

# A Formalization of Pratt's Primality Certificates

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## Abstract

In 1975, Pratt introduced a proof system for certifying primes [1]. He showed that a number  $p$  is prime iff a primality certificate for  $p$  exists. By showing a logarithmic upper bound on the length of the certificates in size of the prime number, he concluded that the decision problem for prime numbers is in NP. This work formalizes soundness and completeness of Pratt's proof system as well as an upper bound for the size of the certificate.

## Contents

<b>1</b>	<b>Pratt's Primality Certificates</b>	<b>1</b>
1.1	Soundness . . . . .	2
1.2	Completeness . . . . .	4
1.3	Efficient modular exponentiation . . . . .	12
1.4	Executable certificate checker . . . . .	14
1.5	Proof method setup . . . . .	18
1.6	Code generation for Pratt certificates . . . . .	19

## 1 Pratt's Primality Certificates

```
theory Pratt-Certificate
```

```
imports
```

```
  Complex-Main
```

```
  Lehmer.Lehmer
```

```
begin
```

This work formalizes Pratt's proof system as described in his article "Every Prime has a Succinct Certificate"[1].

The proof system makes use of two types of predicates:

- $\text{Prime}(p)$ :  $p$  is a prime number
- $(p, a, x)$ :  $\forall q \in \text{prime-factors}(x). [a^{\wedge}((p - 1) \text{ div } q) \neq 1] \pmod{p}$

We represent these predicates with the following datatype:

**datatype** *pratt* = *Prime nat* | *Triple nat nat nat*

*Pratt* describes an inference system consisting of the axiom  $(p, a, 1)$  and the following inference rules:

- R1: If we know that  $(p, a, x)$  and  $[a \wedge ((p - 1) \text{ div } q) \neq 1] \pmod{p}$  hold for some prime number  $q$  we can conclude  $(p, a, qx)$  from that.
- R2: If we know that  $(p, a, p - 1)$  and  $[a \wedge (p - 1) = 1] \pmod{p}$  hold, we can infer  $\text{Prime}(p)$ .

Both rules follow from Lehmer's theorem as we will show later on.

A list of predicates (i.e., values of type *pratt*) is a *certificate*, if it is built according to the inference system described above. I.e., a list  $x \# xs$  is a certificate if  $xs$  is a certificate and  $x$  is either an axiom or all preconditions of  $x$  occur in  $xs$ .

We call a certificate  $xs$  a *certificate for*  $p$ , if *Prime*  $p$  occurs in  $xs$ .

The function *valid-cert* checks whether a list is a certificate.

```
fun valid-cert :: pratt list  $\Rightarrow$  bool where
  valid-cert [] = True
| R2: valid-cert (Prime p # xs)  $\longleftrightarrow$   $1 < p \wedge$  valid-cert xs
   $\wedge (\exists a . [a \wedge (p - 1) = 1] \pmod{p} \wedge \text{Triple } p \ a \ (p - 1) \in \text{set } xs)$ 
| R1: valid-cert (Triple p a x # xs)  $\longleftrightarrow$   $p > 1 \wedge 0 < x \wedge$  valid-cert xs  $\wedge (x=1 \vee$ 
   $(\exists q \ y. x = q * y \wedge \text{Prime } q \in \text{set } xs \wedge \text{Triple } p \ a \ y \in \text{set } xs$ 
   $\wedge [a \wedge ((p - 1) \text{ div } q) \neq 1] \pmod{p}))$ 
```

We define a function *size-cert* to measure the size of a certificate, assuming a binary encoding of numbers. We will use this to show that there is a certificate for a prime number  $p$  such that the size of the certificate is polynomially bounded in the size of the binary representation of  $p$ .

```
fun size-pratt :: pratt  $\Rightarrow$  real where
  size-pratt (Prime p) =  $\log 2 \ p$  |
  size-pratt (Triple p a x) =  $\log 2 \ p + \log 2 \ a + \log 2 \ x$ 
```

```
fun size-cert :: pratt list  $\Rightarrow$  real where
  size-cert [] =  $0$  |
  size-cert ( $x \# xs$ ) =  $1 + \text{size-pratt } x + \text{size-cert } xs$ 
```

## 1.1 Soundness

In Section 1 we introduced the predicates  $\text{Prime}(p)$  and  $(p, a, x)$ . In this section we show that for a certificate every predicate occurring in this certificate holds. In particular, if  $\text{Prime}(p)$  occurs in a certificate,  $p$  is prime.

**lemma** *prime-factors-one* [*simp*]: **shows** *prime-factors* (*Suc 0*) =  $\{\}$

```

using prime-factorization-1 [where ?'a = nat] by simp

lemma prime-factors-of-prime: fixes p :: nat assumes prime p shows prime-factors
p = {p}
using assms by (fact prime-prime-factors)

definition pratt-triple :: nat ⇒ nat ⇒ nat ⇒ bool where
pratt-triple p a x ⇔ x > 0 ∧ (∀ q ∈ prime-factors x. [a ^ ((p - 1) div q) ≠ 1]
(mod p))

lemma pratt-triple-1: p > 1 ⇒ x = 1 ⇒ pratt-triple p a x
by (auto simp: pratt-triple-def)

lemma pratt-triple-extend:
assumes prime q pratt-triple p a y
p > 1 x > 0 x = q * y [a ^ ((p - 1) div q) ≠ 1] (mod p)
shows pratt-triple p a x
proof -
have prime-factors x = insert q (prime-factors y)
using assms by (simp add: prime-factors-product prime-prime-factors)
also have ∀ r ∈ ... [a ^ ((p - 1) div r) ≠ 1] (mod p)
using assms by (auto simp: pratt-triple-def)
finally show ?thesis using assms
unfolding pratt-triple-def by blast
qed

lemma pratt-triple-imp-prime:
assumes pratt-triple p a x p > 1 x = p - 1 [a ^ (p - 1) = 1] (mod p)
shows prime p
using lehmers-theorem[of p a] assms by (auto simp: pratt-triple-def)

theorem pratt-sound:
assumes 1: valid-cert c
assumes 2: t ∈ set c
shows (t = Prime p → prime p) ∧
(t = Triple p a x → ((∀ q ∈ prime-factors x . [a ^ ((p - 1) div q) ≠ 1] (mod
p)) ∧ 0 < x))
using assms
proof (induction c arbitrary: p a x t)
case Nil then show ?case by force
next
case (Cons y ys)
{ assume y = Triple p a x x = 1
then have (∀ q ∈ prime-factors x . [a ^ ((p - 1) div q) ≠ 1] (mod p)) ∧ 0 < x
by simp
}
moreover
{ assume x = y: y = Triple p a x x = 1
hence x > 0 using Cons.prem1 by auto
}

```

```

obtain  $q z$  where  $x=q*z$   $Prime\ q \in\ set\ ys \wedge\ Triple\ p\ a\ z \in\ set\ ys$ 
and  $cong:[a^{\wedge}(p-1)\ div\ q] \neq 1 \pmod p$  using  $Cons.prem\ x\ y$  by
auto
then have  $factors-IH:(\forall\ r \in\ prime-factors\ z . [a^{\wedge}(p-1)\ div\ r] \neq 1 \pmod p)$ 
prime  $q\ z > 0$ 
using  $Cons.IH\ Cons.prem\ (x > 0)\ (y = Triple\ p\ a\ x)$ 
by  $force+$ 
then have  $prime-factors\ x = prime-factors\ z \cup \{q\}$  using  $(x = q*z)\ (x > 0)$ 
by  $(simp\ add:\ prime-factors-product\ prime-factors-of-prime)$ 
then have  $(\forall\ q \in\ prime-factors\ x . [a^{\wedge}(p-1)\ div\ q] \neq 1 \pmod p) \wedge 0 < x$ 
using  $factors-IH\ cong\ by\ (simp\ add:\ (x > 0))$ 
}
ultimately have  $y-Triple:y = Triple\ p\ a\ x \implies (\forall\ q \in\ prime-factors\ x .$ 
 $[a^{\wedge}(p-1)\ div\ q] \neq 1 \pmod p) \wedge 0 < x$  by
linarith
{ assume  $y = Prime\ p\ p > 2$  then
obtain  $a$  where  $a:[a^{\wedge}(p-1) = 1] \pmod p$   $Triple\ p\ a\ (p-1) \in\ set\ ys$ 
using  $Cons.prem\ by\ auto$ 
then have  $Bier:(\forall\ q \in\ prime-factors\ (p-1) . [a^{\wedge}(p-1)\ div\ q] \neq 1 \pmod p)$ 
using  $Cons.IH\ Cons.prem(1)\ by\ (simp\ add:y(1))$ 
then have  $prime\ p$  using  $lehmers-theorem[OF\ -\ a(1)]\ (p > 2)$  by  $fastforce$ 
}
moreover
{ assume  $y = Prime\ p\ p = 2$  hence  $prime\ p$  by  $simp$  }
moreover
{ assume  $y = Prime\ p$  then have  $p > 1$  using  $Cons.prem\ by\ simp$  }
ultimately have  $y-Prime:y = Prime\ p \implies prime\ p$  by  $linarith$ 

show  $?case$ 
proof  $(cases\ t \in\ set\ ys)$ 
case  $True$ 
show  $?thesis$  using  $Cons.IH[OF\ -\ True]\ Cons.prem(1)\ by\ (cases\ y)\ auto$ 
next
case  $False$ 
thus  $?thesis$  using  $Cons.prem(2)\ y-Prime\ y-Triple$  by  $force$ 
qed
qed

```

```

corollary  $pratt-primeI$ :
assumes  $valid-cert\ xs\ Prime\ p \in\ set\ xs$ 
shows  $prime\ p$ 
using  $pratt-sound[OF\ assms]$  by  $simp$ 

```

## 1.2 Completeness

In this section we show completeness of Pratt's proof system, i.e., we show that for every prime number  $p$  there exists a certificate for  $p$ . We also give an upper bound for the size of a minimal certificate

The prove we give is constructive. We assume that we have certificates for

all prime factors of  $p - 1$  and use these to build a certificate for  $p$  from that. It is important to note that certificates can be concatenated.

**lemma** *valid-cert-appendI*:  
**assumes** *valid-cert r*  
**assumes** *valid-cert s*  
**shows** *valid-cert (r @ s)*  
**using** *assms*  
**proof** (*induction r*)  
**case** (*Cons y ys*) **then show** *?case* **by** (*cases y*) *auto*  
**qed** *simp*

**lemma** *valid-cert-concatI*:  $(\forall x \in \text{set } xs . \text{valid-cert } x) \implies \text{valid-cert } (\text{concat } xs)$   
**by** (*induction xs*) (*auto simp add: valid-cert-appendI*)

**lemma** *size-pratt-le*:  
**fixes** *d::real*  
**assumes**  $\forall x \in \text{set } c . \text{size-pratt } x \leq d$   
**shows** *size-cert c ≤ length c \* (1 + d)* **using** *assms*  
**by** (*induction c*) (*simp-all add: algebra-simps*)

**fun** *build-fpc* :: *nat ⇒ nat ⇒ nat ⇒ nat list ⇒ pratt list* **where**  
*build-fpc p a r [] = [Triple p a r] |*  
*build-fpc p a r (y # ys) = Triple p a r # build-fpc p a (r div y) ys*

The function *build-fpc* helps us to construct a certificate for  $p$  from the certificates for the prime factors of  $p - 1$ . Called as *build-fpc p a (p - 1) qs* where  $qs = q_1 \dots q_n$  is prime decomposition of  $p - 1$  such that  $q_1 \dots q_n = p - 1$ , it returns the following list of predicates:

$$(p, a, p - 1), (p, a, \frac{p - 1}{q_1}), (p, a, \frac{p - 1}{q_1 q_2}), \dots, (p, a, \frac{p - 1}{q_1 \dots q_n}) = (p, a, 1)$$

I.e., if there is an appropriate  $a$  and a certificate  $rs$  for all prime factors of  $p$ , then we can construct a certificate for  $p$  as

$$\text{Prime } p \# \text{build-fpc } p \ a \ (p - 1) \ qs \ @ \ rs$$

The following lemma shows that *build-fpc* extends a certificate that satisfies the preconditions described before to a correct certificate.

**lemma** *correct-fpc*:  
**assumes** *valid-cert xs p > 1*  
**assumes** *prod-list qs = r r ≠ 0*  
**assumes**  $\forall q \in \text{set } qs . \text{Prime } q \in \text{set } xs$   
**assumes**  $\forall q \in \text{set } qs . [a \wedge (p - 1) \text{ div } q \neq 1] \pmod{p}$   
**shows** *valid-cert (build-fpc p a r qs @ xs)*  
**using** *assms*  
**proof** (*induction qs arbitrary: r*)  
**case** *Nil* **thus** *?case* **by** *auto*

**next**  
**case** (*Cons y ys*)  
**have** *prod-list ys = r div y* **using** *Cons.prem*s **by** *auto*  
**then have** *T-in: Triple p a (prod-list ys) ∈ set (build-fpc p a (r div y) ys @ xs)*  
**by** (*cases ys*) *auto*  
  
**have** *valid-cert (build-fpc p a (r div y) ys @ xs)*  
**using** *Cons.prem*s **by** (*intro Cons.IH*) *auto*  
**then have** *valid-cert (Triple p a r # build-fpc p a (r div y) ys @ xs)*  
**using**  $\langle r \neq 0 \rangle$  *T-in Cons.prem*s **by** *auto*  
**then show** *?case* **by** *simp*  
**qed**

**lemma** *length-fpc*:  
*length (build-fpc p a r qs) = length qs + 1* **by** (*induction qs arbitrary: r*) *auto*

**lemma** *div-gt-0*:  
**fixes** *m n :: nat* **assumes**  $m \leq n$   $0 < m$  **shows**  $0 < n \text{ div } m$   
**proof** –  
**have**  $0 < m \text{ div } m$  **using**  $\langle 0 < m \rangle$  *div-self* **by** *auto*  
**also have**  $m \text{ div } m \leq n \text{ div } m$  **using**  $\langle m \leq n \rangle$  **by** (*rule div-le-mono*)  
**finally show** *?thesis* .  
**qed**

**lemma** *size-pratt-fpc*:  
**assumes**  $a \leq p$   $r \leq p$   $0 < a$   $0 < r$   $0 < p$  *prod-list qs = r*  
**shows**  $\forall x \in \text{set } (build-fpc p a r qs)$  . *size-pratt*  $x \leq 3 * \log 2 p$  **using** *assms*  
**proof** (*induction qs arbitrary: r*)  
**case** *Nil*  
**then have**  $\log 2 a \leq \log 2 p$   $\log 2 r \leq \log 2 p$  **by** *auto*  
**then show** *?case* **by** *simp*  
**next**  
**case** (*Cons q qs*)  
**then have**  $\log 2 a \leq \log 2 p$   $\log 2 r \leq \log 2 p$  **by** *auto*  
**then have**  $\log 2 a + \log 2 r \leq 2 * \log 2 p$  **by** *arith*  
**moreover have**  $r \text{ div } q > 0$  **using** *Cons.prem*s **by** (*fastforce intro: div-gt-0*)  
**moreover hence** *prod-list qs = r div q* **using** *Cons.prem*s(6) **by** *auto*  
**moreover have**  $r \text{ div } q \leq p$  **using**  $\langle r \leq p \rangle$  *div-le-dividend[of r q]* **by** *linarith*  
**ultimately show** *?case* **using** *Cons* **by** *simp*  
**qed**

**lemma** *concat-set*:  
**assumes**  $\forall q \in qs$  .  $\exists c \in \text{set } cs$  . *Prime*  $q \in \text{set } c$   
**shows**  $\forall q \in qs$  . *Prime*  $q \in \text{set } (\text{concat } cs)$   
**using** *assms* **by** (*induction cs*) *auto*

**lemma** *p-in-prime-factorsE*:  
**fixes** *n :: nat*  
**assumes**  $p \in \text{prime-factors } n$   $0 < n$

```

obtains  $2 \leq p \ p \leq n \ p \text{ dvd } n \ \text{prime } p$ 
proof
  from assms show prime p by auto
  then show  $2 \leq p$  by (auto dest: prime-gt-1-nat)

  from assms show p dvd n by auto
  then show  $p \leq n$  using  $\langle 0 < n \rangle$  by (rule dvd-imp-le)
qed

lemma prime-factors-list-prime:
  fixes  $n :: \text{nat}$ 
  assumes prime n
  shows  $\exists \text{ qs. prime-factors } n = \text{set } \text{qs} \wedge \text{prod-list } \text{qs} = n \wedge \text{length } \text{qs} = 1$ 
  using assms by (auto simp add: prime-factorization-prime intro: exI [of - [n]])

lemma prime-factors-list:
  fixes  $n :: \text{nat}$  assumes  $3 < n \neg \text{prime } n$ 
  shows  $\exists \text{ qs. prime-factors } n = \text{set } \text{qs} \wedge \text{prod-list } \text{qs} = n \wedge \text{length } \text{qs} \geq 2$ 
  using assms
proof (induction n rule: less-induct)
  case (less n)
    obtain  $p$  where  $p \in \text{prime-factors } n$  using  $\langle n > 3 \rangle$  prime-factors-elim by
  force
    then have  $p': 2 \leq p \ p \leq n \ p \text{ dvd } n \ \text{prime } p$ 
    using  $\langle 3 < n \rangle$  by (auto elim: p-in-prime-factorsE)
    { assume  $n \text{ div } p > 3 \neg \text{prime } (n \text{ div } p)$ 
      then obtain qs
        where  $\text{prime-factors } (n \text{ div } p) = \text{set } \text{qs} \ \text{prod-list } \text{qs} = (n \text{ div } p) \ \text{length } \text{qs} \geq 2$ 
        using  $p'$  by atomize-elim (auto intro: less simp: div-gt-0)
        moreover
          have  $\text{prime-factors } (p * (n \text{ div } p)) = \text{insert } p \ (\text{prime-factors } (n \text{ div } p))$ 
          using  $\langle 3 < n \rangle \ \langle 2 \leq p \rangle \ \langle p \leq n \rangle \ \langle \text{prime } p \rangle$ 
          by (auto simp: prime-factors-product div-gt-0 prime-factors-of-prime)
          ultimately
          have  $\text{prime-factors } n = \text{set } (p \# \text{qs}) \ \text{prod-list } (p \# \text{qs}) = n \ \text{length } (p \# \text{qs}) \geq 2$ 
          using  $\langle p \text{ dvd } n \rangle$  by simp-all
          hence ?case by blast
        }
    }
    moreover
    { assume prime (n div p)
      then obtain qs
        where  $\text{prime-factors } (n \text{ div } p) = \text{set } \text{qs} \ \text{prod-list } \text{qs} = (n \text{ div } p) \ \text{length } \text{qs} = 1$ 
        using prime-factors-list-prime by blast
        moreover
          have  $\text{prime-factors } (p * (n \text{ div } p)) = \text{insert } p \ (\text{prime-factors } (n \text{ div } p))$ 
          using  $\langle 3 < n \rangle \ \langle 2 \leq p \rangle \ \langle p \leq n \rangle \ \langle \text{prime } p \rangle$ 
          by (auto simp: prime-factors-product div-gt-0 prime-factors-of-prime)
          ultimately
          have  $\text{prime-factors } n = \text{set } (p \# \text{qs}) \ \text{prod-list } (p \# \text{qs}) = n \ \text{length } (p \# \text{qs}) \geq 2$ 
        }
  }

```

```

    using ⟨p dvd n⟩ by simp-all
  hence ?case by blast
} note case-prime = this
moreover
{ assume n div p = 1
  hence n = p using ⟨n>3⟩ using One-leq-div[OF ⟨p dvd n⟩] p'(2) by force
  hence ?case using ⟨prime p⟩ ⟨¬ prime n⟩ by auto
}
moreover
{ assume n div p = 2
  hence ?case using case-prime by force
}
moreover
{ assume n div p = 3
  hence ?case using p' case-prime by force
}
ultimately show ?case using p' div-gt-0[of p n] case-prime by fastforce

```

qed

lemma prod-list-ge:

```

fixes xs::nat list
assumes ∀ x ∈ set xs . x ≥ 1
shows prod-list xs ≥ 1 using assms by (induction xs) auto

```

lemma sum-list-log:

```

fixes b::real
fixes xs::nat list
assumes b: b > 0 b ≠ 1
assumes xs:∀ x ∈ set xs . x ≥ b
shows (∑ x←xs. log b x) = log b (prod-list xs)
using assms
proof (induction xs)
case Nil
thus ?case by simp
next
case (Cons y ys)
have real (prod-list ys) > 0 using prod-list-ge Cons.prem by fastforce
thus ?case using log-mult[OF Cons.prem(1-2)] Cons by force

```

qed

lemma concat-length-le:

```

fixes g :: nat ⇒ real
assumes ∀ x ∈ set xs . real (length (f x)) ≤ g x
shows length (concat (map f xs)) ≤ (∑ x←xs. g x) using assms
by (induction xs) force+

```

lemma prime-gt-3-impl-p-minus-one-not-prime:

```

fixes p::nat

```



```

assumes prime p p>3
shows ¬ prime (p - 1)
proof
  assume prime (p - 1)
  have ¬ even p using assms by (simp add: prime-odd-nat)
  hence 2 dvd (p - 1) by presburger
  then obtain q where p - 1 = 2 * q ..
  then have 2 ∈ prime-factors (p - 1) using ⟨p>3⟩
    by (auto simp: prime-factorization-times-prime)
  thus False using prime-factors-of-prime ⟨p>3⟩ ⟨prime (p - 1)⟩ by auto
qed

```

We now prove that Pratt's proof system is complete and derive upper bounds for the length and the size of the entries of a minimal certificate.

**theorem** pratt-complete':

```

assumes prime p
shows ∃ c. Prime p ∈ set c ∧ valid-cert c ∧ length c ≤ 6*log 2 p - 4 ∧ (∀ x ∈
set c. size-pratt x ≤ 3 * log 2 p) using assms
proof (induction p rule: less-induct)
  case (less p)
  from ⟨prime p⟩ have p > 1 by (rule prime-gt-1-nat)
  then consider p = 2 | p = 3 | p > 3 by force
  thus ?case
  proof cases
    assume [simp]: p = 2
    have Prime p ∈ set [Prime 2, Triple 2 1 1] by simp
    thus ?case by fastforce
  next
    assume [simp]: p = 3
    let ?cert = [Prime 3, Triple 3 2 2, Triple 3 2 1, Prime 2, Triple 2 1 1]

    have length ?cert ≤ 6*log 2 p - 4 ↔ 3 ≤ 2 * log 2 3 by simp
    also have 2 * log 2 3 = log 2 (3 ^ 2 :: real) by (subst log-nat-power) simp-all
    also have ... = log 2 9 by simp
    also have 3 ≤ log 2 9 ↔ True by (subst le-log-iff) simp-all
    finally show ?case
      by (intro exI[where x = ?cert]) (simp add: cong-def)
  next
    assume p > 3
    have qlp: ∀ q ∈ prime-factors (p - 1) . q < p using ⟨prime p⟩
    by (metis One-nat-def Suc-pred le-imp-less-Suc lessI less-trans p-in-prime-factorsE
prime-gt-1-nat zero-less-diff)
    hence factor-certs: ∀ q ∈ prime-factors (p - 1) . (∃ c . ((Prime q ∈ set c) ∧
(valid-cert c)
      ∧ length c ≤ 6*log 2 q - 4) ∧ (∀ x ∈
set c. size-pratt x ≤ 3 * log 2 q))
      by (auto intro: less.IH)
    obtain a where a: [a ^ (p - 1) = 1] (mod p) ∧ (∀ q. q ∈ prime-factors (p - 1)
      → [a ^ ((p - 1) div q) ≠ 1] (mod p)) and a-size: a > 0 a < p

```

```

using converse-lehmer[OF  $\langle \text{prime } p \rangle$ ] by blast

have  $\neg \text{prime } (p - 1)$  using  $\langle p > 3 \rangle$  prime-gt-3-impl-p-minus-one-not-prime
 $\langle \text{prime } p \rangle$  by auto
have  $p \neq 4$  using  $\langle \text{prime } p \rangle$  by auto
hence  $p - 1 > 3$  using  $\langle p > 3 \rangle$  by auto

then obtain qs where prod-qs-eq:prod-list qs =  $p - 1$ 
and qs-eq:set qs = prime-factors  $(p - 1)$  and qs-length-eq: length qs  $\geq 2$ 
using prime-factors-list[OF -  $\langle \neg \text{prime } (p - 1) \rangle$ ] by auto
obtain f where  $f: \forall q \in \text{prime-factors } (p - 1) . \exists c . f \ q = c$ 
 $\wedge ((\text{Prime } q \in \text{set } c) \wedge (\text{valid-cert } c) \wedge \text{length } c \leq 6 * \log 2 \ q - 4)$ 
 $\wedge (\forall x \in \text{set } c . \text{size-pratt } x \leq 3 * \log 2 \ q)$ 
using factor-certs by metis
let ?cs = map f qs
have cs:  $\forall q \in \text{prime-factors } (p - 1) . (\exists c \in \text{set } ?cs . (\text{Prime } q \in \text{set } c) \wedge$ 
 $(\text{valid-cert } c)$ 
 $\wedge \text{length } c \leq 6 * \log 2 \ q - 4$ 
 $\wedge (\forall x \in \text{set } c . \text{size-pratt } x \leq 3 * \log 2 \ q))$ 
using f qs-eq by auto

have cs-cert-size:  $\forall c \in \text{set } ?cs . \forall x \in \text{set } c . \text{size-pratt } x \leq 3 * \log 2 \ p$ 
proof
fix c assume  $c \in \text{set } (\text{map } f \ qs)$ 
then obtain q where  $c = f \ q$  and  $q \in \text{set } qs$  by auto
hence  $*$ :  $\forall x \in \text{set } c . \text{size-pratt } x \leq 3 * \log 2 \ q$  using f qs-eq by blast
have  $q < p$   $q > 0$  using qlp  $\langle q \in \text{set } qs \rangle$  qs-eq prime-factors-gt-0-nat by auto
show  $\forall x \in \text{set } c . \text{size-pratt } x \leq 3 * \log 2 \ p$ 
proof
fix x assume  $x \in \text{set } c$ 
hence size-pratt  $x \leq 3 * \log 2 \ q$  using  $*$  by fastforce
also have  $\dots \leq 3 * \log 2 \ p$  using  $\langle q < p \rangle$   $\langle q > 0 \rangle$   $\langle p > 3 \rangle$  by simp
finally show size-pratt  $x \leq 3 * \log 2 \ p$  .
qed
qed

have cs-valid-all:  $\forall c \in \text{set } ?cs . \text{valid-cert } c$ 
using f qs-eq by fastforce

have  $\forall x \in \text{set } (\text{build-fpc } p \ a \ (p - 1) \ qs) . \text{size-pratt } x \leq 3 * \log 2 \ p$ 
using cs-cert-size a-size  $\langle p > 3 \rangle$  prod-qs-eq by (intro size-pratt-fpc) auto
hence  $\forall x \in \text{set } (\text{build-fpc } p \ a \ (p - 1) \ qs \ @ \ \text{concat } ?cs) . \text{size-pratt } x \leq 3 * \log$ 
 $2 \ p$ 
using cs-cert-size by auto
moreover
have Triple  $p \ a \ (p - 1) \in \text{set } (\text{build-fpc } p \ a \ (p - 1) \ qs \ @ \ \text{concat } ?cs)$  by (cases
 $qs$ ) auto
moreover
have valid-cert  $((\text{build-fpc } p \ a \ (p - 1) \ qs) \ @ \ \text{concat } ?cs)$ 

```

```

proof (rule correct-fpc)
  show valid-cert (concat ?cs)
    using cs-valid-all by (auto simp: valid-cert-concatI)
  show prod-list qs = p - 1 by (rule prod-qs-eq)
  show p - 1 ≠ 0 using prime-gt-1-nat[OF ⟨prime p⟩] by arith
  show ∀ q ∈ set qs . Prime q ∈ set (concat ?cs)
    using concat-set[of prime-factors (p - 1)] cs qs-eq by blast
  show ∀ q ∈ set qs . [a ∧ ((p - 1) div q) ≠ 1] (mod p) using qs-eq a by auto
qed (insert ⟨p > 3⟩, simp-all)
moreover
  { let ?k = length qs

    have qs-ge-2: ∀ q ∈ set qs . q ≥ 2 using qs-eq
      by (auto intro: prime-ge-2-nat)

    have ∀ x ∈ set qs. real (length (f x)) ≤ 6 * log 2 (real x) - 4 using f qs-eq by
    blast
    hence length (concat ?cs) ≤ (∑ q ← qs. 6 * log 2 q - 4) using concat-length-le
      by fast
    hence length (Prime p # ((build-fpc p a (p - 1) qs)@ concat ?cs))
      ≤ ((∑ q ← (map real qs). 6 * log 2 q - 4) + ?k + 2)
      by (simp add: o-def length-fpc)
    also have ... = (6 * (∑ q ← (map real qs). log 2 q) + (-4 * real ?k) + ?k + 2)
      by (simp add: o-def sum-list-subtractf sum-list-triv sum-list-const-mult)
    also have ... ≤ 6 * log 2 (p - 1) - 4 using ⟨?k ≥ 2⟩ prod-qs-eq sum-list-log[of
    2 qs] qs-ge-2
      by force
    also have ... ≤ 6 * log 2 p - 4 using log-le-cancel-iff[of 2 p - 1 p] ⟨p > 3⟩ by
    force
    ultimately have length (Prime p # ((build-fpc p a (p - 1) qs)@ concat ?cs))
      ≤ 6 * log 2 p - 4 by linarith }
    ultimately obtain c where c: Triple p a (p - 1) ∈ set c valid-cert c
      length (Prime p # c) ≤ 6 * log 2 p - 4
      (∀ x ∈ set c. size-pratt x ≤ 3 * log 2 p) by blast
    hence Prime p ∈ set (Prime p # c) valid-cert (Prime p # c)
      (∀ x ∈ set (Prime p # c). size-pratt x ≤ 3 * log 2 p)
    using a ⟨prime p⟩ by (auto simp: Primes.prime-gt-Suc-0-nat)
    thus ?case using c by blast
  }
qed
qed

```

We now recapitulate our results. A number  $p$  is prime if and only if there is a certificate for  $p$ . Moreover, for a prime  $p$  there always is a certificate whose size is polynomially bounded in the logarithm of  $p$ .

**corollary pratt:**

```

prime p ⟷ (∃ c. Prime p ∈ set c ∧ valid-cert c)
using pratt-complete' pratt-sound(1) by blast

```

**corollary pratt-size:**

**assumes** *prime p*  
**shows**  $\exists c. \text{Prime } p \in \text{set } c \wedge \text{valid-cert } c \wedge \text{size-cert } c \leq (6 * \log 2 p - 4) * (1 + 3 * \log 2 p)$   
**proof** –  
**obtain** *c where c: Prime p ∈ set c valid-cert c*  
**and** *len: length c ≤ 6\*log 2 p - 4 and (∀ x ∈ set c. size-pratt x ≤ 3 \* log 2 p)*  
**using** *pratt-complete' assms by blast*  
**hence** *size-cert c ≤ length c \* (1 + 3 \* log 2 p) by (simp add: size-pratt-le)*  
**also have**  $\dots \leq (6 * \log 2 p - 4) * (1 + 3 * \log 2 p)$  **using** *len by simp*  
**finally show** *?thesis using c by blast*  
**qed**

### 1.3 Efficient modular exponentiation

**locale** *efficient-power =*  
**fixes** *f :: 'a ⇒ 'a ⇒ 'a*  
**assumes** *f-assoc:  $\bigwedge x z. f x (f x z) = f (f x x) z$*   
**begin**  
  
**function** *efficient-power :: 'a ⇒ 'a ⇒ nat ⇒ 'a where*  
*efficient-power y x 0 = y*  
*| efficient-power y x (Suc 0) = f x y*  
*| n ≠ 0 ⇒ even n ⇒ efficient-power y x n = efficient-power y (f x x) (n div 2)*  
*| n ≠ 1 ⇒ odd n ⇒ efficient-power y x n = efficient-power (f x y) (f x x) (n div 2)*  
**by** *force+*  
**termination by** *(relation measure (snd o snd)) (auto elim: oddE)*  
  
**lemma** *efficient-power-code:*  
*efficient-power y x n =*  
*(if n = 0 then y*  
*else if n = 1 then f x y*  
*else if even n then efficient-power y (f x x) (n div 2)*  
*else efficient-power (f x y) (f x x) (n div 2))*  
**by** *(induction y x n rule: efficient-power.induct) auto*  
  
**lemma** *efficient-power-correct: efficient-power y x n = (f x  $\hat{\sim}$  n) y*  
**proof** –  
**have** *[simp]:  $f \hat{\sim} 2 = (\lambda x. f (f x))$  for f :: 'a ⇒ 'a*  
**by** *(simp add: eval-nat-numeral o-def)*  
**show** *?thesis*  
**by** *(induction y x n rule: efficient-power.induct)*  
*(auto elim!: evenE oddE simp: funpow-mult [symmetric] funpow-Suc-right*  
*f-assoc*  
*simp del: funpow.simps(2))*  
**qed**  
**end**

**interpretation** *mod-exp-nat*: *efficient-power*  $\lambda x y :: \text{nat}. (x * y) \text{ mod } m$   
**by** *standard* (*simp add: mod-mult-left-eq mod-mult-right-eq mult-ac*)

**definition** *mod-exp-nat-aux* **where** *mod-exp-nat-aux* = *mod-exp-nat.efficient-power*

**lemma** *mod-exp-nat-aux-code* [*code*]:

*mod-exp-nat-aux m y x n* =  
 (if  $n = 0$  then  $y$   
 else if  $n = 1$  then  $(x * y) \text{ mod } m$   
 else if even  $n$  then *mod-exp-nat-aux m y*  $((x * x) \text{ mod } m) (n \text{ div } 2)$   
 else *mod-exp-nat-aux m*  $((x * y) \text{ mod } m) ((x * x) \text{ mod } m) (n \text{ div } 2)$ )  
**unfolding** *mod-exp-nat-aux-def* **by** (*rule mod-exp-nat.efficient-power-code*)

**lemma** *mod-exp-nat-aux-correct*:

*mod-exp-nat-aux m y x n mod m* =  $(x \wedge n * y) \text{ mod } m$

**proof** –

**have** *mod-exp-nat-aux m y x n* =  $((\lambda y. x * y \text{ mod } m) \wedge n) y$   
**by** (*simp add: mod-exp-nat-aux-def mod-exp-nat.efficient-power-correct*)  
**also have**  $((\lambda y. x * y \text{ mod } m) \wedge n) y \text{ mod } m = (x \wedge n * y) \text{ mod } m$

**proof** (*induction n*)

**case** (*Suc n*)

**hence**  $x * ((\lambda y. x * y \text{ mod } m) \wedge n) y \text{ mod } m = x * x \wedge n * y \text{ mod } m$

**by** (*metis mod-mult-right-eq mult.assoc*)

**thus** ?*case* **by** *auto*

**qed** *auto*

**finally show** ?*thesis* .

**qed**

**definition** *mod-exp-nat* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$

**where** [*code-abbrev*]: *mod-exp-nat b e m* =  $(b \wedge e) \text{ mod } m$

**lemma** *mod-exp-nat-code* [*code*]: *mod-exp-nat b e m* = *mod-exp-nat-aux m 1 b e mod m*

**by** (*simp add: mod-exp-nat-def mod-exp-nat-aux-correct*)

**lemmas** [*code-unfold*] = *cong-def*

**lemma** *eval-mod-exp-nat-aux* [*simp*]:

*mod-exp-nat-aux m y x 0* =  $y$   
*mod-exp-nat-aux m y x (Suc 0)* =  $(x * y) \text{ mod } m$   
*mod-exp-nat-aux m y x (numeral (num.Bit0 n))* =  
*mod-exp-nat-aux m y (x<sup>2</sup> mod m) (numeral n)*  
*mod-exp-nat-aux m y x (numeral (num.Bit1 n))* =  
*mod-exp-nat-aux m ((x \* y) mod m) (x<sup>2</sup> mod m) (numeral n)*

**proof** –

**define**  $n'$  **where**  $n' = (\text{numeral } n :: \text{nat})$

**have** [*simp*]:  $n' \neq 0$  **by** (*auto simp: n'-def*)

**show**  $\text{mod-exp-nat-aux } m \ y \ x \ 0 = y$  **and**  $\text{mod-exp-nat-aux } m \ y \ x \ (\text{Suc } 0) = (x * y) \text{ mod } m$   
**by** (*simp-all add: mod-exp-nat-aux-def*)

**have**  $\text{numeral } (\text{num.Bit0 } n) = (2 * n')$   
**by** (*subst numeral.numeral-Bit0 (simp del: arith-simps add: n'-def)*)  
**also have**  $\text{mod-exp-nat-aux } m \ y \ x \ \dots = \text{mod-exp-nat-aux } m \ y \ (x^2 \text{ mod } m) \ n'$   
**by** (*subst mod-exp-nat-aux-code (simp-all add: power2-eq-square)*)  
**finally show**  $\text{mod-exp-nat-aux } m \ y \ x \ (\text{numeral } (\text{num.Bit0 } n)) =$   
 $\text{mod-exp-nat-aux } m \ y \ (x^2 \text{ mod } m) \ (\text{numeral } n)$   
**by** (*simp add: n'-def*)

**have**  $\text{numeral } (\text{num.Bit1 } n) = \text{Suc } (2 * n')$   
**by** (*subst numeral.numeral-Bit1 (simp del: arith-simps add: n'-def)*)  
**also have**  $\text{mod-exp-nat-aux } m \ y \ x \ \dots = \text{mod-exp-nat-aux } m \ ((x * y) \text{ mod } m)$   
 $(x^2 \text{ mod } m) \ n'$   
**by** (*subst mod-exp-nat-aux-code (simp-all add: power2-eq-square)*)  
**finally show**  $\text{mod-exp-nat-aux } m \ y \ x \ (\text{numeral } (\text{num.Bit1 } n)) =$   
 $\text{mod-exp-nat-aux } m \ ((x * y) \text{ mod } m) \ (x^2 \text{ mod } m) \ (\text{numeral } n)$   
**by** (*simp add: n'-def*)

**qed**

**lemma** *eval-mod-exp [simp]*:  
 $\text{mod-exp-nat } b' \ 0 \ m' = 1 \text{ mod } m'$   
 $\text{mod-exp-nat } b' \ 1 \ m' = b' \text{ mod } m'$   
 $\text{mod-exp-nat } b' \ (\text{Suc } 0) \ m' = b' \text{ mod } m'$   
 $\text{mod-exp-nat } b' \ e' \ 0 = b' \wedge e'$   
 $\text{mod-exp-nat } b' \ e' \ 1 = 0$   
 $\text{mod-exp-nat } b' \ e' \ (\text{Suc } 0) = 0$   
 $\text{mod-exp-nat } 0 \ 1 \ m' = 0$   
 $\text{mod-exp-nat } 0 \ (\text{Suc } 0) \ m' = 0$   
 $\text{mod-exp-nat } 0 \ (\text{numeral } e) \ m' = 0$   
 $\text{mod-exp-nat } 1 \ e' \ m' = 1 \text{ mod } m'$   
 $\text{mod-exp-nat } (\text{Suc } 0) \ e' \ m' = 1 \text{ mod } m'$   
 $\text{mod-exp-nat } (\text{numeral } b) \ (\text{numeral } e) \ (\text{numeral } m) =$   
 $\text{mod-exp-nat-aux } (\text{numeral } m) \ 1 \ (\text{numeral } b) \ (\text{numeral } e) \ \text{mod } \text{numeral } m$   
**by** (*simp-all add: mod-exp-nat-def mod-exp-nat-aux-correct*)

## 1.4 Executable certificate checker

**lemmas** [*code*] = *valid-cert.simps(1)*

**context**  
**begin**

**lemma** *valid-cert-Cons1 [code]*:  
 $\text{valid-cert } (\text{Prime } p \ \# \ xs) \longleftrightarrow$   
 $p > 1 \wedge (\exists t \in \text{set } xs. \text{case } t \text{ of Prime } - \Rightarrow \text{False} \mid$   
 $\text{Triple } p' \ a \ x \Rightarrow p' = p \wedge x = p - 1 \wedge \text{mod-exp-nat } a \ (p-1) \ p = 1) \wedge \text{valid-cert}$

```

xs
  (is ?lhs = ?rhs)
proof
  assume ?lhs thus ?rhs by (auto simp: mod-exp-nat-def cong-def split: Pratt.splits)
next
  assume ?rhs
  hence  $p > 1$  valid-cert xs by blast+
  moreover from ⟨?rhs⟩ obtain t where  $t \in \text{set } xs$  case t of Prime -  $\Rightarrow$  False |
    Triple p' a x  $\Rightarrow p' = p \wedge x = p - 1 \wedge [a^{p-1} = 1] \pmod{p}$ 
    by (auto simp: cong-def mod-exp-nat-def cong: Pratt.case-cong)
  ultimately show ?lhs by (cases t) auto
qed

private lemma Suc-0-mod-eq-Suc-0-iff:
   $Suc\ 0 \bmod\ n = Suc\ 0 \iff n \neq Suc\ 0$ 
proof -
  consider  $n = 0 \mid n = Suc\ 0 \mid n > 1$  by (cases n) auto
  thus ?thesis by cases auto
qed

private lemma Suc-0-eq-Suc-0-mod-iff:
   $Suc\ 0 = Suc\ 0 \bmod\ n \iff n \neq Suc\ 0$ 
  using Suc-0-mod-eq-Suc-0-iff by (simp add: eq-commute)

lemma valid-cert-Cons2 [code]:
  valid-cert (Triple p a x # xs)  $\iff x > 0 \wedge p > 1 \wedge (x = 1 \vee ($ 
     $(\exists t \in \text{set } xs. \text{case } t \text{ of Prime - } \Rightarrow \text{False} \mid$ 
     $\text{Triple } p' a' y \Rightarrow p' = p \wedge a' = a \wedge y \text{ dvd } x \wedge$ 
     $(\text{let } q = x \text{ div } y \text{ in Prime } q \in \text{set } xs \wedge \text{mod-exp-nat } a ((p-1) \text{ div } q) p \neq 1)))$ 
   $\wedge$  valid-cert xs
  (is ?lhs = ?rhs)
proof
  assume ?lhs
  from ⟨?lhs⟩ have pos:  $x > 0$  and gt-1:  $p > 1$  and valid: valid-cert xs by simp-all
  show ?rhs
  proof (cases  $x = 1$ )
    case True
    with ⟨?lhs⟩ show ?thesis by auto
  next
    case False
    with ⟨?lhs⟩ have  $(\exists q y. x = q * y \wedge \text{Prime } q \in \text{set } xs \wedge \text{Triple } p a y \in \text{set } xs$ 
       $\wedge [a^{(p-1) \text{ div } q} \neq 1] \pmod{p})$  by auto
    then guess q y by (elim exE conjE) note qy = this
    hence  $(\exists t \in \text{set } xs. \text{case } t \text{ of Prime - } \Rightarrow \text{False} \mid$ 
       $\text{Triple } p' a' y \Rightarrow p' = p \wedge a' = a \wedge y \text{ dvd } x \wedge$ 
       $(\text{let } q = x \text{ div } y \text{ in Prime } q \in \text{set } xs \wedge \text{mod-exp-nat } a ((p-1) \text{ div } q) p \neq 1))$ 
    using pos gt-1 by (intro bexI [of - Triple p a y])
    (auto simp: Suc-0-mod-eq-Suc-0-iff Suc-0-eq-Suc-0-mod-iff cong-def mod-exp-nat-def)
    with pos gt-1 valid show ?thesis by blast

```

```

qed
next
  assume ?rhs
  hence pos:  $x > 0$  and gt-1:  $p > 1$  and valid: valid-cert xs by simp-all
  show ?lhs
  proof (cases  $x = 1$ )
    case True
      with ⟨?rhs⟩ show ?thesis by auto
    next
      case False
        with ⟨?rhs⟩ obtain t where t:  $t \in \text{set } xs$  case t of Prime  $x \Rightarrow \text{False}$ 
          | Triple  $p' a' y \Rightarrow p' = p \wedge a' = a \wedge y \text{ dvd } x \wedge (\text{let } q = x \text{ div } y$ 
            in Prime  $q \in \text{set } xs \wedge \text{mod-exp-nat } a ((p - 1) \text{ div } q) p \neq 1)$  by auto
          then obtain y where y:  $t = \text{Triple } p a y y \text{ dvd } x \text{ let } q = x \text{ div } y \text{ in Prime } q \in$ 
            set xs  $\wedge$ 
              mod-exp-nat a ((p - 1) div q) p  $\neq 1$ 
            by (cases t rule: pratt.exhaust) auto
          with gt-1 have y':  $\text{let } q = x \text{ div } y \text{ in Prime } q \in \text{set } xs \wedge [a^\wedge((p - 1) \text{ div } q) \neq$ 
            1] (mod p)
            by (auto simp: cong-def Let-def mod-exp-nat-def Suc-0-mod-eq-Suc-0-iff
              Suc-0-eq-Suc-0-mod-iff)
          define q where  $q = x \text{ div } y$ 
          have  $\exists q y. x = q * y \wedge \text{Prime } q \in \text{set } xs \wedge \text{Triple } p a y \in \text{set } xs$ 
             $\wedge [a^\wedge((p - 1) \text{ div } q) \neq 1] \text{ (mod } p)$ 
            by (rule exI[of - q], rule exI[of - y]) (insert t y y', auto simp: Let-def q-def)
          with pos gt-1 valid show ?thesis by simp
    qed
  qed

```

```

declare valid-cert.simps(2,3) [simp del]

```

```

lemmas eval-valid-cert = valid-cert.simps(1) valid-cert-Cons1 valid-cert-Cons2

```

```

end

```

The following alternative tree representation of certificates is better suited for efficient checking.

```

datatype pratt-tree = Pratt-Node nat  $\times$  nat  $\times$  pratt-tree list

```

```

fun pratt-tree-number where
  pratt-tree-number (Pratt-Node (n, -, -)) = n

```

The following function checks that a given list contains all the prime factors of the given number.

```

fun check-prime-factors-subset :: nat  $\Rightarrow$  nat list  $\Rightarrow$  bool where
  check-prime-factors-subset n []  $\longleftrightarrow n = 1$ 
| check-prime-factors-subset n (p # ps)  $\longleftrightarrow$  (if  $n = 0$  then False else
  (if  $p > 1 \wedge p \text{ dvd } n$  then check-prime-factors-subset (n div p) (p # ps)
  else check-prime-factors-subset n ps))

```



```

lemma check-prime-factors-subset-0 [simp]:  $\neg$ check-prime-factors-subset 0 ps
  by (induction ps) auto

lemmas [simp del] = check-prime-factors-subset.simps(2)

lemma check-prime-factors-subset-Cons [simp]:
  check-prime-factors-subset (Suc 0) (p # ps)  $\longleftrightarrow$  check-prime-factors-subset (Suc
0) ps
  check-prime-factors-subset 1 (p # ps)  $\longleftrightarrow$  check-prime-factors-subset 1 ps
   $p > 1 \implies p \text{ dvd numeral } n \implies \text{check-prime-factors-subset (numeral } n) (p \# ps)$ 
 $\longleftrightarrow$ 
     $\text{check-prime-factors-subset (numeral } n \text{ div } p) (p \# ps)$ 
   $p \leq 1 \vee \neg p \text{ dvd numeral } n \implies \text{check-prime-factors-subset (numeral } n) (p \# ps)$ 
 $\longleftrightarrow$ 
     $\text{check-prime-factors-subset (numeral } n) ps$ 
  by (subst check-prime-factors-subset.simps; force)+

lemma check-prime-factors-subset-correct:
  assumes check-prime-factors-subset n ps list-all prime ps
  shows prime-factors n  $\subseteq$  set ps
  using assms
proof (induction n ps rule: check-prime-factors-subset.induct)
  case (2 n p ps)
  note * = this
  from 2.prems have prime p and  $p > 1$ 
    by (auto simp: prime-gt-Suc-0-nat)

  consider  $n = 0 \mid n > 0 \ p \text{ dvd } n \mid n > 0 \ \neg(p \text{ dvd } n)$ 
    by blast
  thus ?case
  proof cases
    case 2
    hence  $n \text{ div } p > 0$  by auto
    hence prime-factors ((n div p) * p) = insert p (prime-factors (n div p))
    using  $\langle p > 1 \rangle \langle \text{prime } p \rangle$  by (auto simp: prime-factors-product prime-prime-factors)
    also have  $(n \text{ div } p) * p = n$ 
      using 2 by auto
    finally show ?thesis using 2  $\langle p > 1 \rangle$  *
      by (auto simp: check-prime-factors-subset.simps(2)[of n])
    next
    case 3
    with * and  $\langle p > 1 \rangle$  show ?thesis
      by (auto simp: check-prime-factors-subset.simps(2)[of n])
  qed auto
qed auto

```

```

fun valid-pratt-tree where

```

$\text{valid-pratt-tree } (\text{Pratt-Node } (n, a, ts)) \longleftrightarrow$   
 $n \geq 2 \wedge$   
 $\text{check-prime-factors-subset } (n - 1) (\text{map pratt-tree-number } ts) \wedge$   
 $[a \wedge (n - 1) = 1] \pmod n \wedge$   
 $(\forall t \in \text{set } ts. [a \wedge ((n - 1) \text{ div pratt-tree-number } t) \neq 1] \pmod n) \wedge$   
 $(\forall t \in \text{set } ts. \text{valid-pratt-tree } t)$

**lemma** *valid-pratt-tree-code* [code]:

$\text{valid-pratt-tree } (\text{Pratt-Node } (n, a, ts)) \longleftrightarrow$   
 $n \geq 2 \wedge$   
 $\text{check-prime-factors-subset } (n - 1) (\text{map pratt-tree-number } ts) \wedge$   
 $\text{mod-exp-nat } a (n - 1) n = 1 \wedge$   
 $(\forall t \in \text{set } ts. \text{mod-exp-nat } a ((n - 1) \text{ div pratt-tree-number } t) n \neq 1) \wedge$   
 $(\forall t \in \text{set } ts. \text{valid-pratt-tree } t)$

**by** (*simp add: mod-exp-nat-def cong-def*)

**lemma** *valid-pratt-tree-imp-prime*:

**assumes** *valid-pratt-tree*  $t$   
**shows** *prime* (*pratt-tree-number*  $t$ )  
**using** *assms*

**proof** (*induction t rule: valid-pratt-tree.induct*)

**case** ( $1\ n\ a\ ts$ )

**from**  $1$  **have** *prime-factors*  $(n - 1) \subseteq \text{set } (\text{map pratt-tree-number } ts)$

**by** (*intro check-prime-factors-subset-correct*) (*auto simp: list.pred-set*)

**with**  $1$  **show** ?*case*

**by** (*intro lehmers-theorem*[**where**  $a = a$ ]) *auto*

**qed**

**lemma** *valid-pratt-tree-imp-prime'*:

**assumes** *PROP* (*Trueprop* (*valid-pratt-tree* (*Pratt-Node*  $(n, a, ts)$ )))  $\equiv$  *PROP* (*Trueprop True*)

**shows** *prime*  $n$

**proof** –

**have** *valid-pratt-tree* (*Pratt-Node*  $(n, a, ts)$ )

**by** (*subst assms*) *auto*

**from** *valid-pratt-tree-imp-prime*[*OF this*] **show** ?*thesis* **by** *simp*

**qed**

## 1.5 Proof method setup

**theorem** *lehmers-theorem'*:

**fixes**  $p :: \text{nat}$

**assumes** *list-all prime*  $ps\ a \equiv a\ n \equiv n$

**assumes** *list-all*  $(\lambda p. \text{mod-exp-nat } a ((n - 1) \text{ div } p) n \neq 1)\ ps\ \text{mod-exp-nat } a (n - 1) n = 1$

**assumes** *check-prime-factors-subset*  $(n - 1)\ ps\ 2 \leq n$

**shows** *prime*  $n$

**using** *assms check-prime-factors-subset-correct*[*OF assms*( $6, 1$ )]

**by** (*intro lehmers-theorem*[**where**  $a = a$ ]) (*auto simp: cong-def mod-exp-nat-def*)

*list.pred-set*)

**lemma** *list-all-ConsI*:  $P\ x \implies \text{list-all } P\ xs \implies \text{list-all } P\ (x \# xs)$   
**by** *simp*

**ML-file** *<pratt.ML>*

**method-setup** *pratt* = *<*  
  *Scan.lift (Pratt.tac-config-parser -- Scan.option Pratt.cert-cartouche) >>*  
  *(fn (config, cert) => fn ctxt => SIMPLE-METHOD (HEADGOAL (Pratt.tac*  
  *config cert ctxt)))*  
*> Prove primality of natural numbers using Pratt certificates.*

The proof method replays a given Pratt certificate to prove the primality of a given number. If no certificate is given, the method attempts to compute one. The computed certificate is then also printed with a prompt to insert it into the proof document so that it does not have to be recomputed the next time.

The format of the certificates is compatible with those generated by Mathematica. Therefore, for larger numbers, certificates generated by Mathematica can be used with this method directly.

**lemma** *prime (47 :: nat)*  
**by** *(pratt (silent))*

**lemma** *prime (2503 :: nat)*  
**by** *pratt*

**lemma** *prime (7919 :: nat)*  
**by** *pratt*

**lemma** *prime (131059 :: nat)*  
**by** *(pratt <{131059, 2, {2, {3, 2, {2}}, {809, 3, {2, {101, 2, {2, {5, 2, {2}}}}}}}}})*

**end**

**theory** *Pratt-Certificate-Code*

**imports**

*Pratt-Certificate*

*HOL-Library.Code-Target-Numeral*

**begin**

## 1.6 Code generation for Pratt certificates

The following one-time setup is required to set up code generation for the certificate checking. Other theories importing this theories do not have to do this again.

**setup** *<*

```

Context.theory-map (Pratt.setup-valid-cert-code-conv
  (@{computation-check
    terms: Trueprop valid-pratt-tree 0::nat 1::nat 2::nat 3::nat 4::nat
    datatypes: pratt-tree list nat × nat × pratt-tree list nat}))
)

```

We can now evaluate the efficiency of the procedure on some examples.

```

lemma prime (131059 :: nat)
  by (pratt (code))

```

```

lemma prime (100000007 :: nat)
  by (pratt (code))

```

```

lemma prime (8504276003 :: nat)
  by (pratt (code))

```

```

lemma prime (52759926861157 :: nat)
  by (pratt (code))

```

```

lemma prime (39070009756439177203 :: nat)
  by (pratt (code)
    (⟨39070009756439177203, 2,
      {2, {3, 2, {2}}, {197, 2, {2, {7, 3, {2, {3, 2, {2}}}}}},
      {11018051256751037, 2, {2, {19, 2, {2, {3, 2, {2}}}}},
      {1249, 7, {2, {3, 2, {2}}, {13, 2, {2, {3, 2, {2}}}}}},
      {116072344789, 2, {2, {3, 2, {2}},
      {3067, 2, {2, {3, 2, {2}}, {7, 3, {2, {3, 2, {2}}}}},
      {73, 5, {2, {3, 2, {2}}}}}},
      {3153797, 2, {2, {788449, 11,
      {2, {3, 2, {2}}, {43, 3,
      {2, {3, 2, {2}}, {7, 3, {2, {3, 2, {2}}}}}},
      {191, 19, {2, {5, 2, {2}}, {19, 2, {2, {3, 2, {2}}}}}}}}}}))

```

```

lemma prime (933491553728809239092563013853810654040043466297416456476877
  :: nat)
  by (pratt (code)
    (⟨933491553728809239092563013853810654040043466297416456476877,
      2, {2, {38463351105299604725411, 6,
      {2, {5, 2, {2}}, {13, 2, {2, {3, 2, {2}}}}},
      {295871931579227728657, 5,
      {2, {3, 2, {2}}, {26041, 13,
      {2, {3, 2, {2}}, {5, 2, {2}}, {7, 3, {2, {3, 2, {2}}}}},
      {31, 3, {2, {3, 2, {2}}, {5, 2, {2}}}}}},
      {29009, 3, {2, {7, 3, {2, {3, 2, {2}}}}},
      {37, 2, {2, {3, 2, {2}}}}}},
      {39133, 5, {2, {3, 2, {2}},
      {1087, 3, {2, {3, 2, {2}},
      {181, 2, {2, {3, 2, {2}}, {5, 2, {2}}}}}}}},
      {208511, 7, {2, {5, 2, {2}}, {29, 2, {2, {7, 3, {2, {3, 2, {2}}}}}}},

```

```

    {719, 11, {2, {359, 7,
      {2, {179, 2, {2, {89, 3, {2, {11, 2, {2, {5, 2, {2}}}}}}}}}}}}}}}}}}}}
    }}}}, {6067409149902371339956289140415169329, 3,
  {2, {11, 2, {2, {5, 2, {2}}}}},
  {103, 5, {2, {3, 2, {2}}, {17, 3, {2}}}},
  {7955023343, 7, {2, {7, 3, {2, {3, 2, {2}}}}},
  {79, 3, {2, {3, 2, {2}}, {13, 2, {2, {3, 2, {2}}}}}},
  {1451, 2, {2, {5, 2, {2}}, {29, 2, {2, {7, 3, {2, {3, 2, {2}}}}}}}}},
  {4957, 2, {2, {3, 2, {2}}, {7, 3, {2, {3, 2, {2}}}}},
  {59, 2, {2, {29, 2, {2, {7, 3, {2, {3, 2, {2}}}}}}}}}}}}}}},
  {8108847767, 5, {2, {4054423883, 2,
    {2, {2027211941, 2, {2, {5, 2, {2}}, {13, 2, {2, {3, 2, {2}}}}}},
    {29, 2, {2, {7, 3, {2, {3, 2, {2}}}}}}},
    {268861, 6, {2, {3, 2, {2}}, {5, 2, {2}},
    {4481, 3, {2, {5, 2, {2}}, {7, 3, {2, {3, 2, {2}}}}}}}}}}}}}}}}},
  {5188630976471, 13, {2, {5, 2, {2}},
  {518863097647, 5, {2, {3, 2, {2}},
    {67, 2, {2, {3, 2, {2}}, {11, 2, {2, {5, 2, {2}}}}}}}},
    {17881, 7, {2, {3, 2, {2}}, {5, 2, {2}},
    {149, 2, {2, {37, 2, {2, {3, 2, {2}}}}}}}}}},
    {24061, 10, {2, {3, 2, {2}}, {5, 2, {2}},
    {401, 3, {2, {5, 2, {2}}}}}}}}}}}}}}}}}}
  )
end

```

## References

- [1] V. R. Pratt. Every prime has a succinct certificate. *SIAM Journal on Computing*, 4(3):214–220, 1975.