thetaSD \ c \ d \ s \ t \ P \ F$

apply $-$

apply(*rule exI*[*of - ?P*]) **apply**(*rule exI*[*of - ?F*])

unfolding *mC-C-def* **proof**(*intro conjI*)

show *mC-C-part c d ?P ?F*

unfolding *mC-C-part-def* **using** 0 **unfolding** *part-def* **by** *auto*

next

show *inj-on ?F ?P* **unfolding** *inj-on-def* **by** *simp*

next

show *mC-C-wt c d s t ?P ?F* **unfolding** *mC-C-wt-def* **using** p **by** *auto*

next

show *mC-C-eff-cont thetaSD c d s t ?P ?F*

unfolding *mC-C-eff-cont-def* **proof** *clarify*

fix $I \ i \ j$

assume i : $i < brn \ c$ **and** j : $j < brn \ d$

hence $s \approx eff \ c \ s \ i$ **using** $c\text{-}d$ **unfolding** *thetaSD-def* **by** *simp*

moreover **have** $t \approx eff \ d \ t \ j$ **using** $i \ j \ c\text{-}d$ **unfolding** *thetaSD-def* **by** *simp*

ultimately **have** $eff \ c \ s \ i \approx eff \ d \ t \ j$ **using** st *indis-sym indis-trans* **by** *blast*

thus $eff \ c \ s \ i \approx eff \ d \ t \ j \ \wedge \ (cont \ c \ s \ i, \ cont \ d \ t \ j) \in thetaSD$

using $c\text{-}d \ i \ j$ **unfolding** *thetaSD-def* **by** *auto*

qed

qed

qed

lemma *thetaSD-Sbis*:

thetaSD \subseteq *Sbis*

using *Sbis-raw-coind thetaSD-Sretr* by *blast*

theorem *discr-Sbis[simp]*:

assumes *proper c* and *proper d* and *discr c* and *discr d*

shows *c* \approx_s *d*

using *assms thetaSD-Sbis unfolding thetaSD-def* by *auto*

definition *thetaSDone* where

thetaSDone \equiv $\{(Done, Done)\}$

lemma *thetaSDone-Sretr*:

thetaSDone \subseteq *Sretr thetaSDone*

unfolding *Sretr-def matchC-C-def thetaSDone-def* **proof** *safe*

fix *s t* **assume** *st*: *s* \approx *t*

let *?P* = $\{\{0\}\}$ **let** *?F* = *id*

show $\exists P F. mC-C \{(Done, Done)\} Done Done s t P F$

apply(*intro exI[of - ?P]*) **apply**(*intro exI[of - ?F]*)

unfolding *m-defsAll part-def* **using** *st* by *auto*

qed

lemma *thetaSDone-Sbis*:

thetaSDone \subseteq *Sbis*

using *Sbis-raw-coind thetaSDone-Sretr* by *blast*

theorem *Done-Sbis[simp]*:

Done \approx_s *Done*

using *thetaSDone-Sbis unfolding thetaSDone-def* by *auto*

definition *thetaSAtm* where

thetaSAtm atm \equiv

$\{(Atm atm, Atm atm), (Done, Done)\}$

lemma *thetaSAtm-Sretr*:

assumes *compatAtm atm*

shows *thetaSAtm atm* \subseteq *Sretr (thetaSAtm atm)*

unfolding *Sretr-def matchC-C-def thetaSAtm-def* **proof** *safe*

fix *s t* **assume** *st*: *s* \approx *t*

let *?P* = $\{\{0\}\}$ **let** *?F* = *id*

show $\exists P F. mC-C \{(Atm atm, Atm atm), (Done, Done)\} Done Done s t P F$

apply(*intro exI[of - ?P]*) **apply**(*intro exI[of - ?F]*)

unfolding *m-defsAll part-def* **using** *st* by *auto*

next

```

fix  $s t$  assume  $st: s \approx t$ 
let  $?P = \{\{0\}\}$  let  $?F = id$ 
show  $\exists P F. mC-C \{(Atm\ atm, Atm\ atm), (Done, Done)\} (Atm\ atm) (Atm\ atm) s\ t\ P\ F$ 
apply( $intro\ exI[of - ?P]$ ) apply( $intro\ exI[of - ?F]$ )
unfolding  $m-defsAll\ part-def$  using  $st\ assms$  unfolding  $compatAtm-def$  by  $auto$ 
qed

```

```

lemma  $thetaSATm-Sbis$ :
assumes  $compatAtm\ atm$ 
shows  $thetaSATm\ atm \subseteq Sbis$ 
using  $assms\ Sbis-raw-coind\ thetaSATm-Sretr$  by  $blast$ 

```

```

theorem  $Atm-Sbis[simp]$ :
assumes  $compatAtm\ atm$ 
shows  $Atm\ atm \approx_s Atm\ atm$ 
using  $assms\ thetaSATm-Sbis$  unfolding  $thetaSATm-def$  by  $auto$ 

```

```

definition  $thetaSSeqI$  where
 $thetaSSeqI \equiv$ 
 $\{(e ;; c, e ;; d) \mid e\ c\ d .\ siso\ e \wedge c \approx_s d\}$ 

```

```

lemma  $thetaSSeqI-Sretr$ :
 $thetaSSeqI \subseteq Sretr (thetaSSeqI\ Un\ Sbis)$ 
unfolding  $Sretr-def\ matchC-C-def$  proof  $safe$ 
fix  $c\ d\ s\ t$ 
assume  $c-d: (c, d) \in thetaSSeqI$  and  $st: s \approx t$ 
then obtain  $e\ c1\ d1$  where  $e: siso\ e$  and  $c1d1: c1 \approx_s d1$ 
and  $c: c = e ;; c1$  and  $d: d = e ;; d1$ 
unfolding  $thetaSSeqI-def$  by  $auto$ 
let  $?P = \{\{i\} \mid i . i < brn\ e\}$ 
let  $?F = \%I. I$ 
show  $\exists P F. mC-C (thetaSSeqI\ Un\ Sbis) c\ d\ s\ t\ P\ F$ 
apply( $rule\ exI[of - ?P]$ ) apply( $rule\ exI[of - ?F]$ )
unfolding  $mC-C-def$  proof ( $intro\ conjI$ )
show  $mC-C-part\ c\ d\ ?P\ ?F$ 
unfolding  $mC-C-part-def$  proof ( $intro\ conjI$ )
show  $part\ \{..<brn\ c\}\ ?P$ 
unfolding  $part-def$  proof  $safe$ 
fix  $i$  assume  $i < brn\ c$ 
thus  $i \in \bigcup ?P$  using  $c\ e\ st\ siso-cont-indis[of\ e\ s\ t]$  by  $auto$ 
qed ( $unfold\ c, simp$ )

thus  $part\ \{..<brn\ d\} (?F \text{ ‘ } ?P)$  unfolding  $c\ d$  by  $auto$ 
qed  $auto$ 
next
show  $mC-C-eff-cont (thetaSSeqI\ Un\ Sbis) c\ d\ s\ t\ ?P\ ?F$ 
unfolding  $mC-C-eff-cont-def$  proof ( $intro\ impI\ allI, elim\ conjE$ )

```

```

fix  $I\ i\ j$ 
assume  $I: I \in ?P$  and  $i: i \in I$  and  $j: j \in I$ 
show  $\text{eff } c\ s\ i \approx \text{eff } d\ t\ j \wedge (\text{cont } c\ s\ i, \text{cont } d\ t\ j) \in \text{thetaSSeqI} \cup \text{Sbis}$ 
proof( $\text{cases } I = \{\}$ )
  case  $\text{True}$  thus  $?thesis$  using  $i$  by  $\text{simp}$ 
next
  case  $\text{False}$ 
  then obtain  $i'$  where  $j \in ?F\ \{i'\}$  and  $i' < \text{brn } e$ 
  using  $I\ j$  by  $\text{auto}$ 
  thus  $?thesis$ 
  using  $\text{st } c\text{-}d\ e\ i\ j\ I$  unfolding  $c\ d\ \text{thetaSSeqI-def}$ 
  by ( $\text{cases finished } (\text{cont } e\ s\ i')$ )  $\text{auto}$ 
qed
qed
qed ( $\text{insert st } c\text{-}d\ c, \text{unfold m-defsAll thetaSSeqI-def part-def, auto}$ )
qed

```

lemma thetaSSeqI-Sbis :
 $\text{thetaSSeqI} \subseteq \text{Sbis}$
using $\text{Sbis-coind thetaSSeqI-Sretr}$ **by** blast

theorem $\text{Seq-iso-Sbis[simp]}$:
assumes $\text{siso } e$ **and** $c2 \approx_s d2$
shows $e ;; c2 \approx_s e ;; d2$
using $\text{assms thetaSSeqI-Sbis}$ **unfolding** thetaSSeqI-def **by** auto

definition thetaSSeqD **where**
 $\text{thetaSSeqD} \equiv$
 $\{(c1 ;; c2, d1 ;; d2) \mid$
 $\quad c1\ c2\ d1\ d2.$
 $\quad \text{proper } c1 \wedge \text{proper } d1 \wedge \text{proper } c2 \wedge \text{proper } d2 \wedge$
 $\quad \text{discr } c2 \wedge \text{discr } d2 \wedge$
 $\quad c1 \approx_s d1\}$

lemma thetaSSeqD-Sretr :
 $\text{thetaSSeqD} \subseteq \text{Sretr } (\text{thetaSSeqD } \text{Un } \text{Sbis})$
unfolding $\text{Sretr-def matchC-C-def}$ **proof** safe
fix $c\ d\ s\ t$
assume $c\text{-}d: (c, d) \in \text{thetaSSeqD}$ **and** $\text{st}: s \approx t$
then obtain $c1\ c2\ d1\ d2$ **where**
 $c1d1: \text{proper } c1\ \text{proper } d1\ c1 \approx_s d1$ **and**
 $c2d2: \text{proper } c2\ \text{proper } d2\ \text{discr } c2\ \text{discr } d2$
and $c: c = c1 ;; c2$ **and** $d: d = d1 ;; d2$
unfolding thetaSSeqD-def **by** auto
from $c1d1\ \text{st}$ **obtain** $P\ F$
where $\text{match}: mC\text{-}C\ \text{Sbis } c1\ d1\ s\ t\ P\ F$
using Sbis-mC-C **by** blast
have $P: \bigcup P = \{..<\text{brn } c1\}$ **and** $FP: \bigcup (F \text{ ' } P) = \{..<\text{brn } d1\}$


```

using match unfolding mC-C-def mC-C-part-def part-def by metis+
show  $\exists P F. mC-C (thetaSSeqD \cup Sbis) c d s t P F$ 
apply(rule exI[of - P]) apply(rule exI[of - F])
unfolding mC-C-def proof (intro conjI)
  show mC-C-eff-cont (thetaSSeqD  $\cup$  Sbis) c d s t P F
  unfolding mC-C-eff-cont-def proof (intro allI impI, elim conjE)
    fix i j I assume I : I  $\in$  P and i: i  $\in$  I and j: j  $\in$  F I
    let ?c1' = cont c1 s i let ?d1' = cont d1 t j
    let ?s' = eff c1 s i let ?t' = eff d1 t j
    have i < brn c1 using i I P by blast note i = this i
    have j < brn d1 using j I FP by blast note j = this j
    have c1'd1': ?c1'  $\approx_s$  ?d1'
    proper ?c1' proper ?d1'
    using c1d1 i j I match unfolding c mC-C-def mC-C-eff-cont-def by auto
    show eff c s i  $\approx$  eff d t j  $\wedge$  (cont c s i, cont d t j)  $\in$  thetaSSeqD  $\cup$  Sbis
    (is ?eff  $\wedge$  ?cont) proof
      show ?eff using match I i j unfolding c d m-defsAll by simp
    next
    show ?cont
    proof (cases finished ?c1')
      case True note c1' = True
      hence csi: cont c s i = c2 using i match unfolding c m-defsAll by simp
      show ?thesis
      proof (cases finished ?d1')
        case True
        hence cont d t j = d2 using j match unfolding d m-defsAll by simp
        thus ?thesis using csi c2d2 by simp
      next
        case False
        hence dtj: cont d t j = ?d1' ;; d2
        using j match unfolding d m-defsAll by simp
        have discr ?d1' using c1'd1' c1' Sbis-finished-discr-R by blast
        thus ?thesis using c1'd1' c2d2 unfolding csi dtj by simp
      qed
    next
    case False note Done-c = False
    hence csi: cont c s i = ?c1' ;; c2
    using i match unfolding c m-defsAll by simp
    show ?thesis
    proof (cases finished (cont d1 t j))
      case True note d1' = True
      hence dtj: cont d t j = d2 using j match unfolding d m-defsAll by
simp
      have discr ?c1' using c1'd1' d1' Sbis-finished-discr-L by blast
      thus ?thesis using c1'd1' c2d2 unfolding csi dtj by simp
    next
      case False
      hence dtj: cont d t j = ?d1' ;; d2 using j match unfolding d m-defsAll
by simp

```

```

      thus ?thesis unfolding csi dtj thetaSSeqD-def
      using c1'd1' c2d2 by blast
    qed
  qed
  qed
  qed
  qed(insert match, unfold m-defsAll c d, auto)
  qed

```

lemma *thetaSSeqD-Sbis*:
thetaSSeqD \subseteq *Sbis*
using *Sbis-coind thetaSSeqD-Sretr* **by** *blast*

theorem *Seq-Sbis[simp]*:
assumes *proper c1* **and** *proper d1* **and** *proper c2* **and** *proper d2*
and *c1 \approx_s d1* **and** *discr c2* **and** *discr d2*
shows *c1 ;; c2 \approx_s d1 ;; d2*
using *assms thetaSSeqD-Sbis* **unfolding** *thetaSSeqD-def* **by** *auto*

definition *thetaSCh* **where**
thetaSCh ch c1 c2 d1 d2 \equiv $\{(Ch\ ch\ c1\ c2, Ch\ ch\ d1\ d2)\}$

lemma *thetaSCh-Sretr*:
assumes *compatCh ch* **and** *c1 \approx_s d1* **and** *c2 \approx_s d2*
shows *thetaSCh ch c1 c2 d1 d2* \subseteq
Sretr (thetaSCh ch c1 c2 d1 d2 \cup Sbis)
(is *?th* \subseteq *Sretr (?th \cup Sbis)***)**
unfolding *Sretr-def matchC-C-def* **proof** *safe*
fix *c d s t*
assume *c-d: (c, d) \in ?th* **and** *st: s \approx t*
hence *c: c = Ch ch c1 c2 brn c = 2*
and *d: d = Ch ch d1 d2 brn d = 2*
unfolding *thetaSCh-def* **by** *auto*
let *?P = $\{\{0\}, \{1\}\}$*
let *?F = $\%I. I$*
show $\exists P\ F. mC-C\ (?th\ Un\ Sbis)\ c\ d\ s\ t\ P\ F$
apply(*rule exI[of - ?P]*) **apply**(*rule exI[of - ?F]*)
using *assms st c-d c* **unfolding** *m-defsAll thetaSCh-def part-def* **by** *auto*
 qed

lemma *thetaSCh-Sbis*:
assumes *compatCh ch* **and** *c1 \approx_s d1* **and** *c2 \approx_s d2*
shows *thetaSCh ch c1 c2 d1 d2* \subseteq *Sbis*
using *Sbis-coind thetaSCh-Sretr[OF assms]* **by** *blast*

theorem *Ch-iso-Sbis[simp]*:

assumes *compatCh ch* **and** $c1 \approx_s d1$ **and** $c2 \approx_s d2$
shows $Ch\ ch\ c1\ c2 \approx_s Ch\ ch\ d1\ d2$
using *thetaSCh-Sbis[OF assms]* **unfolding** *thetaSCh-def* **by** *auto*

definition *shift* **where**
 $shift\ cl\ n \equiv image\ (\%i.\ brnL\ cl\ n + i)$

definition *back* **where**
 $back\ cl\ n \equiv image\ (\%ii.\ ii - brnL\ cl\ n)$

lemma *emp-shift[simp]*:
 $shift\ cl\ n\ I = \{\} \longleftrightarrow I = \{\}$
unfolding *shift-def* **by** *auto*

lemma *emp-shift-rev[simp]*:
 $\{\} = shift\ cl\ n\ I \longleftrightarrow I = \{\}$
unfolding *shift-def* **by** *auto*

lemma *emp-back[simp]*:
 $back\ cl\ n\ II = \{\} \longleftrightarrow II = \{\}$
unfolding *back-def* **by** *force*

lemma *emp-back-rev[simp]*:
 $\{\} = back\ cl\ n\ II \longleftrightarrow II = \{\}$
unfolding *back-def* **by** *force*

lemma *in-shift[simp]*:
 $brnL\ cl\ n + i \in shift\ cl\ n\ I \longleftrightarrow i \in I$
unfolding *shift-def* **by** *auto*

lemma *in-back[simp]*:
 $ii \in II \implies ii - brnL\ cl\ n \in back\ cl\ n\ II$
unfolding *back-def* **by** *auto*

lemma *in-back2[simp]*:
assumes $ii > brnL\ cl\ n$ **and** $II \subseteq \{brnL\ cl\ n ..<+ brn\ (cl!n)\}$
shows $ii - brnL\ cl\ n \in back\ cl\ n\ II \longleftrightarrow ii \in II$ (**is** $?L \longleftrightarrow ?R$)
using *assms* **unfolding** *back-def* **by** *force*

lemma *shift[simp]*:
assumes $I \subseteq \{..< brn\ (cl!n)\}$
shows $shift\ cl\ n\ I \subseteq \{brnL\ cl\ n ..<+ brn\ (cl!n)\}$
using *assms* **unfolding** *shift-def* **by** *auto*

lemma *shift2[simp]*:
assumes $I \subseteq \{..< brn\ (cl!n)\}$
and $ii \in shift\ cl\ n\ I$

shows $\text{brnL } cl \ n \leq ii \wedge ii < \text{brnL } cl \ n + \text{brn } (cl!n)$
using *assms unfolding shift-def by auto*

lemma *shift3[simp]*:
assumes $n: n < \text{length } cl$ **and** $I: I \subseteq \{..< \text{brn } (cl!n)\}$
and $ii: ii \in \text{shift } cl \ n \ I$
shows $ii < \text{brnL } cl \ (\text{length } cl)$
proof –
 have $ii < \text{brnL } cl \ n + \text{brn } (cl!n)$ **using** $I \ ii$ **by** *simp*
 also have $\dots \leq \text{brnL } cl \ (\text{length } cl)$ **using** n
 by (*metis brnL-Suc brnL-mono Suc-leI*)
 finally show *?thesis* .
qed

lemma *back[simp]*:
assumes $II \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
shows $\text{back } cl \ n \ II \subseteq \{..< \text{brn } (cl!n)\}$
using *assms unfolding back-def by force*

lemma *back2[simp]*:
assumes $II \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
and $i \in \text{back } cl \ n \ II$
shows $i < \text{brn } (cl!n)$
using *assms unfolding back-def by force*

lemma *shift-inj[simp]*:
 $\text{shift } cl \ n \ I1 = \text{shift } cl \ n \ I2 \longleftrightarrow I1 = I2$
unfolding *shift-def by force*

lemma *shift-mono[simp]*:
 $\text{shift } cl \ n \ I1 \subseteq \text{shift } cl \ n \ I2 \longleftrightarrow I1 \subseteq I2$
unfolding *shift-def by auto*

lemma *shift-Int[simp]*:
 $\text{shift } cl \ n \ I1 \cap \text{shift } cl \ n \ I2 = \{\} \longleftrightarrow I1 \cap I2 = \{\}$
unfolding *shift-def by force*

lemma *inj-shift*: $\text{inj } (\text{shift } cl \ n)$
unfolding *inj-on-def by simp*

lemma *inj-on-shift*: $\text{inj-on } (\text{shift } cl \ n) \ K$
using *inj-shift unfolding inj-on-def by simp*

lemma *back-shift[simp]*:
 $\text{back } cl \ n \ (\text{shift } cl \ n \ I) = I$
unfolding *back-def shift-def by force*

lemma *shift-back[simp]*:
assumes $II \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$

shows $\text{shift } cl \ n \ (\text{back } cl \ n \ II) = II$
using *assms unfolding shift-def back-def atLeastLessThan-def* **by** *force*

lemma *back-inj[simp]*:
assumes $III: III \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
and $II2: II2 \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
shows $\text{back } cl \ n \ III = \text{back } cl \ n \ II2 \longleftrightarrow III = II2$ (**is** $?L = ?R \longleftrightarrow III = II2$)
proof
 have $III = \text{shift } cl \ n \ ?L$ **using** III **by** *simp*
 also assume $?L = ?R$
 also have $\text{shift } cl \ n \ ?R = II2$ **using** $II2$ **by** *simp*
 finally show $III = II2$.
qed *auto*

lemma *back-mono[simp]*:
assumes $III \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
and $II2 \subseteq \{\text{brnL } cl \ n \ ..< \text{brnL } cl \ n \ + \text{brn } (cl!n)\}$
shows $\text{back } cl \ n \ III \subseteq \text{back } cl \ n \ II2 \longleftrightarrow III \subseteq II2$
(**is** $?L \subseteq ?R \longleftrightarrow III \subseteq II2$)
proof–
 have $?L \subseteq ?R \longleftrightarrow \text{shift } cl \ n \ ?L \subseteq \text{shift } cl \ n \ ?R$ **by** *simp*
 also have $\dots \longleftrightarrow III \subseteq II2$ **using** *assms* **by** *simp*
 finally show *thesis* .
qed

lemma *back-Int[simp]*:
assumes $III \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
and $II2 \subseteq \{\text{brnL } cl \ n \ ..< \text{brnL } cl \ n \ + \text{brn } (cl!n)\}$
shows $\text{back } cl \ n \ III \cap \text{back } cl \ n \ II2 = \{\}$ $\longleftrightarrow III \cap II2 = \{\}$
(**is** $?L \cap ?R = \{\}$ $\longleftrightarrow III \cap II2 = \{\}$)
proof–
 have $?L \cap ?R = \{\}$ $\longleftrightarrow \text{shift } cl \ n \ ?L \cap \text{shift } cl \ n \ ?R = \{\}$ **by** *simp*
 also have $\dots \longleftrightarrow III \cap II2 = \{\}$ **using** *assms* **by** *simp*
 finally show *thesis* .
qed

lemma *inj-on-back*:
inj-on (*back* $cl \ n$) (*Pow* $\{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$)
unfolding *inj-on-def* **by** *simp*

lemma *shift-surj*:
assumes $II \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
shows $\exists I. I \subseteq \{..< \text{brn } (cl!n)\} \wedge \text{shift } cl \ n \ I = II$
apply(*intro exI[of - back cl n II]*) **using** *assms* **by** *simp*

lemma *back-surj*:
assumes $I \subseteq \{..< \text{brn } (cl!n)\}$
shows $\exists II. II \subseteq \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\} \wedge \text{back } cl \ n \ II = I$
apply(*intro exI[of - shift cl n I]*) **using** *assms* **by** *simp*

lemma *shift-part*[*simp*]:
assumes *part* $\{..< \text{brn } (cl!n)\}$ *P*
shows *part* $\{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$ (*shift cl n ' P*)
unfolding *part-def* **proof**(*intro conjI allI impI*)
show $\bigcup (\text{shift } cl \ n \ ' \ P) = \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$
proof *safe*
fix *ii I* **assume** *ii: ii* \in *shift cl n I* **and** $I \in P$
hence $I \subseteq \{..< \text{brn } (cl!n)\}$ **using** *assms* **unfolding** *part-def* **by** *blast*
thus $ii \in \{\text{brnL } cl \ n \ ..<+ \text{brn } (cl!n)\}$ **using** *ii* **by** *simp*
next
fix *ii* **assume** *ii-in: ii* \in $\{\text{brnL } cl \ n \ ..<+ \text{brn } (cl \ ! \ n)\}$
define *i* **where** $i = ii - \text{brnL } cl \ n$
have *ii: ii* $= \text{brnL } cl \ n + i$ **unfolding** *i-def* **using** *ii-in* **by** *force*
have $i \in \{..< \text{brn } (cl!n)\}$ **unfolding** *i-def* **using** *ii-in* **by** *auto*
then obtain *I* **where** $i \in I$ **and** $I \in P$
using *assms* **unfolding** *part-def* **by** *blast*
thus $ii \in \bigcup (\text{shift } cl \ n \ ' \ P)$ **unfolding** *ii* **by** *force*
qed
qed(*insert assms, unfold part-def, force*)

lemma *part-brn-disj1*:
assumes *P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{..< \text{brn } (cl!n)\} (P \ n)$*
and *n1: n1 < length cl and n2: n2 < length cl*
and *II1: II1* \in *shift cl n1 ' (P n1)* **and** *II2: II2* \in *shift cl n2 ' (P n2)* **and** *d: n1*
 $\neq n2$
shows $II1 \cap II2 = \{\}$
proof–
let *?N = length cl*
obtain *I1 I2* **where** $I1 \in P \ n1$ **and** $I2 \in P \ n2$
and *II1: II1 = shift cl n1 I1* **and** *II2: II2 = shift cl n2 I2*
using *II1 II2* **by** *auto*
have $I1 \subseteq \{..< \text{brn } (cl!n1)\}$ **and** $I2 \subseteq \{..< \text{brn } (cl!n2)\}$
using *n1 I1 n2 I2 P* **unfolding** *part-def* **by** *blast+*
hence $II1 \subseteq \{\text{brnL } cl \ n1 \ ..<+ \text{brn } (cl!n1)\}$ **and** $II2 \subseteq \{\text{brnL } cl \ n2 \ ..<+ \text{brn } (cl!n2)\}$
unfolding *II1 II2* **by** *auto*
thus *?thesis* **using** *n1 n2 d brnL-Int* **by** *blast*
qed

lemma *part-brn-disj2*:
assumes *P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{..< \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$*
and *n1: n1 < length cl and n2: n2 < length cl and d: n1 \neq n2*
shows *shift cl n1 ' (P n1) \cap shift cl n2 ' (P n2) = $\{\}$ (is ?L \cap ?R = $\{\}$)*
proof–
{fix *II* **assume** *II: II* \in $?L \cap ?R$
hence $II = \{\}$ **using** *part-brn-disj1* [*of cl P n1 n2 II II*] *assms* **by** *blast*
hence $\{\} \in ?L$ **using** *II* **by** *blast*
then obtain *I* **where** $I \in P \ n1$ **and** $II: \{\} = \text{shift } cl \ n1 \ I$ **by** *blast*

hence $I = \{\}$ **by** *simp*
hence *False* **using** $n1$ P I **by** *blast*
}
thus *?thesis* **by** *blast*
qed

lemma *part-brn-disj3*:
assumes $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n)$
and $n1: n1 < \text{length } cl$ **and** $n2: n2 < \text{length } cl$
and $I1: I1 \in P \ n1$ **and** $I2: I2 \in P \ n2$ **and** $d: n1 \neq n2$
shows $\text{shift } cl \ n1 \ I1 \cap \text{shift } cl \ n2 \ I2 = \{\}$
apply(*rule part-brn-disj1*)
using *assms* **by** *auto*

lemma *sum-wt-Par-sub-shift[simp]*:
assumes $cl: \text{properL } cl$ **and** $n: n < \text{length } cl$ **and**
 $I: I \subseteq \{.. < \text{brn } (cl! \ n)\}$
shows
 $\text{sum } (wt \ (Par \ cl) \ s) \ (\text{shift } cl \ n \ I) =$
 $1 / (\text{length } cl) * \text{sum } (wt \ (cl! \ n) \ s) \ I$
using *assms* *sum-wt-Par-sub* **unfolding** *shift-def* **by** *simp*

lemma *sum-wt-ParT-sub-WtFT-pickFT-0-shift[simp]*:
assumes $cl: \text{properL } cl$ **and** $nf: WtFT \ cl = 1$
and $I: I \subseteq \{.. < \text{brn } (cl! \ (\text{pickFT } cl))\}$ $0 \in I$
shows
 $\text{sum } (wt \ (ParT \ cl) \ s) \ (\text{shift } cl \ (\text{pickFT } cl) \ I) = 1$
using *assms* *sum-wt-ParT-sub-WtFT-pickFT-0*
unfolding *shift-def* **by** *simp*

lemma *sum-wt-ParT-sub-WtFT-notPickFT-0-shift[simp]*:
assumes $cl: \text{properL } cl$ **and** $nf: WtFT \ cl = 1$ **and** $n: n < \text{length } cl$
and $I: I \subseteq \{.. < \text{brn } (cl! \ n)\}$ **and** $nI: n = \text{pickFT } cl \longrightarrow 0 \notin I$
shows $\text{sum } (wt \ (ParT \ cl) \ s) \ (\text{shift } cl \ n \ I) = 0$
using *assms* *sum-wt-ParT-sub-WtFT-notPickFT-0* **unfolding** *shift-def* **by** *simp*

lemma *sum-wt-ParT-sub-notWtFT-finished-shift[simp]*:
assumes $cl: \text{properL } cl$ **and** $nf: WtFT \ cl \neq 1$ **and** $n: n < \text{length } cl$ **and** $cln:$
 $\text{finished } (cl!n)$
and $I: I \subseteq \{.. < \text{brn } (cl! \ n)\}$
shows $\text{sum } (wt \ (ParT \ cl) \ s) \ (\text{shift } cl \ n \ I) = 0$
using *assms* *sum-wt-ParT-sub-notWtFT-finished*
unfolding *shift-def* **by** *simp*

lemma *sum-wt-ParT-sub-notWtFT-notFinished-shift[simp]*:
assumes $cl: \text{properL } cl$ **and** $nf: WtFT \ cl \neq 1$
and $n: n < \text{length } cl$ **and** $cln: \neg \text{finished } (cl!n)$
and $I: I \subseteq \{.. < \text{brn } (cl! \ n)\}$
shows

$sum (wt (ParT cl) s) (shift cl n I) =$
 $(1 / (length cl)) / (1 - WtFT cl) * sum (wt (cl ! n) s) I$
using *assms sum-wt-ParT-sub-notWtFT-notFinished*
unfolding *shift-def* **by** *simp*

definition *UNpart* **where**
 $UNpart cl P \equiv \bigcup n < length cl. shift cl n \text{ ' } (P n)$

lemma *UNpart-cases*[*elim, consumes 1, case-names Local*]:
assumes $II \in UNpart cl P$ **and**
 $\bigwedge n I. \llbracket n < length cl; I \in P n; II = shift cl n I \rrbracket \implies phi$
shows *phi*
using *assms unfolding UNpart-def* **by** *auto*

lemma *emp-UNpart*:
assumes $\bigwedge n. n < length cl \implies \{\} \notin P n$
shows $\{\} \notin UNpart cl P$
using *assms unfolding UNpart-def* **by** *auto*

lemma *part-UNpart*:
assumes *cl: properL cl* **and**
 $P: \bigwedge n. n < length cl \implies part \{.. < brn (cl!n)\} (P n)$
shows $part \{.. < brnL cl (length cl)\} (UNpart cl P)$
(is part ?J ?Q)
proof –
let $?N = length cl$
have $J: ?J = (\bigcup n \in \{.. < ?N\}. \{brnL cl n .. < + brn (cl!n)\})$
using *cl brnL-UN* **by** *auto*
have $Q: ?Q = (\bigcup n \in \{.. < ?N\}. shift cl n \text{ ' } (P n))$
unfolding *UNpart-def* **by** *auto*
show *?thesis* **unfolding** *J Q* **apply**(*rule part-UN*)
using *P brnL-Int* **by** *auto*
qed

definition *pickT-pred* **where**
 $pickT-pred cl P II n \equiv n < length cl \wedge II \in shift cl n \text{ ' } (P n)$

definition *pickT* **where**
 $pickT cl P II \equiv SOME n. pickT-pred cl P II n$

lemma *pickT-pred*:
assumes $II \in UNpart cl P$
shows $\exists n. pickT-pred cl P II n$
using *assms unfolding UNpart-def pickT-pred-def* **by** *auto*

lemma *pickT-pred-unique*:
assumes $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{..< \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
and $1: \text{pickT-pred } cl \ P \ II \ n1$ **and** $2: \text{pickT-pred } cl \ P \ II \ n2$
shows $n1 = n2$
proof –
 {**assume** $n1 \neq n2$
hence $\text{shift } cl \ n1 \ ' (P \ n1) \cap \text{shift } cl \ n2 \ ' (P \ n2) = \{\}$
using *assms part-brn-disj2* **unfolding** *pickT-pred-def* **by** *blast*
hence *False* **using** $1 \ 2$ **unfolding** *pickT-pred-def* **by** *blast*
 }
thus *?thesis* **by** *auto*
qed

lemma *pickT-pred-pickT*:
assumes $II \in UN_{\text{part}} \ cl \ P$
shows $\text{pickT-pred } cl \ P \ II \ (\text{pickT } cl \ P \ II)$
unfolding *pickT-def* **apply**(*rule someI-ex*)
using *assms pickT-pred* **by** *auto*

lemma *pickT-pred-pickT-unique*:
assumes $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{..< \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
and $\text{pickT-pred } cl \ P \ II \ n$
shows $n = \text{pickT } cl \ P \ II$
unfolding *pickT-def* **apply**(*rule sym, rule some-equality*)
using *assms pickT-pred-unique[of cl P II]* **by** *auto*

lemma *pickT-length[simp]*:
assumes $II \in UN_{\text{part}} \ cl \ P$
shows $\text{pickT } cl \ P \ II < \text{length } cl$
using *assms pickT-pred-pickT* **unfolding** *pickT-pred-def* **by** *auto*

lemma *pickT-shift[simp]*:
assumes $II \in UN_{\text{part}} \ cl \ P$
shows $II \in \text{shift } cl \ (\text{pickT } cl \ P \ II) \ ' (P \ (\text{pickT } cl \ P \ II))$
using *assms pickT-pred-pickT* **unfolding** *pickT-pred-def* **by** *auto*

lemma *pickT-unique*:
assumes $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{..< \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
and $n < \text{length } cl$ **and** $II \in \text{shift } cl \ n \ ' (P \ n)$
shows $n = \text{pickT } cl \ P \ II$
using *assms pickT-pred-pickT-unique* **unfolding** *pickT-pred-def* **by** *auto*

definition *UNlift* **where**
 $UN_{\text{lift}} \ cl \ dl \ P \ F \ II \equiv$
 $\text{shift } dl \ (\text{pickT } cl \ P \ II) \ (F \ (\text{pickT } cl \ P \ II) \ (\text{back } cl \ (\text{pickT } cl \ P \ II) \ II))$

lemma *UNlift-shift[simp]*:
assumes $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{..< \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
and $n: n < \text{length } cl$ **and** $I: I \in P \ n$

shows $UNlift\ cl\ dl\ P\ F\ (shift\ cl\ n\ I) = shift\ dl\ n\ (F\ n\ I)$

proof –

let $?N = length\ cl$

define II **where** $II = shift\ cl\ n\ I$

have II : $shift\ cl\ n\ I = II$ **using** II -def **by** *simp*

have n : $n = pickT\ cl\ P\ II$ **apply**(rule *pickT-unique*)

using *assms* **unfolding** II -def **by** *auto*

have $back\ cl\ n\ II = I$ **unfolding** II -def **by** *simp*

hence $shift\ dl\ n\ (F\ n\ (back\ cl\ n\ II)) = shift\ dl\ n\ (F\ n\ I)$ **by** *simp*

thus $?thesis$ **unfolding** $UNlift$ -def $II\ n$ [*THEN sym*] .

qed

lemma $UNlift$ -inj-on:

assumes l : $length\ cl = length\ dl$

and P : $\bigwedge n. n < length\ cl \implies part\ \{..< brn\ (cl!n)\}\ (P\ n) \wedge \{\} \notin P\ n$

and FP : $\bigwedge n. n < length\ dl \implies part\ \{..< brn\ (dl!n)\}\ (F\ n\ ' (P\ n)) \wedge \{\} \notin F\ n\ ' (P\ n)$

and F : $\bigwedge n. n < length\ cl \implies inj$ -on $(F\ n)\ (P\ n)$

shows inj -on $(UNlift\ cl\ dl\ P\ F)\ (UNpart\ cl\ P)$ (**is** inj -on $?G\ ?Q$)

unfolding inj -on-def **proof** *clarify*

fix $III\ II2$

assume $III1$: $III1 \in ?Q$ **and** $III2$: $III2 \in ?Q$ **and** G : $?G\ III1 = ?G\ III2$

from $III1$ **show** $III1 = III2$

proof(*cases rule: UNpart-cases*)

case (*Local n1 I1*)

hence $n1$: $n1 < length\ cl\ n1 < length\ dl$ **and** $I1$: $I1 \in P\ n1$

and $III1$: $III1 = shift\ cl\ n1\ I1$ **using** l **by** *auto*

hence $G1$ -def: $?G\ III1 = shift\ dl\ n1\ (F\ n1\ I1)$ **using** P **by** *simp*

have $Pn1$: $part\ \{..< brn\ (dl!n1)\}\ (F\ n1\ ' (P\ n1))\ \{\} \notin F\ n1\ ' (P\ n1)$

using $n1\ FP$ **by** *auto*

have $F1$ -in: $F\ n1\ I1 \in F\ n1\ ' (P\ n1)$ **using** $I1$ **by** *simp*

hence $Fn1I1$: $F\ n1\ I1 \neq \{\}$ $F\ n1\ I1 \subseteq \{..< brn\ (dl!n1)\}$

using $Pn1$ **by** (*blast, unfold part-def, blast*)

hence $G1$: $?G\ III1 \neq \{\}$ $?G\ III1 \subseteq \{brnL\ dl\ n1\ ..<+ brn\ (dl!n1)\}$

unfolding $G1$ -def **by** *simp-all*

from $III2$ **show** $?thesis$

proof(*cases rule: UNpart-cases*)

case (*Local n2 I2*)

hence $n2$: $n2 < length\ cl\ n2 < length\ dl$ **and** $I2$: $I2 \in P\ n2$

and $III2$: $III2 = shift\ cl\ n2\ I2$ **using** l **by** *auto*

hence $G2$ -def: $?G\ III2 = shift\ dl\ n2\ (F\ n2\ I2)$ **using** P **by** *simp*

have $Pn2$: $part\ \{..< brn\ (dl!n2)\}\ (F\ n2\ ' (P\ n2))\ \{\} \notin F\ n2\ ' (P\ n2)$

using $n2\ FP$ **by** *auto*

have $F2$ -in: $F\ n2\ I2 \in F\ n2\ ' (P\ n2)$ **using** $I2$ **by** *simp*

hence $Fn2I2$: $F\ n2\ I2 \neq \{\}$ $F\ n2\ I2 \subseteq \{..< brn\ (dl!n2)\}$

using $Pn2$ **by** (*blast, unfold part-def, blast*)

hence $G2$: $?G\ III2 \neq \{\}$ $?G\ III2 \subseteq \{brnL\ dl\ n2\ ..<+ brn\ (dl!n2)\}$

unfolding $G2$ -def **by** *simp-all*

```

    have n12: n1 = n2 using n1 n2 G1 G2 G brnL-Int by blast
    have F n1 I1 = F n2 I2 using G unfolding G1-def G2-def n12 by simp
    hence I1 = I2 using I1 I2 n1 F unfolding n12 inj-on-def by simp
    thus ?thesis unfolding I1 I2 n12 by simp
  qed
qed
qed

```

lemma UNlift-UNpart:

assumes l : $\text{length } cl = \text{length } dl$

and P : $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$

shows $(UNlift\ cl\ dl\ P\ F) \text{ ' } (UNpart\ cl\ P) = UNpart\ dl\ (\%n. F\ n \text{ ' } (P\ n))$ (**is** $?G \text{ ' } ?Q = ?R$)

proof *safe*

fix II **assume** II : $II \in ?Q$

thus $?G\ II \in ?R$

proof(*cases rule: UNpart-cases*)

case (*Local n I*)

hence n : $n < \text{length } cl\ n < \text{length } dl$ **and** I : $I \in P\ n$

and II : $II = \text{shift } cl\ n\ I$ **using** l **by** *auto*

hence G : $?G\ II = \text{shift } dl\ n\ (F\ n\ I)$ **using** P **by** *simp*

show *?thesis* **using** $n\ I$ **unfolding** $G\ UNpart-def$ **by** *auto*

qed

next

fix JJ **assume** JJ : $JJ \in ?R$

thus $JJ \in ?G \text{ ' } ?Q$

proof(*cases rule: UNpart-cases*)

case (*Local n J*)

hence n : $n < \text{length } cl\ n < \text{length } dl$ **and** J : $J \in F\ n \text{ ' } (P\ n)$

and JJ : $JJ = \text{shift } dl\ n\ J$ **using** l **by** *auto*

then obtain I **where** I : $I \in P\ n$ **and** $J = F\ n\ I$ **by** *auto*

hence $JJ = \text{shift } dl\ n\ (F\ n\ I)$ **using** JJ **by** *simp*

also have $\dots = UNlift\ cl\ dl\ P\ F\ (\text{shift } cl\ n\ I)$ **using** $n\ I\ P$ **by** *simp*

finally have JJ : $JJ = UNlift\ cl\ dl\ P\ F\ (\text{shift } cl\ n\ I)$.

show *?thesis* **using** $n\ I$ **unfolding** $JJ\ UNpart-def$ **by** *auto*

qed

qed

lemma emp-UNlift-UNpart:

assumes l : $\text{length } cl = \text{length } dl$

and P : $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$

and FP : $\bigwedge n. n < \text{length } dl \implies \{\} \notin F\ n \text{ ' } (P\ n)$

shows $\{\} \notin (UNlift\ cl\ dl\ P\ F) \text{ ' } (UNpart\ cl\ P)$ (**is** $\{\} \notin ?R$)

proof –

have R : $?R = UNpart\ dl\ (\%n. F\ n \text{ ' } (P\ n))$

apply(*rule UNlift-UNpart*) **using** *assms* **by** *auto*

show *?thesis* **unfolding** R **apply**(*rule emp-UNpart*) **using** FP **by** *simp*

qed

lemma *part-UNlift-UNpart*:
assumes l : $\text{length } cl = \text{length } dl$ **and** dl : *properL dl*
and P : $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and FP : $\bigwedge n. n < \text{length } dl \implies \text{part } \{.. < \text{brn } (dl!n)\} (F n \text{ ' } (P n))$
shows $\text{part } \{.. < \text{brnL } dl (\text{length } dl)\} ((UNlift \text{ } cl \text{ } dl \text{ } P \text{ } F) \text{ ' } (UNpart \text{ } cl \text{ } P))$ (**is part** $?C \text{ } ?R$)
proof –
have R : $?R = UNpart \text{ } dl \text{ } (\%n. F n \text{ ' } (P n))$
apply(*rule UNlift-UNpart*) **using** *assms* **by** *auto*
show *thesis unfolding R apply(rule part-UNpart) using dl FP by auto*
qed

lemma *ss-wt-Par-UNlift*:
assumes l : $\text{length } cl = \text{length } dl$
and $cldl$: *properL cl properL dl* **and** II : $II \in UNpart \text{ } cl \text{ } P$
and P : $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and FP : $\bigwedge n. n < \text{length } dl \implies \text{part } \{.. < \text{brn } (dl!n)\} (F n \text{ ' } (P n))$
and sw :
 $\bigwedge n \text{ } I. \llbracket n < \text{length } cl; I \in P n \rrbracket \implies$
 $\text{sum } (wt \text{ } (cl ! n) \text{ } s) \text{ } I =$
 $\text{sum } (wt \text{ } (dl ! n) \text{ } t) \text{ } (F n \text{ } I)$
and st : $s \approx t$
shows
 $\text{sum } (wt \text{ } (Par \text{ } cl) \text{ } s) \text{ } II =$
 $\text{sum } (wt \text{ } (Par \text{ } dl) \text{ } t) \text{ } (UNlift \text{ } cl \text{ } dl \text{ } P \text{ } F \text{ } II)$ (**is** $?L = ?R$)
proof –
let $?N = \text{length } cl$
let $?p = \%n. 1 / ?N$ **let** $?q = \%n. 1 / (\text{length } dl)$
let $?ss = \%n. s$ **let** $?tt = \%n. t$
have $ss tt$: $\bigwedge n. n < ?N \implies ?ss \text{ } n \approx ?tt \text{ } n$ **using** st **by** *auto*
have pq : $\bigwedge n. n < ?N \implies ?p \text{ } n = ?q \text{ } n$ **and** $ss tt$: $\bigwedge n. n < ?N \implies ?ss \text{ } n \approx$
 $?tt \text{ } n$
using *assms l* **by** *auto*
from II **show** *thesis*
proof(*cases rule: UNpart-cases*)
case (*Local n I*)
hence n : $n < ?N \text{ } n < \text{length } dl$ **and** I : $I \in P n$
and II : $II = \text{shift } cl \text{ } n \text{ } I$ **using** l **by** *auto*
have $I\text{-sub}$: $I \subseteq \{.. < \text{brn } (cl!n)\}$ **using** $n \text{ } I \text{ } P$ **unfolding** *part-def* **by** *blast*
hence $F n I\text{-sub}$: $F n \text{ } I \subseteq \{.. < \text{brn } (dl!n)\}$ **using** $n \text{ } I \text{ } FP$ **unfolding** *part-def*
by *blast*
have $?L = (?p \text{ } n) * \text{sum } (wt \text{ } (cl ! n) \text{ } (?ss \text{ } n)) \text{ } I$
unfolding II **using** $n \text{ } cldl \text{ } I\text{-sub}$ **by** *simp*
also **have** $... = (?q \text{ } n) * \text{sum } (wt \text{ } (dl ! n) \text{ } (?tt \text{ } n)) \text{ } (F n \text{ } I)$
using $n \text{ } pq$ **apply** *simp* **using** $I \text{ } sw[\text{of } n \text{ } I]$ **unfolding** l **by** *auto*
also **have** $... = ?R$
unfolding II **using** $l \text{ } cldl \text{ } n \text{ } F n I\text{-sub} \text{ } P \text{ } I$ **by** *simp*
finally **show** *thesis* .
qed

qed

definition *thetaSPar* **where**

thetaSPar \equiv
 $\{(Par\ cl, Par\ dl) \mid$
 $cl\ dl.\ properL\ cl \wedge properL\ dl \wedge SbisL\ cl\ dl\}$

lemma *cont-eff-Par-UNlift*:

assumes *l*: $length\ cl = length\ dl$

and *cldl*: $properL\ cl\ properL\ dl\ SbisL\ cl\ dl$

and *II*: $II \in UNpart\ cl\ P$ **and** *ii*: $ii \in II$ **and** *jj*: $jj \in UNlift\ cl\ dl\ P\ F\ II$

and *P*: $\bigwedge n. n < length\ cl \implies part\ \{.. < brn\ (cl!n)\}\ (P\ n) \wedge \{\}\ \notin P\ n$

and *FP*: $\bigwedge n. n < length\ dl \implies part\ \{.. < brn\ (dl!n)\}\ (F\ n\ ' (P\ n))$

and *eff-cont*:

$\bigwedge n\ I\ i\ j. \llbracket n < length\ cl; I \in P\ n; i \in I; j \in F\ n\ I \rrbracket \implies$

$eff\ (cl!n)\ s\ i \approx eff\ (dl!n)\ t\ j \wedge$

$cont\ (cl!n)\ s\ i \approx_s cont\ (dl!n)\ t\ j$

and *st*: $s \approx t$

shows

$eff\ (Par\ cl)\ s\ ii \approx eff\ (Par\ dl)\ t\ jj \wedge$

$(cont\ (Par\ cl)\ s\ ii, cont\ (Par\ dl)\ t\ jj) \in thetaSPar$

(**is** $?eff \wedge ?cont$)

proof–

let *?N* = $length\ cl$

let *?p* = $\%n. 1/?N$ **let** *?q* = $\%n. 1/(length\ dl)$

let *?ss* = $\%n. s$ **let** *?tt* = $\%n. t$

have *sstt*: $\bigwedge n. n < ?N \implies ?ss\ n \approx ?tt\ n$

using *st l* **by** *auto*

have *pq*: $\bigwedge n. n < ?N \implies ?p\ n = ?q\ n$ **and** *sstt*: $\bigwedge n. n < ?N \implies ?ss\ n \approx$
?tt n

using *assms l* **by** *auto*

from *II* **show** *?thesis*

proof(*cases rule: UNpart-cases*)

case (*Local n I*)

hence *n*: $n < length\ cl\ n < length\ dl$ **and** *I*: $I \in P\ n$

and *II*: $II = shift\ cl\ n\ I$ **using** *l* **by** *auto*

from *ii II* **obtain** *i* **where** *i*: $i \in I$ **and** *ii*: $ii = brnL\ cl\ n + i$

unfolding *shift-def* **by** *auto*

have $i < brn\ (cl!n)$ **using** *i I n P* **unfolding** *part-def* **by** *blast* **note** *i = this*
i

have *jj*: $jj \in shift\ dl\ n\ (F\ n\ I)$ **using** *jj P n I* **unfolding** *II* **by** *simp*

from *jj II* **obtain** *j* **where** *j*: $j \in F\ n\ I$ **and** *jj*: $jj = brnL\ dl\ n + j$

unfolding *shift-def* **by** *auto*

have $j < brn\ (dl!n)$ **using** *j I n FP* **unfolding** *part-def* **by** *blast* **note** $j =$
this j

show *?thesis*

proof

```

have eff (cl!n) (?ss n) i ≈ eff (dl!n) (?tt n) j
using n I i j eff-cont by blast
thus ?eff unfolding ii jj using st cddl n i j by simp
next
have cont (cl!n) (?ss n) i ≈s cont (dl!n) (?tt n) j
using n I i j eff-cont by blast
thus ?cont unfolding ii jj thetaSPar-def using n i j l cddl by simp
qed
qed
qed

lemma thetaSPar-Sretr: thetaSPar ⊆ Sretr (thetaSPar)
unfolding Sretr-def matchC-C-def proof safe
  fix c d s t
  assume c-d: (c, d) ∈ thetaSPar and st: s ≈ t
  then obtain cl dl where
    c: c = Par cl and d: d = Par dl and
    cddl: properL cl properL dl SbisL cl dl
  unfolding thetaSPar-def by blast
  let ?N = length cl
  let ?ss = %n. s let ?tt = %n. t
  have N: ?N = length dl using cddl by simp
  have sstt: ∧ n. n < ?N ⇒ ?ss n ≈ ?tt n
  using st N by auto
  let ?phi = %n PFn. mC-C Sbis (cl ! n) (dl ! n) (?ss n) (?tt n) (fst PFn) (snd
  PFn)
  {fix n assume n: n < ?N
    hence cl ! n ≈s dl ! n using cddl by auto
    hence ∃ PFn. ?phi n PFn using n Sbis-mC-C sstt by fastforce
  }
  then obtain PF where phi: ∧n. n < ?N ⇒ ?phi n (PF n)
  using bchoice[of {..< ?N} ?phi] by blast
  define P F where P = fst o PF and F = snd o PF
  have m: ∧n. n < ?N ⇒ mC-C Sbis (cl ! n) (dl ! n) (?ss n) (?tt n) (P n) (F
  n)
  using phi unfolding P-def F-def by auto

  have brn-c: brn c = brnL cl ?N unfolding c by simp
  have brn-d: brn d = brnL dl (length dl) unfolding d by simp
  have P: ∧n. n < ?N ⇒ part {..< brn (cl ! n)} (P n) ∧ {} ∉ (P n)
  using m unfolding m-defsAll part-def by auto
  have FP: ∧n. n < length dl ⇒ part {..< brn (dl ! n)} (F n) ∉ (P n) ∧ {} ∉
  F n ∉ (P n)
  using m N unfolding m-defsAll part-def by auto
  have F: ∧n. n < ?N ⇒ inj-on (F n) (P n) using m unfolding m-defsAll by
  auto
  have sw: ∧n I. [n < length cl; I ∈ P n] ⇒
    sum (wt (cl ! n) (?ss n)) I = sum (wt (dl ! n) (?tt n)) (F n I)
  using m unfolding mC-C-def mC-C-wt-def by auto

```

```

have eff-cont:  $\bigwedge n I i j. \llbracket n < \text{length } cl; I \in P n; i \in I; j \in F n I \rrbracket \implies$ 
  eff (cl!n) (?ss n) i  $\approx$  eff (dl!n) (?tt n) j  $\wedge$ 
  cont (cl!n) (?ss n) i  $\approx_s$  cont (dl!n) (?tt n) j
using m unfolding mC-C-def mC-C-eff-cont-def by auto

define Q G where Q = UNpart cl P and G = UNlift cl dl P F
note defi = Q-def G-def brn-c brn-d
show  $\exists Q G. mC-C$  (thetaSPar) c d s t Q G
apply(rule exI[of - Q]) apply(rule exI[of - G])
unfolding mC-C-def proof (intro conjI)
  show mC-C-part c d Q G unfolding mC-C-part-def proof(intro conjI)
    show  $\{\} \notin Q$  unfolding defi apply(rule emp-UNpart) using P by simp
    show  $\{\} \notin G \text{ ' } Q$  unfolding defi apply(rule emp-UNlift-UNpart) using N
P FP by auto
    show part  $\{..<brn c\}$  Q
    unfolding defi apply(rule part-UNpart) using cldl P by auto
    show part  $\{..<brn d\}$  (G ' Q)
    unfolding defi apply(rule part-UNlift-UNpart) using N cldl P FP by auto
  qed
next
  show inj-on G Q
  unfolding defi apply(rule UNlift-inj-on) using N P FP F by auto
next
  show mC-C-wt c d s t Q G
  unfolding mC-C-wt-def defi proof clarify
    fix I assume  $I \in UNpart cl P$ 
    thus sum (wt c s) I = sum (wt d t) (UNlift cl dl P F I)
    unfolding c d apply(intro ss-wt-Par-UNlift)
    using N cldl P FP sw st by auto
  qed
next
  show mC-C-eff-cont (thetaSPar) c d s t Q G
  unfolding mC-C-eff-cont-def proof clarify
    fix II ii jj assume II:  $II \in Q$  and ii:  $ii \in II$  and jj:  $jj \in G II$ 
    thus eff c s ii  $\approx$  eff d t jj  $\wedge$  (cont c s ii, cont d t jj)  $\in$  thetaSPar
    unfolding defi c d apply(intro cont-eff-Par-UNlift)
    using N cldl P FP eff-cont st by blast+
  qed
qed
qed

```

lemma *thetaSPar-Sbis*: $\text{thetaSPar} \subseteq \text{Sbis}$
using *Sbis-raw-coind thetaSPar-Sretr* **by** *blast*

theorem *Par-Sbis[simp]*:
assumes *properL cl* **and** *properL dl SbisL cl dl*
shows $\text{Par } cl \approx_s \text{Par } dl$
using *assms thetaSPar-Sbis* **unfolding** *thetaSPar-def* **by** *blast*

4.5 01-bisimilarity versus language constructs

lemma *ZObis-pres-discr-L*: $c \approx_{01} d \implies \text{discr } d \implies \text{discr } c$

proof (*coinduction arbitrary*: d c , *clarsimp*)

fix s i c d **assume** $i: i < \text{brn } c$ **and** $d: \text{discr } d$ **and** $c\text{-d}: c \approx_{01} d$

then obtain I P F **where**

match: $mC\text{-ZOC } ZObis$ c d s s I P F

using $ZObis\text{-}mC\text{-ZOC}$ [*of* c d s s] **by** *blast*

hence $\bigcup P = \{.. < \text{brn } c\}$

using i **unfolding** $mC\text{-ZOC}\text{-def}$ $mC\text{-ZOC}\text{-part}\text{-def}$ $part\text{-def}$ **by** *simp*

then obtain I **where** $I: I \in P$ **and** $i: i \in I$ **using** i **by** *auto*

show $s \approx \text{eff } c$ s $i \wedge ((\exists d. \text{cont } c$ s $i \approx_{01} d \wedge \text{discr } d) \vee \text{discr } (\text{cont } c$ s $i))$

proof(*cases* $I = I$)

case *False*

then obtain j **where** $j: j \in F$ I

using $match$ I *False* **unfolding** $mC\text{-ZOC}\text{-def}$ $mC\text{-ZOC}\text{-part}\text{-def}$ **by** *blast*

hence $j < \text{brn } d$ **using** I *match*

unfolding $mC\text{-ZOC}\text{-def}$ $mC\text{-ZOC}\text{-part}\text{-def}$ $part\text{-def}$ **apply** *simp* **by** *blast*

hence $md: \text{discr } (\text{cont } d$ s $j)$ **and** $s: s \approx \text{eff } d$ s j

using d *discr-cont*[*of* d j s] *discr-eff-indis*[*of* d j s] **by** *auto*

have $\text{eff } c$ s $i \approx \text{eff } d$ s j **and** $md2: \text{cont } c$ s $i \approx_{01} \text{cont } d$ s j

using I i j *match* *False* **unfolding** $mC\text{-ZOC}\text{-def}$ $mC\text{-ZOC}\text{-eff}\text{-cont}\text{-def}$ **by**

auto

hence $s \approx \text{eff } c$ s i **using** s *indis-sym* *indis-trans* **by** *blast*

thus *?thesis* **using** md $md2$ **by** *blast*

next

case *True*

hence $s \approx \text{eff } c$ s $i \wedge \text{cont } c$ s $i \approx_{01} d$

using $match$ i *ZObis-sym* **unfolding** $mC\text{-ZOC}\text{-def}$ $mC\text{-ZOC}\text{-eff}\text{-cont}0\text{-def}$ **by**

blast

thus *?thesis* **using** d **by** *blast*

qed

qed

theorem *ZObis-pres-discr-R*:

assumes $\text{discr } c$ **and** $c \approx_{01} d$

shows $\text{discr } d$

using *assms* *ZObis-pres-discr-L* *ZObis-sym* **by** *blast*

theorem *ZObis-finished-discr-L*:

assumes $c \approx_{01} d$ **and** *proper* d **and** *finished* d

shows $\text{discr } c$

using *assms* *ZObis-pres-discr-L* **by** *auto*

theorem *ZObis-finished-discr-R*:

assumes *proper* c **and** *finished* c **and** $c \approx_{01} d$

shows $\text{discr } d$

using *assms* *ZObis-pres-discr-R*[*of* c d] **by** *auto*

theorem *discr-ZObis*[*simp*]:

assumes *proper c and proper d and discr c and discr d*
shows $c \approx 01 d$
using *assms* **by** *auto*

theorem *Done-ZObis[simp]*:
Done ≈ 01 *Done*
by *simp*

theorem *Atm-ZObis[simp]*:
assumes *compatAtm atm*
shows *Atm atm* ≈ 01 *Atm atm*
using *assms* **by** *simp*

definition *thetaZOSeqI* **where**
thetaZOSeqI \equiv
 $\{(e \;; \; c, e \;; \; d) \mid e \; c \; d . \; \text{siso } e \wedge c \approx 01 d\}$

lemma *thetaZOSeqI-ZOretr*:
thetaZOSeqI \subseteq *ZOretr (thetaZOSeqI Un ZObis)*
unfolding *ZOretr-def matchC-LC-def* **proof** *safe*
fix *c d s t*
assume *c-d: (c, d) ∈ thetaZOSeqI and st: s ≈ t*
then obtain *e c1 d1* **where** *e: siso e and c1d1: c1 ≈ 01 d1*
and *c: c = e ;; c1 and d: d = e ;; d1*
unfolding *thetaZOSeqI-def* **by** *auto*
let *?I0 = {} let ?J0 = {}*
let *?P = {?I0} Un {{i} | i . i < brn e}*
let *?F = %I. I*
show $\exists I0 P F. \text{mC-ZOC } (\text{thetaZOSeqI Un ZObis}) \; c \; d \; s \; t \; I0 \; P \; F$
apply(*rule exI[of - ?I0]*)
apply(*rule exI[of - ?P]*) **apply**(*rule exI[of - ?F]*)
unfolding *mC-ZOC-def* **proof** (*intro conjI*)
show *mC-ZOC-part c d s t ?I0 ?P ?F*
unfolding *mC-ZOC-part-def* **proof** (*intro conjI*)
show *part {..
unfolding *part-def* **proof** *safe*
fix *i* **assume** *i < brn c*
thus $i \in \bigcup ?P$ **using** *c e st siso-cont-indis[of e s t]* **by** *auto*
qed (*unfold c, simp*)*

thus *part {..
qed *auto**

next
show *mC-ZOC-eff-cont (thetaZOSeqI Un ZObis) c d s t ?I0 ?P ?F*
unfolding *mC-ZOC-eff-cont-def* **proof**(*intro allI impI, elim conjE*)

```

fix  $I\ i\ j$ 
assume  $I: I \in ?P - \{?I0\}$  and  $i: i \in I$  and  $j: j \in I$ 
then obtain  $i'$  where  $j \in ?F \{i'\}$  and  $i' < brn\ e$ 
using  $I\ j$  by auto
thus  $eff\ c\ s\ i \approx eff\ d\ t\ j \wedge (cont\ c\ s\ i, cont\ d\ t\ j) \in thetaZOSeqI \cup ZObis$ 
using  $st\ c-d\ e\ i\ j\ I$  unfolding  $c\ d\ thetaZOSeqI-def$ 
by (cases finished (cont e s i')) auto
qed
qed (insert st c-d c, unfold m-defsAll thetaZOSeqI-def part-def, auto)
qed

```

```

lemma  $thetaZOSeqI-ZObis$ :
 $thetaZOSeqI \subseteq ZObis$ 
using  $ZObis-coind\ thetaZOSeqI-ZOretr$  by blast

```

```

theorem  $Seq-iso-ZObis[simp]$ :
assumes  $siso\ e$  and  $c2 \approx 01\ d2$ 
shows  $e ;; c2 \approx 01\ e ;; d2$ 
using  $assms\ thetaZOSeqI-ZObis$  unfolding  $thetaZOSeqI-def$  by auto

```

```

definition  $thetaZOSeqD$  where
 $thetaZOSeqD \equiv$ 
 $\{(c1 ;; c2, d1 ;; d2) \mid$ 
 $\quad c1\ c2\ d1\ d2.$ 
 $\quad proper\ c1 \wedge proper\ d1 \wedge proper\ c2 \wedge proper\ d2 \wedge$ 
 $\quad discr\ c2 \wedge discr\ d2 \wedge$ 
 $\quad c1 \approx 01\ d1\}$ 

```

```

lemma  $thetaZOSeqD-ZOretr$ :
 $thetaZOSeqD \subseteq ZOretr\ (thetaZOSeqD\ Un\ ZObis)$ 
unfolding  $ZOretr-def\ matchC-LC-def$  proof safe
fix  $c\ d\ s\ t$ 
assume  $c-d: (c, d) \in thetaZOSeqD$  and  $st: s \approx t$ 
then obtain  $c1\ c2\ d1\ d2$  where
 $c1d1: proper\ c1\ proper\ d1\ c1 \approx 01\ d1$  and
 $c2d2: proper\ c2\ proper\ d2\ discr\ c2\ discr\ d2$ 
and  $c: c = c1 ;; c2$  and  $d: d = d1 ;; d2$ 
unfolding  $thetaZOSeqD-def$  by auto
from  $c1d1\ st$  obtain  $P\ F\ I0$ 
where  $match: mC-ZOC\ ZObis\ c1\ d1\ s\ t\ I0\ P\ F$ 
using  $ZObis-mC-ZOC$  by blast
have  $P: \bigcup P = \{..<brn\ c1\}$  and  $FP: \bigcup (F ' P) = \{..<brn\ d1\}$ 
using  $match$  unfolding  $mC-ZOC-def\ mC-ZOC-part-def\ part-def$  by metis+
show  $\exists I0\ P\ F. mC-ZOC\ (thetaZOSeqD\ Un\ ZObis)\ c\ d\ s\ t\ I0\ P\ F$ 
apply(intro exI[of - I0] exI[of - P] exI[of - F])
unfolding  $mC-ZOC-def$  proof (intro conjI)
have  $I0: I0 \in P$  using  $match$  unfolding  $m-defsAll$  by blast

```

```

show mC-ZOC-eff-cont0 (thetaZOSeqD ∪ ZObis) c d s t I0 F
unfolding mC-ZOC-eff-cont0-def proof(intro conjI ballI)
  fix i assume i: i ∈ I0
  let ?c1' = cont c1 s i let ?s' = eff c1 s i
  have i < brn c1 using i I0 P by blast note i = this i
  have c1'd1: ?c1' ≈01 d1 proper ?c1'
    using c1d1 i I0 match unfolding mC-ZOC-def mC-ZOC-eff-cont0-def by
auto
  show s ≈ eff c s i
    using i match unfolding c mC-ZOC-def mC-ZOC-eff-cont0-def by simp
  show (cont c s i, d) ∈ thetaZOSeqD ∪ ZObis
  proof(cases finished ?c1')
    case False note f-c1' = False
    hence csi: cont c s i = ?c1' ;; c2 using i unfolding c by simp
    hence (cont c s i, d) ∈ thetaZOSeqD
    using c1'd1 c1d1 c2d2 f-c1' i match
    unfolding csi d thetaZOSeqD-def mC-ZOC-def mC-ZOC-eff-cont0-def by
blast
  thus ?thesis by simp
next
  case True note f-c1' = True
  hence csi: cont c s i = c2 using i unfolding c by simp
  have discr d1 using f-c1' c1'd1 ZObis-finished-discr-R by blast
  hence c2 ≈01 d using c2d2 c1d1 unfolding d by simp
  thus ?thesis unfolding csi by simp
qed
next
fix j assume j: j ∈ F I0
let ?d1' = cont d1 t j let ?t' = eff d1 t j
have j < brn d1 using j I0 FP by blast note j = this j
have c1d1': c1 ≈01 ?d1' proper ?d1'
  using c1d1 j I0 match unfolding mC-ZOC-def mC-ZOC-eff-cont0-def by
auto
  show t ≈ eff d t j
    using j match unfolding d mC-ZOC-def mC-ZOC-eff-cont0-def by simp
  show (c, cont d t j) ∈ thetaZOSeqD ∪ ZObis
  proof (cases finished ?d1')
    case False note f-d1' = False
    hence dtj: cont d t j = ?d1' ;; d2 using j unfolding d by simp
    hence (c, cont d t j) ∈ thetaZOSeqD
    using c1d1' c1d1 c2d2 f-d1' j match
    unfolding c dtj thetaZOSeqD-def mC-ZOC-def mC-ZOC-eff-cont0-def by
blast
  thus ?thesis by simp
next
  case True note f-d1' = True
  hence dtj: cont d t j = d2 using j unfolding d by simp
  hence discr c1 using f-d1' c1d1' ZObis-finished-discr-L by blast
  hence c ≈01 d2 using c2d2 c1d1 unfolding c by simp

```

```

    thus ?thesis unfolding dtj by simp
  qed
qed
next
show mC-ZOC-eff-cont (thetaZOSeqD ∪ ZObis) c d s t I0 P F
unfolding mC-ZOC-eff-cont-def proof(intro allI impI, elim conjE)
  fix i j I assume I : I ∈ P - {I0} and i: i ∈ I and j: j ∈ F I
  let ?c1' = cont c1 s i let ?d1' = cont d1 t j
  let ?s' = eff c1 s i let ?t' = eff d1 t j
  have i < brn c1 using i I P by blast note i = this i
  have j < brn d1 using j I FP by blast note j = this j
  have c1'd1': ?c1' ≈01 ?d1' proper ?c1' proper ?d1'
  using c1d1 i j I match unfolding c mC-ZOC-def mC-ZOC-eff-cont-def by
auto
show eff c s i ≈ eff d t j ∧ (cont c s i, cont d t j) ∈ thetaZOSeqD ∪ ZObis
(is ?eff ∧ ?cont) proof
  show ?eff using match I i j unfolding c d m-defsAll apply simp by blast
next
show ?cont
proof(cases finished ?c1')
  case True note c1' = True
  hence csi: cont c s i = c2 using i match unfolding c m-defsAll by simp
  show ?thesis
  proof(cases finished ?d1')
    case True
    hence cont d t j = d2 using j match unfolding d m-defsAll by simp
    thus ?thesis using csi c2d2 by simp
  next
  case False
  hence dtj: cont d t j = ?d1' ;; d2
  using j match unfolding d m-defsAll by simp
  have discr ?d1' using c1'd1' c1' ZObis-finished-discr-R by blast
  thus ?thesis using c1'd1' c2d2 unfolding csi dtj by simp
qed
next
case False
  hence csi: cont c s i = ?c1' ;; c2
  using i match unfolding c m-defsAll by simp
  show ?thesis
  proof(cases finished (cont d1 t j))
    case True note d1' = True
    hence dtj: cont d t j = d2 using j match unfolding d m-defsAll by
simp
    have discr ?c1' using c1'd1' d1' ZObis-finished-discr-L by blast
    thus ?thesis using c1'd1' c2d2 unfolding csi dtj by simp
  next
  case False
  hence dtj: cont d t j = ?d1' ;; d2 using j match unfolding d m-defsAll
by simp

```

```

      thus ?thesis unfolding csi dtj thetaZOSeqD-def
      using c1 'd1' c2d2 by blast
    qed
  qed
  qed
  qed
  qed(insert match, unfold m-defsAll c d, auto)
  qed

```

lemma *thetaZOSeqD-ZObis*:
thetaZOSeqD \subseteq *ZObis*
using *ZObis-coind thetaZOSeqD-ZOretr* **by** *blast*

theorem *Seq-ZObis[simp]*:
assumes *proper c1* **and** *proper d1* **and** *proper c2* **and** *proper d2*
and *c1 \approx_{01} d1* **and** *discr c2* **and** *discr d2*
shows *c1 ;; c2 \approx_{01} d1 ;; d2*
using *assms thetaZOSeqD-ZObis* **unfolding** *thetaZOSeqD-def* **by** *auto*

definition *thetaZOCh* **where**
thetaZOCh ch c1 c2 d1 d2 \equiv $\{(Ch\ ch\ c1\ c2, Ch\ ch\ d1\ d2)\}$

lemma *thetaZOCh-Sretr*:
assumes *compatCh ch* **and** *c1 \approx_{01} d1* **and** *c2 \approx_{01} d2*
shows *thetaZOCh ch c1 c2 d1 d2* \subseteq
Sretr (thetaZOCh ch c1 c2 d1 d2 \cup ZObis)
(is *?th* \subseteq *Sretr (?th \cup ZObis)***)**
unfolding *Sretr-def matchC-C-def* **proof** *safe*
fix *c d s t*
assume *c-d: (c, d) \in ?th* **and** *st: s \approx t*
hence *c: c = Ch ch c1 c2 brn c = 2*
and *d: d = Ch ch d1 d2 brn d = 2*
unfolding *thetaZOCh-def* **by** *auto*
let *?P = $\{\{0\}, \{1\}\}$*
let *?F = %I. I*
show $\exists P\ F. mC-C\ (?th\ Un\ ZObis)\ c\ d\ s\ t\ P\ F$
apply(*rule exI[of - ?P]*) **apply**(*rule exI[of - ?F]*)
using *assms st c-d* **unfolding** *m-defsAll thetaZOCh-def part-def* **by** *auto*
 qed

lemma *thetaZOCh-ZOretr*:
assumes *compatCh ch* **and** *c1 \approx_{01} d1* **and** *c2 \approx_{01} d2*
shows *thetaZOCh ch c1 c2 d1 d2* \subseteq
ZOretr (thetaZOCh ch c1 c2 d1 d2 \cup ZObis)
using *thetaZOCh-Sretr[OF assms]*
by (*metis (no-types) Retr-incl subset-trans*)

lemma *thetaZOCh-ZObis*:

assumes *compatCh ch and c1 ≈01 d1 and c2 ≈01 d2*
shows *thetaZOCh ch c1 c2 d1 d2 ⊆ ZObis*
using *ZObis-coind thetaZOCh-ZOretr[OF assms]* **by** *blast*

theorem *Ch-siso-ZObis[simp]*:
assumes *compatCh ch and c1 ≈01 d1 and c2 ≈01 d2*
shows *Ch ch c1 c2 ≈01 Ch ch d1 d2*
using *thetaZOCh-ZObis[OF assms]* **unfolding** *thetaZOCh-def* **by** *auto*

definition *theFTOne* **where**
theFTOne cl dl ≡ theFT cl ∪ theFT dl

definition *theNFTBoth* **where**
theNFTBoth cl dl ≡ theNFT cl ∩ theNFT dl

lemma *theFTOne-sym*: *theFTOne cl dl = theFTOne dl cl*
unfolding *theFTOne-def* **by** *auto*

lemma *finite-theFTOne[simp]*:
finite (theFTOne cl dl)
unfolding *theFTOne-def* **by** *simp*

lemma *theFTOne-length-finished[simp]*:
assumes *n ∈ theFTOne cl dl*
shows *(n < length cl ∧ finished (cl!n)) ∨ (n < length dl ∧ finished (dl!n))*
using *assms* **unfolding** *theFTOne-def* **by** *auto*

lemma *theFTOne-length[simp]*:
assumes *length cl = length dl and n ∈ theFTOne cl dl*
shows *n < length cl and n < length dl*
using *assms* *theFTOne-length-finished[of n cl dl]* **by** *auto*

lemma *theFTOne-intro[intro]*:
assumes $\bigwedge n. (n < \text{length } cl \wedge \text{finished } (cl!n)) \vee (n < \text{length } dl \wedge \text{finished } (dl!n))$
shows *n ∈ theFTOne cl dl*
using *assms* **unfolding** *theFTOne-def* **by** *auto*

lemma *pickFT-theFTOne[simp]*:
assumes *WtFT cl = 1*
shows *pickFT cl ∈ theFTOne cl dl*
using *assms* **unfolding** *theFTOne-def* **by** *auto*

lemma *finite-theNFTBoth[simp]*:
finite (theNFTBoth cl dl)
unfolding *theNFTBoth-def* **by** *simp*

lemma *theNFTBoth-sym*: *theNFTBoth cl dl = theNFTBoth dl cl*
unfolding *theNFTBoth-def* **by** *auto*

lemma *theNFTBoth-length-finished*[simp]:
assumes $n \in \text{theNFTBoth } cl \ dl$
shows $n < \text{length } cl$ **and** $\neg \text{finished } (cl!n)$
and $n < \text{length } dl$ **and** $\neg \text{finished } (dl!n)$
using *assms unfolding theNFTBoth-def* **by** *auto*

lemma *theNFTBoth-intro*[intro]:
assumes $\bigwedge n. n < \text{length } cl \wedge \neg \text{finished } (cl!n) \wedge n < \text{length } dl \wedge \neg \text{finished } (dl!n)$
shows $n \in \text{theNFTBoth } cl \ dl$
using *assms unfolding theNFTBoth-def* **by** *auto*

lemma *theFTOne-Int-theNFTBoth*[simp]:
 $\text{theFTOne } cl \ dl \cap \text{theNFTBoth } cl \ dl = \{\}$
and $\text{theNFTBoth } cl \ dl \cap \text{theFTOne } cl \ dl = \{\}$
unfolding *theFTOne-def theNFTBoth-def theFT-def theNFT-def* **by** *auto*

lemma *theFT-Un-theNFT-One-Both*[simp]:
assumes $\text{length } cl = \text{length } dl$
shows
 $\text{theFTOne } cl \ dl \cup \text{theNFTBoth } cl \ dl = \{.. < \text{length } cl\}$ **and**
 $\text{theNFTBoth } cl \ dl \cup \text{theFTOne } cl \ dl = \{.. < \text{length } cl\}$
using *assms*
unfolding *theFTOne-def theNFTBoth-def theFT-def theNFT-def* **by** *auto*

lemma *in-theFTOne-theNFTBoth*[simp]:
assumes $n1 \in \text{theFTOne } cl \ dl$ **and** $n2 \in \text{theNFTBoth } cl \ dl$
shows $n1 \neq n2$ **and** $n2 \neq n1$
using *assms theFTOne-Int-theNFTBoth* **by** *blast+*

definition *BrnFT* **where**
 $\text{BrnFT } cl \ dl \equiv \bigcup n \in \text{theFTOne } cl \ dl. \{brnL \ cl \ n \ .. < + \ brn \ (cl!n)\}$

definition *BrnNFT* **where**
 $\text{BrnNFT } cl \ dl \equiv \bigcup n \in \text{theNFTBoth } cl \ dl. \{brnL \ cl \ n \ .. < + \ brn \ (cl!n)\}$

lemma *BrnFT-elim*[elim, consumes 1, case-names *Local*]:
assumes $ii \in \text{BrnFT } cl \ dl$
and $\bigwedge n \ i. \llbracket n \in \text{theFTOne } cl \ dl; i < brn \ (cl!n); ii = brnL \ cl \ n + i \rrbracket \implies phi$
shows *phi*
using *assms unfolding BrnFT-def* **by** *auto*

lemma *finite-BrnFT*[simp]:
 $\text{finite } (\text{BrnFT } cl \ dl)$
unfolding *BrnFT-def* **by** *auto*

lemma *BrnFT-incl-brnL[simp]*:
assumes l : $\text{length } cl = \text{length } dl$ **and** cl : *properL cl*
shows $\text{BrnFT } cl \ dl \subseteq \{..< \text{brnL } cl \ (\text{length } cl)\}$ (**is** $?L \subseteq ?R$)
proof –
 have $?L \subseteq (\bigcup n < \text{length } cl. \{\text{brnL } cl \ n..< + \text{brn } (cl \ ! \ n)\})$
 using l **unfolding** *BrnFT-def theFTOne-def theFT-def* **by** *auto*
 also have $... = ?R$ **using** cl *brnL-UN* **by** *auto*
 finally show *?thesis* .
qed

lemma *BrnNFT-elim[elim, consumes 1, case-names Local]*:
assumes $ii \in \text{BrnNFT } cl \ dl$
and $\bigwedge n \ i. \llbracket n \in \text{theNFTBoth } cl \ dl; i < \text{brn } (cl \ ! \ n); ii = \text{brnL } cl \ n + i \rrbracket \implies phi$
shows *phi*
using *assms* **unfolding** *BrnNFT-def* **by** *auto*

lemma *finite-BrnNFT[simp]*:
finite (BrnNFT cl dl)
unfolding *BrnNFT-def* **by** *auto*

lemma *BrnNFT-incl-brnL[simp]*:
assumes cl : *properL cl*
shows $\text{BrnNFT } cl \ dl \subseteq \{..< \text{brnL } cl \ (\text{length } cl)\}$ (**is** $?L \subseteq ?R$)
proof –
 have $?L \subseteq (\bigcup n < \text{length } cl. \{\text{brnL } cl \ n..< + \text{brn } (cl \ ! \ n)\})$
 unfolding *BrnNFT-def theNFTBoth-def theNFT-def* **by** *auto*
 also have $... = ?R$ **using** cl *brnL-UN* **by** *auto*
 finally show *?thesis* .
qed

lemma *BrnFT-Int-BrnNFT[simp]*:
assumes l : $\text{length } cl = \text{length } dl$
shows
 $\text{BrnFT } cl \ dl \cap \text{BrnNFT } cl \ dl = \{\}$ (**is** $?L$)
and $\text{BrnNFT } cl \ dl \cap \text{BrnFT } cl \ dl = \{\}$ (**is** $?R$)
proof –
 {fix ii **assume** 1 : $ii \in \text{BrnFT } cl \ dl$ **and** 2 : $ii \in \text{BrnNFT } cl \ dl$
 from 1 **have** *False*
 proof (*cases rule: BrnFT-elim*)
 case (*Local n1 i1*)
 hence $n1$: $n1 < \text{length } cl$ $n1 < \text{length } dl$ $n1 \in \text{theFTOne } cl \ dl$
 and $i1$: $i1 < \text{brn } (cl \ ! \ n1)$
 and $ii1$: $ii = \text{brnL } cl \ n1 + i1$ **using** l **by** *auto*
 from 2 **show** *?thesis*
 proof (*cases rule: BrnNFT-elim*)
 case (*Local n2 i2*)
 hence $n2$: $n2 \in \text{theNFTBoth } cl \ dl$ **and** $i2$: $i2 < \text{brn } (cl \ ! \ n2)$
 and $ii2$: $ii = \text{brnL } cl \ n2 + i2$ **by** *auto*


```

have n12: n1 ≠ n2 using n1 n2 by simp
show ?thesis
proof(cases n1 < n2)
  case True hence Suc: Suc n1 ≤ n2 by simp
  have ii < brnL cl (Suc n1) unfolding ii1 using i1 n1 by simp
  also have ... ≤ brnL cl n2 using Suc by auto
  also have ... ≤ ii unfolding ii2 by simp
  finally show False by simp
next
  case False hence Suc: Suc n2 ≤ n1 using n12 by simp
  have ii < brnL cl (Suc n2) unfolding ii2 using i2 n2 by simp
  also have ... ≤ brnL cl n1 using Suc by auto
  also have ... ≤ ii unfolding ii1 by simp
  finally show False by simp
qed
qed
qed
}
thus ?L by blast thus ?R by blast
qed

```

```

lemma BrnFT-Un-BrnNFT[simp]:
  assumes l: length cl = length dl and cl: properL cl
  shows BrnFT cl dl ∪ BrnNFT cl dl = {..

```

```

lemma BrnFT-part:
  assumes l: length cl = length dl
  and P: ∧ n. n < length cl ⇒ part {..

```

hence $I \subseteq \{..< brn (cl ! n)\}$ using $I P$ unfolding *part-def* by *blast*
 hence $ii \in \{brnL cl n..<+brn (cl ! n)\}$ using ii unfolding *shift-def* by *auto*
 thus $ii \in ?L$ using n unfolding *BrnFT-def* by *auto*
qed

lemma *brnL-pickFT-BrnFT[simp]*:
assumes *properL cl* and *WtFT cl = 1*
shows $brnL cl (pickFT cl) \in BrnFT cl dl$
using *assms brn-gt-0-L* unfolding *BrnFT-def* by *auto*

lemma *WtFT-ParT-BrnFT[simp]*:
assumes $length cl = length dl$ *properL cl* and *WtFT cl = 1*
shows $sum (wt (ParT cl) s) (BrnFT cl dl) = 1$
proof –
 have $brnL cl (pickFT cl) \in BrnFT cl dl$ and
 $BrnFT cl dl \subseteq \{..< brnL cl (length cl)\}$
 using *assms BrnFT-incl-brnL* by (*simp, blast*)
 thus *?thesis* using *assms* by *simp*
qed

definition *UNpart1* where
 $UNpart1 cl dl P \equiv \bigcup n \in theNFTBoth cl dl. shift cl n \text{ ' } (P n)$

definition *UNpart01* where
 $UNpart01 cl dl P \equiv \{BrnFT cl dl\} \cup UNpart1 cl dl P$

lemma *BrnFT-UNpart01[simp]*:
 $BrnFT cl dl \in UNpart01 cl dl P$
unfolding *UNpart01-def* by *simp*

lemma *UNpart1-cases[elim, consumes 1, case-names Local]*:
assumes $II \in UNpart1 cl dl P$
 $\bigwedge n I. \llbracket n \in theNFTBoth cl dl; I \in P n; II = shift cl n I \rrbracket \implies phi$
shows *phi*
using *assms* unfolding *UNpart1-def* by *auto*

lemma *UNpart01-cases[elim, consumes 1, case-names Local0 Local]*:
assumes $II \in UNpart01 cl dl P$ and $II = BrnFT cl dl \implies phi$
 $\bigwedge n I. \llbracket n \in theNFTBoth cl dl; I \in P n; II = shift cl n I; II \in UNpart1 cl dl P \rrbracket$
 $\implies phi$
shows *phi*
using *assms* unfolding *UNpart01-def UNpart1-def* by *auto*

lemma *emp-UNpart1*:
assumes $\bigwedge n. n < length cl \implies \{\} \notin P n$
shows $\{\} \notin UNpart1 cl dl P$
using *assms* unfolding *UNpart1-def* by *auto*

lemma *emp-UNpart01*:
assumes $\bigwedge n. n < \text{length } cl \implies \{\} \notin P \ n$
shows $\{\} \notin \text{UNpart01 } cl \ dl \ P - \{\text{BrnFT } cl \ dl\}$
using *assms emp-UNpart1 unfolding UNpart01-def by auto*

lemma *BrnFT-Int-UNpart1[simp]*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
and $II: II \in \text{UNpart1 } cl \ dl \ P$
shows $\text{BrnFT } cl \ dl \cap II = \{\}$
using II **proof**(*cases rule: UNpart1-cases*)
have $1: \text{BrnFT } cl \ dl = (\bigcup n \in \text{theFTOne } cl \ dl. \text{Union } (\text{shift } cl \ n \ ' (P \ n)))$
apply(*rule BrnFT-part*) **using** $l \ P$ **by** *auto*
case (*Local n I*)
hence $n: n < \text{length } cl \ n < \text{length } dl \ n \in \text{theNFTBoth } cl \ dl$
and $I: I \in P \ n$ **and** $II: II = \text{shift } cl \ n \ I$ **by** *auto*
{fix $n0$ **assume** $n0: n0 \in \text{theFTOne } cl \ dl$
hence $n0 < \text{length } cl \ n0 < \text{length } dl$ **using** l **by** *auto* **note** $n0 = \text{this } n0$
{fix JJ **assume** $JJ \in \text{shift } cl \ n0 \ ' (P \ n0)$
then obtain J **where** $J: J \in P \ n0$ **and** $JJ: JJ = \text{shift } cl \ n0 \ J$ **by** *auto*
have $n \neq n0$ **using** $n \ n0$ **by** *simp*
have $JJ \text{ Int } II = \{\}$ **unfolding** $JJ \ II$
apply(*rule part-brn-disj3*) **using** $P \ I \ J \ n \ n0$ **by** *auto*
}
hence $\text{Union } (\text{shift } cl \ n0 \ ' (P \ n0)) \ \text{Int } II = \{\}$ **by** *blast*
}
thus *?thesis* **unfolding** $II \ 1$ **by** *blast*
qed

lemma *BrnFT-notIn-UNpart1*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
shows $\text{BrnFT } cl \ dl \notin \text{UNpart1 } cl \ dl \ P$
using *assms BrnFT-Int-UNpart1 emp-UNpart1 by (metis Int-absorb)*

lemma *UNpart1-UNpart01*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
shows $\text{UNpart1 } cl \ dl \ P = \text{UNpart01 } cl \ dl \ P - \{\text{BrnFT } cl \ dl\}$
proof–
have $\text{BrnFT } cl \ dl \notin \text{UNpart1 } cl \ dl \ P$
apply(*rule BrnFT-notIn-UNpart1*) **using** *assms* **by** *auto*
thus *?thesis* **unfolding** *UNpart01-def* **by** *auto*
qed

lemma *part-UNpart1[simp]*:
assumes $l: \text{length } cl = \text{length } dl$
and $P: \bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n)$

shows $part (BrnNFT\ cl\ dl) (UNpart1\ cl\ dl\ P)$
unfolding $BrnNFT-def\ UNpart1-def$ **apply**($rule\ part-UN$)
using $l\ P$ **apply** $fastforce$
apply($rule\ brnL-Int$) **using** l **by** $auto$

lemma $part-UNpart01$:
assumes $cl: properL\ cl$ **and** $l: length\ cl = length\ dl$
and $P: \bigwedge n. n < length\ cl \implies part\ \{..< brn\ (cl!n)\} (P\ n) \wedge \{\} \notin P\ n$
shows $part\ \{..< brnL\ cl\ (length\ cl)\} (UNpart01\ cl\ dl\ P)$
unfolding $UNpart01-def$ **apply**($rule\ part-Un-singl2[of\ -\ -\ BrnNFT\ cl\ dl]$)
using $assms$ **using** $BrnFT-Int-UNpart1$ **by** ($simp, simp, blast$)

definition $UNlift01$ **where**
 $UNlift01\ cl\ dl\ P\ F\ II \equiv$
if $II = BrnFT\ cl\ dl$
then $BrnFT\ dl\ cl$
else $shift\ dl\ (pickT\ cl\ P\ II) (F\ (pickT\ cl\ P\ II) (back\ cl\ (pickT\ cl\ P\ II)\ II))$

lemma $UNlift01-BrnFT[simp]$:
 $UNlift01\ cl\ dl\ P\ F\ (BrnFT\ cl\ dl) = BrnFT\ dl\ cl$
unfolding $UNlift01-def$ **by** $simp$

lemma $UNlift01-shift[simp]$:
assumes $l: length\ cl = length\ dl$
and $P: \bigwedge n. n < length\ cl \implies part\ \{..< brn\ (cl!n)\} (P\ n) \wedge \{\} \notin P\ n$
and $n: n \in theNFTBoth\ cl\ dl$ **and** $I: I \in P\ n$
shows $UNlift01\ cl\ dl\ P\ F\ (shift\ cl\ n\ I) = shift\ dl\ n\ (F\ n\ I)$
proof–
let $?N = length\ cl$
define II **where** $II = shift\ cl\ n\ I$
have $n < length\ cl$ **using** $n\ l$ **by** $auto$ **note** $n = this\ n$
have $II: shift\ cl\ n\ I = II$ **using** $II-def$ **by** $simp$
have $II \in UNpart1\ cl\ dl\ P$ **unfolding** $II-def\ UNpart1-def$ **using** $n\ I$ **by** $auto$
hence $II \neq BrnFT\ cl\ dl$ **using** $BrnFT-notIn-UNpart1[of\ cl\ dl\ P]\ l\ n\ P$ **by** $auto$
hence $1: UNlift01\ cl\ dl\ P\ F\ II =$
 $shift\ dl\ (pickT\ cl\ P\ II) (F\ (pickT\ cl\ P\ II) (back\ cl\ (pickT\ cl\ P\ II)\ II))$
unfolding $UNlift01-def$ **by** $simp$
have $n: n = pickT\ cl\ P\ II$ **apply**($rule\ pickT-unique$)
using $assms$ **unfolding** $II-def$ **by** $auto$
have $back\ cl\ n\ II = I$ **unfolding** $II-def$ **by** $simp$
hence $shift\ dl\ n\ (F\ n\ (back\ cl\ n\ II)) = shift\ dl\ n\ (F\ n\ I)$ **by** $simp$
thus $?thesis$ **unfolding** $1\ II\ n[THEN\ sym]$.

qed

lemma $UNlift01-inj-on-UNpart1$:
assumes $l: length\ cl = length\ dl$
and $P: \bigwedge n. n < length\ cl \implies part\ \{..< brn\ (cl!n)\} (P\ n) \wedge \{\} \notin P\ n$

and $FP: \bigwedge n. n < \text{length } dl \implies \text{part } \{..< \text{brn } (dl!n)\} (F n \text{ ' } (P n)) \wedge \{\} \notin F n \text{ ' } (P n)$
and $F: \bigwedge n. n < \text{length } cl \implies \text{inj-on } (F n) (P n)$
shows $\text{inj-on } (UN\text{lift}01 \text{ } cl \text{ } dl \text{ } P \text{ } F) (UN\text{part}1 \text{ } cl \text{ } dl \text{ } P)$ (**is** $\text{inj-on } ?G \text{ } ?Q$)
unfolding inj-on-def **proof** clarify
fix $II1 \text{ } II2$
assume $II1: II1 \in ?Q$ **and** $II2: II2 \in ?Q$ **and** $G: ?G \text{ } II1 = ?G \text{ } II2$
from $II1$ **show** $II1 = II2$
proof($\text{cases rule: } UN\text{part}1\text{-cases}$)
case ($\text{Local } n1 \text{ } I1$)
hence $n1: n1 \in \text{theNFTBoth } cl \text{ } dl \text{ } n1 < \text{length } cl \text{ } n1 < \text{length } dl$ **and** $I1: I1 \in P \text{ } n1$
and $I1: I1 = \text{shift } cl \text{ } n1 \text{ } I1$ **using** l **by** auto
hence $G1\text{-def: } ?G \text{ } I1 = \text{shift } dl \text{ } n1 (F \text{ } n1 \text{ } I1)$ **using** $l \text{ } P$ **by** simp
have $Pn1: \text{part } \{..< \text{brn } (dl!n1)\} (F \text{ } n1 \text{ ' } (P \text{ } n1)) \{\} \notin F \text{ } n1 \text{ ' } (P \text{ } n1)$
using $n1 \text{ } FP$ **by** auto
have $F1\text{-in: } F \text{ } n1 \text{ } I1 \in F \text{ } n1 \text{ ' } (P \text{ } n1)$ **using** $I1$ **by** simp
hence $Fn1I1: F \text{ } n1 \text{ } I1 \neq \{\} \text{ } F \text{ } n1 \text{ } I1 \subseteq \{..< \text{brn } (dl!n1)\}$
using $Pn1$ **by** ($\text{blast, unfold part-def, blast}$)
hence $G1: ?G \text{ } I1 \neq \{\} \text{ } ?G \text{ } I1 \subseteq \{\text{brnL } dl \text{ } n1 \text{ } ..<+ \text{brn } (dl!n1)\}$
unfolding $G1\text{-def}$ **by** simp-all
from $II2$ **show** $?thesis$
proof($\text{cases rule: } UN\text{part}1\text{-cases}$)
case ($\text{Local } n2 \text{ } I2$)
hence $n2: n2 \in \text{theNFTBoth } cl \text{ } dl \text{ } n2 < \text{length } cl \text{ } n2 < \text{length } dl$
and $I2: I2 \in P \text{ } n2$ **and** $II2: II2 = \text{shift } cl \text{ } n2 \text{ } I2$ **using** l **by** auto
hence $G2\text{-def: } ?G \text{ } II2 = \text{shift } dl \text{ } n2 (F \text{ } n2 \text{ } I2)$ **using** $l \text{ } P$ **by** auto
have $Pn2: \text{part } \{..< \text{brn } (dl!n2)\} (F \text{ } n2 \text{ ' } (P \text{ } n2)) \{\} \notin F \text{ } n2 \text{ ' } (P \text{ } n2)$
using $n2 \text{ } FP$ **by** auto
have $F2\text{-in: } F \text{ } n2 \text{ } I2 \in F \text{ } n2 \text{ ' } (P \text{ } n2)$ **using** $I2$ **by** simp
hence $Fn2I2: F \text{ } n2 \text{ } I2 \neq \{\} \text{ } F \text{ } n2 \text{ } I2 \subseteq \{..< \text{brn } (dl!n2)\}$
using $Pn2$ **by** ($\text{blast, unfold part-def, blast}$)
hence $G2: ?G \text{ } II2 \neq \{\} \text{ } ?G \text{ } II2 \subseteq \{\text{brnL } dl \text{ } n2 \text{ } ..<+ \text{brn } (dl!n2)\}$
unfolding $G2\text{-def}$ **by** simp-all

have $n12: n1 = n2$ **using** $n1 \text{ } n2 \text{ } G1 \text{ } G2 \text{ } G \text{ } \text{brnL-Int}$ **by** blast
have $F \text{ } n1 \text{ } I1 = F \text{ } n2 \text{ } I2$ **using** G **unfolding** $G1\text{-def } G2\text{-def } n12$ **by** simp
hence $I1 = I2$ **using** $I1 \text{ } I2 \text{ } n1 \text{ } F$ **unfolding** $n12 \text{ inj-on-def}$ **by** blast
thus $?thesis$ **unfolding** $II1 \text{ } II2 \text{ } n12$ **by** simp
qed
qed
qed

lemma inj-on-singl :
assumes $\text{inj-on } f \text{ } A$ **and** $a0 \notin A$ **and** $\bigwedge a. a \in A \implies f a \neq f a0$
shows $\text{inj-on } f (\{a0\} \text{ } Un \text{ } A)$
using $\text{assms unfolding inj-on-def}$ **by** fastforce

lemma $UN\text{lift}01\text{-inj-on}$:

assumes l : $\text{length } cl = \text{length } dl$
and P : $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
and FP : $\bigwedge n. n < \text{length } dl \implies \text{part } \{.. < \text{brn } (dl!n)\} (F \ n \ ' (P \ n)) \wedge \{\} \notin F \ n \ ' (P \ n)$
and F : $\bigwedge n. n < \text{length } cl \implies \text{inj-on } (F \ n) (P \ n)$
shows $\text{inj-on } (UNlift01 \ cl \ dl \ P \ F) (UNpart01 \ cl \ dl \ P)$
unfolding $UNpart01\text{-def}$ **proof**(rule inj-on-singl)
show $\text{inj-on } (UNlift01 \ cl \ dl \ P \ F) (UNpart1 \ cl \ dl \ P)$
apply ($\text{rule UNlift01-inj-on-UNpart1}$) **using** $assms$ **by** $auto$
next
show $BrnFT \ cl \ dl \notin UNpart1 \ cl \ dl \ P$
apply($\text{rule BrnFT-notIn-UNpart1}$) **using** $l \ P$ **by** $auto$
next
let $?Q = \%n. F \ n \ ' (P \ n)$
fix II **assume** $II \in UNpart1 \ cl \ dl \ P$
hence $UNlift01 \ cl \ dl \ P \ F \ II \neq BrnFT \ dl \ cl$
proof($\text{cases rule: UNpart1-cases}$)
case ($Local \ n \ I$)
hence n : $n \in \text{theNFTBoth } cl \ dl \ n \in \text{theNFTBoth } dl \ cl \ n < \text{length } cl \ n < \text{length } dl$
and I : $I \in P \ n$ **and** II : $II = \text{shift } cl \ n \ I$ **using** $l \ \text{theNFTBoth-sym}$ **by** $auto$
have $\text{shift } dl \ n \ (F \ n \ I) \in UNpart1 \ dl \ cl \ ?Q$
unfolding $UNpart1\text{-def}$ shift-def **using** $n \ I$ **by** $auto$
hence $\text{shift } dl \ n \ (F \ n \ I) \neq BrnFT \ dl \ cl$
using $BrnFT\text{-notIn-UNpart1}[of \ dl \ cl \ ?Q]$ $n \ l \ FP$ **by** $auto$
thus $?thesis$ **unfolding** II **using** $n \ I \ l \ P$ **by** $simp$
qed
thus $UNlift01 \ cl \ dl \ P \ F \ II \neq UNlift01 \ cl \ dl \ P \ F \ (BrnFT \ cl \ dl)$ **by** $simp$
qed

lemma $UNlift01\text{-UNpart1}$:
assumes l : $\text{length } cl = \text{length } dl$
and P : $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \ n) \wedge \{\} \notin P \ n$
shows $(UNlift01 \ cl \ dl \ P \ F) \ ' (UNpart1 \ cl \ dl \ P) = UNpart1 \ dl \ cl \ (\%n. F \ n \ ' (P \ n))$ (**is** $?G \ ' ?Q = ?R$)
proof $safe$
fix II **assume** II : $II \in ?Q$
thus $?G \ II \in ?R$
proof($\text{cases rule: UNpart1-cases}$)
case ($Local \ n \ I$)
hence n : $n \in \text{theNFTBoth } cl \ dl \ n \in \text{theNFTBoth } dl \ cl \ n < \text{length } cl \ n < \text{length } dl$ **and** I : $I \in P \ n$
and II : $II = \text{shift } cl \ n \ I$ **using** $l \ \text{theNFTBoth-sym}$ **by** $auto$
hence G : $?G \ II = \text{shift } dl \ n \ (F \ n \ I)$ **using** $l \ P$ **by** $simp$
show $?thesis$ **using** $n \ I$ **unfolding** $G \ UNpart1\text{-def}$ **by** $auto$
qed
next
fix JJ **assume** JJ : $JJ \in ?R$
thus $JJ \in ?G \ ' ?Q$

proof(cases rule: UNpart1-cases)
case (Local n J)
hence n: n ∈ theNFTBoth cl dl n ∈ theNFTBoth dl cl n < length cl n < length dl
and J: J ∈ F n ‘ (P n)
and JJ: JJ = shift dl n J **using** l theNFTBoth-sym **by** auto
then obtain I **where** I: I ∈ P n **and** J = F n I **by** auto
hence JJ = shift dl n (F n I) **using** JJ **by** simp
also have ... = UNlift01 cl dl P F (shift cl n I) **using** n I l P **by** simp
finally have JJ: JJ = UNlift01 cl dl P F (shift cl n I) .
show ?thesis **using** n l I **unfolding** JJ UNpart1-def **by** auto
qed
qed

lemma UNlift01-UNpart01:
assumes l: length cl = length dl
and P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
shows (UNlift01 cl dl P F) ‘ (UNpart01 cl dl P) = UNpart01 dl cl (%n. F n ‘ (P n))
using assms UNlift01-UNpart1[of cl dl P] **unfolding** UNpart01-def **by** auto

lemma emp-UNlift01-UNpart1:
assumes l: length cl = length dl
and P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and FP: $\bigwedge n. n < \text{length } dl \implies \{\} \notin F n ‘ (P n)$
shows $\{\} \notin (\text{UNlift01 cl dl P F}) ‘ (\text{UNpart1 cl dl P})$ (is $\{\} \notin ?R$)
proof –
have R: ?R = UNpart1 dl cl (%n. F n ‘ (P n))
apply(rule UNlift01-UNpart1) **using** assms **by** auto
show ?thesis **unfolding** R **apply**(rule emp-UNpart1) **using** FP **by** simp
qed

lemma emp-UNlift01-UNpart01:
assumes l: length cl = length dl
and P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and FP: $\bigwedge n. n < \text{length } dl \implies \{\} \notin F n ‘ (P n)$
shows $\{\} \notin (\text{UNlift01 cl dl P F}) ‘ (\text{UNpart01 cl dl P} - \{\text{BrnFT cl dl}\})$
(is $\{\} \notin ?U ‘ ?V$)
proof –
have V: ?V = UNpart1 cl dl P **apply**(rule UNpart1-UNpart01[THEN sym])
using assms **by** auto
show ?thesis **unfolding** V **apply**(rule emp-UNlift01-UNpart1)
using assms **by** auto
qed

lemma part-UNlift01-UNpart1:
assumes l: length cl = length dl **and** dl: properL dl
and P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P n) \wedge \{\} \notin P n$
and FP: $\bigwedge n. n < \text{length } dl \implies \text{part } \{.. < \text{brn } (dl!n)\} (F n ‘ (P n))$

shows $part (BrnNFT\ dl\ cl) ((UNlift01\ cl\ dl\ P\ F) \text{ ' } (UNpart1\ cl\ dl\ P))$ **(is part ?C ?R)**

proof –

let $?Q = \%n. F\ n \text{ ' } (P\ n)$
have $R: ?R = UNpart1\ dl\ cl\ ?Q$
apply(*rule UNlift01-UNpart1[of cl dl P F]*) **using** *assms* **by** *auto*
show *?thesis unfolding R apply(rule part-UNpart1) using dl l FP* **by** *auto*
qed

lemma *part-UNlift01-UNpart01*:

assumes $l: length\ cl = length\ dl$ **and** $dl: properL\ dl$

and $P: \bigwedge n. n < length\ cl \implies part\ \{..< brn\ (cl!n)\} (P\ n) \wedge \{\} \notin P\ n$

and $FP: \bigwedge n. n < length\ dl \implies part\ \{..< brn\ (dl!n)\} (F\ n \text{ ' } (P\ n)) \wedge \{\} \notin (F\ n \text{ ' } (P\ n))$

shows $part\ \{..< brnL\ dl\ (length\ dl)\} ((UNlift01\ cl\ dl\ P\ F) \text{ ' } (UNpart01\ cl\ dl\ P))$
(is part ?K ?R)

proof –

let $?G = UNlift01\ cl\ dl\ P\ F$ **let** $?Q = \%n. F\ n \text{ ' } (P\ n)$
have $R: ?R = \{?G\ (BrnFT\ cl\ dl)\} \cup ?G \text{ ' } (UNpart1\ cl\ dl\ P)$
unfolding *UNpart01-def* **by** *simp*
show *?thesis unfolding R apply(rule part-Un-singl2[of - - BrnNFT dl cl])*
using *assms part-UNlift01-UNpart1*
apply(*force, force*)
using *assms apply simp apply(rule BrnFT-Int-UNpart1[of dl cl ?Q])*
apply(*force, force*) **using** *UNlift01-UNpart1* **by** *auto*
qed

lemma *diff-frac-eq-1*:

assumes $b \neq (0::real)$

shows $1 - a / b = (b - a) / b$

by (*metis assms diff-divide-distrib divide-self-if*)

lemma *diff-frac-eq-2*:

assumes $b \neq (1::real)$

shows $1 - (a - b) / (1 - b) = (1 - a) / (1 - b)$

(is ?L = ?R)

proof –

have $b: 1 - b \neq 0$ **using** *assms* **by** *simp*
hence $?L = (1 - b - (a - b)) / (1 - b)$ **(is ?L = ?A / ?B)**
using *diff-frac-eq-1* **by** *blast*
also have $?A = 1 - a$ **by** *simp*
finally show *?thesis* **by** *simp*
qed

lemma *triv-div-mult*:

assumes $vSF: vSF \neq (1::real)$

and $L: L = (K - vSF) / (1 - vSF)$ **and** $Ln: L \neq 1$

shows $(VS / (1 - vSF) * V) / (1 - L) = (VS * V) / (1 - K)$

(is ?A = ?B)
proof –
 have *vSF-0*: $1 - vSF \neq 0$ **using** *vSF* **by** *simp*
 {
 assume $K = 1$
 hence $L = 1$ **using** *L vSF* **by** *simp*
 hence *False* **using** *Ln* **by** *simp*
 }
 hence *Kn*: $K \neq 1$ **by** *auto*
 hence *K-0*: $1 - K \neq 0$ **by** *simp*
 have $1 - L = (1 - K) / (1 - vSF)$ **unfolding** *L* **using** *vSF diff-frac-eq-2* **by**
blast
 hence ?A = $(VS / (1 - vSF) * V) / ((1 - K) / (1 - vSF))$ **by** *simp*
 also have ... = ?B **using** *vSF-0 K-0* **by** *auto*
 finally **show** ?thesis .
qed

lemma *ss-wt-ParT-UNlift01*:
assumes *l*: $\text{length } cl = \text{length } dl$
and *cldl*: *properL cl properL dl* **and** *II*: $II \in \text{UNpart01 } cl \ dl \ P - \{BrnFT \ cl \ dl\}$
and *P*: $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < brn \ (cl!n)\} \ (P \ n) \wedge \{\} \notin P \ n$
and *FP*: $\bigwedge n. n < \text{length } dl \implies \text{part } \{.. < brn \ (dl!n)\} \ (F \ n) \notin (P \ n)$
and *sw*:
 $\bigwedge n \ I. \llbracket n < \text{length } cl; I \in P \ n \rrbracket \implies$
 $\text{sum } (wt \ (cl \ ! \ n) \ s) \ I =$
 $\text{sum } (wt \ (dl \ ! \ n) \ t) \ (F \ n \ I)$
and *st*: $s \approx t$
and *le1*: $\text{sum } (wt \ (ParT \ cl) \ s) \ (BrnFT \ cl \ dl) < 1$
 $\text{sum } (wt \ (ParT \ dl) \ t) \ (BrnFT \ dl \ cl) < 1$
shows
 $\text{sum } (wt \ (ParT \ cl) \ s) \ II /$
 $(1 - \text{sum } (wt \ (ParT \ cl) \ s) \ (BrnFT \ cl \ dl)) =$
 $\text{sum } (wt \ (ParT \ dl) \ t) \ (\text{UNlift01 } cl \ dl \ P \ F \ II) /$
 $(1 - \text{sum } (wt \ (ParT \ dl) \ t) \ (BrnFT \ dl \ cl))$
(is $\text{sum } ?vP \ II / (1 - \text{sum } ?vP \ ?II-0) =$
 $\text{sum } ?wP \ ?JJ / (1 - \text{sum } ?wP \ ?JJ-0))$
proof –
 let ?N = $\text{length } cl$
 let ?vS = $\%n. 1 / ?N$ let ?wS = $\%n. 1 / (\text{length } dl)$
 let ?vSF = *WtFT cl* let ?wSF = *WtFT dl*
 let ?ss = $\%n. s$ let ?tt = $\%n. t$
 let ?v = $\%n. wt \ (cl \ ! \ n) \ (?ss \ n)$ let ?w = $\%n. wt \ (dl \ ! \ n) \ (?tt \ n)$

 have *sstt*: $\bigwedge n. n < ?N \implies ?ss \ n \approx ?tt \ n$
using *st l* **by** *auto*
 have *vSwS*: $\bigwedge n. n < ?N \implies ?vS \ n = ?wS \ n$ **and** *sstt*: $\bigwedge n. n < ?N \implies ?ss$
 $n \approx ?tt \ n$
using *assms* **by** *auto*
 have *nf*: $?vSF \neq 1 \ ?wSF \neq 1$ **using** *le1 cdl l* **by** *auto*

```

have theFT-theNFT[simp]:
 $\bigwedge n. n \in \text{theFT } dl - \text{theFT } cl \implies n < \text{length } cl \wedge \neg \text{finished } (cl ! n)$ 
 $\bigwedge n. n \in \text{theFT } cl - \text{theFT } dl \implies n < \text{length } dl \wedge \neg \text{finished } (dl ! n)$ 
unfolding theFT-def using l by auto
have sum-v[simp]:
 $\bigwedge n. n < \text{length } cl \implies \text{sum } (?v \ n) \{.. < \text{brn } (cl ! n)\} = 1$ 
using cddl by auto
have sum-w[simp]:
 $\bigwedge n. n < \text{length } dl \implies \text{sum } (?w \ n) \{.. < \text{brn } (dl ! n)\} = 1$ 
using cddl by auto
have theFTOne:  $\text{theFTOne } cl \ dl = \text{theFT } dl - \text{theFT } cl \cup \text{theFT } cl$ 
 $\text{theFTOne } dl \ cl = \text{theFT } cl - \text{theFT } dl \cup \text{theFT } dl$ 
unfolding theFTOne-def by blast+
have sum-vS-wS:  $\text{sum } ?vS \ (\text{theFTOne } cl \ dl) = \text{sum } ?wS \ (\text{theFTOne } dl \ cl)$ 
unfolding theFTOne-sym[of cl dl] apply (rule sum.cong)
using vSwS l unfolding theFTOne-def theFT-def theNFT-def by auto

have II:  $II \in \text{UNpart1 } cl \ dl \ P$  using II l P UNpart1-UNpart01 by blast
thus ?thesis
proof (cases rule: UNpart1-cases)
  case (Local n I)
    hence  $n: n < ?N \ n < \text{length } dl \ n \in \text{theNFTBoth } cl \ dl$ 
 $\neg \text{finished } (cl ! n) \ \neg \text{finished } (dl ! n)$ 
    and  $I: I \in P \ n$ 
    and  $II: II = \text{shift } cl \ n \ I$  using l by auto
    have I-sub:  $I \subseteq \{.. < \text{brn } (cl ! n)\}$  using n I P unfolding part-def by blast
    hence FnI-sub:  $F \ n \ I \subseteq \{.. < \text{brn } (dl ! n)\}$  using n I FP unfolding part-def
by blast
    have JJ:  $?JJ = \text{shift } dl \ n \ (F \ n \ I)$ 
    unfolding II using l P n I by simp

have sum ?vP ?II-0 =
 $\text{sum } (\%n. \text{sum } ?vP \ \{\text{brnL } cl \ n.. < + \text{brn } (cl ! n)\}) \ (\text{theFTOne } cl \ dl)$ 
unfolding BrnFT-def apply(rule sum.UNION-disjoint)
using brnL-Int l by auto
also have ... =
 $\text{sum}$ 
 $(\%n. \text{sum } ?vP \ \{\text{brnL } cl \ n.. < + \text{brn } (cl ! n)\})$ 
 $((\text{theFT } dl - \text{theFT } cl) \cup \text{theFT } cl)$  unfolding theFTOne-def
by (metis Un-Diff-cancel2 Un-commute)
also have ... =
 $\text{sum}$ 
 $(\%n. \text{sum } ?vP \ \{\text{brnL } cl \ n.. < + \text{brn } (cl ! n)\})$ 
 $(\text{theFT } dl - \text{theFT } cl) +$ 
 $\text{sum}$ 
 $(\%n. \text{sum } ?vP \ \{\text{brnL } cl \ n.. < + \text{brn } (cl ! n)\})$ 
 $(\text{theFT } cl)$  (is ... =  $?L + ?R$ )
apply(rule sum.union-disjoint) by auto
also have  $?R = 0$  apply(rule sum.neutral) using cddl nf by auto

```

finally have $sum \ ?vP \ ?II-0 = ?L$ **by** *simp*
also have $?L =$
 sum
 $(\%n. \ ?vS \ n / (1 - ?vSF) * sum \ (?v \ n) \ \{..< \ brn \ (cl \ ! \ n)\})$
 $(theFT \ dl - theFT \ cl)$
apply(*intro sum.cong*) **using** *cldl nf*
using *theFT-theNFT sum-wt-ParT-notWtFT-notFinished[of cl]* **by** *metis+*
also have ... =
 sum
 $(\%n. \ ?vS \ n * sum \ (?v \ n) \ \{..< \ brn \ (cl \ ! \ n)\})$
 $(theFT \ dl - theFT \ cl) / (1 - ?vSF)$ (**is** ... = $?L / (1 - ?vSF)$)
unfolding *times-divide-eq-left sum-divide-distrib* **by** *simp*
also have $?L = sum \ ?vS \ (theFT \ dl - theFT \ cl)$
apply(*intro sum.cong*) **by** *auto*
finally have
 $sum \ ?vP \ ?II-0 = (sum \ ?vS \ (theFT \ dl - theFT \ cl)) / (1 - ?vSF)$
(**is** ... = $?L / ?R$) **by** *simp*
also have $?L = sum \ ?vS \ (theFTOne \ cl \ dl) - ?vSF$
unfolding *eq-diff-eq WtFT-def theFTOne*
apply(*rule sum.union-disjoint[THEN sym]*) **by** *auto*
finally have *vPII0*: $sum \ ?vP \ ?II-0 =$
 $(sum \ ?vS \ (theFTOne \ cl \ dl) - ?vSF) / (1 - ?vSF)$ **by** *simp*

have $sum \ ?wP \ ?JJ-0 =$
 $sum \ (\%n. \ sum \ ?wP \ \{brnL \ dl \ n..<+brn \ (dl \ ! \ n)\}) \ (theFTOne \ dl \ cl)$
unfolding *BrnFT-def* **apply**(*rule sum.UNION-disjoint*)
unfolding *theFTOne-def theFT-def* **apply** (*force, force, clarify*)
apply(*rule brnL-Int*) **using** *l* **by** *auto*
also have ... =
 sum
 $(\%n. \ sum \ ?wP \ \{brnL \ dl \ n..<+ \ brn \ (dl \ ! \ n)\})$
 $((theFT \ cl - theFT \ dl) \cup theFT \ dl)$ **unfolding** *theFTOne-def*
by (*metis Un-Diff-cancel2 Un-commute*)
also have ... =
 sum
 $(\%n. \ sum \ ?wP \ \{brnL \ dl \ n..<+brn \ (dl \ ! \ n)\})$
 $(theFT \ cl - theFT \ dl) +$
 sum
 $(\%n. \ sum \ ?wP \ \{brnL \ dl \ n..<+brn \ (dl \ ! \ n)\})$
 $(theFT \ dl)$ (**is** ... = $?L + ?R$)
apply(*rule sum.union-disjoint*) **by** *auto*
also have $?R = 0$ **apply**(*rule sum.neutral*) **using** *cldl nf* **by** *auto*
finally have $sum \ ?wP \ ?JJ-0 = ?L$ **by** *simp*
also have $?L =$
 sum
 $(\%n. \ ?wS \ n / (1 - ?wSF) * sum \ (?w \ n) \ \{..< \ brn \ (dl \ ! \ n)\})$
 $(theFT \ cl - theFT \ dl)$
apply(*intro sum.cong*) **using** *cldl nf*

using *theFT-theNFT sum-wt-ParT-notWtFT-notFinished*[of dl] **by** *metis+*
also have ... =

sum
 ($\%n. ?wS\ n * \text{sum } (?w\ n) \{..< \text{brn } (dl\ !\ n)\}$)
 (*theFT* cl - *theFT* dl) / (1 - ?wSF) (**is** ... = ?L / (1 - ?wSF))
unfolding *times-divide-eq-left sum-divide-distrib* **by** *simp*
also have ?L = *sum* ?wS (*theFT* cl - *theFT* dl)
apply(*intro sum.cong*) **by** *auto*
finally have
sum ?wP ?JJ-0 = (*sum* ?wS (*theFT* cl - *theFT* dl)) / (1 - ?wSF)
 (**is** ... = ?L / ?R) **by** *simp*
also have ?L = *sum* ?wS (*theFTOne* dl cl) - ?wSF
unfolding *eq-diff-eq WtFT-def theFTOne*
apply(*rule sum.union-disjoint*[*THEN sym*]) **by** *auto*
finally have *wPJJ0*: *sum* ?wP ?JJ-0 =
 (*sum* ?wS (*theFTOne* dl cl) - ?wSF) / (1 - ?wSF) **by** *simp*

have *sum* ?vP II / (1 - *sum* ?vP ?II-0) =
 (?vS n) / (1 - ?vSF) * (*sum* (?v n) I) / (1 - *sum* ?vP ?II-0)
unfolding II **using** *n nf cldl I-sub* **by** *simp*
also have ... =
 (?vS n) * (*sum* (?v n) I) / (1 - *sum* ?vS (*theFTOne* cl dl))
using *nf(1) vPII0* **by** (*rule triv-div-mult*) (*insert le1, auto*)
also have ... =
 (?wS n) * (*sum* (?w n) (F n I)) / (1 - *sum* ?wS (*theFTOne* dl cl))
using *n vSwS*[of n] *sw*[of n I] I **unfolding** *sum-vS-wS* **by** *simp*
also have ... =
 (?wS n) / (1 - ?wSF) * (*sum* (?w n) (F n I)) / (1 - *sum* ?wP ?JJ-0)
using *nf(2) wPJJ0* **by** (*rule triv-div-mult*[*THEN sym*]) (*insert le1, auto*)
also have ... = *sum* ?wP ?JJ / (1 - *sum* ?wP ?JJ-0)
unfolding JJ **using** *n nf cldl FnI-sub* **by** *simp*
finally show ?thesis .

qed

qed

definition *thetaZOParT* **where**

thetaZOParT \equiv
 {(*ParT* cl, *ParT* dl) |
 cl dl.
properL cl \wedge *properL* dl \wedge *SbisL* cl dl}

lemma *cont-eff-ParT-BrnFT-L*:

assumes *l*: *length* cl = *length* dl

and *cldl*: *properL* cl *properL* dl *SbisL* cl dl

and *ii*: *ii* \in *BrnFT* cl dl

and *eff-cont*:

$\bigwedge n\ I\ i\ j. \llbracket n < \text{length } cl; I \in P\ n; i \in I; j \in F\ n\ I \rrbracket \implies$

$eff (cl!n) s i \approx eff (dl!n) t j \wedge$
 $cont (cl!n) s i \approx_s cont (dl!n) t j$

shows

$s \approx eff (ParT cl) s ii \wedge$
 $(cont (ParT cl) s ii, ParT dl) \in thetaZOParT$
(is ?eff \wedge ?cont)

proof –

let ?N = length cl **let** ?p = %n. 1 / length cl **let** ?ss = %n. s
from ii **show** ?thesis
proof(cases rule: BrnFT-elim)
case (Local n i)
hence n: n \in theFTOne cl dl
and i: i < brn (cl ! n) **and** ii: ii = brnL cl n + i **by** auto
from n **have** n < length cl n < length dl **using** l cddl
unfolding theFTOne-def theFT-def **by** auto **note** n = this n
have discr: discr (cl!n)
proof(cases finished (cl!n))
case True
thus ?thesis **using** n cddl discr-finished **by** auto
next
case False
hence finished (dl!n) **using** n **unfolding** theFTOne-def theFT-def **by** auto
moreover **have** proper (cl!n) **and** proper (dl!n) **and** cl!n \approx_{01} dl!n
using n cddl **by** auto
ultimately **show** ?thesis **using** ZObis-finished-dscr-L **by** blast
qed
hence eff: ?ss n \approx eff (cl!n) (?ss n) i
and cont: proper (cont (cl!n) (?ss n) i) \wedge discr (cont (cl!n) (?ss n) i)
using i cddl n **by** auto
show ?thesis
proof
have s \approx eff (cl!n) (?ss n) i **using** eff n indis-trans **by** blast
thus ?eff **using** i n cddl **unfolding** ii **by** simp
next
have cont (cl!n) (?ss n) i \approx_s cl!n **using** discr cont cddl n **by** auto
moreover **have** cl!n \approx_s dl!n **using** cddl n **by** auto
ultimately **have** cont (cl!n) (?ss n) i \approx_s dl!n **using** Sbis-trans **by** blast
thus ?cont **using** i n cddl **unfolding** ii thetaZOParT-def **by** auto
qed
qed
qed

lemma cont-eff-ParT-BrnFT-R:

assumes l: length cl = length dl
and cddl: properL cl properL dl SbisL cl dl
and jj: jj \in BrnFT dl cl
and eff-cont:

$\bigwedge n I i j. \llbracket n < length cl; I \in P n; i \in I; j \in F n I \rrbracket \implies$
 $eff (cl!n) s i \approx eff (dl!n) t j \wedge cont (cl!n) s i \approx_s cont (dl!n) t j$

shows

$t \approx \text{eff } (\text{ParT } dl) t \text{ } jj \wedge$
 $(\text{ParT } cl, \text{cont } (\text{ParT } dl) t \text{ } jj) \in \text{thetaZOParT}$
(is ?eff \wedge ?cont)

proof –

let ?N = length dl **let** ?q = %n. 1 / ?N **let** ?tt = %n. t

from jj **show** ?thesis

proof(cases rule: BrnFT-elim)

case (Local n j)

hence n: n \in theFTOne dl cl

and j: j < brn (dl ! n) **and** jj: jj = brnL dl n + j **by** auto

from n **have** n < length cl n < length dl **using** l cddl

unfolding theFTOne-def theFT-def **by** auto **note** n = this n

have discr: discr (dl!n)

proof(cases finished (dl!n))

case True

thus ?thesis **using** n cddl discr-finished **by** auto

next

case False

hence finished (cl!n) **using** n **unfolding** theFTOne-def theFT-def **by** auto

moreover **have** proper (cl!n) **and** proper (dl!n) **and** cl!n \approx_{01} dl!n

using n cddl **by** auto

ultimately **show** ?thesis **using** ZObis-finished-dscr-R **by** blast

qed

hence eff: ?tt n \approx eff (dl!n) (?tt n) j

and cont: proper (cont (dl!n) (?tt n) j) \wedge discr (cont (dl!n) (?tt n) j)

using j cddl n **by** auto

show ?thesis

proof

have t \approx eff (dl!n) (?tt n) j **using** eff n indis-trans **by** blast

thus ?eff **using** j n cddl **unfolding** jj **by** simp

next

have cl!n \approx_s dl!n **using** cddl n **by** auto

moreover **have** dl!n \approx_s cont (dl!n) (?tt n) j **using** discr cont cddl n **by** auto

ultimately **have** cl!n \approx_s cont (dl!n) (?tt n) j **using** Sbis-trans **by** blast

thus ?cont **using** j n cddl **unfolding** jj thetaZOParT-def **by** simp

qed

qed

qed

lemma cont-eff-ParT-UNlift01:

assumes l: length cl = length dl

and cddl: properL cl properL dl SbisL cl dl

and II: II \in UNpart01 cl dl P – {BrnFT cl dl}

and ii: ii \in II **and** jj: jj \in UNlift01 cl dl P F II

and P: $\bigwedge n. n < \text{length } cl \implies \text{part } \{.. < \text{brn } (cl!n)\} (P \text{ } n) \wedge \{\} \notin P \text{ } n$

and FP: $\bigwedge n. n < \text{length } dl \implies \text{part } \{.. < \text{brn } (dl!n)\} (F \text{ } n \text{ } (P \text{ } n))$

and eff-cont:

$\bigwedge n \text{ } I \text{ } i \text{ } j. \llbracket n < \text{length } cl; I \in P \text{ } n; i \in I; j \in F \text{ } n \text{ } I \rrbracket \implies$

$\text{eff } (cl!n) s i \approx$
 $\text{eff } (dl!n) t j \wedge$
 $\text{cont } (cl!n) s i \approx_s$
 $\text{cont } (dl!n) t j$
and $st: s \approx t$
shows
 $\text{eff } (ParT cl) s ii \approx \text{eff } (ParT dl) t jj \wedge$
 $(\text{cont } (ParT cl) s ii, \text{cont } (ParT dl) t jj) \in \text{thetaZOParT}$
(is ?eff \wedge ?cont)
proof–
let $?N = \text{length } cl$
let $?p = \%n. 1 / ?N$ **let** $?q = \%n. 1 / (\text{length } dl)$
let $?ss = \%n. s$ **let** $?tt = \%n. t$
have $sstt: \bigwedge n. n < ?N \implies ?ss n \approx ?tt n$ **using** $st l$ **by** $auto$
have $pq: \bigwedge n. n < ?N \implies ?p n = ?q n$ **and** $sstt: \bigwedge n. n < ?N \implies ?ss n \approx$
 $?tt n$
using $assms l$ **by** $auto$
have $II: II \in UNpart1 cl dl P$ **using** $II l P UNpart1-UNpart01$ **by** $blast$
thus $?thesis$
proof($cases$ rule: $UNpart1-cases$)
case ($Local n I$)
hence $n: n < ?N$ $n < \text{length } dl$ $n \in \text{theNFTBoth } cl dl$
 $\neg \text{finished } (cl!n)$ $\neg \text{finished } (dl!n)$
and $I: I \in P n$ **and** $II: II = \text{shift } cl n I$ **using** l **by** $auto$
from $ii II$ **obtain** i **where** $i: i \in I$ **and** $ii: ii = \text{brnL } cl n + i$
unfolding shift-def **by** $auto$
have $i < \text{brn } (cl!n)$ **using** $i I n P$ **unfolding** part-def **by** $blast$ **note** $i = \text{this}$
 i
have $jj: jj \in \text{shift } dl n (F n I)$ **using** $jj P n I l$ **unfolding** II **by** simp
from $jj II$ **obtain** j **where** $j: j \in F n I$ **and** $jj: jj = \text{brnL } dl n + j$
unfolding shift-def **by** $auto$
have $j < \text{brn } (dl!n)$ **using** $j I n FP$ **unfolding** part-def **by** $blast$ **note** $j =$
 $\text{this } j$
show $?thesis$
proof
have $\text{eff } (cl!n) (?ss n) i \approx \text{eff } (dl!n) (?tt n) j$
using $n I i j$ eff-cont **by** $blast$
thus $?eff$ **unfolding** $ii jj$ **using** $st cldl n i j$ **by** simp
next
have $1: \text{cont } (cl!n) (?ss n) i \approx_s \text{cont } (dl!n) (?tt n) j$
using $n I i j$ eff-cont **by** $blast$
have $(\text{cont } (ParT cl) s ii, \text{cont } (ParT dl) t jj) =$
 $(\text{ParT } (cl[n := \text{cont } (cl ! n) (?ss n) i]),$
 $\text{ParT } (dl[n := \text{cont } (dl ! n) (?tt n) j]))$
(is ?A = ?B)
unfolding $ii jj$ **using** $n i j cldl$ **by** simp
moreover **have** $?B \in \text{thetaZOParT}$
unfolding thetaZOParT-def **apply** (simp, safe)
apply($\text{intro properL-update}$)

```

    using cddl apply force
    apply(rule proper-cont) using cddl i n apply (force,force)
  apply(intro properL-update)
    using cddl apply force
    apply(rule proper-cont) using cddl j n apply (force,force)
  apply(intro SbisL-update)
  using 1 cddl n i apply (force,force)
done
ultimately show ?cont by auto
qed
qed
qed

```

```

lemma thetaZOParT-ZOretr: thetaZOParT  $\subseteq$  ZOretr (thetaZOParT)
unfolding ZOretr-def matchC-LC-def proof safe
  fix c d s t
  assume c-d: (c, d)  $\in$  thetaZOParT and st: s  $\approx$  t
  then obtain cl dl where
    c: c = ParT cl and d: d = ParT dl and
    cddl: properL cl properL dl SbisL cl dl
  unfolding thetaZOParT-def by blast
  let ?N = length cl
  let ?ss = %n. s let ?tt = %n. t
  have N: ?N = length dl using cddl by simp
  have sstt:  $\bigwedge$  n. n < ?N  $\implies$  ?ss n  $\approx$  ?tt n using st N by auto
  let ?phi = %n PFn. mC-C Sbis (cl ! n) (dl ! n) (?ss n) (?tt n) (fst PFn) (snd
  PFn)
  {fix n assume n: n < ?N
    hence cl ! n  $\approx$  s dl ! n using cddl by auto
    hence  $\exists$  PFn. ?phi n PFn using n Sbis-mC-C sstt by fastforce
  }
  then obtain PF where phi:  $\bigwedge$  n. n < ?N  $\implies$  ?phi n (PF n)
  using bchoice[of {.. $\?$ N} ?phi] by blast
  define P F where P = fst o PF and F = snd o PF
  have m:  $\bigwedge$  n. n < ?N  $\implies$  mC-C Sbis (cl ! n) (dl ! n) (?ss n) (?tt n) (P n) (F
  n)
  using phi unfolding P-def F-def by auto

  have brn-c: brn c = brnL cl ?N unfolding c by simp
  have brn-d: brn d = brnL dl (length dl) unfolding d by simp
  have P:  $\bigwedge$  n. n < ?N  $\implies$  part {.. $\?$ N} ?phi (P n)  $\wedge$  {}  $\notin$  (P n)
  using m unfolding m-defsAll part-def by auto
  have FP:  $\bigwedge$  n. n < length dl  $\implies$  part {.. $\?$ N} ?phi (F n)  $\wedge$  {}  $\notin$ 
  (F n)
  using m N unfolding m-defsAll part-def by auto
  have F:  $\bigwedge$  n. n < ?N  $\implies$  inj-on (F n) (P n) using m unfolding m-defsAll by
  auto
  have sw:  $\bigwedge$  n I.  $\llbracket$ n < length cl; I  $\in$  P n $\rrbracket \implies$ 
    sum (wt (cl ! n) (?ss n)) I = sum (wt (dl ! n) (?tt n)) (F n I)

```



```

using m unfolding mC-C-def mC-C-wt-def by auto
have eff-cont:  $\bigwedge n I i j. \llbracket n < \text{length } cl; I \in P n; i \in I; j \in F n I \rrbracket \implies$ 
   $\text{eff } (cl!n) (?ss n) i \approx \text{eff } (dl!n) (?tt n) j \wedge \text{cont } (cl!n) (?ss n) i \approx s \text{cont } (dl!n)$ 
   $(?tt n) j$ 
using m unfolding mC-C-def mC-C-eff-cont-def by auto

define II0 where II0 = BrnFT cl dl
define Q G where Q = UNpart01 cl dl P and G = UNlift01 cl dl P F
note defi = II0-def Q-def G-def brn-c brn-d
show  $\exists II0 Q G. \text{mC-ZOC } (\text{thetaZOParT}) c d s t II0 Q G$ 
apply(rule exI[of - II0]) apply(rule exI[of - Q]) apply(rule exI[of - G])
unfolding mC-ZOC-def proof (intro conjI)
  show mC-ZOC-part c d s t II0 Q G unfolding mC-ZOC-part-def proof(intro
conjI)
  show  $\{\} \notin Q - \{II0\}$  unfolding defi apply(rule emp-UNpart01) using P
by simp
  show  $\{\} \notin G \text{ ' } (Q - \{II0\})$  unfolding defi
  apply(rule emp-UNlift01-UNpart01) using N P FP by auto
  show II0  $\in Q$  unfolding defi by simp
  show part  $\{.. < brn c\} Q$ 
  unfolding defi apply(rule part-UNpart01) using cl dl P by auto
  show part  $\{.. < brn d\} (G \text{ ' } Q)$ 
  unfolding defi apply(rule part-UNlift01-UNpart01) using N c dl P FP by
auto
  qed
next
  show inj-on G Q
  unfolding defi apply(rule UNlift01-inj-on) using N P FP F by auto
next
  show mC-ZOC-wt c d s t II0 Q G
  unfolding mC-ZOC-wt-def proof (intro impI ballI, elim conjE)
  fix II assume II: II  $\in Q - \{II0\}$  and
  le1:  $\text{sum } (wt c s) II0 < 1 \text{ sum } (wt d t) (G II0) < 1$ 
  thus
   $\text{sum } (wt c s) II / (1 - \text{sum } (wt c s) II0) =$ 
   $\text{sum } (wt d t) (G II) / (1 - \text{sum } (wt d t) (G II0))$ 
  unfolding c d defi UNlift01-BrnFT apply(intro ss-wt-ParT-UNlift01)
  using N c dl II P FP sw st by auto
  qed
next
  show mC-ZOC-eff-cont0 (thetaZOParT) c d s t II0 G
  unfolding mC-ZOC-eff-cont0-def
  proof(intro conjI[OF ballI ballI])
  fix ii assume ii  $\in II0$  thus  $s \approx \text{eff } c s ii \wedge (\text{cont } c s ii, d) \in \text{thetaZOParT}$ 
  unfolding defi c d apply(intro cont-eff-ParT-BrnFT-L)
  using N c dl P FP eff-cont st by (auto intro!:)
next
  fix jj assume jj  $\in G II0$ 
  hence jj  $\in \text{BrnFT dl cl}$  unfolding defi UNlift01-BrnFT by simp

```

```

thus  $t \approx \text{eff } d \ t \ jj \wedge (c, \text{cont } d \ t \ jj) \in \text{thetaZOParT}$ 
unfolding defi c d apply(intro cont-eff-ParT-BrnFT-R)
using N cldl P FP eff-cont st by auto
qed
next
show mC-ZOC-eff-cont (thetaZOParT) c d s t II0 Q G
unfolding mC-ZOC-eff-cont-def proof (intro allI impI, elim conjE)
fix II ii jj assume II: II ∈ Q - {II0} and ii: ii ∈ II and jj: jj ∈ G II
thus  $\text{eff } c \ s \ ii \approx \text{eff } d \ t \ jj \wedge (\text{cont } c \ s \ ii, \text{cont } d \ t \ jj) \in \text{thetaZOParT}$ 
unfolding defi c d apply(intro cont-eff-ParT-UNlift01)
using N cldl P FP eff-cont st by auto
qed
qed
qed

```

```

lemma thetaZOParT-ZObis: thetaZOParT ⊆ ZObis
using ZObis-raw-coind thetaZOParT-ZOretr by auto

```

```

theorem ParT-ZObis[simp]:
assumes properL cl and properL dl and SbisL cl dl
shows ParT cl ≈01 ParT dl
using assms thetaZOParT-ZObis unfolding thetaZOParT-def by blast

```

end

end

5 Syntactic Criteria

```

theory Syntactic-Criteria
imports Compositionality
begin

```

```

context PL-Indis
begin

```

```

lemma proper-intros[intro]:
  proper Done
  proper (Atm atm)
  proper c1 ⇒ proper c2 ⇒ proper (Seq c1 c2)
  proper c1 ⇒ proper c2 ⇒ proper (Ch ch c1 c2)
  proper c ⇒ proper (While tst c)
  properL cs ⇒ proper (Par cs)
  properL cs ⇒ proper (ParT cs)
  (∧ c. c ∈ set cs ⇒ proper c) ⇒ cs ≠ [] ⇒ properL cs
by auto

```

lemma *discr*:

discr Done
presAtm atm \implies *discr (Atm atm)*
discr c1 \implies *discr c2* \implies *discr (Seq c1 c2)*
discr c1 \implies *discr c2* \implies *discr (Ch ch c1 c2)*
discr c \implies *discr (While tst c)*
properL cs \implies $(\bigwedge c. c \in \text{set } cs \implies \text{discr } c) \implies$ *discr (Par cs)*
properL cs \implies $(\bigwedge c. c \in \text{set } cs \implies \text{discr } c) \implies$ *discr (ParT cs)*
by (*auto intro!*: *discr-Par discr-ParT*)

lemma *siso*:

compatAtm atm \implies *siso (Atm atm)*
siso c1 \implies *siso c2* \implies *siso (Seq c1 c2)*
compatCh ch \implies *siso c1* \implies *siso c2* \implies *siso (Ch ch c1 c2)*
compatTst tst \implies *siso c* \implies *siso (While tst c)*
properL cs \implies $(\bigwedge c. c \in \text{set } cs \implies \text{siso } c) \implies$ *siso (Par cs)*
properL cs \implies $(\bigwedge c. c \in \text{set } cs \implies \text{siso } c) \implies$ *siso (ParT cs)*
by (*auto intro!*: *siso-Par siso-ParT*)

lemma *Sbis*:

compatAtm atm \implies *Atm atm* \approx_s *Atm atm*
siso c1 \implies *c2* \approx_s *c2* \implies *Seq c1 c2* \approx_s *Seq c1 c2*
proper c1 \implies *proper c2* \implies *c1* \approx_s *c1* \implies *discr c2* \implies *Seq c1 c2* \approx_s *Seq c1 c2*
compatCh ch \implies *c1* \approx_s *c1* \implies *c2* \approx_s *c2* \implies *Ch ch c1 c2* \approx_s *Ch ch c1 c2*
properL cs \implies $(\bigwedge c. c \in \text{set } cs \implies c \approx_s c) \implies$ *Par cs* \approx_s *Par cs*
by (*auto intro!*: *Par-Sbis*)

lemma *ZObis*:

compatAtm atm \implies *Atm atm* \approx_{01} *Atm atm*
siso c1 \implies *c2* \approx_{01} *c2* \implies *Seq c1 c2* \approx_{01} *Seq c1 c2*
proper c1 \implies *proper c2* \implies *c1* \approx_{01} *c1* \implies *discr c2* \implies *Seq c1 c2* \approx_{01} *Seq c1*
c2
compatCh ch \implies *c1* \approx_{01} *c1* \implies *c2* \approx_{01} *c2* \implies *Ch ch c1 c2* \approx_{01} *Ch ch c1 c2*
properL cs \implies $(\bigwedge c. c \in \text{set } cs \implies c \approx_s c) \implies$ *ParT cs* \approx_{01} *ParT cs*
by (*auto intro!*: *ParT-ZObis*)

lemma *discr-imp-Sbis*: *proper c* \implies *discr c* \implies *c* \approx_s *c*

by *auto*

lemma *siso-imp-Sbis*: *siso c* \implies *c* \approx_s *c*

by *auto*

lemma *Sbis-imp-ZObis*: *c* \approx_s *c* \implies *c* \approx_{01} *c*

by *auto*

fun *SC-discr* **where**

```

  SC-discr Done           $\longleftrightarrow$  True
| SC-discr (Atm atm)     $\longleftrightarrow$  presAtm atm
| SC-discr (Seq c1 c2)   $\longleftrightarrow$  SC-discr c1  $\wedge$  SC-discr c2
| SC-discr (Ch ch c1 c2)  $\longleftrightarrow$  SC-discr c1  $\wedge$  SC-discr c2
| SC-discr (While tst c)  $\longleftrightarrow$  SC-discr c
| SC-discr (ParT cs)     $\longleftrightarrow$   $(\forall c \in \text{set } cs. \textit{SC-discr } c)$ 
| SC-discr (Par cs)     $\longleftrightarrow$   $(\forall c \in \text{set } cs. \textit{SC-discr } c)$ 

```

theorem *SC-discr-discr[intro]*: *proper c* \implies *SC-discr c* \implies *discr c*
by (*induct c*) (*auto intro!*: *discr*)

fun *SC-iso* **where**

```

  SC-iso Done           $\longleftrightarrow$  True
| SC-iso (Atm atm)     $\longleftrightarrow$  compatAtm atm
| SC-iso (Seq c1 c2)   $\longleftrightarrow$  SC-iso c1  $\wedge$  SC-iso c2
| SC-iso (Ch ch c1 c2)  $\longleftrightarrow$  compatCh ch  $\wedge$  SC-iso c1  $\wedge$  SC-iso c2
| SC-iso (While tst c)  $\longleftrightarrow$  compatTst tst  $\wedge$  SC-iso c
| SC-iso (Par cs)     $\longleftrightarrow$   $(\forall c \in \text{set } cs. \textit{SC-iso } c)$ 
| SC-iso (ParT cs)    $\longleftrightarrow$   $(\forall c \in \text{set } cs. \textit{SC-iso } c)$ 

```

theorem *SC-iso-iso[intro]*: *proper c* \implies *SC-iso c* \implies *iso c*
by (*induct c*) (*auto intro!*: *iso*)

fun *SC-Sbis* **where**

```

  SC-Sbis Done         $\longleftrightarrow$  True
| SC-Sbis (Atm atm)    $\longleftrightarrow$  compatAtm atm
| SC-Sbis (Seq c1 c2)  $\longleftrightarrow$   $(\textit{SC-iso } c1 \wedge \textit{SC-Sbis } c2) \vee$   

    $(\textit{SC-Sbis } c1 \wedge \textit{SC-discr } c2) \vee$   

    $\textit{SC-discr } (\textit{Seq } c1 \textit{ } c2) \vee \textit{SC-iso } (\textit{Seq } c1 \textit{ } c2)$ 
| SC-Sbis (Ch ch c1 c2)  $\longleftrightarrow$  (if compatCh ch  

   then SC-Sbis c1  $\wedge$  SC-Sbis c2  

   else (SC-discr (Ch ch c1 c2)  $\vee$  SC-iso (Ch ch c1 c2)))
| SC-Sbis (While tst c)  $\longleftrightarrow$  SC-discr (While tst c)  $\vee$  SC-iso (While tst c)
| SC-Sbis (Par cs)     $\longleftrightarrow$   $(\forall c \in \text{set } cs. \textit{SC-Sbis } c)$ 
| SC-Sbis (ParT cs)    $\longleftrightarrow$   $\textit{SC-iso } (\textit{ParT } cs) \vee \textit{SC-discr } (\textit{ParT } cs)$ 

```

theorem *SC-iso-SCbis[intro]*: *SC-iso c* \implies *SC-Sbis c*
by (*induct c*) *auto*

theorem *SC-discr-SCbis[intro]*: *SC-discr c* \implies *SC-Sbis c*
by (*induct c*) *auto*

declare *SC-iso.simps[simp del]*

declare *SC-discr.simps[simp del]*

theorem *SC-Sbis-Sbis[intro]*: *proper c* \implies *SC-Sbis c* \implies *c \approx_s c*
by (*induct c*)

(*auto intro: Sbis discr-imp-Sbis siso-imp-Sbis
split: if-split-asm*)

```
fun SC-ZObis where
  SC-ZObis Done       $\longleftrightarrow$  True
| SC-ZObis (Atm atm)   $\longleftrightarrow$  compatAtm atm
| SC-ZObis (Seq c1 c2)  $\longleftrightarrow$  (SC-siso c1  $\wedge$  SC-ZObis c2)  $\vee$ 
                               (SC-ZObis c1  $\wedge$  SC-discr c2)  $\vee$ 
                               SC-Sbis (Seq c1 c2)
| SC-ZObis (Ch ch c1 c2)  $\longleftrightarrow$  (if compatCh ch
                                     then SC-ZObis c1  $\wedge$  SC-ZObis c2
                                     else SC-Sbis (Ch ch c1 c2))
| SC-ZObis (While tst c)  $\longleftrightarrow$  SC-Sbis (While tst c)
| SC-ZObis (Par cs)       $\longleftrightarrow$  SC-Sbis (Par cs)
| SC-ZObis (ParT cs)      $\longleftrightarrow$  ( $\forall c \in \text{set } cs. \text{SC-Sbis } c$ )
```

theorem SC-Sbis-SC-ZObis[*intro*]: SC-Sbis $c \implies$ SC-ZObis c
by (*induct c*) (*auto simp: SC-siso.simps SC-discr.simps*)

declare SC-Sbis.simps[*simp del*]

theorem SC-ZObis-ZObis: *proper* $c \implies$ SC-ZObis $c \implies c \approx 01 c$
apply (*induct c*)
apply (*auto intro: Sbis-imp-ZObis ZObis split: if-split-asm*)
apply (*auto intro!: ZObis(5)*)
done

end

end

6 Concrete setting

theory Concrete
imports Syntactic-Criteria
begin

datatype level = Lo | Hi

lemma [*simp*]: $\bigwedge l. l \neq \text{Hi} \longleftrightarrow l = \text{Lo}$ **and**
 [*simp*]: $\bigwedge l. \text{Hi} \neq l \longleftrightarrow \text{Lo} = l$ **and**
 [*simp*]: $\bigwedge l. l \neq \text{Lo} \longleftrightarrow l = \text{Hi}$ **and**
 [*simp*]: $\bigwedge l. \text{Lo} \neq l \longleftrightarrow \text{Hi} = l$
by (*metis level.exhaust level.simps(2)*)**+**

lemma [*dest*]: $\bigwedge l A. \llbracket l \in A; \text{Lo} \notin A \rrbracket \implies l = \text{Hi}$ **and**

```

    [dest]:  $\bigwedge l A. [l \in A; Hi \notin A] \implies l = Lo$ 
  by (metis level.exhaust)+

  declare level.split[split]

  instantiation level :: complete-lattice
  begin
    definition top-level: top  $\equiv Hi$ 
    definition bot-level: bot  $\equiv Lo$ 
    definition inf-level: inf l1 l2  $\equiv$  if  $Lo \in \{l1, l2\}$  then  $Lo$  else  $Hi$ 
    definition sup-level: sup l1 l2  $\equiv$  if  $Hi \in \{l1, l2\}$  then  $Hi$  else  $Lo$ 
    definition less-eq-level: less-eq l1 l2  $\equiv (l1 = Lo \vee l2 = Hi)$ 
    definition less-level: less l1 l2  $\equiv l1 = Lo \wedge l2 = Hi$ 
    definition Inf-level: Inf L  $\equiv$  if  $Lo \in L$  then  $Lo$  else  $Hi$ 
    definition Sup-level: Sup L  $\equiv$  if  $Hi \in L$  then  $Hi$  else  $Lo$ 
  instance
    proof qed (auto simp: top-level bot-level inf-level sup-level
      less-eq-level less-level Inf-level Sup-level)
  end

  lemma sup-eq-Lo[simp]: sup a b = Lo  $\longleftrightarrow$  a = Lo  $\wedge$  b = Lo
    by (auto simp: sup-level)

  datatype var = h | h' | l | l'
  datatype exp = Ct nat | Var var | Plus exp exp | Minus exp exp
  datatype test = Tr | Eq exp exp | Gt exp exp | Non test
  datatype atom = Assign var exp
  type-synonym choice = real + test
  type-synonym state = var  $\Rightarrow$  nat

  syntax
    -assign :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (- ::= - [1000, 61] 61)

  translations
    x ::= expr == CONST Atm (CONST Assign x expr)

  primrec sec where
    sec h = Hi
  | sec h' = Hi
  | sec l = Lo
  | sec l' = Lo

  fun eval where
    eval (Ct n) s = n
  | eval (Var x) s = s x
  | eval (Plus e1 e2) s = eval e1 s + eval e2 s
  | eval (Minus e1 e2) s = eval e1 s - eval e2 s

  fun tval where

```

```

    tval Tr s = True
| tval (Eq e1 e2) s = (eval e1 s = eval e2 s)
| tval (Gt e1 e2) s = (eval e1 s > eval e2 s)
| tval (Non e) s = ( $\neg$  tval e s)

```

fun aval **where**

```

aval (Assign x e) s = (s (x := eval e s))

```

fun cval **where**

```

    cval (Inl p) s = min 1 (max 0 p)
| cval (Inr tst) s = (if tval tst s then 1 else 0)

```

definition indis :: (state * state) set**where**

```

indis  $\equiv$  {(s,t). ALL x. sec x = Lo  $\longrightarrow$  s x = t x}

```

interpretation Example-PL: PL-Indis aval tval cval indis

proof

```

    fix ch :: choice and s show 0  $\leq$  cval ch s  $\wedge$  cval ch s  $\leq$  1
    by (cases ch) auto

```

next

```

    show equiv UNIV indis

```

```

    unfolding refl-on-def sym-def trans-def equiv-def indis-def by auto

```

qed

fun exprSec **where**

```

    exprSec (Ct n) = Lo
| exprSec (Var x) = sec x
| exprSec (Plus e1 e2) = sup (exprSec e1) (exprSec e2)
| exprSec (Minus e1 e2) = sup (exprSec e1) (exprSec e2)

```

fun tstSec **where**

```

    tstSec Tr = Lo
| tstSec (Eq e1 e2) = sup (exprSec e1) (exprSec e2)
| tstSec (Gt e1 e2) = sup (exprSec e1) (exprSec e2)
| tstSec (Non e) = tstSec e

```

lemma exprSec-Lo-eval-eq: exprSec expr = Lo \implies (s, t) \in indis \implies eval expr s = eval expr t

```

    by (induct expr) (auto simp: indis-def)

```

lemma compatAtmSyntactic[simp]: exprSec expr = Lo \vee sec v = Hi \implies Example-PL.compatAtm (Assign v expr)

```

    unfolding Example-PL.compatAtm-def

```

```

    by (induct expr)

```

```

    (auto simp: indis-def intro!: arg-cong2[where f=(+)] arg-cong2[where f=(-)]

```

```

    exprSec-Lo-eval-eq)

```

lemma *presAtmSyntactic*[simp]: $sec\ v = Hi \implies Example-PL.presAtm\ (Assign\ v\ expr)$

unfolding *Example-PL.presAtm-def* **by** (*simp add: indis-def*)

lemma *compatTstSyntactic*[simp]: $tstSec\ tst = Lo \implies Example-PL.compatTst\ tst$

unfolding *Example-PL.compatTst-def*

by (*induct\ tst*)

(*simp-all, safe del: iffI*

intro!: arg-cong2[where\ f=(=)] arg-cong2[where\ f=(<)] :: nat \Rightarrow nat \Rightarrow bool] exprSec-Lo-eval-eq)

lemma *compatPrchSyntactic*[simp]: $Example-PL.compatCh\ (Inl\ p)$

unfolding *Example-PL.compatCh-def* **by** *auto*

lemma *compatIfchSyntactic*[simp]: $Example-PL.compatCh\ (Inr\ tst) \longleftrightarrow Example-PL.compatTst\ tst$

unfolding *Example-PL.compatCh-def Example-PL.compatTst-def* **by** *auto*

abbreviation *Ch-half* ($Ch_{\frac{1}{2}}$) **where** $Ch_{\frac{1}{2}} \equiv Ch\ (Inl\ (1/2))$

abbreviation *If* **where** $If\ tst \equiv Ch\ (Inr\ tst)$

abbreviation *siso* $c \equiv Example-PL.siso\ c$

abbreviation *discr* $c \equiv Example-PL.discr\ c$

abbreviation *Sbis-abbrev* (**infix** $\approx_s\ 55$) **where** $c1 \approx_s\ c2 \equiv (c1, c2) \in Example-PL.Sbis$

abbreviation *ZObis-abbrev* (**infix** $\approx_{01}\ 55$) **where** $c1 \approx_{01}\ c2 \equiv (c1, c2) \in Example-PL.ZObis$

abbreviation *SC-siso* $c \equiv Example-PL.SC-siso\ c$

abbreviation *SC-discr* $c \equiv Example-PL.SC-discr\ c$

abbreviation *SC-Sbis* $c \equiv Example-PL.SC-Sbis\ c$

abbreviation *SC-ZObis* $c \equiv Example-PL.SC-ZObis\ c$

lemma *SC-discr* ($h ::= Ct\ 0$)

by (*simp add: Example-PL.SC-discr.simps*)

6.1 The secure programs from the paper's Example 3

definition [simp]: $d0 =$

$h' ::= Ct\ 0\ ;;$

$While\ (Gt\ (Var\ h)\ (Ct\ 0))$

$(Ch_{\frac{1}{2}}\ (h ::= Ct\ 0))$

$(h' ::= Plus\ (Var\ h')\ (Ct\ 1))$

definition [simp]: $d1 =$

$While\ (Gt\ (Var\ h)\ (Ct\ 0))$

$(Ch_{\frac{1}{2}}\ (h ::= Minus\ (Var\ h)\ (Ct\ 1))$

$(h ::= Plus\ (Var\ h)\ (Ct\ 1))$

definition *[simp]*: $d2 =$
If (Eq (Var l) (Ct 0))
(l' ::= Ct 1)
d0

definition *[simp]*: $d3 =$
h ::= Ct 5 ;;
ParT [d0, (l ::= Ct 1)]

theorem *SC-discr d0*

SC-discr d1

SC-Sbis d2

SC-ZObis d2

by (*auto simp: Example-PL.SC-discr.simps Example-PL.SC-Sbis.simps Example-PL.SC-ZObis.simps*)

theorem *discr d0*

discr d1

d2 \approx_s d2

d3 \approx_{01} d3

by (*auto intro!: compatAtmSyntactic*

Example-PL.ZObis Example-PL.proper-intros

Example-PL.Atm-Sbis)

end

References

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