

# Executable multivariate polynomials

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## Abstract

We define multivariate polynomials over arbitrary (ordered) semirings in combination with (executable) operations like addition, multiplication, and substitution. We also define (weak) monotonicity of polynomials and comparison of polynomials where we provide standard estimations like absolute positiveness or the more recent approach of [3]. Moreover, it is proven that strongly normalizing (monotone) orders can be lifted to strongly normalizing (monotone) orders over polynomials.

Our formalization was performed as part of the `IsaFoR/CeTA`-system [5]<sup>1</sup> which contains several termination techniques. The provided theories have been essential to formalize polynomial-interpretations [1, 2].

This formalization also contains an abstract representation as coefficient functions with finite support and a type of power-products. If this type is ordered by a linear (term) ordering, various additional notions, such as leading power-product, leading coefficient etc., are introduced as well. Furthermore, a lot of generic properties of, and functions on, multivariate polynomials are formalized, including the substitution and evaluation homomorphisms, embeddings of polynomial rings into larger rings (i.e. with one additional indeterminate), homogenization and dehomogenization of polynomials, and the canonical isomorphism between  $R[X, Y]$  and  $R[X][Y]$ .

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<sup>1</sup><http://cl-informatik.uibk.ac.at/software/ceta>

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## 1 Utilities

**theory** *Utils*

**imports** *Main Well-Quasi-Orders.Almost-Full-Relations*

**begin**

**lemma** *subset-imageE-inj*:

**assumes**  $B \subseteq f' A$

**obtains**  $C$  **where**  $C \subseteq A$  **and**  $B = f' C$  **and** *inj-on*  $f C$

*<proof>*

**lemma** *wfP-chain*:

**assumes**  $\neg(\exists f. \forall i. r (f (Suc i)) (f i))$

**shows** *wfP*  $r$

*<proof>*

**lemma** *transp-sequence*:

**assumes** *transp*  $r$  **and**  $\bigwedge i. r (seq (Suc i)) (seq i)$  **and**  $i < j$

**shows**  $r (seq j) (seq i)$

*<proof>*

**lemma** *almost-full-on-finite-subsetE*:

**assumes** *reflp*  $P$  **and** *almost-full-on*  $P S$

**obtains**  $T$  **where** *finite*  $T$  **and**  $T \subseteq S$  **and**  $\bigwedge s. s \in S \implies (\exists t \in T. P t s)$

*<proof>*

## 1.1 Lists

**lemma** *map-upt*:  $\text{map } (\lambda i. f (xs ! i)) [0..<\text{length } xs] = \text{map } f xs$   
<proof>

**lemma** *map-upt-zip*:

**assumes**  $\text{length } xs = \text{length } ys$   
**shows**  $\text{map } (\lambda i. f (xs ! i) (ys ! i)) [0..<\text{length } ys] = \text{map } (\lambda(x, y). f x y) (\text{zip } xs\ ys)$  (**is**  $?l = ?r$ )  
<proof>

**lemma** *distinct-sorted-wrt-irrefl*:

**assumes** *irreflp rel* **and** *transp rel* **and** *sorted-wrt rel xs*  
**shows** *distinct xs*  
<proof>

**lemma** *distinct-sorted-wrt-imp-sorted-wrt-strict*:

**assumes** *distinct xs* **and** *sorted-wrt rel xs*  
**shows** *sorted-wrt*  $(\lambda x y. \text{rel } x y \wedge \neg x = y)$  *xs*  
<proof>

**lemma** *sorted-wrt-distinct-set-unique*:

**assumes** *antisymp rel*  
**assumes** *sorted-wrt rel xs* *distinct xs* *sorted-wrt rel ys* *distinct ys*  $\text{set } xs = \text{set } ys$   
**shows**  $xs = ys$   
<proof>

**lemma** *sorted-wrt-refl-nth-mono*:

**assumes** *reflp P* **and** *sorted-wrt P xs* **and**  $i \leq j$  **and**  $j < \text{length } xs$   
**shows**  $P (xs ! i) (xs ! j)$   
<proof>

**fun** *merge-wrt* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$  **where**

*merge-wrt* -  $xs [] = xs$   
*merge-wrt rel* []  $ys = ys$   
*merge-wrt rel*  $(x \# xs) (y \# ys) =$   
  (*if*  $x = y$  *then*  
     $y \# (\text{merge-wrt rel } xs \ ys)$   
  *else if* *rel*  $x \ y$  *then*  
     $x \# (\text{merge-wrt rel } xs (y \# ys))$   
  *else*  
     $y \# (\text{merge-wrt rel } (x \# xs) \ ys)$   
  )

**lemma** *set-merge-wrt*:  $\text{set } (\text{merge-wrt rel } xs \ ys) = \text{set } xs \cup \text{set } ys$

<proof>

**lemma** *sorted-merge-wrt*:

**assumes** *transp rel* **and**  $\bigwedge x y. x \neq y \implies \text{rel } x \ y \vee \text{rel } y \ x$   
**and** *sorted-wrt rel xs* **and** *sorted-wrt rel ys*

**shows** *sorted-wrt rel (merge-wrt rel xs ys)*  
*<proof>*

**lemma** *set-fold*:

**assumes**  $\bigwedge x ys. \text{set } (f (g x) ys) = \text{set } (g x) \cup \text{set } ys$   
**shows**  $\text{set } (\text{fold } (\lambda x. f (g x)) xs ys) = (\bigcup x \in \text{set } xs. \text{set } (g x)) \cup \text{set } ys$   
*<proof>*

## 1.2 Sums and Products

**lemma** *additive-implies-homogenous*:

**assumes**  $\bigwedge x y. f (x + y) = f x + ((f y)::'a::\text{monoid-add})::'b::\text{cancel-comm-monoid-add}$   
**shows**  $f 0 = 0$   
*<proof>*

**lemma** *fun-sum-commute*:

**assumes**  $f 0 = 0$  **and**  $\bigwedge x y. f (x + y) = f x + f y$   
**shows**  $f (\text{sum } g A) = (\sum a \in A. f (g a))$   
*<proof>*

**lemma** *fun-sum-commute-canc*:

**assumes**  $\bigwedge x y. f (x + y) = f x + ((f y)::'a::\text{cancel-comm-monoid-add})$   
**shows**  $f (\text{sum } g A) = (\sum a \in A. f (g a))$   
*<proof>*

**lemma** *fun-sum-list-commute*:

**assumes**  $f 0 = 0$  **and**  $\bigwedge x y. f (x + y) = f x + f y$   
**shows**  $f (\text{sum-list } xs) = \text{sum-list } (\text{map } f xs)$   
*<proof>*

**lemma** *fun-sum-list-commute-canc*:

**assumes**  $\bigwedge x y. f (x + y) = f x + ((f y)::'a::\text{cancel-comm-monoid-add})$   
**shows**  $f (\text{sum-list } xs) = \text{sum-list } (\text{map } f xs)$   
*<proof>*

**lemma** *sum-set-upt-eq-sum-list*:  $(\sum i = m..<n. f i) = (\sum i \leftarrow [m..<n]. f i)$   
*<proof>*

**lemma** *sum-list-upt*:  $(\sum i \leftarrow [0..<(\text{length } xs)]. f (xs ! i)) = (\sum x \leftarrow xs. f x)$   
*<proof>*

**lemma** *sum-list-upt-zip*:

**assumes**  $\text{length } xs = \text{length } ys$   
**shows**  $(\sum i \leftarrow [0..<(\text{length } ys)]. f (xs ! i) (ys ! i)) = (\sum (x, y) \leftarrow (\text{zip } xs ys). f x y)$   
*<proof>*

**lemma** *sum-list-zeroI*:

**assumes**  $\text{set } xs \subseteq \{0\}$

**shows** *sum-list xs = 0*  
*<proof>*

**lemma** *fun-prod-commute*:  
**assumes**  $f\ 1 = 1$  **and**  $\bigwedge x\ y. f\ (x * y) = f\ x * f\ y$   
**shows**  $f\ (\text{prod } g\ A) = (\prod_{a \in A}. f\ (g\ a))$   
*<proof>*

**end**

## 2 An abstract type for multivariate polynomials

**theory** *MPoly-Type*  
**imports** *HOL-Library.Poly-Mapping*  
**begin**

### 2.1 Abstract type definition

**typedef** (**overloaded**) *'a mpoly =*  
*UNIV :: ((nat  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a::zero) set*  
**morphisms** *mapping-of MPoly*  
*<proof>*

**setup-lifting** *type-definition-mpoly*

**thm** *mapping-of-inverse*    **thm** *MPoly-inverse*  
**thm** *mapping-of-inject*    **thm** *MPoly-inject*  
**thm** *mapping-of-induct*    **thm** *MPoly-induct*  
**thm** *mapping-of-cases*    **thm** *MPoly-cases*

### 2.2 Additive structure

**instantiation** *mpoly :: (zero) zero*  
**begin**

**lift-definition** *zero-mpoly :: 'a mpoly*  
*is 0 :: (nat  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a <proof>*

**instance** *<proof>*

**end**

**instantiation** *mpoly :: (monoid-add) monoid-add*  
**begin**

**lift-definition** *plus-mpoly :: 'a mpoly  $\Rightarrow$  'a mpoly  $\Rightarrow$  'a mpoly*  
*is Groups.plus :: ((nat  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a)  $\Rightarrow$  - <proof>*

**instance**  
   $\langle proof \rangle$

**end**

**instance**  $mpoly :: (comm-monoid-add) comm-monoid-add$   
   $\langle proof \rangle$

**instantiation**  $mpoly :: (cancel-comm-monoid-add) cancel-comm-monoid-add$   
**begin**

**lift-definition**  $minus-mpoly :: 'a mpoly \Rightarrow 'a mpoly \Rightarrow 'a mpoly$   
  **is**  $Groups.minus :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow - \langle proof \rangle$

**instance**  
   $\langle proof \rangle$

**end**

**instantiation**  $mpoly :: (ab-group-add) ab-group-add$   
**begin**

**lift-definition**  $uminus-mpoly :: 'a mpoly \Rightarrow 'a mpoly$   
  **is**  $Groups.uminus :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow - \langle proof \rangle$

**instance**  
   $\langle proof \rangle$

**end**

## 2.3 Multiplication by a coefficient

**lift-definition**  $smult :: 'a::\{times,zero\} \Rightarrow 'a mpoly \Rightarrow 'a mpoly$   
  **is**  $\lambda a. Poly-Mapping.map (Groups.times a) :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \Rightarrow - \langle proof \rangle$

## 2.4 Multiplicative structure

**instantiation**  $mpoly :: (zero-neq-one) zero-neq-one$   
**begin**

**lift-definition**  $one-mpoly :: 'a mpoly$   
  **is**  $1 :: ((nat \Rightarrow_0 nat) \Rightarrow_0 'a) \langle proof \rangle$

**instance**  
   $\langle proof \rangle$

**end**

**instantiation**  $mpoly :: (semiring-0) semiring-0$

**begin**

**lift-definition** *times-mpoly* :: 'a mpoly  $\Rightarrow$  'a mpoly  $\Rightarrow$  'a mpoly  
is *Groups.times* :: ((nat  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a)  $\Rightarrow$  - <proof>

**instance**  
<proof>

**end**

**instance** *mpoly* :: (comm-semiring-0) comm-semiring-0  
<proof>

**instance** *mpoly* :: (semiring-0-cancel) semiring-0-cancel  
<proof>

**instance** *mpoly* :: (comm-semiring-0-cancel) comm-semiring-0-cancel  
<proof>

**instance** *mpoly* :: (semiring-1) semiring-1  
<proof>

**instance** *mpoly* :: (comm-semiring-1) comm-semiring-1  
<proof>

**instance** *mpoly* :: (semiring-1-cancel) semiring-1-cancel  
<proof>

**instance** *mpoly* :: (ring) ring  
<proof>

**instance** *mpoly* :: (comm-ring) comm-ring  
<proof>

**instance** *mpoly* :: (ring-1) ring-1  
<proof>

**instance** *mpoly* :: (comm-ring-1) comm-ring-1  
<proof>

## 2.5 Monomials

Terminology is not unique here, so we use the notions as follows: A "monomial" and a "coefficient" together give a "term". These notions are significant in connection with "leading", "leading term", "leading coefficient" and "leading monomial", which all rely on a monomial order.

**lift-definition** *monom* :: (nat  $\Rightarrow_0$  nat)  $\Rightarrow$  'a::zero  $\Rightarrow$  'a mpoly

**is** *Poly-Mapping.single* :: (nat  $\Rightarrow_0$  nat)  $\Rightarrow$  - *<proof>*

**lemma** *mapping-of-monom* [*simp*]:  
*mapping-of* (monom *m a*) = *Poly-Mapping.single m a*  
*<proof>*

**lemma** *monom-zero* [*simp*]:  
*monom 0 0 = 0*  
*<proof>*

**lemma** *monom-one* [*simp*]:  
*monom 0 1 = 1*  
*<proof>*

**lemma** *monom-add*:  
*monom m (a + b) = monom m a + monom m b*  
*<proof>*

**lemma** *monom-uminus*:  
*monom m (- a) = - monom m a*  
*<proof>*

**lemma** *monom-diff*:  
*monom m (a - b) = monom m a - monom m b*  
*<proof>*

**lemma** *monom-numeral* [*simp*]:  
*monom 0 (numeral n) = numeral n*  
*<proof>*

**lemma** *monom-of-nat* [*simp*]:  
*monom 0 (of-nat n) = of-nat n*  
*<proof>*

**lemma** *of-nat-monom*:  
*of-nat = monom 0  $\circ$  of-nat*  
*<proof>*

**lemma** *inj-monom* [*iff*]:  
*inj (monom m)*  
*<proof>*

**lemma** *mult-monom*: *monom x a \* monom y b = monom (x + y) (a \* b)*  
*<proof>*

**instance** *mpoly* :: (semiring-char-0) semiring-char-0  
*<proof>*

**instance** *mpoly* :: (ring-char-0) ring-char-0

*<proof>*

**lemma** *monom-of-int* [*simp*]:  
*monom 0 (of-int k) = of-int k*  
*<proof>*

## 2.6 Constants and Indeterminates

Embedding of indeterminates and constants in type-class polynomials, can be used as constructors.

**definition** *Var<sub>0</sub>* :: 'a ⇒ ('a ⇒<sub>0</sub> nat) ⇒<sub>0</sub> 'b::{one,zero} **where**  
*Var<sub>0</sub> n ≡ Poly-Mapping.single (Poly-Mapping.single n 1) 1*

**definition** *Const<sub>0</sub>* :: 'b ⇒ ('a ⇒<sub>0</sub> nat) ⇒<sub>0</sub> 'b::{zero} **where** *Const<sub>0</sub> c ≡ Poly-Mapping.single 0 c*

**lemma** *Const<sub>0</sub>-one*: *Const<sub>0</sub> 1 = 1*  
*<proof>*

**lemma** *Const<sub>0</sub>-numeral*: *Const<sub>0</sub> (numeral x) = numeral x*  
*<proof>*

**lemma** *Const<sub>0</sub>-minus*: *Const<sub>0</sub> (- x) = - Const<sub>0</sub> x*  
*<proof>*

**lemma** *Const<sub>0</sub>-zero*: *Const<sub>0</sub> 0 = 0*  
*<proof>*

**lemma** *Var<sub>0</sub>-power*: *Var<sub>0</sub> v ^ n = Poly-Mapping.single (Poly-Mapping.single v n) 1*  
*<proof>*

**lift-definition** *Var*::nat ⇒ 'b::{one,zero} *mpoly* **is** *Var<sub>0</sub>* *<proof>*

**lift-definition** *Const*::'b::{zero} ⇒ 'b *mpoly* **is** *Const<sub>0</sub>* *<proof>*

## 2.7 Integral domains

**instance** *mpoly* :: (*ring-no-zero-divisors*) *ring-no-zero-divisors*  
*<proof>*

**instance** *mpoly* :: (*ring-1-no-zero-divisors*) *ring-1-no-zero-divisors*  
*<proof>*

**instance** *mpoly* :: (*idom*) *idom*  
*<proof>*

## 2.8 Monom coefficient lookup

**definition** *coeff* :: 'a::{zero} *mpoly* ⇒ (nat ⇒<sub>0</sub> nat) ⇒ 'a  
**where**

$\text{coeff } p = \text{Poly-Mapping.lookup } (\text{mapping-of } p)$

## 2.9 Insertion morphism

**definition**  $\text{insertion-fun-natural} :: (\text{nat} \Rightarrow 'a) \Rightarrow ((\text{nat} \Rightarrow \text{nat}) \Rightarrow 'a) \Rightarrow 'a :: \text{comm-semiring-1}$   
**where**

$\text{insertion-fun-natural } f \ p = (\sum m. p \ m * (\prod v. f \ v \wedge m \ v))$

**definition**  $\text{insertion-fun} :: (\text{nat} \Rightarrow 'a) \Rightarrow ((\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow 'a) \Rightarrow 'a :: \text{comm-semiring-1}$   
**where**

$\text{insertion-fun } f \ p = (\sum m. p \ m * (\prod v. f \ v \wedge \text{Poly-Mapping.lookup } m \ v))$

N.b. have been unable to relate this to  $\text{insertion-fun-natural}$  using lifting!

**lift-definition**  $\text{insertion-aux} :: (\text{nat} \Rightarrow 'a) \Rightarrow ((\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow 'a :: \text{comm-semiring-1}$   
**is**  $\text{insertion-fun}$   $\langle \text{proof} \rangle$

**lift-definition**  $\text{insertion} :: (\text{nat} \Rightarrow 'a) \Rightarrow 'a \ \text{mpoly} \Rightarrow 'a :: \text{comm-semiring-1}$   
**is**  $\text{insertion-aux}$   $\langle \text{proof} \rangle$

**lemma**  $\text{aux}$ :

$\text{Poly-Mapping.lookup } f = (\lambda-. 0) \longleftrightarrow f = 0$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{insertion-trivial}$   $[\text{simp}]$ :

$\text{insertion } (\lambda-. 0) \ p = \text{coeff } p \ 0$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{insertion-zero}$   $[\text{simp}]$ :

$\text{insertion } f \ 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{insertion-fun-add}$ :

**fixes**  $f \ p \ q$

**shows**  $\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } (p + q)) =$

$\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } p) +$   
 $\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } q)$

$\langle \text{proof} \rangle$

**lemma**  $\text{insertion-add}$ :

$\text{insertion } f \ (p + q) = \text{insertion } f \ p + \text{insertion } f \ q$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{insertion-one}$   $[\text{simp}]$ :

$\text{insertion } f \ 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{insertion-fun-mult}$ :

**fixes**  $f \ p \ q$

**shows**  $\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } (p * q)) =$

$\text{insertion-fun } f \ (\text{Poly-Mapping.lookup } p) *$

*insertion-fun f (Poly-Mapping.lookup q)*  
⟨proof⟩

**lemma** *insertion-mult*:  
*insertion f (p \* q) = insertion f p \* insertion f q*  
⟨proof⟩

## 2.10 Degree

**lift-definition** *degree* :: 'a::zero mpoly ⇒ nat ⇒ nat  
**is**  $\lambda p v. \text{Max} (\text{insert } 0 ((\lambda m. \text{Poly-Mapping.lookup } m v) \text{ ' Poly-Mapping.keys } p))$   
⟨proof⟩

**lift-definition** *total-degree* :: 'a::zero mpoly ⇒ nat  
**is**  $\lambda p. \text{Max} (\text{insert } 0 ((\lambda m. \text{sum} (\text{Poly-Mapping.lookup } m) (\text{Poly-Mapping.keys } m)) \text{ ' Poly-Mapping.keys } p))$   
⟨proof⟩

**lemma** *degree-zero* [simp]:  
*degree 0 v = 0*  
⟨proof⟩

**lemma** *total-degree-zero* [simp]:  
*total-degree 0 = 0*  
⟨proof⟩

**lemma** *degree-one* [simp]:  
*degree 1 v = 0*  
⟨proof⟩

**lemma** *total-degree-one* [simp]:  
*total-degree 1 = 0*  
⟨proof⟩

## 2.11 Pseudo-division of polynomials

**lemma** *smult-conv-mult*: *smult s p = monom 0 s \* p*  
⟨proof⟩

**lemma** *smult-monom* [simp]:  
**fixes** *c* :: - :: *mult-zero*  
**shows** *smult c (monom x c<sup>^</sup>) = monom x (c \* c<sup>^</sup>)*  
⟨proof⟩

**lemma** *smult-0* [simp]:  
**fixes** *p* :: - :: *mult-zero mpoly*  
**shows** *smult 0 p = 0*  
⟨proof⟩

**lemma** *mult-smult-left*:  $smult\ s\ p * q = smult\ s\ (p * q)$   
 ⟨proof⟩

**lift-definition** *sdv* ::  $'a::euclidean-ring \Rightarrow 'a\ mpoly \Rightarrow 'a\ mpoly$   
 is  $\lambda a. Poly\text{-}Mapping.map\ (\lambda b. b\ div\ a) :: ((nat \Rightarrow_0\ nat) \Rightarrow_0\ 'a) \Rightarrow -$   
 ⟨proof⟩

‘Polynomial division’ is only possible on univariate polynomials  $K[x]$  over a field  $K$ , all other kinds of polynomials only allow pseudo-division [1]p.40/41":

$$\forall x\ y :: 'a\ mpoly. y \neq 0 \Rightarrow \exists a\ q\ r. smult\ a\ x = q * y + r$$

The introduction of pseudo-division below generalises `~/src/HOL/Computational_Algebra/Polynomial.thy`. [1] Winkler, Polynomial Algorithms, 1996. The generalisation raises issues addressed by Wenda Li and commented below. Florian replied to the issues conjecturing, that the abstract mpoly needs not be aware of the issues, in case these are only concerned with executability.

**definition** *pseudo-divmod-rel*  
 ::  $'a::euclidean-ring \Rightarrow 'a\ mpoly \Rightarrow 'a\ mpoly \Rightarrow 'a\ mpoly \Rightarrow 'a\ mpoly \Rightarrow$   
 $bool$   
**where**  
 $pseudo\text{-}divmod\text{-}rel\ a\ x\ y\ q\ r \longleftrightarrow$   
 $smult\ a\ x = q * y + r \wedge (if\ y = 0\ then\ q = 0\ else\ r = 0 \vee degree\ r < degree\ y)$

**definition** *pdiv* ::  $'a::euclidean-ring\ mpoly \Rightarrow 'a\ mpoly \Rightarrow ('a \times 'a\ mpoly)$  (**infixl**  
 ⟨pdiv⟩ 70)  
**where**  
 $x\ pdiv\ y = (THE\ (a, q). \exists r. pseudo\text{-}divmod\text{-}rel\ a\ x\ y\ q\ r)$

**definition** *pmod* ::  $'a::euclidean-ring\ mpoly \Rightarrow 'a\ mpoly \Rightarrow 'a\ mpoly$  (**infixl** ⟨pmod⟩  
 70)  
**where**  
 $x\ pmod\ y = (THE\ r. \exists a\ q. pseudo\text{-}divmod\text{-}rel\ a\ x\ y\ q\ r)$

**definition** *pdivmod* ::  $'a::euclidean-ring\ mpoly \Rightarrow 'a\ mpoly \Rightarrow ('a \times 'a\ mpoly) \times$   
 $'a\ mpoly$   
**where**  
 $pdivmod\ p\ q = (p\ pdiv\ q, p\ pmod\ q)$

**lemma** *pdiv-code*:  
 $p\ pdiv\ q = fst\ (pdivmod\ p\ q)$   
 ⟨proof⟩

**lemma** *pmod-code*:  
 $p\ pmod\ q = snd\ (pdivmod\ p\ q)$   
 ⟨proof⟩

## 2.12 Primitive poly, etc

**lift-definition** *coeffs* :: 'a :: zero mpoly  $\Rightarrow$  'a set  
**is** *Poly-Mapping.range* :: ((nat  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a)  $\Rightarrow$  - <proof>

**lemma** *finite-coeffs [simp]: finite (coeffs p)*  
<proof>

[1]p.82 A "primitive" polynomial has coefficients with GCD equal to 1. A polynomial is factored into "content" and "primitive part" for many different purposes.

**definition** *primitive* :: 'a::{euclidean-ring,semiring-Gcd} mpoly  $\Rightarrow$  bool  
**where**  
*primitive p*  $\longleftrightarrow$  *Gcd (coeffs p) = 1*

**definition** *content-primitive* :: 'a::{euclidean-ring,GCD.Gcd} mpoly  $\Rightarrow$  'a  $\times$  'a mpoly  
**where**  
*content-primitive p* = (  
  *let d = Gcd (coeffs p)*  
  *in (d, sdiv d p)*)

**value** *let p = M [1,2,3] (4::int) + M [2,0,4] 6 + M [2,0,5] 8*  
*in content-primitive p*

**end**

**theory** *More-MPoly-Type*  
**imports** *MPoly-Type*  
**begin**

**abbreviation** *lookup* == *Poly-Mapping.lookup*  
**abbreviation** *keys* == *Poly-Mapping.keys*

## 3 MPpoly Mapping extension

**lemma** *lookup-Abs-poly-mapping-when-finite:*  
**assumes** *finite S*  
**shows** *lookup (Abs-poly-mapping ( $\lambda x. f x$  when  $x \in S$ )) = ( $\lambda x. f x$  when  $x \in S$ )*  
<proof>

**definition** *remove-key::'a  $\Rightarrow$  ('a  $\Rightarrow_0$  'b::monoid-add)  $\Rightarrow$  ('a  $\Rightarrow_0$  'b)* **where**  
*remove-key k0 f = Abs-poly-mapping ( $\lambda k. lookup f k$  when  $k \neq k0$ )*

**lemma** *remove-key-lookup:*  
*lookup (remove-key k0 f) k = (lookup f k when  $k \neq k0$ )*  
<proof>

**lemma** *remove-key-keys*:  $keys\ f - \{k\} = keys\ (remove\ key\ k\ f)$  (**is**  $?A = ?B$ )  
 ⟨*proof*⟩

**lemma** *remove-key-sum*:  $remove\ key\ k\ f + Poly\ Mapping.single\ k\ (lookup\ f\ k) = f$   
 ⟨*proof*⟩

**lemma** *remove-key-single[simp]*:  $remove\ key\ v\ (Poly\ Mapping.single\ v\ n) = 0$   
 ⟨*proof*⟩

**lemma** *remove-key-add*:  $remove\ key\ v\ m + remove\ key\ v\ m' = remove\ key\ v\ (m + m')$   
 ⟨*proof*⟩

**lemma** *poly-mapping-induct* [*case-names single sum*]:  
**fixes**  $P::('a, 'b::monoid\ add)\ poly\ mapping \Rightarrow bool$   
**assumes**  $single:\bigwedge k\ v. P\ (Poly\ Mapping.single\ k\ v)$   
**and**  $sum:(\bigwedge f\ g\ k\ v. P\ f \Rightarrow P\ g \Rightarrow g = (Poly\ Mapping.single\ k\ v) \Rightarrow k \notin keys\ f \Rightarrow P\ (f+g))$   
**shows**  $P\ f$  ⟨*proof*⟩

**lemma** *map-lookup*:  
**assumes**  $g\ 0 = 0$   
**shows**  $lookup\ (Poly\ Mapping.map\ g\ f)\ x = g\ ((lookup\ f)\ x)$   
 ⟨*proof*⟩

**lemma** *keys-add*:  
**assumes**  $keys\ f \cap keys\ g = \{\}$   
**shows**  $keys\ f \cup keys\ g = keys\ (f+g)$   
 ⟨*proof*⟩

**lemma** *fun-when*:  
 $f\ 0 = 0 \Rightarrow f\ (a\ when\ P) = (f\ a\ when\ P)$  ⟨*proof*⟩

## 4 MPoly extension

**lemma** *coeff-all-0*:  $(\bigwedge m. coeff\ p\ m = 0) \Rightarrow p=0$   
 ⟨*proof*⟩

**definition**  $vars::'a::zero\ mpoly \Rightarrow nat\ set$  **where**  
 $vars\ p = \bigcup (keys\ 'keys\ (mapping\ of\ p))$

**lemma** *vars-finite*:  $finite\ (vars\ p)$  ⟨*proof*⟩

**lemma** *vars-monom-single*:  $vars\ (monom\ (Poly\ Mapping.single\ v\ k)\ a) \subseteq \{v\}$   
 ⟨*proof*⟩

**lemma** *vars-monom-keys*:

**assumes**  $a \neq 0$

**shows**  $\text{vars} (\text{monom } m \ a) = \text{keys } m$

$\langle \text{proof} \rangle$

**lemma** *vars-monom-subset*:

**shows**  $\text{vars} (\text{monom } m \ a) \subseteq \text{keys } m$

$\langle \text{proof} \rangle$

**lemma** *vars-monom-single-cases*:  $\text{vars} (\text{monom} (\text{Poly-Mapping.single } v \ k) \ a) = (\text{if } k=0 \ \vee \ a=0 \ \text{then } \{\} \ \text{else } \{v\})$

$\langle \text{proof} \rangle$

**lemma** *vars-monom*:

**assumes**  $a \neq 0$

**shows**  $\text{vars} (\text{monom } m \ (1::'a::\text{zero-neq-one})) = \text{vars} (\text{monom } m \ (a::'a))$

$\langle \text{proof} \rangle$

**lemma** *vars-add*:  $\text{vars} (p1 + p2) \subseteq \text{vars } p1 \cup \text{vars } p2$

$\langle \text{proof} \rangle$

**lemma** *vars-mult*:  $\text{vars} (p*q) \subseteq \text{vars } p \cup \text{vars } q$

$\langle \text{proof} \rangle$

**lemma** *vars-add-monom*:

**assumes**  $p2 = \text{monom } m \ a \ m \notin \text{keys} (\text{mapping-of } p1)$

**shows**  $\text{vars} (p1 + p2) = \text{vars } p1 \cup \text{vars } p2$

$\langle \text{proof} \rangle$

**lemma** *vars-setsum*:  $\text{finite } S \implies \text{vars} (\sum_{m \in S}. f \ m) \subseteq (\bigcup_{m \in S}. \text{vars} (f \ m))$

$\langle \text{proof} \rangle$

**lemma** *coeff-monom*:  $\text{coeff} (\text{monom } m \ a) \ m' = (a \ \text{when } m'=m)$

$\langle \text{proof} \rangle$

**lemma** *coeff-add*:  $\text{coeff } p \ m + \text{coeff } q \ m = \text{coeff} (p+q) \ m$

$\langle \text{proof} \rangle$

**lemma** *coeff-eq*:  $\text{coeff } p = \text{coeff } q \iff p=q$   $\langle \text{proof} \rangle$

**lemma** *coeff-monom-mult*:  $\text{coeff} ((\text{monom } m' \ a) * q) (m' + m) = a * \text{coeff } q \ m$

$\langle \text{proof} \rangle$

**lemma** *one-term-is-monomial*:

**assumes**  $\text{card} (\text{keys} (\text{mapping-of } p)) \leq 1$

**obtains**  $m$  **where**  $p = \text{monom } m \ (\text{coeff } p \ m)$

$\langle \text{proof} \rangle$

**definition** *remove-term*::( $\text{nat} \Rightarrow_0 \text{nat}$ )  $\Rightarrow$  'a::zero mpoly  $\Rightarrow$  'a mpoly **where**  
*remove-term* m0 p = MPoly (Abs-poly-mapping ( $\lambda m. \text{coeff } p \ m \ \text{when } m \neq m0$ ))

**lemma** *remove-term-coeff*:  $\text{coeff } (\text{remove-term } m0 \ p) \ m = (\text{coeff } p \ m \ \text{when } m \neq m0)$   
 ⟨proof⟩

**lemma** *coeff-keys*:  $m \in \text{keys } (\text{mapping-of } p) \longleftrightarrow \text{coeff } p \ m \neq 0$   
 ⟨proof⟩

**lemma** *remove-term-keys*:  
**shows**  $\text{keys } (\text{mapping-of } p) - \{m\} = \text{keys } (\text{mapping-of } (\text{remove-term } m \ p))$  (**is** ?A = ?B)  
 ⟨proof⟩

**lemma** *remove-term-sum*:  $\text{remove-term } m \ p + \text{monom } m \ (\text{coeff } p \ m) = p$   
 ⟨proof⟩

**lemma** *mpoly-induct* [case-names monom sum]:  
**assumes** *monom*:  $\bigwedge m \ a. P \ (\text{monom } m \ a)$   
**and** *sum*:  $(\bigwedge p1 \ p2 \ m \ a. P \ p1 \ \Longrightarrow P \ p2 \ \Longrightarrow p2 = (\text{monom } m \ a) \ \Longrightarrow m \notin \text{keys } (\text{mapping-of } p1) \ \Longrightarrow P \ (p1+p2))$   
**shows**  $P \ p$  ⟨proof⟩

**lemma** *monom-pow*:  $\text{monom } (\text{Poly-Mapping.single } v \ n0) \ a \ ^n = \text{monom } (\text{Poly-Mapping.single } v \ (n0*n)) \ (a \ ^n)$   
 ⟨proof⟩

**lemma** *insertion-fun-single*:  $\text{insertion-fun } f \ (\lambda m. (a \ \text{when } (\text{Poly-Mapping.single } (v::\text{nat}) \ (n::\text{nat})) = m)) = a * f \ v \ ^n$  (**is** ?i = -)  
 ⟨proof⟩

**lemma** *insertion-single[simp]*:  $\text{insertion } f \ (\text{monom } (\text{Poly-Mapping.single } (v::\text{nat}) \ (n::\text{nat})) \ a) = a * f \ v \ ^n$   
 ⟨proof⟩

**lemma** *insertion-fun-irrelevant-vars*:  
**fixes** *p*::( $\text{nat} \Rightarrow_0 \text{nat}$ )  $\Rightarrow$  'a::comm-ring-1)  
**assumes**  $\bigwedge m \ v. p \ m \neq 0 \ \Longrightarrow \ \text{lookup } m \ v \neq 0 \ \Longrightarrow f \ v = g \ v$   
**shows**  $\text{insertion-fun } f \ p = \text{insertion-fun } g \ p$   
 ⟨proof⟩

**lemma** *insertion-aux-irrelevant-vars*:  
**fixes** *p*::( $\text{nat} \Rightarrow_0 \text{nat}$ )  $\Rightarrow_0$  'a::comm-ring-1)  
**assumes**  $\bigwedge m \ v. \text{lookup } p \ m \neq 0 \ \Longrightarrow \ \text{lookup } m \ v \neq 0 \ \Longrightarrow f \ v = g \ v$   
**shows**  $\text{insertion-aux } f \ p = \text{insertion-aux } g \ p$   
 ⟨proof⟩

**lemma** *insertion-irrelevant-vars*:  
**fixes**  $p::'a::\text{comm-ring-1 mpoly}$   
**assumes**  $\bigwedge v. v \in \text{vars } p \implies f v = g v$   
**shows**  $\text{insertion } f p = \text{insertion } g p$   
 $\langle \text{proof} \rangle$

## 5 Nested MPoly

**definition** *reduce-nested-mpoly*:: $'a::\text{comm-ring-1 mpoly mpoly} \Rightarrow 'a \text{ mpoly}$  **where**  
 $\text{reduce-nested-mpoly } pp = \text{insertion } (\lambda v. \text{monom } (\text{Poly-Mapping.single } v \ 1) \ 1) \ pp$

**lemma** *reduce-nested-mpoly-sum*:  
**fixes**  $p1::'a::\text{comm-ring-1 mpoly mpoly}$   
**shows**  $\text{reduce-nested-mpoly } (p1 + p2) = \text{reduce-nested-mpoly } p1 + \text{reduce-nested-mpoly } p2$   
 $\langle \text{proof} \rangle$

**lemma** *reduce-nested-mpoly-prod*:  
**fixes**  $p1::'a::\text{comm-ring-1 mpoly mpoly}$   
**shows**  $\text{reduce-nested-mpoly } (p1 * p2) = \text{reduce-nested-mpoly } p1 * \text{reduce-nested-mpoly } p2$   
 $\langle \text{proof} \rangle$

**lemma** *reduce-nested-mpoly-0*:  
**shows**  $\text{reduce-nested-mpoly } 0 = 0 \langle \text{proof} \rangle$

**lemma** *insertion-nested-poly*:  
**fixes**  $pp::'a::\text{comm-ring-1 mpoly mpoly}$   
**shows**  $\text{insertion } f (\text{insertion } (\lambda v. \text{monom } 0 (f v)) \ pp) = \text{insertion } f (\text{reduce-nested-mpoly } pp)$   
 $\langle \text{proof} \rangle$

**definition** *extract-var*:: $'a::\text{comm-ring-1 mpoly} \Rightarrow \text{nat} \Rightarrow 'a::\text{comm-ring-1 mpoly mpoly}$  **where**  
 $\text{extract-var } p \ v = (\sum m. \text{monom } (\text{remove-key } v \ m) (\text{monom } (\text{Poly-Mapping.single } v (\text{lookup } m \ v)) (\text{coeff } p \ m)))$

**lemma** *extract-var-finite-set*:  
**assumes**  $\{m'. \text{coeff } p \ m' \neq 0\} \subseteq S$   
**assumes** *finite*  $S$   
**shows**  $\text{extract-var } p \ v = (\sum m \in S. \text{monom } (\text{remove-key } v \ m) (\text{monom } (\text{Poly-Mapping.single } v (\text{lookup } m \ v)) (\text{coeff } p \ m)))$   
 $\langle \text{proof} \rangle$

**lemma** *extract-var-non-zero-coeff*:  $\text{extract-var } p \ v = (\sum m \in \{m'. \text{coeff } p \ m' \neq 0\}. \text{monom } (\text{remove-key } v \ m) (\text{monom } (\text{Poly-Mapping.single } v (\text{lookup } m \ v)) (\text{coeff } p \ m)))$   
 $\langle \text{proof} \rangle$

**lemma** *extract-var-sum*:  $\text{extract-var } (p+p') v = \text{extract-var } p v + \text{extract-var } p' v$   
<proof>

**lemma** *extract-var-monom*:

**shows**  $\text{extract-var } (\text{monom } m a) v = \text{monom } (\text{remove-key } v m) (\text{monom } (\text{Poly-Mapping.single } v (\text{lookup } m v)) a)$   
<proof>

**lemma** *extract-var-monom-mult*:

**shows**  $\text{extract-var } (\text{monom } (m+m') (a*b)) v = \text{extract-var } (\text{monom } m a) v * \text{extract-var } (\text{monom } m' b) v$   
<proof>

**lemma** *extract-var-single*:  $\text{extract-var } (\text{monom } (\text{Poly-Mapping.single } v n) a) v = \text{monom } 0 (\text{monom } (\text{Poly-Mapping.single } v n) a)$   
<proof>

**lemma** *extract-var-single'*:

**assumes**  $v \neq v'$

**shows**  $\text{extract-var } (\text{monom } (\text{Poly-Mapping.single } v n) a) v' = \text{monom } (\text{Poly-Mapping.single } v n) (\text{monom } 0 a)$   
<proof>

**lemma** *reduce-nested-mpoly-extract-var*:

**fixes**  $p::'a::\text{comm-ring-1 } \text{mpoly}$

**shows**  $\text{reduce-nested-mpoly } (\text{extract-var } p v) = p$   
<proof>

**lemma** *vars-extract-var-subset*:  $\text{vars } (\text{extract-var } p v) \subseteq \text{vars } p$   
<proof>

**lemma** *v-not-in-vars-extract-var*:  $v \notin \text{vars } (\text{extract-var } p v)$   
<proof>

**lemma** *vars-coeff-extract-var*:  $\text{vars } (\text{coeff } (\text{extract-var } p v) j) \subseteq \{v\}$   
<proof>

**definition** *replace-coeff*

**where**  $\text{replace-coeff } f p = \text{MPoly } (\text{Abs-poly-mapping } (\lambda m. f (\text{lookup } (\text{mapping-of } p) m)))$

**lemma** *coeff-replace-coeff*:

**assumes**  $f 0 = 0$

**shows**  $\text{coeff } (\text{replace-coeff } f p) m = f (\text{coeff } p m)$   
<proof>

**lemma** *replace-coeff-monom*:

**assumes**  $f\ 0 = 0$

**shows**  $\text{replace-coeff } f (\text{monom } m\ a) = \text{monom } m\ (f\ a)$

*<proof>*

**lemma** *replace-coeff-add*:

**assumes**  $f\ 0 = 0$

**assumes**  $\bigwedge a\ b. f\ (a+b) = f\ a + f\ b$

**shows**  $\text{replace-coeff } f\ (p1 + p2) = \text{replace-coeff } f\ p1 + \text{replace-coeff } f\ p2$

*<proof>*

**lemma** *insertion-replace-coeff*:

**fixes**  $pp::'a::\text{comm-ring-1}\ \text{mpoly}\ \text{mpoly}$

**shows**  $\text{insertion } f\ (\text{replace-coeff } (\text{insertion } f)\ pp) = \text{insertion } f\ (\text{reduce-nested-mpoly } pp)$

*<proof>*

**lemma** *replace-coeff-extract-var-cong*:

**assumes**  $f\ v = g\ v$

**shows**  $\text{replace-coeff } (\text{insertion } f)\ (\text{extract-var } p\ v) = \text{replace-coeff } (\text{insertion } g)\ (\text{extract-var } p\ v)$

*<proof>*

**lemma** *vars-replace-coeff*:

**assumes**  $f\ 0 = 0$

**shows**  $\text{vars } (\text{replace-coeff } f\ p) \subseteq \text{vars } p$

*<proof>*

**definition** *polyfun* ::  $\text{nat set} \Rightarrow ((\text{nat} \Rightarrow 'a::\text{comm-semiring-1}) \Rightarrow 'a) \Rightarrow \text{bool}$

**where**  $\text{polyfun } N\ f = (\exists p. \text{vars } p \subseteq N \wedge (\forall x. \text{insertion } x\ p = f\ x))$

**lemma** *polyfunI*:  $(\bigwedge P. (\bigwedge p. \text{vars } p \subseteq N \implies (\bigwedge x. \text{insertion } x\ p = f\ x) \implies P) \implies \text{polyfun } N\ f)$

*<proof>*

**lemma** *polyfun-subset*:  $N \subseteq N' \implies \text{polyfun } N\ f \implies \text{polyfun } N'\ f$

*<proof>*

**lemma** *polyfun-const*:  $\text{polyfun } N\ (\lambda-. c)$

*<proof>*

**lemma** *polyfun-add*:

**assumes**  $\text{polyfun } N\ f\ \text{polyfun } N\ g$

**shows**  $\text{polyfun } N\ (\lambda x. f\ x + g\ x)$

*<proof>*

**lemma** *polyfun-mult*:  
**assumes** *polyfun N f polyfun N g*  
**shows** *polyfun N (λx. f x \* g x)*  
*<proof>*

**lemma** *polyfun-Sum*:  
**assumes** *finite I*  
**assumes**  $\bigwedge i. i \in I \implies \text{polyfun } N (f i)$   
**shows** *polyfun N (λx.  $\sum i \in I. f i x$ )*  
*<proof>*

**lemma** *polyfun-Prod*:  
**assumes** *finite I*  
**assumes**  $\bigwedge i. i \in I \implies \text{polyfun } N (f i)$   
**shows** *polyfun N (λx.  $\prod i \in I. f i x$ )*  
*<proof>*

**lemma** *polyfun-single*:  
**assumes** *i ∈ N*  
**shows** *polyfun N (λx. x i)*  
*<proof>*

**end**

## 6 Abstract Power-Products

**theory** *Power-Products*  
**imports** *Complex-Main*  
*HOL-Library.Function-Algebras*  
*HOL-Library.Countable*  
*More-MPoly-Type*  
*Utils*  
*Well-Quasi-Orders.Well-Quasi-Orders*  
**begin**

This theory formalizes the concept of "power-products". A power-product can be thought of as the product of some indeterminates, such as  $x$ ,  $x^2 y$ ,  $x y^3 z^7$ , etc., without any scalar coefficient.

The approach in this theory is to capture the notion of "power-product" (also called "monomial") as type class. A canonical instance for power-product is the type  $'var \Rightarrow_0 nat$ , which is interpreted as mapping from variables in the power-product to exponents.

A slightly unintuitive (but fitting better with the standard type class instantiations of  $'a \Rightarrow_0 'b$ ) approach is to write addition to denote "multiplication" of power products. For example,  $x^2 y$  would be represented as a function  $p = (X \mapsto 2, Y \mapsto 1)$ ,  $xz$  as a function  $q = (X \mapsto 1, Z \mapsto 1)$ . With the (pointwise) instantiation of addition of  $'a \Rightarrow_0 'b$ , we will write  $p + q = (X \mapsto 3, Y \mapsto 1, Z \mapsto 1)$  for the product  $x^2 y \cdot xz = x^3 yz$

## 6.1 Constant *Keys*

Legacy:

**lemmas** *keys-eq-empty-iff* = *keys-eq-empty*

**definition** *Keys* :: ('a  $\Rightarrow_0$  'b::zero) set  $\Rightarrow$  'a set  
where *Keys* F =  $\bigcup$ (*keys* ' F)

**lemma** *in-Keys*:  $s \in \text{Keys } F \iff (\exists f \in F. s \in \text{keys } f)$   
<proof>

**lemma** *in-KeysI*:  
assumes  $s \in \text{keys } f$  and  $f \in F$   
shows  $s \in \text{Keys } F$   
<proof>

**lemma** *in-KeysE*:  
assumes  $s \in \text{Keys } F$   
obtains  $f$  where  $s \in \text{keys } f$  and  $f \in F$   
<proof>

**lemma** *Keys-mono*:  
assumes  $A \subseteq B$   
shows  $\text{Keys } A \subseteq \text{Keys } B$   
<proof>

**lemma** *Keys-insert*:  $\text{Keys } (\text{insert } a \ A) = \text{keys } a \cup \text{Keys } A$   
<proof>

**lemma** *Keys-Un*:  $\text{Keys } (A \cup B) = \text{Keys } A \cup \text{Keys } B$   
<proof>

**lemma** *finite-Keys*:  
assumes *finite* A  
shows *finite* (Keys A)  
<proof>

**lemma** *Keys-not-empty*:  
assumes  $a \in A$  and  $a \neq 0$   
shows  $\text{Keys } A \neq \{\}$   
<proof>

**lemma** *Keys-empty [simp]*:  $\text{Keys } \{\} = \{\}$   
<proof>

**lemma** *Keys-zero [simp]*:  $\text{Keys } \{0\} = \{\}$   
<proof>

**lemma** *keys-subset-Keys*:

**assumes**  $f \in F$   
**shows**  $\text{keys } f \subseteq \text{Keys } F$   
 $\langle \text{proof} \rangle$

**lemma** *Keys-minus*:  $\text{Keys } (A - B) \subseteq \text{Keys } A$   
 $\langle \text{proof} \rangle$

**lemma** *Keys-minus-zero*:  $\text{Keys } (A - \{0\}) = \text{Keys } A$   
 $\langle \text{proof} \rangle$

## 6.2 Constant *except*

**definition** *except-fun* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow 'b::\text{zero})$   
**where**  $\text{except-fun } f S = (\lambda x. (f x \text{ when } x \notin S))$

**lift-definition** *except* ::  $('a \Rightarrow_0 'b) \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow_0 'b::\text{zero})$  **is** *except-fun*  
 $\langle \text{proof} \rangle$

**lemma** *lookup-except-when*:  $\text{lookup } (\text{except } p S) = (\lambda t. \text{lookup } p t \text{ when } t \notin S)$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-except*:  $\text{lookup } (\text{except } p S) = (\lambda t. \text{if } t \in S \text{ then } 0 \text{ else } \text{lookup } p t)$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-except-singleton*:  $\text{lookup } (\text{except } p \{t\}) t = 0$   
 $\langle \text{proof} \rangle$

**lemma** *except-zero [simp]*:  $\text{except } 0 S = 0$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-except-eq-idI*:  
**assumes**  $t \notin S$   
**shows**  $\text{lookup } (\text{except } p S) t = \text{lookup } p t$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-except-eq-zeroI*:  
**assumes**  $t \in S$   
**shows**  $\text{lookup } (\text{except } p S) t = 0$   
 $\langle \text{proof} \rangle$

**lemma** *except-empty [simp]*:  $\text{except } p \{\} = p$   
 $\langle \text{proof} \rangle$

**lemma** *except-eq-zeroI*:  
**assumes**  $\text{keys } p \subseteq S$   
**shows**  $\text{except } p S = 0$   
 $\langle \text{proof} \rangle$

**lemma** *except-eq-zeroE*:

**assumes**  $\text{except } p \ S = 0$   
**shows**  $\text{keys } p \subseteq S$   
 ⟨proof⟩

**lemma** *except-eq-zero-iff*:  $\text{except } p \ S = 0 \longleftrightarrow \text{keys } p \subseteq S$   
 ⟨proof⟩

**lemma** *except-keys [simp]*:  $\text{except } p \ (\text{keys } p) = 0$   
 ⟨proof⟩

**lemma** *plus-except*:  $p = \text{Poly-Mapping.single } t \ (\text{lookup } p \ t) + \text{except } p \ \{t\}$   
 ⟨proof⟩

**lemma** *keys-except*:  $\text{keys } (\text{except } p \ S) = \text{keys } p - S$   
 ⟨proof⟩

**lemma** *except-single*:  $\text{except } (\text{Poly-Mapping.single } u \ c) \ S = (\text{Poly-Mapping.single } u \ c \ \text{when } u \notin S)$   
 ⟨proof⟩

**lemma** *except-plus*:  $\text{except } (p + q) \ S = \text{except } p \ S + \text{except } q \ S$   
 ⟨proof⟩

**lemma** *except-minus*:  $\text{except } (p - q) \ S = \text{except } p \ S - \text{except } q \ S$   
 ⟨proof⟩

**lemma** *except-uminus*:  $\text{except } (- p) \ S = - \text{except } p \ S$   
 ⟨proof⟩

**lemma** *except-except*:  $\text{except } (\text{except } p \ S) \ T = \text{except } p \ (S \cup T)$   
 ⟨proof⟩

**lemma** *poly-mapping-keys-eqI*:

**assumes**  $a1: \text{keys } p = \text{keys } q$  **and**  $a2: \bigwedge t. t \in \text{keys } p \implies \text{lookup } p \ t = \text{lookup } q \ t$

**shows**  $p = q$   
 ⟨proof⟩

**lemma** *except-id-iff*:  $\text{except } p \ S = p \longleftrightarrow \text{keys } p \cap S = \{\}$   
 ⟨proof⟩

**lemma** *keys-subset-wf*:

$\text{wf } P \ (\lambda p \ q. ('a, 'b)::\text{zero}) \ \text{poly-mapping.keys } p \subset \text{keys } q$   
 ⟨proof⟩

**lemma** *poly-mapping-except-induct*:

**assumes**  $\text{base: } P \ 0$  **and**  $\text{ind: } \bigwedge p \ t. p \neq 0 \implies t \in \text{keys } p \implies P \ (\text{except } p \ \{t\})$   
 $\implies P \ p$

**shows**  $P \ p$

*<proof>*

**lemma** *poly-mapping-except-induct'*:

**assumes**  $\bigwedge p. (\bigwedge t. t \in \text{keys } p \implies P (\text{except } p \{t\})) \implies P p$   
**shows**  $P p$

*<proof>*

**lemma** *poly-mapping-plus-induct*:

**assumes**  $P 0$  **and**  $\bigwedge p c t. c \neq 0 \implies t \notin \text{keys } p \implies P p \implies P (\text{Poly-Mapping.single } t \ c \ + \ p)$   
**shows**  $P p$

*<proof>*

**lemma** *except-Diff-singleton*:  $\text{except } p (\text{keys } p - \{t\}) = \text{Poly-Mapping.single } t$   
(*lookup*  $p \ t$ )

*<proof>*

**lemma** *except-Un-plus-Int*:  $\text{except } p (U \cup V) + \text{except } p (U \cap V) = \text{except } p U$   
 $+ \text{except } p V$

*<proof>*

**corollary** *except-Int*:

**assumes**  $\text{keys } p \subseteq U \cup V$

**shows**  $\text{except } p (U \cap V) = \text{except } p U + \text{except } p V$

*<proof>*

**lemma** *except-keys-Int* [*simp*]:  $\text{except } p (\text{keys } p \cap U) = \text{except } p U$

*<proof>*

**lemma** *except-Int-keys* [*simp*]:  $\text{except } p (U \cap \text{keys } p) = \text{except } p U$

*<proof>*

**lemma** *except-keys-Diff*:  $\text{except } p (\text{keys } p - U) = \text{except } p (- U)$

*<proof>*

**lemma** *except-decomp*:  $p = \text{except } p U + \text{except } p (- U)$

*<proof>*

**corollary** *except-Compl*:  $\text{except } p (- U) = p - \text{except } p U$

*<proof>*

### 6.3 'Divisibility' on Additive Structures

**context** *plus* **begin**

**definition** *adds* ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\langle \text{adds} \rangle 50$ )

**where**  $b \text{ adds } a \iff (\exists k. a = b + k)$

**lemma** *addsI* [*intro?*]:  $a = b + k \implies b \text{ adds } a$

$\langle proof \rangle$

**lemma** *addsE* [*elim?*]:  $b \text{ adds } a \implies (\bigwedge k. a = b + k \implies P) \implies P$   
 $\langle proof \rangle$

**end**

**context** *comm-monoid-add*  
**begin**

**lemma** *adds-refl* [*simp*]:  $a \text{ adds } a$   
 $\langle proof \rangle$

**lemma** *adds-trans* [*trans*]:  
**assumes**  $a \text{ adds } b$  **and**  $b \text{ adds } c$   
**shows**  $a \text{ adds } c$   
 $\langle proof \rangle$

**lemma** *subset-divisors-adds*:  $\{c. c \text{ adds } a\} \subseteq \{c. c \text{ adds } b\} \iff a \text{ adds } b$   
 $\langle proof \rangle$

**lemma** *strict-subset-divisors-adds*:  $\{c. c \text{ adds } a\} \subset \{c. c \text{ adds } b\} \iff a \text{ adds } b \wedge \neg b \text{ adds } a$   
 $\langle proof \rangle$

**lemma** *zero-adds* [*simp*]:  $0 \text{ adds } a$   
 $\langle proof \rangle$

**lemma** *adds-plus-right* [*simp*]:  $a \text{ adds } c \implies a \text{ adds } (b + c)$   
 $\langle proof \rangle$

**lemma** *adds-plus-left* [*simp*]:  $a \text{ adds } b \implies a \text{ adds } (b + c)$   
 $\langle proof \rangle$

**lemma** *adds-triv-right* [*simp*]:  $a \text{ adds } b + a$   
 $\langle proof \rangle$

**lemma** *adds-triv-left* [*simp*]:  $a \text{ adds } a + b$   
 $\langle proof \rangle$

**lemma** *plus-adds-mono*:  
**assumes**  $a \text{ adds } b$   
**and**  $c \text{ adds } d$   
**shows**  $a + c \text{ adds } b + d$   
 $\langle proof \rangle$

**lemma** *plus-adds-left*:  $a + b \text{ adds } c \implies a \text{ adds } c$   
 $\langle proof \rangle$

```

lemma plus-adds-right:  $a + b \text{ adds } c \implies b \text{ adds } c$ 
   $\langle \text{proof} \rangle$ 

end

class ninv-comm-monoid-add = comm-monoid-add +
  assumes plus-eq-zero:  $s + t = 0 \implies s = 0$ 
begin

lemma plus-eq-zero-2:  $t = 0 \text{ if } s + t = 0$ 
   $\langle \text{proof} \rangle$ 

lemma adds-zero:  $s \text{ adds } 0 \iff (s = 0)$ 
   $\langle \text{proof} \rangle$ 

end

context canonically-ordered-monoid-add
begin
subclass ninv-comm-monoid-add  $\langle \text{proof} \rangle$ 
end

class comm-powerprod = cancel-comm-monoid-add
begin

lemma adds-canc:  $s + u \text{ adds } t + u \iff s \text{ adds } t \text{ for } s \ t \ u :: 'a$ 
   $\langle \text{proof} \rangle$ 

lemma adds-canc-2:  $u + s \text{ adds } u + t \iff s \text{ adds } t$ 
   $\langle \text{proof} \rangle$ 

lemma add-minus-2:  $(s + t) - s = t$ 
   $\langle \text{proof} \rangle$ 

lemma adds-minus:
  assumes  $s \text{ adds } t$ 
  shows  $(t - s) + s = t$ 
   $\langle \text{proof} \rangle$ 

lemma plus-adds-0:
  assumes  $(s + t) \text{ adds } u$ 
  shows  $s \text{ adds } (u - t)$ 
   $\langle \text{proof} \rangle$ 

lemma plus-adds-2:
  assumes  $t \text{ adds } u \text{ and } s \text{ adds } (u - t)$ 
  shows  $(s + t) \text{ adds } u$ 
   $\langle \text{proof} \rangle$ 

```

**lemma** *plus-adds*:  
**shows**  $(s + t) \text{ adds } u \iff (t \text{ adds } u \wedge s \text{ adds } (u - t))$   
 $\langle \text{proof} \rangle$

**lemma** *minus-plus*:  
**assumes**  $s \text{ adds } t$   
**shows**  $(t - s) + u = (t + u) - s$   
 $\langle \text{proof} \rangle$

**lemma** *minus-plus-minus*:  
**assumes**  $s \text{ adds } t$  **and**  $u \text{ adds } v$   
**shows**  $(t - s) + (v - u) = (t + v) - (s + u)$   
 $\langle \text{proof} \rangle$

**lemma** *minus-plus-minus-cancel*:  
**assumes**  $u \text{ adds } t$  **and**  $s \text{ adds } u$   
**shows**  $(t - u) + (u - s) = t - s$   
 $\langle \text{proof} \rangle$

**end**

Instances of class *lcs-powerprod* are types of commutative power-products admitting (not necessarily unique) least common sums (inspired from least common multiplies). Note that if the components of indeterminates are arbitrary integers (as for instance in Laurent polynomials), then no unique lcss exist.

**class** *lcs-powerprod* = *comm-powerprod* +  
**fixes**  $\text{lcs} :: 'a \Rightarrow 'a \Rightarrow 'a$   
**assumes** *adds-lcs*:  $s \text{ adds } (lcs\ s\ t)$   
**assumes** *lcs-adds*:  $s \text{ adds } u \implies t \text{ adds } u \implies (lcs\ s\ t) \text{ adds } u$   
**assumes** *lcs-comm*:  $lcs\ s\ t = lcs\ t\ s$   
**begin**

**lemma** *adds-lcs-2*:  $t \text{ adds } (lcs\ s\ t)$   
 $\langle \text{proof} \rangle$

**lemma** *lcs-adds-plus*:  $lcs\ s\ t \text{ adds } s + t$   $\langle \text{proof} \rangle$

"gcs" stands for "greatest common summand".

**definition** *gcs* ::  $'a \Rightarrow 'a \Rightarrow 'a$  **where**  $gcs\ s\ t = (s + t) - (lcs\ s\ t)$

**lemma** *gcs-plus-lcs*:  $(gcs\ s\ t) + (lcs\ s\ t) = s + t$   
 $\langle \text{proof} \rangle$

**lemma** *gcs-adds*:  $(gcs\ s\ t) \text{ adds } s$   
 $\langle \text{proof} \rangle$

**lemma** *gcs-comm*:  $gcs\ s\ t = gcs\ t\ s$   $\langle \text{proof} \rangle$

**lemma** *gcs-adds-2*: (*gcs s t*) *adds t*  
⟨*proof*⟩

**end**

**class** *ulcs-powerprod* = *lcs-powerprod* + *ninv-comm-monoid-add*  
**begin**

**lemma** *adds-antisym*:  
  **assumes** *s adds t* *t adds s*  
  **shows**  $s = t$   
⟨*proof*⟩

**lemma** *lcs-unique*:  
  **assumes** *s adds l* **and** *t adds l* **and** \*:  $\bigwedge u. s \text{ adds } u \implies t \text{ adds } u \implies l \text{ adds } u$   
  **shows**  $l = \text{lcs } s \ t$   
⟨*proof*⟩

**lemma** *lcs-zero*: *lcs 0 t = t*  
⟨*proof*⟩

**lemma** *lcs-plus-left*: *lcs (u + s) (u + t) = u + lcs s t*  
⟨*proof*⟩

**lemma** *lcs-plus-right*: *lcs (s + u) (t + u) = (lcs s t) + u*  
⟨*proof*⟩

**lemma** *adds-gcs*:  
  **assumes** *u adds s* **and** *u adds t*  
  **shows** *u adds (gcs s t)*  
⟨*proof*⟩

**lemma** *gcs-unique*:  
  **assumes** *g adds s* **and** *g adds t* **and** \*:  $\bigwedge u. u \text{ adds } s \implies u \text{ adds } t \implies u \text{ adds } g$   
  **shows**  $g = \text{gcs } s \ t$   
⟨*proof*⟩

**lemma** *gcs-plus-left*: *gcs (u + s) (u + t) = u + gcs s t*  
⟨*proof*⟩

**lemma** *gcs-plus-right*: *gcs (s + u) (t + u) = (gcs s t) + u*  
⟨*proof*⟩

**lemma** *lcs-same [simp]*: *lcs s s = s*  
⟨*proof*⟩

**lemma** *gcs-same [simp]*: *gcs s s = s*  
⟨*proof*⟩

**end**

## 6.4 Dickson Classes

**definition** (in *plus*) *dickson-grading* :: ('a ⇒ nat) ⇒ bool

**where** *dickson-grading* d ↔  
((∀ s t. d (s + t) = max (d s) (d t)) ∧ (∀ n::nat. almost-full-on (adds) {x.  
d x ≤ n}))

**definition** *dgrad-set* :: ('a ⇒ nat) ⇒ nat ⇒ 'a set

**where** *dgrad-set* d m = {t. d t ≤ m}

**definition** *dgrad-set-le* :: ('a ⇒ nat) ⇒ ('a set) ⇒ ('a set) ⇒ bool

**where** *dgrad-set-le* d S T ↔ (∀ s∈S. ∃ t∈T. d s ≤ d t)

**lemma** *dickson-gradingI*:

**assumes** ∧s t. d (s + t) = max (d s) (d t)

**assumes** ∧n::nat. almost-full-on (adds) {x. d x ≤ n}

**shows** *dickson-grading* d

⟨proof⟩

**lemma** *dickson-gradingD1*: *dickson-grading* d ⇒ d (s + t) = max (d s) (d t)

⟨proof⟩

**lemma** *dickson-gradingD2*: *dickson-grading* d ⇒ almost-full-on (adds) {x. d x ≤ n}

⟨proof⟩

**lemma** *dickson-gradingD2'*:

**assumes** *dickson-grading* (d::'a::comm-monoid-add ⇒ nat)

**shows** *wqo-on* (adds) {x. d x ≤ n}

⟨proof⟩

**lemma** *dickson-gradingE*:

**assumes** *dickson-grading* d **and** ∧i::nat. d ((seq::nat ⇒ 'a::plus) i) ≤ n

**obtains** i j **where** i < j **and** seq i adds seq j

⟨proof⟩

**lemma** *dickson-grading-adds-imp-le*:

**assumes** *dickson-grading* d **and** s adds t

**shows** d s ≤ d t

⟨proof⟩

**lemma** *dickson-grading-minus*:

**assumes** *dickson-grading* d **and** s adds (t::'a::cancel-ab-semigroup-add)

**shows** d (t - s) ≤ d t

⟨proof⟩

**lemma** *dickson-grading-lcs*:

**assumes** *dickson-grading*  $d$   
**shows**  $d (lcs\ s\ t) \leq \max (d\ s)\ (d\ t)$   
 $\langle proof \rangle$

**lemma** *dickson-grading-lcs-minus*:  
**assumes** *dickson-grading*  $d$   
**shows**  $d (lcs\ s\ t - s) \leq \max (d\ s)\ (d\ t)$   
 $\langle proof \rangle$

**lemma** *dgrad-set-leI*:  
**assumes**  $\bigwedge s. s \in S \implies \exists t \in T. d\ s \leq d\ t$   
**shows** *dgrad-set-le*  $d\ S\ T$   
 $\langle proof \rangle$

**lemma** *dgrad-set-leE*:  
**assumes** *dgrad-set-le*  $d\ S\ T$  **and**  $s \in S$   
**obtains**  $t$  **where**  $t \in T$  **and**  $d\ s \leq d\ t$   
 $\langle proof \rangle$

**lemma** *dgrad-set-exhaust-expl*:  
**assumes** *finite*  $F$   
**shows**  $F \subseteq dgrad\text{-set}\ d\ (\text{Max}\ (d\ `F))$   
 $\langle proof \rangle$

**lemma** *dgrad-set-exhaust*:  
**assumes** *finite*  $F$   
**obtains**  $m$  **where**  $F \subseteq dgrad\text{-set}\ d\ m$   
 $\langle proof \rangle$

**lemma** *dgrad-set-le-trans* [*trans*]:  
**assumes** *dgrad-set-le*  $d\ S\ T$  **and** *dgrad-set-le*  $d\ T\ U$   
**shows** *dgrad-set-le*  $d\ S\ U$   
 $\langle proof \rangle$

**lemma** *dgrad-set-le-Un*: *dgrad-set-le*  $d\ (S \cup T)\ U \iff (dgrad\text{-set-le}\ d\ S\ U \wedge dgrad\text{-set-le}\ d\ T\ U)$   
 $\langle proof \rangle$

**lemma** *dgrad-set-le-subset*:  
**assumes**  $S \subseteq T$   
**shows** *dgrad-set-le*  $d\ S\ T$   
 $\langle proof \rangle$

**lemma** *dgrad-set-le-refl*: *dgrad-set-le*  $d\ S\ S$   
 $\langle proof \rangle$

**lemma** *dgrad-set-le-dgrad-set*:  
**assumes** *dgrad-set-le*  $d\ F\ G$  **and**  $G \subseteq dgrad\text{-set}\ d\ m$   
**shows**  $F \subseteq dgrad\text{-set}\ d\ m$

$\langle proof \rangle$

**lemma** *dgrad-set-dgrad*:  $p \in \text{dgrad-set } d \ (d \ p)$   
 $\langle proof \rangle$

**lemma** *dgrad-setI* [*intro*]:  
**assumes**  $d \ t \leq m$   
**shows**  $t \in \text{dgrad-set } d \ m$   
 $\langle proof \rangle$

**lemma** *dgrad-setD*:  
**assumes**  $t \in \text{dgrad-set } d \ m$   
**shows**  $d \ t \leq m$   
 $\langle proof \rangle$

**lemma** *dgrad-set-zero* [*simp*]:  $\text{dgrad-set } (\lambda-. \ 0) \ m = \text{UNIV}$   
 $\langle proof \rangle$

**lemma** *subset-dgrad-set-zero*:  $F \subseteq \text{dgrad-set } (\lambda-. \ 0) \ m$   
 $\langle proof \rangle$

**lemma** *dgrad-set-subset*:  
**assumes**  $m \leq n$   
**shows**  $\text{dgrad-set } d \ m \subseteq \text{dgrad-set } d \ n$   
 $\langle proof \rangle$

**lemma** *dgrad-set-closed-plus*:  
**assumes** *dickson-grading*  $d$  **and**  $s \in \text{dgrad-set } d \ m$  **and**  $t \in \text{dgrad-set } d \ m$   
**shows**  $s + t \in \text{dgrad-set } d \ m$   
 $\langle proof \rangle$

**lemma** *dgrad-set-closed-minus*:  
**assumes** *dickson-grading*  $d$  **and**  $s \in \text{dgrad-set } d \ m$  **and**  $t$  *adds* ( $s::'a::\text{cancel-ab-semigroup-add}$ )  
**shows**  $s - t \in \text{dgrad-set } d \ m$   
 $\langle proof \rangle$

**lemma** *dgrad-set-closed-lcs*:  
**assumes** *dickson-grading*  $d$  **and**  $s \in \text{dgrad-set } d \ m$  **and**  $t \in \text{dgrad-set } d \ m$   
**shows**  $\text{lcs } s \ t \in \text{dgrad-set } d \ m$   
 $\langle proof \rangle$

**lemma** *dickson-gradingD-dgrad-set*: *dickson-grading*  $d \implies \text{almost-full-on } (\text{adds})$   
( $\text{dgrad-set } d \ m$ )  
 $\langle proof \rangle$

**lemma** *ex-finite-adds*:  
**assumes** *dickson-grading*  $d$  **and**  $S \subseteq \text{dgrad-set } d \ m$   
**obtains**  $T$  **where** *finite*  $T$  **and**  $T \subseteq S$  **and**  $\bigwedge s. s \in S \implies (\exists t \in T. t \text{ adds } (s::'a::\text{cancel-comm-monoid-add}))$

```

⟨proof⟩

class graded-dickson-powerprod = ulcs-powerprod +
  assumes ex-dgrad:  $\exists d::'a \Rightarrow \text{nat. dickson-grading } d$ 
begin

definition dgrad-dummy where dgrad-dummy = (SOME d. dickson-grading d)

lemma dickson-grading-dgrad-dummy: dickson-grading dgrad-dummy
  ⟨proof⟩

end

class dickson-powerprod = ulcs-powerprod +
  assumes dickson: almost-full-on (adds) UNIV
begin

lemma dickson-grading-zero: dickson-grading ( $\lambda::'a. 0$ )
  ⟨proof⟩

subclass graded-dickson-powerprod ⟨proof⟩

end

```

Class *graded-dickson-powerprod* is a slightly artificial construction. It is needed, because type  $\text{nat} \Rightarrow_0 \text{nat}$  does not satisfy the usual conditions of a "Dickson domain" (as formulated in class *dickson-powerprod*), but we still want to use that type as the type of power-products in the computation of Gröbner bases. So, we exploit the fact that in a finite set of polynomials (which is the input of Buchberger's algorithm) there is always some "highest" indeterminate that occurs with non-zero exponent, and no "higher" indeterminates are generated during the execution of the algorithm. This allows us to prove that the algorithm terminates, even though there are in principle infinitely many indeterminates.

## 6.5 Additive Linear Orderings

```

lemma group-eq-aux:  $a + (b - a) = (b::'a::\text{ab-group-add})$ 
  ⟨proof⟩

class semi-canonically-ordered-monoid-add = ordered-comm-monoid-add +
  assumes le-imp-add:  $a \leq b \Longrightarrow (\exists c. b = a + c)$ 

context canonically-ordered-monoid-add
begin
subclass semi-canonically-ordered-monoid-add
  ⟨proof⟩
end

```

```

class add-linorder-group = ordered-ab-semigroup-add-imp-le + ab-group-add + linorder

class add-linorder = ordered-ab-semigroup-add-imp-le + cancel-comm-monoid-add
+ semi-canonically-ordered-monoid-add + linorder
begin

subclass ordered-comm-monoid-add ⟨proof⟩

subclass ordered-cancel-comm-monoid-add ⟨proof⟩

lemma le-imp-inv:
  assumes  $a \leq b$ 
  shows  $b = a + (b - a)$ 
  ⟨proof⟩

lemma max-eq-sum:
  obtains  $y$  where  $\max a b = a + y$ 
  ⟨proof⟩

lemma min-plus-max:
  shows  $(\min a b) + (\max a b) = a + b$ 
  ⟨proof⟩

end

class add-linorder-min = add-linorder +
  assumes zero-min:  $0 \leq x$ 
begin

subclass ninv-comm-monoid-add
  ⟨proof⟩

lemma leq-add-right:
  shows  $x \leq x + y$ 
  ⟨proof⟩

lemma leq-add-left:
  shows  $x \leq y + x$ 
  ⟨proof⟩

subclass canonically-ordered-monoid-add
  ⟨proof⟩

end

class add-wellorder = add-linorder-min + wellorder

instantiation nat :: add-linorder

```

```

begin

instance <proof>

end

instantiation nat :: add-linorder-min
begin
instance <proof>
end

instantiation nat :: add-wellorder
begin
instance <proof>
end

context add-linorder-group
begin

subclass add-linorder
<proof>

end

instantiation int :: add-linorder-group
begin
instance <proof>
end

instantiation rat :: add-linorder-group
begin
instance <proof>
end

instantiation real :: add-linorder-group
begin
instance <proof>
end

```

## 6.6 Ordered Power-Products

```

locale ordered-powerprod =
  ordered-powerprod-lin: linorder ord ord-strict
  for ord::'a ⇒ 'a::comm-powerprod ⇒ bool (infixl <≼> 50)
  and ord-strict::'a ⇒ 'a::comm-powerprod ⇒ bool (infixl <≺> 50) +
  assumes zero-min: 0 ≼ t
  assumes plus-monotone: s ≼ t ⇒ s + u ≼ t + u
begin

```

Conceal these relations defined in Equipollence

**no-notation** *lesspoll* (**infixl**  $\prec$  50)  
**no-notation** *lepoll* (**infixl**  $\preceq$  50)

**abbreviation** *ord-conv* (**infixl**  $\succeq$  50) **where** *ord-conv*  $\equiv (\preceq)^{-1-1}$   
**abbreviation** *ord-strict-conv* (**infixl**  $\succ$  50) **where** *ord-strict-conv*  $\equiv (\prec)^{-1-1}$

**lemma** *ord-canc*:  
  **assumes**  $s + u \preceq t + u$   
  **shows**  $s \preceq t$   
   $\langle$ *proof* $\rangle$

**lemma** *ord-adds*:  
  **assumes**  $s$  *adds*  $t$   
  **shows**  $s \preceq t$   
   $\langle$ *proof* $\rangle$

**lemma** *ord-canc-left*:  
  **assumes**  $u + s \preceq u + t$   
  **shows**  $s \preceq t$   
   $\langle$ *proof* $\rangle$

**lemma** *ord-strict-canc*:  
  **assumes**  $s + u \prec t + u$   
  **shows**  $s \prec t$   
   $\langle$ *proof* $\rangle$

**lemma** *ord-strict-canc-left*:  
  **assumes**  $u + s \prec u + t$   
  **shows**  $s \prec t$   
   $\langle$ *proof* $\rangle$

**lemma** *plus-monotone-left*:  
  **assumes**  $s \preceq t$   
  **shows**  $u + s \preceq u + t$   
   $\langle$ *proof* $\rangle$

**lemma** *plus-monotone-strict*:  
  **assumes**  $s \prec t$   
  **shows**  $s + u \prec t + u$   
   $\langle$ *proof* $\rangle$

**lemma** *plus-monotone-strict-left*:  
  **assumes**  $s \prec t$   
  **shows**  $u + s \prec u + t$   
   $\langle$ *proof* $\rangle$

**end**

**locale** *gd-powerprod* =

```

    ordered-powerprod ord ord-strict
  for ord::'a ⇒ 'a::graded-dickson-powerprod ⇒ bool (infixl <≲> 50)
  and ord-strict (infixl <<> 50)
begin

definition dickson-le :: ('a ⇒ nat) ⇒ nat ⇒ 'a ⇒ 'a ⇒ bool
  where dickson-le d m s t ⟷ (d s ≤ m ∧ d t ≤ m ∧ s ≲ t)

definition dickson-less :: ('a ⇒ nat) ⇒ nat ⇒ 'a ⇒ 'a ⇒ bool
  where dickson-less d m s t ⟷ (d s ≤ m ∧ d t ≤ m ∧ s < t)

lemma dickson-leI:
  assumes d s ≤ m and d t ≤ m and s ≲ t
  shows dickson-le d m s t
  ⟨proof⟩

lemma dickson-leD1:
  assumes dickson-le d m s t
  shows d s ≤ m
  ⟨proof⟩

lemma dickson-leD2:
  assumes dickson-le d m s t
  shows d t ≤ m
  ⟨proof⟩

lemma dickson-leD3:
  assumes dickson-le d m s t
  shows s ≲ t
  ⟨proof⟩

lemma dickson-le-trans:
  assumes dickson-le d m s t and dickson-le d m t u
  shows dickson-le d m s u
  ⟨proof⟩

lemma dickson-lessI:
  assumes d s ≤ m and d t ≤ m and s < t
  shows dickson-less d m s t
  ⟨proof⟩

lemma dickson-lessD1:
  assumes dickson-less d m s t
  shows d s ≤ m
  ⟨proof⟩

lemma dickson-lessD2:
  assumes dickson-less d m s t
  shows d t ≤ m

```

*<proof>*

**lemma** *dickson-lessD3*:  
 **assumes** *dickson-less d m s t*  
 **shows**  $s \prec t$   
 *<proof>*

**lemma** *dickson-less-irrefl*:  $\neg$  *dickson-less d m t t*  
 *<proof>*

**lemma** *dickson-less-trans*:  
 **assumes** *dickson-less d m s t* **and** *dickson-less d m t u*  
 **shows** *dickson-less d m s u*  
 *<proof>*

**lemma** *transp-dickson-less*: *transp (dickson-less d m)*  
 *<proof>*

**lemma** *wfp-on-ord-strict*:  
 **assumes** *dickson-grading d*  
 **shows** *wfp-on* ( $\prec$ )  $\{x. d\ x \leq n\}$   
 *<proof>*

**lemma** *wf-dickson-less*:  
 **assumes** *dickson-grading d*  
 **shows** *wfP (dickson-less d m)*  
 *<proof>*

**end**

*gd-powerprod* stands for *graded ordered Dickson power-products*.

**locale** *od-powerprod* =  
 *ordered-powerprod ord ord-strict*  
 **for** *ord::'a  $\Rightarrow$  'a::dickson-powerprod  $\Rightarrow$  bool* (**infixl**  $\prec\preceq$  50)  
 **and** *ord-strict* (**infixl**  $\prec$  50)  
**begin**

**sublocale** *gd-powerprod* *<proof>*

**lemma** *wf-ord-strict*: *wfP* ( $\prec$ )  
 *<proof>*

**end**

*od-powerprod* stands for *ordered Dickson power-products*.

## 6.7 Functions as Power-Products

**lemma** *finite-neq-0*:

**assumes** *fin-A*:  $\text{finite } \{x. f\ x \neq 0\}$  **and** *fin-B*:  $\text{finite } \{x. g\ x \neq 0\}$  **and**  $\bigwedge x. h\ x\ 0\ 0 = 0$   
**shows**  $\text{finite } \{x. h\ x\ (f\ x)\ (g\ x) \neq 0\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-neq-0'*:  
**assumes**  $\text{finite } \{x. f\ x \neq 0\}$  **and**  $\text{finite } \{x. g\ x \neq 0\}$  **and**  $h\ 0\ 0 = 0$   
**shows**  $\text{finite } \{x. h\ (f\ x)\ (g\ x) \neq 0\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-neq-0-inv*:  
**assumes** *fin-A*:  $\text{finite } \{x. h\ x\ (f\ x)\ (g\ x) \neq 0\}$  **and** *fin-B*:  $\text{finite } \{x. f\ x \neq 0\}$   
**and**  $\bigwedge x\ y. h\ x\ 0\ y = y$   
**shows**  $\text{finite } \{x. g\ x \neq 0\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-neq-0-inv'*:  
**assumes** *inf-A*:  $\text{finite } \{x. h\ (f\ x)\ (g\ x) \neq 0\}$  **and** *fin-B*:  $\text{finite } \{x. f\ x \neq 0\}$  **and**  
 $\bigwedge x. h\ 0\ x = x$   
**shows**  $\text{finite } \{x. g\ x \neq 0\}$   
 $\langle \text{proof} \rangle$

**6.7.1**  $'a \Rightarrow 'b$  belongs to class *comm-powerprod*

**instance** *fun* :: (type, cancel-comm-monoid-add) *comm-powerprod*  
 $\langle \text{proof} \rangle$

**6.7.2**  $'a \Rightarrow 'b$  belongs to class *ninv-comm-monoid-add*

**instance** *fun* :: (type, ninv-comm-monoid-add) *ninv-comm-monoid-add*  
 $\langle \text{proof} \rangle$

**6.7.3**  $'a \Rightarrow 'b$  belongs to class *lcs-powerprod*

**instantiation** *fun* :: (type, add-linorder) *lcs-powerprod*  
**begin**

**definition** *lcs-fun*::( $'a \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \Rightarrow 'b$ ) **where**  $lcs\ f\ g = (\lambda x. \max\ (f\ x)\ (g\ x))$

**lemma** *adds-funI*:  
**assumes**  $s \leq t$   
**shows**  $s\ \text{adds}\ (t::'a \Rightarrow 'b)$   
 $\langle \text{proof} \rangle$

**lemma** *adds-fun-iff*:  $f\ \text{adds}\ (g::'a \Rightarrow 'b) \iff (\forall x. f\ x\ \text{adds}\ g\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *adds-fun-iff'*:  $f\ \text{adds}\ (g::'a \Rightarrow 'b) \iff (\forall x. \exists y. g\ x = f\ x + y)$   
 $\langle \text{proof} \rangle$

**lemma** *adds-lcs-fun*:  
**shows**  $s \text{ adds } (lcs\ s\ (t::'a \Rightarrow 'b))$   
 $\langle proof \rangle$

**lemma** *lcs-comm-fun*:  $lcs\ s\ t = lcs\ t\ (s::'a \Rightarrow 'b)$   
 $\langle proof \rangle$

**lemma** *lcs-adds-fun*:  
**assumes**  $s \text{ adds } u$  **and**  $t \text{ adds } (u::'a \Rightarrow 'b)$   
**shows**  $(lcs\ s\ t) \text{ adds } u$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**lemma** *leq-lcs-fun-1*:  $s \leq (lcs\ s\ (t::'a \Rightarrow 'b::add-linorder))$   
 $\langle proof \rangle$

**lemma** *leq-lcs-fun-2*:  $t \leq (lcs\ s\ (t::'a \Rightarrow 'b::add-linorder))$   
 $\langle proof \rangle$

**lemma** *lcs-leq-fun*:  
**assumes**  $s \leq u$  **and**  $t \leq (u::'a \Rightarrow 'b::add-linorder)$   
**shows**  $(lcs\ s\ t) \leq u$   
 $\langle proof \rangle$

**lemma** *adds-fun*:  $s \text{ adds } t \iff s \leq t$   
**for**  $s\ t::'a \Rightarrow 'b::add-linorder-min$   
 $\langle proof \rangle$

**lemma** *gcs-fun*:  $gcs\ s\ (t::'a \Rightarrow 'b::add-linorder) = (\lambda x. \min (s\ x) (t\ x))$   
 $\langle proof \rangle$

**lemma** *gcs-leq-fun-1*:  $(gcs\ s\ (t::'a \Rightarrow 'b::add-linorder)) \leq s$   
 $\langle proof \rangle$

**lemma** *gcs-leq-fun-2*:  $(gcs\ s\ (t::'a \Rightarrow 'b::add-linorder)) \leq t$   
 $\langle proof \rangle$

**lemma** *leq-gcs-fun*:  
**assumes**  $u \leq s$  **and**  $u \leq (t::'a \Rightarrow 'b::add-linorder)$   
**shows**  $u \leq (gcs\ s\ t)$   
 $\langle proof \rangle$

#### 6.7.4 $'a \Rightarrow 'b$ belongs to class *ulcs-powerprod*

**instance** *fun* :: (type, add-linorder-min) *ulcs-powerprod* <proof>

#### 6.7.5 Power-products in a given set of indeterminates

**definition** *supp-fun*::('a  $\Rightarrow$  'b::zero)  $\Rightarrow$  'a set **where** *supp-fun* *f* = {*x*. *f* *x*  $\neq$  0}

*supp-fun* for general functions is like *keys* for *poly-mapping*, but does not need to be finite.

**lemma** *keys-eq-supp*: *keys* *s* = *supp-fun* (*lookup* *s*)  
<proof>

**lemma** *supp-fun-zero* [*simp*]: *supp-fun* 0 = {}  
<proof>

**lemma** *supp-fun-eq-zero-iff*: *supp-fun* *f* = {}  $\longleftrightarrow$  *f* = 0  
<proof>

**lemma** *sub-supp-empty*: *supp-fun* *s*  $\subseteq$  {}  $\longleftrightarrow$  (*s* = 0)  
<proof>

**lemma** *except-fun-idI*: *supp-fun* *f*  $\cap$  *V* = {}  $\implies$  *except-fun* *f* *V* = *f*  
<proof>

**lemma** *supp-except-fun*: *supp-fun* (*except-fun* *s* *V*) = *supp-fun* *s* - *V*  
<proof>

**lemma** *supp-fun-plus-subset*: *supp-fun* (*s* + *t*)  $\subseteq$  *supp-fun* *s*  $\cup$  *supp-fun* (*t*::'a  $\Rightarrow$  'b::monoid-add)  
<proof>

**lemma** *fun-eq-zeroI*:  
 **assumes**  $\bigwedge x. x \in \text{supp-fun } f \implies f x = 0$   
 **shows** *f* = 0  
<proof>

**lemma** *except-fun-cong1*:  
 *supp-fun* *s*  $\cap$  ((*V* - *U*)  $\cup$  (*U* - *V*))  $\subseteq$  {}  $\implies$  *except-fun* *s* *V* = *except-fun* *s* *U*  
<proof>

**lemma** *adds-except-fun*:  
 *s* *adds* *t* = (*except-fun* *s* *V* *adds* *except-fun* *t* *V*  $\wedge$  *except-fun* *s* (- *V*) *adds* *except-fun* *t* (- *V*)  
 **for** *s* *t* :: 'a  $\Rightarrow$  'b::add-linorder  
<proof>

**lemma** *adds-except-fun-singleton*: *s* *adds* *t* = (*except-fun* *s* {*v*} *adds* *except-fun* *t* {*v*}  $\wedge$  *s* *v* *adds* *t* *v*)  
 **for** *s* *t* :: 'a  $\Rightarrow$  'b::add-linorder

*<proof>*

### 6.7.6 Dickson's lemma for power-products in finitely many indeterminates

**lemma** *Dickson-fun*:

**assumes** *finite V*

**shows** *almost-full-on (adds) {x::'a ⇒ 'b::add-wellorder. supp-fun x ⊆ V}*

*<proof>*

**instance** *fun :: (finite, add-wellorder) dickson-powerprod*

*<proof>*

### 6.7.7 Lexicographic Term Order

Term orders are certain linear orders on power-products, satisfying additional requirements. Further information on term orders can be found, e. g., in [4].

**context** *wellorder*

**begin**

**lemma** *neq-fun-alt*:

**assumes**  $s \neq (t::'a \Rightarrow 'b)$

**obtains**  $x$  **where**  $s x \neq t x$  **and**  $\bigwedge y. s y \neq t y \implies x \leq y$

*<proof>*

**definition** *lex-fun::('a ⇒ 'b) ⇒ ('a ⇒ 'b::order) ⇒ bool* **where**

*lex-fun s t ≡ (∀ x. s x ≤ t x ∨ (∃ y < x. s y ≠ t y))*

**definition** *lex-fun-strict s t*  $\longleftrightarrow$  *lex-fun s t*  $\wedge$   $\neg$  *lex-fun t s*

Attention! *lex-fun* reverses the order of the indeterminates: if  $x$  is smaller than  $y$  w.r.t. the order on  $'a$ , then the *power-product*  $x$  is *greater* than the *power-product*  $y$ .

**lemma** *lex-fun-alt*:

**shows**  $lex-fun s t = (s = t \vee (\exists x. s x < t x \wedge (\forall y < x. s y = t y)))$  (**is**  $?L = ?R$ )

*<proof>*

**lemma** *lex-fun-refl*: *lex-fun s s*

*<proof>*

**lemma** *lex-fun-antisym*:

**assumes** *lex-fun s t* **and** *lex-fun t s*

**shows**  $s = t$

*<proof>*

**lemma** *lex-fun-trans*:

**assumes** *lex-fun s t* **and** *lex-fun t u*

**shows**  $\text{lex-fun } s \ u$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lex-fun-lin}$ :  $\text{lex-fun } s \ t \vee \text{lex-fun } t \ s$  **for**  $s \ t :: 'a \Rightarrow 'b :: \{\text{ordered-comm-monoid-add}, \text{linorder}\}$   
 $\langle \text{proof} \rangle$

**corollary**  $\text{lex-fun-strict-alt}$  [code]:  
 $\text{lex-fun-strict } s \ t = (\neg \text{lex-fun } t \ s)$  **for**  $s \ t :: 'a \Rightarrow 'b :: \{\text{ordered-comm-monoid-add}, \text{linorder}\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lex-fun-zero-min}$ :  $\text{lex-fun } 0 \ s$  **for**  $s :: 'a \Rightarrow 'b :: \text{add-linorder-min}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lex-fun-plus-monotone}$ :  
 $\text{lex-fun } (s + u) \ (t + u)$  **if**  $\text{lex-fun } s \ t$   
**for**  $s \ t :: 'a \Rightarrow 'b :: \text{ordered-cancel-comm-monoid-add}$   
 $\langle \text{proof} \rangle$

**end**

### 6.7.8 Degree

**definition**  $\text{deg-fun} :: ('a \Rightarrow 'b :: \text{comm-monoid-add}) \Rightarrow 'b$  **where**  $\text{deg-fun } s \equiv \sum x \in (\text{supp-fun } s). \ s \ x$

**lemma**  $\text{deg-fun-zero}$ [simp]:  $\text{deg-fun } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{deg-fun-eq-0-iff}$ :  
**assumes**  $\text{finite } (\text{supp-fun } (s :: 'a \Rightarrow 'b :: \text{add-linorder-min}))$   
**shows**  $\text{deg-fun } s = 0 \iff s = 0$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{deg-fun-superset}$ :  
**fixes**  $A :: 'a \ \text{set}$   
**assumes**  $\text{supp-fun } s \subseteq A$  **and**  $\text{finite } A$   
**shows**  $\text{deg-fun } s = (\sum x \in A. \ s \ x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{deg-fun-plus}$ :  
**assumes**  $\text{finite } (\text{supp-fun } s)$  **and**  $\text{finite } (\text{supp-fun } t)$   
**shows**  $\text{deg-fun } (s + t) = \text{deg-fun } s + \text{deg-fun } t$  ( $t :: 'a \Rightarrow 'b :: \text{comm-monoid-add}$ )  
 $\langle \text{proof} \rangle$

**lemma**  $\text{deg-fun-leq}$ :  
**assumes**  $\text{finite } (\text{supp-fun } s)$  **and**  $\text{finite } (\text{supp-fun } t)$  **and**  $s \leq t$  ( $t :: 'a \Rightarrow 'b :: \text{ordered-comm-monoid-add}$ )  
**shows**  $\text{deg-fun } s \leq \text{deg-fun } t$

*<proof>*

### 6.7.9 General Degree-Orders

**context** *linorder*

**begin**

**lemma** *ex-min*:

**assumes** *finite* ( $A::'a \text{ set}$ ) **and**  $A \neq \{\}$

**shows**  $\exists y \in A. (\forall z \in A. y \leq z)$

*<proof>*

**definition** *dord-fun*:: $(('a \Rightarrow 'b::\text{ordered-comm-monoid-add}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool})$   
 $\Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$

**where** *dord-fun ord s t*  $\equiv$  (let  $d1 = \text{deg-fun } s$ ;  $d2 = \text{deg-fun } t$  in  $(d1 < d2 \vee (d1 = d2 \wedge \text{ord } s \ t))$ )

**lemma** *dord-fun-degD*:

**assumes** *dord-fun ord s t*

**shows**  $\text{deg-fun } s \leq \text{deg-fun } t$

*<proof>*

**lemma** *dord-fun-refl*:

**assumes** *ord s s*

**shows** *dord-fun ord s s*

*<proof>*

**lemma** *dord-fun-antisym*:

**assumes** *ord-antisym*:  $\text{ord } s \ t \implies \text{ord } t \ s \implies s = t$  **and** *dord-fun ord s t* **and** *dord-fun ord t s*

**shows**  $s = t$

*<proof>*

**lemma** *dord-fun-trans*:

**assumes** *ord-trans*:  $\text{ord } s \ t \implies \text{ord } t \ u \implies \text{ord } s \ u$  **and** *dord-fun ord s t* **and** *dord-fun ord t u*

**shows** *dord-fun ord s u*

*<proof>*

**lemma** *dord-fun-lin*:

*dord-fun ord s t*  $\vee$  *dord-fun ord t s*

**if**  $\text{ord } s \ t \vee \text{ord } t \ s$

**for**  $s \ t::'a \Rightarrow 'b::\{\text{ordered-comm-monoid-add}, \text{linorder}\}$

*<proof>*

**lemma** *dord-fun-zero-min*:

**fixes**  $s \ t::'a \Rightarrow 'b::\text{add-linorder-min}$

**assumes** *ord-refl*:  $\bigwedge t. \text{ord } t \ t$  **and** *finite* (*supp-fun s*)

**shows** *dord-fun ord 0 s*

*<proof>*

**lemma** *dord-fun-plus-monotone*:

**fixes**  $s\ t\ u :: 'a \Rightarrow 'b :: \{\text{ordered-comm-monoid-add}, \text{ordered-ab-semigroup-add-imp-le}\}$   
**assumes** *ord-monotone*:  $\text{ord } s\ t \implies \text{ord } (s + u)\ (t + u)$  **and** *finite* (*supp-fun*  $s$ )  
**and** *finite* (*supp-fun*  $t$ ) **and** *finite* (*supp-fun*  $u$ ) **and** *dord-fun*  $\text{ord } s\ t$   
**shows** *dord-fun*  $\text{ord } (s + u)\ (t + u)$

*<proof>*

**end**

**context** *wellorder*

**begin**

### 6.7.10 Degree-Lexicographic Term Order

**definition** *dlex-fun*:: $('a \Rightarrow 'b :: \text{ordered-comm-monoid-add}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$   
**where** *dlex-fun*  $\equiv$  *dord-fun* *lex-fun*

**definition** *dlex-fun-strict*  $s\ t \longleftrightarrow \text{dlex-fun } s\ t \wedge \neg \text{dlex-fun } t\ s$

**lemma** *dlex-fun-refl*:

**shows** *dlex-fun*  $s\ s$

*<proof>*

**lemma** *dlex-fun-antisym*:

**assumes** *dlex-fun*  $s\ t$  **and** *dlex-fun*  $t\ s$

**shows**  $s = t$

*<proof>*

**lemma** *dlex-fun-trans*:

**assumes** *dlex-fun*  $s\ t$  **and** *dlex-fun*  $t\ u$

**shows** *dlex-fun*  $s\ u$

*<proof>*

**lemma** *dlex-fun-lin*: *dlex-fun*  $s\ t \vee \text{dlex-fun } t\ s$

**for**  $s\ t :: ('a \Rightarrow 'b :: \{\text{ordered-comm-monoid-add}, \text{linorder}\})$

*<proof>*

**corollary** *dlex-fun-strict-alt* [*code*]:

*dlex-fun-strict*  $s\ t = (\neg \text{dlex-fun } t\ s)$  **for**  $s\ t :: ('a \Rightarrow 'b :: \{\text{ordered-comm-monoid-add}, \text{linorder}\})$

*<proof>*

**lemma** *dlex-fun-zero-min*:

**fixes**  $s\ t :: ('a \Rightarrow 'b :: \text{add-linorder-min})$

**assumes** *finite* (*supp-fun*  $s$ )

**shows** *dlex-fun*  $0\ s$

*<proof>*

**lemma** *dlex-fun-plus-monotone*:

**fixes**  $s\ t\ u::'a \Rightarrow 'b::\{\text{ordered-cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}$   
**assumes** *finite* (*supp-fun*  $s$ ) **and** *finite* (*supp-fun*  $t$ ) **and** *finite* (*supp-fun*  $u$ ) **and**  
*dlex-fun*  $s\ t$   
**shows** *dlex-fun* ( $s + u$ ) ( $t + u$ )  
 $\langle\text{proof}\rangle$

### 6.7.11 Degree-Reverse-Lexicographic Term Order

**abbreviation** *rlex-fun*::( $'a \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \Rightarrow 'b::\text{order}$ )  $\Rightarrow$  *bool* **where**  
*rlex-fun*  $s\ t \equiv$  *lex-fun*  $t\ s$

Note that *rlex-fun* is not precisely the reverse-lexicographic order relation on power-products. Normally, the *last* (i. e. highest) indeterminate whose exponent differs in the two power-products to be compared is taken, but since we do not require the domain to be finite, there might not be such a last indeterminate. Therefore, we simply take the converse of *lex-fun*.

**definition** *drlex-fun*::( $'a \Rightarrow 'b::\text{ordered-comm-monoid-add}$ )  $\Rightarrow$  ( $'a \Rightarrow 'b$ )  $\Rightarrow$  *bool*  
**where** *drlex-fun*  $\equiv$  *dord-fun* *rlex-fun*

**definition** *drlex-fun-strict*  $s\ t \iff$  *drlex-fun*  $s\ t \wedge \neg$  *drlex-fun*  $t\ s$

**lemma** *drlex-fun-refl*:

**shows** *drlex-fun*  $s\ s$   
 $\langle\text{proof}\rangle$

**lemma** *drlex-fun-antisym*:

**assumes** *drlex-fun*  $s\ t$  **and** *drlex-fun*  $t\ s$   
**shows**  $s = t$   
 $\langle\text{proof}\rangle$

**lemma** *drlex-fun-trans*:

**assumes** *drlex-fun*  $s\ t$  **and** *drlex-fun*  $t\ u$   
**shows** *drlex-fun*  $s\ u$   
 $\langle\text{proof}\rangle$

**lemma** *drlex-fun-lin*: *drlex-fun*  $s\ t \vee$  *drlex-fun*  $t\ s$

**for**  $s\ t::('a \Rightarrow 'b::\{\text{ordered-comm-monoid-add, linorder}\})$   
 $\langle\text{proof}\rangle$

**corollary** *drlex-fun-strict-alt* [*code*]:

*drlex-fun-strict*  $s\ t = (\neg$  *drlex-fun*  $t\ s)$  **for**  $s\ t::('a \Rightarrow 'b::\{\text{ordered-comm-monoid-add, linorder}\})$   
 $\langle\text{proof}\rangle$

**lemma** *drlex-fun-zero-min*:

**fixes**  $s\ t::('a \Rightarrow 'b::\text{add-linorder-min})$   
**assumes** *finite* (*supp-fun*  $s$ )

**shows** *drlex-fun* 0 *s*  
 ⟨*proof*⟩

**lemma** *drlex-fun-plus-monotone*:

**fixes** *s t u*::'a ⇒ 'b::{*ordered-cancel-comm-monoid-add*, *ordered-ab-semigroup-add-imp-le*}  
**assumes** *finite* (*supp-fun* *s*) **and** *finite* (*supp-fun* *t*) **and** *finite* (*supp-fun* *u*) **and**  
*drlex-fun* *s t*  
**shows** *drlex-fun* (*s + u*) (*t + u*)  
 ⟨*proof*⟩

**end**

Every finite linear ordering is also a well-ordering. This fact is particularly useful when working with fixed finite sets of indeterminates.

**class** *finite-linorder* = *finite* + *linorder*  
**begin**

**subclass** *wellorder*  
 ⟨*proof*⟩

**end**

## 6.8 Type *poly-mapping*

**lemma** *poly-mapping-eq-zeroI*:

**assumes** *keys* *s* = {}  
**shows** *s* = (0::('a, 'b)::*zero*) *poly-mapping*  
 ⟨*proof*⟩

**lemma** *keys-plus-ninv-comm-monoid-add*: *keys* (*s + t*) = *keys* *s* ∪ *keys* (*t*::'a ⇒<sub>0</sub>  
 'b::*ninv-comm-monoid-add*)  
 ⟨*proof*⟩

**lemma** *lookup-zero-fun*: *lookup* 0 = 0  
 ⟨*proof*⟩

**lemma** *lookup-plus-fun*: *lookup* (*s + t*) = *lookup* *s* + *lookup* *t*  
 ⟨*proof*⟩

**lemma** *lookup-uminus-fun*: *lookup* (− *s*) = − *lookup* *s*  
 ⟨*proof*⟩

**lemma** *lookup-minus-fun*: *lookup* (*s − t*) = *lookup* *s* − *lookup* *t*  
 ⟨*proof*⟩

**lemma** *poly-mapping-adds-iff*: *s* *adds* *t* ↔ *lookup* *s* *adds* *lookup* *t*  
 ⟨*proof*⟩

### 6.8.1 $'a \Rightarrow_0 'b$ belongs to class *comm-powerprod*

**instance** *poly-mapping* :: (type, cancel-comm-monoid-add) *comm-powerprod*  
<proof>

### 6.8.2 $'a \Rightarrow_0 'b$ belongs to class *ninv-comm-monoid-add*

**instance** *poly-mapping* :: (type, ninv-comm-monoid-add) *ninv-comm-monoid-add*  
<proof>

### 6.8.3 $'a \Rightarrow_0 'b$ belongs to class *lcs-powerprod*

**instantiation** *poly-mapping* :: (type, add-linorder) *lcs-powerprod*  
**begin**

**lift-definition** *lcs-poly-mapping*::( $'a \Rightarrow_0 'b$ )  $\Rightarrow$  ( $'a \Rightarrow_0 'b$ )  $\Rightarrow$  ( $'a \Rightarrow_0 'b$ ) **is**  $\lambda s t.$   
 $\lambda x. \max (s x) (t x)$   
<proof>

**lemma** *adds-poly-mappingI*:  
**assumes** *lookup s*  $\leq$  *lookup (t::'a  $\Rightarrow_0$  'b)*  
**shows** *s adds t*  
<proof>

**lemma** *lookup-lcs-fun*: *lookup (lcs s t)* = *lcs (lookup s) (lookup (t:: 'a  $\Rightarrow_0$  'b))*  
<proof>

**instance**  
<proof>

**end**

**lemma** *adds-poly-mapping*: *s adds t*  $\longleftrightarrow$  *lookup s*  $\leq$  *lookup t*  
**for** *s t::'a  $\Rightarrow_0$  'b::add-linorder-min*  
<proof>

**lemma** *lookup-gcs-fun*: *lookup (gcs s (t::'a  $\Rightarrow_0$  ('b::add-linorder)))* = *gcs (lookup s) (lookup t)*  
<proof>

### 6.8.4 $'a \Rightarrow_0 'b$ belongs to class *ulcs-powerprod*

**instance** *poly-mapping* :: (type, add-linorder-min) *ulcs-powerprod* <proof>

### 6.8.5 Power-products in a given set of indeterminates.

**lemma** *adds-except*:  
*s adds t* = (*except s V adds except t V*  $\wedge$  *except s (- V) adds except t (- V)*)  
**for** *s t::'a  $\Rightarrow_0$  'b::add-linorder*  
<proof>

**lemma** *adds-except-singleton*:  
 $s \text{ adds } t \iff (\text{except } s \{v\} \text{ adds except } t \{v\} \wedge \text{lookup } s \ v \text{ adds lookup } t \ v)$   
**for**  $s \ t :: 'a \Rightarrow_0 'b::\text{add-linorder}$   
 $\langle \text{proof} \rangle$

### 6.8.6 Dickson's lemma for power-products in finitely many indeterminates

**context** *countable*  
**begin**

**definition** *elem-index* ::  $'a \Rightarrow \text{nat}$  **where**  $\text{elem-index} = (\text{SOME } f. \text{inj } f)$

**lemma** *inj-elem-index*:  $\text{inj } \text{elem-index}$   
 $\langle \text{proof} \rangle$

**lemma** *elem-index-inj*:  
**assumes**  $\text{elem-index } x = \text{elem-index } y$   
**shows**  $x = y$   
 $\langle \text{proof} \rangle$

**lemma** *finite-nat-seg*:  $\text{finite } \{x. \text{elem-index } x < n\}$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *Dickson-poly-mapping*:  
**assumes** *finite*  $V$   
**shows** *almost-full-on*  $(\text{adds}) \{x::'a \Rightarrow_0 'b::\text{add-wellorder}. \text{keys } x \subseteq V\}$   
 $\langle \text{proof} \rangle$

**definition** *varnum* ::  $'x \text{ set} \Rightarrow ('x::\text{countable} \Rightarrow_0 'b::\text{zero}) \Rightarrow \text{nat}$   
**where**  $\text{varnum } X \ t = (\text{if } \text{keys } t - X = \{\} \text{ then } 0 \text{ else } \text{Suc } (\text{Max } (\text{elem-index } ' ( \text{keys } t - X))))$

**lemma** *elem-index-less-varnum*:  
**assumes**  $x \in \text{keys } t$   
**obtains**  $x \in X \mid \text{elem-index } x < \text{varnum } X \ t$   
 $\langle \text{proof} \rangle$

**lemma** *varnum-plus*:  
 $\text{varnum } X \ (s + t) = \max (\text{varnum } X \ s) (\text{varnum } X \ (t::'x::\text{countable} \Rightarrow_0 'b::\text{inv-comm-monoid-add}))$   
 $\langle \text{proof} \rangle$

**lemma** *dickson-grading-varnum*:  
**assumes** *finite*  $X$   
**shows** *dickson-grading*  $((\text{varnum } X)::('x::\text{countable} \Rightarrow_0 'b::\text{add-wellorder}) \Rightarrow \text{nat})$   
 $\langle \text{proof} \rangle$

**corollary** *dickson-grading-varnum-empty*:

*dickson-grading* ((*varnum* {}):- $\Rightarrow_0$  -::*add-wellorder*)  $\Rightarrow$  *nat*  
(*proof*)

**lemma** *varnum-le-iff*: *varnum* *X* *t*  $\leq$  *n*  $\longleftrightarrow$  *keys* *t*  $\subseteq$  *X*  $\cup$  {*x*. *elem-index* *x*  $<$  *n*}

(*proof*)

**lemma** *varnum-zero [simp]*: *varnum* *X* *0* = *0*

(*proof*)

**lemma** *varnum-empty-eq-zero-iff*: *varnum* {} *t* = *0*  $\longleftrightarrow$  *t* = *0*

(*proof*)

**instance** *poly-mapping* :: (*countable*, *add-wellorder*) *graded-dickson-powerprod*

(*proof*)

**instance** *poly-mapping* :: (*finite*, *add-wellorder*) *dickson-powerprod*

(*proof*)

### 6.8.7 Lexicographic Term Order

**definition** *lex-pm* :: ('*a*  $\Rightarrow_0$  '*b*)  $\Rightarrow$  ('*a*::*linorder*  $\Rightarrow_0$  '*b*::{*zero*,*linorder*})  $\Rightarrow$  *bool*  
where *lex-pm* = ( $\leq$ )

**definition** *lex-pm-strict* :: ('*a*  $\Rightarrow_0$  '*b*)  $\Rightarrow$  ('*a*::*linorder*  $\Rightarrow_0$  '*b*::{*zero*,*linorder*})  $\Rightarrow$  *bool*

where *lex-pm-strict* = ( $<$ )

**lemma** *lex-pm-alt*: *lex-pm* *s* *t* = (*s* = *t*  $\vee$  ( $\exists$  *x*. *lookup* *s* *x*  $<$  *lookup* *t* *x*  $\wedge$  ( $\forall$  *y*  $<$  *x*. *lookup* *s* *y* = *lookup* *t* *y*)))

(*proof*)

**lemma** *lex-pm-refl*: *lex-pm* *s* *s*

(*proof*)

**lemma** *lex-pm-antisym*: *lex-pm* *s* *t*  $\Longrightarrow$  *lex-pm* *t* *s*  $\Longrightarrow$  *s* = *t*

(*proof*)

**lemma** *lex-pm-trans*: *lex-pm* *s* *t*  $\Longrightarrow$  *lex-pm* *t* *u*  $\Longrightarrow$  *lex-pm* *s* *u*

(*proof*)

**lemma** *lex-pm-lin*: *lex-pm* *s* *t*  $\vee$  *lex-pm* *t* *s*

(*proof*)

**corollary** *lex-pm-strict-alt [code]*: *lex-pm-strict* *s* *t* = ( $\neg$  *lex-pm* *t* *s*)

(*proof*)

**lemma** *lex-pm-zero-min*: *lex-pm* *0* *s* for *s*::- $\Rightarrow_0$  -::*add-linorder-min*

*<proof>*

**lemma** *lex-pm-plus-monotone*:  $\text{lex-pm } s \ t \implies \text{lex-pm } (s + u) \ (t + u)$   
**for**  $s \ t :: - \Rightarrow_0 \ - :: \{\text{ordered-comm-monoid-add}, \text{ordered-ab-semigroup-add-imp-le}\}$   
*<proof>*

### 6.8.8 Degree

**lift-definition** *deg-pm*:: $(\ 'a \Rightarrow_0 \ 'b :: \text{comm-monoid-add}) \Rightarrow \ 'b \text{ is deg-fun } \langle \text{proof} \rangle$

**lemma** *deg-pm-zero[simp]*:  $\text{deg-pm } 0 = 0$   
*<proof>*

**lemma** *deg-pm-eq-0-iff[simp]*:  $\text{deg-pm } s = 0 \iff s = 0$  **for**  $s :: \ 'a \Rightarrow_0 \ 'b :: \text{add-linorder-min}$   
*<proof>*

**lemma** *deg-pm-superset*:  
**assumes**  $\text{keys } s \subseteq A$  **and** *finite A*  
**shows**  $\text{deg-pm } s = (\sum_{x \in A}. \text{lookup } s \ x)$   
*<proof>*

**lemma** *deg-pm-plus*:  $\text{deg-pm } (s + t) = \text{deg-pm } s + \text{deg-pm } (t :: \ 'a \Rightarrow_0 \ 'b :: \text{comm-monoid-add})$   
*<proof>*

**lemma** *deg-pm-single*:  $\text{deg-pm } (\text{Poly-Mapping.single } x \ k) = k$   
*<proof>*

### 6.8.9 General Degree-Orders

**context** *linorder*  
**begin**

**lift-definition** *dord-pm*:: $(\ 'a \Rightarrow_0 \ 'b :: \text{ordered-comm-monoid-add}) \Rightarrow (\ 'a \Rightarrow_0 \ 'b) \Rightarrow$   
 $\text{bool}) \Rightarrow (\ 'a \Rightarrow_0 \ 'b) \Rightarrow (\ 'a \Rightarrow_0 \ 'b) \Rightarrow \text{bool}$   
**is** *dord-fun* *<proof>*

**lemma** *dord-pm-alt*:  $\text{dord-pm } \text{ord} = (\lambda x \ y. \text{deg-pm } x < \text{deg-pm } y \vee (\text{deg-pm } x =$   
 $\text{deg-pm } y \wedge \text{ord } x \ y))$   
*<proof>*

**lemma** *dord-pm-degD*:  
**assumes** *dord-pm ord s t*  
**shows**  $\text{deg-pm } s \leq \text{deg-pm } t$   
*<proof>*

**lemma** *dord-pm-refl*:  
**assumes** *ord s s*  
**shows**  $\text{dord-pm } \text{ord } s \ s$   
*<proof>*

**lemma** *dord-pm-antisym*:  
**assumes**  $\text{ord } s \ t \implies \text{ord } t \ s \implies s = t$  **and** *dord-pm ord s t* **and** *dord-pm ord t s*  
**shows**  $s = t$   
 $\langle \text{proof} \rangle$

**lemma** *dord-pm-trans*:  
**assumes**  $\text{ord } s \ t \implies \text{ord } t \ u \implies \text{ord } s \ u$  **and** *dord-pm ord s t* **and** *dord-pm ord t u*  
**shows** *dord-pm ord s u*  
 $\langle \text{proof} \rangle$

**lemma** *dord-pm-lin*:  
*dord-pm ord s t*  $\vee$  *dord-pm ord t s*  
**if**  $\text{ord } s \ t \vee \text{ord } t \ s$   
**for**  $s \ t :: 'a \Rightarrow_0 'b :: \{\text{ordered-comm-monoid-add, linorder}\}$   
 $\langle \text{proof} \rangle$

**lemma** *dord-pm-zero-min*: *dord-pm ord 0 s*  
**if** *ord-refl*:  $\bigwedge t. \text{ord } t \ t$   
**for**  $s \ t :: 'a \Rightarrow_0 'b :: \text{add-linorder-min}$   
 $\langle \text{proof} \rangle$

**lemma** *dord-pm-plus-monotone*:  
**fixes**  $s \ t \ u :: 'a \Rightarrow_0 'b :: \{\text{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}$   
**assumes**  $\text{ord } s \ t \implies \text{ord } (s + u) \ (t + u)$  **and** *dord-pm ord s t*  
**shows** *dord-pm ord (s + u) (t + u)*  
 $\langle \text{proof} \rangle$

**end**

### 6.8.10 Degree-Lexicographic Term Order

**definition** *dlex-pm*:: $( 'a :: \text{linorder} \Rightarrow_0 'b :: \{\text{ordered-comm-monoid-add, linorder}\} ) \Rightarrow$   
 $( 'a \Rightarrow_0 'b ) \Rightarrow \text{bool}$   
**where**  $\text{dlex-pm} \equiv \text{dord-pm lex-pm}$

**definition** *dlex-pm-strict*  $s \ t \longleftrightarrow \text{dlex-pm } s \ t \wedge \neg \text{dlex-pm } t \ s$

**lemma** *dlex-pm-refl*: *dlex-pm s s*  
 $\langle \text{proof} \rangle$

**lemma** *dlex-pm-antisym*:  $\text{dlex-pm } s \ t \implies \text{dlex-pm } t \ s \implies s = t$   
 $\langle \text{proof} \rangle$

**lemma** *dlex-pm-trans*:  $\text{dlex-pm } s \ t \implies \text{dlex-pm } t \ u \implies \text{dlex-pm } s \ u$   
 $\langle \text{proof} \rangle$

**lemma** *dlex-pm-lin*:  $\text{dlex-pm } s \ t \vee \text{dlex-pm } t \ s$   
 $\langle \text{proof} \rangle$

**corollary** *dlex-pm-strict-alt* [code]:  $dlex-pm-strict\ s\ t = (\neg\ dlex-pm\ t\ s)$   
 ⟨proof⟩

**lemma** *dlex-pm-zero-min*:  $dlex-pm\ 0\ s$   
 for  $s\ t :: (- \Rightarrow_0 \text{::add-linorder-min})$   
 ⟨proof⟩

**lemma** *dlex-pm-plus-monotone*:  $dlex-pm\ s\ t \Longrightarrow dlex-pm\ (s + u)\ (t + u)$   
 for  $s\ t :: - \Rightarrow_0 \text{::}\{\text{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add}\}$   
 ⟨proof⟩

### 6.8.11 Degree-Reverse-Lexicographic Term Order

**definition** *drlex-pm*::( $'a::linorder \Rightarrow_0 'b::\{\text{ordered-comm-monoid-add, linorder}\} \Rightarrow$   
 $'a \Rightarrow_0 'b) \Rightarrow bool$   
 where  $drlex-pm \equiv dord-pm\ (\lambda s\ t. lex-pm\ t\ s)$

**definition** *drlex-pm-strict*  $s\ t \longleftrightarrow drlex-pm\ s\ t \wedge \neg\ drlex-pm\ t\ s$

**lemma** *drlex-pm-refl*:  $drlex-pm\ s\ s$   
 ⟨proof⟩

**lemma** *drlex-pm-antisym*:  $drlex-pm\ s\ t \Longrightarrow drlex-pm\ t\ s \Longrightarrow s = t$   
 ⟨proof⟩

**lemma** *drlex-pm-trans*:  $drlex-pm\ s\ t \Longrightarrow drlex-pm\ t\ u \Longrightarrow drlex-pm\ s\ u$   
 ⟨proof⟩

**lemma** *drlex-pm-lin*:  $drlex-pm\ s\ t \vee drlex-pm\ t\ s$   
 ⟨proof⟩

**corollary** *drlex-pm-strict-alt* [code]:  $drlex-pm-strict\ s\ t = (\neg\ drlex-pm\ t\ s)$   
 ⟨proof⟩

**lemma** *drlex-pm-zero-min*:  $drlex-pm\ 0\ s$   
 for  $s\ t :: (- \Rightarrow_0 \text{::add-linorder-min})$   
 ⟨proof⟩

**lemma** *drlex-pm-plus-monotone*:  $drlex-pm\ s\ t \Longrightarrow drlex-pm\ (s + u)\ (t + u)$   
 for  $s\ t :: - \Rightarrow_0 \text{::}\{\text{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add}\}$   
 ⟨proof⟩

**end**

**theory** *More-Modules*  
 imports *HOL.Modules*  
 begin

More facts about modules.

## 7 Modules over Commutative Rings

**context** *module*  
**begin**

**lemma** *scale-minus-both* [*simp*]:  $(- a) *s (- x) = a *s x$   
*<proof>*

### 7.1 Submodules Spanned by Sets of Module-Elements

**lemma** *span-insertI*:  
**assumes**  $p \in \text{span } B$   
**shows**  $p \in \text{span } (\text{insert } r B)$   
*<proof>*

**lemma** *span-insertD*:  
**assumes**  $p \in \text{span } (\text{insert } r B)$  **and**  $r \in \text{span } B$   
**shows**  $p \in \text{span } B$   
*<proof>*

**lemma** *span-insert-idI*:  
**assumes**  $r \in \text{span } B$   
**shows**  $\text{span } (\text{insert } r B) = \text{span } B$   
*<proof>*

**lemma** *span-insert-zero*:  $\text{span } (\text{insert } 0 B) = \text{span } B$   
*<proof>*

**lemma** *span-Diff-zero*:  $\text{span } (B - \{0\}) = \text{span } B$   
*<proof>*

**lemma** *span-insert-subset*:  
**assumes**  $\text{span } A \subseteq \text{span } B$  **and**  $r \in \text{span } B$   
**shows**  $\text{span } (\text{insert } r A) \subseteq \text{span } B$   
*<proof>*

**lemma** *replace-span*:  
**assumes**  $q \in \text{span } B$   
**shows**  $\text{span } (\text{insert } q (B - \{p\})) \subseteq \text{span } B$   
*<proof>*

**lemma** *sum-in-spanI*:  $(\sum_{b \in B} q b *s b) \in \text{span } B$   
*<proof>*

**lemma** *span-closed-sum-list*:  $(\bigwedge x. x \in \text{set } xs \implies x \in \text{span } B) \implies \text{sum-list } xs \in \text{span } B$   
*<proof>*

**lemma** *spanE*:

**assumes**  $p \in \text{span } B$

**obtains**  $A \ q$  where *finite*  $A$  and  $A \subseteq B$  and  $p = (\sum_{b \in A}. (q \ b) *s \ b)$

*<proof>*

**lemma** *span-finite-subset*:

**assumes**  $p \in \text{span } B$

**obtains**  $A$  where *finite*  $A$  and  $A \subseteq B$  and  $p \in \text{span } A$

*<proof>*

**lemma** *span-finiteE*:

**assumes** *finite*  $B$  and  $p \in \text{span } B$

**obtains**  $q$  where  $p = (\sum_{b \in B}. (q \ b) *s \ b)$

*<proof>*

**lemma** *span-subset-spanI*:

**assumes**  $A \subseteq \text{span } B$

**shows**  $\text{span } A \subseteq \text{span } B$

*<proof>*

**lemma** *span-insert-cong*:

**assumes**  $\text{span } A = \text{span } B$

**shows**  $\text{span } (\text{insert } p \ A) = \text{span } (\text{insert } p \ B)$  (**is**  $?l = ?r$ )

*<proof>*

**lemma** *span-induct'* [*consumes 1, case-names base step*]:

**assumes**  $p \in \text{span } B$  and  $P \ 0$

**and**  $\bigwedge a \ q \ p. a \in \text{span } B \implies P \ a \implies p \in B \implies q \neq 0 \implies P \ (a + q *s \ p)$

**shows**  $P \ p$

*<proof>*

**lemma** *span-INT-subset*:  $\text{span } (\bigcap_{a \in A}. f \ a) \subseteq (\bigcap_{a \in A}. \text{span } (f \ a))$  (**is**  $?l \subseteq ?r$ )

*<proof>*

**lemma** *span-INT*:  $\text{span } (\bigcap_{a \in A}. \text{span } (f \ a)) = (\bigcap_{a \in A}. \text{span } (f \ a))$  (**is**  $?l = ?r$ )

*<proof>*

**lemma** *span-Int-subset*:  $\text{span } (A \cap B) \subseteq \text{span } A \cap \text{span } B$

*<proof>*

**lemma** *span-Int*:  $\text{span } (\text{span } A \cap \text{span } B) = \text{span } A \cap \text{span } B$

*<proof>*

**lemma** *span-image-scale-eq-image-scale*:  $\text{span } ((*s) \ q \ ' \ F) = (*s) \ q \ ' \ \text{span } F$  (**is**  $?A = ?B$ )

*<proof>*

**end**

## 8 Ideals over Commutative Rings

**lemma** *module-times: module (\*)*  
 ⟨proof⟩

**interpretation** *ideal: module times*  
 ⟨proof⟩

**declare** *ideal.scale-scale[simp del]*

**abbreviation** *ideal ≡ ideal.span*

**lemma** *ideal-eq-UNIV-iff-contains-one: ideal B = UNIV ⟷ 1 ∈ ideal B*  
 ⟨proof⟩

**lemma** *ideal-eq-zero-iff [iff]: ideal F = {0} ⟷ F ⊆ {0}*  
 ⟨proof⟩

**lemma** *ideal-field-cases:*  
**obtains** *ideal B = {0} | ideal (B::'a::field set) = UNIV*  
 ⟨proof⟩

**corollary** *ideal-field-disj: ideal B = {0} ∨ ideal (B::'a::field set) = UNIV*  
 ⟨proof⟩

**lemma** *image-ideal-subset:*  
**assumes**  $\bigwedge x y. h (x + y) = h x + h y$  **and**  $\bigwedge x y. h (x * y) = h x * h y$   
**shows**  $h \text{ ` ideal } F \subseteq \text{ideal } (h \text{ ` } F)$   
 ⟨proof⟩

**lemma** *image-ideal-eq-surj:*  
**assumes**  $\bigwedge x y. h (x + y) = h x + h y$  **and**  $\bigwedge x y. h (x * y) = h x * h y$  **and**  
*surj h*  
**shows**  $h \text{ ` ideal } B = \text{ideal } (h \text{ ` } B)$   
 ⟨proof⟩

**context**  
**fixes**  $h :: 'a \Rightarrow 'a::\text{comm-ring-1}$   
**assumes** *h-plus: h (x + y) = h x + h y*  
**assumes** *h-times: h (x \* y) = h x \* h y*  
**assumes** *h-idem: h (h x) = h x*  
**begin**

**lemma** *in-idealE-homomorphism-finite:*  
**assumes** *finite B and B ⊆ range h and p ∈ range h and p ∈ ideal B*  
**obtains** *q where*  $\bigwedge b. q b \in \text{range } h$  **and**  $p = (\sum_{b \in B. q b * b)$   
 ⟨proof⟩

**corollary** *in-idealE-homomorphism:*

**assumes**  $B \subseteq \text{range } h$  **and**  $p \in \text{range } h$  **and**  $p \in \text{ideal } B$   
**obtains**  $A \ q$  **where** *finite*  $A$  **and**  $A \subseteq B$  **and**  $\bigwedge b. q \ b \in \text{range } h$  **and**  $p =$   
 $(\sum_{b \in A. q \ b * b})$   
 $\langle \text{proof} \rangle$

**lemma** *ideal-induct-homomorphism* [*consumes 3, case-names 0 plus*]:  
**assumes**  $B \subseteq \text{range } h$  **and**  $p \in \text{range } h$  **and**  $p \in \text{ideal } B$   
**assumes**  $P \ 0$  **and**  $\bigwedge c \ b \ a. c \in \text{range } h \implies b \in B \implies P \ a \implies a \in \text{range } h \implies$   
 $P \ (c * b + a)$   
**shows**  $P \ p$   
 $\langle \text{proof} \rangle$

**lemma** *image-ideal-eq-Int*:  $h \ ` \ \text{ideal } B = \text{ideal } (h \ ` \ B) \cap \text{range } h$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 9 Type-Class-Multivariate Polynomials

**theory** *MPoly-Type-Class*

**imports**

*Utils*

*Power-Products*

*More-Modules*

**begin**

This theory views  $'a \Rightarrow_0 'b$  as multivariate polynomials, where type class constraints on  $'a$  ensure that  $'a$  represents something like monomials.

**lemma** *when-distrib*:  $f \ (a \ \text{when } b) = (f \ a \ \text{when } b)$  **if**  $\neg b \implies f \ 0 = 0$   
 $\langle \text{proof} \rangle$

**definition** *mapp-2* ::  $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a \Rightarrow_0 'b::\text{zero}) \Rightarrow ('a \Rightarrow_0 'c::\text{zero})$   
 $\Rightarrow ('a \Rightarrow_0 'd::\text{zero})$

**where** *mapp-2*  $f \ p \ q = \text{Abs-poly-mapping } (\lambda k. f \ k \ (\text{lookup } p \ k) \ (\text{lookup } q \ k))$  *when*  
 $k \in \text{keys } p \cup \text{keys } q$

**lemma** *lookup-mapp-2*:

$\text{lookup } (\text{mapp-2 } f \ p \ q) \ k = (f \ k \ (\text{lookup } p \ k) \ (\text{lookup } q \ k))$  *when*  $k \in \text{keys } p \cup \text{keys } q$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-mapp-2-homogenous*:

**assumes**  $f \ k \ 0 \ 0 = 0$

**shows**  $\text{lookup } (\text{mapp-2 } f \ p \ q) \ k = f \ k \ (\text{lookup } p \ k) \ (\text{lookup } q \ k)$

$\langle \text{proof} \rangle$

**lemma** *mapp-2-cong* [*fundef-cong*]:

**assumes**  $p = p'$  **and**  $q = q'$   
**assumes**  $\bigwedge k. k \in \text{keys } p' \cup \text{keys } q' \implies f k (\text{lookup } p' k) (\text{lookup } q' k) = f' k$   
 $(\text{lookup } p' k) (\text{lookup } q' k)$   
**shows**  $\text{mapp-2 } f p q = \text{mapp-2 } f' p' q'$   
 $\langle \text{proof} \rangle$

**lemma** *keys-mapp-subset*:  $\text{keys } (\text{mapp-2 } f p q) \subseteq \text{keys } p \cup \text{keys } q$   
 $\langle \text{proof} \rangle$

**lemma** *mapp-2-mapp*:  $\text{mapp-2 } (\lambda t a. f t) 0 p = \text{Poly-Mapping.mapp } f p$   
 $\langle \text{proof} \rangle$

## 9.1 keys

**lemma** *in-keys-plusI1*:  
**assumes**  $t \in \text{keys } p$  **and**  $t \notin \text{keys } q$   
**shows**  $t \in \text{keys } (p + q)$   
 $\langle \text{proof} \rangle$

**lemma** *in-keys-plusI2*:  
**assumes**  $t \in \text{keys } q$  **and**  $t \notin \text{keys } p$   
**shows**  $t \in \text{keys } (p + q)$   
 $\langle \text{proof} \rangle$

**lemma** *keys-plus-eqI*:  
**assumes**  $\text{keys } p \cap \text{keys } q = \{\}$   
**shows**  $\text{keys } (p + q) = (\text{keys } p \cup \text{keys } q)$   
 $\langle \text{proof} \rangle$

**lemma** *keys-uminus*:  $\text{keys } (- p) = \text{keys } p$   
 $\langle \text{proof} \rangle$

**lemma** *keys-minus*:  $\text{keys } (p - q) \subseteq (\text{keys } p \cup \text{keys } q)$   
 $\langle \text{proof} \rangle$

## 9.2 Monomials

**abbreviation** *monomial*  $\equiv (\lambda c t. \text{Poly-Mapping.single } t c)$

**lemma** *keys-of-monomial*:  
**assumes**  $c \neq 0$   
**shows**  $\text{keys } (\text{monomial } c t) = \{t\}$   
 $\langle \text{proof} \rangle$

**lemma** *monomial-uminus*:  
**shows**  $-\text{monomial } c s = \text{monomial } (- c) s$   
 $\langle \text{proof} \rangle$

**lemma** *monomial-inj*:  
**assumes**  $\text{monomial } c s = \text{monomial } (d::'b::\text{zero-neq-one}) t$

**shows**  $(c = 0 \wedge d = 0) \vee (c = d \wedge s = t)$   
*<proof>*

**definition** *is-monomial* ::  $(!a \Rightarrow_0 !b::zero) \Rightarrow bool$   
**where** *is-monomial*  $p \iff \text{card } (\text{keys } p) = 1$

**lemma** *monomial-is-monomial*:  
**assumes**  $c \neq 0$   
**shows** *is-monomial* (*monomial*  $c$   $t$ )  
*<proof>*

**lemma** *is-monomial-monomial*:  
**assumes** *is-monomial*  $p$   
**obtains**  $c$   $t$  **where**  $c \neq 0$  **and**  $p = \text{monomial } c$   $t$   
*<proof>*

**lemma** *is-monomial-uminus*: *is-monomial*  $(-p) \iff \text{is-monomial } p$   
*<proof>*

**lemma** *monomial-not-0*:  
**assumes** *is-monomial*  $p$   
**shows**  $p \neq 0$   
*<proof>*

**lemma** *keys-subset-singleton-imp-monomial*:  
**assumes**  $\text{keys } p \subseteq \{t\}$   
**shows** *monomial* (*lookup*  $p$   $t$ )  $t = p$   
*<proof>*

**lemma** *monomial-0I*:  
**assumes**  $c = 0$   
**shows** *monomial*  $c$   $t = 0$   
*<proof>*

**lemma** *monomial-0D*:  
**assumes** *monomial*  $c$   $t = 0$   
**shows**  $c = 0$   
*<proof>*

**corollary** *monomial-0-iff*: *monomial*  $c$   $t = 0 \iff c = 0$   
*<proof>*

**lemma** *lookup-times-monomial-left*: *lookup* (*monomial*  $c$   $t$  \*  $p$ )  $s = (c$  \* *lookup*  $p$   $(s - t)$  **when**  $t$  *adds*  $s$ )  
**for**  $c::!b::\text{semiring-0}$  **and**  $t::!a::\text{comm-powerprod}$   
*<proof>*

**lemma** *lookup-times-monomial-right*: *lookup* ( $p$  \* *monomial*  $c$   $t$ )  $s = (\text{lookup } p$   $(s - t)$  \*  $c$  **when**  $t$  *adds*  $s$ )

**for**  $c::'b::\text{semiring-0}$  **and**  $t::'a::\text{comm-powerprod}$   
 $\langle\text{proof}\rangle$

### 9.3 Vector-Polynomials

From now on we consider multivariate vector-polynomials, i.e. vectors of scalar polynomials. We do this by adding a *component* to each power-product, yielding *terms*. Vector-polynomials are then again just linear combinations of terms. Note that a term is *not* the same as a vector of power-products!

We use define terms in a locale, such that later on we can interpret the locale also by ordinary power-products (without components), exploiting the canonical isomorphism between  $'a$  and  $'a \times \text{unit}$ .

**named-theorems** *term-simps simplification rules for terms*

**locale** *term-powerprod* =  
**fixes** *pair-of-term*:: $t \Rightarrow ('a::\text{comm-powerprod} \times 'k::\text{linorder})$   
**fixes** *term-of-pair*:: $('a \times 'k) \Rightarrow t$   
**assumes** *term-pair* [*term-simps*]: *term-of-pair* (*pair-of-term*  $v$ ) =  $v$   
**assumes** *pair-term* [*term-simps*]: *pair-of-term* (*term-of-pair*  $p$ ) =  $p$   
**begin**

**lemma** *pair-of-term-injective*:  
**assumes** *pair-of-term*  $u = \text{pair-of-term } v$   
**shows**  $u = v$   
 $\langle\text{proof}\rangle$

**corollary** *pair-of-term-inj*: *inj pair-of-term*  
 $\langle\text{proof}\rangle$

**lemma** *term-of-pair-injective*:  
**assumes** *term-of-pair*  $p = \text{term-of-pair } q$   
**shows**  $p = q$   
 $\langle\text{proof}\rangle$

**corollary** *term-of-pair-inj*: *inj term-of-pair*  
 $\langle\text{proof}\rangle$

**definition** *pp-of-term* ::  $t \Rightarrow 'a$   
**where** *pp-of-term*  $v = \text{fst } (\text{pair-of-term } v)$

**definition** *component-of-term* ::  $t \Rightarrow 'k$   
**where** *component-of-term*  $v = \text{snd } (\text{pair-of-term } v)$

**lemma** *term-of-pair-pair* [*term-simps*]: *term-of-pair* (*pp-of-term*  $v$ , *component-of-term*  $v$ ) =  $v$   
 $\langle\text{proof}\rangle$

**lemma** *pp-of-term-of-pair* [*term-simps*]: *pp-of-term* (*term-of-pair* (*t*, *k*)) = *t*  
 ⟨*proof*⟩

**lemma** *component-of-term-of-pair* [*term-simps*]: *component-of-term* (*term-of-pair* (*t*, *k*)) = *k*  
 ⟨*proof*⟩

### 9.3.1 Additive Structure of Terms

**definition** *splus* :: '*a* ⇒ '*t* ⇒ '*t* (**infixl** ⟨ $\oplus$ ⟩ 75)  
 where *splus* *t v* = *term-of-pair* (*t* + *pp-of-term v*, *component-of-term v*)

**definition** *sminus* :: '*t* ⇒ '*a* ⇒ '*t* (**infixl** ⟨ $\ominus$ ⟩ 75)  
 where *sminus* *v t* = *term-of-pair* (*pp-of-term v* - *t*, *component-of-term v*)

Note that the argument order in ( $\ominus$ ) is reversed compared to the order in ( $\oplus$ ).

**definition** *adds-pp* :: '*a* ⇒ '*t* ⇒ *bool* (**infix** ⟨*adds<sub>p</sub>*⟩ 50)  
 where *adds-pp* *t v*  $\longleftrightarrow$  *t adds pp-of-term v*

**definition** *adds-term* :: '*t* ⇒ '*t* ⇒ *bool* (**infix** ⟨*adds<sub>t</sub>*⟩ 50)  
 where *adds-term* *u v*  $\longleftrightarrow$  *component-of-term u* = *component-of-term v*  $\wedge$  *pp-of-term u adds pp-of-term v*

**lemma** *pp-of-term-splus* [*term-simps*]: *pp-of-term* (*t*  $\oplus$  *v*) = *t* + *pp-of-term v*  
 ⟨*proof*⟩

**lemma** *component-of-term-splus* [*term-simps*]: *component-of-term* (*t*  $\oplus$  *v*) = *component-of-term v*  
 ⟨*proof*⟩

**lemma** *pp-of-term-sminus* [*term-simps*]: *pp-of-term* (*v*  $\ominus$  *t*) = *pp-of-term v* - *t*  
 ⟨*proof*⟩

**lemma** *component-of-term-sminus* [*term-simps*]: *component-of-term* (*v*  $\ominus$  *t*) = *component-of-term v*  
 ⟨*proof*⟩

**lemma** *splus-sminus* [*term-simps*]: (*t*  $\oplus$  *v*)  $\ominus$  *t* = *v*  
 ⟨*proof*⟩

**lemma** *splus-zero* [*term-simps*]: *0*  $\oplus$  *v* = *v*  
 ⟨*proof*⟩

**lemma** *sminus-zero* [*term-simps*]: *v*  $\ominus$  *0* = *v*  
 ⟨*proof*⟩

**lemma** *splus-assoc* [*ac-simps*]: (*s* + *t*)  $\oplus$  *v* = *s*  $\oplus$  (*t*  $\oplus$  *v*)  
 ⟨*proof*⟩

**lemma** *splus-left-commute* [*ac-simps*]:  $s \oplus (t \oplus v) = t \oplus (s \oplus v)$   
⟨*proof*⟩

**lemma** *splus-right-canc* [*term-simps*]:  $t \oplus v = s \oplus v \longleftrightarrow t = s$   
⟨*proof*⟩

**lemma** *splus-left-canc* [*term-simps*]:  $t \oplus v = t \oplus u \longleftrightarrow v = u$   
⟨*proof*⟩

**lemma** *adds-ppI* [*intro?*]:  
  **assumes**  $v = t \oplus u$   
  **shows**  $t \text{ adds}_p v$   
  ⟨*proof*⟩

**lemma** *adds-ppE* [*elim?*]:  
  **assumes**  $t \text{ adds}_p v$   
  **obtains**  $u$  **where**  $v = t \oplus u$   
  ⟨*proof*⟩

**lemma** *adds-pp-alt*:  $t \text{ adds}_p v \longleftrightarrow (\exists u. v = t \oplus u)$   
⟨*proof*⟩

**lemma** *adds-pp-refl* [*term-simps*]:  $(pp\text{-of-term } v) \text{ adds}_p v$   
⟨*proof*⟩

**lemma** *adds-pp-trans* [*trans*]:  
  **assumes**  $s \text{ adds } t$  **and**  $t \text{ adds}_p v$   
  **shows**  $s \text{ adds}_p v$   
  ⟨*proof*⟩

**lemma** *zero-adds-pp* [*term-simps*]:  $0 \text{ adds}_p v$   
⟨*proof*⟩

**lemma** *adds-pp-splus*:  
  **assumes**  $t \text{ adds}_p v$   
  **shows**  $t \text{ adds}_p s \oplus v$   
  ⟨*proof*⟩

**lemma** *adds-pp-triv* [*term-simps*]:  $t \text{ adds}_p t \oplus v$   
⟨*proof*⟩

**lemma** *plus-adds-pp-mono*:  
  **assumes**  $s \text{ adds } t$   
  **and**  $u \text{ adds}_p v$   
  **shows**  $s + u \text{ adds}_p t \oplus v$   
  ⟨*proof*⟩

**lemma** *plus-adds-pp-left*:

**assumes**  $s + t \text{ adds}_p v$   
**shows**  $s \text{ adds}_p v$   
*<proof>*

**lemma** *plus-adds-pp-right:*

**assumes**  $s + t \text{ adds}_p v$   
**shows**  $t \text{ adds}_p v$   
*<proof>*

**lemma** *adds-pp-sminus:*

**assumes**  $t \text{ adds}_p v$   
**shows**  $t \oplus (v \ominus t) = v$   
*<proof>*

**lemma** *adds-pp-canc:*  $t + s \text{ adds}_p (t \oplus v) \longleftrightarrow s \text{ adds}_p v$

*<proof>*

**lemma** *adds-pp-canc-2:*  $s + t \text{ adds}_p (t \oplus v) \longleftrightarrow s \text{ adds}_p v$

*<proof>*

**lemma** *plus-adds-pp-0:*

**assumes**  $(s + t) \text{ adds}_p v$   
**shows**  $s \text{ adds}_p (v \ominus t)$   
*<proof>*

**lemma** *plus-adds-ppI-1:*

**assumes**  $t \text{ adds}_p v$  **and**  $s \text{ adds}_p (v \ominus t)$   
**shows**  $(s + t) \text{ adds}_p v$   
*<proof>*

**lemma** *plus-adds-ppI-2:*

**assumes**  $t \text{ adds}_p v$  **and**  $s \text{ adds}_p (v \ominus t)$   
**shows**  $(t + s) \text{ adds}_p v$   
*<proof>*

**lemma** *plus-adds-pp:*  $(s + t) \text{ adds}_p v \longleftrightarrow (t \text{ adds}_p v \wedge s \text{ adds}_p (v \ominus t))$

*<proof>*

**lemma** *minus-splus:*

**assumes**  $s \text{ adds } t$   
**shows**  $(t - s) \oplus v = (t \oplus v) \ominus s$   
*<proof>*

**lemma** *minus-splus-sminus:*

**assumes**  $s \text{ adds } t$  **and**  $u \text{ adds}_p v$   
**shows**  $(t - s) \oplus (v \ominus u) = (t \oplus v) \ominus (s + u)$   
*<proof>*

**lemma** *minus-splus-sminus-cancel:*

**assumes**  $s \text{ adds } t$  **and**  $t \text{ adds}_p v$   
**shows**  $(t - s) \oplus (v \ominus t) = v \ominus s$   
*<proof>*

**lemma** *sminus-plus*:  
**assumes**  $s \text{ adds}_p v$  **and**  $t \text{ adds}_p (v \ominus s)$   
**shows**  $v \ominus (s + t) = (v \ominus s) \ominus t$   
*<proof>*

**lemma** *adds-termI* [*intro?*]:  
**assumes**  $v = t \oplus u$   
**shows**  $u \text{ adds}_t v$   
*<proof>*

**lemma** *adds-termE* [*elim?*]:  
**assumes**  $u \text{ adds}_t v$   
**obtains**  $t$  **where**  $v = t \oplus u$   
*<proof>*

**lemma** *adds-term-alt*:  $u \text{ adds}_t v \longleftrightarrow (\exists t. v = t \oplus u)$   
*<proof>*

**lemma** *adds-term-refl* [*term-simps*]:  $v \text{ adds}_t v$   
*<proof>*

**lemma** *adds-term-trans* [*trans*]:  
**assumes**  $u \text{ adds}_t v$  **and**  $v \text{ adds}_t w$   
**shows**  $u \text{ adds}_t w$   
*<proof>*

**lemma** *adds-term-splus*:  
**assumes**  $u \text{ adds}_t v$   
**shows**  $u \text{ adds}_t s \oplus v$   
*<proof>*

**lemma** *adds-term-triv* [*term-simps*]:  $v \text{ adds}_t t \oplus v$   
*<proof>*

**lemma** *splus-adds-term-mono*:  
**assumes**  $s \text{ adds } t$   
**and**  $u \text{ adds}_t v$   
**shows**  $s \oplus u \text{ adds}_t t \oplus v$   
*<proof>*

**lemma** *splus-adds-term*:  
**assumes**  $t \oplus u \text{ adds}_t v$   
**shows**  $u \text{ adds}_t v$   
*<proof>*

**lemma** *adds-term-adds-pp*:

$u \text{ adds}_t v \longleftrightarrow (\text{component-of-term } u = \text{component-of-term } v \wedge \text{pp-of-term } u \text{ adds}_p v)$   
*<proof>*

**lemma** *adds-term-canc*:  $t \oplus u \text{ adds}_t t \oplus v \longleftrightarrow u \text{ adds}_t v$   
*<proof>*

**lemma** *adds-term-canc-2*:  $s \oplus v \text{ adds}_t t \oplus v \longleftrightarrow s \text{ adds } t$   
*<proof>*

**lemma** *splus-adds-term-0*:

**assumes**  $t \oplus u \text{ adds}_t v$   
**shows**  $u \text{ adds}_t (v \ominus t)$   
*<proof>*

**lemma** *splus-adds-termI-1*:

**assumes**  $t \text{ adds}_p v$  **and**  $u \text{ adds}_t (v \ominus t)$   
**shows**  $t \oplus u \text{ adds}_t v$   
*<proof>*

**lemma** *splus-adds-term-iff*:  $t \oplus u \text{ adds}_t v \longleftrightarrow (t \text{ adds}_p v \wedge u \text{ adds}_t (v \ominus t))$   
*<proof>*

**lemma** *adds-minus-splus*:

**assumes**  $\text{pp-of-term } u \text{ adds } t$   
**shows**  $(t - \text{pp-of-term } u) \oplus u = \text{term-of-pair } (t, \text{component-of-term } u)$   
*<proof>*

### 9.3.2 Projections and Conversions

**lift-definition** *proj-poly* ::  $'k \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b::\text{zero})$   
**is**  $\lambda k p t. p (\text{term-of-pair } (t, k))$   
*<proof>*

**definition** *vectorize-poly* ::  $('t \Rightarrow_0 'b) \Rightarrow ('k \Rightarrow_0 ('a \Rightarrow_0 'b::\text{zero}))$   
**where**  $\text{vectorize-poly } p = \text{Abs-poly-mapping } (\lambda k. \text{proj-poly } k p)$

**definition** *atomize-poly* ::  $('k \Rightarrow_0 ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b::\text{zero})$   
**where**  $\text{atomize-poly } p = \text{Abs-poly-mapping } (\lambda v. \text{lookup } (\text{lookup } p (\text{component-of-term } v)) (\text{pp-of-term } v))$

**lemma** *lookup-proj-poly*:  $\text{lookup } (\text{proj-poly } k p) t = \text{lookup } p (\text{term-of-pair } (t, k))$   
*<proof>*

**lemma** *lookup-vectorize-poly*:  $\text{lookup } (\text{vectorize-poly } p) k = \text{proj-poly } k p$   
*<proof>*

**lemma** *lookup-atomize-poly*:

$lookup (atomize-poly p) v = lookup (lookup p (component-of-term v)) (pp-of-term v)$   
 ⟨proof⟩

**lemma** *keys-proj-poly*:  $keys (proj-poly k p) = pp-of-term \{x \in keys p. component-of-term x = k\}$   
 ⟨proof⟩

**lemma** *keys-vectorize-poly*:  $keys (vectorize-poly p) = component-of-term \text{ keys } p$   
 ⟨proof⟩

**lemma** *keys-atomize-poly*:  
 $keys (atomize-poly p) = (\bigcup k \in keys p. (\lambda t. term-of-pair (t, k)) \text{ keys } (lookup p k))$  (is ?l = ?r)  
 ⟨proof⟩

**lemma** *proj-atomize-poly* [term-simps]:  $proj-poly k (atomize-poly p) = lookup p k$   
 ⟨proof⟩

**lemma** *vectorize-atomize-poly* [term-simps]:  $vectorize-poly (atomize-poly p) = p$   
 ⟨proof⟩

**lemma** *atomize-vectorize-poly* [term-simps]:  $atomize-poly (vectorize-poly p) = p$   
 ⟨proof⟩

**lemma** *proj-zero* [term-simps]:  $proj-poly k 0 = 0$   
 ⟨proof⟩

**lemma** *proj-plus*:  $proj-poly k (p + q) = proj-poly k p + proj-poly k q$   
 ⟨proof⟩

**lemma** *proj-uminus* [term-simps]:  $proj-poly k (- p) = - proj-poly k p$   
 ⟨proof⟩

**lemma** *proj-minus*:  $proj-poly k (p - q) = proj-poly k p - proj-poly k q$   
 ⟨proof⟩

**lemma** *vectorize-zero* [term-simps]:  $vectorize-poly 0 = 0$   
 ⟨proof⟩

**lemma** *vectorize-plus*:  $vectorize-poly (p + q) = vectorize-poly p + vectorize-poly q$   
 ⟨proof⟩

**lemma** *vectorize-uminus* [term-simps]:  $vectorize-poly (- p) = - vectorize-poly p$   
 ⟨proof⟩

**lemma** *vectorize-minus*:  $vectorize-poly (p - q) = vectorize-poly p - vectorize-poly q$   
 ⟨proof⟩

**lemma** *atomize-zero* [term-simps]: *atomize-poly* 0 = 0  
 ⟨proof⟩

**lemma** *atomize-plus*: *atomize-poly* (p + q) = *atomize-poly* p + *atomize-poly* q  
 ⟨proof⟩

**lemma** *atomize-uminus* [term-simps]: *atomize-poly* (- p) = - *atomize-poly* p  
 ⟨proof⟩

**lemma** *atomize-minus*: *atomize-poly* (p - q) = *atomize-poly* p - *atomize-poly* q  
 ⟨proof⟩

**lemma** *proj-monomial*:  
*proj-poly* k (monomial c v) = (monomial c (pp-of-term v) when component-of-term  
 v = k)  
 ⟨proof⟩

**lemma** *vectorize-monomial*:  
*vectorize-poly* (monomial c v) = monomial (monomial c (pp-of-term v)) (component-of-term  
 v)  
 ⟨proof⟩

**lemma** *atomize-monomial-monomial*:  
*atomize-poly* (monomial (monomial c t) k) = monomial c (term-of-pair (t, k))  
 ⟨proof⟩

**lemma** *poly-mapping-eqI-proj*:  
 assumes  $\bigwedge k. \text{proj-poly } k \ p = \text{proj-poly } k \ q$   
 shows  $p = q$   
 ⟨proof⟩

## 9.4 Scalar Multiplication by Monomials

**definition** *monom-mult* :: 'b::semiring-0  $\Rightarrow$  'a::comm-powerprod  $\Rightarrow$  ('t  $\Rightarrow_0$  'b)  $\Rightarrow$   
 ('t  $\Rightarrow_0$  'b)

where *monom-mult* c t p = Abs-poly-mapping ( $\lambda v. \text{if } t \text{ adds}_p v \text{ then } c * (\text{lookup } p \ (v \ominus t)) \text{ else } 0$ )

**lemma** *keys-monom-mult-aux*:  
 {v. (if t adds<sub>p</sub> v then c \* lookup p (v  $\ominus$  t) else 0)  $\neq$  0}  $\subseteq$  ( $\oplus$ ) t 'keys p (is ?l  $\subseteq$   
 ?r)  
 for c::'b::semiring-0  
 ⟨proof⟩

**lemma** *lookup-monom-mult*:  
 lookup (monom-mult c t p) v = (if t adds<sub>p</sub> v then c \* lookup p (v  $\ominus$  t) else 0)  
 ⟨proof⟩

**lemma** *lookup-monom-mult-plus*:

$$\text{lookup } (\text{monom-mult } c \ t \ p) \ (t \oplus v) = (c::'b::\text{semiring-0}) * \text{lookup } p \ v$$

*<proof>*

**lemma** *monom-mult-assoc*:  $\text{monom-mult } c \ s \ (\text{monom-mult } d \ t \ p) = \text{monom-mult } (c * d) \ (s + t) \ p$   
*<proof>*

**lemma** *monom-mult-uminus-left*:  $\text{monom-mult } (-c) \ t \ p = - \text{monom-mult } (c::'b::\text{ring}) \ t \ p$   
*<proof>*

**lemma** *monom-mult-uminus-right*:  $\text{monom-mult } c \ t \ (-p) = - \text{monom-mult } (c::'b::\text{ring}) \ t \ p$   
*<proof>*

**lemma** *uminus-monom-mult*:  $-p = \text{monom-mult } (-1::'b::\text{comm-ring-1}) \ 0 \ p$   
*<proof>*

**lemma** *monom-mult-dist-left*:  $\text{monom-mult } (c + d) \ t \ p = (\text{monom-mult } c \ t \ p) + (\text{monom-mult } d \ t \ p)$   
*<proof>*

**lemma** *monom-mult-dist-left-minus*:  
 $\text{monom-mult } (c - d) \ t \ p = (\text{monom-mult } c \ t \ p) - (\text{monom-mult } (d::'b::\text{ring}) \ t \ p)$   
*<proof>*

**lemma** *monom-mult-dist-right*:  
 $\text{monom-mult } c \ t \ (p + q) = (\text{monom-mult } c \ t \ p) + (\text{monom-mult } c \ t \ q)$   
*<proof>*

**lemma** *monom-mult-dist-right-minus*:  
 $\text{monom-mult } c \ t \ (p - q) = (\text{monom-mult } c \ t \ p) - (\text{monom-mult } (c::'b::\text{ring}) \ t \ q)$   
*<proof>*

**lemma** *monom-mult-zero-left [simp]*:  $\text{monom-mult } 0 \ t \ p = 0$   
*<proof>*

**lemma** *monom-mult-zero-right [simp]*:  $\text{monom-mult } c \ t \ 0 = 0$   
*<proof>*

**lemma** *monom-mult-one-left [simp]*:  $(\text{monom-mult } (1::'b::\text{semiring-1}) \ 0 \ p) = p$   
*<proof>*

**lemma** *monom-mult-monomial*:  
 $\text{monom-mult } c \ s \ (\text{monomial } d \ v) = \text{monomial } (c * (d::'b::\text{semiring-0})) \ (s \oplus v)$   
*<proof>*

**lemma** *monom-mult-eq-zero-iff*:  $(\text{monom-mult } c \ t \ p = 0) \longleftrightarrow ((c::'b::\text{semiring-no-zero-divisors})$

$= 0 \vee p = 0$   
 $\langle proof \rangle$

**lemma** *lookup-monom-mult-zero*:  $lookup (monom-mult\ c\ 0\ p)\ t = c * lookup\ p\ t$   
 $\langle proof \rangle$

**lemma** *monom-mult-inj-1*:  
**assumes**  $monom-mult\ c1\ t\ p = monom-mult\ c2\ t\ p$   
**and**  $(p::(- \Rightarrow_0 'b::semiring-no-zero-divisors-cancel)) \neq 0$   
**shows**  $c1 = c2$   
 $\langle proof \rangle$

Multiplication by a monomial is injective in the second argument (the power-product) only in context *ordered-powerprod*; see lemma *monom-mult-inj-2* below.

**lemma** *monom-mult-inj-3*:  
**assumes**  $monom-mult\ c\ t\ p1 = monom-mult\ c\ t\ (p2::(- \Rightarrow_0 'b::semiring-no-zero-divisors-cancel))$   
**and**  $c \neq 0$   
**shows**  $p1 = p2$   
 $\langle proof \rangle$

**lemma** *keys-monom-multI*:  
**assumes**  $v \in keys\ p$  **and**  $c \neq (0::'b::semiring-no-zero-divisors)$   
**shows**  $t \oplus v \in keys\ (monom-mult\ c\ t\ p)$   
 $\langle proof \rangle$

**lemma** *keys-monom-mult-subset*:  $keys\ (monom-mult\ c\ t\ p) \subseteq ((\oplus)\ t)\ ' (keys\ p)$   
 $\langle proof \rangle$

**lemma** *keys-monom-multE*:  
**assumes**  $v \in keys\ (monom-mult\ c\ t\ p)$   
**obtains**  $u$  **where**  $u \in keys\ p$  **and**  $v = t \oplus u$   
 $\langle proof \rangle$

**lemma** *keys-monom-mult*:  
**assumes**  $c \neq (0::'b::semiring-no-zero-divisors)$   
**shows**  $keys\ (monom-mult\ c\ t\ p) = ((\oplus)\ t)\ ' (keys\ p)$   
 $\langle proof \rangle$

**lemma** *monom-mult-when*:  $monom-mult\ c\ t\ (p\ when\ P) = ((monom-mult\ c\ t\ p)\ when\ P)$   
 $\langle proof \rangle$

**lemma** *when-monom-mult*:  $monom-mult\ (c\ when\ P)\ t\ p = ((monom-mult\ c\ t\ p)\ when\ P)$   
 $\langle proof \rangle$

**lemma** *monomial-power*:  $(monomial\ c\ t) \wedge^n = monomial\ (c \wedge^n)\ (\sum_{i=0..<n.} t)$

*<proof>*

## 9.5 Component-wise Lifting

Component-wise lifting of functions on  $'a \Rightarrow_0 'b$  to functions on  $'t \Rightarrow_0 'b$ .

**definition** *lift-poly-fun-2* ::  $(('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b)::zero)$

**where** *lift-poly-fun-2*  $f p q = \text{atomize-poly } (\text{mapp-2 } (\lambda-. f) (\text{vectorize-poly } p) (\text{vectorize-poly } q))$

**definition** *lift-poly-fun* ::  $(('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b)::zero)$

**where** *lift-poly-fun*  $f p = \text{lift-poly-fun-2 } (\lambda-. f) 0 p$

**lemma** *lookup-lift-poly-fun-2*:

*lookup (lift-poly-fun-2 f p q) v =*  
*(lookup (f (proj-poly (component-of-term v) p) (proj-poly (component-of-term v) q)) (pp-of-term v)*  
*when component-of-term v ∈ keys (vectorize-poly p) ∪ keys (vectorize-poly q))*  
*<proof>*

**lemma** *lookup-lift-poly-fun*:

*lookup (lift-poly-fun f p) v =*  
*(lookup (f (proj-poly (component-of-term v) p)) (pp-of-term v) when component-of-term v ∈ keys (vectorize-poly p))*  
*<proof>*

**lemma** *lookup-lift-poly-fun-2-homogenous*:

**assumes**  $f 0 0 = 0$   
**shows** *lookup (lift-poly-fun-2 f p q) v =*  
*lookup (f (proj-poly (component-of-term v) p) (proj-poly (component-of-term v) q)) (pp-of-term v)*  
*<proof>*

**lemma** *proj-lift-poly-fun-2-homogenous*:

**assumes**  $f 0 0 = 0$   
**shows**  $\text{proj-poly } k (\text{lift-poly-fun-2 } f p q) = f (\text{proj-poly } k p) (\text{proj-poly } k q)$   
*<proof>*

**lemma** *lookup-lift-poly-fun-homogenous*:

**assumes**  $f 0 = 0$   
**shows** *lookup (lift-poly-fun f p) v = lookup (f (proj-poly (component-of-term v) p)) (pp-of-term v)*  
*<proof>*

**lemma** *proj-lift-poly-fun-homogenous*:

**assumes**  $f 0 = 0$   
**shows**  $\text{proj-poly } k (\text{lift-poly-fun } f p) = f (\text{proj-poly } k p)$   
*<proof>*

## 9.6 Component-wise Multiplication

**definition** *mult-vec* :: ( $'t \Rightarrow_0 'b$ )  $\Rightarrow$  ( $'t \Rightarrow_0 'b$ )  $\Rightarrow$  ( $'t \Rightarrow_0 'b::\text{semiring-0}$ ) (infixl  
 $\langle ** \rangle$  75)

**where** *mult-vec* = *lift-poly-fun-2* (\*)

**lemma** *lookup-mult-vec*:

*lookup* ( $p ** q$ )  $v$  = *lookup* ((*proj-poly* (*component-of-term*  $v$ )  $p$ ) \* (*proj-poly*  
(*component-of-term*  $v$ )  $q$ )) (*pp-of-term*  $v$ )  
 $\langle \text{proof} \rangle$

**lemma** *proj-mult-vec* [*term-simps*]: *proj-poly*  $k$  ( $p ** q$ ) = (*proj-poly*  $k$   $p$ ) \* (*proj-poly*  
 $k$   $q$ )  
 $\langle \text{proof} \rangle$

**lemma** *mult-vec-zero-left*:  $0 ** p = 0$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-zero-right*:  $p ** 0 = 0$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-assoc*: ( $p ** q$ ) \*\*  $r$  =  $p ** (q ** r)$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-distrib-right*: ( $p + q$ ) \*\*  $r$  =  $p ** r + q ** r$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-distrib-left*:  $r ** (p + q)$  =  $r ** p + r ** q$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-minus-mult-left*:  $(- p) ** q$  =  $- (p ** q)$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-minus-mult-right*:  $p ** (- q)$  =  $- (p ** q)$

$\langle \text{proof} \rangle$

**lemma** *minus-mult-vec-minus*:  $(- p) ** (- q)$  =  $p ** q$

$\langle \text{proof} \rangle$

**lemma** *minus-mult-vec-commute*:  $(- p) ** q$  =  $p ** (- q)$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-right-diff-distrib*:  $r ** (p - q)$  =  $r ** p - r ** q$

**for**  $r::-\Rightarrow_0 'b::\text{ring}$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-left-diff-distrib*:  $(p - q) ** r$  =  $p ** r - q ** r$

**for**  $p::-\Rightarrow_0 'b::\text{ring}$

$\langle \text{proof} \rangle$

**lemma** *mult-vec-commute*:  $p ** q = q ** p$  **for**  $p::\Rightarrow_0 'b::comm-semiring-0$   
 ⟨proof⟩

**lemma** *mult-vec-left-commute*:  $p ** (q ** r) = q ** (p ** r)$   
**for**  $p::\Rightarrow_0 'b::comm-semiring-0$   
 ⟨proof⟩

**lemma** *mult-vec-monomial-monomial*:  
 $(monomial\ c\ u) ** (monomial\ d\ v) =$   
 $(monomial\ (c * d)\ (term-of-pair\ (pp-of-term\ u + pp-of-term\ v,\ compo-$   
*nent-of-term\ u))) when*  
*component-of-term\ u = component-of-term\ v)*  
 ⟨proof⟩

**lemma** *mult-vec-rec-left*:  $p ** q = monomial\ (lookup\ p\ v)\ v ** q + (except\ p\ \{v\})$   
 $**\ q$   
 ⟨proof⟩

**lemma** *mult-vec-rec-right*:  $p ** q = p ** monomial\ (lookup\ q\ v)\ v + p ** except$   
 $q\ \{v\}$   
 ⟨proof⟩

**lemma** *in-keys-mult-vecE*:  
**assumes**  $w \in keys\ (p ** q)$   
**obtains**  $u\ v$  **where**  $u \in keys\ p$  **and**  $v \in keys\ q$  **and** *component-of-term\ u =*  
*component-of-term\ v*  
**and**  $w = term-of-pair\ (pp-of-term\ u + pp-of-term\ v,\ component-of-term\ u)$   
 ⟨proof⟩

**lemma** *lookup-mult-vec-monomial-left*:  
 $lookup\ (monomial\ c\ v ** p)\ u =$   
 $(c * lookup\ p\ (term-of-pair\ (pp-of-term\ u - pp-of-term\ v,\ component-of-term$   
 $u))) when\ v\ adds_t\ u)$   
 ⟨proof⟩

**lemma** *lookup-mult-vec-monomial-right*:  
 $lookup\ (p ** monomial\ c\ v)\ u =$   
 $(lookup\ p\ (term-of-pair\ (pp-of-term\ u - pp-of-term\ v,\ component-of-term$   
 $u))) * c when\ v\ adds_t\ u)$   
 ⟨proof⟩

## 9.7 Scalar Multiplication

**definition** *mult-scalar* ::  $('a \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b::semiring-0)$  (**infixl**  
 ⟨ $\odot$ ⟩ 75)

**where** *mult-scalar*  $p = lift-poly-fun\ ((*)\ p)$

**lemma** *lookup-mult-scalar*:  
 $lookup\ (p \odot q)\ v = lookup\ (p * (proj-poly\ (component-of-term\ v)\ q))\ (pp-of-term$

v)  
(proof)

**lemma** *lookup-mult-scalar-explicit*:

$lookup (p \odot q) u = (\sum t \in keys\ p. lookup\ p\ t * (\sum v \in keys\ q. lookup\ q\ v\ when\ u = t \oplus v))$   
(proof)

**lemma** *proj-mult-scalar* [term-simps]:  $proj\ poly\ k\ (p \odot q) = p * (proj\ poly\ k\ q)$   
(proof)

**lemma** *mult-scalar-zero-left* [simp]:  $0 \odot p = 0$   
(proof)

**lemma** *mult-scalar-zero-right* [simp]:  $p \odot 0 = 0$   
(proof)

**lemma** *mult-scalar-one* [simp]:  $(1 :: - \Rightarrow_0 'b :: semiring-1) \odot p = p$   
(proof)

**lemma** *mult-scalar-assoc* [ac-simps]:  $(p * q) \odot r = p \odot (q \odot r)$   
(proof)

**lemma** *mult-scalar-distrib-right* [algebra-simps]:  $(p + q) \odot r = p \odot r + q \odot r$   
(proof)

**lemma** *mult-scalar-distrib-left* [algebra-simps]:  $r \odot (p + q) = r \odot p + r \odot q$   
(proof)

**lemma** *mult-scalar-minus-mult-left* [simp]:  $(- p) \odot q = - (p \odot q)$   
(proof)

**lemma** *mult-scalar-minus-mult-right* [simp]:  $p \odot (- q) = - (p \odot q)$   
(proof)

**lemma** *minus-mult-scalar-minus* [simp]:  $(- p) \odot (- q) = p \odot q$   
(proof)

**lemma** *minus-mult-scalar-commute*:  $(- p) \odot q = p \odot (- q)$   
(proof)

**lemma** *mult-scalar-right-diff-distrib* [algebra-simps]:  $r \odot (p - q) = r \odot p - r \odot q$   
for  $r :: - \Rightarrow_0 'b :: ring$   
(proof)

**lemma** *mult-scalar-left-diff-distrib* [algebra-simps]:  $(p - q) \odot r = p \odot r - q \odot r$   
for  $p :: - \Rightarrow_0 'b :: ring$   
(proof)

**lemma** *sum-mult-scalar-distrib-left*:  $r \odot (\text{sum } f A) = (\sum a \in A. r \odot f a)$   
 ⟨proof⟩

**lemma** *sum-mult-scalar-distrib-right*:  $(\text{sum } f A) \odot v = (\sum a \in A. f a \odot v)$   
 ⟨proof⟩

**lemma** *mult-scalar-monomial-monomial*:  $(\text{monomial } c t) \odot (\text{monomial } d v) = \text{monomial } (c * d) (t \oplus v)$   
 ⟨proof⟩

**lemma** *mult-scalar-monomial*:  $(\text{monomial } c t) \odot p = \text{monom-mult } c t p$   
 ⟨proof⟩

**lemma** *mult-scalar-rec-left*:  $p \odot q = \text{monom-mult } (\text{lookup } p t) t q + (\text{except } p \{t\}) \odot q$   
 ⟨proof⟩

**lemma** *mult-scalar-rec-right*:  $p \odot q = p \odot \text{monomial } (\text{lookup } q v) v + p \odot \text{except } q \{v\}$   
 ⟨proof⟩

**lemma** *in-keys-mult-scalarE*:  
 assumes  $v \in \text{keys } (p \odot q)$   
 obtains  $t u$  where  $t \in \text{keys } p$  and  $u \in \text{keys } q$  and  $v = t \oplus u$   
 ⟨proof⟩

**lemma** *lookup-mult-scalar-monomial-right*:  
 $\text{lookup } (p \odot \text{monomial } c v) u = (\text{lookup } p (\text{pp-of-term } u - \text{pp-of-term } v)) * c$  when  $v \text{ adds}_t u$   
 ⟨proof⟩

**lemma** *lookup-mult-scalar-monomial-right-plus*:  $\text{lookup } (p \odot \text{monomial } c v) (t \oplus v) = \text{lookup } p t * c$   
 ⟨proof⟩

**lemma** *keys-mult-scalar-monomial-right-subset*:  $\text{keys } (p \odot \text{monomial } c v) \subseteq (\lambda t. t \oplus v) \text{ ` keys } p$   
 ⟨proof⟩

**lemma** *keys-mult-scalar-monomial-right*:  
 assumes  $c \neq (0 :: 'b::\text{semiring-no-zero-divisors})$   
 shows  $\text{keys } (p \odot \text{monomial } c v) = (\lambda t. t \oplus v) \text{ ` keys } p$   
 ⟨proof⟩

**end**

## 9.8 Sums and Products

**lemma** *sum-poly-mapping-eq-zeroI*:

**assumes**  $p \text{ ' } A \subseteq \{0\}$

**shows**  $\text{sum } p \ A = (0::(- \Rightarrow_0 \text{ ' } b::\text{comm-monoid-add}))$

*<proof>*

**lemma** *lookup-sum-list*:  $\text{lookup } (\text{sum-list } ps) \ a = \text{sum-list } (\text{map } (\lambda p. \text{lookup } p \ a) \ ps)$

*<proof>*

Legacy:

**lemmas** *keys-sum-subset* = *Poly-Mapping.keys-sum*

**lemma** *keys-sum-list-subset*:  $\text{keys } (\text{sum-list } ps) \subseteq \text{Keys } (\text{set } ps)$

*<proof>*

**lemma** *keys-sum*:

**assumes** *finite*  $A$  **and**  $\bigwedge a1 \ a2. \ a1 \in A \implies a2 \in A \implies a1 \neq a2 \implies \text{keys } (f \ a1) \cap \text{keys } (f \ a2) = \{\}$

**shows**  $\text{keys } (\text{sum } f \ A) = (\bigcup a \in A. \ \text{keys } (f \ a))$

*<proof>*

**lemma** *poly-mapping-sum-monomials*:  $(\sum a \in \text{keys } p. \ \text{monomial } (\text{lookup } p \ a) \ a) = p$

*<proof>*

**lemma** *monomial-sum*:  $\text{monomial } (\text{sum } f \ C) \ a = (\sum c \in C. \ \text{monomial } (f \ c) \ a)$

*<proof>*

**lemma** *monomial-Sum-any*:

**assumes** *finite*  $\{c. \ f \ c \neq 0\}$

**shows**  $\text{monomial } (\text{Sum-any } f) \ a = (\sum c. \ \text{monomial } (f \ c) \ a)$

*<proof>*

**context** *term-powerprod*

**begin**

**lemma** *proj-sum*:  $\text{proj-poly } k \ (\text{sum } f \ A) = (\sum a \in A. \ \text{proj-poly } k \ (f \ a))$

*<proof>*

**lemma** *proj-sum-list*:  $\text{proj-poly } k \ (\text{sum-list } xs) = \text{sum-list } (\text{map } (\text{proj-poly } k) \ xs)$

*<proof>*

**lemma** *mult-scalar-sum-monomials*:  $q \odot p = (\sum t \in \text{keys } q. \ \text{monom-mult } (\text{lookup } q \ t) \ t \ p)$

*<proof>*

**lemma** *fun-mult-scalar-commute*:

**assumes**  $f \ 0 = 0$  **and**  $\bigwedge x \ y. \ f \ (x + y) = f \ x + f \ y$

**and**  $\bigwedge c t. f (\text{monom-mult } c t p) = \text{monom-mult } c t (f p)$   
**shows**  $f (q \odot p) = q \odot (f p)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-mult-scalar-commute-canc*:

**assumes**  $\bigwedge x y. f (x + y) = f x + f y$  **and**  $\bigwedge c t. f (\text{monom-mult } c t p) = \text{monom-mult } c t (f p)$   
**shows**  $f (q \odot p) = q \odot (f (p::'t \Rightarrow_0 'b::\{\text{semiring-0, cancel-comm-monoid-add}\}))$   
 $\langle \text{proof} \rangle$

**lemma** *monom-mult-sum-left*:  $\text{monom-mult } (\text{sum } f C) t p = (\sum c \in C. \text{monom-mult } (f c) t p)$   
 $\langle \text{proof} \rangle$

**lemma** *monom-mult-sum-right*:  $\text{monom-mult } c t (\text{sum } f P) = (\sum p \in P. \text{monom-mult } c t (f p))$   
 $\langle \text{proof} \rangle$

**lemma** *monom-mult-Sum-any-left*:

**assumes** *finite*  $\{c. f c \neq 0\}$   
**shows**  $\text{monom-mult } (\text{Sum-any } f) t p = (\sum c. \text{monom-mult } (f c) t p)$   
 $\langle \text{proof} \rangle$

**lemma** *monom-mult-Sum-any-right*:

**assumes** *finite*  $\{p. f p \neq 0\}$   
**shows**  $\text{monom-mult } c t (\text{Sum-any } f) = (\sum p. \text{monom-mult } c t (f p))$   
 $\langle \text{proof} \rangle$

**lemma** *monomial-prod-sum*:  $\text{monomial } (\text{prod } c I) (\text{sum } a I) = (\prod i \in I. \text{monomial } (c i) (a i))$   
 $\langle \text{proof} \rangle$

## 9.9 Submodules

**sublocale** *pmdl*: *module mult-scalar*  
 $\langle \text{proof} \rangle$

**lemmas** [*simp del*] = *pmdl.scale-one pmdl.scale-zero-left pmdl.scale-zero-right pmdl.scale-scale pmdl.scale-minus-left pmdl.scale-minus-right pmdl.span-eq-iff*

**lemmas** [*algebra-simps del*] = *pmdl.scale-left-distrib pmdl.scale-right-distrib pmdl.scale-left-diff-distrib pmdl.scale-right-diff-distrib*

**abbreviation** *pmdl*  $\equiv$  *pmdl.span*

**lemma** *pmdl-closed-monom-mult*:

**assumes**  $p \in \text{pmdl } B$   
**shows**  $\text{monom-mult } c t p \in \text{pmdl } B$   
 $\langle \text{proof} \rangle$

**lemma** *monom-mult-in-pmdl*:  $b \in B \implies \text{monom-mult } c \ t \ b \in \text{pmdl } B$   
 ⟨proof⟩

**lemma** *pmdl-induct* [*consumes 1, case-names module-0 module-plus*]:  
**assumes**  $p \in \text{pmdl } B$  **and**  $P \ 0$   
**and**  $\bigwedge a \ p \ c \ t. a \in \text{pmdl } B \implies P \ a \implies p \in B \implies c \neq 0 \implies P \ (a + \text{monom-mult } c \ t \ p)$   
**shows**  $P \ p$   
 ⟨proof⟩

**lemma** *components-pmdl*: *component-of-term* ‘*Keys* (pmdl *B*) = *component-of-term* ‘*Keys* *B*  
 ⟨proof⟩

**lemma** *pmdl-idI*:  
**assumes**  $0 \in B$  **and**  $\bigwedge b1 \ b2. b1 \in B \implies b2 \in B \implies b1 + b2 \in B$   
**and**  $\bigwedge c \ t \ b. b \in B \implies \text{monom-mult } c \ t \ b \in B$   
**shows**  $\text{pmdl } B = B$   
 ⟨proof⟩

**definition** *full-pmdl* :: ‘*k set*  $\implies$  (*t*  $\implies_0$  ‘*b::zero*) *set*  
**where**  $\text{full-pmdl } K = \{p. \text{component-of-term } \text{‘keys } p \subseteq K\}$

**definition** *is-full-pmdl* :: (*t*  $\implies_0$  ‘*b::comm-ring-1*) *set*  $\implies$  *bool*  
**where**  $\text{is-full-pmdl } B \longleftrightarrow (\forall p. \text{component-of-term } \text{‘keys } p \subseteq \text{component-of-term } \text{‘Keys } B \longrightarrow p \in \text{pmdl } B)$

**lemma** *full-pmdl-iff*:  $p \in \text{full-pmdl } K \longleftrightarrow \text{component-of-term } \text{‘keys } p \subseteq K$   
 ⟨proof⟩

**lemma** *full-pmdlI*:  
**assumes**  $\bigwedge v. v \in \text{keys } p \implies \text{component-of-term } v \in K$   
**shows**  $p \in \text{full-pmdl } K$   
 ⟨proof⟩

**lemma** *full-pmdlD*:  
**assumes**  $p \in \text{full-pmdl } K$  **and**  $v \in \text{keys } p$   
**shows**  $\text{component-of-term } v \in K$   
 ⟨proof⟩

**lemma** *full-pmdl-empty*:  $\text{full-pmdl } \{\} = \{0\}$   
 ⟨proof⟩

**lemma** *full-pmdl-UNIV*:  $\text{full-pmdl } \text{UNIV} = \text{UNIV}$   
 ⟨proof⟩

**lemma** *zero-in-full-pmdl*:  $0 \in \text{full-pmdl } K$   
 ⟨proof⟩

**lemma** *full-pmdl-closed-plus*:

**assumes**  $p \in \text{full-pmdl } K$  **and**  $q \in \text{full-pmdl } K$

**shows**  $p + q \in \text{full-pmdl } K$

*<proof>*

**lemma** *full-pmdl-closed-monom-mult*:

**assumes**  $p \in \text{full-pmdl } K$

**shows**  $\text{monom-mult } c \ t \ p \in \text{full-pmdl } K$

*<proof>*

**lemma** *pmdl-full-pmdl*:  $\text{pmdl } (\text{full-pmdl } K) = \text{full-pmdl } K$

*<proof>*

**lemma** *components-full-pmdl-subset*:

*component-of-term ' Keys ((full-pmdl K)::('t  $\Rightarrow_0$  'b::zero) set)  $\subseteq$  K (is ?l  $\subseteq$  -)*

*<proof>*

**lemma** *components-full-pmdl*:

*component-of-term ' Keys ((full-pmdl K)::('t  $\Rightarrow_0$  'b::zero-neq-one) set) = K (is ?l = -)*

*<proof>*

**lemma** *is-full-pmdlI*:

**assumes**  $\bigwedge p. \text{component-of-term ' keys } p \subseteq \text{component-of-term ' Keys } B \implies p \in \text{pmdl } B$

**shows**  $\text{is-full-pmdl } B$

*<proof>*

**lemma** *is-full-pmdlD*:

**assumes**  $\text{is-full-pmdl } B$  **and**  $\text{component-of-term ' keys } p \subseteq \text{component-of-term ' Keys } B$

**shows**  $p \in \text{pmdl } B$

*<proof>*

**lemma** *is-full-pmdl-alt*:  $\text{is-full-pmdl } B \iff \text{pmdl } B = \text{full-pmdl } (\text{component-of-term ' Keys } B)$

*<proof>*

**lemma** *is-full-pmdl-pmdl*:  $\text{is-full-pmdl } (\text{pmdl } B) \iff \text{is-full-pmdl } B$

*<proof>*

**lemma** *is-full-pmdl-subset*:

**assumes**  $\text{is-full-pmdl } B1$  **and**  $\text{is-full-pmdl } B2$

**and**  $\text{component-of-term ' Keys } B1 \subseteq \text{component-of-term ' Keys } B2$

**shows**  $\text{pmdl } B1 \subseteq \text{pmdl } B2$

*<proof>*

**lemma** *is-full-pmdl-eq*:

**assumes** *is-full-pmdl B1* **and** *is-full-pmdl B2*  
**and** *component-of-term 'Keys B1 = component-of-term 'Keys B2*  
**shows** *pmdl B1 = pmdl B2*  
 ⟨*proof*⟩

**end**

**definition** *map-scale* :: 'b ⇒ ('a ⇒<sub>0</sub> 'b) ⇒ ('a ⇒<sub>0</sub> 'b::mult-zero) (**infixr** ⟨*·*⟩ 71)  
**where** *map-scale* c = *Poly-Mapping.map* ((\* ) c)

If the polynomial mapping  $p$  is interpreted as a power-product, then  $c \cdot p$  corresponds to exponentiation; if it is interpreted as a (vector-) polynomial, then  $c \cdot p$  corresponds to multiplication by scalar from the coefficient type.

**lemma** *lookup-map-scale* [*simp*]: *lookup* (c · p) = (λx. c \* *lookup* p x)  
 ⟨*proof*⟩

**lemma** *map-scale-single* [*simp*]: *k* · *Poly-Mapping.single* x l = *Poly-Mapping.single* x (k \* l)  
 ⟨*proof*⟩

**lemma** *map-scale-zero-left* [*simp*]: 0 · t = 0  
 ⟨*proof*⟩

**lemma** *map-scale-zero-right* [*simp*]: k · 0 = 0  
 ⟨*proof*⟩

**lemma** *map-scale-eq-0-iff*: c · t = 0 ⟷ ((c:::semiring-no-zero-divisors) = 0 ∨ t = 0)  
 ⟨*proof*⟩

**lemma** *keys-map-scale-subset*: keys (k · t) ⊆ keys t  
 ⟨*proof*⟩

**lemma** *keys-map-scale*: keys ((k:::semiring-no-zero-divisors) · t) = (if k = 0 then {} else keys t)  
 ⟨*proof*⟩

**lemma** *map-scale-one-left* [*simp*]: (1::'b::{mult-zero,monoid-mult}) · t = t  
 ⟨*proof*⟩

**lemma** *map-scale-assoc* [*ac-simps*]: c · d · t = (c \* d) · (t::- ⇒<sub>0</sub> -::semigroup-mult,zero)  
 ⟨*proof*⟩

**lemma** *map-scale-distrib-left* [*algebra-simps*]: (k::'b::semiring-0) · (s + t) = k · s + k · t  
 ⟨*proof*⟩

**lemma** *map-scale-distrib-right* [*algebra-simps*]: (k + (l::'b::semiring-0)) · t = k · t + l · t

*<proof>*

**lemma** *map-scale-Suc*:  $(\text{Suc } k) \cdot t = k \cdot t + t$   
*<proof>*

**lemma** *map-scale-uminus-left*:  $(- k::'b::\text{ring}) \cdot p = - (k \cdot p)$   
*<proof>*

**lemma** *map-scale-uminus-right*:  $(k::'b::\text{ring}) \cdot (- p) = - (k \cdot p)$   
*<proof>*

**lemma** *map-scale-uminus-uminus* [*simp*]:  $(- k::'b::\text{ring}) \cdot (- p) = k \cdot p$   
*<proof>*

**lemma** *map-scale-minus-distrib-left* [*algebra-simps*]:  
 $(k::'b::\text{comm-semiring-1-cancel}) \cdot (p - q) = k \cdot p - k \cdot q$   
*<proof>*

**lemma** *map-scale-minus-distrib-right* [*algebra-simps*]:  
 $(k - (l::'b::\text{comm-semiring-1-cancel})) \cdot f = k \cdot f - l \cdot f$   
*<proof>*

**lemma** *map-scale-sum-distrib-left*:  $(k::'b::\text{semiring-0}) \cdot (\text{sum } f \ A) = (\sum a \in A. k \cdot f \ a)$   
*<proof>*

**lemma** *map-scale-sum-distrib-right*:  $(\text{sum } (f::-\Rightarrow 'b::\text{semiring-0}) \ A) \cdot p = (\sum a \in A. f \ a \cdot p)$   
*<proof>*

**lemma** *deg-pm-map-scale*:  $\text{deg-pm } (k \cdot t) = (k::'b::\text{semiring-0}) * \text{deg-pm } t$   
*<proof>*

**interpretation** *phull*: *module map-scale*  
*<proof>*

Since the following lemmas are proved for more general ring-types above, we do not need to have them in the simpset.

**lemmas** [*simp del*] = *phull.scale-one phull.scale-zero-left phull.scale-zero-right phull.scale-scale phull.scale-minus-left phull.scale-minus-right phull.span-eq-iff*

**lemmas** [*algebra-simps del*] = *phull.scale-left-distrib phull.scale-right-distrib phull.scale-left-diff-distrib phull.scale-right-diff-distrib*

**abbreviation** *phull*  $\equiv$  *phull.span*

*phull B* is a module over the coefficient ring *'b*, whereas *λterm-of-pair.module.span* (*term-powerprod.mult-scalar B term-of-pair*) is a module over the (scalar) polynomial ring *'a*  $\Rightarrow_0$  *'b*. Nevertheless, both modules can be

sets of *vector-polynomials* of type  $'t \Rightarrow_0 'b$ .

**context** *term-powerprod*  
**begin**

**lemma** *map-scale-eq-monom-mult*:  $c \cdot p = \text{monom-mult } c \ 0 \ p$   
*<proof>*

**lemma** *map-scale-eq-mult-scalar*:  $c \cdot p = \text{monomial } c \ 0 \ \odot \ p$   
*<proof>*

**lemma** *phull-closed-mult-scalar*:  $p \in \text{phull } B \implies \text{monomial } c \ 0 \ \odot \ p \in \text{phull } B$   
*<proof>*

**lemma** *mult-scalar-in-phull*:  $b \in B \implies \text{monomial } c \ 0 \ \odot \ b \in \text{phull } B$   
*<proof>*

**lemma** *phull-subset-module*:  $\text{phull } B \subseteq \text{pmdl } B$   
*<proof>*

**lemma** *components-phull*: *component-of-term*  $' \text{Keys } (\text{phull } B) = \text{component-of-term}$   
 $' \text{Keys } B$   
*<proof>*

**end**

## 9.10 Interpretations

### 9.10.1 Isomorphism between $'a$ and $'a \times \text{unit}$

**definition** *to-pair-unit* ::  $'a \Rightarrow ('a \times \text{unit})$   
**where** *to-pair-unit*  $x = (x, ())$

**lemma** *fst-to-pair-unit*:  $\text{fst } (\text{to-pair-unit } x) = x$   
*<proof>*

**lemma** *to-pair-unit-fst*:  $\text{to-pair-unit } (\text{fst } x) = (x::- \times \text{unit})$   
*<proof>*

**interpretation** *punit*: *term-powerprod to-pair-unit fst*  
*<proof>*

For technical reasons it seems to be better not to put the following lemmas as rewrite-rules of interpretation *punit*.

**lemma** *punit-pp-of-term [simp]*:  $\text{punit.pp-of-term} = (\lambda x. x)$   
*<proof>*

**lemma** *punit-component-of-term [simp]*:  $\text{punit.component-of-term} = (\lambda \cdot. ())$   
*<proof>*

**lemma** *punit-splus* [*simp*]: *punit.splus* = (+)  
⟨*proof*⟩

**lemma** *punit-sminus* [*simp*]: *punit.sminus* = (-)  
⟨*proof*⟩

**lemma** *punit-adds-pp* [*simp*]: *punit.adds-pp* = (*adds*)  
⟨*proof*⟩

**lemma** *punit-adds-term* [*simp*]: *punit.adds-term* = (*adds*)  
⟨*proof*⟩

**lemma** *punit-proj-poly* [*simp*]: *punit.proj-poly* = ( $\lambda$ -. *id*)  
⟨*proof*⟩

**lemma** *punit-mult-vec* [*simp*]: *punit.mult-vec* = (\*)  
⟨*proof*⟩

**lemma** *punit-mult-scalar* [*simp*]: *punit.mult-scalar* = (\*)  
⟨*proof*⟩

**context** *term-powerprod*  
**begin**

**lemma** *proj-monom-mult*: *proj-poly* *k* (*monom-mult* *c* *t* *p*) = *punit.monom-mult* *c*  
*t* (*proj-poly* *k* *p*)  
⟨*proof*⟩

**lemma** *mult-scalar-monom-mult*: (*punit.monom-mult* *c* *t* *p*)  $\odot$  *q* = *monom-mult* *c*  
*t* (*p*  $\odot$  *q*)  
⟨*proof*⟩

**end**

### 9.10.2 Interpretation of *term-powerprod* by '*a* × '*k*

**interpretation** *pprod*: *term-powerprod* ( $\lambda$ *x*::'*a*::*comm-powerprod* × '*k*::*linorder*. *x*)  
 $\lambda$ *x*. *x*  
⟨*proof*⟩

**lemma** *pprod-pp-of-term* [*simp*]: *pprod.pp-of-term* = *fst*  
⟨*proof*⟩

**lemma** *pprod-component-of-term* [*simp*]: *pprod.component-of-term* = *snd*  
⟨*proof*⟩

### 9.10.3 Simplifier Setup

There is no reason to keep the interpreted theorems as simplification rules.

```

lemmas [term-simps del] = term-simps

lemmas times-monomial-monomial = punit.mult-scalar-monomial-monomial[simplified]
lemmas times-monomial-left = punit.mult-scalar-monomial[simplified]
lemmas times-rec-left = punit.mult-scalar-rec-left[simplified]
lemmas times-rec-right = punit.mult-scalar-rec-right[simplified]
lemmas in-keys-timesE = punit.in-keys-mult-scalarE[simplified]
lemmas punit-monom-mult-monomial = punit.monom-mult-monomial[simplified]
lemmas lookup-times = punit.lookup-mult-scalar-explicit[simplified]
lemmas map-scale-eq-times = punit.map-scale-eq-mult-scalar[simplified]

end

```

## 10 Type-Class-Multivariate Polynomials in Ordered Terms

```

theory MPoly-Type-Class-Ordered
  imports MPoly-Type-Class
begin

```

```

class the-min = linorder +
  fixes the-min::'a
  assumes the-min-min: the-min ≤ x

```

Type class *the-min* guarantees that a least element exists. Instances of *the-min* should provide *computable* definitions of that element.

```

instantiation nat :: the-min
begin
  definition the-min-nat = (0::nat)
  instance ⟨proof⟩
end

```

```

instantiation unit :: the-min
begin
  definition the-min-unit = ()
  instance ⟨proof⟩
end

```

```

locale ordered-term =
  term-powerprod pair-of-term term-of-pair +
  ordered-powerprod ord ord-strict +
  ord-term-lin: linorder ord-term ord-term-strict
  for pair-of-term::'t ⇒ ('a::comm-powerprod × 'k::{the-min,wellorder})
  and term-of-pair::('a × 'k) ⇒ 't
  and ord::'a ⇒ 'a ⇒ bool (infixl <≲⟩ 50)
  and ord-strict (infixl <⟨⟩ 50)
  and ord-term::'t ⇒ 't ⇒ bool (infixl <≲t⟩ 50)
  and ord-term-strict::'t ⇒ 't ⇒ bool (infixl <⟨t⟩ 50) +

```

**assumes** *splus-mono*:  $v \preceq_t w \implies t \oplus v \preceq_t t \oplus w$   
**assumes** *ord-termI*: *pp-of-term*  $v \preceq$  *pp-of-term*  $w \implies$  *component-of-term*  $v \preceq$  *component-of-term*  $w \implies v \preceq_t w$   
**begin**

**abbreviation** *ord-term-conv* (**infixl**  $\langle \succeq_t \rangle$  50) **where** *ord-term-conv*  $\equiv (\preceq_t)^{-1-1}$   
**abbreviation** *ord-term-strict-conv* (**infixl**  $\langle \succ_t \rangle$  50) **where** *ord-term-strict-conv*  $\equiv (\prec_t)^{-1-1}$

The definition of *ordered-term* only covers TOP and POT orderings. These two types of orderings are the only interesting ones.

**definition** *min-term*  $\equiv$  *term-of-pair* (0, *the-min*)

**lemma** *min-term-min*: *min-term*  $\preceq_t v$   
*<proof>*

**lemma** *splus-mono-strict*:  
**assumes**  $v \prec_t w$   
**shows**  $t \oplus v \prec_t t \oplus w$   
*<proof>*

**lemma** *splus-mono-left*:  
**assumes**  $s \preceq t$   
**shows**  $s \oplus v \preceq_t t \oplus v$   
*<proof>*

**lemma** *splus-mono-strict-left*:  
**assumes**  $s \prec t$   
**shows**  $s \oplus v \prec_t t \oplus v$   
*<proof>*

**lemma** *ord-term-canc*:  
**assumes**  $t \oplus v \preceq_t t \oplus w$   
**shows**  $v \preceq_t w$   
*<proof>*

**lemma** *ord-term-strict-canc*:  
**assumes**  $t \oplus v \prec_t t \oplus w$   
**shows**  $v \prec_t w$   
*<proof>*

**lemma** *ord-term-canc-left*:  
**assumes**  $t \oplus v \preceq_t s \oplus v$   
**shows**  $t \preceq s$   
*<proof>*

**lemma** *ord-term-strict-canc-left*:  
**assumes**  $t \oplus v \prec_t s \oplus v$   
**shows**  $t \prec s$

*<proof>*

**lemma** *ord-adds-term*:

**assumes**  $u \text{ adds}_t v$

**shows**  $u \preceq_t v$

*<proof>*

**end**

## 10.1 Interpretations

**context** *ordered-powerprod*

**begin**

### 10.1.1 Unit

**sublocale** *punit*: *ordered-term to-pair-unit fst* ( $\preceq$ ) ( $\prec$ ) ( $\preceq$ ) ( $\prec$ )

*<proof>*

**lemma** *punit-min-term [simp]*:  $\text{punit.min-term} = 0$

*<proof>*

**end**

## 10.2 Definitions

**context** *ordered-term*

**begin**

**definition** *higher* ::  $('t \Rightarrow_0 'b) \Rightarrow 't \Rightarrow ('t \Rightarrow_0 'b::\text{zero})$

**where**  $\text{higher } p \ t = \text{except } p \ \{s. s \preceq_t t\}$

**definition** *lower* ::  $('t \Rightarrow_0 'b) \Rightarrow 't \Rightarrow ('t \Rightarrow_0 'b::\text{zero})$

**where**  $\text{lower } p \ t = \text{except } p \ \{s. t \preceq_t s\}$

**definition** *lt* ::  $('t \Rightarrow_0 'b::\text{zero}) \Rightarrow 't$

**where**  $\text{lt } p = (\text{if } p = 0 \text{ then min-term else ord-term-lin.Max (keys } p))$

**abbreviation**  $\text{lp } p \equiv \text{pp-of-term (lt } p)$

**definition** *lc* ::  $('t \Rightarrow_0 'b::\text{zero}) \Rightarrow 'b$

**where**  $\text{lc } p = \text{lookup } p \ (\text{lt } p)$

**definition** *tt* ::  $('t \Rightarrow_0 'b::\text{zero}) \Rightarrow 't$

**where**  $\text{tt } p = (\text{if } p = 0 \text{ then min-term else ord-term-lin.Min (keys } p))$

**abbreviation**  $\text{tp } p \equiv \text{pp-of-term (tt } p)$

**definition** *tc* ::  $('t \Rightarrow_0 'b::\text{zero}) \Rightarrow 'b$

**where**  $\text{tc } p \equiv \text{lookup } p \ (\text{tt } p)$

**definition** *tail* :: ('t  $\Rightarrow_0$  'b)  $\Rightarrow$  ('t  $\Rightarrow_0$  'b::zero)  
**where** *tail p*  $\equiv$  *lower p (lt p)*

### 10.3 Leading Term and Leading Coefficient: *lt* and *lc*

**lemma** *lt-zero* [*simp*]: *lt 0 = min-term*  
 ⟨*proof*⟩

**lemma** *lc-zero* [*simp*]: *lc 0 = 0*  
 ⟨*proof*⟩

**lemma** *lt-uminus* [*simp*]: *lt (- p) = lt p*  
 ⟨*proof*⟩

**lemma** *lc-uminus* [*simp*]: *lc (- p) = - lc p*  
 ⟨*proof*⟩

**lemma** *lt-alt*:  
**assumes** *p*  $\neq 0$   
**shows** *lt p = ord-term-lin.Max (keys p)*  
 ⟨*proof*⟩

**lemma** *lt-max*:  
**assumes** *lookup p v*  $\neq 0$   
**shows** *v*  $\preceq_t$  *lt p*  
 ⟨*proof*⟩

**lemma** *lt-eqI*:  
**assumes** *lookup p v*  $\neq 0$  **and**  $\bigwedge u. \text{lookup } p \ u \neq 0 \implies u \preceq_t v$   
**shows** *lt p = v*  
 ⟨*proof*⟩

**lemma** *lt-less*:  
**assumes** *p*  $\neq 0$  **and**  $\bigwedge u. v \preceq_t u \implies \text{lookup } p \ u = 0$   
**shows** *lt p*  $\prec_t$  *v*  
 ⟨*proof*⟩

**lemma** *lt-le*:  
**assumes**  $\bigwedge u. v \prec_t u \implies \text{lookup } p \ u = 0$   
**shows** *lt p*  $\preceq_t$  *v*  
 ⟨*proof*⟩

**lemma** *lt-gr*:  
**assumes** *lookup p s*  $\neq 0$  **and** *t*  $\prec_t$  *s*  
**shows** *t*  $\prec_t$  *lt p*  
 ⟨*proof*⟩

**lemma** *lc-not-0*:

**assumes**  $p \neq 0$   
**shows**  $lc\ p \neq 0$   
 ⟨proof⟩

**lemma** *lc-eq-zero-iff*:  $lc\ p = 0 \longleftrightarrow p = 0$   
 ⟨proof⟩

**lemma** *lt-in-keys*:  
**assumes**  $p \neq 0$   
**shows**  $lt\ p \in (keys\ p)$   
 ⟨proof⟩

**lemma** *lt-monomial*:  
 $lt\ (monomial\ c\ t) = t$  **if**  $c \neq 0$   
 ⟨proof⟩

**lemma** *lc-monomial [simp]*:  $lc\ (monomial\ c\ t) = c$   
 ⟨proof⟩

**lemma** *lt-le-iff*:  $lt\ p \preceq_t v \longleftrightarrow (\forall u. v \prec_t u \longrightarrow lookup\ p\ u = 0)$  (**is**  $?L \longleftrightarrow ?R$ )  
 ⟨proof⟩

**lemma** *lt-plus-eqI*:  
**assumes**  $lt\ p \prec_t lt\ q$   
**shows**  $lt\ (p + q) = lt\ q$   
 ⟨proof⟩

**lemma** *lt-plus-eqI-2*:  
**assumes**  $lt\ q \prec_t lt\ p$   
**shows**  $lt\ (p + q) = lt\ p$   
 ⟨proof⟩

**lemma** *lt-plus-eqI-3*:  
**assumes**  $lt\ q = lt\ p$  **and**  $lc\ p + lc\ q \neq 0$   
**shows**  $lt\ (p + q) = lt\ (p :: 't \Rightarrow_0 'b :: monoid-add)$   
 ⟨proof⟩

**lemma** *lt-plus-lessE*:  
**assumes**  $lt\ p \prec_t lt\ (p + q)$   
**shows**  $lt\ p \prec_t lt\ q$   
 ⟨proof⟩

**lemma** *lt-plus-lessE-2*:  
**assumes**  $lt\ q \prec_t lt\ (p + q)$   
**shows**  $lt\ q \prec_t lt\ p$   
 ⟨proof⟩

**lemma** *lt-plus-lessI'*:  
**fixes**  $p\ q :: 't \Rightarrow_0 'b :: monoid-add$

**assumes**  $p + q \neq 0$  **and** *lt-eq*:  $lt\ q = lt\ p$  **and** *lc-eq*:  $lc\ p + lc\ q = 0$   
**shows**  $lt\ (p + q) \prec_t\ lt\ p$   
*<proof>*

**corollary** *lt-plus-lessI*:

**fixes**  $p\ q :: 't \Rightarrow_0 'b::group-add$   
**assumes**  $p + q \neq 0$  **and**  $lt\ q = lt\ p$  **and**  $lc\ q = -\ lc\ p$   
**shows**  $lt\ (p + q) \prec_t\ lt\ p$   
*<proof>*

**lemma** *lt-plus-distinct-eq-max*:

**assumes**  $lt\ p \neq lt\ q$   
**shows**  $lt\ (p + q) = ord-term-lin.max\ (lt\ p)\ (lt\ q)$   
*<proof>*

**lemma** *lt-plus-le-max*:  $lt\ (p + q) \preceq_t\ ord-term-lin.max\ (lt\ p)\ (lt\ q)$

*<proof>*

**lemma** *lt-minus-eqI*:  $lt\ p \prec_t\ lt\ q \implies lt\ (p - q) = lt\ q$  **for**  $p\ q :: 't \Rightarrow_0 'b::ab-group-add$

*<proof>*

**lemma** *lt-minus-eqI-2*:  $lt\ q \prec_t\ lt\ p \implies lt\ (p - q) = lt\ p$  **for**  $p\ q :: 't \Rightarrow_0$

*'b::ab-group-add*

*<proof>*

**lemma** *lt-minus-eqI-3*:

**assumes**  $lt\ q = lt\ p$  **and**  $lc\ q \neq lc\ p$   
**shows**  $lt\ (p - q) = lt\ (p::'t \Rightarrow_0 'b::ab-group-add)$

*<proof>*

**lemma** *lt-minus-distinct-eq-max*:

**assumes**  $lt\ p \neq lt\ (q::'t \Rightarrow_0 'b::ab-group-add)$   
**shows**  $lt\ (p - q) = ord-term-lin.max\ (lt\ p)\ (lt\ q)$

*<proof>*

**lemma** *lt-minus-lessE*:  $lt\ p \prec_t\ lt\ (p - q) \implies lt\ p \prec_t\ lt\ q$  **for**  $p\ q :: 't \Rightarrow_0$

*'b::ab-group-add*

*<proof>*

**lemma** *lt-minus-lessE-2*:  $lt\ q \prec_t\ lt\ (p - q) \implies lt\ q \prec_t\ lt\ p$  **for**  $p\ q :: 't \Rightarrow_0$

*'b::ab-group-add*

*<proof>*

**lemma** *lt-minus-lessI*:  $p - q \neq 0 \implies lt\ q = lt\ p \implies lc\ q = lc\ p \implies lt\ (p - q)$

$\prec_t\ lt\ p$

**for**  $p\ q :: 't \Rightarrow_0 'b::ab-group-add$

*<proof>*

**lemma** *lt-max-keys*:

**assumes**  $v \in \text{keys } p$   
**shows**  $v \preceq_t \text{lt } p$   
 $\langle \text{proof} \rangle$

**lemma** *lt-eqI-keys*:  
**assumes**  $v \in \text{keys } p$  **and**  $a2: \bigwedge u. u \in \text{keys } p \implies u \preceq_t v$   
**shows**  $\text{lt } p = v$   
 $\langle \text{proof} \rangle$

**lemma** *lt-gr-keys*:  
**assumes**  $u \in \text{keys } p$  **and**  $v \prec_t u$   
**shows**  $v \prec_t \text{lt } p$   
 $\langle \text{proof} \rangle$

**lemma** *lt-plus-eq-maxI*:  
**assumes**  $\text{lt } p = \text{lt } q \implies \text{lc } p + \text{lc } q \neq 0$   
**shows**  $\text{lt } (p + q) = \text{ord-term-lin.max } (\text{lt } p) (\text{lt } q)$   
 $\langle \text{proof} \rangle$

**lemma** *lt-monom-mult*:  
**assumes**  $c \neq (0::'b::\text{semiring-no-zero-divisors})$  **and**  $p \neq 0$   
**shows**  $\text{lt } (\text{monom-mult } c \ t \ p) = t \oplus \text{lt } p$   
 $\langle \text{proof} \rangle$

**lemma** *lt-monom-mult-zero*:  
**assumes**  $c \neq (0::'b::\text{semiring-no-zero-divisors})$   
**shows**  $\text{lt } (\text{monom-mult } c \ 0 \ p) = \text{lt } p$   
 $\langle \text{proof} \rangle$

**corollary** *lt-map-scale*:  $c \neq (0::'b::\text{semiring-no-zero-divisors}) \implies \text{lt } (c \cdot p) = \text{lt } p$   
 $\langle \text{proof} \rangle$

**lemma** *lc-monom-mult [simp]*:  $\text{lc } (\text{monom-mult } c \ t \ p) = (c::'b::\text{semiring-no-zero-divisors}) * \text{lc } p$   
 $\langle \text{proof} \rangle$

**corollary** *lc-map-scale [simp]*:  $\text{lc } (c \cdot p) = (c::'b::\text{semiring-no-zero-divisors}) * \text{lc } p$   
 $\langle \text{proof} \rangle$

**lemma** (in *ordered-term*) *lt-mult-scalar-monomial-right*:  
**assumes**  $c \neq (0::'b::\text{semiring-no-zero-divisors})$  **and**  $p \neq 0$   
**shows**  $\text{lt } (p \odot \text{monomial } c \ v) = \text{punit.lt } p \oplus v$   
 $\langle \text{proof} \rangle$

**lemma** *lc-mult-scalar-monomial-right*:  
 $\text{lc } (p \odot \text{monomial } c \ v) = \text{punit.lc } p * (c::'b::\text{semiring-no-zero-divisors})$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-monom-mult-eq-zero*:

**assumes**  $s \oplus lt\ p \prec_t v$   
**shows**  $lookup\ (monom-mult\ (c::'b::semiring-no-zero-divisors)\ s\ p)\ v = 0$   
 $\langle proof \rangle$

**lemma** *in-keys-monom-mult-le*:  
**assumes**  $v \in keys\ (monom-mult\ c\ t\ p)$   
**shows**  $v \preceq_t t \oplus lt\ p$   
 $\langle proof \rangle$

**lemma** *lt-monom-mult-le*:  $lt\ (monom-mult\ c\ t\ p) \preceq_t t \oplus lt\ p$   
 $\langle proof \rangle$

**lemma** *monom-mult-inj-2*:  
**assumes**  $monom-mult\ c\ t1\ p = monom-mult\ c\ t2\ p$   
**and**  $c \neq 0$  **and**  $(p::'t \Rightarrow_0 'b::semiring-no-zero-divisors) \neq 0$   
**shows**  $t1 = t2$   
 $\langle proof \rangle$

## 10.4 Trailing Term and Trailing Coefficient: *tt* and *tc*

**lemma** *tt-zero [simp]*:  $tt\ 0 = min-term$   
 $\langle proof \rangle$

**lemma** *tc-zero [simp]*:  $tc\ 0 = 0$   
 $\langle proof \rangle$

**lemma** *tt-alt*:  
**assumes**  $p \neq 0$   
**shows**  $tt\ p = ord-term-lin.Min\ (keys\ p)$   
 $\langle proof \rangle$

**lemma** *tt-min-keys*:  
**assumes**  $v \in keys\ p$   
**shows**  $tt\ p \preceq_t v$   
 $\langle proof \rangle$

**lemma** *tt-min*:  
**assumes**  $lookup\ p\ v \neq 0$   
**shows**  $tt\ p \preceq_t v$   
 $\langle proof \rangle$

**lemma** *tt-in-keys*:  
**assumes**  $p \neq 0$   
**shows**  $tt\ p \in keys\ p$   
 $\langle proof \rangle$

**lemma** *tt-eqI*:  
**assumes**  $v \in keys\ p$  **and**  $\bigwedge u. u \in keys\ p \implies v \preceq_t u$   
**shows**  $tt\ p = v$

*<proof>*

**lemma** *tt-gr*:

**assumes**  $\bigwedge u. u \in \text{keys } p \implies v \prec_t u$  **and**  $p \neq 0$

**shows**  $v \prec_t \text{tt } p$

*<proof>*

**lemma** *tt-less*:

**assumes**  $u \in \text{keys } p$  **and**  $u \prec_t v$

**shows**  $\text{tt } p \prec_t v$

*<proof>*

**lemma** *tt-ge*:

**assumes**  $\bigwedge u. u \prec_t v \implies \text{lookup } p \ u = 0$  **and**  $p \neq 0$

**shows**  $v \preceq_t \text{tt } p$

*<proof>*

**lemma** *tt-ge-keys*:

**assumes**  $\bigwedge u. u \in \text{keys } p \implies v \preceq_t u$  **and**  $p \neq 0$

**shows**  $v \preceq_t \text{tt } p$

*<proof>*

**lemma** *tt-ge-iff*:  $v \preceq_t \text{tt } p \longleftrightarrow ((p \neq 0 \vee v = \text{min-term}) \wedge (\forall u. u \prec_t v \longrightarrow \text{lookup } p \ u = 0))$

(**is**  $?L \longleftrightarrow (?A \wedge ?B)$ )

*<proof>*

**lemma** *tc-not-0*:

**assumes**  $p \neq 0$

**shows**  $\text{tc } p \neq 0$

*<proof>*

**lemma** *tt-monomial*:

**assumes**  $c \neq 0$

**shows**  $\text{tt } (\text{monomial } c \ v) = v$

*<proof>*

**lemma** *tc-monomial [simp]*:  $\text{tc } (\text{monomial } c \ t) = c$

*<proof>*

**lemma** *tt-plus-eqI*:

**assumes**  $p \neq 0$  **and**  $\text{tt } p \prec_t \text{tt } q$

**shows**  $\text{tt } (p + q) = \text{tt } p$

*<proof>*

**lemma** *tt-plus-lessE*:

**fixes**  $p \ q$

**assumes**  $p + q \neq 0$  **and**  $\text{tt } (p + q) \prec_t \text{tt } p$

**shows**  $\text{tt } q \prec_t \text{tt } p$

*<proof>*

**lemma** *tt-plus-lessI*:

**fixes**  $p\ q :: - \Rightarrow_0 'b::ring$

**assumes**  $p + q \neq 0$  **and** *tt-eq*:  $tt\ q = tt\ p$  **and** *tc-eq*:  $tc\ q = -\ tc\ p$

**shows**  $tt\ p \prec_t tt\ (p + q)$

*<proof>*

**lemma** *tt-uminus [simp]*:  $tt\ (-\ p) = tt\ p$

*<proof>*

**lemma** *tc-uminus [simp]*:  $tc\ (-\ p) = -\ tc\ p$

*<proof>*

**lemma** *tt-monom-mult*:

**assumes**  $c \neq (0::'b::semiring-no-zero-divisors)$  **and**  $p \neq 0$

**shows**  $tt\ (monom-mult\ c\ t\ p) = t \oplus tt\ p$

*<proof>*

**lemma** *tt-map-scale*:  $c \neq (0::'b::semiring-no-zero-divisors) \implies tt\ (c \cdot p) = tt\ p$

*<proof>*

**lemma** *tc-monom-mult [simp]*:  $tc\ (monom-mult\ c\ t\ p) = (c::'b::semiring-no-zero-divisors)$

$*\ tc\ p$

*<proof>*

**corollary** *tc-map-scale [simp]*:  $tc\ (c \cdot p) = (c::'b::semiring-no-zero-divisors) * tc\ p$

*<proof>*

**lemma** *in-keys-monom-mult-ge*:

**assumes**  $v \in keys\ (monom-mult\ c\ t\ p)$

**shows**  $t \oplus tt\ p \preceq_t v$

*<proof>*

**lemma** *lt-ge-tt*:  $tt\ p \preceq_t lt\ p$

*<proof>*

**lemma** *lt-eq-tt-monomial*:

**assumes** *is-monomial*  $p$

**shows**  $lt\ p = tt\ p$

*<proof>*

## 10.5 higher and lower

**lemma** *lookup-higher*:  $lookup\ (higher\ p\ u)\ v = (if\ u \prec_t v\ then\ lookup\ p\ v\ else\ 0)$

*<proof>*

**lemma** *lookup-higher-when*:  $lookup\ (higher\ p\ u)\ v = (lookup\ p\ v\ when\ u \prec_t v)$

*<proof>*

**lemma** *higher-plus*:  $\text{higher } (p + q) v = \text{higher } p v + \text{higher } q v$   
*<proof>*

**lemma** *higher-uminus* [*simp*]:  $\text{higher } (- p) v = -(\text{higher } p v)$   
*<proof>*

**lemma** *higher-minus*:  $\text{higher } (p - q) v = \text{higher } p v - \text{higher } q v$   
*<proof>*

**lemma** *higher-zero* [*simp*]:  $\text{higher } 0 t = 0$   
*<proof>*

**lemma** *higher-eq-iff*:  $\text{higher } p v = \text{higher } q v \longleftrightarrow (\forall u. v \prec_t u \longrightarrow \text{lookup } p u = \text{lookup } q u)$  (**is** ?L  $\longleftrightarrow$  ?R)  
*<proof>*

**lemma** *higher-eq-zero-iff*:  $\text{higher } p v = 0 \longleftrightarrow (\forall u. v \prec_t u \longrightarrow \text{lookup } p u = 0)$   
*<proof>*

**lemma** *keys-higher*:  $\text{keys } (\text{higher } p v) = \{u \in \text{keys } p. v \prec_t u\}$   
*<proof>*

**lemma** *higher-higher*:  $\text{higher } (\text{higher } p u) v = \text{higher } p (\text{ord-term-lin.max } u v)$   
*<proof>*

**lemma** *lookup-lower*:  $\text{lookup } (\text{lower } p u) v = (\text{if } v \prec_t u \text{ then } \text{lookup } p v \text{ else } 0)$   
*<proof>*

**lemma** *lookup-lower-when*:  $\text{lookup } (\text{lower } p u) v = (\text{lookup } p v \text{ when } v \prec_t u)$   
*<proof>*

**lemma** *lower-plus*:  $\text{lower } (p + q) v = \text{lower } p v + \text{lower } q v$   
*<proof>*

**lemma** *lower-uminus* [*simp*]:  $\text{lower } (- p) v = - \text{lower } p v$   
*<proof>*

**lemma** *lower-minus*:  $\text{lower } (p - (q :: - \Rightarrow_0 'b::\text{ab-group-add})) v = \text{lower } p v - \text{lower } q v$   
*<proof>*

**lemma** *lower-zero* [*simp*]:  $\text{lower } 0 v = 0$   
*<proof>*

**lemma** *lower-eq-iff*:  $\text{lower } p v = \text{lower } q v \longleftrightarrow (\forall u. u \prec_t v \longrightarrow \text{lookup } p u = \text{lookup } q u)$  (**is** ?L  $\longleftrightarrow$  ?R)  
*<proof>*

**lemma** *lower-eq-zero-iff*:  $\text{lower } p \ v = 0 \iff (\forall u. u \prec_t v \implies \text{lookup } p \ u = 0)$   
 ⟨proof⟩

**lemma** *keys-lower*:  $\text{keys } (\text{lower } p \ v) = \{u \in \text{keys } p. u \prec_t v\}$   
 ⟨proof⟩

**lemma** *lower-lower*:  $\text{lower } (\text{lower } p \ u) \ v = \text{lower } p \ (\text{ord-term-lin.min } u \ v)$   
 ⟨proof⟩

**lemma** *lt-higher*:  
 assumes  $v \prec_t \text{lt } p$   
 shows  $\text{lt } (\text{higher } p \ v) = \text{lt } p$   
 ⟨proof⟩

**lemma** *lc-higher*:  
 assumes  $v \prec_t \text{lt } p$   
 shows  $\text{lc } (\text{higher } p \ v) = \text{lc } p$   
 ⟨proof⟩

**lemma** *higher-eq-zero-iff'*:  $\text{higher } p \ v = 0 \iff \text{lt } p \ \preceq_t v$   
 ⟨proof⟩

**lemma** *higher-id-iff*:  $\text{higher } p \ v = p \iff (p = 0 \vee v \prec_t \text{tt } p)$  (is  $?L \iff ?R$ )  
 ⟨proof⟩

**lemma** *tt-lower*:  
 assumes  $\text{tt } p \prec_t v$   
 shows  $\text{tt } (\text{lower } p \ v) = \text{tt } p$   
 ⟨proof⟩

**lemma** *tc-lower*:  
 assumes  $\text{tt } p \prec_t v$   
 shows  $\text{tc } (\text{lower } p \ v) = \text{tc } p$   
 ⟨proof⟩

**lemma** *lt-lower*:  $\text{lt } (\text{lower } p \ v) \preceq_t \text{lt } p$   
 ⟨proof⟩

**lemma** *lt-lower-less*:  
 assumes  $\text{lower } p \ v \neq 0$   
 shows  $\text{lt } (\text{lower } p \ v) \prec_t v$   
 ⟨proof⟩

**lemma** *lt-lower-eq-iff*:  $\text{lt } (\text{lower } p \ v) = \text{lt } p \iff (\text{lt } p = \text{min-term} \vee \text{lt } p \prec_t v)$  (is  $?L \iff ?R$ )  
 ⟨proof⟩

**lemma** *tt-higher*:  
 assumes  $v \prec_t \text{lt } p$

**shows**  $tt\ p \preceq_t tt\ (higher\ p\ v)$   
 ⟨proof⟩

**lemma** *tt-higher-eq-iff*:

$tt\ (higher\ p\ v) = tt\ p \iff ((lt\ p \preceq_t v \wedge tt\ p = min-term) \vee v \prec_t tt\ p)$  (**is** ?L  
 $\iff$  ?R)  
 ⟨proof⟩

**lemma** *lower-eq-zero-iff'*:  $lower\ p\ v = 0 \iff (p = 0 \vee v \preceq_t tt\ p)$   
 ⟨proof⟩

**lemma** *lower-id-iff*:  $lower\ p\ v = p \iff (p = 0 \vee lt\ p \prec_t v)$  (**is** ?L  $\iff$  ?R)  
 ⟨proof⟩

**lemma** *lower-higher-commute*:  $higher\ (lower\ p\ s)\ t = lower\ (higher\ p\ t)\ s$   
 ⟨proof⟩

**lemma** *lt-lower-higher*:

**assumes**  $v \prec_t lt\ (lower\ p\ u)$   
**shows**  $lt\ (lower\ (higher\ p\ v)\ u) = lt\ (lower\ p\ u)$   
 ⟨proof⟩

**lemma** *lc-lower-higher*:

**assumes**  $v \prec_t lt\ (lower\ p\ u)$   
**shows**  $lc\ (lower\ (higher\ p\ v)\ u) = lc\ (lower\ p\ u)$   
 ⟨proof⟩

**lemma** *trailing-monomial-higher*:

**assumes**  $p \neq 0$   
**shows**  $p = (higher\ p\ (tt\ p)) + monomial\ (tc\ p)\ (tt\ p)$   
 ⟨proof⟩

**lemma** *higher-lower-decomp*:  $higher\ p\ v + monomial\ (lookup\ p\ v)\ v + lower\ p\ v = p$   
 ⟨proof⟩

## 10.6 tail

**lemma** *lookup-tail*:  $lookup\ (tail\ p)\ v = (if\ v \prec_t lt\ p\ then\ lookup\ p\ v\ else\ 0)$   
 ⟨proof⟩

**lemma** *lookup-tail-when*:  $lookup\ (tail\ p)\ v = (lookup\ p\ v\ when\ v \prec_t lt\ p)$   
 ⟨proof⟩

**lemma** *lookup-tail-2*:  $lookup\ (tail\ p)\ v = (if\ v = lt\ p\ then\ 0\ else\ lookup\ p\ v)$   
 ⟨proof⟩

**lemma** *leading-monomial-tail*:  $p = monomial\ (lc\ p)\ (lt\ p) + tail\ p$  **for**  $p::-\Rightarrow_0$   
 'b::comm-monoid-add

$\langle proof \rangle$

**lemma** *tail-alt*:  $tail\ p = except\ p\ \{lt\ p\}$   
 $\langle proof \rangle$

**corollary** *tail-alt-2*:  $tail\ p = p - monomial\ (lc\ p)\ (lt\ p)$   
 $\langle proof \rangle$

**lemma** *tail-zero* [*simp*]:  $tail\ 0 = 0$   
 $\langle proof \rangle$

**lemma** *lt-tail*:  
 **assumes**  $tail\ p \neq 0$   
 **shows**  $lt\ (tail\ p) \prec_t\ lt\ p$   
 $\langle proof \rangle$

**lemma** *keys-tail*:  $keys\ (tail\ p) = keys\ p - \{lt\ p\}$   
 $\langle proof \rangle$

**lemma** *tail-monomial*:  $tail\ (monomial\ c\ v) = 0$   
 $\langle proof \rangle$

**lemma** (**in** *ordered-term*) *mult-scalar-tail-rec-left*:  
  $p \odot q = monom-mult\ (punit.lc\ p)\ (punit.lt\ p)\ q + (punit.tail\ p) \odot q$   
 $\langle proof \rangle$

**lemma** *mult-scalar-tail-rec-right*:  $p \odot q = p \odot monomial\ (lc\ q)\ (lt\ q) + p \odot tail\ q$   
 $\langle proof \rangle$

**lemma** *lt-tail-max*:  
 **assumes**  $tail\ p \neq 0$  **and**  $v \in keys\ p$  **and**  $v \prec_t\ lt\ p$   
 **shows**  $v \preceq_t\ lt\ (tail\ p)$   
 $\langle proof \rangle$

**lemma** *keys-tail-less-lt*:  
 **assumes**  $v \in keys\ (tail\ p)$   
 **shows**  $v \prec_t\ lt\ p$   
 $\langle proof \rangle$

**lemma** *tt-tail*:  
 **assumes**  $tail\ p \neq 0$   
 **shows**  $tt\ (tail\ p) = tt\ p$   
 $\langle proof \rangle$

**lemma** *tc-tail*:  
 **assumes**  $tail\ p \neq 0$   
 **shows**  $tc\ (tail\ p) = tc\ p$   
 $\langle proof \rangle$

**lemma** *tt-tail-min*:  
**assumes**  $s \in \text{keys } p$   
**shows**  $tt \text{ (tail } p) \preceq_t s$   
 $\langle \text{proof} \rangle$

**lemma** *tail-monom-mult*:  
 $\text{tail (monom-mult } c \ t \ p) = \text{monom-mult (c::'b::semiring-no-zero-divisors) } t \text{ (tail } p)$   
 $\langle \text{proof} \rangle$

**lemma** *keys-plus-eq-lt-tt-D*:  
**assumes**  $\text{keys } (p + q) = \{\text{lt } p, \text{tt } q\}$  **and**  $\text{lt } q \prec_t \text{lt } p$  **and**  $\text{tt } q \prec_t \text{tt } (p::-\Rightarrow_0 \text{'b::comm-monoid-add})$   
**shows**  $\text{tail } p + \text{higher } q \text{ (tt } q) = 0$   
 $\langle \text{proof} \rangle$

## 10.7 Order Relation on Polynomials

**definition** *ord-strict-p* ::  $(t \Rightarrow_0 \text{'b::zero}) \Rightarrow (t \Rightarrow_0 \text{'b}) \Rightarrow \text{bool}$  (**infixl**  $\prec_p$  50)  
**where**  
 $p \prec_p q \iff (\exists v. \text{lookup } p \ v = 0 \wedge \text{lookup } q \ v \neq 0 \wedge (\forall u. v \prec_t u \implies \text{lookup } p \ u = \text{lookup } q \ u))$

**definition** *ord-p* ::  $(t \Rightarrow_0 \text{'b::zero}) \Rightarrow (t \Rightarrow_0 \text{'b}) \Rightarrow \text{bool}$  (**infixl**  $\preceq_p$  50) **where**  
 $\text{ord-p } p \ q \equiv (p \prec_p q \vee p = q)$

**lemma** *ord-strict-pI*:  
**assumes**  $\text{lookup } p \ v = 0$  **and**  $\text{lookup } q \ v \neq 0$  **and**  $\bigwedge u. v \prec_t u \implies \text{lookup } p \ u = \text{lookup } q \ u$   
**shows**  $p \prec_p q$   
 $\langle \text{proof} \rangle$

**lemma** *ord-strict-pE*:  
**assumes**  $p \prec_p q$   
**obtains**  $v$  **where**  $\text{lookup } p \ v = 0$  **and**  $\text{lookup } q \ v \neq 0$  **and**  $\bigwedge u. v \prec_t u \implies \text{lookup } p \ u = \text{lookup } q \ u$   
 $\langle \text{proof} \rangle$

**lemma** *not-ord-pI*:  
**assumes**  $\text{lookup } p \ v \neq \text{lookup } q \ v$  **and**  $\text{lookup } p \ v \neq 0$  **and**  $\bigwedge u. v \prec_t u \implies \text{lookup } p \ u = \text{lookup } q \ u$   
**shows**  $\neg p \preceq_p q$   
 $\langle \text{proof} \rangle$

**corollary** *not-ord-strict-pI*:  
**assumes**  $\text{lookup } p \ v \neq \text{lookup } q \ v$  **and**  $\text{lookup } p \ v \neq 0$  **and**  $\bigwedge u. v \prec_t u \implies \text{lookup } p \ u = \text{lookup } q \ u$   
**shows**  $\neg p \prec_p q$   
 $\langle \text{proof} \rangle$

**lemma** *ord-strict-higher*:  $p \prec_p q \iff (\exists v. \text{lookup } p \ v = 0 \wedge \text{lookup } q \ v \neq 0 \wedge \text{higher } p \ v = \text{higher } q \ v)$   
 ⟨proof⟩

**lemma** *ord-strict-p-asymmetric*:  
 assumes  $p \prec_p q$   
 shows  $\neg q \prec_p p$   
 ⟨proof⟩

**lemma** *ord-strict-p-irreflexive*:  $\neg p \prec_p p$   
 ⟨proof⟩

**lemma** *ord-strict-p-transitive*:  
 assumes  $a \prec_p b$  and  $b \prec_p c$   
 shows  $a \prec_p c$   
 ⟨proof⟩

**sublocale** *order ord-p ord-strict-p*  
 ⟨proof⟩

**lemma** *ord-p-zero-min*:  $0 \preceq_p p$   
 ⟨proof⟩

**lemma** *lt-ord-p*:  
 assumes  $lt \ p \prec_t \ lt \ q$   
 shows  $p \prec_p q$   
 ⟨proof⟩

**lemma** *ord-p-lt*:  
 assumes  $p \preceq_p q$   
 shows  $lt \ p \preceq_t \ lt \ q$   
 ⟨proof⟩

**lemma** *ord-p-tail*:  
 assumes  $p \neq 0$  and  $lt \ p = lt \ q$  and  $p \prec_p q$   
 shows  $tail \ p \prec_p \ tail \ q$   
 ⟨proof⟩

**lemma** *tail-ord-p*:  
 assumes  $p \neq 0$   
 shows  $tail \ p \prec_p \ p$   
 ⟨proof⟩

**lemma** *higher-lookup-eq-zero*:  
 assumes *pt*:  $\text{lookup } p \ v = 0$  and *hp*:  $\text{higher } p \ v = 0$  and *le*:  $q \preceq_p p$   
 shows  $(\text{lookup } q \ v = 0) \wedge (\text{higher } q \ v) = 0$   
 ⟨proof⟩

**lemma** *ord-strict-p-recI*:

**assumes**  $lt\ p = lt\ q$  **and**  $lc\ p = lc\ q$  **and** *tail*:  $tail\ p \prec_p\ tail\ q$

**shows**  $p \prec_p\ q$

*<proof>*

**lemma** *ord-strict-p-recE1*:

**assumes**  $p \prec_p\ q$

**shows**  $q \neq 0$

*<proof>*

**lemma** *ord-strict-p-recE2*:

**assumes**  $p \neq 0$  **and**  $p \prec_p\ q$  **and**  $lt\ p = lt\ q$

**shows**  $lc\ p = lc\ q$

*<proof>*

**lemma** *ord-strict-p-rec [code]*:

$p \prec_p\ q =$

$(q \neq 0 \wedge$

$(p = 0 \vee$

$(let\ v1 = lt\ p;\ v2 = lt\ q\ in$

$(v1 \prec_t\ v2 \vee (v1 = v2 \wedge lookup\ p\ v1 = lookup\ q\ v2 \wedge lower\ p\ v1 \prec_p\ lower$

$q\ v2))$

$)$

$)$

$)$

**(is ?L = ?R)**

*<proof>*

**lemma** *ord-strict-p-monomial-iff*:  $p \prec_p\ monomial\ c\ v \iff (c \neq 0 \wedge (p = 0 \vee lt$

$p \prec_t\ v))$

*<proof>*

**corollary** *ord-strict-p-monomial-plus*:

**assumes**  $p \prec_p\ monomial\ c\ v$  **and**  $q \prec_p\ monomial\ c\ v$

**shows**  $p + q \prec_p\ monomial\ c\ v$

*<proof>*

**lemma** *ord-strict-p-monom-mult*:

**assumes**  $p \prec_p\ q$  **and**  $c \neq (0::'b::semiring-no-zero-divisors)$

**shows**  $monom-mult\ c\ t\ p \prec_p\ monom-mult\ c\ t\ q$

*<proof>*

**lemma** *ord-strict-p-plus*:

**assumes**  $p \prec_p\ q$  **and**  $keys\ r \cap keys\ q = \{\}$

**shows**  $p + r \prec_p\ q + r$

*<proof>*

**lemma** *poly-mapping-tail-induct [case-names 0 tail]*:

**assumes**  $P\ 0$  **and**  $\bigwedge p. p \neq 0 \implies P\ (tail\ p) \implies P\ p$

**shows**  $P p$   
*<proof>*

**lemma** *poly-mapping-neqE*:

**assumes**  $p \neq q$   
**obtains**  $v$  **where**  $v \in \text{keys } p \cup \text{keys } q$  **and**  $\text{lookup } p v \neq \text{lookup } q v$   
**and**  $\bigwedge u. v \prec_t u \implies \text{lookup } p u = \text{lookup } q u$   
*<proof>*

## 10.8 Monomials

**lemma** *keys-monomial*:

**assumes** *is-monomial*  $p$   
**shows**  $\text{keys } p = \{\text{lt } p\}$   
*<proof>*

**lemma** *monomial-eq-itself*:

**assumes** *is-monomial*  $p$   
**shows**  $\text{monomial } (\text{lc } p) (\text{lt } p) = p$   
*<proof>*

**lemma** *lt-eq-min-term-monomial*:

**assumes**  $\text{lt } p = \text{min-term}$   
**shows**  $\text{monomial } (\text{lc } p) \text{ min-term} = p$   
*<proof>*

**lemma** *is-monomial-monomial-ordered*:

**assumes** *is-monomial*  $p$   
**obtains**  $c v$  **where**  $c \neq 0$  **and**  $\text{lc } p = c$  **and**  $\text{lt } p = v$  **and**  $p = \text{monomial } c v$   
*<proof>*

**lemma** *monomial-plus-not-0*:

**assumes**  $c \neq 0$  **and**  $\text{lt } p \prec_t v$   
**shows**  $\text{monomial } c v + p \neq 0$   
*<proof>*

**lemma** *lt-monomial-plus*:

**assumes**  $c \neq (0::'b::\text{comm-monoid-add})$  **and**  $\text{lt } p \prec_t v$   
**shows**  $\text{lt } (\text{monomial } c v + p) = v$   
*<proof>*

**lemma** *lc-monomial-plus*:

**assumes**  $c \neq (0::'b::\text{comm-monoid-add})$  **and**  $\text{lt } p \prec_t v$   
**shows**  $\text{lc } (\text{monomial } c v + p) = c$   
*<proof>*

**lemma** *tt-monomial-plus*:

**assumes**  $p \neq (0::-\Rightarrow_0 'b::\text{comm-monoid-add})$  **and**  $\text{lt } p \prec_t v$   
**shows**  $\text{tt } (\text{monomial } c v + p) = \text{tt } p$

*<proof>*

**lemma** *tc-monomial-plus*:

**assumes**  $p \neq (0::\text{'b}::\text{comm-monoid-add})$  **and**  $lt\ p \prec_t\ v$   
**shows**  $tc\ (\text{monomial}\ c\ v + p) = tc\ p$

*<proof>*

**lemma** *tail-monomial-plus*:

**assumes**  $c \neq (0::\text{'b}::\text{comm-monoid-add})$  **and**  $lt\ p \prec_t\ v$   
**shows**  $tail\ (\text{monomial}\ c\ v + p) = p$  (**is**  $tail\ ?q = -$ )

*<proof>*

## 10.9 Lists of Keys

In algorithms one very often needs to compute the sorted list of all terms appearing in a list of polynomials.

**definition** *pps-to-list* ::  $'t\ set \Rightarrow 't\ list$  **where**

$pps\text{-to-list}\ S = rev\ (ord\text{-term-lin.sorted-list-of-set}\ S)$

**definition** *keys-to-list* ::  $('t \Rightarrow_0 'b::zero) \Rightarrow 't\ list$

**where**  $keys\text{-to-list}\ p = pps\text{-to-list}\ (keys\ p)$

**definition** *Keys-to-list* ::  $('t \Rightarrow_0 'b::zero)\ list \Rightarrow 't\ list$

**where**  $Keys\text{-to-list}\ ps = fold\ (\lambda p\ ts.\ merge\text{-wrt}\ (\succ_t)\ (keys\text{-to-list}\ p)\ ts)\ ps\ []$

Function *pps-to-list* turns finite sets of terms into sorted lists, where the lists are sorted descending (i. e. greater elements come before smaller ones).

**lemma** *distinct-pps-to-list*:  $distinct\ (pps\text{-to-list}\ S)$

*<proof>*

**lemma** *set-pps-to-list*:

**assumes**  $finite\ S$

**shows**  $set\ (pps\text{-to-list}\ S) = S$

*<proof>*

**lemma** *length-pps-to-list*:  $length\ (pps\text{-to-list}\ S) = card\ S$

*<proof>*

**lemma** *pps-to-list-sorted-wrt*:  $sorted\text{-wrt}\ (\succ_t)\ (pps\text{-to-list}\ S)$

*<proof>*

**lemma** *pps-to-list-nth-leI*:

**assumes**  $j \leq i$  **and**  $i < card\ S$

**shows**  $(pps\text{-to-list}\ S) ! i \preceq_t (pps\text{-to-list}\ S) ! j$

*<proof>*

**lemma** *pps-to-list-nth-lessI*:

**assumes**  $j < i$  **and**  $i < card\ S$

**shows**  $(pps\text{-to-list}\ S) ! i \prec_t (pps\text{-to-list}\ S) ! j$

*<proof>*

**lemma** *pps-to-list-nth-leD*:

**assumes**  $(pps\text{-to-list } S) ! i \preceq_t (pps\text{-to-list } S) ! j$  **and**  $j < \text{card } S$   
**shows**  $j \leq i$

*<proof>*

**lemma** *pps-to-list-nth-lessD*:

**assumes**  $(pps\text{-to-list } S) ! i \prec_t (pps\text{-to-list } S) ! j$  **and**  $j < \text{card } S$   
**shows**  $j < i$

*<proof>*

**lemma** *set-keys-to-list*:  $\text{set } (keys\text{-to-list } p) = \text{keys } p$

*<proof>*

**lemma** *length-keys-to-list*:  $\text{length } (keys\text{-to-list } p) = \text{card } (keys } p)$

*<proof>*

**lemma** *keys-to-list-zero [simp]*:  $keys\text{-to-list } 0 = []$

*<proof>*

**lemma** *Keys-to-list-Nil [simp]*:  $Keys\text{-to-list } [] = []$

*<proof>*

**lemma** *set-Keys-to-list*:  $\text{set } (Keys\text{-to-list } ps) = Keys (\text{set } ps)$

*<proof>*

**lemma** *Keys-to-list-sorted-wrt-aux*:

**assumes** *sorted-wrt*  $(\succ_t) ts$

**shows** *sorted-wrt*  $(\succ_t) (\text{fold } (\lambda p ts. \text{merge-wrt } (\succ_t) (keys\text{-to-list } p) ts) ps ts)$

*<proof>*

**corollary** *Keys-to-list-sorted-wrt*: *sorted-wrt*  $(\succ_t) (Keys\text{-to-list } ps)$

*<proof>*

**corollary** *distinct-Keys-to-list*: *distinct*  $(Keys\text{-to-list } ps)$

*<proof>*

**lemma** *length-Keys-to-list*:  $\text{length } (Keys\text{-to-list } ps) = \text{card } (Keys (\text{set } ps))$

*<proof>*

**lemma** *Keys-to-list-eq-pps-to-list*:  $Keys\text{-to-list } ps = pps\text{-to-list } (Keys (\text{set } ps))$

*<proof>*

## 10.10 Multiplication

**lemma** *in-keys-mult-scalar-le*:

**assumes**  $v \in \text{keys } (p \odot q)$

**shows**  $v \preceq_t \text{punit.lt } p \oplus \text{lt } q$

*<proof>*

**lemma** *in-keys-mult-scalar-ge*:

**assumes**  $v \in \text{keys } (p \odot q)$

**shows**  $\text{punit.tt } p \oplus \text{tt } q \preceq_t v$

*<proof>*

**lemma** (**in** *ordered-term*) *lookup-mult-scalar-lt-lt*:

$\text{lookup } (p \odot q) (\text{punit.lt } p \oplus \text{lt } q) = \text{punit.lc } p * \text{lc } q$

*<proof>*

**lemma** *lookup-mult-scalar-tt-tt*:  $\text{lookup } (p \odot q) (\text{punit.tt } p \oplus \text{tt } q) = \text{punit.tc } p * \text{tc } q$

*<proof>*

**lemma** *lt-mult-scalar*:

**assumes**  $p \neq 0$  **and**  $q \neq (0::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$

**shows**  $\text{lt } (p \odot q) = \text{punit.lt } p \oplus \text{lt } q$

*<proof>*

**lemma** *tt-mult-scalar*:

**assumes**  $p \neq 0$  **and**  $q \neq (0::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$

**shows**  $\text{tt } (p \odot q) = \text{punit.tt } p \oplus \text{tt } q$

*<proof>*

**lemma** *lc-mult-scalar*:  $\text{lc } (p \odot q) = \text{punit.lc } p * \text{lc } (q::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$

*<proof>*

**lemma** *tc-mult-scalar*:  $\text{tc } (p \odot q) = \text{punit.tc } p * \text{tc } (q::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$

*<proof>*

**lemma** *mult-scalar-not-zero*:

**assumes**  $p \neq 0$  **and**  $q \neq (0::'t \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$

**shows**  $p \odot q \neq 0$

*<proof>*

**end**

**context** *ordered-powerprod*

**begin**

**lemmas** *in-keys-times-le* = *punit.in-keys-mult-scalar-le*[*simplified*]

**lemmas** *in-keys-times-ge* = *punit.in-keys-mult-scalar-ge*[*simplified*]

**lemmas** *lookup-times-lp-lp* = *punit.lookup-mult-scalar-lt-lt*[*simplified*]

**lemmas** *lookup-times-tp-tp* = *punit.lookup-mult-scalar-tt-tt*[*simplified*]

**lemmas** *lookup-times-monomial-right-plus* = *punit.lookup-mult-scalar-monomial-right-plus*[*simplified*]

**lemmas** *lookup-times-monomial-right* = *punit.lookup-mult-scalar-monomial-right*[*simplified*]

**lemmas** *lp-times* = *punit.lt-mult-scalar*[*simplified*]

**lemmas** *tp-times* = *punit.tt-mult-scalar*[*simplified*]

```

lemmas lc-times = punit.lc-mult-scalar[simplified]
lemmas tc-times = punit.tc-mult-scalar[simplified]
lemmas times-not-zero = punit.mult-scalar-not-zero[simplified]
lemmas times-tail-rec-left = punit.mult-scalar-tail-rec-left[simplified]
lemmas times-tail-rec-right = punit.mult-scalar-tail-rec-right[simplified]
lemmas punit-in-keys-monom-mult-le = punit.in-keys-monom-mult-le[simplified]
lemmas punit-in-keys-monom-mult-ge = punit.in-keys-monom-mult-ge[simplified]
lemmas lp-monom-mult = punit.lt-monom-mult[simplified]
lemmas tp-monom-mult = punit.tt-monom-mult[simplified]

```

**end**

### 10.11 *dgrad-p-set* and *dgrad-p-set-le*

**locale** *gd-term* =

```

  ordered-term pair-of-term term-of-pair ord ord-strict ord-term ord-term-strict
for pair-of-term::'t ⇒ ('a::graded-dickson-powerprod × 'k::{the-min,wellorder})
  and term-of-pair::('a × 'k) ⇒ 't
  and ord::'a ⇒ 'a ⇒ bool (infixl <≤> 50)
  and ord-strict (infixl <<> 50)
  and ord-term::'t ⇒ 't ⇒ bool (infixl <≤t> 50)
  and ord-term-strict::'t ⇒ 't ⇒ bool (infixl <<t> 50)

```

**begin**

**sublocale** *gd-powerprod* <*proof*>

**lemma** *adds-term-antisym*:

```

  assumes u addst v and v addst u
  shows u = v
  <proof>

```

**definition** *dgrad-p-set* :: ('a ⇒ *nat*) ⇒ *nat* ⇒ ('t ⇒<sub>0</sub> 'b::zero) *set*

**where** *dgrad-p-set d m* = {*p*. *pp-of-term* 'keys *p* ⊆ *dgrad-set d m*}

**definition** *dgrad-p-set-le* :: ('a ⇒ *nat*) ⇒ (('t ⇒<sub>0</sub> 'b) *set*) ⇒ (('t ⇒<sub>0</sub> 'b::zero) *set*) ⇒ *bool*

**where** *dgrad-p-set-le d F G* ⇔ (*dgrad-set-le d* (*pp-of-term* 'Keys *F*) (*pp-of-term* 'Keys *G*))

**lemma** *in-dgrad-p-set-iff*: *p* ∈ *dgrad-p-set d m* ⇔ (∀ *v* ∈ *keys p*. *d* (*pp-of-term v*) ≤ *m*)

<*proof*>

**lemma** *dgrad-p-setI* [*intro*]:

```

  assumes ∧ v ∈ keys p ⇒ d (pp-of-term v) ≤ m
  shows p ∈ dgrad-p-set d m
  <proof>

```

**lemma** *dgrad-p-setD*:

**assumes**  $p \in \text{dgrad-p-set } d \ m$  **and**  $v \in \text{keys } p$   
**shows**  $d \ (\text{pp-of-term } v) \leq m$   
 $\langle \text{proof} \rangle$

**lemma** *zero-in-dgrad-p-set*:  $0 \in \text{dgrad-p-set } d \ m$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-zero [simp]*:  $\text{dgrad-p-set } (\lambda-. 0) \ m = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *subset-dgrad-p-set-zero*:  $F \subseteq \text{dgrad-p-set } (\lambda-. 0) \ m$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-subset*:  
**assumes**  $m \leq n$   
**shows**  $\text{dgrad-p-set } d \ m \subseteq \text{dgrad-p-set } d \ n$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-setD-lp*:  
**assumes**  $p \in \text{dgrad-p-set } d \ m$  **and**  $p \neq 0$   
**shows**  $d \ (\text{lp } p) \leq m$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-exhaust-expl*:  
**assumes** *finite*  $F$   
**shows**  $F \subseteq \text{dgrad-p-set } d \ (\text{Max } (d \ \text{'pp-of-term' } \text{Keys } F))$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-exhaust*:  
**assumes** *finite*  $F$   
**obtains**  $m$  **where**  $F \subseteq \text{dgrad-p-set } d \ m$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-insert*:  
**assumes**  $F \subseteq \text{dgrad-p-set } d \ m$   
**obtains**  $n$  **where**  $m \leq n$  **and**  $f \in \text{dgrad-p-set } d \ n$  **and**  $F \subseteq \text{dgrad-p-set } d \ n$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-leI*:  
**assumes**  $\bigwedge f. f \in F \implies \text{dgrad-p-set-le } d \ \{f\} \ G$   
**shows**  $\text{dgrad-p-set-le } d \ F \ G$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-le-trans [trans]*:  
**assumes**  $\text{dgrad-p-set-le } d \ F \ G$  **and**  $\text{dgrad-p-set-le } d \ G \ H$   
**shows**  $\text{dgrad-p-set-le } d \ F \ H$   
 $\langle \text{proof} \rangle$

**lemma** *dgrad-p-set-le-subset*:

**assumes**  $F \subseteq G$   
**shows**  $dgrad-p-set-le\ d\ F\ G$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-leI-insert-keys$ :  
**assumes**  $dgrad-p-set-le\ d\ F\ G$  **and**  $dgrad-set-le\ d\ (pp-of-term\ 'keys\ f)\ (pp-of-term\ 'Keys\ G)$   
**shows**  $dgrad-p-set-le\ d\ (insert\ f\ F)\ G$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-leI-insert$ :  
**assumes**  $dgrad-p-set-le\ d\ F\ G$  **and**  $dgrad-p-set-le\ d\ \{f\}\ G$   
**shows**  $dgrad-p-set-le\ d\ (insert\ f\ F)\ G$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-leI-Un$ :  
**assumes**  $dgrad-p-set-le\ d\ F1\ G$  **and**  $dgrad-p-set-le\ d\ F2\ G$   
**shows**  $dgrad-p-set-le\ d\ (F1\ \cup\ F2)\ G$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-le-dgrad-p-set$ :  
**assumes**  $dgrad-p-set-le\ d\ F\ G$  **and**  $G \subseteq dgrad-p-set\ d\ m$   
**shows**  $F \subseteq dgrad-p-set\ d\ m$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-le-except$ :  $dgrad-p-set-le\ d\ \{except\ p\ S\}\ \{p\}$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-le-tail$ :  $dgrad-p-set-le\ d\ \{tail\ p\}\ \{p\}$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-le-plus$ :  $dgrad-p-set-le\ d\ \{p + q\}\ \{p, q\}$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-le-uminus$ :  $dgrad-p-set-le\ d\ \{-p\}\ \{p\}$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-le-minus$ :  $dgrad-p-set-le\ d\ \{p - q\}\ \{p, q\}$   
 $\langle proof \rangle$

**lemma**  $dgrad-set-le-monom-mult$ :  
**assumes**  $dickson-grading\ d$   
**shows**  $dgrad-set-le\ d\ (pp-of-term\ 'keys\ (monom-mult\ c\ t\ p))\ (insert\ t\ (pp-of-term\ 'keys\ p))$   
 $\langle proof \rangle$

**lemma**  $dgrad-p-set-closed-plus$ :  
**assumes**  $p \in dgrad-p-set\ d\ m$  **and**  $q \in dgrad-p-set\ d\ m$   
**shows**  $p + q \in dgrad-p-set\ d\ m$

*<proof>*

**lemma** *dgrad-p-set-closed-uminus*:

**assumes**  $p \in \text{dgrad-p-set } d \ m$

**shows**  $-p \in \text{dgrad-p-set } d \ m$

*<proof>*

**lemma** *dgrad-p-set-closed-minus*:

**assumes**  $p \in \text{dgrad-p-set } d \ m$  **and**  $q \in \text{dgrad-p-set } d \ m$

**shows**  $p - q \in \text{dgrad-p-set } d \ m$

*<proof>*

**lemma** *dgrad-p-set-closed-monom-mult*:

**assumes** *dickson-grading*  $d$  **and**  $d \ t \leq m$  **and**  $p \in \text{dgrad-p-set } d \ m$

**shows** *monom-mult*  $c \ t \ p \in \text{dgrad-p-set } d \ m$

*<proof>*

**lemma** *dgrad-p-set-closed-monom-mult-zero*:

**assumes**  $p \in \text{dgrad-p-set } d \ m$

**shows** *monom-mult*  $c \ 0 \ p \in \text{dgrad-p-set } d \ m$

*<proof>*

**lemma** *dgrad-p-set-closed-except*:

**assumes**  $p \in \text{dgrad-p-set } d \ m$

**shows** *except*  $p \ S \in \text{dgrad-p-set } d \ m$

*<proof>*

**lemma** *dgrad-p-set-closed-tail*:

**assumes**  $p \in \text{dgrad-p-set } d \ m$

**shows** *tail*  $p \in \text{dgrad-p-set } d \ m$

*<proof>*

## 10.12 Dickson's Lemma for Sequences of Terms

**lemma** *Dickson-term*:

**assumes** *dickson-grading*  $d$  **and** *finite*  $K$

**shows** *almost-full-on*  $(\text{adds}_t) \{t. \text{pp-of-term } t \in \text{dgrad-set } d \ m \wedge \text{component-of-term } t \in K\}$

(*is almost-full-on - ?A*)

*<proof>*

**corollary** *Dickson-termE*:

**assumes** *dickson-grading*  $d$  **and** *finite*  $(\text{component-of-term } \text{'range } (f::\text{nat} \Rightarrow 't))$

**and** *pp-of-term*  $\text{'range } f \subseteq \text{dgrad-set } d \ m$

**obtains**  $i \ j$  **where**  $i < j$  **and**  $f \ i \ \text{adds}_t \ f \ j$

*<proof>*

**lemma** *ex-finite-adds-term*:

**assumes** *dickson-grading*  $d$  **and** *finite*  $(\text{component-of-term } \text{' } S)$  **and** *pp-of-term*

‘  $S \subseteq \text{dgrad-set } d \ m$   
**obtains**  $T$  **where** *finite*  $T$  **and**  $T \subseteq S$  **and**  $\bigwedge s. s \in S \implies (\exists t \in T. t \text{ adds}_t s)$   
 $\langle \text{proof} \rangle$

### 10.13 Well-foundedness

**definition**  $\text{dickson-less-v} :: ('a \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow 't \Rightarrow 't \Rightarrow \text{bool}$   
**where**  $\text{dickson-less-v } d \ m \ v \ u \longleftrightarrow (d \ (\text{pp-of-term } v) \leq m \wedge d \ (\text{pp-of-term } u) \leq m \wedge v \prec_t u)$

**definition**  $\text{dickson-less-p} :: ('a \Rightarrow \text{nat}) \Rightarrow \text{nat} \Rightarrow ('t \Rightarrow_0 'b) \Rightarrow ('t \Rightarrow_0 'b::\text{zero}) \Rightarrow \text{bool}$   
**where**  $\text{dickson-less-p } d \ m \ p \ q \longleftrightarrow (\{p, q\} \subseteq \text{dgrad-p-set } d \ m \wedge p \prec_p q)$

**lemma**  $\text{dickson-less-vI}$ :  
**assumes**  $d \ (\text{pp-of-term } v) \leq m$  **and**  $d \ (\text{pp-of-term } u) \leq m$  **and**  $v \prec_t u$   
**shows**  $\text{dickson-less-v } d \ m \ v \ u$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dickson-less-vD1}$ :  
**assumes**  $\text{dickson-less-v } d \ m \ v \ u$   
**shows**  $d \ (\text{pp-of-term } v) \leq m$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dickson-less-vD2}$ :  
**assumes**  $\text{dickson-less-v } d \ m \ v \ u$   
**shows**  $d \ (\text{pp-of-term } u) \leq m$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dickson-less-vD3}$ :  
**assumes**  $\text{dickson-less-v } d \ m \ v \ u$   
**shows**  $v \prec_t u$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dickson-less-v-irrefl}$ :  $\neg \text{dickson-less-v } d \ m \ v \ v$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dickson-less-v-trans}$ :  
**assumes**  $\text{dickson-less-v } d \ m \ v \ u$  **and**  $\text{dickson-less-v } d \ m \ u \ w$   
**shows**  $\text{dickson-less-v } d \ m \ v \ w$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{wf-dickson-less-v-aux1}$ :  
**assumes**  $\text{dickson-grading } d$  **and**  $\bigwedge i::\text{nat}. \text{dickson-less-v } d \ m \ (\text{seq } (\text{Suc } i)) \ (\text{seq } i)$   
**obtains**  $i$  **where**  $\bigwedge j. j > i \implies \text{component-of-term } (\text{seq } j) < \text{component-of-term } (\text{seq } i)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{wf-dickson-less-v-aux2}$ :

**assumes** *dickson-grading*  $d$  **and**  $\bigwedge i::\text{nat. } \textit{dickson-less-v } d \ m \ (\textit{seq } (\textit{Suc } i)) \ (\textit{seq } i)$   
**and**  $\bigwedge i::\text{nat. } \textit{component-of-term } (\textit{seq } i) < k$   
**shows** *thesis*  
 $\langle \textit{proof} \rangle$

**lemma** *wf-dickson-less-v*:  
**assumes** *dickson-grading*  $d$   
**shows** *wfP* (*dickson-less-v*  $d \ m$ )  
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-v-zero*: *dickson-less-v*  $(\lambda-. 0) \ m = (\prec_t)$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-pI*:  
**assumes**  $p \in \textit{dgrad-p-set } d \ m$  **and**  $q \in \textit{dgrad-p-set } d \ m$  **and**  $p \prec_p q$   
**shows** *dickson-less-p*  $d \ m \ p \ q$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-pD1*:  
**assumes** *dickson-less-p*  $d \ m \ p \ q$   
**shows**  $p \in \textit{dgrad-p-set } d \ m$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-pD2*:  
**assumes** *dickson-less-p*  $d \ m \ p \ q$   
**shows**  $q \in \textit{dgrad-p-set } d \ m$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-pD3*:  
**assumes** *dickson-less-p*  $d \ m \ p \ q$   
**shows**  $p \prec_p q$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-p-irrefl*:  $\neg \textit{dickson-less-p } d \ m \ p \ p$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-p-trans*:  
**assumes** *dickson-less-p*  $d \ m \ p \ q$  **and** *dickson-less-p*  $d \ m \ q \ r$   
**shows** *dickson-less-p*  $d \ m \ p \ r$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-p-mono*:  
**assumes** *dickson-less-p*  $d \ m \ p \ q$  **and**  $m \leq n$   
**shows** *dickson-less-p*  $d \ n \ p \ q$   
 $\langle \textit{proof} \rangle$

**lemma** *dickson-less-p-zero*: *dickson-less-p*  $(\lambda-. 0) \ m = (\prec_p)$   
 $\langle \textit{proof} \rangle$

**lemma** *wf-dickson-less-p-aux*:  
**assumes** *dickson-grading d*  
**assumes**  $x \in Q$  **and**  $\forall y \in Q. y \neq 0 \longrightarrow (y \in \text{dgrad-p-set } d \ m \ \wedge \ \text{dickson-less-v } d \ m \ (lt \ y) \ u)$   
**shows**  $\exists p \in Q. (\forall q \in Q. \neg \text{dickson-less-p } d \ m \ q \ p)$   
 $\langle \text{proof} \rangle$

**theorem** *wf-dickson-less-p*:  
**assumes** *dickson-grading d*  
**shows** *wfP (dickson-less-p d m)*  
 $\langle \text{proof} \rangle$

**corollary** *ord-p-minimum-dgrad-p-set*:  
**assumes** *dickson-grading d* **and**  $x \in Q$  **and**  $Q \subseteq \text{dgrad-p-set } d \ m$   
**obtains** *q* **where**  $q \in Q$  **and**  $\bigwedge y. y \prec_p q \implies y \notin Q$   
 $\langle \text{proof} \rangle$

**lemma** *ord-term-minimum-dgrad-set*:  
**assumes** *dickson-grading d* **and**  $v \in V$  **and** *pp-of-term* '  $V \subseteq \text{dgrad-set } d \ m$   
**obtains** *u* **where**  $u \in V$  **and**  $\bigwedge w. w \prec_t u \implies w \notin V$   
 $\langle \text{proof} \rangle$

**end**

## 10.14 More Interpretations

**context** *gd-powerprod*  
**begin**

**sublocale** *punit: gd-term to-pair-unit fst* ( $\preceq$ ) ( $\prec$ ) ( $\preceq$ ) ( $\prec$ )  $\langle \text{proof} \rangle$

**end**

**locale** *od-term* =

*ordered-term pair-of-term term-of-pair ord ord-strict ord-term ord-term-strict*  
**for** *pair-of-term*:: $t \Rightarrow ('a::\text{dickson-powerprod} \times 'k::\{\text{the-min,wellorder}\})$   
**and** *term-of-pair*:: $('a \times 'k) \Rightarrow t$   
**and** *ord*:: $'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infixl**  $\prec$ ) 50)  
**and** *ord-strict* (**infixl**  $\prec$ ) 50)  
**and** *ord-term*:: $t \Rightarrow 't \Rightarrow \text{bool}$  (**infixl**  $\prec$ ) 50)  
**and** *ord-term-strict*:: $t \Rightarrow 't \Rightarrow \text{bool}$  (**infixl**  $\prec$ ) 50)

**begin**

**sublocale** *gd-term*  $\langle \text{proof} \rangle$

**lemma** *ord-p-wf: wfP* ( $\prec_p$ )  
 $\langle \text{proof} \rangle$

**end**

**end**

**theory** *Poly-Mapping-Finite-Map*

**imports**

*More-MPoly-Type*

*HOL-Library.Finite-Map*

**begin**

### 10.15 TODO: move!

**lemma** *fmdom'-fmap-of-list*:  $fmdom' (fmap\text{-of-list } xs) = set (map\ fst\ xs)$   
*<proof>*

In this theory, type  $'a \Rightarrow_0 'b$  is represented as association lists. Code equations are proved in order actually perform computations (addition, multiplication, etc.).

### 10.16 Utilities

**instantiation** *poly-mapping* :: (type, {equal, zero}) equal

**begin**

**definition** *equal-poly-mapping*::('a, 'b) *poly-mapping*  $\Rightarrow$  ('a, 'b) *poly-mapping*  $\Rightarrow$  bool **where**

*equal-poly-mapping* p q  $\equiv (\forall t. lookup\ p\ t = lookup\ q\ t)$

**instance** *<proof>*

**end**

**definition** *clearjunk0* m = *fmfilter* ( $\lambda k. fmlookup\ m\ k \neq Some\ 0$ ) m

**definition** *fmlookup-default* d m x = (case *fmlookup* m x of *Some* v  $\Rightarrow$  v | *None*  $\Rightarrow$  d)

**abbreviation** *lookup0*  $\equiv fmlookup\text{-default } 0$

**lemma** *fmlookup-default-fmmap*:

*fmlookup-default* d (*fmmap* f M) x = (if x  $\in$  *fmdom'* M then f (*fmlookup-default* d M x) else d)

*<proof>*

**lemma** *fmlookup-default-fmmap-keys*: *fmlookup-default* d (*fmmap-keys* f M) x =

(if x  $\in$  *fmdom'* M then f x (*fmlookup-default* d M x) else d)

*<proof>*

**lemma** *fmlookup-default-add[simp]*:

*fmlookup-default* d (m ++<sub>f</sub> n) x =

(if x  $\in$  *fmdom* n then the (*fmlookup* n x)

else *fmlookup-default* d m x)

*<proof>*

**lemma** *fmlookup-default-if[simp]*:  
 $fmlookup\ ys\ a = Some\ r \implies fmlookup\text{-}default\ d\ ys\ a = r$   
 $fmlookup\ ys\ a = None \implies fmlookup\text{-}default\ d\ ys\ a = d$   
 $\langle proof \rangle$

**lemma** *finite-lookup-default*:  
 $finite\ \{x.\ fmlookup\text{-}default\ d\ xs\ x \neq d\}$   
 $\langle proof \rangle$

**lemma** *lookup0-clearjunk0*:  $lookup0\ xs\ s = lookup0\ (clearjunk0\ xs)\ s$   
 $\langle proof \rangle$

**lemma** *clearjunk0-nonzero*:  
**assumes**  $t \in fmdom'\ (clearjunk0\ xs)$   
**shows**  $fmlookup\ xs\ t \neq Some\ 0$   
 $\langle proof \rangle$

**lemma** *clearjunk0-map-of-SomeD*:  
**assumes**  $a1: fmlookup\ xs\ t = Some\ c$  **and**  $c \neq 0$   
**shows**  $t \in fmdom'\ (clearjunk0\ xs)$   
 $\langle proof \rangle$

## 10.17 Implementation of Polynomial Mappings as Association Lists

**lift-definition** *Pm-fmap*:: $(\ 'a,\ 'b::zero) fmap \Rightarrow 'a \Rightarrow_0 'b$  **is** *lookup0*  
 $\langle proof \rangle$

**lemmas**  $[simp] = Pm\text{-}fmap.rep\text{-}eq$

**code-datatype** *Pm-fmap*

**lemma** *PM-clearjunk0-cong*:  
 $Pm\text{-}fmap\ (clearjunk0\ xs) = Pm\text{-}fmap\ xs$   
 $\langle proof \rangle$

**lemma** *PM-all-2*:  
**assumes**  $P\ 0\ 0$   
**shows**  $(\forall x.\ P\ (lookup\ (Pm\text{-}fmap\ xs)\ x)\ (lookup\ (Pm\text{-}fmap\ ys)\ x)) =$   
 $fmpred\ (\lambda k\ v.\ P\ (lookup0\ xs\ k)\ (lookup0\ ys\ k))\ (xs\ ++_f\ ys)$   
 $\langle proof \rangle$

**lemma** *compute-keys-pp[code]*:  $keys\ (Pm\text{-}fmap\ xs) = fmdom'\ (clearjunk0\ xs)$   
 $\langle proof \rangle$

**lemma** *compute-zero-pp[code]*:  $0 = Pm\text{-}fmap\ fmempty$   
 $\langle proof \rangle$

**lemma** *compute-plus-pp* [code]:

$Pm\text{-fmap } xs + Pm\text{-fmap } ys = Pm\text{-fmap } (clearjunk0 (fmap\text{-keys } (\lambda k v. lookup0\ xs\ k + lookup0\ ys\ k) (xs\ ++_f\ ys)))$   
*<proof>*

**lemma** *compute-lookup-pp*[code]:

$lookup (Pm\text{-fmap } xs) x = lookup0\ xs\ x$   
*<proof>*

**lemma** *compute-minus-pp* [code]:

$Pm\text{-fmap } xs - Pm\text{-fmap } ys = Pm\text{-fmap } (clearjunk0 (fmap\text{-keys } (\lambda k v. lookup0\ xs\ k - lookup0\ ys\ k) (xs\ ++_f\ ys)))$   
*<proof>*

**lemma** *compute-uminus-pp*[code]:

$- Pm\text{-fmap } ys = Pm\text{-fmap } (fmap\text{-keys } (\lambda k v. - lookup0\ ys\ k) ys)$   
*<proof>*

**lemma** *compute-equal-pp*[code]:

$equal\text{-class.equal } (Pm\text{-fmap } xs) (Pm\text{-fmap } ys) = fmpred (\lambda k v. lookup0\ xs\ k = lookup0\ ys\ k) (xs\ ++_f\ ys)$   
*<proof>*

**lemma** *compute-map-pp*[code]:

$Poly\text{-Mapping.map } f (Pm\text{-fmap } xs) = Pm\text{-fmap } (fmap (\lambda x. f\ x\ when\ x \neq 0) xs)$   
*<proof>*

**lemma** *fmran'-fmfilter-eq*:  $fmran' (fmfilter\ p\ fm) = \{y \mid y. \exists x \in fmdom'\ fm. p\ x \wedge fmlookup\ fm\ x = Some\ y\}$

*<proof>*

**lemma** *compute-range-pp*[code]:

$Poly\text{-Mapping.range } (Pm\text{-fmap } xs) = fmran' (clearjunk0\ xs)$   
*<proof>*

### 10.17.1 Constructors

**definition**  $sparse_0\ xs = Pm\text{-fmap } (fmap\text{-of-list } xs)$  — sparse representation

**definition**  $dense_0\ xs = Pm\text{-fmap } (fmap\text{-of-list } (zip\ [0..<length\ xs]\ xs))$  — dense representation

**lemma** *compute-single*[code]:  $Poly\text{-Mapping.single } k\ v = sparse_0\ [(k, v)]$

*<proof>*

**end**

## 11 Executable Representation of Polynomial Mappings as Association Lists

```

theory MPoly-Type-Class-FMap
  imports
    MPoly-Type-Class-Ordered
    Poly-Mapping-Finite-Map
begin

```

In this theory, (type class) multivariate polynomials of type  $'a \Rightarrow_0 'b$  are represented as association lists.

It is important to note that theory *MPoly-Type-Class-OAlist*, which represents polynomials as *ordered* associative lists, is much better suited for doing actual computations. This theory is only included for being able to compare the two representations in terms of efficiency.

### 11.1 Power Products

```

lemma compute-lcs-pp[code]:
  lcs (Pm-fmap xs) (Pm-fmap ys) =
    Pm-fmap (fmmap-keys ( $\lambda k v.$  Orderings.max (lookup0 xs k) (lookup0 ys k)) (xs
    ++f ys))
    <proof>

```

```

lemma compute-deg-pp[code]:
  deg-pm (Pm-fmap xs) = sum (the o fmllookup xs) (fmdom' xs)
    <proof>

```

```

definition adds-pp-add-linorder :: ( $'b \Rightarrow_0 'a::\text{add-linorder}$ )  $\Rightarrow$   $- \Rightarrow \text{bool}$ 
  where [code-abbrev]: adds-pp-add-linorder = (adds)

```

```

lemma compute-adds-pp[code]:
  adds-pp-add-linorder (Pm-fmap xs) (Pm-fmap ys) =
    (fmpred ( $\lambda k v.$  lookup0 xs k  $\leq$  lookup0 ys k) (xs ++f ys))
  for xs ys::('a, 'b::add-linorder-min) fmap
    <proof>

```

Computing *lex* as below is certainly not the most efficient way, but it works.

```

lemma lex-pm-iff: lex-pm s t = ( $\forall x.$  lookup s x  $\leq$  lookup t x  $\vee$  ( $\exists y < x.$  lookup s y
 $\neq$  lookup t y))
    <proof>

```

```

lemma compute-lex-pp[code]:
  (lex-pm (Pm-fmap xs) (Pm-fmap (ys::(-, -::ordered-comm-monoid-add) fmap)))
  =
    (let zs = xs ++f ys in
     fmpred ( $\lambda x v.$ 

```

$lookup0\ xs\ x \leq lookup0\ ys\ x \vee$   
 $\neg fmpred\ (\lambda y\ w.\ y \geq x \vee lookup0\ xs\ y = lookup0\ ys\ y)\ zs)\ zs$   
 $\rangle$   
 $\langle proof \rangle$

**lemma** *compute-dord-pp*[code]:  
 $(dord\text{-}pm\ ord\ (Pm\text{-}fmap\ xs)\ (Pm\text{-}fmap\ (ys::('a::wellorder,\ 'b::ordered\text{-}comm\text{-}monoid\text{-}add)\ fmap))) =$   
 $(let\ dx = deg\text{-}pm\ (Pm\text{-}fmap\ xs)\ in\ let\ dy = deg\text{-}pm\ (Pm\text{-}fmap\ ys)\ in$   
 $dx < dy \vee (dx = dy \wedge ord\ (Pm\text{-}fmap\ xs)\ (Pm\text{-}fmap\ ys))$   
 $\rangle$   
 $\langle proof \rangle$

### 11.1.1 Computations

**experiment begin**

**abbreviation**  $X \equiv 0::nat$

**abbreviation**  $Y \equiv 1::nat$

**abbreviation**  $Z \equiv 2::nat$

**lemma**  
 $sparse_0\ [(X,\ 2::nat),\ (Z,\ 7)] + sparse_0\ [(Y,\ 3),\ (Z,\ 2)] = sparse_0\ [(X,\ 2),\ (Z,\ 9),\ (Y,\ 3)]$   
 $dense_0\ [2,\ 0,\ 7::nat] + dense_0\ [0,\ 3,\ 2] = dense_0\ [2,\ 3,\ 9]$   
 $\langle proof \rangle$

**lemma**  
 $sparse_0\ [(X,\ 2::nat),\ (Z,\ 7)] - sparse_0\ [(X,\ 2),\ (Z,\ 2)] = sparse_0\ [(Z,\ 5)]$   
 $\langle proof \rangle$

**lemma**  
 $lcs\ (sparse_0\ [(X,\ 2::nat),\ (Y,\ 1),\ (Z,\ 7)])\ (sparse_0\ [(Y,\ 3),\ (Z,\ 2)]) = sparse_0\ [(X,\ 2),\ (Y,\ 3),\ (Z,\ 7)]$   
 $\langle proof \rangle$

**lemma**  
 $(sparse_0\ [(X,\ 2::nat),\ (Z,\ 1)])\ adds\ (sparse_0\ [(X,\ 3),\ (Y,\ 2),\ (Z,\ 1)])$   
 $\langle proof \rangle$

**lemma**  
 $lookup\ (sparse_0\ [(X,\ 2::nat),\ (Z,\ 3)])\ X = 2$   
 $\langle proof \rangle$

**lemma**  
 $deg\text{-}pm\ (sparse_0\ [(X,\ 2::nat),\ (Y,\ 1),\ (Z,\ 3),\ (X,\ 1)]) = 6$   
 $\langle proof \rangle$

**lemma**

*lex-pm* (*sparse*<sub>0</sub> [(*X*, 2::nat), (*Y*, 1), (*Z*, 3)]) (*sparse*<sub>0</sub> [(*X*, 4)])  
 ⟨proof⟩

**lemma**

*lex-pm* (*sparse*<sub>0</sub> [(*X*, 2::nat), (*Y*, 1), (*Z*, 3)]) (*sparse*<sub>0</sub> [(*X*, 4)])  
 ⟨proof⟩

**lemma**

¬ (*dlex-pm* (*sparse*<sub>0</sub> [(*X*, 2::nat), (*Y*, 1), (*Z*, 3)]) (*sparse*<sub>0</sub> [(*X*, 4)]))  
 ⟨proof⟩

**lemma**

*dlex-pm* (*sparse*<sub>0</sub> [(*X*, 2::nat), (*Y*, 1), (*Z*, 2)]) (*sparse*<sub>0</sub> [(*X*, 5)])  
 ⟨proof⟩

**lemma**

¬ (*drlex-pm* (*sparse*<sub>0</sub> [(*X*, 2::nat), (*Y*, 1), (*Z*, 2)]) (*sparse*<sub>0</sub> [(*X*, 5)]))  
 ⟨proof⟩

end

## 11.2 Implementation of Multivariate Polynomials as Association Lists

### 11.2.1 Unordered Power-Products

**lemma** *compute-monomial* [code]:

*monomial* *c t* = (if *c* = 0 then 0 else *sparse*<sub>0</sub> [(*t*, *c*)])  
 ⟨proof⟩

**lemma** *compute-one-poly-mapping* [code]: 1 = *sparse*<sub>0</sub> [(0, 1)]

⟨proof⟩

**lemma** *compute-except-poly-mapping* [code]:

*except* (*Pm-fmap* *xs*) *S* = *Pm-fmap* (*fmfilter* (λ*k*. *k* ∉ *S*) *xs*)  
 ⟨proof⟩

**lemma** *lookup0-fmap-of-list-simps*:

*lookup0* (*fmap-of-list* ((*x*, *y*)#*xs*)) *i* = (if *x* = *i* then *y* else *lookup0* (*fmap-of-list* *xs*) *i*)

*lookup0* (*fmap-of-list* []) *i* = 0

⟨proof⟩

**lemma** *if-poly-mapping-eq-iff*:

(if *x* = *y* then *a* else *b*) =

(if (∀ *i* ∈ keys *x* ∪ keys *y*. *lookup* *x* *i* = *lookup* *y* *i*) then *a* else *b*)

⟨proof⟩

**lemma** *keys-add-eq*: keys (*a* + *b*) = keys *a* ∪ keys *b* − {*x* ∈ keys *a* ∩ keys *b*. *lookup* *a* *x* + *lookup* *b* *x* = 0}

*<proof>*

**context** *term-powerprod*  
**begin**

**context includes** *fmap.lifting* **begin**

**lift-definition** *shift-keys::'a ⇒ ('t, 'b) fmap ⇒ ('t, 'b) fmap*  
**is**  $\lambda t m x.$  *if t adds<sub>p</sub> x then m (x ⊖ t) else None*  
*<proof>*

**definition** *shift-map-keys t f m = fmmmap f (shift-keys t m)*

**lemma** *compute-shift-map-keys[code]:*  
*shift-map-keys t f (fmap-of-list xs) = fmap-of-list (map (λ(k, v). (t ⊕ k, f v)) xs)*  
*<proof>*

**end**

**lemmas** [*simp*] = *compute-zero-pp[symmetric]*

**lemma** *compute-monom-mult-poly-mapping [code]:*  
*monom-mult c t (Pm-fmap xs) = Pm-fmap (if c = 0 then fmempty else shift-map-keys*  
*t ((\* c) xs)*  
*<proof>*

**lemma** *compute-mult-scalar-poly-mapping [code]:*  
*Pm-fmap (fmap-of-list xs) ⊙ q = (case xs of ((t, c) # ys) ⇒*  
*(monom-mult c t q + except (Pm-fmap (fmap-of-list ys)) {t} ⊙ q) | - ⇒*  
*Pm-fmap fmempty)*  
*<proof>*

**end**

## 11.2.2 restore constructor view

**named-theorems** *mpoly-simps*

**definition** *monomial1 pp = monomial 1 pp*

**lemma** *monomial1-Nil[mpoly-simps]: monomial1 0 = 1*  
*<proof>*

**lemma** *monomial-mp: monomial c (pp::'a⇒<sub>0</sub>nat) = Const<sub>0</sub> c \* monomial1 pp*  
**for** *c::'b::comm-semiring-1*  
*<proof>*

**lemma** *monomial1-add: (monomial1 (a + b)::('a::monoid-add⇒<sub>0</sub>'b::comm-semiring-1))*  
*= monomial1 a \* monomial1 b*

*<proof>*

**lemma** *monomial1-monomial*:  $\text{monomial1 } (\text{monomial } n \ v) = (\text{Var}_0 \ v :: \Rightarrow_0 ('b :: \text{comm-semiring-1})) \hat{\ } n$   
*<proof>*

**lemma** *Ball-True*:  $(\forall x \in X. \text{True}) \longleftrightarrow \text{True}$  *<proof>*

**lemma** *Collect-False*:  $\{x. \text{False}\} = \{\}$  *<proof>*

**lemma** *Pm-fmap-sum*:  $\text{Pm-fmap } f = (\sum x \in \text{fndom}' f. \text{monomial } (\text{lookup0 } f \ x)$   
 $x)$

**including** *fmap.lifting*  
*<proof>*

**lemma** *MPoly-numeral*:  $\text{MPoly } (\text{numeral } x) = \text{numeral } x$   
*<proof>*

**lemma** *MPoly-power*:  $\text{MPoly } (x \hat{\ } n) = \text{MPoly } x \hat{\ } n$   
*<proof>*

**lemmas** *[mpoly-simps] = Pm-fmap-sum*  
*add.assoc[symmetric] mult.assoc[symmetric]*  
*add-0 add-0-right mult-1 mult-1-right mult-zero-left mult-zero-right power-0 power-one-right*  
*fndom'-fmap-of-list*  
*list.map fst-conv*  
*sum.insert-remove finite-insert finite.emptyI*  
*lookup0-fmap-of-list-simps*  
*num.simps rel-simps*  
*if-True if-False*  
*insert-Diff-if insert-iff empty-Diff empty-iff*  
*simp-thms*  
*sum.empty*  
*if-poly-mapping-eq-iff*  
*keys-zero keys-one*  
*keys-add-eq*  
*keys-single*  
*Un-insert-left Un-empty-left*  
*Int-insert-left Int-empty-left*  
*Collect-False*  
*lookup-add lookup-single lookup-zero lookup-one*  
*Set.ball-simps*  
*when-simps*  
*monomial-mp*  
*monomial1-add*  
*monomial1-monomial*  
*Const<sub>0</sub>-one Const<sub>0</sub>-zero Const<sub>0</sub>-numeral Const<sub>0</sub>-minus*  
*set-simps*

A simproc for postprocessing with *mpoly-simps* and not polluting *[code-post]*:

*<ML>*

### 11.2.3 Ordered Power-Products

**lemma** *foldl-assoc*:

**assumes**  $\bigwedge x y z. f (f x y) z = f x (f y z)$   
**shows**  $\text{foldl } f (f a b) xs = f a (\text{foldl } f b xs)$

*<proof>*

**context** *ordered-term*

**begin**

**definition** *list-max*::*'t list*  $\Rightarrow$  *'t* **where**

$\text{list-max } xs \equiv \text{foldl } \text{ord-term-lin.max } \text{min-term } xs$

**lemma** *list-max-Cons*:  $\text{list-max } (x \# xs) = \text{ord-term-lin.max } x (\text{list-max } xs)$

*<proof>*

**lemma** *list-max-empty*:  $\text{list-max } [] = \text{min-term}$

*<proof>*

**lemma** *list-max-in-list*:

**assumes**  $xs \neq []$

**shows**  $\text{list-max } xs \in \text{set } xs$

*<proof>*

**lemma** *list-max-maximum*:

**assumes**  $a \in \text{set } xs$

**shows**  $a \preceq_t (\text{list-max } xs)$

*<proof>*

**lemma** *list-max-nonempty*:

**assumes**  $xs \neq []$

**shows**  $\text{list-max } xs = \text{ord-term-lin.Max } (\text{set } xs)$

*<proof>*

**lemma** *in-set-clearjunk-iff-map-of-eq-Some*:

$(a, b) \in \text{set } (AList.\text{clearjunk } xs) \longleftrightarrow \text{map-of } xs a = \text{Some } b$

*<proof>*

**lemma** *Pm-fmap-of-list-eq-zero-iff*:

$\text{Pm-fmap } (\text{fmap-of-list } xs) = 0 \longleftrightarrow [(k, v) \leftarrow AList.\text{clearjunk } xs . v \neq 0] = []$

*<proof>*

**lemma** *fmdom'-clearjunk0*:  $\text{fmdom}' (\text{clearjunk0 } xs) = \text{fmdom}' xs - \{x. \text{fmlookup } xs x = \text{Some } 0\}$

*<proof>*

**lemma** *compute-lt-poly-mapping*[code]:

$\text{lt } (\text{Pm-fmap } (\text{fmap-of-list } xs)) = \text{list-max } (\text{map fst } [(k, v) \leftarrow AList.\text{clearjunk } xs. v \neq 0])$

*<proof>*

**lemma** *compute-higher-poly-mapping* [code]:  
*higher* (*Pm-fmap* *xs*) *t* = *Pm-fmap* (*fmfilter* ( $\lambda k. t \prec_t k$ ) *xs*)  
 ⟨*proof*⟩

**lemma** *compute-lower-poly-mapping* [code]:  
*lower* (*Pm-fmap* *xs*) *t* = *Pm-fmap* (*fmfilter* ( $\lambda k. k \prec_t t$ ) *xs*)  
 ⟨*proof*⟩

**end**

**lifting-update** *poly-mapping.lifting*  
**lifting-forget** *poly-mapping.lifting*

## 11.3 Computations

### 11.3.1 Scalar Polynomials

**type-synonym** *'a mpoly-tc* = (*nat*  $\Rightarrow_0$  *nat*)  $\Rightarrow_0$  *'a*

**definition** *shift-map-keys-punit* = *term-powerprod.shift-map-keys to-pair-unit fst*

**lemma** *compute-shift-map-keys-punit* [code]:  
*shift-map-keys-punit* *t f* (*fmap-of-list* *xs*) = *fmap-of-list* (*map* ( $\lambda(k, v). (t + k, f v)$ ) *xs*)  
 ⟨*proof*⟩

**global-interpretation** *punit*: *term-powerprod to-pair-unit fst*  
**rewrites** *punit.adds-term* = (*adds*)  
**and** *punit.pp-of-term* = ( $\lambda x. x$ )  
**and** *punit.component-of-term* = ( $\lambda-. ()$ )  
**defines** *monom-mult-punit* = *punit.monom-mult*  
**and** *mult-scalar-punit* = *punit.mult-scalar*  
 ⟨*proof*⟩

**lemma** *compute-monom-mult-punit* [code]:  
*monom-mult-punit* *c t* (*Pm-fmap* *xs*) = *Pm-fmap* (*if* *c* = 0 *then* *fmempty* *else* *shift-map-keys-punit* *t* ( $(*)$  *c*) *xs*)  
 ⟨*proof*⟩

**lemma** *compute-mult-scalar-punit* [code]:  
*Pm-fmap* (*fmap-of-list* *xs*) \* *q* = (*case* *xs* *of* ( $(t, c) \# ys$ )  $\Rightarrow$   
 (*monom-mult-punit* *c t q* + *except* (*Pm-fmap* (*fmap-of-list* *ys*)) {*t*} \* *q*) | -  $\Rightarrow$   
*Pm-fmap* *fmempty*)  
 ⟨*proof*⟩

**locale** *trivariate<sub>0</sub>-rat*  
**begin**

**abbreviation** *X::rat mpoly-tc* **where** *X*  $\equiv$  *Var<sub>0</sub>* (*0::nat*)

**abbreviation**  $Y::\text{rat mpoly-}tc$  **where**  $Y \equiv \text{Var}_0 (1::\text{nat})$   
**abbreviation**  $Z::\text{rat mpoly-}tc$  **where**  $Z \equiv \text{Var}_0 (2::\text{nat})$

**end**

**locale** *trivariate*  
**begin**

**abbreviation**  $X \equiv \text{Var } 0$   
**abbreviation**  $Y \equiv \text{Var } 1$   
**abbreviation**  $Z \equiv \text{Var } 2$

**end**

**experiment begin interpretation** *trivariate*<sub>0</sub>-*rat*  $\langle \text{proof} \rangle$

**lemma**

$keys (X^2 * Z^3 + 2 * Y^3 * Z^2) =$   
 $\{ \text{monomial } 2\ 0 + \text{monomial } 3\ 2, \text{monomial } 3\ 1 + \text{monomial } 2\ 2 \}$   
 $\langle \text{proof} \rangle$

**lemma**

$keys (X^2 * Z^3 + 2 * Y^3 * Z^2) =$   
 $\{ \text{monomial } 2\ 0 + \text{monomial } 3\ 2, \text{monomial } 3\ 1 + \text{monomial } 2\ 2 \}$   
 $\langle \text{proof} \rangle$

**lemma**

$- 1 * X^2 * Z^7 + - 2 * Y^3 * Z^2 = - X^2 * Z^7 + - 2 * Y^3 * Z^2$   
 $\langle \text{proof} \rangle$

**lemma**

$X^2 * Z^7 + 2 * Y^3 * Z^2 + X^2 * Z^4 + - 2 * Y^3 * Z^2 = X^2 * Z^7 + X^2 * Z^4$   
 $\langle \text{proof} \rangle$

**lemma**

$X^2 * Z^7 + 2 * Y^3 * Z^2 - X^2 * Z^4 + - 2 * Y^3 * Z^2 =$   
 $X^2 * Z^7 - X^2 * Z^4$   
 $\langle \text{proof} \rangle$

**lemma**

$lookup (X^2 * Z^7 + 2 * Y^3 * Z^2 + 2) (\text{sparse}_0 [(0, 2), (2, 7)]) = 1$   
 $\langle \text{proof} \rangle$

**lemma**

$X^2 * Z^7 + 2 * Y^3 * Z^2 \neq$   
 $X^2 * Z^4 + - 2 * Y^3 * Z^2$   
 $\langle \text{proof} \rangle$

**lemma**

$$0 * X^2 * Z^7 + 0 * Y^3 * Z^2 = 0$$

*<proof>*

**lemma**

$$\text{monom-mult-punit } 3 \text{ (sparse}_0 \text{ [(1, 2::nat)]) (X}^2 * Z + 2 * Y^3 * Z^2) =$$
$$3 * Y^2 * Z * X^2 + 6 * Y^5 * Z^2$$

*<proof>*

**lemma**

$$\text{monomial } (-4) \text{ (sparse}_0 \text{ [(0, 2::nat)])} = -4 * X^2$$

*<proof>*

**lemma** *monomial* (0::rat) (sparse<sub>0</sub> [(0::nat, 2::nat)]) = 0

*<proof>*

**lemma**

$$(X^2 * Z + 2 * Y^3 * Z^2) * (X^2 * Z^3 + -2 * Y^3 * Z^2) =$$
$$X^4 * Z^4 + -2 * X^2 * Z^3 * Y^3 +$$
$$-4 * Y^6 * Z^4 + 2 * Y^3 * Z^5 * X^2$$

*<proof>*

**end**

### 11.3.2 Vector-Polynomials

**type-synonym** 'a vmpoly-*tc* = ((nat  $\Rightarrow_0$  nat)  $\times$  nat)  $\Rightarrow_0$  'a

**definition** *shift-map-keys-pprod* = pprod.*shift-map-keys*

**global-interpretation** pprod: *term-powerprod*  $\lambda x. x \lambda x. x$

**rewrites** pprod.*pp-of-term* = *fst*

**and** pprod.*component-of-term* = *snd*

**defines** *splus-pprod* = pprod.*splus*

**and** *monom-mult-pprod* = pprod.*monom-mult*

**and** *mult-scalar-pprod* = pprod.*mult-scalar*

**and** *adds-term-pprod* = pprod.*adds-term*

*<proof>*

**lemma** *compute-adds-term-pprod* [*code-unfold*]:

$$\text{adds-term-pprod } u \ v = (\text{snd } u = \text{snd } v \wedge \text{adds-pp-add-linorder } (\text{fst } u) (\text{fst } v))$$

*<proof>*

**lemma** *compute-splus-pprod* [*code*]: *splus-pprod*  $t \ (s, i) = (t + s, i)$

*<proof>*

**lemma** *compute-shift-map-keys-pprod* [*code*]:

$$\text{shift-map-keys-pprod } t \ f \ (\text{fmap-of-list } xs) = \text{fmap-of-list } (\text{map } (\lambda(k, v). (\text{splus-pprod}$$

$t\ k, f\ v))\ xs)$   
 $\langle proof \rangle$

**lemma** *compute-monom-mult-pprod* [code]:

$monom-mult-pprod\ c\ t\ (Pm-fmap\ xs) = Pm-fmap\ (if\ c = 0\ then\ fmempty\ else\ shift-map-keys-pprod\ t\ ((*)\ c)\ xs)$   
 $\langle proof \rangle$

**lemma** *compute-mult-scalar-pprod* [code]:

$mult-scalar-pprod\ (Pm-fmap\ (fmap-of-list\ xs))\ q = (case\ xs\ of\ ((t, c) \# ys) \Rightarrow (monom-mult-pprod\ c\ t\ q + mult-scalar-pprod\ (except\ (Pm-fmap\ (fmap-of-list\ ys))\ \{t\})\ q) \mid - \Rightarrow Pm-fmap\ fmempty)$   
 $\langle proof \rangle$

**definition**  $Vec_0 :: nat \Rightarrow (('a \Rightarrow_0 nat) \Rightarrow_0 'b) \Rightarrow (('a \Rightarrow_0 nat) \times nat) \Rightarrow_0 'b :: semiring-1$  **where**

$Vec_0\ i\ p = mult-scalar-pprod\ p\ (Poly-Mapping.single\ (0, i)\ 1)$

**experiment begin interpretation** *trivariate<sub>0</sub>-rat*  $\langle proof \rangle$

**lemma**

$keys\ (Vec_0\ 0\ (X^2 * Z^3) + Vec_0\ 1\ (2 * Y^3 * Z^2)) = \{(sparse_0\ [(0, 2), (2, 3)], 0), (sparse_0\ [(1, 3), (2, 2)], 1)\}$   
 $\langle proof \rangle$

**lemma**

$keys\ (Vec_0\ 0\ (X^2 * Z^3) + Vec_0\ 2\ (2 * Y^3 * Z^2)) = \{(sparse_0\ [(0, 2), (2, 3)], 0), (sparse_0\ [(1, 3), (2, 2)], 2)\}$   
 $\langle proof \rangle$

**lemma**

$Vec_0\ 1\ (X^2 * Z^7 + 2 * Y^3 * Z^2) + Vec_0\ 3\ (X^2 * Z^4) + Vec_0\ 1\ (-2 * Y^3 * Z^2) = Vec_0\ 1\ (X^2 * Z^7) + Vec_0\ 3\ (X^2 * Z^4)$   
 $\langle proof \rangle$

**lemma**

$lookup\ (Vec_0\ 0\ (X^2 * Z^7) + Vec_0\ 1\ (2 * Y^3 * Z^2 + 2))\ (sparse_0\ [(0, 2), (2, 7)], 0) = 1$   
 $\langle proof \rangle$

**lemma**

$lookup\ (Vec_0\ 0\ (X^2 * Z^7) + Vec_0\ 1\ (2 * Y^3 * Z^2 + 2))\ (sparse_0\ [(0, 2), (2, 7)], 1) = 0$   
 $\langle proof \rangle$

**lemma**

$Vec_0\ 0\ (0 * X^2 * Z^7) + Vec_0\ 1\ (0 * Y^3 * Z^2) = 0$

*<proof>*

**lemma**

*monom-mult-pprod 3 (sparse<sub>0</sub> [(1, 2::nat)]) (Vec<sub>0</sub> 0 (X<sup>2</sup> \* Z) + Vec<sub>0</sub> 1 (2 \* Y<sup>3</sup> \* Z<sup>2</sup>)) =  
Vec<sub>0</sub> 0 (3 \* Y<sup>2</sup> \* Z \* X<sup>2</sup>) + Vec<sub>0</sub> 1 (6 \* Y<sup>5</sup> \* Z<sup>2</sup>)*  
*<proof>*

**end**

## 11.4 Code setup for type MPoly

postprocessing from *Var<sub>0</sub>*, *Const<sub>0</sub>* to *Var*, *Const*.

**lemmas** [*code-post*] =

*plus-mpoly.abs-eq[symmetric]*  
*times-mpoly.abs-eq[symmetric]*  
*MPoly-numeral*  
*MPoly-power*  
*one-mpoly-def[symmetric]*  
*Var.abs-eq[symmetric]*  
*Const.abs-eq[symmetric]*

**instantiation** *mpoly::({equal, zero})equal begin*

**lift-definition** *equal-mpoly:: 'a mpoly ⇒ 'a mpoly ⇒ bool is HOL.equal <proof>*

**instance** *<proof>*

**end**

**experiment begin interpretation** *trivariate <proof>*

**lemmas** [*mpoly-simps*] = *plus-mpoly.abs-eq*

**lemma** *content-primitive (4 \* X \* Y<sup>2</sup> \* Z<sup>3</sup> + 6 \* X<sup>2</sup> \* Y<sup>4</sup> + 8 \* X<sup>2</sup> \* Y<sup>5</sup>) =  
(2::int, 2 \* X \* Y<sup>2</sup> \* Z<sup>3</sup> + 3 \* X<sup>2</sup> \* Y<sup>4</sup> + 4 \* X<sup>2</sup> \* Y<sup>5</sup>)*  
*<proof>*

**end**

**end**

**theory** *PP-Type*

**imports** *Power-Products*

**begin**

For code generation, we must introduce a copy of type *'a ⇒<sub>0</sub> 'b* for

power-products.

**typedef** (overloaded) ('a, 'b) pp = UNIV::('a  $\Rightarrow_0$  'b) set  
  **morphisms** mapping-of-PP <proof>

**setup-lifting** type-definition-pp

**lift-definition** pp-of-fun :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'b::zero) pp  
  **is** Abs-poly-mapping <proof>

### 11.5 lookup-pp, keys-pp and single-pp

**lift-definition** lookup-pp :: ('a, 'b::zero) pp  $\Rightarrow$  'a  $\Rightarrow$  'b **is** lookup <proof>

**lift-definition** keys-pp :: ('a, 'b::zero) pp  $\Rightarrow$  'a set **is** keys <proof>

**lift-definition** single-pp :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b::zero) pp **is** Poly-Mapping.single  
<proof>

**lemma** lookup-pp-of-fun: finite {x. f x  $\neq$  0}  $\Longrightarrow$  lookup-pp (pp-of-fun f) = f  
<proof>

**lemma** pp-of-lookup: pp-of-fun (lookup-pp t) = t  
<proof>

**lemma** pp-eqI: ( $\bigwedge u.$  lookup-pp s u = lookup-pp t u)  $\Longrightarrow$  s = t  
<proof>

**lemma** pp-eq-iff: (s = t)  $\longleftrightarrow$  (lookup-pp s = lookup-pp t)  
<proof>

**lemma** keys-pp-iff:  $x \in$  keys-pp t  $\longleftrightarrow$  (lookup-pp t x  $\neq$  0)  
<proof>

**lemma** pp-eqI':  
  **assumes**  $\bigwedge u.$  u  $\in$  keys-pp s  $\cup$  keys-pp t  $\Longrightarrow$  lookup-pp s u = lookup-pp t u  
  **shows** s = t  
<proof>

**lemma** lookup-single-pp: lookup-pp (single-pp x e) y = (e when x = y)  
<proof>

### 11.6 Additive Structure

**instantiation** pp :: (type, zero) zero  
**begin**

**lift-definition** zero-pp :: ('a, 'b) pp **is** 0::'a  $\Rightarrow_0$  'b <proof>

**lemma** lookup-zero-pp [simp]: lookup-pp 0 = 0

$\langle proof \rangle$   
**instance**  $\langle proof \rangle$   
**end**  
**lemma** *single-pp-zero* [*simp*]: *single-pp*  $x\ 0 = 0$   
 $\langle proof \rangle$   
**instantiation** *pp* :: (*type*, *monoid-add*) *monoid-add*  
**begin**  
**lift-definition** *plus-pp* :: (*'a*, *'b*) *pp*  $\Rightarrow$  (*'a*, *'b*) *pp*  $\Rightarrow$  (*'a*, *'b*) *pp* **is** (+)::(*'a*  $\Rightarrow_0$  *'b*)  
 $\Rightarrow$  -  $\langle proof \rangle$   
**lemma** *lookup-plus-pp*: *lookup-pp* ( $s + t$ ) = *lookup-pp*  $s +$  *lookup-pp*  $t$   
 $\langle proof \rangle$   
**instance**  $\langle proof \rangle$   
**end**  
**lemma** *single-pp-plus*: *single-pp*  $x\ a +$  *single-pp*  $x\ b =$  *single-pp*  $x\ (a + b)$   
 $\langle proof \rangle$   
**instance** *pp* :: (*type*, *comm-monoid-add*) *comm-monoid-add*  
 $\langle proof \rangle$   
**instantiation** *pp* :: (*type*, *cancel-comm-monoid-add*) *cancel-comm-monoid-add*  
**begin**  
**lift-definition** *minus-pp* :: (*'a*, *'b*) *pp*  $\Rightarrow$  (*'a*, *'b*) *pp*  $\Rightarrow$  (*'a*, *'b*) *pp* **is** (-)::(*'a*  $\Rightarrow_0$  *'b*)  
 $\Rightarrow$  -  $\langle proof \rangle$   
**lemma** *lookup-minus-pp*: *lookup-pp* ( $s - t$ ) = *lookup-pp*  $s -$  *lookup-pp*  $t$   
 $\langle proof \rangle$   
**instance**  $\langle proof \rangle$   
**end**  
**11.7** *'a*  $\Rightarrow_0$  *'b* belongs to class *comm-powerprod*  
**instance** *poly-mapping* :: (*type*, *cancel-comm-monoid-add*) *comm-powerprod*  
 $\langle proof \rangle$   
**11.8** *'a*  $\Rightarrow_0$  *'b* belongs to class *ninv-comm-monoid-add*  
**instance** *poly-mapping* :: (*type*, *ninv-comm-monoid-add*) *ninv-comm-monoid-add*  
 $\langle proof \rangle$

### 11.9 ('a, 'b) pp belongs to class lcs-powerprod

**lemma** *adds-pp-iff*:  $(s \text{ adds } t) \longleftrightarrow (\text{mapping-of } s \text{ adds mapping-of } t)$   
*<proof>*

**instantiation** *pp* :: (type, add-linorder) lcs-powerprod  
**begin**

**lift-definition** *lcs-pp* :: ('a, 'b) pp  $\Rightarrow$  ('a, 'b) pp  $\Rightarrow$  ('a, 'b) pp **is** lcs-powerprod-class.lcs  
*<proof>*

**lemma** *lookup-lcs-pp*:  $\text{lookup-pp } (lcs \ s \ t) \ x = \max (\text{lookup-pp } s \ x) (\text{lookup-pp } t \ x)$   
*<proof>*

**instance**  
*<proof>*

**end**

### 11.10 ('a, 'b) pp belongs to class ulcs-powerprod

**instance** *pp* :: (type, add-linorder-min) ulcs-powerprod *<proof>*

### 11.11 Dickson's lemma for power-products in finitely many indeterminates

**lemma** *almost-full-on-pp-iff*:  
 $\text{almost-full-on } (adds) \ A \longleftrightarrow \text{almost-full-on } (adds) (\text{mapping-of } 'A) \ (\text{is } ?l \longleftrightarrow ?r)$   
*<proof>*

**lift-definition** *varnum-pp* :: ('a::countable, 'b::zero) pp  $\Rightarrow$  nat **is** varnum {} *<proof>*

**lemma** *dickson-grading-varnum-pp*:  
 $\text{dickson-grading } (\text{varnum-pp}::('a::countable, 'b::add-wellorder) \text{ pp} \Rightarrow \text{nat})$   
*<proof>*

**instance** *pp* :: (countable, add-wellorder) graded-dickson-powerprod  
*<proof>*

**instance** *pp* :: (finite, add-wellorder) dickson-powerprod  
*<proof>*

### 11.12 Lexicographic Term Order

**lift-definition** *lex-pp* :: ('a, 'b) pp  $\Rightarrow$  ('a::linorder, 'b::{zero,linorder}) pp  $\Rightarrow$  bool  
**is** lex-pm *<proof>*

**lift-definition** *lex-pp-strict* :: ('a, 'b) pp  $\Rightarrow$  ('a::linorder, 'b::{zero,linorder}) pp  $\Rightarrow$  bool  
**is** lex-pm-strict *<proof>*

**lemma** *lex-pp-alt*:  $lex-pp\ s\ t = (s = t \vee (\exists x. lookup-pp\ s\ x < lookup-pp\ t\ x \wedge (\forall y < x. lookup-pp\ s\ y = lookup-pp\ t\ y)))$   
 ⟨proof⟩

**lemma** *lex-pp-refl*:  $lex-pp\ s\ s$   
 ⟨proof⟩

**lemma** *lex-pp-antisym*:  $lex-pp\ s\ t \implies lex-pp\ t\ s \implies s = t$   
 ⟨proof⟩

**lemma** *lex-pp-trans*:  $lex-pp\ s\ t \implies lex-pp\ t\ u \implies lex-pp\ s\ u$   
 ⟨proof⟩

**lemma** *lex-pp-lin*:  $lex-pp\ s\ t \vee lex-pp\ t\ s$   
 ⟨proof⟩

**lemma** *lex-pp-lin'*:  $\neg lex-pp\ t\ s \implies lex-pp\ s\ t$   
 ⟨proof⟩

**corollary** *lex-pp-strict-alt* [code]:  
 $lex-pp-strict\ s\ t = (\neg lex-pp\ t\ s)$  **for**  $s\ t :: (-, -::ordered-comm-monoid-add)$  *pp*  
 ⟨proof⟩

**lemma** *lex-pp-zero-min*:  $lex-pp\ 0\ s$  **for**  $s :: (-, -::add-linorder-min)$  *pp*  
 ⟨proof⟩

**lemma** *lex-pp-plus-monotone*:  $lex-pp\ s\ t \implies lex-pp\ (s + u)\ (t + u)$   
**for**  $s\ t :: (-, -::\{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le\})$  *pp*  
 ⟨proof⟩

**lemma** *lex-pp-plus-monotone'*:  $lex-pp\ s\ t \implies lex-pp\ (u + s)\ (u + t)$   
**for**  $s\ t :: (-, -::\{ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le\})$  *pp*  
 ⟨proof⟩

**instantiation** *pp* :: (*linorder*, {*ordered-comm-monoid-add*, *linorder*}) *linorder*  
**begin**

**definition** *less-eq-pp* :: (*'a*, *'b*) *pp*  $\Rightarrow$  (*'a*, *'b*) *pp*  $\Rightarrow$  *bool*  
**where** *less-eq-pp* = *lex-pp*

**definition** *less-pp* :: (*'a*, *'b*) *pp*  $\Rightarrow$  (*'a*, *'b*) *pp*  $\Rightarrow$  *bool*  
**where** *less-pp* = *lex-pp-strict*

**instance** ⟨proof⟩

**end**

### 11.13 Degree

**lift-definition**  $deg\text{-}pp :: ('a, 'b::comm\text{-}monoid\text{-}add) pp \Rightarrow 'b \text{ is } deg\text{-}pm \langle proof \rangle$

**lemma**  $deg\text{-}pp\text{-}alt: deg\text{-}pp s = sum (lookup\text{-}pp s) (keys\text{-}pp s) \langle proof \rangle$

**lemma**  $deg\text{-}pp\text{-}zero [simp]: deg\text{-}pp 0 = 0 \langle proof \rangle$

**lemma**  $deg\text{-}pp\text{-}eq\text{-}0\text{-}iff [simp]: deg\text{-}pp s = 0 \iff s = 0 \text{ for } s::('a, 'b::add\text{-}linorder\text{-}min) pp \langle proof \rangle$

**lemma**  $deg\text{-}pp\text{-}plus: deg\text{-}pp (s + t) = deg\text{-}pp s + deg\text{-}pp (t::('a, 'b::comm\text{-}monoid\text{-}add) pp) \langle proof \rangle$

**lemma**  $deg\text{-}pp\text{-}single: deg\text{-}pp (single\text{-}pp x k) = k \langle proof \rangle$

### 11.14 Degree-Lexicographic Term Order

**lift-definition**  $dlex\text{-}pp :: ('a::linorder, 'b::{ordered\text{-}comm\text{-}monoid\text{-}add,linorder}) pp \Rightarrow ('a, 'b) pp \Rightarrow bool \text{ is } dlex\text{-}pm \langle proof \rangle$

**lift-definition**  $dlex\text{-}pp\text{-}strict :: ('a::linorder, 'b::{ordered\text{-}comm\text{-}monoid\text{-}add,linorder}) pp \Rightarrow ('a, 'b) pp \Rightarrow bool \text{ is } dlex\text{-}pm\text{-}strict \langle proof \rangle$

**lemma**  $dlex\text{-}pp\text{-}alt: dlex\text{-}pp s t \iff (deg\text{-}pp s < deg\text{-}pp t \vee (deg\text{-}pp s = deg\text{-}pp t \wedge lex\text{-}pp s t)) \langle proof \rangle$

**lemma**  $dlex\text{-}pp\text{-}refl: dlex\text{-}pp s s \langle proof \rangle$

**lemma**  $dlex\text{-}pp\text{-}antisym: dlex\text{-}pp s t \implies dlex\text{-}pp t s \implies s = t \langle proof \rangle$

**lemma**  $dlex\text{-}pp\text{-}trans: dlex\text{-}pp s t \implies dlex\text{-}pp t u \implies dlex\text{-}pp s u \langle proof \rangle$

**lemma**  $dlex\text{-}pp\text{-}lin: dlex\text{-}pp s t \vee dlex\text{-}pp t s \langle proof \rangle$

**corollary**  $dlex\text{-}pp\text{-}strict\text{-}alt [code]: dlex\text{-}pp\text{-}strict s t = (\neg dlex\text{-}pp t s) \langle proof \rangle$

**lemma** *dlex-pp-zero-min*:  $dlex-pp\ 0\ s$   
**for**  $s\ t::(-, -::add-linorder-min)\ pp$   
 $\langle proof \rangle$

**lemma** *dlex-pp-plus-monotone*:  $dlex-pp\ s\ t \implies dlex-pp\ (s + u)\ (t + u)$   
**for**  $s\ t::(-, -::\{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add\})$   
 $pp$   
 $\langle proof \rangle$

### 11.15 Degree-Reverse-Lexicographic Term Order

**lift-definition** *drlex-pp* ::  $('a::linorder, 'b::\{ordered-comm-monoid-add, linorder\})$   
 $pp \Rightarrow ('a, 'b)\ pp \Rightarrow bool$   
**is** *drlex-pm*  $\langle proof \rangle$

**lift-definition** *drlex-pp-strict* ::  $('a::linorder, 'b::\{ordered-comm-monoid-add, linorder\})$   
 $pp \Rightarrow ('a, 'b)\ pp \Rightarrow bool$   
**is** *drlex-pm-strict*  $\langle proof \rangle$

**lemma** *drlex-pp-alt*:  $drlex-pp\ s\ t \iff (deg-pp\ s < deg-pp\ t \vee (deg-pp\ s = deg-pp\ t \wedge lex-pp\ t\ s))$   
 $\langle proof \rangle$

**lemma** *drlex-pp-refl*:  $drlex-pp\ s\ s$   
 $\langle proof \rangle$

**lemma** *drlex-pp-antisym*:  $drlex-pp\ s\ t \implies drlex-pp\ t\ s \implies s = t$   
 $\langle proof \rangle$

**lemma** *drlex-pp-trans*:  $drlex-pp\ s\ t \implies drlex-pp\ t\ u \implies drlex-pp\ s\ u$   
 $\langle proof \rangle$

**lemma** *drlex-pp-lin*:  $drlex-pp\ s\ t \vee drlex-pp\ t\ s$   
 $\langle proof \rangle$

**corollary** *drlex-pp-strict-alt* [code]:  $drlex-pp-strict\ s\ t = (\neg drlex-pp\ t\ s)$   
 $\langle proof \rangle$

**lemma** *drlex-pp-zero-min*:  $drlex-pp\ 0\ s$   
**for**  $s\ t::(-, -::add-linorder-min)\ pp$   
 $\langle proof \rangle$

**lemma** *drlex-pp-plus-monotone*:  $drlex-pp\ s\ t \implies drlex-pp\ (s + u)\ (t + u)$   
**for**  $s\ t::(-, -::\{ordered-ab-semigroup-add-imp-le, ordered-cancel-comm-monoid-add\})$   
 $pp$   
 $\langle proof \rangle$

**end**

## 12 Associative Lists with Sorted Keys

```
theory OAlist
imports Deriving.Comparator
begin
```

We define the type of *ordered associative lists* (oalist). An oalist is an associative list (i.e. a list of pairs) such that the keys are distinct and sorted wrt. some linear order relation, and no key is mapped to  $\emptyset$ . The latter invariant allows to implement various functions operating on oalists more efficiently.

The ordering of the keys in an oalist  $xs$  is encoded as an additional parameter of  $xs$ . This means that oalists may be ordered wrt. different orderings, even if they are of the same type. Operations operating on more than one oalists, like *map2-val*, typically ensure that the orderings of their arguments are identical by re-ordering one argument wrt. the order relation of the other. This, however, implies that equality of order relations must be effectively decidable if executable code is to be generated.

### 12.1 Preliminaries

```
fun min-list-param :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a where
  min-list-param rel (x # xs) = (case xs of []  $\Rightarrow$  x | -  $\Rightarrow$  (let m = min-list-param
  rel xs in if rel x m then x else m))
```

```
lemma min-list-param-in:
assumes xs  $\neq$  []
shows min-list-param rel xs  $\in$  set xs
<proof>
```

```
lemma min-list-param-minimal:
assumes transp rel and  $\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } xs \implies \text{rel } x y \vee \text{rel } y x$ 
and z  $\in$  set xs
shows rel (min-list-param rel xs) z
<proof>
```

```
definition comp-of-ord :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a comparator where
  comp-of-ord le x y = (if le x y then if x = y then Eq else Lt else Gt)
```

```
lemma comp-of-ord-eq-comp-of-ords:
assumes antisymp le
shows comp-of-ord le = comp-of-ords le ( $\lambda x y. \text{le } x y \wedge \neg \text{le } y x$ )
<proof>
```

```
lemma comparator-converse:
assumes comparator cmp
shows comparator ( $\lambda x y. \text{cmp } y x$ )
<proof>
```

**lemma** *comparator-composition*:  
**assumes** *comparator cmp and inj f*  
**shows** *comparator* ( $\lambda x y. cmp (f x) (f y)$ )  
 $\langle proof \rangle$

## 12.2 Type *key-order*

**typedef** *'a key-order* = {*compare* :: *'a comparator. comparator compare*}  
**morphisms** *key-compare Abs-key-order*  
 $\langle proof \rangle$

**lemma** *comparator-key-compare* [*simp, intro!*]: *comparator* (*key-compare ko*)  
 $\langle proof \rangle$

**instantiation** *key-order* :: (*type*) *equal*  
**begin**

**definition** *equal-key-order* :: *'a key-order*  $\Rightarrow$  *'a key-order*  $\Rightarrow$  *bool* **where** *equal-key-order*  
= (=)

**instance**  $\langle proof \rangle$

**end**

**setup-lifting** *type-definition-key-order*

**instantiation** *key-order* :: (*type*) *uminus*  
**begin**

**lift-definition** *uminus-key-order* :: *'a key-order*  $\Rightarrow$  *'a key-order* **is**  $\lambda c x y. c y x$   
 $\langle proof \rangle$

**instance**  $\langle proof \rangle$

**end**

**lift-definition** *le-of-key-order* :: *'a key-order*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool* **is**  $\lambda cmp. le-of-comp$   
*cmp*  $\langle proof \rangle$

**lift-definition** *lt-of-key-order* :: *'a key-order*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool* **is**  $\lambda cmp. lt-of-comp$   
*cmp*  $\langle proof \rangle$

**definition** *key-order-of-ord* :: (*'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a key-order*  
**where** *key-order-of-ord ord* = *Abs-key-order* (*comp-of-ord ord*)

**lift-definition** *key-order-of-le* :: *'a::linorder key-order* **is** *comparator-of*  
 $\langle proof \rangle$

**interpretation** *key-order-lin*: *linorder le-of-key-order ko lt-of-key-order ko*  
⟨*proof*⟩

**lemma** *le-of-key-order-alt*: *le-of-key-order ko x y = (key-compare ko x y ≠ Gt)*  
⟨*proof*⟩

**lemma** *lt-of-key-order-alt*: *lt-of-key-order ko x y = (key-compare ko x y = Lt)*  
⟨*proof*⟩

**lemma** *key-compare-Gt*: *key-compare ko x y = Gt ⟷ key-compare ko y x = Lt*  
⟨*proof*⟩

**lemma** *key-compare-Eq*: *key-compare ko x y = Eq ⟷ x = y*  
⟨*proof*⟩

**lemma** *key-compare-same [simp]*: *key-compare ko x x = Eq*  
⟨*proof*⟩

**lemma** *uminus-key-compare [simp]*: *invert-order (key-compare ko x y) = key-compare ko y x*  
⟨*proof*⟩

**lemma** *key-compare-uminus [simp]*: *key-compare (− ko) x y = key-compare ko y x*  
⟨*proof*⟩

**lemma** *uminus-key-order-sameD*:  
  **assumes**  $− ko = (ko::'a \text{ key-order})$   
  **shows**  $x = (y::'a)$   
⟨*proof*⟩

**lemma** *key-compare-key-order-of-ord*:  
  **assumes** *antisymp ord and transp ord and*  $\bigwedge x y. \text{ord } x y \vee \text{ord } y x$   
  **shows** *key-compare (key-order-of-ord ord) =*  $(\lambda x y. \text{if ord } x y \text{ then if } x = y \text{ then Eq else Lt else Gt})$   
⟨*proof*⟩

**lemma** *key-compare-key-order-of-le*:  
  *key-compare key-order-of-le =*  $(\lambda x y. \text{if } x < y \text{ then Lt else if } x = y \text{ then Eq else Gt})$   
⟨*proof*⟩

### 12.3 Invariant in Context *comparator*

**context** *comparator*  
**begin**

**definition** *oalist-inv-raw* ::  $('a \times 'b::\text{zero}) \text{ list} \Rightarrow \text{bool}$   
  **where** *oalist-inv-raw xs*  $\longleftrightarrow (0 \notin \text{snd } ' \text{ set } xs \wedge \text{sorted-wrt lt (map fst xs)})$

**lemma** *oalist-inv-rawI*:  
**assumes**  $0 \notin \text{snd } \text{' set } xs$  **and** *sorted-wrt lt (map fst xs)*  
**shows** *oalist-inv-raw xs*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-rawD1*:  
**assumes** *oalist-inv-raw xs*  
**shows**  $0 \notin \text{snd } \text{' set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-rawD2*:  
**assumes** *oalist-inv-raw xs*  
**shows** *sorted-wrt lt (map fst xs)*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-Nil*: *oalist-inv-raw []*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-singleton*: *oalist-inv-raw [(k, v)]  $\longleftrightarrow$  (v  $\neq$  0)*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-ConsI*:  
**assumes** *oalist-inv-raw xs* **and**  $v \neq 0$  **and**  $xs \neq [] \implies \text{lt } k \text{ (fst (hd xs))}$   
**shows** *oalist-inv-raw ((k, v) # xs)*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-ConsD1*:  
**assumes** *oalist-inv-raw (x # xs)*  
**shows** *oalist-inv-raw xs*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-ConsD2*:  
**assumes** *oalist-inv-raw ((k, v) # xs)*  
**shows**  $v \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-ConsD3*:  
**assumes** *oalist-inv-raw ((k, v) # xs)* **and**  $k' \in \text{fst } \text{' set } xs$   
**shows**  $\text{lt } k \text{ } k'$   
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-tl*:  
**assumes** *oalist-inv-raw xs*  
**shows** *oalist-inv-raw (tl xs)*  
 $\langle \text{proof} \rangle$

**lemma** *oalist-inv-raw-filter*:  
**assumes** *oalist-inv-raw xs*  
**shows** *oalist-inv-raw (filter P xs)*

*<proof>*

**lemma** *oalist-inv-raw-map*:

**assumes** *oalist-inv-raw xs*

**and**  $\bigwedge a. \text{snd } (f a) = 0 \implies \text{snd } a = 0$

**and**  $\bigwedge a b. \text{comp } (\text{fst } (f a)) (\text{fst } (f b)) = \text{comp } (\text{fst } a) (\text{fst } b)$

**shows** *oalist-inv-raw (map f xs)*

*<proof>*

**lemma** *oalist-inv-raw-induct* [*consumes 1, case-names Nil Cons*]:

**assumes** *oalist-inv-raw xs*

**assumes**  $P \ []$

**assumes**  $\bigwedge k v xs. \text{oalist-inv-raw } ((k, v) \# xs) \implies \text{oalist-inv-raw } xs \implies v \neq 0$   
 $\implies$

$(\bigwedge k'. k' \in \text{fst 'set } xs \implies \text{lt } k k') \implies P xs \implies P ((k, v) \# xs)$

**shows**  $P xs$

*<proof>*

## 12.4 Operations on Lists of Pairs in Context *comparator*

**type-synonym** (**in**  $-$ ) (*'a, 'b*) *comp-opt* =  $'a \Rightarrow 'b \Rightarrow (\text{order option})$

**definition** (**in**  $-$ ) *lookup-dflt* :: (*'a*  $\times$  *'b*) *list*  $\Rightarrow$   $'a \Rightarrow 'b::\text{zero}$

**where** *lookup-dflt xs k* = (*case map-of xs k of Some v  $\Rightarrow$  v | None  $\Rightarrow$  0*)

*lookup-dflt* is only an auxiliary function needed for proving some lemmas.

**fun** *lookup-pair* :: (*'a*  $\times$  *'b*) *list*  $\Rightarrow$   $'a \Rightarrow 'b::\text{zero}$

**where**

*lookup-pair [] x* = 0

*lookup-pair ((k, v) # xs) x* =

(*case comp x k of*

*Lt*  $\Rightarrow$  0

| *Eq*  $\Rightarrow$  v

| *Gt*  $\Rightarrow$  *lookup-pair xs x*)

**fun** *update-by-pair* :: (*'a*  $\times$  *'b*)  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a*  $\times$  *'b::zero*) *list*

**where**

*update-by-pair (k, v) []* = (*if v = 0 then [] else [(k, v)]*)

| *update-by-pair (k, v) ((k', v') # xs)* =

(*case comp k k' of Lt*  $\Rightarrow$  (*if v = 0 then (k', v') # xs else (k, v) # (k', v') # xs*)

| *Eq*  $\Rightarrow$  (*if v = 0 then xs else (k, v) # xs*)

| *Gt*  $\Rightarrow$  (k', v') # *update-by-pair (k, v) xs*)

**definition** *sort-oalist* :: (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a*  $\times$  *'b::zero*) *list*

**where** *sort-oalist xs* = *foldr update-by-pair xs []*

**fun** *update-by-fun-pair* ::  $'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a \times 'b) \text{ list} \Rightarrow ('a \times 'b::\text{zero}) \text{ list}$

**where**

```

  update-by-fun-pair k f [] = (let v = f 0 in if v = 0 then [] else [(k, v)])
| update-by-fun-pair k f ((k', v') # xs) =
  (case comp k k' of Lt => (let v = f 0 in if v = 0 then (k', v') # xs else (k, v) #
(k', v') # xs)
   | Eq => (let v = f v' in if v = 0 then xs else (k, v) # xs)
   | Gt => (k', v') # update-by-fun-pair k f xs)

```

**definition** *update-by-fun-gr-pair* :: 'a => ('b => 'b) => ('a × 'b) list => ('a × 'b::zero) list

```

  where update-by-fun-gr-pair k f xs =
    (if xs = [] then
      (let v = f 0 in if v = 0 then [] else [(k, v)])
    else if comp k (fst (last xs)) = Gt then
      (let v = f 0 in if v = 0 then xs else xs @ [(k, v)])
    else
      update-by-fun-pair k f xs
    )

```

**fun** (in  $-$ ) *map-pair* :: ('a × 'b) => ('a × 'c) => ('a × 'b::zero) list => ('a × 'c::zero) list

**where**

```

  map-pair f [] = []
| map-pair f (kv # xs) =
  (let (k, v) = f kv; aux = map-pair f xs in if v = 0 then aux else (k, v) # aux)

```

The difference between *map* and *map-pair* is that the latter removes 0 values, whereas the former does not.

**abbreviation** (in  $-$ ) *map-val-pair* :: ('a => 'b => 'c) => ('a × 'b::zero) list => ('a × 'c::zero) list

**where** *map-val-pair* f ≡ *map-pair* (λ(k, v). (k, f k v))

**fun** *map2-val-pair* :: ('a => 'b => 'c => 'd) => (('a × 'b) list => ('a × 'd) list) => (('a × 'c) list => ('a × 'd) list) => ('a × 'b::zero) list => ('a × 'c::zero) list => ('a × 'd::zero) list

**where**

```

  map2-val-pair f g h xs [] = g xs
| map2-val-pair f g h [] ys = h ys
| map2-val-pair f g h ((kx, vx) # xs) ((ky, vy) # ys) =
  (case comp kx ky of
    Lt => (let v = f kx vx 0; aux = map2-val-pair f g h xs ((ky, vy) # ys)
in if v = 0 then aux else (kx, v) # aux)
   | Eq => (let v = f kx vx vy; aux = map2-val-pair f g h xs ys in if v = 0
then aux else (kx, v) # aux)
   | Gt => (let v = f ky 0 vy; aux = map2-val-pair f g h ((kx, vx) # xs) ys
in if v = 0 then aux else (ky, v) # aux))

```

**fun** *lex-ord-pair* :: ('a => (('b, 'c) comp-opt)) => ('a × 'b::zero) list, ('a × 'c::zero) list) comp-opt

**where**

```

lex-ord-pair f [] [] = Some Eq|
lex-ord-pair f [] ((ky, vy) # ys) =
  (let aux = f ky 0 vy in if aux = Some Eq then lex-ord-pair f [] ys else aux)|
lex-ord-pair f ((kx, vx) # xs) [] =
  (let aux = f kx vx 0 in if aux = Some Eq then lex-ord-pair f xs [] else aux)|
lex-ord-pair f ((kx, vx) # xs) ((ky, vy) # ys) =
  (case comp kx ky of
    Lt => (let aux = f kx vx 0 in if aux = Some Eq then lex-ord-pair f xs
((ky, vy) # ys) else aux)
    | Eq => (let aux = f kx vx vy in if aux = Some Eq then lex-ord-pair f xs
ys else aux)
    | Gt => (let aux = f ky 0 vy in if aux = Some Eq then lex-ord-pair f ((kx,
vx) # xs) ys else aux))

```

```

fun prod-ord-pair :: ('a => 'b => 'c => bool) => ('a × 'b::zero) list => ('a × 'c::zero)
list => bool

```

**where**

```

prod-ord-pair f [] [] = True|
prod-ord-pair f [] ((ky, vy) # ys) = (f ky 0 vy ∧ prod-ord-pair f [] ys)|
prod-ord-pair f ((kx, vx) # xs) [] = (f kx vx 0 ∧ prod-ord-pair f xs [])|
prod-ord-pair f ((kx, vx) # xs) ((ky, vy) # ys) =
  (case comp kx ky of
    Lt => (f kx vx 0 ∧ prod-ord-pair f xs ((ky, vy) # ys))
    | Eq => (f kx vx vy ∧ prod-ord-pair f xs ys)
    | Gt => (f ky 0 vy ∧ prod-ord-pair f ((kx, vx) # xs) ys))

```

*prod-ord-pair* is actually just a special case of *lex-ord-pair*, as proved below in lemma *prod-ord-pair-eq-lex-ord-pair*.

#### 12.4.1 lookup-pair

**lemma** *lookup-pair-eq-0*:

```

assumes oalist-inv-raw xs
shows lookup-pair xs k = 0 ⟷ (k ∉ fst ` set xs)
⟨proof⟩

```

**lemma** *lookup-pair-eq-value*:

```

assumes oalist-inv-raw xs and v ≠ 0
shows lookup-pair xs k = v ⟷ ((k, v) ∈ set xs)
⟨proof⟩

```

**lemma** *lookup-pair-eq-valueI*:

```

assumes oalist-inv-raw xs and (k, v) ∈ set xs
shows lookup-pair xs k = v
⟨proof⟩

```

**lemma** *lookup-dflt-eq-lookup-pair*:

```

assumes oalist-inv-raw xs
shows lookup-dflt xs = lookup-pair xs

```

*<proof>*

**lemma** *lookup-pair-inj*:

**assumes** *oalist-inv-raw xs* **and** *oalist-inv-raw ys* **and** *lookup-pair xs = lookup-pair ys*

**shows** *xs = ys*

*<proof>*

**lemma** *lookup-pair-tl*:

**assumes** *oalist-inv-raw xs*

**shows** *lookup-pair (tl xs) k = (if ( $\forall k' \in \text{fst } \text{'set } xs. \text{le } k k'$ ) then 0 else lookup-pair xs k)*

*<proof>*

**lemma** *lookup-pair-tl'*:

**assumes** *oalist-inv-raw xs*

**shows** *lookup-pair (tl xs) k = (if k = fst (hd xs) then 0 else lookup-pair xs k)*

*<proof>*

**lemma** *lookup-pair-filter*:

**assumes** *oalist-inv-raw xs*

**shows** *lookup-pair (filter P xs) k = (let v = lookup-pair xs k in if P (k, v) then v else 0)*

*<proof>*

**lemma** *lookup-pair-map*:

**assumes** *oalist-inv-raw xs*

**and**  $\bigwedge k'. \text{snd } (f (k', 0)) = 0$

**and**  $\bigwedge a b. \text{comp } (\text{fst } (f a)) (\text{fst } (f b)) = \text{comp } (\text{fst } a) (\text{fst } b)$

**shows** *lookup-pair (map f xs) (fst (f (k, v))) = snd (f (k, lookup-pair xs k))*

*<proof>*

**lemma** *lookup-pair-Cons*:

**assumes** *oalist-inv-raw ((k, v) # xs)*

**shows** *lookup-pair ((k, v) # xs) k0 = (if k = k0 then v else lookup-pair xs k0)*

*<proof>*

**lemma** *lookup-pair-single*: *lookup-pair [(k, v)] k0 = (if k = k0 then v else 0)*

*<proof>*

#### 12.4.2 *update-by-pair*

**lemma** *set-update-by-pair-subset*: *set (update-by-pair kv xs)  $\subseteq$  insert kv (set xs)*

*<proof>*

**lemma** *update-by-pair-sorted*:

**assumes** *sorted-wrt lt (map fst xs)*

**shows** *sorted-wrt lt (map fst (update-by-pair kv xs))*

*<proof>*

**lemma** *update-by-pair-not-0*:

**assumes**  $0 \notin \text{snd } \text{' set } xs$   
**shows**  $0 \notin \text{snd } \text{' set } (\text{update-by-pair } kv \ xs)$   
<proof>

**corollary** *oalist-inv-raw-update-by-pair*:

**assumes** *oalist-inv-raw*  $xs$   
**shows** *oalist-inv-raw*  $(\text{update-by-pair } kv \ xs)$   
<proof>

**lemma** *update-by-pair-less*:

**assumes**  $v \neq 0$  **and**  $xs = [] \vee \text{comp } k \ (\text{fst } (\text{hd } xs)) = Lt$   
**shows**  $\text{update-by-pair } (k, v) \ xs = (k, v) \# \ xs$   
<proof>

**lemma** *lookup-pair-update-by-pair*:

**assumes** *oalist-inv-raw*  $xs$   
**shows**  $\text{lookup-pair } (\text{update-by-pair } (k1, v) \ xs) \ k2 = (\text{if } k1 = k2 \ \text{then } v \ \text{else } \text{lookup-pair } \ xs \ k2)$   
<proof>

**corollary** *update-by-pair-id*:

**assumes** *oalist-inv-raw*  $xs$  **and**  $\text{lookup-pair } \ xs \ k = v$   
**shows**  $\text{update-by-pair } (k, v) \ xs = xs$   
<proof>

**lemma** *set-update-by-pair*:

**assumes** *oalist-inv-raw*  $xs$  **and**  $v \neq 0$   
**shows**  $\text{set } (\text{update-by-pair } (k, v) \ xs) = \text{insert } (k, v) \ (\text{set } xs - \text{range } (\text{Pair } k))$  (**is**  $?A = ?B$ )  
<proof>

**lemma** *set-update-by-pair-zero*:

**assumes** *oalist-inv-raw*  $xs$   
**shows**  $\text{set } (\text{update-by-pair } (k, 0) \ xs) = \text{set } xs - \text{range } (\text{Pair } k)$  (**is**  $?A = ?B$ )  
<proof>

### 12.4.3 *update-by-fun-pair* **and** *update-by-fun-gr-pair*

**lemma** *update-by-fun-pair-eq-update-by-pair*:

**assumes** *oalist-inv-raw*  $xs$   
**shows**  $\text{update-by-fun-pair } k \ f \ xs = \text{update-by-pair } (k, f \ (\text{lookup-pair } \ xs \ k)) \ xs$   
<proof>

**corollary** *oalist-inv-raw-update-by-fun-pair*:

**assumes** *oalist-inv-raw*  $xs$   
**shows** *oalist-inv-raw*  $(\text{update-by-fun-pair } k \ f \ xs)$   
<proof>

**corollary** *lookup-pair-update-by-fun-pair:*

**assumes** *oalist-inv-raw xs*

**shows** *lookup-pair (update-by-fun-pair k1 f xs) k2 = (if k1 = k2 then f else id)*  
*(lookup-pair xs k2)*

*<proof>*

**lemma** *update-by-fun-pair-gr:*

**assumes** *oalist-inv-raw xs* **and** *xs = [] ∨ comp k (fst (last xs)) = Gt*

**shows** *update-by-fun-pair k f xs = xs @ (if f 0 = 0 then [] else [(k, f 0)])*

*<proof>*

**corollary** *update-by-fun-gr-pair-eq-update-by-fun-pair:*

**assumes** *oalist-inv-raw xs*

**shows** *update-by-fun-gr-pair k f xs = update-by-fun-pair k f xs*

*<proof>*

**corollary** *oalist-inv-raw-update-by-fun-gr-pair:*

**assumes** *oalist-inv-raw xs*

**shows** *oalist-inv-raw (update-by-fun-gr-pair k f xs)*

*<proof>*

**corollary** *lookup-pair-update-by-fun-gr-pair:*

**assumes** *oalist-inv-raw xs*

**shows** *lookup-pair (update-by-fun-gr-pair k1 f xs) k2 = (if k1 = k2 then f else id)*  
*(lookup-pair xs k2)*

*<proof>*

#### 12.4.4 *map-pair*

**lemma** *map-pair-cong:*

**assumes**  $\bigwedge kv. kv \in \text{set } xs \implies f kv = g kv$

**shows** *map-pair f xs = map-pair g xs*

*<proof>*

**lemma** *map-pair-subset: set (map-pair f xs)  $\subseteq$  f ‘ set xs*

*<proof>*

**lemma** *oalist-inv-raw-map-pair:*

**assumes** *oalist-inv-raw xs*

**and**  $\bigwedge a b. \text{comp } (fst (f a)) (fst (f b)) = \text{comp } (fst a) (fst b)$

**shows** *oalist-inv-raw (map-pair f xs)*

*<proof>*

**lemma** *lookup-pair-map-pair:*

**assumes** *oalist-inv-raw xs* **and** *snd (f (k, 0)) = 0*

**and**  $\bigwedge a b. \text{comp } (fst (f a)) (fst (f b)) = \text{comp } (fst a) (fst b)$

**shows** *lookup-pair (map-pair f xs) (fst (f (k, v))) = snd (f (k, lookup-pair xs k))*

*<proof>*

**lemma** *lookup-dflt-map-pair*:

**assumes** *distinct* (*map fst xs*) **and**  $\text{snd } (f (k, 0)) = 0$

**and**  $\bigwedge a b. (\text{fst } (f a) = \text{fst } (f b)) \longleftrightarrow (\text{fst } a = \text{fst } b)$

**shows**  $\text{lookup-dflt } (\text{map-pair } f \text{ xs}) (\text{fst } (f (k, v))) = \text{snd } (f (k, \text{lookup-dflt } \text{xs } k))$

*<proof>*

**lemma** *distinct-map-pair*:

**assumes** *distinct* (*map fst xs*) **and**  $\bigwedge a b. \text{fst } (f a) = \text{fst } (f b) \implies \text{fst } a = \text{fst } b$

**shows** *distinct* (*map fst (map-pair f xs)*)

*<proof>*

**lemma** *map-val-pair-cong*:

**assumes**  $\bigwedge k v. (k, v) \in \text{set } \text{xs} \implies f k v = g k v$

**shows**  $\text{map-val-pair } f \text{ xs} = \text{map-val-pair } g \text{ xs}$

*<proof>*

**lemma** *oalist-inv-raw-map-val-pair*:

**assumes** *oalist-inv-raw xs*

**shows** *oalist-inv-raw* (*map-val-pair f xs*)

*<proof>*

**lemma** *lookup-pair-map-val-pair*:

**assumes** *oalist-inv-raw xs* **and**  $f k 0 = 0$

**shows**  $\text{lookup-pair } (\text{map-val-pair } f \text{ xs}) k = f k (\text{lookup-pair } \text{xs } k)$

*<proof>*

**lemma** *map-pair-id*:

**assumes** *oalist-inv-raw xs*

**shows** *map-pair id xs* = *xs*

*<proof>*

#### 12.4.5 *map2-val-pair*

**definition** *map2-val-compat* ::  $((\text{'a} \times \text{'b}::\text{zero}) \text{ list} \implies (\text{'a} \times \text{'c}::\text{zero}) \text{ list}) \implies \text{bool}$

**where**  $\text{map2-val-compat } f \longleftrightarrow (\forall \text{zs}. (\text{oalist-inv-raw } \text{zs} \longrightarrow (\text{oalist-inv-raw } (f \text{ zs}) \wedge \text{fst } \text{'set } (f \text{ zs}) \subseteq \text{fst } \text{'set } \text{zs})))$

**lemma** *map2-val-compatI*:

**assumes**  $\bigwedge \text{zs}. \text{oalist-inv-raw } \text{zs} \implies \text{oalist-inv-raw } (f \text{ zs})$

**and**  $\bigwedge \text{zs}. \text{oalist-inv-raw } \text{zs} \implies \text{fst } \text{'set } (f \text{ zs}) \subseteq \text{fst } \text{'set } \text{zs}$

**shows** *map2-val-compat f*

*<proof>*

**lemma** *map2-val-compatD1*:

**assumes** *map2-val-compat f* **and** *oalist-inv-raw zs*

**shows** *oalist-inv-raw* (*f zs*)

*<proof>*

**lemma** *map2-val-compatD2*:

**assumes** *map2-val-compat f* **and** *oalist-inv-raw zs*

**shows**  $\text{fst } \text{' set } (f \text{ zs}) \subseteq \text{fst } \text{' set } \text{zs}$

*<proof>*

**lemma** *map2-val-compat-Nil*:

**assumes** *map2-val-compat (f::('a × 'b::zero) list ⇒ ('a × 'c::zero) list)*

**shows**  $f [] = []$

*<proof>*

**lemma** *map2-val-compat-id*: *map2-val-compat id*

*<proof>*

**lemma** *map2-val-compat-map-val-pair*: *map2-val-compat (map-val-pair f)*

*<proof>*

**lemma** *fst-map2-val-pair-subset*:

**assumes** *oalist-inv-raw xs* **and** *oalist-inv-raw ys*

**assumes** *map2-val-compat g* **and** *map2-val-compat h*

**shows**  $\text{fst } \text{' set } (\text{map2-val-pair } f \text{ g } h \text{ xs } \text{ys}) \subseteq \text{fst } \text{' set } \text{xs} \cup \text{fst } \text{' set } \text{ys}$

*<proof>*

**lemma** *oalist-inv-raw-map2-val-pair*:

**assumes** *oalist-inv-raw xs* **and** *oalist-inv-raw ys*

**assumes** *map2-val-compat g* **and** *map2-val-compat h*

**shows** *oalist-inv-raw (map2-val-pair f g h xs ys)*

*<proof>*

**lemma** *lookup-pair-map2-val-pair*:

**assumes** *oalist-inv-raw xs* **and** *oalist-inv-raw ys*

**assumes** *map2-val-compat g* **and** *map2-val-compat h*

**assumes**  $\bigwedge \text{zs. } \text{oalist-inv-raw } \text{zs} \implies g \text{ zs} = \text{map-val-pair } (\lambda k \text{ v. } f \text{ k } v \ 0) \ \text{zs}$

**and**  $\bigwedge \text{zs. } \text{oalist-inv-raw } \text{zs} \implies h \ \text{zs} = \text{map-val-pair } (\lambda k. f \text{ k } 0) \ \text{zs}$

**and**  $\bigwedge k. f \text{ k } 0 \ 0 = 0$

**shows**  $\text{lookup-pair } (\text{map2-val-pair } f \text{ g } h \ \text{xs } \ \text{ys}) \ \text{k0} = f \ \text{k0} \ (\text{lookup-pair } \ \text{xs } \ \text{k0})$

*(lookup-pair ys k0)*

*<proof>*

**lemma** *map2-val-pair-singleton-eq-update-by-fun-pair*:

**assumes** *oalist-inv-raw xs*

**assumes**  $\bigwedge k \ x. f \text{ k } x \ 0 = x$  **and**  $\bigwedge \text{zs. } \text{oalist-inv-raw } \ \text{zs} \implies g \ \text{zs} = \ \text{zs}$

**and**  $h \ [(k, v)] = \text{map-val-pair } (\lambda k. f \text{ k } 0) \ [(k, v)]$

**shows**  $\text{map2-val-pair } f \text{ g } h \ \text{xs} \ [(k, v)] = \text{update-by-fun-pair } k \ (\lambda x. f \text{ k } x \ v) \ \text{xs}$

*<proof>*

#### 12.4.6 *lex-ord-pair*

**lemma** *lex-ord-pair-EqI*:

**assumes** *oalist-inv-raw xs* **and** *oalist-inv-raw ys*

**and**  $\bigwedge k. k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k) = \text{Some } Eq$   
**shows**  $\text{lex-ord-pair } f xs ys = \text{Some } Eq$   
 $\langle \text{proof} \rangle$

**lemma** *lex-ord-pair-valI*:

**assumes** *oalist-inv-raw* *xs* **and** *oalist-inv-raw* *ys* **and**  $aux \neq \text{Some } Eq$   
**assumes**  $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$  **and**  $aux = f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$   
**and**  $\bigwedge k'. k' \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies \text{lt } k' k \implies$   
 $f k' (\text{lookup-pair } xs k') (\text{lookup-pair } ys k') = \text{Some } Eq$   
**shows**  $\text{lex-ord-pair } f xs ys = aux$   
 $\langle \text{proof} \rangle$

**lemma** *lex-ord-pair-EqD*:

**assumes** *oalist-inv-raw* *xs* **and** *oalist-inv-raw* *ys* **and**  $\text{lex-ord-pair } f xs ys = \text{Some } Eq$   
**and**  $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$   
**shows**  $f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k) = \text{Some } Eq$   
 $\langle \text{proof} \rangle$

**lemma** *lex-ord-pair-valE*:

**assumes** *oalist-inv-raw* *xs* **and** *oalist-inv-raw* *ys* **and**  $\text{lex-ord-pair } f xs ys = aux$   
**and**  $aux \neq \text{Some } Eq$   
**obtains** *k* **where**  $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$  **and**  $aux = f k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$   
**and**  $\bigwedge k'. k' \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies \text{lt } k' k \implies$   
 $f k' (\text{lookup-pair } xs k') (\text{lookup-pair } ys k') = \text{Some } Eq$   
 $\langle \text{proof} \rangle$

#### 12.4.7 prod-ord-pair

**lemma** *prod-ord-pair-eq-lex-ord-pair*:

$\text{prod-ord-pair } P xs ys = (\text{lex-ord-pair } (\lambda k x y. \text{if } P k x y \text{ then } \text{Some } Eq \text{ else } \text{None}) xs ys = \text{Some } Eq)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-ord-pairI*:

**assumes** *oalist-inv-raw* *xs* **and** *oalist-inv-raw* *ys*  
**and**  $\bigwedge k. k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys \implies P k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$   
**shows**  $\text{prod-ord-pair } P xs ys$   
 $\langle \text{proof} \rangle$

**lemma** *prod-ord-pairD*:

**assumes** *oalist-inv-raw* *xs* **and** *oalist-inv-raw* *ys* **and**  $\text{prod-ord-pair } P xs ys$   
**and**  $k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$   
**shows**  $P k (\text{lookup-pair } xs k) (\text{lookup-pair } ys k)$   
 $\langle \text{proof} \rangle$

**corollary** *prod-ord-pair-alt*:

**assumes** *oalist-inv-raw xs* **and** *oalist-inv-raw ys*  
**shows**  $(\text{prod-ord-pair } P \text{ } xs \text{ } ys) \longleftrightarrow (\forall k \in \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys. P \text{ } k \text{ } (\text{lookup-pair } xs \text{ } k) \text{ } (\text{lookup-pair } ys \text{ } k))$   
*<proof>*

#### 12.4.8 *sort-oalist*

**lemma** *oalist-inv-raw-foldr-update-by-pair*:

**assumes** *oalist-inv-raw ys*  
**shows** *oalist-inv-raw (foldr update-by-pair xs ys)*  
*<proof>*

**corollary** *oalist-inv-raw-sort-oalist*: *oalist-inv-raw (sort-oalist xs)*

*<proof>*

**lemma** *sort-oalist-id*:

**assumes** *oalist-inv-raw xs*  
**shows** *sort-oalist xs = xs*  
*<proof>*

**lemma** *set-sort-oalist*:

**assumes** *distinct (map fst xs)*  
**shows**  $\text{set } (\text{sort-oalist } xs) = \{kv. kv \in \text{set } xs \wedge \text{snd } kv \neq 0\}$   
*<proof>*

**lemma** *lookup-pair-sort-oalist'*:

**assumes** *distinct (map fst xs)*  
**shows** *lookup-pair (sort-oalist xs) = lookup-dflt xs*  
*<proof>*

**end**

**locale** *comparator2 = comparator comp1 + cmp2*: *comparator comp2 for comp1*  
*comp2 :: 'a comparator*

**begin**

**lemma** *set-sort-oalist*:

**assumes** *cmp2.oalist-inv-raw xs*  
**shows** *set (sort-oalist xs) = set xs*  
*<proof>*

**lemma** *lookup-pair-eqI*:

**assumes** *oalist-inv-raw xs* **and** *cmp2.oalist-inv-raw ys* **and** *set xs = set ys*  
**shows** *lookup-pair xs = cmp2.lookup-pair ys*  
*<proof>*

**corollary** *lookup-pair-sort-oalist*:

**assumes** *cmp2.oalist-inv-raw xs*  
**shows** *lookup-pair (sort-oalist xs) = cmp2.lookup-pair xs*  
*<proof>*

**end**

## 12.5 Invariant on Pairs

**type-synonym** *('a, 'b, 'c) oalist-raw = ('a × 'b) list × 'c*

**locale** *oalist-raw = fixes rep-key-order::'o ⇒ 'a key-order*  
**begin**

**sublocale** *comparator key-compare (rep-key-order x)*  
*<proof>*

**definition** *oalist-inv :: ('a, 'b::zero, 'o) oalist-raw ⇒ bool*  
**where** *oalist-inv xs ⟷ oalist-inv-raw (snd xs) (fst xs)*

**lemma** *oalist-inv-alt: oalist-inv (xs, ko) ⟷ oalist-inv-raw ko xs*  
*<proof>*

## 12.6 Operations on Raw Ordered Associative Lists

**fun** *sort-oalist-aux :: 'o ⇒ ('a, 'b, 'o) oalist-raw ⇒ ('a × 'b::zero) list*  
**where** *sort-oalist-aux ko (xs, ox) = (if ko = ox then xs else sort-oalist ko xs)*

**fun** *lookup-raw :: ('a, 'b, 'o) oalist-raw ⇒ 'a ⇒ 'b::zero*  
**where** *lookup-raw (xs, ko) = lookup-pair ko xs*

**definition** *sorted-domain-raw :: 'o ⇒ ('a, 'b::zero, 'o) oalist-raw ⇒ 'a list*  
**where** *sorted-domain-raw ko xs = map fst (sort-oalist-aux ko xs)*

**fun** *tl-raw :: ('a, 'b, 'o) oalist-raw ⇒ ('a, 'b::zero, 'o) oalist-raw*  
**where** *tl-raw (xs, ko) = (List.tl xs, ko)*

**fun** *min-key-val-raw :: 'o ⇒ ('a, 'b, 'o) oalist-raw ⇒ ('a × 'b::zero)*  
**where** *min-key-val-raw ko (xs, ox) =*  
*(if ko = ox then List.hd else min-list-param (λx y. le ko (fst x) (fst y))) xs*

**fun** *update-by-raw :: ('a × 'b) ⇒ ('a, 'b, 'o) oalist-raw ⇒ ('a, 'b::zero, 'o) oalist-raw*  
**where** *update-by-raw kv (xs, ko) = (update-by-pair ko kv xs, ko)*

**fun** *update-by-fun-raw :: 'a ⇒ ('b ⇒ 'b) ⇒ ('a, 'b, 'o) oalist-raw ⇒ ('a, 'b::zero, 'o) oalist-raw*  
**where** *update-by-fun-raw k f (xs, ko) = (update-by-fun-pair ko k f xs, ko)*

**fun** *update-by-fun-gr-raw :: 'a ⇒ ('b ⇒ 'b) ⇒ ('a, 'b, 'o) oalist-raw ⇒ ('a, 'b::zero, 'o) oalist-raw*  
**where** *update-by-fun-gr-raw k f (xs, ko) = (update-by-fun-gr-pair ko k f xs, ko)*

**fun** (in  $-$ ) *filter-raw* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('a list  $\times$  'b)  $\Rightarrow$  ('a list  $\times$  'b)  
**where** *filter-raw* P (xs, ko) = (filter P xs, ko)

**fun** (in  $-$ ) *map-raw* :: (('a  $\times$  'b)  $\Rightarrow$  ('a  $\times$  'c))  $\Rightarrow$  (('a  $\times$  'b::zero) list  $\times$  'd)  $\Rightarrow$  ('a  $\times$  'c::zero) list  $\times$  'd  
**where** *map-raw* f (xs, ko) = (map-pair f xs, ko)

**abbreviation** (in  $-$ ) *map-val-raw* f  $\equiv$  *map-raw* ( $\lambda(k, v). (k, f k v)$ )

**fun** *map2-val-raw* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c  $\Rightarrow$  'd)  $\Rightarrow$  (('a, 'b, 'o) *oalist-raw*  $\Rightarrow$  ('a, 'd, 'o) *oalist-raw*)  $\Rightarrow$   
('a, 'c, 'o) *oalist-raw*  $\Rightarrow$  ('a, 'd, 'o) *oalist-raw*)  $\Rightarrow$   
('a, 'b::zero, 'o) *oalist-raw*  $\Rightarrow$  ('a, 'c::zero, 'o) *oalist-raw*)  $\Rightarrow$   
('a, 'd::zero, 'o) *oalist-raw*  
**where** *map2-val-raw* f g h (xs, ox) ys =  
(map2-val-pair ox f ( $\lambda z s. \text{fst } (g (z s, ox))$ )) ( $\lambda z s. \text{fst } (h (z s, ox))$ )  
xs (sort-oalist-aux ox ys), ox)

**definition** *lex-ord-raw* :: 'o  $\Rightarrow$  ('a  $\Rightarrow$  (('b, 'c) *comp-opt*))  $\Rightarrow$   
(('a, 'b::zero, 'o) *oalist-raw*, ('a, 'c::zero, 'o) *oalist-raw*) *comp-opt*  
**where** *lex-ord-raw* ko f xs ys = *lex-ord-pair* ko f (sort-oalist-aux ko xs) (sort-oalist-aux ko ys)

**fun** *prod-ord-raw* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'b::zero, 'o) *oalist-raw*  $\Rightarrow$   
('a, 'c::zero, 'o) *oalist-raw*  $\Rightarrow$  bool  
**where** *prod-ord-raw* f (xs, ox) ys = *prod-ord-pair* ox f xs (sort-oalist-aux ox ys)

**fun** *oalist-eq-raw* :: ('a, 'b, 'o) *oalist-raw*  $\Rightarrow$  ('a, 'b::zero, 'o) *oalist-raw*  $\Rightarrow$  bool  
**where** *oalist-eq-raw* (xs, ox) ys = (xs = (sort-oalist-aux ox ys))

**fun** *sort-oalist-raw* :: ('a, 'b, 'o) *oalist-raw*  $\Rightarrow$  ('a, 'b::zero, 'o) *oalist-raw*  
**where** *sort-oalist-raw* (xs, ko) = (sort-oalist ko xs, ko)

### 12.6.1 *sort-oalist-aux*

**lemma** *set-sort-oalist-aux*:  
**assumes** *oalist-inv* xs  
**shows** set (sort-oalist-aux ko xs) = set (fst xs)  
<proof>

**lemma** *oalist-inv-raw-sort-oalist-aux*:  
**assumes** *oalist-inv* xs  
**shows** *oalist-inv-raw* ko (sort-oalist-aux ko xs)  
<proof>

**lemma** *oalist-inv-sort-oalist-aux*:  
**assumes** *oalist-inv* xs  
**shows** *oalist-inv* (sort-oalist-aux ko xs, ko)

*<proof>*

**lemma** *lookup-pair-sort-oalist-aux*:

**assumes** *oalist-inv xs*

**shows** *lookup-pair ko (sort-oalist-aux ko xs) = lookup-raw xs*

*<proof>*

### 12.6.2 *lookup-raw*

**lemma** *lookup-raw-eq-value*:

**assumes** *oalist-inv xs* **and**  $v \neq 0$

**shows** *lookup-raw xs k = v*  $\longleftrightarrow ((k, v) \in \text{set } (\text{fst } xs))$

*<proof>*

**lemma** *lookup-raw-eq-valueI*:

**assumes** *oalist-inv xs* **and**  $(k, v) \in \text{set } (\text{fst } xs)$

**shows** *lookup-raw xs k = v*

*<proof>*

**lemma** *lookup-raw-inj*:

**assumes** *oalist-inv (xs, ko)* **and** *oalist-inv (ys, ko)* **and** *lookup-raw (xs, ko) = lookup-raw (ys, ko)*

**shows**  $xs = ys$

*<proof>*

### 12.6.3 *sorted-domain-raw*

**lemma** *set-sorted-domain-raw*:

**assumes** *oalist-inv xs*

**shows**  $\text{set } (\text{sorted-domain-raw } ko \ xs) = \text{fst } ` \text{set } (\text{fst } xs)$

*<proof>*

**corollary** *in-sorted-domain-raw-iff-lookup-raw*:

**assumes** *oalist-inv xs*

**shows**  $k \in \text{set } (\text{sorted-domain-raw } ko \ xs) \longleftrightarrow (\text{lookup-raw } xs \ k \neq 0)$

*<proof>*

**lemma** *sorted-sorted-domain-raw*:

**assumes** *oalist-inv xs*

**shows** *sorted-wrt (lt-of-key-order (rep-key-order ko)) (sorted-domain-raw ko xs)*

*<proof>*

### 12.6.4 *tl-raw*

**lemma** *oalist-inv-tl-raw*:

**assumes** *oalist-inv xs*

**shows** *oalist-inv (tl-raw xs)*

*<proof>*

**lemma** *lookup-raw-tl-raw*:

**assumes** *oalist-inv xs*  
**shows** *lookup-raw (tl-raw xs) k =*  
*(if (∀ k' ∈ fst ' set (fst xs). le (snd xs) k k') then 0 else lookup-raw xs k)*  
 ⟨*proof*⟩

**lemma** *lookup-raw-tl-raw'*:  
**assumes** *oalist-inv xs*  
**shows** *lookup-raw (tl-raw xs) k = (if k = fst (List.hd (fst xs)) then 0 else*  
*lookup-raw xs k)*  
 ⟨*proof*⟩

### 12.6.5 *min-key-val-raw*

**lemma** *min-key-val-raw-alt*:  
**assumes** *oalist-inv xs and fst xs ≠ []*  
**shows** *min-key-val-raw ko xs = List.hd (sort-oalist-aux ko xs)*  
 ⟨*proof*⟩

**lemma** *min-key-val-raw-in*:  
**assumes** *fst xs ≠ []*  
**shows** *min-key-val-raw ko xs ∈ set (fst xs)*  
 ⟨*proof*⟩

**lemma** *snd-min-key-val-raw*:  
**assumes** *oalist-inv xs and fst xs ≠ []*  
**shows** *snd (min-key-val-raw ko xs) = lookup-raw xs (fst (min-key-val-raw ko xs))*  
 ⟨*proof*⟩

**lemma** *min-key-val-raw-minimal*:  
**assumes** *oalist-inv xs and z ∈ set (fst xs)*  
**shows** *le ko (fst (min-key-val-raw ko xs)) (fst z)*  
 ⟨*proof*⟩

### 12.6.6 *filter-raw*

**lemma** *oalist-inv-filter-raw*:  
**assumes** *oalist-inv xs*  
**shows** *oalist-inv (filter-raw P xs)*  
 ⟨*proof*⟩

**lemma** *lookup-raw-filter-raw*:  
**assumes** *oalist-inv xs*  
**shows** *lookup-raw (filter-raw P xs) k = (let v = lookup-raw xs k in if P (k, v)*  
*then v else 0)*  
 ⟨*proof*⟩

### 12.6.7 *update-by-raw*

**lemma** *oalist-inv-update-by-raw*:  
**assumes** *oalist-inv xs*

**shows** *oalist-inv* (*update-by-raw kv xs*)  
{*proof*}

**lemma** *lookup-raw-update-by-raw*:

**assumes** *oalist-inv xs*  
**shows** *lookup-raw* (*update-by-raw* (*k1, v*) *xs*) *k2* = (if *k1* = *k2* then *v* else  
*lookup-raw xs k2*)  
{*proof*}

### 12.6.8 *update-by-fun-raw* and *update-by-fun-gr-raw*

**lemma** *update-by-fun-raw-eq-update-by-raw*:

**assumes** *oalist-inv xs*  
**shows** *update-by-fun-raw k f xs* = *update-by-raw* (*k, f* (*lookup-raw xs k*)) *xs*  
{*proof*}

**corollary** *oalist-inv-update-by-fun-raw*:

**assumes** *oalist-inv xs*  
**shows** *oalist-inv* (*update-by-fun-raw k f xs*)  
{*proof*}

**corollary** *lookup-raw-update-by-fun-raw*:

**assumes** *oalist-inv xs*  
**shows** *lookup-raw* (*update-by-fun-raw k1 f xs*) *k2* = (if *k1* = *k2* then *f* else *id*)  
(*lookup-raw xs k2*)  
{*proof*}

**lemma** *update-by-fun-gr-raw-eq-update-by-fun-raw*:

**assumes** *oalist-inv xs*  
**shows** *update-by-fun-gr-raw k f xs* = *update-by-fun-raw k f xs*  
{*proof*}

**corollary** *oalist-inv-update-by-fun-gr-raw*:

**assumes** *oalist-inv xs*  
**shows** *oalist-inv* (*update-by-fun-gr-raw k f xs*)  
{*proof*}

**corollary** *lookup-raw-update-by-fun-gr-raw*:

**assumes** *oalist-inv xs*  
**shows** *lookup-raw* (*update-by-fun-gr-raw k1 f xs*) *k2* = (if *k1* = *k2* then *f* else *id*)  
(*lookup-raw xs k2*)  
{*proof*}

### 12.6.9 *map-raw* and *map-val-raw*

**lemma** *map-raw-cong*:

**assumes**  $\bigwedge kv. kv \in \text{set } (fst\ xs) \implies f\ kv = g\ kv$   
**shows** *map-raw f xs* = *map-raw g xs*  
{*proof*}

**lemma** *map-raw-subset*:  $set (fst (map-raw f xs)) \subseteq f \text{ ' } set (fst xs)$   
 <proof>

**lemma** *oalist-inv-map-raw*:

**assumes** *oalist-inv xs*  
**and**  $\bigwedge a b. key-compare (rep-key-order (snd xs)) (fst (f a)) (fst (f b)) =$   
 $key-compare (rep-key-order (snd xs)) (fst a) (fst b)$   
**shows** *oalist-inv (map-raw f xs)*  
 <proof>

**lemma** *lookup-raw-map-raw*:

**assumes** *oalist-inv xs* **and**  $snd (f (k, 0)) = 0$   
**and**  $\bigwedge a b. key-compare (rep-key-order (snd xs)) (fst (f a)) (fst (f b)) =$   
 $key-compare (rep-key-order (snd xs)) (fst a) (fst b)$   
**shows**  $lookup-raw (map-raw f xs) (fst (f (k, v))) = snd (f (k, lookup-raw xs k))$   
 <proof>

**lemma** *map-raw-id*:

**assumes** *oalist-inv xs*  
**shows**  $map-raw id xs = xs$   
 <proof>

**lemma** *map-val-raw-cong*:

**assumes**  $\bigwedge k v. (k, v) \in set (fst xs) \implies f k v = g k v$   
**shows**  $map-val-raw f xs = map-val-raw g xs$   
 <proof>

**lemma** *oalist-inv-map-val-raw*:

**assumes** *oalist-inv xs*  
**shows** *oalist-inv (map-val-raw f xs)*  
 <proof>

**lemma** *lookup-raw-map-val-raw*:

**assumes** *oalist-inv xs* **and**  $f k 0 = 0$   
**shows**  $lookup-raw (map-val-raw f xs) k = f k (lookup-raw xs k)$   
 <proof>

### 12.6.10 *map2-val-raw*

**definition** *map2-val-compat'* ::  $((\text{'a}, \text{'b}::\text{zero}, \text{'o}) \text{ oalist-raw} \Rightarrow (\text{'a}, \text{'c}::\text{zero}, \text{'o}) \text{ oalist-raw}) \Rightarrow \text{bool}$

**where**  $map2-val-compat' f \iff$   
 $(\forall zs. (oalist-inv zs \longrightarrow (oalist-inv (f zs) \wedge snd (f zs) = snd zs \wedge fst \text{ ' } set (fst (f zs)) \subseteq fst \text{ ' } set (fst zs))))$

**lemma** *map2-val-compat'I*:

**assumes**  $\bigwedge zs. oalist-inv zs \implies oalist-inv (f zs)$   
**and**  $\bigwedge zs. oalist-inv zs \implies snd (f zs) = snd zs$   
**and**  $\bigwedge zs. oalist-inv zs \implies fst \text{ ' } set (fst (f zs)) \subseteq fst \text{ ' } set (fst zs)$

**shows** *map2-val-compat'* *f*  
 ⟨*proof*⟩

**lemma** *map2-val-compat'D1*:  
**assumes** *map2-val-compat' f* **and** *oalist-inv zs*  
**shows** *oalist-inv (f zs)*  
 ⟨*proof*⟩

**lemma** *map2-val-compat'D2*:  
**assumes** *map2-val-compat' f* **and** *oalist-inv zs*  
**shows** *snd (f zs) = snd zs*  
 ⟨*proof*⟩

**lemma** *map2-val-compat'D3*:  
**assumes** *map2-val-compat' f* **and** *oalist-inv zs*  
**shows** *fst ' set (fst (f zs)) ⊆ fst ' set (fst zs)*  
 ⟨*proof*⟩

**lemma** *map2-val-compat'-map-val-raw*: *map2-val-compat' (map-val-raw f)*  
 ⟨*proof*⟩

**lemma** *map2-val-compat'-id*: *map2-val-compat' id*  
 ⟨*proof*⟩

**lemma** *map2-val-compat'-imp-map2-val-compat*:  
**assumes** *map2-val-compat' g*  
**shows** *map2-val-compat ko (λzs. fst (g (zs, ko)))*  
 ⟨*proof*⟩

**lemma** *oalist-inv-map2-val-raw*:  
**assumes** *oalist-inv xs* **and** *oalist-inv ys*  
**assumes** *map2-val-compat' g* **and** *map2-val-compat' h*  
**shows** *oalist-inv (map2-val-raw f g h xs ys)*  
 ⟨*proof*⟩

**lemma** *lookup-raw-map2-val-raw*:  
**assumes** *oalist-inv xs* **and** *oalist-inv ys*  
**assumes** *map2-val-compat' g* **and** *map2-val-compat' h*  
**assumes**  $\bigwedge zs. \text{oalist-inv } zs \implies g \text{ } zs = \text{map-val-raw } (\lambda k \ v. \ f \ k \ v \ 0) \ zs$   
**and**  $\bigwedge zs. \text{oalist-inv } zs \implies h \text{ } zs = \text{map-val-raw } (\lambda k. \ f \ k \ 0) \ zs$   
**and**  $\bigwedge k. \ f \ k \ 0 \ 0 = 0$   
**shows** *lookup-raw (map2-val-raw f g h xs ys) k0 = f k0 (lookup-raw xs k0)*  
 (*lookup-raw ys k0*)  
 ⟨*proof*⟩

**lemma** *map2-val-raw-singleton-eq-update-by-fun-raw*:  
**assumes** *oalist-inv xs*  
**assumes**  $\bigwedge k \ x. \ f \ k \ x \ 0 = x$  **and**  $\bigwedge zs. \text{oalist-inv } zs \implies g \text{ } zs = zs$   
**and**  $\bigwedge ko. \ h \ ([k, v], ko) = \text{map-val-raw } (\lambda k. \ f \ k \ 0) \ ([k, v], ko)$

**shows**  $\text{map2-val-raw } f \ g \ h \ xs \ ([ (k, v) ], ko) = \text{update-by-fun-raw } k \ (\lambda x. f \ k \ x \ v) \ xs$   
 ⟨proof⟩

### 12.6.11 *lex-ord-raw*

**lemma** *lex-ord-raw-EqI*:

**assumes** *oalist-inv xs* **and** *oalist-inv ys*  
**and**  $\bigwedge k. k \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys) \implies f \ k \ (\text{lookup-raw } xs \ k)$   
 $(\text{lookup-raw } ys \ k) = \text{Some } Eq$   
**shows**  $\text{lex-ord-raw } ko \ f \ xs \ ys = \text{Some } Eq$   
 ⟨proof⟩

**lemma** *lex-ord-raw-valI*:

**assumes** *oalist-inv xs* **and** *oalist-inv ys* **and**  $aux \neq \text{Some } Eq$   
**assumes**  $k \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys)$  **and**  $aux = f \ k \ (\text{lookup-raw } xs \ k)$   
 $(\text{lookup-raw } ys \ k)$   
**and**  $\bigwedge k'. k' \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys) \implies lt \ ko \ k' \ k \implies$   
 $f \ k' \ (\text{lookup-raw } xs \ k') \ (\text{lookup-raw } ys \ k') = \text{Some } Eq$   
**shows**  $\text{lex-ord-raw } ko \ f \ xs \ ys = aux$   
 ⟨proof⟩

**lemma** *lex-ord-raw-EqD*:

**assumes** *oalist-inv xs* **and** *oalist-inv ys* **and**  $\text{lex-ord-raw } ko \ f \ xs \ ys = \text{Some } Eq$   
**and**  $k \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys)$   
**shows**  $f \ k \ (\text{lookup-raw } xs \ k) \ (\text{lookup-raw } ys \ k) = \text{Some } Eq$   
 ⟨proof⟩

**lemma** *lex-ord-raw-valE*:

**assumes** *oalist-inv xs* **and** *oalist-inv ys* **and**  $\text{lex-ord-raw } ko \ f \ xs \ ys = aux$   
**and**  $aux \neq \text{Some } Eq$   
**obtains**  $k$  **where**  $k \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys)$   
**and**  $aux = f \ k \ (\text{lookup-raw } xs \ k) \ (\text{lookup-raw } ys \ k)$   
**and**  $\bigwedge k'. k' \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys) \implies lt \ ko \ k' \ k \implies$   
 $f \ k' \ (\text{lookup-raw } xs \ k') \ (\text{lookup-raw } ys \ k') = \text{Some } Eq$   
 ⟨proof⟩

### 12.6.12 *prod-ord-raw*

**lemma** *prod-ord-rawI*:

**assumes** *oalist-inv xs* **and** *oalist-inv ys*  
**and**  $\bigwedge k. k \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys) \implies P \ k \ (\text{lookup-raw } xs \ k)$   
 $(\text{lookup-raw } ys \ k)$   
**shows**  $\text{prod-ord-raw } P \ xs \ ys$   
 ⟨proof⟩

**lemma** *prod-ord-rawD*:

**assumes** *oalist-inv xs* **and** *oalist-inv ys* **and**  $\text{prod-ord-raw } P \ xs \ ys$   
**and**  $k \in \text{fst ' set } (fst \ xs) \cup \text{fst ' set } (fst \ ys)$   
**shows**  $P \ k \ (\text{lookup-raw } xs \ k) \ (\text{lookup-raw } ys \ k)$   
 ⟨proof⟩

**corollary** *prod-ord-raw-alt*:

**assumes** *oalist-inv xs and oalist-inv ys*  
**shows** *prod-ord-raw P xs ys  $\longleftrightarrow$*   
 $(\forall k \in \text{fst } ' \text{set } (\text{fst } xs) \cup \text{fst } ' \text{set } (\text{fst } ys). P k (\text{lookup-raw } xs k) (\text{lookup-raw } ys k))$   
*<proof>*

### 12.6.13 *oalist-eq-raw*

**lemma** *oalist-eq-rawI*:

**assumes** *oalist-inv xs and oalist-inv ys*  
**and**  $\bigwedge k. k \in \text{fst } ' \text{set } (\text{fst } xs) \cup \text{fst } ' \text{set } (\text{fst } ys) \implies \text{lookup-raw } xs k = \text{lookup-raw } ys k$   
**shows** *oalist-eq-raw xs ys*  
*<proof>*

**lemma** *oalist-eq-rawD*:

**assumes** *oalist-inv ys and oalist-eq-raw xs ys*  
**shows** *lookup-raw xs = lookup-raw ys*  
*<proof>*

**lemma** *oalist-eq-raw-alt*:

**assumes** *oalist-inv xs and oalist-inv ys*  
**shows** *oalist-eq-raw xs ys  $\longleftrightarrow$  (lookup-raw xs = lookup-raw ys)*  
*<proof>*

### 12.6.14 *sort-oalist-raw*

**lemma** *oalist-inv-sort-oalist-raw*: *oalist-inv (sort-oalist-raw xs)*  
*<proof>*

**lemma** *sort-oalist-raw-id*:

**assumes** *oalist-inv xs*  
**shows** *sort-oalist-raw xs = xs*  
*<proof>*

**lemma** *set-sort-oalist-raw*:

**assumes** *distinct (map fst (fst xs))*  
**shows**  $\text{set } (\text{fst } (\text{sort-oalist-raw } xs)) = \{kv. kv \in \text{set } (\text{fst } xs) \wedge \text{snd } kv \neq 0\}$   
*<proof>*

**end**

## 12.7 Fundamental Operations on One List

**locale** *oalist-abstract = oalist-raw rep-key-order for rep-key-order::'o  $\Rightarrow$  'a key-order*  
**+**  
**fixes** *list-of-oalist :: 'x  $\Rightarrow$  ('a, 'b::zero, 'o) oalist-raw*  
**fixes** *oalist-of-list :: ('a, 'b, 'o) oalist-raw  $\Rightarrow$  'x*

**assumes** *oalist-inv-list-of-oalist*: *oalist-inv* (*list-of-oalist* *x*)  
**and** *list-of-oalist-of-list*: *list-of-oalist* (*oalist-of-list* *xs*) = *sort-oalist-raw* *xs*  
**and** *oalist-of-list-of-oalist*: *oalist-of-list* (*list-of-oalist* *x*) = *x*  
**begin**

**lemma** *list-of-oalist-of-list-id*:  
**assumes** *oalist-inv* *xs*  
**shows** *list-of-oalist* (*oalist-of-list* *xs*) = *xs*  
*<proof>*

**definition** *lookup* :: '*x* ⇒ '*a* ⇒ '*b*  
**where** *lookup* *xs* = *lookup-raw* (*list-of-oalist* *xs*)

**definition** *sorted-domain* :: '*o* ⇒ '*x* ⇒ '*a* *list*  
**where** *sorted-domain* *ko* *xs* = *sorted-domain-raw* *ko* (*list-of-oalist* *xs*)

**definition** *empty* :: '*o* ⇒ '*x*  
**where** *empty* *ko* = *oalist-of-list* ([], *ko*)

**definition** *reorder* :: '*o* ⇒ '*x* ⇒ '*x*  
**where** *reorder* *ko* *xs* = *oalist-of-list* (*sort-oalist-aux* *ko* (*list-of-oalist* *xs*), *ko*)

**definition** *tl* :: '*x* ⇒ '*x*  
**where** *tl* *xs* = *oalist-of-list* (*tl-raw* (*list-of-oalist* *xs*))

**definition** *hd* :: '*x* ⇒ ('*a* × '*b*)  
**where** *hd* *xs* = *List.hd* (*fst* (*list-of-oalist* *xs*))

**definition** *except-min* :: '*o* ⇒ '*x* ⇒ '*x*  
**where** *except-min* *ko* *xs* = *tl* (*reorder* *ko* *xs*)

**definition** *min-key-val* :: '*o* ⇒ '*x* ⇒ ('*a* × '*b*)  
**where** *min-key-val* *ko* *xs* = *min-key-val-raw* *ko* (*list-of-oalist* *xs*)

**definition** *insert* :: ('*a* × '*b*) ⇒ '*x* ⇒ '*x*  
**where** *insert* *x* *xs* = *oalist-of-list* (*update-by-raw* *x* (*list-of-oalist* *xs*))

**definition** *update-by-fun* :: '*a* ⇒ ('*b* ⇒ '*b*) ⇒ '*x* ⇒ '*x*  
**where** *update-by-fun* *k* *f* *xs* = *oalist-of-list* (*update-by-fun-raw* *k* *f* (*list-of-oalist* *xs*))

**definition** *update-by-fun-gr* :: '*a* ⇒ ('*b* ⇒ '*b*) ⇒ '*x* ⇒ '*x*  
**where** *update-by-fun-gr* *k* *f* *xs* = *oalist-of-list* (*update-by-fun-gr-raw* *k* *f* (*list-of-oalist* *xs*))

**definition** *filter* :: (('*a* × '*b*) ⇒ *bool*) ⇒ '*x* ⇒ '*x*  
**where** *filter* *P* *xs* = *oalist-of-list* (*filter-raw* *P* (*list-of-oalist* *xs*))

**definition** *map2-val-neutr* :: ('*a* ⇒ '*b* ⇒ '*b* ⇒ '*b*) ⇒ '*x* ⇒ '*x* ⇒ '*x*

**where**  $\text{map2-val-neutr } f \text{ } xs \text{ } ys = \text{oalist-of-list } (\text{map2-val-raw } f \text{ id id } (\text{list-of-oalist } xs) (\text{list-of-oalist } ys))$

**definition**  $\text{oalist-eq} :: 'x \Rightarrow 'x \Rightarrow \text{bool}$

**where**  $\text{oalist-eq } xs \text{ } ys = \text{oalist-eq-raw } (\text{list-of-oalist } xs) (\text{list-of-oalist } ys)$

### 12.7.1 Invariant

**lemma**  $\text{zero-notin-list-of-oalist}: 0 \notin \text{snd } ' \text{set } (\text{fst } (\text{list-of-oalist } xs))$   
*<proof>*

**lemma**  $\text{list-of-oalist-sorted}: \text{sorted-wrt } (\text{lt } (\text{snd } (\text{list-of-oalist } xs))) (\text{map } \text{fst } (\text{fst } (\text{list-of-oalist } xs)))$   
*<proof>*

### 12.7.2 lookup

**lemma**  $\text{lookup-eq-value}: v \neq 0 \implies \text{lookup } xs \text{ } k = v \longleftrightarrow ((k, v) \in \text{set } (\text{fst } (\text{list-of-oalist } xs)))$   
*<proof>*

**lemma**  $\text{lookup-eq-valueI}: (k, v) \in \text{set } (\text{fst } (\text{list-of-oalist } xs)) \implies \text{lookup } xs \text{ } k = v$   
*<proof>*

**lemma**  $\text{lookup-oalist-of-list}: \text{distinct } (\text{map } \text{fst } xs) \implies \text{lookup } (\text{oalist-of-list } (xs, ko)) = \text{lookup-dflt } xs$   
*<proof>*

### 12.7.3 sorted-domain

**lemma**  $\text{set-sorted-domain}: \text{set } (\text{sorted-domain } ko \text{ } xs) = \text{fst } ' \text{set } (\text{fst } (\text{list-of-oalist } xs))$   
*<proof>*

**lemma**  $\text{in-sorted-domain-iff-lookup}: k \in \text{set } (\text{sorted-domain } ko \text{ } xs) \longleftrightarrow (\text{lookup } xs \text{ } k \neq 0)$   
*<proof>*

**lemma**  $\text{sorted-sorted-domain}: \text{sorted-wrt } (\text{lt } ko) (\text{sorted-domain } ko \text{ } xs)$   
*<proof>*

### 12.7.4 local.empty and Singletons

**lemma**  $\text{list-of-oalist-empty}$  [*simp, code abstract*]:  $\text{list-of-oalist } (\text{empty } ko) = ([], ko)$   
*<proof>*

**lemma**  $\text{lookup-empty}: \text{lookup } (\text{empty } ko) \text{ } k = 0$   
*<proof>*

**lemma**  $\text{lookup-oalist-of-list-single}$ :

$lookup\ (oalist\text{-of}\text{-list}\ ((k, v), ko))\ k' = (if\ k = k'\ then\ v\ else\ 0)$   
 $\langle proof \rangle$

### 12.7.5 reorder

**lemma** *list-of-oalist-reorder* [simp, code abstract]:

$list\text{-of}\text{-oalist}\ (reorder\ ko\ xs) = (sort\text{-oalist}\text{-aux}\ ko\ (list\text{-of}\text{-oalist}\ xs), ko)$   
 $\langle proof \rangle$

**lemma** *lookup-reorder*:  $lookup\ (reorder\ ko\ xs)\ k = lookup\ xs\ k$

$\langle proof \rangle$

### 12.7.6 local.hd and local.tl

**lemma** *list-of-oalist-tl* [simp, code abstract]:  $list\text{-of}\text{-oalist}\ (tl\ xs) = tl\text{-raw}\ (list\text{-of}\text{-oalist}\ xs)$

$\langle proof \rangle$

**lemma** *lookup-tl*:

$lookup\ (tl\ xs)\ k =$   
 $(if\ (\forall k' \in fst\ `set\ (fst\ (list\text{-of}\text{-oalist}\ xs)).\ le\ (snd\ (list\text{-of}\text{-oalist}\ xs))\ k\ k'\ then$   
 $0\ else\ lookup\ xs\ k)$   
 $\langle proof \rangle$

**lemma** *hd-in*:

**assumes**  $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$   
**shows**  $hd\ xs \in set\ (fst\ (list\text{-of}\text{-oalist}\ xs))$   
 $\langle proof \rangle$

**lemma** *snd-hd*:

**assumes**  $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$   
**shows**  $snd\ (hd\ xs) = lookup\ xs\ (fst\ (hd\ xs))$   
 $\langle proof \rangle$

**lemma** *lookup-tl'*:  $lookup\ (tl\ xs)\ k = (if\ k = fst\ (hd\ xs)\ then\ 0\ else\ lookup\ xs\ k)$

$\langle proof \rangle$

**lemma** *hd-tl*:

**assumes**  $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$   
**shows**  $list\text{-of}\text{-oalist}\ xs = ((hd\ xs) \# (fst\ (list\text{-of}\text{-oalist}\ (tl\ xs))), snd\ (list\text{-of}\text{-oalist}\ (tl\ xs)))$   
 $\langle proof \rangle$

### 12.7.7 min-key-val

**lemma** *min-key-val-alt*:

**assumes**  $fst\ (list\text{-of}\text{-oalist}\ xs) \neq []$   
**shows**  $min\text{-key}\text{-val}\ ko\ xs = hd\ (reorder\ ko\ xs)$   
 $\langle proof \rangle$

**lemma** *min-key-val-in*:

**assumes**  $\text{fst } (\text{list-of-oalist } xs) \neq []$

**shows**  $\text{min-key-val } ko\ xs \in \text{set } (\text{fst } (\text{list-of-oalist } xs))$

*<proof>*

**lemma** *snd-min-key-val*:

**assumes**  $\text{fst } (\text{list-of-oalist } xs) \neq []$

**shows**  $\text{snd } (\text{min-key-val } ko\ xs) = \text{lookup } xs\ (\text{fst } (\text{min-key-val } ko\ xs))$

*<proof>*

**lemma** *min-key-val-minimal*:

**assumes**  $z \in \text{set } (\text{fst } (\text{list-of-oalist } xs))$

**shows**  $\text{le } ko\ (\text{fst } (\text{min-key-val } ko\ xs))\ (\text{fst } z)$

*<proof>*

### 12.7.8 *except-min*

**lemma** *list-of-oalist-except-min* [*simp, code abstract*]:

$\text{list-of-oalist } (\text{except-min } ko\ xs) = (\text{List.tl } (\text{sort-oalist-aux } ko\ (\text{list-of-oalist } xs)), ko)$

*<proof>*

**lemma** *except-min-Nil*:

**assumes**  $\text{fst } (\text{list-of-oalist } xs) = []$

**shows**  $\text{fst } (\text{list-of-oalist } (\text{except-min } ko\ xs)) = []$

*<proof>*

**lemma** *lookup-except-min*:

$\text{lookup } (\text{except-min } ko\ xs)\ k =$

$(\text{if } (\forall k' \in \text{fst } (\text{set } (\text{fst } (\text{list-of-oalist } xs))). \text{le } ko\ k\ k') \text{ then } 0 \text{ else } \text{lookup } xs\ k)$

*<proof>*

**lemma** *lookup-except-min'*:

$\text{lookup } (\text{except-min } ko\ xs)\ k = (\text{if } k = \text{fst } (\text{min-key-val } ko\ xs) \text{ then } 0 \text{ else } \text{lookup } xs\ k)$

*<proof>*

### 12.7.9 *local.insert*

**lemma** *list-of-oalist-insert* [*simp, code abstract*]:

$\text{list-of-oalist } (\text{insert } x\ xs) = \text{update-by-raw } x\ (\text{list-of-oalist } xs)$

*<proof>*

**lemma** *lookup-insert*:  $\text{lookup } (\text{insert } (k, v)\ xs)\ k' = (\text{if } k = k' \text{ then } v \text{ else } \text{lookup } xs\ k')$

*<proof>*

### 12.7.10 *update-by-fun and update-by-fun-gr*

**lemma** *list-of-oalist-update-by-fun* [*simp, code abstract*]:

$list-of-oalist (update-by-fun k f xs) = update-by-fun-raw k f (list-of-oalist xs)$   
 $\langle proof \rangle$

**lemma** *lookup-update-by-fun*:

$lookup (update-by-fun k f xs) k' = (if k = k' then f else id) (lookup xs k')$   
 $\langle proof \rangle$

**lemma** *list-of-oalist-update-by-fun-gr* [*simp, code abstract*]:

$list-of-oalist (update-by-fun-gr k f xs) = update-by-fun-gr-raw k f (list-of-oalist xs)$   
 $\langle proof \rangle$

**lemma** *update-by-fun-gr-eq-update-by-fun*:  $update-by-fun-gr = update-by-fun$

$\langle proof \rangle$

### 12.7.11 *local.filter*

**lemma** *list-of-oalist-filter* [*simp, code abstract*]:

$list-of-oalist (filter P xs) = filter-raw P (list-of-oalist xs)$   
 $\langle proof \rangle$

**lemma** *lookup-filter*:  $lookup (filter P xs) k = (let v = lookup xs k in if P (k, v) then v else 0)$

$\langle proof \rangle$

### 12.7.12 *map2-val-neutr*

**lemma** *list-of-oalist-map2-val-neutr* [*simp, code abstract*]:

$list-of-oalist (map2-val-neutr f xs ys) = map2-val-raw f id id (list-of-oalist xs)$   
 $(list-of-oalist ys)$   
 $\langle proof \rangle$

**lemma** *lookup-map2-val-neutr*:

**assumes**  $\bigwedge k x. f k x 0 = x$  **and**  $\bigwedge k x. f k 0 x = x$

**shows**  $lookup (map2-val-neutr f xs ys) k = f k (lookup xs k) (lookup ys k)$

$\langle proof \rangle$

### 12.7.13 *oalist-eq*

**lemma** *oalist-eq-alt*:  $oalist-eq xs ys \iff (lookup xs = lookup ys)$

$\langle proof \rangle$

**end**

## 12.8 Fundamental Operations on Three Lists

**locale** *oalist-abstract3* =

*oalist-abstract rep-key-order list-of-oalistx oalist-of-listx +*  
*oay: oalist-abstract rep-key-order list-of-oalisty oalist-of-listy +*  
*oaz: oalist-abstract rep-key-order list-of-oalistz oalist-of-listz*  
**for** *rep-key-order* :: 'o  $\Rightarrow$  'a *key-order*

**and** *list-of-oalistx* :: 'x ⇒ ('a, 'b::zero, 'o) oalist-raw  
**and** *oalist-of-listx* :: ('a, 'b, 'o) oalist-raw ⇒ 'x  
**and** *list-of-oalisty* :: 'y ⇒ ('a, 'c::zero, 'o) oalist-raw  
**and** *oalist-of-listy* :: ('a, 'c, 'o) oalist-raw ⇒ 'y  
**and** *list-of-oalistz* :: 'z ⇒ ('a, 'd::zero, 'o) oalist-raw  
**and** *oalist-of-listz* :: ('a, 'd, 'o) oalist-raw ⇒ 'z  
**begin**

**definition** *map-val* :: ('a ⇒ 'b ⇒ 'c) ⇒ 'x ⇒ 'y  
**where** *map-val* f xs = *oalist-of-listy* (*map-val-raw* f (*list-of-oalistx* xs))

**definition** *map2-val* :: ('a ⇒ 'b ⇒ 'c ⇒ 'd) ⇒ 'x ⇒ 'y ⇒ 'z  
**where** *map2-val* f xs ys =  
*oalist-of-listz* (*map2-val-raw* f (*map-val-raw* (λk b. f k b 0)) (*map-val-raw*  
(λk. f k 0))  
(*list-of-oalistx* xs) (*list-of-oalisty* ys))

**definition** *map2-val-rneutr* :: ('a ⇒ 'b ⇒ 'c ⇒ 'b) ⇒ 'x ⇒ 'y ⇒ 'x  
**where** *map2-val-rneutr* f xs ys =  
*oalist-of-listx* (*map2-val-raw* f *id* (*map-val-raw* (λk. f k 0)) (*list-of-oalistx*  
xs) (*list-of-oalisty* ys))

**definition** *lex-ord* :: 'o ⇒ ('a ⇒ ('b, 'c) comp-opt) ⇒ ('x, 'y) comp-opt  
**where** *lex-ord* ko f xs ys = *lex-ord-raw* ko f (*list-of-oalistx* xs) (*list-of-oalisty* ys)

**definition** *prod-ord* :: ('a ⇒ 'b ⇒ 'c ⇒ bool) ⇒ 'x ⇒ 'y ⇒ bool  
**where** *prod-ord* f xs ys = *prod-ord-raw* f (*list-of-oalistx* xs) (*list-of-oalisty* ys)

### 12.8.1 *map-val*

**lemma** *map-val-cong*:  
**assumes** ∧k v. (k, v) ∈ set (fst (*list-of-oalistx* xs)) ⇒ f k v = g k v  
**shows** *map-val* f xs = *map-val* g xs  
⟨*proof*⟩

**lemma** *list-of-oalist-map-val* [*simp*, *code abstract*]:  
*list-of-oalisty* (*map-val* f xs) = *map-val-raw* f (*list-of-oalistx* xs)  
⟨*proof*⟩

**lemma** *lookup-map-val*: f k 0 = 0 ⇒ *oay.lookup* (*map-val* f xs) k = f k (*lookup*  
xs k)  
⟨*proof*⟩

### 12.8.2 *map2-val* and *map2-val-rneutr*

**lemma** *list-of-oalist-map2-val* [*simp*, *code abstract*]:  
*list-of-oalistz* (*map2-val* f xs ys) =  
*map2-val-raw* f (*map-val-raw* (λk b. f k b 0)) (*map-val-raw* (λk. f k 0))  
(*list-of-oalistx* xs) (*list-of-oalisty* ys)  
⟨*proof*⟩

**lemma** *list-of-oalist-map2-val-rneutr* [*simp, code abstract*]:  
 $list\text{-of-oalist}x (map2\text{-val-rneutr } f \text{ } xs \text{ } ys) =$   
 $map2\text{-val-raw } f \text{ } id (map\text{-val-raw } (\lambda k \text{ } c. f \text{ } k \text{ } 0 \text{ } c)) (list\text{-of-oalist}x \text{ } xs) (list\text{-of-oalist}y$   
 $ys)$   
 $\langle proof \rangle$

**lemma** *lookup-map2-val*:  
**assumes**  $\bigwedge k. f \text{ } k \text{ } 0 \text{ } 0 = 0$   
**shows**  $oaz.lookup (map2\text{-val } f \text{ } xs \text{ } ys) \text{ } k = f \text{ } k (lookup \text{ } xs \text{ } k) (oay.lookup \text{ } ys \text{ } k)$   
 $\langle proof \rangle$

**lemma** *lookup-map2-val-rneutr*:  
**assumes**  $\bigwedge k \text{ } x. f \text{ } k \text{ } x \text{ } 0 = x$   
**shows**  $lookup (map2\text{-val-rneutr } f \text{ } xs \text{ } ys) \text{ } k = f \text{ } k (lookup \text{ } xs \text{ } k) (oay.lookup \text{ } ys \text{ } k)$   
 $\langle proof \rangle$

**lemma** *map2-val-rneutr-singleton-eq-update-by-fun*:  
**assumes**  $\bigwedge a \text{ } x. f \text{ } a \text{ } x \text{ } 0 = x$  **and**  $list\text{-of-oalist}y \text{ } ys = ([k, v], oy)$   
**shows**  $map2\text{-val-rneutr } f \text{ } xs \text{ } ys = update\text{-by-fun } k (\lambda x. f \text{ } k \text{ } x \text{ } v) \text{ } xs$   
 $\langle proof \rangle$

### 12.8.3 *lex-ord* and *prod-ord*

**lemma** *lex-ord-EqI*:  
 $(\bigwedge k. k \in fst \text{ ' } set (fst (list\text{-of-oalist}x \text{ } xs)) \cup fst \text{ ' } set (fst (list\text{-of-oalist}y \text{ } ys))) \implies$   
 $f \text{ } k (lookup \text{ } xs \text{ } k) (oay.lookup \text{ } ys \text{ } k) = Some \text{ } Eq \implies$   
 $lex\text{-ord } ko \text{ } f \text{ } xs \text{ } ys = Some \text{ } Eq$   
 $\langle proof \rangle$

**lemma** *lex-ord-valI*:  
**assumes**  $aux \neq Some \text{ } Eq$  **and**  $k \in fst \text{ ' } set (fst (list\text{-of-oalist}x \text{ } xs)) \cup fst \text{ ' } set (fst$   
 $(list\text{-of-oalist}y \text{ } ys))$   
**shows**  $aux = f \text{ } k (lookup \text{ } xs \text{ } k) (oay.lookup \text{ } ys \text{ } k) \implies$   
 $(\bigwedge k'. k' \in fst \text{ ' } set (fst (list\text{-of-oalist}x \text{ } xs)) \cup fst \text{ ' } set (fst (list\text{-of-oalist}y \text{ } ys)))$   
 $\implies$   
 $lt \text{ } ko \text{ } k' \text{ } k \implies f \text{ } k' (lookup \text{ } xs \text{ } k') (oay.lookup \text{ } ys \text{ } k') = Some \text{ } Eq \implies$   
 $lex\text{-ord } ko \text{ } f \text{ } xs \text{ } ys = aux$   
 $\langle proof \rangle$

**lemma** *lex-ord-EqD*:  
 $lex\text{-ord } ko \text{ } f \text{ } xs \text{ } ys = Some \text{ } Eq \implies$   
 $k \in fst \text{ ' } set (fst (list\text{-of-oalist}x \text{ } xs)) \cup fst \text{ ' } set (fst (list\text{-of-oalist}y \text{ } ys)) \implies$   
 $f \text{ } k (lookup \text{ } xs \text{ } k) (oay.lookup \text{ } ys \text{ } k) = Some \text{ } Eq$   
 $\langle proof \rangle$

**lemma** *lex-ord-valE*:  
**assumes**  $lex\text{-ord } ko \text{ } f \text{ } xs \text{ } ys = aux$  **and**  $aux \neq Some \text{ } Eq$   
**obtains**  $k$  **where**  $k \in fst \text{ ' } set (fst (list\text{-of-oalist}x \text{ } xs)) \cup fst \text{ ' } set (fst (list\text{-of-oalist}y$

$ys)$   
**and**  $aux = f\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k)$   
**and**  $\bigwedge k'. k' \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys))$   
 $\implies$   
 $lt\ ko\ k'\ k \implies f\ k'\ (lookup\ xs\ k')\ (oay.lookup\ ys\ k') = Some\ Eq$   
 $\langle proof \rangle$

**lemma** *prod-ord-alt*:

$prod-ord\ P\ xs\ ys \longleftrightarrow$   
 $(\forall k \in fst\ 'set\ (fst\ (list-of-oalistx\ xs)) \cup fst\ 'set\ (fst\ (list-of-oalisty\ ys))).$   
 $P\ k\ (lookup\ xs\ k)\ (oay.lookup\ ys\ k)$   
 $\langle proof \rangle$

**end**

## 12.9 Type *oalist*

**global-interpretation** *ko*: *comparator key-compare ko*

**defines**  $lookup-pair-ko = ko.lookup-pair$   
**and**  $update-by-pair-ko = ko.update-by-pair$   
**and**  $update-by-fun-pair-ko = ko.update-by-fun-pair$   
**and**  $update-by-fun-gr-pair-ko = ko.update-by-fun-gr-pair$   
**and**  $map2-val-pair-ko = ko.map2-val-pair$   
**and**  $lex-ord-pair-ko = ko.lex-ord-pair$   
**and**  $prod-ord-pair-ko = ko.prod-ord-pair$   
**and**  $sort-oalist-ko' = ko.sort-oalist$   
 $\langle proof \rangle$

**lemma** *ko-le*:  $ko.le = le-of-key-order$

$\langle proof \rangle$

**global-interpretation** *ko*: *oalist-raw  $\lambda x. x$*

**rewrites**  $comparator.lookup-pair\ (key-compare\ ko) = lookup-pair-ko\ ko$   
**and**  $comparator.update-by-pair\ (key-compare\ ko) = update-by-pair-ko\ ko$   
**and**  $comparator.update-by-fun-pair\ (key-compare\ ko) = update-by-fun-pair-ko\ ko$   
**and**  $comparator.update-by-fun-gr-pair\ (key-compare\ ko) = update-by-fun-gr-pair-ko\ ko$   
**and**  $comparator.map2-val-pair\ (key-compare\ ko) = map2-val-pair-ko\ ko$   
**and**  $comparator.lex-ord-pair\ (key-compare\ ko) = lex-ord-pair-ko\ ko$   
**and**  $comparator.prod-ord-pair\ (key-compare\ ko) = prod-ord-pair-ko\ ko$   
**and**  $comparator.sort-oalist\ (key-compare\ ko) = sort-oalist-ko'\ ko$   
**defines**  $sort-oalist-aux-ko = ko.sort-oalist-aux$   
**and**  $lookup-ko = ko.lookup-raw$   
**and**  $sorted-domain-ko = ko.sorted-domain-raw$   
**and**  $tl-ko = ko.tl-raw$   
**and**  $min-key-val-ko = ko.min-key-val-raw$   
**and**  $update-by-ko = ko.update-by-raw$   
**and**  $update-by-fun-ko = ko.update-by-fun-raw$

```

and update-by-fun-gr-ko = ko.update-by-fun-gr-raw
and map2-val-ko = ko.map2-val-raw
and lex-ord-ko = ko.lex-ord-raw
and prod-ord-ko = ko.prod-ord-raw
and oalist-eq-ko = ko.oalist-eq-raw
and sort-oalist-ko = ko.sort-oalist-raw
⟨proof⟩

```

```

typedef (overloaded) ('a, 'b) oalist = {xs::('a, 'b)::zero, 'a key-order) oalist-raw.
ko.oalist-inv xs}
morphisms list-of-oalist Abs-oalist
⟨proof⟩

```

```

lemma oalist-eq-iff: xs = ys  $\longleftrightarrow$  list-of-oalist xs = list-of-oalist ys
⟨proof⟩

```

```

lemma oalist-eqI: list-of-oalist xs = list-of-oalist ys  $\implies$  xs = ys
⟨proof⟩

```

Formal, totalized constructor for ('a, 'b) oalist:

```

definition OAlist :: ('a  $\times$  'b) list  $\times$  'a key-order  $\Rightarrow$  ('a, 'b)::zero) oalist where
OAlist xs = Abs-oalist (sort-oalist-ko xs)

```

```

definition oalist-of-list = OAlist

```

```

lemma oalist-inv-list-of-oalist: ko.oalist-inv (list-of-oalist xs)
⟨proof⟩

```

```

lemma list-of-oalist-OAlist: list-of-oalist (OAlist xs) = sort-oalist-ko xs
⟨proof⟩

```

```

lemma OAlist-list-of-oalist [code abstype]: OAlist (list-of-oalist xs) = xs
⟨proof⟩

```

```

lemma [code abstract]: list-of-oalist (oalist-of-list xs) = sort-oalist-ko xs
⟨proof⟩

```

```

global-interpretation oa: oalist-abstract  $\lambda x. x$  list-of-oalist OAlist

```

```

defines OAlist-lookup = oa.lookup
and OAlist-sorted-domain = oa.sorted-domain
and OAlist-empty = oa.empty
and OAlist-reorder = oa.reorder
and OAlist-tl = oa.tl
and OAlist-hd = oa.hd
and OAlist-except-min = oa.except-min
and OAlist-min-key-val = oa.min-key-val
and OAlist-insert = oa.insert
and OAlist-update-by-fun = oa.update-by-fun
and OAlist-update-by-fun-gr = oa.update-by-fun-gr

```

**and** *OAlist-filter* = *oa.filter*  
**and** *OAlist-map2-val-neutr* = *oa.map2-val-neutr*  
**and** *OAlist-eq* = *oa.oalist-eq*  
 ⟨*proof*⟩

**global-interpretation** *oa: oalist-abstract3*  $\lambda x. x$   
*list-of-oalist::('a, 'b) oalist*  $\Rightarrow$  (*'a, 'b::zero, 'a key-order*) *oalist-raw OAlist*  
*list-of-oalist::('a, 'c) oalist*  $\Rightarrow$  (*'a, 'c::zero, 'a key-order*) *oalist-raw OAlist*  
*list-of-oalist::('a, 'd) oalist*  $\Rightarrow$  (*'a, 'd::zero, 'a key-order*) *oalist-raw OAlist*  
**defines** *OAlist-map-val* = *oa.map-val*  
**and** *OAlist-map2-val* = *oa.map2-val*  
**and** *OAlist-map2-val-rneutr* = *oa.map2-val-rneutr*  
**and** *OAlist-lex-ord* = *oa.lex-ord*  
**and** *OAlist-prod-ord* = *oa.prod-ord* ⟨*proof*⟩

**lemmas** *OAlist-lookup-single* = *oa.lookup-oalist-of-list-single*[*folded oalist-of-list-def*]

## 12.10 Type *oalist-tc*

“tc” stands for “type class”.

**global-interpretation** *tc: comparator comparator-of*  
**defines** *lookup-pair-tc* = *tc.lookup-pair*  
**and** *update-by-pair-tc* = *tc.update-by-pair*  
**and** *update-by-fun-pair-tc* = *tc.update-by-fun-pair*  
**and** *update-by-fun-gr-pair-tc* = *tc.update-by-fun-gr-pair*  
**and** *map2-val-pair-tc* = *tc.map2-val-pair*  
**and** *lex-ord-pair-tc* = *tc.lex-ord-pair*  
**and** *prod-ord-pair-tc* = *tc.prod-ord-pair*  
**and** *sort-oalist-tc* = *tc.sort-oalist*  
 ⟨*proof*⟩

**lemma** *tc-le-lt* [*simp*]: *tc.le* = ( $\leq$ ) *tc.lt* = ( $<$ )  
 ⟨*proof*⟩

**typedef** (**overloaded**) (*'a, 'b*) *oalist-tc* = {*xs::('a::linorder*  $\times$  *'b::zero) list. tc.oalist-inv-raw*  
*xs*}  
**morphisms** *list-of-oalist-tc Abs-oalist-tc*  
 ⟨*proof*⟩

**lemma** *oalist-tc-eq-iff*: *xs = ys*  $\iff$  *list-of-oalist-tc xs = list-of-oalist-tc ys*  
 ⟨*proof*⟩

**lemma** *oalist-tc-eqI*: *list-of-oalist-tc xs = list-of-oalist-tc ys*  $\implies$  *xs = ys*  
 ⟨*proof*⟩

Formal, totalized constructor for (*'a, 'b*) *oalist-tc*:

**definition** *OAlist-tc* :: (*'a*  $\times$  *'b*) *list*  $\Rightarrow$  (*'a::linorder, 'b::zero*) *oalist-tc* **where**  
*OAlist-tc xs* = *Abs-oalist-tc (sort-oalist-tc xs)*

**definition** *oalist-tc-of-list* = *OAList-tc*

**lemma** *oalist-inv-list-of-oalist-tc*: *tc.oalist-inv-raw (list-of-oalist-tc xs)*  
⟨*proof*⟩

**lemma** *list-of-oalist-OAList-tc*: *list-of-oalist-tc (OAList-tc xs) = sort-oalist-tc xs*  
⟨*proof*⟩

**lemma** *OAList-list-of-oalist-tc* [*code abstype*]: *OAList-tc (list-of-oalist-tc xs) = xs*  
⟨*proof*⟩

**lemma** *list-of-oalist-tc-of-list* [*code abstract*]: *list-of-oalist-tc (oalist-tc-of-list xs) = sort-oalist-tc xs*  
⟨*proof*⟩

**lemma** *list-of-oalist-tc-of-list-id*:  
**assumes** *tc.oalist-inv-raw xs*  
**shows** *list-of-oalist-tc (OAList-tc xs) = xs*  
⟨*proof*⟩

It is better to define the following operations directly instead of interpreting *oalist-abstract*, because *oalist-abstract* defines the operations via their *-raw* analogues, whereas in this case we can define them directly via their *-pair* analogues.

**definition** *OAList-tc-lookup* :: (*'a::linorder, 'b::zero*) *oalist-tc*  $\Rightarrow$  *'a*  $\Rightarrow$  *'b*  
**where** *OAList-tc-lookup xs = lookup-pair-tc (list-of-oalist-tc xs)*

**definition** *OAList-tc-sorted-domain* :: (*'a::linorder, 'b::zero*) *oalist-tc*  $\Rightarrow$  *'a list*  
**where** *OAList-tc-sorted-domain xs = map fst (list-of-oalist-tc xs)*

**definition** *OAList-tc-empty* :: (*'a::linorder, 'b::zero*) *oalist-tc*  
**where** *OAList-tc-empty = OAList-tc []*

**definition** *OAList-tc-except-min* :: (*'a, 'b*) *oalist-tc*  $\Rightarrow$  (*'a::linorder, 'b::zero*) *oalist-tc*  
**where** *OAList-tc-except-min xs = OAList-tc (tl (list-of-oalist-tc xs))*

**definition** *OAList-tc-min-key-val* :: (*'a::linorder, 'b::zero*) *oalist-tc*  $\Rightarrow$  (*'a*  $\times$  *'b*)  
**where** *OAList-tc-min-key-val xs = hd (list-of-oalist-tc xs)*

**definition** *OAList-tc-insert* :: (*'a*  $\times$  *'b*)  $\Rightarrow$  (*'a, 'b*) *oalist-tc*  $\Rightarrow$  (*'a::linorder, 'b::zero*) *oalist-tc*  
**where** *OAList-tc-insert x xs = OAList-tc (update-by-pair-tc x (list-of-oalist-tc xs))*

**definition** *OAList-tc-update-by-fun* :: *'a*  $\Rightarrow$  (*'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  (*'a, 'b*) *oalist-tc*  $\Rightarrow$  (*'a::linorder, 'b::zero*) *oalist-tc*  
**where** *OAList-tc-update-by-fun k f xs = OAList-tc (update-by-fun-pair-tc k f (list-of-oalist-tc xs))*

**definition**  $O\text{Alist-}tc\text{-update-by-fun-gr} :: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'b::\text{zero}) \text{ oalist-}tc$   
**where**  $O\text{Alist-}tc\text{-update-by-fun-gr } k f xs = O\text{Alist-}tc \text{ (update-by-fun-gr-pair-}tc \text{ } k f \text{ (list-of-oalist-}tc \text{ } xs))$

**definition**  $O\text{Alist-}tc\text{-filter} :: (('a \times 'b) \Rightarrow \text{bool}) \Rightarrow ('a, 'b) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'b::\text{zero}) \text{ oalist-}tc$   
**where**  $O\text{Alist-}tc\text{-filter } P xs = O\text{Alist-}tc \text{ (filter } P \text{ (list-of-oalist-}tc \text{ } xs))$

**definition**  $O\text{Alist-}tc\text{-map-val} :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b::\text{zero}) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'c::\text{zero}) \text{ oalist-}tc$   
**where**  $O\text{Alist-}tc\text{-map-val } f xs = O\text{Alist-}tc \text{ (map-val-pair } f \text{ (list-of-oalist-}tc \text{ } xs))$

**definition**  $O\text{Alist-}tc\text{-map2-val} :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a, 'b::\text{zero}) \text{ oalist-}tc \Rightarrow ('a, 'c::\text{zero}) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'd::\text{zero}) \text{ oalist-}tc$   
**where**  $O\text{Alist-}tc\text{-map2-val } f xs ys = O\text{Alist-}tc \text{ (map2-val-pair-}tc \text{ } f \text{ (map-val-pair } (\lambda k b. f k b 0)) \text{ (map-val-pair } (\lambda k. f k 0)) \text{ (list-of-oalist-}tc \text{ } xs) \text{ (list-of-oalist-}tc \text{ } ys))$

**definition**  $O\text{Alist-}tc\text{-map2-val-rneutr} :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ oalist-}tc \Rightarrow ('a, 'c::\text{zero}) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'b::\text{zero}) \text{ oalist-}tc$   
**where**  $O\text{Alist-}tc\text{-map2-val-rneutr } f xs ys = O\text{Alist-}tc \text{ (map2-val-pair-}tc \text{ } f \text{ id (map-val-pair } (\lambda k. f k 0)) \text{ (list-of-oalist-}tc \text{ } xs) \text{ (list-of-oalist-}tc \text{ } ys))$

**definition**  $O\text{Alist-}tc\text{-map2-val-neutr} :: ('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ oalist-}tc \Rightarrow ('a, 'b) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'b::\text{zero}) \text{ oalist-}tc$   
**where**  $O\text{Alist-}tc\text{-map2-val-neutr } f xs ys = O\text{Alist-}tc \text{ (map2-val-pair-}tc \text{ } f \text{ id id (list-of-oalist-}tc \text{ } xs) \text{ (list-of-oalist-}tc \text{ } ys))$

**definition**  $O\text{Alist-}tc\text{-lex-ord} :: ('a \Rightarrow ('b, 'c) \text{ comp-opt}) \Rightarrow (('a, 'b::\text{zero}) \text{ oalist-}tc, ('a::\text{linorder}, 'c::\text{zero}) \text{ oalist-}tc) \text{ comp-opt}$   
**where**  $O\text{Alist-}tc\text{-lex-ord } f xs ys = \text{lex-ord-pair-}tc \text{ } f \text{ (list-of-oalist-}tc \text{ } xs) \text{ (list-of-oalist-}tc \text{ } ys)$

**definition**  $O\text{Alist-}tc\text{-prod-ord} :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a, 'b::\text{zero}) \text{ oalist-}tc \Rightarrow ('a::\text{linorder}, 'c::\text{zero}) \text{ oalist-}tc \Rightarrow \text{bool}$   
**where**  $O\text{Alist-}tc\text{-prod-ord } f xs ys = \text{prod-ord-pair-}tc \text{ } f \text{ (list-of-oalist-}tc \text{ } xs) \text{ (list-of-oalist-}tc \text{ } ys)$

### 12.10.1 $O\text{Alist-}tc\text{-lookup}$

**lemma**  $O\text{Alist-}tc\text{-lookup-eq-valueI} : (k, v) \in \text{set (list-of-oalist-}tc \text{ } xs) \implies O\text{Alist-}tc\text{-lookup } xs \ k = v$   
*<proof>*

**lemma** *OAlist-tc-lookup-inj*:  $OAlist-tc-lookup\ xs = OAlist-tc-lookup\ ys \implies xs = ys$

*<proof>*

**lemma** *OAlist-tc-lookup-oalist-of-list*:

$distinct\ (map\ fst\ xs) \implies OAlist-tc-lookup\ (oalist-tc-of-list\ xs) = lookup-dflt\ xs$

*<proof>*

### 12.10.2 *OAlist-tc-sorted-domain*

**lemma** *set-OAlist-tc-sorted-domain*:  $set\ (OAlist-tc-sorted-domain\ xs) = fst\ 'set\ (list-of-oalist-tc\ xs)$

*<proof>*

**lemma** *in-OAlist-tc-sorted-domain-iff-lookup*:  $k \in set\ (OAlist-tc-sorted-domain\ xs) \iff (OAlist-tc-lookup\ xs\ k \neq 0)$

*<proof>*

**lemma** *sorted-OAlist-tc-sorted-domain*:  $sorted-wrt\ (<)\ (OAlist-tc-sorted-domain\ xs)$

*<proof>*

### 12.10.3 *OAlist-tc-empty and Singletons*

**lemma** *list-of-oalist-OAlist-tc-empty* [*simp, code abstract*]:  $list-of-oalist-tc\ OAlist-tc-empty = []$

*<proof>*

**lemma** *lookup-OAlist-tc-empty*:  $OAlist-tc-lookup\ OAlist-tc-empty\ k = 0$

*<proof>*

**lemma** *OAlist-tc-lookup-single*:

$OAlist-tc-lookup\ (oalist-tc-of-list\ [(k, v)])\ k' = (if\ k = k'\ then\ v\ else\ 0)$

*<proof>*

### 12.10.4 *OAlist-tc-except-min*

**lemma** *list-of-oalist-OAlist-tc-except-min* [*simp, code abstract*]:

$list-of-oalist-tc\ (OAlist-tc-except-min\ xs) = tl\ (list-of-oalist-tc\ xs)$

*<proof>*

**lemma** *lookup-OAlist-tc-except-min*:

$OAlist-tc-lookup\ (OAlist-tc-except-min\ xs)\ k =$

$(if\ (\forall\ k' \in fst\ 'set\ (list-of-oalist-tc\ xs).\ k \leq k')\ then\ 0\ else\ OAlist-tc-lookup\ xs$

$k)$

*<proof>*

### 12.10.5 *OAlist-tc-min-key-val*

**lemma** *OAlist-tc-min-key-val-in*:

**assumes**  $list\text{-of}\text{-oalist}\text{-tc}\ xs \neq []$   
**shows**  $O\text{Alist}\text{-tc}\text{-min}\text{-key}\text{-val}\ xs \in set\ (list\text{-of}\text{-oalist}\text{-tc}\ xs)$   
 $\langle proof \rangle$

**lemma**  $snd\text{-}O\text{Alist}\text{-tc}\text{-min}\text{-key}\text{-val}$ :  
**assumes**  $list\text{-of}\text{-oalist}\text{-tc}\ xs \neq []$   
**shows**  $snd\ (O\text{Alist}\text{-tc}\text{-min}\text{-key}\text{-val}\ xs) = O\text{Alist}\text{-tc}\text{-lookup}\ xs\ (fst\ (O\text{Alist}\text{-tc}\text{-min}\text{-key}\text{-val}\ xs))$   
 $\langle proof \rangle$

**lemma**  $O\text{Alist}\text{-tc}\text{-min}\text{-key}\text{-val}\text{-minimal}$ :  
**assumes**  $z \in set\ (list\text{-of}\text{-oalist}\text{-tc}\ xs)$   
**shows**  $fst\ (O\text{Alist}\text{-tc}\text{-min}\text{-key}\text{-val}\ xs) \leq fst\ z$   
 $\langle proof \rangle$

### 12.10.6 $O\text{Alist}\text{-tc}\text{-insert}$

**lemma**  $list\text{-of}\text{-oalist}\text{-}O\text{Alist}\text{-tc}\text{-insert}$  [*simp, code abstract*]:  
 $list\text{-of}\text{-oalist}\text{-tc}\ (O\text{Alist}\text{-tc}\text{-insert}\ x\ xs) = update\text{-by}\text{-pair}\text{-tc}\ x\ (list\text{-of}\text{-oalist}\text{-tc}\ xs)$   
 $\langle proof \rangle$

**lemma**  $lookup\text{-}O\text{Alist}\text{-tc}\text{-insert}$ :  $O\text{Alist}\text{-tc}\text{-lookup}\ (O\text{Alist}\text{-tc}\text{-insert}\ (k, v)\ xs)\ k' =$   
*(if  $k = k'$  then  $v$  else  $O\text{Alist}\text{-tc}\text{-lookup}\ xs\ k')$*   
 $\langle proof \rangle$

### 12.10.7 $O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}$ and $O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\text{-gr}$

**lemma**  $list\text{-of}\text{-oalist}\text{-}O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}$  [*simp, code abstract*]:  
 $list\text{-of}\text{-oalist}\text{-tc}\ (O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\ k\ f\ xs) = update\text{-by}\text{-fun}\text{-pair}\text{-tc}\ k\ f\ (list\text{-of}\text{-oalist}\text{-tc}\ xs)$   
 $\langle proof \rangle$

**lemma**  $lookup\text{-}O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}$ :  
 $O\text{Alist}\text{-tc}\text{-lookup}\ (O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\ k\ f\ xs)\ k' = (if\ k = k'\ then\ f\ else\ id)$   
 $(O\text{Alist}\text{-tc}\text{-lookup}\ xs\ k')$   
 $\langle proof \rangle$

**lemma**  $list\text{-of}\text{-oalist}\text{-}O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\text{-gr}$  [*simp, code abstract*]:  
 $list\text{-of}\text{-oalist}\text{-tc}\ (O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\text{-gr}\ k\ f\ xs) = update\text{-by}\text{-fun}\text{-gr}\text{-pair}\text{-tc}\ k\ f$   
 $(list\text{-of}\text{-oalist}\text{-tc}\ xs)$   
 $\langle proof \rangle$

**lemma**  $O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\text{-gr}\text{-eq}\text{-}O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}$ :  $O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}\text{-gr}$   
 $= O\text{Alist}\text{-tc}\text{-update}\text{-by}\text{-fun}$   
 $\langle proof \rangle$

### 12.10.8 $O\text{Alist}\text{-tc}\text{-filter}$

**lemma**  $list\text{-of}\text{-oalist}\text{-}O\text{Alist}\text{-tc}\text{-filter}$  [*simp, code abstract*]:  
 $list\text{-of}\text{-oalist}\text{-tc}\ (O\text{Alist}\text{-tc}\text{-filter}\ P\ xs) = filter\ P\ (list\text{-of}\text{-oalist}\text{-tc}\ xs)$

*<proof>*

**lemma** *lookup-OAlist-tc-filter*:  $OAlist\text{-}tc\text{-}lookup\ (OAlist\text{-}tc\text{-}filter\ P\ xs)\ k = (let\ v = OAlist\text{-}tc\text{-}lookup\ xs\ k\ in\ if\ P\ (k,\ v)\ then\ v\ else\ 0)$   
*<proof>*

### 12.10.9 *OAlist-tc-map-val*

**lemma** *list-of-oalist-OAlist-tc-map-val* [*simp, code abstract*]:  
 $list\text{-}of\text{-}oalist\text{-}tc\ (OAlist\text{-}tc\text{-}map\text{-}val\ f\ xs) = map\text{-}val\text{-}pair\ f\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)$   
*<proof>*

**lemma** *OAlist-tc-map-val-cong*:  
**assumes**  $\bigwedge k\ v.\ (k,\ v) \in set\ (list\text{-}of\text{-}oalist\text{-}tc\ xs) \implies f\ k\ v = g\ k\ v$   
**shows**  $OAlist\text{-}tc\text{-}map\text{-}val\ f\ xs = OAlist\text{-}tc\text{-}map\text{-}val\ g\ xs$   
*<proof>*

**lemma** *lookup-OAlist-tc-map-val*:  $f\ k\ 0 = 0 \implies OAlist\text{-}tc\text{-}lookup\ (OAlist\text{-}tc\text{-}map\text{-}val\ f\ xs)\ k = f\ k\ (OAlist\text{-}tc\text{-}lookup\ xs\ k)$   
*<proof>*

### 12.10.10 *OAlist-tc-map2-val OAlist-tc-map2-val-rneutr and OAlist-tc-map2-val-neutr*

**lemma** *list-of-oalist-map2-val* [*simp, code abstract*]:  
 $list\text{-}of\text{-}oalist\text{-}tc\ (OAlist\text{-}tc\text{-}map2\text{-}val\ f\ xs\ ys) =$   
 $map2\text{-}val\text{-}pair\text{-}tc\ f\ (map\text{-}val\text{-}pair\ (\lambda k\ b.\ f\ k\ b\ 0))\ (map\text{-}val\text{-}pair\ (\lambda k.\ f\ k\ 0))$   
 $(list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys)$   
*<proof>*

**lemma** *list-of-oalist-OAlist-tc-map2-val-rneutr* [*simp, code abstract*]:  
 $list\text{-}of\text{-}oalist\text{-}tc\ (OAlist\text{-}tc\text{-}map2\text{-}val\text{-}rneutr\ f\ xs\ ys) =$   
 $map2\text{-}val\text{-}pair\text{-}tc\ f\ id\ (map\text{-}val\text{-}pair\ (\lambda k\ c.\ f\ k\ 0\ c))\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)$   
 $(list\text{-}of\text{-}oalist\text{-}tc\ ys)$   
*<proof>*

**lemma** *list-of-oalist-OAlist-tc-map2-val-neutr* [*simp, code abstract*]:  
 $list\text{-}of\text{-}oalist\text{-}tc\ (OAlist\text{-}tc\text{-}map2\text{-}val\text{-}neutr\ f\ xs\ ys) = map2\text{-}val\text{-}pair\text{-}tc\ f\ id\ id\ (list\text{-}of\text{-}oalist\text{-}tc\ xs)\ (list\text{-}of\text{-}oalist\text{-}tc\ ys)$   
*<proof>*

**lemma** *lookup-OAlist-tc-map2-val*:  
**assumes**  $\bigwedge k.\ f\ k\ 0\ 0 = 0$   
**shows**  $OAlist\text{-}tc\text{-}lookup\ (OAlist\text{-}tc\text{-}map2\text{-}val\ f\ xs\ ys)\ k = f\ k\ (OAlist\text{-}tc\text{-}lookup\ xs\ k)\ (OAlist\text{-}tc\text{-}lookup\ ys\ k)$   
*<proof>*

**lemma** *lookup-OAlist-tc-map2-val-rneutr*:  
**assumes**  $\bigwedge k\ x.\ f\ k\ x\ 0 = x$   
**shows**  $OAlist\text{-}tc\text{-}lookup\ (OAlist\text{-}tc\text{-}map2\text{-}val\text{-}rneutr\ f\ xs\ ys)\ k = f\ k\ (OAlist\text{-}tc\text{-}lookup\ xs\ k)\ (OAlist\text{-}tc\text{-}lookup\ ys\ k)$

*<proof>*

**lemma** *lookup-OAlist-tc-map2-val-neutr:*

**assumes**  $\bigwedge k x. f k x 0 = x$  **and**  $\bigwedge k x. f k 0 x = x$   
**shows**  $OAlist\text{-}tc\text{-}lookup (OAlist\text{-}tc\text{-}map2\text{-}val\text{-}neutr f xs ys) k = f k (OAlist\text{-}tc\text{-}lookup xs k) (OAlist\text{-}tc\text{-}lookup ys k)$   
*<proof>*

**lemma** *OAlist-tc-map2-val-rneutr-singleton-eq-OAlist-tc-update-by-fun:*

**assumes**  $\bigwedge a x. f a x 0 = x$  **and**  $list\text{-}of\text{-}oalist\text{-}tc\ ys = [(k, v)]$   
**shows**  $OAlist\text{-}tc\text{-}map2\text{-}val\text{-}rneutr f xs ys = OAlist\text{-}tc\text{-}update\text{-}by\text{-}fun k (\lambda x. f k x v) xs$   
*<proof>*

### 12.10.11 *OAlist-tc-lex-ord and OAlist-tc-prod-ord*

**lemma** *OAlist-tc-lex-ord-EqI:*

$(\bigwedge k. k \in fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ xs) \cup fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ ys) \implies$   
 $f k (OAlist\text{-}tc\text{-}lookup xs k) (OAlist\text{-}tc\text{-}lookup ys k) = Some\ Eq) \implies$   
 $OAlist\text{-}tc\text{-}lex\text{-}ord f xs ys = Some\ Eq$   
*<proof>*

**lemma** *OAlist-tc-lex-ord-valI:*

**assumes**  $aux \neq Some\ Eq$  **and**  $k \in fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ xs) \cup fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ ys)$   
**shows**  $aux = f k (OAlist\text{-}tc\text{-}lookup xs k) (OAlist\text{-}tc\text{-}lookup ys k) \implies$   
 $(\bigwedge k'. k' \in fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ xs) \cup fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ ys) \implies$   
 $k' < k \implies f k' (OAlist\text{-}tc\text{-}lookup xs k') (OAlist\text{-}tc\text{-}lookup ys k') = Some\ Eq) \implies$   
 $OAlist\text{-}tc\text{-}lex\text{-}ord f xs ys = aux$   
*<proof>*

**lemma** *OAlist-tc-lex-ord-EqD:*

$OAlist\text{-}tc\text{-}lex\text{-}ord f xs ys = Some\ Eq \implies$   
 $k \in fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ xs) \cup fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ ys) \implies$   
 $f k (OAlist\text{-}tc\text{-}lookup xs k) (OAlist\text{-}tc\text{-}lookup ys k) = Some\ Eq$   
*<proof>*

**lemma** *OAlist-tc-lex-ord-valE:*

**assumes**  $OAlist\text{-}tc\text{-}lex\text{-}ord f xs ys = aux$  **and**  $aux \neq Some\ Eq$   
**obtains**  $k$  **where**  $k \in fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ xs) \cup fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ ys)$   
**and**  $aux = f k (OAlist\text{-}tc\text{-}lookup xs k) (OAlist\text{-}tc\text{-}lookup ys k)$   
**and**  $\bigwedge k'. k' \in fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ xs) \cup fst \text{ ' set } (list\text{-}of\text{-}oalist\text{-}tc\ ys) \implies$   
 $k' < k \implies f k' (OAlist\text{-}tc\text{-}lookup xs k') (OAlist\text{-}tc\text{-}lookup ys k') = Some\ Eq$   
*<proof>*

**lemma** *OAlist-tc-prod-ord-alt:*

$OAlist\text{-}tc\text{-}prod\text{-}ord P xs ys \longleftrightarrow$

$(\forall k \in \text{fst} \text{ ` set } (\text{list-of-oalist-tc } xs) \cup \text{fst} \text{ ` set } (\text{list-of-oalist-tc } ys)).$   
 $P \ k \ (OAlist\text{-tc}\text{-lookup } xs \ k) \ (OAlist\text{-tc}\text{-lookup } ys \ k)$

*<proof>*

### 12.10.12 Instance of equal

**instantiation** *oalist-tc* :: (*linorder*, *zero*) *equal*  
**begin**

**definition** *equal-oalist-tc* :: ('a, 'b) *oalist-tc*  $\Rightarrow$  ('a, 'b) *oalist-tc*  $\Rightarrow$  *bool*  
**where** *equal-oalist-tc* *xs ys* = (*list-of-oalist-tc* *xs* = *list-of-oalist-tc* *ys*)

**instance** *<proof>*

**end**

### 12.11 Experiment

**lemma** *oalist-tc-of-list* [(0::nat, 4::nat), (1, 3), (0, 2), (1, 1)] = *oalist-tc-of-list* [(0, 4), (1, 3)]  
*<proof>*

**lemma** *OAlist-tc-except-min* (*oalist-tc-of-list* [(1, 3), (0::nat, 4::nat), (0, 2), (1, 1)]) = *oalist-tc-of-list* [(1, 3)]  
*<proof>*

**lemma** *OAlist-tc-min-key-val* (*oalist-tc-of-list* [(1, 3), (0::nat, 4::nat), (0, 2), (1, 1)]) = (0, 4)  
*<proof>*

**lemma** *OAlist-tc-lookup* (*oalist-tc-of-list* [(0::nat, 4::nat), (1, 3), (0, 2), (1, 1)])  
 1 = 3  
*<proof>*

**lemma** *OAlist-tc-prod-ord* ( $\lambda\cdot$ . *greater-eq*)  
 (*oalist-tc-of-list* [(1, 4), (0::nat, 4::nat), (1, 3), (0, 2), (3, 1)])  
 (*oalist-tc-of-list* [(0, 4), (1, 3), (2, 2), (1, 1)]) = *False*  
*<proof>*

**lemma** *OAlist-tc-map2-val-rneutr* ( $\lambda\cdot$ . *minus*)  
 (*oalist-tc-of-list* [(1, 4), (0::nat, 4::int), (1, 3), (0, 2), (3, 1)])  
 (*oalist-tc-of-list* [(0, 4), (1, 3), (2, 2), (1, 1)]) =  
*oalist-tc-of-list* [(1, 1), (2, - 2), (3, 1)]  
*<proof>*

**end**

## 13 Ordered Associative Lists for Polynomials

```

theory OAList-Poly-Mapping
  imports PP-Type MPoly-Type-Class-Ordered OAList
begin

```

We introduce a dedicated type for ordered associative lists (oalists) representing polynomials. To that end, we require the order relation the oalists are sorted wrt. to be admissible term orders, and furthermore sort the lists *descending* rather than *ascending*, because this allows to implement various operations more efficiently. For technical reasons, we must restrict the type of terms to types embeddable into  $(nat, nat) pp \times nat$ , though. All types we are interested in meet this requirement.

```

lemma comparator-lexicographic:
  fixes  $f::'a \Rightarrow 'b$  and  $g::'a \Rightarrow 'c$ 
  assumes comparator c1 and comparator c2 and  $\bigwedge x y. f x = f y \Longrightarrow g x = g y$ 
 $\Longrightarrow x = y$ 
  shows comparator  $(\lambda x y. \text{case } c1 (f x) (f y) \text{ of } Eq \Rightarrow c2 (g x) (g y) \mid val \Rightarrow val)$ 
    (is comparator ?c3)
<proof>

```

```

class nat-term =
  fixes rep-nat-term ::  $'a \Rightarrow ((nat, nat) pp \times nat)$ 
  and splus ::  $'a \Rightarrow 'a \Rightarrow 'a$ 
  assumes rep-nat-term-inj:  $\text{rep-nat-term } x = \text{rep-nat-term } y \Longrightarrow x = y$ 
  and full-component:  $\text{snd } (\text{rep-nat-term } x) = i \Longrightarrow (\exists y. \text{rep-nat-term } y = (t, i))$ 
  and splus-term:  $\text{rep-nat-term } (\text{splus } x y) = \text{pprod.splus } (\text{fst } (\text{rep-nat-term } x))$ 
   $(\text{rep-nat-term } y)$ 
begin

```

```

definition lex-comp-aux =  $(\lambda x y. \text{case } \text{comp-of-ord } \text{lex-pp } (\text{fst } (\text{rep-nat-term } x))$ 
 $(\text{fst } (\text{rep-nat-term } y)) \text{ of}$ 
   $Eq \Rightarrow \text{comparator-of } (\text{snd } (\text{rep-nat-term } x)) (\text{snd } (\text{rep-nat-term } y)) \mid val \Rightarrow val)$ 

```

```

lemma full-componentE:
  assumes  $\text{snd } (\text{rep-nat-term } x) = i$ 
  obtains  $y$  where  $\text{rep-nat-term } y = (t, i)$ 
<proof>

```

**end**

```

class nat-pp-term = nat-term + zero + plus +
  assumes rep-nat-term-zero:  $\text{rep-nat-term } 0 = (0, 0)$ 
  and splus-pp-term:  $\text{splus} = (+)$ 

```

```

definition nat-term-comp ::  $'a::\text{nat-term } \text{comparator} \Rightarrow \text{bool}$ 
  where  $\text{nat-term-comp } \text{cmp} \longleftrightarrow$ 

```

$$\begin{aligned}
& (\forall u v. \text{snd} (\text{rep-nat-term } u) = \text{snd} (\text{rep-nat-term } v) \longrightarrow \text{fst} (\text{rep-nat-term } \\
u) = 0 \longrightarrow \text{cmp } u v \neq \text{Gt}) \wedge \\
& (\forall u v. \text{fst} (\text{rep-nat-term } u) = \text{fst} (\text{rep-nat-term } v) \longrightarrow \text{snd} (\text{rep-nat-term } \\
u) < \text{snd} (\text{rep-nat-term } v) \longrightarrow \text{cmp } u v = \text{Lt}) \wedge \\
& (\forall t u v. \text{cmp } u v = \text{Lt} \longrightarrow \text{cmp} (\text{splus } t u) (\text{splus } t v) = \text{Lt}) \wedge \\
& (\forall u v a b. \text{fst} (\text{rep-nat-term } u) = \text{fst} (\text{rep-nat-term } a) \longrightarrow \text{fst} (\text{rep-nat-term } \\
v) = \text{fst} (\text{rep-nat-term } b) \longrightarrow \\
& \quad \text{snd} (\text{rep-nat-term } u) = \text{snd} (\text{rep-nat-term } v) \longrightarrow \text{snd} (\text{rep-nat-term } \\
a) = \text{snd} (\text{rep-nat-term } b) \longrightarrow \\
& \quad \text{cmp } a b = \text{Lt} \longrightarrow \text{cmp } u v = \text{Lt})
\end{aligned}$$

**lemma** *nat-term-compI*:

**assumes**  $\bigwedge u v. \text{snd} (\text{rep-nat-term } u) = \text{snd} (\text{rep-nat-term } v) \implies \text{fst} (\text{rep-nat-term } u) = 0 \implies \text{cmp } u v \neq \text{Gt}$   
**and**  $\bigwedge u v. \text{fst} (\text{rep-nat-term } u) = \text{fst} (\text{rep-nat-term } v) \implies \text{snd} (\text{rep-nat-term } u) < \text{snd} (\text{rep-nat-term } v) \implies \text{cmp } u v = \text{Lt}$   
**and**  $\bigwedge t u v. \text{cmp } u v = \text{Lt} \implies \text{cmp} (\text{splus } t u) (\text{splus } t v) = \text{Lt}$   
**and**  $\bigwedge u v a b. \text{fst} (\text{rep-nat-term } u) = \text{fst} (\text{rep-nat-term } a) \implies \text{fst} (\text{rep-nat-term } v) = \text{fst} (\text{rep-nat-term } b) \implies$   
 $\quad \text{snd} (\text{rep-nat-term } u) = \text{snd} (\text{rep-nat-term } v) \implies \text{snd} (\text{rep-nat-term } a) = \text{snd} (\text{rep-nat-term } b) \implies$   
 $\quad \text{cmp } a b = \text{Lt} \implies \text{cmp } u v = \text{Lt}$   
**shows** *nat-term-comp cmp*  
*<proof>*

**lemma** *nat-term-compD1*:

**assumes** *nat-term-comp cmp* **and**  $\text{snd} (\text{rep-nat-term } u) = \text{snd} (\text{rep-nat-term } v)$   
**and**  $\text{fst} (\text{rep-nat-term } u) = 0$   
**shows**  $\text{cmp } u v \neq \text{Gt}$   
*<proof>*

**lemma** *nat-term-compD2*:

**assumes** *nat-term-comp cmp* **and**  $\text{fst} (\text{rep-nat-term } u) = \text{fst} (\text{rep-nat-term } v)$   
**and**  $\text{snd} (\text{rep-nat-term } u) < \text{snd} (\text{rep-nat-term } v)$   
**shows**  $\text{cmp } u v = \text{Lt}$   
*<proof>*

**lemma** *nat-term-compD3*:

**assumes** *nat-term-comp cmp* **and**  $\text{cmp } u v = \text{Lt}$   
**shows**  $\text{cmp} (\text{splus } t u) (\text{splus } t v) = \text{Lt}$   
*<proof>*

**lemma** *nat-term-compD4*:

**assumes** *nat-term-comp cmp* **and**  $\text{fst} (\text{rep-nat-term } u) = \text{fst} (\text{rep-nat-term } a)$   
**and**  $\text{fst} (\text{rep-nat-term } v) = \text{fst} (\text{rep-nat-term } b)$  **and**  $\text{snd} (\text{rep-nat-term } u) = \text{snd} (\text{rep-nat-term } v)$   
**and**  $\text{snd} (\text{rep-nat-term } a) = \text{snd} (\text{rep-nat-term } b)$  **and**  $\text{cmp } a b = \text{Lt}$   
**shows**  $\text{cmp } u v = \text{Lt}$   
*<proof>*

**lemma** *nat-term-compD1'*:  
**assumes** *comparator cmp* **and** *nat-term-comp cmp* **and** *snd (rep-nat-term u) ≤ snd (rep-nat-term v)*  
**and** *fst (rep-nat-term u) = 0*  
**shows** *cmp u v ≠ Gt*  
⟨*proof*⟩

**lemma** *nat-term-compD4'*:  
**assumes** *comparator cmp* **and** *nat-term-comp cmp* **and** *fst (rep-nat-term u) = fst (rep-nat-term a)*  
**and** *fst (rep-nat-term v) = fst (rep-nat-term b)* **and** *snd (rep-nat-term u) = snd (rep-nat-term v)*  
**and** *snd (rep-nat-term a) = snd (rep-nat-term b)*  
**shows** *cmp u v = cmp a b*  
⟨*proof*⟩

**lemma** *nat-term-compD4''*:  
**assumes** *comparator cmp* **and** *nat-term-comp cmp* **and** *fst (rep-nat-term u) = fst (rep-nat-term a)*  
**and** *fst (rep-nat-term v) = fst (rep-nat-term b)* **and** *snd (rep-nat-term u) ≤ snd (rep-nat-term v)*  
**and** *snd (rep-nat-term a) = snd (rep-nat-term b)* **and** *cmp a b ≠ Gt*  
**shows** *cmp u v ≠ Gt*  
⟨*proof*⟩

**lemma** *comparator-lex-comp-aux*: *comparator (lex-comp-aux::'a::nat-term comparator)*  
⟨*proof*⟩

**lemma** *nat-term-comp-lex-comp-aux*: *nat-term-comp (lex-comp-aux::'a::nat-term comparator)*  
⟨*proof*⟩

**typedef** (overloaded) *'a nat-term-order* =  
{*cmp::'a::nat-term comparator. comparator cmp ∧ nat-term-comp cmp*}  
**morphisms** *nat-term-compare Abs-nat-term-order*  
⟨*proof*⟩

**lemma** *nat-term-compare-Abs-nat-term-order-id*:  
**assumes** *comparator cmp* **and** *nat-term-comp cmp*  
**shows** *nat-term-compare (Abs-nat-term-order cmp) = cmp*  
⟨*proof*⟩

**instantiation** *nat-term-order* :: (*type*) *equal*  
**begin**

**definition** *equal-nat-term-order* :: *'a nat-term-order ⇒ 'a nat-term-order ⇒ bool*  
**where** *equal-nat-term-order = (=)*

**instance**  $\langle proof \rangle$

**end**

**definition**  $nat-term-compare-inv :: 'a\ nat-term-order \Rightarrow 'a::nat-term\ comparator$   
**where**  $nat-term-compare-inv\ to = (\lambda x\ y.\ nat-term-compare\ to\ y\ x)$

**definition**  $key-order-of-nat-term-order :: 'a\ nat-term-order \Rightarrow 'a::nat-term\ key-order$   
**where**  $key-order-of-nat-term-order-def$  [code del]:  
 $key-order-of-nat-term-order\ to = Abs-key-order\ (nat-term-compare\ to)$

**definition**  $key-order-of-nat-term-order-inv :: 'a\ nat-term-order \Rightarrow 'a::nat-term\ key-order$   
**where**  $key-order-of-nat-term-order-inv-def$  [code del]:  
 $key-order-of-nat-term-order-inv\ to = Abs-key-order\ (nat-term-compare-inv\ to)$

**definition**  $le-of-nat-term-order :: 'a\ nat-term-order \Rightarrow 'a \Rightarrow 'a::nat-term \Rightarrow bool$   
**where**  $le-of-nat-term-order\ to = le-of-key-order\ (key-order-of-nat-term-order\ to)$

**definition**  $lt-of-nat-term-order :: 'a\ nat-term-order \Rightarrow 'a \Rightarrow 'a::nat-term \Rightarrow bool$   
**where**  $lt-of-nat-term-order\ to = lt-of-key-order\ (key-order-of-nat-term-order\ to)$

**definition**  $nat-term-order-of-le :: 'a::\{linorder,nat-term\}\ nat-term-order$   
**where**  $nat-term-order-of-le = Abs-nat-term-order\ (comparator-of)$

**lemma**  $comparator-nat-term-compare: comparator\ (nat-term-compare\ to)$   
 $\langle proof \rangle$

**lemma**  $nat-term-comp-nat-term-compare: nat-term-comp\ (nat-term-compare\ to)$   
 $\langle proof \rangle$

**lemma**  $nat-term-compare-splus: nat-term-compare\ to\ (splus\ t\ u)\ (splus\ t\ v) =$   
 $nat-term-compare\ to\ u\ v$   
 $\langle proof \rangle$

**lemma**  $nat-term-compare-conv: nat-term-compare\ to = key-compare\ (key-order-of-nat-term-order$   
 $to)$   
 $\langle proof \rangle$

**lemma**  $comparator-nat-term-compare-inv: comparator\ (nat-term-compare-inv\ to)$   
 $\langle proof \rangle$

**lemma**  $nat-term-compare-inv-conv: nat-term-compare-inv\ to = key-compare\ (key-order-of-nat-term-order-inv$   
 $to)$   
 $\langle proof \rangle$

**lemma**  $nat-term-compare-inv-alt$  [code-unfold]:  $nat-term-compare-inv\ to\ x\ y = nat-term-compare$   
 $to\ y\ x$   
 $\langle proof \rangle$

**lemma** *le-of-nat-term-order* [code]: *le-of-nat-term-order to x y = (nat-term-compare to x y ≠ Gt)*  
 ⟨proof⟩

**lemma** *lt-of-nat-term-order* [code]: *lt-of-nat-term-order to x y = (nat-term-compare to x y = Lt)*  
 ⟨proof⟩

**lemma** *le-of-nat-term-order-alt*:  
*le-of-nat-term-order to = (λu v. ko.le (key-order-of-nat-term-order-inv to) v u)*  
 ⟨proof⟩

**lemma** *lt-of-nat-term-order-alt*:  
*lt-of-nat-term-order to = (λu v. ko.lt (key-order-of-nat-term-order-inv to) v u)*  
 ⟨proof⟩

**lemma** *linorder-le-of-nat-term-order*: *class.linorder (le-of-nat-term-order to) (lt-of-nat-term-order to)*  
 ⟨proof⟩

**lemma** *le-of-nat-term-order-zero-min*: *le-of-nat-term-order to 0 (t::'a::nat-pp-term)*  
 ⟨proof⟩

**lemma** *le-of-nat-term-order-plus-monotone*:  
**assumes** *le-of-nat-term-order to s (t::'a::nat-pp-term)*  
**shows** *le-of-nat-term-order to (u + s) (u + t)*  
 ⟨proof⟩

**global-interpretation** *ko-ntm*: *comparator nat-term-compare-inv ko*  
**defines** *lookup-pair-ko-ntm = ko-ntm.lookup-pair*  
**and** *update-by-pair-ko-ntm = ko-ntm.update-by-pair*  
**and** *update-by-fun-pair-ko-ntm = ko-ntm.update-by-fun-pair*  
**and** *update-by-fun-gr-pair-ko-ntm = ko-ntm.update-by-fun-gr-pair*  
**and** *map2-val-pair-ko-ntm = ko-ntm.map2-val-pair*  
**and** *lex-ord-pair-ko-ntm = ko-ntm.lex-ord-pair*  
**and** *prod-ord-pair-ko-ntm = ko-ntm.prod-ord-pair*  
**and** *sort-oalist-ko-ntm' = ko-ntm.sort-oalist*  
 ⟨proof⟩

**lemma** *ko-ntm-le*: *ko-ntm.le to = (λx y. le-of-nat-term-order to y x)*  
 ⟨proof⟩

**global-interpretation** *ko-ntm*: *oalist-raw key-order-of-nat-term-order-inv*  
**rewrites** *comparator.lookup-pair (key-compare (key-order-of-nat-term-order-inv ko)) = lookup-pair-ko-ntm ko*  
**and** *comparator.update-by-pair (key-compare (key-order-of-nat-term-order-inv ko)) = update-by-pair-ko-ntm ko*  
**and** *comparator.update-by-fun-pair (key-compare (key-order-of-nat-term-order-inv ko)) = update-by-fun-pair-ko-ntm ko*

```

ko)) = update-by-fun-pair-ko-ntm ko
  and comparator.update-by-fun-gr-pair (key-compare (key-order-of-nat-term-order-inv
ko)) = update-by-fun-gr-pair-ko-ntm ko
  and comparator.map2-val-pair (key-compare (key-order-of-nat-term-order-inv ko))
= map2-val-pair-ko-ntm ko
  and comparator.lex-ord-pair (key-compare (key-order-of-nat-term-order-inv ko))
= lex-ord-pair-ko-ntm ko
  and comparator.prod-ord-pair (key-compare (key-order-of-nat-term-order-inv ko))
= prod-ord-pair-ko-ntm ko
  and comparator.sort-oalist (key-compare (key-order-of-nat-term-order-inv ko)) =
sort-oalist-ko-ntm' ko
  defines sort-oalist-aux-ko-ntm = ko-ntm.sort-oalist-aux
  and lookup-ko-ntm = ko-ntm.lookup-raw
  and sorted-domain-ko-ntm = ko-ntm.sorted-domain-raw
  and tl-ko-ntm = ko-ntm.tl-raw
  and min-key-val-ko-ntm = ko-ntm.min-key-val-raw
  and update-by-ko-ntm = ko-ntm.update-by-raw
  and update-by-fun-ko-ntm = ko-ntm.update-by-fun-raw
  and update-by-fun-gr-ko-ntm = ko-ntm.update-by-fun-gr-raw
  and map2-val-ko-ntm = ko-ntm.map2-val-raw
  and lex-ord-ko-ntm = ko-ntm.lex-ord-raw
  and prod-ord-ko-ntm = ko-ntm.prod-ord-raw
  and oalist-eq-ko-ntm = ko-ntm.oalist-eq-raw
  and sort-oalist-ko-ntm = ko-ntm.sort-oalist-raw
  <proof>

```

**lemma** *compute-min-key-val-ko-ntm* [code]:

```

min-key-val-ko-ntm ko (xs, ox) =
  (if ko = ox then hd else min-list-param (λx y. (le-of-nat-term-order ko) (fst y)
(fst x))) xs
<proof>

```

```

typedef (overloaded) ('a, 'b) oalist-ntm =
  {xs::('a, 'b)::zero, 'a::nat-term nat-term-order) oalist-raw. ko-ntm.oalist-inv xs}
morphisms list-of-oalist-ntm Abs-oalist-ntm
<proof>

```

**lemma** *oalist-ntm-eq-iff*:  $xs = ys \iff list\text{-of}\text{-oalist}\text{-ntm}\ xs = list\text{-of}\text{-oalist}\text{-ntm}\ ys$   
<proof>

**lemma** *oalist-ntm-eqI*:  $list\text{-of}\text{-oalist}\text{-ntm}\ xs = list\text{-of}\text{-oalist}\text{-ntm}\ ys \implies xs = ys$   
<proof>

Formal, totalized constructor for ('a, 'b) oalist-ntm:

```

definition OAlist-ntm :: ('a × 'b) list × 'a nat-term-order ⇒ ('a::nat-term, 'b::zero)
oalist-ntm
  where OAlist-ntm xs = Abs-oalist-ntm (sort-oalist-ko-ntm xs)

```

**definition** *oalist-of-list-ntm* = *O*Alist-ntm

**lemma** *oalist-inv-list-of-oalist-ntm*: *ko-ntm.oalist-inv (list-of-oalist-ntm xs)*  
 ⟨*proof*⟩

**lemma** *list-of-oalist-OAlist-ntm*: *list-of-oalist-ntm (OAlist-ntm xs) = sort-oalist-ko-ntm xs*  
 ⟨*proof*⟩

**lemma** *OAlist-list-of-oalist-ntm* [*simp, code abstype*]: *OAlist-ntm (list-of-oalist-ntm xs) = xs*  
 ⟨*proof*⟩

**lemma** [*code abstract*]: *list-of-oalist-ntm (oalist-of-list-ntm xs) = sort-oalist-ko-ntm xs*  
 ⟨*proof*⟩

**global-interpretation** *oa-ntm*: *oalist-abstract key-order-of-nat-term-order-inv list-of-oalist-ntm OAlist-ntm*

**defines** *OAlist-lookup-ntm* = *oa-ntm.lookup*  
**and** *OAlist-sorted-domain-ntm* = *oa-ntm.sorted-domain*  
**and** *OAlist-empty-ntm* = *oa-ntm.empty*  
**and** *OAlist-reorder-ntm* = *oa-ntm.reorder*  
**and** *OAlist-tl-ntm* = *oa-ntm.tl*  
**and** *OAlist-hd-ntm* = *oa-ntm.hd*  
**and** *OAlist-except-min-ntm* = *oa-ntm.except-min*  
**and** *OAlist-min-key-val-ntm* = *oa-ntm.min-key-val*  
**and** *OAlist-insert-ntm* = *oa-ntm.insert*  
**and** *OAlist-update-by-fun-ntm* = *oa-ntm.update-by-fun*  
**and** *OAlist-update-by-fun-gr-ntm* = *oa-ntm.update-by-fun-gr*  
**and** *OAlist-filter-ntm* = *oa-ntm.filter*  
**and** *OAlist-map2-val-neutr-ntm* = *oa-ntm.map2-val-neutr*  
**and** *OAlist-eq-ntm* = *oa-ntm.oalist-eq*  
 ⟨*proof*⟩

**global-interpretation** *oa-ntm*: *oalist-abstract3 key-order-of-nat-term-order-inv list-of-oalist-ntm::('a, 'b) oalist-ntm ⇒ ('a, 'b::zero, 'a::nat-term nat-term-order) oalist-raw OAlist-ntm*

*list-of-oalist-ntm::('a, 'c) oalist-ntm ⇒ ('a, 'c::zero, 'a nat-term-order) oalist-raw OAlist-ntm*

*list-of-oalist-ntm::('a, 'd) oalist-ntm ⇒ ('a, 'd::zero, 'a nat-term-order) oalist-raw OAlist-ntm*

**defines** *OAlist-map-val-ntm* = *oa-ntm.map-val*  
**and** *OAlist-map2-val-ntm* = *oa-ntm.map2-val*  
**and** *OAlist-map2-val-rneutr-ntm* = *oa-ntm.map2-val-rneutr*  
**and** *OAlist-lex-ord-ntm* = *oa-ntm.lex-ord*  
**and** *OAlist-prod-ord-ntm* = *oa-ntm.prod-ord* ⟨*proof*⟩

**lemmas** *OAlist-lookup-ntm-single* = *oa-ntm.lookup-oalist-of-list-single*[*folded oalist-of-list-ntm-def*]

end

## 14 Computable Term Orders

**theory** *Term-Order*  
  **imports** *OAlist-Poly-Mapping HOL-Library.Product-Lexorder*  
**begin**

### 14.1 Type Class *nat*

**class** *nat* = *zero* + *plus* + *minus* + *order* + *equal* +  
  **fixes** *rep-nat* :: 'a  $\Rightarrow$  *nat*  
  **and** *abs-nat* :: *nat*  $\Rightarrow$  'a  
  **assumes** *rep-inverse* [*simp*]: *abs-nat* (*rep-nat* x) = x  
  **and** *abs-inverse* [*simp*]: *rep-nat* (*abs-nat* n) = n  
  **and** *abs-zero* [*simp*]: *abs-nat* 0 = 0  
  **and** *abs-plus*: *abs-nat* m + *abs-nat* n = *abs-nat* (m + n)  
  **and** *abs-minus*: *abs-nat* m - *abs-nat* n = *abs-nat* (m - n)  
  **and** *abs-ord*: m  $\leq$  n  $\Longrightarrow$  *abs-nat* m  $\leq$  *abs-nat* n  
**begin**

**lemma** *rep-inj*:  
  **assumes** *rep-nat* x = *rep-nat* y  
  **shows** x = y  
*<proof>*

**corollary** *rep-eq-iff*: (*rep-nat* x = *rep-nat* y)  $\longleftrightarrow$  (x = y)  
*<proof>*

**lemma** *abs-inj*:  
  **assumes** *abs-nat* m = *abs-nat* n  
  **shows** m = n  
*<proof>*

**corollary** *abs-eq-iff*: (*abs-nat* m = *abs-nat* n)  $\longleftrightarrow$  (m = n)  
*<proof>*

**lemma** *rep-zero* [*simp*]: *rep-nat* 0 = 0  
*<proof>*

**lemma** *rep-zero-iff*: (*rep-nat* x = 0)  $\longleftrightarrow$  (x = 0)  
*<proof>*

**lemma** *plus-eq*: x + y = *abs-nat* (*rep-nat* x + *rep-nat* y)  
*<proof>*

**lemma** *rep-plus*: *rep-nat* (x + y) = *rep-nat* x + *rep-nat* y  
*<proof>*

**lemma** *minus-eq*:  $x - y = \text{abs-nat } (\text{rep-nat } x - \text{rep-nat } y)$   
⟨*proof*⟩

**lemma** *rep-minus*:  $\text{rep-nat } (x - y) = \text{rep-nat } x - \text{rep-nat } y$   
⟨*proof*⟩

**lemma** *ord-iff*:  
 $x \leq y \iff \text{rep-nat } x \leq \text{rep-nat } y$  (**is** *?thesis1*)  
 $x < y \iff \text{rep-nat } x < \text{rep-nat } y$  (**is** *?thesis2*)  
⟨*proof*⟩

**lemma** *ex-iff-abs*:  $(\exists x::'a. P x) \iff (\exists n::\text{nat}. P (\text{abs-nat } n))$   
⟨*proof*⟩

**lemma** *ex-iff-abs'*:  $(\exists x < \text{abs-nat } m. P x) \iff (\exists n::\text{nat} < m. P (\text{abs-nat } n))$   
⟨*proof*⟩

**lemma** *all-iff-abs*:  $(\forall x::'a. P x) \iff (\forall n::\text{nat}. P (\text{abs-nat } n))$   
⟨*proof*⟩

**lemma** *all-iff-abs'*:  $(\forall x < \text{abs-nat } m. P x) \iff (\forall n::\text{nat} < m. P (\text{abs-nat } n))$   
⟨*proof*⟩

**subclass** *linorder* ⟨*proof*⟩

**lemma** *comparator-of-rep* [*simp*]:  $\text{comparator-of } (\text{rep-nat } x) (\text{rep-nat } y) = \text{comparator-of } x y$   
⟨*proof*⟩

**subclass** *wellorder*  
⟨*proof*⟩

**subclass** *comm-monoid-add* ⟨*proof*⟩

**lemma** *sum-rep*:  $\text{sum } (\text{rep-nat } \circ f) A = \text{rep-nat } (\text{sum } f A)$  **for**  $f :: 'b \Rightarrow 'a$  **and**  $A :: 'b \text{ set}$   
⟨*proof*⟩

**subclass** *ordered-comm-monoid-add* ⟨*proof*⟩

**subclass** *countable* ⟨*proof*⟩

**subclass** *cancel-comm-monoid-add*  
⟨*proof*⟩

**subclass** *add-wellorder*  
⟨*proof*⟩

**end**

**lemma** *the-min-eq-zero*:  $the-min = (0 :: 'a :: \{the-min, nat\})$   
*<proof>*

**instantiation** *nat* :: *nat*  
**begin**

**definition** *rep-nat-nat* :: *nat*  $\Rightarrow$  *nat* **where** *rep-nat-nat-def* [*code-unfold*]: *rep-nat-nat*  
 $= (\lambda x. x)$

**definition** *abs-nat-nat* :: *nat*  $\Rightarrow$  *nat* **where** *abs-nat-nat-def* [*code-unfold*]: *abs-nat-nat*  
 $= (\lambda x. x)$

**instance** *<proof>*

**end**

**instantiation** *natural* :: *nat*  
**begin**

**definition** *rep-nat-natural* :: *natural*  $\Rightarrow$  *nat*

**where** *rep-nat-natural-def* [*code-unfold*]: *rep-nat-natural* = *nat-of-natural*

**definition** *abs-nat-natural* :: *nat*  $\Rightarrow$  *natural*

**where** *abs-nat-natural-def* [*code-unfold*]: *abs-nat-natural* = *natural-of-nat*

**instance** *<proof>*

**end**

## 14.2 Term Orders

### 14.2.1 Type Classes

**class** *nat-pp-compare* = *linorder* + *zero* + *plus* +

**fixes** *rep-nat-pp* :: 'a  $\Rightarrow$  (*nat*, *nat*) *pp*

**and** *abs-nat-pp* :: (*nat*, *nat*) *pp*  $\Rightarrow$  'a

**and** *lex-comp'* :: 'a *comparator*

**and** *deg'* :: 'a  $\Rightarrow$  *nat*

**assumes** *rep-nat-pp-inverse* [*simp*]: *abs-nat-pp* (*rep-nat-pp* *x*) = *x*

**and** *abs-nat-pp-inverse* [*simp*]: *rep-nat-pp* (*abs-nat-pp* *t*) = *t*

**and** *lex-comp'*: *lex-comp'* *x* *y* = *comp-of-ord* *lex-pp* (*rep-nat-pp* *x*) (*rep-nat-pp*

*y*)

**and** *deg'*: *deg'* *x* = *deg-pp* (*rep-nat-pp* *x*)

**and** *le-pp*: *rep-nat-pp* *x*  $\leq$  *rep-nat-pp* *y*  $\implies$  *x*  $\leq$  *y*

**and** *zero-pp*: *rep-nat-pp* 0 = 0

**and** *plus-pp*: *rep-nat-pp* (*x* + *y*) = *rep-nat-pp* *x* + *rep-nat-pp* *y*

**begin**

**lemma** *less-pp*:

**assumes** *rep-nat-pp* *x* < *rep-nat-pp* *y*

**shows**  $x < y$   
 ⟨proof⟩

**lemma** *rep-nat-pp-inj*:  
**assumes**  $\text{rep-nat-pp } x = \text{rep-nat-pp } y$   
**shows**  $x = y$   
 ⟨proof⟩

**lemma** *lex-comp'-EqD*:  
**assumes**  $\text{lex-comp}' x y = \text{Eq}$   
**shows**  $x = y$   
 ⟨proof⟩

**lemma** *lex-comp'-valE*:  
**assumes**  $\text{lex-comp}' s t \neq \text{Eq}$   
**obtains**  $x$  **where**  $x \in \text{keys-pp } (\text{rep-nat-pp } s) \cup \text{keys-pp } (\text{rep-nat-pp } t)$   
**and**  $\text{comparator-of } (\text{lookup-pp } (\text{rep-nat-pp } s) x) (\text{lookup-pp } (\text{rep-nat-pp } t) x) = \text{lex-comp}' s t$   
**and**  $\bigwedge y. y < x \implies \text{lookup-pp } (\text{rep-nat-pp } s) y = \text{lookup-pp } (\text{rep-nat-pp } t) y$   
 ⟨proof⟩

**end**

**class** *nat-term-compare* = *linorder* + *nat-term* +  
**fixes** *is-scalar* :: 'a itself  $\Rightarrow$  bool  
**and** *lex-comp* :: 'a comparator  
**and** *deg-comp* :: 'a comparator  $\Rightarrow$  'a comparator  
**and** *pot-comp* :: 'a comparator  $\Rightarrow$  'a comparator  
**assumes** *zero-component*:  $\exists x. \text{snd } (\text{rep-nat-term } x) = 0$   
**and** *is-scalar*:  $\text{is-scalar} = (\lambda-. \forall x. \text{snd } (\text{rep-nat-term } x) = 0)$   
**and** *lex-comp*:  $\text{lex-comp} = \text{lex-comp-aux}$  — For being able to implement *lex-comp* efficiently.  
**and** *deg-comp*:  $\text{deg-comp } \text{cmp} = (\lambda x y. \text{case } \text{comparator-of } (\text{deg-pp } (\text{fst } (\text{rep-nat-term } x))) (\text{deg-pp } (\text{fst } (\text{rep-nat-term } y)))) \text{ of } \text{Eq} \Rightarrow \text{cmp } x y \mid \text{val} \Rightarrow \text{val})$   
**and** *pot-comp*:  $\text{pot-comp } \text{cmp} = (\lambda x y. \text{case } \text{comparator-of } (\text{snd } (\text{rep-nat-term } x)) (\text{snd } (\text{rep-nat-term } y))) \text{ of } \text{Eq} \Rightarrow \text{cmp } x y \mid \text{val} \Rightarrow \text{val})$   
**and** *le-term*:  $\text{rep-nat-term } x \leq \text{rep-nat-term } y \implies x \leq y$   
**begin**

There is no need to add something like *top-comp* for TOP orders to class *nat-term-compare*, because by default all comparators should *first* compare power-products and *then* positions. *lex-comp* obviously does.

**lemma** *less-term*:  
**assumes**  $\text{rep-nat-term } x < \text{rep-nat-term } y$   
**shows**  $x < y$   
 ⟨proof⟩

**lemma** *lex-comp-alt*:  $\text{lex-comp} = (\text{comparator-of}::'a \text{ comparator})$   
 ⟨proof⟩

**lemma** *full-component-zeroE*: obtains  $x$  where  $\text{rep-nat-term } x = (t, 0)$   
*<proof>*

**end**

**lemma** *comparator-lex-comp*: *comparator lex-comp*  
*<proof>*

**lemma** *nat-term-comp-lex-comp*: *nat-term-comp lex-comp*  
*<proof>*

**lemma** *comparator-deg-comp*:  
assumes *comparator cmp*  
shows *comparator (deg-comp cmp)*  
*<proof>*

**lemma** *comparator-pot-comp*:  
assumes *comparator cmp*  
shows *comparator (pot-comp cmp)*  
*<proof>*

**lemma** *deg-comp-zero-min*:  
assumes *comparator cmp* and  $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$  and  
 $\text{fst } (\text{rep-nat-term } u) = 0$   
shows  $\text{deg-comp } \text{cmp } u \ v \neq \text{Gt}$   
*<proof>*

**lemma** *deg-comp-pos*:  
assumes  $\text{cmp } u \ v = \text{Lt}$  and  $\text{fst } (\text{rep-nat-term } u) = \text{fst } (\text{rep-nat-term } v)$   
shows  $\text{deg-comp } \text{cmp } u \ v = \text{Lt}$   
*<proof>*

**lemma** *deg-comp-monotone*:  
assumes  $\text{cmp } u \ v = \text{Lt} \implies \text{cmp } (\text{splus } t \ u) \ (\text{splus } t \ v) = \text{Lt}$  and  $\text{deg-comp } \text{cmp}$   
 $u \ v = \text{Lt}$   
shows  $\text{deg-comp } \text{cmp } (\text{splus } t \ u) \ (\text{splus } t \ v) = \text{Lt}$   
*<proof>*

**lemma** *pot-comp-zero-min*:  
assumes  $\text{cmp } u \ v \neq \text{Gt}$  and  $\text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v)$   
shows  $\text{pot-comp } \text{cmp } u \ v \neq \text{Gt}$   
*<proof>*

**lemma** *pot-comp-pos*:  
assumes  $\text{snd } (\text{rep-nat-term } u) < \text{snd } (\text{rep-nat-term } v)$   
shows  $\text{pot-comp } \text{cmp } u \ v = \text{Lt}$

*<proof>*

**lemma** *pot-comp-monotone*:

**assumes**  $cmp\ u\ v = Lt \implies cmp\ (splus\ t\ u)\ (splus\ t\ v) = Lt$  **and** *pot-comp*  $cmp\ u\ v = Lt$

**shows** *pot-comp*  $cmp\ (splus\ t\ u)\ (splus\ t\ v) = Lt$   
*<proof>*

**lemma** *deg-comp-cong*:

**assumes**  $deg\text{-}pp\ (fst\ (rep\text{-}nat\text{-}term\ u)) = deg\text{-}pp\ (fst\ (rep\text{-}nat\text{-}term\ v)) \implies to1\ u\ v = to2\ u\ v$

**shows** *deg-comp*  $to1\ u\ v = deg\text{-}comp\ to2\ u\ v$   
*<proof>*

**lemma** *pot-comp-cong*:

**assumes**  $snd\ (rep\text{-}nat\text{-}term\ u) = snd\ (rep\text{-}nat\text{-}term\ v) \implies to1\ u\ v = to2\ u\ v$

**shows** *pot-comp*  $to1\ u\ v = pot\text{-}comp\ to2\ u\ v$   
*<proof>*

**instantiation**  $pp :: (nat, nat)\ nat\text{-}pp\text{-}compare$

**begin**

**definition** *rep-nat-pp-pp* ::  $('a, 'b)\ pp \Rightarrow (nat, nat)\ pp$

**where** *rep-nat-pp-pp-def* [*code del*]:  $rep\text{-}nat\text{-}pp\text{-}pp\ x = pp\text{-}of\text{-}fun\ (\lambda n::nat.\ rep\text{-}nat\ (lookup\text{-}pp\ x\ (abs\text{-}nat\ n)))$

**definition** *abs-nat-pp-pp* ::  $(nat, nat)\ pp \Rightarrow ('a, 'b)\ pp$

**where** *abs-nat-pp-pp-def* [*code del*]:  $abs\text{-}nat\text{-}pp\text{-}pp\ t = pp\text{-}of\text{-}fun\ (\lambda n::'a.\ abs\text{-}nat\ (lookup\text{-}pp\ t\ (rep\text{-}nat\ n)))$

**definition** *lex-comp'-pp* ::  $('a, 'b)\ pp\ comparator$

**where** *lex-comp'-pp-def* [*code del*]:  $lex\text{-}comp'\text{-}pp = comp\text{-}of\text{-}ord\ lex\text{-}pp$

**definition** *deg'-pp* ::  $('a, 'b)\ pp \Rightarrow nat$

**where** *deg'-pp*  $x = rep\text{-}nat\ (deg\text{-}pp\ x)$

**lemma** *lookup-rep-nat-pp-pp*:

$lookup\text{-}pp\ (rep\text{-}nat\text{-}pp\ t) = (\lambda n::nat.\ rep\text{-}nat\ (lookup\text{-}pp\ t\ (abs\text{-}nat\ n)))$   
*<proof>*

**lemma** *lookup-abs-nat-pp-pp*:

$lookup\text{-}pp\ (abs\text{-}nat\text{-}pp\ t) = (\lambda n::'a.\ abs\text{-}nat\ (lookup\text{-}pp\ t\ (rep\text{-}nat\ n)))$   
*<proof>*

**lemma** *keys-rep-nat-pp-pp*:  $keys\text{-}pp\ (rep\text{-}nat\text{-}pp\ t) = rep\text{-}nat\ ' keys\text{-}pp\ t$

*<proof>*

**lemma** *rep-nat-pp-pp-inverse*:  $abs\text{-}nat\text{-}pp\ (rep\text{-}nat\text{-}pp\ x) = x$  **for**  $x::('a, 'b)\ pp$

*<proof>*

**lemma** *abs-nat-pp-pp-inverse*:  $\text{rep-nat-pp } ((\text{abs-nat-pp } t)::('a, 'b) \text{ pp}) = t$   
*<proof>*

**corollary** *rep-nat-pp-pp-inj*:  
**fixes**  $x y :: ('a, 'b) \text{ pp}$   
**assumes**  $\text{rep-nat-pp } x = \text{rep-nat-pp } y$   
**shows**  $x = y$   
*<proof>*

**corollary** *rep-nat-pp-pp-eq-iff*:  $(\text{rep-nat-pp } x = \text{rep-nat-pp } y) \longleftrightarrow (x = y)$  **for**  $x y$   
 $:: ('a, 'b) \text{ pp}$   
*<proof>*

**lemma** *lex-rep-nat-pp*:  $\text{lex-pp } (\text{rep-nat-pp } x) (\text{rep-nat-pp } y) \longleftrightarrow \text{lex-pp } x y$   
*<proof>*

**corollary** *lex-comp'-pp*:  $\text{lex-comp}' x y = \text{comp-of-ord } \text{lex-pp } (\text{rep-nat-pp } x) (\text{rep-nat-pp } y)$   
**for**  $x y :: ('a, 'b) \text{ pp}$   
*<proof>*

**corollary** *le-pp-pp*:  $\text{rep-nat-pp } x \leq \text{rep-nat-pp } y \implies x \leq y$  **for**  $x y :: ('a, 'b) \text{ pp}$   
*<proof>*

**lemma** *deg-rep-nat-pp*:  $\text{deg-pp } (\text{rep-nat-pp } t) = \text{rep-nat } (\text{deg-pp } t)$  **for**  $t :: ('a, 'b) \text{ pp}$   
*<proof>*

**corollary** *deg'-pp*:  $\text{deg}' t = \text{deg-pp } (\text{rep-nat-pp } t)$  **for**  $t :: ('a, 'b) \text{ pp}$   
*<proof>*

**lemma** *zero-pp-pp*:  $\text{rep-nat-pp } (0::('a, 'b) \text{ pp}) = 0$   
*<proof>*

**lemma** *plus-pp-pp*:  $\text{rep-nat-pp } (x + y) = \text{rep-nat-pp } x + \text{rep-nat-pp } y$   
**for**  $x y :: ('a, 'b) \text{ pp}$   
*<proof>*

**instance**  
*<proof>*

**end**

**instantiation**  $\text{pp} :: (\text{nat}, \text{nat}) \text{ nat-term}$   
**begin**

**definition** *rep-nat-term-pp*  $:: ('a, 'b) \text{ pp} \Rightarrow (\text{nat}, \text{nat}) \text{ pp} \times \text{nat}$   
**where** *rep-nat-term-pp-def* [code del]:  $\text{rep-nat-term-pp } t = (\text{rep-nat-pp } t, 0)$

**definition** *splus-pp* :: ('a, 'b) pp ⇒ ('a, 'b) pp ⇒ ('a, 'b) pp  
**where** *splus-pp-def* [code del]: *splus-pp* = (+)

**instance** ⟨*proof*⟩

**end**

**instantiation** *pp* :: (nat, nat) nat-term-compare  
**begin**

**definition** *is-scalar-pp* :: ('a, 'b) pp itself ⇒ bool  
**where** *is-scalar-pp-def* [code-unfold]: *is-scalar-pp* = (λ-. True)

**definition** *lex-comp-pp* :: ('a, 'b) pp comparator  
**where** *lex-comp-pp-def* [code-unfold]: *lex-comp-pp* = *lex-comp'*

**definition** *deg-comp-pp* :: ('a, 'b) pp comparator ⇒ ('a, 'b) pp comparator  
**where** *deg-comp-pp-def*: *deg-comp-pp cmp* = (λx y. case comparator-of (deg-pp x) (deg-pp y) of Eq ⇒ *cmp* x y | val ⇒ val)

**definition** *pot-comp-pp* :: ('a, 'b) pp comparator ⇒ ('a, 'b) pp comparator  
**where** *pot-comp-pp-def* [code-unfold]: *pot-comp-pp* = (λ*cmp*. *cmp*)

**instance** ⟨*proof*⟩

**end**

**instance** *pp* :: (nat, nat) nat-pp-term  
⟨*proof*⟩

**instantiation** *prod* :: ({nat-pp-compare, comm-powerprod}, nat) nat-term  
**begin**

**definition** *rep-nat-term-prod* :: ('a × 'b) ⇒ ((nat, nat) pp × nat)  
**where** *rep-nat-term-prod-def* [code del]: *rep-nat-term-prod* u = (*rep-nat-pp* (fst u), *rep-nat* (snd u))

**definition** *splus-prod* :: ('a × 'b) ⇒ ('a × 'b) ⇒ ('a × 'b)  
**where** *splus-prod-def* [code del]: *splus-prod* t u = *pprod.splus* (fst t) u

**instance** ⟨*proof*⟩

**end**

**instantiation** *prod* :: ({nat-pp-compare, comm-powerprod}, nat) nat-term-compare  
**begin**

**definition** *is-scalar-prod* :: ('a × 'b) itself ⇒ bool  
**where** *is-scalar-prod-def* [code-unfold]: *is-scalar-prod* = (λ-. False)

**definition** *lex-comp-prod* :: ('a × 'b) comparator  
**where** *lex-comp-prod* = (λu v. case *lex-comp'* (fst u) (fst v) of Eq ⇒ comparator-of (snd u) (snd v) | val ⇒ val)

**definition** *deg-comp-prod* :: ('a × 'b) comparator ⇒ ('a × 'b) comparator  
**where** *deg-comp-prod-def*: *deg-comp-prod cmp* = (λx y. case comparator-of (deg' (fst x)) (deg' (fst y)) of Eq ⇒ cmp x y | val ⇒ val)

**definition** *pot-comp-prod* :: ('a × 'b) comparator ⇒ ('a × 'b) comparator  
**where** *pot-comp-prod cmp* = (λu v. case comparator-of (snd u) (snd v) of Eq ⇒ cmp u v | val ⇒ val)

**instance** ⟨proof⟩

**end**

**lemmas** [code del] = *deg-pp.rep-eq plus-pp.abs-eq minus-pp.abs-eq*

**lemma** *rep-nat-pp-nat* [code-unfold]: (*rep-nat-pp*::(nat, nat) pp ⇒ (nat, nat) pp)  
= (λx. x)  
⟨proof⟩

### 14.2.2 LEX, DRLEX, DEG and POT

**definition** *LEX* :: 'a::nat-term-compare nat-term-order **where** *LEX* = *Abs-nat-term-order lex-comp*

**definition** *DRLEX* :: 'a::nat-term-compare nat-term-order  
**where** *DRLEX* = *Abs-nat-term-order (deg-comp (pot-comp (λx y. lex-comp y x)))*

**definition** *DEG* :: 'a::nat-term-compare nat-term-order ⇒ 'a nat-term-order  
**where** *DEG to* = *Abs-nat-term-order (deg-comp (nat-term-compare to))*

**definition** *POT* :: 'a::nat-term-compare nat-term-order ⇒ 'a nat-term-order  
**where** *POT to* = *Abs-nat-term-order (pot-comp (nat-term-compare to))*

*DRLEX* must apply *pot-comp*, for otherwise it does not satisfy the second condition of *nat-term-comp*.

Instead of *DRLEX* one could also introduce another unary constructor *DEGREV*, analogous to *DEG* and *POT*. Then, however, proving (in)equalities of the term orders gets really messy (think of *DEG (POT to) = DEGREV (DEGREV to)*, for instance). So, we restrict the formalization to *DRLEX* only.

**abbreviation** *DLEX* ≡ *DEG LEX*

**code-datatype** *LEX DRLEX DEG POT*

**lemma** *nat-term-compare-LEX* [code]: *nat-term-compare LEX = lex-comp*  
 ⟨proof⟩

**lemma** *nat-term-compare-DRLEX* [code]: *nat-term-compare DRLEX = deg-comp*  
 (*pot-comp* ( $\lambda x y. \text{lex-comp } y x$ ))  
 ⟨proof⟩

**lemma** *nat-term-compare-DEG* [code]: *nat-term-compare (DEG to) = deg-comp*  
 (*nat-term-compare to*)  
 ⟨proof⟩

**lemma** *nat-term-compare-POT* [code]: *nat-term-compare (POT to) = pot-comp*  
 (*nat-term-compare to*)  
 ⟨proof⟩

**lemma** *nat-term-compare-POT-DRLEX* [code]:  
*nat-term-compare (POT DRLEX) = pot-comp (deg-comp ( $\lambda x y. \text{lex-comp } y x$ ))*  
 ⟨proof⟩

**lemma** *compute-lex-pp* [code]: *lex-pp p q = (lex-comp' p q ≠ Gt)*  
 ⟨proof⟩

**lemma** *compute-dlex-pp* [code]: *dlex-pp p q = (deg-comp lex-comp' p q ≠ Gt)*  
 ⟨proof⟩

**lemma** *compute-drlex-pp* [code]: *drlex-pp p q = (deg-comp ( $\lambda x y. \text{lex-comp' } y x$ ) p*  
*q ≠ Gt)*  
 ⟨proof⟩

**lemma** *nat-pp-order-of-le-nat-pp* [code]: *nat-term-order-of-le = LEX*  
 ⟨proof⟩

### 14.2.3 Equality of Term Orders

**definition** *nat-term-order-eq* :: 'a *nat-term-order* ⇒ 'a::*nat-term-compare nat-term-order*  
 ⇒ *bool* ⇒ *bool* ⇒ *bool*

**where** *nat-term-order-eq-def* [code del]:  
*nat-term-order-eq to1 to2 dg ps =*  
 ( $\forall u v. (dg \longrightarrow \text{deg-pp } (\text{fst } (\text{rep-nat-term } u)) = \text{deg-pp } (\text{fst } (\text{rep-nat-term } v))) \longrightarrow$   
 ( $ps \longrightarrow \text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v) \longrightarrow$   
*nat-term-compare to1 u v = nat-term-compare to2 u v*)

**lemma** *nat-term-order-eqI*:  
**assumes**  $\bigwedge u v. (dg \implies \text{deg-pp } (\text{fst } (\text{rep-nat-term } u)) = \text{deg-pp } (\text{fst } (\text{rep-nat-term } v))) \implies$   
 ( $ps \implies \text{snd } (\text{rep-nat-term } u) = \text{snd } (\text{rep-nat-term } v) \implies$   
*nat-term-compare to1 u v = nat-term-compare to2 u v*)

**shows** *nat-term-order-eq to1 to2 dg ps*  
 ⟨*proof*⟩

**lemma** *nat-term-order-eqD*:

**assumes** *nat-term-order-eq to1 to2 dg ps*  
**and**  $dg \implies deg\text{-}pp\ (fst\ (rep\text{-}nat\text{-}term\ u)) = deg\text{-}pp\ (fst\ (rep\text{-}nat\text{-}term\ v))$   
**and**  $ps \implies snd\ (rep\text{-}nat\text{-}term\ u) = snd\ (rep\text{-}nat\text{-}term\ v)$   
**shows**  $nat\text{-}term\text{-}compare\ to1\ u\ v = nat\text{-}term\text{-}compare\ to2\ u\ v$   
 ⟨*proof*⟩

**lemma** *nat-term-order-eq-sym*:  $nat\text{-}term\text{-}order\text{-}eq\ to1\ to2\ dg\ ps \longleftrightarrow nat\text{-}term\text{-}order\text{-}eq\ to2\ to1\ dg\ ps$   
 ⟨*proof*⟩

**lemma** *nat-term-order-eq-DEG-dg*:

$nat\text{-}term\text{-}order\text{-}eq\ (DEG\ to1)\ to2\ True\ ps \longleftrightarrow nat\text{-}term\text{-}order\text{-}eq\ to1\ to2\ True\ ps$   
 ⟨*proof*⟩

**lemma** *nat-term-order-eq-DEG-dg'*:

$nat\text{-}term\text{-}order\text{-}eq\ to1\ (DEG\ to2)\ True\ ps \longleftrightarrow nat\text{-}term\text{-}order\text{-}eq\ to1\ to2\ True\ ps$   
 ⟨*proof*⟩

**lemma** *nat-term-order-eq-POT-ps*:

**assumes**  $ps \vee is\text{-}scalar\ TYPE('a::nat\text{-}term\text{-}compare)$   
**shows**  $nat\text{-}term\text{-}order\text{-}eq\ (POT\ (to1::'a\ nat\text{-}term\text{-}order))\ to2\ dg\ ps \longleftrightarrow nat\text{-}term\text{-}order\text{-}eq\ to1\ to2\ dg\ ps$   
 ⟨*proof*⟩

**lemma** *nat-term-order-eq-POT-ps'*:

**assumes**  $ps \vee is\text{-}scalar\ TYPE('a::nat\text{-}term\text{-}compare)$   
**shows**  $nat\text{-}term\text{-}order\text{-}eq\ to1\ (POT\ (to2::'a\ nat\text{-}term\text{-}order))\ dg\ ps \longleftrightarrow nat\text{-}term\text{-}order\text{-}eq\ to1\ to2\ dg\ ps$   
 ⟨*proof*⟩

**lemma** *snd-rep-nat-term-eqI*:

**assumes**  $ps \vee is\text{-}scalar\ TYPE('a::nat\text{-}term\text{-}compare)$  **and**  $ps \implies snd\ (rep\text{-}nat\text{-}term\ (u::'a)) = snd\ (rep\text{-}nat\text{-}term\ (v::'a))$   
**shows**  $snd\ (rep\text{-}nat\text{-}term\ u) = snd\ (rep\text{-}nat\text{-}term\ v)$   
 ⟨*proof*⟩

**definition** *of-exps* ::  $nat \Rightarrow nat \Rightarrow nat \Rightarrow 'a::nat\text{-}term\text{-}compare$

**where** *of-exps*  $a\ b\ i =$   
 (*THE*  $u.$   $rep\text{-}nat\text{-}term\ u = (pp\text{-}of\text{-}fun\ (\lambda x. \text{if } x = 0 \text{ then } a \text{ else if } x = 1 \text{ then } b \text{ else } 0),$   
 $\text{if } (\exists v::'a. snd\ (rep\text{-}nat\text{-}term\ v) = i) \text{ then } i \text{ else } 0)$ )

*of-exps* is an auxiliary function needed for proving the equalities of the various term orders.

**lemma** *rep-nat-term-of-exps*:

$\text{rep-nat-term } ((\text{of-exps } a \ b \ i)::'a::\text{nat-term-compare}) =$   
 $(\text{pp-of-fun } (\lambda x::\text{nat. if } x = 0 \text{ then } a \text{ else if } x = 1 \text{ then } b \text{ else } 0), \text{ if } (\exists y::'a. \text{snd}$   
 $(\text{rep-nat-term } y) = i) \text{ then } i \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *lookup-pp-of-exps*:

$\text{lookup-pp } (\text{fst } (\text{rep-nat-term } (\text{of-exps } a \ b \ i))) = (\lambda x. \text{if } x = 0 \text{ then } a \text{ else if } x =$   
 $1 \text{ then } b \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *keys-pp-of-exps*:  $\text{keys-pp } (\text{fst } (\text{rep-nat-term } (\text{of-exps } a \ b \ i))) \subseteq \{0, 1\}$   
 $\langle \text{proof} \rangle$

**lemma** *deg-pp-of-exps [simp]*:  $\text{deg-pp } (\text{fst } (\text{rep-nat-term } ((\text{of-exps } a \ b \ i)::'a::\text{nat-term-compare})))$   
 $= a + b$   
 $\langle \text{proof} \rangle$

**lemma** *snd-of-exps*:

**assumes**  $\text{snd } (\text{rep-nat-term } (x::'a)) = i$   
**shows**  $\text{snd } (\text{rep-nat-term } ((\text{of-exps } a \ b \ i)::'a::\text{nat-term-compare})) = i$   
 $\langle \text{proof} \rangle$

**lemma** *snd-of-exps-zero [simp]*:  $\text{snd } (\text{rep-nat-term } ((\text{of-exps } a \ b \ 0)::'a::\text{nat-term-compare}))$   
 $= 0$   
 $\langle \text{proof} \rangle$

**lemma** *eq-of-exps*:

$(\text{fst } (\text{rep-nat-term } (\text{of-exps } a1 \ b1 \ i))) = \text{fst } (\text{rep-nat-term } (\text{of-exps } a2 \ b2 \ j)) \longleftrightarrow$   
 $(a1 = a2 \wedge b1 = b2)$   
 $\langle \text{proof} \rangle$

**lemma** *lex-pp-of-exps*:

$\text{lex-pp } (\text{fst } (\text{rep-nat-term } ((\text{of-exps } a1 \ b1 \ i)::'a))) (\text{fst } (\text{rep-nat-term } ((\text{of-exps } a2$   
 $b2 \ j)::'a::\text{nat-term-compare}))) \longleftrightarrow$   
 $(a1 < a2 \vee (a1 = a2 \wedge b1 \leq b2))$  (**is** ?L  $\longleftrightarrow$  ?R)  
 $\langle \text{proof} \rangle$

**lemma** *LEX-eq [code]*:

$\text{nat-term-order-eq } \text{LEX } (\text{LEX}::'a \ \text{nat-term-order}) \ \text{dg } \text{ps} = \text{True}$  (**is** ?thesis1)  
 $\text{nat-term-order-eq } \text{LEX } (\text{DRLEX}::'a \ \text{nat-term-order}) \ \text{dg } \text{ps} = \text{False}$  (**is** ?thesis2)  
 $\text{nat-term-order-eq } \text{LEX } (\text{DEG } (\text{to}::'a \ \text{nat-term-order})) \ \text{dg } \text{ps} =$   
 $(\text{dg} \wedge \text{nat-term-order-eq } \text{LEX } \text{to } \text{dg } \text{ps})$  (**is** ?thesis3)  
 $\text{nat-term-order-eq } \text{LEX } (\text{POT } (\text{to}::'a \ \text{nat-term-order})) \ \text{dg } \text{ps} =$   
 $((\text{ps} \vee \text{is-scalar } \text{TYPE}('a::\text{nat-term-compare})) \wedge \text{nat-term-order-eq } \text{LEX } \text{to } \text{dg}$   
 $\text{ps})$  (**is** ?thesis4)  
 $\langle \text{proof} \rangle$

**lemma** *DRLEX-eq [code]*:

$\text{nat-term-order-eq } \text{DRLEX } (\text{LEX}::'a \ \text{nat-term-order}) \ \text{dg } \text{ps} = \text{False}$  (**is** ?thesis1)

```

nat-term-order-eq DRLEX DRLEX dg ps = True (is ?thesis2)
nat-term-order-eq DRLEX (DEG (to::'a nat-term-order)) dg ps =
  nat-term-order-eq DRLEX to True ps (is ?thesis3)
nat-term-order-eq DRLEX (POT (to::'a nat-term-order)) dg ps =
  ((dg ∨ ps ∨ is-scalar TYPE('a::nat-term-compare)) ∧ nat-term-order-eq DRLEX
to dg True) (is ?thesis4)
⟨proof⟩

```

**lemma** *DEG-eq* [code]:

```

nat-term-order-eq (DEG to) (LEX::'a nat-term-order) dg ps = nat-term-order-eq
LEX (DEG to) dg ps
nat-term-order-eq (DEG to) (DRLEX::'a nat-term-order) dg ps = nat-term-order-eq
DRLEX (DEG to) dg ps
nat-term-order-eq (DEG to1) (DEG (to2::'a nat-term-order)) dg ps =
  nat-term-order-eq to1 to2 True ps (is ?thesis3)
nat-term-order-eq (DEG to1) (POT (to2::'a nat-term-order)) dg ps =
  (if dg then nat-term-order-eq to1 (POT to2) dg ps
  else ((ps ∨ is-scalar TYPE('a::nat-term-compare)) ∧ nat-term-order-eq (DEG
to1) to2 dg ps)) (is ?thesis4)
⟨proof⟩

```

**lemma** *POT-eq* [code]:

```

nat-term-order-eq (POT to) LEX dg ps = nat-term-order-eq LEX (POT to) dg
ps
nat-term-order-eq (POT to1) (DEG to2) dg ps = nat-term-order-eq (DEG to2)
(POT to1) dg ps
nat-term-order-eq (POT to1) DRLEX dg ps = nat-term-order-eq DRLEX (POT
to1) dg ps
nat-term-order-eq (POT to1) (POT (to2::'a::nat-term-compare nat-term-order))
dg ps =
  nat-term-order-eq to1 to2 dg True (is ?thesis4)
⟨proof⟩

```

**lemma** *nat-term-order-equal* [code]: *HOL.equal to1 to2 = nat-term-order-eq to1 to2 False False*

```

⟨proof⟩

```

**hide-const** (open) *of-exps*

**value** [code] *DEG (POT DRLEX) = (DRLEX::((nat, nat) pp × nat) nat-term-order)*

**value** [code] *POT LEX = (LEX::((nat, nat) pp × nat) nat-term-order)*

**value** [code] *POT LEX = (LEX::(nat, nat) pp nat-term-order)*

**end**

## 15 Executable Representation of Polynomial Mappings as Association Lists

```
theory MPoly-Type-Class-OAlist
  imports Term-Order
begin
```

```
instantiation pp :: (type, {equal, zero}) equal
begin
```

```
definition equal-pp :: ('a, 'b) pp  $\Rightarrow$  ('a, 'b) pp  $\Rightarrow$  bool where
  equal-pp p q  $\equiv$  ( $\forall t$ . lookup-pp p t = lookup-pp q t)
```

```
instance <proof>
```

```
end
```

```
instantiation poly-mapping :: (type, {equal, zero}) equal
begin
```

```
definition equal-poly-mapping :: ('a, 'b) poly-mapping  $\Rightarrow$  ('a, 'b) poly-mapping  $\Rightarrow$ 
  bool where
  equal-poly-mapping-def [code del]: equal-poly-mapping p q  $\equiv$  ( $\forall t$ . lookup p t =
  lookup q t)
```

```
instance <proof>
```

```
end
```

### 15.1 Power-Products Represented by *oalist-tc*

```
definition PP-oalist :: ('a::linorder, 'b::zero) oalist-tc  $\Rightarrow$  ('a, 'b) pp
  where PP-oalist xs = pp-of-fun (OAlist-tc-lookup xs)
```

```
code-datatype PP-oalist
```

```
lemma lookup-PP-oalist [simp, code]: lookup-pp (PP-oalist xs) = OAlist-tc-lookup
  xs
  <proof>
```

```
lemma keys-PP-oalist [code]: keys-pp (PP-oalist xs) = set (OAlist-tc-sorted-domain
  xs)
  <proof>
```

```
lemma lex-comp-PP-oalist [code]:
  lex-comp' (PP-oalist xs) (PP-oalist ys) =
    the (OAlist-tc-lex-ord ( $\lambda$ - x y. Some (comparator-of x y)) xs ys)
  for xs ys::('a::nat, 'b::nat) oalist-tc
  <proof>
```

**lemma** *zero-PP-oalist* [code]:  $(0::('a::linorder, 'b::zero) pp) = PP-oalist\ Oalist-tc-empty$   
 ⟨proof⟩

**lemma** *plus-PP-oalist* [code]:  
 $PP-oalist\ xs + PP-oalist\ ys = PP-oalist\ (Oalist-tc-map2-val-neutr\ (\lambda-. (+))\ xs$   
 $ys)$   
 ⟨proof⟩

**lemma** *minus-PP-oalist* [code]:  
 $PP-oalist\ xs - PP-oalist\ ys = PP-oalist\ (Oalist-tc-map2-val-rneutr\ (\lambda-. (-))\ xs$   
 $ys)$   
 ⟨proof⟩

**lemma** *equal-PP-oalist* [code]:  $equal-class.equal\ (PP-oalist\ xs)\ (PP-oalist\ ys) = (xs$   
 $= ys)$   
 ⟨proof⟩

**lemma** *lcs-PP-oalist* [code]:  
 $lcs\ (PP-oalist\ xs)\ (PP-oalist\ ys) = PP-oalist\ (Oalist-tc-map2-val-neutr\ (\lambda-. max)$   
 $xs\ ys)$   
**for**  $xs\ ys :: ('a::linorder, 'b::add-linorder-min)\ oalist-tc$   
 ⟨proof⟩

**lemma** *deg-pp-PP-oalist* [code]:  $deg-pp\ (PP-oalist\ xs) = sum-list\ (map\ snd\ (list-of-oalist-tc$   
 $xs))$   
 ⟨proof⟩

**lemma** *single-PP-oalist* [code]:  $single-pp\ x\ e = PP-oalist\ (oalist-tc-of-list\ [(x, e)])$   
 ⟨proof⟩

**definition** *adds-pp-add-linorder* ::  $('b, 'a::add-linorder)\ pp \Rightarrow - \Rightarrow bool$   
**where** [code-abbrev]:  $adds-pp-add-linorder = (adds)$

**lemma** *adds-pp-PP-oalist* [code]:  
 $adds-pp-add-linorder\ (PP-oalist\ xs)\ (PP-oalist\ ys) = Oalist-tc-prod-ord\ (\lambda-. less-eq)$   
 $xs\ ys$   
**for**  $xs\ ys :: ('a::linorder, 'b::add-linorder-min)\ oalist-tc$   
 ⟨proof⟩

### 15.1.1 Constructor

**definition**  $sparse_0\ xs = PP-oalist\ (oalist-tc-of-list\ xs)$  — sparse representation

### 15.1.2 Computations

**experiment begin**

**abbreviation**  $X \equiv 0::nat$

**abbreviation**  $Y \equiv 1::nat$

**abbreviation**  $Z \equiv 2::nat$

**value**  $[code]$   $sparse_0 [(X, 2::nat), (Z, 7)]$

**lemma**

$sparse_0 [(X, 2::nat), (Z, 7)] - sparse_0 [(X, 2), (Z, 2)] = sparse_0 [(Z, 5)]$   
 $\langle proof \rangle$

**lemma**

$les (sparse_0 [(X, 2::nat), (Y, 1), (Z, 7)]) (sparse_0 [(Y, 3), (Z, 2)]) = sparse_0 [(X, 2), (Y, 3), (Z, 7)]$   
 $\langle proof \rangle$

**lemma**

$(sparse_0 [(X, 2::nat), (Z, 1)]) adds (sparse_0 [(X, 3), (Y, 2), (Z, 1)])$   
 $\langle proof \rangle$

**lemma**

$lookup-pp (sparse_0 [(X, 2::nat), (Z, 3)]) X = 2$   
 $\langle proof \rangle$

**lemma**

$deg-pp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3), (X, 1)]) = 6$   
 $\langle proof \rangle$

**lemma**

$lex-comp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)]) = Lt$   
 $\langle proof \rangle$

**lemma**

$lex-comp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)], 3::nat) (sparse_0 [(X, 4)], 2) = Lt$   
 $\langle proof \rangle$

**lemma**

$lex-pp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)])$   
 $\langle proof \rangle$

**lemma**

$lex-pp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)])$   
 $\langle proof \rangle$

**lemma**

$\neg dlex-pp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 3)]) (sparse_0 [(X, 4)])$   
 $\langle proof \rangle$

**lemma**

$dlex-pp (sparse_0 [(X, 2::nat), (Y, 1), (Z, 2)]) (sparse_0 [(X, 5)])$   
 $\langle proof \rangle$

**lemma**  
 $\neg \text{drlex-pp } (\text{sparse}_0 [(X, 2::\text{nat}), (Y, 1), (Z, 2)]) (\text{sparse}_0 [(X, 5)])$   
 $\langle \text{proof} \rangle$

**end**

## 15.2 *MP-oalist*

**lift-definition** *MP-oalist* :: ('a::nat-term, 'b::zero) oalist-ntm  $\Rightarrow$  'a  $\Rightarrow_0$  'b  
**is** *OAlist-lookup-ntm*  
 $\langle \text{proof} \rangle$

**lemmas** [*simp*, *code*] = *MP-oalist.rep-eq*

**code-datatype** *MP-oalist*

**lemma** *keys-MP-oalist* [*code*]:  $\text{keys } (\text{MP-oalist } xs) = \text{set } (\text{map } \text{fst } (\text{fst } (\text{list-of-oalist-ntm } xs)))$   
 $\langle \text{proof} \rangle$

**lemma** *MP-oalist-empty* [*simp*]:  $\text{MP-oalist } (\text{OAlist-empty-ntm } ko) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *zero-MP-oalist* [*code*]:  $(0::('a::\{\text{linorder}, \text{nat-term}\} \Rightarrow_0 'b::\text{zero})) = \text{MP-oalist } (\text{OAlist-empty-ntm } \text{nat-term-order-of-le})$   
 $\langle \text{proof} \rangle$

**definition** *is-zero* :: ('a  $\Rightarrow_0$  'b::zero)  $\Rightarrow$  bool  
**where** [*code-abbrev*]:  $\text{is-zero } p \longleftrightarrow (p = 0)$

**lemma** *is-zero-MP-oalist* [*code*]:  $\text{is-zero } (\text{MP-oalist } xs) = \text{List.null } (\text{fst } (\text{list-of-oalist-ntm } xs))$   
 $\langle \text{proof} \rangle$

**lemma** *plus-MP-oalist* [*code*]:  $\text{MP-oalist } xs + \text{MP-oalist } ys = \text{MP-oalist } (\text{OAlist-map2-val-neutr-ntm } (\lambda-. (+)) xs ys)$   
 $\langle \text{proof} \rangle$

**lemma** *minus-MP-oalist* [*code*]:  $\text{MP-oalist } xs - \text{MP-oalist } ys = \text{MP-oalist } (\text{OAlist-map2-val-rneutr-ntm } (\lambda-. (-)) xs ys)$   
 $\langle \text{proof} \rangle$

**lemma** *uminus-MP-oalist* [*code*]:  $-\text{MP-oalist } xs = \text{MP-oalist } (\text{OAlist-map-val-ntm } (\lambda-. \text{uminus}) xs)$   
 $\langle \text{proof} \rangle$

**lemma** *equal-MP-oalist* [*code*]:  $\text{equal-class.equal } (\text{MP-oalist } xs) (\text{MP-oalist } ys) = (\text{OAlist-eq-ntm } xs ys)$

*<proof>*

**lemma** *map-MP-oalist* [code]: *Poly-Mapping.map f (MP-oalist xs) = MP-oalist (OAlist-map-val-ntm (λ-. f) xs)*  
*<proof>*

**lemma** *range-MP-oalist* [code]: *Poly-Mapping.range (MP-oalist xs) = set (map snd (fst (list-of-oalist-ntm xs)))*  
*<proof>*

**lemma** *if-poly-mapping-eq-iff*:  
*(if x = y then a else b) = (if (∀ i ∈ keys x ∪ keys y. lookup x i = lookup y i) then a else b)*  
*<proof>*

**lemma** *keys-add-eq*: *keys (a + b) = keys a ∪ keys b - {x ∈ keys a ∩ keys b. lookup a x + lookup b x = 0}*  
*<proof>*

**locale** *gd-nat-term* =  
  *gd-term pair-of-term term-of-pair*  
  λs t. *le-of-nat-term-order cmp-term (term-of-pair (s, the-min)) (term-of-pair (t, the-min))*  
  λs t. *lt-of-nat-term-order cmp-term (term-of-pair (s, the-min)) (term-of-pair (t, the-min))*  
  *le-of-nat-term-order cmp-term*  
  *lt-of-nat-term-order cmp-term*  
  **for** *pair-of-term::'t::nat-term* ⇒ ('a::{'nat-term,graded-dickson-powerprod} × 'k::{'countable,the-min,wellorder})  
  **and** *term-of-pair::('a × 'k) ⇒ 't*  
  **and** *cmp-term +*  
  **assumes** *splus-eq-splus: t ⊕ u = nat-term-class.splus (term-of-pair (t, the-min)) u*  
**begin**

**definition** *shift-map-keys* :: 'a ⇒ ('b ⇒ 'b) ⇒ ('t, 'b) *oalist-ntm* ⇒ ('t, 'b::*semiring-0*) *oalist-ntm*

**where** *shift-map-keys t f xs = OAlist-ntm (map-raw (λkv. (t ⊕ fst kv, f (snd kv))) (list-of-oalist-ntm xs))*

**lemma** *list-of-oalist-shift-keys*:  
*list-of-oalist-ntm (shift-map-keys t f xs) = (map-raw (λkv. (t ⊕ fst kv, f (snd kv))) (list-of-oalist-ntm xs))*  
*<proof>*

**lemma** *lookup-shift-map-keys-plus*:  
*lookup (MP-oalist (shift-map-keys t ((\* c) xs)) (t ⊕ u) = c \* lookup (MP-oalist xs) u (is ?l = ?r)*  
*<proof>*

**lemma** *keys-shift-map-keys-subset*:

$keys (MP-oalist (shift-map-keys t ((* c) xs)) \subseteq ((\oplus) t) \cdot keys (MP-oalist xs)$  (is ?l  $\subseteq$  ?r)  
 ⟨proof⟩

**lemma** *monom-mult-MP-oalist* [code]:

$monom-mult\ c\ t\ (MP-oalist\ xs) =$   
 $MP-oalist\ (if\ c = 0\ then\ OAlist-empty-ntm\ (snd\ (list-of-oalist-ntm\ xs))\ else$   
 $shift-map-keys\ t\ ((*\ c)\ xs)$   
 ⟨proof⟩

**lemma** *mult-scalar-MP-oalist* [code]:

$(MP-oalist\ xs) \odot (MP-oalist\ ys) =$   
 $(if\ is-zero\ (MP-oalist\ xs)\ then$   
 $MP-oalist\ (OAlist-empty-ntm\ (snd\ (list-of-oalist-ntm\ ys)))$   
 else  
 $let\ ct = OAlist-hd-ntm\ xs\ in$   
 $monom-mult\ (snd\ ct)\ (fst\ ct)\ (MP-oalist\ ys) + (MP-oalist\ (OAlist-tl-ntm$   
 $xs)) \odot (MP-oalist\ ys)$   
 ⟨proof⟩

end

### 15.2.1 Special case of addition: adding monomials

**definition** *plus-monomial-less* :: ('a  $\Rightarrow_0$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a  $\Rightarrow$  ('a  $\Rightarrow_0$  'b :: monoid-add)  
 where *plus-monomial-less* p c u = p + monomial c u

*plus-monomial-less* is useful when adding a monomial to a polynomial, where the term of the monomial is known to be smaller than all terms in the polynomial, because it can be implemented more efficiently than general addition.

**lemma** *plus-monomial-less-MP-oalist* [code]:

$plus-monomial-less\ (MP-oalist\ xs)\ c\ u = MP-oalist\ (OAlist-update-by-fun-gr-ntm$   
 $u\ (\lambda c0.\ c0 + c)\ xs)$   
 ⟨proof⟩

*plus-monomial-less* is computed by *OAlist-update-by-fun-gr-ntm*, because greater terms come *before* smaller ones in *oalist-ntm*.

### 15.2.2 Constructors

**definition**  $distr_0\ ko\ xs = MP-oalist\ (oalist-of-list-ntm\ (xs,\ ko))$  — sparse representation

**definition**  $V_0 :: 'a \Rightarrow ('a,\ nat)\ pp \Rightarrow_0 'b :: \{one, zero\}$  where  
 $V_0\ n \equiv monomial\ 1\ (single-pp\ n\ 1)$

**definition**  $C_0 :: 'b \Rightarrow ('a, \text{nat}) \text{pp} \Rightarrow_0 'b::\text{zero}$  **where**  $C_0\ c \equiv \text{monomial}\ c\ 0$

**lemma**  $C_0\text{-one}$ :  $C_0\ 1 = 1$   
 ⟨proof⟩

**lemma**  $C_0\text{-numeral}$ :  $C_0\ (\text{numeral}\ x) = \text{numeral}\ x$   
 ⟨proof⟩

**lemma**  $C_0\text{-minus}$ :  $C_0\ (-\ x) = -\ C_0\ x$   
 ⟨proof⟩

**lemma**  $C_0\text{-zero}$ :  $C_0\ 0 = 0$   
 ⟨proof⟩

**lemma**  $V_0\text{-power}$ :  $V_0\ v\ \hat{=}^n = \text{monomial}\ 1\ (\text{single-pp}\ v\ n)$   
 ⟨proof⟩

**lemma**  $\text{single-MP-oalist}$  [code]:  $\text{Poly-Mapping.single}\ k\ v = \text{distr}_0\ \text{nat-term-order-of-le}\ [(k, v)]$   
 ⟨proof⟩

**lemma**  $\text{one-MP-oalist}$  [code]:  $1 = \text{distr}_0\ \text{nat-term-order-of-le}\ [(0, 1)]$   
 ⟨proof⟩

**lemma**  $\text{except-MP-oalist}$  [code]:  $\text{except}\ (\text{MP-oalist}\ xs)\ S = \text{MP-oalist}\ (\text{Oalist-filter-ntm}\ (\lambda kv. \text{fst}\ kv \notin S)\ xs)$   
 ⟨proof⟩

### 15.2.3 Changing the Internal Order

**definition**  $\text{change-ord} :: 'a::\text{nat-term-compare}\ \text{nat-term-order} \Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'b)$   
**where**  $\text{change-ord}\ to = (\lambda x. x)$

**lemma**  $\text{change-ord-MP-oalist}$  [code]:  $\text{change-ord}\ to\ (\text{MP-oalist}\ xs) = \text{MP-oalist}\ (\text{Oalist-reorder-ntm}\ to\ xs)$   
 ⟨proof⟩

### 15.2.4 Ordered Power-Products

**lemma**  $\text{foldl-assoc}$ :  
**assumes**  $\bigwedge x\ y\ z. f\ (f\ x\ y)\ z = f\ x\ (f\ y\ z)$   
**shows**  $\text{foldl}\ f\ (f\ a\ b)\ xs = f\ a\ (\text{foldl}\ f\ b\ xs)$   
 ⟨proof⟩

**context**  $\text{gd-nat-term}$   
**begin**

**definition**  $\text{ord-pp} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

**where**  $ord\text{-}pp\ s\ t = le\text{-}of\text{-}nat\text{-}term\text{-}order\ cmp\text{-}term\ (term\text{-}of\text{-}pair\ (s,\ the\text{-}min))$   
 $(term\text{-}of\text{-}pair\ (t,\ the\text{-}min))$

**definition**  $ord\text{-}pp\text{-}strict :: 'a \Rightarrow 'a \Rightarrow bool$   
**where**  $ord\text{-}pp\text{-}strict\ s\ t = lt\text{-}of\text{-}nat\text{-}term\text{-}order\ cmp\text{-}term\ (term\text{-}of\text{-}pair\ (s,\ the\text{-}min))$   
 $(term\text{-}of\text{-}pair\ (t,\ the\text{-}min))$

**lemma**  $lt\text{-}MP\text{-}oalist$  [code]:  
 $lt\ (MP\text{-}oalist\ xs) = (if\ is\text{-}zero\ (MP\text{-}oalist\ xs)\ then\ min\text{-}term\ else\ fst\ (OAlist\text{-}min\text{-}key\text{-}val\text{-}ntm\ cmp\text{-}term\ xs))$   
<proof>

**lemma**  $lc\text{-}MP\text{-}oalist$  [code]:  
 $lc\ (MP\text{-}oalist\ xs) = (if\ is\text{-}zero\ (MP\text{-}oalist\ xs)\ then\ 0\ else\ snd\ (OAlist\text{-}min\text{-}key\text{-}val\text{-}ntm\ cmp\text{-}term\ xs))$   
<proof>

**lemma**  $tail\text{-}MP\text{-}oalist$  [code]:  $tail\ (MP\text{-}oalist\ xs) = MP\text{-}oalist\ (OAlist\text{-}except\text{-}min\text{-}ntm\ cmp\text{-}term\ xs)$   
<proof>

**definition**  $comp\text{-}opt\text{-}p :: ('t \Rightarrow_0 'c :: zero,\ 't \Rightarrow_0 'c)\ comp\text{-}opt$   
**where**  $comp\text{-}opt\text{-}p\ p\ q =$   
 $(if\ p = q\ then\ Some\ Eq\ else\ if\ ord\text{-}strict\text{-}p\ p\ q\ then\ Some\ Lt\ else\ if\ ord\text{-}strict\text{-}p\ q\ p\ then\ Some\ Gt\ else\ None)$

**lemma**  $comp\text{-}opt\text{-}p\text{-}MP\text{-}oalist$  [code]:  
 $comp\text{-}opt\text{-}p\ (MP\text{-}oalist\ xs)\ (MP\text{-}oalist\ ys) =$   
 $OAlist\text{-}lex\text{-}ord\text{-}ntm\ cmp\text{-}term\ (\lambda\ x\ y.\ if\ x = y\ then\ Some\ Eq\ else\ if\ x = 0\ then\ Some\ Lt\ else\ if\ y = 0\ then\ Some\ Gt\ else\ None)\ xs\ ys$   
<proof>

**lemma**  $compute\text{-}ord\text{-}p$  [code]:  $ord\text{-}p\ p\ q = (let\ aux = comp\text{-}opt\text{-}p\ p\ q\ in\ aux =$   
 $Some\ Lt \vee aux = Some\ Eq)$   
<proof>

**lemma**  $compute\text{-}ord\text{-}p\text{-}strict$  [code]:  $ord\text{-}strict\text{-}p\ p\ q = (comp\text{-}opt\text{-}p\ p\ q = Some\ Lt)$   
<proof>

**lemma**  $keys\text{-}to\text{-}list\text{-}MP\text{-}oalist$  [code]:  $keys\text{-}to\text{-}list\ (MP\text{-}oalist\ xs) = OAlist\text{-}sorted\text{-}domain\text{-}ntm\ cmp\text{-}term\ xs$   
<proof>

**end**

**lifting-update**  $poly\text{-}mapping.lifting$

**lifting-forget**  $poly\text{-}mapping.lifting$

### 15.3 Interpretations

**lemma** *term-powerprod-gd-term:*

**fixes** *pair-of-term* :: 't::nat-term  $\Rightarrow$  ('a::{graded-dickson-powerprod,nat-pp-compare}  
 $\times$  'k::{the-min,wellorder})

**assumes** *term-powerprod pair-of-term term-of-pair*

**and**  $\bigwedge v. \text{fst } (\text{rep-nat-term } v) = \text{rep-nat-pp } (\text{fst } (\text{pair-of-term } v))$

**and**  $\bigwedge t. \text{snd } (\text{rep-nat-term } (\text{term-of-pair } (t, \text{the-min}))) = 0$

**and**  $\bigwedge v w. \text{snd } (\text{pair-of-term } v) \leq \text{snd } (\text{pair-of-term } w) \implies \text{snd } (\text{rep-nat-term } v) \leq \text{snd } (\text{rep-nat-term } w)$

**and**  $\bigwedge s t k. \text{term-of-pair } (s + t, k) = \text{splus } (\text{term-of-pair } (s, k)) (\text{term-of-pair } (t, k))$

**and**  $\bigwedge t v. \text{term-powerprod.splus pair-of-term term-of-pair } t v = \text{splus } (\text{term-of-pair } (t, \text{the-min})) v$

**shows** *gd-term pair-of-term term-of-pair*

$(\lambda s t. \text{le-of-nat-term-order cmp-term } (\text{term-of-pair } (s, \text{the-min})) (\text{term-of-pair } (t, \text{the-min})))$

$(\lambda s t. \text{lt-of-nat-term-order cmp-term } (\text{term-of-pair } (s, \text{the-min})) (\text{term-of-pair } (t, \text{the-min})))$

$(\text{le-of-nat-term-order cmp-term})$

$(\text{lt-of-nat-term-order cmp-term})$

$\langle \text{proof} \rangle$

**lemma** *gd-term-to-pair-unit:*

*gd-term* (*to-pair-unit*::'a::{nat-term-compare,nat-pp-term,graded-dickson-powerprod}  
 $\Rightarrow$  -) *fst*

$(\lambda s t. \text{le-of-nat-term-order cmp-term } (\text{fst } (s, \text{the-min})) (\text{fst } (t, \text{the-min})))$

$(\lambda s t. \text{lt-of-nat-term-order cmp-term } (\text{fst } (s, \text{the-min})) (\text{fst } (t, \text{the-min})))$

$(\text{le-of-nat-term-order cmp-term})$

$(\text{lt-of-nat-term-order cmp-term})$

$\langle \text{proof} \rangle$

**corollary** *gd-nat-term-to-pair-unit:*

*gd-nat-term* (*to-pair-unit*::'a::{nat-term-compare,nat-pp-term,graded-dickson-powerprod}  
 $\Rightarrow$  -) *fst cmp-term*

$\langle \text{proof} \rangle$

**lemma** *gd-term-id:*

*gd-term*  $(\lambda x::('a::{nat-term-compare,nat-pp-compare,nat-pp-term,graded-dickson-powerprod}$   
 $\times$  'b::{nat,the-min}). x)  $(\lambda x. x)$

$(\lambda s t. \text{le-of-nat-term-order cmp-term } (s, \text{the-min}) (t, \text{the-min}))$

$(\lambda s t. \text{lt-of-nat-term-order cmp-term } (s, \text{the-min}) (t, \text{the-min}))$

$(\text{le-of-nat-term-order cmp-term})$

$(\text{lt-of-nat-term-order cmp-term})$

$\langle \text{proof} \rangle$

**corollary** *gd-nat-term-id:* *gd-nat-term*  $(\lambda x. x) (\lambda x. x)$  *cmp-term*

**for** *cmp-term* :: ('a::{nat-term-compare,nat-pp-compare,nat-pp-term,graded-dickson-powerprod}  
 $\times$  'c::{nat,the-min}) *nat-term-order*

$\langle \text{proof} \rangle$

## 15.4 Computations

**type-synonym**  $'a$  *mpoly-tc* = (nat, nat) pp  $\Rightarrow_0 'a$

**global-interpretation** *punit0*: *gd-nat-term to-pair-unit::'a::*{*nat-term-compare,nat-pp-term,graded-dickson-p*  
 $\Rightarrow$  - *fst cmp-term*

**rewrites** *punit.adds-term* = (*adds*)  
**and** *punit.pp-of-term* = ( $\lambda x. x$ )  
**and** *punit.component-of-term* = ( $\lambda-. ()$ )  
**for** *cmp-term*  
**defines** *monom-mult-punit* = *punit.monom-mult*  
**and** *mult-scalar-punit* = *punit.mult-scalar*  
**and** *shift-map-keys-punit* = *punit0.shift-map-keys*  
**and** *ord-pp-punit* = *punit0.ord-pp*  
**and** *ord-pp-strict-punit* = *punit0.ord-pp-strict*  
**and** *min-term-punit* = *punit0.min-term*  
**and** *lt-punit* = *punit0.lt*  
**and** *lc-punit* = *punit0.lc*  
**and** *tail-punit* = *punit0.tail*  
**and** *comp-opt-p-punit* = *punit0.comp-opt-p*  
**and** *ord-p-punit* = *punit0.ord-p*  
**and** *ord-strict-p-punit* = *punit0.ord-strict-p*  
**and** *keys-to-list-punit* = *punit0.keys-to-list*  
*<proof>*

**lemma** *shift-map-keys-punit-MP-oalist* [*code abstract*]:  
*list-of-oalist-ntm (shift-map-keys-punit t f xs) = map-raw ( $\lambda(k, v). (t + k, f v)$ )*  
*(list-of-oalist-ntm xs)*  
*<proof>*

**lemmas** [*code*] = *punit0.mult-scalar-MP-oalist*[*unfolded mult-scalar-punit-def punit-mult-scalar*]  
*punit0.punit-min-term*

**lemma** *ord-pp-punit-alt* [*code-unfold*]: *ord-pp-punit* = *le-of-nat-term-order*  
*<proof>*

**lemma** *ord-pp-strict-punit-alt* [*code-unfold*]: *ord-pp-strict-punit* = *lt-of-nat-term-order*  
*<proof>*

**lemma** *gd-powerprod-ord-pp-punit*: *gd-powerprod (ord-pp-punit cmp-term) (ord-pp-strict-punit*  
*cmp-term)*  
*<proof>*

**locale** *trivariate<sub>0</sub>-rat*  
**begin**

**abbreviation** *X::rat mpoly-tc* **where**  $X \equiv V_0 (0::nat)$

**abbreviation** *Y::rat mpoly-tc* **where**  $Y \equiv V_0 (1::nat)$

**abbreviation** *Z::rat mpoly-tc* **where**  $Z \equiv V_0 (2::nat)$

**end**

**experiment begin interpretation** *trivariate<sub>0</sub>-rat*  $\langle$ *proof* $\rangle$

**value** [*code*]  $X \wedge 2$

**value** [*code*]  $X^2 * Z + 2 * Y \wedge 3 * Z^2$

**value** [*code*]  $\text{distr}_0 \text{DRLEX} [( \text{sparse}_0 [(0::\text{nat}, 3::\text{nat})], 1::\text{rat})] = \text{distr}_0 \text{DRLEX} [( \text{sparse}_0 [(0, 3)], 1)]$

**lemma**

*ord-strict-p-punit DRLEX*  $(X^2 * Z + 2 * Y \wedge 3 * Z^2) (X^2 * Z^2 + 2 * Y \wedge 3 * Z^2)$   
 $\langle$ *proof* $\rangle$

**lemma**

*tail-punit DLEX*  $(X^2 * Z + 2 * Y \wedge 3 * Z^2) = X^2 * Z$   
 $\langle$ *proof* $\rangle$

**value** [*code*] *min-term-punit::(nat, nat)* *pp*

**value** [*code*] *is-zero*  $(\text{distr}_0 \text{DRLEX} [( \text{sparse}_0 [(0::\text{nat}, 3::\text{nat})], 1::\text{rat})])$

**value** [*code*] *lt-punit DRLEX*  $(\text{distr}_0 \text{DRLEX} [( \text{sparse}_0 [(0::\text{nat}, 3::\text{nat})], 1::\text{rat})])$

**lemma**

*lt-punit DRLEX*  $(X^2 * Z + 2 * Y \wedge 3 * Z^2) = \text{sparse}_0 [(1, 3), (2, 2)]$   
 $\langle$ *proof* $\rangle$

**lemma**

*lt-punit DRLEX*  $(X + Y + Z) = \text{sparse}_0 [(2, 1)]$   
 $\langle$ *proof* $\rangle$

**lemma**

*keys*  $(X^2 * Z \wedge 3 + 2 * Y \wedge 3 * Z^2) =$   
 $\{ \text{sparse}_0 [(0, 2), (2, 3)], \text{sparse}_0 [(1, 3), (2, 2)] \}$   
 $\langle$ *proof* $\rangle$

**lemma**

$- 1 * X^2 * Z \wedge 7 + - 2 * Y \wedge 3 * Z^2 = - X^2 * Z \wedge 7 + - 2 * Y \wedge 3 * Z^2$   
 $\langle$ *proof* $\rangle$

**lemma**

$X^2 * Z \wedge 7 + 2 * Y \wedge 3 * Z^2 + X^2 * Z \wedge 4 + - 2 * Y \wedge 3 * Z^2 = X^2 * Z \wedge 7 + X^2 * Z \wedge 4$   
 $\langle$ *proof* $\rangle$

**lemma**

$X^2 * Z^7 + 2 * Y^3 * Z^2 - X^2 * Z^4 + - 2 * Y^3 * Z^2 =$   
 $X^2 * Z^7 - X^2 * Z^4$   
 <proof>

**lemma**

$lookup (X^2 * Z^7 + 2 * Y^3 * Z^2 + 2) (sparse_0 [(0, 2), (2, 7)]) = 1$   
 <proof>

**lemma**

$X^2 * Z^7 + 2 * Y^3 * Z^2 \neq$   
 $X^2 * Z^4 + - 2 * Y^3 * Z^2$   
 <proof>

**lemma**

$0 * X^2 * Z^7 + 0 * Y^3 * Z^2 = 0$   
 <proof>

**lemma**

$monom-mult-punit 3 (sparse_0 [(1, 2::nat)]) (X^2 * Z + 2 * Y^3 * Z^2) =$   
 $3 * Y^2 * Z * X^2 + 6 * Y^5 * Z^2$   
 <proof>

**lemma**

$monomial (-4) (sparse_0 [(0, 2::nat)]) = - 4 * X^2$   
 <proof>

**lemma**  $monomial (0::rat) (sparse_0 [(0::nat, 2::nat)]) = 0$

<proof>

**lemma**

$(X^2 * Z + 2 * Y^3 * Z^2) * (X^2 * Z^3 + - 2 * Y^3 * Z^2) =$   
 $X^4 * Z^4 + - 2 * X^2 * Z^3 * Y^3 +$   
 $- 4 * Y^6 * Z^4 + 2 * Y^3 * Z^5 * X^2$   
 <proof>

end

## 15.5 Code setup for type MPoly

postprocessing from  $Var_0, Const_0$  to  $Var, Const$ .

**lemmas** [code-post] =

$plus-mpoly.abs-eq[symmetric]$   
 $times-mpoly.abs-eq[symmetric]$   
 $one-mpoly-def[symmetric]$   
 $Var.abs-eq[symmetric]$   
 $Const.abs-eq[symmetric]$

**instantiation**  $mpoly::(\{equal, zero\})equal$  begin

**lift-definition** *equal-mpoly*:: 'a mpoly  $\Rightarrow$  'a mpoly  $\Rightarrow$  bool **is** *HOL.equal*  $\langle$ proof $\rangle$

**instance**  $\langle$ proof $\rangle$

**end**

**end**

## 16 Quasi-Poly-Mapping Power-Products

**theory** *Quasi-PM-Power-Products*

**imports** *MPoly-Type-Class-Ordered*

**begin**

In this theory we introduce a subclass of *graded-dickson-powerprod* that approximates polynomial mappings even closer. We need this class for signature-based Gröbner basis algorithms.

**definition** (**in** *monoid-add*) *hom-grading-fun* :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  (nat  $\Rightarrow$  'a  $\Rightarrow$  'a)  $\Rightarrow$  bool

**where** *hom-grading-fun* d f  $\longleftrightarrow$  ( $\forall n. (\forall s t. f n (s + t) = f n s + f n t) \wedge$   
 $(\forall t. d (f n t) \leq n \wedge (d t \leq n \longrightarrow f n t = t))$ )

**definition** (**in** *monoid-add*) *hom-grading* :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  bool

**where** *hom-grading* d  $\longleftrightarrow$  ( $\exists f. \text{hom-grading-fun } d f$ )

**definition** (**in** *monoid-add*) *decr-grading* :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a

**where** *decr-grading* d = (*SOME* f. *hom-grading-fun* d f)

**lemma** *decr-grading*:

**assumes** *hom-grading* d

**shows** *hom-grading-fun* d (*decr-grading* d)

$\langle$ proof $\rangle$

**lemma** *decr-grading-plus*:

*hom-grading* d  $\Longrightarrow$  *decr-grading* d n (s + t) = *decr-grading* d n s + *decr-grading* d n t

$\langle$ proof $\rangle$

**lemma** *decr-grading-zero*:

**assumes** *hom-grading* d

**shows** *decr-grading* d n 0 = (0::'a::cancel-comm-monoid-add)

$\langle$ proof $\rangle$

**lemma** *decr-grading-le*: *hom-grading* d  $\Longrightarrow$  d (*decr-grading* d n t)  $\leq$  n

$\langle$ proof $\rangle$

**lemma** *decr-grading-idI*: *hom-grading* d  $\Longrightarrow$  d t  $\leq$  n  $\Longrightarrow$  *decr-grading* d n t = t

$\langle$ proof $\rangle$

```

class quasi-pm-powerprod = ulcs-powerprod +
  assumes ex-hgrad:  $\exists d::'a \Rightarrow \text{nat}. \text{dickson-grading } d \wedge \text{hom-grading } d$ 
begin

subclass graded-dickson-powerprod
  <proof>

end

lemma hom-grading-varnum:
   $\text{hom-grading } ((\text{varnum } X)::('x::\text{countable} \Rightarrow_0 'b::\text{add-wellorder}) \Rightarrow \text{nat})$ 
  <proof>

instance poly-mapping :: (countable, add-wellorder) quasi-pm-powerprod
  <proof>

context term-powerprod
begin

definition decr-grading-term :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  nat  $\Rightarrow$  't  $\Rightarrow$  't
  where decr-grading-term d n v = term-of-pair (decr-grading d n (pp-of-term v),
  component-of-term v)

definition decr-grading-p :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  nat  $\Rightarrow$  ('t  $\Rightarrow_0$  'b)  $\Rightarrow$  ('t  $\Rightarrow_0$  'b::comm-monoid-add)
  where decr-grading-p d n p = ( $\sum_{v \in \text{keys } p} \text{monomial } (\text{lookup } p \ v) \ (\text{decr-grading-term } d \ n \ v)$ )

lemma decr-grading-term-splus:
   $\text{hom-grading } d \Longrightarrow \text{decr-grading-term } d \ n \ (t \oplus v) = \text{decr-grading } d \ n \ t \oplus \text{decr-grading-term } d \ n \ v$ 
  <proof>

lemma decr-grading-term-le:  $\text{hom-grading } d \Longrightarrow d \ (\text{pp-of-term } (\text{decr-grading-term } d \ n \ v)) \leq n$ 
  <proof>

lemma decr-grading-term-idI:  $\text{hom-grading } d \Longrightarrow d \ (\text{pp-of-term } v) \leq n \Longrightarrow \text{decr-grading-term } d \ n \ v = v$ 
  <proof>

lemma punit-decr-grading-term:  $\text{punit.decr-grading-term} = \text{decr-grading}$ 
  <proof>

lemma decr-grading-p-zero:  $\text{decr-grading-p } d \ n \ 0 = 0$ 
  <proof>

lemma decr-grading-p-monomial:  $\text{decr-grading-p } d \ n \ (\text{monomial } c \ v) = \text{monomial } c \ (\text{decr-grading-term } d \ n \ v)$ 
  <proof>

```

**lemma** *decr-grading-p-plus*:

*decr-grading-p d n (p + q) = (decr-grading-p d n p) + (decr-grading-p d n q)*  
{proof}

**corollary** *decr-grading-p-sum*: *decr-grading-p d n (sum f A) = (sum a in A. decr-grading-p d n (f a))*

{proof}

**lemma** *decr-grading-p-monom-mult*:

**assumes** *hom-grading d*

**shows** *decr-grading-p d n (monom-mult c t p) = monom-mult c (decr-grading d n t) (decr-grading-p d n p)*

{proof}

**lemma** *decr-grading-p-mult-scalar*:

**assumes** *hom-grading d*

**shows** *decr-grading-p d n (p odot q) = punit.decr-grading-p d n p odot decr-grading-p d n q*

{proof}

**lemma** *decr-grading-p-keys-subset*: *keys (decr-grading-p d n p) subseteq decr-grading-term d n keys p*

{proof}

**lemma** *decr-grading-p-idI'*:

**assumes** *hom-grading d* **and**  $\bigwedge v. v \in \text{keys } p \implies d (\text{pp-of-term } v) \leq n$

**shows** *decr-grading-p d n p = p*

{proof}

**end**

**context** *gd-term*

**begin**

**lemma** *decr-grading-p-idI*:

**assumes** *hom-grading d* **and**  $p \in \text{dgrad-p-set } d \ m$

**shows** *decr-grading-p d m p = p*

{proof}

**lemma** *decr-grading-p-dgrad-p-setI*:

**assumes** *hom-grading d*

**shows** *decr-grading-p d m p in dgrad-p-set d m*

{proof}

**lemma** (**in** *gd-term*) *in-pmdlE-dgrad-p-set*:

**assumes** *hom-grading d* **and**  $B \subseteq \text{dgrad-p-set } d \ m$  **and**  $p \in \text{dgrad-p-set } d \ m$  **and**  $p \in \text{pmdl } B$

**obtains**  $A \ q$  **where** *finite A* **and**  $A \subseteq B$  **and**  $\bigwedge b. q \ b \in \text{punit.dgrad-p-set } d \ m$

**and**  $p = (\sum b \in A. q \ b \ \odot \ b)$   
 $\langle proof \rangle$

**end**

**end**

## 17 Multivariate Polynomials with Power-Products Represented by Polynomial Mappings

**theory** *MPoly-PM*  
**imports** *Quasi-PM-Power-Products*  
**begin**

Many notions introduced in this theory for type  $(x \Rightarrow_0 a) \Rightarrow_0 b$  closely resemble those introduced in *Polynomials.MPoly-Type* for type  $a \text{ mpoly}$ .

**lemma** *monomial-single-power*:  
 $(\text{monomial } c \ (\text{Poly-Mapping.single } x \ k)) \wedge^n = \text{monomial } (c \wedge^n) \ (\text{Poly-Mapping.single } x \ (k * n))$   
 $\langle proof \rangle$

**lemma** *monomial-power-map-scale*:  $(\text{monomial } c \ t) \wedge^n = \text{monomial } (c \wedge^n) \ (n \cdot t)$   
 $\langle proof \rangle$

**lemma** *times-canc-left*:  
**assumes**  $h * p = h * q$  **and**  $h \neq 0 :: (x :: \text{linorder} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{ring-no-zero-divisors}$   
**shows**  $p = q$   
 $\langle proof \rangle$

**lemma** *times-canc-right*:  
**assumes**  $p * h = q * h$  **and**  $h \neq 0 :: (x :: \text{linorder} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{ring-no-zero-divisors}$   
**shows**  $p = q$   
 $\langle proof \rangle$

### 17.1 Degree

**lemma** *plus-minus-assoc-pm-nat-1*:  $s + t - u = (s - (u - t)) + (t - (u :: - \Rightarrow_0 \text{nat}))$   
 $\langle proof \rangle$

**lemma** *plus-minus-assoc-pm-nat-2*:  
 $s + (t - u) = (s + (\text{except } (u - t) \ (- \ \text{keys } s))) + t - (u :: - \Rightarrow_0 \text{nat})$   
 $\langle proof \rangle$

**lemma** *deg-pm-sum*:  $\text{deg-pm } (\text{sum } t \ A) = (\sum a \in A. \text{deg-pm } (t \ a))$   
 $\langle proof \rangle$

**lemma** *deg-pm-mono*:  $s \text{ adds } t \implies \text{deg-pm } s \leq \text{deg-pm } (t :: - \Rightarrow_0 - :: \text{add-linorder-min})$   
 ⟨proof⟩

**lemma** *adds-deg-pm-antisym*:  $s \text{ adds } t \implies \text{deg-pm } t \leq \text{deg-pm } (s :: - \Rightarrow_0 - :: \text{add-linorder-min})$   
 $\implies s = t$   
 ⟨proof⟩

**lemma** *deg-pm-minus*:  
**assumes**  $s \text{ adds } (t :: - \Rightarrow_0 - :: \text{comm-monoid-add})$   
**shows**  $\text{deg-pm } (t - s) = \text{deg-pm } t - \text{deg-pm } s$   
 ⟨proof⟩

**lemma** *adds-group [simp]*:  $s \text{ adds } (t :: 'a \Rightarrow_0 'b :: \text{ab-group-add})$   
 ⟨proof⟩

**lemmas** *deg-pm-minus-group* = *deg-pm-minus*[OF *adds-group*]

**lemma** *deg-pm-minus-le*:  $\text{deg-pm } (t - s) \leq \text{deg-pm } (t :: - \Rightarrow_0 \text{nat})$   
 ⟨proof⟩

**lemma** *minus-id-iff*:  $t - s = t \iff \text{keys } t \cap \text{keys } (s :: - \Rightarrow_0 \text{nat}) = \{\}$   
 ⟨proof⟩

**lemma** *deg-pm-minus-id-iff*:  $\text{deg-pm } (t - s) = \text{deg-pm } t \iff \text{keys } t \cap \text{keys } (s :: - \Rightarrow_0 \text{nat}) = \{\}$   
 ⟨proof⟩

**definition** *poly-deg* ::  $(('x \Rightarrow_0 'a :: \text{add-linorder}) \Rightarrow_0 'b :: \text{zero}) \Rightarrow 'a$  **where**  
 $\text{poly-deg } p = (\text{if } \text{keys } p = \{\} \text{ then } 0 \text{ else } \text{Max } (\text{deg-pm } ' \text{keys } p))$

**definition** *maxdeg* ::  $(('x \Rightarrow_0 'a :: \text{add-linorder}) \Rightarrow_0 'b :: \text{zero}) \text{ set} \Rightarrow 'a$  **where**  
 $\text{maxdeg } A = \text{Max } (\text{poly-deg } ' A)$

**definition** *mindeg* ::  $(('x \Rightarrow_0 'a :: \text{add-linorder}) \Rightarrow_0 'b :: \text{zero}) \text{ set} \Rightarrow 'a$  **where**  
 $\text{mindeg } A = \text{Min } (\text{poly-deg } ' A)$

**lemma** *poly-deg-monomial*:  $\text{poly-deg } (\text{monomial } c \ t) = (\text{if } c = 0 \text{ then } 0 \text{ else } \text{deg-pm } t)$   
 ⟨proof⟩

**lemma** *poly-deg-monomial-zero [simp]*:  $\text{poly-deg } (\text{monomial } c \ 0) = 0$   
 ⟨proof⟩

**lemma** *poly-deg-zero [simp]*:  $\text{poly-deg } 0 = 0$   
 ⟨proof⟩

**lemma** *poly-deg-one [simp]*:  $\text{poly-deg } 1 = 0$   
 ⟨proof⟩

**lemma** *poly-degE*:

**assumes**  $p \neq 0$

**obtains**  $t$  **where**  $t \in \text{keys } p$  **and**  $\text{poly-deg } p = \text{deg-pm } t$

*<proof>*

**lemma** *poly-deg-max-keys*:  $t \in \text{keys } p \implies \text{deg-pm } t \leq \text{poly-deg } p$

*<proof>*

**lemma** *poly-deg-leI*:  $(\bigwedge t. t \in \text{keys } p \implies \text{deg-pm } t \leq (d::'a::\text{add-linorder-min})) \implies \text{poly-deg } p \leq d$

*<proof>*

**lemma** *poly-deg-lessI*:

$p \neq 0 \implies (\bigwedge t. t \in \text{keys } p \implies \text{deg-pm } t < (d::'a::\text{add-linorder-min})) \implies \text{poly-deg } p < d$

*<proof>*

**lemma** *poly-deg-zero-imp-monomial*:

**assumes**  $\text{poly-deg } p = (0::'a::\text{add-linorder-min})$

**shows** *monomial* (*lookup*  $p$   $0$ )  $0 = p$

*<proof>*

**lemma** *poly-deg-plus-le*:

$\text{poly-deg } (p + q) \leq \max (\text{poly-deg } p) (\text{poly-deg } (q::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -))$

*<proof>*

**lemma** *poly-deg-uminus* [*simp*]:  $\text{poly-deg } (-p) = \text{poly-deg } p$

*<proof>*

**lemma** *poly-deg-minus-le*:

$\text{poly-deg } (p - q) \leq \max (\text{poly-deg } p) (\text{poly-deg } (q::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -))$

*<proof>*

**lemma** *poly-deg-times-le*:

$\text{poly-deg } (p * q) \leq \text{poly-deg } p + \text{poly-deg } (q::(- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 -)$

*<proof>*

**lemma** *poly-deg-times*:

**assumes**  $p \neq 0$  **and**  $q \neq (0::('x::\text{linorder} \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$

**shows**  $\text{poly-deg } (p * q) = \text{poly-deg } p + \text{poly-deg } q$

*<proof>*

**corollary** *poly-deg-monom-mult-le*:

$\text{poly-deg } (\text{punit.monom-mult } c (t::(- \Rightarrow_0 'a::\text{add-linorder-min}) p) \leq \text{deg-pm } t + \text{poly-deg } p$

*<proof>*

**lemma** *poly-deg-monom-mult*:

**assumes**  $c \neq 0$  **and**  $p \neq 0$   $((- \Rightarrow_0 'a::\text{add-linorder-min}) \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$   
**shows**  $\text{poly-deg } (p \cdot \text{unit.monom-mult } c \ t \ p) = \text{deg-pm } t + \text{poly-deg } p$   
(proof)

**lemma** *poly-deg-map-scale*:

$\text{poly-deg } (c \cdot p) = (\text{if } c = 0::\text{semiring-no-zero-divisors} \text{ then } 0 \text{ else } \text{poly-deg } p)$   
(proof)

**lemma** *poly-deg-sum-le*:  $((\text{poly-deg } (\text{sum } f \ A))::'a::\text{add-linorder-min}) \leq \text{Max } (\text{poly-deg } 'f \ 'A)$   
(proof)

**lemma** *poly-deg-prod-le*:  $((\text{poly-deg } (\text{prod } f \ A))::'a::\text{add-linorder-min}) \leq (\sum a \in A. \text{poly-deg } (f \ a))$   
(proof)

**lemma** *maxdeg-max*:

**assumes** *finite*  $A$  **and**  $p \in A$   
**shows**  $\text{poly-deg } p \leq \text{maxdeg } A$   
(proof)

**lemma** *mindeg-min*:

**assumes** *finite*  $A$  **and**  $p \in A$   
**shows**  $\text{mindeg } A \leq \text{poly-deg } p$   
(proof)

## 17.2 Indeterminates

**definition** *indets*  $:: (( 'x \Rightarrow_0 \text{nat} ) \Rightarrow_0 'b::\text{zero}) \Rightarrow 'x \text{ set}$   
**where**  $\text{indets } p = \bigcup (\text{keys } ' \text{keys } p)$

**definition** *PPs*  $:: 'x \text{ set} \Rightarrow ( 'x \Rightarrow_0 \text{nat} ) \text{ set} \ (\langle \cdot [(-)] \rangle)$   
**where**  $\text{PPs } X = \{t. \text{keys } t \subseteq X\}$

**definition** *Polys*  $:: 'x \text{ set} \Rightarrow (( 'x \Rightarrow_0 \text{nat} ) \Rightarrow_0 'b::\text{zero}) \text{ set} \ (\langle P[(-)] \rangle)$   
**where**  $\text{Polys } X = \{p. \text{keys } p \subseteq \cdot [X]\}$

### 17.2.1 indets

**lemma** *in-indetsI*:

**assumes**  $x \in \text{keys } t$  **and**  $t \in \text{keys } p$   
**shows**  $x \in \text{indets } p$   
(proof)

**lemma** *in-indetsE*:

**assumes**  $x \in \text{indets } p$   
**obtains**  $t$  **where**  $t \in \text{keys } p$  **and**  $x \in \text{keys } t$   
(proof)

**lemma** *keys-subset-indets*:  $t \in \text{keys } p \implies \text{keys } t \subseteq \text{indets } p$   
*<proof>*

**lemma** *indets-empty-imp-monomial*:  
**assumes**  $\text{indets } p = \{\}$   
**shows**  $\text{monomial } (\text{lookup } p \ 0) \ 0 = p$   
*<proof>*

**lemma** *finite-indets*:  $\text{finite } (\text{indets } p)$   
*<proof>*

**lemma** *indets-zero [simp]*:  $\text{indets } 0 = \{\}$   
*<proof>*

**lemma** *indets-one [simp]*:  $\text{indets } 1 = \{\}$   
*<proof>*

**lemma** *indets-monomial-single-subset*:  $\text{indets } (\text{monomial } c \ (\text{Poly-Mapping.single } v \ k)) \subseteq \{v\}$   
*<proof>*

**lemma** *indets-monomial-single*:  
**assumes**  $c \neq 0$  and  $k \neq 0$   
**shows**  $\text{indets } (\text{monomial } c \ (\text{Poly-Mapping.single } v \ k)) = \{v\}$   
*<proof>*

**lemma** *indets-monomial*:  
**assumes**  $c \neq 0$   
**shows**  $\text{indets } (\text{monomial } c \ t) = \text{keys } t$   
*<proof>*

**lemma** *indets-monomial-subset*:  $\text{indets } (\text{monomial } c \ t) \subseteq \text{keys } t$   
*<proof>*

**lemma** *indets-monomial-zero [simp]*:  $\text{indets } (\text{monomial } c \ 0) = \{\}$   
*<proof>*

**lemma** *indets-plus-subset*:  $\text{indets } (p + q) \subseteq \text{indets } p \cup \text{indets } q$   
*<proof>*

**lemma** *indets-uminus [simp]*:  $\text{indets } (-p) = \text{indets } p$   
*<proof>*

**lemma** *indets-minus-subset*:  $\text{indets } (p - q) \subseteq \text{indets } p \cup \text{indets } q$   
*<proof>*

**lemma** *indets-times-subset*:  $\text{indets } (p * q) \subseteq \text{indets } p \cup \text{indets } (q :: (- \Rightarrow_0 - :: \text{cancel-comm-monoid-add}) \Rightarrow_0 -)$   
*<proof>*

**corollary** *indets-monom-mult-subset*:  $\text{indets } (\text{punit.monom-mult } c \ t \ p) \subseteq \text{keys } t \cup \text{indets } p$   
*<proof>*

**lemma** *indets-monom-mult*:  
**assumes**  $c \neq 0$  **and**  $p \neq (0::('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{semiring-no-zero-divisors})$   
**shows**  $\text{indets } (\text{punit.monom-mult } c \ t \ p) = \text{keys } t \cup \text{indets } p$   
*<proof>*

**lemma** *indets-sum-subset*:  $\text{indets } (\text{sum } f \ A) \subseteq (\bigcup a \in A. \text{indets } (f \ a))$   
*<proof>*

**lemma** *indets-prod-subset*:  
 $\text{indets } (\text{prod } (f::- \Rightarrow ((- \Rightarrow_0 \text{---cancel-comm-monoid-add}) \Rightarrow_0 -)) \ A) \subseteq (\bigcup a \in A. \text{indets } (f \ a))$   
*<proof>*

**lemma** *indets-power-subset*:  $\text{indets } (p \ ^n) \subseteq \text{indets } (p::('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{comm-semiring-1})$   
*<proof>*

**lemma** *indets-empty-iff-poly-deg-zero*:  $\text{indets } p = \{\} \longleftrightarrow \text{poly-deg } p = 0$   
*<proof>*

### 17.2.2 PPs

**lemma** *PPsI*:  $\text{keys } t \subseteq X \Longrightarrow t \in \cdot[X]$   
*<proof>*

**lemma** *PPsD*:  $t \in \cdot[X] \Longrightarrow \text{keys } t \subseteq X$   
*<proof>*

**lemma** *PPs-empty* [*simp*]:  $\cdot[\{\}] = \{0\}$   
*<proof>*

**lemma** *PPs-UNIV* [*simp*]:  $\cdot[UNIV] = UNIV$   
*<proof>*

**lemma** *PPs-singleton*:  $\cdot[\{x\}] = \text{range } (\text{Poly-Mapping.single } x)$   
*<proof>*

**lemma** *zero-in-PPs*:  $0 \in \cdot[X]$   
*<proof>*

**lemma** *PPs-mono*:  $X \subseteq Y \Longrightarrow \cdot[X] \subseteq \cdot[Y]$   
*<proof>*

**lemma** *PPs-closed-single*:  
**assumes**  $x \in X$

**shows** *Poly-Mapping.single*  $x e \in .[X]$   
*<proof>*

**lemma** *PPs-closed-plus*:  
**assumes**  $s \in .[X]$  **and**  $t \in .[X]$   
**shows**  $s + t \in .[X]$   
*<proof>*

**lemma** *PPs-closed-minus*:  
**assumes**  $s \in .[X]$   
**shows**  $s - t \in .[X]$   
*<proof>*

**lemma** *PPs-closed-adds*:  
**assumes**  $s \in .[X]$  **and**  $t$  *adds*  $s$   
**shows**  $t \in .[X]$   
*<proof>*

**lemma** *PPs-closed-gcs*:  
**assumes**  $s \in .[X]$   
**shows**  $gcs\ s\ t \in .[X]$   
*<proof>*

**lemma** *PPs-closed-lcs*:  
**assumes**  $s \in .[X]$  **and**  $t \in .[X]$   
**shows**  $lcs\ s\ t \in .[X]$   
*<proof>*

**lemma** *PPs-closed-exception'*:  $t \in .[X] \implies \text{except } t\ Y \in .[X - Y]$   
*<proof>*

**lemma** *PPs-closed-exception*:  $t \in .[X] \implies \text{except } t\ Y \in .[X]$   
*<proof>*

**lemma** *PPs-UnI*:  
**assumes**  $tx \in .[X]$  **and**  $ty \in .[Y]$  **and**  $t = tx + ty$   
**shows**  $t \in .[X \cup Y]$   
*<proof>*

**lemma** *PPs-UnE*:  
**assumes**  $t \in .[X \cup Y]$   
**obtains**  $tx\ ty$  **where**  $tx \in .[X]$  **and**  $ty \in .[Y]$  **and**  $t = tx + ty$   
*<proof>*

**lemma** *PPs-Un*:  $.[X \cup Y] = (\bigcup t \in .[X]. (+)\ t\ ' .[Y])$  (**is**  $?A = ?B$ )  
*<proof>*

**corollary** *PPs-insert*:  $.[\text{insert } x\ X] = (\bigcup e. (+)\ (Poly-Mapping.single\ x\ e)\ ' .[X])$   
*<proof>*

**corollary** *PPs-insertI*:

**assumes**  $tx \in .[X]$  **and**  $t = \text{Poly-Mapping.single } x \ e + tx$

**shows**  $t \in .[\text{insert } x \ X]$

*<proof>*

**corollary** *PPs-insertE*:

**assumes**  $t \in .[\text{insert } x \ X]$

**obtains**  $e \ tx$  **where**  $tx \in .[X]$  **and**  $t = \text{Poly-Mapping.single } x \ e + tx$

*<proof>*

**lemma** *PPs-Int*:  $.[X \cap Y] = .[X] \cap .[Y]$

*<proof>*

**lemma** *PPs-INT*:  $.[\bigcap X] = \bigcap (PPs \ ' X)$

*<proof>*

### 17.2.3 Polys

**lemma** *Polys-alt*:  $P[X] = \{p. \text{indets } p \subseteq X\}$

*<proof>*

**lemma** *PolysI*:  $\text{keys } p \subseteq .[X] \implies p \in P[X]$

*<proof>*

**lemma** *PolysI-alt*:  $\text{indets } p \subseteq X \implies p \in P[X]$

*<proof>*

**lemma** *PolysD*:

**assumes**  $p \in P[X]$

**shows**  $\text{keys } p \subseteq .[X]$  **and**  $\text{indets } p \subseteq X$

*<proof>*

**lemma** *Polys-empty*:  $P[\{\}] = ((\text{range } (\text{Poly-Mapping.single } 0))::('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'b::\text{zero}) \text{ set})$

*<proof>*

**lemma** *Polys-UNIV* [*simp*]:  $P[UNIV] = UNIV$

*<proof>*

**lemma** *zero-in-Polys*:  $0 \in P[X]$

*<proof>*

**lemma** *one-in-Polys*:  $1 \in P[X]$

*<proof>*

**lemma** *Polys-mono*:  $X \subseteq Y \implies P[X] \subseteq P[Y]$

*<proof>*

**lemma** *Polys-closed-monomial*:  $t \in .[X] \implies \text{monomial } c \ t \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-plus*:  $p \in P[X] \implies q \in P[X] \implies p + q \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-uminus*:  $p \in P[X] \implies -p \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-minus*:  $p \in P[X] \implies q \in P[X] \implies p - q \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-monom-mult*:  $t \in .[X] \implies p \in P[X] \implies \text{punit.monom-mult } c \ t \ p \in P[X]$   
 ⟨proof⟩

**corollary** *Polys-closed-map-scale*:  $p \in P[X] \implies (c::\text{semiring-0}) \cdot p \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-times*:  $p \in P[X] \implies q \in P[X] \implies p * q \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-power*:  $p \in P[X] \implies p \wedge m \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-sum*:  $(\bigwedge a. a \in A \implies f \ a \in P[X]) \implies \text{sum } f \ A \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-prod*:  $(\bigwedge a. a \in A \implies f \ a \in P[X]) \implies \text{prod } f \ A \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-sum-list*:  $(\bigwedge x. x \in \text{set } xs \implies x \in P[X]) \implies \text{sum-list } xs \in P[X]$   
 ⟨proof⟩

**lemma** *Polys-closed-except*:  $p \in P[X] \implies \text{except } p \ T \in P[X]$   
 ⟨proof⟩

**lemma** *times-in-PolysD*:

**assumes**  $p * q \in P[X]$  **and**  $p \in P[X]$  **and**  $p \neq (0::('x::\text{linorder} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{semiring-no-zero-divisors})$

**shows**  $q \in P[X]$

⟨proof⟩

**lemma** *poly-mapping-plus-induct-Polys* [consumes 1, case-names 0 plus]:

**assumes**  $p \in P[X]$  **and**  $P \ 0$

**and**  $\bigwedge p \ c \ t. t \in .[X] \implies p \in P[X] \implies c \neq 0 \implies t \notin \text{keys } p \implies P \ p \implies P$   
 (monomial  $c \ t + p$ )

**shows**  $P \ p$

*<proof>*

**lemma** *Polys-Int*:  $P[X \cap Y] = P[X] \cap P[Y]$   
*<proof>*

**lemma** *Polys-INT*:  $P[\bigcap X] = \bigcap (Polys \text{ ' } X)$   
*<proof>*

### 17.3 Substitution Homomorphism

The substitution homomorphism defined here is more general than *insertion*, since it replaces indeterminates by *polynomials* rather than coefficients, and therefore constructs new polynomials.

**definition** *subst-pp* ::  $('x \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a)) \Rightarrow ('x \Rightarrow_0 \text{nat}) \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{comm-semiring-1})$   
**where**  $\text{subst-pp } f \ t = (\prod_{x \in \text{keys } t} (f \ x) \wedge (\text{lookup } t \ x))$

**definition** *poly-subst* ::  $('x \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a)) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow (('y \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{comm-semiring-1})$   
**where**  $\text{poly-subst } f \ p = (\sum_{t \in \text{keys } p} \text{punit.monom-mult } (\text{lookup } p \ t) \ 0 \ (\text{subst-pp } f \ t))$

**lemma** *subst-pp-alt*:  $\text{subst-pp } f \ t = (\prod x. (f \ x) \wedge (\text{lookup } t \ x))$   
*<proof>*

**lemma** *subst-pp-zero [simp]*:  $\text{subst-pp } f \ 0 = 1$   
*<proof>*

**lemma** *subst-pp-trivial-not-zero*:  
**assumes**  $t \neq 0$   
**shows**  $\text{subst-pp } (\lambda-. \ 0) \ t = (0::(- \Rightarrow_0 'b::\text{comm-semiring-1}))$   
*<proof>*

**lemma** *subst-pp-single*:  $\text{subst-pp } f \ (\text{Poly-Mapping.single } x \ e) = (f \ x) \wedge e$   
*<proof>*

**corollary** *subst-pp-trivial*:  $\text{subst-pp } (\lambda-. \ 0) \ t = (\text{if } t = 0 \ \text{then } 1 \ \text{else } 0)$   
*<proof>*

**lemma** *power-lookup-not-one-subset-keys*:  $\{x. f \ x \wedge (\text{lookup } t \ x) \neq 1\} \subseteq \text{keys } t$   
*<proof>*

**corollary** *finite-power-lookup-not-one*:  $\text{finite } \{x. f \ x \wedge (\text{lookup } t \ x) \neq 1\}$   
*<proof>*

**lemma** *subst-pp-plus*:  $\text{subst-pp } f \ (s + t) = \text{subst-pp } f \ s * \text{subst-pp } f \ t$   
*<proof>*

**lemma** *subst-pp-id*:

**assumes**  $\bigwedge x. x \in \text{keys } t \implies f x = \text{monomial } 1 \text{ (Poly-Mapping.single } x \ 1)$   
**shows**  $\text{subst-pp } f \ t = \text{monomial } 1 \ t$   
 $\langle \text{proof} \rangle$

**lemma** *in-indets-subst-ppE*:  
**assumes**  $x \in \text{indets } (\text{subst-pp } f \ t)$   
**obtains**  $y$  **where**  $y \in \text{keys } t$  **and**  $x \in \text{indets } (f \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *subst-pp-by-monomials*:  
**assumes**  $\bigwedge y. y \in \text{keys } t \implies f \ y = \text{monomial } (c \ y) \ (s \ y)$   
**shows**  $\text{subst-pp } f \ t = \text{monomial } (\prod_{y \in \text{keys } t}. (c \ y) \wedge \text{lookup } t \ y) \ (\sum_{y \in \text{keys } t}. \text{lookup } t \ y \cdot s \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *poly-deg-subst-pp-eq-zeroI*:  
**assumes**  $\bigwedge x. x \in \text{keys } t \implies \text{poly-deg } (f \ x) = 0$   
**shows**  $\text{poly-deg } (\text{subst-pp } f \ t) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *poly-deg-subst-pp-le*:  
**assumes**  $\bigwedge x. x \in \text{keys } t \implies \text{poly-deg } (f \ x) \leq 1$   
**shows**  $\text{poly-deg } (\text{subst-pp } f \ t) \leq \text{deg-pm } t$   
 $\langle \text{proof} \rangle$

**lemma** *poly-subst-alt*:  $\text{poly-subst } f \ p = (\sum t. \text{punit.monom-mult } (\text{lookup } p \ t) \ 0 \ (\text{subst-pp } f \ t))$   
 $\langle \text{proof} \rangle$

**lemma** *poly-subst-trivial [simp]*:  $\text{poly-subst } (\lambda-. \ 0) \ p = \text{monomial } (\text{lookup } p \ 0) \ 0$   
 $\langle \text{proof} \rangle$

**lemma** *poly-subst-zero [simp]*:  $\text{poly-subst } f \ 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *monom-mult-lookup-not-zero-subset-keys*:  
 $\{t. \text{punit.monom-mult } (\text{lookup } p \ t) \ 0 \ (\text{subst-pp } f \ t) \neq 0\} \subseteq \text{keys } p$   
 $\langle \text{proof} \rangle$

**corollary** *finite-monom-mult-lookup-not-zero*:  
 $\text{finite } \{t. \text{punit.monom-mult } (\text{lookup } p \ t) \ 0 \ (\text{subst-pp } f \ t) \neq 0\}$   
 $\langle \text{proof} \rangle$

**lemma** *poly-subst-plus*:  $\text{poly-subst } f \ (p + q) = \text{poly-subst } f \ p + \text{poly-subst } f \ q$   
 $\langle \text{proof} \rangle$

**lemma** *poly-subst-uminus*:  $\text{poly-subst } f \ (-p) = - \text{poly-subst } f \ (p::(!x \Rightarrow_0 \ \text{nat}) \Rightarrow_0 \ 'b::\text{comm-ring-1})$   
 $\langle \text{proof} \rangle$

**lemma** *poly-subst-minus*:

$poly\_subst\ f\ (p - q) = poly\_subst\ f\ p - poly\_subst\ f\ (q :: ('x \Rightarrow_0\ nat) \Rightarrow_0\ 'b :: comm\_ring-1)$   
(proof)

**lemma** *poly-subst-monomial*:  $poly\_subst\ f\ (monomial\ c\ t) = punit.monom\_mult\ c\ 0\ (subst\_pp\ f\ t)$

(proof)

**corollary** *poly-subst-one* [simp]:  $poly\_subst\ f\ 1 = 1$

(proof)

**lemma** *poly-subst-times*:  $poly\_subst\ f\ (p * q) = poly\_subst\ f\ p * poly\_subst\ f\ q$

(proof)

**corollary** *poly-subst-monom-mult*:

$poly\_subst\ f\ (punit.monom\_mult\ c\ t\ p) = punit.monom\_mult\ c\ 0\ (subst\_pp\ f\ t * poly\_subst\ f\ p)$

(proof)

**corollary** *poly-subst-monom-mult'*:

$poly\_subst\ f\ (punit.monom\_mult\ c\ t\ p) = (punit.monom\_mult\ c\ 0\ (subst\_pp\ f\ t)) * poly\_subst\ f\ p$

(proof)

**lemma** *poly-subst-sum*:  $poly\_subst\ f\ (sum\ p\ A) = (\sum\ a \in A. poly\_subst\ f\ (p\ a))$

(proof)

**lemma** *poly-subst-prod*:  $poly\_subst\ f\ (prod\ p\ A) = (\prod\ a \in A. poly\_subst\ f\ (p\ a))$

(proof)

**lemma** *poly-subst-power*:  $poly\_subst\ f\ (p \wedge^n) = (poly\_subst\ f\ p) \wedge^n$

(proof)

**lemma** *poly-subst-subst-pp*:  $poly\_subst\ f\ (subst\_pp\ g\ t) = subst\_pp\ (\lambda x. poly\_subst\ f\ (g\ x))\ t$

(proof)

**lemma** *poly-subst-poly-subst*:  $poly\_subst\ f\ (poly\_subst\ g\ p) = poly\_subst\ (\lambda x. poly\_subst\ f\ (g\ x))\ p$

(proof)

**lemma** *poly-subst-id*:

**assumes**  $\bigwedge x. x \in indets\ p \implies f\ x = monomial\ 1\ (Poly\_Mapping.single\ x\ 1)$

**shows**  $poly\_subst\ f\ p = p$

(proof)

**lemma** *in-keys-poly-substE*:

**assumes**  $t \in keys\ (poly\_subst\ f\ p)$

**obtains**  $s$  **where**  $s \in \text{keys } p$  **and**  $t \in \text{keys } (\text{subst-pp } f \ s)$   
 ⟨proof⟩

**lemma** *in-indets-poly-substE*:  
**assumes**  $x \in \text{indets } (\text{poly-subst } f \ p)$   
**obtains**  $y$  **where**  $y \in \text{indets } p$  **and**  $x \in \text{indets } (f \ y)$   
 ⟨proof⟩

**lemma** *poly-deg-poly-subst-eq-zeroI*:  
**assumes**  $\bigwedge x. x \in \text{indets } p \implies \text{poly-deg } (f \ x) = 0$   
**shows**  $\text{poly-deg } (\text{poly-subst } (f :: - \Rightarrow ((\text{'y} \Rightarrow_0 -) \Rightarrow_0 -)) (p :: (\text{'x} \Rightarrow_0 -) \Rightarrow_0 \text{'b} :: \text{comm-semiring-1}))$   
 $= 0$   
 ⟨proof⟩

**lemma** *poly-deg-poly-subst-le*:  
**assumes**  $\bigwedge x. x \in \text{indets } p \implies \text{poly-deg } (f \ x) \leq 1$   
**shows**  $\text{poly-deg } (\text{poly-subst } (f :: - \Rightarrow ((\text{'y} \Rightarrow_0 -) \Rightarrow_0 -)) (p :: (\text{'x} \Rightarrow_0 \text{nat}) \Rightarrow_0 \text{'b} :: \text{comm-semiring-1}))$   
 $\leq \text{poly-deg } p$   
 ⟨proof⟩

**lemma** *subst-pp-cong*:  $s = t \implies (\bigwedge x. x \in \text{keys } t \implies f \ x = g \ x) \implies \text{subst-pp } f \ s$   
 $= \text{subst-pp } g \ t$   
 ⟨proof⟩

**lemma** *poly-subst-cong*:  
**assumes**  $p = q$  **and**  $\bigwedge x. x \in \text{indets } q \implies f \ x = g \ x$   
**shows**  $\text{poly-subst } f \ p = \text{poly-subst } g \ q$   
 ⟨proof⟩

**lemma** *Polys-homomorphismE*:  
**obtains**  $h$  **where**  $\bigwedge p \ q. h \ (p + q) = h \ p + h \ q$  **and**  $\bigwedge p \ q. h \ (p * q) = h \ p * h \ q$   
**and**  $\bigwedge p :: (\text{'x} \Rightarrow_0 \text{nat}) \Rightarrow_0 \text{'a} :: \text{comm-ring-1}. h \ (h \ p) = h \ p$  **and**  $\text{range } h = P[X]$   
 ⟨proof⟩

**lemma** *in-idealE-Polys-finite*:  
**assumes** *finite*  $B$  **and**  $B \subseteq P[X]$  **and**  $p \in P[X]$  **and**  $(p :: (\text{'x} \Rightarrow_0 \text{nat}) \Rightarrow_0 \text{'a} :: \text{comm-ring-1}) \in \text{ideal } B$   
**obtains**  $q$  **where**  $\bigwedge b. q \ b \in P[X]$  **and**  $p = (\sum_{b \in B}. q \ b * b)$   
 ⟨proof⟩

**corollary** *in-idealE-Polys*:  
**assumes**  $B \subseteq P[X]$  **and**  $p \in P[X]$  **and**  $p \in \text{ideal } B$   
**obtains**  $A \ q$  **where** *finite*  $A$  **and**  $A \subseteq B$  **and**  $\bigwedge b. q \ b \in P[X]$  **and**  $p = (\sum_{b \in A}. q \ b * b)$   
 ⟨proof⟩

**lemma** *ideal-induct-Polys* [*consumes 3, case-names 0 plus*]:  
**assumes**  $F \subseteq P[X]$  **and**  $p \in P[X]$  **and**  $p \in \text{ideal } F$   
**assumes**  $P \ 0$  **and**  $\bigwedge c \ q \ h. c \in P[X] \implies q \in F \implies P \ h \implies h \in P[X] \implies P$

$(c * q + h)$   
**shows**  $P (p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-ring-1})$   
 $\langle \text{proof} \rangle$

**lemma** *image-poly-subst-ideal-subset*:  $\text{poly-subst } g \text{ ` ideal } F \subseteq \text{ideal } (\text{poly-subst } g \text{ ` } F)$   
 $\langle \text{proof} \rangle$

## 17.4 Evaluating Polynomials

**lemma** *lookup-times-zero*:  
 $\text{lookup } (p * q) \ 0 = \text{lookup } p \ 0 * \text{lookup } q \ (0 :: 'a :: \{\text{comm-powerprod}, \text{ninvs-comm-monoid-add}\})$   
 $\langle \text{proof} \rangle$

**corollary** *lookup-prod-zero*:  
 $\text{lookup } (\text{prod } f \ I) \ 0 = (\prod_{i \in I}. \text{lookup } (f \ i) \ (0 :: 'a :: \{\text{comm-powerprod}, \text{ninvs-comm-monoid-add}\}))$   
 $\langle \text{proof} \rangle$

**corollary** *lookup-power-zero*:  
 $\text{lookup } (p \wedge^k) \ 0 = \text{lookup } p \ (0 :: 'a :: \{\text{comm-powerprod}, \text{ninvs-comm-monoid-add}\}) \wedge^k$   
 $\langle \text{proof} \rangle$

**definition** *poly-eval* ::  $('x \Rightarrow 'a) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow 'a :: \text{comm-semiring-1}$   
**where**  $\text{poly-eval } a \ p = \text{lookup } (\text{poly-subst } (\lambda y. \text{monomial } (a \ y) \ (0 :: 'x \Rightarrow_0 \text{nat}))) \ p \ 0$

**lemma** *poly-eval-alt*:  $\text{poly-eval } a \ p = (\sum t \in \text{keys } p. \text{lookup } p \ t * (\prod x \in \text{keys } t. a \ x \wedge \text{lookup } t \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-monomial*:  $\text{poly-eval } a \ (\text{monomial } c \ t) = c * (\prod x \in \text{keys } t. a \ x \wedge \text{lookup } t \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-zero [simp]*:  $\text{poly-eval } a \ 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-zero-left [simp]*:  $\text{poly-eval } 0 \ p = \text{lookup } p \ 0$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-plus*:  $\text{poly-eval } a \ (p + q) = \text{poly-eval } a \ p + \text{poly-eval } a \ q$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-uminus [simp]*:  $\text{poly-eval } a \ (-p) = - \text{poly-eval } (a :: 'a :: \text{comm-ring-1}) \ p$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-minus*:  $\text{poly-eval } a \ (p - q) = \text{poly-eval } a \ p - \text{poly-eval } (a :: 'a :: \text{comm-ring-1}) \ q$

$q$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-one* [simp]:  $\text{poly-eval } a \ 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-times*:  $\text{poly-eval } a \ (p * q) = \text{poly-eval } a \ p * \text{poly-eval } a \ q$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-power*:  $\text{poly-eval } a \ (p \wedge m) = \text{poly-eval } a \ p \wedge m$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-sum*:  $\text{poly-eval } a \ (\text{sum } f \ I) = (\sum_{i \in I}. \text{poly-eval } a \ (f \ i))$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-prod*:  $\text{poly-eval } a \ (\text{prod } f \ I) = (\prod_{i \in I}. \text{poly-eval } a \ (f \ i))$   
 $\langle \text{proof} \rangle$

**lemma** *poly-eval-cong*:  $p = q \implies (\bigwedge x. x \in \text{indets } q \implies a \ x = b \ x) \implies \text{poly-eval } a \ p = \text{poly-eval } b \ q$   
 $\langle \text{proof} \rangle$

**lemma** *indets-poly-eval-subset*:  
 $\text{indets } (\text{poly-eval } a \ p) \subseteq \bigcup (\text{indets } 'a \ ' \ \text{indets } p) \cup \bigcup (\text{indets } ' \ \text{lookup } p \ ' \ \text{keys } p)$   
 $\langle \text{proof} \rangle$

**lemma** *image-poly-eval-ideal*:  $\text{poly-eval } a \ ' \ \text{ideal } F = \text{ideal } (\text{poly-eval } a \ ' \ F)$   
 $\langle \text{proof} \rangle$

## 17.5 Replacing Indeterminates

**definition** *map-indets* **where**  $\text{map-indets } f = \text{poly-subst } (\lambda x. \text{monomial } 1 \ (\text{Poly-Mapping.single } (f \ x) \ 1))$

**lemma**

**shows** *map-indets-zero* [simp]:  $\text{map-indets } f \ 0 = 0$

**and** *map-indets-one* [simp]:  $\text{map-indets } f \ 1 = 1$

**and** *map-indets-uminus* [simp]:  $\text{map-indets } f \ (- \ r) = - \ \text{map-indets } f \ (r :: - \Rightarrow_0 \text{comm-ring-1})$

**and** *map-indets-plus*:  $\text{map-indets } f \ (p + q) = \text{map-indets } f \ p + \text{map-indets } f \ q$

**and** *map-indets-minus*:  $\text{map-indets } f \ (r - s) = \text{map-indets } f \ r - \text{map-indets } f \ s$

**s**

**and** *map-indets-times*:  $\text{map-indets } f \ (p * q) = \text{map-indets } f \ p * \text{map-indets } f \ q$

**and** *map-indets-power* [simp]:  $\text{map-indets } f \ (p \wedge m) = \text{map-indets } f \ p \wedge m$

**and** *map-indets-sum*:  $\text{map-indets } f \ (\text{sum } g \ A) = (\sum_{a \in A}. \text{map-indets } f \ (g \ a))$

**and** *map-indets-prod*:  $\text{map-indets } f \ (\text{prod } g \ A) = (\prod_{a \in A}. \text{map-indets } f \ (g \ a))$

$\langle \text{proof} \rangle$

**lemma** *map-indets-monomial*:

$map-indets\ f\ (monomial\ c\ t) = monomial\ c\ (\sum_{x \in keys\ t} Poly-Mapping.single\ (f\ x)\ (lookup\ t\ x))$   
 ⟨proof⟩

**lemma**  $map-indets-id$ :  $(\bigwedge x. x \in indets\ p \implies f\ x = x) \implies map-indets\ f\ p = p$   
 ⟨proof⟩

**lemma**  $map-indets-map-indets$ :  $map-indets\ f\ (map-indets\ g\ p) = map-indets\ (f \circ g)\ p$   
 ⟨proof⟩

**lemma**  $map-indets-cong$ :  $p = q \implies (\bigwedge x. x \in indets\ q \implies f\ x = g\ x) \implies map-indets\ f\ p = map-indets\ g\ q$   
 ⟨proof⟩

**lemma**  $poly-subst-map-indets$ :  $poly-subst\ f\ (map-indets\ g\ p) = poly-subst\ (f \circ g)\ p$   
 ⟨proof⟩

**lemma**  $poly-eval-map-indets$ :  $poly-eval\ a\ (map-indets\ g\ p) = poly-eval\ (a \circ g)\ p$   
 ⟨proof⟩

**lemma**  $map-indets-inverseE-Polys$ :  
 assumes  $inj-on\ f\ X$  and  $p \in P[X]$   
 shows  $map-indets\ (the-inv-into\ X\ f)\ (map-indets\ f\ p) = p$   
 ⟨proof⟩

**lemma**  $map-indets-inverseE$ :  
 assumes  $inj\ f$   
 obtains  $g$  where  $g = the-inv\ f$  and  $g \circ f = id$  and  $map-indets\ g \circ map-indets\ f = id$   
 ⟨proof⟩

**lemma**  $indets-map-indets-subset$ :  $indets\ (map-indets\ f\ (p::-\Rightarrow_0\ 'a::comm-semiring-1)) \subseteq f\ 'indets\ p$   
 ⟨proof⟩

**corollary**  $map-indets-in-Polys$ :  $map-indets\ f\ p \in P[f\ 'indets\ p]$   
 ⟨proof⟩

**lemma**  $indets-map-indets$ :  
 assumes  $inj-on\ f\ (indets\ p)$   
 shows  $indets\ (map-indets\ f\ p) = f\ 'indets\ p$   
 ⟨proof⟩

**lemma**  $image-map-indets-Polys$ :  $map-indets\ f\ 'P[X] = (P[f\ 'X]::(-\Rightarrow_0\ 'a::comm-semiring-1)\ set)$   
 ⟨proof⟩

**corollary**  $range-map-indets$ :  $range\ (map-indets\ f) = P[range\ f]$

*<proof>*

**lemma** *in-keys-map-indetsE*:

**assumes**  $t \in \text{keys } (\text{map-indets } f \text{ } (p::\Rightarrow_0 'a::\text{comm-semiring-1}))$

**obtains**  $s$  **where**  $s \in \text{keys } p$  **and**  $t = (\sum_{x \in \text{keys } s} \text{Poly-Mapping.single } (f \ x))$   
 $(\text{lookup } s \ x)$

*<proof>*

**lemma** *keys-map-indets-subset*:

$\text{keys } (\text{map-indets } f \ p) \subseteq (\lambda t. \sum_{x \in \text{keys } t} \text{Poly-Mapping.single } (f \ x) (\text{lookup } t \ x))$   
 $' \text{keys } p$

*<proof>*

**lemma** *keys-map-indets*:

**assumes**  $\text{inj-on } f \ (\text{indets } p)$

**shows**  $\text{keys } (\text{map-indets } f \ p) = (\lambda t. \sum_{x \in \text{keys } t} \text{Poly-Mapping.single } (f \ x) (\text{lookup } t \ x))$   $' \text{keys } p$

*<proof>*

**lemma** *poly-deg-map-indets-le*:  $\text{poly-deg } (\text{map-indets } f \ p) \leq \text{poly-deg } p$

*<proof>*

**lemma** *poly-deg-map-indets*:

**assumes**  $\text{inj-on } f \ (\text{indets } p)$

**shows**  $\text{poly-deg } (\text{map-indets } f \ p) = \text{poly-deg } p$

*<proof>*

**lemma** *map-indets-inj-on-PolysI*:

**assumes**  $\text{inj-on } (f::'x \Rightarrow 'y) \ X$

**shows**  $\text{inj-on } ((\text{map-indets } f)::-\Rightarrow -\Rightarrow_0 'a::\text{comm-semiring-1}) \ P[X]$

*<proof>*

**lemma** *map-indets-injI*:

**assumes**  $\text{inj } f$

**shows**  $\text{inj } (\text{map-indets } f)$

*<proof>*

**lemma** *image-map-indets-ideal*:

**assumes**  $\text{inj } f$

**shows**  $\text{map-indets } f \ ' \text{ideal } F = \text{ideal } (\text{map-indets } f \ ' (F::(-\Rightarrow_0 'a::\text{comm-ring-1}) \text{set})) \cap P[\text{range } f]$

*<proof>*

## 17.6 Homogeneity

**definition** *homogeneous* ::  $(('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{zero}) \Rightarrow \text{bool}$

**where**  $\text{homogeneous } p \longleftrightarrow (\forall s \in \text{keys } p. \forall t \in \text{keys } p. \text{deg-pm } s = \text{deg-pm } t)$

**definition** *hom-component* ::  $(('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow \text{nat} \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0$

'a::zero)

**where** *hom-component*  $p\ n = \text{except } p \{t. \text{deg-pm } t \neq n\}$

**definition** *hom-components* ::  $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow ((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::zero)$   
*set*

**where** *hom-components*  $p = \text{hom-component } p \text{ 'deg-pm 'keys } p$

**definition** *homogeneous-set* ::  $((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::zero) \text{ set} \Rightarrow \text{bool}$

**where** *homogeneous-set*  $A \longleftrightarrow (\forall a \in A. \forall n. \text{hom-component } a\ n \in A)$

**lemma** *homogeneousI*:  $(\bigwedge s\ t. s \in \text{keys } p \implies t \in \text{keys } p \implies \text{deg-pm } s = \text{deg-pm } t) \implies \text{homogeneous } p$

*<proof>*

**lemma** *homogeneousD*:  $\text{homogeneous } p \implies s \in \text{keys } p \implies t \in \text{keys } p \implies \text{deg-pm } s = \text{deg-pm } t$

*<proof>*

**lemma** *homogeneousD-poly-deg*:

**assumes** *homogeneous*  $p$  **and**  $t \in \text{keys } p$

**shows**  $\text{deg-pm } t = \text{poly-deg } p$

*<proof>*

**lemma** *homogeneous-monomial* [*simp*]: *homogeneous* (*monomial*  $c\ t$ )

*<proof>*

**corollary** *homogeneous-zero* [*simp*]: *homogeneous*  $0$  **and** *homogeneous-one* [*simp*]:  
*homogeneous*  $1$

*<proof>*

**lemma** *homogeneous-uminus-iff* [*simp*]: *homogeneous*  $(- p) \longleftrightarrow \text{homogeneous } p$

*<proof>*

**lemma** *homogeneous-monom-mult*: *homogeneous*  $p \implies \text{homogeneous } (\text{punit.monom-mult } c\ t\ p)$

*<proof>*

**lemma** *homogeneous-monom-mult-rev*:

**assumes**  $c \neq (0::'a::\text{semiring-no-zero-divisors})$  **and** *homogeneous*  $(\text{punit.monom-mult } c\ t\ p)$

**shows** *homogeneous*  $p$

*<proof>*

**lemma** *homogeneous-times*:

**assumes** *homogeneous*  $p$  **and** *homogeneous*  $q$

**shows** *homogeneous*  $(p * q)$

*<proof>*

**lemma** *lookup-hom-component*:  $\text{lookup } (\text{hom-component } p\ n) = (\lambda t. \text{lookup } p\ t$

when  $\text{deg-pm } t = n$ )  
(proof)

**lemma** *keys-hom-component*:  $\text{keys } (\text{hom-component } p \ n) = \{t. t \in \text{keys } p \wedge \text{deg-pm } t = n\}$   
(proof)

**lemma** *keys-hom-componentD*:  
**assumes**  $t \in \text{keys } (\text{hom-component } p \ n)$   
**shows**  $t \in \text{keys } p$  **and**  $\text{deg-pm } t = n$   
(proof)

**lemma** *homogeneous-hom-component*:  $\text{homogeneous } (\text{hom-component } p \ n)$   
(proof)

**lemma** *hom-component-zero [simp]*:  $\text{hom-component } 0 = 0$   
(proof)

**lemma** *hom-component-zero-iff*:  $\text{hom-component } p \ n = 0 \iff (\forall t \in \text{keys } p. \text{deg-pm } t \neq n)$   
(proof)

**lemma** *hom-component-uminus [simp]*:  $\text{hom-component } (- p) = - \text{hom-component } p$   
(proof)

**lemma** *hom-component-plus*:  $\text{hom-component } (p + q) \ n = \text{hom-component } p \ n + \text{hom-component } q \ n$   
(proof)

**lemma** *hom-component-minus*:  $\text{hom-component } (p - q) \ n = \text{hom-component } p \ n - \text{hom-component } q \ n$   
(proof)

**lemma** *hom-component-monom-mult*:  
 $\text{punit.monom-mult } c \ t \ (\text{hom-component } p \ n) = \text{hom-component } (\text{punit.monom-mult } c \ t \ p) \ (\text{deg-pm } t + n)$   
(proof)

**lemma** *hom-component-inject*:  
**assumes**  $t \in \text{keys } p$  **and**  $\text{hom-component } p \ (\text{deg-pm } t) = \text{hom-component } p \ n$   
**shows**  $\text{deg-pm } t = n$   
(proof)

**lemma** *hom-component-of-homogeneous*:  
**assumes** *homogeneous*  $p$   
**shows**  $\text{hom-component } p \ n = (p \ \text{when } n = \text{poly-deg } p)$   
(proof)

**lemma** *hom-components-zero* [simp]: *hom-components* 0 = {}  
⟨proof⟩

**lemma** *hom-components-zero-iff* [simp]: *hom-components* p = {}  $\longleftrightarrow$  p = 0  
⟨proof⟩

**lemma** *hom-components-uminus*: *hom-components* (- p) = *uminus* ‘ *hom-components* p  
⟨proof⟩

**lemma** *hom-components-monom-mult*:  
*hom-components* (*punit.monom-mult* c t p) = (if c = 0 then {} else *punit.monom-mult* c t ‘ *hom-components* p)  
for c::'a::semiring-no-zero-divisors  
⟨proof⟩

**lemma** *hom-componentsI*: q = *hom-component* p (*deg-pm* t)  $\implies$  t  $\in$  *keys* p  $\implies$   
q  $\in$  *hom-components* p  
⟨proof⟩

**lemma** *hom-componentsE*:  
assumes q  $\in$  *hom-components* p  
obtains t **where** t  $\in$  *keys* p **and** q = *hom-component* p (*deg-pm* t)  
⟨proof⟩

**lemma** *hom-components-of-homogeneous*:  
assumes *homogeneous* p  
shows *hom-components* p = (if p = 0 then {} else {p})  
⟨proof⟩

**lemma** *finite-hom-components*: *finite* (*hom-components* p)  
⟨proof⟩

**lemma** *hom-components-homogeneous*: q  $\in$  *hom-components* p  $\implies$  *homogeneous* q  
⟨proof⟩

**lemma** *hom-components-nonzero*: q  $\in$  *hom-components* p  $\implies$  q  $\neq$  0  
⟨proof⟩

**lemma** *deg-pm-hom-components*:  
assumes q1  $\in$  *hom-components* p **and** q2  $\in$  *hom-components* p **and** t1  $\in$  *keys* q1 **and** t2  $\in$  *keys* q2  
shows *deg-pm* t1 = *deg-pm* t2  $\longleftrightarrow$  q1 = q2  
⟨proof⟩

**lemma** *poly-deg-hom-components*:  
assumes q1  $\in$  *hom-components* p **and** q2  $\in$  *hom-components* p  
shows *poly-deg* q1 = *poly-deg* q2  $\longleftrightarrow$  q1 = q2

*<proof>*

**lemma** *hom-components-keys-disjoint:*

**assumes**  $q1 \in \text{hom-components } p$  **and**  $q2 \in \text{hom-components } p$  **and**  $q1 \neq q2$   
**shows**  $\text{keys } q1 \cap \text{keys } q2 = \{\}$

*<proof>*

**lemma** *Keys-hom-components:*  $\text{Keys } (\text{hom-components } p) = \text{keys } p$

*<proof>*

**lemma** *lookup-hom-components:*  $q \in \text{hom-components } p \implies t \in \text{keys } q \implies \text{lookup } q \ t = \text{lookup } p \ t$

*<proof>*

**lemma** *poly-deg-hom-components-le:*

**assumes**  $q \in \text{hom-components } p$   
**shows**  $\text{poly-deg } q \leq \text{poly-deg } p$

*<proof>*

**lemma** *sum-hom-components:*  $\sum (\text{hom-components } p) = p$

*<proof>*

**lemma** *homogeneous-setI:*  $(\bigwedge a \ n. a \in A \implies \text{hom-component } a \ n \in A) \implies \text{homogeneous-set } A$

*<proof>*

**lemma** *homogeneous-setD:*  $\text{homogeneous-set } A \implies a \in A \implies \text{hom-component } a \ n \in A$

*<proof>*

**lemma** *homogeneous-set-Polys:*  $\text{homogeneous-set } (P[X]::(- \Rightarrow_0 'a::\text{zero}) \text{ set})$

*<proof>*

**lemma** *homogeneous-set-IntI:*  $\text{homogeneous-set } A \implies \text{homogeneous-set } B \implies \text{homogeneous-set } (A \cap B)$

*<proof>*

**lemma** *homogeneous-setD-hom-components:*

**assumes**  $\text{homogeneous-set } A$  **and**  $a \in A$  **and**  $b \in \text{hom-components } a$   
**shows**  $b \in A$

*<proof>*

**lemma** *zero-in-homogeneous-set:*

**assumes**  $\text{homogeneous-set } A$  **and**  $A \neq \{\}$   
**shows**  $0 \in A$

*<proof>*

**lemma** *homogeneous-ideal:*

**assumes**  $\bigwedge f. f \in F \implies \text{homogeneous } f$  **and**  $p \in \text{ideal } F$

**shows** *hom-component*  $p \ n \in \text{ideal } F$   
 ⟨*proof*⟩

**corollary** *homogeneous-set-homogeneous-ideal*:

( $\bigwedge f. f \in F \implies \text{homogeneous } f$ )  $\implies$  *homogeneous-set* (*ideal*  $F$ )  
 ⟨*proof*⟩

**corollary** *homogeneous-ideal'*:

**assumes**  $\bigwedge f. f \in F \implies \text{homogeneous } f$  **and**  $p \in \text{ideal } F$  **and**  $q \in \text{hom-components } p$   
**shows**  $q \in \text{ideal } F$   
 ⟨*proof*⟩

**lemma** *homogeneous-idealE-homogeneous*:

**assumes**  $\bigwedge f. f \in F \implies \text{homogeneous } f$  **and**  $p \in \text{ideal } F$  **and** *homogeneous*  $p$   
**obtains**  $F' \ q$  **where** *finite*  $F'$  **and**  $F' \subseteq F$  **and**  $p = (\sum f \in F'. q \ f * f)$  **and**  $\bigwedge f. \text{homogeneous } (q \ f)$   
**and**  $\bigwedge f. f \in F' \implies \text{poly-deg } (q \ f * f) = \text{poly-deg } p$  **and**  $\bigwedge f. f \notin F' \implies q \ f = 0$   
 ⟨*proof*⟩

**corollary** *homogeneous-idealE*:

**assumes**  $\bigwedge f. f \in F \implies \text{homogeneous } f$  **and**  $p \in \text{ideal } F$   
**obtains**  $F' \ q$  **where** *finite*  $F'$  **and**  $F' \subseteq F$  **and**  $p = (\sum f \in F'. q \ f * f)$   
**and**  $\bigwedge f. \text{poly-deg } (q \ f * f) \leq \text{poly-deg } p$  **and**  $\bigwedge f. f \notin F' \implies q \ f = 0$   
 ⟨*proof*⟩

**corollary** *homogeneous-idealE-finite*:

**assumes** *finite*  $F$  **and**  $\bigwedge f. f \in F \implies \text{homogeneous } f$  **and**  $p \in \text{ideal } F$   
**obtains**  $q$  **where**  $p = (\sum f \in F. q \ f * f)$  **and**  $\bigwedge f. \text{poly-deg } (q \ f * f) \leq \text{poly-deg } p$   
**and**  $\bigwedge f. f \notin F \implies q \ f = 0$   
 ⟨*proof*⟩

### 17.6.1 Homogenization and Dehomogenization

**definition** *homogenize* ::  $'x \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{semiring-1})$

**where** *homogenize*  $x \ p = (\sum t \in \text{keys } p. \text{monomial } (\text{lookup } p \ t) \ (\text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t))$

**definition** *dehomo-subst* ::  $'x \Rightarrow 'x \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{zero-neq-one})$

**where** *dehomo-subst*  $x = (\lambda y. \text{if } y = x \text{ then } 1 \text{ else } \text{monomial } 1 \ (\text{Poly-Mapping.single } y \ 1))$

**definition** *dehomogenize* ::  $'x \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a) \Rightarrow (('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{comm-semiring-1})$

**where** *dehomogenize*  $x = \text{poly-subst } (\text{dehomo-subst } x)$

**lemma** *homogenize-zero* [*simp*]: *homogenize*  $x \ 0 = 0$

⟨*proof*⟩

**lemma** *homogenize-uminus* [simp]:  $\text{homogenize } x \ (-\ p) = -\ \text{homogenize } x \ (p::-\Rightarrow_0 \ 'a::\text{ring-1})$   
 ⟨proof⟩

**lemma** *homogenize-monom-mult* [simp]:  
 $\text{homogenize } x \ (\text{punit.monom-mult } c \ t \ p) = \text{punit.monom-mult } c \ t \ (\text{homogenize } x \ p)$   
**for**  $c::'a::\{\text{semiring-1}, \text{semiring-no-zero-divisors-cancel}\}$   
 ⟨proof⟩

**lemma** *homogenize-alt*:  
 $\text{homogenize } x \ p = (\sum_{q \in \text{hom-components } p} \text{punit.monom-mult } 1 \ (\text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{poly-deg } q)) \ q)$   
 ⟨proof⟩

**lemma** *keys-homogenizeE*:  
**assumes**  $t \in \text{keys } (\text{homogenize } x \ p)$   
**obtains**  $t'$  **where**  $t' \in \text{keys } p$  **and**  $t = \text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t') + t'$   
 ⟨proof⟩

**lemma** *keys-homogenizeE-alt*:  
**assumes**  $t \in \text{keys } (\text{homogenize } x \ p)$   
**obtains**  $q \ t'$  **where**  $q \in \text{hom-components } p$  **and**  $t' \in \text{keys } q$   
**and**  $t = \text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{poly-deg } q) + t'$   
 ⟨proof⟩

**lemma** *deg-pm-homogenize*:  
**assumes**  $t \in \text{keys } (\text{homogenize } x \ p)$   
**shows**  $\text{deg-pm } t = \text{poly-deg } p$   
 ⟨proof⟩

**corollary** *homogeneous-homogenize*:  $\text{homogeneous } (\text{homogenize } x \ p)$   
 ⟨proof⟩

**corollary** *poly-deg-homogenize-le*:  $\text{poly-deg } (\text{homogenize } x \ p) \leq \text{poly-deg } p$   
 ⟨proof⟩

**lemma** *homogenize-id-iff* [simp]:  $\text{homogenize } x \ p = p \iff \text{homogeneous } p$   
 ⟨proof⟩

**lemma** *homogenize-homogenize* [simp]:  $\text{homogenize } x \ (\text{homogenize } x \ p) = \text{homogenize } x \ p$   
 ⟨proof⟩

**lemma** *homogenize-monomial*:  $\text{homogenize } x \ (\text{monomial } c \ t) = \text{monomial } c \ t$   
 ⟨proof⟩

**lemma** *indets-homogenize-subset*:  $\text{indets } (\text{homogenize } x \ p) \subseteq \text{insert } x \ (\text{indets } p)$

*<proof>*

**lemma** *homogenize-in-Polys*:  $p \in P[X] \implies \text{homogenize } x \ p \in P[\text{insert } x \ X]$   
*<proof>*

**lemma** *lookup-homogenize*:

**assumes**  $x \notin \text{indets } p$  **and**  $x \notin \text{keys } t$   
**shows**  $\text{lookup } (\text{homogenize } x \ p) \ (\text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t) = \text{lookup } p \ t$   
*<proof>*

**lemma** *keys-homogenizeI*:

**assumes**  $x \notin \text{indets } p$  **and**  $t \in \text{keys } p$   
**shows**  $\text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t \in \text{keys } (\text{homogenize } x \ p)$  **(is**  $?t \in \text{keys } ?p$ **)**  
*<proof>*

**lemma** *keys-homogenize*:

$x \notin \text{indets } p \implies \text{keys } (\text{homogenize } x \ p) = (\lambda t. \text{Poly-Mapping.single } x \ (\text{poly-deg } p - \text{deg-pm } t) + t) \ ` \ \text{keys } p$   
*<proof>*

**lemma** *card-keys-homogenize*:

**assumes**  $x \notin \text{indets } p$   
**shows**  $\text{card } (\text{keys } (\text{homogenize } x \ p)) = \text{card } (\text{keys } p)$   
*<proof>*

**lemma** *poly-deg-homogenize*:

**assumes**  $x \notin \text{indets } p$   
**shows**  $\text{poly-deg } (\text{homogenize } x \ p) = \text{poly-deg } p$   
*<proof>*

**lemma** *maxdeg-homogenize*:

**assumes**  $x \notin \bigcup (\text{indets } \ ` \ F)$   
**shows**  $\text{maxdeg } (\text{homogenize } x \ ` \ F) = \text{maxdeg } F$   
*<proof>*

**lemma** *homogeneous-ideal-homogenize*:

**assumes**  $\bigwedge f. f \in F \implies \text{homogeneous } f$  **and**  $p \in \text{ideal } F$   
**shows**  $\text{homogenize } x \ p \in \text{ideal } F$   
*<proof>*

**lemma** *subst-pp-dehomo-subst [simp]*:

$\text{subst-pp } (\text{dehomo-subst } x) \ t = \text{monomial } (1::'b::\text{comm-semiring-1}) \ (\text{except } t \ \{x\})$   
*<proof>*

**lemma**

**shows** *dehomogenize-zero [simp]*:  $\text{dehomogenize } x \ 0 = 0$   
**and** *dehomogenize-one [simp]*:  $\text{dehomogenize } x \ 1 = 1$

**and** *dehomogenize-monomial*:  $\text{dehomogenize } x \text{ (monomial } c \ t) = \text{monomial } c$   
(*except*  $t \ \{x\}$ )

**and** *dehomogenize-plus*:  $\text{dehomogenize } x \ (p + q) = \text{dehomogenize } x \ p + \text{dehomogenize } x \ q$

**and** *dehomogenize-uminus*:  $\text{dehomogenize } x \ (- r) = - \text{dehomogenize } x \ (r :: \Rightarrow_0 \text{comm-ring-1})$

**and** *dehomogenize-minus*:  $\text{dehomogenize } x \ (r - r') = \text{dehomogenize } x \ r - \text{dehomogenize } x \ r'$

**and** *dehomogenize-times*:  $\text{dehomogenize } x \ (p * q) = \text{dehomogenize } x \ p * \text{dehomogenize } x \ q$

**and** *dehomogenize-power*:  $\text{dehomogenize } x \ (p \wedge n) = \text{dehomogenize } x \ p \wedge n$

**and** *dehomogenize-sum*:  $\text{dehomogenize } x \ (\text{sum } f \ A) = (\sum_{a \in A}. \text{dehomogenize } x \ (f \ a))$

**and** *dehomogenize-prod*:  $\text{dehomogenize } x \ (\text{prod } f \ A) = (\prod_{a \in A}. \text{dehomogenize } x \ (f \ a))$   
(*proof*)

**corollary** *dehomogenize-monom-mult*:

$\text{dehomogenize } x \ (\text{punit.monom-mult } c \ t \ p) = \text{punit.monom-mult } c \ (\text{except } t \ \{x\})$   
(*dehomogenize } x \ p*)  
(*proof*)

**lemma** *poly-deg-dehomogenize-le*:  $\text{poly-deg } (\text{dehomogenize } x \ p) \leq \text{poly-deg } p$   
(*proof*)

**lemma** *indets-dehomogenize*:  $\text{indets } (\text{dehomogenize } x \ p) \subseteq \text{indets } p - \{x\}$   
**for**  $p :: ('x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a :: \text{comm-semiring-1}$   
(*proof*)

**lemma** *dehomogenize-id-iff* [*simp*]:  $\text{dehomogenize } x \ p = p \iff x \notin \text{indets } p$   
(*proof*)

**lemma** *dehomogenize-dehomogenize* [*simp*]:  $\text{dehomogenize } x \ (\text{dehomogenize } x \ p) = \text{dehomogenize } x \ p$   
(*proof*)

**lemma** *dehomogenize-homogenize* [*simp*]:  $\text{dehomogenize } x \ (\text{homogenize } x \ p) = \text{dehomogenize } x \ p$   
(*proof*)

**corollary** *dehomogenize-homogenize-id*:  $x \notin \text{indets } p \implies \text{dehomogenize } x \ (\text{homogenize } x \ p) = p$   
(*proof*)

**lemma** *range-dehomogenize*:  $\text{range } (\text{dehomogenize } x) = (P[- \ \{x\}] :: (- \Rightarrow_0 'a :: \text{comm-semiring-1}) \text{ set})$   
(*proof*)

**lemma** *dehomogenize-alt*:  $\text{dehomogenize } x \ p = (\sum_{t \in \text{keys } p}. \text{monomial } (\text{lookup } p$

$t$ ) (*except*  $t \{x\}$ )  
(*proof*)

**lemma** *keys-dehomogenizeE*:  
  **assumes**  $t \in \text{keys } (\text{dehomogenize } x \ p)$   
  **obtains**  $s$  **where**  $s \in \text{keys } p$  **and**  $t = \text{except } s \{x\}$   
(*proof*)

**lemma** *except-inj-on-keys-homogeneous*:  
  **assumes** *homogeneous*  $p$   
  **shows** *inj-on* ( $\lambda t. \text{except } t \{x\}$ ) (*keys*  $p$ )  
(*proof*)

**lemma** *lookup-dehomogenize*:  
  **assumes** *homogeneous*  $p$  **and**  $t \in \text{keys } p$   
  **shows** *lookup* ( $\text{dehomogenize } x \ p$ ) (*except*  $t \{x\}$ ) = *lookup*  $p \ t$   
(*proof*)

**lemma** *keys-dehomogenizeI*:  
  **assumes** *homogeneous*  $p$  **and**  $t \in \text{keys } p$   
  **shows** *except*  $t \{x\} \in \text{keys } (\text{dehomogenize } x \ p)$   
(*proof*)

**lemma** *homogeneous-homogenize-dehomogenize*:  
  **assumes** *homogeneous*  $p$   
  **obtains**  $d$  **where**  $d = \text{poly-deg } p - \text{poly-deg } (\text{homogenize } x \ (\text{dehomogenize } x \ p))$   
  **and** *punit.monom-mult* 1 (*Poly-Mapping.single*  $x \ d$ ) (*homogenize*  $x \ (\text{dehomogenize } x \ p)$ ) =  $p$   
(*proof*)

**lemma** *dehomogenize-zeroD*:  
  **assumes**  $\text{dehomogenize } x \ p = 0$  **and** *homogeneous*  $p$   
  **shows**  $p = 0$   
(*proof*)

**lemma** *dehomogenize-ideal*: *dehomogenize*  $x \ \text{ideal } F = \text{ideal } (\text{dehomogenize } x \ F) \cap P[- \{x\}]$   
(*proof*)

**corollary** *dehomogenize-ideal-subset*: *dehomogenize*  $x \ \text{ideal } F \subseteq \text{ideal } (\text{dehomogenize } x \ F)$   
(*proof*)

**lemma** *ideal-dehomogenize*:  
  **assumes** *ideal*  $G = \text{ideal } (\text{homogenize } x \ F)$  **and**  $F \subseteq P[\text{UNIV} - \{x\}]$   
  **shows** *ideal* ( $\text{dehomogenize } x \ G$ ) = *ideal*  $F$   
(*proof*)

## 17.7 Embedding Polynomial Rings in Larger Polynomial Rings (With One Additional Indeterminate)

We define a homomorphism for embedding a polynomial ring in a larger polynomial ring, and its inverse. This is mainly needed for homogenizing wrt. a fresh indeterminate.

**definition** *extend-indets-subst* ::  $'x \Rightarrow ('x \text{ option} \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a::\text{comm-semiring-1}$   
**where** *extend-indets-subst*  $x = \text{monomial } 1 (\text{Poly-Mapping.single } (\text{Some } x) 1)$

**definition** *extend-indets* ::  $(('x \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a) \Rightarrow ('x \text{ option} \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a::\text{comm-semiring-1}$   
**where** *extend-indets*  $= \text{poly-subst } \text{extend-indets-subst}$

**definition** *restrict-indets-subst* ::  $'x \text{ option} \Rightarrow 'x \Rightarrow_0 \text{ nat}$   
**where** *restrict-indets-subst*  $x = (\text{case } x \text{ of } \text{Some } y \Rightarrow \text{Poly-Mapping.single } y 1 \mid - \Rightarrow 0)$

**definition** *restrict-indets* ::  $(('x \text{ option} \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a) \Rightarrow ('x \Rightarrow_0 \text{ nat}) \Rightarrow_0 'a::\text{comm-semiring-1}$   
**where** *restrict-indets*  $= \text{poly-subst } (\lambda x. \text{monomial } 1 (\text{restrict-indets-subst } x))$

**definition** *restrict-indets-pp* ::  $('x \text{ option} \Rightarrow_0 \text{ nat}) \Rightarrow ('x \Rightarrow_0 \text{ nat})$   
**where** *restrict-indets-pp*  $t = (\sum x \in \text{keys } t. \text{lookup } t x \cdot \text{restrict-indets-subst } x)$

**lemma** *lookup-extend-indets-subst-aux*:  
 $\text{lookup } (\sum y \in \text{keys } t. \text{Poly-Mapping.single } (\text{Some } y) (\text{lookup } t y)) = (\lambda x. \text{case } x \text{ of } \text{Some } y \Rightarrow \text{lookup } t y \mid - \Rightarrow 0)$   
*<proof>*

**lemma** *keys-extend-indets-subst-aux*:  
 $\text{keys } (\sum y \in \text{keys } t. \text{Poly-Mapping.single } (\text{Some } y) (\text{lookup } t y)) = \text{Some } ' \text{keys } t$   
*<proof>*

**lemma** *subst-pp-extend-indets-subst*:  
 $\text{subst-pp } \text{extend-indets-subst } t = \text{monomial } 1 (\sum y \in \text{keys } t. \text{Poly-Mapping.single } (\text{Some } y) (\text{lookup } t y))$   
*<proof>*

**lemma** *keys-extend-indets*:  
 $\text{keys } (\text{extend-indets } p) = (\lambda t. \sum y \in \text{keys } t. \text{Poly-Mapping.single } (\text{Some } y) (\text{lookup } t y)) ' \text{keys } p$   
*<proof>*

**lemma** *indets-extend-indets*:  $\text{indets } (\text{extend-indets } p) = \text{Some } ' \text{indets } (p:- \Rightarrow_0 'a::\text{comm-semiring-1})$   
*<proof>*

**lemma** *poly-deg-extend-indets [simp]*:  $\text{poly-deg } (\text{extend-indets } p) = \text{poly-deg } p$   
*<proof>*

**lemma**

**shows** *extend-indets-zero* [*simp*]: *extend-indets* 0 = 0  
**and** *extend-indets-one* [*simp*]: *extend-indets* 1 = 1  
**and** *extend-indets-monomial*: *extend-indets* (monomial *c t*) = *punit.monom-mult* *c* 0 (*subst-pp extend-indets-subst t*)  
**and** *extend-indets-plus*: *extend-indets* (*p + q*) = *extend-indets p + extend-indets q*  
**and** *extend-indets-uminus*: *extend-indets* (- *r*) = - *extend-indets (r:: - =>0 -::comm-ring-1)*  
**and** *extend-indets-minus*: *extend-indets* (*r - r'*) = *extend-indets r - extend-indets r'*  
**and** *extend-indets-times*: *extend-indets* (*p \* q*) = *extend-indets p \* extend-indets q*  
**and** *extend-indets-power*: *extend-indets* (*p ^ n*) = *extend-indets p ^ n*  
**and** *extend-indets-sum*: *extend-indets* (*sum f A*) = ( $\sum_{a \in A} \text{extend-indets } (f\ a)$ )  
**and** *extend-indets-prod*: *extend-indets* (*prod f A*) = ( $\prod_{a \in A} \text{extend-indets } (f\ a)$ )  
 <proof>

**lemma** *extend-indets-zero-iff* [*simp*]: *extend-indets p = 0*  $\longleftrightarrow$  *p = 0*  
 <proof>

**lemma** *extend-indets-inject*:  
**assumes** *extend-indets p = extend-indets (q:: - =>0 -::comm-ring-1)*  
**shows** *p = q*  
 <proof>

**corollary** *inj-extend-indets*: *inj (extend-indets:: - =>0 -::comm-ring-1)*  
 <proof>

**lemma** *poly-subst-extend-indets*: *poly-subst f (extend-indets p)* = *poly-subst (f o Some) p*  
 <proof>

**lemma** *poly-eval-extend-indets*: *poly-eval a (extend-indets p)* = *poly-eval (a o Some) p*  
 <proof>

**lemma** *lookup-restrict-indets-pp*: *lookup (restrict-indets-pp t)* = ( $\lambda x. \text{lookup } t\ (\text{Some } x)$ )  
 <proof>

**lemma** *keys-restrict-indets-pp*: *keys (restrict-indets-pp t)* = *the ' (keys t - {None})*  
 <proof>

**lemma** *subst-pp-restrict-indets-subst*:  
*subst-pp* ( $\lambda x. \text{monomial } 1\ (\text{restrict-indets-subst } x)$ ) *t* = *monomial 1 (restrict-indets-pp t)*  
 <proof>

**lemma** *restrict-indets-pp-zero* [simp]: *restrict-indets-pp 0 = 0*  
 ⟨proof⟩

**lemma** *restrict-indets-pp-plus*: *restrict-indets-pp (s + t) = restrict-indets-pp s + restrict-indets-pp t*  
 ⟨proof⟩

**lemma** *restrict-indets-pp-except-None* [simp]:  
*restrict-indets-pp (except t {None}) = restrict-indets-pp t*  
 ⟨proof⟩

**lemma** *deg-pm-restrict-indets-pp*: *deg-pm (restrict-indets-pp t) + lookup t None = deg-pm t*  
 ⟨proof⟩

**lemma** *keys-restrict-indets-subset*: *keys (restrict-indets p) ⊆ restrict-indets-pp ‘ keys p*  
 ⟨proof⟩

**lemma** *keys-restrict-indets*:  
**assumes** *None ∉ indets p*  
**shows** *keys (restrict-indets p) = restrict-indets-pp ‘ keys p*  
 ⟨proof⟩

**lemma** *indets-restrict-indets-subset*: *indets (restrict-indets p) ⊆ the ‘ (indets p - {None})*  
 ⟨proof⟩

**lemma** *poly-deg-restrict-indets-le*: *poly-deg (restrict-indets p) ≤ poly-deg p*  
 ⟨proof⟩

**lemma**  
**shows** *restrict-indets-zero* [simp]: *restrict-indets 0 = 0*  
**and** *restrict-indets-one* [simp]: *restrict-indets 1 = 1*  
**and** *restrict-indets-monomial*: *restrict-indets (monomial c t) = monomial c (restrict-indets-pp t)*  
**and** *restrict-indets-plus*: *restrict-indets (p + q) = restrict-indets p + restrict-indets q*  
**and** *restrict-indets-uminus*: *restrict-indets (- r) = - restrict-indets (r::- ⇒<sub>0</sub> -::comm-ring-1)*  
**and** *restrict-indets-minus*: *restrict-indets (r - r') = restrict-indets r - restrict-indets r'*  
**and** *restrict-indets-times*: *restrict-indets (p \* q) = restrict-indets p \* restrict-indets q*  
**and** *restrict-indets-power*: *restrict-indets (p ^ n) = restrict-indets p ^ n*  
**and** *restrict-indets-sum*: *restrict-indets (sum f A) = (∑ a∈A. restrict-indets (f a))*  
**and** *restrict-indets-prod*: *restrict-indets (prod f A) = (∏ a∈A. restrict-indets (f a))*

a))  
⟨proof⟩

**lemma** *restrict-extend-indets* [simp]:  $\text{restrict-indets } (\text{extend-indets } p) = p$   
⟨proof⟩

**lemma** *extend-restrict-indets*:  
**assumes**  $\text{None} \notin \text{indets } p$   
**shows**  $\text{extend-indets } (\text{restrict-indets } p) = p$   
⟨proof⟩

**lemma** *restrict-indets-dehomogenize* [simp]:  $\text{restrict-indets } (\text{dehomogenize } \text{None } p)$   
 $= \text{restrict-indets } p$   
⟨proof⟩

**corollary** *restrict-indets-comp-dehomogenize*:  $\text{restrict-indets} \circ \text{dehomogenize } \text{None}$   
 $= \text{restrict-indets}$   
⟨proof⟩

**corollary** *extend-restrict-indets-eq-dehomogenize*:  
 $\text{extend-indets } (\text{restrict-indets } p) = \text{dehomogenize } \text{None } p$   
⟨proof⟩

**corollary** *extend-indets-comp-restrict-indets*:  $\text{extend-indets} \circ \text{restrict-indets} = \text{dehomogenize } \text{None}$   
⟨proof⟩

**lemma** *restrict-homogenize-extend-indets* [simp]:  
 $\text{restrict-indets } (\text{homogenize } \text{None } (\text{extend-indets } p)) = p$   
⟨proof⟩

**lemma** *dehomogenize-extend-indets* [simp]:  $\text{dehomogenize } \text{None } (\text{extend-indets } p)$   
 $= \text{extend-indets } p$   
⟨proof⟩

**lemma** *restrict-indets-ideal*:  $\text{restrict-indets } \text{' ideal } F = \text{ideal } (\text{restrict-indets } \text{' } F)$   
⟨proof⟩

**lemma** *ideal-restrict-indets*:  
 $\text{ideal } G = \text{ideal } (\text{homogenize } \text{None } \text{' extend-indets } \text{' } F) \implies \text{ideal } (\text{restrict-indets } \text{' } G) = \text{ideal } F$   
⟨proof⟩

**lemma** *extend-indets-ideal*:  $\text{extend-indets } \text{' ideal } F = \text{ideal } (\text{extend-indets } \text{' } F) \cap P[- \{ \text{None} \}]$   
⟨proof⟩

**corollary** *extend-indets-ideal-subset*:  $\text{extend-indets } \text{' ideal } F \subseteq \text{ideal } (\text{extend-indets } \text{' } F)$

*<proof>*

## 17.8 Canonical Isomorphisms between $P[X, Y]$ and $P[X][Y]$ : *focus and flatten*

**definition** *focus* :: 'x set  $\Rightarrow$  (('x  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a)  $\Rightarrow$  (('x  $\Rightarrow_0$  nat)  $\Rightarrow_0$  ('x  $\Rightarrow_0$  nat)  $\Rightarrow_0$  'a::comm-monoid-add)

**where** *focus* X p = ( $\sum t \in \text{keys } p$ . monomial (monomial (lookup p t) (except t X)) (except t (- X)))

**definition** *flatten* :: ('a  $\Rightarrow_0$  'a  $\Rightarrow_0$  'b)  $\Rightarrow$  ('a::comm-powerprod  $\Rightarrow_0$  'b::semiring-1)

**where** *flatten* p = ( $\sum t \in \text{keys } p$ . punit.monom-mult 1 t (lookup p t))

**lemma** *focus-superset*:

**assumes** finite A and keys p  $\subseteq$  A

**shows** *focus* X p = ( $\sum t \in A$ . monomial (monomial (lookup p t) (except t X)) (except t (- X)))

*<proof>*

**lemma** *keys-focus*: keys (focus X p) = ( $\lambda t$ . except t (- X)) ' keys p

*<proof>*

**lemma** *keys-coeffs-focus-subset*:

**assumes** c  $\in$  range (lookup (focus X p))

**shows** keys c  $\subseteq$  ( $\lambda t$ . except t X) ' keys p

*<proof>*

**lemma** *focus-in-Polys'*:

**assumes** p  $\in$  P[Y]

**shows** *focus* X p  $\in$  P[Y  $\cap$  X]

*<proof>*

**corollary** *focus-in-Polys*: *focus* X p  $\in$  P[X]

*<proof>*

**lemma** *focus-coeffs-subset-Polys'*:

**assumes** p  $\in$  P[Y]

**shows** range (lookup (focus X p))  $\subseteq$  P[Y - X]

*<proof>*

**corollary** *focus-coeffs-subset-Polys*: range (lookup (focus X p))  $\subseteq$  P[- X]

*<proof>*

**corollary** *lookup-focus-in-Polys*: lookup (focus X p) t  $\in$  P[- X]

*<proof>*

**lemma** *focus-zero [simp]*: *focus* X 0 = 0

*<proof>*

**lemma** *focus-eq-zero-iff* [*iff*]:  $\text{focus } X \ p = 0 \longleftrightarrow p = 0$   
 ⟨*proof*⟩

**lemma** *focus-one* [*simp*]:  $\text{focus } X \ 1 = 1$   
 ⟨*proof*⟩

**lemma** *focus-monomial*:  $\text{focus } X \ (\text{monomial } c \ t) = \text{monomial } (\text{monomial } c \ (\text{except } t \ X))$  (except  $t \ (- \ X)$ )  
 ⟨*proof*⟩

**lemma** *focus-uminus* [*simp*]:  $\text{focus } X \ (- \ p) = - \ \text{focus } X \ p$   
 ⟨*proof*⟩

**lemma** *focus-plus*:  $\text{focus } X \ (p + q) = \text{focus } X \ p + \text{focus } X \ q$   
 ⟨*proof*⟩

**lemma** *focus-minus*:  $\text{focus } X \ (p - q) = \text{focus } X \ p - \text{focus } X \ q$  (by  $ab\text{-group-add}$ )  
 ⟨*proof*⟩

**lemma** *focus-times*:  $\text{focus } X \ (p * q) = \text{focus } X \ p * \text{focus } X \ q$   
 ⟨*proof*⟩

**lemma** *focus-sum*:  $\text{focus } X \ (\text{sum } f \ I) = (\sum_{i \in I}. \text{focus } X \ (f \ i))$   
 ⟨*proof*⟩

**lemma** *focus-prod*:  $\text{focus } X \ (\text{prod } f \ I) = (\prod_{i \in I}. \text{focus } X \ (f \ i))$   
 ⟨*proof*⟩

**lemma** *focus-power* [*simp*]:  $\text{focus } X \ (f \ ^m) = \text{focus } X \ f \ ^m$   
 ⟨*proof*⟩

**lemma** *focus-Polys*:  
 assumes  $p \in P[X]$   
 shows  $\text{focus } X \ p = (\sum_{t \in \text{keys } p}. \text{monomial } (\text{monomial } (\text{lookup } p \ t) \ 0) \ t)$   
 ⟨*proof*⟩

**corollary** *lookup-focus-Polys*:  $p \in P[X] \implies \text{lookup } (\text{focus } X \ p) \ t = \text{monomial } (\text{lookup } p \ t) \ 0$   
 ⟨*proof*⟩

**lemma** *focus-Polys-Compl*:  
 assumes  $p \in P[- \ X]$   
 shows  $\text{focus } X \ p = \text{monomial } p \ 0$   
 ⟨*proof*⟩

**corollary** *focus-empty* [*simp*]:  $\text{focus } \{\} \ p = \text{monomial } p \ 0$   
 ⟨*proof*⟩

**lemma** *focus-Int*:

**assumes**  $p \in P[Y]$   
**shows**  $\text{focus } (X \cap Y) p = \text{focus } X p$   
 $\langle \text{proof} \rangle$

**lemma** *range-focusD*:

**assumes**  $p \in \text{range } (\text{focus } X)$   
**shows**  $p \in P[X]$  **and**  $\text{range } (\text{lookup } p) \subseteq P[- X]$  **and**  $\text{lookup } p t \in P[- X]$   
 $\langle \text{proof} \rangle$

**lemma** *range-focusI*:

**assumes**  $p \in P[X]$  **and**  $\text{lookup } p \text{ 'keys } (p::-\Rightarrow_0 -\Rightarrow_0 -::\text{semiring-1}) \subseteq P[- X]$   
**shows**  $p \in \text{range } (\text{focus } X)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-focus*:  $\text{inj } ((\text{focus } X) :: ((x \Rightarrow_0 \text{nat}) \Rightarrow_0 'a::\text{ab-group-add}) \Rightarrow -)$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-superset*:

**assumes** *finite*  $A$  **and**  $\text{keys } p \subseteq A$   
**shows**  $\text{flatten } p = (\sum t \in A. \text{punit.monom-mult } 1 t (\text{lookup } p t))$   
 $\langle \text{proof} \rangle$

**lemma** *keys-flatten-subset*:  $\text{keys } (\text{flatten } p) \subseteq (\bigcup t \in \text{keys } p. (+) t \text{ 'keys } (\text{lookup } p t))$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-in-Polys*:

**assumes**  $p \in P[X]$  **and**  $\text{lookup } p \text{ 'keys } p \subseteq P[Y]$   
**shows**  $\text{flatten } p \in P[X \cup Y]$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-zero [simp]*:  $\text{flatten } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-one [simp]*:  $\text{flatten } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-monomial*:  $\text{flatten } (\text{monomial } c t) = \text{punit.monom-mult } 1 t c$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-uminus [simp]*:  $\text{flatten } (- p) = - \text{flatten } (p::-\Rightarrow_0 -\Rightarrow_0 -::\text{ring})$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-plus*:  $\text{flatten } (p + q) = \text{flatten } p + \text{flatten } q$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-minus*:  $\text{flatten } (p - q) = \text{flatten } p - \text{flatten } (q::-\Rightarrow_0 -\Rightarrow_0 -::\text{ring})$   
 $\langle \text{proof} \rangle$

**lemma** *flatten-times*:  $\text{flatten } (p * q) = \text{flatten } p * \text{flatten } (q :: - \Rightarrow_0 - \Rightarrow_0 \text{ 'b} :: \text{comm-semiring-1})$   
 ⟨proof⟩

**lemma** *flatten-monom-mult*:  
 $\text{flatten } (\text{punit.monom-mult } c \ t \ p) = \text{punit.monom-mult } 1 \ t \ (c * \text{flatten } (p :: - \Rightarrow_0 - \Rightarrow_0 \text{ 'b} :: \text{comm-semiring-1}))$   
 ⟨proof⟩

**lemma** *flatten-sum*:  $\text{flatten } (\text{sum } f \ I) = (\sum_{i \in I}. \text{flatten } (f \ i))$   
 ⟨proof⟩

**lemma** *flatten-prod*:  $\text{flatten } (\text{prod } f \ I) = (\prod_{i \in I}. \text{flatten } (f \ i :: - \Rightarrow_0 - \Rightarrow_0 \text{ 'b} :: \text{comm-semiring-1}))$   
 ⟨proof⟩

**lemma** *flatten-power [simp]*:  $\text{flatten } (f \wedge^m) = \text{flatten } (f :: - \Rightarrow_0 - \Rightarrow_0 \text{ 'b} :: \text{comm-semiring-1})$   
 ⟨proof⟩

**lemma** *surj-flatten*: *surj flatten*  
 ⟨proof⟩

**lemma** *flatten-focus [simp]*:  $\text{flatten } (\text{focus } X \ p) = p$   
 ⟨proof⟩

**lemma** *focus-flatten*:  
**assumes**  $p \in P[X]$  **and**  $\text{lookup } p \ \text{'keys } p \subseteq P[- \ X]$   
**shows**  $\text{focus } X \ (\text{flatten } p) = p$   
 ⟨proof⟩

**lemma** *image-focus-ideal*:  $\text{focus } X \ \text{'ideal } F = \text{ideal } (\text{focus } X \ \text{' } F) \cap \text{range } (\text{focus } X)$   
 ⟨proof⟩

**lemma** *image-flatten-ideal*:  $\text{flatten } \text{'ideal } F = \text{ideal } (\text{flatten } \text{' } F)$   
 ⟨proof⟩

**lemma** *poly-eval-focus*:  
 $\text{poly-eval } a \ (\text{focus } X \ p) = \text{poly-subst } (\lambda x. \text{if } x \in X \ \text{then } a \ x \ \text{else monomial } 1 \ (\text{Poly-Mapping.single } x \ 1)) \ p$   
 ⟨proof⟩

**corollary** *poly-eval-poly-eval-focus*:  
 $\text{poly-eval } a \ (\text{poly-eval } b \ (\text{focus } X \ p)) = \text{poly-eval } (\lambda x :: 'x. \text{if } x \in X \ \text{then } \text{poly-eval } a \ (b \ x) \ \text{else } a \ x) \ p$   
 ⟨proof⟩

**lemma** *indets-poly-eval-focus-subset*:  
 $\text{indets } (\text{poly-eval } a \ (\text{focus } X \ p)) \subseteq \bigcup (\text{indets } \text{' } a \ \text{' } X) \cup (\text{indets } p - X)$   
 ⟨proof⟩

**lemma** *lookup-poly-eval-focus*:

$lookup (poly-eval (\lambda x. monomial (a x) 0) (focus X p)) t = poly-eval a (lookup (focus (- X) p) t)$   
*<proof>*

**lemma** *keys-poly-eval-focus-subset*:

$keys (poly-eval (\lambda x. monomial (a x) 0) (focus X p)) \subseteq (\lambda t. except t X) \text{ ' } keys p$   
*<proof>*

**lemma** *poly-eval-focus-in-Polys*:

**assumes**  $p \in P[X]$   
**shows**  $poly-eval (\lambda x. monomial (a x) 0) (focus Y p) \in P[X - Y]$   
*<proof>*

**lemma** *image-poly-eval-focus-ideal*:

$poly-eval (\lambda x. monomial (a x) 0) \text{ ' } focus X \text{ ' } ideal F =$   
 $ideal (poly-eval (\lambda x. monomial (a x) 0) \text{ ' } focus X \text{ ' } F) \cap$   
 $(P[- X]::('x \Rightarrow_0 nat) \Rightarrow_0 'a::comm-ring-1) set)$   
*<proof>*

## 17.9 Locale *pm-powerprod*

**lemma** *varnum-eq-zero-iff*:  $varnum X t = 0 \iff t \in .[X]$   
*<proof>*

**lemma** *dgrad-set-varnum*:  $dgrad-set (varnum X) 0 = .[X]$   
*<proof>*

**context** *ordered-powerprod*

**begin**

**abbreviation**  $lcf \equiv punit.lc$

**abbreviation**  $tcf \equiv punit.tc$

**abbreviation**  $lpp \equiv punit.lt$

**abbreviation**  $tpp \equiv punit.tt$

**end**

**locale** *pm-powerprod* =

*ordered-powerprod ord ord-strict*

**for**  $ord::('x::\{countable,linorder\} \Rightarrow_0 nat) \Rightarrow ('x \Rightarrow_0 nat) \Rightarrow bool$  (**infixl**  $\langle \preceq \rangle$  50)

**and** *ord-strict* (**infixl**  $\langle \prec \rangle$  50)

**begin**

**sublocale** *gd-powerprod* *<proof>*

**lemma** *PPs-closed-lpp*:

**assumes**  $p \in P[X]$

**shows**  $lpp\ p \in \cdot[X]$   
 $\langle proof \rangle$

**lemma** *PPs-closed-tpp*:  
**assumes**  $p \in P[X]$   
**shows**  $tpp\ p \in \cdot[X]$   
 $\langle proof \rangle$

**corollary** *PPs-closed-image-lpp*:  $F \subseteq P[X] \implies lpp\ 'F \subseteq \cdot[X]$   
 $\langle proof \rangle$

**corollary** *PPs-closed-image-tpp*:  $F \subseteq P[X] \implies tpp\ 'F \subseteq \cdot[X]$   
 $\langle proof \rangle$

**lemma** *hom-component-lpp*:  
**assumes**  $p \neq 0$   
**shows**  $hom\ component\ p\ (deg\ pm\ (lpp\ p)) \neq 0$  (**is**  $?p \neq 0$ )  
**and**  $lpp\ (hom\ component\ p\ (deg\ pm\ (lpp\ p))) = lpp\ p$   
 $\langle proof \rangle$

**definition** *is-hom-ord* ::  $'x \Rightarrow bool$   
**where**  $is\ hom\ ord\ x \longleftrightarrow (\forall s\ t. deg\ pm\ s = deg\ pm\ t \longrightarrow (s \preceq t \longleftrightarrow except\ s\ \{x\} \preceq except\ t\ \{x\}))$

**lemma** *is-hom-ordD*:  $is\ hom\ ord\ x \implies deg\ pm\ s = deg\ pm\ t \implies s \preceq t \longleftrightarrow except\ s\ \{x\} \preceq except\ t\ \{x\}$   
 $\langle proof \rangle$

**lemma** *dgrad-p-set-varnum*:  $punit.dgrad\ p\ set\ (varnum\ X)\ 0 = P[X]$   
 $\langle proof \rangle$

**end**

We must create a copy of *pm-powerprod* to avoid infinite chains of interpretations.

**instantiation** *option* :: (*linorder*) *linorder*  
**begin**

**fun** *less-eq-option* ::  $'a\ option \Rightarrow 'a\ option \Rightarrow bool$  **where**  
 $less\ eq\ option\ None\ - = True$  |  
 $less\ eq\ option\ (Some\ x)\ None = False$  |  
 $less\ eq\ option\ (Some\ x)\ (Some\ y) = (x \leq y)$

**definition** *less-option* ::  $'a\ option \Rightarrow 'a\ option \Rightarrow bool$   
**where**  $less\ option\ x\ y \longleftrightarrow x \leq y \wedge \neg y \leq x$

**instance**  $\langle proof \rangle$

**end**

```

locale extended-ord-pm-powerprod = pm-powerprod
begin

definition extended-ord :: ('a option  $\Rightarrow_0$  nat)  $\Rightarrow$  ('a option  $\Rightarrow_0$  nat)  $\Rightarrow$  bool
  where extended-ord s t  $\longleftrightarrow$  (restrict-indets-pp s  $\prec$  restrict-indets-pp t  $\vee$ 
    (restrict-indets-pp s = restrict-indets-pp t  $\wedge$  lookup s None  $\leq$ 
    lookup t None))

definition extended-ord-strict :: ('a option  $\Rightarrow_0$  nat)  $\Rightarrow$  ('a option  $\Rightarrow_0$  nat)  $\Rightarrow$  bool
  where extended-ord-strict s t  $\longleftrightarrow$  (restrict-indets-pp s  $\prec$  restrict-indets-pp t  $\vee$ 
    (restrict-indets-pp s = restrict-indets-pp t  $\wedge$  lookup s None  $<$ 
    lookup t None))

sublocale extended-ord: pm-powerprod extended-ord extended-ord-strict
  <proof>

lemma extended-ord-is-hom-ord: extended-ord.is-hom-ord None
  <proof>

end

end

theory MPoly-Type-Univariate
  imports
    More-MPoly-Type
    HOL-Computational-Algebra.Polynomial
  begin

  This file connects univariate MPolys to the theory of univariate polynomials from HOL-Computational-Algebra.Polynomial.

  definition poly-to-mpoly::nat  $\Rightarrow$  'a::comm-monoid-add poly  $\Rightarrow$  'a mpoly
  where poly-to-mpoly v p = MPoly (Abs-poly-mapping ( $\lambda m.$  (coeff p (Poly-Mapping.lookup m v)) when Poly-Mapping.keys m  $\subseteq$  {v}))

  lemma poly-to-mpoly-finite: finite {m::nat  $\Rightarrow_0$  nat. (coeff p (Poly-Mapping.lookup m v) when Poly-Mapping.keys m  $\subseteq$  {v})  $\neq$  0} (is finite ?M)
  <proof>

  lemma coeff-poly-to-mpoly: MPoly-Type.coeff (poly-to-mpoly v p) (Poly-Mapping.single v k) = Polynomial.coeff p k
  <proof>

  definition mpoly-to-poly::nat  $\Rightarrow$  'a::comm-monoid-add mpoly  $\Rightarrow$  'a poly
  where mpoly-to-poly v p = Abs-poly ( $\lambda k.$  MPoly-Type.coeff p (Poly-Mapping.single v k))

  lemma coeff-mpoly-to-poly[simp]: Polynomial.coeff (mpoly-to-poly v p) k = MPoly-Type.coeff

```

$p$  (*Poly-Mapping.single*  $v$   $k$ )  
<proof>

**lemma** *mpoly-to-poly-inverse*:  
**assumes**  $\text{vars } p \subseteq \{v\}$   
**shows**  $\text{poly-to-mpoly } v (\text{mpoly-to-poly } v p) = p$   
<proof>

**lemma** *poly-to-mpoly-inverse*:  $\text{mpoly-to-poly } v (\text{poly-to-mpoly } v p) = p$   
<proof>

**lemma** *poly-to-mpoly0*:  $\text{poly-to-mpoly } v 0 = 0$   
<proof>

**lemma** *mpoly-to-poly-add*:  $\text{mpoly-to-poly } v (p1 + p2) = \text{mpoly-to-poly } v p1 + \text{mpoly-to-poly } v p2$   
<proof>

**lemma** *poly-eq-insertion*:  
**assumes**  $\text{vars } p \subseteq \{v\}$   
**shows**  $\text{poly } (\text{mpoly-to-poly } v p) x = \text{insertion } (\lambda v. x) p$   
<proof>

Using the new connection between MPoly and univariate polynomials, we can transfer:

**lemma** *univariate-mpoly-roots-finite*:  
**fixes**  $p::'a::\text{idom } \text{mpoly}$   
**assumes**  $\text{vars } p \subseteq \{v\} p \neq 0$   
**shows**  $\text{finite } \{x. \text{insertion } (\lambda v. x) p = 0\}$   
<proof>

**end**

## 18 Polynomials

**theory** *Polynomials*  
**imports**  
  *Abstract-Rewriting.SN-Orders*  
  *Matrix.Utility*  
**begin**

### 18.1 Polynomials represented as trees

**datatype** ( $\text{vars-tpoly}: 'v$ ,  $\text{nums-tpoly}: 'a$ ) $\text{tpoly} = PVar 'v \mid PNum 'a \mid PSum$   
 $( 'v, 'a)\text{tpoly list} \mid PMult ( 'v, 'a)\text{tpoly list}$

**type-synonym** ( $'v, 'a$ ) $\text{assign} = 'v \Rightarrow 'a$

**primrec** *eval-tpoly* :: ('v,'a::{monoid-add,monoid-mult})assign  $\Rightarrow$  ('v,'a)tpoly  $\Rightarrow$  'a

**where** *eval-tpoly*  $\alpha$  (PVar  $x$ ) =  $\alpha$   $x$   
| *eval-tpoly*  $\alpha$  (PNum  $a$ ) =  $a$   
| *eval-tpoly*  $\alpha$  (PSum  $ps$ ) = *sum-list* (*map* (*eval-tpoly*  $\alpha$ )  $ps$ )  
| *eval-tpoly*  $\alpha$  (PMult  $ps$ ) = *prod-list* (*map* (*eval-tpoly*  $\alpha$ )  $ps$ )

## 18.2 Polynomials represented in normal form as lists of monomials

The internal representation of polynomials is a sum of products of monomials with coefficients where all coefficients are non-zero, and all monomials are different

Definition of type *monom*

**type-synonym** 'v *monom-list* = ('v  $\times$  nat)list

- $[(x, n), (y, m)]$  represent  $x^n \cdot y^m$
- invariants: all powers are  $\geq 1$  and each variable occurs at most once  
hence:  $[(x, 1), (y, 2), (x, 2)]$  will not occur, but  $[(x, 3), (y, 2)]; [(x, 1), (y, 0)]$   
will not occur, but  $[(x, 1)]$

**context** *linorder*

**begin**

**definition** *monom-inv* :: 'a *monom-list*  $\Rightarrow$  bool **where**

*monom-inv*  $m \equiv (\forall (x,n) \in \text{set } m. 1 \leq n) \wedge \text{distinct } (\text{map } \text{fst } m) \wedge \text{sorted } (\text{map } \text{fst } m)$

**fun** *eval-monom-list* :: ('a,'b :: comm-semiring-1)assign  $\Rightarrow$  ('a *monom-list*)  $\Rightarrow$  'b  
**where**

*eval-monom-list*  $\alpha$  [] = 1  
| *eval-monom-list*  $\alpha$  (( $x,p$ ) #  $m$ ) = *eval-monom-list*  $\alpha$   $m * (\alpha x)^{\wedge p}$

**lemma** *eval-monom-list[simp]*: *eval-monom-list*  $\alpha$  ( $m @ n$ ) = *eval-monom-list*  $\alpha$   $m * \text{eval-monom-list } \alpha n$

*<proof>*

**definition** *sum-var-list* :: 'a *monom-list*  $\Rightarrow$  'a  $\Rightarrow$  nat **where**

*sum-var-list*  $m x \equiv \text{sum-list } (\text{map } (\lambda (y,c). \text{if } x = y \text{ then } c \text{ else } 0) m)$

**lemma** *sum-var-list-not*:  $x \notin \text{fst } ' \text{set } m \implies \text{sum-var-list } m x = 0$

*<proof>*

show that equality of monomials is equivalent to statement that all variables occur with the same (accumulated) power; afterwards properties like transitivity, etc. are easy to prove

**lemma** *monom-inv-Cons*: **assumes** *monom-inv* (( $x,p$ ) #  $m$ )

**and**  $y \leq x$  **shows**  $y \notin \text{fst } \text{' set } m$   
 ⟨proof⟩

**lemma** *eq-monom-sum-var-list*: **assumes** *monom-inv m* **and** *monom-inv n*  
**shows**  $(m = n) = (\forall x. \text{sum-var-list } m \ x = \text{sum-var-list } n \ x)$  (**is**  $?l = ?r$ )  
 ⟨proof⟩

equality of monomials is also a complete for several carriers, e.g. the naturals, integers, where  $x^p = x^q$  implies  $p = q$ . note that it is not complete for carriers like the Booleans where e.g.  $x^{\text{Suc}(m)} = x^{\text{Suc}(n)}$  for all  $n, m$ .

**abbreviation** (*input*) *monom-list-vars* ::  $'a \text{ monom-list} \Rightarrow 'a \text{ set}$   
**where** *monom-list-vars*  $m \equiv \text{fst } \text{' set } m$

**fun** *monom-mult-list* ::  $'a \text{ monom-list} \Rightarrow 'a \text{ monom-list} \Rightarrow 'a \text{ monom-list}$  **where**  
*monom-mult-list* []  $n = n$   
 | *monom-mult-list* (( $x, p$ ) #  $m$ )  $n = (\text{case } n \text{ of}$   
    $\text{Nil} \Rightarrow (x, p) \# m$   
   | ( $y, q$ ) #  $n' \Rightarrow \text{if } x = y \text{ then } (x, p + q) \# \text{monom-mult-list } m \ n' \text{ else}$   
      $\text{if } x < y \text{ then } (x, p) \# \text{monom-mult-list } m \ n \text{ else } (y, q) \# \text{monom-mult-list}$   
     ( $(x, p) \# m$ )  $n'$ )

**lemma** *monom-list-mult-list-vars*: *monom-list-vars* (*monom-mult-list*  $m1 \ m2$ ) =  
*monom-list-vars*  $m1 \cup \text{monom-list-vars } m2$   
 ⟨proof⟩

**lemma** *monom-mult-list-inv*: *monom-inv*  $m1 \Longrightarrow \text{monom-inv } m2 \Longrightarrow \text{monom-inv}$   
 (*monom-mult-list*  $m1 \ m2$ )  
 ⟨proof⟩

**lemma** *monom-inv-ConsD*: *monom-inv* ( $x \# xs$ )  $\Longrightarrow \text{monom-inv } xs$   
 ⟨proof⟩

**lemma** *sum-var-list-monom-mult-list*: *sum-var-list* (*monom-mult-list*  $m \ n$ )  $x =$   
*sum-var-list*  $m \ x + \text{sum-var-list } n \ x$   
 ⟨proof⟩

**lemma** *monom-mult-list-inj*: **assumes**  $m: \text{monom-inv } m$  **and**  $m1: \text{monom-inv } m1$   
**and**  $m2: \text{monom-inv } m2$   
**and**  $eq: \text{monom-mult-list } m \ m1 = \text{monom-mult-list } m \ m2$   
**shows**  $m1 = m2$   
 ⟨proof⟩

**lemma** *monom-mult-list[simp]*: *eval-monom-list*  $\alpha$  (*monom-mult-list*  $m \ n$ ) = *eval-monom-list*  
 $\alpha \ m * \text{eval-monom-list } \alpha \ n$   
 ⟨proof⟩

**end**

**declare** *monom-mult-list.simps[simp del]*

```

typedef (overloaded) 'v monom = Collect (monom-inv :: 'v :: linorder monom-list
⇒ bool)
  ⟨proof⟩

setup-lifting type-definition-monom

lift-definition eval-monom :: ('v :: linorder, 'a :: comm-semiring-1) assign ⇒ 'v
monom ⇒ 'a
  is eval-monom-list ⟨proof⟩

lift-definition sum-var :: 'v :: linorder monom ⇒ 'v ⇒ nat is sum-var-list ⟨proof⟩

instantiation monom :: (linorder) comm-monoid-mult
begin

lift-definition times-monom :: 'a monom ⇒ 'a monom ⇒ 'a monom is monom-mult-list
  ⟨proof⟩

lift-definition one-monom :: 'a monom is Nil
  ⟨proof⟩

instance
  ⟨proof⟩
end

lemma eq-monom-sum-var: m = n ⟷ (∀ x. sum-var m x = sum-var n x)
  ⟨proof⟩

lemma eval-monom-mult[simp]: eval-monom α (m * n) = eval-monom α m *
eval-monom α n
  ⟨proof⟩

lemma sum-var-monom-mult: sum-var (m * n) x = sum-var m x + sum-var n x
  ⟨proof⟩

lemma monom-mult-inj: fixes m1 :: - monom
  shows m * m1 = m * m2 ⟹ m1 = m2
  ⟨proof⟩

lemma one-monom-inv-sum-var-inv[simp]: sum-var 1 x = 0
  ⟨proof⟩

lemma eval-monom-1[simp]: eval-monom α 1 = 1
  ⟨proof⟩

lift-definition var-monom :: 'v :: linorder ⇒ 'v monom is λ x. [(x,1)]
  ⟨proof⟩

```

**lemma** *var-monom-1*[simp]: *var-monom*  $x \neq 1$   
 ⟨proof⟩

**lemma** *eval-var-monom*[simp]: *eval-monom*  $\alpha$  (*var-monom*  $x$ ) =  $\alpha$   $x$   
 ⟨proof⟩

**lemma** *sum-var-monom-var*: *sum-var* (*var-monom*  $x$ )  $y$  = (if  $x = y$  then 1 else 0)  
 ⟨proof⟩

**instantiation** *monom* :: ( $\{equal, linorder\}$ )*equal*  
**begin**

**lift-definition** *equal-monom* :: 'a *monom*  $\Rightarrow$  'a *monom*  $\Rightarrow$  bool **is** (=) ⟨proof⟩

**instance** ⟨proof⟩  
**end**

Polynomials are represented with as sum of monomials multiplied by some coefficient

**type-synonym** ('v,'a)*poly* = ('v *monom*  $\times$  'a)*list*

The polynomials we construct satisfy the following invariants:

- all coefficients are non-zero
- the monomial list is distinct

**definition** *poly-inv* :: ('v,'a :: zero)*poly*  $\Rightarrow$  bool  
**where** *poly-inv*  $p \equiv (\forall c \in \text{snd } p. c \neq 0) \wedge \text{distinct } (\text{map } \text{fst } p)$

**abbreviation** *eval-monomc* **where** *eval-monomc*  $\alpha$   $mc \equiv \text{eval-monom } \alpha$  (*fst*  $mc$ )  
 \* (*snd*  $mc$ )

**primrec** *eval-poly* :: ('v :: linorder, 'a :: comm-semiring-1)*assign*  $\Rightarrow$  ('v,'a)*poly*  $\Rightarrow$  'a **where**  
*eval-poly*  $\alpha$  [] = 0  
 | *eval-poly*  $\alpha$  ( $mc \# p$ ) = *eval-monomc*  $\alpha$   $mc$  + *eval-poly*  $\alpha$   $p$

**definition** *poly-const* :: 'a :: zero  $\Rightarrow$  ('v :: linorder,'a)*poly* **where**  
*poly-const*  $a = (\text{if } a = 0 \text{ then } [] \text{ else } [(1,a)])$

**lemma** *poly-const*[simp]: *eval-poly*  $\alpha$  (*poly-const*  $a$ ) =  $a$   
 ⟨proof⟩

**lemma** *poly-const-inv*: *poly-inv* (*poly-const*  $a$ )  
 ⟨proof⟩

**fun** *poly-add* :: ('v,'a)*poly*  $\Rightarrow$  ('v,'a :: semiring-0)*poly*  $\Rightarrow$  ('v,'a)*poly* **where**

$poly-add [] q = q$   
 $| poly-add ((m,c) \# p) q = (case List.extract (\lambda mc. fst mc = m) q of$   
 $None \Rightarrow (m,c) \# poly-add p q$   
 $Some (q1,(-,d),q2) \Rightarrow if (c+d = 0) then poly-add p (q1 @ q2) else (m,c+d) \# poly-add p (q1 @ q2))$

**lemma** *eval-poly-append[simp]*:  $eval-poly \alpha (mc1 @ mc2) = eval-poly \alpha mc1 + eval-poly \alpha mc2$   
 $\langle proof \rangle$

**abbreviation** *poly-monom*s ::  $('v, 'a)poly \Rightarrow 'v monom set$   
**where** *poly-monom*s  $p \equiv fst \text{ `set } p$

**lemma** *poly-add-monom*s:  $poly-monoms  $(poly-add p1 p2) \subseteq poly-monoms  $p1 \cup poly-monom$ s  $p2$   
 $\langle proof \rangle$$$

**lemma** *poly-add-inv*:  $poly-inv p \Longrightarrow poly-inv q \Longrightarrow poly-inv (poly-add p q)$   
 $\langle proof \rangle$

**lemma** *poly-add[simp]*:  $eval-poly \alpha (poly-add p q) = eval-poly \alpha p + eval-poly \alpha q$   
 $\langle proof \rangle$

**declare** *poly-add.simps[simp del]*

**fun** *monom-mult-poly* ::  $('v :: linorder monom \times 'a) \Rightarrow ('v, 'a :: semiring-0)poly \Rightarrow ('v, 'a)poly$  **where**  
 $monom-mult-poly - [] = []$   
 $| monom-mult-poly (m,c) ((m',d) \# p) = (if c * d = 0 then monom-mult-poly (m,c) p else (m * m', c * d) \# monom-mult-poly (m,c) p)$

**lemma** *monom-mult-poly-inv*:  $poly-inv p \Longrightarrow poly-inv (monom-mult-poly (m,c) p)$   
 $\langle proof \rangle$

**lemma** *monom-mult-poly[simp]*:  $eval-poly \alpha (monom-mult-poly mc p) = eval-monomc \alpha mc * eval-poly \alpha p$   
 $\langle proof \rangle$

**declare** *monom-mult-poly.simps[simp del]*

**definition** *poly-minus* ::  $('v :: linorder, 'a :: ring-1)poly \Rightarrow ('v, 'a)poly \Rightarrow ('v, 'a)poly$   
**where**  
 $poly-minus f g = poly-add f (monom-mult-poly (1, -1) g)$

**lemma** *poly-minus[simp]*:  $eval-poly \alpha (poly-minus f g) = eval-poly \alpha f - eval-poly \alpha g$   
 $\langle proof \rangle$

**lemma** *poly-minus-inv*:  $\text{poly-inv } f \implies \text{poly-inv } g \implies \text{poly-inv } (\text{poly-minus } f \ g)$   
 ⟨proof⟩

**fun** *poly-mult* :: ('v :: linorder, 'a :: semiring-0)poly  $\Rightarrow$  ('v,'a)poly  $\Rightarrow$  ('v,'a)poly  
**where**  
*poly-mult* [] q = []  
 | *poly-mult* (mc # p) q = *poly-add* (*monom-mult-poly* mc q) (*poly-mult* p q)

**lemma** *poly-mult-inv*: **assumes** p: *poly-inv* p **and** q: *poly-inv* q  
**shows** *poly-inv* (*poly-mult* p q)  
 ⟨proof⟩

**lemma** *poly-mult[simp]*: *eval-poly*  $\alpha$  (*poly-mult* p q) = *eval-poly*  $\alpha$  p \* *eval-poly*  $\alpha$  q  
 ⟨proof⟩

**declare** *poly-mult.simps*[*simp del*]

**definition** *zero-poly* :: ('v,'a)poly  
**where** *zero-poly*  $\equiv$  []

**lemma** *zero-poly-inv*: *poly-inv* *zero-poly* ⟨proof⟩

**definition** *one-poly* :: ('v :: linorder, 'a :: semiring-1)poly **where**  
*one-poly*  $\equiv$  [(1,1)]

**lemma** *one-poly-inv*: *poly-inv* *one-poly* ⟨proof⟩

**lemma** *poly-one[simp]*: *eval-poly*  $\alpha$  *one-poly* = 1  
 ⟨proof⟩

**lemma** *poly-zero-add*: *poly-add* *zero-poly* p = p ⟨proof⟩

**lemma** *poly-zero-mult*: *poly-mult* *zero-poly* p = *zero-poly* ⟨proof⟩

equality of polynomials

**definition** *eq-poly* :: ('v :: linorder, 'a :: comm-semiring-1)poly  $\Rightarrow$  ('v,'a)poly  $\Rightarrow$  bool (infix <=> 51)  
**where** p =p q  $\equiv$   $\forall$   $\alpha$ . *eval-poly*  $\alpha$  p = *eval-poly*  $\alpha$  q

**lemma** *poly-one-mult*: *poly-mult* *one-poly* p =p p  
 ⟨proof⟩

**lemma** *eq-poly-refl[simp]*: p =p p ⟨proof⟩

**lemma** *eq-poly-trans[trans]*: [p1 =p p2; p2 =p p3]  $\implies$  p1 =p p3  
 ⟨proof⟩

**lemma** *poly-add-comm*: *poly-add* p q =p *poly-add* q p ⟨proof⟩

**lemma** *poly-add-assoc*:  $\text{poly-add } p1 \ (\text{poly-add } p2 \ p3) =_p \text{poly-add } (\text{poly-add } p1 \ p2) \ p3$  *<proof>*

**lemma** *poly-mult-comm*:  $\text{poly-mult } p \ q =_p \text{poly-mult } q \ p$  *<proof>*

**lemma** *poly-mult-assoc*:  $\text{poly-mult } p1 \ (\text{poly-mult } p2 \ p3) =_p \text{poly-mult } (\text{poly-mult } p1 \ p2) \ p3$  *<proof>*

**lemma** *poly-distrib*:  $\text{poly-mult } p \ (\text{poly-add } q1 \ q2) =_p \text{poly-add } (\text{poly-mult } p \ q1) \ (\text{poly-mult } p \ q2)$  *<proof>*

### 18.3 Computing normal forms of polynomials

**fun**

*poly-of* :: (*v* :: *linorder*, *a* :: *comm-semiring-1*)*tpoly*  $\Rightarrow$  (*v*, *a*)*poly*  
**where** *poly-of* (*PNum* *i*) = (if *i* = 0 then [] else [(1, *i*)]  
| *poly-of* (*PVar* *x*) = [(*var-monom* *x*, 1)]  
| *poly-of* (*PSum* []) = *zero-poly*  
| *poly-of* (*PSum* (*p* # *ps*)) = (*poly-add* (*poly-of* *p*) (*poly-of* (*PSum* *ps*)))  
| *poly-of* (*PMult* []) = *one-poly*  
| *poly-of* (*PMult* (*p* # *ps*)) = (*poly-mult* (*poly-of* *p*) (*poly-of* (*PMult* *ps*)))

evaluation is preserved by *poly\_of*

**lemma** *poly-of*:  $\text{eval-poly } \alpha \ (\text{poly-of } p) = \text{eval-tpoly } \alpha \ p$   
*<proof>*

*poly\_of* only generates polynomials that satisfy the invariant

**lemma** *poly-of-inv*:  $\text{poly-inv } (\text{poly-of } p)$   
*<proof>*

### 18.4 Powers and substitutions of polynomials

**fun** *poly-power* :: (*v* :: *linorder*, *a* :: *comm-semiring-1*)*poly*  $\Rightarrow$  *nat*  $\Rightarrow$  (*v*, *a*)*poly*  
**where**

*poly-power* - 0 = *one-poly*  
| *poly-power* *p* (*Suc* *n*) = *poly-mult* *p* (*poly-power* *p* *n*)

**lemma** *poly-power[simp]*:  $\text{eval-poly } \alpha \ (\text{poly-power } p \ n) = (\text{eval-poly } \alpha \ p) \wedge^n$   
*<proof>*

**lemma** *poly-power-inv*: **assumes** *p*: *poly-inv* *p*  
**shows** *poly-inv* (*poly-power* *p* *n*)  
*<proof>*

**declare** *poly-power.simps*[*simp del*]

**fun** *monom-list-subst* :: (*v*  $\Rightarrow$  (*w* :: *linorder*, *a* :: *comm-semiring-1*)*poly*)  $\Rightarrow$  *v*  
*monom-list*  $\Rightarrow$  (*w*, *a*)*poly* **where**  
*monom-list-subst*  $\sigma$  [] = *one-poly*

| *monom-list-subst*  $\sigma$   $((x,p) \# m) = \text{poly-mult } (\text{poly-power } (\sigma x) p) (\text{monom-list-subst } \sigma m)$

**lift-definition** *monom-list* :: 'v :: linorder monom  $\Rightarrow$  'v monom-list **is**  $\lambda x. x$   
 <proof>

**definition** *monom-subst* :: ('v :: linorder  $\Rightarrow$  ('w :: linorder, 'a :: comm-semiring-1)poly)  $\Rightarrow$  'v monom  $\Rightarrow$  ('w, 'a)poly **where**  
*monom-subst*  $\sigma m = \text{monom-list-subst } \sigma (\text{monom-list } m)$

**lemma** *monom-list-subst-inv*: **assumes** *sub*:  $\bigwedge x. \text{poly-inv } (\sigma x)$   
**shows** *poly-inv* (*monom-list-subst*  $\sigma m$ )  
 <proof>

**lemma** *monom-subst-inv*: **assumes** *sub*:  $\bigwedge x. \text{poly-inv } (\sigma x)$   
**shows** *poly-inv* (*monom-subst*  $\sigma m$ )  
 <proof>

**lemma** *monom-subst[simp]*: *eval-poly*  $\alpha$  (*monom-subst*  $\sigma m$ ) = *eval-monom*  $(\lambda v. \text{eval-poly } \alpha (\sigma v)) m$   
 <proof>

**fun** *poly-subst* :: ('v :: linorder  $\Rightarrow$  ('w :: linorder, 'a :: comm-semiring-1)poly)  $\Rightarrow$  ('v, 'a)poly  $\Rightarrow$  ('w, 'a)poly **where**  
*poly-subst*  $\sigma [] = \text{zero-poly}$   
 | *poly-subst*  $\sigma ((m,c) \# p) = \text{poly-add } (\text{poly-mult } [(1,c)] (\text{monom-subst } \sigma m)) (\text{poly-subst } \sigma p)$

**lemma** *poly-subst-inv*: **assumes** *sub*:  $\bigwedge x. \text{poly-inv } (\sigma x)$  **and** *p*: *poly-inv* *p*  
**shows** *poly-inv* (*poly-subst*  $\sigma p$ )  
 <proof>

**lemma** *poly-subst*: *eval-poly*  $\alpha$  (*poly-subst*  $\sigma p$ ) = *eval-poly*  $(\lambda v. \text{eval-poly } \alpha (\sigma v)) p$   
 <proof>

**lemma** *eval-poly-subst*:  
**assumes** *eq*:  $\bigwedge w. f w = \text{eval-poly } g (q w)$   
**shows** *eval-poly*  $f p = \text{eval-poly } g (\text{poly-subst } q p)$   
 <proof>

**lift-definition** *monom-vars-list* :: 'v :: linorder monom  $\Rightarrow$  'v list **is** *map fst* <proof>

**lemma** *monom-vars-list-subst*: **assumes**  $\bigwedge w. w \in \text{set } (\text{monom-vars-list } m) \Rightarrow f w = g w$   
**shows** *monom-subst*  $f m = \text{monom-subst } g m$   
 <proof>

**lemma** *eval-monom-vars-list*: **assumes**  $\bigwedge x. x \in \text{set } (\text{monom-vars-list } xs) \Rightarrow \alpha$

$x = \beta x$   
**shows**  $eval\text{-}monom\ \alpha\ xs = eval\text{-}monom\ \beta\ xs$   $\langle proof \rangle$

**definition**  $monom\text{-}vars$  **where**  $monom\text{-}vars\ m = set\ (monom\text{-}vars\text{-}list\ m)$

**lemma**  $monom\text{-}vars\text{-}list\text{-}1[simp]$ :  $monom\text{-}vars\text{-}list\ 1 = []$   
 $\langle proof \rangle$

**lemma**  $monom\text{-}vars\text{-}list\text{-}var\text{-}monom[simp]$ :  $monom\text{-}vars\text{-}list\ (var\text{-}monom\ x) = [x]$   
 $\langle proof \rangle$

**lemma**  $monom\text{-}vars\text{-}eval\text{-}monom$ :  
 $(\bigwedge x. x \in monom\text{-}vars\ m \implies f\ x = g\ x) \implies eval\text{-}monom\ f\ m = eval\text{-}monom\ g\ m$   
 $\langle proof \rangle$

**definition**  $poly\text{-}vars\text{-}list :: ('v :: linorder, 'a)poly \Rightarrow 'v\ list$  **where**  
 $poly\text{-}vars\text{-}list\ p = remdups\ (concat\ (map\ (monom\text{-}vars\text{-}list\ o\ fst)\ p))$

**definition**  $poly\text{-}vars :: ('v :: linorder, 'a)poly \Rightarrow 'v\ set$  **where**  
 $poly\text{-}vars\ p = set\ (concat\ (map\ (monom\text{-}vars\text{-}list\ o\ fst)\ p))$

**lemma**  $poly\text{-}vars\text{-}list[simp]$ :  $set\ (poly\text{-}vars\text{-}list\ p) = poly\text{-}vars\ p$   
 $\langle proof \rangle$

**lemma**  $poly\text{-}vars$ : **assumes**  $eq: \bigwedge w. w \in poly\text{-}vars\ p \implies f\ w = g\ w$   
**shows**  $poly\text{-}subst\ f\ p = poly\text{-}subst\ g\ p$   
 $\langle proof \rangle$

**lemma**  $poly\text{-}var$ : **assumes**  $pv: v \notin poly\text{-}vars\ p$  **and**  $diff: \bigwedge w. v \neq w \implies f\ w = g\ w$   
**shows**  $poly\text{-}subst\ f\ p = poly\text{-}subst\ g\ p$   
 $\langle proof \rangle$

**lemma**  $eval\text{-}poly\text{-}vars$ : **assumes**  $\bigwedge x. x \in poly\text{-}vars\ p \implies \alpha\ x = \beta\ x$   
**shows**  $eval\text{-}poly\ \alpha\ p = eval\text{-}poly\ \beta\ p$   
 $\langle proof \rangle$

**declare**  $poly\text{-}subst.simps[simp\ del]$

## 18.5 Polynomial orders

**definition**  $pos\text{-}assign :: ('v, 'a :: ordered\text{-}semiring\text{-}0)assign \Rightarrow bool$   
**where**  $pos\text{-}assign\ \alpha = (\forall x. \alpha\ x \geq 0)$

**definition**  $poly\text{-}ge :: ('v :: linorder, 'a :: poly\text{-}carrier)poly \Rightarrow ('v, 'a)poly \Rightarrow bool$   
**(infix**  $\langle \geq_p \rangle$  51)  
**where**  $p \geq_p q = (\forall \alpha. pos\text{-}assign \alpha \longrightarrow eval\text{-}poly \alpha p \geq eval\text{-}poly \alpha q)$

**lemma**  $poly\text{-}ge\text{-}refl[simp]: p \geq_p p$   
 $\langle proof \rangle$

**lemma**  $poly\text{-}ge\text{-}trans[trans]: \llbracket p1 \geq_p p2; p2 \geq_p p3 \rrbracket \Longrightarrow p1 \geq_p p3$   
 $\langle proof \rangle$

**lemma**  $pos\text{-}assign\text{-}monom\text{-}list: fixes \alpha :: ('v :: linorder, 'a :: poly\text{-}carrier)assign$   
**assumes**  $pos: pos\text{-}assign \alpha$   
**shows**  $eval\text{-}monom\text{-}list \alpha m \geq 0$   
 $\langle proof \rangle$

**lemma**  $pos\text{-}assign\text{-}monom: fixes \alpha :: ('v :: linorder, 'a :: poly\text{-}carrier)assign$   
**assumes**  $pos: pos\text{-}assign \alpha$   
**shows**  $eval\text{-}monom \alpha m \geq 0$   
 $\langle proof \rangle$

**lemma**  $pos\text{-}assign\text{-}poly: assumes pos: pos\text{-}assign \alpha$   
**and**  $p: p \geq_p zero\text{-}poly$   
**shows**  $eval\text{-}poly \alpha p \geq 0$   
 $\langle proof \rangle$

**lemma**  $poly\text{-}add\text{-}ge\text{-}mono: assumes p1 \geq_p p2 shows poly\text{-}add p1 q \geq_p poly\text{-}add p2 q$   
 $\langle proof \rangle$

**lemma**  $poly\text{-}mult\text{-}ge\text{-}mono: assumes p1 \geq_p p2 and q \geq_p zero\text{-}poly$   
**shows**  $poly\text{-}mult p1 q \geq_p poly\text{-}mult p2 q$   
 $\langle proof \rangle$

**context**  $poly\text{-}order\text{-}carrier$   
**begin**

**definition**  $poly\text{-}gt :: ('v :: linorder, 'a)poly \Rightarrow ('v, 'a)poly \Rightarrow bool$  **(infix**  $\langle >_p \rangle$  51)  
**where**  $p >_p q = (\forall \alpha. pos\text{-}assign \alpha \longrightarrow eval\text{-}poly \alpha p > eval\text{-}poly \alpha q)$

**lemma**  $poly\text{-}gt\text{-}imp\text{-}poly\text{-}ge: p >_p q \Longrightarrow p \geq_p q$   $\langle proof \rangle$

**abbreviation**  $poly\text{-}GT :: ('v :: linorder, 'a)poly rel$   
**where**  $poly\text{-}GT \equiv \{(p, q) \mid p q. p >_p q \wedge q \geq_p zero\text{-}poly\}$

**lemma**  $poly\text{-}compat: \llbracket p1 \geq_p p2; p2 >_p p3 \rrbracket \Longrightarrow p1 >_p p3$

*<proof>*

**lemma** *poly-compat2*:  $\llbracket p1 >_p p2; p2 \geq_p p3 \rrbracket \implies p1 >_p p3$   
*<proof>*

**lemma** *poly-gt-trans*[*trans*]:  $\llbracket p1 >_p p2; p2 >_p p3 \rrbracket \implies p1 >_p p3$   
*<proof>*

**lemma** *poly-GT-SN*: *SN poly-GT*  
*<proof>*  
**end**

monotonicity of polynomials

**lemma** *eval-monom-list-mono*: **assumes** *fg*:  $\bigwedge x. (f :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{assign})$   
 $x \geq g x$   
**and** *g*:  $\bigwedge x. g x \geq 0$   
**shows** *eval-monom-list*  $f m \geq \text{eval-monom-list } g m$  *eval-monom-list*  $g m \geq 0$   
*<proof>*

**lemma** *eval-monom-mono*: **assumes** *fg*:  $\bigwedge x. (f :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{assign})$   
 $x \geq g x$   
**and** *g*:  $\bigwedge x. g x \geq 0$   
**shows** *eval-monom*  $f m \geq \text{eval-monom } g m$  *eval-monom*  $g m \geq 0$   
*<proof>*

**definition** *poly-weak-mono-all* ::  $('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly} \Rightarrow \text{bool}$  **where**

$\text{poly-weak-mono-all } p \equiv \forall (\alpha :: ('v, 'a)\text{assign}) \beta. (\forall x. \alpha x \geq \beta x) \longrightarrow \text{pos-assign } \beta \longrightarrow \text{eval-poly } \alpha p \geq \text{eval-poly } \beta p$

**lemma** *poly-weak-mono-all-E*: **assumes** *p*: *poly-weak-mono-all* *p* **and**  
*ge*:  $\bigwedge x. f x \geq_p g x \wedge g x \geq_p \text{zero-poly}$   
**shows** *poly-subst*  $f p \geq_p \text{poly-subst } g p$   
*<proof>*

**definition** *poly-weak-mono* ::  $('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly} \Rightarrow 'v \Rightarrow \text{bool}$   
**where**

$\text{poly-weak-mono } p v \equiv \forall (\alpha :: ('v, 'a)\text{assign}) \beta. (\forall x. v \neq x \longrightarrow \alpha x = \beta x) \longrightarrow \text{pos-assign } \beta \longrightarrow \alpha v \geq \beta v \longrightarrow \text{eval-poly } \alpha p \geq \text{eval-poly } \beta p$

**lemma** *poly-weak-mono-E*: **assumes** *p*: *poly-weak-mono* *p* *v*  
**and** *fgw*:  $\bigwedge w. v \neq w \implies f w = g w$   
**and** *g*:  $\bigwedge w. g w \geq_p \text{zero-poly}$   
**and** *fgv*:  $f v \geq_p g v$   
**shows** *poly-subst*  $f p \geq_p \text{poly-subst } g p$   
*<proof>*

**definition** *poly-weak-anti-mono* ::  $('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly} \Rightarrow 'v \Rightarrow \text{bool}$

**where**

$poly\text{-weak-anti-mono } p \equiv \forall (\alpha :: ('v, 'a)\text{assign}) \beta. (\forall x. v \neq x \longrightarrow \alpha x = \beta x) \longrightarrow pos\text{-assign } \beta \longrightarrow \alpha v \geq \beta v \longrightarrow eval\text{-poly } \beta p \geq eval\text{-poly } \alpha p$

**lemma** *poly-weak-anti-mono-E*: **assumes**  $p$ : *poly-weak-anti-mono*  $p$   $v$

**and**  $fgw$ :  $\bigwedge w. v \neq w \implies f w = g w$

**and**  $g$ :  $\bigwedge w. g w \geq_p zero\text{-poly}$

**and**  $fgv$ :  $f v \geq_p g v$

**shows**  $poly\text{-subst } g p \geq_p poly\text{-subst } f p$

*<proof>*

**lemma** *poly-weak-mono*: **fixes**  $p :: ('v :: linorder, 'a :: poly\text{-carrier})poly$

**assumes**  $mono$ :  $\bigwedge v. v \in poly\text{-vars } p \implies poly\text{-weak-mono } p v$

**shows**  $poly\text{-weak-mono-all } p$

*<proof>*

**lemma** *poly-weak-mono-all*: **fixes**  $p :: ('v :: linorder, 'a :: poly\text{-carrier})poly$

**assumes**  $p$ :  $poly\text{-weak-mono-all } p$

**shows**  $poly\text{-weak-mono } p v$

*<proof>*

**lemma** *poly-weak-mono-all-pos*:

**fixes**  $p :: ('v :: linorder, 'a :: poly\text{-carrier})poly$

**assumes**  $pos\text{-at-zero}$ :  $eval\text{-poly } (\lambda w. 0) p \geq 0$

**and**  $mono$ :  $poly\text{-weak-mono-all } p$

**shows**  $p \geq_p zero\text{-poly}$

*<proof>*

**context** *poly-order-carrier*

**begin**

**definition** *poly-strict-mono* ::  $('v :: linorder, 'a)poly \Rightarrow 'v \Rightarrow bool$  **where**

$poly\text{-strict-mono } p v \equiv \forall (\alpha :: ('v, 'a)\text{assign}) \beta. (\forall x. (v \neq x \longrightarrow \alpha x = \beta x)) \longrightarrow pos\text{-assign } \beta \longrightarrow \alpha v \succ \beta v \longrightarrow eval\text{-poly } \alpha p \succ eval\text{-poly } \beta p$

**lemma** *poly-strict-mono-E*: **assumes**  $p$ : *poly-strict-mono*  $p$   $v$

**and**  $fgw$ :  $\bigwedge w. v \neq w \implies f w = g w$

**and**  $g$ :  $\bigwedge w. g w \geq_p zero\text{-poly}$

**and**  $fgv$ :  $f v >_p g v$

**shows**  $poly\text{-subst } f p >_p poly\text{-subst } g p$

*<proof>*

**lemma** *poly-add-gt-mono*: **assumes**  $p1 >_p p2$  **shows**  $poly\text{-add } p1 q >_p poly\text{-add}$

$p2 q$

*<proof>*

**lemma** *poly-mult-gt-mono*:

**fixes**  $q :: ('v :: linorder, 'a)poly$

**assumes**  $gt$ :  $p1 >_p p2$  **and**  $mono$ :  $q \geq_p one\text{-poly}$

**shows**  $\text{poly-mult } p1 \ q \ >p \ \text{poly-mult } p2 \ q$   
 $\langle \text{proof} \rangle$   
**end**

## 18.6 Degree of polynomials

**definition**  $\text{monom-list-degree} :: 'v \ \text{monom-list} \Rightarrow \text{nat}$  **where**  
 $\text{monom-list-degree } xps \equiv \text{sum-list } (\text{map } \text{snd } xps)$

**lift-definition**  $\text{monom-degree} :: 'v :: \text{linorder } \text{monom} \Rightarrow \text{nat}$  **is**  $\text{monom-list-degree}$   
 $\langle \text{proof} \rangle$

**definition**  $\text{poly-degree} :: (-, 'a) \ \text{poly} \Rightarrow \text{nat}$  **where**  
 $\text{poly-degree } p \equiv \text{max-list } (\text{map } (\lambda (m,c). \text{monom-degree } m) \ p)$

**definition**  $\text{poly-coeff-sum} :: ('v, 'a :: \text{ordered-ab-semigroup}) \ \text{poly} \Rightarrow 'a$  **where**  
 $\text{poly-coeff-sum } p \equiv \text{sum-list } (\text{map } (\lambda \ mc. \text{max } 0 \ (\text{snd } \ mc)) \ p)$

**lemma**  $\text{monom-list-degree: eval-monom-list } (\lambda \ -. \ x) \ m = x \wedge \text{monom-list-degree } m$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{monom-list-var-monom}[\text{simp}]: \text{monom-list } (\text{var-monom } x) = [(x, 1)]$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{monom-list-1}[\text{simp}]: \text{monom-list } 1 = []$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{monom-degree: eval-monom } (\lambda \ -. \ x) \ m = x \wedge \text{monom-degree } m$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{poly-coeff-sum: poly-coeff-sum } p \geq 0$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{poly-degree: assumes } x: x \geq (1 :: 'a :: \text{poly-carrier})$   
**shows**  $\text{poly-coeff-sum } p * (x \wedge \text{poly-degree } p) \geq \text{eval-poly } (\lambda \ -. \ x) \ p$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{poly-degree-bound: assumes } x: x \geq (1 :: 'a :: \text{poly-carrier})$   
**and**  $c: c \geq \text{poly-coeff-sum } p$   
**and**  $d: d \geq \text{poly-degree } p$   
**shows**  $c * (x \wedge d) \geq \text{eval-poly } (\lambda \ -. \ x) \ p$   
 $\langle \text{proof} \rangle$

## 18.7 Executable and sufficient criteria to compare polynomials and ensure monotonicity

$\text{poly\_split}$  extracts the coefficient for a given monomial and returns additionally the remaining polynomial

**definition**  $\text{poly-split} :: ('v \ \text{monom}) \Rightarrow ('v, 'a :: \text{zero}) \ \text{poly} \Rightarrow 'a \times ('v, 'a) \ \text{poly}$

**where** *poly-split*  $m$   $p \equiv \text{case List.extract } (\lambda (n,-). m = n) p \text{ of None } \Rightarrow (0,p) \mid \text{Some } (p1,(-,c),p2) \Rightarrow (c, p1 @ p2)$

**lemma** *poly-split*: **assumes** *poly-split*  $m$   $p = (c,q)$   
**shows**  $p = p (m,c) \# q$   
 $\langle \text{proof} \rangle$

**lemma** *poly-split-eval*: **assumes** *poly-split*  $m$   $p = (c,q)$   
**shows**  $\text{eval-poly } \alpha p = (\text{eval-monom } \alpha m * c) + \text{eval-poly } \alpha q$   
 $\langle \text{proof} \rangle$

**fun** *check-poly-eq* ::  $('v,'a :: \text{semiring-0})\text{poly} \Rightarrow ('v,'a)\text{poly} \Rightarrow \text{bool}$  **where**  
*check-poly-eq* []  $q = (q = [])$   
 $\mid \text{check-poly-eq } ((m,c) \# p) q = (\text{case List.extract } (\lambda nd. \text{fst } nd = m) q \text{ of}$   
 $\text{None} \Rightarrow \text{False}$   
 $\mid \text{Some } (q1,(-,d),q2) \Rightarrow c = d \wedge \text{check-poly-eq } p (q1 @ q2))$

**lemma** *check-poly-eq*: **fixes**  $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$   
**assumes** *chk*: *check-poly-eq*  $p$   $q$   
**shows**  $p = p q$   $\langle \text{proof} \rangle$

**declare** *check-poly-eq.simps*[*simp del*]

**fun** *check-poly-ge* ::  $('v,'a :: \text{ordered-semiring-0})\text{poly} \Rightarrow ('v,'a)\text{poly} \Rightarrow \text{bool}$  **where**  
*check-poly-ge* []  $q = \text{list-all } (\lambda (-,d). 0 \geq d) q$   
 $\mid \text{check-poly-ge } ((m,c) \# p) q = (\text{case List.extract } (\lambda nd. \text{fst } nd = m) q \text{ of}$   
 $\text{None} \Rightarrow c \geq 0 \wedge \text{check-poly-ge } p q$   
 $\mid \text{Some } (q1,(-,d),q2) \Rightarrow c \geq d \wedge \text{check-poly-ge } p (q1 @ q2))$

**lemma** *check-poly-ge*: **fixes**  $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$   
**shows**  $\text{check-poly-ge } p q \Longrightarrow p \geq p q$   
 $\langle \text{proof} \rangle$

**declare** *check-poly-ge.simps*[*simp del*]

**definition** *check-poly-weak-mono-all* ::  $('v,'a :: \text{ordered-semiring-0})\text{poly} \Rightarrow \text{bool}$   
**where** *check-poly-weak-mono-all*  $p \equiv \text{list-all } (\lambda (m,c). c \geq 0) p$

**lemma** *check-poly-weak-mono-all*: **fixes**  $p :: ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly}$   
**assumes** *check-poly-weak-mono-all*  $p$  **shows** *poly-weak-mono-all*  $p$   
 $\langle \text{proof} \rangle$

**lemma** *check-poly-weak-mono-all-pos*:  
**assumes** *check-poly-weak-mono-all*  $p$  **shows**  $p \geq p \text{ zero-poly}$   
 $\langle \text{proof} \rangle$

better check for weak monotonicity for discrete carriers:  $p$  is monotone in  $v$  if  $p(\dots v + 1 \dots) \geq p(\dots v \dots)$

**definition** *check-poly-weak-mono-discrete* :: ('v :: linorder, 'a :: poly-carrier)poly ⇒ 'v ⇒ bool

**where** *check-poly-weak-mono-discrete* p v ≡ *check-poly-ge* (poly-subst (λ w. poly-of (if w = v then PSum [PNum 1, PVar v] else PVar w)) p) p

**definition** *check-poly-weak-mono-and-pos* :: bool ⇒ ('v :: linorder, 'a :: poly-carrier)poly ⇒ bool

**where** *check-poly-weak-mono-and-pos discrete* p ≡  
 if *discrete* then list-all (λ v. *check-poly-weak-mono-discrete* p v)  
 (poly-vars-list p) ∧ *eval-poly* (λ w. 0) p ≥ 0  
 else *check-poly-weak-mono-all* p

**definition** *check-poly-weak-anti-mono-discrete* :: ('v :: linorder, 'a :: poly-carrier)poly ⇒ 'v ⇒ bool

**where** *check-poly-weak-anti-mono-discrete* p v ≡ *check-poly-ge* p (poly-subst (λ w. poly-of (if w = v then PSum [PNum 1, PVar v] else PVar w)) p)

**context** *poly-order-carrier*  
**begin**

**lemma** *check-poly-weak-mono-discrete*:

**fixes** v :: 'v :: linorder **and** p :: ('v, 'a)poly  
**assumes** *discrete* **and** *check*: *check-poly-weak-mono-discrete* p v  
**shows** *poly-weak-mono* p v  
 ⟨*proof*⟩

**lemma** *check-poly-weak-anti-mono-discrete*:

**fixes** v :: 'v :: linorder **and** p :: ('v, 'a)poly  
**assumes** *discrete* **and** *check*: *check-poly-weak-anti-mono-discrete* p v  
**shows** *poly-weak-anti-mono* p v  
 ⟨*proof*⟩

**lemma** *check-poly-weak-mono-and-pos*:

**fixes** p :: ('v :: linorder, 'a)poly  
**assumes** *check-poly-weak-mono-and-pos discrete* p  
**shows** *poly-weak-mono-all* p ∧ (p ≥p zero-poly)  
 ⟨*proof*⟩

**end**

**definition** *check-poly-weak-mono* :: ('v :: linorder, 'a :: ordered-semiring-0)poly ⇒ 'v ⇒ bool

**where** *check-poly-weak-mono* p v ≡ list-all (λ (m, c). c ≥ 0 ∨ v ∉ monom-vars m) p

**lemma** *check-poly-weak-mono*: **fixes** p :: ('v :: linorder, 'a :: poly-carrier)poly

**assumes** *check-poly-weak-mono* p v **shows** *poly-weak-mono* p v  
 ⟨*proof*⟩

**definition** *check-poly-weak-mono-smart* :: *bool*  $\Rightarrow$  (*'v* :: *linorder*, *'a* :: *poly-carrier*)*poly*  
 $\Rightarrow$  *'v*  $\Rightarrow$  *bool*

**where** *check-poly-weak-mono-smart discrete*  $\equiv$  *if discrete then check-poly-weak-mono-discrete else check-poly-weak-mono*

**lemma** (**in** *poly-order-carrier*) *check-poly-weak-mono-smart*: **fixes** *p* :: (*'v* :: *linorder*, *'a* :: *poly-carrier*)*poly*

**shows** *check-poly-weak-mono-smart discrete p v*  $\Longrightarrow$  *poly-weak-mono p v*  
 $\langle$ *proof* $\rangle$

**definition** *check-poly-weak-anti-mono* :: (*'v* :: *linorder*, *'a* :: *ordered-semiring-0*)*poly*  
 $\Rightarrow$  *'v*  $\Rightarrow$  *bool*

**where** *check-poly-weak-anti-mono p v*  $\equiv$  *list-all* ( $\lambda$  (*m*, *c*).  $0 \geq c \vee v \notin$  *monom-vars m*) *p*

**lemma** *check-poly-weak-anti-mono*: **fixes** *p* :: (*'v* :: *linorder*, *'a* :: *poly-carrier*)*poly*

**assumes** *check-poly-weak-anti-mono p v* **shows** *poly-weak-anti-mono p v*  
 $\langle$ *proof* $\rangle$

**definition** *check-poly-weak-anti-mono-smart* :: *bool*  $\Rightarrow$  (*'v* :: *linorder*, *'a* :: *poly-carrier*)*poly*  
 $\Rightarrow$  *'v*  $\Rightarrow$  *bool*

**where** *check-poly-weak-anti-mono-smart discrete*  $\equiv$  *if discrete then check-poly-weak-anti-mono-discrete else check-poly-weak-anti-mono*

**lemma** (**in** *poly-order-carrier*) *check-poly-weak-anti-mono-smart*: **fixes** *p* :: (*'v* :: *linorder*, *'a* :: *poly-carrier*)*poly*

**shows** *check-poly-weak-anti-mono-smart discrete p v*  $\Longrightarrow$  *poly-weak-anti-mono p v*  
 $\langle$ *proof* $\rangle$

**definition** *check-poly-gt* :: (*'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  (*'v* :: *linorder*, *'a* :: *ordered-semiring-0*)*poly*  
 $\Rightarrow$  (*'v*, *'a*)*poly*  $\Rightarrow$  *bool*

**where** *check-poly-gt gt p q*  $\equiv$  *let* (*a1*, *p1*) = *poly-split 1 p*; (*b1*, *q1*) = *poly-split 1 q*  
*in gt a1 b1*  $\wedge$  *check-poly-ge p1 q1*

**fun** *univariate-power-list* :: *'v*  $\Rightarrow$  *'v monom-list*  $\Rightarrow$  *nat option* **where**

*univariate-power-list x [(y, n)]* = (*if x = y then Some n else None*)  
| *univariate-power-list - -* = *None*

**lemma** *univariate-power-list*: **assumes** *monom-inv m univariate-power-list x m = Some n*

**shows** *sum-var-list m =* ( $\lambda$  *y*. *if x = y then n else 0*)

*eval-monom-list*  $\alpha$  *m =* ( $(\alpha$  *x*)<sup>*n*</sup>)

*n*  $\geq$  *1*

$\langle$ *proof* $\rangle$

**lift-definition** *univariate-power* :: *'v* :: *linorder*  $\Rightarrow$  *'v monom*  $\Rightarrow$  *nat option*

**is** *univariate-power-list*  $\langle$ *proof* $\rangle$

**lemma** *univariate-power*: **assumes** *univariate-power*  $x\ m = \text{Some } n$   
**shows** *sum-var*  $m = (\lambda y. \text{if } x = y \text{ then } n \text{ else } 0)$   
*eval-monom*  $\alpha\ m = ((\alpha\ x) \hat{=} n)$   
 $n \geq 1$   
 $\langle \text{proof} \rangle$

**lemma** *univariate-power-var-monom*: *univariate-power*  $y\ (\text{var-monom } x) = (\text{if } x = y \text{ then } \text{Some } 1 \text{ else } \text{None})$   
 $\langle \text{proof} \rangle$

**definition** *check-monom-strict-mono* ::  $\text{bool} \Rightarrow 'v :: \text{linorder } \text{monom} \Rightarrow 'v \Rightarrow \text{bool}$   
**where**  
*check-monom-strict-mono*  $pm\ m\ v \equiv \text{case } \text{univariate-power } v\ m \text{ of}$   
 $\quad \text{Some } p \Rightarrow pm \vee p = 1$   
 $\quad | \text{None} \Rightarrow \text{False}$

**definition** *check-poly-strict-mono* ::  $\text{bool} \Rightarrow ('v :: \text{linorder}, 'a :: \text{poly-carrier})\text{poly} \Rightarrow 'v \Rightarrow \text{bool}$   
**where** *check-poly-strict-mono*  $pm\ p\ v \equiv \text{list-ex } (\lambda (m,c). (c \geq 1) \wedge \text{check-monom-strict-mono } pm\ m\ v)$   $p$

**definition** *check-poly-strict-mono-discrete* ::  $('a :: \text{poly-carrier} \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('v :: \text{linorder}, 'a)\text{poly} \Rightarrow 'v \Rightarrow \text{bool}$   
**where** *check-poly-strict-mono-discrete*  $gt\ p\ v \equiv \text{check-poly-gt } gt\ (\text{poly-subst } (\lambda w. \text{poly-of } (\text{if } w = v \text{ then } P\text{Sum } [P\text{Num } 1, P\text{Var } v] \text{ else } P\text{Var } w))\ p)$   $p$

**definition** *check-poly-strict-mono-smart* ::  $\text{bool} \Rightarrow \text{bool} \Rightarrow ('a :: \text{poly-carrier} \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('v :: \text{linorder}, 'a)\text{poly} \Rightarrow 'v \Rightarrow \text{bool}$   
**where** *check-poly-strict-mono-smart*  $\text{discrete } pm\ gt\ p\ v \equiv$   
 $\quad \text{if } \text{discrete} \text{ then } \text{check-poly-strict-mono-discrete } gt\ p\ v \text{ else } \text{check-poly-strict-mono } pm\ p\ v$

**context** *poly-order-carrier*

**begin**

**lemma** *check-monom-strict-mono*: **fixes**  $\alpha\ \beta :: ('v :: \text{linorder}, 'a)\text{assign}$  **and**  $v :: 'v$   
**and**  $m :: 'v\ \text{monom}$   
**assumes** *check*: *check-monom-strict-mono* *power-mono*  $m\ v$   
**and** *gt*:  $\alpha\ v \succ \beta\ v$   
**and** *ge*:  $\beta\ v \geq 0$   
**shows** *eval-monom*  $\alpha\ m \succ \text{eval-monom } \beta\ m$   
 $\langle \text{proof} \rangle$

**lemma** *check-poly-strict-mono*:  
**assumes** *check1*: *check-poly-strict-mono* *power-mono*  $p\ v$   
**and** *check2*: *check-poly-weak-mono-all*  $p$   
**shows** *poly-strict-mono*  $p\ v$   
 $\langle \text{proof} \rangle$

```

lemma check-poly-gt:
  fixes  $p :: ('v :: \text{linorder}, 'a)\text{poly}$ 
  assumes check-poly-gt gt p q shows  $p > p q$ 
  <proof>

lemma check-poly-strict-mono-discrete:
  fixes  $v :: 'v :: \text{linorder}$  and  $p :: ('v, 'a)\text{poly}$ 
  assumes discrete and check: check-poly-strict-mono-discrete gt p v
  shows poly-strict-mono p v
  <proof>

lemma check-poly-strict-mono-smart:
  assumes check1: check-poly-strict-mono-smart discrete power-mono gt p v
  and check2: check-poly-weak-mono-and-pos discrete p
  shows poly-strict-mono p v
  <proof>

end

end

```

## 19 Displaying Polynomials

```

theory Show-Polynomials
imports
  Polynomials
  Show.Show-Instances
begin

fun shows-monom-list ::  $('v :: \{\text{linorder}, \text{show}\})\text{monom-list} \Rightarrow \text{string} \Rightarrow \text{string}$ 
where
  shows-monom-list [( $x, p$ )] = (if  $p = 1$  then shows  $x$  else shows  $x + @ + \text{shows-string}$ 
  " $\wedge$ " + @ + shows  $p$ )
  | shows-monom-list (( $x, p$ ) #  $m$ ) = ((if  $p = 1$  then shows  $x$  else shows  $x + @ +$ 
  shows-string " $\wedge$ " + @ + shows  $p$ ) + @ + shows-string "*" + @ + shows-monom-list
   $m$ )
  | shows-monom-list [] = shows-string "1"

instantiation monom ::  $(\{\text{linorder}, \text{show}\}) \text{ show}$ 
begin

lift-definition shows-prec-monom ::  $\text{nat} \Rightarrow 'a \text{ monom} \Rightarrow \text{shows}$  is  $\lambda n. \text{shows-monom-list}$ 
  <proof>

lemma shows-prec-monom-append [show-law-simps]:
  shows-prec  $d$  ( $m :: 'a \text{ monom}$ ) ( $r @ s$ ) = shows-prec  $d$   $m$   $r @ s$ 
  <proof>

definition shows-list ( $ts :: 'a \text{ monom list}$ ) = showsp-list shows-prec 0  $ts$ 

```

```

instance ⟨proof⟩
end

fun shows-poly :: ('v :: {show,linorder}, 'a :: {one,show})poly ⇒ string ⇒ string
where
  shows-poly [] = shows-string "0"
| shows-poly ((m,c) # p) = ((if c = 1 then shows m else if m = 1 then shows c
else shows c +@+
  shows-string "*" +@+ shows m) +@+ (if p = [] then shows-string [] else
shows-string " + " +@+ shows-poly p))
end

```

## 20 Monotonicity criteria of Neurauter, Zankl, and Middeldorp

```

theory NZM
imports Abstract–Rewriting.SN-Order-Carrier Polynomials
begin

```

We show that our check on monotonicity is strong enough to capture the exact criterion for polynomials of degree 2 that is presented in [3]:

- $ax^2 + bx + c$  is monotone if  $b + a > 0$  and  $a \geq 0$
- $ax^2 + bx + c$  is weakly monotone if  $b + a \geq 0$  and  $a \geq 0$

```

lemma var-monom-x-x [simp]: var-monom x * var-monom x ≠ 1
⟨proof⟩

```

```

lemma monom-list-x-x[simp]: monom-list (var-monom x * var-monom x) = [(x,2)]
⟨proof⟩

```

```

lemma assumes b:  $b + a > 0$  and a: ( $a :: int$ )  $\geq 0$ 
shows check-poly-strict-mono-discrete (>) (poly-of (PSum [PNum c, PMult [PNum
b, PVar x], PMult [PNum a, PVar x, PVar x]])) x
⟨proof⟩

```

```

lemma assumes b:  $b + a \geq 0$  and a: ( $a :: int$ )  $\geq 0$ 
shows check-poly-weak-mono-discrete (poly-of (PSum [PNum c, PMult [PNum
b, PVar x], PMult [PNum a, PVar x, PVar x]])) x
⟨proof⟩

```

```

end

```

## References

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