

Executable multivariate polynomials

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Abstract

We define multivariate polynomials over arbitrary (ordered) semirings in combination with (executable) operations like addition, multiplication, and substitution. We also define (weak) monotonicity of polynomials and comparison of polynomials where we provide standard estimations like absolute positiveness or the more recent approach of [3]. Moreover, it is proven that strongly normalizing (monotone) orders can be lifted to strongly normalizing (monotone) orders over polynomials.

Our formalization was performed as part of the `IsaFoR/CeTA`-system [5]¹ which contains several termination techniques. The provided theories have been essential to formalize polynomial-interpretations [1, 2].

This formalization also contains an abstract representation as coefficient functions with finite support and a type of power-products. If this type is ordered by a linear (term) ordering, various additional notions, such as leading power-product, leading coefficient etc., are introduced as well. Furthermore, a lot of generic properties of, and functions on, multivariate polynomials are formalized, including the substitution and evaluation homomorphisms, embeddings of polynomial rings into larger rings (i.e. with one additional indeterminate), homogenization and dehomogenization of polynomials, and the canonical isomorphism between $R[X, Y]$ and $R[X][Y]$.

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¹<http://cl-informatik.uibk.ac.at/software/ceta>

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1 Utilities

theory *Utils*

imports *Main Well-Quasi-Orders.Almost-Full-Relations*

begin

lemma *subset-imageE-inj*:

assumes $B \subseteq f' A$

obtains C **where** $C \subseteq A$ **and** $B = f' C$ **and** *inj-on* $f C$

<proof>

lemma *wfP-chain*:

assumes $\neg(\exists f. \forall i. r (f (Suc i)) (f i))$

shows *wfP* r

<proof>

lemma *transp-sequence*:

assumes *transp* r **and** $\bigwedge i. r (seq (Suc i)) (seq i)$ **and** $i < j$

shows $r (seq j) (seq i)$

<proof>

lemma *almost-full-on-finite-subsetE*:

assumes *reflp* P **and** *almost-full-on* $P S$

obtains T **where** *finite* T **and** $T \subseteq S$ **and** $\bigwedge s. s \in S \implies (\exists t \in T. P t s)$

<proof>

1.1 Lists

lemma *map-upt*: $\text{map } (\lambda i. f (xs ! i)) [0..<\text{length } xs] = \text{map } f \text{ } xs$
<proof>

lemma *map-upt-zip*:

assumes $\text{length } xs = \text{length } ys$
shows $\text{map } (\lambda i. f (xs ! i) (ys ! i)) [0..<\text{length } ys] = \text{map } (\lambda(x, y). f x y) (\text{zip } xs \text{ } ys)$ (**is** ?l = ?r)
<proof>

lemma *distinct-sorted-wrt-irrefl*:

assumes *irreflp rel* **and** *transp rel* **and** *sorted-wrt rel xs*
shows *distinct xs*
<proof>

lemma *distinct-sorted-wrt-imp-sorted-wrt-strict*:

assumes *distinct xs* **and** *sorted-wrt rel xs*
shows *sorted-wrt* $(\lambda x y. \text{rel } x y \wedge \neg x = y)$ *xs*
<proof>

lemma *sorted-wrt-distinct-set-unique*:

assumes *antisymp rel*
assumes *sorted-wrt rel xs* *distinct xs* *sorted-wrt rel ys* *distinct ys* *set xs = set ys*
shows $xs = ys$
<proof>

lemma *sorted-wrt-refl-nth-mono*:

assumes *reflp P* **and** *sorted-wrt P xs* **and** $i \leq j$ **and** $j < \text{length } xs$
shows $P (xs ! i) (xs ! j)$
<proof>

fun *merge-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**

merge-wrt - $xs [] = xs$
merge-wrt rel [] $ys = ys$
merge-wrt rel $(x \# xs) (y \# ys) =$
 (*if* $x = y$ *then*
 $y \# (\text{merge-wrt rel } xs \text{ } ys)$
 else if *rel* $x \text{ } y$ *then*
 $x \# (\text{merge-wrt rel } xs (y \# ys))$
 else
 $y \# (\text{merge-wrt rel } (x \# xs) \text{ } ys)$
)

lemma *set-merge-wrt*: $\text{set } (\text{merge-wrt rel } xs \text{ } ys) = \text{set } xs \cup \text{set } ys$

<proof>

lemma *sorted-merge-wrt*:

assumes *transp rel* **and** $\bigwedge x y. x \neq y \implies \text{rel } x \text{ } y \vee \text{rel } y \text{ } x$
and *sorted-wrt rel xs* **and** *sorted-wrt rel ys*

shows *sorted-wrt rel (merge-wrt rel xs ys)*
<proof>

lemma *set-fold:*

assumes $\bigwedge x ys. \text{set } (f (g x) ys) = \text{set } (g x) \cup \text{set } ys$
shows $\text{set } (\text{fold } (\lambda x. f (g x)) xs ys) = (\bigcup_{x \in \text{set } xs} \text{set } (g x)) \cup \text{set } ys$
<proof>

1.2 Sums and Products

lemma *additive-implies-homogenous:*

assumes $\bigwedge x y. f (x + y) = f x + ((f (y)::'a::\text{monoid-add}))::'b::\text{cancel-comm-monoid-add}$
shows $f 0 = 0$
<proof>

lemma *fun-sum-commute:*

assumes $f 0 = 0$ **and** $\bigwedge x y. f (x + y) = f x + f y$
shows $f (\text{sum } g A) = (\sum_{a \in A} f (g a))$
<proof>

lemma *fun-sum-commute-canc:*

assumes $\bigwedge x y. f (x + y) = f x + ((f y)::'a::\text{cancel-comm-monoid-add})$
shows $f (\text{sum } g A) = (\sum_{a \in A} f (g a))$
<proof>

lemma *fun-sum-list-commute:*

assumes $f 0 = 0$ **and** $\bigwedge x y. f (x + y) = f x + f y$
shows $f (\text{sum-list } xs) = \text{sum-list } (\text{map } f xs)$
<proof>

lemma *fun-sum-list-commute-canc:*

assumes $\bigwedge x y. f (x + y) = f x + ((f y)::'a::\text{cancel-comm-monoid-add})$
shows $f (\text{sum-list } xs) = \text{sum-list } (\text{map } f xs)$
<proof>

lemma *sum-set-upt-eq-sum-list:* $(\sum_{i = m..<n} f i) = (\sum_{i \leftarrow [m..<n]} f i)$
<proof>

lemma *sum-list-upt:* $(\sum_{i \leftarrow [0..<(\text{length } xs)]} f (xs ! i)) = (\sum_{x \leftarrow xs} f x)$
<proof>

lemma *sum-list-upt-zip:*

assumes $\text{length } xs = \text{length } ys$
shows $(\sum_{i \leftarrow [0..<(\text{length } ys)]} f (xs ! i) (ys ! i)) = (\sum_{(x, y) \leftarrow (\text{zip } xs ys)} f x y)$
<proof>

lemma *sum-list-zeroI:*

assumes $\text{set } xs \subseteq \{0\}$

shows *sum-list xs = 0*
<proof>

lemma *fun-prod-commute*:
assumes $f\ 1 = 1$ **and** $\bigwedge x\ y. f\ (x * y) = f\ x * f\ y$
shows $f\ (\text{prod } g\ A) = (\prod_{a \in A}. f\ (g\ a))$
<proof>

end

2 An abstract type for multivariate polynomials

theory *MPoly-Type*
imports *HOL-Library.Poly-Mapping*
begin

2.1 Abstract type definition

typedef (**overloaded**) *'a mpoly =*
UNIV :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a::zero) set
morphisms *mapping-of MPoly*
<proof>

setup-lifting *type-definition-mpoly*

thm *mapping-of-inverse* **thm** *MPoly-inverse*
thm *mapping-of-inject* **thm** *MPoly-inject*
thm *mapping-of-induct* **thm** *MPoly-induct*
thm *mapping-of-cases* **thm** *MPoly-cases*

2.2 Additive structure

instantiation *mpoly :: (zero) zero*
begin

lift-definition *zero-mpoly :: 'a mpoly*
is 0 :: (nat \Rightarrow_0 nat) \Rightarrow_0 'a <proof>

instance *<proof>*

end

instantiation *mpoly :: (monoid-add) monoid-add*
begin

lift-definition *plus-mpoly :: 'a mpoly \Rightarrow 'a mpoly \Rightarrow 'a mpoly*
is Groups.plus :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow - <proof>

instance
 $\langle proof \rangle$

end

instance *mpoly* :: (*comm-monoid-add*) *comm-monoid-add*
 $\langle proof \rangle$

instantiation *mpoly* :: (*cancel-comm-monoid-add*) *cancel-comm-monoid-add*
begin

lift-definition *minus-mpoly* :: 'a *mpoly* \Rightarrow 'a *mpoly* \Rightarrow 'a *mpoly*
 is *Groups.minus* :: ((*nat* \Rightarrow_0 *nat*) \Rightarrow_0 'a) \Rightarrow - $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

instantiation *mpoly* :: (*ab-group-add*) *ab-group-add*
begin

lift-definition *uminus-mpoly* :: 'a *mpoly* \Rightarrow 'a *mpoly*
 is *Groups.uminus* :: ((*nat* \Rightarrow_0 *nat*) \Rightarrow_0 'a) \Rightarrow - $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

2.3 Multiplication by a coefficient

lift-definition *smult* :: 'a::{*times,zero*} \Rightarrow 'a *mpoly* \Rightarrow 'a *mpoly*
 is $\lambda a. Poly\text{-Mapping.map } (Groups.times\ a) :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow - \langle proof \rangle$

2.4 Multiplicative structure

instantiation *mpoly* :: (*zero-neq-one*) *zero-neq-one*
begin

lift-definition *one-mpoly* :: 'a *mpoly*
 is *1* :: ((*nat* \Rightarrow_0 *nat*) \Rightarrow_0 'a) $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

instantiation *mpoly* :: (*semiring-0*) *semiring-0*

begin

lift-definition *times-mpoly* :: 'a mpoly \Rightarrow 'a mpoly \Rightarrow 'a mpoly
is *Groups.times* :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow - <proof>

instance
<proof>

end

instance *mpoly* :: (comm-semiring-0) comm-semiring-0
<proof>

instance *mpoly* :: (semiring-0-cancel) semiring-0-cancel
<proof>

instance *mpoly* :: (comm-semiring-0-cancel) comm-semiring-0-cancel
<proof>

instance *mpoly* :: (semiring-1) semiring-1
<proof>

instance *mpoly* :: (comm-semiring-1) comm-semiring-1
<proof>

instance *mpoly* :: (semiring-1-cancel) semiring-1-cancel
<proof>

instance *mpoly* :: (ring) ring
<proof>

instance *mpoly* :: (comm-ring) comm-ring
<proof>

instance *mpoly* :: (ring-1) ring-1
<proof>

instance *mpoly* :: (comm-ring-1) comm-ring-1
<proof>

2.5 Monomials

Terminology is not unique here, so we use the notions as follows: A "monomial" and a "coefficient" together give a "term". These notions are significant in connection with "leading", "leading term", "leading coefficient" and "leading monomial", which all rely on a monomial order.

lift-definition *monom* :: (nat \Rightarrow_0 nat) \Rightarrow 'a::zero \Rightarrow 'a mpoly

is *Poly-Mapping.single* :: (*nat* \Rightarrow_0 *nat*) \Rightarrow - \langle *proof* \rangle

lemma *mapping-of-monom* [*simp*]:
mapping-of (*monom* *m* *a*) = *Poly-Mapping.single* *m* *a*
 \langle *proof* \rangle

lemma *monom-zero* [*simp*]:
monom 0 0 = 0
 \langle *proof* \rangle

lemma *monom-one* [*simp*]:
monom 0 1 = 1
 \langle *proof* \rangle

lemma *monom-add*:
monom *m* (*a* + *b*) = *monom* *m* *a* + *monom* *m* *b*
 \langle *proof* \rangle

lemma *monom-uminus*:
monom *m* (- *a*) = - *monom* *m* *a*
 \langle *proof* \rangle

lemma *monom-diff*:
monom *m* (*a* - *b*) = *monom* *m* *a* - *monom* *m* *b*
 \langle *proof* \rangle

lemma *monom-numeral* [*simp*]:
monom 0 (*numeral* *n*) = *numeral* *n*
 \langle *proof* \rangle

lemma *monom-of-nat* [*simp*]:
monom 0 (*of-nat* *n*) = *of-nat* *n*
 \langle *proof* \rangle

lemma *of-nat-monom*:
of-nat = *monom* 0 \circ *of-nat*
 \langle *proof* \rangle

lemma *inj-monom* [*iff*]:
inj (*monom* *m*)
 \langle *proof* \rangle

lemma *mult-monom*: *monom* *x* *a* * *monom* *y* *b* = *monom* (*x* + *y*) (*a* * *b*)
 \langle *proof* \rangle

instance *mpoly* :: (*semiring-char-0*) *semiring-char-0*
 \langle *proof* \rangle

instance *mpoly* :: (*ring-char-0*) *ring-char-0*

<proof>

lemma *monom-of-int* [*simp*]:
monom 0 (of-int k) = of-int k
<proof>

2.6 Constants and Indeterminates

Embedding of indeterminates and constants in type-class polynomials, can be used as constructors.

definition *Var₀* :: 'a ⇒ ('a ⇒₀ nat) ⇒₀ 'b::{one,zero} **where**
Var₀ n ≡ Poly-Mapping.single (Poly-Mapping.single n 1) 1

definition *Const₀* :: 'b ⇒ ('a ⇒₀ nat) ⇒₀ 'b::zero **where** *Const₀ c ≡ Poly-Mapping.single 0 c*

lemma *Const₀-one*: *Const₀ 1 = 1*
<proof>

lemma *Const₀-numeral*: *Const₀ (numeral x) = numeral x*
<proof>

lemma *Const₀-minus*: *Const₀ (- x) = - Const₀ x*
<proof>

lemma *Const₀-zero*: *Const₀ 0 = 0*
<proof>

lemma *Var₀-power*: *Var₀ v ^ n = Poly-Mapping.single (Poly-Mapping.single v n) 1*
<proof>

lift-definition *Var::nat* ⇒ 'b::{one,zero} *mpoly* **is** *Var₀* *<proof>*

lift-definition *Const::'b::zero* ⇒ 'b *mpoly* **is** *Const₀* *<proof>*

2.7 Integral domains

instance *mpoly* :: (*ring-no-zero-divisors*) *ring-no-zero-divisors*
<proof>

instance *mpoly* :: (*ring-1-no-zero-divisors*) *ring-1-no-zero-divisors*
<proof>

instance *mpoly* :: (*idom*) *idom*
<proof>

2.8 Monom coefficient lookup

definition *coeff* :: 'a::zero *mpoly* ⇒ (*nat* ⇒₀ *nat*) ⇒ 'a
where

$\text{coeff } p = \text{Poly-Mapping.lookup } (\text{mapping-of } p)$

2.9 Insertion morphism

definition $\text{insertion-fun-natural} :: (\text{nat} \Rightarrow 'a) \Rightarrow ((\text{nat} \Rightarrow \text{nat}) \Rightarrow 'a) \Rightarrow 'a :: \text{comm-semiring-1}$
where

$\text{insertion-fun-natural } f \ p = (\sum m. p \ m * (\prod v. f \ v \wedge m \ v))$

definition $\text{insertion-fun} :: (\text{nat} \Rightarrow 'a) \Rightarrow ((\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow 'a) \Rightarrow 'a :: \text{comm-semiring-1}$
where

$\text{insertion-fun } f \ p = (\sum m. p \ m * (\prod v. f \ v \wedge \text{Poly-Mapping.lookup } m \ v))$

N.b. have been unable to relate this to $\text{insertion-fun-natural}$ using lifting!

lift-definition $\text{insertion-aux} :: (\text{nat} \Rightarrow 'a) \Rightarrow ((\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow 'a :: \text{comm-semiring-1}$
is insertion-fun $\langle \text{proof} \rangle$

lift-definition $\text{insertion} :: (\text{nat} \Rightarrow 'a) \Rightarrow 'a \ \text{mpoly} \Rightarrow 'a :: \text{comm-semiring-1}$
is insertion-aux $\langle \text{proof} \rangle$

lemma aux :

$\text{Poly-Mapping.lookup } f = (\lambda-. 0) \longleftrightarrow f = 0$
 $\langle \text{proof} \rangle$

lemma insertion-trivial $[\text{simp}]$:

$\text{insertion } (\lambda-. 0) \ p = \text{coeff } p \ 0$
 $\langle \text{proof} \rangle$

lemma insertion-zero $[\text{simp}]$:

$\text{insertion } f \ 0 = 0$
 $\langle \text{proof} \rangle$

lemma insertion-fun-add :

fixes $f \ p \ q$

shows $\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } (p + q)) =$

$\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } p) +$
 $\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } q)$

$\langle \text{proof} \rangle$

lemma insertion-add :

$\text{insertion } f \ (p + q) = \text{insertion } f \ p + \text{insertion } f \ q$
 $\langle \text{proof} \rangle$

lemma insertion-one $[\text{simp}]$:

$\text{insertion } f \ 1 = 1$
 $\langle \text{proof} \rangle$

lemma $\text{insertion-fun-mult}$:

fixes $f \ p \ q$

shows $\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } (p * q)) =$

$\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } p) *$

insertion-fun f (*Poly-Mapping.lookup* q)
<proof>

lemma *insertion-mult*:
insertion f ($p * q$) = *insertion* f p * *insertion* f q
<proof>

2.10 Degree

lift-definition *degree* :: 'a::zero mpoly \Rightarrow nat \Rightarrow nat
is $\lambda p v. \text{Max} (\text{insert } 0 ((\lambda m. \text{Poly-Mapping.lookup } m v) \text{ ' Poly-Mapping.keys } p))$
<proof>

lift-definition *total-degree* :: 'a::zero mpoly \Rightarrow nat
is $\lambda p. \text{Max} (\text{insert } 0 ((\lambda m. \text{sum} (\text{Poly-Mapping.lookup } m) (\text{Poly-Mapping.keys } m))$
' *Poly-Mapping.keys* p)) <proof>

lemma *degree-zero* [*simp*]:
degree 0 v = 0
<proof>

lemma *total-degree-zero* [*simp*]:
total-degree 0 = 0
<proof>

lemma *degree-one* [*simp*]:
degree 1 v = 0
<proof>

lemma *total-degree-one* [*simp*]:
total-degree 1 = 0
<proof>

2.11 Pseudo-division of polynomials

lemma *smult-conv-mult*: *smult* s p = *monom* 0 s * p
<proof>

lemma *smult-monom* [*simp*]:
fixes $c :: - :: \text{mult-zero}$
shows *smult* c (*monom* x c') = *monom* x ($c * c'$)
<proof>

lemma *smult-0* [*simp*]:
fixes $p :: - :: \text{mult-zero mpoly}$
shows *smult* 0 p = 0
<proof>

lemma *mult-smult-left*: $smult\ s\ p * q = smult\ s\ (p * q)$
 ⟨proof⟩

lift-definition *sdiv* :: 'a::euclidean-ring ⇒ 'a mpoly ⇒ 'a mpoly
 is $\lambda a. Poly\text{-}Mapping.map\ (\lambda b. b\ div\ a) :: ((nat \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \Rightarrow -$
 ⟨proof⟩

‘Polynomial division’ is only possible on univariate polynomials $K[x]$ over a field K , all other kinds of polynomials only allow pseudo-division [1]p.40/41”:

$$\forall x\ y :: 'a\ mpoly. y \neq 0 \Rightarrow \exists a\ q\ r. smult\ a\ x = q * y + r$$

The introduction of pseudo-division below generalises `~/src/HOL/Computational_Algebra/Polynomial.thy`. [1] Winkler, Polynomial Algorithms, 1996. The generalisation raises issues addressed by Wenda Li and commented below. Florian replied to the issues conjecturing, that the abstract mpoly needs not be aware of the issues, in case these are only concerned with executability.

definition *pseudo-divmod-rel*
 :: 'a::euclidean-ring => 'a mpoly => 'a mpoly => 'a mpoly => 'a mpoly => bool
where
 $pseudo\text{-}divmod\text{-}rel\ a\ x\ y\ q\ r \longleftrightarrow$
 $smult\ a\ x = q * y + r \wedge (if\ y = 0\ then\ q = 0\ else\ r = 0 \vee degree\ r < degree\ y)$

definition *pdiv* :: 'a::euclidean-ring mpoly ⇒ 'a mpoly ⇒ ('a × 'a mpoly) (**infixl** *pdiv* 70)
where
 $x\ pdiv\ y = (THE\ (a, q). \exists r. pseudo\text{-}divmod\text{-}rel\ a\ x\ y\ q\ r)$

definition *pmod* :: 'a::euclidean-ring mpoly ⇒ 'a mpoly ⇒ 'a mpoly (**infixl** *pmod* 70)
where
 $x\ pmod\ y = (THE\ r. \exists a\ q. pseudo\text{-}divmod\text{-}rel\ a\ x\ y\ q\ r)$

definition *pdivmod* :: 'a::euclidean-ring mpoly ⇒ 'a mpoly ⇒ ('a × 'a mpoly) × 'a mpoly
where
 $pdivmod\ p\ q = (p\ pdiv\ q, p\ pmod\ q)$

lemma *pdiv-code*:
 $p\ pdiv\ q = fst\ (pdivmod\ p\ q)$
 ⟨proof⟩

lemma *pmod-code*:
 $p\ pmod\ q = snd\ (pdivmod\ p\ q)$
 ⟨proof⟩

definition $div :: 'a::\{euclidean-ring,field\} mpoly \Rightarrow 'a mpoly \Rightarrow 'a mpoly$ (**infixl** $div\ 70$)

where

$x\ div\ y = (THE\ q'.\ \exists\ a\ q\ r.\ (pseudo-divmod-rel\ a\ x\ y\ q\ r) \wedge (q' = smult\ (inverse\ a)\ q))$

definition $mod :: 'a::\{euclidean-ring,field\} mpoly \Rightarrow 'a mpoly \Rightarrow 'a mpoly$ (**infixl** $mod\ 70$)

where

$x\ mod\ y = (THE\ r'.\ \exists\ a\ q\ r.\ (pseudo-divmod-rel\ a\ x\ y\ q\ r) \wedge (r' = smult\ (inverse\ a)\ r))$

definition $divmod :: 'a::\{euclidean-ring,field\} mpoly \Rightarrow 'a mpoly \Rightarrow 'a mpoly \times 'a mpoly$

where

$divmod\ p\ q = (p\ div\ q,\ p\ mod\ q)$

lemma $div-poly-code$:

$p\ div\ q = fst\ (divmod\ p\ q)$

$\langle proof \rangle$

lemma $mod-poly-code$:

$p\ mod\ q = snd\ (divmod\ p\ q)$

$\langle proof \rangle$

2.12 Primitive poly, etc

lift-definition $coeffs :: 'a :: zero\ mpoly \Rightarrow 'a\ set$

is $Poly-Mapping.range :: ((nat \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \Rightarrow -\ \langle proof \rangle$

lemma $finite-coeffs\ [simp]:\ finite\ (coeffs\ p)$

$\langle proof \rangle$

[1]p.82 A "primitive" polynomial has coefficients with GCD equal to 1. A polynomial is factored into "content" and "primitive part" for many different purposes.

definition $primitive :: 'a::\{euclidean-ring,semiring-Gcd\} mpoly \Rightarrow bool$

where

$primitive\ p \longleftrightarrow Gcd\ (coeffs\ p) = 1$

definition $content-primitive :: 'a::\{euclidean-ring,GCD.Gcd\} mpoly \Rightarrow 'a \times 'a\ mpoly$

where

$content-primitive\ p = (\$
 $\quad let\ d = Gcd\ (coeffs\ p)$
 $\quad in\ (d,\ sdiv\ d\ p))$

value *let* $p = M [1,2,3] (4::int) + M [2,0,4] 6 + M [2,0,5] 8$
in content-primitive p

end

theory *More-MPoly-Type*
imports *MPoly-Type*
begin

abbreviation *lookup* == *Poly-Mapping.lookup*
abbreviation *keys* == *Poly-Mapping.keys*

3 MPpoly Mapping extenion

lemma *lookup-Abs-poly-mapping-when-finite*:
assumes *finite S*
shows *lookup (Abs-poly-mapping ($\lambda x. f x$ when $x \in S$)) = ($\lambda x. f x$ when $x \in S$)*
 \langle *proof* \rangle

definition *remove-key::'a \Rightarrow ('a \Rightarrow_0 'b::monoid-add) \Rightarrow ('a \Rightarrow_0 'b)* **where**
remove-key k0 f = Abs-poly-mapping ($\lambda k. lookup f k$ when $k \neq k0$)

lemma *remove-key-lookup*:
lookup (remove-key k0 f) k = (lookup f k when $k \neq k0$)
 \langle *proof* \rangle

lemma *remove-key-keys: keys f - {k} = keys (remove-key k f) (is ?A = ?B)*
 \langle *proof* \rangle

lemma *remove-key-sum: remove-key k f + Poly-Mapping.single k (lookup f k) = f*
 \langle *proof* \rangle

lemma *remove-key-single[simp]: remove-key v (Poly-Mapping.single v n) = 0*
 \langle *proof* \rangle

lemma *remove-key-add: remove-key v m + remove-key v m' = remove-key v (m + m')*
 \langle *proof* \rangle

lemma *poly-mapping-induct [case-names single sum]*:
fixes *P::('a, 'b::monoid-add) poly-mapping \Rightarrow bool*
assumes *single: $\bigwedge k v. P (Poly-Mapping.single k v)$*
and *sum:($\bigwedge f g k v. P f \Longrightarrow P g \Longrightarrow g = (Poly-Mapping.single k v) \Longrightarrow k \notin keys f \Longrightarrow P (f+g)$)*
shows *P f* \langle *proof* \rangle

lemma *map-lookup*:
assumes $g \ 0 = 0$
shows $\text{lookup } (\text{Poly-Mapping.map } g \ f) \ x = g \ ((\text{lookup } f) \ x)$
 $\langle \text{proof} \rangle$

lemma *keys-add*:
assumes $\text{keys } f \cap \text{keys } g = \{\}$
shows $\text{keys } f \cup \text{keys } g = \text{keys } (f+g)$
 $\langle \text{proof} \rangle$

lemma *fun-when*:
 $f \ 0 = 0 \implies f \ (a \ \text{when } P) = (f \ a \ \text{when } P)$ $\langle \text{proof} \rangle$

4 MPoly extension

lemma *coeff-all-0*: $(\bigwedge m. \text{coeff } p \ m = 0) \implies p=0$
 $\langle \text{proof} \rangle$

definition *vars::'a::zero mpoly \Rightarrow nat set where*
 $\text{vars } p = \bigcup (\text{keys } ` \text{keys } (\text{mapping-of } p))$

lemma *vars-finite*: $\text{finite } (\text{vars } p)$ $\langle \text{proof} \rangle$

lemma *vars-monom-single*: $\text{vars } (\text{monom } (\text{Poly-Mapping.single } v \ k) \ a) \subseteq \{v\}$
 $\langle \text{proof} \rangle$

lemma *vars-monom-keys*:
assumes $a \neq 0$
shows $\text{vars } (\text{monom } m \ a) = \text{keys } m$
 $\langle \text{proof} \rangle$

lemma *vars-monom-subset*:
shows $\text{vars } (\text{monom } m \ a) \subseteq \text{keys } m$
 $\langle \text{proof} \rangle$

lemma *vars-monom-single-cases*: $\text{vars } (\text{monom } (\text{Poly-Mapping.single } v \ k) \ a) =$
 $(\text{if } k=0 \vee a=0 \text{ then } \{\} \ \text{else } \{v\})$
 $\langle \text{proof} \rangle$

lemma *vars-monom*:
assumes $a \neq 0$
shows $\text{vars } (\text{monom } m \ (1::'a::\text{zero-neq-one})) = \text{vars } (\text{monom } m \ (a::'a))$
 $\langle \text{proof} \rangle$

lemma *vars-add*: $\text{vars } (p1 + p2) \subseteq \text{vars } p1 \cup \text{vars } p2$
 $\langle \text{proof} \rangle$

lemma vars-mult: $\text{vars } (p * q) \subseteq \text{vars } p \cup \text{vars } q$
<proof>

lemma vars-add-monom:
assumes $p2 = \text{monom } m \ a \ m \notin \text{keys } (\text{mapping-of } p1)$
shows $\text{vars } (p1 + p2) = \text{vars } p1 \cup \text{vars } p2$
<proof>

lemma vars-setsum: $\text{finite } S \implies \text{vars } (\sum_{m \in S}. f \ m) \subseteq (\bigcup_{m \in S}. \text{vars } (f \ m))$
<proof>

lemma coeff-monom: $\text{coeff } (\text{monom } m \ a) \ m' = (a \ \text{when } m'=m)$
<proof>

lemma coeff-add: $\text{coeff } p \ m + \text{coeff } q \ m = \text{coeff } (p+q) \ m$
<proof>

lemma coeff-eq: $\text{coeff } p = \text{coeff } q \longleftrightarrow p=q$ *<proof>*

lemma coeff-monom-mult: $\text{coeff } ((\text{monom } m' \ a) * q) \ (m' + m) = a * \text{coeff } q \ m$
<proof>

lemma one-term-is-monomial:
assumes $\text{card } (\text{keys } (\text{mapping-of } p)) \leq 1$
obtains m **where** $p = \text{monom } m \ (\text{coeff } p \ m)$
<proof>

definition remove-term:: $(\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow 'a::\text{zero } \text{mpoly} \Rightarrow 'a \ \text{mpoly}$ **where**
 $\text{remove-term } m0 \ p = \text{MPoly } (\text{Abs-poly-mapping } (\lambda m. \text{coeff } p \ m \ \text{when } m \neq m0))$

lemma remove-term-coeff: $\text{coeff } (\text{remove-term } m0 \ p) \ m = (\text{coeff } p \ m \ \text{when } m \neq m0)$
<proof>

lemma coeff-keys: $m \in \text{keys } (\text{mapping-of } p) \longleftrightarrow \text{coeff } p \ m \neq 0$
<proof>

lemma remove-term-keys:
shows $\text{keys } (\text{mapping-of } p) - \{m\} = \text{keys } (\text{mapping-of } (\text{remove-term } m \ p))$ **(is**
 $?A = ?B)$
<proof>

lemma remove-term-sum: $\text{remove-term } m \ p + \text{monom } m \ (\text{coeff } p \ m) = p$
<proof>

lemma mpoly-induct $[\text{case-names } \text{monom } \text{sum}]$:
assumes $\text{monom}:\bigwedge m \ a. P \ (\text{monom } m \ a)$

and *sum*: $(\bigwedge p1\ p2\ m\ a.\ P\ p1 \implies P\ p2 \implies p2 = (\text{monom } m\ a) \implies m \notin \text{keys}$
 $(\text{mapping-of } p1) \implies P\ (p1+p2))$
shows $P\ p$ $\langle \text{proof} \rangle$

lemma *monom-pow*: $\text{monom } (\text{Poly-Mapping.single } v\ n0)\ a \wedge n = \text{monom } (\text{Poly-Mapping.single}$
 $v\ (n0*n))\ (a \wedge n)$
 $\langle \text{proof} \rangle$

lemma *insertion-fun-single*: $\text{insertion-fun } f\ (\lambda m.\ (a\ \text{when } (\text{Poly-Mapping.single}$
 $(v::\text{nat})\ (n::\text{nat})) = m)) = a * f\ v \wedge n$ **(is ?i = -)**
 $\langle \text{proof} \rangle$

lemma *insertion-single[simp]*: $\text{insertion } f\ (\text{monom } (\text{Poly-Mapping.single } (v::\text{nat})$
 $(n::\text{nat}))\ a) = a * f\ v \wedge n$
 $\langle \text{proof} \rangle$

lemma *insertion-fun-irrelevant-vars*:
fixes $p::(\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow 'a::\text{comm-ring-1}$
assumes $\bigwedge m\ v.\ p\ m \neq 0 \implies \text{lookup } m\ v \neq 0 \implies f\ v = g\ v$
shows $\text{insertion-fun } f\ p = \text{insertion-fun } g\ p$
 $\langle \text{proof} \rangle$

lemma *insertion-aux-irrelevant-vars*:
fixes $p::(\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{comm-ring-1}$
assumes $\bigwedge m\ v.\ \text{lookup } p\ m \neq 0 \implies \text{lookup } m\ v \neq 0 \implies f\ v = g\ v$
shows $\text{insertion-aux } f\ p = \text{insertion-aux } g\ p$
 $\langle \text{proof} \rangle$

lemma *insertion-irrelevant-vars*:
fixes $p::'a::\text{comm-ring-1}\ \text{mpoly}$
assumes $\bigwedge v.\ v \in \text{vars } p \implies f\ v = g\ v$
shows $\text{insertion } f\ p = \text{insertion } g\ p$
 $\langle \text{proof} \rangle$

5 Nested MPoly

definition *reduce-nested-mpoly*: $'a::\text{comm-ring-1}\ \text{mpoly}\ \text{mpoly} \Rightarrow 'a\ \text{mpoly}$ **where**
 $\text{reduce-nested-mpoly } pp = \text{insertion } (\lambda v.\ \text{monom } (\text{Poly-Mapping.single } v\ 1)\ 1)$
 pp

lemma *reduce-nested-mpoly-sum*:
fixes $p1::'a::\text{comm-ring-1}\ \text{mpoly}\ \text{mpoly}$
shows $\text{reduce-nested-mpoly } (p1 + p2) = \text{reduce-nested-mpoly } p1 + \text{reduce-nested-mpoly}$
 $p2$
 $\langle \text{proof} \rangle$

lemma *reduce-nested-mpoly-prod*:
fixes $p1::'a::\text{comm-ring-1}\ \text{mpoly}\ \text{mpoly}$
shows $\text{reduce-nested-mpoly } (p1 * p2) = \text{reduce-nested-mpoly } p1 * \text{reduce-nested-mpoly}$

p2
<proof>

lemma *reduce-nested-mpoly-0*:
shows *reduce-nested-mpoly 0 = 0 <proof>*

lemma *insertion-nested-poly*:
fixes *pp::'a::comm-ring-1 mpoly mpoly*
shows *insertion f (insertion (λv. monom 0 (f v)) pp) = insertion f (reduce-nested-mpoly pp)*
<proof>

definition *extract-var::'a::comm-ring-1 mpoly ⇒ nat ⇒ 'a::comm-ring-1 mpoly*
mpoly where
extract-var p v = (∑ m. monom (remove-key v m) (monom (Poly-Mapping.single v (lookup m v)) (coeff p m)))

lemma *extract-var-finite-set*:
assumes *{m'. coeff p m' ≠ 0} ⊆ S*
assumes *finite S*
shows *extract-var p v = (∑ m∈S. monom (remove-key v m) (monom (Poly-Mapping.single v (lookup m v)) (coeff p m)))*
<proof>

lemma *extract-var-non-zero-coeff*: *extract-var p v = (∑ m∈{m'. coeff p m' ≠ 0}. monom (remove-key v m) (monom (Poly-Mapping.single v (lookup m v)) (coeff p m)))*
<proof>

lemma *extract-var-sum*: *extract-var (p+p') v = extract-var p v + extract-var p' v*
<proof>

lemma *extract-var-monom*:
shows *extract-var (monom m a) v = monom (remove-key v m) (monom (Poly-Mapping.single v (lookup m v)) a)*
<proof>

lemma *extract-var-monom-mult*:
shows *extract-var (monom (m+m') (a*b)) v = extract-var (monom m a) v * extract-var (monom m' b) v*
<proof>

lemma *extract-var-single*: *extract-var (monom (Poly-Mapping.single v n) a) v = monom 0 (monom (Poly-Mapping.single v n) a)*
<proof>

lemma *extract-var-single'*:
assumes $v \neq v'$
shows $\text{extract-var } (\text{monom } (\text{Poly-Mapping.single } v \ n) \ a) \ v' = \text{monom } (\text{Poly-Mapping.single } v \ n) \ (\text{monom } 0 \ a)$
 $\langle \text{proof} \rangle$

lemma *reduce-nested-mpoly-extract-var*:
fixes $pp::'a::\text{comm-ring-1 } \text{mpoly } \text{mpoly}$
shows $\text{reduce-nested-mpoly } (\text{extract-var } p \ v) = p$
 $\langle \text{proof} \rangle$

lemma *vars-extract-var-subset*: $\text{vars } (\text{extract-var } p \ v) \subseteq \text{vars } p$
 $\langle \text{proof} \rangle$

lemma *v-not-in-vars-extract-var*: $v \notin \text{vars } (\text{extract-var } p \ v)$
 $\langle \text{proof} \rangle$

lemma *vars-coeff-extract-var*: $\text{vars } (\text{coeff } (\text{extract-var } p \ v) \ j) \subseteq \{v\}$
 $\langle \text{proof} \rangle$

definition *replace-coeff*
where $\text{replace-coeff } f \ p = \text{MPoly } (\text{Abs-poly-mapping } (\lambda m. f \ (\text{lookup } (\text{mapping-of } p) \ m)))$

lemma *coeff-replace-coeff*:
assumes $f \ 0 = 0$
shows $\text{coeff } (\text{replace-coeff } f \ p) \ m = f \ (\text{coeff } p \ m)$
 $\langle \text{proof} \rangle$

lemma *replace-coeff-monom*:
assumes $f \ 0 = 0$
shows $\text{replace-coeff } f \ (\text{monom } m \ a) = \text{monom } m \ (f \ a)$
 $\langle \text{proof} \rangle$

lemma *replace-coeff-add*:
assumes $f \ 0 = 0$
assumes $\bigwedge a \ b. f \ (a+b) = f \ a + f \ b$
shows $\text{replace-coeff } f \ (p1 + p2) = \text{replace-coeff } f \ p1 + \text{replace-coeff } f \ p2$
 $\langle \text{proof} \rangle$

lemma *insertion-replace-coeff*:
fixes $pp::'a::\text{comm-ring-1 } \text{mpoly } \text{mpoly}$
shows $\text{insertion } f \ (\text{replace-coeff } (\text{insertion } f) \ pp) = \text{insertion } f \ (\text{reduce-nested-mpoly } pp)$
 $\langle \text{proof} \rangle$

lemma *replace-coeff-extract-var-cong*:
assumes $f \ v = g \ v$

shows $\text{replace-coeff } (\text{insertion } f) (\text{extract-var } p \ v) = \text{replace-coeff } (\text{insertion } g)$
 $(\text{extract-var } p \ v)$
 $\langle \text{proof} \rangle$

lemma $\text{vars-replace-coeff}$:
assumes $f \ 0 = 0$
shows $\text{vars } (\text{replace-coeff } f \ p) \subseteq \text{vars } p$
 $\langle \text{proof} \rangle$

definition $\text{polyfun} :: \text{nat set} \Rightarrow ((\text{nat} \Rightarrow 'a::\text{comm-semiring-1}) \Rightarrow 'a) \Rightarrow \text{bool}$
where $\text{polyfun } N \ f = (\exists p. \text{vars } p \subseteq N \wedge (\forall x. \text{insertion } x \ p = f \ x))$

lemma polyfunI : $(\bigwedge P. (\bigwedge p. \text{vars } p \subseteq N \Longrightarrow (\bigwedge x. \text{insertion } x \ p = f \ x) \Longrightarrow P) \Longrightarrow P) \Longrightarrow \text{polyfun } N \ f$
 $\langle \text{proof} \rangle$

lemma polyfun-subset : $N \subseteq N' \Longrightarrow \text{polyfun } N \ f \Longrightarrow \text{polyfun } N' \ f$
 $\langle \text{proof} \rangle$

lemma polyfun-const : $\text{polyfun } N \ (\lambda-. \ c)$
 $\langle \text{proof} \rangle$

lemma polyfun-add :
assumes $\text{polyfun } N \ f \ \text{polyfun } N \ g$
shows $\text{polyfun } N \ (\lambda x. \ f \ x + g \ x)$
 $\langle \text{proof} \rangle$

lemma polyfun-mult :
assumes $\text{polyfun } N \ f \ \text{polyfun } N \ g$
shows $\text{polyfun } N \ (\lambda x. \ f \ x * g \ x)$
 $\langle \text{proof} \rangle$

lemma polyfun-Sum :
assumes $\text{finite } I$
assumes $\bigwedge i. i \in I \Longrightarrow \text{polyfun } N \ (f \ i)$
shows $\text{polyfun } N \ (\lambda x. \ \sum i \in I. \ f \ i \ x)$
 $\langle \text{proof} \rangle$

lemma polyfun-Prod :
assumes $\text{finite } I$
assumes $\bigwedge i. i \in I \Longrightarrow \text{polyfun } N \ (f \ i)$
shows $\text{polyfun } N \ (\lambda x. \ \prod i \in I. \ f \ i \ x)$
 $\langle \text{proof} \rangle$

lemma polyfun-single :
assumes $i \in N$
shows $\text{polyfun } N \ (\lambda x. \ x \ i)$

<proof>

end

6 Abstract Power-Products

```
theory Power-Products
imports Complex-Main
          HOL-Library.Function-Algebras
          HOL-Library.Countable
          More-MPoly-Type
          Utils
          Well-Quasi-Orders.Well-Quasi-Orders
begin
```

This theory formalizes the concept of "power-products". A power-product can be thought of as the product of some indeterminates, such as x , x^2y , xy^3z^7 , etc., without any scalar coefficient.

The approach in this theory is to capture the notion of "power-product" (also called "monomial") as type class. A canonical instance for power-product is the type $'var \Rightarrow_0 nat$, which is interpreted as mapping from variables in the power-product to exponents.

A slightly unintuitive (but fitting better with the standard type class instantiations of $'a \Rightarrow_0 'b$) approach is to write addition to denote "multiplication" of power products. For example, x^2y would be represented as a function $p = (X \mapsto 2, Y \mapsto 1)$, xz as a function $q = (X \mapsto 1, Z \mapsto 1)$. With the (pointwise) instantiation of addition of $'a \Rightarrow_0 'b$, we will write $p + q = (X \mapsto 3, Y \mapsto 1, Z \mapsto 1)$ for the product $x^2y \cdot xz = x^3yz$

6.1 Constant Keys

Legacy:

```
lemmas keys-eq-empty-iff = keys-eq-empty
```

```
definition Keys :: ('a  $\Rightarrow_0$  'b::zero) set  $\Rightarrow$  'a set
where Keys F =  $\bigcup$ (keys ' F)
```

```
lemma in-Keys:  $s \in \text{Keys } F \iff (\exists f \in F. s \in \text{keys } f)$ 
<proof>
```

```
lemma in-KeysI:
assumes  $s \in \text{keys } f$  and  $f \in F$ 
shows  $s \in \text{Keys } F$ 
<proof>
```

```
lemma in-KeysE:
assumes  $s \in \text{Keys } F$ 
```

obtains f **where** $s \in \text{keys } f$ **and** $f \in F$
<proof>

lemma *Keys-mono*:

assumes $A \subseteq B$

shows $\text{Keys } A \subseteq \text{Keys } B$

<proof>

lemma *Keys-insert*: $\text{Keys } (\text{insert } a \ A) = \text{keys } a \cup \text{Keys } A$

<proof>

lemma *Keys-Un*: $\text{Keys } (A \cup B) = \text{Keys } A \cup \text{Keys } B$

<proof>

lemma *finite-Keys*:

assumes *finite* A

shows *finite* $(\text{Keys } A)$

<proof>

lemma *Keys-not-empty*:

assumes $a \in A$ **and** $a \neq 0$

shows $\text{Keys } A \neq \{\}$

<proof>

lemma *Keys-empty [simp]*: $\text{Keys } \{\} = \{\}$

<proof>

lemma *Keys-zero [simp]*: $\text{Keys } \{0\} = \{\}$

<proof>

lemma *keys-subset-Keys*:

assumes $f \in F$

shows $\text{keys } f \subseteq \text{Keys } F$

<proof>

lemma *Keys-minus*: $\text{Keys } (A - B) \subseteq \text{Keys } A$

<proof>

lemma *Keys-minus-zero*: $\text{Keys } (A - \{0\}) = \text{Keys } A$

<proof>

6.2 Constant *except*

definition *except-fun* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'b::\text{zero})$

where *except-fun* $f \ S = (\lambda x. (f \ x \ \text{when } x \notin S))$

lift-definition *except* :: $('a \Rightarrow_0 'b) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow_0 'b::\text{zero})$ **is** *except-fun*

<proof>

lemma *lookup-except-when*: $\text{lookup } (\text{except } p \ S) = (\lambda t. \text{lookup } p \ t \ \text{when } t \notin S)$
<proof>

lemma *lookup-except*: $\text{lookup } (\text{except } p \ S) = (\lambda t. \text{if } t \in S \ \text{then } 0 \ \text{else } \text{lookup } p \ t)$
<proof>

lemma *lookup-except-singleton*: $\text{lookup } (\text{except } p \ \{t\}) \ t = 0$
<proof>

lemma *except-zero [simp]*: $\text{except } 0 \ S = 0$
<proof>

lemma *lookup-except-eq-idI*:
assumes $t \notin S$
shows $\text{lookup } (\text{except } p \ S) \ t = \text{lookup } p \ t$
<proof>

lemma *lookup-except-eq-zeroI*:
assumes $t \in S$
shows $\text{lookup } (\text{except } p \ S) \ t = 0$
<proof>

lemma *except-empty [simp]*: $\text{except } p \ \{\} = p$
<proof>

lemma *except-eq-zeroI*:
assumes $\text{keys } p \subseteq S$
shows $\text{except } p \ S = 0$
<proof>

lemma *except-eq-zeroE*:
assumes $\text{except } p \ S = 0$
shows $\text{keys } p \subseteq S$
<proof>

lemma *except-eq-zero-iff*: $\text{except } p \ S = 0 \iff \text{keys } p \subseteq S$
<proof>

lemma *except-keys [simp]*: $\text{except } p \ (\text{keys } p) = 0$
<proof>

lemma *plus-except*: $p = \text{Poly-Mapping.single } t \ (\text{lookup } p \ t) + \text{except } p \ \{t\}$
<proof>

lemma *keys-except*: $\text{keys } (\text{except } p \ S) = \text{keys } p - S$
<proof>

lemma *except-single*: $\text{except } (\text{Poly-Mapping.single } u \ c) \ S = (\text{Poly-Mapping.single } u \ c \ \text{when } u \notin S)$

<proof>

lemma *except-plus*: $\text{except } (p + q) S = \text{except } p S + \text{except } q S$
<proof>

lemma *except-minus*: $\text{except } (p - q) S = \text{except } p S - \text{except } q S$
<proof>

lemma *except-uminus*: $\text{except } (- p) S = - \text{except } p S$
<proof>

lemma *except-except*: $\text{except } (\text{except } p S) T = \text{except } p (S \cup T)$
<proof>

lemma *poly-mapping-keys-eqI*:

assumes *a1*: $\text{keys } p = \text{keys } q$ **and** *a2*: $\bigwedge t. t \in \text{keys } p \implies \text{lookup } p t = \text{lookup } q t$
shows $p = q$
<proof>

lemma *except-id-iff*: $\text{except } p S = p \iff \text{keys } p \cap S = \{\}$
<proof>

lemma *keys-subset-wf*:

wfP ($\lambda p q. ('a, 'b)::\text{zero}$) *poly-mapping*. $\text{keys } p \subset \text{keys } q$
<proof>

lemma *poly-mapping-except-induct*:

assumes *base*: $P 0$ **and** *ind*: $\bigwedge p t. p \neq 0 \implies t \in \text{keys } p \implies P (\text{except } p \{t\})$
 $\implies P p$
shows $P p$
<proof>

lemma *poly-mapping-except-induct'*:

assumes $\bigwedge p. (\bigwedge t. t \in \text{keys } p \implies P (\text{except } p \{t\})) \implies P p$
shows $P p$
<proof>

lemma *poly-mapping-plus-induct*:

assumes $P 0$ **and** $\bigwedge p c t. c \neq 0 \implies t \notin \text{keys } p \implies P p \implies P (\text{Poly-Mapping.single } t c + p)$
shows $P p$
<proof>

lemma *except-Diff-singleton*: $\text{except } p (\text{keys } p - \{t\}) = \text{Poly-Mapping.single } t (\text{lookup } p t)$
<proof>

lemma *except-Un-plus-Int*: $\text{except } p (U \cup V) + \text{except } p (U \cap V) = \text{except } p U$

+ *except p V*
⟨*proof*⟩

corollary *except-Int*:

assumes *keys p* $\subseteq U \cup V$
shows *except p (U ∩ V) = except p U + except p V*
⟨*proof*⟩

lemma *except-keys-Int* [*simp*]: *except p (keys p ∩ U) = except p U*
⟨*proof*⟩

lemma *except-Int-keys* [*simp*]: *except p (U ∩ keys p) = except p U*
⟨*proof*⟩

lemma *except-keys-Diff*: *except p (keys p - U) = except p (- U)*
⟨*proof*⟩

lemma *except-decomp*: *p = except p U + except p (- U)*
⟨*proof*⟩

corollary *except-Compl*: *except p (- U) = p - except p U*
⟨*proof*⟩

6.3 'Divisibility' on Additive Structures

context *plus begin*

definition *adds* :: '*a* ⇒ '*a* ⇒ *bool* (**infix** *adds* 50)
where *b adds a* $\longleftrightarrow (\exists k. a = b + k)$

lemma *addsI* [*intro?*]: *a = b + k* \implies *b adds a*
⟨*proof*⟩

lemma *addsE* [*elim?*]: *b adds a* $\implies (\bigwedge k. a = b + k \implies P) \implies P$
⟨*proof*⟩

end

context *comm-monoid-add*

begin

lemma *adds-refl* [*simp*]: *a adds a*
⟨*proof*⟩

lemma *adds-trans* [*trans*]:
assumes *a adds b* **and** *b adds c*
shows *a adds c*
⟨*proof*⟩

lemma *subset-divisors-adds*: $\{c. c \text{ adds } a\} \subseteq \{c. c \text{ adds } b\} \longleftrightarrow a \text{ adds } b$
<proof>

lemma *strict-subset-divisors-adds*: $\{c. c \text{ adds } a\} \subset \{c. c \text{ adds } b\} \longleftrightarrow a \text{ adds } b \wedge \neg b \text{ adds } a$
<proof>

lemma *zero-adds* [*simp*]: $0 \text{ adds } a$
<proof>

lemma *adds-plus-right* [*simp*]: $a \text{ adds } c \implies a \text{ adds } (b + c)$
<proof>

lemma *adds-plus-left* [*simp*]: $a \text{ adds } b \implies a \text{ adds } (b + c)$
<proof>

lemma *adds-triv-right* [*simp*]: $a \text{ adds } b + a$
<proof>

lemma *adds-triv-left* [*simp*]: $a \text{ adds } a + b$
<proof>

lemma *plus-adds-mono*:
 assumes $a \text{ adds } b$
 and $c \text{ adds } d$
 shows $a + c \text{ adds } b + d$
<proof>

lemma *plus-adds-left*: $a + b \text{ adds } c \implies a \text{ adds } c$
<proof>

lemma *plus-adds-right*: $a + b \text{ adds } c \implies b \text{ adds } c$
<proof>

end

class *ninv-comm-monoid-add* = *comm-monoid-add* +
 assumes *plus-eq-zero*: $s + t = 0 \implies s = 0$
begin

lemma *plus-eq-zero-2*: $t = 0 \text{ if } s + t = 0$
<proof>

lemma *adds-zero*: $s \text{ adds } 0 \longleftrightarrow (s = 0)$
<proof>

end

context *canonically-ordered-monoid-add*

```

begin
subclass ninv-comm-monoid-add <proof>
end

class comm-powerprod = cancel-comm-monoid-add
begin

lemma adds-canc:  $s + u \text{ adds } t + u \iff s \text{ adds } t$  for  $s\ t\ u::'a$ 
  <proof>

lemma adds-canc-2:  $u + s \text{ adds } u + t \iff s \text{ adds } t$ 
  <proof>

lemma add-minus-2:  $(s + t) - s = t$ 
  <proof>

lemma adds-minus:
  assumes  $s \text{ adds } t$ 
  shows  $(t - s) + s = t$ 
  <proof>

lemma plus-adds-0:
  assumes  $(s + t) \text{ adds } u$ 
  shows  $s \text{ adds } (u - t)$ 
  <proof>

lemma plus-adds-2:
  assumes  $t \text{ adds } u$  and  $s \text{ adds } (u - t)$ 
  shows  $(s + t) \text{ adds } u$ 
  <proof>

lemma plus-adds:
  shows  $(s + t) \text{ adds } u \iff (t \text{ adds } u \wedge s \text{ adds } (u - t))$ 
  <proof>

lemma minus-plus:
  assumes  $s \text{ adds } t$ 
  shows  $(t - s) + u = (t + u) - s$ 
  <proof>

lemma minus-plus-minus:
  assumes  $s \text{ adds } t$  and  $u \text{ adds } v$ 
  shows  $(t - s) + (v - u) = (t + v) - (s + u)$ 
  <proof>

lemma minus-plus-minus-cancel:
  assumes  $u \text{ adds } t$  and  $s \text{ adds } u$ 
  shows  $(t - u) + (u - s) = t - s$ 
  <proof>

```


end

Instances of class *lcs-powerprod* are types of commutative power-products admitting (not necessarily unique) least common sums (inspired from least common multiplies). Note that if the components of indeterminates are arbitrary integers (as for instance in Laurent polynomials), then no unique lcss exist.

```
class lcs-powerprod = comm-powerprod +  
  fixes lcs::'a ⇒ 'a ⇒ 'a  
  assumes adds-lcs: s adds (lcs s t)  
  assumes lcs-adds: s adds u ⇒ t adds u ⇒ (lcs s t) adds u  
  assumes lcs-comm: lcs s t = lcs t s  
begin
```

```
lemma adds-lcs-2: t adds (lcs s t)  
  ⟨proof⟩
```

```
lemma lcs-adds-plus: lcs s t adds s + t ⟨proof⟩
```

”gcs” stands for ”greatest common summand”.

```
definition gcs :: 'a ⇒ 'a ⇒ 'a where gcs s t = (s + t) - (lcs s t)
```

```
lemma gcs-plus-lcs: (gcs s t) + (lcs s t) = s + t  
  ⟨proof⟩
```

```
lemma gcs-adds: (gcs s t) adds s  
  ⟨proof⟩
```

```
lemma gcs-comm: gcs s t = gcs t s ⟨proof⟩
```

```
lemma gcs-adds-2: (gcs s t) adds t  
  ⟨proof⟩
```

end

```
class ulcs-powerprod = lcs-powerprod + univ-comm-monoid-add  
begin
```

```
lemma adds-antisym:  
  assumes s adds t t adds s  
  shows s = t  
  ⟨proof⟩
```

```
lemma lcs-unique:  
  assumes s adds l and t adds l and *:  $\bigwedge u. s \text{ adds } u \Rightarrow t \text{ adds } u \Rightarrow l \text{ adds } u$   
  shows l = lcs s t  
  ⟨proof⟩
```

lemma *lcs-zero*: $lcs\ 0\ t = t$
<proof>

lemma *lcs-plus-left*: $lcs\ (u + s)\ (u + t) = u + lcs\ s\ t$
<proof>

lemma *lcs-plus-right*: $lcs\ (s + u)\ (t + u) = (lcs\ s\ t) + u$
<proof>

lemma *adds-gcs*:
assumes $u\ adds\ s$ and $u\ adds\ t$
shows $u\ adds\ (gcs\ s\ t)$
<proof>

lemma *gcs-unique*:
assumes $g\ adds\ s$ and $g\ adds\ t$ and *: $\bigwedge u. u\ adds\ s \implies u\ adds\ t \implies u\ adds\ g$
shows $g = gcs\ s\ t$
<proof>

lemma *gcs-plus-left*: $gcs\ (u + s)\ (u + t) = u + gcs\ s\ t$
<proof>

lemma *gcs-plus-right*: $gcs\ (s + u)\ (t + u) = (gcs\ s\ t) + u$
<proof>

lemma *lcs-same* [*simp*]: $lcs\ s\ s = s$
<proof>

lemma *gcs-same* [*simp*]: $gcs\ s\ s = s$
<proof>

end

6.4 Dickson Classes

definition (*in plus*) *dickson-grading* :: $('a \Rightarrow nat) \Rightarrow bool$
where *dickson-grading* $d \longleftrightarrow$
 $((\forall s\ t. d\ (s + t) = \max\ (d\ s)\ (d\ t)) \wedge (\forall n::nat. \text{almost-full-on}\ (adds)\ \{x. d\ x \leq n\}))$

definition *dgrad-set* :: $('a \Rightarrow nat) \Rightarrow nat \Rightarrow 'a\ set$
where *dgrad-set* $d\ m = \{t. d\ t \leq m\}$

definition *dgrad-set-le* :: $('a \Rightarrow nat) \Rightarrow ('a\ set) \Rightarrow ('a\ set) \Rightarrow bool$
where *dgrad-set-le* $d\ S\ T \longleftrightarrow (\forall s \in S. \exists t \in T. d\ s \leq d\ t)$

lemma *dickson-gradingI*:
assumes $\bigwedge s\ t. d\ (s + t) = \max\ (d\ s)\ (d\ t)$
assumes $\bigwedge n::nat. \text{almost-full-on}\ (adds)\ \{x. d\ x \leq n\}$

shows *dickson-grading* d
 ⟨*proof*⟩

lemma *dickson-gradingD1*: *dickson-grading* $d \implies d (s + t) = \max (d s) (d t)$
 ⟨*proof*⟩

lemma *dickson-gradingD2*: *dickson-grading* $d \implies \text{almost-full-on (adds) } \{x. d x \leq n\}$
 ⟨*proof*⟩

lemma *dickson-gradingD2'*:
assumes *dickson-grading* $(d::'a::\text{comm-monoid-add} \implies \text{nat})$
shows *wqo-on (adds) } \{x. d x \leq n\}
 ⟨*proof*⟩*

lemma *dickson-gradingE*:
assumes *dickson-grading* d **and** $\bigwedge i::\text{nat}. d ((\text{seq}::\text{nat} \implies 'a::\text{plus}) i) \leq n$
obtains $i j$ **where** $i < j$ **and** *seq* i *adds* *seq* j
 ⟨*proof*⟩

lemma *dickson-grading-adds-imp-le*:
assumes *dickson-grading* d **and** s *adds* t
shows $d s \leq d t$
 ⟨*proof*⟩

lemma *dickson-grading-minus*:
assumes *dickson-grading* d **and** s *adds* $(t::'a::\text{cancel-ab-semigroup-add})$
shows $d (t - s) \leq d t$
 ⟨*proof*⟩

lemma *dickson-grading-lcs*:
assumes *dickson-grading* d
shows $d (\text{lcs } s t) \leq \max (d s) (d t)$
 ⟨*proof*⟩

lemma *dickson-grading-lcs-minus*:
assumes *dickson-grading* d
shows $d (\text{lcs } s t - s) \leq \max (d s) (d t)$
 ⟨*proof*⟩

lemma *dgrad-set-leI*:
assumes $\bigwedge s. s \in S \implies \exists t \in T. d s \leq d t$
shows *dgrad-set-le* $d S T$
 ⟨*proof*⟩

lemma *dgrad-set-leE*:
assumes *dgrad-set-le* $d S T$ **and** $s \in S$
obtains t **where** $t \in T$ **and** $d s \leq d t$
 ⟨*proof*⟩

lemma *dgrad-set-exhaust-expl*:

assumes *finite F*

shows $F \subseteq \text{dgrad-set } d \ (\text{Max } (d \text{ ' } F))$

<proof>

lemma *dgrad-set-exhaust*:

assumes *finite F*

obtains *m* **where** $F \subseteq \text{dgrad-set } d \ m$

<proof>

lemma *dgrad-set-le-trans* [*trans*]:

assumes *dgrad-set-le d S T* **and** *dgrad-set-le d T U*

shows *dgrad-set-le d S U*

<proof>

lemma *dgrad-set-le-Un*: $\text{dgrad-set-le } d \ (S \cup T) \ U \longleftrightarrow (\text{dgrad-set-le } d \ S \ U \wedge \text{dgrad-set-le } d \ T \ U)$

<proof>

lemma *dgrad-set-le-subset*:

assumes $S \subseteq T$

shows *dgrad-set-le d S T*

<proof>

lemma *dgrad-set-le-refl*: *dgrad-set-le d S S*

<proof>

lemma *dgrad-set-le-dgrad-set*:

assumes *dgrad-set-le d F G* **and** $G \subseteq \text{dgrad-set } d \ m$

shows $F \subseteq \text{dgrad-set } d \ m$

<proof>

lemma *dgrad-set-dgrad*: $p \in \text{dgrad-set } d \ (d \ p)$

<proof>

lemma *dgrad-setI* [*intro*]:

assumes $d \ t \leq m$

shows $t \in \text{dgrad-set } d \ m$

<proof>

lemma *dgrad-setD*:

assumes $t \in \text{dgrad-set } d \ m$

shows $d \ t \leq m$

<proof>

lemma *dgrad-set-zero* [*simp*]: $\text{dgrad-set } (\lambda-. \ 0) \ m = \text{UNIV}$

<proof>

lemma *subset-dgrad-set-zero*: $F \subseteq \text{dgrad-set } (\lambda-. 0) m$
 ⟨*proof*⟩

lemma *dgrad-set-subset*:
assumes $m \leq n$
shows $\text{dgrad-set } d m \subseteq \text{dgrad-set } d n$
 ⟨*proof*⟩

lemma *dgrad-set-closed-plus*:
assumes *dickson-grading* d **and** $s \in \text{dgrad-set } d m$ **and** $t \in \text{dgrad-set } d m$
shows $s + t \in \text{dgrad-set } d m$
 ⟨*proof*⟩

lemma *dgrad-set-closed-minus*:
assumes *dickson-grading* d **and** $s \in \text{dgrad-set } d m$ **and** $t \text{ adds } (s::'a::\text{cancel-ab-semigroup-add})$
shows $s - t \in \text{dgrad-set } d m$
 ⟨*proof*⟩

lemma *dgrad-set-closed-lcs*:
assumes *dickson-grading* d **and** $s \in \text{dgrad-set } d m$ **and** $t \in \text{dgrad-set } d m$
shows $\text{lcs } s t \in \text{dgrad-set } d m$
 ⟨*proof*⟩

lemma *dickson-gradingD-dgrad-set*: *dickson-grading* $d \implies \text{almost-full-on } (\text{adds})$
 ($\text{dgrad-set } d m$)
 ⟨*proof*⟩

lemma *ex-finite-adds*:
assumes *dickson-grading* d **and** $S \subseteq \text{dgrad-set } d m$
obtains T **where** *finite* T **and** $T \subseteq S$ **and** $\bigwedge s. s \in S \implies (\exists t \in T. t \text{ adds } (s::'a::\text{cancel-comm-monoid-add}))$
 ⟨*proof*⟩

class *graded-dickson-powerprod* = *ulcs-powerprod* +
assumes *ex-dgrad*: $\exists d::'a \Rightarrow \text{nat. } \text{dickson-grading } d$
begin

definition *dgrad-dummy* **where** *dgrad-dummy* = (*SOME* $d. \text{dickson-grading } d$)

lemma *dickson-grading-dgrad-dummy*: *dickson-grading* *dgrad-dummy*
 ⟨*proof*⟩

end

class *dickson-powerprod* = *ulcs-powerprod* +
assumes *dickson*: *almost-full-on* (*adds*) *UNIV*
begin

lemma *dickson-grading-zero*: *dickson-grading* $(\lambda-::'a. 0)$

<proof>

subclass *graded-dickson-powerprod* *<proof>*

end

Class *graded-dickson-powerprod* is a slightly artificial construction. It is needed, because type $\text{nat} \Rightarrow_0 \text{nat}$ does not satisfy the usual conditions of a "Dickson domain" (as formulated in class *dickson-powerprod*), but we still want to use that type as the type of power-products in the computation of Gröbner bases. So, we exploit the fact that in a finite set of polynomials (which is the input of Buchberger's algorithm) there is always some "highest" indeterminate that occurs with non-zero exponent, and no "higher" indeterminates are generated during the execution of the algorithm. This allows us to prove that the algorithm terminates, even though there are in principle infinitely many indeterminates.

6.5 Additive Linear Orderings

lemma *group-eq-aux*: $a + (b - a) = (b::'a::\text{ab-group-add})$

<proof>

class *semi-canonically-ordered-monoid-add* = *ordered-comm-monoid-add* +
assumes *le-imp-add*: $a \leq b \implies (\exists c. b = a + c)$

context *canonically-ordered-monoid-add*

begin

subclass *semi-canonically-ordered-monoid-add*

<proof>

end

class *add-linorder-group* = *ordered-ab-semigroup-add-imp-le* + *ab-group-add* + *linorder*

class *add-linorder* = *ordered-ab-semigroup-add-imp-le* + *cancel-comm-monoid-add*
+ *semi-canonically-ordered-monoid-add* + *linorder*

begin

subclass *ordered-comm-monoid-add* *<proof>*

subclass *ordered-cancel-comm-monoid-add* *<proof>*

lemma *le-imp-inv*:

assumes $a \leq b$

shows $b = a + (b - a)$

<proof>

lemma *max-eq-sum*:

obtains y **where** $\text{max } a \ b = a + y$

```

    <proof>

lemma min-plus-max:
  shows  $(\min a b) + (\max a b) = a + b$ 
  <proof>

end

class add-linorder-min = add-linorder +
  assumes zero-min:  $0 \leq x$ 
begin

subclass ninv-comm-monoid-add
  <proof>

lemma leq-add-right:
  shows  $x \leq x + y$ 
  <proof>

lemma leq-add-left:
  shows  $x \leq y + x$ 
  <proof>

subclass canonically-ordered-monoid-add
  <proof>

end

class add-wellorder = add-linorder-min + wellorder

instantiation nat :: add-linorder
begin

instance <proof>

end

instantiation nat :: add-linorder-min
begin
instance <proof>
end

instantiation nat :: add-wellorder
begin
instance <proof>
end

context add-linorder-group
begin

```

```

subclass add-linorder
  ⟨proof⟩

end

instantiation int :: add-linorder-group
begin
instance ⟨proof⟩
end

instantiation rat :: add-linorder-group
begin
instance ⟨proof⟩
end

instantiation real :: add-linorder-group
begin
instance ⟨proof⟩
end

```

6.6 Ordered Power-Products

```

locale ordered-powerprod =
  ordered-powerprod-lin: linorder ord ord-strict
  for ord::'a ⇒ 'a::comm-powerprod ⇒ bool (infixl  $\preceq$  50)
  and ord-strict::'a ⇒ 'a::comm-powerprod ⇒ bool (infixl  $\prec$  50) +
  assumes zero-min:  $0 \preceq t$ 
  assumes plus-monotone:  $s \preceq t \implies s + u \preceq t + u$ 
begin

abbreviation ord-conv (infixl  $\succeq$  50) where ord-conv  $\equiv (\preceq)^{-1-1}$ 
abbreviation ord-strict-conv (infixl  $\succ$  50) where ord-strict-conv  $\equiv (\prec)^{-1-1}$ 

lemma ord-canc:
  assumes  $s + u \preceq t + u$ 
  shows  $s \preceq t$ 
  ⟨proof⟩

lemma ord-adds:
  assumes s adds t
  shows  $s \preceq t$ 
  ⟨proof⟩

lemma ord-canc-left:
  assumes  $u + s \preceq u + t$ 
  shows  $s \preceq t$ 
  ⟨proof⟩

```



```

lemma ord-strict-canc:
  assumes  $s + u < t + u$ 
  shows  $s < t$ 
   $\langle$ proof $\rangle$ 

lemma ord-strict-canc-left:
  assumes  $u + s < u + t$ 
  shows  $s < t$ 
   $\langle$ proof $\rangle$ 

lemma plus-monotone-left:
  assumes  $s \preceq t$ 
  shows  $u + s \preceq u + t$ 
   $\langle$ proof $\rangle$ 

lemma plus-monotone-strict:
  assumes  $s < t$ 
  shows  $s + u < t + u$ 
   $\langle$ proof $\rangle$ 

lemma plus-monotone-strict-left:
  assumes  $s < t$ 
  shows  $u + s < u + t$ 
   $\langle$ proof $\rangle$ 

end

locale gd-powerprod =
  ordered-powerprod ord ord-strict
  for  $ord::'a \Rightarrow 'a::\text{graded-dickson-powerprod} \Rightarrow \text{bool}$  (infixl  $\preceq$  50)
  and ord-strict (infixl  $<$  50)
begin

definition dickson-le ::  $('a \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$ 
  where dickson-le  $d\ m\ s\ t \iff (d\ s \leq m \wedge d\ t \leq m \wedge s \preceq t)$ 

definition dickson-less ::  $('a \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$ 
  where dickson-less  $d\ m\ s\ t \iff (d\ s \leq m \wedge d\ t \leq m \wedge s < t)$ 

lemma dickson-leI:
  assumes  $d\ s \leq m$  and  $d\ t \leq m$  and  $s \preceq t$ 
  shows dickson-le  $d\ m\ s\ t$ 
   $\langle$ proof $\rangle$ 

lemma dickson-leD1:
  assumes dickson-le  $d\ m\ s\ t$ 
  shows  $d\ s \leq m$ 
   $\langle$ proof $\rangle$ 

```

lemma *dickson-leD2*:

assumes *dickson-le d m s t*

shows $d t \leq m$

<proof>

lemma *dickson-leD3*:

assumes *dickson-le d m s t*

shows $s \preceq t$

<proof>

lemma *dickson-le-trans*:

assumes *dickson-le d m s t* **and** *dickson-le d m t u*

shows *dickson-le d m s u*

<proof>

lemma *dickson-lessI*:

assumes $d s \leq m$ **and** $d t \leq m$ **and** $s \prec t$

shows *dickson-less d m s t*

<proof>

lemma *dickson-lessD1*:

assumes *dickson-less d m s t*

shows $d s \leq m$

<proof>

lemma *dickson-lessD2*:

assumes *dickson-less d m s t*

shows $d t \leq m$

<proof>

lemma *dickson-lessD3*:

assumes *dickson-less d m s t*

shows $s \prec t$

<proof>

lemma *dickson-less-irrefl*: \neg *dickson-less d m t t*

<proof>

lemma *dickson-less-trans*:

assumes *dickson-less d m s t* **and** *dickson-less d m t u*

shows *dickson-less d m s u*

<proof>

lemma *transp-dickson-less*: *transp (dickson-less d m)*

<proof>

lemma *wfp-on-ord-strict*:

assumes *dickson-grading d*

shows *wfp-on* (\prec) $\{x. d x \leq n\}$

<proof>

lemma *wf-dickson-less*:
 assumes *dickson-grading d*
 shows *wfP (dickson-less d m)*
<proof>

end

gd-powerprod stands for *graded ordered Dickson power-products*.

locale *od-powerprod* =
 ordered-powerprod ord ord-strict
 for *ord::'a ⇒ 'a::dickson-powerprod ⇒ bool (infixl ≤ 50)*
 and *ord-strict (infixl < 50)*
begin

sublocale *gd-powerprod* *<proof>*

lemma *wf-ord-strict: wfP (<)*
<proof>

end

od-powerprod stands for *ordered Dickson power-products*.

6.7 Functions as Power-Products

lemma *finite-neq-0*:
 assumes *fin-A: finite {x. f x ≠ 0}* **and** *fin-B: finite {x. g x ≠ 0}* **and** $\bigwedge x. h x$
 $0\ 0 = 0$
 shows *finite {x. h x (f x) (g x) ≠ 0}*
<proof>

lemma *finite-neq-0'*:
 assumes *finite {x. f x ≠ 0}* **and** *finite {x. g x ≠ 0}* **and** $h\ 0\ 0 = 0$
 shows *finite {x. h (f x) (g x) ≠ 0}*
<proof>

lemma *finite-neq-0-inv*:
 assumes *fin-A: finite {x. h x (f x) (g x) ≠ 0}* **and** *fin-B: finite {x. f x ≠ 0}*
 and $\bigwedge x y. h\ x\ 0\ y = y$
 shows *finite {x. g x ≠ 0}*
<proof>

lemma *finite-neq-0-inv'*:
 assumes *inf-A: finite {x. h (f x) (g x) ≠ 0}* **and** *fin-B: finite {x. f x ≠ 0}* **and**
 $\bigwedge x. h\ 0\ x = x$
 shows *finite {x. g x ≠ 0}*
<proof>

6.7.1 $'a \Rightarrow 'b$ belongs to class *comm-powerprod*

instance *fun* :: (type, cancel-comm-monoid-add) *comm-powerprod*
⟨*proof*⟩

6.7.2 $'a \Rightarrow 'b$ belongs to class *ninv-comm-monoid-add*

instance *fun* :: (type, ninv-comm-monoid-add) *ninv-comm-monoid-add*
⟨*proof*⟩

6.7.3 $'a \Rightarrow 'b$ belongs to class *lcs-powerprod*

instantiation *fun* :: (type, add-linorder) *lcs-powerprod*
begin

definition *lcs-fun*::($'a \Rightarrow 'b$) \Rightarrow ($'a \Rightarrow 'b$) \Rightarrow ($'a \Rightarrow 'b$) **where** *lcs f g* = ($\lambda x. \max$
(*f x*) (*g x*))

lemma *adds-funI*:
 assumes $s \leq t$
 shows *s adds* ($t::'a \Rightarrow 'b$)
⟨*proof*⟩

lemma *adds-fun-iff*: *f adds* ($g::'a \Rightarrow 'b$) \longleftrightarrow ($\forall x. f x \text{ adds } g x$)
⟨*proof*⟩

lemma *adds-fun-iff'*: *f adds* ($g::'a \Rightarrow 'b$) \longleftrightarrow ($\forall x. \exists y. g x = f x + y$)
⟨*proof*⟩

lemma *adds-lcs-fun*:
 shows *s adds* (*lcs s* ($t::'a \Rightarrow 'b$))
⟨*proof*⟩

lemma *lcs-comm-fun*: *lcs s t* = *lcs t* ($s::'a \Rightarrow 'b$)
⟨*proof*⟩

lemma *lcs-adds-fun*:
 assumes *s adds u* **and** *t adds* ($u::'a \Rightarrow 'b$)
 shows (*lcs s t*) *adds u*
⟨*proof*⟩

instance
⟨*proof*⟩

end

lemma *leq-lcs-fun-1*: $s \leq$ (*lcs s* ($t::'a \Rightarrow 'b::\text{add-linorder}$))
⟨*proof*⟩

lemma *leq-lcs-fun-2*: $t \leq$ (*lcs s* ($t::'a \Rightarrow 'b::\text{add-linorder}$))

<proof>

lemma *lcs-leq-fun*:

assumes $s \leq u$ **and** $t \leq (u::'a \Rightarrow 'b::\text{add-linorder})$

shows $(\text{lcs } s \ t) \leq u$

<proof>

lemma *adds-fun*: $s \text{ adds } t \iff s \leq t$

for $s \ t::'a \Rightarrow 'b::\text{add-linorder-min}$

<proof>

lemma *gcs-fun*: $\text{gcs } s \ (t::'a \Rightarrow ('b::\text{add-linorder})) = (\lambda x. \text{min } (s \ x) \ (t \ x))$

<proof>

lemma *gcs-leq-fun-1*: $(\text{gcs } s \ (t::'a \Rightarrow 'b::\text{add-linorder})) \leq s$

<proof>

lemma *gcs-leq-fun-2*: $(\text{gcs } s \ (t::'a \Rightarrow 'b::\text{add-linorder})) \leq t$

<proof>

lemma *leq-gcs-fun*:

assumes $u \leq s$ **and** $u \leq (t::'a \Rightarrow 'b::\text{add-linorder})$

shows $u \leq (\text{gcs } s \ t)$

<proof>

6.7.4 $'a \Rightarrow 'b$ belongs to class *ulcs-powerprod*

instance *fun* :: $(\text{type}, \text{add-linorder-min}) \text{ ulcs-powerprod}$ *<proof>*

6.7.5 Power-products in a given set of indeterminates

definition *supp-fun*:: $('a \Rightarrow 'b::\text{zero}) \Rightarrow 'a$ set **where** $\text{supp-fun } f = \{x. f \ x \neq 0\}$

supp-fun for general functions is like *keys* for *poly-mapping*, but does not need to be finite.

lemma *keys-eq-supp*: $\text{keys } s = \text{supp-fun } (\text{lookup } s)$

<proof>

lemma *supp-fun-zero* [*simp*]: $\text{supp-fun } 0 = \{\}$

<proof>

lemma *supp-fun-eq-zero-iff*: $\text{supp-fun } f = \{\} \iff f = 0$

<proof>

lemma *sub-supp-empty*: $\text{supp-fun } s \subseteq \{\} \iff (s = 0)$

<proof>

lemma *except-fun-idI*: $\text{supp-fun } f \cap V = \{\} \implies \text{except-fun } f \ V = f$

<proof>

lemma *supp-except-fun*: $\text{supp-fun } (\text{except-fun } s \ V) = \text{supp-fun } s - V$
 ⟨proof⟩

lemma *supp-fun-plus-subset*: $\text{supp-fun } (s + t) \subseteq \text{supp-fun } s \cup \text{supp-fun } (t::'a \Rightarrow 'b::\text{monoid-add})$
 ⟨proof⟩

lemma *fun-eq-zeroI*:
 assumes $\bigwedge x. x \in \text{supp-fun } f \implies f \ x = 0$
 shows $f = 0$
 ⟨proof⟩

lemma *except-fun-cong1*:
 $\text{supp-fun } s \cap ((V - U) \cup (U - V)) \subseteq \{\}$ $\implies \text{except-fun } s \ V = \text{except-fun } s \ U$
 ⟨proof⟩

lemma *adds-except-fun*:
 $s \ \text{adds } t = (\text{except-fun } s \ V \ \text{adds } \text{except-fun } t \ V \ \wedge \ \text{except-fun } s \ (-V) \ \text{adds } \text{except-fun } t \ (-V))$
 for $s \ t :: 'a \Rightarrow 'b::\text{add-linorder}$
 ⟨proof⟩

lemma *adds-except-fun-singleton*: $s \ \text{adds } t = (\text{except-fun } s \ \{v\} \ \text{adds } \text{except-fun } t \ \{v\} \ \wedge \ s \ v \ \text{adds } t \ v)$
 for $s \ t :: 'a \Rightarrow 'b::\text{add-linorder}$
 ⟨proof⟩

6.7.6 Dickson's lemma for power-products in finitely many indeterminates

lemma *Dickson-fun*:
 assumes *finite* V
 shows *almost-full-on* (*adds*) $\{x::'a \Rightarrow 'b::\text{add-wellorder}. \text{supp-fun } x \subseteq V\}$
 ⟨proof⟩

instance *fun* :: (*finite*, *add-wellorder*) *dickson-powerprod*
 ⟨proof⟩

6.7.7 Lexicographic Term Order

Term orders are certain linear orders on power-products, satisfying additional requirements. Further information on term orders can be found, e. g., in [4].

context *wellorder*
begin

lemma *neq-fun-alt*:
 assumes $s \neq (t::'a \Rightarrow 'b)$
 obtains x where $s \ x \neq t \ x$ and $\bigwedge y. s \ y \neq t \ y \implies x \leq y$

<proof>

definition *lex-fun*::('a ⇒ 'b) ⇒ ('a ⇒ 'b::order) ⇒ bool **where**
lex-fun s t ≡ (∀ x. s x ≤ t x ∨ (∃ y < x. s y ≠ t y))

definition *lex-fun-strict s t* ↔ *lex-fun s t* ∧ ¬ *lex-fun t s*

Attention! *lex-fun* reverses the order of the indeterminates: if x is smaller than y w.r.t. the order on $'a$, then the *power-product* x is *greater* than the *power-product* y .

lemma *lex-fun-alt*:

shows *lex-fun s t* = ($s = t$ ∨ (∃ x. s x < t x ∧ (∀ y < x. s y = t y))) (is ?L = ?R)

<proof>

lemma *lex-fun-refl*: *lex-fun s s*

<proof>

lemma *lex-fun-antisym*:

assumes *lex-fun s t* **and** *lex-fun t s*

shows $s = t$

<proof>

lemma *lex-fun-trans*:

assumes *lex-fun s t* **and** *lex-fun t u*

shows *lex-fun s u*

<proof>

lemma *lex-fun-lin*: *lex-fun s t* ∨ *lex-fun t s* **for** $s t::'a ⇒ 'b::\{\text{ordered-comm-monoid-add, linorder}\}$

<proof>

corollary *lex-fun-strict-alt* [code]:

lex-fun-strict s t = (¬ *lex-fun t s*) **for** $s t::'a ⇒ 'b::\{\text{ordered-comm-monoid-add, linorder}\}$

<proof>

lemma *lex-fun-zero-min*: *lex-fun 0 s* **for** $s::'a ⇒ 'b::\text{add-linorder-min}$

<proof>

lemma *lex-fun-plus-monotone*:

lex-fun (s + u) (t + u) **if** *lex-fun s t*

for $s t::'a ⇒ 'b::\text{ordered-cancel-comm-monoid-add}$

<proof>

end

6.7.8 Degree

definition *deg-fun*::('a ⇒ 'b::comm-monoid-add) ⇒ 'b **where** *deg-fun* s ≡ ∑ x∈(supp-fun s). s x

lemma *deg-fun-zero[simp]*: *deg-fun* 0 = 0
 ⟨proof⟩

lemma *deg-fun-eq-0-iff*:
assumes *finite* (supp-fun (s::'a ⇒ 'b::add-linorder-min))
shows *deg-fun* s = 0 ↔ s = 0
 ⟨proof⟩

lemma *deg-fun-superset*:
fixes A::'a set
assumes *supp-fun* s ⊆ A **and** *finite* A
shows *deg-fun* s = (∑ x∈A. s x)
 ⟨proof⟩

lemma *deg-fun-plus*:
assumes *finite* (supp-fun s) **and** *finite* (supp-fun t)
shows *deg-fun* (s + t) = *deg-fun* s + *deg-fun* (t::'a ⇒ 'b::comm-monoid-add)
 ⟨proof⟩

lemma *deg-fun-leq*:
assumes *finite* (supp-fun s) **and** *finite* (supp-fun t) **and** s ≤ (t::'a ⇒ 'b::ordered-comm-monoid-add)
shows *deg-fun* s ≤ *deg-fun* t
 ⟨proof⟩

6.7.9 General Degree-Orders

context *linorder*
begin

lemma *ex-min*:
assumes *finite* (A::'a set) **and** A ≠ {}
shows ∃ y∈A. (∀ z∈A. y ≤ z)
 ⟨proof⟩

definition *dord-fun*::(('a ⇒ 'b::ordered-comm-monoid-add) ⇒ ('a ⇒ 'b) ⇒ bool)
 ⇒ ('a ⇒ 'b) ⇒ ('a ⇒ 'b) ⇒ bool
where *dord-fun* ord s t ≡ (let d1 = *deg-fun* s; d2 = *deg-fun* t in (d1 < d2 ∨ (d1 = d2 ∧ ord s t)))

lemma *dord-fun-degD*:
assumes *dord-fun* ord s t
shows *deg-fun* s ≤ *deg-fun* t
 ⟨proof⟩

lemma *dord-fun-refl*:

assumes *ord s s*
shows *dord-fun ord s s*
 ⟨*proof*⟩

lemma *dord-fun-antisym*:
assumes *ord-antisym: ord s t \implies ord t s \implies s = t* **and** *dord-fun ord s t* **and**
dord-fun ord t s
shows *s = t*
 ⟨*proof*⟩

lemma *dord-fun-trans*:
assumes *ord-trans: ord s t \implies ord t u \implies ord s u* **and** *dord-fun ord s t* **and**
dord-fun ord t u
shows *dord-fun ord s u*
 ⟨*proof*⟩

lemma *dord-fun-lin*:
dord-fun ord s t \vee dord-fun ord t s
if *ord s t \vee ord t s*
for *s t::'a \Rightarrow 'b::{ordered-comm-monoid-add, linorder}*
 ⟨*proof*⟩

lemma *dord-fun-zero-min*:
fixes *s t::'a \Rightarrow 'b::add-linorder-min*
assumes *ord-refl: $\bigwedge t. ord t t$* **and** *finite (supp-fun s)*
shows *dord-fun ord 0 s*
 ⟨*proof*⟩

lemma *dord-fun-plus-monotone*:
fixes *s t u::'a \Rightarrow 'b::{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le}*
assumes *ord-monotone: ord s t \implies ord (s + u) (t + u)* **and** *finite (supp-fun s)*
and *finite (supp-fun t)* **and** *finite (supp-fun u)* **and** *dord-fun ord s t*
shows *dord-fun ord (s + u) (t + u)*
 ⟨*proof*⟩

end

context *wellorder*
begin

6.7.10 Degree-Lexicographic Term Order

definition *dlex-fun::('a \Rightarrow 'b::ordered-comm-monoid-add) \Rightarrow ('a \Rightarrow 'b) \Rightarrow bool*
where *dlex-fun \equiv dord-fun lex-fun*

definition *dlex-fun-strict s t \longleftrightarrow dlex-fun s t \wedge \neg dlex-fun t s*

lemma *dlex-fun-refl*:
shows *dlex-fun s s*

<proof>

lemma *dlex-fun-antisym:*

assumes *dlex-fun s t* **and** *dlex-fun t s*
shows $s = t$
<proof>

lemma *dlex-fun-trans:*

assumes *dlex-fun s t* **and** *dlex-fun t u*
shows *dlex-fun s u*
<proof>

lemma *dlex-fun-lin:* $dlex-fun s t \vee dlex-fun t s$

for $s t :: ('a \Rightarrow 'b :: \{ordered-comm-monoid-add, linorder\})$
<proof>

corollary *dlex-fun-strict-alt* [code]:

dlex-fun-strict s t = ($\neg dlex-fun t s$) **for** $s t :: 'a \Rightarrow 'b :: \{ordered-comm-monoid-add, linorder\}$
<proof>

lemma *dlex-fun-zero-min:*

fixes $s t :: ('a \Rightarrow 'b :: add-linorder-min)$
assumes *finite (supp-fun s)*
shows *dlex-fun 0 s*
<proof>

lemma *dlex-fun-plus-monotone:*

fixes $s t u :: 'a \Rightarrow 'b :: \{ordered-cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le\}$
assumes *finite (supp-fun s)* **and** *finite (supp-fun t)* **and** *finite (supp-fun u)* **and**
dlex-fun s t
shows *dlex-fun (s + u) (t + u)*
<proof>

6.7.11 Degree-Reverse-Lexicographic Term Order

abbreviation *rlex-fun* :: $('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b :: order) \Rightarrow bool$ **where**

rlex-fun s t $\equiv lex-fun t s$

Note that *rlex-fun* is not precisely the reverse-lexicographic order relation on power-products. Normally, the *last* (i. e. highest) indeterminate whose exponent differs in the two power-products to be compared is taken, but since we do not require the domain to be finite, there might not be such a last indeterminate. Therefore, we simply take the converse of *lex-fun*.

definition *drlex-fun* :: $('a \Rightarrow 'b :: ordered-comm-monoid-add) \Rightarrow ('a \Rightarrow 'b) \Rightarrow bool$
where *drlex-fun* $\equiv dord-fun rlex-fun$

definition *drlex-fun-strict s t* $\longleftrightarrow drlex-fun s t \wedge \neg drlex-fun t s$

lemma *drlex-fun-refl*:
shows *drlex-fun s s*
 ⟨*proof*⟩

lemma *drlex-fun-antisym*:
assumes *drlex-fun s t* **and** *drlex-fun t s*
shows $s = t$
 ⟨*proof*⟩

lemma *drlex-fun-trans*:
assumes *drlex-fun s t* **and** *drlex-fun t u*
shows *drlex-fun s u*
 ⟨*proof*⟩

lemma *drlex-fun-lin*: $drlex-fun s t \vee drlex-fun t s$
for $s t :: ('a \Rightarrow 'b :: \{ordered-comm-monoid-add, linorder\})$
 ⟨*proof*⟩

corollary *drlex-fun-strict-alt* [*code*]:
drlex-fun-strict s t = $(\neg drlex-fun t s)$ **for** $s t :: ('a \Rightarrow 'b :: \{ordered-comm-monoid-add, linorder\})$
 ⟨*proof*⟩

lemma *drlex-fun-zero-min*:
fixes $s t :: ('a \Rightarrow 'b :: add-linorder-min)$
assumes *finite (supp-fun s)*
shows *drlex-fun 0 s*
 ⟨*proof*⟩

lemma *drlex-fun-plus-monotone*:
fixes $s t u :: ('a \Rightarrow 'b :: \{ordered-cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le\})$
assumes *finite (supp-fun s)* **and** *finite (supp-fun t)* **and** *finite (supp-fun u)* **and**
drlex-fun s t
shows *drlex-fun (s + u) (t + u)*
 ⟨*proof*⟩

end

Every finite linear ordering is also a well-ordering. This fact is particularly useful when working with fixed finite sets of indeterminates.

class *finite-linorder* = *finite* + *linorder*
begin

subclass *wellorder*
 ⟨*proof*⟩

end

6.8 Type *poly-mapping*

lemma *poly-mapping-eq-zeroI*:
 assumes $keys\ s = \{\}$
 shows $s = (0::('a, 'b::zero)\ poly-mapping)$
 <proof>

lemma *keys-plus-ninv-comm-monoid-add*: $keys\ (s + t) = keys\ s \cup keys\ (t::'a \Rightarrow_0 'b::ninv-comm-monoid-add)$
 <proof>

lemma *lookup-zero-fun*: $lookup\ 0 = 0$
 <proof>

lemma *lookup-plus-fun*: $lookup\ (s + t) = lookup\ s + lookup\ t$
 <proof>

lemma *lookup-uminus-fun*: $lookup\ (-\ s) = -\ lookup\ s$
 <proof>

lemma *lookup-minus-fun*: $lookup\ (s - t) = lookup\ s - lookup\ t$
 <proof>

lemma *poly-mapping-adds-iff*: $s\ adds\ t \iff lookup\ s\ adds\ lookup\ t$
 <proof>

6.8.1 $'a \Rightarrow_0 'b$ belongs to class *comm-powerprod*

instance *poly-mapping* :: $(type,\ cancel-comm-monoid-add)\ comm-powerprod$
 <proof>

6.8.2 $'a \Rightarrow_0 'b$ belongs to class *ninv-comm-monoid-add*

instance *poly-mapping* :: $(type,\ ninv-comm-monoid-add)\ ninv-comm-monoid-add$
 <proof>

6.8.3 $'a \Rightarrow_0 'b$ belongs to class *lcs-powerprod*

instantiation *poly-mapping* :: $(type,\ add-linorder)\ lcs-powerprod$
begin

lift-definition *lcs-poly-mapping*:: $('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)$ **is** $\lambda s\ t.$
 $\lambda x.\ max\ (s\ x)\ (t\ x)$
 <proof>

lemma *adds-poly-mappingI*:
 assumes $lookup\ s \leq lookup\ (t::'a \Rightarrow_0 'b)$
 shows $s\ adds\ t$
 <proof>

lemma *lookup-lcs-fun*: $\text{lookup } (lcs \ s \ t) = lcs \ (\text{lookup } \ s) \ (\text{lookup } (t :: 'a \Rightarrow_0 \ 'b))$
 <proof>

instance
 <proof>

end

lemma *adds-poly-mapping*: $s \ \text{adds} \ t \iff \text{lookup } s \leq \text{lookup } t$
for $s \ t :: 'a \Rightarrow_0 \ 'b :: \text{add-linorder-min}$
 <proof>

lemma *lookup-gcs-fun*: $\text{lookup } (gcs \ s \ (t :: 'a \Rightarrow_0 \ ('b :: \text{add-linorder}))) = gcs \ (\text{lookup } s) \ (\text{lookup } t)$
 <proof>

6.8.4 $'a \Rightarrow_0 \ 'b$ belongs to class *ulcs-powerprod*

instance *poly-mapping* :: $(\text{type}, \text{add-linorder-min}) \ \text{ulcs-powerprod}$ <proof>

6.8.5 Power-products in a given set of indeterminates.

lemma *adds-except*:
 $s \ \text{adds} \ t = (\text{except } s \ V \ \text{adds} \ \text{except } t \ V \wedge \text{except } s \ (- \ V) \ \text{adds} \ \text{except } t \ (- \ V))$
for $s \ t :: 'a \Rightarrow_0 \ 'b :: \text{add-linorder}$
 <proof>

lemma *adds-except-singleton*:
 $s \ \text{adds} \ t \iff (\text{except } s \ \{v\} \ \text{adds} \ \text{except } t \ \{v\} \wedge \text{lookup } s \ v \ \text{adds} \ \text{lookup } t \ v)$
for $s \ t :: 'a \Rightarrow_0 \ 'b :: \text{add-linorder}$
 <proof>

6.8.6 Dickson's lemma for power-products in finitely many indeterminates

context *countable*
begin

definition *elem-index* :: $'a \Rightarrow \text{nat}$ **where** $\text{elem-index} = (\text{SOME } f. \text{inj } f)$

lemma *inj-elem-index*: $\text{inj } \text{elem-index}$
 <proof>

lemma *elem-index-inj*:
assumes $\text{elem-index } x = \text{elem-index } y$
shows $x = y$
 <proof>

lemma *finite-nat-seg*: $\text{finite } \{x. \text{elem-index } x < n\}$
 <proof>

end

lemma *Dickson-poly-mapping*:

assumes *finite V*

shows *almost-full-on (adds) {x::'a \Rightarrow_0 'b::add-wellorder. keys x \subseteq V}*

<proof>

definition *varnum* :: *'x set \Rightarrow ('x::countable \Rightarrow_0 'b::zero) \Rightarrow nat*

where *varnum X t = (if keys t - X = {} then 0 else Suc (Max (elem-index ' (keys t - X))))*

lemma *elem-index-less-varnum*:

assumes *x \in keys t*

obtains *x \in X | elem-index x < varnum X t*

<proof>

lemma *varnum-plus*:

varnum X (s + t) = max (varnum X s) (varnum X (t::'x::countable \Rightarrow_0 'b::ninv-comm-monoid-add))

<proof>

lemma *dickson-grading-varnum*:

assumes *finite X*

shows *dickson-grading ((varnum X)::('x::countable \Rightarrow_0 'b::add-wellorder) \Rightarrow nat)*

<proof>

corollary *dickson-grading-varnum-empty*:

dickson-grading ((varnum {})::(- \Rightarrow_0 -:add-wellorder) \Rightarrow nat)

<proof>

lemma *varnum-le-iff*: *varnum X t \leq n \longleftrightarrow keys t \subseteq X \cup {x. elem-index x < n}*

<proof>

lemma *varnum-zero [simp]*: *varnum X 0 = 0*

<proof>

lemma *varnum-empty-eq-zero-iff*: *varnum {} t = 0 \longleftrightarrow t = 0*

<proof>

instance *poly-mapping* :: *(countable, add-wellorder) graded-dickson-powerprod*

<proof>

instance *poly-mapping* :: *(finite, add-wellorder) dickson-powerprod*

<proof>

6.8.7 Lexicographic Term Order

definition *lex-pm* :: *('a \Rightarrow_0 'b) \Rightarrow ('a::linorder \Rightarrow_0 'b::{zero,linorder}) \Rightarrow bool*

where *lex-pm = (\leq)*

definition $lex\text{-}pm\text{-}strict :: ('a \Rightarrow_0 'b) \Rightarrow ('a::linorder \Rightarrow_0 'b::\{zero,linorder\}) \Rightarrow bool$

where $lex\text{-}pm\text{-}strict = (<)$

lemma $lex\text{-}pm\text{-}alt$: $lex\text{-}pm\ s\ t = (s = t \vee (\exists x. lookup\ s\ x < lookup\ t\ x \wedge (\forall y < x. lookup\ s\ y = lookup\ t\ y)))$

$\langle proof \rangle$

lemma $lex\text{-}pm\text{-}refl$: $lex\text{-}pm\ s\ s$

$\langle proof \rangle$

lemma $lex\text{-}pm\text{-}antisym$: $lex\text{-}pm\ s\ t \Longrightarrow lex\text{-}pm\ t\ s \Longrightarrow s = t$

$\langle proof \rangle$

lemma $lex\text{-}pm\text{-}trans$: $lex\text{-}pm\ s\ t \Longrightarrow lex\text{-}pm\ t\ u \Longrightarrow lex\text{-}pm\ s\ u$

$\langle proof \rangle$

lemma $lex\text{-}pm\text{-}lin$: $lex\text{-}pm\ s\ t \vee lex\text{-}pm\ t\ s$

$\langle proof \rangle$

corollary $lex\text{-}pm\text{-}strict\text{-}alt$ [code]: $lex\text{-}pm\text{-}strict\ s\ t = (\neg lex\text{-}pm\ t\ s)$

$\langle proof \rangle$

lemma $lex\text{-}pm\text{-}zero\text{-}min$: $lex\text{-}pm\ 0\ s$ **for** $s::-\Rightarrow_0$ $-::add\text{-}linorder\text{-}min$

$\langle proof \rangle$

lemma $lex\text{-}pm\text{-}plus\text{-}monotone$: $lex\text{-}pm\ s\ t \Longrightarrow lex\text{-}pm\ (s + u)\ (t + u)$

for $s\ t::-\Rightarrow_0$ $-::\{ordered\text{-}comm\text{-}monoid\text{-}add, ordered\text{-}ab\text{-}semigroup\text{-}add\text{-}imp\text{-}le\}$

$\langle proof \rangle$

6.8.8 Degree

lift-definition $deg\text{-}pm::('a \Rightarrow_0 'b::comm\text{-}monoid\text{-}add) \Rightarrow 'b$ **is** $deg\text{-}fun$ $\langle proof \rangle$

lemma $deg\text{-}pm\text{-}zero$ [simp]: $deg\text{-}pm\ 0 = 0$

$\langle proof \rangle$

lemma $deg\text{-}pm\text{-}eq\text{-}0\text{-}iff$ [simp]: $deg\text{-}pm\ s = 0 \longleftrightarrow s = 0$ **for** $s::'a \Rightarrow_0 'b::add\text{-}linorder\text{-}min$

$\langle proof \rangle$

lemma $deg\text{-}pm\text{-}superset$:

assumes $keys\ s \subseteq A$ **and** $finite\ A$

shows $deg\text{-}pm\ s = (\sum_{x \in A}. lookup\ s\ x)$

$\langle proof \rangle$

lemma $deg\text{-}pm\text{-}plus$: $deg\text{-}pm\ (s + t) = deg\text{-}pm\ s + deg\text{-}pm\ (t::'a \Rightarrow_0 'b::comm\text{-}monoid\text{-}add)$

$\langle proof \rangle$

lemma *deg-pm-single*: *deg-pm (Poly-Mapping.single x k) = k*
 ⟨*proof*⟩

6.8.9 General Degree-Orders

context *linorder*
begin

lift-definition *dord-pm*::(*'a* \Rightarrow_0 *'b*::*ordered-comm-monoid-add*) \Rightarrow (*'a* \Rightarrow_0 *'b*) \Rightarrow *bool* \Rightarrow (*'a* \Rightarrow_0 *'b*) \Rightarrow (*'a* \Rightarrow_0 *'b*) \Rightarrow *bool*
is *dord-fun* ⟨*proof*⟩

lemma *dord-pm-alt*: *dord-pm ord = ($\lambda x y. \text{deg-pm } x < \text{deg-pm } y \vee (\text{deg-pm } x = \text{deg-pm } y \wedge \text{ord } x y)$)*
 ⟨*proof*⟩

lemma *dord-pm-degD*:
assumes *dord-pm ord s t*
shows *deg-pm s \leq deg-pm t*
 ⟨*proof*⟩

lemma *dord-pm-refl*:
assumes *ord s s*
shows *dord-pm ord s s*
 ⟨*proof*⟩

lemma *dord-pm-antisym*:
assumes *ord s t \implies ord t s \implies s = t* **and** *dord-pm ord s t* **and** *dord-pm ord t s*
shows *s = t*
 ⟨*proof*⟩

lemma *dord-pm-trans*:
assumes *ord s t \implies ord t u \implies ord s u* **and** *dord-pm ord s t* **and** *dord-pm ord t u*
shows *dord-pm ord s u*
 ⟨*proof*⟩

lemma *dord-pm-lin*:
dord-pm ord s t \vee dord-pm ord t s
if *ord s t \vee ord t s*
for *s t::'a \Rightarrow_0 'b::{ordered-comm-monoid-add, linorder}*
 ⟨*proof*⟩

lemma *dord-pm-zero-min*: *dord-pm ord 0 s*
if *ord-refl: $\bigwedge t. \text{ord } t t$*
for *s t::'a \Rightarrow_0 'b::add-linorder-min*
 ⟨*proof*⟩

lemma *dord-pm-plus-monotone*:
fixes $s\ t\ u :: 'a \Rightarrow_0 'b :: \{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le\}$
assumes $ord\ s\ t \implies ord\ (s + u)\ (t + u)$ **and** $dord-pm\ ord\ s\ t$
shows $dord-pm\ ord\ (s + u)\ (t + u)$
 $\langle proof \rangle$

end

6.8.10 Degree-Lexicographic Term Order

definition $dlex-pm :: ('a :: linorder \Rightarrow_0 'b :: \{ordered-comm-monoid-add, linorder\}) \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow bool$
where $dlex-pm \equiv dord-pm\ lex-pm$

definition $dlex-pm-strict\ s\ t \longleftrightarrow dlex-pm\ s\ t \wedge \neg dlex-pm\ t\ s$

lemma *dlex-pm-refl*: $dlex-pm\ s\ s$
 $\langle proof \rangle$

lemma *dlex-pm-antisym*: $dlex-pm\ s\ t \implies dlex-pm\ t\ s \implies s = t$
 $\langle proof \rangle$

lemma *dlex-pm-trans*: $dlex-pm\ s\ t \implies dlex-pm\ t\ u \implies dlex-pm\ s\ u$
 $\langle proof \rangle$

lemma *dlex-pm-lin*: $dlex-pm\ s\ t \vee dlex-pm\ t\ s$
 $\langle proof \rangle$

corollary *dlex-pm-strict-alt* [code]: $dlex-pm-strict\ s\ t = (\neg dlex-pm\ t\ s)$
 $\langle proof \rangle$

lemma *dlex-pm-zero-min*: $dlex-pm\ 0\ s$
for $s\ t :: (- \Rightarrow_0 - :: add-linorder-min)$
 $\langle proof \rangle$

lemma *dlex-pm-plus-monotone*: $dlex-pm\ s\ t \implies dlex-pm\ (s + u)\ (t + u)$
for $s\ t :: - \Rightarrow_0 - :: \{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add\}$
 $\langle proof \rangle$

6.8.11 Degree-Reverse-Lexicographic Term Order

definition $drlex-pm :: ('a :: linorder \Rightarrow_0 'b :: \{ordered-comm-monoid-add, linorder\}) \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow bool$
where $drlex-pm \equiv dord-pm\ (\lambda s\ t. lex-pm\ t\ s)$

definition $drlex-pm-strict\ s\ t \longleftrightarrow drlex-pm\ s\ t \wedge \neg drlex-pm\ t\ s$

lemma *drlex-pm-refl*: $drlex-pm\ s\ s$
 $\langle proof \rangle$

lemma *drlex-pm-antisym*: $drlex-pm\ s\ t \implies drlex-pm\ t\ s \implies s = t$
<proof>

lemma *drlex-pm-trans*: $drlex-pm\ s\ t \implies drlex-pm\ t\ u \implies drlex-pm\ s\ u$
<proof>

lemma *drlex-pm-lin*: $drlex-pm\ s\ t \vee drlex-pm\ t\ s$
<proof>

corollary *drlex-pm-strict-alt* [code]: $drlex-pm-strict\ s\ t = (\neg\ drlex-pm\ t\ s)$
<proof>

lemma *drlex-pm-zero-min*: $drlex-pm\ 0\ s$
for $s\ t :: (- \Rightarrow_0\ - :: add-linorder-min)$
<proof>

lemma *drlex-pm-plus-monotone*: $drlex-pm\ s\ t \implies drlex-pm\ (s + u)\ (t + u)$
for $s\ t :: - \Rightarrow_0\ - :: \{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add\}$
<proof>

end

theory *More-Modules*
imports *HOL.Modules*
begin

More facts about modules.

7 Modules over Commutative Rings

context *module*
begin

lemma *scale-minus-both* [simp]: $(- a) * s (- x) = a * s x$
<proof>

7.1 Submodules Spanned by Sets of Module-Elements

lemma *span-insertI*:
assumes $p \in span\ B$
shows $p \in span\ (insert\ r\ B)$
<proof>

lemma *span-insertD*:
assumes $p \in span\ (insert\ r\ B)$ **and** $r \in span\ B$
shows $p \in span\ B$
<proof>

lemma *span-insert-idI*:

assumes $r \in \text{span } B$

shows $\text{span } (\text{insert } r \ B) = \text{span } B$

<proof>

lemma *span-insert-zero*: $\text{span } (\text{insert } 0 \ B) = \text{span } B$

<proof>

lemma *span-Diff-zero*: $\text{span } (B - \{0\}) = \text{span } B$

<proof>

lemma *span-insert-subset*:

assumes $\text{span } A \subseteq \text{span } B$ **and** $r \in \text{span } B$

shows $\text{span } (\text{insert } r \ A) \subseteq \text{span } B$

<proof>

lemma *replace-span*:

assumes $q \in \text{span } B$

shows $\text{span } (\text{insert } q \ (B - \{p\})) \subseteq \text{span } B$

<proof>

lemma *sum-in-spanI*: $(\sum b \in B. q \ b \ *s \ b) \in \text{span } B$

<proof>

lemma *span-closed-sum-list*: $(\bigwedge x. x \in \text{set } xs \implies x \in \text{span } B) \implies \text{sum-list } xs \in \text{span } B$

<proof>

lemma *spanE*:

assumes $p \in \text{span } B$

obtains $A \ q$ **where** *finite* A **and** $A \subseteq B$ **and** $p = (\sum b \in A. (q \ b) \ *s \ b)$

<proof>

lemma *span-finite-subset*:

assumes $p \in \text{span } B$

obtains A **where** *finite* A **and** $A \subseteq B$ **and** $p \in \text{span } A$

<proof>

lemma *span-finiteE*:

assumes *finite* B **and** $p \in \text{span } B$

obtains q **where** $p = (\sum b \in B. (q \ b) \ *s \ b)$

<proof>

lemma *span-subset-spanI*:

assumes $A \subseteq \text{span } B$

shows $\text{span } A \subseteq \text{span } B$

<proof>

lemma *span-insert-cong*:

assumes $\text{span } A = \text{span } B$
shows $\text{span } (\text{insert } p \ A) = \text{span } (\text{insert } p \ B)$ (**is** $?l = ?r$)
 $\langle \text{proof} \rangle$

lemma *span-induct'* [*consumes 1, case-names base step*]:
assumes $p \in \text{span } B$ **and** $P \ 0$
and $\bigwedge a \ q \ p. a \in \text{span } B \implies P \ a \implies p \in B \implies q \neq 0 \implies P \ (a + q *s p)$
shows $P \ p$
 $\langle \text{proof} \rangle$

lemma *span-INT-subset*: $\text{span } (\bigcap a \in A. f \ a) \subseteq (\bigcap a \in A. \text{span } (f \ a))$ (**is** $?l \subseteq ?r$)
 $\langle \text{proof} \rangle$

lemma *span-INT*: $\text{span } (\bigcap a \in A. \text{span } (f \ a)) = (\bigcap a \in A. \text{span } (f \ a))$ (**is** $?l = ?r$)
 $\langle \text{proof} \rangle$

lemma *span-Int-subset*: $\text{span } (A \cap B) \subseteq \text{span } A \cap \text{span } B$
 $\langle \text{proof} \rangle$

lemma *span-Int*: $\text{span } (\text{span } A \cap \text{span } B) = \text{span } A \cap \text{span } B$
 $\langle \text{proof} \rangle$

lemma *span-image-scale-eq-image-scale*: $\text{span } ((*s) \ q \ ' F) = (*s) \ q \ ' \text{span } F$ (**is** $?A = ?B$)
 $\langle \text{proof} \rangle$

end

8 Ideals over Commutative Rings

lemma *module-times*: *module* $(*)$
 $\langle \text{proof} \rangle$

interpretation *ideal*: *module times*
 $\langle \text{proof} \rangle$

declare *ideal.scale-scale*[*simp del*]

abbreviation *ideal* \equiv *ideal.span*

lemma *ideal-eq-UNIV-iff-contains-one*: *ideal* $B = \text{UNIV} \longleftrightarrow 1 \in \text{ideal } B$
 $\langle \text{proof} \rangle$

lemma *ideal-eq-zero-iff* [*iff*]: *ideal* $F = \{0\} \longleftrightarrow F \subseteq \{0\}$
 $\langle \text{proof} \rangle$

lemma *ideal-field-cases*:
obtains *ideal* $B = \{0\} \mid \text{ideal } (B::'a::\text{field set}) = \text{UNIV}$
 $\langle \text{proof} \rangle$

corollary *ideal-field-disj*: $\text{ideal } B = \{0\} \vee \text{ideal } (B::'a::\text{field set}) = \text{UNIV}$
<proof>

lemma *image-ideal-subset*:
assumes $\bigwedge x y. h (x + y) = h x + h y$ and $\bigwedge x y. h (x * y) = h x * h y$
shows $h \text{ ' ideal } F \subseteq \text{ideal } (h \text{ ' } F)$
<proof>

lemma *image-ideal-eq-surj*:
assumes $\bigwedge x y. h (x + y) = h x + h y$ and $\bigwedge x y. h (x * y) = h x * h y$ and
surj h
shows $h \text{ ' ideal } B = \text{ideal } (h \text{ ' } B)$
<proof>

context
fixes $h :: 'a \Rightarrow 'a::\text{comm-ring-1}$
assumes *h-plus*: $h (x + y) = h x + h y$
assumes *h-times*: $h (x * y) = h x * h y$
assumes *h-idem*: $h (h x) = h x$
begin

lemma *in-idealE-homomorphism-finite*:
assumes *finite* B and $B \subseteq \text{range } h$ and $p \in \text{range } h$ and $p \in \text{ideal } B$
obtains q where $\bigwedge b. q b \in \text{range } h$ and $p = (\sum b \in B. q b * b)$
<proof>

corollary *in-idealE-homomorphism*:
assumes $B \subseteq \text{range } h$ and $p \in \text{range } h$ and $p \in \text{ideal } B$
obtains $A q$ where *finite* A and $A \subseteq B$ and $\bigwedge b. q b \in \text{range } h$ and $p =$
 $(\sum b \in A. q b * b)$
<proof>

lemma *ideal-induct-homomorphism* [*consumes 3, case-names 0 plus*]:
assumes $B \subseteq \text{range } h$ and $p \in \text{range } h$ and $p \in \text{ideal } B$
assumes $P 0$ and $\bigwedge c b a. c \in \text{range } h \implies b \in B \implies P a \implies a \in \text{range } h \implies$
 $P (c * b + a)$
shows $P p$
<proof>

lemma *image-ideal-eq-Int*: $h \text{ ' ideal } B = \text{ideal } (h \text{ ' } B) \cap \text{range } h$
<proof>

end

end

9 Type-Class-Multivariate Polynomials

theory *MPoly-Type-Class*

imports

Utils

Power-Products

More-Modules

begin

This theory views $'a \Rightarrow_0 'b$ as multivariate polynomials, where type class constraints on $'a$ ensure that $'a$ represents something like monomials.

lemma *when-distrib*: $f (a \text{ when } b) = (f a \text{ when } b)$ **if** $\neg b \implies f 0 = 0$
 $\langle \text{proof} \rangle$

definition *mapp-2* :: $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a \Rightarrow_0 'b::\text{zero}) \Rightarrow ('a \Rightarrow_0 'c::\text{zero}) \Rightarrow ('a \Rightarrow_0 'd::\text{zero})$

where *mapp-2* $f p q = \text{Abs-poly-mapping } (\lambda k. f k (\text{lookup } p k) (\text{lookup } q k)) \text{ when } k \in \text{keys } p \cup \text{keys } q$

lemma *lookup-mapp-2*:

$\text{lookup } (\text{mapp-2 } f p q) k = (f k (\text{lookup } p k) (\text{lookup } q k)) \text{ when } k \in \text{keys } p \cup \text{keys } q$
 $\langle \text{proof} \rangle$

lemma *lookup-mapp-2-homogenous*:

assumes $f k 0 0 = 0$

shows $\text{lookup } (\text{mapp-2 } f p q) k = f k (\text{lookup } p k) (\text{lookup } q k)$

$\langle \text{proof} \rangle$

lemma *mapp-2-cong* [*fundef-cong*]:

assumes $p = p'$ **and** $q = q'$

assumes $\bigwedge k. k \in \text{keys } p' \cup \text{keys } q' \implies f k (\text{lookup } p' k) (\text{lookup } q' k) = f' k (\text{lookup } p' k) (\text{lookup } q' k)$

shows $\text{mapp-2 } f p q = \text{mapp-2 } f' p' q'$

$\langle \text{proof} \rangle$

lemma *keys-mapp-subset*: $\text{keys } (\text{mapp-2 } f p q) \subseteq \text{keys } p \cup \text{keys } q$

$\langle \text{proof} \rangle$

lemma *mapp-2-mapp*: $\text{mapp-2 } (\lambda t a. f t) 0 p = \text{Poly-Mapping.mapp } f p$

$\langle \text{proof} \rangle$

9.1 keys

lemma *in-keys-plusI1*:

assumes $t \in \text{keys } p$ **and** $t \notin \text{keys } q$

shows $t \in \text{keys } (p + q)$

$\langle \text{proof} \rangle$

lemma *in-keys-plusI2*:

assumes $t \in \text{keys } q$ **and** $t \notin \text{keys } p$
shows $t \in \text{keys } (p + q)$
 $\langle \text{proof} \rangle$

lemma *keys-plus-eqI*:
assumes $\text{keys } p \cap \text{keys } q = \{\}$
shows $\text{keys } (p + q) = (\text{keys } p \cup \text{keys } q)$
 $\langle \text{proof} \rangle$

lemma *keys-uminus*: $\text{keys } (- p) = \text{keys } p$
 $\langle \text{proof} \rangle$

lemma *keys-minus*: $\text{keys } (p - q) \subseteq (\text{keys } p \cup \text{keys } q)$
 $\langle \text{proof} \rangle$

9.2 Monomials

abbreviation *monomial* $\equiv (\lambda c t. \text{Poly-Mapping.single } t c)$

lemma *keys-of-monomial*:
assumes $c \neq 0$
shows $\text{keys } (\text{monomial } c t) = \{t\}$
 $\langle \text{proof} \rangle$

lemma *monomial-uminus*:
shows $-\text{monomial } c s = \text{monomial } (- c) s$
 $\langle \text{proof} \rangle$

lemma *monomial-inj*:
assumes $\text{monomial } c s = \text{monomial } (d::'b::\text{zero-neq-one}) t$
shows $(c = 0 \wedge d = 0) \vee (c = d \wedge s = t)$
 $\langle \text{proof} \rangle$

definition *is-monomial* $:: ('a \Rightarrow_0 'b::\text{zero}) \Rightarrow \text{bool}$
where $\text{is-monomial } p \longleftrightarrow \text{card } (\text{keys } p) = 1$

lemma *monomial-is-monomial*:
assumes $c \neq 0$
shows $\text{is-monomial } (\text{monomial } c t)$
 $\langle \text{proof} \rangle$

lemma *is-monomial-monomial*:
assumes $\text{is-monomial } p$
obtains $c t$ **where** $c \neq 0$ **and** $p = \text{monomial } c t$
 $\langle \text{proof} \rangle$

lemma *is-monomial-uminus*: $\text{is-monomial } (-p) \longleftrightarrow \text{is-monomial } p$
 $\langle \text{proof} \rangle$

lemma *monomial-not-0*:
assumes *is-monomial p*
shows $p \neq 0$
 \langle *proof* \rangle

lemma *keys-subset-singleton-imp-monomial*:
assumes $keys\ p \subseteq \{t\}$
shows *monomial* (*lookup p t*) $t = p$
 \langle *proof* \rangle

lemma *monomial-0I*:
assumes $c = 0$
shows *monomial c t* $= 0$
 \langle *proof* \rangle

lemma *monomial-0D*:
assumes *monomial c t* $= 0$
shows $c = 0$
 \langle *proof* \rangle

corollary *monomial-0-iff*: *monomial c t* $= 0 \iff c = 0$
 \langle *proof* \rangle

lemma *lookup-times-monomial-left*: *lookup* (*monomial c t* * *p*) *s* = (*c* * *lookup p* (*s* - *t*)) *when t adds s*
for $c::'b::semiring-0$ **and** $t::'a::comm-powerprod$
 \langle *proof* \rangle

lemma *lookup-times-monomial-right*: *lookup* (*p* * *monomial c t*) *s* = (*lookup p* (*s* - *t*)) * *c* *when t adds s*
for $c::'b::semiring-0$ **and** $t::'a::comm-powerprod$
 \langle *proof* \rangle

9.3 Vector-Polynomials

From now on we consider multivariate vector-polynomials, i.e. vectors of scalar polynomials. We do this by adding a *component* to each power-product, yielding *terms*. Vector-polynomials are then again just linear combinations of terms. Note that a term is *not* the same as a vector of power-products!

We use define terms in a locale, such that later on we can interpret the locale also by ordinary power-products (without components), exploiting the canonical isomorphism between $'a$ and $'a \times unit$.

named-theorems *term-simps simplification rules for terms*

locale *term-powerprod* =
fixes *pair-of-term::'t* $\Rightarrow ('a::comm-powerprod \times 'k::linorder)$
fixes *term-of-pair::('a \times 'k)* $\Rightarrow 't$

assumes *term-pair* [term-simps]: *term-of-pair* (*pair-of-term* *v*) = *v*
assumes *pair-term* [term-simps]: *pair-of-term* (*term-of-pair* *p*) = *p*
begin

lemma *pair-of-term-injective*:
assumes *pair-of-term* *u* = *pair-of-term* *v*
shows *u* = *v*
 ⟨*proof*⟩

corollary *pair-of-term-inj*: *inj pair-of-term*
 ⟨*proof*⟩

lemma *term-of-pair-injective*:
assumes *term-of-pair* *p* = *term-of-pair* *q*
shows *p* = *q*
 ⟨*proof*⟩

corollary *term-of-pair-inj*: *inj term-of-pair*
 ⟨*proof*⟩

definition *pp-of-term* :: 't ⇒ 'a
where *pp-of-term* *v* = *fst* (*pair-of-term* *v*)

definition *component-of-term* :: 't ⇒ 'k
where *component-of-term* *v* = *snd* (*pair-of-term* *v*)

lemma *term-of-pair-pair* [term-simps]: *term-of-pair* (*pp-of-term* *v*, *component-of-term* *v*) = *v*
 ⟨*proof*⟩

lemma *pp-of-term-of-pair* [term-simps]: *pp-of-term* (*term-of-pair* (*t*, *k*)) = *t*
 ⟨*proof*⟩

lemma *component-of-term-of-pair* [term-simps]: *component-of-term* (*term-of-pair* (*t*, *k*)) = *k*
 ⟨*proof*⟩

9.3.1 Additive Structure of Terms

definition *splus* :: 'a ⇒ 't ⇒ 't (**infixl** ⊕ 75)
where *splus* *t* *v* = *term-of-pair* (*t* + *pp-of-term* *v*, *component-of-term* *v*)

definition *sminus* :: 't ⇒ 'a ⇒ 't (**infixl** ⊖ 75)
where *sminus* *v* *t* = *term-of-pair* (*pp-of-term* *v* − *t*, *component-of-term* *v*)

Note that the argument order in (⊖) is reversed compared to the order in (⊕).

definition *adds-pp* :: 'a ⇒ 't ⇒ bool (**infix** *adds_p* 50)
where *adds-pp* *t* *v* ⇔ *t* *adds pp-of-term* *v*

definition *adds-term* :: 't ⇒ 't ⇒ bool (**infix** *adds_t* 50)

where *adds-term* u v ⇔ component-of-term u = component-of-term v ∧ pp-of-term u adds pp-of-term v

lemma *pp-of-term-splus* [*term-simps*]: pp-of-term (t ⊕ v) = t + pp-of-term v
⟨proof⟩

lemma *component-of-term-splus* [*term-simps*]: component-of-term (t ⊕ v) = component-of-term v
⟨proof⟩

lemma *pp-of-term-sminus* [*term-simps*]: pp-of-term (v ⊖ t) = pp-of-term v - t
⟨proof⟩

lemma *component-of-term-sminus* [*term-simps*]: component-of-term (v ⊖ t) = component-of-term v
⟨proof⟩

lemma *splus-sminus* [*term-simps*]: (t ⊕ v) ⊖ t = v
⟨proof⟩

lemma *splus-zero* [*term-simps*]: 0 ⊕ v = v
⟨proof⟩

lemma *sminus-zero* [*term-simps*]: v ⊖ 0 = v
⟨proof⟩

lemma *splus-assoc* [*ac-simps*]: (s + t) ⊕ v = s ⊕ (t ⊕ v)
⟨proof⟩

lemma *splus-left-commute* [*ac-simps*]: s ⊕ (t ⊕ v) = t ⊕ (s ⊕ v)
⟨proof⟩

lemma *splus-right-canc* [*term-simps*]: t ⊕ v = s ⊕ v ⇔ t = s
⟨proof⟩

lemma *splus-left-canc* [*term-simps*]: t ⊕ v = t ⊕ u ⇔ v = u
⟨proof⟩

lemma *adds-ppI* [*intro?*]:

assumes v = t ⊕ u

shows t adds_p v

⟨proof⟩

lemma *adds-ppE* [*elim?*]:

assumes t adds_p v

obtains u **where** v = t ⊕ u

⟨proof⟩

lemma *adds-pp-alt*: $t \text{ adds}_p v \longleftrightarrow (\exists u. v = t \oplus u)$
<proof>

lemma *adds-pp-refl* [*term-simps*]: $(pp\text{-of-term } v) \text{ adds}_p v$
<proof>

lemma *adds-pp-trans* [*trans*]:
 assumes $s \text{ adds } t$ **and** $t \text{ adds}_p v$
 shows $s \text{ adds}_p v$
<proof>

lemma *zero-adds-pp* [*term-simps*]: $0 \text{ adds}_p v$
<proof>

lemma *adds-pp-plus*:
 assumes $t \text{ adds}_p v$
 shows $t \text{ adds}_p s \oplus v$
<proof>

lemma *adds-pp-triv* [*term-simps*]: $t \text{ adds}_p t \oplus v$
<proof>

lemma *plus-adds-pp-mono*:
 assumes $s \text{ adds } t$
 and $u \text{ adds}_p v$
 shows $s + u \text{ adds}_p t \oplus v$
<proof>

lemma *plus-adds-pp-left*:
 assumes $s + t \text{ adds}_p v$
 shows $s \text{ adds}_p v$
<proof>

lemma *plus-adds-pp-right*:
 assumes $s + t \text{ adds}_p v$
 shows $t \text{ adds}_p v$
<proof>

lemma *adds-pp-sminus*:
 assumes $t \text{ adds}_p v$
 shows $t \oplus (v \ominus t) = v$
<proof>

lemma *adds-pp-canc*: $t + s \text{ adds}_p (t \oplus v) \longleftrightarrow s \text{ adds}_p v$
<proof>

lemma *adds-pp-canc-2*: $s + t \text{ adds}_p (t \oplus v) \longleftrightarrow s \text{ adds}_p v$
<proof>

lemma *plus-adds-pp-0*:

assumes $(s + t) \text{ adds}_p v$

shows $s \text{ adds}_p (v \ominus t)$

<proof>

lemma *plus-adds-ppI-1*:

assumes $t \text{ adds}_p v$ **and** $s \text{ adds}_p (v \ominus t)$

shows $(s + t) \text{ adds}_p v$

<proof>

lemma *plus-adds-ppI-2*:

assumes $t \text{ adds}_p v$ **and** $s \text{ adds}_p (v \ominus t)$

shows $(t + s) \text{ adds}_p v$

<proof>

lemma *plus-adds-pp*: $(s + t) \text{ adds}_p v \iff (t \text{ adds}_p v \wedge s \text{ adds}_p (v \ominus t))$

<proof>

lemma *minus-splus*:

assumes $s \text{ adds } t$

shows $(t - s) \oplus v = (t \oplus v) \ominus s$

<proof>

lemma *minus-splus-sminus*:

assumes $s \text{ adds } t$ **and** $u \text{ adds}_p v$

shows $(t - s) \oplus (v \ominus u) = (t \oplus v) \ominus (s + u)$

<proof>

lemma *minus-splus-sminus-cancel*:

assumes $s \text{ adds } t$ **and** $t \text{ adds}_p v$

shows $(t - s) \oplus (v \ominus t) = v \ominus s$

<proof>

lemma *sminus-plus*:

assumes $s \text{ adds}_p v$ **and** $t \text{ adds}_p (v \ominus s)$

shows $v \ominus (s + t) = (v \ominus s) \ominus t$

<proof>

lemma *adds-termI* [*intro?*]:

assumes $v = t \oplus u$

shows $u \text{ adds}_t v$

<proof>

lemma *adds-termE* [*elim?*]:

assumes $u \text{ adds}_t v$

obtains t **where** $v = t \oplus u$

<proof>

lemma *adds-term-alt*: $u \text{ adds}_t v \iff (\exists t. v = t \oplus u)$
<proof>

lemma *adds-term-refl* [*term-simps*]: $v \text{ adds}_t v$
<proof>

lemma *adds-term-trans* [*trans*]:
assumes $u \text{ adds}_t v$ **and** $v \text{ adds}_t w$
shows $u \text{ adds}_t w$
<proof>

lemma *adds-term-splus*:
assumes $u \text{ adds}_t v$
shows $u \text{ adds}_t s \oplus v$
<proof>

lemma *adds-term-triv* [*term-simps*]: $v \text{ adds}_t t \oplus v$
<proof>

lemma *splus-adds-term-mono*:
assumes $s \text{ adds } t$
and $u \text{ adds}_t v$
shows $s \oplus u \text{ adds}_t t \oplus v$
<proof>

lemma *splus-adds-term*:
assumes $t \oplus u \text{ adds}_t v$
shows $u \text{ adds}_t v$
<proof>

lemma *adds-term-adds-pp*:
 $u \text{ adds}_t v \iff (\text{component-of-term } u = \text{component-of-term } v \wedge \text{pp-of-term } u \text{ adds}_p v)$
<proof>

lemma *adds-term-canc*: $t \oplus u \text{ adds}_t t \oplus v \iff u \text{ adds}_t v$
<proof>

lemma *adds-term-canc-2*: $s \oplus v \text{ adds}_t t \oplus v \iff s \text{ adds } t$
<proof>

lemma *splus-adds-term-0*:
assumes $t \oplus u \text{ adds}_t v$
shows $u \text{ adds}_t (v \ominus t)$
<proof>

lemma *splus-adds-termI-1*:
assumes $t \text{ adds}_p v$ **and** $u \text{ adds}_t (v \ominus t)$
shows $t \oplus u \text{ adds}_t v$

<proof>

lemma *splus-adds-term-iff*: $t \oplus u \text{ adds}_t v \iff (t \text{ adds}_p v \wedge u \text{ adds}_t (v \ominus t))$
<proof>

lemma *adds-minus-splus*:

assumes *pp-of-term u adds t*

shows $(t - \text{pp-of-term } u) \oplus u = \text{term-of-pair } (t, \text{component-of-term } u)$

<proof>

9.3.2 Projections and Conversions

lift-definition *proj-poly* :: $'k \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b::\text{zero})$

is $\lambda k p t. p (\text{term-of-pair } (t, k))$

<proof>

definition *vectorize-poly* :: $('t \Rightarrow_0 'b) \Rightarrow ('k \Rightarrow_0 ('a \Rightarrow_0 'b::\text{zero}))$

where *vectorize-poly p* = *Abs-poly-mapping* $(\lambda k. \text{proj-poly } k p)$

definition *atomize-poly* :: $('k \Rightarrow_0 ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b::\text{zero})$

where *atomize-poly p* = *Abs-poly-mapping* $(\lambda v. \text{lookup } (\text{lookup } p (\text{component-of-term } v)) (\text{pp-of-term } v))$

lemma *lookup-proj-poly*: $\text{lookup } (\text{proj-poly } k p) t = \text{lookup } p (\text{term-of-pair } (t, k))$

<proof>

lemma *lookup-vectorize-poly*: $\text{lookup } (\text{vectorize-poly } p) k = \text{proj-poly } k p$

<proof>

lemma *lookup-atomize-poly*:

$\text{lookup } (\text{atomize-poly } p) v = \text{lookup } (\text{lookup } p (\text{component-of-term } v)) (\text{pp-of-term } v)$

<proof>

lemma *keys-proj-poly*: $\text{keys } (\text{proj-poly } k p) = \text{pp-of-term } \{x \in \text{keys } p. \text{component-of-term } x = k\}$

<proof>

lemma *keys-vectorize-poly*: $\text{keys } (\text{vectorize-poly } p) = \text{component-of-term } \{ \text{keys } p$

<proof>

lemma *keys-atomize-poly*:

$\text{keys } (\text{atomize-poly } p) = (\bigcup k \in \text{keys } p. (\lambda t. \text{term-of-pair } (t, k)) \{ \text{keys } (\text{lookup } p k) \})$ (**is** $?l = ?r$)

<proof>

lemma *proj-atomize-poly* [*term-simps*]: $\text{proj-poly } k (\text{atomize-poly } p) = \text{lookup } p k$

<proof>

lemma *vectorize-atomize-poly* [*term-simps*]: $\text{vectorize-poly } (\text{atomize-poly } p) = p$
<proof>

lemma *atomize-vectorize-poly* [*term-simps*]: $\text{atomize-poly } (\text{vectorize-poly } p) = p$
<proof>

lemma *proj-zero* [*term-simps*]: $\text{proj-poly } k \ 0 = 0$
<proof>

lemma *proj-plus*: $\text{proj-poly } k \ (p + q) = \text{proj-poly } k \ p + \text{proj-poly } k \ q$
<proof>

lemma *proj-uminus* [*term-simps*]: $\text{proj-poly } k \ (-p) = - \text{proj-poly } k \ p$
<proof>

lemma *proj-minus*: $\text{proj-poly } k \ (p - q) = \text{proj-poly } k \ p - \text{proj-poly } k \ q$
<proof>

lemma *vectorize-zero* [*term-simps*]: $\text{vectorize-poly } 0 = 0$
<proof>

lemma *vectorize-plus*: $\text{vectorize-poly } (p + q) = \text{vectorize-poly } p + \text{vectorize-poly } q$
<proof>

lemma *vectorize-uminus* [*term-simps*]: $\text{vectorize-poly } (-p) = - \text{vectorize-poly } p$
<proof>

lemma *vectorize-minus*: $\text{vectorize-poly } (p - q) = \text{vectorize-poly } p - \text{vectorize-poly } q$
<proof>

lemma *atomize-zero* [*term-simps*]: $\text{atomize-poly } 0 = 0$
<proof>

lemma *atomize-plus*: $\text{atomize-poly } (p + q) = \text{atomize-poly } p + \text{atomize-poly } q$
<proof>

lemma *atomize-uminus* [*term-simps*]: $\text{atomize-poly } (-p) = - \text{atomize-poly } p$
<proof>

lemma *atomize-minus*: $\text{atomize-poly } (p - q) = \text{atomize-poly } p - \text{atomize-poly } q$
<proof>

lemma *proj-monomial*:

$\text{proj-poly } k \ (\text{monomial } c \ v) = (\text{monomial } c \ (\text{pp-of-term } v))$ when *component-of-term*
 $v = k$

<proof>

lemma *vectorize-monomial*:

vectorize-poly (*monomial c v*) = *monomial* (*monomial c* (*pp-of-term v*)) (*component-of-term v*)
 ⟨*proof*⟩

lemma *atomize-monomial-monomial*:

atomize-poly (*monomial* (*monomial c t*) *k*) = *monomial c* (*term-of-pair* (*t, k*))
 ⟨*proof*⟩

lemma *poly-mapping-eqI-proj*:

assumes $\bigwedge k. \text{proj-poly } k \ p = \text{proj-poly } k \ q$
shows $p = q$
 ⟨*proof*⟩

9.4 Scalar Multiplication by Monomials

definition *monom-mult* :: *'b::semiring-0* \Rightarrow *'a::comm-powerprod* \Rightarrow (*t* \Rightarrow_0 *'b*) \Rightarrow (*t* \Rightarrow_0 *'b*)

where *monom-mult c t p* = *Abs-poly-mapping* ($\lambda v. \text{if } t \text{ adds}_p v \text{ then } c * (\text{lookup } p \ (v \ominus t)) \text{ else } 0$)

lemma *keys-monom-mult-aux*:

$\{v. (\text{if } t \text{ adds}_p v \text{ then } c * \text{lookup } p \ (v \ominus t) \text{ else } 0) \neq 0\} \subseteq (\oplus) t \text{ 'keys } p \ (\text{is } ?l \subseteq ?r)$
for *c::'b::semiring-0*
 ⟨*proof*⟩

lemma *lookup-monom-mult*:

lookup (*monom-mult c t p*) *v* = (*if t adds_p v then c * lookup p (v ⊖ t) else 0*)
 ⟨*proof*⟩

lemma *lookup-monom-mult-plus*:

lookup (*monom-mult c t p*) (*t* \oplus *v*) = (*c::'b::semiring-0*) * *lookup p v*
 ⟨*proof*⟩

lemma *monom-mult-assoc*: *monom-mult c s* (*monom-mult d t p*) = *monom-mult* (*c * d*) (*s + t*) *p*
 ⟨*proof*⟩

lemma *monom-mult-uminus-left*: *monom-mult* ($- c$) *t p* = $- \text{monom-mult } (c::'b::ring) \ t \ p$

⟨*proof*⟩

lemma *monom-mult-uminus-right*: *monom-mult c t* ($- p$) = $- \text{monom-mult } (c::'b::ring) \ t \ p$

⟨*proof*⟩

lemma *uminus-monom-mult*: $- p = \text{monom-mult } (-1::'b::comm-ring-1) \ 0 \ p$
 ⟨*proof*⟩

lemma *monom-mult-dist-left*: $\text{monom-mult } (c + d) t p = (\text{monom-mult } c t p) + (\text{monom-mult } d t p)$
 ⟨proof⟩

lemma *monom-mult-dist-left-minus*:
 $\text{monom-mult } (c - d) t p = (\text{monom-mult } c t p) - (\text{monom-mult } (d::'b::ring) t p)$
 ⟨proof⟩

lemma *monom-mult-dist-right*:
 $\text{monom-mult } c t (p + q) = (\text{monom-mult } c t p) + (\text{monom-mult } c t q)$
 ⟨proof⟩

lemma *monom-mult-dist-right-minus*:
 $\text{monom-mult } c t (p - q) = (\text{monom-mult } c t p) - (\text{monom-mult } (c::'b::ring) t q)$
 ⟨proof⟩

lemma *monom-mult-zero-left [simp]*: $\text{monom-mult } 0 t p = 0$
 ⟨proof⟩

lemma *monom-mult-zero-right [simp]*: $\text{monom-mult } c t 0 = 0$
 ⟨proof⟩

lemma *monom-mult-one-left [simp]*: $(\text{monom-mult } (1::'b::semiring-1) 0 p) = p$
 ⟨proof⟩

lemma *monom-mult-monomial*:
 $\text{monom-mult } c s (\text{monomial } d v) = \text{monomial } (c * (d::'b::semiring-0)) (s \oplus v)$
 ⟨proof⟩

lemma *monom-mult-eq-zero-iff*: $(\text{monom-mult } c t p = 0) \longleftrightarrow ((c::'b::semiring-no-zero-divisors) = 0 \vee p = 0)$
 ⟨proof⟩

lemma *lookup-monom-mult-zero*: $\text{lookup } (\text{monom-mult } c 0 p) t = c * \text{lookup } p t$
 ⟨proof⟩

lemma *monom-mult-inj-1*:
assumes $\text{monom-mult } c1 t p = \text{monom-mult } c2 t p$
and $(p::(- \Rightarrow_0 'b::semiring-no-zero-divisors-cancel)) \neq 0$
shows $c1 = c2$
 ⟨proof⟩

Multiplication by a monomial is injective in the second argument (the power-product) only in context *ordered-powerprod*; see lemma *monom-mult-inj-2* below.

lemma *monom-mult-inj-3*:
assumes $\text{monom-mult } c t p1 = \text{monom-mult } c t (p2::(- \Rightarrow_0 'b::semiring-no-zero-divisors-cancel))$

and $c \neq 0$
shows $p1 = p2$
 ⟨proof⟩

lemma *keys-monom-multI*:
assumes $v \in \text{keys } p$ **and** $c \neq (0::'b::\text{semiring-no-zero-divisors})$
shows $t \oplus v \in \text{keys } (\text{monom-mult } c \ t \ p)$
 ⟨proof⟩

lemma *keys-monom-mult-subset*: $\text{keys } (\text{monom-mult } c \ t \ p) \subseteq ((\oplus) \ t) \text{ ' } (\text{keys } p)$
 ⟨proof⟩

lemma *keys-monom-multE*:
assumes $v \in \text{keys } (\text{monom-mult } c \ t \ p)$
obtains u **where** $u \in \text{keys } p$ **and** $v = t \oplus u$
 ⟨proof⟩

lemma *keys-monom-mult*:
assumes $c \neq (0::'b::\text{semiring-no-zero-divisors})$
shows $\text{keys } (\text{monom-mult } c \ t \ p) = ((\oplus) \ t) \text{ ' } (\text{keys } p)$
 ⟨proof⟩

lemma *monom-mult-when*: $\text{monom-mult } c \ t \ (p \ \text{when } P) = ((\text{monom-mult } c \ t \ p) \ \text{when } P)$
 ⟨proof⟩

lemma *when-monom-mult*: $\text{monom-mult } (c \ \text{when } P) \ t \ p = ((\text{monom-mult } c \ t \ p) \ \text{when } P)$
 ⟨proof⟩

lemma *monomial-power*: $(\text{monomial } c \ t) \wedge^n = \text{monomial } (c \wedge^n) \ (\sum_{i=0..<n.} t)$
 ⟨proof⟩

9.5 Component-wise Lifting

Component-wise lifting of functions on $'a \Rightarrow_0 'b$ to functions on $'t \Rightarrow_0 'b$.

definition *lift-poly-fun-2* :: $(('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b::\text{zero})$
where $\text{lift-poly-fun-2 } f \ p \ q = \text{atomize-poly } (\text{mapp-2 } (\lambda-. f) \ (\text{vectorize-poly } p) \ (\text{vectorize-poly } q))$

definition *lift-poly-fun* :: $(('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b::\text{zero})$
where $\text{lift-poly-fun } f \ p = \text{lift-poly-fun-2 } (\lambda-. f) \ 0 \ p$

lemma *lookup-lift-poly-fun-2*:
 $\text{lookup } (\text{lift-poly-fun-2 } f \ p \ q) \ v =$
 $(\text{lookup } (f \ (\text{proj-poly } (\text{component-of-term } v) \ p)) \ (\text{proj-poly } (\text{component-of-term } v) \ p))$

$v) q))$ (*pp-of-term* v)
 when *component-of-term* $v \in \text{keys}(\text{vectorize-poly } p) \cup \text{keys}(\text{vectorize-poly } q)$
 $\langle \text{proof} \rangle$

lemma *lookup-lift-poly-fun*:
 $\text{lookup}(\text{lift-poly-fun } f \ p) \ v =$
 $(\text{lookup}(f(\text{proj-poly}(\text{component-of-term } v) \ p)))$ (*pp-of-term* v) when *component-of-term*
 $v \in \text{keys}(\text{vectorize-poly } p)$
 $\langle \text{proof} \rangle$

lemma *lookup-lift-poly-fun-2-homogenous*:
assumes $f \ 0 \ 0 = 0$
shows $\text{lookup}(\text{lift-poly-fun-2 } f \ p \ q) \ v =$
 $\text{lookup}(f(\text{proj-poly}(\text{component-of-term } v) \ p))$ (*proj-poly* (*component-of-term*
 $v) \ q))$ (*pp-of-term* v)
 $\langle \text{proof} \rangle$

lemma *proj-lift-poly-fun-2-homogenous*:
assumes $f \ 0 \ 0 = 0$
shows $\text{proj-poly } k(\text{lift-poly-fun-2 } f \ p \ q) = f(\text{proj-poly } k \ p)$ (*proj-poly* $k \ q$)
 $\langle \text{proof} \rangle$

lemma *lookup-lift-poly-fun-homogenous*:
assumes $f \ 0 = 0$
shows $\text{lookup}(\text{lift-poly-fun } f \ p) \ v = \text{lookup}(f(\text{proj-poly}(\text{component-of-term } v)$
 $p))$ (*pp-of-term* v)
 $\langle \text{proof} \rangle$

lemma *proj-lift-poly-fun-homogenous*:
assumes $f \ 0 = 0$
shows $\text{proj-poly } k(\text{lift-poly-fun } f \ p) = f(\text{proj-poly } k \ p)$
 $\langle \text{proof} \rangle$

9.6 Component-wise Multiplication

definition *mult-vec* :: $(t \Rightarrow_0 'b) \Rightarrow (t \Rightarrow_0 'b) \Rightarrow (t \Rightarrow_0 'b::\text{semiring-0})$ (**infixl**
 $** \ 75$)
where $\text{mult-vec} = \text{lift-poly-fun-2 } (*)$

lemma *lookup-mult-vec*:
 $\text{lookup}(p \ ** \ q) \ v = \text{lookup}((\text{proj-poly}(\text{component-of-term } v) \ p) \ * \ (\text{proj-poly}$
 $(\text{component-of-term } v) \ q))$ (*pp-of-term* v)
 $\langle \text{proof} \rangle$

lemma *proj-mult-vec* [*term-simps*]: $\text{proj-poly } k(p \ ** \ q) = (\text{proj-poly } k \ p) \ * \ (\text{proj-poly}$
 $k \ q)$
 $\langle \text{proof} \rangle$

lemma *mult-vec-zero-left*: $0 ** p = 0$
<proof>

lemma *mult-vec-zero-right*: $p ** 0 = 0$
<proof>

lemma *mult-vec-assoc*: $(p ** q) ** r = p ** (q ** r)$
<proof>

lemma *mult-vec-distrib-right*: $(p + q) ** r = p ** r + q ** r$
<proof>

lemma *mult-vec-distrib-left*: $r ** (p + q) = r ** p + r ** q$
<proof>

lemma *mult-vec-minus-mult-left*: $(- p) ** q = - (p ** q)$
<proof>

lemma *mult-vec-minus-mult-right*: $p ** (- q) = - (p ** q)$
<proof>

lemma *minus-mult-vec-minus*: $(- p) ** (- q) = p ** q$
<proof>

lemma *minus-mult-vec-commute*: $(- p) ** q = p ** (- q)$
<proof>

lemma *mult-vec-right-diff-distrib*: $r ** (p - q) = r ** p - r ** q$
for $r::- \Rightarrow_0 'b::ring$
<proof>

lemma *mult-vec-left-diff-distrib*: $(p - q) ** r = p ** r - q ** r$
for $p::- \Rightarrow_0 'b::ring$
<proof>

lemma *mult-vec-commute*: $p ** q = q ** p$ **for** $p::- \Rightarrow_0 'b::comm-semiring-0$
<proof>

lemma *mult-vec-left-commute*: $p ** (q ** r) = q ** (p ** r)$
for $p::- \Rightarrow_0 'b::comm-semiring-0$
<proof>

lemma *mult-vec-monomial-monomial*:
 $(monomial\ c\ u) ** (monomial\ d\ v) =$
 $(monomial\ (c * d)\ (term-of-pair\ (pp-of-term\ u + pp-of-term\ v,\ component-of-term\ u)))$ when
 $component-of-term\ u = component-of-term\ v$
<proof>

lemma *mult-vec-rec-left*: $p ** q = \text{monomial } (\text{lookup } p \ v) \ v ** q + (\text{except } p \ \{v\}) ** q$
 <proof>

lemma *mult-vec-rec-right*: $p ** q = p ** \text{monomial } (\text{lookup } q \ v) \ v + p ** \text{except } q \ \{v\}$
 <proof>

lemma *in-keys-mult-vecE*:
assumes $w \in \text{keys } (p ** q)$
obtains $u \ v$ **where** $u \in \text{keys } p$ **and** $v \in \text{keys } q$ **and** $\text{component-of-term } u = \text{component-of-term } v$
and $w = \text{term-of-pair } (\text{pp-of-term } u + \text{pp-of-term } v, \text{component-of-term } u)$
 <proof>

lemma *lookup-mult-vec-monomial-left*:
 $\text{lookup } (\text{monomial } c \ v ** p) \ u =$
 $(c * \text{lookup } p \ (\text{term-of-pair } (\text{pp-of-term } u - \text{pp-of-term } v, \text{component-of-term } u)))$ *when* $v \text{ adds}_t \ u$
 <proof>

lemma *lookup-mult-vec-monomial-right*:
 $\text{lookup } (p ** \text{monomial } c \ v) \ u =$
 $(\text{lookup } p \ (\text{term-of-pair } (\text{pp-of-term } u - \text{pp-of-term } v, \text{component-of-term } u))) * c$ *when* $v \text{ adds}_t \ u$
 <proof>

9.7 Scalar Multiplication

definition *mult-scalar* :: $('a \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b :: \text{semiring-0})$ (**infixl** \odot 75)
where *mult-scalar* $p = \text{lift-poly-fun } ((*) \ p)$

lemma *lookup-mult-scalar*:
 $\text{lookup } (p \odot q) \ v = \text{lookup } (p * (\text{proj-poly } (\text{component-of-term } v) \ q)) \ (\text{pp-of-term } v)$
 <proof>

lemma *lookup-mult-scalar-explicit*:
 $\text{lookup } (p \odot q) \ u = (\sum t \in \text{keys } p. \text{lookup } p \ t * (\sum v \in \text{keys } q. \text{lookup } q \ v \text{ when } u = t \oplus v))$
 <proof>

lemma *proj-mult-scalar* [*term-simps*]: $\text{proj-poly } k \ (p \odot q) = p * (\text{proj-poly } k \ q)$
 <proof>

lemma *mult-scalar-zero-left* [*simp*]: $0 \odot p = 0$
 <proof>

lemma *mult-scalar-zero-right* [*simp*]: $p \odot 0 = 0$
<proof>

lemma *mult-scalar-one* [*simp*]: $(1::- \Rightarrow_0 'b::\text{semiring-1}) \odot p = p$
<proof>

lemma *mult-scalar-assoc* [*ac-simps*]: $(p * q) \odot r = p \odot (q \odot r)$
<proof>

lemma *mult-scalar-distrib-right* [*algebra-simps*]: $(p + q) \odot r = p \odot r + q \odot r$
<proof>

lemma *mult-scalar-distrib-left* [*algebra-simps*]: $r \odot (p + q) = r \odot p + r \odot q$
<proof>

lemma *mult-scalar-minus-mult-left* [*simp*]: $(- p) \odot q = - (p \odot q)$
<proof>

lemma *mult-scalar-minus-mult-right* [*simp*]: $p \odot (- q) = - (p \odot q)$
<proof>

lemma *minus-mult-scalar-minus* [*simp*]: $(- p) \odot (- q) = p \odot q$
<proof>

lemma *minus-mult-scalar-commute*: $(- p) \odot q = p \odot (- q)$
<proof>

lemma *mult-scalar-right-diff-distrib* [*algebra-simps*]: $r \odot (p - q) = r \odot p - r \odot q$
for $r::- \Rightarrow_0 'b::\text{ring}$
<proof>

lemma *mult-scalar-left-diff-distrib* [*algebra-simps*]: $(p - q) \odot r = p \odot r - q \odot r$
for $p::- \Rightarrow_0 'b::\text{ring}$
<proof>

lemma *sum-mult-scalar-distrib-left*: $r \odot (\text{sum } f A) = (\sum a \in A. r \odot f a)$
<proof>

lemma *sum-mult-scalar-distrib-right*: $(\text{sum } f A) \odot v = (\sum a \in A. f a \odot v)$
<proof>

lemma *mult-scalar-monomial-monomial*: $(\text{monomial } c t) \odot (\text{monomial } d v) = \text{monomial } (c * d) (t \oplus v)$
<proof>

lemma *mult-scalar-monomial*: $(\text{monomial } c t) \odot p = \text{monom-mult } c t p$
<proof>

lemma *mult-scalar-rec-left*: $p \odot q = \text{monom-mult } (\text{lookup } p \ t) \ t \ q + (\text{except } p \ \{t\}) \odot q$
 ⟨proof⟩

lemma *mult-scalar-rec-right*: $p \odot q = p \odot \text{monomial } (\text{lookup } q \ v) \ v + p \odot \text{except } q \ \{v\}$
 ⟨proof⟩

lemma *in-keys-mult-scalarE*:
assumes $v \in \text{keys } (p \odot q)$
obtains $t \ u$ **where** $t \in \text{keys } p$ **and** $u \in \text{keys } q$ **and** $v = t \oplus u$
 ⟨proof⟩

lemma *lookup-mult-scalar-monomial-right*:
 $\text{lookup } (p \odot \text{monomial } c \ v) \ u = (\text{lookup } p \ (\text{pp-of-term } u - \text{pp-of-term } v)) * c$
 when $v \text{ adds}_t \ u$
 ⟨proof⟩

lemma *lookup-mult-scalar-monomial-right-plus*: $\text{lookup } (p \odot \text{monomial } c \ v) \ (t \oplus v) = \text{lookup } p \ t * c$
 ⟨proof⟩

lemma *keys-mult-scalar-monomial-right-subset*: $\text{keys } (p \odot \text{monomial } c \ v) \subseteq (\lambda t. t \oplus v) \text{ 'keys } p$
 ⟨proof⟩

lemma *keys-mult-scalar-monomial-right*:
assumes $c \neq (0 :: 'b :: \text{semiring-no-zero-divisors})$
shows $\text{keys } (p \odot \text{monomial } c \ v) = (\lambda t. t \oplus v) \text{ 'keys } p$
 ⟨proof⟩

end

9.8 Sums and Products

lemma *sum-poly-mapping-eq-zeroI*:
assumes $p \text{ ' } A \subseteq \{0\}$
shows $\text{sum } p \ A = (0 :: (- \Rightarrow_0 \ 'b :: \text{comm-monoid-add}))$
 ⟨proof⟩

lemma *lookup-sum-list*: $\text{lookup } (\text{sum-list } ps) \ a = \text{sum-list } (\text{map } (\lambda p. \text{lookup } p \ a) \ ps)$
 ⟨proof⟩

Legacy:

lemmas $\text{keys-sum-subset} = \text{Poly-Mapping.keys-sum}$

lemma *keys-sum-list-subset*: $\text{keys } (\text{sum-list } ps) \subseteq \text{Keys } (\text{set } ps)$
 ⟨proof⟩

lemma *keys-sum*:

assumes *finite A* **and** $\bigwedge a1\ a2. a1 \in A \implies a2 \in A \implies a1 \neq a2 \implies \text{keys } (f\ a1) \cap \text{keys } (f\ a2) = \{\}$
shows $\text{keys } (\text{sum } f\ A) = (\bigcup a \in A. \text{keys } (f\ a))$
<proof>

lemma *poly-mapping-sum-monomials*: $(\sum a \in \text{keys } p. \text{monomial } (\text{lookup } p\ a)\ a) = p$
<proof>

lemma *monomial-sum*: $\text{monomial } (\text{sum } f\ C)\ a = (\sum c \in C. \text{monomial } (f\ c)\ a)$
<proof>

lemma *monomial-Sum-any*:

assumes *finite* $\{c. f\ c \neq 0\}$
shows $\text{monomial } (\text{Sum-any } f)\ a = (\sum c. \text{monomial } (f\ c)\ a)$
<proof>

context *term-powerprod*

begin

lemma *proj-sum*: $\text{proj-poly } k\ (\text{sum } f\ A) = (\sum a \in A. \text{proj-poly } k\ (f\ a))$
<proof>

lemma *proj-sum-list*: $\text{proj-poly } k\ (\text{sum-list } xs) = \text{sum-list } (\text{map } (\text{proj-poly } k)\ xs)$
<proof>

lemma *mult-scalar-sum-monomials*: $q \odot p = (\sum t \in \text{keys } q. \text{monom-mult } (\text{lookup } q\ t)\ t\ p)$
<proof>

lemma *fun-mult-scalar-commute*:

assumes $f\ 0 = 0$ **and** $\bigwedge x\ y. f\ (x + y) = f\ x + f\ y$
and $\bigwedge c\ t. f\ (\text{monom-mult } c\ t\ p) = \text{monom-mult } c\ t\ (f\ p)$
shows $f\ (q \odot p) = q \odot (f\ p)$
<proof>

lemma *fun-mult-scalar-commute-canc*:

assumes $\bigwedge x\ y. f\ (x + y) = f\ x + f\ y$ **and** $\bigwedge c\ t. f\ (\text{monom-mult } c\ t\ p) = \text{monom-mult } c\ t\ (f\ p)$
shows $f\ (q \odot p) = q \odot (f\ (p::'t \Rightarrow_0 'b::\{\text{semiring-0, cancel-comm-monoid-add}\}))$
<proof>

lemma *monom-mult-sum-left*: $\text{monom-mult } (\text{sum } f\ C)\ t\ p = (\sum c \in C. \text{monom-mult } (f\ c)\ t\ p)$
<proof>

lemma *monom-mult-sum-right*: $\text{monom-mult } c\ t\ (\text{sum } f\ P) = (\sum p \in P. \text{monom-mult } c\ t\ (f\ p))$

<proof>

lemma *monom-mult-Sum-any-left:*

assumes *finite* $\{c. f\ c \neq 0\}$

shows $\text{monom-mult } (\text{Sum-any } f) \ t \ p = (\sum c. \text{monom-mult } (f\ c) \ t \ p)$

<proof>

lemma *monom-mult-Sum-any-right:*

assumes *finite* $\{p. f\ p \neq 0\}$

shows $\text{monom-mult } c \ t \ (\text{Sum-any } f) = (\sum p. \text{monom-mult } c \ t \ (f\ p))$

<proof>

lemma *monomial-prod-sum:* $\text{monomial } (\text{prod } c \ I) \ (\text{sum } a \ I) = (\prod_{i \in I}. \text{monomial } (c\ i) \ (a\ i))$

<proof>

9.9 Submodules

sublocale *pmdl: module mult-scalar*

<proof>

lemmas [*simp del*] = *pmdl.scale-one pmdl.scale-zero-left pmdl.scale-zero-right pmdl.scale-scale pmdl.scale-minus-left pmdl.scale-minus-right pmdl.span-eq-iff*

lemmas [*algebra-simps del*] = *pmdl.scale-left-distrib pmdl.scale-right-distrib pmdl.scale-left-diff-distrib pmdl.scale-right-diff-distrib*

abbreviation *pmdl* \equiv *pmdl.span*

lemma *pmdl-closed-monom-mult:*

assumes $p \in \text{pmdl } B$

shows $\text{monom-mult } c \ t \ p \in \text{pmdl } B$

<proof>

lemma *monom-mult-in-pmdl:* $b \in B \implies \text{monom-mult } c \ t \ b \in \text{pmdl } B$

<proof>

lemma *pmdl-induct* [*consumes 1, case-names module-0 module-plus*]:

assumes $p \in \text{pmdl } B$ **and** $P\ 0$

and $\bigwedge a\ p\ c\ t. a \in \text{pmdl } B \implies P\ a \implies p \in B \implies c \neq 0 \implies P\ (a + \text{monom-mult } c \ t \ p)$

shows $P\ p$

<proof>

lemma *components-pmdl:* $\text{component-of-term } \text{'Keys } (\text{pmdl } B) = \text{component-of-term } \text{'Keys } B$

<proof>

lemma *pmdl-idI:*

assumes $0 \in B$ **and** $\bigwedge b1\ b2. b1 \in B \implies b2 \in B \implies b1 + b2 \in B$
and $\bigwedge c\ t\ b. b \in B \implies \text{monom-mult } c\ t\ b \in B$
shows $\text{pmdl } B = B$
 $\langle \text{proof} \rangle$

definition $\text{full-pmdl} :: 'k\ \text{set} \Rightarrow ('t \Rightarrow_0 'b::\text{zero})\ \text{set}$
where $\text{full-pmdl } K = \{p. \text{component-of-term } 'keys\ p \subseteq K\}$

definition $\text{is-full-pmdl} :: ('t \Rightarrow_0 'b::\text{comm-ring-1})\ \text{set} \Rightarrow \text{bool}$
where $\text{is-full-pmdl } B \longleftrightarrow (\forall p. \text{component-of-term } 'keys\ p \subseteq \text{component-of-term } 'Keys\ B \longrightarrow p \in \text{pmdl } B)$

lemma $\text{full-pmdl-iff}: p \in \text{full-pmdl } K \longleftrightarrow \text{component-of-term } 'keys\ p \subseteq K$
 $\langle \text{proof} \rangle$

lemma full-pmdlI :
assumes $\bigwedge v. v \in \text{keys } p \implies \text{component-of-term } v \in K$
shows $p \in \text{full-pmdl } K$
 $\langle \text{proof} \rangle$

lemma full-pmdlD :
assumes $p \in \text{full-pmdl } K$ **and** $v \in \text{keys } p$
shows $\text{component-of-term } v \in K$
 $\langle \text{proof} \rangle$

lemma $\text{full-pmdl-empty}: \text{full-pmdl } \{\} = \{0\}$
 $\langle \text{proof} \rangle$

lemma $\text{full-pmdl-UNIV}: \text{full-pmdl } UNIV = UNIV$
 $\langle \text{proof} \rangle$

lemma $\text{zero-in-full-pmdl}: 0 \in \text{full-pmdl } K$
 $\langle \text{proof} \rangle$

lemma $\text{full-pmdl-closed-plus}$:
assumes $p \in \text{full-pmdl } K$ **and** $q \in \text{full-pmdl } K$
shows $p + q \in \text{full-pmdl } K$
 $\langle \text{proof} \rangle$

lemma $\text{full-pmdl-closed-monom-mult}$:
assumes $p \in \text{full-pmdl } K$
shows $\text{monom-mult } c\ t\ p \in \text{full-pmdl } K$
 $\langle \text{proof} \rangle$

lemma $\text{pmdl-full-pmdl}: \text{pmdl } (\text{full-pmdl } K) = \text{full-pmdl } K$
 $\langle \text{proof} \rangle$

lemma $\text{components-full-pmdl-subset}$:
 $\text{component-of-term } 'Keys\ ((\text{full-pmdl } K)::('t \Rightarrow_0 'b::\text{zero})\ \text{set}) \subseteq K$ (**is** $?l \subseteq -$)

<proof>

lemma *components-full-pmdl*:

component-of-term ' Keys ((full-pmdl K)::('t \Rightarrow_0 'b::zero-neq-one) set) = K (is ?l = -)
<proof>

lemma *is-full-pmdlI*:

assumes $\bigwedge p.$ *component-of-term ' keys $p \subseteq$ component-of-term ' Keys B $\implies p \in$ pmdl B*
shows *is-full-pmdl B*
<proof>

lemma *is-full-pmdlD*:

assumes *is-full-pmdl B and component-of-term ' keys $p \subseteq$ component-of-term ' Keys B*
shows *$p \in$ pmdl B*
<proof>

lemma *is-full-pmdl-alt*: *is-full-pmdl B \longleftrightarrow pmdl B = full-pmdl (component-of-term ' Keys B)*
<proof>

lemma *is-full-pmdl-pmdl*: *is-full-pmdl (pmdl B) \longleftrightarrow is-full-pmdl B*
<proof>

lemma *is-full-pmdl-subset*:

assumes *is-full-pmdl B1 and is-full-pmdl B2*
and *component-of-term ' Keys B1 \subseteq component-of-term ' Keys B2*
shows *pmdl B1 \subseteq pmdl B2*
<proof>

lemma *is-full-pmdl-eq*:

assumes *is-full-pmdl B1 and is-full-pmdl B2*
and *component-of-term ' Keys B1 = component-of-term ' Keys B2*
shows *pmdl B1 = pmdl B2*
<proof>

end

definition *map-scale* :: *'b \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b::mult-zero) (infixr \cdot 71)*
where *map-scale c = Poly-Mapping.map ((*) c)*

If the polynomial mapping p is interpreted as a power-product, then $c \cdot p$ corresponds to exponentiation; if it is interpreted as a (vector-) polynomial, then $c \cdot p$ corresponds to multiplication by scalar from the coefficient type.

lemma *lookup-map-scale [simp]*: *lookup (c \cdot p) = ($\lambda x.$ c * lookup p x)*
<proof>

lemma *map-scale-single* [simp]: $k \cdot \text{Poly-Mapping.single } x \ l = \text{Poly-Mapping.single } x \ (k * l)$
 ⟨proof⟩

lemma *map-scale-zero-left* [simp]: $0 \cdot t = 0$
 ⟨proof⟩

lemma *map-scale-zero-right* [simp]: $k \cdot 0 = 0$
 ⟨proof⟩

lemma *map-scale-eq-0-iff*: $c \cdot t = 0 \iff ((c:::semiring-no-zero-divisors) = 0 \vee t = 0)$
 ⟨proof⟩

lemma *keys-map-scale-subset*: $\text{keys } (k \cdot t) \subseteq \text{keys } t$
 ⟨proof⟩

lemma *keys-map-scale*: $\text{keys } ((k::'b::semiring-no-zero-divisors) \cdot t) = (\text{if } k = 0 \text{ then } \{\} \text{ else } \text{keys } t)$
 ⟨proof⟩

lemma *map-scale-one-left* [simp]: $(1::'b::{mult-zero,monoid-mult}) \cdot t = t$
 ⟨proof⟩

lemma *map-scale-assoc* [ac-simps]: $c \cdot d \cdot t = (c * d) \cdot (t::-\Rightarrow_0 -::\{semigroup-mult,zero\})$
 ⟨proof⟩

lemma *map-scale-distrib-left* [algebra-simps]: $(k::'b::semiring-0) \cdot (s + t) = k \cdot s + k \cdot t$
 ⟨proof⟩

lemma *map-scale-distrib-right* [algebra-simps]: $(k + (l::'b::semiring-0)) \cdot t = k \cdot t + l \cdot t$
 ⟨proof⟩

lemma *map-scale-Suc*: $(\text{Suc } k) \cdot t = k \cdot t + t$
 ⟨proof⟩

lemma *map-scale-uminus-left*: $(- k::'b::ring) \cdot p = - (k \cdot p)$
 ⟨proof⟩

lemma *map-scale-uminus-right*: $(k::'b::ring) \cdot (- p) = - (k \cdot p)$
 ⟨proof⟩

lemma *map-scale-uminus-uminus* [simp]: $(- k::'b::ring) \cdot (- p) = k \cdot p$
 ⟨proof⟩

lemma *map-scale-minus-distrib-left* [algebra-simps]:
 $(k::'b::comm-semiring-1-cancel) \cdot (p - q) = k \cdot p - k \cdot q$

<proof>

lemma *map-scale-minus-distrib-right* [*algebra-simps*]:
 $(k - (l::'b::comm-semiring-1-cancel)) \cdot f = k \cdot f - l \cdot f$
<proof>

lemma *map-scale-sum-distrib-left*: $(k::'b::semiring-0) \cdot (\text{sum } f A) = (\sum a \in A. k \cdot f a)$
<proof>

lemma *map-scale-sum-distrib-right*: $(\text{sum } (f::-\Rightarrow 'b::semiring-0) A) \cdot p = (\sum a \in A. f a \cdot p)$
<proof>

lemma *deg-pm-map-scale*: $\text{deg-pm } (k \cdot t) = (k::'b::semiring-0) * \text{deg-pm } t$
<proof>

interpretation *phull*: *module map-scale*
<proof>

Since the following lemmas are proved for more general ring-types above, we do not need to have them in the simpset.

lemmas [*simp del*] = *phull.scale-one phull.scale-zero-left phull.scale-zero-right phull.scale-scale phull.scale-minus-left phull.scale-minus-right phull.span-eq-iff*

lemmas [*algebra-simps del*] = *phull.scale-left-distrib phull.scale-right-distrib phull.scale-left-diff-distrib phull.scale-right-diff-distrib*

abbreviation *phull* \equiv *phull.span*

phull B is a module over the coefficient ring $'b$, whereas *λterm-of-pair.module.span* (*term-powerprod.mult-scalar B term-of-pair*) is a module over the (scalar) polynomial ring $'a \Rightarrow_0 'b$. Nevertheless, both modules can be sets of *vector-polynomials* of type $'t \Rightarrow_0 'b$.

context *term-powerprod*
begin

lemma *map-scale-eq-monom-mult*: $c \cdot p = \text{monom-mult } c 0 p$
<proof>

lemma *map-scale-eq-mult-scalar*: $c \cdot p = \text{monomial } c 0 \odot p$
<proof>

lemma *phull-closed-mult-scalar*: $p \in \text{phull } B \Longrightarrow \text{monomial } c 0 \odot p \in \text{phull } B$
<proof>

lemma *mult-scalar-in-phull*: $b \in B \Longrightarrow \text{monomial } c 0 \odot b \in \text{phull } B$
<proof>

lemma *phull-subset-module*: $phull\ B \subseteq pmdl\ B$
<proof>

lemma *components-phull*: $component-of-term\ 'Keys\ (phull\ B) = component-of-term\ 'Keys\ B$
<proof>

end

9.10 Interpretations

9.10.1 Isomorphism between $'a$ and $'a \times unit$

definition *to-pair-unit* :: $'a \Rightarrow ('a \times unit)$
where *to-pair-unit* $x = (x, ())$

lemma *fst-to-pair-unit*: $fst\ (to-pair-unit\ x) = x$
<proof>

lemma *to-pair-unit-fst*: $to-pair-unit\ (fst\ x) = (x::- \times unit)$
<proof>

interpretation *punit*: *term-powerprod to-pair-unit fst*
<proof>

For technical reasons it seems to be better not to put the following lemmas as rewrite-rules of interpretation *punit*.

lemma *punit-pp-of-term [simp]*: $punit.pp-of-term = (\lambda x. x)$
<proof>

lemma *punit-component-of-term [simp]*: $punit.component-of-term = (\lambda-. ())$
<proof>

lemma *punit-splus [simp]*: $punit.splus = (+)$
<proof>

lemma *punit-sminus [simp]*: $punit.sminus = (-)$
<proof>

lemma *punit-adds-pp [simp]*: $punit.adds-pp = (adds)$
<proof>

lemma *punit-adds-term [simp]*: $punit.adds-term = (adds)$
<proof>

lemma *punit-proj-poly [simp]*: $punit.proj-poly = (\lambda-. id)$
<proof>

lemma *punit-mult-vec [simp]*: $punit.mult-vec = (*)$
<proof>

lemma *punit-mult-scalar* [simp]: *punit.mult-scalar* = (*)
⟨*proof*⟩

context *term-powerprod*
begin

lemma *proj-monom-mult*: *proj-poly* *k* (*monom-mult* *c* *t* *p*) = *punit.monom-mult* *c*
t (*proj-poly* *k* *p*)
⟨*proof*⟩

lemma *mult-scalar-monom-mult*: (*punit.monom-mult* *c* *t* *p*) \odot *q* = *monom-mult* *c*
t (*p* \odot *q*)
⟨*proof*⟩

end

9.10.2 Interpretation of *term-powerprod* by $'a \times 'k$

interpretation *pprod*: *term-powerprod* ($\lambda x::'a::\text{comm-powerprod} \times 'k::\text{linorder}.$
x) $\lambda x. x$
⟨*proof*⟩

lemma *pprod-pp-of-term* [simp]: *pprod.pp-of-term* = *fst*
⟨*proof*⟩

lemma *pprod-component-of-term* [simp]: *pprod.component-of-term* = *snd*
⟨*proof*⟩

9.10.3 Simplifier Setup

There is no reason to keep the interpreted theorems as simplification rules.

lemmas [*term-simps del*] = *term-simps*

lemmas *times-monomial-monomial* = *punit.mult-scalar-monomial-monomial*[*simplified*]

lemmas *times-monomial-left* = *punit.mult-scalar-monomial*[*simplified*]

lemmas *times-rec-left* = *punit.mult-scalar-rec-left*[*simplified*]

lemmas *times-rec-right* = *punit.mult-scalar-rec-right*[*simplified*]

lemmas *in-keys-timesE* = *punit.in-keys-mult-scalarE*[*simplified*]

lemmas *punit-monom-mult-monomial* = *punit.monom-mult-monomial*[*simplified*]

lemmas *lookup-times* = *punit.lookup-mult-scalar-explicit*[*simplified*]

lemmas *map-scale-eq-times* = *punit.map-scale-eq-mult-scalar*[*simplified*]

end

10 Type-Class-Multivariate Polynomials in Ordered Terms

```
theory MPoly-Type-Class-Ordered
  imports MPoly-Type-Class
begin
```

```
class the-min = linorder +
  fixes the-min::'a
  assumes the-min-min: the-min ≤ x
```

Type class *the-min* guarantees that a least element exists. Instances of *the-min* should provide *computable* definitions of that element.

```
instantiation nat :: the-min
begin
  definition the-min-nat = (0::nat)
  instance ⟨proof⟩
end
```

```
instantiation unit :: the-min
begin
  definition the-min-unit = ()
  instance ⟨proof⟩
end
```

```
locale ordered-term =
  term-powerprod pair-of-term term-of-pair +
  ordered-powerprod ord ord-strict +
  ord-term-lin: linorder ord-term ord-term-strict
  for pair-of-term::'t ⇒ ('a::comm-powerprod × 'k::{the-min,wellorder})
  and term-of-pair::('a × 'k) ⇒ 't
  and ord::'a ⇒ 'a ⇒ bool (infixl ≤ 50)
  and ord-strict (infixl < 50)
  and ord-term::'t ⇒ 't ⇒ bool (infixl ≤t 50)
  and ord-term-strict::'t ⇒ 't ⇒ bool (infixl <t 50) +
  assumes plus-mono: v ≤t w ⇒ t ⊕ v ≤t t ⊕ w
  assumes ord-termI: pp-of-term v ≤ pp-of-term w ⇒ component-of-term v ≤
  component-of-term w ⇒ v ≤t w
begin
```

```
abbreviation ord-term-conv (infixl ≥t 50) where ord-term-conv ≡ (≤t)-1-1
abbreviation ord-term-strict-conv (infixl >t 50) where ord-term-strict-conv ≡
(<t)-1-1
```

The definition of *ordered-term* only covers TOP and POT orderings. These two types of orderings are the only interesting ones.

```
definition min-term ≡ term-of-pair (0, the-min)
```

```
lemma min-term-min: min-term ≤t v
```


<proof>

lemma *splus-mono-strict:*

assumes $v \prec_t w$

shows $t \oplus v \prec_t t \oplus w$

<proof>

lemma *splus-mono-left:*

assumes $s \preceq t$

shows $s \oplus v \preceq_t t \oplus v$

<proof>

lemma *splus-mono-strict-left:*

assumes $s \prec t$

shows $s \oplus v \prec_t t \oplus v$

<proof>

lemma *ord-term-canc:*

assumes $t \oplus v \preceq_t t \oplus w$

shows $v \preceq_t w$

<proof>

lemma *ord-term-strict-canc:*

assumes $t \oplus v \prec_t t \oplus w$

shows $v \prec_t w$

<proof>

lemma *ord-term-canc-left:*

assumes $t \oplus v \preceq_t s \oplus v$

shows $t \preceq s$

<proof>

lemma *ord-term-strict-canc-left:*

assumes $t \oplus v \prec_t s \oplus v$

shows $t \prec s$

<proof>

lemma *ord-adds-term:*

assumes $u \text{ adds}_t v$

shows $u \preceq_t v$

<proof>

end

10.1 Interpretations

context *ordered-powerprod*

begin

10.1.1 Unit

sublocale *punit*: *ordered-term to-pair-unit fst* (\preceq) (\prec) (\preceq) (\prec)
<proof>

lemma *punit-min-term [simp]*: *punit.min-term* = 0
<proof>

end

10.2 Definitions

context *ordered-term*
begin

definition *higher* :: ($'t \Rightarrow_0 'b$) \Rightarrow $'t \Rightarrow$ ($'t \Rightarrow_0 'b::zero$)
where *higher* p t = *except* p { s . $s \preceq_t t$ }

definition *lower* :: ($'t \Rightarrow_0 'b$) \Rightarrow $'t \Rightarrow$ ($'t \Rightarrow_0 'b::zero$)
where *lower* p t = *except* p { s . $t \preceq_t s$ }

definition *lt* :: ($'t \Rightarrow_0 'b::zero$) \Rightarrow $'t$
where *lt* p = (*if* $p = 0$ *then* *min-term* *else* *ord-term-lin.Max* (*keys* p))

abbreviation *lp* $p \equiv$ *pp-of-term* (*lt* p)

definition *lc* :: ($'t \Rightarrow_0 'b::zero$) \Rightarrow $'b$
where *lc* p = *lookup* p (*lt* p)

definition *tt* :: ($'t \Rightarrow_0 'b::zero$) \Rightarrow $'t$
where *tt* p = (*if* $p = 0$ *then* *min-term* *else* *ord-term-lin.Min* (*keys* p))

abbreviation *tp* $p \equiv$ *pp-of-term* (*tt* p)

definition *tc* :: ($'t \Rightarrow_0 'b::zero$) \Rightarrow $'b$
where *tc* $p \equiv$ *lookup* p (*tt* p)

definition *tail* :: ($'t \Rightarrow_0 'b$) \Rightarrow ($'t \Rightarrow_0 'b::zero$)
where *tail* $p \equiv$ *lower* p (*lt* p)

10.3 Leading Term and Leading Coefficient: *lt* and *lc*

lemma *lt-zero [simp]*: *lt* 0 = *min-term*
<proof>

lemma *lc-zero [simp]*: *lc* 0 = 0
<proof>

lemma *lt-uminus [simp]*: *lt* ($-$ p) = *lt* p
<proof>

lemma *lc-uminus* [*simp*]: $lc (- p) = - lc p$
<proof>

lemma *lt-alt*:
assumes $p \neq 0$
shows $lt p = ord-term-lin.Max (keys p)$
<proof>

lemma *lt-max*:
assumes $lookup p v \neq 0$
shows $v \preceq_t lt p$
<proof>

lemma *lt-eqI*:
assumes $lookup p v \neq 0$ and $\bigwedge u. lookup p u \neq 0 \implies u \preceq_t v$
shows $lt p = v$
<proof>

lemma *lt-less*:
assumes $p \neq 0$ and $\bigwedge u. v \preceq_t u \implies lookup p u = 0$
shows $lt p \prec_t v$
<proof>

lemma *lt-le*:
assumes $\bigwedge u. v \prec_t u \implies lookup p u = 0$
shows $lt p \preceq_t v$
<proof>

lemma *lt-gr*:
assumes $lookup p s \neq 0$ and $t \prec_t s$
shows $t \prec_t lt p$
<proof>

lemma *lc-not-0*:
assumes $p \neq 0$
shows $lc p \neq 0$
<proof>

lemma *lc-eq-zero-iff*: $lc p = 0 \iff p = 0$
<proof>

lemma *lt-in-keys*:
assumes $p \neq 0$
shows $lt p \in (keys p)$
<proof>

lemma *lt-monomial*:
assumes $c \neq 0$

shows lt (*monomial* c t) = t
(*proof*)

lemma *lc-monomial* [*simp*]: lc (*monomial* c t) = c
(*proof*)

lemma *lt-le-iff*: lt $p \preceq_t v \iff (\forall u. v \prec_t u \implies lookup\ p\ u = 0)$ (**is** ? $L \iff$? R)
(*proof*)

lemma *lt-plus-eqI*:
 assumes lt $p \prec_t lt$ q
 shows lt ($p + q$) = lt q
(*proof*)

lemma *lt-plus-eqI-2*:
 assumes lt $q \prec_t lt$ p
 shows lt ($p + q$) = lt p
(*proof*)

lemma *lt-plus-eqI-3*:
 assumes lt $q = lt$ p **and** lc $p + lc$ $q \neq 0$
 shows lt ($p + q$) = lt ($p::'t \Rightarrow_0 'b::monoid-add$)
(*proof*)

lemma *lt-plus-lessE*:
 assumes lt $p \prec_t lt$ ($p + q$)
 shows lt $p \prec_t lt$ q
(*proof*)

lemma *lt-plus-lessE-2*:
 assumes lt $q \prec_t lt$ ($p + q$)
 shows lt $q \prec_t lt$ p
(*proof*)

lemma *lt-plus-lessI'*:
 fixes p $q :: 't \Rightarrow_0 'b::monoid-add$
 assumes $p + q \neq 0$ **and** *lt-eq*: lt $q = lt$ p **and** *lc-eq*: lc $p + lc$ $q = 0$
 shows lt ($p + q$) \prec_t lt p
(*proof*)

corollary *lt-plus-lessI*:
 fixes p $q :: 't \Rightarrow_0 'b::group-add$
 assumes $p + q \neq 0$ **and** lt $q = lt$ p **and** lc $q = - lc$ p
 shows lt ($p + q$) \prec_t lt p
(*proof*)

lemma *lt-plus-distinct-eq-max*:
 assumes lt $p \neq lt$ q
 shows lt ($p + q$) = *ord-term-lin.max* (lt p) (lt q)

<proof>

lemma *lt-plus-le-max*: $lt (p + q) \preceq_t ord\text{-term}\text{-lin.max} (lt p) (lt q)$
<proof>

lemma *lt-minus-eqI*: $lt p \prec_t lt q \implies lt (p - q) = lt q$ **for** $p q :: 't \Rightarrow_0 'b::ab\text{-group-add}$
<proof>

lemma *lt-minus-eqI-2*: $lt q \prec_t lt p \implies lt (p - q) = lt p$ **for** $p q :: 't \Rightarrow_0 'b::ab\text{-group-add}$
<proof>

lemma *lt-minus-eqI-3*:
 assumes $lt q = lt p$ **and** $lc q \neq lc p$
 shows $lt (p - q) = lt (p::'t \Rightarrow_0 'b::ab\text{-group-add})$
<proof>

lemma *lt-minus-distinct-eq-max*:
 assumes $lt p \neq lt (q::'t \Rightarrow_0 'b::ab\text{-group-add})$
 shows $lt (p - q) = ord\text{-term}\text{-lin.max} (lt p) (lt q)$
<proof>

lemma *lt-minus-lessE*: $lt p \prec_t lt (p - q) \implies lt p \prec_t lt q$ **for** $p q :: 't \Rightarrow_0 'b::ab\text{-group-add}$
<proof>

lemma *lt-minus-lessE-2*: $lt q \prec_t lt (p - q) \implies lt q \prec_t lt p$ **for** $p q :: 't \Rightarrow_0 'b::ab\text{-group-add}$
<proof>

lemma *lt-minus-lessI*: $p - q \neq 0 \implies lt q = lt p \implies lc q = lc p \implies lt (p - q) \prec_t lt p$
 for $p q :: 't \Rightarrow_0 'b::ab\text{-group-add}$
<proof>

lemma *lt-max-keys*:
 assumes $v \in keys p$
 shows $v \preceq_t lt p$
<proof>

lemma *lt-eqI-keys*:
 assumes $v \in keys p$ **and** $a2: \bigwedge u. u \in keys p \implies u \preceq_t v$
 shows $lt p = v$
<proof>

lemma *lt-gr-keys*:
 assumes $u \in keys p$ **and** $v \prec_t u$
 shows $v \prec_t lt p$
<proof>

lemma *lt-plus-eq-maxI*:

assumes $lt\ p = lt\ q \implies lc\ p + lc\ q \neq 0$

shows $lt\ (p + q) = ord\text{-}term\text{-}lin.\text{max}\ (lt\ p)\ (lt\ q)$

<proof>

lemma *lt-monom-mult*:

assumes $c \neq (0::'b::semiring\text{-}no\text{-}zero\text{-}divisors)$ **and** $p \neq 0$

shows $lt\ (monom\text{-}mult\ c\ t\ p) = t \oplus lt\ p$

<proof>

lemma *lt-monom-mult-zero*:

assumes $c \neq (0::'b::semiring\text{-}no\text{-}zero\text{-}divisors)$

shows $lt\ (monom\text{-}mult\ c\ 0\ p) = lt\ p$

<proof>

corollary *lt-map-scale*: $c \neq (0::'b::semiring\text{-}no\text{-}zero\text{-}divisors) \implies lt\ (c \cdot p) = lt\ p$

<proof>

lemma *lc-monom-mult [simp]*: $lc\ (monom\text{-}mult\ c\ t\ p) = (c::'b::semiring\text{-}no\text{-}zero\text{-}divisors)$

$*\ lc\ p$

<proof>

corollary *lc-map-scale [simp]*: $lc\ (c \cdot p) = (c::'b::semiring\text{-}no\text{-}zero\text{-}divisors) * lc\ p$

<proof>

lemma (in *ordered-term*) *lt-mult-scalar-monomial-right*:

assumes $c \neq (0::'b::semiring\text{-}no\text{-}zero\text{-}divisors)$ **and** $p \neq 0$

shows $lt\ (p \odot monomial\ c\ v) = punit.lt\ p \oplus v$

<proof>

lemma *lc-mult-scalar-monomial-right*:

$lc\ (p \odot monomial\ c\ v) = punit.lc\ p * (c::'b::semiring\text{-}no\text{-}zero\text{-}divisors)$

<proof>

lemma *lookup-monom-mult-eq-zero*:

assumes $s \oplus lt\ p \prec_t v$

shows $lookup\ (monom\text{-}mult\ (c::'b::semiring\text{-}no\text{-}zero\text{-}divisors)\ s\ p)\ v = 0$

<proof>

lemma *in-keys-monom-mult-le*:

assumes $v \in keys\ (monom\text{-}mult\ c\ t\ p)$

shows $v \preceq_t t \oplus lt\ p$

<proof>

lemma *lt-monom-mult-le*: $lt\ (monom\text{-}mult\ c\ t\ p) \preceq_t t \oplus lt\ p$

<proof>

lemma *monom-mult-inj-2*:

assumes $\text{monom-mult } c \ t1 \ p = \text{monom-mult } c \ t2 \ p$
and $c \neq 0$ **and** $(p::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors}) \neq 0$
shows $t1 = t2$
 $\langle \text{proof} \rangle$

10.4 Trailing Term and Trailing Coefficient: tt and tc

lemma $tt\text{-zero}$ [*simp*]: $tt \ 0 = \text{min-term}$
 $\langle \text{proof} \rangle$

lemma $tc\text{-zero}$ [*simp*]: $tc \ 0 = 0$
 $\langle \text{proof} \rangle$

lemma $tt\text{-alt}$:
assumes $p \neq 0$
shows $tt \ p = \text{ord-term-lin.Min } (\text{keys } p)$
 $\langle \text{proof} \rangle$

lemma $tt\text{-min-keys}$:
assumes $v \in \text{keys } p$
shows $tt \ p \preceq_t v$
 $\langle \text{proof} \rangle$

lemma $tt\text{-min}$:
assumes $\text{lookup } p \ v \neq 0$
shows $tt \ p \preceq_t v$
 $\langle \text{proof} \rangle$

lemma $tt\text{-in-keys}$:
assumes $p \neq 0$
shows $tt \ p \in \text{keys } p$
 $\langle \text{proof} \rangle$

lemma $tt\text{-eqI}$:
assumes $v \in \text{keys } p$ **and** $\bigwedge u. u \in \text{keys } p \implies v \preceq_t u$
shows $tt \ p = v$
 $\langle \text{proof} \rangle$

lemma $tt\text{-gr}$:
assumes $\bigwedge u. u \in \text{keys } p \implies v \prec_t u$ **and** $p \neq 0$
shows $v \prec_t tt \ p$
 $\langle \text{proof} \rangle$

lemma $tt\text{-less}$:
assumes $u \in \text{keys } p$ **and** $u \prec_t v$
shows $tt \ p \prec_t v$
 $\langle \text{proof} \rangle$

lemma $tt\text{-ge}$:

assumes $\bigwedge u. u \prec_t v \implies \text{lookup } p \ u = 0$ **and** $p \neq 0$
shows $v \preceq_t \text{tt } p$
 $\langle \text{proof} \rangle$

lemma *tt-ge-keys*:
assumes $\bigwedge u. u \in \text{keys } p \implies v \preceq_t u$ **and** $p \neq 0$
shows $v \preceq_t \text{tt } p$
 $\langle \text{proof} \rangle$

lemma *tt-ge-iff*: $v \preceq_t \text{tt } p \iff ((p \neq 0 \vee v = \text{min-term}) \wedge (\forall u. u \prec_t v \longrightarrow \text{lookup } p \ u = 0))$
(is $?L \iff (?A \wedge ?B)$
 $\langle \text{proof} \rangle$

lemma *tc-not-0*:
assumes $p \neq 0$
shows $tc \ p \neq 0$
 $\langle \text{proof} \rangle$

lemma *tt-monomial*:
assumes $c \neq 0$
shows $\text{tt } (\text{monomial } c \ v) = v$
 $\langle \text{proof} \rangle$

lemma *tc-monomial [simp]*: $tc \ (\text{monomial } c \ t) = c$
 $\langle \text{proof} \rangle$

lemma *tt-plus-eqI*:
assumes $p \neq 0$ **and** $\text{tt } p \prec_t \text{tt } q$
shows $\text{tt } (p + q) = \text{tt } p$
 $\langle \text{proof} \rangle$

lemma *tt-plus-lessE*:
fixes $p \ q$
assumes $p + q \neq 0$ **and** $\text{tt}: \text{tt } (p + q) \prec_t \text{tt } p$
shows $\text{tt } q \prec_t \text{tt } p$
 $\langle \text{proof} \rangle$

lemma *tt-plus-lessI*:
fixes $p \ q :: - \Rightarrow_0 'b::\text{ring}$
assumes $p + q \neq 0$ **and** $\text{tt-eq}: \text{tt } q = \text{tt } p$ **and** $\text{tc-eq}: tc \ q = - \ tc \ p$
shows $\text{tt } p \prec_t \text{tt } (p + q)$
 $\langle \text{proof} \rangle$

lemma *tt-uminus [simp]*: $\text{tt } (- \ p) = \text{tt } p$
 $\langle \text{proof} \rangle$

lemma *tc-uminus [simp]*: $tc \ (- \ p) = - \ tc \ p$
 $\langle \text{proof} \rangle$

lemma *tt-monom-mult*:

assumes $c \neq (0::'b::\text{semiring-no-zero-divisors})$ **and** $p \neq 0$

shows $tt (\text{monom-mult } c \ t \ p) = t \oplus tt \ p$

<proof>

lemma *tt-map-scale*: $c \neq (0::'b::\text{semiring-no-zero-divisors}) \implies tt (c \cdot p) = tt \ p$

<proof>

lemma *tc-monom-mult [simp]*: $tc (\text{monom-mult } c \ t \ p) = (c::'b::\text{semiring-no-zero-divisors}) * tc \ p$

<proof>

corollary *tc-map-scale [simp]*: $tc (c \cdot p) = (c::'b::\text{semiring-no-zero-divisors}) * tc \ p$

<proof>

lemma *in-keys-monom-mult-ge*:

assumes $v \in \text{keys } (\text{monom-mult } c \ t \ p)$

shows $t \oplus tt \ p \preceq_t v$

<proof>

lemma *lt-ge-tt*: $tt \ p \preceq_t lt \ p$

<proof>

lemma *lt-eq-tt-monomial*:

assumes *is-monomial* p

shows $lt \ p = tt \ p$

<proof>

10.5 higher and lower

lemma *lookup-higher*: $\text{lookup } (\text{higher } p \ u) \ v = (\text{if } u \prec_t v \ \text{then } \text{lookup } p \ v \ \text{else } 0)$

<proof>

lemma *lookup-higher-when*: $\text{lookup } (\text{higher } p \ u) \ v = (\text{lookup } p \ v \ \text{when } u \prec_t v)$

<proof>

lemma *higher-plus*: $\text{higher } (p + q) \ v = \text{higher } p \ v + \text{higher } q \ v$

<proof>

lemma *higher-uminus [simp]*: $\text{higher } (- p) \ v = -(\text{higher } p \ v)$

<proof>

lemma *higher-minus*: $\text{higher } (p - q) \ v = \text{higher } p \ v - \text{higher } q \ v$

<proof>

lemma *higher-zero [simp]*: $\text{higher } 0 \ t = 0$

<proof>

lemma *higher-eq-iff*: $\text{higher } p \ v = \text{higher } q \ v \longleftrightarrow (\forall u. v \prec_t u \longrightarrow \text{lookup } p \ u = \text{lookup } q \ u)$ (**is** ?L \longleftrightarrow ?R)
 ⟨proof⟩

lemma *higher-eq-zero-iff*: $\text{higher } p \ v = 0 \longleftrightarrow (\forall u. v \prec_t u \longrightarrow \text{lookup } p \ u = 0)$
 ⟨proof⟩

lemma *keys-higher*: $\text{keys } (\text{higher } p \ v) = \{u \in \text{keys } p. v \prec_t u\}$
 ⟨proof⟩

lemma *higher-higher*: $\text{higher } (\text{higher } p \ u) \ v = \text{higher } p \ (\text{ord-term-lin.max } u \ v)$
 ⟨proof⟩

lemma *lookup-lower*: $\text{lookup } (\text{lower } p \ u) \ v = (\text{if } v \prec_t u \text{ then } \text{lookup } p \ v \text{ else } 0)$
 ⟨proof⟩

lemma *lookup-lower-when*: $\text{lookup } (\text{lower } p \ u) \ v = (\text{lookup } p \ v \text{ when } v \prec_t u)$
 ⟨proof⟩

lemma *lower-plus*: $\text{lower } (p + q) \ v = \text{lower } p \ v + \text{lower } q \ v$
 ⟨proof⟩

lemma *lower-uminus* [simp]: $\text{lower } (- p) \ v = - \text{lower } p \ v$
 ⟨proof⟩

lemma *lower-minus*: $\text{lower } (p - (q :: \Rightarrow_0 'b :: \text{ab-group-add})) \ v = \text{lower } p \ v - \text{lower } q \ v$
 ⟨proof⟩

lemma *lower-zero* [simp]: $\text{lower } 0 \ v = 0$
 ⟨proof⟩

lemma *lower-eq-iff*: $\text{lower } p \ v = \text{lower } q \ v \longleftrightarrow (\forall u. u \prec_t v \longrightarrow \text{lookup } p \ u = \text{lookup } q \ u)$ (**is** ?L \longleftrightarrow ?R)
 ⟨proof⟩

lemma *lower-eq-zero-iff*: $\text{lower } p \ v = 0 \longleftrightarrow (\forall u. u \prec_t v \longrightarrow \text{lookup } p \ u = 0)$
 ⟨proof⟩

lemma *keys-lower*: $\text{keys } (\text{lower } p \ v) = \{u \in \text{keys } p. u \prec_t v\}$
 ⟨proof⟩

lemma *lower-lower*: $\text{lower } (\text{lower } p \ u) \ v = \text{lower } p \ (\text{ord-term-lin.min } u \ v)$
 ⟨proof⟩

lemma *lt-higher*:
assumes $v \prec_t \text{lt } p$
shows $\text{lt } (\text{higher } p \ v) = \text{lt } p$

<proof>

lemma *lc-higher*:

assumes $v \prec_t lt\ p$

shows $lc\ (higher\ p\ v) = lc\ p$

<proof>

lemma *higher-eq-zero-iff'*: $higher\ p\ v = 0 \longleftrightarrow lt\ p \preceq_t v$

<proof>

lemma *higher-id-iff*: $higher\ p\ v = p \longleftrightarrow (p = 0 \vee v \prec_t tt\ p)$ (**is** $?L \longleftrightarrow ?R$)

<proof>

lemma *tt-lower*:

assumes $tt\ p \prec_t v$

shows $tt\ (lower\ p\ v) = tt\ p$

<proof>

lemma *tc-lower*:

assumes $tt\ p \prec_t v$

shows $tc\ (lower\ p\ v) = tc\ p$

<proof>

lemma *lt-lower*: $lt\ (lower\ p\ v) \preceq_t lt\ p$

<proof>

lemma *lt-lower-less*:

assumes $lower\ p\ v \neq 0$

shows $lt\ (lower\ p\ v) \prec_t v$

<proof>

lemma *lt-lower-eq-iff*: $lt\ (lower\ p\ v) = lt\ p \longleftrightarrow (lt\ p = min-term \vee lt\ p \prec_t v)$ (**is** $?L \longleftrightarrow ?R$)

<proof>

lemma *tt-higher*:

assumes $v \prec_t lt\ p$

shows $tt\ p \preceq_t tt\ (higher\ p\ v)$

<proof>

lemma *tt-higher-eq-iff*:

$tt\ (higher\ p\ v) = tt\ p \longleftrightarrow ((lt\ p \preceq_t v \wedge tt\ p = min-term) \vee v \prec_t tt\ p)$ (**is** $?L \longleftrightarrow ?R$)

<proof>

lemma *lower-eq-zero-iff'*: $lower\ p\ v = 0 \longleftrightarrow (p = 0 \vee v \preceq_t tt\ p)$

<proof>

lemma *lower-id-iff*: $lower\ p\ v = p \longleftrightarrow (p = 0 \vee lt\ p \prec_t v)$ (**is** $?L \longleftrightarrow ?R$)

<proof>

lemma *lower-higher-commute*: $\text{higher } (\text{lower } p \ s) \ t = \text{lower } (\text{higher } p \ t) \ s$
<proof>

lemma *lt-lower-higher*:

assumes $v \prec_t \text{lt } (\text{lower } p \ u)$

shows $\text{lt } (\text{lower } (\text{higher } p \ v) \ u) = \text{lt } (\text{lower } p \ u)$

<proof>

lemma *lc-lower-higher*:

assumes $v \prec_t \text{lt } (\text{lower } p \ u)$

shows $\text{lc } (\text{lower } (\text{higher } p \ v) \ u) = \text{lc } (\text{lower } p \ u)$

<proof>

lemma *trailing-monomial-higher*:

assumes $p \neq 0$

shows $p = (\text{higher } p \ (\text{tt } p)) + \text{monomial } (\text{tc } p) \ (\text{tt } p)$

<proof>

lemma *higher-lower-decomp*: $\text{higher } p \ v + \text{monomial } (\text{lookup } p \ v) \ v + \text{lower } p \ v = p$

<proof>

10.6 tail

lemma *lookup-tail*: $\text{lookup } (\text{tail } p) \ v = (\text{if } v \prec_t \text{lt } p \ \text{then } \text{lookup } p \ v \ \text{else } 0)$
<proof>

lemma *lookup-tail-when*: $\text{lookup } (\text{tail } p) \ v = (\text{lookup } p \ v \ \text{when } v \prec_t \text{lt } p)$
<proof>

lemma *lookup-tail-2*: $\text{lookup } (\text{tail } p) \ v = (\text{if } v = \text{lt } p \ \text{then } 0 \ \text{else } \text{lookup } p \ v)$
<proof>

lemma *leading-monomial-tail*: $p = \text{monomial } (\text{lc } p) \ (\text{lt } p) + \text{tail } p$ **for** $p :: \text{comm-monoid-add}$
<proof>

lemma *tail-alt*: $\text{tail } p = \text{except } p \ \{\text{lt } p\}$
<proof>

corollary *tail-alt-2*: $\text{tail } p = p - \text{monomial } (\text{lc } p) \ (\text{lt } p)$
<proof>

lemma *tail-zero [simp]*: $\text{tail } 0 = 0$
<proof>

lemma *lt-tail*:

assumes $\text{tail } p \neq 0$
shows $\text{lt } (\text{tail } p) \prec_t \text{lt } p$
 $\langle \text{proof} \rangle$

lemma *keys-tail*: $\text{keys } (\text{tail } p) = \text{keys } p - \{\text{lt } p\}$
 $\langle \text{proof} \rangle$

lemma *tail-monomial*: $\text{tail } (\text{monomial } c \ v) = 0$
 $\langle \text{proof} \rangle$

lemma (*in ordered-term*) *mult-scalar-tail-rec-left*:
 $p \odot q = \text{monom-mult } (\text{punit.lc } p) (\text{punit.lt } p) \ q + (\text{punit.tail } p) \odot q$
 $\langle \text{proof} \rangle$

lemma *mult-scalar-tail-rec-right*: $p \odot q = p \odot \text{monomial } (\text{lc } q) (\text{lt } q) + p \odot \text{tail } q$
 $\langle \text{proof} \rangle$

lemma *lt-tail-max*:
assumes $\text{tail } p \neq 0$ **and** $v \in \text{keys } p$ **and** $v \prec_t \text{lt } p$
shows $v \preceq_t \text{lt } (\text{tail } p)$
 $\langle \text{proof} \rangle$

lemma *keys-tail-less-lt*:
assumes $v \in \text{keys } (\text{tail } p)$
shows $v \prec_t \text{lt } p$
 $\langle \text{proof} \rangle$

lemma *tt-tail*:
assumes $\text{tail } p \neq 0$
shows $\text{tt } (\text{tail } p) = \text{tt } p$
 $\langle \text{proof} \rangle$

lemma *tc-tail*:
assumes $\text{tail } p \neq 0$
shows $\text{tc } (\text{tail } p) = \text{tc } p$
 $\langle \text{proof} \rangle$

lemma *tt-tail-min*:
assumes $s \in \text{keys } p$
shows $\text{tt } (\text{tail } p) \preceq_t s$
 $\langle \text{proof} \rangle$

lemma *tail-monom-mult*:
 $\text{tail } (\text{monom-mult } c \ t \ p) = \text{monom-mult } (c::'b::\text{semiring-no-zero-divisors}) \ t \ (\text{tail } p)$
 $\langle \text{proof} \rangle$

lemma *keys-plus-eq-lt-tt-D*:

assumes $keys (p + q) = \{lt\ p, tt\ q\}$ **and** $lt\ q \prec_t lt\ p$ **and** $tt\ q \prec_t tt\ p$ ($p :: \Rightarrow_0 'b :: comm-monoid-add$)
shows $tail\ p + higher\ q (tt\ q) = 0$
 $\langle proof \rangle$

10.7 Order Relation on Polynomials

definition $ord\text{-}strict\text{-}p :: ('t \Rightarrow_0 'b :: zero) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow bool$ (**infixl** \prec_p 50)
where

$p \prec_p q \iff (\exists v. lookup\ p\ v = 0 \wedge lookup\ q\ v \neq 0 \wedge (\forall u. v \prec_t u \longrightarrow lookup\ p\ u = lookup\ q\ u))$

definition $ord\text{-}p :: ('t \Rightarrow_0 'b :: zero) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow bool$ (**infixl** \preceq_p 50) **where**
 $ord\text{-}p\ p\ q \equiv (p \prec_p q \vee p = q)$

lemma $ord\text{-}strict\text{-}pI$:

assumes $lookup\ p\ v = 0$ **and** $lookup\ q\ v \neq 0$ **and** $\bigwedge u. v \prec_t u \implies lookup\ p\ u = lookup\ q\ u$

shows $p \prec_p q$

$\langle proof \rangle$

lemma $ord\text{-}strict\text{-}pE$:

assumes $p \prec_p q$

obtains v **where** $lookup\ p\ v = 0$ **and** $lookup\ q\ v \neq 0$ **and** $\bigwedge u. v \prec_t u \implies lookup\ p\ u = lookup\ q\ u$

$\langle proof \rangle$

lemma $not\text{-}ord\text{-}pI$:

assumes $lookup\ p\ v \neq lookup\ q\ v$ **and** $lookup\ p\ v \neq 0$ **and** $\bigwedge u. v \prec_t u \implies lookup\ p\ u = lookup\ q\ u$

shows $\neg p \preceq_p q$

$\langle proof \rangle$

corollary $not\text{-}ord\text{-}strict\text{-}pI$:

assumes $lookup\ p\ v \neq lookup\ q\ v$ **and** $lookup\ p\ v \neq 0$ **and** $\bigwedge u. v \prec_t u \implies lookup\ p\ u = lookup\ q\ u$

shows $\neg p \prec_p q$

$\langle proof \rangle$

lemma $ord\text{-}strict\text{-}higher$: $p \prec_p q \iff (\exists v. lookup\ p\ v = 0 \wedge lookup\ q\ v \neq 0 \wedge higher\ p\ v = higher\ q\ v)$

$\langle proof \rangle$

lemma $ord\text{-}strict\text{-}p\text{-}asymmetric$:

assumes $p \prec_p q$

shows $\neg q \prec_p p$

$\langle proof \rangle$

lemma $ord\text{-}strict\text{-}p\text{-}irreflexive$: $\neg p \prec_p p$

<proof>

lemma *ord-strict-p-transitive*:
assumes $a \prec_p b$ and $b \prec_p c$
shows $a \prec_p c$
<proof>

sublocale *order ord-p ord-strict-p*
<proof>

lemma *ord-p-zero-min*: $0 \preceq_p p$
<proof>

lemma *lt-ord-p*:
assumes $lt\ p \prec_t\ lt\ q$
shows $p \prec_p\ q$
<proof>

lemma *ord-p-lt*:
assumes $p \preceq_p\ q$
shows $lt\ p \preceq_t\ lt\ q$
<proof>

lemma *ord-p-tail*:
assumes $p \neq 0$ and $lt\ p = lt\ q$ and $p \prec_p\ q$
shows $tail\ p \prec_p\ tail\ q$
<proof>

lemma *tail-ord-p*:
assumes $p \neq 0$
shows $tail\ p \prec_p\ p$
<proof>

lemma *higher-lookup-eq-zero*:
assumes $pt: lookup\ p\ v = 0$ and $hp: higher\ p\ v = 0$ and $le: q \preceq_p\ p$
shows $(lookup\ q\ v = 0) \wedge (higher\ q\ v) = 0$
<proof>

lemma *ord-strict-p-recI*:
assumes $lt\ p = lt\ q$ and $lc\ p = lc\ q$ and $tail: tail\ p \prec_p\ tail\ q$
shows $p \prec_p\ q$
<proof>

lemma *ord-strict-p-recE1*:
assumes $p \prec_p\ q$
shows $q \neq 0$
<proof>

lemma *ord-strict-p-recE2*:

assumes $p \neq 0$ **and** $p \prec_p q$ **and** $lt\ p = lt\ q$
shows $lc\ p = lc\ q$
 $\langle proof \rangle$

lemma *ord-strict-p-rec* [code]:

$p \prec_p q =$
 $(q \neq 0 \wedge$
 $(p = 0 \vee$
 $(let\ v1 = lt\ p; v2 = lt\ q\ in$
 $(v1 \prec_t v2 \vee (v1 = v2 \wedge lookup\ p\ v1 = lookup\ q\ v2 \wedge lower\ p\ v1 \prec_p lower$
 $q\ v2)))$
 $)$
 $)$
 $)$
 $(is\ ?L = ?R)$
 $\langle proof \rangle$

lemma *ord-strict-p-monomial-iff*: $p \prec_p monomial\ c\ v \iff (c \neq 0 \wedge (p = 0 \vee lt\ p \prec_t v))$
 $\langle proof \rangle$

corollary *ord-strict-p-monomial-plus*:

assumes $p \prec_p monomial\ c\ v$ **and** $q \prec_p monomial\ c\ v$
shows $p + q \prec_p monomial\ c\ v$
 $\langle proof \rangle$

lemma *ord-strict-p-monom-mult*:

assumes $p \prec_p q$ **and** $c \neq (0::'b::semiring-no-zero-divisors)$
shows $monom-mult\ c\ t\ p \prec_p monom-mult\ c\ t\ q$
 $\langle proof \rangle$

lemma *ord-strict-p-plus*:

assumes $p \prec_p q$ **and** $keys\ r \cap keys\ q = \{\}$
shows $p + r \prec_p q + r$
 $\langle proof \rangle$

lemma *poly-mapping-tail-induct* [case-names 0 tail]:

assumes $P\ 0$ **and** $\bigwedge p. p \neq 0 \implies P\ (tail\ p) \implies P\ p$
shows $P\ p$
 $\langle proof \rangle$

lemma *poly-mapping-neqE*:

assumes $p \neq q$
obtains v **where** $v \in keys\ p \cup keys\ q$ **and** $lookup\ p\ v \neq lookup\ q\ v$
and $\bigwedge u. v \prec_t u \implies lookup\ p\ u = lookup\ q\ u$
 $\langle proof \rangle$

10.8 Monomials

lemma *keys-monomial*:

assumes *is-monomial* p

shows $\text{keys } p = \{\text{lt } p\}$

<proof>

lemma *monomial-eq-itself*:

assumes *is-monomial* p

shows $\text{monomial } (\text{lc } p) (\text{lt } p) = p$

<proof>

lemma *lt-eq-min-term-monomial*:

assumes $\text{lt } p = \text{min-term}$

shows $\text{monomial } (\text{lc } p) \text{ min-term} = p$

<proof>

lemma *is-monomial-monomial-ordered*:

assumes *is-monomial* p

obtains $c v$ **where** $c \neq 0$ **and** $\text{lc } p = c$ **and** $\text{lt } p = v$ **and** $p = \text{monomial } c v$

<proof>

lemma *monomial-plus-not-0*:

assumes $c \neq 0$ **and** $\text{lt } p \prec_t v$

shows $\text{monomial } c v + p \neq 0$

<proof>

lemma *lt-monomial-plus*:

assumes $c \neq (0::'b::\text{comm-monoid-add})$ **and** $\text{lt } p \prec_t v$

shows $\text{lt } (\text{monomial } c v + p) = v$

<proof>

lemma *lc-monomial-plus*:

assumes $c \neq (0::'b::\text{comm-monoid-add})$ **and** $\text{lt } p \prec_t v$

shows $\text{lc } (\text{monomial } c v + p) = c$

<proof>

lemma *tt-monomial-plus*:

assumes $p \neq (0::-\Rightarrow_0 'b::\text{comm-monoid-add})$ **and** $\text{lt } p \prec_t v$

shows $\text{tt } (\text{monomial } c v + p) = \text{tt } p$

<proof>

lemma *tc-monomial-plus*:

assumes $p \neq (0::-\Rightarrow_0 'b::\text{comm-monoid-add})$ **and** $\text{lt } p \prec_t v$

shows $\text{tc } (\text{monomial } c v + p) = \text{tc } p$

<proof>

lemma *tail-monomial-plus*:

assumes $c \neq (0::'b::\text{comm-monoid-add})$ **and** $\text{lt } p \prec_t v$

shows $\text{tail } (\text{monomial } c v + p) = p$ (**is tail ?q = -**)

<proof>

10.9 Lists of Keys

In algorithms one very often needs to compute the sorted list of all terms appearing in a list of polynomials.

definition *pps-to-list* :: 't set \Rightarrow 't list **where**
pps-to-list $S = \text{rev } (\text{ord-term-lin.sorted-list-of-set } S)$

definition *keys-to-list* :: ('t \Rightarrow_0 'b::zero) \Rightarrow 't list
where *keys-to-list* $p = \text{pps-to-list } (\text{keys } p)$

definition *Keys-to-list* :: ('t \Rightarrow_0 'b::zero) list \Rightarrow 't list
where *Keys-to-list* $ps = \text{fold } (\lambda p \ ts. \text{merge-wrt } (\succ_t) (\text{keys-to-list } p) \ ts) \ ps \ []$

Function *pps-to-list* turns finite sets of terms into sorted lists, where the lists are sorted descending (i. e. greater elements come before smaller ones).

lemma *distinct-pps-to-list*: *distinct* (*pps-to-list* S)
<proof>

lemma *set-pps-to-list*:
assumes *finite* S
shows *set* (*pps-to-list* S) = S
<proof>

lemma *length-pps-to-list*: *length* (*pps-to-list* S) = *card* S
<proof>

lemma *pps-to-list-sorted-wrt*: *sorted-wrt* (\succ_t) (*pps-to-list* S)
<proof>

lemma *pps-to-list-nth-leI*:
assumes $j \leq i$ **and** $i < \text{card } S$
shows (*pps-to-list* S) ! $i \preceq_t$ (*pps-to-list* S) ! j
<proof>

lemma *pps-to-list-nth-lessI*:
assumes $j < i$ **and** $i < \text{card } S$
shows (*pps-to-list* S) ! $i \prec_t$ (*pps-to-list* S) ! j
<proof>

lemma *pps-to-list-nth-leD*:
assumes (*pps-to-list* S) ! $i \preceq_t$ (*pps-to-list* S) ! j **and** $j < \text{card } S$
shows $j \leq i$
<proof>

lemma *pps-to-list-nth-lessD*:
assumes (*pps-to-list* S) ! $i \prec_t$ (*pps-to-list* S) ! j **and** $j < \text{card } S$
shows $j < i$

<proof>

lemma *set-keys-to-list*: $set (keys\text{-to-list } p) = keys\ p$
<proof>

lemma *length-keys-to-list*: $length (keys\text{-to-list } p) = card (keys\ p)$
<proof>

lemma *keys-to-list-zero [simp]*: $keys\text{-to-list } 0 = []$
<proof>

lemma *Keys-to-list-Nil [simp]*: $Keys\text{-to-list } [] = []$
<proof>

lemma *set-Keys-to-list*: $set (Keys\text{-to-list } ps) = Keys (set\ ps)$
<proof>

lemma *Keys-to-list-sorted-wrt-aux*:
 assumes *sorted-wrt* (\succ_t) *ts*
 shows *sorted-wrt* (\succ_t) (fold ($\lambda p\ ts.$ merge-wrt (\succ_t) (keys-to-list *p*) *ts*) *ps* *ts*)
<proof>

corollary *Keys-to-list-sorted-wrt*: *sorted-wrt* (\succ_t) (Keys-to-list *ps*)
<proof>

corollary *distinct-Keys-to-list*: *distinct* (Keys-to-list *ps*)
<proof>

lemma *length-Keys-to-list*: $length (Keys\text{-to-list } ps) = card (Keys (set\ ps))$
<proof>

lemma *Keys-to-list-eq-pps-to-list*: $Keys\text{-to-list } ps = pps\text{-to-list } (Keys (set\ ps))$
<proof>

10.10 Multiplication

lemma *in-keys-mult-scalar-le*:
 assumes $v \in keys (p \odot q)$
 shows $v \preceq_t punit.lt\ p \oplus lt\ q$
<proof>

lemma *in-keys-mult-scalar-ge*:
 assumes $v \in keys (p \odot q)$
 shows $punit.tt\ p \oplus tt\ q \preceq_t v$
<proof>

lemma (in *ordered-term*) *lookup-mult-scalar-lt-lt*:
 $lookup (p \odot q) (punit.lt\ p \oplus lt\ q) = punit.lc\ p * lc\ q$
<proof>

lemma *lookup-mult-scalar-tt-tt*: $\text{lookup } (p \odot q) (\text{punit.tt } p \oplus \text{tt } q) = \text{punit.tc } p * \text{tc } q$
 <proof>

lemma *lt-mult-scalar*:
 assumes $p \neq 0$ and $q \neq (0::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
 shows $\text{lt } (p \odot q) = \text{punit.lt } p \oplus \text{lt } q$
 <proof>

lemma *tt-mult-scalar*:
 assumes $p \neq 0$ and $q \neq (0::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
 shows $\text{tt } (p \odot q) = \text{punit.tt } p \oplus \text{tt } q$
 <proof>

lemma *lc-mult-scalar*: $\text{lc } (p \odot q) = \text{punit.lc } p * \text{lc } (q::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
 <proof>

lemma *tc-mult-scalar*: $\text{tc } (p \odot q) = \text{punit.tc } p * \text{tc } (q::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
 <proof>

lemma *mult-scalar-not-zero*:
 assumes $p \neq 0$ and $q \neq (0::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
 shows $p \odot q \neq 0$
 <proof>

end

context *ordered-powerprod*
begin

lemmas *in-keys-times-le* = $\text{punit.in-keys-mult-scalar-le}[\text{simplified}]$
lemmas *in-keys-times-ge* = $\text{punit.in-keys-mult-scalar-ge}[\text{simplified}]$
lemmas *lookup-times-lp-lp* = $\text{punit.lookup-mult-scalar-lt-lt}[\text{simplified}]$
lemmas *lookup-times-tp-tp* = $\text{punit.lookup-mult-scalar-tt-tt}[\text{simplified}]$
lemmas *lookup-times-monomial-right-plus* = $\text{punit.lookup-mult-scalar-monomial-right-plus}[\text{simplified}]$
lemmas *lookup-times-monomial-right* = $\text{punit.lookup-mult-scalar-monomial-right}[\text{simplified}]$
lemmas *lp-times* = $\text{punit.lt-mult-scalar}[\text{simplified}]$
lemmas *tp-times* = $\text{punit.tt-mult-scalar}[\text{simplified}]$
lemmas *lc-times* = $\text{punit.lc-mult-scalar}[\text{simplified}]$
lemmas *tc-times* = $\text{punit.tc-mult-scalar}[\text{simplified}]$
lemmas *times-not-zero* = $\text{punit.mult-scalar-not-zero}[\text{simplified}]$
lemmas *times-tail-rec-left* = $\text{punit.mult-scalar-tail-rec-left}[\text{simplified}]$
lemmas *times-tail-rec-right* = $\text{punit.mult-scalar-tail-rec-right}[\text{simplified}]$
lemmas *punit-in-keys-monom-mult-le* = $\text{punit.in-keys-monom-mult-le}[\text{simplified}]$
lemmas *punit-in-keys-monom-mult-ge* = $\text{punit.in-keys-monom-mult-ge}[\text{simplified}]$
lemmas *lp-monom-mult* = $\text{punit.lt-monom-mult}[\text{simplified}]$
lemmas *tp-monom-mult* = $\text{punit.tt-monom-mult}[\text{simplified}]$

end

10.11 *dgrad-p-set* and *dgrad-p-set-le*

locale *gd-term* =

ordered-term pair-of-term term-of-pair ord ord-strict ord-term ord-term-strict
for *pair-of-term*:: $t \Rightarrow ('a::\text{graded-dickson-powerprod} \times 'k::\{\text{the-min,wellorder}\})$
and *term-of-pair*:: $('a \times 'k) \Rightarrow t$
and *ord*:: $'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infixl** \leq 50)
and *ord-strict* (**infixl** $<$ 50)
and *ord-term*:: $t \Rightarrow t \Rightarrow \text{bool}$ (**infixl** \preceq_t 50)
and *ord-term-strict*:: $t \Rightarrow t \Rightarrow \text{bool}$ (**infixl** $<_t$ 50)

begin

sublocale *gd-powerprod* $\langle \text{proof} \rangle$

lemma *adds-term-antisym*:

assumes $u \text{ adds}_t v$ **and** $v \text{ adds}_t u$
shows $u = v$
 $\langle \text{proof} \rangle$

definition *dgrad-p-set* :: $('a \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow ('t \Rightarrow_0 'b::\text{zero}) \text{ set}$

where *dgrad-p-set* $d m = \{p. \text{pp-of-term } ' \text{ keys } p \subseteq \text{dgrad-set } d m\}$

definition *dgrad-p-set-le* :: $('a \Rightarrow \text{nat}) \Rightarrow (('t \Rightarrow_0 'b) \text{ set}) \Rightarrow (('t \Rightarrow_0 'b::\text{zero}) \text{ set})$
 $\Rightarrow \text{bool}$

where *dgrad-p-set-le* $d F G \longleftrightarrow (\text{dgrad-set-le } d (\text{pp-of-term } ' \text{ Keys } F) (\text{pp-of-term } ' \text{ Keys } G))$

lemma *in-dgrad-p-set-iff*: $p \in \text{dgrad-p-set } d m \longleftrightarrow (\forall v \in \text{keys } p. d (\text{pp-of-term } v) \leq m)$

$\langle \text{proof} \rangle$

lemma *dgrad-p-setI* [*intro*]:

assumes $\bigwedge v. v \in \text{keys } p \implies d (\text{pp-of-term } v) \leq m$
shows $p \in \text{dgrad-p-set } d m$
 $\langle \text{proof} \rangle$

lemma *dgrad-p-setD*:

assumes $p \in \text{dgrad-p-set } d m$ **and** $v \in \text{keys } p$
shows $d (\text{pp-of-term } v) \leq m$
 $\langle \text{proof} \rangle$

lemma *zero-in-dgrad-p-set*: $0 \in \text{dgrad-p-set } d m$

$\langle \text{proof} \rangle$

lemma *dgrad-p-set-zero* [*simp*]: $\text{dgrad-p-set } (\lambda-. 0) m = \text{UNIV}$

$\langle \text{proof} \rangle$

lemma *subset-dgrad-p-set-zero*: $F \subseteq \text{dgrad-p-set } (\lambda-. 0) m$
<proof>

lemma *dgrad-p-set-subset*:
assumes $m \leq n$
shows $\text{dgrad-p-set } d m \subseteq \text{dgrad-p-set } d n$
<proof>

lemma *dgrad-p-setD-lp*:
assumes $p \in \text{dgrad-p-set } d m$ **and** $p \neq 0$
shows $d (lp p) \leq m$
<proof>

lemma *dgrad-p-set-exhaust-expl*:
assumes *finite* F
shows $F \subseteq \text{dgrad-p-set } d (\text{Max } (d \text{ ' pp-of-term ' Keys } F))$
<proof>

lemma *dgrad-p-set-exhaust*:
assumes *finite* F
obtains m **where** $F \subseteq \text{dgrad-p-set } d m$
<proof>

lemma *dgrad-p-set-insert*:
assumes $F \subseteq \text{dgrad-p-set } d m$
obtains n **where** $m \leq n$ **and** $f \in \text{dgrad-p-set } d n$ **and** $F \subseteq \text{dgrad-p-set } d n$
<proof>

lemma *dgrad-p-set-leI*:
assumes $\bigwedge f. f \in F \implies \text{dgrad-p-set-le } d \{f\} G$
shows $\text{dgrad-p-set-le } d F G$
<proof>

lemma *dgrad-p-set-le-trans* [*trans*]:
assumes $\text{dgrad-p-set-le } d F G$ **and** $\text{dgrad-p-set-le } d G H$
shows $\text{dgrad-p-set-le } d F H$
<proof>

lemma *dgrad-p-set-le-subset*:
assumes $F \subseteq G$
shows $\text{dgrad-p-set-le } d F G$
<proof>

lemma *dgrad-p-set-leI-insert-keys*:
assumes $\text{dgrad-p-set-le } d F G$ **and** $\text{dgrad-set-le } d (\text{pp-of-term ' keys } f) (\text{pp-of-term ' Keys } G)$
shows $\text{dgrad-p-set-le } d (\text{insert } f F) G$
<proof>

lemma *dgrad-p-set-leI-insert*:
assumes *dgrad-p-set-le d F G* **and** *dgrad-p-set-le d {f} G*
shows *dgrad-p-set-le d (insert f F) G*
 \langle *proof* \rangle

lemma *dgrad-p-set-leI-Un*:
assumes *dgrad-p-set-le d F1 G* **and** *dgrad-p-set-le d F2 G*
shows *dgrad-p-set-le d (F1 \cup F2) G*
 \langle *proof* \rangle

lemma *dgrad-p-set-le-dgrad-p-set*:
assumes *dgrad-p-set-le d F G* **and** $G \subseteq$ *dgrad-p-set d m*
shows $F \subseteq$ *dgrad-p-set d m*
 \langle *proof* \rangle

lemma *dgrad-p-set-le-except*: *dgrad-p-set-le d {except p S} {p}*
 \langle *proof* \rangle

lemma *dgrad-p-set-le-tail*: *dgrad-p-set-le d {tail p} {p}*
 \langle *proof* \rangle

lemma *dgrad-p-set-le-plus*: *dgrad-p-set-le d {p + q} {p, q}*
 \langle *proof* \rangle

lemma *dgrad-p-set-le-uminus*: *dgrad-p-set-le d {-p} {p}*
 \langle *proof* \rangle

lemma *dgrad-p-set-le-minus*: *dgrad-p-set-le d {p - q} {p, q}*
 \langle *proof* \rangle

lemma *dgrad-set-le-monom-mult*:
assumes *dickson-grading d*
shows *dgrad-set-le d (pp-of-term ‘ keys (monom-mult c t p)) (insert t (pp-of-term ‘ keys p))*
 \langle *proof* \rangle

lemma *dgrad-p-set-closed-plus*:
assumes $p \in$ *dgrad-p-set d m* **and** $q \in$ *dgrad-p-set d m*
shows $p + q \in$ *dgrad-p-set d m*
 \langle *proof* \rangle

lemma *dgrad-p-set-closed-uminus*:
assumes $p \in$ *dgrad-p-set d m*
shows $-p \in$ *dgrad-p-set d m*
 \langle *proof* \rangle

lemma *dgrad-p-set-closed-minus*:
assumes $p \in$ *dgrad-p-set d m* **and** $q \in$ *dgrad-p-set d m*
shows $p - q \in$ *dgrad-p-set d m*

<proof>

lemma *dgrad-p-set-closed-monom-mult:*

assumes *dickson-grading* d **and** $d \ t \leq m$ **and** $p \in \text{dgrad-p-set } d \ m$
shows *monom-mult* $c \ t \ p \in \text{dgrad-p-set } d \ m$

<proof>

lemma *dgrad-p-set-closed-monom-mult-zero:*

assumes $p \in \text{dgrad-p-set } d \ m$
shows *monom-mult* $c \ 0 \ p \in \text{dgrad-p-set } d \ m$

<proof>

lemma *dgrad-p-set-closed-except:*

assumes $p \in \text{dgrad-p-set } d \ m$
shows *except* $p \ S \in \text{dgrad-p-set } d \ m$

<proof>

lemma *dgrad-p-set-closed-tail:*

assumes $p \in \text{dgrad-p-set } d \ m$
shows *tail* $p \in \text{dgrad-p-set } d \ m$

<proof>

10.12 Dickson's Lemma for Sequences of Terms

lemma *Dickson-term:*

assumes *dickson-grading* d **and** *finite* K
shows *almost-full-on* $(\text{adds}_t) \ \{t. \text{pp-of-term } t \in \text{dgrad-set } d \ m \wedge \text{component-of-term } t \in K\}$
(*is almost-full-on - ?A*)

<proof>

corollary *Dickson-termE:*

assumes *dickson-grading* d **and** *finite* $(\text{component-of-term } \text{'range } (f::\text{nat} \Rightarrow 't))$
and *pp-of-term* $\text{'range } f \subseteq \text{dgrad-set } d \ m$
obtains $i \ j$ **where** $i < j$ **and** $f \ i \ \text{adds}_t \ f \ j$

<proof>

lemma *ex-finite-adds-term:*

assumes *dickson-grading* d **and** *finite* $(\text{component-of-term } \text{' } S)$ **and** *pp-of-term* $\text{' } S \subseteq \text{dgrad-set } d \ m$
obtains T **where** *finite* T **and** $T \subseteq S$ **and** $\bigwedge s. s \in S \implies (\exists t \in T. t \ \text{adds}_t \ s)$

<proof>

10.13 Well-foundedness

definition *dickson-less-v* :: $('a \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow 't \Rightarrow 't \Rightarrow \text{bool}$

where *dickson-less-v* $d \ m \ v \ u \longleftrightarrow (d \ (\text{pp-of-term } v) \leq m \wedge d \ (\text{pp-of-term } u) \leq m \wedge v \prec_t u)$

definition *dickson-less-p* :: ('a ⇒ nat) ⇒ nat ⇒ ('t ⇒₀ 'b) ⇒ ('t ⇒₀ 'b::zero) ⇒ bool

where *dickson-less-p* d m p q ⇔ ({p, q} ⊆ dgrad-p-set d m ∧ p <_p q)

lemma *dickson-less-vI*:

assumes d (pp-of-term v) ≤ m **and** d (pp-of-term u) ≤ m **and** v <_t u

shows *dickson-less-v* d m v u

⟨proof⟩

lemma *dickson-less-vD1*:

assumes *dickson-less-v* d m v u

shows d (pp-of-term v) ≤ m

⟨proof⟩

lemma *dickson-less-vD2*:

assumes *dickson-less-v* d m v u

shows d (pp-of-term u) ≤ m

⟨proof⟩

lemma *dickson-less-vD3*:

assumes *dickson-less-v* d m v u

shows v <_t u

⟨proof⟩

lemma *dickson-less-v-irrefl*: ¬ *dickson-less-v* d m v v

⟨proof⟩

lemma *dickson-less-v-trans*:

assumes *dickson-less-v* d m v u **and** *dickson-less-v* d m u w

shows *dickson-less-v* d m v w

⟨proof⟩

lemma *wf-dickson-less-v-aux1*:

assumes *dickson-grading* d **and** $\bigwedge i::nat. \text{dickson-less-v } d \ m \ (\text{seq } (\text{Suc } i)) \ (\text{seq } i)$

obtains i **where** $\bigwedge j. j > i \implies \text{component-of-term } (\text{seq } j) < \text{component-of-term } (\text{seq } i)$

⟨proof⟩

lemma *wf-dickson-less-v-aux2*:

assumes *dickson-grading* d **and** $\bigwedge i::nat. \text{dickson-less-v } d \ m \ (\text{seq } (\text{Suc } i)) \ (\text{seq } i)$

and $\bigwedge i::nat. \text{component-of-term } (\text{seq } i) < k$

shows *thesis*

⟨proof⟩

lemma *wf-dickson-less-v*:

assumes *dickson-grading* d

shows wfP (*dickson-less-v* d m)

⟨proof⟩

lemma *dickson-less-v-zero*: *dickson-less-v* $(\lambda-. 0) m = (\prec_t)$
<proof>

lemma *dickson-less-pI*:
assumes $p \in \text{dgrad-p-set } d \ m$ **and** $q \in \text{dgrad-p-set } d \ m$ **and** $p \prec_p q$
shows *dickson-less-p* $d \ m \ p \ q$
<proof>

lemma *dickson-less-pD1*:
assumes *dickson-less-p* $d \ m \ p \ q$
shows $p \in \text{dgrad-p-set } d \ m$
<proof>

lemma *dickson-less-pD2*:
assumes *dickson-less-p* $d \ m \ p \ q$
shows $q \in \text{dgrad-p-set } d \ m$
<proof>

lemma *dickson-less-pD3*:
assumes *dickson-less-p* $d \ m \ p \ q$
shows $p \prec_p q$
<proof>

lemma *dickson-less-p-irrefl*: $\neg \text{dickson-less-p } d \ m \ p \ p$
<proof>

lemma *dickson-less-p-trans*:
assumes *dickson-less-p* $d \ m \ p \ q$ **and** *dickson-less-p* $d \ m \ q \ r$
shows *dickson-less-p* $d \ m \ p \ r$
<proof>

lemma *dickson-less-p-mono*:
assumes *dickson-less-p* $d \ m \ p \ q$ **and** $m \leq n$
shows *dickson-less-p* $d \ n \ p \ q$
<proof>

lemma *dickson-less-p-zero*: *dickson-less-p* $(\lambda-. 0) m = (\prec_p)$
<proof>

lemma *wf-dickson-less-p-aux*:
assumes *dickson-grading* d
assumes $x \in Q$ **and** $\forall y \in Q. y \neq 0 \longrightarrow (y \in \text{dgrad-p-set } d \ m \wedge \text{dickson-less-v } d \ m \ (lt \ y) \ u)$
shows $\exists p \in Q. (\forall q \in Q. \neg \text{dickson-less-p } d \ m \ q \ p)$
<proof>

theorem *wf-dickson-less-p*:
assumes *dickson-grading* d
shows *wfP* (*dickson-less-p* $d \ m$)

<proof>

corollary *ord-p-minimum-dgrad-p-set:*

assumes *dickson-grading* d **and** $x \in Q$ **and** $Q \subseteq \text{dgrad-p-set } d \ m$

obtains q **where** $q \in Q$ **and** $\bigwedge y. y \prec_p q \implies y \notin Q$

<proof>

lemma *ord-term-minimum-dgrad-set:*

assumes *dickson-grading* d **and** $v \in V$ **and** *pp-of-term* $V \subseteq \text{dgrad-set } d \ m$

obtains u **where** $u \in V$ **and** $\bigwedge w. w \prec_t u \implies w \notin V$

<proof>

end

10.14 More Interpretations

context *gd-powerprod*

begin

sublocale *punit: gd-term to-pair-unit fst* $(\preceq) (\prec) (\preceq_t) (\prec_t)$ *<proof>*

end

locale *od-term =*

ordered-term pair-of-term term-of-pair ord ord-strict ord-term ord-term-strict

for *pair-of-term::'t \Rightarrow ('a::dickson-powerprod \times 'k::{the-min,wellorder})*

and *term-of-pair::('a \times 'k) \Rightarrow 't*

and *ord::'a \Rightarrow 'a \Rightarrow bool (infixl \preceq 50)*

and *ord-strict (infixl \prec 50)*

and *ord-term::'t \Rightarrow 't \Rightarrow bool (infixl \preceq_t 50)*

and *ord-term-strict::'t \Rightarrow 't \Rightarrow bool (infixl \prec_t 50)*

begin

sublocale *gd-term* *<proof>*

lemma *ord-p-wf: wfP* (\prec_p)

<proof>

end

end

theory *Poly-Mapping-Finite-Map*

imports

More-MPoly-Type

HOL-Library.Finite-Map

begin

10.15 TODO: move!

lemma *fmdom'-fmap-of-list*: $fmdom' (fmap\ of\ list\ xs) = set (map\ fst\ xs)$
<proof>

In this theory, type $'a \Rightarrow_0 'b$ is represented as association lists. Code equations are proved in order actually perform computations (addition, multiplication, etc.).

10.16 Utilities

instantiation *poly-mapping* :: (type, {equal, zero}) equal
begin

definition *equal-poly-mapping*::('a, 'b) poly-mapping \Rightarrow ('a, 'b) poly-mapping \Rightarrow bool **where**

equal-poly-mapping p q $\equiv (\forall t. lookup\ p\ t = lookup\ q\ t)$

instance *<proof>*

end

definition *clearjunk0* m = *fmsfilter* ($\lambda k. fmlookup\ m\ k \neq Some\ 0$) m

definition *fmlookup-default* d m x = (case *fmlookup* m x of *Some* v \Rightarrow v | *None* \Rightarrow d)

abbreviation *lookup0* $\equiv fmlookup\ default\ 0$

lemma *fmlookup-default-fmmap*:

fmlookup-default d (*fmmap* f M) x = (if x \in *fmdom'* M then f (*fmlookup-default* d M x) else d)

<proof>

lemma *fmlookup-default-fmmap-keys*: *fmlookup-default* d (*fmmap-keys* f M) x = (if x \in *fmdom'* M then f x (*fmlookup-default* d M x) else d)

<proof>

lemma *fmlookup-default-add[simp]*:

fmlookup-default d (m ++_f n) x =
(if x \in *fmdom* n then the (*fmlookup* n x)
else *fmlookup-default* d m x)

<proof>

lemma *fmlookup-default-if[simp]*:

fmlookup ys a = *Some* r $\implies fmlookup\ default\ d\ ys\ a = r$
fmlookup ys a = *None* $\implies fmlookup\ default\ d\ ys\ a = d$

<proof>

lemma *finite-lookup-default*:

finite {x. *fmlookup-default* d xs x \neq d}
<proof>

lemma *lookup0-clearjunk0*: $lookup0\ xs\ s = lookup0\ (clearjunk0\ xs)\ s$
 ⟨proof⟩

lemma *clearjunk0-nonzero*:
 assumes $t \in fndom'\ (clearjunk0\ xs)$
 shows $fmlookup\ xs\ t \neq Some\ 0$
 ⟨proof⟩

lemma *clearjunk0-map-of-SomeD*:
 assumes $a1: fmlookup\ xs\ t = Some\ c$ and $c \neq 0$
 shows $t \in fndom'\ (clearjunk0\ xs)$
 ⟨proof⟩

10.17 Implementation of Polynomial Mappings as Association Lists

lift-definition *Pm-fmap*:: $(\ 'a, \ 'b::zero) fmap \Rightarrow \ 'a \Rightarrow_0 \ 'b$ is *lookup0*
 ⟨proof⟩

lemmas [*simp*] = *Pm-fmap.rep-eq*

code-datatype *Pm-fmap*

lemma *PM-clearjunk0-cong*:
 $Pm-fmap\ (clearjunk0\ xs) = Pm-fmap\ xs$
 ⟨proof⟩

lemma *PM-all-2*:
 assumes $P\ 0\ 0$
 shows $(\forall x. P\ (lookup\ (Pm-fmap\ xs)\ x)\ (lookup\ (Pm-fmap\ ys)\ x)) =$
 $fmpred\ (\lambda k\ v. P\ (lookup0\ xs\ k)\ (lookup0\ ys\ k))\ (xs\ ++_f\ ys)$
 ⟨proof⟩

lemma *compute-keys-pp[code]*: $keys\ (Pm-fmap\ xs) = fndom'\ (clearjunk0\ xs)$
 ⟨proof⟩

lemma *compute-zero-pp[code]*: $0 = Pm-fmap\ fmempty$
 ⟨proof⟩

lemma *compute-plus-pp [code]*:
 $Pm-fmap\ xs + Pm-fmap\ ys = Pm-fmap\ (clearjunk0\ (fmap-keys\ (\lambda k\ v. lookup0\ xs\ k + lookup0\ ys\ k)\ (xs\ ++_f\ ys)))$
 ⟨proof⟩

lemma *compute-lookup-pp[code]*:
 $lookup\ (Pm-fmap\ xs)\ x = lookup0\ xs\ x$
 ⟨proof⟩

lemma *compute-minus-pp [code]*:

$Pm\text{-fmap } xs - Pm\text{-fmap } ys = Pm\text{-fmap } (clearjunk0 (fmmap\text{-keys } (\lambda k v. lookup0\ xs\ k - lookup0\ ys\ k) (xs\ ++_f\ ys)))$
 ⟨proof⟩

lemma *compute-uminus-pp*[code]:
 $- Pm\text{-fmap } ys = Pm\text{-fmap } (fmmap\text{-keys } (\lambda k v. - lookup0\ ys\ k) ys)$
 ⟨proof⟩

lemma *compute-equal-pp*[code]:
 $equal\text{-class.equal } (Pm\text{-fmap } xs) (Pm\text{-fmap } ys) = fmpred (\lambda k v. lookup0\ xs\ k = lookup0\ ys\ k) (xs\ ++_f\ ys)$
 ⟨proof⟩

lemma *compute-map-pp*[code]:
 $Poly\text{-Mapping.map } f (Pm\text{-fmap } xs) = Pm\text{-fmap } (fmmap (\lambda x. f\ x\ when\ x \neq 0) xs)$
 ⟨proof⟩

lemma *fmran'-fmfilter-eq*: $fmran' (fmfilter\ p\ fm) = \{y \mid y. \exists x \in fmdom'\ fm. p\ x \wedge fmlookup\ fm\ x = Some\ y\}$
 ⟨proof⟩

lemma *compute-range-pp*[code]:
 $Poly\text{-Mapping.range } (Pm\text{-fmap } xs) = fmran' (clearjunk0\ xs)$
 ⟨proof⟩

10.17.1 Constructors

definition $sparse_0\ xs = Pm\text{-fmap } (fmap\text{-of-list } xs)$ — sparse representation

definition $dense_0\ xs = Pm\text{-fmap } (fmap\text{-of-list } (zip\ [0..<length\ xs]\ xs))$ — dense representation

lemma *compute-single*[code]: $Poly\text{-Mapping.single } k\ v = sparse_0\ [(k, v)]$
 ⟨proof⟩

end

11 Executable Representation of Polynomial Mappings as Association Lists

theory *MPoly-Type-Class-FMap*

imports

MPoly-Type-Class-Ordered

Poly-Mapping-Finite-Map

begin

In this theory, (type class) multivariate polynomials of type $'a \Rightarrow_0 'b$ are represented as association lists.

It is important to note that theory *MPoly-Type-Class-OAlist*, which represents polynomials as *ordered* associative lists, is much better suited for doing actual computations. This theory is only included for being able to compare the two representations in terms of efficiency.

11.1 Power Products

lemma *compute-lcs-pp*[code]:

$lcs (Pm-fmap\ xs) (Pm-fmap\ ys) =$
 $Pm-fmap (fmmmap-keys (\lambda k\ v.\ Orderings.max (lookup0\ xs\ k) (lookup0\ ys\ k)) (xs$
 $++_f\ ys))$
 ⟨proof⟩

lemma *compute-deg-pp*[code]:

$deg-pm (Pm-fmap\ xs) = sum (the\ o\ fmlookup\ xs) (fmdom'\ xs)$
 ⟨proof⟩

definition *adds-pp-add-linorder* :: ('b \Rightarrow_0 'a::add-linorder) \Rightarrow - \Rightarrow bool
where [code-abbrev]: *adds-pp-add-linorder* = (adds)

lemma *compute-adds-pp*[code]:

$adds-pp-add-linorder (Pm-fmap\ xs) (Pm-fmap\ ys) =$
 $(fmpred (\lambda k\ v.\ lookup0\ xs\ k \leq lookup0\ ys\ k) (xs\ ++_f\ ys))$
for $xs\ ys::('a,\ 'b::add-linorder-min)\ fmap$
 ⟨proof⟩

Computing *lex* as below is certainly not the most efficient way, but it works.

lemma *lex-pm-iff*: $lex-pm\ s\ t = (\forall x.\ lookup\ s\ x \leq lookup\ t\ x \vee (\exists y < x.\ lookup\ s\ y \neq lookup\ t\ y))$
 ⟨proof⟩

lemma *compute-lex-pp*[code]:

$(lex-pm (Pm-fmap\ xs) (Pm-fmap (ys::(-, -:ordered-comm-monoid-add)\ fmap)))$
 =
 $(let\ zs = xs\ ++_f\ ys\ in$
 $fmpred (\lambda x\ v.$
 $lookup0\ xs\ x \leq lookup0\ ys\ x \vee$
 $\neg fmpred (\lambda y\ w.\ y \geq x \vee lookup0\ xs\ y = lookup0\ ys\ y)\ zs)\ zs$
)
 ⟨proof⟩

lemma *compute-dord-pp*[code]:

$(dord-pm\ ord (Pm-fmap\ xs) (Pm-fmap (ys::('a::wellorder,\ 'b::ordered-comm-monoid-add)\ fmap))) =$
 $(let\ dx = deg-pm (Pm-fmap\ xs)\ in\ let\ dy = deg-pm (Pm-fmap\ ys)\ in$
 $dx < dy \vee (dx = dy \wedge ord (Pm-fmap\ xs) (Pm-fmap\ ys))$
)
 ⟨proof⟩

11.1.1 Computations

experiment begin

abbreviation $X \equiv 0::nat$

abbreviation $Y \equiv 1::nat$

abbreviation $Z \equiv 2::nat$

lemma

$sparse_0 [(X, 2::nat), (Z, 7)] + sparse_0 [(Y, 3), (Z, 2)] = sparse_0 [(X, 2), (Z, 9), (Y, 3)]$
 $dense_0 [2, 0, 7::nat] + dense_0 [0, 3, 2] = dense_0 [2, 3, 9]$
<proof>

lemma

$sparse_0 [(X, 2::nat), (Z, 7)] - sparse_0 [(X, 2), (Z, 2)] = sparse_0 [(Z, 5)]$
<proof>

lemma

$lcs (sparse_0 [(X, 2::nat), (Y, 1), (Z, 7)]) (sparse_0 [(Y, 3), (Z, 2)]) = sparse_0 [(X, 2), (Y, 3), (Z, 7)]$
<proof>

lemma

$(sparse_0 [(X, 2::nat), (Z, 1)]) adds (sparse_0 [(X, 3), (Y, 2), (Z, 1)])$
<proof>

lemma

$lookup (sparse_0 [(X, 2::nat), (Z, 3)]) X = 2$
<proof>

lemma

$deg-pm (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3), (X, 1)]) = 6$
<proof>

lemma

$lex-pm (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)])$
<proof>

lemma

$lex-pm (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)])$
<proof>

lemma

$\neg (dlex-pm (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)]))$
<proof>

lemma

$dlex-pm (sparse_0 [(X, 2::nat), (Y, 1), (Z, 2)]) (sparse_0 [(X, 5)])$
<proof>

lemma
 $\neg (\text{drlex-pm } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 2)]) (\text{sparse}_0 [(X, 5)]))$
 $\langle \text{proof} \rangle$

end

11.2 Implementation of Multivariate Polynomials as Association Lists

11.2.1 Unordered Power-Products

lemma *compute-monomial* [code]:
 $\text{monomial } c \ t = (\text{if } c = 0 \text{ then } 0 \text{ else } \text{sparse}_0 [(t, c)])$
 $\langle \text{proof} \rangle$

lemma *compute-one-poly-mapping* [code]: $1 = \text{sparse}_0 [(0, 1)]$
 $\langle \text{proof} \rangle$

lemma *compute-except-poly-mapping* [code]:
 $\text{except } (\text{Pm-fmap } xs) \ S = \text{Pm-fmap } (\text{fmsfilter } (\lambda k. k \notin S) \ xs)$
 $\langle \text{proof} \rangle$

lemma *lookup0-fmap-of-list-simps*:
 $\text{lookup0 } (\text{fmap-of-list } ((x, y)\#xs)) \ i = (\text{if } x = i \text{ then } y \text{ else } \text{lookup0 } (\text{fmap-of-list } xs) \ i)$
 $\text{lookup0 } (\text{fmap-of-list } []) \ i = 0$
 $\langle \text{proof} \rangle$

lemma *if-poly-mapping-eq-iff*:
 $(\text{if } x = y \text{ then } a \text{ else } b) =$
 $(\text{if } (\forall i \in \text{keys } x \cup \text{keys } y. \text{lookup } x \ i = \text{lookup } y \ i) \text{ then } a \text{ else } b)$
 $\langle \text{proof} \rangle$

lemma *keys-add-eq*: $\text{keys } (a + b) = \text{keys } a \cup \text{keys } b - \{x \in \text{keys } a \cap \text{keys } b. \text{lookup } a \ x + \text{lookup } b \ x = 0\}$
 $\langle \text{proof} \rangle$

context *term-powerprod*
begin

context includes *fmap.lifting* **begin**

lift-definition *shift-keys*:: $'a \Rightarrow ('t, 'b) \text{fmap} \Rightarrow ('t, 'b) \text{fmap}$
is $\lambda t \ m \ x. \text{if } t \ \text{adds}_p \ x \ \text{then } m \ (x \ominus t) \ \text{else } \text{None}$
 $\langle \text{proof} \rangle$

definition *shift-map-keys* $t \ f \ m = \text{fmmap } f \ (\text{shift-keys } t \ m)$

lemma *compute-shift-map-keys*[code]:

shift-map-keys $t f$ (*fmap-of-list* xs) = *fmap-of-list* (*map* ($\lambda(k, v). (t \oplus k, f v)$) xs)

<proof>

end

lemmas [*simp*] = *compute-zero-pp*[*symmetric*]

lemma *compute-monom-mult-poly-mapping* [*code*]:

monom-mult $c t$ (*Pm-fmap* xs) = *Pm-fmap* (*if* $c = 0$ then *fmempty* else *shift-map-keys* t ($(*) c$) xs)

<proof>

lemma *compute-mult-scalar-poly-mapping* [*code*]:

Pm-fmap (*fmap-of-list* xs) $\odot q$ = (*case* xs of ($(t, c) \# ys$) \Rightarrow (*monom-mult* $c t q$ + *except* (*Pm-fmap* (*fmap-of-list* ys)) $\{t\} \odot q$) | - \Rightarrow *Pm-fmap* *fmempty*)

<proof>

end

11.2.2 restore constructor view

named-theorems *mpoly-simps*

definition *monomial1* pp = *monomial* 1 pp

lemma *monomial1-Nil*[*mpoly-simps*]: *monomial1* 0 = 1

<proof>

lemma *monomial-mp*: *monomial* c ($pp::'a \Rightarrow_0 nat$) = *Const*₀ c * *monomial1* pp

for $c::'b::comm-semiring-1$

<proof>

lemma *monomial1-add*: (*monomial1* ($a + b$))::($'a::monoid-add \Rightarrow_0 'b::comm-semiring-1$) = *monomial1* a * *monomial1* b

<proof>

lemma *monomial1-monomial*: *monomial1* (*monomial* $n v$) = (*Var*₀ $v::\Rightarrow_0 ('b::comm-semiring-1)$) n

<proof>

lemma *Ball-True*: ($\forall x \in X. True$) $\longleftrightarrow True$ *<proof>*

lemma *Collect-False*: $\{x. False\} = \{\}$ *<proof>*

lemma *Pm-fmap-sum*: *Pm-fmap* f = ($\sum x \in fndom' f. monomial (lookup0 f x)$ x)

including *fmap.lifting*

<proof>

lemma *MPoly-numeral*: $MPoly\ (numeral\ x) = numeral\ x$
 ⟨proof⟩

lemma *MPoly-power*: $MPoly\ (x\ ^\ n) = MPoly\ x\ ^\ n$
 ⟨proof⟩

lemmas [*mpoly-simps*] = *Pm-fmap-sum*
add.assoc[symmetric] mult.assoc[symmetric]
add-0 add-0-right mult-1 mult-1-right mult-zero-left mult-zero-right power-0 power-one-right
fndom'-fmap-of-list
list.map fst-conv
sum.insert-remove finite-insert finite.emptyI
lookup0-fmap-of-list-simps
num.simps rel-simps
if-True if-False
insert-Diff-if insert-iff empty-Diff empty-iff
simp-thms
sum.empty
if-poly-mapping-eq-iff
keys-zero keys-one
keys-add-eq
keys-single
Un-insert-left Un-empty-left
Int-insert-left Int-empty-left
Collect-False
lookup-add lookup-single lookup-zero lookup-one
Set.ball-simps
when-simps
monomial-mp
monomial1-add
monomial1-monomial
Const₀-one Const₀-zero Const₀-numeral Const₀-minus
set-simps

A simproc for postprocessing with *mpoly-simps* and not polluting [*code-post*]:

⟨ML⟩

11.2.3 Ordered Power-Products

lemma *foldl-assoc*:
assumes $\bigwedge x\ y\ z. f\ (f\ x\ y)\ z = f\ x\ (f\ y\ z)$
shows $foldl\ f\ (f\ a\ b)\ xs = f\ a\ (foldl\ f\ b\ xs)$
 ⟨proof⟩

context *ordered-term*
begin

definition *list-max::'t list \Rightarrow 't* **where**
list-max xs \equiv foldl ord-term-lin.max min-term xs

lemma *list-max-Cons*: $list-max (x \# xs) = ord-term-lin.max x (list-max xs)$
<proof>

lemma *list-max-empty*: $list-max [] = min-term$
<proof>

lemma *list-max-in-list*:
assumes $xs \neq []$
shows $list-max xs \in set xs$
<proof>

lemma *list-max-maximum*:
assumes $a \in set xs$
shows $a \preceq_t (list-max xs)$
<proof>

lemma *list-max-nonempty*:
assumes $xs \neq []$
shows $list-max xs = ord-term-lin.Max (set xs)$
<proof>

lemma *in-set-clearjunk-iff-map-of-eq-Some*:
 $(a, b) \in set (AList.clearjunk xs) \longleftrightarrow map-of xs a = Some b$
<proof>

lemma *Pm-fmap-of-list-eq-zero-iff*:
 $Pm-fmap (fmap-of-list xs) = 0 \longleftrightarrow [(k, v) \leftarrow AList.clearjunk xs . v \neq 0] = []$
<proof>

lemma *fmdom'-clearjunk0*: $fmdom' (clearjunk0 xs) = fmdom' xs - \{x. fmlookup xs x = Some 0\}$
<proof>

lemma *compute-lt-poly-mapping* [code]:
 $lt (Pm-fmap (fmap-of-list xs)) = list-max (map fst [(k, v) \leftarrow AList.clearjunk xs . v \neq 0])$
<proof>

lemma *compute-higher-poly-mapping* [code]:
 $higher (Pm-fmap xs) t = Pm-fmap (fmfilter (\lambda k. t \prec_t k) xs)$
<proof>

lemma *compute-lower-poly-mapping* [code]:
 $lower (Pm-fmap xs) t = Pm-fmap (fmfilter (\lambda k. k \prec_t t) xs)$
<proof>

end

lifting-update *poly-mapping.lifting*

lifting-forget *poly-mapping.lifting*

11.3 Computations

11.3.1 Scalar Polynomials

type-synonym 'a mpoly-tc = (nat \Rightarrow_0 nat) \Rightarrow_0 'a

definition *shift-map-keys-punit* = term-powerprod.*shift-map-keys to-pair-unit fst*

lemma *compute-shift-map-keys-punit* [code]:

shift-map-keys-punit t f (fmap-of-list xs) = fmap-of-list (map ($\lambda(k, v). (t + k, f v)$) xs)
<proof>

global-interpretation *punit*: term-powerprod *to-pair-unit fst*

rewrites *punit.adds-term* = (*adds*)

and *punit.pp-of-term* = ($\lambda x. x$)

and *punit.component-of-term* = ($\lambda-. ()$)

defines *monom-mult-punit* = *punit.monom-mult*

and *mult-scalar-punit* = *punit.mult-scalar*

<proof>

lemma *compute-monom-mult-punit* [code]:

monom-mult-punit c t (Pm-fmap xs) = Pm-fmap (if c = 0 then fmempty else *shift-map-keys-punit* t ((* c) xs))
<proof>

lemma *compute-mult-scalar-punit* [code]:

Pm-fmap (fmap-of-list xs) * q = (case xs of ((t, c) # ys) \Rightarrow
(*monom-mult-punit* c t q + except (*Pm-fmap* (fmap-of-list ys)) {t} * q) | - \Rightarrow
Pm-fmap fmempty)
<proof>

locale *trivariate₀-rat*

begin

abbreviation *X::rat mpoly-tc* **where** *X* \equiv *Var₀ (0::nat)*

abbreviation *Y::rat mpoly-tc* **where** *Y* \equiv *Var₀ (1::nat)*

abbreviation *Z::rat mpoly-tc* **where** *Z* \equiv *Var₀ (2::nat)*

end

locale *trivariate*

begin

abbreviation *X* \equiv *Var 0*

abbreviation *Y* \equiv *Var 1*

abbreviation *Z* \equiv *Var 2*

end

experiment begin interpretation *trivariate₀-rat* *<proof>*

lemma

$$\begin{aligned} & \text{keys } (X^2 * Z^3 + 2 * Y^3 * Z^2) = \\ & \quad \{ \text{monomial } 2\ 0 + \text{monomial } 3\ 2, \text{monomial } 3\ 1 + \text{monomial } 2\ 2 \} \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & \text{keys } (X^2 * Z^3 + 2 * Y^3 * Z^2) = \\ & \quad \{ \text{monomial } 2\ 0 + \text{monomial } 3\ 2, \text{monomial } 3\ 1 + \text{monomial } 2\ 2 \} \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & -1 * X^2 * Z^7 + -2 * Y^3 * Z^2 = -X^2 * Z^7 + -2 * Y^3 * Z^2 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & X^2 * Z^7 + 2 * Y^3 * Z^2 + X^2 * Z^4 + -2 * Y^3 * Z^2 = X^2 * Z^7 \\ & + X^2 * Z^4 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & X^2 * Z^7 + 2 * Y^3 * Z^2 - X^2 * Z^4 + -2 * Y^3 * Z^2 = \\ & \quad X^2 * Z^7 - X^2 * Z^4 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & \text{lookup } (X^2 * Z^7 + 2 * Y^3 * Z^2 + 2) (\text{sparse}_0 [(0, 2), (2, 7)]) = 1 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & X^2 * Z^7 + 2 * Y^3 * Z^2 \neq \\ & \quad X^2 * Z^4 + -2 * Y^3 * Z^2 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & 0 * X^2 * Z^7 + 0 * Y^3 * Z^2 = 0 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & \text{monom-mult-punit } 3 (\text{sparse}_0 [(1, 2::\text{nat})]) (X^2 * Z + 2 * Y^3 * Z^2) = \\ & \quad 3 * Y^2 * Z * X^2 + 6 * Y^5 * Z^2 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma

$$\begin{aligned} & \text{monomial } (-4) (\text{sparse}_0 [(0, 2::\text{nat})]) = -4 * X^2 \end{aligned}$$

<proof>

lemma *monomial* (0::rat) (sparse₀ [(0::nat, 2::nat)]) = 0
<proof>

lemma
($X^2 * Z + 2 * Y^3 * Z^2$) * ($X^2 * Z^3 + - 2 * Y^3 * Z^2$) =
 $X^4 * Z^4 + - 2 * X^2 * Z^3 * Y^3 +$
 $- 4 * Y^6 * Z^4 + 2 * Y^3 * Z^5 * X^2$
<proof>

end

11.3.2 Vector-Polynomials

type-synonym 'a vmpoly-tc = ((nat \Rightarrow_0 nat) \times nat) \Rightarrow_0 'a

definition *shift-map-keys-pprod* = pprod.*shift-map-keys*

global-interpretation pprod: *term-powerprod* $\lambda x. x \lambda x. x$
rewrites pprod.*pp-of-term* = *fst*
and pprod.*component-of-term* = *snd*
defines *splus-pprod* = pprod.*splus*
and *monom-mult-pprod* = pprod.*monom-mult*
and *mult-scalar-pprod* = pprod.*mult-scalar*
and *adds-term-pprod* = pprod.*adds-term*
<proof>

lemma *compute-adds-term-pprod* [*code-unfold*]:
adds-term-pprod u v = (*snd* u = *snd* v \wedge *adds-pp-add-linorder* (*fst* u) (*fst* v))
<proof>

lemma *compute-splus-pprod* [*code*]: *splus-pprod* t (s, i) = (t + s, i)
<proof>

lemma *compute-shift-map-keys-pprod* [*code*]:
shift-map-keys-pprod t f (*fmap-of-list* xs) = *fmap-of-list* (*map* ($\lambda(k, v). (splus-pprod$
 $t k, f v)$) xs)
<proof>

lemma *compute-monom-mult-pprod* [*code*]:
monom-mult-pprod c t (*Pm-fmap* xs) = *Pm-fmap* (*if* c = 0 *then* *fmempty* *else*
shift-map-keys-pprod t ((* c) xs)
<proof>

lemma *compute-mult-scalar-pprod* [*code*]:
mult-scalar-pprod (*Pm-fmap* (*fmap-of-list* xs)) q = (*case* xs *of* ((t, c) # ys) \Rightarrow
(*monom-mult-pprod* c t q + *mult-scalar-pprod* (*except* (*Pm-fmap* (*fmap-of-list*
ys)) {t}) q) | - \Rightarrow

Pm-fmap fmempty
 ⟨proof⟩

definition $Vec_0 :: nat \Rightarrow (('a \Rightarrow_0 nat) \Rightarrow_0 'b) \Rightarrow (('a \Rightarrow_0 nat) \times nat) \Rightarrow_0 'b :: semiring-1$ **where**

$Vec_0\ i\ p = mult_scalar_pprod\ p\ (Poly_Mapping.single\ (0, i)\ 1)$

experiment begin interpretation *trivariate₀-rat* ⟨proof⟩

lemma

$keys\ (Vec_0\ 0\ (X^2 * Z^3) + Vec_0\ 1\ (2 * Y^3 * Z^2)) =$
 $\{(sparse_0\ [(0, 2), (2, 3)], 0), (sparse_0\ [(1, 3), (2, 2)], 1)\}$
 ⟨proof⟩

lemma

$keys\ (Vec_0\ 0\ (X^2 * Z^3) + Vec_0\ 2\ (2 * Y^3 * Z^2)) =$
 $\{(sparse_0\ [(0, 2), (2, 3)], 0), (sparse_0\ [(1, 3), (2, 2)], 2)\}$
 ⟨proof⟩

lemma

$Vec_0\ 1\ (X^2 * Z^7 + 2 * Y^3 * Z^2) + Vec_0\ 3\ (X^2 * Z^4) + Vec_0\ 1\ (-2 * Y^3 * Z^2) =$
 $Vec_0\ 1\ (X^2 * Z^7) + Vec_0\ 3\ (X^2 * Z^4)$
 ⟨proof⟩

lemma

$lookup\ (Vec_0\ 0\ (X^2 * Z^7) + Vec_0\ 1\ (2 * Y^3 * Z^2 + 2))\ (sparse_0\ [(0, 2), (2, 7)], 0) = 1$
 ⟨proof⟩

lemma

$lookup\ (Vec_0\ 0\ (X^2 * Z^7) + Vec_0\ 1\ (2 * Y^3 * Z^2 + 2))\ (sparse_0\ [(0, 2), (2, 7)], 1) = 0$
 ⟨proof⟩

lemma

$Vec_0\ 0\ (0 * X^2 * Z^7) + Vec_0\ 1\ (0 * Y^3 * Z^2) = 0$
 ⟨proof⟩

lemma

$monom_mult_pprod\ 3\ (sparse_0\ [(1, 2::nat)])\ (Vec_0\ 0\ (X^2 * Z) + Vec_0\ 1\ (2 * Y^3 * Z^2)) =$
 $Vec_0\ 0\ (3 * Y^2 * Z * X^2) + Vec_0\ 1\ (6 * Y^5 * Z^2)$
 ⟨proof⟩

end

11.4 Code setup for type MPoly

postprocessing from Var_0 , $Const_0$ to Var , $Const$.

```

lemmas [code-post] =
  plus-mpoly.abs-eq[symmetric]
  times-mpoly.abs-eq[symmetric]
  MPoly-numeral
  MPoly-power
  one-mpoly-def[symmetric]
  Var.abs-eq[symmetric]
  Const.abs-eq[symmetric]

instantiation mpoly::{equal, zero}equal begin

lift-definition equal-mpoly:: 'a mpoly  $\Rightarrow$  'a mpoly  $\Rightarrow$  bool is HOL.equal <proof>

instance <proof>

end

experiment begin interpretation trivariate <proof>

lemmas [mpoly-simps] = plus-mpoly.abs-eq

lemma content-primitive ( $4 * X * Y^2 * Z^3 + 6 * X^2 * Y^4 + 8 * X^2 * Y^5$ )
=
  ( $2::int, 2 * X * Y^2 * Z^3 + 3 * X^2 * Y^4 + 4 * X^2 * Y^5$ )
  <proof>

end

end

theory PP-Type
  imports Power-Products
begin

  For code generation, we must introduce a copy of type  $'a \Rightarrow_0 'b$  for
  power-products.

  typedef (overloaded) ('a, 'b) pp = UNIV::('a  $\Rightarrow_0$  'b) set
  morphisms mapping-of PP <proof>

setup-lifting type-definition-pp

lift-definition pp-of-fun :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'b::zero) pp
  is Abs-poly-mapping <proof>

```

11.5 *lookup-pp, keys-pp and single-pp*

lift-definition *lookup-pp* :: ('a, 'b::zero) pp ⇒ 'a ⇒ 'b **is lookup** ⟨proof⟩

lift-definition *keys-pp* :: ('a, 'b::zero) pp ⇒ 'a **set is keys** ⟨proof⟩

lift-definition *single-pp* :: 'a ⇒ 'b ⇒ ('a, 'b::zero) pp **is Poly-Mapping.single** ⟨proof⟩

lemma *lookup-pp-of-fun*: finite {x. f x ≠ 0} ⇒ lookup-pp (pp-of-fun f) = f
⟨proof⟩

lemma *pp-of-lookup*: pp-of-fun (lookup-pp t) = t
⟨proof⟩

lemma *pp-eqI*: (∧u. lookup-pp s u = lookup-pp t u) ⇒ s = t
⟨proof⟩

lemma *pp-eq-iff*: (s = t) ⇔ (lookup-pp s = lookup-pp t)
⟨proof⟩

lemma *keys-pp-iff*: x ∈ keys-pp t ⇔ (lookup-pp t x ≠ 0)
⟨proof⟩

lemma *pp-eqI'*:
 assumes ∧u. u ∈ keys-pp s ∪ keys-pp t ⇒ lookup-pp s u = lookup-pp t u
 shows s = t
⟨proof⟩

lemma *lookup-single-pp*: lookup-pp (single-pp x e) y = (e when x = y)
⟨proof⟩

11.6 Additive Structure

instantiation *pp* :: (type, zero) zero
begin

lift-definition *zero-pp* :: ('a, 'b) pp **is 0::'a ⇒₀ 'b** ⟨proof⟩

lemma *lookup-zero-pp [simp]*: lookup-pp 0 = 0
⟨proof⟩

instance ⟨proof⟩

end

lemma *single-pp-zero [simp]*: single-pp x 0 = 0
⟨proof⟩

instantiation *pp* :: (type, monoid-add) monoid-add

begin

lift-definition *plus-pp* :: ('a, 'b) pp \Rightarrow ('a, 'b) pp \Rightarrow ('a, 'b) pp **is** (+)::('a \Rightarrow_0 'b)
 \Rightarrow - <proof>

lemma *lookup-plus-pp*: *lookup-pp* (s + t) = *lookup-pp* s + *lookup-pp* t
<proof>

instance <proof>

end

lemma *single-pp-plus*: *single-pp* x a + *single-pp* x b = *single-pp* x (a + b)
<proof>

instance *pp* :: (type, comm-monoid-add) comm-monoid-add
<proof>

instantiation *pp* :: (type, cancel-comm-monoid-add) cancel-comm-monoid-add
begin

lift-definition *minus-pp* :: ('a, 'b) pp \Rightarrow ('a, 'b) pp \Rightarrow ('a, 'b) pp **is** (-)::('a \Rightarrow_0 'b)
 \Rightarrow - <proof>

lemma *lookup-minus-pp*: *lookup-pp* (s - t) = *lookup-pp* s - *lookup-pp* t
<proof>

instance <proof>

end

11.7 'a \Rightarrow_0 'b belongs to class *comm-powerprod*

instance *poly-mapping* :: (type, cancel-comm-monoid-add) comm-powerprod
<proof>

11.8 'a \Rightarrow_0 'b belongs to class *ninv-comm-monoid-add*

instance *poly-mapping* :: (type, ninv-comm-monoid-add) ninv-comm-monoid-add
<proof>

11.9 ('a, 'b) pp belongs to class *lcs-powerprod*

lemma *adds-pp-iff*: (s adds t) \longleftrightarrow (mapping-of s adds mapping-of t)
<proof>

instantiation *pp* :: (type, add-linorder) lcs-powerprod
begin

lift-definition $lcs\text{-}pp :: ('a, 'b) pp \Rightarrow ('a, 'b) pp \Rightarrow ('a, 'b) pp$ **is** $lcs\text{-}powerprod\text{-}class.lcs$
 $\langle proof \rangle$

lemma $lookup\text{-}lcs\text{-}pp: lookup\text{-}pp (lcs\ s\ t)\ x = max (lookup\text{-}pp\ s\ x) (lookup\text{-}pp\ t\ x)$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

11.10 $('a, 'b) pp$ belongs to class $ulcs\text{-}powerprod$

instance $pp :: (type, add\text{-}linorder\text{-}min) ulcs\text{-}powerprod$ $\langle proof \rangle$

11.11 Dickson's lemma for power-products in finitely many indeterminates

lemma $almost\text{-}full\text{-}on\text{-}pp\text{-}iff:$
 $almost\text{-}full\text{-}on (adds)\ A \longleftrightarrow almost\text{-}full\text{-}on (adds) (mapping\text{-}of\ 'A) (\text{is } ?l \longleftrightarrow ?r)$
 $\langle proof \rangle$

lift-definition $varnum\text{-}pp :: ('a::countable, 'b::zero) pp \Rightarrow nat$ **is** $varnum\ \{\}$ $\langle proof \rangle$

lemma $dickson\text{-}grading\text{-}varnum\text{-}pp:$
 $dickson\text{-}grading (varnum\text{-}pp::('a::countable, 'b::add\text{-}wellorder)) pp \Rightarrow nat$
 $\langle proof \rangle$

instance $pp :: (countable, add\text{-}wellorder) graded\text{-}dickson\text{-}powerprod$
 $\langle proof \rangle$

instance $pp :: (finite, add\text{-}wellorder) dickson\text{-}powerprod$
 $\langle proof \rangle$

11.12 Lexicographic Term Order

lift-definition $lex\text{-}pp :: ('a, 'b) pp \Rightarrow ('a::linorder, 'b::{zero,linorder}) pp \Rightarrow bool$
is $lex\text{-}pm$ $\langle proof \rangle$

lift-definition $lex\text{-}pp\text{-}strict :: ('a, 'b) pp \Rightarrow ('a::linorder, 'b::{zero,linorder}) pp$
 $\Rightarrow bool$ **is** $lex\text{-}pm\text{-}strict$ $\langle proof \rangle$

lemma $lex\text{-}pp\text{-}alt: lex\text{-}pp\ s\ t = (s = t \vee (\exists x. lookup\text{-}pp\ s\ x < lookup\text{-}pp\ t\ x \wedge (\forall y < x. lookup\text{-}pp\ s\ y = lookup\text{-}pp\ t\ y)))$
 $\langle proof \rangle$

lemma $lex\text{-}pp\text{-}refl: lex\text{-}pp\ s\ s$
 $\langle proof \rangle$

lemma *lex-pp-antisym*: $lex-pp\ s\ t \implies lex-pp\ t\ s \implies s = t$
<proof>

lemma *lex-pp-trans*: $lex-pp\ s\ t \implies lex-pp\ t\ u \implies lex-pp\ s\ u$
<proof>

lemma *lex-pp-lin*: $lex-pp\ s\ t \vee lex-pp\ t\ s$
<proof>

lemma *lex-pp-lin'*: $\neg lex-pp\ t\ s \implies lex-pp\ s\ t$
<proof>

corollary *lex-pp-strict-alt* [*code*]:
 $lex-pp-strict\ s\ t = (\neg lex-pp\ t\ s)$ **for** $s\ t :: (-, -::ordered-comm-monoid-add)\ pp$
<proof>

lemma *lex-pp-zero-min*: $lex-pp\ 0\ s$ **for** $s :: (-, -::add-linorder-min)\ pp$
<proof>

lemma *lex-pp-plus-monotone*: $lex-pp\ s\ t \implies lex-pp\ (s + u)\ (t + u)$
for $s\ t :: (-, -::\{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le\})\ pp$
<proof>

lemma *lex-pp-plus-monotone'*: $lex-pp\ s\ t \implies lex-pp\ (u + s)\ (u + t)$
for $s\ t :: (-, -::\{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le\})\ pp$
<proof>

instantiation $pp :: (linorder, \{ordered-comm-monoid-add, linorder\})\ linorder$
begin

definition *less-eq-pp* :: $('a, 'b)\ pp \Rightarrow ('a, 'b)\ pp \Rightarrow bool$
where $less-eq-pp = lex-pp$

definition *less-pp* :: $('a, 'b)\ pp \Rightarrow ('a, 'b)\ pp \Rightarrow bool$
where $less-pp = lex-pp-strict$

instance *<proof>*

end

11.13 Degree

lift-definition *deg-pp* :: $('a, 'b::comm-monoid-add)\ pp \Rightarrow 'b$ **is** *deg-pm* *<proof>*

lemma *deg-pp-alt*: $deg-pp\ s = sum\ (lookup-pp\ s)\ (keys-pp\ s)$
<proof>

lemma *deg-pp-zero* [*simp*]: $deg-pp\ 0 = 0$
<proof>

lemma *deg-pp-eq-0-iff* [simp]: $\text{deg-pp } s = 0 \longleftrightarrow s = 0$ **for** $s::('a, 'b::\text{add-linorder-min})$
 pp
 $\langle \text{proof} \rangle$

lemma *deg-pp-plus*: $\text{deg-pp } (s + t) = \text{deg-pp } s + \text{deg-pp } (t::('a, 'b::\text{comm-monoid-add})$
 $pp)$
 $\langle \text{proof} \rangle$

lemma *deg-pp-single*: $\text{deg-pp } (\text{single-pp } x k) = k$
 $\langle \text{proof} \rangle$

11.14 Degree-Lexicographic Term Order

lift-definition *dlex-pp* :: $('a::\text{linorder}, 'b::\{\text{ordered-comm-monoid-add}, \text{linorder}\})$
 $pp \Rightarrow ('a, 'b) pp \Rightarrow \text{bool}$
is *dlex-pm* $\langle \text{proof} \rangle$

lift-definition *dlex-pp-strict* :: $('a::\text{linorder}, 'b::\{\text{ordered-comm-monoid-add}, \text{linorder}\})$
 $pp \Rightarrow ('a, 'b) pp \Rightarrow \text{bool}$
is *dlex-pm-strict* $\langle \text{proof} \rangle$

lemma *dlex-pp-alt*: $dlex-pp \ s \ t \longleftrightarrow (\text{deg-pp } s < \text{deg-pp } t \vee (\text{deg-pp } s = \text{deg-pp } t$
 $\wedge \text{lex-pp } s \ t))$
 $\langle \text{proof} \rangle$

lemma *dlex-pp-refl*: $dlex-pp \ s \ s$
 $\langle \text{proof} \rangle$

lemma *dlex-pp-antisym*: $dlex-pp \ s \ t \Longrightarrow dlex-pp \ t \ s \Longrightarrow s = t$
 $\langle \text{proof} \rangle$

lemma *dlex-pp-trans*: $dlex-pp \ s \ t \Longrightarrow dlex-pp \ t \ u \Longrightarrow dlex-pp \ s \ u$
 $\langle \text{proof} \rangle$

lemma *dlex-pp-lin*: $dlex-pp \ s \ t \vee dlex-pp \ t \ s$
 $\langle \text{proof} \rangle$

corollary *dlex-pp-strict-alt* [code]: $dlex-pp-strict \ s \ t = (\neg dlex-pp \ t \ s)$
 $\langle \text{proof} \rangle$

lemma *dlex-pp-zero-min*: $dlex-pp \ 0 \ s$
for $s \ t::(-, -::\text{add-linorder-min}) \ pp$
 $\langle \text{proof} \rangle$

lemma *dlex-pp-plus-monotone*: $dlex-pp \ s \ t \Longrightarrow dlex-pp \ (s + u) \ (t + u)$
for $s \ t::(-, -::\{\text{ordered-ab-semigroup-add-imp-le}, \text{ordered-cancel-comm-monoid-add}\})$
 pp
 $\langle \text{proof} \rangle$

11.15 Degree-Reverse-Lexicographic Term Order

lift-definition *drlex-pp* :: ('a::linorder, 'b::{ordered-comm-monoid-add,linorder})
pp ⇒ ('a, 'b) *pp* ⇒ bool
is *drlex-pm* ⟨proof⟩

lift-definition *drlex-pp-strict* :: ('a::linorder, 'b::{ordered-comm-monoid-add,linorder})
pp ⇒ ('a, 'b) *pp* ⇒ bool
is *drlex-pm-strict* ⟨proof⟩

lemma *drlex-pp-alt*: *drlex-pp s t* ⇔ (deg-pp *s* < deg-pp *t* ∨ (deg-pp *s* = deg-pp
t ∧ *lex-pp t s*))
 ⟨proof⟩

lemma *drlex-pp-refl*: *drlex-pp s s*
 ⟨proof⟩

lemma *drlex-pp-antisym*: *drlex-pp s t* ⇒ *drlex-pp t s* ⇒ *s = t*
 ⟨proof⟩

lemma *drlex-pp-trans*: *drlex-pp s t* ⇒ *drlex-pp t u* ⇒ *drlex-pp s u*
 ⟨proof⟩

lemma *drlex-pp-lin*: *drlex-pp s t* ∨ *drlex-pp t s*
 ⟨proof⟩

corollary *drlex-pp-strict-alt* [code]: *drlex-pp-strict s t* = (¬ *drlex-pp t s*)
 ⟨proof⟩

lemma *drlex-pp-zero-min*: *drlex-pp 0 s*
for *s t*::(-, -::add-linorder-min) *pp*
 ⟨proof⟩

lemma *drlex-pp-plus-monotone*: *drlex-pp s t* ⇒ *drlex-pp (s + u) (t + u)*
for *s t*::(-, -::{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add})
pp
 ⟨proof⟩

end

12 Associative Lists with Sorted Keys

theory *OAlist*
imports *Deriving.Comparator*
begin

We define the type of *ordered associative lists* (oalist). An oalist is an associative list (i. e. a list of pairs) such that the keys are distinct and sorted wrt. some linear order relation, and no key is mapped to $0::'a$. The latter

invariant allows to implement various functions operating on oalists more efficiently.

The ordering of the keys in an oalist xs is encoded as an additional parameter of xs . This means that oalists may be ordered wrt. different orderings, even if they are of the same type. Operations operating on more than one oalists, like *map2-val*, typically ensure that the orderings of their arguments are identical by re-ordering one argument wrt. the order relation of the other. This, however, implies that equality of order relations must be effectively decidable if executable code is to be generated.

12.1 Preliminaries

fun *min-list-param* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a **where**
min-list-param rel (x # xs) = (case xs of [] ⇒ x | - ⇒ (let m = *min-list-param* rel xs in if rel x m then x else m))

lemma *min-list-param-in*:
assumes xs ≠ []
shows *min-list-param* rel xs ∈ set xs
 ⟨proof⟩

lemma *min-list-param-minimal*:
assumes transp rel **and** $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } xs \implies \text{rel } x y \vee \text{rel } y x$
and z ∈ set xs
shows rel (*min-list-param* rel xs) z
 ⟨proof⟩

definition *comp-of-ord* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a comparator **where**
comp-of-ord le x y = (if le x y then if x = y then Eq else Lt else Gt)

lemma *comp-of-ord-eq-comp-of-ords*:
assumes antisymp le
shows *comp-of-ord* le = *comp-of-ords* le (λx y. le x y ∧ ¬ le y x)
 ⟨proof⟩

lemma *comparator-converse*:
assumes comparator cmp
shows comparator (λx y. cmp y x)
 ⟨proof⟩

lemma *comparator-composition*:
assumes comparator cmp **and** inj f
shows comparator (λx y. cmp (f x) (f y))
 ⟨proof⟩

12.2 Type key-order

typedef 'a key-order = {compare :: 'a comparator. comparator compare}

morphisms *key-compare Abs-key-order*
 $\langle proof \rangle$

lemma *comparator-key-compare* [*simp, intro!*]: *comparator (key-compare ko)*
 $\langle proof \rangle$

instantiation *key-order* :: (*type*) *equal*
begin

definition *equal-key-order* :: '*a* *key-order* \Rightarrow '*a* *key-order* \Rightarrow *bool* **where** *equal-key-order*
 $= (=)$

instance $\langle proof \rangle$

end

setup-lifting *type-definition-key-order*

instantiation *key-order* :: (*type*) *uminus*
begin

lift-definition *uminus-key-order* :: '*a* *key-order* \Rightarrow '*a* *key-order* **is** $\lambda c x y. c y x$
 $\langle proof \rangle$

instance $\langle proof \rangle$

end

lift-definition *le-of-key-order* :: '*a* *key-order* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow *bool* **is** $\lambda cmp. le-of-comp$
 $cmp \langle proof \rangle$

lift-definition *lt-of-key-order* :: '*a* *key-order* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow *bool* **is** $\lambda cmp. lt-of-comp$
 $cmp \langle proof \rangle$

definition *key-order-of-ord* :: ('*a* \Rightarrow '*a* \Rightarrow *bool*) \Rightarrow '*a* *key-order*
where *key-order-of-ord* *ord* = *Abs-key-order* (*comp-of-ord* *ord*)

lift-definition *key-order-of-le* :: '*a*::*linorder* *key-order* **is** *comparator-of*
 $\langle proof \rangle$

interpretation *key-order-lin*: *linorder le-of-key-order ko lt-of-key-order ko*
 $\langle proof \rangle$

lemma *le-of-key-order-alt*: *le-of-key-order ko x y = (key-compare ko x y \neq Gt)*
 $\langle proof \rangle$

lemma *lt-of-key-order-alt*: *lt-of-key-order ko x y = (key-compare ko x y = Lt)*
 $\langle proof \rangle$

lemma *key-compare-Gt*: *key-compare ko x y = Gt* \longleftrightarrow *key-compare ko y x = Lt*
<proof>

lemma *key-compare-Eq*: *key-compare ko x y = Eq* \longleftrightarrow *x = y*
<proof>

lemma *key-compare-same [simp]*: *key-compare ko x x = Eq*
<proof>

lemma *uminus-key-compare [simp]*: *invert-order (key-compare ko x y) = key-compare ko y x*
<proof>

lemma *key-compare-uminus [simp]*: *key-compare (- ko) x y = key-compare ko y x*
<proof>

lemma *uminus-key-order-sameD*:
assumes $- ko = (ko::'a \text{ key-order})$
shows $x = (y::'a)$
<proof>

lemma *key-compare-key-order-of-ord*:
assumes *antisymp ord and transp ord and* $\bigwedge x y. \text{ord } x y \vee \text{ord } y x$
shows *key-compare (key-order-of-ord ord) =* $(\lambda x y. \text{if } \text{ord } x y \text{ then if } x = y \text{ then } Eq \text{ else } Lt \text{ else } Gt)$
<proof>

lemma *key-compare-key-order-of-le*:
key-compare key-order-of-le = $(\lambda x y. \text{if } x < y \text{ then } Lt \text{ else if } x = y \text{ then } Eq \text{ else } Gt)$
<proof>

12.3 Invariant in Context *comparator*

context *comparator*
begin

definition *oalist-inv-raw* :: $('a \times 'b::zero) \text{ list} \Rightarrow \text{bool}$
where *oalist-inv-raw xs* $\longleftrightarrow (0 \notin \text{snd } ' \text{ set } xs \wedge \text{sorted-wrt } lt \text{ (map fst xs)})$

lemma *oalist-inv-rawI*:
assumes $0 \notin \text{snd } ' \text{ set } xs$ **and** *sorted-wrt lt (map fst xs)*
shows *oalist-inv-raw xs*
<proof>

lemma *oalist-inv-rawD1*:
assumes *oalist-inv-raw xs*
shows $0 \notin \text{snd } ' \text{ set } xs$

<proof>

lemma *oalist-inv-rawD2*:

assumes *oalist-inv-raw xs*

shows *sorted-wrt lt (map fst xs)*

<proof>

lemma *oalist-inv-raw-Nil*: *oalist-inv-raw []*

<proof>

lemma *oalist-inv-raw-singleton*: *oalist-inv-raw [(k, v)] \longleftrightarrow (v \neq 0)*

<proof>

lemma *oalist-inv-raw-ConsI*:

assumes *oalist-inv-raw xs* **and** *v \neq 0* **and** *xs \neq [] \implies lt k (fst (hd xs))*

shows *oalist-inv-raw ((k, v) # xs)*

<proof>

lemma *oalist-inv-raw-ConsD1*:

assumes *oalist-inv-raw (x # xs)*

shows *oalist-inv-raw xs*

<proof>

lemma *oalist-inv-raw-ConsD2*:

assumes *oalist-inv-raw ((k, v) # xs)*

shows *v \neq 0*

<proof>

lemma *oalist-inv-raw-ConsD3*:

assumes *oalist-inv-raw ((k, v) # xs)* **and** *k' \in fst `set xs*

shows *lt k k'*

<proof>

lemma *oalist-inv-raw-tl*:

assumes *oalist-inv-raw xs*

shows *oalist-inv-raw (tl xs)*

<proof>

lemma *oalist-inv-raw-filter*:

assumes *oalist-inv-raw xs*

shows *oalist-inv-raw (filter P xs)*

<proof>

lemma *oalist-inv-raw-map*:

assumes *oalist-inv-raw xs*

and $\bigwedge a. \text{snd } (f a) = 0 \implies \text{snd } a = 0$

and $\bigwedge a b. \text{comp } (\text{fst } (f a)) (\text{fst } (f b)) = \text{comp } (\text{fst } a) (\text{fst } b)$

shows *oalist-inv-raw (map f xs)*

<proof>

lemma *oalist-inv-raw-induct* [*consumes 1, case-names Nil Cons*]:
assumes *oalist-inv-raw xs*
assumes $P \square$
assumes $\bigwedge k v xs. \text{oalist-inv-raw } ((k, v) \# xs) \implies \text{oalist-inv-raw } xs \implies v \neq 0$
 \implies
 $(\bigwedge k'. k' \in \text{fst `set } xs \implies \text{lt } k k') \implies P xs \implies P ((k, v) \# xs)$
shows $P xs$
<proof>

12.4 Operations on Lists of Pairs in Context *comparator*

type-synonym (**in** $-$) $('a, 'b) \text{ comp-opt} = 'a \Rightarrow 'b \Rightarrow (\text{order option})$

definition (**in** $-$) *lookup-dflt* :: $('a \times 'b) \text{ list} \Rightarrow 'a \Rightarrow 'b::\text{zero}$
where *lookup-dflt* $xs k = (\text{case map-of } xs k \text{ of } \text{Some } v \Rightarrow v \mid \text{None} \Rightarrow 0)$

lookup-dflt is only an auxiliary function needed for proving some lemmas.

fun *lookup-pair* :: $('a \times 'b) \text{ list} \Rightarrow 'a \Rightarrow 'b::\text{zero}$

where

lookup-pair $\square x = 0$
lookup-pair $((k, v) \# xs) x =$
(case comp $x k$ *of*
 $Lt \Rightarrow 0$
 $| Eq \Rightarrow v$
 $| Gt \Rightarrow \text{lookup-pair } xs x)$

fun *update-by-pair* :: $('a \times 'b) \Rightarrow ('a \times 'b) \text{ list} \Rightarrow ('a \times 'b::\text{zero}) \text{ list}$

where

update-by-pair $(k, v) \square = (\text{if } v = 0 \text{ then } \square \text{ else } [(k, v)])$
 $| \text{update-by-pair } (k, v) ((k', v') \# xs) =$
(case comp $k k'$ *of* $Lt \Rightarrow (\text{if } v = 0 \text{ then } (k', v') \# xs \text{ else } (k, v) \# (k', v') \# xs)$
 $| Eq \Rightarrow (\text{if } v = 0 \text{ then } xs \text{ else } (k, v) \# xs)$
 $| Gt \Rightarrow (k', v') \# \text{update-by-pair } (k, v) xs)$

definition *sort-oalist* :: $('a \times 'b) \text{ list} \Rightarrow ('a \times 'b::\text{zero}) \text{ list}$

where *sort-oalist* $xs = \text{foldr } \text{update-by-pair } xs \square$

fun *update-by-fun-pair* :: $'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a \times 'b) \text{ list} \Rightarrow ('a \times 'b::\text{zero}) \text{ list}$

where

update-by-fun-pair $k f \square = (\text{let } v = f 0 \text{ in if } v = 0 \text{ then } \square \text{ else } [(k, v)])$
 $| \text{update-by-fun-pair } k f ((k', v') \# xs) =$
(case comp $k k'$ *of* $Lt \Rightarrow (\text{let } v = f 0 \text{ in if } v = 0 \text{ then } (k', v') \# xs \text{ else } (k, v) \#$
 $(k', v') \# xs)$
 $| Eq \Rightarrow (\text{let } v = f v' \text{ in if } v = 0 \text{ then } xs \text{ else } (k, v) \# xs)$
 $| Gt \Rightarrow (k', v') \# \text{update-by-fun-pair } k f xs)$

definition *update-by-fun-gr-pair* :: 'a ⇒ ('b ⇒ 'b) ⇒ ('a × 'b) list ⇒ ('a × 'b::zero) list

where *update-by-fun-gr-pair* k f xs =
 (if xs = [] then
 (let v = f 0 in if v = 0 then [] else [(k, v)])
 else if comp k (fst (last xs)) = Gt then
 (let v = f 0 in if v = 0 then xs else xs @ [(k, v)])
 else
 update-by-fun-pair k f xs
)

fun (in -) *map-pair* :: (('a × 'b) ⇒ ('a × 'c)) ⇒ ('a × 'b::zero) list ⇒ ('a × 'c::zero) list

where

map-pair f [] = []
 | *map-pair* f (kv # xs) =
 (let (k, v) = f kv; aux = *map-pair* f xs in if v = 0 then aux else (k, v) # aux)

The difference between *map* and *map-pair* is that the latter removes 0::'b values, whereas the former does not.

abbreviation (in -) *map-val-pair* :: ('a ⇒ 'b ⇒ 'c) ⇒ ('a × 'b::zero) list ⇒ ('a × 'c::zero) list

where *map-val-pair* f ≡ *map-pair* (λ(k, v). (k, f k v))

fun *map2-val-pair* :: ('a ⇒ 'b ⇒ 'c ⇒ 'd) ⇒ (('a × 'b) list ⇒ ('a × 'd) list) ⇒ (('a × 'c) list ⇒ ('a × 'd) list) ⇒ ('a × 'b::zero) list ⇒ ('a × 'c::zero) list ⇒ ('a × 'd::zero) list

where

map2-val-pair f g h xs [] = g xs
 | *map2-val-pair* f g h [] ys = h ys
 | *map2-val-pair* f g h ((kx, vx) # xs) ((ky, vy) # ys) =
 (case comp kx ky of
 Lt ⇒ (let v = f kx vx 0; aux = *map2-val-pair* f g h xs ((ky, vy) # ys)
 in if v = 0 then aux else (kx, v) # aux)
 | Eq ⇒ (let v = f kx vx vy; aux = *map2-val-pair* f g h xs ys in if v = 0
 then aux else (kx, v) # aux)
 | Gt ⇒ (let v = f ky 0 vy; aux = *map2-val-pair* f g h ((kx, vx) # xs) ys
 in if v = 0 then aux else (ky, v) # aux))

fun *lex-ord-pair* :: ('a ⇒ (('b, 'c) comp-opt)) ⇒ (('a × 'b::zero) list, ('a × 'c::zero) list) comp-opt

where

lex-ord-pair f [] [] = Some Eq|
lex-ord-pair f [] ((ky, vy) # ys) =
 (let aux = f ky 0 vy in if aux = Some Eq then *lex-ord-pair* f [] ys else aux)|
lex-ord-pair f ((kx, vx) # xs) [] =
 (let aux = f kx vx 0 in if aux = Some Eq then *lex-ord-pair* f xs [] else aux)|
lex-ord-pair f ((kx, vx) # xs) ((ky, vy) # ys) =
 (case comp kx ky of

$Lt \Rightarrow (let\ aux = f\ kx\ vx\ 0\ in\ if\ aux = Some\ Eq\ then\ lex-ord-pair\ f\ xs$
 $((ky, vy) \# ys)\ else\ aux)$
 $| Eq \Rightarrow (let\ aux = f\ kx\ vx\ vy\ in\ if\ aux = Some\ Eq\ then\ lex-ord-pair\ f\ xs$
 $ys\ else\ aux)$
 $| Gt \Rightarrow (let\ aux = f\ ky\ 0\ vy\ in\ if\ aux = Some\ Eq\ then\ lex-ord-pair\ f\ ((kx,$
 $vx) \# xs)\ ys\ else\ aux))$

fun *prod-ord-pair* :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow bool) \Rightarrow ('a \times 'b::zero) list \Rightarrow ('a \times 'c::zero)
list \Rightarrow bool

where

prod-ord-pair f [] [] = True |
prod-ord-pair f [] ((ky, vy) # ys) = (f ky 0 vy \wedge *prod-ord-pair* f [] ys) |
prod-ord-pair f ((kx, vx) # xs) [] = (f kx vx 0 \wedge *prod-ord-pair* f xs []) |
prod-ord-pair f ((kx, vx) # xs) ((ky, vy) # ys) =
(case comp kx ky of
Lt \Rightarrow (f kx vx 0 \wedge *prod-ord-pair* f xs ((ky, vy) # ys))
| Eq \Rightarrow (f kx vx vy \wedge *prod-ord-pair* f xs ys)
| Gt \Rightarrow (f ky 0 vy \wedge *prod-ord-pair* f ((kx, vx) # xs) ys))

prod-ord-pair is actually just a special case of *lex-ord-pair*, as proved
below in lemma *prod-ord-pair-eq-lex-ord-pair*.

12.4.1 lookup-pair

lemma *lookup-pair-eq-0*:

assumes *oalist-inv-raw xs*
shows *lookup-pair xs k = 0* \longleftrightarrow ($k \notin \text{fst } \text{' set } xs$)
<proof>

lemma *lookup-pair-eq-value*:

assumes *oalist-inv-raw xs* **and** $v \neq 0$
shows *lookup-pair xs k = v* \longleftrightarrow ($(k, v) \in \text{set } xs$)
<proof>

lemma *lookup-pair-eq-valueI*:

assumes *oalist-inv-raw xs* **and** $(k, v) \in \text{set } xs$
shows *lookup-pair xs k = v*
<proof>

lemma *lookup-dflt-eq-lookup-pair*:

assumes *oalist-inv-raw xs*
shows *lookup-dflt xs = lookup-pair xs*
<proof>

lemma *lookup-pair-inj*:

assumes *oalist-inv-raw xs* **and** *oalist-inv-raw ys* **and** *lookup-pair xs = lookup-pair*
ys
shows $xs = ys$
<proof>

lemma *lookup-pair-tl*:

assumes *oalist-inv-raw xs*

shows $\text{lookup-pair } (\text{tl } xs) k = (\text{if } (\forall k' \in \text{fst } \text{' set } xs. \text{le } k k') \text{ then } 0 \text{ else } \text{lookup-pair } xs k)$

<proof>

lemma *lookup-pair-tl'*:

assumes *oalist-inv-raw xs*

shows $\text{lookup-pair } (\text{tl } xs) k = (\text{if } k = \text{fst } (\text{hd } xs) \text{ then } 0 \text{ else } \text{lookup-pair } xs k)$

<proof>

lemma *lookup-pair-filter*:

assumes *oalist-inv-raw xs*

shows $\text{lookup-pair } (\text{filter } P \text{ } xs) k = (\text{let } v = \text{lookup-pair } xs k \text{ in if } P (k, v) \text{ then } v \text{ else } 0)$

<proof>

lemma *lookup-pair-map*:

assumes *oalist-inv-raw xs*

and $\bigwedge k'. \text{snd } (f (k', 0)) = 0$

and $\bigwedge a b. \text{comp } (\text{fst } (f a)) (\text{fst } (f b)) = \text{comp } (\text{fst } a) (\text{fst } b)$

shows $\text{lookup-pair } (\text{map } f \text{ } xs) (\text{fst } (f (k, v))) = \text{snd } (f (k, \text{lookup-pair } xs k))$

<proof>

lemma *lookup-pair-Cons*:

assumes *oalist-inv-raw ((k, v) # xs)*

shows $\text{lookup-pair } ((k, v) \# xs) k0 = (\text{if } k = k0 \text{ then } v \text{ else } \text{lookup-pair } xs k0)$

<proof>

lemma *lookup-pair-single*: $\text{lookup-pair } [(k, v)] k0 = (\text{if } k = k0 \text{ then } v \text{ else } 0)$

<proof>

12.4.2 *update-by-pair*

lemma *set-update-by-pair-subset*: $\text{set } (\text{update-by-pair } kv \text{ } xs) \subseteq \text{insert } kv (\text{set } xs)$

<proof>

lemma *update-by-pair-sorted*:

assumes *sorted-wrt lt (map fst xs)*

shows *sorted-wrt lt (map fst (update-by-pair kv xs))*

<proof>

lemma *update-by-pair-not-0*:

assumes $0 \notin \text{snd } \text{' set } xs$

shows $0 \notin \text{snd } \text{' set } (\text{update-by-pair } kv \text{ } xs)$

<proof>

corollary *oalist-inv-raw-update-by-pair*:

assumes *oalist-inv-raw xs*
shows *oalist-inv-raw (update-by-pair kv xs)*
 ⟨*proof*⟩

lemma *update-by-pair-less*:
assumes $v \neq 0$ **and** $xs = [] \vee \text{comp } k (\text{fst } (\text{hd } xs)) = Lt$
shows $\text{update-by-pair } (k, v) xs = (k, v) \# xs$
 ⟨*proof*⟩

lemma *lookup-pair-update-by-pair*:
assumes *oalist-inv-raw xs*
shows $\text{lookup-pair } (\text{update-by-pair } (k1, v) xs) k2 = (\text{if } k1 = k2 \text{ then } v \text{ else } \text{lookup-pair } xs k2)$
 ⟨*proof*⟩

corollary *update-by-pair-id*:
assumes *oalist-inv-raw xs* **and** $\text{lookup-pair } xs k = v$
shows $\text{update-by-pair } (k, v) xs = xs$
 ⟨*proof*⟩

lemma *set-update-by-pair*:
assumes *oalist-inv-raw xs* **and** $v \neq 0$
shows $\text{set } (\text{update-by-pair } (k, v) xs) = \text{insert } (k, v) (\text{set } xs - \text{range } (\text{Pair } k))$
 (**is** $?A = ?B$)
 ⟨*proof*⟩

lemma *set-update-by-pair-zero*:
assumes *oalist-inv-raw xs*
shows $\text{set } (\text{update-by-pair } (k, 0) xs) = \text{set } xs - \text{range } (\text{Pair } k)$ (**is** $?A = ?B$)
 ⟨*proof*⟩

12.4.3 *update-by-fun-pair* **and** *update-by-fun-gr-pair*

lemma *update-by-fun-pair-eq-update-by-pair*:
assumes *oalist-inv-raw xs*
shows $\text{update-by-fun-pair } k f xs = \text{update-by-pair } (k, f (\text{lookup-pair } xs k)) xs$
 ⟨*proof*⟩

corollary *oalist-inv-raw-update-by-fun-pair*:
assumes *oalist-inv-raw xs*
shows *oalist-inv-raw (update-by-fun-pair k f xs)*
 ⟨*proof*⟩

corollary *lookup-pair-update-by-fun-pair*:
assumes *oalist-inv-raw xs*
shows $\text{lookup-pair } (\text{update-by-fun-pair } k1 f xs) k2 = (\text{if } k1 = k2 \text{ then } f \text{ else } id)$
 ($\text{lookup-pair } xs k2$)
 ⟨*proof*⟩

lemma *update-by-fun-pair-gr*:

assumes *oalist-inv-raw xs* **and** $xs = [] \vee \text{comp } k \text{ (fst (last xs))} = Gt$
shows $\text{update-by-fun-pair } k \text{ f } xs = xs \text{ @ (if f 0 = 0 then [] else [(k, f 0)])}$
(*proof*)

corollary *update-by-fun-gr-pair-eq-update-by-fun-pair*:

assumes *oalist-inv-raw xs*
shows $\text{update-by-fun-gr-pair } k \text{ f } xs = \text{update-by-fun-pair } k \text{ f } xs$
(*proof*)

corollary *oalist-inv-raw-update-by-fun-gr-pair*:

assumes *oalist-inv-raw xs*
shows $\text{oalist-inv-raw (update-by-fun-gr-pair } k \text{ f } xs)$
(*proof*)

corollary *lookup-pair-update-by-fun-gr-pair*:

assumes *oalist-inv-raw xs*
shows $\text{lookup-pair (update-by-fun-gr-pair } k1 \text{ f } xs) \text{ k2} = (\text{if } k1 = k2 \text{ then f else id) (lookup-pair } xs \text{ k2)}$
(*proof*)

12.4.4 *map-pair*

lemma *map-pair-cong*:

assumes $\bigwedge kv. kv \in \text{set } xs \implies f \text{ kv} = g \text{ kv}$
shows $\text{map-pair } f \text{ } xs = \text{map-pair } g \text{ } xs$
(*proof*)

lemma *map-pair-subset*: $\text{set (map-pair } f \text{ } xs) \subseteq f \text{ ' set } xs$

(*proof*)

lemma *oalist-inv-raw-map-pair*:

assumes *oalist-inv-raw xs*
and $\bigwedge a \text{ b. comp (fst (f a)) (fst (f b))} = \text{comp (fst a) (fst b)}$
shows $\text{oalist-inv-raw (map-pair } f \text{ } xs)$
(*proof*)

lemma *lookup-pair-map-pair*:

assumes *oalist-inv-raw xs* **and** $\text{snd (f (k, 0))} = 0$
and $\bigwedge a \text{ b. comp (fst (f a)) (fst (f b))} = \text{comp (fst a) (fst b)}$
shows $\text{lookup-pair (map-pair } f \text{ } xs) \text{ (fst (f (k, v)))} = \text{snd (f (k, lookup-pair } xs \text{ k))}$
(*proof*)

lemma *lookup-dflt-map-pair*:

assumes $\text{distinct (map fst } xs)$ **and** $\text{snd (f (k, 0))} = 0$
and $\bigwedge a \text{ b. (fst (f a))} = \text{fst (f b)} \iff \text{fst a} = \text{fst b}$
shows $\text{lookup-dflt (map-pair } f \text{ } xs) \text{ (fst (f (k, v)))} = \text{snd (f (k, lookup-dflt } xs \text{ k))}$
(*proof*)

lemma *distinct-map-pair*:

assumes *distinct* (*map fst xs*) **and** $\bigwedge a b. \text{fst } (f a) = \text{fst } (f b) \implies \text{fst } a = \text{fst } b$
shows *distinct* (*map fst (map-pair f xs)*)
<proof>

lemma *map-val-pair-cong*:

assumes $\bigwedge k v. (k, v) \in \text{set } xs \implies f k v = g k v$
shows *map-val-pair f xs = map-val-pair g xs*
<proof>

lemma *oalist-inv-raw-map-val-pair*:

assumes *oalist-inv-raw xs*
shows *oalist-inv-raw (map-val-pair f xs)*
<proof>

lemma *lookup-pair-map-val-pair*:

assumes *oalist-inv-raw xs* **and** $f k 0 = 0$
shows *lookup-pair (map-val-pair f xs) k = f k (lookup-pair xs k)*
<proof>

lemma *map-pair-id*:

assumes *oalist-inv-raw xs*
shows *map-pair id xs = xs*
<proof>

12.4.5 *map2-val-pair*

definition *map2-val-compat* :: $((a \times b::\text{zero}) \text{ list} \Rightarrow (a \times c::\text{zero}) \text{ list}) \Rightarrow \text{bool}$

where *map2-val-compat f* $\longleftrightarrow (\forall zs. (\text{oalist-inv-raw } zs \longrightarrow$
 $\text{oalist-inv-raw } (f zs) \wedge \text{fst } ' \text{set } (f zs) \subseteq \text{fst } ' \text{set } zs))$

lemma *map2-val-compatI*:

assumes $\bigwedge zs. \text{oalist-inv-raw } zs \implies \text{oalist-inv-raw } (f zs)$
and $\bigwedge zs. \text{oalist-inv-raw } zs \implies \text{fst } ' \text{set } (f zs) \subseteq \text{fst } ' \text{set } zs$
shows *map2-val-compat f*
<proof>

lemma *map2-val-compatD1*:

assumes *map2-val-compat f* **and** *oalist-inv-raw zs*
shows *oalist-inv-raw (f zs)*
<proof>

lemma *map2-val-compatD2*:

assumes *map2-val-compat f* **and** *oalist-inv-raw zs*
shows $\text{fst } ' \text{set } (f zs) \subseteq \text{fst } ' \text{set } zs$
<proof>

lemma *map2-val-compat-Nil*:

assumes *map2-val-compat (f::(a × b::zero) list ⇒ (a × c::zero) list)*

shows $f [] = []$
(proof)

lemma *map2-val-compat-id*: *map2-val-compat id*
(proof)

lemma *map2-val-compat-map-val-pair*: *map2-val-compat (map-val-pair f)*
(proof)

lemma *fst-map2-val-pair-subset*:
assumes *oalist-inv-raw xs* **and** *oalist-inv-raw ys*
assumes *map2-val-compat g* **and** *map2-val-compat h*
shows $\text{fst } \text{' set } (\text{map2-val-pair } f \ g \ h \ xs \ ys) \subseteq \text{fst } \text{' set } xs \cup \text{fst } \text{' set } ys$
(proof)

lemma *oalist-inv-raw-map2-val-pair*:
assumes *oalist-inv-raw xs* **and** *oalist-inv-raw ys*
assumes *map2-val-compat g* **and** *map2-val-compat h*
shows *oalist-inv-raw (map2-val-pair f g h xs ys)*
(proof)

lemma *lookup-pair-map2-val-pair*:
assumes *oalist-inv-raw xs* **and** *oalist-inv-raw ys*
assumes *map2-val-compat g* **and** *map2-val-compat h*
assumes $\bigwedge zs. \text{oalist-inv-raw } zs \implies g \ zs = \text{map-val-pair } (\lambda k \ v. f \ k \ v \ 0) \ zs$
and $\bigwedge zs. \text{oalist-inv-raw } zs \implies h \ zs = \text{map-val-pair } (\lambda k. f \ k \ 0) \ zs$
and $\bigwedge k. f \ k \ 0 \ 0 = 0$
shows $\text{lookup-pair } (\text{map2-val-pair } f \ g \ h \ xs \ ys) \ k0 = f \ k0 \ (\text{lookup-pair } xs \ k0)$
(*lookup-pair ys k0*)
(proof)

lemma *map2-val-pair-singleton-eq-update-by-fun-pair*:
assumes *oalist-inv-raw xs*
assumes $\bigwedge k \ x. f \ k \ x \ 0 = x$ **and** $\bigwedge zs. \text{oalist-inv-raw } zs \implies g \ zs = zs$
and $h \ [(k, v)] = \text{map-val-pair } (\lambda k. f \ k \ 0) \ [(k, v)]$
shows $\text{map2-val-pair } f \ g \ h \ xs \ [(k, v)] = \text{update-by-fun-pair } k \ (\lambda x. f \ k \ x \ v) \ xs$
(proof)

12.4.6 *lex-ord-pair*

lemma *lex-ord-pair-EqI*:
assumes *oalist-inv-raw xs* **and** *oalist-inv-raw ys*
and $\bigwedge k. k \in \text{fst } \text{' set } xs \cup \text{fst } \text{' set } ys \implies f \ k \ (\text{lookup-pair } xs \ k) \ (\text{lookup-pair } ys \ k) = \text{Some } Eq$
shows $\text{lex-ord-pair } f \ xs \ ys = \text{Some } Eq$
(proof)

lemma *lex-ord-pair-valI*:
assumes *oalist-inv-raw xs* **and** *oalist-inv-raw ys* **and** $aux \neq \text{Some } Eq$

assumes $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$ **and** $aux = f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$
and $\bigwedge k'. k' \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies \text{lt } k' k \implies$
 $f k' (\text{lookup-pair } xs k') (\text{lookup-pair } ys k') = \text{Some } Eq$
shows $\text{lex-ord-pair } f xs ys = aux$
 $\langle \text{proof} \rangle$

lemma *lex-ord-pair-EqD*:

assumes *oalist-inv-raw* xs **and** *oalist-inv-raw* ys **and** $\text{lex-ord-pair } f xs ys = \text{Some } Eq$
and $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$
shows $f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k) = \text{Some } Eq$
 $\langle \text{proof} \rangle$

lemma *lex-ord-pair-valE*:

assumes *oalist-inv-raw* xs **and** *oalist-inv-raw* ys **and** $\text{lex-ord-pair } f xs ys = aux$
and $aux \neq \text{Some } Eq$
obtains k **where** $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$ **and** $aux = f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$
and $\bigwedge k'. k' \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies \text{lt } k' k \implies$
 $f k' (\text{lookup-pair } xs k') (\text{lookup-pair } ys k') = \text{Some } Eq$
 $\langle \text{proof} \rangle$

12.4.7 *prod-ord-pair*

lemma *prod-ord-pair-eq-lex-ord-pair*:

$\text{prod-ord-pair } P xs ys = (\text{lex-ord-pair } (\lambda k x y. \text{if } P k x y \text{ then } \text{Some } Eq \text{ else } \text{None}))$
 $xs ys = \text{Some } Eq$
 $\langle \text{proof} \rangle$

lemma *prod-ord-pairI*:

assumes *oalist-inv-raw* xs **and** *oalist-inv-raw* ys
and $\bigwedge k. k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies P k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$
shows $\text{prod-ord-pair } P xs ys$
 $\langle \text{proof} \rangle$

lemma *prod-ord-pairD*:

assumes *oalist-inv-raw* xs **and** *oalist-inv-raw* ys **and** $\text{prod-ord-pair } P xs ys$
and $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$
shows $P k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$
 $\langle \text{proof} \rangle$

corollary *prod-ord-pair-alt*:

assumes *oalist-inv-raw* xs **and** *oalist-inv-raw* ys
shows $(\text{prod-ord-pair } P xs ys) \longleftrightarrow (\forall k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys. P k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k))$
 $\langle \text{proof} \rangle$

12.4.8 *sort-oalist*

lemma *oalist-inv-raw-foldr-update-by-pair*:

assumes *oalist-inv-raw ys*

shows *oalist-inv-raw (foldr update-by-pair xs ys)*

<proof>

corollary *oalist-inv-raw-sort-oalist*: *oalist-inv-raw (sort-oalist xs)*

<proof>

lemma *sort-oalist-id*:

assumes *oalist-inv-raw xs*

shows *sort-oalist xs = xs*

<proof>

lemma *set-sort-oalist*:

assumes *distinct (map fst xs)*

shows *set (sort-oalist xs) = {kv. kv ∈ set xs ∧ snd kv ≠ 0}*

<proof>

lemma *lookup-pair-sort-oalist'*:

assumes *distinct (map fst xs)*

shows *lookup-pair (sort-oalist xs) = lookup-dflt xs*

<proof>

end

locale *comparator2 = comparator comp1 + cmp2*: *comparator comp2 for comp1*

comp2 :: 'a comparator

begin

lemma *set-sort-oalist*:

assumes *cmp2.oalist-inv-raw xs*

shows *set (sort-oalist xs) = set xs*

<proof>

lemma *lookup-pair-eqI*:

assumes *oalist-inv-raw xs and cmp2.oalist-inv-raw ys and set xs = set ys*

shows *lookup-pair xs = cmp2.lookup-pair ys*

<proof>

corollary *lookup-pair-sort-oalist*:

assumes *cmp2.oalist-inv-raw xs*

shows *lookup-pair (sort-oalist xs) = cmp2.lookup-pair xs*

<proof>

end

12.5 Invariant on Pairs

type-synonym (*'a*, *'b*, *'c*) *oalist-raw* = (*'a* × *'b*) *list* × *'c*

locale *oalist-raw* = **fixes** *rep-key-order*::*'o* ⇒ *'a key-order*
begin

sublocale *comparator key-compare* (*rep-key-order x*)
⟨*proof*⟩

definition *oalist-inv* :: (*'a*, *'b*::*zero*, *'o*) *oalist-raw* ⇒ *bool*
where *oalist-inv xs* ⇔ *oalist-inv-raw* (*snd xs*) (*fst xs*)

lemma *oalist-inv-alt*: *oalist-inv* (*xs*, *ko*) ⇔ *oalist-inv-raw ko xs*
⟨*proof*⟩

12.6 Operations on Raw Ordered Associative Lists

fun *sort-oalist-aux* :: *'o* ⇒ (*'a*, *'b*, *'o*) *oalist-raw* ⇒ (*'a* × *'b*::*zero*) *list*
where *sort-oalist-aux ko* (*xs*, *ox*) = (*if ko = ox then xs else sort-oalist ko xs*)

fun *lookup-raw* :: (*'a*, *'b*, *'o*) *oalist-raw* ⇒ *'a* ⇒ *'b*::*zero*
where *lookup-raw* (*xs*, *ko*) = *lookup-pair ko xs*

definition *sorted-domain-raw* :: *'o* ⇒ (*'a*, *'b*::*zero*, *'o*) *oalist-raw* ⇒ *'a list*
where *sorted-domain-raw ko xs* = *map fst* (*sort-oalist-aux ko xs*)

fun *tl-raw* :: (*'a*, *'b*, *'o*) *oalist-raw* ⇒ (*'a*, *'b*::*zero*, *'o*) *oalist-raw*
where *tl-raw* (*xs*, *ko*) = (*List.tl xs*, *ko*)

fun *min-key-val-raw* :: *'o* ⇒ (*'a*, *'b*, *'o*) *oalist-raw* ⇒ (*'a* × *'b*::*zero*)
where *min-key-val-raw ko* (*xs*, *ox*) =
(*if ko = ox then List.hd else min-list-param* ($\lambda x y. le\ ko\ (fst\ x)\ (fst\ y)$) *xs*)

fun *update-by-raw* :: (*'a* × *'b*) ⇒ (*'a*, *'b*, *'o*) *oalist-raw* ⇒ (*'a*, *'b*::*zero*, *'o*) *oalist-raw*
where *update-by-raw kv* (*xs*, *ko*) = (*update-by-pair ko kv xs*, *ko*)

fun *update-by-fun-raw* :: *'a* ⇒ (*'b* ⇒ *'b*) ⇒ (*'a*, *'b*, *'o*) *oalist-raw* ⇒ (*'a*, *'b*::*zero*, *'o*) *oalist-raw*
where *update-by-fun-raw k f* (*xs*, *ko*) = (*update-by-fun-pair ko k f xs*, *ko*)

fun *update-by-fun-gr-raw* :: *'a* ⇒ (*'b* ⇒ *'b*) ⇒ (*'a*, *'b*, *'o*) *oalist-raw* ⇒ (*'a*, *'b*::*zero*, *'o*) *oalist-raw*
where *update-by-fun-gr-raw k f* (*xs*, *ko*) = (*update-by-fun-gr-pair ko k f xs*, *ko*)

fun (**in** *—*) *filter-raw* :: (*'a* ⇒ *bool*) ⇒ (*'a list* × *'b*) ⇒ (*'a list* × *'b*)
where *filter-raw P* (*xs*, *ko*) = (*filter P xs*, *ko*)

fun (**in** *—*) *map-raw* :: ((*'a* × *'b*) ⇒ (*'a* × *'c*)) ⇒ ((*'a* × *'b*::*zero*) *list* × *'d*) ⇒ (*'a* × *'c*::*zero*) *list* × *'d*

where $\text{map-raw } f \text{ (} xs, ko) = (\text{map-pair } f \text{ } xs, ko)$

abbreviation (**in** $-$) $\text{map-val-raw } f \equiv \text{map-raw } (\lambda(k, v). (k, f \ k \ v))$

fun $\text{map2-val-raw} :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow (('a, 'b, 'o) \text{oalist-raw} \Rightarrow ('a, 'd, 'o) \text{oalist-raw}) \Rightarrow$

$((('a, 'c, 'o) \text{oalist-raw} \Rightarrow ('a, 'd, 'o) \text{oalist-raw}) \Rightarrow$
 $('a, 'b::\text{zero}, 'o) \text{oalist-raw} \Rightarrow ('a, 'c::\text{zero}, 'o) \text{oalist-raw} \Rightarrow$
 $('a, 'd::\text{zero}, 'o) \text{oalist-raw})$

where $\text{map2-val-raw } f \ g \ h \text{ (} xs, ox) \text{ } ys =$
 $(\text{map2-val-pair } ox \ f \ (\lambda zs. \text{fst } (g \text{ (} zs, ox))) \ (\lambda zs. \text{fst } (h \text{ (} zs, ox))))$
 $xs \text{ (sort-oalist-aux } ox \text{ } ys), ox)$

definition $\text{lex-ord-raw} :: 'o \Rightarrow ('a \Rightarrow (('b, 'c) \text{comp-opt})) \Rightarrow$
 $((('a, 'b::\text{zero}, 'o) \text{oalist-raw}, ('a, 'c::\text{zero}, 'o) \text{oalist-raw}) \text{comp-opt})$

where $\text{lex-ord-raw } ko \ f \ xs \ ys = \text{lex-ord-pair } ko \ f \text{ (sort-oalist-aux } ko \ xs) \text{ (sort-oalist-aux } ko \ ys)$

fun $\text{prod-ord-raw} :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a, 'b::\text{zero}, 'o) \text{oalist-raw} \Rightarrow$
 $('a, 'c::\text{zero}, 'o) \text{oalist-raw} \Rightarrow \text{bool}$

where $\text{prod-ord-raw } f \text{ (} xs, ox) \text{ } ys = \text{prod-ord-pair } ox \ f \ xs \text{ (sort-oalist-aux } ox \text{ } ys)$

fun $\text{oalist-eq-raw} :: ('a, 'b, 'o) \text{oalist-raw} \Rightarrow ('a, 'b::\text{zero}, 'o) \text{oalist-raw} \Rightarrow \text{bool}$
where $\text{oalist-eq-raw } (xs, ox) \text{ } ys = (xs = (\text{sort-oalist-aux } ox \text{ } ys))$

fun $\text{sort-oalist-raw} :: ('a, 'b, 'o) \text{oalist-raw} \Rightarrow ('a, 'b::\text{zero}, 'o) \text{oalist-raw}$
where $\text{sort-oalist-raw } (xs, ko) = (\text{sort-oalist } ko \text{ } xs, ko)$

12.6.1 *sort-oalist-aux*

lemma *set-sort-oalist-aux*:

assumes *oalist-inv* xs

shows $\text{set } (\text{sort-oalist-aux } ko \text{ } xs) = \text{set } (\text{fst } xs)$

<proof>

lemma *oalist-inv-raw-sort-oalist-aux*:

assumes *oalist-inv* xs

shows $\text{oalist-inv-raw } ko \text{ (sort-oalist-aux } ko \text{ } xs)$

<proof>

lemma *oalist-inv-sort-oalist-aux*:

assumes *oalist-inv* xs

shows $\text{oalist-inv } (\text{sort-oalist-aux } ko \text{ } xs, ko)$

<proof>

lemma *lookup-pair-sort-oalist-aux*:

assumes *oalist-inv* xs

shows $\text{lookup-pair } ko \text{ (sort-oalist-aux } ko \text{ } xs) = \text{lookup-raw } xs$

<proof>

12.6.2 *lookup-raw*

lemma *lookup-raw-eq-value*:

assumes *oalist-inv xs* **and** $v \neq 0$

shows $\text{lookup-raw } xs \ k = v \longleftrightarrow ((k, v) \in \text{set } (\text{fst } xs))$

<proof>

lemma *lookup-raw-eq-valueI*:

assumes *oalist-inv xs* **and** $(k, v) \in \text{set } (\text{fst } xs)$

shows $\text{lookup-raw } xs \ k = v$

<proof>

lemma *lookup-raw-inj*:

assumes *oalist-inv (xs, ko)* **and** *oalist-inv (ys, ko)* **and** $\text{lookup-raw } (xs, ko) = \text{lookup-raw } (ys, ko)$

shows $xs = ys$

<proof>

12.6.3 *sorted-domain-raw*

lemma *set-sorted-domain-raw*:

assumes *oalist-inv xs*

shows $\text{set } (\text{sorted-domain-raw } ko \ xs) = \text{fst } \text{'set } (\text{fst } xs)$

<proof>

corollary *in-sorted-domain-raw-iff-lookup-raw*:

assumes *oalist-inv xs*

shows $k \in \text{set } (\text{sorted-domain-raw } ko \ xs) \longleftrightarrow (\text{lookup-raw } xs \ k \neq 0)$

<proof>

lemma *sorted-sorted-domain-raw*:

assumes *oalist-inv xs*

shows $\text{sorted-wrt } (\text{lt-of-key-order } (\text{rep-key-order } ko)) \ (\text{sorted-domain-raw } ko \ xs)$

<proof>

12.6.4 *tl-raw*

lemma *oalist-inv-tl-raw*:

assumes *oalist-inv xs*

shows *oalist-inv (tl-raw xs)*

<proof>

lemma *lookup-raw-tl-raw*:

assumes *oalist-inv xs*

shows $\text{lookup-raw } (\text{tl-raw } xs) \ k =$

$(\text{if } (\forall k' \in \text{fst } \text{'set } (\text{fst } xs). \text{le } (\text{snd } xs) \ k \ k') \text{ then } 0 \text{ else } \text{lookup-raw } xs \ k)$

<proof>

lemma *lookup-raw-tl-raw'*:

assumes *oalist-inv xs*

shows $\text{lookup-raw } (\text{tl-raw } xs) k = (\text{if } k = \text{fst } (\text{List.hd } (\text{fst } xs)) \text{ then } 0 \text{ else } \text{lookup-raw } xs k)$
 ⟨proof⟩

12.6.5 *min-key-val-raw*

lemma *min-key-val-raw-alt*:
assumes $\text{oalist-inv } xs$ **and** $\text{fst } xs \neq []$
shows $\text{min-key-val-raw } ko xs = \text{List.hd } (\text{sort-oalist-aux } ko xs)$
 ⟨proof⟩

lemma *min-key-val-raw-in*:
assumes $\text{fst } xs \neq []$
shows $\text{min-key-val-raw } ko xs \in \text{set } (\text{fst } xs)$
 ⟨proof⟩

lemma *snd-min-key-val-raw*:
assumes $\text{oalist-inv } xs$ **and** $\text{fst } xs \neq []$
shows $\text{snd } (\text{min-key-val-raw } ko xs) = \text{lookup-raw } xs (\text{fst } (\text{min-key-val-raw } ko xs))$
 ⟨proof⟩

lemma *min-key-val-raw-minimal*:
assumes $\text{oalist-inv } xs$ **and** $z \in \text{set } (\text{fst } xs)$
shows $le ko (\text{fst } (\text{min-key-val-raw } ko xs)) (\text{fst } z)$
 ⟨proof⟩

12.6.6 *filter-raw*

lemma *oalist-inv-filter-raw*:
assumes $\text{oalist-inv } xs$
shows $\text{oalist-inv } (\text{filter-raw } P xs)$
 ⟨proof⟩

lemma *lookup-raw-filter-raw*:
assumes $\text{oalist-inv } xs$
shows $\text{lookup-raw } (\text{filter-raw } P xs) k = (\text{let } v = \text{lookup-raw } xs k \text{ in if } P(k, v) \text{ then } v \text{ else } 0)$
 ⟨proof⟩

12.6.7 *update-by-raw*

lemma *oalist-inv-update-by-raw*:
assumes $\text{oalist-inv } xs$
shows $\text{oalist-inv } (\text{update-by-raw } kv xs)$
 ⟨proof⟩

lemma *lookup-raw-update-by-raw*:
assumes $\text{oalist-inv } xs$
shows $\text{lookup-raw } (\text{update-by-raw } (k1, v) xs) k2 = (\text{if } k1 = k2 \text{ then } v \text{ else } \text{lookup-raw } xs k2)$

<proof>

12.6.8 *update-by-fun-raw* and *update-by-fun-gr-raw*

lemma *update-by-fun-raw-eq-update-by-raw*:

assumes *oalist-inv xs*

shows $\text{update-by-fun-raw } k \ f \ xs = \text{update-by-raw } (k, f \ (\text{lookup-raw } xs \ k)) \ xs$

<proof>

corollary *oalist-inv-update-by-fun-raw*:

assumes *oalist-inv xs*

shows *oalist-inv* ($\text{update-by-fun-raw } k \ f \ xs$)

<proof>

corollary *lookup-raw-update-by-fun-raw*:

assumes *oalist-inv xs*

shows $\text{lookup-raw } (\text{update-by-fun-raw } k1 \ f \ xs) \ k2 = (\text{if } k1 = k2 \ \text{then } f \ \text{else } id) \ (\text{lookup-raw } xs \ k2)$

<proof>

lemma *update-by-fun-gr-raw-eq-update-by-fun-raw*:

assumes *oalist-inv xs*

shows $\text{update-by-fun-gr-raw } k \ f \ xs = \text{update-by-fun-raw } k \ f \ xs$

<proof>

corollary *oalist-inv-update-by-fun-gr-raw*:

assumes *oalist-inv xs*

shows *oalist-inv* ($\text{update-by-fun-gr-raw } k \ f \ xs$)

<proof>

corollary *lookup-raw-update-by-fun-gr-raw*:

assumes *oalist-inv xs*

shows $\text{lookup-raw } (\text{update-by-fun-gr-raw } k1 \ f \ xs) \ k2 = (\text{if } k1 = k2 \ \text{then } f \ \text{else } id) \ (\text{lookup-raw } xs \ k2)$

<proof>

12.6.9 *map-raw* and *map-val-raw*

lemma *map-raw-cong*:

assumes $\bigwedge kv. kv \in \text{set } (\text{fst } xs) \implies f \ kv = g \ kv$

shows $\text{map-raw } f \ xs = \text{map-raw } g \ xs$

<proof>

lemma *map-raw-subset*: $\text{set } (\text{fst } (\text{map-raw } f \ xs)) \subseteq f \ ' \ \text{set } (\text{fst } xs)$

<proof>

lemma *oalist-inv-map-raw*:

assumes *oalist-inv xs*

and $\bigwedge a \ b. \text{key-compare } (\text{rep-key-order } (\text{snd } xs)) \ (\text{fst } (f \ a)) \ (\text{fst } (f \ b)) = \text{key-compare } (\text{rep-key-order } (\text{snd } xs)) \ (\text{fst } a) \ (\text{fst } b)$

shows *oalist-inv* (*map-raw* *f* *xs*)
 ⟨*proof*⟩

lemma *lookup-raw-map-raw*:

assumes *oalist-inv* *xs* **and** $\text{snd } (f \ (k, 0)) = 0$
and $\bigwedge a \ b. \text{key-compare } (\text{rep-key-order } (\text{snd } xs)) \ (\text{fst } (f \ a)) \ (\text{fst } (f \ b)) =$
 $\text{key-compare } (\text{rep-key-order } (\text{snd } xs)) \ (\text{fst } a) \ (\text{fst } b)$
shows $\text{lookup-raw } (\text{map-raw } f \ xs) \ (\text{fst } (f \ (k, v))) = \text{snd } (f \ (k, \text{lookup-raw } xs \ k))$
 ⟨*proof*⟩

lemma *map-raw-id*:

assumes *oalist-inv* *xs*
shows $\text{map-raw } \text{id} \ xs = xs$
 ⟨*proof*⟩

lemma *map-val-raw-cong*:

assumes $\bigwedge k \ v. (k, v) \in \text{set } (\text{fst } xs) \implies f \ k \ v = g \ k \ v$
shows $\text{map-val-raw } f \ xs = \text{map-val-raw } g \ xs$
 ⟨*proof*⟩

lemma *oalist-inv-map-val-raw*:

assumes *oalist-inv* *xs*
shows *oalist-inv* (*map-val-raw* *f* *xs*)
 ⟨*proof*⟩

lemma *lookup-raw-map-val-raw*:

assumes *oalist-inv* *xs* **and** $f \ k \ 0 = 0$
shows $\text{lookup-raw } (\text{map-val-raw } f \ xs) \ k = f \ k \ (\text{lookup-raw } xs \ k)$
 ⟨*proof*⟩

12.6.10 *map2-val-raw*

definition *map2-val-compat'* :: $((\ 'a, \ 'b::\text{zero}, \ 'o) \ \text{oalist-raw} \implies (\ 'a, \ 'c::\text{zero}, \ 'o) \ \text{oalist-raw}) \implies \text{bool}$

where $\text{map2-val-compat}' \ f \longleftrightarrow$
 $(\forall \ zs. (\text{oalist-inv } zs \longrightarrow (\text{oalist-inv } (f \ zs) \wedge \text{snd } (f \ zs) = \text{snd } zs \wedge \text{fst } \text{'set } (\text{fst } (f \ zs)) \subseteq \text{fst } \text{'set } (\text{fst } zs))))$

lemma *map2-val-compat'I*:

assumes $\bigwedge zs. \text{oalist-inv } zs \implies \text{oalist-inv } (f \ zs)$
and $\bigwedge zs. \text{oalist-inv } zs \implies \text{snd } (f \ zs) = \text{snd } zs$
and $\bigwedge zs. \text{oalist-inv } zs \implies \text{fst } \text{'set } (\text{fst } (f \ zs)) \subseteq \text{fst } \text{'set } (\text{fst } zs)$
shows $\text{map2-val-compat}' \ f$
 ⟨*proof*⟩

lemma *map2-val-compat'D1*:

assumes $\text{map2-val-compat}' \ f$ **and** *oalist-inv* *zs*
shows *oalist-inv* (*f* *zs*)
 ⟨*proof*⟩

lemma *map2-val-compat'D2*:
assumes *map2-val-compat' f* **and** *oalist-inv zs*
shows $\text{snd } (f \text{ } zs) = \text{snd } zs$
 $\langle \text{proof} \rangle$

lemma *map2-val-compat'D3*:
assumes *map2-val-compat' f* **and** *oalist-inv zs*
shows $\text{fst } ' \text{ set } (\text{fst } (f \text{ } zs)) \subseteq \text{fst } ' \text{ set } (\text{fst } zs)$
 $\langle \text{proof} \rangle$

lemma *map2-val-compat'-map-val-raw*: *map2-val-compat' (map-val-raw f)*
 $\langle \text{proof} \rangle$

lemma *map2-val-compat'-id*: *map2-val-compat' id*
 $\langle \text{proof} \rangle$

lemma *map2-val-compat'-imp-map2-val-compat*:
assumes *map2-val-compat' g*
shows *map2-val-compat ko* $(\lambda zs. \text{fst } (g \text{ } (zs, ko)))$
 $\langle \text{proof} \rangle$

lemma *oalist-inv-map2-val-raw*:
assumes *oalist-inv xs* **and** *oalist-inv ys*
assumes *map2-val-compat' g* **and** *map2-val-compat' h*
shows *oalist-inv (map2-val-raw f g h xs ys)*
 $\langle \text{proof} \rangle$

lemma *lookup-raw-map2-val-raw*:
assumes *oalist-inv xs* **and** *oalist-inv ys*
assumes *map2-val-compat' g* **and** *map2-val-compat' h*
assumes $\bigwedge zs. \text{oalist-inv } zs \implies g \text{ } zs = \text{map-val-raw } (\lambda k \ v. f \ k \ v \ 0) \ zs$
and $\bigwedge zs. \text{oalist-inv } zs \implies h \text{ } zs = \text{map-val-raw } (\lambda k. f \ k \ 0) \ zs$
and $\bigwedge k. f \ k \ 0 \ 0 = 0$
shows $\text{lookup-raw } (\text{map2-val-raw } f \ g \ h \ xs \ ys) \ k0 = f \ k0 \ (\text{lookup-raw } xs \ k0)$
 $(\text{lookup-raw } ys \ k0)$
 $\langle \text{proof} \rangle$

lemma *map2-val-raw-singleton-eq-update-by-fun-raw*:
assumes *oalist-inv xs*
assumes $\bigwedge k \ x. f \ k \ x \ 0 = x$ **and** $\bigwedge zs. \text{oalist-inv } zs \implies g \text{ } zs = zs$
and $\bigwedge ko. h \ ([k, v], ko) = \text{map-val-raw } (\lambda k. f \ k \ 0) \ ([k, v], ko)$
shows $\text{map2-val-raw } f \ g \ h \ xs \ ([k, v], ko) = \text{update-by-fun-raw } k \ (\lambda x. f \ k \ x \ v) \ xs$
 $\langle \text{proof} \rangle$

12.6.11 *lex-ord-raw*

lemma *lex-ord-raw-EqI*:
assumes *oalist-inv xs* **and** *oalist-inv ys*

and $\bigwedge k. k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys) \implies f k (\text{lookup-raw } xs k)$
 $(\text{lookup-raw } ys k) = \text{Some } Eq$
shows $\text{lex-ord-raw } ko f xs ys = \text{Some } Eq$
 $\langle \text{proof} \rangle$

lemma *lex-ord-raw-valI*:

assumes *oalist-inv* *xs* **and** *oalist-inv* *ys* **and** $aux \neq \text{Some } Eq$
assumes $k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys)$ **and** $aux = f k (\text{lookup-raw } xs k)$
 $(\text{lookup-raw } ys k)$
and $\bigwedge k'. k' \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys) \implies lt ko k' k \implies$
 $f k' (\text{lookup-raw } xs k') (\text{lookup-raw } ys k') = \text{Some } Eq$
shows $\text{lex-ord-raw } ko f xs ys = aux$
 $\langle \text{proof} \rangle$

lemma *lex-ord-raw-EqD*:

assumes *oalist-inv* *xs* **and** *oalist-inv* *ys* **and** $\text{lex-ord-raw } ko f xs ys = \text{Some } Eq$
and $k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys)$
shows $f k (\text{lookup-raw } xs k) (\text{lookup-raw } ys k) = \text{Some } Eq$
 $\langle \text{proof} \rangle$

lemma *lex-ord-raw-valE*:

assumes *oalist-inv* *xs* **and** *oalist-inv* *ys* **and** $\text{lex-ord-raw } ko f xs ys = aux$
and $aux \neq \text{Some } Eq$
obtains *k* **where** $k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys)$
and $aux = f k (\text{lookup-raw } xs k) (\text{lookup-raw } ys k)$
and $\bigwedge k'. k' \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys) \implies lt ko k' k \implies$
 $f k' (\text{lookup-raw } xs k') (\text{lookup-raw } ys k') = \text{Some } Eq$
 $\langle \text{proof} \rangle$

12.6.12 *prod-ord-raw*

lemma *prod-ord-rawI*:

assumes *oalist-inv* *xs* **and** *oalist-inv* *ys*
and $\bigwedge k. k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys) \implies P k (\text{lookup-raw } xs k)$
 $(\text{lookup-raw } ys k)$
shows $\text{prod-ord-raw } P xs ys$
 $\langle \text{proof} \rangle$

lemma *prod-ord-rawD*:

assumes *oalist-inv* *xs* **and** *oalist-inv* *ys* **and** $\text{prod-ord-raw } P xs ys$
and $k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys)$
shows $P k (\text{lookup-raw } xs k) (\text{lookup-raw } ys k)$
 $\langle \text{proof} \rangle$

corollary *prod-ord-raw-alt*:

assumes *oalist-inv* *xs* **and** *oalist-inv* *ys*
shows $\text{prod-ord-raw } P xs ys \longleftrightarrow$
 $(\forall k \in \text{fst } ' \text{ set } (\text{fst } xs) \cup \text{fst } ' \text{ set } (\text{fst } ys). P k (\text{lookup-raw } xs k) (\text{lookup-raw } ys k))$

<proof>

12.6.13 *oalist-eq-raw*

lemma *oalist-eq-rawI*:

assumes *oalist-inv xs* **and** *oalist-inv ys*

and $\bigwedge k. k \in \text{fst } \text{'set } (\text{fst } xs) \cup \text{fst } \text{'set } (\text{fst } ys) \implies \text{lookup-raw } xs \ k = \text{lookup-raw } ys \ k$

shows *oalist-eq-raw xs ys*

<proof>

lemma *oalist-eq-rawD*:

assumes *oalist-inv ys* **and** *oalist-eq-raw xs ys*

shows $\text{lookup-raw } xs = \text{lookup-raw } ys$

<proof>

lemma *oalist-eq-raw-alt*:

assumes *oalist-inv xs* **and** *oalist-inv ys*

shows $\text{oalist-eq-raw } xs \ ys \longleftrightarrow (\text{lookup-raw } xs = \text{lookup-raw } ys)$

<proof>

12.6.14 *sort-oalist-raw*

lemma *oalist-inv-sort-oalist-raw*: *oalist-inv (sort-oalist-raw xs)*

<proof>

lemma *sort-oalist-raw-id*:

assumes *oalist-inv xs*

shows $\text{sort-oalist-raw } xs = xs$

<proof>

lemma *set-sort-oalist-raw*:

assumes $\text{distinct } (\text{map } \text{fst } (\text{fst } xs))$

shows $\text{set } (\text{fst } (\text{sort-oalist-raw } xs)) = \{kv. kv \in \text{set } (\text{fst } xs) \wedge \text{snd } kv \neq 0\}$

<proof>

end

12.7 Fundamental Operations on One List

locale *oalist-abstract* = *oalist-raw rep-key-order* **for** *rep-key-order::'o \Rightarrow 'a key-order*

+

fixes *list-of-oalist* :: $'x \Rightarrow ('a, 'b::\text{zero}, 'o) \text{ oalist-raw}$

fixes *oalist-of-list* :: $('a, 'b, 'o) \text{ oalist-raw} \Rightarrow 'x$

assumes *oalist-inv-list-of-oalist*: *oalist-inv (list-of-oalist x)*

and *list-of-oalist-of-list*: $\text{list-of-oalist } (\text{oalist-of-list } xs) = \text{sort-oalist-raw } xs$

and *oalist-of-list-of-oalist*: $\text{oalist-of-list } (\text{list-of-oalist } x) = x$

begin

lemma *list-of-oalist-of-list-id*:

assumes *oalist-inv xs*
shows *list-of-oalist (oalist-of-list xs) = xs*
<proof>

definition *lookup :: 'x ⇒ 'a ⇒ 'b*
where *lookup xs = lookup-raw (list-of-oalist xs)*

definition *sorted-domain :: 'o ⇒ 'x ⇒ 'a list*
where *sorted-domain ko xs = sorted-domain-raw ko (list-of-oalist xs)*

definition *empty :: 'o ⇒ 'x*
where *empty ko = oalist-of-list ([], ko)*

definition *reorder :: 'o ⇒ 'x ⇒ 'x*
where *reorder ko xs = oalist-of-list (sort-oalist-aux ko (list-of-oalist xs), ko)*

definition *tl :: 'x ⇒ 'x*
where *tl xs = oalist-of-list (tl-raw (list-of-oalist xs))*

definition *hd :: 'x ⇒ ('a × 'b)*
where *hd xs = List.hd (fst (list-of-oalist xs))*

definition *except-min :: 'o ⇒ 'x ⇒ 'x*
where *except-min ko xs = tl (reorder ko xs)*

definition *min-key-val :: 'o ⇒ 'x ⇒ ('a × 'b)*
where *min-key-val ko xs = min-key-val-raw ko (list-of-oalist xs)*

definition *insert :: ('a × 'b) ⇒ 'x ⇒ 'x*
where *insert x xs = oalist-of-list (update-by-raw x (list-of-oalist xs))*

definition *update-by-fun :: 'a ⇒ ('b ⇒ 'b) ⇒ 'x ⇒ 'x*
where *update-by-fun k f xs = oalist-of-list (update-by-fun-raw k f (list-of-oalist xs))*

definition *update-by-fun-gr :: 'a ⇒ ('b ⇒ 'b) ⇒ 'x ⇒ 'x*
where *update-by-fun-gr k f xs = oalist-of-list (update-by-fun-gr-raw k f (list-of-oalist xs))*

definition *filter :: (('a × 'b) ⇒ bool) ⇒ 'x ⇒ 'x*
where *filter P xs = oalist-of-list (filter-raw P (list-of-oalist xs))*

definition *map2-val-neutr :: ('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ 'x ⇒ 'x ⇒ 'x*
where *map2-val-neutr f xs ys = oalist-of-list (map2-val-raw f id id (list-of-oalist xs) (list-of-oalist ys))*

definition *oalist-eq :: 'x ⇒ 'x ⇒ bool*
where *oalist-eq xs ys = oalist-eq-raw (list-of-oalist xs) (list-of-oalist ys)*

12.7.1 Invariant

lemma *zero-notin-list-of-oalist*: $0 \notin \text{snd} \text{ ' set (fst (list-of-oalist xs))}$
{proof}

lemma *list-of-oalist-sorted*: $\text{sorted-wrt (lt (snd (list-of-oalist xs))) (map fst (fst (list-of-oalist xs)))}$
{proof}

12.7.2 lookup

lemma *lookup-eq-value*: $v \neq 0 \implies \text{lookup xs k} = v \iff ((k, v) \in \text{set (fst (list-of-oalist xs))})$
{proof}

lemma *lookup-eq-valueI*: $(k, v) \in \text{set (fst (list-of-oalist xs))} \implies \text{lookup xs k} = v$
{proof}

lemma *lookup-oalist-of-list*:
 $\text{distinct (map fst xs)} \implies \text{lookup (oalist-of-list (xs, ko))} = \text{lookup-dflt xs}$
{proof}

12.7.3 sorted-domain

lemma *set-sorted-domain*: $\text{set (sorted-domain ko xs)} = \text{fst ' set (fst (list-of-oalist xs))}$
{proof}

lemma *in-sorted-domain-iff-lookup*: $k \in \text{set (sorted-domain ko xs)} \iff (\text{lookup xs k} \neq 0)$
{proof}

lemma *sorted-sorted-domain*: $\text{sorted-wrt (lt ko) (sorted-domain ko xs)}$
{proof}

12.7.4 local.empty and Singletons

lemma *list-of-oalist-empty* [simp, code abstract]: $\text{list-of-oalist (empty ko)} = ([], ko)$
{proof}

lemma *lookup-empty*: $\text{lookup (empty ko) k} = 0$
{proof}

lemma *lookup-oalist-of-list-single*:
 $\text{lookup (oalist-of-list ([k, v], ko)) k'} = (\text{if } k = k' \text{ then } v \text{ else } 0)$
{proof}

12.7.5 reorder

lemma *list-of-oalist-reorder* [simp, code abstract]:

$list\text{-of}\text{-oalist} (reorder\ ko\ xs) = (sort\text{-oalist}\text{-aux}\ ko\ (list\text{-of}\text{-oalist}\ xs),\ ko)$
 $\langle proof \rangle$

lemma *lookup-reorder*: $lookup (reorder\ ko\ xs)\ k = lookup\ xs\ k$
 $\langle proof \rangle$

12.7.6 *local.hd* and *local.tl*

lemma *list-of-oalist-tl* [*simp*, *code abstract*]: $list\text{-of}\text{-oalist} (tl\ xs) = tl\text{-raw} (list\text{-of}\text{-oalist}\ xs)$
 $\langle proof \rangle$

lemma *lookup-tl*:
 $lookup (tl\ xs)\ k =$
 $(if\ (\forall\ k' \in fst\ 'set\ (fst\ (list\text{-of}\text{-oalist}\ xs)).\ le\ (snd\ (list\text{-of}\text{-oalist}\ xs))\ k\ k')\ then$
 $0\ else\ lookup\ xs\ k)$
 $\langle proof \rangle$

lemma *hd-in*:
assumes $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$
shows $hd\ xs \in set\ (fst\ (list\text{-of}\text{-oalist}\ xs))$
 $\langle proof \rangle$

lemma *snd-hd*:
assumes $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$
shows $snd\ (hd\ xs) = lookup\ xs\ (fst\ (hd\ xs))$
 $\langle proof \rangle$

lemma *lookup-tl'*: $lookup (tl\ xs)\ k = (if\ k = fst\ (hd\ xs)\ then\ 0\ else\ lookup\ xs\ k)$
 $\langle proof \rangle$

lemma *hd-tl*:
assumes $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$
shows $list\text{-of}\text{-oalist}\ xs = ((hd\ xs) \# (fst\ (list\text{-of}\text{-oalist}\ (tl\ xs))),\ snd\ (list\text{-of}\text{-oalist}\ (tl\ xs)))$
 $\langle proof \rangle$

12.7.7 *min-key-val*

lemma *min-key-val-alt*:
assumes $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$
shows $min\text{-key}\text{-val}\ ko\ xs = hd\ (reorder\ ko\ xs)$
 $\langle proof \rangle$

lemma *min-key-val-in*:
assumes $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$
shows $min\text{-key}\text{-val}\ ko\ xs \in set\ (fst\ (list\text{-of}\text{-oalist}\ xs))$
 $\langle proof \rangle$

lemma *snd-min-key-val*:

assumes $\text{fst } (\text{list-of-oalist } xs) \neq []$
shows $\text{snd } (\text{min-key-val } ko \ xs) = \text{lookup } xs \ (\text{fst } (\text{min-key-val } ko \ xs))$
 $\langle \text{proof} \rangle$

lemma *min-key-val-minimal*:

assumes $z \in \text{set } (\text{fst } (\text{list-of-oalist } xs))$
shows $\text{le } ko \ (\text{fst } (\text{min-key-val } ko \ xs)) \ (\text{fst } z)$
 $\langle \text{proof} \rangle$

12.7.8 *except-min*

lemma *list-of-oalist-except-min* [*simp, code abstract*]:

$\text{list-of-oalist } (\text{except-min } ko \ xs) = (\text{List.tl } (\text{sort-oalist-aux } ko \ (\text{list-of-oalist } xs)), ko)$
 $\langle \text{proof} \rangle$

lemma *except-min-Nil*:

assumes $\text{fst } (\text{list-of-oalist } xs) = []$
shows $\text{fst } (\text{list-of-oalist } (\text{except-min } ko \ xs)) = []$
 $\langle \text{proof} \rangle$

lemma *lookup-except-min*:

$\text{lookup } (\text{except-min } ko \ xs) \ k =$
 $(\text{if } (\forall k' \in \text{fst } \text{'set } (\text{fst } (\text{list-of-oalist } xs)). \text{le } ko \ k \ k') \text{ then } 0 \text{ else } \text{lookup } xs \ k)$
 $\langle \text{proof} \rangle$

lemma *lookup-except-min'*:

$\text{lookup } (\text{except-min } ko \ xs) \ k = (\text{if } k = \text{fst } (\text{min-key-val } ko \ xs) \text{ then } 0 \text{ else } \text{lookup } xs \ k)$
 $\langle \text{proof} \rangle$

12.7.9 *local.insert*

lemma *list-of-oalist-insert* [*simp, code abstract*]:

$\text{list-of-oalist } (\text{insert } x \ xs) = \text{update-by-raw } x \ (\text{list-of-oalist } xs)$
 $\langle \text{proof} \rangle$

lemma *lookup-insert*: $\text{lookup } (\text{insert } (k, v) \ xs) \ k' = (\text{if } k = k' \text{ then } v \text{ else } \text{lookup } xs \ k')$

$\langle \text{proof} \rangle$

12.7.10 *update-by-fun and update-by-fun-gr*

lemma *list-of-oalist-update-by-fun* [*simp, code abstract*]:

$\text{list-of-oalist } (\text{update-by-fun } k \ f \ xs) = \text{update-by-fun-raw } k \ f \ (\text{list-of-oalist } xs)$
 $\langle \text{proof} \rangle$

lemma *lookup-update-by-fun*:

$\text{lookup } (\text{update-by-fun } k \ f \ xs) \ k' = (\text{if } k = k' \text{ then } f \text{ else } \text{id}) (\text{lookup } xs \ k')$
 $\langle \text{proof} \rangle$

lemma *list-of-oalist-update-by-fun-gr* [*simp, code abstract*]:
 $list-of-oalist (update-by-fun-gr k f xs) = update-by-fun-gr-raw k f (list-of-oalist xs)$
 ⟨*proof*⟩

lemma *update-by-fun-gr-eq-update-by-fun*: $update-by-fun-gr = update-by-fun$
 ⟨*proof*⟩

12.7.11 *local.filter*

lemma *list-of-oalist-filter* [*simp, code abstract*]:
 $list-of-oalist (filter P xs) = filter-raw P (list-of-oalist xs)$
 ⟨*proof*⟩

lemma *lookup-filter*: $lookup (filter P xs) k = (let v = lookup xs k in if P (k, v) then v else 0)$
 ⟨*proof*⟩

12.7.12 *map2-val-neutr*

lemma *list-of-oalist-map2-val-neutr* [*simp, code abstract*]:
 $list-of-oalist (map2-val-neutr f xs ys) = map2-val-raw f id id (list-of-oalist xs) (list-of-oalist ys)$
 ⟨*proof*⟩

lemma *lookup-map2-val-neutr*:
assumes $\bigwedge k x. f k x 0 = x$ **and** $\bigwedge k x. f k 0 x = x$
shows $lookup (map2-val-neutr f xs ys) k = f k (lookup xs k) (lookup ys k)$
 ⟨*proof*⟩

12.7.13 *oalist-eq*

lemma *oalist-eq-alt*: $oalist-eq xs ys \iff (lookup xs = lookup ys)$
 ⟨*proof*⟩

end

12.8 Fundamental Operations on Three Lists

locale *oalist-abstract3* =
oalist-abstract rep-key-order list-of-oalistx oalist-of-listx +
oay: oalist-abstract rep-key-order list-of-oalisty oalist-of-listy +
oaz: oalist-abstract rep-key-order list-of-oalistz oalist-of-listz
for *rep-key-order* :: 'o \Rightarrow 'a *key-order*
and *list-of-oalistx* :: 'x \Rightarrow ('a, 'b::zero, 'o) *oalist-raw*
and *oalist-of-listx* :: ('a, 'b, 'o) *oalist-raw* \Rightarrow 'x
and *list-of-oalisty* :: 'y \Rightarrow ('a, 'c::zero, 'o) *oalist-raw*
and *oalist-of-listy* :: ('a, 'c, 'o) *oalist-raw* \Rightarrow 'y
and *list-of-oalistz* :: 'z \Rightarrow ('a, 'd::zero, 'o) *oalist-raw*

and *oalist-of-listz* :: ('a, 'd, 'o) oalist-raw ⇒ 'z
begin

definition *map-val* :: ('a ⇒ 'b ⇒ 'c) ⇒ 'x ⇒ 'y
where *map-val* f xs = oalist-of-listy (map-val-raw f (list-of-oalistx xs))

definition *map2-val* :: ('a ⇒ 'b ⇒ 'c ⇒ 'd) ⇒ 'x ⇒ 'y ⇒ 'z
where *map2-val* f xs ys =
oalist-of-listz (map2-val-raw f (map-val-raw (λk b. f k b 0)) (map-val-raw
(λk. f k 0))
(list-of-oalistx xs) (list-of-oalisty ys))

definition *map2-val-rneutr* :: ('a ⇒ 'b ⇒ 'c ⇒ 'b) ⇒ 'x ⇒ 'y ⇒ 'x
where *map2-val-rneutr* f xs ys =
oalist-of-listx (map2-val-raw f id (map-val-raw (λk. f k 0)) (list-of-oalistx
xs) (list-of-oalisty ys))

definition *lex-ord* :: 'o ⇒ ('a ⇒ ('b, 'c) comp-opt) ⇒ ('x, 'y) comp-opt
where *lex-ord* ko f xs ys = lex-ord-raw ko f (list-of-oalistx xs) (list-of-oalisty ys)

definition *prod-ord* :: ('a ⇒ 'b ⇒ 'c ⇒ bool) ⇒ 'x ⇒ 'y ⇒ bool
where *prod-ord* f xs ys = prod-ord-raw f (list-of-oalistx xs) (list-of-oalisty ys)

12.8.1 *map-val*

lemma *map-val-cong*:

assumes $\bigwedge k v. (k, v) \in \text{set} (\text{fst} (\text{list-of-oalistx } xs)) \implies f k v = g k v$
shows *map-val* f xs = *map-val* g xs
⟨proof⟩

lemma *list-of-oalist-map-val* [*simp*, *code abstract*]:

list-of-oalisty (map-val f xs) = map-val-raw f (list-of-oalistx xs)
⟨proof⟩

lemma *lookup-map-val*: $f k 0 = 0 \implies \text{oay.lookup} (\text{map-val } f \text{ xs}) k = f k (\text{lookup } xs \ k)$
⟨proof⟩

12.8.2 *map2-val* and *map2-val-rneutr*

lemma *list-of-oalist-map2-val* [*simp*, *code abstract*]:

list-of-oalistz (map2-val f xs ys) =
map2-val-raw f (map-val-raw (λk b. f k b 0)) (map-val-raw (λk. f k 0))
(list-of-oalistx xs) (list-of-oalisty ys)
⟨proof⟩

lemma *list-of-oalist-map2-val-rneutr* [*simp*, *code abstract*]:

list-of-oalistx (map2-val-rneutr f xs ys) =
map2-val-raw f id (map-val-raw (λk c. f k 0 c)) (list-of-oalistx xs) (list-of-oalisty
ys)

<proof>

lemma *lookup-map2-val:*

assumes $\bigwedge k. f\ k\ 0\ 0 = 0$

shows $oaz.lookup\ (map2-val\ f\ xs\ ys)\ k = f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k)$

<proof>

lemma *lookup-map2-val-rneutr:*

assumes $\bigwedge k\ x. f\ k\ x\ 0 = x$

shows $lookup\ (map2-val-rneutr\ f\ xs\ ys)\ k = f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k)$

<proof>

lemma *map2-val-rneutr-singleton-eq-update-by-fun:*

assumes $\bigwedge a\ x. f\ a\ x\ 0 = x$ **and** $list-of-oalisty\ ys = [(k, v)],\ oy$

shows $map2-val-rneutr\ f\ xs\ ys = update-by-fun\ k\ (\lambda x. f\ k\ x\ v)\ xs$

<proof>

12.8.3 *lex-ord* and *prod-ord*

lemma *lex-ord-EqI:*

$(\bigwedge k. k \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys))) \implies$
 $f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k) = Some\ Eq \implies$

$lex-ord\ ko\ f\ xs\ ys = Some\ Eq$

<proof>

lemma *lex-ord-valI:*

assumes $aux \neq Some\ Eq$ **and** $k \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys))$

shows $aux = f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k) \implies$

$(\bigwedge k'. k' \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys))) \implies$

$lt\ ko\ k'\ k \implies f\ k'\ (lookup\ xs\ k')\ (oay.lookup\ ys\ k') = Some\ Eq \implies$

$lex-ord\ ko\ f\ xs\ ys = aux$

<proof>

lemma *lex-ord-EqD:*

$lex-ord\ ko\ f\ xs\ ys = Some\ Eq \implies$

$k \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys)) \implies$

$f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k) = Some\ Eq$

<proof>

lemma *lex-ord-valE:*

assumes $lex-ord\ ko\ f\ xs\ ys = aux$ **and** $aux \neq Some\ Eq$

obtains k **where** $k \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys))$

and $aux = f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k)$

and $\bigwedge k'. k' \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys)) \implies$

$lt\ ko\ k'\ k \implies f\ k'\ (lookup\ xs\ k')\ (oay.lookup\ ys\ k') = Some\ Eq$

<proof>

lemma *prod-ord-alt:*

prod-ord P xs ys \longleftrightarrow
 $(\forall k \in \text{fst ' set (fst (list-of-oalistx xs))} \cup \text{fst ' set (fst (list-of-oalisty ys))}).$

$P k (\text{lookup xs } k) (\text{oay.lookup ys } k)$

<proof>

end

12.9 Type *oalist*

global-interpretation *ko: comparator key-compare ko*

defines *lookup-pair-ko* = *ko.lookup-pair*
and *update-by-pair-ko* = *ko.update-by-pair*
and *update-by-fun-pair-ko* = *ko.update-by-fun-pair*
and *update-by-fun-gr-pair-ko* = *ko.update-by-fun-gr-pair*
and *map2-val-pair-ko* = *ko.map2-val-pair*
and *lex-ord-pair-ko* = *ko.lex-ord-pair*
and *prod-ord-pair-ko* = *ko.prod-ord-pair*
and *sort-oalist-ko'* = *ko.sort-oalist*
<proof>

lemma *ko-le: ko.le = le-of-key-order*

<proof>

global-interpretation *ko: oalist-raw* $\lambda x. x$

rewrites *comparator.lookup-pair (key-compare ko)* = *lookup-pair-ko ko*
and *comparator.update-by-pair (key-compare ko)* = *update-by-pair-ko ko*
and *comparator.update-by-fun-pair (key-compare ko)* = *update-by-fun-pair-ko ko*
and *comparator.update-by-fun-gr-pair (key-compare ko)* = *update-by-fun-gr-pair-ko ko*
and *comparator.map2-val-pair (key-compare ko)* = *map2-val-pair-ko ko*
and *comparator.lex-ord-pair (key-compare ko)* = *lex-ord-pair-ko ko*
and *comparator.prod-ord-pair (key-compare ko)* = *prod-ord-pair-ko ko*
and *comparator.sort-oalist (key-compare ko)* = *sort-oalist-ko' ko*
defines *sort-oalist-aux-ko* = *ko.sort-oalist-aux*
and *lookup-ko* = *ko.lookup-raw*
and *sorted-domain-ko* = *ko.sorted-domain-raw*
and *tl-ko* = *ko.tl-raw*
and *min-key-val-ko* = *ko.min-key-val-raw*
and *update-by-ko* = *ko.update-by-raw*
and *update-by-fun-ko* = *ko.update-by-fun-raw*
and *update-by-fun-gr-ko* = *ko.update-by-fun-gr-raw*
and *map2-val-ko* = *ko.map2-val-raw*
and *lex-ord-ko* = *ko.lex-ord-raw*
and *prod-ord-ko* = *ko.prod-ord-raw*
and *oalist-eq-ko* = *ko.oalist-eq-raw*

```

and sort-oalist-ko = ko.sort-oalist-raw
  <proof>

typedef (overloaded) ('a, 'b) oalist = {xs::('a, 'b)::zero, 'a key-order) oalist-raw.
ko.oalist-inv xs}
morphisms list-of-oalist Abs-oalist
  <proof>

lemma oalist-eq-iff: xs = ys  $\longleftrightarrow$  list-of-oalist xs = list-of-oalist ys
  <proof>

lemma oalist-eqI: list-of-oalist xs = list-of-oalist ys  $\implies$  xs = ys
  <proof>

  Formal, totalized constructor for ('a, 'b) oalist:
definition OAList :: ('a  $\times$  'b) list  $\times$  'a key-order  $\Rightarrow$  ('a, 'b)::zero) oalist where
  OAList xs = Abs-oalist (sort-oalist-ko xs)

definition oalist-of-list = OAList

lemma oalist-inv-list-of-oalist: ko.oalist-inv (list-of-oalist xs)
  <proof>

lemma list-of-oalist-OAList: list-of-oalist (OAList xs) = sort-oalist-ko xs
  <proof>

lemma OAList-list-of-oalist [code abstype]: OAList (list-of-oalist xs) = xs
  <proof>

lemma [code abstract]: list-of-oalist (oalist-of-list xs) = sort-oalist-ko xs
  <proof>

global-interpretation oa: oalist-abstract  $\lambda x. x$  list-of-oalist OAList
  defines OAList-lookup = oa.lookup
  and OAList-sorted-domain = oa.sorted-domain
  and OAList-empty = oa.empty
  and OAList-reorder = oa.reorder
  and OAList-tl = oa.tl
  and OAList-hd = oa.hd
  and OAList-except-min = oa.except-min
  and OAList-min-key-val = oa.min-key-val
  and OAList-insert = oa.insert
  and OAList-update-by-fun = oa.update-by-fun
  and OAList-update-by-fun-gr = oa.update-by-fun-gr
  and OAList-filter = oa.filter
  and OAList-map2-val-neutr = oa.map2-val-neutr
  and OAList-eq = oa.oalist-eq
  <proof>

```

global-interpretation *oa*: *oalist-abstract3* $\lambda x. x$
list-of-oalist::('a, 'b) *oalist* \Rightarrow ('a, 'b::zero, 'a *key-order*) *oalist-raw* *OAlist*
list-of-oalist::('a, 'c) *oalist* \Rightarrow ('a, 'c::zero, 'a *key-order*) *oalist-raw* *OAlist*
list-of-oalist::('a, 'd) *oalist* \Rightarrow ('a, 'd::zero, 'a *key-order*) *oalist-raw* *OAlist*
defines *OAlist-map-val* = *oa.map-val*
and *OAlist-map2-val* = *oa.map2-val*
and *OAlist-map2-val-rneutr* = *oa.map2-val-rneutr*
and *OAlist-lex-ord* = *oa.lex-ord*
and *OAlist-prod-ord* = *oa.prod-ord* \langle *proof* \rangle

lemmas *OAlist-lookup-single* = *oa.lookup-oalist-of-list-single* [*folded oalist-of-list-def*]

12.10 Type *oalist-tc*

“tc” stands for “type class”.

global-interpretation *tc*: *comparator comparator-of*
defines *lookup-pair-tc* = *tc.lookup-pair*
and *update-by-pair-tc* = *tc.update-by-pair*
and *update-by-fun-pair-tc* = *tc.update-by-fun-pair*
and *update-by-fun-gr-pair-tc* = *tc.update-by-fun-gr-pair*
and *map2-val-pair-tc* = *tc.map2-val-pair*
and *lex-ord-pair-tc* = *tc.lex-ord-pair*
and *prod-ord-pair-tc* = *tc.prod-ord-pair*
and *sort-oalist-tc* = *tc.sort-oalist*
 \langle *proof* \rangle

lemma *tc-le-lt* [*simp*]: *tc.le* = (\leq) *tc.lt* = ($<$)
 \langle *proof* \rangle

typedef (**overloaded**) ('a, 'b) *oalist-tc* = {*xs*::('a::linorder \times 'b::zero) *list*. *tc.oalist-inv-raw* *xs*}
morphisms *list-of-oalist-tc* *Abs-oalist-tc*
 \langle *proof* \rangle

lemma *oalist-tc-eq-iff*: *xs* = *ys* \iff *list-of-oalist-tc xs* = *list-of-oalist-tc ys*
 \langle *proof* \rangle

lemma *oalist-tc-eqI*: *list-of-oalist-tc xs* = *list-of-oalist-tc ys* \implies *xs* = *ys*
 \langle *proof* \rangle

Formal, totalized constructor for ('a, 'b) *oalist-tc*:

definition *OAlist-tc* :: ('a \times 'b) *list* \Rightarrow ('a::linorder, 'b::zero) *oalist-tc* **where**
OAlist-tc xs = *Abs-oalist-tc (sort-oalist-tc xs)*

definition *oalist-tc-of-list* = *OAlist-tc*

lemma *oalist-inv-list-of-oalist-tc*: *tc.oalist-inv-raw (list-of-oalist-tc xs)*
 \langle *proof* \rangle

lemma *list-of-oalist-OAlist-tc*: $list-of-oalist-tc (OAlist-tc\ xs) = sort-oalist-tc\ xs$
 ⟨proof⟩

lemma *OAlist-list-of-oalist-tc* [code *abstype*]: $OAlist-tc (list-of-oalist-tc\ xs) = xs$
 ⟨proof⟩

lemma *list-of-oalist-tc-of-list* [code *abstract*]: $list-of-oalist-tc (oalist-tc-of-list\ xs) = sort-oalist-tc\ xs$
 ⟨proof⟩

lemma *list-of-oalist-tc-of-list-id*:
 assumes $tc.oalist-inv-raw\ xs$
 shows $list-of-oalist-tc (OAlist-tc\ xs) = xs$
 ⟨proof⟩

It is better to define the following operations directly instead of interpreting *oalist-abstract*, because *oalist-abstract* defines the operations via their *-raw* analogues, whereas in this case we can define them directly via their *-pair* analogues.

definition *OAlist-tc-lookup* :: $('a::linorder, 'b::zero)\ oalist-tc \Rightarrow 'a \Rightarrow 'b$
 where $OAlist-tc-lookup\ xs = lookup-pair-tc (list-of-oalist-tc\ xs)$

definition *OAlist-tc-sorted-domain* :: $('a::linorder, 'b::zero)\ oalist-tc \Rightarrow 'a\ list$
 where $OAlist-tc-sorted-domain\ xs = map\ fst (list-of-oalist-tc\ xs)$

definition *OAlist-tc-empty* :: $('a::linorder, 'b::zero)\ oalist-tc$
 where $OAlist-tc-empty = OAlist-tc\ []$

definition *OAlist-tc-except-min* :: $('a, 'b)\ oalist-tc \Rightarrow ('a::linorder, 'b::zero)\ oalist-tc$
 where $OAlist-tc-except-min\ xs = OAlist-tc (tl (list-of-oalist-tc\ xs))$

definition *OAlist-tc-min-key-val* :: $('a::linorder, 'b::zero)\ oalist-tc \Rightarrow ('a \times 'b)$
 where $OAlist-tc-min-key-val\ xs = hd (list-of-oalist-tc\ xs)$

definition *OAlist-tc-insert* :: $('a \times 'b) \Rightarrow ('a, 'b)\ oalist-tc \Rightarrow ('a::linorder, 'b::zero)\ oalist-tc$
 where $OAlist-tc-insert\ x\ xs = OAlist-tc (update-by-pair-tc\ x (list-of-oalist-tc\ xs))$

definition *OAlist-tc-update-by-fun* :: $'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b)\ oalist-tc \Rightarrow ('a::linorder, 'b::zero)\ oalist-tc$
 where $OAlist-tc-update-by-fun\ k\ f\ xs = OAlist-tc (update-by-fun-pair-tc\ k\ f (list-of-oalist-tc\ xs))$

definition *OAlist-tc-update-by-fun-gr* :: $'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b)\ oalist-tc \Rightarrow ('a::linorder, 'b::zero)\ oalist-tc$
 where $OAlist-tc-update-by-fun-gr\ k\ f\ xs = OAlist-tc (update-by-fun-gr-pair-tc\ k\ f (list-of-oalist-tc\ xs))$

definition *OAlist-tc-filter* :: $(('a \times 'b) \Rightarrow bool) \Rightarrow ('a, 'b)\ oalist-tc \Rightarrow ('a::linorder,$

'b::zero) oalist-tc

where $OAlist\text{-}tc\text{-}filter\ P\ xs = OAlist\text{-}tc\ (filter\ P\ (list\text{-}of\text{-}oalist\text{-}tc\ xs))$

definition $OAlist\text{-}tc\text{-}map\text{-}val :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b::zero)\ oalist\text{-}tc \Rightarrow ('a::linorder, 'c::zero)\ oalist\text{-}tc$

where $OAlist\text{-}tc\text{-}map\text{-}val\ f\ xs = OAlist\text{-}tc\ (map\text{-}val\text{-}pair\ f\ (list\text{-}of\text{-}oalist\text{-}tc\ xs))$

definition $OAlist\text{-}tc\text{-}map2\text{-}val :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, 'b::zero)\ oalist\text{-}tc \Rightarrow ('a, 'c::zero)\ oalist\text{-}tc \Rightarrow$

$('a::linorder, 'd::zero)\ oalist\text{-}tc$

where $OAlist\text{-}tc\text{-}map2\text{-}val\ f\ xs\ ys =$

$OAlist\text{-}tc\ (map2\text{-}val\text{-}pair\text{-}tc\ f\ (map\text{-}val\text{-}pair\ (\lambda k\ b.\ f\ k\ b\ 0))\ (map\text{-}val\text{-}pair\ (\lambda k.\ f\ k\ 0))\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys))$

definition $OAlist\text{-}tc\text{-}map2\text{-}val\text{-}rneutr :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'b) \Rightarrow ('a, 'b)\ oalist\text{-}tc \Rightarrow ('a, 'c::zero)\ oalist\text{-}tc \Rightarrow$

$('a::linorder, 'b::zero)\ oalist\text{-}tc$

where $OAlist\text{-}tc\text{-}map2\text{-}val\text{-}rneutr\ f\ xs\ ys =$

$OAlist\text{-}tc\ (map2\text{-}val\text{-}pair\text{-}tc\ f\ id\ (map\text{-}val\text{-}pair\ (\lambda k.\ f\ k\ 0))\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys))$

definition $OAlist\text{-}tc\text{-}map2\text{-}val\text{-}neutr :: ('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b)\ oalist\text{-}tc \Rightarrow ('a, 'b)\ oalist\text{-}tc \Rightarrow ('a::linorder, 'b::zero)\ oalist\text{-}tc$

where $OAlist\text{-}tc\text{-}map2\text{-}val\text{-}neutr\ f\ xs\ ys = OAlist\text{-}tc\ (map2\text{-}val\text{-}pair\text{-}tc\ f\ id\ id\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys))$

definition $OAlist\text{-}tc\text{-}lex\text{-}ord :: ('a \Rightarrow ('b, 'c)\ comp\text{-}opt) \Rightarrow (('a, 'b::zero)\ oalist\text{-}tc, ('a::linorder, 'c::zero)\ oalist\text{-}tc)\ comp\text{-}opt$

where $OAlist\text{-}tc\text{-}lex\text{-}ord\ f\ xs\ ys = lex\text{-}ord\text{-}pair\text{-}tc\ f\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys)$

definition $OAlist\text{-}tc\text{-}prod\text{-}ord :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow bool) \Rightarrow ('a, 'b::zero)\ oalist\text{-}tc \Rightarrow ('a::linorder, 'c::zero)\ oalist\text{-}tc \Rightarrow bool$

where $OAlist\text{-}tc\text{-}prod\text{-}ord\ f\ xs\ ys = prod\text{-}ord\text{-}pair\text{-}tc\ f\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys)$

12.10.1 $OAlist\text{-}tc\text{-}lookup$

lemma $OAlist\text{-}tc\text{-}lookup\text{-}eq\text{-}valueI: (k, v) \in set\ (list\text{-}of\text{-}oalist\text{-}tc\ xs) \Longrightarrow OAlist\text{-}tc\text{-}lookup\ xs\ k = v$

$\langle proof \rangle$

lemma $OAlist\text{-}tc\text{-}lookup\text{-}inj: OAlist\text{-}tc\text{-}lookup\ xs = OAlist\text{-}tc\text{-}lookup\ ys \Longrightarrow xs = ys$

$\langle proof \rangle$

lemma $OAlist\text{-}tc\text{-}lookup\text{-}oalist\text{-}of\text{-}list:$

$distinct\ (map\ fst\ xs) \Longrightarrow OAlist\text{-}tc\text{-}lookup\ (oalist\text{-}tc\text{-}of\text{-}list\ xs) = lookup\ dflt\ xs$

<proof>

12.10.2 *OAlist-tc-sorted-domain*

lemma *set-OAlist-tc-sorted-domain*: $set (OAlist-tc-sorted-domain\ xs) = fst \text{ ' } set (list-of-oalist-tc\ xs)$
<proof>

lemma *in-OAlist-tc-sorted-domain-iff-lookup*: $k \in set (OAlist-tc-sorted-domain\ xs) \iff (OAlist-tc-lookup\ xs\ k \neq 0)$
<proof>

lemma *sorted-OAlist-tc-sorted-domain*: $sorted-wrt (<) (OAlist-tc-sorted-domain\ xs)$
<proof>

12.10.3 *OAlist-tc-empty and Singletons*

lemma *list-of-oalist-OAlist-tc-empty* [*simp, code abstract*]: $list-of-oalist-tc\ OAlist-tc-empty = []$
<proof>

lemma *lookup-OAlist-tc-empty*: $OAlist-tc-lookup\ OAlist-tc-empty\ k = 0$
<proof>

lemma *OAlist-tc-lookup-single*:
 $OAlist-tc-lookup (oalist-tc-of-list [(k, v)])\ k' = (if\ k = k'\ then\ v\ else\ 0)$
<proof>

12.10.4 *OAlist-tc-except-min*

lemma *list-of-oalist-OAlist-tc-except-min* [*simp, code abstract*]:
 $list-of-oalist-tc (OAlist-tc-except-min\ xs) = tl (list-of-oalist-tc\ xs)$
<proof>

lemma *lookup-OAlist-tc-except-min*:
 $OAlist-tc-lookup (OAlist-tc-except-min\ xs)\ k =$
 $(if (\forall k' \in fst \text{ ' } set (list-of-oalist-tc\ xs). k \leq k')\ then\ 0\ else\ OAlist-tc-lookup\ xs\ k)$
<proof>

12.10.5 *OAlist-tc-min-key-val*

lemma *OAlist-tc-min-key-val-in*:
assumes $list-of-oalist-tc\ xs \neq []$
shows $OAlist-tc-min-key-val\ xs \in set (list-of-oalist-tc\ xs)$
<proof>

lemma *snd-OAlist-tc-min-key-val*:
assumes $list-of-oalist-tc\ xs \neq []$

shows $snd (OAlist-tc-min-key-val\ xs) = OAlist-tc-lookup\ xs\ (fst (OAlist-tc-min-key-val\ xs))$
 ⟨proof⟩

lemma *OAlist-tc-min-key-val-minimal*:
assumes $z \in set\ (list-of-oalist-tc\ xs)$
shows $fst\ (OAlist-tc-min-key-val\ xs) \leq fst\ z$
 ⟨proof⟩

12.10.6 *OAlist-tc-insert*

lemma *list-of-oalist-OAlist-tc-insert* [simp, code abstract]:
 $list-of-oalist-tc\ (OAlist-tc-insert\ x\ xs) = update-by-pair-tc\ x\ (list-of-oalist-tc\ xs)$
 ⟨proof⟩

lemma *lookup-OAlist-tc-insert*: $OAlist-tc-lookup\ (OAlist-tc-insert\ (k, v)\ xs)\ k' =$
 (if $k = k'$ then v else $OAlist-tc-lookup\ xs\ k'$)
 ⟨proof⟩

12.10.7 *OAlist-tc-update-by-fun and OAlist-tc-update-by-fun-gr*

lemma *list-of-oalist-OAlist-tc-update-by-fun* [simp, code abstract]:
 $list-of-oalist-tc\ (OAlist-tc-update-by-fun\ k\ f\ xs) = update-by-fun-pair-tc\ k\ f\ (list-of-oalist-tc\ xs)$
 ⟨proof⟩

lemma *lookup-OAlist-tc-update-by-fun*:
 $OAlist-tc-lookup\ (OAlist-tc-update-by-fun\ k\ f\ xs)\ k' = (if\ k = k'$ then f else id)
 ($OAlist-tc-lookup\ xs\ k'$)
 ⟨proof⟩

lemma *list-of-oalist-OAlist-tc-update-by-fun-gr* [simp, code abstract]:
 $list-of-oalist-tc\ (OAlist-tc-update-by-fun-gr\ k\ f\ xs) = update-by-fun-gr-pair-tc\ k\ f$
 ($list-of-oalist-tc\ xs$)
 ⟨proof⟩

lemma *OAlist-tc-update-by-fun-gr-eq-OAlist-tc-update-by-fun*: $OAlist-tc-update-by-fun-gr$
 $= OAlist-tc-update-by-fun$
 ⟨proof⟩

12.10.8 *OAlist-tc-filter*

lemma *list-of-oalist-OAlist-tc-filter* [simp, code abstract]:
 $list-of-oalist-tc\ (OAlist-tc-filter\ P\ xs) = filter\ P\ (list-of-oalist-tc\ xs)$
 ⟨proof⟩

lemma *lookup-OAlist-tc-filter*: $OAlist-tc-lookup\ (OAlist-tc-filter\ P\ xs)\ k = (let\ v$
 $= OAlist-tc-lookup\ xs\ k\ in\ if\ P\ (k, v)$ then v else 0)
 ⟨proof⟩

12.10.9 *O*Alist-tc-map-val

lemma *list-of-oalist-OAlist-tc-map-val* [*simp*, *code abstract*]:

list-of-oalist-tc (*O*Alist-tc-map-val *f xs*) = *map-val-pair f* (*list-of-oalist-tc xs*)
{*proof*}

lemma *O*Alist-tc-map-val-cong:

assumes $\bigwedge k v. (k, v) \in \text{set } (\text{list-of-oalist-tc } xs) \implies f k v = g k v$

shows *O*Alist-tc-map-val *f xs* = *O*Alist-tc-map-val *g xs*

{*proof*}

lemma *lookup-OAlist-tc-map-val*: $f k 0 = 0 \implies \text{OAlist-tc-lookup } (\text{OAlist-tc-map-val } f xs) k = f k (\text{OAlist-tc-lookup } xs k)$

{*proof*}

12.10.10 *O*Alist-tc-map2-val *O*Alist-tc-map2-val-rneutr and *O*Alist-tc-map2-val-neutr

lemma *list-of-oalist-map2-val* [*simp*, *code abstract*]:

list-of-oalist-tc (*O*Alist-tc-map2-val *f xs ys*) =
map2-val-pair-tc f (*map-val-pair* ($\lambda k b. f k b 0$)) (*map-val-pair* ($\lambda k. f k 0$))
(*list-of-oalist-tc xs*) (*list-of-oalist-tc ys*)
{*proof*}

lemma *list-of-oalist-OAlist-tc-map2-val-rneutr* [*simp*, *code abstract*]:

list-of-oalist-tc (*O*Alist-tc-map2-val-rneutr *f xs ys*) =
map2-val-pair-tc f id (*map-val-pair* ($\lambda k c. f k 0 c$)) (*list-of-oalist-tc xs*)
(*list-of-oalist-tc ys*)
{*proof*}

lemma *list-of-oalist-OAlist-tc-map2-val-neutr* [*simp*, *code abstract*]:

list-of-oalist-tc (*O*Alist-tc-map2-val-neutr *f xs ys*) = *map2-val-pair-tc f id id*
(*list-of-oalist-tc xs*) (*list-of-oalist-tc ys*)
{*proof*}

lemma *lookup-OAlist-tc-map2-val*:

assumes $\bigwedge k. f k 0 0 = 0$

shows *OAlist-tc-lookup* (*O*Alist-tc-map2-val *f xs ys*) *k* = *f k* (*OAlist-tc-lookup xs k*) (*OAlist-tc-lookup ys k*)

{*proof*}

lemma *lookup-OAlist-tc-map2-val-rneutr*:

assumes $\bigwedge k x. f k x 0 = x$

shows *OAlist-tc-lookup* (*O*Alist-tc-map2-val-rneutr *f xs ys*) *k* = *f k* (*OAlist-tc-lookup xs k*) (*OAlist-tc-lookup ys k*)

{*proof*}

lemma *lookup-OAlist-tc-map2-val-neutr*:

assumes $\bigwedge k x. f k x 0 = x$ and $\bigwedge k x. f k 0 x = x$

shows *OAlist-tc-lookup* (*O*Alist-tc-map2-val-neutr *f xs ys*) *k* = *f k* (*OAlist-tc-lookup xs k*) (*OAlist-tc-lookup ys k*)

<proof>

lemma *OAlist-tc-map2-val-rneutr-singleton-eq-OAlist-tc-update-by-fun:*

assumes $\bigwedge a x. f a x 0 = x$ **and** $\text{list-of-oalist-tc } ys = [(k, v)]$

shows $\text{OAlist-tc-map2-val-rneutr } f xs ys = \text{OAlist-tc-update-by-fun } k (\lambda x. f k x v) xs$

<proof>

12.10.11 *OAlist-tc-lex-ord* and *OAlist-tc-prod-ord*

lemma *OAlist-tc-lex-ord-EqI:*

$(\bigwedge k. k \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys) \implies$

$f k (\text{OAlist-tc-lookup } xs k) (\text{OAlist-tc-lookup } ys k) = \text{Some Eq} \implies$

$\text{OAlist-tc-lex-ord } f xs ys = \text{Some Eq}$

<proof>

lemma *OAlist-tc-lex-ord-valI:*

assumes $aux \neq \text{Some Eq}$ **and** $k \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys)$

shows $aux = f k (\text{OAlist-tc-lookup } xs k) (\text{OAlist-tc-lookup } ys k) \implies$

$(\bigwedge k'. k' \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys) \implies$

$k' < k \implies f k' (\text{OAlist-tc-lookup } xs k') (\text{OAlist-tc-lookup } ys k') = \text{Some$

$\text{Eq}) \implies$

$\text{OAlist-tc-lex-ord } f xs ys = aux$

<proof>

lemma *OAlist-tc-lex-ord-EqD:*

$\text{OAlist-tc-lex-ord } f xs ys = \text{Some Eq} \implies$

$k \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys) \implies$

$f k (\text{OAlist-tc-lookup } xs k) (\text{OAlist-tc-lookup } ys k) = \text{Some Eq}$

<proof>

lemma *OAlist-tc-lex-ord-valE:*

assumes $\text{OAlist-tc-lex-ord } f xs ys = aux$ **and** $aux \neq \text{Some Eq}$

obtains k **where** $k \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys)$

and $aux = f k (\text{OAlist-tc-lookup } xs k) (\text{OAlist-tc-lookup } ys k)$

and $\bigwedge k'. k' \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys) \implies$

$k' < k \implies f k' (\text{OAlist-tc-lookup } xs k') (\text{OAlist-tc-lookup } ys k') = \text{Some$

Eq

<proof>

lemma *OAlist-tc-prod-ord-alt:*

$\text{OAlist-tc-prod-ord } P xs ys \longleftrightarrow$

$(\forall k \in \text{fst ' set (list-of-oalist-tc } xs) \cup \text{fst ' set (list-of-oalist-tc } ys).$

$P k (\text{OAlist-tc-lookup } xs k) (\text{OAlist-tc-lookup } ys k))$

<proof>

12.10.12 Instance of *equal*

instantiation $\text{oalist-tc} :: (\text{linorder}, \text{zero}) \text{ equal}$

begin

definition *equal-oalist-tc* :: ('a, 'b) oalist-tc \Rightarrow ('a, 'b) oalist-tc \Rightarrow bool
 where *equal-oalist-tc* xs ys = (list-of-oalist-tc xs = list-of-oalist-tc ys)

instance \langle proof \rangle

end

12.11 Experiment

lemma *oalist-tc-of-list* [(0::nat, 4::nat), (1, 3), (0, 2), (1, 1)] = *oalist-tc-of-list* [(0, 4), (1, 3)]
 \langle proof \rangle

lemma *OAlist-tc-except-min* (oalist-tc-of-list [(1, 3), (0::nat, 4::nat), (0, 2), (1, 1)]) = *oalist-tc-of-list* [(1, 3)]
 \langle proof \rangle

lemma *OAlist-tc-min-key-val* (oalist-tc-of-list [(1, 3), (0::nat, 4::nat), (0, 2), (1, 1)]) = (0, 4)
 \langle proof \rangle

lemma *OAlist-tc-lookup* (oalist-tc-of-list [(0::nat, 4::nat), (1, 3), (0, 2), (1, 1)])
 1 = 3
 \langle proof \rangle

lemma *OAlist-tc-prod-ord* (λ -. greater-eq)
 (oalist-tc-of-list [(1, 4), (0::nat, 4::nat), (1, 3), (0, 2), (3, 1)])
 (oalist-tc-of-list [(0, 4), (1, 3), (2, 2), (1, 1)]) = False
 \langle proof \rangle

lemma *OAlist-tc-map2-val-rneutr* (λ -. minus)
 (oalist-tc-of-list [(1, 4), (0::nat, 4::int), (1, 3), (0, 2), (3, 1)])
 (oalist-tc-of-list [(0, 4), (1, 3), (2, 2), (1, 1)]) =
 oalist-tc-of-list [(1, 1), (2, - 2), (3, 1)]
 \langle proof \rangle

end

13 Ordered Associative Lists for Polynomials

theory *OAlist-Poly-Mapping*

imports *PP-Type MPoly-Type-Class-Ordered OAlist*

begin

We introduce a dedicated type for ordered associative lists (oalists) representing polynomials. To that end, we require the order relation the oalists are sorted wrt. to be admissible term orders, and furthermore sort the lists

descending rather than *ascending*, because this allows to implement various operations more efficiently. For technical reasons, we must restrict the type of terms to types embeddable into $(nat, nat) pp \times nat$, though. All types we are interested in meet this requirement.

lemma *comparator-lexicographic*:

fixes $f :: 'a \Rightarrow 'b$ **and** $g :: 'a \Rightarrow 'c$
assumes *comparator c1 and comparator c2 and* $\bigwedge x y. f x = f y \implies g x = g y$
 $\implies x = y$
shows *comparator* $(\lambda x y. \text{case } c1 (f x) (f y) \text{ of } Eq \Rightarrow c2 (g x) (g y) \mid val \Rightarrow val)$
(is comparator ?c3)
<proof>

class *nat-term* =

fixes *rep-nat-term* $:: 'a \Rightarrow ((nat, nat) pp \times nat)$
and *splus* $:: 'a \Rightarrow 'a \Rightarrow 'a$
assumes *rep-nat-term-inj*: $rep\text{-}nat\text{-}term\ x = rep\text{-}nat\text{-}term\ y \implies x = y$
and *full-component*: $snd (rep\text{-}nat\text{-}term\ x) = i \implies (\exists y. rep\text{-}nat\text{-}term\ y = (t, i))$
and *splus-term*: $rep\text{-}nat\text{-}term (splus\ x\ y) = pprod.splus (fst (rep\text{-}nat\text{-}term\ x)) (rep\text{-}nat\text{-}term\ y)$
begin

definition *lex-comp-aux* = $(\lambda x y. \text{case } comp\text{-of-ord } lex\text{-}pp (fst (rep\text{-}nat\text{-}term\ x)) (fst (rep\text{-}nat\text{-}term\ y)) \text{ of}$
 $Eq \Rightarrow comparator\text{-of } (snd (rep\text{-}nat\text{-}term\ x)) (snd (rep\text{-}nat\text{-}term\ y)) \mid val \Rightarrow val)$

lemma *full-componentE*:

assumes $snd (rep\text{-}nat\text{-}term\ x) = i$
obtains y **where** $rep\text{-}nat\text{-}term\ y = (t, i)$
<proof>

end

class *nat-pp-term* = *nat-term* + *zero* + *plus* +

assumes *rep-nat-term-zero*: $rep\text{-}nat\text{-}term\ 0 = (0, 0)$
and *splus-pp-term*: $splus = (+)$

definition *nat-term-comp* $:: 'a :: nat\text{-}term$ *comparator* $\Rightarrow bool$

where *nat-term-comp cmp* \longleftrightarrow
 $(\forall u v. snd (rep\text{-}nat\text{-}term\ u) = snd (rep\text{-}nat\text{-}term\ v) \longrightarrow fst (rep\text{-}nat\text{-}term\ u) = 0 \longrightarrow cmp\ u\ v \neq Gt) \wedge$
 $(\forall u v. fst (rep\text{-}nat\text{-}term\ u) = fst (rep\text{-}nat\text{-}term\ v) \longrightarrow snd (rep\text{-}nat\text{-}term\ u) < snd (rep\text{-}nat\text{-}term\ v) \longrightarrow cmp\ u\ v = Lt) \wedge$
 $(\forall t u v. cmp\ u\ v = Lt \longrightarrow cmp (splus\ t\ u) (splus\ t\ v) = Lt) \wedge$
 $(\forall u v a b. fst (rep\text{-}nat\text{-}term\ u) = fst (rep\text{-}nat\text{-}term\ a) \longrightarrow fst (rep\text{-}nat\text{-}term\ v) = fst (rep\text{-}nat\text{-}term\ b) \longrightarrow$
 $snd (rep\text{-}nat\text{-}term\ u) = snd (rep\text{-}nat\text{-}term\ v) \longrightarrow snd (rep\text{-}nat\text{-}term\ a) = snd (rep\text{-}nat\text{-}term\ b) \longrightarrow$

$$\text{cmp } a \ b = Lt \longrightarrow \text{cmp } u \ v = Lt)$$

lemma *nat-term-compI*:

assumes $\bigwedge u \ v. \text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v) \implies \text{fst } (\text{rep-nat-term } u) = 0 \implies \text{cmp } u \ v \neq Gt$
and $\bigwedge u \ v. \text{fst } (\text{rep-nat-term } u) = \text{fst } (\text{rep-nat-term } v) \implies \text{snd } (\text{rep-nat-term } u) < \text{snd } (\text{rep-nat-term } v) \implies \text{cmp } u \ v = Lt$
and $\bigwedge t \ u \ v. \text{cmp } u \ v = Lt \implies \text{cmp } (\text{splus } t \ u) \ (\text{splus } t \ v) = Lt$
and $\bigwedge u \ v \ a \ b. \text{fst } (\text{rep-nat-term } u) = \text{fst } (\text{rep-nat-term } a) \implies \text{fst } (\text{rep-nat-term } v) = \text{fst } (\text{rep-nat-term } b) \implies$
 $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v) \implies \text{snd } (\text{rep-nat-term } a) = \text{snd } (\text{rep-nat-term } b) \implies$
 $\text{cmp } a \ b = Lt \implies \text{cmp } u \ v = Lt$
shows *nat-term-comp cmp*
<proof>

lemma *nat-term-compD1*:

assumes *nat-term-comp cmp* **and** $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$
and $\text{fst } (\text{rep-nat-term } u) = 0$
shows $\text{cmp } u \ v \neq Gt$
<proof>

lemma *nat-term-compD2*:

assumes *nat-term-comp cmp* **and** $\text{fst } (\text{rep-nat-term } u) = \text{fst } (\text{rep-nat-term } v)$
and $\text{snd } (\text{rep-nat-term } u) < \text{snd } (\text{rep-nat-term } v)$
shows $\text{cmp } u \ v = Lt$
<proof>

lemma *nat-term-compD3*:

assumes *nat-term-comp cmp* **and** $\text{cmp } u \ v = Lt$
shows $\text{cmp } (\text{splus } t \ u) \ (\text{splus } t \ v) = Lt$
<proof>

lemma *nat-term-compD4*:

assumes *nat-term-comp cmp* **and** $\text{fst } (\text{rep-nat-term } u) = \text{fst } (\text{rep-nat-term } a)$
and $\text{fst } (\text{rep-nat-term } v) = \text{fst } (\text{rep-nat-term } b)$ **and** $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$
and $\text{snd } (\text{rep-nat-term } a) = \text{snd } (\text{rep-nat-term } b)$ **and** $\text{cmp } a \ b = Lt$
shows $\text{cmp } u \ v = Lt$
<proof>

lemma *nat-term-compD1'*:

assumes *comparator cmp* **and** *nat-term-comp cmp* **and** $\text{snd } (\text{rep-nat-term } u) \leq \text{snd } (\text{rep-nat-term } v)$
and $\text{fst } (\text{rep-nat-term } u) = 0$
shows $\text{cmp } u \ v \neq Gt$
<proof>

lemma *nat-term-compD4'*:

assumes *comparator cmp* **and** *nat-term-comp cmp* **and** *fst (rep-nat-term u) = fst (rep-nat-term a)*
and *fst (rep-nat-term v) = fst (rep-nat-term b)* **and** *snd (rep-nat-term u) = snd (rep-nat-term v)*
and *snd (rep-nat-term a) = snd (rep-nat-term b)*
shows *cmp u v = cmp a b*
 \langle *proof* \rangle

lemma *nat-term-compD4''*:
assumes *comparator cmp* **and** *nat-term-comp cmp* **and** *fst (rep-nat-term u) = fst (rep-nat-term a)*
and *fst (rep-nat-term v) = fst (rep-nat-term b)* **and** *snd (rep-nat-term u) ≤ snd (rep-nat-term v)*
and *snd (rep-nat-term a) = snd (rep-nat-term b)* **and** *cmp a b ≠ Gt*
shows *cmp u v ≠ Gt*
 \langle *proof* \rangle

lemma *comparator-lex-comp-aux*: *comparator (lex-comp-aux::'a::nat-term comparator)*
 \langle *proof* \rangle

lemma *nat-term-comp-lex-comp-aux*: *nat-term-comp (lex-comp-aux::'a::nat-term comparator)*
 \langle *proof* \rangle

typedef (overloaded) *'a nat-term-order* =
 $\{$ *cmp::'a::nat-term comparator. comparator cmp* \wedge *nat-term-comp cmp* $\}$
morphisms *nat-term-compare Abs-nat-term-order*
 \langle *proof* \rangle

lemma *nat-term-compare-Abs-nat-term-order-id*:
assumes *comparator cmp* **and** *nat-term-comp cmp*
shows *nat-term-compare (Abs-nat-term-order cmp) = cmp*
 \langle *proof* \rangle

instantiation *nat-term-order* :: (type) equal
begin

definition *equal-nat-term-order* :: *'a nat-term-order* \Rightarrow *'a nat-term-order* \Rightarrow bool
where *equal-nat-term-order* = (=)

instance \langle *proof* \rangle

end

definition *nat-term-compare-inv* :: *'a nat-term-order* \Rightarrow *'a::nat-term comparator*
where *nat-term-compare-inv to* = ($\lambda x y. \text{nat-term-compare to } y x$)

definition *key-order-of-nat-term-order* :: *'a nat-term-order* \Rightarrow *'a::nat-term key-order*

where *key-order-of-nat-term-order-def* [code del]:
key-order-of-nat-term-order to = *Abs-key-order* (*nat-term-compare to*)

definition *key-order-of-nat-term-order-inv* :: 'a *nat-term-order* \Rightarrow 'a::*nat-term* *key-order*
where *key-order-of-nat-term-order-inv-def* [code del]:
key-order-of-nat-term-order-inv to = *Abs-key-order* (*nat-term-compare-inv to*)

definition *le-of-nat-term-order* :: 'a *nat-term-order* \Rightarrow 'a \Rightarrow 'a::*nat-term* \Rightarrow *bool*
where *le-of-nat-term-order to* = *le-of-key-order* (*key-order-of-nat-term-order to*)

definition *lt-of-nat-term-order* :: 'a *nat-term-order* \Rightarrow 'a \Rightarrow 'a::*nat-term* \Rightarrow *bool*
where *lt-of-nat-term-order to* = *lt-of-key-order* (*key-order-of-nat-term-order to*)

definition *nat-term-order-of-le* :: 'a::{*linorder,nat-term*} *nat-term-order*
where *nat-term-order-of-le* = *Abs-nat-term-order* (*comparator-of*)

lemma *comparator-nat-term-compare*: *comparator* (*nat-term-compare to*)
 ⟨*proof*⟩

lemma *nat-term-comp-nat-term-compare*: *nat-term-comp* (*nat-term-compare to*)
 ⟨*proof*⟩

lemma *nat-term-compare-splus*: *nat-term-compare to* (*splus t u*) (*splus t v*) =
nat-term-compare to u v
 ⟨*proof*⟩

lemma *nat-term-compare-conv*: *nat-term-compare to* = *key-compare* (*key-order-of-nat-term-order to*)
 ⟨*proof*⟩

lemma *comparator-nat-term-compare-inv*: *comparator* (*nat-term-compare-inv to*)
 ⟨*proof*⟩

lemma *nat-term-compare-inv-conv*: *nat-term-compare-inv to* = *key-compare* (*key-order-of-nat-term-order-inv to*)
 ⟨*proof*⟩

lemma *nat-term-compare-inv-alt* [code-unfold]: *nat-term-compare-inv to x y* = *nat-term-compare to y x*
 ⟨*proof*⟩

lemma *le-of-nat-term-order* [code]: *le-of-nat-term-order to x y* = (*nat-term-compare to x y* \neq *Gt*)
 ⟨*proof*⟩

lemma *lt-of-nat-term-order* [code]: *lt-of-nat-term-order to x y* = (*nat-term-compare to x y* = *Lt*)
 ⟨*proof*⟩

lemma *le-of-nat-term-order-alt*:

le-of-nat-term-order to = $(\lambda u v. ko.le (key-order-of-nat-term-order-inv to) v u)$
<proof>

lemma *lt-of-nat-term-order-alt*:

lt-of-nat-term-order to = $(\lambda u v. ko.lt (key-order-of-nat-term-order-inv to) v u)$
<proof>

lemma *linorder-le-of-nat-term-order*: *class.linorder (le-of-nat-term-order to) (lt-of-nat-term-order to)*

<proof>

lemma *le-of-nat-term-order-zero-min*: *le-of-nat-term-order to 0 (t::'a::nat-pp-term)*

<proof>

lemma *le-of-nat-term-order-plus-monotone*:

assumes *le-of-nat-term-order to s (t::'a::nat-pp-term)*

shows *le-of-nat-term-order to (u + s) (u + t)*

<proof>

global-interpretation *ko-ntm*: *comparator nat-term-compare-inv ko*

defines *lookup-pair-ko-ntm* = *ko-ntm.lookup-pair*

and *update-by-pair-ko-ntm* = *ko-ntm.update-by-pair*

and *update-by-fun-pair-ko-ntm* = *ko-ntm.update-by-fun-pair*

and *update-by-fun-gr-pair-ko-ntm* = *ko-ntm.update-by-fun-gr-pair*

and *map2-val-pair-ko-ntm* = *ko-ntm.map2-val-pair*

and *lex-ord-pair-ko-ntm* = *ko-ntm.lex-ord-pair*

and *prod-ord-pair-ko-ntm* = *ko-ntm.prod-ord-pair*

and *sort-oalist-ko-ntm'* = *ko-ntm.sort-oalist*

<proof>

lemma *ko-ntm-le*: *ko-ntm.le to* = $(\lambda x y. le-of-nat-term-order to y x)$

<proof>

global-interpretation *ko-ntm*: *oalist-raw key-order-of-nat-term-order-inv*

rewrites *comparator.lookup-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *lookup-pair-ko-ntm ko*

and *comparator.update-by-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *update-by-pair-ko-ntm ko*

and *comparator.update-by-fun-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *update-by-fun-pair-ko-ntm ko*

and *comparator.update-by-fun-gr-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *update-by-fun-gr-pair-ko-ntm ko*

and *comparator.map2-val-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *map2-val-pair-ko-ntm ko*

and *comparator.lex-ord-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *lex-ord-pair-ko-ntm ko*

and *comparator.prod-ord-pair (key-compare (key-order-of-nat-term-order-inv ko))* = *prod-ord-pair-ko-ntm ko*

and *comparator.sort-oalist* (*key-compare* (*key-order-of-nat-term-order-inv ko*)) =
sort-oalist-ko-ntm' ko
defines *sort-oalist-aux-ko-ntm* = *ko-ntm.sort-oalist-aux*
and *lookup-ko-ntm* = *ko-ntm.lookup-raw*
and *sorted-domain-ko-ntm* = *ko-ntm.sorted-domain-raw*
and *tl-ko-ntm* = *ko-ntm.tl-raw*
and *min-key-val-ko-ntm* = *ko-ntm.min-key-val-raw*
and *update-by-ko-ntm* = *ko-ntm.update-by-raw*
and *update-by-fun-ko-ntm* = *ko-ntm.update-by-fun-raw*
and *update-by-fun-gr-ko-ntm* = *ko-ntm.update-by-fun-gr-raw*
and *map2-val-ko-ntm* = *ko-ntm.map2-val-raw*
and *lex-ord-ko-ntm* = *ko-ntm.lex-ord-raw*
and *prod-ord-ko-ntm* = *ko-ntm.prod-ord-raw*
and *oalist-eq-ko-ntm* = *ko-ntm.oalist-eq-raw*
and *sort-oalist-ko-ntm* = *ko-ntm.sort-oalist-raw*
<proof>

lemma *compute-min-key-val-ko-ntm* [*code*]:
min-key-val-ko-ntm ko (xs, ox) =
(if ko = ox then hd else min-list-param (λx y. (le-of-nat-term-order ko) (fst
y) (fst x))) xs
<proof>

typedef (**overloaded**) (*'a, 'b*) *oalist-ntm* =
{xs::('a, 'b)::zero, 'a::nat-term nat-term-order) oalist-raw. ko-ntm.oalist-inv xs}
morphisms *list-of-oalist-ntm Abs-oalist-ntm*
<proof>

lemma *oalist-ntm-eq-iff*: *xs = ys* \longleftrightarrow *list-of-oalist-ntm xs = list-of-oalist-ntm ys*
<proof>

lemma *oalist-ntm-eqI*: *list-of-oalist-ntm xs = list-of-oalist-ntm ys* \implies *xs = ys*
<proof>

Formal, totalized constructor for (*'a, 'b*) *oalist-ntm*:

definition *Oalist-ntm* :: (*'a* \times *'b*) *list* \times *'a nat-term-order* \Rightarrow (*'a::nat-term, 'b::zero*)
oalist-ntm
where *Oalist-ntm xs = Abs-oalist-ntm (sort-oalist-ko-ntm xs)*

definition *oalist-of-list-ntm* = *Oalist-ntm*

lemma *oalist-inv-list-of-oalist-ntm*: *ko-ntm.oalist-inv (list-of-oalist-ntm xs)*
<proof>

lemma *list-of-oalist-Oalist-ntm*: *list-of-oalist-ntm (Oalist-ntm xs) = sort-oalist-ko-ntm*
xs
<proof>

lemma *Oalist-list-of-oalist-ntm* [*simp, code abstype*]: *Oalist-ntm (list-of-oalist-ntm*

$xs) = xs$
 $\langle proof \rangle$

lemma [code abstract]: $list-of-oalist-ntm (oalist-of-list-ntm xs) = sort-oalist-ko-ntm$
 xs
 $\langle proof \rangle$

global-interpretation $oa-ntm$: $oalist-abstract\ key-order-of-nat-term-order-inv\ list-of-oalist-ntm$
 $Oalist-ntm$

defines $Oalist-lookup-ntm = oa-ntm.lookup$
and $Oalist-sorted-domain-ntm = oa-ntm.sorted-domain$
and $Oalist-empty-ntm = oa-ntm.empty$
and $Oalist-reorder-ntm = oa-ntm.reorder$
and $Oalist-tl-ntm = oa-ntm.tl$
and $Oalist-hd-ntm = oa-ntm.hd$
and $Oalist-except-min-ntm = oa-ntm.except-min$
and $Oalist-min-key-val-ntm = oa-ntm.min-key-val$
and $Oalist-insert-ntm = oa-ntm.insert$
and $Oalist-update-by-fun-ntm = oa-ntm.update-by-fun$
and $Oalist-update-by-fun-gr-ntm = oa-ntm.update-by-fun-gr$
and $Oalist-filter-ntm = oa-ntm.filter$
and $Oalist-map2-val-neutr-ntm = oa-ntm.map2-val-neutr$
and $Oalist-eq-ntm = oa-ntm.oalist-eq$
 $\langle proof \rangle$

global-interpretation $oa-ntm$: $oalist-abstract3\ key-order-of-nat-term-order-inv$
 $list-of-oalist-ntm::('a, 'b)\ oalist-ntm \Rightarrow ('a, 'b::zero, 'a::nat-term\ nat-term-order)$
 $oalist-raw\ Oalist-ntm$

$list-of-oalist-ntm::('a, 'c)\ oalist-ntm \Rightarrow ('a, 'c::zero, 'a\ nat-term-order)\ oalist-raw$
 $Oalist-ntm$

$list-of-oalist-ntm::('a, 'd)\ oalist-ntm \Rightarrow ('a, 'd::zero, 'a\ nat-term-order)\ oalist-raw$
 $Oalist-ntm$

defines $Oalist-map-val-ntm = oa-ntm.map-val$
and $Oalist-map2-val-ntm = oa-ntm.map2-val$
and $Oalist-map2-val-rneutr-ntm = oa-ntm.map2-val-rneutr$
and $Oalist-lex-ord-ntm = oa-ntm.lex-ord$
and $Oalist-prod-ord-ntm = oa-ntm.prod-ord \langle proof \rangle$

lemmas $Oalist-lookup-ntm-single = oa-ntm.lookup-oalist-of-list-single[folded\ oalist-of-list-ntm-def]$

end

14 Computable Term Orders

theory $Term-Order$

imports $Oalist-Poly-Mapping\ HOL-Library.Product-Lexorder$

begin

14.1 Type Class *nat*

```
class nat = zero + plus + minus + order + equal +
  fixes rep-nat :: 'a ⇒ nat
  and abs-nat :: nat ⇒ 'a
  assumes rep-inverse [simp]: abs-nat (rep-nat x) = x
  and abs-inverse [simp]: rep-nat (abs-nat n) = n
  and abs-zero [simp]: abs-nat 0 = 0
  and abs-plus: abs-nat m + abs-nat n = abs-nat (m + n)
  and abs-minus: abs-nat m - abs-nat n = abs-nat (m - n)
  and abs-ord: m ≤ n ⇒ abs-nat m ≤ abs-nat n
begin
```

```
lemma rep-inj:
  assumes rep-nat x = rep-nat y
  shows x = y
⟨proof⟩
```

```
corollary rep-eq-iff: (rep-nat x = rep-nat y) ⟷ (x = y)
⟨proof⟩
```

```
lemma abs-inj:
  assumes abs-nat m = abs-nat n
  shows m = n
⟨proof⟩
```

```
corollary abs-eq-iff: (abs-nat m = abs-nat n) ⟷ (m = n)
⟨proof⟩
```

```
lemma rep-zero [simp]: rep-nat 0 = 0
⟨proof⟩
```

```
lemma rep-zero-iff: (rep-nat x = 0) ⟷ (x = 0)
⟨proof⟩
```

```
lemma plus-eq: x + y = abs-nat (rep-nat x + rep-nat y)
⟨proof⟩
```

```
lemma rep-plus: rep-nat (x + y) = rep-nat x + rep-nat y
⟨proof⟩
```

```
lemma minus-eq: x - y = abs-nat (rep-nat x - rep-nat y)
⟨proof⟩
```

```
lemma rep-minus: rep-nat (x - y) = rep-nat x - rep-nat y
⟨proof⟩
```

```
lemma ord-iff:
  x ≤ y ⟷ rep-nat x ≤ rep-nat y (is ?thesis1)
  x < y ⟷ rep-nat x < rep-nat y (is ?thesis2)
```

<proof>

lemma *ex-iff-abs*: $(\exists x::'a. P x) \longleftrightarrow (\exists n::nat. P (abs\text{-}nat\ n))$
<proof>

lemma *ex-iff-abs'*: $(\exists x < abs\text{-}nat\ m. P x) \longleftrightarrow (\exists n::nat < m. P (abs\text{-}nat\ n))$
<proof>

lemma *all-iff-abs*: $(\forall x::'a. P x) \longleftrightarrow (\forall n::nat. P (abs\text{-}nat\ n))$
<proof>

lemma *all-iff-abs'*: $(\forall x < abs\text{-}nat\ m. P x) \longleftrightarrow (\forall n::nat < m. P (abs\text{-}nat\ n))$
<proof>

subclass *linorder* *<proof>*

lemma *comparator-of-rep* [*simp*]: *comparator-of* (*rep-nat* *x*) (*rep-nat* *y*) = *comparator-of* *x y*
<proof>

subclass *wellorder*
<proof>

subclass *comm-monoid-add* *<proof>*

lemma *sum-rep*: $sum (rep\text{-}nat \circ f) A = rep\text{-}nat (sum\ f\ A)$ **for** $f :: 'b \Rightarrow 'a$ **and** $A :: 'b\ set$
<proof>

subclass *ordered-comm-monoid-add* *<proof>*

subclass *countable* *<proof>*

subclass *cancel-comm-monoid-add*
<proof>

subclass *add-wellorder*
<proof>

end

lemma *the-min-eq-zero*: $the\text{-}min = (0::'a::\{the\text{-}min,nat\})$
<proof>

instantiation $nat :: nat$
begin

definition *rep-nat-nat* :: $nat \Rightarrow nat$ **where** *rep-nat-nat-def* [*code-unfold*]: *rep-nat-nat* = $(\lambda x. x)$

definition *abs-nat-nat* :: *nat* \Rightarrow *nat* **where** *abs-nat-nat-def* [*code-unfold*]: *abs-nat-nat* = ($\lambda x. x$)

instance \langle *proof* \rangle

end

instantiation *natural* :: *nat*
begin

definition *rep-nat-natural* :: *natural* \Rightarrow *nat*
where *rep-nat-natural-def* [*code-unfold*]: *rep-nat-natural* = *nat-of-natural*

definition *abs-nat-natural* :: *nat* \Rightarrow *natural*
where *abs-nat-natural-def* [*code-unfold*]: *abs-nat-natural* = *natural-of-nat*

instance \langle *proof* \rangle

end

14.2 Term Orders

14.2.1 Type Classes

class *nat-pp-compare* = *linorder* + *zero* + *plus* +
fixes *rep-nat-pp* :: '*a* \Rightarrow (*nat*, *nat*) *pp*
and *abs-nat-pp* :: (*nat*, *nat*) *pp* \Rightarrow '*a*
and *lex-comp'* :: '*a* *comparator*
and *deg'* :: '*a* \Rightarrow *nat*
assumes *rep-nat-pp-inverse* [*simp*]: *abs-nat-pp* (*rep-nat-pp* *x*) = *x*
and *abs-nat-pp-inverse* [*simp*]: *rep-nat-pp* (*abs-nat-pp* *t*) = *t*
and *lex-comp'*: *lex-comp'* *x* *y* = *comp-of-ord* *lex-pp* (*rep-nat-pp* *x*) (*rep-nat-pp* *y*)
and *deg'*: *deg'* *x* = *deg-pp* (*rep-nat-pp* *x*)
and *le-pp*: *rep-nat-pp* *x* \leq *rep-nat-pp* *y* \Longrightarrow *x* \leq *y*
and *zero-pp*: *rep-nat-pp* 0 = 0
and *plus-pp*: *rep-nat-pp* (*x* + *y*) = *rep-nat-pp* *x* + *rep-nat-pp* *y*
begin

lemma *less-pp*:
assumes *rep-nat-pp* *x* < *rep-nat-pp* *y*
shows *x* < *y*
 \langle *proof* \rangle

lemma *rep-nat-pp-inj*:
assumes *rep-nat-pp* *x* = *rep-nat-pp* *y*
shows *x* = *y*
 \langle *proof* \rangle

lemma *lex-comp'-EqD*:
assumes *lex-comp'* *x* *y* = *Eq*

shows $x = y$
 ⟨*proof*⟩

lemma *lex-comp'-valE*:

assumes $lex-comp' s t \neq Eq$
obtains x **where** $x \in keys-pp (rep-nat-pp s) \cup keys-pp (rep-nat-pp t)$
and $comparator-of (lookup-pp (rep-nat-pp s) x) (lookup-pp (rep-nat-pp t) x) = lex-comp' s t$
and $\bigwedge y. y < x \implies lookup-pp (rep-nat-pp s) y = lookup-pp (rep-nat-pp t) y$
 ⟨*proof*⟩

end

class *nat-term-compare* = *linorder* + *nat-term* +
fixes $is-scalar :: 'a \text{ itself} \Rightarrow bool$
and $lex-comp :: 'a \text{ comparator}$
and $deg-comp :: 'a \text{ comparator} \Rightarrow 'a \text{ comparator}$
and $pot-comp :: 'a \text{ comparator} \Rightarrow 'a \text{ comparator}$
assumes $zero-component: \exists x. snd (rep-nat-term x) = 0$
and $is-scalar: is-scalar = (\lambda-. \forall x. snd (rep-nat-term x) = 0)$
and $lex-comp: lex-comp = lex-comp-aux$ — For being able to implement *lex-comp* efficiently.
and $deg-comp: deg-comp \text{ cmp} = (\lambda x y. \text{case } comparator-of (deg-pp (fst (rep-nat-term x))) (deg-pp (fst (rep-nat-term y))) \text{ of } Eq \Rightarrow \text{cmp } x \text{ y} \mid val \Rightarrow val)$
and $pot-comp: pot-comp \text{ cmp} = (\lambda x y. \text{case } comparator-of (snd (rep-nat-term x)) (snd (rep-nat-term y)) \text{ of } Eq \Rightarrow \text{cmp } x \text{ y} \mid val \Rightarrow val)$
and $le-term: rep-nat-term x \leq rep-nat-term y \implies x \leq y$
begin

There is no need to add something like *top-comp* for TOP orders to class *nat-term-compare*, because by default all comparators should *first* compare power-products and *then* positions. *lex-comp* obviously does.

lemma *less-term*:

assumes $rep-nat-term x < rep-nat-term y$
shows $x < y$
 ⟨*proof*⟩

lemma *lex-comp-alt*: $lex-comp = (comparator-of :: 'a \text{ comparator})$
 ⟨*proof*⟩

lemma *full-component-zeroE*: **obtains** x **where** $rep-nat-term x = (t, 0)$
 ⟨*proof*⟩

end

lemma *comparator-lex-comp*: $comparator \text{ lex-comp}$
 ⟨*proof*⟩

lemma *nat-term-comp-lex-comp*: *nat-term-comp lex-comp*
⟨*proof*⟩

lemma *comparator-deg-comp*:
assumes *comparator cmp*
shows *comparator (deg-comp cmp)*
⟨*proof*⟩

lemma *comparator-pot-comp*:
assumes *comparator cmp*
shows *comparator (pot-comp cmp)*
⟨*proof*⟩

lemma *deg-comp-zero-min*:
assumes *comparator cmp* and $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$ and
 $\text{fst } (\text{rep-nat-term } u) = 0$
shows *deg-comp cmp* $u \ v \neq \text{Gt}$
⟨*proof*⟩

lemma *deg-comp-pos*:
assumes *cmp* $u \ v = \text{Lt}$ and $\text{fst } (\text{rep-nat-term } u) = \text{fst } (\text{rep-nat-term } v)$
shows *deg-comp cmp* $u \ v = \text{Lt}$
⟨*proof*⟩

lemma *deg-comp-monotone*:
assumes *cmp* $u \ v = \text{Lt} \implies \text{cmp } (\text{splus } t \ u) \ (\text{splus } t \ v) = \text{Lt}$ and *deg-comp cmp*
 $u \ v = \text{Lt}$
shows *deg-comp cmp* $(\text{splus } t \ u) \ (\text{splus } t \ v) = \text{Lt}$
⟨*proof*⟩

lemma *pot-comp-zero-min*:
assumes *cmp* $u \ v \neq \text{Gt}$ and $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$
shows *pot-comp cmp* $u \ v \neq \text{Gt}$
⟨*proof*⟩

lemma *pot-comp-pos*:
assumes $\text{snd } (\text{rep-nat-term } u) < \text{snd } (\text{rep-nat-term } v)$
shows *pot-comp cmp* $u \ v = \text{Lt}$
⟨*proof*⟩

lemma *pot-comp-monotone*:
assumes *cmp* $u \ v = \text{Lt} \implies \text{cmp } (\text{splus } t \ u) \ (\text{splus } t \ v) = \text{Lt}$ and *pot-comp cmp*
 $u \ v = \text{Lt}$
shows *pot-comp cmp* $(\text{splus } t \ u) \ (\text{splus } t \ v) = \text{Lt}$
⟨*proof*⟩

lemma *deg-comp-cong*:
assumes *deg-pp* $(\text{fst } (\text{rep-nat-term } u)) = \text{deg-pp } (\text{fst } (\text{rep-nat-term } v)) \implies \text{to1}$

$u v = to2 u v$
shows $deg-comp\ to1\ u\ v = deg-comp\ to2\ u\ v$
 $\langle proof \rangle$

lemma *pot-comp-cong*:

assumes $snd\ (rep-nat-term\ u) = snd\ (rep-nat-term\ v) \implies to1\ u\ v = to2\ u\ v$
shows $pot-comp\ to1\ u\ v = pot-comp\ to2\ u\ v$
 $\langle proof \rangle$

instantiation $pp :: (nat, nat)\ nat-pp-compare$
begin

definition *rep-nat-pp-pp* :: $('a, 'b)\ pp \Rightarrow (nat, nat)\ pp$

where $rep-nat-pp-pp-def$ [*code del*]: $rep-nat-pp-pp\ x = pp-of-fun\ (\lambda n::nat.\ rep-nat\ (lookup-pp\ x\ (abs-nat\ n)))$

definition *abs-nat-pp-pp* :: $(nat, nat)\ pp \Rightarrow ('a, 'b)\ pp$

where $abs-nat-pp-pp-def$ [*code del*]: $abs-nat-pp-pp\ t = pp-of-fun\ (\lambda n::'a.\ abs-nat\ (lookup-pp\ t\ (rep-nat\ n)))$

definition *lex-comp'-pp* :: $('a, 'b)\ pp\ comparator$

where $lex-comp'-pp-def$ [*code del*]: $lex-comp'-pp = comp-of-ord\ lex-pp$

definition *deg'-pp* :: $('a, 'b)\ pp \Rightarrow nat$

where $deg'-pp\ x = rep-nat\ (deg-pp\ x)$

lemma *lookup-rep-nat-pp-pp*:

$lookup-pp\ (rep-nat-pp\ t) = (\lambda n::nat.\ rep-nat\ (lookup-pp\ t\ (abs-nat\ n)))$
 $\langle proof \rangle$

lemma *lookup-abs-nat-pp-pp*:

$lookup-pp\ (abs-nat-pp\ t) = (\lambda n::'a.\ abs-nat\ (lookup-pp\ t\ (rep-nat\ n)))$
 $\langle proof \rangle$

lemma *keys-rep-nat-pp-pp*: $keys-pp\ (rep-nat-pp\ t) = rep-nat\ 'keys-pp\ t$

$\langle proof \rangle$

lemma *rep-nat-pp-pp-inverse*: $abs-nat-pp\ (rep-nat-pp\ x) = x$ **for** $x::('a, 'b)\ pp$

$\langle proof \rangle$

lemma *abs-nat-pp-pp-inverse*: $rep-nat-pp\ ((abs-nat-pp\ t)::('a, 'b)\ pp) = t$

$\langle proof \rangle$

corollary *rep-nat-pp-pp-inj*:

fixes $x\ y :: ('a, 'b)\ pp$

assumes $rep-nat-pp\ x = rep-nat-pp\ y$

shows $x = y$

$\langle proof \rangle$

corollary *rep-nat-pp-pp-eq-iff*: $(\text{rep-nat-pp } x = \text{rep-nat-pp } y) \longleftrightarrow (x = y)$ **for** $x y :: ('a, 'b) \text{ pp}$
 ⟨*proof*⟩

lemma *lex-rep-nat-pp*: $\text{lex-pp } (\text{rep-nat-pp } x) (\text{rep-nat-pp } y) \longleftrightarrow \text{lex-pp } x y$
 ⟨*proof*⟩

corollary *lex-comp'-pp*: $\text{lex-comp}' x y = \text{comp-of-ord } \text{lex-pp } (\text{rep-nat-pp } x) (\text{rep-nat-pp } y)$ **for** $x y :: ('a, 'b) \text{ pp}$
 ⟨*proof*⟩

corollary *le-pp-pp*: $\text{rep-nat-pp } x \leq \text{rep-nat-pp } y \implies x \leq y$ **for** $x y :: ('a, 'b) \text{ pp}$
 ⟨*proof*⟩

lemma *deg-rep-nat-pp*: $\text{deg-pp } (\text{rep-nat-pp } t) = \text{rep-nat } (\text{deg-pp } t)$ **for** $t :: ('a, 'b) \text{ pp}$
 ⟨*proof*⟩

corollary *deg'-pp*: $\text{deg}' t = \text{deg-pp } (\text{rep-nat-pp } t)$ **for** $t :: ('a, 'b) \text{ pp}$
 ⟨*proof*⟩

lemma *zero-pp-pp*: $\text{rep-nat-pp } (0 :: ('a, 'b) \text{ pp}) = 0$
 ⟨*proof*⟩

lemma *plus-pp-pp*: $\text{rep-nat-pp } (x + y) = \text{rep-nat-pp } x + \text{rep-nat-pp } y$ **for** $x y :: ('a, 'b) \text{ pp}$
 ⟨*proof*⟩

instance
 ⟨*proof*⟩

end

instantiation *pp* :: $(\text{nat}, \text{nat}) \text{ nat-term}$
begin

definition *rep-nat-term-pp* :: $('a, 'b) \text{ pp} \Rightarrow (\text{nat}, \text{nat}) \text{ pp} \times \text{nat}$
where *rep-nat-term-pp-def* [code del]: $\text{rep-nat-term-pp } t = (\text{rep-nat-pp } t, 0)$

definition *splus-pp* :: $('a, 'b) \text{ pp} \Rightarrow ('a, 'b) \text{ pp} \Rightarrow ('a, 'b) \text{ pp}$
where *splus-pp-def* [code del]: $\text{splus-pp} = (+)$

instance ⟨*proof*⟩

end

instantiation *pp* :: $(\text{nat}, \text{nat}) \text{ nat-term-compare}$
begin

definition *is-scalar-pp* :: ('a, 'b) pp itself \Rightarrow bool
where *is-scalar-pp-def* [code-unfold]: *is-scalar-pp* = (λ -. True)

definition *lex-comp-pp* :: ('a, 'b) pp comparator
where *lex-comp-pp-def* [code-unfold]: *lex-comp-pp* = *lex-comp'*

definition *deg-comp-pp* :: ('a, 'b) pp comparator \Rightarrow ('a, 'b) pp comparator
where *deg-comp-pp-def*: *deg-comp-pp cmp* = ($\lambda x y$. case comparator-of (deg-pp x) (deg-pp y) of Eq \Rightarrow cmp x y | val \Rightarrow val)

definition *pot-comp-pp* :: ('a, 'b) pp comparator \Rightarrow ('a, 'b) pp comparator
where *pot-comp-pp-def* [code-unfold]: *pot-comp-pp* = (λ cmp. cmp)

instance <proof>

end

instance *pp* :: (nat, nat) nat-pp-term
<proof>

instantiation *prod* :: ({nat-pp-compare, comm-powerprod}, nat) nat-term
begin

definition *rep-nat-term-prod* :: ('a \times 'b) \Rightarrow ((nat, nat) pp \times nat)
where *rep-nat-term-prod-def* [code del]: *rep-nat-term-prod u* = (*rep-nat-pp* (fst u), *rep-nat* (snd u))

definition *splus-prod* :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow ('a \times 'b)
where *splus-prod-def* [code del]: *splus-prod t u* = *pprod.splus* (fst t) u

instance <proof>

end

instantiation *prod* :: ({nat-pp-compare, comm-powerprod}, nat) nat-term-compare
begin

definition *is-scalar-prod* :: ('a \times 'b) itself \Rightarrow bool
where *is-scalar-prod-def* [code-unfold]: *is-scalar-prod* = (λ -. False)

definition *lex-comp-prod* :: ('a \times 'b) comparator
where *lex-comp-prod* = ($\lambda u v$. case *lex-comp'* (fst u) (fst v) of Eq \Rightarrow comparator-of (snd u) (snd v) | val \Rightarrow val)

definition *deg-comp-prod* :: ('a \times 'b) comparator \Rightarrow ('a \times 'b) comparator
where *deg-comp-prod-def*: *deg-comp-prod cmp* = ($\lambda x y$. case comparator-of (deg' (fst x)) (deg' (fst y)) of Eq \Rightarrow cmp x y | val \Rightarrow val)

definition *pot-comp-prod* :: ('a \times 'b) comparator \Rightarrow ('a \times 'b) comparator

where *pot-comp-prod cmp* = ($\lambda u v.$ case comparator-of (snd u) (snd v) of Eq \Rightarrow *cmp u v* | *val* \Rightarrow *val*)

instance \langle *proof* \rangle

end

lemmas [*code del*] = *deg-pp.rep-eq plus-pp.abs-eq minus-pp.abs-eq*

lemma *rep-nat-pp-nat* [*code-unfold*]: (*rep-nat-pp*::(*nat*, *nat*) *pp* \Rightarrow (*nat*, *nat*) *pp*)
= ($\lambda x. x$)
 \langle *proof* \rangle

14.2.2 LEX, DRLEX, DEG and POT

definition *LEX* :: '*a*::*nat-term-compare nat-term-order* **where** *LEX* = *Abs-nat-term-order lex-comp*

definition *DRLEX* :: '*a*::*nat-term-compare nat-term-order*

where *DRLEX* = *Abs-nat-term-order (deg-comp (pot-comp ($\lambda x y.$ lex-comp y x)))*

definition *DEG* :: '*a*::*nat-term-compare nat-term-order* \Rightarrow '*a nat-term-order*

where *DEG to* = *Abs-nat-term-order (deg-comp (nat-term-compare to))*

definition *POT* :: '*a*::*nat-term-compare nat-term-order* \Rightarrow '*a nat-term-order*

where *POT to* = *Abs-nat-term-order (pot-comp (nat-term-compare to))*

DRLEX must apply *pot-comp*, for otherwise it does not satisfy the second condition of *nat-term-comp*.

Instead of *DRLEX* one could also introduce another unary constructor *DEGREV*, analogous to *DEG* and *POT*. Then, however, proving (in)equalities of the term orders gets really messy (think of *DEG (POT to)* = *DEGREV (DEGREV to)*, for instance). So, we restrict the formalization to *DRLEX* only.

abbreviation *DLEX* \equiv *DEG LEX*

code-datatype *LEX DRLEX DEG POT*

lemma *nat-term-compare-LEX* [*code*]: *nat-term-compare LEX* = *lex-comp*
 \langle *proof* \rangle

lemma *nat-term-compare-DRLEX* [*code*]: *nat-term-compare DRLEX* = *deg-comp (pot-comp ($\lambda x y.$ lex-comp y x))*
 \langle *proof* \rangle

lemma *nat-term-compare-DEG* [*code*]: *nat-term-compare (DEG to)* = *deg-comp (nat-term-compare to)*

<proof>

lemma *nat-term-compare-POT* [code]: *nat-term-compare (POT to) = pot-comp (nat-term-compare to)*
<proof>

lemma *nat-term-compare-POT-DRLEX* [code]:
nat-term-compare (POT DRLEX) = pot-comp (deg-comp ($\lambda x y. \text{lex-comp } y x$))
<proof>

lemma *compute-lex-pp* [code]: *lex-pp p q = (lex-comp' p q \neq Gt)*
<proof>

lemma *compute-dlex-pp* [code]: *dlex-pp p q = (deg-comp lex-comp' p q \neq Gt)*
<proof>

lemma *compute-drlex-pp* [code]: *drlex-pp p q = (deg-comp ($\lambda x y. \text{lex-comp}' y x$) p q \neq Gt)*
<proof>

lemma *nat-pp-order-of-le-nat-pp* [code]: *nat-term-order-of-le = LEX*
<proof>

14.2.3 Equality of Term Orders

definition *nat-term-order-eq* :: 'a nat-term-order \Rightarrow 'a::nat-term-compare nat-term-order \Rightarrow bool \Rightarrow bool \Rightarrow bool

where *nat-term-order-eq-def* [code del]:
nat-term-order-eq to1 to2 dg ps =
($\forall u v. (dg \longrightarrow \text{deg-pp (fst (rep-nat-term } u)) = \text{deg-pp (fst (rep-nat-term } v))}) \longrightarrow$
(ps $\longrightarrow \text{snd (rep-nat-term } u) = \text{snd (rep-nat-term } v)$) \longrightarrow
nat-term-compare to1 u v = nat-term-compare to2 u v)

lemma *nat-term-order-eqI*:

assumes $\bigwedge u v. (dg \implies \text{deg-pp (fst (rep-nat-term } u)) = \text{deg-pp (fst (rep-nat-term } v))}) \implies$
 $(ps \implies \text{snd (rep-nat-term } u) = \text{snd (rep-nat-term } v)) \implies$
nat-term-compare to1 u v = nat-term-compare to2 u v

shows *nat-term-order-eq to1 to2 dg ps*
<proof>

lemma *nat-term-order-eqD*:

assumes *nat-term-order-eq to1 to2 dg ps*
and *dg $\implies \text{deg-pp (fst (rep-nat-term } u)) = \text{deg-pp (fst (rep-nat-term } v))}$*
and *ps $\implies \text{snd (rep-nat-term } u) = \text{snd (rep-nat-term } v)$*
shows *nat-term-compare to1 u v = nat-term-compare to2 u v*
<proof>

lemma *nat-term-order-eq-sym*: $\text{nat-term-order-eq } to1 \text{ to2 dg ps} \longleftrightarrow \text{nat-term-order-eq } to2 \text{ to1 dg ps}$

<proof>

lemma *nat-term-order-eq-DEG-dg*:

$\text{nat-term-order-eq } (DEG \text{ to1}) \text{ to2 True ps} \longleftrightarrow \text{nat-term-order-eq } to1 \text{ to2 True ps}$

<proof>

lemma *nat-term-order-eq-DEG-dg'*:

$\text{nat-term-order-eq } to1 \text{ (DEG to2) True ps} \longleftrightarrow \text{nat-term-order-eq } to1 \text{ to2 True ps}$

<proof>

lemma *nat-term-order-eq-POT-ps*:

assumes $ps \vee \text{is-scalar } TYPE('a::\text{nat-term-compare})$

shows $\text{nat-term-order-eq } (POT \text{ (to1::'a nat-term-order)}) \text{ to2 dg ps} \longleftrightarrow \text{nat-term-order-eq } to1 \text{ to2 dg ps}$

<proof>

lemma *nat-term-order-eq-POT-ps'*:

assumes $ps \vee \text{is-scalar } TYPE('a::\text{nat-term-compare})$

shows $\text{nat-term-order-eq } to1 \text{ (POT (to2::'a nat-term-order)) dg ps} \longleftrightarrow \text{nat-term-order-eq } to1 \text{ to2 dg ps}$

<proof>

lemma *snd-rep-nat-term-eqI*:

assumes $ps \vee \text{is-scalar } TYPE('a::\text{nat-term-compare})$ **and** $ps \implies \text{snd } (\text{rep-nat-term } (u::'a)) = \text{snd } (\text{rep-nat-term } (v::'a))$

shows $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$

<proof>

definition *of-exps* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a::\text{nat-term-compare}$

where $\text{of-exps } a \text{ b } i =$

$(THE \ u. \ \text{rep-nat-term } u = (\text{pp-of-fun } (\lambda x. \ \text{if } x = 0 \text{ then } a \text{ else if } x = 1 \text{ then } b \text{ else } 0)),$

$\text{if } (\exists v::'a. \ \text{snd } (\text{rep-nat-term } v) = i) \text{ then } i \text{ else } 0))$

of-exps is an auxiliary function needed for proving the equalities of the various term orders.

lemma *rep-nat-term-of-exps*:

$\text{rep-nat-term } ((\text{of-exps } a \text{ b } i)::'a::\text{nat-term-compare}) =$

$(\text{pp-of-fun } (\lambda x::\text{nat}. \ \text{if } x = 0 \text{ then } a \text{ else if } x = 1 \text{ then } b \text{ else } 0), \ \text{if } (\exists y::'a. \ \text{snd } (\text{rep-nat-term } y) = i) \text{ then } i \text{ else } 0)$

<proof>

lemma *lookup-pp-of-exps*:

$\text{lookup-pp } (\text{fst } (\text{rep-nat-term } (\text{of-exps } a \text{ b } i))) = (\lambda x. \ \text{if } x = 0 \text{ then } a \text{ else if } x = 1 \text{ then } b \text{ else } 0)$

<proof>

lemma *keys-pp-of-exps*: $\text{keys-pp } (\text{fst } (\text{rep-nat-term } (\text{of-exps } a \ b \ i))) \subseteq \{0, 1\}$
 ⟨proof⟩

lemma *deg-pp-of-exps* [simp]: $\text{deg-pp } (\text{fst } (\text{rep-nat-term } ((\text{of-exps } a \ b \ i)::'a::\text{nat-term-compare})))$
 $= a + b$
 ⟨proof⟩

lemma *snd-of-exps*:
assumes $\text{snd } (\text{rep-nat-term } (x::'a)) = i$
shows $\text{snd } (\text{rep-nat-term } ((\text{of-exps } a \ b \ i)::'a::\text{nat-term-compare})) = i$
 ⟨proof⟩

lemma *snd-of-exps-zero* [simp]: $\text{snd } (\text{rep-nat-term } ((\text{of-exps } a \ b \ 0)::'a::\text{nat-term-compare}))$
 $= 0$
 ⟨proof⟩

lemma *eq-of-exps*:
 $(\text{fst } (\text{rep-nat-term } (\text{of-exps } a1 \ b1 \ i))) = \text{fst } (\text{rep-nat-term } (\text{of-exps } a2 \ b2 \ j)) \longleftrightarrow$
 $(a1 = a2 \wedge b1 = b2)$
 ⟨proof⟩

lemma *lex-pp-of-exps*:
 $\text{lex-pp } (\text{fst } (\text{rep-nat-term } ((\text{of-exps } a1 \ b1 \ i)::'a))) (\text{fst } (\text{rep-nat-term } ((\text{of-exps } a2 \ b2 \ j)::'a::\text{nat-term-compare}))) \longleftrightarrow$
 $(a1 < a2 \vee (a1 = a2 \wedge b1 \leq b2))$ (**is** ?L \longleftrightarrow ?R)
 ⟨proof⟩

lemma *LEX-eq* [code]:
 $\text{nat-term-order-eq } \text{LEX } (\text{LEX}::'a \ \text{nat-term-order}) \ \text{dg } \ \text{ps} = \text{True}$ (**is** ?thesis1)
 $\text{nat-term-order-eq } \text{LEX } (\text{DRLEX}::'a \ \text{nat-term-order}) \ \text{dg } \ \text{ps} = \text{False}$ (**is** ?thesis2)
 $\text{nat-term-order-eq } \text{LEX } (\text{DEG } (\text{to}::'a \ \text{nat-term-order})) \ \text{dg } \ \text{ps} =$
 $(\text{dg} \wedge \text{nat-term-order-eq } \text{LEX } \text{to } \ \text{dg } \ \text{ps})$ (**is** ?thesis3)
 $\text{nat-term-order-eq } \text{LEX } (\text{POT } (\text{to}::'a \ \text{nat-term-order})) \ \text{dg } \ \text{ps} =$
 $((\text{ps} \vee \text{is-scalar } \text{TYPE}('a::\text{nat-term-compare})) \wedge \text{nat-term-order-eq } \text{LEX } \text{to } \ \text{dg}$
 $\ \text{ps})$ (**is** ?thesis4)
 ⟨proof⟩

lemma *DRLEX-eq* [code]:
 $\text{nat-term-order-eq } \text{DRLEX } (\text{LEX}::'a \ \text{nat-term-order}) \ \text{dg } \ \text{ps} = \text{False}$ (**is** ?thesis1)
 $\text{nat-term-order-eq } \text{DRLEX } \text{DRLEX } \ \text{dg } \ \text{ps} = \text{True}$ (**is** ?thesis2)
 $\text{nat-term-order-eq } \text{DRLEX } (\text{DEG } (\text{to}::'a \ \text{nat-term-order})) \ \text{dg } \ \text{ps} =$
 $\text{nat-term-order-eq } \text{DRLEX } \ \text{to } \ \text{True } \ \text{ps}$ (**is** ?thesis3)
 $\text{nat-term-order-eq } \text{DRLEX } (\text{POT } (\text{to}::'a \ \text{nat-term-order})) \ \text{dg } \ \text{ps} =$
 $((\text{dg} \vee \text{ps} \vee \text{is-scalar } \text{TYPE}('a::\text{nat-term-compare})) \wedge \text{nat-term-order-eq } \text{DRLEX}$
 $\ \text{to } \ \text{dg } \ \text{True})$ (**is** ?thesis4)
 ⟨proof⟩

lemma *DEG-eq* [code]:
 $\text{nat-term-order-eq } (\text{DEG } \ \text{to}) \ (\text{LEX}::'a \ \text{nat-term-order}) \ \text{dg } \ \text{ps} = \text{nat-term-order-eq}$

```

LEX (DEG to) dg ps
  nat-term-order-eq (DEG to) (DRLEX::'a nat-term-order) dg ps = nat-term-order-eq
DRLEX (DEG to) dg ps
  nat-term-order-eq (DEG to1) (DEG (to2::'a nat-term-order)) dg ps =
  nat-term-order-eq to1 to2 True ps (is ?thesis3)
  nat-term-order-eq (DEG to1) (POT (to2::'a nat-term-order)) dg ps =
  (if dg then nat-term-order-eq to1 (POT to2) dg ps
   else ((ps ∨ is-scalar TYPE('a::nat-term-compare)) ∧ nat-term-order-eq (DEG
to1) to2 dg ps)) (is ?thesis4)
⟨proof⟩

```

lemma *POT-eq* [code]:

```

nat-term-order-eq (POT to) LEX dg ps = nat-term-order-eq LEX (POT to) dg
ps
  nat-term-order-eq (POT to1) (DEG to2) dg ps = nat-term-order-eq (DEG to2)
(POT to1) dg ps
  nat-term-order-eq (POT to1) DRLEX dg ps = nat-term-order-eq DRLEX (POT
to1) dg ps
  nat-term-order-eq (POT to1) (POT (to2::'a::nat-term-compare nat-term-order))
dg ps =
  nat-term-order-eq to1 to2 dg True (is ?thesis4)
⟨proof⟩

```

lemma *nat-term-order-equal* [code]: *HOL.equal to1 to2 = nat-term-order-eq to1 to2 False False*
⟨proof⟩

hide-const (open) *of-exps*

value [code] *DEG (POT DRLEX) = (DRLEX::((nat, nat) pp × nat) nat-term-order)*

value [code] *POT LEX = (LEX::((nat, nat) pp × nat) nat-term-order)*

value [code] *POT LEX = (LEX::(nat, nat) pp nat-term-order)*

end

15 Executable Representation of Polynomial Mappings as Association Lists

theory *MPoly-Type-Class-OAlist*

imports *Term-Order*

begin

instantiation *pp :: (type, {equal, zero}) equal*

begin

definition *equal-pp :: ('a, 'b) pp ⇒ ('a, 'b) pp ⇒ bool where*

$equal\text{-}pp\ p\ q \equiv (\forall t. lookup\text{-}pp\ p\ t = lookup\text{-}pp\ q\ t)$

instance $\langle proof \rangle$

end

instantiation $poly\text{-}mapping :: (type, \{equal, zero\})\ equal$
begin

definition $equal\text{-}poly\text{-}mapping :: ('a, 'b)\ poly\text{-}mapping \Rightarrow ('a, 'b)\ poly\text{-}mapping \Rightarrow$
 $bool$ **where**
 $equal\text{-}poly\text{-}mapping\text{-}def\ [code\ del]:\ equal\text{-}poly\text{-}mapping\ p\ q \equiv (\forall t. lookup\ p\ t =$
 $lookup\ q\ t)$

instance $\langle proof \rangle$

end

15.1 Power-Products Represented by *oalist-tc*

definition $PP\text{-}oalist :: ('a::linorder, 'b::zero)\ oalist\text{-}tc \Rightarrow ('a, 'b)\ pp$
where $PP\text{-}oalist\ xs = pp\text{-}of\text{-}fun\ (Oalist\text{-}tc\text{-}lookup\ xs)$

code-datatype $PP\text{-}oalist$

lemma $lookup\text{-}PP\text{-}oalist\ [simp, code]:\ lookup\text{-}pp\ (PP\text{-}oalist\ xs) = Oalist\text{-}tc\text{-}lookup$
 xs
 $\langle proof \rangle$

lemma $keys\text{-}PP\text{-}oalist\ [code]:\ keys\text{-}pp\ (PP\text{-}oalist\ xs) = set\ (Oalist\text{-}tc\text{-}sorted\text{-}domain$
 $xs)$
 $\langle proof \rangle$

lemma $lex\text{-}comp\text{-}PP\text{-}oalist\ [code]:$
 $lex\text{-}comp'\ (PP\text{-}oalist\ xs)\ (PP\text{-}oalist\ ys) =$
 $the\ (Oalist\text{-}tc\text{-}lex\text{-}ord\ (\lambda\ x\ y. Some\ (comparator\text{-}of\ x\ y))\ xs\ ys)$
for $xs\ ys::('a::nat, 'b::nat)\ oalist\text{-}tc$
 $\langle proof \rangle$

lemma $zero\text{-}PP\text{-}oalist\ [code]:\ (0::('a::linorder, 'b::zero)\ pp) = PP\text{-}oalist\ Oalist\text{-}tc\text{-}empty$
 $\langle proof \rangle$

lemma $plus\text{-}PP\text{-}oalist\ [code]:$
 $PP\text{-}oalist\ xs + PP\text{-}oalist\ ys = PP\text{-}oalist\ (Oalist\text{-}tc\text{-}map2\text{-}val\text{-}neutr\ (\lambda\ .\ (+))\ xs$
 $ys)$
 $\langle proof \rangle$

lemma $minus\text{-}PP\text{-}oalist\ [code]:$
 $PP\text{-}oalist\ xs - PP\text{-}oalist\ ys = PP\text{-}oalist\ (Oalist\text{-}tc\text{-}map2\text{-}val\text{-}rneutr\ (\lambda\ .\ (-))\ xs$

ys)
⟨*proof*⟩

lemma *equal-PP-oalist* [code]: *equal-class.equal* (*PP-oalist xs*) (*PP-oalist ys*) = (*xs* = *ys*)
⟨*proof*⟩

lemma *lcs-PP-oalist* [code]:
lcs (*PP-oalist xs*) (*PP-oalist ys*) = *PP-oalist* (*Oalist-tc-map2-val-neutr* ($\lambda\cdot$. *max*) *xs ys*)
for *xs ys* :: (*'a*::*linorder*, *'b*::*add-linorder-min*) *oalist-tc*
⟨*proof*⟩

lemma *deg-pp-PP-oalist* [code]: *deg-pp* (*PP-oalist xs*) = *sum-list* (*map snd* (*list-of-oalist-tc xs*))
⟨*proof*⟩

lemma *single-PP-oalist* [code]: *single-pp* *x e* = *PP-oalist* (*oalist-tc-of-list* [(*x*, *e*)])
⟨*proof*⟩

definition *adds-pp-add-linorder* :: (*'b*, *'a*::*add-linorder*) *pp* \Rightarrow - \Rightarrow *bool*
where [code-abbrev]: *adds-pp-add-linorder* = (*adds*)

lemma *adds-pp-PP-oalist* [code]:
adds-pp-add-linorder (*PP-oalist xs*) (*PP-oalist ys*) = *Oalist-tc-prod-ord* ($\lambda\cdot$. *less-eq*) *xs ys*
for *xs ys*::(*'a*::*linorder*, *'b*::*add-linorder-min*) *oalist-tc*
⟨*proof*⟩

15.1.1 Constructor

definition *sparse₀* *xs* = *PP-oalist* (*oalist-tc-of-list xs*) — sparse representation

15.1.2 Computations

experiment begin

abbreviation *X* \equiv *0::nat*

abbreviation *Y* \equiv *1::nat*

abbreviation *Z* \equiv *2::nat*

value [code] *sparse₀* [(*X*, *2::nat*), (*Z*, *7*)]

lemma
sparse₀ [(*X*, *2::nat*), (*Z*, *7*)] - *sparse₀* [(*X*, *2*), (*Z*, *2*)] = *sparse₀* [(*Z*, *5*)]
⟨*proof*⟩

lemma
lcs (*sparse₀* [(*X*, *2::nat*), (*Y*, *1*), (*Z*, *7*)]) (*sparse₀* [(*Y*, *3*), (*Z*, *2*)]) = *sparse₀* [(*X*, *2*), (*Y*, *3*), (*Z*, *7*)]

<proof>

lemma

$(\text{sparse}_0 [(X, 2::\text{nat}), (Z, 1)]) \text{ adds } (\text{sparse}_0 [(X, 3), (Y, 2), (Z, 1)])$
<proof>

lemma

$\text{lookup-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Z, 3)]) X = 2$
<proof>

lemma

$\text{deg-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 3), (X, 1)]) = 6$
<proof>

lemma

$\text{lex-comp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 3)]) (\text{sparse}_0 [(X, 4)]) = Lt$
<proof>

lemma

$\text{lex-comp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 3)], 3::\text{nat}) (\text{sparse}_0 [(X, 4)], 2) = Lt$
<proof>

lemma

$\text{lex-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 3)]) (\text{sparse}_0 [(X, 4)])$
<proof>

lemma

$\text{lex-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 3)]) (\text{sparse}_0 [(X, 4)])$
<proof>

lemma

$\neg \text{dlex-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 3)]) (\text{sparse}_0 [(X, 4)])$
<proof>

lemma

$\text{dlex-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 2)]) (\text{sparse}_0 [(X, 5)])$
<proof>

lemma

$\neg \text{drlex-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 2)]) (\text{sparse}_0 [(X, 5)])$
<proof>

end

15.2 MP-oalist

lift-definition $MP\text{-oalist} :: ('a::\text{nat-term}, 'b::\text{zero}) \text{ oalist-ntm} \Rightarrow 'a \Rightarrow_0 'b$
is $OAlist\text{-lookup-ntm}$

<proof>

lemmas [*simp*, *code*] = *MP-oalist.rep-eq*

code-datatype *MP-oalist*

lemma *keys-MP-oalist* [*code*]: *keys (MP-oalist xs) = set (map fst (fst (list-of-oalist-ntm xs)))*
<proof>

lemma *MP-oalist-empty* [*simp*]: *MP-oalist (Oalist-empty-ntm ko) = 0*
<proof>

lemma *zero-MP-oalist* [*code*]: *(0::('a::{linorder,nat-term} =>₀ 'b::zero)) = MP-oalist (Oalist-empty-ntm nat-term-order-of-le)*
<proof>

definition *is-zero* :: *('a =>₀ 'b::zero) => bool*
where [*code-abbrev*]: *is-zero p $\longleftrightarrow (p = 0)$*

lemma *is-zero-MP-oalist* [*code*]: *is-zero (MP-oalist xs) = List.null (fst (list-of-oalist-ntm xs))*
<proof>

lemma *plus-MP-oalist* [*code*]: *MP-oalist xs + MP-oalist ys = MP-oalist (Oalist-map2-val-neutr-ntm (λ -. (+)) xs ys)*
<proof>

lemma *minus-MP-oalist* [*code*]: *MP-oalist xs - MP-oalist ys = MP-oalist (Oalist-map2-val-rneutr-ntm (λ -. (-)) xs ys)*
<proof>

lemma *uminus-MP-oalist* [*code*]: *- MP-oalist xs = MP-oalist (Oalist-map-val-ntm (λ -. uminus) xs)*
<proof>

lemma *equal-MP-oalist* [*code*]: *equal-class.equal (MP-oalist xs) (MP-oalist ys) = (Oalist-eq-ntm xs ys)*
<proof>

lemma *map-MP-oalist* [*code*]: *Poly-Mapping.map f (MP-oalist xs) = MP-oalist (Oalist-map-val-ntm (λ -. f) xs)*
<proof>

lemma *range-MP-oalist* [*code*]: *Poly-Mapping.range (MP-oalist xs) = set (map snd (fst (list-of-oalist-ntm xs)))*
<proof>

lemma *if-poly-mapping-eq-iff*:

(if $x = y$ then a else b) = (if $(\forall i \in \text{keys } x \cup \text{keys } y. \text{lookup } x \ i = \text{lookup } y \ i)$ then a else b)
 ⟨proof⟩

lemma *keys-add-eq*: $\text{keys } (a + b) = \text{keys } a \cup \text{keys } b - \{x \in \text{keys } a \cap \text{keys } b. \text{lookup } a \ x + \text{lookup } b \ x = 0\}$
 ⟨proof⟩

locale *gd-nat-term* =
 gd-term pair-of-term term-of-pair
 $\lambda s \ t. \text{le-of-nat-term-order cmp-term } (\text{term-of-pair } (s, \text{the-min})) (\text{term-of-pair } (t, \text{the-min}))$
 $\lambda s \ t. \text{lt-of-nat-term-order cmp-term } (\text{term-of-pair } (s, \text{the-min})) (\text{term-of-pair } (t, \text{the-min}))$
 le-of-nat-term-order cmp-term
 lt-of-nat-term-order cmp-term
 for pair-of-term::'t::nat-term $\Rightarrow ('a::\{\text{nat-term, graded-dickson-powerprod}\} \times 'k::\{\text{countable, the-min, wellorder}\})$
 and term-of-pair::('a \times 'k) \Rightarrow 't
 and cmp-term +
 assumes splus-eq-splus: $t \oplus u = \text{nat-term-class.splus } (\text{term-of-pair } (t, \text{the-min}))$
 u
begin

definition *shift-map-keys* :: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('t, 'b) oalist-ntm \Rightarrow ('t, 'b::semiring-0) oalist-ntm
 where *shift-map-keys* t f xs = *Oalist-ntm* (map-raw ($\lambda kv. (t \oplus \text{fst } kv, f (\text{snd } kv))$)) (list-of-oalist-ntm xs))

lemma *list-of-oalist-shift-keys*:
 list-of-oalist-ntm (*shift-map-keys* t f xs) = (map-raw ($\lambda kv. (t \oplus \text{fst } kv, f (\text{snd } kv))$)) (list-of-oalist-ntm xs)
 ⟨proof⟩

lemma *lookup-shift-map-keys-plus*:
 lookup (MP-oalist (*shift-map-keys* t ((* c) xs)) (t \oplus u)) = c * lookup (MP-oalist xs) u (is ?l = ?r)
 ⟨proof⟩

lemma *keys-shift-map-keys-subset*:
 keys (MP-oalist (*shift-map-keys* t ((* c) xs)) \subseteq ((\oplus) t) ' keys (MP-oalist xs) (is ?l \subseteq ?r)
 ⟨proof⟩

lemma *monom-mult-MP-oalist* [code]:
 monom-mult c t (MP-oalist xs) =
 MP-oalist (if c = 0 then *Oalist-empty-ntm* (snd (list-of-oalist-ntm xs)) else *shift-map-keys* t ((* c) xs))
 ⟨proof⟩

lemma *mult-scalar-MP-oalist* [code]:
 $(MP\text{-oalist } xs) \odot (MP\text{-oalist } ys) =$
 (if is-zero (MP-oalist xs) then
 $MP\text{-oalist } (Oalist\text{-empty-ntm } (snd (list\text{-of-oalist-ntm } ys)))$)
 else
 let ct = Oalist-hd-ntm xs in
 $monom\text{-mult } (snd ct) (fst ct) (MP\text{-oalist } ys) + (MP\text{-oalist } (Oalist\text{-tl-ntm } xs)) \odot (MP\text{-oalist } ys)$)
 ⟨proof⟩

end

15.2.1 Special case of addition: adding monomials

definition *plus-monomial-less* :: ('a ⇒₀ 'b) ⇒ 'b ⇒ 'a ⇒ ('a ⇒₀ 'b::monoid-add)
 where *plus-monomial-less* p c u = p + monomial c u

plus-monomial-less is useful when adding a monomial to a polynomial, where the term of the monomial is known to be smaller than all terms in the polynomial, because it can be implemented more efficiently than general addition.

lemma *plus-monomial-less-MP-oalist* [code]:
 $plus\text{-monomial-less } (MP\text{-oalist } xs) c u = MP\text{-oalist } (Oalist\text{-update-by-fun-gr-ntm } u (\lambda c0. c0 + c) xs)$
 ⟨proof⟩

plus-monomial-less is computed by *Oalist-update-by-fun-gr-ntm*, because greater terms come *before* smaller ones in *oalist-ntm*.

15.2.2 Constructors

definition *distr₀* ko xs = MP-oalist (oalist-of-list-ntm (xs, ko)) — sparse representation

definition *V₀* :: 'a ⇒ ('a, nat) pp ⇒₀ 'b::{one,zero} **where**
 $V_0 n \equiv monomial\ 1\ (single\text{-pp } n\ 1)$

definition *C₀* :: 'b ⇒ ('a, nat) pp ⇒₀ 'b::zero **where** $C_0 c \equiv monomial\ c\ 0$

lemma *C₀-one*: $C_0\ 1 = 1$
 ⟨proof⟩

lemma *C₀-numeral*: $C_0\ (numeral\ x) = numeral\ x$
 ⟨proof⟩

lemma *C₀-minus*: $C_0\ (-\ x) = -\ C_0\ x$
 ⟨proof⟩

lemma *C₀-zero*: $C_0\ 0 = 0$

<proof>

lemma *V₀-power*: $V_0 v \wedge n = \text{monomial } 1 \text{ (single-pp } v \text{ } n)$
<proof>

lemma *single-MP-oalist* [code]: *Poly-Mapping.single* $k v = \text{distr}_0 \text{ nat-term-order-of-le } [(k, v)]$
<proof>

lemma *one-MP-oalist* [code]: $1 = \text{distr}_0 \text{ nat-term-order-of-le } [(0, 1)]$
<proof>

lemma *except-MP-oalist* [code]: *except* (*MP-oalist* xs) $S = \text{MP-oalist } (\text{Oalist-filter-ntm } (\lambda kv. \text{fst } kv \notin S) xs)$
<proof>

15.2.3 Changing the Internal Order

definition *change-ord* :: $'a :: \text{nat-term-compare } \text{nat-term-order} \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)$
where *change-ord to* = $(\lambda x. x)$

lemma *change-ord-MP-oalist* [code]: *change-ord to* (*MP-oalist* xs) = *MP-oalist* (*Oalist-reorder-ntm to* xs)
<proof>

15.2.4 Ordered Power-Products

lemma *foldl-assoc*:
assumes $\bigwedge x y z. f (f x y) z = f x (f y z)$
shows $\text{foldl } f (f a b) xs = f a (\text{foldl } f b xs)$
<proof>

context *gd-nat-term*
begin

definition *ord-pp* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$
where *ord-pp* $s t = \text{le-of-nat-term-order cmp-term } (\text{term-of-pair } (s, \text{the-min})) (\text{term-of-pair } (t, \text{the-min}))$

definition *ord-pp-strict* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$
where *ord-pp-strict* $s t = \text{lt-of-nat-term-order cmp-term } (\text{term-of-pair } (s, \text{the-min})) (\text{term-of-pair } (t, \text{the-min}))$

lemma *lt-MP-oalist* [code]:
 $\text{lt } (\text{MP-oalist } xs) = (\text{if is-zero } (\text{MP-oalist } xs) \text{ then min-term else fst } (\text{Oalist-min-key-val-ntm cmp-term } xs))$
<proof>

lemma *lc-MP-oalist* [code]:

$lc (MP\text{-oalist } xs) = (if\ is\ zero (MP\text{-oalist } xs) \text{ then } 0 \text{ else } snd (O\text{Alist}\text{-min}\text{-key}\text{-val}\text{-ntm } cmp\text{-term } xs))$
 ⟨proof⟩

lemma *tail-MP-oalist* [code]: $tail (MP\text{-oalist } xs) = MP\text{-oalist } (O\text{Alist}\text{-except}\text{-min}\text{-ntm } cmp\text{-term } xs)$
 ⟨proof⟩

definition *comp-opt-p* :: ($'t \Rightarrow_0 'c :: zero, 't \Rightarrow_0 'c$) *comp-opt*
 where *comp-opt-p* $p\ q =$
 ($if\ p = q \text{ then } Some\ Eq \text{ else } if\ ord\text{-strict}\text{-p } p\ q \text{ then } Some\ Lt \text{ else } if$
 $ord\text{-strict}\text{-p } q\ p \text{ then } Some\ Gt \text{ else } None$)

lemma *comp-opt-p-MP-oalist* [code]:
 $comp\text{-opt}\text{-p } (MP\text{-oalist } xs) (MP\text{-oalist } ys) =$
 $O\text{Alist}\text{-lex}\text{-ord}\text{-ntm } cmp\text{-term } (\lambda\ x\ y. \text{ if } x = y \text{ then } Some\ Eq \text{ else } if\ x = 0 \text{ then}$
 $Some\ Lt \text{ else } if\ y = 0 \text{ then } Some\ Gt \text{ else } None) xs\ ys$
 ⟨proof⟩

lemma *compute-ord-p* [code]: $ord\text{-p } p\ q = (let\ aux = comp\text{-opt}\text{-p } p\ q \text{ in } aux =$
 $Some\ Lt \vee aux = Some\ Eq)$
 ⟨proof⟩

lemma *compute-ord-p-strict* [code]: $ord\text{-strict}\text{-p } p\ q = (comp\text{-opt}\text{-p } p\ q = Some$
 $Lt)$
 ⟨proof⟩

lemma *keys-to-list-MP-oalist* [code]: $keys\text{-to}\text{-list } (MP\text{-oalist } xs) = O\text{Alist}\text{-sorted}\text{-domain}\text{-ntm}$
 $cmp\text{-term } xs$
 ⟨proof⟩

end

lifting-update *poly-mapping.lifting*

lifting-forget *poly-mapping.lifting*

15.3 Interpretations

lemma *term-powerprod-gd-term*:

fixes *pair-of-term* :: $'t :: nat\text{-term} \Rightarrow ('a :: \{graded\text{-dickson}\text{-powerprod}, nat\text{-pp}\text{-compare}\}$
 $\times 'k :: \{the\text{-min}, wellorder\})$

assumes *term-powerprod pair-of-term term-of-pair*
and $\bigwedge v. fst (rep\text{-nat}\text{-term } v) = rep\text{-nat}\text{-pp } (fst (pair\text{-of}\text{-term } v))$
and $\bigwedge t. snd (rep\text{-nat}\text{-term } (term\text{-of}\text{-pair } (t, the\text{-min}))) = 0$
and $\bigwedge v\ w. snd (pair\text{-of}\text{-term } v) \leq snd (pair\text{-of}\text{-term } w) \implies snd (rep\text{-nat}\text{-term } v) \leq snd (rep\text{-nat}\text{-term } w)$
and $\bigwedge s\ t\ k. term\text{-of}\text{-pair } (s + t, k) = splus (term\text{-of}\text{-pair } (s, k)) (term\text{-of}\text{-pair } (t, k))$
and $\bigwedge t\ v. term\text{-powerprod}.splus\ pair\text{-of}\text{-term } term\text{-of}\text{-pair } t\ v = splus (term\text{-of}\text{-pair } t\ v)$

$(t, \text{the-min})$) v
shows *gd-term pair-of-term term-of-pair*
 $(\lambda s t. \text{le-of-nat-term-order cmp-term (term-of-pair (s, the-min)) (term-of-pair (t, the-min))})$
 $(\lambda s t. \text{lt-of-nat-term-order cmp-term (term-of-pair (s, the-min)) (term-of-pair (t, the-min))})$
 $(\text{le-of-nat-term-order cmp-term})$
 $(\text{lt-of-nat-term-order cmp-term})$
 $\langle \text{proof} \rangle$

lemma *gd-term-to-pair-unit*:
 $\text{gd-term (to-pair-unit::'a::\{nat-term-compare,nat-pp-term,graded-dickson-powerprod\})}$
 $\Rightarrow -$) *fst*
 $(\lambda s t. \text{le-of-nat-term-order cmp-term (fst (s, the-min)) (fst (t, the-min))})$
 $(\lambda s t. \text{lt-of-nat-term-order cmp-term (fst (s, the-min)) (fst (t, the-min))})$
 $(\text{le-of-nat-term-order cmp-term})$
 $(\text{lt-of-nat-term-order cmp-term})$
 $\langle \text{proof} \rangle$

corollary *gd-nat-term-to-pair-unit*:
 $\text{gd-nat-term (to-pair-unit::'a::\{nat-term-compare,nat-pp-term,graded-dickson-powerprod\})}$
 $\Rightarrow -$) *fst cmp-term*
 $\langle \text{proof} \rangle$

lemma *gd-term-id*:
 $\text{gd-term } (\lambda x::('a::\{nat-term-compare,nat-pp-compare,nat-pp-term,graded-dickson-powerprod\})$
 $\times 'b::\{nat,the-min\}). x) (\lambda x. x)$
 $(\lambda s t. \text{le-of-nat-term-order cmp-term (s, the-min) (t, the-min)})$
 $(\lambda s t. \text{lt-of-nat-term-order cmp-term (s, the-min) (t, the-min)})$
 $(\text{le-of-nat-term-order cmp-term})$
 $(\text{lt-of-nat-term-order cmp-term})$
 $\langle \text{proof} \rangle$

corollary *gd-nat-term-id*: $\text{gd-nat-term } (\lambda x. x) (\lambda x. x) \text{ cmp-term}$
for $\text{cmp-term} :: ('a::\{nat-term-compare,nat-pp-compare,nat-pp-term,graded-dickson-powerprod\})$
 $\times 'c::\{nat,the-min\}) \text{ nat-term-order}$
 $\langle \text{proof} \rangle$

15.4 Computations

type-synonym $'a \text{ mpoly-tc} = (\text{nat}, \text{nat}) \text{ pp} \Rightarrow_0 'a$

global-interpretation *punit0*: $\text{gd-nat-term to-pair-unit::'a::\{nat-term-compare,nat-pp-term,graded-dickson-p}$
 $\Rightarrow - \text{fst cmp-term}$

rewrites $\text{punit.adds-term} = (\text{adds})$

and $\text{punit.pp-of-term} = (\lambda x. x)$

and $\text{punit.component-of-term} = (\lambda \cdot. ())$

for cmp-term

defines $\text{monom-mult-punit} = \text{punit.monom-mult}$

```

and mult-scalar-punit = punit.mult-scalar
and shift-map-keys-punit = punit0.shift-map-keys
and ord-pp-punit = punit0.ord-pp
and ord-pp-strict-punit = punit0.ord-pp-strict
and min-term-punit = punit0.min-term
and lt-punit = punit0.lt
and lc-punit = punit0.lc
and tail-punit = punit0.tail
and comp-opt-p-punit = punit0.comp-opt-p
and ord-p-punit = punit0.ord-p
and ord-strict-p-punit = punit0.ord-strict-p
and keys-to-list-punit = punit0.keys-to-list
⟨proof⟩

```

```

lemma shift-map-keys-punit-MP-oalist [code abstract]:
  list-of-oalist-ntm (shift-map-keys-punit t f xs) = map-raw ( $\lambda(k, v). (t + k, f v)$ )
(list-of-oalist-ntm xs)
⟨proof⟩

```

```

lemmas [code] = punit0.mult-scalar-MP-oalist[unfolded mult-scalar-punit-def punit-mult-scalar]
punit0.punit-min-term

```

```

lemma ord-pp-punit-alt [code-unfold]: ord-pp-punit = le-of-nat-term-order
⟨proof⟩

```

```

lemma ord-pp-strict-punit-alt [code-unfold]: ord-pp-strict-punit = lt-of-nat-term-order
⟨proof⟩

```

```

lemma gd-powerprod-ord-pp-punit: gd-powerprod (ord-pp-punit cmp-term) (ord-pp-strict-punit
cmp-term)
⟨proof⟩

```

```

locale trivariate0-rat
begin

```

```

abbreviation X::rat mpoly-tc where  $X \equiv V_0 (0::nat)$ 

```

```

abbreviation Y::rat mpoly-tc where  $Y \equiv V_0 (1::nat)$ 

```

```

abbreviation Z::rat mpoly-tc where  $Z \equiv V_0 (2::nat)$ 

```

```

end

```

```

experiment begin interpretation trivariate0-rat ⟨proof⟩

```

```

value [code]  $X ^ 2$ 

```

```

value [code]  $X^2 * Z + 2 * Y ^ 3 * Z^2$ 

```

```

value [code] distr0 DRLEX [(sparse0 [( $0::nat, 3::nat$ ),  $1::rat$ ])] = distr0 DRLEX
[(sparse0 [( $0, 3$ ),  $1$ ])]

```

lemma

ord-strict-p-punit DRLEX $(X^2 * Z + 2 * Y \wedge 3 * Z^2) (X^2 * Z^2 + 2 * Y \wedge 3 * Z^2)$
<proof>

lemma

tail-punit DLEX $(X^2 * Z + 2 * Y \wedge 3 * Z^2) = X^2 * Z$
<proof>

value [code] *min-term-punit::(nat, nat) pp*

value [code] *is-zero (distr₀ DRLEX [(sparse₀ [(0::nat, 3::nat)], 1::rat)])*

value [code] *lt-punit DRLEX (distr₀ DRLEX [(sparse₀ [(0::nat, 3::nat)], 1::rat)])*

lemma

lt-punit DRLEX $(X^2 * Z + 2 * Y \wedge 3 * Z^2) = \text{sparse}_0 [(1, 3), (2, 2)]$
<proof>

lemma

lt-punit DRLEX $(X + Y + Z) = \text{sparse}_0 [(2, 1)]$
<proof>

lemma

keys $(X^2 * Z \wedge 3 + 2 * Y \wedge 3 * Z^2) =$
 $\{\text{sparse}_0 [(0, 2), (2, 3)], \text{sparse}_0 [(1, 3), (2, 2)]\}$
<proof>

lemma

$- 1 * X^2 * Z \wedge 7 + - 2 * Y \wedge 3 * Z^2 = - X^2 * Z \wedge 7 + - 2 * Y \wedge 3 * Z^2$
<proof>

lemma

$X^2 * Z \wedge 7 + 2 * Y \wedge 3 * Z^2 + X^2 * Z \wedge 4 + - 2 * Y \wedge 3 * Z^2 = X^2 * Z \wedge 7 + X^2 * Z \wedge 4$
<proof>

lemma

$X^2 * Z \wedge 7 + 2 * Y \wedge 3 * Z^2 - X^2 * Z \wedge 4 + - 2 * Y \wedge 3 * Z^2 =$
 $X^2 * Z \wedge 7 - X^2 * Z \wedge 4$
<proof>

lemma

lookup $(X^2 * Z \wedge 7 + 2 * Y \wedge 3 * Z^2 + 2) (\text{sparse}_0 [(0, 2), (2, 7)]) = 1$
<proof>

lemma

$X^2 * Z \wedge 7 + 2 * Y \wedge 3 * Z^2 \neq$

$X^2 * Z^4 + - 2 * Y^3 * Z^2$
 <proof>

lemma

$0 * X^2 * Z^7 + 0 * Y^3 * Z^2 = 0$
 <proof>

lemma

monom-mult-punit 3 (sparse₀ [(1, 2::nat)]) (X² * Z + 2 * Y³ * Z²) =
 3 * Y² * Z * X² + 6 * Y⁵ * Z²
 <proof>

lemma

monomial (-4) (sparse₀ [(0, 2::nat)]) = - 4 * X²
 <proof>

lemma *monomial* (0::rat) (sparse₀ [(0::nat, 2::nat)]) = 0

<proof>

lemma

$(X^2 * Z + 2 * Y^3 * Z^2) * (X^2 * Z^3 + - 2 * Y^3 * Z^2) =$
 $X^4 * Z^4 + - 2 * X^2 * Z^3 * Y^3 +$
 $- 4 * Y^6 * Z^4 + 2 * Y^3 * Z^5 * X^2$
 <proof>

end

15.5 Code setup for type MPoly

postprocessing from *Var*₀, *Const*₀ to *Var*, *Const*.

lemmas [code-post] =

plus-mpoly.abs-eq[symmetric]
times-mpoly.abs-eq[symmetric]
one-mpoly-def[symmetric]
Var.abs-eq[symmetric]
Const.abs-eq[symmetric]

instantiation *mpoly*::({equal, zero})equal **begin**

lift-definition *equal-mpoly*:: 'a *mpoly* ⇒ 'a *mpoly* ⇒ bool **is** *HOL.equal* <proof>

instance <proof>

end

end

16 Quasi-Poly-Mapping Power-Products

```

theory Quasi-PM-Power-Products
  imports MPoly-Type-Class-Ordered
begin

```

In this theory we introduce a subclass of *graded-dickson-powerprod* that approximates polynomial mappings even closer. We need this class for signature-based Gröbner basis algorithms.

```

definition (in monoid-add) hom-grading-fun :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  (nat  $\Rightarrow$  'a  $\Rightarrow$  'a)
 $\Rightarrow$  bool
  where hom-grading-fun d f  $\longleftrightarrow$  ( $\forall$  n. ( $\forall$  s t. f n (s + t) = f n s + f n t)  $\wedge$ 
    ( $\forall$  t. d (f n t)  $\leq$  n  $\wedge$  (d t  $\leq$  n  $\longrightarrow$  f n t = t)))

```

```

definition (in monoid-add) hom-grading :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  bool
  where hom-grading d  $\longleftrightarrow$  ( $\exists$  f. hom-grading-fun d f)

```

```

definition (in monoid-add) decr-grading :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a
  where decr-grading d = (SOME f. hom-grading-fun d f)

```

```

lemma decr-grading:
  assumes hom-grading d
  shows hom-grading-fun d (decr-grading d)
  <proof>

```

```

lemma decr-grading-plus:
  hom-grading d  $\implies$  decr-grading d n (s + t) = decr-grading d n s + decr-grading
  d n t
  <proof>

```

```

lemma decr-grading-zero:
  assumes hom-grading d
  shows decr-grading d n 0 = (0::'a::cancel-comm-monoid-add)
  <proof>

```

```

lemma decr-grading-le: hom-grading d  $\implies$  d (decr-grading d n t)  $\leq$  n
  <proof>

```

```

lemma decr-grading-idI: hom-grading d  $\implies$  d t  $\leq$  n  $\implies$  decr-grading d n t = t
  <proof>

```

```

class quasi-pm-powerprod = ulcs-powerprod +
  assumes ex-hgrad:  $\exists$  d::'a  $\Rightarrow$  nat. dickson-grading d  $\wedge$  hom-grading d
begin

```

```

subclass graded-dickson-powerprod
  <proof>

```

```

end

```


lemma *hom-grading-varnum*:

hom-grading ((*varnum X*)::('x::countable \Rightarrow_0 'b::add-wellorder) \Rightarrow nat)
(*proof*)

instance *poly-mapping* :: (countable, add-wellorder) quasi-pm-powerprod
(*proof*)

context *term-powerprod*
begin

definition *decr-grading-term* :: ('a \Rightarrow nat) \Rightarrow nat \Rightarrow 't \Rightarrow 't

where *decr-grading-term* d n v = *term-of-pair* (*decr-grading* d n (*pp-of-term* v),
component-of-term v)

definition *decr-grading-p* :: ('a \Rightarrow nat) \Rightarrow nat \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b::comm-monoid-add)

where *decr-grading-p* d n p = ($\sum_{v \in \text{keys } p} \text{monomial} (\text{lookup } p \ v) (\text{decr-grading-term}$
d n v))

lemma *decr-grading-term-splus*:

hom-grading d \Longrightarrow *decr-grading-term* d n (t \oplus v) = *decr-grading* d n t \oplus
decr-grading-term d n v
(*proof*)

lemma *decr-grading-term-le*: *hom-grading* d \Longrightarrow d (*pp-of-term* (*decr-grading-term*
d n v)) \leq n
(*proof*)

lemma *decr-grading-term-idI*: *hom-grading* d \Longrightarrow d (*pp-of-term* v) \leq n \Longrightarrow *decr-grading-term*
d n v = v
(*proof*)

lemma *punit-decr-grading-term*: *punit.decr-grading-term* = *decr-grading*
(*proof*)

lemma *decr-grading-p-zero*: *decr-grading-p* d n 0 = 0
(*proof*)

lemma *decr-grading-p-monomial*: *decr-grading-p* d n (*monomial* c v) = *monomial*
c (*decr-grading-term* d n v)
(*proof*)

lemma *decr-grading-p-plus*:

decr-grading-p d n (p + q) = (*decr-grading-p* d n p) + (*decr-grading-p* d n q)
(*proof*)

corollary *decr-grading-p-sum*: *decr-grading-p* d n (*sum* f A) = ($\sum_{a \in A} \text{decr-grading-p}$
d n (f a))
(*proof*)

lemma *decr-grading-p-monom-mult*:
assumes *hom-grading d*
shows $\text{decr-grading-p } d \ n \ (\text{monom-mult } c \ t \ p) = \text{monom-mult } c \ (\text{decr-grading } d \ n \ t) \ (\text{decr-grading-p } d \ n \ p)$
 $\langle \text{proof} \rangle$

lemma *decr-grading-p-mult-scalar*:
assumes *hom-grading d*
shows $\text{decr-grading-p } d \ n \ (p \odot q) = \text{punit.decr-grading-p } d \ n \ p \odot \text{decr-grading-p } d \ n \ q$
 $\langle \text{proof} \rangle$

lemma *decr-grading-p-keys-subset*: $\text{keys } (\text{decr-grading-p } d \ n \ p) \subseteq \text{decr-grading-term } d \ n \ \text{'keys } p$
 $\langle \text{proof} \rangle$

lemma *decr-grading-p-idI'*:
assumes *hom-grading d* **and** $\bigwedge v. v \in \text{keys } p \implies d \ (\text{pp-of-term } v) \leq n$
shows $\text{decr-grading-p } d \ n \ p = p$
 $\langle \text{proof} \rangle$

end

context *gd-term*
begin

lemma *decr-grading-p-idI*:
assumes *hom-grading d* **and** $p \in \text{dgrad-p-set } d \ m$
shows $\text{decr-grading-p } d \ m \ p = p$
 $\langle \text{proof} \rangle$

lemma *decr-grading-p-dgrad-p-setI*:
assumes *hom-grading d*
shows $\text{decr-grading-p } d \ m \ p \in \text{dgrad-p-set } d \ m$
 $\langle \text{proof} \rangle$

lemma (**in** *gd-term*) *in-pmdlE-dgrad-p-set*:
assumes *hom-grading d* **and** $B \subseteq \text{dgrad-p-set } d \ m$ **and** $p \in \text{dgrad-p-set } d \ m$ **and** $p \in \text{pmdl } B$
obtains $A \ q$ **where** *finite A* **and** $A \subseteq B$ **and** $\bigwedge b. q \ b \in \text{punit.dgrad-p-set } d \ m$
and $p = (\sum_{b \in A. q \ b \odot b})$
 $\langle \text{proof} \rangle$

end

end

17 Multivariate Polynomials with Power-Products Represented by Polynomial Mappings

theory *MPoly-PM*
imports *Quasi-PM-Power-Products*
begin

Many notions introduced in this theory for type $(x \Rightarrow_0 a) \Rightarrow_0 b$ closely resemble those introduced in *Polynomials.MPoly-Type* for type a *mpoly*.

lemma *monomial-single-power*:
 $(\text{monomial } c \text{ (Poly-Mapping.single } x \ k)) \wedge^n = \text{monomial } (c \wedge^n) \text{ (Poly-Mapping.single } x \ (k * n))$
 $\langle \text{proof} \rangle$

lemma *monomial-power-map-scale*: $(\text{monomial } c \ t) \wedge^n = \text{monomial } (c \wedge^n) \ (n \cdot t)$
 $\langle \text{proof} \rangle$

lemma *times-canc-left*:
assumes $h * p = h * q$ **and** $h \neq (0 :: (x :: \text{linorder} \Rightarrow_0 \text{nat}) \Rightarrow_0 a :: \text{ring-no-zero-divisors})$
shows $p = q$
 $\langle \text{proof} \rangle$

lemma *times-canc-right*:
assumes $p * h = q * h$ **and** $h \neq (0 :: (x :: \text{linorder} \Rightarrow_0 \text{nat}) \Rightarrow_0 a :: \text{ring-no-zero-divisors})$
shows $p = q$
 $\langle \text{proof} \rangle$

17.1 Degree

lemma *plus-minus-assoc-pm-nat-1*: $s + t - u = (s - (u - t)) + (t - (u :: - \Rightarrow_0 \text{nat}))$
 $\langle \text{proof} \rangle$

lemma *plus-minus-assoc-pm-nat-2*:
 $s + (t - u) = (s + (\text{except } (u - t) \ (- \ \text{keys } s))) + t - (u :: - \Rightarrow_0 \text{nat})$
 $\langle \text{proof} \rangle$

lemma *deg-pm-sum*: $\text{deg-pm } (\text{sum } t \ A) = (\sum a \in A. \ \text{deg-pm } (t \ a))$
 $\langle \text{proof} \rangle$

lemma *deg-pm-mono*: $s \ \text{adds } t \implies \text{deg-pm } s \leq \text{deg-pm } (t :: - \Rightarrow_0 \ - :: \text{add-linorder-min})$
 $\langle \text{proof} \rangle$

lemma *adds-deg-pm-antisym*: $s \ \text{adds } t \implies \text{deg-pm } t \leq \text{deg-pm } (s :: - \Rightarrow_0 \ - :: \text{add-linorder-min}) \implies s = t$
 $\langle \text{proof} \rangle$

lemma *deg-pm-minus*:

assumes s *adds* ($t::-\Rightarrow_0 -::\text{comm-monoid-add}$)
shows $\text{deg-pm } (t - s) = \text{deg-pm } t - \text{deg-pm } s$
 $\langle \text{proof} \rangle$

lemma *adds-group* [*simp*]: s *adds* ($t::'a \Rightarrow_0 'b::\text{ab-group-add}$)
 $\langle \text{proof} \rangle$

lemmas *deg-pm-minus-group* = *deg-pm-minus*[*OF adds-group*]

lemma *deg-pm-minus-le*: $\text{deg-pm } (t - s) \leq \text{deg-pm } (t::-\Rightarrow_0 \text{nat})$
 $\langle \text{proof} \rangle$

lemma *minus-id-iff*: $t - s = t \iff \text{keys } t \cap \text{keys } (s::-\Rightarrow_0 \text{nat}) = \{\}$
 $\langle \text{proof} \rangle$

lemma *deg-pm-minus-id-iff*: $\text{deg-pm } (t - s) = \text{deg-pm } t \iff \text{keys } t \cap \text{keys } (s::-\Rightarrow_0 \text{nat}) = \{\}$
 $\langle \text{proof} \rangle$

definition *poly-deg* :: ($'x \Rightarrow_0 'a::\text{add-linorder}$) $\Rightarrow_0 'b::\text{zero}$ $\Rightarrow 'a$ **where**
poly-deg $p = (\text{if } \text{keys } p = \{\} \text{ then } 0 \text{ else } \text{Max } (\text{deg-pm } ' \text{keys } p))$

definition *maxdeg* :: ($'x \Rightarrow_0 'a::\text{add-linorder}$) $\Rightarrow_0 'b::\text{zero}$ *set* $\Rightarrow 'a$ **where**
maxdeg $A = \text{Max } (\text{poly-deg } ' A)$

definition *mindeg* :: ($'x \Rightarrow_0 'a::\text{add-linorder}$) $\Rightarrow_0 'b::\text{zero}$ *set* $\Rightarrow 'a$ **where**
mindeg $A = \text{Min } (\text{poly-deg } ' A)$

lemma *poly-deg-monomial*: $\text{poly-deg } (\text{monomial } c \ t) = (\text{if } c = 0 \text{ then } 0 \text{ else } \text{deg-pm } t)$
 $\langle \text{proof} \rangle$

lemma *poly-deg-monomial-zero* [*simp*]: $\text{poly-deg } (\text{monomial } c \ 0) = 0$
 $\langle \text{proof} \rangle$

lemma *poly-deg-zero* [*simp*]: $\text{poly-deg } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *poly-deg-one* [*simp*]: $\text{poly-deg } 1 = 0$
 $\langle \text{proof} \rangle$

lemma *poly-degE*:
assumes $p \neq 0$
obtains t **where** $t \in \text{keys } p$ **and** $\text{poly-deg } p = \text{deg-pm } t$
 $\langle \text{proof} \rangle$

lemma *poly-deg-max-keys*: $t \in \text{keys } p \implies \text{deg-pm } t \leq \text{poly-deg } p$
 $\langle \text{proof} \rangle$

lemma *poly-deg-leI*: $(\bigwedge t. t \in \text{keys } p \implies \text{deg-pm } t \leq (d::'a::\text{add-linorder-min}))$
 $\implies \text{poly-deg } p \leq d$
 ⟨proof⟩

lemma *poly-deg-lessI*:
 $p \neq 0 \implies (\bigwedge t. t \in \text{keys } p \implies \text{deg-pm } t < (d::'a::\text{add-linorder-min})) \implies \text{poly-deg } p < d$
 ⟨proof⟩

lemma *poly-deg-zero-imp-monomial*:
assumes $\text{poly-deg } p = (0::'a::\text{add-linorder-min})$
shows $\text{monomial } (\text{lookup } p \ 0) \ 0 = p$
 ⟨proof⟩

lemma *poly-deg-plus-le*:
 $\text{poly-deg } (p + q) \leq \max (\text{poly-deg } p) (\text{poly-deg } (q::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -))$
 ⟨proof⟩

lemma *poly-deg-uminus* [*simp*]: $\text{poly-deg } (-p) = \text{poly-deg } p$
 ⟨proof⟩

lemma *poly-deg-minus-le*:
 $\text{poly-deg } (p - q) \leq \max (\text{poly-deg } p) (\text{poly-deg } (q::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -))$
 ⟨proof⟩

lemma *poly-deg-times-le*:
 $\text{poly-deg } (p * q) \leq \text{poly-deg } p + \text{poly-deg } (q::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -)$
 ⟨proof⟩

lemma *poly-deg-times*:
assumes $p \neq 0$ **and** $q \neq (0::('x::\text{linorder} \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
shows $\text{poly-deg } (p * q) = \text{poly-deg } p + \text{poly-deg } q$
 ⟨proof⟩

corollary *poly-deg-monom-mult-le*:
 $\text{poly-deg } (\text{punit.monom-mult } c \ (t::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -)) \leq \text{deg-pm } t + \text{poly-deg } p$
 ⟨proof⟩

lemma *poly-deg-monom-mult*:
assumes $c \neq 0$ **and** $p \neq (0::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$
shows $\text{poly-deg } (\text{punit.monom-mult } c \ t \ p) = \text{deg-pm } t + \text{poly-deg } p$
 ⟨proof⟩

lemma *poly-deg-map-scale*:
 $\text{poly-deg } (c \cdot p) = (\text{if } c = (0::-::\text{semiring-no-zero-divisors}) \text{ then } 0 \text{ else } \text{poly-deg } p)$
 ⟨proof⟩

lemma *poly-deg-sum-le*: $((\text{poly-deg } (\text{sum } f \ A))::'a::\text{add-linorder-min}) \leq \text{Max } (\text{poly-deg } 'f \ 'A)$
 ⟨proof⟩

lemma *poly-deg-prod-le*: $((\text{poly-deg } (\text{prod } f \ A))::'a::\text{add-linorder-min}) \leq (\sum a \in A. \text{poly-deg } (f \ a))$
 ⟨proof⟩

lemma *maxdeg-max*:
 assumes *finite A* and $p \in A$
 shows $\text{poly-deg } p \leq \text{maxdeg } A$
 ⟨proof⟩

lemma *mindeg-min*:
 assumes *finite A* and $p \in A$
 shows $\text{mindeg } A \leq \text{poly-deg } p$
 ⟨proof⟩

17.2 Indeterminates

definition *indets* :: $(('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{zero}) \Rightarrow 'x \text{ set}$
 where $\text{indets } p = \bigcup (\text{keys } ' \text{keys } p)$

definition *PPs* :: $'x \text{ set} \Rightarrow ('x \Rightarrow_0 \text{nat}) \text{ set } (.[(-)])$
 where $\text{PPs } X = \{t. \text{keys } t \subseteq X\}$

definition *Polys* :: $'x \text{ set} \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{zero}) \text{ set } (P[(-)])$
 where $\text{Polys } X = \{p. \text{keys } p \subseteq .[X]\}$

17.2.1 indets

lemma *in-indetsI*:
 assumes $x \in \text{keys } t$ and $t \in \text{keys } p$
 shows $x \in \text{indets } p$
 ⟨proof⟩

lemma *in-indetsE*:
 assumes $x \in \text{indets } p$
 obtains t where $t \in \text{keys } p$ and $x \in \text{keys } t$
 ⟨proof⟩

lemma *keys-subset-indets*: $t \in \text{keys } p \implies \text{keys } t \subseteq \text{indets } p$
 ⟨proof⟩

lemma *indets-empty-imp-monomial*:
 assumes $\text{indets } p = \{\}$
 shows $\text{monomial } (\text{lookup } p \ 0) \ 0 = p$
 ⟨proof⟩

lemma *finite-indets*: *finite* (*indets* p)
<proof>

lemma *indets-zero* [*simp*]: *indets* $0 = \{\}$
<proof>

lemma *indets-one* [*simp*]: *indets* $1 = \{\}$
<proof>

lemma *indets-monomial-single-subset*: *indets* (*monomial* c (*Poly-Mapping.single* v k)) $\subseteq \{v\}$
<proof>

lemma *indets-monomial-single*:
 assumes $c \neq 0$ **and** $k \neq 0$
 shows *indets* (*monomial* c (*Poly-Mapping.single* v k)) = $\{v\}$
<proof>

lemma *indets-monomial*:
 assumes $c \neq 0$
 shows *indets* (*monomial* c t) = *keys* t
<proof>

lemma *indets-monomial-subset*: *indets* (*monomial* c t) \subseteq *keys* t
<proof>

lemma *indets-monomial-zero* [*simp*]: *indets* (*monomial* c 0) = $\{\}$
<proof>

lemma *indets-plus-subset*: *indets* ($p + q$) \subseteq *indets* $p \cup$ *indets* q
<proof>

lemma *indets-uminus* [*simp*]: *indets* ($-p$) = *indets* p
<proof>

lemma *indets-minus-subset*: *indets* ($p - q$) \subseteq *indets* $p \cup$ *indets* q
<proof>

lemma *indets-times-subset*: *indets* ($p * q$) \subseteq *indets* $p \cup$ *indets* ($q :: (- \Rightarrow_0 - :: \text{cancel-comm-monoid-add}) \Rightarrow_0 -$)
<proof>

corollary *indets-monom-mult-subset*: *indets* (*punit.monom-mult* c t p) \subseteq *keys* $t \cup$ *indets* p
<proof>

lemma *indets-monom-mult*:
 assumes $c \neq 0$ **and** $p \neq (0 :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \text{semiring-no-zero-divisors})$
 shows *indets* (*punit.monom-mult* c t p) = *keys* $t \cup$ *indets* p

<proof>

lemma *indets-sum-subset*: $\text{indets } (\text{sum } f \ A) \subseteq (\bigcup a \in A. \text{indets } (f \ a))$
<proof>

lemma *indets-prod-subset*:
 $\text{indets } (\text{prod } (f :: - \Rightarrow ((- \Rightarrow_0 \text{::cancel-comm-monoid-add}) \Rightarrow_0 -)) \ A) \subseteq (\bigcup a \in A. \text{indets } (f \ a))$
<proof>

lemma *indets-power-subset*: $\text{indets } (p \ ^n) \subseteq \text{indets } (p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \text{comm-semiring-1})$
<proof>

lemma *indets-empty-iff-poly-deg-zero*: $\text{indets } p = \{\} \longleftrightarrow \text{poly-deg } p = 0$
<proof>

17.2.2 PPs

lemma *PPsI*: $\text{keys } t \subseteq X \Longrightarrow t \in \cdot[X]$
<proof>

lemma *PPsD*: $t \in \cdot[X] \Longrightarrow \text{keys } t \subseteq X$
<proof>

lemma *PPs-empty* [*simp*]: $\cdot[\{\}] = \{0\}$
<proof>

lemma *PPs-UNIV* [*simp*]: $\cdot[UNIV] = UNIV$
<proof>

lemma *PPs-singleton*: $\cdot[\{x\}] = \text{range } (\text{Poly-Mapping.single } x)$
<proof>

lemma *zero-in-PPs*: $0 \in \cdot[X]$
<proof>

lemma *PPs-mono*: $X \subseteq Y \Longrightarrow \cdot[X] \subseteq \cdot[Y]$
<proof>

lemma *PPs-closed-single*:
assumes $x \in X$
shows $\text{Poly-Mapping.single } x \in \cdot[X]$
<proof>

lemma *PPs-closed-plus*:
assumes $s \in \cdot[X]$ **and** $t \in \cdot[X]$
shows $s + t \in \cdot[X]$
<proof>

lemma *PPs-closed-minus*:

assumes $s \in .[X]$

shows $s - t \in .[X]$

<proof>

lemma *PPs-closed-adds*:

assumes $s \in .[X]$ **and** t *adds* s

shows $t \in .[X]$

<proof>

lemma *PPs-closed-gcs*:

assumes $s \in .[X]$

shows $gcs\ s\ t \in .[X]$

<proof>

lemma *PPs-closed-lcs*:

assumes $s \in .[X]$ **and** $t \in .[X]$

shows $lcs\ s\ t \in .[X]$

<proof>

lemma *PPs-closed-exception'*: $t \in .[X] \implies \text{except } t\ Y \in .[X - Y]$

<proof>

lemma *PPs-closed-exception*: $t \in .[X] \implies \text{except } t\ Y \in .[X]$

<proof>

lemma *PPs-UnI*:

assumes $tx \in .[X]$ **and** $ty \in .[Y]$ **and** $t = tx + ty$

shows $t \in .[X \cup Y]$

<proof>

lemma *PPs-UnE*:

assumes $t \in .[X \cup Y]$

obtains $tx\ ty$ **where** $tx \in .[X]$ **and** $ty \in .[Y]$ **and** $t = tx + ty$

<proof>

lemma *PPs-Un*: $.[X \cup Y] = (\bigcup t \in .[X]. (+)\ t\ ' .[Y])$ (**is** $?A = ?B$)

<proof>

corollary *PPs-insert*: $.[\text{insert } x\ X] = (\bigcup e. (+)\ (Poly-Mapping.single\ x\ e)\ ' .[X])$

<proof>

corollary *PPs-insertI*:

assumes $tx \in .[X]$ **and** $t = Poly-Mapping.single\ x\ e + tx$

shows $t \in .[\text{insert } x\ X]$

<proof>

corollary *PPs-insertE*:

assumes $t \in .[\text{insert } x\ X]$

obtains $e \text{ tx}$ **where** $tx \in .[X]$ **and** $t = \text{Poly-Mapping.single } x \ e + tx$
<proof>

lemma *PPs-Int*: $.[X \cap Y] = .[X] \cap .[Y]$
<proof>

lemma *PPs-INT*: $.[\bigcap X] = \bigcap (PPs \text{ ' } X)$
<proof>

17.2.3 Polys

lemma *Polys-alt*: $P[X] = \{p. \text{indets } p \subseteq X\}$
<proof>

lemma *PolysI*: $\text{keys } p \subseteq .[X] \implies p \in P[X]$
<proof>

lemma *PolysI-alt*: $\text{indets } p \subseteq X \implies p \in P[X]$
<proof>

lemma *PolysD*:
assumes $p \in P[X]$
shows $\text{keys } p \subseteq .[X]$ **and** $\text{indets } p \subseteq X$
<proof>

lemma *Polys-empty*: $P[\{\}] = ((\text{range } (\text{Poly-Mapping.single } 0))::('x \Rightarrow_0 \text{nat}) \Rightarrow_0$
 $'b::\text{zero}) \text{ set})$
<proof>

lemma *Polys-UNIV* [*simp*]: $P[\text{UNIV}] = \text{UNIV}$
<proof>

lemma *zero-in-Polys*: $0 \in P[X]$
<proof>

lemma *one-in-Polys*: $1 \in P[X]$
<proof>

lemma *Polys-mono*: $X \subseteq Y \implies P[X] \subseteq P[Y]$
<proof>

lemma *Polys-closed-monomial*: $t \in .[X] \implies \text{monomial } c \ t \in P[X]$
<proof>

lemma *Polys-closed-plus*: $p \in P[X] \implies q \in P[X] \implies p + q \in P[X]$
<proof>

lemma *Polys-closed-uminus*: $p \in P[X] \implies -p \in P[X]$
<proof>

lemma *Polys-closed-minus*: $p \in P[X] \implies q \in P[X] \implies p - q \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-monom-mult*: $t \in .[X] \implies p \in P[X] \implies \text{punit.monom-mult } c \ t \ p \in P[X]$
 ⟨proof⟩

corollary *Polys-closed-map-scale*: $p \in P[X] \implies (c::\text{semiring-0}) \cdot p \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-times*: $p \in P[X] \implies q \in P[X] \implies p * q \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-power*: $p \in P[X] \implies p \wedge m \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-sum*: $(\bigwedge a. a \in A \implies f \ a \in P[X]) \implies \text{sum } f \ A \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-prod*: $(\bigwedge a. a \in A \implies f \ a \in P[X]) \implies \text{prod } f \ A \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-sum-list*: $(\bigwedge x. x \in \text{set } xs \implies x \in P[X]) \implies \text{sum-list } xs \in P[X]$
 ⟨proof⟩

lemma *Polys-closed-except*: $p \in P[X] \implies \text{except } p \ T \in P[X]$
 ⟨proof⟩

lemma *times-in-PolysD*:

assumes $p * q \in P[X]$ **and** $p \in P[X]$ **and** $p \neq (0::('x::\text{linorder} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{semiring-no-zero-divisors})$

shows $q \in P[X]$

⟨proof⟩

lemma *poly-mapping-plus-induct-Polys* [consumes 1, case-names 0 plus]:

assumes $p \in P[X]$ **and** $P \ 0$

and $\bigwedge p \ c \ t. t \in .[X] \implies p \in P[X] \implies c \neq 0 \implies t \notin \text{keys } p \implies P \ p \implies P$
 (monomial $c \ t + p$)

shows $P \ p$

⟨proof⟩

lemma *Polys-Int*: $P[X \cap Y] = P[X] \cap P[Y]$
 ⟨proof⟩

lemma *Polys-INT*: $P[\bigcap X] = \bigcap (Polys \ ' X)$
 ⟨proof⟩

17.3 Substitution Homomorphism

The substitution homomorphism defined here is more general than *insertion*, since it replaces indeterminates by *polynomials* rather than coefficients, and therefore constructs new polynomials.

definition *subst-pp* :: $('x \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a)) \Rightarrow ('x \Rightarrow_0 \text{nat}) \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-semiring-1})$
where *subst-pp* $f\ t = (\prod_{x \in \text{keys } t} (f\ x) \wedge (\text{lookup } t\ x))$

definition *poly-subst* :: $('x \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a)) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-semiring-1})$
where *poly-subst* $f\ p = (\sum_{t \in \text{keys } p} \text{punit.monom-mult } (\text{lookup } p\ t)\ 0\ (\text{subst-pp } f\ t))$

lemma *subst-pp-alt*: $\text{subst-pp } f\ t = (\prod x. (f\ x) \wedge (\text{lookup } t\ x))$
 $\langle \text{proof} \rangle$

lemma *subst-pp-zero [simp]*: $\text{subst-pp } f\ 0 = 1$
 $\langle \text{proof} \rangle$

lemma *subst-pp-trivial-not-zero*:
assumes $t \neq 0$
shows $\text{subst-pp } (\lambda-. 0)\ t = (0 :: (- \Rightarrow_0 'b :: \text{comm-semiring-1}))$
 $\langle \text{proof} \rangle$

lemma *subst-pp-single*: $\text{subst-pp } f\ (\text{Poly-Mapping.single } x\ e) = (f\ x) \wedge e$
 $\langle \text{proof} \rangle$

corollary *subst-pp-trivial*: $\text{subst-pp } (\lambda-. 0)\ t = (\text{if } t = 0 \text{ then } 1 \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *power-lookup-not-one-subset-keys*: $\{x. f\ x \wedge (\text{lookup } t\ x) \neq 1\} \subseteq \text{keys } t$
 $\langle \text{proof} \rangle$

corollary *finite-power-lookup-not-one*: $\text{finite } \{x. f\ x \wedge (\text{lookup } t\ x) \neq 1\}$
 $\langle \text{proof} \rangle$

lemma *subst-pp-plus*: $\text{subst-pp } f\ (s + t) = \text{subst-pp } f\ s * \text{subst-pp } f\ t$
 $\langle \text{proof} \rangle$

lemma *subst-pp-id*:
assumes $\bigwedge x. x \in \text{keys } t \implies f\ x = \text{monomial } 1\ (\text{Poly-Mapping.single } x\ 1)$
shows $\text{subst-pp } f\ t = \text{monomial } 1\ t$
 $\langle \text{proof} \rangle$

lemma *in-indets-subst-ppE*:
assumes $x \in \text{indets } (\text{subst-pp } f\ t)$
obtains y **where** $y \in \text{keys } t$ **and** $x \in \text{indets } (f\ y)$
 $\langle \text{proof} \rangle$

lemma *subst-pp-by-monomials*:

assumes $\bigwedge y. y \in \text{keys } t \implies f y = \text{monomial } (c y) (s y)$

shows $\text{subst-pp } f t = \text{monomial } (\prod_{y \in \text{keys } t}. (c y) ^{\text{lookup } t y} (\sum_{y \in \text{keys } t}. \text{lookup } t y \cdot s y))$

<proof>

lemma *poly-deg-subst-pp-eq-zeroI*:

assumes $\bigwedge x. x \in \text{keys } t \implies \text{poly-deg } (f x) = 0$

shows $\text{poly-deg } (\text{subst-pp } f t) = 0$

<proof>

lemma *poly-deg-subst-pp-le*:

assumes $\bigwedge x. x \in \text{keys } t \implies \text{poly-deg } (f x) \leq 1$

shows $\text{poly-deg } (\text{subst-pp } f t) \leq \text{deg-pm } t$

<proof>

lemma *poly-subst-alt*: $\text{poly-subst } f p = (\sum t. \text{punit.monom-mult } (\text{lookup } p t) 0 (\text{subst-pp } f t))$

<proof>

lemma *poly-subst-trivial [simp]*: $\text{poly-subst } (\lambda-. 0) p = \text{monomial } (\text{lookup } p 0) 0$

<proof>

lemma *poly-subst-zero [simp]*: $\text{poly-subst } f 0 = 0$

<proof>

lemma *monom-mult-lookup-not-zero-subset-keys*:

$\{t. \text{punit.monom-mult } (\text{lookup } p t) 0 (\text{subst-pp } f t) \neq 0\} \subseteq \text{keys } p$

<proof>

corollary *finite-monom-mult-lookup-not-zero*:

$\text{finite } \{t. \text{punit.monom-mult } (\text{lookup } p t) 0 (\text{subst-pp } f t) \neq 0\}$

<proof>

lemma *poly-subst-plus*: $\text{poly-subst } f (p + q) = \text{poly-subst } f p + \text{poly-subst } f q$

<proof>

lemma *poly-subst-uminus*: $\text{poly-subst } f (-p) = - \text{poly-subst } f (p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \text{comm-ring-1})$

<proof>

lemma *poly-subst-minus*:

$\text{poly-subst } f (p - q) = \text{poly-subst } f p - \text{poly-subst } f (q :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \text{comm-ring-1})$

<proof>

lemma *poly-subst-monomial*: $\text{poly-subst } f (\text{monomial } c t) = \text{punit.monom-mult } c 0 (\text{subst-pp } f t)$

<proof>

corollary *poly-subst-one* [*simp*]: $\text{poly-subst } f \ 1 = 1$

<proof>

lemma *poly-subst-times*: $\text{poly-subst } f \ (p * q) = \text{poly-subst } f \ p * \text{poly-subst } f \ q$

<proof>

corollary *poly-subst-monom-mult*:

$\text{poly-subst } f \ (\text{punit.monom-mult } c \ t \ p) = \text{punit.monom-mult } c \ 0 \ (\text{subst-pp } f \ t * \text{poly-subst } f \ p)$

<proof>

corollary *poly-subst-monom-mult'*:

$\text{poly-subst } f \ (\text{punit.monom-mult } c \ t \ p) = (\text{punit.monom-mult } c \ 0 \ (\text{subst-pp } f \ t)) * \text{poly-subst } f \ p$

<proof>

lemma *poly-subst-sum*: $\text{poly-subst } f \ (\text{sum } p \ A) = (\sum a \in A. \text{poly-subst } f \ (p \ a))$

<proof>

lemma *poly-subst-prod*: $\text{poly-subst } f \ (\text{prod } p \ A) = (\prod a \in A. \text{poly-subst } f \ (p \ a))$

<proof>

lemma *poly-subst-power*: $\text{poly-subst } f \ (p \ ^n) = (\text{poly-subst } f \ p) \ ^n$

<proof>

lemma *poly-subst-subst-pp*: $\text{poly-subst } f \ (\text{subst-pp } g \ t) = \text{subst-pp } (\lambda x. \text{poly-subst } f \ (g \ x)) \ t$

<proof>

lemma *poly-subst-poly-subst*: $\text{poly-subst } f \ (\text{poly-subst } g \ p) = \text{poly-subst } (\lambda x. \text{poly-subst } f \ (g \ x)) \ p$

<proof>

lemma *poly-subst-id*:

assumes $\bigwedge x. x \in \text{indets } p \implies f \ x = \text{monomial } 1 \ (\text{Poly-Mapping.single } x \ 1)$

shows $\text{poly-subst } f \ p = p$

<proof>

lemma *in-keys-poly-substE*:

assumes $t \in \text{keys } (\text{poly-subst } f \ p)$

obtains s **where** $s \in \text{keys } p$ **and** $t \in \text{keys } (\text{subst-pp } f \ s)$

<proof>

lemma *in-indets-poly-substE*:

assumes $x \in \text{indets } (\text{poly-subst } f \ p)$

obtains y **where** $y \in \text{indets } p$ **and** $x \in \text{indets } (f \ y)$

<proof>

lemma *poly-deg-poly-subst-eq-zeroI*:

assumes $\bigwedge x. x \in \text{indets } p \implies \text{poly-deg } (f x) = 0$
shows $\text{poly-deg } (\text{poly-subst } (f :: - \Rightarrow (('y \Rightarrow_0 -) \Rightarrow_0 -)) (p :: ('x \Rightarrow_0 -) \Rightarrow_0 'b :: \text{comm-semiring-1})) = 0$
 $\langle \text{proof} \rangle$

lemma *poly-deg-poly-subst-le*:

assumes $\bigwedge x. x \in \text{indets } p \implies \text{poly-deg } (f x) \leq 1$
shows $\text{poly-deg } (\text{poly-subst } (f :: - \Rightarrow (('y \Rightarrow_0 -) \Rightarrow_0 -)) (p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b :: \text{comm-semiring-1})) \leq \text{poly-deg } p$
 $\langle \text{proof} \rangle$

lemma *subst-pp-cong*: $s = t \implies (\bigwedge x. x \in \text{keys } t \implies f x = g x) \implies \text{subst-pp } f s = \text{subst-pp } g t$
 $\langle \text{proof} \rangle$

lemma *poly-subst-cong*:

assumes $p = q$ **and** $\bigwedge x. x \in \text{indets } q \implies f x = g x$
shows $\text{poly-subst } f p = \text{poly-subst } g q$
 $\langle \text{proof} \rangle$

lemma *Polys-homomorphismE*:

obtains h **where** $\bigwedge p q. h (p + q) = h p + h q$ **and** $\bigwedge p q. h (p * q) = h p * h q$
and $\bigwedge p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-ring-1}. h (h p) = h p$ **and** $\text{range } h = P[X]$
 $\langle \text{proof} \rangle$

lemma *in-idealE-Polys-finite*:

assumes *finite* B **and** $B \subseteq P[X]$ **and** $p \in P[X]$ **and** $(p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-ring-1}) \in \text{ideal } B$
obtains q **where** $\bigwedge b. q b \in P[X]$ **and** $p = (\sum b \in B. q b * b)$
 $\langle \text{proof} \rangle$

corollary *in-idealE-Polys*:

assumes $B \subseteq P[X]$ **and** $p \in P[X]$ **and** $p \in \text{ideal } B$
obtains $A q$ **where** *finite* A **and** $A \subseteq B$ **and** $\bigwedge b. q b \in P[X]$ **and** $p = (\sum b \in A. q b * b)$
 $\langle \text{proof} \rangle$

lemma *ideal-induct-Polys* [*consumes 3, case-names 0 plus*]:

assumes $F \subseteq P[X]$ **and** $p \in P[X]$ **and** $p \in \text{ideal } F$
assumes $P \ 0$ **and** $\bigwedge c q h. c \in P[X] \implies q \in F \implies P h \implies h \in P[X] \implies P (c * q + h)$
shows $P (p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-ring-1})$
 $\langle \text{proof} \rangle$

lemma *image-poly-subst-ideal-subset*: $\text{poly-subst } g \text{ ` ideal } F \subseteq \text{ideal } (\text{poly-subst } g \text{ ` } F)$
 $\langle \text{proof} \rangle$

17.4 Evaluating Polynomials

lemma *lookup-times-zero*:

$lookup (p * q) 0 = lookup p 0 * lookup q (0::'a::\{comm-powerprod, ninv-comm-monoid-add\})$
 $\langle proof \rangle$

corollary *lookup-prod-zero*:

$lookup (prod f I) 0 = (\prod i \in I. lookup (f i) (0::'a::\{comm-powerprod, ninv-comm-monoid-add\}))$
 $\langle proof \rangle$

corollary *lookup-power-zero*:

$lookup (p \wedge k) 0 = lookup p (0::'a::\{comm-powerprod, ninv-comm-monoid-add\}) \wedge k$
 $\langle proof \rangle$

definition *poly-eval* :: $('x \Rightarrow 'a) \Rightarrow (('x \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow 'a::comm-semiring-1$

where $poly-eval a p = lookup (poly-subst (\lambda y. monomial (a y) (0::'x \Rightarrow_0 nat))) p 0$

lemma *poly-eval-alt*: $poly-eval a p = (\sum t \in keys p. lookup p t * (\prod x \in keys t. a x \wedge lookup t x))$
 $\langle proof \rangle$

lemma *poly-eval-monomial*: $poly-eval a (monomial c t) = c * (\prod x \in keys t. a x \wedge lookup t x)$
 $\langle proof \rangle$

lemma *poly-eval-zero [simp]*: $poly-eval a 0 = 0$
 $\langle proof \rangle$

lemma *poly-eval-zero-left [simp]*: $poly-eval 0 p = lookup p 0$
 $\langle proof \rangle$

lemma *poly-eval-plus*: $poly-eval a (p + q) = poly-eval a p + poly-eval a q$
 $\langle proof \rangle$

lemma *poly-eval-uminus [simp]*: $poly-eval a (- p) = - poly-eval (a::comm-ring-1) p$
 $\langle proof \rangle$

lemma *poly-eval-minus*: $poly-eval a (p - q) = poly-eval a p - poly-eval (a::comm-ring-1) q$
 $\langle proof \rangle$

lemma *poly-eval-one [simp]*: $poly-eval a 1 = 1$
 $\langle proof \rangle$

lemma *poly-eval-times*: $poly-eval a (p * q) = poly-eval a p * poly-eval a q$
 $\langle proof \rangle$

lemma *poly-eval-power*: $\text{poly-eval } a (p \wedge m) = \text{poly-eval } a p \wedge m$
 ⟨proof⟩

lemma *poly-eval-sum*: $\text{poly-eval } a (\text{sum } f I) = (\sum i \in I. \text{poly-eval } a (f i))$
 ⟨proof⟩

lemma *poly-eval-prod*: $\text{poly-eval } a (\text{prod } f I) = (\prod i \in I. \text{poly-eval } a (f i))$
 ⟨proof⟩

lemma *poly-eval-cong*: $p = q \implies (\bigwedge x. x \in \text{indets } q \implies a x = b x) \implies \text{poly-eval } a p = \text{poly-eval } b q$
 ⟨proof⟩

lemma *indets-poly-eval-subset*:
 $\text{indets } (\text{poly-eval } a p) \subseteq \bigcup (\text{indets } \text{' } a \text{' } \text{indets } p) \cup \bigcup (\text{indets } \text{' } \text{lookup } p \text{' } \text{keys } p)$
 ⟨proof⟩

lemma *image-poly-eval-ideal*: $\text{poly-eval } a \text{' } \text{ideal } F = \text{ideal } (\text{poly-eval } a \text{' } F)$
 ⟨proof⟩

17.5 Replacing Indeterminates

definition *map-indets* where $\text{map-indets } f = \text{poly-subst } (\lambda x. \text{monomial } 1 (\text{Poly-Mapping.single } (f x) 1))$

lemma
 shows *map-indets-zero* [simp]: $\text{map-indets } f 0 = 0$
 and *map-indets-one* [simp]: $\text{map-indets } f 1 = 1$
 and *map-indets-uminus* [simp]: $\text{map-indets } f (- r) = - \text{map-indets } f r$ (r::- => 0
 -::comm-ring-1)
 and *map-indets-plus*: $\text{map-indets } f (p + q) = \text{map-indets } f p + \text{map-indets } f q$
 and *map-indets-minus*: $\text{map-indets } f (r - s) = \text{map-indets } f r - \text{map-indets } f s$
 and *map-indets-times*: $\text{map-indets } f (p * q) = \text{map-indets } f p * \text{map-indets } f q$
 and *map-indets-power* [simp]: $\text{map-indets } f (p \wedge m) = \text{map-indets } f p \wedge m$
 and *map-indets-sum*: $\text{map-indets } f (\text{sum } g A) = (\sum a \in A. \text{map-indets } f (g a))$
 and *map-indets-prod*: $\text{map-indets } f (\text{prod } g A) = (\prod a \in A. \text{map-indets } f (g a))$
 ⟨proof⟩

lemma *map-indets-monomial*:
 $\text{map-indets } f (\text{monomial } c t) = \text{monomial } c (\sum x \in \text{keys } t. \text{Poly-Mapping.single } (f x) (\text{lookup } t x))$
 ⟨proof⟩

lemma *map-indets-id*: $(\bigwedge x. x \in \text{indets } p \implies f x = x) \implies \text{map-indets } f p = p$
 ⟨proof⟩

lemma *map-indets-map-indets*: $\text{map-indets } f (\text{map-indets } g p) = \text{map-indets } (f \circ g) p$

$g) p$
 $\langle \text{proof} \rangle$

lemma *map-indets-cong*: $p = q \implies (\bigwedge x. x \in \text{indets } q \implies f x = g x) \implies \text{map-indets } f p = \text{map-indets } g q$
 $\langle \text{proof} \rangle$

lemma *poly-subst-map-indets*: $\text{poly-subst } f (\text{map-indets } g p) = \text{poly-subst } (f \circ g) p$
 $\langle \text{proof} \rangle$

lemma *poly-eval-map-indets*: $\text{poly-eval } a (\text{map-indets } g p) = \text{poly-eval } (a \circ g) p$
 $\langle \text{proof} \rangle$

lemma *map-indets-inverseE-Polys*:
assumes *inj-on* f X **and** $p \in P[X]$
shows $\text{map-indets } (\text{the-inv-into } X f) (\text{map-indets } f p) = p$
 $\langle \text{proof} \rangle$

lemma *map-indets-inverseE*:
assumes *inj* f
obtains g **where** $g = \text{the-inv } f$ **and** $g \circ f = \text{id}$ **and** $\text{map-indets } g \circ \text{map-indets } f = \text{id}$
 $\langle \text{proof} \rangle$

lemma *indets-map-indets-subset*: $\text{indets } (\text{map-indets } f (p :: \Rightarrow_0 'a :: \text{comm-semiring-1})) \subseteq f ' \text{indets } p$
 $\langle \text{proof} \rangle$

corollary *map-indets-in-Polys*: $\text{map-indets } f p \in P[f ' \text{indets } p]$
 $\langle \text{proof} \rangle$

lemma *indets-map-indets*:
assumes *inj-on* f ($\text{indets } p$)
shows $\text{indets } (\text{map-indets } f p) = f ' \text{indets } p$
 $\langle \text{proof} \rangle$

lemma *image-map-indets-Polys*: $\text{map-indets } f ' P[X] = (P[f ' X] :: (- \Rightarrow_0 'a :: \text{comm-semiring-1}) \text{ set})$
 $\langle \text{proof} \rangle$

corollary *range-map-indets*: $\text{range } (\text{map-indets } f) = P[\text{range } f]$
 $\langle \text{proof} \rangle$

lemma *in-keys-map-indetsE*:
assumes $t \in \text{keys } (\text{map-indets } f (p :: \Rightarrow_0 'a :: \text{comm-semiring-1}))$
obtains s **where** $s \in \text{keys } p$ **and** $t = (\sum_{x \in \text{keys } s} \text{Poly-Mapping.single } (f x))$
(*lookup* s x)
 $\langle \text{proof} \rangle$

lemma *keys-map-indets-subset*:

keys (map-indets f p) \subseteq ($\lambda t. \sum x \in \text{keys } t. \text{Poly-Mapping.single } (f x) (\text{lookup } t x)$)
' *keys* p
<proof>

lemma *keys-map-indets*:

assumes *inj-on* f (*indets* p)
shows *keys* (map-indets f p) = ($\lambda t. \sum x \in \text{keys } t. \text{Poly-Mapping.single } (f x) (\text{lookup } t x)$) ' *keys* p
<proof>

lemma *poly-deg-map-indets-le*: *poly-deg* (map-indets f p) \leq *poly-deg* p
<proof>

lemma *poly-deg-map-indets*:

assumes *inj-on* f (*indets* p)
shows *poly-deg* (map-indets f p) = *poly-deg* p
<proof>

lemma *map-indets-inj-on-PolysI*:

assumes *inj-on* (f::'x \Rightarrow 'y) X
shows *inj-on* ((map-indets f)::- \Rightarrow - \Rightarrow_0 'a::comm-semiring-1) P[X]
<proof>

lemma *map-indets-injI*:

assumes *inj* f
shows *inj* (map-indets f)
<proof>

lemma *image-map-indets-ideal*:

assumes *inj* f
shows map-indets f ' ideal F = ideal (map-indets f ' (F::(- \Rightarrow_0 'a::comm-ring-1) set)) \cap P[range f]
<proof>

17.6 Homogeneity

definition *homogeneous* :: (('x \Rightarrow_0 nat) \Rightarrow_0 'a::zero) \Rightarrow bool

where *homogeneous* p \longleftrightarrow ($\forall s \in \text{keys } p. \forall t \in \text{keys } p. \text{deg-pm } s = \text{deg-pm } t$)

definition *hom-component* :: (('x \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow nat \Rightarrow (('x \Rightarrow_0 nat) \Rightarrow_0 'a::zero)

where *hom-component* p n = except p {t. deg-pm t \neq n}

definition *hom-components* :: (('x \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow (('x \Rightarrow_0 nat) \Rightarrow_0 'a::zero) set

where *hom-components* p = *hom-component* p ' deg-pm ' keys p

definition *homogeneous-set* :: $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{zero}) \text{set} \Rightarrow \text{bool}$
where *homogeneous-set* $A \longleftrightarrow (\forall a \in A. \forall n. \text{hom-component } a \ n \in A)$

lemma *homogeneousI*: $(\bigwedge s \ t. s \in \text{keys } p \implies t \in \text{keys } p \implies \text{deg-pm } s = \text{deg-pm } t) \implies \text{homogeneous } p$
 $\langle \text{proof} \rangle$

lemma *homogeneousD*: $\text{homogeneous } p \implies s \in \text{keys } p \implies t \in \text{keys } p \implies \text{deg-pm } s = \text{deg-pm } t$
 $\langle \text{proof} \rangle$

lemma *homogeneousD-poly-deg*:
assumes *homogeneous* p **and** $t \in \text{keys } p$
shows $\text{deg-pm } t = \text{poly-deg } p$
 $\langle \text{proof} \rangle$

lemma *homogeneous-monomial* [*simp*]: *homogeneous* (*monomial* $c \ t$)
 $\langle \text{proof} \rangle$

corollary *homogeneous-zero* [*simp*]: *homogeneous* 0 **and** *homogeneous-one* [*simp*]:
homogeneous 1
 $\langle \text{proof} \rangle$

lemma *homogeneous-uminus-iff* [*simp*]: *homogeneous* $(- \ p)$ \longleftrightarrow *homogeneous* p
 $\langle \text{proof} \rangle$

lemma *homogeneous-monom-mult*: *homogeneous* $p \implies$ *homogeneous* (*punit.monom-mult* $c \ t \ p$)
 $\langle \text{proof} \rangle$

lemma *homogeneous-monom-mult-rev*:
assumes $c \neq 0$ ($'a::\text{semiring-no-zero-divisors}$) **and** *homogeneous* (*punit.monom-mult* $c \ t \ p$)
shows *homogeneous* p
 $\langle \text{proof} \rangle$

lemma *homogeneous-times*:
assumes *homogeneous* p **and** *homogeneous* q
shows *homogeneous* $(p * q)$
 $\langle \text{proof} \rangle$

lemma *lookup-hom-component*: $\text{lookup } (\text{hom-component } p \ n) = (\lambda t. \text{lookup } p \ t$
when $\text{deg-pm } t = n)$
 $\langle \text{proof} \rangle$

lemma *keys-hom-component*: $\text{keys } (\text{hom-component } p \ n) = \{t. t \in \text{keys } p \wedge \text{deg-pm } t = n\}$
 $\langle \text{proof} \rangle$

lemma *keys-hom-componentD*:

assumes $t \in \text{keys } (\text{hom-component } p \ n)$

shows $t \in \text{keys } p$ **and** $\text{deg-pm } t = n$

<proof>

lemma *homogeneous-hom-component*: *homogeneous* (*hom-component* $p \ n$)

<proof>

lemma *hom-component-zero* [*simp*]: *hom-component* $0 = 0$

<proof>

lemma *hom-component-zero-iff*: *hom-component* $p \ n = 0 \iff (\forall t \in \text{keys } p. \text{deg-pm } t \neq n)$

<proof>

lemma *hom-component-uminus* [*simp*]: *hom-component* $(- p) = - \text{hom-component } p$

<proof>

lemma *hom-component-plus*: *hom-component* $(p + q) \ n = \text{hom-component } p \ n + \text{hom-component } q \ n$

<proof>

lemma *hom-component-minus*: *hom-component* $(p - q) \ n = \text{hom-component } p \ n - \text{hom-component } q \ n$

<proof>

lemma *hom-component-monom-mult*:

punit.monom-mult $c \ t$ (*hom-component* $p \ n$) = *hom-component* (*punit.monom-mult* $c \ t \ p$) ($\text{deg-pm } t + n$)

<proof>

lemma *hom-component-inject*:

assumes $t \in \text{keys } p$ **and** *hom-component* p ($\text{deg-pm } t$) = *hom-component* $p \ n$

shows $\text{deg-pm } t = n$

<proof>

lemma *hom-component-of-homogeneous*:

assumes *homogeneous* p

shows *hom-component* $p \ n = (p \ \text{when } n = \text{poly-deg } p)$

<proof>

lemma *hom-components-zero* [*simp*]: *hom-components* $0 = \{\}$

<proof>

lemma *hom-components-zero-iff* [*simp*]: *hom-components* $p = \{\} \iff p = 0$

<proof>

lemma *hom-components-uminus*: *hom-components* $(- p) = \text{uminus } \text{'hom-components}$

p
 $\langle \text{proof} \rangle$

lemma *hom-components-monom-mult:*

hom-components (*punit.monom-mult* c t p) = (if $c = 0$ then $\{\}$ else *punit.monom-mult* c t ' *hom-components* p)

for $c::'a::\text{semiring-no-zero-divisors}$

$\langle \text{proof} \rangle$

lemma *hom-componentsI:* $q = \text{hom-component } p \text{ (deg-pm } t) \implies t \in \text{keys } p \implies q \in \text{hom-components } p$

$\langle \text{proof} \rangle$

lemma *hom-componentsE:*

assumes $q \in \text{hom-components } p$

obtains t **where** $t \in \text{keys } p$ **and** $q = \text{hom-component } p \text{ (deg-pm } t)$

$\langle \text{proof} \rangle$

lemma *hom-components-of-homogeneous:*

assumes *homogeneous* p

shows *hom-components* $p = (\text{if } p = 0 \text{ then } \{\} \text{ else } \{p\})$

$\langle \text{proof} \rangle$

lemma *finite-hom-components:* *finite* (*hom-components* p)

$\langle \text{proof} \rangle$

lemma *hom-components-homogeneous:* $q \in \text{hom-components } p \implies \text{homogeneous } q$

$\langle \text{proof} \rangle$

lemma *hom-components-nonzero:* $q \in \text{hom-components } p \implies q \neq 0$

$\langle \text{proof} \rangle$

lemma *deg-pm-hom-components:*

assumes $q1 \in \text{hom-components } p$ **and** $q2 \in \text{hom-components } p$ **and** $t1 \in \text{keys } q1$ **and** $t2 \in \text{keys } q2$

shows $\text{deg-pm } t1 = \text{deg-pm } t2 \iff q1 = q2$

$\langle \text{proof} \rangle$

lemma *poly-deg-hom-components:*

assumes $q1 \in \text{hom-components } p$ **and** $q2 \in \text{hom-components } p$

shows $\text{poly-deg } q1 = \text{poly-deg } q2 \iff q1 = q2$

$\langle \text{proof} \rangle$

lemma *hom-components-keys-disjoint:*

assumes $q1 \in \text{hom-components } p$ **and** $q2 \in \text{hom-components } p$ **and** $q1 \neq q2$

shows $\text{keys } q1 \cap \text{keys } q2 = \{\}$

$\langle \text{proof} \rangle$

lemma *Keys-hom-components*: $Keys (hom-components p) = keys p$
<proof>

lemma *lookup-hom-components*: $q \in hom-components p \implies t \in keys q \implies lookup q t = lookup p t$
<proof>

lemma *poly-deg-hom-components-le*:
assumes $q \in hom-components p$
shows $poly-deg q \leq poly-deg p$
<proof>

lemma *sum-hom-components*: $\sum (hom-components p) = p$
<proof>

lemma *homogeneous-setI*: $(\bigwedge a n. a \in A \implies hom-component a n \in A) \implies homogeneous-set A$
<proof>

lemma *homogeneous-setD*: $homogeneous-set A \implies a \in A \implies hom-component a n \in A$
<proof>

lemma *homogeneous-set-Polys*: $homogeneous-set (P[X]::(- \Rightarrow_0 'a::zero) set)$
<proof>

lemma *homogeneous-set-IntI*: $homogeneous-set A \implies homogeneous-set B \implies homogeneous-set (A \cap B)$
<proof>

lemma *homogeneous-setD-hom-components*:
assumes $homogeneous-set A$ **and** $a \in A$ **and** $b \in hom-components a$
shows $b \in A$
<proof>

lemma *zero-in-homogeneous-set*:
assumes $homogeneous-set A$ **and** $A \neq \{\}$
shows $0 \in A$
<proof>

lemma *homogeneous-ideal*:
assumes $\bigwedge f. f \in F \implies homogeneous f$ **and** $p \in ideal F$
shows $hom-component p n \in ideal F$
<proof>

corollary *homogeneous-set-homogeneous-ideal*:
 $(\bigwedge f. f \in F \implies homogeneous f) \implies homogeneous-set (ideal F)$
<proof>

corollary *homogeneous-ideal'*:

assumes $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $p \in \text{ideal } F$ **and** $q \in \text{hom-components } p$

shows $q \in \text{ideal } F$

<proof>

lemma *homogeneous-idealE-homogeneous*:

assumes $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $p \in \text{ideal } F$ **and** *homogeneous* p
obtains $F' q$ **where** *finite* F' **and** $F' \subseteq F$ **and** $p = (\sum f \in F'. q f * f)$ **and** $\bigwedge f.$
homogeneous $(q f)$

and $\bigwedge f. f \in F' \implies \text{poly-deg } (q f * f) = \text{poly-deg } p$ **and** $\bigwedge f. f \notin F' \implies q f = 0$

<proof>

corollary *homogeneous-idealE*:

assumes $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $p \in \text{ideal } F$

obtains $F' q$ **where** *finite* F' **and** $F' \subseteq F$ **and** $p = (\sum f \in F'. q f * f)$

and $\bigwedge f. \text{poly-deg } (q f * f) \leq \text{poly-deg } p$ **and** $\bigwedge f. f \notin F' \implies q f = 0$

<proof>

corollary *homogeneous-idealE-finite*:

assumes *finite* F **and** $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $p \in \text{ideal } F$

obtains q **where** $p = (\sum f \in F. q f * f)$ **and** $\bigwedge f. \text{poly-deg } (q f * f) \leq \text{poly-deg } p$

<proof>

and $\bigwedge f. f \notin F \implies q f = 0$

<proof>

17.6.1 Homogenization and Dehomogenization

definition *homogenize* :: $'x \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{semiring-1})$

where *homogenize* $x p = (\sum t \in \text{keys } p. \text{monomial } (\text{lookup } p t) (\text{Poly-Mapping.single } x (\text{poly-deg } p - \text{deg-pm } t) + t))$

definition *dehomo-subst* :: $'x \Rightarrow 'x \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{zero-neg-one})$

where *dehomo-subst* $x = (\lambda y. \text{if } y = x \text{ then } 1 \text{ else monomial } 1 (\text{Poly-Mapping.single } y 1))$

definition *dehomogenize* :: $'x \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-semiring-1})$

where *dehomogenize* $x = \text{poly-subst } (\text{dehomo-subst } x)$

lemma *homogenize-zero [simp]*: *homogenize* $x 0 = 0$

<proof>

lemma *homogenize-uminus [simp]*: *homogenize* $x (- p) = - \text{homogenize } x (p :: \Rightarrow_0 'a :: \text{ring-1})$

<proof>

lemma *homogenize-monom-mult [simp]*:

$\text{homogenize } x \text{ (punit.monom-mult } c \text{ } t \text{ } p) = \text{punit.monom-mult } c \text{ } t \text{ (homogenize } x \text{ } p)$

for $c::'a::\{\text{semiring-1}, \text{semiring-no-zero-divisors-cancel}\}$
 $\langle \text{proof} \rangle$

lemma *homogenize-alt*:

$\text{homogenize } x \text{ } p = (\sum_{q \in \text{hom-components } p} \text{punit.monom-mult } 1 \text{ (Poly-Mapping.single } x \text{ (poly-deg } p - \text{poly-deg } q)) } q)$
 $\langle \text{proof} \rangle$

lemma *keys-homogenizeE*:

assumes $t \in \text{keys (homogenize } x \text{ } p)$
obtains t' **where** $t' \in \text{keys } p$ **and** $t = \text{Poly-Mapping.single } x \text{ (poly-deg } p - \text{deg-pm } t') + t'$
 $\langle \text{proof} \rangle$

lemma *keys-homogenizeE-alt*:

assumes $t \in \text{keys (homogenize } x \text{ } p)$
obtains $q \text{ } t'$ **where** $q \in \text{hom-components } p$ **and** $t' \in \text{keys } q$
and $t = \text{Poly-Mapping.single } x \text{ (poly-deg } p - \text{poly-deg } q) + t'$
 $\langle \text{proof} \rangle$

lemma *deg-pm-homogenize*:

assumes $t \in \text{keys (homogenize } x \text{ } p)$
shows $\text{deg-pm } t = \text{poly-deg } p$
 $\langle \text{proof} \rangle$

corollary *homogeneous-homogenize*: $\text{homogeneous (homogenize } x \text{ } p)$

$\langle \text{proof} \rangle$

corollary *poly-deg-homogenize-le*: $\text{poly-deg (homogenize } x \text{ } p) \leq \text{poly-deg } p$

$\langle \text{proof} \rangle$

lemma *homogenize-id-iff* [*simp*]: $\text{homogenize } x \text{ } p = p \iff \text{homogeneous } p$

$\langle \text{proof} \rangle$

lemma *homogenize-homogenize* [*simp*]: $\text{homogenize } x \text{ (homogenize } x \text{ } p) = \text{homogenize } x \text{ } p$

$\langle \text{proof} \rangle$

lemma *homogenize-monomial*: $\text{homogenize } x \text{ (monomial } c \text{ } t) = \text{monomial } c \text{ } t$

$\langle \text{proof} \rangle$

lemma *indets-homogenize-subset*: $\text{indets (homogenize } x \text{ } p) \subseteq \text{insert } x \text{ (indets } p)$

$\langle \text{proof} \rangle$

lemma *homogenize-in-Polys*: $p \in P[X] \implies \text{homogenize } x \text{ } p \in P[\text{insert } x \text{ } X]$

$\langle \text{proof} \rangle$

lemma *lookup-homogenize*:

assumes $x \notin \text{indets } p$ **and** $x \notin \text{keys } t$
shows $\text{lookup } (\text{homogenize } x \ p) \ (\text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t) = \text{lookup } p \ t$
(*proof*)

lemma *keys-homogenizeI*:

assumes $x \notin \text{indets } p$ **and** $t \in \text{keys } p$
shows $\text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t \in \text{keys } (\text{homogenize } x \ p)$ (**is** $?t \in \text{keys } ?p$)
(*proof*)

lemma *keys-homogenize*:

$x \notin \text{indets } p \implies \text{keys } (\text{homogenize } x \ p) = (\lambda t. \text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t) \text{ `keys } p$
(*proof*)

lemma *card-keys-homogenize*:

assumes $x \notin \text{indets } p$
shows $\text{card } (\text{keys } (\text{homogenize } x \ p)) = \text{card } (\text{keys } p)$
(*proof*)

lemma *poly-deg-homogenize*:

assumes $x \notin \text{indets } p$
shows $\text{poly-deg } (\text{homogenize } x \ p) = \text{poly-deg } p$
(*proof*)

lemma *maxdeg-homogenize*:

assumes $x \notin \bigcup (\text{indets } `F)$
shows $\text{maxdeg } (\text{homogenize } x \ `F) = \text{maxdeg } F$
(*proof*)

lemma *homogeneous-ideal-homogenize*:

assumes $\bigwedge f. f \in F \implies \text{homogeneous } f$ **and** $p \in \text{ideal } F$
shows $\text{homogenize } x \ p \in \text{ideal } F$
(*proof*)

lemma *subst-pp-dehomo-subst [simp]*:

$\text{subst-pp } (\text{dehomo-subst } x) \ t = \text{monomial } (1::'b::\text{comm-semiring-1}) \ (\text{except } t \ \{x\})$
(*proof*)

lemma

shows *dehomogenize-zero [simp]*: $\text{dehomogenize } x \ 0 = 0$
and *dehomogenize-one [simp]*: $\text{dehomogenize } x \ 1 = 1$
and *dehomogenize-monomial*: $\text{dehomogenize } x \ (\text{monomial } c \ t) = \text{monomial } c \ (\text{except } t \ \{x\})$
and *dehomogenize-plus*: $\text{dehomogenize } x \ (p + q) = \text{dehomogenize } x \ p + \text{dehomogenize } x \ q$
and *dehomogenize-uminus*: $\text{dehomogenize } x \ (- r) = - \text{dehomogenize } x \ (r::-$

\Rightarrow_0 $::$ *comm-ring-1*)

and *dehomogenize-minus*: $\text{dehomogenize } x (r - r') = \text{dehomogenize } x r - \text{dehomogenize } x r'$

and *dehomogenize-times*: $\text{dehomogenize } x (p * q) = \text{dehomogenize } x p * \text{dehomogenize } x q$

and *dehomogenize-power*: $\text{dehomogenize } x (p \wedge n) = \text{dehomogenize } x p \wedge n$

and *dehomogenize-sum*: $\text{dehomogenize } x (\text{sum } f A) = (\sum a \in A. \text{dehomogenize } x (f a))$

and *dehomogenize-prod*: $\text{dehomogenize } x (\text{prod } f A) = (\prod a \in A. \text{dehomogenize } x (f a))$
<proof>

corollary *dehomogenize-monom-mult*:

$\text{dehomogenize } x (\text{punit.monom-mult } c t p) = \text{punit.monom-mult } c (\text{except } t \{x\})$
 $(\text{dehomogenize } x p)$
<proof>

lemma *poly-deg-dehomogenize-le*: $\text{poly-deg } (\text{dehomogenize } x p) \leq \text{poly-deg } p$
<proof>

lemma *indets-dehomogenize*: $\text{indets } (\text{dehomogenize } x p) \subseteq \text{indets } p - \{x\}$
for $p :: (x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-semiring-1}$
<proof>

lemma *dehomogenize-id-iff [simp]*: $\text{dehomogenize } x p = p \iff x \notin \text{indets } p$
<proof>

lemma *dehomogenize-dehomogenize [simp]*: $\text{dehomogenize } x (\text{dehomogenize } x p) = \text{dehomogenize } x p$
<proof>

lemma *dehomogenize-homogenize [simp]*: $\text{dehomogenize } x (\text{homogenize } x p) = \text{dehomogenize } x p$
<proof>

corollary *dehomogenize-homogenize-id*: $x \notin \text{indets } p \implies \text{dehomogenize } x (\text{homogenize } x p) = p$
<proof>

lemma *range-dehomogenize*: $\text{range } (\text{dehomogenize } x) = (P[- \{x\}] :: (- \Rightarrow_0 'a :: \text{comm-semiring-1}) \text{set})$
<proof>

lemma *dehomogenize-alt*: $\text{dehomogenize } x p = (\sum t \in \text{keys } p. \text{monomial } (\text{lookup } p t) (\text{except } t \{x\}))$
<proof>

lemma *keys-dehomogenizeE*:

assumes $t \in \text{keys } (\text{dehomogenize } x p)$

obtains s **where** $s \in \text{keys } p$ **and** $t = \text{except } s \{x\}$
 ⟨proof⟩

lemma *except-inj-on-keys-homogeneous*:
assumes *homogeneous* p
shows *inj-on* $(\lambda t. \text{except } t \{x\})$ $(\text{keys } p)$
 ⟨proof⟩

lemma *lookup-dehomogenize*:
assumes *homogeneous* p **and** $t \in \text{keys } p$
shows *lookup* $(\text{dehomogenize } x \ p)$ $(\text{except } t \{x\}) = \text{lookup } p \ t$
 ⟨proof⟩

lemma *keys-dehomogenizeI*:
assumes *homogeneous* p **and** $t \in \text{keys } p$
shows $\text{except } t \{x\} \in \text{keys } (\text{dehomogenize } x \ p)$
 ⟨proof⟩

lemma *homogeneous-homogenize-dehomogenize*:
assumes *homogeneous* p
obtains d **where** $d = \text{poly-deg } p - \text{poly-deg } (\text{homogenize } x \ (\text{dehomogenize } x \ p))$
and $\text{punit.monom-mult } 1 \ (\text{Poly-Mapping.single } x \ d) \ (\text{homogenize } x \ (\text{dehomogenize } x \ p)) = p$
 ⟨proof⟩

lemma *dehomogenize-zeroD*:
assumes $\text{dehomogenize } x \ p = 0$ **and** *homogeneous* p
shows $p = 0$
 ⟨proof⟩

lemma *dehomogenize-ideal*: $\text{dehomogenize } x \ \text{ideal } F = \text{ideal } (\text{dehomogenize } x \ F) \cap P[- \{x\}]$
 ⟨proof⟩

corollary *dehomogenize-ideal-subset*: $\text{dehomogenize } x \ \text{ideal } F \subseteq \text{ideal } (\text{dehomogenize } x \ F)$
 ⟨proof⟩

lemma *ideal-dehomogenize*:
assumes $\text{ideal } G = \text{ideal } (\text{homogenize } x \ F)$ **and** $F \subseteq P[\text{UNIV} - \{x\}]$
shows $\text{ideal } (\text{dehomogenize } x \ G) = \text{ideal } F$
 ⟨proof⟩

17.7 Embedding Polynomial Rings in Larger Polynomial Rings (With One Additional Indeterminate)

We define a homomorphism for embedding a polynomial ring in a larger polynomial ring, and its inverse. This is mainly needed for homogenizing wrt. a fresh indeterminate.

definition *extend-indets-subst* :: 'x \Rightarrow ('x option \Rightarrow_0 nat) \Rightarrow_0 'a::comm-semiring-1
where *extend-indets-subst* x = monomial 1 (Poly-Mapping.single (Some x) 1)

definition *extend-indets* :: (('x \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow ('x option \Rightarrow_0 nat) \Rightarrow_0 'a::comm-semiring-1
where *extend-indets* = poly-subst *extend-indets-subst*

definition *restrict-indets-subst* :: 'x option \Rightarrow 'x \Rightarrow_0 nat
where *restrict-indets-subst* x = (case x of Some y \Rightarrow Poly-Mapping.single y 1 |
- \Rightarrow 0)

definition *restrict-indets* :: (('x option \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow ('x \Rightarrow_0 nat) \Rightarrow_0 'a::comm-semiring-1
where *restrict-indets* = poly-subst (λ x. monomial 1 (restrict-indets-subst x))

definition *restrict-indets-pp* :: ('x option \Rightarrow_0 nat) \Rightarrow ('x \Rightarrow_0 nat)
where *restrict-indets-pp* t = (\sum x \in keys t. lookup t x \cdot restrict-indets-subst x)

lemma *lookup-extend-indets-subst-aux*:
lookup (\sum y \in keys t. Poly-Mapping.single (Some y) (lookup t y)) = (λ x. case x
of Some y \Rightarrow lookup t y | - \Rightarrow 0)
<proof>

lemma *keys-extend-indets-subst-aux*:
keys (\sum y \in keys t. Poly-Mapping.single (Some y) (lookup t y)) = Some ' keys t
<proof>

lemma *subst-pp-extend-indets-subst*:
subst-pp *extend-indets-subst* t = monomial 1 (\sum y \in keys t. Poly-Mapping.single
(Some y) (lookup t y))
<proof>

lemma *keys-extend-indets*:
keys (*extend-indets* p) = (λ t. \sum y \in keys t. Poly-Mapping.single (Some y) (lookup
t y)) ' keys p
<proof>

lemma *indets-extend-indets*: *indets* (*extend-indets* p) = Some ' *indets* (p::- \Rightarrow_0
'a::comm-semiring-1)
<proof>

lemma *poly-deg-extend-indets* [simp]: *poly-deg* (*extend-indets* p) = *poly-deg* p
<proof>

lemma
shows *extend-indets-zero* [simp]: *extend-indets* 0 = 0
and *extend-indets-one* [simp]: *extend-indets* 1 = 1
and *extend-indets-monomial*: *extend-indets* (monomial c t) = punit.monom-mult
c 0 (*subst-pp extend-indets-subst* t)
and *extend-indets-plus*: *extend-indets* (p + q) = *extend-indets* p + *extend-indets*
q

and *extend-indets-uminus*: $\text{extend-indets } (- r) = - \text{extend-indets } (r :: - \Rightarrow_0$
 $- :: \text{comm-ring-1})$
and *extend-indets-minus*: $\text{extend-indets } (r - r') = \text{extend-indets } r - \text{extend-indets } r'$
and *extend-indets-times*: $\text{extend-indets } (p * q) = \text{extend-indets } p * \text{extend-indets } q$
and *extend-indets-power*: $\text{extend-indets } (p \wedge n) = \text{extend-indets } p \wedge n$
and *extend-indets-sum*: $\text{extend-indets } (\text{sum } f A) = (\sum a \in A. \text{extend-indets } (f a))$
and *extend-indets-prod*: $\text{extend-indets } (\text{prod } f A) = (\prod a \in A. \text{extend-indets } (f a))$
 $\langle \text{proof} \rangle$

lemma *extend-indets-zero-iff* [*simp*]: $\text{extend-indets } p = 0 \longleftrightarrow p = 0$
 $\langle \text{proof} \rangle$

lemma *extend-indets-inject*:
assumes $\text{extend-indets } p = \text{extend-indets } (q :: - \Rightarrow_0 - :: \text{comm-ring-1})$
shows $p = q$
 $\langle \text{proof} \rangle$

corollary *inj-extend-indets*: $\text{inj } (\text{extend-indets} :: - \Rightarrow - \Rightarrow_0 - :: \text{comm-ring-1})$
 $\langle \text{proof} \rangle$

lemma *poly-subst-extend-indets*: $\text{poly-subst } f (\text{extend-indets } p) = \text{poly-subst } (f \circ \text{Some}) p$
 $\langle \text{proof} \rangle$

lemma *poly-eval-extend-indets*: $\text{poly-eval } a (\text{extend-indets } p) = \text{poly-eval } (a \circ \text{Some}) p$
 $\langle \text{proof} \rangle$

lemma *lookup-restrict-indets-pp*: $\text{lookup } (\text{restrict-indets-pp } t) = (\lambda x. \text{lookup } t (\text{Some } x))$
 $\langle \text{proof} \rangle$

lemma *keys-restrict-indets-pp*: $\text{keys } (\text{restrict-indets-pp } t) = \text{the } ' (\text{keys } t - \{\text{None}\})$
 $\langle \text{proof} \rangle$

lemma *subst-pp-restrict-indets-subst*:
 $\text{subst-pp } (\lambda x. \text{monomial } 1 (\text{restrict-indets-subst } x)) t = \text{monomial } 1 (\text{restrict-indets-pp } t)$
 $\langle \text{proof} \rangle$

lemma *restrict-indets-pp-zero* [*simp*]: $\text{restrict-indets-pp } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *restrict-indets-pp-plus*: $\text{restrict-indets-pp } (s + t) = \text{restrict-indets-pp } s + \text{restrict-indets-pp } t$

<proof>

lemma *restrict-indets-pp-except-None* [simp]:
restrict-indets-pp (except t {None}) = restrict-indets-pp t
<proof>

lemma *deg-pm-restrict-indets-pp*: *deg-pm (restrict-indets-pp t) + lookup t None = deg-pm t*
<proof>

lemma *keys-restrict-indets-subset*: *keys (restrict-indets p) ⊆ restrict-indets-pp ‘ keys p*
<proof>

lemma *keys-restrict-indets*:
assumes *None ∉ indets p*
shows *keys (restrict-indets p) = restrict-indets-pp ‘ keys p*
<proof>

lemma *indets-restrict-indets-subset*: *indets (restrict-indets p) ⊆ the ‘ (indets p – {None})*
<proof>

lemma *poly-deg-restrict-indets-le*: *poly-deg (restrict-indets p) ≤ poly-deg p*
<proof>

lemma
shows *restrict-indets-zero* [simp]: *restrict-indets 0 = 0*
and *restrict-indets-one* [simp]: *restrict-indets 1 = 1*
and *restrict-indets-monomial*: *restrict-indets (monomial c t) = monomial c (restrict-indets-pp t)*
and *restrict-indets-plus*: *restrict-indets (p + q) = restrict-indets p + restrict-indets q*
and *restrict-indets-uminus*: *restrict-indets (– r) = – restrict-indets (r::– ⇒₀ –::comm-ring-1)*
and *restrict-indets-minus*: *restrict-indets (r – r′) = restrict-indets r – restrict-indets r′*
and *restrict-indets-times*: *restrict-indets (p * q) = restrict-indets p * restrict-indets q*
and *restrict-indets-power*: *restrict-indets (p ^ n) = restrict-indets p ^ n*
and *restrict-indets-sum*: *restrict-indets (sum f A) = (∑ a∈A. restrict-indets (f a))*
and *restrict-indets-prod*: *restrict-indets (prod f A) = (∏ a∈A. restrict-indets (f a))*
<proof>

lemma *restrict-extend-indets* [simp]: *restrict-indets (extend-indets p) = p*
<proof>

lemma *extend-restrict-indets*:

assumes $\text{None} \notin \text{indets } p$

shows $\text{extend-indets } (\text{restrict-indets } p) = p$

<proof>

lemma *restrict-indets-dehomogenize [simp]*: $\text{restrict-indets } (\text{dehomogenize } \text{None } p)$
 $= \text{restrict-indets } p$

<proof>

corollary *restrict-indets-comp-dehomogenize*: $\text{restrict-indets} \circ \text{dehomogenize } \text{None}$
 $= \text{restrict-indets}$

<proof>

corollary *extend-restrict-indets-eq-dehomogenize*:

$\text{extend-indets } (\text{restrict-indets } p) = \text{dehomogenize } \text{None } p$

<proof>

corollary *extend-indets-comp-restrict-indets*: $\text{extend-indets} \circ \text{restrict-indets} = \text{dehomogenize } \text{None}$

<proof>

lemma *restrict-homogenize-extend-indets [simp]*:

$\text{restrict-indets } (\text{homogenize } \text{None } (\text{extend-indets } p)) = p$

<proof>

lemma *dehomogenize-extend-indets [simp]*: $\text{dehomogenize } \text{None } (\text{extend-indets } p)$
 $= \text{extend-indets } p$

<proof>

lemma *restrict-indets-ideal*: $\text{restrict-indets } \text{' ideal } F = \text{ideal } (\text{restrict-indets } \text{' } F)$

<proof>

lemma *ideal-restrict-indets*:

$\text{ideal } G = \text{ideal } (\text{homogenize } \text{None } \text{' extend-indets } \text{' } F) \implies \text{ideal } (\text{restrict-indets } \text{' } G) = \text{ideal } F$

<proof>

lemma *extend-indets-ideal*: $\text{extend-indets } \text{' ideal } F = \text{ideal } (\text{extend-indets } \text{' } F) \cap P[- \{ \text{None} \}]$

<proof>

corollary *extend-indets-ideal-subset*: $\text{extend-indets } \text{' ideal } F \subseteq \text{ideal } (\text{extend-indets } \text{' } F)$

<proof>

17.8 Canonical Isomorphisms between $P[X, Y]$ and $P[X][Y]$: focus and flatten

definition $focus :: 'x\ set \Rightarrow (('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \Rightarrow (('x \Rightarrow_0\ nat) \Rightarrow_0\ ('x \Rightarrow_0\ nat) \Rightarrow_0\ 'a::comm-monoid-add)$

where $focus\ X\ p = (\sum\ t \in keys\ p.\ monomial\ (monomial\ (lookup\ p\ t)\ (except\ t\ X)))$

definition $flatten :: ('a \Rightarrow_0\ 'a \Rightarrow_0\ 'b) \Rightarrow ('a::comm-powerprod \Rightarrow_0\ 'b::semiring-1)$

where $flatten\ p = (\sum\ t \in keys\ p.\ punit.monom-mult\ 1\ t\ (lookup\ p\ t))$

lemma $focus-superset$:

assumes $finite\ A$ **and** $keys\ p \subseteq A$

shows $focus\ X\ p = (\sum\ t \in A.\ monomial\ (monomial\ (lookup\ p\ t)\ (except\ t\ X)))$

$\langle proof \rangle$

lemma $keys-focus$: $keys\ (focus\ X\ p) = (\lambda t.\ except\ t\ (-\ X))\ 'keys\ p$

$\langle proof \rangle$

lemma $keys-coeffs-focus-subset$:

assumes $c \in range\ (lookup\ (focus\ X\ p))$

shows $keys\ c \subseteq (\lambda t.\ except\ t\ X)\ 'keys\ p$

$\langle proof \rangle$

lemma $focus-in-Polys'$:

assumes $p \in P[Y]$

shows $focus\ X\ p \in P[Y \cap X]$

$\langle proof \rangle$

corollary $focus-in-Polys$: $focus\ X\ p \in P[X]$

$\langle proof \rangle$

lemma $focus-coeffs-subset-Polys'$:

assumes $p \in P[Y]$

shows $range\ (lookup\ (focus\ X\ p)) \subseteq P[Y - X]$

$\langle proof \rangle$

corollary $focus-coeffs-subset-Polys$: $range\ (lookup\ (focus\ X\ p)) \subseteq P[-\ X]$

$\langle proof \rangle$

corollary $lookup-focus-in-Polys$: $lookup\ (focus\ X\ p)\ t \in P[-\ X]$

$\langle proof \rangle$

lemma $focus-zero\ [simp]$: $focus\ X\ 0 = 0$

$\langle proof \rangle$

lemma $focus-eq-zero-iff\ [iff]$: $focus\ X\ p = 0 \iff p = 0$

$\langle proof \rangle$

lemma *focus-one* [*simp*]: $\text{focus } X \ 1 = 1$
<proof>

lemma *focus-monomial*: $\text{focus } X \ (\text{monomial } c \ t) = \text{monomial} \ (\text{monomial } c \ (\text{except } t \ X)) \ (\text{except } t \ (- \ X))$
<proof>

lemma *focus-uminus* [*simp*]: $\text{focus } X \ (- \ p) = - \ \text{focus } X \ p$
<proof>

lemma *focus-plus*: $\text{focus } X \ (p + q) = \text{focus } X \ p + \text{focus } X \ q$
<proof>

lemma *focus-minus*: $\text{focus } X \ (p - q) = \text{focus } X \ p - \text{focus } X \ (q :: - \Rightarrow_0 \ - :: \text{ab-group-add})$
<proof>

lemma *focus-times*: $\text{focus } X \ (p * q) = \text{focus } X \ p * \text{focus } X \ q$
<proof>

lemma *focus-sum*: $\text{focus } X \ (\text{sum } f \ I) = (\sum i \in I. \ \text{focus } X \ (f \ i))$
<proof>

lemma *focus-prod*: $\text{focus } X \ (\text{prod } f \ I) = (\prod i \in I. \ \text{focus } X \ (f \ i))$
<proof>

lemma *focus-power* [*simp*]: $\text{focus } X \ (f \ ^m) = \text{focus } X \ f \ ^m$
<proof>

lemma *focus-Polys*:
 assumes $p \in P[X]$
 shows $\text{focus } X \ p = (\sum t \in \text{keys } p. \ \text{monomial} \ (\text{monomial} \ (\text{lookup } p \ t) \ 0) \ t)$
<proof>

corollary *lookup-focus-Polys*: $p \in P[X] \implies \text{lookup} \ (\text{focus } X \ p) \ t = \text{monomial} \ (\text{lookup } p \ t) \ 0$
<proof>

lemma *focus-Polys-Compl*:
 assumes $p \in P[- \ X]$
 shows $\text{focus } X \ p = \text{monomial } p \ 0$
<proof>

corollary *focus-empty* [*simp*]: $\text{focus } \{\} \ p = \text{monomial } p \ 0$
<proof>

lemma *focus-Int*:
 assumes $p \in P[Y]$
 shows $\text{focus } (X \cap Y) \ p = \text{focus } X \ p$
<proof>

lemma *range-focusD*:

assumes $p \in \text{range } (\text{focus } X)$

shows $p \in P[X]$ **and** $\text{range } (\text{lookup } p) \subseteq P[- X]$ **and** $\text{lookup } p \ t \in P[- X]$

<proof>

lemma *range-focusI*:

assumes $p \in P[X]$ **and** $\text{lookup } p \text{ 'keys } (p::-\Rightarrow_0 -\Rightarrow_0 -::\text{semiring-1}) \subseteq P[- X]$

shows $p \in \text{range } (\text{focus } X)$

<proof>

lemma *inj-focus*: $\text{inj } ((\text{focus } X) :: (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{ab-group-add}) \Rightarrow -)$

<proof>

lemma *flatten-superset*:

assumes *finite* A **and** $\text{keys } p \subseteq A$

shows $\text{flatten } p = (\sum t \in A. \text{punit.monom-mult } 1 \ t \ (\text{lookup } p \ t))$

<proof>

lemma *keys-flatten-subset*: $\text{keys } (\text{flatten } p) \subseteq (\bigcup t \in \text{keys } p. (+) \ t \ \text{'keys } (\text{lookup } p \ t))$

<proof>

lemma *flatten-in-Polys*:

assumes $p \in P[X]$ **and** $\text{lookup } p \ \text{'keys } p \subseteq P[Y]$

shows $\text{flatten } p \in P[X \cup Y]$

<proof>

lemma *flatten-zero [simp]*: $\text{flatten } 0 = 0$

<proof>

lemma *flatten-one [simp]*: $\text{flatten } 1 = 1$

<proof>

lemma *flatten-monomial*: $\text{flatten } (\text{monomial } c \ t) = \text{punit.monom-mult } 1 \ t \ c$

<proof>

lemma *flatten-uminus [simp]*: $\text{flatten } (- \ p) = - \ \text{flatten } (p::-\Rightarrow_0 -\Rightarrow_0 -::\text{ring})$

<proof>

lemma *flatten-plus*: $\text{flatten } (p + q) = \text{flatten } p + \text{flatten } q$

<proof>

lemma *flatten-minus*: $\text{flatten } (p - q) = \text{flatten } p - \text{flatten } (q::-\Rightarrow_0 -\Rightarrow_0 -::\text{ring})$

<proof>

lemma *flatten-times*: $\text{flatten } (p * q) = \text{flatten } p * \text{flatten } (q::-\Rightarrow_0 -\Rightarrow_0 'b::\text{comm-semiring-1})$

<proof>

lemma *flatten-monom-mult*:

$flatten (punit.monom-mult\ c\ t\ p) = punit.monom-mult\ 1\ t\ (c * flatten\ (p::-\Rightarrow_0 -\Rightarrow_0\ 'b::comm-semiring-1))$
 $\langle proof \rangle$

lemma *flatten-sum*: $flatten\ (sum\ f\ I) = (\sum\ i \in I. flatten\ (f\ i))$
 $\langle proof \rangle$

lemma *flatten-prod*: $flatten\ (prod\ f\ I) = (\prod\ i \in I. flatten\ (f\ i :: -\Rightarrow_0 -::comm-semiring-1))$
 $\langle proof \rangle$

lemma *flatten-power [simp]*: $flatten\ (f\ ^\ m) = flatten\ (f :: -\Rightarrow_0 -::comm-semiring-1)$
 $\langle proof \rangle$

lemma *surj-flatten*: *surj flatten*
 $\langle proof \rangle$

lemma *flatten-focus [simp]*: $flatten\ (focus\ X\ p) = p$
 $\langle proof \rangle$

lemma *focus-flatten*:
assumes $p \in P[X]$ **and** $lookup\ p\ 'keys\ p \subseteq P[-\ X]$
shows $focus\ X\ (flatten\ p) = p$
 $\langle proof \rangle$

lemma *image-focus-ideal*: $focus\ X\ 'ideal\ F = ideal\ (focus\ X\ 'F) \cap range\ (focus\ X)$
 $\langle proof \rangle$

lemma *image-flatten-ideal*: $flatten\ 'ideal\ F = ideal\ (flatten\ 'F)$
 $\langle proof \rangle$

lemma *poly-eval-focus*:
 $poly-eval\ a\ (focus\ X\ p) = poly-subst\ (\lambda x. if\ x \in X\ then\ a\ x\ else\ monomial\ 1\ (Poly-Mapping.single\ x\ 1))\ p$
 $\langle proof \rangle$

corollary *poly-eval-poly-eval-focus*:
 $poly-eval\ a\ (poly-eval\ b\ (focus\ X\ p)) = poly-eval\ (\lambda x::'x. if\ x \in X\ then\ poly-eval\ a\ (b\ x)\ else\ a\ x)\ p$
 $\langle proof \rangle$

lemma *indets-poly-eval-focus-subset*:
 $indets\ (poly-eval\ a\ (focus\ X\ p)) \subseteq \bigcup\ (indets\ 'a\ 'X) \cup (indets\ p - X)$
 $\langle proof \rangle$

lemma *lookup-poly-eval-focus*:
 $lookup\ (poly-eval\ (\lambda x. monomial\ (a\ x)\ 0)\ (focus\ X\ p))\ t = poly-eval\ a\ (lookup\$

$(\text{focus } (- X) p) t$
 $\langle \text{proof} \rangle$

lemma *keys-poly-eval-focus-subset*:

$\text{keys } (\text{poly-eval } (\lambda x. \text{monomial } (a x) 0) (\text{focus } X p)) \subseteq (\lambda t. \text{except } t X) \text{ 'keys } p$
 $\langle \text{proof} \rangle$

lemma *poly-eval-focus-in-Polys*:

assumes $p \in P[X]$
shows $\text{poly-eval } (\lambda x. \text{monomial } (a x) 0) (\text{focus } Y p) \in P[X - Y]$
 $\langle \text{proof} \rangle$

lemma *image-poly-eval-focus-ideal*:

$\text{poly-eval } (\lambda x. \text{monomial } (a x) 0) \text{ 'focus } X \text{ 'ideal } F =$
 $\text{ideal } (\text{poly-eval } (\lambda x. \text{monomial } (a x) 0) \text{ 'focus } X \text{ 'F}) \cap$
 $(P[- X]::('x \Rightarrow_0 \text{nat}) \Rightarrow_0 \text{'a::comm-ring-1} \text{ set})$
 $\langle \text{proof} \rangle$

17.9 Locale *pm-powerprod*

lemma *varnum-eq-zero-iff*: $\text{varnum } X t = 0 \iff t \in .[X]$
 $\langle \text{proof} \rangle$

lemma *dgrad-set-varnum*: $\text{dgrad-set } (\text{varnum } X) 0 = .[X]$
 $\langle \text{proof} \rangle$

context *ordered-powerprod*

begin

abbreviation $lcf \equiv \text{punit.lc}$

abbreviation $tcf \equiv \text{punit.tc}$

abbreviation $lpp \equiv \text{punit.lt}$

abbreviation $tpp \equiv \text{punit.tt}$

end

locale *pm-powerprod* =

ordered-powerprod ord ord-strict

for $\text{ord}::('x::\{\text{countable,linorder}\} \Rightarrow_0 \text{nat}) \Rightarrow ('x \Rightarrow_0 \text{nat}) \Rightarrow \text{bool}$ (**infixl** $\preceq 50$)

and *ord-strict* (**infixl** $\prec 50$)

begin

sublocale *gd-powerprod* $\langle \text{proof} \rangle$

lemma *PPs-closed-lpp*:

assumes $p \in P[X]$

shows $lpp p \in .[X]$

$\langle \text{proof} \rangle$

lemma *PPs-closed-tpp*:

assumes $p \in P[X]$

shows $tpp\ p \in \cdot[X]$

$\langle proof \rangle$

corollary *PPs-closed-image-lpp*: $F \subseteq P[X] \implies lpp\ 'F \subseteq \cdot[X]$

$\langle proof \rangle$

corollary *PPs-closed-image-tpp*: $F \subseteq P[X] \implies tpp\ 'F \subseteq \cdot[X]$

$\langle proof \rangle$

lemma *hom-component-lpp*:

assumes $p \neq 0$

shows $hom\text{-}component\ p\ (deg\text{-}pm\ (lpp\ p)) \neq 0$ (**is** $?p \neq 0$)

and $lpp\ (hom\text{-}component\ p\ (deg\text{-}pm\ (lpp\ p))) = lpp\ p$

$\langle proof \rangle$

definition *is-hom-ord* :: $'x \Rightarrow bool$

where $is\text{-}hom\text{-}ord\ x \longleftrightarrow (\forall s\ t.\ deg\text{-}pm\ s = deg\text{-}pm\ t \longrightarrow (s \preceq t \longleftrightarrow except\ s\ \{x\} \preceq except\ t\ \{x\}))$

lemma *is-hom-ordD*: $is\text{-}hom\text{-}ord\ x \implies deg\text{-}pm\ s = deg\text{-}pm\ t \implies s \preceq t \longleftrightarrow except\ s\ \{x\} \preceq except\ t\ \{x\}$

$\langle proof \rangle$

lemma *dgrad-p-set-varnum*: $punit.dgrad\text{-}p\text{-}set\ (varnum\ X)\ 0 = P[X]$

$\langle proof \rangle$

end

We must create a copy of *pm-powerprod* to avoid infinite chains of interpretations.

instantiation *option* :: (*linorder*) *linorder*

begin

fun *less-eq-option* :: $'a\ option \Rightarrow 'a\ option \Rightarrow bool$ **where**

less-eq-option *None* *-* = *True* |

less-eq-option (*Some* *x*) *None* = *False* |

less-eq-option (*Some* *x*) (*Some* *y*) = ($x \leq y$)

definition *less-option* :: $'a\ option \Rightarrow 'a\ option \Rightarrow bool$

where $less\text{-}option\ x\ y \longleftrightarrow x \leq y \wedge \neg y \leq x$

instance $\langle proof \rangle$

end

locale *extended-ord-pm-powerprod* = *pm-powerprod*

begin

definition *extended-ord* :: ('a option \Rightarrow_0 nat) \Rightarrow ('a option \Rightarrow_0 nat) \Rightarrow bool
where *extended-ord* s t \longleftrightarrow (restrict-indets-pp s \prec restrict-indets-pp t \vee
(restrict-indets-pp s = restrict-indets-pp t \wedge lookup s None \leq
lookup t None))

definition *extended-ord-strict* :: ('a option \Rightarrow_0 nat) \Rightarrow ('a option \Rightarrow_0 nat) \Rightarrow bool
where *extended-ord-strict* s t \longleftrightarrow (restrict-indets-pp s \prec restrict-indets-pp t \vee
(restrict-indets-pp s = restrict-indets-pp t \wedge lookup s None $<$
lookup t None))

sublocale *extended-ord*: pm-powerprod *extended-ord* *extended-ord-strict*
 \langle proof \rangle

lemma *extended-ord-is-hom-ord*: *extended-ord.is-hom-ord* None
 \langle proof \rangle

end

end

theory *MPoly-Type-Univariate*
imports
More-MPoly-Type
HOL-Computational-Algebra.Polynomial
begin

This file connects univariate MPolys to the theory of univariate polynomials from *HOL-Computational-Algebra.Polynomial*.

definition *poly-to-mpoly*::nat \Rightarrow 'a::comm-monoid-add *poly* \Rightarrow 'a *mpoly*
where *poly-to-mpoly* v p = *MPoly* (*Abs-poly-mapping* ($\lambda m.$ (*coeff* p (*Poly-Mapping.lookup* m v)) when *Poly-Mapping.keys* m \subseteq {v}))

lemma *poly-to-mpoly-finite*: *finite* {m::nat \Rightarrow_0 nat. (*coeff* p (*Poly-Mapping.lookup* m v) when *Poly-Mapping.keys* m \subseteq {v}) \neq 0} (**is finite** ?M)
 \langle proof \rangle

lemma *coeff-poly-to-mpoly*: *MPoly-Type.coeff* (*poly-to-mpoly* v p) (*Poly-Mapping.single* v k) = *Polynomial.coeff* p k
 \langle proof \rangle

definition *mpoly-to-poly*::nat \Rightarrow 'a::comm-monoid-add *mpoly* \Rightarrow 'a *poly*
where *mpoly-to-poly* v p = *Abs-poly* ($\lambda k.$ *MPoly-Type.coeff* p (*Poly-Mapping.single* v k))

lemma *coeff-mpoly-to-poly[simp]*: *Polynomial.coeff* (*mpoly-to-poly* v p) k = *MPoly-Type.coeff* p (*Poly-Mapping.single* v k)
 \langle proof \rangle

lemma *mpoly-to-poly-inverse*:
assumes $\text{vars } p \subseteq \{v\}$
shows $\text{poly-to-mpoly } v (\text{mpoly-to-poly } v p) = p$
 $\langle \text{proof} \rangle$

lemma *poly-to-mpoly-inverse*: $\text{mpoly-to-poly } v (\text{poly-to-mpoly } v p) = p$
 $\langle \text{proof} \rangle$

lemma *poly-to-mpoly0*: $\text{poly-to-mpoly } v 0 = 0$
 $\langle \text{proof} \rangle$

lemma *mpoly-to-poly-add*: $\text{mpoly-to-poly } v (p1 + p2) = \text{mpoly-to-poly } v p1 + \text{mpoly-to-poly } v p2$
 $\langle \text{proof} \rangle$

lemma *poly-eq-insertion*:
assumes $\text{vars } p \subseteq \{v\}$
shows $\text{poly } (\text{mpoly-to-poly } v p) x = \text{insertion } (\lambda v. x) p$
 $\langle \text{proof} \rangle$

Using the new connection between MPoly and univariate polynomials, we can transfer:

lemma *univariate-mpoly-roots-finite*:
fixes $p::'a::\text{idom } \text{mpoly}$
assumes $\text{vars } p \subseteq \{v\} p \neq 0$
shows $\text{finite } \{x. \text{insertion } (\lambda v. x) p = 0\}$
 $\langle \text{proof} \rangle$

end

18 Polynomials

theory *Polynomials*

imports

Abstract-Rewriting.SN-Orders

Matrix.Utility

begin

18.1 Polynomials represented as trees

datatype ($\text{vars-tpoly}: 'v$, $\text{nums-tpoly}: 'a$) $\text{tpoly} = PVar 'v \mid PNum 'a \mid PSum (\text{'v,'a}\text{tpoly list} \mid PMult (\text{'v,'a}\text{tpoly list}$

type-synonym ($\text{'v,'a}\text{assign} = 'v \Rightarrow 'a$

primrec $\text{eval-tpoly} :: (\text{'v,'a}::\{\text{monoid-add, monoid-mult}\})\text{assign} \Rightarrow (\text{'v,'a}\text{tpoly} \Rightarrow 'a$

where $\text{eval-tpoly } \alpha (PVar x) = \alpha x$
 $\mid \text{eval-tpoly } \alpha (PNum a) = a$

| $eval-tpoly \alpha (PSum ps) = sum-list (map (eval-tpoly \alpha) ps)$
| $eval-tpoly \alpha (PMult ps) = prod-list (map (eval-tpoly \alpha) ps)$

18.2 Polynomials represented in normal form as lists of monomials

The internal representation of polynomials is a sum of products of monomials with coefficients where all coefficients are non-zero, and all monomials are different

Definition of type *monom*

type-synonym $'v \text{ monom-list} = ('v \times nat)list$

- $[(x, n), (y, m)]$ represent $x^n \cdot y^m$
- invariants: all powers are ≥ 1 and each variable occurs at most once
hence: $[(x, 1), (y, 2), (x, 2)]$ will not occur, but $[(x, 3), (y, 2)]; [(x, 1), (y, 0)]$
will not occur, but $[(x, 1)]$

context *linorder*

begin

definition *monom-inv* :: $'a \text{ monom-list} \Rightarrow bool$ **where**

$monom-inv m \equiv (\forall (x,n) \in set\ m. 1 \leq n) \wedge distinct (map\ fst\ m) \wedge sorted (map\ fst\ m)$

fun *eval-monom-list* :: $('a, 'b :: comm-semiring-1) assign \Rightarrow ('a \text{ monom-list}) \Rightarrow 'b$
where

$eval-monom-list \alpha [] = 1$

| $eval-monom-list \alpha ((x,p) \# m) = eval-monom-list \alpha m * (\alpha x) ^ p$

lemma *eval-monom-list[simp]*: $eval-monom-list \alpha (m @ n) = eval-monom-list \alpha m * eval-monom-list \alpha n$

<proof>

definition *sum-var-list* :: $'a \text{ monom-list} \Rightarrow 'a \Rightarrow nat$ **where**

$sum-var-list m x \equiv sum-list (map (\lambda (y,c). if\ x = y\ then\ c\ else\ 0) m)$

lemma *sum-var-list-not*: $x \notin fst\ 'set\ m \implies sum-var-list\ m\ x = 0$

<proof>

show that equality of monomials is equivalent to statement that all variables occur with the same (accumulated) power; afterwards properties like transitivity, etc. are easy to prove

lemma *monom-inv-Cons*: **assumes** *monom-inv* $((x,p) \# m)$

and $y \leq x$ **shows** $y \notin fst\ 'set\ m$

<proof>

lemma *eq-monom-sum-var-list*: **assumes** *monom-inv* m **and** *monom-inv* n

shows $(m = n) = (\forall x. \text{sum-var-list } m \ x = \text{sum-var-list } n \ x)$ (**is** $?l = ?r$)
 ⟨proof⟩

equality of monomials is also a complete for several carriers, e.g. the naturals, integers, where $x^p = x^q$ implies $p = q$. note that it is not complete for carriers like the Booleans where e.g. $x^{\text{Suc}(m)} = x^{\text{Suc}(n)}$ for all n, m .

abbreviation (*input*) *monom-list-vars* :: 'a monom-list \Rightarrow 'a set
where *monom-list-vars* $m \equiv \text{fst } ' \text{ set } m$

fun *monom-mult-list* :: 'a monom-list \Rightarrow 'a monom-list \Rightarrow 'a monom-list **where**
monom-mult-list [] $n = n$
 | *monom-mult-list* ((x, p) # m) $n = (\text{case } n \text{ of}$
 $\text{Nil} \Rightarrow (x, p) \# m$
 | (y, q) # $n' \Rightarrow \text{if } x = y \text{ then } (x, p + q) \# \text{monom-mult-list } m \ n' \text{ else}$
 $\text{if } x < y \text{ then } (x, p) \# \text{monom-mult-list } m \ n \text{ else } (y, q) \# \text{monom-mult-list}$
 $((x, p) \# m) \ n'$)

lemma *monom-list-mult-list-vars*: *monom-list-vars* (*monom-mult-list* $m1 \ m2$) =
monom-list-vars $m1 \cup \text{monom-list-vars } m2$
 ⟨proof⟩

lemma *monom-mult-list-inv*: *monom-inv* $m1 \Longrightarrow \text{monom-inv } m2 \Longrightarrow \text{monom-inv}$
 (*monom-mult-list* $m1 \ m2$)
 ⟨proof⟩

lemma *monom-inv-ConsD*: *monom-inv* ($x \# xs$) $\Longrightarrow \text{monom-inv } xs$
 ⟨proof⟩

lemma *sum-var-list-monom-mult-list*: *sum-var-list* (*monom-mult-list* $m \ n$) $x =$
sum-var-list $m \ x + \text{sum-var-list } n \ x$
 ⟨proof⟩

lemma *monom-mult-list-inj*: **assumes** m : *monom-inv* m **and** $m1$: *monom-inv* $m1$
and $m2$: *monom-inv* $m2$
and eq : *monom-mult-list* $m \ m1 = \text{monom-mult-list } m \ m2$
shows $m1 = m2$
 ⟨proof⟩

lemma *monom-mult-list[simp]*: *eval-monom-list* α (*monom-mult-list* $m \ n$) = *eval-monom-list*
 $\alpha \ m * \text{eval-monom-list } \alpha \ n$
 ⟨proof⟩

end

declare *monom-mult-list.simps*[*simp del*]

typedef (**overloaded**) 'v *monom* = *Collect* (*monom-inv* :: 'v :: *linorder monom-list*
 $\Rightarrow \text{bool}$)
 ⟨proof⟩

setup-lifting *type-definition-monom*

lift-definition *eval-monom* :: ('v :: linorder, 'a :: comm-semiring-1) assign \Rightarrow 'v monom \Rightarrow 'a
is *eval-monom-list* <proof>

lift-definition *sum-var* :: 'v :: linorder monom \Rightarrow 'v \Rightarrow nat is *sum-var-list* <proof>

instantiation *monom* :: (linorder) comm-monoid-mult
begin

lift-definition *times-monom* :: 'a monom \Rightarrow 'a monom \Rightarrow 'a monom is *monom-mult-list*
<proof>

lift-definition *one-monom* :: 'a monom is Nil
<proof>

instance
<proof>
end

lemma *eq-monom-sum-var*: $m = n \longleftrightarrow (\forall x. \text{sum-var } m \ x = \text{sum-var } n \ x)$
<proof>

lemma *eval-monom-mult[simp]*: *eval-monom* α ($m * n$) = *eval-monom* α $m * \text{eval-monom } \alpha$ n
<proof>

lemma *sum-var-monom-mult*: *sum-var* ($m * n$) $x = \text{sum-var } m \ x + \text{sum-var } n \ x$
<proof>

lemma *monom-mult-inj*: **fixes** $m1 :: - \text{monom}$
shows $m * m1 = m * m2 \implies m1 = m2$
<proof>

lemma *one-monom-inv-sum-var-inv[simp]*: *sum-var* 1 $x = 0$
<proof>

lemma *eval-monom-1[simp]*: *eval-monom* α 1 = 1
<proof>

lift-definition *var-monom* :: 'v :: linorder \Rightarrow 'v monom is $\lambda x. [(x, 1)]$
<proof>

lemma *var-monom-1[simp]*: *var-monom* $x \neq 1$
<proof>

lemma *eval-var-monom*[simp]: *eval-monom* α (*var-monom* x) = α x
 ⟨*proof*⟩

lemma *sum-var-monom-var*: *sum-var* (*var-monom* x) y = (if $x = y$ then 1 else 0)
 ⟨*proof*⟩

instantiation *monom* :: ($\{equal, linorder\}$)*equal*
begin

lift-definition *equal-monom* :: ' a *monom* \Rightarrow ' a *monom* \Rightarrow *bool* **is** (=) ⟨*proof*⟩

instance ⟨*proof*⟩
end

Polynomials are represented with as sum of monomials multiplied by some coefficient

type-synonym (' v , ' a)*poly* = (' v *monom* \times ' a)*list*

The polynomials we construct satisfy the following invariants:

- all coefficients are non-zero
- the monomial list is distinct

definition *poly-inv* :: (' v , ' a :: *zero*)*poly* \Rightarrow *bool*
where *poly-inv* $p \equiv (\forall c \in \text{snd } p. c \neq 0) \wedge \text{distinct } (\text{map } \text{fst } p)$

abbreviation *eval-monomc* **where** *eval-monomc* α $mc \equiv \text{eval-monom } \alpha$ (*fst* mc)
 $*$ (*snd* mc)

primrec *eval-poly* :: (' v :: *linorder*, ' a :: *comm-semiring-1*)*assign* \Rightarrow (' v , ' a)*poly* \Rightarrow ' a **where**
eval-poly α [] = 0
 | *eval-poly* α ($mc \# p$) = *eval-monomc* α mc + *eval-poly* α p

definition *poly-const* :: ' a :: *zero* \Rightarrow (' v :: *linorder*, ' a)*poly* **where**
poly-const a = (if $a = 0$ then [] else [(1, a)])

lemma *poly-const*[simp]: *eval-poly* α (*poly-const* a) = a
 ⟨*proof*⟩

lemma *poly-const-inv*: *poly-inv* (*poly-const* a)
 ⟨*proof*⟩

fun *poly-add* :: (' v , ' a)*poly* \Rightarrow (' v , ' a :: *semiring-0*)*poly* \Rightarrow (' v , ' a)*poly* **where**
poly-add [] q = q
 | *poly-add* ((m, c) # p) q = (case *List.extract* ($\lambda mc. \text{fst } mc = m$) q of
None \Rightarrow (m, c) # *poly-add* p q)

| Some $(q1, (-, d), q2) \Rightarrow$ if $(c+d = 0)$ then $\text{poly-add } p (q1 @ q2)$ else $(m, c+d)$
 $\# \text{poly-add } p (q1 @ q2)$

lemma *eval-poly-append[simp]*: $\text{eval-poly } \alpha (mc1 @ mc2) = \text{eval-poly } \alpha mc1 + \text{eval-poly } \alpha mc2$
 $\langle \text{proof} \rangle$

abbreviation *poly-monoms* :: $('v, 'a)\text{poly} \Rightarrow 'v \text{ monom set}$
where *poly-monoms* $p \equiv \text{fst } ' \text{ set } p$

lemma *poly-add-monoms*: $\text{poly-monoms } (\text{poly-add } p1 p2) \subseteq \text{poly-monoms } p1 \cup \text{poly-monoms } p2$
 $\langle \text{proof} \rangle$

lemma *poly-add-inv*: $\text{poly-inv } p \Longrightarrow \text{poly-inv } q \Longrightarrow \text{poly-inv } (\text{poly-add } p q)$
 $\langle \text{proof} \rangle$

lemma *poly-add[simp]*: $\text{eval-poly } \alpha (\text{poly-add } p q) = \text{eval-poly } \alpha p + \text{eval-poly } \alpha q$
 $\langle \text{proof} \rangle$

declare *poly-add.simps[simp del]*

fun *monom-mult-poly* :: $('v :: \text{linorder monom} \times 'a) \Rightarrow ('v, 'a :: \text{semiring-0})\text{poly}$
 $\Rightarrow ('v, 'a)\text{poly}$ **where**
monom-mult-poly - [] = []
| *monom-mult-poly* $(m, c) ((m', d) \# p) = (\text{if } c * d = 0 \text{ then } \text{monom-mult-poly } (m, c) p \text{ else } (m * m', c * d) \# \text{monom-mult-poly } (m, c) p)$

lemma *monom-mult-poly-inv*: $\text{poly-inv } p \Longrightarrow \text{poly-inv } (\text{monom-mult-poly } (m, c) p)$
 $\langle \text{proof} \rangle$

lemma *monom-mult-poly[simp]*: $\text{eval-poly } \alpha (\text{monom-mult-poly } mc p) = \text{eval-monom } c \alpha mc * \text{eval-poly } \alpha p$
 $\langle \text{proof} \rangle$

declare *monom-mult-poly.simps[simp del]*

definition *poly-minus* :: $('v :: \text{linorder}, 'a :: \text{ring-1})\text{poly} \Rightarrow ('v, 'a)\text{poly} \Rightarrow ('v, 'a)\text{poly}$
where
poly-minus $f g = \text{poly-add } f (\text{monom-mult-poly } (1, -1) g)$

lemma *poly-minus[simp]*: $\text{eval-poly } \alpha (\text{poly-minus } f g) = \text{eval-poly } \alpha f - \text{eval-poly } \alpha g$
 $\langle \text{proof} \rangle$

lemma *poly-minus-inv*: $\text{poly-inv } f \Longrightarrow \text{poly-inv } g \Longrightarrow \text{poly-inv } (\text{poly-minus } f g)$
 $\langle \text{proof} \rangle$

```

fun poly-mult :: ('v :: linorder, 'a :: semiring-0)poly  $\Rightarrow$  ('v,'a)poly  $\Rightarrow$  ('v,'a)poly
where
  poly-mult [] q = []
| poly-mult (mc # p) q = poly-add (monom-mult-poly mc q) (poly-mult p q)

lemma poly-mult-inv: assumes p: poly-inv p and q: poly-inv q
shows poly-inv (poly-mult p q)
<proof>

lemma poly-mult[simp]: eval-poly  $\alpha$  (poly-mult p q) = eval-poly  $\alpha$  p * eval-poly  $\alpha$  q
<proof>

declare poly-mult.simps[simp del]

definition zero-poly :: ('v,'a)poly
where zero-poly  $\equiv$  []

lemma zero-poly-inv: poly-inv zero-poly <proof>

definition one-poly :: ('v :: linorder, 'a :: semiring-1)poly where
  one-poly  $\equiv$  [(1,1)]

lemma one-poly-inv: poly-inv one-poly <proof>

lemma poly-one[simp]: eval-poly  $\alpha$  one-poly = 1
<proof>

lemma poly-zero-add: poly-add zero-poly p = p <proof>

lemma poly-zero-mult: poly-mult zero-poly p = zero-poly <proof>
  equality of polynomials

definition eq-poly :: ('v :: linorder, 'a :: comm-semiring-1)poly  $\Rightarrow$  ('v,'a)poly  $\Rightarrow$ 
  bool (infix =p 51)
where p =p q  $\equiv$   $\forall$   $\alpha$ . eval-poly  $\alpha$  p = eval-poly  $\alpha$  q

lemma poly-one-mult: poly-mult one-poly p =p p
<proof>

lemma eq-poly-refl[simp]: p =p p <proof>

lemma eq-poly-trans[trans]: [p1 =p p2; p2 =p p3]  $\Longrightarrow$  p1 =p p3
<proof>

lemma poly-add-comm: poly-add p q =p poly-add q p <proof>

lemma poly-add-assoc: poly-add p1 (poly-add p2 p3) =p poly-add (poly-add p1 p2)
  p3 <proof>

```

lemma *poly-mult-comm*: $\text{poly-mult } p \ q =_p \text{poly-mult } q \ p$ *<proof>*

lemma *poly-mult-assoc*: $\text{poly-mult } p1 \ (\text{poly-mult } p2 \ p3) =_p \text{poly-mult } (\text{poly-mult } p1 \ p2) \ p3$ *<proof>*

lemma *poly-distrib*: $\text{poly-mult } p \ (\text{poly-add } q1 \ q2) =_p \text{poly-add } (\text{poly-mult } p \ q1) \ (\text{poly-mult } p \ q2)$ *<proof>*

18.3 Computing normal forms of polynomials

fun

poly-of :: $(v :: \text{linorder}, a :: \text{comm-semiring-1}) \text{tpoly} \Rightarrow (v, a) \text{poly}$
where *poly-of* (PNum i) = (if $i = 0$ then [] else [(1, i)])
| *poly-of* (PVar x) = [(var-monom $x, 1$)]
| *poly-of* (PSum []) = zero-poly
| *poly-of* (PSum ($p \# ps$)) = (poly-add (poly-of p) (poly-of (PSum ps)))
| *poly-of* (PMult []) = one-poly
| *poly-of* (PMult ($p \# ps$)) = (poly-mult (poly-of p) (poly-of (PMult ps)))

evaluation is preserved by *poly_of*

lemma *poly-of*: $\text{eval-poly } \alpha \ (\text{poly-of } p) = \text{eval-tpoly } \alpha \ p$
<proof>

poly_of only generates polynomials that satisfy the invariant

lemma *poly-of-inv*: $\text{poly-inv } (\text{poly-of } p)$
<proof>

18.4 Powers and substitutions of polynomials

fun *poly-power* :: $(v :: \text{linorder}, a :: \text{comm-semiring-1}) \text{poly} \Rightarrow \text{nat} \Rightarrow (v, a) \text{poly}$
where

poly-power - 0 = one-poly
| *poly-power* p (Suc n) = *poly-mult* p (*poly-power* p n)

lemma *poly-power[simp]*: $\text{eval-poly } \alpha \ (\text{poly-power } p \ n) = (\text{eval-poly } \alpha \ p) ^ n$
<proof>

lemma *poly-power-inv*: **assumes** p : *poly-inv* p
shows *poly-inv* (*poly-power* p n)
<proof>

declare *poly-power.simps*[*simp del*]

fun *monom-list-subst* :: $(v \Rightarrow (w :: \text{linorder}, a :: \text{comm-semiring-1}) \text{poly}) \Rightarrow v$
monom-list $\Rightarrow (w, a) \text{poly}$ **where**
monom-list-subst σ [] = one-poly
| *monom-list-subst* σ ((x, p) # m) = *poly-mult* (*poly-power* (σ x) p) (*monom-list-subst* σ m)

lift-definition *monom-list* :: 'v :: linorder monom \Rightarrow 'v monom-list **is** $\lambda x. x$
 <proof>

definition *monom-subst* :: ('v :: linorder \Rightarrow ('w :: linorder, 'a :: comm-semiring-1)poly)
 \Rightarrow 'v monom \Rightarrow ('w, 'a)poly **where**
monom-subst σ $m = \text{monom-list-subst } \sigma (\text{monom-list } m)$

lemma *monom-list-subst-inv*: **assumes** *sub*: $\bigwedge x. \text{poly-inv } (\sigma x)$
shows *poly-inv* (*monom-list-subst* σ m)
 <proof>

lemma *monom-subst-inv*: **assumes** *sub*: $\bigwedge x. \text{poly-inv } (\sigma x)$
shows *poly-inv* (*monom-subst* σ m)
 <proof>

lemma *monom-subst[simp]*: *eval-poly* α (*monom-subst* σ m) = *eval-monom* ($\lambda v. \text{eval-poly } \alpha (\sigma v)$) m
 <proof>

fun *poly-subst* :: ('v :: linorder \Rightarrow ('w :: linorder, 'a :: comm-semiring-1)poly) \Rightarrow
 ('v, 'a)poly \Rightarrow ('w, 'a)poly **where**
poly-subst σ $\square = \text{zero-poly}$
 $| \text{poly-subst } \sigma ((m, c) \# p) = \text{poly-add } (\text{poly-mult } [(1, c)] (\text{monom-subst } \sigma m))$
 (*poly-subst* σ p)

lemma *poly-subst-inv*: **assumes** *sub*: $\bigwedge x. \text{poly-inv } (\sigma x)$ **and** *p*: *poly-inv* p
shows *poly-inv* (*poly-subst* σ p)
 <proof>

lemma *poly-subst*: *eval-poly* α (*poly-subst* σ p) = *eval-poly* ($\lambda v. \text{eval-poly } \alpha (\sigma v)$) p
 <proof>

lemma *eval-poly-subst*:
assumes *eq*: $\bigwedge w. f w = \text{eval-poly } g (q w)$
shows *eval-poly* $f p = \text{eval-poly } g (\text{poly-subst } q p)$
 <proof>

lift-definition *monom-vars-list* :: 'v :: linorder monom \Rightarrow 'v list **is** *map fst* <proof>

lemma *monom-vars-list-subst*: **assumes** $\bigwedge w. w \in \text{set } (\text{monom-vars-list } m) \Rightarrow$
 $f w = g w$
shows *monom-subst* $f m = \text{monom-subst } g m$
 <proof>

lemma *eval-monom-vars-list*: **assumes** $\bigwedge x. x \in \text{set } (\text{monom-vars-list } xs) \Rightarrow \alpha$
 $x = \beta x$
shows *eval-monom* $\alpha xs = \text{eval-monom } \beta xs$ <proof>

definition *monom-vars* **where** *monom-vars* $m = \text{set } (\text{monom-vars-list } m)$

lemma *monom-vars-list-1*[*simp*]: *monom-vars-list* 1 = []
<proof>

lemma *monom-vars-list-var-monom*[*simp*]: *monom-vars-list* (var-monom x) = [x]
<proof>

lemma *monom-vars-eval-monom*:
($\bigwedge x. x \in \text{monom-vars } m \implies f x = g x$) $\implies \text{eval-monom } f m = \text{eval-monom } g m$
<proof>

definition *poly-vars-list* :: ('v :: linorder, 'a)poly \Rightarrow 'v list **where**
poly-vars-list $p = \text{remdups } (\text{concat } (\text{map } (\text{monom-vars-list } o \text{fst}) p))$

definition *poly-vars* :: ('v :: linorder, 'a)poly \Rightarrow 'v set **where**
poly-vars $p = \text{set } (\text{concat } (\text{map } (\text{monom-vars-list } o \text{fst}) p))$

lemma *poly-vars-list*[*simp*]: *set* (*poly-vars-list* p) = *poly-vars* p
<proof>

lemma *poly-vars*: **assumes** *eq*: $\bigwedge w. w \in \text{poly-vars } p \implies f w = g w$
shows *poly-subst* $f p = \text{poly-subst } g p$
<proof>

lemma *poly-var*: **assumes** *pv*: $v \notin \text{poly-vars } p$ **and** *diff*: $\bigwedge w. v \neq w \implies f w = g w$
shows *poly-subst* $f p = \text{poly-subst } g p$
<proof>

lemma *eval-poly-vars*: **assumes** $\bigwedge x. x \in \text{poly-vars } p \implies \alpha x = \beta x$
shows *eval-poly* $\alpha p = \text{eval-poly } \beta p$
<proof>

declare *poly-subst.simps*[*simp del*]

18.5 Polynomial orders

definition *pos-assign* :: ('v, 'a :: ordered-semiring-0)assign \Rightarrow bool
where *pos-assign* $\alpha = (\forall x. \alpha x \geq 0)$

definition *poly-ge* :: ('v :: linorder, 'a :: poly-carrier)poly \Rightarrow ('v, 'a)poly \Rightarrow bool
(**infix** \geq_p 51)

where $p \geq_p q = (\forall \alpha. \text{pos-assign } \alpha \longrightarrow \text{eval-poly } \alpha \ p \geq \text{eval-poly } \alpha \ q)$

lemma *poly-ge-refl[simp]*: $p \geq_p p$
<proof>

lemma *poly-ge-trans[trans]*: $\llbracket p1 \geq_p p2; p2 \geq_p p3 \rrbracket \Longrightarrow p1 \geq_p p3$
<proof>

lemma *pos-assign-monom-list*: **fixes** $\alpha :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{assign}$
assumes $\text{pos}: \text{pos-assign } \alpha$
shows $\text{eval-monom-list } \alpha \ m \geq 0$
<proof>

lemma *pos-assign-monom*: **fixes** $\alpha :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{assign}$
assumes $\text{pos}: \text{pos-assign } \alpha$
shows $\text{eval-monom } \alpha \ m \geq 0$
<proof>

lemma *pos-assign-poly*: **assumes** $\text{pos}: \text{pos-assign } \alpha$
and $p: p \geq_p \text{zero-poly}$
shows $\text{eval-poly } \alpha \ p \geq 0$
<proof>

lemma *poly-add-ge-mono*: **assumes** $p1 \geq_p p2$ **shows** $\text{poly-add } p1 \ q \geq_p \text{poly-add } p2 \ q$
<proof>

lemma *poly-mult-ge-mono*: **assumes** $p1 \geq_p p2$ **and** $q \geq_p \text{zero-poly}$
shows $\text{poly-mult } p1 \ q \geq_p \text{poly-mult } p2 \ q$
<proof>

context *poly-order-carrier*
begin

definition *poly-gt* :: $('v :: \text{linorder}, 'a)\text{poly} \Rightarrow ('v, 'a)\text{poly} \Rightarrow \text{bool}$ (**infix** $>_p$ 51)
where $p >_p q = (\forall \alpha. \text{pos-assign } \alpha \longrightarrow \text{eval-poly } \alpha \ p \succ \text{eval-poly } \alpha \ q)$

lemma *poly-gt-imp-poly-ge*: $p >_p q \Longrightarrow p \geq_p q$ *<proof>*

abbreviation *poly-GT* :: $('v :: \text{linorder}, 'a)\text{poly rel}$
where $\text{poly-GT} \equiv \{(p, q) \mid p \ q. p >_p q \wedge q \geq_p \text{zero-poly}\}$

lemma *poly-compat*: $\llbracket p1 \geq_p p2; p2 >_p p3 \rrbracket \Longrightarrow p1 >_p p3$
<proof>

lemma *poly-compat2*: $\llbracket p1 >_p p2; p2 \geq_p p3 \rrbracket \Longrightarrow p1 >_p p3$

<proof>

lemma *poly-gt-trans*[*trans*]: $\llbracket p1 >_p p2; p2 >_p p3 \rrbracket \implies p1 >_p p3$
<proof>

lemma *poly-GT-SN*: *SN poly-GT*
<proof>
end

monotonicity of polynomials

lemma *eval-monom-list-mono*: **assumes** *fg*: $\bigwedge x. (f :: ('v :: \text{linorder}, 'a :: \text{poly-carrier}) \text{assign})$
 $x \geq g x$
and *g*: $\bigwedge x. g x \geq 0$
shows *eval-monom-list* $f m \geq \text{eval-monom-list } g m$ *eval-monom-list* $g m \geq 0$
<proof>

lemma *eval-monom-mono*: **assumes** *fg*: $\bigwedge x. (f :: ('v :: \text{linorder}, 'a :: \text{poly-carrier}) \text{assign})$
 $x \geq g x$
and *g*: $\bigwedge x. g x \geq 0$
shows *eval-monom* $f m \geq \text{eval-monom } g m$ *eval-monom* $g m \geq 0$
<proof>

definition *poly-weak-mono-all* :: $('v :: \text{linorder}, 'a :: \text{poly-carrier}) \text{poly} \Rightarrow \text{bool}$ **where**

$\text{poly-weak-mono-all } p \equiv \forall (\alpha :: ('v, 'a) \text{assign}) \beta. (\forall x. \alpha x \geq \beta x)$
 $\longrightarrow \text{pos-assign } \beta \longrightarrow \text{eval-poly } \alpha p \geq \text{eval-poly } \beta p$

lemma *poly-weak-mono-all-E*: **assumes** *p*: *poly-weak-mono-all p* **and**
ge: $\bigwedge x. f x \geq_p g x \wedge g x \geq_p \text{zero-poly}$
shows *poly-subst* $f p \geq_p \text{poly-subst } g p$
<proof>

definition *poly-weak-mono* :: $('v :: \text{linorder}, 'a :: \text{poly-carrier}) \text{poly} \Rightarrow 'v \Rightarrow \text{bool}$
where

$\text{poly-weak-mono } p v \equiv \forall (\alpha :: ('v, 'a) \text{assign}) \beta. (\forall x. v \neq x \longrightarrow \alpha x = \beta x) \longrightarrow$
 $\text{pos-assign } \beta \longrightarrow \alpha v \geq \beta v \longrightarrow \text{eval-poly } \alpha p \geq \text{eval-poly } \beta p$

lemma *poly-weak-mono-E*: **assumes** *p*: *poly-weak-mono p v*
and *fgw*: $\bigwedge w. v \neq w \implies f w = g w$
and *g*: $\bigwedge w. g w \geq_p \text{zero-poly}$
and *fgv*: $f v \geq_p g v$
shows *poly-subst* $f p \geq_p \text{poly-subst } g p$
<proof>

definition *poly-weak-anti-mono* :: $('v :: \text{linorder}, 'a :: \text{poly-carrier}) \text{poly} \Rightarrow 'v \Rightarrow$
 bool **where**

$\text{poly-weak-anti-mono } p v \equiv \forall (\alpha :: ('v, 'a) \text{assign}) \beta. (\forall x. v \neq x \longrightarrow \alpha x = \beta x)$
 $\longrightarrow \text{pos-assign } \beta \longrightarrow \alpha v \geq \beta v \longrightarrow \text{eval-poly } \beta p \geq \text{eval-poly } \alpha p$

lemma *poly-weak-anti-mono-E*: **assumes** p : *poly-weak-anti-mono* p v
and fgw : $\bigwedge w. v \neq w \implies f w = g w$
and g : $\bigwedge w. g w \geq_p \text{zero-poly}$
and fgv : $f v \geq_p g v$
shows *poly-subst* $g p \geq_p \text{poly-subst } f p$
 $\langle \text{proof} \rangle$

lemma *poly-weak-mono*: **fixes** p :: $('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
assumes *mono*: $\bigwedge v. v \in \text{poly-vars } p \implies \text{poly-weak-mono } p v$
shows *poly-weak-mono-all* p
 $\langle \text{proof} \rangle$

lemma *poly-weak-mono-all*: **fixes** p :: $('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
assumes p : *poly-weak-mono-all* p
shows *poly-weak-mono* $p v$
 $\langle \text{proof} \rangle$

lemma *poly-weak-mono-all-pos*:
fixes p :: $('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
assumes *pos-at-zero*: *eval-poly* $(\lambda w. 0) p \geq 0$
and *mono*: *poly-weak-mono-all* p
shows $p \geq_p \text{zero-poly}$
 $\langle \text{proof} \rangle$

context *poly-order-carrier*
begin

definition *poly-strict-mono* :: $('v :: \text{linorder}, 'a)\text{poly} \Rightarrow 'v \Rightarrow \text{bool}$ **where**
poly-strict-mono $p v \equiv \forall (\alpha :: ('v, 'a)\text{assign}) \beta. (\forall x. (v \neq x \longrightarrow \alpha x = \beta x))$
 $\longrightarrow \text{pos-assign } \beta \longrightarrow \alpha v \succ \beta v \longrightarrow \text{eval-poly } \alpha p \succ \text{eval-poly } \beta p$

lemma *poly-strict-mono-E*: **assumes** p : *poly-strict-mono* $p v$
and fgw : $\bigwedge w. v \neq w \implies f w = g w$
and g : $\bigwedge w. g w \geq_p \text{zero-poly}$
and fgv : $f v >_p g v$
shows *poly-subst* $f p >_p \text{poly-subst } g p$
 $\langle \text{proof} \rangle$

lemma *poly-add-gt-mono*: **assumes** $p1 >_p p2$ **shows** *poly-add* $p1 q >_p \text{poly-add}$
 $p2 q$
 $\langle \text{proof} \rangle$

lemma *poly-mult-gt-mono*:
fixes q :: $('v :: \text{linorder}, 'a)\text{poly}$
assumes *gt*: $p1 >_p p2$ **and** *mono*: $q \geq_p \text{one-poly}$
shows *poly-mult* $p1 q >_p \text{poly-mult } p2 q$
 $\langle \text{proof} \rangle$
end

18.6 Degree of polynomials

definition *monom-list-degree* :: 'v monom-list \Rightarrow nat **where**
monom-list-degree xps \equiv sum-list (map snd xps)

lift-definition *monom-degree* :: 'v :: linorder monom \Rightarrow nat **is** *monom-list-degree*
 <proof>

definition *poly-degree* :: ('v,'a) poly \Rightarrow nat **where**
poly-degree p \equiv max-list (map (λ (m,c). *monom-degree* m) p)

definition *poly-coeff-sum* :: ('v,'a :: ordered-ab-semigroup) poly \Rightarrow 'a **where**
poly-coeff-sum p \equiv sum-list (map (λ mc. max 0 (snd mc)) p)

lemma *monom-list-degree: eval-monom-list* (λ -. x) m = x ^ *monom-list-degree* m
 <proof>

lemma *monom-list-var-monom[simp]*: *monom-list* (var-monom x) = [(x,1)]
 <proof>

lemma *monom-list-1[simp]*: *monom-list* 1 = []
 <proof>

lemma *monom-degree: eval-monom* (λ -. x) m = x ^ *monom-degree* m
 <proof>

lemma *poly-coeff-sum: poly-coeff-sum* p \geq 0
 <proof>

lemma *poly-degree: assumes* x: x \geq (1 :: 'a :: poly-carrier)
shows *poly-coeff-sum* p * (x ^ *poly-degree* p) \geq *eval-poly* (λ -. x) p
 <proof>

lemma *poly-degree-bound: assumes* x: x \geq (1 :: 'a :: poly-carrier)
and c: c \geq *poly-coeff-sum* p
and d: d \geq *poly-degree* p
shows c * (x ^ d) \geq *eval-poly* (λ -. x) p
 <proof>

18.7 Executable and sufficient criteria to compare polynomials and ensure monotonicity

poly_split extracts the coefficient for a given monomial and returns additionally the remaining polynomial

definition *poly-split* :: ('v monom) \Rightarrow ('v,'a :: zero)poly \Rightarrow 'a \times ('v,'a)poly
where *poly-split* m p \equiv case List.extract (λ (n,-). m = n) p of None \Rightarrow (0,p) |
 Some (p1,(-,c),p2) \Rightarrow (c, p1 @ p2)

lemma *poly-split: assumes* *poly-split* m p = (c,q)

shows $p = p(m, c) \# q$
 <proof>

lemma *poly-split-eval*: **assumes** *poly-split* $m p = (c, q)$
shows *eval-poly* $\alpha p = (\text{eval-monom } \alpha m * c) + \text{eval-poly } \alpha q$
 <proof>

fun *check-poly-eq* :: ('v, 'a :: *semiring-0*)*poly* \Rightarrow ('v, 'a)*poly* \Rightarrow *bool* **where**
check-poly-eq [] $q = (q = [])$
 | *check-poly-eq* ((*m, c*) # *p*) $q = (\text{case } \text{List.extract } (\lambda \text{ nd. } \text{fst nd} = m) \text{ } q \text{ of}$
 None \Rightarrow *False*
 | *Some* (*q1*, (-, *d*), *q2*) $\Rightarrow c = d \wedge \text{check-poly-eq } p (q1 @ q2))$

lemma *check-poly-eq*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
assumes *chk*: *check-poly-eq* $p q$
shows $p = p q$ <proof>

declare *check-poly-eq.simps*[*simp del*]

fun *check-poly-ge* :: ('v, 'a :: *ordered-semiring-0*)*poly* \Rightarrow ('v, 'a)*poly* \Rightarrow *bool* **where**
check-poly-ge [] $q = \text{list-all } (\lambda (-, d). 0 \geq d) \text{ } q$
 | *check-poly-ge* ((*m, c*) # *p*) $q = (\text{case } \text{List.extract } (\lambda \text{ nd. } \text{fst nd} = m) \text{ } q \text{ of}$
 None $\Rightarrow c \geq 0 \wedge \text{check-poly-ge } p q$
 | *Some* (*q1*, (-, *d*), *q2*) $\Rightarrow c \geq d \wedge \text{check-poly-ge } p (q1 @ q2))$

lemma *check-poly-ge*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
shows *check-poly-ge* $p q \Longrightarrow p \geq p q$
 <proof>

declare *check-poly-ge.simps*[*simp del*]

definition *check-poly-weak-mono-all* :: ('v, 'a :: *ordered-semiring-0*)*poly* \Rightarrow *bool*
where *check-poly-weak-mono-all* $p \equiv \text{list-all } (\lambda (m, c). c \geq 0) \text{ } p$

lemma *check-poly-weak-mono-all*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
assumes *check-poly-weak-mono-all* p **shows** *poly-weak-mono-all* p
 <proof>

lemma *check-poly-weak-mono-all-pos*:
assumes *check-poly-weak-mono-all* p **shows** $p \geq p \text{ zero-poly}$
 <proof>

better check for weak monotonicity for discrete carriers: p is monotone
 in v if $p(\dots v + 1 \dots) \geq p(\dots v \dots)$

definition *check-poly-weak-mono-discrete* :: ('v :: *linorder*, 'a :: *poly-carrier*)*poly*
 $\Rightarrow 'v \Rightarrow \text{bool}$

where *check-poly-weak-mono-discrete* $p\ v \equiv \text{check-poly-ge } (\text{poly-subst } (\lambda w. \text{poly-of } (\text{if } w = v \text{ then } P\text{Sum } [P\text{Num } 1, P\text{Var } v] \text{ else } P\text{Var } w))\ p)\ p$

definition *check-poly-weak-mono-and-pos* $:: \text{bool} \Rightarrow ('v :: \text{linorder}, 'a :: \text{poly-carrier})\ \text{poly} \Rightarrow \text{bool}$

where *check-poly-weak-mono-and-pos discrete* $p \equiv$
 if discrete then list-all $(\lambda v. \text{check-poly-weak-mono-discrete } p\ v)$
 $(\text{poly-vars-list } p) \wedge \text{eval-poly } (\lambda w. 0)\ p \geq 0$
 else check-poly-weak-mono-all p

definition *check-poly-weak-anti-mono-discrete* $:: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\ \text{poly} \Rightarrow 'v \Rightarrow \text{bool}$

where *check-poly-weak-anti-mono-discrete* $p\ v \equiv \text{check-poly-ge } p\ (\text{poly-subst } (\lambda w. \text{poly-of } (\text{if } w = v \text{ then } P\text{Sum } [P\text{Num } 1, P\text{Var } v] \text{ else } P\text{Var } w))\ p)$

context *poly-order-carrier*

begin

lemma *check-poly-weak-mono-discrete*:

fixes $v :: 'v :: \text{linorder}$ **and** $p :: ('v, 'a)\ \text{poly}$
assumes *discrete* **and** *check*: *check-poly-weak-mono-discrete* $p\ v$
shows *poly-weak-mono* $p\ v$
 $\langle \text{proof} \rangle$

lemma *check-poly-weak-anti-mono-discrete*:

fixes $v :: 'v :: \text{linorder}$ **and** $p :: ('v, 'a)\ \text{poly}$
assumes *discrete* **and** *check*: *check-poly-weak-anti-mono-discrete* $p\ v$
shows *poly-weak-anti-mono* $p\ v$
 $\langle \text{proof} \rangle$

lemma *check-poly-weak-mono-and-pos*:

fixes $p :: ('v :: \text{linorder}, 'a)\ \text{poly}$
assumes *check-poly-weak-mono-and-pos discrete* p
shows *poly-weak-mono-all* $p \wedge (p \geq_p \text{zero-poly})$
 $\langle \text{proof} \rangle$

end

definition *check-poly-weak-mono* $:: ('v :: \text{linorder}, 'a :: \text{ordered-semiring-0})\ \text{poly} \Rightarrow 'v \Rightarrow \text{bool}$

where *check-poly-weak-mono* $p\ v \equiv \text{list-all } (\lambda (m, c). c \geq 0 \vee v \notin \text{monom-vars } m)\ p$

lemma *check-poly-weak-mono*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\ \text{poly}$

assumes *check-poly-weak-mono* $p\ v$ **shows** *poly-weak-mono* $p\ v$
 $\langle \text{proof} \rangle$

definition *check-poly-weak-mono-smart* $:: \text{bool} \Rightarrow ('v :: \text{linorder}, 'a :: \text{poly-carrier})\ \text{poly} \Rightarrow 'v \Rightarrow \text{bool}$

where *check-poly-weak-mono-smart discrete* \equiv *if discrete then check-poly-weak-mono-discrete else check-poly-weak-mono*

lemma (**in** *poly-order-carrier*) *check-poly-weak-mono-smart*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
shows *check-poly-weak-mono-smart discrete* $p\ v \implies \text{poly-weak-mono } p\ v$
 $\langle \text{proof} \rangle$

definition *check-poly-weak-anti-mono* $:: ('v :: \text{linorder}, 'a :: \text{ordered-semiring-0})\text{poly}$
 $\Rightarrow 'v \Rightarrow \text{bool}$
where *check-poly-weak-anti-mono* $p\ v \equiv \text{list-all } (\lambda (m,c). 0 \geq c \vee v \notin \text{monom-vars } m) p$

lemma *check-poly-weak-anti-mono*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
assumes *check-poly-weak-anti-mono* $p\ v$ **shows** *poly-weak-anti-mono* $p\ v$
 $\langle \text{proof} \rangle$

definition *check-poly-weak-anti-mono-smart* $:: \text{bool} \Rightarrow ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
 $\Rightarrow 'v \Rightarrow \text{bool}$
where *check-poly-weak-anti-mono-smart discrete* \equiv *if discrete then check-poly-weak-anti-mono-discrete else check-poly-weak-anti-mono*

lemma (**in** *poly-order-carrier*) *check-poly-weak-anti-mono-smart*: **fixes** $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$
shows *check-poly-weak-anti-mono-smart discrete* $p\ v \implies \text{poly-weak-anti-mono } p\ v$
 $\langle \text{proof} \rangle$

definition *check-poly-gt* $:: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('v :: \text{linorder}, 'a :: \text{ordered-semiring-0})\text{poly}$
 $\Rightarrow ('v, 'a)\text{poly} \Rightarrow \text{bool}$
where *check-poly-gt* $gt\ p\ q \equiv \text{let } (a1, p1) = \text{poly-split } 1\ p; (b1, q1) = \text{poly-split } 1\ q$
in $gt\ a1\ b1 \wedge \text{check-poly-ge } p1\ q1$

fun *univariate-power-list* $:: 'v \Rightarrow 'v\ \text{monom-list} \Rightarrow \text{nat option}$ **where**
univariate-power-list $x\ [(y,n)] = (\text{if } x = y \text{ then } \text{Some } n \text{ else } \text{None})$
 $| \text{univariate-power-list } - - = \text{None}$

lemma *univariate-power-list*: **assumes** *monom-inv* m *univariate-power-list* $x\ m = \text{Some } n$
shows *sum-var-list* $m = (\lambda y. \text{if } x = y \text{ then } n \text{ else } 0)$
eval-monom-list $\alpha\ m = ((\alpha\ x) ^ n)$
 $n \geq 1$
 $\langle \text{proof} \rangle$

lift-definition *univariate-power* $:: 'v :: \text{linorder} \Rightarrow 'v\ \text{monom} \Rightarrow \text{nat option}$
is *univariate-power-list* $\langle \text{proof} \rangle$

lemma *univariate-power*: **assumes** *univariate-power* $x\ m = \text{Some } n$
shows *sum-var* $m = (\lambda y. \text{if } x = y \text{ then } n \text{ else } 0)$

$eval_monom\ \alpha\ m = ((\alpha\ x) \hat{=} n)$
 $n \geq 1$
 $\langle proof \rangle$

lemma *univariate-power-var-monom*: $univariate_power\ y\ (var_monom\ x) = (if\ x = y\ then\ Some\ 1\ else\ None)$
 $\langle proof \rangle$

definition *check-monom-strict-mono* :: $bool \Rightarrow 'v :: linorder\ monom \Rightarrow 'v \Rightarrow bool$
where

$check_monom_strict_mono\ pm\ m\ v \equiv case\ univariate_power\ v\ m\ of$
 $\quad Some\ p \Rightarrow pm \vee p = 1$
 $\quad | None \Rightarrow False$

definition *check-poly-strict-mono* :: $bool \Rightarrow ('v :: linorder, 'a :: poly_carrier)poly \Rightarrow 'v \Rightarrow bool$

where $check_poly_strict_mono\ pm\ p\ v \equiv list_ex\ (\lambda\ (m,c). (c \geq 1) \wedge check_monom_strict_mono\ pm\ m\ v)\ p$

definition *check-poly-strict-mono-discrete* :: $('a :: poly_carrier \Rightarrow 'a \Rightarrow bool) \Rightarrow ('v :: linorder, 'a)poly \Rightarrow 'v \Rightarrow bool$

where $check_poly_strict_mono_discrete\ gt\ p\ v \equiv check_poly_gt\ gt\ (poly_subst\ (\lambda\ w.\ poly_of\ (if\ w = v\ then\ PSum\ [PNum\ 1, PVar\ v]\ else\ PVar\ w))\ p)\ p$

definition *check-poly-strict-mono-smart* :: $bool \Rightarrow bool \Rightarrow ('a :: poly_carrier \Rightarrow 'a \Rightarrow bool) \Rightarrow ('v :: linorder, 'a)poly \Rightarrow 'v \Rightarrow bool$

where $check_poly_strict_mono_smart\ discrete\ pm\ gt\ p\ v \equiv$
 $if\ discrete\ then\ check_poly_strict_mono_discrete\ gt\ p\ v\ else\ check_poly_strict_mono\ pm\ p\ v$

context *poly-order-carrier*

begin

lemma *check-monom-strict-mono*: **fixes** $\alpha\ \beta :: ('v :: linorder, 'a)assign$ **and** $v :: 'v$ **and** $m :: 'v\ monom$

assumes *check*: $check_monom_strict_mono\ power_mono\ m\ v$

and *gt*: $\alpha\ v \succ \beta\ v$

and *ge*: $\beta\ v \geq 0$

shows $eval_monom\ \alpha\ m \succ eval_monom\ \beta\ m$

$\langle proof \rangle$

lemma *check-poly-strict-mono*:

assumes *check1*: $check_poly_strict_mono\ power_mono\ p\ v$

and *check2*: $check_poly_weak_mono_all\ p$

shows $poly_strict_mono\ p\ v$

$\langle proof \rangle$

lemma *check-poly-gt*:

fixes $p :: ('v :: linorder, 'a)poly$

assumes *check-poly-gt* *gt p q* **shows** $p > p q$
 ⟨*proof*⟩

lemma *check-poly-strict-mono-discrete*:
fixes $v :: 'v :: \text{linorder}$ **and** $p :: ('v, 'a)\text{poly}$
assumes *discrete* **and** *check: check-poly-strict-mono-discrete gt p v*
shows *poly-strict-mono p v*
 ⟨*proof*⟩

lemma *check-poly-strict-mono-smart*:
assumes *check1: check-poly-strict-mono-smart discrete power-mono gt p v*
and *check2: check-poly-weak-mono-and-pos discrete p*
shows *poly-strict-mono p v*
 ⟨*proof*⟩

end

end

19 Displaying Polynomials

theory *Show-Polynomials*

imports

Polynomials

Show.Show-Instances

begin

fun *shows-monom-list* :: $('v :: \{\text{linorder}, \text{show}\})\text{monom-list} \Rightarrow \text{string} \Rightarrow \text{string}$
where

shows-monom-list [(x, p)] = (if $p = 1$ then *shows* x else *shows* $x + @ + \text{shows-string}$
 ""^" + @ + *shows* p)

| *shows-monom-list* ((x, p) # m) = ((if $p = 1$ then *shows* x else *shows* $x + @ +$
shows-string ""^" + @ + *shows* p) + @ + *shows-string* "*" + @ + *shows-monom-list*
 m)

| *shows-monom-list* [] = *shows-string* "1"

instantiation *monom* :: $(\{\text{linorder}, \text{show}\}) \text{ show}$

begin

lift-definition *shows-prec-monom* :: $\text{nat} \Rightarrow 'a \text{ monom} \Rightarrow \text{shows}$ **is** $\lambda n. \text{shows-monom-list}$
 ⟨*proof*⟩

lemma *shows-prec-monom-append* [*show-law-simps*]:

shows-prec d ($m :: 'a \text{ monom}$) ($r @ s$) = *shows-prec* d m $r @ s$

⟨*proof*⟩

definition *shows-list* ($ts :: 'a \text{ monom list}$) = *showsp-list* *shows-prec* 0 ts

instance ⟨*proof*⟩

end

```
fun shows-poly :: ('v :: {show,linorder}, 'a :: {one,show})poly  $\Rightarrow$  string  $\Rightarrow$  string
where
  shows-poly [] = shows-string "0"
| shows-poly ((m,c) # p) = ((if c = 1 then shows m else if m = 1 then shows c
else shows c +@+
  shows-string "*" +@+ shows m) +@+ (if p = [] then shows-string [] else
shows-string " + " +@+ shows-poly p))
end
```

20 Monotonicity criteria of Neurauter, Zankl, and Middeldorp

```
theory NZM
imports Abstract-Rewriting.SN-Order-Carrier Polynomials
begin
```

We show that our check on monotonicity is strong enough to capture the exact criterion for polynomials of degree 2 that is presented in [3]:

- $ax^2 + bx + c$ is monotone if $b + a > 0$ and $a \geq 0$
- $ax^2 + bx + c$ is weakly monotone if $b + a \geq 0$ and $a \geq 0$

```
lemma var-monom-x-x [simp]: var-monom x * var-monom x  $\neq$  1
  <proof>
```

```
lemma monom-list-x-x [simp]: monom-list (var-monom x * var-monom x) = [(x,2)]
  <proof>
```

```
lemma assumes b:  $b + a > 0$  and a:  $(a :: int) \geq 0$ 
  shows check-poly-strict-mono-discrete ( $>$ ) (poly-of (PSum [PNum c, PMult
[PNum b, PVar x], PMult [PNum a, PVar x, PVar x]])) x
  <proof>
```

```
lemma assumes b:  $b + a \geq 0$  and a:  $(a :: int) \geq 0$ 
  shows check-poly-weak-mono-discrete (poly-of (PSum [PNum c, PMult [PNum
b, PVar x], PMult [PNum a, PVar x, PVar x]])) x
  <proof>
```

end

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