

# Priority Queues Based on Braun Trees

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## Abstract

This entry verifies priority queues based on Braun trees. Insertion and deletion take logarithmic time and preserve the balanced nature of Braun trees. Two implementations of deletion are provided.

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## 1 Priority Queues Based on Braun Trees

```
theory Priority-Queue-Braun
imports
  HOL-Library.Tree-Multiset
  HOL-Library.Pattern-Aliases
  HOL-Data-Structures.Priority-Queue-Specs
  HOL-Data-Structures.Braun-Tree
  HOL-Data-Structures.Define-Time-Function
begin
```

## 1.1 Introduction

Braun, Rem and Hoogerwoord [1, 2] used specific balanced binary trees, often called Braun trees (where in each node with subtrees  $l$  and  $r$ ,  $size(r) \leq size(l) \leq size(r) + 1$ ), to implement flexible arrays. Paulson [3] (based on code supplied by Okasaki) implemented priority queues via Braun trees. This theory verifies Paulson's implementation, with small simplifications.

Direct proof of logarithmic height. Also follows from the fact that Braun trees are balanced (proved in the base theory).

**lemma** *height-size-braun*:  $braun\ t \implies 2^{\wedge}(height\ t) \leq 2 * size\ t + 1$   
*<proof>*

## 1.2 Get Minimum

**fun** *get-min* :: 'a::linorder tree  $\Rightarrow$  'a **where**  
*get-min* (Node l a r) = a

**lemma** *get-min*:  $\llbracket heap\ t; t \neq Leaf \rrbracket \implies get-min\ t = Min-mset\ (mset-tree\ t)$   
*<proof>*

## 1.3 Insertion

**hide-const** (open) *insert*

**fun** *insert* :: 'a::linorder  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree **where**  
*insert* a Leaf = Node Leaf a Leaf |  
*insert* a (Node l x r) =  
 (if a < x then Node (insert x r) a l else Node (insert a r) x l)

**lemma** *size-insert[simp]*:  $size(insert\ x\ t) = size\ t + 1$   
*<proof>*

**lemma** *mset-insert*:  $mset-tree(insert\ x\ t) = \{x\} + mset-tree\ t$   
*<proof>*

**lemma** *set-insert[simp]*:  $set-tree(insert\ x\ t) = \{x\} \cup (set-tree\ t)$   
*<proof>*

**lemma** *braun-insert*:  $braun\ t \implies braun(insert\ x\ t)$   
*<proof>*

**lemma** *heap-insert*:  $heap\ t \implies heap(insert\ x\ t)$   
*<proof>*

## 1.4 Deletion

Slightly simpler definition of *del-left* which avoids the need to appeal to the Braun invariant.

**fun** *del-left* :: 'a tree  $\Rightarrow$  'a \* 'a tree **where**  
*del-left* (Node Leaf x r) = (x,r) |  
*del-left* (Node l x r) = (let (y,l') = *del-left* l in (y,Node r x l'))

**lemma** *del-left-mset-plus*:  
*del-left* t = (x,t')  $\Longrightarrow$  t  $\neq$  Leaf  
 $\Longrightarrow$  mset-tree t = {#x#} + mset-tree t'  
 <proof>

**lemma** *del-left-mset*:  
*del-left* t = (x,t')  $\Longrightarrow$  t  $\neq$  Leaf  
 $\Longrightarrow$  x  $\in$  # mset-tree t  $\wedge$  mset-tree t' = mset-tree t - {#x#}  
 <proof>

**lemma** *del-left-set*:  
*del-left* t = (x,t')  $\Longrightarrow$  t  $\neq$  Leaf  $\Longrightarrow$  set-tree t = {x}  $\cup$  set-tree t'  
 <proof>

**lemma** *del-left-heap*:  
*del-left* t = (x,t')  $\Longrightarrow$  t  $\neq$  Leaf  $\Longrightarrow$  heap t  $\Longrightarrow$  heap t'  
 <proof>

**lemma** *del-left-size*:  
*del-left* t = (x,t')  $\Longrightarrow$  t  $\neq$  Leaf  $\Longrightarrow$  size t = size t' + 1  
 <proof>

**lemma** *del-left-braun*:  
*del-left* t = (x,t')  $\Longrightarrow$  t  $\neq$  Leaf  $\Longrightarrow$  braun t  $\Longrightarrow$  braun t'  
 <proof>

**context includes** *pattern-aliases*  
**begin**

Slightly simpler definition: - instead of  $\langle \rangle$  because of Braun invariant.

**function** (*sequential*) *sift-down* :: 'a::linorder tree  $\Rightarrow$  'a  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree **where**  
*sift-down* Leaf a - = Node Leaf a Leaf |  
*sift-down* (Node Leaf x -) a Leaf =  
 (if a  $\leq$  x then Node (Node Leaf x Leaf) a Leaf  
 else Node (Node Leaf a Leaf) x Leaf) |  
*sift-down* (Node l1 x1 r1 =: t1) a (Node l2 x2 r2 =: t2) =  
 (if a  $\leq$  x1  $\wedge$  a  $\leq$  x2  
 then Node t1 a t2  
 else if x1  $\leq$  x2 then Node (*sift-down* l1 a r1) x1 t2  
 else Node t1 x2 (*sift-down* l2 a r2))  
 <proof>  
**termination**  
 <proof>

**end**

**lemma** *size-sift-down*:

$braun(Node\ l\ a\ r) \implies size(sift-down\ l\ a\ r) = size\ l + size\ r + 1$   
*<proof>*

**lemma** *braun-sift-down*:

$braun(Node\ l\ a\ r) \implies braun(sift-down\ l\ a\ r)$   
*<proof>*

**lemma** *mset-sift-down*:

$braun(Node\ l\ a\ r) \implies mset-tree(sift-down\ l\ a\ r) = \{a\} + (mset-tree\ l + mset-tree\ r)$   
*<proof>*

**lemma** *set-sift-down*:  $braun(Node\ l\ a\ r)$

$\implies set-tree(sift-down\ l\ a\ r) = \{a\} \cup (set-tree\ l \cup set-tree\ r)$   
*<proof>*

**lemma** *heap-sift-down*:

$braun(Node\ l\ a\ r) \implies heap\ l \implies heap\ r \implies heap(sift-down\ l\ a\ r)$   
*<proof>*

**fun** *del-min* :: 'a::linorder tree  $\Rightarrow$  'a tree **where**

*del-min* Leaf = Leaf |

*del-min* (Node Leaf x r) = Leaf |

*del-min* (Node l x r) = (let (y,l') = del-left l in sift-down r y l')

**lemma** *braun-del-min*:  $braun\ t \implies braun(del-min\ t)$

*<proof>*

**lemma** *heap-del-min*:  $heap\ t \implies braun\ t \implies heap(del-min\ t)$

*<proof>*

**lemma** *size-del-min*: **assumes**  $braun\ t$  **shows**  $size(del-min\ t) = size\ t - 1$

*<proof>*

**lemma** *mset-del-min*: **assumes**  $braun\ t\ t \neq Leaf$

**shows**  $mset-tree(del-min\ t) = mset-tree\ t - \{get-min\ t\}$

*<proof>*

Last step: prove all axioms of the priority queue specification:

**interpretation** *braun*: Priority-Queue

**where** *empty* = Leaf **and** *is-empty* =  $\lambda h. h = Leaf$

**and** *insert* = insert **and** *del-min* = del-min

**and** *get-min* = get-min **and** *invar* =  $\lambda h. braun\ h \wedge heap\ h$

**and** *mset* = mset-tree

*<proof>*

## 1.5 Running Time Analysis

**time-fun** *insert*

**lemma** *T-insert*:  $T\text{-insert } a \ t \leq \text{height } t + 1$   
*<proof>*

**time-fun** *del-left*

**lemma** *T-del-left-height*:  $t \neq \text{Leaf} \implies T\text{-del-left } t \leq \text{height } t$   
*<proof>*

**time-function** *sift-down*

**termination**

*<proof>*

**lemma** *T-sift-down-height*:  $\text{braun}(\text{Node } l \ a \ r) \implies T\text{-sift-down } l \ x \ r \leq \max(\text{height } l) (\text{height } r) + 1$   
*<proof>*

**time-fun** *del-min*

**lemma** *del-left-height*:  $\llbracket \text{del-left } t = (x, t'); t \neq \langle \rangle \rrbracket \implies \text{height } t' \leq \text{height } t$   
*<proof>*

**lemma** *T-del-min-neq-Leaf*:  $l \neq \text{Leaf} \implies$

$T\text{-del-min } (\text{Node } l \ x \ r) = T\text{-del-left } l + (\text{let } (y, l') = \text{del-left } l \text{ in } T\text{-sift-down } r \ y \ l')$

*<proof>*

**lemma** *T-del-min*: **assumes** *braun t* **shows**  $T\text{-del-min } t \leq 2 * \text{height } t$

*<proof>*

**end**

## 2 Priority Queues Based on Braun Trees 2

**theory** *Priority-Queue-Braun2*

**imports** *Priority-Queue-Braun*

**begin**

This is the version verified by Jean-Christophe Filliâtre with the help of the Why3 system [http://toccata.lri.fr/gallery/braun\\_trees.en.html](http://toccata.lri.fr/gallery/braun_trees.en.html). Only the deletion function (*del-min2* below) differs from Paulson's version. But the difference turns out to be minor — see below.

### 2.1 Function *del-min2*

**fun** *le-root* ::  $'a::\text{linorder} \Rightarrow 'a \ \text{tree} \Rightarrow \text{bool}$  **where**

$le\text{-root } a \ t = (t = Leaf \vee a \leq \text{value } t)$

```
fun replace-min :: 'a::linorder  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree where
replace-min x (Node l - r) =
  (if le-root x l & le-root x r then Node l x r
   else
    let a = value l in
    if le-root a r then Node (replace-min x l) a r
    else Node l (value r) (replace-min x r))
```

```
fun merge :: 'a::linorder tree  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree where
merge l Leaf = l |
merge (Node l1 a1 r1) (Node l2 a2 r2) =
  (if a1  $\leq$  a2 then Node (Node l2 a2 r2) a1 (merge l1 r1)
   else let (x, l') = del-left (Node l1 a1 r1)
        in Node (replace-min x (Node l2 a2 r2)) a2 l')
```

```
fun del-min2 where
del-min2 Leaf = Leaf |
del-min2 (Node l x r) = merge l r
```

## 2.2 Correctness Proof

It turns out that *replace-min* is just *sift-down* in disguise:

**lemma** *replace-min-sift-down*:  $braun \ (Node \ l \ a \ r) \Longrightarrow \ replace\text{-min} \ x \ (Node \ l \ a \ r) = \ sift\text{-down} \ l \ x \ r$   
*<proof>*

This means that *del-min2* is merely a slight optimization of *del-min*: instead of calling *del-left* right away, *merge* can take advantage of the case where the smaller element is at the root of the left heap and can be moved up without complications. However, on average this is just the case on the first level.

Function *merge*:

**lemma** *mset-tree-merge*:  
 $braun \ (Node \ l \ x \ r) \Longrightarrow \ mset\text{-tree}(merge \ l \ r) = \ mset\text{-tree} \ l + \ mset\text{-tree} \ r$   
*<proof>*

**lemma** *heap-merge*:  
 $\llbracket \ braun \ (Node \ l \ x \ r); \ heap \ l; \ heap \ r \rrbracket \Longrightarrow \ heap(merge \ l \ r)$   
*<proof>*

**lemma** *del-left-braun-size*:  
 $del\text{-left} \ t = (x, t') \Longrightarrow \ braun \ t \Longrightarrow \ t \neq Leaf \Longrightarrow \ braun \ t' \wedge \ size \ t = \ size \ t' + 1$   
*<proof>*

**lemma** *braun-size-merge*:  
 $braun \ (Node \ l \ x \ r) \Longrightarrow \ braun(merge \ l \ r) \wedge \ size(merge \ l \ r) = \ size \ l + \ size \ r$

*<proof>*

Last step: prove all axioms of the priority queue specification:

**interpretation** *braun*: *Priority-Queue*  
**where** *empty* = *Leaf* **and** *is-empty* =  $\lambda h. h = \text{Leaf}$   
**and** *insert* = *insert* **and** *del-min* = *del-min2*  
**and** *get-min* = *get-min* **and** *invar* =  $\lambda h. \text{braun } h \wedge \text{heap } h$   
**and** *mset* = *mset-tree*  
*<proof>*

**end**

### 3 Sorting via Priority Queues Based on Braun Trees

**theory** *Sorting-Braun*  
**imports** *Priority-Queue-Braun*  
**begin**

This theory is about sorting algorithms based on heaps. Algorithm A can be found here <http://www.csse.canterbury.ac.nz/walter.guttmann/publications/0005.pdf> on p. 54. (published here <http://www.jucs.org/doi?doi=10.3217/jucs-009-02-0173>) Not really the classic heap sort but a mixture of heap sort and merge sort. The algorithm (B) in Larry's book comes closer to the classic heap sort: <https://www.cl.cam.ac.uk/~lp15/MLbook/programs/sample7.sml>.

Both algorithms have two phases: build a heap from a list, then extract the elements of the heap into a sorted list.

**abbreviation**(*input*)  
 $nlog2\ n == \text{nat}(\text{ceiling}(\log\ 2\ n))$

#### 4 Phase 1: List to Tree

Algorithm A does this naively, in  $O(nlgn)$  fashion and generates a Braun tree:

**fun** *heap-of-A* :: ('a::linorder) list  $\Rightarrow$  'a tree **where**  
*heap-of-A* [] = *Leaf* |  
*heap-of-A* (a#as) = *insert* a (*heap-of-A* as)

**lemma** *heap-heap-of-A*: *heap* (*heap-of-A* xs)  
*<proof>*

**lemma** *braun-heap-of-A*: *braun* (*heap-of-A* xs)

*<proof>*

**lemma** *mset-tree-heap-of-A*:  $mset-tree (heap-of-A\ xs) = mset\ xs$   
*<proof>*

Running time is  $n \cdot \log n$ , which we can approximate with height.

**fun** *t-insert* :: '*a*::*linorder*  $\Rightarrow$  '*a* *tree*  $\Rightarrow$  *nat* **where**  
*t-insert* *a* *Leaf* = 1 |  
*t-insert* *a* (*Node* *l* *x* *r*) =  
  (*if* *a* < *x* *then* 1 + *t-insert* *x* *r* *else* 1 + *t-insert* *a* *r*)

**fun** *t-heap-of-A* :: ('*a*::*linorder*) *list*  $\Rightarrow$  *nat* **where**  
*t-heap-of-A* [] = 0 |  
*t-heap-of-A* (*a*#*as*) = *t-insert* *a* (*heap-of-A* *as*) + *t-heap-of-A* *as*

**lemma** *t-insert-height*:  
*t-insert* *x* *t*  $\leq$  *height* *t* + 1  
*<proof>*

**lemma** *height-insert-ge*:  
*height* *t*  $\leq$  *height* (*insert* *x* *t*)  
*<proof>*

**lemma** *t-heap-of-A-bound*:  
*t-heap-of-A* *xs*  $\leq$  *length* *xs* \* (*height* (*heap-of-A* *xs*) + 1)  
*<proof>*

**lemma** *size-heap-of-A*:  
*size* (*heap-of-A* *xs*) = *length* *xs*  
*<proof>*

**lemma** *t-heap-of-A-log-bound*:  
*t-heap-of-A* *xs*  $\leq$  *length* *xs* \* ( $n \log 2 (length\ xs + 1) + 1$ )  
*<proof>*

Algorithm B mimics heap sort more closely by building heaps bottom up in a balanced way:

**fun** *heapify* :: *nat*  $\Rightarrow$  ('*a*::*linorder*) *list*  $\Rightarrow$  '*a* *tree* \* '*a* *list* **where**  
*heapify* 0 *xs* = (*Leaf*, *xs*) |  
*heapify* (*Suc* *n*) (*x*#*xs*) =  
  (*let* (*l*, *ys*) = *heapify* (*Suc* *n* *div* 2) *xs*;  
    (*r*, *zs*) = *heapify* (*n* *div* 2) *ys*  
    in (*sift-down* *l* *x* *r*, *zs*))

The result should be a Braun tree:

**lemma** *heapify-snd*:  
 $n \leq length\ xs \implies snd (heapify\ n\ xs) = drop\ n\ xs$   
*<proof>*



**lemma** *heapify-snd-tup*:

$heapify\ n\ xs = (t, ys) \implies n \leq length\ xs \implies ys = drop\ n\ xs$   
*<proof>*

**lemma** *heapify-correct*:

$n \leq length\ xs \implies heapify\ n\ xs = (t, ys) \implies$   
 $size\ t = n \wedge heap\ t \wedge braun\ t \wedge mset-tree\ t = mset\ (take\ n\ xs)$   
*<proof>*

**lemma** *braun-heapify*:

$n \leq length\ xs \implies braun\ (fst\ (heapify\ n\ xs))$   
*<proof>*

**lemma** *heap-heapify*:

$n \leq length\ xs \implies heap\ (fst\ (heapify\ n\ xs))$   
*<proof>*

**lemma** *mset-heapify*:

$n \leq length\ xs \implies mset-tree\ (fst\ (heapify\ n\ xs)) = mset\ (take\ n\ xs)$   
*<proof>*

The running time of heapify is linear. (similar to [https://en.wikipedia.org/wiki/Binary\\_heap#Building\\_a\\_heap](https://en.wikipedia.org/wiki/Binary_heap#Building_a_heap))

This is an interesting result, so we embark on this exercise to prove it the hard way.

**context includes** *pattern-aliases*

**begin**

**function** *(sequential) t-sift-down* :: 'a::linorder tree  $\Rightarrow$  'a  $\Rightarrow$  'a tree  $\Rightarrow$  nat **where**

*t-sift-down* Leaf a Leaf = 1 |  
*t-sift-down* (Node Leaf x Leaf) a Leaf = 2 |  
*t-sift-down* (Node l1 x1 r1 =: t1) a (Node l2 x2 r2 =: t2) =  
  (if a  $\leq$  x1  $\wedge$  a  $\leq$  x2  
  then 1  
  else if x1  $\leq$  x2 then 1 + *t-sift-down* l1 a r1  
  else 1 + *t-sift-down* l2 a r2)

*<proof>*

**termination**

*<proof>*

**end**

**fun** *t-heapify* :: nat  $\Rightarrow$  ('a::linorder) list  $\Rightarrow$  nat **where**

*t-heapify* 0 xs = 1 |  
*t-heapify* (Suc n) (x#xs) =  
  (let (l, ys) = *heapify* (Suc n div 2) xs;  
  t1 = *t-heapify* (Suc n div 2) xs;  
  (r, zs) = *heapify* (n div 2) ys;

$t2 = t\text{-heapify } (n \text{ div } 2) \text{ } ys$   
in  $1 + t1 + t2 + t\text{-sift-down } l \text{ } x \text{ } r$ )

**lemma** *t-sift-down-height*:

$braun \text{ (Node } l \text{ } x \text{ } r) \implies t\text{-sift-down } l \text{ } x \text{ } r \leq \text{height (Node } l \text{ } x \text{ } r)$   
⟨proof⟩

**lemma** *sift-down-height*:

$braun \text{ (Node } l \text{ } x \text{ } r) \implies \text{height (sift-down } l \text{ } x \text{ } r) \leq \text{height (Node } l \text{ } x \text{ } r)$   
⟨proof⟩

**lemma** *braun-height-r-le*:

$braun \text{ (Node } l \text{ } x \text{ } r) \implies \text{height } r \leq \text{height } l$   
⟨proof⟩

**lemma** *braun-height-l-le*:

**assumes**  $b$ :  $braun \text{ (Node } l \text{ } x \text{ } r)$   
**shows**  $\text{height } l \leq \text{Suc (height } r)$   
⟨proof⟩

**lemma** *braun-height-node-eq*:

**assumes**  $b$ :  $braun \text{ (Node } l \text{ } x \text{ } r)$   
**shows**  $\text{height (Node } l \text{ } x \text{ } r) = \text{Suc (height } l)$   
⟨proof⟩

**lemma** *t-heapify-induct*:

$i \leq \text{length } xs \implies t\text{-heapify } i \text{ } xs + \text{height (fst (heapify } i \text{ } xs)) \leq 5 * i + 1$   
⟨proof⟩

**lemma** *t-heapify-bound*:

$i \leq \text{length } xs \implies t\text{-heapify } i \text{ } xs \leq 5 * i + 1$   
⟨proof⟩

## 5 Phase 2: Heap to List

Algorithm A extracts (*list-of-A*) the list by removing the root and merging the children:

**lemma** *size-prod-measure[measure-function]*:

$is\text{-measure } f \implies is\text{-measure } g \implies is\text{-measure (size-prod } f \text{ } g)$   
⟨proof⟩

**fun** *merge* :: ( $'a::\text{linorder}$ ) *tree*  $\Rightarrow 'a \text{ tree} \Rightarrow 'a \text{ tree}$  **where**

*merge* *Leaf*  $t2 = t2$  |

*merge*  $t1$  *Leaf*  $= t1$  |

*merge*  $(\text{Node } l1 \text{ } a1 \text{ } r1) (\text{Node } l2 \text{ } a2 \text{ } r2) =$

(if  $a1 \leq a2$  then  $\text{Node (merge } l1 \text{ } r1) a1 (\text{Node } l2 \text{ } a2 \text{ } r2)$

else  $\text{Node (Node } l1 \text{ } a1 \text{ } r1) a2 (\text{merge } l2 \text{ } r2)$ )

**value** merge  $\langle \rangle, 0::int, \langle \rangle \langle \rangle, 0, \langle \rangle = \langle \rangle, 0, \langle \rangle, 0, \langle \rangle$

**lemma** merge-size[termination-simp]:

size (merge l r) = size l + size r

$\langle proof \rangle$

**fun** list-of-A :: ('a::linorder) tree  $\Rightarrow$  'a list **where**

list-of-A Leaf = [] |

list-of-A (Node l a r) = a # list-of-A (merge l r)

**value** list-of-A (heap-of-A shuffle100)

**lemma** set-tree-merge[simp]:

set-tree (merge l r) = set-tree l  $\cup$  set-tree r

$\langle proof \rangle$

**lemma** mset-tree-merge[simp]:

mset-tree (merge l r) = mset-tree l + mset-tree r

$\langle proof \rangle$

**lemma** merge-heap:

heap l  $\Longrightarrow$  heap r  $\Longrightarrow$  heap (merge l r)

$\langle proof \rangle$

**lemma** set-list-of-A[simp]:

set (list-of-A t) = set-tree t

$\langle proof \rangle$

**lemma** mset-list-of-A[simp]:

mset (list-of-A t) = mset-tree t

$\langle proof \rangle$

**lemma** sorted-list-of-A:

heap t  $\Longrightarrow$  sorted (list-of-A t)

$\langle proof \rangle$

**lemma** sortedA: sorted (list-of-A (heap-of-A xs))

$\langle proof \rangle$

**lemma** msetA: mset (list-of-A (heap-of-A xs)) = mset xs

$\langle proof \rangle$

Does *list-of-A* take time  $O(n \lg n)$ ? Although *merge* does not preserve *braun*, it cannot increase the height of the heap.

**lemma** merge-height:

height (merge l r)  $\leq$  Suc (max (height l) (height r))

$\langle proof \rangle$

**corollary** *merge-height-display*:

$height (merge\ l\ r) \leq height (Node\ l\ x\ r)$

*<proof>*

**fun** *t-merge* :: ('a::linorder) tree  $\Rightarrow$  'a tree  $\Rightarrow$  nat **where**

*t-merge* Leaf t2 = 0 |

*t-merge* t1 Leaf = 0 |

*t-merge* (Node l1 a1 r1) (Node l2 a2 r2) =

(if a1  $\leq$  a2 then 1 + *t-merge* l1 r1

else 1 + *t-merge* l2 r2)

**fun** *t-list-of-A* :: ('a::linorder) tree  $\Rightarrow$  nat **where**

*t-list-of-A* Leaf = 0 |

*t-list-of-A* (Node l a r) = 1 + *t-merge* l r + *t-list-of-A* (merge l r)

**lemma** *t-merge-height*:

$t\text{-merge}\ l\ r \leq \max (height\ l)\ (height\ r)$

*<proof>*

**lemma** *t-list-of-A-induct*:

$height\ t \leq n \implies t\text{-list-of-A}\ t \leq 2 * n * size\ t$

*<proof>*

**lemma** *t-list-of-A-bound*:

$t\text{-list-of-A}\ t \leq 2 * height\ t * size\ t$

*<proof>*

**lemma** *t-list-of-A-log-bound*:

$braun\ t \implies t\text{-list-of-A}\ t \leq 2 * nlog2\ (size\ t + 1) * size\ t$

*<proof>*

**value** *t-list-of-A* (heap-of-A shuffle100)

**theorem** *t-sortA*:

$t\text{-heap-of-A}\ xs + t\text{-list-of-A}\ (heap\text{-of-A}\ xs) \leq 3 * length\ xs * (nlog2\ (length\ xs + 1) + 1)$

(is ?lhs  $\leq$  -)

*<proof>*

Running time of algorithm B:

**function** *list-of-B* :: ('a::linorder) tree  $\Rightarrow$  'a list **where**

*list-of-B* Leaf = [] |

*list-of-B* (Node l a r) = a # *list-of-B* (del-min (Node l a r))

*<proof>*

**lemma** *list-of-B-braun-ptermination*:

$braun\ t \implies list\text{-of-B}\text{-dom}\ t$

*<proof>*

**lemmas** *list-of-B-braun-simps*  
 $= \text{list-of-B.psimps}[\text{OF list-of-B-braun-ptermination}]$

**lemma** *mset-list-of-B*:  
 $\text{braun } t \implies \text{mset } (\text{list-of-B } t) = \text{mset-tree } t$   
 $\langle \text{proof} \rangle$

**lemma** *set-list-of-B*:  
 $\text{braun } t \implies \text{set } (\text{list-of-B } t) = \text{set-tree } t$   
 $\langle \text{proof} \rangle$

**lemma** *sorted-list-of-B*:  
 $\text{braun } t \implies \text{heap } t \implies \text{sorted } (\text{list-of-B } t)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{heap-of-B } xs = \text{fst } (\text{heapify } (\text{length } xs) \ xs)$

**lemma** *sortedB*:  $\text{sorted } (\text{list-of-B } (\text{heap-of-B } xs))$   
 $\langle \text{proof} \rangle$

**lemma** *msetB*:  $\text{mset } (\text{list-of-B } (\text{heap-of-B } xs)) = \text{mset } xs$   
 $\langle \text{proof} \rangle$

**fun** *t-del-left* ::  $'a \text{ tree} \Rightarrow \text{nat}$  **where**  
 $t\text{-del-left } (\text{Node Leaf } x \ r) = 1 \mid$   
 $t\text{-del-left } (\text{Node } l \ x \ r) = (\text{let } (y,l') = \text{del-left } l \ \text{in } 2 + t\text{-del-left } l)$

**fun** *t-del-min* ::  $'a::\text{linorder } \text{tree} \Rightarrow \text{nat}$  **where**  
 $t\text{-del-min } \text{Leaf} = 0 \mid$   
 $t\text{-del-min } (\text{Node Leaf } x \ r) = 0 \mid$   
 $t\text{-del-min } (\text{Node } l \ x \ r) = (\text{let } (y,l') = \text{del-left } l \ \text{in } t\text{-del-left } l + t\text{-sift-down } r \ y \ l')$

**function** *t-list-of-B* ::  $(\text{'a}::\text{linorder}) \ \text{tree} \Rightarrow \text{nat}$  **where**  
 $t\text{-list-of-B } \text{Leaf} = 0 \mid$   
 $t\text{-list-of-B } (\text{Node } l \ a \ r) = 1 + t\text{-del-min } (\text{Node } l \ a \ r) + t\text{-list-of-B } (\text{del-min } (\text{Node } l \ a \ r))$   
 $\langle \text{proof} \rangle$

**lemma** *t-del-left-bound*:  
 $t \neq \text{Leaf} \implies t\text{-del-left } t \leq 2 * \text{height } t$   
 $\langle \text{proof} \rangle$

**lemma** *del-left-height*:  
 $\text{del-left } t = (v, t') \implies t \neq \text{Leaf} \implies \text{height } t' \leq \text{height } t$   
 $\langle \text{proof} \rangle$

**lemma** *t-del-min-bound*:  
 $\text{braun } t \implies t\text{-del-min } t \leq 3 * \text{height } t$

*<proof>*

**lemma** *t-list-of-B-braun-ptermination:*

*braun t  $\implies$  t-list-of-B-dom t*

*<proof>*

**lemmas** *t-list-of-B-braun-simps*

*= t-list-of-B.psimps[OF t-list-of-B-braun-ptermination]*

**lemma** *del-min-height:*

*braun t  $\implies$  height (del-min t)  $\leq$  height t*

*<proof>*

**lemma** *t-list-of-B-induct:*

*braun t  $\implies$  height t  $\leq$  n  $\implies$  t-list-of-B t  $\leq$  3 \* (n + 1) \* size t*

*<proof>*

**lemma** *t-list-of-B-bound:*

*braun t  $\implies$  t-list-of-B t  $\leq$  3 \* (height t + 1) \* size t*

*<proof>*

**lemma** *t-list-of-B-log-bound:*

*braun t  $\implies$  t-list-of-B t  $\leq$  3 \* (nlog2 (size t + 1) + 1) \* size t*

*<proof>*

**definition**

*t-heap-of-B xs = length xs + t-heapify (length xs) xs*

**lemma** *t-heap-of-B-bound:*

*t-heap-of-B xs  $\leq$  6 \* length xs + 1*

*<proof>*

**lemmas** *size-heapify = arg-cong[OF mset-heapify, where f=size, simplified]*

**theorem** *t-sortB:*

*t-heap-of-B xs + t-list-of-B (heap-of-B xs)*

*$\leq$  3 \* length xs \* (nlog2 (length xs + 1) + 3) + 1*

*(is ?lhs  $\leq$  -)*

*<proof>*

**end**

## References

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