

POSIX Lexing with Derivatives of Regular Expressions

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Abstract

Brzozowski introduced the notion of derivatives for regular expressions. They can be used for a very simple regular expression matching algorithm. Sulzmann and Lu [2] cleverly extended this algorithm in order to deal with POSIX matching, which is the underlying disambiguation strategy for regular expressions needed in lexers. In this entry we give our inductive definition of what a POSIX value is and show (i) that such a value is unique (for given regular expression and string being matched) and (ii) that Sulzmann and Lu's algorithm always generates such a value (provided that the regular expression matches the string). We also prove the correctness of an optimised version of the POSIX matching algorithm. Finally we show that (iii) our inductive definition of a POSIX value is equivalent to an alternative definition by Okui and Suzuki [1] which identifies POSIX values as least elements according to an ordering of values. All results are given also for the bounded regular expressions $r^{\{n\}}$ and $r^{\{..n\}}$.

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```
theory Lexer
  imports Regular-Sets.Derivatives
```

begin

1 Values

```
datatype 'a val =  
  Void  
| Atm 'a  
| Seq 'a val 'a val  
| Right 'a val  
| Left 'a val  
| Stars ('a val) list
```

2 The string behind a value

```
fun  
  flat :: 'a val  $\Rightarrow$  'a list  
where  
  flat (Void) = []  
| flat (Atm c) = [c]  
| flat (Left v) = flat v  
| flat (Right v) = flat v  
| flat (Seq v1 v2) = (flat v1) @ (flat v2)  
| flat (Stars []) = []  
| flat (Stars (v#vs)) = (flat v) @ (flat (Stars vs))
```

abbreviation

$flats\ vs \equiv concat\ (map\ flat\ vs)$

lemma flat-Stars [simp]:

$flat\ (Stars\ vs) = concat\ (map\ flat\ vs)$
<proof>

3 Relation between values and regular expressions

inductive

$Prf :: 'a\ val \Rightarrow 'a\ rexp \Rightarrow bool\ (\vdash\ -\ : -\ [100,\ 100]\ 100)$

where

```
[[ $\vdash\ v1 : r1; \vdash\ v2 : r2$ ]]  $\Longrightarrow \vdash\ Seq\ v1\ v2 : Times\ r1\ r2$   
|  $\vdash\ v1 : r1 \Longrightarrow \vdash\ Left\ v1 : Plus\ r1\ r2$   
|  $\vdash\ v2 : r2 \Longrightarrow \vdash\ Right\ v2 : Plus\ r1\ r2$   
|  $\vdash\ Void : One$   
|  $\vdash\ Atm\ c : Atom\ c$   
| [[ $\forall v \in set\ vs. \vdash\ v : r \wedge flat\ v \neq []$ ]]  $\Longrightarrow \vdash\ Stars\ vs : Star\ r$ 
```

inductive-cases Prf-elim:

```
 $\vdash\ v : Zero$   
 $\vdash\ v : Times\ r1\ r2$   
 $\vdash\ v : Plus\ r1\ r2$ 
```

$\vdash v : One$
 $\vdash v : Atom\ c$
 $\vdash vs : Star\ r$

lemma *Prf-flat-lang*:

assumes $\vdash v : r$ **shows** $flat\ v \in lang\ r$
 $\langle proof \rangle$

lemma *Star-string*:

assumes $s \in star\ A$
shows $\exists ss. concat\ ss = s \wedge (\forall s \in set\ ss. s \in A)$
 $\langle proof \rangle$

lemma *Star-val*:

assumes $\forall s \in set\ ss. \exists v. s = flat\ v \wedge \vdash v : r$
shows $\exists vs. flats\ vs = concat\ ss \wedge (\forall v \in set\ vs. \vdash v : r \wedge flat\ v \neq [])$
 $\langle proof \rangle$

lemma *L-flat-Prf1*:

assumes $\vdash v : r$ **shows** $flat\ v \in lang\ r$
 $\langle proof \rangle$

lemma *L-flat-Prf2*:

assumes $s \in lang\ r$ **shows** $\exists v. \vdash v : r \wedge flat\ v = s$
 $\langle proof \rangle$

lemma *L-flat-Prf*:

$lang\ r = \{flat\ v \mid v. \vdash v : r\}$
 $\langle proof \rangle$

4 Sulzmann and Lu functions

fun

$mkeps :: 'a\ rexp \Rightarrow 'a\ val$

where

$mkeps(One) = Void$
 $| mkeps(Times\ r1\ r2) = Seq\ (mkeps\ r1)\ (mkeps\ r2)$
 $| mkeps(Plus\ r1\ r2) = (if\ nullable(r1)\ then\ Left\ (mkeps\ r1)\ else\ Right\ (mkeps\ r2))$
 $| mkeps(Star\ r) = Stars\ []$

fun $injval :: 'a\ rexp \Rightarrow 'a \Rightarrow 'a\ val \Rightarrow 'a\ val$

where

$injval\ (Atom\ d)\ c\ Void = Atm\ c$
 $| injval\ (Plus\ r1\ r2)\ c\ (Left\ v1) = Left\ (injval\ r1\ c\ v1)$
 $| injval\ (Plus\ r1\ r2)\ c\ (Right\ v2) = Right\ (injval\ r2\ c\ v2)$
 $| injval\ (Times\ r1\ r2)\ c\ (Seq\ v1\ v2) = Seq\ (injval\ r1\ c\ v1)\ v2$
 $| injval\ (Times\ r1\ r2)\ c\ (Left\ (Seq\ v1\ v2)) = Seq\ (injval\ r1\ c\ v1)\ v2$

| $\text{injval } (\text{Times } r1 \ r2) \ c \ (\text{Right } v2) = \text{Seq } (\text{mkeps } r1) \ (\text{injval } r2 \ c \ v2)$
| $\text{injval } (\text{Star } r) \ c \ (\text{Seq } v \ (\text{Stars } vs)) = \text{Stars } ((\text{injval } r \ c \ v) \ \# \ vs)$

5 Mkeps, injval

lemma *mkeps-nullable*:

assumes *nullable r*

shows $\vdash \text{mkeps } r : r$

<proof>

lemma *mkeps-flat*:

assumes *nullable r*

shows $\text{flat } (\text{mkeps } r) = []$

<proof>

lemma *Prf-injval-flat*:

assumes $\vdash v : \text{deriv } c \ r$

shows $\text{flat } (\text{injval } r \ c \ v) = c \ \# \ (\text{flat } v)$

<proof>

lemma *Prf-injval*:

assumes $\vdash v : \text{deriv } c \ r$

shows $\vdash (\text{injval } r \ c \ v) : r$

<proof>

6 Our Alternative Posix definition

inductive

Posix :: 'a list \Rightarrow 'a rexp \Rightarrow 'a val \Rightarrow bool (- \in - \rightarrow - [100, 100, 100] 100)

where

Posix-One: $[] \in \text{One} \rightarrow \text{Void}$

| *Posix-Atom*: $[c] \in (\text{Atom } c) \rightarrow (\text{Atm } c)$

| *Posix-Plus1*: $s \in r1 \rightarrow v \Longrightarrow s \in (\text{Plus } r1 \ r2) \rightarrow (\text{Left } v)$

| *Posix-Plus2*: $\llbracket s \in r2 \rightarrow v; s \notin \text{lang } r1 \rrbracket \Longrightarrow s \in (\text{Plus } r1 \ r2) \rightarrow (\text{Right } v)$

| *Posix-Times*: $\llbracket s1 \in r1 \rightarrow v1; s2 \in r2 \rightarrow v2;$

$\neg(\exists s3 \ s4. s3 \neq [] \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r1 \wedge s4 \in \text{lang } r2) \rrbracket \Longrightarrow$
 $(s1 \ @ \ s2) \in (\text{Times } r1 \ r2) \rightarrow (\text{Seq } v1 \ v2)$

| *Posix-Star1*: $\llbracket s1 \in r \rightarrow v; s2 \in \text{Star } r \rightarrow \text{Stars } vs; \text{flat } v \neq [];$

$\neg(\exists s3 \ s4. s3 \neq [] \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r \wedge s4 \in \text{lang } (\text{Star } r)) \rrbracket$
 $\Longrightarrow (s1 \ @ \ s2) \in \text{Star } r \rightarrow \text{Stars } (v \ \# \ vs)$

| *Posix-Star2*: $[] \in \text{Star } r \rightarrow \text{Stars } []$

inductive-cases *Posix-elim*s:

$s \in \text{Zero} \rightarrow v$

$s \in \text{One} \rightarrow v$

$s \in \text{Atom } c \rightarrow v$

$s \in \text{Plus } r1 \ r2 \rightarrow v$

$s \in \text{Times } r1 \ r2 \rightarrow v$

$s \in \text{Star } r \rightarrow v$

lemma *Posix1*:

assumes $s \in r \rightarrow v$

shows $s \in \text{lang } r \text{ flat } v = s$

<proof>

lemma *Posix1a*:

assumes $s \in r \rightarrow v$

shows $\vdash v : r$

<proof>

lemma *Posix-mkeys*:

assumes *nullable* r

shows $\square \in r \rightarrow \text{mkeys } r$

<proof>

lemma *Posix-determ*:

assumes $s \in r \rightarrow v1$ $s \in r \rightarrow v2$

shows $v1 = v2$

<proof>

lemma *Posix-injval*:

assumes $s \in (\text{deriv } c \ r) \rightarrow v$

shows $(c \# s) \in r \rightarrow (\text{injval } r \ c \ v)$

<proof>

7 The Lexer by Sulzmann and Lu

fun

lexer :: 'a rexp \Rightarrow 'a list \Rightarrow ('a val) option

where

lexer $r \ \square = (\text{if nullable } r \text{ then } \text{Some}(\text{mkeys } r) \text{ else } \text{None})$

| *lexer* $r \ (c\#s) = (\text{case } (\text{lexer } (\text{deriv } c \ r) \ s) \text{ of}$

$\text{None} \Rightarrow \text{None}$

| $\text{Some}(v) \Rightarrow \text{Some}(\text{injval } r \ c \ v))$

lemma *lexer-correct-None*:

shows $s \notin \text{lang } r \iff \text{lexer } r \ s = \text{None}$

<proof>

lemma *lexer-correct-Some*:

shows $s \in \text{lang } r \iff (\exists v. \text{lexer } r \ s = \text{Some}(v) \wedge s \in r \rightarrow v)$

<proof>

lemma *lexer-correctness*:
shows $(\text{lexer } r \ s = \text{Some } v) \longleftrightarrow s \in r \rightarrow v$
and $(\text{lexer } r \ s = \text{None}) \longleftrightarrow \neg(\exists v. s \in r \rightarrow v)$
 $\langle \text{proof} \rangle$

end
theory *LexicalVals*
imports *Lexer HOL-Library.Sublist*
begin

8 Sets of Lexical Values

Shows that lexical values are finite for a given regex and string.

definition
 $LV :: 'a \ \text{rex} \Rightarrow 'a \ \text{list} \Rightarrow ('a \ \text{val}) \ \text{set}$
where $LV \ r \ s \equiv \{v. \vdash v : r \wedge \text{flat } v = s\}$

lemma *LV-simps*:
shows $LV \ \text{Zero } s = \{\}$
and $LV \ \text{One } s = (\text{if } s = [] \ \text{then } \{\text{Void}\} \ \text{else } \{\})$
and $LV \ (\text{Atom } c) \ s = (\text{if } s = [c] \ \text{then } \{\text{Atm } c\} \ \text{else } \{\})$
and $LV \ (\text{Plus } r1 \ r2) \ s = \text{Left } ' LV \ r1 \ s \cup \text{Right } ' LV \ r2 \ s$
 $\langle \text{proof} \rangle$

abbreviation
 $\text{Prefixes } s \equiv \{s'. \text{prefix } s' \ s\}$

abbreviation
 $\text{Suffixes } s \equiv \{s'. \text{suffix } s' \ s\}$

abbreviation
 $\text{SSuffixes } s \equiv \{s'. \text{strict-suffix } s' \ s\}$

lemma *Suffixes-cons [simp]*:
shows $\text{Suffixes } (c \ # \ s) = \text{Suffixes } s \cup \{c \ # \ s\}$
 $\langle \text{proof} \rangle$

lemma *finite-Suffixes*:
shows $\text{finite } (\text{Suffixes } s)$
 $\langle \text{proof} \rangle$

lemma *finite-SSuffixes*:
shows $\text{finite } (\text{SSuffixes } s)$

<proof>

lemma *finite-Prefixes*:
 shows *finite (Prefixes s)*
<proof>

lemma *LV-STAR-finite*:
 assumes $\forall s. \text{finite } (LV\ r\ s)$
 shows *finite (LV (Star r) s)*
<proof>

lemma *LV-finite*:
 shows *finite (LV r s)*
<proof>

Our POSIX values are lexical values.

lemma *Posix-LV*:
 assumes $s \in r \rightarrow v$
 shows $v \in LV\ r\ s$
<proof>

lemma *Posix-Prf*:
 assumes $s \in r \rightarrow v$
 shows $\vdash v : r$
<proof>

end

theory *Simplifying*
 imports *Lexer*
begin

9 Lexer including simplifications

fun *F-RIGHT* **where**
 $F-RIGHT\ f\ v = Right\ (f\ v)$

fun *F-LEFT* **where**
 $F-LEFT\ f\ v = Left\ (f\ v)$

fun *F-Plus* **where**
 $F-Plus\ f_1\ f_2\ (Right\ v) = Right\ (f_2\ v)$
 $| F-Plus\ f_1\ f_2\ (Left\ v) = Left\ (f_1\ v)$
 $| F-Plus\ f_1\ f_2\ v = v$

fun *F-Times1* **where**

F-Times1 *f1 f2 v* = *Seq* (*f1 Void*) (*f2 v*)

fun *F-Times2* **where**

F-Times2 *f1 f2 v* = *Seq* (*f1 v*) (*f2 Void*)

fun *F-Times* **where**

F-Times *f1 f2 (Seq v1 v2)* = *Seq* (*f1 v1*) (*f2 v2*)

| *F-Times* *f1 f2 v* = *v*

fun *simp-Plus* **where**

simp-Plus (*Zero, f1*) (*r2, f2*) = (*r2, F-RIGHT f2*)

| *simp-Plus* (*r1, f1*) (*Zero, f2*) = (*r1, F-LEFT f1*)

| *simp-Plus* (*r1, f1*) (*r2, f2*) =

(*if r1 = r2 then (r1, F-LEFT f1) else (Plus r1 r2, F-Plus f1 f2)*)

fun *simp-Times* **where**

simp-Times (*Zero, f1*) (*r2, f2*) = (*Zero, undefined*)

| *simp-Times* (*r1, f1*) (*Zero, f2*) = (*Zero, undefined*)

| *simp-Times* (*One, f1*) (*r2, f2*) = (*r2, F-Times1 f1 f2*)

| *simp-Times* (*r1, f1*) (*One, f2*) = (*r1, F-Times2 f1 f2*)

| *simp-Times* (*r1, f1*) (*r2, f2*) = (*Times r1 r2, F-Times f1 f2*)

lemma *simp-Times-simps*[*simp*]:

simp-Times *p1 p2* = (*if (fst p1 = Zero) then (Zero, undefined)*

else (if (fst p2 = Zero) then (Zero, undefined)

else (if (fst p1 = One) then (fst p2, F-Times1 (snd p1) (snd p2))

else (if (fst p2 = One) then (fst p1, F-Times2 (snd p1) (snd p2))

else (Times (fst p1) (fst p2), F-Times (snd p1) (snd p2))))))

<proof>

lemma *simp-Plus-simps*[*simp*]:

simp-Plus *p1 p2* = (*if (fst p1 = Zero) then (fst p2, F-RIGHT (snd p2))*

else (if (fst p2 = Zero) then (fst p1, F-LEFT (snd p1))

else (if (fst p1 = fst p2) then (fst p1, F-LEFT (snd p1))

else (Plus (fst p1) (fst p2), F-Plus (snd p1) (snd p2))))

<proof>

fun

simp :: '*a* *rexp* ⇒ '*a* *rexp* * ('*a* *val* ⇒ '*a* *val*)

where

simp (*Plus r1 r2*) = *simp-Plus* (*simp r1*) (*simp r2*)

| *simp* (*Times r1 r2*) = *simp-Times* (*simp r1*) (*simp r2*)

| *simp* *r* = (*r, id*)

fun

slexer :: '*a* *rexp* ⇒ '*a* *list* ⇒ ('*a* *val*) *option*

where

slexer *r* [] = (*if nullable r then Some(mkeys r) else None*)

```
| slexer r (c#s) = (let (rs, fr) = simp (deriv c r) in
  (case (slexer rs s) of
    None => None
  | Some(v) => Some(injval r c (fr v))))
```

lemma *slexer-better-simp*:

```
slexer r (c#s) = (case (slexer (fst (simp (deriv c r))) s) of
  None => None
  | Some(v) => Some(injval r c ((snd (simp (deriv c r))) v)))
⟨proof⟩
```

lemma *L-fst-simp*:

```
shows lang r = lang (fst (simp r))
⟨proof⟩
```

lemma *Posix-simp*:

```
assumes s ∈ (fst (simp r)) → v
shows s ∈ r → ((snd (simp r)) v)
⟨proof⟩
```

lemma *slexer-correctness*:

```
shows slexer r s = lexer r s
⟨proof⟩
```

end

theory *Positions*

```
imports Lexer LexicalVals
begin
```

10 An alternative definition for POSIX values by Okui & Suzuki

11 Positions in Values

fun

```
at :: 'a val => nat list => 'a val
```

where

```
at v [] = v
| at (Left v) (0#ps) = at v ps
| at (Right v) (Suc 0#ps) = at v ps
| at (Seq v1 v2) (0#ps) = at v1 ps
| at (Seq v1 v2) (Suc 0#ps) = at v2 ps
| at (Stars vs) (n#ps) = at (nth vs n) ps
```

fun *Pos* :: 'a val \Rightarrow (nat list) set

where

Pos (Void) = $\{\square\}$
| *Pos* (Atm c) = $\{\square\}$
| *Pos* (Left v) = $\{\square\} \cup \{0\#ps \mid ps. ps \in Pos\ v\}$
| *Pos* (Right v) = $\{\square\} \cup \{1\#ps \mid ps. ps \in Pos\ v\}$
| *Pos* (Seq v1 v2) = $\{\square\} \cup \{0\#ps \mid ps. ps \in Pos\ v1\} \cup \{1\#ps \mid ps. ps \in Pos\ v2\}$
| *Pos* (Stars \square) = $\{\square\}$
| *Pos* (Stars (v#vs)) = $\{\square\} \cup \{0\#ps \mid ps. ps \in Pos\ v\} \cup \{Suc\ n\#ps \mid n\ ps. n\#ps \in Pos\ (Stars\ vs)\}$

lemma *Pos-stars*:

Pos (Stars vs) = $\{\square\} \cup (\bigcup n < length\ vs. \{n\#ps \mid ps. ps \in Pos\ (vs\ !\ n)\})$
<proof>

lemma *Pos-empty*:

shows $\square \in Pos\ v$
<proof>

abbreviation

intlen vs $\equiv int\ (length\ vs)$

definition *pflat-len* :: 'a val \Rightarrow nat list \Rightarrow int

where

pflat-len v p \equiv (if p $\in Pos\ v$ then *intlen* (flat (at v p)) else -1)

lemma *pflat-len-simps*:

shows *pflat-len* (Seq v1 v2) (0#p) = *pflat-len* v1 p
and *pflat-len* (Seq v1 v2) (Suc 0#p) = *pflat-len* v2 p
and *pflat-len* (Left v) (0#p) = *pflat-len* v p
and *pflat-len* (Left v) (Suc 0#p) = -1
and *pflat-len* (Right v) (Suc 0#p) = *pflat-len* v p
and *pflat-len* (Right v) (0#p) = -1
and *pflat-len* (Stars (v#vs)) (Suc n#p) = *pflat-len* (Stars vs) (n#p)
and *pflat-len* (Stars (v#vs)) (0#p) = *pflat-len* v p
and *pflat-len* v \square = *intlen* (flat v)
<proof>

lemma *pflat-len-Stars-simps*:

assumes n < length vs
shows *pflat-len* (Stars vs) (n#p) = *pflat-len* (vs!n) p
<proof>

lemma *pflat-len-outside*:

assumes p $\notin Pos\ v1$

shows *pflat-len v1 p = -1*
 ⟨*proof*⟩

12 Orderings

definition *prefix-list*:: 'a list ⇒ 'a list ⇒ bool (- □*pre* - [60,59] 60)
where

ps1 □*pre* *ps2* ≡ ∃ *ps'*. *ps1* @*ps'* = *ps2*

definition *sprefix-list*:: 'a list ⇒ 'a list ⇒ bool (- □*spre* - [60,59] 60)
where

ps1 □*spre* *ps2* ≡ *ps1* □*pre* *ps2* ∧ *ps1* ≠ *ps2*

inductive *lex-list* :: nat list ⇒ nat list ⇒ bool (- □*lex* - [60,59] 60)
where

□ □*lex* (*p*#*ps*)
 | *ps1* □*lex* *ps2* ⇒⇒ (*p*#*ps1*) □*lex* (*p*#*ps2*)
 | *p1* < *p2* ⇒⇒ (*p1*#*ps1*) □*lex* (*p2*#*ps2*)

lemma *lex-irrefl*:

fixes *ps1 ps2* :: nat list
assumes *ps1* □*lex* *ps2*
shows *ps1* ≠ *ps2*

⟨*proof*⟩

lemma *lex-simps* [*simp*]:

fixes *xs ys* :: nat list
shows □ □*lex* *ys* ⇔ *ys* ≠ □
and *xs* □*lex* □ ⇔ *False*
and (*x* # *xs*) □*lex* (*y* # *ys*) ⇔ (*x* < *y* ∨ (*x* = *y* ∧ *xs* □*lex* *ys*))

⟨*proof*⟩

lemma *lex-trans*:

fixes *ps1 ps2 ps3* :: nat list
assumes *ps1* □*lex* *ps2* *ps2* □*lex* *ps3*
shows *ps1* □*lex* *ps3*

⟨*proof*⟩

lemma *lex-trichotomous*:

fixes *p q* :: nat list
shows *p* = *q* ∨ *p* □*lex* *q* ∨ *q* □*lex* *p*

⟨*proof*⟩

13 POSIX Ordering of Values According to Okui & Suzuki

definition *PosOrd*:: 'a val ⇒ nat list ⇒ 'a val ⇒ bool (- □*val* - - [60, 60, 59] 60)

where

$v1 \sqsubseteq_{val} p \ v2 \equiv pflat-len \ v1 \ p > pflat-len \ v2 \ p \wedge$
 $(\forall q \in Pos \ v1 \cup Pos \ v2. q \sqsubseteq_{lex} p \longrightarrow pflat-len \ v1 \ q = pflat-len \ v2 \ q)$

lemma *PosOrd-def2*:

shows $v1 \sqsubseteq_{val} p \ v2 \longleftrightarrow$
 $pflat-len \ v1 \ p > pflat-len \ v2 \ p \wedge$
 $(\forall q \in Pos \ v1. q \sqsubseteq_{lex} p \longrightarrow pflat-len \ v1 \ q = pflat-len \ v2 \ q) \wedge$
 $(\forall q \in Pos \ v2. q \sqsubseteq_{lex} p \longrightarrow pflat-len \ v1 \ q = pflat-len \ v2 \ q)$
<proof>

definition *PosOrd-ex*:: $'a \ val \Rightarrow 'a \ val \Rightarrow bool \ (- : \sqsubseteq_{val} - [60, 59] 60)$

where

$v1 : \sqsubseteq_{val} v2 \equiv \exists p. v1 \sqsubseteq_{val} p \ v2$

definition *PosOrd-ex-eq*:: $'a \ val \Rightarrow 'a \ val \Rightarrow bool \ (- : \sqsubseteq_{val} - [60, 59] 60)$

where

$v1 : \sqsubseteq_{val} v2 \equiv v1 : \sqsubseteq_{val} v2 \vee v1 = v2$

lemma *PosOrd-trans*:

assumes $v1 : \sqsubseteq_{val} v2 \ v2 : \sqsubseteq_{val} v3$
shows $v1 : \sqsubseteq_{val} v3$
<proof>

lemma *PosOrd-irrefl*:

assumes $v : \sqsubseteq_{val} v$
shows *False*
<proof>

lemma *PosOrd-assym*:

assumes $v1 : \sqsubseteq_{val} v2$
shows $\neg(v2 : \sqsubseteq_{val} v1)$
<proof>

lemma *PosOrd-ordering*:

shows *ordering* $(\lambda v1 \ v2. v1 : \sqsubseteq_{val} v2) (\lambda v1 \ v2. v1 : \sqsubseteq_{val} v2)$
<proof>

lemma *PosOrd-order*:

shows *class.order* $(\lambda v1 \ v2. v1 : \sqsubseteq_{val} v2) (\lambda v1 \ v2. v1 : \sqsubseteq_{val} v2)$
<proof>

lemma *PosOrd-ex-eq2*:

shows $v1 : \sqsubseteq_{val} v2 \iff (v1 : \sqsubseteq_{val} v2 \wedge v1 \neq v2)$
<proof>

lemma *PosOrdeq-trans:*
assumes $v1 : \sqsubseteq_{val} v2$ $v2 : \sqsubseteq_{val} v3$
shows $v1 : \sqsubseteq_{val} v3$
<proof>

lemma *PosOrdeq-antisym:*
assumes $v1 : \sqsubseteq_{val} v2$ $v2 : \sqsubseteq_{val} v1$
shows $v1 = v2$
<proof>

lemma *PosOrdeq-refl:*
shows $v : \sqsubseteq_{val} v$
<proof>

lemma *PosOrd-shorterE:*
assumes $v1 : \sqsubseteq_{val} v2$
shows $length (flat v2) \leq length (flat v1)$
<proof>

lemma *PosOrd-shorterI:*
assumes $length (flat v2) < length (flat v1)$
shows $v1 : \sqsubseteq_{val} v2$
<proof>

lemma *PosOrd-spreI:*
assumes $flat v' \sqsubseteq_{spre} flat v$
shows $v : \sqsubseteq_{val} v'$
<proof>

lemma *pflat-len-inside:*
assumes $pflat-len v2 p < pflat-len v1 p$
shows $p \in Pos v1$
<proof>

lemma *PosOrd-Left-Right:*
assumes $flat v1 = flat v2$
shows $Left v1 : \sqsubseteq_{val} Right v2$
<proof>

lemma *PosOrd-LeftE:*
assumes $Left v1 : \sqsubseteq_{val} Left v2$ $flat v1 = flat v2$
shows $v1 : \sqsubseteq_{val} v2$
<proof>

lemma *PosOrd-LeftI*:
assumes $v1 : \square \text{val } v2 \text{ flat } v1 = \text{flat } v2$
shows $\text{Left } v1 : \square \text{val } \text{Left } v2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-Left-eq*:
assumes $\text{flat } v1 = \text{flat } v2$
shows $\text{Left } v1 : \square \text{val } \text{Left } v2 \longleftrightarrow v1 : \square \text{val } v2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-RightE*:
assumes $\text{Right } v1 : \square \text{val } \text{Right } v2 \text{ flat } v1 = \text{flat } v2$
shows $v1 : \square \text{val } v2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-RightI*:
assumes $v1 : \square \text{val } v2 \text{ flat } v1 = \text{flat } v2$
shows $\text{Right } v1 : \square \text{val } \text{Right } v2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-Right-eq*:
assumes $\text{flat } v1 = \text{flat } v2$
shows $\text{Right } v1 : \square \text{val } \text{Right } v2 \longleftrightarrow v1 : \square \text{val } v2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-SeqI1*:
assumes $v1 : \square \text{val } w1 \text{ flat } (\text{Seq } v1 \ v2) = \text{flat } (\text{Seq } w1 \ w2)$
shows $\text{Seq } v1 \ v2 : \square \text{val } \text{Seq } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-SeqI2*:
assumes $v2 : \square \text{val } w2 \text{ flat } v2 = \text{flat } w2$
shows $\text{Seq } v \ v2 : \square \text{val } \text{Seq } v \ w2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-Seq-eq*:
assumes $\text{flat } v2 = \text{flat } w2$
shows $(\text{Seq } v \ v2) : \square \text{val } (\text{Seq } v \ w2) \longleftrightarrow v2 : \square \text{val } w2$
 $\langle \text{proof} \rangle$

lemma *PosOrd-StarsI*:
assumes $v1 : \square \text{val } v2 \text{ flats } (v1 \# vs1) = \text{flats } (v2 \# vs2)$
shows $\text{Stars } (v1 \# vs1) : \square \text{val } \text{Stars } (v2 \# vs2)$
 $\langle \text{proof} \rangle$

lemma *PosOrd-StarsI2*:

assumes $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2 \text{ flats } vs1 = \text{flats } vs2$

shows $\text{Stars } (v\#vs1) : \sqsubseteq \text{val Stars } (v\#vs2)$

<proof>

lemma *PosOrd-Stars-appendI*:

assumes $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2 \text{ flat } (\text{Stars } vs1) = \text{flat } (\text{Stars } vs2)$

shows $\text{Stars } (vs @ vs1) : \sqsubseteq \text{val Stars } (vs @ vs2)$

<proof>

lemma *PosOrd-StarsE2*:

assumes $\text{Stars } (v \# vs1) : \sqsubseteq \text{val Stars } (v \# vs2)$

shows $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-Stars-appendE*:

assumes $\text{Stars } (vs @ vs1) : \sqsubseteq \text{val Stars } (vs @ vs2)$

shows $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-Stars-append-eq*:

assumes $\text{flats } vs1 = \text{flats } vs2$

shows $\text{Stars } (vs @ vs1) : \sqsubseteq \text{val Stars } (vs @ vs2) \longleftrightarrow \text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-almost-trichotomous*:

shows $v1 : \sqsubseteq \text{val } v2 \vee v2 : \sqsubseteq \text{val } v1 \vee (\text{length } (\text{flat } v1) = \text{length } (\text{flat } v2))$

<proof>

14 The Posix Value is smaller than any other lexical value

lemma *Posix-PosOrd*:

assumes $s \in r \rightarrow v1 \ v2 \in LV \ r \ s$

shows $v1 : \sqsubseteq \text{val } v2$

<proof>

lemma *Posix-PosOrd-reverse*:

assumes $s \in r \rightarrow v1$

shows $\neg(\exists v2 \in LV \ r \ s. v2 : \sqsubseteq \text{val } v1)$

<proof>

lemma *PosOrd-Posix*:

assumes $v1 \in LV \ r \ s \ \forall v2 \in LV \ r \ s. \neg v2 : \sqsubseteq \text{val } v1$

shows $s \in r \rightarrow v1$

<proof>

lemma *Least-existence*:
assumes $LV\ r\ s \neq \{\}$
shows $\exists v_{min} \in LV\ r\ s. \forall v \in LV\ r\ s. v_{min} : \sqsubseteq val\ v$
 $\langle proof \rangle$

lemma *Least-existence1*:
assumes $LV\ r\ s \neq \{\}$
shows $\exists! v_{min} \in LV\ r\ s. \forall v \in LV\ r\ s. v_{min} : \sqsubseteq val\ v$
 $\langle proof \rangle$

lemma *Least-existence2*:
assumes $LV\ r\ s \neq \{\}$
shows $\exists! v_{min} \in LV\ r\ s. lexic\ r\ s = Some\ v_{min} \wedge (\forall v \in LV\ r\ s. v_{min} : \sqsubseteq val\ v)$
 $\langle proof \rangle$

lemma *Least-existence1-pre*:
assumes $LV\ r\ s \neq \{\}$
shows $\exists! v_{min} \in LV\ r\ s. \forall v \in (LV\ r\ s \cup \{v'. flat\ v' \sqsubset spre\ s\}). v_{min} : \sqsubseteq val\ v$
 $\langle proof \rangle$

lemma *PosOrd-partial*:
shows *partial-order-on* $UNIV\ \{(v1, v2). v1 : \sqsubseteq val\ v2\}$
 $\langle proof \rangle$

lemma *PosOrd-wf*:
shows *wf* $\{(v1, v2). v1 : \sqsubseteq val\ v2 \wedge v1 \in LV\ r\ s \wedge v2 \in LV\ r\ s\}$
 $\langle proof \rangle$

unused-thms

end

15 Extended Regular Expressions 3

theory *Regular-Exps3*
imports *Regular-Sets.Regular-Set*
begin

datatype (*atoms*: 'a) *rexp* =
is-Zero: *Zero* |
is-One: *One* |
Atom 'a |
Plus ('a *rexp*) ('a *rexp*) |
Times ('a *rexp*) ('a *rexp*) |
Star ('a *rexp*) |
NTimes ('a *rexp*) *nat* |
Upto ('a *rexp*) *nat* |

```

From ('a rexp) nat |
Rec string ('a rexp) |
Charset ('a set)

```

```

fun lang :: 'a rexp => 'a lang where
lang Zero = {} |
lang One = {[]} |
lang (Atom a) = {[a]} |
lang (Plus r s) = (lang r) Un (lang s) |
lang (Times r s) = conc (lang r) (lang s) |
lang (Star r) = star (lang r) |
lang (NTimes r n) = ((lang r) ^^ n) |
lang (Upto r n) = (∪ i ∈ {..n}. (lang r) ^^ i) |
lang (From r n) = (∪ i ∈ {n..}. (lang r) ^^ i) |
lang (Rec l r) = lang r |
lang (Charset cs) = {[c] | c . c ∈ cs}

```

```

primrec nullable :: 'a rexp ⇒ bool where
nullable Zero = False |
nullable One = True |
nullable (Atom c) = False |
nullable (Plus r1 r2) = (nullable r1 ∨ nullable r2) |
nullable (Times r1 r2) = (nullable r1 ∧ nullable r2) |
nullable (Star r) = True |
nullable (NTimes r n) = (if n = 0 then True else nullable r) |
nullable (Upto r n) = True |
nullable (From r n) = (if n = 0 then True else nullable r) |
nullable (Rec l r) = nullable r |
nullable (Charset cs) = False

```

```

lemma pow-empty-iff:
shows [] ∈ (lang r) ^^ n ↔ (if n = 0 then True else [] ∈ (lang r))
<proof>

```

```

lemma nullable-iff:
shows nullable r ↔ [] ∈ lang r
<proof>

```

end

16 Derivatives of Extended Regular Expressions

```

theory Derivatives3
imports Regular-Exps3
begin

```

This theory is based on work by Brozowski.

16.1 Brzozowski's derivatives of regular expressions

fun

deriv :: 'a ⇒ 'a rexp ⇒ 'a rexp

where

deriv *c* (*Zero*) = *Zero*
| *deriv* *c* (*One*) = *Zero*
| *deriv* *c* (*Atom* *c'*) = (if *c* = *c'* then *One* else *Zero*)
| *deriv* *c* (*Plus* *r1* *r2*) = *Plus* (*deriv* *c* *r1*) (*deriv* *c* *r2*)
| *deriv* *c* (*Times* *r1* *r2*) =
 (if nullable *r1* then *Plus* (*Times* (*deriv* *c* *r1*) *r2*) (*deriv* *c* *r2*) else *Times* (*deriv*
c *r1*) *r2*)
| *deriv* *c* (*Star* *r*) = *Times* (*deriv* *c* *r*) (*Star* *r*)
| *deriv* *c* (*NTimes* *r* *n*) = (if *n* = 0 then *Zero* else *Times* (*deriv* *c* *r*) (*NTimes* *r* (*n*
- 1)))
| *deriv* *c* (*Upto* *r* *n*) = (if *n* = 0 then *Zero* else *Times* (*deriv* *c* *r*) (*Upto* *r* (*n* -
1)))
| *deriv* *c* (*From* *r* *n*) = (if *n* = 0 then *Times* (*deriv* *c* *r*) (*Star* *r*) else *Times* (*deriv*
c *r*) (*From* *r* (*n* - 1)))
| *deriv* *c* (*Rec* *l* *r*) = *deriv* *c* *r*
| *deriv* *c* (*Charset* *cs*) = (if *c* ∈ *cs* then *One* else *Zero*)

fun

derivs :: 'a list ⇒ 'a rexp ⇒ 'a rexp

where

derivs [] *r* = *r*
| *derivs* (*c* # *s*) *r* = *derivs* *s* (*deriv* *c* *r*)

lemma *deriv-pow* [*simp*]:

shows *Deriv* *c* (*A* $\overset{\sim}{\sim}$ *n*) = (if *n* = 0 then {} else (*Deriv* *c* *A*) @@ (*A* $\overset{\sim}{\sim}$ (*n* -
1)))
⟨*proof*⟩

lemma *lang-deriv*: *lang* (*deriv* *c* *r*) = *Deriv* *c* (*lang* *r*)

⟨*proof*⟩

lemma *lang-derivs*: *lang* (*derivs* *s* *r*) = *Derivs* *s* (*lang* *r*)

⟨*proof*⟩

A regular expression matcher:

definition *matcher* :: 'a rexp ⇒ 'a list ⇒ bool **where**

matcher *r* *s* = nullable (*derivs* *s* *r*)

lemma *matcher-correctness*: *matcher* *r* *s* ⟷ *s* ∈ *lang* *r*

⟨*proof*⟩

end

```

theory Lexer3
  imports Derivatives3
begin

```

17 Values

```

datatype 'a val =
  Void
| Atm 'a
| Seq 'a val 'a val
| Right 'a val
| Left 'a val
| Stars ('a val) list
| Recv string 'a val

```

18 The string behind a value

```

fun
  flat :: 'a val  $\Rightarrow$  'a list
where
  flat (Void) = []
| flat (Atm c) = [c]
| flat (Left v) = flat v
| flat (Right v) = flat v
| flat (Seq v1 v2) = (flat v1) @ (flat v2)
| flat (Stars []) = []
| flat (Stars (v#vs)) = (flat v) @ (flat (Stars vs))
| flat (Recv l v) = flat v

```

abbreviation

```

flats vs  $\equiv$  concat (map flat vs)

```

lemma *flat-Stars* [*simp*]:

```

flat (Stars vs) = concat (map flat vs)
  <proof>

```

lemma *flats-empty*:

```

  assumes ( $\forall v \in \text{set } vs. \text{flat } v = []$ )
  shows flats vs = []
  <proof>

```

19 Relation between values and regular expressions

inductive

```

Prf :: 'a val  $\Rightarrow$  'a rexp  $\Rightarrow$  bool ( $\vdash - : - [100, 100] 100$ )

```

where

$\llbracket \vdash v1 : r1; \vdash v2 : r2 \rrbracket \implies \vdash \text{Seq } v1 \ v2 : \text{Times } r1 \ r2$
 $\vdash v1 : r1 \implies \vdash \text{Left } v1 : \text{Plus } r1 \ r2$
 $\vdash v2 : r2 \implies \vdash \text{Right } v2 : \text{Plus } r1 \ r2$
 $\vdash \text{Void} : \text{One}$
 $\vdash \text{Atm } c : \text{Atom } c$
 $\llbracket \forall v \in \text{set } vs. \vdash v : r \wedge \text{flat } v \neq [] \rrbracket \implies \vdash \text{Stars } vs : \text{Star } r$
 $\llbracket \forall v \in \text{set } vs1. \vdash v : r \wedge \text{flat } v \neq [];$
 $\quad \forall v \in \text{set } vs2. \vdash v : r \wedge \text{flat } v = [];$
 $\quad \text{length } (vs1 \ @ \ vs2) = n \rrbracket \implies \vdash \text{Stars } (vs1 \ @ \ vs2) : \text{NTimes } r \ n$
 $\llbracket \forall v \in \text{set } vs. \vdash v : r \wedge \text{flat } v \neq []; \text{length } vs \leq n \rrbracket \implies \vdash \text{Stars } vs : \text{Upto } r \ n$
 $\llbracket \forall v \in \text{set } vs1. \vdash v : r \wedge \text{flat } v \neq [];$
 $\quad \forall v \in \text{set } vs2. \vdash v : r \wedge \text{flat } v = [];$
 $\quad \text{length } (vs1 \ @ \ vs2) = n \rrbracket \implies \vdash \text{Stars } (vs1 \ @ \ vs2) : \text{From } r \ n$
 $\llbracket \forall v \in \text{set } vs. \vdash v : r \wedge \text{flat } v \neq []; \text{length } vs > n \rrbracket \implies \vdash \text{Stars } vs : \text{From } r \ n$
 $\vdash v : r \implies \vdash \text{Recv } l \ v : \text{Rec } l \ r$
 $\vdash c \in cs \implies \vdash \text{Atm } c : \text{Charset } cs$

inductive-cases *Prf-elim*s:

$\vdash v : \text{Zero}$
 $\vdash v : \text{Times } r1 \ r2$
 $\vdash v : \text{Plus } r1 \ r2$
 $\vdash v : \text{One}$
 $\vdash v : \text{Atom } c$
 $\vdash v : \text{Star } r$
 $\vdash v : \text{NTimes } r \ n$
 $\vdash v : \text{Upto } r \ n$
 $\vdash v : \text{From } r \ n$
 $\vdash v : \text{Rec } l \ r$
 $\vdash v : \text{Charset } cs$

lemma *Prf-NTimes-empty*:

assumes $\forall v \in \text{set } vs. \vdash v : r \wedge \text{flat } v = []$
and $\text{length } vs = n$
shows $\vdash \text{Stars } vs : \text{NTimes } r \ n$
<proof>

lemma *Times-decomp*:

assumes $s \in A \ @ \ @ \ B$
shows $\exists s1 \ s2. s = s1 \ @ \ s2 \wedge s1 \in A \wedge s2 \in B$
<proof>

lemma *pow-string*:

assumes $s \in A \ \widetilde{\ \ } \ n$
shows $\exists ss. \text{concat } ss = s \wedge (\forall s \in \text{set } ss. s \in A) \wedge \text{length } ss = n$
<proof>

lemma *pow-Prf*:

assumes $\forall v \in \text{set } vs. \vdash v : r \wedge \text{flat } v \in A$
shows $\text{flats } vs \in A \overset{\sim}{\sim} (\text{length } vs)$
 $\langle \text{proof} \rangle$

lemma *Star-string*:

assumes $s \in \text{star } A$
shows $\exists ss. \text{concat } ss = s \wedge (\forall s \in \text{set } ss. s \in A)$
 $\langle \text{proof} \rangle$

lemma *Star-val*:

assumes $\forall s \in \text{set } ss. \exists v. s = \text{flat } v \wedge \vdash v : r$
shows $\exists vs. \text{flats } vs = \text{concat } ss \wedge (\forall v \in \text{set } vs. \vdash v : r \wedge \text{flat } v \neq [])$
 $\langle \text{proof} \rangle$

lemma *Aux*:

assumes $\forall s \in \text{set } ss. s = []$
shows $\text{concat } ss = []$
 $\langle \text{proof} \rangle$

lemma *pow-cstring*:

assumes $s \in A \overset{\sim}{\sim} n$
shows $\exists ss1 \ ss2. \text{concat } (ss1 @ ss2) = s \wedge \text{length } (ss1 @ ss2) = n \wedge$
 $(\forall s \in \text{set } ss1. s \in A \wedge s \neq []) \wedge (\forall s \in \text{set } ss2. s \in A \wedge s = [])$
 $\langle \text{proof} \rangle$

lemma *flats-cval*:

assumes $\forall s \in \text{set } ss. \exists v. s = \text{flat } v \wedge \vdash v : r$
shows $\exists vs1 \ vs2. \text{flats } vs1 = \text{concat } ss \wedge \text{length } (vs1 @ vs2) = \text{length } ss \wedge$
 $(\forall v \in \text{set } vs1. \vdash v : r \wedge \text{flat } v \neq []) \wedge$
 $(\forall v \in \text{set } vs2. \vdash v : r \wedge \text{flat } v = [])$
 $\langle \text{proof} \rangle$

lemma *flats-cval2*:

assumes $\forall s \in \text{set } ss. \exists v. s = \text{flat } v \wedge \vdash v : r$
shows $\exists vs. \text{flats } vs = \text{concat } ss \wedge \text{length } vs \leq \text{length } ss \wedge (\forall v \in \text{set } vs. \vdash v : r \wedge$
 $\text{flat } v \neq [])$
 $\langle \text{proof} \rangle$

lemma *Prf-flat-lang*:

assumes $\vdash v : r$ **shows** $\text{flat } v \in \text{lang } r$
 $\langle \text{proof} \rangle$

lemma *L-flat-Prf2*:

assumes $s \in \text{lang } r$
shows $\exists v. \vdash v : r \wedge \text{flat } v = s$
 $\langle \text{proof} \rangle$

lemma *L-flat-Prf*:

$lang\ r = \{flat\ v \mid v.\vdash\ v : r\}$
 $\langle proof \rangle$

20 Sulzmann and Lu functions

fun

$mkeys :: 'a\ rexp \Rightarrow 'a\ val$

where

$mkeys(One) = Void$
 $| mkeys(Plus\ r1\ r2) = Seq\ (mkeys\ r1)\ (mkeys\ r2)$
 $| mkeys(Plus\ r1\ r2) = (if\ nullable(r1)\ then\ Left\ (mkeys\ r1)\ else\ Right\ (mkeys\ r2))$
 $| mkeys(Star\ r) = Stars\ []$
 $| mkeys(Upto\ r\ n) = Stars\ []$
 $| mkeys(NTimes\ r\ n) = Stars\ (replicate\ n\ (mkeys\ r))$
 $| mkeys(From\ r\ n) = Stars\ (replicate\ n\ (mkeys\ r))$
 $| mkeys(Rec\ l\ r) = Recv\ l\ (mkeys\ r)$

fun $injval :: 'a\ rexp \Rightarrow 'a \Rightarrow 'a\ val \Rightarrow 'a\ val$

where

$injval\ (Atom\ d)\ c\ Void = Atm\ c$
 $| injval\ (Plus\ r1\ r2)\ c\ (Left\ v1) = Left\ (injval\ r1\ c\ v1)$
 $| injval\ (Plus\ r1\ r2)\ c\ (Right\ v2) = Right\ (injval\ r2\ c\ v2)$
 $| injval\ (Times\ r1\ r2)\ c\ (Seq\ v1\ v2) = Seq\ (injval\ r1\ c\ v1)\ v2$
 $| injval\ (Times\ r1\ r2)\ c\ (Left\ (Seq\ v1\ v2)) = Seq\ (injval\ r1\ c\ v1)\ v2$
 $| injval\ (Times\ r1\ r2)\ c\ (Right\ v2) = Seq\ (mkeys\ r1)\ (injval\ r2\ c\ v2)$
 $| injval\ (Star\ r)\ c\ (Seq\ v\ (Stars\ vs)) = Stars\ ((injval\ r\ c\ v)\ \# vs)$
 $| injval\ (NTimes\ r\ n)\ c\ (Seq\ v\ (Stars\ vs)) = Stars\ ((injval\ r\ c\ v)\ \# vs)$
 $| injval\ (Upto\ r\ n)\ c\ (Seq\ v\ (Stars\ vs)) = Stars\ ((injval\ r\ c\ v)\ \# vs)$
 $| injval\ (From\ r\ n)\ c\ (Seq\ v\ (Stars\ vs)) = Stars\ ((injval\ r\ c\ v)\ \# vs)$
 $| injval\ (Rec\ l\ r)\ c\ v = Recv\ l\ (injval\ r\ c\ v)$
 $| injval\ (Charset\ cs)\ c\ Void = Atm\ c$

21 Mkeys, injval

lemma $mkeys-flat$:

assumes $nullable(r)$

shows $flat\ (mkeys\ r) = []$

$\langle proof \rangle$

lemma $mkeys-nullable$:

assumes $nullable\ r$

shows $\vdash\ mkeys\ r : r$

$\langle proof \rangle$

lemma $Prf-injval-flat$:

assumes $\vdash\ v : deriv\ c\ r$

shows $flat\ (injval\ r\ c\ v) = c\ \# (flat\ v)$

$\langle proof \rangle$

lemma *Prf-injval*:
assumes $\vdash v : \text{deriv } c \ r$
shows $\vdash (\text{injval } r \ c \ v) : r$
 $\langle \text{proof} \rangle$

22 Our Alternative Posix definition

inductive

Posix :: 'a list \Rightarrow 'a rexp \Rightarrow 'a val \Rightarrow bool (- \in - \rightarrow - [100, 100, 100] 100)

where

Posix-One: $\square \in \text{One} \rightarrow \text{Void}$
| *Posix-Atom*: $[c] \in (\text{Atom } c) \rightarrow (\text{Atm } c)$
| *Posix-Plus1*: $s \in r1 \rightarrow v \Longrightarrow s \in (\text{Plus } r1 \ r2) \rightarrow (\text{Left } v)$
| *Posix-Plus2*: $\llbracket s \in r2 \rightarrow v; s \notin \text{lang } r1 \rrbracket \Longrightarrow s \in (\text{Plus } r1 \ r2) \rightarrow (\text{Right } v)$
| *Posix-Times*: $\llbracket s1 \in r1 \rightarrow v1; s2 \in r2 \rightarrow v2;$
 $\neg(\exists s3 \ s4. s3 \neq \square \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r1 \wedge s4 \in \text{lang } r2) \rrbracket \Longrightarrow$
 $(s1 \ @ \ s2) \in (\text{Times } r1 \ r2) \rightarrow (\text{Seq } v1 \ v2)$
| *Posix-Star1*: $\llbracket s1 \in r \rightarrow v; s2 \in \text{Star } r \rightarrow \text{Stars } vs; \text{flat } v \neq \square;$
 $\neg(\exists s3 \ s4. s3 \neq \square \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r \wedge s4 \in \text{lang } (\text{Star } r)) \rrbracket$
 $\Longrightarrow (s1 \ @ \ s2) \in \text{Star } r \rightarrow \text{Stars } (v \ # \ vs)$
| *Posix-Star2*: $\square \in \text{Star } r \rightarrow \text{Stars } \square$
| *Posix-NTimes1*: $\llbracket s1 \in r \rightarrow v; s2 \in \text{NTimes } r \ n \rightarrow \text{Stars } vs; \text{flat } v \neq \square;$
 $\neg(\exists s3 \ s4. s3 \neq \square \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r \wedge s4 \in \text{lang } (\text{NTimes } r$
 $n)) \rrbracket$
 $\Longrightarrow (s1 \ @ \ s2) \in \text{NTimes } r \ (n + 1) \rightarrow \text{Stars } (v \ # \ vs)$
| *Posix-NTimes2*: $\llbracket \forall v \in \text{set } vs. \square \in r \rightarrow v; \text{length } vs = n \rrbracket$
 $\Longrightarrow \square \in \text{NTimes } r \ n \rightarrow \text{Stars } vs$
| *Posix-Upto1*: $\llbracket s1 \in r \rightarrow v; s2 \in \text{Upto } r \ n \rightarrow \text{Stars } vs; \text{flat } v \neq \square;$
 $\neg(\exists s3 \ s4. s3 \neq \square \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r \wedge s4 \in \text{lang } (\text{Upto } r \ n)) \rrbracket$
 $\Longrightarrow (s1 \ @ \ s2) \in \text{Upto } r \ (n + 1) \rightarrow \text{Stars } (v \ # \ vs)$
| *Posix-Upto2*: $\square \in \text{Upto } r \ n \rightarrow \text{Stars } \square$
| *Posix-From2*: $\llbracket \forall v \in \text{set } vs. \square \in r \rightarrow v; \text{length } vs = n \rrbracket$
 $\Longrightarrow \square \in \text{From } r \ n \rightarrow \text{Stars } vs$
| *Posix-From1*: $\llbracket s1 \in r \rightarrow v; s2 \in \text{From } r \ (n - 1) \rightarrow \text{Stars } vs; \text{flat } v \neq \square; 0 < n;$
 $\neg(\exists s3 \ s4. s3 \neq \square \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r \wedge s4 \in \text{lang } (\text{From } r \ (n$
 $- 1))) \rrbracket$
 $\Longrightarrow (s1 \ @ \ s2) \in \text{From } r \ n \rightarrow \text{Stars } (v \ # \ vs)$
| *Posix-From3*: $\llbracket s1 \in r \rightarrow v; s2 \in \text{Star } r \rightarrow \text{Stars } vs; \text{flat } v \neq \square;$
 $\neg(\exists s3 \ s4. s3 \neq \square \wedge s3 \ @ \ s4 = s2 \wedge (s1 \ @ \ s3) \in \text{lang } r \wedge s4 \in \text{lang } (\text{Star } r)) \rrbracket$
 $\Longrightarrow (s1 \ @ \ s2) \in \text{From } r \ 0 \rightarrow \text{Stars } (v \ # \ vs)$
| *Posix-Rec*: $s \in r \rightarrow v \Longrightarrow s \in (\text{Rec } l \ r) \rightarrow (\text{Recv } l \ v)$
| *Posix-Cset*: $c \in cs \Longrightarrow [c] \in (\text{Charset } cs) \rightarrow (\text{Atm } c)$

inductive-cases *Posix-elim*s:

$s \in \text{Zero} \rightarrow v$
 $s \in \text{One} \rightarrow v$
 $s \in \text{Atom } c \rightarrow v$
 $s \in \text{Plus } r1 \ r2 \rightarrow v$

$s \in \text{Times } r1 \ r2 \rightarrow v$
 $s \in \text{Star } r \rightarrow v$
 $s \in \text{NTimes } r \ n \rightarrow v$
 $s \in \text{Upto } r \ n \rightarrow v$
 $s \in \text{From } r \ n \rightarrow v$
 $s \in \text{Rec } l \ r \rightarrow v$
 $s \in \text{Charset } cs \rightarrow v$

lemma *Posix1*:
assumes $s \in r \rightarrow v$
shows $s \in \text{lang } r \ \text{flat } v = s$
 $\langle \text{proof} \rangle$

lemma *Posix1a*:
assumes $s \in r \rightarrow v$
shows $\vdash v : r$
 $\langle \text{proof} \rangle$

lemma *Posix-mkeps*:
assumes *nullable* r
shows $\square \in r \rightarrow \text{mkeps } r$
 $\langle \text{proof} \rangle$

lemma *List-eq-zipI*:
assumes $\forall (v1, v2) \in \text{set } (\text{zip } vs1 \ vs2). \ v1 = v2$
and $\text{length } vs1 = \text{length } vs2$
shows $vs1 = vs2$
 $\langle \text{proof} \rangle$

Our Posix definition determines a unique value.

lemma *Posix-determ*:
assumes $s \in r \rightarrow v1 \ s \in r \rightarrow v2$
shows $v1 = v2$
 $\langle \text{proof} \rangle$

lemma *Posix-injval*:
assumes $s \in (\text{deriv } c \ r) \rightarrow v$
shows $(c \ \# \ s) \in r \rightarrow (\text{injval } r \ c \ v)$
 $\langle \text{proof} \rangle$

23 The Lexer by Sulzmann and Lu

fun
 $\text{lexer} :: 'a \ \text{rexpr} \Rightarrow 'a \ \text{list} \Rightarrow ('a \ \text{val}) \ \text{option}$
where
 $\text{lexer } r \ \square = (\text{if } \text{nullable } r \ \text{then } \text{Some}(\text{mkeps } r) \ \text{else } \text{None})$

| $lexer\ r\ (c\#\!s) = (case\ (lexer\ (deriv\ c\ r)\ s)\ of$
 $None\ \Rightarrow\ None$
 | $Some\ (v)\ \Rightarrow\ Some\ (inval\ r\ c\ v))$

lemma *lexer-correct-None*:
shows $s \notin lang\ r \longleftrightarrow lexer\ r\ s = None$
 <proof>

lemma *lexer-correct-Some*:
shows $s \in lang\ r \longleftrightarrow (\exists v. lexer\ r\ s = Some\ (v) \wedge s \in r \rightarrow v)$
 <proof>

lemma *lexer-correctness*:
shows $(lexer\ r\ s = Some\ v) \longleftrightarrow s \in r \rightarrow v$
and $(lexer\ r\ s = None) \longleftrightarrow \neg(\exists v. s \in r \rightarrow v)$
 <proof>

end
theory *LexicalVals3*
imports *Lexer3 HOL-Library.Sublist*
begin

24 Sets of Lexical Values

Shows that lexical values are finite for a given regex and string.

definition
 $LV :: 'a\ rexp \Rightarrow 'a\ list \Rightarrow ('a\ val)\ set$
where $LV\ r\ s \equiv \{v. \vdash v : r \wedge flat\ v = s\}$

lemma *LV-simps*:
shows $LV\ Zero\ s = \{\}$
and $LV\ One\ s = (if\ s = []\ then\ \{Void\}\ else\ \{\})$
and $LV\ (Atom\ c)\ s = (if\ s = [c]\ then\ \{Atm\ c\}\ else\ \{\})$
and $LV\ (Plus\ r1\ r2)\ s = Left\ 'LV\ r1\ s \cup Right\ 'LV\ r2\ s$
and $LV\ (NTimes\ r\ 0)\ s = (if\ s = []\ then\ \{Stars\ []\}\ else\ \{\})$
and $LV\ (Rec\ l\ r)\ s = \{Recv\ l\ v \mid v. v \in LV\ r\ s\}$
and $LV\ (Charset\ cs)\ s = (if\ length\ s = 1 \wedge (hd\ s) \in cs\ then\ \{Atm\ (hd\ s)\}\ else\ \{\})$
 <proof>

abbreviation
 $Prefixes\ s \equiv \{s'.\ prefix\ s'\ s\}$

abbreviation
 $Suffixes\ s \equiv \{s'.\ suffix\ s'\ s\}$

abbreviation

$SSuffixes\ s \equiv \{s'.\ strict\text{-}suffix\ s'\ s\}$

lemma *Suffixes-cons* [simp]:

shows $Suffixes\ (c\ \#\ s) = Suffixes\ s \cup \{c\ \#\ s\}$
 ⟨proof⟩

lemma *finite-Suffixes*:

shows $finite\ (Suffixes\ s)$
 ⟨proof⟩

lemma *finite-SSuffixes*:

shows $finite\ (SSuffixes\ s)$
 ⟨proof⟩

lemma *finite-Prefixes*:

shows $finite\ (Prefixes\ s)$
 ⟨proof⟩

lemma *LV-STAR-finite*:

assumes $\forall s.\ finite\ (LV\ r\ s)$
shows $finite\ (LV\ (Star\ r)\ s)$
 ⟨proof⟩

definition

$Stars\ Cons\ V\ Vs \equiv \{Stars\ (v\ \#\ vs) \mid v\ vs.\ v \in V \wedge Stars\ vs \in Vs\}$

definition

$Stars\ Append\ Vs1\ Vs2 \equiv \{Stars\ (vs1\ @\ vs2) \mid vs1\ vs2.\ Stars\ vs1 \in Vs1 \wedge Stars\ vs2 \in Vs2\}$

fun *Stars-Pow* :: $('a\ val)\ set \Rightarrow nat \Rightarrow ('a\ val)\ set$

where

$Stars\ Pow\ Vs\ 0 = \{Stars\ []\}$
 $| Stars\ Pow\ Vs\ (Suc\ n) = Stars\ Cons\ Vs\ (Stars\ Pow\ Vs\ n)$

lemma *finite-Stars-Cons*:

assumes $finite\ V\ finite\ Vs$
shows $finite\ (Stars\ Cons\ V\ Vs)$
 ⟨proof⟩

lemma *finite-Stars-Append*:

assumes $finite\ Vs1\ finite\ Vs2$
shows $finite\ (Stars\ Append\ Vs1\ Vs2)$
 ⟨proof⟩

lemma *finite-Stars-Pow*:

assumes *finite Vs*
shows *finite (Stars-Pow Vs n)*
 ⟨*proof*⟩

lemma *LV-NTimes-5:*
 $LV (NTimes r n) s \subseteq Stars\text{-}Append (LV (Star r) s) (\bigcup_{i \leq n}. LV (NTimes r i))$
 []
 ⟨*proof*⟩

lemma *LV-NTIMES-3:*
shows $LV (NTimes r (Suc n)) [] =$
 $(\lambda(v, vs). Stars (v\#vs)) \text{' } (LV r [] \times (Stars - \text{' } (LV (NTimes r n) [])))$
 ⟨*proof*⟩

lemma *finite-NTimes-empty:*
assumes $\bigwedge s. finite (LV r s)$
shows *finite (LV (NTimes r n) [])*
 ⟨*proof*⟩

lemma *LV-From-5:*
shows $LV (From r n) s \subseteq Stars\text{-}Append (LV (Star r) s) (\bigcup_{i \leq n}. LV (From r i))$
 []
 ⟨*proof*⟩

lemma *LV-FROMNTIMES-3:*
shows $LV (From r (Suc n)) [] =$
 $(\lambda(v, vs). Stars (v\#vs)) \text{' } (LV r [] \times (Stars - \text{' } (LV (From r n) [])))$
 ⟨*proof*⟩

lemma *LV-From-empty:*
 $LV (From r n) [] = Stars\text{-}Pow (LV r []) n$
 ⟨*proof*⟩

lemma *finite-From-empty:*
assumes $\forall s. finite (LV r s)$
shows *finite (LV (From r n) s)*
 ⟨*proof*⟩

lemma *subsetq-Upto-Star:*
shows $LV (Upto r n) s \subseteq LV (Star r) s$
 ⟨*proof*⟩

lemma *LV-finite:*
shows *finite (LV r s)*
 ⟨*proof*⟩

Our POSIX values are lexical values.

```

lemma Posix-LV:
  assumes  $s \in r \rightarrow v$ 
  shows  $v \in LV\ r\ s$ 
   $\langle proof \rangle$ 

```

```

lemma Posix-Prf:
  assumes  $s \in r \rightarrow v$ 
  shows  $\vdash v : r$ 
   $\langle proof \rangle$ 

```

```

end

```

```

theory Simplifying3
  imports Lexer3
begin

```

25 Lexer including simplifications

```

fun F-RIGHT where
  F-RIGHT  $f\ v = Right\ (f\ v)$ 

```

```

fun F-LEFT where
  F-LEFT  $f\ v = Left\ (f\ v)$ 

```

```

fun F-Plus where
  F-Plus  $f_1\ f_2\ (Right\ v) = Right\ (f_2\ v)$ 
| F-Plus  $f_1\ f_2\ (Left\ v) = Left\ (f_1\ v)$ 
| F-Plus  $f_1\ f_2\ v = v$ 

```

```

fun F-Times1 where
  F-Times1  $f_1\ f_2\ v = Seq\ (f_1\ Void)\ (f_2\ v)$ 

```

```

fun F-Times2 where
  F-Times2  $f_1\ f_2\ v = Seq\ (f_1\ v)\ (f_2\ Void)$ 

```

```

fun F-Times where
  F-Times  $f_1\ f_2\ (Seq\ v_1\ v_2) = Seq\ (f_1\ v_1)\ (f_2\ v_2)$ 
| F-Times  $f_1\ f_2\ v = v$ 

```

```

fun simp-Plus where
  simp-Plus  $(Zero, f_1)\ (r_2, f_2) = (r_2, F-RIGHT\ f_2)$ 
| simp-Plus  $(r_1, f_1)\ (Zero, f_2) = (r_1, F-LEFT\ f_1)$ 
| simp-Plus  $(r_1, f_1)\ (r_2, f_2) =$ 
   $(if\ r_1 = r_2\ then\ (r_1, F-LEFT\ f_1)\ else\ (Plus\ r_1\ r_2, F-Plus\ f_1\ f_2))$ 

```

```

fun simp-Times where

```

```

  simp-Times (Zero, f1) (r2, f2) = (Zero, undefined)
| simp-Times (r1, f1) (Zero, f2) = (Zero, undefined)
| simp-Times (One, f1) (r2, f2) = (r2, F-Times1 f1 f2)
| simp-Times (r1, f1) (One, f2) = (r1, F-Times2 f1 f2)
| simp-Times (r1, f1) (r2, f2) = (Times r1 r2, F-Times f1 f2)

```

lemma *simp-Times-simps*[simp]:

```

  simp-Times p1 p2 = (if (fst p1 = Zero) then (Zero, undefined)
    else (if (fst p2 = Zero) then (Zero, undefined)
      else (if (fst p1 = One) then (fst p2, F-Times1 (snd p1) (snd p2))
        else (if (fst p2 = One) then (fst p1, F-Times2 (snd p1) (snd p2))
          else (Times (fst p1) (fst p2), F-Times (snd p1) (snd p2))))))

```

⟨proof⟩

lemma *simp-Plus-simps*[simp]:

```

  simp-Plus p1 p2 = (if (fst p1 = Zero) then (fst p2, F-RIGHT (snd p2))
    else (if (fst p2 = Zero) then (fst p1, F-LEFT (snd p1))
      else (if (fst p1 = fst p2) then (fst p1, F-LEFT (snd p1))
        else (Plus (fst p1) (fst p2), F-Plus (snd p1) (snd p2))))

```

⟨proof⟩

fun

```

  simp :: 'a rexp ⇒ 'a rexp * ('a val ⇒ 'a val)

```

where

```

  simp (Plus r1 r2) = simp-Plus (simp r1) (simp r2)
| simp (Times r1 r2) = simp-Times (simp r1) (simp r2)
| simp r = (r, id)

```

fun

```

  slever :: 'a rexp ⇒ 'a list ⇒ ('a val) option

```

where

```

  slever r [] = (if nullable r then Some(mkeys r) else None)
| slever r (c#s) = (let (rs, fr) = simp (deriv c r) in
  (case (slever rs s) of
    None ⇒ None
  | Some(v) ⇒ Some(injval r c (fr v))))

```

lemma *slever-better-simp*:

```

  slever r (c#s) = (case (slever (fst (simp (deriv c r))) s) of
    None ⇒ None
  | Some(v) ⇒ Some(injval r c ((snd (simp (deriv c r))) v)))

```

⟨proof⟩

lemma *L-fst-simp*:

```

  shows lang r = lang (fst (simp r))

```

⟨proof⟩

lemma *Posix-simp*:

```

assumes  $s \in (\text{fst } (\text{simp } r)) \rightarrow v$ 
shows  $s \in r \rightarrow ((\text{snd } (\text{simp } r)) v)$ 
<proof>

```

```

lemma slexer-correctness:
shows  $\text{slexer } r \ s = \text{lexer } r \ s$ 
<proof>

```

end

```

theory Positions3
imports Lexer3 LexicalVals3
begin

```

26 An alternative definition for POSIX values by Okui & Suzuki

27 Positions in Values

```

fun
   $\text{at} :: 'a \ \text{val} \Rightarrow \text{nat list} \Rightarrow 'a \ \text{val}$ 
where
   $\text{at } v \ [] = v$ 
|  $\text{at } (\text{Left } v) \ (0\#\text{ps}) = \text{at } v \ \text{ps}$ 
|  $\text{at } (\text{Right } v) \ (\text{Suc } 0\#\text{ps}) = \text{at } v \ \text{ps}$ 
|  $\text{at } (\text{Seq } v1 \ v2) \ (0\#\text{ps}) = \text{at } v1 \ \text{ps}$ 
|  $\text{at } (\text{Seq } v1 \ v2) \ (\text{Suc } 0\#\text{ps}) = \text{at } v2 \ \text{ps}$ 
|  $\text{at } (\text{Stars } vs) \ (n\#\text{ps}) = \text{at } (\text{nth } vs \ n) \ \text{ps}$ 
|  $\text{at } (\text{Recv } l \ v) \ \text{ps} = \text{at } v \ \text{ps}$ 

```

```

fun  $\text{Pos} :: 'a \ \text{val} \Rightarrow (\text{nat list}) \ \text{set}$ 
where
   $\text{Pos } (\text{Void}) = \{[]\}$ 
|  $\text{Pos } (\text{Atm } c) = \{[]\}$ 
|  $\text{Pos } (\text{Left } v) = \{[]\} \cup \{0\#\text{ps} \mid \text{ps}. \text{ps} \in \text{Pos } v\}$ 
|  $\text{Pos } (\text{Right } v) = \{[]\} \cup \{1\#\text{ps} \mid \text{ps}. \text{ps} \in \text{Pos } v\}$ 
|  $\text{Pos } (\text{Seq } v1 \ v2) = \{[]\} \cup \{0\#\text{ps} \mid \text{ps}. \text{ps} \in \text{Pos } v1\} \cup \{1\#\text{ps} \mid \text{ps}. \text{ps} \in \text{Pos } v2\}$ 
|  $\text{Pos } (\text{Stars } []) = \{[]\}$ 
|  $\text{Pos } (\text{Stars } (v\#\text{vs})) = \{[]\} \cup \{0\#\text{ps} \mid \text{ps}. \text{ps} \in \text{Pos } v\} \cup \{\text{Suc } n\#\text{ps} \mid n \ \text{ps}. \ n\#\text{ps} \in \text{Pos } (\text{Stars } vs)\}$ 
|  $\text{Pos } (\text{Recv } l \ v) = \{[]\} \cup \{\text{ps} . \ \text{ps} \in \text{Pos } v\}$ 

```

```

lemma Pos-stars:
   $\text{Pos } (\text{Stars } vs) = \{[]\} \cup (\bigcup n < \text{length } vs. \ \{n\#\text{ps} \mid \text{ps}. \text{ps} \in \text{Pos } (vs \ ! \ n)\})$ 
<proof>

```

lemma *Pos-empty*:
shows $\square \in \text{Pos } v$
 $\langle \text{proof} \rangle$

abbreviation
 $\text{intlen } vs \equiv \text{int } (\text{length } vs)$

definition *pflat-len* :: $'a \text{ val} \Rightarrow \text{nat list} \Rightarrow \text{int}$
where
 $\text{pflat-len } v \ p \equiv (\text{if } p \in \text{Pos } v \text{ then intlen } (\text{flat } (\text{at } v \ p)) \text{ else } -1)$

lemma *pflat-len-simps*:
shows $\text{pflat-len } (\text{Seq } v1 \ v2) \ (0\#p) = \text{pflat-len } v1 \ p$
and $\text{pflat-len } (\text{Seq } v1 \ v2) \ (\text{Suc } 0\#p) = \text{pflat-len } v2 \ p$
and $\text{pflat-len } (\text{Left } v) \ (0\#p) = \text{pflat-len } v \ p$
and $\text{pflat-len } (\text{Left } v) \ (\text{Suc } 0\#p) = -1$
and $\text{pflat-len } (\text{Right } v) \ (\text{Suc } 0\#p) = \text{pflat-len } v \ p$
and $\text{pflat-len } (\text{Right } v) \ (0\#p) = -1$
and $\text{pflat-len } (\text{Stars } (v\#vs)) \ (\text{Suc } n\#p) = \text{pflat-len } (\text{Stars } vs) \ (n\#p)$
and $\text{pflat-len } (\text{Stars } (v\#vs)) \ (0\#p) = \text{pflat-len } v \ p$
and $\text{pflat-len } (\text{Recv } l \ v) \ p = \text{pflat-len } v \ p$
and $\text{pflat-len } v \ \square = \text{intlen } (\text{flat } v)$
 $\langle \text{proof} \rangle$

lemma *pflat-len-Stars-simps*:
assumes $n < \text{length } vs$
shows $\text{pflat-len } (\text{Stars } vs) \ (n\#p) = \text{pflat-len } (vs!n) \ p$
 $\langle \text{proof} \rangle$

lemma *pflat-len-outside*:
assumes $p \notin \text{Pos } v1$
shows $\text{pflat-len } v1 \ p = -1$
 $\langle \text{proof} \rangle$

28 Orderings

definition *prefix-list*:: $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ ($- \sqsubseteq_{\text{pre}} -$ [60,59] 60)
where
 $ps1 \sqsubseteq_{\text{pre}} ps2 \equiv \exists ps'. ps1 \ @ps' = ps2$

definition *srefix-list*:: $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ ($- \sqsubset_{\text{spre}} -$ [60,59] 60)
where
 $ps1 \sqsubset_{\text{spre}} ps2 \equiv ps1 \sqsubseteq_{\text{pre}} ps2 \wedge ps1 \neq ps2$

inductive *lex-list* :: $\text{nat list} \Rightarrow \text{nat list} \Rightarrow \text{bool}$ ($- \sqsubset_{\text{lex}} -$ [60,59] 60)

where

$\square \sqsubset_{lex} (p\#ps)$
 $| ps1 \sqsubset_{lex} ps2 \implies (p\#ps1) \sqsubset_{lex} (p\#ps2)$
 $| p1 < p2 \implies (p1\#ps1) \sqsubset_{lex} (p2\#ps2)$

lemma *lex-irrf1*:

fixes $ps1\ ps2 :: nat\ list$
assumes $ps1 \sqsubset_{lex} ps2$
shows $ps1 \neq ps2$
<proof>

lemma *lex-simps* [*simp*]:

fixes $xs\ ys :: nat\ list$
shows $\square \sqsubset_{lex} ys \longleftrightarrow ys \neq \square$
and $xs \sqsubset_{lex} \square \longleftrightarrow False$
and $(x \# xs) \sqsubset_{lex} (y \# ys) \longleftrightarrow (x < y \vee (x = y \wedge xs \sqsubset_{lex} ys))$
<proof>

lemma *lex-trans*:

fixes $ps1\ ps2\ ps3 :: nat\ list$
assumes $ps1 \sqsubset_{lex} ps2$ $ps2 \sqsubset_{lex} ps3$
shows $ps1 \sqsubset_{lex} ps3$
<proof>

lemma *lex-trichotomous*:

fixes $p\ q :: nat\ list$
shows $p = q \vee p \sqsubset_{lex} q \vee q \sqsubset_{lex} p$
<proof>

29 POSIX Ordering of Values According to Okui & Suzuki

definition *PosOrd*:: $'a\ val \Rightarrow nat\ list \Rightarrow 'a\ val \Rightarrow bool$ ($- \sqsubset_{val} - - [60, 60, 59] 60$)

where

$v1 \sqsubset_{val} p\ v2 \equiv pflat-len\ v1\ p > pflat-len\ v2\ p \wedge$
 $(\forall q \in Pos\ v1 \cup Pos\ v2. q \sqsubset_{lex} p \longrightarrow pflat-len\ v1\ q = pflat-len\ v2\ q)$

lemma *PosOrd-def2*:

shows $v1 \sqsubset_{val} p\ v2 \longleftrightarrow$
 $pflat-len\ v1\ p > pflat-len\ v2\ p \wedge$
 $(\forall q \in Pos\ v1. q \sqsubset_{lex} p \longrightarrow pflat-len\ v1\ q = pflat-len\ v2\ q) \wedge$
 $(\forall q \in Pos\ v2. q \sqsubset_{lex} p \longrightarrow pflat-len\ v1\ q = pflat-len\ v2\ q)$
<proof>

definition *PosOrd-ex*:: $'a\ val \Rightarrow 'a\ val \Rightarrow bool$ ($- : \sqsubset_{val} - [60, 59] 60$)

where

$v1 : \sqsubseteq_{val} v2 \equiv \exists p. v1 \sqsubset_{val} p v2$

definition *PosOrd-ex-eq*:: 'a val \Rightarrow 'a val \Rightarrow bool (- : \sqsubseteq_{val} - [60, 59] 60)

where

$v1 : \sqsubseteq_{val} v2 \equiv v1 : \sqsubset_{val} v2 \vee v1 = v2$

lemma *PosOrd-trans*:

assumes $v1 : \sqsubset_{val} v2$ $v2 : \sqsubset_{val} v3$

shows $v1 : \sqsubset_{val} v3$

<proof>

lemma *PosOrd-irrefl*:

assumes $v : \sqsubset_{val} v$

shows *False*

<proof>

lemma *PosOrd-assym*:

assumes $v1 : \sqsubset_{val} v2$

shows $\neg(v2 : \sqsubset_{val} v1)$

<proof>

lemma *PosOrd-ordering*:

shows *ordering* ($\lambda v1 v2. v1 : \sqsubseteq_{val} v2$) ($\lambda v1 v2. v1 : \sqsubset_{val} v2$)

<proof>

lemma *PosOrd-order*:

shows *class.order* ($\lambda v1 v2. v1 : \sqsubseteq_{val} v2$) ($\lambda v1 v2. v1 : \sqsubset_{val} v2$)

<proof>

lemma *PosOrd-ex-eq2*:

shows $v1 : \sqsubset_{val} v2 \longleftrightarrow (v1 : \sqsubseteq_{val} v2 \wedge v1 \neq v2)$

<proof>

lemma *PosOrdeq-trans*:

assumes $v1 : \sqsubseteq_{val} v2$ $v2 : \sqsubseteq_{val} v3$

shows $v1 : \sqsubseteq_{val} v3$

<proof>

lemma *PosOrdeq-antisym*:

assumes $v1 : \sqsubseteq_{val} v2$ $v2 : \sqsubseteq_{val} v1$

shows $v1 = v2$

<proof>

lemma *PosOrdeq-refl*:

shows $v : \sqsubseteq_{val} v$
<proof>

lemma *PosOrd-shorterE*:
assumes $v1 : \sqsubseteq_{val} v2$
shows $length (flat v2) \leq length (flat v1)$
<proof>

lemma *PosOrd-shorterI*:
assumes $length (flat v2) < length (flat v1)$
shows $v1 : \sqsubseteq_{val} v2$
<proof>

lemma *PosOrd-spreI*:
assumes $flat v' \sqsubseteq_{spre} flat v$
shows $v : \sqsubseteq_{val} v'$
<proof>

lemma *pflat-len-inside*:
assumes $pflat-len v2 p < pflat-len v1 p$
shows $p \in Pos v1$
<proof>

lemma *PosOrd-Rec-eq*:
assumes $flat v1 = flat v2$
shows $Recv l v1 : \sqsubseteq_{val} Recv l v2 \longleftrightarrow v1 : \sqsubseteq_{val} v2$
<proof>

lemma *PosOrd-Left-Right*:
assumes $flat v1 = flat v2$
shows $Left v1 : \sqsubseteq_{val} Right v2$
<proof>

lemma *PosOrd-LeftE*:
assumes $Left v1 : \sqsubseteq_{val} Left v2 \ flat v1 = flat v2$
shows $v1 : \sqsubseteq_{val} v2$
<proof>

lemma *PosOrd-LeftI*:
assumes $v1 : \sqsubseteq_{val} v2 \ flat v1 = flat v2$
shows $Left v1 : \sqsubseteq_{val} Left v2$
<proof>

lemma *PosOrd-Left-eq*:
assumes $flat v1 = flat v2$
shows $Left v1 : \sqsubseteq_{val} Left v2 \longleftrightarrow v1 : \sqsubseteq_{val} v2$
<proof>

lemma *PosOrd-RightE*:

assumes *Right v1* : \square *val Right v2 flat v1 = flat v2*

shows *v1* : \square *val v2*

<proof>

lemma *PosOrd-RightI*:

assumes *v1* : \square *val v2 flat v1 = flat v2*

shows *Right v1* : \square *val Right v2*

<proof>

lemma *PosOrd-Right-eq*:

assumes *flat v1 = flat v2*

shows *Right v1* : \square *val Right v2* \longleftrightarrow *v1* : \square *val v2*

<proof>

lemma *PosOrd-SeqI1*:

assumes *v1* : \square *val w1 flat (Seq v1 v2) = flat (Seq w1 w2)*

shows *Seq v1 v2* : \square *val Seq w1 w2*

<proof>

lemma *PosOrd-SeqI2*:

assumes *v2* : \square *val w2 flat v2 = flat w2*

shows *Seq v v2* : \square *val Seq v w2*

<proof>

lemma *PosOrd-Seq-eq*:

assumes *flat v2 = flat w2*

shows (*Seq v v2*) : \square *val (Seq v w2)* \longleftrightarrow *v2* : \square *val w2*

<proof>

lemma *PosOrd-StarsI*:

assumes *v1* : \square *val v2 flats (v1#vs1) = flats (v2#vs2)*

shows *Stars (v1#vs1)* : \square *val Stars (v2#vs2)*

<proof>

lemma *PosOrd-StarsI2*:

assumes *Stars vs1* : \square *val Stars vs2 flats vs1 = flats vs2*

shows *Stars (v#vs1)* : \square *val Stars (v#vs2)*

<proof>

lemma *PosOrd-Stars-appendI*:

assumes *Stars vs1* : \square *val Stars vs2 flat (Stars vs1) = flat (Stars vs2)*

shows *Stars (vs @ vs1)* : \square *val Stars (vs @ vs2)*

<proof>

lemma *PosOrd-StarsE2*:

assumes $\text{Stars } (v \# vs1) : \sqsubseteq \text{val Stars } (v \# vs2)$

shows $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-Stars-appendE*:

assumes $\text{Stars } (vs @ vs1) : \sqsubseteq \text{val Stars } (vs @ vs2)$

shows $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-Stars-append-eq*:

assumes $\text{flats } vs1 = \text{flats } vs2$

shows $\text{Stars } (vs @ vs1) : \sqsubseteq \text{val Stars } (vs @ vs2) \longleftrightarrow \text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-Stars-equalsI*:

assumes $\text{flats } vs1 = \text{flats } vs2 \text{ length } vs1 = \text{length } vs2$

and $\text{list-all2 } (\lambda v1 v2. v1 : \sqsubseteq \text{val } v2) \text{ } vs1 \text{ } vs2$

shows $\text{Stars } vs1 : \sqsubseteq \text{val Stars } vs2$

<proof>

lemma *PosOrd-almost-trichotomous*:

shows $v1 : \sqsubseteq \text{val } v2 \vee v2 : \sqsubseteq \text{val } v1 \vee (\text{length } (\text{flat } v1) = \text{length } (\text{flat } v2))$

<proof>

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lemma *Posix-PosOrd*:

assumes $s \in r \rightarrow v1 \ v2 \in LV \ r \ s$

shows $v1 : \sqsubseteq \text{val } v2$

<proof>

lemma *Posix-PosOrd-reverse*:

assumes $s \in r \rightarrow v1$

shows $\neg(\exists v2 \in LV \ r \ s. v2 : \sqsubseteq \text{val } v1)$

<proof>

lemma *PosOrd-Posix*:

assumes $v1 \in LV \ r \ s \ \forall v2 \in LV \ r \ s. \neg v2 : \sqsubseteq \text{val } v1$

shows $s \in r \rightarrow v1$

<proof>

lemma *Least-existence*:

assumes $LV \ r \ s \neq \{\}$

shows $\exists v_{\min} \in LV \ r \ s. \forall v \in LV \ r \ s. v_{\min} : \sqsubseteq \text{val } v$

<proof>

lemma *Least-existence1*:

assumes $LV\ r\ s \neq \{\}$

shows $\exists! v_{min} \in LV\ r\ s. \forall v \in LV\ r\ s. v_{min} : \sqsubseteq val\ v$

<proof>

lemma *Least-existence2*:

assumes $LV\ r\ s \neq \{\}$

shows $\exists! v_{min} \in LV\ r\ s. lexic\ r\ s = Some\ v_{min} \wedge (\forall v \in LV\ r\ s. v_{min} : \sqsubseteq val\ v)$

<proof>

lemma *Least-existence1-pre*:

assumes $LV\ r\ s \neq \{\}$

shows $\exists! v_{min} \in LV\ r\ s. \forall v \in (LV\ r\ s \cup \{v'. flat\ v' \sqsubset spre\ s\}). v_{min} : \sqsubseteq val\ v$

<proof>

lemma *PosOrd-partial*:

shows *partial-order-on* $UNIV\ \{(v1, v2). v1 : \sqsubseteq val\ v2\}$

<proof>

lemma *PosOrd-wf*:

shows *wf* $\{(v1, v2). v1 : \sqsubseteq val\ v2 \wedge v1 \in LV\ r\ s \wedge v2 \in LV\ r\ s\}$

<proof>

unused-thms

end

References

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